


VOLUME ONE



HANDBOOK OF
PHARMACEUTICAL
MANUFACTURING FORMULATIONS

THIRD EDITION

COMPRESSED SOLID PRODUCTS

Sarfaraz K. Niazi



CRC Press
Taylor & Francis Group

Handbook of Pharmaceutical Manufacturing Formulations

Volume One, Compressed Solid Products



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To the memory of Sidney Riegelman

*Professor Sidney Riegelman passed away in 1981 in a scuba diving accident, he was 60.
He served as the Chairman of the School of Pharmacy, UCSF. We connected professionally
but his personal advice to me in my personal life made a big difference.*



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Preface to the Series—Third Edition

I am humbled by the wide praise and acceptance of the last two editions of the *Handbook of Pharmaceutical Formulations*, a six-volume series that found home in the R&D laboratories of just about every pharmaceutical company, both generic and branded, and in the classrooms of pharmaceutical technology; and the regulatory agencies used this treatise to compare the quality of pharmaceutical products. In creating this work, back in 2004, my primary objective was to provide a ready source of safe and scalable generic and new pharmaceutical formulations that take a long time to develop and incur a substantial cost, to enable the availability of affordable medicines.

Each of the six volumes in the series has a structured content. Part I includes regulatory guidance, formulation steps, references to active ingredients and excipients, and a self-audit guidance for cGMP compliance. Chapters of common interest to all volumes are distributed across the six volumes, such as the formulations for coating solutions are presented in Volume 5 (OTC), though they are also pertinent to Volume 1 (Compressed Dosage Forms), and global bioequivalence testing guidelines are provided in Volume 4 (Semisolids), though they apply to all volumes. Part II includes scalable formulations and Part III, where applicable, other general formulations. The appendices include a listing of excipients used in FDA approved products and a cGMP compliance self-testing tool. Whereas the main focus of the guidance provided in the handbook pertains to compliance with FDA requirements, these apply equally to EU requirements, and, as a result, to any global agency.

The third edition also gets several significant additions; now each volume includes a self-audit template, several chapters advising how to stay cGMP compliant, including a listing of most common FDA citations to look out for in the audits, a global regulatory focus and an updated list of excipients and the level of their incorporation in the FDA-approved products. The number of formulations is also increased, and the OTC volume now contains several cosmetic formulations, and the

semisolid product volume also includes details on chewing gum delivery systems.

The updating of formulations is always cumulative as there is little need to remove any formulation provided previously—if it was right then, it shall remain good now. However, a variety of new drug delivery systems have evolved since the second edition was published, so I have included more details on these formulations, although some of these may not be available to practice due to possible limitations on the intellectual property.

As always, I advise the formulators to be aware of any intellectual property infringements as I cannot provide a guarantee to this effect.

Finally, I wish to acknowledge the leaders of the pharmaceutical world, to whom each of the volumes is dedicated. I have made a few changes to those whom the volumes are dedicated, to recognize those who have since passed away; they provided a role model to me and thousands of leaders and students of pharmacy over the decades of their careers. They are gone, but not without leaving an indelible mark on the profession.

I also consider myself fortunate to have the sponsorship and assistance of the great folks at the CRC Press, more particularly Jessica Poile and Hilary LaFoe. The teams at the CRC Press were very kind to put up with my redundant changes to the manuscript and were extremely generous in their advice in balancing the scientific and practical knowledge and, above all, making sure that the book was framed and published in the highest professional presentation. As always, I take responsibility for any mistakes and errors in my writing, and I am always open to suggestions by the readers to make future editions. I can be contacted at niazi@niazi.com.

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Preface to the Series—Second Edition

The science and the art of pharmaceutical formulation keeps evolving as new materials, methods, and machines become readily available to produce more reliable, stable, and release-controlled formulations. At the same time, globalization of sourcing of raw and finished pharmaceuticals brings challenges to regulatory authorities and results in more frequent revisions to the current good manufacturing practices, regulatory approval dossier requirements, and the growing need for cost optimization. Since the publication of the first edition of this book, a lot has changed in all of these areas of importance to pharmaceutical manufacturers. The second edition builds on the dynamic nature of the science and art of formulations and provides an evermore useful handbook that should be highly welcomed by the industry, the regulatory authorities, as well as the teaching institutions.

The first edition of this book was a great success as it brought under one umbrella the myriad of choices available to formulators. The readers were very responsive and communicated with me frequently pointing out to the weaknesses as well as the strengths of the book. The second edition totally revised attempts to achieve these by making major changes to the text, some of which include:

1. Complete, revised errors corrected and subject matter reorganized for easy reference. Whereas this series has six volumes differentiated on the basis of the type of dosage form and a separate inclusion of the U.S. OTC products, ideally the entire collection is needed to benefit from the myriad of topics relating to formulations, regulatory compliance, and dossier preparation.
2. Total number of pages is increased from 1684 to 2726.
3. Total number of formulations is expanded by about 30% with many newly approved formulations.
4. Novel formulations are now provided for a variety of drugs; these data are collected from the massive intellectual property data and suggest toward the future trend of formulations. While some of these formulations may not have been approved in the United States or Europe, these do provide additional choices, particularly for the NDA preparation. As always, it is the responsibility of the manufacturer to assure that the intellectual property rights are not violated.
5. A significant change in this edition is the inclusion of commercial products; while most of this information is culled out from the open source such as the FOIA (<http://www.fda.gov/foi/default.htm>), I have made attempts to reconstruct the critical portions of it based on what I call the generally acceptable standards. The drug companies are advised to assure that any intellectual property rights are not violated

and this applies to all information contained in this book. The freedom of information act (FOIA) is an extremely useful conduit for reliable information and manufacturers are strongly urged to make use of this information. Whereas this information is provided free of charge, the process of obtaining the information may be cumbersome, in which case, commercial sources of these databases can prove useful, particularly for the non-U.S. companies.

6. Also included are the new Good Manufacturing Guidelines (2007) with amendments (2008) for the United States and similar updates for European Union and WHO; it is strongly urged that the companies discontinue using all old documents as there are significant changes in the revised form, and many of them are likely to reduce the cost of GMP compliance.
7. Details on design of clean rooms is a new entry that will be of great use to sterile product manufacturers; whereas the design and flow of personnel and material flow is of critical nature, regulatory agencies view these differently and the manufacturer is advised always to comply with most stringent requirements.
8. Addition of a self-auditing template in each volume of the series. While the cGMP compliance is a complex issue and the requirements diversified across the globe, the basic compliance remains universal. I have chosen the European Union guidelines (as these are more in tune with the ICH) to prepare a self-audit module that I recommend that every manufacturer adopt as a routine to assure GMP compliance. In most instances reading the template by those responsible for compliance with keep them sensitive to the needs of GMP.
9. OTC products cross-referenced in other volumes where appropriate. This was necessary since the regulatory authorities worldwide define this class of drug differently. It is important to iterate that regardless of the prescription or the OTC status of a product, the requirements for compliance with the cGMP apply equally.
10. OTC monograph status is a new section added to the OTC volume and this should allow manufacturers to chose appropriate formulations that may not require a filing with the regulatory agencies; it is important to iterate that an approved OTC monograph includes details of formulation including the types and quantities of active drug and excipients, labeling, and presentation. To qualify the exemption, the manufacturer must comply with the monograph in its entirety. However, subtle modifications that are merely cosmetic in nature and where there is an evidence that

the modification will not affect the safety and efficacy of the products can be made but require prior approval of the regulatory agencies and generally these approvals are granted.

11. Expanded discussion on critical factors in the manufacturing of formulations provided; from basic shortcuts to smart modifications now extend to all dosage forms. Pharmaceutical compounding is one of the oldest professions and whereas the art of formulations has been relegated to more objective parameters, the art nevertheless remains. An experienced formulator, like an artist, would know what goes with what and why; he avoids the pitfalls and stays with conservative choices. These sections of the book present advice that is time tested, although it may appear random at times; this is intended for experienced formulators.
12. Expanded details on critical steps in the manufacturing processes provided but to keep the size of the book manageable, and these are included for prototype formulations. The reader is advised to browse through similar formulations to gain more insight. Where multiple formulations are provided for the same drug, it intended to show the variety of possibilities in formulating a drug and whereas it pertains to a single drug, the basic formulation practices can be extended to many drugs of same class or even of diversified classes. Readers have often requested that more details be provided in the Manufacturing Direction sections. Whereas sufficient details are provided, this is restricted to prototype formulations to keep the size of the book manageable and to reduce redundancy.
13. Addition of a listing of approved excipients and the level allowed by regulatory authorities. This new section allows formulators a clear choice on which excipients to choose; the excipients are reported in each volume pertaining to the formulation type covered. The listing is drawn from the FDA-approved entities. For the developers of an ANDA, it is critical that the level of excipients be kept within the range generally approved to avoid large expense in justifying any unapproved level. The only category for which the listing is not provided separately is the OTC volume since it contains many dosage forms and the reader is referred to dosage form-specific title of the series. The choice of excipients forms keeps increasing with many new choices that can provide many special release characteristics to the dosage forms. Choosing correct excipients is thus a tedious exercise and requires sophisticated multivariate statistical analysis. Whereas the formulator may choose any number of novel or classical components, it is important to know the levels of excipients that are generally allowed in various formulations to reduce the cost of redundant exercises; I have therefore included, as an appendix to each volume, a list of all excipients that are currently approved by the U.S. FDA along their appropriate levels. I suggest that a formulator consult this table before deciding on which level of excipient to use; it does not mean that the excipient cannot be used outside this range but it obviates the need for a validation and lengthy justification studies in the submission of NDAs.
14. Expanded section on bioequivalence submission was required to highlight the recent changes in these requirements. New entries include a comprehensive listing of bioequivalence protocols in abbreviated form as approved by the U.S. FDA; these descriptions are provided in each volume where pertinent. To receive approval for an ANDA, an applicant must generally demonstrate, among other things, equivalence of the active ingredient, dosage form, strength, route of administration and conditions of use as the listed drug, and that the proposed drug product is bioequivalent to the reference listed drug [21 USC 355(j)(2)(A); 21 CFR 314.94(a)]. Bioequivalent drug products show no significant difference in the rate and extent of absorption of the therapeutic ingredient [21 U.S.C. 355(j)(8); 21 CFR 320.1(e)]. BE studies are undertaken in support of ANDA submissions with the goal of demonstrating BE between a proposed generic drug product and its reference listed drug. The regulations governing BE are provided at 21 CFR in part 320. The U.S. FDA has recently begun to promulgate individual bioequivalence requirements. To streamline the process for making guidance available to the public on how to design product-specific BE studies, the U.S. FDA will be issuing product-specific BE recommendations (www.fda.gov/cder/ogd/index.htm). To make this vital information available, an appendix to each volume includes a summary of all currently approved products by the U.S. FDA where a recommendation on conducting bioequivalence studies is made available by the U.S. FDA. When filing an NDA or an ANDA, the filer is faced with the choice of defending the methods used to justify the bioavailability or bioequivalence data. The U.S. FDA now allows application for waiver of bioequivalence requirement; a new chapter on this topic has been added along with details of the dissolution tests, where applicable, approved for various dosage forms.
15. Dissolution testing requirements are included for all dosage forms where this testing is required by the FDA. Surrogate testing to prove efficacy and compliance is getting more acceptance at regulatory agencies; in my experience, a well-designed dissolution test is the best measure of continuous compliance. Coupled with chapters on waivers of bioequivalence testing, this information on dissolution testing should be great value to all manufacturers; it is recommended that manufacturers develop their own in-house specifications, more stringent than those allowed in these listings and the USP.

16. Best-selling products (top 200 prescription products) are identified with an asterisk and a brand name where applicable; in all instances, composition of these products is provided and formulation of generic equivalents. Despite the vast expansion of pharmaceutical sales and shifting of categories of blockbuster drugs, basic drugs affecting gastrointestinal tract, vascular system, and brain remain most widely prescribed.
17. Updated list of approved coloring agents in the United States, Canada, European Union, and Japan is included to allow manufactures to design products for worldwide distribution.
18. Tablet-coating formulations that meet worldwide requirements of color selection are included in the Volume 1 (compressed solids) and Volume 5 (OTC) because these represent the products often coated.
19. Guidelines on preparing regulatory filings are now dispersed throughout the series depending on where these guidelines are more crucial. However, the reader would, as before, need access to all volumes to benefit from the advice and guidelines provided.

As always, comments and criticism from the readers are welcomed and these can be sent to me at Niazi@pharmsci.com or Niazi@niazi.com. I would try to respond to any inquiries requiring clarification of the information enclosed in these volumes.

I would like to express deep gratitude to Sherri R. Niziolek and Michelle Schmitt-DeBonis at Informa, the publisher of this work, for seeing an immediate value to the readers in publishing the second edition of this book and allowing me enough time to prepare this work. The diligent editing and composing staff at Informa, particularly Joseph Stubenrauch, Baljinder Kaur and others are highly appreciated. Regardless, all errors and omissions remain altogether mine.

In the first edition, I had dedicated each volume to one of my mentors; the second edition continues the dedication to these great teachers.

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Preface to the Series—First Edition

No industry in the world is more highly regulated than the pharmaceutical industry because of potential threat to a patient's life from the use of pharmaceutical products. The cost of taking a new chemical entity (amortized over the cost of all molecules racing) to final regulatory approval is a staggering \$800 million, making the pharmaceutical industry one of the most research-intensive industries in the world. In the year 2004, it is anticipated that the industry will spend about \$20 billion on research and development. The generic market of drugs as the new entities come off patent is one of the fastest growing segments of the pharmaceutical industry, with every major multinational company having a significant presence in this field.

Whereas many stages of new drug development are inherently constrained with time, the formulation of drugs into desirable dosage forms remains an area where expediency can be practiced with appropriate knowledge by those who have mastered the skills of pharmaceutical formulations. The Handbook of Pharmaceutical Manufacturing Formulations is the first major attempt to consolidate the available knowledge about formulations in a comprehensive, and by nature a rather voluminous, presentation.

The book is divided into six volumes, based strictly on the type of formulation science involved in the development of these dosage forms: sterile products, compressed solids, uncompressed solids, liquid products, semisolid products, and OTC products. The separation of OTC products even though they may easily fall into one of the other five categories is made to comply with the industry norms of separate research divisions for OTC products. Sterile products require skills related to sterilization of product, and of less importance is the bioavailability issue, which is an inherent problem of

compressed dosage forms. These types of considerations have led to the classification of products into these six categories.

Each volume includes a description of regulatory filing techniques for the formulations described. Also included are the current regulatory guidelines on cGMP compliance specific to the dosage form. Advice is offered on how to scale up the production batches.

It is expected that formulation scientists will use this information to benchmark their internal development protocols and cut the race to file short by adopting formulae that have survived the test of time. Many of us who have worked in the pharmaceutical industry suffer from a close paradigm when it comes to selecting formulations—"not invented here" perhaps reigns in the mind of many seasoned formulations scientists subconsciously when they prefer to choose only a certain platform for development. It is expected that with the quick review of possibilities available to formulate made available in this book, scientists will benefit from the experience of others.

For the teachers of formulation sciences, this series offers a wealth of information. Whether it is a selection of a preservative system or the choice of a disintegrant, the series offers a wide choice to study and rationalize.

Many have assisted me in the development of this work that has taken years to compile, and I thank scores of my graduate students and colleagues for their help. A work of this size cannot be produced without errors, although I hope that these errors do not distract the reader from the utility of the book. I would sincerely appreciate if readers point out these mistakes for corrections in future editions.

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Preface to the Volume—First Edition

Compressed solids present one of the greatest challenges to formulation scientists, as they offer remarkable marketing opportunities to marketers. A solid oral dosage form is easy to ingest, is relatively more stable than other dosage forms (longer shelf life), and with it, opportunities to design delivery profiles to meet specific therapeutic requirements are offered. As a result, almost two-thirds of all dosage forms fall into this category. The challenge in formulating these products includes finding an optimum medium of compromises that will ensure releases of an active drug at the most desired and consistent rate. The formulation components and process of manufacturing thus take pivotal importance. As a result, the formulations provided in this volume offer a rare opportunity for formulators to start with an optimal composition. Described in this volume are formulations for over 200 of the most widely used drugs for all types of release profiles.

The most significant issues in the formulation of compressed solids are related to bioequivalence. Over the past quarter of a century, the science of evaluating equivalence of products has taken a greater emphasis on testing in human subjects. Although they are expensive to conduct, such trials are now routine, requiring frequent evaluation during the development phases and before marketing new entities. Most frequently, trials are required when establishing generic equivalences. The U.S. FDA may require additional biostudies if there is a change in the manufacturing site or even a change in the specification of a raw material. This aspect of formulation development clearly differentiates the compressed solids category; as a result, chapter 1 in the book deals with the guidelines for bioavailability and bioequivalence testing of pharmaceutical products. Noteworthy are the changes proposed in this guideline from what is the currently accepted methodology; for example, what was long considered necessary, the multiple-dose studies of modified release products, will yield to single-dose studies, which are considered more discriminating. The manufacturers are particularly reminded to understand the changes in the requirements of bioavailability and bioequivalence studies that are on the horizon.

The formulation of compressed solids involves a highly intricate series of events, from the characterization of the active pharmaceutical ingredient, to the choice of excipients, to the selection of processing, compression, and coating equipment and packaging systems appropriate for the specific drug and the dosage form. In chapter 2 of this volume, we highlight what the manufacturers need to be aware of in establishing a manufacturing process based on the formulations presented.

In other volumes of this series, details are provided on various other issues that pertain to the manufacturing of compressed solids, including validation issues, compliance with cGMP, laboratory guidelines, etc. The reader is referred to the other volumes for further understanding of the subject matter.

Compressed solids or tablets are usually applied with coatings, mainly aqueous film coatings, for many reasons, from

aesthetics to imparting higher physical-chemical stability. Coating technology is a separate science. Fortunately, the major suppliers of equipment, such as Accela-Cota® and Glatt® and coating materials such as Colorcon® and Rohm®, are very helpful in establishing coating parameters and choosing the right coating materials and formulations. A large number of coating formulations are listed in a separate section in this book, including sugar coating, film coating, and enteric coatings. With such a wide variety available, coating steps are omitted from all formulations where coating is recommended. Instead, the reader is referred to the appropriate section of the book to make an appropriate choice.

The formulations are presented with a scale for each unit, per tablet; and quantities are expressed for 1000 tablets. It is customary for manufacturers to scale formulas for a specific weight, such as 100 or 1000 kg, to match mixing vessel requirements. This can be done roughly by multiplying the weight of each tablet by the quantity desired to calculate the size of the batch. Remember that the actual yield may be different because of differences in the scale and quantity, due to differences in the chemical forms of the drugs used, excesses added, and losses of moisture during manufacturing. Further, the adjustment of quantity based on the potency of the raw material, where pertinent, changes the quantity requirements.

A distinctive feature of this volume is the identification and inclusion of the most popular prescription products. The 200 most widely prescribed drugs (by brand name) are marked with a bracketed number to indicate their rankings. These data are derived from over 3 billion prescriptions filled during 2002 in the United States, comprising the majority of the U.S. prescription market. Because in some instances more than one brand name is prescribed, only the top brand is listed; therefore, the total number of chemical equivalents is less than 200. The compressed solids represent more than an 80% share of this list, therefore expounding the need to elaborate this list in this particular volume. Obviously, for a generic manufacturer, it would be advantageous to enter the market with products that have a wide market, not necessarily the largest margin, and this list will further help in the selection of products. It is noteworthy that in the preparation of an ANDA (Abbreviated New Drug Application), it is important for both regulatory and scientific reasons to keep the selection of excipients as close as possible to the innovator's product. The listing provided here includes every excipient used in the innovator listing. Whereas, in most instances, sufficient details are provided to assist in the formulation of a generic equivalent with exact quantities of excipients and conditions appropriate for processing, the examples provided for other drugs of similar types should be sufficient for an astute formulator to quickly develop these formulations. However, should there be a need for assistance in finalizing the formulation, the reader is invited, without any obligation, to write to the author at niazi@pharmsci.com.

I am grateful to CRC Press for taking this lead in publishing what is possibly the largest such work in the field of pharmaceutical products. It has been a distinct privilege to have known Mr. Stephen Zollo, the senior editor at CRC Press, for many years. Stephen has done more than any editor can to encourage me to complete this work on a timely basis. The editorial assistance provided by the CRC Press staff was exemplary, particularly the help given by Erika Dery, Joette Lynch, and others at CRC Press. Although much care has gone into correcting errors, any errors remaining are altogether mine. I would appreciate it if the readers bring these errors to my attention so that they can be corrected in future editions of this volume (niazi@pharmsic.com).

This book is dedicated to Sidney Riegelman, who was born July 19, 1921, in Milwaukee, Wisconsin. He attended the University of Wisconsin, graduating with a Bachelor of Science degree in pharmacy in 1944 and a Ph.D. in pharmacy in 1948. Following his graduate work, Sid joined the faculty of the School of Pharmacy at the University of California at San Francisco. In 1958, Sid published a series of papers with graduate student Wilfred Crowell, which appeared in the scientific edition of the *Journal of the American Pharmaceutical Association* under the major heading of “The Kinetics of Rectal Absorption.” For these studies, Sid was awarded the Ebert Prize in 1959, which recognized Sid’s publications as the best work published in the journals of the American Pharmaceutical Association during the year 1958. Sid’s contributions to pharmaceutical sciences, particularly in the field of pharmacokinetics, earned him a revered place in the

profession. On April 4, 1981, Sid drowned while scuba diving with his wife at Salt Point, California, a coastal area just north of San Francisco. At the University of California, a plaque is dedicated to Sid “by his graduate students, who honor his scientific achievements and excellence, his inspirations and contagious enthusiasm in research and teaching. We shall always remember Sid as our mentor, scientific father and most importantly, as our beloved friend and confidant.”

I had the distinct privilege, both during my graduate studies and later as a faculty member teaching biopharmaceutics and pharmacokinetics, to interact with Sid. When my book, *Textbook of Biopharmaceutics and Clinical Pharmacokinetics*, was published, Sid called to congratulate me. It was like receiving a call from God—that is how he was revered in the profession. I remember vividly how he would argue in seminars while appearing to be dozing off during the presentation. Sid was a giant: a scientist, a scholar, and, above all, a loving human being. When a professional crisis arose, I called Sid for advice. Instead of telling me what I should do, Sid told me a story about his childhood: “Sarf, my brother was much stronger than I and every time he would run into me, he would take a jab at me, and when I would return his jab, he would knock me down. I complained about this to my father, and my father advised me not to return the jabs. My brother became so frustrated, he started jabbing others.” I have never forgotten his advice.

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Sarfaraz K. Niazi has been teaching and conducting research in the pharmaceutical industry for over 40 years. He has authored hundreds of scientific papers, scores of textbooks, handbooks and literary books on the topics of pharmaceutical formulation, biopharmaceutics, pharmacokinetic, bioprocessing, and recombinant engineering, as well as poetry and philosophy. He

is also an inventor with 100+ patents in the field of bioprocessing, technology, drug and dosage form delivery systems; he is also licensed to practice law before the U.S. Patent and Trademark Office. He has formulated hundreds of products ranging from the most popular consumer products to complex generics and biotechnology-derived products. Dr. Niazi advises regulatory agencies and the pharmaceutical industry and making safe and effective drugs affordable (www.pharm-sci.com). He can be contacted at niazi@niazi.com.

OTHER SELECTED BOOKS BY AUTHOR

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Part I

Regulatory and Manufacturing Considerations



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1 Bioequivalence Testing

Rationale and Principles

I. BACKGROUND

The bioavailability of a drug is controlled by three factors, namely:

- The rate and extent of release of the drug from the dosage form
- Its subsequent absorption from the solution state
- The biotransformation during the process of absorption

In all quantitative determinations of bioavailability, concentration is measured in blood, plasma, and urine. Plasma concentrations following the oral administration of a drug assume four sequential phases depending on the magnitude of absorption and elimination:

1. Absorption > elimination
2. Absorption = elimination
3. Absorption < elimination
4. Absorption = elimination = 0

The shape of the plasma concentration profile depends on the relative rates of absorption and elimination, and thus, the plasma concentration profiles may be quite different with different routes of administration. Intravenous and sometimes intramuscular routes yield an early peak due to fast or almost instantaneous absorption, whereas oral, subcutaneous, rectal, and other routes may show delayed peaks due to slower rates of absorption. It should be noted that the rate of elimination is considered constant since it depends primarily on the specific nature of the active drug ingredient.

The purpose of bioavailability studies is to demonstrate therapeutic equivalence. However, depending on the mechanism of action, more meaningful comparisons can be made from such parameters as peak plasma concentration or the time to reach peak plasma concentration. For example, in the case of antibiotics, it is important to know how soon the minimum inhibitory concentration is reached and maintained. The choice of single-dose versus multiple-dose study depends on the mechanism of drug action. For example, antidepressants such as imipramine show delayed action, a characteristic of many psychotropic and antihypertensive agents. In these instances, a new product should be judged for its quality from repeated administration, because in these examples, the peak concentration or time to peak concentration is relatively unimportant. It is therefore important to isolate the clinically important parameter, but in all instances, the area under the curve (AUC) must be monitored, since it represents the

proportionality to the total amount of drug eliminated from the body and hence, absorbed.

The estimation of bioavailability from plasma concentration profiles requires a thorough understanding of the nature of plasma-level profiles. For example, a higher or earlier peak does not necessarily mean greater overall absorption than from a product giving a smaller or delayed peak. The total absorption of drugs is, therefore, proportional not only to the plasma concentrations achieved but also to the length of time these concentrations persist in the blood. One parameter that characterizes this aspect is the area under the plasma concentration versus time profile.

The major contribution to the AUC for a fast-absorbed formulation is due to the high peak concentration, whereas for a slowly absorbed formulation, the area is mainly because of sustained or prolonged plasma concentration. It should be noted that the area under the plasma concentration versus time profile is only proportional to the total amount of drug absorbed and cannot be used to determine the actual amount of drug administered unless it is compared with a known standard, whereby the extent of absorption is either measured by other methods or assumed to be 100%, as in the case of intravenous administration.

The *in vivo* bioavailability of a drug product is measured if the product's rate and extent of absorption, as determined by comparison of measured parameters, for example, concentration of the active drug ingredient in the blood, urinary excretion rates, or pharmacological effects, do not indicate a significant difference from the reference material's rate and extent of absorption. For drug products that are not intended to be absorbed into the bloodstream, bioavailability may be assessed by measurements intended to reflect the rate and extent to which the active ingredient or active moiety becomes available at the site of action.

Statistical techniques used in establishing bioequivalence shall be of sufficient sensitivity to detect differences in the rate and extent of absorption that are not attributable to subject variability.

A drug product that differs from the reference material in its rate of absorption, but not in its extent of absorption, may be considered to be bioavailable if the difference in the rate of absorption is intentional, is appropriately reflected in the labeling, is not essential to the attainment of effective body drug concentrations on chronic use, and is considered medically insignificant for the drug product.

Two drug products will be considered bioequivalent drug products if they are pharmaceutical equivalents or pharmaceutical alternatives whose rate and extent of absorption do not show a significant difference when administered at the

same molar dose of the active moiety under similar experimental conditions as either a single dose or multiple doses. Some pharmaceutical equivalents or pharmaceutical alternatives may be equivalent in the extent of their absorption but not in their rate of absorption and yet may be considered bioequivalent, because such differences in the rate of absorption are intentional and are reflected in the labeling, are not essential to the attainment of effective body drug concentrations on chronic use, and are considered medically insignificant for the particular drug product studied.

II. EVIDENCE TO MEASURE BIOEQUIVALENCE

In vivo bioequivalence may be determined by one of several direct or indirect methods. The selection of the method depends upon the purpose of the study, the analytical method available, and the nature of the drug product. Bioequivalence testing should be conducted using the most appropriate method available for the specific use of the product.

The preferred hierarchy of bioequivalence studies (in descending order of sensitivity) is the blood-level study, pharmacologic end-point study, and clinical end-point study. When absorption of the drug is sufficient to measure drug concentration directly in the blood (or other appropriate biological fluids or tissues), and systemic absorption is relevant to the drug action, then a blood (or other biological fluid or tissue)-level bioequivalence study should be conducted. The blood-level study is generally preferred above all others as the most sensitive measure of bioequivalence. The sponsor should provide justification for choosing either a pharmacologic or a clinical end-point study over a blood-level (or other biological fluids or tissues) study.

When the measurement of the rate and extent of absorption of the drug in biological fluids cannot be achieved or is unrelated to drug action, a pharmacologic end-point (i.e., a drug-induced physiologic change which is related to the approved indications for use) study may be conducted. Lastly, in order of preference, if drug concentrations in blood (or fluids or tissues) are not measurable or are inappropriate, and there are no appropriate pharmacologic effects that can be monitored, then a clinical end-point study may be conducted, comparing the test (generic) product with the reference (pioneer) product and a placebo (or negative) control.

Bioavailability may be measured, or bioequivalence may be demonstrated, by several in vivo and in vitro methods. The Food and Drug Administration (FDA) may require in vivo or in vitro testing, or both, to measure the bioavailability of a drug product or establish the bioequivalence of specific drug products. Information on bioequivalence requirements for specific products is included in the current edition of FDA's publication "Approved Drug Products with Therapeutic Equivalence Evaluations" and any current supplement to the publication. The selection of the method used to meet an in vivo or in vitro testing requirement depends upon the purpose of the study, the analytical methods available, and the nature of the drug product. The following in vivo and in vitro approaches, in descending order of accuracy, sensitivity, and

reproducibility, are acceptable for determining the bioavailability or bioequivalence of a drug product:

- An in vivo test in humans in which the concentration of the active ingredient or active moiety, and when appropriate, its active metabolite(s), in whole blood, plasma, serum, or other appropriate biological fluid is measured as a function of time. This approach is particularly applicable to dosage forms intended to deliver the active moiety to the bloodstream for systemic distribution within the body.
- An in vitro test that has been correlated with and is predictive of human in vivo bioavailability data.
- An in vivo test in humans in which the urinary excretion of the active moiety, and when appropriate, its active metabolite(s), is measured as a function of time. The intervals at which measurements are taken should ordinarily be as short as possible so that the measure of the rate of elimination is as accurate as possible. Depending on the nature of the drug product, this approach may be applicable to highly metabolized drugs. This method is not appropriate where urinary excretion is not a significant mechanism of elimination.
- An in vivo test in humans in which an appropriate acute pharmacological effect of the active moiety, and when appropriate, its active metabolite(s), is measured as a function of time, if such effect can be measured with sufficient accuracy, sensitivity, and reproducibility. This approach is applicable only when appropriate methods are not available for measurement of the concentration of the moiety, and when appropriate, its active metabolite(s), in biological fluids or excretory products, but a method is available for the measurement of an appropriate acute pharmacological effect. This approach may be particularly applicable to dosage forms that are not intended to deliver the active moiety to the bloodstream for systemic distribution.
- Well-controlled clinical trials that establish the safety and effectiveness of the drug product, for purposes of measuring bioavailability, or appropriately designed comparative clinical trials, for purposes of demonstrating bioequivalence. This approach is the least accurate, sensitive, and reproducible of the general approaches for measuring bioavailability or demonstrating bioequivalence. For dosage forms intended to deliver the active moiety to the bloodstream for systemic distribution, this approach may be considered acceptable only when analytical methods cannot be developed to permit the use of one of the approaches outlined previously. This approach may also be considered sufficiently accurate for measuring bioavailability or demonstrating bioequivalence of dosage forms intended to deliver the active moiety locally (for example, topical preparations for the skin, eye, and mucous membranes; oral dosage

forms not intended to be absorbed, for example, an antacid or radiopaque medium; and bronchodilators administered by inhalation) if the onset and duration of pharmacological activity are defined.

- A currently available in vitro test acceptable to FDA (usually a dissolution rate test) that ensures human in vivo bioavailability.
- Any other approach deemed adequate by FDA to measure bioavailability or establish bioequivalence.

FDA may require in vivo testing in humans of a product at any time if the agency has evidence that the product

- May not produce therapeutic effects comparable to a pharmaceutical equivalent or alternative with which it is intended to be used interchangeably
- May not be bioequivalent to a pharmaceutical equivalent or alternative with which it is intended to be used interchangeably
- Has greater than anticipated potential toxicity related to pharmacokinetic or other characteristics

A list of therapeutic, pharmacokinetic, and physicochemical factors has been compiled to classify which product needs demonstration of bioequivalence by in vivo testing (Table 1.1). A large number of drugs have been classified in this category (Table 1.2). All enteric-coated and controlled-release dosage forms of any solid oral dosage form require in vivo bioavailability testing. It is generally suggested that if more than 25% intrabatch or batch-to-batch variability in bioavailability is

observed, in vivo tests will be required for batch certification. Any changes in the manufacturing process, including product formulation or dosage strength change, beyond that suggested in the new drug application (NDA) or abbreviated new drug application (ANDA) and changes in labeling for a new indication or new dosage regimen also require in vivo bioavailability testing.

The pharmacotherapeutic nature of the drug plays an important role in the regulations regarding its bioavailability. Drugs which exhibit narrow therapeutic index, that is, less than a twofold difference between median lethal dose and median effective dose values (or less than a twofold difference between the minimum effective concentration and the minimum toxic concentration in the blood) require careful demonstration of bioavailability and the consistency with which this requirement is met. Further consideration is needed regarding the type of side effects occurring if a toxic level is reached. For example, the therapeutic index (the U.S. FDA prefers to call this the therapeutic range) for salicylates is smaller than that for cardiac glycosides; this does not mean that cardiac glycosides are less toxic. It merely signifies that the concentration of salicylates for therapeutic response is closer to the concentration at which undesirable side effects start to appear. Another consideration along the same line is the potency of the drug in question. Generally, highly potent drugs will require greater control of bioavailability than drugs with lower potency. Because of the logarithmic nature of the response, the curves flatten out at low and high doses. Thus, a highly potent drug used in large doses will show a lower variability in response due to bioavailability factor than a low-potency

TABLE 1.1
Factors Determining the Establishment of Bioequivalence Requirement by the FDA

1. Therapeutic factors: evidence from
 - a. Clinical trials
 - b. Controlled observations on patients.
 - c. Well-controlled bioequivalence studies showing that
 - i. The drug exhibits a low therapeutic ratio.
 - ii. The drug requires careful dosage titration.
 - iii. Bioinequivalence would produce adverse prophylactic or therapeutic effects
 2. Pharmacokinetic factors: evidence that the drug entity
 - a. Is absorbed from localized sites in the gastrointestinal tract
 - b. Is subject to poor absorption
 - c. Is subject to first-pass metabolism
 - d. Requires rapid dissolution and absorption for effectiveness
 - e. Is unstable in specific portions of the gastrointestinal tract
 - f. Is subject to dose-dependent kinetics in or near the therapeutic range
 3. Physicochemical factors: evidence that the drug
 - a. Possesses low solubility in water or gastric fluids
 - b. Is dissolved slowly from one or more of its dosage forms
 - c. Has bioavailability that may be affected by particle size and/or surface area
 - d. Exhibits certain physical-structural characteristics, e.g., polymorphism, solvates, etc., which modify its bioavailability
 - e. Has a high ratio of excipients to active ingredients as formulated
 - f. Has bioavailability which may be affected by the presence or absence of hydrophilic or hydrophobic excipients and lubricant
-

TABLE 1.2
Drugs with Potential Bioequivalency Problems

Acetazolamide	Hydroflumethiazide	Propylthiouracil
Acetyldigitoxin	Imipramine	Pyrimethamine
Alseroxylon	Isoproterenol	Quinethiazide
Aminophylline	Liothyronine	Quinidine
Aminosalicic acid	Menadione	<i>Rauwolfia serpentina</i>
Bendroflumethiazide	Mephenytoin	Rescinnamine
Benzthiazide	Methazolamide	Reserpine
Betamethasone	Methylclothiazide	Salicylazosulfapyridine
Bishydroxycoumarin	Methylprednisolone	Sodium sulfoxone
Chlorambucil	Methyltestosterone	Spirolactone
Chlorodiazepoxide	Nitrofurantoin	Sulfadiazine
Chlorpromazine	Oxtriphylline	Sulfadimethoxine
Chlorothiazide	Para-aminosalicylic acid	Sulfamerazine
Cortisone acetate	Para-methadione	Sulfaphenazole
Deserpidine	Perphenazine	Sulfasomidine
Dexamethasone	Phenacemide	Sulfisoxazole
Dichlorphenamide	Phensuximide	Theophylline
Dienestrol	Phenylaminosalicylate	Thioridazine
Diethylstilbestrol	Phenytoin	Tolbutamide
Dyphylline	Phytonadione	Triamcinolone
Ethinyl estradiol	Polythiazide	Trichlormethiazide
Ethosuximide	Prednisolone	Triethyl melamine
Ethotoin	Primidone	Trifluoperazine
Ethoxzolamide	Probencid	Triflupromazine
Fludrocortisone	Procainamide	Trimeprazine
Fluphenazine	Prochlorperazine	Trimethadione
Fluprednisolone	Promazine	Uracil mustard
Hydralazine	Promethazine	Warfarin
Hydrochlorothiazide		

drug used at a dose level where the response is log-linear. Any such comparison, however, should take into account the relative nature of the slope of the response to dose.

The physicochemical evidence needed to establish bioequivalence includes low water solubility, for example, less than 5 mg/mL, or if dissolution in the stomach is critical to absorption, the volume of gastric fluids required to dissolve the recommended dose (the gastric fluid content is assumed to be 100 mL for adults and is prorated for infants and children). The dissolution rates are also taken into consideration if less than 50% of the drug dissolves in 30 minutes using official methods. Also included under physicochemical evidence are the particle size and surface area of the active drug ingredient. Certain physical-structural characteristics of the active drug ingredient, for example, polymorphism, solvation, etc., are also considered. Drug products which have a high ratio of excipients to active ingredients (e.g., greater than 5:1) may also be subjected to bioequivalency demonstration. Other evidence includes specific absorption sites or where the available dose is less than 50% of an administered dose. Drugs which are rapidly biotransformed in the intestinal wall or liver during absorption, and drugs which are unstable in specific portions of the gastrointestinal tract and hence, require special coating or formulations, are also subjected to bioequivalency

requirements, as are drugs which show dose-dependent absorption, distribution, biotransformation, or elimination.

For some dosage forms, such as topical products or oral dosage forms not intended for absorption, inhalations, and solutions, bioequivalency requirements can be waived if there is sufficient evidence that the inactive ingredients do not affect the release and delivery of drugs from the dosage form.

III. PIVOTAL PARAMETERS FOR BLOOD-LEVEL BIOEQUIVALENCE

The sponsor is encouraged to calculate parameters using formulas which involve only the raw data (i.e., so-called *model-independent* methods).

A. AREA UNDER THE CURVE ESTIMATES

The extent of product bioavailability is estimated by the area under the blood concentration versus time curve (AUC). The AUC is most frequently estimated using the linear trapezoidal rule. Other methods for AUC estimation may be proposed by the sponsor and should be accompanied by appropriate literature references during protocol development. For a single-dose bioequivalence study, AUC should be calculated from time 0 (predose) to the last sampling time associated with quantifiable drug concentration (AUC 0–LOQ [limit of quantitation]). The comparison of the test and reference product values for this noninfinity estimate provides the closest approximation of the measure of uncertainty (variance) and the relative bioavailability estimate associated with AUC (0–INF [infinity]), the full extent of product bioavailability. The relative AUC values generally change very little once the absorption of both products has been completed. However, because of the possibility of multifunctional absorption kinetics, it is not always possible to determine when the available drug has been completely absorbed. Therefore, FDA recommends extending the duration of sampling until such time that $AUC(0-LOQ)/AUC(0-INF) = 0.80$. Generally, the sampling times should extend to at least three multiples of the drug's apparent terminal elimination half-life, beyond the time when maximum blood concentrations are achieved.

AUC (0–INF) should be used to demonstrate that the concentration–time curve can be quantitated such that $AUC(0-LOQ)/AUC(0-INF) \geq 0.80$. The method for estimating the terminal elimination phase should be described in the protocol and the final study report. The AUC (0–LOQ)/AUC (0–INF) is calculated to determine whether AUC (0–LOQ) adequately reflects the extent of absorption.

The sponsor should consult with FDA if $AUC(0-LOQ)/AUC(0-INF)$ is determined to be <0.80 . If $AUC(0-LOQ)/AUC(0-INF)$ is $\ll 0.80$, then a multiple-dose study to steady state may be needed to allow an accurate assessment of AUC (0–INF) (where $AUC(0-INF) = AUC(0-t)$ at steady state and t is the dosing interval).

In a multiple-dose study, the AUC should be calculated over one complete dosing interval AUC (0– t). Under steady-state conditions, AUC (0– t) equals the full extent of bioavailability

of the individual dose AUC (0–INF) assuming linear kinetics. For drugs which are known to follow nonlinear kinetics, the sponsor should consult with FDA to determine the appropriate parameters for the bioequivalence determination.

IV. RATE OF ABSORPTION

The rate of absorption will be estimated by the maximum observed drug concentration (C_{\max}) and the corresponding time to reach this maximum concentration (T_{\max}). When a steady-state investigation is conducted, data on the minimum drug concentrations (trough values) observed during a single dosing interval (C_{\min}) should also be collected. Generally, three successive C_{\min} values should be provided to verify that steady-state conditions have been achieved. Although C_{\min} most frequently occurs immediately prior to the next successive dose, situations do occur with C_{\min} observed subsequent to dosing. To determine a steady-state concentration, the C_{\min} values should be regressed over time, and the resultant slope should be tested for its difference from zero.

V. DETERMINATION OF PRODUCT BIOEQUIVALENCE

Unless otherwise indicated by FDA during the protocol development for a given application, the pivotal bioequivalence parameters will be C_{\max} and AUC (0–LOQ) (for a single-dose study) or AUC (0– t) (for a multiple-dose study). To be indicative of product bioequivalence, the pivotal metrics should be associated with confidence intervals which fall within a set of acceptability limits.

The sponsor and FDA should agree to the acceptable bounds for the confidence limits for the particular drug and formulation during protocol development. If studies or literature demonstrate that the pioneer drug product exhibits highly variable kinetics, then the generic drug sponsor may propose alternatives to the generally acceptable bounds for the confidence limits. T_{\max} in single-dose studies and C_{\min} in multiple-dose studies will be assessed by clinical judgment.

VI. ERRORS IN BIOEQUIVALENCE STUDIES

Erroneous conclusions can easily be made if the logic behind bioavailability studies is not clearly understood. The following are the important highlights of the most common errors:

1. When concentrations are monitored in biologic fluids, the specificity of the assay methods is of utmost importance. This is especially applicable to single-dose studies, in which small concentrations should be monitored in order to allow study of the complete elimination of the drug from the body.
2. It is generally assumed that the absorption rates of drugs are higher than the rates of elimination, but there can be exceptions, in which case the terminal plasma concentration profiles would represent both the absorption and elimination processes, and the

mathematical/statistical models used should take this into account.

3. The extrapolation of plasma or urinary concentration data to compensate for missing experimental points always introduces some error into the calculations; it is desirable to extend the study to at least three elimination half-lives when plasma concentration is monitored and to at least seven half-lives when monitoring urinary excretion of drugs to estimate their bioavailability.
4. There is often a lack of sufficient data points to characterize the plasma concentration profiles. Significant area can be lost if sufficient points are not collected during the peaking of the concentration. In general, there should be at least three data points before the peak occurs and at least four or five values after the peak, if possible.
5. The variation among individuals in the elimination rates of a drug should be considered. The proportionality between AUC and bioavailability is based on the assumption that the elimination rates are invariant; any deviation from the norm will result in significant error. Correction of this error can be made if the elimination rate constants are calculated for each subject and the AUC is corrected. If a drug is eliminated rapidly, K will be large, accounting for possible underestimation of the AUC.
6. Comparison of data for different studies which may not be well matched in terms of the characteristics of the subject population, study conditions, or routes of drug administration should be done with due consideration of these factors. It is ironic that such cross-study comparisons are both very common and very misleading.
7. When identical drug concentrations are obtained in the plasma following the administration of equimolar doses from different formulations, these formulations are considered bioequivalent, and the principle is referred to as the *superimposition principle*. When using this principle, one must choose a number of subjects in accordance with the statistical criteria which will demonstrate at least 20% differences in the means of values in order to make them clinically significant. This criterion can be applied to the concentration at each sampling time, to the peak concentration, and to the time of the peak concentrations and the AUCs.
8. It should be noted that just because a drug product meets compendial standards of purity and other criteria, its bioavailability is not assured. In fact, compendial requirements fall far short of ensuring the efficiency of dosage forms in releasing drugs. The latest edition of the United States Pharmacopeia (USP) and National Formulary (NF) requires demonstration of sufficient dissolution for many drugs where evidence of dissolution affecting bioavailability has

been suggested. A large number of drugs remain to be included in this list, and it is hoped that eventually, demonstration of bioavailability will become a compendial requirement. The costs of performing bioavailability studies make such requirements impractical for some drugs. However, without such requirements, it is difficult to justify the rejection of a product on the grounds that its chemical equivalence varies by more than 10% when its biologic equivalent is allowed to vary to any degree.

VII. ABSORPTION PROFILING

The following are factors and oral drugs/drug products that should be considered when requesting a waiver of evidence of *in vivo* bioavailability or bioequivalence documentation. Generally, both *in vivo* and *in vitro* testing are necessary for orally administered drug products. *In vivo* testing is required for all generic drug products with certain exceptions. Based on scientific information, regulatory authorities may waive the requirement for bioavailability or bioequivalence.

1. For certain formulations and under certain circumstances, equivalence between two pharmaceutical products may be considered self-evident, and no further documentation is required. For example:
 - a. When multisource pharmaceutical or generic products are to be administered parenterally (e.g., intravenous, intramuscular, subcutaneous, or intrathecal administration) as aqueous solutions and contain the same active substance(s) in the same concentration and the same excipients in comparable concentrations.
 - b. When multisource pharmaceutical or generic products are solutions for oral use, contain the active substance in the same concentration, and do not contain an excipient that is known or suspected to affect gastrointestinal transit or absorption of the active substance.
 - c. In the case of gas-based multisource pharmaceutical or generic products.
 - d. When the multisource pharmaceutical or generic products are powders for reconstitution as a solution, and the solution meets either criterion (a) or criterion (b) in this list.
 - e. * When multisource pharmaceutical or generic products are otic or ophthalmic products prepared as aqueous solutions, containing the same active substance(s) in the same concentration and essentially the same excipients in comparable concentrations.
 - f. * When multisource pharmaceutical or generic products are topical products prepared as aqueous solutions, containing the same active substance(s) in the same concentration and essentially the same excipients in comparable concentrations.
 - g. * When multisource pharmaceutical or generic products are inhalation or nasal spray products, tested to be administered with or without essentially the same device, prepared as aqueous solutions, and containing the same active substance(s) in the same concentration and essentially the same excipients in comparable concentrations. Special *in vitro* testing should be required to document comparable device performance of the multisource inhalation product.
2. In the event that the applicant cannot provide this information about the reference product, and the drug regulatory authority does not have access to these data, or the data are protected under data exclusivity rights according to local regulations, *in vivo* studies should be performed.
3. For certain drug products, bioavailability or bioequivalence may be demonstrated by evidence obtained *in vitro* in lieu of *in vivo* data. Regulatory authorities should waive the requirement for the submission of evidence obtained *in vivo* demonstrating the bioavailability of the drug product if the drug product meets one of the following criteria:
 - a. The drug product is in the same dosage form, but in a different strength, and is proportionally similar in its active and inactive ingredients to another drug product manufactured at the same site for which the same manufacturer has obtained approval and the following conditions are met:
 - i. The bioavailability of this other drug product has been demonstrated.
 - ii. Both drug products meet an appropriate *in vitro* test approved by a drug regulatory authority and/or accepted reference pharmacopeias or have demonstrated *in vivo*–*in vitro* correlation (e.g., correlation level A, etc.).
 - iii. The applicant submits evidence showing that both drug products are proportionally similar in their active and inactive ingredients. That is, the ratio of active ingredients and excipients between strengths is essentially the same.
 - b. The drug product is a reformulated product that is identical, except for a different color, flavor, or preservative that could not affect the bioavailability of the reformulated product, to another drug product for which the same manufacturer has obtained approval and the following conditions are met:
 - i. The bioavailability of the other product has been demonstrated.
 - ii. Both drug products meet an appropriate *in vitro* test approved by the regulatory authority.

- c. Regulatory authorities, for good cause, may require evidence of *in vivo* bioavailability or bioequivalence for any drug product if the agency determines that any difference between the drug product and a listed drug may affect the bioavailability or bioequivalence of the drug product. The Bioavailability and Bioequivalence Working Group strongly recommends that in the case of antiretroviral drug products, proof of pharmaceutical equivalence and bioequivalence be required to infer therapeutic equivalence.

*For elements (e), (f), and (g), it is incumbent upon the applicant to demonstrate that the excipients in the multisource product are essentially the same as and in comparable concentrations to those in the reference product.

VIII. PHARMACOKINETIC MEASURES OF SYSTEMIC EXPOSURE

Direct (e.g., rate constant, rate profile) and indirect (e.g., C_{\max} , T_{\max} , mean absorption time, mean residence time, C_{\max} normalized to AUC) pharmacokinetic measures are limited in their abilities to assess the rate of absorption. This guideline, therefore, recommends a change in focus from these direct or indirect measures of absorption rate to measures of systemic exposure. The C_{\max} and AUC values can continue to be used as measures for product quality bioavailability and bioequivalence, but more in terms of their capacity to assess exposure than their capacity to reflect the rate and extent of absorption. Reliance on systemic exposure measures should reflect comparable rates and extents of absorption, which in turn, should achieve the underlying statutory and regulatory objective of ensuring comparable therapeutic effects. Exposure measures are defined relative to early, peak, and total portions of the plasma, serum, or blood concentration–time profile.

A. EARLY EXPOSURE

For orally administered immediate-release drug products, bioequivalence may generally be demonstrated by measurements of peak and total exposure. An early exposure measure may be informative on the basis of appropriate clinical efficacy and safety trials or pharmacokinetic and pharmacodynamic studies that call for better control of drug absorption into the systemic circulation (e.g., to ensure the rapid onset of an analgesic effect or to avoid excessive hypotensive action of an antihypertensive). In this setting, the guidance recommends the use of partial AUC as an early exposure measure. The partial area should be truncated at the population median of T_{\max} values for the reference formulation. At least two quantifiable samples should be collected before the expected peak time to allow adequate estimation of the partial area.

B. PEAK EXPOSURE

Peak exposure should be assessed by measuring the peak drug concentration (C_{\max}) obtained directly from the data without interpolation.

C. TOTAL EXPOSURE

For single-dose studies, the measurement of total exposure should be as follows:

- Area under the plasma/serum/blood concentration–time curve from time 0 to time t (AUC_{0-t}), where t is the last time point with measurable concentration for an individual formulation.
- Area under the plasma/serum/blood concentration–time curve from time 0 to time infinity ($AUC_{0-\infty}$), where $AUC_{0-\infty} = AUC_{0-t} + C_t/l_z$, C_t is the last measurable drug concentration, and l_z is the terminal or elimination rate constant calculated according to an appropriate method; the terminal half-life ($t_{1/2}$) of the drug should also be reported.

For steady-state studies, the measurement of total exposure should be the area under the plasma, serum, or blood concentration–time curve from time 0 to time t over a dosing interval at steady state (AUC_{0-1}), where t is the length of the dosing interval.

IX. STATISTICAL ANALYSIS

The statistical models used in the evaluation of bioequivalence data have been evolving over the past few decades. The standard statistical method of the null hypothesis was the first to be used, whereby no difference is assumed, and the rejection of null indicates a statistically significant difference ($p < 0.05$). A problem arises, since small differences with $p < 0.05$ may be unimportant, and large differences with $p > 0.05$ may be important. This prompted FDA to solve the problem by requesting the power analysis confidence interval test of Schuirman, whereby two one-sided comparisons are made; this also evolved into the use of the famous 75 to 125 rule to deal with individual effects.

FDA advocates the use of 90% confidence intervals as the best available method for evaluating bioequivalence study data. The confidence interval approach should be applied to the individual parameters of interest (e.g., AUC and C_{\max}). The sponsor may use untransformed or log-transformed data. However, the choice of untransformed or log-transformed data should be made by the sponsor with concurrence by FDA prior to conducting the study.

X. UNTRANSFORMED DATA

If we let T_1 be the mean for the test drug in period 1, T_2 the mean for the test drug in period 2, and R_1 and R_2 the respective

means for the reference drug, then the estimates for the drugs averaged over both periods are $T = (1/2)(T_1 + T_2)$ for the test drug and $R = (1/2)(R_1 + R_2)$ for the reference drug. Although both sequence groups usually start with the same number of animals, the number of animals in each sequence group (n_A and n_B) that successfully finish the study may not be equal. These formulas utilize the marginal or least squares estimates of μ_T and μ_R , the corresponding means in the target population. These means are not a function of the sample size in each sequence.

An analysis of variance is needed to obtain the estimate of σ^2 , the error variance. The estimator, s^2 , which will be used in the calculation of the 90% confidence interval should be obtained from the "error" mean square term found in the following analysis of variance (ANOVA) table.

Source	Degrees of freedom
Sequence	1
Animal (sequence)	$n_A + n_B - 2$
Period	1
Formulation	1
Error	$n_A + n_B - 2$
Total	$2n_A + 2n_B - 1$

Lower and upper 90% confidence intervals are then found by formulas based on Student's t -distribution.

$$L = (T - R) - t(n_A + n_B - 2); 0.05^s \sqrt{\frac{1}{2} \left(\frac{1}{n_A} + \frac{1}{n_B} \right)} \quad (1)$$

$$L = (T - R) - t(n_A + n_B - 2); 0.05^s \sqrt{\frac{1}{2} \left(\frac{1}{n_A} + \frac{1}{n_B} \right)} \quad (2)$$

The procedure of declaring two formulations bioequivalent, if the 90% confidence interval is completely contained in some fixed interval, is statistically equivalent to performing two one-sided statistical tests ($\alpha=0.05$) at the end points of the interval.

Consider the following example with $L=3$, $U=7$, $T=110$, and $R=100$. By the traditional hypothesis testing approach, the result would be considered statistically significant, since the confidence interval does not include 0. Using the confidence interval approach, the entire confidence interval lies within 17% of R . (The lower end of the confidence interval lies within $L/R=3/100=3\%$ of R , while the upper end of the confidence interval lies within $U/R=7/100=7\%$ of R .) If it were determined by FDA that only differences larger than 20% were biomedically important, then by using the confidence interval approach, the results of this study would be considered adequate to demonstrate bioequivalence.

Now consider an example with $L=-4$, $U=24$, $T=110$, and $R=100$. In this case, by the traditional hypothesis testing approach, the result would not be considered statistically significant, since the confidence interval includes 0. However, the confidence interval extends as far as 24% from R . (The lower end of the confidence interval lies within $L/R=-4/100=-4\%$

of R , while the upper end of the confidence interval extends to $U/R=24/100=24\%$ of R .) If it were determined by FDA that only differences larger than 20% were biomedically important, then the results of this study would be considered inadequate to demonstrate bioequivalence, since the entire confidence interval is not within 20% of R .

XI. LOGARITHMICALLY TRANSFORMED DATA

This section discusses how the 90% confidence interval approach should be applied to log-transformed data. In this situation, the individual animal AUC and C_{max} values are log-transformed, and the analysis is done on the transformed data. For a two-period crossover study, the ANOVA models used to calculate estimates of the error variance and the least square means are identical for both transformed and untransformed data. The procedural difference comes after the lower and upper 90% confidence intervals are found by formulas based on Student's t -distribution.

The lower and upper confidence bounds of the log-transformed data will then need to be back-transformed in order to be expressed on the original scale of the measurement. One thing to keep in mind when moving between the logarithm scale and the original scale is that the back-transformed mean of a set of data that has been transformed to the logarithm scale is not strictly equivalent to the mean that would be calculated from the data on the original scale of measurement. This back-transformed mean is known instead as the geometric mean.

It may help to see the calculations involved. If the AUC from each animal has been transformed to the logarithm scale, we can express the transformed AUC as $\ln AUC$. Then, the mean on the logarithm scale is as follows:

$$\bar{\ln AUC}_t = \sum_{i=1}^N \ln AUC_i / n \quad (3)$$

where

the subscript i represents the AUC determinations for the test article

i is the AUC of the i th animal

n is the total number of animals receiving the test article

When this mean is back-transformed, it becomes the geometric mean: $e^{(\ln AUC)}$. This geometric mean will be on the original scale of the measurement. It will be close to, but not exactly equal to, the mean obtained on the original scale of the measurement. The back-transformation of the confidence bounds is accomplished in the following way:

Lower bound (expressed as a percentage) = $(e^L - 1) \times 100$

Upper bound (expressed as a percentage) = $(e^U - 1) \times 100$

where

L is the lower 90% confidence interval calculated on the log-transformed data

U is the upper 90% confidence interval calculated on the log-transformed data

As an example, consider the data for AUC from a hypothetical crossover study in the following table:

Animal	Crossover sequence	Reference article		Test article	
		AUC	LogAUC	AUC	LogAUC
1	1	518.0	6.25	317.8	5.76
2	1	454.9	6.12	465.0	6.14
3	1	232.8	5.45	548.4	6.31
4	1	311.1	5.74	334.8	5.81
5	2	340.4	5.83	224.7	5.41
6	2	497.7	6.21	249.2	5.52
7	2	652.0	6.48	625.4	6.44
8	2	464.1	6.14	848.7	6.74
	Mean	433.8	6.03	451.7	8602
	Standard deviation	133.3	0.33	214.3	0.47
	Geometric mean		414.7		

The statistics for AUC will be calculated from the log-transformed data. In this example, L , the lower 90% confidence interval calculated on the log scale, is -0.395 ; U , the upper 90% confidence interval calculated on the log scale, is 0.372 . To back-transform these intervals and express them as percentages, we do the following:

Back-transformed lower bound:

$$\begin{aligned} (e^{-0.395} - 1) \times 100 &= (0.674 - 1) \times 100 \\ &= (-0.326) \times 100 = -32.6\% \end{aligned}$$

Back-transformed upper bound:

$$(e^{0.372} - 1) \times 100 = (1.451 - 1) \times 100 = (0.451) \times 100 = 45.1\%$$

Therefore, the lower end of the confidence bound lies within -32.6% of the geometric mean of the reference article, while the upper end of the confidence interval lies within 45.1% of the geometric mean of the reference article. If it were determined by FDA that the acceptable confidence bound was 80% to 125% of the geometric mean of the reference article in order to demonstrate bioequivalence, then the back-transformed lower bound can be as low as -20% , and the back-transformed upper bound can be as high as 25% . In this example, we would determine that the study had not demonstrated an acceptable level of bioequivalence between the test article and the reference article.

The width of the confidence interval is determined by the within-subject variance (between-subject variance for parallel-group studies) and the number of subjects in the study. In general, the confidence interval for untransformed data should be 80% to 120% (the confidence interval should lie within $\pm 20\%$ of the mean of the reference product). For logarithmically transformed data, the confidence interval is generally 80% to 125% (the confidence interval should lie within -20% to $+25\%$ of the mean of the reference product). The

sponsor and FDA should determine the acceptable bounds for confidence limits for the particular drug and formulation during protocol development.

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APPENDIX: BIOAVAILABILITY AND BIOEQUIVALENCE STUDIES SUBMITTED IN NDAs OR INDs—GENERAL CONSIDERATIONS

I. INTRODUCTION

This FDA guidance provides recommendations to sponsors and/or applicants planning to include bioavailability (BA) and bioequivalence (BE) information for drug products in investigational new drug applications (INDs), new drug applications (NDAs), and NDA supplements (referred to as the NDA BA and BE Draft FDA guidance).^{*} This FDA guidance contains advice on how to meet the BA and BE requirements set forth in 21 CFR part 320 as they apply to dosage forms intended for

oral administration.[†] The FDA guidance may also be applicable to non-orally administered drug products when reliance on systemic exposure measures is suitable to document BA and BE (e.g., transdermal delivery systems and certain rectal and nasal drug products).[‡] The FDA guidance should be helpful for applicants conducting BA and BE studies during the IND period for an NDA and also for applicants conducting BE studies during the post-approval period for certain changes to drug products that are the subject of an NDA.[§] This FDA guidance document is not intended to provide recommendations on studies conducted in support of demonstrating comparability or biosimilarity for biological products licensed under section 351 of the Public Health Service Act.[¶]

When finalized, this FDA guidance will revise and replace the parts of FDA's March 2003 FDA guidance for industry on *Bioavailability and Bioequivalence Studies for Orally Administered Drug Products – General Considerations* (the March 2003 BA and BE FDA guidance) relating to BA and BE studies for INDs, NDAs, and NDA supplements.^{**} Since the March 2003 BA and BE FDA guidance was issued, FDA has determined that providing information on BA and BE studies in separate FDA guidance according to application type will be beneficial to sponsors and applicants. Thus, FDA is issuing this NDA BA and BE Draft FDA guidance and, as

[†] BA and BE information for drug products in abbreviated new drug applications (ANDAs) and ANDA supplements are not the subject of this FDA guidance. FDA has issued a separate draft FDA guidance on this topic entitled *Bioequivalence Studies with Pharmacokinetic Endpoints for Drugs Submitted Under an ANDA* (December 2013) (ANDA BE Draft FDA guidance). The ANDA BE Draft FDA guidance, when finalized, will represent FDA's current thinking on this topic. Many FDA guidance are referenced throughout this document. The FDA guidance referred to in this footnote, as well as others referenced throughout the remainder of the document, can be found on the FDA Drugs FDA guidance Web page at http://www.fda.gov/Drugs/FDA_guidanceComplianceRegulatoryInformation/FDA_guidance/default.htm. We update FDA guidance periodically. To make sure you have the most recent version of a FDA Drugs FDA guidance Web page.

[‡] These dosage forms include tablets, capsules, solutions, suspensions, conventional/immediate-release drug products, and modified (extended, delayed)-release drug products.

[§] *Bioequivalence* is a statutory term reflected in the Federal Food, Drug, and Cosmetic Act (FD&C Act) in section 505(j) (21 U.S.C. 355(j)), which requires ANDA applicants to demonstrate, among other things, that the proposed generic product is bioequivalent to its reference listed drug. Section 505(j)(2)(A)(iv) of the FD&C Act; see also section 505(j)(8) of the FD&C Act. There is no similar statutory requirement for an NDA applicant either under section 505(b)(1) or (b)(2) of the FD&C Act to demonstrate bioequivalence of its proposed product to another product. As a scientific matter, however, the same or a similar showing of the bioavailability of two products in the NDA context may be needed for the purposes of evaluating the safety or effectiveness of a product. For ease of the reader, we refer to such evaluations of the relative bioavailability for two or more products as an evaluation of bioequivalence in this FDA guidance.

[¶] For information on these types of studies, see FDA's Drugs FDA guidance Web page. See footnote #2 for information on accessing this Web page.

^{**} Revisions to the March 2003 BA and BE FDA guidance include (1) expansion of the section on modified-release products, (2) addition of a section on concomitant administration of drug products and combination drug products, addition of a section on alcoholic beverage effects on modified-release dosage forms, (4) addition of an endogenous substance section, (5) addition of a section on drug products with high intrasubject variability, and (6) removal of references to BE studies conducted for ANDAs. The FDA guidance also makes other revisions for clarification.

^{*} This FDA guidance was developed by the Office of Clinical Pharmacology, Office of Translational Sciences, and the Office of New Drugs Quality Assessment, Office of Pharmaceutical Science, in the Center for Drug Evaluation and Research (CDER) at the U.S. Food and Drug Administration (FDA).

previously noted, has issued the ANDA BE Draft FDA guidance for ANDA and ANDA supplements.*

We recognize that this FDA guidance cannot address every issue pertaining to the assessment of BA or BE studies for INDs and NDAs, so we suggest sponsors and applicants contact the appropriate review division for FDA guidance on specific questions not addressed by this FDA guidance.

FDA's FDA guidance documents, including this FDA guidance, do not establish legally enforceable responsibilities. Instead, FDA guidance describe the Agency's current thinking on a topic and should be viewed only as recommendations, unless specific regulatory or statutory requirements are cited. The use of the word *should* in Agency FDA guidance documents means that something is suggested or recommended, but not required.

II. BACKGROUND

BA assessment of formulations is a component of new drug development. The approaches of evaluating BA and BE discussed in this FDA guidance are designed to aid FDA evaluation of the safety and effectiveness of a product that is the subject of an IND, NDA, or NDA supplement. In this endeavor, we use the totality of information available in the submission, which includes, among other things, information gathered using the principles of BE, exposure-response evaluations, and clinical trial results. The evaluation of BE in the generic drug context, by contrast, is used to support a determination that a generic product may be substituted for its reference listed drug, and involves consideration of different types of data permitted in an ANDA. Accordingly, the approaches discussed in this FDA guidance may differ from similar discussions of BE in the ANDA BE Draft FDA guidance. For example, this NDA BA and BE Draft FDA guidance recommends assessment of the effect of food on BA using the approaches set forth in FDA's 2002 FDA guidance for industry on *Food-Effect Bioavailability and Fed Bioequivalence Studies* (the 2002 Food-Effect FDA guidance). Fasting BE studies generally are sufficient, given the totality of information we consider in evaluating INDs, NDAs, or NDA supplements. In contrast, we recommend in the ANDA BE Draft FDA guidance fed and fasting BE studies that will provide specific information to support a demonstration of BE under section 505(j) of the FD&C Act, and in turn, to support substitutability. Even though the ANDA BE Draft FDA guidance revises and replaces the parts of the 2002 Food-Effect FDA guidance pertaining to ANDAs and ANDA supplements, this NDA BA and BE Draft FDA guidance does not replace the 2002 Food-Effect FDA guidance relating to studies for INDs, NDAs, and NDA supplements.†

A. General

Studies to measure BA and/or establish BE of a product are important elements in support of INDs, NDAs, and NDA supplements. *Bioavailability* means the rate and extent to which

the active ingredient or active moiety is absorbed from a drug product and becomes available at the site of action (21 CFR 320.1(a)). BA data provide an estimate of the fraction of the drug absorbed, as well as provide information related to the pharmacokinetics of the drug.

Bioequivalence means the absence of a significant difference in the rate and extent to which the active ingredient or active moiety in pharmaceutical equivalents or pharmaceutical alternatives become available at the site of drug action when administered at the same molar dose under similar conditions in an appropriately designed study (21 CFR 320.1(e)). Studies to establish BE between two products are important for certain formulation or manufacturing changes occurring during the drug development and post-approval stages. In BE studies, the exposure profile of a test drug product is compared to that of a reference drug product.

B. Bioavailability

BA for a given formulation provides an estimate of the relative fraction of the orally administered dose that is absorbed into the systemic circulation. BA for orally administered drug products can be documented by comparing a systemic exposure profile to that of a suitable reference product. A profile can be generated by measuring the concentration of active ingredients and/or active moieties over time and, when appropriate, active metabolites over time in samples collected from the systemic circulation. Systemic exposure profiles reflect both release of the drug substance from the drug product and a series of possible pre-systemic/systemic actions on the drug substance after its release from the drug product.

FDA's regulations at 21 CFR 320.25 set forth guidelines for in vivo BA studies. As provided in this regulation, the reference product for BA studies should be a solution, suspension, or intravenous (IV) dosage form (21 CFR 320.25(d)(2) and (3)). The purpose of conducting a BA study with an oral solution as a reference is to assess the impact of formulation on BA. Conducting a BA study with an IV reference enables assessment of the impact of route of administration on BA and defines the absolute BA of the drug released from the drug product.

C. Bioequivalence

As noted previously, both BA and BE focus on the release of a drug substance from a drug product and subsequent absorption into systemic circulation. As a result, we recommend that approaches to determining BE generally follow approaches similar to those used for BA. Demonstrating BE involves a more formal comparative test that uses specific references with specified criteria for comparisons and predetermined BE limits for such criteria.

1. Preapproval Changes

BE documentation can be useful during the IND period to compare (1) early and late clinical trial formulations; (2) formulations used in clinical trials and stability studies, if different; (3) clinical trial formulations and to-be-marketed drug products, if different; and (4) product strength equivalence, as appropriate. In each comparison, the new formulation,

* See footnote #2.

† Accordingly, the FDA is revising the 2002 Food-Effect FDA guidance.

formulation produced by the new method of manufacture, or new strength is the candidate, or test product and the prior formulation, prior method of manufacture, or prior strength is the reference product. The decision to document BE during drug development is generally left to the judgment of the sponsor, using the principles of relevant FDA guidance (in this FDA guidance, see sections II.C.2, Post-approval Changes, and III.D, In Vitro Studies) to determine when changes in components, composition, and/or method of manufacture suggest that further in vitro and/or in vivo studies be performed.

2. Post-approval Changes

In the presence of certain major changes in components, composition, manufacturing site, and/or method of manufacture after approval, FDA recommends that in vivo BE be demonstrated for the drug product after the change in comparison to the drug product before the change. Under section 506A(c)(2) of the Federal Food, Drug, and Cosmetic Act (FD&C Act) (21 U.S.C. 356a(c)(2)), certain post-approval changes that require completion of studies must be submitted in a supplement and approved by FDA before distributing a drug product made with the change.

Information on the types of recommended in vitro dissolution and in vivo BE studies for immediate-release and modified-release drug products approved as NDAs for specified post-approval changes is provided in the following FDA guidance:

- *SUPAC-IR: Immediate Release Solid Oral Dosage Forms: Scale-Up and Post-approval Changes: Chemistry, Manufacturing, and Control; In Vitro Dissolution Testing, and In Vivo Bioequivalence Documentation*
- *SUPAC-MR: Modified Release Solid Oral Dosage Forms: Scale-Up and Post-approval Changes: Chemistry, Manufacturing, and Controls, In Vitro Dissolution Testing, and In Vivo Bioequivalence Documentation*

3. BE Considerations

BE studies are usually conducted using a crossover design. For such studies, intrasubject variability should be considered when determining the study sample size. In cases when a parallel design is necessary to evaluate BE, consideration should be given to total variability, including intersubject variability instead of just intrasubject variability.

A test product might fail to demonstrate bioequivalence because it has measures of rate and/or extent of absorption compared to the reference product outside acceptable higher or lower limits. For example, when the test product results in a systemic exposure that is significantly higher than that of the reference product, the concern is the typically limited experience from a safety standpoint for higher systemic concentrations. When the test product has a systemic exposure that is significantly lower than that of the reference product, the concern is potentially a lack of therapeutic efficacy of the test product.

When the variability of the test product is greater than the reference product, the concern relates to both safety and efficacy, because it may suggest that the performance of the test product is not comparable to the reference product, and the test product may be too variable to be clinically useful.

When BE is not demonstrated, the sponsor should demonstrate that the differences in rate and extent of absorption do not significantly affect the safety and efficacy based on available dose-response or concentration-response data. In the absence of this evidence, failure to demonstrate BE may suggest that the test product should be reformulated, or the method of manufacture for the test product should be changed, or additional safety or efficacy data may be needed for the test product. In some cases, conclusions of BE based on the peak drug concentration (C_{max}) and area under the plasma concentration time curve (AUC) between the test product and the reference product may be insufficient to demonstrate that there is no difference in safety or efficacy if the systemic concentration-time profiles of the test product and the reference product are different (e.g., time to reach peak drug concentration (T_{max}) is different). For example, differences in the shape of the systemic concentration profile between the test and reference products could imply that the test product may not produce the same clinical response as the reference product. In such cases, additional data analysis (e.g., partial AUCs), exposure-response evaluation, or clinical studies may be recommended to evaluate the BE of the two products.

III. METHODS TO DOCUMENT BA AND BE

Under FDA's regulations, applicants must use the most accurate, sensitive, and reproducible method available to demonstrate BA or BE of a product (21 CFR 320.24(a)). As noted in 21 CFR 320.24, several in vivo and in vitro methods can be used to measure BA and to establish BE. These include, in general order of preference, pharmacokinetic (PK) studies, in vitro tests predictive of human in vivo BA (in vitro-in vivo correlation), pharmacodynamic (PD) studies, studies with clinical benefit endpoints, and other in vitro studies. In addition, where in vivo data are appropriate to demonstrate BA, our regulations provide guidelines on specific types of in vivo BA studies (see 21 CFR 320.25 through 320.29). This FDA guidance predominantly focuses on the use of PK studies to document BA or BE.

A. Pharmacokinetic Studies

1. General Considerations

FDA's regulations generally define BA and BE in terms of rate and extent of absorption of the active ingredient or moiety to the site of action.* For in vivo studies, the regulations also provide for use of PK measures in an accessible biological matrix such as blood, plasma, and/or serum to indicate release of the drug substance from the drug product into the systemic

* 21 CFR 320.1(a) and (e).

circulation.* BA and BE frequently rely on PK measures such as AUC to assess extent of systemic exposure and C_{\max} and T_{\max} to assess rate of systemic absorption. PK-based comparisons to describe relative BA or make BE determinations are predicated on an understanding that measuring the active moiety or ingredient at the site of action is generally not possible and on an assumption that some relationship exists between the efficacy/safety and concentration of the active moiety and/or its important metabolite(s) in the systemic circulation. A typical study is conducted as a crossover study. The crossover design reduces variability caused by patient-specific factors, thereby increasing the ability to discern differences because of formulation.

2. Pilot Study

If the sponsor chooses, a pilot study in a small number of subjects can be carried out before proceeding with a full-scale BA or BE study. The pilot study can be used to validate analytical methodology, assess PK variability, determine sample size to achieve adequate power, optimize sample collection time intervals, and determine the length of the washout period needed between treatments. For example, for conventional immediate-release products, careful timing of initial samples may avoid a subsequent finding in a full-scale study that the first sample collection occurs after the C_{\max} . For modified-release products, a pilot study can help determine the sampling schedule needed to assess lag time and dose dumping. The results of a pilot study can be used as the sole basis to document BA or BE provided the study's design and execution are suitable and a sufficient number of subjects have completed the study.

3. Full-Scale Study

General recommendations for a standard BA or BE study based on PK measurements are provided in Appendix A. Nonreplicate crossover study designs are recommended for BA and BE studies of immediate-release and modified-release dosage forms. However, sponsors and/or applicants have the option of using replicate designs for BE studies.

Replicate crossover designs are used to allow estimation of (1) within-subject variance for the reference product, or for both the test and reference products, and (2) the subject by formulation interaction variance component. This design accounts for the inter-occasion variability that may confound the interpretation of a BE study as compared to a non-replicate crossover approach. The recommended method of analysis for nonreplicate or replicate studies to evaluate BE is average BE, as discussed in section IV. Recommendations for conducting and evaluating replicate study designs can be found in the FDA guidance for industry *Statistical Approaches to Establishing Bioequivalence*.

4. Study Population

Subjects recruited for BA or BE studies should be 18 years of age or older and capable of giving informed consent. In general, BA and BE studies should be conducted in healthy volunteers if the product can be safely administered to this population. A study in healthy volunteers is likely to produce less PK variability compared with that in patients with potentially confounding factors such as underlying and/or concomitant disease and concomitant medications. Male and female subjects should be enrolled in BA and BE studies unless there is a specific reason to exclude one sex. Such exclusions could be related to the drug product being indicated in only one sex or a greater potential for adverse reactions in one sex compared to the other. For example, oral contraceptives are evaluated in female subjects because the indication is specific to females. If a drug has the potential to be a teratogen, the drug product should be evaluated in male subjects.

Female subjects enrolled in the study should not be pregnant at the beginning of the study and should not become pregnant during the study. In some instances (e.g., when safety considerations preclude use of healthy subjects), it may be necessary to evaluate BA and BE in patients for whom the drug product is intended. In this situation, sponsors and/or applicants should attempt to enroll patients whose disease process is expected to be stable for the duration of the study.

5. Single-Dose and Multiple-Dose (Steady State) Testing

This FDA guidance generally recommends single-dose PK studies to assess BA and BE because they are generally more sensitive than steady-state studies in assessing rate and extent of release of the drug substance from the drug product into the systemic circulation.

FDA's regulations at 21 CFR 320.27 provide guidelines on the design of a multiple-dose in vivo BA study. This regulation also identifies instances in which multiple-dose BA studies may be required:

- i. There is a difference in the rate of absorption but not in the extent of absorption.
- ii. There is excessive variability in bioavailability from subject to subject.
- iii. The concentration of the active drug ingredient or therapeutic moiety, or its metabolite(s), in the blood resulting from a single dose is too low for accurate determination by the analytical method.
- iv. The drug product is an extended-release dosage form.†

We recommend that if a multiple-dose study design is performed, appropriate dosage administration and sampling be carried out to document attainment of steady state.

6. Bioanalytical Methodology

We recommend that sponsors ensure that bioanalytical methods for BA and BE studies be accurate, precise, specific,

* See, e.g., 21 CFR 320.24(b)(1)(i). If serial measurements of the drug or its metabolites in plasma, serum, or blood cannot be accomplished, then measurement of urinary excretion can be used.

† 21 CFR 320.27(a)(3).

sensitive, and reproducible. A separate FDA guidance, *Bioanalytical Method Validation*, is available to assist sponsors in validating bioanalytical methods.*

7. Administration Under Fasted/Fed Conditions

The BA or BE study should be conducted under fasting conditions (after an overnight fast of at least 10 hours) except when tolerability issues are anticipated with fasting. In these cases, we recommend that applicants conduct only a fed study. A separate FDA guidance, *Food-Effect Bioavailability and Fed Bioequivalence Studies* is available to assist sponsors.

8. Moieties to Be Measured

The active ingredient that is released from the dosage form or its active moiety and, when appropriate, its active metabolites† should be measured in biological fluids collected in BA studies.

Measurement of the active ingredient or the active moiety, rather than metabolites, is generally recommended for BE studies because the concentration-time profile of the active ingredient or the active moiety is more sensitive to changes in formulation performance than that of the metabolite, which is more reflective of metabolite formation, distribution, and elimination. The following are instances when an active metabolite(s) should be measured.

- Measurement of a metabolite(s) is necessary when the active ingredient or the active moiety concentrations are too low to allow reliable analytical measurement in blood, plasma, or serum. In this case, the metabolite should be measured in lieu of the active ingredient or active moiety. We recommend that the confidence interval approach be applied to the metabolite data obtained from these studies.
- Measurement of a metabolite(s) is necessary in addition to the active ingredient or active moiety if the metabolite is formed by pre-systemic metabolism and contributes meaningfully to efficacy and/or safety. The confidence interval approach should be used for all moieties measured. However, the BE criteria are only generally applied to the active ingredient or active moiety. Sponsors should contact the appropriate review division to determine which moieties should be measured.

9. Pharmacokinetic Measures of Systemic Exposure

This FDA guidance recommends that systemic exposure measures be used to evaluate BA and BE. Exposure measures are defined relative to peak, partial, and total portions of the plasma, serum, or blood concentration-time profile, as describe here:

Peak Exposure We recommend that peak exposure be assessed by measuring the C_{max} obtained directly from the systemic drug concentration data without interpolation. The T_{max} can provide important information about the rate of absorption. The first point of a concentration- time curve based on blood and/or plasma measurements is sometimes the highest concentration, which raises a question about the measurement of true C_{max} because of insufficient early sampling times. A carefully conducted pilot study may help to avoid this problem. Collection of an early time point between 5 and 15 minutes after dosing followed by additional sample collections (e.g., two to five) in the first hour after dosing may be sufficient to assess early peak concentrations. If this sampling approach is followed, we consider the data to be adequate, even when the highest observed concentration occurs at the first time point.

Total Exposure (Extent of Absorption) For single-dose studies, we recommend that the measurement of total exposure be:

- Area under the plasma, serum, or blood concentration time curve from time zero to time t (AUC_{0-t}), where t is the last time point with a measurable concentration.
- Area under the plasma, serum, or blood concentration time curve from time zero to time infinity ($AUC_{0-\infty}$), where $AUC_{0-\infty} = AUC_{0-t} + C_t/\lambda_z$. C_t is the last measurable drug concentration and λ_z is the terminal or elimination rate constant calculated according to an appropriate method.
- For drugs with a long half-life, truncated AUC can be used (see section VII.D, Long-Half-Life Drugs).

For steady-state studies, we recommend that the measurement of total exposure be the area under the plasma, serum, or blood concentration time curve from time zero to time τ over a dosing interval at steady state ($AUC_{0-\tau}$), where τ is the length of the dosing interval.

Partial Exposure For orally administered drug products, BA and BE can generally be demonstrated by measurements of peak and total exposure. For certain classes of drugs and under certain circumstances (e.g., to assess onset of an analgesic effect), an evaluation of the partial exposure could be used to support the performance of different formulations by providing further evidence of therapeutic effect. This FDA guidance recommends the use of partial AUC as a partial exposure measure. The time to truncate the partial area should be related to a clinically relevant PD measure. We also recommend that sufficient quantifiable samples be collected to allow adequate estimation of the partial area. For questions on the suitability of the PD measure or use of partial exposure in general, we recommend that sponsors and/or applicants consult the appropriate review division.

* See also 21 CFR 320.29.

† See 21 CFR 320.24(b)(1)(i).

10. Comparison of PK measures in BE studies

An equivalence approach is recommended for BE comparisons. The recommended approach relies on (1) a criterion to allow the comparison, (2) a confidence interval for the criterion, and (3) a BE limit. Log-transformation of exposure measures before statistical analysis is recommended. This FDA guidance recommends use of an average BE criterion to compare systemic exposure measures for replicate and non-replicate BE studies of both immediate- and modified-release products. For additional information on data analysis, refer to Appendix A and to the FDA guidance for industry on *Statistical Approaches to Establishing Bioequivalence*.

B. Other Approaches to Support BA/BE

In certain circumstances, other approaches are recommended to support a demonstration of BA/BE. Below are some general considerations regarding these other approaches. Sponsors should consult FDA's guidance for industry for additional information on these methods as well.*

1. In Vitro Tests Predictive of Human In Vivo BA

In vitro-in vivo correlation (IVIVC) is an approach to describe the relationship between an in vitro attribute of a dosage form (e.g., the rate or extent of drug release) and a relevant in vivo response (e.g., plasma drug concentration or amount of drug absorbed). This model relationship facilitates the rational development and evaluation of extended-release dosage forms. Once an IVIVC is validated, the in vitro test serves as a surrogate for BA and/or BE testing, as well as a tool for formulation screening and setting of the dissolution/drug-release acceptance criteria.

Specifically, in vitro dissolution/drug-release characterization is encouraged for all extended-release product formulations investigated (including prototype formulations), particularly if in vivo absorption characteristics are being defined for the different product formulations. Such efforts may enable the establishment of an IVIVC. When an IVIVC or association is established (21 CFR 320.24(b)(1)(ii)), the in vitro test can serve not only as a quality control specification for the manufacturing process, but also as an indicator of how the product will perform in vivo.

Additional information on the development and validation of an IVIVC can be found in the FDA guidance for industry *Extended Release Oral Dosage Forms: Development, Evaluation, and Application of In Vitro/In Vivo Correlations*.

2. Pharmacodynamic Studies

PD studies are not recommended for orally administered drug products when the drug is absorbed into systemic circulation and a PK approach can be used to assess systemic exposure and evaluate BA or BE. PK endpoints are preferred because they are generally the most accurate, sensitive, and reproducible approach. However, in instances where a PK endpoint is not possible, a well-justified PD endpoint can be used to demonstrate BA or BE.

3. Comparative Clinical Studies

Clinical endpoints can be used in limited circumstances, for example, for orally administered drug products when the measurement of the active ingredients or active moieties in an accessible biological fluid (PK approach) or PD approach is not possible. Because these circumstances do not occur very often, use of this approach is expected to be rare.

4. In Vitro Studies

Under certain circumstances, BA and BE can be evaluated using in vitro approaches (e.g., dissolution/drug-release testing) during the preapproval and post-approval phases (see 21 CFR 320.24(b)(5) and (6)). For example, orally administered drugs that are highly soluble and highly permeable, and for which the drug product is rapidly dissolving, documentation of BE using an in vitro approach (dissolution/drug-release studies) may be appropriate based on the Biopharmaceutics Classification System.[†]

The following FDA guidance provide recommendations on the development of dissolution methodology, setting specifications, and the regulatory applications of dissolution testing:

- *Dissolution Testing of Immediate-Release Solid Oral Dosage Forms*
- *Extended-Release Oral Dosage Forms: Development, Evaluation, and Application of In Vitro/In Vivo Correlations*

In addition, we recommend that sponsors consult other FDA guidance for additional information on when in vitro data may be appropriate to demonstrate BA or BE of a product.

IV. DOCUMENTING BA AND BE FOR VARIOUS DOSAGE FORMS

This section summarizes the recommendations for documenting BA and BE studies based on the specific dosage forms and whether these evaluations occur pre-approval or post-approval.

A. Solutions and Other Solubilized Dosage Forms

For oral solutions, elixirs, syrups, tinctures, or other solubilized forms, in vivo BA and/or BE are generally self-evident and a requirement of in vivo data for a product may be waived (21 CFR 320.22(b)(3)). In such instances, the applicant would be deemed to have complied with and fulfilled any requirement for in vivo data.[‡] Although a comparative study is not necessary, characterization of the pharmacokinetics of the drug is required (21 CFR 314.50(d)(3)). In addition, in vivo BE studies that compare different solution formulations are

[†] See the FDA guidance for industry on *Waiver of In Vivo Bioavailability and Bioequivalence Studies for Immediate-Release Solid Oral Dosage Forms Based on a Biopharmaceutics Classification System*. This document provides complementary information on the Biopharmaceutics Classification System (BCS).

[‡] See 21 CFR 320.22(b)(3).

* See footnote 2.

waived based on the assumptions that release of drug substance from the drug product is self-evident and that the solutions do not contain any excipients that significantly affect drug absorption. However, there are certain excipients that may alter the BA (e.g., sorbitol may reduce the BA of drugs, and vitamin E may enhance the BA) in amounts sometimes used in oral liquid dosage forms. In this case, evaluation of in vivo BA and/or BE may be required.

B. Immediate-Release Products

Included in this discussion are capsules, tablets (including conventional, buccal, chewable, orally disintegrating, and sublingual dosage forms), and suspensions.

1. Preapproval Changes

For BA and BE studies, we recommend a single-dose, fasting study be performed. Under certain circumstances, multiple-dose BA studies (see section III.A.5) and/or food effect studies may be necessary (See the FDA guidance for industry *Food-Effect Bioavailability and Fed Bioequivalence*). Unconventional dosage forms (buccal, chewable, orally disintegrating, and sublingual dosage forms) should be administered according to intended label use/instructions. In addition, a BA study may be needed with the unconventional dosage form swallowed intact to assess the impact of accidental swallowing of the intact product. Sampling should adequately capture the T_{max} and C_{max} in addition to total exposure.

We recommend that in vitro dissolution be evaluated for all orally administered products. In vitro dissolution test conditions could be the same or different for unconventional compared to conventional dosage forms. If differences in dissolution data exist, they should be discussed with the appropriate review division.

2. Post-approval Changes

Information on the types of in vitro dissolution and in vivo BE studies needed for approved immediate-release drug products when post-approval changes are made is provided in an FDA guidance for industry entitled *SUPAC-IR: Immediate Release Solid Oral Dosage Forms Scale-Up and Post-approval Changes: Chemistry, Manufacturing, and Controls, In Vitro Dissolution Testing, and In Vivo Bioequivalence Documentation*. We recommend that for post-approval changes, the in vitro or in vivo comparison be made between the post-change and pre-change products.

C. Modified-Release Products

Modified-release (MR) products include extended-release (controlled-release, sustained-release)* and delayed-release products.

Extended-release (ER) products are dosage forms that are designed to extend or prolong the release of active ingredient or active moiety from the drug product and may allow a reduction in dosing frequency as compared to when the drug

is administered in an immediate-release (IR) dosage form. These drug products can be developed to reduce fluctuations in plasma concentrations when compared to an IR product. ER products can be capsules, tablets, granules, pellets, or suspensions.

Delayed-release (DR) drug products are dosage forms that release active ingredient or active moiety at a time later than immediately after administration (i.e., these drug products exhibit a lag time in quantifiable plasma concentrations). Typically, coatings (e.g., enteric coatings) are used to delay the release of the drug substance until the dosage form has passed through the acidic medium of the stomach. Generally, DR products are treated as IR products. However, if the DR product has complex release characteristics, the relevant review division should be contacted for additional FDA guidance.

If the drug product is an ER product, the following recommendations apply.

1. Preapproval: BA and BE Studies

FDA's regulations at 21 CFR 320.25(f) address the purpose of a BA study for an extended-release product, which is to determine if certain delineated conditions are met.[†] This regulation also provides that "the reference material(s) for such a bioavailability study shall be chosen to permit an appropriate scientific evaluation of the extended release claims made for the drug product."[‡] Appropriate reference products may include

(1) a solution or suspension of the active drug ingredient or therapeutic moiety, (2) a currently marketed non-controlled-release drug product containing the same active drug ingredient or therapeutic moiety and administered according to the dosage recommendations in the labeling of the non-controlled release drug product, and (3) a currently marketed ER drug product subject to an approved full NDA containing the same active drug ingredient or therapeutic moiety and administered according to the dosage recommendations in the labeling of currently marketed ER product.[§]

In general, the PK profile of the ER product may not match that of the approved IR product (e.g., T_{max} is different) or, in some cases, to another ER product. In such a case, establishing similar PK profiles using C_{max} and AUC may not be sufficient to show that the ER product is bioequivalent to the IR product. Thus, additional safety or efficacy studies or PK/PD assessments may be recommended. This FDA guidance recommends that the following BA studies and food effect BA studies be conducted for an ER drug product submitted as an NDA for the scenarios described below:

[†] 21 CFR 320.25(f)(1).

[‡] 21 CFR 320.25(f)(2).

[§] 21 CFR 320.25(f)(2)(i), (ii), and (iv). We recommend that a sponsor seeking to use as a reference product "a currently marketed extended release drug product subject to an approved full new drug application containing the same active drug ingredient or therapeutic moiety and administered according to the dosage recommendations in the labeling proposed for the extended release drug product," under 21 CFR 320.25(f)(2)(iii), consult with the Agency before commencing such a study.

* For the purpose of this FDA guidance, the terms *extended*, *controlled*, and *sustained* are used interchangeably.

New ER formulation comparison to an already-approved IR product

- For drugs with linear pharmacokinetics over the therapeutic dose range: A fasting study should be conducted comparing the ER product administered as a single dose at the highest strength to the IR reference administered over the least common time interval to achieve equivalent total dose as for the ER product.* If for safety reasons the highest strength cannot be used, a lower strength may be acceptable.
- For drugs with nonlinear pharmacokinetics over the therapeutic dose range: At a minimum, a single dose of the highest and lowest strengths of the ER product should be compared to their corresponding IR references administered over the ER dosing interval. If the relative BA of intermediate ER strengths cannot be inferred based on the above studies, a single-dose fasting study for the intermediate strength(s) of the ER product should be compared to the corresponding IR reference administered over the ER dosing interval.
- When the ER strengths are not proportionally similar in composition, a single-dose fasting dosage strength equivalence assessment study[†] or a dosage strength proportionality study[‡] for the ER product should be conducted.
- A single-dose food-effect study should be conducted on the highest ER strength (see the 2002 Food-Effect FDA guidance).
- A steady state study should be conducted on the highest strength of the ER product compared to an approved IR reference dosed to achieve equivalent total dose as for the ER product.

New ER product (ER_{new}) comparison to an approved ER product (ER_{old}) with a different dosing interval (i.e., where ER_{new} and ER_{old} have unequal dosing intervals)

- The recommendations are the same as outlined in the previous section (Development of a new ER formulation given an already approved IR product) except for the choice of the reference product. In this case, the reference product could be either the approved ER_{old} or IR product.

* For example, when a 150-milligram (mg) ER product administered once daily (QD) is being developed that gives an approved 50-mg IR reference product administered three times a day (TID) or a 75-mg product administered two times a day (BID), a comparison of the 150-mg ER product administered as a single dose could be compared to either the 50-mg IR reference product administered TID or 75-mg IR reference product administered BID. In this case, the least common time interval is 24 hours.

[†] If three strengths, 10, 25, and 50 mg, are being developed for a new ER dosage form, the dosage strength equivalence study should be conducted using 5×10 mg, 2×25 mg, and 1×50 mg to achieve constancy of dose.

[‡] If three strengths, 10, 25, and 50 mg, are being developed for a new ER dosage form, the dosage strength proportionality study should be conducted using 1×10 mg, 1×25 mg, and 1×50 mg to achieve constancy of dose and the dosage strength proportionality study should be conducted using 1×10 mg, 1×25 mg, and 1×50 mg.

New ER product (ER_{new}) comparison to an approved ER product (ER_{old}) with the same dosing interval

- A single-dose fasting BE study on the highest strength of the ER_{new} product compared to the ER_{old} product. If ER_{new} and ER_{old} are of different strength, then comparison of ER_{new} versus ER_{old} should be made based on dose using the highest strengths.
- A single-dose, food-effect study should be conducted on the highest ER_{new} strength.
- When the ER_{new} strengths are not proportionally similar in composition, a single-dose fasting dosage strength equivalence assessment study or a dosage strength proportionality study[§] for the ER_{new} product should be conducted.
- In some cases, BE between the new and old ER products may not be sufficient to ensure that there is no difference in safety or efficacy if the PK profiles of the two ER products do not match (e.g., T_{max} is different). Additional data analysis or clinical studies may be needed to ensure that the two products are clinically equivalent.

2. Post-approval Changes

Information on the types of in vitro dissolution and in vivo BE studies for ER drug products approved in the presence of specific post-approval changes are provided in an FDA guidance for industry *SUPAC-MR: Modified Release Solid Oral Dosage Forms: Scale-Up and Post-approval Changes: Chemistry, Manufacturing, and Controls; In Vitro Dissolution Testing, and In Vivo Bioequivalence Documentation*. We recommend that for post-approval changes, the in vitro or in vivo comparison be made between the post-change and pre-change products.

D. Batch Size

For pivotal BE studies, the test batch should be representative of the production batches. Therefore, the size of the test batch should be at least 10% of the planned production batch size, or a minimum of 100,000 units, whichever is larger.

V. ADDITIONAL INFORMATION ON IN VITRO APPROACHES

A. In Vitro Studies Conducted in Support of a Waiver of an In Vivo BA or BE Data Requirement

As discussed above, FDA's regulations contemplate that if in vivo BA or BE data are required for a product, a sponsor may seek a waiver of that requirement under certain circumstances.[¶]

[§] 21 CFR 320.21(b) (giving applicants the option of submitting information that "would permit FDA to waive the submission of evidence demonstrating in vivo bioequivalence") and 320.21(f) (requiring that the information submitted in support of a waiver request "shall meet the criteria set forth in § 320.22").

[¶] 21 CFR 320.21(b) (giving applicants the option of submitting information that "would permit FDA to waive the submission of evidence demonstrating in vivo bioequivalence") & 320.21(f) (requiring that the information submitted in support of a waiver request "shall meet the criteria set forth in § 320.22").

For example, in some instances, in vivo BA or BE is self-evident based on certain characteristics of the drug product (21 CFR 320.22(b)), and therefore, any in vivo data requirement has been deemed to have been met. In other delineated circumstances, an in vivo BA or BE data requirement may be waived, and in vitro data may be accepted in lieu of in vivo data (21 CFR 320.22(d)). For example, an in vivo data requirement may be waived for different strengths of an immediate-release drug product under 21 CFR 320.22(d)(2) when (1) the drug product is in the same dosage form, but in a different strength; (2) this different strength is proportionally similar in its active and inactive ingredients to another drug product for which the same manufacturer has obtained approval; and (3) the new strength meets an appropriate in vitro test as outlined in the regulation.* In addition, for waiving higher strengths, linearity of the pharmacokinetics over the therapeutic dose range should be demonstrated.

This FDA guidance defines *proportionally similar* in the following ways:

- All active and inactive ingredients are in exactly the same proportion between different strengths (e.g., a tablet of 50-mg strength has all the inactive ingredients, exactly half that of a tablet of 100-mg strength, and twice that of a tablet of 25-mg strength).
- For high-potency drug substances (where the amount of active drug substance in the dosage form is relatively low), (1) the total weight of the dosage form remains nearly the same for all strengths (within $\pm 10\%$ of the total weight of the strength on which a BE was performed), (2) the same inactive ingredients are used for all strengths, and (3) the change in any strength is obtained by altering the amount of the active ingredients and one or more of the inactive ingredients.
- Bilayer tablets are considered to be one formulation even though they consist of two separate layers with different compositions. In assessing the proportional similarity of the different strengths, all components of both layers should be proportionally similar. The fact that only one layer is proportionally similar and the other is not clearly indicates that the products (whole tablet) are not proportionally similar. This is relevant because there can be interactions between the different tablet layers, which can differ across different strengths because of the different size of the layers and the varying amounts of excipients present in each layer.

Exceptions to the above definitions may be possible if adequate justification is provided and discussed with the appropriate review division.

* See also 21 CFR 322.22(d)(3) and (4) for additional bases for waiver. Also, FDA, for good cause, may waive a requirement for the submission of evidence of in vivo bioavailability or bioequivalence if waiver is compatible with the protection of the public health. For full NDAs, FDA may defer a requirement for the submission of evidence of in vivo bioavailability if deferral is compatible with the protection of the public health (21 CFR 320.22(e)).

B. In Vitro Studies Conducted in Support of Demonstrating BA or BE

FDA may determine that in vitro data are the most accurate, sensitive, and reproducible method to demonstrate BA or BE in other contexts (21 CFR 320.24(b)(5) and (6)).[†] Below we provide additional FDA guidance on the conduct of such studies.

1. Immediate-Release Formulations (Capsules, Tablets, and Suspensions)

In vitro data can be used to compare formulations of drug products under certain circumstances. If an applicant seeks to demonstrate the BA or BE of immediate-release formulations for capsules, tablets, and suspensions using in vitro data, FDA recommends that sponsors generate dissolution profiles for all strengths using an appropriate dissolution method. If the dissolution results indicate that the dissolution characteristics of the product are not dependent on the pH and product strength, dissolution profiles in one medium are usually sufficient to support demonstrating BE. Otherwise, dissolution data in at least three media (e.g., pH 1.2, 4.5, and 6.8) are recommended. The f_2 test should be used to compare profiles from the different strengths of the product (see FDA guidance for industry, *Dissolution Testing of Immediate Release Solid Oral Dosage Forms*). An f_2 value ≥ 50 indicates a sufficiently similar dissolution profile to support a bioequivalence waiver. For an f_2 value < 50 , discussion with the appropriate review division is recommended to determine whether an in vivo study is needed. The f_2 approach is not suitable for rapidly dissolving drug products (e.g., $\geq 85\%$ dissolved in 15 minutes or less).

Over-encapsulation of clinical trial formulations During the course of drug development, sponsors sometimes have to blind the formulations that they use in the clinical trials. In certain situations, the only difference between the to-be-marketed and clinical trial formulations is that the dosage form is put into a capsule. This over-encapsulation is done mainly for blinding purposes. It may be possible to support bioequivalence of the to-be-marketed and clinical trial formulations using in vitro data only, provided that no other excipients are added to the capsule and the dissolution profiles are comparable in three media: pH 1.2, pH 4.5 and pH 6.8.

Scale-up and post-approval changes Certain formulation changes in components and composition, scale-up, manufacturing site, manufacturing process, or equipment can be made post-approval. Depending on the possible impact of the manufacturing change on the release of the active ingredient from the formulation and its BA, certain manufacturing changes for IR products can be approved based solely on similarity of the dissolution profiles between the postchange and prechange formulations. Information on recommendations for using in vitro dissolution and in vivo BE studies for immediate-release drug products in such circumstances is provided in FDA's FDA guidance for industry

[†] In such instances, no waiver under 21 CFR 320.21 and 320.22 is necessary.

on *SUPAC IR: Immediate-Release Solid Oral Dosage Forms: Scale-Up and Post-Approval Changes: Chemistry, Manufacturing, and Controls; In Vitro Dissolution Testing, and In Vivo Bioequivalence Documentation*. The same principles described in the FDA guidance can be applied to pre-approval changes in which the to-be-marketed formulation differs from the clinical trial formulation.

2. Modified-Release Formulations

The use of in vitro data may be acceptable for modified-release drug products for which specific post-approval changes are sought is delineated in the FDA guidance for industry *SUPAC-MR: Modified Release Solid Oral Dosage Forms: Scale-Up and Post-approval Changes: Chemistry, Manufacturing, and Controls; In Vitro Dissolution Testing, and In Vivo Bioequivalence Documentation*. The same principles described in the FDA guidance may also apply to pre-approval changes. Additional considerations for use of in vitro data are described below.

Beaded capsules: lower/higher strength For ER beaded capsules where the strength differs only in the number of beads containing the active moiety, a single-dose, fasting BA or BE study, as appropriate, should be carried out on the highest strength. In vivo BA or BE of one or more lower strengths can be demonstrated based on dissolution profile comparisons, with an in vivo BA or BE study only on the highest strength (unless safety reasons preclude the administration of the highest strength to healthy volunteers). The dissolution profiles for each strength should be generated using the recommended dissolution method. If the dissolution method has not been finalized, dissolution profiles should be generated in at least three media (e.g., pH 1.2, 4.5, and 6.8). In vivo BE studies for higher strengths may not be necessary based on (1) clinical safety and/or efficacy data on the proposed dose and the need for the higher strength, (2) linearity of pharmacokinetics over the therapeutic dose range, and (3) the same dissolution procedures being used for all strengths with similar dissolution results. The f_2 test can be used to demonstrate similar profiles among the different strengths of the product.

MR dosage forms: lower strength For MR dosage forms, when the drug product is in the same dosage form but in a different strength and when (1) the drug exhibits linear pharmacokinetics, (2) the various strengths are proportionally similar in their active and inactive ingredients* and (3) the drug-release mechanism is the same, an in vivo BA or BE determination of one or more lower strengths can be demonstrated based on dissolution profile comparisons, with an in vivo BA or BE study only on the highest strength. The dissolution profiles for each strength should be generated using the

recommended dissolution method. If the dissolution method has not been finalized, dissolution profiles should be generated in at least three media (e.g., pH 1.2, pH 4.5, and pH 6.8). The dissolution profile should be generated on the test and reference products of all strengths using the same dissolution test conditions.

VI. SPECIAL TOPICS

A. Alcoholic Beverage Effects on MR Drug Products

The consumption of alcoholic beverages may affect the release of a drug substance from an MR formulation. The formulation may lose its MR characteristics, leading to more rapid drug release and altered systemic exposure. This more rapid drug release may have deleterious effects on the drug's safety and/or efficacy.

In vitro assessments of the drug release from the drug product using media with various alcohol concentrations should be conducted. Based on the results of the in vitro assessments, an in vivo BA study of the drug product when administered with alcohol may be needed.

B. Enantiomers versus Racemates

During development of a racemic drug product, the racemate should be measured in BA studies. It may also be important to measure the individual enantiomers of the racemate to characterize the pharmacokinetics of the enantiomers. For the development of a specific enantiomer, chiral inversion should be assessed.

Measurement of the racemate using an achiral assay is recommended for BE studies. Measurement of individual enantiomers in BE studies is recommended only when all of the following conditions are met: (1) the enantiomers exhibit different PD characteristics, (2) the enantiomers exhibit different PK characteristics, (3) primary efficacy and safety activity resides with the minor enantiomer, and (4) nonlinear absorption is present (as expressed by a change in the enantiomer concentration ratio with change in the input rate of the drug) for at least one of the enantiomers. In such cases, we recommend that BE criteria be applied to the enantiomers separately.

C. Drug Products With Complex Mixtures as the Active Ingredients

Certain drug products may contain complex drug substances (i.e., active moieties or active ingredients that are mixtures of multiple synthetic and/or natural source components). Some or all of the components of these complex drug substances may not be fully characterized with regard to chemical structure and/or biological activity. Quantification of all active or potentially active components in BA and BE studies may not be possible. In such cases, we recommend that BA and BE studies be based on a select number of components. Criteria for component selection typically include the amount of the moiety in the dosage form, plasma or blood levels of the moiety, and biological activity of the moiety. When PK approaches are infeasible to assess rate and extent of absorption of a

* If the formulations of all the strengths are not compositionally proportional, in vitro data can be submitted for the middle strength(s) if the following data are acceptable: (1) BA or BE data, as appropriate, for both the highest and the lowest strengths, and (2) in vitro multimedia dissolution comparison profiles using f_2 evaluation.

drug substance from a drug product, PD, clinical, or in vitro approaches may be appropriate.

D. Long-Half-Life Drugs

In a BA or PK study involving an IR oral product with a long half-life (≥ 24 hours), adequate characterization of the half-life should include blood sampling over a long period of time. For BA or BE determination of a drug product containing a drug with a long half-life, a nonreplicate, single-dose, crossover study can be conducted, provided an adequate washout period is used. If the crossover study is problematic, a study with a parallel design can be used. For either a crossover or parallel study, we recommend that the sample collection time be adequate to ensure completion of gastrointestinal transit (approximately 2 to 3 days) of the drug product and absorption of the drug substance. C_{\max} and a suitably truncated AUC can be used to characterize peak and total drug exposure, respectively. For drugs that demonstrate low intrasubject variability in distribution and clearance, a truncated AUC (e.g., $AUC_{0-72 \text{ hr}}$) can be used in place of AUC_{0-t} or $AUC_{0-\infty}$. For drugs that demonstrate high intrasubject variability in distribution and clearance, AUC truncation should not be used. In such cases, we recommend that sponsors and/or applicants consult the appropriate review division.

E. Orally Administered Drugs Intended for Local Action

Documentation of BA and BE when the drug substance produces its effects by local action in the gastrointestinal tract can be achieved either by using pharmacokinetics, an acceptable PD end point, clinical efficacy and safety studies, and/or suitably designed and validated in vitro studies, as appropriate. For such cases, we recommend that sponsors and/or applicants consult the appropriate review division. Additional safety studies may also be recommended to characterize the local safety of the product. The in vitro studies should reflect important clinical effects or should be more sensitive to changes in product performance compared to a clinical study. To ensure comparable safety, additional studies with and without food may help to understand the degree of systemic exposure that occurs following administration of a drug product intended for local action in the gastrointestinal tract.

F. Combination/Coadministered Drug Products

Two or more active ingredients can be formulated as a single drug product, which is referred to as a combination drug product. Generally, the purpose of an in vivo BA study involving a combination drug product is to compare the rate and extent of absorption of each active drug ingredient or therapeutic moiety in the combination drug product to the rate and extent of absorption of each active drug ingredient or therapeutic moiety administered concurrently in separate single-ingredient preparations (21 CFR 320.25(g)).

For the purpose of defining BA or determining BE when required, this FDA guidance recommends that the following studies be conducted for a combination drug product:

- A two-treatment, single-dose, fasting study of the combination drug product versus single-ingredient drug products administered concurrently as a single treatment or an approved combination product containing the same active ingredients. This study should use the highest strength of the combination product with matching doses of individual drug products.
- Certain alternative study designs may also be acceptable depending on the specific situation. For instance, in the case of a combination product consisting of two components, a three-treatment study design comparing the combination drug product versus single-ingredient drug products administered separately may be appropriate.
- A single-dose, food-effect study on the combination drug product.

BE studies for the combination product should include the measurement of systemic concentrations of each active ingredient. The confidence interval approach should be applied to each measured entity of the combination drug product and its reference product.

In specific cases, drug products are given in combination (not co-formulated) with the objective of increasing the exposure of one of the drugs (subject drug). The second drug is not intended to have a therapeutic effect and is given only to increase the systemic exposure of the subject drug. When both the subject and second drug are new molecular entities, the BA of each should be assessed separately. If a BE study is needed for the subject drug for any reason, the subject drug should be administered with the second drug for both test and reference products. The corresponding PK results, including confidence intervals for BE criteria, should be applied to the subject drug. It is not necessary to measure the concentrations of the second drug. BE studies that are needed for the second drug should be conducted only with the second drug; the subject drug is not dosed with the second drug. When the combination includes a new molecular entity and an approved product, only the BA of the new molecular entity should be assessed. It is assumed that the BA of the approved product has been previously evaluated.

G. Endogenous Substances

Drug products can be developed that contain compounds that are endogenous to humans (e.g., testosterone). When the endogenous compounds are identical to the drug that is being administered, determining the amount of drug released from the dosage form and absorbed by each subject is difficult. In most cases, it is important to measure and approximate the baseline endogenous levels of the compound in blood (plasma) and subtract these levels from the total concentrations measured from each subject after the drug product is administered. In this way, an estimate of actual drug availability from the drug product can be achieved, and therefore BA and BE can be assessed. Endogenous substances may have homeostatic processes that affect their production and

therefore impact their systemic concentrations. To reduce the complication of these homeostatic processes and to potentially avoid the need for baseline correction, an alternative approach might be to enroll patients in BA and BE studies with low or no production of the endogenous substances instead of healthy volunteers.

Baseline concentrations of the endogenous substance produced by the body are measured in the time period prior to study drug administration. Depending on the proposed indication, subtraction of the time-averaged baseline or time-matched baseline from the post-dose concentration for each subject may be recommended. When the endogenous levels are influenced by diet, strict control of the dietary intake of the compound prior to and during the study may also be appropriate. To achieve a stable baseline, subjects should be housed at the clinic for a sufficient time prior to the study and served standardized meals with similar content of the compound to that of the meals served on the PK sampling day.

In either case, baseline concentrations should be determined for each dosing period, and baseline corrections should be period-specific. If a negative plasma concentration value results after baseline correction, this should be set to 0 prior to calculating the baseline-corrected AUC. Pharmacokinetics and statistical analysis should be performed on both uncorrected and corrected data as appropriate. Because of the complexities associated with endogenous compounds, we recommend that sponsors and/or applicants contact the appropriate review division for additional FDA guidance.

H. Drug Products With High Intrasubject Variability

In addition to the traditional approach and the use of average BE using replicate designs, the use of a reference-scaled BE approach using a replicate design can be considered. This approach should be reserved for drugs that demonstrate a high intrasubject variability ($\geq 30\%$). The reference-scaled average BE approach adjusts the BE limits of highly variable drugs by scaling to the within-subject variability of the reference product in the study and imposes a limit of 0.8 to 1.25 on the geometric mean ratio.* The appropriate review division should be consulted when planning the use of the reference-scaled BE approach.

APPENDIX A: GENERAL STUDY DESIGN AND DATA HANDLING

The following general approaches are recommended, recognizing that the elements can be adjusted for certain drug substances and drug products.

Study Conduct

- The BA or BE study should be conducted under fasting conditions (after an overnight fast of at least 10 hours). If the BA or BE study needs to be conducted

with food, a separate FDA guidance *Food-Effect Bioavailability and Fed Bioequivalence Studies* is available to assist sponsors.

- The test and reference products should be administered with about 8 ounces (240 milliliters) of water to an appropriate number of subjects.
- Generally, the highest marketed strength should be administered as a single unit. If warranted, to achieve sufficient bioanalytical sensitivity multiple units of the highest strength can be administered, provided the total single dose remains within the labeled dose range and the total dose is safe for administration to the study subjects.
- An adequate washout period (e.g., ...5 half-lives of the moieties to be measured) should separate each treatment.
- The lot numbers of both test and reference listed products and the expiration date for the reference product should be stated. We recommend that the assayed drug content of the test product batch not differ from the reference product by more than ± 5 percent. The sponsor should include a statement of the composition of the test product and, if possible, a side-by-side comparison of the compositions of test and reference listed products. In accordance with 21 CFR 320.38, and 21 CFR 320.63, samples of the test and reference listed product must be retained for at least 5 years. For additional information, please refer to the FDA guidance for industry on *Handling and Retention of Bioavailability and Bioequivalence Testing Samples*.
- Before and during each study phase, we recommend that subjects (1) be allowed water as desired except for 1 hour before and after drug administration, (2) be provided standard meals no less than 4 hours after drug administration, and (3) abstain from alcohol for 24 hours before each study period and until after the last sample from each period is collected.

Sample Collection and Sampling Times

- We recommend that under normal circumstances, blood, rather than urine or tissue, be used.

In most cases, drug or metabolites are measured in serum or plasma. However, in certain cases, such as when an assay of sufficient sensitivity cannot be developed for plasma, whole blood may be more appropriate for analysis. We recommend that blood samples be drawn at appropriate times to describe the absorption, distribution, and elimination phases of the drug. For most drugs we recommend that 12 to 18 samples, including a pre-dose sample, be collected per subject per dose. ***This sampling should continue for at least three or more terminal elimination half-lives of the drug*** to capture 90 percent of the relevant AUC. For multiple-dose studies, sampling should occur across the dose interval and

* For general principles of the reference-scaled approach, refer to Davit B, Conner D. Reference-Scaled Average Bioequivalence Approach. In: Kanfer I, Shargel L, Eds. *Generic Drug Product Development – International Regulatory Requirements For Bioequivalence*. Informa Healthcare, 2010:271–272.

include the beginning and the end of the interval. The exact timing for sample collection depends on the nature of the drug and the rate of input from the administered dosage form. The sample collection should be spaced in such a way that the maximum concentration (C_{\max}) of the drug in the blood and terminal elimination rate constant (λ_z) can be estimated accurately.

Three or more samples should be obtained during the terminal log-linear phase to obtain an accurate estimate of λ_z from linear regression. We recommend recording the actual clock time when samples are drawn, as well as the elapsed time related to drug administration.

Subjects with Pre-Dose Plasma Concentrations

- If the pre-dose concentration is ≤ 5 percent of C_{\max} value in that subject, the subject's data without any adjustments can be included in all PK measurements and calculations. We recommend that if the pre-dose value is > 5 percent of C_{\max} , the subject should be dropped from all PK evaluations. The subject data should be reported and the subject should be included in safety evaluations.

Data Deletion because of Vomiting

- We recommend that data from subjects who experience emesis during the course of a study for immediate-release products be deleted from statistical analysis if vomiting occurs at or before 2 times median T_{\max} . For modified-release products, subjects who experience emesis at any time during the labeled dosing interval should not be included in PK analysis.

Data Submission and Analysis

The following PK information is recommended for submission:

- Plasma concentrations and time points.
- Subject, period, sequence, treatment.
- Intersubject, intrasubject, and/or total variability, if available.
- For single-dose studies: AUC_{0-t} , AUC_{0-inf} , C_{\max} , T_{\max} , λ_z , and $t_{1/2}$.
- For steady-state studies: AUC_{0-tau} , C_{\maxss} , T_{\max} , C_{\minss} (lowest concentration in a dosing interval), C_{trough} (concentration at the end of the dosing interval), C_{avss} (average concentration during a dosing interval), degree of fluctuation $[(C_{\max} - C_{\min})/C_{avss}]$, swing $[(C_{\maxss} - C_{\minss})/C_{\minss}]$. C_{trough} should be measured for several dosing intervals to assess whether steady-state was achieved.
- In addition to the above information, clearance and volume of distribution should be reported for BA studies.

In addition, we recommend that the following statistical information be provided for AUC_{0-t} , $AUC_{0-\infty}$, and C_{\max} :

- Geometric means
- Arithmetic means
- Geometric mean ratios
- 90 percent Confidence intervals (CI)

We also recommend that logarithmic transformation be provided for measures used for BE demonstration. An FDA guidance for industry, *Statistical Approaches to Establishing Bioequivalence*, is available.

Rounding Off of Confidence Interval Values

We recommend that applicants *not round off* CI values; therefore, to pass a CI limit of 80 to 125 percent, the value should be at least 80.00 percent and not more than 125.00 percent.



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2 Waiver of In Vivo Bioavailability and Bioequivalence Studies for Immediate-Release Solid Oral Dosage Forms Based on a Biopharmaceutics Classification System

*Guidance for Industry**

I. INTRODUCTION

This guidance provides recommendations for sponsors of investigational new drug applications (INDs), and applicants who submit new drug applications (NDAs), abbreviated new drug applications (ANDAs), and supplements to these applications for immediate-release (IR) solid oral dosage forms, and who wish to request a waiver of an in vivo bioavailability (BA) and/or bioequivalence (BE) study requirement. These recommendations are intended to apply to waivers requested during the IND period and the NDA stage or for ANDAs, i.e., (1) subsequent in vivo BA or BE studies of formulations after the initial establishment of the in vivo BA of IR solid oral dosage forms during the IND period, and (2) in vivo BE studies of IR solid oral dosage forms in NDAs, ANDAs, and supplements to these applications.

Regulations at 21 CFR 320 address the requirements for BA and BE data for approval of NDAs, ANDAs, and supplemental applications. Provision for waivers of in vivo BA/BE studies (biowaivers) under certain conditions is provided at 21 CFR 320.22.[†] This guidance finalizes the guidance for industry on *Waiver of In Vivo Bioavailability and Bioequivalence Studies for Immediate-Release Solid Oral Dosage Forms*

Based on a Biopharmaceutics Classification System,[‡] published in May 2015, and explains when biowaivers can be requested for IR solid

oral dosage forms based on an approach termed the Biopharmaceutics Classification System (BCS).[§] This guidance includes biowaiver extension to BCS class 3 drug products, and additional modifications, such as criteria for high permeability and high solubility.

In general, FDA's guidance documents do not establish legally enforceable responsibilities. Instead, guidances describe the Agency's current thinking on a topic and should be viewed only as recommendations, unless specific regulatory or statutory requirements are cited. The use of the word *should* in Agency guidances means that something is suggested or recommended, but not required.

II. THE BIOPHARMACEUTICS CLASSIFICATION SYSTEM

The BCS is a scientific framework for classifying drug substances based on their aqueous solubility and intestinal permeability. When combined with the dissolution of the drug product, the BCS takes into account three major factors that govern the rate and extent of drug absorption from IR solid oral dosage forms: (1) dissolution, (2) solubility, and

* This guidance has been prepared by the Office of Pharmaceutical Quality and the Office of Translational Sciences in the Center for Drug Evaluation and Research (CDER) at the Food and Drug Administration.

[†] In addition to waiver of an in vivo BE requirement under 21 CFR 320.22, there are certain circumstances in which BE can be evaluated using in vitro approaches under 21 CFR 320.24(b)(6). The scientific principles described in this guidance regarding waiver of an in vivo requirement also apply to consideration of in vitro data under that regulation. In such circumstances, an in vivo data requirement is not waived, but rather, FDA has determined that in vitro data is the most accurate, sensitive, and reproducible for a product, as required under 21 CFR 320.24(a). Nonetheless, for ease of the reader, in this guidance we will refer to either the decision to waive an in vivo BE requirement under 21 CFR 320.22 or the decision to accept in vitro BE data in accordance with 21 CFR 320.24(a) as a "biowaiver."

[‡] We update guidances periodically. To make sure you have the most recent version of a guidance, check the FDA Drugs guidance web page at <http://www.fda.gov/Drugs/GuidanceComplianceRegulatoryInformation/Guidances/default.htm>.

[§] See *The Biopharmaceutics Classification System (BCS) Guidance* at: <http://www.fda.gov/aboutfda/centersoffices/officeofmedicalproductsandtobacco/cder/ucm128219.htm>.

(3) intestinal permeability.* According to the BCS, drug substances are classified as follows:

Class 1: High Solubility – High Permeability Class
 2: Low Solubility – High Permeability Class
 3: High Solubility – Low Permeability Class
 4: Low Solubility – Low Permeability

In addition, some IR solid oral dosage forms are categorized as having rapid or very rapid[†] dissolution. Within this framework, when certain criteria are met, the BCS can be used as a drug development tool to help sponsors/applicants justify requests for biowaivers.

Observed in vivo differences in the rate and extent of absorption of a drug from two pharmaceutically equivalent solid oral products may be due to differences in drug dissolution in vivo.⁴ However, when the in vivo dissolution of an IR solid oral dosage form is rapid or very rapid in relation to gastric emptying and the drug has high solubility, the rate and extent of drug absorption is unlikely to be dependent on drug dissolution and/or gastrointestinal (GI) transit time. Under such circumstances, demonstration of in vivo BA or BE may not be necessary for drug products containing class 1 and class 3 drug substances, as long as the inactive ingredients used in the dosage form do not significantly affect absorption of the active ingredients.

The BCS approach outlined in this guidance can be used to justify biowaivers for highly soluble and highly permeable drug substances (i.e., class 1) as well as highly soluble and low permeable drug substances (i.e., class 3) in IR solid oral dosage forms that exhibit rapid or very rapid in vitro dissolution using the recommended test methods. The recommended methods for determining solubility, permeability, and in vitro dissolution are discussed below.

A. SOLUBILITY

The solubility class boundary is based on the highest strength of an IR product that is the subject of a biowaiver request. A drug substance is considered *highly soluble* when the highest strength is soluble in 250 mL or less of aqueous media within the pH range of 1 - 6.8 at 37 ± 1°C. The volume estimate of 250 mL is derived from typical BE study protocols that prescribe administration of a drug product to fasting human volunteers with an 8 fluid ounce glass of water.

* Amidon GL, Lennernäs H, Shah VP, and Crison JR, 1995, A Theoretical Basis For a Biopharmaceutics Drug Classification: The Correlation of In Vitro Drug Product Dissolution and In Vivo Bioavailability, *Pharm Res*, 12: 413–420.

† Yu LX, Amidon GL, Polli JE, Zhao H, Mehta MU, Conner DP, et al, 2002, Biopharmaceutics classification system: The scientific basis for biowaiver extensions, *Pharm Res*, 19(7):921–5.

B. PERMEABILITY

The permeability class boundary is based indirectly on the extent of absorption (fraction of dose absorbed, not systemic BA) of a drug substance in humans, and directly on measurements of the rate of mass transfer across human intestinal membrane. Alternatively, other systems capable of predicting the extent of drug absorption in humans can be used (e.g., in situ animal, in vitro epithelial cell culture methods). A drug substance is considered to be *highly permeable* when the systemic BA or the extent of absorption in humans is determined to be 85 percent or more of an administered dose based on a mass balance determination (along with evidence showing stability of the drug in the GI tract) or in comparison to an intravenous reference dose.

C. DISSOLUTION[‡]

An IR drug product is considered *rapidly dissolving* when a mean of 85 percent or more of the labeled amount of the drug substance dissolves within 30 minutes, using *United States Pharmacopeia* (USP) Apparatus 1 at 100 rpm or Apparatus 2 at 50 rpm (or at 75 rpm when appropriately justified (see section III.C.) in a volume of 500 mL or less (or 900 mL when appropriately justified) in each of the following media: (1) 0.1 N HCl or Simulated Gastric Fluid USP without enzymes; (2) a pH 4.5 buffer; and (3) a pH 6.8 buffer or Simulated Intestinal Fluid USP without enzymes.

An IR product is considered *very rapidly dissolving* when a mean of 85 percent or more of the labeled amount of the drug substance dissolves within 15 minutes, using the above mentioned conditions.

III. RECOMMENDED METHODOLOGY FOR CLASSIFYING A DRUG SUBSTANCE AND FOR DETERMINING THE DISSOLUTION CHARACTERISTICS OF A DRUG PRODUCT

The following approaches are recommended for classifying a drug substance and determining the dissolution characteristics of an IR drug product according to the BCS.

A. DETERMINING DRUG SUBSTANCE SOLUBILITY CLASS

An objective of the BCS approach is to determine the equilibrium solubility of a drug substance under physiological pH conditions. The pH-solubility profile of the test drug substance should be determined at 37 ± 1°C in aqueous media with a pH in the range of 1 - 6.8. A sufficient number of pH conditions should be evaluated to accurately define the pH-solubility profile within the pH range of 1 - 6.8. The number of pH conditions for a solubility determination can be based

[‡] See also the draft guidance for industry *Dissolution Testing of Immediate Release Solid Oral Dosage Forms*. When final, this guidance will represent the FDA's current thinking on this topic.

on the ionization characteristics of the test drug substance to include $\text{pH}=\text{pK}_a$, $\text{pH}=\text{pK}_a+1$, $\text{pH}=\text{pK}_a-1$, and at $\text{pH}=1$ and 6.8 . A sufficient number of pH conditions should be determined for both ionizable and non-ionizable compounds. A minimum of three replicate determinations of solubility in each pH condition is recommended. Depending on study variability, additional replicates may be necessary to provide a reliable estimate of solubility.

Standard buffer solutions described in the USP are considered appropriate for use in solubility studies. If these buffers are not suitable for physical or chemical reasons, other buffer solutions can be used with justification. Solution pH should be verified (measured and adjusted to the target pH if required) after addition of the drug substance to a buffer. Solution pH should also be measured at the end of the equilibrium solubility study.

Methods other than the traditional shake-flask method, such as acid or base titration methods, can also be used with justification supporting the ability of such methods to predict equilibrium solubility of the test drug substance. The concentration of the drug substance in selected buffers (or pH conditions) should be determined using a validated stability-indicating assay that can distinguish the drug substance from its degradation products.* If degradation of the drug substance is observed as a function of buffer composition and/or pH , it should be reported. The solubility class should be determined by calculating the volume of an aqueous medium sufficient to dissolve the highest strength in the pH range of $1-6.8$. A drug substance should be classified as highly soluble when the highest strength is soluble in ≤ 250 mL of aqueous media over the pH range of $1-6.8$. In other words, the highest strength divided by 250 should be less than or equal to the lowest solubility observed over the entire pH range of $1-6.8$.

For drug products where the highest single dose administered is higher than the highest strength, additional information may be necessary. If the solubility classification is likely to change with the highest single dose as criterion, additional PK dose proportionality information in a wide dose range covering the therapeutic dose range will be necessary.

B. DETERMINING DRUG SUBSTANCE PERMEABILITY CLASS

The permeability class of a drug substance can be determined via human pharmacokinetic studies (mass balance, or absolute BA) which are preferred methods, or through in vivo intestinal perfusion in human subjects. Alternatively, methods not involving human subjects, which include in vivo or in situ intestinal perfusion in a suitable animal model (e.g., rats), and in vitro permeability methods using excised intestinal tissues, or monolayers of suitable epithelial cells, may also be used.

A single method may be sufficient: (i) when the absolute BA is 85 percent or more, or (ii) when 85 percent or more of the administered drug is excreted unchanged in urine, or (iii) when 85 percent or more of the administered drug is

recovered in urine as parent and metabolites with evidence indicating stability in the GI tract. When a single method fails to conclusively demonstrate a permeability classification, two different methods may be advisable. In case of conflicting information from different types of studies, it is important to note that human data supersede in vitro or animal data.

1. Pharmacokinetic Studies in Humans

- Mass Balance Studies

Pharmacokinetic (PK) mass balance studies using unlabeled, stable isotopes or a radiolabeled drug substance can be used to document the extent of absorption of a drug. A sufficient number of subjects should be enrolled to provide a reliable estimate of extent of absorption.

When mass balance studies are used to demonstrate high permeability, additional data to document the drug's stability in the GI tract is required, unless 85 percent or more of the drug is excreted unchanged in urine. Please see method details in section III.B.3.

- Absolute Bioavailability Studies

Oral BA determination using intravenous administration as a reference can be used. Depending on the variability of the studies, a sufficient number of subjects should be enrolled in a study to provide a reliable estimate of the extent of absorption. When the absolute BA of a drug is shown to be 85 percent or more, additional data to document drug stability in the GI fluid is not necessary.

2. Intestinal Permeability Methods

The following methods can be used to determine the permeability of a drug substance from the GI tract: (1) in vivo intestinal perfusion studies in humans; (2) in vivo or in situ intestinal perfusion studies using suitable animal models; (3) in vitro permeation studies using excised human or animal intestinal tissues; or (4) in vitro permeation studies across a monolayer of cultured epithelial cells.

In vivo or in situ animal models and in vitro methods, such as those using cultured monolayers of animal or human epithelial cells, are considered appropriate for passively transported drugs. The observed low permeability of some drug substances in humans could be caused by efflux of drugs via membrane efflux transporters such as P-glycoprotein (P-gp), breast cancer resistance protein (BCRP) and/or multidrug resistance associated protein 2 (MRP2). When the efflux transporters are absent in these models, or their degree of expression is low compared to that in humans, there may be a greater likelihood of misclassification of permeability class for a drug subject to efflux compared to a drug transported passively. Expression of known transporters in selected study systems should be characterized. Functional expression of efflux systems (e.g., P-gp, BCRP, MRP2) can be demonstrated with techniques such as bidirectional transport studies, demonstrating a higher rate of

* Refer to the guidance for industry *Submitting Documentation for the Stability of Human Drugs and Biologics*.

transport in the basolateral-to-apical direction as compared to apical-to-basolateral direction (efflux ratio >2),^{*†} using selected model drugs or chemicals at concentrations that do not saturate the efflux system (e.g., digoxin, vinblastine, rhodamine 123, methotrexate). The use of animal or in vitro permeability test methods is recommended only for drug substances that are transported by passive mechanisms (efflux ratio of the test drug should be <2). PK studies on dose linearity or proportionality may provide useful information for evaluating the relevance of observed in vitro efflux of a drug. For example, there may be fewer concerns associated with the use of in vitro methods for a drug that has a higher rate of transport in the basolateral-to-apical direction at low drug concentrations but exhibits linear PK in humans.

For BCS-based permeability determination, an apparent passive transport mechanism can be assumed when one of the following conditions is satisfied:

- A proportional relationship between the dose (e.g., relevant clinical dose range) and measures of BA (area under the concentration-time curve) or linear PK of a drug is demonstrated in humans.
- Lack of dependence of the measured in vivo or in situ permeability is demonstrated in an animal model on initial drug concentration (e.g., 0.01, 0.1, and 1 times the highest strength dissolved in 250 mL) in the perfusion fluid.
- Lack of dependence of the measured in vitro permeability on initial drug concentration (e.g., 0.01, 0.1, and 1 times the highest strength dissolved in 250 mL) is demonstrated, or lack of dependence on transport direction (i.e., efflux ratio 0.5 to 2) using a suitable in vitro cell culture method that has been shown to express known efflux transporters (e.g., P-gp, BCRP, MRP2).

METHOD SUITABILITY: One of the critical steps in using in vivo or in situ perfusion, or in vitro permeability methods for permeability classification is to demonstrate the suitability of the method. To demonstrate suitability of a permeability method intended for BCS-based permeability determination, a rank-order relationship between experimental permeability values and the extent of drug absorption data in human subjects should be established using a sufficient number of model drugs. For in vivo intestinal perfusion studies in humans, six model drugs are recommended. For in vivo or in situ intestinal perfusion studies in animals, and for in vitro tissue or cell monolayer methods, twenty model drugs are recommended. Depending on study variability, a sufficient number of

subjects, animals, excised tissue samples, or cell monolayers should be used in a study to provide a reliable estimate of drug permeability (e.g., a minimum of three per group). This relationship should allow accurate differentiation between drug substances of low and high intestinal permeability attributes.

To demonstrate the suitability of a method, model drugs should represent a range of zero, low (e.g., <50 percent), moderate (e.g., 50–84 percent), and high (≥ 85 percent) absorption.

Sponsors/applicants may select compounds from the list of drugs and/or chemicals provided in Attachment A, or they may select other drugs for which there is information available on mechanism of absorption and reliable estimates of the extent of drug absorption in humans.

For a given test method with set conditions, selection of a high permeability internal standard with permeability in close proximity to the low/high permeability class boundary may be used to facilitate classification of a test drug substance. For instance, a test drug substance may be determined to be highly permeable when its permeability value is equal to or greater than that of the selected internal standard with high permeability.

After demonstrating suitability of a method and maintaining the same study protocol, it is not necessary to retest all selected model drugs for subsequent studies intended to classify a drug substance. Instead, a low and a high permeability model drug should be used as internal standards (i.e., included in the perfusion fluid or donor fluid along with the test drug substance). These two internal standards are in addition to the fluid volume marker (or a zero permeability compound such as PEG 4000) that is included in certain types of perfusion techniques (e.g., closed loop techniques). The choice of internal standards should be based on compatibility with the test drug substance (i.e., they should not exhibit any significant physical, chemical, or permeation interactions). When it is not feasible to follow this protocol, the permeability of internal standards should be determined in the same subjects, animals, tissues, or monolayers, following (or, if appropriate, in parallel to) evaluation of the test drug substance. The permeability values of the two internal standards should not differ substantially between experiments conducted to demonstrate the assay's method suitability and those for the test drug. For example, the laboratory may set acceptance criteria for the permeability values of its high, low, and zero permeability standard compounds.

At the end of an in vitro test, the amount of drug in the tissue or cell monolayer, apical and basolateral chambers should be determined to assist in calculation of mass balance. If recovery from the apical and basolateral chambers is >80 percent, there is no need to measure drug in the tissue or cell monolayers.

When intestinal permeability methods are used to demonstrate high permeability, additional data to document the drug's stability in the GI tract is required. Please see method details in section III.B.3.

3. *Instability in the Gastrointestinal Tract*

Determining the extent of absorption in humans based on mass balance studies using total radioactivity in urine does

* KM Giacomini, SM Huang, DJ Tweedie, LZ Benet, KLR Brouwer, X Chu, A Dahlin, R Evers, V Fischer, et al. March 2010, The International Transporter Consortium, Membrane transporters in drug development, *Nature Reviews Drug Discovery*, 9:215–236.

† See the guidance for industry *Drug Interaction Studies—Study Design, Data Analysis, Implications for Dosing, and Labeling Recommendations*. When final, this guidance will represent the FDA's current thinking on this topic.

not take into consideration the extent of degradation of a drug in the GI fluid prior to intestinal membrane permeation. In addition, some methods for determining permeability could be based on loss or clearance of a drug from fluids perfused into the human and/or animal GI tract either in vivo or in situ. Documenting the fact that drug loss from the GI tract arises from intestinal membrane permeation, rather than a degradation process, will help establish permeability. Stability in the GI tract may be documented using simulated gastric and intestinal fluids. Obtaining GI fluids from human subjects requires intubation and may be difficult. Stability in the GI tract may therefore be documented using simulated gastric and intestinal fluids such as Gastric and Intestinal Fluids USP or, with suitable justification, other biorelevant media.

Drug solutions in these fluids should be incubated at 37°C for a period that is representative of in vivo drug contact with these fluids, for example, one hour in gastric fluid and three hours in intestinal fluid. Drug concentrations should then be determined using a validated stability- indicating assay method. Significant degradation (> 5 percent) of a drug in this study could suggest potential instability.

C. DETERMINING DRUG PRODUCT DISSOLUTION CHARACTERISTICS AND DISSOLUTION PROFILE SIMILARITY⁷

Dissolution testing should be carried out in USP Apparatus 1 (typically at at 100 rpm) or USP Apparatus 2 (typically at 50 rpm, or at 75 rpm when appropriately justified) using 500 mL (or 900 mL with appropriate justification) of the following dissolution media: (1) 0.1 N HCl or Simulated Gastric Fluid USP without enzymes; (2) a pH 4.5 buffer; and (3) a pH 6.8 buffer or Simulated Intestinal Fluid USP without enzymes. For gelatin capsules and tablets with gelatin coating, Simulated Gastric and Intestinal Fluids USP (with enzymes) can be used.

The dissolution testing apparatus used in this evaluation should conform to the requirements in USP (<711> Dissolution) and FDA's guidance on Mechanical Calibration of Dissolution Apparatus 1 and 2.* Selection of the dissolution testing apparatus (USP Apparatus 1 or 2) during drug development should be based on a comparison of in vitro dissolution and in vivo PK data available for the product. The USP Apparatus 1 (*basket method*) is generally preferred for capsules and products that tend to float, and USP Apparatus 2 (*paddle method*) is generally preferred for tablets. For some tablet dosage forms, in vitro (but not in vivo) dissolution may be slow due to the manner in which the disintegrated product settles at the bottom of a dissolution vessel. In such situations, USP Apparatus 1 may be preferred over Apparatus 2, or alternatively rotation speed for Apparatus 2 may be modified with justification. If the testing conditions need to be modified to

better reflect rapid in vivo dissolution (e.g., use of a different rotating speed), such modifications can be justified by comparing in vitro dissolution with in vivo absorption data (e.g., a relative BA study using a simple aqueous solution as the reference product).

A minimum of 12 dosage units of the test and reference drug product for each strength should be evaluated to support a biowaiver request. Samples should be collected at a sufficient number of intervals to characterize the entire dissolution profile of the drug product (e.g., 5, 10, 15, 20, and 30 minutes).

When comparing the test and reference products, dissolution profiles should be compared using a similarity factor (f_2).

$$f_2 = 50 \cdot \log\{[1 + (1/n) \sum^n (R_t - T_t)^2]^{-0.5} \cdot 100\}$$

The similarity factor is a logarithmic reciprocal square root transformation of the sum of squared error and is a measurement of the similarity in the percent of dissolution between the two curves; where n is the number of time points, R_t is the dissolution value of the reference batch at time t, and T_t is the dissolution value of the test batch at time t.

Two dissolution profiles are considered similar when the f_2 value is ≥ 50 . To allow the use of mean data, the coefficient of variation should not be more than 20 percent at the earlier time points (e.g., 15 minutes), and should not be more than 10 percent at other time points. Only one measurement should be considered after 85 percent dissolution of both products. In addition, when both test and reference products dissolve 85 percent or more of the label amount of the drug in 15 minutes using all three dissolution media recommended above, the profile comparison with an f_2 test is unnecessary.

IV. BIOWAIVERS BASED ON BCS

This guidance is applicable for BA/BE waivers (biowaivers) based on BCS, for BCS class 1 and class 3 IR solid oral dosage forms.

For BCS class 1 drug products, the following should be demonstrated:

- the drug substance is highly soluble
- the drug substance is highly permeable
- the drug product (test and reference) is rapidly dissolving, and
- the product does not contain any excipients that will affect the rate or extent of absorption of the drug (see section V.A.)

For BCS class 3 drug products, the following should be demonstrated:

- the drug substance is highly soluble
- the drug product (test and reference) is very rapidly dissolving (see section II.C.), and
- the test product formulation is qualitatively the same and quantitatively very similar (see section V.A.)

* See the guidance for industry *The Use of Mechanical Calibration of Dissolution Apparatus 1 and 2 – Current Good Manufacturing Practice (CGMP)*.

V. ADDITIONAL CONSIDERATIONS FOR REQUESTING A BIOWAIVER

When requesting a BCS-based biowaiver for in vivo BA/BE studies for IR solid oral dosage forms, sponsors/applicants should note that the following factors can affect their request or the documentation of their request.

A. EXCIPIENTS

- (i) BCS class 1 drug products: Excipients can sometimes affect the rate and extent of drug absorption. In general, using excipients that are currently in FDA-approved IR solid oral dosage forms will not affect the rate or extent of absorption of a highly soluble and highly permeable drug substance that is formulated in a rapidly dissolving IR product. To support a biowaiver request, the quantity of excipients in the IR drug product should be consistent with the intended function (e.g., lubricant). When new excipients or atypically large amounts of commonly used excipients are included in an IR solid dosage form, additional information documenting the absence of an impact on BA of the drug may be requested by the Agency. Such information can be provided with a relative BA study using a simple aqueous solution as the reference product. Excessive quantities of certain excipients, such as surfactants (e.g., polysorbate 80) and sweeteners (e.g., mannitol or sorbitol) may be problematic, and sponsors/applicants are encouraged to contact the review division* when this is a factor.
- (ii) BCS class 3 drug products: Unlike for BCS class 1 products, for a biowaiver to be scientifically justified, BCS class 3 test drug product must contain the same excipients as the reference product. This is due to the concern that excipients can have a greater impact on absorption of low permeability drugs. The composition of the test product must be qualitatively the same (except for a different color, flavor, or preservative that could not affect the BA) and should be quantitatively very similar to the reference product. Quantitatively very similar includes the following allowable differences:
 - Changes in the technical grade of an excipient
 - Changes in excipients, expressed as percent (w/w) of the total formulation less than or equal to the following percent ranges:
 - Filler ($\pm 10\%$)
 - Disintegrant, Starch ($\pm 6\%$)
 - Disintegrant, Other ($\pm 2\%$)
 - Binder ($\pm 1\%$)

- Lubricant, Calcium or Magnesium Stearate ($\pm 0.5\%$)
- Lubricant, Other ($\pm 2\%$)
- Glidant, Talc ($\pm 2\%$)
- Glidant, Other ($\pm 0.2\%$)
- Film Coat ($\pm 2\%$)

The total additive effect of all excipient changes should not be more than 10 percent.

B. PRODRUGS

Permeability of prodrugs will generally depend on the mechanism and (anatomical) site of conversion to the drug substance. When the prodrug-to-drug (i.e., active moiety) conversion is shown to occur predominantly after intestinal membrane permeation, the permeability of the prodrug should be measured. When this conversion occurs prior to intestinal permeation, the permeability of the drug should be determined. Dissolution and pH-solubility data on both prodrug and drug can be relevant. Sponsors may wish to consult with appropriate review staff¹² before applying the BCS approach to IR products containing prodrugs.

C. FIXED DOSE COMBINATIONS CONTAINING BCS CLASS 1, OR CLASS 3, OR A COMBINATION OF CLASS 1 AND 3 DRUGS

- (i) If all active components belong to BCS class 1: BCS-based biowaivers are applicable for IR fixed dose combination products if all the drugs in the combination belong to BCS class 1, provided there is no PK interaction[†] between the components, and the excipients fulfill the considerations outlined in section V.A.(i). If there is a PK interaction, the excipients should fulfill the considerations outlined in section V.A.(ii). Otherwise, in vivo bioequivalence testing is required.
- (ii) If all components of the combination belong to BCS class 3 or a combination of class 1 and 3: BCS-based biowaivers are applicable for IR fixed dose combination products in this situation provided the excipients fulfill the considerations outlined in section V.A.(ii). Otherwise, in vivo bioequivalence testing is required.

For fixed drug combination products where BCS classes 1 or 3 are combined with any other BCS class drugs, this biowaiver approach is not applicable.

* When the submission is for an NDA, contact the specific drug product's review division with questions. When the submission is for an ANDA, submit a Controlled Correspondence via email to GenericDrugs@fda.hhs.gov.

[†] See the guidance for industry *Drug Interaction Studies—Study Design, Data Analysis, Implications for Dosing, and Labeling Recommendations*. When final, this guidance will represent the FDA's current thinking on this topic.

D. EXCEPTIONS

BCS-based biowaivers are **not** applicable for the following:

1. *Narrow Therapeutic Index Drugs*^{*}

This guidance does not apply to narrow therapeutic index (NTI) drug products because of the critical relationship between the bioavailable dose and clinical performance. Sponsors should contact the appropriate review division[†] to determine whether a drug should be considered to have a narrow therapeutic index.

2. *Products Designed to be Absorbed in the Oral Cavity*

A request for a waiver of in vivo BA/BE studies based on the BCS is not appropriate for dosage forms intended for absorption in the oral cavity (e.g., sublingual or buccal tablets). Similarly, a biowaiver based on BCS for an orally disintegrating tablet can be considered only if the absorption from the oral cavity can be ruled out. The sponsor/applicant can discuss the information required to rule out absorption from oral cavity with the Agency.[‡]

VI. REGULATORY APPLICATIONS OF THE BCS-BASED BIOWAIVERS

A. INDs/NDAs

Evidence demonstrating in vivo BA or information to permit FDA to waive this evidence must be included in NDAs (21 CFR 320.21(a)). A specific objective of such BA information is to establish in vivo performance of the dosage form used in the clinical studies that provided primary evidence of efficacy and safety. Sponsors/applicants may wish to determine the relative BA of an IR solid oral dosage form by comparison with an oral solution, suspension, or intravenous injection (21 CFR 320.25(d)(2) and 320.25(d)(3)). The BA of the clinical trial dosage form should be optimized during the IND period.

Once the in vivo BA of a formulation is established during the IND period, waivers of subsequent in vivo BE studies, following changes in components, composition, and/or method of manufacture may be possible using the BCS-based waiver approach. BCS-based biowaivers are applicable to the to-be-marketed formulation when changes in components, composition, and/or method of manufacture occur to the clinical trial formulation, as long as the dosage forms exhibit either rapid or very rapid dissolution (as appropriate), have similar in vitro dissolution profiles (see sections II and III), and for a BCS class 3 IR drug product, it meets the criteria for allowable differences in composition

described previously (see section V). This approach is useful only when the drug substance belongs to BCS class 1 or 3, and the formulations pre- and post-change are pharmaceutical equivalents (under the definition at 21 CFR 320.1(c)). BCS-based biowaivers are intended only for subsequent in vivo BA or BE studies. They do not apply to food effect BA studies or other PK studies. BCS-based biowaivers may be applicable for pharmaceutical alternatives including other oral dosage forms (e.g., powders), if appropriately justified. The sponsor should contact the appropriate review division in such situations.

B. ANDAs

BCS-based biowaivers are appropriate for IR generic drug products that meet the criteria for BCS class 1 or 3 as discussed in section II and III. The proposed drug product (i.e., test product) should exhibit similar dissolution profiles to the reference listed drug product (see sections II and III). The choice of dissolution apparatus (USP Apparatus 1 or 2) should be the same as that established for the reference listed drug product.

C. SUPPLEMENTAL NDAs/ANDAs (POSTAPPROVAL CHANGES)

BCS-based biowaivers are appropriate for postapproval changes in components, composition and manufacturing process for an IR solid oral drug product that meets the criteria for BCS class 1 or 3 as discussed above, and both pre- and post-change products exhibit similar dissolution profiles (see sections II and III). This approach is useful only when the drug products pre- and post- change are pharmaceutical equivalents.

VII. DATA TO SUPPORT A BIOWAIVER REQUEST

As described above, the drug product for which a biowaiver is being requested should include a drug substance that is highly soluble (BCS class 1 and BCS class 3) and highly permeable (BCS class 1), and the drug product should be rapidly dissolving (BCS class 1) or very rapidly dissolving (BCS class 3). Sponsors/applicants requesting biowaivers based on the BCS should submit the following information to the Agency for review.

A. DATA SUPPORTING HIGH SOLUBILITY

Data supporting high solubility of the test drug substance should be developed (see section III.A). The following information should be included in the application:

- A description of test methods, including information on analytical method(s) and composition of the buffer solutions.

^{*} This guidance uses the term *narrow therapeutic range* instead of *narrow therapeutic index*, although the latter is more commonly used.

[†] See footnote 12.

[‡] Ibid.

- Information on chemical structure, molecular weight, nature of the drug substance (acid, base, amphoteric, or neutral), and dissociation constants (pKa(s)).
- Test results (mean, standard deviation, and coefficient of variation) summarized in a table under solution pH, drug solubility (e.g., mg/mL), and volume of media required to dissolve the highest strength.
- A graphic representation of mean pH-solubility profile.

B. DATA SUPPORTING HIGH PERMEABILITY

Data supporting high permeability of the test drug substance should be developed (Refer to section III.B. of this guidance: Determining Drug Substance Permeability Class). The following information and data should be included in the application:

- A description of test methods, including information on analytical method(s) and composition of the buffer solutions.
- A rationale for the dose or drug concentrations used in studies.
- For human PK studies, information on study design and methods used along with the PK data.
- For direct permeability methods, information supporting the suitability of a selected method that encompasses a description of the study method, criteria for selection of human subjects, animals, or epithelial cell line, drug concentrations in the donor fluid, description of the analytical method, method used to calculate extent of absorption or permeability, and where appropriate, information on efflux potential (e.g., bidirectional transport data).
- A list of selected model drugs along with data on extent of absorption in humans (mean, standard deviation, coefficient of variation) used to establish suitability of a method, permeability values for each model drug (mean, standard deviation, coefficient of variation), permeability class of each model drug, and a plot of the extent of absorption as a function of permeability (mean \pm standard deviation or 95 percent confidence interval) with identification of the low/high permeability class boundary and selected internal standard. Information to support high permeability of a test drug substance (mean, standard deviation, coefficient of variation) should include permeability data on the test drug substance, the internal standards, GI stability information, data supporting passive transport mechanism where appropriate, and methods used to establish high permeability of the test drug substance.

C. DATA SUPPORTING RAPID, VERY RAPID, AND SIMILAR DISSOLUTION

For submission of a biowaiver request, an IR product should be rapidly dissolving (BCS class 1) or very rapidly dissolving (BCS class 3). Data supporting rapid dissolution attributes of the test and reference products should be developed (see section III.C). The following information should be included in the application:

- A description of test methods, including information on analytical method(s) and composition of the buffer solutions.
- A brief description of the IR products used for dissolution testing, including information on batch or lot number, expiry date, dimensions, strength, and weight.
- Dissolution data obtained with 12 individual units of the test and reference products using recommended test methods in section III.C for each of the proposed strengths. The percentage of labeled claim dissolved at each specified testing interval should be reported for each individual dosage unit. The mean percent dissolved, range (highest and lowest) of dissolution, and coefficient of variation (relative standard deviation), should be tabulated. A graphic representation of the mean dissolution profiles for the test and reference products in the three media should also be included.
- Data supporting similarity in dissolution profiles between the test and reference products in each of the three media (see section III.C.).
- Dissolution data supporting rapid or very rapid dissolution should be demonstrated for each strength to be marketed.

D. ADDITIONAL INFORMATION

The manufacturing process used to make the test product should be described briefly to provide information on the method of manufacture (e.g., wet granulation versus direct compression).

A list of excipients used, and their intended functions should be provided for both the test and reference products. Ideally, excipients used in the test product should have been used previously in FDA-approved IR solid oral dosage forms. Please refer to section V.A. of this guidance for additional considerations pertaining to new excipients. In addition, it is important to provide a quantitative comparison of excipients between the test and reference product for BCS class 3 drug products.

ATTACHMENT A

This attachment includes model drugs suggested for use in establishing suitability of a permeability method as described in section III. Zero permeability markers and efflux substrates are also identified.

Group	Drug	
High Permeability ($f_a \geq 85$ percent)	Antipyrine	
	Caffeine	
	Ketoprofen	
	Naproxen	
	Theophylline	
	Metoprolol	
	Propranolol	
	Carbamazepine	
	Phenytoin	
	Disopyramide	
	Minoxidil	
	Moderate Permeability ($f_a = 50$ –84 percent)	Chlorpheniramine
		Creatinine
Terbutaline		
Hydrochlorothiazide		
Enalapril		
Furosemide		
Metformin		
Amiloride		
Atenolol		
Ranitidine		
Low Permeability ($f_a < 50$ percent)		Famotidine
		Nadolol
		Sulpiride
	Lisinopril	
	Acyclovir	
	Foscarnet	
	Mannitol	
	Chlorothiazide	
	Polyethylene Glycol 400	
	Enalaprilat	
	Zero Permeability	FITC-Dextran ($MW \geq 3000$)
		Polyethylene Glycol 4000
		Lucifer
Yellow		
Inulin		
Lactulose		
Efflux Substrates	Digoxin	
	Paclitaxel	
	Quinidine	
	Vinblastine	



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3 Product-Specific Guidance from FDA on the Development of Compressed Dosage Forms

To receive approval for an ANDA, an applicant generally must demonstrate, among other things, that its product has the same active ingredient, dosage form, strength, route of administration, and conditions of use as the listed drug, and that the proposed drug product is bioequivalent to the reference listed drug [21 USC 355(j)(2)(A); 21 CFR 314.94(a)]. Bioequivalent drug products show no significant difference in the rate and extent of absorption of the therapeutic ingredient [21 USC 355(j)(8); 21 CFR 320.1(e)]. Bioequivalence studies are undertaken in support of ANDA submissions with the goal of demonstrating bioequivalence between a proposed generic drug product and its reference listed drug. The regulations

governing bioequivalence are provided at 21 CFR in part 320. The U.S. FDA has recently begun to promulgate individual bioequivalence requirements. To streamline the process for making guidance available to the public on how to design product-specific bioequivalence studies, the U.S. FDA continuously issues and updates product-specific guidance that includes bioequivalence testing protocols, dissolution testing and filing of biowaivers. www.accessdata.fda.gov/scripts/cder/psg/index.cfm?event=Home.Letter&searchLetter=A#letterSearchBar

Given in Table 1.3 are the current recommendations for the products of relevance to this specific volume of the book.

TABLE 3.1
List of Compressed Tablets for which the FDA has Provided BE Guidance

TABLETS

Abacavir Sulfate; Abemaciclib; Abiraterone Acetate; Acarbose; Acetaminophen; Acetazolamide; Acyclovir; Adefovir Dipivoxil; Afatinib dimaleate; Albendazole; Albuterol Sulfate; Alendronate Sodium; Alendronate Sodium and Cholecalciferol; Aliskiren Hemifumarate; Allopurinol; Almotriptan Malate; Alogliptin Benzoate; Alosetron Hydrochloride; Alprazolam; Amantadine Hydrochloride; Ambrisentan; Amiloride; Aminocaproic acid; Amiodarone Hydrochloride; Amitriptyline Hydrochloride; Amlodipine; Amlodipine Besylate; Amoxicillin; Amphetamine Aspartate; Amphetamine Sulfate; Anastrozole; Apixaban; Apremilast; Aripiprazole; Armodafinil; Artemether/Lumefantrine; Asenapine Maleate; Aspirin; Atazanavir Sulfate; Atenolol; Atenolol and Chlorthalidone; Atorvastatin; Atorvastatin Calcium; Atovaquone; Avanafil; Axitinib; Azathioprine; Azilsartan Kamedoxomil; Azilsartan Medoxomil; Azithromycin; Baclofen; Balsalazide Disodium; Bedaquiline Fumarate; Benazepril Hydrochloride; Benznidazole; Benzphetamine Hydrochloride; Bethanechol Chloride; Bicalutamide; Bisoprolol Fumarate; Bosentan; Bosutinib Monohydrate; Brexpiprazole; Brigatinib; Brivaracetam; Bromocriptine Mesylate; Bumetanide; Bupropion Hydrochloride; Buspirone; Busulfan; Butalbital; Cabozantinib S Malate; Caffeine; Calcium Acetate; Calcium Carbonate; Canagliflozin; Candesartan Cilexetil; Capecitabine; Captopril; Carbamazepine; Carbidopa; Carglumic Acid; Carisoprodol; Carvedilol; Cefditoren Pivoxil; Cefixime; Cefepodoxime Proxetil; Cefprozil; Cefuroxime Axetil; Cetirizine Hydrochloride; Chlorambucil; Chlordiazepoxide; Chlorpheniramine Maleate; Chlorpromazine Hydrochloride; Chlorthalidone; Chlorzoxazone; Cilostazol; Cinacalcet Hydrochloride; Ciprofloxacin Hydrochloride; Citalopram Hydrobromide; Clarithromycin; Clavulanate Potassium; Clobazam; Clomiphene Citrate; Clonazepam; Clonidine Hydrochloride; Clopidogrel Bisulfate; Clorazepate Dipotassium; Clotrimazole; Clozapine; Cobicistat; Cobimetinib Fumarate; Colchicine; Colesevelam Hydrochloride; Colestipol Hydrochloride; Conjugated Estrogens; Cyclobenzaprine Hydrochloride; Cyclophosphamide; Cyproheptadine Hydrochloride; Daclatasvir Dihydrochloride; Dapagliflozin Propanediol; Dapsone; Darunavir Ethanolate; Dasatinib; Deferasirox; Deferiprone; Deflazacort; Delafloxacin Meglumine; Delavirdine Mesylate; Demeclocycline Hydrochloride; Desipramine Hydrochloride; Desloratadine; Desmopressin Acetate; Desogestrel; Deutetrabenazine; Dexamethasone; Dexmethylphenidate; Dextroamphetamine Saccharate; Dextroamphetamine Sulfate; Diazepam; Dienogest; Diflunisal; Digoxin; Dihydrocodeine Bitartrate; Diphenhydramine Citrate; Diphenhydramine Hydrochloride; Naproxen Sodium; Dipyridamole; Disulfiram; Dolasetron Mesylate; Dolutegravir Sodium; Donepezil Hydrochloride; Doxazosin Mesylate; Doxepin Hydrochloride; Doxycycline; Doxycycline Hyclate; Dronedarone Hydrochloride; Drospirenone; Edoxaban Tosylate; Efavirenz; Elbasvir; Elexriptan Hydrobromide; Eltrombopag Olamine; Eluxadolone; Elvitegravir; Empagliflozin; Empagliflozin and Metformin Hydrochloride; Emtricitabine; Enalapril Maleate; Enasidenib Mesylate; Entacapone; Entecavir; Eplerenone; Eprosartan Mesylate; Erlotinib Hydrochloride; Erythromycin; Erythromycin Ethylsuccinate; Escitalopram Oxalate; Eslicarbazepine Acetate; Estradiol; Estrogens, Conjugated Synthetic A; Estrogens, Esterified; Estradiol Valerate; Eszopiclone; Ethacrynic Acid; Ethambutol Hydrochloride; Ethynodiol Diacetate; Ethinyl Estradiol; Ethionamide; Etidronate Disodium; Etodolac; Etravirine; Everolimus; Exemestane; Ezetimibe; Ezogabine; Famciclovir; Famotidine; Febuxostat; Felbamate; Fenofibrate; Fenofibric Acid; Fentanyl Citrate; Ferric Citrate; Fexofenadine Hydrochloride; Fidaxomicin; Finasteride; Flavoxate Hydrochloride; Flecainide Acetate; Flibanserin; Fluconazole; Fludarabine Phosphate; Fludrocortisone Acetate; Fluoxetine Hydrochloride; Fluphenazine Hydrochloride; Fosamprenavir Calcium; Fosinopril Sodium; Frovatriptan Succinate; Furosemide; Gabapentin; Galantamine Hydrobromide; Gefitinib; Gemfibrozil; Gemifloxacin Mesylate; Glecaprevir; Pibrentasvir; Glimepiride;

(Continued)

TABLE 3.1 (CONTINUED)**List of Compressed Tablets for which the FDA has Provided BE Guidance**

Glipizide; Glyburide; Glycopyrrolate; Granisetron Hydrochloride; Grazoprevir; Griseofulvin, Microcrystalline; Griseofulvin, Ultramicrystalline; Haloperidol; Homatropine Methylbromide; Hydralazine Hydrochloride; Hydrocodone Bitartrate; Hydrochlorothiazide; Hydrochlorothiazide and Telmisartan; Irbesartan; Hydrocodone Bitartrate; Hydrocortisone; Hydromorphone Hydrochloride; Hydroxychloroquine Sulfate; Hydroxyzine Hydrochloride; Ibandronate Sodium; Ibuprofen; Ibuprofen Sodium; Idelalisib; Iloperidone; Imatinib Mesylate; Indapamide; Irbesartan; Isoniazid; Isosorbide Dinitrate; Itraconazole; Ivabradine Hydrochloride; Ivacaftor; Ivermectin; Ketoconazole; Ketorolac Tromethamine; Labetalol Hydrochloride; Lacosamide; Lamivudine; Lamotrigine; Lapatinib Ditosylate; Ledipasvir; Leflunomide; Lesinurad; Letrozole; Leucovorin Calcium; Levetiracetam; Levocarnitine; Levocetirizine Dihydrochloride; Levodopa; Levofloxacin; Levomefolate Calcium Draft; Levonorgestrel; Levorphanol tartrate; Levothyroxine Sodium; Linagliptin; Linezolid; Liothyronine Sodium; Lisinopril; Lithium Carbonate; Loperamide Hydrochloride; Lopinavir; Ritonavir; Loratadine; Lorazepam; Lorcaserin Hydrochloride; Losartan Potassium; Lumacaftor; Lurasidone Hydrochloride; Macitentan; Maraviroc; Mecamylamine Hydrochloride KB; Meclizine Hydrochloride; Mefloquine Hydrochloride; Meloxicam; Melphalan; Memantine Hydrochloride; Meprobamate; Mercaptopurine; Mesna; Mestranol; Metaxalone; Metformin Hydrochloride; Methadone Hydrochloride; Methazolamide; Methenamine Hippurate; Methimazole; Methocarbamol; Methotrexate Sodium; Methscopolamine Bromide; Methylergonovine Maleate; Methylnaltrexone Bromide; Methylphenidate Hydrochloride; Methylprednisolone; Metoclopramide Hydrochloride; Metolazone; Metoprolol Tartrate; Metronidazole; Miconazole; Midodrine Hydrochloride; Mifepristone; Miglitol; Milnacipran Hydrochloride; Minocycline Hydrochloride; Minoxidil; Mirtazapine; Misoprostol; Mitotane; Modafinil; Moexipril Hydrochloride; Molindone Hydrochloride; Montelukast Sodium; Morphine Sulfate; Moxifloxacin Hydrochloride; Mycophenolate Mofetil Hydrochloride; Nabumetone; Nadolol; Naldemedine Tosylate; Naloxegol Oxalate; Naltrexone Hydrochloride; Naproxen; Naproxen Sodium; Naratriptan Hydrochloride; Nateglidine; Nebivolol; Nebivolol Hydrochloride; Nelfinavir Mesylate; Neratinib Maleate; Nevirapine; Nilutamide; Nitazoxanide; Nitisinone; Nitroglycerin; Norethindrone; Norethindrone Acetate; Norgestimate; Norgestrel; Obeticholic Acid; Olanzapine; Olaparib; Olmesartan Medoxomil; Ombitasvir; Ondansetron Hydrochloride; Osimertinib Mesylate; Ospemifene; Oxaprozin; Oxcarbazepine; Oxybutynin Chloride; Oxycodone; Oxycodone Hydrochloride; Oxycodone Hydrochloride and Aspirin; Oxycodone Terephthalate; Oxymetholone; Oxymorphone Hydrochloride; Paritaprevir; Paroxetine Hydrochloride; Pazopanib Hydrochloride; Penbutolol Sulfate; Penicillin V Potassium; Perampanel; Perindopril Arginine; Perindopril Erbumine; Perphenazine; Phendimetrazine Tartrate; Phenelzine Sulfate; Phentermine Hydrochloride; Phenylephrine Hydrochloride; Phytonadione; Pilocarpine Hydrochloride; Pimavanserin Tartrate; Pimozide; Pindolol; Pioglitazone Hydrochloride; Pirfenidone; Pitavastatin; Pitavastatin Magnesium; Pitavastatin Sodium; Ponatinib Hydrochloride; Pramipexole Dihydrochloride; Prasugrel Hydrochloride; Pravastatin Sodium; Praziquantel; Prednisolone; Prednisone; Primaquine Phosphate; Proguanil; Promethazine Hydrochloride; Propafenone Hydrochloride; Propoxyphene Napsylate; Propranolol Hydrochloride; Propylthiouracil; Protriptyline Hydrochloride; Pseudoephedrine Hydrochloride; Pyrazinamide; Pyridostigmine Bromide; Pyrimethamine; Quetiapine Fumarate; Quinapril Hydrochloride; Raloxifene Hydrochloride; Raltegravir Potassium; Rameleteon; Ramipril; Raniditine Hydrochloride; Rasagiline Mesylate; Regorafenib; Repaglinide; Ribavirin; Rifapentine; Rifaximin; Rilpivirine Hydrochloride; Riluzole; Riociguat; Risedronate Sodium; Risperidone; Ritonavir; Rivaroxaban; Rizatriptan Benzoate; Roflumilast; Rolapitant; Rosiglitazone Maleate; Rosuvastatin Calcium; Rucaparib Camsylate; Rufinamide; Ruxolitinib Phosphate; Sacubitril; Safinamide Mesylate; Sapropterin Dihydrochloride; Saquinavir Mesylate; Saxagliptin Hydrochloride; Selexipag; Sertraline Hydrochloride; Sevelamer Carbonate; Sevelamer Hydrochloride; Sildenafil Citrate; Simethicone; Simvastatin; Sirolimus; Sitagliptin Phosphate; Sodium Phenylbutyrate; Sodium Phosphate Dibasic Anhydrous; Sodium Phosphate Monobasic Monohydrate; Sofosbuvir; Solifenacin Succinate; Sorafenib Tosylate; Sotalol Hydrochloride; Spironolactone; Sulfadiazine; Sulfamethoxazole; Sulfasalazine; Sumatriptan Succinate; Suvorexant; Tadalafil; Tamoxifen Citrate; Tapentadol Hydrochloride; Tedizolid phosphate; Telaprevir; Telbivudine; Telithromycin; Telmisartan; Telotristat etiprate; Tenofovir Alafenamide Fumarate; Tenofovir Disoproxil Fumarate; Terbinafine Hydrochloride; Terbutaline sulfate; Teriflunomide; Tetrabenazine; Thioguanine; Tiagabine Hydrochloride; Ticagrelor; Ticlopidine Hydrochloride; Timolol Maleate; Tinidazole; Tiopronin; Tizanidine Hydrochloride; Tofacitinib citrate; Tolcapone; Tolterodine Tartrate; Tolvaptan; Topiramate; Toremfifene Citrate; Torsemide; Tramadol Hydrochloride; Trametinib Dimethyl Sulfoxide; Trandolapril; Tranexamic Acid; Tranlycypromine Sulfate; Trazodone Hydrochloride; Triamterene; Triazolam; Trifluridine; Tipiracil; Trimethoprim; Trospium Chloride; Ulipristal Acetate; Ursodiol; Valacyclovir Hydrochloride; Valganciclovir Hydrochloride; Valsartan; Vandetanib; Vardenafil Hydrochloride; Varenicline Tartrate; Velpatasvir; Vemurafenib; Venetoclax; Venlafaxine Hydrochloride; Verapamil Hydrochloride; Vigabatrin; Vilazodone Hydrochloride; Vorapaxar Sulfate; Voriconazole; Vortioxetine Hydrobromide; Voxilaprevir; Warfarin Sodium; Zafirlukast; Zalcitabine; Zidovudine; Zileuton; Zolmitriptan; Zolpidem Tartrate

TABLET, BUCCAL

Acyclovir; Fentanyl Citrate

TABLET, CHEWABLE

Albendazole; Amoxicillin; Clavulanate Potassium; Calcium Carbonate; Cefixime; Cetirizine Hydrochloride; Ethinyl Estradiol; Famotidine; Lamotrigine; Lanthanum Carbonate; Lisdexamfetamine Dimesylate PDF; Loperamide Hydrochloride; Loratadine; Magnesium Hydroxide; Mebendazole; Meclizine Hydrochloride; Methylphenidate Hydrochloride; Montelukast Sodium; Norethindrone; Norethindrone Acetate; Omeprazole; Phenytoin; Raltegravir Potassium; Sodium Bicarbonate; Sucroferric Oxyhydroxide

TABLET, COPACKAGED

I Ombitasvir; Paritaprevir; Ritonavir, and II. Dasabuvir Sodium; Acamprosate Calcium

TABLET, DELAYED RELEASE

Aspirin; Bisacodyl; Dexametazone B; Diclofenac Sodium; Divalproex Sodium; Doxycycline Hyclate; Doxylamine Succinate; Erythromycin; Esomeprazole Magnesium; Lansoprazole; Naproxen; Mesalamine; Mesalamine; Mesalamine; Misoprostol; Mycophenolic Acid; Naproxen; Omeprazole; Omeprazole KB; Omeprazole Magnesium; Pantoprazole Sodium; Polyethylene Glycol; Posaconazole; Potassium Chloride; Prednisone; Pyridoxine Hydrochloride; Rabeprazole Sodium; Risedronate Sodium; Sulfasalazine; Sodium Bicarbonate; Sodium Chloride

(Continued)

TABLE 3.1 (CONTINUED)**List of Compressed Tablets for which the FDA has Provided BE Guidance****TABLET, DISINTEGRATING**

Clonazepam; Lamotrigine; Phentermine Hydrochloride

TABLET, EFFERVESCENT

Acetylcysteine; Alendronate Sodium; Ranitidine Hydrochloride

TABLET, EXTENDED RELEASE

Acetaminophen; Alfuzosin Hydrochloride; Alprazolam; Amoxicillin; Amphetamine; Budesonide; Bupropion Hydrobromide; Bupropion Hydrochloride; Canagliflozin; Carbamazepine; Carbidopa; Cetirizine Hydrochloride; Ciprofloxacin; Ciprofloxacin Hydrochloride; Clarithromycin; Clonidine; Clonidine Hydrochloride; Dalfampridine; Dapagliflozin Propanediol; Darifenacin Hydrobromide; Dasabuvir Sodium; Desvenlafaxine; Desvenlafaxine fumarate; Desvenlafaxine Succinate; Dexbrompheniramine Maleate; Dextromethorphan Hydrobromide; Diclofenac Sodium; Diethylpropion Hydrochloride; Diltiazem Hydrochloride; Divalproex Sodium; Doxazosin Mesylate; Empagliflozin; Etodolac; Felodipine; Fesoterodine Fumarate; Fexofenadine Hydrochloride; Fluvastatin Sodium; Gabapentin Enacarbil; Guaifenesin; Guanfacine Hydrochloride; Glipizide; Hydrochlorothiazide/Metoprolol Succinate; Hydrocodone Bitartrate; Hydromorphone Hydrochloride; Isosorbide Mononitrate; Isradipine; Lamotrigine; Levetiracetam; Levodopa; Linagliptin; Lithium Carbonate; Loratadine; Lorcaserin Hydrochloride; Lovastatin; Metformin Hydrochloride; Saxagliptin; Metformin Hydrochloride; Sitagliptin Phosphate; Metformin Hydrochloride; Methylphenidate; Methylphenidate Hydrochloride; Methylphenidate Hydrochloride; Metoprolol Succinate; Minocycline Hydrochloride; Mirabegron; Morphine Sulfate; Morphine sulfate; Naltrexone Hydrochloride; Naproxen Sodium; Nevirapine; Niacin; Nifedipine; Nisoldipine; Ombitasvir; Orphenadrine Citrate; Oxcarbazepine; Oxybutynin Chloride; Oxycodone Hydrochloride B); Oxymorphone Hydrochloride; Paliperidone; Paritaprevir; Paroxetine; Pioglitazone Hydrochloride; Potassium Chloride; Potassium Citrate; Pramipexole Dihydrochloride; Pregabalin; Pseudoephedrine Hydrochloride; Pseudoephedrine Hydrochloride KB; Pseudoephedrine Sulfate; Pyridostigmine Bromide; Quetiapine Fumarate; Ranolazine; Ritonavir; Ropinirole Hydrochloride; Simvastatin; Tacrolimus; Tapentadol Hydrochloride; Testosterone; Theophylline; Tofacitinib Citrate; Tramadol Hydrochloride; Trandolapril; Trazodone Hydrochloride; Treprostinil diolamine; Venlafaxine Hydrochloride; Verapamil Hydrochloride; Zileuton; Zolpidem

TABLET FOR SUSPENSION

Deferasirox; Didanosine; Everolimus; Ropinirole Hydrochloride

TABLET, INJECTABLE

Leuprolide Acetate; Norethindrone Acetate

TABLET, ORALLY DISINTEGRATING

Alprazolam; Aripiprazole; Baclofen; Carbidopa; Cetirizine Hydrochloride; Clozapine; Desloratadine; Donepezil Hydrochloride; Famotidine; Fexofenadine Hydrochloride; Loratadine; Levodopa; Metoclopramide Hydrochloride; Mirtazapine; Olanzapine; Ondansetron; Omeprazole; Prednisolone Sodium Phosphate; Risperidone; Rizatriptan Benzoate; Selegiline Hydrochloride; Simvastatin; Vardenafil Hydrochloride; Zolmitriptan

TABLET, SUBLINGUAL

Buprenorphine Hydrochloride; Clotrimazole; Fentanyl Citrate; Naloxone Hydrochloride; Nicotine Polacrilex TROCHE; Zolpidem; Zolpidem Tartrate



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4 Guidance on Formulating Compressed Solids

BACKGROUND

Drug substances are most frequently administered as solid dosage formulations, mainly by the oral route. The drug substance's physicochemical characteristics, as well the excipients added to the formulations, all contribute to ensuring the desired therapeutic activity. Tablets and capsules are the most frequently used solid dosage forms, have been in existence since the nineteenth century, and are unit dosage forms, comprising a mixture of ingredients presented in a single rigid entity, usually containing an accurate dose of a drug. There are other types of solid dosage forms designed to fulfill specific delivery requirements, but they are generally intended for oral administration and for systemic delivery. The major solid oral dosage form is the tablet, and these can range from relatively simple, single, immediate-release dosage forms to complex modified-release systems. Tablets offer advantages for both patients and manufacturers (Table 4.1). Most tablets are intended to be swallowed whole and to rapidly disintegrate and release the drug in the gastrointestinal tract. Tablets are classified by their route of administration or their function, form, or manufacturing process. For example, some tablets are designed to be placed in the oral cavity and to dissolve there or to be chewed before swallowing, and there are many kinds of formulation designed for sustained or controlled release (Table 4.2).

TABLET TYPES

Tablets may be uncoated or coated. Uncoated tablets can be chewable tablets, effervescent tablets, lozenge tablets, soluble tablets, and sublingual tablets. Coated tablets are enteric-coated tablets, film-coated tablets, implants, sugar-coated tablets, and modified-release tablet.

Chewable Tablet:

A tablet which is intended to be broken and chewed in between the teeth before ingestion. Antacid and vitamin tablets are usually prepared as chewable tablets. Compressed lozenges (or troches) differ from conventional tablets in that they are nonporous and do not contain disintegrant. As the formulation is designed to release drug slowly in the mouth, it must have a pleasant taste, smoothness, and mouth feel. The choice of binder, filler, color, and flavor is therefore most important. The binder is particularly important in ensuring retardation of dissolution and pleasant mouth feel. Suitable binders include gelatin, guar gum, and acacia gum. Sugars such as sucrose, dextrose, and mannitol are preferred to lactose, and xylitol are often included in sugar-free formulations. In order to ensure adequate sweetness and taste masking, artificial

sweeteners including aspartame, saccharin, and sucralose are also included subject to regulatory guidelines. They are given to children who have difficulty in swallowing and to adults who dislike swallowing.

Effervescent Tablet:

Effervescence is the reaction in water of acids and bases to produce carbon dioxide, and effervescent tablets are dissolved or dispersed in water before administration. An effervescent tablet is a tablet that contains acid substances and carbonate or hydrogen carbonate, which react rapidly in the presence of water to release carbon dioxide. Sodium bicarbonate, citric acid, and tartaric acid are added to the active ingredients to make the tablet effervescent. This preparation makes the tablet palatable. The advantages of effervescent formulations over conventional formulations are that the drug is usually already in solution prior to ingestion and can therefore have a faster onset of action. Although the solution may become diluted in the gastrointestinal tract, any precipitation should be as fine particles that can be readily redissolved. Variability in absorption can also be reduced. Formulations can be made more palatable, and there can be improved tolerance after ingestion. Thus, the types of drugs suited to this formulation method are those that are difficult to digest or are irritant to the mucosa. Analgesics such as paracetamol and aspirin and vitamins are common effervescent formulations. The inclusion of buffering agents can aid stability of pH-sensitive drugs. There is also the opportunity to extend market share and to deliver large doses of medication. Essentially, effervescent formulations are produced in the same way as conventional tablets, although due to the hygroscopicity and potential onset of the effervescence reaction in the presence of water, environmental control of relative humidity and water levels is of major importance during manufacture. A maximum of 25% relative humidity (RH) at 25°C is required. Closed material-handling systems can be used, or open systems with minimum moisture content in the ventilating air.

Lozenge Tablet:

Lozenges are tablets that dissolve or disintegrate slowly in the mouth to release drug into the saliva and are intended to produce a continuous effect on the mucous membrane of the throat. There is no disintegrating agent. The quality of the binding agent is increased so as to produce slow dissolution. They are easy to administer to pediatric and geriatric patients and are useful for extending drug form retention within the oral cavity. Suitable sweetening (sugar), coloring, and flavoring agents must be included in this formulation. Gum is used to give strength and cohesiveness to the lozenge and facilitate slow release of the active ingredient. Drug delivery can

TABLE 4.1
Advantages of Tablets as a Dosage Form

Easy to handle
Variety of manufacturing methods; can be mass produced at low cost
Consistent quality and dosing precision; can be self-administered
Enhanced mechanical, chemical, and microbiological stability compared with liquid dosage forms
Tamperproof
Lend themselves to adaptation for other profiles, e.g., coating for sustained release

TABLE 4.2
Types of Tablet Formulations

Formulation type	Description
Immediate-release tablet	Intended to release the drug immediately after administration
Delayed-release tablet	Drug is not released until a physical event has occurred, e.g., change in pH
Sustained-release tablet	Drug is released slowly over extended time
Soluble tablets	Tablet is dissolved in water prior to administration
Dispersible tablet	Tablet is added to water to form a suspension prior to administration
Effervescent tablet	Tablet is added to water, releasing carbon dioxide to form an effervescent solution
Chewable tablet	Tablet is chewed and swallowed
Chewable gum	Formulation is chewed and removed from the mouth after a directed time
Buccal and sublingual tablets	Tablet is placed in the oral cavity for local or systemic action
Orally disintegrating tablet	Tablet dissolves or disintegrates in the mouth without the need for water
Lozenge	Slowly dissolving tablet designed to be sucked
Pastille	Tablet comprising gelatin and glycerin designed to dissolve slowly in the mouth

be either for local administration in the mouth, such as anaesthetics, antiseptics, and antimicrobials, or for systemic effects if the drug is well absorbed through the buccal lining or is swallowed. More traditional drugs used in this dosage form include phenol, sodium phenolate, benzocaine, and cetylpyridinium chloride. Decongestants and antitussives are in many over-the-counter (OTC) lozenge formulations, and there are also lozenges that contain nicotine (as bitartrate salt or as nicotine polac riles resin), flurbiprofen (Strefen), or mucin for treatment of dry mouth (A.S Saliva Orthana). Lozenges can be made by molding or by compression at high pressures, often following wet granulation, resulting in a mechanically strong tablet that can dissolve in the mouth.

Soluble Tablet:

A tablet that dissolves completely in liquid to produce a solution of definite concentration. Mouth washes, gargles, skin

lotions, douches, antibiotics, certain vitamins, and aspirin are given in this formulation.

Sublingual Tablet:

A drug which is destroyed or inactivated within the gastrointestinal tract but can be absorbed through the mucosal tissue of the oral cavity is usually given in this formulation. The tablet is required to be placed below the tongue for the slow release of drug. But for immediate effect, some medicaments are formulated in such a way as to dissolve within 1 to 2 minutes. Nitroglycerin is prepared in such a formulation.

Implant:

A small tablet that is prepared for insertion under the skin by making a small surgical cut into the skin, which is stitched after the insertion of the tablet. This tablet must be sterile. The drug used in this preparation is usually water insoluble, and the tablet provides a slow and continuous release of drug over a prolonged period of time, ranging from 3 to 6 months or even more. Contraceptive tablets are formulated as implants.

Modified-Release Tablet:

Modified-release tablets are either uncoated or coated. They contain special additives or are prepared by a special procedure, which, separately or together, is intended to modify the rate of release of the drug into the gastrointestinal tract. It prolongs the effect of the drug and also reduces the frequency of administration of the drug. Several drugs are available in modified-release tablets, such as indomethacin.

Mini-Tablet:

Mini-tablets are a popular finished dosage form presentation since they offer the benefits of multi-particulates while using established tableting technology, typically rotary presses with minor modifications. Mini-tablets consist of round, cylindrical tablets—typically 2 to 3 mm in diameter—that are produced by direct compression. They provide a smooth substrate for modified-release coating using either conventional perforated coating pans or fluid-bed apparatus. Mini-tablets offer finished dosage form flexibility in that they can be delivered as capsules or sachets or compressed into larger tablets. Mini-tablets are finding increasing use as pediatric and geriatric dosage forms, since they are easier to swallow than conventional tablets and capsules. In addition, they can be used to meet a full range of dissolution profiles, including delayed-release, controlled-release, and combination-release profiles. Mini-tablets are simple to manufacture using conventional processing equipment.

3D Printed Tablets (<https://www.fda.gov/drugs/newsevents/ucm588136.htm>):

Most drug products are typically manufactured in large quantities using conventional methods that involve large-scale processes and equipment and a long production time. Emerging advanced manufacturing technology may transform the way some pharmaceuticals are made. One such advanced technology is three-dimensional (3D) printing. 3D printing can offer a tantalizing step toward changing the manufacturing processes to offer personalized medicines. 3D printing is a form

of additive manufacturing in which a 3D object is built by depositing building materials in successive layers according to a pre-designed 3D geometric structure. 3D printing of pharmaceuticals is a unique approach that allows the manufacture of solid drug products in various shapes, geometric designs, strengths, and spatial distributions of the active and inactive ingredients. 3D structures ranging from a simple one-compartmental design to complex multi-compartmental designs can be produced. The release profile of the active ingredients from these 3D complex drug products can be tailored to meet the needs of specific patients.

Orally Disintegrating Tablets (ODT) (<https://www.fda.gov/downloads/Drugs/.../Guidances/ucm070578.pdf>):

An ODT has previously been distinguished as a separate dosage form because of the specific, intended performance characteristics of such a product, which are rapid oral disintegration in saliva with no need for chewing or drinking liquids to ingest these products. These characteristics, which are an aid to patient use and compliance, are the primary characteristics that constitute the basis for classifying a product as an ODT. Products labeled as ODTs should match the primary characteristics for this dosage form (identified above). Based on the original product rationale and Agency experience, the FDA recommends that, in addition to the original definition, ODTs be considered solid oral preparations that disintegrate rapidly in the oral cavity, with an *in vitro* disintegration time of approximately 30 seconds or less, when based on the United States Pharmacopeia disintegration test method or alternative.

Chewable Lozenges:

Chewable lozenges are popular with the pediatric population, since they are “gummy type” lozenges. Most formulations are based on a modified suppository formula consisting of glycerin, gelatin, and water. These lozenges are often highly fruit flavored and may have a slightly acidic taste to cover the acrid taste associated with glycerin. Soft lozenges typically comprise ingredients such as polyethylene glycol (PEG) 1000 or 1450, or a sugar-acacia base. Silica gel can be added to prevent sedimentation, and again, this dosage form requires flavors and sweeteners to aid compliance. Soft lozenges tend to dissolve faster than gelatin bases and can be used if taste masking is not effective.

Coated Tablets:

Tablets are often coated to protect the drug from the external environment, to mask bitter tastes, to add mechanical strength, or to enhance ease of swallowing. A coating can also be used for aesthetic or commercial purposes, improving product appearance and identity.

Enteric-Coated Tablets:

Some drugs are destroyed by gastric juice or cause irritation to the stomach. These two factors can be overcome by coating the tablet with cellulose acetate phthalate. This polymer is insoluble in gastric contents but readily dissolves in intestinal contents. So, there is a delay in the disintegration of the dosage form until it reaches the small intestine. Like coated tablets, enteric-coated tablets should be administered in the whole

form. The broken or crushed form of the enteric coated tablet causes destruction of the drug by gastric juice or irritation to the stomach. Enteric-coated tablets are comparatively expensive.

Sugar-Coated Tablets:

Sugar coating can be beneficial in masking tastes, odors, and colors. It is useful in protecting against oxidation, and sugar coating was once very common due to its aesthetic results and cheapness of materials. Its use has declined in recent years due to the complexity of the process and the skills required, but advances in technology have led to a resurgence in popularity. Typical excipients used are sucrose (although this can be substituted with low-calorie alternatives), fillers, flavors, film formers, colorants, and surfactants. It is usually carried out in tumbling coating pans and comprises several stages. The first sealing stage uses shellac or cellulose acetate phthalate, for example, to prevent moisture from reaching the tablet core. This has to be kept to a minimum to prevent impairment of drug release. The subcoating is an adhesive coat of gum (such as acacia or gelatin) and sucrose used to round off the edges, and the tablets can be dusted with substances such as kaolin or calcium carbonate to harden the coating. A smoothing coat is built up in layers using 70% v/v sucrose syrup and often opacifiers such as titanium dioxide, and the tablets are dried between applications. A colorant is added to the final few layers and followed with a final polishing step, which can make further embossing difficult. The coating is relatively brittle, prone to chipping or cracking, and there is a substantial increase in weight, up to 50%, and size of the product.

Compression Coating and Layered Tablets:

A coating can be applied by compression using specially designed tablet presses. The same process can be used to produce layered tablets, which can comprise two or even three layers if complete separation of the ingredients is required. This process is used when physical separation of ingredients is desired due to incompatibility or to produce a repeat-action product. The formulation can also be designed to provide an immediate and a slow-release component. Release rates can be controlled by modification of the geometry, the composition of the core, and the inclusion of a membrane layer. The technique involves using a preliminary compression step to produce a relatively soft tablet core, which is then placed in a large die containing coating material. Further coating material is added and the content compressed. A similar light compression is used for the production of layers, and a final main compression step is used to bind the layers together.

Film-Coated Tablets:

Film coating, although most often applied to tablets, can also be used to coat other formulations, including capsules. Film coating imparts the same general characteristics as sugar coating, but weight gain is significantly less (typically up to 5%), it is easier to automate, and it has the capacity to include organic solvents if required. The main methods involved are modified conventional coating pans, side-vented pans, and fluid-bed coating. Celluloses are often used as film-forming polymers and usually require the addition of a compatible

plasticizer, as glass transition temperatures are higher than the temperatures used in the process. Polyethylene glycol, propylene glycol, and glycerol are commonly used, and colorants and opacifiers can also be added to the coating solution. Specialist coatings such as Opadry fx and Opaglos 2 can be used to give a high-gloss finish to improve brand identity and consumer recognition.

Tablet Wrapping or Enrobing:

Recent innovations in tablet coating include the use of gelatin and nonanimal-derived coatings for tablets that require the formulation of a preformed film that is then used to encapsulate the product (e.g., Banner's Soflet Gelcaps or Bioprogess' Nrobe technology). The coated formulations are tamper evident and can be designed with different colors for branding purposes. They are reported to be preferred by patients due to their ease of swallowing and superior taste and odor-masking properties. An alternative is the Press-fit Geltabs system, which uses a high-gloss gelatin capsule shell to encapsulate a denser caplet formulation.

FORMULATION FACTORS

Solid dose formulations, including tablets, must have the desired release properties coupled with manufacturability and aesthetics and must involve rational formulation design. The dose of the drug and its solubility are important considerations. The manufacturing of compressed solids is a complex process, requiring several steps to render powders compressible, yet easily dispersed, and with the active ingredient dissolved when placed at the site of administration. As a result, formulations that deliver the drugs to the site of action, while maintaining an appropriate stability profile, are valuable. However, a formulation, as described in this volume, requires an understanding of the manufacturing environment conducive to manufacturing a compliant dosage form. The sections in this chapter highlight some of these considerations that would benefit formulators. The topics of interest are presented in alphabetical order for quick reference.

I. ACTIVE PHARMACEUTICAL INGREDIENT

The active pharmaceutical ingredient (API) ultimately controls the quality of a product. The generic manufacturer faces a serious problem when procuring supplies of APIs coming off patent. Whereas Title 35 USC, Section 112, Paragraph 1 for patentability of an invention requires that the inventor fully disclose the invention, the fact is that "full disclosure" does not necessarily mean disclosing steps that do not appear material in the production of the raw material. For example, it is routine practice (though questionable) for inventors of new chemical entities (NCEs) not to describe every step needed to remove impurities, to obtain the correct crystal structure (of a polymorph), or to obtain the correct particle size in the manufacturing process. As a result, generic manufacturers face serious situations when trying to reproduce and replicate a branded product. The issue of impurities is serious, and the regulatory authorities are getting tougher. In most instances,

an unidentified peak can result in the rejection of an application. If the manufacturer of an API is unable to control the impurity profile, serious problems can arise in the manufacturing of the products.

II. BIO VERSUS PRODUCTION BATCHES

It is important that the manufacturer compare the drug substance used to manufacture the stability batch, bioequivalence batch, or clinical batch and the drug substance used for commercial batches. Therefore, the specifications, analytical methods, and test results for the lots of the drug substance used to manufacture these batches should be written precisely. Because the safety of the drug may be based upon the types and levels of impurities, and different physical characteristics may affect dissolution or content uniformity, these data must be developed.

III. CLEANING VALIDATION

Solid drug powders can reach into deep cavities of the equipment, making the equipment difficult to clean. It is of utmost good manufacturing practice (GMP) importance that all equipment be entirely disassembled and thoroughly cleaned prior to switching to the manufacture of another drug. Appropriate standards of practice (SOP) validating cleanliness of equipment are required to assure compliance with the GMP. Problems arise in the use of highly potent, water-insoluble drugs, which are difficult to remove.

IV. COATINGS

Tablets may be coated for a variety of reasons, including protection of the ingredients from air, moisture, or light; masking of unpleasant tastes and odors; improvement of appearance; and control of the site of drug release in the gastrointestinal tract. Classically, tablets were coated with sugar applied from aqueous suspensions containing insoluble powders, such as starch, calcium carbonate, talc, or titanium dioxide, suspended by means of acacia or gelatin. For purposes of identification and aesthetic value, the outside coatings may be colored. The finished coated tablets are polished by applying dilute solutions of wax in solvents, such as chloroform or powdered mix. Water-protective coatings consisting of substances such as shellac or cellulose acetate phthalate are often applied out of nonaqueous solvents before the application of sugar coats. Excessive quantities should be avoided. The drawbacks of sugar coatings include the lengthy time necessary for application, the need for waterproofing, which adversely affects dissolution, and the increased bulk of the finished tablet.

These factors resulted in increased acceptance of film coatings. Film coatings consist of water-soluble or dispersible materials, such as hydroxypropyl methylcellulose, methylcellulose, hydroxypropyl cellulose, carboxymethylcellulose sodium, and mixtures of cellulose acetate phthalate and PEGs applied out of nonaqueous or aqueous solvents. The evaporation of the solvents leaves a thin film that adheres directly to the tablet and allows it to retain the original shape,

including grooves or identification codes. Where the drug may be destroyed or inactivated by the gastric juice or where it may irritate the gastric mucosa, the use of “enteric” coatings is indicated. Such coatings are intended to delay the release of the medication until the tablet passes through the stomach.

V. COMPLIANCE WITH REGULATORY REQUIREMENTS

Compliance with the current good manufacturing practices (cGMP) in the manufacturing of solid dosage forms comprises three phases of the validation process: product development, design of the validation protocol, and demonstration runs (validation) of the equipment and process in the manufacture of full-scale commercial production batches. In all preapproval and postapproval inspections, the primary purpose is to assure compliance with validated processes.

The U.S. FDA issued specific guidelines that define process validation as establishing documented evidence that provides a high degree of assurance that a specific process will consistently produce a product while meeting its predetermined specifications and quality attributes. The three components of this definition include documented evidence, consistency, and predetermined specifications. Documented evidence includes the experiments, data, and analytical results that support the master formula, the in-process and finished product specifications, and the filed manufacturing process. With regard to consistency, several batches would have to be manufactured, using the full-scale batch size, to demonstrate that a process meets the consistency test. At least three batches are needed to demonstrate consistency.

VI. COMPRESSION PROCESS CONTROL

Compressed solids are subject to dissolution problems. As a result, compression parameters, such as hardness of tablets, are important. Generally, harder tablets are often difficult to eject and take longer to disintegrate. However, control of friability may require harder tablets. Newer compression equipment has built-in online monitoring of compressed culls. Where such systems are not available, continuous monitoring of compression is required to assure that the batch does not have highly diversified properties, including friability and hardness.

VII. CONTENT UNIFORMITY

Control of the physical characteristics of the excipient is important, because variations in such characteristics may also affect the performance of the dosage form. Changes in particle size of some excipient, for example, may affect content uniformity. Therefore, there is a need to test physical characteristics (particle size) for each batch of excipient. For many single-source excipients, particle size is a supplier specification and is usually tightly controlled. Having established a specification and not testing each lot of excipient upon receipt may be satisfactory in such cases. However, for some multi-source excipients and where the dosage formulator expects to

shift sources of supply, there may be differences in physical characteristics (particle size) that may affect dose uniformity and dissolution.

VIII. CROSS-CONTAMINATION

Environmental controls for cross-contamination and protection of operators must be considered when creating an appropriate environment. Of prime importance are pressure differentials, relative humidity (often, total grains of moisture are measured), temperature, and air changes. The regulatory requirements for segregation of penicillin and cephalosporin are well established. Similar situations arise when hormones and oncolytics are manufactured. Highly active drugs pose another set of problems, wherein a low level of contamination can seriously affect the health of the operators and also create a cross-contamination situation. Remember, highly potent drugs can contaminate other products easily, because there is always a threshold for preventing contamination. Generally, it is a good idea to manufacture potent drugs in separate areas.

IX. DESEGREGATION OF POWDERS

Differences in particle sizes, particle shapes, hydrophilicities of powder surfaces, strengths of crystal lattice, polymorphic structures, environmental humidities, powder surface electrostatic charges, and the force and the nature of force applied all make a difference to how powders mix and demix. Segregation is a typical characteristic, known for example by the separating of chaff from hay by shaking. The same process applies to mixing pharmaceutical ingredients in a mixer. The aim of mixing is to desegregate different powders, and it may require the use of some surfactants or other excipients to enhance the mixing or desegregation process. Overmixing, which increases electrostatic charges, can lead to segregation, particularly after lubricants are added. Lubricants, by nature, are often hydrophobic (such as magnesium stearate) and readily develop electrostatic charge. The validation process develops a rationale for mixing times at all stages, from the initial mixing to mixing with binding solutions to blending with lubricants. To reduce charges, lubricants are not sifted through finer meshes. Segregation may also occur in a tablet machine hopper, causing serious problems of content uniformity.

X. DISINTEGRATION TEST

A disintegration test is provided to determine compliance with the limits on disintegration stated in the individual monographs, except where the label states that the tablets or capsules are intended for use as troches, or are to be chewed, or are designed as modified-release dosage forms. Determine the type of units under testing from the labeling and from observation, and apply the appropriate procedure to six or more dosage units. Disintegration does not imply complete solution of the unit or even of its active constituent. Complete disintegration is defined as that state in which any residue of the unit, except fragments of insoluble coating or capsule

shell, remaining on the screen of the test apparatus is a soft mass having no palpably firm core.

The apparatus consists of a basket-rack assembly; a 1000 mL, low-form beaker, 138 to 155 mm in height, with an inside diameter of 97 to 110 mm for the immersion fluid; a thermostatic arrangement for heating the fluid between 35°C and 39°C; and a device for raising and lowering the basket in the immersion fluid at a constant frequency rate between 29 and 32 cycles per minute through a distance of not less than 5.3 cm and not more than 5.7 cm. The volume of the fluid in the vessel is such that at the highest point of the upward stroke, the wire mesh remains at least 2.5 cm below the surface of the fluid and descends to not less than 2.5 cm from the bottom of the vessel on the downward stroke. The time required for the upward stroke is equal to the time required for the downward stroke, and the change in stroke direction is a smooth transition rather than an abrupt reversal of motion. The basket-rack assembly moves vertically along its axis. There is no appreciable horizontal motion or movement of the axis from the vertical.

A. UNCOATED TABLETS

Place one tablet in each of the six tubes of the basket, and operate the apparatus, using water maintained at $37 \pm 2^\circ\text{C}$ as the immersion fluid, unless otherwise specified in the individual monograph. At the end of the time limit specified in the monograph, lift the basket from the fluid, and observe the tablets: all the tablets should have disintegrated completely. If 1 or 2 tablets fail to disintegrate completely, repeat the test on 12 additional tablets: no fewer than 16 of the total of 18 tablets tested should disintegrate completely.

B. PLAIN COATED TABLETS

Apply the test for uncoated tablets, operating the apparatus for the time specified in the individual monograph.

C. DELAYED-RELEASE (ENTERIC-COATED) TABLETS

Place one tablet in each of the six tubes of the basket, and if the tablet has a soluble external coating, immerse the basket in water at room temperature for 5 minutes. Then operate the apparatus using simulated gastric fluid test solution (TS) maintained at $37 \pm 2^\circ\text{C}$ as the immersion fluid. After 1 hour of operation in simulated gastric fluid TS, lift the basket from the fluid, and observe the tablets: the tablets should show no evidence of disintegration, cracking, or softening. Operate the apparatus, using simulated intestinal fluid TS maintained at $37 \pm 2^\circ\text{C}$ as the immersion fluid, for the time specified in the monograph. Lift the basket from the fluid, and observe the tablets: all the tablets should have disintegrated completely. If 1 or 2 tablets fail to disintegrate completely, repeat the test on 12 additional tablets: no fewer than 16 of the total of 18 tablets tested should disintegrate completely.

D. BUCCAL TABLETS

Apply the test for uncoated tablets. After 4 hours, lift the basket from the fluid and observe the tablets: all the tablets should

have disintegrated. If 1 or 2 tablets fail to disintegrate completely, repeat the test on 12 additional tablets: no fewer than 16 of the total of 18 tablets tested should disintegrate completely.

E. SUBLINGUAL TABLETS

Apply the test for uncoated tablets. Observe the tablets within the time limit specified in the individual monograph: all the tablets should have disintegrated. If 1 or 2 tablets fail to disintegrate completely, repeat the test on 12 additional tablets: no fewer than 16 of the total of 18 tablets tested should disintegrate completely.

XI. DISSOLUTION

This test is provided to determine compliance with the dissolution requirements, where stated in the individual dissolution testing monograph, for a tablet or capsule dosage form. Of the various types of available apparatus, use the one specified in the individual monograph. Where the label states that an article is enteric-coated, and a dissolution or disintegration test does not specifically state that it is to be applied to enteric-coated articles, the individual monograph should include how to handle it. For gelatin-coated tablets that do not conform to the dissolution specification, repeat the test as follows. Where water or a medium with a pH of less than 6.8 is specified as the medium in the individual monograph, the same medium specified may be used with the addition of purified pepsin that results in an activity of 750,000 units or less per 1000 mL. For media with a pH of 6.8 or greater, pancreatin can be added to produce not more than 1750 USP units of protease activity per 1000 mL.

XII. DISINTEGRATION AND DISSOLUTION

Disintegration is an essential attribute of tablets intended for administration by mouth, except for those intended to be chewed before swallowing and for some types of extended-release tablets. A disintegration test is provided, and limits on the times in which disintegration is to take place, appropriate for the types of tablets concerned, are given in the individual monographs. For drugs of limited water solubility, dissolution may be a more meaningful quality attribute than disintegration. A dissolution test is required in a number of monographs on tablets. In many cases, it is possible to correlate dissolution rates with the biological availability of the active ingredient. However, such tests are useful mainly as a means of screening preliminary formulations and as a routine quality control procedure.

XIII. DRUG SUBSTANCE CHARACTERIZATION

Characterization of the chemical and physical properties of a drug substance is one of the most important steps in the development of a solid dosage form. The identification of chemical properties, especially impurities, is very important. In addition, the physical properties of the API, such as solubility, polymorphism, hygroscopicity, particle size, density,

etc., must be addressed. The literature and actual experience demonstrate that the physical quality (e.g., particle size of raw materials) can sometimes produce a significant impact on the availability and clinical effect of the dosage form of a drug. Therefore, it is appropriate that the physical characteristics of a drug substance be characterized, the impact of the physical characteristics be determined, and a specification for the bulk drug product be established, if necessary.

XIV. DRYING PROCESS

Manufacturing formulas clearly specify how granules are to be dried. The temperature and length of drying are important, not only for losing a certain amount of moisture but also for achieving a specific granular structure. The end point of granulation is often described in terms of loss on drying (LOD), which is often characterized in terms of the Ohaus or Brabender index (e.g., LOD at 105°C for 1 hour) or an equivalent. Fluid-bed dryers and the newer granulator-vacuum dryers offer different rates of moisture loss and may form granules of different characteristics. The scale-up process should validate any changes in the equipment used and the technique used to dry granules. The validation should include compression tests and stability evaluations.

XV. DYES IN FORMULATIONS

Manufacturers choose to include dyes in formulations for several reasons: for aesthetics, for identification, and for hiding inevitable mottling. Dyes can be included in the cores or in coating solutions when used. The Appendix to this book includes several formulations for coating solutions. Certifiable color additives (FD&C Certified) are available for use in foods or pharmaceuticals as either “dyes” or “lakes.” Dyes dissolve in water and are manufactured as powders, granules, liquids, or other special-purpose forms. Lakes are the water-insoluble forms of dyes. Lakes are more stable than dyes and are ideal for coloring products containing fats and oils or items lacking sufficient moisture to dissolve dyes. Typical uses include coated tablets, cake and doughnut mixes, hard candies, and chewing gums. It is imperative that the manufacturer seek clarification of the status of a particular dye or lake before using it, particularly if the product has to be shipped to other countries. Labeling requirements include identification of all color additives. (The Physician’s Desk Reference (PDR) is a good source to use to learn which colors are used in a particular product. For generic manufacturers, this is a good starting point.)

XVI. EQUIPMENT

The formulations provided do not specify equipment, and the manufacturer is supposed to select appropriate equipment for the batch size required. The selection of equipment must be based on full knowledge of the limitations of the equipment. The following sections (A–D) briefly describe some issues associated with equipment.

A. BLENDERS

Many solid oral dosage forms are made by direct compression. Two types of mixers are generally used: low energy and high energy. The low-energy mixers represent the classic type of slow mixers, such as ribbon blenders, tumblers, and the planetary pony pan. The high-energy mixers include some basic features of the low-energy mixer, but also contain some type of high-speed blade, commonly termed an intensifier bar or chopper.

1. Pony Pan

This mixer has historically been used for the manufacture of wet granulations. Because of its open pan or pot, granulating agents, such as starch paste, could be added while mixing. Because it is usually open at the top to allow the mixing blades to penetrate the powder, mixing operations are usually dusty and can lead to potential cross-contamination problems. The usefulness of these mixers is limited to wet granulating. With this type of mixer, there is good horizontal (side-to-side) blending. However, vertical (top-to-bottom) mixing does not occur. Powder placed in the mixer first will be poorly mixed. Segregation or demixing is also a recognized problem. To minimize this problem, some manufacturers empty the pan contents halfway through the mixing cycle in an attempt to turn the powder over at the bottom of the mixer. To alleviate the problem of the lack of mixing along the sides or walls of the pan, manufacturers often use a handheld steel paddle at various times during mixing. This type of mixing is difficult to control and reproduce. Thus, it would be difficult to validate.

The potential for segregation and poor mixing along the sides and particularly the bottom of the pony blender makes this type of blender less desirable for the dry blending of granulations of drug products. Consequently, whenever such dry blending is encountered, the manufacturer should be alert to potential problems with blending validation and content uniformity. Whenever in-process samples of the granulation are collected as part of an investigation or inspection, the formula card and the weight of the dosage unit to be manufactured are needed for calculations.

2. Ribbon

In the ribbon blender, powder is mixed horizontally and vertically. Loading operations can be dusty. However, during the actual blending, it is enclosed, thereby limiting the amount of dust generated to the environment.

The major and potentially the most serious problem with the ribbon blender is that there is a “dead-spot” or zone at the discharge valve in some of these blenders. To compensate for this dead-spot, manufacturers recycle the powder from this area at some point during the mixing process. Obviously, there should be adequate and specific directions and procedures for ensuring that this critical step is performed. Another concern with this mixer is poor mixing at the ends of the center horizontal mixing bar and at the shell wall because of blade clearance. The level of powder placed in this mixer is

normally at the top of the outer ribbon blade, and as with other mixers, care must be taken not to overfill the mixer.

Cleaning problems, particularly at the ends of the ribbon blender where the horizontal bar enters the blender, have been identified. If manufacturers do not disassemble and clean the seals and packing between batches, they should have data to demonstrate the absence of foreign contaminants between batches of different products processed in the blender.

3. Tumbler

Common mixers of this type include the twin-shell and double cone. These mixers exert a gentle mixing action. Because of this mild action, lumps of powder will not be broken up and mixed. Powders may also clump due to static charges, and segregation can occur. Low humidity can contribute to this problem. Blending under very dry conditions was found to lead to charge buildup and segregation, while blending of some products under humid conditions led to lumping. More so than with other mixers, powder charge levels should not exceed 60% to 65% of the total volume of the mixer.

Fabricators of tumbler-type blenders identify the volume as the actual working capacity and not the actual volume of the blender. It is important to correlate the bulk density of the granulation with the working capacity of the blender.

4. High Shear (High Energy)

There are several fabricators of these mixers, including GRAL, Diosna, and Lodige or Littleford. These mixers are highly efficient and ideally suited for wet granulations. The end point of wet granulations can be determined by measurement on a gauge of the work needed to agitate the blend. The mixing vessel is enclosed, and dust only enters the environment when loading.

One of the problems associated with these mixers is the transfer or conversion of products blended in the older types of mixers to these blenders. Mixing times are going to be different, and the physical characteristics of the blend may also be different.

These mixers are efficient. For wet granulations, it is important to control the rate and amount of addition of the solvent. Because of their efficiency, drug substances may partially dissolve and recrystallize upon drying as a different physical form.

An intensifier bar in the center of the blender, which rotates at very high speeds, breaks down smaller and harder agglomerates. A major disadvantage of this type of blender is that the extremely high speed of the intensifier bar generates considerable heat that can sometimes result in the charring of some sugar-base granulations. It should be pointed out that these same comments are applicable to other high-energy mixers, which also rely on high-speed choppers to disperse powders. Also, between-product cleaning of the blender requires disassembly of the intensifier bar.

5. Plastic Bag

Any discussion of mixers would not be complete without addressing the plastic bag. Manufacturers have resorted to

the blending or manufacture of a trituration in a plastic bag. Obviously, it is difficult to reproduce such a process, and there is the potential for loss of powder as a result of breakage or handling. When the plastic bag has been used, directions are usually not specific, and one would not know by reading the directions that a plastic bag was employed. The use of a plastic bag cannot be justified in the manufacture of a pharmaceutical product. In fact, it continues to be a popular method, as often mentioned in the formulations described in this treatise.

B. DRYERS

Two basic types of dryers are used. One is the oven dryer, where the wet granulation is spread on trays and dried in an oven. The second dryer is the fluid-bed dryer, in which the wet granulation is "fluidized" or suspended in air. A third type, recently introduced, involves drying of granulations in vacuo while being mixed and processed. Generally, the fluid-bed dryer yields a more uniform granulation with spherical particles. However, this may result in compression problems that may require additional compression force to remedy these problems. It is not unusual to see manufacturers change from an oven dryer to a fluid-bed dryer. However, such a change should be validated for equivalency with conducted in vitro testing, such as hardness, disintegration, and comparative dissolution, and stability testing. Major changes in process details will require demonstration of bioequivalence.

Other issues of concern with drying include moisture uniformity and cross-contamination. Tray dryers present more moisture uniformity problems than fluid-bed dryers. Obviously, a dryer should be qualified for heat uniformity and a program developed to assure moisture uniformity in granulations at the end point of drying. With respect to fluid-bed dryers, moisture problems can occur if the granulation is not completely fluidized.

Regarding cross-contamination, oven dryers, particularly those in which air is recirculated, present cross-contamination problems because air recirculates through a common filter and duct. For fluid-bed dryers, the bag filters present cross-contamination problems. To minimize problems, manufacturers should use product-dedicated bags.

C. TABLET COMPRESSION EQUIPMENT

Another important variable in the manufacturing process is the tablet press or encapsulating machine. The newer dosage form equipment requires granulations with good flow characteristics and good uniformity. The newer tablet presses control weight variation by compression force and require uniform granulation to function correctly. The setup of the microprocessor-controlled tablet press usually includes some type of challenge to the system. For example, a short punch is sometimes placed among the other punches. If the press is operating correctly, it will sound an alarm when a lower- or higher-weight tablet is compressed.

Different tablet compression equipment can cause dose uniformity, weight uniformity, and hardness problems. For

example, vibrations during tablet compression can cause segregation of the granulation in the feed hopper. The speed of the machine can affect fill of the die and tablet weight. Therefore, as previously discussed, it is important to have specific operating directions.

Many unit operations now provide for blending in totes, with discharge of the tote directly into tablet compression equipment. Because of segregation problems at the end of discharge, tablets from the end of compression should be tested for content uniformity. The use of inserts in totes was shown to minimize segregation.

With regard to the newer computer-controlled tablet compression equipment, buckets of tablets are often rejected because of potential weight variation problems. The disposition of these tablets, as well as the granulation and tablets used to set up the press, should be in accordance with written methods. Reworking processes for culls must be validated.

With regard to encapsulation operations, the hygroscopic nature of gelatin capsules and some of the granulations requires humidity controls for storage of the empty capsules and their subsequent filling. Scale-up of capsule products also presented some problems because of the different types of encapsulation equipment. Older equipment that operated on gravity fill, such as the Lilly and Parke-Davis machines, was commonly used for manufacturing capsules in clinical manufacturing areas. When formulations were scaled up to high-speed encapsulation equipment, flow problems and poor weight variation resulted. Additionally, some of the newer equipment provides for the formation of a slug, which could impact dissolution.

D. COATING EQUIPMENT

Many tablets are now coated with an aqueous film coat that is usually very soluble. Current technology provides for fixed sprays of the coating solution. The volume of coating solution, rate, and temperature can be controlled by some of the more highly automated operations. However, for many sugar-coated, enteric-coated, and delayed-release products, some portions of the coating process are not highly soluble and are performed manually. Generally, the shellac undercoat used for sugar-coated tablets presented disintegration and dissolution problems, particularly in aged samples.

With respect to poor disintegration, ferrous sulfate tablets probably represent the classical example. Over the years, there have been many recalls from many different manufacturers for poor disintegration of coated ferrous sulfate tablets. Likewise, there have been many problems with poor dissolution attributed to the coating process. Again, the shellac undercoat hardens, and even sometimes cracks, resulting in poor dissolution.

The numbers of applications of coats, volume of coating solution in a specific application, and temperature of the solution during applications are parameters that need to be addressed. For example, the temperature of the application and even heat during drying can cause dissolution failures in aged tablets. Another problem associated with the coating

process concerns the heat applied to products that are sensitive to heat. For example, it was shown that estrogen tablets are heat sensitive and exhibited stability problems. Thus, it is important to control this phase of the process.

There are a few products, such as some of the antihistamine tablets, in which the drug substance is applied during the coating process. Other products require the active drug substance to be applied as a dust on tacky tablets as part of the coating process. For these products, it is particularly important to apply the drug in the coating solution in many controlled applications.

Again, it is important as part of the validation of these processes to demonstrate dose uniformity and dissolution and control the parameters of the coating process.

XVII. EXCIPIENTS

Excipients are well defined in the official pharmacopeia. No specific pharmaceutical grades are specified in this book, except where there is a specific reason to do so. However, it is known that different pharmacopeias may have different specifications, such as particle size, impurities, moisture, etc. The harmonization of excipients, a global effort that is underway, would go a long way in making the choice of excipients. The manufacturer is referred to <http://www.ipeamericas.org/index.html> and the *Handbook of Pharmaceutical Excipients* for further advice. A large number of proprietary excipients are widely used, such as Ac-Di-Sol[®], Explotab[®], Aerosil[®], Ludipress[®], Avicel[®], etc., and many of these are now part of pharmacopeias. There is a significant advantage, though the cost is high, in using these ingredients, because they offer additional benefits, often reducing processing time. Additionally, the suppliers of these ingredients are always willing to provide formulation support and have large databases, particularly pertaining to stability of drugs, that may be of great value to manufacturers. The following sections (A–F) list the most commonly used excipients in compressed solids.

A. COATING AGENT

Carboxymethylcellulose, sodium cellufate (formerly cellulose acetate phthalate), cellulose acetate, cellulose acetate phthalate (see cellufate), ethylcellulose, ethylcellulose aqueous dispersion gelatin glaze, pharmaceutical hydroxypropyl, cellulose hydroxypropyl methylcellulose, hydroxypropyl methylcellulose phthalate (see hypromellose phthalate), hypromellose phthalate (formerly hydroxypropyl methylcellulose phthalate), methacrylic acid copolymer, methacrylic acid copolymer dispersion, methylcellulose PEG, polyvinyl acetate, phthalate shellac sucrose, titanium dioxide wax, carnauba wax, microcrystalline zein.

B. GLIDANT

Calcium silicate, magnesium silicate, silicon dioxide, colloidal talc.

C. TABLET BINDER

Acacia alginic acid carboxymethylcellulose, sodium cellulose, microcrystalline dextrin ethylcellulose gelatin glucose, liquid guar gum hydroxypropyl methylcellulose, methylcellulose polyethylene oxide povidone starch, pregelatinized syrup.

D. DILUENT

Calcium carbonate, calcium phosphate, dibasic calcium phosphate, tribasic calcium sulfate cellulose, microcrystalline cellulose, powdered dextrates, dextrin, dextrose, excipient, fructose, kaolin, lactitol, lactose, mannitol, sorbitol, starch, pregelatinized sucrose, sugar, compressible sugar, confectioner's sugar.

E. DISINTEGRANT

Alginic acid cellulose, microcrystalline croscarmellose sodium, crospovidone polacrillin, potassium, sodium starch, glycolate starch, starch, pregelatinized.

F. LUBRICANT

Calcium stearate, glyceryl behenate, magnesium stearate, mineral oil, light PEG, sodium stearyl fumarate stearic acid, stearic acid, purified talc, vegetable oil, hydrogenated type I zinc stearate.

The choice of excipients is made based on three distinct considerations:

- **Compatibility with the active drug**—Many excipients have active functional groups that can interact with the active drug and enhance its degradation. Even the water of hydration or moisture in the excipients can create difficulties in solid-state degradation of the active drug; so, it is not only the selection of the ingredient but also the grade (such as anhydrous or hydrous) that is important.
- **Effect on efficacy**—Excipients are known to alter the release patterns (e.g., a strong binder would delay break-up of the tablet) and often bind the drug molecules in the gastrointestinal tract. The evaluation should be made in the full composition of ingredients, because the presence of two ingredients may change their individual characteristics.
- **Cost of formulation**—Even though excipients contribute a small cost of the total formulation, the declining cost of APIs makes the selection of excipients based on cost an important consideration. This is particularly true when generic manufacturers are filing ANDAs knowing well that they will compete on a price basis. However, the total cost of formulation should not only be calculated on the basis of excipients. Often, the use of expensive excipients reduces process time, eliminates certain process steps, and even allows the use of a cheaper packaging material. The manufacturer must, therefore, calculate the

overall manufacturing cost. This aspect of formulation creates unique considerations by the multinational companies doing business worldwide; they are often forced to develop alternate formulations depending on the availability of excipients, manpower cost, and local environmental considerations.

The rule of thumb in the selection of excipients remains—keep it simple and at the bare minimum. The goal of excipient selection should be clearly defined—the dosage form yielding a solution form at a predetermined rate (not necessarily the fastest in all instances).

The formulations described in this volume provide a quantitative listing of excipients recommended. An astute formulator would know the need to alter their quantity based on the type of equipment used to process them, the size of the batch processed at one time, and the quality of compressed product obtained. Therefore, all quantitative listings of excipients must be considered the best starting point, which can be adjusted and optimized, if necessary. In many instances, a range of excipients is allowed, such as in the case of a binder solution, to yield a suitable mass (as is often described in the formulation of wet massing).

Where exact quantities of excipients are not available, but the excipients are identified for an innovator's product, this is still a better starting point than establishing the choice of excipients. Knowledge of the physicochemical characteristics of the API takes a more pivotal role when the information available is limited. Obviously, one can readily identify the role of the identified, but not quantified, excipients. Some experimentation is required. However, as provided throughout this volume, significant knowledge can be gained by benchmarking the formulation. Other similar drugs or excipients should provide a good clue to the starting quantities. It is noteworthy that in obtaining the copies of competitor NDAs through the Freedom of Information Act, some quantities are often redacted, leaving the formulator to guess. However, this should not be a difficult step as long as the quantities of excipients chosen provide a similar weight, thickness, and disintegration and dissolution characteristics.

A common practice by innovator companies, as the NCE gets closer to the patent term expiry, is to patent a variety of formulations; for example, in the case of Augmentin[®], the innovator chose to patent a different combination of amoxicillin and clavulanic acid and developed a composition for pediatric therapy. The purpose of this exercise is to keep generic competition out; the generic product in some cases may be the same, but not exactly. The patent-end changes may also include changes in specification, choice of solvent systems used, or other cosmetic changes. However, a generic manufacturer would do well by just following the original formulation (for obvious reasons of regulatory compliance), because this has withstood the test of time. The author recommends that no changes should be made to an otherwise working formula, albeit this may improve processing, until such a time that the generic manufacturer has sufficient experience with the product. Most unusual things can happen when unsuspecting

changes, appearing benign on the surface, are made to proven formulas. Given the cost of bioequivalence study requirements for compressed solids, changes in formulation should not be made unless essential and, even then, only for compliance purposes.

XVIII. DIRECT COMPRESSION

The technology involved in direct compression assumes great importance in the tablet formulations, because it is often the cheapest means, particularly in the production of generics, that the active substance permits. The limiting factors are the physical properties of the active substance and its concentration in the tablets. Even substances such as ascorbic acid that are hardly suitable for direct compression, owing to the friability of their crystals, can normally be directly pressed into tablets at concentrations of 30% to 40%. However, this technique is not as suitable if the content of ascorbic acid is higher. This limit may be shifted upward by special direct compression auxiliaries: for example, Ludipress. Two important alternatives, Ludipress grades and Kollidon VA 64, can be found in the BASF line of pharmaceutical excipients for direct compression.

Ludipress is a speciality derived from lactose, Kollidon 30, and Kollidon CL. It thus combines the properties of a filler, binder, disintegrant, and flow agent and also often acts as a release accelerator. By virtue of its versatility, formulations containing it are usually very simple. It can also be combined with almost all active substances, with the exception of those that enter into a chemical interaction with lactose (Maillard reaction).

Active substances, for example many analgetics, behave very differently with Ludipress when the dosage is extremely high. Acetylsalicylic acid and metamizole can be pressed when little Ludipress has been added; ibuprofen requires a larger amount; and the fraction of Ludipress required in the tablets is too large for paracetamol (= acetaminophen).

An alternative to the Ludipress grades is the outstanding dry binder Kollidon VA 64 together with excipients (for example, calcium phosphate, microcrystalline cellulose, lactose, or starch) and a disintegrant (for example, Kollidon CL). This combination even allows 500 mg of paracetamol to be pressed into good tablets with a weight of 700 mg.

No other dry binder has a binding power and plasticity comparable to those of Kollidon VA 64. Plasticity, in particular, is an important parameter in direct compression. This property of Kollidon VA 64 is not adversely effected by increasing the pressure. The beneficial properties of Kollidon VA 64 can also be exploited for the production of concentrated active substance that is subsequently used for direct tableting. Kollidon VA 64 and Ludipress can also be combined with one another.

XIX. FILL WEIGHTS

Fill weights are provided in all formulations. These may not coincide with scale for many reasons, as described elsewhere:

differences in the salt forms, hydrates, or overages added in manufacturing and also to provide the extra margin of variation in filling during fast compression operations.

XX. FINAL PACKAGING

A formulation design does not end with ensuring that good tablets are formed; it must allow for handling during packaging, such as sliding into blister sheets or dropping into bottles. Actual fill runs must be conducted, and then the finished product must be subjected to simulated, and finally, the actual rigors of shipping before finalizing a formulation. Be aware that during shipping, the product may be exposed to diverse and often harsh weather conditions. Silica gel is often placed in the finished packs, or cotton is inserted, mainly to provide moisture or absorb odor (in the case of cotton).

XXI. FINAL TESTING

Finished product testing, particularly assay, content uniformity, and dissolution, is required. In the review of dissolution test results, it is important to eventually see results close to 100% dissolution. In some cases, manufacturers profile the dissolution results only to the specification. However, if lower but still acceptable results are obtained (such as 85%), it is important to continue the test. This can be performed by increasing the speed of the apparatus. If a product completely dissolves, yet only results in a value of 85%, it may indicate some problem with the test. Likewise, high dissolution results (115%) also indicate some problem with the test. Obviously, unusual or atypical results should be explained in the validation report.

XXII. FINES

Solids, when ground to small particle sizes (as when passing through sieves or crushing granules), yield a distribution of various particle sizes. A certain amount of very fine particles, such as those passing #100 mesh, is required to fill in the gaps in a good compaction process; however, a large proportion of fines (as they are called) can create a problem in the flow or compaction of material. As a result, many master formulas require the reworking of fines back to granules. Any such recommendation should be carried out considering the type of processing and equipment used. These are mere suggestions; if a product compacts well, then it has the right proportion of fines.

XXIII. FORMULA EXCESSES

The difference between the scale and the quantity used for manufacturing is a result of either adjustment for the chemical form used (such as salt form for labeled base), hydrate forms (to compensate for additional water), potency variations (such as for antibiotics and biologicals), manufacturing excesses (for losses of drug during manufacturing), stability excesses

(to compensate for loss during the shelf life; this is most important for vitamin products), and solvent/hydration loss (such as during manufacturing).

XXIV. GEOMETRIC DILUTION

In all instances where low-dose drugs are manufactured, the mixing of ingredients should be done in a geometric dilution process; for example, a tablet containing 100 mg per tablet will first require mixing the active drug with a smaller quantity of excipient and then building up the volume to make sure the API is properly distributed. Further consistency to the product is imparted during the mixing of the granulated mixture.

XXV. GRANULATION/MIX ANALYSIS

A critical step in the manufacture of an oral solid dosage form is the blending of the final granulation. If uniformity is not achieved at this stage, then one could assume that some dosage units would not comply with uniformity requirements. The major advantage of blend analysis (from a uniformity perspective) is that specific areas of the blender that have the greatest potential to be nonuniform can be sampled. This is particularly true of the ribbon-type blender and planetary or pony-type mixers.

In some cases, such as for large or tumbler-type blenders, it is impractical to sample from the blender directly. In such cases, granulations or blends could be sampled at the time of blender discharge or directly from drums. If sampling from drums, samples from the top, middle, and bottom of each drum should be collected.

In most cases, sampling thieves are readily available for sampling the small quantities that need to be taken from key areas of the blender or the drums. If samples larger than one dosage unit must be collected, however, adequate provisions must be made to prevent excessive handling manipulation between the time of sampling and the time of analysis.

Good science and logic would seem to dictate that sample sizes of the approximate equivalent weight of the dosage unit should be sampled in order to test for uniformity. Many industrial pharmacy and engineering texts confirm this approach. Large granulation sample sizes, such as 1 oz, will provide little information with respect to uniformity. Generally, further mixing after sampling and prior to analysis can yield misleading results.

The acceptance criteria for granulation dose uniformity testing need to be continuously evaluated. Although many manufacturers evaluate dose uniformity using the compendial dose uniformity specifications (85–115% with a relative standard deviation [RSD] of 6–7.8), such specifications should be tighter where supported by the firm's historical data on the level of blend uniformity with its equipment for a given product. In many cases, compendial assay limits for the finished product (90–110% of label claim) are broad enough for this purpose, and most manufacturers should be able to demonstrate blend assay results well within these limits. If larger

sample sizes are taken for assay to evaluate total composite assay, then the specific USP or filed criteria for assay should be used.

In addition to the analysis of blends for dose uniformity and potency, blends are tested for physical characteristics.

A major physical parameter used to demonstrate equivalence between batches is the particle size profile. This is particularly important for comparison of the biobatch with production batches and should be repeated when processes are modified or changed. The particle size profile will provide useful information for demonstrating comparability.

Particle size profiles are particularly important for tablets made by a wet granulation process. The size and even the type of granule can affect the pore size in a tablet as well as its dissolution. For example, dissolution failure may be attributed to a change in the milling screen size, yielding a granulation with larger granules. When coated, larger pores permit increased penetration into the tablet by the coating solution, resulting in slower dissolution.

Another test typically performed on the granulation, particularly when the wet granulation process is used, is LOD and moisture content. If organic solvents are employed, then residual solvent residues are also tested. In the validation of a drying process, LOD levels are determined before, during, and after drying in order to demonstrate times and levels. As with processing variables, levels (specifications) are established in the development phase, with the validation phase used to confirm the adequacy of the process.

XXVI. INGREDIENT WARNING

Whereas many organic solvents are removed, traces may remain, and these may cause reactions, particularly in children; additionally, appropriate consideration should be given to the choice of using lactose, to which some are intolerant, or the use of sulfites or preservatives to which patients may be allergic.

XXVII. IN-PROCESS TESTING

In-process testing is the testing performed on dosage forms during their compression/encapsulation stages to assure consistency throughout these operations. For tablets, individual tablet weights, moisture, hardness (compression force), and disintegration are performed. Because hardness and disintegration specifications are established during development and biobatch production, testing is performed to demonstrate equivalency (comparability) and consistency.

Specifications required to control the manufacturing process must be established and justified. This will require granulation studies, which would include blend uniformity, sieve analysis, and moisture. In the formulations provided in this book, the in-process milestones are not generally identified; the manufacturer is supposed to know this. Critical in-process testing stages for compressed solids are

- Assuring cleanliness of equipment
- Checking and recording temperature where specified for dissolving or mixing ingredients, such as in the making of binder solutions or slurries
- Testing of granules for content uniformity, flow rate, tap density, moisture content (LOD), or other specific testing, as required
- Testing of tablets during compression for weight, thickness, friability, and disintegration
- Final testing of weight, friability, content uniformity, disintegration, and dissolution
- Assay and finished product release

With regard to moisture, some tablets set up (harden) upon aging as a result of poor moisture control and inadequate specifications. For example, this was shown to be a major problem with carbamazepine tablets.

XXVIII. LOSS ON DRYING

This procedure determines the amount of volatile matter of any kind that is driven off under the conditions specified. Mix and accurately weigh the substance to be tested, and unless otherwise directed in the individual monograph, conduct the determination on 1 to 2 g. If the test specimen is in the form of large crystals, reduce the particle size to about 2 mm by quickly crushing. Take a glass-stoppered, shallow weighing bottle that has been dried for 30 minutes under the same conditions to be employed in the determination. Put the test specimen in the bottle, replace the cover, and accurately weigh the bottle and the contents. By gentle, side-wise shaking, distribute the test specimen as evenly as practicable to a depth of about 5 mm and not more than 10 mm in the case of bulky materials. Place the loaded bottle in the drying chamber, remove the stopper, and leave it in the chamber. Dry the test specimen at the temperature and for the time specified in the monograph. (*Note:* the temperature specified in the monograph is to be regarded as being within the range of $\pm 2^{\circ}\text{C}$ of the stated figure.) Upon opening the chamber, close the bottle promptly, and allow it to come to room temperature in a desiccator before weighing.

If the substance melts at a lower temperature than that specified for the determination of LOD, maintain the bottle with its contents for 1 to 2 hours at a temperature 5°C to 10°C below the melting temperature, and then dry at the specified temperature. Where the specimen under test is a tablet, use powder from no fewer than four tablets ground to a fine powder. Where the individual monograph directs that the LOD should be determined by thermogravimetric analysis, a sensitive electrobalance must be used. Where drying in vacuum over a desiccant is directed in the individual monograph, a vacuum desiccator or a vacuum drying pistol, or other suitable vacuum drying apparatus, must be used. When drying in a desiccator is specified, exercise particular care to ensure that the desiccant is kept fully effective by frequently replacing. Where drying in a capillary-stoppered bottle in vacuum is directed in the individual monograph, use a bottle or tube fitted with a stopper having a 225 ± 25 mm diameter capillary, and maintain the

heating chamber at a pressure of 5 mm or less of mercury. At the end of the heating period, admit dry air to the heating chamber, remove the bottle, and with the capillary stopper still in place, allow it to cool in a desiccator before weighing.

Many pharmacopoeial articles are hydrates or contain water in adsorbed form. As a result, the determination of the water content is important in demonstrating compliance with the pharmacopoeial standards. Generally, one of the methods given next is called for in the individual monograph, depending upon the nature of the article. In rare cases, a choice is allowed between two methods. When the article contains water of hydration, method I (titrimetric), method II (azeotropic), or method III (gravimetric) is employed, as directed in the individual monograph.

XXIX. MANUFACTURING YIELDS

The formulas provided here include scale as well as quantities for 1000 tablets; often in a scale-up, yields must be calculated to extrapolate exact quantities needed for a specific batch size; yields vary because of differences in the tablet weight (within the specified range), losses in equipment, and losses to the environment. The exhaust or vacuum can carry with it a lot of product at times.

XXX. MASTER FORMULA

This document must include specific manufacturing directions for the full-scale commercial process, including in-process and finished product specifications. The cGMP-compliant master formula will have room for direct entry onto the documents of all critical parameters, such as temperature, mixing times, LOD, etc., beside signatures of the persons responsible for complying with the specifications. No specific guidelines are provided for the formatting of this document. However, those skilled in assuring compliance with the GMP know the art of capturing most eventualities that may arise in the manufacturing of the product. The key is to assure that no individual discretions are allowed.

XXXI. MULTIPLE-ITEM ENTRIES

In the formulations provided in this book, an ingredient may appear in multiple places; this is necessary so as to identify the different quantities used at different stages and at different times for different purposes. For example, the dry form of starch may be mixed with the drug and then used in the making of a paste for granulation. Similarly, solvents are often listed in many places.

XXXII. MULTIPLE STRENGTHS OF FORMULATIONS

The formulations disclosed in this book handle multiple strengths in two ways: one to adjust the fill weight of tablets and the other to provide a different formulation. There are specific reasons for this. Where the quantity of API is high, a

simple doubling of fill weight might not work, and an adjustment to the excipients will be required. On the other hand are products where the API is less than 1% of the total weight, in which case the formulation remains the same, with one of the major components, such as lactose or dicalcium phosphate, providing compensation for the additional weight. Then, the tablet can be compressed at the same weight.

XXXIII. NOVEL DRUG DELIVERY SYSTEMS

From osmotically driven release of the API to wax matrices to plastic “ghosts” (e.g., Gradumet®), the compressed solid dosage forms offer a variety of possibilities for incorporating novel drug delivery systems. It should be noted that the compression force required to manufacture the dosage form can deform a structured component; on the other hand, the high compression force and the resultant rise in temperature that is inevitable can be used to create unique dosage form designs. One such example is the use of PEG 6000 or 8000 in direct compression formulations. The compression pressures in a typical tableting machine or in a roller compactor are generally high enough to produce sufficient heat to melt the PEGs, which then congeal to provide adequate binding without the need for wet massing. The author has used this technique to formulate a myriad of drugs, particularly those subject to stability problems, such as vitamins. PEGs are compatible with most drugs, are cheap, and dissolve rapidly to release the drug. The author highly recommends using this technique to formulate directly compressible formulations instead of using the direct compression-grade raw materials, which are very expensive. Another technique that lends itself appropriately to solid compression is the use of solid solutions. Many drugs, when melted with water-soluble compounds, such as succinic acid, PEG, etc., congeal in a molecular dispersion, which, when placed in the gastrointestinal environment, releases the drug rapidly—it is already in a solution state. Wax embedding is another process (such as used for diltiazem) for moderating the release of drugs.

Briefly, the formulator has many tools available with which to formulate novel drug delivery systems with compression of solids. These techniques have, however, not been exploited as widely as their potential offers. Young formulators not yet biased by the need to follow a traditional route of formulating are encouraged to experiment with a myriad of possibilities, using components that have well proven their utility but in a different role. Remember, a temperature rise during the compression process is a source of energy that can be put to use.

XXXIV. PARTICLE COATING

Even though solid-state compression excludes moisture, which is the primary starting point in chemical degradation, these dosage forms are not impervious to atmosphere; this protection is generally provided by coating the final compressed dosage form, such as by sealing with waxes. However, there are instances where it may be necessary to coat the particles of the drug before incorporating them into

formulations. There can be several reasons for doing this, besides imparting greater stability. It is done to mask the taste, for example, in chewable tablets, to improve flow in tablets comprising a larger proportion of the active drug, to impart specific release characteristics, or to protect the gastrointestinal mucosa (such as in the case of particle-coated iron tablets). Coated particles should be treated as a specialized form of excipient, which must be properly tested for its specifications prior to incorporation in the final dosage form. Most of the particle-coating methods involve a fluid-bed system or coating on a nonpareil bead.

XXXV. PRESERVATIVES IN COMPRESSED SOLID DOSAGE FORMULATIONS

As a rule of thumb, good formulations include only essential components. Because compressed solids have low moisture content, microbiological stability generally does not pose a problem, with few exceptions. However, in the wet granulation process, slurries or pastes are made that are water-based and are often kept for a few hours before being used, requiring the use of preservatives, particularly when gelatin is also used with starch. Generally, a standard combination of propylparabens and methylparabens would do. Preservatives are also included in compressed solids, where the compositions may be highly hygroscopic, resulting in localized liquefaction of powders that might promote microbial growth.

XXXVI. PUNCH SIZE AND SHAPE

The choice of punch size is dependent on the amount of API, the quantity of excipients needed to make it compressible, and what can be reasonably administered. Tablets ranging in weight from less than 100 mg to over 1 g are compressed in 6–15 mm diameter punches. The size is also important, because a proportion between thickness and diameter must be maintained. Thick tablets, such as a long cylindrical product, are difficult to eject from dies. Experienced machine operators know how well a tableting mix compresses on one punch size and shape, and it becomes difficult to compress using other shapes and sizes. Whereas round tablets are the easiest to compress (from a technical viewpoint of design of punches to ejection), manufacturers use all different shapes, from Bugs Bunny-shaped vitamins to diamond-shaped Viagra® tablets.

The formulations provided in this book may have to be altered to meet the compaction requirements of different punch shapes and sizes other than those recommended here. Concave punches (giving convex tablets) are made to reduce the contact of compressed material with the wall of the die. This makes ejection of a tablet easier. However, because of the shape, there may be more picking of tablets. In several formulations described here, biplanar flat, round punches are recommended. The identification marks or logos on the tablets create additional problems in the picking of tablets. The polishing of punches remains an essential part of good tablet compressing. Often, punches wear out fast, depending on the type of compression material used.

Regardless of what the supplier of a punch recommends, a punch must be replaced once it fails to provide the surface quality needed. Punches should ideally be replaced in groups and not individually (except to replace broken items).

XXXVII. REWORKING CULLS

During the setup of machines and through rejection, especially in automated rejection systems, there may be a substantial amount of culls available. In most instances, it would be prudent to just discard them; however, for expensive APIs, reworking can be done. An internal SOP should clearly define the proportion of rework allowed and how the calculations will be made to the bill of materials (BOM).

XXXVIII. SCALE-UP

Whereas the formulations given in this book are robust enough to be scaled up to most sizes, manufacturers may find the need to modify these to comply with scaled-up performance. For example, the quantity of lubricants, the amount of moisture, the size of the granules, etc., are all pertinent.

XXXIX. SEGREGATION

Particulate solids, once mixed, have a tendency to segregate by virtue of differences in the shape, size, and density (other variables are also important) of the particles of which they are composed. This process of separation occurs during mixing as well as during subsequent handling of the completed mix. Generally, large differences in particle size, density, or shape within the mixture result in instability in the mixture. The segregation process normally requires energy input and can be reduced following mixing by careful handling. One of the most common reasons for postblending (after adding lubricants) segregation is overblending. Lubricants develop electric charge very quickly, making compression difficult and altering the dissolution profile. A critical specification in the manufacturing method is the length of blending. Follow this strictly.

XL. SIFTING INGREDIENTS AND GRANULES

Whereas the specifications of starting materials are specified, the powders often form aggregates during storage; a point-of-use check of aggregation is needed. It is a good idea to sift all ingredients through specified sieves before adding them to mixing or blending vessels. For most raw materials, sifting through a #60 sieve (250 μ m) is desired. Be aware that passing materials through finer sieves can generate electrostatic charges. Wet mass is passed through a #8 (2.38 mm) sieve, and dried granules are passed through a #16 (1.19 mm) mesh sieve. Lubricants should be sieved through a #60 mesh, except for magnesium stearate, which should not be shifted through an opening smaller than that of a #35 mesh. This is necessary to avoid building up electrical charges. A conversion chart for

sieve sizes from U.S. Mesh to inches and microns (or millimeters) follows.

U.S. Mesh	Inches	Microns	Millimeters
3	0.2650	6730	6.730
4	0.1870	4760	4.760
5	0.1570	4000	4.000
6	0.1320	3360	3.360
7	0.1110	2830	2.830
8	0.0937	2380	2.380
10	0.0787	2000	2.000
12	0.0661	1680	1.680
14	0.0555	1410	1.410
16	0.0469	1190	1.190
18	0.0394	1000	1.000
20	0.0331	841	0.841
25	0.0280	707	0.707
30	0.0232	595	0.595
35	0.0197	500	0.500
40	0.0165	400	0.400
45	0.0138	354	0.354
50	0.0117	297	0.297
60	0.0098	250	0.250
70	0.0083	210	0.210
80	0.0070	177	0.177
100	0.0059	149	0.149
120	0.0049	125	0.125
140	0.0041	105	0.105
170	0.0035	88	0.088
200	0.0029	74	0.074
230	0.0024	63	0.063
270	0.0021	53	0.053
325	0.0017	44	0.044
400	0.0015	37	0.037

XLI. SPECIFICATIONS

The development of a product and its manufacturing process and specifications, the design of the validation protocol, and the demonstration (validation) runs of the full-scale manufacturing process require scientific judgment based on good scientific data. The in-process control and product specifications are established during the product development process, with the test batch serving as the critical batch used for the establishment of specifications. Specifications, such as hardness and particle size, should be established before validation of the process; these specifications should be included in the validation protocol. The use of product development runs of the process to establish specifications and demonstrate that the system is validated often causes problems.

XLII. STABILITY TESTING

Even though compressed solids offer a major advantage over other dosage forms in being the most stable, both chemically and physically, complete stability profiles must be developed

every time any change, albeit minor, is made in the formulation, the processing conditions, the equipment used, or even the manufacturing site used. This applies not just to drugs with known stability problems but even to highly stable drugs, such as erythromycin. Subtle alternations in formulation can bring such major unsuspected changes as prolonged disintegration and dissolution. The stability profiles are developed over a span of time to establish not only the chemical stability (providing the labeled quantity) but also the *in vitro* release characteristics. Stability testing is also required to be conducted in the specific temperature zone areas as dictated by compendia. This creates a significant problem for multinational companies selling products around the world, where different zone temperature stability requirements come into play. A universal formula is often difficult to design for this reason. Generic manufacturers must, therefore, take this aspect into consideration and mimic the formulations used by innovators in the world regions where these products are to be sold. Unfortunately, it is not as easy to obtain this information for formulations sold outside the United States. Some reverse engineering may be in order to accomplish this.

XLIII. STORAGE OF IN-PROCESS MATERIAL

At several stages during the manufacturing, the bulk material would have to be kept in quarantine, awaiting quality control (QC) results, such as LOD measurement, content uniformity of tableting mix, etc. The master formula should specify the conditions of storage and the length of a validated storage period. In some instances, silica gel is to be kept in the drums storing the product. Follow these instructions carefully. In most instances, the bulk should receive a final blending turnover before filling the compression hoppers; this is necessary to avoid any segregation of powders during storage or during transportation to and from the storage facility.

XLIV. TABLET FRIABILITY

This friability determination of compressed, uncoated tablets is generally applicable to most compressed tablets. Measurement of tablet friability supplements other physical strength measurements, such as tablet crushing strength. For tablets with a unit mass equal to or less than 650 mg, take a sample of whole tablets corresponding to 6.5 g. For tablets with a unit mass of more than 650 mg, take a sample of 10 whole tablets. The tablets should be carefully dusted prior to testing. Accurately weigh the tablet sample, and place the tablets in the drum. Rotate the drum 100 times, and remove the tablets. Remove any loose dust from the tablets, as before, and accurately weigh. If tablet size or shape causes irregular tumbling, adjust the drum base so that the base forms an angle of about 10 degrees with the benchtop, and the tablets no longer bind together when lying next to each other, which prevents them from falling freely.

Effervescent tablets and chewable tablets may have different specifications as far as friability is concerned, and these tablets normally require special packaging. In the case of

hygroscopic tablets, a humidity-controlled environment (relative humidity less than 40%) is required for testing.

XLV. TABLET MANUFACTURING

Tablets are prepared by three general methods: wet granulation, dry granulation (roll compaction or slugging), and direct compression. The purpose of wet and dry granulation is to improve the flow of the mixture and to enhance its compressibility. Dry granulation (slugging) involves the compaction of powders at high pressures into large, often poorly formed tablet compacts. These compacts are then milled and screened to form a granulation of the desired particle size. The advantage of dry granulation is the elimination of heat and moisture in the processing. Dry granulations can be produced by extruding powders between hydraulically operated rollers to produce thin cakes that are subsequently screened or milled to give the desired granule size.

Excipients are available that allow production of tablets at high speeds without prior granulation steps. These directly compressible excipients consist of special physical forms of substances, such as lactose, sucrose, dextrose, or cellulose, which possess the desirable properties of fluidity and compressibility. The most widely used direct-compaction fillers are microcrystalline cellulose, anhydrous lactose, spray-dried lactose, compressible sucrose, and some forms of modified starches. Direct compression avoids many of the problems associated with wet and dry granulations. However, the inherent physical properties of the individual filler materials are critical, and minor variations can alter flow and compression characteristics so as to make them unsuitable for direct compression.

XLVI. TABLETS

Tablets are solid dosage forms containing medicinal substances with or without suitable diluents. They may be classed, according to the method of manufacture, as compressed tablets or molded tablets. The vast majority of all tablets manufactured are made by compression, and compressed tablets are the most widely used dosage form in the United States. Compressed tablets are prepared by the application of high pressures, utilizing steel punches and dies, to powders or granulations. Tablets can be produced in a wide variety of sizes, shapes, and surface markings, depending upon the design of the punches and dies. Capsule-shaped tablets are commonly referred to as caplets. Boluses are large tablets intended for veterinary use, usually for large animals. Molded tablets are prepared by forcing dampened powders under low pressure into die cavities. Solidification depends upon crystal bridges built up during the subsequent drying process and not upon the compaction force. Tablet triturates are small, usually cylindrical, molded, or compressed tablets. Tablet triturates were traditionally used as dispensing tablets in order to provide a convenient, measured quantity of a potent drug for compounding purposes. Such tablets are rarely used today. Hypodermic tablets are molded tablets

made from completely and readily water-soluble ingredients and formerly, were intended for use in making preparations for hypodermic injection. They are employed orally, or where rapid drug availability is required, such as in the case of nitroglycerin tablets, sublingually. Buccal tablets are intended to be inserted in the buccal pouch, and sublingual tablets are intended to be inserted beneath the tongue, where the active ingredient is absorbed directly through the oral mucosa. Few drugs are readily absorbed in this way, but for those that are (such as nitroglycerin and certain steroid hormones), there are a number of advantages. Soluble, effervescent tablets are prepared by compression and contain, in addition to active ingredients, mixtures of acids (citric acid, tartaric acid) and sodium bicarbonate, which release carbon dioxide when dissolved in water. They are intended to be dissolved or dispersed in water before administration. Effervescent tablets should be stored in tightly closed containers or moisture-proof packs and should be labeled to indicate that they are not to be swallowed directly.

Chewable tablets are formulated and manufactured so that they may be chewed, producing a pleasant-tasting residue in the oral cavity that is easily swallowed and does not leave a bitter or unpleasant aftertaste. These tablets have been used in tablet formulations for children, especially in multivitamin formulations, and for the administration of antacids and selected antibiotics. Chewable tablets are prepared by compression, usually utilizing mannitol, sorbitol, or sucrose as binders and fillers, and containing colors and flavors to enhance their appearance and taste.

Most compressed tablets consist of the active ingredient and a diluent (filler), binder, disintegrating agent, and lubricant. Approved FD&C and D&C dyes or lakes (dyes adsorbed onto insoluble aluminum hydroxide), flavors, and sweetening agents may also be present. Diluents are added where the quantity of active ingredient is small or difficult to compress. Common tablet fillers include lactose, starch, dibasic calcium phosphate, and microcrystalline cellulose. Chewable tablets often contain sucrose, mannitol, or sorbitol as fillers. Where the amount of active ingredient is small, the overall tableting properties are, in large measure, determined by the filler. Because of problems encountered with the bioavailability of hydrophobic drugs of low water solubility, water-soluble diluents are used as fillers for these tablets. Binders give adhesiveness to the powder during the preliminary granulation and to the compressed tablet. They add to the cohesive strength already available in the diluent. While binders may be added dry, they are more effective when added out of solution. Common binders include acacia, gelatin, sucrose, povidone, methylcellulose, carboxymethylcellulose, and hydrolyzed starch pastes. The most effective dry binder is microcrystalline cellulose, which is commonly used for this purpose in tablets prepared by direct compression. A disintegrating agent serves to assist in the fragmentation of the tablet after administration. The most widely used tablet disintegrating agent is starch. Chemically modified starches and cellulose, alginic acid, microcrystalline cellulose, and cross-linked povidone are also used for this purpose. Effervescent mixtures are used

in soluble tablet systems as disintegrating agents. The concentration of the disintegrating agent, method of addition, and degree of compaction play roles in effectiveness. Lubricants reduce friction during the compression and ejection cycles. In addition, they aid in preventing adherence of tablet material to the dies and punches. Metallic stearates, stearic acid, hydrogenated vegetable oils, and talc are used as lubricants. Because of the nature of this function, most lubricants are hydrophobic and as such, tend to reduce the rates of tablet disintegration and dissolution. Consequently, excessive concentrations of lubricant should be avoided. PEGs and some lauryl sulfate salts have been used as soluble lubricants, but such agents generally do not possess optimal lubricating properties, and comparatively high concentrations are usually required. Glidants are agents that improve powder fluidity, and they are commonly employed in direct compression, where no granulation step is involved. The most effective glidants are the colloidal pyrogenic silicas. Colorants are often added to tablet formulations for aesthetic value or for product identification. Both D&C and FD&C dyes and lakes are used. Most dyes are photosensitive, and they fade when exposed to light. The U.S. FDA regulates the colorants employed in drugs.

XLVII. WATER-PURIFIED USP

As a general practice, the water used in wet granulation processes should be of at least the water-purified USP grade. Other grades are acceptable, provided their use can be validated, mainly for the reasons of microbiological quality and the presence of other dissolved solids.

XLVIII. WEIGHT VARIATION AND CONTENT UNIFORMITY

Tablets are required to meet a weight variation test where the active ingredient comprises a major portion of the tablet and where control of weight may be presumed to be an adequate control of drug content uniformity. Weight variation is not an adequate indication of content uniformity where the drug substance comprises a relatively minor portion of the tablet or where the tablet is sugar-coated. Thus, the pharmacopeia generally requires that coated tablets and tablets containing 50 mg or less of active ingredient, comprising less than 50% by weight of the dosage-form unit, pass a content uniformity test, wherein individual tablets are assayed for actual drug content.

XLIX. WET GRANULATION VERSUS DRY GRANULATION OR DIRECT COMPRESSION

Drug powders are often not easily compressible. Even if they are compressible, the small quantity that needs to be dispensed requires the adding of excipients for bulking the product; however, the addition of these compatible bulking agents may render the mixture less compressible. Books have been written on the physics of powder compression. In a nutshell,

the compression of powders involves the breaking of a crystal lattice and the rebonding of lattices to yield a unit structure. Binders provide the bridging gap between and among the ingredients that would rather stay apart (to put it simply). With compression machines, the requirement that powders fill the compression cavities as they are compressed no longer holds. The conundrum with powders is that they must flow easily to fill the cavities, but as the particle size gets smaller, the specific surface area increases, along with interparticulate friction that keeps the powder from flowing (angle of repose), subject to the individual characteristics of the chemical. Therefore, for the powders to easily flow into compression cavities, they must be present in granular form rather than in the form of fine powder. Powders can be converted to granular form by wetting them and drying to form the bonds between particles, particularly in the presence of binding agents (the most popular being starch). The wet granulation process, therefore, involves mixing the powders with a paste of starch (generally approximately 30%) or using polyvinylpyrrolidone (PVP) in an organic solvent to make a wet mass. In most instances, the characteristic of the wet mass is judged by how well it forms a mass as tested. The wet mass is then passed through a coarse mesh, spread on trays, and dried at 50°C to 60°C or directly placed in a fluid-bed dryer. The test of drying is that the LOD ranges from 1% to 3%. This is referred to as *wet granulation*. Dry granulation is a process where the active drug is mixed with ingredients that are inherently granular and compressible or are made by modifications through wet granulation to impart good flow ability and compressibility to the mix. Several APIs are also available in directly compressible grades, often coated to impart an additional element of chemical stability. Directly compressible aspirin or ascorbic acid are good examples. The cost of APIs rendered compressible is obviously higher; however, in the long run, it is cheaper to use directly compressible powders.

L. MULTIVARIATE METHODS IN TABLET FORMULATION

The discussion presented demonstrates that a large number of formulation variables inevitably come into play when formulating a solid dosage form; whereas each dosage form has its own focus on overcoming inherent difficulties, the release from solid dosage forms and their desirability make them the most widely studied. Drugs are mostly administered in formulated forms, and tablets account for more than 80% of all pharmaceutical dosage forms administered. The need to prepare an easily administered dose by mouth or other body cavities in a stable form and one that releases the drug on a timely basis has been the longest challenge for the pharmaceutical industry. As a result, tablets contain a large number of excipients, including fillers or diluents, binders or adhesives, disintegrants, lubricants and glidants, colors, flavors, and sweeteners; it might also be necessary to add miscellaneous components such as buffers, depending on the application. What constitutes an ideal combination of these ingredients is of great value to the formulators, since not only do they

have to prepare an effective and stable formulation, but this must be done at the lowest possible cost. This evaluation is best made by using such statistical techniques as multivariate methods.

Multivariate techniques make use of statistical experimental design, especially designs that deal with optimization, where much effort is spent on obtaining detailed knowledge about the investigated domain, which may include the multivariate characterization of the excipients, in terms of both physical and spectral properties, together with principal component analysis (PCA), statistical experimental design in principal properties (PPs), and partial least squares projections to latent structures (PLS) analysis.

Component analysis: An $N \times K$ data matrix consists of N rows and K columns. The samples or objects in the rows are described by measured or calculated variables given in the columns. In a graphical illustration of a data matrix, the objects are a swarm of N points in a coordinate system of K variables. In cases where a number of objects are described by many variables, the variables tend to be correlated to some extent. This is especially true for spectral variables, where a high absorbance at one wavelength is usually accompanied by similar absorbance values at neighboring wavelengths. PCA uses this correlation to describe the variation in the data with a minimum number of orthogonal components. PCA corresponds to the least squares fitting of a straight line ($A=1$) or an A -dimensional hyperplane to the data in the K -dimensional variable space. Objects are projected onto a subspace of lower dimension and receive new identities, t -values, often referred to as PPs or scores. The variation of the objects is summarized in the $(N \times A)$ matrix, which includes a score vector for each component. Score values from two principal components (PCs) together span a mathematical plane, often referred to as a score plot. Objects are projected onto the plane to form a two-dimensional model of the data. This facilitates the detection of groupings, trends, and outliers (deviating objects) in data sets. The process of detecting and diagnosing outliers is important both when fitting and when interpreting the model. An outlier may be an object that does not fit very well into the model, that is, one for which the distance to the model in X is too large to be accepted. Examining the residuals of that particular object will reveal the cause of the deviation. An outlier may, alternatively, be an object that lies far away from other objects in the score plot. Since PCA is a least squares technique, such an outlier may cause one of the PCs to run through it or very close to it, resulting in a skewed model. Such outliers should be removed on identification. PCA models can be calculated using the nonlinear iterative partial least squares (NIPALS) algorithm. The first component explains as much as possible of the variance, the second component is orthogonal to the first and explains as much as possible of the residual variance, and so on. The diversity of PCA applications makes it a very powerful tool in many situations. PCA can be used as a means to discover trends, groupings, and outliers in many types of data, to classify objects, as well as to reduce the number of dimensions and descriptive variables. The features of the PCA model of most interest in any

particular study will depend on the systems being investigated and the purposes of the study.

MSC and SNV: multiplicative scatter correction (MSC) is a method for linearization and scatter correction of near infrared (NIR). It is assumed that the factors affecting physical light scattering of a particular wavelength differ from the chemical factors affecting light absorption. Hence, a corrected spectrum should include only chemical information. In order to normalize the scatter level, an “ideal” sample, often the average of the data set, is used to correct data for each of the samples. The sample spectrum is regressed onto the average in order to calculate the additive offset and the multiplicative constant. MSC should be used carefully, as all the samples influence the correction terms, so a deviating sample could have adverse effects on the corrections. The standard normal variate transformation (SNV) is a method for removing unwanted variation from NIR spectra. In contrast to MSC, the correction is performed on an individual sample basis, thus eliminating the possible negative effects of a deviating sample. One of the drawbacks of using SNV, as well as MSC, is that potentially interesting information regarding the particle size is lost. In cases where a response matrix exists, there are other methods for removing noise from spectra. The concept of orthogonal signal correction (OSC) is a method for removing information in spectra that is not related to the response prior to investigation.

Missing data can be handled by NIPALS. As a rule of thumb, in order to use this approach, there should be five times as many observations in any row or column as the number of dimensions (A) being calculated. The missing values should also be randomly distributed.

Ultravariate characterization is the basis for multivariate design. Descriptive variables that are used to characterize the excipients (for example) may be either physical properties or other variables. Usually, a homogeneous group of constituents are put in the same group and characterized by the same variables; for example, the class of excipients commonly used as lubricants are described using literature data on relevant physical properties. By applying PCA to the descriptive data, the important information is extracted in a few PCs. The PCs are often referred to as *latent variables* or the PPs of the data set. Each excipient is assigned a score value in each PC. Thus, the excipients are compared and related on a continuous scale of PPs, which are assumed to reflect real differences in excipient properties, and greater distances between excipients along the PCs reflect greater differences in behavior.

LI. PHYSICAL PROPERTIES

Physical properties of the excipients (for example, particle size and bulk volume) influence the properties of the tablet. Determining physical properties of excipients demands a systematic approach and may consume substantial resources. To establish an optimal choice of excipients, screening experiments are conducted to gain knowledge about parameters that influence the measured results. The traditional approaches to experimental design are difficult to implement when choosing

factors to use in a screening study investigating more excipients than can possibly be managed in a mixture design. One alternative is to use physical properties as factors (for example, viscosity or some measure of particle size) for each class of excipients. Only a limited number of descriptive variables can be used for each excipient class for a manageable number of experiments. Orthogonal factors can also be difficult to acquire; for example, it would be difficult to find an excipient with both a large mean particle diameter (a high setting in an imaginary design) and high density (also a high setting in such a design). These factors, together with factors such as LOD and particle shape, can clearly make the task of finding excipients representing extreme settings difficult or impossible. Use of a D-optimal selection from a candidate set described in a few variables could be a feasible option. This alternative has not been investigated by the author or reported in the literature. Another alternative is to use qualitative variables. The drawback of this approach is that only a few excipients, that is, levels in the design, can be included before the number of experiments becomes unfeasibly high. Using PPs and multivariate design instead of qualitative factors is a viable alternative if many excipients are to be included in a screening study. In many cases, of course, the resulting model will be less detailed compared with a model derived from a set of experiments where physical properties of one or a few excipients are studied. Nevertheless, it should at least give a good indication of areas in the multivariate domain that should be further explored, which may be sufficient in some cases.

LII. PARTICLE SIZE STUDIES

The particle size of a new drug substance is a critical parameter, as it affects every phase of formulation and its effectiveness. Appropriate particle size is required to achieve optimal dissolution rate in solid dosage forms and control sedimentation and flocculation in suspensions; a small particle size (2–5 μm) is required for inhalation therapy; and content uniformity and compressibility are governed by particle size. As a result, the preformulation studies must develop a specification of particle size as early as possible in the course of studies and develop specifications that need to be adhered to throughout the studies.

Conventional methods of grinding in a mortar or ball milling (where sample quantity is sufficient; generally it is not, and is limited to about 25–100 mg) or micronization techniques are used to reduce the particle size. The method used can have a significant effect on the crystallinity, polymorphic structures (often to amorphous forms), and drug substance stability that can range from discoloration to significant chemical degradation. Changes in polymorphic forms can be determined by performing X-ray powder diffraction (XRPD) before and after milling.

Micronization, where possible, allows increase in the surface area to the maximum, which can impact on the solubility, dissolution, and as a result, bioavailability. Since the aim of most preformulation studies is to determine if a solid dosage form can be administered, knowing that reduction of particle

size, where it changes dissolution rates, can be pivotal in decision making for the selection of dosage forms. In the process of micronization, the drug substance is fed into a confined circular chamber, where it is suspended in a high-velocity stream of air. Interparticulate collisions result in a size reduction. Smaller particles are removed from the chamber by the escaping air stream toward the center of the mill, where they are discharged and collected. Larger particles recirculate until their particle size is reduced. Micronized particles are typically less than 10 μm in diameter. In some instances, micronization can prove counterproductive, where it results in increased aggregation (leading to reduced surface area) or alteration of crystallinity, which must be studied using such methods as microcalorimetry, dynamic vapor sorption (DVS), or inverse gas chromatography (IGC).

The introduction of DVS in 1994 revolutionized the world of gravimetric moisture sorption measurement, bringing outdated time- and labor-intensive desiccator use into the modern world of cutting-edge instrumentation and overnight vapor sorption isotherms. With a resolution down to 0.1 μg , a 1% change in mass of a 10 mg sample on exposure to the humidity-controlled gas flow is both easily discernible and reproducible. DVS is a valued tool for studies related to polymorphism, compound stability, and bulk and surface adsorption effects of water and organic vapors. DVS studies would typically show percent mass increases, but often, a hysteresis loop relationship is observed, where there is crystallization of compound that results in the expelling of excess moisture. This effect can be important in some formulations, such as dry powder inhaler devices, since it can cause agglomeration of the powders and variable flow properties. DVS is a useful study when amorphous forms are involved upon size reduction; in many cases, a low level of amorphous character cannot be detected by techniques such as XRPD; microcalorimetry can detect <10% amorphous content (the limit of detection is 1% or less). The amorphous content of a micronized drug can be determined by measuring the heat output caused by the water vapor inducing crystallization of the amorphous regions.

Excellent instrumentation support and advice is available through Surface Measurement Systems, <http://www.smsuk.co.uk/index.php>, manufacturer of DVS-Advantage and DVS-1000 and 2000 series of equipment for dynamic vapor interaction studies. The DVS-HT represents the first new generation in gravimetric vapor sorption analyzers for more than a decade by Surface Measurement Systems (5 Wharfside, Rosemont Road, Alperton, Middlesex HA0 4PE, United Kingdom).

A. PARTICLE SIZE DISTRIBUTION

Particle size reduction particularly mandates study of particle size distribution studies using such techniques as sieving, optical microscopy in conjunction with image analysis, electron microscopy, the Coulter counter, and laser diffractometers, depending on the anticipated size of the particles. Whereas the size characterization is simple for spherical

particles, study of irregular particles required specialized methods. The Malvern Mastersizer Series (<http://www.malvern.co.uk/home/index.htm>) is an example of an instrument that measures particle size by laser diffraction. The use of this technique is based on light scattered through various angles, which is directly related to the diameter of the particle. Thus, by measuring the angles and intensity of scattered light from the particles, a particle size distribution can be deduced. It should be noted that the particle diameters reported are the same as those that spherical particles would produce under similar conditions. In the former, each particle is treated as spherical and essentially opaque to the impinging laser light.

Two different light scattering methodologies can be used to characterize particles. The classical, also known as “static” or “Rayleigh” scattering or multi-angle light scattering (MALS), provides a direct measure of mass.

Dynamic light scattering (DLS), which is also known as “photon correlation spectroscopy” (PCS) or “quasi-elastic light scattering” (QELS), uses the scattered light to measure the rate of diffusion of the particles. This motion data is conventionally processed to derive a size distribution for the sample, where the size is given by the “Stokes radius” or “hydrodynamic radius” of the protein particle. This hydrodynamic size depends on both mass and shape (conformation). Dynamic scattering is particularly good at sensing the presence of very small amounts of aggregated particles and studying samples containing a very large range of masses. It can be quite valuable for comparing stability of different formulations, including real-time monitoring of changes at elevated temperatures. For submicron materials, particularly colloidal particles, QELS is the preferred technique. Two theories dominate the theory of light scattering: Fraunhofer and Mie. According to Fraunhofer theory, the particles are spherical, nonporous, and opaque; diameter is greater than wavelength; particles are distant enough from each other; there is random motion; and all the particles diffract the light with the same efficiency, regardless of size and shape. The Mie theory takes into account the differences in refractive indices between the particles and the suspending medium. If the diameter of the particles is above 10 μm , then the size produced by using each theory is essentially the same. However, discrepancies may occur when the diameter of the particles approaches that of the wavelength of the laser source.

Although laser light diffraction is a rapid and highly repeatable method of determining the particle size distributions of pharmaceutical powders, the results obtained can be affected by particle shape. Laser light scattering generally reports broader size distribution compared with image analysis. In addition, the refractive index of the particles can introduce an error of 10% under most circumstances and should be accounted for. Another laser-based instrument, relying on light scattering, is the Aerosizer (<https://www.merit.com/en/doscopy/pulmonary/airway-stents/aerosizer/>). The Aerosizer measures particles one at a time in the range of 0.20 to 700 microns. The particles may be in the form of a dry powder or may be sprayed from a liquid suspension as an aerosol. The particles are blown through the system and dispersed in air to

a preset count rate. The Aerosizer operates on the principle of aerodynamic time of flight. The particles are accelerated by a constant, known force due to airflow and are forced through a nozzle at nearly sonic velocity. Smaller particles are accelerated at a greater rate than large particles due to a greater force-to-mass ratio. Two laser beams measure the time of flight through the measurement region by detecting the light scattered by the particles. Statistical methods are used to correlate the start and stop times of each particle in a particular size range (channel) through the measurement zone. The time of flight is used in conjunction with the density of the particles, and calibration curves are established to determine the size distribution of the sample.

LIII. SURFACE AREA

Since the surface area exposed to the site of administration determines how fast a particle dissolves in accordance with the Noyes–Whitney equation, these determinations are important. Also, in those instances where the particle size is difficult to measure, a gross estimation of surface area is the second best parameter to have to characterize the drug. The most common methods of surface area measurement include gas adsorption (nitrogen or krypton) based on what is most commonly described as the Brunauer, Emmett, and Teller (BET) method, applied either as a multipoint or as a single-point determination.

Adsorption is defined as the concentration of gas molecules near the surface of a solid material. The adsorbed gas is called adsorbate and the solid where adsorption takes place is known as the *adsorbent*. Adsorption is a physical phenomenon (usually called physisorption) that occurs in any environmental conditions (pressure and temperature), but only at very low temperature does it become measurable. Thus, physisorption experiments are performed at very low temperature, usually at the boiling temperature of liquid nitrogen at atmospheric pressure. Adsorption takes place because of the presence of an intrinsic surface energy. When a material is exposed to a gas, an attractive force acts between the exposed surface of the solid and the gas molecules. The result of these forces is characterized as physical (or van der Waals) adsorption, in contrast to the stronger chemical attractions associated with chemisorption. The surface area of a solid includes both the external surface and the internal surface of the pores.

Because of the weak bonds involved between gas molecules and the surface (less than 15 KJ/mole), adsorption is a reversible phenomenon. Gas physisorption is considered nonselective, thus filling the surface step by step (or layer by layer) depending on the available solid surface and the relative pressure. Filling the first layer enables the measurement of the surface area of the material, because the amount of gas adsorbed when the monolayer is saturated is proportional to the entire surface area of the sample. The complete adsorption/desorption analysis is called an adsorption isotherm.

Once the isotherm is obtained, a number of calculation models can be applied to different regions of the adsorption

isotherm to evaluate the specific surface area (i.e., BET, Dubinin, Langmuir, etc.) or the micro and mesopore volume and size distributions (i.e., BJH, DH, H&K, S&F, etc.).

The surface area of a solid material is the total surface of the sample that is in contact with the external environment. It is expressed as square meters per gram of dry sample. This parameter is strongly related to the pore size and the pore volume; that is, the larger the pore volume, the larger the surface area, and the smaller the pore size, the higher the surface area. The surface area results from the contribution of the internal surface area of the pores plus the external surface area of the solid or the particles (in the case of powders). Whenever significant porosity is present, the fraction of the external surface area to the total surface area is small.

LIV. POROSITY

Most solid powders contain a certain void volume of empty space. This is distributed within the solid mass in the form of pores, cavities, and cracks of various shapes and sizes. The total sum of the void volume is called the porosity. Porosity strongly determines important physical properties of materials, such as durability, mechanical strength, permeability, adsorption properties, etc. The knowledge of pore structure is an important step in characterizing materials and predicting their behavior.

There are two main and important typologies of pores: closed and open pores. Closed pores are completely isolated from the external surface, not allowing the access of external fluids in either liquid or gaseous phase. Closed pores influence parameters such as density and mechanical and thermal properties. Open pores are connected to the external surface and are therefore accessible to fluids, depending on the nature/size of the pore and the nature of the fluid. Open pores can be further divided into dead-end and interconnected pores. Further classification is related to the pore shape, whenever it is possible to determine it. The characterization of solids in terms of porosity consists in determining the following parameters:

- *Pore size*: Pore dimensions cover a very wide range. Pores are classified according to three main groups depending on the access size:
 - Micropores: less than 2 nm diameter
 - Mesopores: between 2 and 50 nm diameter
 - Macropores: larger than 50 nm diameter
- *Specific pore volume and porosity*: The internal void space in a porous material can be measured. It is generally expressed as a void volume (in cubic centimeters or milliliters) divided by a mass unit (grams).
- *Pore size distribution*: It is generally represented as the relative abundance of the pore volume (as a percentage or a derivative) as a function of the pore size.
- *Bulk density*: Bulk density (or envelope density) is calculated by the ratio between the dry sample mass and the external sample volume.

- *Percentage porosity*: The percentage porosity is represented by the ratio between the total pore volume and the external (envelope) sample volume multiplied by 100.
- *Surface area*: See earlier for discussion.

LV. TRUE DENSITY

Density is the ratio of the mass of an object to its volume, and for solids, this term describes the arrangement of molecules. The study of compaction of powders is described by the Heckel equation. The densities of molecular crystals can be increased by compression. Information about the true density of a powder can be used to predict whether a compound will cream or sediment in a suspension such as a metered dose inhaler (MDI) formulation. Therefore, suspensions of compounds that have a true density less than these figures will cream (rise to the surface), and those that are denser will sediment. It should be noted, however, that the physical stability of a suspension is not merely a function of the true density of the material. The true density is thus a property of the material and is independent of the method of determination. In this respect, the true density can be determined using three methods: displacement of a liquid, displacement of a gas (pycnometry), or flotation in a liquid. Liquid displacement is tedious and tends to underestimate the true density; displacement of a gas is more accurate but needs relatively expensive instrumentation. As an alternative, the flotation method is simple to use and inexpensive.

Gas pycnometry is probably the most commonly used method in the pharmaceutical industry for measuring true density. Gas pycnometers rely on the measurement of pressure changes as a reference volume of gas, typically helium, is added to, or removed from, the test cell.

LVI. FLOW AND COMPACTION OF POWDERS

The flow properties of a powder will determine the nature and quantity of excipients needed to prepare a compressed or powder dosage form. This refers mainly to factors such as ability to process the powder through machines. To make a quick evaluation, the compound is compressed using an infrared (IR) press and die under 10 tons of pressure with variable dwell times, and the resulting tablets are tested with regard to their crushing strength after storing the tablets for about 24 hours. If longer dwell times result in higher crushing strength, then the material is likely plastic; elastic material will show capping at low dwell times; brittle material will not show any effect of dwell times. It is recommended that the compressed tablets be subjected to XRPD to record any changes in the polymorphic forms.

There appears to be a relationship between indentation hardness and the molecular structure of organic materials. However, a prerequisite for predicting indentation hardness is knowledge of the crystal structure. As a result, highly sophisticated computational methods and extensive crystallography libraries have recently become available to study this. For example, the

Pfizer Research relies on the Cambridge Structural Database (CSD) (<http://www.ccdc.cam.ac.uk/>), the world repository of small molecule crystal structures. The CSD is the principal product of the Cambridge Crystallographic Data Centre (CCDC). It is the central focus of the CSD System, which also comprises software for database access, structure visualization and data analysis, and structural knowledge bases derived from the CSD. The CSD records bibliographic, chemical, and crystallographic information for organic molecules and metal-organic compounds whose 3D structures have been determined using X-ray diffraction or neutron diffraction. The CSD records results of single crystal studies and powder diffraction studies which yield 3D atomic coordinate data for at least all non-H atoms. In some cases, the CCDC is unable to obtain coordinates, and incomplete entries are archived to the CSD. The CSD is distributed as part of the CSD System, which includes software for search and information retrieval (ConQuest), structure visualization (Mercury), numerical analysis (Vista), and database creation (PreQuest). The CSD System also incorporates IsoStar, a knowledge base of intermolecular interactions that contains data derived from both the CSD and the Protein Data Bank (PDB). Some of the software listed here are available for free use.

X-ray microtomography, such as that available from Skyscan (<https://www.bruker.com/products/microtomography/micro-ct-for-sample-scanning/skyscan-1272/overview.html>), is used to analyze the effect of compaction on powder particles. It allows for the noninvasive 3D analysis of resulting structures and has shown that the structure may be controlled by the choice of pyrogen and the method of solvent removal. Simple seeding of the substrate surface with drug crystals can be used initially with a view to incorporating more sophisticated substrate polymorph approaches. The Skyscan-1172 represents a new generation in desk-top X-ray micro-computed tomography (CT) scan systems. A novel architecture in which both the sample stage and the X-ray camera are moveable allows an unprecedented combination of image resolution, sample size accommodation, scan speed, and sample throughput. This innovative flexible scanner geometry of the Skyscan-1172 is particularly advantageous over intermediate resolution levels, where scans are around 10 times faster (to obtain the same or better image quality) compared with previous scanners with a fixed source-detector design. The Skyscan-1172 features two X-ray camera options: the high-performance 10 megapixel option and the economy 1.3 megapixel option. The 10 megapixel camera allows the maximum scanning versatility, with an image field width of 68 mm (in dual image camera shift mode) or 35 mm (in standard single camera image mode). A nominal resolution (pixel size) of lower than 1 μm is attainable. A scannable height of around 70 mm allows for either large samples or automatic batch scanning of a column of smaller samples. The system obtains multiple X-ray "shadow" transmission images of the object from different angular views as the object rotates on a high-precision stage. From these shadow images, cross-sectional images of the object are reconstructed by a modified Feldkamp cone-beam algorithm, creating a complete 3D

representation of internal microstructure and density over a selected range of heights in the transmission images. The best micro-CT scan images are obtained from objects in which microstructure coincides with contrast in X-ray absorption of the sample's constituent materials.

LVII. COLOR

The color of a powder sample is used to indicate the presence of solvents, distribution of particle size, and other possible differences in different lots of a new lead compound. In some instances, degradation of drug can be correlated with color changes to such a degree that accurate color measurements can be used as a tool to provide a product specification. The compendia often describe the color of substances but mostly in subjective terms. Historically, the color evaluation has been a subjective measurement; however, newer quantitative measurement systems make this a more objective process. There are two basic methods for measuring the colors of surfaces.

- The first is to imitate the analysis made by the eye in terms of responses to three stimuli. This technique, known as "tristimulus colorimetry," sets out to measure X, Y, and Z directly.
- The second method is to determine reflectance (R) for each wavelength band across the range of the spectrum to which the eye is sensitive and then, to calculate the visual responses by summing products of R and the standard values for distribution of the sensitivity of the three-color responses.

The tristimulus method has theoretical advantages where the materials to be measured are fluorescent, but there are serious practical problems in assuming that a tristimulus colorimeter exactly matches human vision; that is, in eliminating color blindness from the instrument.

Two commonly used types of color measurement equipment are a colorimeter and a spectrophotometer. A tristimulus colorimeter has three main components:

- A source of illumination (usually a lamp functioning at a constant voltage)
- A combination of filters used to modify the energy distribution of the incident/reflected light
- A photoelectric detector that converts the reflected light into an electrical output

Each color has a fingerprint reflectance pattern in the spectrum. The colorimeter measures color through three wide-band filters corresponding to the spectral sensitivity curves. Measurements made on a tristimulus colorimeter are normally comparative, the instrument being standardized on glass or ceramic standards. To achieve the most accurate measurements, it is necessary to use calibrated standards of similar colors to the materials to be measured. This "hitching post" technique enables reasonably accurate tristimulus values to be obtained even when the colorimeter is demonstrably

colorblind. Tristimulus colorimeters are most useful for quick comparison of near-matching colors. They are not very accurate. Large differences are evident between the various instrument manufacturers. However, colorimeters are less expensive than spectrophotometers.

To get a precise measurement of color, it is advisable to use a spectrophotometer. A spectrophotometer measures the reflectance for each wavelength and allows tristimulus values to be calculated. The advantage over tristimulus colorimetry is that adequate information is obtained to calculate color values for any illuminant and that metamerism is automatically detected. Metamerism is a psychophysical phenomenon commonly defined incompletely as "two samples which match when illuminated by a particular light source and then do not match when illuminated by a different light source." In actuality, there are several types of metamerism, of which sample and illuminant metamerism are most common. In sample metamerism, two color samples appear to match under a particular light source and then do not match under a different light source. Illuminant metamerism appears when different light sources illuminate the same sample and differences are revealed. Observer metamerism refers to each individual perceiving color slightly differently. Geometric metamerism arises when identical colors appear different when viewed at different angles, distances, light positions, etc.

In a spectrophotometer, the light is usually split into a spectrum by a prism or a diffraction grating before each wavelength band is selected for measurement. Instruments have also been developed in which narrow bands are selected by interference filters. The spectral resolution of the instrument depends on the narrowness of the bands used for each successive measurement. In theory, a spectrophotometer could be set up to compare reflected light directly with incident light, but it is more usual to calibrate against an opal glass standard that has been calibrated by an internationally recognized laboratory. Checks must also be made on the optical zero, for example, by measurements with a black light trap, because dust or other problems can give rise to stray light in an instrument (which would give false readings). Spectrophotometers contain monochromators and photodiodes that measure the reflectance curve of color every 10 nm or less. The analysis generates typically 30 or more data points, with which a precise color composition can be calculated.

A large number of suppliers provide colorimeters, including a large array of equipment from HunterLab, whose Labscan XE, with a special adapter for small quantities of powders, offers an excellent choice in preformulation work. The instrument has a 3 mm port and requires 0.4 cm³ powder to perform the testing (<http://www.hunterlab.com/>).

LVIII. ELECTROSTATICITY

When subjected to attrition, powders can acquire an electrostatic charge, the intensity of which is often proportional to the physical force applied, as static electrification of two dissimilar materials occurs by the making and breaking of surface contacts (tribo-electrification or friction electrification).

Electrostatic charges are often used to induce adhesive character to bind drugs to carrier systems; for example, glass beads coated with hydroxypropylmethyl cellulose-containing drugs. The net charge on a powder may be either electropositive or electronegative depending on the direction of electron transfer. The mass charge density can vary from 10^{-5} to $100 \mu\text{C}/\text{kg}$ depending on the stress, ranging from gentle sieving to a micronization process. This can be determined using electric detectors to determine polarity as well as the electrostatic field. The electrostaticity results in significant changes in the powder flow properties.

Studies on tribo-electrification and potential charge buildup on equipment and particle surfaces and subsequent adhesion due to static charge often overlook the fact that all materials (whether they have a net surface charge or not) exhibit surface energy forces that are very short range but come into play once surfaces are “touching.” These van der Waals forces are due to the dispersive and polar surface energies inherent in material boundaries. Dry powders with mass-median particle sizes larger than around 100 to $200 \mu\text{m}$ seldom exhibit strong “cohesive” powder behavior, and such powders are usually described as “free flowing.” As particle size decreases, however, the amount of surface area per unit mass increases, and surface energy forces have a greater influence on bulk powder flow characteristics. For contacting particles that are smaller than 2 to $20 \mu\text{m}$, such forces can be strong enough to cause small amounts of plastic deformation on particle surfaces near the points of contact—even with no applied external loads. The bulk behavior of such fine powders can be dominated by their “cohesivity.” It is well known that powders comprised of finer particles are more cohesive, and when very cohesive powders are placed in a rotating drum, they do not usually flow easily, nor do they form a smooth top surface. Instead, cohesive powders build up large overhanging “chunks” that can break off and collapse or cascade in random avalanches onto the material further down the slope. Placing the rotating drum in a centrifuge at an elevated G-level can cause a “nonflowable” cohesive powder to flow.

LIX. CAKING

Powders cake due to agglomeration as a result of factors such as static electricity, hygroscopicity, particle size, impurities of the powder, and storage conditions such as stress temperature, RH, storage time, etc. The mechanisms involved in caking are based on the formation of five types of interparticle bonds: bonding resulting from mechanical tangling, bonding resulting from steric effects, bonds via static electricity, bonds due to free liquid, and bonds due to solid bridges. During the process of micronization, the formation of localized amorphous zones can lead to caking, as these zones are more reactive to the factors described, especially when exposed to moisture; the mechanisms involve moisture sorption due to surface sintering and recrystallization at well below the critical RH. In most instances, increases in RH begin to show some impact at values above 20% , resulting in the most dramatic effects

above 75% to 80% RH for powders that are subject to humidity effects.

LX. POLYMORPHISM

Because polymorphism can have an effect on so many aspects of drug development, it is important to fix the polymorph (usually the stable form) as early as possible in the development cycle. Whereas it is not necessary to create additional solid-state forms by techniques or conditions unrelated to the synthetic process for the purpose of clinical trials, regulatory submission of a thorough study of the effects of solvent, temperature, and possibly pressure on the stability of the solid-state forms is advised. A conclusion that polymorphism does not occur with a compound must be substantiated by crystallization experiments from a range of solvents. This should also include solvents that may be involved in the manufacture of the drug product; for example, during granulation.

While it is hoped that the issue of polymorphism is resolved during prenomination and early development, it can remain a concern when the synthesis of the drug is scaled up into a larger reactor or transferred to another production site. It is not unlikely that a metastable form identified in prenomination may not be reproduced in later batches of product because of some unrecorded conditions in the early phases of development. Related substances, whether identified or not, can significantly alter the predominance of a specific polymorph. To develop a reliable, commercial recrystallization process, the following scheme should be followed in the production of candidate drugs:

1. Selection of solvent system
2. Characterization of the polymorphic forms
3. Optimization of process times, temperature, solvent compositions, etc.
4. Examination of the chemical stability of the drug during processing
5. Manipulation of the polymorphic form, if necessary

Many analytical techniques have been used to quantitate mixtures of polymorphs; for example, XRPD has been used to quantitate the various polymorphs. Assay development requires the creation of calibration curves and validation, which can be a difficult task where mixed polymorphs are present and requires study to confirm that there is no polymorphic transformation during analysis or change in the hydration of crystals, if that is also a concomitant problem. Whereas at the preformulation stage, the dosage form considerations are still developing, there is a need to answer questions such as how a polymorph would change should it be subject to manufacturing equipment stress such as granulation or drying of granules, wet or dry granulation, and compression. In addition to the polymorphism of active drugs, excipients such as magnesium stearate can be present in various polymorphic forms that can significantly alter the behavior of active drug in the formulation stages. Studies using XRPD, IR, or scanning

electron microscopy (SEM) should be used for excipients as well as the active drug.

LXI. STABILITY STUDIES TO SELECT OPTIMAL DRUG AND EXCIPIENT COMBINATIONS

- Rapid screens of salts, solvates, hydrates, polymorphs, and cocrystals
- Large-scale preformulation and formulation studies
- Characterization of polymers, food ingredients, and fine particles
- Process optimization monitoring of surface and bulk chemistry
- QC of incoming raw materials
- Investigation of batch-to-batch variations in material formulations
- At-line process analytical technology (PAT) support of production performance to specifications

Whereas microcalorimetry remains the workhorse of studies, the use of IGC is becoming more popular to determine the changes to drug substance on micronization. IGC differs from traditional gas chromatography insofar as the stationary phase is the powder under investigation. The behavior of pharmaceutical solids, during either processing or use, can be noticeably affected by the surface energetics of the constituent particles. Several techniques exist to measure the surface energy: for example, sessile drop and dynamic contact angle

measurements. IGC is an alternative technique where the powder surface is characterized by the retention behavior of minute quantities of well-characterized vapors that are injected into a column containing the material of interest. Recently published articles using IGC on pharmaceutical powders have ranged from linking surface energetic data with triboelectric charging to study the effect of surface moisture on surface energetics. Molecular modeling has also recently been used to explore the links between IGC data and the structural and chemical factors that influence surface properties, thereby achieving predictive knowledge regarding powder behavior during processing. In this type of study, a range of nonpolar and polar adsorbates (probes) are used: for example, alkanes, from hexane to decane, acetone, diethyl ether, or ethyl acetate. The retention volume, that is, the net volume of carrier gas (nitrogen) required to elute the probe, is then measured.

IGC is a gas-phase technique for characterizing surface and bulk properties of solid materials. The principles of IGC are very simple, being the reverse of a conventional gas chromatography (GC) experiment. A cylindrical column is uniformly packed with the solid material of interest, typically a powder, fiber, or film. A pulse or constant concentration of gas is then injected down the column at a fixed carrier gas flow rate, and the time taken for the pulse or concentration front to elute down the column is measured by a detector. A series of IGC measurements with different gas-phase probe molecules then allows access to a wide range of physicochemical properties of the solid sample.



Taylor & Francis

Taylor & Francis Group

<http://taylorandfrancis.com>

Appendix A

GMP AUDIT TEMPLATE

The Guidelines for cGMP Compliance:

- https://ec.europa.eu/health/sites/health/files/files/eudralex/vol-4/vol4-chap1_2013-01_en.pdf
- https://ec.europa.eu/health/sites/health/files/files/eudralex/vol-4/2014-03_chapter_2.pdf
- https://ec.europa.eu/health/sites/health/files/files/eudralex/vol-4/chapter4_01-2011_en.pdf
- https://ec.europa.eu/health/sites/health/files/files/eudralex/vol-4/2014-11_vol4_chapter_6.pdf
- https://ec.europa.eu/health/sites/health/files/files/eudralex/vol-4/2014-08_gmp_chap8.pdf
- https://ec.europa.eu/health/sites/health/files/files/eudralex/vol-4/pdfs-en/cap9_en.pdf
- https://ec.europa.eu/health/sites/health/files/files/eudralex/vol-4/2014-08_gmp_part1.pdf
- https://ec.europa.eu/health/sites/health/files/files/eudralex/vol-4/2011_site_master_file_en.pdf
- http://www.ema.europa.eu/docs/en_GB/document_library/Scientific_guideline/2009/09/WC500002873.pdf
- http://www.ema.europa.eu/docs/en_GB/document_library/Scientific_guideline/2009/09/WC500002871.pdf
- https://ec.europa.eu/health/sites/health/files/files/eudralex/vol-4/mra_batch-certificate_05-2011.pdf
- https://ec.europa.eu/health/sites/health/files/files/gmp/2013_01_28_template.pdf
- http://www.ema.europa.eu/docs/en_GB/document_library/Scientific_guideline/2014/11/WC500177735.pdf
- [http://eur-lex.europa.eu/legal-content/EN/TXT/PDF/?uri=CELEX:52015XC0321\(02\)&from=EN](http://eur-lex.europa.eu/legal-content/EN/TXT/PDF/?uri=CELEX:52015XC0321(02)&from=EN)
- https://ec.europa.eu/health/sites/health/files/files/eudralex/vol-4/template_imp_batch_certification.docx
- https://ec.europa.eu/health/sites/health/files/files/eudralex/vol-4/2008_11_25_gmp-an1_en.pdf
- https://ec.europa.eu/health/sites/health/files/files/eudralex/vol-4/pdfs-en/2018_annex2_en.pdf
- https://ec.europa.eu/health/sites/health/files/files/eudralex/vol-4/annex11_01-2011_en.pdf
- https://ec.europa.eu/health/sites/health/files/files/eudralex/vol-4/2015-10_annex15.pdf
- https://ec.europa.eu/health/sites/health/files/files/eudralex/vol-4/v4_an16_201510_en.pdf
- https://ec.europa.eu/health/sites/health/files/files/eudralex/vol-4/pdfs-en/2018_annex17_en.pdf
- https://ec.europa.eu/health/sites/health/files/files/eudralex/vol-4/pdfs-en/2005_12_14_annex19_en.pdf
- https://ec.europa.eu/health/sites/health/files/files/eudralex/vol-4/pdfs-en/2018_annex17_en.pdf

		Compliance 1 2 3 ^a	Remarks	EU-Guide
1	PERSONNEL			
1.1	Qualified personnel available?	<input type="checkbox"/> <input type="checkbox"/> <input type="checkbox"/>		2.1
1.2	Organization charts available?	<input type="checkbox"/> <input type="checkbox"/> <input type="checkbox"/>		2.2
1.3	Job descriptions available?	<input type="checkbox"/> <input type="checkbox"/> <input type="checkbox"/>		2.2
1.4	Responsibilities clearly defined?	<input type="checkbox"/> <input type="checkbox"/> <input type="checkbox"/>		2.2
	Key Personnel			
	Responsible persons designated for			
1.5	• Production?	<input type="checkbox"/> <input type="checkbox"/> <input type="checkbox"/>		2.5
1.6	• Quality control?	<input type="checkbox"/> <input type="checkbox"/> <input type="checkbox"/>		2.6
1.7	Are they independent of each other?	<input type="checkbox"/> <input type="checkbox"/> <input type="checkbox"/>		2.3
1.8	Are joint functions clearly defined?	<input type="checkbox"/> <input type="checkbox"/> <input type="checkbox"/>		2.7
1.9	Are the responsible persons working full time?	<input type="checkbox"/> <input type="checkbox"/> <input type="checkbox"/>		2.3
1.10	Do the responsible persons have the appropriate formulation, knowledge, and experience?	<input type="checkbox"/> <input type="checkbox"/> <input type="checkbox"/>		2.1/2.2
1.11	Do the relevant departments have enough personnel?	<input type="checkbox"/> <input type="checkbox"/> <input type="checkbox"/>		2.10
	Training			
1.12	Continuous training programs for the production and QC staff?	<input type="checkbox"/> <input type="checkbox"/> <input type="checkbox"/>		2.8
1.13	Initial job training for all employees?	<input type="checkbox"/> <input type="checkbox"/> <input type="checkbox"/>		2.9
1.14	Teaching aids (videos, slides, and brochures) available?	<input type="checkbox"/> <input type="checkbox"/> <input type="checkbox"/>		2.9
1.15	External training courses for the staff?	<input type="checkbox"/> <input type="checkbox"/> <input type="checkbox"/>		2.9
1.16	Training records?	<input type="checkbox"/> <input type="checkbox"/> <input type="checkbox"/>		2.9
1.17	Special training in sensitive areas (sterile production and toxic substances)?	<input type="checkbox"/> <input type="checkbox"/> <input type="checkbox"/>		2.10
1.18	Information for visitors to the manufacturing area?	<input type="checkbox"/> <input type="checkbox"/> <input type="checkbox"/>		2.11
2	HYGIENE			
	Personnel Hygiene			
	Detailed written hygiene programs for			
2.1	• Clothing?	<input type="checkbox"/> <input type="checkbox"/> <input type="checkbox"/>		2.13
2.2	• Use of washrooms?	<input type="checkbox"/> <input type="checkbox"/> <input type="checkbox"/>		2.13
2.3	• Behavior in production areas?	<input type="checkbox"/> <input type="checkbox"/> <input type="checkbox"/>		2.13
2.4	Precautions against sick personnel or personnel with open wounds in production?	<input type="checkbox"/> <input type="checkbox"/> <input type="checkbox"/>		2.14
	Medical examination:			
2.5	• On recruitment?	<input type="checkbox"/> <input type="checkbox"/> <input type="checkbox"/>		2.15
2.6	• Regular reexaminations?	<input type="checkbox"/> <input type="checkbox"/> <input type="checkbox"/>		2.15
	Duty of notification after			
2.7	• Trips to tropical countries?	<input type="checkbox"/> <input type="checkbox"/> <input type="checkbox"/>		2.15
2.8	• Cases of contagious illness in the family?	<input type="checkbox"/> <input type="checkbox"/> <input type="checkbox"/>		2.15
2.9	Instructions for appropriate working clothes?	<input type="checkbox"/> <input type="checkbox"/> <input type="checkbox"/>		2.16
2.10	Absence of food and drink (chewing gum!) in the working area?	<input type="checkbox"/> <input type="checkbox"/> <input type="checkbox"/>		2.17
2.11	Measures against contact with open product (gloves etc.)?	<input type="checkbox"/> <input type="checkbox"/> <input type="checkbox"/>		2.18
2.12	Instructions for hand washing in production?	<input type="checkbox"/> <input type="checkbox"/> <input type="checkbox"/>		2.19
2.13	Change of clothes when entering and leaving the production area?	<input type="checkbox"/> <input type="checkbox"/> <input type="checkbox"/>		5.19
2.14	Change rooms and toilets easily within reach?	<input type="checkbox"/> <input type="checkbox"/> <input type="checkbox"/>		3.31
2.15	Toilets and restrooms sufficiently separated from production areas?	<input type="checkbox"/> <input type="checkbox"/> <input type="checkbox"/>		3.30/3.31
2.16	Workshops separate from production areas?	<input type="checkbox"/> <input type="checkbox"/> <input type="checkbox"/>		3.32
2.17	Laboratory animal rooms totally segregated from production rooms?	<input type="checkbox"/> <input type="checkbox"/> <input type="checkbox"/>		3.33
3	WAREHOUSE			
	Rooms, General			
3.1	Suitable for the intended use?	<input type="checkbox"/> <input type="checkbox"/> <input type="checkbox"/>		3
3.2	• Adequate size?	<input type="checkbox"/> <input type="checkbox"/> <input type="checkbox"/>		3
3.3	• Clean?	<input type="checkbox"/> <input type="checkbox"/> <input type="checkbox"/>		3
3.4	Located and designed to exclude external contamination?	<input type="checkbox"/> <input type="checkbox"/> <input type="checkbox"/>		3.1
3.5	Appropriate level of maintenance?	<input type="checkbox"/> <input type="checkbox"/> <input type="checkbox"/>		3.2
3.6	Maintenance works possible without contamination risk?	<input type="checkbox"/> <input type="checkbox"/> <input type="checkbox"/>		3.2

(Continued)

		Compliance 1 2 3 ^a	Remarks	EU-Guide
3.7	Appropriate lighting and air-conditioning?	<input type="checkbox"/> <input type="checkbox"/> <input type="checkbox"/>		3.3
3.8	Recording of temperature and humidity?	<input type="checkbox"/> <input type="checkbox"/> <input type="checkbox"/>		3.3
3.9	Protection against the entry of insects or other animals?	<input type="checkbox"/> <input type="checkbox"/> <input type="checkbox"/>		3.4
3.10	Controlled access for authorized personnel only?	<input type="checkbox"/> <input type="checkbox"/> <input type="checkbox"/>		3.5
	Rooms, Special Requirements			
	Type of warehousing:			
3.11	Separation of goods sufficient?	<input type="checkbox"/> <input type="checkbox"/> <input type="checkbox"/>		3.18
3.12	Provision for different storage temperatures?	<input type="checkbox"/> <input type="checkbox"/> <input type="checkbox"/>		3.19
3.13	Goods receiving zone weather protected?	<input type="checkbox"/> <input type="checkbox"/> <input type="checkbox"/>		3.20
3.14	Cleaning zone for incoming goods?	<input type="checkbox"/> <input type="checkbox"/> <input type="checkbox"/>		3.20
3.15	Separate quarantine area with controlled access?	<input type="checkbox"/> <input type="checkbox"/> <input type="checkbox"/>		3.21
3.16	Separate, protected sampling area?	<input type="checkbox"/> <input type="checkbox"/> <input type="checkbox"/>		3.22
	Separate and safe storage of			
3.17	• Returned goods?	<input type="checkbox"/> <input type="checkbox"/> <input type="checkbox"/>		3.23
3.18	• Rejected goods?	<input type="checkbox"/> <input type="checkbox"/> <input type="checkbox"/>		3.23
3.19	Separate and safe storage of highly active, toxic, or dangerous substances?	<input type="checkbox"/> <input type="checkbox"/> <input type="checkbox"/>		3.24
3.20	Safe storage of narcotics?	<input type="checkbox"/> <input type="checkbox"/> <input type="checkbox"/>		3.24
3.21	Safe storage of printed packaging materials?	<input type="checkbox"/> <input type="checkbox"/> <input type="checkbox"/>		3.25
3.22	Security measurements against theft?	<input type="checkbox"/> <input type="checkbox"/> <input type="checkbox"/>		3.25
3.23	Smoke detectors?	<input type="checkbox"/> <input type="checkbox"/> <input type="checkbox"/>		3.25
3.24	Fire extinguishing system?	<input type="checkbox"/> <input type="checkbox"/> <input type="checkbox"/>		3.25
	Operations			
3.25	Reception, sampling, and labeling according to written procedures?	<input type="checkbox"/> <input type="checkbox"/> <input type="checkbox"/>		5.2
3.26	Is a sampling plan available?	<input type="checkbox"/> <input type="checkbox"/> <input type="checkbox"/>		Suppl. 4
3.27	Cleaning of incoming containers?	<input type="checkbox"/> <input type="checkbox"/> <input type="checkbox"/>		5.3
3.28	Investigation and recording of damaged deliveries?	<input type="checkbox"/> <input type="checkbox"/> <input type="checkbox"/>		5.4
3.29	First In First Out (FIFO) principle?	<input type="checkbox"/> <input type="checkbox"/> <input type="checkbox"/>		5.7
3.30	Inventory system?	<input type="checkbox"/> <input type="checkbox"/> <input type="checkbox"/>		5.8
3.31	Can the location of materials be detected at all times?	<input type="checkbox"/> <input type="checkbox"/> <input type="checkbox"/>		
3.32	Incoming goods: containers and seals intact?	<input type="checkbox"/> <input type="checkbox"/> <input type="checkbox"/>		5.27
3.33	Incoming goods: conformity with bill of delivery?	<input type="checkbox"/> <input type="checkbox"/> <input type="checkbox"/>		5.27
	Labeling of incoming containers with			
3.34	• Internal name and code?	<input type="checkbox"/> <input type="checkbox"/> <input type="checkbox"/>		5.29
3.35	• Allocated batch number?	<input type="checkbox"/> <input type="checkbox"/> <input type="checkbox"/>		5.29
3.36	• Quarantine status?	<input type="checkbox"/> <input type="checkbox"/> <input type="checkbox"/>		5.29
3.37	• Expiry date or reanalysis date?	<input type="checkbox"/> <input type="checkbox"/> <input type="checkbox"/>		5.29
3.38	Identity test for each incoming container?	<input type="checkbox"/> <input type="checkbox"/> <input type="checkbox"/>		5.29
3.39	Are the sampled containers marked?	<input type="checkbox"/> <input type="checkbox"/> <input type="checkbox"/>		5.30
3.40	Are reference samples taken?	<input type="checkbox"/> <input type="checkbox"/> <input type="checkbox"/>		5.30
3.41	Safe storage of printed packaging materials?	<input type="checkbox"/> <input type="checkbox"/> <input type="checkbox"/>		5.41
3.42	Lot tracing of all packaging materials possible?	<input type="checkbox"/> <input type="checkbox"/> <input type="checkbox"/>		5.42
3.43	Are excessive packaging materials destroyed?	<input type="checkbox"/> <input type="checkbox"/> <input type="checkbox"/>		5.43
	Release of starting materials by physical/inventory checks on raw materials, packaging materials, and finished goods:			
Item	Stocks: Physical	Stocks: Inventory		Storage conditions

(Continued)

		Compliance 1 2 3 ^a	Remarks	EU-Guide
4	DISPENSING/ASSEMBLING			
	Rooms, General			
4.1	Suitable for the intended use?	<input type="checkbox"/> <input type="checkbox"/> <input type="checkbox"/>		3
4.2	• Adequate size?	<input type="checkbox"/> <input type="checkbox"/> <input type="checkbox"/>		3
4.3	• Clean?	<input type="checkbox"/> <input type="checkbox"/> <input type="checkbox"/>		3
4.4	Located and designed to exclude external contamination?	<input type="checkbox"/> <input type="checkbox"/> <input type="checkbox"/>		3.1
4.5	Appropriate level of maintenance?	<input type="checkbox"/> <input type="checkbox"/> <input type="checkbox"/>		3.2
4.6	Maintenance works possible without contamination risk?	<input type="checkbox"/> <input type="checkbox"/> <input type="checkbox"/>		3.2
4.7	Appropriate lighting and air-conditioning?	<input type="checkbox"/> <input type="checkbox"/> <input type="checkbox"/>		3.3
4.8	Recording of temperature and humidity?	<input type="checkbox"/> <input type="checkbox"/> <input type="checkbox"/>		
4.9	Protection against the entry of insects or other animals?	<input type="checkbox"/> <input type="checkbox"/> <input type="checkbox"/>		3.4
4.10	Controlled access for authorized personnel only?	<input type="checkbox"/> <input type="checkbox"/> <input type="checkbox"/>		3.5
	Rooms, Special Requirements			
4.11	Segregated from production and warehouse?	<input type="checkbox"/> <input type="checkbox"/> <input type="checkbox"/>		3.13
4.12	Separate weighing cabins?	<input type="checkbox"/> <input type="checkbox"/> <input type="checkbox"/>		3.13
4.13	Separate air handling unit (AHU) for each cabin?	<input type="checkbox"/> <input type="checkbox"/> <input type="checkbox"/>		3.12
	Air pressure gradient from weighing cabin → corridor:			3.3
4.14	Dust extraction systems available?	<input type="checkbox"/> <input type="checkbox"/> <input type="checkbox"/>		5.11
	Operations			
4.15	Balances regularly calibrated?	<input type="checkbox"/> <input type="checkbox"/> <input type="checkbox"/>		3.41
4.16	Only pharmaceutical raw materials in this area?	<input type="checkbox"/> <input type="checkbox"/> <input type="checkbox"/>		5.17
4.17	Check on remains from previous materials before entering of new materials into a weighing cabin?	<input type="checkbox"/> <input type="checkbox"/> <input type="checkbox"/>		5.9/5.35
4.18	Only one material in one cabin?	<input type="checkbox"/> <input type="checkbox"/> <input type="checkbox"/>		5.9
4.19	Are dispensed materials correctly labeled?	<input type="checkbox"/> <input type="checkbox"/> <input type="checkbox"/>		5.29
4.20	Only released products in the dispensing?	<input type="checkbox"/> <input type="checkbox"/> <input type="checkbox"/>		5.31
4.21	Cleaning SOPs for the dispensing?	<input type="checkbox"/> <input type="checkbox"/> <input type="checkbox"/>		4.28
4.22	Previously dispensed material recorded on weighing protocol?	<input type="checkbox"/> <input type="checkbox"/> <input type="checkbox"/>		4.8
4.23	Safety measures against mix-ups during assembling (e.g., cage pallets)?	<input type="checkbox"/> <input type="checkbox"/> <input type="checkbox"/>		5.32/5.34
5	SOLIDS MANUFACTURING			
	Field of activity:			
	• Granulation	<input type="checkbox"/>		
	• Compression	<input type="checkbox"/>		
	• Encapsulation	<input type="checkbox"/>		
	• Film and sugar coating	<input type="checkbox"/>		
	• Visual inspection (capsules, tablets, etc.)	<input type="checkbox"/>		
	• Premix (human)	<input type="checkbox"/>		
	Rooms, General			
5.1	Suitable for the intended use?	<input type="checkbox"/> <input type="checkbox"/> <input type="checkbox"/>		3
5.2	• Adequate size?	<input type="checkbox"/> <input type="checkbox"/> <input type="checkbox"/>		3
5.3	• Clean?	<input type="checkbox"/> <input type="checkbox"/> <input type="checkbox"/>		3
5.4	Located and designed to exclude external contamination?	<input type="checkbox"/> <input type="checkbox"/> <input type="checkbox"/>		3.1
5.5	Appropriate level of maintenance?	<input type="checkbox"/> <input type="checkbox"/> <input type="checkbox"/>		3.2
5.6	Maintenance works possible without contamination risk?	<input type="checkbox"/> <input type="checkbox"/> <input type="checkbox"/>		3.2
5.7	Appropriate lighting and air-conditioning?	<input type="checkbox"/> <input type="checkbox"/> <input type="checkbox"/>		3.3
5.8	Recording of temperature and humidity?	<input type="checkbox"/> <input type="checkbox"/> <input type="checkbox"/>		
5.9	Protection against the entry of insects or other animals?	<input type="checkbox"/> <input type="checkbox"/> <input type="checkbox"/>		3.4
5.10	Controlled access for authorized personnel only?	<input type="checkbox"/> <input type="checkbox"/> <input type="checkbox"/>		3.5
	Rooms, Special Requirements			
5.11	Separate manufacturing area for penicillins/cephalosporins or highly sensitizing substances?	<input type="checkbox"/> <input type="checkbox"/> <input type="checkbox"/>		3.6
5.12	Only for processing of pharmaceuticals?	<input type="checkbox"/> <input type="checkbox"/> <input type="checkbox"/>		3.6
5.13	Logical flow of materials?	<input type="checkbox"/> <input type="checkbox"/> <input type="checkbox"/>		3.7
5.14	Walls, floors, and ceilings: smooth surface and free of cracks?	<input type="checkbox"/> <input type="checkbox"/> <input type="checkbox"/>		3.8
5.15	Easy cleaning possible?	<input type="checkbox"/> <input type="checkbox"/> <input type="checkbox"/>		3.10
5.16	Adequate drains with traps and grilles?	<input type="checkbox"/> <input type="checkbox"/> <input type="checkbox"/>		3.11

(Continued)

		Compliance 1 2 3 ^a	Remarks	EU-Guide
5.17	Appropriate air-handling system? Air pressure gradient from working bay → corridor: Classification according to EC guide?	<input type="checkbox"/> <input type="checkbox"/> <input type="checkbox"/>		3.12
5.18	Appropriate dust extraction system?	<input type="checkbox"/> <input type="checkbox"/> <input type="checkbox"/>		3.14
5.19	Appropriate lighting?	<input type="checkbox"/> <input type="checkbox"/> <input type="checkbox"/>		3.16
5.20	Separate rest rooms?	<input type="checkbox"/> <input type="checkbox"/> <input type="checkbox"/>		3.30
5.21	Changing rooms designed to avoid contamination?	<input type="checkbox"/> <input type="checkbox"/> <input type="checkbox"/>		3.31
5.22	Toilets segregated from manufacturing areas?	<input type="checkbox"/> <input type="checkbox"/> <input type="checkbox"/>		3.31
	Equipment			
5.23	Suitable for the intended use?	<input type="checkbox"/> <input type="checkbox"/> <input type="checkbox"/>		3.34
5.24	Well maintained?	<input type="checkbox"/> <input type="checkbox"/> <input type="checkbox"/>		3.34
5.25	Written and validated cleaning procedures?	<input type="checkbox"/> <input type="checkbox"/> <input type="checkbox"/>		3.36
5.26	Maintenance without contamination risk (separate area)?	<input type="checkbox"/> <input type="checkbox"/> <input type="checkbox"/>		3.35
5.27	Equipment in contact with product: suitable materials quality?	<input type="checkbox"/> <input type="checkbox"/> <input type="checkbox"/>		3.39
5.28	Machinery equipped with measuring and control devices?	<input type="checkbox"/> <input type="checkbox"/> <input type="checkbox"/>		3.4
5.29	Calibration at fixed intervals according to written procedures?	<input type="checkbox"/> <input type="checkbox"/> <input type="checkbox"/>		3.41
5.30	Calibration records available?	<input type="checkbox"/> <input type="checkbox"/> <input type="checkbox"/>		3.41
5.31	Contents and flow direction marked on pipes?	<input type="checkbox"/> <input type="checkbox"/> <input type="checkbox"/>		3.42
5.32	Pipes for distilled and demineralized water regularly monitored and sanitized?	<input type="checkbox"/> <input type="checkbox"/> <input type="checkbox"/>		3.43
5.33	Not functioning equipment in the production area (if yes: clearly marked)?	Y N		3.44
5.34	Status of cleanliness indicated?	<input type="checkbox"/> <input type="checkbox"/> <input type="checkbox"/>		5.13
5.35	Previous product indicated?	<input type="checkbox"/> <input type="checkbox"/> <input type="checkbox"/>		5.13
	Operations			
5.36	Are written and validated procedures for all manufacturing steps available?	<input type="checkbox"/> <input type="checkbox"/> <input type="checkbox"/>		5.2
5.37	Are all manufacturing steps recorded with actual parameters?	<input type="checkbox"/> <input type="checkbox"/> <input type="checkbox"/>		5.2
5.38	Check of each single container of the starting materials (contents, weight, and identity)?	<input type="checkbox"/> <input type="checkbox"/> <input type="checkbox"/>		5.3
5.39	Limits for yields?	<input type="checkbox"/> <input type="checkbox"/> <input type="checkbox"/>		5.8
5.40	Only one batch of one product processed?	<input type="checkbox"/> <input type="checkbox"/> <input type="checkbox"/>		5.9
5.41	Protection against microbial contamination?	<input type="checkbox"/> <input type="checkbox"/> <input type="checkbox"/>		5.10
5.42	Appropriate measures against generation of dust (e.g., closed systems)? Correct labeling of containers, materials, equipment, and rooms with	<input type="checkbox"/> <input type="checkbox"/> <input type="checkbox"/>		5.11 5.12
5.43	• Product name and batch no?	<input type="checkbox"/> <input type="checkbox"/> <input type="checkbox"/>		5.12
5.44	• Quarantine status?	<input type="checkbox"/> <input type="checkbox"/> <input type="checkbox"/>		5.12
5.45	Deviations from standard procedures recorded and signed by the supervisor?	<input type="checkbox"/> <input type="checkbox"/> <input type="checkbox"/>		5.14
5.46	Special procedures for the production of antibiotics, hormones, etc.?	<input type="checkbox"/> <input type="checkbox"/> <input type="checkbox"/>		5.19
5.47	• Campaign production?	<input type="checkbox"/> <input type="checkbox"/> <input type="checkbox"/>		5.19
5.48	• Special monitoring?	<input type="checkbox"/> <input type="checkbox"/> <input type="checkbox"/>		5.19
5.49	• Validated decontamination procedure?	<input type="checkbox"/> <input type="checkbox"/> <input type="checkbox"/>		5.19
5.50	Double check on weight?	<input type="checkbox"/> <input type="checkbox"/> <input type="checkbox"/>		5.34
5.51	Line clearance before start of production?	<input type="checkbox"/> <input type="checkbox"/> <input type="checkbox"/>		5.35
5.52	Investigation of deviations in yields?	<input type="checkbox"/> <input type="checkbox"/> <input type="checkbox"/>		5.39
5.53	Validated procedures for reworking of rejected batches?	<input type="checkbox"/> <input type="checkbox"/> <input type="checkbox"/>		5.62
5.54	Detailed procedures for the addition of previous batches?	<input type="checkbox"/> <input type="checkbox"/> <input type="checkbox"/>		5.63
5.55	Special release procedure (QA) for those batches?	<input type="checkbox"/> <input type="checkbox"/> <input type="checkbox"/>		5.64
5.56	Use of protective clothing (hair cover, shoes, masks, and gloves)?	<input type="checkbox"/> <input type="checkbox"/> <input type="checkbox"/>		2.16
5.57	Clothing regulation for visitors?	<input type="checkbox"/> <input type="checkbox"/> <input type="checkbox"/>		2.11
	In-Process Control (IPC)			5.38
	Who performs IPC?			
5.58	Are IPC methods approved by QC? Performance of IPCs:	<input type="checkbox"/> <input type="checkbox"/> <input type="checkbox"/> During start-up?	Frequency	6.18 Automatic data recording?
		Yes No		Yes No

(Continued)

		Compliance 1 2 3 ^a	Remarks	EU-Guide
	Tablets/Kernels			
5.59	Individual weights	<input type="checkbox"/> <input type="checkbox"/> <input type="checkbox"/>		
5.60	Disintegration	<input type="checkbox"/> <input type="checkbox"/> <input type="checkbox"/>		
5.61	Thickness	<input type="checkbox"/> <input type="checkbox"/> <input type="checkbox"/>		
5.62	Hardness	<input type="checkbox"/> <input type="checkbox"/> <input type="checkbox"/>		
5.63	Friability/Abrasion	<input type="checkbox"/> <input type="checkbox"/> <input type="checkbox"/>		
	Sugar-/Film-Coated Tablets			
5.64	Weights	<input type="checkbox"/> <input type="checkbox"/> <input type="checkbox"/>		
5.65	Disintegration	<input type="checkbox"/> <input type="checkbox"/> <input type="checkbox"/>		
5.66	Residual absolute humidity	<input type="checkbox"/> <input type="checkbox"/> <input type="checkbox"/>		
	Capsules			
5.67	Individual weights	<input type="checkbox"/> <input type="checkbox"/> <input type="checkbox"/>		
5.68	Disintegration	<input type="checkbox"/> <input type="checkbox"/> <input type="checkbox"/>		
	Validation			
5.69	Validation according to fixed procedures?	<input type="checkbox"/> <input type="checkbox"/> <input type="checkbox"/>		5.21
5.70	New procedures released only after validation?	<input type="checkbox"/> <input type="checkbox"/> <input type="checkbox"/>		5.22
	Validation of changes of			
5.71	• Processes?	<input type="checkbox"/> <input type="checkbox"/> <input type="checkbox"/>		5.23
5.72	• Starting materials?	<input type="checkbox"/> <input type="checkbox"/> <input type="checkbox"/>		5.23
5.73	• Equipment?	<input type="checkbox"/> <input type="checkbox"/> <input type="checkbox"/>		5.23
5.74	Revalidation at fixed intervals?	<input type="checkbox"/> <input type="checkbox"/> <input type="checkbox"/>		5.24
5.75	Procedures for the retrospective validation of old procedures?	<input type="checkbox"/> <input type="checkbox"/> <input type="checkbox"/>		
6	LIQUIDS MANUFACTURING			
	Operations carried out:			
	• Dispensing (if different from solid)	<input type="checkbox"/> <input type="checkbox"/> <input type="checkbox"/>		
	• Syrups and suspensions	<input type="checkbox"/> <input type="checkbox"/> <input type="checkbox"/>		
	• Drops	<input type="checkbox"/> <input type="checkbox"/> <input type="checkbox"/>		
	• Ointment manufacture	<input type="checkbox"/> <input type="checkbox"/> <input type="checkbox"/>		
	• Ointment filling	<input type="checkbox"/> <input type="checkbox"/> <input type="checkbox"/>		
	• Ampoule solution manufacture	<input type="checkbox"/> <input type="checkbox"/> <input type="checkbox"/>		
	• Sterile or aseptic ampoule filling	<input type="checkbox"/> <input type="checkbox"/> <input type="checkbox"/>		
	• Sterile freeze drying	<input type="checkbox"/> <input type="checkbox"/> <input type="checkbox"/>		
	• Sterile powder filling	<input type="checkbox"/> <input type="checkbox"/> <input type="checkbox"/>		
	Rooms, General			
6.1	Suitable for the intended use?	<input type="checkbox"/> <input type="checkbox"/> <input type="checkbox"/>		3
6.2	• Adequate size?	<input type="checkbox"/> <input type="checkbox"/> <input type="checkbox"/>		3
6.3	• Clean?	<input type="checkbox"/> <input type="checkbox"/> <input type="checkbox"/>		3
6.4	Located and designed to exclude external contamination?	<input type="checkbox"/> <input type="checkbox"/> <input type="checkbox"/>		3.1
6.5	Appropriate level of maintenance?	<input type="checkbox"/> <input type="checkbox"/> <input type="checkbox"/>		3.2
6.6	Maintenance works possible without contamination risk?	<input type="checkbox"/> <input type="checkbox"/> <input type="checkbox"/>		3.2
6.7	Appropriate lighting and air-conditioning?	<input type="checkbox"/> <input type="checkbox"/> <input type="checkbox"/>		3.3
6.8	Recording of temperature and humidity?	<input type="checkbox"/> <input type="checkbox"/> <input type="checkbox"/>		
6.9	Protection against the entry of insects or other animals?	<input type="checkbox"/> <input type="checkbox"/> <input type="checkbox"/>		3.4
6.10	Controlled access for authorized personnel only?	<input type="checkbox"/> <input type="checkbox"/> <input type="checkbox"/>		3.5
	Rooms, Special Requirements			
6.11	Separate manufacturing area for penicillins/cephalosporins or highly sensitizing substances?	<input type="checkbox"/> <input type="checkbox"/> <input type="checkbox"/>		3.6
6.12	Only for processing of pharmaceuticals?	<input type="checkbox"/> <input type="checkbox"/> <input type="checkbox"/>		3.6
6.13	Logical flow of materials?	<input type="checkbox"/> <input type="checkbox"/> <input type="checkbox"/>		3.7
6.14	Walls, floors, and ceilings: smooth surface and free of cracks?	<input type="checkbox"/> <input type="checkbox"/> <input type="checkbox"/>		3.8
6.15	Easy cleaning possible?	<input type="checkbox"/> <input type="checkbox"/> <input type="checkbox"/>		3.10
6.16	Adequate drains with traps and grilles?	<input type="checkbox"/> <input type="checkbox"/> <input type="checkbox"/>		3.11
6.17	Appropriate air-handling system with filtered air where open products are exposed to the environment?	<input type="checkbox"/> <input type="checkbox"/> <input type="checkbox"/>		3.12
	Air pressure gradient from working bay → corridor:			
	Classification according to EC guide?			
6.18	Appropriate lighting?	<input type="checkbox"/> <input type="checkbox"/> <input type="checkbox"/>		3.16
6.19	Separate rest rooms?	<input type="checkbox"/> <input type="checkbox"/> <input type="checkbox"/>		3.30

(Continued)

		Compliance 1 2 3 ^a	Remarks	EU-Guide
6.20	Changing rooms designed to avoid contamination?	<input type="checkbox"/> <input type="checkbox"/> <input type="checkbox"/>		3.31
6.21	Toilets segregated from manufacturing areas?	<input type="checkbox"/> <input type="checkbox"/> <input type="checkbox"/>		3.31
	Equipment			
6.22	Suitable for the intended use?	<input type="checkbox"/> <input type="checkbox"/> <input type="checkbox"/>		3.34
6.23	Well maintained?	<input type="checkbox"/> <input type="checkbox"/> <input type="checkbox"/>		3.34
6.24	Tanks, containers, pipework, and pumps designed for easy cleaning and sanitation (dead legs!)?	<input type="checkbox"/> <input type="checkbox"/> <input type="checkbox"/>		Suppl. 2
6.25	Written and validated cleaning procedures?	<input type="checkbox"/> <input type="checkbox"/> <input type="checkbox"/>		3.36
6.26	Maintenance without contamination risk (separate area)?	<input type="checkbox"/> <input type="checkbox"/> <input type="checkbox"/>		3.35
6.27	Equipment in contact with product: suitable materials quality?	<input type="checkbox"/> <input type="checkbox"/> <input type="checkbox"/>		3.39
6.28	Machinery equipped with measuring and control devices?	<input type="checkbox"/> <input type="checkbox"/> <input type="checkbox"/>		3.40
6.29	Calibration at fixed intervals according to written procedures?	<input type="checkbox"/> <input type="checkbox"/> <input type="checkbox"/>		3.41
6.30	Calibration records available?	<input type="checkbox"/> <input type="checkbox"/> <input type="checkbox"/>		3.41
6.31	Contents and flow direction marked on pipes?	<input type="checkbox"/> <input type="checkbox"/> <input type="checkbox"/>		3.42
6.32	Pipes for distilled and demineralized water regularly monitored and sanitized?	<input type="checkbox"/> <input type="checkbox"/> <input type="checkbox"/>		3.43
6.33	Not functioning equipment in the production area (if yes: clearly marked)?	Y N		3.44
6.34	Status of cleanliness indicated?	<input type="checkbox"/> <input type="checkbox"/> <input type="checkbox"/>		5.13
6.35	Previous product indicated?	<input type="checkbox"/> <input type="checkbox"/> <input type="checkbox"/>		5.13
	Operations			
6.36	Are written and validated procedures for all manufacturing steps available?	<input type="checkbox"/> <input type="checkbox"/> <input type="checkbox"/>		5.2
6.37	Are all manufacturing steps recorded with actual parameters?	<input type="checkbox"/> <input type="checkbox"/> <input type="checkbox"/>		5.2
6.38	Check of each single container of the starting materials (contents, weight, and identity)?	<input type="checkbox"/> <input type="checkbox"/> <input type="checkbox"/>		5.3
6.39	Limits for yields?	<input type="checkbox"/> <input type="checkbox"/> <input type="checkbox"/>		5.8
6.40	Only one batch of one product processed?	<input type="checkbox"/> <input type="checkbox"/> <input type="checkbox"/>		5.9
6.41	Protection against microbial contamination?	<input type="checkbox"/> <input type="checkbox"/> <input type="checkbox"/>		5.10
	Correct labeling of containers, materials, equipment, and rooms with?			5.12
6.42	• Product name and batch no.?	<input type="checkbox"/> <input type="checkbox"/> <input type="checkbox"/>		5.12
6.43	• Quarantine status?	<input type="checkbox"/> <input type="checkbox"/> <input type="checkbox"/>		5.12
6.44	Deviations from standard procedures recorded and signed by the supervisor?	<input type="checkbox"/> <input type="checkbox"/> <input type="checkbox"/>		5.14
6.45	Special procedures for the production of antibiotics, hormones, etc.?	<input type="checkbox"/> <input type="checkbox"/> <input type="checkbox"/>		5.19
6.46	• Campaign production?	<input type="checkbox"/> <input type="checkbox"/> <input type="checkbox"/>		5.19
6.47	• Special monitoring?	<input type="checkbox"/> <input type="checkbox"/> <input type="checkbox"/>		5.19
6.48	• Validated decontamination procedure?	<input type="checkbox"/> <input type="checkbox"/> <input type="checkbox"/>		5.19
6.49	Double check on weight?	<input type="checkbox"/> <input type="checkbox"/> <input type="checkbox"/>		5.34
6.5	Line clearance before start of production?	<input type="checkbox"/> <input type="checkbox"/> <input type="checkbox"/>		5.35
6.51	Investigation of deviations in yields?	<input type="checkbox"/> <input type="checkbox"/> <input type="checkbox"/>		5.39
6.52	Specification of maximum storage time and storage conditions if products are not immediately filled or packaged?	<input type="checkbox"/> <input type="checkbox"/> <input type="checkbox"/>		Suppl. 9
6.53	Validated procedures for reworking of rejected batches?	<input type="checkbox"/> <input type="checkbox"/> <input type="checkbox"/>		5.62
6.54	Detailed procedures for the addition of previous batches?	<input type="checkbox"/> <input type="checkbox"/> <input type="checkbox"/>		5.63
6.55	Special release procedure (QA) for those batches?	<input type="checkbox"/> <input type="checkbox"/> <input type="checkbox"/>		5.64
6.56	Use of protective clothing (hair cover, shoes, masks, and gloves)?	<input type="checkbox"/> <input type="checkbox"/> <input type="checkbox"/>		2.16
6.57	Clothing regulation for visitors?	<input type="checkbox"/> <input type="checkbox"/> <input type="checkbox"/>		2.11
	Water			
6.58	Loop system for purified water?	<input type="checkbox"/> <input type="checkbox"/> <input type="checkbox"/>		Suppl. 4
6.59	Antimicrobial treatment of purified water?	<input type="checkbox"/> <input type="checkbox"/> <input type="checkbox"/>		Suppl. 4
6.60	Loop system for water for injection?	<input type="checkbox"/> <input type="checkbox"/> <input type="checkbox"/>		Suppl. 4
	Storage temperature of water for injection:			Suppl. 4
6.61	Loop system constructed to avoid dead legs?	<input type="checkbox"/> <input type="checkbox"/> <input type="checkbox"/>		Suppl. 4
6.62	Regular microbiological monitoring?	<input type="checkbox"/> <input type="checkbox"/> <input type="checkbox"/>		Suppl. 4
6.63	Regular endotoxin control?	<input type="checkbox"/> <input type="checkbox"/> <input type="checkbox"/>		Suppl. 4
	Special Requirements for Sterile and Aseptic Products			Suppl.
	Rooms and Equipment			

(Continued)

		Compliance 1 2 3 ^a	Remarks	EU-Guide
6.64	Access of staff and materials to clean areas <i>only</i> through air locks?	<input type="checkbox"/> <input type="checkbox"/> <input type="checkbox"/>		1
6.66	Rooms classified according to EC Guide?	<input type="checkbox"/> <input type="checkbox"/> <input type="checkbox"/>		3
	Classification for products to be sterilized:			
6.67	• Solution preparation (EC: class C, with special precautions class D):	Class:		5
6.68	• Filling (EC: under LF in class C):	Class:		5
	Classification for aseptic products:			
6.69	• Handling of starting materials that can be sterile filtered (EC: class C):	Class:		6
6.70	• Handling of starting materials that cannot be sterile filtered (EC: class A in class B):	Class:		6
6.71	• Handling and filling of bulk (EC: class A in Class B):	Class:		6
6.72	All rooms easy to clean and disinfect?	<input type="checkbox"/> <input type="checkbox"/> <input type="checkbox"/>		17
6.73	Doors, windows, frames, lighting, etc. without edges?	<input type="checkbox"/> <input type="checkbox"/> <input type="checkbox"/>		18
6.74	Suspended ceilings (if yes: sealed)?	<input type="checkbox"/> <input type="checkbox"/> <input type="checkbox"/>		19
6.75	Traps constructed to avoid microbiological contamination?	<input type="checkbox"/> <input type="checkbox"/> <input type="checkbox"/>		21
6.76	Appropriately constructed changing rooms?	<input type="checkbox"/> <input type="checkbox"/> <input type="checkbox"/>		22
6.77	Measures against opening of both doors of air locks?	<input type="checkbox"/> <input type="checkbox"/> <input type="checkbox"/>		23
6.78	Overpressure gradient from cleanest areas to others?	<input type="checkbox"/> <input type="checkbox"/> <input type="checkbox"/>		24
6.79	AHU validated and regularly revalidated?	<input type="checkbox"/> <input type="checkbox"/> <input type="checkbox"/>		25
6.80	Control instruments for pressure gradient?	<input type="checkbox"/> <input type="checkbox"/> <input type="checkbox"/>		26
6.81	Warning system for errors in air supply?	<input type="checkbox"/> <input type="checkbox"/> <input type="checkbox"/>		26
6.82	Recording of pressure gradients?	<input type="checkbox"/> <input type="checkbox"/> <input type="checkbox"/>		26
6.83	Do conveyor belts leave sterile areas?	<input type="checkbox"/> <input type="checkbox"/> <input type="checkbox"/>		28
6.84	Maintenance works outside clean areas possible?	<input type="checkbox"/> <input type="checkbox"/> <input type="checkbox"/>		28
6.85	Cleaning and disinfection procedure after maintenance works?	<input type="checkbox"/> <input type="checkbox"/> <input type="checkbox"/>		29
6.86	Regular revalidation of all equipment and systems?	<input type="checkbox"/> <input type="checkbox"/> <input type="checkbox"/>		30
6.87	Water prepared, circulated, and stored to exclude microbiological contamination?	<input type="checkbox"/> <input type="checkbox"/> <input type="checkbox"/>		31
6.88	Cleaning and disinfection of rooms according to validated SOPs?	<input type="checkbox"/> <input type="checkbox"/> <input type="checkbox"/>		32
	• Disinfection methods?			
6.89	Microbiological monitoring of cleaning and disinfection agents?	<input type="checkbox"/> <input type="checkbox"/> <input type="checkbox"/>		33
6.90	Microbiological monitoring program of production areas?	<input type="checkbox"/> <input type="checkbox"/> <input type="checkbox"/>		35
6.91	Results recorded and considered for the release?	<input type="checkbox"/> <input type="checkbox"/> <input type="checkbox"/>		35
	Personnel and Hygiene			
6.92	Minimal number of personnel in clean areas?	<input type="checkbox"/> <input type="checkbox"/> <input type="checkbox"/>		7
6.93	Special and regular training?	<input type="checkbox"/> <input type="checkbox"/> <input type="checkbox"/>		8
6.94	Regular medical examinations?	<input type="checkbox"/> <input type="checkbox"/> <input type="checkbox"/>		10
6.95	Appropriate clean room clothes (material and design)?	<input type="checkbox"/> <input type="checkbox"/> <input type="checkbox"/>		12
6.96	Protective clothes worn correctly?	<input type="checkbox"/> <input type="checkbox"/> <input type="checkbox"/>		12
6.97	Prohibition of cosmetics, jewelry, and watches?	<input type="checkbox"/> <input type="checkbox"/> <input type="checkbox"/>		13
6.98	New clean room clothes for each working cycle?	<input type="checkbox"/> <input type="checkbox"/> <input type="checkbox"/>		15
6.99	Appropriate washing and sterilization of clothes?	<input type="checkbox"/> <input type="checkbox"/> <input type="checkbox"/>		16
	Operations			
6.100	Validation (media filling) at regular intervals?	<input type="checkbox"/> <input type="checkbox"/> <input type="checkbox"/>		38
	Monitoring of water preparation system, frequency:			
6.101	• Microbiological:			40
6.102	• Chemical:			40
6.103	• Particles:			40
6.104	• Endotoxins:			40
6.105	Microbiological monitoring of starting materials?	<input type="checkbox"/> <input type="checkbox"/> <input type="checkbox"/>		42
6.106	Maximum storage times defined for sterilized equipment?	<input type="checkbox"/> <input type="checkbox"/> <input type="checkbox"/>		45
6.107	Maximum storage time defined between solution preparation and filtration?	<input type="checkbox"/> <input type="checkbox"/> <input type="checkbox"/>		46
6.108	Material transfer to clean areas through double door autoclaves?	<input type="checkbox"/> <input type="checkbox"/> <input type="checkbox"/>		48
	Sterilization Processes			
6.109	All processes validated?	<input type="checkbox"/> <input type="checkbox"/> <input type="checkbox"/>		50
6.110	Sterilized and nonsterilized materials clearly separated?	<input type="checkbox"/> <input type="checkbox"/> <input type="checkbox"/>		54
	Trays and boxes clearly labeled with			
6.111	• Product name and code	<input type="checkbox"/> <input type="checkbox"/> <input type="checkbox"/>		54
6.112	• Batch no.	<input type="checkbox"/> <input type="checkbox"/> <input type="checkbox"/>		54
6.113	• Status: sterilized or nonsterilized	<input type="checkbox"/> <input type="checkbox"/> <input type="checkbox"/>		54

(Continued)

		Compliance 1 2 3 ^a	Remarks	EU-Guide
Sterilizers				
6.114	Recording of temperature, pressure, and time?	<input type="checkbox"/> <input type="checkbox"/> <input type="checkbox"/>		55
6.115	Coldest point determined?	<input type="checkbox"/> <input type="checkbox"/> <input type="checkbox"/>		55
6.116	Independent counter check probe?	<input type="checkbox"/> <input type="checkbox"/> <input type="checkbox"/>		55
6.117	Heat-up time for each product determined?	<input type="checkbox"/> <input type="checkbox"/> <input type="checkbox"/>		56
6.118	Sterile cooling media?	<input type="checkbox"/> <input type="checkbox"/> <input type="checkbox"/>		57
6.119	Tightness tests for vacuum autoclaves?	<input type="checkbox"/> <input type="checkbox"/> <input type="checkbox"/>		58
6.120	Clean steam for steam autoclaves?	<input type="checkbox"/> <input type="checkbox"/> <input type="checkbox"/>		58
6.121	Circulated air with overpressure?	<input type="checkbox"/> <input type="checkbox"/> <input type="checkbox"/>		61
6.122	Recirculated air: sterile filtered?	<input type="checkbox"/> <input type="checkbox"/> <input type="checkbox"/>		61
6.123	Ethylene oxide autoclaves: humidity, temperature, and time recorded?	<input type="checkbox"/> <input type="checkbox"/> <input type="checkbox"/>		69
6.124	Ethylene oxide autoclaves: use of bioindicators?	<input type="checkbox"/> <input type="checkbox"/> <input type="checkbox"/>		70
Filtration				
6.125	Double filtration?	<input type="checkbox"/> <input type="checkbox"/> <input type="checkbox"/>		75
6.126	Integrity testing of filters immediately after use?	<input type="checkbox"/> <input type="checkbox"/> <input type="checkbox"/>		77
6.127	Are results a part of the batch protocol?	<input type="checkbox"/> <input type="checkbox"/> <input type="checkbox"/>		77
6.128	Optical control of each single container of ampoules, vials, and infusions?	<input type="checkbox"/> <input type="checkbox"/> <input type="checkbox"/>		82
IPC				
6.129	Written IPC procedures and SOPs?	<input type="checkbox"/> <input type="checkbox"/> <input type="checkbox"/>		
	Particle testing of			
6.130	• Rooms?	<input type="checkbox"/> <input type="checkbox"/> <input type="checkbox"/>		
6.131	• Primary packaging materials?	<input type="checkbox"/> <input type="checkbox"/> <input type="checkbox"/>		
6.132	• System of warning and action limits?	<input type="checkbox"/> <input type="checkbox"/> <input type="checkbox"/>		
	Microbiological monitoring of			
6.133	• Rooms?	<input type="checkbox"/> <input type="checkbox"/> <input type="checkbox"/>		
6.134	• Personnel?	<input type="checkbox"/> <input type="checkbox"/> <input type="checkbox"/>		
6.135	• Equipment?	<input type="checkbox"/> <input type="checkbox"/> <input type="checkbox"/>		
6.136	Residual O ₂ of ampoules, infusions, and syrups?	<input type="checkbox"/> <input type="checkbox"/> <input type="checkbox"/>		
6.137	Endotoxin testing of water and packaging materials?	<input type="checkbox"/> <input type="checkbox"/> <input type="checkbox"/>		
6.138	Calibration of equipment?	<input type="checkbox"/> <input type="checkbox"/> <input type="checkbox"/>		
6.139	Regular revalidation of equipment?	<input type="checkbox"/> <input type="checkbox"/> <input type="checkbox"/>		
7	PACKAGING			
	Operations carried out:			
	• Blistering	<input type="checkbox"/>		
	• Foil packaging	<input type="checkbox"/>		
	• Filling into tablet glasses	<input type="checkbox"/>		
	• Effervescent packaging	<input type="checkbox"/>		
	• Powder filling	<input type="checkbox"/>		
	• Syrup/drops filling	<input type="checkbox"/>		
	• Ointment filling	<input type="checkbox"/>		
Rooms				
7.1	Suitable for the intended use?	<input type="checkbox"/> <input type="checkbox"/> <input type="checkbox"/>		3
7.2	• Adequate size?	<input type="checkbox"/> <input type="checkbox"/> <input type="checkbox"/>		3
7.3	• Clean?	<input type="checkbox"/> <input type="checkbox"/> <input type="checkbox"/>		3
7.4	Located and designed to exclude external contamination?	<input type="checkbox"/> <input type="checkbox"/> <input type="checkbox"/>		3.1
7.5	Appropriate level of maintenance?	<input type="checkbox"/> <input type="checkbox"/> <input type="checkbox"/>		3.2
7.6	Maintenance works possible without contamination risk?	<input type="checkbox"/> <input type="checkbox"/> <input type="checkbox"/>		3.2
7.7	Appropriate lighting and air-conditioning?	<input type="checkbox"/> <input type="checkbox"/> <input type="checkbox"/>		3.3
7.8	Recording of temperature and humidity?	<input type="checkbox"/> <input type="checkbox"/> <input type="checkbox"/>		
7.9	Protection against the entry of insects or other animals?	<input type="checkbox"/> <input type="checkbox"/> <input type="checkbox"/>		3.4
7.10	Controlled access for authorized personnel only?	<input type="checkbox"/> <input type="checkbox"/> <input type="checkbox"/>		3.5
7.11	Adequate separation of the packaging lines?	<input type="checkbox"/> <input type="checkbox"/> <input type="checkbox"/>		3.15
Operations				
7.12	Only <i>one</i> product per line?	<input type="checkbox"/> <input type="checkbox"/> <input type="checkbox"/>		5.44
7.13	Check list for clearance before processing a new product/new batch?	<input type="checkbox"/> <input type="checkbox"/> <input type="checkbox"/>		5.45

(Continued)

		Compliance 1 2 3 ^a	Remarks	EU-Guide
7.14	Adequate labeling of the lines (product name and code)?	<input type="checkbox"/> <input type="checkbox"/> <input type="checkbox"/>		5.46
7.15	Check of all materials delivered to the line (quantity, identity, conformity with order)?	<input type="checkbox"/> <input type="checkbox"/> <input type="checkbox"/>		5.47
7.16	Cleaning of primary packaging materials?	<input type="checkbox"/> <input type="checkbox"/> <input type="checkbox"/>		5.48
7.17	Immediate labeling after filling?	<input type="checkbox"/> <input type="checkbox"/> <input type="checkbox"/>		5.49
7.18	Careful check of all printing processes (code and expiry date)?	<input type="checkbox"/> <input type="checkbox"/> <input type="checkbox"/>		5.5
7.19	Special safety measures for off-line printing?	<input type="checkbox"/> <input type="checkbox"/> <input type="checkbox"/>		5.51
7.20	Regular checks of all control devices (code reader, counter, etc.)?	<input type="checkbox"/> <input type="checkbox"/> <input type="checkbox"/>		5.52
7.21	Printings clear and durable?	<input type="checkbox"/> <input type="checkbox"/> <input type="checkbox"/>		5.53
7.22	Balancing of printed packaging materials and bulk?	<input type="checkbox"/> <input type="checkbox"/> <input type="checkbox"/>		5.56
7.23	Destruction of excessive coded packaging material after completion of an order?	<input type="checkbox"/> <input type="checkbox"/> <input type="checkbox"/>		5.57
7.24	Are the finished products kept in quarantine until final release?	<input type="checkbox"/> <input type="checkbox"/> <input type="checkbox"/>		5.58
7.25	Appropriate storage after release?	<input type="checkbox"/> <input type="checkbox"/> <input type="checkbox"/>		5.60
IPC				
7.26	Checks on identity of bulk and packaging materials?	<input type="checkbox"/> <input type="checkbox"/> <input type="checkbox"/>		5.47
Regular line checks on				
7.27	• Aspect of the packages?	<input type="checkbox"/> <input type="checkbox"/> <input type="checkbox"/>		5.54a
7.28	• Completeness?	<input type="checkbox"/> <input type="checkbox"/> <input type="checkbox"/>		5.54b
7.29	• Conformity of quantity and quality of materials with packaging order?	<input type="checkbox"/> <input type="checkbox"/> <input type="checkbox"/>		5.54c
7.30	• Correct imprint?	<input type="checkbox"/> <input type="checkbox"/> <input type="checkbox"/>		5.54d
7.31	• Correct function of control devices?	<input type="checkbox"/> <input type="checkbox"/> <input type="checkbox"/>		5.54d
Are the following IPC checks performed?				
7.32	• Leaking	<input type="checkbox"/> <input type="checkbox"/> <input type="checkbox"/>		
7.33	• Release torque of screw caps	<input type="checkbox"/> <input type="checkbox"/> <input type="checkbox"/>		
7.34	• pH, density, drop weight, viscosity, and sedimentation	<input type="checkbox"/> <input type="checkbox"/> <input type="checkbox"/>		
8	DOCUMENTATION			
Specifications				
8.1	Specifications for raw/packaging materials available?	<input type="checkbox"/> <input type="checkbox"/> <input type="checkbox"/>		4.10
Do they include				
8.2	• Internal name and code	<input type="checkbox"/> <input type="checkbox"/> <input type="checkbox"/>		4.11
8.3	• Name of supplier and/or manufacturer?	<input type="checkbox"/> <input type="checkbox"/> <input type="checkbox"/>		4.11
8.4	• Reference sample (printed packaging material)?	<input type="checkbox"/> <input type="checkbox"/> <input type="checkbox"/>		4.11
8.5	• Sampling procedure?	<input type="checkbox"/> <input type="checkbox"/> <input type="checkbox"/>		4.11
8.6	• Qualitative/quantitative specifications with limits?	<input type="checkbox"/> <input type="checkbox"/> <input type="checkbox"/>		4.11
8.7	• Storage conditions?	<input type="checkbox"/> <input type="checkbox"/> <input type="checkbox"/>		4.11
8.8	• Maximum storage period?	<input type="checkbox"/> <input type="checkbox"/> <input type="checkbox"/>		4.11
Goods Receiving?				
8.9	Written procedures for the reception of deliveries?	<input type="checkbox"/> <input type="checkbox"/> <input type="checkbox"/>		4.19
Do the records of receipt include				
8.10	• Product name on labels and delivery note?	<input type="checkbox"/> <input type="checkbox"/> <input type="checkbox"/>		4.20
8.11	• Internal name and code?	<input type="checkbox"/> <input type="checkbox"/> <input type="checkbox"/>		4.20
8.12	• Receiving date?	<input type="checkbox"/> <input type="checkbox"/> <input type="checkbox"/>		4.20
8.13	• Name of supplier and/or manufacturer?	<input type="checkbox"/> <input type="checkbox"/> <input type="checkbox"/>		4.20
8.14	• Batch number of supplier?	<input type="checkbox"/> <input type="checkbox"/> <input type="checkbox"/>		4.20
8.15	• Total quantity and number of containers?	<input type="checkbox"/> <input type="checkbox"/> <input type="checkbox"/>		4.20
8.16	• Allocated internal batch number?	<input type="checkbox"/> <input type="checkbox"/> <input type="checkbox"/>		4.20
8.17	Sops for labeling, quarantine, and storage conditions of all incoming goods available?	<input type="checkbox"/> <input type="checkbox"/> <input type="checkbox"/>		4.21
Sops include				
8.18	• Authorized sampling personnel?	<input type="checkbox"/> <input type="checkbox"/> <input type="checkbox"/>		4.22
8.19	• Methods, equipment, and quantities?	<input type="checkbox"/> <input type="checkbox"/> <input type="checkbox"/>		4.22
8.20	• Safety measures?	<input type="checkbox"/> <input type="checkbox"/> <input type="checkbox"/>		4.22
Master Formulae				
8.21	Are master formulae for each product and batch size available?	<input type="checkbox"/> <input type="checkbox"/> <input type="checkbox"/>		4.3
8.22	Is the master formula approved and signed by the authorized persons?	<input type="checkbox"/> <input type="checkbox"/> <input type="checkbox"/>		4.3

(Continued)

	Compliance 1 2 3 ^a	Remarks	EU-Guide
The master formula includes			
8.23			4.14a
8.24			4.14b
8.25			4.14c
8.26			4.14c
8.27			4.14d
Does the working procedure include			
8.28			4.15a
8.29			4.15a
8.30			4.15b
8.31			4.15c
8.32			4.15d
8.33			4.15e
8.34			4.17
Are batch records kept for each batch processed?			
Do batch records include			
8.35			4.17
8.36			4.17a
8.37			4.17b
8.38			4.17c, d
8.39			4.17e
8.40			4.17f
8.41			4.17g
8.42			4.17h
8.43			4.17i
8.44			
Records on reprocessing of batches?			
Packaging Instructions			
8.45			4.16
Packaging instructions for each product, package size, and presentation?			
Do they include			
8.46			4.16a
8.47			4.16b
8.48			4.17c
8.49			4.17d
8.50			4.17e
8.51			4.17f
8.52			4.17g
8.53			4.17h
8.54			4.18
Are packaging batch records kept for each batch or part batch?			
Do the packaging batch records include			
8.55			4.18
8.56			4.18a
8.57			4.18b
8.58			4.18c
8.59			4.18d
8.60			4.18e
8.61			4.18e
8.62			4.18f
8.63			4.18g
8.64			4.18h
8.65			4.18i
8.66			4.18j
Testing			
Do the written testing procedures include			
8.67			4.23
8.68			4.23

(Continued)

		Compliance 1 2 3 ^a	Remarks	EU-Guide
8.69	Tests documented?	<input type="checkbox"/> <input type="checkbox"/> <input type="checkbox"/>		4.23
	Others			
8.70	Procedures for release and rejection of materials and finished products?	<input type="checkbox"/> <input type="checkbox"/> <input type="checkbox"/>		4.24
8.71	Final release by authorized person?	<input type="checkbox"/> <input type="checkbox"/> <input type="checkbox"/>		4.24
8.72	Records about distribution of each batch?	<input type="checkbox"/> <input type="checkbox"/> <input type="checkbox"/>		4.25
	Procedures and protocols about			
8.73	• Validation?	<input type="checkbox"/> <input type="checkbox"/> <input type="checkbox"/>		4.26
8.74	• Set-up and calibration of equipment?	<input type="checkbox"/> <input type="checkbox"/> <input type="checkbox"/>		4.26
8.75	• Maintenance, cleaning, and disinfection?	<input type="checkbox"/> <input type="checkbox"/> <input type="checkbox"/>		4.26
8.76	• Training records?	<input type="checkbox"/> <input type="checkbox"/> <input type="checkbox"/>		4.26
8.77	• Environmental monitoring of production areas?	<input type="checkbox"/> <input type="checkbox"/> <input type="checkbox"/>		4.26
8.78	• Pest control?	<input type="checkbox"/> <input type="checkbox"/> <input type="checkbox"/>		4.26
8.79	• Complaints?	<input type="checkbox"/> <input type="checkbox"/> <input type="checkbox"/>		4.26
8.80	• Recalls?	<input type="checkbox"/> <input type="checkbox"/> <input type="checkbox"/>		4.26
8.81	• Returned goods?	<input type="checkbox"/> <input type="checkbox"/> <input type="checkbox"/>		4.26
8.82	Instructions for use of manufacturing and testing equipment?	<input type="checkbox"/> <input type="checkbox"/> <input type="checkbox"/>		4.27
	Log books for major equipment including date and name of persons who performed			
8.83	• Validation?	<input type="checkbox"/> <input type="checkbox"/> <input type="checkbox"/>		4.28
8.84	• Calibration?	<input type="checkbox"/> <input type="checkbox"/> <input type="checkbox"/>		4.28
8.85	• Maintenance, cleaning, and repair works?	<input type="checkbox"/> <input type="checkbox"/> <input type="checkbox"/>		4.28
8.86	Chronological records of use of major equipment and manufacturing areas?	<input type="checkbox"/> <input type="checkbox"/> <input type="checkbox"/>		4.29
9	QUALITY CONTROL			6
	General Requirements			
9.1	Independent QC department available?	<input type="checkbox"/> <input type="checkbox"/> <input type="checkbox"/>		6.1
9.2	Head of QC well qualified and sufficiently experienced?	<input type="checkbox"/> <input type="checkbox"/> <input type="checkbox"/>		6.1
9.3	Qualified personnel available?	<input type="checkbox"/> <input type="checkbox"/> <input type="checkbox"/>		2.1
9.4	Organization charts available?	<input type="checkbox"/> <input type="checkbox"/> <input type="checkbox"/>		2.2
9.5	Job descriptions available?	<input type="checkbox"/> <input type="checkbox"/> <input type="checkbox"/>		2.2
9.6	Responsibilities clearly defined?	<input type="checkbox"/> <input type="checkbox"/> <input type="checkbox"/>		2.2
9.7	Continuous training programs for QC staff?	<input type="checkbox"/> <input type="checkbox"/> <input type="checkbox"/>		2.2
9.8	Initial job training for all employees?	<input type="checkbox"/> <input type="checkbox"/> <input type="checkbox"/>		2.9
9.9	Training records?	<input type="checkbox"/> <input type="checkbox"/> <input type="checkbox"/>		
9.10	QC personnel admitted to the production rooms for sampling, etc.?	<input type="checkbox"/> <input type="checkbox"/> <input type="checkbox"/>		
	QC Laboratories			
9.11	Suitable for the intended use?	<input type="checkbox"/> <input type="checkbox"/> <input type="checkbox"/>		3.26
9.12	Laboratories of adequate size?	<input type="checkbox"/> <input type="checkbox"/> <input type="checkbox"/>		3.26
9.13	Appropriate level of maintenance?	<input type="checkbox"/> <input type="checkbox"/> <input type="checkbox"/>		3.1
9.14	Adequate separation from the production area?	<input type="checkbox"/> <input type="checkbox"/> <input type="checkbox"/>		3.26
9.15	Controlled access of authorized personnel only?	<input type="checkbox"/> <input type="checkbox"/> <input type="checkbox"/>		3.5
9.16	Special laboratory to handle biological samples available?	<input type="checkbox"/> <input type="checkbox"/> <input type="checkbox"/>		3.29
9.17	Special laboratory to handle radioactive material available?	<input type="checkbox"/> <input type="checkbox"/> <input type="checkbox"/>		3.29
9.18	Separate recreation rooms for the personnel available?	<input type="checkbox"/> <input type="checkbox"/> <input type="checkbox"/>		3.3
9.19	Animal laboratories present?	<input type="checkbox"/> <input type="checkbox"/> <input type="checkbox"/>		3.33
9.20	Animal laboratories separated from other areas?	<input type="checkbox"/> <input type="checkbox"/> <input type="checkbox"/>		3.33
9.21	Animal laboratories equipped with a separate air-handling system?	<input type="checkbox"/> <input type="checkbox"/> <input type="checkbox"/>		3.33
	QC Documentation			
9.22	Do procedures exist for			
	• Self inspection?	<input type="checkbox"/> <input type="checkbox"/> <input type="checkbox"/>		
	• Release or rejection of products or raw material?	<input type="checkbox"/> <input type="checkbox"/> <input type="checkbox"/>		
	• Product complaints?	<input type="checkbox"/> <input type="checkbox"/> <input type="checkbox"/>		
	• Product recalls?	<input type="checkbox"/> <input type="checkbox"/> <input type="checkbox"/>		
	• Local stability testing?	<input type="checkbox"/> <input type="checkbox"/> <input type="checkbox"/>		
	• Storage of reference samples?	<input type="checkbox"/> <input type="checkbox"/> <input type="checkbox"/>		
	• Validation of analytical procedures?	<input type="checkbox"/> <input type="checkbox"/> <input type="checkbox"/>		

(Continued)

		Compliance 1 2 3 ^a	Remarks	EU-Guide
9.23	Specifications available for	<input type="checkbox"/> <input type="checkbox"/> <input type="checkbox"/>		6.7
	• Raw materials?	<input type="checkbox"/> <input type="checkbox"/> <input type="checkbox"/>		
	• Bulk products?	<input type="checkbox"/> <input type="checkbox"/> <input type="checkbox"/>		
	• Packaging materials?	<input type="checkbox"/> <input type="checkbox"/> <input type="checkbox"/>		
9.24	Analytical procedures for every product?	<input type="checkbox"/> <input type="checkbox"/> <input type="checkbox"/>		
9.25	Are Basel methods followed?	<input type="checkbox"/> <input type="checkbox"/> <input type="checkbox"/>		
9.26	Validation of locally developed test methods?	<input type="checkbox"/> <input type="checkbox"/> <input type="checkbox"/>		
9.27	Sampling procedures available for			6.7
	• Raw materials?	<input type="checkbox"/> <input type="checkbox"/> <input type="checkbox"/>		
	• Bulk products?	<input type="checkbox"/> <input type="checkbox"/> <input type="checkbox"/>		
	• Packaging materials?	<input type="checkbox"/> <input type="checkbox"/> <input type="checkbox"/>		
9.28	Suppliers, certificates available?	<input type="checkbox"/> <input type="checkbox"/> <input type="checkbox"/>		6.7
9.29	Calibration program for analytical instruments installed?	<input type="checkbox"/> <input type="checkbox"/> <input type="checkbox"/>		6.7
9.30	Maintenance program for analytical instruments?	<input type="checkbox"/> <input type="checkbox"/> <input type="checkbox"/>		6.7
9.31	Retention system for QC records?	<input type="checkbox"/> <input type="checkbox"/> <input type="checkbox"/>		6.8
9.32	Batch documents stored for expiry + 1 year or 5 years (EEC 75/319, article 22) minimum?	<input type="checkbox"/> <input type="checkbox"/> <input type="checkbox"/>		6.8
9.33	Are original data such as notebooks stored in addition to the batch documents?	<input type="checkbox"/> <input type="checkbox"/> <input type="checkbox"/>		6.1
9.34	Can the original data be traced back easily and quickly from the analytical report number or batch number?	<input type="checkbox"/> <input type="checkbox"/> <input type="checkbox"/>		6.1
9.35	Are trend analyses being performed for			6.9
	• Analytical results?	<input type="checkbox"/> <input type="checkbox"/> <input type="checkbox"/>		
	• Yields?	<input type="checkbox"/> <input type="checkbox"/> <input type="checkbox"/>		
	• Environmental monitoring data?	<input type="checkbox"/> <input type="checkbox"/> <input type="checkbox"/>		
	Sampling			
9.36	Written procedures for taking samples?	<input type="checkbox"/> <input type="checkbox"/> <input type="checkbox"/>		6.11
9.37	Do procedures define			
	• Method of sampling?	<input type="checkbox"/> <input type="checkbox"/> <input type="checkbox"/>		
	• Necessary equipment?	<input type="checkbox"/> <input type="checkbox"/> <input type="checkbox"/>		
	• Quantity of the sample?	<input type="checkbox"/> <input type="checkbox"/> <input type="checkbox"/>		
	• Subdivision of the sample?	<input type="checkbox"/> <input type="checkbox"/> <input type="checkbox"/>		
	• Sample container?	<input type="checkbox"/> <input type="checkbox"/> <input type="checkbox"/>		
	• Labeling of samples?	<input type="checkbox"/> <input type="checkbox"/> <input type="checkbox"/>		
	• Storage conditions?	<input type="checkbox"/> <input type="checkbox"/> <input type="checkbox"/>		
	• Cleaning and storage of sampling equipment?	<input type="checkbox"/> <input type="checkbox"/> <input type="checkbox"/>		
	• Identification of containers sampled?	<input type="checkbox"/> <input type="checkbox"/> <input type="checkbox"/>		
9.38	Are samples representative of the batch they are taken from (sampling plan)?	<input type="checkbox"/> <input type="checkbox"/> <input type="checkbox"/>		6.12
9.39	Are critical steps being surveilled and validated by additional sampling (e.g., at the beginning or end of a process)?	<input type="checkbox"/> <input type="checkbox"/> <input type="checkbox"/>		6.12
9.40	Sample containers labeled with			6.13
	• Name of the content?	<input type="checkbox"/> <input type="checkbox"/> <input type="checkbox"/>		
	• Batch number?	<input type="checkbox"/> <input type="checkbox"/> <input type="checkbox"/>		
	• Date of sampling?	<input type="checkbox"/> <input type="checkbox"/> <input type="checkbox"/>		
	• Batch containers sampled?	<input type="checkbox"/> <input type="checkbox"/> <input type="checkbox"/>		
9.41	Are samples taken by QC/QA?	<input type="checkbox"/> <input type="checkbox"/> <input type="checkbox"/>		
9.42	Reference samples retained for validity +1 year?	<input type="checkbox"/> <input type="checkbox"/> <input type="checkbox"/>		6.14
9.43	Storage of reference samples under the recommended storage conditions?	<input type="checkbox"/> <input type="checkbox"/> <input type="checkbox"/>		6.14
9.44	Finished products stored in the final packaging?	<input type="checkbox"/> <input type="checkbox"/> <input type="checkbox"/>		6.14
9.45	Quantity of the reference sample makes one (better two) complete reanalysis possible?	<input type="checkbox"/> <input type="checkbox"/> <input type="checkbox"/>		6.14
9.46	Sample room secure?	Y N		6.14
9.47	Sample room neatly organized and not overcrowded?	Y N		6.14
	Testing			
9.48	Are the applied analytical methods validated?	<input type="checkbox"/> <input type="checkbox"/> <input type="checkbox"/>		6.15
9.49	Analytical methods in compliance with the registration?	<input type="checkbox"/> <input type="checkbox"/> <input type="checkbox"/>		6.16
9.50	Are all results recorded and checked for correctness?	<input type="checkbox"/> <input type="checkbox"/> <input type="checkbox"/>		6.16

(Continued)

		Compliance 1 2 3 ^a	Remarks	EU-Guide
9.51	Are all calculations checked?	<input type="checkbox"/> <input type="checkbox"/> <input type="checkbox"/>		6.16
9.52	Do the testing protocols contain			6.17
	• Name and galenical form of material?	<input type="checkbox"/> <input type="checkbox"/> <input type="checkbox"/>		
	• Batch number?	<input type="checkbox"/> <input type="checkbox"/> <input type="checkbox"/>		
	• Supplier if applicable?	<input type="checkbox"/> <input type="checkbox"/> <input type="checkbox"/>		
	• Specification reference?	<input type="checkbox"/> <input type="checkbox"/> <input type="checkbox"/>		
	• Method reference?	<input type="checkbox"/> <input type="checkbox"/> <input type="checkbox"/>		
	• Analytical results?	<input type="checkbox"/> <input type="checkbox"/> <input type="checkbox"/>		
	• Reference to analytical certificates?	<input type="checkbox"/> <input type="checkbox"/> <input type="checkbox"/>		
	• Date of the analysis?	<input type="checkbox"/> <input type="checkbox"/> <input type="checkbox"/>		
	• Name of the analyst?	<input type="checkbox"/> <input type="checkbox"/> <input type="checkbox"/>		
	• Name of the person verifying the data?	<input type="checkbox"/> <input type="checkbox"/> <input type="checkbox"/>		
	• Statement of release or rejection?	<input type="checkbox"/> <input type="checkbox"/> <input type="checkbox"/>		
	• Date and signature of the release person?	<input type="checkbox"/> <input type="checkbox"/> <input type="checkbox"/>		
9.53	Are all IPC methods in production approved by QC?	<input type="checkbox"/> <input type="checkbox"/> <input type="checkbox"/>		6.18
9.54	Are written methods available for the preparation of reagents and volumetric solutions?	<input type="checkbox"/> <input type="checkbox"/> <input type="checkbox"/>		6.19
9.55	Is a record maintained of standardization of volumetric solutions?	<input type="checkbox"/> <input type="checkbox"/> <input type="checkbox"/>		6.2
9.56	Are reagents for prolonged use labeled with			6.2
	• Date of the preparation?	<input type="checkbox"/> <input type="checkbox"/> <input type="checkbox"/>		
	• Signature of the preparator?	<input type="checkbox"/> <input type="checkbox"/> <input type="checkbox"/>		
9.57	Are unstable reagents labeled with			6.2
	• Expiry date?	<input type="checkbox"/> <input type="checkbox"/> <input type="checkbox"/>		
	• Storage conditions?	<input type="checkbox"/> <input type="checkbox"/> <input type="checkbox"/>		
9.58	Are volumetric solutions labeled with			6.2
	• The last date of standardization?	<input type="checkbox"/> <input type="checkbox"/> <input type="checkbox"/>		
	• Last current factor?	<input type="checkbox"/> <input type="checkbox"/> <input type="checkbox"/>		
9.59	Are reference standards labeled with			6.21
	• Name and potency?	<input type="checkbox"/> <input type="checkbox"/> <input type="checkbox"/>		
	• Suppliers reference?	<input type="checkbox"/> <input type="checkbox"/> <input type="checkbox"/>		
	• Date of receipt?	<input type="checkbox"/> <input type="checkbox"/> <input type="checkbox"/>		
	• Date of expiry?	<input type="checkbox"/> <input type="checkbox"/> <input type="checkbox"/>		
9.60	Are reference standards stored properly and under the control of a designated person?	<input type="checkbox"/> <input type="checkbox"/> <input type="checkbox"/>		
9.61	Are animals used for testing of components, materials, or products			
	• Quarantined before use?	<input type="checkbox"/> <input type="checkbox"/> <input type="checkbox"/>		
	• Checked for suitability?	<input type="checkbox"/> <input type="checkbox"/> <input type="checkbox"/>		
	• Are records maintained showing the history of their use?	<input type="checkbox"/> <input type="checkbox"/> <input type="checkbox"/>		
10	COMPLAINTS AND PRODUCT RECALLS			8
	Complaints			
10.1	Does a written complaint procedure exist?	<input type="checkbox"/> <input type="checkbox"/> <input type="checkbox"/>		8.1
10.2	Are product complaints carefully reviewed?	<input type="checkbox"/> <input type="checkbox"/> <input type="checkbox"/>		8.2
10.3	Is a person designated to handle complaints and to decide on measures to be taken?	<input type="checkbox"/> <input type="checkbox"/> <input type="checkbox"/>		8.1
10.4	Is each complaint concerning a product recorded with all original details?	<input type="checkbox"/> <input type="checkbox"/> <input type="checkbox"/>		8.3
10.5	Are product complaints thoroughly investigated?	<input type="checkbox"/> <input type="checkbox"/> <input type="checkbox"/>		8.3
10.6	Is a responsible QC person involved in the study?	<input type="checkbox"/> <input type="checkbox"/> <input type="checkbox"/>		8.3
10.7	Is it considered that other batches might be concerned as well?	<input type="checkbox"/> <input type="checkbox"/> <input type="checkbox"/>		8.4
10.8	Are decisions and measures as a result recorded?	<input type="checkbox"/> <input type="checkbox"/> <input type="checkbox"/>		8.5
10.9	Is this record added to the corresponding batch documents?	<input type="checkbox"/> <input type="checkbox"/> <input type="checkbox"/>		8.5
10.10	Are the complaint records regularly revised with respect to specific or recurring problems?	<input type="checkbox"/> <input type="checkbox"/> <input type="checkbox"/>		8.6
10.11	Are the authorities informed of serious quality problems with a product?	<input type="checkbox"/> <input type="checkbox"/> <input type="checkbox"/>		8.7
	Recalls			8.8
10.12	Does a written recall procedure exist?	<input type="checkbox"/> <input type="checkbox"/> <input type="checkbox"/>		8.9

(Continued)

		Compliance 1 2 3 ^a	Remarks	EU-Guide
10.13	Is a person nominated responsible for the execution and coordination of a recall?	<input type="checkbox"/> <input type="checkbox"/> <input type="checkbox"/>		8.8
10.14	Is the responsible person independent of the marketing and sales organization?	<input type="checkbox"/> <input type="checkbox"/> <input type="checkbox"/>		8.8
10.15	Are the competent authorities informed of an imminent recall?	<input type="checkbox"/> <input type="checkbox"/> <input type="checkbox"/>		8.11
10.16	Does the person responsible for a recall have access to the distribution records?	<input type="checkbox"/> <input type="checkbox"/> <input type="checkbox"/>		8.12
10.17	Do the distribution records contain sufficient information on customers with <ul style="list-style-type: none"> • Addresses? • Phone numbers inside or outside working hours? • Batches and amounts delivered? • Medical samples? 	<input type="checkbox"/> <input type="checkbox"/> <input type="checkbox"/> <input type="checkbox"/> <input type="checkbox"/> <input type="checkbox"/> <input type="checkbox"/> <input type="checkbox"/> <input type="checkbox"/> <input type="checkbox"/> <input type="checkbox"/> <input type="checkbox"/>		8.12
10.18	Are recalled products stored separately in a secure area?	<input type="checkbox"/> <input type="checkbox"/> <input type="checkbox"/>		8.13
10.19	Is a final record made, including a reconciliation between the delivered and recovered quantities?	<input type="checkbox"/> <input type="checkbox"/> <input type="checkbox"/>		8.14
10.20	Is the effectiveness of the arrangements for recalls checked critically from time to time?	<input type="checkbox"/> <input type="checkbox"/> <input type="checkbox"/>		8.15
11	SELF-INSPECTION			9
11.1	Does a self-inspection procedure exist that defines frequency and program?	<input type="checkbox"/> <input type="checkbox"/> <input type="checkbox"/>		9.1
11.2	Are self-inspections carried out to check compliance with GMP rules?	<input type="checkbox"/> <input type="checkbox"/> <input type="checkbox"/>		9.1
11.3	Are self-inspections conducted in an independent and detailed way? by designated competent persons from the company or external experts?	<input type="checkbox"/> <input type="checkbox"/> <input type="checkbox"/> <input type="checkbox"/> <input type="checkbox"/> <input type="checkbox"/>		9.2
11.4	Are self-inspections recorded?	<input type="checkbox"/> <input type="checkbox"/> <input type="checkbox"/>		9.3
11.5	Do reports contain <ul style="list-style-type: none"> • The observations made during a self-inspection? • Proposals for corrective measures? 	<input type="checkbox"/> <input type="checkbox"/> <input type="checkbox"/> <input type="checkbox"/> <input type="checkbox"/> <input type="checkbox"/>		9.3
11.6	Are actions subsequently taken recorded?	<input type="checkbox"/> <input type="checkbox"/> <input type="checkbox"/>		9.3
12	CONTRACT MANUFACTURE AND ANALYSIS			7
12.1	Is a written contract between contract giver and contract acceptor available?	<input type="checkbox"/> <input type="checkbox"/> <input type="checkbox"/>		7.1
12.2	Are responsibilities and duties clearly defined?	<input type="checkbox"/> <input type="checkbox"/> <input type="checkbox"/>		7
12.3	Are all arrangements in accordance with the marketing authorization of the product concerned?	<input type="checkbox"/> <input type="checkbox"/> <input type="checkbox"/>		7.2
	The Contract Giver			
12.4	Competence of the acceptor to carry out the work successfully and according to GMP assessed?	<input type="checkbox"/> <input type="checkbox"/> <input type="checkbox"/>		7.3
12.5	Acceptor provided with all the information necessary to carry out the contract work?	<input type="checkbox"/> <input type="checkbox"/> <input type="checkbox"/>		7.4
12.6	Acceptor informed of safety aspects?	<input type="checkbox"/> <input type="checkbox"/> <input type="checkbox"/>		7.4
12.7	Conformance of products supplied by the acceptor ensured?	<input type="checkbox"/> <input type="checkbox"/> <input type="checkbox"/>		7.5
12.8	Product released by a qualified person on the acceptor's side?	<input type="checkbox"/> <input type="checkbox"/> <input type="checkbox"/>		7.5
	The Contract Acceptor			
12.9	Does the acceptor have <ul style="list-style-type: none"> • Adequate premises and equipment? • Knowledge and experience? • Competent personnel? • A manufacturing authorization? 	<input type="checkbox"/> <input type="checkbox"/> <input type="checkbox"/> <input type="checkbox"/> <input type="checkbox"/> <input type="checkbox"/> <input type="checkbox"/> <input type="checkbox"/> <input type="checkbox"/> <input type="checkbox"/> <input type="checkbox"/> <input type="checkbox"/>		7.6
12.10	Does the acceptor ensure that all products or materials delivered to him or her are suitable?	<input type="checkbox"/> <input type="checkbox"/> <input type="checkbox"/>		7.7
12.11	There must be no work passed to a third party without the permission of the giver.	<input type="checkbox"/> <input type="checkbox"/> <input type="checkbox"/>		7.8
12.12	If a third party is involved, it must have the necessary manufacturing and analytical information.	<input type="checkbox"/> <input type="checkbox"/> <input type="checkbox"/>		7.8
	The Contract			
12.13	Does the written contract specify the responsibilities?	<input type="checkbox"/> <input type="checkbox"/> <input type="checkbox"/>		7.1
12.14	Have technical aspects been drawn up by competent persons?	<input type="checkbox"/> <input type="checkbox"/> <input type="checkbox"/>		7.1
12.15	Release of material and check for compliance with the marketing authorization defined?	<input type="checkbox"/> <input type="checkbox"/> <input type="checkbox"/>		7.11

(Continued)

		Compliance 1 2 3 ^a	Remarks	EU-Guide
12.16	Is it defined who is responsible for <ul style="list-style-type: none"> • Purchasing of materials? • IPC controls? • Testing and release of materials? • Manufacturing and quality control? • Sampling? • Storage of batch documentation? 	<input type="checkbox"/> <input type="checkbox"/> <input type="checkbox"/> <input type="checkbox"/> <input type="checkbox"/> <input type="checkbox"/> <input type="checkbox"/> <input type="checkbox"/> <input type="checkbox"/> <input type="checkbox"/> <input type="checkbox"/> <input type="checkbox"/> <input type="checkbox"/> <input type="checkbox"/> <input type="checkbox"/> <input type="checkbox"/> <input type="checkbox"/> <input type="checkbox"/>		7.12
12.17	Are manufacturing, analytical, and distribution records available to the contract giver?	<input type="checkbox"/> <input type="checkbox"/> <input type="checkbox"/>		7.13
12.18	Does the contract permit the giver to visit the facilities of the acceptor?	<input type="checkbox"/> <input type="checkbox"/> <input type="checkbox"/>		7.14
12.19	In the case of contract analysis: Does the contract acceptor understand that he or she is subject to inspection by the competent authorities?	<input type="checkbox"/> <input type="checkbox"/> <input type="checkbox"/>		7.15
13	AUDIT OF SUPPLIERS			2.7
13.1	Supplier audits performed for <ul style="list-style-type: none"> • Excipients? • Active substances? • Packaging material? 	<input type="checkbox"/> <input type="checkbox"/> <input type="checkbox"/> <input type="checkbox"/> <input type="checkbox"/> <input type="checkbox"/> <input type="checkbox"/> <input type="checkbox"/> <input type="checkbox"/>		

^a 1. Fulfilled or available; 2. partially fulfilled; 3. not fulfilled or not available.

GLOSSARY

Acceptance Criteria: Numerical limits, ranges, or other suitable measures for acceptance of test results.

Active Pharmaceutical Ingredient (API) (or Drug Substance):

Any substance or mixture of substances intended to be used in the manufacture of a drug (medicinal) product and that, when used in the production of a drug, becomes an active ingredient of the drug product. Such substances are intended to furnish pharmacological activity or other direct effect in the diagnosis, cure, mitigation, treatment, or prevention of disease or to affect the structure and function of the body.

Air Lock: An enclosed space with two or more doors, which is interposed between two or more rooms, for example, of differing classes of cleanliness, for the purpose of controlling the airflow between those rooms when they need to be entered. An air lock is designed for use either by people or for goods and/or equipment.

API Starting Material: A raw material, intermediate, or API that is used in the production of an API and that is incorporated as a significant structural fragment into the structure of the API. An API Starting Material can be an article of commerce, a material purchased from one or more suppliers under contract or commercial agreement, or produced in house. API Starting Materials are normally of defined chemical properties and structure.

Authorized Person: The person recognized by the national regulatory authority as having the responsibility for ensuring that each batch of finished product has been manufactured, tested, and approved for release in compliance with the laws and regulations in force in that country.

Batch (or Lot): A specific quantity of material produced in a process or series of processes so that it is expected to be homogeneous within specified limits. In the case of continuous production, a batch may correspond to a defined fraction of the production. The batch size can be defined either by a fixed quantity or by the amount produced in a fixed time interval.

Batch Number (or Lot Number): A unique combination of numbers, letters, and/or symbols that identifies a batch (or lot) and from which the production and distribution history can be determined.

Batch Records: All documents associated with the manufacture of a batch of bulk product or finished product. They provide a history of each batch of product and of all circumstances pertinent to the quality of the final product.

Bioburden: The level and type (e.g., objectionable or not) of microorganisms that can be present in raw materials, API starting materials, intermediates, or APIs. Bioburden should not be considered contamination unless the levels have been exceeded or defined objectionable organisms have been detected.

Bulk Product: Any product that has completed all processing stages up to, but not including, final packaging.

Calibration: The demonstration that a particular instrument or device produces results within specified limits by comparison with those produced by a reference or traceable standard over an appropriate range of measurements. The set of operations that establish, under specified conditions, the relationship between values indicated by an instrument or system for measuring (especially weighing), recording, and controlling, or the values represented by a material measure, and the corresponding known values of a reference standard.

Limits for acceptance of the results of measuring should be established.

Clean Area: An area with defined environmental control of particulate and microbial contamination, constructed, and used in such a way as to reduce the introduction, generation, and retention of contaminants within the area.

Computer System: A group of hardware components and associated software, designed and assembled to perform a specific function or group of functions. A process or operation integrated with a computer system.

Consignment (or Delivery): The quantity of a pharmaceutical(s) made by one manufacturer and supplied at one time in response to a particular request or order. A consignment may comprise one or more packages or containers and may include material belonging to more than one batch.

Contamination: The undesired introduction of impurities of a chemical or microbiological nature, or of foreign matter, into or on to a starting material or intermediate during production, sampling, packaging or repackaging, and storage or transport.

Contract Manufacturer: A manufacturer performing some aspect of manufacturing on behalf of the original manufacturer.

Critical: Describes a process step, process condition, test requirement, or other relevant parameter or item that must be controlled within predetermined criteria to ensure that the API meets its specification.

Critical Operation: An operation in the manufacturing process that may cause variation in the quality of the pharmaceutical product.

Cross-Contamination: Contamination of a material or product with another material or product. Contamination of a starting material, intermediate product, or finished product with another starting material or product during production.

Deviation: Departure from an approved instruction or established standard.

Drug (Medicinal) Product: The dosage form in the final immediate packaging intended for marketing. (See ICH Guidance Q1A.)

Drug Substance: See Active Pharmaceutical Ingredient.

Expiry Date (or Expiration Date): The date placed on the container/labels of an API designating the time during which the API is expected to remain within established shelf-life specifications if stored under defined conditions, and after which it should not be used.

Finished Product: A finished dosage form that has undergone all stages of manufacture, including packaging in its final container and labeling.

Impurity: Any component present in the intermediate or API that is not the desired entity.

Impurity Profile: A description of the identified and unidentified impurities present in an API.

In-Process Control: Checks performed during production in order to monitor and, if necessary, to adjust the process to ensure that the product conforms to its specifications. The control of the environment or equipment may also be regarded as a part of in-process control.

Intermediate: A material produced during steps of the processing of an API that undergoes further molecular change or purification before it becomes an API. Intermediates may or may not be isolated. Partly processed product that must undergo further manufacturing steps before it becomes a bulk product.

Large-Volume Parenterals: Sterile solutions intended for parenteral application with a volume of 100 mL or more in one container of the finished dosage form.

Lot: See Batch.

Lot Number: See Batch Number.

Manufacture: All operations of receipt of materials, production, packaging, repackaging, labeling, relabeling, quality control, release, storage, and distribution of APIs and related controls.

Manufacturer: A company that carries out operations such as production, packaging, repackaging, labeling, and relabeling of pharmaceuticals.

Marketing Authorization (Product License, Registration Certificate): A legal document issued by the competent drug regulatory authority that establishes the detailed composition and formulation of the product and the pharmacopoeial or other recognized specifications of its ingredients and of the final product itself, and includes details of packaging, labeling, and shelf life.

Master Formula: A document or set of documents specifying the starting materials with their quantities and the packaging materials, together with a description of the procedures and precautions required to produce a specified quantity of a finished product as well as the processing instructions, including the in-process controls.

Master Record: A document or set of documents that serve as a basis for the batch documentation (blank batch record).

Material: A general term used to denote raw materials (starting materials, reagents, and solvents), process aids, intermediates, APIs, and packaging and labeling materials.

Mother Liquor: The residual liquid that remains after the crystallization or isolation processes. A mother liquor may contain unreacted materials, intermediates, levels of the API, and/or impurities. It may be used for further processing.

Packaging: All operations, including filling and labeling, that a bulk product has to undergo in order to become a finished product. Filling of a sterile product under aseptic conditions or a product intended to be terminally sterilized, would not normally be regarded as part of packaging.

Packaging Material: Any material intended to protect an intermediate or API during storage and transport. Any material, including printed material, employed in the packaging of a pharmaceutical, but excluding any outer packaging used for transportation or shipment. Packaging materials are referred to as primary or secondary according to whether or not they are intended to be in direct contact with the product.

Pharmaceutical Product: Any material or product intended for human or veterinary use presented in its finished dosage form or as a starting material for use in such a dosage form, that is subject to control by pharmaceutical legislation in the exporting state and/or the importing state.

Procedure: A documented description of the operations to be performed, the precautions to be taken and measures to be applied directly or indirectly related to the manufacture of an intermediate or API.

Process Aids: Materials, excluding solvents, used as an aid in the manufacture of an intermediate or API that do not themselves participate in a chemical or biological reaction (e.g., filter aid, activated carbon, and so on).

Process Control: See In-Process Control.

Production: All operations involved in the preparation of a pharmaceutical product, from receipt of materials, through processing, packaging, and repackaging, and labeling and relabeling, to completion of the finished product.

Qualification: Action of proving and documenting that equipment or ancillary systems are properly installed, work correctly, and actually lead to the expected results. Qualification is part of validation, but the individual qualification steps alone do not constitute process validation.

Quality Assurance (QA): The sum total of the organized arrangements made with the object of ensuring that all APIs are of the quality required for their intended use and that quality systems are maintained.

Quality Control (QC): Checking or testing that specifications are met.

Quality Unit(s): An organizational unit independent of production that fulfills both Quality Assurance and Quality Control responsibilities. This can be in the form of separate QA and QC units or a single individual or group, depending upon the size and structure of the organization.

Quarantine: The status of starting or packaging materials, intermediates, or bulk or finished products isolated physically or by other effective means while a decision is awaited on their release, rejection, or reprocessing.

Raw Material: A general term used to denote starting materials, reagents, and solvents intended for use in the production of intermediates or APIs.

Reconciliation: A comparison between the theoretical quantity and the actual quantity.

Recovery: The introduction of all or part of previous batches (or of redistilled solvents and similar products) of the required quality into another batch at a defined stage of manufacture. It includes the removal of impurities from waste to obtain a pure substance or the recovery of used materials for separate use.

Reference Standard, Primary: A substance that has been shown by an extensive set of analytical tests to be authentic material that should be of high purity.

Reference Standard, Secondary: A substance of established quality and purity, as shown by comparison with a primary reference standard, used as a reference standard for routine laboratory analysis.

Reprocessing: Subjecting all or part of a batch or lot of an in-process drug, bulk process intermediate (final biological bulk intermediate), or bulk product of a single batch/lot to a previous step in the validated manufacturing process due to failure to meet pre-determined specifications. Reprocessing procedures are foreseen as occasionally necessary for biological drugs and in such cases, are validated and preapproved as part of the marketing authorization.

Retest Date: The date when a material should be reexamined to ensure that it is still suitable for use.

Reworking: Subjecting an in-process or bulk process intermediate (final biological bulk intermediate) or final product of a single batch to an alternate manufacturing process due to a failure to meet predetermined specifications. Reworking is an unexpected occurrence and is not preapproved as part of the marketing authorization.

Self-Contained Area: Premises that provide complete and total separation of all aspects of an operation, including personnel and equipment movement, with well-established procedures, controls, and monitoring. This includes physical barriers as well as separate airhandling systems, but does not necessarily imply two distinct and separate buildings.

Signature (Signed): See definition for signed.

Signed (Signature): The record of the individual who performed a particular action or review. This record can be initials, a full handwritten signature, a personal seal, or an authenticated and secure electronic signature.

Solvent: An inorganic or organic liquid used as a vehicle for the preparation of solutions or suspensions in the manufacture of an intermediate or API.

Specification: A list of detailed requirements to which the products or materials used or obtained during manufacture have to conform. They serve as a basis for quality evaluation.

Standard Operating Procedure (SOP): An authorized written procedure giving instructions for performing operations not necessarily specific to a given product or material (e.g., equipment operation, maintenance, and cleaning; validation; cleaning of

premises and environmental control; sampling and inspection). Certain SOPs may be used to supplement product-specific master and batch production documentation.

Starting Material: Any substance of a defined quality used in the production of a pharmaceutical product, but excluding packaging materials.

Validation: A documented program that provides a high degree of assurance that a specific process, method, or system will consistently produce a result meeting predetermined acceptance criteria. Action of proving, in accordance with the principles of GMP, that any procedure, process, equipment, material, activity, or system actually leads to the expected results (see also Qualification).

Validation Protocol: A written plan stating how validation will be conducted and defining acceptance criteria. For example, the protocol for a manufacturing process identifies processing equipment, critical process parameters/operating ranges, product characteristics, sampling, test data to be collected, number of validation runs, and acceptable test results.

Yield, Expected: The quantity of material or the percentage of theoretical yield anticipated at any appropriate phase of production based on previous laboratory, pilot-scale, or manufacturing data.

Yield, Theoretical: The quantity that would be produced at any appropriate phase of production, based upon the quantity of material to be used, in the absence of any loss or error in actual production.



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Appendix B

FORMULATION EXCIPIENTS

INTRODUCTION

In addition to the active ingredients, solid oral dosage forms will also contain a range of substances called excipients. The role of excipients is essential in ensuring that the manufacturing process is successful and that the quality of the resultant formulation can be guaranteed. The appropriate selection of excipients and their relative concentrations in the formulation is critical in development of a successful product.

Although they are often categorized as inert, preformulation studies can determine the influence of excipients on stability, bioavailability, and processability. Excipients are categorized into groups according to their main function, although some may be multifunctional, and examples of common excipients used in the manufacture of tablets and capsule are detailed in Table A1.

Because there is such a wide selection available, rational choice of the necessary excipients and their concentration is required. Consideration must also be given to cost, reliability, availability, and international acceptability. Although generally considered inert, formulation incompatibility of excipients is also necessary. Lactose, for example, can react with primary and secondary amines via its aldehyde group by Maillard condensation reaction [6], and calcium carbonate is incompatible with acids due to acid–base chemical reaction and with tetracyclines due to complexation. Additionally, excipients can contribute to the instability of the active substance through moisture distribution.

Despite the importance of drug–excipient compatibility testing, there is no generally accepted method available for this purpose. After identification of any major known incompatibilities, a compatibility screen needs to be proposed. Issues such as sample preparation, storage conditions, and methods of analysis should be addressed and factorial design applied to reduce the number of tests required. Drug–excipient compatibility studies can be performed with minimal amounts of materials. Usually, small amounts of each material are weighed into a glass vial, in a ratio representative of the expected ratio in the formulation. The vials can be sealed as is or with additional water, either in an air environment or oxygen-free (nitrogen head space) environment, and stored in the presence or absence of ambient light, at various temperatures. Factorial or partial factorial design experiments can be set up to determine important binary and multiple component interaction factors. This information helps determine which excipients should be avoided and whether oxidation or light instability in the formulation is a consideration. Controls consisting of the active pharmaceutical ingredient (API) alone in the

various conditions also should be run to determine whether the API is susceptible alone or must have the mediating excipient or water additives for instability.

DILUENTS

An inert substance is frequently added to increase the bulk of a tablet for processing and handling. The lower weight limit for formulation of a tablet is usually 50 mg. Ideally, diluents should be chemically inert, nonhygroscopic, and hydrophilic. Having an acceptable taste is important for oral formulations, and cost is always a significant factor in excipient selection.

Lactose is a common diluent in both tablets and capsules, and it fulfils most of these criteria but is unsuitable for those who are lactose intolerant. Various lactose grades are commercially available which have different physical properties such as particle size distribution and flow characteristics. This permits the selection of the most suitable material for a particular application. Usually, fine grades of lactose are used for preparation of tablets by wet granulation or when milling during processing is carried out, since the fine size permits better mixing with other formulation ingredients and facilitates more effective action of the binder.

Diluents for direct compression formulations are often subject to prior processing to improve flowability and compression, for example, amorphous lactose, but this can contribute to reduced stability especially under high-humidity conditions when reversion to the crystalline form is more likely.

Microcrystalline cellulose (Avicel) is purified partially depolymerized cellulose, prepared by treating cellulose with mineral acids. In addition to being used as a filler, it is also used as dry binder and disintegrant in tablet formulations. Depending on the preparation conditions, it can be produced with a variety of technical specifications depending on particle size and crystallinity. It is often used as an excipient in direct compression formulations but can also be incorporated as a diluent for tablets prepared by wet granulation, as a filler for capsules and for the production of spheres.

Diluents, although commonly presumed inert, do have the ability to influence the stability or bioavailability of the dosage form. For example, dibasic calcium phosphate (both anhydrous and dihydrate forms) is the most common inorganic salt used as a filler–binder for direct compression. It is particularly useful in vitamin products as a source of both calcium and phosphorous. Milled material is typically used in wet-granulated or roller-compacted formulations. The coarse-grade material is typically used in direct compression

TABLE A1
Excipients Used in Solid Dose Formulations

Classification	Example
Fillers/diluents	Lactose, sucrose, glucose, microcrystalline cellulose
Binders	Polyvinyl pyrrolidone, starch, gelatin, cellulose derivatives
Lubricants	Magnesium stearate, stearic acid, polyethylene glycol, sodium chloride
Glidants	Fine silica, talc, magnesium stearate
Antiadherents	Talc, cornstarch, sodium dodecylsulfate
Disintegrants and superdisintegrants	Starch, sodium starch glycollate, cross-linked polyvinyl pyrrolidone
Colorants	Iron oxide, natural pigments
Flavor modifiers	Mannitol, aspartame

formulations. It is insoluble in water, but its surface is alkaline and it is therefore incompatible with drugs sensitive to alkaline pH. Additionally, it may interfere with the absorption of tetracyclines.

BINDERS

Binders (or adhesives) are added to formulations to promote cohesiveness within powders, thereby ensuring that the tablet remains intact after compression as well as improving the flow by forming granules. A binder should impart adequate cohesion without retarding disintegration or dissolution. Binders can be added either as a solution or as a dry powder. Binders added as dry powders are mixed with other powders prior to agglomeration, dissolving in water or solvent added during granulation, or added prior to compaction. Solution binders can be sprayed, poured, or mixed with the powder blend for agglomeration and are generally more effective, but further dry binder can be added prior to tableting. Starch, gelatin, and sugars are used along with gums, such as acacia and sodium alginate, and are used at concentrations between 2 and 10% w/w. Celluloses and polyvinyl pyrrolidone (PVP) are also utilized, often as dry binders.

LUBRICANTS

Lubricants can reduce friction between the tablet and the die wall during compression and ejection by interposing an intermediate film of low shear strength at the interface between the tablet and the die wall. The best lubricants are those with low shear strength but strong cohesive tendencies perpendicular to the line of shear [8]. The hydrophobic stearic acid and stearic acid salts, primarily magnesium stearate, are the most widely used and are included at concentrations less than 1% w/w in order to minimize any deleterious effects on disintegration or dissolution. They should be added after the disintegrant to avoid coating it and preferably at the final stage prior

to compression to ensure mixing time is kept to a minimum. Hydrophilic lubricants such as polyethylene glycols (PEGs) and lauryl sulfates can be used to redress the issues with dissolution but may not be as efficient as their hydrophobic counterparts.

GLIDANTS AND ANTIADHERENTS

Like lubricants, glidants are fine powders and may be required for tablet compression at high production speeds to improve the flow properties of the material into the die or during initial compression stages. They are added in the dry state immediately prior to compression and, by virtue of their low adhesive potential, reduce the friction between particles. Colloidal silica is popular, as are starches and talc.

Antiadherents can also be added to a formulation that is especially prone to sticking to the die surface (or picking). Water-insoluble lubricants such as magnesium stearate can be used as antiadherents, as can talc and starch.

DISINTEGRANTS

Disintegrants are added to a formulation to overcome the cohesive strength imparted during compression, thus facilitating break up of the formulation in the body and increasing the surface area for dissolution. They can be either intragranular, extra-granular, or both, and there is still a lack of understanding concerning their precise mechanism of action. On contact, disintegrants can draw water into the tablet, swelling and forcing the tablet apart. Starch, a traditional and still widely used disintegrant, will swell when wet, although it has been reported that its disintegrant action could be due to capillary action [6]. Levels can be increased beyond the normal 5% w/w to 15–20% w/w if a rapid disintegration is required. Surfactants can also act as disintegrants promoting wetting of the formulation, and sodium lauryl sulfate can be combined with starch to increase effectiveness.

Tablet disruption following production of carbon dioxide is another mechanism used to enhance disintegration. This uses a mixture of sodium bicarbonate and a weak acid such as citric acid or tartaric acid and is exploited for effervescent formulations.

SUPERDISINTEGRANTS

Compared to the more traditional starch, newer disintegrants are effective at much lower levels and comprise three groups: modified starches, modified cellulose, and cross-linked povidone. Their likely mechanism of action is a combination of proposed theories including water wicking, swelling, deformation recovery, repulsion, and heat of wetting [9]. Superdisintegrants are so called because of the relatively low levels required (2–4% w/w). Sodium starch glycollate

(Primojel, Explotab) is made by cross-linking potato starch and can swell up to 12-fold in less than 30 s. Crospovidone is completely insoluble in water, although it rapidly disperses and swells in water, but does not gel even after prolonged exposure. It rapidly exhibits high capillary activity and pronounced hydration capacity with little tendency to form gels and has a greater surface area–volume ratio compared to other disintegrants. Micronized versions are available to improve uniformity of mix. Croscarmellose sodium, a cross-linked polymer of carboxymethyl cellulose sodium is also insoluble in water, although it rapidly swells to 4–8 times its original volume on contact with water.

ADDED FUNCTIONALITY EXCIPIENTS

Adverse physiochemical and mechanical properties of new chemical entities prove challenging for formulation development. There is an increasing demand for faster and more efficient production processes. Also, biotechnological developments and various emerging protein-based therapies are broadening the definition for excipient products. Although the description of excipients from inactive ingredients is shifting toward functionally active materials and will continue to grow in this area, the introduction of improved versions of long-existing excipients is probably the more successful development. New single-component and coprocessed products have been introduced, for example, filler–binders. In addition, there have been advances in the understanding of how such substances act and hence how they can be optimally designed. Excipients for use in direct compression product forms or physically or chemically modified excipients used in relatively new drug delivery systems, such as patches or inhalation systems, are examples of these developments.

COLORANTS

Colorants are frequently used in uncoated tablets, coated tablets, and hard and soft gelatin capsules. They can mask color changes in the formulation and are used to provide uniqueness and identity to a commercial product. Concerns over the safety of coloring agents in formulations generally arise from adverse effects in food substances. Colorants are therefore subject to regulations not associated with other pharmaceutical excipients. Legislation specifies which colorants may be used in medicinal products and also provides for purity specifications. The number of permitted colors has decreased in recent years, and a list of approved colorants allowed by regulatory bodies can vary from country to country.

Colorants can be divided into water-soluble dyes and water-insoluble pigments. Some of the insoluble colors or pigments can also provide opacity to tablet coatings or gelatin shells, which can promote stability of light-sensitive active materials. Pigments such as the iron oxides, titanium dioxide, and some of the aluminum lakes are especially useful for this purpose.

Water-soluble dyes are usually incorporated within the granulation process to ensure even distribution throughout the formulation, but there can be an uneven distribution due to migration of the dye during drying processes. Therefore, water-soluble dyes can also be adsorbed into a carrier such as starch or lactose and dry blended prior to the final mix. Water-insoluble pigments are more popular in direct compression and are dry blended with the other ingredients.

Lakes are largely water-insoluble forms of common synthetic water-soluble dyes and are prepared by adsorbing the sodium or potassium salt of a dye onto a very fine substrate of hydrated alumina, followed by treatment with a further soluble aluminum salt. The lake is then purified and dried. Lakes are frequently used in coloring tablet coatings since they are more stable and have greater opacity than a water-soluble dye.

LIST OF EXCIPIENTS USED IN FDA APPROVED PRODUCTS

Ingredient	Route	Dosage Form	Quantity	Unit
1-(PHENYLAZO)-2-NAPHTHYLAMINE	ORAL	TABLET	0.2	MG
ACACIA	BUCCAL/SUBLINGUAL	TABLET	9.1	MG
ACACIA	ORAL	TABLET	0.001	MG
ACACIA	ORAL	TABLET	70	MG
ACACIA	ORAL	TABLET (IMMED./COMP. RELEASE), UNCOATED, CHEWABLE	80	MG
ACACIA	ORAL	TABLET, CHEWABLE	10	MG
ACACIA	ORAL	TABLET, COATED	27.2	MG
ACACIA	ORAL	TABLET, COATED	156	MG
ACACIA	ORAL	TABLET, DELAYED ACTION, ENTERIC COATED	10	MG
ACACIA	ORAL	TABLET, FILM COATED	14.9	MG
ACACIA	ORAL	TABLET, REPEAT ACTION	11.54	MG
ACACIA	ORAL	TABLET, SUSTAINED ACTION	34.4	MG
ACESULFAME POTASSIUM	ORAL	TABLET	8	MG
ACESULFAME POTASSIUM	ORAL	TABLET (IMMED./COMP. RELEASE), UNCOATED, CHEWABLE	3.75	MG
ACESULFAME POTASSIUM	ORAL	TABLET, CHEWABLE	6	MG
ACESULFAME POTASSIUM	ORAL	TABLET, DISPERSIBLE	1	MG
ACESULFAME POTASSIUM	ORAL	TABLET, EFFERVESCENT, FOR SOLUTION	4	MG
ACESULFAME POTASSIUM	ORAL	TABLET, FILM COATED	8.19	MG
ACESULFAME POTASSIUM	ORAL	TABLET, FOR SUSPENSION	1.8	MG
ACESULFAME POTASSIUM	ORAL	TABLET, ORALLY DISINTEGRATING	8	MG
ACESULFAME POTASSIUM	ORAL	TABLET, UNCOATED, LOZENGE	1.5	MG
ACESULFAME POTASSIUM	ORAL	TROCHE	1.7	MG
ACESULFAME POTASSIUM	SUBLINGUAL	TABLET	4.4	MG
ACETIC ACID	ORAL	TABLET	0.002	MG
ACETIC ANHYDRIDE	ORAL	TABLET, SUSTAINED ACTION	0.11	MG
ACETYLTRIBUTYL CITRATE	ORAL	TABLET	0.56	MG
ACETYLTRIBUTYL CITRATE	ORAL	TABLET, DELAYED RELEASE	11.54	MG
ACETYLTRIBUTYL CITRATE	ORAL	TABLET, ENTERIC COATED PARTICLES	18.7	MG
ACETYLTRIBUTYL CITRATE	ORAL	TABLET, EXTENDED RELEASE	39	MG
ACETYLTRIBUTYL CITRATE	ORAL	TABLET, SUSTAINED ACTION	57.35	MG
ACID BLUE 9 AMMONIUM	ORAL	TABLET	0.45	MG
ACRYL-EZE 93018509 WHITE	ORAL	TABLET	76.68	MG
ACRYL-EZE 93018509 WHITE	ORAL	TABLET, EXTENDED RELEASE	47.5	MG
ACRYL-EZE 93053823 ORANGE	ORAL	TABLET, DELAYED ACTION	21.52	MG
ACRYL-EZE 93084719 PINK	ORAL	TABLET, DELAYED ACTION	40.04	MG
ACRYL-EZE 93084720 PINK	ORAL	TABLET, DELAYED ACTION	75.09	MG
ACRYL-EZE 93084720 PINK	ORAL	TABLET, DELAYED RELEASE	58.5	MG
ACRYL-EZE 93091240 GREEN	ORAL	TABLET, DELAYED RELEASE	29.25	MG
ACRYLATES COPOLYMER	ORAL	TABLET	9.88	MG
ACRYLATES COPOLYMER	ORAL	TABLET (IMMED./COMP. RELEASE), UNCOATED, CHEWABLE	5.05	MG
ACRYLATES COPOLYMER	ORAL	TABLET, EXTENDED RELEASE	117.65	MG
ACRYLATES COPOLYMER	ORAL	TABLET, ORALLY DISINTEGRATING, DELAYED RELEASE	11.88	MG
ACRYLATES COPOLYMER	ORAL	TABLET, SUSTAINED ACTION, COATED	25.18	MG
ACTIVATED CHARCOAL	ORAL	TABLET	0.6	MG
ACTIVATED CHARCOAL	ORAL	TABLET, COATED	0.011	MG
ADIPIC ACID	VAGINAL	TABLET	57	MG
ADRABETADEX	ORAL	TABLET, ORALLY DISINTEGRATING	15	MG
ADVANTIA PRIME 190100BA01 WHITE	ORAL	TABLET	3	MG
ADVANTIA PRIME 190100BA01 WHITE	ORAL	TABLET (IMMED./COMP. RELEASE), COATED	3	MG

(Continued)

Ingredient	Route	Dosage Form	Quantity	Unit
AGAR, UNSPECIFIED	ORAL	TABLET	0.2	MG
ALBUMINS	ORAL	TABLET, FILM COATED	4.5	MG
ALCOHOL	ORAL	TABLET	315	MG
ALCOHOL	SUBLINGUAL	TABLET	0.001	MG
ALGELDRATE	ORAL	TABLET	85	MG
ALGINIC ACID	ORAL	TABLET	32	MG
ALGINIC ACID	ORAL	TABLET (IMMED./COMP. RELEASE), UNCOATED, CHEWABLE	400	MG
ALGINIC ACID	ORAL	TABLET, COATED	60	MG
ALGINIC ACID	ORAL	TABLET, EXTENDED RELEASE	20	MG
ALGINIC ACID	ORAL	TABLET, FILM COATED	52.8	MG
ALGINIC ACID	ORAL	TABLET, SUSTAINED ACTION	22.25	MG
ALPHA-TOCOPHEROL	ORAL	TABLET	0.2	MG
ALPHA-TOCOPHEROL	ORAL	TABLET (IMMED./COMP. RELEASE), UNCOATED, CHEWABLE	0.1	MG
ALPHA-TOCOPHEROL	ORAL	TABLET, EXTENDED RELEASE	0.2	MG
ALPHA-TOCOPHEROL	ORAL	TABLET, SUSTAINED ACTION	1.34	MG
ALPHA-TOCOPHEROL ACETATE	ORAL	TABLET	0.5	MG
ALPHA-TOCOPHEROL, DL-	ORAL	TABLET	0.1	MG
ALPHA-TOCOPHEROL, DL-	ORAL	TABLET, CHEWABLE	0.1	mg
ALUMINUM HYDROXIDE	ORAL	TABLET	15	MG
ALUMINUM SILICATE	ORAL	TABLET	19.25	MG
ALUMINUM SILICATE	ORAL	TABLET, COATED	50	MG
ALUMINUM SILICATE	ORAL	TABLET, EXTENDED RELEASE	94	MG
ALUMINUM SILICATE	ORAL	TABLET, SUSTAINED ACTION	94	MG
ALUMINUM SILICATE	ORAL	TABLET, SUSTAINED ACTION, COATED	47	MG
ALUMINUM STEARATE	ORAL	TABLET	2.8	MG
ALUMINUM STEARATE	ORAL	TABLET, SUSTAINED ACTION	105	MG
ALZAMER-39	ORAL	TABLET, SUSTAINED ACTION	10	MG
ALZAMER-50	ORAL	TABLET, CONTROLLED RELEASE	32	MG
ALZAMER-50	ORAL	TABLET, SUSTAINED ACTION	10	MG
AMARANTH	ORAL	TABLET	0.57	MG
AMARANTH	ORAL	TABLET, COATED	0.02	MG
AMARANTH	ORAL	TABLET, FILM COATED	0.003	MG
AMBERLITE	ORAL	TABLET	20	MG
AMBERLITE	ORAL	TABLET, FILM COATED	25	MG
AMBERLITE XE-88	ORAL	TABLET	10	MG
AMBERLITE XE-88	ORAL	TABLET, COATED	11	MG
AMINO BENZOATE SODIUM	ORAL	TABLET	0.001	MG
AMMONIA SOLUTION	ORAL	TABLET, DELAYED ACTION	0.005	MG
AMMONIA SOLUTION	ORAL	TABLET, EXTENDED RELEASE	0.01	MG
AMMONIO METHACRYLATE COPOLYMER TYPE A	ORAL	TABLET, EXTENDED RELEASE	8.72	MG
AMMONIO METHACRYLATE COPOLYMER TYPE A	ORAL	TABLET, SUSTAINED ACTION, COATED	25	MG
AMMONIO METHACRYLATE COPOLYMER TYPE B	ORAL	TABLET	33.33	MG
AMMONIO METHACRYLATE COPOLYMER TYPE B	ORAL	TABLET (IMMED./COMP. RELEASE), UNCOATED, CHEWABLE	16.67	MG
AMMONIO METHACRYLATE COPOLYMER TYPE B	ORAL	TABLET, CONTROLLED RELEASE	97.5	MG
AMMONIO METHACRYLATE COPOLYMER TYPE B	ORAL	TABLET, EXTENDED RELEASE	114	MG
AMMONIO METHACRYLATE COPOLYMER TYPE B	ORAL	TABLET, FILM COATED	8	MG
AMMONIO METHACRYLATE COPOLYMER TYPE B	ORAL	TABLET, SUSTAINED ACTION	81.6	MG
AMMONIUM CALCIUM ALGINATE	ORAL	TABLET	10.72	MG

(Continued)

Ingredient	Route	Dosage Form	Quantity	Unit
AMMONIUM CHLORIDE	ORAL	TABLET	4.2	MG
AMMONIUM CHLORIDE	ORAL	TABLET, EXTENDED RELEASE	10.67	MG
AMMONIUM CHLORIDE	ORAL	TABLET, FILM COATED	8	MG
AMMONIUM GLYCYRRHIZATE	ORAL	TABLET	4.67	MG
AMMONIUM GLYCYRRHIZATE	ORAL	TABLET (IMMED./COMP. RELEASE), UNCOATED, CHEWABLE	11.5	MG
AMMONIUM GLYCYRRHIZATE	ORAL	TABLET, ORALLY DISINTEGRATING	1.4	MG
AMMONIUM PHOSPHATE, DIBASIC	ORAL	TABLET	0.4	MG
AMMONIUM PHOSPHATE, DIBASIC	ORAL	TABLET, SUSTAINED ACTION	0.4	MG
AMMONIUM PHOSPHATE, DIBASIC	SUBLINGUAL	TABLET	0.2	MG
ANHYDROUS CITRIC ACID	ORAL	TABLET	20.4	MG
ANHYDROUS CITRIC ACID	ORAL	TABLET, CHEWABLE	8	MG
ANHYDROUS CITRIC ACID	ORAL	TABLET, EFFERVESCENT, FOR SOLUTION	839.63	MG
ANHYDROUS CITRIC ACID	ORAL	TABLET, EXTENDED RELEASE	78	MG
ANHYDROUS CITRIC ACID	ORAL	TABLET, ORALLY DISINTEGRATING		ADJ PH
ANHYDROUS CITRIC ACID	ORAL	TABLET, ORALLY DISINTEGRATING	30	MG
ANHYDROUS CITRIC ACID	ORAL	TABLET, UNCOATED, LOZENGE	9.8	MG
ANHYDROUS CITRIC ACID	SUBLINGUAL	TABLET	10	MG
ANHYDROUS CITRIC ACID	SUBLINGUAL	TABLET (IMMED./COMP. RELEASE), UNCOATED, BUCCAL	6	MG
ANHYDROUS CITRIC ACID	SUBLINGUAL	TABLET, ORALLY DISINTEGRATING		ADJ PH
ANHYDROUS CITRIC ACID	TRANSMUCOSAL	TABLET, UNCOATED, LOZENGE	11	MG
ANHYDROUS DEXTROSE	ORAL	TABLET, EXTENDED RELEASE	15	MG
ANHYDROUS DIBASIC CALCIUM PHOSPHATE	ORAL	TABLET	120.5	MG
ANHYDROUS DIBASIC CALCIUM PHOSPHATE	ORAL	TABLET	560	mg
ANHYDROUS DIBASIC CALCIUM PHOSPHATE	ORAL	TABLET	850	MG
ANHYDROUS DIBASIC CALCIUM PHOSPHATE	ORAL	TABLET (IMMED./COMP. RELEASE), FILM COATED	138.84	MG
ANHYDROUS DIBASIC CALCIUM PHOSPHATE	ORAL	TABLET, COATED	333.3	MG
ANHYDROUS DIBASIC CALCIUM PHOSPHATE	ORAL	TABLET, DELAYED ACTION, ENTERIC COATED	55.16	MG
ANHYDROUS DIBASIC CALCIUM PHOSPHATE	ORAL	TABLET, DELAYED RELEASE	11	MG
ANHYDROUS DIBASIC CALCIUM PHOSPHATE	ORAL	TABLET, EXTENDED RELEASE	98	MG
ANHYDROUS DIBASIC CALCIUM PHOSPHATE	ORAL	TABLET, FILM COATED	525.56	MG
ANHYDROUS DIBASIC CALCIUM PHOSPHATE	ORAL	TABLET, FOR SUSPENSION	101.5	MG
ANHYDROUS DIBASIC CALCIUM PHOSPHATE	ORAL	TABLET, SUSTAINED ACTION	91.5	MG
ANHYDROUS DIBASIC CALCIUM PHOSPHATE	ORAL	TABLET, SUSTAINED ACTION	335	MG
ANHYDROUS LACTOSE	BUCCAL	TABLET	23.75	MG
ANHYDROUS LACTOSE	ORAL	TABLET	735.2	MG
ANHYDROUS LACTOSE	ORAL	TABLET (IMMED./COMP. RELEASE), COATED	93.3	MG
ANHYDROUS LACTOSE	ORAL	TABLET (IMMED./COMP. RELEASE), FILM COATED	204	MG
ANHYDROUS LACTOSE	ORAL	TABLET (IMMED./COMP. RELEASE), UNCOATED, BUCCAL	98.7	MG

(Continued)

Ingredient	Route	Dosage Form	Quantity	Unit
ANHYDROUS LACTOSE	ORAL	TABLET (IMMED./COMP. RELEASE), UNCOATED, CHEWABLE	108	MG
ANHYDROUS LACTOSE	ORAL	TABLET, CHEWABLE	475.5	MG
ANHYDROUS LACTOSE	ORAL	TABLET, COATED	144.19	MG
ANHYDROUS LACTOSE	ORAL	TABLET, DELAYED ACTION	333	MG
ANHYDROUS LACTOSE	ORAL	TABLET, DELAYED ACTION, ENTERIC COATED	90	MG
ANHYDROUS LACTOSE	ORAL	TABLET, DELAYED RELEASE	420	MG
ANHYDROUS LACTOSE	ORAL	TABLET, EXTENDED RELEASE	264.45	MG
ANHYDROUS LACTOSE	ORAL	TABLET, FILM COATED	453.6	MG
ANHYDROUS LACTOSE	ORAL	TABLET, FILM COATED, EXTENDED RELEASE	48	MG
ANHYDROUS LACTOSE	ORAL	TABLET, ORALLY DISINTEGRATING	25	MG
ANHYDROUS LACTOSE	ORAL	TABLET, SUSTAINED ACTION	180.9	MG
ANHYDROUS LACTOSE	ORAL	TABLET, SUSTAINED ACTION, COATED	130.7	MG
ANHYDROUS LACTOSE	ORAL	TABLET, SUSTAINED ACTION, FILM COATED	157.95	MG
ANHYDROUS LACTOSE	SUBLINGUAL	TABLET	128	MG
ANHYDROUS LACTOSE	VAGINAL	TABLET	605	MG
ANHYDROUS TRISODIUM CITRATE	ORAL	TABLET	28	MG
ANHYDROUS TRISODIUM CITRATE	ORAL	TABLET (IMMED./COMP. RELEASE), UNCOATED, EFFERVESCENT	935	MG
ANHYDROUS TRISODIUM CITRATE	ORAL	TABLET, DELAYED ACTION, ENTERIC COATED	15	MG
ANHYDROUS TRISODIUM CITRATE	SUBLINGUAL	TABLET	2.68	MG
ANTIFOAM	ORAL	TABLET, COATED	0.003	MG
ANTIFOAM	ORAL	TABLET, DELAYED ACTION	0.12	MG
AQUACOAT	ORAL	TABLET	2.25	MG
AQUACOAT	ORAL	TABLET (IMMED./COMP. RELEASE), UNCOATED, CHEWABLE	13.5	MG
AQUACOAT ECD	ORAL	TABLET	3.45	MG
AQUACOAT ECD	ORAL	TABLET, SUSTAINED ACTION	27.4	MG
AQUACOAT ECD-30	ORAL	TABLET, EXTENDED RELEASE	59.13	MG
AQUARIUS BKT14090 YELLOW	ORAL	TABLET	18	MG
AQUARIUS BP17066 BLUE	ORAL	TABLET	9	MG
ARGININE	ORAL	TABLET	25	MG
ARGININE	ORAL	TABLET, MULTILAYER, EXTENDED RELEASE	20	MG
ASCORBIC ACID	ORAL	TABLET	28.44	MG
ASCORBIC ACID	ORAL	TABLET, FILM COATED	20	MG
ASCORBIC ACID	ORAL	TABLET, ORALLY DISINTEGRATING	2.35	MG
ASCORBYL PALMITATE	ORAL	TABLET	0.52	MG
ASPARTAME	ORAL	TABLET	20	MG
ASPARTAME	ORAL	TABLET (IMMED./COMP. RELEASE), FILM COATED	5.1	MG
ASPARTAME	ORAL	TABLET (IMMED./COMP. RELEASE), UNCOATED, CHEWABLE	65	MG
ASPARTAME	ORAL	TABLET (IMMED./COMP. RELEASE), UNCOATED, EFFERVESCENT	30	MG
ASPARTAME	ORAL	TABLET, CHEWABLE	12	MG
ASPARTAME	ORAL	TABLET, DISPERSIBLE	2.5	MG
ASPARTAME	ORAL	TABLET, FILM COATED	20	MG
ASPARTAME	ORAL	TABLET, FOR SUSPENSION	3.7	MG
ASPARTAME	ORAL	TABLET, ORALLY DISINTEGRATING	40	MG
ASPARTAME	ORAL	TABLET, ORALLY DISINTEGRATING, DELAYED RELEASE	13	MG
ASPARTAME	ORAL	TROCHE	6.1	MG

(Continued)

Ingredient	Route	Dosage Form	Quantity	Unit
ASPARTAME	SUBLINGUAL	TABLET	8	MG
BENTONITE	ORAL	TABLET	23	MG
BENTONITE	ORAL	TABLET, COATED	1.8	MG
BENZYL ALCOHOL	ORAL	TABLET	1.06	MG
BENZYL ALCOHOL	ORAL	TABLET, DELAYED ACTION, ENTERIC COATED	2.31	MG
BENZYL ALCOHOL	ORAL	TABLET, SUSTAINED ACTION, COATED	1.25	MG
BENZYL VIOLET	ORAL	TABLET	0.4	MG
BENZYL VIOLET	ORAL	TABLET, COATED	0.001	MG
BETADEX	ORAL	TABLET	133.33	MG
BETADEX	ORAL	TABLET, FILM COATED	82.5	MG
BISMUTH SUBCARBONATE	ORAL	TABLET	4.3	MG
BISMUTH SUBCARBONATE	ORAL	TABLET, SUSTAINED ACTION	0.044	MG
BLACK INK	ORAL	TABLET	0.14	MG
BLACK INK	ORAL	TABLET, DELAYED ACTION, ENTERIC COATED	1	MG
BLACK INK	ORAL	TABLET, EXTENDED RELEASE	0.019	MG
BLACK INK	ORAL	TABLET, FILM COATED	0.15	MG
BLACK INK	ORAL	TABLET, SUSTAINED ACTION	1	MG
BROWN IRON OXIDE	ORAL	TABLET	0.79	MG
BROWN IRON OXIDE	ORAL	TABLET, FILM COATED	0.2	MG
BUFFERED SODA	SUBLINGUAL	TABLET	40	MG
BUTYL ALCOHOL	ORAL	TABLET, DELAYED ACTION	0.011	MG
BUTYL ALCOHOL	ORAL	TABLET, DELAYED RELEASE	0.052	MG
BUTYL ALCOHOL	ORAL	TABLET, EXTENDED RELEASE	0.2	MG
BUTYLATED HYDROXYANISOLE	ORAL	TABLET	0.86	MG
BUTYLATED HYDROXYANISOLE	ORAL	TABLET, EXTENDED RELEASE	0.5	MG
BUTYLATED HYDROXYANISOLE	ORAL	TABLET, FILM COATED	0.4	MG
BUTYLATED HYDROXYANISOLE	ORAL	TABLET, ORALLY DISINTEGRATING	0.6	MG
BUTYLATED HYDROXYANISOLE	SUBLINGUAL	TABLET	1	MG
BUTYLATED HYDROXYTOLUENE	ORAL	TABLET	0.42	MG
BUTYLATED HYDROXYTOLUENE	ORAL	TABLET (IMMED./COMP. RELEASE), FILM COATED	0.15	MG
BUTYLATED HYDROXYTOLUENE	ORAL	TABLET, CONTROLLED RELEASE	0.21	MG
BUTYLATED HYDROXYTOLUENE	ORAL	TABLET, EXTENDED RELEASE	0.4	MG
BUTYLATED HYDROXYTOLUENE	ORAL	TABLET, FILM COATED	0.36	MG
BUTYLATED HYDROXYTOLUENE	ORAL	TABLET, FOR SUSPENSION	0.1	MG
BUTYLATED HYDROXYTOLUENE	ORAL	TABLET, ORALLY DISINTEGRATING	0.3	MG
BUTYLATED HYDROXYTOLUENE	ORAL	TABLET, SUSTAINED ACTION	0.17	MG
BUTYLATED HYDROXYTOLUENE	ORAL	TABLET, SUSTAINED ACTION, COATED	0.24	MG
BUTYLATED HYDROXYTOLUENE	SUBLINGUAL	TABLET	0.13	mg
BUTYLPARABEN	ORAL	TABLET, COATED	0.004	MG
BUTYLPARABEN	ORAL	TABLET, REPEAT ACTION	0.006	MG
BUTYLPARABEN	ORAL	TABLET, SUSTAINED ACTION	0.04	MG
CALCIUM	ORAL	TABLET	85.04	MG
CALCIUM ACETATE	ORAL	TABLET (IMMED./COMP. RELEASE), FILM COATED	140	MG
CALCIUM ALGinate AND AMMONIUM ALGinate	ORAL	TABLET	20	MG
CALCIUM CARBONATE	ORAL	TABLET	292.2	MG
CALCIUM CARBONATE	ORAL	TABLET (IMMED./COMP. RELEASE), FILM COATED	132	MG
CALCIUM CARBONATE	ORAL	TABLET (IMMED./COMP. RELEASE), UNCOATED, CHEWABLE	550	MG
CALCIUM CARBONATE	ORAL	TABLET, COATED	64.8	MG
CALCIUM CARBONATE	ORAL	TABLET, DELAYED ACTION, ENTERIC COATED	8.4	MG

(Continued)

Ingredient	Route	Dosage Form	Quantity	Unit
CALCIUM CARBONATE	ORAL	TABLET, FILM COATED	262.16	MG
CALCIUM CARBONATE	ORAL	TABLET, SUSTAINED ACTION	229.7	MG
CALCIUM CITRATE	ORAL	TABLET	98.95	MG
CALCIUM HYDROXIDE	ORAL	TABLET	35	MG
CALCIUM LACTATE	VAGINAL	TABLET	30	MG
CALCIUM PHOSPHATE, DIBASIC MONOHYDRATE	ORAL	TABLET	109.3	MG
CALCIUM PHOSPHATE, UNSPECIFIED FORM	ORAL	TABLET	160	MG
CALCIUM PHOSPHATE, UNSPECIFIED FORM	ORAL	TABLET, COATED	93.6	MG
CALCIUM PHOSPHATE, UNSPECIFIED FORM	ORAL	TABLET, FILM COATED	362	MG
CALCIUM POLYCARBOPHIL	ORAL	TABLET, UNCOATED, LOZENGE	5.49	MG
CALCIUM POLYCARBOPHIL	ORAL	TROCHE	32.04	MG
CALCIUM PYROPHOSPHATE	ORAL	TABLET	298.04	MG
CALCIUM SILICATE	ORAL	TABLET	146.13	MG
CALCIUM SILICATE	ORAL	TABLET, COATED	155	MG
CALCIUM SILICATE	ORAL	TABLET, FILM COATED	182.7	MG
CALCIUM SILICATE	ORAL	TABLET, ORALLY DISINTEGRATING	75	MG
CALCIUM SILICATE	ORAL	TABLET, SUSTAINED ACTION	15	MG
CALCIUM STEARATE	BUCCAL/SUBLINGUAL	TABLET	1.42	MG
CALCIUM STEARATE	ORAL	TABLET	42.9	MG
CALCIUM STEARATE	ORAL	TABLET (IMMED./COMP. RELEASE), UNCOATED, CHEWABLE	47.5	MG
CALCIUM STEARATE	ORAL	TABLET, COATED	1	MG
CALCIUM STEARATE	ORAL	TABLET, DELAYED ACTION	4.8	MG
CALCIUM STEARATE	ORAL	TABLET, DELAYED ACTION, ENTERIC COATED	3.2	MG
CALCIUM STEARATE	ORAL	TABLET, EXTENDED RELEASE	15	MG
CALCIUM STEARATE	ORAL	TABLET, FILM COATED	16	MG
CALCIUM STEARATE	ORAL	TABLET, ORALLY DISINTEGRATING	14	MG
CALCIUM STEARATE	ORAL	TABLET, SUSTAINED ACTION	24	MG
CALCIUM STEARATE	SUBLINGUAL	TABLET	2	MG
CALCIUM SULFATE ANHYDROUS	ORAL	TABLET	174.5	MG
CALCIUM SULFATE ANHYDROUS	ORAL	TABLET, DELAYED RELEASE	67	MG
CALCIUM SULFATE ANHYDROUS	ORAL	TABLET, EXTENDED RELEASE	234.05	MG
CALCIUM SULFATE DIHYDRATE	ORAL	TABLET	342	MG
CALCIUM SULFATE DIHYDRATE	ORAL	TABLET	413	MG
CALCIUM SULFATE DIHYDRATE	ORAL	TABLET, COATED	214.24	MG
CALCIUM SULFATE DIHYDRATE	ORAL	TABLET, DELAYED ACTION, ENTERIC COATED	87.2	MG
CALCIUM SULFATE DIHYDRATE	ORAL	TABLET, EXTENDED RELEASE	29.7	MG
CALCIUM SULFATE DIHYDRATE	ORAL	TABLET, FILM COATED	341	MG
CALCIUM SULFATE DIHYDRATE	ORAL	TABLET, REPEAT ACTION	242.95	MG
CALCIUM SULFATE, UNSPECIFIED FORM	ORAL	TABLET, COATED	170	MG
CALCIUM SULFATE, UNSPECIFIED FORM	ORAL	TABLET, DELAYED ACTION, ENTERIC COATED	75	MG
CALCIUM SULFATE, UNSPECIFIED FORM	ORAL	TABLET, EXTENDED RELEASE	8.4	MG
CALCIUM SULFATE, UNSPECIFIED FORM	ORAL	TABLET, FILM COATED	443	MG
CALCIUM SULFATE, UNSPECIFIED FORM	ORAL	TABLET, REPEAT ACTION	235	MG
CANDELILLA WAX	ORAL	TABLET	0.4	MG

(Continued)

Ingredient	Route	Dosage Form	Quantity	Unit
CANDELILLA WAX	ORAL	TABLET (IMMED./COMP. RELEASE), FILM COATED	0.32	MG
CANDELILLA WAX	ORAL	TABLET, EXTENDED RELEASE	0.37	MG
CANDELILLA WAX	ORAL	TABLET, FILM COATED	0.8	MG
CANDELILLA WAX	ORAL	TABLET, SUSTAINED ACTION	0.16	MG
CANDELILLA WAX	ORAL	TABLET, SUSTAINED ACTION, COATED	0.58	MG
CARBOMER HOMOPOLYMER TYPE A (ALLYL PENTAERYTHRITOL CROSSLINKED)	ORAL	TABLET, EXTENDED RELEASE	175	MG
CARBOMER HOMOPOLYMER TYPE B (ALLYL PENTAERYTHRITOL CROSSLINKED)	ORAL	TABLET	4	MG
CARBOMER HOMOPOLYMER TYPE B (ALLYL PENTAERYTHRITOL CROSSLINKED)	ORAL	TABLET, EXTENDED RELEASE	56.14	MG
CARBOMER HOMOPOLYMER TYPE B (ALLYL PENTAERYTHRITOL OR ALLYL SUCROSE CROSSLINKED)	BUCCAL	TABLET	9.38	MG
CARBOMER HOMOPOLYMER TYPE B (ALLYL PENTAERYTHRITOL OR ALLYL SUCROSE CROSSLINKED)	ORAL	TABLET, EXTENDED RELEASE	15	MG
CARBOMER HOMOPOLYMER TYPE B (ALLYL PENTAERYTHRITOL OR ALLYL SUCROSE CROSSLINKED)	ORAL	TABLET, ORALLY DISINTEGRATING	0.3	MG
CARBOMER HOMOPOLYMER TYPE B (ALLYL PENTAERYTHRITOL OR ALLYL SUCROSE CROSSLINKED)	ORAL	TABLET, SUSTAINED ACTION	90	MG
CARBOMER HOMOPOLYMER TYPE B (ALLYL PENTAERYTHRITOL OR ALLYL SUCROSE CROSSLINKED)	ORAL	TABLET, SUSTAINED ACTION, COATED	3	MG
CARBOXYMETHYL STARCH	ORAL	TABLET	25	MG
CARBOXYMETHYLCELLULOSE	ORAL	TABLET	12.9	MG
CARBOXYMETHYLCELLULOSE CALCIUM	ORAL	TABLET	125	MG
CARBOXYMETHYLCELLULOSE CALCIUM	ORAL	TABLET (IMMED./COMP. RELEASE), FILM COATED	92.96	MG
CARBOXYMETHYLCELLULOSE CALCIUM	ORAL	TABLET, DELAYED ACTION, ENTERIC COATED	13.3	MG
CARBOXYMETHYLCELLULOSE CALCIUM	ORAL	TABLET, FILM COATED	241.84	MG
CARBOXYMETHYLCELLULOSE CALCIUM	ORAL	TABLET, ORALLY DISINTEGRATING	15	MG
CARBOXYMETHYLCELLULOSE SODIUM (0.7 CARBOXYMETHYL SUBSTITUTION PER SACCHARIDE; 38 MPA.S AT 2%)	ORAL	TABLET	7	MG
CARBOXYMETHYLCELLULOSE SODIUM, UNSPECIFIED FORM	ORAL	TABLET	48	MG
CARBOXYMETHYLCELLULOSE SODIUM, UNSPECIFIED FORM	ORAL	TABLET (IMMED./COMP. RELEASE), UNCOATED, CHEWABLE	24.75	MG
CARBOXYMETHYLCELLULOSE SODIUM, UNSPECIFIED FORM	ORAL	TABLET, COATED	3.2	MG
CARBOXYMETHYLCELLULOSE SODIUM, UNSPECIFIED FORM	ORAL	TABLET, CONTROLLED RELEASE	0.04	mg
CARBOXYMETHYLCELLULOSE SODIUM, UNSPECIFIED FORM	ORAL	TABLET, DELAYED ACTION	50	MG

(Continued)

Ingredient	Route	Dosage Form	Quantity	Unit
CARBOXYMETHYLCELLULOSE SODIUM, UNSPECIFIED FORM	ORAL	TABLET, EXTENDED RELEASE	36.9	mg
CARBOXYMETHYLCELLULOSE SODIUM, UNSPECIFIED FORM	ORAL	TABLET, EXTENDED RELEASE	233.3	MG
CARBOXYMETHYLCELLULOSE SODIUM, UNSPECIFIED FORM	ORAL	TABLET, FILM COATED	50	MG
CARBOXYMETHYLCELLULOSE SODIUM, UNSPECIFIED FORM	ORAL	TABLET, FILM COATED, EXTENDED RELEASE	50.02	MG
CARBOXYMETHYLCELLULOSE SODIUM, UNSPECIFIED FORM	ORAL	TABLET, SUSTAINED ACTION	155	MG
CARBOXYPOLYMETHYLENE	ORAL	TABLET, EXTENDED RELEASE	20	MG
CARBOXYPOLYMETHYLENE	ORAL	TABLET, SUSTAINED ACTION	195	MG
CARMINE	ORAL	TABLET	6.8	MG
CARMINE	ORAL	TABLET, FILM COATED	0.38	MG
CARNAUBA WAX	ORAL	TABLET	167.8	MG
CARNAUBA WAX	ORAL	TABLET (IMMED./COMP. RELEASE), UNCOATED, CHEWABLE	31.13	MG
CARNAUBA WAX	ORAL	TABLET, COATED	0.92	MG
CARNAUBA WAX	ORAL	TABLET, DELAYED ACTION	5	MG
CARNAUBA WAX	ORAL	TABLET, DELAYED ACTION, ENTERIC COATED	230	MG
CARNAUBA WAX	ORAL	TABLET, DELAYED RELEASE	0.43	MG
CARNAUBA WAX	ORAL	TABLET, EXTENDED RELEASE	290	MG
CARNAUBA WAX	ORAL	TABLET, FILM COATED	5	MG
CARNAUBA WAX	ORAL	TABLET, MULTILAYER, EXTENDED RELEASE	0.11	MG
CARNAUBA WAX	ORAL	TABLET, REPEAT ACTION	0.046	MG
CARNAUBA WAX	ORAL	TABLET, SUGAR COATED	0.09	MG
CARNAUBA WAX	ORAL	TABLET, SUSTAINED ACTION	300	MG
CARNAUBA WAX	ORAL	TABLET, SUSTAINED ACTION, COATED	140	MG
CARNAUBA WAX	ORAL	TABLET, SUSTAINED ACTION, FILM COATED	0.25	MG
CARRAGEENAN	ORAL	TABLET	15	MG
CARVONE, (-)-	SUBLINGUAL	TABLET	0.081	MG
CASTOR OIL	ORAL	TABLET	2	MG
CASTOR OIL	ORAL	TABLET, COATED	0.9	MG
CASTOR OIL	ORAL	TABLET, FILM COATED	3.06	MG
CASTOR OIL	ORAL	TABLET, SUSTAINED ACTION	23.27	MG
CASTOR OIL	SUBLINGUAL	TABLET	1.6	MG
CELLABURATE	ORAL	TABLET, EXTENDED RELEASE	88.4	MG
CELLACEFATE	ORAL	TABLET	45.37	MG
CELLACEFATE	ORAL	TABLET, DELAYED ACTION	59.8	MG
CELLACEFATE	ORAL	TABLET, DELAYED ACTION, ENTERIC COATED	70	MG
CELLACEFATE	ORAL	TABLET, FILM COATED	15.6	MG
CELLACEFATE	ORAL	TABLET, SUSTAINED ACTION	70	MG
CELLULOSE ACETATE	ORAL	TABLET	10.44	MG
CELLULOSE ACETATE	ORAL	TABLET (IMMED./COMP. RELEASE), UNCOATED, CHEWABLE	6.86	MG
CELLULOSE ACETATE	ORAL	TABLET, CONTROLLED RELEASE	27.72	MG
CELLULOSE ACETATE	ORAL	TABLET, EXTENDED RELEASE	50.16	MG
CELLULOSE ACETATE	ORAL	TABLET, SUSTAINED ACTION	37.32	MG
CELLULOSE ACETATE	ORAL	TABLET, SUSTAINED ACTION, COATED	44.6	MG
CELLULOSE ACETATE CA-320S	ORAL	TABLET, EXTENDED RELEASE	36.02	MG
CELLULOSE ACETATE CA-398-10	ORAL	TABLET	15.77	MG
CELLULOSE ACETATE CA-398-10	ORAL	TABLET, CONTROLLED RELEASE	27.72	MG

(Continued)

Ingredient	Route	Dosage Form	Quantity	Unit
CELLULOSE ACETATE CA-398-10	ORAL	TABLET, EXTENDED RELEASE	47.49	MG
CELLULOSE MICROCRYSTALLINE/ CARBOXYMETHYLCELLULOSE SODIUM	ORAL	TABLET	200	MG
CELLULOSE, OXIDIZED	ORAL	TABLET	165.09	MG
CELLULOSE, OXIDIZED	ORAL	TABLET, COATED	20	MG
CETOSTEARYL ALCOHOL	ORAL	TABLET, EXTENDED RELEASE	200	MG
CETOSTEARYL ALCOHOL	ORAL	TABLET, SUSTAINED ACTION	70	MG
CETOSTEARYL ALCOHOL	ORAL	TABLET, SUSTAINED ACTION, FILM COATED	62	MG
CETYL ALCOHOL	ORAL	TABLET, COATED	0.25	MG
CETYL ALCOHOL	ORAL	TABLET, ORALLY DISINTEGRATING	8.5	MG
CETYL ALCOHOL	ORAL	TABLET, SUSTAINED ACTION	44	MG
CETYL ALCOHOL	ORAL	TABLET, SUSTAINED ACTION, FILM COATED	59	MG
CHERRY	ORAL	TABLET	0.45	MG
CHROMA-KOTE T2956-Y YELLOW	ORAL	TABLET	2.75	MG
CHROMA-KOTE T2956-Y YELLOW	ORAL	TABLET, FILM COATED	0.91	MG
CHROMACOTE T 2700GN	ORAL	TABLET	4.74	MG
CHROMACOTE T 2716Y	ORAL	TABLET	6.33	MG
CINNAMALDEHYDE	ORAL	TABLET	2.1	MG
CINNAMON OIL	ORAL	TABLET (IMMED./COMP. RELEASE), UNCOATED, CHEWABLE	0.001	MG
CITRIC ACID MONOHYDRATE	BUCCAL	TABLET	30	MG
CITRIC ACID MONOHYDRATE	ORAL	TABLET	78	MG
CITRIC ACID MONOHYDRATE	ORAL	TABLET (IMMED./COMP. RELEASE), FILM COATED	2.56	MG
CITRIC ACID MONOHYDRATE	ORAL	TABLET (IMMED./COMP. RELEASE), UNCOATED, CHEWABLE	4.26	MG
CITRIC ACID MONOHYDRATE	ORAL	TABLET, CHEWABLE	1.2	MG
CITRIC ACID MONOHYDRATE	ORAL	TABLET, DELAYED ACTION, ENTERIC COATED	1	MG
CITRIC ACID MONOHYDRATE	ORAL	TABLET, EXTENDED RELEASE	24	MG
CITRIC ACID MONOHYDRATE	ORAL	TABLET, FILM COATED	42	MG
CITRIC ACID MONOHYDRATE	ORAL	TABLET, ORALLY DISINTEGRATING	63	MG
CITRIC ACID MONOHYDRATE	ORAL	TABLET, ORALLY DISINTEGRATING, DELAYED RELEASE	3.08	MG
CITRIC ACID MONOHYDRATE	ORAL	TABLET, SUSTAINED ACTION, FILM COATED	40	MG
CITRIC ACID MONOHYDRATE	ORAL	TABLET, UNCOATED, LOZENGE	9.8	MG
CITRIC ACID MONOHYDRATE	ORAL	TABLET, UNCOATED, TROCHE	12	MG
CITRIC ACID MONOHYDRATE	SUBLINGUAL	TABLET	12	MG
COATERIC YPA-6-7430 WHITE	ORAL	TABLET, DELAYED ACTION, ENTERIC COATED	26	MG
COLOR HS 290008CR01 WHITE	ORAL	TABLET	24	MG
COLOR ICG-U-10251 BROWN	ORAL	TABLET	2	MG
COMPRESSIBLE SUGAR	ORAL	TABLET	392.2	MG
COMPRESSIBLE SUGAR	ORAL	TABLET (IMMED./COMP. RELEASE), FILM COATED	50	MG
COMPRESSIBLE SUGAR	ORAL	TABLET (IMMED./COMP. RELEASE), UNCOATED, CHEWABLE	623.5	MG
COMPRESSIBLE SUGAR	ORAL	TABLET, CHEWABLE	330	MG
COMPRESSIBLE SUGAR	ORAL	TABLET, COATED	120	MG
COMPRESSIBLE SUGAR	ORAL	TABLET, EXTENDED RELEASE	159.15	MG
COMPRESSIBLE SUGAR	ORAL	TABLET, SUSTAINED ACTION	253	MG

(Continued)

Ingredient	Route	Dosage Form	Quantity	Unit
COMPRESSIBLE SUGAR	ORAL	TABLET, SUSTAINED ACTION, FILM COATED	354	MG
COMPRESSIBLE SUGAR	SUBLINGUAL	TABLET	136	MG
COPOVIDONE K25-31	ORAL	TABLET	345	MG
COPOVIDONE K25-31	ORAL	TABLET	849.2	MG
COPOVIDONE K25-31	ORAL	TABLET (IMMED./COMP. RELEASE), UNCOATED, CHEWABLE	2.5	MG
COPOVIDONE K25-31	ORAL	TABLET, CONTROLLED RELEASE	5	mg
COPOVIDONE K25-31	ORAL	TABLET, DELAYED RELEASE	42	MG
COPOVIDONE K25-31	ORAL	TABLET, EXTENDED RELEASE	75	MG
COPOVIDONE K25-31	ORAL	TABLET, FILM COATED	853.8	MG
COPOVIDONE K25-31	ORAL	TABLET, FILM COATED, EXTENDED RELEASE	20	MG
COPOVIDONE K25-31	ORAL	TABLET, ORALLY DISINTEGRATING	4.38	MG
COPOVIDONE K25-31	ORAL	TABLET, SUSTAINED ACTION, FILM COATED	6.1	MG
CORN OIL	ORAL	TABLET	20	MG
CORN OIL	ORAL	TABLET, COATED	10	MG
CORN OIL	ORAL	TABLET, DELAYED ACTION, ENTERIC COATED	0.03	MG
CORN OIL	SUBLINGUAL	TABLET	1.7	MG
CORN STARCH, PARTIALLY HYDROLYZED	ORAL	TABLET	5.28	MG
CORN STARCH, PARTIALLY HYDROLYZED	VAGINAL	TABLET	8	mg
CORN SYRUP	ORAL	TABLET	14.07	MG
COTTONSEED OIL	ORAL	TABLET	0.16	MG
CROSCARMELLOSE	ORAL	TABLET	80	MG
CROSCARMELLOSE SODIUM	ORAL	TABLET	180	MG
CROSCARMELLOSE SODIUM	ORAL	TABLET (IMMED./COMP. RELEASE), COATED	32	MG
CROSCARMELLOSE SODIUM	ORAL	TABLET (IMMED./COMP. RELEASE), FILM COATED	100	MG
CROSCARMELLOSE SODIUM	ORAL	TABLET (IMMED./COMP. RELEASE), UNCOATED, CHEWABLE	25.5	MG
CROSCARMELLOSE SODIUM	ORAL	TABLET, CHEWABLE	12.5	MG
CROSCARMELLOSE SODIUM	ORAL	TABLET, CHEWABLE	18	mg
CROSCARMELLOSE SODIUM	ORAL	TABLET, COATED	35.2	MG
CROSCARMELLOSE SODIUM	ORAL	TABLET, DELAYED ACTION	51.51	MG
CROSCARMELLOSE SODIUM	ORAL	TABLET, DELAYED ACTION, COATED	32	MG
CROSCARMELLOSE SODIUM	ORAL	TABLET, DELAYED ACTION, ENTERIC COATED	32.44	MG
CROSCARMELLOSE SODIUM	ORAL	TABLET, DELAYED RELEASE	45	MG
CROSCARMELLOSE SODIUM	ORAL	TABLET, DISPERSIBLE	8	MG
CROSCARMELLOSE SODIUM	ORAL	TABLET, EXTENDED RELEASE	90	MG
CROSCARMELLOSE SODIUM	ORAL	TABLET, FILM COATED	165	MG
CROSCARMELLOSE SODIUM	ORAL	TABLET, FOR SUSPENSION	11.6	MG
CROSCARMELLOSE SODIUM	ORAL	TABLET, ORALLY DISINTEGRATING	48	MG
CROSCARMELLOSE SODIUM	ORAL	TABLET, SUGAR COATED	2.5	MG
CROSCARMELLOSE SODIUM	ORAL	TABLET, SUSTAINED ACTION	28	MG
CROSCARMELLOSE SODIUM	ORAL	TABLET, UNCOATED, TROCHE	10	MG
CROSCARMELLOSE SODIUM	SUBLINGUAL	TABLET	10	MG
CROSPVIDONE (12 MPA.S AT 5%)	ORAL	TABLET	90	MG
CROSPVIDONE (12 MPA.S AT 5%)	ORAL	TABLET	130	mg
CROSPVIDONE (12 MPA.S AT 5%)	ORAL	TABLET, DELAYED RELEASE	49	MG
CROSPVIDONE (12 MPA.S AT 5%)	ORAL	TABLET, ORALLY DISINTEGRATING	25.5	mg

(Continued)

Ingredient	Route	Dosage Form	Quantity	Unit
CROSPVIDONE (15 MPA.S AT 5%)	ORAL	TABLET	40	MG
CROSPVIDONE (15 MPA.S AT 5%)	ORAL	TABLET	110	MG
CROSPVIDONE (15 MPA.S AT 5%)	ORAL	TABLET	394	mg
CROSPVIDONE (15 MPA.S AT 5%)	ORAL	TABLET, EXTENDED RELEASE	1	mg
CROSPVIDONE (15 MPA.S AT 5%)	ORAL	TABLET, EXTENDED RELEASE	18	MG
CROSPVIDONE (15 MPA.S AT 5%)	ORAL	TABLET, EXTENDED RELEASE	395.91	MG
CROSPVIDONE (15 MPA.S AT 5%)	ORAL	TABLET, FILM COATED	5.6	MG
CROSPVIDONE (15 MPA.S AT 5%)	ORAL	TABLET, FILM COATED	31.23	mg
CROSPVIDONE (15 MPA.S AT 5%)	ORAL	TABLET, ORALLY DISINTEGRATING	12	MG
CROSPVIDONE (15 MPA.S AT 5%)	ORAL	TABLET, ORALLY DISINTEGRATING	17.5	MG
CROSPVIDONE (15 MPA.S AT 5%)	ORAL	TABLET, ORALLY DISINTEGRATING	25.6	mg
CROSPVIDONE (15 MPA.S AT 5%)	SUBLINGUAL	TABLET	5	mg
CROSPVIDONE (15 MPA.S AT 5%)	SUBLINGUAL	TABLET	6	MG
CROSPVIDONE, UNSPECIFIED	ORAL	TABLET	365	MG
CROSPVIDONE, UNSPECIFIED	ORAL	TABLET (IMMED./COMP. RELEASE), COATED	14	MG
CROSPVIDONE, UNSPECIFIED	ORAL	TABLET (IMMED./COMP. RELEASE), FILM COATED	110	MG
CROSPVIDONE, UNSPECIFIED	ORAL	TABLET (IMMED./COMP. RELEASE), UNCOATED, BUCCAL	9.6	MG
CROSPVIDONE, UNSPECIFIED	ORAL	TABLET (IMMED./COMP. RELEASE), UNCOATED, CHEWABLE	100	MG
CROSPVIDONE, UNSPECIFIED	ORAL	TABLET, CHEWABLE	60	MG
CROSPVIDONE, UNSPECIFIED	ORAL	TABLET, COATED	104	MG
CROSPVIDONE, UNSPECIFIED	ORAL	TABLET, DELAYED ACTION	84	MG
CROSPVIDONE, UNSPECIFIED	ORAL	TABLET, DELAYED ACTION, ENTERIC COATED	50	MG
CROSPVIDONE, UNSPECIFIED	ORAL	TABLET, DELAYED RELEASE	45	MG
CROSPVIDONE, UNSPECIFIED	ORAL	TABLET, DISPERSIBLE	10	MG
CROSPVIDONE, UNSPECIFIED	ORAL	TABLET, DISPERSIBLE	340	MG
CROSPVIDONE, UNSPECIFIED	ORAL	TABLET, ENTERIC COATED PARTICLES	130	MG
CROSPVIDONE, UNSPECIFIED	ORAL	TABLET, EXTENDED RELEASE	48.2	MG
CROSPVIDONE, UNSPECIFIED	ORAL	TABLET, FILM COATED	196.7	MG
CROSPVIDONE, UNSPECIFIED	ORAL	TABLET, FILM COATED, EXTENDED RELEASE	12	MG
CROSPVIDONE, UNSPECIFIED	ORAL	TABLET, FOR SUSPENSION	125	MG
CROSPVIDONE, UNSPECIFIED	ORAL	TABLET, MULTILAYER, EXTENDED RELEASE	5	MG
CROSPVIDONE, UNSPECIFIED	ORAL	TABLET, ORALLY DISINTEGRATING	280	MG
CROSPVIDONE, UNSPECIFIED	ORAL	TABLET, ORALLY DISINTEGRATING, DELAYED RELEASE	15	MG
CROSPVIDONE, UNSPECIFIED	ORAL	TABLET, REPEAT ACTION	10	MG
CROSPVIDONE, UNSPECIFIED	ORAL	TABLET, SUSTAINED ACTION	144	MG
CROSPVIDONE, UNSPECIFIED	ORAL	TABLET, SUSTAINED ACTION, COATED	15.4	MG
CROSPVIDONE, UNSPECIFIED	ORAL	TABLET, SUSTAINED ACTION, FILM COATED	5	MG
CROSPVIDONE, UNSPECIFIED	SUBLINGUAL	TABLET	24.87	MG
CROSPVIDONE, UNSPECIFIED	SUBLINGUAL	TABLET	26.81	mg
CROSPVIDONE, UNSPECIFIED	SUBLINGUAL	TABLET (IMMED./COMP. RELEASE), UNCOATED, BUCCAL	16	MG
CROSPVIDONE, UNSPECIFIED	VAGINAL	TABLET	50	MG
CRYSTAL GUM	ORAL	TABLET	17	MG
CUTINA	ORAL	TABLET, EXTENDED RELEASE	50	MG
CUTINA	SUBLINGUAL	TABLET	1.6	MG
CYSTEINE HYDROCHLORIDE	ORAL	TABLET, EXTENDED RELEASE	16	MG

(Continued)

Ingredient	Route	Dosage Form	Quantity	Unit
CYSTEINE HYDROCHLORIDE	ORAL	TABLET, FILM COATED, EXTENDED RELEASE	12	MG
CYSTEINE HYDROCHLORIDE	ORAL	TABLET, SUSTAINED ACTION, FILM COATED	16.2	MG
CYSTEINE HYDROCHLORIDE ANHYDROUS	ORAL	TABLET, EXTENDED RELEASE	12.5	MG
D&C BLUE NO. 1 LAKE	ORAL	TABLET	15.4	MG
D&C BLUE NO. 1--ALUMINUM LAKE	ORAL	TABLET	3.6	MG
D&C BLUE NO. 2 LAKE	ORAL	TABLET	0.24	MG
D&C BLUE NO. 2 LAKE	ORAL	TABLET, COATED	0.008	MG
D&C BLUE NO. 9	ORAL	TABLET, COATED	0.013	MG
D&C GREEN NO. 5	ORAL	TABLET	0.015	MG
D&C RED NO. 27	ORAL	TABLET	1	MG
D&C RED NO. 27	ORAL	TABLET, DELAYED RELEASE	0.7	MG
D&C RED NO. 27	ORAL	TABLET, EXTENDED RELEASE	1.43	MG
D&C RED NO. 27 ALUMINUM LAKE	ORAL	TABLET	0.69	MG
D&C RED NO. 27 ALUMINUM LAKE	ORAL	TABLET (IMMED./COMP. RELEASE), UNCOATED, CHEWABLE	1.25	MG
D&C RED NO. 27 ALUMINUM LAKE	ORAL	TABLET, DELAYED RELEASE	0.6	MG
D&C RED NO. 27 ALUMINUM LAKE	ORAL	TABLET, EXTENDED RELEASE	0.54	MG
D&C RED NO. 27 ALUMINUM LAKE	ORAL	TABLET, FILM COATED	0.33	MG
D&C RED NO. 27 LAKE	ORAL	TABLET	0.4	MG
D&C RED NO. 3 LAKE (DELISTED)	ORAL	TABLET	0.5	MG
D&C RED NO. 3 LAKE (DELISTED)	SUBLINGUAL	TABLET	0.005	MG
D&C RED NO. 30	ORAL	TABLET	2.21	MG
D&C RED NO. 30	ORAL	TABLET (IMMED./COMP. RELEASE), UNCOATED, CHEWABLE	1.46	MG
D&C RED NO. 30	ORAL	TABLET, CHEWABLE	0.7	MG
D&C RED NO. 30	ORAL	TABLET, COATED	1.16	MG
D&C RED NO. 30	ORAL	TABLET, EXTENDED RELEASE	0.1	MG
D&C RED NO. 30	ORAL	TABLET, FILM COATED	290	MG
D&C RED NO. 30 LAKE	ORAL	TABLET	2.5	MG
D&C RED NO. 30 LAKE	ORAL	TABLET (IMMED./COMP. RELEASE), UNCOATED, CHEWABLE	5	MG
D&C RED NO. 30 LAKE	ORAL	TABLET, COATED	0.34	MG
D&C RED NO. 30 LAKE	ORAL	TABLET, DELAYED ACTION, ENTERIC COATED	0.04	MG
D&C RED NO. 30 LAKE	ORAL	TABLET, ENTERIC COATED PARTICLES	0.8	MG
D&C RED NO. 30 LAKE	ORAL	TABLET, EXTENDED RELEASE	0.1	MG
D&C RED NO. 30 LAKE	ORAL	TABLET, FILM COATED	0.064	MG
D&C RED NO. 30 LAKE	ORAL	TABLET, SUSTAINED ACTION	0.025	MG
D&C RED NO. 33	ORAL	TABLET	0.29	MG
D&C RED NO. 33	ORAL	TABLET, COATED	0.002	MG
D&C RED NO. 33 LAKE	ORAL	TABLET	0.3	MG
D&C RED NO. 40 LAKE	ORAL	TABLET	0.2	MG
D&C RED NO. 6	ORAL	TABLET, EXTENDED RELEASE	0.2	MG
D&C RED NO. 6 BARIUM LAKE	ORAL	TABLET	0.38	MG
D&C RED NO. 6 LAKE	ORAL	TABLET	1.5	MG
D&C RED NO. 7	ORAL	TABLET	0.5	MG
D&C RED NO. 7	ORAL	TABLET, CHEWABLE	0.4	MG
D&C RED NO. 7	ORAL	TABLET, FILM COATED	0.16	MG
D&C RED NO. 7	SUBLINGUAL	TABLET	0.005	MG
D&C RED NO. 7 LAKE	ORAL	TABLET	0.6	MG
D&C RED NO. 7 LAKE	ORAL	TABLET, DELAYED ACTION, ENTERIC COATED	0.5	MG
D&C VIOLET NO. 2 LAKE	ORAL	TABLET	0.11	MG

(Continued)

Ingredient	Route	Dosage Form	Quantity	Unit
D&C YELLOW NO. 10	ORAL	TABLET	80	MG
D&C YELLOW NO. 10	ORAL	TABLET (IMMED./COMP. RELEASE), COATED	0.1	MG
D&C YELLOW NO. 10	ORAL	TABLET, CHEWABLE	0.3	MG
D&C YELLOW NO. 10	ORAL	TABLET, COATED	2.5	MG
D&C YELLOW NO. 10	ORAL	TABLET, DELAYED ACTION	0.11	MG
D&C YELLOW NO. 10	ORAL	TABLET, DELAYED ACTION, ENTERIC COATED	1.9	MG
D&C YELLOW NO. 10	ORAL	TABLET, EXTENDED RELEASE	2.5	MG
D&C YELLOW NO. 10	ORAL	TABLET, FILM COATED	120	MG
D&C YELLOW NO. 10	ORAL	TABLET, SUSTAINED ACTION	2.01	MG
D&C YELLOW NO. 10	SUBLINGUAL	TABLET	0.23	MG
D&C YELLOW NO. 10 ALUMINUM LAKE	ORAL	TABLET	1.5	mg
D&C YELLOW NO. 10 LAKE	ORAL	TABLET	6.52	MG
D&C YELLOW NO. 10 LAKE	ORAL	TABLET (IMMED./COMP. RELEASE), FILM COATED	0.1	MG
D&C YELLOW NO. 10 LAKE	ORAL	TABLET (IMMED./COMP. RELEASE), UNCOATED, CHEWABLE	3	MG
D&C YELLOW NO. 10 LAKE	ORAL	TABLET, COATED	3.68	MG
D&C YELLOW NO. 10 LAKE	ORAL	TABLET, EXTENDED RELEASE	0.44	MG
D&C YELLOW NO. 10 LAKE	ORAL	TABLET, ORALLY DISINTEGRATING	0.84	MG
D&C YELLOW NO. 10 LAKE	ORAL	TABLET, SUSTAINED ACTION	1.32	MG
D&C YELLOW NO. 10 LAKE	SUBLINGUAL	TABLET	0.15	MG
D&C YELLOW NO. 10--ALUMINUM LAKE	ORAL	TABLET	12.5	MG
D&C YELLOW NO. 10--ALUMINUM LAKE	ORAL	TABLET (IMMED./COMP. RELEASE), UNCOATED, CHEWABLE	2	MG
D&C YELLOW NO. 10--ALUMINUM LAKE	ORAL	TABLET, COATED	0.21	MG
D&C YELLOW NO. 10--ALUMINUM LAKE	ORAL	TABLET, DELAYED ACTION, ENTERIC COATED	0.05	MG
D&C YELLOW NO. 10--ALUMINUM LAKE	ORAL	TABLET, DISPERSIBLE	0.13	MG
D&C YELLOW NO. 10--ALUMINUM LAKE	ORAL	TABLET, EXTENDED RELEASE	2.82	MG
D&C YELLOW NO. 10--ALUMINUM LAKE	ORAL	TABLET, FILM COATED	0.4	MG
D&C YELLOW NO. 10--ALUMINUM LAKE	ORAL	TABLET, FILM COATED	3.2	mg
D&C YELLOW NO. 10--ALUMINUM LAKE	ORAL	TABLET, SUSTAINED ACTION	2.33	MG
D&C YELLOW NO. 10--ALUMINUM LAKE	ORAL	TABLET, SUSTAINED ACTION, FILM COATED	0.16	MG
D&C YELLOW NO. 10--ALUMINUM LAKE	ORAL	TABLET, SUSTAINED RELEASE, FILM COATED	0.8	MG
D&C YELLOW NO. 10--ALUMINUM LAKE	SUBLINGUAL	TABLET	0.18	MG
D&C YELLOW NO. 10-ALUMINUM LAKE	ORAL	TABLET	2	MG
D&C YELLOW NO. 10-ALUMINUM LAKE	ORAL	TABLET, EXTENDED RELEASE	1.33	MG
D&C YELLOW NO. 5--ALUMINUM LAKE	ORAL	TABLET	2.69	MG
D&C YELLOW NO. 5--ALUMINUM LAKE	ORAL	TABLET (IMMED./COMP. RELEASE), UNCOATED, CHEWABLE	0.29	MG
D&C YELLOW NO. 5--ALUMINUM LAKE	ORAL	TABLET, FILM COATED	0.59	MG
D&C YELLOW NO. 6 LAKE	ORAL	TABLET	0.5	MG
D&C YELLOW NO. 6 LAKE	SUBLINGUAL	TABLET	0.01	MG

(Continued)

Ingredient	Route	Dosage Form	Quantity	Unit
DEXTRATES	ORAL	TABLET	218.7	MG
DEXTRATES	ORAL	TABLET (IMMED./COMP. RELEASE), UNCOATED, CHEWABLE	2494	MG
DEXTRATES	ORAL	TABLET, CHEWABLE	867.5	MG
DEXTRATES	ORAL	TABLET, ORALLY DISINTEGRATING	77.76	MG
DEXTRATES	ORAL	TABLET, SUSTAINED ACTION	108.5	MG
DEXTRATES	ORAL	TABLET, UNCOATED, LOZENGE	598.8	MG
DEXTRATES	TRANSMUCOSAL	TABLET, UNCOATED, LOZENGE	1840	MG
DEXTRIN	ORAL	TABLET	5.2	MG
DEXTRIN	ORAL	TABLET, DELAYED ACTION, ENTERIC COATED	9.25	MG
DEXTROSE MONOHYDRATE	ORAL	TABLET	5.32	MG
DEXTROSE MONOHYDRATE	ORAL	TABLET, EXTENDED RELEASE	46.2	MG
DEXTROSE, UNSPECIFIED FORM	ORAL	TABLET	183.66	MG
DEXTROSE, UNSPECIFIED FORM	ORAL	TABLET (IMMED./COMP. RELEASE), UNCOATED, CHEWABLE	398	MG
DEXTROSE, UNSPECIFIED FORM	ORAL	TABLET, EXTENDED RELEASE	103.95	MG
DEXTROSE, UNSPECIFIED FORM	ORAL	TABLET, ORALLY DISINTEGRATING	1.2	MG
DEXTROSE, UNSPECIFIED FORM	ORAL	TABLET, SUSTAINED ACTION	2	MG
DEXTROSE, UNSPECIFIED FORM	ORAL	TABLET, UNCOATED, TROCHE	903.5	MG
DEXTROSE, UNSPECIFIED FORM	SUBLINGUAL	TABLET	115.78	MG
DIACETYLATED MONOGLYCERIDES	ORAL	TABLET	9.08	MG
DIACETYLATED MONOGLYCERIDES	ORAL	TABLET, COATED	0.63	MG
DIACETYLATED MONOGLYCERIDES	ORAL	TABLET, DELAYED ACTION, ENTERIC COATED	5.17	MG
DIACETYLATED MONOGLYCERIDES	ORAL	TABLET, DELAYED RELEASE	1.84	MG
DIACETYLATED MONOGLYCERIDES	ORAL	TABLET, FILM COATED	2.1	MG
DIACETYLATED MONOGLYCERIDES	ORAL	TABLET, SUSTAINED ACTION	2.48	MG
DIATOMACEOUS EARTH	ORAL	TABLET	5	MG
DIATOMACEOUS EARTH	ORAL	TABLET (IMMED./COMP. RELEASE), UNCOATED, CHEWABLE	2	MG
DIATOMACEOUS EARTH	ORAL	TABLET, COATED	7.2	MG
DIATOMACEOUS EARTH	ORAL	TABLET, SUSTAINED ACTION	4.16	MG
DIBASIC CALCIUM PHOSPHATE DIHYDRATE	ORAL	TABLET	66.57	MG
DIBASIC CALCIUM PHOSPHATE DIHYDRATE	ORAL	TABLET	603.48	MG
DIBASIC CALCIUM PHOSPHATE DIHYDRATE	ORAL	TABLET (IMMED./COMP. RELEASE), FILM COATED	336.96	MG
DIBASIC CALCIUM PHOSPHATE DIHYDRATE	ORAL	TABLET, CHEWABLE	53	MG
DIBASIC CALCIUM PHOSPHATE DIHYDRATE	ORAL	TABLET, COATED	488.7	MG
DIBASIC CALCIUM PHOSPHATE DIHYDRATE	ORAL	TABLET, DELAYED RELEASE	236.3	MG
DIBASIC CALCIUM PHOSPHATE DIHYDRATE	ORAL	TABLET, EXTENDED RELEASE	518.2	MG
DIBASIC CALCIUM PHOSPHATE DIHYDRATE	ORAL	TABLET, FILM COATED	635.5	MG
DIBASIC CALCIUM PHOSPHATE DIHYDRATE	ORAL	TABLET, SUSTAINED ACTION	189	MG
DIBUTYL SEBACATE	ORAL	TABLET	6	MG
DIBUTYL SEBACATE	ORAL	TABLET, EXTENDED RELEASE	17.36	MG
DIBUTYL SEBACATE	ORAL	TABLET, SUSTAINED ACTION	1.11	MG
DIETHYL PHTHALATE	ORAL	TABLET (IMMED./COMP. RELEASE), UNCOATED, CHEWABLE	0.5	MG

(Continued)

Ingredient	Route	Dosage Form	Quantity	Unit
DIETHYL PHTHALATE	ORAL	TABLET, COATED	1.25	MG
DIETHYL PHTHALATE	ORAL	TABLET, DELAYED ACTION, ENTERIC COATED	16.8	MG
DIETHYL PHTHALATE	ORAL	TABLET, DELAYED RELEASE	1.58	MG
DIETHYL PHTHALATE	ORAL	TABLET, EXTENDED RELEASE	6.63	MG
DIETHYL PHTHALATE	ORAL	TABLET, FILM COATED	2.3	MG
DIETHYL PHTHALATE	ORAL	TABLET, SUSTAINED ACTION	12	MG
DIHYDROXYALUMINUM SODIUM CARBONATE	ORAL	TABLET	7.2	MG
DIHYDROXYALUMINUM SODIUM CARBONATE	ORAL	TABLET (IMMED./COMP. RELEASE), UNCOATED, CHEWABLE	1350	MG
DIISOPROPYLBENZOTHAZYL-2-SULFENAMIDE	ORAL	TABLET	77	MG
DIMETHICONE 100	ORAL	TABLET, FILM COATED	2.5	MG
DIMETHICONOL/ TRIMETHYLSILOXSILICATE CROSSPOLYMER (40/60 W/W; 1000000 PA.S)	ORAL	TABLET, SUSTAINED ACTION	1.14	MG
DIMETHYL PHTHALATE	ORAL	TABLET, SUSTAINED ACTION	0.41	MG
DIMETHYLAMINOETHYL METHACRYLATE - BUTYL METHACRYLATE - METHYL METHACRYLATE COPOLYMER	ORAL	TABLET (IMMED./COMP. RELEASE), FILM COATED	100	MG
DIMETHYLAMINOETHYL METHACRYLATE - BUTYL METHACRYLATE - METHYL METHACRYLATE COPOLYMER	ORAL	TABLET (IMMED./COMP. RELEASE), UNCOATED, CHEWABLE	4.57	MG
DIMETHYLAMINOETHYL METHACRYLATE - BUTYL METHACRYLATE - METHYL METHACRYLATE COPOLYMER	ORAL	TABLET, DELAYED ACTION	2.16	MG
DIMETHYLAMINOETHYL METHACRYLATE - BUTYL METHACRYLATE - METHYL METHACRYLATE COPOLYMER	ORAL	TABLET, EXTENDED RELEASE	28	MG
DIMETHYLAMINOETHYL METHACRYLATE - BUTYL METHACRYLATE - METHYL METHACRYLATE COPOLYMER	ORAL	TABLET, FILM COATED	7.2	MG
DIMETHYLAMINOETHYL METHACRYLATE - BUTYL METHACRYLATE - METHYL METHACRYLATE COPOLYMER	ORAL	TABLET, ORALLY DISINTEGRATING	214.28	MG
DIMETHYLAMINOETHYL METHACRYLATE - BUTYL METHACRYLATE - METHYL METHACRYLATE COPOLYMER	ORAL	TABLET, SUSTAINED ACTION	3.96	MG
DOCUSATE SODIUM	ORAL	TABLET	11	MG
DOCUSATE SODIUM	ORAL	TABLET (IMMED./COMP. RELEASE), COATED	0.5	MG
DOCUSATE SODIUM	ORAL	TABLET, COATED	0.006	MG
DOCUSATE SODIUM	ORAL	TABLET, FILM COATED	0.5	MG

(Continued)

Ingredient	Route	Dosage Form	Quantity	Unit
DOCUSATE SODIUM	ORAL	TABLET, SUSTAINED ACTION, FILM COATED	0.03	MG
DOCUSATE SODIUM/SODIUM BENZOATE	ORAL	TABLET	8	MG
DOCUSATE SODIUM/SODIUM BENZOATE	ORAL	TABLET, FILM COATED	3	MG
DRI KLEAR	ORAL	TABLET	1.5	MG
DRI KLEAR 042	ORAL	TABLET	18	MG
DRI KLEAR 042	ORAL	TABLET, COATED	10	MG
DRI KLEAR 042	ORAL	TABLET, FILM COATED	5.67	MG
DRI KLEAR LV 609527	ORAL	TABLET, FILM COATED	2.26	MG
DRY FLO	ORAL	TABLET	27.5	MG
DRY-CLEAR LV	ORAL	TABLET	19.94	MG
DUSTING POWDER	ORAL	TABLET, COATED	22	MG
DYE BLACK LB-1171	ORAL	TABLET	1.55	MG
DYE BLACK LB-442	ORAL	TABLET	0.33	MG
DYE BLACK LB-9972	ORAL	TABLET	0.19	MG
DYE BLUE LAKE BLEND LB-1245	ORAL	TABLET	0.26	MG
DYE BLUE LAKE BLEND LB-1939	ORAL	TABLET, ORALLY DISINTEGRATING	0.4	MG
DYE BLUE LAKE BLEND LB-332	ORAL	TABLET	0.11	MG
DYE BLUE LAKOLENE	ORAL	TABLET	0.12	MG
DYE BLUE LB-781	ORAL	TABLET	2	MG
DYE BROWN LAKE	ORAL	TABLET	0.17	MG
DYE BROWN LAKE BLEND	ORAL	TABLET	0.26	MG
DYE BROWN LAKE BLEND LB-1685	ORAL	TABLET	0.45	MG
DYE BROWN LAKE BLEND LB-1792	ORAL	TABLET	0.22	MG
DYE BROWN LB-464	ORAL	TABLET	1.3	MG
DYE BURNT UMBER	ORAL	TABLET, FILM COATED	0.06	MG
DYE CARMINE 09349	ORAL	TABLET, FILM COATED	0.3	MG
DYE CHROMA-TERIC DEB-5037-ORE	ORAL	TABLET, DELAYED ACTION, ENTERIC COATED	10	MG
DYE CHROMA-TERIC YELLOW T3277-YE	ORAL	TABLET, DELAYED ACTION, ENTERIC COATED	30.54	MG
DYE CHROMA-TONE	ORAL	TABLET, FILM COATED	1.53	MG
DYE CHROMA-TONE PDDB-8906W	ORAL	TABLET	6	MG
DYE CHROMA-TONE-P DDB-8746-OR	ORAL	TABLET	11.9	MG
DYE DC RED LAKE	ORAL	TABLET	2.4	MG
DYE DC RED LB NO. 9570	ORAL	TABLET	0.85	MG
DYE DC RED LB WJ-9570	ORAL	TABLET	0.56	MG
DYE DIOLACK 00F32892 YELLOW	ORAL	TABLET	2.8	MG
DYE EMERALD GREEN LB	ORAL	TABLET	0.05	MG
DYE EMERALD GREEN LB-9207	ORAL	TABLET	0.44	MG
DYE FDC BLACK LB260	ORAL	TABLET	3	MG
DYE FDC BLUE NO. 40 HT LAKE	ORAL	TABLET	0.23	MG
DYE FDC BROWN R LB-56069	ORAL	TABLET	0.2	MG
DYE FDC GREEN LB-3323	ORAL	TABLET	1.65	MG
DYE FDC GREEN LB-9583	ORAL	TABLET	0.23	MG
DYE FDC LB483	ORAL	TABLET	0.28	MG
DYE FDC ORANGE LB-452	ORAL	TABLET	0.54	MG
DYE FDC PURPLE LB-694	ORAL	TABLET	0.25	MG
DYE FDC PURPLE LB588	ORAL	TABLET	0.2	MG
DYE GREEN 70363	ORAL	TABLET	1.05	MG
DYE GREEN AL LB-265	ORAL	TABLET	0.64	MG
DYE GREEN ALUMINUM LB	ORAL	TABLET	8	MG
DYE GREEN LAKE BLEND LB-1236	ORAL	TABLET	0.35	MG
DYE GREEN LAKE BLEND LB-1441	ORAL	TABLET	1.32	MG

(Continued)

Ingredient	Route	Dosage Form	Quantity	Unit
DYE GREEN LAKE BLEND LB-1644	ORAL	TABLET	0.26	MG
DYE GREEN LAKE BLEND LB-333	ORAL	TABLET	0.11	MG
DYE GREEN LB	ORAL	TABLET	0.4	MG
DYE GREEN LB-1594	ORAL	TABLET	0.75	MG
DYE GREEN LB-1616	ORAL	TABLET	0.94	MG
DYE GREEN LB-279	ORAL	TABLET	2	MG
DYE GREEN LB-482	ORAL	TABLET	1.27	MG
DYE GREEN LB-555	ORAL	TABLET	0.44	MG
DYE GREEN LB-603	ORAL	TABLET	0.7	MG
DYE GREEN LB-820	ORAL	TABLET	0.6	MG
DYE GREEN LB-883	ORAL	TABLET	0.6	MG
DYE GREEN PB-1543	ORAL	TABLET	0.02	MG
DYE GREEN PB-1763	ORAL	TABLET, EXTENDED RELEASE	1	MG
DYE LAVENDER LAKE BLEND LB-1603	ORAL	TABLET	0.66	MG
DYE LAVENDER LB-1356	ORAL	TABLET	0.03	MG
DYE MINT GREEN	ORAL	TABLET	0.006	MG
DYE MINT GREEN	ORAL	TABLET (IMMED./COMP. RELEASE), UNCOATED, CHEWABLE	0.075	MG
DYE OCHRE 3506	ORAL	TABLET	0.76	MG
DYE OCHRE 3506	ORAL	TABLET, COATED	0.29	MG
DYE ORANGE 54172	ORAL	TABLET	6.6	MG
DYE ORANGE LAKE BLEND 3810	ORAL	TABLET	0.45	MG
DYE ORANGE LAKE BLEND LB-1439	ORAL	TABLET	0.22	MG
DYE ORANGE LAKE BLEND LB-1944	ORAL	TABLET	0.25	MG
DYE ORANGE LB-1387	ORAL	TABLET	0.5	MG
DYE ORANGE LB-1387	ORAL	TABLET, SUSTAINED ACTION	0.4	MG
DYE ORANGE LB-715	ORAL	TABLET	4.8	MG
DYE ORANGE PB-1657	ORAL	TABLET, EXTENDED RELEASE	0.7	MG
DYE ORANGE PB-2148	ORAL	TABLET	0.16	MG
DYE PINK	ORAL	TABLET	0.3	MG
DYE PINK	ORAL	TABLET, DELAYED ACTION	7.93	MG
DYE PURPLE LB-1902	ORAL	TABLET, SUSTAINED ACTION	0.8	MG
DYE PURPLE LB-562	ORAL	TABLET	0.81	MG
DYE PURPLE LB-639	ORAL	TABLET	0.084	MG
DYE PURPLE LB-694	ORAL	TABLET	0.13	MG
DYE RED COTOLENE-P	ORAL	TABLET	20.7	MG
DYE RED LAKE BLEND 6053-R	ORAL	TABLET	0.6	MG
DYE RED PB-1595	ORAL	TABLET	0.8	MG
DYE SALMON LB-1668	ORAL	TABLET	0.2	MG
DYE SPECTRASPRAY BLUE 50726	ORAL	TABLET, EXTENDED RELEASE	3.66	MG
DYE TAN PB-1388	ORAL	TABLET	0.05	MG
DYE TAN PB-1388	ORAL	TABLET, FILM COATED	0.75	MG
DYE TURQUOISE LB-1430	ORAL	TABLET	0.035	MG
DYE VIOLET	ORAL	TABLET	0.4	MG
DYE WHITE COTOLENE-P	ORAL	TABLET	10.35	MG
DYE YELLOW 70362	ORAL	TABLET	2.8	MG
DYE YELLOW LAKE BLEND LB-1769	ORAL	TABLET	0.13	MG
DYE YELLOW LAKE BLEND LB-1769	ORAL	TABLET, CHEWABLE	0.4	MG
DYE YELLOW LB 104	ORAL	TABLET	0.22	MG
DYE YELLOW LB 9706	ORAL	TABLET	0.44	MG
DYE YELLOW LB-111	ORAL	TABLET	0.6	MG
DYE YELLOW LB-1577	ORAL	TABLET	5	MG
DYE YELLOW LB-1637	ORAL	TABLET	0.2	MG
DYE YELLOW LB-282	ORAL	TABLET	0.1	MG
DYE YELLOW PB-1381	ORAL	TABLET	0.2	MG
DYE YELLOW PB1345	ORAL	TABLET	0.5	MG

(Continued)

Ingredient	Route	Dosage Form	Quantity	Unit
DYE YELLOW WD-2014	ORAL	TABLET	3.07	MG
EDETATE CALCIUM DISODIUM	ORAL	TABLET	4	MG
EDETATE CALCIUM DISODIUM	ORAL	TABLET, FILM COATED	0.4	MG
EDETATE CALCIUM DISODIUM	ORAL	TABLET, ORALLY DISINTEGRATING	0.78	MG
EDETATE DISODIUM	ORAL	TABLET	4	MG
EDETATE DISODIUM	ORAL	TABLET (IMMED./COMP. RELEASE), UNCOATED, CHEWABLE	0.25	MG
EDETATE DISODIUM	ORAL	TABLET, COATED	0.21	MG
EDETATE DISODIUM	ORAL	TABLET, DELAYED ACTION	100	MG
EDETATE DISODIUM	ORAL	TABLET, DELAYED ACTION, ENTERIC COATED	100	MG
EDETATE DISODIUM	ORAL	TABLET, EFFERVESCENT, FOR SOLUTION	0.9	MG
EDETATE DISODIUM	ORAL	TABLET, EXTENDED RELEASE	5	MG
EDETATE DISODIUM	ORAL	TABLET, FILM COATED	4	MG
EDETATE DISODIUM	ORAL	TABLET, ORALLY DISINTEGRATING	3	MG
EDETATE SODIUM	ORAL	TABLET	5	MG
EDETIC ACID	ORAL	TABLET	4	MG
EDETIC ACID	ORAL	TABLET, FILM COATED	0.2	MG
EGG PHOSPHOLIPIDS	ORAL	TABLET	48	MG
EIDERDOWN SOAP	ORAL	TABLET, REPEAT ACTION	0.39	MG
EIDERDOWN SOAP	ORAL	TABLET, SUSTAINED ACTION	0.23	MG
ETHYL ACRYLATE AND METHYL METHACRYLATE COPOLYMER (2:1; 600000 MW)	ORAL	TABLET, EXTENDED RELEASE	27.5	MG
ETHYL ACRYLATE AND METHYL METHACRYLATE COPOLYMER (2:1; 750000 MW)	ORAL	TABLET	25	MG
ETHYL ACRYLATE AND METHYL METHACRYLATE COPOLYMER (2:1; 750000 MW)	ORAL	TABLET, COATED	66	MG
ETHYL ACRYLATE AND METHYL METHACRYLATE COPOLYMER (2:1; 750000 MW)	ORAL	TABLET, CONTROLLED RELEASE	56.2	MG
ETHYL ACRYLATE AND METHYL METHACRYLATE COPOLYMER (2:1; 750000 MW)	ORAL	TABLET, EXTENDED RELEASE	96.5	MG
ETHYL ACRYLATE AND METHYL METHACRYLATE COPOLYMER (2:1; 750000 MW)	ORAL	TABLET, SUSTAINED ACTION	0.35	MG
ETHYL ACRYLATE AND METHYL METHACRYLATE COPOLYMER (2:1; 750000 MW)	ORAL	TABLET, SUSTAINED ACTION, COATED	30	MG
ETHYL ACRYLATE AND METHYL METHACRYLATE COPOLYMER (2:1; 750000 MW)	ORAL	TABLET, SUSTAINED ACTION, FILM COATED	10	MG
ETHYL VANILLIN	ORAL	TABLET (IMMED./COMP. RELEASE), UNCOATED, CHEWABLE	0.14	MG
ETHYLCELLULOSE (10 MPA.S)	ORAL	TABLET	2.21	MG
ETHYLCELLULOSE (10 MPA.S)	ORAL	TABLET, EXTENDED RELEASE	99	MG
ETHYLCELLULOSE (100 MPA.S)	ORAL	TABLET, EXTENDED RELEASE	176	MG
ETHYLCELLULOSE (20 MPA.S)	ORAL	TABLET, EXTENDED RELEASE	28.3	MG
ETHYLCELLULOSE (4 MPA.S)	ORAL	TABLET	2	MG
ETHYLCELLULOSE (45 MPA.S)	ORAL	TABLET, CONTROLLED RELEASE	24	MG
ETHYLCELLULOSE (50 MPA.S)	ORAL	TABLET, EXTENDED RELEASE	78.9	MG
ETHYLCELLULOSE (7 MPA.S)	ORAL	TABLET	188.8	MG
ETHYLCELLULOSE (7 MPA.S)	ORAL	TABLET, EXTENDED RELEASE	81	MG

(Continued)

Ingredient	Route	Dosage Form	Quantity	Unit
ETHYLCELLULOSE DISPERSION TYPE B	ORAL	TABLET, EXTENDED RELEASE	26.4	MG
ETHYLCELLULOSE, UNSPECIFIED	ORAL	TABLET	60.4	MG
ETHYLCELLULOSE, UNSPECIFIED	ORAL	TABLET	120.8	MG
ETHYLCELLULOSE, UNSPECIFIED	ORAL	TABLET (IMMED./COMP. RELEASE), UNCOATED, CHEWABLE	8.8	MG
ETHYLCELLULOSE, UNSPECIFIED	ORAL	TABLET, COATED	20	MG
ETHYLCELLULOSE, UNSPECIFIED	ORAL	TABLET, DELAYED ACTION, ENTERIC COATED	53.8	MG
ETHYLCELLULOSE, UNSPECIFIED	ORAL	TABLET, DELAYED RELEASE	2.33	MG
ETHYLCELLULOSE, UNSPECIFIED	ORAL	TABLET, DELAYED RELEASE	3.61	MG
ETHYLCELLULOSE, UNSPECIFIED	ORAL	TABLET, EXTENDED RELEASE	240	MG
ETHYLCELLULOSE, UNSPECIFIED	ORAL	TABLET, EXTENDED RELEASE	300	MG
ETHYLCELLULOSE, UNSPECIFIED	ORAL	TABLET, FILM COATED	83	MG
ETHYLCELLULOSE, UNSPECIFIED	ORAL	TABLET, ORALLY DISINTEGRATING	35.28	MG
ETHYLCELLULOSE, UNSPECIFIED	ORAL	TABLET, SUSTAINED ACTION	308.8	MG
ETHYLCELLULOSE, UNSPECIFIED	ORAL	TABLET, SUSTAINED ACTION, COATED	15.15	MG
ETHYLCELLULOSE, UNSPECIFIED	ORAL	TABLET, SUSTAINED ACTION, FILM COATED	52.5	MG
ETHYLCELLULOSE, UNSPECIFIED	VAGINAL	TABLET	50	MG
EUDRAGIT L 30 D	ORAL	TABLET, DELAYED ACTION	19.859	mg
EUDRAGIT L 30 D	ORAL	TABLET, DELAYED RELEASE	40.44	MG
FD&C BLUE NO. 1	ORAL	TABLET	20	MG
FD&C BLUE NO. 1	ORAL	TABLET, COATED	0.52	MG
FD&C BLUE NO. 1	ORAL	TABLET, DELAYED ACTION	0.037	MG
FD&C BLUE NO. 1	ORAL	TABLET, EXTENDED RELEASE	1.36	MG
FD&C BLUE NO. 1	ORAL	TABLET, FILM COATED	0.16	MG
FD&C BLUE NO. 1	SUBLINGUAL	TABLET	0.03	MG
FD&C BLUE NO. 1-ALUMINUM LAKE	ORAL	TABLET	1	MG
FD&C BLUE NO. 1-ALUMINUM LAKE	ORAL	TABLET	1.5	mg
FD&C BLUE NO. 1-ALUMINUM LAKE	ORAL	TABLET	360	MG
FD&C BLUE NO. 1-ALUMINUM LAKE	ORAL	TABLET (IMMED./COMP. RELEASE), UNCOATED, CHEWABLE	0.18	MG
FD&C BLUE NO. 1-ALUMINUM LAKE	ORAL	TABLET, COATED	0.14	MG
FD&C BLUE NO. 1-ALUMINUM LAKE	ORAL	TABLET, DELAYED ACTION, ENTERIC COATED	0.03	MG
FD&C BLUE NO. 1-ALUMINUM LAKE	ORAL	TABLET, EXTENDED RELEASE	0.63	MG
FD&C BLUE NO. 1-ALUMINUM LAKE	ORAL	TABLET, EXTENDED RELEASE	1.67	MG
FD&C BLUE NO. 1-ALUMINUM LAKE	ORAL	TABLET, EXTENDED RELEASE	2	mg
FD&C BLUE NO. 1-ALUMINUM LAKE	ORAL	TABLET, FILM COATED	8	MG
FD&C BLUE NO. 1-ALUMINUM LAKE	ORAL	TABLET, ORALLY DISINTEGRATING	0.1	MG
FD&C BLUE NO. 1-ALUMINUM LAKE	ORAL	TABLET, ORALLY DISINTEGRATING	0.8	MG
FD&C BLUE NO. 1-ALUMINUM LAKE	ORAL	TABLET, SUSTAINED ACTION	2	MG
FD&C BLUE NO. 2	BUCCAL	TABLET	0.008	MG
FD&C BLUE NO. 2	ORAL	TABLET	21	MG
FD&C BLUE NO. 2	ORAL	TABLET (IMMED./COMP. RELEASE), FILM COATED	0.25	MG
FD&C BLUE NO. 2	ORAL	TABLET, COATED	24.12	MG
FD&C BLUE NO. 2	ORAL	TABLET, DELAYED ACTION	0.4	MG
FD&C BLUE NO. 2	ORAL	TABLET, DELAYED ACTION, ENTERIC COATED	0.2	MG
FD&C BLUE NO. 2	ORAL	TABLET, EXTENDED RELEASE	2.78	MG
FD&C BLUE NO. 2	ORAL	TABLET, FILM COATED	0.9	MG
FD&C BLUE NO. 2	ORAL	TABLET, FILM COATED, EXTENDED RELEASE	0.57	MG
FD&C BLUE NO. 2	ORAL	TABLET, SUSTAINED ACTION	0.6	MG
FD&C BLUE NO. 2--ALUMINUM LAKE	ORAL	TABLET	9	MG

(Continued)

Ingredient	Route	Dosage Form	Quantity	Unit
FD&C BLUE NO. 2--ALUMINUM LAKE	ORAL	TABLET (IMMED./COMP. RELEASE), FILM COATED	1.81	MG
FD&C BLUE NO. 2--ALUMINUM LAKE	ORAL	TABLET (IMMED./COMP. RELEASE), UNCOATED, CHEWABLE	1.25	MG
FD&C BLUE NO. 2--ALUMINUM LAKE	ORAL	TABLET, COATED	0.75	MG
FD&C BLUE NO. 2--ALUMINUM LAKE	ORAL	TABLET, CONTROLLED RELEASE	0.55	MG
FD&C BLUE NO. 2--ALUMINUM LAKE	ORAL	TABLET, DELAYED ACTION	0.2	MG
FD&C BLUE NO. 2--ALUMINUM LAKE	ORAL	TABLET, DELAYED ACTION, ENTERIC COATED	0.05	MG
FD&C BLUE NO. 2--ALUMINUM LAKE	ORAL	TABLET, DELAYED RELEASE	0.19	MG
FD&C BLUE NO. 2--ALUMINUM LAKE	ORAL	TABLET, EXTENDED RELEASE	1.25	MG
FD&C BLUE NO. 2--ALUMINUM LAKE	ORAL	TABLET, EXTENDED RELEASE	2	mg
FD&C BLUE NO. 2--ALUMINUM LAKE	ORAL	TABLET, FILM COATED	1.25	MG
FD&C BLUE NO. 2--ALUMINUM LAKE	ORAL	TABLET, ORALLY DISINTEGRATING	4.7	MG
FD&C BLUE NO. 2--ALUMINUM LAKE	ORAL	TABLET, SUSTAINED ACTION	7	MG
FD&C GREEN NO. 3	ORAL	TABLET	10	MG
FD&C GREEN NO. 3	ORAL	TABLET, COATED	0.005	MG
FD&C RED NO. 3	ORAL	TABLET	2.2	MG
FD&C RED NO. 3	ORAL	TABLET (IMMED./COMP. RELEASE), UNCOATED, CHEWABLE	0.05	MG
FD&C RED NO. 3	ORAL	TABLET, COATED	0.5	MG
FD&C RED NO. 3	ORAL	TABLET, DELAYED ACTION, ENTERIC COATED	0.005	MG
FD&C RED NO. 3	ORAL	TABLET, EXTENDED RELEASE	0.03	MG
FD&C RED NO. 3	ORAL	TABLET, FILM COATED	0.004	MG
FD&C RED NO. 40	BUCCAL	TABLET	0.006	MG
FD&C RED NO. 40	ORAL	TABLET	2.22	MG
FD&C RED NO. 40	ORAL	TABLET (IMMED./COMP. RELEASE), COATED	0.1	MG
FD&C RED NO. 40	ORAL	TABLET (IMMED./COMP. RELEASE), UNCOATED, CHEWABLE	40	MG
FD&C RED NO. 40	ORAL	TABLET, DELAYED ACTION	0.45	MG
FD&C RED NO. 40	ORAL	TABLET, DELAYED ACTION, ENTERIC COATED	0.043	MG
FD&C RED NO. 40	ORAL	TABLET, DELAYED RELEASE	0.09	MG
FD&C RED NO. 40	ORAL	TABLET, EXTENDED RELEASE	2.34	MG
FD&C RED NO. 40	ORAL	TABLET, FILM COATED	0.028	MG
FD&C RED NO. 40	ORAL	TABLET, FILM COATED, EXTENDED RELEASE	0.19	MG
FD&C RED NO. 40	SUBLINGUAL	TABLET	0.004	MG
FD&C RED NO. 40--ALUMINUM LAKE	ORAL	TABLET	21.25	MG
FD&C RED NO. 40--ALUMINUM LAKE	ORAL	TABLET (IMMED./COMP. RELEASE), UNCOATED, CHEWABLE	3.5	MG
FD&C RED NO. 40--ALUMINUM LAKE	ORAL	TABLET, DELAYED ACTION	0.6	MG
FD&C RED NO. 40--ALUMINUM LAKE	ORAL	TABLET, EXTENDED RELEASE	21.25	MG
FD&C RED NO. 40--ALUMINUM LAKE	ORAL	TABLET, FILM COATED	2.5	MG
FD&C RED NO. 40--ALUMINUM LAKE	ORAL	TABLET, SUSTAINED ACTION	0.4	MG
FD&C RED NO. 40--ALUMINUM LAKE	SUBLINGUAL	TABLET	0.59	MG
FD&C YELLOW NO. 5	BUCCAL/SUBLINGUAL	TABLET	0.11	MG
FD&C YELLOW NO. 5	ORAL	TABLET	180	MG
FD&C YELLOW NO. 5	ORAL	TABLET, COATED	7.93	MG
FD&C YELLOW NO. 5	ORAL	TABLET, EXTENDED RELEASE	1.33	MG
FD&C YELLOW NO. 5	ORAL	TABLET, FILM COATED	1.68	MG
FD&C YELLOW NO. 5	ORAL	TABLET, SUSTAINED ACTION	0.11	MG
FD&C YELLOW NO. 5	ORAL	TABLET, SUSTAINED ACTION, COATED	0.76	MG
FD&C YELLOW NO. 5	SUBLINGUAL	TABLET	0.1	MG

(Continued)

Ingredient	Route	Dosage Form	Quantity	Unit
FD&C YELLOW NO. 5--ALUMINUM LAKE	ORAL	TABLET	33	MG
FD&C YELLOW NO. 5--ALUMINUM LAKE	ORAL	TABLET (IMMED./COMP. RELEASE), UNCOATED, CHEWABLE	1	MG
FD&C YELLOW NO. 5--ALUMINUM LAKE	ORAL	TABLET, COATED	0.14	MG
FD&C YELLOW NO. 5--ALUMINUM LAKE	ORAL	TABLET, DELAYED ACTION	0.4	MG
FD&C YELLOW NO. 5--ALUMINUM LAKE	ORAL	TABLET, EXTENDED RELEASE	2.75	mg
FD&C YELLOW NO. 5--ALUMINUM LAKE	ORAL	TABLET, FILM COATED	0.6	MG
FD&C YELLOW NO. 5--ALUMINUM LAKE	ORAL	TABLET, ORALLY DISINTEGRATING	1.2	MG
FD&C YELLOW NO. 5--ALUMINUM LAKE	SUBLINGUAL	TABLET	0.03	MG
FD&C YELLOW NO. 6	ORAL	TABLET	60.02	MG
FD&C YELLOW NO. 6	ORAL	TABLET (IMMED./COMP. RELEASE), UNCOATED, CHEWABLE	0.3	MG
FD&C YELLOW NO. 6	ORAL	TABLET, CHEWABLE	0.03	MG
FD&C YELLOW NO. 6	ORAL	TABLET, COATED	3.17	MG
FD&C YELLOW NO. 6	ORAL	TABLET, DELAYED ACTION	0.3	MG
FD&C YELLOW NO. 6	ORAL	TABLET, DELAYED ACTION	1.5	mg
FD&C YELLOW NO. 6	ORAL	TABLET, DELAYED ACTION, ENTERIC COATED	0.019	MG
FD&C YELLOW NO. 6	ORAL	TABLET, EXTENDED RELEASE	2.87	MG
FD&C YELLOW NO. 6	ORAL	TABLET, FILM COATED	1	MG
FD&C YELLOW NO. 6	ORAL	TABLET, ORALLY DISINTEGRATING	1.36	MG
FD&C YELLOW NO. 6	ORAL	TABLET, REPEAT ACTION	0.02	MG
FD&C YELLOW NO. 6	ORAL	TABLET, SUSTAINED ACTION	1.06	MG
FD&C YELLOW NO. 6	SUBLINGUAL	TABLET	0.4	MG
FD&C YELLOW NO. 6--ALUMINUM LAKE	BUCCAL/SUBLINGUAL	TABLET	1	MG
FD&C YELLOW NO. 6--ALUMINUM LAKE	ORAL	TABLET	6.97	MG
FD&C YELLOW NO. 6--ALUMINUM LAKE	ORAL	TABLET (IMMED./COMP. RELEASE), UNCOATED, CHEWABLE	1.76	MG
FD&C YELLOW NO. 6--ALUMINUM LAKE	ORAL	TABLET, COATED	0.34	MG
FD&C YELLOW NO. 6--ALUMINUM LAKE	ORAL	TABLET, DELAYED ACTION	2.1	MG
FD&C YELLOW NO. 6--ALUMINUM LAKE	ORAL	TABLET, DELAYED ACTION, ENTERIC COATED	1.4	MG
FD&C YELLOW NO. 6--ALUMINUM LAKE	ORAL	TABLET, DELAYED RELEASE	0.03	MG
FD&C YELLOW NO. 6--ALUMINUM LAKE	ORAL	TABLET, EXTENDED RELEASE	3.3	MG
FD&C YELLOW NO. 6--ALUMINUM LAKE	ORAL	TABLET, FILM COATED	0.25	MG
FD&C YELLOW NO. 6--ALUMINUM LAKE	ORAL	TABLET, SUSTAINED ACTION	2.8	MG
FD&C YELLOW NO. 6--ALUMINUM LAKE	SUBLINGUAL	TABLET	1.6	MG
FD&C YELLOW NO. 6-ALUMINUM LAKE	ORAL	TABLET	2.5	MG
FD&C YELLOW NO. 6-ALUMINUM LAKE	ORAL	TABLET, DELAYED RELEASE	0.334	MG

(Continued)

Ingredient	Route	Dosage Form	Quantity	Unit
FD&C YELLOW NO. 6-ALUMINUM LAKE	ORAL	TABLET, EXTENDED RELEASE	2.5	MG
FERRIC OXIDE BROWN	ORAL	TABLET	1.13	MG
FERRIC OXIDE GREEN	ORAL	TABLET, CONTROLLED RELEASE	1.8	MG
FERRIC OXIDE GREEN	ORAL	TABLET, EXTENDED RELEASE	1.8	MG
FERRIC OXIDE ORANGE	ORAL	TABLET	0.51	MG
FERRIC OXIDE ORANGE	ORAL	TABLET, EXTENDED RELEASE	0.079	MG
FERRIC OXIDE PINK	ORAL	TABLET, FILM COATED	0.039	MG
FERRIC OXIDE RED	BUCCAL	TABLET	0.4	MG
FERRIC OXIDE RED	ORAL	TABLET	13	MG
FERRIC OXIDE RED	ORAL	TABLET (IMMED./COMP. RELEASE), FILM COATED	1	MG
FERRIC OXIDE RED	ORAL	TABLET (IMMED./COMP. RELEASE), UNCOATED, CHEWABLE	1.5	MG
FERRIC OXIDE RED	ORAL	TABLET, CHEWABLE	0.5	MG
FERRIC OXIDE RED	ORAL	TABLET, CONTROLLED RELEASE	5.24	MG
FERRIC OXIDE RED	ORAL	TABLET, DELAYED ACTION	3	MG
FERRIC OXIDE RED	ORAL	TABLET, DELAYED ACTION, ENTERIC COATED	2.3	MG
FERRIC OXIDE RED	ORAL	TABLET, DELAYED RELEASE	1.1	MG
FERRIC OXIDE RED	ORAL	TABLET, EXTENDED RELEASE	4.08	MG
FERRIC OXIDE RED	ORAL	TABLET, FILM COATED	3	MG
FERRIC OXIDE RED	ORAL	TABLET, FILM COATED, EXTENDED RELEASE	0.1	MG
FERRIC OXIDE RED	ORAL	TABLET, MULTILAYER, EXTENDED RELEASE	0.11	MG
FERRIC OXIDE RED	ORAL	TABLET, ORALLY DISINTEGRATING	0.64	MG
FERRIC OXIDE RED	ORAL	TABLET, ORALLY DISINTEGRATING, DELAYED RELEASE	0.15	MG
FERRIC OXIDE RED	ORAL	TABLET, SUSTAINED ACTION	3	MG
FERRIC OXIDE RED	ORAL	TABLET, SUSTAINED ACTION, COATED	3.6	MG
FERRIC OXIDE RED	ORAL	TABLET, SUSTAINED ACTION, FILM COATED	1.8	MG
FERRIC OXIDE RED	SUBLINGUAL	TABLET	1	MG
FERRIC OXIDE YELLOW	BUCCAL	TABLET	1	MG
FERRIC OXIDE YELLOW	ORAL	TABLET	7.6	MG
FERRIC OXIDE YELLOW	ORAL	TABLET (IMMED./COMP. RELEASE), FILM COATED	2.02	MG
FERRIC OXIDE YELLOW	ORAL	TABLET, CHEWABLE	0.7	mg
FERRIC OXIDE YELLOW	ORAL	TABLET, COATED	0.38	MG
FERRIC OXIDE YELLOW	ORAL	TABLET, CONTROLLED RELEASE	0.93	MG
FERRIC OXIDE YELLOW	ORAL	TABLET, DELAYED ACTION	0.4	MG
FERRIC OXIDE YELLOW	ORAL	TABLET, DELAYED ACTION, ENTERIC COATED	0.43	MG
FERRIC OXIDE YELLOW	ORAL	TABLET, DELAYED RELEASE	0.19	MG
FERRIC OXIDE YELLOW	ORAL	TABLET, EXTENDED RELEASE	3	MG
FERRIC OXIDE YELLOW	ORAL	TABLET, FILM COATED	11.5	MG
FERRIC OXIDE YELLOW	ORAL	TABLET, FILM COATED, EXTENDED RELEASE	2.42	MG
FERRIC OXIDE YELLOW	ORAL	TABLET, MULTILAYER, EXTENDED RELEASE	1.96	MG
FERRIC OXIDE YELLOW	ORAL	TABLET, ORALLY DISINTEGRATING	1.5	MG
FERRIC OXIDE YELLOW	ORAL	TABLET, SUSTAINED ACTION	3	MG
FERRIC OXIDE YELLOW	ORAL	TABLET, SUSTAINED ACTION, FILM COATED	0.065	MG
FERRIC OXIDE YELLOW	SUBLINGUAL	TABLET	1	MG

(Continued)

Ingredient	Route	Dosage Form	Quantity	Unit
FERROSFERRIC OXIDE	ORAL	TABLET	0.04	MG
FERROSFERRIC OXIDE	ORAL	TABLET	0.072	MG
FERROSFERRIC OXIDE	ORAL	TABLET (IMMED./COMP. RELEASE), FILM COATED	0.024	MG
FERROSFERRIC OXIDE	ORAL	TABLET, CONTROLLED RELEASE	0.32	MG
FERROSFERRIC OXIDE	ORAL	TABLET, DELAYED ACTION	0.12	MG
FERROSFERRIC OXIDE	ORAL	TABLET, EXTENDED RELEASE	4	MG
FERROSFERRIC OXIDE	ORAL	TABLET, FILM COATED	0.2	MG
FERROSFERRIC OXIDE	ORAL	TABLET, FILM COATED, EXTENDED RELEASE	0.11	MG
FERROSFERRIC OXIDE	ORAL	TABLET, SUSTAINED ACTION	0.62	MG
FERROSFERRIC OXIDE	ORAL	TABLET, SUSTAINED ACTION, COATED	1.23	MG
FERROSFERRIC OXIDE	ORAL	TABLET, SUSTAINED ACTION, FILM COATED	0.002	MG
FERROUS FUMARATE	ORAL	TABLET	75	MG
FERROUS FUMARATE	ORAL	TABLET (IMMED./COMP. RELEASE), COATED	75	MG
FERROUS FUMARATE	ORAL	TABLET, CHEWABLE	75	MG
FILM COATING SOLUTION, AQUEOUS IM-163	ORAL	TABLET	20	MG
FILM COATING SOLUTION, AQUEOUS IM-163	ORAL	TABLET, FILM COATED	6.3	MG
FLAVOR ANISEED 501007 BP0551	ORAL	TABLET	4	MG
FLAVOR BANANA DURAROME 860.095 TD09.91	ORAL	TABLET (IMMED./COMP. RELEASE), UNCOATED, CHEWABLE	10	MG
FLAVOR BANANA SA84	ORAL	TABLET	2.5	MG
FLAVOR BANANA SA84	ORAL	TABLET, CHEWABLE	2.5	MG
FLAVOR BLACK CHERRY 501027 AP0551	ORAL	TABLET	0.6	MG
FLAVOR BLACK CHERRY 501027 AP0551	ORAL	TABLET, CHEWABLE	1	mg
FLAVOR BLACK CURRANT 501017	ORAL	TABLET (IMMED./COMP. RELEASE), UNCOATED, CHEWABLE	0.63	MG
FLAVOR BLACK CURRANT ST 6138/31	ORAL	TABLET (IMMED./COMP. RELEASE), UNCOATED, CHEWABLE	1	MG
FLAVOR BLACKBERRY	ORAL	TABLET	0.843	mg
FLAVOR BURNT SUGAR 680537	ORAL	TABLET	0.23	MG
FLAVOR BURNT SUGAR 687817	ORAL	TABLET	0.23	MG
FLAVOR BURNT SUGAR 994535	ORAL	TABLET	0.23	MG
FLAVOR BUTTERSCOTCH 61005-U	ORAL	TABLET (IMMED./COMP. RELEASE), UNCOATED, CHEWABLE	12	MG
FLAVOR CHERRY 461566	ORAL	TABLET	0.5	mg
FLAVOR CHERRY 461566	ORAL	TABLET, CHEWABLE	1.25	MG
FLAVOR CHERRY 461566	SUBLINGUAL	TABLET	0.5	mg
FLAVOR CHERRY 594 S.D.	ORAL	TABLET	0.4	MG
FLAVOR CHERRY 594 S.D.	ORAL	TABLET (IMMED./COMP. RELEASE), UNCOATED, CHEWABLE	5	MG
FLAVOR CHERRY DURAROME 860.097 TD10.91	ORAL	TABLET (IMMED./COMP. RELEASE), UNCOATED, CHEWABLE	45	MG
FLAVOR CHERRY FI-8568	ORAL	TABLET, ORALLY DISINTEGRATING	1.63	MG
FLAVOR CHERRY NV-1489	ORAL	TABLET	9	MG
FLAVOR CINNAMON	ORAL	TROCHE	21	MG
FLAVOR COOL VANILLA BP18114	ORAL	TABLET	20.5	MG
FLAVOR COOL VANILLA BP18257	ORAL	TABLET	22.23	MG
FLAVOR CREME 46971	ORAL	TABLET (IMMED./COMP. RELEASE), UNCOATED, CHEWABLE	2.5	MG
FLAVOR FRUIT GUM 912	ORAL	TABLET (IMMED./COMP. RELEASE), UNCOATED, CHEWABLE	25	MG

(Continued)

Ingredient	Route	Dosage Form	Quantity	Unit
FLAVOR GRAPE 054158	ORAL	TABLET, ORALLY DISINTEGRATING	11.4	MG
FLAVOR GRAPE 486939	ORAL	TABLET, FILM COATED	0.75	MG
FLAVOR GRAPE 59.145/APO5.51	ORAL	TABLET (IMMED./COMP. RELEASE), UNCOATED, CHEWABLE	1.25	MG
FLAVOR GRAPE S120	ORAL	TABLET	2	MG
FLAVOR LEMON LIME SD 935	ORAL	TABLET, ORALLY DISINTEGRATING	2.85	MG
FLAVOR LEMON LIME SD 935	SUBLINGUAL	TABLET	1.18	MG
FLAVOR LEMON N&A 397	SUBLINGUAL	TABLET (IMMED./COMP. RELEASE), UNCOATED, BUCCAL	2	MG
FLAVOR MASKING TAK-031431	ORAL	TABLET, UNCOATED, LOZENGE	12.5	MG
FLAVOR MCP LEMON DURAMONE 4409A	ORAL	TABLET (IMMED./COMP. RELEASE), UNCOATED, CHEWABLE	44	MG
FLAVOR MCP LIME DURAMONE 6419	ORAL	TABLET (IMMED./COMP. RELEASE), UNCOATED, CHEWABLE	2	MG
FLAVOR MENTHOL MINT PFC-9926	ORAL	TABLET, ORALLY DISINTEGRATING	7	MG
FLAVOR MENTHOL MINT PFC-9926	ORAL	TROCHE	1.2	MG
FLAVOR MENTHOL TAK-020184	ORAL	TABLET, UNCOATED, LOZENGE	8.75	MG
FLAVOR MINT 501359	ORAL	TABLET, ORALLY DISINTEGRATING	4	MG
FLAVOR MINT 51296 TP0551	ORAL	TABLET, ORALLY DISINTEGRATING	2.5	MG
FLAVOR MINT SN027513	ORAL	TABLET	1.5	MG
FLAVOR MINT SN027513	ORAL	TABLET, ORALLY DISINTEGRATING	9.31	MG
FLAVOR ORANGE 501071 AP0551	ORAL	TABLET (IMMED./COMP. RELEASE), UNCOATED, CHEWABLE	50	MG
FLAVOR ORANGE 501071 AP0551	ORAL	TABLET, ORALLY DISINTEGRATING	5	MG
FLAVOR PEPPERMINT	ORAL	TABLET, ORALLY DISINTEGRATING	2.55	mg
FLAVOR PEPPERMINT 131989	ORAL	TABLET, ORALLY DISINTEGRATING	1	MG
FLAVOR PEPPERMINT 501500	ORAL	TABLET	1	mg
FLAVOR PEPPERMINT 501500	ORAL	TABLET, ORALLY DISINTEGRATING	3.5	MG
FLAVOR PEPPERMINT 517	ORAL	TABLET (IMMED./COMP. RELEASE), UNCOATED, CHEWABLE	2	MG
FLAVOR PEPPERMINT 517	ORAL	TABLET, ORALLY DISINTEGRATING	2.5	MG
FLAVOR PEPPERMINT SEELock 34907	ORAL	TABLET (IMMED./COMP. RELEASE), UNCOATED, CHEWABLE	11	MG
FLAVOR PEPPERMINT TAK-022173	ORAL	TABLET, UNCOATED, LOZENGE	10	MG
FLAVOR PEPPERMINT WL-6167	ORAL	TABLET	5	MG
FLAVOR PEPPERMINT, NATURAL SPRAYLENE	ORAL	TABLET	4	MG
FLAVOR RASPBERRY 954	ORAL	TABLET (IMMED./COMP. RELEASE), UNCOATED, CHEWABLE	5	MG
FLAVOR STRAWBERRY 052311 AP0551	ORAL	TABLET, ORALLY DISINTEGRATING	2.8	MG
FLAVOR STRAWBERRY 17.36.8509	ORAL	TABLET, ORALLY DISINTEGRATING	12	MG
FLAVOR STRAWBERRY 17.36.8509	ORAL	TABLET, ORALLY DISINTEGRATING, DELAYED RELEASE	11	MG
FLAVOR STRAWBERRY 17C56217	ORAL	TABLET, ORALLY DISINTEGRATING	0.25	MG
FLAVOR STRAWBERRY GUARANA 586.997/APO5.51	ORAL	TABLET	20	MG
FLAVOR STRAWBERRY GUARANA 586.997/APO5.51	ORAL	TABLET (IMMED./COMP. RELEASE), UNCOATED, CHEWABLE	14.42	MG
FLAVOR STRAWBERRY GUARANA 586.997/APO5.51	ORAL	TABLET, ORALLY DISINTEGRATING	2	MG
FLAVOR STRAWBERRY PHS-132962	ORAL	TABLET, EFFERVESCENT, FOR SOLUTION	30	MG
FLAVOR SWEET 24052	ORAL	TABLET (IMMED./COMP. RELEASE), UNCOATED, CHEWABLE	2.7	MG
FLAVOR SWEET 604978	ORAL	TABLET, FILM COATED	0.25	MG
FLAVOR TUTTI FRUTTI 51.880/AP05.51	ORAL	TABLET	10	MG

(Continued)

Ingredient	Route	Dosage Form	Quantity	Unit
FLAVOR TUTTI FRUTTI 51.880/AP05.51	ORAL	TABLET, ORALLY DISINTEGRATING	1.25	MG
FLOUR	ORAL	TABLET	1.16	MG
FLOUR	ORAL	TABLET, COATED	11.25	MG
FRUCTOSE	ORAL	TABLET	64.92	MG
FUMARIC ACID	ORAL	TABLET	80	MG
FUMARIC ACID	ORAL	TABLET (IMMED./COMP. RELEASE), UNCOATED, CHEWABLE	10	MG
FUMARIC ACID	ORAL	TABLET, CONTROLLED RELEASE	36.5	MG
FUMARIC ACID	ORAL	TABLET, EXTENDED RELEASE	19.8	MG
FUMARIC ACID	ORAL	TABLET, SUSTAINED ACTION	55.56	MG
GALACTOSE	ORAL	TABLET	0.67	MG
GALACTOSE MONOHYDRATE	ORAL	TABLET	147.6	MG
GELATIN TYPE B BOVINE (160 BLOOM)	ORAL	TABLET	2	MG
GELATIN TYPE B BOVINE (200 BLOOM)	ORAL	TABLET	18	MG
GELATIN TYPE B BOVINE (200 BLOOM)	ORAL	TABLET, FILM COATED	12	MG
GELATIN, CROSSLINKED	DENTAL	TABLET	3.44	MG
GELATIN, UNSPECIFIED	ORAL	TABLET	7.8	MG
GELATIN, UNSPECIFIED	ORAL	TABLET	70.2	MG
GELATIN, UNSPECIFIED	ORAL	TABLET (IMMED./COMP. RELEASE), UNCOATED, CHEWABLE	2	MG
GELATIN, UNSPECIFIED	ORAL	TABLET, COATED	42.12	MG
GELATIN, UNSPECIFIED	ORAL	TABLET, DELAYED ACTION, ENTERIC COATED	15	MG
GELATIN, UNSPECIFIED	ORAL	TABLET, FILM COATED	28.35	MG
GELATIN, UNSPECIFIED	ORAL	TABLET, ORALLY DISINTEGRATING	12.5	mg
GELATIN, UNSPECIFIED	ORAL	TABLET, ORALLY DISINTEGRATING	23.75	MG
GELATIN, UNSPECIFIED	ORAL	TABLET, REPEAT ACTION	1.61	MG
GELATIN, UNSPECIFIED	ORAL	TABLET, SUSTAINED ACTION	40	MG
GELATIN, UNSPECIFIED	SUBLINGUAL	TABLET	10	MG
GELATIN, UNSPECIFIED	SUBLINGUAL	TABLET, ORALLY DISINTEGRATING	12.5	mg
GLUTAMIC ACID HYDROCHLORIDE	ORAL	TABLET	30	MG
GLYCERIN	DENTAL	TABLET	0.53	MG
GLYCERIN	ORAL	TABLET	16	MG
GLYCERIN	ORAL	TABLET (IMMED./COMP. RELEASE), FILM COATED	1.4	MG
GLYCERIN	ORAL	TABLET (IMMED./COMP. RELEASE), UNCOATED, CHEWABLE	1	MG
GLYCERIN	ORAL	TABLET, COATED	0.58	MG
GLYCERIN	ORAL	TABLET, EXTENDED RELEASE	3.64	MG
GLYCERIN	ORAL	TABLET, FILM COATED	1.55	MG
GLYCERIN	ORAL	TABLET, SUSTAINED ACTION	3.45	MG
GLYCERIN POLYMER SOLUTION I-137	ORAL	TABLET	0.5	MG
GLYCERYL BEHENATE/EICOSADIOATE	ORAL	TABLET	6	MG
GLYCERYL BEHENATE/EICOSADIOATE	ORAL	TABLET, DELAYED ACTION	4.95	MG
GLYCERYL BEHENATE/EICOSADIOATE	ORAL	TABLET, EXTENDED RELEASE	11	MG
GLYCERYL DIBEHENATE	ORAL	TABLET	14	MG
GLYCERYL DIBEHENATE	ORAL	TABLET (IMMED./COMP. RELEASE), COATED	1.6	MG
GLYCERYL DIBEHENATE	ORAL	TABLET, CONTROLLED RELEASE	15.04	MG
GLYCERYL DIBEHENATE	ORAL	TABLET, DELAYED RELEASE	12.5	MG
GLYCERYL DIBEHENATE	ORAL	TABLET, EXTENDED RELEASE	142.5	MG
GLYCERYL DIBEHENATE	ORAL	TABLET, FILM COATED	1.35	MG
GLYCERYL DIBEHENATE	ORAL	TABLET, FILM COATED	4	mg
GLYCERYL DIBEHENATE	ORAL	TABLET, ORALLY DISINTEGRATING	5.6	MG
GLYCERYL DIBEHENATE	ORAL	TABLET, SUSTAINED ACTION	50.6	MG
GLYCERYL DISTEARATE	ORAL	TABLET	1.5	MG

(Continued)

Ingredient	Route	Dosage Form	Quantity	Unit
GLYCERYL DISTEARATE	ORAL	TABLET, EXTENDED RELEASE	8.5	MG
GLYCERYL DISTEARATE	ORAL	TABLET, ORALLY DISINTEGRATING	4	MG
GLYCERYL MONO AND DIPALMITOSTEARATE	ORAL	TABLET, DELAYED RELEASE	2.022	MG
GLYCERYL MONOCAPRYLATE	ORAL	TABLET	1.3	MG
GLYCERYL MONOSTEARATE	ORAL	TABLET	8.75	MG
GLYCERYL MONOSTEARATE	ORAL	TABLET (IMMED./COMP. RELEASE), UNCOATED, CHEWABLE	0.25	MG
GLYCERYL MONOSTEARATE	ORAL	TABLET, CONTROLLED RELEASE	1.135	mg
GLYCERYL MONOSTEARATE	ORAL	TABLET, DELAYED ACTION	1.4	MG
GLYCERYL MONOSTEARATE	ORAL	TABLET, DELAYED ACTION, ENTERIC COATED	0.005	MG
GLYCERYL MONOSTEARATE	ORAL	TABLET, EXTENDED RELEASE	17	MG
GLYCERYL MONOSTEARATE	ORAL	TABLET, FILM COATED	1.6	mg
GLYCERYL MONOSTEARATE	ORAL	TABLET, ORALLY DISINTEGRATING, DELAYED RELEASE	7.5	MG
GLYCERYL MONOSTEARATE	ORAL	TABLET, SUSTAINED ACTION	154	MG
GLYCERYL MONOSTEARATE	ORAL	TABLET, SUSTAINED ACTION, FILM COATED	264.3	MG
GLYCERYL MONOSTEARATE	SUBLINGUAL	TABLET	1.23	MG
GLYCERYL OLEATE	ORAL	TABLET	0.15	MG
GLYCERYL PALMITOSTEARATE	ORAL	TABLET	18	MG
GLYCERYL STEARATE/PEG STEARATE	ORAL	TABLET	1.8	MG
GLYCERYL STEARATE/PEG STEARATE	ORAL	TABLET, COATED	1.8	MG
GLYCERYL TRICAPRYLATE	ORAL	TABLET, EXTENDED RELEASE	0.45	MG
GLYCERYL TRISTEARATE	ORAL	TABLET (IMMED./COMP. RELEASE), UNCOATED, CHEWABLE	2.3	MG
GLYCINE	ORAL	TABLET	10	MG
GLYCINE HYDROCHLORIDE	ORAL	TABLET	6	MG
GREEN STARCH BLEND	ORAL	TABLET	2	MG
GUAR GUM	BUCCAL/SUBLINGUAL	TABLET	1.1	MG
GUAR GUM	ORAL	TABLET	40	MG
GUAR GUM	ORAL	TABLET, CHEWABLE	24	MG
GUAR GUM	ORAL	TABLET, FILM COATED	35.4	MG
GUAR GUM	ORAL	TABLET, ORALLY DISINTEGRATING	5.94	MG
GUAR GUM	ORAL	TABLET, SUSTAINED ACTION	5.04	MG
GUAR GUM	VAGINAL	TABLET	2.76	MG
GUINEA GREEN B	ORAL	TABLET	0.12	MG
HIGH DENSITY POLYETHYLENE	ORAL	TABLET	10	MG
HIGH DENSITY POLYETHYLENE	ORAL	TABLET, COATED	12	MG
HIGH DENSITY POLYETHYLENE	ORAL	TABLET, SUSTAINED ACTION	0.64	MG
HYDROCHLORIC ACID	ORAL	TABLET		ADJPH
HYDROCHLORIC ACID	ORAL	TABLET, EXTENDED RELEASE	0.01	MG
HYDROCHLORIC ACID	ORAL	TABLET, EXTENDED RELEASE	1.33	MG
HYDROCHLORIC ACID	ORAL	TABLET, FILM COATED		ADJ PH
HYDROGENATED CASTOR OIL	ORAL	TABLET	40	MG
HYDROGENATED CASTOR OIL	ORAL	TABLET, DELAYED ACTION	3	MG
HYDROGENATED CASTOR OIL	ORAL	TABLET, DELAYED ACTION, ENTERIC COATED	1.3	MG
HYDROGENATED CASTOR OIL	ORAL	TABLET, DELAYED RELEASE	111.4	MG
HYDROGENATED CASTOR OIL	ORAL	TABLET, EXTENDED RELEASE	232	MG
HYDROGENATED CASTOR OIL	ORAL	TABLET, FILM COATED	16.8	MG
HYDROGENATED CASTOR OIL	ORAL	TABLET, SUSTAINED ACTION	295	MG
HYDROGENATED CASTOR OIL	ORAL	TABLET, SUSTAINED ACTION, COATED	5	MG
HYDROGENATED CASTOR OIL	SUBLINGUAL	TABLET	1.6	MG

(Continued)

Ingredient	Route	Dosage Form	Quantity	Unit
HYDROGENATED COTTONSEED OIL	ORAL	TABLET	34	MG
HYDROGENATED COTTONSEED OIL	ORAL	TABLET, COATED	0.6	MG
HYDROGENATED COTTONSEED OIL	ORAL	TABLET, DELAYED ACTION, ENTERIC COATED	4	MG
HYDROGENATED COTTONSEED OIL	ORAL	TABLET, EXTENDED RELEASE	228	MG
HYDROGENATED COTTONSEED OIL	ORAL	TABLET, SUSTAINED ACTION	402	MG
HYDROGENATED COTTONSEED OIL	SUBLINGUAL	TABLET	2	MG
HYDROGENATED SOYBEAN LECITHIN	ORAL	TABLET	0.21	MG
HYDROGENATED SOYBEAN OIL	ORAL	TABLET	13.5	MG
HYDROGENATED SOYBEAN OIL	ORAL	TABLET, COATED	3	MG
HYDROGENATED SOYBEAN OIL	ORAL	TABLET, EXTENDED RELEASE	48	MG
HYDROGENATED TALLOW ACID	ORAL	TABLET	1.81	MG
HYDROGENATED TALLOW ACID	ORAL	TABLET, EXTENDED RELEASE	7.35	MG
HYDROXYETHYL CELLULOSE	ORAL	TABLET	22.96	MG
HYDROXYETHYL CELLULOSE	ORAL	TABLET (IMMED./COMP. RELEASE), UNCOATED, CHEWABLE	11.8	MG
HYDROXYETHYL CELLULOSE	ORAL	TABLET, EXTENDED RELEASE	400	MG
HYDROXYETHYL CELLULOSE	ORAL	TABLET, SUSTAINED ACTION	150	MG
HYDROXYETHYL CELLULOSE	ORAL	TABLET, SUSTAINED ACTION, COATED	140	MG
HYDROXYETHYL CELLULOSE	ORAL	TABLET, SUSTAINED ACTION, FILM COATED	47.99	MG
HYDROXYETHYL CELLULOSE (140 MPA.S AT 5%)	ORAL	TABLET	10.7	MG
HYDROXYETHYL CELLULOSE (140 MPA.S AT 5%)	ORAL	TABLET, FILM COATED	1	MG
HYDROXYETHYL CELLULOSE (2000 MPA.S AT 1%)	ORAL	TABLET, EXTENDED RELEASE	19	mg
HYDROXYETHYL CELLULOSE (280 MPA.S AT 2%)	ORAL	TABLET, EXTENDED RELEASE	17.5	mg
HYDROXYETHYL ETHYLCELLULOSE	ORAL	TABLET, EXTENDED RELEASE	40.63	MG
HYDROXYMETHYL CELLULOSE	ORAL	TABLET	4	MG
HYDROXYMETHYL CELLULOSE	ORAL	TABLET, FILM COATED	30	MG
HYDROXYPROPYL CELLULOSE (110000 WAMW)	ORAL	TABLET	30	mg
HYDROXYPROPYL CELLULOSE (110000 WAMW)	ORAL	TABLET	50	MG
HYDROXYPROPYL CELLULOSE (110000 WAMW)	ORAL	TABLET (IMMED./COMP. RELEASE), FILM COATED	40	MG
HYDROXYPROPYL CELLULOSE (110000 WAMW)	ORAL	TABLET (IMMED./COMP. RELEASE), UNCOATED, CHEWABLE	5	MG
HYDROXYPROPYL CELLULOSE (110000 WAMW)	ORAL	TABLET, CHEWABLE	20	MG
HYDROXYPROPYL CELLULOSE (110000 WAMW)	ORAL	TABLET, CHEWABLE	60	MG
HYDROXYPROPYL CELLULOSE (110000 WAMW)	ORAL	TABLET, DELAYED ACTION	3.5	MG
HYDROXYPROPYL CELLULOSE (110000 WAMW)	ORAL	TABLET, DELAYED RELEASE	6	MG
HYDROXYPROPYL CELLULOSE (110000 WAMW)	ORAL	TABLET, DELAYED RELEASE	8.1	MG
HYDROXYPROPYL CELLULOSE (110000 WAMW)	ORAL	TABLET, EXTENDED RELEASE	145	mg
HYDROXYPROPYL CELLULOSE (110000 WAMW)	ORAL	TABLET, EXTENDED RELEASE	182	MG
HYDROXYPROPYL CELLULOSE (110000 WAMW)	ORAL	TABLET, FILM COATED	22.4	MG

(Continued)

Ingredient	Route	Dosage Form	Quantity	Unit
HYDROXYPROPYL CELLULOSE (110000 WAMW)	ORAL	TABLET, FILM COATED	92	MG
HYDROXYPROPYL CELLULOSE (110000 WAMW)	ORAL	TABLET, MULTILAYER, EXTENDED RELEASE	28.7	MG
HYDROXYPROPYL CELLULOSE (110000 WAMW)	ORAL	TABLET, ORALLY DISINTEGRATING	2.25	MG
HYDROXYPROPYL CELLULOSE (1200000 WAMW)	ORAL	TABLET	25.38	MG
HYDROXYPROPYL CELLULOSE (1200000 WAMW)	ORAL	TABLET	71	MG
HYDROXYPROPYL CELLULOSE (1200000 WAMW)	ORAL	TABLET (IMMED./COMP. RELEASE), FILM COATED	24	MG
HYDROXYPROPYL CELLULOSE (1200000 WAMW)	ORAL	TABLET (IMMED./COMP. RELEASE), UNCOATED, CHEWABLE	24	MG
HYDROXYPROPYL CELLULOSE (1200000 WAMW)	ORAL	TABLET, CHEWABLE	2.5	MG
HYDROXYPROPYL CELLULOSE (1200000 WAMW)	ORAL	TABLET, COATED	8.36	MG
HYDROXYPROPYL CELLULOSE (1200000 WAMW)	ORAL	TABLET, CONTROLLED RELEASE	43.8	MG
HYDROXYPROPYL CELLULOSE (1200000 WAMW)	ORAL	TABLET, DELAYED ACTION	8.5	MG
HYDROXYPROPYL CELLULOSE (1200000 WAMW)	ORAL	TABLET, DELAYED ACTION, ENTERIC COATED	15	MG
HYDROXYPROPYL CELLULOSE (1200000 WAMW)	ORAL	TABLET, DELAYED RELEASE	75	MG
HYDROXYPROPYL CELLULOSE (1200000 WAMW)	ORAL	TABLET, ENTERIC COATED PARTICLES	9	MG
HYDROXYPROPYL CELLULOSE (1200000 WAMW)	ORAL	TABLET, EXTENDED RELEASE	228	MG
HYDROXYPROPYL CELLULOSE (1200000 WAMW)	ORAL	TABLET, FILM COATED	131.67	MG
HYDROXYPROPYL CELLULOSE (1200000 WAMW)	ORAL	TABLET, FILM COATED, EXTENDED RELEASE	2.03	MG
HYDROXYPROPYL CELLULOSE (1200000 WAMW)	ORAL	TABLET, MULTILAYER, EXTENDED RELEASE	107	MG
HYDROXYPROPYL CELLULOSE (1200000 WAMW)	ORAL	TABLET, ORALLY DISINTEGRATING, DELAYED RELEASE	10	MG
HYDROXYPROPYL CELLULOSE (1200000 WAMW)	ORAL	TABLET, SUSTAINED ACTION	240	MG
HYDROXYPROPYL CELLULOSE (1200000 WAMW)	ORAL	TABLET, SUSTAINED ACTION, COATED	22.75	MG
HYDROXYPROPYL CELLULOSE (1200000 WAMW)	ORAL	TABLET, SUSTAINED ACTION, FILM COATED	187.6	MG
HYDROXYPROPYL CELLULOSE (1200000 WAMW)	SUBLINGUAL	TABLET	1	MG
HYDROXYPROPYL CELLULOSE (1600000 WAMW)	ORAL	TABLET	35	MG
HYDROXYPROPYL CELLULOSE (1600000 WAMW)	ORAL	TABLET, CHEWABLE	4.5	mg
HYDROXYPROPYL CELLULOSE (1600000 WAMW)	ORAL	TABLET, EXTENDED RELEASE	8.59	MG
HYDROXYPROPYL CELLULOSE (1600000 WAMW)	ORAL	TABLET, EXTENDED RELEASE	70	mg
HYDROXYPROPYL CELLULOSE (20000 WAMW)	ORAL	TABLET, DELAYED RELEASE	31.5	MG

(Continued)

Ingredient	Route	Dosage Form	Quantity	Unit
HYDROXYPROPYL CELLULOSE (430000 WAMW)	ORAL	TABLET	0.38	mg
HYDROXYPROPYL CELLULOSE (430000 WAMW)	ORAL	TABLET, EXTENDED RELEASE	85	mg
HYDROXYPROPYL CELLULOSE (70000 WAMW)	ORAL	TABLET, EXTENDED RELEASE	8	MG
HYDROXYPROPYL CELLULOSE (70000 WAMW)	ORAL	TABLET, FILM COATED	15	MG
HYDROXYPROPYL CELLULOSE (90000 WAMW)	ORAL	TABLET	15.5	mg
HYDROXYPROPYL CELLULOSE (90000 WAMW)	ORAL	TABLET	54	MG
HYDROXYPROPYL CELLULOSE (90000 WAMW)	ORAL	TABLET (IMMED./COMP. RELEASE), FILM COATED	2.86	MG
HYDROXYPROPYL CELLULOSE (90000 WAMW)	ORAL	TABLET (IMMED./COMP. RELEASE), UNCOATED, CHEWABLE	5	MG
HYDROXYPROPYL CELLULOSE (90000 WAMW)	ORAL	TABLET, DELAYED ACTION	5.6	MG
HYDROXYPROPYL CELLULOSE (90000 WAMW)	ORAL	TABLET, DELAYED RELEASE	5.458	MG
HYDROXYPROPYL CELLULOSE (90000 WAMW)	ORAL	TABLET, EXTENDED RELEASE	40	mg
HYDROXYPROPYL CELLULOSE (90000 WAMW)	ORAL	TABLET, EXTENDED RELEASE	58.5	MG
HYDROXYPROPYL CELLULOSE (90000 WAMW)	ORAL	TABLET, FILM COATED	8.26	MG
HYDROXYPROPYL CELLULOSE, UNSPECIFIED	ORAL	TABLET	95	MG
HYDROXYPROPYL CELLULOSE, UNSPECIFIED	ORAL	TABLET, EXTENDED RELEASE	140	MG
HYMETELLOSE (50 MPA.S)	ORAL	TABLET	24	MG
HYPROMELLOSE 2208 (100 MPA.S)	BUCCAL	TABLET	20.5	MG
HYPROMELLOSE 2208 (100 MPA.S)	BUCCAL	TABLET, EXTENDED RELEASE	17.25	MG
HYPROMELLOSE 2208 (100 MPA.S)	ORAL	TABLET	40	MG
HYPROMELLOSE 2208 (100 MPA.S)	ORAL	TABLET	260	MG
HYPROMELLOSE 2208 (100 MPA.S)	ORAL	TABLET, CONTROLLED RELEASE	86	MG
HYPROMELLOSE 2208 (100 MPA.S)	ORAL	TABLET, EXTENDED RELEASE	405	MG
HYPROMELLOSE 2208 (100000 MPA.S)	ORAL	TABLET	13.5	mg
HYPROMELLOSE 2208 (100000 MPA.S)	ORAL	TABLET	380	MG
HYPROMELLOSE 2208 (100000 MPA.S)	ORAL	TABLET, EXTENDED RELEASE	87	MG
HYPROMELLOSE 2208 (100000 MPA.S)	ORAL	TABLET, EXTENDED RELEASE	209.1	MG
HYPROMELLOSE 2208 (100000 MPA.S)	ORAL	TABLET, EXTENDED RELEASE	293	mg
HYPROMELLOSE 2208 (100000 MPA.S)	ORAL	TABLET, EXTENDED RELEASE	350	MG
HYPROMELLOSE 2208 (100000 MPA.S)	ORAL	TABLET, SUSTAINED RELEASE, FILM COATED	180	MG
HYPROMELLOSE 2208 (15000 MPA.S)	ORAL	TABLET	86	MG
HYPROMELLOSE 2208 (15000 MPA.S)	ORAL	TABLET, COATED	33	MG
HYPROMELLOSE 2208 (15000 MPA.S)	ORAL	TABLET, CONTROLLED RELEASE	7.8	MG
HYPROMELLOSE 2208 (15000 MPA.S)	ORAL	TABLET, EXTENDED RELEASE	456	MG
HYPROMELLOSE 2208 (15000 MPA.S)	ORAL	TABLET, SUSTAINED ACTION	480	MG
HYPROMELLOSE 2208 (15000 MPA.S)	ORAL	TABLET, SUSTAINED ACTION, COATED	94	MG
HYPROMELLOSE 2208 (15000 MPA.S)	ORAL	TABLET, SUSTAINED ACTION, FILM COATED	200	MG
HYPROMELLOSE 2208 (3 MPA.S)	ORAL	TABLET	71.25	MG
HYPROMELLOSE 2208 (3 MPA.S)	ORAL	TABLET, EXTENDED RELEASE	393	MG
HYPROMELLOSE 2208 (3 MPA.S)	ORAL	TABLET, FILM COATED, EXTENDED RELEASE	357.9	MG

(Continued)

Ingredient	Route	Dosage Form	Quantity	Unit
HYPROMELLOSE 2208 (4000 MPA.S)	ORAL	TABLET, EXTENDED RELEASE	86.1	MG
HYPROMELLOSE 2208 (4000 MPA.S)	ORAL	TABLET, EXTENDED RELEASE	297	MG
HYPROMELLOSE 2208 (4000 MPA.S)	ORAL	TABLET, FILM COATED	40	MG
HYPROMELLOSE 2208 (4000 MPA.S)	ORAL	TABLET, FILM COATED, EXTENDED RELEASE	48	MG
HYPROMELLOSE 2208 (60000 MPA.S)	ORAL	TABLET, EXTENDED RELEASE	175	MG
HYPROMELLOSE 2906 (4000 MPA.S)	BUCCAL	TABLET	2.25	MG
HYPROMELLOSE 2906 (4000 MPA.S)	ORAL	TABLET	50	MG
HYPROMELLOSE 2906 (4000 MPA.S)	ORAL	TABLET, EXTENDED RELEASE	17	MG
HYPROMELLOSE 2906 (50 MPA.S)	ORAL	TABLET, EXTENDED RELEASE	88	MG
HYPROMELLOSE 2910 (10000 MPA.S)	ORAL	TABLET	3.125	mg
HYPROMELLOSE 2910 (15 MPA.S)	ORAL	TABLET	24	MG
HYPROMELLOSE 2910 (15 MPA.S)	ORAL	TABLET	52.5	MG
HYPROMELLOSE 2910 (15 MPA.S)	ORAL	TABLET, EXTENDED RELEASE	187	MG
HYPROMELLOSE 2910 (15 MPA.S)	ORAL	TABLET, EXTENDED RELEASE	255	mg
HYPROMELLOSE 2910 (15 MPA.S)	ORAL	TABLET, FILM COATED	4	mg
HYPROMELLOSE 2910 (15 MPA.S)	VAGINAL	TABLET	53.3518	mg
HYPROMELLOSE 2910 (15000 MPA.S)	ORAL	TABLET	1943	MG
HYPROMELLOSE 2910 (15000 MPA.S)	ORAL	TABLET (IMMED./COMP. RELEASE), FILM COATED	214.5	MG
HYPROMELLOSE 2910 (15000 MPA.S)	ORAL	TABLET (IMMED./COMP. RELEASE), UNCOATED, CHEWABLE	11.8	MG
HYPROMELLOSE 2910 (15000 MPA.S)	ORAL	TABLET, COATED	29.25	MG
HYPROMELLOSE 2910 (15000 MPA.S)	ORAL	TABLET, CONTROLLED RELEASE	20	MG
HYPROMELLOSE 2910 (15000 MPA.S)	ORAL	TABLET, DELAYED ACTION	4.47	MG
HYPROMELLOSE 2910 (15000 MPA.S)	ORAL	TABLET, DELAYED ACTION, ENTERIC COATED	19	MG
HYPROMELLOSE 2910 (15000 MPA.S)	ORAL	TABLET, ENTERIC COATED PARTICLES	445	MG
HYPROMELLOSE 2910 (15000 MPA.S)	ORAL	TABLET, EXTENDED RELEASE	150	MG
HYPROMELLOSE 2910 (15000 MPA.S)	ORAL	TABLET, FILM COATED	60	MG
HYPROMELLOSE 2910 (15000 MPA.S)	ORAL	TABLET, MULTILAYER, COATED	22	MG
HYPROMELLOSE 2910 (15000 MPA.S)	ORAL	TABLET, ORALLY DISINTEGRATING	25	MG
HYPROMELLOSE 2910 (15000 MPA.S)	ORAL	TABLET, ORALLY DISINTEGRATING, DELAYED RELEASE	7	MG
HYPROMELLOSE 2910 (15000 MPA.S)	ORAL	TABLET, SUSTAINED ACTION	250	MG
HYPROMELLOSE 2910 (15000 MPA.S)	ORAL	TABLET, SUSTAINED ACTION, COATED	6.7	MG
HYPROMELLOSE 2910 (15000 MPA.S)	ORAL	TABLET, SUSTAINED ACTION, FILM COATED	54	MG
HYPROMELLOSE 2910 (3 MPA.S)	ORAL	TABLET	22.05	MG
HYPROMELLOSE 2910 (3 MPA.S)	ORAL	TABLET	29	mg
HYPROMELLOSE 2910 (3 MPA.S)	ORAL	TABLET (IMMED./COMP. RELEASE), FILM COATED	10.8	MG
HYPROMELLOSE 2910 (3 MPA.S)	ORAL	TABLET, DELAYED ACTION	24.55	MG
HYPROMELLOSE 2910 (3 MPA.S)	ORAL	TABLET, EXTENDED RELEASE	72.5	MG
HYPROMELLOSE 2910 (3 MPA.S)	ORAL	TABLET, FILM COATED	5.1	MG
HYPROMELLOSE 2910 (3 MPA.S)	ORAL	TABLET, ORALLY DISINTEGRATING	3.87	MG
HYPROMELLOSE 2910 (4000 MPA.S)	ORAL	TABLET	18.75	MG
HYPROMELLOSE 2910 (4000 MPA.S)	ORAL	TABLET	48	MG
HYPROMELLOSE 2910 (4000 MPA.S)	ORAL	TABLET, EXTENDED RELEASE	86.32	MG
HYPROMELLOSE 2910 (5 MPA.S)	ORAL	TABLET	2.34	MG
HYPROMELLOSE 2910 (5 MPA.S)	ORAL	TABLET	13.24	MG
HYPROMELLOSE 2910 (5 MPA.S)	ORAL	TABLET	300	MG
HYPROMELLOSE 2910 (5 MPA.S)	ORAL	TABLET (IMMED./COMP. RELEASE), FILM COATED	7	MG
HYPROMELLOSE 2910 (5 MPA.S)	ORAL	TABLET, CONTROLLED RELEASE	4.25	MG
HYPROMELLOSE 2910 (5 MPA.S)	ORAL	TABLET, DELAYED ACTION	10.31	MG

(Continued)

Ingredient	Route	Dosage Form	Quantity	Unit
HYPROMELLOSE 2910 (5 MPA.S)	ORAL	TABLET, DELAYED RELEASE	19.94	MG
HYPROMELLOSE 2910 (5 MPA.S)	ORAL	TABLET, EXTENDED RELEASE	8.85	MG
HYPROMELLOSE 2910 (5 MPA.S)	ORAL	TABLET, EXTENDED RELEASE	84	MG
HYPROMELLOSE 2910 (5 MPA.S)	ORAL	TABLET, EXTENDED RELEASE	110.82	mg
HYPROMELLOSE 2910 (5 MPA.S)	ORAL	TABLET, FILM COATED	1.44	MG
HYPROMELLOSE 2910 (5 MPA.S)	ORAL	TABLET, FILM COATED	22	MG
HYPROMELLOSE 2910 (50 MPA.S)	ORAL	TABLET	1.54	mg
HYPROMELLOSE 2910 (50 MPA.S)	ORAL	TABLET	65.06	MG
HYPROMELLOSE 2910 (50 MPA.S)	ORAL	TABLET, EXTENDED RELEASE	180	MG
HYPROMELLOSE 2910 (50 MPA.S)	ORAL	TABLET, FILM COATED	16.875	MG
HYPROMELLOSE 2910 (50 MPA.S)	ORAL	TABLET, FILM COATED, EXTENDED RELEASE	0.56	MG
HYPROMELLOSE 2910 (6 MPA.S)	ORAL	TABLET	2.72	MG
HYPROMELLOSE 2910 (6 MPA.S)	ORAL	TABLET	80.31	MG
HYPROMELLOSE 2910 (6 MPA.S)	ORAL	TABLET (IMMED./COMP. RELEASE), FILM COATED	17.2	MG
HYPROMELLOSE 2910 (6 MPA.S)	ORAL	TABLET, DELAYED ACTION	14.5	MG
HYPROMELLOSE 2910 (6 MPA.S)	ORAL	TABLET, DELAYED ACTION, ENTERIC COATED	5.25	MG
HYPROMELLOSE 2910 (6 MPA.S)	ORAL	TABLET, DELAYED RELEASE	11.4	MG
HYPROMELLOSE 2910 (6 MPA.S)	ORAL	TABLET, EXTENDED RELEASE	7.5	mg
HYPROMELLOSE 2910 (6 MPA.S)	ORAL	TABLET, EXTENDED RELEASE	92.79	MG
HYPROMELLOSE 2910 (6 MPA.S)	ORAL	TABLET, EXTENDED RELEASE	259.365	mg
HYPROMELLOSE 2910 (6 MPA.S)	ORAL	TABLET, FILM COATED	19.84	MG
HYPROMELLOSE 2910 (6 MPA.S)	ORAL	TABLET, FILM COATED, EXTENDED RELEASE	3.29	MG
HYPROMELLOSE 2910 (6 MPA.S)	ORAL	TABLET, ORALLY DISINTEGRATING	13	MG
HYPROMELLOSE ACETATE SUCCINATE	ORAL	TABLET	560	MG
HYPROMELLOSE ACETATE SUCCINATE	ORAL	TABLET, DELAYED ACTION	50.4	MG
HYPROMELLOSE ACETATE SUCCINATE	ORAL	TABLET, DELAYED RELEASE	325	MG
HYPROMELLOSE ACETATE SUCCINATE	ORAL	TABLET, DELAYED ACTION	29.7	MG
06081224 (3 MM2/S)				
HYPROMELLOSE ACETATE SUCCINATE	ORAL	TABLET, EXTENDED RELEASE	19.83	MG
06081224 (3 MM2/S)				
HYPROMELLOSE PHTHALATE	ORAL	TABLET	104.4	MG
HYPROMELLOSE PHTHALATE	ORAL	TABLET, DELAYED ACTION	45.36	MG
HYPROMELLOSE PHTHALATE	ORAL	TABLET, DELAYED ACTION, ENTERIC COATED	44.57	MG
HYPROMELLOSE PHTHALATE	ORAL	TABLET, DELAYED RELEASE	70.71	MG
HYPROMELLOSE PHTHALATE	ORAL	TABLET, ENTERIC COATED PARTICLES	119.4	MG
HYPROMELLOSE PHTHALATE	ORAL	TABLET, EXTENDED RELEASE	25.68	MG
HYPROMELLOSE PHTHALATE	ORAL	TABLET, ORALLY DISINTEGRATING	47	MG
HYPROMELLOSE PHTHALATE (31% PHTHALATE, 40 CST)	ORAL	TABLET, DELAYED RELEASE	16.42	MG
HYPROMELLOSE, UNSPECIFIED	BUCCAL	TABLET	24	MG
HYPROMELLOSE, UNSPECIFIED	ORAL	TABLET	10	MG
HYPROMELLOSE, UNSPECIFIED	ORAL	TABLET	16.68	mg
HYPROMELLOSE, UNSPECIFIED	ORAL	TABLET (IMMED./COMP. RELEASE), COATED	19.2	MG
HYPROMELLOSE, UNSPECIFIED	ORAL	TABLET (IMMED./COMP. RELEASE), FILM COATED	24	MG
HYPROMELLOSE, UNSPECIFIED	ORAL	TABLET (IMMED./COMP. RELEASE), UNCOATED, CHEWABLE	10	MG
HYPROMELLOSE, UNSPECIFIED	ORAL	TABLET, CHEWABLE	22	MG
HYPROMELLOSE, UNSPECIFIED	ORAL	TABLET, COATED	245	MG
HYPROMELLOSE, UNSPECIFIED	ORAL	TABLET, CONTROLLED RELEASE	65.7	MG

(Continued)

Ingredient	Route	Dosage Form	Quantity	Unit
HYPROMELLOSE, UNSPECIFIED	ORAL	TABLET, DELAYED ACTION	19.62	MG
HYPROMELLOSE, UNSPECIFIED	ORAL	TABLET, DELAYED ACTION, ENTERIC COATED	127.29	MG
HYPROMELLOSE, UNSPECIFIED	ORAL	TABLET, DELAYED RELEASE	16.4	MG
HYPROMELLOSE, UNSPECIFIED	ORAL	TABLET, EXTENDED RELEASE	450	MG
HYPROMELLOSE, UNSPECIFIED	ORAL	TABLET, FILM COATED	536.8	MG
HYPROMELLOSE, UNSPECIFIED	ORAL	TABLET, FILM COATED, EXTENDED RELEASE	48.01	MG
HYPROMELLOSE, UNSPECIFIED	ORAL	TABLET, FOR SUSPENSION	45	MG
HYPROMELLOSE, UNSPECIFIED	ORAL	TABLET, MULTILAYER, EXTENDED RELEASE	125.5	MG
HYPROMELLOSE, UNSPECIFIED	ORAL	TABLET, ORALLY DISINTEGRATING	18	MG
HYPROMELLOSE, UNSPECIFIED	ORAL	TABLET, ORALLY DISINTEGRATING, DELAYED RELEASE	29	MG
HYPROMELLOSE, UNSPECIFIED	ORAL	TABLET, SUSTAINED ACTION	400	MG
HYPROMELLOSE, UNSPECIFIED	ORAL	TABLET, SUSTAINED ACTION, COATED	221	MG
HYPROMELLOSE, UNSPECIFIED	ORAL	TABLET, SUSTAINED ACTION, FILM COATED	58.33	MG
HYPROMELLOSE, UNSPECIFIED	ORAL	TABLET, SUSTAINED RELEASE, FILM COATED	300	MG
HYPROMELLOSE, UNSPECIFIED	VAGINAL	TABLET	5	MG
HYPROMELLOSE, UNSPECIFIED	VAGINAL	TABLET, FILM COATED	54.21	MG
HYSTRENE	ORAL	TABLET	3	MG
INDIGOTINDISULFONATE SODIUM	ORAL	TABLET	2.87	MG
INDIGOTINDISULFONATE SODIUM	ORAL	TABLET, EXTENDED RELEASE	0.036	MG
INDIGOTINE P	ORAL	TABLET, EXTENDED RELEASE	0.004	MG
INK BLACK A-10527	ORAL	TABLET, REPEAT ACTION	0.19	MG
INK BLACK SW-9007	ORAL	TABLET	0.09	MG
INK BLACK SW-9007	ORAL	TABLET, FILM COATED	0.09	MG
INK BLUE TEK PRINT SB-6029	ORAL	TABLET, SUSTAINED ACTION	0.2	MG
INK EDIBLE BLACK	ORAL	TABLET, COATED	0.4	MG
INK EDIBLE BLACK	ORAL	TABLET, SUSTAINED ACTION	1	MG
INK EDIBLE BLUE	ORAL	TABLET, COATED	0.036	MG
INK EDIBLE BROWN	ORAL	TABLET, COATED	0.036	MG
INK EDIBLE WHITE	ORAL	TABLET, REPEAT ACTION	0.19	MG
INK GREEN A-10454	ORAL	TABLET, SUSTAINED ACTION	0.13	MG
INK THINNER	ORAL	TABLET, EXTENDED RELEASE	0.018	MG
INSTACOAT UNIVERSAL A05G11159 WHITE	ORAL	TABLET	5	MG
IRON OXIDE BEIGE	SUBLINGUAL	TABLET	2	MG
ISOMALT	ORAL	TABLET	522	MG
ISOMALT	ORAL	TABLET, UNCOATED, TROCHE	1718.71	MG
ISOCTYL ACRYLATE/ACRYLAMIDE/ VINYL ACETATE COPOLYMER, KOLLIDON VA 64 POLYMER	ORAL	TABLET, FILM COATED	53	MG
KAOLIN	ORAL	TABLET	32.13	MG
KAOLIN	ORAL	TABLET, COATED	8	MG
KAOLIN	ORAL	TABLET, DELAYED ACTION, ENTERIC COATED	18.5	MG
KAOLIN	ORAL	TABLET, DELAYED RELEASE	16.75	MG
KAOLIN	ORAL	TABLET, EXTENDED RELEASE	10	MG
KAOLIN	ORAL	TABLET, SUSTAINED ACTION	66	MG
LACTIC ACID, UNSPECIFIED FORM	ORAL	TABLET		ADJPH
LACTIC ACID, UNSPECIFIED FORM	VAGINAL	TABLET	70	MG
LACTITOL	ORAL	TABLET, EXTENDED RELEASE	30.01	MG
LACTOFERRIN, BOVINE	ORAL	TABLET	28.6	MG

(Continued)

Ingredient	Route	Dosage Form	Quantity	Unit
LACTOSE MONOHYDRATE	BUCCAL	TABLET	21.38	MG
LACTOSE MONOHYDRATE	ORAL	TABLET	333	MEQ
LACTOSE MONOHYDRATE	ORAL	TABLET	708.9	MG
LACTOSE MONOHYDRATE	ORAL	TABLET (IMMED./COMP. RELEASE), COATED	254	MG
LACTOSE MONOHYDRATE	ORAL	TABLET (IMMED./COMP. RELEASE), FILM COATED	269.8	MG
LACTOSE MONOHYDRATE	ORAL	TABLET (IMMED./COMP. RELEASE), UNCOATED, CHEWABLE	100	MG
LACTOSE MONOHYDRATE	ORAL	TABLET, CHEWABLE	126.1	MG
LACTOSE MONOHYDRATE	ORAL	TABLET, COATED	346.5	MG
LACTOSE MONOHYDRATE	ORAL	TABLET, CONTROLLED RELEASE	152.75	MG
LACTOSE MONOHYDRATE	ORAL	TABLET, DELAYED ACTION	385.55	MG
LACTOSE MONOHYDRATE	ORAL	TABLET, DELAYED ACTION, ENTERIC COATED	157.95	MG
LACTOSE MONOHYDRATE	ORAL	TABLET, DELAYED RELEASE	45.8	MG
LACTOSE MONOHYDRATE	ORAL	TABLET, DISPERSIBLE	543.6	MG
LACTOSE MONOHYDRATE	ORAL	TABLET, ENTERIC COATED PARTICLES	150	MG
LACTOSE MONOHYDRATE	ORAL	TABLET, EXTENDED RELEASE	538	MG
LACTOSE MONOHYDRATE	ORAL	TABLET, FILM COATED	587.44	MG
LACTOSE MONOHYDRATE	ORAL	TABLET, FILM COATED, EXTENDED RELEASE	72.14	MG
LACTOSE MONOHYDRATE	ORAL	TABLET, FOR SUSPENSION	4.9	MG
LACTOSE MONOHYDRATE	ORAL	TABLET, MULTILAYER, COATED	22.7	MG
LACTOSE MONOHYDRATE	ORAL	TABLET, ORALLY DISINTEGRATING	29.75	MG
LACTOSE MONOHYDRATE	ORAL	TABLET, REPEAT ACTION	155.28	MG
LACTOSE MONOHYDRATE	ORAL	TABLET, SUSTAINED ACTION	299.2	MG
LACTOSE MONOHYDRATE	ORAL	TABLET, SUSTAINED ACTION, FILM COATED	260	MG
LACTOSE MONOHYDRATE	ORAL	TABLET, SUSTAINED RELEASE, FILM COATED	81.9	MG
LACTOSE MONOHYDRATE	SUBLINGUAL	TABLET	191.76	MG
LACTOSE MONOHYDRATE	SUBLINGUAL	TABLET (IMMED./COMP. RELEASE), UNCOATED, BUCCAL	160.4	MG
LACTOSE MONOHYDRATE	VAGINAL	TABLET	596	MG
LACTOSE MONOHYDRATE	VAGINAL	TABLET	760.5	MG
LACTOSE MONOHYDRATE	VAGINAL	TABLET, FILM COATED	17.9	MG
LACTOSE MONOHYDRATE - CELLULOSE, MICROCRYSTALLINE	ORAL	TABLET	614.2	MG
LACTOSE MONOHYDRATE - CELLULOSE, MICROCRYSTALLINE	ORAL	TABLET, EXTENDED RELEASE	121.5	MG
LACTOSE, UNSPECIFIED FORM	BUCCAL	TABLET	183.3	MG
LACTOSE, UNSPECIFIED FORM	BUCCAL/SUBLINGUAL	TABLET	296.7	MG
LACTOSE, UNSPECIFIED FORM	ORAL	TABLET	2217	MG
LACTOSE, UNSPECIFIED FORM	ORAL	TABLET (IMMED./COMP. RELEASE), UNCOATED, CHEWABLE	117.7	MG
LACTOSE, UNSPECIFIED FORM	ORAL	TABLET, COATED	332.05	MG
LACTOSE, UNSPECIFIED FORM	ORAL	TABLET, CONTROLLED RELEASE	0.017	MG
LACTOSE, UNSPECIFIED FORM	ORAL	TABLET, DELAYED ACTION	92.02	MG
LACTOSE, UNSPECIFIED FORM	ORAL	TABLET, DELAYED ACTION, ENTERIC COATED	209	MG
LACTOSE, UNSPECIFIED FORM	ORAL	TABLET, EXTENDED RELEASE	122.99	MG
LACTOSE, UNSPECIFIED FORM	ORAL	TABLET, FILM COATED	590	MG
LACTOSE, UNSPECIFIED FORM	ORAL	TABLET, MULTILAYER, EXTENDED RELEASE	122	MG
LACTOSE, UNSPECIFIED FORM	ORAL	TABLET, REPEAT ACTION	153.2	MG

(Continued)

Ingredient	Route	Dosage Form	Quantity	Unit
LACTOSE, UNSPECIFIED FORM	ORAL	TABLET, SUSTAINED ACTION	400	MG
LACTOSE, UNSPECIFIED FORM	ORAL	TABLET, SUSTAINED ACTION, FILM COATED	51.1	MG
LACTOSE, UNSPECIFIED FORM	ORAL	TABLET, SUSTAINED RELEASE, FILM COATED	38.75	MG
LACTOSE, UNSPECIFIED FORM	RECTAL	TABLET	20	MG
LACTOSE, UNSPECIFIED FORM	SUBLINGUAL	TABLET	175.92	MG
LACTOSE, UNSPECIFIED FORM	VAGINAL	TABLET	1013	MG
LAUROYL PEG-32 GLYCERIDES	ORAL	TABLET	0.15	MG
LAUROYL POLYOXYLGLYCERIDES	ORAL	TABLET	0.15	MG
LAUROYL POLYOXYLGLYCERIDES	ORAL	TABLET, FILM COATED	0.15	MG
LAURYL SULFATE	ORAL	TABLET, FILM COATED	2	MG
LECITHIN	ORAL	TABLET	2	MG
LECITHIN	ORAL	TABLET, DELAYED RELEASE	0.16	MG
LECITHIN	ORAL	TABLET, EXTENDED RELEASE	10	MG
LECITHIN, DISATURATED	ORAL	TABLET, EXTENDED RELEASE	1.05	MG
LECITHIN, SOYBEAN	ORAL	TABLET	3.8	MG
LECITHIN, SOYBEAN	ORAL	TABLET, EXTENDED RELEASE	0.4	MG
LECITHIN, SOYBEAN	ORAL	TABLET, EXTENDED RELEASE	20	mg
LECITHIN, SOYBEAN	ORAL	TABLET, FILM COATED	0.33	MG
LEUCINE	ORAL	TABLET	4.5	MG
LIGHT MINERAL OIL	ORAL	TABLET	7.5	MG
LIGHT MINERAL OIL	ORAL	TABLET, COATED	4.8	MG
LIGHT MINERAL OIL	ORAL	TABLET, FILM COATED	2.49	MG
LIGHT MINERAL OIL	ORAL	TABLET, SUSTAINED ACTION	0.2	MG
LIME OIL	SUBLINGUAL	TABLET	0.001	MG
LOCUST BEAN GUM	ORAL	TABLET, EXTENDED RELEASE	74.25	MG
LOW-SUBSTITUTED HYDROXYPROPYL CELLULOSE (11% HYDROXYPROPYL; 120000 MW)	ORAL	TABLET	4	MG
LOW-SUBSTITUTED HYDROXYPROPYL CELLULOSE (11% HYDROXYPROPYL; 120000 MW)	ORAL	TABLET	60	MG
LOW-SUBSTITUTED HYDROXYPROPYL CELLULOSE (11% HYDROXYPROPYL; 130000 MW)	ORAL	TABLET	13.5	MG
LOW-SUBSTITUTED HYDROXYPROPYL CELLULOSE (11% HYDROXYPROPYL; 130000 MW)	ORAL	TABLET	54	MG
LOW-SUBSTITUTED HYDROXYPROPYL CELLULOSE (11% HYDROXYPROPYL; 130000 MW)	ORAL	TABLET, FILM COATED	6	mg
LOW-SUBSTITUTED HYDROXYPROPYL CELLULOSE, UNSPECIFIED	ORAL	TABLET	100	MG
LOW-SUBSTITUTED HYDROXYPROPYL CELLULOSE, UNSPECIFIED	ORAL	TABLET (IMMED./COMP. RELEASE), FILM COATED	50	MG
LOW-SUBSTITUTED HYDROXYPROPYL CELLULOSE, UNSPECIFIED	ORAL	TABLET (IMMED./COMP. RELEASE), UNCOATED, CHEWABLE	35	MG
LOW-SUBSTITUTED HYDROXYPROPYL CELLULOSE, UNSPECIFIED	ORAL	TABLET, DELAYED ACTION, COATED	15	MG
LOW-SUBSTITUTED HYDROXYPROPYL CELLULOSE, UNSPECIFIED	ORAL	TABLET, DELAYED ACTION, ENTERIC COATED	19.5	MG
LOW-SUBSTITUTED HYDROXYPROPYL CELLULOSE, UNSPECIFIED	ORAL	TABLET, DELAYED RELEASE	26.3	MG
LOW-SUBSTITUTED HYDROXYPROPYL CELLULOSE, UNSPECIFIED	ORAL	TABLET, FILM COATED	16.5	MG

(Continued)

Ingredient	Route	Dosage Form	Quantity	Unit
LOW-SUBSTITUTED HYDROXYPROPYL CELLULOSE, UNSPECIFIED	ORAL	TABLET, FILM COATED	52.5	MG
LOW-SUBSTITUTED HYDROXYPROPYL CELLULOSE, UNSPECIFIED	ORAL	TABLET, MULTILAYER, EXTENDED RELEASE	63	MG
LOW-SUBSTITUTED HYDROXYPROPYL CELLULOSE, UNSPECIFIED	ORAL	TABLET, ORALLY DISINTEGRATING	42	MG
LOW-SUBSTITUTED HYDROXYPROPYL CELLULOSE, UNSPECIFIED	ORAL	TABLET, ORALLY DISINTEGRATING, DELAYED RELEASE	40	MG
LOW-SUBSTITUTED HYDROXYPROPYL CELLULOSE, UNSPECIFIED	ORAL	TABLET, SUSTAINED ACTION	11.66	MG
LUBRITAB	ORAL	TABLET	10	MG
LUBRITAB	ORAL	TABLET, SUSTAINED ACTION	35	MG
LUDIPRESS	ORAL	TABLET	80	MG
LUDIPRESS	ORAL	TABLET, EXTENDED RELEASE	57.69	MG
MAGNESIUM ALUMINOMETASILICATE TYPE 1A	ORAL	TABLET	11.5	mg
MAGNESIUM ALUMINOMETASILICATE TYPE IA	ORAL	TABLET, ORALLY DISINTEGRATING	6	MG
MAGNESIUM ALUMINOMETASILICATE TYPE IB	ORAL	TABLET	6.4	MG
MAGNESIUM ALUMINUM SILICATE	ORAL	TABLET	60	MG
MAGNESIUM ALUMINUM SILICATE	ORAL	TABLET (IMMED./COMP. RELEASE), UNCOATED, CHEWABLE	12	MG
MAGNESIUM ALUMINUM SILICATE	ORAL	TABLET, EXTENDED RELEASE	70	MG
MAGNESIUM ASPARTATE	ORAL	TABLET	1.5	MG
MAGNESIUM CARBONATE	ORAL	TABLET	250	MG
MAGNESIUM CARBONATE	ORAL	TABLET (IMMED./COMP. RELEASE), UNCOATED, CHEWABLE	100	MG
MAGNESIUM CARBONATE	ORAL	TABLET, COATED	28.5	MG
MAGNESIUM CARBONATE	ORAL	TABLET, DELAYED ACTION, ENTERIC COATED	250	MG
MAGNESIUM CARBONATE	ORAL	TABLET, FILM COATED	250	MG
MAGNESIUM CARBONATE	ORAL	TABLET, ORALLY DISINTEGRATING	30	MG
MAGNESIUM CARBONATE	ORAL	TABLET, ORALLY DISINTEGRATING, DELAYED RELEASE	10	MG
MAGNESIUM HYDROXIDE	ORAL	TABLET	60	MG
MAGNESIUM HYDROXIDE	ORAL	TABLET (IMMED./COMP. RELEASE), UNCOATED, CHEWABLE	450	MG
MAGNESIUM HYDROXIDE	ORAL	TABLET, DELAYED RELEASE	60	MG
MAGNESIUM HYDROXIDE	ORAL	TABLET, FILM COATED	43.4	MG
MAGNESIUM OXIDE	ORAL	TABLET	63	MG
MAGNESIUM OXIDE	ORAL	TABLET, DELAYED ACTION	5	MG
MAGNESIUM OXIDE	ORAL	TABLET, DELAYED ACTION, ENTERIC COATED	63	MG
MAGNESIUM OXIDE	ORAL	TABLET, DELAYED RELEASE	61.31	MG
MAGNESIUM OXIDE	ORAL	TABLET, EXTENDED RELEASE	1	MG
MAGNESIUM OXIDE	ORAL	TABLET, FILM COATED	40	MG
MAGNESIUM OXIDE	ORAL	TABLET, SUSTAINED ACTION	25.74	MG
MAGNESIUM OXIDE	SUBLINGUAL	TABLET	15	MG
MAGNESIUM PALMITOSTEARATE	ORAL	TABLET	10	MG
MAGNESIUM PHOSPHATE, TRIBASIC, PENTAHYDRATE	ORAL	TABLET	0.85	MG
MAGNESIUM SILICATE	ORAL	TABLET	10	MG
MAGNESIUM SILICATE	ORAL	TABLET, COATED	29.03	MG
MAGNESIUM SILICATE	ORAL	TABLET, ENTERIC COATED PARTICLES	30	MG
MAGNESIUM SILICATE	ORAL	TABLET, FILM COATED	14.3	MG

(Continued)

Ingredient	Route	Dosage Form	Quantity	Unit
MAGNESIUM SILICATE	SUBLINGUAL	TABLET	1.2	MG
MAGNESIUM STEARATE	BUCCAL	TABLET	4	MG
MAGNESIUM STEARATE	BUCCAL	TABLET, EXTENDED RELEASE	0.58	MG
MAGNESIUM STEARATE	BUCCAL/SUBLINGUAL	TABLET	17.5	MG
MAGNESIUM STEARATE	ORAL	TABLET	35	MG
MAGNESIUM STEARATE	ORAL	TABLET (IMMED./COMP. RELEASE), COATED	6.4	MG
MAGNESIUM STEARATE	ORAL	TABLET (IMMED./COMP. RELEASE), FILM COATED	20.5	MG
MAGNESIUM STEARATE	ORAL	TABLET (IMMED./COMP. RELEASE), UNCOATED, CHEWABLE	50	MG
MAGNESIUM STEARATE	ORAL	TABLET, CHEWABLE	40	MG
MAGNESIUM STEARATE	ORAL	TABLET, COATED	40	MG
MAGNESIUM STEARATE	ORAL	TABLET, CONTROLLED RELEASE	12.4	MG
MAGNESIUM STEARATE	ORAL	TABLET, DELAYED ACTION	14	MG
MAGNESIUM STEARATE	ORAL	TABLET, DELAYED ACTION, ENTERIC COATED	53.8	MG
MAGNESIUM STEARATE	ORAL	TABLET, DELAYED RELEASE	10	MG
MAGNESIUM STEARATE	ORAL	TABLET, DISPERSIBLE	4.5	MG
MAGNESIUM STEARATE	ORAL	TABLET, DISPERSIBLE	16	MG
MAGNESIUM STEARATE	ORAL	TABLET, ENTERIC COATED PARTICLES	7	MG
MAGNESIUM STEARATE	ORAL	TABLET, EXTENDED RELEASE	26.66	MG
MAGNESIUM STEARATE	ORAL	TABLET, FILM COATED	28.31	MG
MAGNESIUM STEARATE	ORAL	TABLET, FILM COATED, EXTENDED RELEASE	17.5	MG
MAGNESIUM STEARATE	ORAL	TABLET, FOR SUSPENSION	12.5	MG
MAGNESIUM STEARATE	ORAL	TABLET, MULTILAYER, COATED	3	MG
MAGNESIUM STEARATE	ORAL	TABLET, MULTILAYER, EXTENDED RELEASE	15	MG
MAGNESIUM STEARATE	ORAL	TABLET, ORALLY DISINTEGRATING	71.43	MG
MAGNESIUM STEARATE	ORAL	TABLET, ORALLY DISINTEGRATING, DELAYED RELEASE	6	MG
MAGNESIUM STEARATE	ORAL	TABLET, REPEAT ACTION	1.2	MG
MAGNESIUM STEARATE	ORAL	TABLET, SUSTAINED ACTION	150	MG
MAGNESIUM STEARATE	ORAL	TABLET, SUSTAINED ACTION, COATED	10	MG
MAGNESIUM STEARATE	ORAL	TABLET, SUSTAINED ACTION, FILM COATED	15.8	MG
MAGNESIUM STEARATE	ORAL	TABLET, SUSTAINED RELEASE, FILM COATED	17.5	MG
MAGNESIUM STEARATE	ORAL	TABLET, UNCOATED, LOZENGE	15	MG
MAGNESIUM STEARATE	ORAL	TABLET, UNCOATED, TROCHE	20	MG
MAGNESIUM STEARATE	ORAL	TROCHE	21	MG
MAGNESIUM STEARATE	ORAL	WAFER	15	MG
MAGNESIUM STEARATE	SUBLINGUAL	TABLET	6	MG
MAGNESIUM STEARATE	SUBLINGUAL	TABLET (IMMED./COMP. RELEASE), UNCOATED, BUCCAL	3	MG
MAGNESIUM STEARATE	TRANSMUCOSAL	TABLET	1.5	MG
MAGNESIUM STEARATE	TRANSMUCOSAL	TABLET, UNCOATED, LOZENGE	25	MG
MAGNESIUM STEARATE	VAGINAL	TABLET	23	MG
MAGNESIUM STEARATE	VAGINAL	TABLET, FILM COATED	0.4	MG
MAGNESIUM SULFATE, UNSPECIFIED FORM	ORAL	TABLET	2.9	MG
MAGNESIUM SULFATE, UNSPECIFIED FORM	ORAL	TABLET, EXTENDED RELEASE	4	MG
MAGNESIUM SULFATE, UNSPECIFIED FORM	ORAL	TABLET, FILM COATED	14	MG

(Continued)

Ingredient	Route	Dosage Form	Quantity	Unit
MAGNESIUM TARTRATE	ORAL	TABLET	3.24	MG
MAGNESIUM TRISILICATE	ORAL	TABLET	76.89	MG
MAGNESIUM TRISILICATE	ORAL	TABLET (IMMED./COMP. RELEASE), FILM COATED	12	MG
MAGNESIUM TRISILICATE	ORAL	TABLET (IMMED./COMP. RELEASE), UNCOATED, CHEWABLE	76.89	MG
MAGNESIUM TRISILICATE	ORAL	TABLET, COATED	20	MG
MAGNESIUM TRISILICATE	ORAL	TABLET, DELAYED ACTION	26.4	MG
MAGNESIUM TRISILICATE	ORAL	TABLET, DELAYED RELEASE	19	MG
MAGNESIUM TRISILICATE	ORAL	TABLET, EXTENDED RELEASE	75	MG
MALEIC ACID	ORAL	TABLET	4	MG
MALTITOL	ORAL	TABLET, ORALLY DISINTEGRATING	0.9	MG
MALTODEXTRIN	ORAL	TABLET	102.35	MG
MALTODEXTRIN	ORAL	TABLET (IMMED./COMP. RELEASE), UNCOATED, CHEWABLE	292	MG
MALTODEXTRIN	ORAL	TABLET, CHEWABLE	4.2	MG
MALTODEXTRIN	ORAL	TABLET, COATED	5.6	MG
MALTODEXTRIN	ORAL	TABLET, EFFERVESCENT, FOR SOLUTION	400.1	MG
MALTODEXTRIN	ORAL	TABLET, EXTENDED RELEASE	165.18	MG
MALTODEXTRIN	ORAL	TABLET, ORALLY DISINTEGRATING	1.88	MG
MALTODEXTRIN	ORAL	TABLET, SUSTAINED ACTION	72.5	MG
MALTOSE ANHYDROUS	ORAL	TABLET	473	MG
MALTOSE MONOHYDRATE	ORAL	TABLET	380.5	MG
MALTOSE, UNSPECIFIED FORM	ORAL	TABLET	454.96	MG
MANNITOL	BUCCAL	TABLET	97.69	MG
MANNITOL	BUCCAL/SUBLINGUAL	TABLET	52.5	MG
MANNITOL	ORAL	TABLET	55	mg
MANNITOL	ORAL	TABLET	392	mg
MANNITOL	ORAL	TABLET	681.65	MG
MANNITOL	ORAL	TABLET (IMMED./COMP. RELEASE), FILM COATED	181.5	MG
MANNITOL	ORAL	TABLET (IMMED./COMP. RELEASE), UNCOATED, CHEWABLE	630	MG
MANNITOL	ORAL	TABLET, CHEWABLE	410.6	MG
MANNITOL	ORAL	TABLET, COATED	177.7	MG
MANNITOL	ORAL	TABLET, DELAYED ACTION	110.08	MG
MANNITOL	ORAL	TABLET, DELAYED ACTION, ENTERIC COATED	77.9	MG
MANNITOL	ORAL	TABLET, DELAYED RELEASE	213	MG
MANNITOL	ORAL	TABLET, DISPERSIBLE	104	MG
MANNITOL	ORAL	TABLET, DISPERSIBLE	58.3	MG
MANNITOL	ORAL	TABLET, EXTENDED RELEASE	384.75	MG
MANNITOL	ORAL	TABLET, FILM COATED	69.91	mg
MANNITOL	ORAL	TABLET, FILM COATED	241.21	MG
MANNITOL	ORAL	TABLET, FOR SUSPENSION	270	MG
MANNITOL	ORAL	TABLET, ORALLY DISINTEGRATING	15	MG
MANNITOL	ORAL	TABLET, ORALLY DISINTEGRATING	196	mg
MANNITOL	ORAL	TABLET, ORALLY DISINTEGRATING	606.72	MG
MANNITOL	ORAL	TABLET, ORALLY DISINTEGRATING, DELAYED RELEASE	221	MG
MANNITOL	ORAL	TABLET, SUSTAINED ACTION	392.2	MG
MANNITOL	ORAL	TABLET, SUSTAINED ACTION, FILM COATED	274.97	MG
MANNITOL	ORAL	TABLET, UNCOATED, LOZENGE	187.6	MG
MANNITOL	ORAL	TROCHE	1035.18	MG

(Continued)

Ingredient	Route	Dosage Form	Quantity	Unit
MANNITOL	ORAL	WAFER	500	MG
MANNITOL	SUBLINGUAL	TABLET	55	mg
MANNITOL	SUBLINGUAL	TABLET	204	MG
MANNITOL	SUBLINGUAL	TABLET (IMMED./COMP. RELEASE), UNCOATED, BUCCAL	157.48	MG
MANNITOL	SUBLINGUAL	TABLET, ORALLY DISINTEGRATING	10.25	mg
MANNITOL	TRANSMUCOSAL	TABLET	180.19	MG
MANNOSE, D-	ORAL	TABLET	1.2	MG
MEDICAL ANTIFOAM EMULSION C	ORAL	TABLET	1	MG
MEDIUM-CHAIN TRIGLYCERIDES	ORAL	TABLET	0.34	MG
MEGLUMINE	ORAL	TABLET	24	MG
MEGLUMINE	ORAL	TABLET (IMMED./COMP. RELEASE), FILM COATED	1	MG
MEGLUMINE	ORAL	TABLET, DELAYED ACTION	0.5	MG
MENTHA PIPERITA LEAF	ORAL	TABLET, CHEWABLE	0.76	mg
MENTHOL, UNSPECIFIED FORM	ORAL	TABLET	0.58	MG
MENTHOL, UNSPECIFIED FORM	ORAL	TABLET, ORALLY DISINTEGRATING	14	MG
METHACRYLIC ACID - ETHYL ACRYLATE COPOLYMER (1:1) TYPE A	ORAL	TABLET	91	MG
METHACRYLIC ACID - ETHYL ACRYLATE COPOLYMER (1:1) TYPE A	ORAL	TABLET, CONTROLLED RELEASE	14	MG
METHACRYLIC ACID - ETHYL ACRYLATE COPOLYMER (1:1) TYPE A	ORAL	TABLET, CONTROLLED RELEASE	22.74	mg
METHACRYLIC ACID - ETHYL ACRYLATE COPOLYMER (1:1) TYPE A	ORAL	TABLET, DELAYED ACTION	5.58	MG
METHACRYLIC ACID - ETHYL ACRYLATE COPOLYMER (1:1) TYPE A	ORAL	TABLET, DELAYED ACTION	49.63	MG
METHACRYLIC ACID - ETHYL ACRYLATE COPOLYMER (1:1) TYPE A	ORAL	TABLET, DELAYED ACTION, ENTERIC COATED	140	MG
METHACRYLIC ACID - ETHYL ACRYLATE COPOLYMER (1:1) TYPE A	ORAL	TABLET, DELAYED RELEASE	10.3	MG
METHACRYLIC ACID - ETHYL ACRYLATE COPOLYMER (1:1) TYPE A	ORAL	TABLET, DELAYED RELEASE	40	MG
METHACRYLIC ACID - ETHYL ACRYLATE COPOLYMER (1:1) TYPE A	ORAL	TABLET, ENTERIC COATED PARTICLES	27.9	MG
METHACRYLIC ACID - ETHYL ACRYLATE COPOLYMER (1:1) TYPE A	ORAL	TABLET, EXTENDED RELEASE	12	MG
METHACRYLIC ACID - ETHYL ACRYLATE COPOLYMER (1:1) TYPE A	ORAL	TABLET, EXTENDED RELEASE	133.34	MG
METHACRYLIC ACID - ETHYL ACRYLATE COPOLYMER (1:1) TYPE A	ORAL	TABLET, ORALLY DISINTEGRATING, DELAYED RELEASE	95	MG
METHACRYLIC ACID - ETHYL ACRYLATE COPOLYMER (1:1) TYPE A	ORAL	TABLET, SUSTAINED ACTION	7.2	MG
METHACRYLIC ACID - ETHYL ACRYLATE COPOLYMER (1:1) TYPE A	ORAL	TABLET, SUSTAINED ACTION, COATED	15	MG
METHACRYLIC ACID - METHYL METHACRYLATE COPOLYMER (1:1)	ORAL	TABLET	13.65	MG
METHACRYLIC ACID - METHYL METHACRYLATE COPOLYMER (1:1)	ORAL	TABLET, CONTROLLED RELEASE	4.9	MG
METHACRYLIC ACID - METHYL METHACRYLATE COPOLYMER (1:1)	ORAL	TABLET, DELAYED ACTION	16	MG
METHACRYLIC ACID - METHYL METHACRYLATE COPOLYMER (1:1)	ORAL	TABLET, DELAYED RELEASE	14.93	MG
METHACRYLIC ACID - METHYL METHACRYLATE COPOLYMER (1:1)	ORAL	TABLET, EXTENDED RELEASE	4.12	MG
METHACRYLIC ACID - METHYL METHACRYLATE COPOLYMER (1:1)	ORAL	TABLET, EXTENDED RELEASE	5.25	MG

(Continued)

Ingredient	Route	Dosage Form	Quantity	Unit
METHACRYLIC ACID - METHYL METHACRYLATE COPOLYMER (1:1)	ORAL	TABLET, EXTENDED RELEASE	8	MG
METHACRYLIC ACID - METHYL METHACRYLATE COPOLYMER (1:1)	ORAL	TABLET, EXTENDED RELEASE	10.5	mg
METHACRYLIC ACID - METHYL METHACRYLATE COPOLYMER (1:1)	ORAL	TABLET, FILM COATED	16	MG
METHACRYLIC ACID - METHYL METHACRYLATE COPOLYMER (1:1)	ORAL	TABLET, SUSTAINED ACTION, COATED	10.08	MG
METHACRYLIC ACID - METHYL METHACRYLATE COPOLYMER (1:2)	ORAL	TABLET	0.83	MG
METHACRYLIC ACID - METHYL METHACRYLATE COPOLYMER (1:2)	ORAL	TABLET, CONTROLLED RELEASE	35.24	MG
METHACRYLIC ACID - METHYL METHACRYLATE COPOLYMER (1:2)	ORAL	TABLET, DELAYED ACTION	16	MG
METHACRYLIC ACID - METHYL METHACRYLATE COPOLYMER (1:2)	ORAL	TABLET, DELAYED RELEASE	40.8	MG
METHACRYLIC ACID - METHYL METHACRYLATE COPOLYMER (1:2)	ORAL	TABLET, EXTENDED RELEASE	5.25	MG
METHACRYLIC ACID - METHYL METHACRYLATE COPOLYMER (1:2)	ORAL	TABLET, EXTENDED RELEASE	8	MG
METHACRYLIC ACID - METHYL METHACRYLATE COPOLYMER (1:2)	ORAL	TABLET, EXTENDED RELEASE	9	mg
METHACRYLIC ACID - METHYL METHACRYLATE COPOLYMER (1:2)	ORAL	TABLET, FILM COATED	16	MG
METHACRYLIC ACID - METHYL METHACRYLATE COPOLYMER (1:2)	ORAL	TABLET, SUSTAINED ACTION	5.6	MG
METHACRYLIC ACID - METHYL METHACRYLATE COPOLYMER (1:2)	ORAL	TABLET, SUSTAINED ACTION, COATED	4.32	MG
METHACRYLIC ACID COPOLYMER	ORAL	TABLET	86.7	MG
METHACRYLIC ACID COPOLYMER	ORAL	TABLET, DELAYED ACTION	160	MG
METHACRYLIC ACID COPOLYMER	ORAL	TABLET, DELAYED ACTION, ENTERIC COATED	54	MG
METHACRYLIC ACID COPOLYMER	ORAL	TABLET, EXTENDED RELEASE	105	MG
METHACRYLIC ACID COPOLYMER	ORAL	TABLET, ORALLY DISINTEGRATING	4	MG
METHACRYLIC ACID COPOLYMER	ORAL	TABLET, ORALLY DISINTEGRATING, DELAYED RELEASE	106.89	MG
METHACRYLIC ACID COPOLYMER	ORAL	TABLET, SUSTAINED ACTION	35	MG
METHACRYLIC ACID COPOLYMER	ORAL	TABLET, SUSTAINED ACTION, COATED	24.6	MG
METHYL CHLORIDE	ORAL	TABLET	69.82	MG
METHYL ETHYL KETONE	ORAL	TABLET, DELAYED ACTION, ENTERIC COATED	61	MG
METHYLATED SPIRITS	ORAL	TABLET, EXTENDED RELEASE	0.022	MG
METHYLCELLULOSE (15 MPA.S)	ORAL	TABLET	40	MG
METHYLCELLULOSE (15 MPA.S)	ORAL	TABLET	180	mg
METHYLCELLULOSE (15 MPA.S)	ORAL	TABLET, EXTENDED RELEASE	6.37	MG
METHYLCELLULOSE (15 MPA.S)	ORAL	TABLET, EXTENDED RELEASE	82.5	MG
METHYLCELLULOSE (15 MPA.S)	ORAL	TABLET, FILM COATED	68	MG
METHYLCELLULOSE (1500 MPA.S)	ORAL	TABLET	2.75	MG
METHYLCELLULOSE (400 MPA.S)	ORAL	TABLET	33	MG
METHYLCELLULOSE, UNSPECIFIED	BUCCAL/SUBLINGUAL	TABLET	4	MG
METHYLCELLULOSE, UNSPECIFIED	ORAL	TABLET	183.6	MG
METHYLCELLULOSE, UNSPECIFIED	ORAL	TABLET (IMMED./COMP. RELEASE), UNCOATED, CHEWABLE	50	MG
METHYLCELLULOSE, UNSPECIFIED	ORAL	TABLET, COATED	138.3	MG
METHYLCELLULOSE, UNSPECIFIED	ORAL	TABLET, FILM COATED	21	MG
METHYLCELLULOSE, UNSPECIFIED	ORAL	TABLET, SUSTAINED ACTION	96	MG
METHYLCELLULOSE, UNSPECIFIED	VAGINAL	TABLET	102	MG

(Continued)

Ingredient	Route	Dosage Form	Quantity	Unit
METHYLPARABEN	ORAL	TABLET	1.8	MG
METHYLPARABEN	ORAL	TABLET (IMMED./COMP. RELEASE), UNCOATED, CHEWABLE	1.27	MG
METHYLPARABEN	ORAL	TABLET, COATED	0.016	MG
METHYLPARABEN	ORAL	TABLET, CONTROLLED RELEASE	0.081	MG
METHYLPARABEN	ORAL	TABLET, FILM COATED	0.23	MG
METHYLPARABEN	ORAL	TABLET, SUSTAINED ACTION	0.17	MG
METHYLPARABEN SODIUM	ORAL	TABLET	0.19	MG
METHYLPARABEN SODIUM	ORAL	TABLET, ORALLY DISINTEGRATING	0.3	MG
MICA	ORAL	TABLET	0.26	MG
MICROCRYSTALLINE CELLULOSE	BUCCAL	TABLET, EXTENDED RELEASE	18.04	MG
MICROCRYSTALLINE CELLULOSE	ORAL	TABLET	412.7	MG
MICROCRYSTALLINE CELLULOSE	ORAL	TABLET	1553	MG
MICROCRYSTALLINE CELLULOSE	ORAL	TABLET (IMMED./COMP. RELEASE), COATED	182.4	MG
MICROCRYSTALLINE CELLULOSE	ORAL	TABLET (IMMED./COMP. RELEASE), FILM COATED	665.36	MG
MICROCRYSTALLINE CELLULOSE	ORAL	TABLET (IMMED./COMP. RELEASE), UNCOATED, BUCCAL	10.4	MG
MICROCRYSTALLINE CELLULOSE	ORAL	TABLET (IMMED./COMP. RELEASE), UNCOATED, CHEWABLE	639	MG
MICROCRYSTALLINE CELLULOSE	ORAL	TABLET, CHEWABLE	23.38	MG
MICROCRYSTALLINE CELLULOSE	ORAL	TABLET, CHEWABLE	75	mg
MICROCRYSTALLINE CELLULOSE	ORAL	TABLET, CHEWABLE	154.6	MG
MICROCRYSTALLINE CELLULOSE	ORAL	TABLET, COATED	356	MG
MICROCRYSTALLINE CELLULOSE	ORAL	TABLET, CONTROLLED RELEASE	152	MG
MICROCRYSTALLINE CELLULOSE	ORAL	TABLET, DELAYED ACTION	333.25	MG
MICROCRYSTALLINE CELLULOSE	ORAL	TABLET, DELAYED ACTION, COATED	289.9	MG
MICROCRYSTALLINE CELLULOSE	ORAL	TABLET, DELAYED ACTION, ENTERIC COATED	199.6	MG
MICROCRYSTALLINE CELLULOSE	ORAL	TABLET, DELAYED ACTION, ENTERIC COATED	375.26	MG
MICROCRYSTALLINE CELLULOSE	ORAL	TABLET, DELAYED RELEASE	49	MG
MICROCRYSTALLINE CELLULOSE	ORAL	TABLET, DELAYED RELEASE	181.3	MG
MICROCRYSTALLINE CELLULOSE	ORAL	TABLET, DELAYED RELEASE	736.83	MG
MICROCRYSTALLINE CELLULOSE	ORAL	TABLET, DISPERSIBLE	155.5	MG
MICROCRYSTALLINE CELLULOSE	ORAL	TABLET, DISPERSIBLE	340	MG
MICROCRYSTALLINE CELLULOSE	ORAL	TABLET, ENTERIC COATED PARTICLES	391	MG
MICROCRYSTALLINE CELLULOSE	ORAL	TABLET, EXTENDED RELEASE	119.75	MG
MICROCRYSTALLINE CELLULOSE	ORAL	TABLET, EXTENDED RELEASE	675.282	MG
MICROCRYSTALLINE CELLULOSE	ORAL	TABLET, FILM COATED	262.19	MG
MICROCRYSTALLINE CELLULOSE	ORAL	TABLET, FILM COATED	563.5	MG
MICROCRYSTALLINE CELLULOSE	ORAL	TABLET, FILM COATED, EXTENDED RELEASE	328.36	MG
MICROCRYSTALLINE CELLULOSE	ORAL	TABLET, FOR SUSPENSION	116.27	MG
MICROCRYSTALLINE CELLULOSE	ORAL	TABLET, FOR SUSPENSION	156.25	MG
MICROCRYSTALLINE CELLULOSE	ORAL	TABLET, MULTILAYER, COATED	34	MG
MICROCRYSTALLINE CELLULOSE	ORAL	TABLET, MULTILAYER, EXTENDED RELEASE	17.3	MG
MICROCRYSTALLINE CELLULOSE	ORAL	TABLET, ORALLY DISINTEGRATING	415.92	MG
MICROCRYSTALLINE CELLULOSE	ORAL	TABLET, ORALLY DISINTEGRATING, DELAYED RELEASE	30	MG
MICROCRYSTALLINE CELLULOSE	ORAL	TABLET, SUGAR COATED	4.64	MG
MICROCRYSTALLINE CELLULOSE	ORAL	TABLET, SUSTAINED ACTION	363.7	MG
MICROCRYSTALLINE CELLULOSE	ORAL	TABLET, SUSTAINED ACTION, COATED	100	MG
MICROCRYSTALLINE CELLULOSE	ORAL	TABLET, SUSTAINED ACTION, FILM COATED	307.52	MG

(Continued)

Ingredient	Route	Dosage Form	Quantity	Unit
MICROCRYSTALLINE CELLULOSE	ORAL	TABLET, SUSTAINED RELEASE, FILM COATED	62.4	MG
MICROCRYSTALLINE CELLULOSE	ORAL	TABLET, UNCOATED, TROCHE	60	MG
MICROCRYSTALLINE CELLULOSE	SUBLINGUAL	TABLET	42	MG
MICROCRYSTALLINE CELLULOSE	SUBLINGUAL	TABLET	43.2	MG
MICROCRYSTALLINE CELLULOSE	VAGINAL	TABLET	390	MG
MILK PROTEIN CONCENTRATE	BUCCAL	TABLET	27.43	MG
MILK PROTEIN CONCENTRATE	BUCCAL	TABLET, EXTENDED RELEASE	23	MG
MINERAL OIL	ORAL	TABLET	50	MG
MINERAL OIL	ORAL	TABLET, COATED	1.3	MG
MINERAL OIL	ORAL	TABLET, DELAYED ACTION, ENTERIC COATED	5.67	MG
MODIFIED CORN STARCH (1-OCTENYL SUCCINIC ANHYDRIDE)	ORAL	TABLET, ORALLY DISINTEGRATING	0.039	MG
MONOETHANOLAMINE	ORAL	TABLET, DELAYED ACTION	1	MG
MONOGLYCERIDES	ORAL	TABLET	56.66	MG
MONOGLYCERIDES	ORAL	TABLET, EXTENDED RELEASE	16.4	MG
MONOSODIUM CITRATE	ORAL	TABLET	50	MG
MONOSODIUM CITRATE	ORAL	TABLET, EFFERVESCENT, FOR SOLUTION	1900	MG
MONOSODIUM CITRATE	ORAL	TABLET, ORALLY DISINTEGRATING	7.5	MG
MONTAN WAX	ORAL	TABLET	0.06	MG
MONTAN WAX	ORAL	TABLET, FILM COATED	0.03	MG
MYRISTYL ALCOHOL	ORAL	TABLET, SUSTAINED ACTION	2	MG
MYVACET TYPE 5-00	ORAL	TABLET, COATED	0.31	MG
MYVACET TYPE 5-00	ORAL	TABLET, SUSTAINED ACTION	0.04	MG
NAPHTHA	ORAL	TABLET	0.99	MG
NAPHTHOL BLUE BLACK	ORAL	TABLET	0.08	MG
NEOHESPERIDIN DIHYDROCHALONE	ORAL	TABLET	0.01	MG
NON-PAREIL SEEDS	ORAL	TABLET	166.36	MG
NON-PAREIL SEEDS	ORAL	TABLET, SUSTAINED ACTION	157.5	MG
OIL, HYDROGENATED	ORAL	TABLET, CONTROLLED RELEASE	6.65	MG
OLEIC ACID	ORAL	TABLET, COATED	0.72	MG
OLEIC ACID	ORAL	TABLET, REPEAT ACTION	1.85	MG
OLEIC ACID	ORAL	TABLET, SUSTAINED ACTION	2	MG
OPACODAT NA7013 CLEAR	ORAL	TABLET, SUSTAINED ACTION	4	MG
OPACODE NS-78-10013-N	ORAL	TABLET	0.03	MG
OPACODE NS-78-17821 WB BLACK	ORAL	TABLET	0.09	MG
OPACODE NS-78-17821 WB BLACK	ORAL	TABLET, CONTROLLED RELEASE	0.02	MG
OPACODE NS-78-8000 BLACK	ORAL	TABLET	0.3	MG
OPACODE NS-78-8000 BLACK	ORAL	TABLET, COATED	0.1	MG
OPACODE NS-78-8000 BLACK	ORAL	TABLET, FILM COATED	0.1	MG
OPACODE NS-78-8000 BLACK	ORAL	TABLET, SUSTAINED ACTION	0.2	MG
OPACODE S-1-13001 ORANGE	ORAL	TABLET	0.03	MG
OPACODE S-1-15038 RED	ORAL	TABLET	0.2	MG
OPACODE S-1-17823 BLACK	ORAL	TABLET	0.09	MG
OPACODE S-1-26514 BROWN	ORAL	TABLET, DELAYED ACTION, ENTERIC COATED	0.06	MG
OPACODE S-1-4172 BLUE	ORAL	TABLET, FILM COATED	1	MG
OPACODE S-1-4172M BLUE	ORAL	TABLET, FILM COATED	1	MG
OPACODE S-1-8090 BLACK	ORAL	TABLET	0.6	MG
OPACODE S-1-8090 BLACK	ORAL	TABLET, COATED	2.4	MG
OPACODE S-1-8090 BLACK	ORAL	TABLET, FILM COATED	0.7	MG
OPACODE S-1-8095	ORAL	TABLET, FILM COATED	0.7	MG
OPACODE S-1-8100-HV BLACK	ORAL	TABLET	0.09	MG
OPACODE S-1-8100-HV BLACK	ORAL	TABLET, SUGAR COATED	0.09	MG

(Continued)

Ingredient	Route	Dosage Form	Quantity	Unit
OPACODE S-1-9032	ORAL	TABLET	9	MG
OPACODE WB NS-78-10521 BLUE	ORAL	TABLET	0.09	MG
OPACODE WB NS-78-17715 BLACK	ORAL	TABLET	0.09	MG
OPACODE WB NS-78-18001 WHITE	ORAL	TABLET, COATED	0.2	MG
OPADRY 00A28646	ORAL	TABLET, FILM COATED	3.4	MG
OPADRY 00B53815 ORANGE	ORAL	TABLET	3.33	MG
OPADRY 00B57513 GREY	ORAL	TABLET	15	MG
OPADRY 00B57513 GREY	ORAL	TABLET, EXTENDED RELEASE	4.5	MG
OPADRY 00F44042 RED	ORAL	TABLET, FILM COATED	28	MG
OPADRY 02-H-22703 YELLOW	ORAL	TABLET, SUSTAINED ACTION	9	MG
OPADRY 02A82904 YELLOW	ORAL	TABLET	12.5	MG
OPADRY 02B14941 PINK	ORAL	TABLET	6	MG
OPADRY 02B22429 YELLOW	ORAL	TABLET	30	MG
OPADRY 02B32413 YELLOW	ORAL	TABLET	11.25	MG
OPADRY 02B58839 WHITE	ORAL	TABLET	2.5	MG
OPADRY 02B94016 PINK	ORAL	TABLET	1.75	MG
OPADRY 02F34337 PINK	ORAL	TABLET	5	MG
OPADRY 02F54181 PINK	ORAL	TABLET	8.76	MG
OPADRY 02G22555 YELLOW	ORAL	TABLET, FILM COATED	5	MG
OPADRY 02G24523 PINK	ORAL	TABLET, FILM COATED	8	MG
OPADRY 02G26637 BROWN	ORAL	TABLET, FILM COATED	8	MG
OPADRY 02G28619 WHITE	ORAL	TABLET	3	MG
OPADRY 02G28619 WHITE	ORAL	TABLET, FILM COATED	20	MG
OPADRY 03A 58900 WHITE	ORAL	TABLET	4.46	MG
OPADRY 03A14309 PINK	ORAL	TABLET	11.9	MG
OPADRY 03B11434 GREEN	ORAL	TABLET	32.38	MG
OPADRY 03B12878 YELLOW	ORAL	TABLET, CONTROLLED RELEASE	13	MG
OPADRY 03B12878 YELLOW	ORAL	TABLET, EXTENDED RELEASE	12	MG
OPADRY 03B12896 YELLOW	ORAL	TABLET	24	MG
OPADRY 03B12914 YELLOW	ORAL	TABLET, FILM COATED	2.38	MG
OPADRY 03B14424 PINK	ORAL	TABLET, EXTENDED RELEASE	15	MG
OPADRY 03B14436 PINK	ORAL	TABLET	12	MG
OPADRY 03B14899 PINK	ORAL	TABLET	12	MG
OPADRY 03B16083 MAROON	ORAL	TABLET, COATED	5	MG
OPADRY 03B17426 BEIGE	ORAL	TABLET, EXTENDED RELEASE	18	MG
OPADRY 03B17495 BEIGE	ORAL	TABLET	18	MG
OPADRY 03B17495 BEIGE	ORAL	TABLET (IMMED./COMP. RELEASE), FILM COATED	2.4	MG
OPADRY 03B17618 GRAY	ORAL	TABLET, COATED	8	MG
OPADRY 03B20024 PURPLE	ORAL	TABLET, EXTENDED RELEASE	12.8	MG
OPADRY 03B20024 PURPLE	ORAL	TABLET, FILM COATED	3	MG
OPADRY 03B20556 BLUE	ORAL	TABLET, EXTENDED RELEASE	10.2	MG
OPADRY 03B21517 GREEN	ORAL	TABLET, EXTENDED RELEASE	7.7	MG
OPADRY 03B22426 YELLOW	ORAL	TABLET	15	MG
OPADRY 03B23523 ORANGE	ORAL	TABLET, EXTENDED RELEASE	10.2	MG
OPADRY 03B24562 PEACH	ORAL	TABLET, FILM COATED	18	MG
OPADRY 03B28796 WHITE	ORAL	TABLET	21	MG
OPADRY 03B28796 WHITE	ORAL	TABLET, EXTENDED RELEASE	32	MG
OPADRY 03B32034 YELLOW	ORAL	TABLET, EXTENDED RELEASE	7.7	MG
OPADRY 03B34239 PINK	ORAL	TABLET	34.13	MG
OPADRY 03B50899 BLUE	ORAL	TABLET, FILM COATED	5.97	MG
OPADRY 03B510003 GREEN	ORAL	TABLET, EXTENDED RELEASE	6.45	MG
OPADRY 03B53850 ORANGE	ORAL	TABLET, COATED	4	MG
OPADRY 03B54138 PINK	ORAL	TABLET	8.81	MG
OPADRY 03B54180 PINK	ORAL	TABLET	6	MG
OPADRY 03B54504 PINK	ORAL	TABLET, FILM COATED	27	MG

(Continued)

Ingredient	Route	Dosage Form	Quantity	Unit
OPADRY 03B54573 PINK	ORAL	TABLET	4	MG
OPADRY 03B54588 PINK	ORAL	TABLET	2	MG
OPADRY 03B54955 PINK	ORAL	TABLET	18.5	MG
OPADRY 03B56518 BROWN	ORAL	TABLET	3	MG
OPADRY 03B56518 BROWN	ORAL	TABLET, FILM COATED	4	MG
OPADRY 03B57310 BROWN	ORAL	TABLET	17.25	MG
OPADRY 03B57519 GREY	ORAL	TABLET	6.6	MG
OPADRY 03B57519 GREY	ORAL	TABLET, EXTENDED RELEASE	12.07	MG
OPADRY 03B57520 GREY	ORAL	TABLET	8.4	MG
OPADRY 03B57520 GREY	ORAL	TABLET, EXTENDED RELEASE	12.9	MG
OPADRY 03B57631 GREY	ORAL	TABLET, FILM COATED	2.99	MG
OPADRY 03B58902 WHITE	ORAL	TABLET	10.5	MG
OPADRY 03B58930 WHITE	ORAL	TABLET	13.4	MG
OPADRY 03B58965 WHITE	ORAL	TABLET	24.05	MG
OPADRY 03B68903 WHITE	ORAL	TABLET	6	MG
OPADRY 03B80829 BLUE	ORAL	TABLET	3	MG
OPADRY 03B80829 BLUE	ORAL	TABLET, EXTENDED RELEASE	4.5	MG
OPADRY 03B80969 BLUE	ORAL	TABLET, EXTENDED RELEASE	8.6	MG
OPADRY 03B82316 YELLOW	ORAL	TABLET	34.5	MG
OPADRY 03B82419 YELLOW	ORAL	TABLET, EXTENDED RELEASE	6.13	MG
OPADRY 03B82836 YELLOW	ORAL	TABLET	26.67	MG
OPADRY 03B82849 YELLOW	ORAL	TABLET	20	MG
OPADRY 03B82943 YELLOW	ORAL	TABLET	33	MG
OPADRY 03B84681 PINK	ORAL	TABLET	8.25	MG
OPADRY 03B84755 PINK	ORAL	TABLET	33	MG
OPADRY 03B86585 BROWN	ORAL	TABLET	17.63	MG
OPADRY 03B86636 BROWN	ORAL	TABLET, DELAYED ACTION	9	MG
OPADRY 03B86636 BROWN	ORAL	TABLET, DELAYED ACTION, ENTERIC COATED	6	MG
OPADRY 03B86737 BROWN	ORAL	TABLET	10	MG
OPADRY 03B86811 BROWN	ORAL	TABLET, EXTENDED RELEASE	6.16	MG
OPADRY 03B86891 BROWN	ORAL	TABLET	16.5	MG
OPADRY 03B86892 BROWN	ORAL	TABLET	16.5	MG
OPADRY 03B93363 ORANGE	ORAL	TABLET	3.3	MG
OPADRY 03C34219 PINK	ORAL	TABLET	7.2	MG
OPADRY 03F12967 YELLOW	ORAL	TABLET, FILM COATED	4	MG
OPADRY 03F13325 ORANGE	ORAL	TABLET	12	MG
OPADRY 03F14895 PINK	ORAL	TABLET, FILM COATED	4	MG
OPADRY 03F42192 YELLOW	ORAL	TABLET, EXTENDED RELEASE	7.5	MG
OPADRY 03F43159 BROWN	ORAL	TABLET, EXTENDED RELEASE	7.5	MG
OPADRY 03F51681 GREEN	ORAL	TABLET, EXTENDED RELEASE	6.83	MG
OPADRY 03F52321 YELLOW	ORAL	TABLET	1.5	MG
OPADRY 03F540000 PINK	ORAL	TABLET, FILM COATED	20	MG
OPADRY 03F54126 PINK	ORAL	TABLET	12	MG
OPADRY 03F54568 PINK	ORAL	TABLET	7	MG
OPADRY 03F565001 BROWN	ORAL	TABLET, FILM COATED	10	MG
OPADRY 03F57311 BROWN	ORAL	TABLET, FILM COATED	10	MG
OPADRY 03F58741 WHITE	ORAL	TABLET, EXTENDED RELEASE	6.83	MG
OPADRY 03F58991 WHITE	ORAL	TABLET	21.3	MG
OPADRY 03F59016 CLEAR	ORAL	TABLET	24	MG
OPADRY 03F59016 CLEAR	ORAL	TABLET, EXTENDED RELEASE	4	MG
OPADRY 03F82329 YELLOW	ORAL	TABLET, FILM COATED	20	MG
OPADRY 03F82604 YELLOW	ORAL	TABLET	32	MG
OPADRY 03F82726 YELLOW	ORAL	TABLET	7	MG
OPADRY 03F82726 YELLOW	ORAL	TABLET, FILM COATED	7	MG
OPADRY 03F82788 YELLOW	ORAL	TABLET	30	MG

(Continued)

Ingredient	Route	Dosage Form	Quantity	Unit
OPADRY 03F84641 PINK	ORAL	TABLET, FILM COATED	5	MG
OPADRY 03F84782 PINK	ORAL	TABLET	32	MG
OPADRY 03F84793 PINK	ORAL	TABLET	8	MG
OPADRY 03F86762 BROWN	ORAL	TABLET	16	MG
OPADRY 03F86776 BROWN	ORAL	TABLET, EXTENDED RELEASE	6.83	MG
OPADRY 03F86845 BROWN	ORAL	TABLET	16	MG
OPADRY 03F86990 BROWN	ORAL	TABLET, EXTENDED RELEASE	6.83	MG
OPADRY 03G24389 PINK	ORAL	TABLET	20	MG
OPADRY 03G24389 PINK	ORAL	TABLET, FILM COATED	9	MG
OPADRY 03G82464 YELLOW	ORAL	TABLET	3.6	MG
OPADRY 03G82490 YELLOW	ORAL	TABLET	3	MG
OPADRY 03J18312 WHITE	ORAL	TABLET	30	MG
OPADRY 03K14881 PINK	ORAL	TABLET	34.2	MG
OPADRY 03K19229 CLEAR	ORAL	TABLET	4.3	MG
OPADRY 03K19229 CLEAR	ORAL	TABLET, DELAYED ACTION	8.4	MG
OPADRY 03K29121 CLEAR	ORAL	TABLET, DELAYED ACTION	34	MG
OPADRY 03K50891 BLUE	ORAL	TABLET	3.75	MG
OPADRY 03K51211 GREEN	ORAL	TABLET	2.25	MG
OPADRY 03K52543 YELLOW	ORAL	TABLET	5	MG
OPADRY 03K54121 PINK	ORAL	TABLET	10	MG
OPADRY 03K80846 BLUE	ORAL	TABLET	3	MG
OPADRY 04E28779 WHITE	ORAL	TABLET	2.19	MG
OPADRY 04F50603 BLUE	ORAL	TABLET	4	MG
OPADRY 04F50702 BLUE	ORAL	TABLET	5	MG
OPADRY 04F51279 GREEN	ORAL	TABLET	2	MG
OPADRY 04F52565 YELLOW	ORAL	TABLET	8	MG
OPADRY 04F53544 ORANGE	ORAL	TABLET	5	MG
OPADRY 04F58804 WHITE	ORAL	TABLET	16	MG
OPADRY 04F58804 WHITE	ORAL	TABLET, FILM COATED	10	MG
OPADRY 05B10446 PURPLE	ORAL	TABLET	16	MG
OPADRY 05B10446 PURPLE	ORAL	TABLET, COATED	23	MG
OPADRY 05B10457 PURPLE	ORAL	TABLET	16	MG
OPADRY 05B10457 PURPLE	ORAL	TABLET, EXTENDED RELEASE	4.5	MG
OPADRY 05B11552 GREEN	ORAL	TABLET, EXTENDED RELEASE	3.64	MG
OPADRY 05B11781 GREEN	ORAL	TABLET	7	MG
OPADRY 05B12337 YELLOW	ORAL	TABLET	8.5	MG
OPADRY 05B15325 RED	ORAL	TABLET	5	MG
OPADRY 05B17055 TAN	ORAL	TABLET	4	MG
OPADRY 05B17055 TAN	ORAL	TABLET, FILM COATED	5	MG
OPADRY 05F82955 YELLOW	ORAL	TABLET	24.38	MG
OPADRY 06F32500 YELLOW	ORAL	TABLET	3	MG
OPADRY 06F34520 PINK	ORAL	TABLET	3	MG
OPADRY 06F34521 ORANGE	ORAL	TABLET	6	MG
OPADRY 06F34522 PINK	ORAL	TABLET	12	MG
OPADRY 06F34523 PINK	ORAL	TABLET	24	MG
OPADRY 12B58900 WHITE	ORAL	TABLET	20	MG
OPADRY 12F20984 BLUE	ORAL	TABLET, FILM COATED	4	MG
OPADRY 12F21129 GREEN	ORAL	TABLET, FILM COATED	2	MG
OPADRY 12F22609 YELLOW	ORAL	TABLET, FILM COATED	8	MG
OPADRY 13B50159 PURPLE	ORAL	TABLET (IMMED./COMP. RELEASE), FILM COATED	450	MG
OPADRY 13B50780 BLUE	ORAL	TABLET	4.5	MG
OPADRY 13B51260 GREEN	ORAL	TABLET	2.25	MG
OPADRY 13B52329 YELLOW	ORAL	TABLET	9	MG
OPADRY 13B54058 PINK	ORAL	TABLET	8.5	MG
OPADRY 13B58802 WHITE	ORAL	TABLET	30	MG

(Continued)

Ingredient	Route	Dosage Form	Quantity	Unit
OPADRY 13B58802 WHITE	ORAL	TABLET, FILM COATED	7.5	MG
OPADRY 13B58894 WHITE	ORAL	TABLET	2	MG
OPADRY 13B80922 BLUE	ORAL	TABLET (IMMED./COMP. RELEASE), FILM COATED	450	MG
OPADRY 13B82555 YELLOW	ORAL	TABLET	1.8	MG
OPADRY 13B82907 YELLOW	ORAL	TABLET	4	MG
OPADRY 13F51381 GREEN	ORAL	TABLET (IMMED./COMP. RELEASE), FILM COATED	450	MG
OPADRY 13F52194 YELLOW	ORAL	TABLET (IMMED./COMP. RELEASE), FILM COATED	450	MG
OPADRY 13F52195 YELLOW	ORAL	TABLET	3	MG
OPADRY 13F54198 PINK	ORAL	TABLET (IMMED./COMP. RELEASE), FILM COATED	450	MG
OPADRY 13F58866 WHITE	ORAL	TABLET	3	MG
OPADRY 13F58866 WHITE	ORAL	TABLET (IMMED./COMP. RELEASE), FILM COATED	4.5	MG
OPADRY 13K52177 YELLOW	ORAL	TABLET	20	MG
OPADRY 13M530001 ORANGE	ORAL	TABLET	13.6	MG
OPADRY 13M565001 BROWN	ORAL	TABLET	32	MG
OPADRY 13M86920 BROWN	ORAL	TABLET	28	MG
OPADRY 14B53805 ORANGE	ORAL	TABLET	20	MG
OPADRY 15B110003 GREEN	ORAL	TABLET, EXTENDED RELEASE	6	MG
OPADRY 15B11947 GREEN	ORAL	TABLET	2.5	MG
OPADRY 15B13335 ORANGE	ORAL	TABLET, EXTENDED RELEASE	20	MG
OPADRY 15B20780 BLUE	ORAL	TABLET	9	MG
OPADRY 15B21340 GREEN	ORAL	TABLET	12	MG
OPADRY 15B22275 YELLOW	ORAL	TABLET	3	MG
OPADRY 15B24473 PINK	ORAL	TABLET	6	MG
OPADRY 15B24879 PINK	ORAL	TABLET, FILM COATED	4	MG
OPADRY 15B28665 WHITE	ORAL	TABLET, FILM COATED	8	MG
OPADRY 15B50612 BLUE	ORAL	TABLET	3.5	MG
OPADRY 15B52000 YELLOW	ORAL	TABLET	5	MG
OPADRY 15B52070 YELLOW	ORAL	TABLET	10	MG
OPADRY 15B53449 ORANGE	ORAL	TABLET	12.5	MG
OPADRY 15B58810 WHITE	ORAL	TABLET	2.5	MG
OPADRY 15B86703 BROWN	ORAL	TABLET (IMMED./COMP. RELEASE), FILM COATED	450	MG
OPADRY 15B91211 GREEN	ORAL	TABLET	7	MG
OPADRY 15B92484 YELLOW	ORAL	TABLET	3	MG
OPADRY 15B96558 BROWN	ORAL	TABLET	3.3	MG
OPADRY 16B38982 WHITE	ORAL	TABLET	2	MG
OPADRY 16B5900 YELLOW	ORAL	TABLET, FILM COATED	7.5	MG
OPADRY 20014832 PINK	ORAL	TABLET, FILM COATED	3.75	MG
OPADRY 20A28569 WHITE	ORAL	TABLET	30	MG
OPADRY 20A52229 YELLOW	ORAL	TABLET	5.6	MG
OPADRY 20A52560 YELLOW	ORAL	TABLET, FILM COATED	4.5	MG
OPADRY 20A52900 YELLOW	ORAL	TABLET	2.5	MG
OPADRY 20A54211 PINK	ORAL	TABLET	22.4	MG
OPADRY 20A54239 PINK	ORAL	TABLET	2.8	MG
OPADRY 20A54614 PINK	ORAL	TABLET, FILM COATED	16	MG
OPADRY 20A54616 PINK	ORAL	TABLET, FILM COATED	2	MG
OPADRY 20A54900 PINK	ORAL	TABLET	2.5	MG
OPADRY 20A54901 PINK	ORAL	TABLET	24	MG
OPADRY 20A56500 BROWN	ORAL	TABLET	5	MG
OPADRY 20A56694 BROWN	ORAL	TABLET, FILM COATED	4	MG
OPADRY 20A56788 BROWN	ORAL	TABLET, FILM COATED	9	MG

(Continued)

Ingredient	Route	Dosage Form	Quantity	Unit
OPADRY 20A58706 WHITE	ORAL	TABLET	7.2	MG
OPADRY 20A58806 WHITE	ORAL	TABLET	11.25	MG
OPADRY 20A58806 WHITE	ORAL	TABLET, FILM COATED	13.5	MG
OPADRY 20A58916 WHITE	ORAL	TABLET, FILM COATED	13.5	MG
OPADRY 20A59015 CLEAR	ORAL	TABLET, FILM COATED	30	MG
OPADRY 20A91487 GREEN	ORAL	TABLET	31.15	MG
OPADRY 20A99171 BLUE	ORAL	TABLET	27.1	MG
OPADRY 20A99172 BLUE	ORAL	TABLET	33.74	MG
OPADRY 20B11521 GREEN	ORAL	TABLET, FILM COATED	28	MG
OPADRY 20B17583 GRAY	ORAL	TABLET, FILM COATED	21	MG
OPADRY 20B50135 PURPLE	ORAL	TABLET, FILM COATED	25	MG
OPADRY 20B50184 PURPLE	ORAL	TABLET	24	MG
OPADRY 20B97160 BEIGE	ORAL	TABLET, FILM COATED	12	MG
OPADRY 20C15347 RED	ORAL	TABLET, FILM COATED	22	MG
OPADRY 20H58983 WHITE	ORAL	TABLET	8.7	MG
OPADRY 21K84964 PINK	ORAL	TABLET	10.8	MG
OPADRY 31F20963 BLUE	ORAL	TABLET, SUSTAINED ACTION	23	MG
OPADRY 31F32870 YELLOW	ORAL	TABLET, SUSTAINED ACTION, FILM COATED	21	MG
OPADRY 32F540014 PINK	ORAL	TABLET	8	MG
OPADRY 32K14834 PINK	ORAL	TABLET, FILM COATED	16.8	MG
OPADRY 32K23123 ORANGE	ORAL	TABLET, EXTENDED RELEASE	7	MG
OPADRY 33G12976 YELLOW	ORAL	TABLET, FILM COATED	4.5	MG
OPADRY 33G24690 PINK	ORAL	TABLET, FILM COATED	20	MG
OPADRY 33G25171 BRICK RED	ORAL	TABLET, FILM COATED	28	MG
OPADRY 40L14278 PINK	ORAL	TABLET, FILM COATED	33.6	MG
OPADRY 80W 12319 YELLOW	ORAL	TABLET	9.7	MG
OPADRY 80W-93032 AMB ORANGE	ORAL	TABLET, FILM COATED	9.97	MG
OPADRY 80W22657 AMB YELLOW	ORAL	TABLET	7.5	MG
OPADRY 85F14999 PINK	ORAL	TABLET	8	MG
OPADRY 85F19250 CLEAR	ORAL	TABLET, EXTENDED RELEASE	29.1	MG
OPADRY 85F21445 GREEN	ORAL	TABLET	12	MG
OPADRY 85F21446 GREEN	ORAL	TABLET	6	MG
OPADRY 85F21450 GREEN	ORAL	TABLET	3	MG
OPADRY 85F34465 PINK	ORAL	TABLET	10.8	MG
OPADRY 85G689183 WHITE	ORAL	TABLET	13.49	MG
OPADRY 85G93096 ORANGE	ORAL	TABLET	4.53	MG
OPADRY AMB 80W52110 YELLOW	ORAL	TABLET	16	MG
OPADRY AMB 80W62680 YELLOW	ORAL	TABLET	5	MG
OPADRY AMB 80W62681 YELLOW	ORAL	TABLET	10	MG
OPADRY AMB 80W64837 PINK	ORAL	TABLET	20	MG
OPADRY AMB 80W68912 WHITE	ORAL	TABLET	16.24	MG
OPADRY AMB 80W68912 WHITE	ORAL	TABLET, EXTENDED RELEASE	36	MG
OPADRY AMB OY-B-28920 WHITE	ORAL	TABLET	21.74	MG
OPADRY AMB OY-B-28920 WHITE	ORAL	TABLET, FILM COATED	24	MG
OPADRY I 03B22409 YELLOW	ORAL	TABLET, MULTILAYER, COATED	17	MG
OPADRY I 03B23197 ORANGE	ORAL	TABLET, MULTILAYER, COATED	18	MG
OPADRY I 03B24658 PINK	ORAL	TABLET, MULTILAYER, COATED	17	MG
OPADRY II 03B10903 BLUE	ORAL	TABLET, SUSTAINED ACTION	20.82	MG
OPADRY II 30F84515 PINK	ORAL	TABLET	6.25	MG
OPADRY II 31F22071 YELLOW	ORAL	TABLET, DELAYED RELEASE	2	MG
OPADRY II 31F22088 YELLOW	ORAL	TABLET	6	MG
OPADRY II 31F23111 ORANGE	ORAL	TABLET	8	MG
OPADRY II 31F23111 ORANGE	ORAL	TABLET, SUSTAINED ACTION	16	MG
OPADRY II 31F24128 PINK	ORAL	TABLET	16	MG
OPADRY II 31F24239 PINK	ORAL	TABLET	20	MG

(Continued)

Ingredient	Route	Dosage Form	Quantity	Unit
OPADRY II 31F27625 GRAY	ORAL	TABLET, SUSTAINED ACTION	19	MG
OPADRY II 31F32090 YELLOW	ORAL	TABLET	1	MG
OPADRY II 31F58914 WHITE	ORAL	TABLET	23	MG
OPADRY II 31K34575 PINK	ORAL	TABLET	20	MG
OPADRY II 31K34581 PINK	ORAL	TABLET	8.25	MG
OPADRY II 31K52633 YELLOW	ORAL	TABLET	6	MG
OPADRY II 31K84972 PINK	ORAL	TABLET	29.1	MG
OPADRY II 32B10817 BLUE	ORAL	TABLET	6	MG
OPADRY II 32F28553 WHITE	ORAL	TABLET	2.5	MG
OPADRY II 32F505001 BLUE	ORAL	TABLET	10	MG
OPADRY II 32F540002 PINK	ORAL	TABLET	5	MG
OPADRY II 32F540012 PINK	ORAL	TABLET	16	MG
OPADRY II 32F58900 WHITE	ORAL	TABLET, FILM COATED	12	MG
OPADRY II 32F84835 PINK	ORAL	TABLET, FILM COATED	6	MG
OPADRY II 32K10054 PURPLE	ORAL	TABLET	13.1	MG
OPADRY II 32K12160 YELLOW	ORAL	TABLET, FILM COATED	32.28	MG
OPADRY II 32K12884 YELLOW	ORAL	TABLET	14	MG
OPADRY II 32K12942 YELLOW	ORAL	TABLET	38.85	MG
OPADRY II 32K12968 YELLOW	ORAL	TABLET, CONTROLLED RELEASE	8.88	MG
OPADRY II 32K13357 ORANGE	ORAL	TABLET	5	MG
OPADRY II 32K13699 ORANGE	ORAL	TABLET, FILM COATED	9	MG
OPADRY II 32K14826 PINK	ORAL	TABLET	7.2	MG
OPADRY II 32K14827 PINK	ORAL	TABLET	12	MG
OPADRY II 32K14827 PINK	ORAL	TABLET, COATED	12	MG
OPADRY II 32K14833 PINK	ORAL	TABLET	21	MG
OPADRY II 32K14833 PINK	ORAL	TABLET, FILM COATED	5	MG
OPADRY II 32K15649 RED	ORAL	TABLET	9.09	MG
OPADRY II 32K16706 BROWN	ORAL	TABLET, FILM COATED	14	MG
OPADRY II 32K17089 TAN	ORAL	TABLET	3.75	MG
OPADRY II 32K17573 GRAY	ORAL	TABLET	7.5	MG
OPADRY II 33F28627 WHITE	ORAL	TABLET	6	MG
OPADRY II 33G10148 PURPLE	ORAL	TABLET	5	MG
OPADRY II 33G10907 BLUE	ORAL	TABLET	4.5	MG
OPADRY II 33G11635 GREEN	ORAL	TABLET, SUSTAINED ACTION	11.2	MG
OPADRY II 33G11938 GREEN	ORAL	TABLET	14	MG
OPADRY II 33G28435 WHITE	ORAL	TABLET	22.5	MG
OPADRY II 33G28523 WHITE	ORAL	TABLET	9	MG
OPADRY II 33G28707 WHITE	ORAL	TABLET	31.25	MG
OPADRY II 33G28707 WHITE	ORAL	TABLET, DELAYED ACTION	21	MG
OPADRY II 33G28707 WHITE	ORAL	TABLET, EXTENDED RELEASE	30	MG
OPADRY II 33G32605 YELLOW	ORAL	TABLET	11.25	MG
OPADRY II 33G34594 PINK	ORAL	TABLET	30	MG
OPADRY II 33G92112 YELLOW	ORAL	TABLET	17.5	MG
OPADRY II 39B18529 WHITE	ORAL	TABLET, EXTENDED RELEASE	11.6	MG
OPADRY II 40 L14235 PINK	ORAL	TABLET, SUSTAINED ACTION, FILM COATED	22	MG
OPADRY II 40 L17589 GRAY	ORAL	TABLET, SUSTAINED ACTION	26	MG
OPADRY II 40014876 PINK	ORAL	TABLET, FILM COATED	4.93	MG
OPADRY II 40B12994 BEIGE	ORAL	TABLET	10	MG
OPADRY II 40B97172 YELLOW	ORAL	TABLET	5	MG
OPADRY II 40C10881 BLUE	ORAL	TABLET, FILM COATED	6	MG
OPADRY II 40C13396 ORANGE	ORAL	TABLET, FILM COATED	6	MG
OPADRY II 40C18303 WHITE	ORAL	TABLET, FILM COATED	6	MG
OPADRY II 40L10412 PURPLE	ORAL	TABLET, SUSTAINED ACTION	5.25	MG
OPADRY II 40L10884 BLUE	ORAL	TABLET, FILM COATED	18	MG
OPADRY II 40L11438 GREEN	ORAL	TABLET, EXTENDED RELEASE	24	MG

(Continued)

Ingredient	Route	Dosage Form	Quantity	Unit
OPADRY II 40L11438 GREEN	ORAL	TABLET, SUSTAINED ACTION	40	MG
OPADRY II 40L11588 GREEN	ORAL	TABLET	26.25	MG
OPADRY II 40L11588 GREEN	ORAL	TABLET, SUSTAINED ACTION, FILM COATED	22	MG
OPADRY II 40L12904 YELLOW	ORAL	TABLET	7.5	MG
OPADRY II 40L12917 YELLOW	ORAL	TABLET, FILM COATED	18	MG
OPADRY II 40L12979 YELLOW	ORAL	TABLET, EXTENDED RELEASE	14.32	MG
OPADRY II 40L12979 YELLOW	ORAL	TABLET, SUSTAINED ACTION, COATED	30	MG
OPADRY II 40L13950 ORANGE	ORAL	TABLET	9	MG
OPADRY II 40L14190 PINK	ORAL	TABLET	24.5	MG
OPADRY II 40L14336 PINK	ORAL	TABLET	9	MG
OPADRY II 40L14836 PINK	ORAL	TABLET, FILM COATED	4.75	MG
OPADRY II 40L17427 BEIGE	ORAL	TABLET	9	MG
OPADRY II 40L17587 GRAY	ORAL	TABLET	6.2	MG
OPADRY II 40L92058 YELLOW	ORAL	TABLET, SUSTAINED ACTION, FILM COATED	12.9	MG
OPADRY II 40L93159 ORANGE	ORAL	TABLET	12	MG
OPADRY II 40O93122 ORANGE	ORAL	TABLET	9	MG
OPADRY II 45F22481 YELLOW	ORAL	TABLET, COATED	11	MG
OPADRY II 45F24512 YELLOW	ORAL	TABLET, COATED	11	MG
OPADRY II 49B10882 BLUE	ORAL	TABLET	26.9	MG
OPADRY II 49B13460 ORANGE	ORAL	TABLET	19	MG
OPADRY II 49B16716 BROWN	ORAL	TABLET	9.57	MG
OPADRY II 57U92682 YELLOW	ORAL	TABLET	13.5	MG
OPADRY II 57U97337 TAN	ORAL	TABLET	12.4	MG
OPADRY II 57U97508 GRAY	ORAL	TABLET	13.9	MG
OPADRY II 85F10129 PURPLE	ORAL	TABLET	29.3	MG
OPADRY II 85F10245 PURPLE	ORAL	TABLET (IMMED./COMP. RELEASE), COATED	25.6	MG
OPADRY II 85F10447 PURPLE	ORAL	TABLET, EXTENDED RELEASE	2.4	MG
OPADRY II 85F10919 BLUE	ORAL	TABLET	24	MG
OPADRY II 85F10919 BLUE	ORAL	TABLET (IMMED./COMP. RELEASE), FILM COATED	1.65	MG
OPADRY II 85F11881 GREEN	ORAL	TABLET, EXTENDED RELEASE	3.6	MG
OPADRY II 85F12345 YELLOW	ORAL	TABLET	6	MG
OPADRY II 85F12372 YELLOW	ORAL	TABLET	4.5	MG
OPADRY II 85F12375 YELLOW	ORAL	TABLET, EXTENDED RELEASE	7.2	MG
OPADRY II 85F13751 ORANGE	ORAL	TABLET, EXTENDED RELEASE	3	MG
OPADRY II 85F13980 ORANGE	ORAL	TABLET	18	MG
OPADRY II 85F140000 PINK	ORAL	TABLET	30	MG
OPADRY II 85F140024 PINK	ORAL	TABLET (IMMED./COMP. RELEASE), FILM COATED	13.2	MG
OPADRY II 85F14452 PINK	ORAL	TABLET	9	MG
OPADRY II 85F15642 RED	ORAL	TABLET	16.8	MG
OPADRY II 85F15642 RED	ORAL	TABLET, EXTENDED RELEASE	10.5	MG
OPADRY II 85F16876 BROWN	ORAL	TABLET	24	MG
OPADRY II 85F170047 BEIGE	ORAL	TABLET	39	MG
OPADRY II 85F18378 WHITE	ORAL	TABLET	50	MG
OPADRY II 85F18378 WHITE	ORAL	TABLET, EXTENDED RELEASE	31.6	MG
OPADRY II 85F18422 WHITE	ORAL	TABLET	40	MG
OPADRY II 85F18422 WHITE	ORAL	TABLET (IMMED./COMP. RELEASE), FILM COATED	8	MG
OPADRY II 85F18422 WHITE	ORAL	TABLET, CONTROLLED RELEASE	7.85	MG
OPADRY II 85F18422 WHITE	ORAL	TABLET, EXTENDED RELEASE	36	MG
OPADRY II 85F18422 WHITE	ORAL	TABLET, FILM COATED	55	MG
OPADRY II 85F18442 WHITE	ORAL	TABLET	33	MG

(Continued)

Ingredient	Route	Dosage Form	Quantity	Unit
OPADRY II 85F18442 WHITE	ORAL	TABLET, EXTENDED RELEASE	25	MG
OPADRY II 85F22055 YELLOW	ORAL	TABLET	18	MG
OPADRY II 85F22055 YELLOW	ORAL	TABLET, COATED	5.5	MG
OPADRY II 85F22075 YELLOW	ORAL	TABLET	15	MG
OPADRY II 85F22079 YELLOW	ORAL	TABLET	7.5	MG
OPADRY II 85F23470 PINK	ORAL	TABLET	7.5	MG
OPADRY II 85F23499 ORANGE	ORAL	TABLET	6	MG
OPADRY II 85F23976 ORANGE	ORAL	TABLET	21	MG
OPADRY II 85F23976 ORANGE	ORAL	TABLET, FILM COATED	10.5	MG
OPADRY II 85F24033 PINK	ORAL	TABLET	7.5	MG
OPADRY II 85F24035 PINK	ORAL	TABLET	8.1	MG
OPADRY II 85F24307 PINK	ORAL	TABLET	35	MG
OPADRY II 85F26815 BROWN	ORAL	TABLET	2.5	MG
OPADRY II 85F28751 WHITE	ORAL	TABLET	24	MG
OPADRY II 85F32121 YELLOW	ORAL	TABLET	2	MG
OPADRY II 85F32157 YELLOW	ORAL	TABLET	3.75	MG
OPADRY II 85F32331 YELLOW	ORAL	TABLET	9	MG
OPADRY II 85F32547 YELLOW	ORAL	TABLET	32.55	MG
OPADRY II 85F32782 YELLOW	ORAL	TABLET	15	MG
OPADRY II 85F32782 YELLOW	ORAL	TABLET, FILM COATED	10.5	MG
OPADRY II 85F34610 PINK	ORAL	TABLET	4	MG
OPADRY II 85F62534 YELLOW	ORAL	TABLET	18.6	MG
OPADRY II 85F64712 PINK	ORAL	TABLET	18.6	MG
OPADRY II 85F64732 PINK	ORAL	TABLET	4.65	MG
OPADRY II 85F66775 BROWN	ORAL	TABLET	9.3	MG
OPADRY II 85F66815 BROWN	ORAL	TABLET	9.3	MG
OPADRY II 85F90093 PURPLE	ORAL	TABLET	57.05	MG
OPADRY II 85F91135 GREEN	ORAL	TABLET, FILM COATED	2.4	MG
OPADRY II 85F91136 GREEN	ORAL	TABLET, FILM COATED	4.8	MG
OPADRY II 85F91137 GREEN	ORAL	TABLET, FILM COATED	9.6	MG
OPADRY II 85F91238 GREEN	ORAL	TABLET (IMMED./COMP. RELEASE), COATED	19.2	MG
OPADRY II 85F92008 YELLOW	ORAL	TABLET, SUSTAINED ACTION, FILM COATED	35.55	MG
OPADRY II 85F92204 YELLOW	ORAL	TABLET, EXTENDED RELEASE	5.4	MG
OPADRY II 85F92621 YELLOW	ORAL	TABLET (IMMED./COMP. RELEASE), COATED	12.8	MG
OPADRY II 85F92716 YELLOW	ORAL	TABLET, EXTENDED RELEASE	9	MG
OPADRY II 85F93042 ORANGE	ORAL	TABLET	5.4	MG
OPADRY II 85F93042 ORANGE	ORAL	TABLET, FILM COATED, EXTENDED RELEASE	33.34	MG
OPADRY II 85F93314 ORANGE	ORAL	TABLET, COATED	3.5	MG
OPADRY II 85F94172 PINK	ORAL	TABLET	46.5	MG
OPADRY II 85F94224 PINK	ORAL	TABLET	15	MG
OPADRY II 85F94552 PINK	ORAL	TABLET, EXTENDED RELEASE	10.5	MG
OPADRY II 85F97458 BEIGE	ORAL	TABLET	51.63	MG
OPADRY II 85F97531 GRAY	ORAL	TABLET, EXTENDED RELEASE	3	MG
OPADRY II 85F97533 GRAY	ORAL	TABLET, COATED	7	MG
OPADRY II 85F99126 BLUE	ORAL	TABLET, EXTENDED RELEASE	12	MG
OPADRY II 85G20583 BLUE	ORAL	TABLET	48	MG
OPADRY II 85G56434 MAROON	ORAL	TABLET, EXTENDED RELEASE	18.72	MG
OPADRY II 85G56867 BROWN	ORAL	TABLET	21.3	MG
OPADRY II 85G57680 GREY	ORAL	TABLET, EXTENDED RELEASE	25	MG
OPADRY II 85G62591 YELLOW	ORAL	TABLET (IMMED./COMP. RELEASE), COATED	2.64	MG
OPADRY II OY-L-22903	ORAL	TABLET, FILM COATED	6	MG

(Continued)

Ingredient	Route	Dosage Form	Quantity	Unit
OPADRY II OY-L-22920 YELLOW	ORAL	TABLET	6	MG
OPADRY II OY-L-23028 ORANGE	ORAL	TABLET, FILM COATED	4.5	MG
OPADRY II OY-L-24802 PINK	ORAL	TABLET, FILM COATED	4.5	MG
OPADRY II OY-L-24803 PINK	ORAL	TABLET, FILM COATED	9	MG
OPADRY II OY-L-24808	ORAL	TABLET, FILM COATED	12	MG
OPADRY II OY-L-28900 WHITE	ORAL	TABLET	16	MG
OPADRY II OY-L-28900 WHITE	ORAL	TABLET, FILM COATED	5.55	MG
OPADRY II OY-L-32920	ORAL	TABLET, FILM COATED	12	MG
OPADRY II PINK 85G94027	ORAL	TABLET	16.2	MG
OPADRY II PINK 85G94065	ORAL	TABLET (IMMED./COMP. RELEASE), FILM COATED	7	MG
OPADRY II RED 85G94101	ORAL	TABLET (IMMED./COMP. RELEASE), FILM COATED	7	MG
OPADRY II Y-19-7483 CLEAR	ORAL	TABLET	5.6	MG
OPADRY II Y-19-7483 CLEAR	ORAL	TABLET, DELAYED ACTION	12	MG
OPADRY II Y-19-7483 CLEAR	ORAL	TABLET, EXTENDED RELEASE	10.6	MG
OPADRY II Y-19-7483 CLEAR	ORAL	TABLET, FILM COATED	34	MG
OPADRY II Y-19-7483 CLEAR	ORAL	TABLET, SUSTAINED ACTION	35	MG
OPADRY II Y-22-10274 LAVENDER	ORAL	TABLET, FILM COATED	8	MG
OPADRY II Y-22-10274 LAVENDER	ORAL	TABLET, SUSTAINED ACTION	14.95	MG
OPADRY II Y-22-10508 BLUE	ORAL	TABLET	14.83	MG
OPADRY II Y-22-10519 BLUE	ORAL	TABLET	29.66	MG
OPADRY II Y-22-10538 BLUE	ORAL	TABLET	90	MG
OPADRY II Y-22-10667 BLUE	ORAL	TABLET	10.5	MG
OPADRY II Y-22-10702 BLUE	ORAL	TABLET	6.2	MG
OPADRY II Y-22-10702 BLUE	ORAL	TABLET, SUSTAINED ACTION	5.25	MG
OPADRY II Y-22-10764 BLUE	ORAL	TABLET	15	MG
OPADRY II Y-22-11184 GREEN	ORAL	TABLET	8	MG
OPADRY II Y-22-11210 GREEN	ORAL	TABLET	3	MG
OPADRY II Y-22-11251 GREEN	ORAL	TABLET	2	MG
OPADRY II Y-22-12098 YELLOW	ORAL	TABLET	9	MG
OPADRY II Y-22-12553 YELLOW	ORAL	TABLET	40.36	MG
OPADRY II Y-22-12664 YELLOW	ORAL	TABLET	86.4	MG
OPADRY II Y-22-12664 YELLOW	ORAL	TABLET, EXTENDED RELEASE	12	MG
OPADRY II Y-22-12718 YELLOW	ORAL	TABLET, SUSTAINED ACTION	15	MG
OPADRY II Y-22-12720 PALE YELLOW	ORAL	TABLET, EXTENDED RELEASE	14	MG
OPADRY II Y-22-12720 PALE YELLOW	ORAL	TABLET, FILM COATED	4.2	MG
OPADRY II Y-22-12780 YELLOW	ORAL	TABLET	21	MG
OPADRY II Y-22-12780 YELLOW	ORAL	TABLET, FILM COATED	10.85	MG
OPADRY II Y-22-13034 ORANGE	ORAL	TABLET	4.2	MG
OPADRY II Y-22-13061 ORANGE	ORAL	TABLET	24	MG
OPADRY II Y-22-13061 ORANGE	ORAL	TABLET, COATED	13	MG
OPADRY II Y-22-13061 ORANGE	ORAL	TABLET, EXTENDED RELEASE	13	MG
OPADRY II Y-22-13061 ORANGE	ORAL	TABLET, FILM COATED	6.5	MG
OPADRY II Y-22-13061 ORANGE	ORAL	TABLET, SUSTAINED ACTION	40	MG
OPADRY II Y-22-13083 ORANGE	ORAL	TABLET	15	MG
OPADRY II Y-22-13089 ORANGE	ORAL	TABLET	4.9	MG
OPADRY II Y-22-13167 ORANGE	ORAL	TABLET	25	MG
OPADRY II Y-22-13167 ORANGE	ORAL	TABLET, SUSTAINED ACTION, FILM COATED	17.19	MG
OPADRY II Y-22-13577 FLESH	ORAL	TABLET	31.5	MG
OPADRY II Y-22-13577 FLESH	ORAL	TABLET, FILM COATED	9.3	MG
OPADRY II Y-22-13577 FLESH	ORAL	TABLET, SUSTAINED ACTION	15	MG
OPADRY II Y-22-13603 ORANGE	ORAL	TABLET	67.5	MG
OPADRY II Y-22-13603 ORANGE	ORAL	TABLET, EXTENDED RELEASE	23.7	MG
OPADRY II Y-22-13663 ORANGE	ORAL	TABLET, SUSTAINED ACTION	5.25	MG

(Continued)

Ingredient	Route	Dosage Form	Quantity	Unit
OPADRY II Y-22-14001 PINK	ORAL	TABLET	6	MG
OPADRY II Y-22-14701 PINK	ORAL	TABLET, FILM COATED	19	MG
OPADRY II Y-22-15061	ORAL	TABLET, SUSTAINED ACTION, COATED	17.1	MG
OPADRY II Y-22-16562 BROWN	ORAL	TABLET	15	MG
OPADRY II Y-22-16577 BROWN	ORAL	TABLET, EXTENDED RELEASE	12	MG
OPADRY II Y-22-17025 BEIGE	ORAL	TABLET	30	MG
OPADRY II Y-22-17025 BEIGE	ORAL	TABLET, DELAYED ACTION, ENTERIC COATED	5	MG
OPADRY II Y-22-17025 BEIGE	ORAL	TABLET, EXTENDED RELEASE	12	MG
OPADRY II Y-22-17025 BEIGE	ORAL	TABLET, FILM COATED	15	MG
OPADRY II Y-22-17165 BEIGE	ORAL	TABLET	20	MG
OPADRY II Y-22-17221 BEIGE	ORAL	TABLET, FILM COATED	12	MG
OPADRY II Y-22-17279 BEIGE	ORAL	TABLET, FILM COATED	9.5	MG
OPADRY II Y-22-17515 GRAY	ORAL	TABLET, SUSTAINED RELEASE, FILM COATED	40	MG
OPADRY II Y-22-18238 WHITE	ORAL	TABLET	3	MG
OPADRY II Y-22-7719 WHITE	ORAL	TABLET	113.3	MG
OPADRY II Y-22-7719 WHITE	ORAL	TABLET (IMMED./COMP. RELEASE), FILM COATED	5	MG
OPADRY II Y-22-7719 WHITE	ORAL	TABLET, EXTENDED RELEASE	32	MG
OPADRY II Y-22-7719 WHITE	ORAL	TABLET, FILM COATED	40	MG
OPADRY II Y-22-7719 WHITE	ORAL	TABLET, SUSTAINED ACTION	9	MG
OPADRY II Y-22-7719 WHITE	ORAL	TABLET, SUSTAINED ACTION, COATED	18.15	MG
OPADRY II Y-30-10701 BLUE	ORAL	TABLET	40	MG
OPADRY II Y-30-12705 YELLOW	ORAL	TABLET, SUSTAINED ACTION	20	MG
OPADRY II Y-30-12736A YELLOW	ORAL	TABLET	21	MG
OPADRY II Y-30-12736A YELLOW	ORAL	TABLET, FILM COATED	18	MG
OPADRY II Y-30-12736A YELLOW	ORAL	TABLET, SUSTAINED ACTION, FILM COATED	7	MG
OPADRY II Y-30-12737A YELLOW	ORAL	TABLET	6	MG
OPADRY II Y-30-12737A YELLOW	ORAL	TABLET, COATED	6	MG
OPADRY II Y-30-12737A YELLOW	ORAL	TABLET, FILM COATED	5	MG
OPADRY II Y-30-12842A YELLOW	ORAL	TABLET	2	MG
OPADRY II Y-30-12863A YELLOW	ORAL	TABLET, FILM COATED	4.5	MG
OPADRY II Y-30-13616 ORANGE	ORAL	TABLET	6	MG
OPADRY II Y-30-13642A ORANGE	ORAL	TABLET, SUSTAINED ACTION	24.5	MG
OPADRY II Y-30-14700A PINK	ORAL	TABLET, FILM COATED	7	MG
OPADRY II Y-30-14758 PINK	ORAL	TABLET, SUSTAINED ACTION, FILM COATED	7.99	MG
OPADRY II Y-30-17295A TAN	ORAL	TABLET	6	MG
OPADRY II Y-30-17296A BEIGE	ORAL	TABLET	6	MG
OPADRY II Y-30-17340A BEIGE	ORAL	TABLET, FILM COATED	6	MG
OPADRY II Y-30-17528 GRAY	ORAL	TABLET	25	MG
OPADRY II Y-30-17528 GRAY	ORAL	TABLET, SUSTAINED ACTION	5.6	MG
OPADRY II Y-30-18037 WHITE	ORAL	TABLET	28.8	MG
OPADRY II Y-30-18037 WHITE	ORAL	TABLET, CONTROLLED RELEASE	38	MG
OPADRY II Y-30-18037 WHITE	ORAL	TABLET, EXTENDED RELEASE	33	MG
OPADRY II Y-30-18037 WHITE	ORAL	TABLET, FILM COATED	43.2	MG
OPADRY II Y-30-18037 WHITE	ORAL	TABLET, SUSTAINED RELEASE, FILM COATED	26	MG
OPADRY II YS-1-12524A	ORAL	TABLET, FILM COATED	16	MG
OPADRY II YS-1-19025A CLEAR	ORAL	TABLET, COATED	9.9	MG
OPADRY II YS-1-19025A CLEAR	ORAL	TABLET, EXTENDED RELEASE	42.8	MG
OPADRY II YS-1-7006 CLEAR	ORAL	TABLET	4.8	MG
OPADRY II YS-1-7006 CLEAR	ORAL	TABLET, COATED	4.8	MG
OPADRY II YS-1-7006 CLEAR	ORAL	TABLET, EXTENDED RELEASE	2.25	MG

(Continued)

Ingredient	Route	Dosage Form	Quantity	Unit
OPADRY II YS-1-7006 CLEAR	ORAL	TABLET, FILM COATED	1.5	MG
OPADRY II YS-1-7006 CLEAR	ORAL	TABLET, SUSTAINED ACTION	13	MG
OPADRY II YS-22-13571 ORANGE	ORAL	TABLET, FILM COATED	7.5	MG
OPADRY II YS-22-17227A BEIGE	ORAL	TABLET, FILM COATED	5.25	MG
OPADRY II YS-22-18096 WHITE	ORAL	TABLET	28.5	MG
OPADRY II YS-30-12788A YELLOW	ORAL	TABLET, CONTROLLED RELEASE	18	MG
OPADRY II YS-30-13641A ORANGE	ORAL	TABLET	15	MG
OPADRY II YS-30-14743A PINK	ORAL	TABLET, FILM COATED	5.1	MG
OPADRY II YS-30-14777A PINK	ORAL	TABLET, FILM COATED	5	MG
OPADRY II YS-30-17265A BEIGE	ORAL	TABLET	6	MG
OPADRY II YS-30-17265A BEIGE	ORAL	TABLET, SUSTAINED ACTION	9	MG
OPADRY II YS-30-17271A BEIGE	ORAL	TABLET, FILM COATED	15.46	MG
OPADRY II YS-30-18105 WHITE	ORAL	TABLET	36	MG
OPADRY II YS-30-18105 WHITE	ORAL	TABLET, EXTENDED RELEASE	24	MG
OPADRY II YS-30-18105 WHITE	ORAL	TABLET, FILM COATED	13.2	MG
OPADRY II YS-30-18105 WHITE	ORAL	TABLET, SUSTAINED ACTION	9	MG
OPADRY OS-F-32867 YELLOW	ORAL	TABLET	20	MG
OPADRY OY-27301 BUTTERSCOTCH	ORAL	TABLET, DELAYED ACTION, ENTERIC COATED	6	MG
OPADRY OY-29020 CLEAR	ORAL	TABLET, EXTENDED RELEASE	2.25	MG
OPADRY OY-3736 BUTTERSCOTCH	ORAL	TABLET	29.2	MG
OPADRY OY-38924 WHITE	ORAL	TABLET	39	MG
OPADRY OY-52945 YELLOW	ORAL	TABLET	33.75	MG
OPADRY OY-52945 YELLOW	ORAL	TABLET, DELAYED ACTION, ENTERIC COATED	4.38	MG
OPADRY OY-52945 YELLOW	ORAL	TABLET, FILM COATED	11.95	MG
OPADRY OY-54937 PINK	ORAL	TABLET, FILM COATED	3	MG
OPADRY OY-58900 WHITE	ORAL	TABLET	31.8	MG
OPADRY OY-58900 WHITE	ORAL	TABLET, FILM COATED	16.25	MG
OPADRY OY-7240 CLEAR	ORAL	TABLET	24	MG
OPADRY OY-7300 WHITE	ORAL	TABLET	35	MG
OPADRY OY-8764H ORANGE	ORAL	TABLET, FILM COATED	25.2	MG
OPADRY OY-B-28920 WHITE	ORAL	TABLET	28	MG
OPADRY OY-B-28920 WHITE	ORAL	TABLET, FILM COATED	14	MG
OPADRY OY-B-32830	ORAL	TABLET	28	MG
OPADRY OY-GM-28900	ORAL	TABLET	3	MG
OPADRY OY-GM-28900	ORAL	TABLET, COATED	3	MG
OPADRY OY-GM-28900	ORAL	TABLET, FILM COATED	26	MG
OPADRY OY-L-27204 TAN	ORAL	TABLET	4	MG
OPADRY OY-L-27205 BEIGE	ORAL	TABLET	4	MG
OPADRY OY-L-28906	ORAL	TABLET	4.5	MG
OPADRY OY-L-34836 PINK	ORAL	TABLET	24	MG
OPADRY OY-LS-20921 BLUE	ORAL	TABLET	15	MG
OPADRY OY-LS-23016 ORANGE	ORAL	TABLET, FILM COATED	6	MG
OPADRY OY-LS-23018 ORANGE	ORAL	TABLET, DELAYED ACTION, ENTERIC COATED	6	MG
OPADRY OY-LS-23018 ORANGE	ORAL	TABLET, EXTENDED RELEASE	4.5	MG
OPADRY OY-LS-28908 WHITE	ORAL	TABLET	7.5	MG
OPADRY OY-LS-28908 WHITE	ORAL	TABLET, FILM COATED	13.5	MG
OPADRY OY-LS-28914 WHITE	ORAL	TABLET, EXTENDED RELEASE	7	MG
OPADRY OY-LS-28914 WHITE	ORAL	TABLET, FILM COATED	15	MG
OPADRY OY-LS-33111 ORANGE	ORAL	TABLET	7	MG
OPADRY OY-LS-37200 BUFF	ORAL	TABLET	10.05	MG
OPADRY OY-LS-37200 BUFF	ORAL	TABLET, FILM COATED	9	MG
OPADRY OY-S-1387 PINK	ORAL	TABLET, SUSTAINED ACTION, FILM COATED	0.25	MG

(Continued)

Ingredient	Route	Dosage Form	Quantity	Unit
OPADRY OY-S-20007 PURPLE	ORAL	TABLET, SUSTAINED ACTION, COATED	13	MG
OPADRY OY-S-20900 BLUE	ORAL	TABLET, FILM COATED	4.5	MG
OPADRY OY-S-20901 BLUE	ORAL	TABLET	8	MG
OPADRY OY-S-21001 GREEN	ORAL	TABLET, FILM COATED	4.5	MG
OPADRY OY-S-21027 GREEN	ORAL	TABLET	9	MG
OPADRY OY-S-22802 YELLOW	ORAL	TABLET	5	MG
OPADRY OY-S-22907 YELLOW	ORAL	TABLET, FILM COATED	4.5	MG
OPADRY OY-S-24900 PINK	ORAL	TABLET, FILM COATED	4.5	MG
OPADRY OY-S-24972 PINK	ORAL	TABLET	8.6	MG
OPADRY OY-S-28849 WHITE	ORAL	TABLET	5	MG
OPADRY OY-S-28876 WHITE	ORAL	TABLET	4.65	MG
OPADRY OY-S-28924 WHITE	ORAL	TABLET	13	MG
OPADRY OY-S-28924 WHITE	ORAL	TABLET, FILM COATED	16.52	MG
OPADRY OY-S-29019 CLEAR	ORAL	TABLET, SUSTAINED ACTION	30	MG
OPADRY OY-S-30013 PURPLE	ORAL	TABLET	17	MG
OPADRY OY-S-30913 BLUE	ORAL	TABLET	10	MG
OPADRY OY-S-30913 BLUE	ORAL	TABLET, COATED	6.6	MG
OPADRY OY-S-30913 BLUE	ORAL	TABLET, FILM COATED	35.4	MG
OPADRY OY-S-30953 BLUE	ORAL	TABLET	6	MG
OPADRY OY-S-32921 YELLOW	ORAL	TABLET	4	MG
OPADRY OY-S-32921 YELLOW	ORAL	TABLET, FILM COATED	4	MG
OPADRY OY-S-32986 YELLOW	ORAL	TABLET	10.35	MG
OPADRY OY-S-33016 ORANGE	ORAL	TABLET	30	MG
OPADRY OY-S-33016 ORANGE	ORAL	TABLET, COATED	3.3	MG
OPADRY OY-S-34800 PINK	ORAL	TABLET	6	MG
OPADRY OY-S-34817 PINK	ORAL	TABLET, FILM COATED	18	MG
OPADRY OY-S-34923 PINK	ORAL	TABLET	8	MG
OPADRY OY-S-34995 PINK	ORAL	TABLET	13.8	MG
OPADRY OY-S-38928	ORAL	TABLET, FILM COATED	20	MG
OPADRY OY-S-38944 WHITE	ORAL	TABLET	11	MG
OPADRY OY-S-52902 YELLOW	ORAL	TABLET	16.66	MG
OPADRY OY-S-53010 ORANGE	ORAL	TABLET	8.33	MG
OPADRY OY-S-54902 PINK	ORAL	TABLET, FILM COATED	5.24	MG
OPADRY OY-S-54904 PINK	ORAL	TABLET, FILM COATED	3.6	MG
OPADRY OY-S-6937 PINK	ORAL	TABLET, FILM COATED	6	MG
OPADRY OY-S-7322 WHITE	ORAL	TABLET, FILM COATED	9	MG
OPADRY OY-S-7399 WHITE	ORAL	TABLET	19	MG
OPADRY OY-S-7399 WHITE	ORAL	TABLET, FILM COATED	10	MG
OPADRY OY-S-9476 BROWN	ORAL	TABLET, SUSTAINED ACTION	28.26	MG
OPADRY OY-S-9603 WHITE	ORAL	TABLET, FILM COATED	38.5	MG
OPADRY OY-SR-34907	ORAL	TABLET	12.25	MG
OPADRY Y-1-17272A BEIGE	ORAL	TABLET	12	MG
OPADRY Y-1-2102 YELLOW	ORAL	TABLET, COATED	10.87	MG
OPADRY Y-1-2132 YELLOW	ORAL	TABLET	28	MG
OPADRY Y-1-2516 ORANGE	ORAL	TABLET, SUSTAINED ACTION	5	MG
OPADRY Y-1-2553 ORANGE	ORAL	TABLET	10.5	MG
OPADRY Y-1-4205 BLUE	ORAL	TABLET, FILM COATED	12.2	MG
OPADRY Y-1-4234 BLUE	ORAL	TABLET	3.06	MG
OPADRY Y-1-7000 WHITE	ORAL	TABLET	36.27	MG
OPADRY Y-1-7000 WHITE	ORAL	TABLET, COATED	3	MG
OPADRY Y-1-7000 WHITE	ORAL	TABLET, EXTENDED RELEASE	11.1	MG
OPADRY Y-1-7000 WHITE	ORAL	TABLET, FILM COATED	27	MG
OPADRY Y-1-7000B WHITE	ORAL	TABLET	10	MG
OPADRY Y-1-7000H WHITE	ORAL	TABLET	39	MG
OPADRY Y-1-7000H WHITE	ORAL	TABLET (IMMED./COMP. RELEASE), FILM COATED	19.5	MG

(Continued)

Ingredient	Route	Dosage Form	Quantity	Unit
OPADRY Y-1-7000H WHITE	ORAL	TABLET, FILM COATED	28	MG
OPADRY Y-1-7006 BLUE	ORAL	TABLET	3.23	MG
OPADRY Y-1-7503 GRAY	ORAL	TABLET, SUSTAINED ACTION	5	MG
OPADRY Y-22-12721 LIGHT YELLOW	ORAL	TABLET	4.65	MG
OPADRY Y-22-12751 YELLOW	ORAL	TABLET	25.8	MG
OPADRY Y-22-13558 ORANGE	ORAL	TABLET	12.8	MG
OPADRY Y-22-14525 PINK	ORAL	TABLET	4.8	MG
OPADRY Y-22-15008 RED	ORAL	TABLET, FILM COATED	3.83	MG
OPADRY Y-22-15119 RED	ORAL	TABLET, SUSTAINED ACTION, COATED	18	MG
OPADRY Y-22-18238 WHITE	ORAL	TABLET, FILM COATED	6	MG
OPADRY Y-30-13168A ORANGE	ORAL	TABLET	7	MG
OPADRY Y-30-14565 PINK	ORAL	TABLET (IMMED./COMP. RELEASE), FILM COATED	18	MG
OPADRY Y-30-14565 PINK	ORAL	TABLET, FILM COATED	36	MG
OPADRY Y-5-10300 LAVENDER	ORAL	TABLET, FILM COATED	2	MG
OPADRY Y-5-10670 BLUE	ORAL	TABLET	18	MG
OPADRY Y-5-12539 YELLOW	ORAL	TABLET	12.6	MG
OPADRY Y-5-12544A YELLOW	ORAL	TABLET, FILM COATED	6	MG
OPADRY Y-5-12584 YELLOW	ORAL	TABLET, DELAYED ACTION, ENTERIC COATED	11.55	MG
OPADRY Y-5-13512 ORANGE	ORAL	TABLET	25.2	MG
OPADRY Y-5-13513 ORANGE	ORAL	TABLET, SUSTAINED ACTION	5	MG
OPADRY Y-5-14530A PINK	ORAL	TABLET, DELAYED ACTION, ENTERIC COATED	11.55	MG
OPADRY Y-5-1727 RED	ORAL	TABLET	7	MG
OPADRY Y-5-2042 YELLOW	ORAL	TABLET, SUSTAINED ACTION	18.3	MG
OPADRY Y-5-2086 YELLOW	ORAL	TABLET	26	MG
OPADRY Y-5-2328 ORANGE	ORAL	TABLET	24.6	MG
OPADRY Y-5-2371 ORANGE	ORAL	TABLET	28.88	MG
OPADRY Y-5-2394 ORANGE	ORAL	TABLET	31.5	MG
OPADRY Y-5-2450 ORANGE	ORAL	TABLET	20.9	MG
OPADRY Y-5-2450 ORANGE	ORAL	TABLET, FILM COATED	7.88	MG
OPADRY Y-5-2646 BEIGE	ORAL	TABLET	14	MG
OPADRY Y-5-3171 GREEN	ORAL	TABLET, SUSTAINED ACTION	10	MG
OPADRY Y-5-3296 GREEN	ORAL	TABLET	36.4	MG
OPADRY Y-5-4129 BLUE	ORAL	TABLET	7	MG
OPADRY Y-5-4270 BLUE	ORAL	TABLET	14	MG
OPADRY Y-5-4295 BLUE	ORAL	TABLET, SUSTAINED ACTION, COATED	17.11	MG
OPADRY Y-5-6233 LIGHT ORANGE	ORAL	TABLET, FILM COATED	6	MG
OPADRY Y-5-6301 YELLOW	ORAL	TABLET, FILM COATED	5.25	MG
OPADRY Y-5-7058 WHITE	ORAL	TABLET	6	MG
OPADRY Y-5-7058 WHITE	ORAL	TABLET, COATED	3	MG
OPADRY Y-5-7068 WHITE	ORAL	TABLET	120	MG
OPADRY Y-5-7068 WHITE	ORAL	TABLET, COATED	21	MG
OPADRY Y-5-7068 WHITE	ORAL	TABLET, FILM COATED	22.5	MG
OPADRY Y-5-7068 WHITE	ORAL	TABLET, SUSTAINED ACTION	6	MG
OPADRY Y-5-7524 GREY	ORAL	TABLET, SUSTAINED ACTION, FILM COATED	31.5	MG
OPADRY Y-5-8050 BLACK	ORAL	TABLET	7	MG
OPADRY Y-5-9006 BROWN	ORAL	TABLET, EXTENDED RELEASE	15	MG
OPADRY Y-5-9006 BROWN	ORAL	TABLET, SUSTAINED ACTION	15	MG
OPADRY Y-5-9020 BROWN	ORAL	TABLET	10	MG
OPADRY Y-5-9020 BROWN	ORAL	TABLET, FILM COATED	12	MG
OPADRY Y-S-17191 BROWN	ORAL	TABLET, DELAYED ACTION, ENTERIC COATED	11.55	MG
OPADRY YELLOW	ORAL	TABLET	27	MG

(Continued)

Ingredient	Route	Dosage Form	Quantity	Unit
OPADRY YELLOW	ORAL	TABLET (IMMED./COMP. RELEASE), FILM COATED	21	MG
OPADRY YELLOW	ORAL	TABLET, DELAYED RELEASE	4.31	MG
OPADRY YELLOW	ORAL	TABLET, EXTENDED RELEASE	14	MG
OPADRY YELLOW	ORAL	TABLET, FILM COATED	8.4	MG
OPADRY YPS-7-2127	ORAL	TABLET, DELAYED ACTION, ENTERIC COATED	54	MG
OPADRY YS-1-003 WHITE	ORAL	TABLET	8	MG
OPADRY YS-1-10010 PURPLE	ORAL	TABLET	18	MG
OPADRY YS-1-10291 LAVENDER	ORAL	TABLET, SUSTAINED ACTION	5	MG
OPADRY YS-1-10523A BLUE	ORAL	TABLET, FILM COATED	12	MG
OPADRY YS-1-10525 BLUE	ORAL	TABLET	23	MG
OPADRY YS-1-10533A	ORAL	TABLET	8.16	MG
OPADRY YS-1-10533A	ORAL	TABLET, EXTENDED RELEASE	40	MG
OPADRY YS-1-10542A BLUE	ORAL	TABLET, SUSTAINED ACTION	5	MG
OPADRY YS-1-10547A BLUE	ORAL	TABLET	44	MG
OPADRY YS-1-10547A BLUE	ORAL	TABLET, FILM COATED	35	MG
OPADRY YS-1-10563 BLUE	ORAL	TABLET	4.2	MG
OPADRY YS-1-10618	ORAL	TABLET, FILM COATED	3.75	MG
OPADRY YS-1-10629	ORAL	TABLET	9.12	MG
OPADRY YS-1-10654A BLUE	ORAL	TABLET	2.17	MG
OPADRY YS-1-10682 BLUE	ORAL	TABLET, FILM COATED	24	MG
OPADRY YS-1-10699 BLUE	ORAL	TABLET, EXTENDED RELEASE	19.59	MG
OPADRY YS-1-10748A LIGHT BLUE	ORAL	TABLET	4.5	MG
OPADRY YS-1-10755 BLUE	ORAL	TABLET	8.4	MG
OPADRY YS-1-10783A BLUE	ORAL	TABLET	2.17	MG
OPADRY YS-1-11000 PINK	ORAL	TABLET, FILM COATED	3.75	MG
OPADRY YS-1-11051 GREEN	ORAL	TABLET	17.56	MG
OPADRY YS-1-11051 GREEN	ORAL	TABLET, COATED	16	MG
OPADRY YS-1-11060 GREEN	ORAL	TABLET, FILM COATED	10	MG
OPADRY YS-1-1107 GREEN	ORAL	TABLET, FILM COATED	12	MG
OPADRY YS-1-11075A GREEN	ORAL	TABLET, SUSTAINED ACTION	8	MG
OPADRY YS-1-11113 GREEN	ORAL	TABLET, SUSTAINED ACTION, COATED	30	MG
OPADRY YS-1-11171 GREEN	ORAL	TABLET	6.3	MG
OPADRY YS-1-11234 GREEN	ORAL	TABLET	5.89	MG
OPADRY YS-1-11305 GREEN	ORAL	TABLET	7.2	MG
OPADRY YS-1-11369 GREEN	ORAL	TABLET, SUSTAINED ACTION	21	MG
OPADRY YS-1-1246 PINK	ORAL	TABLET	4.3	MG
OPADRY YS-1-1252 PINK	ORAL	TABLET, FILM COATED	4.5	MG
OPADRY YS-1-12524A YELLOW	ORAL	TABLET	9	MG
OPADRY YS-1-12525A YELLOW	ORAL	TABLET	19.2	MG
OPADRY YS-1-12525A YELLOW	ORAL	TABLET, FILM COATED	5	MG
OPADRY YS-1-12526A YELLOW	ORAL	TABLET	15	MG
OPADRY YS-1-12526A YELLOW	ORAL	TABLET, COATED	7.2	MG
OPADRY YS-1-12526A YELLOW	ORAL	TABLET, FILM COATED	5	MG
OPADRY YS-1-12529 YELLOW	ORAL	TABLET, FILM COATED	3.75	MG
OPADRY YS-1-12541 YELLOW	ORAL	TABLET	22	MG
OPADRY YS-1-12541 YELLOW	ORAL	TABLET, COATED	12	MG
OPADRY YS-1-1256-A YELLOW	ORAL	TABLET	7.5	MG
OPADRY YS-1-12573 YELLOW	ORAL	TABLET, FILM COATED	20	MG
OPADRY YS-1-12581 YELLOW	ORAL	TABLET	8.4	MG
OPADRY YS-1-1262 PINK	ORAL	TABLET, FILM COATED	10.5	MG
OPADRY YS-1-12625 YELLOW	ORAL	TABLET	10	MG
OPADRY YS-1-12702A YELLOW	ORAL	TABLET (IMMED./COMP. RELEASE), FILM COATED	12.5	MG
OPADRY YS-1-12726A YELLOW	ORAL	TABLET	4	MG

(Continued)

Ingredient	Route	Dosage Form	Quantity	Unit
OPADRY YS-1-12726A YELLOW	ORAL	TABLET (IMMED./COMP. RELEASE), FILM COATED	4.8	MG
OPADRY YS-1-12732 YELLOW	ORAL	TABLET, FILM COATED	20.96	MG
OPADRY YS-1-1277 PINK	ORAL	TABLET	2.4	MG
OPADRY YS-1-12826 YELLOW	ORAL	TABLET	8.1	MG
OPADRY YS-1-12844 YELLOW	ORAL	TABLET, FILM COATED	10	MG
OPADRY YS-1-12847 YELLOW	ORAL	TABLET, SUSTAINED ACTION	9.66	MG
OPADRY YS-1-1298 PINK	ORAL	TABLET	4.4	MG
OPADRY YS-1-13013 PEACH	ORAL	TABLET	10.3	MG
OPADRY YS-1-13065A ORANGE	ORAL	TABLET	41	MG
OPADRY YS-1-13119 ORANGE	ORAL	TABLET	4.2	MG
OPADRY YS-1-13121 YELLOW	ORAL	TABLET, FILM COATED	11.25	MG
OPADRY YS-1-13148A ORANGE	ORAL	TABLET	10	MG
OPADRY YS-1-13148A ORANGE	ORAL	TABLET, FILM COATED	5	MG
OPADRY YS-1-13214 ORANGE	ORAL	TABLET, CONTROLLED RELEASE	7.68	MG
OPADRY YS-1-13269 ORANGE	ORAL	TABLET, FILM COATED	5	MG
OPADRY YS-1-13271 ORANGE	ORAL	TABLET, FILM COATED	20	MG
OPADRY YS-1-13555 ORANGE	ORAL	TABLET	6	MG
OPADRY YS-1-13591A ORANGE	ORAL	TABLET, FILM COATED	9	MG
OPADRY YS-1-13664A ORANGE	ORAL	TABLET	10.11	MG
OPADRY YS-1-13673A ORANGE	ORAL	TABLET	5.09	MG
OPADRY YS-1-13675A ORANGE	ORAL	TABLET	5.09	MG
OPADRY YS-1-14012 PINK	ORAL	TABLET	5	MG
OPADRY YS-1-14129 PINK	ORAL	TABLET, FILM COATED	19	MG
OPADRY YS-1-14130 PINK	ORAL	TABLET	12	MG
OPADRY YS-1-14142 PINK	ORAL	TABLET, EXTENDED RELEASE	16	MG
OPADRY YS-1-14142 PINK	ORAL	TABLET, SUSTAINED ACTION, FILM COATED	16	MG
OPADRY YS-1-1418 PINK	ORAL	TABLET	2.4	MG
OPADRY YS-1-1441G	ORAL	TABLET, FILM COATED	8	MG
OPADRY YS-1-1448G PINK	ORAL	TABLET, SUSTAINED ACTION	11	MG
OPADRY YS-1-14518A PINK	ORAL	TABLET	4.5	MG
OPADRY YS-1-14518A PINK	ORAL	TABLET, FILM COATED	8	MG
OPADRY YS-1-14518A PINK	ORAL	TABLET, SUSTAINED ACTION	12	MG
OPADRY YS-1-14519A PINK	ORAL	TABLET	18	MG
OPADRY YS-1-14532 PINK	ORAL	TABLET, SUSTAINED ACTION	15	MG
OPADRY YS-1-1454 PINK	ORAL	TABLET, FILM COATED	15	MG
OPADRY YS-1-14555A PINK	ORAL	TABLET, FILM COATED	4	MG
OPADRY YS-1-1456G PINK	ORAL	TABLET	10	MG
OPADRY YS-1-1456G PINK	ORAL	TABLET, COATED	10.9	MG
OPADRY YS-1-14593A PINK	ORAL	TABLET, FILM COATED	12	MG
OPADRY YS-1-14595 PINK	ORAL	TABLET	10	MG
OPADRY YS-1-14608A	ORAL	TABLET	10.18	MG
OPADRY YS-1-14643A PINK	ORAL	TABLET, FILM COATED	32	MG
OPADRY YS-1-14725 PINK	ORAL	TABLET	39	MG
OPADRY YS-1-14756A PINK	ORAL	TABLET	8	MG
OPADRY YS-1-14779A PINK	ORAL	TABLET	18	MG
OPADRY YS-1-14779A PINK	ORAL	TABLET, CONTROLLED RELEASE	7.48	MG
OPADRY YS-1-15050 RED	ORAL	TABLET	6	MG
OPADRY YS-1-1510 PINK	ORAL	TABLET	4.2	MG
OPADRY YS-1-1528 PINK	ORAL	TABLET	15	MG
OPADRY YS-1-1543 PINK	ORAL	TABLET	6	MG
OPADRY YS-1-1543 PINK	ORAL	TABLET, FILM COATED	4.8	MG
OPADRY YS-1-15585A RED	ORAL	TABLET	15	MG
OPADRY YS-1-16518A BROWN	ORAL	TABLET	16.2	MG
OPADRY YS-1-17180A BEIGE	ORAL	TABLET	15	MG

(Continued)

Ingredient	Route	Dosage Form	Quantity	Unit
OPADRY YS-1-17181A BEIGE	ORAL	TABLET	34.5	MG
OPADRY YS-1-17192A	ORAL	TABLET	20.36	MG
OPADRY YS-1-17209 BEIGE	ORAL	TABLET	30	MG
OPADRY YS-1-17220	ORAL	TABLET, FILM COATED	7.5	MG
OPADRY YS-1-17222A TAN	ORAL	TABLET (IMMED./COMP. RELEASE), FILM COATED	20	MG
OPADRY YS-1-17235A PEACH	ORAL	TABLET	18	MG
OPADRY YS-1-1724 RED	ORAL	TABLET	18.57	MG
OPADRY YS-1-17277A BEIGE	ORAL	TABLET	3.75	MG
OPADRY YS-1-17307A BUTTERSCOTCH	ORAL	TABLET	5.6	MG
OPADRY YS-1-17307A BUTTERSCOTCH	ORAL	TABLET, FILM COATED	5	MG
OPADRY YS-1-17505A GRAY	ORAL	TABLET, SUSTAINED ACTION	20	MG
OPADRY YS-1-17506A GRAY	ORAL	TABLET	15.75	MG
OPADRY YS-1-17506A GRAY	ORAL	TABLET, FILM COATED	15	MG
OPADRY YS-1-1751G RED	ORAL	TABLET, COATED	13.6	MG
OPADRY YS-1-1755 GRAY	ORAL	TABLET	4.5	MG
OPADRY YS-1-18005 WHITE	ORAL	TABLET	5.89	MG
OPADRY YS-1-18022 WHITE	ORAL	TABLET	30.54	MG
OPADRY YS-1-18027 WHITE	ORAL	TABLET	12.6	MG
OPADRY YS-1-18027 WHITE	ORAL	TABLET, FILM COATED	16.32	MG
OPADRY YS-1-18027A WHITE	ORAL	TABLET	21	MG
OPADRY YS-1-18027A WHITE	ORAL	TABLET, FILM COATED	5.5	MG
OPADRY YS-1-18028 WHITE	ORAL	TABLET	18.8	MG
OPADRY YS-1-1811 RED	ORAL	TABLET, SUSTAINED ACTION	43.35	MG
OPADRY YS-1-18111 WHITE	ORAL	TABLET	20	MG
OPADRY YS-1-18111 WHITE	ORAL	TABLET, FILM COATED	32	MG
OPADRY YS-1-18130A WHITE	ORAL	TABLET	2.17	MG
OPADRY YS-1-18177A WHITE	ORAL	TABLET	18	MG
OPADRY YS-1-18177A WHITE	ORAL	TABLET, FILM COATED	8.4	MG
OPADRY YS-1-18202A WHITE	ORAL	TABLET	21	MG
OPADRY YS-1-18229 WHITE	ORAL	TABLET, SUSTAINED ACTION	18.09	MG
OPADRY YS-1-1847 RED	ORAL	TABLET	25	MG
OPADRY YS-1-19025-A CLEAR	ORAL	TABLET	7.09	MG
OPADRY YS-1-19025-A CLEAR	ORAL	TABLET, COATED	4.45	MG
OPADRY YS-1-19025-A CLEAR	ORAL	TABLET, CONTROLLED RELEASE	6	MG
OPADRY YS-1-19025-A CLEAR	ORAL	TABLET, EXTENDED RELEASE	44.7	MG
OPADRY YS-1-19025-A CLEAR	ORAL	TABLET, FILM COATED	1.1	MG
OPADRY YS-1-19025-A CLEAR	ORAL	TABLET, SUSTAINED ACTION, FILM COATED	0.8	MG
OPADRY YS-1-19025-A CLEAR	ORAL	TABLET, SUSTAINED RELEASE, FILM COATED	10	MG
OPADRY YS-1-2007 YELLOW	ORAL	TABLET, FILM COATED	5.25	MG
OPADRY YS-1-2013 YELLOW	ORAL	TABLET	8	MG
OPADRY YS-1-2063 YELLOW	ORAL	TABLET, FILM COATED	30	MG
OPADRY YS-1-2074 YELLOW	ORAL	TABLET	19.25	MG
OPADRY YS-1-2074 YELLOW	ORAL	TABLET, FILM COATED	4.8	MG
OPADRY YS-1-2083 YELLOW	ORAL	TABLET, SUSTAINED ACTION	27.1	MG
OPADRY YS-1-2115 YELLOW	ORAL	TABLET	14.14	MG
OPADRY YS-1-2134 YELLOW	ORAL	TABLET	147.8	MG
OPADRY YS-1-2136 YELLOW	ORAL	TABLET	3.75	MG
OPADRY YS-1-2167 YELLOW	ORAL	TABLET, SUSTAINED ACTION	25.32	MG
OPADRY YS-1-2181 YELLOW	ORAL	TABLET	15	MG
OPADRY YS-1-2184 GOLD	ORAL	TABLET	15.52	MG
OPADRY YS-1-2305 ORANGE	ORAL	TABLET, FILM COATED	1.2	MG
OPADRY YS-1-2308 DARK ORANGE	ORAL	TABLET, FILM COATED	3	MG
OPADRY YS-1-2383 ORANGE	ORAL	TABLET	12.76	MG

(Continued)

Ingredient	Route	Dosage Form	Quantity	Unit
OPADRY YS-1-2398 ORANGE	ORAL	TABLET	25.3	MG
OPADRY YS-1-2449 ORANGE	ORAL	TABLET, EXTENDED RELEASE	15	MG
OPADRY YS-1-2522 ORANGE	ORAL	TABLET	22.5	MG
OPADRY YS-1-2527 ORANGE	ORAL	TABLET	20	MG
OPADRY YS-1-2534	ORAL	TABLET	147.8	MG
OPADRY YS-1-2534	ORAL	TABLET, FILM COATED	20	MG
OPADRY YS-1-2546 ORANGE	ORAL	TABLET	11.7	MG
OPADRY YS-1-2546 ORANGE	ORAL	TABLET, FILM COATED	14	MG
OPADRY YS-1-2548 ORANGE	ORAL	TABLET	6.9	MG
OPADRY YS-1-2548 ORANGE	ORAL	TABLET, FILM COATED	13	MG
OPADRY YS-1-2549 ORANGE	ORAL	TABLET	120	MG
OPADRY YS-1-2558 ORANGE	ORAL	TABLET	25	MG
OPADRY YS-1-2558 ORANGE	ORAL	TABLET, FILM COATED	14	MG
OPADRY YS-1-2563 ORANGE	ORAL	TABLET	11.25	MG
OPADRY YS-1-2564	ORAL	TABLET	7.5	MG
OPADRY YS-1-2578 ORANGE	ORAL	TABLET, SUSTAINED ACTION	21	MG
OPADRY YS-1-2596 ORANGE	ORAL	TABLET, FILM COATED	20	MG
OPADRY YS-1-2604 BEIGE	ORAL	TABLET	7.5	MG
OPADRY YS-1-2612 BEIGE	ORAL	TABLET, SUSTAINED ACTION	34.5	MG
OPADRY YS-1-2619	ORAL	TABLET	23	MG
OPADRY YS-1-2621 RUST	ORAL	TABLET	20	MG
OPADRY YS-1-2621 RUST	ORAL	TABLET, FILM COATED	17	MG
OPADRY YS-1-2623 BROWN	ORAL	TABLET, FILM COATED	34	MG
OPADRY YS-1-2635 TAN	ORAL	TABLET, SUSTAINED ACTION	13	MG
OPADRY YS-1-2660 SALMON	ORAL	TABLET	7.5	MG
OPADRY YS-1-2665 BEIGE	ORAL	TABLET	9	MG
OPADRY YS-1-2669 RUST	ORAL	TABLET	24	MG
OPADRY YS-1-2671 BEIGE	ORAL	TABLET	16	MG
OPADRY YS-1-3105 GREEN	ORAL	TABLET	15	MG
OPADRY YS-1-3130 GREEN	ORAL	TABLET	36	MG
OPADRY YS-1-3130 GREEN	ORAL	TABLET, COATED	20	MG
OPADRY YS-1-3130 GREEN	ORAL	TABLET, CONTROLLED RELEASE	8.08	MG
OPADRY YS-1-3134 GREEN	ORAL	TABLET, FILM COATED	16	MG
OPADRY YS-1-3146 GREEN	ORAL	TABLET	10	MG
OPADRY YS-1-3147	ORAL	TABLET	0.8	MG
OPADRY YS-1-3166 GREEN	ORAL	TABLET	12	MG
OPADRY YS-1-3256 GREEN	ORAL	TABLET	12	MG
OPADRY YS-1-3288 GREEN	ORAL	TABLET	4.05	MG
OPADRY YS-1-4014 BLUE	ORAL	TABLET	7.8	MG
OPADRY YS-1-4018 BLUE	ORAL	TABLET	28	MG
OPADRY YS-1-4112 BLUE	ORAL	TABLET	147.8	MG
OPADRY YS-1-4137 BLUE	ORAL	TABLET	11.5	MG
OPADRY YS-1-4215	ORAL	TABLET	10	MG
OPADRY YS-1-4216	ORAL	TABLET	20	MG
OPADRY YS-1-4228 BLUE	ORAL	TABLET	19.94	MG
OPADRY YS-1-4229 BLUE	ORAL	TABLET	22.5	MG
OPADRY YS-1-4234 BLUE	ORAL	TABLET, SUSTAINED ACTION	2.5	MG
OPADRY YS-1-4235 BLUE	ORAL	TABLET	20.25	MG
OPADRY YS-1-4236 BLUE	ORAL	TABLET	4.4	MG
OPADRY YS-1-4236 BLUE	ORAL	TABLET, FILM COATED	12.5	MG
OPADRY YS-1-4236 BLUE	ORAL	TABLET, SUSTAINED ACTION	5	MG
OPADRY YS-1-4240 BLUE	ORAL	TABLET	11.34	MG
OPADRY YS-1-4241 BLUE	ORAL	TABLET	5	MG
OPADRY YS-1-4241 BLUE	ORAL	TABLET, FILM COATED	6	MG
OPADRY YS-1-4245 BLUE	ORAL	TABLET	6	MG
OPADRY YS-1-4249 BLUE	ORAL	TABLET	22.68	MG

(Continued)

Ingredient	Route	Dosage Form	Quantity	Unit
OPADRY YS-1-4251 BLUE	ORAL	TABLET, FILM COATED	2.52	MG
OPADRY YS-1-4255	ORAL	TABLET, FILM COATED	22.5	MG
OPADRY YS-1-4256 BLUE	ORAL	TABLET, FILM COATED	15.7	MG
OPADRY YS-1-4256 BLUE	ORAL	TABLET, SUSTAINED ACTION, COATED	35.4	MG
OPADRY YS-1-4282 BLUE	ORAL	TABLET, FILM COATED, EXTENDED RELEASE	8	MG
OPADRY YS-1-4282 BLUE	ORAL	TABLET, SUSTAINED ACTION, FILM COATED	10	MG
OPADRY YS-1-4298 BLUE	ORAL	TABLET, FILM COATED	20	MG
OPADRY YS-1-4700 PURPLE	ORAL	TABLET	0.006	MG
OPADRY YS-1-4710	ORAL	TABLET	4	MG
OPADRY YS-1-4739 LAVENDER	ORAL	TABLET, SUSTAINED ACTION, COATED	25	MG
OPADRY YS-1-4812 LAVENDER	ORAL	TABLET, SUSTAINED ACTION	5	MG
OPADRY YS-1-4845 PURPLE	ORAL	TABLET, SUSTAINED ACTION, FILM COATED	16	MG
OPADRY YS-1-6275 ORANGE	ORAL	TABLET	3	MG
OPADRY YS-1-6300	ORAL	TABLET, FILM COATED	20	MG
OPADRY YS-1-6312 YELLOW	ORAL	TABLET, FILM COATED	17.45	MG
OPADRY YS-1-6318 YELLOW	ORAL	TABLET	6	MG
OPADRY YS-1-6320 YELLOW	ORAL	TABLET	4.8	MG
OPADRY YS-1-6357 YELLOW	ORAL	TABLET	6	MG
OPADRY YS-1-6370G YELLOW	ORAL	TABLET, COATED	10	MG
OPADRY YS-1-6378G YELLOW	ORAL	TABLET	13.4	MG
OPADRY YS-1-6381 YELLOW	ORAL	TABLET, COATED	3	MG
OPADRY YS-1-6382G YELLOW	ORAL	TABLET	11	MG
OPADRY YS-1-6382G YELLOW	ORAL	TABLET, COATED	6	MG
OPADRY YS-1-7000E WHITE	ORAL	TABLET, FILM COATED	40	MG
OPADRY YS-1-7002 WHITE	ORAL	TABLET, FILM COATED	11.7	MG
OPADRY YS-1-7003 WHITE	ORAL	TABLET	147.8	MG
OPADRY YS-1-7003 WHITE	ORAL	TABLET, COATED	14	MG
OPADRY YS-1-7003 WHITE	ORAL	TABLET, CONTROLLED RELEASE	23.7	MG
OPADRY YS-1-7003 WHITE	ORAL	TABLET, DELAYED ACTION	4.94	MG
OPADRY YS-1-7003 WHITE	ORAL	TABLET, DELAYED ACTION, ENTERIC COATED	9	MG
OPADRY YS-1-7003 WHITE	ORAL	TABLET, EXTENDED RELEASE	27	MG
OPADRY YS-1-7003 WHITE	ORAL	TABLET, FILM COATED	36	MG
OPADRY YS-1-7003 WHITE	ORAL	TABLET, SUSTAINED ACTION	42.97	MG
OPADRY YS-1-7003 WHITE	ORAL	TABLET, SUSTAINED ACTION, COATED	6.24	MG
OPADRY YS-1-7003H WHITE	ORAL	TABLET	5	MG
OPADRY YS-1-7003H WHITE	ORAL	TABLET, FILM COATED	4	MG
OPADRY YS-1-7006 CLEAR	ORAL	TABLET, COATED	11.16	MG
OPADRY YS-1-7006 CLEAR	ORAL	TABLET, CONTROLLED RELEASE	14.9	MG
OPADRY YS-1-7006 CLEAR	ORAL	TABLET, DELAYED ACTION	16	MG
OPADRY YS-1-7006 CLEAR	ORAL	TABLET, DELAYED ACTION, ENTERIC COATED	9	MG
OPADRY YS-1-7006 CLEAR	ORAL	TABLET, EXTENDED RELEASE	47.05	MG
OPADRY YS-1-7006 CLEAR	ORAL	TABLET, FILM COATED	11	MG
OPADRY YS-1-7006 CLEAR	ORAL	TABLET, SUSTAINED ACTION	38.4	MG
OPADRY YS-1-7006 CLEAR	ORAL	TABLET, SUSTAINED ACTION, FILM COATED	2.63	MG
OPADRY YS-1-7022 OFF-WHITE	ORAL	TABLET	4	MG
OPADRY YS-1-7027 WHITE	ORAL	TABLET	37	MG
OPADRY YS-1-7027 WHITE	ORAL	TABLET, SUSTAINED ACTION, COATED	16	MG
OPADRY YS-1-7040 WHITE	ORAL	TABLET	35.76	MG
OPADRY YS-1-7040 WHITE	ORAL	TABLET (IMMED./COMP. RELEASE), FILM COATED	17.88	MG

(Continued)

Ingredient	Route	Dosage Form	Quantity	Unit
OPADRY YS-1-7040 WHITE	ORAL	TABLET, FILM COATED	36	MG
OPADRY YS-1-7059 WHITE	ORAL	TABLET, SUSTAINED ACTION	30	MG
OPADRY YS-1-7059 WHITE	ORAL	TABLET, SUSTAINED ACTION, FILM COATED	5	MG
OPADRY YS-1-7079 WHITE	ORAL	TABLET, COATED	3	MG
OPADRY YS-1-7086 WHITE	ORAL	TABLET	12	MG
OPADRY YS-1-7086 WHITE	ORAL	TABLET, SUSTAINED ACTION, COATED	10	MG
OPADRY YS-1-7444G WHITE	ORAL	TABLET, COATED	22	MG
OPADRY YS-1-7449 WHITE	ORAL	TABLET, FILM COATED	12	MG
OPADRY YS-1-7472 CLEAR	ORAL	TABLET	2.25	MG
OPADRY YS-1-7472 CLEAR	ORAL	TABLET, DELAYED ACTION	4.35	MG
OPADRY YS-1-7472 CLEAR	ORAL	TABLET, SUSTAINED ACTION, COATED	2.9	MG
OPADRY YS-1-7507 GREY	ORAL	TABLET	19.06	MG
OPADRY YS-1-7507 GREY	ORAL	TABLET, FILM COATED	6	MG
OPADRY YS-1-7507 GREY	ORAL	TABLET, SUSTAINED ACTION, COATED	34.23	MG
OPADRY YS-1-7552 GREY	ORAL	TABLET	7.5	MG
OPADRY YS-1-7700 WHITE	ORAL	TABLET	35.2	MG
OPADRY YS-1-7700 WHITE	ORAL	TABLET, EXTENDED RELEASE	35	MG
OPADRY YS-1-7706 CLEAR	ORAL	TABLET	2	MG
OPADRY YS-1-7706 CLEAR	ORAL	TABLET, FILM COATED	21	MG
OPADRY YS-1-7706G WHITE	ORAL	TABLET	22.5	MG
OPADRY YS-1-7706G WHITE	ORAL	TABLET, COATED	18.1	MG
OPADRY YS-1-7706G WHITE	ORAL	TABLET, FILM COATED	20.63	MG
OPADRY YS-1-7724 WHITE	ORAL	TABLET, FILM COATED	20	MG
OPADRY YS-1-8325 BEIGE	ORAL	TABLET	15	MG
OPADRY YS-1-8343G BEIGE	ORAL	TABLET, SUSTAINED ACTION	19	MG
OPADRY YS-1-8345G BEIGE	ORAL	TABLET, FILM COATED	6	MG
OPADRY YS-1-8608 ORANGE	ORAL	TABLET, FILM COATED	10	MG
OPADRY YS-1-8619 ORANGE	ORAL	TABLET, FILM COATED	11	MG
OPADRY YS-1-89193 CLEAR	ORAL	TABLET	13	MG
OPADRY YS-1-9012 BROWN	ORAL	TABLET	13.4	MG
OPADRY YS-1-9012 BROWN	ORAL	TABLET, SUSTAINED ACTION, FILM COATED	15.5	MG
OPADRY YS-12630 YELLOW	ORAL	TABLET	4	MG
OPADRY YS-14644 PINK	ORAL	TABLET, SUSTAINED ACTION	7.95	MG
OPADRY YS-1R-1418 PINK	ORAL	TABLET, FILM COATED	8	MG
OPADRY YS-1R-7006 CLEAR	ORAL	TABLET	26.25	MG
OPADRY YS-1R-7006 CLEAR	ORAL	TABLET, DELAYED RELEASE	17.4	MG
OPADRY YS-1R-7006 CLEAR	ORAL	TABLET, EXTENDED RELEASE	37.05	MG
OPADRY YS-2-10657 BLUE	ORAL	TABLET	9.75	MG
OPADRY YS-2-19071A CLEAR	ORAL	TABLET, FILM COATED	8.8	MG
OPADRY YS-2-19114A CLEAR	ORAL	TABLET	4.5	MG
OPADRY YS-2-19114A CLEAR	ORAL	TABLET, CONTROLLED RELEASE	1.11	MG
OPADRY YS-2-19114A CLEAR	ORAL	TABLET, EXTENDED RELEASE	1.5	MG
OPADRY YS-2-19114A CLEAR	ORAL	TABLET, FILM COATED	23.5	MG
OPADRY YS-2-7013 CLEAR	ORAL	TABLET	4.44	MG
OPADRY YS-2-7013 CLEAR	ORAL	TABLET, COATED	2.7	MG
OPADRY YS-2-7013 CLEAR	ORAL	TABLET, FILM COATED	1.2	MG
OPADRY YS-2-7063 WHITE	ORAL	TABLET, SUSTAINED ACTION	24	MG
OPADRY YS-22-16576 BROWN	ORAL	TABLET	10	MG
OPADRY YS-22-18119 WHITE	ORAL	TABLET	10	MG
OPADRY YS-3-7011 CLEAR	ORAL	TABLET	18	MG
OPADRY YS-3-7011 CLEAR	ORAL	TABLET, FILM COATED	1	MG
OPADRY YS-3-7031 CLEAR	ORAL	TABLET	8	MG
OPADRY YS-3-7413 CLEAR	ORAL	TABLET	4	MG
OPADRY YS-3-7413 CLEAR	ORAL	TABLET, COATED	2.4	MG

(Continued)

Ingredient	Route	Dosage Form	Quantity	Unit
OPADRY YS-3-7413 CLEAR	ORAL	TABLET, EXTENDED RELEASE	4	MG
OPADRY YS-3-7413 CLEAR	ORAL	TABLET, FILM COATED	1.5	MG
OPADRY YS-5-12575 YELLOW	ORAL	TABLET, FILM COATED	7.5	MG
OPADRY YS-5-12576 YELLOW	ORAL	TABLET, FILM COATED	15	MG
OPADRY YS-5-1260 PINK	ORAL	TABLET, SUSTAINED ACTION	150	MG
OPADRY YS-5-1296 PINK	ORAL	TABLET	7.46	MG
OPADRY YS-5-17266 TAN	ORAL	TABLET, FILM COATED	3.91	MG
OPADRY YS-5-18011 WHITE	ORAL	TABLET, EXTENDED RELEASE	20.09	MG
OPADRY YS-5-18068 WHITE	ORAL	TABLET	12.25	MG
OPADRY YS-5-18074 WHITE	ORAL	TABLET	24	MG
OPADRY YS-5-19010 CLEAR	ORAL	TABLET, EXTENDED RELEASE	15	MG
OPADRY YS-5-4277 BLUE	ORAL	TABLET, FILM COATED	0.9	MG
OPADRY YS-5-4278 BLUE	ORAL	TABLET, FILM COATED	2.16	MG
OPADRY YS-5-7017	ORAL	TABLET, SUSTAINED ACTION, COATED	32.3	MG
OPADRY YS-5-7042 CLEAR	ORAL	TABLET	22	MG
OPADRY YS-5-7068	ORAL	TABLET	1.5	MG
OPADRY YS-5-7099 WHITE	ORAL	TABLET, EXTENDED RELEASE	11	MG
OPAGLOS 2 97W19206 CLEAR	ORAL	TABLET	0.61	MG
OPAGLOS GS 2-0310	ORAL	TABLET	3.5	MG
OPAGLOS GS 2-0310	ORAL	TABLET, SUGAR COATED	0.76	MG
OPAGLOS II 97W90646 BLUE	ORAL	TABLET	32.25	MG
OPAGLOS S 0750	ORAL	TABLET	0.028	MG
OPALUX AS 1406 PINK	ORAL	TABLET	1	MG
OPALUX AS 1459 PINK	ORAL	TABLET, COATED	5.31	MG
OPALUX AS 1589 PINK	ORAL	TABLET, COATED	0.07	MG
OPALUX AS 2006 YELLOW	ORAL	TABLET	0.6	MG
OPALUX AS 2007 YELLOW	ORAL	TABLET	0.02	MG
OPALUX AS 2062 YELLOW	ORAL	TABLET	1.4	MG
OPALUX AS 2086 CHARTREUSE	ORAL	TABLET	3.6	MG
OPALUX AS 2094	ORAL	TABLET, DELAYED ACTION, ENTERIC COATED	2.4	MG
OPALUX AS 2167 YELLOW	ORAL	TABLET, COATED	4	MG
OPALUX AS 2236	ORAL	TABLET	10.2	MG
OPALUX AS 2236	ORAL	TABLET, COATED	22.13	MG
OPALUX AS 2236	ORAL	TABLET, SUSTAINED ACTION	11.8	MG
OPALUX AS 2269 YELLOW	ORAL	TABLET	1.42	MG
OPALUX AS 2324 ORANGE	ORAL	TABLET, COATED	10.6	MG
OPALUX AS 2336 ORANGE	ORAL	TABLET	0.97	MG
OPALUX AS 2395 PEACH	ORAL	TABLET	0.6	MG
OPALUX AS 2433 ORANGE	ORAL	TABLET	0.8	MG
OPALUX AS 2498 ORANGE	ORAL	TABLET	0.27	MG
OPALUX AS 2498 ORANGE	ORAL	TABLET, COATED	3	MG
OPALUX AS 2532 ORANGE	ORAL	TABLET	0.003	MG
OPALUX AS 2553 ORANGE	ORAL	TABLET	0.001	MG
OPALUX AS 2613 TAN	ORAL	TABLET, SUGAR COATED	2.18	MG
OPALUX AS 2620-B TAN	ORAL	TABLET	2.62	MG
OPALUX AS 2676 SALMON JASPER RED	ORAL	TABLET	1.4	MG
OPALUX AS 2768	ORAL	TABLET	0.082	MG
OPALUX AS 2787 BUTTERSCOTCH	ORAL	TABLET	4.47	MG
OPALUX AS 3288 GREEN	ORAL	TABLET, REPEAT ACTION	8.61	MG
OPALUX AS 3308 GREEN	ORAL	TABLET, COATED	6	MG
OPALUX AS 3348-C GREEN	ORAL	TABLET, SUSTAINED ACTION	6	MG
OPALUX AS 3381	ORAL	TABLET	0.01	MG
OPALUX AS 3391 GREEN	ORAL	TABLET	0.2	MG
OPALUX AS 3391 GREEN	ORAL	TABLET, COATED	1.18	MG
OPALUX AS 3942 MAROON	ORAL	TABLET	17.6	MG

(Continued)

Ingredient	Route	Dosage Form	Quantity	Unit
OPALUX AS 4025	ORAL	TABLET	0.008	MG
OPALUX AS 4151 BLUE	ORAL	TABLET, REPEAT ACTION	8.43	MG
OPALUX AS 4188 BLUE	ORAL	TABLET, SUSTAINED ACTION	0.2	MG
OPALUX AS 4270 BLUE	ORAL	TABLET, COATED	12.63	MG
OPALUX AS 4855 PURPLE	ORAL	TABLET	0.02	MG
OPALUX AS 5034 RED	ORAL	TABLET, COATED	0.02	MG
OPALUX AS 5107	ORAL	TABLET, SUSTAINED ACTION	17.6	MG
OPALUX AS 5162 GREEN	ORAL	TABLET	4.4	MG
OPALUX AS 5178 GREEN	ORAL	TABLET	20	MG
OPALUX AS 5203 GREEN	ORAL	TABLET	9.6	MG
OPALUX AS 7000-B	ORAL	TABLET, COATED	4.95	MG
OPALUX AS 7000-P WHITE	ORAL	TABLET	3.81	MG
OPALUX AS 7001	ORAL	TABLET	0.008	MG
OPALUX AS 9010 BROWN	ORAL	TABLET	0.003	MG
OPALUX AS 9010 BROWN	ORAL	TABLET, COATED	0.45	MG
OPALUX AS-1475 PINK	ORAL	TABLET, DELAYED RELEASE	7.5	MG
OPALUX AS-9030 BROWN	ORAL	TABLET	2.5	MG
OPALUX GREEN	ORAL	TABLET	0.8	MG
OPASPRAY 3-1700	ORAL	TABLET	2.17	MG
OPASPRAY 3-1810	ORAL	TABLET	2.43	MG
OPASPRAY IM-176	ORAL	TABLET	23.5	MG
OPASPRAY K-1-1243	ORAL	TABLET, SUSTAINED ACTION	7.6	MG
OPASPRAY K-1-1254	ORAL	TABLET, FILM COATED	4.5	MG
OPASPRAY K-1-1279	ORAL	TABLET	21.13	MG
OPASPRAY K-1-1289 PINK	ORAL	TABLET	21.13	MG
OPASPRAY K-1-14016 PINK	ORAL	TABLET, FILM COATED	3.75	MG
OPASPRAY K-1-1413 PINK	ORAL	TABLET	1.82	MG
OPASPRAY K-1-1414 PINK	ORAL	TABLET	11.4	MG
OPASPRAY K-1-1455 PINK	ORAL	TABLET	0.56	MG
OPASPRAY K-1-1526 PINK	ORAL	TABLET	2	MG
OPASPRAY K-1-1563 PINK	ORAL	TABLET, FILM COATED	3.1	MG
OPASPRAY K-1-1573 LAVENDER	ORAL	TABLET	12	MG
OPASPRAY K-1-1574	ORAL	TABLET, COATED	2.5	MG
OPASPRAY K-1-1719 RED	ORAL	TABLET, FILM COATED	1.67	MG
OPASPRAY K-1-2004 YELLOW	ORAL	TABLET	1.06	MG
OPASPRAY K-1-2013 YELLOW	ORAL	TABLET	8	MG
OPASPRAY K-1-2043 YELLOW	ORAL	TABLET	0.26	MG
OPASPRAY K-1-2182 YELLOW	ORAL	TABLET	3	MG
OPASPRAY K-1-2182 YELLOW	ORAL	TABLET, FILM COATED	1	MG
OPASPRAY K-1-2186 YELLOW	ORAL	TABLET	6.4	MG
OPASPRAY K-1-2216-A YELLOW	ORAL	TABLET	3	MG
OPASPRAY K-1-2216-A YELLOW	ORAL	TABLET, COATED	0.5	MG
OPASPRAY K-1-2216-A YELLOW	ORAL	TABLET, FILM COATED	3	MG
OPASPRAY K-1-2216-A YELLOW	ORAL	TABLET, SUSTAINED ACTION	6.8	MG
OPASPRAY K-1-2227 YELLOW	ORAL	TABLET	6	MG
OPASPRAY K-1-2227 YELLOW	ORAL	TABLET, FILM COATED	1.69	MG
OPASPRAY K-1-2228 YELLOW	ORAL	TABLET, SUSTAINED ACTION	17.8	MG
OPASPRAY K-1-2239	ORAL	TABLET	10	MG
OPASPRAY K-1-2240 YELLOW	ORAL	TABLET	2.2	MG
OPASPRAY K-1-2256 YELLOW	ORAL	TABLET	6.59	MG
OPASPRAY K-1-2300 PEACH	ORAL	TABLET	3	MG
OPASPRAY K-1-2301 PEACH	ORAL	TABLET	4.7	MG
OPASPRAY K-1-2303 ORANGE	ORAL	TABLET	0.35	MG
OPASPRAY K-1-2304 ORANGE	ORAL	TABLET	1.8	MG
OPASPRAY K-1-2314 ORANGE	ORAL	TABLET	3.74	MG
OPASPRAY K-1-2327 ORANGE	ORAL	TABLET, SUSTAINED ACTION	6	MG

(Continued)

Ingredient	Route	Dosage Form	Quantity	Unit
OPASPRAY K-1-2330 ORANGE	ORAL	TABLET	11.1	MG
OPASPRAY K-1-2335 ORANGE	ORAL	TABLET, FILM COATED	0.53	MG
OPASPRAY K-1-2406 ORANGE	ORAL	TABLET	4.42	MG
OPASPRAY K-1-2406 ORANGE	ORAL	TABLET, FILM COATED	2.1	MG
OPASPRAY K-1-2417 ORANGE	ORAL	TABLET, COATED	9	MG
OPASPRAY K-1-2430	ORAL	TABLET	13.5	MG
OPASPRAY K-1-2441 ORANGE	ORAL	TABLET	5.95	MG
OPASPRAY K-1-2471 ORANGE	ORAL	TABLET	6.02	MG
OPASPRAY K-1-2473	ORAL	TABLET	22.5	MG
OPASPRAY K-1-2473	ORAL	TABLET, FILM COATED	2.5	MG
OPASPRAY K-1-2492	ORAL	TABLET	36	MG
OPASPRAY K-1-2531	ORAL	TABLET, COATED	2.25	MG
OPASPRAY K-1-2554	ORAL	TABLET, COATED	1.8	MG
OPASPRAY K-1-2568 ORANGE	ORAL	TABLET	1.2	MG
OPASPRAY K-1-2570 ORANGE	ORAL	TABLET	5.25	MG
OPASPRAY K-1-2588 ORANGE	ORAL	TABLET	5.44	MG
OPASPRAY K-1-2614 BEIGE	ORAL	TABLET	6	MG
OPASPRAY K-1-2614 BEIGE	ORAL	TABLET, FILM COATED	6	MG
OPASPRAY K-1-2621 BROWN	ORAL	TABLET, FILM COATED	1.49	MG
OPASPRAY K-1-2626 ORANGE	ORAL	TABLET	4	MG
OPASPRAY K-1-2656 BEIGE	ORAL	TABLET, FILM COATED	9.08	MG
OPASPRAY K-1-2674 BEIGE	ORAL	TABLET	0.35	MG
OPASPRAY K-1-2711	ORAL	TABLET	12.6	MG
OPASPRAY K-1-2723 BUTTERSCOTCH	ORAL	TABLET	7.5	MG
OPASPRAY K-1-2837	ORAL	TABLET, FILM COATED	5.8	MG
OPASPRAY K-1-3000	ORAL	TABLET	0.6	MG
OPASPRAY K-1-3000	ORAL	TABLET, COATED	0.6	MG
OPASPRAY K-1-3142 GREEN	ORAL	TABLET, SUSTAINED ACTION	5.1	MG
OPASPRAY K-1-3147	ORAL	TABLET	1.9	MG
OPASPRAY K-1-3147	ORAL	TABLET, FILM COATED	0.6	MG
OPASPRAY K-1-3147	ORAL	TABLET, SUSTAINED ACTION	2	MG
OPASPRAY K-1-3148 GREEN	ORAL	TABLET	1.35	MG
OPASPRAY K-1-3148 GREEN	ORAL	TABLET, FILM COATED	0.74	MG
OPASPRAY K-1-3156	ORAL	TABLET	1.68	MG
OPASPRAY K-1-3173 GREEN	ORAL	TABLET	1.19	MG
OPASPRAY K-1-3178 GREEN	ORAL	TABLET	1.6	MG
OPASPRAY K-1-3197 GREEN	ORAL	TABLET	1.12	MG
OPASPRAY K-1-3220 GREEN	ORAL	TABLET	1.8	MG
OPASPRAY K-1-3227	ORAL	TABLET	4	MG
OPASPRAY K-1-3227	ORAL	TABLET, COATED	3.2	MG
OPASPRAY K-1-3300-A GREEN	ORAL	TABLET	1.19	MG
OPASPRAY K-1-3300-C GREEN	ORAL	TABLET	2.1	MG
OPASPRAY K-1-3843	ORAL	TABLET, COATED	0.8	MG
OPASPRAY K-1-4108 BLUE	ORAL	TABLET	1.5	MG
OPASPRAY K-1-4108 BLUE	ORAL	TABLET, FILM COATED	1.5	MG
OPASPRAY K-1-4119	ORAL	TABLET	0.6	MG
OPASPRAY K-1-4119	ORAL	TABLET, COATED	0.6	MG
OPASPRAY K-1-4122 BLUE	ORAL	TABLET	2.2	MG
OPASPRAY K-1-4122 BLUE	ORAL	TABLET, FILM COATED	4.5	MG
OPASPRAY K-1-4136 BLUE	ORAL	TABLET, COATED	0.6	MG
OPASPRAY K-1-4136 BLUE	ORAL	TABLET, FILM COATED	3	MG
OPASPRAY K-1-4205 BLUE	ORAL	TABLET, COATED	3	MG
OPASPRAY K-1-4210-A	ORAL	TABLET	3.26	MG
OPASPRAY K-1-4213 BLUE	ORAL	TABLET, FILM COATED	1.75	MG
OPASPRAY K-1-4214	ORAL	TABLET	2.7	MG
OPASPRAY K-1-4214	ORAL	TABLET, COATED	2.7	MG

(Continued)

Ingredient	Route	Dosage Form	Quantity	Unit
OPASPRAY K-1-4234 BLUE	ORAL	TABLET	1.53	MG
OPASPRAY K-1-4235 BLUE	ORAL	TABLET	15.57	MG
OPASPRAY K-1-4728	ORAL	TABLET	4.68	MG
OPASPRAY K-1-4743 LAVENDER	ORAL	TABLET	2.2	MG
OPASPRAY K-1-4786	ORAL	TABLET	2.1	MG
OPASPRAY K-1-4786	ORAL	TABLET, COATED	2.1	MG
OPASPRAY K-1-7000 WHITE	ORAL	TABLET	22.5	MG
OPASPRAY K-1-7000 WHITE	ORAL	TABLET, COATED	0.9	MG
OPASPRAY K-1-7000 WHITE	ORAL	TABLET, FILM COATED	7.5	MG
OPASPRAY K-1-7000 WHITE	ORAL	TABLET, SUSTAINED ACTION	6.25	MG
OPASPRAY K-1-70008 WHITE	ORAL	TABLET	22.4	MG
OPASPRAY K-1-7000B	ORAL	TABLET	15	MG
OPASPRAY K-1-7076	ORAL	TABLET, FILM COATED	1.5	MG
OPASPRAY K-1-9027 BROWN	ORAL	TABLET	1.2	MG
OPASPRAY K-1-9039-L BROWN	ORAL	TABLET	12.2	MG
OPASPRAY K-1-9039-L BROWN	ORAL	TABLET, FILM COATED	4.65	MG
OPASPRAY K-1-9060 RED	ORAL	TABLET	2.9	MG
OPASPRAY K-1-9080 BROWN	ORAL	TABLET	3.28	MG
OPASPRAY K-1-9112 BROWN	ORAL	TABLET	2.7	MG
OPASPRAY L-2113	ORAL	TABLET	2.92	MG
OPASPRAY L-3305 GREEN	ORAL	TABLET	6.34	MG
OPASPRAY L-3306 GREEN	ORAL	TABLET	4.12	MG
OPASPRAY L-7000 WHITE	ORAL	TABLET	3.69	MG
OPASPRAY M-1-2042	ORAL	TABLET, FILM COATED	1.11	MG
OPASPRAY M-1-3459 B ORANGE	ORAL	TABLET	4	MG
OPASPRAY M-1-4395B BLUE	ORAL	TABLET	2.63	MG
OPASPRAY M-1-7111-B	ORAL	TABLET	40	MG
OPASPRAY M-1-7111-B	ORAL	TABLET, FILM COATED	2.9	MG
OPASPRAY M-1-711B WHITE	ORAL	TABLET	27.78	MG
OPASPRAY M-1-7120 WHITE	ORAL	TABLET	4.57	MG
OPASPRAY M-1-7120 WHITE	ORAL	TABLET, FILM COATED	1.52	MG
OPASPRAY WD-1270 PINK	ORAL	TABLET, DELAYED ACTION, ENTERIC COATED	6.7	MG
OPATINT AD-25000 RED	ORAL	TABLET, ORALLY DISINTEGRATING	2.5	MG
OPATINT DD-14000 PINK	ORAL	TABLET, FILM COATED	0.94	MG
OPATINT DD-18000 WHITE	ORAL	TABLET, FILM COATED	0.68	MG
ORANGE OIL	ORAL	TABLET (IMMED./COMP. RELEASE), UNCOATED, CHEWABLE	0.002	MG
ORANGE OIL	SUBLINGUAL	TABLET	0.002	MG
PALMITIC ACID	ORAL	TABLET	6	MG
PARAFFIN	ORAL	TABLET	0.7	MG
PARAFFIN	ORAL	TABLET, COATED	0.07	MG
PARAFFIN	ORAL	TABLET, DELAYED ACTION	0.2	MG
PARAFFIN	ORAL	TABLET, DELAYED RELEASE	0.2	MG
PARAFFIN	ORAL	TABLET, EXTENDED RELEASE	150.2	MG
PARAFFIN	ORAL	TABLET, SUSTAINED ACTION	40	MG
PECTIN	ORAL	TABLET	0.7	MG
PECTIN, CITRUS	ORAL	TABLET	0.35	MG
PEG-20 STEARATE	ORAL	TABLET, SUSTAINED ACTION	0.08	MG
PEPPERMINT OIL	ORAL	TABLET	1908	MG
PEPPERMINT OIL	ORAL	TABLET, ORALLY DISINTEGRATING	0.72	MG
PEPPERMINT OIL	SUBLINGUAL	TABLET	0.15	MG
PHARMABURST B1	ORAL	TABLET, ORALLY DISINTEGRATING	671.13	MG
PHARMABURST B2	ORAL	TABLET, ORALLY DISINTEGRATING	792	MG
PHARMABURST B2	SUBLINGUAL	TABLET	132.75	MG
PHARMABURST C1	ORAL	TABLET, ORALLY DISINTEGRATING	272.47	MG

(Continued)

Ingredient	Route	Dosage Form	Quantity	Unit
PHOSPHORIC ACID	ORAL	TABLET	0.044	MG
PHOSPHORIC ACID	ORAL	TABLET, ORALLY DISINTEGRATING	1	MG
PHOSPHORIC ACID	ORAL	TABLET, SUSTAINED ACTION, FILM COATED	2.98	MG
PIGMENT BLEND 86620 BROWN	ORAL	TABLET	1	MG
PIGMENT BLEND PB-2145 RED	ORAL	TABLET, EXTENDED RELEASE	0.7	MG
PIGMENT BLEND PB-2289 YELLOW	ORAL	TABLET, EXTENDED RELEASE	0.7	MG
PIGMENT BLEND PB-2389 OFF-WHITE	ORAL	TABLET, EXTENDED RELEASE	0.7	MG
PIGMENT BLEND PB-2417 PINK	ORAL	TABLET, EXTENDED RELEASE	1.75	MG
PIGMENT BLEND PB-2418 BLACK	ORAL	TABLET, EXTENDED RELEASE	0.7	MG
PIGMENT BLEND PB-24899 IH	ORAL	TABLET	1.7	MG
PIPERAZINE	ORAL	TABLET	0.4	MG
PLASACRYL	ORAL	TABLET	5.4	MG
PLUSWEET	SUBLINGUAL	TABLET	0.25	MG
POLACRILIN	ORAL	TABLET, CHEWABLE	20	MG
POLACRILIN	ORAL	TABLET, ORALLY DISINTEGRATING	24	MG
POLACRILIN POTASSIUM	ORAL	TABLET	45.8	MG
POLACRILIN POTASSIUM	ORAL	TABLET (IMMED./COMP. RELEASE), FILM COATED	4	MG
POLACRILIN POTASSIUM	ORAL	TABLET (IMMED./COMP. RELEASE), UNCOATED, CHEWABLE	21	MG
POLACRILIN POTASSIUM	ORAL	TABLET, COATED	5	MG
POLACRILIN POTASSIUM	ORAL	TABLET, FILM COATED	40	MG
POLACRILIN POTASSIUM	ORAL	TABLET, ORALLY DISINTEGRATING	40	MG
POLACRILIN POTASSIUM	ORAL	TABLET, SUSTAINED ACTION	10	MG
POLISH WAX 7625 P 100	ORAL	TABLET	0.05	MG
POLISHING SOLUTION IM-182	ORAL	TABLET	0.7	MG
POLOXAMER 188	ORAL	TABLET	66.9	MG
POLOXAMER 188	ORAL	TABLET, COATED	3	MG
POLOXAMER 188	ORAL	TABLET, CONTROLLED RELEASE	5.61	MG
POLOXAMER 188	ORAL	TABLET, DELAYED ACTION	3	MG
POLOXAMER 188	ORAL	TABLET, EXTENDED RELEASE	37	MG
POLOXAMER 407	ORAL	TABLET	110	MG
POLOXAMER 407	ORAL	TABLET, FILM COATED	106.7	MG
POLY(BIS(P-CARBOXYPHENOXY) PROPANE ANHYDRIDE):SEBACIC ACID	IMPLANTATION	WAFER	192.3	MG
POLYCARBOPHIL	BUCCAL	TABLET	3.13	MG
POLYDEXTROSE	ORAL	TABLET, COATED	7.67	MG
POLYDEXTROSE	ORAL	TABLET, EXTENDED RELEASE	8.18	MG
POLYDEXTROSE	ORAL	TABLET, FILM COATED	3.83	MG
POLYDEXTROSE K	ORAL	TABLET, FILM COATED	8.13	MG
POLYETHYLENE GLYCOL 1000	ORAL	TABLET, FILM COATED	1.52	MG
POLYETHYLENE GLYCOL 1450	ORAL	TABLET, EXTENDED RELEASE	4.24	MG
POLYETHYLENE GLYCOL 1450	ORAL	TABLET, FILM COATED	0.13	MG
POLYETHYLENE GLYCOL 1500	ORAL	TABLET	1.2	MG
POLYETHYLENE GLYCOL 20000	ORAL	TABLET	0.86	MG
POLYETHYLENE GLYCOL 20000	ORAL	TABLET, DELAYED ACTION, ENTERIC COATED	0.008	MG
POLYETHYLENE GLYCOL 300	ORAL	TABLET	1.5	MG
POLYETHYLENE GLYCOL 300	ORAL	TABLET, FILM COATED	1.5	MG
POLYETHYLENE GLYCOL 3000	ORAL	TABLET	2.3	MG
POLYETHYLENE GLYCOL 3000	ORAL	TABLET, EXTENDED RELEASE	3	MG
POLYETHYLENE GLYCOL 3350	ORAL	TABLET	25	MG
POLYETHYLENE GLYCOL 3350	ORAL	TABLET (IMMED./COMP. RELEASE), FILM COATED	9.7	MG

(Continued)

Ingredient	Route	Dosage Form	Quantity	Unit
POLYETHYLENE GLYCOL 3350	ORAL	TABLET (IMMED./COMP. RELEASE), UNCOATED, CHEWABLE	15	MG
POLYETHYLENE GLYCOL 3350	ORAL	TABLET, COATED	0.5	MG
POLYETHYLENE GLYCOL 3350	ORAL	TABLET, CONTROLLED RELEASE	1.67	MG
POLYETHYLENE GLYCOL 3350	ORAL	TABLET, DELAYED ACTION	4.4	MG
POLYETHYLENE GLYCOL 3350	ORAL	TABLET, DELAYED RELEASE	2.343	MG
POLYETHYLENE GLYCOL 3350	ORAL	TABLET, EXTENDED RELEASE	20.2	MG
POLYETHYLENE GLYCOL 3350	ORAL	TABLET, FILM COATED	2.42	MG
POLYETHYLENE GLYCOL 3350	ORAL	TABLET, FILM COATED	20	MG
POLYETHYLENE GLYCOL 3350	ORAL	TABLET, SUSTAINED ACTION	6.75	MG
POLYETHYLENE GLYCOL 3350	ORAL	TABLET, SUSTAINED ACTION, COATED	8.5	MG
POLYETHYLENE GLYCOL 3500	ORAL	TABLET	3.05	MG
POLYETHYLENE GLYCOL 400	ORAL	TABLET	105.07	MG
POLYETHYLENE GLYCOL 400	ORAL	TABLET (IMMED./COMP. RELEASE), FILM COATED	20	MG
POLYETHYLENE GLYCOL 400	ORAL	TABLET, COATED	3.15	MG
POLYETHYLENE GLYCOL 400	ORAL	TABLET, DELAYED ACTION	4.57	MG
POLYETHYLENE GLYCOL 400	ORAL	TABLET, DELAYED RELEASE	2	MG
POLYETHYLENE GLYCOL 400	ORAL	TABLET, ENTERIC COATED PARTICLES	12.5	MG
POLYETHYLENE GLYCOL 400	ORAL	TABLET, EXTENDED RELEASE	30	MG
POLYETHYLENE GLYCOL 400	ORAL	TABLET, EXTENDED RELEASE	45	MG
POLYETHYLENE GLYCOL 400	ORAL	TABLET, FILM COATED	5.91	MG
POLYETHYLENE GLYCOL 400	ORAL	TABLET, FILM COATED, EXTENDED RELEASE	1.13	MG
POLYETHYLENE GLYCOL 400	ORAL	TABLET, SUSTAINED ACTION	45	MG
POLYETHYLENE GLYCOL 400	ORAL	TABLET, SUSTAINED ACTION, FILM COATED	1.8	MG
POLYETHYLENE GLYCOL 400	ORAL	TABLET, SUSTAINED RELEASE, FILM COATED	1.28	MG
POLYETHYLENE GLYCOL 4000	ORAL	TABLET	9.4	mg
POLYETHYLENE GLYCOL 4000	ORAL	TABLET	15	MG
POLYETHYLENE GLYCOL 4000	ORAL	TABLET (IMMED./COMP. RELEASE), FILM COATED	1.5	MG
POLYETHYLENE GLYCOL 4000	ORAL	TABLET, COATED	2	MG
POLYETHYLENE GLYCOL 4000	ORAL	TABLET, DELAYED ACTION	1.05	MG
POLYETHYLENE GLYCOL 4000	ORAL	TABLET, DELAYED ACTION, ENTERIC COATED	0.96	MG
POLYETHYLENE GLYCOL 4000	ORAL	TABLET, EXTENDED RELEASE	216.5	MG
POLYETHYLENE GLYCOL 4000	ORAL	TABLET, FILM COATED	4.2	MG
POLYETHYLENE GLYCOL 4000	ORAL	TABLET, MULTILAYER, EXTENDED RELEASE	2.8	MG
POLYETHYLENE GLYCOL 4000	ORAL	TABLET, SUSTAINED ACTION	454	MG
POLYETHYLENE GLYCOL 4000	ORAL	TABLET, SUSTAINED ACTION, FILM COATED	1.8	MG
POLYETHYLENE GLYCOL 4000	SUBLINGUAL	TABLET	2.5	MG
POLYETHYLENE GLYCOL 4500	ORAL	TABLET, FILM COATED	0.39	MG
POLYETHYLENE GLYCOL 600	ORAL	TABLET	6	MG
POLYETHYLENE GLYCOL 600	ORAL	TABLET, EXTENDED RELEASE	2.5	MG
POLYETHYLENE GLYCOL 600	ORAL	TABLET, SUSTAINED ACTION	1.2	MG
POLYETHYLENE GLYCOL 6000	BUCCAL/SUBLINGUAL	TABLET	70	MG
POLYETHYLENE GLYCOL 6000	ORAL	TABLET	36	MG
POLYETHYLENE GLYCOL 6000	ORAL	TABLET	375	MG
POLYETHYLENE GLYCOL 6000	ORAL	TABLET (IMMED./COMP. RELEASE), FILM COATED	3	MG
POLYETHYLENE GLYCOL 6000	ORAL	TABLET, COATED	40	MG
POLYETHYLENE GLYCOL 6000	ORAL	TABLET, DELAYED ACTION	2.5	MG

(Continued)

Ingredient	Route	Dosage Form	Quantity	Unit
POLYETHYLENE GLYCOL 6000	ORAL	TABLET, DELAYED ACTION, ENTERIC COATED	1.14	MG
POLYETHYLENE GLYCOL 6000	ORAL	TABLET, EXTENDED RELEASE	86.4	MG
POLYETHYLENE GLYCOL 6000	ORAL	TABLET, FILM COATED	44	MG
POLYETHYLENE GLYCOL 6000	ORAL	TABLET, MULTILAYER, EXTENDED RELEASE	10	MG
POLYETHYLENE GLYCOL 6000	ORAL	TABLET, SUSTAINED ACTION	12.5	MG
POLYETHYLENE GLYCOL 6000	ORAL	TABLET, SUSTAINED ACTION, COATED	0.5	MG
POLYETHYLENE GLYCOL 6000	ORAL	TABLET, SUSTAINED ACTION, FILM COATED	0.32	MG
POLYETHYLENE GLYCOL 6000	VAGINAL	TABLET	3	MG
POLYETHYLENE GLYCOL 6000	VAGINAL	TABLET, FILM COATED	0.064	MG
POLYETHYLENE GLYCOL 7000	ORAL	TABLET, CONTROLLED RELEASE	132.66	MG
POLYETHYLENE GLYCOL 800	ORAL	TABLET	3.48	MG
POLYETHYLENE GLYCOL 8000	ORAL	TABLET	167.6	MG
POLYETHYLENE GLYCOL 8000	ORAL	TABLET (IMMED./COMP. RELEASE), FILM COATED	5.71	MG
POLYETHYLENE GLYCOL 8000	ORAL	TABLET (IMMED./COMP. RELEASE), UNCOATED, CHEWABLE	6.5	MG
POLYETHYLENE GLYCOL 8000	ORAL	TABLET, COATED	0.21	MG
POLYETHYLENE GLYCOL 8000	ORAL	TABLET, DELAYED ACTION	3.17	MG
POLYETHYLENE GLYCOL 8000	ORAL	TABLET, DELAYED ACTION, ENTERIC COATED	0.75	MG
POLYETHYLENE GLYCOL 8000	ORAL	TABLET, EXTENDED RELEASE	144.6	MG
POLYETHYLENE GLYCOL 8000	ORAL	TABLET, FILM COATED	49	MG
POLYETHYLENE GLYCOL 8000	ORAL	TABLET, MULTILAYER, EXTENDED RELEASE	4.3	MG
POLYETHYLENE GLYCOL 8000	ORAL	TABLET, ORALLY DISINTEGRATING, DELAYED RELEASE	2.55	MG
POLYETHYLENE GLYCOL 8000	ORAL	TABLET, SUSTAINED ACTION	100	MG
POLYETHYLENE GLYCOL 8000	ORAL	TABLET, SUSTAINED ACTION, COATED	14	MG
POLYETHYLENE GLYCOL 8000	ORAL	TABLET, SUSTAINED ACTION, FILM COATED	0.18	MG
POLYETHYLENE GLYCOL 8000	VAGINAL	TABLET	3	MG
POLYETHYLENE GLYCOL, UNSPECIFIED	ORAL	TABLET	4.85	MG
POLYETHYLENE GLYCOL, UNSPECIFIED	ORAL	TABLET, FILM COATED	5.69	mg
POLYETHYLENE OXIDE 1000000	ORAL	TABLET, SUSTAINED ACTION, COATED	336	MG
POLYETHYLENE OXIDE 200000	ORAL	TABLET, CONTROLLED RELEASE	149.39	MG
POLYETHYLENE OXIDE 200000	ORAL	TABLET, EXTENDED RELEASE	345	MG
POLYETHYLENE OXIDE 2000000	ORAL	TABLET, CONTROLLED RELEASE	54.66	MG
POLYETHYLENE OXIDE 2000000	ORAL	TABLET, EXTENDED RELEASE	70	MG
POLYETHYLENE OXIDE 5000000	ORAL	TABLET, EXTENDED RELEASE	142.09	MG
POLYETHYLENE OXIDE 600000	ORAL	TABLET, EXTENDED RELEASE	280.32	MG
POLYETHYLENE OXIDE 7000000	ORAL	TABLET, EXTENDED RELEASE	393.46	MG
POLYETHYLENE OXIDE 900000	ORAL	TABLET, EXTENDED RELEASE	321.75	MG
POLYOXYL 35 CASTOR OIL	ORAL	TABLET	2	MG
POLYOXYL 35 CASTOR OIL	SUBLINGUAL	TABLET	2	mg
POLYOXYL 40 HYDROGENATED CASTOR OIL	ORAL	TABLET	25	MG
POLYOXYL 40 HYDROGENATED CASTOR OIL	ORAL	TABLET, EXTENDED RELEASE	50	MG
POLYOXYL 40 HYDROGENATED CASTOR OIL	ORAL	TABLET, SUSTAINED ACTION	25	MG
POLYOXYL 40 STEARATE	ORAL	TABLET	8.48	MG

(Continued)

Ingredient	Route	Dosage Form	Quantity	Unit
POLYOXYL 40 STEARATE	ORAL	TABLET, FILM COATED	2	MG
POLYOXYL GLYCERYL STEARATE	ORAL	TABLET	23.33	MG
POLYOXYL STEARATE	ORAL	TABLET, COATED	2	MG
POLYOXYLETHYLENE ISONONYLPHENYL ESTER	ORAL	TABLET, SUSTAINED ACTION, COATED	1.54	MG
POLYSACCHARIDES	ORAL	TABLET, DELAYED ACTION, ENTERIC COATED	80.4	MG
POLYSACCHARIDES SOY	ORAL	TABLET	52	MG
POLYSACCHARIDES SOY	ORAL	TABLET, DELAYED ACTION, ENTERIC COATED	53.5	MG
POLYSORBATE 20	ORAL	TABLET	6	MG
POLYSORBATE 20	ORAL	TABLET (IMMED./COMP. RELEASE), FILM COATED	0.35	MG
POLYSORBATE 20	ORAL	TABLET, EXTENDED RELEASE	4.2	MG
POLYSORBATE 80	ORAL	TABLET	21.25	MG
POLYSORBATE 80	ORAL	TABLET (IMMED./COMP. RELEASE), COATED	0.5	MG
POLYSORBATE 80	ORAL	TABLET (IMMED./COMP. RELEASE), FILM COATED	24	MG
POLYSORBATE 80	ORAL	TABLET, COATED	2.2	MG
POLYSORBATE 80	ORAL	TABLET, CONTROLLED RELEASE	1.635	mg
POLYSORBATE 80	ORAL	TABLET, DELAYED ACTION	1.15	MG
POLYSORBATE 80	ORAL	TABLET, DELAYED ACTION, ENTERIC COATED	0.17	MG
POLYSORBATE 80	ORAL	TABLET, DELAYED RELEASE	0.62	MG
POLYSORBATE 80	ORAL	TABLET, EXTENDED RELEASE	8	MG
POLYSORBATE 80	ORAL	TABLET, FILM COATED	14.8	MG
POLYSORBATE 80	ORAL	TABLET, FILM COATED, EXTENDED RELEASE	0.12	MG
POLYSORBATE 80	ORAL	TABLET, ORALLY DISINTEGRATING, DELAYED RELEASE	2.25	MG
POLYSORBATE 80	ORAL	TABLET, SUSTAINED ACTION	0.12	MG
POLYSORBATE 80	ORAL	TABLET, SUSTAINED ACTION, COATED	8	MG
POLYSORBATE 80	ORAL	TABLET, SUSTAINED ACTION, FILM COATED	0.2	MG
POLYSORBATE 80	SUBLINGUAL	TABLET	0.075	MG
POLYSTYRENE SULFONIC ACID	ORAL	TABLET	43.75	MG
POLYVINYL ACETATE	ORAL	TABLET	19.24	MG
POLYVINYL ACETATE	ORAL	TABLET, CHEWABLE	25.82	MG
POLYVINYL ACETATE	ORAL	TABLET, SUSTAINED ACTION	46	MG
POLYVINYL ACETATE	SUBLINGUAL	TABLET	8.07	MG
POLYVINYL ACETATE PHTHALATE	ORAL	TABLET, EXTENDED RELEASE	37.4	MG
POLYVINYL ALCOHOL (108000 MW)	ORAL	TABLET	4.8	mg
POLYVINYL ALCOHOL (108000 MW)	ORAL	TABLET, EXTENDED RELEASE	11.58	mg
POLYVINYL ALCOHOL (94000 MW)	ORAL	TABLET	9.97	mg
POLYVINYL ALCOHOL GRAFT POLYETHYLENE GLYCOL COPOLYMER (3:1; 45000 MW)	ORAL	TABLET, EXTENDED RELEASE	19	MG
POLYVINYL ALCOHOL, UNSPECIFIED	ORAL	TABLET	2.46	MG
POLYVINYL ALCOHOL, UNSPECIFIED	ORAL	TABLET	18.6	mg
POLYVINYL ALCOHOL, UNSPECIFIED	ORAL	TABLET	40	MG
POLYVINYL ALCOHOL, UNSPECIFIED	ORAL	TABLET (IMMED./COMP. RELEASE), FILM COATED	19.2	MG

(Continued)

Ingredient	Route	Dosage Form	Quantity	Unit
POLYVINYL ALCOHOL, UNSPECIFIED	ORAL	TABLET, COATED	0.7	MG
POLYVINYL ALCOHOL, UNSPECIFIED	ORAL	TABLET, CONTROLLED RELEASE	3.32	MG
POLYVINYL ALCOHOL, UNSPECIFIED	ORAL	TABLET, DELAYED ACTION	8.8	MG
POLYVINYL ALCOHOL, UNSPECIFIED	ORAL	TABLET, DELAYED RELEASE	4.64	MG
POLYVINYL ALCOHOL, UNSPECIFIED	ORAL	TABLET, EXTENDED RELEASE	19.07	MG
POLYVINYL ALCOHOL, UNSPECIFIED	ORAL	TABLET, EXTENDED RELEASE	79.4	MG
POLYVINYL ALCOHOL, UNSPECIFIED	ORAL	TABLET, FILM COATED	4.8	MG
POLYVINYL ALCOHOL, UNSPECIFIED	ORAL	TABLET, FILM COATED	6.4	mg
POLYVINYL ALCOHOL, UNSPECIFIED	ORAL	TABLET, FILM COATED	20	MG
POLYVINYL ALCOHOL, UNSPECIFIED	ORAL	TABLET, ORALLY DISINTEGRATING	2	MG
POLYVINYL ALCOHOL, UNSPECIFIED	VAGINAL	TABLET	20.6	MG
POLYVINYL ALCOHOL/POLYVINYL ACETATE COPOLYMER (8:1; 18000 MW)	ORAL	TABLET, EXTENDED RELEASE	10	mg
POLYVINYLACETAL	ORAL	TABLET	41.85	MG
POLYVINYLPIRROLIDONE	ORAL	TABLET	1.71	MG
ETHYLCELLULOSE				
PONCEAU 3R	ORAL	TABLET	93.83	MG
PONCEAU 3R	ORAL	TABLET, COATED	0.1	MG
PONCEAU 3R	ORAL	WAFER	0.05	MG
PONCEAU XYLIDINE	ORAL	TABLET	0.18	MG
POTASSIUM	ORAL	TABLET	1	MG
POTASSIUM BICARBONATE	ORAL	TABLET	12.2	MG
POTASSIUM BICARBONATE	ORAL	TABLET, UNCOATED, LOZENGE	1.06	MG
POTASSIUM BICARBONATE	ORAL	TROCHE	4	MG
POTASSIUM BICARBONATE	TRANSMUCOSAL	TABLET	8	MG
POTASSIUM BITARTRATE	ORAL	TABLET, CONTROLLED RELEASE	10	MG
POTASSIUM BITARTRATE	ORAL	TABLET, EXTENDED RELEASE	9.5	MG
POTASSIUM CARBONATE	ORAL	TABLET	25	MG
POTASSIUM CHLORIDE	ORAL	TABLET	40	MG
POTASSIUM CHLORIDE	ORAL	TABLET, EXTENDED RELEASE	9.9	MG
POTASSIUM PHOSPHATE, MONOBASIC	ORAL	TABLET	25	MG
POTASSIUM PHOSPHATE, MONOBASIC	ORAL	TABLET, SUSTAINED ACTION	4	MG
POTASSIUM SORBATE	ORAL	TABLET	1.4	MG
POTASSIUM SORBATE	ORAL	TABLET, SUSTAINED RELEASE, FILM COATED	0.2	MG
POVIDONE K12	ORAL	TABLETS	40	MG
POVIDONE K25	ORAL	TABLET	52	MG
POVIDONE K25	ORAL	TABLET (IMMED./COMP. RELEASE), FILM COATED	54.47	MG
POVIDONE K25	ORAL	TABLET, CHEWABLE	0.45	MG
POVIDONE K25	ORAL	TABLET, COATED	40	MG
POVIDONE K25	ORAL	TABLET, DELAYED ACTION	12.3	MG
POVIDONE K25	ORAL	TABLET, DELAYED ACTION, ENTERIC COATED	20	MG
POVIDONE K25	ORAL	TABLET, EXTENDED RELEASE	5	MG
POVIDONE K25	ORAL	TABLET, FILM COATED	22.5	MG
POVIDONE K25	ORAL	TABLET, MULTILAYER, EXTENDED RELEASE	1.8	MG
POVIDONE K27	ORAL	TABLET	26.6	MG
POVIDONE K30	BUCCAL	TABLET, EXTENDED RELEASE	0.5	MG
POVIDONE K30	ORAL	TABLET	0.97	mg
POVIDONE K30	ORAL	TABLET	35	MG
POVIDONE K30	ORAL	TABLET	80	MG
POVIDONE K30	ORAL	TABLET	80	MG
POVIDONE K30	ORAL	TABLET (IMMED./COMP. RELEASE), COATED	8	MG

(Continued)

Ingredient	Route	Dosage Form	Quantity	Unit
POVIDONE K30	ORAL	TABLET (IMMED./COMP. RELEASE), FILM COATED	12.5	MG
POVIDONE K30	ORAL	TABLET (IMMED./COMP. RELEASE), UNCOATED, CHEWABLE	10	MG
POVIDONE K30	ORAL	TABLET (IMMED./COMP. RELEASE), UNCOATED, EFFERVESCENT	40	MG
POVIDONE K30	ORAL	TABLET, CHEWABLE	3.2	MG
POVIDONE K30	ORAL	TABLET, CHEWABLE	8.18	MG
POVIDONE K30	ORAL	TABLET, COATED	21	MG
POVIDONE K30	ORAL	TABLET, CONTROLLED RELEASE	20.5	MG
POVIDONE K30	ORAL	TABLET, DELAYED ACTION	50	MG
POVIDONE K30	ORAL	TABLET, DELAYED ACTION, COATED	35	MG
POVIDONE K30	ORAL	TABLET, DELAYED ACTION, ENTERIC COATED	27.2	MG
POVIDONE K30	ORAL	TABLET, DELAYED RELEASE	40.11	MG
POVIDONE K30	ORAL	TABLET, DELAYED RELEASE	48	MG
POVIDONE K30	ORAL	TABLET, DISPERSIBLE	3	MG
POVIDONE K30	ORAL	TABLET, DISPERSIBLE	84	MG
POVIDONE K30	ORAL	TABLET, ENTERIC COATED PARTICLES	18.6	MG
POVIDONE K30	ORAL	TABLET, EXTENDED RELEASE	41.65	MG
POVIDONE K30	ORAL	TABLET, EXTENDED RELEASE	80	MG
POVIDONE K30	ORAL	TABLET, EXTENDED RELEASE	90	mg
POVIDONE K30	ORAL	TABLET, FILM COATED	75	MG
POVIDONE K30	ORAL	TABLET, MULTILAYER, COATED	15	MG
POVIDONE K30	ORAL	TABLET, ORALLY DISINTEGRATING	35.71	MG
POVIDONE K30	ORAL	TABLET, SUSTAINED ACTION	60	MG
POVIDONE K30	ORAL	TABLET, SUSTAINED ACTION, COATED	16	MG
POVIDONE K30	SUBLINGUAL	TABLET	10	MG
POVIDONE K30	SUBLINGUAL	TABLET (IMMED./COMP. RELEASE), UNCOATED, BUCCAL	8	MG
POVIDONE K30	VAGINAL	TABLET	50	MG
POVIDONE K90	ORAL	TABLET	78	MG
POVIDONE K90	ORAL	TABLET, COATED	9.77	MG
POVIDONE K90	ORAL	TABLET, CONTROLLED RELEASE	35	MG
POVIDONE K90	ORAL	TABLET, DELAYED ACTION	15	MG
POVIDONE K90	ORAL	TABLET, DELAYED ACTION, ENTERIC COATED	4	MG
POVIDONE K90	ORAL	TABLET, ENTERIC COATED PARTICLES	27.6	MG
POVIDONE K90	ORAL	TABLET, EXTENDED RELEASE	78	MG
POVIDONE K90	ORAL	TABLET, FILM COATED	44	MG
POVIDONE K90	ORAL	TABLET, SUSTAINED ACTION	40.8	MG
POVIDONE, UNSPECIFIED	ORAL	TABLET (IMMED./COMP. RELEASE), COATED	3	MG
POVIDONE, UNSPECIFIED	ORAL	TABLET (IMMED./COMP. RELEASE), FILM COATED	240	MG
POVIDONE, UNSPECIFIED	ORAL	TABLET (IMMED./COMP. RELEASE), UNCOATED, BUCCAL	4	MG
POVIDONE, UNSPECIFIED	ORAL	TABLET (IMMED./COMP. RELEASE), UNCOATED, CHEWABLE	18	MG
POVIDONE, UNSPECIFIED	ORAL	TABLET, CHEWABLE	21	MG
POVIDONE, UNSPECIFIED	ORAL	TABLET, COATED	49.2	MG
POVIDONE, UNSPECIFIED	ORAL	TABLET, CONTROLLED RELEASE	219.03	MG
POVIDONE, UNSPECIFIED	ORAL	TABLET, DELAYED ACTION	73.7	MG
POVIDONE, UNSPECIFIED	ORAL	TABLET, DELAYED ACTION, ENTERIC COATED	35	MG
POVIDONE, UNSPECIFIED	ORAL	TABLET, DISPERSIBLE	2	MG

(Continued)

Ingredient	Route	Dosage Form	Quantity	Unit
POVIDONE, UNSPECIFIED	ORAL	TABLET, DISPERSIBLE	2	MG
POVIDONE, UNSPECIFIED	ORAL	TABLET, EXTENDED RELEASE	101.33	MG
POVIDONE, UNSPECIFIED	ORAL	TABLET, EXTENDED RELEASE	371.25	MG
POVIDONE, UNSPECIFIED	ORAL	TABLET, FILM COATED	116	MG
POVIDONE, UNSPECIFIED	ORAL	TABLET, ORALLY DISINTEGRATING	15.03	MG
POVIDONE, UNSPECIFIED	ORAL	TABLET, REPEAT ACTION	10	MG
POVIDONE, UNSPECIFIED	ORAL	TABLET, SUSTAINED ACTION	300	MG
POVIDONE, UNSPECIFIED	ORAL	TABLET, SUSTAINED ACTION, COATED	17	MG
POVIDONE, UNSPECIFIED	ORAL	TABLET, SUSTAINED ACTION, FILM COATED	53.33	MG
POVIDONE, UNSPECIFIED	ORAL	TABLET, UNCOATED, TROCHE	35	MG
POVIDONE, UNSPECIFIED	SUBLINGUAL	TABLET	12	MG
POVIDONE, UNSPECIFIED	VAGINAL	TABLET	50	MG
POWDERED CELLULOSE	BUCCAL/SUBLINGUAL	TABLET	4.5	MG
POWDERED CELLULOSE	ORAL	TABLET	560	MG
POWDERED CELLULOSE	ORAL	TABLET, COATED	40.2	MG
POWDERED CELLULOSE	ORAL	TABLET, DELAYED ACTION, ENTERIC COATED	16	MG
POWDERED CELLULOSE	ORAL	TABLET, FILM COATED	391.7	MG
POWDERED CELLULOSE	ORAL	TABLET, SUSTAINED ACTION	110.6	MG
POWDERED CELLULOSE	ORAL	TABLET, SUSTAINED ACTION, COATED	42.25	MG
POWDERED CELLULOSE	SUBLINGUAL	TABLET	2	MG
PRIMAJEL	ORAL	TABLET	33.75	MG
PROPYL GALLATE	ORAL	TABLET	1.36	MG
PROPYL GALLATE	ORAL	TABLET, EXTENDED RELEASE	0.067	MG
PROPYL GALLATE	ORAL	TABLET, FILM COATED	0.04	MG
PROPYL GALLATE	ORAL	TABLET, SUSTAINED ACTION	0.06	MG
PROPYL GALLATE	ORAL	TABLET, SUSTAINED ACTION, COATED	0.04	MG
PROPYLENE GLYCOL	ORAL	TABLET	3	MG
PROPYLENE GLYCOL	ORAL	TABLET	5	MG
PROPYLENE GLYCOL	ORAL	TABLET	5	mg
PROPYLENE GLYCOL	ORAL	TABLET (IMMED./COMP. RELEASE), FILM COATED	0.21	MG
PROPYLENE GLYCOL	ORAL	TABLET, COATED	1.5	MG
PROPYLENE GLYCOL	ORAL	TABLET, DELAYED ACTION	5.83	MG
PROPYLENE GLYCOL	ORAL	TABLET, DELAYED ACTION, ENTERIC COATED	6.95	MG
PROPYLENE GLYCOL	ORAL	TABLET, DELAYED RELEASE	5.97	MG
PROPYLENE GLYCOL	ORAL	TABLET, ENTERIC COATED PARTICLES	4.3	MG
PROPYLENE GLYCOL	ORAL	TABLET, EXTENDED RELEASE	2.44	MG
PROPYLENE GLYCOL	ORAL	TABLET, FILM COATED	14.4	MG
PROPYLENE GLYCOL	ORAL	TABLET, SUSTAINED ACTION	9	MG
PROPYLENE GLYCOL	ORAL	TABLET, SUSTAINED ACTION, FILM COATED	12.8	MG
PROPYLENE GLYCOL ALGINATE	ORAL	TABLET	10	MG
PROPYLENE GLYCOL LAURATES	ORAL	TABLET	10	MG
PROPYLENE GLYCOL MONOLAURATE	ORAL	TABLET	10	MG
PROPYLENE GLYCOL MONOLAURATE	ORAL	TABLET, FILM COATED	6.67	MG
PROPYLPARABEN	ORAL	TABLET	0.2	MG
PROPYLPARABEN	ORAL	TABLET (IMMED./COMP. RELEASE), UNCOATED, CHEWABLE	0.14	MG
PROPYLPARABEN	ORAL	TABLET, COATED	0.002	MG
PROPYLPARABEN	ORAL	TABLET, FILM COATED	0.04	MG
PROPYLPARABEN	ORAL	TABLET, SUSTAINED ACTION	0.12	MG
PROPYLPARABEN SODIUM	ORAL	TABLET	0.063	MG
PROPYLPARABEN SODIUM	ORAL	TABLET, ORALLY DISINTEGRATING	0.1	MG

(Continued)

Ingredient	Route	Dosage Form	Quantity	Unit
PROSOLV	ORAL	TABLET	350.4	MG
PROSOLV	ORAL	TABLET, ORALLY DISINTEGRATING	240.07	MG
PROSOLV 50	ORAL	TABLET	203.4	MG
PROSOLV 50	ORAL	TABLET, EXTENDED RELEASE	315	MG
PROSOLV 50	ORAL	TABLET, SUSTAINED ACTION	217.5	MG
PROSOLV 90	ORAL	TABLET	104.31	MG
PROSOLV 90	ORAL	TABLET, EXTENDED RELEASE	25	MG
PROSOLV 90	SUBLINGUAL	TABLET	18.7	MG
PROSOLV HD 90	ORAL	TABLET	200	MG
PROSOLV HD 90	ORAL	TABLET (IMMED./COMP. RELEASE), FILM COATED	375.52	MG
PROSOLV HD 90	ORAL	TABLET, DELAYED ACTION	148.734	mg
PROSOLV HD 90	ORAL	TABLET, DELAYED RELEASE	117.26	MG
PROSOLV HD 90	ORAL	TABLET, EXTENDED RELEASE	352	MG
PROSOLV SMCC 50	ORAL	TABLET	194	MG
PROSOLV SMCC 50	ORAL	TABLET, EXTENDED RELEASE	162	MG
PROSOLV SMCC 50	ORAL	TABLET, FILM COATED	100	MG
PROSOLV SMCC 50	ORAL	TABLET, ORALLY DISINTEGRATING	119.6	MG
PROSOLV SMCC 90	ORAL	TABLET	568	MG
PROSOLV SMCC 90	ORAL	TABLET (IMMED./COMP. RELEASE), UNCOATED, CHEWABLE	28.4	MG
PROSOLV SMCC 90	ORAL	TABLET, CONTROLLED RELEASE	20	MG
PROSOLV SMCC 90	ORAL	TABLET, DELAYED ACTION	146.2	mg
PROSOLV SMCC 90	ORAL	TABLET, DELAYED ACTION, ENTERIC COATED	158.5	MG
PROSOLV SMCC 90	ORAL	TABLET, EXTENDED RELEASE	536.35	MG
PROSOLV SMCC 90	ORAL	TABLET, FILM COATED	183	MG
PROSOLV SMCC 90	ORAL	TABLET, ORALLY DISINTEGRATING	70	MG
PROSWEET	ORAL	TABLET (IMMED./COMP. RELEASE), UNCOATED, CHEWABLE	5	MG
PROTEIN HYDROLYSATE	ORAL	TABLET, FILM COATED	15	MG
RHODAMINE B	ORAL	TABLET	0.005	MG
RIBOFLAVIN	ORAL	TABLET	0.03	MG
ROSIN	ORAL	TABLET, COATED	10	MG
ROSIN	ORAL	TABLET, REPEAT ACTION	8.99	MG
ROSIN	ORAL	TABLET, SUSTAINED ACTION	9	MG
SACCHARIN	ORAL	TABLET	1.6	MG
SACCHARIN	ORAL	TABLET, EXTENDED RELEASE	8	MG
SACCHARIN	SUBLINGUAL	TABLET	0.2	MG
SACCHARIN SODIUM	BUCCAL/SUBLINGUAL	TABLET	0.4	MG
SACCHARIN SODIUM	ORAL	TABLET	20	MG
SACCHARIN SODIUM	ORAL	TABLET (IMMED./COMP. RELEASE), UNCOATED, CHEWABLE	9	MG
SACCHARIN SODIUM	ORAL	TABLET, CHEWABLE	5	MG
SACCHARIN SODIUM	ORAL	TABLET, DISPERSIBLE	0.5	MG
SACCHARIN SODIUM	ORAL	TABLET, FILM COATED	2	MG
SACCHARIN SODIUM	ORAL	TABLET, ORALLY DISINTEGRATING	2.5	MG
SACCHARIN SODIUM	RECTAL	TABLET	0.6	MG
SACCHARIN SODIUM	SUBLINGUAL	TABLET	6.5	MG
SACCHARIN SODIUM ANHYDROUS	ORAL	TABLET	2.08	MG
SACCHARIN SODIUM ANHYDROUS	ORAL	TABLET (IMMED./COMP. RELEASE), UNCOATED, CHEWABLE	7.5	MG
SACCHARIN SODIUM ANHYDROUS	ORAL	TABLET, FILM COATED	0.32	MG
SACCHARIN SODIUM ANHYDROUS	ORAL	WAFER	2.75	MG
SEPIFILM LP-761 BLANC	ORAL	TABLET	5	MG
SEPIFILM LP-761 BLANC	ORAL	TABLET, DELAYED RELEASE	10	MG

(Continued)

Ingredient	Route	Dosage Form	Quantity	Unit
SEPIPERSE AP 3527	ORAL	TABLET, DELAYED ACTION	10.8	MG
SHELLAC	ORAL	TABLET	24.04	MG
SHELLAC	ORAL	TABLET, COATED	15.2	MG
SHELLAC	ORAL	TABLET, DELAYED ACTION	0.22	MG
SHELLAC	ORAL	TABLET, DELAYED ACTION, ENTERIC COATED	21.44	MG
SHELLAC	ORAL	TABLET, EXTENDED RELEASE	1.24	MG
SHELLAC	ORAL	TABLET, FILM COATED	4.4	MG
SHELLAC	ORAL	TABLET, SUSTAINED ACTION	213.24	MG
SILICA DIMETHYL SILYLATE	ORAL	TABLET	8	MG
SILICA DIMETHYL SILYLATE	ORAL	TABLET (IMMED./COMP. RELEASE), FILM COATED	0.5	MG
SILICA DIMETHYL SILYLATE	ORAL	TABLET, EXTENDED RELEASE	10	MG
SILICA DIMETHYL SILYLATE	ORAL	TABLET, FILM COATED	2.5	mg
SILICA DIMETHYL SILYLATE	SUBLINGUAL	TABLET	0.8	MG
SILICON DIOXIDE	BUCCAL	TABLET	1.25	MG
SILICON DIOXIDE	BUCCAL	TABLET, EXTENDED RELEASE	0.46	MG
SILICON DIOXIDE	ORAL	TABLET	35	MG
SILICON DIOXIDE	ORAL	TABLET	2.2	MG
SILICON DIOXIDE	ORAL	TABLET	24	MG
SILICON DIOXIDE	ORAL	TABLET	99	MG
SILICON DIOXIDE	ORAL	TABLET	138.5	mg
SILICON DIOXIDE	ORAL	TABLET (IMMED./COMP. RELEASE), COATED	6	MG
SILICON DIOXIDE	ORAL	TABLET (IMMED./COMP. RELEASE), FILM COATED	138	MG
SILICON DIOXIDE	ORAL	TABLET (IMMED./COMP. RELEASE), UNCOATED, CHEWABLE	84.8	MG
SILICON DIOXIDE	ORAL	TABLET, CHEWABLE	1.25	MG
SILICON DIOXIDE	ORAL	TABLET, CHEWABLE	25	MG
SILICON DIOXIDE	ORAL	TABLET, COATED	24	MG
SILICON DIOXIDE	ORAL	TABLET, CONTROLLED RELEASE	1	mg
SILICON DIOXIDE	ORAL	TABLET, CONTROLLED RELEASE	5.2	MG
SILICON DIOXIDE	ORAL	TABLET, DELAYED ACTION	170	MG
SILICON DIOXIDE	ORAL	TABLET, DELAYED ACTION, COATED	85	MG
SILICON DIOXIDE	ORAL	TABLET, DELAYED ACTION, ENTERIC COATED	85	MG
SILICON DIOXIDE	ORAL	TABLET, DELAYED RELEASE	5	MG
SILICON DIOXIDE	ORAL	TABLET, DELAYED RELEASE	7	MG
SILICON DIOXIDE	ORAL	TABLET, DISPERSIBLE	6	MG
SILICON DIOXIDE	ORAL	TABLET, DISPERSIBLE	16	MG
SILICON DIOXIDE	ORAL	TABLET, ENTERIC COATED PARTICLES	3	MG
SILICON DIOXIDE	ORAL	TABLET, EXTENDED RELEASE	13.5	MG
SILICON DIOXIDE	ORAL	TABLET, EXTENDED RELEASE	70	MG
SILICON DIOXIDE	ORAL	TABLET, FILM COATED	1.2	MG
SILICON DIOXIDE	ORAL	TABLET, FILM COATED	8	mg
SILICON DIOXIDE	ORAL	TABLET, FILM COATED	33	MG
SILICON DIOXIDE	ORAL	TABLET, FILM COATED, EXTENDED RELEASE	17.5	MG
SILICON DIOXIDE	ORAL	TABLET, FOR SUSPENSION	6.25	MG
SILICON DIOXIDE	ORAL	TABLET, ORALLY DISINTEGRATING	7.6	MG
SILICON DIOXIDE	ORAL	TABLET, ORALLY DISINTEGRATING	20	MG
SILICON DIOXIDE	ORAL	TABLET, ORALLY DISINTEGRATING, DELAYED RELEASE	11.5	MG
SILICON DIOXIDE	ORAL	TABLET, SUGAR COATED	0.8	MG
SILICON DIOXIDE	ORAL	TABLET, SUSTAINED ACTION	48	MG

(Continued)

Ingredient	Route	Dosage Form	Quantity	Unit
SILICON DIOXIDE	ORAL	TABLET, SUSTAINED ACTION, COATED	7	MG
SILICON DIOXIDE	ORAL	TABLET, SUSTAINED ACTION, FILM COATED	60	MG
SILICON DIOXIDE	ORAL	TABLET, SUSTAINED RELEASE, FILM COATED	30	MG
SILICON DIOXIDE	SUBLINGUAL	TABLET	0.5	MG
SILICON DIOXIDE	SUBLINGUAL	TABLET	10	MG
SILICON DIOXIDE	VAGINAL	TABLET	0.8	mg
SILICON DIOXIDE	VAGINAL	TABLET	8	MG
SILODRATE	ORAL	TABLET	10.56	MG
SILODRATE	ORAL	TABLET, ORALLY DISINTEGRATING	6	MG
SIMETHICONE	ORAL	TABLET	1.5	MG
SIMETHICONE	ORAL	TABLET, DELAYED ACTION, ENTERIC COATED	0.56	MG
SIMETHICONE	ORAL	TABLET, EXTENDED RELEASE	1.04	MG
SIMETHICONE	ORAL	TABLET, FILM COATED	0.18	MG
SIMETHICONE	ORAL	TABLET, ORALLY DISINTEGRATING	0.04	MG
SIMETHICONE	ORAL	TABLET, SUSTAINED ACTION	7.5	MG
SIMETHICONE C	ORAL	TABLET, EXTENDED RELEASE	0.08	MG
SIMETHICONE EMULSION	ORAL	TABLET	0.7	MG
SIMETHICONE EMULSION	ORAL	TABLET (IMMED./COMP. RELEASE), FILM COATED	0.12	MG
SIMETHICONE EMULSION	ORAL	TABLET, COATED	0.009	MG
SIMETHICONE EMULSION	ORAL	TABLET, DELAYED ACTION	0.38	MG
SIMETHICONE EMULSION	ORAL	TABLET, DELAYED ACTION, ENTERIC COATED	0.04	MG
SIMETHICONE EMULSION	ORAL	TABLET, SUSTAINED ACTION	0.07	MG
SIMETHICONE EMULSION	ORAL	TABLET, SUSTAINED ACTION, COATED	1.41	MG
SOAP	ORAL	TABLET, SUSTAINED ACTION	0.6	MG
SODIUM ALGINATE	ORAL	TABLET	110	MG
SODIUM ALGINATE	ORAL	TABLET, CONTROLLED RELEASE	262	MG
SODIUM ALGINATE	ORAL	TABLET, EXTENDED RELEASE	313.7	MG
SODIUM ALGINATE	ORAL	TABLET, SUSTAINED ACTION	350	MG
SODIUM ALGINATE	ORAL	TABLET, SUSTAINED ACTION, FILM COATED	320	MG
SODIUM ALGINATE	ORAL	TABLET, UNCOATED, LOZENGE	12.1	MG
SODIUM ALGINATE	ORAL	TROCHE	64.31	MG
SODIUM ALUMINOSILICATE	ORAL	TABLET	94	MG
SODIUM ALUMINOSILICATE	ORAL	TABLET, EXTENDED RELEASE	94	MG
SODIUM ALUMINOSILICATE	ORAL	TABLET, SUSTAINED ACTION	2	MG
SODIUM ASCORBATE	ORAL	TABLET	5	MG
SODIUM BENZOATE	ORAL	TABLET	5	MG
SODIUM BENZOATE	ORAL	TABLET (IMMED./COMP. RELEASE), UNCOATED, EFFERVESCENT	60	MG
SODIUM BENZOATE	ORAL	TABLET, COATED	9	MG
SODIUM BENZOATE	ORAL	TABLET, DELAYED ACTION, ENTERIC COATED	0.34	MG
SODIUM BENZOATE	ORAL	TABLET, FILM COATED	0.02	MG
SODIUM BICARBONATE	BUCCAL	TABLET	42	MG
SODIUM BICARBONATE	ORAL	TABLET	125	MG
SODIUM BICARBONATE	ORAL	TABLET (IMMED./COMP. RELEASE), FILM COATED	4.24	MG
SODIUM BICARBONATE	ORAL	TABLET (IMMED./COMP. RELEASE), UNCOATED, CHEWABLE	140	MG
SODIUM BICARBONATE	ORAL	TABLET (IMMED./COMP. RELEASE), UNCOATED, EFFERVESCENT	267	MG

(Continued)

Ingredient	Route	Dosage Form	Quantity	Unit
SODIUM BICARBONATE	ORAL	TABLET, COATED	9	MG
SODIUM BICARBONATE	ORAL	TABLET, DELAYED ACTION, ENTERIC COATED	30	MG
SODIUM BICARBONATE	ORAL	TABLET, DELAYED RELEASE	0.43	MG
SODIUM BICARBONATE	ORAL	TABLET, EFFERVESCENT, FOR SOLUTION	1600	MG
SODIUM BICARBONATE	ORAL	TABLET, EXTENDED RELEASE	40.4	MG
SODIUM BICARBONATE	ORAL	TABLET, FILM COATED	40	MG
SODIUM BICARBONATE	ORAL	TABLET, ORALLY DISINTEGRATING	30	MG
SODIUM BICARBONATE	ORAL	TABLET, SUSTAINED ACTION	2.56	MG
SODIUM BICARBONATE	ORAL	TABLET, SUSTAINED ACTION, COATED	2.56	MG
SODIUM BICARBONATE	SUBLINGUAL	TABLET	3.25	MG
SODIUM BICARBONATE	VAGINAL	TABLET	43	MG
SODIUM BISULFITE	ORAL	TABLET	0.65	MG
SODIUM BISULFITE	SUBLINGUAL	TABLET	0.5	MG
SODIUM BITARTRATE	ORAL	TABLET, SUSTAINED ACTION	306	MG
SODIUM CARBONATE	BUCCAL	TABLET	20	MG
SODIUM CARBONATE	ORAL	TABLET	10.4	MG
SODIUM CARBONATE	ORAL	TABLET (IMMED./COMP. RELEASE), FILM COATED	8	MG
SODIUM CARBONATE	ORAL	TABLET, DELAYED ACTION	15	MG
SODIUM CARBONATE	ORAL	TABLET, DELAYED ACTION, ENTERIC COATED	10	MG
SODIUM CARBONATE	ORAL	TABLET, EFFERVESCENT, FOR SOLUTION	430	MG
SODIUM CARBONATE	ORAL	TABLET, EXTENDED RELEASE	30	MG
SODIUM CARBONATE	ORAL	TABLET, FILM COATED	30	MG
SODIUM CARBONATE	ORAL	TABLET, UNCOATED, LOZENGE	8.55	MG
SODIUM CARBONATE	ORAL	TROCHE	25	MG
SODIUM CARBONATE	SUBLINGUAL	TABLET	7.5	MG
SODIUM CARBONATE MONOHYDRATE	ORAL	TABLET	30	MG
SODIUM CARBONATE MONOHYDRATE	ORAL	TABLET, FILM COATED	104	mg
SODIUM CASEINATE	ORAL	TABLET	100	MG
SODIUM CHLORIDE	ORAL	TABLET	150	MG
SODIUM CHLORIDE	ORAL	TABLET (IMMED./COMP. RELEASE), UNCOATED, CHEWABLE	7.5	MG
SODIUM CHLORIDE	ORAL	TABLET, CONTROLLED RELEASE	36	MG
SODIUM CHLORIDE	ORAL	TABLET, DELAYED ACTION	24	MG
SODIUM CHLORIDE	ORAL	TABLET, DELAYED RELEASE	30	MG
SODIUM CHLORIDE	ORAL	TABLET, EXTENDED RELEASE	335.1	MG
SODIUM CHLORIDE	ORAL	TABLET, FILM COATED	100	MG
SODIUM CHLORIDE	ORAL	TABLET, ORALLY DISINTEGRATING	10	MG
SODIUM CHLORIDE	ORAL	TABLET, SUSTAINED ACTION	143.26	MG
SODIUM CHLORIDE	ORAL	TABLET, SUSTAINED ACTION, COATED	46.8	MG
SODIUM CITRATE, UNSPECIFIED FORM	ORAL	TABLET	80	MG
SODIUM CITRATE, UNSPECIFIED FORM	ORAL	TABLET	275	MG
SODIUM CITRATE, UNSPECIFIED FORM	ORAL	TABLET (IMMED./COMP. RELEASE), UNCOATED, CHEWABLE	300	MG
SODIUM CITRATE, UNSPECIFIED FORM	ORAL	TABLET, COATED	19	MG
SODIUM CITRATE, UNSPECIFIED FORM	ORAL	TABLET, DELAYED ACTION, ENTERIC COATED	82	MG
SODIUM CITRATE, UNSPECIFIED FORM	ORAL	TABLET, EXTENDED RELEASE	100	MG
SODIUM CITRATE, UNSPECIFIED FORM	ORAL	TABLET, EXTENDED RELEASE	110	MG
SODIUM CITRATE, UNSPECIFIED FORM	ORAL	TABLET, FILM COATED	200	MG
SODIUM CITRATE, UNSPECIFIED FORM	SUBLINGUAL	TABLET (IMMED./COMP. RELEASE), UNCOATED, BUCCAL	3.52	MG

(Continued)

Ingredient	Route	Dosage Form	Quantity	Unit
SODIUM HYDROXIDE	ORAL	TABLET	7	MG
SODIUM HYDROXIDE	ORAL	TABLET, DELAYED ACTION	1.24	MG
SODIUM HYDROXIDE	ORAL	TABLET, DELAYED ACTION, ENTERIC COATED	0.32	MG
SODIUM HYDROXIDE	ORAL	TABLET, DELAYED RELEASE	0.1	MG
SODIUM HYDROXIDE	ORAL	TABLET, EXTENDED RELEASE	5.33	MG
SODIUM HYDROXIDE	ORAL	TABLET, ORALLY DISINTEGRATING	0.16	MG
SODIUM HYDROXIDE	ORAL	TABLET, SUSTAINED ACTION	0.4	MG
SODIUM LAURETH-3 SULFATE	ORAL	TABLET	0.91	MG
SODIUM LAURYL SULFATE	BUCCAL	TABLET	5.18	MG
SODIUM LAURYL SULFATE	BUCCAL	TABLET, EXTENDED RELEASE	5.18	MG
SODIUM LAURYL SULFATE	BUCCAL/SUBLINGUAL	TABLET	1.1	MG
SODIUM LAURYL SULFATE	ORAL	TABLET	51.69	MG
SODIUM LAURYL SULFATE	ORAL	TABLET (IMMED./COMP. RELEASE), COATED	1	MG
SODIUM LAURYL SULFATE	ORAL	TABLET (IMMED./COMP. RELEASE), FILM COATED	4.8	MG
SODIUM LAURYL SULFATE	ORAL	TABLET (IMMED./COMP. RELEASE), UNCOATED, CHEWABLE	5	MG
SODIUM LAURYL SULFATE	ORAL	TABLET, CHEWABLE	2	MG
SODIUM LAURYL SULFATE	ORAL	TABLET, COATED	5.2	MG
SODIUM LAURYL SULFATE	ORAL	TABLET, CONTROLLED RELEASE	0.48	mg
SODIUM LAURYL SULFATE	ORAL	TABLET, DELAYED ACTION	4	MG
SODIUM LAURYL SULFATE	ORAL	TABLET, DELAYED ACTION, ENTERIC COATED	8.09	MG
SODIUM LAURYL SULFATE	ORAL	TABLET, DELAYED RELEASE	3	MG
SODIUM LAURYL SULFATE	ORAL	TABLET, DISPERSIBLE	48	MG
SODIUM LAURYL SULFATE	ORAL	TABLET, EXTENDED RELEASE	51.69	MG
SODIUM LAURYL SULFATE	ORAL	TABLET, FILM COATED	20	MG
SODIUM LAURYL SULFATE	ORAL	TABLET, MULTILAYER, EXTENDED RELEASE	0.8	MG
SODIUM LAURYL SULFATE	ORAL	TABLET, ORALLY DISINTEGRATING	2	MG
SODIUM LAURYL SULFATE	ORAL	TABLET, SUSTAINED ACTION	20.62	MG
SODIUM LAURYL SULFATE	ORAL	TABLET, SUSTAINED ACTION, COATED	10.5	MG
SODIUM LAURYL SULFATE	SUBLINGUAL	TABLET	0.02	MG
SODIUM LAURYL SULFATE	VAGINAL	TABLET	5	MG
SODIUM LAURYL SULFONATE	ORAL	TABLET	4.5	MG
SODIUM METABISULFITE	ORAL	TABLET	8	MG
SODIUM METABISULFITE	RECTAL	TABLET	2	MG
SODIUM METABISULFITE	SUBLINGUAL	TABLET	2	MG
SODIUM PHOSPHATE	ORAL	TABLET	16	MG
SODIUM PHOSPHATE, DIBASIC, ANHYDROUS	ORAL	TABLET	96	MG
SODIUM PHOSPHATE, DIBASIC, ANHYDROUS	ORAL	TABLET, EXTENDED RELEASE	105	MG
SODIUM PHOSPHATE, DIBASIC, ANHYDROUS	ORAL	TABLET, FILM COATED	0.015	MG
SODIUM PHOSPHATE, DIBASIC, ANHYDROUS	ORAL	TABLET, SUSTAINED ACTION	110	MG
SODIUM PHOSPHATE, DIBASIC, ANHYDROUS	ORAL	TABLET, UNCOATED, LOZENGE	31	MG
SODIUM PHOSPHATE, DIBASIC, ANHYDROUS	ORAL	TABLET, UNCOATED, TROCHE	28	MG
SODIUM PHOSPHATE, DIBASIC, HEPTAHYDRATE	ORAL	TABLET	80	MG
SODIUM PHOSPHATE, DIBASIC, HEPTAHYDRATE	ORAL	TABLET, COATED	0.22	MG

(Continued)

Ingredient	Route	Dosage Form	Quantity	Unit
SODIUM PHOSPHATE, DIBASIC, HEPTAHYDRATE	ORAL	TABLET, DELAYED ACTION, ENTERIC COATED	16.24	MG
SODIUM PHOSPHATE, DIBASIC, HEPTAHYDRATE	ORAL	TABLET, SUSTAINED ACTION	70	MG
SODIUM PHOSPHATE, DIBASIC, HEPTAHYDRATE	ORAL	TABLET, SUSTAINED ACTION, FILM COATED	105	MG
SODIUM PHOSPHATE, DIBASIC, UNSPECIFIED FORM	ORAL	TABLET, EXTENDED RELEASE	71.5	MG
SODIUM PHOSPHATE, DIBASIC, UNSPECIFIED FORM	ORAL	TABLET, UNCOATED, LOZENGE	31	MG
SODIUM PHOSPHATE, DIBASIC, UNSPECIFIED FORM	TRANSMUCOSAL	TABLET, UNCOATED, LOZENGE	28	MG
SODIUM PHOSPHATE, MONOBASIC, ANHYDROUS	ORAL	TABLET	4.18	MG
SODIUM PHOSPHATE, MONOBASIC, ANHYDROUS	ORAL	TABLET, DELAYED ACTION, ENTERIC COATED	23.4	MG
SODIUM PHOSPHATE, MONOBASIC, ANHYDROUS	ORAL	TABLET, SUSTAINED ACTION, FILM COATED	30.97	MG
SODIUM PHOSPHATE, MONOBASIC, MONOHYDRATE	ORAL	TABLET	31.72	MG
SODIUM PHOSPHATE, MONOBASIC, MONOHYDRATE	ORAL	TABLET, DELAYED ACTION, ENTERIC COATED	35	MG
SODIUM PHOSPHATE, MONOBASIC, MONOHYDRATE	ORAL	TABLET, FILM COATED	0.11	MG
SODIUM PHOSPHATE, MONOBASIC, UNSPECIFIED FORM	ORAL	TABLET	1.38	MG
SODIUM PHOSPHATE, TRIBASIC, MONOHYDRATE	ORAL	TABLET	88	MG
SODIUM PHOSPHATE, TRIBASIC, MONOHYDRATE	ORAL	TABLET, DELAYED ACTION, ENTERIC COATED	24.5	MG
SODIUM POLYSTYRENE SULFONATE	ORAL	TABLET, SUSTAINED RELEASE, FILM COATED	18	MG
SODIUM STARCH GLYCOLATE TYPE A CORN	ORAL	TABLET	72	MG
SODIUM STARCH GLYCOLATE TYPE A CORN	ORAL	TABLET (IMMED./COMP. RELEASE), COATED	6	MG
SODIUM STARCH GLYCOLATE TYPE A CORN	ORAL	TABLET, DELAYED ACTION	8	MG
SODIUM STARCH GLYCOLATE TYPE A CORN	ORAL	TABLET, DELAYED RELEASE	10	MG
SODIUM STARCH GLYCOLATE TYPE A POTATO	BUCCAL	TABLET	8.3	MG
SODIUM STARCH GLYCOLATE TYPE A POTATO	ORAL	TABLET	68	MG
SODIUM STARCH GLYCOLATE TYPE A POTATO	ORAL	TABLET	876	MG
SODIUM STARCH GLYCOLATE TYPE A POTATO	ORAL	TABLET (IMMED./COMP. RELEASE), COATED	17	MG
SODIUM STARCH GLYCOLATE TYPE A POTATO	ORAL	TABLET (IMMED./COMP. RELEASE), FILM COATED	20	MG
SODIUM STARCH GLYCOLATE TYPE A POTATO	ORAL	TABLET (IMMED./COMP. RELEASE), UNCOATED, CHEWABLE	50	MG
SODIUM STARCH GLYCOLATE TYPE A POTATO	ORAL	TABLET, CHEWABLE	7.5	MG
SODIUM STARCH GLYCOLATE TYPE A POTATO	ORAL	TABLET, CHEWABLE	75	MG
SODIUM STARCH GLYCOLATE TYPE A POTATO	ORAL	TABLET, COATED	73	MG

(Continued)

Ingredient	Route	Dosage Form	Quantity	Unit
SODIUM STARCH GLYCOLATE TYPE A POTATO	ORAL	TABLET, CONTROLLED RELEASE	25.86	MG
SODIUM STARCH GLYCOLATE TYPE A POTATO	ORAL	TABLET, DELAYED ACTION	5.8	mg
SODIUM STARCH GLYCOLATE TYPE A POTATO	ORAL	TABLET, DELAYED ACTION	34	MG
SODIUM STARCH GLYCOLATE TYPE A POTATO	ORAL	TABLET, DELAYED ACTION, ENTERIC COATED	21	MG
SODIUM STARCH GLYCOLATE TYPE A POTATO	ORAL	TABLET, DELAYED RELEASE	34	MG
SODIUM STARCH GLYCOLATE TYPE A POTATO	ORAL	TABLET, DELAYED RELEASE	60.5	MG
SODIUM STARCH GLYCOLATE TYPE A POTATO	ORAL	TABLET, DISPERSIBLE	2.4	MG
SODIUM STARCH GLYCOLATE TYPE A POTATO	ORAL	TABLET, ENTERIC COATED PARTICLES	12	MG
SODIUM STARCH GLYCOLATE TYPE A POTATO	ORAL	TABLET, EXTENDED RELEASE	48	MG
SODIUM STARCH GLYCOLATE TYPE A POTATO	ORAL	TABLET, FILM COATED	22	mg
SODIUM STARCH GLYCOLATE TYPE A POTATO	ORAL	TABLET, FILM COATED	90	MG
SODIUM STARCH GLYCOLATE TYPE A POTATO	ORAL	TABLET, MULTILAYER, COATED	2	MG
SODIUM STARCH GLYCOLATE TYPE A POTATO	ORAL	TABLET, ORALLY DISINTEGRATING	71.43	MG
SODIUM STARCH GLYCOLATE TYPE A POTATO	ORAL	TABLET, SUSTAINED ACTION	15	MG
SODIUM STARCH GLYCOLATE TYPE A POTATO	ORAL	TABLET, SUSTAINED ACTION, FILM COATED	10	MG
SODIUM STARCH GLYCOLATE TYPE A POTATO	SUBLINGUAL	TABLET	5.5	MG
SODIUM STARCH GLYCOLATE TYPE A POTATO	TRANSMUCOSAL	TABLET	10	MG
SODIUM STARCH GLYCOLATE TYPE B POTATO	ORAL	TABLET	36	MG
SODIUM STARCH GLYCOLATE TYPE B POTATO	ORAL	TABLET, DELAYED ACTION, ENTERIC COATED	7.98	MG
SODIUM STARCH GLYCOLATE TYPE B POTATO	ORAL	TABLET, FILM COATED	12	MG
SODIUM STEARATE	ORAL	TABLET	9.48	MG
SODIUM STEARATE	ORAL	TABLET (IMMED./COMP. RELEASE), UNCOATED, BUCCAL	3.2	MG
SODIUM STEARATE	ORAL	TABLET, DELAYED ACTION	10	MG
SODIUM STEARATE	ORAL	TABLET, ORALLY DISINTEGRATING	0.85	MG
SODIUM STEARYL FUMARATE	ORAL	TABLET	29.3	MG
SODIUM STEARYL FUMARATE	ORAL	TABLET (IMMED./COMP. RELEASE), FILM COATED	12	MG
SODIUM STEARYL FUMARATE	ORAL	TABLET (IMMED./COMP. RELEASE), UNCOATED, CHEWABLE	6.3	MG
SODIUM STEARYL FUMARATE	ORAL	TABLET, COATED	1.18	MG
SODIUM STEARYL FUMARATE	ORAL	TABLET, CONTROLLED RELEASE	2	MG
SODIUM STEARYL FUMARATE	ORAL	TABLET, DELAYED ACTION	7	MG
SODIUM STEARYL FUMARATE	ORAL	TABLET, DELAYED ACTION, ENTERIC COATED	27	MG
SODIUM STEARYL FUMARATE	ORAL	TABLET, DELAYED RELEASE	3	MG
SODIUM STEARYL FUMARATE	ORAL	TABLET, EXTENDED RELEASE	20	MG
SODIUM STEARYL FUMARATE	ORAL	TABLET, FILM COATED	26	MG

(Continued)

Ingredient	Route	Dosage Form	Quantity	Unit
SODIUM STEARYL FUMARATE	ORAL	TABLET, ORALLY DISINTEGRATING	17.1	MG
SODIUM STEARYL FUMARATE	ORAL	TABLET, SUSTAINED ACTION	8.9	MG
SODIUM STEARYL FUMARATE	ORAL	TABLET, SUSTAINED ACTION, COATED	4	MG
SODIUM STEARYL FUMARATE	ORAL	TABLET, SUSTAINED ACTION, FILM COATED	16	MG
SODIUM STEARYL FUMARATE	SUBLINGUAL	TABLET	10	MG
SODIUM SULFATE	ORAL	TABLET	182	MG
SODIUM SULFATE	ORAL	TABLET, DELAYED ACTION, ENTERIC COATED	16.24	MG
SODIUM SULFATE ANHYDROUS	ORAL	TABLET	105.1	MG
SODIUM THIOSULFATE	ORAL	TABLET	3	MG
SODIUM THIOSULFATE ANHYDROUS	ORAL	TABLET	0.6	MG
SODIUM TRIPOLYPHOSPHATE, UNSPECIFIED FORM	ORAL	TABLET	8	MG
SOLVENT ORANGE 2	ORAL	TABLET, COATED	0.07	MG
SOLVENT RED 49	ORAL	TABLET	2	MG
SORBIC ACID	ORAL	TABLET	0.94	MG
SORBIC ACID	ORAL	TABLET, DELAYED ACTION, ENTERIC COATED	0.028	MG
SORBIC ACID	ORAL	TABLET, SUSTAINED ACTION, FILM COATED	0.4	MG
SORBIC ACID	SUBLINGUAL	TABLET	0.16	MG
SORBITAN MONOLAURATE	ORAL	TABLET	66.7	MG
SORBITAN MONOLAURATE	ORAL	TABLET, FILM COATED	83.9	MG
SORBITAN MONOOLEATE	ORAL	TABLET	1.7	MG
SORBITAN MONOOLEATE	ORAL	TABLET, COATED	0.13	MG
SORBITAN MONOOLEATE	ORAL	TABLET, DELAYED ACTION, ENTERIC COATED	1.89	MG
SORBITAN MONOOLEATE	ORAL	TABLET, FILM COATED	0.69	MG
SORBITAN MONOOLEATE	ORAL	TABLET, SUSTAINED ACTION	7.8	MG
SORBITAN MONOOLEATE	ORAL	TABLET, SUSTAINED ACTION, FILM COATED	1	MG
SORBITOL	ORAL	TABLET	337.28	MG
SORBITOL	ORAL	TABLET (IMMED./COMP. RELEASE), UNCOATED, CHEWABLE	316	MG
SORBITOL	ORAL	TABLET, COATED	12.96	MG
SORBITOL	ORAL	TABLET, EXTENDED RELEASE	47.4	MG
SORBITOL	ORAL	TABLET, FILM COATED	5	MG
SORBITOL	ORAL	TABLET, ORALLY DISINTEGRATING	7	MG
SORBITOL	ORAL	TABLET, SUSTAINED ACTION	53.75	MG
SORBITOL	SUBLINGUAL	TABLET	50.5	MG
SORBITOL SOLUTION	ORAL	TABLET	14	MG
SOYBEAN OIL	ORAL	TABLET (IMMED./COMP. RELEASE), UNCOATED, CHEWABLE	0.14	MG
SPEARMINT	ORAL	TABLET (IMMED./COMP. RELEASE), UNCOATED, CHEWABLE	2.1	MG
SPEARMINT	ORAL	TABLET, ORALLY DISINTEGRATING	0.063	MG
SPEARMINT OIL	SUBLINGUAL	TABLET	0.4	MG
SPECTRABLEND CSL-15764 (BLUE)	ORAL	TABLET	5.91	MG
STAR ANISE	ORAL	TABLET	50	MG
STARCH	BUCCAL	TABLET	22.5	MG
STARCH	BUCCAL/SUBLINGUAL	TABLET	14.19	MG
STARCH	ORAL	TABLET	615.6	MG
STARCH	ORAL	TABLET (IMMED./COMP. RELEASE), FILM COATED	64.8	MG
STARCH	ORAL	TABLET (IMMED./COMP. RELEASE), UNCOATED, CHEWABLE	25.75	MG
STARCH	ORAL	TABLET, COATED	210	MG

(Continued)

Ingredient	Route	Dosage Form	Quantity	Unit
STARCH	ORAL	TABLET, DELAYED ACTION	95	MG
STARCH	ORAL	TABLET, DELAYED ACTION, ENTERIC COATED	100	MG
STARCH	ORAL	TABLET, DELAYED RELEASE	71.5	MG
STARCH	ORAL	TABLET, FILM COATED	160	MG
STARCH	ORAL	TABLET, SUGAR COATED	43.25	MG
STARCH	ORAL	TABLET, SUSTAINED ACTION	50.39	MG
STARCH	ORAL	TABLET, SUSTAINED ACTION, COATED	27	MG
STARCH	ORAL	TABLET, SUSTAINED ACTION, FILM COATED	74.3	MG
STARCH	RECTAL	TABLET	55	MG
STARCH	SUBLINGUAL	TABLET	75	MG
STARCH	VAGINAL	TABLET	305	MG
STARCH 7150	ORAL	TABLET	50	MG
STARCH 826	ORAL	TABLET	138	MG
STARCH 826	ORAL	TABLET, FILM COATED	10	MG
STARCH 826	SUBLINGUAL	TABLET	12	MG
STARCH, CORN	BUCCAL	TABLET	16.6	MG
STARCH, CORN	ORAL	TABLET	10	mg
STARCH, CORN	ORAL	TABLET	20	MG
STARCH, CORN	ORAL	TABLET	57	MG
STARCH, CORN	ORAL	TABLET	150	MG
STARCH, CORN	ORAL	TABLET	170	mg
STARCH, CORN	ORAL	TABLET	180	MG
STARCH, CORN	ORAL	TABLET	482	MG
STARCH, CORN	ORAL	TABLET (IMMED./COMP. RELEASE), COATED	28	MG
STARCH, CORN	ORAL	TABLET (IMMED./COMP. RELEASE), FILM COATED	24	MG
STARCH, CORN	ORAL	TABLET (IMMED./COMP. RELEASE), UNCOATED, CHEWABLE	170	MG
STARCH, CORN	ORAL	TABLET, CHEWABLE	10	MG
STARCH, CORN	ORAL	TABLET, CHEWABLE	30	MG
STARCH, CORN	ORAL	TABLET, COATED	285	MG
STARCH, CORN	ORAL	TABLET, DELAYED ACTION	101.8	MG
STARCH, CORN	ORAL	TABLET, DELAYED ACTION, ENTERIC COATED	54	MG
STARCH, CORN	ORAL	TABLET, DELAYED RELEASE	15	MG
STARCH, CORN	ORAL	TABLET, EXTENDED RELEASE	1.5	mg
STARCH, CORN	ORAL	TABLET, EXTENDED RELEASE	32.3	MG
STARCH, CORN	ORAL	TABLET, EXTENDED RELEASE	33.3	MG
STARCH, CORN	ORAL	TABLET, EXTENDED RELEASE	250	MG
STARCH, CORN	ORAL	TABLET, FILM COATED	16	mg
STARCH, CORN	ORAL	TABLET, FILM COATED	232	MG
STARCH, CORN	ORAL	TABLET, MULTILAYER, EXTENDED RELEASE	10	MG
STARCH, CORN	ORAL	TABLET, ORALLY DISINTEGRATING	30	MG
STARCH, CORN	ORAL	TABLET, ORALLY DISINTEGRATING	45	MG
STARCH, CORN	ORAL	TABLET, REPEAT ACTION	32	MG
STARCH, CORN	ORAL	TABLET, SUSTAINED ACTION	92	MG
STARCH, CORN	ORAL	TABLET, SUSTAINED ACTION, FILM COATED	74.3	MG
STARCH, CORN	SUBLINGUAL	TABLET	136.44	MG
STARCH, CORN	SUBLINGUAL	TABLET (IMMED./COMP. RELEASE), UNCOATED, BUCCAL	32	MG
STARCH, CORN	VAGINAL	TABLET	210	MG
STARCH, CORN	VAGINAL	TABLET, FILM COATED	8	MG

(Continued)

Ingredient	Route	Dosage Form	Quantity	Unit
STARCH, MODIFIED	ORAL	TABLET	50	MG
STARCH, POTATO	ORAL	TABLET	80.59	MG
STARCH, POTATO	ORAL	TABLET, COATED	2.1	MG
STARCH, PREGELATINIZED	ORAL	TABLET	345.95	MG
STARCH, PREGELATINIZED	ORAL	TABLET (IMMED./COMP. RELEASE), COATED	125	MG
STARCH, PREGELATINIZED	ORAL	TABLET (IMMED./COMP. RELEASE), FILM COATED	71.35	MG
STARCH, PREGELATINIZED	ORAL	TABLET (IMMED./COMP. RELEASE), UNCOATED, CHEWABLE	32	MG
STARCH, PREGELATINIZED	ORAL	TABLET, COATED	73	MG
STARCH, PREGELATINIZED	ORAL	TABLET, CONTROLLED RELEASE	86.94	MG
STARCH, PREGELATINIZED	ORAL	TABLET, DELAYED ACTION	60	MG
STARCH, PREGELATINIZED	ORAL	TABLET, DELAYED ACTION, ENTERIC COATED	64.8	MG
STARCH, PREGELATINIZED	ORAL	TABLET, DELAYED RELEASE	22.5	MG
STARCH, PREGELATINIZED	ORAL	TABLET, EXTENDED RELEASE	252.8	MG
STARCH, PREGELATINIZED	ORAL	TABLET, FILM COATED	240	MG
STARCH, PREGELATINIZED	ORAL	TABLET, ORALLY DISINTEGRATING	80	MG
STARCH, PREGELATINIZED	ORAL	TABLET, SUGAR COATED	9.4	MG
STARCH, PREGELATINIZED	ORAL	TABLET, SUSTAINED ACTION	60	MG
STARCH, PREGELATINIZED	ORAL	TABLET, SUSTAINED ACTION, COATED	33.75	MG
STARCH, PREGELATINIZED	ORAL	TABLET, SUSTAINED ACTION, FILM COATED	75	MG
STARCH, PREGELATINIZED	ORAL	TABLET, UNCOATED, LOZENGE	80	MG
STARCH, PREGELATINIZED	SUBLINGUAL	TABLET	60	MG
STARCH, RICE	ORAL	TABLET, SUSTAINED ACTION	301	MG
STARCH, TAPIOCA	ORAL	TABLET	5	MG
STARCH, WHEAT	ORAL	TABLET	65.59	MG
STARCH, WHEAT	ORAL	TABLET, FILM COATED	49	MG
STEAR-O-WET C	ORAL	TABLET	12	MG
STEAR-O-WET C	ORAL	TABLET, SUSTAINED ACTION	10	MG
STEAR-O-WET M	ORAL	TABLET	860	MG
STEAR-O-WET M	ORAL	TABLET (IMMED./COMP. RELEASE), FILM COATED	13.11	MG
STEAR-O-WET M	ORAL	TABLET, COATED	5.5	MG
STEAR-O-WET M	ORAL	TABLET, CONTROLLED RELEASE	11	MG
STEAR-O-WET M	ORAL	TABLET, DELAYED ACTION, ENTERIC COATED	1.2	MG
STEAR-O-WET M	ORAL	TABLET, EXTENDED RELEASE	4	MG
STEAR-O-WET M	ORAL	TABLET, FILM COATED	18	MG
STEARATES	ORAL	TABLET	4.5	MG
STEARIC ACID	BUCCAL	TABLET	5	MG
STEARIC ACID	BUCCAL/SUBLINGUAL	TABLET	6	MG
STEARIC ACID	ORAL	TABLET	72	MG
STEARIC ACID	ORAL	TABLET (IMMED./COMP. RELEASE), COATED	5.3	MG
STEARIC ACID	ORAL	TABLET (IMMED./COMP. RELEASE), UNCOATED, CHEWABLE	15	MG
STEARIC ACID	ORAL	TABLET, CHEWABLE	10	MG
STEARIC ACID	ORAL	TABLET, COATED	42.4	MG
STEARIC ACID	ORAL	TABLET, CONTROLLED RELEASE	1.6	MG
STEARIC ACID	ORAL	TABLET, DELAYED ACTION	15	MG
STEARIC ACID	ORAL	TABLET, DELAYED ACTION	20	mg
STEARIC ACID	ORAL	TABLET, DELAYED ACTION, ENTERIC COATED	16	MG
STEARIC ACID	ORAL	TABLET, DELAYED RELEASE	4.5	MG

(Continued)

Ingredient	Route	Dosage Form	Quantity	Unit
STEARIC ACID	ORAL	TABLET, ENTERIC COATED PARTICLES	5	MG
STEARIC ACID	ORAL	TABLET, EXTENDED RELEASE	180	MG
STEARIC ACID	ORAL	TABLET, FILM COATED	22	MG
STEARIC ACID	ORAL	TABLET, ORALLY DISINTEGRATING	10	MG
STEARIC ACID	ORAL	TABLET, SUGAR COATED	0.9	MG
STEARIC ACID	ORAL	TABLET, SUSTAINED ACTION	187.5	MG
STEARIC ACID	ORAL	TABLET, SUSTAINED RELEASE, FILM COATED	9	MG
STEARIC ACID	SUBLINGUAL	TABLET	5.05	MG
STEARIC ACID	VAGINAL	TABLET	60	MG
STEAROYL POLYOXYLGLYCERIDES	ORAL	TABLET	2.6	MG
STEARYL ALCOHOL	ORAL	TABLET, CONTROLLED RELEASE	59	MG
STEARYL ALCOHOL	ORAL	TABLET, EXTENDED RELEASE	20	MG
STEARYL ALCOHOL	ORAL	TABLET, SUSTAINED ACTION	244	MG
STEARYL ALCOHOL	ORAL	TABLET, SUSTAINED ACTION, FILM COATED	60	MG
STRAWBERRY	ORAL	TABLET, ORALLY DISINTEGRATING	1	MG
STRAWBERRY	ORAL	TABLET, ORALLY DISINTEGRATING, DELAYED RELEASE	3	MG
SUCCINIC ACID	ORAL	TABLET	65.1	MG
SUCCINIC ACID	ORAL	TABLET (IMMED./COMP. RELEASE), UNCOATED, CHEWABLE	2.86	MG
SUCCINIC ACID	ORAL	TABLET, CONTROLLED RELEASE	4	MG
SUCCINIC ACID	ORAL	TABLET, EXTENDED RELEASE	307.06	MG
SUCRALOSE	ORAL	TABLET	15	MG
SUCRALOSE	ORAL	TABLET (IMMED./COMP. RELEASE), UNCOATED, CHEWABLE	1.88	MG
SUCRALOSE	ORAL	TABLET, CHEWABLE	4	MG
SUCRALOSE	ORAL	TABLET, EFFERVESCENT, FOR SOLUTION	30	MG
SUCRALOSE	ORAL	TABLET, ORALLY DISINTEGRATING	12	MG
SUCRALOSE	ORAL	TABLET, UNCOATED, LOZENGE	0.76	MG
SUCRALOSE	ORAL	TROCHE	4	MG
SUCRALOSE	SUBLINGUAL	TABLET	1.5	MG
SUCRALOSE	SUBLINGUAL	TABLET (IMMED./COMP. RELEASE), UNCOATED, BUCCAL	0.52	MG
SUCROSE	BUCCAL	TABLET	16.6	MG
SUCROSE	BUCCAL/SUBLINGUAL	TABLET	91	MG
SUCROSE	ORAL	TABLET	182.4	MG
SUCROSE	ORAL	TABLET	9700	MG
SUCROSE	ORAL	TABLET (IMMED./COMP. RELEASE), UNCOATED, CHEWABLE	2400	MG
SUCROSE	ORAL	TABLET, CHEWABLE	14.78	MG
SUCROSE	ORAL	TABLET, COATED	400	MG
SUCROSE	ORAL	TABLET, DELAYED ACTION, ENTERIC COATED	279.5	MG
SUCROSE	ORAL	TABLET, DELAYED RELEASE	33.5	MG
SUCROSE	ORAL	TABLET, EXTENDED RELEASE	185.07	MG
SUCROSE	ORAL	TABLET, FILM COATED	200	MG
SUCROSE	ORAL	TABLET, REPEAT ACTION	129.55	MG
SUCROSE	ORAL	TABLET, SUGAR COATED	73.18	MG
SUCROSE	ORAL	TABLET, SUSTAINED ACTION	284.54	MG
SUCROSE	ORAL	TABLET, SUSTAINED ACTION, FILM COATED	119.12	MG
SUCROSE	ORAL	TABLET, UNCOATED, LOZENGE	1255	MG
SUCROSE	SUBLINGUAL	TABLET	17	MG

(Continued)

Ingredient	Route	Dosage Form	Quantity	Unit
SUCROSE	TRANSMUCOSAL	TABLET, UNCOATED, LOZENGE	100.35	MG
SUCROSE STEARATE	ORAL	TABLET, EXTENDED RELEASE	44.56	MG
SUCROSE STEARATE	ORAL	TABLET, FILM COATED	7.4	MG
SUGAR SPHERES	ORAL	TABLET	10	MG
SUGAR SPHERES	ORAL	TABLET (IMMED./COMP. RELEASE), COATED	10	MG
SUGAR SPHERES	ORAL	TABLET, DELAYED ACTION	22	MG
SUGAR SPHERES	ORAL	TABLET, DELAYED RELEASE	28	MG
SUGAR SPHERES	ORAL	TABLET, EXTENDED RELEASE	126.7	MG
SUGAR SPHERES	ORAL	TABLET, ORALLY DISINTEGRATING	61.57	MG
SUGAR SPHERES	ORAL	TABLET, ORALLY DISINTEGRATING, DELAYED RELEASE	70	MG
SURELEASE E-7-7050	ORAL	TABLET, EXTENDED RELEASE	200	MG
SURELEASE E-719010 CLEAR	ORAL	TABLET, DELAYED ACTION, ENTERIC COATED	2.5	MG
SURELEASE E-719010 CLEAR	ORAL	TABLET, EXTENDED RELEASE	167.46	MG
SYNCHRON ORAL CARRIER	ORAL	TABLET, SUSTAINED ACTION	475	MG
SYNCHRON ORAL CARRIER BASE KF	ORAL	TABLET, SUSTAINED ACTION	30	MG
SYNCHRON ORAL CARRIER VEHICLE TYPE EM	ORAL	TABLET, SUSTAINED ACTION	220	MG
SYNTHETIC IRON OXIDES	ORAL	TABLET, ORALLY DISINTEGRATING	0.1	MG
TALC	BUCCAL	TABLET	1.5	MG
TALC	BUCCAL/SUBLINGUAL	TABLET	15	MG
TALC	ORAL	TABLET	3.32	MG
TALC	ORAL	TABLET	91.2	MG
TALC	ORAL	TABLET (IMMED./COMP. RELEASE), COATED	3	MG
TALC	ORAL	TABLET (IMMED./COMP. RELEASE), FILM COATED	22.8	MG
TALC	ORAL	TABLET (IMMED./COMP. RELEASE), UNCOATED, BUCCAL	1.6	MG
TALC	ORAL	TABLET (IMMED./COMP. RELEASE), UNCOATED, CHEWABLE	18	MG
TALC	ORAL	TABLET, CHEWABLE	30	MG
TALC	ORAL	TABLET, COATED	320.75	MG
TALC	ORAL	TABLET, CONTROLLED RELEASE	31.61	MG
TALC	ORAL	TABLET, DELAYED ACTION	28.8	MG
TALC	ORAL	TABLET, DELAYED ACTION, COATED	27.8	MG
TALC	ORAL	TABLET, DELAYED ACTION, ENTERIC COATED	110	MG
TALC	ORAL	TABLET, DELAYED RELEASE	22.302	MG
TALC	ORAL	TABLET, DISPERSIBLE	2	MG
TALC	ORAL	TABLET, DISPERSIBLE	2	MG
TALC	ORAL	TABLET, ENTERIC COATED PARTICLES	6.5	MG
TALC	ORAL	TABLET, EXTENDED RELEASE	102.8	MG
TALC	ORAL	TABLET, FILM COATED	1.78	MG
TALC	ORAL	TABLET, FILM COATED	54.72	MG
TALC	ORAL	TABLET, MULTILAYER, EXTENDED RELEASE	26	MG
TALC	ORAL	TABLET, ORALLY DISINTEGRATING	36	MG
TALC	ORAL	TABLET, ORALLY DISINTEGRATING, DELAYED RELEASE	59.5	MG
TALC	ORAL	TABLET, REPEAT ACTION	73.93	MG
TALC	ORAL	TABLET, SUSTAINED ACTION	91	MG
TALC	ORAL	TABLET, SUSTAINED ACTION, COATED	29.3	MG

(Continued)

Ingredient	Route	Dosage Form	Quantity	Unit
TALC	ORAL	TABLET, SUSTAINED ACTION, FILM COATED	30	MG
TALC	RECTAL	TABLET	32.4	MG
TALC	SUBLINGUAL	TABLET	32.4	MG
TARTARIC ACID	ORAL	TABLET	40	MG
TARTARIC ACID	ORAL	TABLET, COATED	10	MG
TARTARIC ACID	ORAL	TABLET, EXTENDED RELEASE	75	MG
TARTARIC ACID	ORAL	TABLET, FILM COATED	30	MG
TARTARIC ACID	ORAL	TABLET, FOR SUSPENSION	7	MG
TARTARIC ACID	ORAL	TABLET, ORALLY DISINTEGRATING	45	MG
TARTARIC ACID	ORAL	TABLET, SUSTAINED ACTION	29.2	MG
TARTARIC ACID	SUBLINGUAL	TABLET	1.5	MG
TETRACHLOROETHYLENE	ORAL	TABLET, DELAYED ACTION, ENTERIC COATED	702	MG
TITANIUM DIOXIDE	ORAL	TABLET	1.42	MG
TITANIUM DIOXIDE	ORAL	TABLET	2.87	MG
TITANIUM DIOXIDE	ORAL	TABLET	10.63	mg
TITANIUM DIOXIDE	ORAL	TABLET (IMMED./COMP. RELEASE), FILM COATED	11.91	MG
TITANIUM DIOXIDE	ORAL	TABLET, COATED	10.57	MG
TITANIUM DIOXIDE	ORAL	TABLET, CONTROLLED RELEASE	2.46	MG
TITANIUM DIOXIDE	ORAL	TABLET, DELAYED ACTION	7.8	MG
TITANIUM DIOXIDE	ORAL	TABLET, DELAYED ACTION, ENTERIC COATED	6	MG
TITANIUM DIOXIDE	ORAL	TABLET, DELAYED RELEASE	4.94	MG
TITANIUM DIOXIDE	ORAL	TABLET, ENTERIC COATED PARTICLES	15	MG
TITANIUM DIOXIDE	ORAL	TABLET, EXTENDED RELEASE	49.27	MG
TITANIUM DIOXIDE	ORAL	TABLET, FILM COATED	2.76	MG
TITANIUM DIOXIDE	ORAL	TABLET, FILM COATED	24.23	MG
TITANIUM DIOXIDE	ORAL	TABLET, FILM COATED, EXTENDED RELEASE	5.563	MG
TITANIUM DIOXIDE	ORAL	TABLET, MULTILAYER, EXTENDED RELEASE	18	MG
TITANIUM DIOXIDE	ORAL	TABLET, ORALLY DISINTEGRATING, DELAYED RELEASE	7	MG
TITANIUM DIOXIDE	ORAL	TABLET, SUSTAINED ACTION	10	MG
TITANIUM DIOXIDE	ORAL	TABLET, SUSTAINED ACTION, COATED	4.17	MG
TITANIUM DIOXIDE	ORAL	TABLET, SUSTAINED ACTION, FILM COATED	3	MG
TITANIUM DIOXIDE	ORAL	TABLET, SUSTAINED RELEASE, FILM COATED	4.72	MG
TOCOPHEROL	ORAL	TABLET	0.08	MG
TOCOPHERSOLAN	ORAL	TABLET	42.5	MG
TOCOPHERSOLAN	ORAL	TABLET, FILM COATED	28.33	MG
TRAGACANTH	BUCCAL/SUBLINGUAL	TABLET	5	MG
TRAGACANTH	ORAL	TABLET	5	MG
TRAGACANTH	ORAL	TABLET, COATED	7.5	MG
TRIACETIN	ORAL	TABLET	6	MG
TRIACETIN	ORAL	TABLET (IMMED./COMP. RELEASE), FILM COATED	0.72	MG
TRIACETIN	ORAL	TABLET, CHEWABLE	1.36	MG
TRIACETIN	ORAL	TABLET, COATED	1	MG
TRIACETIN	ORAL	TABLET, DELAYED ACTION, ENTERIC COATED	6	MG
TRIACETIN	ORAL	TABLET, EXTENDED RELEASE	8	MG
TRIACETIN	ORAL	TABLET, FILM COATED	15.12	MG

(Continued)

Ingredient	Route	Dosage Form	Quantity	Unit
TRIACETIN	ORAL	TABLET, SUSTAINED ACTION	1.96	MG
TRIBASIC CALCIUM PHOSPHATE	BUCCAL/SUBLINGUAL	TABLET	99.2	MG
TRIBASIC CALCIUM PHOSPHATE	ORAL	TABLET	282	MG
TRIBASIC CALCIUM PHOSPHATE	ORAL	TABLET (IMMED./COMP. RELEASE), FILM COATED	21.8	MG
TRIBASIC CALCIUM PHOSPHATE	ORAL	TABLET (IMMED./COMP. RELEASE), UNCOATED, CHEWABLE	130	MG
TRIBASIC CALCIUM PHOSPHATE	ORAL	TABLET, COATED	40	MG
TRIBASIC CALCIUM PHOSPHATE	ORAL	TABLET, DELAYED ACTION, ENTERIC COATED	333.3	MG
TRIBASIC CALCIUM PHOSPHATE	ORAL	TABLET, EXTENDED RELEASE	125	MG
TRIBASIC CALCIUM PHOSPHATE	ORAL	TABLET, SUSTAINED ACTION	100	MG
TRIBEHENIN	ORAL	TABLET	4.8	MG
TRICALCIUM PHOSPHATE	ORAL	TABLET, EXTENDED RELEASE	100	MG
TRICETEARETH-4 PHOSPHATE	ORAL	TABLET	180	MG
TRIETHYL CITRATE	ORAL	TABLET	0.5625	mg
TRIETHYL CITRATE	ORAL	TABLET (IMMED./COMP. RELEASE), UNCOATED, CHEWABLE	2.8	MG
TRIETHYL CITRATE	ORAL	TABLET, CONTROLLED RELEASE	1.4	MG
TRIETHYL CITRATE	ORAL	TABLET, CONTROLLED RELEASE	2.269	mg
TRIETHYL CITRATE	ORAL	TABLET, DELAYED ACTION	15.1	MG
TRIETHYL CITRATE	ORAL	TABLET, DELAYED ACTION, ENTERIC COATED	20.18	MG
TRIETHYL CITRATE	ORAL	TABLET, DELAYED RELEASE	12.135	MG
TRIETHYL CITRATE	ORAL	TABLET, EXTENDED RELEASE	14.3	MG
TRIETHYL CITRATE	ORAL	TABLET, FILM COATED	3.6	MG
TRIETHYL CITRATE	ORAL	TABLET, ORALLY DISINTEGRATING	4	MG
TRIETHYL CITRATE	ORAL	TABLET, ORALLY DISINTEGRATING, DELAYED RELEASE	18.7	MG
TRIETHYL CITRATE	ORAL	TABLET, SUSTAINED ACTION	2.28	MG
TRIETHYL CITRATE	ORAL	TABLET, SUSTAINED ACTION, FILM COATED	0.5	MG
TRIMYRISTIN	ORAL	TABLET	16	MG
TRISODIUM CITRATE DIHYDRATE	ORAL	TABLET	110	MG
TRISODIUM CITRATE DIHYDRATE	ORAL	TABLET, EXTENDED RELEASE	101	MG
TRISODIUM CITRATE DIHYDRATE	SUBLINGUAL	TABLET	17	MG
TROMETHAMINE	ORAL	TABLET	14.01	MG
TY-MED FILLER, BLUE	ORAL	TABLET	80	MG
UREA	ORAL	TABLET, COATED	0.018	MG
UREA	ORAL	TABLET, SUSTAINED ACTION	0.01	MG
UREA	VAGINAL	TABLET	50	MG
VANILLA	ORAL	TABLET	0.8	mg
VANILLA	ORAL	TABLET, ORALLY DISINTEGRATING	0.41	MG
VANILLIN	ORAL	TABLET	1.5	MG
VANILLIN	ORAL	TABLET (IMMED./COMP. RELEASE), UNCOATED, CHEWABLE	2.5	MG
VANILLIN	ORAL	TABLET, COATED	65.5	MG
VANILLIN	ORAL	TABLET, DELAYED ACTION	0.8	MG
VANILLIN	ORAL	TABLET, DELAYED ACTION, ENTERIC COATED	1.16	MG
VANILLIN	ORAL	TABLET, ENTERIC COATED PARTICLES	0.7	MG
VANILLIN	ORAL	TABLET, FILM COATED	0.78	MG
VANILLIN	ORAL	TABLET, SUSTAINED ACTION	3.4	MG
VANILLIN	ORAL	TABLET, SUSTAINED ACTION, FILM COATED	0.8	MG
VEGETABLE OIL	ORAL	TABLET	25	MG

(Continued)

Ingredient	Route	Dosage Form	Quantity	Unit
VEGETABLE OIL GLYCERIDE, HYDROGENATED	ORAL	TABLET, SUSTAINED ACTION	35	MG
VEGETABLE OIL, HYDROGENATED	ORAL	TABLET	40	MG
VEGETABLE OIL, HYDROGENATED	ORAL	TABLET (IMMED./COMP. RELEASE), UNCOATED, CHEWABLE	8	MG
VEGETABLE OIL, HYDROGENATED	ORAL	TABLET, COATED	2	MG
VEGETABLE OIL, HYDROGENATED	ORAL	TABLET, EXTENDED RELEASE	240	MG
VEGETABLE OIL, HYDROGENATED	ORAL	TABLET, FILM COATED	33	MG
VEGETABLE OIL, HYDROGENATED	ORAL	TABLET, SUSTAINED ACTION	228.5	MG
VEGETABLE OIL, HYDROGENATED	SUBLINGUAL	TABLET	0.9	MG
VELVETINE BLACK POWDER	ORAL	TABLET	0.025	MG
WAX	ORAL	TABLET	0.02	MG
WAX, VEGETABLE	ORAL	TABLET, ENTERIC COATED PARTICLES	2.5	MG
WHEAT	ORAL	TABLET	1.16	MG
WHITE WAX	ORAL	TABLET	5	MG
WHITE WAX	ORAL	TABLET, COATED	3	MG
WHITE WAX	ORAL	TABLET, FILM COATED	0.2	MG
WHITE WAX	ORAL	TABLET, REPEAT ACTION	0.037	MG
WHITE WAX	ORAL	TABLET, SUSTAINED ACTION	14	MG
XANTHAN GUM	ORAL	TABLET	14	MG
XANTHAN GUM	ORAL	TABLET, CHEWABLE	4	MG
XANTHAN GUM	ORAL	TABLET, CONTROLLED RELEASE	109.52	MG
XANTHAN GUM	ORAL	TABLET, EXTENDED RELEASE	154	MG
XANTHAN GUM	ORAL	TABLET, FILM COATED	0.079	MG
XANTHAN GUM	ORAL	TABLET, ORALLY DISINTEGRATING	0.15	MG
XANTHAN GUM	ORAL	TABLET, SUSTAINED ACTION	50	MG
XANTHAN GUM	ORAL	TABLET, UNCOATED, LOZENGE	2.12	MG
XANTHAN GUM	ORAL	TROCHE	63.2	MG
XYLITOL	ORAL	TABLET	85.99	MG
XYLITOL	ORAL	TABLET, EXTENDED RELEASE	72	MG
XYLITOL	ORAL	TABLET, ORALLY DISINTEGRATING	80	MG
XYLITOL 300	ORAL	TABLET, ORALLY DISINTEGRATING	10	MG
YELLOW WAX	ORAL	TABLET	3.22	MG
YELLOW WAX	ORAL	TABLET, COATED	0.53	MG
YELLOW WAX	ORAL	TABLET, COATED	0.65	MG
YELLOW WAX	ORAL	TABLET, DELAYED ACTION, ENTERIC COATED	0.1	MG
ZEIN	ORAL	TABLET, COATED	3.23	MG
ZEIN	ORAL	TABLET, DELAYED ACTION, ENTERIC COATED	1.87	MG
ZEIN	ORAL	TABLET, EXTENDED RELEASE	135	MG
ZEIN	ORAL	TABLET, FILM COATED	5.75	MG
ZEIN	ORAL	TABLET, REPEAT ACTION	4.71	MG
ZEIN	ORAL	TABLET, SUSTAINED ACTION	135	MG
ZINC CHLORIDE	ORAL	TABLET	7	MG
ZINC STEARATE	ORAL	TABLET	10.2	MG
ZINC STEARATE	ORAL	TABLET, EXTENDED RELEASE	15	MG
ZINC STEARATE	ORAL	TABLET, FILM COATED	15.2	MG
ZINC STEARATE	ORAL	TABLET, SUSTAINED ACTION	36	MG
ZINC SULFATE MONOHYDRATE	ORAL	TABLET, ORALLY DISINTEGRATING	3.5	MG
ZINC SULFATE, UNSPECIFIED FORM	ORAL	TABLET	15	MG



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Appendix C

DISSOLUTION TESTING REQUIREMENTS OF COMPRESSED DOSAGE FORMS

Drug Name	Dosage Form	USP Apparatus	Speed (RPMs)	Medium	Volume (mL)	Recommended Sampling Times (minutes)	Date Updated
Abacavir Sulfate	Tablet	II (Paddle)	75	0.1 N HCl	900	5, 10, 15, and 30	03/22/2006
Abacavir Sulfate/Dolutegravir Sodium/Lamivudine	Tablet	II (Paddle)	85	0.01 M Phosphate Buffer with 0.5% sodium dodecyl sulfate (SDS), pH 6.8	900	Abacavir and lamivudine: 10, 15, 20, 30 and 45; Dolutegravir: 5, 15, 25, 35 and 45.	05/28/2015
Abacavir Sulfate/Lamivudine	Tablet	II (Paddle)	75	0.1 N HCl	900	10, 20, 30, and 45	01/03/2007
Abacavir Sulfate/Lamivudine/Zidovudine	Tablet	II (Paddle)	75	0.1 N HCl	Acid Stage: 900 mL; Buffer Stage: 1000 mL	5, 10, 15, 30 and 45	01/03/2007
Abemaciclib	Tablet	II (Paddle)	75	0.01 N HCl	900	5, 10, 15, 20 and 30	11/16/2017
Abraterone Acetate	Tablet	II (Paddle)	50	0.25% SLS in 56.5 mM phosphate buffer, pH 4.5	900	10, 20, 30, 45 and 60	02/28/2013
Acamprosate Calcium	Tablet (Delayed Release)	I (Basket)	180	Acid Stage: 0.1 N HCl Buffer Stage: "Citrate-sodium hydroxide" buffer pH 6.8 (150 ml of 2N NaOH, 21.014 gm of citric acid and ultra-pure water to 1000 ml) (Method B)	Media 1: 750 mL pH 1.1 ± 0.1; Media 2: 950 mL pH 6.0 ± 0.1; Media 3: 1000 mL pH 7.5 ± 0.1	120 (Acid) 30, 60, 90, 120, and 180 (buffer)	12/20/2005
Acarbose	Tablet	II (Paddle)	75	Water (deaerated)	900	10, 15, 20, 30 and 45	03/22/2006
Acetaminophen	Tablet (Extended Release)			Refer to USP			03/03/2011
Acetaminophen/Aspirin/Caffeine	Tablet			Refer to USP			06/25/2015
Acetaminophen/Butalbital	Tablet	II (Paddle)	50	Water (deaerated)	900	15, 30, 45, 60 and 90	01/03/2007
Acetaminophen/Butalbital/Caffeine	Tablet	II (Paddle)	50	Water	900	10, 15, 30, 45 and 60	01/14/2008
Acetaminophen/Caffeine/Dihydrocodeine Bitartrate	Tablet			Water			07/25/2007
Acetaminophen/Hydrocodone Bitartrate	Tablet			Refer to USP (provide individual unit data).			08/15/2013
Acetaminophen/Oxycodone	Tablet			Refer to USP			01/14/2008
Acetaminophen/Oxycodone	Tablet (Extended Release)	II (Paddle) with sinker	100	0.1 N HCl	900	0.25, 0.5, 1, 2, 4, 6 and 8 hours	11/19/2015
Oxycodone HCl	Tablet	I (Basket)	100	Water (deaerated)	900	10, 20, 30, 45 and 60	01/12/2004
Acetaminophen/Pentazocine HCl	Tablet			Refer to USP			01/15/2010
Acetaminophen/Propoxyphene Napsylate	Tablet			Refer to USP			01/15/2010
Acetaminophen/Tramadol HCl	Tablet	II (Paddle)	50	0.1 N HCl	900	5, 10, 15, 20 and 30	03/04/2006
Acetazolamide	Tablet			Refer to USP			07/21/2011
Acetazolamide	Tablet			Refer to USP			07/14/2008
Acetylcysteine	Tablet (Effervescent)			Develop a dissolution method			07/28/2016
Acyclovir	Tablet			Refer to USP			06/18/2007

(Continued)

Drug Name	Dosage Form	USP Apparatus	Speed (RPMs)	Medium	Volume (mL)	Recommended Sampling Times (minutes)	Date Updated
Acyclovir	Tablet (Buccal)	I (Basket)	60	Phosphate Buffer, pH 6.0	1000	1, 2, 3, 5, 7, 9 and 12 hours	08/27/2015
Adefovir Dipivoxil	Tablet	II (Paddle)	50	0.01 N HCl	600	10, 20, 30, 45 and 60	04/10/2008
Aflatinib Dimaleate	Tablet	II (Paddle)	75	McIlvaine Buffer pH 4.0	900	5, 10, 15, 20 and 30	05/28/2015
Albendazole	Tablet			Refer to USP			08/15/2013
Albendazole	Tablet (Chewable)	II (Paddle)	50	0.1 N HCl	900	10, 15, 20, 30 and 45	03/17/2016
Albuterol Sulfate	Tablet			Refer to USP			09/03/2008
Albuterol Sulfate	Tablet (Extended Release)	II (Paddle)	50	0.1 N HCl	900	1, 2, 4, 6, 9 and 12 hours	04/09/2007
Alendronate Sodium	Tablet			Refer to USP			01/14/2008
Alendronate Sodium/ Cholecalciferol	Tablet	II (Paddle)	For Alendronate: 50; For Cholecalciferol: 75	For Alendronate: Deaerated Water; For Cholecalciferol: 0.3% SDS in USP Water	For Alendronate: 900; For Cholecalciferol: 500	10, 15, 20, 30 and 45	11/25/2008
Alfuzosin HCl	Tablet (Extended Release)	II (Paddle)	100	0.01 N HCl	900	1, 2, 12, 20 hours	06/18/2007
Aliskiren Hemifumarate	Tablet	I (Basket)	100	0.01 N HCl	500	10, 20, 30 and 45	09/03/2008
Aliskiren Hemifumarate/ Amlodipine Besylate	Tablet	I (Basket)	100	0.01 N HCl, pH 2.0	500	10, 15, 20, 30 and 45	06/07/2012
Aliskiren Hemifumarate/ Amlodipine Besylate/ Hydrochlorothiazide	Tablet	I (Basket)	100	0.01 N HCl	900	10, 15, 20, 30 and 45	06/07/2012
Aliskiren Hemifumarate/ Hydrochlorothiazide	Tablet	I (Basket)	100	0.1 N HCl	900	10, 15, 20, 30 and 45	10/08/2009
Aliskiren Hemifumarate/ Valsartan	Tablet	I (Basket)	100	Phosphate Buffer, pH 6.8	1000	5, 10, 15, 20, 30 and 45	12/23/2010
Allopurinol	Tablet			Refer to USP			07/25/2007
Almotriptan Maleate	Tablet	II (Paddle)	50	0.1 N HCl	900	5, 10, 15, and 30	01/20/2006
Alogliptin Benzoate	Tablet	II (Paddle)	50	0.01 N HCl	900	5, 10, 15, 20 and 30	02/14/2014
Alogliptin Benzoate/ Metformin HCl	Tablet	II (Paddle)	50	0.01 N HCl	900	5, 10, 15, 20 and 30	11/19/2015
Alosetron HCl	Tablet	II (Paddle)	50 (for 1 mg) & 75 (for 0.5 mg)	Water (deaerated)	500	10, 20, 30 and 45	01/26/2006
Alprazolam	Tablet			Refer to USP			06/18/2007
Alprazolam	Tablet (Extended Release)	I (Basket)	100	1% Phosphate Buffer, pH 6.0	500	1, 4, 8, 12 and 16 hours	02/08/2007
Alprazolam	Tablet (Orally Disintegrating)	II (Paddle)	50	70 mM Potassium Phosphate Buffer, pH 6.0	500	2, 5, 10, 15 and 20	10/06/2008
Amantadine HCl	Tablet	II (Paddle)	50	Water (deaerated)	500	10, 20, 30, 45 and 60	01/12/2004
Ambrisentan	Tablet	II (Paddle)	75	0.05 M Acetate Buffer, pH 5.0	900	5, 10, 15, 30, and 45	05/20/2009
Amiloride HCl/ Hydrochlorothiazide	Tablet			Refer to USP			06/07/2012
Amiloride HCl/ Hydrochlorothiazide	Tablet			Refer to USP			06/07/2012
Aminocaproic Acid	Tablet			Refer to USP			08/27/2015
Amiodarone HCl (Test 1)	Tablet	II (Paddle)	100	1% SLS in water	1000	10, 20, 30, 45, 60 and 90	01/12/2004
Amiodarone HCl (Test 2)	Tablet	I (Basket)	50	Acetate Buffer, pH 4.0, with 1% Tween 80	900	10, 20, 30, 45, 60 and 90	01/12/2004
Amitriptyline HCl	Tablet			Refer to USP			01/14/2008

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Drug Name	Dosage Form	USP Apparatus	Speed (RPMs)	Medium	Volume (mL)	Recommended Sampling Times (minutes)	Date Updated
Amlodipine Besylate	Tablet	II (Paddle)	75	0.01 N HCl	500	10, 20, 30, 45 and 60	01/14/2004
Amlodipine Besylate	Tablet (Orally Disintegrating)	II (Paddle)	50	0.01 N HCl	500	5, 10, 15 and 20	10/06/2008
Amlodipine Besylate/ Atorvastatin Calcium	Tablet	II (Paddle)	75	Phosphate Buffer, pH 6.8	900	5, 10, 15 and 30	04/02/2009
Amlodipine Besylate/ Hydrochlorothiazide/ Olmesartan Medoxomil	Tablet	II (Paddle)	50	Phosphate Buffer, pH 6.8	900	5, 10, 15, 20, 30 and 45	07/21/2011
Amlodipine Besylate/ Hydrochlorothiazide/ Valsartan	Tablet			Refer to USP			07/28/2016
Amlodipine Besylate/ Olmesartan Medoxomil	Tablet	II (Paddle)	50	Phosphate Buffer, pH 6.8	900	10, 20, 30 and 45	08/11/2008
Amlodipine Besylate/ Perindopril Arginine	Tablet	II (Teflon coated paddle)	75	0.01 N HCL	1000	5, 10, 15, 20 and 30	03/17/2016
Amlodipine Besylate/ Telmisartan	Tablet	II (Paddle)	75	Telmisartan: Phosphate Buffer, pH 7.5; Amlodipine: 0.01N HCl, pH 2	Telmisartan: 900; Amlodipine: 500	Telmisartan: 10, 15, 20, 30 and 45; Amlodipine: 10, 15, 20, 30 and 45	08/05/2010
Amlodipine Besylate/Valsartan	Tablet			Refer to USP			07/28/2016
Amoxicillin	Tablet			Refer to USP			01/31/2013
Amoxicillin	Tablet (Extended Release)	II (Paddle)	100	3 Stage dissolution: 50 mM potassium phosphate monobasic buffer at pH 4.0 (0-2 hours), 6.0 (2-4 hours) and 6.8 (4 hours and beyond)	900	0.25, 0.5, 1, 2, 2.25, 2.5, 3, 4, 4.25, 4.5, 5 and 6 hours	10/21/2010
Amoxicillin/Clavulanate Potassium	Tablet			Refer to USP			10/04/2012
Amoxicillin/Clavulanate Potassium	Tablet (Chewable)			Refer to USP			01/14/2008
Amphetamine	Tablet (Extended Release, Orally Disintegrating)	II (Paddle)	75	Acid Stage: 0.1 N HCl; Buffer Stage: Phosphate Buffer, pH 6.8	Acid Stage: 900 mL; Buffer Stage: 1000 mL	Acid Stage: 10, 15, 30, 45, 60, 90, 120; Buffer Stage: 5, 10, 15, 30 and 45	07/28/2016
Amphetamine Aspartate/ Amphetamine Sulfate/ Dextroamphetamine Saccharate/ Dextroamphetamine Sulfate	Tablet	I (Basket)	100	Deionized Water	500	10, 20, 30 and 45	11/25/2008
Anastrozole	Tablet	II (Paddle)	50	Water	900	5, 10, 15, and 30 and 45	01/03/2007
Apixaban	Tablet	II (Paddle)	75	0.05 M Sodium Phosphate Buffer with 0.05% SLS, pH 6.8	900	5, 10, 20, 30 and 45	05/09/2013
Apremilast	Tablet	II (Paddle)	60	0.15% SLS in 25 mM Sodium Phosphate Buffer, pH 6.8	900	10, 15, 20, 30, and 45	05/18/2017
Aripiprazole	Tablet			Refer to USP			06/30/2016
Aripiprazole	Tablet (Orally Disintegrating)	II (Paddle)	75	Acetate Buffer, pH 4.0	1000	10, 20, 30 and 45	08/11/2008
Armodafinil	Tablet	II (Paddle)	50	0.1 N HCl	900	10, 20, 30 and 45	01/14/2008

(Continued)

Drug Name	Dosage Form	USP Apparatus	Speed (RPMs)	Medium	Volume (mL)	Recommended Sampling Times (minutes)	Date Updated
Asenapine Maleate	Tablet (Sublingual)	II (Paddle)	50	Acetate Buffer, pH 4.5	500	1, 2, 3, 4 and 5	05/09/2013
Aspirin/Omeprazole	Tablet (Delayed Release)	I (Basket)	100	Acid Stage: 0.1 N HCl (degassed); Buffer Stage: Phosphate Buffer, pH 6.8 (degassed)	Acid Stage: 900; Buffer Stage: 900	Acid Stage: 120; Buffer Stage: 10, 20, 30, 45, 60 and 75	12/22/2016
Aspirin/Butalbital/Caffeine	Tablet	I (Basket)	75	Refer to USP	900	10, 20, 30, 45 and 60	06/24/2010
Aspirin/Caffeine/Opiphenadine Citrate	Tablet	I (Basket)	75	Water (de-aerated)	900	10, 20, 30, 45 and 60	01/15/2004
Aspirin/Hydrocodone	Tablet	II (Paddle)	75	Acetate Buffer, pH 4.5	900	10, 20, 30, 45, 60 and 90	01/15/2004
Bitartrate	Tablet	I (Basket)	100	Water (de-aerated)	900	10, 20, 30, 45, 60 and 90	01/15/2004
Aspirin/Meprobamate	Tablet	II (Paddle)	50	Water (de-aerated)	900	10, 20, 30, 45, 60 and 90	01/15/2004
Aspirin/Methocarbamol	Tablet	II (Paddle)	75	Refer to USP	1000	Atazanavir: 10, 15, 20, 30 and 45; Cobicistat: 5, 10, 15, 20 and 30	01/15/2010
Aspirin/Oxycodone HCl	Tablet	II (Paddle)	75	0.05 M Citrate Buffer (pH 2.8)	900	10, 20, 30, 45, 60 and 90	12/24/2015
Atazanavir Sulfate/Cobicistat	Tablet	II (Paddle)	75	0.05 M Citrate Buffer (pH 2.8)	1000	Atazanavir: 10, 15, 20, 30 and 45; Cobicistat: 5, 10, 15, 20 and 30	12/24/2015
Atenolol	Tablet	II (Paddle)	75	Refer to USP	900	5, 10, 15 and 30	02/14/2014
Atenolol/Chlorthalidone	Tablet	II (Paddle)	75	Refer to USP	900	5, 10, 15 and 30	02/14/2014
Atorvastatin Calcium	Tablet	II (Paddle)	75	0.05 M Phosphate buffer, pH 6.8	900	5, 10, 15 and 30	01/15/2004
Atorvastatin Calcium/Ezetimibe	Tablet	II (Paddle), with option to use a sinker for 20/10 mg strength	75	Phosphate buffer, pH 6.8 with 0.2% w/v Tween 80	900	5, 10, 15, 20, 30 and 45	05/15/2014
Atovaquone	Tablet	II (Paddle)	50	40% isopropanol buffered to pH 8.0 with potassium dihydrogen phosphate	900	10, 20, 30, 45, 60 and 90	06/18/2007
Atovaquone/Proguanil HCl	Tablet	II (Paddle) with PEAK vessels	50	40% isopropanol buffered to pH 8.0 with potassium dihydrogen phosphate	900	15, 30, 45 and 60	08/17/2006
Atropine Sulfate/Diphenoxylate HCl	Tablet	II (Paddle)	50	Develop dissolution method(s) to characterize the dissolution of both components			12/22/2016
Avanafil	Tablet	II (Paddle)	50	Simulated gastric fluid without pepsin.	900	5, 10, 15, 20 and 30	04/02/2015
Axitinib	Tablet	II (Paddle)	75	0.01 N HCl	900	5, 15, 30, 45 and 60	08/14/2014
Azathioprine	Tablet	II (Paddle)	50	Refer to USP	900	5, 10, 15, 20, 30 and 45	04/08/2010
Azilsartan Kamedoxomil	Tablet	II (Paddle)	50	Phosphate Buffer, pH 7.8 (de-aerated)	900	5, 10, 15, 20, 30 and 45	05/09/2013
Azilsartan Kamedoxomil/Chlorthalidone	Tablet	II (Paddle)	50	Phosphate Buffer, pH 6.8 containing 1.0% Tween 80.	900	5, 10, 15, 20, 30 and 45	05/09/2013
Azithromycin	Tablet	II (Paddle)	25	Refer to USP			12/22/2016
Baclofen	Tablet	II (Paddle)	25	Refer to USP			12/15/2009
Baclofen	Tablet (Orally Disintegrating)	II (Paddle)	25	50 mM Acetate Buffer, pH 4.5	500 mL (10 mg) or 1000 mL (20mg)	5, 10, 15 and 30	07/14/2008
Balsalazide Disodium	Tablet	II (Paddle)	100	Water (degassed)	1000	10, 20, 30, 45, 60, 75, 90 and 120	07/31/2013
Bedaquiline Fumarate	Tablet	I (Basket)	150	0.01 N HCl	900	10, 15, 20, 30 and 45	06/06/2013
Benazepril HCl	Tablet	II (Paddle)	50	Water (de-aerated)	500	10, 20, 30 and 45	01/16/2004

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Drug Name	Dosage Form	USP Apparatus	Speed (RPMs)	Medium	Volume (mL)	Recommended Sampling Times (minutes)	Date Updated
Benazepril HCl/ Hydrochlorothiazide	Tablet	I (Basket)	100	0.1 N HCl	500	10, 20, 30 and 45	01/16/2004
Bendroflumethiazide/Nadolol	Tablet	II (Paddle)	50	Refer to USP	900	10, 20, 30, and 45	07/25/2007
Benzphetamine HCl	Tablet	I (Basket)	100	Water	900	10, 20, 30, 45 and 60	06/20/2007
Bepidil HCl	Tablet	I (Basket)	100	0.1 N HCl	900	10, 20, 30, 45 and 60	01/16/2004
Bethanechol Chloride	Tablet	Refer to USP		Refer to USP			10/06/2008
Bicalutamide	Tablet	II (Paddle)	50	1% SLS in water	1000	10, 20, 30, 45 and 60	12/15/2005
Bisacodyl/Polyethylene glycol 3350/Potassium Chloride/ Sodium Bicarbonate/Sodium Chloride	Tablet (Delayed Release), For Solution,	II (Paddle)	100	Acid stage: 0.1 N HCl; Buffer stage: 0.05 M Phosphate buffer, pH 6.8, with 0.15% sodium lauryl sulfate (SLS) [only for Bisacodyl Tablets]	Acid stage: 900 mL; Buffer stage: 900 mL	Acid stage: 60; Buffer stage: 10, 20, 30, 45 and 60	03/02/2017
Bisoprolol Fumarate	Tablet	II (Paddle)	75	Refer to USP	900	5, 10, 20, 30 and 45	06/18/2007
Bisoprolol Fumarate/ Hydrochlorothiazide	Tablet	II (Paddle)	75	0.1 N HCl	900	5, 10, 20, 30 and 45	01/20/2004
Bosentan	Tablet	II (Paddle)	50	1% SLS in water	900	15, 30, 45 and 60	09/02/2010
Bosentan	Tablet (For Suspension)	II (Paddle)	75	0.1 N HCl with 0.5% sodium dodecyl sulfate (SDS), pH 1.1	900	5, 10, 15, 20 and 30	11/16/2017
Bosutinib Monohydrate	Tablet	II (Paddle)	50	0.1 N HCl	900	10, 15, 20, 30 and 45	06/25/2015
Brexipiprazole	Tablet	II (Paddle)	50	0.05 M Acetate buffer, pH 4.3	900	10, 15, 20, 30 and 45	10/20/2016
Brigatinib	Tablet	II (Paddle)	70	50 mM Potassium Phosphate Buffer, pH 7.2	900	5, 10, 15, 20, 30 and 45	11/02/2017
Brivacetam	Tablet	II (Paddle)	50	Phosphate Buffer, pH 6.4	2.5 and 5 mg tablets: 500 mL; 10, 25, 50, 75 and 100 mg tablets: 900 mL	5, 10, 15, 20 and 30	07/28/2016
Bromocriptine Mesylate	Tablet	Refer to USP		Refer to USP			07/25/2007
Budesonide	Tablet (Extended Release)	II (Paddle)	100	Acid Stage: 0.1 M HCl containing 0.5% Macrogol Cetostearyl Ether; Buffer Stage: pH 7.2 phosphate buffer containing 0.5% Macrogol Cetostearyl Ether.	Acid Stage: 500 mL; Buffer Stage: 1000 mL	Acid Stage: 2 hours; Buffer Stage: 1, 2, 4, 6, 8 and 10 hours	04/02/2015
Bumetanide	Tablet	Refer to USP		Refer to USP			07/14/2008
Buprenorphine HCl	Tablet (Sublingual)	I (Basket)	100	Water	500	2, 5, 8, 10, 15, and until at least 80% of the labeled content is dissolved	04/09/2007
Buprenorphine HCl/ Naloxone HCl	Tablet (Sublingual)	I (Basket)	100	Water	500	1, 3, 5, 7.5, 10, 15 and 20	07/01/2010
Bupropion HCl	Tablet	Refer to USP		Refer to USP			08/15/2013
Bupropion HCl	Tablet (Extended Release)	Refer to USP		Refer to USP			07/25/2007
Bupropion Hydrobromide	Tablet (Extended Release)	I (Basket)	75	0.1 N HCl	900	1, 2, 4, 6, 8 and 10 hours	06/10/2009
Bupronone Hydrochloride	Tablet	Refer to USP		Refer to USP			07/21/2009
Busulfan	Tablet	II (Paddle)	50	Water (Deaerated)	500	5, 10, 15 and 30	07/14/2008
Cabergoline	Tablet	II (Paddle)	50	0.1 N HCl	500	5, 10, 15 and 30	01/20/2004
Cabozantinib S-Malate	Tablet	II (Paddle)	75	0.01 N HCl with 0.375% Triton X-100 (degassed)	900	5, 10, 15, 20 and 30	07/28/2016

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Drug Name	Dosage Form	USP Apparatus	Speed (RPMs)	Medium	Volume (mL)	Recommended Sampling Times (minutes)	Date Updated
Calcium Acetate	Tablet			Refer to USP			01/14/2008
Canagliflozin	Tablet	II (Paddle)	75	0.75% sodium lauryl sulfate (SLS) in water	600	5, 10, 15, 20 and 30	06/02/2016
Canagliflozin (Can)/Metformin HCl (Met)	Tablet (Extended Release)	Met: I (Basket, 40 mesh); Can: I (Basket, 10 mesh [with option of tablet holder])	Met: 100; Can: 100	Met: Simulated Gastric Fluid [SGF] without enzyme, pH 1.2; Can: 0.1% (w/v) polysorbate 20 in 0.05 M sodium phosphate buffer pH 6.8 (50 mg); 0.2% (w/v) polysorbate 20 in 0.05 M sodium phosphate buffer pH 6.8 (150 mg)	Met: 900; Can: 900	Met: 1, 2, 4, 6, 8, 10 and 12 hours; Can: 10, 15, 20, 30, 45 and 60 minutes;	12/22/2016
Canagliflozin/Metformin HCl	Tablet	II (Paddle)	Canagliflozin: 75; Metformin: 50	Canagliflozin (50 mg): 0.025% Polysorbate 20; Metformin: 1000	Canagliflozin (50 mg): 900; Canagliflozin (150 mg): 900; Metformin: 1000	Canagliflozin: 10, 15, 20, 30 and 45; Metformin: 5, 10, 15, 20 and 30	05/28/2015
Candesartan Cilexetil (16 mg, 8 mg and 4 mg)	Tablet	II (Paddle)	50	Metformin: Phosphate buffer, pH 6.8 0.35% Polysorbate 20 in 0.05 M Phosphate Buffer, pH 6.5	900	10, 20, 30, 45 and 60	06/20/2007
Candesartan Cilexetil (32 mg)	Tablet	II (Paddle)	50	0.70% Polysorbate 20 in 0.05 M Phosphate Buffer, pH 6.5	900	10, 20, 30, 45 and 60	06/20/2007
Candesartan Cilexetil/ Hydrochlorothiazide (16/12.5 mg)	Tablet	II (Paddle)	50	0.35% Polysorbate 20 in phosphate buffer pH 6.5	900	10, 20, 30, 45 and 60	01/29/2010
Candesartan Cilexetil/ Hydrochlorothiazide (32/12.5 mg and 32/25 mg)	Tablet	II (Paddle)	50	0.70% Polysorbate 20 in phosphate buffer pH 6.5	900	15, 20, 30, 45 and 60	01/29/2010
Capecitabine	Tablet			Refer to USP			11/02/2017
Captopril	Tablet			Refer to USP			10/20/2016
Carbamazepine	Tablet			Refer to USP			12/24/2015
Carbamazepine	Tablet (Chewable)	II (Paddle)	75	1% SLS in Water	900	15, 30, 45, 60 and 90	12/23/2010
Carbamazepine	Tablet (Extended Release)			Refer to USP			01/14/2008
Carbidopa	Tablet	I (Basket)	50	0.1 N HCl	750	10, 15, 20, 30 and 45	08/14/2014
Carbidopa/Entacapone/ Levodopa	Tablet	I (Basket)	Carbidopa and Levodopa: 50; Entacapone: 125	For both Carbidopa and Levodopa: 0.1 N HCl, For Entacapone: Phosphate buffer pH 5.5	Carbidopa and Levodopa: 750 ml, Entacapone: 900 ml	10, 20, 30, 45 and 60	01/03/2007
Carbidopa/Levodopa	Tablet			Refer to USP			01/14/2008
Carbidopa/Levodopa	Tablet (Extended Release)	II (Paddle)	50	0.1 N HCl	900	0.5, 0.75, 1, 1.5, 2, 2.5, 3 and 4 hours	08/15/2013
Carbidopa/Levodopa	Tablet (Orally Disintegrating)	II (Paddle)	50	0.1 N HCl	750	5, 10, 15, 30, and 45	07/25/2007
Carglumic Acid	Tablet	II (Paddle)	100	0.05M Phosphate Buffer, pH 6.8	750	5, 10, 15, 20 and 30	08/15/2013
Carisoprodol	Tablet			Refer to USP			01/29/2010
Carvedilol	Tablet	II (Paddle)	50	SGF without enzyme	900	10, 20, 30 and 45	01/21/2004
Carvedilol	Tablet			Refer to USP			12/24/2015
Cefaclor	Tablet (Chewable)			Refer to USP			03/03/2011
Cefaclor	Tablet (Extended Release)			Refer to USP			03/03/2011
Cefadroxil	Tablet			Refer to USP			09/02/2010

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Drug Name	Dosage Form	USP Apparatus	Speed (RPMs)	Medium	Volume (mL)	Recommended Sampling Times (minutes)	Date Updated
Cefditoren Pivoxil	Tablet	II (Paddle)	75	Simulated Gastric Fluid without enzyme	900	5, 10, 15, 20 and 30	01/15/2010
Cefixime	Tablet			Refer to USP			12/23/2010
Cefixime	Tablet (Chewable)	II (Paddle)	25	Phosphate Buffer, pH 7.2	900	10, 15, 20, 30, and 45	12/23/2010
Cefpodoxime Proxetil	Tablet			Refer to USP			07/25/2007
Cefprozil	Tablet			Refer to USP			07/25/2007
Cefprozil	Tablet			Refer to USP			10/04/2012
Cefuroxime Axetil	Tablet			Refer to USP			07/25/2007
Cetirizine HCl	Tablet (Orally Disintegrating)			Refer to USP			03/17/2016
Cetirizine HCl	Tablet (Regular & Chewable)	II (Paddle)	50	Water (de-aerated)	900	10, 20, 30 and 45	03/04/2006
Cetirizine HCl/ Pseudoephedrine HCl	Tablet (Extended Release)	I (Basket)	100	0.1 N HCl	500	0.17, 0.25, 0.5, 1, 2, 6 and 8 hours	06/18/2007
Chlorambucil	Tablet	II (Paddle)	75	0.1 N HCl	900	10, 20, 30, and 45	08/17/2006
Chlorpheniramine Maleate	Tablet (Extended Release)	III (Reciprocating Cylinder)	27 dpm	Row 1: Test Fluid 1 (0.1N HCl) for 1st hour. Row 2: Test fluid 2 (Phosphate Buffer, pH 7.5) for 5th hour	Row 1: 250 mL. Row 2: 250 mL	1 hour for test fluid 1, and 4 hours for test fluid 2	07/25/2007
Chlorpheniramine Maleate/ Codeine Phosphate	Tablet (Extended Release)	II (Paddle)	50	Simulated gastric fluid (SGF) without enzyme (pH 1.2)	900	0.5, 1, 2, 4, 6, 8 and 12 hours	10/20/2016
Chlorpheniramine Maleate/ Ibuprofen/Phenylephrine HCl	Tablet	II (Paddle)	50	50 mM Potassium Phosphate Buffer, pH 6.5 (degassed)	900	5, 10, 15, 20 and 30	06/25/2015
Chlorpheniramine Maleate/ Ibuprofen/	Tablet	II (Paddle)	50	0.05 M Phosphate Buffer, pH 6.5	900	10, 20, 30 and 45	02/20/2004
Pseudoephedrine HCl							
Chlorpromazine HCl	Tablet			Refer to USP			01/05/2012
Chlorthalidone	Tablet			Refer to USP			04/15/2008
Chlorzoxazone	Tablet			Refer to USP			01/14/2008
Cilostazol	Tablet	II (Paddle)	75	0.3% SLS in water	900	15, 30, 45, 60 and 90	08/17/2006
Cinacalcet HCl	Tablet	II (Paddle)	75	0.05 N HCl	900	10, 20, 30 and 45	01/26/2006
Ciprofloxacin HCl	Tablet			Refer to USP			09/02/2010
Ciprofloxacin HCl	Tablet (Extended Release)	I (Basket)	100	0.1 N HCl	900	1, 2, 4, and 7 hours or until at least 80% released	01/14/2008
Ciprofloxacin/Ciprofloxacin HCl (AB)	Tablet (Extended Release)	II (Paddle)	50	0.1 N HCl	900	15, 30, 60, and 120	01/14/2008
Citalopram HBr	Tablet			Refer to USP			01/14/2008
Clarithromycin	Tablet			Refer to USP			07/25/2007
Clarithromycin	Tablet (Extended Release)			Refer to USP			10/06/2008
Clobazam	Tablet	II (Paddle)	75	0.1 N HCl (degassed)	900	5, 10, 20, 30, 45 and 60	07/31/2013
Clomiphene Citrate	Tablet			Refer to USP			08/15/2013
Clonazepam	Tablet			Refer to USP			04/08/2010
Clonazepam	Tablet (Orally Disintegrating)	II (Paddle)	50	Water	900	5, 10, 15, 30, and 45	07/25/2007

(Continued)

Drug Name	Dosage Form	USP Apparatus	Speed (RPMs)	Medium	Volume (mL)	Recommended Sampling Times (minutes)	Date Updated
Clonidine (0.1 mg)	Tablet (Extended Release)	II (Paddle) with sinker	50	Acid stage: 0.01 N HCl; Buffer stage: Phosphate Buffer, pH 7.0	Acid stage: 500; Buffer stage: 500	Acid stage: 1 and 2 hours; Buffer stage: 1, 2, 4, 6, 10, 14 and 16 hours	01/26/2012
Clonidine (EQ, 0.17 mg and EQ, 0.26 mg)	Tablet (Extended Release)	II (Paddle)	50	500 mL 0.1N HCl for the 1st hour, then add 400 mL 0.27M Sodium Phosphate (Dibasic) buffer solution	Acid stage: 500; Buffer stage: 900	1, 2, 3, 6, 9, 12, 16, 20 and 24 hours	07/01/2010
Clonidine HCl	Tablet			Refer to USP			06/18/2007
Clonidine HCl (0.1 mg & 0.2 mg)	Tablet (Extended Release)	II (Paddle) with sinker	50	Acid stage: 0.01 N HCl; Buffer stage: Phosphate Buffer, pH 7.0	Acid stage: 500; Buffer stage: 500	Acid stage: 1 and 2 hours; Buffer stage: 1, 2, 4, 6, 10, 14 and 16 hours	08/27/2015
Clopidogrel Bisulfate	Tablet			Refer to USP			07/25/2007
Clorazepate Dipotassium	Tablet			Refer to USP			01/31/2013
Clotrimazole	Tablet (Vaginal)	II (Paddle)	50	0.1 N HCl	900	10, 20, 30 and 45	01/24/2004
Clozapine	Tablet			Refer to USP			07/21/2011
Clozapine	Tablet (Orally Disintegrating)	II (Paddle)	50 RPM (12.5 mg, 25 mg and 100 mg); 75 RPM (150 mg and 200 mg)	pH 4.5 Acetate Buffer	900	5, 10, 15, 20, and 30	06/09/2011
Cobicistat	Tablet	II (Paddle)	75	50 mM Sodium Acetate Buffer, pH 4.5	900	5, 10, 15, 20 and 30	08/27/2015
Cobicistat (Cobi)/Darunavir Ethanolate (Drv)	Tablet	Cobi: II (Paddle); Drv: II (Paddle)	Cobi: 75; Drv: 75	Cobi: 0.05 M Citrate Phosphate Buffer, pH 4.2; Drv: 0.05 M Sodium Phosphate Buffer, pH 3.0, 2% Tween 20	Cobi: 900 mL; Drv: 900 mL	Cobi: 5, 10, 15, 20 and 30; Drv: 10, 15, 20, 30 and 45	10/20/2016
Cobicistat/Elvitegravir/Emtricitabine/Tenofovir Alafenamide Fumarate	Tablet	II (Paddle)	100	0.05 M sodium citrate buffer, pH 5.5 containing 2.0% w/v polysorbate 80	1000	5, 10, 15, 20 and 30	10/20/2016
Cobicistat/Elvitegravir/Emtricitabine/Tenofovir Disoproxil Fumarate	Tablet	II (Paddle) with sinker	100	0.01 N HCl with 2% w/w Polysorbate 80	1000	5, 10, 15, 20 and 30	06/25/2015
Cobimetinib Fumarate	Tablet	II (Paddle)	50	50 mM Acetate Buffer, pH 4.5	900	5, 10, 15, 20 and 30	06/30/2016
Codeine Sulfate	Tablet			Refer to USP			09/01/2011
Colchicine	Tablet			Refer to USP			08/05/2010
Colesevelam HCl	Tablet			Disintegration Testing as per USP <701> in various media such as deionized water, simulated gastric fluid, and simulated intestinal fluid.			10/28/2010
Crofelemer	Tablet (Delayed Release)	II (Paddle)	75	Acid stage: 0.1 N HCl; Buffer stage: Sodium phosphate buffer, pH 6.8	Acid stage: 750; Buffer stage: 1000	Acid stage: 2 hours; Buffer stage: 5, 10, 20, 30 and 45 minutes	06/02/2016
Cyclobenzaprine HCl	Tablet			Refer to USP			07/25/2007
Cyclophosphamide	Tablet	I (Basket)	100	Water (deaerated)	900	10, 20, 30, 45 and 60	01/24/2004
Cyproheptadine HCl	Tablet			Refer to USP			05/28/2015
Daclatasvir Dihydrochloride	Tablet	II (Paddle)	75	Phosphate Buffer, pH 6.8 with 0.75% Brij 35	1000	10, 15, 20, 30 and 45	03/17/2016
Dalfampridine	Tablet (Extended Release)	II (Paddle)	50	Phosphate Buffer, pH 6.8	900	0.5, 1, 2, 4, 6, 8, 10 and 12 hours	06/07/2012

(Continued)

Drug Name	Dosage Form	USP Apparatus	Speed (RPMs)	Medium	Volume (mL)	Recommended Sampling Times (minutes)	Date Updated
Dapagliflozin Propanediol	Tablet	II (Paddle)	60	Acetate Buffer, pH 4.5	1000	5, 10, 15, 20 and 30	05/28/2015
Dapagliflozin Propanediol/ Metformin HCl	Tablet (Extended Release)	I (Basket- 20 mesh)	100	Phosphate Buffer, pH 6.8	1000	Dapagliflozin: 5, 10, 15, 20, 30 and 45 minutes; Metformin: 0.5, 1, 2, 3, 4, 6, 8, 10 and 12 hours	08/27/2015
Dapsone	Tablet			Refer to USP			12/23/2010
Darifenacin Hydrobromide	Tablet (Extended Release)	I (Basket)	100	0.01N HCl Comparative dissolution data should also be provided in 900 ml pH 4.5 buffer, pH 6.8 buffer, and water using Apparatus I (Basket) at 100 RPM.	900	1, 4, 8, 12, 16, 20 and 24 hours	01/20/2006
Danunavir Ethanolate	Tablet	II (Paddle)	75	2% Tween-20 in 0.05 M Sodium Phosphate Buffer, pH 3.0	900	10, 20, 30, and 45	09/13/2007
Dasabuvir Na/Ombitasvir/ Paritaprevir/Ritonavir	Tablet (Extended Release)	III (Reciprocating Cylinder [40 mesh (for bottom and top of the inner tube)])	25 dpm	15 mM hexadecyltrimethylammonium bromide (CTAB) in 0.03M Sodium Phosphate Buffer, pH 6.8	250	Ombitasvir/Paritaprevir/ Ritonavir: 10, 15, 20, 30, 45, 60 and 90 minutes;Dasabuvir: 1, 2, 3, 6, 9, 12, 15, 18, 21 and 24 hours	10/20/2016
Dasatinib	Tablet	II (Paddle)	60	pH 4.0 Acetate buffer containing 1% Triton X-100	1000	10, 15, 30 and 45	10/30/2009
Deferasirox	Tablet	II (Paddle)	75	0.5% Tween 20 in Phosphate Buffer, pH 6.8	900	5, 10, 15, 20 and 30	03/17/2016
Deferasirox	Tablet (for Oral Suspension)	II (Paddle)	50	Phosphate buffer pH 6.8 with 0.5% Tween 20	900	10, 20, 30 and 45	06/21/2006
Deferiprone	Tablet	II (Paddle)	50	0.1 N HCl	1000	5, 10, 20, 30, 45 and 60	06/25/2015
Delafloxacin Meglumine	Tablet	II (Paddle)	60	0.05 M Phosphate Buffer, pH 7.4 (degassed)	900	5, 10, 15, 20 and 30	11/16/2017
Delavirdine Mesylate	Tablet	II (Paddle)	50	0.05 M Phosphate Buffer, pH 6.0 containing 0.6% w/v SDS	900	10, 20, 30, 45 and 60	12/03/2007
Demeclocycline HCl	Tablet			Refer to USP			07/25/2007
Desipramine HCl	Tablet			Refer to USP			01/31/2013
Desloratadine	Tablet	II (Paddle)	50	0.1 N HCl	500	15, 20, 30 and 45	03/04/2006
Desloratadine	Tablet (Orally Disintegrating)	II (Paddle)	50	0.1 N HCl	900	3, 6, 10, 15	06/18/2007
Desloratadine/ Pseudoephedrine Sulfate (2.5 mg/120 mg)	Tablet (Extended Release)	II (Paddle)	50	First hour: 0.1 N HCl; After 1 hour: 0.1M Potassium Phosphate Buffer pH 7.5	1000	For Desloratadine: 10, 20, 30 and 45; For Pseudoephedrine Sulfate: 1, 2, 6 and 8 hours	04/02/2009
Desloratadine/ Pseudoephedrine Sulfate (5 mg/240 mg)	Tablet (Extended Release)	II (Paddle)	50	First hour: 0.1 N HCl; After 1 hour: 0.1M Potassium Phosphate Buffer pH 7.5	1000	For Desloratadine: 10, 20, 30 and 45; For Pseudoephedrine Sulfate: 1, 2, 4, 8, 16 and 24 hours	04/02/2009
Desmopressin Acetate	Tablet			Water (de-aerated)	500		12/15/2005
Desogestrel/Ethinyl Estradiol	Tablet	II (Paddle)	75	Refer to USP		10, 20, 30 and 45	11/04/2008 (Continued)

Drug Name	Dosage Form	USP Apparatus	Speed (RPMs)	Medium	Volume (mL)	Recommended Sampling Times (minutes)	Date Updated
Desvenlafaxine Succinate	Tablet (Extended Release)	I (Basket)	100	0.9% NaCl in water	900	1, 2, 4, 8, 12, 16, 20 and 24 hours	04/02/2009
Deutetrabenazine	Tablet	II (Paddle) over a disk (62 mm with 16 mesh)	75	pH 3.0 Acid Phthalate Buffer	500	0.5, 1, 1.5, 2, 3, 4, 5 and 6 hours	11/02/2017
Dexamethasone	Tablet			Refer to USP			04/02/2009
Dexbrompheniramine Maleate/ Pseudoephedrine Sulfate	Tablet (Extended Release)	III (Reciprocating Cylinder)	12 dpm	0.02N HCl (2 hours) followed by 0.05M Phosphate Buffer pH 7.5	250	0.5, 1, 2, 3, 4, 6 and 8 hours	05/28/2015
Dexlansoprazole	Tablet (Delayed Release, Orally Disintegrating)	I (Basket -100 mesh)	100	Acid Stage: 0.1 N HCl; Buffer Stage: pH 7.2 Phosphate Buffer with 5 mM Sodium lauryl sulfate	Acid Stage: 500 mL; Buffer Stage: 900 mL	Acid Stage: 120; Buffer Stage: 10, 15, 20, 30, 50, 60, 75 and 90	07/28/2016
Dexamethasone	Tablet	I (Basket)	100	Water	900	10, 15, 30, and 45	06/18/2007
Dextroamphetamine Sulfate	Tablet	I (Basket)	100	Water	500	10, 20, 30, 45 and 60	01/31/2013
Dextromethophan HBr/ Guaiifenesin	Tablet (Extended Release)	I (Basket)	50	0.01 N HCl	900	1, 2, 6, and 12 hours	11/25/2008
Diazepam	Tablet			Refer to USP			07/25/2007
Diclofenac Potassium	Tablet	II (Paddle)	50	SIF without enzyme	900	10, 20, 30, 45, 60 and 90	01/27/2004
Diclofenac Sodium	Tablet (Delayed Release)			Refer to USP			06/10/2009
Diclofenac Sodium	Tablet (Delayed Release)			Refer to USP			06/10/2009
Diclofenac Sodium/ Misoprostol Enteric Coated	Tablet (Delayed Release)	II (Paddle) (diclo) II (Paddle) (miso)	100 (diclo) 50 (miso)	Diclofenac: Acid Stage: 0.1 N HCl Buffer Stage: 750ml 0.1N HCL +250ml 0.2M phos.buffer, pH 6.8 (Method A) Misoprostol: Water (deacrated)	Diclo: Acid: 750 Buffer:1000 Miso: 500	Diclo.: 120 (acid) 15, 30, 45 and 60 (Buffer). Miso:10, 20 and 30	12/15/2005
Didanosine	Tablet (Chewable)	II (Paddle)	75	Water (deacrated)	900	10, 20, 30 and 45	01/26/2004
Dienogest/Estradiol Valerate	Tablet	II (Paddle)	50	0.4% SLS in water	900	10, 15, 20, 30 and 45	06/07/2012
Diethylpropion HCl	Tablet (Extended Release)	I (Basket)	100	Water (deacrated)	900	1, 3, 5, 7 and 9 hours	05/20/2009
Diflunisal	Tablet			Refer to USP			04/15/2008
Digoxin	Tablet			Refer to USP			06/18/2007
Diltiazem HCl	Tablet (Extended Release)	II (Paddle)	100	Phosphate Buffer, pH 5.8	900	2, 8, 14, and 24 hours	02/19/2008
Diphenhydramine Citrate/ Ibuprofen	Tablet	II (Paddle)	50	50 mM Phosphate Buffer, pH 6.5	900	10, 20, 30 and 45	01/14/2008
Dipyridamole	Tablet			Refer to USP			06/18/2007
Disulfiram	Tablet	II (Paddle)	100	2% SDS	900	15, 30, 45, 60, 75, 90, 105, and 120	06/18/2007
Divalproex Sodium	Tablet (Delayed Release)			Refer to USP			07/25/2007
Divalproex Sodium	Tablet (Extended Release)			Refer to USP			06/30/2016
Dolasetron Mesylate	Tablet			Refer to USP			07/01/2010
Dolutegravir Na/ Rilpivirine HCl	Tablet	II (Paddle)	75	1.0% Tween 20 in 0.01 M HCl, pH 2.0	900	10, 15, 20, 30, 45 and 60	02/08/2018
Dolutegravir Sodium (10 mg)	Tablet	II (Paddle)	50	0.01M pH 6.8 phosphate buffer	900	5, 10, 15, 20, 30 and 45	10/18/2018
Dolutegravir Sodium (25 mg)	Tablet	II (Paddle)	50	0.01M pH 6.8 phosphate buffer containing 0.15% w/v sodium dodecyl sulfate (SDS)	900	5, 10, 15, 20, 30 and 45	10/18/2018

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Drug Name	Dosage Form	USP Apparatus	Speed (RPMs)	Medium	Volume (mL)	Recommended Sampling Times (minutes)	Date Updated
Dolutegravir Sodium (50 mg)	Tablet	II (Paddle)	50	0.01M pH 6.8 phosphate buffer containing 0.25% w/v sodium dodecyl sulfate (SDS)	900	5, 10, 15, 20, 30 and 45	10/18/2018
Donepezil HCl	Tablet	II (Paddle)	50	Refer to USP	900	10, 20, 30 and 45	03/17/2016
Donepezil HCl	Tablet (Orally Disintegrating (ODT))	II (Paddle)	50	0.1 N HCl	900	10, 20, 30 and 45	03/04/2006
Donepezil HCl (23 mg)	Tablet	II (Paddle)	50	0.05 M Phosphate Buffer, pH 6.8	900	1, 2, 3, 4, 6, 8 and 10 hours	12/23/2010
Doxazosin Mesylate	Tablet	II (Paddle)	50	0.01 N HCl	900	10, 20, 30, 45 and 60	01/27/2004
Doxazosin Mesylate	Tablet (Extended Release)	II (Paddle)	75	SGF without enzyme	900	1, 2, 4, 6, 8, 12 and 16 hours	01/03/2007
Doxepin HCl	Tablet	II (Paddle)	50	Simulated Gastric Fluid w/o enzyme (pH 1.1-1.3)	900	5, 10, 15, 20, 30 and 45	09/02/2010
Doxycycline Hyclate	Tablet	Refer to USP		Refer to USP			03/17/2016
Doxycycline Hyclate (120 mg and 60 mg)	Tablet (Delayed Release)	I (Basket)	100	Acid stage: 0.06 N HCl; Buffer stage: Neutralized Phthalate Buffer, pH 5.5	Acid stage: 900 mL; Buffer stage: 900 mL	Acid stage: 10, 20, 30, 45 and 60; Buffer stage: 5, 10, 15, 20, 30 and 45	03/27/2018
Doxycycline Hyclate (150 mg and 75 mg)	Tablet	II (Paddle)	75	Water	900	5, 10, 15, 20 and 30	05/28/2015
Doxycycline Hyclate (200 mg, 150 mg, 100 mg, 80 mg and 75 mg)	Tablet (Delayed Release)			Refer to USP			05/28/2015
Doxycycline Hyclate (50 mg)	Tablet (Delayed Release)	I (Basket)	100	Acid stage: 0.06 N HCl; Buffer stage: Neutralized Phthalate Buffer, pH 5.5	900	Acid stage: 5, 10, 15, 20 and 30; Buffer stage: 5, 10, 15, 20 and 30	05/28/2015
Doxylamine Succinate/Pyridoxine HCl	Tablet (Extended Release)	II (Paddle)	100	Acid stage: 0.1 N HCl; Buffer stage: 0.2M sodium phosphate buffer pH 6.8	Acid stage: 1000 mL; Buffer stage: 1000 mL	Acid stage: 5, 10, 15, 30, 60, 120 minutes; Buffer stage: 5, 10, 15, 20 and 30 minutes	01/19/2017
Dronedaron HCl	Tablet	II (Paddle) with sinker	75	pH 4.5 Phosphate buffer	1000	10, 15, 20, 30, 45, 60, 90 and 120	02/25/2015
Drospironone/Estradiol	Tablet	II (Paddle)	50	Water	900	10, 20, 30, and 45	01/03/2007
Drospironone/Ethinyl Estradiol	Tablet	Refer to USP		Refer to USP			07/28/2016
Drospironone/Ethinyl Estradiol/Levonorgestrel	Tablet	II (Paddle)	50	Phosphate buffer pH 6.8, saline with 0.03% ascorbic acid	900	5, 10, 15, 20 and 30	07/28/2016
Calcium							
Efavirenz	Tablet	II (Paddle)	50	2% SLS in water	1000	10, 15, 30, 45, 60	06/18/2007
Efavirenz 600 mg; Emtricitabine 200 mg; Tenofovir Disoproxil Fumarate 300 mg	Tablet	II (Paddle)	100	2% SLS in water	1000	10, 20, 30, and 45	01/03/2007
Elbasvir/Grazoprevir	Tablet	I (Basket)	100	Phosphate Buffer, pH 6.8 with 0.45% (w/v) Polysorbate 80	900	10, 15, 20, 30, 45 and 60	07/28/2016
Eletriptan Hydrobromide	Tablet	I (Basket)	100	0.1 N HCl	900	5, 10, 15 and 30	04/02/2009
Eltrombopag Olamine	Tablet	II (Paddle)	50	0.5% Polysorbate 80 in Phosphate Buffer, pH 6.8	900	10, 15, 20, 30, 45, and 60	06/07/2012
Eluxadoline	Tablet	I (Basket)	100	0.05M Phosphate Buffer, pH 4.5	900	5, 10, 15, 20 and 30	03/17/2016

(Continued)

Drug Name	Dosage Form	USP Apparatus	Speed (RPMs)	Medium	Volume (mL)	Recommended Sampling Times (minutes)	Date Updated
Elvitegravir	Tablet	II (Paddle) with sinker	75	2.0% w/v Polysorbate 80 in 0.01 N HCl (pH 2.0) at 37°C	700 mL for 85 mg tablets; 1000 mL for 150 mg tablets	10, 20, 30, 45 and 60	12/24/2015
Empagliflozin	Tablet	II (Paddle)	75	0.05 M Phosphate Buffer, pH 6.8	900	5, 10, 15, 20 and 30	05/28/2015
Empagliflozin/Metformin HCl	Tablet (Extended Release)	I (Basket)	100	Phosphate Buffer, pH 6.8	900	Metformin: 1, 2, 4, 6, 8, 10 and 12 hours; Empagliflozin: 10, 15, 20, 30, 45 and 60 minutes;	01/19/2017
Empagliflozin/Linagliptin	Tablet	II (Paddle)	50	pH 6.8 Phosphate Buffer	900	10, 15, 20, 30 and 45	12/24/2015
Empagliflozin/Metformin HCl	Tablet	II (Paddle)	50	Phosphate Buffer, pH 6.8 (degas)	900	5, 10, 15, 20 and 30	06/30/2016
Empagliflozin/Metformin Hydrochloride	Tablet	II (Paddle)	50	Phosphate Buffer, pH 6.8 (de-aerated)	900	5, 10, 15, 20 and 30	10/20/2016
Emtricitabine/Rilpivirine HCl/Tenofovir Alafenamide Fumarate	Tablet	II (Paddle)	75	Rilpivirine (RPV): 0.5% Polysorbate 20 in 0.01 N HCl; Emtricitabine (ETC) and Tenofovir alafenamide (TAF): 50 mM Sodium Citrate, pH 5.5, 0.5%(w/w) polysorbate 20 in 0.01N HCl (pH 2.0)	RPV: 1000 mL; ETC and TAF: 500 mL	5, 10, 15, 20, 30 and 45	07/28/2016
Emtricitabine/Rilpivirine HCl/Tenofovir Disoproxil Fumarate	Tablet	II (Paddle) with sinker	75	0.5%(w/w) polysorbate 20 in 0.01N HCl (pH 2.0)	1000	Emtricitabine and Tenofovir: 5, 10, 15, 20 and 30; Rilpivirine: 10, 20, 30, 45, 60, 75, 90 and 120	01/15/2015
Emtricitabine/Tenofovir Alafenamide Fumarate	Tablet	II (Paddle)	75	50 mM Sodium Citrate buffer, pH 5.5	500	5, 10, 15, 20, 30 and 45	07/28/2016
Emtricitabine/Tenofovir Disoproxil Fumarate	Tablet	II (Paddle)	50	0.01 N HCl	900	5, 10, 15, 30 and 45	01/03/2007
Enalapril Maleate	Tablet	Refer to USP		Refer to USP			09/03/2008
Entecavir	Tablet	II (Paddle)	50	Phosphate Buffer, pH 5.5	900	10, 20, 30 and 45	01/29/2004
Entecavir	Tablet	II (Paddle)	50	Phosphate buffer pH 6.8 (50mM)	1000	10, 20, 30, and 45	06/21/2006
Eplerenone	Tablet	II (Paddle)	50	0.1 N HCl	1000	10, 20, 30 and 45	12/19/2005
Eprosartan Mesylate	Tablet	II (Paddle)	75	0.2 M Phosphate Buffer, pH 7.5	1000	15, 30, 45 and 60	07/14/2008
Eprosartan Mesylate/Hydrochlorothiazide	Tablet	II (Paddle)	75	0.2 M Phosphate Buffer, pH 7.5	1000	10, 20, 30 and 45	02/19/2008
Erlotinib HCl	Tablet	II (Paddle)	75	0.02% Tween 80 in 0.01 N HCl	1000	5, 10, 15, 20, 30 and 45	10/18/2018
Erythromycin	Tablet	Refer to USP		Refer to USP			12/24/2015
Erythromycin	Tablet (Delayed Release)	Refer to USP		Refer to USP			10/31/2013
Escitalopram Oxalate	Tablet	II (Paddle)	75	0.1 N HCl	900	10, 20, 30 and 45	02/20/2004
Eslicarbazepine Acetate	Tablet	II (Paddle)	100	Acetate Buffer, pH 4.5	1000	5, 10, 15, 20, 30 and 45	08/27/2015
Esomeprazole Magnesium	Tablet (Delayed Release)	II (Paddle)	100	Acid stage: 0.1 N HCl; Buffer stage: Phosphate Buffer, pH 6.8	Acid stage: 300; Buffer stage: 1000	Acid stage: 120; Buffer stage: 10, 20, 30, 45 and 60	10/20/2016
Estazolam	Tablet	II (Paddle)	50	Water (de-aerated)	900	10, 20, 30 and 45	01/27/2004
Esterified Estrogens	Tablet	II (Paddle)	50	Water	900	15, 30, 45, 60, 90, 120 and 180	02/19/2008
Estradiol/Norethindrone Acetate	Tablet	Refer to USP		Refer to USP			01/05/2012

(Continued)

Drug Name	Dosage Form	USP Apparatus	Speed (RPMs)	Medium	Volume (mL)	Recommended Sampling Times (minutes)	Date Updated
Estradiol/Norgestimate (1mg/0.09mg)	Tablet	II (Paddle)	50	0.3% SLS in water	500	10, 20, 30 and 45	07/09/2004
Estrogens Conjugated Synthetic A	Tablet	I (Basket)	50	Water	900	1, 2, 3, 5, 8, 10 and 12 hours	09/02/2010
Estrogens, Conjugated (EC)/ Medroxyprogesterone Acetate (MPA)	Tablet	II (Paddle) with sinker	50	EC: 0.02 M Na Acetate Buffer (pH 4.5); MPA: 0.54% Sodium Lauryl Sulfate [SLS] in water	900		12/24/2015
Estrogens, Conjugated Synthetic B	Tablet	II (Paddle)	50	Water	900	2, 5, 8 and 12 hours	10/06/2008
Eszopiclone	Tablet	II (Paddle)	50	0.1 N HCl	500	10, 20, 30 and 45	09/13/2007
Ethacrynic Acid	Tablet			Refer to USP			12/23/2010
Ethambutol HCl	Tablet			Refer to USP			01/14/2008
Ethinyl Estradiol	Tablet			Refer to USP			09/22/2011
Ethinyl Estradiol/Ethinodiol Diacetate	Tablet	II (Paddle)	75	0.25% Sodium Lauryl Sulfate (SLS) in Water	600	10, 20, 30 and 45	07/14/2008
Ethinyl Estradiol/Levonorgestrel	Tablet			Refer to USP			02/19/2008
Ethinyl Estradiol/Levonorgestrel (AB)	Tablet			Refer to USP			02/19/2008
Ethinyl Estradiol/Levonorgestrel (AB2)	Tablet			Refer to USP			11/04/2008
Ethinyl Estradiol/Norethindrone	Tablet			Refer to USP			07/15/2009
Ethinyl Estradiol/Norethindrone Acetate	Tablet (Chewable)	II (Paddle)	75	0.09% Sodium Lauryl Sulfate in 0.1 N HCl	500	10, 15, 20, 30 and 45	01/14/2008
Ethinyl Estradiol/Norethindrone Acetate [0.01mg:0.01 mg:1 mg]	Tablet			Refer to USP			07/15/2009
Ethinyl Estradiol/Norethindrone Acetate [0.02mg:1mg]	Tablet (Chewable)	II (Paddle)	75	0.025 M Na Acetate Buffer with 0.15% Sodium Lauryl Sulfate [SLS] (pH 5.0) [degassed]	500	10, 15, 20, 30 and 45	12/24/2015
Ethinyl Estradiol/Norgestimate	Tablet (Chewable)	II (Paddle)	75	0.025 M Sodium Acetate Buffer with 0.15% SLS, pH 5.0	600	10, 15, 20, 30 and 45	02/14/2014
Ethinyl Estradiol/Norgestimate (AB)	Tablet	II (Paddle)	75	0.05% Tween 20 in water	600	5, 10, 20 and 30	01/14/2008
Ethinyl Estradiol/Norgestrel	Tablet	II (Paddle)	75	0.05% Tween 20 in water	600	10, 20, 30 and 45	01/14/2008
Ethinyl Estradiol/Norgestrel	Tablet	II (Paddle)	75	Water with 5 ppm of Tween 80	500	10, 20, 30, 45, 60 and 90	01/28/2004
Ethinyl Estradiol/Norgestrel	Tablet	I (Basket)	75	0.1 N HCl	900	10, 20, 30, 45 and 60	01/31/2013
Etidronate Disodium	Tablet			Refer to USP			06/18/2007
Etodolac	Tablet			Refer to USP			01/14/2008
Etodolac	Tablet (Extended Release)			Refer to USP			06/24/2010

(Continued)

Drug Name	Dosage Form	USP Apparatus	Speed (RPMs)	Medium	Volume (mL)	Recommended Sampling Times (minutes)	Date Updated
Etravirine (200 mg)	Tablet	II (Paddle)	70	1.0% Sodium lauryl sulfate (SLS) in 0.01 M HCl in two phases: Phase 1: 1000 mL of degassed 0.01 M HCl for 10 minutes. Phase 2: Add 800 mL of 2.25% SLS in 0.01 M HCl.	1000 (phase 1); 1800 (phase 2)	Phase 1: No Sampling. Phase 2: 5, 10, 20, 30, 45, 60 and 90	06/30/2011
Etravirine (25 and 100 mg)	Tablet	II (Paddle)	50	1.0% Sodium lauryl sulfate (SLS) in 0.01 M HCl in two phases: Phase 1: 500 mL of degassed 0.01 M HCl for 10 minutes. Phase 2: Add 400 mL of 2.25% SLS in 0.01 M HCl.	500 (phase 1); 900 (phase 2)	Phase 1: No Sampling. Phase 2: 5, 10, 20, 30, 45, 60 and 90	08/14/2014
Everolimus	Tablet	II (Paddle)	50	Water with 0.4% sodium dodecylsulfate	500	10, 20, 30 and 45	07/01/2010
Exemestane	Tablet	I (Basket)	100	0.5%(w/v) SLS Solution	900	10, 20, 30 and 45	08/17/2006
Ezetimibe	Tablet	II (Paddle)	50	0.45% SLS in 0.05 M Acetate Buffer, pH 4.5	500	10, 20, 30 and 45	01/14/2008
Ezetimibe/Simvastatin	Tablet	II (Paddle)	50	0.01 M Sodium Phosphate, pH 7.0/0.5% SDS	900	5, 10, 20 and 30	01/03/2007
Ezogabine	Tablet	II (Paddle)	75	0.01 N HCl	1000	5, 10, 15, 20 and 30	08/15/2013
Famciclovir	Tablet	II (Paddle)	50	0.1 N HCl	900	10, 20, 30 and 45	04/09/2007
Famotidine	Tablet			Refer to USP			06/18/2007
Famotidine	Tablet (Chewable)	II (Paddle)	50	0.1 M Phosphate Buffer, pH 4.5	900	10, 20, 30, 45 and 60	01/29/2004
Famotidine	Tablet (Orally Disintegrating)	II (Paddle)	50	0.1 M Phosphate Buffer, pH 4.5	900	2, 5, 10, 15 and 20	10/06/2008
Famotidine/Calcium Carbonate/Magnesium Hydroxide	Tablet (Chewable)			Develop a dissolution method			12/15/2009
Famotidine/Ibuprofen	Tablet	II (Paddle)	50	0.05 M Phosphate Buffer, pH 7.2	900	5, 10, 15, 20, 30 and 45	08/15/2013
Febuxostat	Tablet	II (Paddle)	75	0.05 M Phosphate Buffer, pH 6.0	900	5, 10, 15, 20 and 30	08/15/2013
Felbamate	Tablet			Refer to USP			08/15/2013
Felodipine	Tablet (Extended Release)			Refer to USP			01/14/2008
Fenofibrate (40 mg and 120 mg)	Tablet	II (Paddle)	75	0.75% Sodium lauryl sulfate in water	900	5, 10, 20, 30, 45 and 60	10/21/2010
Fenofibrate (48 mg and 145 mg)	Tablet	II (Paddle)	50	25 mM Sodium lauryl sulfate in water	1000	5, 10, 20, 30, 45 and 60	10/21/2010
Fenofibrate (54 mg and 160 mg)	Tablet	II (Paddle)	50	0.05 M Sodium lauryl sulfate in water	1000	5, 10, 20, 30, 45 and 60	10/21/2010
Fenofibric Acid	Tablet	II (Paddle)	75	Phosphate buffer, pH 6.8	900	5, 15, 30, 45 and 60	08/05/2010
Fentanyl Citrate	Tablet (Sublingual)	II (Paddle)	50	Phosphate Buffer, pH 6.8	500	1, 3, 5, 7, 10, 15 and 20	08/15/2013
Fentanyl Citrate (0.1 mg and 0.4 mg)	Tablet (Buccal)	II (Paddle) small volume dissolution apparatus	100	Phosphate Buffered Saline solution, pH 7.0	100	3, 5, 7.5, 10, 15 and 20	11/20/2009
Fentanyl Citrate (0.2 mg, 0.3 mg, 0.6 mg and 0.8 mg)	Tablet (Buccal)	II (Paddle) small volume dissolution apparatus	100	Phosphate Buffered Saline solution, pH 7.0	200	3, 5, 7.5, 10, 15 and 20	11/20/2009
Ferric Citrate	Tablet	II (Paddle)	100	EDTA media (2.0 grams of EDTA Na2 2H2O to 1000 mL of purified water)	900	10, 20, 30, 45 and 60	08/27/2015

(Continued)

Drug Name	Dosage Form	USP Apparatus	Speed (RPMs)	Medium	Volume (mL)	Recommended Sampling Times (minutes)	Date Updated
Ferrous Fumarate	Tablet						03/17/2016
Fesoterodine Fumarate	Tablet (Extended Release)	II (Paddle) with sinker	75	Refer to USP Phosphate Buffer, pH 6.8	900	1, 2, 4, 6, 8, 10, 12, 16 and 20 hours	08/15/2013
Fexofenadine HCl	Tablet	II (Paddle)	50	0.001 N HCl	900	5, 10, 20, 30 and 45	02/19/2004
Fexofenadine HCl	Tablet (Orally Disintegrating)	II (Paddle)	50	0.001 N HCl	500	5, 10, 15, 30 and 45	09/03/2008
Fexofenadine HCl/ Pseudoephedrine HCl	Tablet (Extended Release)			Refer to USP			04/02/2009
Fidaxomicin	Tablet	II (Paddle)	75	Water with 2% Tween 80	900	10, 15, 30, 45 and 60	04/14/2016
Finasteride	Tablet			Refer to USP			07/25/2007
Flavoxate HCl	Tablet	I (Basket)	100	0.1 N HCl	900	5, 10, 20 and 30	01/29/2004
Flecainide Acetate	Tablet			Refer to USP			12/15/2009
Filbanserin	Tablet	II (Paddle)	50	Mcl vaine Buffer (Citric Acid/Phosphate Buffer), pH 4.0	900	5, 10, 15, 20 and 30	06/30/2016
Fluconazole	Tablet	II (Paddle)	50	Water (de-aerated)	900 (For 150, 200, 300 & 400 mg tabs) 500 (For 50 & 100 mg tabs)	10, 20, 30, 45 and 60	03/04/2006
Fludrabine Phosphate	Tablet			Water	900	5, 10, 15, 20 and 30	06/07/2012
Fludrocortisone Acetate	Tablet			Refer to USP			05/20/2009
Fluoxetine HCl	Tablet			Refer to USP			04/14/2016
Fluphenazine HCl	Tablet			Refer to USP			11/02/2017
Fluvastatin Sodium	Tablet (Extended Release)	I (Basket)	50	Water (de-aerated)	1000	0.5, 2, 4, 6 and 8 hours	09/22/2011
Fluxoxamine Maleate	Tablet	II (Paddle)	50	Water (de-aerated)	900	10, 20, 30 and 45	01/03/2007
Fosamprenavir Calcium	Tablet	II (Paddle)	75	250 mM Sodium Acetate/Acetic acid buffer pH 3.5	900	10, 20, 30 and 45	12/16/2005
Fosinopril Sodium	Tablet	II (Paddle)	50	Water (de-aerated)	900	10, 20, 30 and 45	01/30/2004
Fosinopril Sodium/ Hydrochlorothiazide	Tablet			Refer to USP			08/11/2008
Frovatriptan succinate	Tablet	II (Paddle)	50	Phosphate Buffer pH 5.5	900	5, 10, 15, 20 and 30	11/04/2008
Furosemide	Tablet			Refer to USP			08/05/2010
Gabapentin	Tablet			Refer to USP			06/03/2008
Gabapentin Enacarbil	Tablet (Extended Release)	II (Paddle)	50	10 mM Phosphate buffer at pH 7.4 with 1.0% SLS	500 (for 300 mg); 900 (for 600 mg)	0.5, 1, 2, 4, 6, 8, 12 and 24 hours	01/31/2013
Galantamine HBr	Tablet			Refer to USP			08/11/2008
Gefitinib	Tablet	II (Paddle)	50	Tween 80 (5% v/v) in water	1000	10, 20, 30, 45 and 60	10/28/2010
Gemfibrozil	Tablet			Refer to USP			07/25/2007
Gemifloxacin Mesylate	Tablet	II (Paddle)	50	0.01 N HCl	900	10, 20, 30 and 45	01/03/2007
Glimepiride	Tablet	II (Paddle)	75	Phosphate Buffer, pH 7.8	900	5, 10, 15 and 30	07/23/2004
Glimepiride/Pioglitazone HCl	Tablet	II (Paddle)	75	For Pioglitazone: pH 2.0, HCl Buffer. For Glimepiride: pH 6.8, Sodium Phosphate Buffer with 0.2% sodium dodecyl sulfate	900	For Pioglitazone: 10, 15, 20, 30 and 45; For Glimepiride: 10, 15, 20 and 30	04/02/2009
Glimepiride/Rosiglitazone Maleate	Tablet	II (Paddle)	75	0.01 M HCl with 0.5% Sodium Dodecyl Sulfate	900	5, 10, 15, 30, 45 and 60	01/03/2007

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Drug Name	Dosage Form	USP Apparatus	Speed (RPMs)	Medium	Volume (mL)	Recommended Sampling Times (minutes)	Date Updated
Glipizide	Tablet			Refer to USP	900		08/05/2010
Glipizide	Tablet (Extended Release)	II (Paddle)	50	Simulated Intestinal Fluid without pancreatin, pH 7.5	900	1, 2, 4, 8, 16 hours and until at least 80% dissolved	04/10/2008
Glipizide/Metformin HCL	Tablet			Refer to USP			12/18/2008
Glyburide (Miconized)	Tablet	II (Paddle)	50	0.05 M Phosphate Buffer, pH 7.5	900	10, 20, 30, 45 and 60	02/02/2004
Glyburide (Non-miconized)	Tablet	II (Paddle)	75	0.05 M Borate Buffer, pH 9.5	500	10, 20, 30, 45 and 60	02/02/2004
Glyburide/Metformin HCl	Tablet			Refer to USP			01/14/2008
Glycopyrrolate	Tablet			Refer to USP			07/25/2007
Granisetron HCl	Tablet	II (Paddle)	50	Phosphate buffer, pH 6.5	500	10, 20, 30, 45 and 60	06/05/2006
Grisofulvin (Microcrystalline)	Tablet			Refer to USP			01/15/2010
Grisofulvin	Tablet			Refer to USP			11/04/2008
(Ultramicrocrystalline)							
Guafenesin	Tablet (Extended Release)	I (Basket)	75	0.1 N HCl	900	1, 2, 4, 6 and 12 hours	01/03/2007
Guafenesin/Pseudoephedrine Hydrochloride	Tablet (Extended Release)	I (Basket)	50	0.01 N HCl	900	1, 2, 6, and 12 hours	11/25/2008
Guanfacine	Tablet (Extended Release)	II (Paddle)	75	HCl Buffer, pH 2.2	900	1, 2, 4, 6, 8, 10, 12, 16, 20 and 24 hours	07/01/2010
Haloperidol	Tablet			Refer to USP			11/25/2008
Homatropine Methylbromide/Hydrocodone Bitartrate	Tablet			Refer to USP			10/30/2009
Hydralazine HCl	Tablet			Refer to USP			04/10/2008
Hydrochlorothiazide	Tablet			Refer to USP			07/25/2007
Hydrochlorothiazide/Irbesartan	Tablet	II (Paddle)	50	0.1 N HCl	1000	10, 20, 30 and 45	09/24/2008
Hydrochlorothiazide/Lisinopril	Tablet	II (Paddle)	50	0.1 N HCl	900	10, 20, 30, 45 and 60	02/03/2004
Hydrochlorothiazide/Losartan Potassium	Tablet	I (Basket)	100	Water (de-aerated)	900	10, 20, 30, 45 and 60	02/03/2004
Hydrochlorothiazide/Metoprolol Succinate	Tablet (Extended Release)	II (Paddle)	Hydrochlorothiazide: 100; Metoprolol succinate: 75	Hydrochlorothiazide: 0.1N HCl; Metoprolol succinate: Phosphate Buffer, pH 6.8	500	Hydrochlorothiazide: 10, 15, 20, 30, and 45 minutes; Metoprolol succinate: 1, 2, 4, 6, 8, 12, 16, 20 and 24 hours	10/31/2013
Hydrochlorothiazide/Metoprolol Tartrate	Tablet			Refer to USP			01/05/2012
Hydrochlorothiazide/Moexipril HCl	Tablet	II (Paddle)	50	0.1 N HCl	900	5, 10, 15 and 30	02/10/2004
Hydrochlorothiazide/Olmesartan Medoxomil	Tablet	II (Paddle)	50	0.05 M Phosphate Buffer, pH 6.8	900	5, 10, 15, 20, 30, 45 and 60	07/09/2007
Hydrochlorothiazide/Quinapril HCl	Tablet	I (Basket)	100	Water (de-aerated)	900	5, 10, 20 and 30	02/03/2004
Hydrochlorothiazide/Spironolactone	Tablet			Refer to USP			08/27/2009
Hydrochlorothiazide/Telmisartan	Tablet			Refer to USP			06/30/2016

(Continued)

Drug Name	Dosage Form	USP Apparatus	Speed (RPMs)	Medium	Volume (mL)	Recommended Sampling Times (minutes)	Date Updated
Hydrochlorothiazide/ Triamterene	Tablet			Refer to USP			07/31/2013
Hydrochlorothiazide/Valsartan	Tablet			Refer to USP			07/28/2016
Hydrocodone Bitartrate	Tablet (Extended Release)	I (Basket-10 mesh)	100	Simulated gastric fluid (SGF) without enzyme (pH 1.2)	900	1, 2, 4, 8, 12, 16, 20 and 24 hours	04/14/2016
Hydrocodone Bitartrate/ Ibuprofen	Tablet	II (Paddle)	50	Phosphate Buffer, pH 7.2	900	5, 10, 15 and 30	02/04/2004
Hydrocortisone	Tablet			Refer to USP			05/09/2013
Hydromorphone HCl	Tablet			Refer to USP			07/25/2007
Hydromorphone HCl	Tablet (Extended Release)	VII (Reciprocating holder) (Sample holder-Cage)	30 cycles per min	Water	50	1, 2, 4, 6, 8, 10, 12, 16, 20 and 24 hours	05/26/2016
Hydroxyzine HCl	Tablet			Refer to USP			07/25/2007
Ibuprofen	Tablet	II (Paddle)	50	Water	500	5, 10, 15, 30 and 45	01/03/2007
Ibuprofen	Tablet			Refer to USP			07/25/2007
Ibuprofen	Tablet (Chewable)	II (Paddle)	50	0.05 M Phosphate Buffer, pH 7.2	900	10, 20, 30 and 45	02/04/2004
Ibuprofen/Oxycodone HCl	Tablet	I (Basket)	100	Phosphate buffer, pH 7.2	500	10, 20, 30 and 45	04/09/2007
Ibuprofen/Phenylephrine HCl	Tablet	II (Paddle)	50	50 mM Potassium Phosphate Buffer, pH 6.5, (degassed)	900	10, 15, 20, 30 and 45	01/05/2012
Idelalisib	Tablet	II (Paddle)	75	0.01 N HCl	750	5, 10, 15, 20 and 30	08/27/2015
Iloperidone	Tablet	II (Paddle)	50	0.1 N HCl	500	5, 10, 15, 30, 45 and 60	08/05/2010
Imatinib Mesylate	Tablet	II (Paddle)	50	0.1 N HCl	1000	5, 10, 15, 20 and 30	09/22/2011
Imipramine HCl	Tablet			Refer to USP			01/14/2008
Indapamide	Tablet			Refer to USP			04/15/2008
Irbesartan	Tablet			Refer to USP			08/11/2008
Iso carbamazepid	Tablet	II (Paddle)	50	0.1 N HCl	900	10, 20, 30, 45 and 60	02/04/2004
Isoniazid	Tablet			Refer to USP			04/15/2008
Isosorbide Dinitrate	Tablet			Refer to USP			06/25/2015
Isosorbide Dinitrate	Tablet (Extended Release)			Refer to USP			06/25/2015
Isosorbide Dinitrate/ Hydralazine HCl	Tablet	I (Basket)	100	0.05 N HCl	900	10, 15, 20, 25, 30 and 45	06/10/2009
Isosorbide Mononitrate	Tablet	II (Paddle)	50	Water (de-aerated)	900	5, 10, 15 and 30	02/04/2004
Isosorbide Mononitrate	Tablet (Extended Release)			Refer to USP			11/25/2008
Isradipine (10 mg)	Tablet (Extended Release)	II (Paddle)	50	0.2% Lauryl Dimethylamine Oxide (LDAO) in water	1000	2, 4, 8, 12, 16 and 24 hours	02/25/2004
Isradipine (5 mg)	Tablet (Extended Release)	II (Paddle)	50	0.2% Lauryl Dimethylamine Oxide (LDAO) in water	500	2, 4, 8, 12, 16 and 24 hours	02/25/2004
Itraconazole	Tablet	II (Paddle)	75	0.1 N HCl	900	5, 15, 30, 45, 60, 75 and 90	08/15/2013
Ivabradine HCl	Tablet			Develop a dissolution method			05/18/2017
Ivacaftor	Tablet	II (Paddle) with sinker	65	50 mM Sodium Phosphate Buffer with 0.7% Sodium Dodecyl Sulfate (SDS), pH 6.8	900	5, 10, 15, 20 and 30	06/25/2015

(Continued)

Drug Name	Dosage Form	USP Apparatus	Speed (RPMs)	Medium	Volume (mL)	Recommended Sampling Times (minutes)	Date Updated
Ivacaftor/lumacaftor	Tablet	Lumacaftor: II (Paddle); Ivacaftor: II (Paddle)	Lumacaftor: 65; Ivacaftor: 65	Lumacaftor: 0.5% (w/v) CTAB in 50 mM Sodium Acetate Trihydrate buffer (pH 4.5); Ivacaftor: 0.4% (w/v) SLS in 50 mM Sodium Phosphate buffer (pH 6.8)	Lumacaftor: 900; Ivacaftor: 900	5, 10, 15, 20 and 30	03/17/2016
Ivermectin	Tablet	II (Paddle)	50	0.5% SDS in 0.01 M Monobasic Sodium Phosphate, pH 7.0	900	10, 20, 30, 45 and 60	02/04/2004
Ketconazole	Tablet	I (Basket)	100	Simulated gastric fluid w/o pepsin	800	15, 30, 45, 60 and 90	01/03/2007
Ketoprofen	Tablet	II (Paddle)	50	SIF Buffer without enzyme, pH 7.4	900	10, 20, 30, 45 and 60	02/05/2004
Ketorolac Tromethamine	Tablet			Refer to USP			04/15/2008
Labetalol HCl	Tablet			Refer to USP			08/27/2009
Lacosamide	Tablet	II (Paddle)	50	0.1 N HCl	900	10, 15, 20, 30 and 45	06/07/2012
Lamivudine (for 100 mg & 150 mg)	Tablet	II (Paddle)	50	Water (de-aerated)	900	10, 20, 30 and 45	03/22/2006
Lamivudine (for 300 mg only)	Tablet	II (Paddle)	75	0.1 N HCl	900	5, 10, 15 and 30	03/22/2006
Lamivudine 150 mg/ Zidovudine 300mg Tablets and Abacavir Sulfate 300 mg Tablets-co-packaged	Tablet	II (Paddle)	75	0.1 N HCl	900	5, 10, 15, 20, 30 and 40	01/03/2007
Lamivudine/Raltegravir Ka Lamivudine/Stavudine/ Nevirapine	Tablet Tablet	II (Paddle) II (Paddle)	75 75	Water 0.1 N HCl	900 900	10, 15, 20, 30 and 45 10, 20, 30, 45 and 60	10/20/2016 01/03/2007
Lamivudine/Zidovudine Lamivudine/ Zidovudine + Efavirenz	Tablet Tablet (Copackage)	II (Paddle)	Lamivudine and Zidovudine: 75 Efavirenz: 50	Refer to USP Lamivudine and Zidovudine: 0.1 N HCl Efavirenz: 2% SLS in water	Lamivudine and Zidovudine: 1000 Efavirenz: 900	10, 20, 30, and 45	11/02/2017 01/03/2007
Lamivudine/ Zidovudine + Nevirapine	Tablet (Copackage)	II (Paddle)	50	Lamivudine and Zidovudine: water Nevirapine: 0.06 M HCl (pH 1.2)	900	10, 15, 30, 45 and 60	01/03/2007
Lamivudine/Zidovudine/ Nevirapine	Tablet	II (Paddle)	50	0.01 N HCl	900	10, 15, 30, 45 and 60	01/03/2007
Lamotrigine	Tablet (Chewable dispersible)	II (Paddle)	50	0.1 N HCl	900	5, 10, 15, 20 and 30	01/14/2008
Lamotrigine	Tablet (Extended Release)			Refer to USP			02/18/2016
Lamotrigine	Tablet (Regular)	II (Paddle)	50	0.1 N HCl	900	5, 10, 15, 20 and 30	03/04/2006
Lansoprazole	Tablet (Delayed Release, Orally Disintegrating)	II (Paddle)	75	Acid Stage: 0.1 N HCl; Buffer Stage: Phosphate Buffer, pH 6.8 with 5 mM Sodium Dodecyl Sulfate	500 (Acid), 900 (Buffer)	60 (Acid), 10, 20, 30 and 45 (Buffer)	11/04/2008
Lapatinib Ditosylate	Tablet	II (Paddle)	55	2% Polysorbate 80 in 0.1 N HCl	900	10, 15, 30 and 45	10/30/2009
Ledipasvir/Sofosbuvir	Tablet	II (Paddle)	75	1.5% Polysorbate 80 in 10 mM Potassium Phosphate Buffer with 0.0075 mg/mL Butylated Hydroxytoluene (BHT), pH 6.0	900	5, 10, 15, 20, 30, 45 and 60	08/27/2015
Leflunomide	Tablet	II (Paddle)	100	Water (de-aerated)	1000	10, 20, 30 and 45	02/05/2004
Leflunomide (100 mg)	Tablet	II (Paddle)	100	Water (de-aerated)+0.6% Polyoxyethylene Lauryl Ether	1000	10, 20, 30 and 45	05/31/2007

(Continued)

Drug Name	Dosage Form	USP Apparatus	Speed (RPMs)	Medium	Volume (mL)	Recommended Sampling Times (minutes)	Date Updated
Lesinurad	Tablet	II (Paddle)	75	pH 4.5 sodium acetate buffer with 1% SLS	900	10, 20, 30 and 45	03/17/2016
Letemovir	Tablet	II (Paddle)	75	25 mM Na Acetate Buffer, pH 4.5 with 0.6% Tween-80	900	10, 15, 20, 30, 45 and 60	02/08/2018
Letrozole	Tablet	II (Paddle)		Refer to USP			04/10/2008
Letrozole/Ribociclib	Tablet	II (Paddle)		0.01N HCl, (degassed) [Ribociclib]; 0.1N HCl [Letrozole]		10, 15, 20, 30, 45 and 60	11/02/2017
Leucovorin Calcium	Tablet	II (Paddle)		Refer to USP			07/14/2008
Levetiracetam	Tablet	II (Paddle)	50	Water (de-aerated)	900	5, 10, 15 and 30	02/05/2004
Levetiracetam	Tablet (Extended Release)	I (Basket)	100	0.05 M Phosphate Buffer, pH 6.0	900	1, 2, 4, 6, 8 and 12 hours	04/02/2009
Levetiracetam	Tablet, for Suspension	II (Paddle)	50	Phosphate Buffer, pH 6.8 (degas)	900	2.5, 5, 10, 15 and 20	03/02/2017
Levocarnitine	Tablet	II (Paddle)	50	Refer to USP	900		08/27/2015
Levocetirizine Dihydrochloride	Tablet	II (Paddle)	50	Water	900	10, 20, 30 and 45	08/11/2008
Levofloxacin	Tablet	II (Paddle)	75	Refer to USP			07/28/2016
Levonorgestrel	Tablet	II (Paddle)	75	0.1 N HCl with 0.1% SLS	1000	10, 20, 30, 45, 60 and 90	02/05/2004
Levothyroxine Sodium	Tablet	II (Paddle)	75	Refer to USP			07/25/2007
Linagliptin	Tablet	I (Basket)	50	0.1 N HCl	900	5, 10, 15, 20, 30 and 45	08/15/2013
Linagliptin/Metformin HCl	Tablet	II (Paddle)	50	0.1 N HCl	900	5, 10, 15, 20, 30 and 45	05/15/2014
Linagliptin/Metformin HCl	Tablet (Extended Release)	I (Basket)	100	Simulated Gastric Fluid (SGF) without enzyme (pH 1.2) (degassed)	900	Linagliptin 10, 15, 20, 30 and 45 minutes; Metformin: 1, 2, 4, 6, 8 and 12 hours	07/28/2016
Linezolid	Tablet	II (Paddle)	50	0.05 M Phosphate Buffer, pH 6.8	900	5, 10, 20, 30 and 45	01/14/2008
Liothyronine Sodium	Tablet	II (Paddle)	50	Refer to USP			06/18/2007
Lisinopril	Tablet	II (Paddle)	75	Refer to USP			01/14/2008
Lithium Carbonate	Tablet	II (Paddle)	50	Refer to USP			04/10/2008
Lithium Carbonate	Tablet (Extended Release)	II (Paddle)	50	Refer to USP			01/14/2008
Lomefloxacin HCl	Tablet	II (Paddle)	50	0.01 N HCl	900	10, 20, 30 and 45	02/05/2004
Loperamide HCl	Tablet	II (Paddle)	50	Refer to USP (provide individual unit data).			06/25/2015
Loperamide HCl	Tablet (Chewable)	II (Paddle)	50	0.2 M Acetate Buffer, pH 4.7	500	5, 10, 15, 20 and 30	06/25/2015
Loperamide HCl/Simethicone	Tablet	II (Paddle)	75	0.1N HCl	500	10, 15, 20, 30 and 45	08/27/2015
Lopinavir/Ritonavir	Tablet (Combination)	II (Paddle)	50	Refer to USP			01/15/2015
Loratadine	Tablet (Chewable)	II (Paddle)	50	0.1 N HCl	500	15, 30, 45 and 60	07/14/2008
Loratadine	Tablet (Orally Disintegrating)	I (Basket)	50	SGF without enzyme	900	2, 4, 6 and 10	07/14/2008
Loratadine/Pseudoephedrine Sulfate (10 mg/240 mg)	Tablet (Extended Release)	I (Basket)	75	900 mL 0.1 N HCl for one hour, then replace the medium with 900 mL 0.05 M phosphate buffer at pH6.8 containing 0.01% sodium lauryl sulfate.	900	Loratadine:10, 15, 20, 30 and 45; Pseudoephedrine: 1, 2, 4, 8, 12, 16, 18 and 24 hours	08/05/2010
Loratadine/Pseudoephedrine Sulfate (5 mg/120 mg)	Tablet (Extended Release)	II (Paddle)	50	900 mL 0.1 N HCl for one hour, then replace with 900 mL 0.05 M phosphate buffer at pH 8.2 containing 0.01% sodium lauryl sulfate	900	Loratadine:15, 20, 30, 45, 60 and 90; Pseudoephedrine: 1, 2, 4, 8, 12 and 16 hours	08/05/2010
Lorazepam	Tablet	II (Paddle)	50	Refer to USP			01/14/2008

(Continued)

Drug Name	Dosage Form	USP Apparatus	Speed (RPMs)	Medium	Volume (mL)	Recommended Sampling Times (minutes)	Date Updated
Lorcaserin HCl	Tablet	II (Paddle)	50	0.1 N HCl (de-aerated)	900	5, 10, 15, 20 and 30	12/22/2016
Lorcaserin HCl	Tablet (Extended Release)	I (Basket)	100	0.1 N HCl (de-aerated)	900	1, 2, 4, 5, 8, 12, 16 and 20 hours	10/20/2016
Losartan Potassium	Tablet	II (Paddle)	50	Water (de-aerated)	900	10, 20, 30 and 45	02/06/2004
Losartan Potassium	Tablet			Refer to USP			01/05/2012
Lovastatin/Niacin	Tablet (Extended Release)	I (Basket)	100	For Niacin: Water; for Lovastatin: 0.05 M phosphate buffer, pH 7.0 with 0.5% sodium dodecyl sulfate	900	For Niacin: 0.5, 1, 2, 3, 6, 9, 12, 20 and 24 hours; For Lovastatin: 15, 30, 45 and 60 min	01/14/2008
Lurasidone HCl	Tablet	II (Paddle)	50	McIlvaine buffer, pH 3.8 [(0.025 M Citric acid Solution + 0.05M Na ₂ HPO ₄ solution (3:2)) Measure the pH and adjust to 3.8, if necessary. Degas before use.	900	5, 10, 15, 20 and 30	01/31/2013
Macitentan	Tablet	II (Paddle)	75	Phosphate Buffer, pH 6.8 with 0.1% of Cetrimeronium bromide (CTAB)	900	10, 15, 20, 30 and 45	05/28/2015
Magnesium Hydroxide/Bicarbonate	Tablet (Chewable)	II (Paddle)	150	0.029 M sodium phosphate buffer w/0.5% SDS, pH 7.4	900	15, 30, 45, and 60	02/19/2008
Magnesium Hydroxide/Omeprazole/Sodium Bicarbonate	Tablet (Chewable)	II (Paddle)	150	pH 7.4 Phosphate Buffer with 0.5% SDS	900	15, 30, 45, 60 and 90	10/06/2008
Maraviroc	Tablet	I (Basket)	100	0.01 N HCl	900	10, 15, 20, 30 and 45	10/21/2010
Mebendazole	Tablet (Chewable)	II (Paddle)	75	0.1 N HCl containing 1% Sodium Lauryl Sulfate	900	15, 30, 45, 60, 90 and 120	10/06/2008
Mebendazole (500 mg)	Tablet (Chewable)	II (Paddle)	75	1% Sodium Lauryl Sulfate (SLS) in 0.01 N HCl	900	5, 10, 15, 30, 45 and 60 minutes	12/22/2016
Mecamylamine HCl	Tablet			Refer to USP			02/15/2018
Mecizine HCl	Tablet	I (Basket)	100	0.01 N HCl	900	10, 20, 30, 45 and 60	08/27/2009
Mecizine HCl	Tablet (Chewable)	I (Basket)	100	0.01 N HCl	900	10, 20, 30, 45 and 60	04/08/2010
Medroxyprogesterone Acetate	Tablet			Refer to USP			12/24/2015
Mefloquine HCl	Tablet	I (Basket)	100	SGF without enzyme	900	10, 20, 30, 45 and 60	02/06/2004
Meloxicam	Tablet	II (Paddle)	75	Phosphate Buffer, pH 7.5	900	10, 20, 30, 45 and 60	02/20/2004
Melphalan	Tablet			Refer to USP			07/14/2008
Mementine HCl	Tablet	I (Basket)	100	0.1 N HCl with NaCl (12 g NaCl in 6 L water adjust pH to 1.2 with HCl)	900	10, 20, 30 and 45	12/16/2005
Meprobamate	Tablet			Refer to USP			11/25/2008
Mercaptopurine	Tablet	II (Paddle)	50	0.1 N HCl	900	20, 30, 45, 60, 90 and 120	02/06/2004
Mesalamine (1.2 gram)	Tablet (Delayed Release)	II (Paddle)	100	Acid stage (A): 100 mM HCl Buffer stage (B): Phosphate Buffer, pH 6.4 Buffer stage (C): Phosphate Buffer, pH 7.2	950 mL; 950 mL; 960 mL	Acid stage (A): 2 hours; Buffer stage (B): 1 hour; Buffer stage (C): 1, 2, 4, 6 and 8 hours	06/10/2009
Mesalamine (400 mg and 800 mg)	Tablet (Delayed Release)			Refer to USP			11/05/2010
Mesna	Tablet	II (Paddle)	50	0.06 N HCl	500	5, 10, 15, 20 and 30	02/09/2004

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Drug Name	Dosage Form	USP Apparatus	Speed (RPMs)	Medium	Volume (mL)	Recommended Sampling Times (minutes)	Date Updated
Mestranol/Norethindrone	Tablet			Refer to USP			03/25/2010
Metaxalone	Tablet			Refer to USP			10/20/2016
Metformin HCl	Tablet			Refer to USP			04/10/2008
Metformin HCl	Tablet (Extended Release)			Refer to USP			12/12/2008
Metformin HCl/ Pioglitazone HCl	Tablet	II (Paddle)	50	pH 2.5 McIlvaine buffer (0.1 M Citric acid adjusted to pH 2.5 with 0.2 M Na ₂ HPO ₄)	900	10, 20, 30 and 45	01/03/2007
Metformin HCl/Saxagliptin	Tablet (Extended Release)	I (Basket)	100	Phosphate Buffer, pH 6.8	1000	Metformin: 1, 2, 3, 4, 6, 8, 10 and 12 hours; Saxagliptin: 5, 10, 15, 20 and 30 minutes	01/26/2012
Metformin HCl/Sitagliptin Phosphate	Tablet	II (Paddle)	75	0.025 M NaCl	900	10, 15, 20 and 30	10/06/2008
Metformin/Repaglinide	Tablet	II (Paddle)	50	Citric acid/phosphate buffer, pH 5.0	900	5, 10, 15, 20 and 30	10/30/2009
Methadone HCl	Tablet			Refer to USP			07/14/2008
Methazolamide	Tablet	II (Paddle)	100	pH 4.5 Acetate Buffer	900	10, 15, 20, 30 and 45	05/28/2015
Methenamine Hippurate	Tablet			Refer to USP			07/31/2013
Methimazole	Tablet			Refer to USP			01/14/2008
Methocarbamol	Tablet			Refer to USP			08/15/2013
Methotrexate Sodium	Tablet			Refer to USP			04/02/2009
Methscopolamine Bromide	Tablet			Refer to USP			02/15/2018
Methylegonovine Maleate	Tablet			Refer to USP			03/17/2016
Methylglutrexone Bromide	Tablet	II (Paddle)	50	0.1 N HCl	1000	5, 10, 15, 20 and 30	10/20/2016
Methylphenidate	Tablet (Extended Release, Orally Disintegrating)	II (Paddle)	75	Acid Stage: 0.1 N HCl; Buffer Stage: Phosphate Buffer, pH 6.8	Acid Stage: 900 mL; Buffer Stage: 1000 mL	Acid Stage: 15, 30, 60, 120 minutes; Buffer Stage: 1, 2, 3, 4, 6 and 8 hours	11/16/2017
Methylphenidate	Tablet (Extended Release)			Refer to USP			02/14/2014
Methylphenidate HCl	Tablet			Refer to USP (provide individual unit data).			
Methylphenidate HCl	Tablet (Chewable)	I (Basket)	100	Water	900	15, 30, 45 and 60	03/25/2010
Methylphenidate HCl	Tablet (Extended Release, Chewable)	II (Paddle)	75	0.4M KH ₂ PO ₄ solution (degas)	900	0.25, 0.5, 1, 2, 3, 4, 6, 8 hours	03/17/2016
Methylprednisolone	Tablet			Refer to USP			01/29/2010
Methyltestosterone	Tablet	II (Paddle)	50	Water	900	10, 20, 30, 45, 60 and 75	07/31/2013
Metoclopramide HCl	Tablet			Refer to USP			07/15/2009
Metoclopramide HCl	Tablet (Orally Disintegrating)	I (Basket)	50	Water	900	5, 10, 15, 20, 30 and 45	04/08/2010
Metolazone	Tablet	II (Paddle)	75	2% SLS in 0.05 M Sodium Phosphate Buffer, pH 7.5	900	30, 60, 90, 120 and 150	02/10/2004
Metoprolol Succinate	Tablet (Extended Release)			Refer to USP			07/25/2007
Metoprolol Tartrate	Tablet			Refer to USP			07/25/2007
Metronidazole	Tablet			Refer to USP			08/05/2010
Miconazole	Tablet (Buccal)	I (Basket)	60	0.5% SDS (Sodium dodecylsulfate) in water-pH adjusted to 6.5±0.5	1000	1, 2, 4, 6, 8, 10 and 12 hours	10/28/2010
Midodrine HCl	Tablet	II (Paddle)	50	0.1 N HCl	900	5, 10, 15 and 30	02/06/2004
Mifepristone	Tablet	II (Paddle)	75	0.01 N HCl	900	5, 10, 15, 20 and 30	01/14/2008

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Drug Name	Dosage Form	USP Apparatus	Speed (RPMs)	Medium	Volume (mL)	Recommended Sampling Times (minutes)	Date Updated
Mifepristone (300 mg)	Tablet	II (Paddle)	50	pH 1.8 KCl Buffer	900	10, 15, 20, 30 and 45	03/17/2016
Miglitol	Tablet	II (Paddle)	75	Water	900	10, 20, 30, and 45	03/03/2011
Milnacipran HCl	Tablet	II (Paddle)	50	0.1 N HCl	900	10, 15, 30, 45 and 60	08/05/2010
Minoocycline HCl	Tablet	I (Basket)	100	Refer to USP	900	1, 2, 4, 6 hours and until 80% of drug released	07/25/2007
Minoocycline HCl	Tablets, ER	I (Basket)	100	0.1 N HCl	900	1, 2, 4, 6 hours and until 80% of drug released	01/14/2008
Minoocycline HCl (135 mg and 105 mg)	Tablet (Extended Release)	I (Basket)	100	pH 2.1 Buffer (Degassed)	900	15, 30, 45, 60, 90, 120, 180 and 210	11/02/2017
Minoxidil	Tablet	I (Basket)	100	Refer to USP	900	1, 3, 5, 7, 8.5, 10 and 12 hours	04/15/2008
Mirabegron	Tablet (Extended Release)	I (Basket)	100	Phosphate Buffer, pH 6.8	900	1, 3, 5, 7, 8.5, 10 and 12 hours	05/09/2013
Mirtazapine	Tablet	II (Paddle)	50	0.1 N HCl	900	5, 10, 15 and 30	02/10/2004
Mirtazapine	Tablet (Orally Disintegrating (ODT))	II (Paddle)	50	0.1 N HCl	900	5, 10, 15, 20 and 30	03/04/2006
Misoprostol	Tablet	II (Paddle)	50	Water (de-aerated)	500	5, 10, 20 and 30	02/10/2004
Mitotane	Tablet	I (Basket)	50	Refer to USP	900	5, 10, 20 and 30	06/10/2009
Modafinil	Tablet	II (Paddle)	50	0.1 N HCl	900	10, 20, 30, 45 and 60	02/10/2004
Moexipril HCl	Tablet	II (Paddle)	50	Water (de-aerated)	900	5, 10, 15 and 30	02/10/2004
Molindone HCl	Tablet	I (Basket)	100	Refer to USP	900	5, 10, 15 and 30	07/25/2007
Montelukast Sodium	Tablet	II (Paddle)	50	0.5% SDS in water	900	5, 10, 20 and 30	04/09/2007
Montelukast Sodium	Tablet (Chewable)	II (Paddle)	50	0.5% SDS in water	900	5, 10, 20 and 30	03/04/2006
Morphine Sulfate	Tablet	II (Paddle)	50	Deionized Water	900	5, 15, 20 and 30	01/15/2010
Morphine Sulfate	Tablet (Extended Release)	I (Basket)	100	Simulated Gastric Fluid [SGF] without enzyme	900	0.25, 0.5, 1, 1.5, 2, 4, 6 and 8 hours	10/20/2016
Morphine Sulfate (AB)	Tablet (Extended Release)	I (Basket)	50	Water (de-aerated)	900	1, 2, 3, 6, 9 and 12 hours	12/23/2010
Morphine Sulfate (BC)	Tablet (Extended Release)	I (Basket)	100	Water	500	1, 2, 4, 6, 8, 10 and 12 hours	12/23/2010
Moxifloxacin	Tablet	II (Paddle)	50	0.1 N HCl	900	15, 30, 45 and 60	06/18/2007
Mycophenolate Mofetil	Tablet	II (Paddle)	50	0.1 N HCl	900	5, 10, 15 and 30	02/10/2004
Mycophenolic acid	Tablet (Delayed Release)	II (Paddle)	50	Acid Stage: 0.1 N HCl; Buffer Stage: Buffer Solution, pH 6.8 (After initial 120 mins., 250 mL of 0.2 M sodium phosphate solution is added to acid stage medium. The pH of the mixture is adjusted to 6.8 using 0.2 M sodium phosphate, 2 N sodium hydroxide, or concentrated HCl acid solution if necessary.)	750 (Acid), 1000 (Buffer)	120 (Acid), 10, 20, 30, 45 and 60 (Buffer)	12/19/2008
Nabumetone	Tablet			Refer to USP			07/25/2007
Nadolol	Tablet			Refer to USP			04/02/2009
Naldemedine	Tablet	II (Paddle)	50	Mixture of pH 6.8 phosphate buffer [25 mM disodium hydrogen phosphate] solution and water (1:1)	500	5, 10, 15, 20 and 30	11/02/2017
Naloxegol Oxalate	Tablet	II (Paddle)	50	0.1 N HCl	500	5, 10, 15, 20 and 30	05/28/2015
Naltrexone HCl	Tablet			Refer to USP			04/15/2008

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Drug Name	Dosage Form	USP Apparatus	Speed (RPMs)	Medium	Volume (mL)	Recommended Sampling Times (minutes)	Date Updated
Naltrexone HCl/ Bupropion HCl	Tablet (Extended Release)	II (Paddle)	50	Water (degassed)	900	0.5, 1, 1.5, 2, 3, 4, 6 and 8 hours	09/03/2015
Naproxen	Tablet			Refer to USP			07/25/2007
Naproxen	Tablet (Delayed Release)			Refer to USP			12/15/2009
Naproxen Sodium	Tablet			Refer to USP			10/04/2012
Naproxen Sodium	Tablet (Extended Release)	II (Paddle)	50	Phosphate Buffer, pH 7.5	900	0.5, 1, 2, 3, 4, 6, 8, 10, 12 and 14 hours	04/08/2010
Naproxen Sodium/ Diphenhydramine HCl	Tablet	II (Paddle)	75	0.1M Sodium Phosphate buffer, pH 7.4 (de-aerated)	900	5, 10, 15, 20 and 30	06/25/2015
Naproxen Sodium/ Diphenhydramine HCl	Tablet	II (Paddle)	75	0.1M Sodium Phosphate Buffer, pH 7.4	900	5, 10, 15, 20, 30 and 45	05/28/2015
Naproxen Sodium/Sumatriptan Succinate	Tablet	I (Basket)	75	Phosphate Buffer, pH 6.8	900	10, 15, 20, 30 and 45	07/01/2010
Naproxen/Esomeprazole Magnesium	Tablet (Delayed Release)	II (Paddle) with sinks	Naproxen::50 rpm Esomeprazole: 75 rpm	Naproxen:: Acid Stage: 0.1M HCl; Buffer Stage: 0.05M Phosphate buffer, pH 6.8. Sampling for Acid stage: Transfer the un-dissolved tablet & sinker to the vessel containing the buffer stage medium. Add, 10 mL of 10 M NaOH to each vessel of the remaining acid stage medium. Continue rotation at 100 rpm for 30 minutes, withdraw aliquot and analyze. Esomeprazole (second set of tablets) (without pre-exposure to acid stage): 0.05M Phosphate buffer, pH 7.4	Naproxen::Acid Stage: 1000; Buffer Stage: 1000; Esomeprazole::900	Naproxen:: Acid stage: 120; Buffer stage: 10, 20, 30, 45, 60, 75 and 90; Esomeprazole::10, 20, 30, 45, 60, 75 and 90	06/06/2013
Naratriptan HCl	Tablet			Refer to USP			07/25/2007
Nateglimide	Tablet	II (Paddle)	50	0.01 N HCl with 0.5% (w/v) SLS	1000	10, 20, 30 and 45	01/03/2007
Nebivolol HCl	Tablet	II (Paddle)	50	0.01 N HCl	900	10, 20, 30 and 45	01/15/2010
Nebivolol/Valsartan	Tablet	I (Basket)	100	67 mM Phosphate Buffer pH 6.8 with 0.5% SDS	900	5, 10, 15, 20 and 30	10/20/2016
Nefazodone HCl	Tablet	II (Paddle)	50	0.1 N HCl	900	10, 20, 30, 45 and 60	01/03/2007
Nelfinavir Mesylate	Tablet	II (Paddle)	50	0.1 N HCl	900	5, 10, 15, 20, 30, 45, 60 and 90	01/03/2007
Neomycin Sulfate	Tablet	II (Paddle)	50	0.05 M Phosphate Buffer, pH 6.8	900	15, 30, 45 and 60	01/14/2008
Nevirapine	Tablet			Refer to USP			09/13/2007
Nevirapine	Tablet (Extended Release)	I (Basket)	75	0.04 M Sodium phosphate buffer pH 6.8 containing 2% sodium lauryl sulfate	900	1, 2, 3, 4, 5, 6, 8, 10, 12, 16 and 20 hours	01/31/2013
Niacin	Tablet (Extended Release)	I (Basket)	100	Water	900	1, 3, 6, 9, 12, 15, 20 and 24 hours	06/10/2009

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Drug Name	Dosage Form	USP Apparatus	Speed (RPMs)	Medium	Volume (mL)	Recommended Sampling Times (minutes)	Date Updated
Niacin/Simvastatin	Tablet (Extended Release)	Niacin: I (40 mesh rotating Basket); Simvastatin: I (10 mesh rotating Basket)	100	Niacin: Water; Simvastatin: 0.5% SDS in 0.01 M Sodium Phosphate, pH 7.0	900	Niacin: 1, 3, 6, 9, 12, 15, 18, 20 and 24 hours; Simvastatin: 10, 20, 30, 45 and 60	01/15/2010
Nifedipine	Tablet (Extended Release)			Refer to USP			07/25/2007
Nitlutamide	Tablet			Develop a dissolution method			05/20/2009
Nisoldipine	Tablet (Extended Release)	II (Paddle) with option to use a sinker	50	HCl with SLS (32.5 ± 0.1 g Sodium Lauryl Sulfate in 6489 mL of purified water containing 17.0 mL HCl, pH adjusted to 1.20 ± 0.05 with HCl)	900	1, 4, 8, 12, 15, 18 and 24 hours	04/02/2009
Nitazoxanide	Tablet	II (Paddle)	75	Phosphate buffer at pH 7.5 with 6% hexadecyltrimethyl ammonium bromide, bath temperature at 25°C	900	10, 20, 30, 45, 60	01/03/2007
Nitisinone	Tablet	II (Paddle)	50	Phosphate Buffer, pH 6.8	2 mg tablets: 500 mL; 5 mg and 10 mg tablets: 900 mL	10, 15, 20, 30, 45, 60, 75 and 90	02/15/2018
Nitroglycerin	Tablet (Sublingual)	II (Paddle)	50	Phosphate Buffer, pH 6.5	500	1, 3, 5, 8, and 10	01/15/2010
Norethindrone	Tablet			Refer to USP			10/08/2009
Norethindrone Acetate	Tablet			Refer to USP			08/27/2009
Nystatin	Tablet	II (Paddle)	75	Water with 0.1% SLS	900	15, 30, 45, 60 and 90	01/03/2007
Obeticholic Acid	Tablet	II (Paddle)	75	0.08% polysorbate 80 in 50mM sodium phosphate dibasic buffer, pH 6.8	900	5, 10, 15, 20, 30 and 45	11/02/2017
Ofloxacin	Tablet	I (Basket)	100	0.1 N HCl	900	10, 20, 30 and 45	02/12/2004
Olanzapine	Tablet			Refer to USP			01/15/2015
Olanzapine	Tablet (Orally Disintegrating)			Refer to USP			01/15/2015
Olmesartan Medoxomil	Tablet			Refer to USP			11/02/2017
Ombitasvir/Paritaprevir/Ritonavir and Dasabuvir Sodium	Tablet	II (Paddle)	75	Ombitasvir, paritaprevir, ritonavir: 0.05 M sodium phosphate buffer, pH 6.8 with 0.3% polyoxyethylene 10 lauryl ether; Dasabuvir sodium: 0.05 M Sodium Phosphate buffer, pH 6.8 with 1.5 mM cetyl triethylammonium bromide (CTAB)	900	Ombitasvir, paritaprevir, ritonavir: 10, 20, 30, 45, 60, 90, 120 and 150; Dasabuvir: 5, 10, 15, 20 and 30	08/27/2015
Ombitasvir/Paritaprevir/Ritonavir	Tablet	II (Paddle) with sinker	75	0.05M Sodium Phosphate Buffer, pH 6.8 with 0.3% (w/v) Polyoxyethylene 10 Lauryl Ether (POE10LE)	900	10, 20, 30, 45, 60, 90, 120, 150 and 180	06/30/2016
Ondansetron	Tablet (Orally Disintegrating)			Refer to USP			06/18/2007
Ondansetron HCl	Tablet	II (Paddle)	50	Water (de-aerated)	500	5, 10, 15 and 30	02/12/2004
Orphenadrine Citrate	Tablet (Extended Release)			Refer to USP			08/27/2009
Osimertinib Mesylate	Tablet	II (Paddle)	50	0.2% NaCl solution in water [adjust to pH 1.3]	900	10, 15, 20, 30 and 45	10/20/2016
Ospemifene	Tablet	II (Paddle)	50	2% Sodium Dodecyl Sulfate (SDS) in Water	900	10, 20, 30, 45, 60 and 75	06/02/2016

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Drug Name	Dosage Form	USP Apparatus	Speed (RPMs)	Medium	Volume (mL)	Recommended Sampling Times (minutes)	Date Updated
Oxaprozoin	Tablet						03/17/2016
Oxcarbazepine	Tablet (Extended Release)	II (Paddle) with sinker	75	Refer to USP 1.0% SDS in Deionized Water (degassed)	900	1, 2, 4, 6, 8 and 10 hours	08/15/2013
Oxcarbazepine (150 mg)	Tablet	II (Paddle)	60	0.3% SDS in water	900	10, 20, 30, 45, 60 and 90	02/12/2004
Oxcarbazepine (300 mg)	Tablet	II (Paddle)	60	0.6% SDS in water	900	10, 20, 30, 45, 60 and 90	02/12/2004
Oxcarbazepine (600 mg)	Tablet	II (Paddle)	60	1% SDS in water	900	10, 20, 30, 45, 60 and 90	02/12/2004
Oxybutynin Chloride	Tablet (Extended Release)						12/24/2015
Oxycodone HCl	Tablet						01/14/2008
Oxycodone HCl	Tablet (Extended Release)						03/17/2016
Oxycodone HCl/Naloxone HCl	Tablet (Extended Release)	II (Paddle)	50	Simulated Gastric Fluid [SGF, pH 1.2] without enzyme	900	0.25, 0.5, 1, 2, 4, 6, 8, 10 and 12 hours	12/22/2016
Oxymorphone HCl	Tablet (Extended Release)	II (Paddle) with sinker	50	45 mM Phosphate Buffer, pH 4.5	900	1, 2, 4, 6, 8 and 10 hours	02/14/2014
Oxymorphone HCl	Tablets	II (Paddle)	50	0.1 N HCl	900	10, 20, 30 and 45	01/14/2008
Paliperidone	Tablet (Extended Release)	II (Paddle)	50	Modified SGF, pH 1.0 [NaCl (0.2% w/w) in 0.0825N HCl]	500	1, 2, 4, 6, 8, 12, 14, 18 and 24 hours	08/27/2009
Pancrelipase	Tablet	II (Paddle)	50	Phosphate Buffer, pH 4.5	900	10, 20, 30, 45 and 60	06/02/2016
Pantoprazole Sodium	Tablet (Delayed Release)						07/21/2009
Paroxetine	Tablet (Extended Release)						11/19/2015
Paroxetine HCl	Tablet						01/14/2008
Pazopanib HCl	Tablet	II (Paddle)	75	50 mM Sodium Acetate buffer, pH 4.5, containing 0.75% SDS	900	10, 15, 30, 45 and 60	08/05/2010
Penolone	Tablet	II (Paddle)	75	Water (de-aerated)	900	10, 20, 30, 45, 60 and 90	02/13/2004
Penbutolol Sulfate	Tablet						06/24/2010
Penicillin V	Tablet						06/09/2011
Penicillin V Potassium	Tablet						06/09/2011
Pentoxifylline	Tablet (Extended Release)						06/09/2011
Perampanel	Tablet	II (Paddle)	50	0.1 N HCl	900	5, 10, 15, 20 and 30	05/15/2014
Pergolide Mesylate	Tablet	II (Paddle)	50	Simulated gastric fluid TS with cysteine without enzymes	500	10, 20, 30 and 45	03/04/2006
Perindopril Erbumine	Tablet	II (Paddle)	50	0.1 N HCl	900	10, 20, 30 and 45	06/20/2007
Perphenazine	Tablet						12/15/2009
Phendimetrazine Tartrate	Tablet						05/20/2009
Phenelzine Sulfate	Tablet	II (Paddle)	50	Simulated Gastric Fluid without enzymes, pH 1.2	900	10, 20, 30 and 45	03/25/2010
Phentermine HCl	Tablet	II (Paddle)	50	Water	900	10, 20, 30, 45 and 60	08/27/2009
Phentermine HCl	Tablet						07/15/2009
Phentermine HCl	Tablet (Orally Disintegrating)	II (Paddle)	50	Water	500 mL (15 mg) or 900 mL (30 mg and 37.5 mg)	5, 10, 15, 20 and 30	07/31/2013
Phenytoin	Tablet (Chewable)						01/14/2008
Phytonadione	Tablet						03/25/2010
Pilocarpine HCl	Tablet	II (Paddle)	50	Develop a dissolution method	500	10, 20, 30, 45 and 60	01/20/2004
Pimavanserin Tartrate	Tablet	I (Basket)	100	0.1 N HCl	900	5, 10, 15, 20 and 30	07/28/2016

(Continued)

Drug Name	Dosage Form	USP Apparatus	Speed (RPMs)	Medium	Volume (mL)	Recommended Sampling Times (minutes)	Date Updated
Pimozide	Tablet			Refer to USP			02/19/2008
Pindolol	Tablet			Refer to USP (provide individual unit data).			12/24/2015
Pioglitazone HCl	Tablet	II (Paddle)	75	HCl-0.3 M KCl Buffer, pH 2.0	900	5, 10, 15 and 30	02/13/2004
Pirfenidone	Tablet	II (Paddle)	50	Water	1000	5, 10, 15, 20, 30 and 45	03/27/2018
Pitavastatin Calcium	Tablet	I (Basket)	35	0.05 M Phosphate Buffer, pH 6.8	900	5, 10, 15, 20, 30 and 45	12/23/2010
Ponatinib HCl	Tablet	I (Basket)	50	pH 2.1, KCl/HCl buffer (degassed)	900	10, 15, 20, 30, 45 and 60	09/03/2015
Posaconazole	Tablet (Delayed Release)	II (Paddle)	75	Acid Stage: 0.01 N HCl; Buffer Stage: 50 mM phosphate buffer, pH 6.8 with 0.37% Polysorbate 80 (after 120 minutes, to the acid stage, add 250 mL of 0.2M Phosphate Buffer, 1.46% Polysorbate 80)	Acid Stage: 750 mL; Buffer Stage 1000 mL	Acid Stage: 120; Buffer Stage: 10, 15, 20, 30 and 45	06/25/2015
Potassium Chloride	Tablet (Extended Release)			Refer to USP			07/25/2007
Potassium Citrate	Tablet			Refer to USP			08/05/2010
Pramipexole Dihydrochloride	Tablet	II (Paddle)	50	0.023 M Citrate/0.155 M Phosphate Buffer, pH 6.8	500	5, 10, 15, 30 and 45	10/09/2007
Pramipexole Dihydrochloride	Tablet (Extended Release)	I (Basket)	100	0.05 M phosphate buffer, pH 6.8	500	1, 2, 4, 6, 9, 12, 16, 20 and 24 hours	09/02/2010
Prasugrel HCl	Tablet	II (Paddle)	75	Citrate-Phosphate buffer (0.023M Citric acid+0.026M Sodium Phosphate, Dibasic), pH 4.0	900	10, 15, 20, 30 and 45	10/04/2012
Pravastatin Sodium	Tablet			Water (deaerated)	900	5, 10, 20 and 30	02/13/2004
Praziquantel	Tablet	II (Paddle)	50	Refer to USP			06/25/2015
Prednisolone	Tablet			Refer to USP			11/25/2008
Prednisolone Sodium Phosphate	Tablet (Orally Disintegrating)	II (Paddle)	50	22 mM Sodium Acetate Buffer, pH 4.5	500	5, 15, 30, 45 and 60	09/03/2008
Prednisone	Tablet			Refer to USP			12/24/2015
Prednisone	Tablet (Delayed Release)	II (Paddle) with sinker	100	Water	500	1, 2, 3, 3.5, 4, 4.5, 5, 5.5, 6, 7, 8 and 10 hours	07/31/2013
Pregabalin	Tablet (Extended Release)	II (Paddle)	50	0.06 M HCl	900	1, 2, 4, 6, 8, 10, 12, 16 and 24 hours	02/08/2018
Primaquine Phosphate	Tablet			Refer to USP			05/28/2015
Primidone	Tablet			Refer to USP			01/14/2008
Promethazine HCl	Tablet			Refer to USP			07/25/2007
Propafenone HCl	Tablet			Refer to USP			11/02/2017
Propranolol HCl	Tablet			Refer to USP			03/03/2011
Propylthiouracil	Tablet			Refer to USP			06/07/2012
Protriptyline HCl	Tablet			Refer to USP			01/14/2008
Pseudoephedrine HCl	Tablet (Extended Release)			Refer to USP			01/14/2008
Pseudoephedrine HCl/Tripolidine HCl	Tablet			Refer to USP			01/15/2010
Pyrazinamide	Tablet			Refer to USP			10/20/2016
Pyridostigmine Bromide	Tablet			Refer to USP			06/10/2009

(Continued)

Drug Name	Dosage Form	USP Apparatus	Speed (RPMs)	Medium	Volume (mL)	Recommended Sampling Times (minutes)	Date Updated
Pyridostigmine Bromide	Tablet (Extended Release)	II (Paddle)	50	Water	900	1, 2, 4, 6, 8 and 12 hours	06/10/2009
Pyrimethamine	Tablet	II (Paddle)	50	Refer to USP	900	10, 20, 30 and 45	06/25/2015
Quetiapine Fumarate	Tablet	I (Basket, with 20 mesh)	200	0.05M citric acid and 0.09 N NaOH (pH 4.8) [solution A]. At 1.5 hrs, pH adjusted to 6.6 by addition of 100 mL of 0.05M dibasic sodium phosphate and 0.46N NaOH [solution B]	900 [solution A], 1000 [final]	1, 2, 4, 6, 8, 10, 12, 16, 20, and 24 hours	02/18/2004
Quetiapine Fumarate	Tablet (Extended Release)	I (Basket, with 20 mesh)	200	0.05M citric acid and 0.09 N NaOH (pH 4.8) [solution A]. At 1.5 hrs, pH adjusted to 6.6 by addition of 100 mL of 0.05M dibasic sodium phosphate and 0.46N NaOH [solution B]	900 [solution A], 1000 [final]	1, 2, 4, 6, 8, 10, 12, 16, 20, and 24 hours	01/31/2013
Quinapril HCl	Tablet	II (Paddle)	100	Refer to USP	700; Buffer stage: 1000	Acid stage: 120; Buffer stage: 10, 20, 30, and 45	07/25/2007
Rabeprazole Sodium	Tablet (Delayed Release)	II (Paddle)	100	700 mL 0.1 N HCl (Acid stage), after two hours add 300 mL of 0.6 M Tris buffer; adjust to pH 8.0 (Buffer stage) with 2 N HCl or 2 N NaOH. Stabilize the samples with the addition of 0.5 N NaOH	Acid stage: 700; Buffer stage: 1000	Acid stage: 120; Buffer stage: 10, 20, 30, and 45	09/22/2011
Raloxifene HCl	Tablet	II (Paddle)	50	0.1% Polysorbate 80 in water	1000	10, 20, 30 and 45	02/18/2004
Raltegravir Potassium	Tablet	II (Paddle) with option to use a sinker	100	Water (Deaerated)	900	15, 30, 45, 60 and 120	04/02/2009
Raltegravir Potassium	Tablet (Chewable)	II (Paddle)	50	Water (deaerated)	900	5, 10, 15, 20 and 30	05/28/2015
Ramelteon	Tablet	II (Paddle)	50	Water	900	10, 20, 30 and 45	04/02/2009
Ramipril	Tablet	II (Paddle)	50	0.1 N HCl	500	5, 10, 15 and 30	09/03/2008
Ramitidine HCl	Tablet	II (Paddle)	50	Refer to USP	900	07/25/2007	07/25/2007
Ramitidine HCl	Tablet (Effervescent)	II (Paddle)	50	Develop a dissolution method	900	0.5, 2, 4, 8, 12, 20, and 24 hours	04/08/2010
Ranolazine	Tablet (Extended Release)	II (Paddle)	50	0.1 N HCl	900	0.5, 2, 4, 8, 12, 20, and 24 hours	06/03/2008
Rasagiline Mesylate	Tablet	II (Paddle)	50	0.1 N HCl	500	10, 15, 30 and 45	01/29/2010
Regorafenib	Tablet	II (Paddle)	75	Acetate Buffer pH 4.5 with 0.1% Sodium Dodecyl Sulfate (SDS)	900	10, 15, 20, 30 and 45	06/25/2015
Repaglinide	Tablet	II (Paddle)	50	Refer to USP	900	07/25/2007	07/25/2007
Ribavirin	Tablet	II (Paddle)	50	Water (deaerated)	900	10, 20, 30 and 45	02/18/2004
Ribociclib	Tablet	II (Paddle)	50	0.01N HCl (Degassed)	900	10, 15, 20, 30, 45 and 60	11/02/2017
Rifapentine	Tablet	II (Paddle)	50	0.8% SLS in Phosphate Buffer, pH 7.0	900	10, 20, 30, 45, 60 and 90	02/25/2004
Rifaximin (200 mg)	Tablet	II (Paddle)	75	0.1M sodium phosphate buffer pH 7.4 containing 0.45% Sodium Lauryl Sulfate	1000	10, 20, 30, 45, 60, 90 and 120	07/21/2011
Rifaximin (550 mg)	Tablet	II (Paddle)	75	0.1M sodium phosphate buffer pH 7.4 containing 0.8% Sodium Lauryl Sulfate	1000	10, 20, 30, 45, 60, 90 and 120	07/21/2011
Rilpivirine HCl	Tablet	II (Paddle)	75	0.5% Polysorbate 20 in 0.01N HCl (pH = 2.0)	900	10, 20, 30, 45 and 60	08/15/2013
Riluzole	Tablet	II (Paddle)	50	0.1 N HCl	900	10, 20, 30, 45 and 60	02/18/2004
Rimantadine HCl	Tablet	II (Paddle)	50	Water	900	10, 20, 30, and 45	01/03/2007
Riociguat	Tablet	II (Paddle)	75	pH 6.8 Phosphate Buffer with 0.1% Sodium Lauryl Sulfate [SLS]	900	5, 10, 15, 20 and 30	12/24/2015
Risedronate Sodium	Tablet	II (Paddle)	75	Refer to USP	900	07/01/2010	07/01/2010

(Continued)

Drug Name	Dosage Form	USP Apparatus	Speed (RPMs)	Medium	Volume (mL)	Recommended Sampling Times (minutes)	Date Updated
Risedronate Sodium	Tablet (Delayed Release)	II (Paddle)	75	Acid stage: 0.1 N HCl; Buffer stage: Phosphate buffer, pH 6.8	Acid stage: 500; Buffer stage: 500	Acid stage: 120; Buffer Stage: 10, 15, 20, 30 and 45	01/26/2012
Risedronate Sodium/Calcium Carbonate	Tablet (Copackaged)			For Risedronate Tablets: Refer to USP; For Calcium Carbonate Tablets: Refer to USP.			07/01/2010
Risperidone	Tablet	II (Paddle)	50	0.1 N HCl	500	10, 20, 30, 45 and 60	03/04/2006
Risperidone	Tablet (Orally Disintegrating)	II (Paddle)	50	0.1 N HCl	500	5, 10, 15	07/23/2004
Ritonavir	Tablet			Refer to USP			01/15/2015
Rivaroxaban (10 mg)	Tablet	II (Paddle)	75	Acetate Buffer pH 4.5, 0.2% sodium dodecyl sulfate (SDS)	900	10, 15, 20, 30 and 45	01/15/2015
Rivaroxaban (15 and 20 mg)	Tablet	II (Paddle)	75	Acetate Buffer pH 4.5, 0.4% SDS	900	10, 15, 20, 30 and 45	01/15/2015
Rizatriptan Benzoate	Tablet	II (Paddle)	50	Water (de-aerated)	900	5, 10, 15 and 30	02/18/2004
Rizatriptan Benzoate	Tablet (Orally Disintegrating)	II (Paddle)	50	Water (de-aerated)	900	5, 10 and 15	02/18/2004
Roflumilast	Tablet	II (Paddle)	50	1.0% SDS (sodium dodecyl sulfate) in Phosphate Buffer, pH 6.8	1000	5, 10, 15, 20, 30 and 45	08/15/2013
Rolapitant HCl	Tablet	II (Paddle)	50	0.05 M Sodium Acetate buffer, pH 4.0	900	10, 15, 20, 30 and 45	10/20/2016
Ropinivole HCl	Tablet			Refer to USP			05/15/2014
Ropinivole HCl	Tablet (Extended Release)	II (Paddle)	100	pH 4.0 Citrate-THAM Buffer	500	1, 2, 4, 6, 8, 12, 16, 20 and 24 hours	08/27/2009
Rosiglitazone Maleate	Tablet	II (Paddle)	50	0.01M Acetate Buffer, pH 4.0	900	10, 20, 30 and 45	02/24/2004
Rosuvastatin Calcium	Tablet	II (Paddle)	50	0.05 M Sodium Citrate Buffer pH 6.6 ± 0.05	900	10, 20, 30 and 45	11/10/2010
Rucaparib	Tablet	II (Paddle)	75	0.01 N HCl	900	5, 10, 15, 20 and 30	01/19/2017
Rufinamide	Tablet			Refer to USP			08/15/2013
Ruxolitinib Phosphate	Tablet	II (Paddle)	75	0.1 N HCl	900	5, 10, 15, 20 and 30	06/25/2015
Sacubitril/Valsartan	Tablet	II (Paddle)	50	Phosphate Buffer, pH 6.8[degassed]	900	10, 15, 20, 30 and 45	03/17/2016
Safinamide Mesylate	Tablet	II (Paddle)	100	0.1 N HCl with Sodium Chloride [2% (wt/vol) solution], pH 1.2	900	5, 10, 15, 30, 45 and 60	11/02/2017
Sapropterin Dihydrochloride	Tablet	II (Paddle)	50	0.1 N HCl	900	5, 10, 15 and 20	10/06/2008
Saquinavir Mesylate	Tablet	II (Paddle)	50	Citrate Buffer (pH 3.0)	900	10, 20, 30 and 45	09/13/2007
Saxagliptin HCl	Tablet	II (Paddle)	50	0.1 N HCl	900	5, 10, 15, 20, 30 and 45	08/15/2013
Selegiline HCl	Tablet (Orally Disintegrating)	I (Basket)	50	Water	500	5, 10, 15 and 20	10/06/2008
Selexipag	Tablet	II (Paddle)	50	Phosphate Buffer, pH 6.8	900	5, 10, 15, 20 and 30	03/17/2016
Sertraline HCl	Tablet	II (Paddle)	75	0.05 M Sodium Acetate Buffer, pH 4.5	900	10, 20, 30 and 45	02/20/2004
Sevelamer Carbonate	Tablet			Disintegration Testing in 0.1 N HCl as per USP <701>			10/06/2008
Sevelamer HCl	Tablet			Disintegration Testing in 0.1 N HCl as per USP <701>			04/09/2008
Sildenafil Citrate	Tablet	I (Basket)	100	0.01 N HCl	900	5, 10, 15 and 30	03/04/2006
Simvastatin	Tablet			Refer to USP			06/18/2007
Simvastatin	Tablet (Orally Disintegrating)	II (Paddle)	75	0.15% SDS Buffer, pH 6.8	900	5, 10, 15 and 30	09/03/2008
Siroliimus	Tablet	Basket (20 mesh)	120	0.4% SLS in water	500	10, 20, 30, 45, 60 and 120	03/14/2007
Sitagliptin Phosphate	Tablet	I (Basket)	100	Water	900	5, 10, 15, 20 and 30	07/01/2010

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Drug Name	Dosage Form	USP Apparatus	Speed (RPMs)	Medium	Volume (mL)	Recommended Sampling Times (minutes)	Date Updated
Sitagliptin Phosphate/ Simvastatin	Tablet	II (Paddle) with stainless steel stationary quadrangular hanging basket	100	10 mM Sodium phosphate buffer containing 1% Tween 80 with 50 µg/mL Butylated hydroxyanisole	900	5, 10, 15, 20, 30, and 45	10/31/2013
Sodium Phosphate Dibasic Anhydrous/Sodium Phosphate Monobasic Monohydrate	Tablet	II (Paddle)	100	Water (deionized)	900	20, 30, 45, 60 and 90	01/15/2010
Sofosbuvir	Tablet	II (Paddle)	75	0.05 M Phosphate Buffer, pH 6.8	900	5, 10, 15, 20 and 30	05/28/2015
Sofosbuvir/Velpatasvir	Tablet	II (Paddle)	75	50 mM sodium acetate buffer, pH 5.0, with 0.5% w/v Cetyltrimethyl ammonium bromide (CTAB)	900	5, 10, 15, 20 and 30	10/20/2016
Solfifenacin Succinate	Tablet	II (Paddle)	50	Water	900	10, 15, 30 and 45	02/19/2008
Sorafenib Tosylate	Tablet	II (Paddle)	75	0.1 M HCl with 1% SDS	900	5, 10, 15, 20 and 30	06/10/2009
Spironolactone	Tablet	Refer to USP		Refer to USP			04/15/2008
Sucralfate	Tablet	II (Paddle)	75	0.1 N HCl/0.067 M KCl, pH 1.0	900	15, 30, 45, 60, 180, 240 and 480	04/02/2009
Sucroferric Oxyhydroxide	Tablet (Chewable)	II (Paddle)	50	0.1 N HCl	900	10, 15, 20, 30, 45 and 60	11/02/2017
Sulfadiazine	Tablet	Refer to USP		Refer to USP			07/14/2008
Sulfamethoxazole/ Trimethoprim	Tablet	Refer to USP		Refer to USP			01/14/2008
Sulfasalazine	Tablet	Refer to USP		Refer to USP			12/15/2009
Sulfasalazine	Tablet (Delayed Release)	Refer to USP		Refer to USP			12/15/2009
Sumatriptan Succinate	Tablet	II (Paddle)	30	0.01 N HCl	900	5, 10, 15 and 30	03/04/2006
Suvorexant	Tablet	II (Paddle) with sinker	75	0.4% Sodium Lauryl Sulfate in Water	900	5, 10, 15, 20, 30 and 45	09/03/2015
Tacrolimus	Tablet (Extended Release)	II (Paddle)	100	0.005% HPC in Water with 0.50% SLS adjusted to pH 4.5	900	0.5, 1, 2.5, 4.5, 6.5, 8.5 and 12 hours	06/30/2016
Tadalafil	Tablet	II (Paddle)	50	0.5% Sodium Lauryl Sulfate	1000	10, 20, 30 and 45	01/26/2006
Tamoxifen Citrate	Tablet	Refer to USP		Refer to USP			04/02/2009
Tapentadol HCl	Tablet	I (Basket)	75	0.1 N HCl	900	10, 20, 30, 45 and 60	10/28/2010
Tapentadol HCl	Tablet (Extended Release)	II (Paddle) with sinker	100	0.05 M Phosphate Buffer of pH 6.8, Simulated intestinal fluid (without enzyme)	900	0.5, 1, 2, 3, 4, 6, 8, 10 and 12 hours	10/31/2013
Tedizolid Phosphate	Tablet	II (Paddle)	60	0.05 M phosphate buffer pH 6.8	900	5, 10, 15, 20 and 30	06/02/2016
Teloprevir	Tablet	II (Paddle)	50	1% SLS in Water	900	5, 10, 15, 20 and 30	05/09/2013
Telbivudine	Tablet	II (Paddle)	50	0.1 N HCl	900	15, 30 and 45	04/02/2009
Telithromycin	Tablet	II (Paddle)	50	0.1 N HCl	900	10, 20, 30 and 45	01/03/2007
Telmisartan	Tablet	Refer to USP		Refer to USP			01/05/2012
Tenofvir Alafenamide Fumarate	Tablet	II (Paddle)	75	50 mM Sodium Acetate buffer pH 4.5	500	5, 10, 15, 20 and 30	01/19/2017
Tenofvir Disoproxil Fumarate	Tablet	II (Paddle)	50	0.1 N HCl	900	10, 20, 30, and 45	01/03/2007
Terazosin HCl	Tablet	II (Paddle)	50	Water (deaired)	900	10, 20, 30, 45 and 60	02/20/2004
Terbinafine HCl	Tablet	II (Paddle)	50	Citrate Buffer, pH 3.0 adjusted with HCl	500	10, 20, 30 and 45	02/20/2004

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Drug Name	Dosage Form	USP Apparatus	Speed (RPMs)	Medium	Volume (mL)	Recommended Sampling Times (minutes)	Date Updated
Teriflunomide	Tablet	II (Paddle)	50	0.05 M Phosphate Buffer, pH 6.8	1000	5, 10, 15, 20, 30 and 45	05/15/2014
Testosterone	Tablet, buccal, (Extended Release)	II (Paddle, may use sinker)	60	1% sodium dodecyl sulfate in double distilled water	1000	1, 2, 4, 6, 10, 12 and 24 hours	01/03/2007
Tetrabenazine	Tablet	II (Paddle)	50	0.1 N HCl	900	5, 10, 15, 30 and 45	09/01/2011
Tetracycline HCl	Tablet	II (Paddle)	50	Refer to USP	900	1, 4, 8, 12 hours	01/29/2010
Theophylline (100 mg and 200 mg)	Tablet (Extended Release)	II (Paddle)	50	SGF without Enzyme, pH 1.2 during 1st hour. Phosphate Buffer at pH 7.5 from end of hour 1 through the duration of testing	900	1, 4, 8, 12 hours	10/06/2008
Theophylline (300 mg and 450 mg)	Tablet (Extended Release)	II (Paddle)	50	SGF without Enzyme, pH 1.2 during 1st hour. Phosphate Buffer at pH 7.5 from end of hour 1 through the duration of testing	900	1, 4, 8, 12 hours	10/06/2008
Theophylline (600 mg and 400 mg)	Tablet (Extended Release)	I (Basket)	100	SGF without enzyme, pH 1.2 during 1st hour. SIF without enzyme from end of hour 1 through the duration of the testing	900	1, 2, 4, 8, 12 and 24 hours	10/06/2008
Thioguanine	Tablet	II (Paddle)	50	Refer to USP	900	5, 10, 15, 20 and 30	07/15/2009
Tiagabine HCl	Tablet	II (Paddle)	75	Water	900	10, 20, 30, 45, 60 and 75	01/03/2007
Ticagrelor	Tablet	II (Paddle)	50	0.2% w/v Poly sorbate 80 in water	900	10, 20, 30, 45 and 60	06/25/2015
Ticlopidine HCl	Tablet	II (Paddle)	50	Water (de-aerated)	900	10, 20, 30, 45 and 60	02/19/2004
Timolol Maleate	Tablet	II (Paddle)	100	Refer to USP	900	10, 20, 30 and 45	07/31/2013
Tindazole	Tablet	I (Basket)	100	Water (De-aerated)	900	10, 20, 30 and 45	01/03/2007
Tioprozin	Tablet	I (Basket)	100	0.08N HCl containing 0.2% w/v NaCl	900	10, 15, 20, 30 and 45	03/02/2017
Tipiracil HCl/Trifluridine	Tablet	II (Paddle)	50	0.1 N HCl	900	5, 10, 15, 20 and 30	11/02/2017
Tizanidine HCl	Tablet	I (Basket)	100	0.1 N HCl	500	5, 10, 15 and 30	02/20/2004
Tofacitinib Citrate	Tablet	I (Basket)	100	0.1N HCl	900	5, 10, 15, 20 and 30	06/25/2015
Tofacitinib Citrate	Tablet (Extended Release)	II (Paddle) with option to use a sinker	50	Phosphate Buffer, pH 6.8	900	1, 1.5, 2, 2.5, 3, 4, 6 and 8 hours	07/28/2016
Tolcapone	Tablet	II (Paddle)	50	Refer to USP	900	5, 10, 15 and 30	05/09/2013
Tolterodine Tartrate	Tablet	II (Paddle)	50	SGF without enzymes, pH 1.2	900	10, 15, 20, 30 and 45	02/20/2004
Tolvaptan	Tablet	II (Paddle)	50	0.22% Sodium Lauryl Sulfate (SLS) in Water	900	10, 15, 20, 30 and 45	02/14/2014
Topiramate	Tablet	II (Paddle)	50	Water (de-aerated)	900	5, 10, 20 and 30	02/19/2004
Toremifene Citrate	Tablet	II (Paddle)	50	0.02 N HCl	1000	10, 20, 30 and 45	02/20/2004
Torsemide	Tablet	II (Paddle)	50	0.1 N HCl	900	5, 10, 15 and 30	02/20/2004
Tramadol HCl	Tablet	I (Basket)	100	0.1 N HCl	900	10, 20, 30 and 45	02/19/2004
Tramadol HCl	Tablet (Extended Release)	I (Basket)	75	0.1 N HCl	900	2, 4, 8, 10 and 16 hours	01/03/2007
Trametinib Dimethyl Sulfoxide	Tablet	II (Paddle)	60	pH 4.5, 50 mM Sodium Acetate with 0.75% Sodium Lauryl Sulfate [SLS]	500	5, 10, 15, 20 and 30	12/24/2015
Trandolapril	Tablet	II (Paddle)	50	Water (de-aerated)	500	10, 20, 30, 45 and 60	02/20/2004
Trandolapril/Verapamil HCl	Tablet (Extended Release)	II (Paddle)	50	For Trandolapril: Water; For Verapamil: 0-1 hour Gastric Fluid w/o Pepsin pH=1.2, 1-8 hour Intestinal Fluid w/o Pancreatin	For Trandolapril: 500; For Verapamil: 900	For Trandolapril: 5, 10, 20, 30 and 45; For Verapamil: 1, 2, 3.5, 5 and 8 hours	12/19/2008

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Drug Name	Dosage Form	USP Apparatus	Speed (RPMs)	Medium	Volume (mL)	Recommended Sampling Times (minutes)	Date Updated
Tranexamic Acid	Tablet	II (Paddle)	50	Water	900	15, 30, 45, 60, 90 and 120	12/23/2010
Tranylcypromine Sulfate	Tablet			Refer to USP			10/31/2013
Trazodone HCl	Tablet			Refer to USP			12/15/2009
Trazodone HCl	Tablet (Extended Release)	II (Paddle)	50	Water	900	1, 2, 3, 5, 8, 10, 12, 16, 20 and 24 hours	03/02/2017
Treprostinil Diolamine	Tablet (Extended Release)	I (Basket)	100	0.05 M Phosphate Buffer, pH 6.8 (de-aerated)	500	1, 2, 4, 6, 8, 12, 16, 20 and 24 hours	06/02/2016
Trimethoprim	Tablet			Refer to USP			01/29/2010
Tropium Chloride	Tablet	II (Paddle)	50	0.1 N HCl	1000	10, 20, 30 and 45	12/03/2007
Ulipristal Acetate	Tablet	II (Paddle)	50	0.1 N HCl	900	5, 10, 15, 20 and 30	01/31/2013
Ursodiol	Tablet			Refer to USP			04/15/2008
Valacyclovir Hydrochloride	Tablet	II (Paddle)	50	0.1 N HCl	900	10, 20, 30, 45 and 60	08/27/2009
Valganciclovir HCl	Tablet			Refer to USP			01/15/2015
Valsartan	Tablet			Refer to USP			07/28/2016
Vandetanib	Tablet	II (Paddle)	50	pH 1.2 Buffer [0.05 M KCl in water, adjust the pH with HCl or NaOH]	1000	5, 10, 15, 20 and 30	06/25/2015
Vardenafil HCl	Tablet	II (Paddle)	50	0.1 N HCl	900	5, 10, 15 and 30	12/20/2005
Vardenafil HCl	Tablet (Orally Disintegrating)	II (Paddle)	50	0.1 N HCl	900	5, 10, 15 and 30	05/15/2014
Varenicline Tartrate	Tablet	I (Basket)	100	0.01 N HCl	500	5, 10, 15 and 30	12/03/2007
Venurafenib	Tablet	II (Paddle)	75	1% hexadecyltrimethylammonium bromide (HTAB) in 0.05 M Phosphate Buffer, pH 6.8	900	10, 15, 20, 30 and 45	05/28/2015
Venetoclax	Tablet	III (Reciprocating Cylinder) [Bottom Screen: 200 mesh stainless steel]	20 dpm	Phosphate Buffer, pH 6.8 with 0.4% sodium dodecyl sulfate (SDS) [3 small drops of antifoaming agent may be used]	250	0.25, 0.5, 0.75, 1, 1.5, 2, 3, 3.5 and 4 hours	07/28/2016
Venlafaxine HCl	Tablet	II (Paddle)	50	Water (de-aerated)	900	5, 10, 15 and 30	02/19/2004
Venlafaxine HCl	Tablet (Extended Release)	II (Paddle)	50	Water (de-aerated)	900	1, 2, 4, 6, 8, 12, 16, 20 and 24 hours	02/14/2014
Verapamil HCl	Tablet			Refer to USP			11/04/2008
Verapamil HCl	Tablet (Extended Release)			Refer to USP			06/24/2010
Vigabatrin	Tablet	II (Paddle)	50	Water	900	5, 10, 15, 20 and 30	06/25/2015
Vilazodone HCl	Tablet	II (Paddle)	60	0.1% v/v Glacial acetic acid solution (pH 3.1)	1000	10, 15, 20, 30 and 45	08/14/2014
Vorapaxar Sulfate	Tablet	II (Paddle)	50	41 mM Na ₂ HPO ₄ , 1.5% Citric Acid, pH 3.0±0.5	900	5, 10, 20, 30, 45 and 60	12/24/2015
Voriconazole	Tablet	II (Paddle)	50	0.1 N HCl	900	10, 20, 30 and 45	11/25/2008
Vortioxetine HBr	Tablet	II (Paddle)	50	0.1 N HCl	900	10, 15, 20, 30 and 45	05/28/2015
Warfarin Sodium	Tablet			Refer to USP			01/29/2010
Zafirlukast	Tablet	II (Paddle)	50	1% w/v Aqueous Sodium Dodecyl Sulfate	1000	10, 30, 30 and 45	10/09/2007
Zalcitabine	Tablet			Refer to USP			02/19/2008
Zidovudine	Tablet			Refer to USP			07/25/2007

(Continued)

Drug Name	Dosage Form	USP Apparatus	Speed (RPMs)	Medium	Volume (mL)	Recommended Sampling Times (minutes)	Date Updated
Zileuton	Tablet	II (Paddle)	50	0.05 M SLS in water	900	10, 20, 30, 45 and 60	02/19/2004
Zileuton	Tablet (Extended Release)	II (Paddle) with sinker	75	0.1 M SDS (sodium dodecyl sulfate) in water	900	1, 2, 4, 6, 8, 10 and 12 hours	08/15/2013
Zolmitriptan	Tablet	II (Paddle)	50	0.1 N HCl	500	5, 10, 15, 20 and 30	07/21/2009
Zolmitriptan	Tablet (Orally Disintegrating)	II (Paddle)	50	0.1 N HCl	500	5, 10, 15, 20 and 30	06/18/2007
Zolpidem Tartrate	Tablet	II (Paddle)	50	0.01 N HCl, pH 2.0	900	5, 10, 15 and 30	02/19/2004
Zolpidem Tartrate	Tablet (Extended Release)			Refer to USP			01/05/2012
Zolpidem Tartrate (1.75 and 3.5 mg)	Tablet (Sublingual)	II (Paddle)	50	Simulated intestinal fluid (without enzyme), pH 6.8, (deaerated)	500	1, 3, 5, 7, 10 and 15	08/14/2014
Zolpidem Tartrate (5 and 10 mg)	Tablet (Sublingual)	II (Paddle)	75	Phosphate Buffer, pH 6.8	900	1, 3, 5, 7, 10 and 15	08/14/2014



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Part II

Manufacturing Formulations



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Compressed Solids Formulations

ACETAMINOPHEN AND CAFFEINE TABLETS

Bill of Materials			
Scale (mg/ tablet)	Item	Material Name	Quantity/ 1000 Tablets (g)
500.00	1	Acetaminophen (fine powder)	500.00
65.00	2	Anhydrous caffeine	65.00
15.00	3	Maize starch	15.00
10.00	4	Povidone (PVP K-30)	10.00
5.00	5	Croscarmellose sodium (Ac-Di-Sol)	5.00
33.00	6	Maize starch	33.00
8.00	7	Povidone (PVP K-90)	8.00
1.00	8	Polysorbate 80 (Tween 80)	1.00
10.00	9	Microcrystalline cellulose (Avicel™ PH102)	10.00
7.00	10	Sodium starch glycolate (Primojel®)	7.00
5.00	11	Croscarmellose sodium (Ac-Di-Sol)	5.00
2.00	12	Stearic acid (fine powder)	2.00
4.00	13	Talc (fine powder)	4.00
—	14	Purified water	155.00

MANUFACTURING DIRECTIONS

- Sift items 1 to 5 through a stainless steel 630 µm sieve. Load into mixer. Mix for 5 minutes at low speed.
- Dissolve items 7 and 8 in 115 g of purified water (80–90°C) in a vessel.
- Prepare slurry of item 6 in 40 g of purified water (25–30°C).
- Add the slurry to the vessel to make a translucent paste. Cool to 45°C to 50°C.
- Add the binder (item 4) to the paste.
- Mix at low speed over a period of 3 minutes. Scrape sides and blades. Mix and chop at low speed for 1 to 2 minutes.
- Check the end point of granulation. If required, add additional purified water to obtain the end point. (The end point of granulation occurs when the wet mass consists of few or no lumps.) Unload the wet granules into stainless steel trays for drying.
- Dry the wet granules at 55°C for 8 hours. After 2 hours of drying, scrape the semidried granules to break the lumps to promote uniform drying. Check the loss on drying (LOD) (limit: 1.5–2.0%). If required, dry further at 55°C for 1 hour.

- Grind the dried granules through a 1.25 mm sieve, using a granulator at medium speed. Collect in stainless steel drums.
- Load the granules into blender. Sift items 9 to 11 through a 500 µm sieve, using a suitable sifter, and add it to the blender. Mix for 2 minutes.
- Sift items 12 and 13 through a 500 µm sieve.
- Add 5 to 10 g of granules from bulk. Mix in.
- Check temperature and humidity before compressing (recommended: relative humidity 55–60% at a temperature not exceeding 27°C).
- Compress the granules using a rotary tableting machine. Average weight of tablet is 665.00 mg.

ACETAMINOPHEN AND CAFFEINE TABLETS

Bill of Materials			
Scale (mg/ tablet)	Item	Material Name	Quantity/ 1000 Tablets (g)
500.00	1	Acetaminophen (crystalline)	500
50.00	2	Caffeine (Knoll)	50
90.00	3	Avicel™ PH101	90
10.00	4	Kollidon® 30	10
20.00	5	Kollidon® CL	20
10.00	6	Polyethylene glycol (PEG-6000) (powder)	10

MANUFACTURING DIRECTIONS

- Mix all components, pass through a 0.8 mm sieve, and press with high-compression force.
- Compress into 683 mg tablets, using 12 mm biplanar punches.
- If the flowability of the powder mixture for tableting is not high enough, some Aerosil 200 should be added.

ACETAMINOPHEN AND CODEINE TABLETS (TYLENOL)*

Each Tylenol with codeine tablet contains
No. 2 codeine phosphate, 15 mg; acetaminophen, 300 mg
No. 3 codeine phosphate, 30 mg; acetaminophen, 300 mg
No. 4 codeine phosphate, 60 mg; acetaminophen, 300 mg

* Tylenol inactive ingredients: powdered cellulose, magnesium stearate, sodium metabisulfite, pregelatinized starch, starch (corn).

ACETAMINOPHEN AND DIPHENHYDRAMINE HYDROCHLORIDE TABLETS

Bill of Materials			
Scale (mg/ tablet)	Item	Material Name	Quantity/ 1000 Tablets (g)
325.00	1	Acetaminophen (fine powder)	325.00
26.00	2	Diphenhydramine HCl	26.00
50.00	3	Maize starch	50.00
07.00	4	Povidone (PVP K-30)	7.00
50.00	5	Microcrystalline cellulose (Avicel™ PH101)	50.00
42.00	6	Cornstarch	42.00
10.00	7	Povidone (PVP K-30)	10.00
09.50	8	Cellulose (powdered)	9.50
65.50	9	Cellulose (microcrystalline) (Avicel™ PH102)	65.50
20.00	10	Sodium starch glycolate (Primojel®)	20.00
08.00	11	Stearic acid (fine powder)	8.00
05.00	12	Talc (fine powder)	5.00
02.00	13	Magnesium stearate	2.00
—	14	Purified water	180.00

MANUFACTURING DIRECTIONS

- Sift items 1 to 5 through a 630 µm stainless steel sieve.
- Load into mixer. Mix for 5 minutes at low speed.
- Dissolve item 7 in 135 g of purified water (80–90°C) in a vessel.
- Prepare a slurry of item 6 in 45 g of purified water (25–30°C).
- Add the slurry to the vessel to make a translucent paste.
- Cool to 45°C to 50°C.
- Add the binder (item 4).
- Mix at low speed over a period of 3 minutes. Scrape sides and blades. Mix and chop at low speed for 1 to 2 minutes. Check the end point of granulation. If required, add additional purified water to obtain the end point. (The end point of granulation occurs when the wet mass consists of few or no lumps.)
- Unload the wet granules into stainless steel trays for drying.
- Dry the wet granules in an oven at 55°C for 10 hours. After 2 hours of drying, scrape the semidried granules to break the lumps to promote uniform drying. Check the LOD (limit: 1–2%). If required, dry further at 55°C for 1 hour.
- Grind the dried granules through a 1.25 mm sieve at medium speed.
- Collect in stainless steel drums. Load the granules into blender.
- Sift items 8 to 10 through a 500 µm sieve, using a suitable sifter, and add mixture to blender. Mix for 2 minutes.
- Sift items 11 to 13 through a 500 µm sieve. Add 5 to 10 g of granules from bulk.

- Mix in polyethylene bag for 1 minute. Add to blender. Blend for 1 minute.
- Check the temperature and humidity before compressing (limit: temperature not exceeding 27°C; relative humidity 55–65%).
- Compress the granules with a rotary tableting machine. Compress to an average tablet weight of 620 mg.
- Disintegration time is not more than (NMT) 15 minutes; friability NMT is 1.0%.
- Coating: Use one of the hydroxypropyl methylcellulose (HPMC) aqueous formulations described in the Appendix, such as Yellow Opadry.

ACETAMINOPHEN AND ORPHENADRINE CITRATE TABLETS (450 MG/35 MG)

Bill of Materials			
Scale (mg/ tablet)	Item	Material Name	Quantity/ 1000 Tablets (g)
450.00	1	Acetaminophen powder	450.00
35.00	2	Orphenadrine citrate, 5% excess	35.00
66.00	3	Starch (maize)	66.00
20.00	4	Microcrystalline cellulose (Avicel™ PH 102)	5.00
7.50	5	Aerosil 200	7.50
0.25	6	Dye yellow	0.25
16.00	7	PVP K30	16.00
5.00	8	Aerosil 200	5.00
7.50	9	Glycerin	7.50
10.00	10	Gelatin powder	10.00
25.00	11	Primojel®	25.00
12.00	12	Avicel™ PH 102	12.00
2.00	13	Aerosil 200	2.00
2.00	14	Magnesium stearate	2.00
—	15	Water, purified, ca	464 mL

MANUFACTURING DIRECTIONS

- Load items 7 and 6 into a mixer, add 50% of item 15, and mix for 10 to 15 minutes at medium speed.
- Add item 5 into step 1 slowly, while stirring at medium speed, and disperse well.
- Add item 9 and mix for 3 minutes.
- In a separate vessel, add item 10 and the remaining 50% of item 15; mix for 5 minutes at medium speed.
- Add step 3 into step 4 and mix for 2 to 3 minutes.
- In a separate mixer, load items 1 to 5 and mix and chop for 3 minutes at slow speed.
- Add the solution from step 5 to step 6 and mix for 2 to 3 minutes.
- Dry the wet mass in a fluid-bed dryer at 60°C for 60 minutes until a loss on drying rate of 1.5% to 2.5% is reached.
- Pass the dried granules through a 6 mm sieve followed by a 1.5 mm sieve in a granulator.

- Add to the granules items 11 to 13, previously sieved through a 500 μm sieve. Mix for 3 minutes.
- Add item 14, previously sieved through a 250 μm sieve, and blend for 1 minute.
- Compress using 12.7 mm round flat punches to a fill weight of 660 mg.

ACETAMINOPHEN AND PHENPROBAMATE TABLETS (200 MG/200 MG)

Bill of Materials			
Scale (mg/ tablet)	Item	Material Name	Quantity/ 1000 Tablets (g)
200.00	1	Acetaminophen powder <0.5 mm	200.00
200.00	2	Phenprobamate	200.00
35.00	3	Microcrystalline cellulose (Avicel™ PH 101)	35.00
20.00	4	Kollidon VA 64	20.00
10.00	5	Kollidon CL	10.00
5.00	6	Magnesium stearate	5.00
6.00	7	Aerosil 200	6.00

MANUFACTURING DIRECTIONS

- Pass all components through a 0.8 mm sieve, mix, and press with high-compression force.
- Compress into 475 mg tablets, using 12 mm biplanar punches.

ACETAMINOPHEN AND PSEUDOEPHEDRINE HYDROCHLORIDE TABLETS

Bill of Materials			
Scale (mg/ caplet)	Item	Material Name	Quantity/ 1000 Caplets (g)
325.00	1	Acetaminophen (fine powder)	325.00
31.50	2	Pseudoephedrine HCl	31.50
50.00	3	Cornstarch	50.00
7.00	4	Povidone (PVP K-30)	7.00
50.00	5	Microcrystalline cellulose (Avicel™ PH101)	50.00
42.00	6	Cornstarch	42.00
10.00	7	Povidone (PVP K-30)	10.00
9.50	8	Cellulose (powdered)	9.50
60.00	9	Cellulose (microcrystalline) (Avicel™ PH102)	60.00
20.00	10	Sodium starch glycolate (Primojel®)	20.00
8.00	11	Stearic acid (fine powder)	8.00
5.00	12	Talc (fine powder)	5.00
2.00	13	Magnesium stearate	2.00
—	14	Purified water	180.00

MANUFACTURING DIRECTIONS

- Sift items 1 to 5 through a stainless steel 630 μm sieve.
- Load into mixer. Mix for 5 minutes at low speed.
- Dissolve item 7 in 135 g of purified water (80–90°C) in a vessel.
- Prepare a slurry of item 6 in 45 g of purified water (25–30°C).
- Add the slurry to the vessel to make a translucent paste. Cool to 45°C to 50°C. Add the binder (item 4).
- Mix at low speed over a period of 3 minutes. Scrape sides and blades. Mix and chop at low speed for 1 to 2 minutes. Check the end point of granulation. If required, add additional purified water to obtain the end point. (The end point of granulation occurs when the wet mass consists of few or no lumps.) Unload the wet granules into stainless steel trays for drying.
- Dry the wet granules in oven at 55°C for 10 hours.
- After 2 hours of drying, scrape the semidried granules to break up the lumps for uniform drying.
- Check the LOD (limit: 1–2.0%). If required, dry further at 55°C for 1 hour.
- Transfer the dried granules to stainless steel drums.
- Grind the dried granules through a 1.25 mm sieve, using granulator at medium speed. Collect in stainless steel drums. Load the granules into blender.
- Sift items 8 to 10 through a 500 μm sieve, using a suitable sifter, and add to blender. Mix for 2 minutes.
- Sift items 11 to 13 through a 500 μm sieve.
- Add 5 to 10 g of granules.
- Mix in a polyethylene bag for 1 minute. Add to blender. Blend for 1 minute. Unload in stainless steel drums.
- Compress into 620 mg tablets, using 6 mm capsule-shaped punches.
- Coat: the formula for the coating solution is determined to obtain a weight gain of 10 mg per caplet, considering evaporation and loss during the coating operation.

ACETAMINOPHEN CHEWABLE TABLETS

Bill of Materials			
Scale (mg/ tablet)	Item	Material Name	Quantity/ 1000 Tablets (g)
300.00	1	Acetaminophen, milled (Hoechst)	300.00
600.00	2	Sucrose, milled	600.00
550.00	3	Kollidon® CL-M	550.00
30.00	4	Orange flavor (FDO)	30.00
30.00	5	Strawberry flavor (FDO)	30.00
60.00	6	Kollidon® 30	60.00
QS	7	Ethanol (96%)	~425.00

MANUFACTURING DIRECTIONS

1. Granulate mixture of items 1 to 5 with solution of items 6 and 7, pass through a sieve, and press with medium-compression force.
2. Average weight of tablet is 1620 mg, obtained using a 20 mm biplanar punch.
3. Taste is sweet, fruity, and only very slightly bitter.

ACETAMINOPHEN, CHLORPHENIRAMINE MALEATE, AND PSEUDOEPHEDRINE CAPLETS**Bill of Materials**

Scale (mg/caplet)	Item	Material Name	Quantity/ 1000 Caplets (g)
325.00	1	Acetaminophen (fine powder)	325.00
31.50	2	Pseudoephedrine HCl	31.50
2.10	3	Chlorpheniramine maleate	2.10
50.00	4	Cornstarch	50.00
7.00	5	Povidone (PVP K-30)	7.00
50.00	6	Cellulose (microcrystalline) (Avicel™ PH101)	50.00
42.00	7	Cornstarch	42.00
10.00	8	Povidone (PVP K-30)	10.00
9.50	9	Powdered cellulose	9.50
77.90	10	Cellulose (microcrystalline) (Avicel™ PH102)	77.90
20.00	11	Sodium starch glycolate (Primojel®)	20.00
8.00	12	Stearic acid (fine powder)	8.00
5.00	13	Talc (fine powder)	5.00
2.00	14	Magnesium stearate	2.00
—	15	Purified water	180.00

MANUFACTURING DIRECTIONS

1. Sift items 1 to 6 through a 630 µm stainless steel sieve.
2. Load into mixer. Mix for 5 minutes at low speed.
3. Dissolve item 8 in 135 g of item 15 (80–90°C) in a vessel.
4. Prepare a slurry of item 7 in 45 g of item 15 (25–30°C). Add the slurry to the vessel to make a translucent paste. Cool to 45°C to 50°C.
5. Add the binder (item 5) to step above.
6. Mix at low speed over a period of 3 minutes. Scrape sides and blades.
7. Mix and chop at low speed for 1 or 2 minutes. Check the end point of granulation. If required, add additional item 15 to obtain the end point. (The end point of granulation occurs when the wet mass consists of few or no lumps.) Unload the wet granules in stainless steel trays for drying.
8. Dry the wet granules at 55°C for 10 hours. After 2 hours of drying, scrape the semidried granules

to break up the lumps to promote uniform drying. Check the LOD (limit: 1.0–2.0%). If required, dry further at 55°C for 1 hour.

9. Grind the dried granules through a 1.25 mm sieve at medium speed. Collect in stainless steel drums.
10. Load the granules into blender.
11. Sift items 9 to 11 through a 500 µm sieve, using suitable sifter, and add mixture to blender. Mix for 2 minutes.
12. Sift items 12 to 14 through a 500 µm sieve.
13. Add 5 to 10 g of granules from bulk. Mix in a polyethylene bag for 1 minute.
14. Add to blender. Blend for 1 minute.
15. Check temperature and humidity before start of compression; temperature should not exceed 27°C and recommended relative humidity is 55% to 65%.
16. Compress the granules using rotary tableting machine. Tablet weight is 640 mg.
17. Coating: select an appropriate coating such as Opadry HPMC. The formula for the coating solution is determined to obtain a weight gain of 10 mg per caplet, considering evaporation and loss during coating operation.

ACETAMINOPHEN, DEXTROMETHORPHAN, AND PSEUDOEPHEDRINE CAPLETS**Bill of Materials**

Scale (mg/caplet)	Item	Material Name	Quantity/ 1000 Caplets (g)
325.00	1	Acetaminophen (fine powder)	325.00
31.50	2	Pseudoephedrine HCl	31.50
15.50	3	Dextromethorphan HBr	15.50
50.00	4	Cornstarch	50.00
7.00	5	Povidone (PVP K-30)	7.00
50.00	6	Cellulose (microcrystalline) (Avicel™ PH101)	50.00
42.00	7	Cornstarch	42.00
10.00	8	Povidone (PVP K-30)	10.00
9.50	9	Cellulose (powdered)	9.50
64.50	10	Cellulose (microcrystalline) (Avicel™ PH102)	64.50
20.00	11	Sodium starch glycolate (Primojel®)	20.00
8.00	12	Stearic acid (fine powder)	8.00
5.00	13	Talc (fine powder)	5.00
2.00	14	Magnesium stearate	2.00
—	15	Purified water	180.00

MANUFACTURING DIRECTIONS

Follow the manufacturing directions provided for acetaminophen, chlorpheniramine, and pseudoephedrine caplets.

ACETAMINOPHEN, DEXTROPROPOXYPHEN HYDROCHLORIDE TABLETS (325 MG/32 MG)

Bill of Materials

Scale (mg/ tablet)	Item	Material Name	Quantity/ 1000 Tablets (g)
325.00	1	Acetaminophen	325.000
32.00	2	Dextropropoxyphen hydrochloride	32.500
8.00	3	Povidone (K29–32)	8.000
7.50	4	Starch (maize)	7.500
QS	5	Water, purified	80.00 mL
10.00	6	Cellulose microcrystalline (Avicel™ PH 101)	10.000
5.00	7	Talc purified	5.000
2.00	8	Magnesium stearate	2.000
QS	9	Coating solution white opaque Methocel-Ethocel	160.000 mL

MANUFACTURING DIRECTIONS

1. Granulation

- Pass acetaminophen, dextropropoxyphen, and starch through a 595 μ m aperture screen, transfer to a suitable mixer, and mix for 10 minutes.
- Warm the water and dissolve the povidone.
- Slowly add the povidone solution to the mixer and mix until a suitable-consistency mass is obtained. Add extra water if needed.
- Pass the mass through a 4 mm aperture screen on an oscillating granulator and dry in a tray dryer at 105°C until the LOD is below 2% (Brabender, 105°C, 1 hour) or the equivalent.
- Pass the granules through a 1.59 mm aperture screen on a suitable comminuting mill, at medium speed, with knives forward into tared polyethylene-lined drums.

2. Lubrication

- Transfer the dried granulation to a suitable blender.
- Screen the cellulose microcrystalline, talc, and povidone through a 595 μ m aperture screen, add to the blender, and blend for 5 minutes.
- Screen the magnesium stearate through a 400 μ m aperture screen and add it to the blender. Blend for 2 minutes.
- Discharge the granule into polyethylene-lined drums, seal, and weigh for yield.

3. Compression

- Compress using 14.5 \times 7.5 mm capsule-shaped punches. Weight of 10 tablets is about 4.05 g, not more than 3% variation; thickness is 5.2 to 5.8 mm (range not more than $\pm 5\%$); hardness is 8 kPa; and disintegration time not more than 15 minutes in water.

- Collect in clean, tared polyethylene-lined drums, and weigh for yield.

4. Coating

- Pan spray: Binks Bullow L450 spray gun or equivalent, fitted with a No. 63B material nozzle, a No. 66SF or 66SD atomizing nozzle, or a No. 39 needle.
 - Divide tablets and solution.
 - Load into pan and preheat for 3 hours to 48°C.
 - Apply the solution at 10 to 21 psi, with a liquid pressure of 5 to 10 psi, to give a flow rate of 350 to 500 mL/min at a pan speed of 20 to 25 rpm. Rotate pan and commence spraying with continuous application of hot air at 46°C to 49°C (damper fully open). Ensure that the tablet bed does not become too hot. Tablets should be put only just above room temperature. You must switch off hot air when a coating solution is not being sprayed. Continue applying the solution until the average tablet weight has increased by 8 mg. When this weight gain is achieved, roll the tablets in cool air until dry. When completely dry, remove the tablets from the pan, and transfer to polyethylene-lined drums. Leave the drums open for at least 6 hours in a dust-free area.
- Accela Cota: Airless high-pressure spray system with two guns. Nozzle type: 0.018 in. (0.45 mm) orifice diameter with a 65° spray angle, pan speed of 5 rpm, inlet temperature of 70°C, inlet airflow set at quarter to half available flow, and exhaust sufficient to maintain coating drum under negative pressure (set water gauge at 7 in.).
 - Divide tablets and solution.
 - Load tablets, rotate pan occasionally, and warm tablets until the exhaust temperature is 38°C to 42°C. Do not rotate longer than is necessary to achieve even warming.
 - Adjust the pump pressure to give an application rate of approximately 500 to 600 mL/min. Commence spraying with the coating solution. Adjust the pressure to maintain the exhaust temperature of 38°C to 42°C.
 - When the average weight gain of 8 mg is obtained, the tablets are dried: reduce pan speed to 7 rpm and maintain the inlet temperature and exhaust settings for 5 minutes. If the exhaust temperature reaches 45°C, switch off heat and control rotation for another 10 minutes; occasionally rotate the pan to ensure even cooling. Remove tablets when the exhaust temperature is 28°C to 32°C.

- v. Ensure that tablets are thoroughly dry, and unload into polyethylene-lined drums; leave the drum unsealed for 1 hour in a dust-free humidity-controlled area.

ACETAMINOPHEN EFFERVESCENT TABLETS

Bill of Materials			
Scale (mg/ tablet)	Item	Material Name	Quantity/ 1000 Tablets (g)
500.00	1	Acetaminophen (powder <300 µm)	500.00
500.00	2	Sodium bicarbonate	500.00
430.00	3	Tartaric acid (powder)	430.00
200.00	4	Dextrose	200.00
QS	5	Flavoring	QS
20.00	6	Kollidon® 30	20.00
—	7	Isopropanol	100.00 mL
60.00	8	PEG-6000 (powder)	60.00

MANUFACTURING DIRECTIONS

1. Granulate the mixture of items 1 to 5 with solution of items 6 and 7.
2. Pass through a 0.8 mm sieve, add item 8, and then mix.
3. Press to tablets (average weight, 1700 mg; 16 mm diameter biplanar tablet).

ACETAMINOPHEN FAST-DISSOLVING TABLET

MANUFACTURING DIRECTIONS

1. To the vortex of a rapidly stirred vessel containing 2.85 kg of deionized water is added 300 g of croscarmellose sodium, forming slurry. This slurry is mixed for 10 minutes.
2. Concurrently, 5.0 kg of powdered acetaminophen is placed in the bowl of a mixer.
3. At the conclusion of the mixing time for the slurry of croscarmellose sodium, the slurry is added slowly to the acetaminophen in the mixer bowl, forming a granulation, which is then placed in trays and dried at 70°C in an oven for 3 hours.
4. The dry granulation is then passed through a U.S. Standard 14 mesh screen (1410 µm).
5. Dry granulation (4796 g) is then placed in a twin-shell blender, and to this are added 1584 g of Avicel™ AC-815 (85% microcrystalline cellulose coprocessed with 15% of a calcium sodium alginate complex) and 1584 g of microcrystalline cellulose (Avicel™ PH-302).
6. This is thoroughly blended for 10 to 15 minutes, after which 36.24 g of magnesium stearate is added and mixed for an additional 5 minutes.

7. Prior to being added to the blender, the magnesium stearate had been passed through a U.S. Standard 30 mesh screen.
8. The resulting blend is compressed into caplet-shaped tablets with an average weight of 0.884 g and an average thickness of 7.869 mm (0.3098 in.).
9. The hardness of these tablets averaged 11.98 kPa. Friability of these tablets is measured at 0.433% after 10 minutes and 0.847% after 19 minutes.
10. The average disintegration time is 26 seconds in 10 mL of deionized water, forming a suspension with minimal shaking.

ACETAMINOPHEN, IBUPROFEN, AND ORPHENADRINE TABLETS (250 MG/200 MG/200 MG)

Bill of Materials			
Scale (mg/ tablet)	Item	Material Name	Quantity/ 1000 Tablets (g)
250.00	1	Acetaminophen (powder <300 µm)	250.00
200.00	2	Ibuprofen	200.00
200.00	3	Orphenadine hydrochloride	200.00
200.00	4	Ludipress®	200.00
5.00	5	Magnesium stearate	5.00
5.00	6	Aerosil 200	5.00

MANUFACTURING DIRECTIONS

1. Pass all components through a 0.5 mm sieve, mix, and press with high-compression force.
2. Compress into 761 mg tablets, using 12 mm planar punches.

ACETAMINOPHEN, IBUPROFEN, AND ORPHENADRINE HYDROCHLORIDE TABLETS

Bill of Materials			
Scale (mg/ tablet)	Item	Material Name	Quantity/ 1000 Tablets (g)
250.00	1	Acetaminophen (powder <300 µm)	250.00
200.00	2	Ibuprofen	200.00
100.00	3	Orphenadine hydrochloride	100.00
200.00	4	Ludipress®	200.00
5.00	5	Magnesium stearate	5.00
5.00	6	Aerosil® 200	5.00

MANUFACTURING DIRECTIONS

1. Pass all components through a 0.5 mm sieve and mix.
2. Press with high-compression force.
3. Tablet weight is 761 mg for a 12 mm biplanar tablet.

ACETAMINOPHEN MICROSPHERE TABLET**MANUFACTURING DIRECTIONS**

1. Formulation: Acetaminophen (APAP) powder (melting point 169–170.5°C) 85%, carnauba wax 7.5%, Pluronic F68 7.5%.
2. Pluronic is milled through a FitzMill, using a 40 mesh screen.
3. All of the ingredients are blended at 60 Hz of slow speed, with chopper, for 10 minutes.
4. The blend is then subjected to liquiflash processing at 60 Hz and 37% nominal power, using the 5 in. V-groove heater head.
5. The collected microspheres are sieved.
6. The fraction passing through a 40 mesh sieve and retained on 120 mesh sieve is coated.
7. The microspheres selected are coated in a fluid-bed coater for taste-masking at a 30% coating level with a coating solution containing a 1:1 ethyl cellulose/hydroxypropyl cellulose blend in acetone:isopropyl alcohol solvent.
8. A preblend of 78.25% sucrose, 11.0% sorbitol, 10.0% xylitol, and 0.75% Tween (Polysorbate) 80 is prepared.
9. The floss preblend is processed using the 5 in. crown head at a temperature of 250°C and rotational speed of 60 Hz (3600 rpm).
10. The floss collected is mixed with 2% lactose (w/w) for 2 minutes at 100 rpm and 200 proof ethanol sprayed in a quantity equal to 0.5% (w/w) of the quantity of the floss.
11. The floss is then dried at 45°C for 90 minutes with intermittent mixing.
12. The dried floss is screened through a 20 mesh screen.
13. APAP taste-masked microspheres (step 5) 47.97, floss (step 6) 48.88, grape flavor 0.70, citric acid 1.50, acesulfame potassium 0.20, silicon dioxide 0.25, and sodium stearyl fumarate 0.50 are processed.
14. The coated APAP microspheres are blended with the sieved floss for 5 minutes in a mixer, followed by the addition of flavors, sweeteners, and citric acid for another 3 minutes.
15. Thereafter, silicon dioxide is added, and the mix blended for another 2 minutes. The final addition, sodium stearyl fumarate, is followed by blending for an additional 2 minutes.
16. The blend is then tableted using flat-faced bevel edge punches (tablet weights are 255 mg for 9 mm punch tooling, equivalent to 80 mg APAP dose, and 510 mg for 12 mm punch tooling, equivalent to 160 mg APAP dose).
17. The hardness values ranged from 0.5 to 2.0 kPa.

ACETAMINOPHEN, NOREPHEDRINE, AND PHENYLTOLOXAMINE TABLETS**Bill of Materials**

Scale (mg/ tablet)	Item	Material Name	Quantity/ 1000 Tablets (g)
300.00	1	Acetaminophen (crystalline) (Merck)	300.00
25.00	2	Norephedrine hydrochloride (Knoll)	25.00
22.00	3	Phenyltoloxamine	22.00
200.00	4	Cornstarch	200.00
25.00	5	Kollidon® 30	25.00
—	6	Ethanol (96%)	QS
25.00	7	Kollidon® CL	25.00
5.00	8	Magnesium stearate	5.00

MANUFACTURING DIRECTIONS

1. Granulate mixture of items 1 to 5 with solution of items 5 and 6.
2. Dry, pass through a 0.8 mm sieve, and add items 7 and 8.
3. Press with high-compression force.
4. Tablet weight is 601 mg for 12 mm biplanar tablet.

ACETAMINOPHEN, NOREPHEDRINE, AND PHENYLTOLOXAMINE TABLETS (300 MG/25 MG/22 MG)**Bill of Materials**

Scale (mg/ tablet)	Item	Material Name	Quantity/ 1000 Tablets (g)
300.00	1	Acetaminophen crystalline	300.00
25.00	2	Norephedrine hydrochloride	25.00
22.00	3	Phenyltoloxamine	22.00
200.00	4	Starch (maize)	200.00
25.00	5	Kollidon® 30	25.00
—	6	Alcohol	QS
25.00	7	Kollidon® CL	25.00
5.00	8	Magnesium stearate	5.00

MANUFACTURING DIRECTIONS

1. Granulate the mixture of items 1 through 4 with a solution of items 5 and 6.
2. Dry, pass through a 0.8 mm sieve, add items 7 and 8, and press with high-compression force.
3. Compress into 601 mg tablets, using 12 mm planar punches.

ACETAMINOPHEN, PHENYLPROPANOLAMINE, DEXTROMETHORPHAN, AND CHLORPHENIRAMINE TABLETS

Bill of Materials

Scale (mg/ tablet)	Item	Material Name	Quantity/ 1000 Tablets (g)
200.00	1	Acetaminophen	200.00
12.50	2	Phenylpropanolamine hydrochloride (10% excess)	13.75
10.00	3	Dextromethorphan hydrobromide (10% excess)	11.00
1.00	4	Chlorpheniramine maleate (10% excess)	1.10
64.65	5	Cellulose (microcrystalline) (Avicel™ PH101)	64.65
28.00	6	Sodium starch glycolate (pH 5.5–7.5)	28.00
17.00	7	Povidone (PVP K-29–32)	17.0
—	8	Distilled purified water	~80.0 mL
2.00	9	Magnesium stearate	2.00
125.00	10	Acetaminophen	125.00
50.00	11	Ascorbic acid; use item 12	—
56.25	12	Sodium ascorbate (special grade) (20% excess)	67.50
24.00	13	Sodium starch glycolate (pH 5.5–7.5)	24.00
15.00	14	Povidone (PVP K-29–32)	~15.00
—	15	Alcohol SD 3A (200 proof)	75.0 mL

MANUFACTURING DIRECTIONS

- Dissolve chlorpheniramine and povidone (item 7) in the purified water.
- Pass phenylpropanolamine, dextromethorphan, and an equal portion of Avicel™ (item 5) through a 790 µm screen to break any agglomerates.
- Blend the screened items in a suitable mixer for 5 minutes.
- Load acetaminophen (item 1), sodium starch glycolate (item 6), remaining Avicel™ (item 5), and blended items from the previous step into a suitable planetary mixer.
- Blend for 10 minutes.
- Granulate the blend from the solution above.
- Add the granulating solution in three equal portions, massing for 5 minutes after each addition.
- Pass the wet mass through a 4.2 mm screen onto paper-lined trays.
- Dry at 50°C until the granule LOD is 1% to 1.5%.
- Pass the dried granules through an oscillating granulator fitted with a 790 µm screen.
- Load the dried granules into a suitable blender.
- Pass the magnesium stearate through a 600 µm screen and add to the blender.
- Blend for 5 minutes.
- Compress to the following specifications: tablet weight of 291.0 mg and tablet thickness of 4.20 to 4.40 mm.

ACETAMINOPHEN, PROPOXYPHENAZONE, AND CAFFEINE TABLETS

Bill of Materials

Scale (mg/ tablet)	Item	Material Name	Quantity/ 1000 Tablets (g)
250.00	1	Acetaminophen powder	250.00
150.00	2	Propoxyphenazone (isopropyl antipyrine)	150.00
50.00	3	Anhydrous caffeine	50.00
120.00	4	Avicel™ PH102	120.00
5.00	5	Pharmacoat® 603	5.00
3.25	6	Magnesium stearate	3.25
9.75	7	Talcum	9.75
1.30	8	Silicic acid	1.30
7.00	9	Methocel E-15	7.00
32.50	10	Esmaspreng fine	32.50
21.20	11	Maize starch	21.20
—	12	Water purified	QS

MANUFACTURING DIRECTIONS

- Place into a suitable vessel 5.00 g of Pharmacoat and 74.00 g of purified water; stir until homogeneous aqueous mucilage is obtained.
- Mix in another vessel 250 g of acetaminophen powder and 17.50 g of Esmaspreng fine; add the above granulating solution and knead for approximately 10 minutes until an evenly moist mass of soft lumps is obtained.
- Granulate by means of centrifugal granulator with 10 mm screen; dry the moist granulate overnight on trays in drying oven at 45°C (relative humidity of 20–30%).
- Crush the dried cake through an oscillator with a 1.5 mm perforated plate.
- In a suitable container, add 65 g of deionized water and 7.0 g of Methocel.
- Stir until homogeneous aqueous mucilage is obtained.
- Mix into another vessel 150 g of isopropyl antipyrine, 50 g of caffeine, 15 g of Esmaspreng fine, and 5.0 g of maize starch.
- Pass through a centrifugal granulator with 1.0 mm screen; place the mixture into another vessel and knead for approximately 10 minutes until an evenly moist mass of small lumps is obtained.

9. Granulate through centrifugal granulator with 10 mm perforated screen.
10. Dry moist granulate overnight on trays in drying oven at 45°C (relative humidity of 10–20%).
11. Crush the dried granules through oscillator with a 1.5 mm perforated plate; store in airtight container.
12. Mix into a tumbling mixer 4.875 g of talc, 1.625 g of magnesium stearate, 0.65 kg of silicic acid, and 60.00 g of Avicel™ PH102.
13. Pass through a 0.5 mm round sieve, load acetaminophen granulate and isopropyl antipyrine/caffeine granulate, and add premixture of talc into blender.
14. Mix the mixture well for 30 minutes (relative humidity of 30–35%).
15. Store mix in airtight container.
16. Compress 650 mg tablet to 12.8–13.2 mm; hardness, 6 to 20 kPa; disintegration time, 5 minutes.

ACETAMINOPHEN, SALICYLAMIDE, CAFFEINE, AND CODEINE TABLETS (150 MG/200 MG/50 MG/10 MG)

Bill of Materials

Scale (mg/ tablet)	Item	Material Name	Quantity/ 1000 Tablets (g)
200.00	1	Salicylamide	200.00
150.00	2	Acetaminophen powder	150.00
50.00	3	Caffeine anhydrous	50.00
10.00	4	Codeine phosphate	10.00
130.00	5	Starch (maize)	130.00
5.00	6	Gelatin powder	5.00
8.00	7	PVP K30	8.00
1.00	8	Aerosil 200	1.00
30.00	9	Starch (maize)	30.00
—	10	Water, purified	300 mL
10.00	11	Talc powder	10.00
19.00	12	Starch (maize), dried	19.00
1.00	13	Aerosil 200	1.00

MANUFACTURING DIRECTIONS

Note: The binding solution is prone to microbiological growth. Use only freshly prepared and properly stored solution.

1. Place item 6 and about 25 mL of item 10 into a vessel to dissolve item 6. Mix for 10 minutes.
2. In a separate vessel, add and dissolve items 9 and 7 in about 12 mL of water.
3. Place item 5 into a vessel; add about 40 mL of cold item 10 and 20 mL of hot (70–75°C) water, after first dissolving in cold water.
4. In a separate vessel, place items 1 to 5 after passing them through a 630 µm sieve. Mix for 5 minutes at medium speed.

5. Add binding solution from step 3 and mix at medium speed. Continue until a satisfactory mass is obtained.
6. Dry the wet mass in a fluid-bed dryer at 50°C for 45 minutes to 1.5% to 2.5% LOD.
7. Pass the dried granules through a 1.5 mm sieve.
8. Load granules in a cone blender and mix for 5 minutes.
9. Add items 11 to 13 (passed through a 500 µm sieve) to blender, and blend for 5 minutes.
10. Compress into 634 mg tablets, using 12.7 mm flag bevel-edge punches.

ACETAMINOPHEN SUSTAINED-RELEASE TABLETS

MANUFACTURING DIRECTIONS

1. Dissolve 300 g of acetaminophen and 60 g of hydroxypropyl methylcellulose in a mixture of 720 g of methanol and 720 g of dichloromethane.
2. Introduce 300 g of Celphere 102 (mean particle diameter of approximately 127 µm, particle diameter of approximately 50–150 µm) to a fluidized bed granulator and coat with the solution by the side spraying method (spraying liquid volume 14 g/min, spraying air pressure 3 kg/cm², product temperature 32°C, and inlet temperature 45°C) to obtain acetaminophen particles.
3. Separately, dissolve 48 g of ethyl cellulose and 12 g of hydroxypropyl methylcellulose in a mixture of 57 g of purified water and 1083 g of methanol.
4. Introduce acetaminophen particles (300 g) to a fluidized bed granulator and coat with this solution by side spraying (spraying liquid volume of 8 g/min, spraying air pressure of 3 kg/cm², product temperature of 38°C, and inlet temperature of 67°C) to obtain sustained-release fine particles.
5. Granulate 66 g of these sustained-release fine particles and 314.25 g mannitol that have been pulverized by a pin mill pulverizing device (spraying liquid volume 15 g/min, spraying air pressure of 1.1 kg/cm², product temperature of 30°C, inlet temperature of 38°C, and spraying cycle of 30 seconds spraying and 30 seconds drying) with an aqueous 30% w/w solution containing 67.5 g of maltose in a fluidized bed granulator to obtain the final composition.
6. After further mixing 2.25 g of magnesium stearate with the composition that is obtained, make 450 mg tablets containing 25 mg acetaminophen per tablet under a tableting pressure of 25 kg/punch and an initial hardness of 2.0 kPa, using a rotary tableting machine.
7. Next, keep these tablets for 24 hours while heating and humidifying at 25°C/75% RH, using a thermostatic chamber at constant humidity. Then, dry for 3 hours at 30°C and 40% RH.
8. The tablets obtained should show a hardness of 3.5 kPa and disintegration time in the buccal cavity of 12 seconds.

ACETAMINOPHEN TABLETS

Bill of Materials			
Scale (mg/ tablet)	Item	Material Name	Quantity/ 1000 Tablets (g)
500.00	1	Acetaminophen (fine powder)	500.00
44.15	2	Maize starch	44.15
0.84	3	Potassium sorbate	0.84
18.00	4	Povidone (PVP K-30)	18.00
4.00	5	Aerosil® 200	4.00
12.00	6	Gelatin (powder)	12.00
4.00	7	Glycerol	4.00
30.00	8	Cellulose (powder)	30.00
12.00	9	Primojel®	12.00
8.00	10	Stearic acid (fine powder)	8.00
2.00	11	Magnesium stearate	2.00
5.00	12	Talc (fine powder)	5.00
QS	13	Purified water	QS

MANUFACTURING DIRECTIONS

1. Binder solution: Prepare in several batches. Add items 3 to 5 with about 50% quantity of water, dissolve item 1 in water, add item 4, and dissolve at medium speed. Avoid foaming.
2. Add item 5 and mix for 3 minutes.
3. Dissolve item 6 in 70°C to 80°C purified water, and mix until clear. Avoid foaming.
4. Add item 7 and mix gently; add to mixture from previous step.
5. Mix items 1 and 2 for 5 minutes.
6. Add binding solution and mix at slow speed until granules form; add extra water if necessary.
7. Dry in fluid-bed dryer at 55°C for 30 minutes; after 15 minutes, scrape granules to break up lumps to promote uniform drying. Dry to 1% to 1.5% LOD.
8. Grind through a 3.0 mm sieve and then through a 1.0 mm sieve; load into a double-cone blender.
9. Pass cellulose powder, Primojel®, and stearic acid through a 500 µm sieve; bag-mix magnesium stearate and fine talc powder, and pass through a 250 µm sieve; then add portion of granules from the bulk to the bag and mix for 1 minute.
10. Add both of these parts to the granules.
11. Compress into 17.6 × 7.2 mm caplet punches of 10 to 14 kPa hardness and 5.8 to 6.0 mm thickness.

ACETAMINOPHEN TABLETS

Bill of Materials			
Scale (mg/ tablet)	Item	Material Name	Quantity/ 1000 Tablets (g)
500.00	1	Acetaminophen (crystalline)	500.00
137.00	2	Avicel™ PH102	137.00
35.00	3	Kollidon® VA 64	35.00
21.00	4	Kollidon® CL	21.00
3.00	5	Magnesium stearate	3.00
4.00	6	Aerosil® 200	4.00

MANUFACTURING DIRECTIONS

1. Pass the lubricant through a 200 mm sieve and mix all other components.
2. Pass through 0.8 mm sieve, add the lubricant, and press with a high-compression force of 25 to 30 kN.
3. Fill 699 mg.

ACETAMINOPHEN TABLETS

Bill of Materials			
Scale (g/ tablet)	Item	Material Name	Quantity/ 1000 Tablets (g)
500	1	Acetaminophen (crystalline)	500
150	2	Avicel™ PH102	150
20	3	Kollidon® VA 64	20
15	4	Kollidon® CL	15
15	5	PEG-6000 (powder)	15
2	6	Aerosil® 200	2

MANUFACTURING DIRECTIONS

1. Pass the lubricant through a 200 µm sieve and mix all other components.
2. Pass the mix through a 0.8 mm sieve, add the lubricant, and press with a high-compression force of 25 to 30 kN.
3. Weight should be 703 mg.

ACETAMINOPHEN TABLETS

Bill of Materials			
Scale (mg/ tablet)	Item	Material Name	Quantity/ 1000 Tablets (g)
500.00	1	Acetaminophen (powder)	500.00
30.00	2	Dicalcium phosphate	30.00
12.00	3	Kollidon® CL	12.00
20.00	4	Kollidon® VA 64	20.00
10.00	5	Kollidon® 90F	10.00
—	6	Ethanol (96%)	70 mL (max.)
12.00	7	Kollidon® CL	12.00
10.00	8	Polyethylene glycol (powder)	10.00

MANUFACTURING DIRECTIONS

1. Granulate mixture of items 1 to 4 with the solution of items 5 and 6.
2. Dry, sieve, and mix with items 7 and 8.
3. Press with high-compression force of 25 to 30 kN.
4. Tablet weight is 587 mg for an 11 mm biconvex tablet.

ACETAMINOPHEN TABLETS, CHEWABLE

Bill of Materials			
Scale (mg/ tablet)	Item	Material Name	Quantity/ 1000 Tablets (g)
89.90	1	Acetaminophen; use acetaminophen particles coated with cellulose acetate and PVP	89.90
246.00	2	Mannitol granular	246.00
30.00	3	Microcrystalline cellulose	30.00
9.00	4	Aspartame	9.00
1.27	5	Dyes	1.27
2.10	6	Citric acid	2.10
2.30	7	Flavor	2.30
4.40	8	Magnesium stearate	4.40

MANUFACTURING DIRECTIONS

1. Acetaminophen is coated with a layer of a taste-masking composition with a thickness of about 3 to 10 μm . The coating should be substantially free of cracks, holes, and other imperfections when examined under a scanning electron microscope at 100 to 500 \times magnification.
2. Load items 1 to 7 in a suitable blender and mix for 20 minutes.
3. Add item 8 to step 2 and blend for 2 minutes.
4. Compress the appropriate quantity.

ACETAMINOPHEN TABLETS FOR CHILDREN

Bill of Materials			
Scale (mg/ tablet)	Item	Material Name	Quantity/ 1000 Tablets (g)
210.00	1	Acetaminophen (Merck)	210.00
168.00	2	Avicel™ PH101	168.00
13.00	3	Kollidon® VA 64	13.00
6.00	4	Kollidon® CL	6.00
2.00	5	Magnesium stearate	2.00

MANUFACTURING DIRECTIONS

1. Pass all components through a 0.8 mm sieve, mix, and press with medium-compression force.
2. Tablet weight is 401 mg for a 12 mm biplanar tablet.

**ACETAMINOPHEN–TRAMADOL
HYDROCHLORIDE TABLETS**

Bill of Materials			
Scale (mg/ tablet)	Item	Material Name	Quantity/ 1000 Tablets (g)
200.00	1	Acetaminophen	200.00
20.00	2	Tramadol hydrochloride	20.00
50.40	3	Microcrystalline cellulose	50.40
19.20	4	Povidone K-90	19.20
4.80	5	Croscarmellose sodium	4.80
3.20	6	Colloidal silicon dioxide	3.20
3.20	7	Magnesium stearate	3.20

MANUFACTURING DIRECTIONS

1. Mix the above amounts of items 1 through 6 listed in above formulation in a mixer, such as a high-shear mixer granulator or planetary mixer, to obtain homogeneity.
2. Granulate the mix in water or other suitable granulation fluids and dry in a dryer.
3. Mill the dried granular mass
4. Compress the lubricated granular mass into mini-tablets, with tablet weight of 160 mg for mini tablets and for regular tablet 320 mg.
5. Encapsulate the mini-tablets in a capsule containing two immediate-release mini-tablets and two sustained-release mini-tablets.

ACETAMINOPHEN–TRAMADOL HYDROCHLORIDE TABLETS

Bill of Materials

Scale (mg/ tablet)	Item	Material Name	Quantity/ 1000 Tablets (g)
300.00	1	Acetaminophen	300.00
30.00	2	Tramadol hydrochloride	30.00
8.80	3	Microcrystalline cellulose	8.80
17.60	4	Povidone K-90	17.60
35.20	5	Sodium alginate (Keltone LV)	35.20
39.60	6	Hydroxypropyl methylcellulose 4 KM	39.60
4.40	7	Colloidal silicon dioxide	4.40
4.40	8	Magnesium stearate	4.40

MANUFACTURING DIRECTIONS

1. For a portion of sustained release, mix the suitable amounts of items 1 through 3 and 7 and 8 listed in this formulation in a mixer, such as a high-shear mixer granulator or planetary mixer, to obtain homogeneity.
2. Granulate the mix is then granulated in water or other suitable granulation fluids and dry in a dryer. Mill the dried granular mass.
3. Compress the lubricated granular mass into mini-tablets, using a tablet press for individual tablet weight of 220 mg for mini tablets and for regular tablet 440 mg.
4. Encapsulate the mini-tablets in a capsule containing two immediate-release mini-tablets and two sustained-release mini-tablets.

ACETYLSALICYLIC ACID, ACETAMINOPHEN, AND CAFFEINE TABLETS

Bill of Materials

Scale (mg/ tablet)	Item	Material Name	Quantity/ 1000 Tablets (g)
250.00	1	Acetylsalicylic acid (crystalline)	250.00
250.00	2	Acetaminophen (crystalline)	250.00
50.00	3	Caffeine	50.00
50.00	4	Kollidon® 90°F	50.00
—	5	Isopropanol	QS
5.00	6	Magnesium stearate	5.00
16.00	7	Kollidon® CL	16.00

MANUFACTURING DIRECTIONS

1. Granulate items 1 to 3 with the solution of items 4 and 5; dry and sieve through a 0.8 mm screen.
2. Add items 5 and 6 and press with low-compression force (hardness is 45 N); 12 mm biplanar tablet has an average weight of 670 mg.

ACETYLSALICYLIC ACID, ACETAMINOPHEN, AND CAFFEINE TABLETS (DIRECT COMPRESSION)

Bill of Materials

Scale (mg/ tablet)	Item	Material Name	Quantity/ 1000 Tablets (g)
400.00	1	Acetylsalicylic acid (crystalline)	400.00
100.00	2	Acetaminophen (crystalline)	100.00
30.00	3	Caffeine	30.00
100.00	4	Ludipress®	100.00
20.00	5	Kollidon® CL	20.00
30.00	6	PEG-6000 (powder)	30.00
5.00	7	Stearic acid	5.00

MANUFACTURING DIRECTIONS

1. Mix all components and pass through a 0.8 mm sieve.
2. Press with a compression force of 116 N; 12 mm biplanar tablet has an average weight of 683 mg.

ACETYLSALICYLIC ACID, ACETAMINOPHEN, AND CAFFEINE TABLET (250 MG/250 MG/50 MG)

Bill of Materials

Scale (mg/ tablet)	Item	Material Name	Quantity/ 1000 Tablets (g)
250.00	1	Acetaminophen (Merck)	250.00
50.00	2	Caffeine powder	50.00
250.00	3	Acetylsalicylic acid	250.00
60.00	4	Kollidon® VA 64	60.00
20.00	5	Kollidon® CL	20.00
3.00	6	Aerosil® 200	3.00
4.00	7	Magnesium stearate	4.00

MANUFACTURING DIRECTIONS

1. Granulate the active ingredients and Kollidon® VA 64 in a roller compactor.
2. Pass the granules together with magnesium stearate, Aerosil® 200, and Kollidon® CL through an 800 µm sieve.
3. Blend for 10 minutes in a mixer.
4. Compress into tablets with a force of about 12 kN.

ACETYLSALICYLIC ACID AND ACETAMINOPHEN TABLETS

Bill of Materials

Scale (mg/ tablet)	Item	Material Name	Quantity/ 1000 Tablets (g)
250.00	1	Acetylsalicylic acid (crystalline)	250.00
250.00	2	Acetaminophen (crystalline)	250.00
60.00	3	Avicel™ PH101	60.00
15.00	4	Kollidon® 30 (or Kollidon® VA 64)	15.00
25.00	5	Kollidon® CL	25.00

MANUFACTURING DIRECTIONS

1. Pass all components through a 0.8 mm sieve and mix.
2. Press with medium-compression force.
3. Tablet weight is 605 mg for a 12 mm biplanar tablet.

ACETYLSALICYLIC ACID AND ACETAMINOPHEN TABLETS

Bill of Materials

Scale (mg/ tablet)	Item	Material Name	Quantity/ 1000 Tablets (g)
250.00	1	Acetylsalicylic acid (40 mesh)	250.00
250.00	2	Acetaminophen (40 mesh)	250.00
15.00	3	Avicel™ PH102	15.00
7.20	4	Croscarmellose sodium (Ac-Di-Sol)	7.20
7.20	5	Stearic acid	7.20
4.00	6	Fumed silica	4.00

MANUFACTURING DIRECTIONS

1. Screen all ingredients through a 0.8 mm sieve.
2. Blend all ingredients in a V-blender and mix for 10 minutes.
3. Compress to 670 mg tablet weight, using appropriate tooling.

ACETYLSALICYLIC ACID AND ASCORBIC ACID TABLETS

Bill of Materials

Scale (mg/ tablet)	Item	Material Name	Quantity/ 1000 Tablets (g)
325.00	1	Acetylsalicylic acid (crystalline) (Merck)	325.00
250.00	2	Ascorbic acid (powder) (BASF)	250.00
120.00	3	Sorbitol (crystalline)	120.00
40.00	4	Avicel™ PH101	40.00
25.00	5	Kollidon® VA 64	25.00
20.00	6	Kollidon® CL	20.00
2.00	7	Magnesium stearate	2.00

MANUFACTURING DIRECTIONS

1. Pass all components through a 0.8 mm sieve and mix.
2. Press with medium- to high-compression force (hardness is 92 N); 12 mm biplanar tablet has an average weight of 790 mg.

ACETYLSALICYLIC ACID AND ASCORBIC ACID TABLETS

Bill of Materials

Scale (mg/ tablet)	Item	Material Name	Quantity/ 1000 Tablets (g)
325.00	1	Acetylsalicylic acid (crystalline) (Merck)	325.00
250.00	2	Ascorbic acid (powder) (BASF)	250.00
100.00	3	Avicel™ PH101	100.00
12.00	4	Kollidon® VA 64	12.00
30.00	5	Kollidon® CL	30.00
3.00	6	Magnesium stearate	3.00

MANUFACTURING DIRECTIONS

1. Pass all components through a 0.8 mm sieve and mix.
2. Press with medium- to high-compression force (hardness is 100 N); 12 mm biplanar tablet has an average weight of 726 mg.

ACETYLSALICYLIC ACID + PARACETAMOL (=ACETAMINOPHEN) TABLETS (250 MG + 250 MG)

Bill of Materials

Scale (mg/ tablet)	Item	Material Name	Quantity/ 1000 Tablets (g)
250.00	1	Acetylsalicylic acid	250.00
250.00	2	Acetaminophen	250.00
60.00	3	Avicel™ PH 101	60.00
15.00	4	Kollidon® VA 64	15.00
3.00	5	Macrogel 6000 Powder	3.00

MANUFACTURING DIRECTIONS

1. Pass all components through a 0.8 mm sieve, mix, and press with medium-compression force.

ACETYLSALICYLIC ACID TABLETS (500 MG)

Bill of Materials

Scale (mg/ tablet)	Item	Material Name	Quantity/ 1000 Tablets (g)
500.00	1	Acetylsalicylic acid crystalline	500.00
200.00	2	Avicel™ PH 101	200.00
15.00	3	Kollidon® 30	15.00
25.00	4	Kollidon® CL	25.00
3.00	5	Magnesium stearate	3.00

MANUFACTURING DIRECTIONS

1. Pass all components through a 0.8 mm sieve, mix, and press with low-compression force.

ACETYLSALICYLIC ACID TABLETS (DIRECT COMPRESSION)

Bill of Materials

Scale (mg/ tablet)	Item	Material Name	Quantity/ 1000 Tablets (g)
400.00	1	Acetylsalicylic acid (crystalline) (Merck)	400.00
99.00	2	Ludipress®	99.00
1.00	3	Stearic acid	1.00
15.00	4	Kollidon® CL	15.00

MANUFACTURING DIRECTIONS

1. Mix all components and pass through a 0.8 mm sieve.
2. Press with low-compression force (hardness is 90 N); 12 mm biplanar tablet has an average weight of 516 mg.

ACETYLSALICYLIC ACID TABLETS (DIRECT COMPRESSION)

Bill of Materials

Scale (mg/ tablet)	Item	Material Name	Quantity/ 1000 Tablets (g)
400.00	1	Acetylsalicylic acid, 40 mesh	400.00
55.60	2	Cellulose (microcrystalline) (Avicel™ PH101)	55.60
21.40	3	Starch (pregelatinized)	21.40
2.20	4	Stearic acid	2.20
10.00	5	Croscarmellose sodium (Ac-Di-Sol)	10.00
3.20	6	Fumed silica	3.20

MANUFACTURING DIRECTIONS

1. Screen about half of item 1 through a mill, using 12 mesh screen with knives forward.
2. Preblend items 2 to 6 with 25% of item 1, and pass the mixture through the mill.
3. Pass the balance of item 1 through the mill.
4. Mix all the ingredients in a V-blender for 10 minutes and compress using 13/32 in. tooling.
5. For enteric coating, coat with Aquateric (FMC) dispersion.

ACETYLSALICYLIC ACID TABLETS (DIRECT COMPRESSION)

Bill of Materials

Scale (mg/ tablet)	Item	Material Name	Quantity/ 1000 Tablets (g)
200.00	2	Avicel™ PH101	200.00
15.00	3	Kollidon® 30	15.00
25.00	4	Kollidon® CL	25.00
3.00	5	Magnesium stearate	3.00

MANUFACTURING DIRECTIONS

1. Pass all components through a 0.8 mm sieve and mix.
2. Press with low-compression force (hardness is 61 N); 12 mm biplanar tablet has an average weight of 707 mg.

ACETYLSALICYLIC ACID TABLETS, BUFFERED

Bill of Materials			
Scale (mg/ tablet)	Item	Material Name	Quantity/ 1000 Tablets (g)
400.00	1	Acetylsalicylic acid (40 mesh)	400.00
40.00	2	Magnesium hydroxide	40.00
40.00	3	Aluminum hydroxide	40.00
135.00	4	Cellulose (microcrystalline) (Avicel™ PH101)	135.00
15.30	5	Stearic acid	15.30
15.30	6	Croscarmellose sodium (Ac-Di-Sol)	15.30
18.50	7	Hydroxy coatings	18.50

MANUFACTURING DIRECTIONS

1. Screen all ingredients except item 7 through a 40 mesh sieve.
2. Blend items 2 and 3 in a V-blender for 10 minutes.
3. Coat items 2 and 3 using Aquacoat (FMC) aqueous polymer dispersion in a fluid-bed column with a 10% by weight formula.
4. Blend 50% of item 1 with items 4 and 5 for 10 minutes in a V-blender.
5. Add remaining item 1 and blend again for 10 minutes.
6. Blend item 7 with the mixture from the previous step for 10 minutes.
7. Add item 6 and blend for 7 minutes.
8. Compress into 625 mg tablets to the desired hardness using appropriate tooling.

ACETYLSALICYLIC ACID + VITAMIN C TABLETS (400 MG + 250 MG)

Bill of Materials			
Scale (mg/ tablet)	Item	Material Name	Quantity/ 1000 Tablets (g)
400.00	1	Acetylsalicylic acid crystalline	400.00
250.00	2	Ascorbic acid	250.00
100.00	3	Ludipress®	100.00
20.00	4	Kollidon® CL	20.00
3.00	5	Macrogol 6000 powder	3.00

MANUFACTURING DIRECTIONS

Pass all components through a 0.8 mm sieve, mix, and press with medium-compression force.

ACYCLOVIR FAST MELT**MANUFACTURING DIRECTIONS**

1. Add and mix Acyclovir 50%, sodium bicarbonate 18%, citric acid anhydrous 18%, anhydrous lactose 7%, xylitol 5%, Crodesta F160 2%.
2. Dry these ingredients to reduce moisture.
3. The ingredients are then blended for 10 minutes and extruded in a hot melt extruder at 70°C to 100°C to soften and melt the thermal binders (sucrose stearate and xylitol) to form granules containing the effervescent ingredients.
4. Granules are then screened and blended with the following ingredients: ACY-EFG (30–60 mesh) 50%, microcrystalline cellulose 18%, Fujicalin SG 18%, L-HPC LH-1110%, aspartame 3%, redberry flavor 0.4%, magnesium stearate 0.5%, Cab-O-Sil M5P 0.1%.
5. Mix the ingredients in step 4 for 5 minutes prior to compression.
6. Acyclovir tablets are then compressed to a hardness of approximately 1 to 3 kPa, and tablets disintegrate in water in approximately 20 to 45 seconds.

ACYCLOVIR TABLETS (ZOVIRAX)

Each 800 mg tablet of Zovirax contains 800 mg of acyclovir and the inactive ingredients FD&C Blue No. 2, magnesium stearate, microcrystalline cellulose, povidone, and sodium starch glycolate. Each 400 mg tablet of Zovirax contains 400 mg of acyclovir and the inactive ingredients magnesium stearate, microcrystalline cellulose, povidone, and sodium starch glycolate.

ACYCLOVIR TABLETS

Bill of Materials			
Scale (mg/ tablet)	Item	Material Name	Quantity/ 1000 Tablets (g)
800.00	1	Acyclovir	800.00
240.00	2	Lactose	240.00
100.00	3	Microcrystalline cellulose (Avicel™ PH 101)	100.00
24.00	4	Povidone	24.00
32.00	5	Sodium starch glycolate	32.00
8.00	6	Magnesium stearate	8.00
—	7	Alcohol	48.00

MANUFACTURING DIRECTIONS

1. Pass items 1 to 3 through 250 µm mesh in a granulating vessel.
2. In a separate container, mix items 4 and 5 in item 6; now, add the solution to step 1. Pass the wet mass through an 8 mesh screen, dry, and size the granules.
3. Compress 1204 mg.

ACYCLOVIR WATER-DISPERSIBLE TABLETS (800 MG)

Bill of Materials

Scale (mg/ tablet)	Item	Material Name	Quantity/ 1000 Tablets (g)
800.00	1	Acyclovir	800.00
100.00	2	Microcrystalline cellulose (Avicel™ PH 101)	100.00
53.00	3	Veegum F	53.00
42.00	4	Sodium starch glycolate	42.00
9.40	5	Magnesium stearate	9.40
—	6	Alcohol	QS

MANUFACTURING DIRECTIONS

1. Pass items 1 to 4 through 250 µm mesh into a granulating vessel.
2. Add a sufficient quantity of item 6 to make a wet mass. Pass it through a granulator, dry, and then pass through an 11 mesh sieve.
3. Pass item 5 through a 250 µm sieve and add to step 2.
4. Compress into 1004 mg tablets, using a suitable punch.

ALBENDAZOLE TABLETS (200 MG)

Bill of Materials

Scale (mg/ tablet)	Item	Material Name	Quantity/ 1000 Tablets (g)
200.00	1	Albendazole	200.00
84.00	2	Starch (maize)	84.00
101.25	3	Lactose monohydrate	101.25
5.00	4	Sodium starch glycolate (Primojel®)	5.00
13.00	5	Povidone (PVP K-30)	13.00
5.00	6	Saccharin sodium	5.00
1.00	7	Polysorbate 80 (Tween 80)	1.00
110.00	8	Microcrystalline cellulose (Avicel™ PH 102)	110.00
50.00	9	Sodium starch glycolate (Primojel®)	50.00
5.00	10	Vanilla dry flavor	5.00
5.00	11	Blood orange dry flavor	5.00
4.00	12	Stearic acid	4.00
2.00	13	Magnesium stearate	2.00
2.75	14	Colloidal silicon dioxide (Aerosil 200)	2.75
2.00	15	Sodium lauryl sulfate	2.00
—	16	Alcohol (ethanol 95%)	105.00
—	17	Purified water	73.33

MANUFACTURING DIRECTIONS

Note: Avoid overmixing the lubricants; otherwise, hardness will be reduced.

1. Dissolve item 7 in item 16 by spatula. Dissolve items 5 and 6 in item 17 by stirring with a stirrer. Add item 7 (Tween 80) solution in items 5 and 6 (PVP–saccharin) solutions, while mixing with a stirrer.
2. Sift items 1 to 4 through a 500 µm stainless steel sieve. Collect in a polyethylene bag.
3. Load the sifted powder into the mixer. Mix for 2 minutes at low speed.
4. Add the binding solution from steps 1 and 2, while mixing at low speed over a period of 2 minutes. Scrape the sides and blades of the mixer. Mix and chop at low speed for 2 minutes. Check the end point of granulation. If required, add item 17 to get the end point. (The end point of the granulation is the point when the wet mass consists of few or no lumps of granules.) Unload the wet mass on stainless steel trays to dry.
5. Dry the wet granules in an oven at 55°C for 10 hours. After 2 hours of drying, scrape the semidried granules to break the lumps for uniform drying.
6. Check the LOD. The limit is 1.0% to 1.5%.
7. Grind the dried granules through a 1.25 mm sieve, using the granulator at medium speed.
8. Sift items 8 to 11 through a 500 µm sieve. Add the sieved powder from step 1. Mix manually for 2 minutes.
9. Mix items 12 to 15 in a polyethylene bag. Sift through a 250 µm stainless steel sieve. Collect in a polyethylene bag. Add into step 1. Mix manually for 1 minute.
10. Compress into 10 tablets with weight = 5.900 g ± 2% and hardness = 9 to 11 kPa.
11. Coat using the hydroxypropyl methylcellulose (HPMC) system and add a finishing coat. (See the Appendix.)

ALBENDAZOLE TABLETS (100 MG)

Bill of Materials

Scale (mg/ tablet)	Item	Material Name	Quantity/ 1000 Tablets (g)
100.00	1	Albendazole	100.00
288.00	2	Ludipress®	288.00
4.00	3	Magnesium stearate	4.00
8.00	4	Aerosil® 200	8.00

MANUFACTURING DIRECTIONS

1. Mix all components, pass through a 0.8 mm sieve, and press with low-compression force.

ALENDRONATE TABLETS (FOSAMAX)

Fosamax tablets for oral administration contain either 6.53, 13.05, or 52.21 mg of alendronate monosodium salt trihydrate, which is the molar equivalent of 5, 10, and 40 mg, respectively, of free acid, and the following inactive ingredients: microcrystalline cellulose, anhydrous lactose, croscarmellose sodium, and magnesium stearate.

ALENDRONATE TABLETS, EFFERVESCENT (10 MG)

Bill of Materials			
Scale (mg/ tablet)	Item	Material Name	Quantity/ 1000 Tablets (g)
10.00	1	Alendronate; use alendronate sodium	13.05
650.00	2	Citric acid anhydrous	650.00
367.00	3	Sodium bicarbonate granular	367.00
40.00	4	Sodium carbonate anhydrous	40.00
25.00	5	Flavor	25.00
5.00	6	Color	5.00
7.50	7	Sodium benzoate	7.50
—	8	Water, purified	2.00

Note: For other strengths, adjust with lactose.

MANUFACTURING DIRECTIONS

1. Premix sodium benzoate with sodium bicarbonate and alendronate sodium. Mix the color with sodium carbonate. Place citric acid in a bowl of a suitable blender.
2. Slowly add 2 mg of water to the citric acid and mix thoroughly to form a moist blend. Add to the blend, in sequence, while mixing, the sodium bicarbonate mix and the sodium carbonate–color mix. Mix until uniformly distributed.
3. Compress tablets using suitably sized tooling. Cure the tablets, cool, and package in aluminum foil.

ALENDRONATE SODIUM TABLETS

Bill of Materials			
Scale (mg/ tablet)	Item	Material Name	Quantity/ 1000 Tablets (g)
13.05	1	Sodium alendronate	13.05
103.95	2	Lactose anhydrous	103.95
80.00	3	Microcrystalline cellulose granular	80.00
2.00	4	Sodium carboxymethyl cellulose	2.00
1.00	5	Magnesium stearate	1.00

MANUFACTURING DIRECTIONS

1. First, blend alendronate with one-third of microcrystalline cellulose and with one-half of anhydrous lactose.
2. Blend the premixture obtained with both remaining excipients and mix again.
3. Add sodium salt of carmellose under mixing, to be followed with magnesium stearate to finish the mixture blending.
4. When homogenized by the fourth mixing, subject the mixture to compression.

ALENDRONATE SODIUM TABLETS

Bill of Materials			
Scale (mg/ tablet)	Item	Material Name	Quantity/ 1000 Tablets (g)
13.05	1	Sodium alendronate	13.05
11.15	2	Maize starch	11.15
104.50	3	Mannitol	104.50
1.30	4	Magnesium stearate	1.30

MANUFACTURING DIRECTIONS

1. Blend a mixture containing alendronate, mannitol, maize starch, and microcrystalline cellulose in a container at the stirrer speed of 14 rpm and under normal temperature and humidity (25°C, 60% RH).
2. Add magnesium stearate to the premixed mixture.
3. After homogenization, compress the precompression mixture on a rotary compression machine to form flat (cylindrical) or oval-shaped tablets of 130 mg in mass.

ALENDRONATE SODIUM TABLETS

Bill of Materials			
Scale (mg/ tablet)	Item	Material Name	Quantity/ 1000 Tablets (g)
13.05	1	Sodium alendronate	13.05
42.00	2	Calcium hydrogen phosphate	42.00
62.50	3	Granulated microcrystalline cellulose	62.50
11.15	4	Maize starch	11.15
1.30	5	Magnesium stearate	1.30

MANUFACTURING DIRECTIONS

1. See formulation instructions for previous formulation.

ALENDRONATE SODIUM TABLETS (50 MG)

Bill of Materials			
Scale (mg/ tablet)	Item	Material Name	Quantity/ 1000 Tablets (g)
50.00	1	Alendronate: use alendronate sodium	52.00
10.00	2	Polyvinyl pyrrolidone	10.00
100.00	3	Lactose anhydrous	100.00
1.50	4	Sodium stearyl fumarate	1.50
—	5	Water, purified	100.00

MANUFACTURING DIRECTIONS

- Pass items 1 to 3 through a 500 μm sieve and blend for 10 minutes.
- Add item 2 and mix it well with item 5. Add to this to step 1 to granulate, dry, size, and then add item 4.
- Compress into 163.50 mg tablets, using a suitable punch.

ALLOPURINOL TABLETS, 100 MG (ZYLORIC)

Each scored white tablet contains 100 mg of allopurinol and the inactive ingredients lactose, magnesium stearate, potato starch, and povidone. Each scored peach tablet contains 300 mg of allopurinol and the inactive ingredients cornstarch, FD&C Yellow No. 6 Lake, lactose, magnesium stearate, and povidone.

ALLOPURINOL TABLETS (100 MG)

Bill of Materials			
Scale (mg/ tablet)	Item	Material Name	Quantity/ 1000 Tablets (g)
100.00	1	Allopurinol	100.000
1.00	2	Sorbitan monooleate	1.000
73.00	3	Starch (maize)	73.000
100.00	4	Lactose	100.00
10.00	5	Starch (maize)	10.000
8.00	6	Sodium starch glycolate	8.000
QS	7	Purified water (deionized), approximately	65.00 mL
4.50	8	Talc purified	4.5000
1.50	9	Silicon dioxide	1.5000

MANUFACTURING DIRECTIONS

Caution: Wear gloves, mask, and protective glasses during all manufacturing operations.

- Granulation
 - Prescreen the allopurinol through a 75 μm aperture screen and transfer it to a suitable mass

mixer. Dissolve the sorbitan monooleate in 10 mL of water and add the solution to the mixer. Mix until the allopurinol is wetted.

- Pass the wetted allopurinol through a 2.00 mm aperture screen on an oscillating granulator and dry in a tray dryer at 50°C until the LOD (Brabender 105°C, 1 hour or equivalent) is less than 2%.
 - Rescreen the dried allopurinol through a 75 μm aperture screen and transfer it to the mass mixer. Add the starch (item 3) and lactose and mix for 15 minutes.
 - Add the starch (item 5) to about 15 mL of water and mix until a smooth slurry, free from lumps, is formed.
 - Heat 40 mL of water to boiling. Reduce the heat, and then, while mixing, add the slurry from step 1d. Continue mixing well until a smooth translucent paste is formed. Allow to cool to 50°C before moving to the next step in the process. (*Caution:* Control the heat to avoid charring of the paste.)
 - Add half of the starch paste from step 1e to the blended powders in the mixer and mix for 1 minute. Stop mixing, and scrape the blades and sides of the mixer. Add the second half of the starch paste and mix for another 1 minute. Stop mixing, scrape the blades and sides of the mixer, and examine the mass.
 - If necessary, add more water at 50°C, in small quantities, mixing for 1 minute after each addition, until a good wet, holding mass is formed. (*Caution:* Do not overwet or overmix the mass.)
 - Pass the mass through a 2.00 mm aperture screen on an oscillating granulator and dry in a tray dryer at 50°C until the LOD (Brabender 105°C, 1 hour or equivalent) is in the range of 1% to 2%.
 - Arrange for sample.
 - Pass the granules through a 595 μm aperture screen on an oscillating granulator into tared, polyethylene-lined drums, seal, and weigh.
- Lubrication
 - Transfer the dried granulation to a suitable blender.
 - Screen the sodium starch glycolate, talc, magnesium stearate, and colloidal silicon dioxide through a 595 μm aperture screen. Add to the blender and blend for 15 minutes.
 - Discharge the granule into polyethylene-lined drums, seal, and weigh for yield.
 - Compression
 - Compress using 9.52 mm (0.375 in.) diameter concave punches with the bisect on the upper punch.
 - Compress to the following specifications:

- i. Weight of 10 tablets—3.025 g
- ii. Weight variation—Average weight differs from theoretical weight by not more than 3%
- iii. Thickness—3.5 to 4.3 mm (range: not more than 5%)
- iv. Hardness—NTL 8 kPa
- v. Disintegration time—Not more than 15 minutes in water

ALLOPURINOL TABLETS (300 MG)

Bill of Materials			
Scale (mg/ tablet)	Item	Material Name	Quantity/ 1000 Tablets (g)
300.00	1	Allopurinol	300.00
180.00	2	Lactose	180.00
20.00	3	Povidone (K 29)	20.00
50.00	4	Starch (maize)	50.00
QS	5	Water, purified (deionized)	65.00 mL
20.00	6	Croscarmellose sodium	20.00
30.00	7	Starch (maize), dried	30.00

MANUFACTURING DIRECTIONS

Caution: Wear gloves, mask, and protective glasses during all manufacturing operations.

1. Granulation
 - a. Transfer allopurinol, lactose, povidone, and starch (item 4) to a suitable mass mixer. Mix for 15 minutes and then pass through a 250 μ m sieve aperture screen.
 - b. Return the screened mix from step 1 to the mixer and add sufficient water until a good wet, holding mass is formed. Pass the mass through a 2.00 mm aperture screen on an oscillating granulator and dry in a tray dryer at 50°C until the LOD (Brabender 105°C, 1 hour or equivalent) is in the range of 1% to 2%.
 - c. Pass the granules through a 595 μ m aperture screen on an oscillating granulator into tared, polyethylene-lined drums, seal, and weigh.
2. Lubrication
 - a. Transfer the dried granulation to a suitable blender.
 - b. Screen the croscarmellose sodium and dried starch through a 595 μ m aperture screen and add to the blender. Blend for 15 minutes.
 - c. Discharge the granule into polyethylene-lined drums, seal, and weigh for yield.
3. Compression: Compress using 11.11 mm (0.4375 in.) diameter concave punches with the bisect on the upper punch. (Weight of 10 tablets: 6.00 g; weight variation: average weight differs from theoretical weight by not more than 3%.)

ALPRAZOLAM TABLETS (0.25 MG/0.50 MG/1.0 MG), XANAX

Each Xanax tablet, for oral administration, contains 0.25, 0.5, 1, or 2 mg of alprazolam and the following inactive ingredients: cellulose, cornstarch, docusate sodium, lactose, magnesium stearate, silicon dioxide, and sodium benzoate. In addition, the 0.5 mg tablet contains FD&C Yellow No. 6, and the 1 mg tablet contains FD&C Blue No. 2.

ALPRAZOLAM TABLETS (0.25 MG)

Bill of Materials			
Scale (mg/ tablet)	Item	Material Name	Quantity/ 1000 Tablets (g)
0.25	1	Alprazolam, with excess	0.252
82.50	2	Dicalcium phosphate	82.50
2.25	3	Starch (maize)	2.25
2.25	4	Gelatin	2.25
33.50	5	Starch (maize)	33.50
0.082	6	Propylparaben	0.082
0.082	7	Methylparaben	0.082
1.00	8	Magnesium stearate	1.00
1.00	9	Sodium starch glycolate	1.00
0.30	10	Dye yellow	0.30
—	11	Water, purified, ca	100 mL

MANUFACTURING DIRECTIONS

1. Place items 2 and 5 in a suitable vessel after sifting through an 80 mesh sieve. Mix for 2 minutes.
2. Sift item 1 through a 60 mesh sieve and add to step 1. (*Note:* Because of the small quantity of item 1, use a geometric dilution method to mix the entire amount.)
3. Mix for 5 minutes.
4. In a separate vessel, sift (through 80 mesh) and place items 3, 4, 6, 7, and 10 and then mix for 2 minutes. Add a sufficient quantity of item 11 to form a suitable lump-free paste.
5. Add step 4 into step 3, and knead and chop to prepare a suitable mass without lumps.
6. Spread the wet mass from step 5 on trays and dry at 50°C for 12 hours to an LOD of not more than 2%; dry for an additional hour if necessary.
7. Pass dried granules through 20 mesh.
8. Sift items 8 and 9 through a 250 μ m sieve screen and add to step 7. Blend for 2 minutes.
9. Compress into 125 mg tablets, using 6 mm punches. For 0.5 mg and 1.0 mg strengths, adjust with item 2 and compress the same weight and size.

ALUMINUM ACETYLSALICYLATE TABLETS**Bill of Materials**

Scale (mg/ tablet)	Item	Material Name	Quantity/ 1000 Tablets (g)
250.00	1	Aluminum acetylsalicylate, excess	255.00
213.00	2	Mannitol	213.00
28.00	3	Cornstarch	28.00
10.00	4	Kollidon® 90F	10.00
5.00	5	Lutrol E 6000	5.00
—	6	Isopropanol, QS	50.00 mL
23.00	7	Kollidon® CL	23.00
5.00	8	Magnesium stearate	5.00

MANUFACTURING DIRECTIONS

1. Granulate mixture of items 1 to 3 with solution of items 4 to 6.
2. Dry, pass through a 0.8 mm sieve, and mix with items 7 and 8.
3. Compress with medium-compression force; 12 mm biplanar tablet has an average weight of 540 mg.

ALUMINUM HYDROXIDE AND MAGNESIUM HYDROXIDE CHEWABLE TABLETS**Bill of Materials**

Scale (mg/ tablet)	Item	Material Name	Quantity/ 1000 Tablets (g)
200.00	1	Aluminum hydroxide (Rorer)	200.00
200.00	2	Magnesium hydroxide (Rorer)	200.00
100.00	3	Lactose monohydrate	100.00
30.00	4	Kollidon® VA 64	30.00
QS	5	Water	260.00 mL
315.00	6	Sucrose (crystalline)	315.00
100.00	7	Sorbitol (crystalline) (Merck)	100.00
60.00	8	PEG-6000 (powder)	60.00
12.00	9	Aerosil® 200	12.00
6.00	10	Talc	6.00
6.00	11	Magnesium stearate	6.00

MANUFACTURING DIRECTIONS

1. Granulate mixture of items 1 to 5 with solution of items 4 and 5.
2. Dry and pass through a 0.8 mm sieve, add items 6 to 11, and press with high-compression force (20 kN).
3. The 16 mm biplanar tablet has an average weight of 1013 mg.

ALUMINUM HYDROXIDE AND MAGNESIUM HYDROXIDE CHEWABLE TABLETS**Bill of Materials**

Scale (mg/ tablet)	Item	Material Name	Quantity/ 1000 Tablets (g)
320.00	1	Aluminum hydroxide (dried gel)	320.00
320.00	2	Magnesium hydroxide powder	320.00
32.00	3	Sucrose	32.00
288.40	4	Mannitol	288.40
QS	5	Povidone (Plasdone®) (10% solution in equal parts water and alcohol)	QS
12.90	6	Glycerin	12.90
19.20	7	Magnesium stearate	19.20
6.40	8	Fumed silica	6.40
0.30	9	Oil of peppermint	0.30

MANUFACTURING DIRECTIONS

1. Mix items 1 to 4 in a suitable blender, add items 5 and 6, and use this combination to moisten the mix of items 1 to 4.
2. Granulate by passing through a 20 mesh screen.
3. Add and thoroughly mix items 7 to 9, and compress using 0.5 in., flat-face, beveled-edge punches.

ALUMINUM HYDROXIDE AND MAGNESIUM HYDROXIDE TABLETS**Bill of Materials**

Scale (mg/ tablet)	Item	Material Name	Quantity/ 1000 Tablets (g)
405.00	1	Aluminum hydroxide gel (dried)	405.00
100.00	2	Magnesium hydroxide powder	100.00
108.00	3	Mannitol	108.00
38.80	4	Sorbitol powder	38.80
2.50	5	Saccharin sodium	2.50
16.70	6	Povidone (PVP K-30)	16.70
7.00	7	Magnesium stearate	7.00
2.00	8	Mint flavor (dry)	2.00
299.00	9	Purified water	299.00

MANUFACTURING DIRECTIONS

1. Dissolve items 4 and 5 in 59.0 g of purified water by using stirrer.
2. Add item 6 while mixing until clear solution is obtained.

- Add items 1 to 3 into mixer and mix for 5 minutes, with mixer and chopper at high speed.
- Dilute concentrated binding solution with 240.0 g of purified water.
- Add binding solution at a rate of 9 to 11 g/min to the dry powders in the mixer while mixing at low speed. Mix for 2 to 3 minutes. Scrape the sides, blade, and lid of the mixer. Mix and chop at low speed for an additional 2 to 3 minutes or until the granules stop flying around the chopper. Add extrapurified water, if required, and continue mixing until a satisfactory mass is obtained. Record extra quantity of purified water added.
- Unload the wet mass into a clean Aeromatic bowl for drying. Avoid big lump formation, as this leads to nonuniform drying.
- Dry the wet mass in an Aeromatic fluid-bed dryer at 60°C for 120 minutes. After 30 minutes of drying, scrape the semidried granules to break the lumps for uniform drying. Check the LOD (limit: NMT 5.5%).
- Pass the dried granules through 1.5 mm sieve, using granulator at medium speed. Collect in stainless steel drums. Set aside 7 to 9 g of granules for later step.
- Load the rest of the granules into blender. Pass items 8 and 7 through a sifter, using a 250 µm sieve. Collect in a polyethylene bag.
- Add about 7 to 9 g of granules and mix gently.
- Load into blender and blend for 3 minutes.
- Check temperature and humidity of the room before beginning compression (humidity limit: NMT 60%; temperature: 25 ± 1°C).
- Compress the granules using a rotary tableting machine. Compress into 680 mg tablets, using 12.7 mm, flat, beveled-edge punches.

ALUMINUM HYDROXIDE, MAGNESIUM CARBONATE (OR OXIDE), AND SIMETHICONE TABLETS

Bill of Materials

Scale (mg/ tablet)	Item	Material Name	Quantity/ 1000 Tablets (g)
576.00	1	Sucrose	576.00
157.00	2	Aluminum hydroxide	157.00
160.00	3	Magnesium carbonate (or oxide)	160.00
97.00	4	Magnesium oxide	97.00
45.00	5	Kollidon® 90F	45.00
22.00	6	Aerosil® 200	22.00
300.00	7	Simethicone suspension (30%)	300.00
9.00	8	Menthol	9.00
1.00	9	Saccharin sodium	1.00
49.00	10	Talc	49.00
13.00	11	Magnesium stearate	13.00

MANUFACTURING DIRECTIONS

- Granulate mixture of items 1 to 6 with the simethicone suspension, dry, sieve through a 0.8 mm screen, add items 8 to 11, and press with high-compression force.
- Tablet has an average weight of 1295 mg.

ALUMINUM HYDROXIDE AND MAGNESIUM SILICATE CHEWABLE TABLETS

Bill of Materials

Scale (mg/ tablet)	Item	Material Name	Quantity/ 1000 Tablets (g)
120.00	1	Aluminum hydroxide dried gel (Giulini)	120.00
250.00	2	Magnesium trisilicate	250.00
232.00	3	Ludipress®	232.00
6.00	4	Aerosil® 200	6.00
6.00	5	Magnesium stearate	6.00
12.00	6	Cyclamate sodium	12.00
1.50	7	Menthol	1.50

MANUFACTURING DIRECTIONS

- Mix all components, pass through a 0.8 mm sieve, and press with a compression force of 20 kN.
- Because of the poor flowability of the powder, the tableting machine should be equipped with a special technical device to provide a continuous and homogeneous filling of the dies.
- The 16 mm biplanar tablet has an average weight of 640 mg.

AMBROXOL HCL SUSTAINED-RELEASE PELLETS RELEASING TABLETS

Formulation for 500 tablets: ambroxol HCl/Kollicoat® SR 30D pellets, 250.0 g; microcrystalline cellulose Vivapur® 200, 250.0 g; magnesium stearate, 2.5 g.

MANUFACTURING DIRECTIONS

- Mix the ingredients together, pass through a 0.8 mm sieve, and compress into tablets with a force of about 15 kN. This gives 500 tablets.

AMINOPHYLLINE TABLETS (100 MG)

Bill of Materials			
Scale (mg/ tablet)	Item	Material Name	Quantity/ 1000 Tablets (g)
100.00	1	Aminophylline	100.00
196.00	2	Starch (maize)	196.00
2.00	3	Talc	2.00
3.00	4	Magnesium stearate	3.00
QS	5	Water, purified	QS

MANUFACTURING DIRECTIONS

- Place item 2 in a suitable vessel and add a sufficient quantity of item 5 to prepare a 30% smooth slurry.
- Add item 1 into step 1 and mix well to form a suitable mass.
- Pass the wet mass through a 6 mesh sieve to granulate.
- Dry the granules at 60°C for 10 hours to an LOD of not more than 3%.
- Pass the dried granules through a 1.19 mm sieve and transfer to a blending vessel.
- Sift items 3 and 4 through a 250 µm sieve and add to step 5. Blend for 2 minutes.
- Compress into 300 mg tablets, using 9 mm punches.

4-AMINO-1-HYDROXYBUTYLIDENE-1,1-BISPHOSPHONIC ACID TABLETS (5 MG)

Bill of Materials			
Scale (mg/ tablet)	Item	Material Name	Quantity/ 1000 Tablets (g)
5.00	1	4-Amino-1-hydroxybutylidene-1,1-bisphosphonic acid; use monosodium trihydrate	6.55
110.45	2	Lactose anhydrous	110.45
80.00	3	Microcrystalline cellulose	80.00
1.00	4	Magnesium stearate	1.00
2.00	5	Croscarmellose sodium type A	2.00

MANUFACTURING DIRECTIONS

- Premix the active ingredient (equivalent to 5 mg of anhydrous free acid per tablet) with one-third quantity of the microcrystalline cellulose and one-half the quantity of the anhydrous lactose in a ribbon blender for 5 minutes at 20 rpm.
- To the premix add the remaining two-thirds of the microcrystalline cellulose and the remaining one-half of the anhydrous lactose. Blend for 10 minutes at 20 rpm.

- Add croscarmellose sodium to the blended powders in step 2 and mix for 5 minutes at 20 rpm.
- Add item 4 to the mixture after passing it through a 90 mesh screen and blend for an additional 5 minutes at 20 rpm.
- Compress into 192 mg tablets, using a suitable punch.

AMINOSALICYLIC ACID TABLETS

Formulation: 5-Aminosalicylic acid (5-ASA), 73.3%; sodium chloride, 11.7%; povidone, 4.4%; alcohol SDA-3A, QS; lactose, 8.8%; calcium stearate/sodium lauryl sulfate, 1.76%; sodium starch glycolate, 0.29%.

MANUFACTURING DIRECTIONS

- Mill sodium chloride through a Whistler mill, using a small slotted screen.
- Combine 5-ASA with the sodium chloride and mix for 5 minutes in a ribbon blender. Mill the powder blend through a FitzMill at high speed (1B band) and return to the ribbon blender.
- Add povidone/alcohol solution to the powder blend while the mixer is running to form a wet mass.
- Pass the wet mass through a FitzMill (1/2 in., perforated band) with hammers forward at high speed. Tray and dry the wet granulation for 16 hours at 55°C. Pass the dried mixture through a FitzMill (2A band) with knives forward at medium speed.
- Place the resultant blend in a ribbon blender. Pass lactose, calcium stearate/sodium lauryl sulfate, and sodium starch glycolate through a 40 mesh screen.
- Add the screened powders to the ribbon blender and mix for 5 minutes.
- On a conventional tablet press, compress the finished granulation into 3/8 in. tablets, using standard concave tooling. The tablets should meet the target weight requirements, be about 0.175 in. thick, and have a hardness of 8 to 15 kPa and a friability of NMT 0.4%.
- Place 100 kg of compressed tablets into an Accela-Cota pan and warmed to about 40°C exhaust temperature.
- Disperse 5 kg of Opadry Enteric (Colorcon, Inc.) in an alcohol (SDA-3A) and water mixture (composition of alcohol/water is 25.5 and 2.8 kg, respectively).
- Spray coat this solution on tablets using an air-atomization system as follows: two spray guns at 35 psi each set to deliver about 60 g/min, maintaining an exhaust temperature of 35°C to 45°C. The coated tablets are dried in the Accela-Cota pan for 1 hour at 35°C to 45°C.
- Polish the tablets in the pan, using 1 g powdered carnauba wax.

AMIODARONE TABLETS (200 MG)

Bill of Materials			
Scale (mg/ tablet)	Item	Material Name	Quantity/ 1000 Tablets (g)
200.000	1	Amiodarone hydrochloride	200.000
86.000	2	Lactose monohydrate	86.000
27.500	3	Starch (maize)	27.500
8.500	4	Povidone (PVP K-30)	8.500
25.000	5	Starch (maize)	25.000
2.000	6	Magnesium stearate	2.000
1.000	7	Colloidal silicon dioxide (Aerosil 200)	1.000
—	8	Purified water	116.67

MANUFACTURING DIRECTIONS

Note: Avoid overmixing lubricants, because this reduces hardness.

- Sieving and dry mixing: Sift items 1, 3, and 2 through a 500 μm stainless steel sieve. Load into the mixer. Mix for 5 minutes at low speed.
- Preparation of binder
 - Dissolve item 4 in 16.67 g of item 8 by using a stirrer at a slow speed in a stainless steel container.
 - Pass item 5 through a 250 μm sieve.
 - Make a homogeneous slurry of item 5 in 25.0 g of item 8 (30°C) in a stainless steel container. Ensure that it is free of lumps.
 - Heat 75.0 g of item 8 to 90°C in a stainless steel container. Add the slurry from step 2. Stir until complete gelatinization occurs. Cool to 50°C.
 - Add the solution from step 2 into step 3 and stir for 5 minutes.
 - Check the quantity of the binder: theoretical weight, 150 g. Adjust the weight with purified water by mixing if required.
- Kneading
 - Knead the powder in a mixer (Diosna) with the binder, while mixing at low speed over a period of 2 minutes. Scrape the sides and the blades. Mix and chop at low speed for 2 minutes.
 - Check the end point of granulation. If required, add more purified water to get the end point. (The end point of the granulation is the point when the wet mass consists of few or no lumps of the granules.)
 - Unload the wet granules in a stainless steel tray for drying.
- Drying
 - Dry the wet granules at 550°C for 5 hours.

- Check the LOD: the limit is 1.0% to 1.5%. If required, dry further at 550°C for 1 hour. Check the LOD.
 - Transfer the dried granules to a polyethylene bag.
- Grinding: Grind the dried granules through a 1.25 mm sieve, using a granulator at medium speed. Collect in a polyethylene bag.
 - Lubrication
 - Sift items 6 and 7 through a 250 μm mesh in a stainless steel sieve. Collect in a polyethylene bag. Take approximately 66.67 g of granules from step 5 into the polyethylene bag. Mix manually. Add into step 5. Mix for 1 minute.
 - Store in a polyethylene bag.
 - Compression and specifications: Compress the granules by using a rotary tableting machine, 10 mm round plain convex punch. (Weight of 10 tablets: 3.5 g \pm 3%.)

AMITRIPTYLINE TABLETS (50 MG), ELAVIL®

Elavil® (amitriptyline HCl) is supplied as 10, 25, 50, 75, 100, and 150 mg tablets and as a sterile solution for intramuscular use. Inactive ingredients in the tablets are as follows: calcium phosphate, cellulose, colloidal silicon dioxide, hydroxypropyl cellulose, hydroxypropyl methylcellulose, lactose, magnesium stearate, starch, stearic acid, talc, and titanium dioxide. The 10 mg amitriptyline HCl tablets also contain FD&C Blue No. 1. The 25 mg amitriptyline HCl tablets also contain D&C Yellow No. 10, FD&C Blue No. 1, and FD&C Yellow No. 6. The 50 mg amitriptyline HCl tablets also contain D&C Yellow No. 10, FD&C Yellow No. 6, and iron oxide. The 75 mg amitriptyline HCl tablets also contain FD&C Yellow No. 6. The 100 mg amitriptyline HCl tablets also contain FD&C Blue No. 2 and FD&C Red No. 40. The 150 mg amitriptyline HCl tablets also contain FD&C Blue No. 2 and FD&C Yellow No. 6.

AMITRIPTYLINE TABLETS

Bill of Materials			
Scale (mg/ tablet)	Item	Material Name	Quantity/ 1000 Tablets (g)
50.00	1	Amitriptyline	50.00
20.00	2	Starch (maize)	20.00
20.00	3	Lactose monohydrate	20.00
15.00	4	Dicalcium phosphate	15.00
2.00	5	Magnesium stearate	2.00
3.00	6	Talc	3.00
20.00	7	Starch (maize)	20.00
—	8	Water, purified, ca	100 mL

MANUFACTURING DIRECTIONS

1. Sift items 1 to 4 through a 250 μm sieve and place in a suitable mixer.
2. In a separate vessel, place item 2 and add item 8 at 80°C. Mix until a good paste is formed. Cool to 50°C.
3. Add step 2 into step 1, and knead and chop until granules are formed without lumps.
4. Spread the wet mass onto trays and dry in an oven at 50°C for 15 hours to an LOD of not more than 1.5%.
5. Pass the dried granules through an 18 mesh screen and transfer to a suitable blender.
6. Pass item 5 through a 250 μm sieve and item 7 through a 500 μm sieve; add to step 5 and blend for 2 minutes.
7. Compress into 130 mg tablets, using a suitable punch.
8. Coat the tablet with an organic base coating. (See Appendix.)

AMLODIPINE BESYLATE TABLETS

Amlodipine besylate tablets are formulated as white tablets equivalent to 2.5, 5, and 10 mg of amlodipine for oral administration. In addition to the active ingredient, amlodipine besylate, each tablet contains the following inactive ingredients: microcrystalline cellulose, dibasic calcium phosphate anhydrous, sodium starch glycolate, and magnesium stearate.

AMLODIPINE BESYLATE TABLETS

Bill of Materials			
Scale (mg/ tablet)	Item	Material Name	Quantity/ 1000 Tablets (g)
0.50	1	(–)Amlodipine	0.50
183.00	2	Lactose anhydrous	183.00
15.00	3	Starch pregelatinized	15.00
1.50	4	Magnesium stearate	1.50

MANUFACTURING DIRECTIONS

1. Sieve the active ingredient, (–)amlodipine, through a suitable sieve, and blend with lactose and pregelatinized maize starch.
2. Add suitable volumes of purified water to granulate.
3. After drying, screen the granules and blend with the magnesium stearate.
4. Compress using 7 mm diameter punches to a total weight of 200 mg. Adjust the formula for other strengths with lactose (2.5 and 5.0 mg).

AMLODIPINE FREE BASE TABLETS

Bill of Materials			
Scale (mg/ tablet)	Item	Material Name	Quantity/ 1000 Tablets (g)
2.5	1	Amlodipine base	2.5
31.50	2	Calcium hydrogen phosphate anhydrous	31.50
62.05	3	Microcrystalline cellulose	62.05
2.00	4	Sodium starch glycolate	2.00
1.00	5	Magnesium stearate	1.00

MANUFACTURING DIRECTIONS

1. Sieve amlodipine base through a 500 μm screen, and sieve other excipients through an 850 μm screen.
2. Mix all excipients except magnesium stearate in a free fall mixer for 15 minutes at about 25 rpm.
3. Add magnesium stearate and mix the powder blend for another 5 minutes at about 25 rpm. Compress into 2.5 mg and 10 mg tablets with total weight of 100 and 400 mg, respectively.

AMLODIPINE MALEATE TABLETS

Bill of Materials			
Scale (mg/ tablet)	Item	Material Name	Quantity/ 1000 Tablets (g)
3.21	1	Amlodipine maleate	3.21
31.50	2	Calcium hydrogen phosphate anhydrous	31.50
62.05	3	Microcrystalline cellulose	62.05
2.00	4	Sodium starch glycolate	2.00
1.00	5	Magnesium stearate	1.00

MANUFACTURING DIRECTIONS

1. Mill amlodipine maleate to a particle size of 10 to 20 μm .
2. Sieve amlodipine maleate is sieved through a 500 μm screen and other excipients through an 850 μm screen.
3. Mix all excipients except magnesium stearate in a free fall mixer for 15 minutes at about 25 rpm. Check value of pH at 20% aqueous slurry (should be around 5.9).
4. Add magnesium stearate and mix the powder blend for another 5 minutes at about 25 rpm.
5. Compress tablets at approximately 100 mg to give 2.5 mg strength and proportionally higher for amounts up to 10 mg per tablet.

AMOXICILLIN AND CLAVULANATE POTASSIUM TABLETS

Bill of Materials			
Scale (mg/ tablet)	Item	Material Name	Quantity/ 1000 Tablets (g)
500.00	1	Amoxicillin; use amoxicillin trihydrate compacted, with excess	587.50
125.00	2	Clavulanate; use clavulanate potassium with Avicel™ (1:1)	305.00
25.00	3	Sodium starch glycolate	25.00
30.00	4	Aerosil® 200	30.00
10.00	5	Sodium carmellose	10.00
10.00	6	Talc	10.00
5.00	7	Magnesium stearate	5.00

MANUFACTURING DIRECTIONS

1. Dry item 1 at 45°C for 2 hours.
2. Dry items 6, 7, 5, and 3 at 80°C for 4 hours.
3. Sift items 1 to 7 through a 40 mesh screen, place in a drum mixer, and mix for 30 minutes.
4. Slug the mixture in step 3 using 16 mm punches and a hardness of 6 to 7 kPa.
5. Break the slugs by passing through 2.5 mm mesh sieves on a mill.
6. Transfer the comminuted slugs to a blender and add items 6 and 7 for 15 minutes.
7. Compress using 19×9 mm punches.
8. Coat the tablets with HPMC organic coating. (See Appendix.)

AMOXICILLIN FAST-DISINTEGRATING TABLETS

1. Mix 970 g of cefaclor (as monohydrate) and 30 g of microcrystalline cellulose and sodium carboxymethyl cellulose (Avicel™ RC591) for 5 minutes in a planetary mixer.
2. Gradually, add about 320 mL of water to this blend, and continue mixing for another 5 minutes.
3. Dry the wet granulate in a fluidized bed dryer at an air inlet temperature of 50°C and subsequently sieve through a 1.00 and a 0.630 mm screen, respectively.
4. Mix 864 g of the granulate obtained in step 3 with 98 g of a mixture of microcrystalline cellulose and cross-linked polyvinylpyrrolidone (1:1), flavors, and sweetening agents in a TURBULA mixer for 10 minutes.
5. After a lubricant is added, continue mixing for another 3 minutes, and compress the mixture into tablets with a mean weight of 625 mg. Friability, <0.01%; hardness, 6.9 kPa; disintegration time, 22 seconds.

AMOXICILLIN AND POTASSIUM CLAVULANATE TABLETS (250 MG/62.5 MG)

Bill of Materials			
Scale (mg/ tablet)	Item	Material Name	Quantity/ 1000 Tablets (g)
250.00	1	Amoxicillin; use amoxicillin trihydrate	293.75
62.50	2	Clavulanic acid; use potassium clavulanate with Avicel™ (1:1)	152.500
23.00	3	Polyplasdone XL, dried	23.00
23.00	4	Syloid AL1	23.00
4.50	5	Magnesium stearate	4.50
450.00	6	Microcrystalline cellulose	450.00

MANUFACTURING DIRECTIONS

1. Polyplasdone XL, dried, is present as a disintegrant. Syloid AL1 is a desiccant used to prevent hydrolytic degradation of the actives. Magnesium stearate is present as a lubricant. Microcrystalline cellulose is a tablet binder and disintegrant.
2. Mill amoxicillin trihydrate, using a swing hammer mill at fast speed through a 0.063 in. screen, with knives forward.
3. Mix the milled amoxicillin trihydrate with potassium clavulanate, polyplasdone, Syloid AL1, part of magnesium stearate, and part of microcrystalline cellulose.
4. Slug the blend from step 3, or use a roller compactor.
5. Mill the compacts or flake from step 4 through a swing hammer mill at medium speed, with knives forward, and fitted with a 0.063 in. screen.
6. Blend granules with remaining magnesium stearate and remaining microcrystalline cellulose.
7. Compress to a core weight of 450 mg and a hardness of 15 to 20 kPa.
8. Provide a film subcoating with an aqueous suspension of hydroxypropyl methylcellulose, further coated with a Eudragit enteric coating, and finally, with a further overcoating of hydroxypropyl methylcellulose. (See Appendix.)

AMOXICILLIN TABLETS (250 MG/500 MG/1 G), ACID TRIHYDRATE

Tablets: each tablet contains 500 or 875 mg of amoxicillin as the trihydrate. Each film-coated, capsule-shaped, pink tablet is embossed with AMOXIL, centered over 500 or 875, respectively. The 875 mg tablet is scored on the reverse side. The inactive ingredients are colloidal silicon dioxide, crospovidone, FD&C Red No. 30 Aluminum Lake, hydroxypropyl methylcellulose, magnesium stearate, microcrystalline

cellulose, polyethylene glycol, sodium starch glycolate, and titanium dioxide.

Chewable tablets: each cherry-/banana-/peppermint-flavored tablet contains 125, 200, 250, or 400 mg of amoxicillin as the trihydrate. The 125 and 250 mg pink oval tablets are imprinted with the product name AMOXIL on one side and 125 or 250 on the other side. The inactive ingredients are citric acid, cornstarch, FD&C Red No. 40, flavorings, glycine, mannitol, magnesium stearate, saccharin sodium, silica gel, and sucrose. Each 125 mg chewable tablet contains 0.0019 mEq (0.044 mg) of sodium; the 250 mg chewable tablet contains 0.0037 mEq (0.085 mg) of sodium. Each 200 mg chewable tablet contains 0.0005 mEq (0.0107 mg) of sodium; the 400 mg chewable tablet contains 0.0009 mEq (0.0215 mg) of sodium. The 200 and 400 mg pale pink, round tablets are imprinted with the product name AMOXIL and 200 or 400 along the edge of one side. The inactive ingredients are aspartame, crospovidone, FD&C Red No. 40 Aluminum Lake, flavoring, magnesium stearate, and mannitol.

AMOXICILLIN TABLETS

Bill of Materials			
Scale (mg/ tablet)	Item	Material Name	Quantity/ 1000 Tablets (g)
250.00	1	Amoxicillin (871 mcg/mg activity) ^a	287.00
28.50	2	Cellulose microcrystalline NC (Avicel™ PH 101)	28.50
20.00	3	Povidone K 29–32	20.00
QS	4	Alcohol 190 proof, approximately	70.00 mL
3.50	5	Magnesium stearate	3.50

^a Adjust according to potency. Adjust the tablet size as given in the following to yield 1 g, 500 mg, and 250 mg tablets.

MANUFACTURING DIRECTIONS

Caution: Handle with extreme care. Protect face and hands, because some individuals may be sensitive, and reactions may occur.

1. Granulation

- Pass amoxicillin through a 595-Hm aperture screen using a FitzMill, with knives forward, at medium speed.
- Place the following ingredients in a suitable mixer: cellulose microcrystalline, sodium starch glycolate, and milled amoxicillin. Mix for 30 minutes.
- Add 100 g of alcohol and mix for an additional 15 minutes.
- Dissolve povidone in approximately 150 g of alcohol. Add povidone solution to the mixture

from step 3, with continuous mixing. Mix for 15 minutes until a suitable granulating mass is obtained. If necessary, add more alcohol.

- Pass the wet mass through a 4.76 mm aperture screen.
 - Spread the wet granulation onto trays. Oven dry at 38°C or until the LOD is 2% to 3.5% (vacuum 60°C, 3 hours).
 - Pass the dry granulation through a 1.2 mm aperture screen in an oscillating granulator.
- ### 2. Lubrication
- Load half of the amount of dried granulation into a suitable mixer. Pass magnesium stearate through a 500-Hm aperture screen and add to the mixer. Mix for 10 minutes.
 - Add the balance of granulation and mix for an additional 5 minutes.
 - Fill into polyethylene-lined drums.
- ### 3. Compression
- Compress into 1 g tablets, using 20×9 mm bisected ovaloid punches (thickness 9.6–10.6 mm; hardness not less than 15 kPa).
 - Compress into 500 mg tablets, using 18×8.5 mm ovaloid punches (thickness is 6.5–6.7 mm; hardness is 12–18 kPa).
 - Compress into 250 mg tablets, using 10.3 mm diameter punches (thickness is 5.1–5.3 mm; hardness is 12 kPa).

AMOXICILLIN TRIHYDRATE AND CLAVULANATE POTASSIUM TABLETS (500 MG/125 MG) AUGMENTIN

Each Augmentin tablet contains 0.63 mEq of potassium. Each 125 mg chewable tablet and each 5 mL of reconstituted Augmentin 125 mg/5 mL oral suspension contain 0.16 mEq of potassium. Each 250 mg chewable tablet and each 5 mL of reconstituted Augmentin 250 mg/5 mL oral suspension contain 0.32 mEq of potassium. Each 200 mg chewable tablet and each 5 mL of reconstituted Augmentin 200 mg/5 mL oral suspension contain 0.14 mEq of potassium. Each 400 mg chewable tablet and each 5 mL of reconstituted Augmentin 400 mg/5 mL oral suspension contain 0.29 mEq of potassium.

Inactive ingredients:

Chewable tablets—colloidal silicon dioxide, flavorings, magnesium stearate, mannitol, and one or more of the following: aspartame, D&C Yellow No. 10, FD&C Red No. 40, glycine, sodium saccharin, and succinic acid.

Tablets—colloidal silicon dioxide, hydroxypropyl methylcellulose, magnesium stearate, microcrystalline cellulose, polyethylene glycol, sodium starch glycolate, and titanium dioxide.

AMPHETAMINE SALTS TABLETS

This is a single-entity amphetamine product combining the neutral sulfate salts of dextroamphetamine and amphetamine, with the dextroisomer of amphetamine saccharate, and D,L-amphetamine aspartate.

Each Tablet Contains	5 mg	10 mg	20 mg	30 mg
Dextroamphetamine saccharate	1.25 mg	2.5 mg	5 mg	7.5 mg
Amphetamine aspartate	1.25 mg	2.5 mg	5 mg	7.5 mg
Dextroamphetamine sulfate	1.25 mg	2.5 mg	5 mg	7.5 mg
Amphetamine sulfate	1.25 mg	2.5 mg	5 mg	7.5 mg
Total amphetamine base equivalence	3.13 mg	6.3 mg	12.6 mg	18.8 mg

Inactive ingredients: sucrose, lactose, cornstarch, acacia, and magnesium stearate.

AMPICILLIN TABLETS (250 MG)

Formulation: Ampicillin trihydrate, 250 g; Ludipress®, 250 g; magnesium stearate, 10 g.

MANUFACTURING DIRECTIONS

- Mix all components, pass through a sieve, and press with low-compression force at 500 mg.

A POMORPHINE AND NICOTINE TABLETS**Bill of Materials**

Scale (mg/ tablet)	Item	Material Name	Quantity/ 1000 Tablets (g)
4.00	1	Apomorphine hydrochloride	4.00
1.00	2	Nicotine base	1.00
4.00	3	Acesulfame-K	4.00
37.50	4	Microcrystalline cellulose	37.50
2.50	5	Peppermint flavor	2.50
2.00	6	Chocolate natural flavor	2.00
3.00	7	Citric acid	3.00
13.00	8	Hydroxypropyl methylcellulose	13.00
80.00	9	Mannitol	80.00
3.00	10	Magnesium stearate	3.00

MANUFACTURING DIRECTIONS

- Pass all ingredients through a 35 mesh screen (sieve opening of about 0.51 mm) to ensure granulation.
- Prepare a solution containing apomorphine HCl, citric acid, half the acesulfame-K, half the peppermint flavor, and half the chocolate flavor by dissolving the ingredients into a mixture of equal volumes of purified water and ethanol, USP.

- Mix the solution until clear and then absorbed into the listed amount of microcrystalline cellulose (Avicel™ 302).
- Mix the resulting wet mass, labeled “part A,” in a porcelain dish at room temperature (20°C) for 30 minutes, and then partially dry to obtain a solid mass.
- Next, granulate the mass by screening through a 50 mesh (ASTM) (sieve opening of about 0.297 mm) stainless steel screen. Dry the wet granules at about 60°C to 70°C for about 1 to 1.5 hours. Pass the resulting dried granules through a 35 mesh screen (sieve opening of about 0.51 mm).
- Separately, add nicotine to and blend with all the remaining ingredients except for the magnesium stearate. More specifically, add nicotine to the second half of the acesulfame-K, half the peppermint flavor, half the chocolate flavor, the hydroxypropyl methylcellulose (Methocel E4M, premium), and the mannitol.
- The resulting blend is labeled “part B.” Combine parts A and B and mix for about 5 minutes in a V-shaped blender. Next, add magnesium stearate to the blender and blend continuously for about 2 minutes.
- Remove the final mix from the blender and compress into 150 mg tablets.

A POMORPHINE AND PROCHLORPERAZINE TABLETS**Bill of Materials**

Scale (mg/ tablet)	Item	Material Name	Quantity/ 1000 Tablets (g)
4.00	1	Apomorphine hydrochloride	4.00
5.00	2	Prochlorperazine hydrochloride	5.00
4.00	3	Acesulfame-K	4.00
37.50	4	Microcrystalline cellulose	37.50
2.50	5	Peppermint flavor	2.50
2.00	6	Chocolate natural flavor	2.00
3.00	7	Citric acid	3.00
10.00	8	Hydroxypropyl methylcellulose	10.00
80.00	9	Mannitol	80.00
3.00	10	Magnesium stearate	3.00

MANUFACTURING DIRECTIONS

- Pass all ingredients through a 35 mesh screen (sieve opening of about 0.51 mm) to ensure granulation.
- Prepare a solution containing prochlorperazine HCl, citric acid, half the acesulfame-K, half the peppermint flavor, and half the chocolate flavor by

dissolving the ingredients into a mixture of equal volumes of purified water and ethanol, USP.

- Mix the solution until clear and then absorb into the listed amount of microcrystalline cellulose (Avicel™ 302).
- Mix the resulting wet mass, labeled “part A,” in a porcelain dish at room temperature (20°C) for 30 minutes and then partially dry to obtain a solid mass.
- Next, granulate the mass by screening through a 50 mesh (sieve opening of about 0.297 mm) stainless steel screen. Dry the wet granules at about 60°C to 70°C for about 1 to 1.5 hours. Pass the resulting dried granules through a 35 mesh screen (sieve opening of about 0.51 mm).
- Separately, add nicotine to and blend with all the remaining ingredients except for magnesium stearate. More specifically, add nicotine to the second half of the acesulfame-K, half the peppermint flavor, half the chocolate flavor, the hydroxypropyl methylcellulose (Methocel E4M, premium), and the mannitol.
- The resulting blend is labeled “part B.” Combine parts A and B and mix for about 5 minutes in a V-shaped blender. Next, add magnesium stearate to the blender, and continue blending for about 2 minutes.
- Remove the final mix from the blender and compress into 150 mg tablets.

ASPARAGUS EXTRACT + PARSLEY EXTRACT TABLETS (200 MG + 200 MG)

Bill of Materials

Scale (mg/ tablet)	Item	Material Name	Quantity/ 1000 Tablets (g)
200.00	1	Asparagus extract powder	200.00
200.00	2	Parsley extract powder	200.00
200.00	3	Sorbitol crystalline	200.00
20.00	4	Kollidon® VA 64	20.00
10.00	5	Kollidon® CL	10.00
4.00	6	Magnesium stearate	4.00

MANUFACTURING DIRECTIONS

- Pass all components through a 0.8 mm sieve and mix.
- Press to tablets with low-compression force at 636 mg.

ASPARTAME EFFERVESCENT TABLETS (20 MG)

Bill of Materials

Scale (mg/ tablet)	Item	Material Name	Quantity/ 1000 Tablets (g)
00.00	1	Aspartame	20.00
10.40	2	Sorbitol crystalline	10.40
14.30	3	Tartaric acid powder	14.30
18.70	4	Sodium carbonate	18.70
1.70	5	Kollidon® 25	1.70
1.10	6	PEG 6000 powder	1.10

MANUFACTURING DIRECTIONS

- Mix all components and pass through a 0.5 mm sieve.
- Press to tablets at 66 mg.

ASPARTAME TABLETS (25 MG), DC

Bill of Materials

Scale (mg/ tablet)	Item	Material Name	Quantity/ 1000 Tablets (g)
27.00	1	Aspartame	27.00
76.00	2	Ludipress®	76.00
12.00	3	Kollidon® CL	12.00
1.00	4	Magnesium stearate	1.00
3.00	5	Lutrol F68	3.00

MANUFACTURING DIRECTIONS

- Mix all components and pass through a 0.8 mm sieve.
- Press to tablets with low-compression force at 120 mg.

ASPARTAME TABLETS

Bill of Materials

Scale (mg/ tablet)	Item	Material Name	Quantity/ 1000 Tablets (g)
20.00	1	Aspartame	20.00
4.00	2	Cellulose (microcrystalline) (Avicel™ PH101), NF	4.00
4.00	3	Sodium starch glycolate (pH 5.5–7.5), NF International	4.00
0.50	4	Silicon dioxide (colloidal)	0.50
0.50	5	Povidone (PVP K-29–32), USP	0.50
14.00	6	Anhydrous alcohol (isopropyl, refined), USP	~14.00
34.00	7	Lactose (granulated)	34.00
4.00	8	Leucine, USP	4.00
3.00	9	Sodium benzoate (powder), NF	3.00

MANUFACTURING DIRECTIONS

1. Place aspartame, cellulose microcrystalline, sodium starch glycolate, silicon dioxide, and povidone in a suitable mixer.
2. Blend for 20 minutes or until uniform.
3. While mixing, slowly add isopropyl alcohol to blended powders until a suitable granulating mass is obtained. Avoid overwetting.
4. Pass wet mass through a 2.38 mm screen on an oscillating granulator and spread onto paper-lined trays.
5. Oven dry at 45°C to 50°C until LOD is NMT 1.2%.
6. Pass dried granulation through an 840-Hm screen on an oscillating granulator.
7. Load dried granulation into a suitable mixer.
8. Add granulated lactose, leucine, and sodium benzoate, and blend for ~10 minutes.
9. Discharge into polyethylene-lined drums.
10. Compress tablets in a low-humidity area not to exceed 40% relative humidity at 23°C.
11. Compress, using 7/32 in. concave punches, to the following specifications: weight of 10 tablets is 0.7 g; thickness of a tablet is 2.9 to 3.3 mm.

ASPARTAME TABLETS**Bill of Materials**

Scale (mg/ tablet)	Item	Material Name	Quantity/ 1000 Tablets (g)
25	1	Aspartame	25
25	2	Dibasic calcium phosphate	25
3	3	Kollidon® VA 64	3
10	4	Water	10
3	5	Kollidon® CL	3
3	6	PEG-6000 (powder)	3

MANUFACTURING DIRECTIONS

1. Granulate mixture of items 1 to 3 with items 4 and 5.
2. Pass through a 0.8 mm sieve and mix with item 6.
3. Press to tablets (60 mg in weight) with a 5 mm biplanar shape.

ASPARTAME TABLETS**Bill of Materials**

Scale (mg/ tablet)	Item	Material Name	Quantity/ 1000 Tablets (g)
25.00	1	Aspartame	27.00
76.00	2	Ludipress®	76.00
12.00	3	Kollidon® CL	12.00
1.00	4	Magnesium stearate	1.00
3.00	5	Lutrol F 68	3.00

MANUFACTURING DIRECTIONS

1. Mix all components, pass through a 0.8 mm sieve, and press to tablets with low-compression force.
2. Each 8 mm biplanar tablet has an average weight of 120 mg.

ASPARTAME TABLETS, EFFERVESCENT**Bill of Materials**

Scale (mg/ tablet)	Item	Material Name	Quantity/ 1000 Tablets (g)
20.00	1	Aspartame	20.00
10.40	2	Sorbitol (crystalline)	10.40
14.30	3	Tartaric acid (powder)	14.30
18.70	4	Sodium bicarbonate	18.70
1.70	5	Kollidon® 25	1.70
1.10	6	PEG-6000 (powder)	1.10

MANUFACTURING DIRECTIONS

1. Mix all items, pass through a 0.5 mm sieve, and press to tablets.
2. Each 6 mm biplanar tablet has an average weight of 66 mg.

**ASPIRIN, ACETAMINOPHEN,
AND CAFFEINE TABLETS****Bill of Materials**

Scale (mg/ tablet)	Item	Material Name	Quantity/ 1000 Tablets (g)
225.00	1	Aspirin (40 mesh)	225.00
250.00	2	Acetaminophen (20 mesh)	250.00
30.00	3	Caffeine (granular)	30.00
100.00	4	Cellulose (microcrystalline) (Avicel™ PH-102)	100.00
45.00	5	Anhydrous lactose	45.00
10.00	6	Croscarmellose sodium (Ac-Di-Sol)	10.00
5.00	7	Fumed silica	5.00
10.00	8	Stearic acid	10.00

MANUFACTURING DIRECTIONS

1. Mix items 1 to 6 in a suitable blender.
2. Pass the mixture through a mill, using a 12 mesh screen with knives forward.
3. Add items 7 and 8, and blend the milled mixture for 20 minutes in a V-blender.
4. Compress to tablet weight of 675 mg.

ASPIRIN, ACETAMINOPHEN, CAFFEINE, AND SALICYLAMIDE TABLETS

Bill of Materials

Scale (mg/ tablet)	Item	Material Name	Quantity/ 1000 Tablets (g)
200.00	1	Aspirin (40 mesh)	200.00
100.00	2	Salicylamide	100.00
100.00	3	Acetaminophen (40 mesh)	100.00
60.00	4	Caffeine (Granular)	60.00
150.00	5	Cellulose (microcrystalline) (Avicel™ PH101)	150.00
13.00	6	Stearic acid, USP	13.00
3.00	7	Fumed silica	3.00

MANUFACTURING DIRECTIONS

1. Screen all ingredients through a 20 mesh sieve.
2. Blend all the ingredients in a V-blender for 20 minutes.
3. Compress into 615 mg tablets, using 5/8 in. tooling.

ASPIRIN TABLETS

Bill of Materials

Scale (mg/ tablet)	Item	Material Name	Quantity/ 1000 Tablets (g)
325.00	1	Aspirin	325.00
25.52	2	Starch 1500	25.52
21.33	3	Microcrystalline cellulose (50 µm)	21.33
6.33	4	Powdered cellulose	6.33

MANUFACTURING DIRECTIONS

1. Blend in a twin-shell blender.
2. Compress into 378.00 mg tablets.

ATENOLOL TABLETS

Formulation: Atenolol, 100.00 mg; citric acid (anhydrous), 4.00 mg; microcrystalline cellulose, 169.00 mg; sodium starch glycolate, 3.00 mg; magnesium stearate, 4.00 mg. Total 280.00 mg.

MANUFACTURING DIRECTIONS

1. Dissolve citric acid in purified water to provide a 20% citric acid solution.
2. Granulate atenolol with this solution in a planetary mixer, and dry the resultant granules in a tray dryer to less than 3% by weight loss on drying.

3. Hammer mill the atenolol/citric acid premixture and blend with the other excipients. Compress this material into 280 mg tablets.

ATENOLOL TABLETS (50 MG/100 MG), TENORMIN

Tenormin is available as 25, 50, and 100 mg tablets for oral administration. The inactive ingredients are magnesium stearate, microcrystalline cellulose, povidone, and sodium starch glycolate.

ATENOLOL TABLETS

Bill of Materials

Scale (mg/ tablet)	Item	Material Name	Quantity/ 1000 Tablets (g)
50.00	1	Atenolol	50.00
87.50	2	Magnesium carbonate heavy	87.50
29.70	3	Starch (corn)	29.70
3.30	4	Sodium lauryl sulfate	3.30
30.00	5	Starch (corn)	30.00
2.00	6	Gelatin	2.00
5.00	7	Magnesium stearate	5.00
QS	8	Purified water	QS

Note: This formula is used for both 50 and 100 mg strengths; see following for fill weights to obtain the correct strengths.

MANUFACTURING DIRECTIONS

1. Massing
 - a. Mix starch (item 5) with approximately 27.3 mL of purified water (item 8) in a glass or stainless steel vessel, avoiding the formation of lumps.
 - b. Boil the remaining 52.8 mL of purified water (item 8), and add the mix from step 1 with continuous stirring until a gel is formed. Further heat may be necessary. (*Note:* A mix temperature greater than 95°C must be exceeded before a gel is formed.)
 - c. Pass gelatin through a 1.59 mm aperture, add water at 50°C, dissolve, and add to step 2.
 - d. Add sodium lauryl sulfate to step c without excessively mixing (to avoid foaming).
 - e. Mill the atenolol through a 1.59 mm aperture screen at medium speed with knives forward, then load into a suitable mixer.
 - f. Pass magnesium carbonate heavy, starch (corn) (item 3) through a 1.00 mm aperture stainless screen, and add to the mixer. Mix at 60 rpm for 10 minutes.

- g. Pass the mixed powders from step f through a 1 mm aperture stainless steel screen, and return to the mixer.
 - h. Add, in one load, the starch and gelatin and sodium lauryl sulfate gel from step d at 70°C to 80°C, and mix for 5 minutes at 60 rpm.
 - i. Stop the mixer and inspect the mass. Add the extra 6.88 mL of purified water (item 8) at 50°C to complete the granulation while mixing. Mix for a further 5 minutes at 60 rpm.
2. Drying/granulation: Proceed to step a or b.
 - a. Oven drying
 - i. Pass the wet mass through a granulator fitted with a 4.76 mm aperture stainless steel screen. Collect the granules on paper-lined trays.
 - ii. Dry the granules in a hot air oven at 60°C (not more than 65°C). After 1 hour of drying, pass the granules through a granulator fitted with a 2.38 mm aperture stainless steel screen. Collect the granules on paper-lined trays and return to the hot air oven at 60°C.
 - b. Fluid-bed drying
 - i. Pass the wet mass through a granulator fitted with a 4.76 mm aperture stainless steel screen into the fluid-bed dryer bowl.
 - ii. Dry the granules in the fluid-bed dryer at 60°C for 30 minutes, turning over after 15 minutes. Then, pass the granules through a granulator fitted with a 2.38 mm aperture stainless steel screen, and then return to the fluid-bed dryer bowl with the air inlet and outlet fully open. Proceed to step c in the preceding list.
 - c. Continue drying the granules until the LOD is between 1.5% and 2%.
 - d. Pass the dried granules through a granulator fitted with a 1 mm aperture stainless steel screen. Collect the granules in a polyethylene-lined drum, and close securely.
 3. Lubrication
 - a. Place the dried granules from step 2 (“drying/granulation”) in a suitable blender.
 - b. Add magnesium stearate and the remainder of the starch via a 0.6 mm aperture stainless steel screen, and mix for 25 minutes.
 - c. Transfer to a polyethylene-lined drum and close securely until ready for compression.
 4. Compression: Compress on a suitable tablet machine using round punches—weight of 10 tablets is 2.075 g for 50 mg strength and 4.15 g for 100 mg strength; hardness more than 5 kPa; disintegration time not more than 15 minutes.
 5. Coating: Use either organic coating or aqueous Methocel as needed. Follow with a clear gloss.

ATENOLOL TABLETS (90 MG)

Formulation: Atenolol (Stober), 93.0 g; Ludipress®, 287.0 g; Kollidon® CL, 52.0 g; magnesium stearate, 2.2 g; Aerosil® 200, 0.9 g.

MANUFACTURING DIRECTIONS

1. Mix all components, pass through a 0.8 mm sieve, and press to tablets with low-compression force at 436 mg.

ATORVASTATIN TABLETS (10 MG/20 MG), ATORVASTATIN CALCIUM LIPITOR

Lipitor tablets for oral administration contain 10, 20, or 40 mg of atorvastatin and the following inactive ingredients: calcium carbonate, USP; candelilla wax, Food & Chemicals Codex (FCC); croscarmellose sodium, NF; hydroxypropyl cellulose, NF; lactose monohydrate, NF; magnesium stearate, NF; microcrystalline cellulose, NF; Opadry White YS-1-7040 (hydroxypropyl methylcellulose, polyethylene glycol, talc, titanium dioxide); polysorbate 80; and simethicone emulsion.

ATORVASTATIN CALCIUM TABLETS

Bill of Materials			
Scale (mg/ tablet)	Item	Material Name	Quantity/ 1000 Tablets (g)
10.00	1	Atorvastatin; use atorvastatin calcium trihydrate	10.00
36.00	2	Calcium carbonate	36.00
65.00	3	Lactose monohydrate	65.00
30.00	4	Microcrystalline cellulose (Avicel™ PH 102)	30.00
3.00	5	Polyvinylpyrrolidone (Povidone K-30)	3.00
0.40	6	Polysorbate 80 (Tween 80)	0.40
4.00	7	Croscarmellose sodium (Ac-Di-Sol)	4.00
0.60	8	Magnesium stearate	0.60
—	9	Purified water	QS

MANUFACTURING DIRECTIONS

1. Sift atorvastatin calcium trihydrate, calcium carbonate, lactose monohydrate, and Avicel™ PH 102 through a 0.500 mm stainless steel sieve.
2. Dissolve PVP K-30 and Polysorbate-80 in purified water (50°C) by slow stirring until it becomes clear. Cool the solution to 30°C. This is the granulating solution.

3. Knead the powder mix with granulating solution to get the desired granules.
4. Dry the granules to a targeted LOD of 2%.
5. Pass the dried granules through a 16 mesh screen.
6. Sift Ac-Di-Sol and magnesium stearate through 0.500 mm.
7. Load the ground granules from step 5 and the powder mix from step 6 into a suitable blender. Blend for 1 minute.
8. Compress into 150 mg tablets, using 12 mm punches. For 20 mg strength, compress 300 mg in 15 mm punches.
9. Prepare a hypromellose and polyethylene glycol 4000 solution in the mixture of purified water and ethanol 95%. Keep overnight for complete gelation. (See Appendix.)
10. Add talc and titanium dioxide into step 9, and homogenize for a uniform coating dispersion.
11. Coat the tablets using the coating dispersion Accel-Cota to a targeted weight.

ATTAPULGITE TABLETS

Bill of Materials			
Scale (mg/ tablet)	Item	Material Name	Quantity/ 1000 Tablets (g)
475.00	1	Attapulgit (regular)	475.00
275.00	2	Attapulgit (colloidal)	275.00
12.00	3	PVPK30	12.00
7.00	4	Ac-Di-Sol	7.00
15.00	5	Kollidon® CL	15.00
30.00	6	Sucrose	30.00
50.00	7	Klucel® EF	50.00
40.00	8	Sucrose	40.00
35.00	9	Ac-Di-Sol	35.00
25.00	10	Kollidon® CL	25.00
14.00	11	Talc (fine powder)	14.00
5.00	12	Pectin	5.00
7.00	13	Glyceryl behenate	7.00
5.00	14	Aerosil® 200	5.00
5.00	15	Magnesium stearate	5.00
—	16	Purified water	32.00
—	17	Ethanol (95%)	23.00

MANUFACTURING DIRECTIONS

Caution: Use face-mask, hand gloves, and clean uniform. Avoid dust and inhalation of powder.

1. Dissolve sucrose (item 6) in purified water by using an appropriate stirrer at slow speed in a stainless steel container.
2. Dissolve Klucel® EF in the ethanol by using an appropriate stirrer at slow speed in stainless steel container.

3. Mix the contents of steps 1 and 2 in a stainless steel drum by using an appropriate stirrer at slow speed.
4. Take item 8 (sucrose) and pass through a FitzMill using sieve number 24250 (impact forward, high speed). Collect the sieved contents in a stainless steel drum.
5. Add items 1 to 5 and sift the material through a 500 µm sieve using a Russell sifter.
6. Mix for 3 minutes.
7. Add the binding solution prepared earlier at a speed of 6 to 8 kg/min to the dry powder in an appropriate mixer at slow speed. After addition, scrape sides and blades, and then mix and chop further for 1 minute at slow speed. Check for satisfactory wet mass. Add additional purified water, if required, to obtain satisfactory wet mass.
8. Spread the granules onto stainless steel trays to a thickness of 1/4th of the tray thickness and load the trays on the trolley.
9. Load the trolleys into the oven and dry the granules at 55°C for 16 hours.
10. After 4 hours of drying, stir the granules on the trays and change the position of the trays for uniform drying.
11. Check the LOD of dried granules (limit: 2.5–3.5%).
12. The LOD should be strictly maintained; otherwise, tablet hardness and friability are affected. If required, dry further to obtain the desired LOD.
13. Grind the dried granules first using a 2.5 mm sieve and then with a 1.25 mm sieve.
14. Load the ground material into a double-cone blender.
15. Sift items 9, 10, 12, and 14 through a 500 µm sieve and add mixture to the double-cone blender.
16. Mix for 5 minutes.
17. Sift items 11, 13, and 15 through a 250 µm sieve and collect in a polyethylene bag.
18. Add about 2 to 3 kg bulk granules from earlier step, mix, and add to the double-cone blender.
19. Mix for 1 minute.
20. Compress the granules using an 18×8 mm, oblong, capsule-shaped, parallel, concave, plain punch for a 1-g tablet weight of hardness 12 to 18 kPa.
21. Coat the tablets using one of the HPMC coating solutions (see Appendix).

AZITHROMYCIN CHEWABLE TABLETS

Formulation: Azithromycin dihydrate (1619.870 g, 60% of total composition), FD&C Red No. 40 (1.125 g), magnesium oxide (309.757 g, 11.5% of total composition), calcium gluconate (46.4160 mg, 1.7% of total composition), and sodium starch glycolate (139.248 g) are combined in an eight-quart V-blender and blended for 30 minutes.

MANUFACTURING DIRECTIONS

1. Pass the blend through a Fitzpatrick JT Comminutor fitted with a #0 plate (0.027 in. opening) at medium speed with the hammers forward.
2. Return the mixture to the blender and blend for an additional 30 minutes. Transfer the blend to an eight-quart Hobart Planetary Mixer (Model C-100) and mix at slow (#1) setting.
3. During mixing, wet mass the mixture by adding 50 g of hydroxypropyl cellulose solution (prepared by adding 45 g of hydroxypropyl cellulose to 405 g of warm (60°C) water with stirring). Add water (108 g), and mix the mixture for 10 minutes. Add an additional 85 g of water to the granulation to achieve the end point.
4. Continue the mixer at the slow setting for an additional 5 minutes to granulate the mass. Transfer the wet mixture to a polyethylene-lined tray and heat at 50°C in a forced air oven overnight (16 hours).
5. Pass the dried mass through a Fitzpatrick JT Comminutor fitted with a #2A plate (0.093-in. opening) at slow speed with the knives forward.
6. Transfer the granulation to an eight-quart V-blender, add flavors, and blend the flavored granulation for 30 minutes.
7. Add magnesium stearate (45 g), and blend the mixture for 5 minutes. Compress the mixture into tablets to achieve a final tablet weight of 750 mg.

3. Mix the contents of step 1 for 10 minutes, using tumbler.
4. Pass 50% of item 6 (=7.5 g) through 0.250 mm sieve and add to step 3.
5. Mix the contents of step 4 for 2 minutes.
6. Slug the granules of step 5 with a suitable punch (18.0 mm, round).
7. Grind the slug into granules with 1.25 mm sieve followed by 3 mm sieve.
8. Place the granules of step 7 in a tumbler.
9. Pass the remaining quantity of item 5 and item 4 through 0.5 mm sieve and add to step 8.
10. Mix the contents of step 9 for 5 minutes.
11. Pass the remaining quantity of step 6 through 0.250 mm sieve and add to step 10.
12. Mix the contents of step 11 for 2 minutes.
13. Compress into 850 mg tablets, using a suitable punch (19.5 mm × 9.5 mm, oblong).
14. Place item 11 in a stainless steel vessel. Add item 7 slowly to the vortex while stirring. Stir till lumps dissolved. Homogenize for 5 minutes. Keep for 3 to 4 hours for saturation of hypromellose.
15. Add items 8 through 10 to step 14 with stirring. Stir for 10 minutes. Homogenize for 5 minutes. Pass the coating dispersion through 180 mm sieve (if required).
16. Load core tablets from step 13 in coating pan and apply coating dispersion from step 15 to get 2.75% to 3.25% weight gain.

AZITHROMYCIN DIHYDRATE TABLETS (600 MG)

Bill of Materials			
Scale (mg/ tablet)	Item	Material Name	Quantity/ 1000 Tablets (g)
630.00	1	Azithromycin dihydrate equivalent to azithromycin 600 mg	630.00
107.25	2	Dibasic calcium phosphate anhydrous, DC grade	107.25
50.00	3	Pregelatinized starch	50.00
35.00	4	Sodium croscarmellose	35.00
12.75	5	Sodium lauryl sulfate	12.75
15.00	6	Magnesium stearate	15.00
16.00	7	Hypromellose	16.00
5.00	8	Triacetin	5.00
7.00	9	Lactose	7.00
2.00	10	Titanium dioxide	2.00
—	11	Water, purified	200.00

MANUFACTURING DIRECTIONS

1. Pass item 1 and 75% of item 5 (=9.5 g) through 0.5 mm sieve and place in a tumbler. Mix for 5 minutes.
2. Pass item 2, item 3, and 70% of item 4 (=24.5 g) through 0.5 mm sieve and add to step 1.

AZITHROMYCIN TABLETS (250 MG), ZITHROMAX

Zithromax is supplied for oral administration as film-coated, modified capsule-shaped tablets containing azithromycin dihydrate equivalent to 250 mg of azithromycin and the following inactive ingredients: dibasic calcium phosphate anhydrous, pregelatinized starch, sodium croscarmellose, magnesium stearate, sodium lauryl sulfate, hydroxypropyl methylcellulose, lactose, titanium dioxide, triacetin, and D&C Red No. 30 Aluminum Lake.

AZITHROMYCIN TABLETS

Bill of Materials			
Scale (mg/ tablet)	Item	Material Name	Quantity/ 1000 Tablets (g)
250.00	1	Azithromycin, 5% excess	262.50
22.50	2	Microcrystalline cellulose	22.50
5.00	3	Sodium carmellose	5.00
10.00	4	Starch (maize)	10.00
3.50	5	Talc	3.50
3.50	6	Magnesium stearate	3.50
3.50	7	Aerosil® 200	3.50
1.00	8	Sodium lauryl sulfate	1.00
32.50	9	Starch (maize)	32.50

MANUFACTURING DIRECTIONS

1. Sift items 1 to 3 through a 250 μm sieve and place in a mixer.
2. Mix for 15 minutes.
3. Place item 4 in a suitable vessel, add hot item 10 (80°C), and mix. Allow to cool to room temperature.
4. Add the contents of step 3 to those of step 2, and mix to make wet mass without lumps.
5. Spread wet mass on trays and dry at 50°C for 12 hours.
6. Pass dried granules through a 20 mesh screen and transfer to a tumble mixer.
7. Add items 5 to 9 (sifted through a 250 μm sieve) and mix for 2 minutes.
8. Compress into 340 mg tablets, using 16 \times 6 mm punches.
9. Coat tablets with HPMC methylene chloride coating. (See Appendix.)

BENAZEPRIL HYDROCHLORIDE TABLETS LOTENSIN

Lotensin is supplied as tablets containing 5, 10, 20, and 40 mg of benazepril for oral administration. The inactive ingredients are cellulose compounds, colloidal silicon dioxide, crospovidone, hydrogenated castor oil (5, 10, and 20 mg tablets), iron oxides, lactose, magnesium stearate (40 mg tablets), polysorbate 80, propylene glycol (5 and 40 mg tablets), starch, talc, and titanium dioxide.

BENAZEPRIL HYDROCHLORIDE TABLETS**Bill of Materials**

Scale (mg/ tablet)	Item	Material Name	Quantity/ 1000 Tablets (g)
20.00	1	Benazepril hydrochloride	20.00
32.90	2	Lactose monohydrate	32.90
5.00	3	Starch, pregelatinized	5.00
1.00	4	Silicon dioxide colloidal	1.00
2.00	5	Crospovidone	2.00
10.00	6	Microcrystalline cellulose	10.00
4.00	7	Hydrogenated castor oil	4.00
—	8	Water, purified	QS

MANUFACTURING DIRECTIONS

1. Mill items 1 to 3 and blend together.
2. Add water to granulate the blend, screen wet granules, and oven dry.
3. Mill dried granules after mixing with items 5 to 7.
4. Screen item 4 and add to step 3; blend for 1 minute.
5. Compress.

6. Coat using HPMC 2910 3 cps (4.88 mg) and polysorbate 80 (0.119 mg) in aqueous dispersion; dust tablets with talc.

BENZAFIBRATE TABLETS (200 MG)**Bill of Materials**

Scale (mg/ tablet)	Item	Material Name	Quantity/ 1000 Tablets (g)
200.00	1	Benzafibrate	200.00
84.00	2	Lactose monohydrate	84.00
25.00	3	Starch (maize)	25.00
5.800	4	Methocel E5	5.80
13.00	5	Gelatin	13.00
14.90	6	Microcrystalline cellulose (Avicel™ PH 102)	14.90
14.90	7	Primojel®	14.90
6.90	8	Talc	6.90
5.80	9	Magnesium stearate	5.80
QS	10	Water, purified, ca	80 mL

MANUFACTURING DIRECTIONS

1. Dissolve item 5 into 50% of item 10 at 70°C to 80°C by mixing at medium speed and avoiding foam formation.
2. Cool to 50°C prior to use.
3. In a separate mixer, drymix items 1 to 4 at medium speed for 5 minutes.
4. Add the gelatin solution from step 2 slowly to the powder mix; add more of item 10, if necessary, to achieve a satisfactory mass, avoiding big lumps.
5. Spread the granules on stainless steel trays to a 10 mm thickness, and load in the oven for drying at 55°C for 12 hours to an LOD of not more than 1%.
6. Grind the dried granules through a 1.25 mm sieve in a granulator and transfer to a double-cone blender.
7. Pass items 6 to 8 through a 250 μm sieve in a sifter, load the mixture in a double-cone blender (step 6), and blend for 5 minutes.
8. Pass item 9 through a 250 μm sieve sifter and collect in a bag. Take a small amount of granules from step 7, mix with item 9 manually, and then add the mixture to the double-cone blender in step 7.
9. Compress into 370 mg tablets, using 11 mm round, concave punches.
10. Coat the tablets with hypromellose. (See Appendix.)

BERBERINE TABLETS (5 MG)

Formulation: Berberine sulfate, 5.7 g; lactose monohydrate, 54.1 g; Ludipress®, 54.1 g; magnesium stearate, 1.2 g.

MANUFACTURING DIRECTIONS

- Mix all components, pass through a 0.8 mm sieve, and press with low-compression force.

BERBERINE TABLETS**Bill of Materials**

Scale (mg/ tablet)	Item	Material Name	Quantity/ 1000 Tablets (g)
5.00	1	Berberine sulfate	5.70
54.10	2	Lactose monohydrate	54.10
54.10	3	Ludipress®	54.10
1.20	4	Magnesium stearate	1.20

MANUFACTURING DIRECTIONS

- Mix all components, pass through a 0.8 mm sieve, and press with low-compression force.
- The 6 mm biplanar tablet has an average weight of 115 mg.

BETAMETHASONE TABLETS (0.50 MG)**Bill of Materials**

Scale (mg/ tablet)	Item	Material Name	Quantity/ 1000 Tablets (g)
0.50	1	Betamethasone base, 10% excess	0.55
20.00	2	Maize starch	20.00
85.90	3	Lactose monohydrate	85.95
3.00	4	Maize starch	3.00
0.50	5	Magnesium stearate	0.50
QS	6	Purified water	25.00

MANUFACTURING DIRECTIONS

- Pass item 2 through a 250 µm sieve, and make a homogeneous slurry in cold purified water (5 kg) to ensure it is free of lumps.
- Add the slurry to a container with water (20 kg) at 80°C, stir until completely gelatinized, and cool to 50°C.
- Mix item 1 gradually with item 3 and pass through a 250 µm sieve; pass item 4 through a similar sieve and mix the powders for 15 minutes.
- Add starch paste and mix for 10 minutes; pass the wet mass through a FitzMill sieve 24205 at medium speed.

- Dry granules at 55°C for 10 hours; do not exceed a moisture content of 2%. Pass dried granules through a 1 mm sieve into a double-cone blender.
- Pass item 5 through a 250 µm sieve, mix with granules, and mix for 1 minute.
- Compressed average tablet weight is 1.10 g; hardness not less than 2.0 kPa.

BETA-CAROTENE EFFERVESCENT TABLETS (7 MG)

Formulation: Lucarotin® dry powder 10% CWD (BASF), 70 g; Ludipress®, 113 g; citric acid, anhydrous, 200 g; sodium bicarbonate, 120 g; sodium carbonate, 12 g; sodium cyclamate, 20 g; aspartame, 15 g; orange flavor, 20 g; polyethylene glycol 6000, powder, 30 g.

MANUFACTURING DIRECTIONS

- Pass all components through a 0.8 mm sieve, mix, and press with medium- or high-compression force at maximum 30% of relative atmospheric humidity.

BETA-CAROTENE EFFERVESCENT TABLETS**Bill of Materials**

Scale (mg/ tablet)	Item	Material Name	Quantity/ 1000 Tablets (g)
7.00 mg	1	Beta-carotene; use Lucarotin® CWD (dry powder, 10%) (BASF)	70.00
113.00 mg	2	Ludipress®	113.00
200.00 mg	3	Anhydrous citric acid	200.00
120.00 mg	4	Sodium bicarbonate	120.00
12.00 mg	5	Sodium carbonate	12.00
20.00 mg	6	Sodium cyclamate	20.00
15.00 mg	7	Aspartame	15.00
20.00 mg	8	Orange flavor	20.00
30.00 mg	9	PEG-6000 (powder)	30.00

MANUFACTURING DIRECTIONS

- Pass all components through a 0.8 mm sieve and mix.
- Press with medium- or high-compression force at maximum 30% relative humidity.
- Use 12 mm biplanar punches for 602 mg tablets.

BETA-CAROTENE TABLETS

Bill of Materials			
Scale (mg/ tablet)	Item	Material Name	Quantity/ 1000 Tablets (g)
15.00	1	Beta-carotene (dry powder, 10% with excess)	160.00
240.00	2	Ludipress®	240.00
175.00	3	Dicalcium phosphate, granulated with 5% Kollidon® 30	175.00
6.00	4	Kollidon® CL	6.00
2.00	5	Magnesium stearate	2.00

MANUFACTURING DIRECTIONS

1. Mix all components, pass through a 0.8 mm sieve, and press with medium-compression force.
2. Compress into 400 mg tablets, using 12 mm biplanar punches.

BETA-CAROTENE TABLETS

Bill of Materials			
Scale (mg/ tablet)	Item	Material Name	Quantity/ 1000 Tablets (g)
15.00	1	Beta-carotene (dry powder, 10%)	150.00
175.00	3	Dicalcium phosphate, granulated with 5% Kollidon® 30	175.00
100.00	4	Avicel™ PH101	100.00
5.00	5	Kollidon® CL	5.00
2.50	6	Aerosil® 200	2.50
20.00	7	Talc	20.00
2.50	8	Calcium arachinate	2.50

MANUFACTURING DIRECTIONS

1. Mix all components, pass through a 0.8 mm sieve, and press with a medium-compression force.
2. Compress into 502 mg tablets, using 12 mm biplanar punches.

BETA-CAROTENE TABLETS

Bill of Materials			
Scale (mg/ tablet)	Item	Material Name	Quantity/ 1000 Tablets (g)
20.00	1	Beta-carotene (dry powder, 10%)	220.00
250.00	2	Avicel™ PH101	250.00
20.00	3	Kollidon® CL	20.00
2.00	4	Aerosil® 200	2.00

MANUFACTURING DIRECTIONS

1. Mix all components, and press with a low-compression force.
2. Compress into 518 mg tablets, using 12 mm biplanar punches.

BETA-CAROTENE, VITAMIN C, AND VITAMIN E CHEWABLE TABLETS

Bill of Materials			
Scale (mg/ tablet)	Item	Material Name	Quantity/ 1000 Tablets (g)
10.00	1	Beta-carotene (dry powder, 10%)	100.00
250.00	2	Ascorbic acid (crystalline) (BASF)	250.00
280.00	3	Sodium ascorbate (crystalline)	280.00
500.00	4	Vitamin E acetate (dry powder, SD 50)	500.00
600.00	5	Sorbitol (crystalline)	600.00
500.00	6	Ludipress®	500.00
350.00	7	Fructose	350.00
50.00	8	PEG-6000 (powder)	50.00

MANUFACTURING DIRECTIONS

1. Mix all components, pass through a sieve, and press with high-compression force.
2. The 20 mm biplanar tablet has an average weight of 2.6 g.

BETA-CAROTENE + VITAMIN C + VITAMIN E CHEWABLE TABLETS (10 MG + 500 MG + 250 MG)

Formulation: Beta-carotene dry powder 10%, 100 g; ascorbic acid, crystalline (BASF), 250 g; sodium ascorbate, crystalline, 280 g; Vitamin E acetate dry powder SD 50, 500 g;

(BASF) sorbitol, crystalline, 600 g; Ludipress®, 500 g; fructose, 350 g; polyethylene glycol 6000, powder, 50 g.

MANUFACTURING DIRECTIONS

- Mix all components, pass through a sieve and press with high-compression force at 2600 mg.

BETA-CAROTENE + VITAMIN C + VITAMIN E EFFERVESCENT TABLETS (12 MG + 150 MG + 25 MG)

Formulation: Lucarotene dry powder 10% CWD G/Y (BASF), 120 g; ascorbic acid, crystalline (BASF), 150 g; dry vitamin E acetate 50% DC (BASF), 50 g; Ludipress® LCE, 705 g; Kollidon® VA64, 50 g; citric acid, anhydrous, 450 g; sodium bicarbonate, 320 g; polyethylene glycol 6000, powder, 75 g; orange flavor (Dragoco), 50 g; aspartame (Searle), 30 g.

MANUFACTURING DIRECTIONS

- Mix all components, and pass through a sieve.
- Press with high-compression force at a maximum of 30% of relative atmospheric humidity at 2.045 mg.

BETA-CAROTENE + VITAMIN C + VITAMIN E TABLETS (12 MG + 250 MG + 125 MG)

Formulation: Beta-carotene dry powder 10%, 125 g; ascorbic acid, crystalline (BASF), 125 g; sodium ascorbate, crystalline (BASF), 141 g; Vitamin E acetate dry powder SD 50, 250 g; (BASF) Ludipress® or Sorbitol, crystalline, 119 g; polyethylene glycol 6000, powder, 5 g; orange flavor (FDO), 15 g; Sodium cyclamate, 10 g.

MANUFACTURING DIRECTIONS

- Mix all components, pass through a sieve, and press with medium-compression force at 790 mg.

BETA CAROTENE + VITAMIN C + VITAMIN E TABLETS (7 MG + 60 MG + 25 MG)

Formulation: Betavit® dry powder 10% (BASF), 75 g; ascorbic acid, powder (BASF), 60 g; vitamin E acetate dry powder 50%, 50 g; sorbitol, crystalline, 240 g; Kollidon® CL, 30 g; magnesium stearate, 5 g.

MANUFACTURING DIRECTIONS

- Pass all components through a 0.8 mm sieve, mix, and then press with low-compression force at 497 mg.

BETA-CAROTENE, VITAMIN C, AND VITAMIN E TABLETS

Bill of Materials			
Scale (mg/tablet)	Item	Material Name	Quantity/1000 Tablets (g)
12.00	1	Beta-carotene (dry powder, 10% with excess)	125.00
125.00	2	Ascorbic acid (crystalline) (BASF)	125.00
141.00	3	Sodium ascorbate (crystalline) (BASF)	141.00
250.00	4	Vitamin E acetate (dry powder, SD 50)	250.00
119.00	5	Ludipress® or sorbitol (crystalline)	119.00
5.00	6	PEG-6000 (powder)	5.00
15.00	7	Orange flavor (FDO)	15.00
10.00	8	Sodium cyclamate	10.00

MANUFACTURING DIRECTIONS

- Mix all components, and pass through a sieve.
- Press with medium-compression force.
- Compress into 790 mg tablets, using 12 mm biplanar tablets.

BETA-CAROTENE, VITAMIN C, AND VITAMIN E TABLETS

Bill of Materials			
Scale (mg/tablet)	Item	Material Name	Quantity/1000 Tablets (g)
6.00	1	Beta-carotene; use Betavit® (dry powder, 10% with excess) (BASF)	65.00
100.00	2	Ascorbic acid (powder) (BASF)	100.00
60.00	3	Vitamin E acetate (dry powder, 50%)	60.00
369.00	4	Ludipress®	369.00
6.00	5	Magnesium stearate	6.00

MANUFACTURING DIRECTIONS

- Pass all components through a 0.8 mm sieve, and mix.
- Press with medium- or high-compression force.
- Compress into 790 mg tablets, using 12 mm biplanar tablets.

BETA-CAROTENE, VITAMIN C, AND VITAMIN E TABLETS

Bill of Materials			
Scale (mg/ tablet)	Item	Material Name	Quantity/ 1000 Tablets (g)
6.00	1	Beta-carotene; use Betavit® (dry powder, 10% with excess) (BASF)	65.00
100.00	2	Ascorbic acid (powder) (BASF)	100.00
60.00	3	Vitamin E acetate (dry powder, 50%)	60.00
233.00	4	Sorbitol (crystalline) (Merck)	233.00
30.00	5	Kollidon® VA 64	30.00
8.00	6	Kollidon® CL	8.00
4.00	7	Magnesium stearate	4.00

MANUFACTURING DIRECTIONS

1. Pass all components through a 0.8 mm sieve and mix.
2. Press with medium- or high-compression force.
3. Compress into 502 mg tablets, using 12 mm biplanar tablets.

BETA-CAROTENE, VITAMIN C, AND VITAMIN E TABLETS

Bill of Materials			
Scale (mg/ tablet)	Item	Material Name	Quantity/ 1000 Tablets (g)
7.00	1	Beta-carotene; use Betavit® (dry powder, 10% with excess) (BASF)	75.00
60.00	2	Ascorbic acid (powder) (BASF)	60.00
50.00	3	Vitamin E acetate (dry powder, 50%)	50.00
240.00	4	Sorbitol (crystalline)	240.00
30.00	5	Kollidon® CL	30.00
5.00	6	Magnesium stearate	5.00

MANUFACTURING DIRECTIONS

1. Pass all components through a 0.8 mm sieve and mix.
2. Press with low-compression force.
3. A colorant pigment should be added to obtain a homogeneous appearance of tablets.
4. Use 12 mm biplanar punches for 497 mg tablets.

BIRB 796 TABLETS (100 MG)

Bill of Materials			
Scale (mg/ tablet)	Item	Material Name	Quantity/ 1000 Tablets (g)
100.00	1	BIRB 796	100.00
200.00	2	β-cyclodextrin	200.00
225.00	3	Microcrystalline cellulose	225.00
165.00	4	Lactose	165.00
7.50	5	Colloidal silicon dioxide	7.50
30.00	6	Starch, pregelatinized	30.00
15.00	7	Sodium starch glycolate	15.00
7.50	8	Magnesium stearate	7.50

Note: Item 2 can be replaced with item 4 (a total of 365 mg of lactose).

MANUFACTURING DIRECTIONS

1. Load items 1 to 7 in a suitable mixer after passing through a 250 μm sieve; mix for 10 minutes.
2. Add item 8 and blend for 3 minutes.
3. Compress into 750 mg tablets, using a 15 mm biplanar punch.

BISACODYL DELAYED-RELEASE TABLETS

Bill of Materials			
Scale (mg/ tablet)	Item	Material Name	Quantity/ 1000 Tablets (g)
05.00	1	Bisacodyl	5.00
20.00	2	Cellulose (microcrystalline) (Avicel™ PH102)	20.00
45.27	3	Lactose (spray dried) ^a	45.27
04.00	4	Maize starch (dried) ^b	4.00
00.73	5	Magnesium stearate	0.73

^a Particle size distribution: minimum, 98% 250 μm, 30% to 60% 100 μm; maximum 15% 45 μm.

^b LOD NMT 4.5%, when dried at 120°C for 4 hours.

MANUFACTURING DIRECTIONS

Handle bisacodyl carefully; it can cause itching if it comes into contact with skin. Overmixing of lubricants reduces the hardness. Check the temperature and relative humidity of the room before beginning processing. Limit relative humidity to 50% to 60% and temperature to 27°C to 30°C.

1. Mix items 1 and 2 in a stainless steel drum for 2 to 3 minutes.
2. Pass the mixed powder through a 500 μm sieve using sifter.
3. Collect in stainless steel drum.
4. Pass item 3 through a 500 μm sieve using sifter.
5. Collect in stainless steel drum.

6. Load the sieved material into the drum mixer, and mix for 5 minutes.
7. Mix items 4 and 5 in a polyethylene bag for 1 minute.
8. Pass the mix through a 250 μm sieve.
9. Collect in a polyethylene bag.
10. Add 3 to 5 g powder to it, and mix for 1 minute.
11. Add this mixture, and mix for 1 minute in a drum blender.
12. Check the moisture content (limit: 1.0–1.5%).
13. Compress the granules using a rotary tableting machine; 6 mm biconvex tablets have an average weight of 750 mg and hardness of 4 to 5 kPa.
14. Apply enteric coating.

BISMUTH SUBSALICYLATE AND CALCIUM CARBONATE TABLETS

Formulation: Bismuth subsalicylate, 262.5 mg; microcrystalline cellulose, NF, 213.3 mg; calcium carbonate, 67.5 mg; mannitol, 67.5 mg; sodium starch glycolate, 40.5 mg; polyvinyl pyrrolidone, 13.5 mg; magnesium stearate, 5.4 mg; polysorbate 80, 3.4 mg; silica, 0.7 mg; dye, 0.7 mg. Total 675.0 mg.

MANUFACTURING DIRECTIONS

1. The ingredients are added to a mixer or granulator in the following order: part of microcrystalline cellulose, calcium carbonate, part of sodium starch glycolate, polysorbate 80, dye, and bismuth subsalicylate.
2. After adding bismuth subsalicylate and mixing at high shear, the mixture is dried at 86°C to less than 2% moisture.
3. Additional powders (microcrystalline cellulose, sodium starch glycolate, mannitol, and polyvinyl pyrrolidone) are added, and granules are formed by spraying water (approximately 10% by weight of the composition) onto the mixture under high shear.
4. After additional drying to less than 3% moisture, silica (glidant) and magnesium stearate (lubricant) are added and mixed for about 1 minute.
5. Caplets are then formed on a rotary tablet press.

BISMUTH SUBSALICYLATE SWALLOW TABLETS

Bill of Materials			
Scale (mg/ tablet)	Item	Material Name	Quantity/ 1000 Tablets (g)
262.50	1	Bismuth subsalicylate	262.50
213.30	2	Microcrystalline cellulose	213.30
67.50	3	Calcium carbonate	67.50
67.50	4	Mannitol	67.50
40.50	5	Sodium starch glycolate	40.50
13.50	6	Polyvinylpyrrolidone	13.50
5.40	7	Magnesium stearate	5.40
3.40	8	Polysorbate 80	3.40
0.70	9	Silica	0.70
0.70	10	Dye	0.70

MANUFACTURING DIRECTIONS

1. Mix the ingredients in a mixer in the following order: part of microcrystalline cellulose, calcium carbonate, part of sodium starch glycolate, polysorbate 80, dye, and bismuth subsalicylate.
2. After adding bismuth subsalicylate and mixing at high shear, dry the mixture at 86°C to less than 2% moisture.
3. Add additional powders (microcrystalline cellulose, sodium starch glycolate, mannitol, and polyvinylpyrrolidone), and form granules by spraying water (approximately 10% by weight of the composition) onto the mixture under high shear.
4. After additional drying to less than 3% moisture, add silica (glidant) and magnesium stearate (lubricant) and mix for about 1 minute.
5. Form caplets on a rotary tablet press.

BISOPROLOL FUMARATE AND HYDROCHLOROTHIAZIDE TABLETS

Each bisoprolol fumarate HCTZ 2.5 mg/6.25 mg tablet for oral administration contains bisoprolol fumarate 2.5 mg and hydrochlorothiazide 6.25 mg. Each bisoprolol fumarate HCTZ 5 mg/6.25 mg tablet for oral administration contains bisoprolol fumarate 5 mg and hydrochlorothiazide 6.25 mg. Each bisoprolol fumarate HCTZ 10 mg/6.25 mg tablet for oral administration contains bisoprolol fumarate 10 mg and hydrochlorothiazide 6.25 mg. Inactive ingredients include colloidal silicon dioxide, cornstarch, dibasic calcium phosphate, hydroxypropyl methylcellulose, magnesium stearate, microcrystalline cellulose, polyethylene glycol, polysorbate 80, and titanium dioxide. The 5 mg/6.25 mg tablet also contains red and yellow iron oxide. The 2.5 mg/6.25 mg tablet also contains crospovidone, pregelatinized starch, and yellow iron oxide.

BRAN SUCROSE GELATIN CALCIUM CARBONATE TABLETS

MANUFACTURING DIRECTIONS

1. Prepare gelatin-sucrose syrup by placing the following ingredients in a mixing kettle equipped with a heater and agitator: distilled water, 24,000.0 g; gelatin, 3,000.0 g; sucrose, granular, 31,995.0 g.
2. Heat the mixture up to about 150°F with agitation until solution is effected, and the gelatin-sucrose syrup is then slowly stirred and held at a temperature of about 150°F until needed.
3. Comminute wheat bran in a Schutz-O'Neill Airswept Pulverizer to provide a particle size whereby a minimum of 94% passes through a United States Standard 20 mesh screen and a maximum of 60% passes through a United States Standard 80 mesh screen. (The required amount of bran for the batch is calculated by the following formula: $44250 \text{ g} \times 100 / (100 - \text{percent moisture in bran})$.)

- After pulverizing, transfer the bran to a heavy-duty double sigma arm mixer and mix with 1500 g of calcium carbonate, and add the previously prepared gelatin-sucrose syrup rapidly thereto with stirring.
- When the bran appears to be damp, stir the mixture for a 30 minute period and then stop.
- Add powdered sucrose (16,600.0 g) and agitate the mixture for an additional 2 to 5 minutes.
- Discharge the wet mix through an Ambrette screw extruder and spread the extrudate on drying trays and dry in an oven at 225°F to 3% moisture content.
- Granulate the dried extrudate using a FitzMill (2A plate) and then press into 1 g tablets by a conventional tableting machine.

BRAN TABLETS

Bill of Materials			
Scale (mg/ tablet)	Item	Material Name	Quantity/ 1000 Tablets (g)
250.00	1	Bran wheat (milled <1 mm)	250.00
200.00	2	Ludipress®	200.00
5.00	3	Kollidon® 30	5.00
4.00	4	Aerosil® 200	4.00
4.00	5	Magnesium stearate	4.00

MANUFACTURING DIRECTIONS

- Mix all components, pass through a sieve, and press with medium-compression force.
- If the bran is not milled, the hardness of the tablet is higher, but the content uniformity is lower.
- Compress into 477 mg tablets, using 12 mm punches.

BROMHEXINE HYDROCHLORIDE TABLETS

Bill of Materials			
Scale (mg/ tablet)	Item	Material Name	Quantity/ 1000 Tablets (g)
8.00	1	Bromhexine HCl	8.00
78.00	2	Lactose monohydrate	78.00
30.40	3	Cornstarch	30.40
3.00	4	Gelatin (powder)	3.00
QS	5	Purified water	12.00
0.60	6	Magnesium stearate	0.60

MANUFACTURING DIRECTIONS

Note: The binding solution is susceptible to microbiological growth, and so prepare the solution immediately before the granulation process. Protect bromhexine HCl from light.

- Make slurry in a separate container by dissolving item 4 in hot item 5 (70–80°C).
- Mix for 10 minutes using stirrer at medium speed.
- Pass items 2, 1, and 3 through a 630 µm sieve using a sifter.
- Load the sieved material into the mixer.
- Mix, using mixer and chopper, for 5 minutes at high speed. Add binding solution to the dry powders in the mixer while mixing at low speed.
- After the addition is complete, mix for an additional 4 minutes at low speed or until a satisfactory mass is obtained.
- Spread the wet granules onto the trays.
- Load the trolleys into the drying oven.
- Dry the granules at 60°C for 10 hours.
- Turn the granules after 4 hours of drying in order to obtain uniform drying.
- Transfer the dried granules into stainless steel drums.
- Check moisture content (limit: NMT 2.0%).
- Pass the dried granules through first a 1.5 mm and then a 1.0 mm sieve using a granulator. Collect in stainless steel drums.
- Load the granules into the blender.
- Pass item 6 through a 250 µm sieve using a sifter, and add to the granules in blender; blend for 2 minutes.
- Compress the granules using a rotary tableting machine.
- Use a 7 mm flat, beveled edge punch to compress 1.20 g per tablet at a hardness of not less than (NLT) 3.0 kPa.

BROMAZEPAM TABLETS (3 MG)

Bill of Materials			
Scale (mg/ tablet)	Item	Material Name	Quantity/ 1000 Tablets (g)
3.00	1	Bromazepam	3.00
0.23	2	Aluminum lake erythrosine (19.4%) ^a	0.23
1.80	3	Talc	1.80
100.00	4	Microcrystalline cellulose (Avicel™ PH 102)	100.00
94.37	5	Lactose crystalline	94.37
0.60	6	Magnesium stearate	0.60

^a If a different dye is used, adjust the weight with lactose crystalline (item 5).

MANUFACTURING DIRECTIONS

- Place item 1 and 3% of item 5 in a mixer and mix for 10 minutes.
- Pass the mixture through an oscillating granulator with a 0.5 mm screen.

- Rinse the oscillator with 2% of item 5 and add it to the mixture in step 2.
- In a separate mixer, add item 2 (if used), item 3, and 5% of item 4, and then mix for 3 minutes.
- Pass the mixture in step 4 through a mill at medium speed.
- Transfer the mixture in steps 5 and 3 into an oscillating granulator, add the balance of item 5, add item 3, pass through a 0.5 mm sieve, and then mix for 1 hour.
- Transfer the mixture to a blender, add item 6, and blend for 30 minutes.
- Compress at 4 to 5 ton pressure into 200 mg tablets, using 9 mm × 2.5 mm cylindrical biplanar punches.

BROMHEXINE TABLETS (8 MG)

Bill of Materials

Scale (mg/ tablet)	Item	Material Name	Quantity/ 1000 Tablets (g)
8.00	1	Bromhexine hydrochloride	8.00
78.00	2	Lactose monohydrate	78.00
30.40	3	Starch (maize)	30.40
3.00	4	Gelatin	3.00
—	5	Water, purified, ca	120 mL
0.60	6	Magnesium stearate	0.60

MANUFACTURING DIRECTIONS

- Place item 4 in a suitable vessel, add item 5 at 70°C to 80°C to dissolve item 4, and mix for 10 minutes.
- Place items 1 to 3 in a suitable container after passing them through a 630 μm sieve. Mix and chop for 5 minutes.
- Add binding solution from step 1 to the mixer in step 2, and mix for 5 minutes at high speed and then slow speed until a suitable mass is obtained (add more of item 5 if needed).
- Spread the wet mass on trays and dry at 60°C for 10 hours, turning granules over every 4 hours until not more than 2% moisture remains.
- Pass the dried granules through a 1.5 mm sieve and then a 1.0 mm sieve.
- Pass item 6 through a 250 μm sieve, add to step 5, and blend for 2 minutes.
- Compress into 120 mg tablets, using 7 mm flat punches.

BROMOCRIPTINE TABLETS

Bill of Materials

Scale (mg/ tablet)	Item	Material Name	Quantity/ 1000 Tablets (g)
6.00	1	Bromocriptine mesylate, with excess	6.10
205.50	2	Ludipress®	205.50
2.20	3	Magnesium stearate	2.20

MANUFACTURING DIRECTIONS

- Mix all components, pass through a 0.8 mm sieve, and press with high-compression force.
- Compress to 214 mg tablets, using 9 mm biconvex punches.

BUFLOMEDIL HYDROCHLORIDE TABLETS (150 MG/300 MG)

Bill of Materials

Scale (mg/ tablet)	Item	Material Name	Quantity/ 1000 Tablets (g)
300.00	1	Bufloxedil hydrochloride	300.00
74.00	2	Lactose	74.00
14.00	3	Povidone K 29–32	14.00
2.00	4	Magnesium stearate	2.00
QS	5	Water, purified	55.00 mL

Note: For 150 mg strength, adjust all components proportionally.

MANUFACTURING DIRECTIONS

- Granulation
 - Dissolve povidone in purified water, using a glass or stainless steel vessel.
 - Pass through a 500 μm aperture screen and add bufloxedil hydrochloride and lactose. Load into a suitable planetary or ribbon mixer. Mix at 15 to 30 rpm for 10 minutes.
 - Granulate the mixed powders with povidone solution, adding 20 mL aliquots every 2 to 3 minutes, with a mixer speed of 30 rpm.
 - Stop the mixer and inspect the mass. Additional purified water may be added to complete the granulation.
 - Pass the wet mass through a suitable granulator fitted with a 2000 μm aperture stainless steel screen. Collect granules on paper-lined trays and spread out evenly, 1/2 to 1 in. (1–2.5 cm) deep.
 - Dry the granules in a hot air oven at 40°C for 3 hours or until the LOD is between 0.7 and 2.8%.

2. Lubrication
 - a. Pass the dry granules through a 100 μm aperture stainless steel screen and load into a cone or ribbon blender.
 - b. Mix the magnesium stearate with one scoopful of granules from the previous step and add to the bulk. Blend for 10 minutes at 20 to 30 rpm, and empty the blender into polyethylene-lined drums for compression.
3. Compression: The tablet can be compressed using 9.5 mm or 11.11 mm punches: 385.40 mg per tablet. The weight of a 150 mg tablet is 246 mg.
4. Coating: Use a clear CAP/Carbowax coating to control the release of the active ingredient. (See Appendix.)

BUFLOMEDIL HYDROCHLORIDE TABLETS (600 MG)

Bill of Materials

Scale (mg/ tablet)	Item	Material Name	Quantity/ 1000 Tablets (g)
600.00	1	Buflomedil hydrochloride	600.00
160.00	2	Sodium calcium alginate (Kelset)	160.00
30.00	3	Povidone K 29–32	30.00
QS	4	Water, purified, ca	300 mL
4.35	5	Magnesium stearate	4.35

MANUFACTURING DIRECTIONS

Caution: Wear a face mask and rubber gloves. When wet, alginate materials result in slippery surfaces—exercise care.

1. Granulation (standard method using planetary or horizontal mixer). (*Note:* Temperature of the water used should not exceed 30°C, so cool it if necessary.)
 - a. Pass any agglomerated materials through a 375 μm screen.
 - b. Load buflomedil, sodium alginate, sodium calcium alginate, and povidone into suitable mixing equipment. Blend for 10 minutes. Add while mixing 250 mL water over a period of 5 to 10 minutes and then mix for 5 minutes. Add additional water in small portions with mixing, until granulation is complete. Record the amount of water added. Stop mixing and allow the mixture to stand for approximately 5 minutes. (The granulation end point occurs when the mass is of a slightly wet but crumbly consistency. Avoid overwetting. The quantity of water and the mixing time must be sufficient to dissolve the povidone.)
 - c. Load granules onto paper-lined oven trays, and dry at 50°C until the LOD is 3% to 5% (IR balance or similar at 100°C for 15 minutes). The drying time is 5 to 8 hours depending on tray

loading. Should the LOD be above 5% at the completion of the drying period, increase the temperature of the drying oven to 60°C and continue until the LOD is satisfactory. It is important that you do not increase the temperature until the initial drying period is complete.

- d. After drying, screen granules through an 840 μm screen fitted on the oscillating granulator. Pack into tightly sealed polyethylene-lined drums and store in an air-conditioned area.
2. Lubrication
 - a. Blend magnesium stearate with a portion of granules and then screen through a 600 μm screen fitted to the oscillating granulator. Incorporate the remaining granules by serial dilution, mixing between additions. Do not overblend.
 3. Compression: Compress into oval-shaped tablets.
 4. Coating: Coat using Methocel coatings. (See Appendix.)

BUPROPION HYDROCHLORIDE TABLETS

Bill of Materials

Scale (mg/ tablet)	Item	Material Name	Quantity/ 1000 Tablets (g)
150.00	1	Bupropion hydrochloride	150.00
9.00	2	Kollidon® 90F	9.00
171.00	3	Purified water	171.00
3.20	4	Stearic acid	3.20

MANUFACTURING DIRECTIONS

1. First, dissolve povidone in water.
2. Place bupropion hydrochloride in the top spraying chamber of Glatt GPCG1 fluidized-bed apparatus. Spray the solution of povidone onto the active ingredient, with the following parameters: Air flow=100–110 m³/h, liquid flow=6–7 g/min, inlet temperature=65°C, and spraying pressure=2.8 bar.
3. Once the granulation is completed, pass granules through a sieve (1 mm mesh), and weigh, add, and blend stearic acid in a drum mixer (Turbula T2C, Bachofen, Switzerland). Press the resulting mixture into tablets (7 mm diameter and 7 mm curvature) with average hardness being between 60 and 120 N.
4. Coat the tablet cores (step 3) with the following formulation: tablet cores (step 3) 162.20 mg, Ethocel PR100 (ethyl cellulose) 7.05 mg, Kollidon® 90F (povidone USP) 7.05 mg, PEG 1450 2.10 mg, denatured alcohol 210.00 mg to give total dry weight of 178.40 mg.
5. Ethocel, povidone, and PEG 1450 are first dissolved in denatured alcohol. The coating solution is then sprayed onto the tablet cores in a coating pan (Vector LCDS), with the following spraying parameters: air flow=100–110 m³/h, liquid flow=6–7 g/min, inlet temperature=65°C, and spraying pressure=2.8 bar.

BUPROPION HYDROCHLORIDE TABLETS, WELLBUTRIN

Immediate-release tablets—Wellbutrin is supplied for oral administration as 75 mg (yellow-gold) and 100 mg (red) film-coated tablets. Each tablet contains the labeled amount of bupropion HCl and the following inactive ingredients: (a) 75 mg tablet—D&C Yellow No. 10 Lake, FD&C Yellow No. 6 Lake, hydroxypropyl cellulose, hydroxypropyl methylcellulose, microcrystalline cellulose, polyethylene glycol, talc, and titanium dioxide. (b) 100 mg tablet—FD&C Red No. 40 Lake, FD&C Yellow No. 6 Lake, hydroxypropyl cellulose, hydroxypropyl methylcellulose, microcrystalline cellulose, polyethylene glycol, talc, and titanium dioxide.

Sustained-release tablets—(a) Wellbutrin SR: Wellbutrin SR tablets are supplied for oral administration as 100 mg (blue) and 150 mg (purple), film-coated, sustained-release tablets. Each tablet contains the labeled amount of bupropion HCl and the following inactive ingredients: carnauba wax, cysteine hydrochloride, hydroxypropyl methylcellulose, magnesium stearate, microcrystalline cellulose, polyethylene glycol, and titanium dioxide and is printed with edible black ink. In addition, the 100 mg tablet contains FD&C Blue No. 1 Lake and polysorbate 80; the 150 mg tablet contains FD&C Blue No. 2 Lake, FD&C Red No. 40 Lake, and polysorbate 80. (b) Zyban: Zyban (bupropion HCl for smoking cessation) is supplied for oral administration as 150 mg (purple), film-coated, sustained-release tablets. Each tablet contains the labeled amount of bupropion HCl and the following inactive ingredients: carnauba wax, cysteine HCl, hydroxypropyl methylcellulose, magnesium stearate, microcrystalline cellulose, polyethylene glycol, polysorbate 80, and titanium dioxide and is printed with edible black ink. In addition, the 150 mg tablet contains FD&C Blue No. 2 Lake and FD&C Red No. 40 Lake.

BUPROPION HYDROCHLORIDE TABLETS

Bill of Materials

Scale (mg/ tablet)	Item	Material Name	Quantity/ 1000 Tablets (g)
75.00	1	Bupropion hydrochloride	100.00
68.50	2	Microcrystalline cellulose	68.50
6.90	3	Sodium starch glycolate	6.90
3.80	4	L-Cysteine hydrochloride	3.80
17.30	5	Talc	17.30
0.20	6	Silicon dioxide colloidal	0.20
—	7	Water, purified	8.00
—	8	Alcohol SD3A anhydrous	24.00

MANUFACTURING DIRECTIONS

1. Sift bupropion hydrochloride, microcrystalline cellulose, and sodium starch glycolate through a 30 mesh Russell-Finex sifter.

2. Blend the sifted items in 1 for 15 minutes in a slant-cone blender.
3. In a separate container, dissolve cysteine hydrochloride in purified water.
4. Add item 8 to step 3 and mix thoroughly.
5. Add to step 1 in a granulating vessel: make a wet mass, and dry granules in a fluid-bed dryer until the LOD is between 1% and 2%.
6. Sift dried granule through a 20 mesh Russell-Finex sifter.
7. Sift items 4 and 6 and blend with step 6.
8. Compress into 172.6 mg tablets, using round 7.8 mm punches.

BUPROPION TABLETS

Bill of Materials

Scale (mg/ tablet)	Item	Material Name	Quantity/ 1000 Tablets (g)
100.00	1	Bupropion hydrochloride uncoated	100.00
121.30	2	Pharmatose DCL	121.30
15.00	3	Methocel A4M	15.00
121.30	4	Pharmatose DCL21	121.30
27.00	5	Talc	27.00
0.70	6	Magnesium stearate	0.70
85.00	7	Kollidon® SR	85.00

MANUFACTURING DIRECTIONS

1. Mix, granulate, and compress into 334.00 mg tablets.

BUSPIRONE FAST-MELT TABLETS

Formulations: Mix buspirone, 8%; sodium bicarbonate, 25%; citric acid anhydrous, 25%; Avicel™ PH113, 12%; anhydrous lactose, 17%; xylitol, 11%; Crodesta F160, 2%.

MANUFACTURING DIRECTIONS

1. Dry all ingredients at 40°C to 60°C to significantly reduce the moisture content of each material.
2. Blend for 10 minutes and extrude in a hot melt extruder at 70°C to 100°C to soften and melt the thermal binders (sucrose stearate and xylitol) and to form granules containing the effervescent ingredients.
3. Mix BUS-EGF (20–80 mesh) 50%, microcrystalline cellulose (Avicel™ PH113) 31%, mannitol (Mannogen 3215) 10%, Ac-Di-Sol 5%, aspartame 3%, redberry flavor 0.4%, magnesium stearate 0.5, and fumed silicon dioxide 0.1%.

4. Screen and blend for 5 minutes prior to compression.
5. Compress buspirone tablets to a hardness of approximately 1–3 kPa. Tablets should disintegrate in water in approximately 15–35 seconds.

BUSPIRONE HYDROCHLORIDE TABLETS, BUSPAR

Buspar is supplied for oral administration in 5 mg and 10 mg, white, ovoid-rectangular, scored tablets. Buspar tablets, 5 mg and 10 mg, contain the following inactive ingredients: colloidal silicon dioxide, lactose, magnesium stearate, microcrystalline cellulose, and sodium starch glycolate.

BUSPIRONE HYDROCHLORIDE TABLETS

Bill of Materials

Scale (mg/ tablet)	Item	Material Name	Quantity/ 1000 Tablets (g)
15.00	1	Buspirone hydrochloride	15.00
7.00	2	Polyvinylpyrrolidone	7.00
1.50	3	Silicon dioxide	1.50
150.00	4	Lactose	150.00
1.50	5	Glyceryl behenate	1.50
	6	Water QS	

MANUFACTURING DIRECTIONS

1. Place buspirone and lactose in a fluidized-bed apparatus.
2. Spray an aqueous PVP solution (in 85 g of water) to get granules.
3. Dry the granules thus obtained and pass through a sieve (1 mm mesh), and weigh, add, and blend glyceryl behenate in a drum mixer.
4. Press the resulting mixture 175 mg tablets.
5. Coat these tablet cores with the following formulation: ethyl cellulose 10.00, hydroxypropyl cellulose 10.00, stearic acid 2.00, and alcohol 188.00 g.
6. Dissolve ethocel, povidone, and stearic acid in denatured alcohol (188 g). Spray the coating solution onto the tablet cores in a coating pan.

BUSPIRONE HYDROCHLORIDE TABLETS, CONTROLLED-RELEASE (30 MG)

Bill of Materials

Scale (mg/ tablet)	Item	Material Name	Quantity/ 1000 Tablets (g)
30.00	1	Buspirone hydrochloride	30.00
120.00	2	Polyvinyl chloride	120.00
11.00	3	Polyvinyl acetate C10-V7	11.00
1.60	4	Magnesium stearate	1.60
—	5	Alcohol	QS

MANUFACTURING DIRECTIONS

1. Dry mix buspirone hydrochloride with polyvinyl chloride.
2. Granulate the powder mixture with a solution of polyvinyl acetate in ethanol.
3. Mill dried granules and compress into 7 mm round tablets (162.60 mg).

CARBENOXOLONE TABLETS

Formulations: Carbenoxolone sodium, 20 mg; mannitol, 400 mg; alginate, 200 mg; sodium alginate, 200 mg; aluminum hydroxide, dried gel, 80 mg; sodium bicarbonate, 70 mg; magnesium trisilicate, 20 mg; magnesium stearate, 12 mg; gum acacia, 35 mg; peppermint oil, 2 mg. Total 1039 mg.

CAFFEINE TABLETS

Bill of Materials

Scale (mg/ tablet)	Item	Material Name	Quantity/ 1000 Tablets (g)
150.00	1	Caffeine powder	150.00
36.00	2	Cellulose (microcrystalline) (Avicel™ PH-102)	36.00
46.00	3	Anhydrous lactose	46.00
48.50	4	Di-Pac granular	48.50
3.00	5	Croscarmellose sodium (Ac-Di-Sol SD-711)	3.00
1.50	6	Fumed silica	1.50
0.75	7	Stearic acid	0.75
0.75	8	Magnesium stearate	0.75
1.20	9	Flavor	1.20

MANUFACTURING DIRECTIONS

1. Screen items 1, 7, and 8 separately through a 40 mesh sieve.
2. Blend items 1 to 6 and 9 in a V-shaped blender, and mix for 3 minutes.
3. Add item 8 to the blender and mix for another 5 minutes.
4. Compress, using 7 kg pressure and 3/8 in., flat, beveled-edge punches to produce tablets with an average weight of 311 mg.

CALCIUM AND VITAMIN D TABLETS

Bill of Materials			
Scale (mg/ tablet)	Item	Material Name	Quantity/ 1000 Tablets (g)
500.00	1	Anhydrous calcium phosphate (dibasic)	500.00
133 IU	2	Vitamin D (as vitamin D3) (3.33 µg/tablet)	3.33 mg
15.00	3	Starch (pregelatinized, NF)	15.00
55.00	4	Cellulose (microcrystalline, NF)	55.00
6.00	5	Magnesium stearate, NF	6.00
5.00	6	Talc (powder), USP	5.00
12.00	7	Wax (hydrogenated vegetable oil) (Sterotex K)	12.00
15.50	8	Sodium starch glycolate, NF	15.50

MANUFACTURING DIRECTIONS

1. Pass one half of the dibasic calcium phosphate through a mesh screen into a blender.
2. Premix by hand the pregelatinized starch with vitamin D3 beadlets in a suitable container, and sift through a mesh screen into the blender.
3. Pass the microcrystalline cellulose and the remaining calcium phosphate through a mesh screen into the blender.
4. Mix for 20 minutes.
5. Discharge approximately one-third of the granulation into polyethylene-lined drums.
6. Mix the magnesium stearate, talc, hydrogenated vegetable oil wax, and sodium starch glycolate.
7. Mill through a 40 mesh screen into the blender.
8. Return granulation from step 5 to the blender. Blend together.
9. Compress.

CALCIUM CARBONATE AND GLYCINE TABLETS

Bill of Materials			
Scale (mg/ tablet)	Item	Material Name	Quantity/ 1000 Tablets (g)
400.00	1	Calcium carbonate (precipitated)	400.00
200.00	2	Glycine (aminoacetic acid)	200.00
QS	3	Starch	QS
6.50	4	Mineral oil (light)	6.50
QS	5	Purified water	QS

MANUFACTURING DIRECTIONS

1. Add starch to a planetary mixer, and add 10 times the quantity of purified water.
2. Heat to boil with constant stirring until a thick, translucent white paste is formed. Use this paste in granulation.
3. Place calcium carbonate and glycine in a sigma-blade or a planetary mixer, and mix for 10 minutes.
4. Granulate this powder with the starch paste until a suitable mass is obtained.
5. Force the wet mass through a 12 mesh screen onto dryer trays.
6. Dry in an air-forced oven at 130°F to 140°F or in a fluid-bed dryer.
7. Pass the dried granules through a 12 mesh screen, then through an 18 mesh screen.
8. Pass the granules over a 30 mesh screen, remove the portion passing through the screen, and regranulate.
9. Place the particles retained on 30 mesh screen in a tumble mixer, add mineral oil, and mix for 8 minutes.
10. Compress into 640 mg tablets, using 7/16 in. punches.

CALCIUM CARBONATE AND VITAMIN D TABLETS

Bill of Materials			
Scale (mg/ tablet)	Item	Material Name	Quantity/ 1000 Tablets (g)
600.00	1	Calcium (elemental); use calcium carbonate (90%) for direct compression	1665.00
0.235	2	Vitamin D3 (200.00 IU); use vitamin D3 beadlets	0.282
4.16	3	Magnesium stearate	4.16
83.25	4	Sodium starch glycolate	83.25

MANUFACTURING DIRECTIONS

1. Make a premix of vitamin D3 successively in three portions of calcium carbonate (total amount equivalent to ~3% of total calcium carbonate), using geometric dilution.
2. Mix for 10 minutes each time (total time: 30 minutes).
3. Add the premix to the sodium starch glycolate. Mix for 10 minutes.
4. Set the blend aside, protected from light, until the next step.
5. Pass the magnesium stearate through a 420 µm aperture screen, if required, and blend it with another portion of calcium carbonate (~10% of total calcium carbonate).
6. Mix for 5 minutes. Set aside.
7. Add the blended material to the balance of the calcium carbonate. Mix for 10 minutes.

8. Add the premix to blend from above. Mix for 5 minutes.
9. Compress on specially shaped, 0.8100×0.3700 in., ovaloid, bisected punches with a monogram on one side.
10. Theoretical weight of 10 tablets = 17.527 g.
11. Coat using one of the HPMC formulae (see Appendix).

CALCIUM CARBONATE CHEWABLE TABLETS

Formulations: Granulated calcium carbonate (93.3% calcium carbonate, 6.3% glucose, and 0.4% gelatin), 42.87%; magnesium stearate, 2.50%; colored speckles, 0.75%; flavorants, 0.78%; MPD (3(1-menthoxy) propane-1,2-diol), 0.07%; WS-3 (methyl-*p*-menthane-3-carboxamide), 0.05%; aspartame, 0.198%; sodium saccharin, 0.102%; mannitol, QS.

MANUFACTURING DIRECTIONS

1. Dry blend the ingredients in a mixer until homogeneous and then, direct compress in a tableting machine to approximately 8.5 Strong Cobb units hardness to produce chewable antacid tablets each weighing 1.25 g (500 mg calcium carbonate per tablet).
2. These tablets may also be prepared by using granulated calcium carbonate, which is a 50/50 coblend of calcium carbonate/mannitol.

CALCIUM CARBONATE TABLETS

Bill of Materials			
Scale (mg/ tablet)	Item	Material Name	Quantity/ 1000 Tablets (g)
500.00	1	Calcium carbonate (precipitated)	500.00
65.00	2	Kollidon® 30	65.00
97.00	3	Water	97.00
32.00	4	Kollidon® CL	32.00
53.00	5	Ludipress®	53.00

MANUFACTURING DIRECTIONS

1. Granulate mixture of items 1 and 2 with the water (item 3).
2. Pass through a 0.8 mm sieve, mix the dry granules with items 4 and 5, and press with low-compression force.
3. Fill 656 mg into 12 mm planar punches.

CALCIUM CHEWABLE TABLETS (200 MG CA)

Formulation: Calcium gluconate (Merck), 845.0 g; calcium citrate (Merck), 500.0 g; Ludipress® LCE, 297.5 g; citric acid anhydrous, fine granular, 100.0 g; polyethylene glycol 6000, powder, 80.0 g; orange flavor (Dragoco), 30.0 g; Aerosil® 200, 17.0 g; aspartame, potassium (Searle), 5.0 g.

MANUFACTURING DIRECTIONS

1. Pass all components through a 0.8 mm sieve, mix, and press with high-compression force at 2417 mg.

CALCIUM D-PANTOTHENATE CHEWABLE TABLETS

Bill of Materials			
Scale (mg/ tablet)	Item	Material Name	Quantity/ 1000 Tablets (g)
600.00	1	Calcium D-pantothenate (BASF)	610.00
150.00	2	Sorbitol (crystalline)	150.00
140.00	3	Avicel™ PH101	140.00
30.00	4	Kollidon® CL	30.00
50.00	5	PEG-6000 (powder)	50.00
QS	6	Flavors	QS

MANUFACTURING DIRECTIONS

1. Pass all components through a 0.8 mm sieve, mix, and press with low-compression force.
2. Compress into 987 mg tablets, using 12 mm biplanar punches.
3. Kollidon® CL may be omitted and the tablet weight adjusted.

CALCIUM D-PANTOTHENATE TABLETS

Bill of Materials			
Scale (mg/ tablet)	Item	Material Name	Quantity/ 1000 Tablets (g)
100.00	1	Calcium D-pantothenate (BASF)	100.00
150.00	2	Ludipress®	150.00
10.00	3	Kollidon®	10.00
3.00	4	Magnesium stearate	3.00

MANUFACTURING DIRECTIONS

1. Mix all components, pass through a 0.8 mm sieve.
2. Press into 252 mg tablets using medium-compression force and biplanar 8 mm punches.

CALCIUM D-PANTOTHENATE TABLETS

Bill of Materials			
Scale (mg/ tablet)	Item	Material Name	Quantity/ 1000 Tablets (g)
280.00	1	Calcium D-pantothenate (BASF)	285.00
50.00	2	Avicel™ PH101	50.00
150.00	3	Dibasic calcium phosphate	150.00
20.00	4	Kollidon® CL	20.00
3.00	5	Stearic acid	3.00
3.00	6	Magnesium stearate	3.00

MANUFACTURING DIRECTIONS

- Mix all components, and pass through a 0.8 mm sieve.
- Press into 518 mg tablets using medium-compression force and 12 mm biplanar punches.

CALCIUM EFFERVESCENT TABLETS

Bill of Materials			
Scale (mg/ tablet)	Item	Material Name	Quantity/ 1000 Tablets (g)
634.00	1	Calcium lactate	634.00
610.00	2	Calcium gluconate	610.00
185.21	3	Calcium carbonate	185.21
400.00	4	Sodium bicarbonate	400.00
468.25	5	Tartaric acid	468.25
46.25	6	Povidone (Kollidon® 30)	46.25
11.75	7	Povidone (Kollidon® 30)	11.75
QS	8	Isopropyl or ethyl alcohol (96%)	QS
97.50	9	Crospovidone (Kollidon® CL)	97.50
46.25	10	PEG-6000	46.25
QS	11	Flavor	QS

MANUFACTURING DIRECTIONS

- Granulate items 1 to 6 in a solution of items 7 and 8.
- Dry, sieve, and mix well with items 9 to 11.
- Compress at low pressure to form 2.5 g tablets, 20 mm in diameter.

CALCIUM GLUCONATE TABLETS

Bill of Materials			
Scale (mg/ tablet)	Item	Material Name	Quantity/ 1000 Tablets (g)
350.00	1	Calcium gluconate (powder)	360.00
117.00	2	Lactose monohydrate	117.00
11.00	3	Kollidon® 30	11.00
QS	4	Isopropanol	90.00
25.00	5	Kollidon® CL	25.00
2.00	6	Magnesium stearate	2.00

MANUFACTURING DIRECTIONS

- Granulate mixture of items 1 to 3 with item 4.
- Dry, pass through a 0.8 mm sieve, and mix with items 5 and 6.
- Press into 500 mg tablets using high-compression force and 12 mm biplanar punches.

CALCIUM GLYCEROPHOSPHATE TABLETS

Bill of Materials			
Scale (mg/ tablet)	Item	Material Name	Quantity/ 1000 Tablets (g)
500.00	1	Calcium glycerophosphate	500.00
117.50	2	Cornstarch	117.50
15.00	3	Kollidon® 90F	15.00
60.00	4	Water	60.00
15.00	5	Kollidon® CL	15.00
2.50	6	Magnesium stearate	2.50

MANUFACTURING DIRECTIONS

- Granulate items 1 to 3 with item 4; dry, sieve, and mix with items 5 and 6.
- Press into 650 mg tablets using medium- to high-compression force and 12 mm biplanar punches.

CALCIUM GLYCEROPHOSPHATE TABLETS

Bill of Materials			
Scale (mg/ tablet)	Item	Material Name	Quantity/ 1000 Tablets (g)
200.00	1	Calcium glycerophosphate	200.00
297.50	2	Ludipress®	297.50
2.50	3	Magnesium stearate	2.50
QS	4	Aerosil® 200	QS

MANUFACTURING DIRECTIONS

1. Pass all components through a 0.8 mm sieve, and mix.
2. Press into 470 mg tablets using high-compression force and 12 mm biplanar punches.

CALCIUM GLYCEROPHOSPHATE TABLETS (200 MG)

Formulation: Calcium glycerophosphate, 200.0 g; Ludipress®, 297.5 g; magnesium stearate, 2.5 g; Aerosil® 200, QS.

MANUFACTURING DIRECTIONS

1. Pass all components through a 0.8 mm sieve, mix, and press with high-compression force at 470 mg.

CALCIUM PHOSPHATE TABLETS FOR CATS AND DOGS

Bill of Materials			
Scale (mg/ tablet)	Item	Material Name	Quantity/ 1000 Tablets (g)
400.00	1	Dicalcium phosphate	400.00
100.00	2	Wheaten flour	100.00
1.00	3	Citric acid crystalline	1.00
262.00	4	Lactose monohydrate	262.00
QS	5	Flavors	QS
30.00	6	Kollidon® 30F	30.00
150.00	7	Water	150.00 mL
4.00	8	Magnesium stearate	4.00

MANUFACTURING DIRECTIONS

1. Granulate items 1 to 6 in item 7, dry, add item 8, and pass through a 0.8 mm sieve.
2. Compress 800 mg tablets, using 12 mm biplanar punches.

CALCIUM PHOSPHATE TABLETS FOR CATS AND DOGS (DIRECT COMPRESSION)

Bill of Materials			
Scale (mg/ tablet)	Item	Material Name	Quantity/ 1000 Tablets (g)
400.00	1	Dicalcium phosphate	400.00
100.00	2	Wheaten flour	100.00
1.00	3	Citric acid crystalline	1.00
272.00	4	Lactose monohydrate	272.00
QS	5	Flavors	QS
20.00	6	Kollidon® 90F	20.00
4.00	7	Magnesium stearate	4.00

MANUFACTURING DIRECTIONS

1. Pass all components through a 0.8 mm sieve, and mix.
2. Press with medium- to high-compression force (20 kN).
3. Compress into 800 mg tablets, using 12 mm biplanar punches.

CAPTOPRIL TABLETS (25 MG), CAPOTEN

Capoten is available in potencies of 12.5, 25, 50, and 100 mg as scored tablets for oral administration. Inactive ingredients include microcrystalline cellulose, cornstarch, lactose, and stearic acid.

CAPTOPRIL TABLETS

Bill of Materials			
Scale (mg/ tablet)	Item	Material Name	Quantity/ 1000 Tablets (g)
25.00	1	Captopril	25.00
91.00	2	Ludipress®	91.00
2.00	3	Kollidon® CL	2.00
2.00	4	Magnesium stearate	2.00

MANUFACTURING DIRECTIONS

1. Mix all components, pass through a 0.8 mm sieve, and press with medium-compression force to meet the following specifications.
2. Compress into 122 mg tablets, using 8 mm biplanar punches.

CARBAMAZEPINE TABLETS (200 MG)

Bill of Materials			
Scale (mg/ tablet)	Item	Material Name	Quantity/ 1000 Tablets (g)
200.000	1	Carbamazepine	208.00
25.880	2	Microcrystalline cellulose (Avicel PH 101)	25.880
9.000	3	Croscarmellose sodium (Ac-Di-Sol)	9.000
1.520	4	Carboxymethyl cellulose sodium (CMC sodium)	1.520
1.500	5	Poloxyl 40 stearate	1.500
0.500	6	Colloidal silicon dioxide (Aerosil® 200)	0.500
6.000	7	Sodium starch glycolate (Primojel®)	6.000
7.000	8	Croscarmellose sodium (Ac-Di-Sol)	7.000
0.600	9	Magnesium stearate	0.600
—	10	Purified water	104.000

Carbamazepine 8.0 mg/tablet added to compensate the assay (98.0–102.0%) and LOD of the material.

MANUFACTURING DIRECTIONS

Note: Avoid overmixing lubricants; otherwise, hardness is reduced. *Critical note:* Hardness is critical for this product. Increasing or decreasing hardness from the specified limit will affect the dissolution.

- Sieving and dry mixing: Sift items 1 to 3 through a 630 μm stainless steel sieve in the sifter. Load into the mixer. Mix for 5 minutes at low speed.
- Preparation of the binder: Dissolve item 5 in 104 g of item 10 ((55–65°C). Cool to 30°C. Dissolve item 4 while stirring with a stirrer. Check the weight (theoretical weight: 107.02 g).
- Kneading
 - Knead the powder mix with the binding solution at a rate of 28 to 32 g/min while mixing at low speed. Scrape sides and blades. Mix and chop at low speed for 2 minutes. Check the end point of granulation, consisting of free-flowing granules with little lumps. If required, add more purified water to get to the end point.
 - Sift the granules in the granulator through a 3.5 mm stainless steel sieve, and follow by sifting through a 1 mm stainless steel sieve.
 - Unload the wet granules into stainless steel trays for drying.
- Drying
 - Dry the wet granules in an oven at 55°C for 8 hours.
 - Check the LOD (limit: 0.5% to 1%).
 - If required, dry further at 55°C for 1 hour.
- Grinding and lubrication
 - Grind the dried granules through a 1 mm sieve using a granulator at medium speed. Collect in stainless steel drums. Load the granules into a drum blender.
 - Sift items 6 to 8 through a 500 μm sieve, using a sifter, and add it to the drum blender. Mix for 2 minutes.
 - Sift item 9 through a 250 μm sieve. Add 4 to 8 g granules from the bulk (step 5a). Mix in a polyethylene bag for 1 minute. Add to blender and blend for 1 minute.
 - Unload in stainless steel drums. Check and record the weight of the granules (theoretical weight: 260 g).
- Compression
 - Check temperature and humidity before starting compression.
 - Limits are that the temperature should not exceed 27°C, and the recommended relative humidity is 55% to 60%.
 - Compress the granules using a rotary tableting machine. At 9 mm, the weight of 10 caplets is 2.6 g \pm 2%.

CARBAMAZEPINE TABLETS (200 MG)

Bill of Materials

Scale (mg/ tablet)	Item	Material Name	Quantity/ 1000 Tablets (g)
200.00	1	Carbamazepine	200.00
300.00	2	Ludipress®	300.00
2.00	3	Magnesium stearate	2.00

MANUFACTURING DIRECTIONS

- Mix all components, pass through a 0.8 mm sieve, and press with low-compression force.
- Compress into 496 mg tablets, using 12 mm biplanar punches.

CARBETAPENTANE TANNATE AND CHLORPHENIRAMINE TANNATE TABLETS

Bill of Materials

Scale (mg/ tablet)	Item	Material Name	Quantity/ 1000 Tablets (g)
60.00	1	Carbetapentane tannate	60.00
5.00	2	Chlorpheniramine tannate	5.00
65.00	3	Starch	65.00
150.00	4	Methyl cellulose	150.00
32.00	5	Polygalacturonic acid	32.00
65.00	6	Dibasic calcium phosphate dehydrate	65.00
25.00	7	Povidone	25.00
5.40	8	Talc	5.40
3.93	9	FD&C Red 40 Aluminum Lake 40%	3.93
1.00	10	D&C Blue No. 1 Aluminum Lake 29%	1.00
4.00	11	Magnesium stearate	4.00
QS	12	Alcohol denatured 190 proof	QS

CARBIDOPA AND LEVODOPA TABLETS SINEMET

The inactive ingredients are cellulose, magnesium stearate, and starch. Tablets Sinemet 10–100 and 25–250 also contain FD&C Blue No. 2. Tablets Sinemet 25–100 also contain D&C Yellow No. 10 and FD&C Yellow. Sinemet CR (carbidopa–levodopa) is a sustained-release combination of carbidopa and levodopa for the treatment of Parkinson's disease and syndrome. The inactive ingredients in Sinemet CR 50–200 are D&C Yellow No. 10, magnesium stearate, iron oxide, and other ingredients. Inactive ingredients in Sinemet CR 25–100 are magnesium stearate, red ferric oxide, and others. The Sinemet CR tablet is a polymeric-based drug delivery system that controls the release of carbidopa and levodopa as it slowly erodes. Sinemet CR 25–100 is available to facilitate titration and as an alternative to the half-tablet of Sinemet CR 50–200.

CARBIDOPA AND LEVODOPA TABLETS

Bill of Materials

Scale (mg/ tablet)	Item	Material Name	Quantity/ 1000 Tablets (g)
25.00	1	Carbidopa	25.00
100.00	2	Levodopa	100.00
224.00	3	Microcrystalline cellulose (Avicel™ PH 101)	224.00
15.00	4	Croscarmellose sodium	15.00
3.00	5	Silicon dioxide colloidal	3.00
3.00	6	Magnesium stearate	3.00
50.00	7	Carbidopa	50.00
200.00	8	Levodopa	200.00
80.00	9	Methocel E4M premium CR	80.00
61.00	10	Microcrystalline cellulose	61.00
2.00	11	Silicon dioxide colloidal	2.00
2.00	12	Magnesium stearate	2.00

MANUFACTURING DIRECTIONS

1. This is a bilayer or two-compartment tablet consisting of a core layer of sustained-release carbidopa–levodopa overcoated with a layer of immediate-release carbidopa–levodopa.
2. Separately blend the core ingredients (items 7–10) (and the outer layer [items 1–4] ingredients), compress to produce core tablets, and then overcoat with the compressed outer-layer blend using a suitable coating press.

CARBINOXAMINE MALEATE, PHENYLPROPANOLAMINE, AND ACETAMINOPHEN SUSTAINED-RELEASE TABLETS

Bill of Materials

Scale (mg/ tablet)	Item	Material Name	Quantity/ 1000 Tablets (g)
5.00	1	Carbinoxamine maleate	5.00
75.00	2	Phenylpropanolamine hydrochloride	75.00
50.00	3	Acetaminophen	50.00
143.35	4	Sucrose and maize starch microgranules	143.35
6.34	5	Polyvinylpyrrolidone (PVP)	6.34
0.01	6	Dye	0.01
0.075	7	Dye	0.075
0.025	8	Dye	0.025
23.99	9	Talc	23.99

MANUFACTURING DIRECTIONS

Note: This product requires separate preparation of microgranules for each active ingredient. This preparation requires

a coating pan equipped with air suction and hot air heating system, mixer, automatic airless pump with a spray gun, vibrating sieve, and capsule-filling machine with triple-feed microgranular system.

1. Place the neutral microgranules in the coating pan; prepare a 20% solution of PVP.
2. Maintain the temperature of microgranules at $20 \pm 2^\circ\text{C}$.
3. Using the pump, apply the solution of PVP, and then project the active ingredient onto the microgranules with a plastic scoop until they are dry.
4. Repeat these operations until all the active ingredients have been incorporated.
5. Sieve the microgranules with a 1.11 mm sieve.
6. Dry the microgranules at $30 \pm 5^\circ\text{C}$ for 3 hours.
7. Prepare a 40% solution of shellac in alcohol and the required quantity of talc.
8. Apply the shellac solution, maintaining a microgranule temperature of $20 \pm 2^\circ\text{C}$, and add talc simultaneously.
9. Sieve the microgranules through a 1.18 mm sieve.
10. Dry the microgranules at 18°C to 23°C for 8 hours. Store until used.
11. Test for dissolution and rework if necessary.

CARBONYL IRON, COPPER SULFATE, AND MANGANESE SULFATE TABLETS

Bill of Materials

Scale (mg/ tablet)	Item	Material Name	Quantity/ 1000 Tablets (g)
24.00	1	Carbonyl iron (BASF)	24.00
0.16	2	Copper sulfate	0.16
3.50	3	Manganese sulfate	3.50
100.00	4	Ludipress®	100.00
2.00	5	Magnesium stearate	2.00

MANUFACTURING DIRECTIONS

1. Pass all components through a 0.5 mm sieve, and mix.
2. Press into 131 mg tablets using medium-compression force and 8 mm biplanar punches.

CARISOPRODOL TABLETS SOMA

Soma tablets are available as 350 mg round, white tablets. Carisoprodol is present as a racemic mixture. Other ingredients include alginic acid, magnesium stearate, potassium sorbate, starch, and tribasic hydrogen phosphate.

CARVEDILOL TABLETS COREG

Coreg (carvedilol) is a white, oval, film-coated tablet containing 3.125, 6.25, 12.5, or 25 mg of carvedilol. The 6.25, 12.5, and 25 mg tablets are Tiltab® tablets. Inactive ingredients consist

of colloidal silicon dioxide, crospovidone, hydroxypropyl methylcellulose, lactose, magnesium stearate, polyethylene glycol, polysorbate 80, povidone, sucrose, and titanium dioxide.

CARVEDILOL TABLETS

Bill of Materials			
Scale (mg/ tablet)	Item	Material Name	Quantity/ 1000 Tablets (g)
25.00	1	Carvedilol	25.00
25.00	2	Saccharose	25.00
28.00	3	Lactose monohydrate	28.00
1.78	4	Polyvinylpyrrolidone 25 K	1.78
20.17	5	Polyvinylpyrrolidone cross-linked	20.17
10.00	6	Microcrystalline cellulose	10.00
5.32	7	Silicon dioxide colloidal	5.32
2.17	8	Magnesium stearate	2.17
—	9	Purified water	115.00

MANUFACTURING DIRECTIONS

- Place the following in a mixing vessel: item 3 sieved, item 2 (half), and item 4; add and mix item 9, and then mix by stirring for 30 minutes.
- Add item 7 and item 1, and stir for another 30 minutes until a homogeneous suspension is obtained.
- Pass the suspension in step 2 through a colloid mill, and keep circulating.
- Add items 2 and 5 to a fluid-bed dryer, and then pour the suspension in step 3 to obtain dry granules.
- Sieve the granules through a 1.2 mm mesh sieve.
- Lubricate granules and compress.

CEFADROXIL DISPERSIBLE TABLETS (250 MG)

Bill of Materials			
Scale (mg/ tablet)	Item	Material Name	Quantity/ 1000 Tablets (g)
250.00	1	Cefadroxil; use cefadroxin anhydrous	268.65
2.00	2	PVP potassium 30	2.00
—	3	Isopropyl alcohol	10.80
77.00	4	Lactose monohydrate	77.00
93.50	5	Starch (maize)	93.50
13.00	6	Aspartame	13.00
1.50	7	Aerosil® 200	1.50
0.45	8	Methylparaben	0.45
0.05	9	Propylparaben	0.05
4.00	10	Starch (maize)	4.00
5.00	11	Magnesium stearate	5.00
5.00	12	Talc	5.00
QS	13	Water, purified	QS

MANUFACTURING DIRECTIONS

- Mix items 2 and 3, and prepare a binding solution.
- Sift item 1 through a 250 µm sieve.
- Add step 1 into step 2, and prepare a wet mass.
- Spread granules on trays, and dry in a dehumidified room.
- Pass dried granules through a 595 µm sieve.
- Prepare a paste of item 5 using purified water.
- Sift items 4 and 6 into 9 through a 250 µm sieve. Mix for 15 minutes.
- Add the paste from step 6, and mix until a wet mass is obtained without lumps.
- Dry the granules obtained in step 8 in a fluid-bed dryer at 50°C for 2 hours.
- Mix granules from steps 5 and 9, and load into a tumble mixer.
- Sift items 10 to 12 through a 250 µm sieve, add to step 10, and blend for 2 minutes.
- Compress into 630 mg tablets, using 11.3 mm punches.

CEFDINIR TABLETS (300 MG)

Bill of Materials			
Scale (mg/ tablet)	Item	Material Name	Quantity/ 1000 Tablets (g)
300.00	1	Cefdinir bulk powder	306.80
29.20	2	Microcrystalline cellulose (Avicel™ PH 101)	29.20
29.20	3	L-HPC (LH-21, Shin-Etsu Chemical)	29.20
3.70	4	Polyvinylpyrrolidone (Kollidon® 30)	3.70
0.90	5	Silicic acid light anhydrous (Aerosil® 200)	0.90
4.40	6	Magnesium stearate	4.40
15.00	7	Saccharin sodium	15.00
5.60	8	Strawberry flavor	5.60

MANUFACTURING DIRECTIONS

- Load items 1 to 4 after passing through a 250 µm mesh into a mixing vessel. Mix for 10 minutes.
- Add items 5 to 8, one at a time, and blend for 1 minute each time.
- Compress 395 to 400 mg.

CEFIXIME AND AMOXICILLIN TABLETS

Bill of Materials			
Scale (mg/ tablet)	Item	Material Name	Quantity/ 1000 Tablets (g)
100.00	1	Cefixime	100.00
250.00	2	Amoxicillin	250.00
90.00	3	Microcrystalline cellulose	90.00
8.00	4	Hydroxypropyl cellulose	8.00
2.00	5	Magnesium stearate	2.00

MANUFACTURING DIRECTIONS

1. Thoroughly blend cefixime, amoxicillin, microcrystalline cellulose, and hydroxypropyl cellulose, and granulate the mixture.
2. Vacuum-dry the granules at 40°C and subject to grain size adjustment on a duplex sieve.
3. Add magnesium stearate to these granules, and compress the resulting mixture.
4. Coat the tablets with the coating solution (hydroxypropyl methylcellulose 10 mg in water) at a feed air temperature of 55°C and an exhaust gas temperature of 40°C.

CEFIXIME TABLETS (400 MG)

Bill of Materials			
Scale (mg/ tablet)	Item	Material Name	Quantity/ 1000 Tablets (g)
400.00	1	Cefixime bulk powder	448.90
38.90	2	Microcrystalline cellulose (Avicel™ PH 101)	38.90
38.90	3	L-HPC (LH-21, Shin-Etsu Chemical)	38.90
4.90	4	Polyvinylpyrrolidone (Kollidon® 30)	4.90
1.20	5	Silicic acid light anhydrous (Aerosil® 200)	1.20
5.90	6	Magnesium stearate	5.90
20.00	7	Saccharin sodium	20.00
7.50	8	Strawberry flavor	7.50

MANUFACTURING DIRECTIONS

1. Load items 1 to 4 after passing through a 250 µm mesh into a mixing vessel. Mix for 10 minutes.
2. Add items 5 to 8, one at a time, and blend for 1 minute each time.
3. Compress 566 to 570 mg.

CEFPODOXIME TABLETS

MANUFACTURING DIRECTIONS

1. The tablet formula consists of cefpodoxime proxetil (53.6%), HPMC 4000 cps (35%), Avicel™ PH 101 (10.4%), and magnesium stearate (1%).
2. Blend materials in a polybag, using the geometric dilution principle.
3. Compress the blend using 19.0 mm × 8.8 mm caplet-shaped concave punches with a target weight of 1.1 g/tablet.

CEFPROZIL TABLETS (250 MG) CEFZIL®

Cefzil® tablets contain cefprozil equivalent to 250 or 500 mg of anhydrous cefprozil. In addition, each tablet contains the following inactive ingredients: cellulose, hydroxypropyl methylcellulose, magnesium stearate, methyl cellulose, simethicone, sodium starch glycolate, polyethylene glycol, polysorbate 80, sorbic acid, and titanium dioxide. The 250 mg tablets also contain FD&C Yellow No. 6.

CEFPROZIL TABLETS

Bill of Materials			
Scale (mg/ tablet)	Item	Material Name	Quantity/ 1000 Tablets (g)
250.00	1	Cefprozil	250.00
30.00	2	Starch (maize)	30.00
3.00	3	Magnesium stearate	3.00

MANUFACTURING DIRECTIONS

1. Dry blend items 1 and 2 for 20 minutes.
2. Sieve item 3 through a 250 µm mesh, and blend with step 1. Blend for 2 minutes.
3. Compress.

CEPHALEXIN TABLETS KEFLEX

Each pulvule contains cephalixin monohydrate equivalent to 250 mg (720 µmol) or 500 mg (1439 µmol) of cephalixin. The pulvules also contain cellulose, FD&C Yellow No. 10, FD&C Blue No. 1, FD&C Yellow No. 6, gelatin, magnesium stearate, silicone, titanium dioxide, and other inactive ingredients. Each tablet manufactured by Biocraft contains cephalixin monohydrate equivalent to 250 mg (720 µmol) or 500 mg (1439 µmol) of cephalixin. Inactive ingredients include hydroxypropyl methylcellulose, magnesium stearate, microcrystalline cellulose, polyethylene glycol, polysorbate 90, sodium starch glycolate, and titanium dioxide.

CETIRIZINE AND PSEUDOEPHEDRINE DELAYED-RELEASE TABLETS (5 MG/120 MG)

Bill of Materials			
Scale (mg/ tablet)	Item	Material Name	Quantity/ 1000 Tablets (g)
5.00	1	Cetirizine dihydrochloride, excess	6.25
120.00	2	Pseudoephedrine hydrochloride	120.00
25.00	3	Hydroxypropyl methylcellulose (Methocel DE5)	25.00
110.00	4	Hydroxypropyl methylcellulose (Methocel F4N)	110.00
10.00	5	Hydroxypropyl methylcellulose (Methocel K5M)	10.00
174.00	6	Microcrystalline cellulose	174.00
1.00	7	Dye yellow	1.00
2.50	8	Aerosil® 200	2.50
2.50	9	Magnesium stearate	2.50
5.00	10	Ethyl cellulose (7PPS)	5.00
0.001 mL	11	Propylene glycol	1.00 mL
0.06 mL	12	Dichloromethane	60.00
0.16 mL	13	Water, purified	160.00 mL

MANUFACTURING DIRECTIONS

- Place items 2 to 6 and 8 in a suitable mixer. Mix for 5 minutes.
- Compress the mixture in step 1 at 445 mg per tablet.

CETIRIZINE CHEWABLE TABLETS (10 MG)

Bill of Materials			
Scale (mg/ tablet)	Item	Material Name	Quantity/ 1000 Tablets (g)
10.00	1	Cetirizine hydrochloride	10.00
130.80	2	Mannitol DC grade	130.80
25.00	3	Lactose monohydrate	25.00
15.00	4	Microcrystalline cellulose	15.00
10.00	5	Betadex	10.00
2.00	6	Acesulfame potassium	2.00
0.70	7	Blue dye	0.70
1.50	8	Red dye (carmin)	1.50
2.00	9	Grape flavor	2.00
2.00	10	Colloidal silicon dioxide (Aerosil®-200)	2.00
1.00	11	Magnesium stearate	1.00

MANUFACTURING DIRECTIONS

- Pass item 2 through 0.7 mm sieve and collect in a stainless steel container.
- Place half quantity of step 1 in a tumbler.
- Pass items 1, 5, and 6 through 0.5 mm sieve and collect in a stainless steel container.
- Add 10% (=6.5 g) powder from step 1 to step 3 and mix well.
- Transfer half quantity of step 4 into step 2.
- Place 10% (=6.5 g) powder from step 1 in a stainless steel container.
- Pass item 7 and item 8 through 0.5 mm sieve and add to step 6 and mix well.
- Transfer half quantity of step 7 into step 2.
- Pass item 3, item 4, and item 10 through 0.7 mm sieve and add to step 2.
- Transfer balance quantity of step 4 into step 2.
- Transfer balance quantity of step 7 into step 2.
- Transfer balance quantity of step 1 into step 2.
- Mix step 2 for 20 minutes using tumbler.
- Pass item 11 through 0.250 mm sieve and add to step 13.
- Mix step 14 for 2 minutes.
- Compress into 200 mg tablets, using a suitable punch (8 mm, round).

CETIRIZINE HYDROCHLORIDE TABLETS (10 MG) ZYRTEC

Zyrtec tablets are formulated as white, film-coated, rounded-off rectangular-shaped tablets for oral administration and are available in 5 and 10 mg strengths. The inactive ingredients are as follows: lactose, magnesium stearate, povidone, titanium dioxide, hydroxypropyl methylcellulose, polyethylene glycol, and cornstarch.

CETIRIZINE HYDROCHLORIDE TABLETS

Bill of Materials			
Scale (mg/ tablet)	Item	Material Name	Quantity/ 1000 Tablets (g)
10.00	1	Cetirizine hydrochloride	10.20
39.00	2	Maize starch	39.00
70.55	3	Lactose monohydrate	70.55
2.60	4	PVP K-30	2.60
7.00	5	Maize starch, dried	7.00
0.65	6	Magnesium stearate	0.65
QS	7	Purified water	30.00

MANUFACTURING DIRECTIONS

- Prepare the binding solution by dissolving item 4 in item 7 at 25°C to 30°C until the solution becomes clear.

2. Sift item 1 through a 500 μm sieve in portions.
3. Add binding solution slowly, and granulate.
4. Add water if necessary. Dry granules at 55°C for 10 hours.
5. Pass granules through a 1.25 mm sieve in a V-shaped blender. Add items 5 and 6, and mix for 1 minute. Compress tablets of 130 mg with hardness of 5 to 8 kPa.
6. Coat using the HPMC. (See Appendix.)

CETIRIZINE HYDROCHLORIDE TABLETS (5 MG)

Bill of Materials			
Scale (mg/ tablet)	Item	Material Name	Quantity/ 1000 Tablets (g)
5.00	1	Cetirizine hydrochloride	5.00
90.75	2	Mannitol DC grade	90.75
25.00	3	Lactose monohydrate	25.00
15.00	4	Microcrystalline cellulose	15.00
7.50	5	Betadex	7.50
1.50	6	Acesulfame potassium	1.50
0.50	7	Blue dye	0.50
1.00	8	Red dye (carmin)	1.00
1.50	9	Grape flavor	1.50
1.50	10	Colloidal silicon dioxide (Aerosil®-200)	1.50
0.75	11	Magnesium stearate	0.75

For other strengths, adjust quantity with item 2.

MANUFACTURING DIRECTIONS

1. Pass item 2 through 0.7 mm sieve and collect in a stainless steel container.
2. Place half quantity of step 1 in a tumbler.
3. Pass items 1, 5, and 6 through 0.5 mm sieve and collect in a stainless steel container.
4. Add 15% (=6.8 g) powder from step 1 to step 3 and mix well.
5. Transfer half quantity of step 4 into step 2.
6. Place 10% (=4.5 g) powder from step 1 in a stainless steel container.
7. Pass item 7 and item 8 through 0.5 mm sieve and add to step 6 and mix well.
8. Transfer half quantity of step 7 into step 2.
9. Pass items 3, 4, and 10 through 0.7 mm sieve and add to step 2.
10. Transfer balance quantity of step 4 into step 2.
11. Transfer balance quantity of step 7 into step 2.
12. Transfer balance quantity of step 1 into step 2.
13. Mix step 2 for 20 minutes using tumbler.
14. Pass item 11 through 0.250 mm sieve and add to step 13.
15. Mix step 14 for 2 minutes.
16. Compress into 150 mg tablets, using a suitable punch (6.0 mm \times 7.0 mm, oval).

CETIRIZINE TABLETS (5 MG)

Bill of Materials			
Scale (mg/ tablet)	Item	Material Name	Quantity/ 1000 Tablets (g)
5.00	1	Cetirizine hydrochloride	5.00
87.2	2	Lactose spray dried	87.2
5.00	3	Cornstarch	5.00
2.00	4	Povidone K30	2.00
0.80	5	Magnesium stearate	0.80
2.20	6	Hypromellose	2.20
0.50	7	Polyethylene glycol 4000	0.50
0.80	8	Titanium dioxide	0.80
—	9	Water, purified	30.00

MANUFACTURING DIRECTIONS

1. Pass item 2 through 0.7 mm sieve and collect in a stainless steel container.
2. Place half quantity of step 1 in a tumbler.
3. Pass items 1, 3, and 4 through 0.5 mm sieve and collect in a stainless steel container.
4. Add 10% (=4.4 g) lactose from step 1 to step 3 and mix well.
5. Transfer step 4 into step 2.
6. Transfer balance quantity of lactose from step 1 into step 2.
7. Mix step 2 for 15 minutes using tumbler.
8. Pass item 5 through 0.250 mm sieve and add to step 7.
9. Mix step 8 for 2 minutes.
10. Compress into 100 mg tablets, using a suitable punch (5.5 mm, round).
11. Place item 9 in a stainless steel vessel. Add item 6 slowly to the vortex while stirring. Stir till lumps dissolved. Homogenize for 5 minutes. Keep for 3 to 4 hours for saturation of hypromellose.
12. Add item 7 and item 8 to step 11 with stirring. Stir for 5 minutes. Homogenize for 5 minutes. Pass the coating dispersion through 180 μm sieve (if required).
13. Load core tablets from step 10 in coating pan and apply coating dispersion from step 12 to get 2.5% to 3.0% weight gain.

CETIRIZINE HYDROCHLORIDE TABLETS**Bill of Materials**

Scale (mg/ tablet)	Item	Material Name	Quantity/ 1000 Tablets (g)
15.00	1	Cetirizine hydrochloride	15.00
3.00	2	Polyvinylpyrrolidone	3.00
1.50	3	Silicon dioxide	1.50
135.00	4	Lactose	135.00
1.50	5	Glyceryl behenate	1.50
	6	Water QS	

MANUFACTURING DIRECTIONS

1. Place cetirizine and lactose in a fluidized-bed apparatus.
2. Spray an aqueous PVP solution (in 85 g of water) to get granules.
3. Dry the granules thus obtained and pass through a sieve (1 mm mesh), and weigh, add, and blend glyceryl behenate in a drum mixer.
4. Press the resulting mixture into tablets of 156.00 mg.
5. Coat these tablet cores with the following formulation: ethyl cellulose 10.00, hydroxypropyl cellulose 10.00, stearic acid 2.00, and alcohol 188.00 g.
6. Dissolve ethocel, povidone, and stearic acid in denatured alcohol (188 g).
7. Spray the coating solution onto the tablet cores in a coating pan.

CETYLPIRIDINIUM LOZENGES (2.5 MG)

Formulation: Cetylpyridinium chloride (Merck), 2.5 g; Ludipress® LCE, 370.0 g; polyethylene glycol 6000, powder, 20.0 g; menthol, crystalline, 6.0 g; aspartame, potassium (Searle), 1.5 g.

CHARCOAL TABLETS**Bill of Materials**

Scale (mg/ tablet)	Item	Material Name	Quantity/ 1000 Tablets (g)
250.00	1	Activated charcoal	250.00
150.00	2	Bolus alba (Merck)	150.00
28.00	3	Kollidon® 25	28.00
38.00	4	Acacia gum	38.00
QS	5	Water + isopropanol (10+3)	575.00 mL
15.00	6	Cremophor EL	15.00
QS	7	Isopropanol	300.00 mL

MANUFACTURING DIRECTIONS

1. Granulate mixture of items 1 to 4 with item 5, and pass through a 1 mm sieve.

2. Dry until a relative powder humidity of 90% is reached.
3. Add solution of items 6 and 7, and pass again through a 0.8 mm sieve.

CHLORCYCLIZINE HYDROCHLORIDE TABLETS (50 MG)**Bill of Materials**

Scale (mg/ tablet)	Item	Material Name	Quantity/ 1000 Tablets (g)
50.00	1	Chlorcyclizine hydrochloride	50.00
109.75	2	Lactose monohydrate	109.75
4.28	3	Povidone (K 29–32)	4.28
11.30	4	Alcohol ethanol 190 proof	11.30
QS	5	Water, purified	QS
95.71	6	Starch (corn)	95.71
6.21	7	Talc	6.21
2.60	8	Magnesium stearate	2.60

MANUFACTURING DIRECTIONS

1. Load chlorcyclizine hydrochloride, lactose, and povidone into a mass mixer. Mix well.
2. Add alcohol (diluted with an equal weight of purified water) and QS to mass.
3. Granulate through a 15.88 mm aperture or similar.
4. Dry at 41°C to less than 1% LOD (1 hour Brabender or equivalent at 105°C).
5. Sift and grind through a 1.19 mm aperture or similar screen.
6. Lubricate by adding cornstarch (#6), talc, and acid stearic (or magnesium stearate) sifted through a 600 µm aperture or similar.
7. Compress using 7.94 mm standard round convex punches with logo.
8. Coating is optional; use organic coatings, preferably.

CHLORDIAZEPOXIDE AND CLINIDIUM BROMIDE TABLETS (5 MG/2.5 MG)**Bill of Materials**

Scale (mg/ tablet)	Item	Material Name	Quantity/ 1000 Tablets (g)
2.50	1	Clinidium bromide, 5% excess	2.625
5.00	2	Chlordiazepoxide, 5% excess	5.25
131.02	3	Lactose powder	131.02
8.50	4	Starch (maize)	8.50
2.30	5	Talc	2.30
0.30	6	Magnesium stearate	0.30
QS	7	Water, purified	QS

MANUFACTURING DIRECTIONS

1. Prepare a paste with maize starch and water. Use this for separately granulating items 1 and 2. Use a 1:4 starch and water mixture, and heat to 50°C with continuous stirring.
2. Knead, granulate, dry, and sieve item 1 using step 1 paste. Mix a 1:5 ratio of items 1 to 3, and mix together for 5 minutes. Pass the mixture through an oscillating granulator using a 1 mm sieve. Add paste from step 1, and mix for 5 minutes. Add item 3 (part) and pass the wet mass through a 7 mm sieve. Dry at a humidity of 40% to 50%. Pass the dried granules through a 1.5 mm perforated sieve.
3. Knead, granulate, dry, and sieve item 2 using step 1 paste. Use a 1:3 ratio of item 2 to lactose, and mix for 5 minutes. Then, pass the mixture through a 1 mm oscillating granulator. Pass the wet mass through a 7 mm sieve, and dry at 60°C overnight in a relative humidity of granules that is 34% to 43%. Pass the dried granules through a 1.5 mm perforated sieve.
4. Mix the granules from steps 2 and 3, and tumble the mix for 1 hour at low rpm.
5. Premix items 5 and 6 for 5 minutes, and then blend this mixture with step 4. Tumble the mix for a half hour at low rpm.
6. Compress into 150 mg tablets, using 8 mm cylindrical biconvex punches at 4 to 5 tons of pressure.
7. Apply a sugar coating (see Appendix) to the final weight of 300 mg.

CHLORDIAZEPOXIDE TABLETS (10 MG)**Bill of Materials**

Scale (mg/ tablet)	Item	Material Name	Quantity/ 1000 Tablets (g)
10.00	1	Chlordiazepoxide	10.00
61.70	2	Lactose	61.70
6.17	3	Starch (maize)	6.17
0.60	3	Talc	0.60
0.30	4	Magnesium stearate	0.30
QS	5	Water, purified	QS

MANUFACTURING DIRECTIONS

1. Mix items 1 and 2 in a blender for 10 minutes at medium speed.
2. In a separate vessel, prepare a paste of item 3 with item 5, at 50°C, and maintain this temperature until fully gelatinized without lumps.
3. Transfer the hot paste to the blender in step 1, and mix for 30 minutes. Then, pass it through a granulator with a 10 mm perforated screen.
4. Dry the granules overnight at 45°C.

5. Sift the dry granules in an oscillating granulator with a 1 mm sieve.
6. Add item 4, and mix in a tumbler for 10 minutes.
7. Compress into 80 mg tablets, using 6×3 mm cylindrical biconvex punches.
8. Sugar coat the tablets. (See Appendix.)

CHLORHEXIDINE LOZENGES**Bill of Materials**

Scale (mg/ lozenge)	Item	Material Name	Quantity/ 1000 lozenges (g)
5.00	1	Chlorhexidine	5.00
150.00	2	Sorbitol (crystalline)	150.00
5.00	3	Kollidon® VA 64	5.00
5.00	4	Menthol (crystalline)	5.00
5.00	5	Eucalyptol (crystalline)	5.00
1.00	6	Aspartame, potassium	1.00
0.10	7	Saccharin sodium	0.10
2.00	8	Aerosil® 200	2.00
1.00	9	Magnesium stearate	1.00

MANUFACTURING DIRECTIONS

1. Mix all components, pass through a 0.8 mm sieve, and press with medium-compression force.
2. Compress into 175 mg lozenges, using 8 mm biplanar punches.

CHLOROQUINE TABLETS (250 MG)**Bill of Materials**

Scale (mg/ tablet)	Item	Material Name	Quantity/ 1000 Tablets (g)
250.00	1	Chloroquine diphosphate	250.00
100.00	2	Dicalcium phosphate (Ditab)	100.00
10.00	3	Kollidon® 30	10.00
—	4	Isopropyl alcohol	83.00
10.00	5	Kollidon® CL	10.00
2.00	6	Aerosil® 200	2.00
3.00	7	Talc	3.00

MANUFACTURING DIRECTIONS

1. Granulate the mixture of items 1 and 2 with a solution of items 3 and 4. Then dry, pass through a 0.8 mm sieve, add the mixture of items 5 to 7, and press with low-compression force.
2. Compress into 361 mg tablets, using 8 mm biplanar punches.

CHLORPHENIRAMINE AND PSEUDOEPHEDRINE CHEWABLE TABLETS

Bill of Materials

Scale (mg/ tablet)	Item	Material Name	Quantity/ 1000 Tablets (g)
3.35	1	Chlorpheniramine maleate	3.35
100.00	2	Pseudoephedrine hydrochloride	100.00
396.65	3	Cab-o-Sil MS	396.65
200.00	4	Water	200.00

MANUFACTURING DIRECTIONS

- Mix chlorpheniramine maleate and pseudoephedrine hydrochloride in the water until thoroughly dissolved.
- Pour Cab-o-Sil M5 (silicon dioxide) into a planetary mixer, to which add the dissolved drug solution and mix at slow speed.
- Continue for 5 minutes, until the solution and Cab-o-Sil are completely mixed.
- Dry the mixture in a forced hot air oven for 5 hours to an LOD of less than 2.0%.
- Add magnesium stearate as a lubricant, and add tartaric acid as an acidulant.
- Thoroughly mix the excipients, and compress.

CHLORPHENIRAMINE, PSEUDOEPHEDRINE, AND DEXTROMETHORPHAN CHEWABLE TABLETS

Bill of Materials

Scale (mg/ tablet)	Item	Material Name	Quantity/ 1000 Tablets (g)
8.00	1	Chlorpheniramine maleate	8.00
120.00	2	Pseudoephedrine hydrochloride	120.00
60.00	3	Dextromethorphan hydrobromide	60.00
812.00	4	Cab-o-Sil M5	812.00
200.00	5	Water	200.00

MANUFACTURING DIRECTIONS

- Chlorpheniramine maleate dextromethorphan HBr and pseudoephedrine hydrochloride are mixed in the water until thoroughly dissolved.
- Cab-o-Sil M5 (silicone dioxide) is poured into a planetary mixer, to which the dissolved drug solution is added and mixed at slow speed.

- This is continued for 5 minutes, until the solution and Cab-o-Sil are completely mixed.
- The entire composition is dried in a forced hot air oven for 7 hours at 50°C.
- The composition is dried to an LOD of 1.25%.
- The dried material is then screened through a No. 30 U.S. standard mesh screen.
- The excipients are added as mentioned before, and the blend is compressed..

CHLORPHENIRAMINE TABLETS

Bill of Materials

Scale (mg/ tablet)	Item	Material Name	Quantity/ 1000 Tablets (g)
4.00	1	Chlorpheniramine maleate	4.00
75.00	2	Starch 1500	75.00
65.62	3	Microcrystalline cellulose (50 µm)	65.62
2.96	4	Stearic acid	2.96
1.11	5	Fumed silica	1.11
0.37	6	Magnesium stearate	0.37

MANUFACTURING DIRECTIONS

- Blend half of the Starch 1500 with the fumed silica and chlorpheniramine for 5 minutes.
- Pass this mixture through a 40 mesh screen, and return to blender.
- Add the remaining Starch 1500 to the material in step 1, and blend for 5 additional minutes.
- Add the microcrystalline cellulose and stearic acid to the material from step 2, and blend for an additional 10 minutes.
- Add the magnesium stearate to the material from step 3, and blend for an additional 5 minutes.

CHOLINE THEOPHYLLINATE TABLETS (100 MG)

Bill of Materials

Scale (mg/ tablet)	Item	Material Name	Quantity/ 1000 Tablets (g)
100.00	1	Choline theophylline	100.00
244.00	2	Ludipress®	244.00
6.00	3	Magnesium stearate	6.00

MANUFACTURING DIRECTIONS

- Pass all components through a 0.5 mm sieve. Mix and press with very low-compression force.
- Compress into 350 mg tablets, using 8 mm biplanar punches.

CHYMOTRYPSIN TABLETS (25 MG)

Bill of Materials			
Scale (mg/ tablet)	Item	Material Name	Quantity/ 1000 Tablets (g)
25.00	1	Chymotrypsin	27.50
71.50	2	Ludipress®	71.50
1.00	3	Magnesium stearate	1.00

MANUFACTURING DIRECTIONS

- Mix all components, pass through a 0.8 mm screen, and press with low-compression force.
- Compress into 100 mg tablets, using 8 mm biplanar punches.

CILAZAPRIL TABLETS (2.5 MG)

Bill of Materials			
Scale (mg/ tablet)	Item	Material Name	Quantity/ 1000 Tablets (g)
2.50	1	Cilazapril anhydrous	2.50
37.00	2	Lactose powder	37.00
2.87	3	Talc	2.87
57.43	4	Starch (maize)	57.43
7.65	5	Hydroxypropyl methylcellulose 2910/3C	7.65
1.91	6	Sodium stearyl fumarate	1.91
QS	7	Water, purified	QS

MANUFACTURING DIRECTIONS

- Disperse item 5 in 50 mL of item 7, and allow this to stand overnight.
- In a tumble mixer, add item 1 and 10 g of item 2, and mix for 5 minutes.
- Add the balance of item 2 and 20 g of item 4, and mix well.
- Add the granulating solution from step 1, and knead. Then, pass through a 7 mm sieve in a granulator.
- Spread on paper-lined trays, and dry at 45°C overnight.
- Pass the dried granules through a 1.5 mm sieve at 20% to 25% RH.
- In a tumble mixer, add the balance of item 4, and then add items 3 and 6. Mix for 6 minutes.
- Compress into 200 mg tablets, using a suitable punch.
- Coat using the Opadry coating. (See Appendix.)

CIMETIDINE CHEWABLE TABLETS**MANUFACTURING DIRECTIONS**

- Cimetidine Premix Granules—Cimetidine, 200.0 mg; Eudragit E100, 20.0 mg; antacid (Al/Mg) granules (sorbitol: direct compression grade, 590.0 mg; lactose: direct compression grade spray dried, 325.0 mg; lactose crystalline, 325.0 mg; dried aluminum hydroxide gel, 250.0 mg; magnesium hydroxide, 200.0 mg; croscarmellose sodium type A, 30.0 mg; magnesium stearate, 15.0 mg). Total 1735.0 mg.
- Tableting mix for compression—Cimetidine 220.0 mg; premix granules antacid (Al/Mg), 1735.0 mg; granules microcrystalline cellulose, 200.0 mg (Avicel™ PH102); aspartame, 10.0 mg; aniseed, 20.0 mg, butterscotch, 20.0 mg; magnesium stearate, 15.0 mg. Total 2220.0 mg.
- Add a 40% (w/w) solution of the Eudragit E100 in methylene chloride with mixing to the cimetidine and blend until granules are formed.
- Dry the resulting granules and then sieve through a 16 mesh screen.
- Sieve aluminum hydroxide, magnesium hydroxide, and other ingredients for the antacid granules through a 12 mesh (1.4 mm) screen and mix together.
- Compress the resulting mix on a rotary tablet press, and mill the resulting compacts using a 12 mesh screen.
- Load cimetidine granules, antacid granules, and extragranular excipients into a cone blender and mix thoroughly.
- Discharge the resulting mix from the blender and compress on a suitable rotary tablet press fitted with the appropriate punches.

CIMETIDINE TABLETS (200 MG)

Formulation: Cimetidine, 200 g; Ludipress®, 295 g; magnesium stearate, 5 g.

MANUFACTURING DIRECTIONS

- Pass the mixture through 0.8 mm screen.
- Press with low-compression force at 510 mg at low humidity (30%).

CIMETIDINE TABLETS (200 MG)

Bill of Materials			
Scale (mg/ tablet)	Item	Material Name	Quantity/ 1000 Tablets (g)
200.00	1	Cimetidine ^a	202.00
48.89	2	Microcrystalline cellulose (Avicel™ PH 102)	48.89
6.00	3	Povidone (PVP K-30)	6.00
0.40	4	Sodium lauryl sulfate	0.40
0.26	5	Dispersed blue E132	0.26
0.26	6	Ferric oxide (iron oxide yellow)	0.26
13.11	7	Starch (maize) ^b	14.41
9.44	8	Sodium starch glycolate (Primojel®)	9.44
1.40	9	Magnesium stearate	1.40
—	10	Purified water	77.78

Note: For higher strength (400 and 800 mg tablets), adjust formula and fill weights accordingly.

^a Cimetidine 2.0 mg/tablet (1%) is added as an extra to compensate for the moisture.

^b Maize starch 1.3 mg/tablet (10%) is added as an extra to compensate for the moisture.

MANUFACTURING DIRECTIONS

1. Prepare a slurry of item 7 in 15.56 g of item 10 (30–40°C). Then, make a translucent paste by adding 44.44 g of item 10 (90–95°C). Cool to 45°C to 50°C.
2. Disperse items 5 and 6 in 4.44 g of item 10 (25–30°C) by homogenizing. Add the color dispersion to the starch paste at step 1, and mix well.
3. Dissolve item 3 in 13.33 g of item 10. Stir until the solution is clear. Add item 4 to the solution. Stir just to dissolve. Do not produce foam by stirring. Add this solution to the colored paste at step 2, and mix for 5 minutes.
4. Pass items 1 and 2 through a 1200 µm sieve using a sifter. Collect in a stainless steel drum. Load to a mixer. Mix at a high speed for 10 minutes.
5. Add colored starch paste from step 3 to the dry powder in the mixer. When the addition is over, mix at medium speed to get the satisfactory wet mass.
6. Add item 10 if required. Record extra quantity if used.
7. Pass the wet mass through a FitzMill using sieve 24250, knives forward, at medium speed.
8. Collect and spread the granules onto the trays, one-third the thickness of the tray, and dry the granules at 55°C for 16 hours. After 4 hours of drying, stir the granules in the trays, and change the positions of the trays for uniform drying. *Note:* Stirring is a very important step to avoid migration of color. Migration leads to mottling of the tablet.

9. Check the moisture of dried granules. The limit is not more than 1.5%. Dry further if required to get a moisture content of 1.5%.
10. Pass the granules through a 1.25 mm sieve using a granulator at medium speed. Do not fill the hopper completely. This increases excess fines.
11. Pass item 8 through a 500 µm sieve using a sifter. Collect in a polyethylene bag, and add to the blender. Mix for 5 minutes
12. Pass item 9 through a 250 µm sieve using a sifter. Collect in a polyethylene bag, and add 4.4 to 6.7 g powder from the bulk. Mix it, and then add it to the blender. Mix for 1 minute.
13. Check temperature and humidity before starting compression. The limits are as follows: temperature 25°C to 27°C; RH 45% to 55%.
14. Compress the granules using round concave punches. The weight of 10 tablets is 2.80 g ± 2%.
15. Coat tablets. (See the details in the Appendix.)

CIPROFLOXACIN TABLETS (500 MG) CIPRO

Cipro film-coated tablets are available in 100, 250, 500, and 750 mg (ciprofloxacin equivalent) strengths. The inactive ingredients are starch, microcrystalline cellulose, silicon dioxide, crospovidone, magnesium stearate, hydroxypropyl methylcellulose, titanium dioxide, polyethylene glycol, and water.

CIPROFLOXACIN TABLETS

Bill of Materials			
Scale (mg/ tablet)	Item	Material Name	Quantity/ 1000 Tablets (g)
500.00	1	Ciprofloxacin HCl Monohydrate	500
10.00	2	Crospovidone (Kollidon® CL)	10.00
60.00	3	Sodium starch glycolate (Primojel®)	60.00
9.50	4	Povidone (PVP K-30)	9.50
54.37	5	Microcrystalline cellulose (Avicel™ PH 101)	54.37
20.00	6	Crospovidone (Kollidon® CL)	20.00
20.00	7	Sodium starch glycolate (Primojel®)	20.00
6.00	8	Magnesium stearate	6.00
3.46	9	Colloidal silicon dioxide (Aerosil® 200)	3.46
—	10	Absolute alcohol (ethanol, dehydrated alcohol)	268.00

MANUFACTURING DIRECTIONS

Note: It is important to note the following:

- Avoid overmixing lubricants, because this could reduce hardness.
- Process the products in an explosion-proof area. Relative humidity should not be more than 50%, and the temperature should be not more than 27°C.
 1. Granulating solution: Dissolve item 4 in item 10 under slow stirring by stirrer.
 2. Dry powder mixing: Sift items 1, 3, and 2 through a stainless steel sieve (900 µm) in sifter. Load into a mixer. Mix and chop for 3 minutes at low speed.
 3. Kneading
 - a. Knead the mixed powder with granulating solution for 2 minutes while mixing at low speed. Then, mix and chop at high speed for 2 minutes.
 - b. If required, add more absolute alcohol, and mix and chop at low speed to get to the end point of granulation. Record the additional quantity of absolute alcohol. Unload the wet mass in a stainless steel tray for drying.
 4. Drying
 - a. Dry the wet mass in the oven. Start air circulation without the heater “on” for 2 hours, keeping the door open. Then, dry at 55°C for 5 hours.
 - b. Check the LOD. The limit is 1.5% to 2.0%.
 - c. If required, continue drying at 55°C for another half an hour to get the desired LOD.
 5. Grinding: Pass the dried granules through a 1.25 mm sieve using a granulator at medium speed. Collect in stainless steel drums.
 6. Lubrication
 - a. Sift items 5, 7, 6, and 9 through a 500 µm sieve, and add it to the dry granules in the drum.
 - b. Pass item 8 through a 250 µm sieve using a sifter. Add 40 to 60 g of granules from bulk. Mix in polyethylene bag for 1 minute. Add to a drum blender, and mix for 1 minute.
 7. Compression: Compress the granules using a rotary tableting machine with 18 × 8 mm oblong concave punches. Compress into 770 mg tablets.
 8. Coating: Coat using HPMC coating. (See Appendix.)

CIPROFLOXACIN TABLETS (750 MG)

Bill of Materials			
Scale (mg/ tablet)	Item	Material Name	Quantity/ 1000 Tablets (g)
750.00	1	Ciprofloxacin HCl Monohydrate	750.00
15.00	2	Crospovidone (Kollidon® CL)	15.00
70.00	3	Sodium starch glycolate (Primojel®)	70.00
11.00	4	Povidone (PVP K-30)	11.00
70.00	5	Microcrystalline cellulose (Avicel™ PH 101)	70.00
25.00	6	Crospovidone (Kollidon® CL)	25.00
30.00	7	Sodium starch glycolate (Primojel®)	30.00
7.50	8	Magnesium stearate	7.50
3.50	9	Colloidal silicon dioxide (Aerosil® 200)	3.50
—	10	Absolute alcohol (ethanol, dehydrated alcohol)	400.00

MANUFACTURING DIRECTIONS

See the manufacturing directions for the 500 mg tablet.

CISAPRIDE TABLETS

Bill of Materials			
Scale (mg/ tablet)	Item	Material Name	Quantity/ 1000 Tablets (g)
52.92	1	Cisapride-(L)-tartrate	52.92
346.08	2	Lactose	346.08
66.000	3	Hydroxypropyl methylcellulose 2208	66.000
2.85	4	Magnesium stearate	2.85
5.70	5	Colloidal anhydrous silica	5.70
28.60	6	Talc	28.60

CISAPRIDE TABLETS (5 MG)

Bill of Materials			
Scale (mg/ tablet)	Item	Material Name	Quantity/ 1000 Tablets (g)
5.00	1	Cisapride	5.20
80.90	2	Lactose monohydrate	80.90
10.80	3	Starch (maize)	10.80
3.00	4	Povidone (PVP K-30)	3.00
0.15	5	Polysorbate 20 (Tween 20)	0.15
19.40	6	Microcrystalline cellulose (Avicel™ PH 102)	19.40
0.60	7	Magnesium stearate	0.60
—	8	Purified water	18.00

MANUFACTURING DIRECTIONS

Note: Avoid overmixing lubricants; otherwise, hardness can be reduced.

1. Preparation of binding solution
 - a. Dissolve item 4 in 16.0 g of item 8 (30°C), while mixing at slow speed by stirrer.
 - b. Add item 5 to 2.0 g of item 8 (60–70°C). Stir manually with a spatula to make a clear solution.
 - c. Add the previous step into step 1. Mix manually.
2. Sieving and mixing: Sift items 1 to 3 through a 500 µm sifter. Load into a mixer and mix for 5 minutes at low speed.
3. Kneading
 - a. Add the binding solution to the dry powders, while mixing at low speed for 2 minutes. After the binding solution is added, mix further for 1 minute, using the mixer and chopper at low speed. Scrape sides and blade. Check for satisfactory granules with few or no lumps.
 - b. If required, add extrapurified water, and record.
 - c. Unload the granules into a stainless steel tray for drying.
4. Drying
 - a. Dry the granules in an oven at 55°C for 10 hours. After 4 hours of drying, scrape the semidried granules to break the lumps for uniform drying.
 - b. Check the LOD. The limit is 0.7% to 1.0%.
 - c. Transfer the dried granules into stainless steel drums.
5. Grinding
 - a. Pass the dried granules through a 1 mm sieve at medium speed. Collect in stainless steel drums.
 - b. Load granules into the drum blender.
6. Lubrication
 - a. Sift item 6 through a 500 µm sieve using a sifter. Add to step 2, in a drum blender. Mix for 5 minutes.
 - b. Sift item 7 through a 500 µm stainless steel sieve in sifter. Add 4 to 6 g granules in a polyethylene bag to sieve item. Mix manually for 1 minute. Add to drum blender, and blend for 1 minute.
 - c. Unload in stainless steel drums.
7. Compression: Compress the granules using a rotary tableting machine with 7 mm round punches and a compression weight of 120 mg.

CISAPRIDE TARTRATE MINI TABLETS**Bill of Materials**

Scale (mg/ tablet)	Item	Material Name	Quantity/ 1000 Tablets (g)
6	1	Cisapride tartrate	6
3.54	2	Explotab	3.54
25.36	3	Avicel™ PH 101	25.36
3.54	4	Aerosil® 200	3.54
3.54	5	Magnesium stearate	3.54

Bill of Materials

Scale (mg/ tablet)	Item	Material Name	Quantity/ 1000 Tablets (g)
6	1	Cisapride tartrate	6
6.92	2	Methocel K100 M	6.92
3.45	3	Klucel LF	3.45
17.68	4	Avicel™ PH 101	17.68
3.45	5	Aerosil® 200	3.45
3.45	6	Magnesium stearate	3.45

MANUFACTURING DIRECTIONS

1. The ingredients (1–5), with the exception of magnesium stearate, are blended for 45 minutes.
2. Magnesium stearate is then added and blending continued for 5 minutes.
3. The blend is then tableted in 3.8 mm round deep concave punches with fill weight of 35.48 mg in the first formula and 34.54 mg in the second formula.
4. Coat the tablets using the following formulation: Eudragit L 12.5, 49.87%; talc, 2.47%; diethyl phthalate, 1.27%; isopropyl alcohol, 43.33%; purified water, 3.07%. Coat to provide 12.5% weight gain.

CITALOPRAM HYDROBROMIDE TABLETS CELEXA

Celexa is a film-coated, oval-scored tablet containing citalopram HBr in strengths equivalent to a 20 or 40 mg citalopram base. The inactive ingredients are copolyvidone, cornstarch, croscarmellose sodium, glycerin, lactose, monohydrate, magnesium stearate, hydroxypropyl methylcellulose, microcrystalline cellulose, and polyethylene glycol; titanium dioxide and iron oxides are used as coloring agents in the pink 20 mg tablets.

CLARITHROMYCIN TABLETS (250 MG/500 MG) BIAXIN

Each yellow oval film-coated Biaxin tablet contains 250 or 500 mg of clarithromycin and the following inactive ingredients: cellulosic polymers, croscarmellose sodium, D&C

Yellow No. 10, FD&C Blue No. 1, magnesium stearate, povidone, propylene glycol, silicon dioxide, sorbic acid, sorbitan monooleate, stearic acid, talc, titanium dioxide, and vanillin. The 250 mg tablet also contains pregelatinized starch.

Bill of Materials

Scale (mg/ tablet)	Item	Material Name	Quantity/ 1000 Tablets (g)
250.00	1	Clarithromycin ^a	256.00
80.90	2	Microcrystalline cellulose (Avicel™ PH 102)	80.90
8.00	3	Croscarmellose sodium (Ac-Di-Sol)	8.00
9.00	4	Povidone (PVP K-30)	9.00
1.10	5	Polysorbate 80 (Tween 80)	1.10
51.50	6	Microcrystalline cellulose (Avicel™ PH 102)	51.50
10.00	7	Croscarmellose sodium (Ac-Di-Sol)	10.00
22.00	8	Pregelatinized starch (Starch 1500)	22.00
2.25	9	Magnesium stearate	2.25
4.50	10	Talc (fine powder)	4.50
3.00	11	Stearic acid	3.00
1.75	12	Colloidal silicon dioxide (Aerosil® 200)	1.75
—	13	Alcohol (ethanol 95%)	88.00

^a Clarithromycin 6.0 mg/tablet is added as an excess to compensate for the water content and assay of the material. The weight of clarithromycin is factored based on potency. The weight of microcrystalline cellulose (Avicel™ PH 101) is then adjusted to compensate for the factored potency of clarithromycin. Adjust the fill weight and formula for a 500 mg tablet.

MANUFACTURING DIRECTIONS

Precautions: Avoid overmixing lubricants; otherwise, hardness can be reduced. Process the products in an explosion-proof area, with relative humidity of not more than 50% and a room temperature of not more than 27°C.

1. Screen, if necessary, through an approximately 710 µm screen, the following: clarithromycin, croscarmellose sodium, microcrystalline cellulose (v PH 101), and silicon dioxide. Blend together in suitable massing equipment.
2. Dissolve povidone in approximately 240 mL of ethanol—a complete solution must be achieved.
3. While mixing the blended powders from step 1, add the povidone solution from step 2.
4. Continue mixing to ensure an even distribution of the solution, and then add extra ethanol until a characteristic granule mass is obtained.
5. If necessary, pass the wet mass through a 3 to 4 mm screen. Dry at approximately 50°C to 55°C until the LOD is not more than 3%.

6. Sift dried granule over a 1.4 mm (approximately) screen. Pass the oversized granules through a 1.7 mm (approximately) screen, using a suitable mill. Alternate screening and milling systems may be used to yield suitably sized granules.
7. Load a portion of the granule from step 6 into a suitable blender. Add microcrystalline cellulose (Avicel™ PH 102) and croscarmellose sodium, blend, add talc, purify, and blend until uniform.
8. Mix together stearic acid and magnesium stearate with a small portion of granule. If necessary, pass through a 0.5 mm (approximately) screen.
9. Add the preceding steps, mix, and then add the balance of granule. Mix until uniform.
10. Compress tablets to the following parameters: tablet weight 8.5 g/10 tablets ± 3%.
11. Coat using an HPMC coating solution.

CLARITHROMYCIN DISPERSIBLE TABLETS

Bill of Materials

Scale (mg/ tablet)	Item	Material Name	Quantity/ 1000 Tablets (g)
250.00	1	Clarithromycin base	250.00
22.50	2	Crospovidone	22.50
62.50	3	Croscarmellose sodium	62.50
3.80	4	Polysorbate	3.80
566.20	5	Microcrystalline cellulose	566.20
40.00	6	Aspartame	40.00
20.00	7	Saccharin sodium	20.00
20.00	8	Mint flavor	20.00
5.00	9	Colloidal silica	5.00
10.00	10	Magnesium stearate	10.00

CLARITHROMYCIN TABLETS

Bill of Materials

Scale (mg/ tablet)	Item	Material Name	Quantity/ 1000 Tablets (g)
500.00	1	Clarithromycin	500.00
200.00	2	Methocel K100 LV Premium CR Grade	200.00
260.00	3	Lactose monohydrate	260.00
30.00	4	Talc	30.00
6.25	5	Magnesium stearate	6.25

MANUFACTURING DIRECTIONS

1. Load methocel (K 100 LV) into a mixer and dry blend with clarithromycin.
2. Granulate the mixture using water until proper granulation is obtained. Dry the granulation, sift, and grind to appropriate size.

- Screen talc and magnesium stearate and blend with dry granulation. Load the granulation into hopper and compress into tablets. Coat the tablets with an aqueous coating.

CLARITHROMYCIN CONTROLLED-RELEASE TABLETS

Bill of Materials			
Scale (mg/ tablet)	Item	Material Name	Quantity/ 1000 Tablets (g)
1000.00	1	Clarithromycin	1000.00
25.00	2	Methocel K15 MCR	25.00
12.50	3	Methocel K4 MCR	12.50
12.50	4	Lactose	12.50
20.00	5	Sodium stearyl fumarate	20.00
12.506.25	6	Magnesium stearate	12.50
10.00	7	Talc	10.00
0.50	8	Colloidal silicon dioxide	0.50

MANUFACTURING DIRECTIONS

- Blend clarithromycin with the two polymers and lactose and wet granulate with water. Dry, size, and lubricate granules, and compress to tablets (1161.5 mg).
- The tablets thus obtained can optionally be film coated.

CLENBUTEROL TABLETS (20 MG)

Bill of Materials			
Scale (mg/ tablet)	Item	Material Name	Quantity/ 1000 Tablets (g)
0.02	1	Clenbuterol hydrochloride	0.02
99.00	2	Ludipress®	99.00
1.00	3	Magnesium stearate	1.00

MANUFACTURING DIRECTIONS

- Mix all components in a Turbula mixer, and press to tablets with a compression force of 20 kN.
- Compress into 100 mg tablets, using 8 mm punches.
- If the content uniformity does not meet the requirements, prepare a premix of clenbuterol hydrochloride with a small part of the Ludipress® before mixing with the other components of the tableting mixture.

CLINDAMYCIN TABLETS

Bill of Materials			
Scale (mg/ tablet)	Item	Material Name	Quantity/ 1000 Tablets (g)
20.00	1	Clindamycin, use clindamycin hydrochloride	22.70
265.00	2	Lactose dihydrate	265.00
33.33	4	Starch (maize)	33.30
2.00	5	Hydroxypropyl cellulose (Klucel EF)	2.00
30.00	6	Calcium lactate·5H ₂ O	30.00
41.00	7	Lactic acid	41.00
128.00	8	Microcrystalline cellulose (Avicel™ PH 102)	128.00
12.00	9	Kollidon® CL	12.00
7.00	10	Aerosil® 200	7.00

MANUFACTURING DIRECTIONS

- Clindamycin HCl, lactose, one-half of the cornstarch, HPC, calcium lactate, and lactic acid are granulated in a fluidized-bed granulator.
- The resulting granules and the remainder of the cornstarch, Kollidon®, microcrystalline cellulose, magnesium stearate, and Aerosil® are passed through a forced sieve (1.25 mm) and homogenized in a container mixture.
- The resulting mixture is tableted on a rotary tableting machine.

CLOBAZAM TABLETS (10 MG)

Bill of Materials			
Scale (mg/ tablet)	Item	Material Name	Quantity/ 1000 Tablets (g)
10.00	1	Clobazam	10.00
135.00	2	Dicalcium phosphate	135.00
7.00	3	Kollidon® VA64	7.00
7.00	4	Kollidon® CL	7.00
1.50	5	Magnesium stearate	1.50

MANUFACTURING DIRECTIONS

- Mix all components, pass through a 0.8 mm sieve, and press with medium-compression force (15 kN).
- Compress into 165 mg tablets, using 8 mm biplanar punches.

CLOMIFEN CITRATE TABLETS (50 MG)

Bill of Materials			
Scale (mg/ tablet)	Item	Material Name	Quantity/ 1000 Tablets (g)
50.00	1	Clomifen citrate	50.00
100.00	2	Ludipress®	100.00
1.00	3	Magnesium stearate	1.00

MANUFACTURING DIRECTIONS

1. Mix all components, sieve, and press with low-compression force.
2. Compress into 154 mg tablets, using 8 mm biplanar punches.

CLOMIPRAMINE HYDROCHLORIDE TABLETS, BUCCAL (10 MG)

Bill of Materials			
Scale (mg/ tablet)	Item	Material Name	Quantity/ 1000 Tablets (g)
10.00	1	Clomipramine hydrochloride	10.00
90.00	2	Gelatin	90.00
20.00	3	Glycerin, anhydrous	20.00
10.00	4	Lactose, anhydrous	10.00
20.00	5	Mannitol	20.00

MANUFACTURING DIRECTIONS

1. Mix clomipramine hydrochloride (10 g) and 90 g of gelatin and pulverize in a mill.
2. After mixing, add 20 g of glycerin, 10 g of lactose, and 20 g of mannitol, and mix the components until uniform.
3. Compress 150 mg to provide a buccal dosage unit. Each buccal unit contains 10 mg of clomipramine hydrochloride.

CLOMIPRAMINE HYDROCHLORIDE TABLETS, EFFERVESCENT (300 MG)

Bill of Materials			
Scale (mg/ tablet)	Item	Material Name	Quantity/ 1000 Tablets (g)
300.00	1	Clomipramine hydrochloride	300.00
1985.00	2	Sodium bicarbonate	1985.00
1000	3	Citric acid	1000

MANUFACTURING DIRECTIONS

1. Thoroughly mix the components (i.e., clomipramine hydrochloride, sodium bicarbonate, and citric acid, as set forth in the preceding table).
2. Produce an effervescent tablet by placing the mixture in a die, followed by with compression with an appropriate punch. Relatively little compression force should be used (e.g., about 3,000 to about 20,000 pounds of force).

CLONAZEPAM TABLETS (1 MG/2 MG)

Klonopin, a benzodiazepine, is available as scored tablets with a K-shaped perforation containing 0.5 mg and 1 or 2 mg of clonazepam, and unscored tablets with a K-shaped perforation containing 1 or 2 mg of clonazepam. Each tablet also contains lactose, magnesium stearate, microcrystalline cellulose, and cornstarch, with the following colorants: 0.5 mg of FD&C Yellow No. 6 Lake and 1 mg of FD&C Blue No. 1 Lake and of FD&C Blue No. 2 Lake.

CLONIDINE TABLETS (0.1 MG/0.2 MG/0.3 MG) PLAVIX

Plavix for oral administration is available as pink, round, biconvex, engraved film-coated tablets containing 97.875 mg of clopidogrel bisulfate, which is the molar equivalent of 75 mg of clopidogrel base. Each tablet contains anhydrous lactose, hydrogenated castor oil, microcrystalline cellulose, polyethylene glycol 6000, and pregelatinized starch as inactive ingredients. The pink film coating contains ferric oxide (red), hydroxypropyl methylcellulose 2910, polyethylene glycol 6000, and titanium dioxide. The tablets are polished with carnauba wax.

CODEINE, ACETAMINOPHEN, AND PENTOBARBITAL TABLETS (15 MG/300 MG/30 MG)

Bill of Materials			
Scale (mg/ tablet)	Item	Material Name	Quantity/ 1000 Tablets (g)
15.00	1	Codeine phosphate, 2.5% excess	15.375
300.00	2	Acetaminophen	300.00
30.00	3	Pentobarbital sodium; use pentobarbital	27.50
40.00	4	Calcium carbonate, precipitated	40.00
58.66	5	Lactose monohydrate	58.66
20.00	6	Povidone K 29–32	20.00
20.00	7	Starch (corn)	20.00
2.00	8	Polyethylene glycol, milled	2.00
0.066	9	Red dye	0.066
0.054	10	Yellow dye	0.054
0.018	11	Scarlet dye	0.018
25.79	14	Polacrillin potassium (Amberlite IRP-88)	25.79
10.40	15	Magnesium stearate	10.40

MANUFACTURING DIRECTIONS

1. Mixing
 - a. Add codeine phosphate to acetaminophen in the presence of an authorized person.
 - b. Pass step a through a micropulverizer fitted with a 6.35 mm aperture or similar screen at high speed, with the hammers forward if the acetaminophen has a bulk density above 0.4 g/cc. After micropulverizing, the bulk density should be checked and should not exceed 0.4 g/cc. Add this to the mixer.
 - c. Pass pentobarbital and calcium carbonate through an 840 µm aperture screen, and then add to the mixer.
 - d. Add lactose, povidone, cornstarch, and polyethylene G 8000 NF (milled) to the mixer, and mix for 5 minutes.
 - e. Dissolve the dyes in water, and add alcohol.
 - f. Add the dye solution to the powders in the mixer, and mix until the color is evenly dispersed.
 - g. Screen the wet granulation through a 9.52 mm aperture screen.
 - h. Oven dry for 2 to 3 hours at 43°C, or use a fluid-bed dryer at room temperature for 12 minutes or until the LOD is 1% to 2% (1 hour at 105°C on an Ohaus, Brabender, or equivalent balance).
 - i. Mill the dried granulation through a 1.2 mm aperture screen (FitzMill or similar, medium speed, knives forward), and then add to a suitable mixer (V or similar).

- j. Pass the Amberlite and magnesium stearate through a 595 µm aperture screen on a suitable shaker (Russel or similar), and add to the mixer (V or similar).
- k. Blend for 30 minutes.
 1. Discharge the blended material into polyethylene-lined containers. Seal and deliver this to the compression area.
2. Compression
 - a. Compress on an 11.90 mm standard concave punch.
 - b. The weight of 10 tablets is 5.2 g.

CONJUGATED ESTROGENS AND MEDROXYPROGESTERONE TABLETS, PREMPRO

Prempro 2.5 mg—Each peach tablet for oral administration contains 0.625 mg conjugated estrogens, 2.5 mg of medroxyprogesterone acetate, and the following inactive ingredients: calcium phosphate tribasic, calcium sulfate, carnauba wax, cellulose, glyceryl monooleate, lactose, magnesium stearate, methyl cellulose, pharmaceutical glaze, polyethylene glycol, sucrose, povidone, titanium dioxide, and red ferric oxide.

Prempro 5 mg—Each light-blue tablet for oral administration contains 0.625 mg of conjugated estrogens, 5 mg of medroxyprogesterone acetate, and the following inactive ingredients: calcium phosphate tribasic, calcium sulfate, carnauba wax, cellulose, glyceryl monooleate, lactose, magnesium stearate, methyl cellulose, pharmaceutical glaze, polyethylene glycol, sucrose, povidone, titanium dioxide, and FD&C Blue No. 2.

Premphase—Each maroon Premarin tablet for oral administration contains 0.625 mg of conjugated estrogens and the following inactive ingredients: calcium phosphate tribasic, calcium sulfate, carnauba wax, cellulose, glyceryl monooleate, lactose, magnesium stearate, methyl cellulose, pharmaceutical glaze, polyethylene glycol, stearic acid, sucrose, titanium dioxide, FD&C Blue No. 2, D&C Red No. 27, and FD&C Red No. 40. These tablets comply with USP Drug Release Test 1. Each light-blue tablet for oral administration contains 0.625 mg of conjugated estrogens and 5 mg of medroxyprogesterone acetate and the following inactive ingredients: calcium phosphate tribasic, calcium sulfate, carnauba wax, cellulose, glyceryl monooleate, lactose, magnesium stearate, methyl cellulose, pharmaceutical glaze, polyethylene glycol, sucrose, povidone, titanium dioxide, and FD&C Blue No. 2.

CONJUGATED ESTROGENS (0.3– 2.50 MG) PREMARIN

Tablets are available in 0.3, 0.625, 0.9, 1.25, and 2.5 mg strengths of conjugated estrogens. Premarin tablets contain the following inactive ingredients: calcium phosphate tribasic, calcium sulfate anhydrous (white tablet), calcium sulfate, carnauba wax, cellulose, glyceryl monooleate, lactose, magnesium stearate, methyl cellulose, pharmaceutical glaze, polyethylene glycol, stearic acid, sucrose, talc, and titanium

dioxide. The 0.3 mg tablets also contain D&C Yellow No. 10, FD&C Blue No. 1, FD&C Blue No. 2, and FD&C Yellow No. 6. The 0.625 mg tablets also contain FD&C Blue No. 2, D&C Red No. 27, and FD&C Red No. 40. The 0.9 mg tablets also contain D&C Red No. 6 and D&C Red No. 7. The 1.25 mg tablets contain black iron oxide, D&C Yellow No. 10, and FD&C Yellow No. 6. The 2.5 mg tablets contain FD&C Blue No. 2 and D&C Red No. 7.

COUMADIN TABLETS

Coumadin tablets also contain (all strengths) lactose, starch, and magnesium stearate; the colors include: 1 mg tablet D&C Red No. 6; 2 mg tablet FD&C Blue No. 2 and FD&C Red No. 40; 2.5 mg tablet FD&C Blue No. 1 and D&C Yellow No. 10; 4 mg tablet FD&C Blue No. 1 Lake; 5 mg tablet FD&C Yellow No. 6; 7.5 mg tablet D&C Yellow No. 10 and FD&C Yellow No. 6; and 10 mg tablet is dye free.

CROSPROVIDONE EFFERVESCENT TABLETS

Bill of Materials

Scale (mg/ tablet)	Item	Material Name	Quantity/ 1000 Tablets (g)
1000.00	1	Crospovidone (micronized)	1000.00
150.00	2	Citric acid	150.00
25.00	3	Aerosil® 200	25.00
100.00	4	Sucrose (crystalline)	100.00
1.00	5	Saccharin sodium	1.00
QS	6	Water	QS
5.00	7	Magnesium stearate	5.00
125.00	8	Sodium bicarbonate	125.00
65.00	9	Flavor mixture	65.00

MANUFACTURING DIRECTIONS

1. Granulate mixture of items 1 to 5 with item 6, dry, and pass through a sieve.
2. Mix the dry granules with items 7 to 9, and press with medium-compression force.
3. The dosage may be increased to 2000 mg crospovidone by increasing the tablet weight to 3200 mg.
4. Compress 1590 mg tablets, using 20 mm-diameter biplanar punches.

CYCLOBENZAPRINE HYDROCHLORIDE TABLETS (10 MG)

Cyclobenzaprine HCl is supplied as 10 mg tablets for oral administration. The inactive ingredients are hydroxypropyl cellulose, hydroxypropyl methylcellulose, iron oxide, lactose, magnesium stearate, starch, and titanium dioxide.

CYCLOBENZAPRINE TABLETS

Bill of Materials

Scale (mg/ tablet)	Item	Material Name	Quantity/ 1000 Tablets (g)
10.00	1	Cyclobenzaprine	10.00
74.00	2	Lactose anhydrous	74.00
35.00	3	Starch (maize)	35.00
1.00	4	Magnesium stearate	1.00
25.00	5	Starch (maize)	25.00
—	6	Water, purified	30.00 mL

MANUFACTURING DIRECTIONS

1. Place the active ingredient (cyclobenzaprine) and lactose in a suitable mixer.
2. Blend until a uniform mix is obtained.
3. Add item 5 to item 6 to make a paste.
4. Add step 3 into step 2 to form a suitable mass.
5. Add item 3 to step 4, and mix until granules are formed.
6. Screen granules through a suitable milling machine, using a 1/4 in. stainless steel screen.
7. Dry the milled granules in a suitable drying oven until the desired moisture of less than 2% is obtained.
8. Mill the dried granules through a suitable milling machine using a 1/4 in. mesh stainless steel screen, and transfer to a blender.
9. Add the magnesium stearate to the blender after passing through a 250 µm sieve. Then, blend for 3 minutes.
10. Compress the tablets.
11. Coat the tablets using an aqueous or nonaqueous coating. (See Appendix.) For example, 2.5 mg of hydroxypropyl methylcellulose can be dissolved in 25 mg of deionized water. An aqueous (10 mg) suspension of 1.88 mg of talc, 0.5 mg of titanium dioxide, 0.1 mg of yellow iron oxide, and 0.02 mg of red iron oxide is stirred into this solution. The coating suspension is sprayed on the tablets. The coated tablets are dried overnight at 45°C.

CYPROHEPTADINE TABLETS (4 MG)

Bill of Materials

Scale (mg/ tablet)	Item	Material Name	Quantity/ 1000 Tablets (g)
4.00	1	Cyproheptadine	4.00
194.00	2	Ludipress®	194.00
2.00	3	Magnesium stearate	12.00

MANUFACTURING DIRECTIONS

1. Pass all ingredients through a 0.8 mm sieve.
2. Mix and press with very low-compression force (4 kN).
3. Compress into 202 mg tablets, using 8 mm biplanar punches. If the content uniformity does not meet the requirements, prepare a premix of the active ingredient with a small part of the Ludipress® or with lactose monohydrate before mixing with the other components of the formulation.

DAPSONE TABLETS (50 MG)

Bill of Materials			
Scale (mg/ tablet)	Item	Material Name	Quantity/ 1000 Tablets (g)
50.00	1	Dapsone	50.00
80.00	2	Starch (maize)	80.00
50.00	3	Dicalcium phosphate	50.00
20.00	4	Lactose monohydrate	20.00
8.00	5	Starch (maize)	8.00
0.12	6	Methylparaben	0.12
0.02	7	Propylparaben	0.03
1.50	8	Talc	1.50
1.00	9	Magnesium stearate	1.00
—	10	Water, purified	QS

MANUFACTURING DIRECTIONS

1. Place items 1 to 4 in a suitable vessel after passing them through a 40 mesh screen. Mix at medium speed for 15 minutes.
2. In a separate vessel, take a sufficient quantity of item 10, and heat it to 80°C; add items 5 and 6, and dissolve. Allow the mixture to cool to 50°C, and then add item 7. Stir and mix this to obtain a smooth paste.
3. Add the wet mass in step 2 to step 1, and mix well. Pass the wet mass through an 8 mm screen, and collect on paper-lined trays.
4. Dry the wet mass at 50°C overnight to an LOD of not more than 2%.
5. Pass dried granules through an 18 mm sieve, and collect them in a tumble mixer.
6. Pass item 8 through a 500 µm and item 9 through a 250 µm sieve screen, and add to step 5. Blend for 1 minute.
7. Compress into 200 mg tablets, using 8 mm round punches.

DELAVIRDINE MESYLATE TABLETS

Bill of Materials			
Scale (mg/ tablet)	Item	Material Name	Quantity/ 1000 Tablets (g)
200.00	1	Delavirdine mesylate	200.00
198.76	2	Microcrystalline cellulose	198.76
71.29	3	Coarse powder lactose monohydrate spray	71.29
75.00	4	Hydroxypropyl methylcellulose 2910 3 cps	75.00
110.00	5	Croscarmellose sodium Type A	110.00
1.50	6	Colloidal silicon dioxide	1.50
5.00	7	Magnesium stearate	5.00

MANUFACTURING DIRECTIONS

1. Manufacture the tablets by intensely mixing the delavirdine mesylate and the microcrystalline cellulose in a high-shear mixer.
2. Then, add and mix the hydroxypropyl methylcellulose, croscarmellose, lactose, and screened colloidal silicon dioxide in a high-shear mixer. Finally, add screened magnesium stearate and lubricate in a high-shear mixer. Compress the resulting mixture, film coat, and polish to give tablets that have about 200 mg of delavirdine mesylate/tablet.

DESLORATADINE TABLETS (5 MG), CLARINEX®

Clarinx® (desloratadine) tablets are light blue, round, film-coated tablets containing 5 mg of desloratadine, an antihistamine, to be administered orally. The tablet also contains the following excipients: dibasic calcium phosphate dihydrate USP, microcrystalline cellulose NF, cornstarch NF, talc USP, carnauba wax NF, white wax NF, coating material consisting of lactose monohydrate, hydroxypropyl methylcellulose, titanium dioxide, polyethylene glycol, and FD&C Blue No. 2 Aluminum Lake.

DESOGESTREL AND ETHINYL ESTRADIOL TABLETS (0.15 MG/0.03 MG), ORTHO-CEPT

Ortho-Cept 21 and Ortho-Cept 28 tablets provide an oral contraceptive regimen of 21 orange, round tablets, each containing 0.15 mg of desogestrel (13-ethyl-11-methylene-18,19-dinor-17α-pregn-4-en-20-yn-17-ol) and 0.03 mg of ethinyl estradiol (19-nor-17α-pregna-1,3,5(10)-trien-20-yne-3,17, diol). Inactive ingredients include vitamin E, cornstarch, povidone, stearic acid, colloidal silicon dioxide, lactose, hydroxypropyl methylcellulose, polyethylene glycol, titanium dioxide, talc, and ferric oxide. Ortho-Cept 28 also contains seven green tablets containing the following inactive ingredients: lactose,

pregelatinized starch, magnesium stearate, FD&C Blue No. 1 Aluminum Lake, ferric oxide, hydroxypropyl methylcellulose, polyethylene glycol, titanium dioxide, and talc.

DIAZEPAM TABLET (10 MG)

Formulation: Diazepam, 10 g; Ludipress[®], 100 to 480 g; magnesium stearate, 0.5 to 2.0 g.

MANUFACTURING DIRECTIONS

- Mix all components, pass through a 0.8 mm sieve, and press with medium compaction force 11 to 490 mg based on label required.

DIAZEPAM TABLETS (2 MG/5 MG/10 MG)

Bill of Materials

Scale (mg/ tablet)	Item	Material Name	Quantity/ 1000 Tablets (g)
10.00	1	Diazepam	10.00
70.00	2	Potato starch	70.00
150.00	3	Lactose	150.00
1.50	4	Potato starch, cold swelling	1.50
0.076	5	Polysorbate 80	0.076
48.00	6	Microcrystalline cellulose	48.00
0.75	7	Magnesium stearate	0.75
QS	8	Talc, QS	300.00

MANUFACTURING DIRECTIONS

- Granulation
 - Weigh and mix for 10 minutes potato starch, lactose, potato starch (cold swelling), and diazepam in a suitable mixer.
 - Pass the mixture through a FitzMill at high speed, impact forward.
 - Separately dissolve polysorbate 80 in purified water.
 - Wet the mixture from step 1b with the solution from step 1c, adding more water if necessary.
 - Pass the wet mass through a FitzMill sieve #24192, and dry in a drying oven at 35°C for 20 hours.
 - Pass the dried granulation through a FitzMill.
 - Separately pass through a FitzMill sieve (0.3 mm screen) the following: microcrystalline cellulose, magnesium stearate, and talc.
 - Add the granules from step 1f, and mix for 15 minutes.
- Compression: Compress using round, flat punches with beveled edges and a break line on one side.

Theoretical weight of 300 mg (290–310 mg); thickness 3.2 mm (range: 3.1–3.3 mm); diameter 9.5 mm (range 9.3–9.7 mm). For 2 mg and 5 mg tablets, adjust fill weight accordingly; for larger tablet size, adjust proportionally with lactose and starch.

DICLOFENAC SODIUM TABLETS

Bill of Materials

Scale (mg/ tablet)	Item	Material Name	Quantity/ 1000 Tablets (g)
25.00	1	Diclofenac sodium	25.00
85.00	2	Lactose, monohydrate	85.00
10.00	3	Sodium starch glycolate (pH 5.5–7.5)	10.00
3.00	4	Povidone (K 29–32)	3.00
3.00	5	Starch (corn)	3.00
58.00 mL	6	Alcohol isopropyl, anhydrous	58.00 mL
5.00	7	Sodium starch glycolate (pH 5.5–7.5)	5.00
1.50	8	Magnesium stearate	1.50

MANUFACTURING DIRECTIONS

- Granulation
 - Dry mix together diclofenac sodium, lactose, sodium starch glycolate, and starch in a suitable planetary mixer for 10 to 15 minutes.
 - Dissolve povidone in 44 mL of alcohol and ensure complete solution.
 - While mixing, add povidone solution to step 1a, and add the remaining alcohol to obtain suitable mass. Add an extra quantity of alcohol, if required.
 - Pass the wet mass through a 4 mesh (4.8 mm aperture) screen, and spread on paper-lined oven trays.
 - Dry the granules at 40°C to an LOD of not more than 2% (3 hours at 60°C under vacuum).
 - Request samples.

Note: The balance of manufacturing in the “Granulation” process should be done at not more than 45% relative humidity and at a temperature not exceeding 26.5°C.
 - Mill the dried granule through a FitzMill fitted with a 1.19 mm aperture screen at slow speed and with knives forward.
 - Store the material in clean, polyethylene-lined containers that are sealed.
- Lubrication
 - Load one-half of the screened granule from step 1 h into a suitable blender. Add sodium starch glycolate and magnesium stearate to the blender,

- and then add the balance of screened granule from step 1 h. Blend for 15 to 20 minutes.
- b. Store in clean, tared polyethylene-lined containers, and seal and weigh for yield.
3. Compression
 - a. Compress on a suitable tablet machine equipped with a dedusting unit, using 1/4 in. diameter concave punches with both sides plain.
 - b. The theoretical weight of 10 tablets is 1.325 g (range 1.295–1.355 g), with a thickness of 3.7 to 4.1 mm.
 4. Coating: Use a subcoat, an enteric color coat, and a finishing coat. (See Appendix.)

DICLOFENAC SODIUM TABLETS (50 MG)

Bill of Materials			
Scale (mg/ tablet)	Item	Material Name	Quantity/ 1000 Tablets (g)
50.00	1	Diclofenac sodium	50.00
85.00	2	Lactose, monohydrate	85.00
15.00	3	Sodium starch glycolate (pH 5.5–7.5)	15.00
5.00	4	Povidone (K 29–32)	5.00
4.00	5	Starch (corn)	4.00
0.073 mL	6	Alcohol isopropyl, anhydrous refined	73.00 mL
7.00	7	Sodium starch glycolate (pH 5.5–7.5)	7.00
2.00	8	Magnesium stearate impalpable powder	2.00

MANUFACTURING DIRECTIONS

1. Follow the manufacturing directions in the previous formulation. The theoretical weight of 10 tablets is 1.68 g (range: 1.64–1.72), with a thickness of 4.60 to 5.0 mm. Apply an enteric coat. (See Appendix.)

DICLOFENAC SODIUM TABLETS (100 MG)

Bill of Materials			
Scale (mg/ tablet)	Item	Material Name	Quantity/ 1000 Tablets (g)
100.00	1	Diclofenac sodium	100.00
15.00	2	Eudragit® RSPN, 5% (methyl methacrylate copolymer)	15.00
6.00	3	Dibutyl phthalate (2%)	6.00
176.00	4	Dicalcium phosphate dihydrate	176.00
3.00	5	Magnesium stearate	3.00
—	6	Isopropyl alcohol	QS

MANUFACTURING DIRECTIONS

1. Place items 1, 2, and 4 in a planetary blender, and mix for 10 minutes.
2. In a separate container, add items 3 and 6 until homogeneous. Add to step 1 slowly to form loose aggregates of blend.
3. Pass the aggregates through an 8 mesh sieve onto paper-lined trays.
4. Dry the granules in a room with low humidity.
5. Pass the dried granules through a 20 mesh screen into a blending vessel.
6. Add item 5 after passing through a 250 µm sieve to step 5, and blend for 2 minutes.
7. Compress into 300 mg tablets, using a suitable punch.
8. Coat using an enteric coating. (See Appendix.)

DICLOFENAC SODIUM DISPERSIBLE TABLETS (50 MG)

Formulation: Diclofenac Na, 50.0 mg; Avicel™ PH 102, 143.8 mg; Kollidon® CL, 50.0 mg; Aerosil® 200, 5.0 mg; magnesium stearate, 1.0 mg.

MANUFACTURING DIRECTIONS

Mix the ingredients together, pass through a 0.8 mm sieve, and compress into tablets with a force of about 10 kN at 248 mg.

DICLOFENAC SODIUM TABLETS (25 MG) VOLTAREN, CATAFLAM®

Diclofenac potassium is available as Cataflam® immediate-release tablets of 50 mg for oral administration. Cataflam® inactive ingredients include calcium phosphate, colloidal silicon dioxide, iron oxides, magnesium stearate, microcrystalline cellulose, polyethylene glycol, povidone, sodium starch glycolate, starch, sucrose, talc, and titanium dioxide.

Diclofenac sodium is available as Voltaren delayed-release (enteric-coated) tablets of 25, 50, and 75 mg for oral administration, as well as Voltaren-XR extended-release tablets of 100 mg. Voltaren inactive ingredients are hydroxypropyl methylcellulose, iron oxide, lactose, magnesium stearate, methacrylic acid copolymer, microcrystalline cellulose, polyethylene glycol, povidone, propylene glycol, sodium hydroxide, sodium starch glycolate, talc, titanium dioxide, D&C Yellow No. 10 Aluminum Lake (25 mg tablet only), and FD&C Blue No. 1 Aluminum Lake (50 mg tablet only). Voltaren-XR inactive ingredients are cetyl alcohol, hydroxypropyl methylcellulose, iron oxide, magnesium stearate, polyethylene glycol, polysorbate, povidone, silicon dioxide, sucrose, talc, and titanium dioxide.

DICLOFENAC SUSTAINED-RELEASE TABLETS (100 MG)

Formulation: Diclofenac sodium (Ivotec), 100.0 g; Kollidon® SR, 100.0 g; silicon dioxide, colloidal, 3.4 g; magnesium stearate, 3.4 g.

MANUFACTURING DIRECTIONS

1. Pass all ingredients through a 0.8 mm sieve, blend for 10 minutes in a Turbula mixer, and then compress with medium-compression force at 206.40 mg.

DICLOFENAC TABLETS (50 MG)

Formulation: Diclofenac sodium, 50.0 g; Ludipress®, 150.0 g; magnesium stearate, 1.5 g; polyethylene glycol 6000, powder, 15.0 g; Kollidon® CL, 10.0 g.

MANUFACTURING DIRECTIONS

1. Mix all components, pass through a 0.8 mm sieve, and press with low-compression force at 226 mg.

DIDANOSINE TABLETS (50 MG)

Bill of Materials

Scale (mg/ tablet)	Item	Material Name	Quantity/ 1000 Tablets (g)
50.00	1	Didanosine	50.00
17.00	2	Microcrystalline cellulose	17.00
2.10	3	Sodium starch glycolate	2.10
0.60	3	Magnesium stearate (for compaction)	0.60
0.40	4	Magnesium stearate (for tableting)	0.30

MANUFACTURING DIRECTIONS

1. Sift items 1 to 4 through a 250 µm mesh, mix well, and dry compress.
2. Pass granules through a large mesh and blend with item 4. Finally, compress into 70 mg tablets, using 8 mm punches.
3. Coat using Eudragit L-30D-55 coating solution. (See Appendix.)

DIETHYLCARBAMAZINE TABLETS (100 MG)

Bill of Materials

Scale (mg/ tablet)	Item	Material Name	Quantity/ 1000 Tablets (g)
100.00	1	Diethylcarbamazine citrate	102.00
100.00	2	Dicalcium phosphate	100.00
3.50	3	Gelatin	3.50
130.00	4	Lactose monohydrate	130.00
35.00	5	Starch (maize)	35.00
10.00	6	Talc	10.00
3.50	7	Magnesium stearate	3.50
—	8	Water, purified	QS

MANUFACTURING DIRECTIONS

1. Sift items 1, 2, and 4 through a 500 µm sieve, and place them in a suitable blender. Blend for 5 minutes.
2. In a separate vessel, place items 3 and 5; add sufficient hot item 8 to dissolve and disperse into a smooth slurry.
3. Add step 2 into step 1, make a suitable wet mass, and pass through a 2.38 mm sieve onto paper-lined trays. Dry overnight at 60°C to an LOD of not more than 2.5%.
4. Pass the dried granules through a 16 mesh sieve into a blending vessel.
5. Sift items 6 and 7 through a 250 µm sieve, add to step 4, and blend for 1 minute.
6. Compress into 350 mg tablets, using 9.7 mm punches.

DIFENOXIN AND ATROPINE TABLETS (0.5 MG/0.025 MG)

Bill of Materials

Scale (mg/ tablet)	Item	Material Name	Quantity/ 1000 Tablets (g)
0.50	1	Difenoxin hydrochloride	0.50
0.025	2	Atropine sulfate	0.025
88.00	3	Lactose monohydrate	88.00
23.00	4	Starch (corn)	23.00
2.50	5	Starch (corn)	2.50
5.00	6	Talc	5.00
1.00	7	Magnesium stearate	1.00
—	8	Water, purified	QS

MANUFACTURING DIRECTIONS

1. Blending
 - a. Prepare a blend of lactose, starch (item 4), and talc.
 - b. Blend difenoxin hydrochloride and atropine sulfate with a small quantity of blend from step 1a.

- c. Blend this premix with the remainder of step 1. Pass through a 40 mesh (420 μm aperture or similar) screen.
 - d. Slurry the starch (item 5) in 5 mL of cold purified water. Add the slurry to 20 mL of boiling purified water.
 - e. Mass blend with starch paste from step 1d, adding more hot purified water, if necessary.
 - f. Pass the mass through an 8 mesh (2.38 mm aperture or similar) screen.
 - g. Dry the granules at 35°C (95°F) until the LOD is not greater than 5%.
 - h. Screen the dried granules through a 20 mesh (840 μm aperture or similar) screen, and lubricate with magnesium stearate.
2. Compression: Compress on a rotary tablet machine using 6.35 mm circular punches.

DIGOXIN TABLETS (0.125 MG/0.25 MG), LANOXIN

Lanoxin is supplied as 125 μg (0.125 mg) or 250 μg (0.25 mg) tablets for oral administration. Each tablet contains the labeled amount of digoxin and the following inactive ingredients: corn and potato starches, lactose, and magnesium stearate. In addition, the dyes used in the 125 μg (0.125 mg) tablets are D&C Yellow No. 10 and FD&C Yellow No. 6.

DIGOXIN TABLETS

MANUFACTURING DIRECTIONS

1. Add 12.5 g digoxin and 50.5 g polyvinylpyrrolidone (MGW: 25,000) in 1500 g of an isopropanol–water mixture (7+3) to the pot of a planetary agitator of 20 L volume.
2. Add 437 g of amorphous, porous silica in portions to this solution while stirring with a blade agitator.
3. After silica has combined with the liquid phase, and the batch has taken on a gel-type, completely lump-free structure, add 4500 g of lactose is added in portions, and vigorously mix the batch.
4. Spread the pasty mass evenly on drying trays and dry for 3 hours at 80°C. Thereafter, pass the dry material through a 0.75 mm screen, provide with an addition of 15 wt.% of pelletizing aids, and compact to tablets in the usual manner.

DIHYDROXYALUMINUM SODIUM CARBONATE TABLETS

Bill of Materials

Scale (mg/ tablet)	Item	Material Name	Quantity/ 1000 Tablets (g)
31.00	1	Dihydroxyaluminum sodium carbonate (Giulini A 265)	31.00
61.50	2	Sugar	61.50
2.00	3	Magnesium stearate	2.00
15.00	4	Starch	15.00
QS	5	Flavor, sweetener	0.50

MANUFACTURING DIRECTIONS

1. Blend to mix and compress into 110 mg tablets, using 6 mm punch.

DILTIAZEM HYDROCHLORIDE TABLETS (60 MG)

Bill of Materials

Scale (mg/ tablet)	Item	Material Name	Quantity/ 1000 Tablets (g)
60.00	1	Diltiazem hydrochloride	60.00
100.00	2	Lactose monohydrates	100.00
66.00	3	Oil castor hydrogenated (Cutina HR)	66.00
20.00	4	Polyethylene glycol 8000, milled	20.00
0.06 mL	5	Alcohol isopropyl anhydrous	60.00 mL
4.00	6	Magnesium stearate	4.00

MANUFACTURING DIRECTIONS

1. Mill castor oil hydrogenated through a 120 mesh (125 μm aperture) screen at medium speed with knives forward.
2. Load milled castor oil hydrogenated from step 1, lactose (item 2), and diltiazem hydrochloride into a suitable planetary mixer, and dry blend for 10 to 15 minutes.
3. Dissolve the polyethylene glycol in the isopropyl alcohol (warm to 40–45°C, if necessary).
4. Gradually add the warm solution from step 3 to powder blend, and mix until a suitable mass is obtained.
5. Pass the mass through a 4 mesh (4.8 mm aperture) screen, and spread on paper-lined oven trays.
6. Dry the granules at 45°C to 50°C to an LOD of not more than 1% (at 60°C under vacuum for 3 hours). Allow to cool.

- Mill the dried granule through a 16 mesh (1.19 mm aperture) screen, with knives forward at medium speed. As an alternative, pass the dried granule through a 1.19 mm aperture screen fitted to an oscillating granulator.
- Load the screened granule into a suitable blender, add magnesium stearate, and blend for 5 to 10 minutes.
- Compress on a suitable rotary machine, using 3/8 in. standard concave punches. The theoretical weight of 10 tablets is 250 mg/tablet, with hardness not less than 4 kPa.

DILTIAZEM TABLETS 60 MG CARDIZEM

Cardizem direct-compression tablets: Each tablet contains 30, 60, 90, or 120 mg of diltiazem HCl. It also contains D&C Yellow No. 10 Aluminum Lake, FD&C Yellow No. 6 Aluminum Lake (60 and 120 mg), or FD&C Blue No. 1 Aluminum Lake (30 and 90 mg), hydroxypropyl methylcellulose, lactose, magnesium stearate, methylparaben, microcrystalline cellulose, silicon dioxide, and other ingredients.

DILTIAZEM TABLETS

Bill of Materials

Scale (mg/ tablet)	Item	Material Name	Quantity/ 1000 Tablets (g)
60.00	1	Diltiazem	60.00
141.00	2	Ludipress®	141.00
5.00	3	Polyethylene glycol 6000 powder	5.00
1.00	4	Aerosil® 200	1.00
1.00	5	Magnesium stearate	1.00

MANUFACTURING DIRECTIONS

- Mix all components, pass through a sieve, and press with low-compression force.
- Compress into 215 mg tablets, using 8 mm biplanar punches.

DIMENHYDRINATE TABLETS

Bill of Materials

Scale (mg/ tablet)	Item	Material Name	Quantity/ 1000 Tablets (g)
50.00	1	Dimenhydrinate	50.00
245.00	2	Ludipress®	245.00
5.00	3	Magnesium stearate	5.00

MANUFACTURING DIRECTIONS

- Mix all components, sieve, and press with low-compression force.
- Compress into 300 mg tablets, using 8 mm biplanar punches.

DIMENHYDRINATE TABLETS

Bill of Materials

Scale (mg/ tablet)	Item	Material Name	Quantity/ 1000 Tablets (g)
50.00	1	Dimenhydrinate	50.00
50.00	2	Cellulose (microcrystalline) (Avicel™ PH101)	50.00
125.00	3	Lactose	125.00
2.29	4	Croscarmellose sodium (Ac-Di-Sol, SD-711)	2.29
1.00	5	Fumed silicon dioxide	1.00
0.50	6	Stearic acid	0.50
0.50	7	Magnesium stearate	0.50

MANUFACTURING DIRECTIONS

- Screen items 1, 5, and 6 separately through a 40 mesh sieve.
- Blend items 1, 2, 4, and 5 in a V-blender for 3 minutes.
- Add item 3 in the blender, and mix for 17 minutes.
- Add item 6, and blend for 3 minutes.
- Add item 7 to the blender, and mix for 5 minutes.
- Compress using 3/8 in., flat, beveled-edge punches to a hardness of 6 kPa and average tablet weight of 228 mg.

DIMENHYDRINATE TABLETS

Bill of Materials

Scale (mg/ tablet)	Item	Material Name	Quantity/ 1000 Tablets (g)
100.00	1	Dimenhydrinate	100.00
40.00	2	Lactose monohydrate	40.00
40.00	3	Cornstarch	40.00
6.00	4	Kollidon® 90F	6.00
30.00	5	Isopropanol	30.00
14.00	6	Kollidon® CL	14.00
16.00	7	Talc	16.00
2.00	8	Aerosil® 200	2.00
2.00	9	Calcium arachinate	2.00

MANUFACTURING DIRECTIONS

1. Granulate mixture of items 1 to 4 with item 5, dry, pass through a 0.8 mm sieve, mix with items 6 to 9, and press with low-compression force.
2. Compress into 210 mg tablets, using 9 mm biconvex punches.

DIMENHYDRINATE TABLETS (50 MG), DC

Formulation: Dimenhydrinate, 50 g; Aerosil® 200, 4.0 g; Ludipress®, 140 g; Kollidon® CL, 2.0 g; magnesium stearate, 1.5 g.

MANUFACTURING DIRECTIONS

1. Mix dimenhydrinate with Aerosil® 200, add other components, and then sieve.
2. Press with low-compression force at 202 mg.

DIPHENHYDRAMINE AND PSEUDOEPHEDRINE CHEWABLE TABLETS**Bill of Materials**

Scale (mg/ tablet)	Item	Material Name	Quantity/ 1000 Tablets (g)
25.00	1	Diphenhydramine hydrochloride	25.00
60.00	2	Pseudoephedrine hydrochloride	60.00
415.00	3	Cab-o-Sil	415.00
200.00	4	Water	200.00

MANUFACTURING DIRECTIONS

1. Mix diphenhydramine hydrochloride and pseudoephedrine hydrochloride in the water until thoroughly dissolved.
2. Pour Cab-o-Sil M5 (silicon dioxide) into a planetary mixer, to which add the dissolved drug solution and mix at slow speed.
3. Continue for 5 minutes, until the solution and Cab-o-Sil are completely mixed.
4. Dry the entire composition in a forced hot air oven for 7 hours at 50°C.
5. Dry the composition to an LOD of 1.0%.
6. Screen the dried material through a No. 30 U.S. Standard mesh screen and compressed to give average weight of 1.0 g containing 50 mg of diphenhydramine hydrochloride and 120 mg of pseudoephedrine hydrochloride.

DIPHENHYDRAMINE HYDROCHLORIDE TABLETS**Bill of Materials**

Scale (mg/ tablet)	Item	Material Name	Quantity/ 1000 Tablets (g)
25.00	1	Diphenhydramine hydrochloride	25.00
150.00	2	Calcium phosphate (dibasic)	150.00
20.00	3	Starch (StaRX 1500)	20.00
QS	4	Polyvinylpyrrolidone (PVP)	QS
QS	5	Alcohol, USP	QS
75.00	6	Stearic acid (fine powder)	75.00
25.00	7	Cellulose (microcrystalline)	25.00
QS	8	Purified water, USP	QS

MANUFACTURING DIRECTIONS

1. In a planetary mixer, load the diphenhydramine hydrochloride, calcium phosphate dibasic, and starch.
2. Mix for 5 to 10 minutes.
3. In a separate mixer, place polyvinylpyrrolidone, alcohol, and water in a: 1:50:40 ratio.
4. Moisten this mixture with solution from the previous step to granulate.
5. Record the volume used.
6. Pass the wet mass through a 14 mesh screen on dryer trays.
7. Dry the granulation at 120°F to 130°F, or use a fluid-bed dryer.
8. Pass the dried granules through a 20 mesh screen.
9. Transfer dried granules to twin-shell blender, and add stearic acid (previously passed through a 30 mesh screen) and microcrystalline cellulose.
10. Mix for 5 to 7 minutes.
11. Compress into 300 mg tablets, using a rotary press with 5/16 in. standard concave punches.

DIPHENOXYLATE HYDROCHLORIDE AND ATROPINE SULFATE TABLETS (2.5 MG/0.025 MG)**Bill of Materials**

Scale (mg/ tablet)	Item	Material Name	Quantity/ 1000 Tablets (g)
2.50	1	Diphenoxylate hydrochloride	2.50
0.025	2	Atropine sulfate	0.025
11.40	3	Starch (maize)	11.40
54.00	4	Lactose monohydrate	54.00
2.50	5	Starch (maize)	2.50
0.60	6	Magnesium stearate	0.60
QS	7	Water, purified, ca	11.00

MANUFACTURING DIRECTIONS

1. Sieve item 5, and disperse into 2.50 g of cold item 7. Then, add the balance of item 7 at 70°C, and heat to 80°C until completely gelatinized. Prepare a smooth slurry without lumps.
2. Leave the starch paste to cool to 40°C to 50°C.
3. Sieve item 4 and item 3 through a 250 µm sieve. Load items 1 and 2 into the mixer, and mix the items for 5 minutes at medium speed.
4. Add a starch paste cooled to 40°C to 50°C, and mix for 3 minutes at slow speed until a satisfactory mass is obtained. Add extra item 7 if required.
5. Spread the wet granules onto trays, and dry at 55°C for 12 hours.
6. Pass the dried granules through a 1 mm sieve.
7. Sieve item 6 through a 250 µm sieve, add to granules, and mix for 1 minute.
8. Compress into 71 mg tablets, using 5.5 mm punches.

DIVALPROATE SODIUM TABLETS (125 MG), DEPAKOTE

Depakote tablets are supplied in three dosage strengths containing divalproex sodium equivalent to 125, 250, or 500 mg of valproic acid. The inactive ingredients are cellulosic polymers, diacetylated monoglycerides, povidone, pregelatinized starch (contains cornstarch), silica gel, talc, titanium dioxide, and vanillin. In addition, individual tablets contain the following: 125 mg tablets: FD&C Blue No. 1 and FD&C Red No. 40; 250 mg tablets: FD&C Yellow No. 6 and iron oxide; and 500 mg tablets: D&C Red No. 30, FD&C Blue No. 2, and iron oxide.

DIVALPROATE SODIUM TABLETS**Bill of Materials**

Scale (mg/ tablet)	Item	Material Name	Quantity/ 1000 Tablets (g)
6.25	1	Povidone K 29–32	6.25
125.00	2	Valproic acid; use divalproex sodium	134.55
25.00	3	Cornstarch	25.00
6.25	4	Povidone K 29–32	6.25
35.00	5	Silicon dioxide	35.00
QS	6	Alcohol SD 3A 200 proof, ca	38 mL
7.50	7	Silicon dioxide	7.50

MANUFACTURING DIRECTIONS

Caution: Avoid inhaling or making skin contact with sodium hydrogen divalproate. Wear dust respirator and eye protection during the processing of granulating, lubricating, and compressing sections.

1. Granulation

- a. Dissolve povidone (item 1) in approximately 33 mL of alcohol.

Caution: Sodium divalproate melts under excessive shear. Ensure adequate lubrication during the milling step.

- b. Cross-feed sodium hydrogen divalproate, pregelatinized starch, povidone (item 4), and approximately one-half of the silicon dioxide (item 5) through a comminuting mill, fitted with a 686 µm aperture screen at high speed, hammers forward.

Note: To permit easy milling, it is advantageous to premix sodium hydrogen divalproate with one-third of silicon dioxide (item 5) for 5 minutes in a suitable mixer before passing through the comminuting mill.

- c. Load the milled materials from step 2 and the remaining silicon dioxide (item 5) into a suitable mixer. Blend for 5 to 10 minutes. Add povidone solution (step 1a) to the contents of the mixer to obtain a suitable mass. The materials do not wet easily, but they overmass rapidly. If necessary, add extra alcohol, up to 15 mL. Another method, if using high-shear mixers, is to load the milled materials from step 2 and the remaining silicon dioxide into the mixer bowl. Blend at fast mixer/fast chopper conditions for 2 minutes. Add the povidone solution (step 1) over a period of 20 to 30 seconds using fast mixer/fast chopper conditions. Discharge from the mixer at a motor current of 35 to 40 amps. If necessary, add extra alcohol, portion wise, up to 8 mL, allowing sufficient time between additions to ensure that the motor current does not exceed 40 amps.

- d. Pass the wet mass through an oscillating granulator fitted with a 4.0 mm aperture screen and spread on paper-lined oven trays. As an alternative, pass the wet mass through a 9.53 mm aperture screen fitted to a comminuting mill, at slow speed, with knives forward, and spread on paper-lined oven trays. Dry at 49°C to an LOD of not more than 2% (3 hours, 60°C, vacuum).

Note: The balance of manufacturing in the “Granulation” process should be done at not more than 45% relative humidity and at temperatures of not more than 30°C.

- e. Pass the dried granule through a 1.18 mm or 1.40 mm aperture screen fitted to an oscillating granulator, or screen the dry granules on a 1.4 mm aperture screen fitted to a suitable sieve shaker. Pass coarse granule through either a 1.18 mm or a 1.40 mm aperture screen fitted to an oscillating granulator.

2. Lubrication

Note: The balance of manufacturing in the “Lubrication” stage should be done at not more than 40% relative humidity and at not more than 30°C.

- a. Load one-half of the screened granule from step 1d into a suitable blender. Add silicon dioxide (item 7) via a 1.7 mm aperture screen to the blender followed by the balance of the screened granule from step 1d.
 - b. Blend for 20 minutes, ensuring that no pockets or agglomerations of lubricant silicon dioxide remain.
 - c. Discharge into tared polythene-lined drums.
3. Compression: Compress into 215 mg tablets, using 6.24×11.90 mm punches. For higher-strength 250 and 500 mg tablets, use proportional amounts and larger-sized punches.

Note: The balance of manufacturing in the “Compression” stage must be done at not more than 40% relative humidity and at not more than 26.5°C.

- a. Coating: Apply a PVP subcoat, an enteric opaque Methocel coating, and a finishing coat. (See Appendix for details.)

DIVALPROEX SODIUM TABLETS (400 MG)

Bill of Materials			
Scale (mg/ tablet)	Item	Material Name	Quantity/ 1000 Tablets (g)
400.00	1	Valproic acid; use divalproex sodium, milled	538.20
80.00	2	Hydroxypropyl methylcellulose (Methocel K 15 M), CR	80.00
180.00	3	Methyl cellulose (Methocel K100 L), CR	180.00
121.80	4	Lactose, anhydrous	121.80
50.00	5	Microcrystalline cellulose (Avicel™ PH 101)	50.00
30.00	6	Colloidal silicon dioxide	30.00

Note: Item 3 can be replaced by item 4. Note that this is a once-daily use formulation.

MANUFACTURING DIRECTIONS

1. Pass item 1 through a 40 mesh sieve (0.42 mm nominal mesh opening) and place in a suitable mixing vessel.
2. Pass items 2 to 5 through a 250 µm mesh, add to step 1, and mix for 20 minutes.
3. Add item 6 to step 2, and blend for an additional 5 minutes.
4. Compress into 1000 mg tablets, using a suitable punch.

DOXAZOSIN MESYLATE TABLETS (1 MG/2 MG/4 MG/8 MG)

Doxazosin mesylate is available as colored tablets for oral use and contains 1 mg (white), 2 mg (yellow), 4 mg (orange), and 8 mg (green) of doxazosin as the free base. The inactive ingredients for all tablets are microcrystalline cellulose, lactose, sodium starch glycolate, magnesium stearate, and sodium lauryl sulfate. The 2 mg tablet contains FD&C Yellow No. 10 and FD&C Yellow No. 6; the 4 mg tablet contains FD&C Yellow No. 6; the 8 mg tablet contains FD&C Blue No. 10 and FD&C Yellow No. 10.

DOXYCYCLINE HYDROCHLORIDE TABLETS (100 MG)

Inert ingredients for the tablet formulation are ethyl cellulose, hydroxypropyl methylcellulose, magnesium stearate, microcrystalline cellulose, propylene glycol, sodium lauryl sulfate, talc, titanium dioxide, and FD&C Yellow No. 6 Lake. Inert ingredients for the coated pellets are lactose, NF; microcrystalline cellulose, NF; and povidone, USP. Each shell and band contains FD&C Blue No. 1; FD&C Yellow No. 6, D&C Yellow No. 10; gelatin, NF; silicon dioxide; sodium laurel sulfate, NF; and titanium dioxide, USP.

DOXYCYCLINE HYDROCHLORIDE TABLETS

Bill of Materials			
Scale (mg/ tablet)	Item	Material Name	Quantity/ 1000 Tablets (g)
100.00	1	Doxycycline hydrochloride	100.00
40.00	2	Microcrystalline cellulose PH102	40.00
3.00	3	Aerosil® 200	3.00
13.00	4	Sodium starch glycolate	13.00
1.75	5	Magnesium stearate	1.75
2.00	6	Talc	2.00

MANUFACTURING DIRECTIONS

1. Place items 1 to 6 in a suitable blender after passing them through a 60 mesh sieve.
2. Mix the items for 10 minutes.
3. Compress into 160 mg tablets, using 12×5 mm punches.
4. Coat using HPMC coating. (See Appendix.)

DOXYCYCLINE MONOHYDRATE TABLETS

MANUFACTURING DIRECTIONS

1. Doxycycline monohydrate (105.8 g) and microcrystalline cellulose (45 g) are mixed for 15 minutes in a planetary mixer.

- The mixture is then granulated with 60 mL of water. After 10 minutes of kneading, the obtained wet mass is passed through a 2 mm sieve and the wet granulation dried at about 40°C until its water content is below 2% by weight.
- The granulate is then passed through a 0.71 mm sieve and is mixed for 20 minutes with low-substituted hydroxypropyl cellulose LH11 (18 g), hydroxypropyl methylcellulose 5 cps viscosity (4 g), saccharin (10 g), colloidal silica (0.6 g), and enough lactose to bring the total weight of the mixture to 248 g. Then, magnesium stearate (2 g) is added, and the mixing is continued for an additional 2 minutes.
- The resulting mixture is compressed into tablets, each of about 250 mg, about 9 mm diameter, and hardness of 70 to 100 N, or into tablets, each of about 125 mg, having a hardness of 60 to 90 N. The tablets disintegrate completely in water at room temperature within 30 to 45 seconds.

EFAVIRENZ TABLETS

MANUFACTURING DIRECTIONS

- Core tablet: Efavirenz, 950 g; microcrystalline cellulose NF, 380 g; hydroxypropyl cellulose LF NF, 60.8 g; croscarmellose sodium, 95 g; sodium lauryl sulfate, 19 g; lactose hydrous spray dried, 19.8% w/w; magnesium stearate, 1% w/w; water, 1.045 L.
- Film coating material per tablet: 3.3% by wt of tablet hydroxypropyl cellulose LF NF 8.54 mg (2.5%), hydroxypropyl methylcellulose USP 6CPS 8.54 mg (2.5%), titanium dioxide USP 3.42 mg (1%), and water (94%).
- Blend Efavirenz (950 g) with microcrystalline cellulose (380 g), sodium lauryl sulfate (19 g), hydroxypropyl cellulose (60.8 g), and croscarmellose sodium (95 g) in a Fielder 10 L high-shear granulator mixer for 4 minutes.
- Add at least about 1.1 wt% water per weight of efavirenz (1.045 L) to wet granulate the blended mixture over about 6 minutes to about 8 minutes to agglomerate the mixture using an appropriate spray nozzle.
- Dry the granulated mixture to a moisture content of about 2% to about 5% in a GlattWST-15 fluid-bed dryer.
- Mill the dried mixture using a 40 G round screen in a Comil. Blend the milled mixture in a V-blender with lactose for 4 minutes (calculated amount is the amount needed to make the final composition contain 19.8% lactose by weight).
- Lubricate the blended mixture with magnesium stearate (calculated amount is the amount needed to make the final composition contain 1% magnesium stearate by weight) in the V-blender for 3 minutes.
- Compress the lubricated mixture.
- Film coat the compressed tablets with an aqueous coating suspension that contains 2.5% hydroxypropyl cellulose (HPC); 2.5% hydroxypropyl methylcellulose (HPMC); and 1% titanium dioxide and 94% water by weight percent in a pan coater to a coat weight of about 3.3% per tablet. Note that the coat is the dried form of the suspension.

ELETRIPTAN-COATED FAST-CRUMBLING GRANULE TABLETS

Bill of Materials

Scale (mg/ tablet)	Item	Material Name	Quantity/ 1000 Tablets (g)
98.50	1	Eletriptan (salt)	98.50
4.90	2	AGG sodium croscarmellose	4.90
20.40	3	Ethyl cellulose	20.40
4.00	4	Polyoxyethylene glycol 6000	4.00
3.70	5	AGM sodium croscarmellose precipitated	3.70
1.40	6	Precipitated silica	1.40
3.90	7	Aspartame	3.90
3.50	8	Ac-Di-Sol	3.50

MANUFACTURING DIRECTIONS

- First prepare a granulation solution by dissolving 48 g of ethyl cellulose in 273 g of ethyl alcohol.
- Prepare a coating suspension by mixing 97 g of ethyl cellulose, 28.5 g of polyethylene glycol 6000, 26 g of sodium croscarmellose, 10 g of precipitated silica, and 27.5 g of aspartame in 1900 g of ethyl alcohol, until a homogeneous suspension is obtained.
- Then fluidize the powder mixture consisting of 700 g of eletriptan and 35 g of Ac-Di-Sol.
- Start the granulation process by spraying the granulation solution for about 15 to 20 minutes at a spraying rate of 25 g/min and a suspension atomization pressure of 0.8 bar.
- Perform the actual coating by spraying the coating suspension for about 1.5 hours at a spraying rate of about 15 to 20 g/min and a suspension spraying pressure of 1.5 bar.
- Formulate the coated granules thus obtained as fast-crumbling multiparticulate tablets, the composition of which is as follows:
 - Coated granules: Eletriptan, 136.8 mg (salt) (equivalent to 80 g of base active principle); mannitol, 575.20 mg; sodium croscarmellose, 24 mg; aspartame, 30 mg; mint liquorice, 10 mg; magnesium stearate, 8 mg.

- b. Manufacture the tablets by screening all the excipients, followed by homogenization of the granules coated with the mixture of excipients in a plowshare granulator. Distribute the granules obtained and shape on a rotary tableting machine. The hardness of the tablets obtained is about 30 N.

ENALAPRIL MALEATE TABLETS (2.5 MG/5 MG/10 MG/20 MG) VASOTEC

Enalapril maleate is supplied as 2.5, 5, 10, and 20 mg tablets for oral administration. In addition to the active ingredient enalapril maleate, each tablet contains the following inactive ingredients: lactose, magnesium stearate, starch, and other ingredients. The 2.5, 10, and 20 mg tablets also contain iron oxides.

ENALAPRIL MALEATE TABLETS

Bill of Materials			
Scale (mg/ tablet)	Item	Material Name	Quantity/ 1000 Tablets (g)
20.00	1	Enalapril maleate	20.00
10.00	2	Sodium carbonate powder	10.00
146.72	3	Lactose hydrous powder	146.72
22.00	4	Starch (corn)	22.00
1.10	5	Magnesium stearate	1.10
0.050	6	Iron oxide red	0.050
0.130	7	Iron oxide yellow	0.130

MANUFACTURING DIRECTIONS

Note: Use goggles, and wear dust protection. Also, process under low-humidity conditions.

- Granulation: Mix the ingredients with the excipients in a planetary mixer. Pass through a FitzMill equipped with a stainless steel screen, and remix in the planetary mixer. Wet the granulate with starch paste. Pass the wet mass through FitzMill. Dry the granules in hot air, and pass the dried granules through a FitzMill. Collect in polyethylene-lined containers.
- Lubrication: Transfer the dried, milled granules into the planetary mixer, add magnesium stearate, and mix. Collect in polyethylene-lined drums.
- Compression: Compress into 200 mg tablets, using round punches.

ENALAPRIL MALEATE TABLETS (10 MG)

Bill of Materials			
Scale (mg/ tablet)	Item	Material Name	Quantity/ 1000 Tablets (g)
20.00	1	Enalapril maleate	20.00
5.00	2	Sodium carbonate powder	5.00
160.50	3	Lactose hydrous powder	160.50
22.00	4	Starch (corn)	22.00
1.10	5	Magnesium stearate	1.10
0.050	6	Iron oxide red	0.050

MANUFACTURING DIRECTIONS

- Follow the instructions listed for the 20 mg strength.

ENOXACIN TABLETS (400 MG)

Bill of Materials			
Scale (mg/ tablet)	Item	Material Name	Quantity/ 1000 Tablets (g)
400.00	1	Enoxacin; use enoxacin sesquihydrate	434.00
80.00	2	Calcium carboxymethyl cellulose	80.00
6.00	3	Hydroxypropyl methylcellulose	6.00
60.00	4	Cellulose microcrystalline (Avicel™ PH 101)	60.00
6.00	5	Silicon dioxide colloidal	6.00
14.00	6	Magnesium stearate	14.00
QS	7	Water, purified, ca	200 mL

MANUFACTURING DIRECTIONS

- Granulation
 - If necessary, mill the enoxacin using a comminuting mill fitted with a 3 mm screen or sift through a 425 μm (40 mesh) screen.
 - Load the enoxacin and calcium carboxymethyl cellulose into a suitable mixer, and blend for 10 minutes.
 - Dissolve the hydroxypropyl cellulose in 200 mL of hot (80°C) water and allow to cool to below 40°C.
 - Add the solution from step 3 to the powder blend from step 2. Mix to produce a satisfactory mass. If necessary, add more purified water.
 - If necessary, pass the wet mass through a 4 mm screen, and load onto paper-lined trays.
 - Dry at 55°C to give an LOD of 6.5% to 7.5% (140°C, 2 hours).

- g. Pass the dried granulation through a 1.00 mm screen using a suitable granulator, adding Avicel™, silicon dioxide colloidal, and magnesium stearate, simultaneously.
- h. Blend for 5 minutes in a suitable mixer.
2. Compression: Compress using 16.00×8.00 mm ovaloid punches.
3. Coating: Coat using aqueous Methocel coating. (See Appendix.)

ENTACAPONE TABLETS

Bill of Materials			
Scale (mg/ tablet)	Item	Material Name	Quantity/ 1000 Tablets (g)
200.00	1	Entacapone	200.00
50.00	2	Microcrystalline cellulose	50.00
400.00	3	Mannitol	400.00
10.00	4	Magnesium stearate	10.00

EPLERENONE TABLETS

Bill of Materials			
Scale (mg/ tablet)	Item	Material Name	Quantity/ 1000 Tablets (g)
50.00	1	Eplerenone	50.00
71.40	2	Lactose monohydrate	71.40
26.14	3	Microcrystalline cellulose intragranular PH101	26.14
18.00	4	Microcrystalline cellulose extragranular	18.00
5.10	5	Hydroxypropyl methylcellulose 2910	5.10
8.50	6	Croscarmellose sodium (Ac-Di-Sol)	8.50
1.70	7	Sodium lauryl sulfate	1.70
1.70	8	Talc	1.70
0.85	9	Magnesium stearate	0.85

MANUFACTURING DIRECTIONS

1. Mix and granulate by wet method and compress into 50 mg dose immediate-release tablet (tablet diameter of 9/32 in.) or 25 mg dose immediate-release tablet (tablet diameter of 7/32 in.) using appropriate fill weight.
2. Coat tablets using Opadry White YS-1-18027A at 3% or alternately, Opadry Yellow YS-1-12524-A at 4% gain.

ERGOTAMINE TARTRATE FAST-MELT TABLETS

1. Ergotamine tartrate, 10%; sodium bicarbonate, 27%; citric acid anhydrous, 22%; Avicel™ PH113, 15%;

xylitol, 15%; L-HPC LH-11, 5%; Fujicalin SG, 4%; Crodesta F160, 2%.

2. Dry these ingredients to significantly reduce the moisture content of each material.
3. Blend for 10 minutes, and extrude in a hot melt extruder at 70°C to 100°C to soften and melt the thermal binders (sucrose stearate and xylitol) and to form granules containing the effervescent ingredients.
4. Mix EGT-EGF (20–80 mesh), 55%; microcrystalline cellulose, 26%; mannitol, 10%; Ac-Di-Sol, 2.5%; L-HPC LH-11, 2.5%; aspartame, 3%; redberry flavor, 0.4%; magnesium stearate, 0.5%; and fumed silicon dioxide, 0.1%.
5. Pass these granules through a 20 mesh screen, and then blend for 5 minutes prior to compression.
6. Compress ergotamine tartrate tablets to a hardness of approximately 1 to 5 kPa, and tablets should disintegrate in water in approximately 15 to 35 seconds.

ERYTHROMYCIN AND SULFAMETHOXAZOLE TABLETS

MANUFACTURING DIRECTIONS

1. Load 500 g of sulfamethoxazole and 10 g of a starch derivative into a mass mixer. Add 10 grams of cornstarch along with sufficient water to make a starch paste. Use this starch paste to make a standard granulation tableting, which should be dried and sized.
2. Separately, load 275 g of erythromycin and 10 g of conventional cellulosic binder into a mass mixer. Add a solution of 10 g povidone in water, and granulate the mixture. Dry the granulation and size in similar fashion to the sulfamethoxazole granulation, to yield particles of 10 to 40 mesh. Recycle oversize and undersize particles.
3. Separately, disperse 80 g of a cellulose phthalate enteric coating polymer and 8 g of an alkyl citrate plasticizer in a sufficient quantity of acetone and ethanol to make a solution. Add 0.3 g of blue dye lake, and stir the dispersion to mix.
4. Coat the erythromycin granulation with this solution in a particle coater, and size the resulting coated particles.
5. Separately, load a portion of the sulfamethoxazole granulation into a blender. Add the dried erythromycin-coated particles sized to 10 to 40 mesh, as well as 200 g of microcrystalline cellulose, NF and 4 g of conventional lubricants and glidants. Add the remainder of the sulfamethoxazole granulation, and blend the mixture. Compress this blended material in a conventional tablet press at applied force of 1500 to 6000 lb/in² into tablets having a weight per 10 tablets of approximately 12 g.

ERYTHROMYCIN ETHYLSUCCINATE TABLETS (400 MG)

Bill of Materials			
Scale (mg/ tablet)	Item	Material Name	Quantity/ 1000 Tablets (g)
400.00	1	Erythromycin; use erythromycin ethylsuccinate, citrate, washed ^a	470.58
200.00	2	Sucrose	200.00
200.00	3	Sodium citrate	200.00
50.00	4	Starch (maize)	50.00
2.50	5	Dye (optional)	2.50
—	6	Water, purified, ca	90.00
40.00	7	Polacrillin potassium (Amberlite IRP-88)	40.00
6.00	8	Magnesium stearate	6.00

^a Adjust for potency; taken as 850 µg/g for the amount given.

MANUFACTURING DIRECTIONS

Caution: Protect face and hands; relative humidity in the working area should not exceed 50%.

- Granulation
 - Pass the following items through a 0.5 mm aperture stainless steel screen: erythromycin ethylsuccinate, sucrose, sodium citrate, starch (maize), and dye (if used). Transfer the screened items to a suitable planetary mixer, and mix for 10 minutes.
 - While mixing, add purified water to the powders from step 1 until a suitable mass is formed. If necessary, add more purified water to complete the granulation.
 - Pass the wet mass from step 1b through a suitable granulator fitted with a 2.0 mm aperture stainless steel screen. Collect the granules on paper-lined trays.
 - Dry the granules in an oven at 50°C until the LOD content is in the range of 1% to 1.5%.
 - Pass the dried granules through a suitable granulator fitted with a 1.0 mm aperture screen. Collect the granules, and store in securely closed, double polyethylene-lined drums.
- Lubrication
 - Place into a suitable blender the dried, screened granules from step 1e.
 - Pass the Amberlite and magnesium stearate through a 0.5 mm aperture stainless steel screen. Add the screened powders to the blender.
 - Blend for 10 minutes.

- Discharge the blended granules into double polyethylene-lined drums. Close securely, and store until ready for compression.
- Compression: Compress using 9×19 mm ovaloid punches. Compress 967 mg. If using dye, compress 969 mg per tablet.
 - Coating: Apply Methocel, opaque Methocel, and Celar glass Methocel* coatings. (See Appendix.)

ERYTHROMYCIN PARTICLE- COATED TABLETS (150 MG)

Bill of Materials			
Scale (mg/ tablet)	Item	Material Name	Quantity/ 1000 Tablets (g)
150.00	1	Cellulose microcrystalline (Avicel™ PH 101)	150.00
12.00	2	Sodium starch glycolate	12.00
12.00	3	Hydroxypropyl cellulose	12.00
150.00	4	Lactose monohydrate powder	150.00
QS	5	Alcohol SD 3A 200 proof, ca	200 mL
333.00	6	Erythromycin; use erythromycin particle coated ^a	530.25
1.25	7	Stearic acid	1.25
1.25	8	Wax hydrogenated vegetable (Sterotex K)	1.25
1.25	9	Magnesium stearate powder	1.25
1.25	10	Silicon dioxide	1.25

^a Adjust weight of erythromycin-coated particles to allow for variable potency: $(333 \times 1000)/\text{potency} = G$ required for 1000 tablets. Adjust the weight of cellulose and microcrystalline NF (7) to compensate for variable potency of erythromycin. The amount required is 770.75; the factor weight of item 6 is G, required for 1000 tablets.

MANUFACTURING DIRECTIONS

Caution: Protect face and hands from erythromycin, because some individuals may be sensitive, and reactions may occur. Take a shower after excessive exposure during manufacture.

- Granulating
 - Load cellulose microcrystalline (item 1), sodium starch glycolate, hydroxypropyl cellulose, and lactose into a suitable mixer. Mix for approximately 20 minutes.
 - Granulate by adding approximately 200 mL of alcohol while mixing.
 - Pass wet granulation through a 5/8 in. band in rotary granulator or a similar granulator.

- d. Spread on paper-lined trays, and dry at 49°C until reaching an LOD of not more than 2% (60°C, 3 hours vacuum).
 - e. Pass dried granulation through 1.2 mm aperture screen. Mill oversize material through a 1.2 mm screen, knives forward, medium speed, using a FitzMill.
 - f. Fill into polyethylene-lined drums.
2. Lubricating
- a. Load ingredients from step 1f into the blender.
 - b. Add erythromycin-coated particles.
 - c. Mix and mill approximately 12.5 g of cellulose microcrystalline (item 7), stearic acid, hydrogenated vegetable oil wax, magnesium stearate, and colloidal silicon dioxide through 595 µm aperture screen, knives forward, at high speed, using a FitzMill, into a blender.
 - d. Load the balance of the cellulose microcrystalline (item 7) into the blender, and blend for 10 minutes.
 - e. Discharge into polyethylene-lined drums.
3. Compression
- a. Compress the product using ovaloid 8.6×18.9 mm punches.
 - b. Do not grind tablets or rework culls. Use a compressing machine with a force feeder.
 - c. The weight of 10 tablets is 11 g, the thickness is 7.7 to 8.6 mm, and the hardness is 18 to 25 kPa.
4. Coating: Use the HPMC clear coating solution. (See Appendix.)

ERYTHROMYCIN TABLETS (100 MG)

Bill of Materials			
Scale (mg/ tablet)	Item	Material Name	Quantity/ 1000 Tablets (g)
100.00	1	Erythromycin; use erythromycin stearate (600 µg/mg ^a)	166.667
91.18	2	Sodium citrate dihydrate powder	91.180
3.287	3	Povidone K 29–32	3.287
11.51	4	Sodium carboxymethyl cellulose, high viscosity	11.518
—	5	Alcohol denatured 200 proof	50.800 mL
8.68	6	Polacrillin potassium (Amberlite IRP-88)	8.684

^a Adjust for potency.

MANUFACTURING DIRECTIONS

1. See following.

ERYTHROMYCIN TABLETS (100 MG)

Bill of Materials			
Scale (mg/ tablet)	Item	Material Name	Quantity/ 1000 Tablets (g)
100.00	1	Erythromycin, use erythromycin stearate (600 µg/mg ^a)	166.66
100.00	2	Sodium citrate dihydrate powder	100.00
12.80	3	Povidone K 29–32	12.80
14.20	4	Sodium carboxymethyl cellulose, high viscosity	14.20
—	5	Alcohol denatured 200 proof	50.80 mL

^a Adjust for potency.

MANUFACTURING DIRECTIONS

1. Granulation
 - a. Sift the sodium citrate through a 600 µm aperture or similar screen.
 - b. Place erythromycin stearate, sodium citrate, povidone, starch, and sodium carboxymethyl cellulose in a mixer, and mix for 15 minutes.
 - c. Gradually add sufficient alcohol, while mixing, to produce a suitable mass.
 - d. Dry the granulation at 49°C to less than 1.5% LOD or 7% moisture by Karl Fischer.
 - e. Sift the dried granulation through a 1.19 mm aperture screen, or similar, and mill the oversized material through a #2 (1.59 mm aperture, or similar) band on the Hammer mill (FitzMill), or similar, at medium speed, knives forward, for 0 to 30 minutes.
 - f. Load the granulation into the blender, add Amberlite IRP-88, if used, and blend for 20 to 30 minutes.
 - g. Unload the contents of the blender into polyethylene-lined drums, and deliver to the compressing area.
2. Compression: Compress using 9.5 mm standard concave punches. Fill to appropriate amount.

ERYTHROMYCIN TABLETS (500 MG)**Bill of Materials**

Scale (mg/ tablet)	Item	Material Name	Quantity/ 1000 Tablets (g)
500.00	1	Erythromycin, use erythromycin stearate (630 µg/mg ^a)	794.00
146.00	2	Starch (corn)	146.00
16.00	3	Povidone K 29–32	16.00
104.00	4	Magnesium hydroxide	104.00
—	5	Alcohol SD 3A 200 proof	210–250 mL
26.00	6	Polacrillin potassium (Amberlite IRP-88)	26.00

Note: During the drying step of granulation, starch has a water loss equivalent to approximately 6.2% of its weight. This enables a theoretical reduction in tablet weight of 9 mg. This may, however, be offset by a loss of active ingredient during the manufacturing process.

^a Do not use erythromycin stearate with a potency less than 610 µg/mg. Calculate the actual quantity of erythromycin stearate. Do not factor in any ingredient to compensate for erythromycin stearate potency change.

MANUFACTURING DIRECTIONS**1. Granulation**

- a. Load povidone, cornstarch, magnesium hydroxide, and approximately one-half of erythromycin stearate into a suitable blender, and blend for 10 minutes. Add the balance of the erythromycin stearate, and blend for 15 minutes.

Note: Proceed to step 1d if only one wet granulation step is necessary.

- b. Empty the blender into tared, polyethylene-lined drums, and weigh for yield.
- c. Divide the blended powder into equal portions for massing. (The size of a massing “part” is predetermined from considering the capacity of the massing equipment.)
- d. Load preblended materials from step 1b into the mixer.
- e. Wet granulation, conventional method: Add 210 mL of alcohol slowly over a period of 10 minutes and mix for 5 minutes. If necessary, add additional alcohol (20–40 mL), and mix until a satisfactory mass is obtained. Do not overmix. Usually, 5 minutes of mixing after the final addition of alcohol is sufficient. Record the total amount of alcohol used. Proceed to dry as in step 1g.
- f. Wet granulation, high-speed mixer method:
 - i. Load preblended materials from step 1c into the mixer. Or, if preblending is not required, load povidone, cornstarch, magnesium hydroxide, and erythromycin stearate into the high-speed mixer, and mix for 3 minutes with the agitator at slow speed and the granulator at fast speed.

- ii. Add 150 mL of alcohol while mixing with the agitator at a slow speed and the granulator at a fast speed over a period of 2 minutes. Continue to mix for another 4 minutes, adding additional alcohol, if necessary, to obtain a satisfactory granulation.

- g. Spread the wet mass onto paper-lined trays. Commence the drying setup immediately after this step has been completed. Do not air dry.
- h. Load trays of granulation into a suitable drying oven, and dry at 50°C to 2% to 3.5% LOD, 3 hours in vacuum oven at 60°C, under 5 mmHg vacuum. Under no circumstances must the Karl Fischer test method be used. Other LOD tests may be used for process control, provided equivalence can be demonstrated to the quoted vacuum oven method.

- i. Alternative fluid-bed drying method: load granulate into fluid-bed dryer and dry at 40°C to 45°C.

Note: It is important not to dry the granulation below 2%.

This loss is obtained after approximately 4 hours’ drying for oven loads from 70 to 130 kg, depending upon the amount loaded onto trays and the number of trays.

- j. Repeat steps 1d through 1h if there is more than one part of blended powder from step 1b.
 - k. Allow the dried granule to cool, and then screen through an 840 µm aperture screen using an oscillating granulator or through a 1.8 mm aperture screen using a comminuting mill with cutters forward at medium speed. Record the total weight of granulation.
 - l. Request samples.
 - m. Proceed to “Blending and Lubrication.”
- 2. Lubrication**
- a. If Amberlite is lumpy, screen through a 600 µm aperture screen before preblending.
 - b. Preblend Amberlite with a small portion of the granule and the blend with approximately one-half of the bulk granule for 5 minutes.
 - c. Add the balance of granule, and blend for a further 10 minutes.
 - d. Empty the blender into tared, polyethylene-lined drums. Weigh.
- 3. Slugging (if required):** Use a suitable compressing machine with either 19 or 12 mm flat punches.
- a. Compress the material into slugs having the following specifications: for 19 mm, weight is 1.7 to 1.75 g, and hardness is 16 to 17 kPa; for 12 mm, weight is 0.8 to 0.85 g, and hardness is 14 to 15 kPa.
 - b. The slugs should show no signs of lamination, capping, or surface melting and should break with a distinct snap.
 - c. Reduce slugs by passing slowly through a 0.107 in. (2.7 mm) perforated screen using cutters at medium speed.

- d. After reduction, lubricate as in step 2.
4. Compression
 - Note:* Precompression may be used to meet hardness specifications.
5. Coating: Aqueous Methocel. (See Appendix.)

ESTAZOLAM TABLETS (1 MG)

Bill of Materials			
Scale (mg/ tablet)	Item	Material Name	Quantity/ 1000 Tablets (g)
1.00	1	Estazolam	1.00
120.65	2	Lactose monohydrate	120.65
8.37	3	Starch (maize)	8.37
3.78	4	Starch (maize)	3.78
QS	5	Water, purified	19.00 mL
1.20	6	Stearic acid	1.20

MANUFACTURING DIRECTIONS

Caution: Use a respirator and gloves throughout; shower after exposure.

1. Granulation
 - a. Mix starch (item 3) together with approximately 10 mL water in a glass or stainless steel vessel; avoid formation of lumps.
 - b. Boil the remaining 18 mL of water, and add it to the mix from step 1a, with continuous stirring until a gel is formed. Further heat may be necessary. A mix temperature of 95°C must be achieved before a gel is formed.
 - c. Pass estazolam through a 0.7 mm aperture stainless steel screen.
 - d. Pass through a 1.19 mm aperture stainless steel screen lactose, starch (item 3), and hydroxypropyl cellulose into a suitable planetary mixer. Add screened estazolam, and mix for 10 minutes.
 - e. Add the starch gel from step 1b, and mix for 20 minutes or until a suitable mass is formed.
 - f. Pass the wet mass through an oscillating granulator or similar, fitted with a 2.38 mm aperture stainless steel screen. Collect granules on paper-lined trays.
 - g. Dry in an oven at 50°C until the LOD is less than 7%.
 - h. Pass the dried granules through an oscillating granulator or a similar granulator, fitted with a 1.4 mm aperture stainless steel screen. Collect in a polyethylene-lined drum and close securely.
2. Lubrication
 - a. Place the dried granules into a suitable planetary or ribbon filter.
 - b. Pass starch (item 4) and magnesium stearate through a 0.25 mm stainless steel screen and mix. Add this blend to the granules, and mix for 5 minutes. Transfer to polyethylene-lined drums.
3. Compression: compress in a suitable rotary machine using a 7 mm diameter beveled edge, with weight of 10 tablets at 1.2 g (1.17–1.23 g) and thickness of 2.35 mm ± 0.12 mm.

ESTAZOLAM TABLETS (2 MG)

Bill of Materials			
Scale (mg/ tablet)	Item	Material Name	Quantity/ 1000 Tablets (g)
2.00	1	Estazolam	2.00
79.30	2	Lactose	79.30
24.30	3	Starch (maize), dried	27.10
2.40	4	Hydroxypropyl cellulose	2.40
5.00	5	Starch (maize)	5.00
QS	6	Water, purified	28.00 mL
5.70	7	Starch (maize)	5.70
0.30	8	Magnesium stearate	0.30

MANUFACTURING DIRECTIONS

1. See the manufacturing directions for 1 mg formulation of estazolam.

ESTRADIOL TABLETS (0.5 MG/1 MG/2 MG), ESTRACE

Estrace tablets for oral administration contain 0.5, 1, or 2 mg of micronized estradiol per tablet. Estrace 0.5 mg tablets contain the following inactive ingredients: acacia, dibasic calcium phosphate, lactose, magnesium stearate, colloidal silicon dioxide, starch (corn), and talc. Estrace 1 mg tablets contain the following inactive ingredients: acacia, D&C Red No. 27 Aluminum Lake, dibasic calcium phosphate, FD&C Blue No. 1 Aluminum Lake, lactose, magnesium stearate, colloidal silicon dioxide, starch (corn), and talc. Estrace 2 mg tablets contain the following inactive ingredients: acacia, dibasic calcium phosphate, FD&C Blue No. 1 Aluminum Lake, FD&C Yellow No. 5 (tartrazine) (Aluminum Lake), lactose, magnesium stearate, colloidal silicon dioxide, starch (corn), and talc.

ESTRADIOL VAGINAL TABLETS (25.8 MG)

Bill of Materials			
Scale (mg/ tablet)	Item	Material Name	Quantity/ 1000 Tablets (g)
25.8 µg	1	Estradiol hemihydrate equivalent to estradiol 25 µg	0.0258
101.974	2	Lactose spray dried	101.974
15.00	3	Maize starch	15.00
2.00	4	Hypromellose	2.00
1.00	5	Magnesium stearate	1.00
2.60	6	Hypromellose	2.60
0.50	7	Polyethylene glycol 4000	0.50
—	8	Water, purified	30.00

MANUFACTURING DIRECTIONS

1. Pass item 2 through 0.7 mm sieve and collect in a stainless steel container.
2. Place half quantity of step 1 in a tumbler.
3. Pass items 1, 3, and 4 through 0.5 mm sieve, collect in a stainless steel container, and mix well.
4. Add 5% (=2.5 g) powder from step 1 to step 3, and mix well.
5. Add 10% (=5 g) powder from step 1 to step 4, and mix well.
6. Add 15% (=7.6 g) powder from step 1 to step 5, and mix well.
7. Transfer step 6 into step 2.
8. Transfer balance quantity of step 1 into step 2.
9. Mix step 2 for 20 minutes using tumbler.
10. Pass item 5 through 0.250 mm sieve and add to step 9.
11. Mix step 10 for 2 minutes.
12. Compress into 120 mg tablets, using a suitable punch (6 mm, round).
13. Place item 8 in a stainless steel vessel. Add item 6 slowly to the vortex while stirring. Stir till lumps dissolved. Homogenize for 5 minutes. Keep for 3 to 4 hours for saturation of hypromellose.
14. Add item 7 to step 13 with stirring. Stir for 10 minutes. Homogenize for 5 minutes. Check that coating dispersion is clear and lump free.
15. Load core tablets from step 12 in coating pan and apply coating dispersion from step 14 to get 1.5% to 1.8% weight gain.

ESTROPIPATE TABLETS (0.626 MG/1.25 MG/2.25 MG/5 MG)

Bill of Materials			
Scale (mg/ tablet)	Item	Material Name	Quantity/ 1000 Tablets (g)
0.626	1	Estropipate, 25% excess	0.769
157.02	2	Lactose monohydrate	157.02
1.00	3	Yellow dye	1.00
0.007	4	Yellow dye	0.007
1.00	5	Dibasic potassium phosphate, anhydrous	1.00
1.20	6	TRIS (tromethamine)	1.20
7.00	7	Hydroxypropyl cellulose	7.00
10.00	8	Sodium starch glycolate	10.00
40.00	9	Cellulose microcrystalline	40.00
QS	10	Water, purified	QS
QS	11	Alcohol SD 3A 200 proof	QS
0.50	12	Colloidal silicon dioxide	0.50
1.25	13	Magnesium stearate	1.25
1.25	14	Wax, hydrogenated vegetable oil (Sterotex K)	1.5

Note: For 1.25, 2.25, and 5.0 mg tablets, adjust with item 2 and modify dyes.

MANUFACTURING DIRECTIONS

1. Granulation
 - a. Load lactose cellulose microcrystalline, hydroxypropyl cellulose, dyes, or dye into mixer, and blend powders. If necessary, screen or mill powders to break up agglomerates. A portion of the cellulose microcrystalline may be added at the lubrication step.
 - b. Dissolve the dibasic potassium phosphate in purified water. Use this solution to granulate powders in step 1a.
 - c. Size wet granulation, dry, and pass through screen and mill.
 - d. Dissolve tromethamine and estropipate in water or alcohol.
 - e. Load granulation from step 1c and sodium starch glycolate into mixer, and mass with step 1d. Size wet granulation, and dry. Pass the dried granulation through screen and mill.
2. Lubrication
 - a. Load the portion of the dried granulation into the blender.
 - b. Screen colloidal silicon dioxide, magnesium stearate, and hydrogenated vegetable oil wax, and load into blender.
 - c. Load remainder of dried granulation into blender and blend.
3. Compression: compress using a rotary machine using oval tooling. The theoretical weight is 221 mg.

ETHAMBUTOL TABLETS (400 MG)

Formulation: ethambutol, 400 g; sorbitol, crystalline, 200 g; Kollidon® VA 64,20 g; Kollidon® CL, 10 g; magnesium stearate, 10 g.

MANUFACTURING DIRECTIONS

1. Mix all components, pass through a 0.8 mm sieve, and press with medium/high-compression force at 620 mg.

ETHAMBUTOL TABLETS (400 MG)**Bill of Materials**

Scale (mg/ tablet)	Item	Material Name	Quantity/ 1000 Tablets (g)
400.00	1	Ethambutol hydrochloride	400.000
5.60	2	Silicon dioxide colloidal	5.600
68.00	3	Starch (corn) NF ^a	76.800
33.50	4	Mannitol	33.600
22.40	5	Starch (corn)	22.400
11.20	6	Corn oil hydrogenated	11.200
8.00	7	Magnesium stearate	8.000
11.20	8	Talc powder	11.200
QS	9	Water, purified	80.000

^a The quantity of starch (corn) is based on a moisture content of 13% w/w. If the moisture content varies outside this range of 12.5% to 13.5%, then the amount used should be factored accordingly.

MANUFACTURING DIRECTIONS

1. Massing
 - a. Mix starch (item 5) with approximately 27.3 mL of purified water (item 9) in a glass or stainless steel vessel, avoiding the formation of lumps.
 - b. Boil the remaining 52.8 mL of purified water (item 9), and add the mix from step 1a with continuous stirring until a gel is formed. Further heat may be necessary.

Note: A mix temperature greater than 95°C must be exceeded before a gel is formed.
 - c. Mill the ethambutol through a 1.59 mm aperture screen at medium speed with knives forward, then load into a suitable mixer.
 - d. Pass silicon dioxide, starch (corn) (item 3), and mannitol through a 1.00 mm aperture stainless steel screen and add to the mixer. Mix at 60 rpm for 10 minutes.
 - e. Pass the mixed powders from step 1d through a 1 mm aperture stainless steel screen and return to the mixer.
 - f. Add, in one load, the starch gel from step 1b at 70°C to 80°C, and mix for 5 minutes at 60 rpm.

- g. Stop the mixer and inspect the mass. Add the extra 6.88 mL of purified water (item 10) at 50°C to complete the granulation while mixing. Mix for a further 5 minutes at 60 rpm.
2. Drying/granulation: Proceed to step 2a or 2b.
 - a. Oven drying
 - i. Pass the wet mass through an A granulator fitted with a 4.76 mm aperture stainless steel screen. Collect the granules on paper-lined trays.
 - ii. Dry the granules in a hot air oven at 50°C, turning over the granules every half hour. After 1 hour of drying, pass the granules through an A granulator fitted with a 2.38 mm aperture stainless steel screen. Collect the granules on paper-lined trays, and return to the hot air oven at 50°C.
 - b. Fluid-bed drying
 - i. Pass the wet mass through an A granulator fitted with a 4.76 mm aperture stainless steel screen into the fluid-bed dryer bowl.
 - ii. Dry the granules in the fluid-bed dryer at 50°C for 30 minutes, turning over after 15 minutes. Then, pass the granules through a granulator fitted with a 2.38 mm aperture stainless steel screen, and return to the fluid-bed dryer bowl with the air inlet and outlet fully open. Proceed to step 3.
 - c. Continue drying the granules while turning them over every 30 minutes until the LOD is between 1.5% and 2%.
 - d. Pass the dried granules through an A granulator fitted with a 1 mm aperture stainless steel screen. Collect the granules in a polyethylene-lined drum, and close securely.
 - e. Request samples.
 3. Lubrication
 - a. Place the dried granules from step 2d in a suitable blender.
 - b. Add hydrogenated corn oil, magnesium stearate, and talc via a 0.6 mm aperture stainless steel screen, and mix for 25 minutes.
 - c. Transfer to a polyethylene-lined drum, and close securely until ready for compression.
 4. Compression: compress on a suitable tablet machine using ovaloid punches that are 15.5×7.7 mm or 14.6×7.8 mm, where the weight of 10 tablets is 5.6 g, hardness is more than 5 kPa, and the disintegration time is not more than 15 minutes. If using a coating, move to the next step.
 5. Coating: Use an HPMC methylene chloride coating. (See Appendix.)

ETHAMBUTOL TABLETS (800 MG)**Bill of Materials**

Scale (mg/ tablet)	Item	Material Name	Quantity/ 1000 Tablets (g)
800.00	1	Ethambutol	800.00
200.00	2	Dicalcium phosphate (Di-Tab)	200.00
30.00	3	Kollidon® 30	30.00
—	4	Isopropyl alcohol	QS
50.00	5	Kollidon® CL	50.00
15.00	6	Magnesium stearate	15.00

MANUFACTURING DIRECTIONS

1. Granulate the mixture of items 1 and 2 with a solution of items 3 and 4. Dry, pass through a 0.8 mm sieve, add items 5 and 6, and press with high-compression force.
2. Compress into 1.112 g tablets, using 20 mm oblong punches.

ETOPHYLLINE AND THEOPHYLLINE TABLETS (100 MG/22 MG)**Bill of Materials**

Scale (mg/ tablet)	Item	Material Name	Quantity/ 1000 Tablets (g)
100.00	1	Etophylline powder (Knoll)	100.00
22.00	2	Theophylline, anhydrous	23.00
53.00	3	Ludipress®	53.00
1.00	4	Magnesium stearate	1.00
2.00	5	Aerosil® 200	2.00

MANUFACTURING DIRECTIONS

1. Mix all components, pass through a 0.8 mm sieve, and press into tablets with low-compression force.
2. Compress into 175 mg tablets, using 8 mm biplanar punches. To enhance the flowability of the tableting mixture, the amount of Aerosil® 200 can be increased.

ETOPHYLLINE AND THEOPHYLLINE TABLETS (100 MG/22 MG)**Bill of Materials**

Scale (mg/ tablet)	Item	Material Name	Quantity/ 1000 Tablets (g)
100.00	1	Etophylline powder (Knoll)	100.00
22.00	2	Theophylline, anhydrous	23.00
50.00	3	Starch (maize)	50.00
3.00	4	Kollidon® VA 64	3.00
4.00	5	Kollidon® VA 64	4.00
—	6	Water, purified, ca	35.00
1.00	7	Magnesium stearate	1.00
5.00	8	Talc	5.00

MANUFACTURING DIRECTIONS

1. Granulate a mixture of items 1 to 4 with solution of items 5 and 6. Pass through a 0.8 mm sieve, dry, mix with items 7 and 8, pass through a 0.5 mm sieve, and press with medium-compression force.
2. Compress into 183 mg tablets, using 8 mm biplanar punches.

EZETIMIBE AND SIMVASTATIN TABLETS (10 MG/40 MG)**Bill of Materials**

Scale (mg/ tablet)	Item	Material Name	Quantity/ 1000 Tablets (g)
10.00	1	Ezetimibe	10.00
40.00	2	Simvastatin	40.00
94.92	3	Lactose monohydrate	94.92
40.00	4	Microcrystalline cellulose (Avicel™ PH102)	40.00
2.00	5	Hydroxypropyl methylcellulose	2.00
0.04	6	Butylated hydroxyanisole	0.04
3.00	7	Citric acid monohydrate	3.00
0.04	8	Propyl gallate	0.04
8.00	9	Croscarmellose sodium	8.00
2.00	10	Magnesium stearate	2.00
—	11	Water, purified	20.00
—	12	Ethanol 95%	10.00
4.00	13	Hydroxypropyl methylcellulose	4.00
—	14	Water, purified	35.00

MANUFACTURING DIRECTIONS

1. Dissolve item 7 in half of item 11 (10 g) in a stainless steel container.

2. Dissolve item 5 in the mixture of remaining half quantity of item 11 and half quantity of item 12 (5 g) and add to step 1 and mix well.
3. Dissolve items 6 and 8 one by one in the remaining half quantity of item 12 in another stainless steel container.
4. Mix step 3 with step 2.
5. Pass items 3, 1, and 2 through 0.5 mm sieve and mix well.
6. Place step 5 in a granulator.
7. Knead step 6 with solution of step 4 for 5 to 10 minutes until a loose, moist mass is obtained.
8. Granulate the moist mass using a centrifugal granulator with a 7 mm sieve.
9. Spread step over paper-lined trays, and dry at 45°C to 50°C for 8 hours (the relative humidity over the granules should be 20–35%).
10. Pass the dried granules through a 1.25 mm sieve granulator.
11. Transfer the granules to a tumbler.
12. Pass 9 through 0.5 mm sieve and add to step 11 and mix for 15 minutes.
13. Pass item 10 through 0.250 mm sieve and add to step 12.
14. Mix step 13 for 2 minutes.
15. Compress into 200 mg tablets, using a suitable punch (8.5 mm, round).
16. Place item 14 in a stainless steel vessel. Add item 13 slowly to the vortex while stirring. Stir till lumps dissolved. Homogenize for 5 minutes. Keep for 3 to 4 hours for saturation of hydroxypropyl methylcellulose.
17. Load core tablets from step 15 in coating pan and apply coating dispersion from step 16 to get 1.5% to 1.8% weight gain.

EZETIMIBE AND SIMVASTATIN TABLETS (10 MG/80 MG)

Bill of Materials

Scale (mg/ tablet)	Item	Material Name	Quantity/ 1000 Tablets (g)
10.00	1	Ezetimibe	10.00
80.00	2	Simvastatin	80.00
127.38	3	Lactose monohydrate	127.38
60.00	4	Microcrystalline cellulose (Avicel™ PH102)	60.00
3.00	5	Hydroxypropyl methylcellulose	3.00
0.06	6	Butylated hydroxyanisole	0.06
4.50	7	Citric acid monohydrate	4.50
0.06	8	Propyl gallate	0.06
12.00	9	Croscarmellose sodium	12.00
3.00	10	Magnesium stearate	3.00
—	11	Water, purified	30.00
—	12	Ethanol 95%	15.00
6.00	13	Hydroxypropyl methylcellulose	6.00
—	14	Water, purified	50.00

MANUFACTURING DIRECTIONS

1. Dissolve item 7 in half quantity of item 11 (15 g) in a stainless steel container.
2. Dissolve item 5 in the mixture of remaining half quantity of item 11 and half quantity of item 12 (7.5 g) and add to step 1 and mix well.
3. Dissolve items 6 and 8 one by one in the remaining half quantity of item 12 in another stainless steel container.
4. Mix step 3 with step 2.
5. Pass items 3, 1, and 2 through 0.5 mm sieve and mix well.
6. Place step 5 in a granulator.
7. Knead step 6 with solution of step 4 for 5 to 10 minutes until a loose, moist mass is obtained.
8. Granulate the moist mass using a centrifugal granulator with a 7 mm sieve.
9. Spread step over paper-lined trays, and dry at 45°C to 50°C for 8 hours (the relative humidity over the granules should be 20–35%).
10. Pass the dried granules through a 1.25 mm sieve granulator.
11. Transfer the granules to a tumbler.
12. Pass item 9 through 0.5 mm sieve, add to step 11, and mix for 15 minutes.
13. Pass item 10 through 0.250 mm sieve and add to step 12.
14. Mix step 13 for 2 minutes.
15. Compress into 300 mg tablets, using a suitable punch (11.0 mm × 8.5 mm, modified oval).
16. Place item 14 in a stainless steel vessel. Add item 13 slowly to the vortex while stirring. Stir till lumps dissolved. Homogenize for 5 minutes. Keep for 3 to 4 hours for saturation of hydroxypropyl methylcellulose.
17. Load core tablets from step 15 in coating pan and apply coating dispersion from step 16 to get 1.5% to 1.8% weight gain.

EZETIMIBE TABLETS (10 MG)

Bill of Materials

Scale (mg/ tablet)	Item	Material Name	Quantity/ 1000 Tablets (g)
10.00	1	Ezetimibe	10.00
62.70	2	Lactose spray dried	62.70
20.00	3	Microcrystalline cellulose (Avicel™ PH102)	20.00
3.00	4	Povidone K30	3.00
1.00	5	Sodium lauryl sulfate	1.00
2.50	6	Croscarmellose sodium	2.50
0.80	7	Magnesium stearate	0.80

MANUFACTURING DIRECTIONS

1. Pass item 2 through 1 mm sieve and collect in a tumbler.
2. Pass items 1, 4, and 5 through 0.5 mm sieve, collect in a stainless steel container, and mix well for 5 minutes.
3. Transfer step 2 to step 1.
4. Pass item 6 and item 3 through 0.5 mm sieve and add to step 1.
5. Mix step 1 for 20 minutes using tumbler.
6. Pass item 7 through 0.250 mm sieve and add to step 5.
7. Mix step 6 for 2 minutes.
8. Compress into 100 mg tablets, using a suitable punch (5.0 mm × 5.5 mm, oval).

FAMCICLOVIR TABLETS (125 MG/250 MG)**Bill of Materials**

Scale (mg/ tablet)	Item	Material Name	Quantity/ 1000 Tablets (g)
125.00	1	Famciclovir	125.00
165.00	2	Microcrystalline cellulose (Avicel™) QS	165.00
4.00	3	Sodium starch glycolate (Primojel®)	4.00
0.50	4	Magnesium stearate	0.50

MANUFACTURING DIRECTIONS

1. Sift Famciclovir, Avicel™, and sodium starch glycolate through a 250 μm sieve into a mixer.
2. Mix for 5 minutes.
3. Sift magnesium stearate through a 250 μm sieve and add to step 1. Blend for 3 minutes.
4. Compress 295 mg in a suitable punch. For 250 mg strength, compress 590 mg.
5. Coat using a Hypromellose coating. (See Appendix.)

FAMOTIDINE TABLETS (20 MG), PEPCID

Each tablet for oral administration contains either 20 or 40 mg of famotidine. The inactive ingredients are hydroxypropyl cellulose, hydroxypropyl methylcellulose, iron oxides, magnesium stearate, microcrystalline cellulose, starch, talc, and titanium dioxide. Each Pepcid RPD orally disintegrating tablet for oral administration contains either 20 mg or 40 mg of famotidine and the following inactive ingredients: aspartame, mint flavor, gelatin, mannitol, red ferric oxide, and xanthan gum.

FAMOTIDINE TABLETS**Bill of Materials**

Scale (mg/ tablet)	Item	Material Name	Quantity/ 1000 Tablets (g)
20.00	1	Famotidine	20.00
80.00	2	Microcrystalline cellulose (Avicel™ PH 102)	80.00
67.60	3	Pregelatinized starch (Starch 1500)	67.60
2.00	4	Povidone (PVP K-25)	2.00
—	5	Alcohol (ethanol 95%)	36.67
22.80	6	Microcrystalline cellulose (Avicel™ PH 102)	22.80
8.16	7	Pregelatinized starch (Starch 1500)	8.16
2.00	8	Glyceryl behenate	2.00
2.41	9	Talc (fine powder)	2.41

MANUFACTURING DIRECTIONS

1. Preparation of binding solution: Dissolve item 4 in item 5 to make a clear solution by using a stirrer at medium speed in a stainless steel container.
2. Dry mixing: Load items 1 to 3 into a mixer. Mix for 5 minutes with a mixer and chopper at low speed.
3. Wet massing
 - a. Add the binding solution at a rate of 8.3 g/min to the dry powder in the mixer, while mixing at low speed. Mix and chop for a further 2 to 3 minutes at low speed.
 - b. Check for a satisfactory wet mass. Add additional ethanol 95% if required to get a satisfactory wet mass.
4. Drying
 - a. Spread the granules onto stainless steel trays to a thickness of one-quarter of the tray thickness. Load the trays on the trolley.
 - b. Load the trolleys to the oven. Keep the doors open. Start the air circulation, heaters off, for 2 hours.
 - c. Start the heaters of the dryer. Close the doors. Set the temperature at 55°C for 6 hours.
 - d. Check the moisture contents of the dried granules (limit: not more than 3.5%). Dry further, if required, to get a moisture content of 3.5%.
5. Grinding: Pass the dried granules through a sifter using a 1250 μm sieve. Pass the retained granules through a granulator equipped with a 1.0 mm sieve.
6. Lubrication
 - a. Pass items 6 and 7 through a 500 μm sieve using a sifter. Collect in a stainless steel container.
 - b. Load the sized granules from step 5a along with sieved powder from step 6a into the blender. Blend for 3 minutes.

- c. Mix items 8 and 9 in a polythene bag for 1 minute. Pass this mixture through a 250 μm sieve into the sifter. Collect in a polythene bag. Add 3 to 5 g of granules from step 6b to it, and mix manually for 1 minute. Add this mixture to step 6b, and mix for 1 minute.
- d. Unload in stainless steel drums.
7. Compression: Compress the granules using a rotary tableting machine. The dimension is 7.1 ± 0.1 mm concave plain. The weight of 10 tablets is $2.05 \text{ g} \pm 2\%$.
8. Tablet coating: Coat the tablet using an HPMC coating. (See Appendix.)

FAMOTIDINE TABLETS (40 MG)

Bill of Materials			
Scale (mg/ tablet)	Item	Material Name	Quantity/ 1000 Tablets (g)
40.00	1	Famotidine	40.00
70.50	2	Microcrystalline cellulose (Avicel™ PH 102)	70.50
67.60	3	Pregelatinized starch (Starch 1500)	67.60
0.09	4	Ferric oxide (iron oxide red)	0.09
2.50	5	Povidone (PVP K-25)	2.50
—	6	Alcohol (ethanol 95%)	36.67
11.16	7	Microcrystalline cellulose (Avicel™ PH 102)	11.16
8.66	8	Pregelatinized starch (Starch 1500)	8.66
2.00	9	Glyceryl behenate	2.00
2.41	10	Talc (fine powder)	2.41

MANUFACTURING DIRECTIONS

1. See the manufacturing directions for the 20 mg formulation.

FENOPROFEN CALCIUM TABLETS

MANUFACTURING DIRECTIONS

1. Mixture A: Load a Diosna mixer with 17.5 kg of fenoprofen calcium, 2.64 kg of lactose, 1.75 kg of starch powder, and 656 g of pregelatinized starch through a 10 mesh screen. Blend the mixture for 5 minutes using a low-speed mixer and low-speed chopper settings.
2. While continuing to mix as described in step 1, slowly add 4373 mL of a 15% wt/v aqueous povidone solution.
3. Agitate the mixture using a high-speed mixer and high-speed chopper settings for 3 minutes. During

this time, add purified water to the mixture in a quantity sufficient to produce a satisfactory granulation.

4. Wet sieve the granulation through a 6 mesh screen onto paper-lined trays. Dry the granulation 110°F for 16 hours. Mill the dried granulation at 1400 rpm with a FitzMill into a clean, polyethylene-lined drum yielding 22.32 kg of mixture A. The mill should employ a 2AA plate with knives forward.
5. Mixture B: To a Diosna mixer add 26.25 kg of fenoprofen calcium, 3.965 kg of lactose, 2.625 kg of starch powder, and 984.5 g of pregelatinized starch. Blend the mixture for 5 minutes using a low-speed mixer and low-speed chopper settings. While continuing to mix as described in step 1, slowly add 6563 mL of a 15% wt/v aqueous povidone solution containing 495 g of Opaspray Butterscotch L-2701 (manufactured by Colorcon, Inc.). Agitate the mixture using a high-speed mixer and high-speed chopper settings for 3 minutes. During this time, add purified water in a quantity sufficient to produce a satisfactory granulation. Sieve the wet granulation using a 6 mesh screen onto paper-lined trays. Dry the granulation at 110°F for 16 hours.
6. Prepare a third mixture, mixture C, in the same manner as mixture B. After drying, combine this mixture with mixture B and mill at 1400 rpm with a FitzMill into a clean polyethylene-lined drum yielding 68.03 kg of mixture BC. The mill should employ a 2AA plate with knives forward.
7. Load a ribbon mixer with 11.6 kg of mixture A and 35.3 kg of mixture BC. To this mixture add 1.5 kg of cellulose with sodium carboxymethyl cellulose-591 (Avicel™ RC-591, FMC Corporation) and 120 g of sodium lauryl sulfate through a 30 mesh screen. Blend the mixture is blended for 10 minutes. To the mixture add 250 g of magnesium stearate and 500 g of stearic acid powder through a 30 mesh screen. Continue mixing for an additional 5 minutes, after which discharge the granulation into a clean polyethylene-lined drum, yielding 49.20 kg of material.
8. Compress this on a Manisty Express Tableting Machine using appropriate tooling.
9. Coat the resulting tablets in a 48 in. Accela Cota with an aqueous film coating mixture consisting of hydroxypropyl methylcellulose 7% w/w, polyethylene glycol 2% w/w, propylene glycol 3% w/w, and benzyl alcohol 1% w/w. Place the tablets on paper-lined trays to dry.
10. The tablets prepared by the preceding method should have the following per tablet unit formula: fenoprofen calcium, 700.0 mg; lactose, 105.7 mg; starch powder, 70.0 mg; pregelatinized starch, 26.25 mg; povidone, 26.25 mg; Opaspray Butterscotch, 9.9 mg; cellulose with sodium CMC-591, 30.0 mg; sodium lauryl sulfate, 2.4 mg; magnesium stearate, 5.0 mg; stearic acid powder, 10.0 mg; clear film coat (theory), 19.32 mg.

FERROUS FUMARATE TABLETS

Bill of Materials			
Scale (mg/ tablet)	Item	Material Name	Quantity/ 1000 Tablets (g)
200.00	1	Ferrous fumarate	200
295.00	2	Ludipress®	295
5.00	3	Magnesium stearate	5

MANUFACTURING DIRECTIONS

1. Mix all components, and pass through a 0.8 mm sieve.
2. Press with low-compression force.
3. Compress into 509 mg tablets, using 12 mm biplanar punches.

FERROUS SULFATE, MANGANESE SULFATE, AND COPPER SULFATE TABLETS

Bill of Materials			
Scale (mg/ tablet)	Item	Material Name	Quantity/ 1000 Tablets (g)
65.00	1	Anhydrous ferrous sulfate	65.00
3.50	2	Manganese sulfate	3.50
0.16	3	Copper sulfate	0.16
70.00	4	Ludipress®	70.00
10.00	5	Kollidon® 30	10.00
2.00	6	Magnesium stearate	2.00
3.00	7	Aerosil® 200	3.00

MANUFACTURING DIRECTIONS

1. Pass all components through a 0.5 mm sieve, mix, and press with high-compression force.
2. Compress into 149 mg tablets, using 8 mm biplanar punches.

FERROUS SULFATE TABLETS

Bill of Materials			
Scale (mg/ tablet)	Item	Material Name	Quantity/ 1000 Tablets (g)
200.00	1	Anhydrous ferrous sulfate	203.00
185.00	2	Ludipress®	185.00
15.00	3	Kollidon® VA 64	15.00
4.00	4	Magnesium stearate	4.00
4.00	5	Talc	4.00
3.00	6	Aerosil® 200	3.00

MANUFACTURING DIRECTIONS

1. Mix all components, pass through a 0.8 mm sieve, and press to tablets with medium-compression force.
2. Compress into 413 mg tablets, using 8 mm biplanar punches.

FEXOFENADINE AND PSEUDOEPHEDRINE TABLETS (10 MG/240 MG), ALLEGRA

Allegra-D® (fexofenadine HCl and pseudoephedrine HCl) extended-release tablets for oral administration contain 60 mg of fexofenadine HCl for immediate release and 120 mg of pseudoephedrine HCl for extended release. Tablets also contain the following excipients: microcrystalline cellulose, pregelatinized starch, croscarmellose sodium, magnesium stearate, carnauba wax, stearic acid, silicon dioxide, hydroxypropyl methylcellulose, and polyethylene glycol.

FEXOFENADINE AND PSEUDOEPHEDRINE SULFATE TABLETS

Bill of Materials			
Scale (mg/ tablet)	Item	Material Name	Quantity/ 1000 Tablets (g)
240.00	1	Pseudoephedrine sulfate	240.00
15.00	2	Microcrystalline cellulose (Avicel™ PH 101)	15.00
200.00	3	Xanthan gum Keltrol TF	200.00
80.00	4	Sodium alginate Keltone HVCR	80.00
53.00	5	Calcium carbonate	53.00
6.00	6	Magnesium stearate	6.00
6.00	7	Aerosil® 200	6.00
10.00	8	Fexofenadine	10.00
95.00	9	Lactose monohydrate	95.00
66.50	10	Microcrystalline cellulose (Avicel™ PH 101)	66.50
1.00	11	Yellow FD&C No. 10	1.00
20.00	12	Starch (maize)	20.00
6.00	13	Starch (maize)	6.00
1.50	14	Magnesium stearate	1.50
—	15	Water, purified	60.00

MANUFACTURING DIRECTIONS

1. Place pseudoephedrine sulfate, microcrystalline cellulose, xanthan gum, sodium alginate, calcium carbonate, and one-half of the lubricants in a suitable mixer, after sieving through a 44 mesh sieve.
2. Pass the blend through a roll compactor.
3. Sieve the compact through a 22 mesh sieve to obtain granules.

- Mix the granules with the remaining lubricants (items 6 and 7), and compress into tablets (600 mg) to form the first tablet layer.
- Place items 8 to 12 after passing through a 100 mesh sieve in a suitable mixer. Blend for 10 minutes.
- Place item 13 in a separate vessel, and make a paste (10%) using item 14.
- Add step 6 into step 5, and granulate.
- Dry the granules, and blend the sifted item 14.
- Compress into 200 mg tablets (the second layer).
- Use appropriate tableting equipment for bilayer tableting or core tableting.

FEXOFENADINE TABLETS (30 MG/60 MG/180 MG) ALLEGRA

Each tablet contains 30, 60, or 180 mg of fexofenadine hydrochloride (depending on the dosage strength) and the following excipients: croscarmellose sodium, magnesium stearate, microcrystalline cellulose, and pregelatinized starch. The aqueous tablet film coating is made from hydroxypropyl methylcellulose, iron oxide blends, polyethylene glycol, povidone, silicone dioxide, and titanium dioxide.

FINASTERIDE TABLETS (5 MG)

Bill of Materials

Scale (mg/ tablet)	Item	Material Name	Quantity/ 1000 Tablets (g)
5.00	1	Finasteride	5.00
56.70	2	Lactose monohydrate	56.70
5.00	3	Starch 1500 (pregelatinized starch)	5.00
20.00	4	Avicel™ PH 102 (microcrystalline cellulose)	20.00
27.00	5	Maize starch	27.00
5.50	6	Primojel® (sodium starch glycolate)	5.50
0.60	7	Magnesium stearate	0.60
3.50	8	Hypromellose (hydroxypropyl methylcellulose)	3.50
0.60	9	Talc, fine powder, extra pure	0.60
0.60	10	Titanium dioxide	0.60
—	11	Purified water	QS
0.20	12	Disperse blue E132	0.20
0.10	13	Triacetin	0.10
—	14	Ethanol 95%	QS
—	15	Purified water	QS

MANUFACTURING DIRECTIONS

- Make a slurry of starch paste in purified water.

- Mix finasteride, maize starch, and Primojel®.
- Add lactose monohydrate with step 2, and pass through a 0.5 mm sieve.
- Knead the mixed powder from steps 2 and 3 with starch paste to make a suitable wet mass. Pass the wet mass through an 8 mesh sieve onto drying trays.
- Dry the granules for approximately 3.5 hours at 55°C to get the desired LOD of 2.5%.
- Grind the dried granules from step 5, and blend with magnesium stearate, previously sieved (250 mm) in a drum blender. Blend for 2 minutes.
- Lubricate the granules.
- Compress into 120 mg tablets, using a suitable punch.
- Disperse hypromellose and triacetin in purified water and ethanol. Keep it overnight. Disperse talc, titanium dioxide, and colorant, and homogenize.
- Coat the core tablets with the coating dispersion in step 9. (See Appendix.)

FLUCONAZOLE TABLETS (50 MG/100 MG/200 MG), DIFLUCAN

Diflucan tablets: These tablets contain 50, 100, or 200 mg of fluconazole and the following inactive ingredients: microcrystalline cellulose, dibasic calcium phosphate anhydrous, povidone, croscarmellose sodium, FD&C Red No. 40 Aluminum Lake dye, and magnesium stearate.

FLUOXETINE TABLETS (20 MG)

Formulation: Fluoxetine HCl (BASF), 22.4 g; Ludipress®, 176.0 g; magnesium stearate, 1.6 g.

MANUFACTURING DIRECTIONS

- Mix all components, pass through a 0.8 mm sieve, and press to tablets with low-compression force at 205 mg.

FLUOXETINE HYDROCHLORIDE TABLETS (10 MG/20 MG/40 MG), PROZAC®

Each Prozac® pulvule contains fluoxetine hydrochloride equivalent to 10 mg (32.3 µmol), 20 mg (64.7 µmol), or 40 mg (129.3 mmol) of fluoxetine. The pulvules also contain starch, gelatin, silicone, titanium dioxide, iron dioxide, and other inactive ingredients. The 10 and 20 mg pulvules also contain FD&C Blue No. 1, and the 40 mg pulvule also contains FD&C Blue No. 1 and FD&C Yellow No. 6.

Each Prozac® tablet contains fluoxetine HCl equivalent to 10 mg (32.3 mmol) of fluoxetine. The tablets also contain microcrystalline cellulose, magnesium stearate, crospovidone, hydroxypropyl methylcellulose, titanium dioxide,

polyethylene glycol, and yellow iron oxide. In addition to the preceding ingredients, the 10 mg tablet contains FD&C Blue No. 1 Aluminum Lake and polysorbate 80.

FLUOXETINE HYDROCHLORIDE TABLETS

Bill of Materials			
Scale (mg/ tablet)	Item	Material Name	Quantity/ 1000 Tablets (g)
10.00	1	Fluoxetine; use fluoxetine hydrochloride	11.45
20.00	2	Microcrystalline cellulose	20.00
64.05	3	Lactose	64.05
4.00	4	Sodium starch glycolate	4.00
0.50	5	Magnesium stearate	0.50

MANUFACTURING DIRECTIONS

- Place items 1 to 4 in a suitable blender, after passing through a 250 mm sieve.
- Mix for 20 minutes.
- Add item 5 after passing through a 250 μ m mesh, and blend for 1 minute.
- Compress.
- Coat using HPMC coating, adding 6% to 10% tablet weight.
- For a controlled-release formulation, use 5% to 12% of tablet core weight) %w/w of Eudragit RS 100 and 86.0; dibutyl phthalate 10.0; talc 4.0; FD&C Yellow No. 6 0.01; and triacetin 10.

FLUOXETINE HYDROCHLORIDE TABLETS (12.5 MG/25.0 MG), CONTROLLED-RELEASE BILAYER

Bill of Materials			
Scale (mg/ tablet)	Item	Material Name	Quantity/ 1000 Tablets (g)
25.00	1	Fluoxetine, use fluoxetine hydrochloride	28.59
15.00	2	Methocel K4M	15.00
62.00	3	Lactose monohydrate	62.00
3.00	4	Polyvinyl pyrrolidone	3.00
1.00	5	Magnesium stearate	1.00
1.00	6	Syloid 244	1.00
15.04	7	Compritol 888	15.04
29.32	8	Lactose monohydrate	29.32
4.00	9	Polyvinyl pyrrolidone	4.00
1.52	10	Magnesium stearate	1.52
—	11	Water, purified	QS
29.32	12	Methocel E5	29.32
0.08	13	Iron oxide	0.08

MANUFACTURING DIRECTIONS

- Make two layers (items 1–6 and items 7–10, using item 11 as necessary for wet granulation).
- Compress tablets on a Manesty triple-layer press.
- Coat using items 12 and 13 on a Manesty triple-layer press.
- Adjust item 3 for 12.5 mg strength.

FLUOXETINE HYDROCHLORIDE FAST-MELT TABLETS

MANUFACTURING DIRECTIONS

- Mix fluoxetine hydrochloride, 18%; sodium bicarbonate, 26%; citric acid anhydrous, 26%; microcrystalline cellulose, 4%; anhydrous lactose, 13%; xylitol, 10%; and Crodesta F160, 3%.
- Dry the ingredients at an elevated temperature to significantly reduce the moisture content of each material.
- Blend for 5 to 10 minutes and extrude in a hot melt extruder at approximately 70°C to 100°C to soften and melt the thermal binders (sucrose stearate and xylitol) and to form granules containing the effervescent ingredients.
- Mix FLX-EFG (20–80 mesh), 50%; anhydrous lactose, 31%; microcrystalline cellulose, 10%; L-HPC LH-11, 5%; aspartame, 3%; redberry flavor, 0.4%; magnesium stearate, 0.5%; fumed silicon dioxide, 0.1%.
- Screen the granules and blend for 5 minutes prior to compression.
- Fluoxetine HCl tablets are then compressed to a hardness of approximately 1 to 5 kPa (depending upon the dose of the active), and tablets disintegrate in water in approximately 15 to 40 seconds.

FLUVOXAMINE MALEATE TABLETS (50 MG)

Bill of Materials			
Scale (mg/ tablet)	Item	Material Name	Quantity/ 1000 Tablets (g)
50.00	1	Fluvoxamine maleate	50.00
96.00	2	Mannitol	96.00
39.00	3	Maize starch	39.00
12.00	4	Pregelatinized starch (Starch 1500)	12.00
0.60	5	Colloidal silicon dioxide (Aerosil® 200)	0.60
1.50	6	Sodium stearyl fumarate	1.50
QS	7	Purified water	QS

MANUFACTURING DIRECTIONS

1. Make a slurry of starch paste in purified water.
2. Sift mannitol, fluvoxamine maleate, and the remaining part of maize starch through a 0.5 mm stainless steel sieve.
3. Knead the powder mix from step 2 with starch paste to get the desired wet mass. Then pass the mass through an 8 mesh screen to drying trays.
4. Dry at 50°C for 24 hours to reach an LOD of not more than 2%.
5. Pass the dried granules through a 16 mesh screen into a blending vessel.
6. Pass Starch 1500, Aerosil® 200, and sodium stearyl fumarate through a 0.25 mm sieve into step 5. Blend for 2 minutes.
7. Compress into 200 mg tablets, using 12 mm punches.
8. Apply Eudragit L 100–55 coating. (See Appendix.)

FOLIC ACID TABLETS**Bill of Materials**

Scale (mg/ tablet)	Item	Material Name	Quantity/ 1000 Tablets (g)
5.00	1	Folic acid ^a	5.24
12.00	2	Maize starch (dried) ^b	12.00
5.26	3	Cellulose (microcrystalline) (Avicel™ PH102)	5.26
20.00	4	Cellulose (microcrystalline) (Avicel™ PH102)	20.00
1.50	5	Colloidal silicon dioxide (Aerosil® 200)	1.50
66.00	6	Lactose (spray-dried) ^c	66.00
2.50	7	Talc (fine powder)	2.50
2.50	8	Stearic acid (fine powder)	2.50

^a Extra folic acid is added (0.08 mg/tablet) to compensate water (water NMT8.0%).

^b LOD: NMT 4.5% when dried at 120°C for 4 hours.

^c Meets the USP NF, except particle size distribution, as follows: min. 98%, 250 µm; 30% to 60%, 100 µm; max. 15%, 45 µm.

MANUFACTURING DIRECTIONS

1. Folic acid must be protected from exposure to direct light.
2. Sift items 1 to 3 through a FitzMill (impact forward, high speed), and collect in a stainless steel drum.
3. Load the material into a blender, and mix for 3 minutes.
4. Sift items 4 to 8 through a 500 µm sieve using a sifter, and collect in a stainless steel drum.
5. Load this sieved material into a blender.
6. Mix for 5 minutes.
7. Unload the lubricated powder into a stainless steel drum. Check for small lumps or globules in the powder mix.

8. If required, pass the entire mass through a 500 µm sieve using a sifter, and mix for 1 minute in a blender.
9. Compress into 1.15 g tablets (hardness, 3–7 kPa), using 7 mm round flat punches.
10. For 1 mg tablets, compensate with lactose and compress as in step 9.

FOLIC ACID TABLETS**Bill of Materials**

Scale (mg/ tablet)	Item	Material Name	Quantity/ 1000 Tablets (g)
5.00	1	Folic acid	5.00
195.00	2	Ludipress®	195.00
1.50	3	Magnesium stearate	1.50

MANUFACTURING DIRECTIONS

1. Mix all components, pass through a 0.8 mm sieve, and press into tablets using medium-compression force.
2. If the content uniformity does not meet the requirements, prepare a premix of the active ingredient with a small part of the Ludipress® or with lactose monohydrate before mixing with the other components of the formulation.
3. Compress into 213 mg tablets, using 8 mm biplanar punches.

FOSINOPRIL TABLETS (20 MG), MONOPRIL

Monopril is available for oral administration as 10, 20, and 40 mg tablets. Inactive ingredients include lactose, microcrystalline cellulose, crospovidone, povidone, and sodium stearyl fumarate.

FOSINOPRIL TABLETS**Bill of Materials**

Scale (mg/ tablet)	Item	Material Name	Quantity/ 1000 Tablets (g)
20.00	1	Fosinopril sodium	20.00
134.50	2	Lactose monohydrate	134.50
40.00	3	Microcrystalline cellulose (Avicel™ PH 102)	40.00
7.00	4	Crospovidone	7.00
4.50	5	Povidone	4.50
4.00	6	Sodium stearyl fumarate	4.00
—	7	Alcohol	QS

Note: For 10 and 40 mg strength, adjust with item 2.

MANUFACTURING DIRECTIONS

1. Place items 1 and 2 in a suitable mixer, after sifting, and mix for 20 minutes.
2. In a separate vessel, place item 5 with a suitable quantity of item 7, and make a binder solution.
3. Add step 2 into step 1 to make a wet mass.
4. Dry the mass at 45°C to 70°C in a tray oven or a fluid-bed dryer, until the LOD is less than 3%.
5. Pass the dried granules through a hammer mill fitted with 0.03 to 0.07 in. screen.
6. Transfer screened granules into a suitable blender, add items 3 and 4, and blend for 1 to 3 minutes.
7. Compress into 200 mg tablets.

FUCIDIN TABLETS (125 MG)**Bill of Materials**

Scale (mg/ tablet)	Item	Material Name	Quantity/ 1000 Tablets (g)
125.00	1	Fucidin	125.00
63.00	2	Dicalcium phosphate (Di-Tab)	63.00
2.50	3	Kollidon® 90C	2.50
—	4	Isopropyl alcohol	30 mL
6.20	5	Kollidon® CL	6.20
1.30	6	Aerosil® 200	1.30
3.00	7	Magnesium stearate	3.00

MANUFACTURING DIRECTIONS

1. Granulate the mixture of items 1 and 2 with a solution of items 3 and 4. Dry and then pass the mixture through a 0.8 mm sieve.
2. Add the mixture of items 5 and 6, and press with low-compression force.
3. Compress into 200 mg tablets, using 9 mm punches. To accelerate the disintegration, the amount of Kollidon® 90F should be reduced, and Kollidon® CL should be applied in intra- and extragranular forms.

FURAZOLIDONE TABLETS (100 MG)**Bill of Materials**

Scale (mg/ tablet)	Item	Material Name	Quantity/ 1000 Tablets (g)
100.00	1	Furazolidone	104.00
40.00	2	Lactose monohydrate	40.00
40.00	3	Dicalcium phosphate	30.00
2.00	4	Gelatin	2.00
2.00	5	Talc	2.00
2.00	6	Magnesium stearate	2.00
20.00	7	Starch (maize)	20.00
QS	9	Water, purified	QS

MANUFACTURING DIRECTIONS

1. Sift items 1 to 3 through a 250 mm sieve, and load into a suitable mixing vessel. Mix the items for 5 minutes.
2. Separately, load a sufficient quantity of item 9. Add item 4, and dissolve it at 50°C. Add item 7, and mix until a smooth slurry is formed.
3. Add step 2 into step 1, and mix to form a wet mass suitable for granulation. Pass the mass through the sieve onto paper-lined trays, and dry at 60°C overnight to reach an LOD of not more than 2%.
4. Pass the dried granules through 1.19 mm mesh into a suitable blending vessel.
5. Sift items 5 and 6 through a 500 mm sieve, and blend for 2 minutes.
6. Compress into 200 mg tablets, using 8.3 mm punches.

FUROSEMIDE TABLETS (40 MG), LASIX

Lasix is a diuretic that is an anthranilic acid derivative. Lasix for oral administration contains furosemide as the active ingredient. It also contains the following inactive ingredients: lactose, magnesium stearate, starch, and talc.

FUROSEMIDE TABLETS**Bill of Materials**

Scale (mg/ tablet)	Item	Material Name	Quantity/ 1000 Tablets (g)
40.00	1	Furosemide	40.00
158.00	2	Ludipress®	158.00
2.00	3	Magnesium stearate	3.00

MANUFACTURING DIRECTIONS

1. Mix all components, pass through 0.8 mm sieve, and press with low-compression force.
2. Compress into 205 mg tablets, using 8 mm biplanar punches.

FUROSEMIDE TABLETS (40 MG)

Bill of Materials			
Scale (mg/ tablet)	Item	Material Name	Quantity/ 1000 Tablets (g)
40.00	1	Furosemide	40.00
83.10	2	Starch (maize)	83.10
30.00	3	Lactose monohydrate	30.00
1.00	4	Colloidal silicon dioxide (Aerosil® 200)	1.00
14.00	5	Starch (maize)	14.00
2.00	6	Talc (fine powder)	2.00
20.00	7	Starch 1500 (pregelatinized starch)	20.00
1.60	8	Stearic acid	1.60
8.00	9	Starch (maize, dried)	8.00
0.30	10	Magnesium stearate	0.30
—	11	Purified water	70.00

MANUFACTURING DIRECTIONS

Note: Avoid overmixing lubricants, otherwise hardness can be reduced.

1. Preparing starch paste: Make a smooth slurry of item 5 in 14 g of item 11 (25–30°C). Transfer the slurry into 56 g of item 11 (80–90°C) preheated in a steam jacket vessel under continuous stirring to get a translucent paste. Cool to 45°C to 50°C.
2. Sieving and dry mixing: Sift items 1, 3, 2, and 4 through a stainless steel 630 mm sieve in sifter. Load into mixer. Mix for 5 minutes at low speed.
3. Kneading: Knead the powder mix in the mixer with starch paste at low mixer speed for 3 minutes. Scrape sides and blades. Mix and chop at low speed for 3 minutes. Check the end point of granulation. If required, add more purified water to separate the granules, freeing big lumps.
4. Drying
 - a. Unload the wet mass in stainless steel trays for drying. Dry the wet mass in an oven at 55°C for 10 hours. After 2 hours of drying, scrape the semidried granules to break lumps for uniform drying.
 - b. Check the LOD. The LOD limit is 2% to 2.5%.
 - c. If required, dry further at 55°C to meet the LOD limit.
 - d. Transfer the dried granules to stainless steel drums.
5. Grinding and lubricating
 - a. Grind the dried granules through a 1.25 mm sieve using a granulator at medium speed. Collect in stainless steel drums. Load the granules into the blender.

- b. Sift items 7 and 9 through a 500 µm sieve, using a sifter, and add it into the blender. Mix for 2 minutes.
 - c. Sift items 6, 8, and 10 through a 500 µm sieve. Add 2 to 4 g of granules from bulk (step 5a).
 - d. Mix in a polythene bag for 1 minute, and add to blender. Blend the mixture for 1 minute.
 - e. Unload in stainless steel drums.
6. Compression: Check temperature and humidity before starting compression. As a limit, the temperature should not exceed 27°C, and the recommended relative humidity is 55% to 60%. Compress the granules using a rotary tableting machine. The diameter should be 8.0 mm round punches.

FUROSEMIDE TABLETS (200 MG)

Bill of Materials			
Scale (mg/ tablet)	Item	Material Name	Quantity/ 1000 Tablets (g)
200.00	1	Furosemide	200.00
388.00	2	Ludipress®	388.00
6.00	3	Magnesium stearate	6.00
6.00	4	Aerosil® 200	6.00

MANUFACTURING DIRECTIONS

1. Mix all components, pass through a 0.8 mm sieve, and press with low-compression force.
2. Compress into 618 mg tablets, using 12 mm biplanar punches.

GABAPENTIN TABLETS (600 MG)

Bill of Materials			
Scale (mg/ tablet)	Item	Material Name	Quantity/ 1000 Tablets (g)
600.00	1	Gabapentin (10–125 mm)	600.00
24.00	2	Hydroxypropyl cellulose 75–150 cps (Klucel LF)	24.00
39.00	3	Crospovidone sodium (polyplasdone XL)	39.00
12.00	4	Calcium stearate	12.00
—	5	Alcohol	QS

Note: Compress 675 mg; for 800 mg, compress 900 mg.

MANUFACTURING DIRECTIONS

1. Prepare a 7.5% solution of item 2 in item 5 by slowly adding item 2 to item 5 and mixing for 60 minutes at room temperature, until a clear homogeneous solution is obtained

- Place item 1 in a fluid-bed dryer, and apply the solution in step 1 to granulate.
- Set the process air volume to 100 cfm, and fluidize gabapentin. When the product temperature reaches about 25°C to 28°C, apply the binder solution. Introduce this solution through a pneumatically atomized nozzle positioned in the expansion chamber of the fluid-bed processor. The fluidized gabapentin particles are thus coated with the binder solution. While spraying, increase the process air volume until the product temperature is stabilized between 12°C and 25°C. Once all the binder solution is applied, set the process air volume to 150 cfm and the temperature to about 35°C to dry the coated particles. Drying is complete when the LOD, determined by a computerized moisture analyzer balance, is not more than 0.75%.
- Pass the spray-coated particles through a comminuting mill.
- Place the sized particles in a V-blender with items 3 and 4. Blend these materials for 5 minutes.
- Compress at a pressure of 12 to 14 kN. The hardness range of the 600 mg tablets is 13.3 to 14.9 kPa, with an average hardness of 14.2 kPa.
- Optionally, coat the tablets with an aqueous dispersion such as an Opadry. (See Appendix.)

GALANTHAMINE HYDROBROMIDE TABLETS (1 MG)

Bill of Materials

Scale (mg/ tablet)	Item	Material Name	Quantity/ 1000 Tablets (g)
1.00	1	Galanthamine hydrobromide	1.00
32.00	2	Calcium phosphate	32.00
5.00	3	Lactose	5.00
15.00	4	Microcrystalline cellulose	15.00
0.70	5	Talc	0.70
0.70	6	Magnesium stearate	0.70

Note: For 5 mg strength, fill a proportionate amount or adjust with item 2.

MANUFACTURING DIRECTIONS

- Pass items 1 to 4 through a 250 µm sieve, and place in a blending vessel. Mix the materials for 10 minutes.
- Pass items 5 and 6 through a 250 µm sieve, and add to step 1. Blend this mixture for 1 minute.
- Compress.

GARLIC EXTRACT + THYME EXTRACT TABLET CORES WITH VITAMIN C (300 MG + 25 MG + 100 MG)

Formulation: Garlic extract, granulated (Aflopa), 300 g; thyme extract, powder (Aflopa), 25 g; ascorbic acid, crystalline (BASF), 100 g; Kollidon® CL, 14 g; Ludipress®, 268 g; magnesium stearate, 7 g.

MANUFACTURING DIRECTIONS

- Mix all components, pass through a 0.8 mm sieve, and press to tablets with medium-compression force at 714 mg.

GARLIC TABLETS

Bill of Materials

Scale (mg/ tablet)	Item	Material Name	Quantity/ 1000 Tablets (g)
95.00	1	Calcium phosphate, dibasic	95.00
94.00	2	Lactose monohydrate	94.00
9.00	3	Kollidon® 30	9.00
25.00	4	Water	25.00
100.00	5	Dried garlic powder	100.00
2.00	6	Magnesium stearate	2.00

MANUFACTURING DIRECTIONS

- Granulate mixture of items 1 and 2 with solution of items 3 and 4, pass through a 0.8 mm sieve, add items 5 and 6, and press with low-compression force.
- Compress into 312 mg tablets, using 9 mm biconvex punches.

GEMFIBROZIL TABLETS (600 MG)

Gemfibrozil is available in tablet form for oral administration. Each tablet contains 600 mg of gemfibrozil. Each tablet also contains calcium stearate; candelilla wax FCC; microcrystalline cellulose; hydroxypropyl cellulose; hydroxypropyl methylcellulose, USP; methylparaben, NF; Opaspray white; polyethylene glycol; polysorbate 80; propylparaben; colloidal silicon dioxide; and pregelatinized starch.

GEMFIBROZIL TABLETS

Bill of Materials			
Scale (mg/ tablet)	item	Material Name	Quantity/ 1000 Tablets (g)
600.00	1	Gemfibrozil	600.00
120.00	2	Microcrystalline cellulose (Avicel™ PH 101)	120.00
40.00	3	Gelatin	40.00
2.00	4	Diotilan	2.00
16.00	5	Calcium stearate	16.00
54.00	6	Sodium carboxymethyl starch	54.00
24.00	7	Talc	24.00
8.00	8	Silicon dioxide colloidal	8.00
9.50	9	Hydroxypropyl methylcellulose	9.50
4.00	10	Polyethylene glycol 4000	4.00
0.50	11	Simethicone	0.50
2.00	12	Titanium dioxide	2.00
—	13	Water, purified	QS
—	14	Alcohol	QS

MANUFACTURING DIRECTIONS

- Place the gemfibrozil and microcrystalline cellulose in a suitable whirlpool mixer and homogenize.
- Prepare an aqueous solution of item 3 and add to step 1.
- Prepare an ethanolic solution of item 4, add to step 1, and granulate.
- Dry the granules. Screen the granules through a 0.8 mm sieve screen, return to the mixer, and homogenize with the components of the external layer (calcium stearate, sodium carboxymethyl starch, talc, and colloidal silicic acid).
- Compress the homogenized mixture into oval biconvex tablets weighing 864 mg.
- Coat the tablets to a final weight of 880 mg, using items 9 to 12. (See Appendix for details.)

GINKGO EXTRACT TABLETS (40 MG)

Formulation: Ginkgo biloba extract, dry powder, 240 g; (Biogen) Aerosil® 200, 1 g; Kollidon® CL, 4 g; Ludipress®, 203 g; magnesium stearate, 2 g.

MANUFACTURING DIRECTIONS

- Mix the ginkgo extract with Aerosil® 200, add the other components, pass through a 0.8 mm sieve, and press to tablets with low-compression force at 254 mg.

GLIBENCLAMIDE TABLETS (2.5 MG)

Bill of Materials			
Scale (mg/ tablet)	item	Material Name	Quantity/ 1000 Tablets (g)
2.50	1	Glibenclamide, micro (4.8% excess)	2.62
80.88	2	Lactose monohydrate	80.88
50.00	3	Starch (maize)	50.00
1.00	4	Colloidal silicon dioxide (Aerosil® 200)	1.00
11.00	5	Starch (maize)	11.00
10.00	6	Starch (maize, dried)	10.00
3.00	7	Talc (fine powder)	3.00
0.50	8	Magnesium stearate	0.50
1.00	9	Colloidal silicon dioxide (Aerosil® 200)	1.00
—	10	Purified water	55.00

MANUFACTURING DIRECTIONS

Note: Glibenclamide is an oral hypoglycemic agent. During the processing of the batch, the person involved may take a glass full of 5% glucose solution, if required.

- Preparing the binder
 - Make a slurry of item 5 in 15 g of item 10 (40–45°C) in a stainless steel container. Check that it is free of lumps.
 - Place this slurry into 40 g of item 10 heated to 95°C into the vessel. Stir until there is complete gelatinization.
 - Cool to 50°C.
- Dry mixing: Load items 1 to 4 into the mixer (Diosna P 250). Mix and chop for 5 minutes at high speed.
- Kneading
 - Add starch paste to the mixer. Mix for 2 minutes, with the mixer at low speed and the chopper at high speed.
 - Scrape the sides and blades. Mix and chop at low speed for 2 minutes. If required, add item 10.
 - If required for breaking bigger lumps, pass the wet mass through a FitzMill, using sieve #24205 at medium speed, with knives forward.
- Drying
 - Spread the wet granules onto the trays. Load the trolleys onto the dryer. Dry the granules at 55°C for 10 hours or up to the moisture content limit. Scoop the granules after 4 hours of drying. Then rotate the trays—put the upper trays down and the down trays up—for uniform drying.
 - Check the moisture content. Limit: not more than 2.5%.

5. Grinding: Pass the dried granules through a 1 mm sieve. Collect in a stainless steel drum and load in a blender.
6. Lubricating: Mix items 6, 7, and 9 in a polythene bag. Pass through a 250 μm sieve, using a sifter. Collect in a polythene bag. Add to the granules in the blender (step 5). Mix this mixture for 5 minutes.
7. Pass item 8 through a 250 μm sieve. Collect in a polythene bag. Mix 2 g of granules with this, and add it to the blender in step 5a. Mix for 1 minute. Unload lubricated granules in a stainless steel drum.
8. Compressing: Compress the granules using a rotary tableting machine. Toolings should be of length 10 mm \times 5 mm. The weight of 10 tablets should be 1.6 g \pm 3%.

GLIBENCLAMIDE TABLETS (5 MG)

Bill of Materials

Scale (mg/ tablet)	Item	Material Name	Quantity/ 1000 Tablets (g)
5.00	1	Glibenclamide, micro	5.00
78.50	2	Lactose monohydrate	78.50
50.00	3	Starch (maize)	50.00
1.00	4	Colloidal silicon dioxide (Aerosil® 200)	1.00
10.00	5	Starch (maize)	10.00
11.00	6	Starch (maize, dried) ^a	11.00
3.00	7	Talc (fine powder)	3.00
0.50	8	Magnesium stearate	0.50
1.00	9	Colloidal silicon dioxide (Aerosil® 200)	1.00
—	10	Purified water	55.00

^a LOD: Not more than 4.5% when dried at 120°C for 4 hours.

MANUFACTURING DIRECTIONS

1. Follow the manufacturing directions provided in the previous formulation.

GLICLAZIDE TABLETS (80 MG)

Bill of Materials

Scale (mg/ tablet)	Item	Material Name	Quantity/ 1000 Tablets (g)
80.00	1	Gliclazide ^a	80.00
30.00	2	Starch (maize)	30.00
40.00	3	Lactose monohydrate	40.00
23.00	4	Dicalcium phosphate	23.00
4.00	5	Starch (maize)	40.00
1.80	6	Gelatin	1.80
0.06	7	Propylparaben	0.06
0.06	8	Methylparaben	0.06
1.00	9	Talc	1.00
1.00	10	Magnesium stearate	1.00
1.00	11	Sodium croscarmellose	1.00
1.00	12	Aerosil® 200	1.00
1.00	13	Sodium starch glycolate	1.00
—	14	Water, purified, ca	50 mL

^a Untapped bulk density of 0.69 to 0.70.

MANUFACTURING DIRECTIONS

1. Screen items 1 to 4 through a 250 μm sieve.
2. Place items 1 to 4 in a suitable vessel, and mix for 30 minutes.
3. In a separate vessel, heat item 14 to boiling, and add to it items 7 and 8 at 90°C to dissolve. Add item 6, and stir and mix to dissolve completely. Then allow the mixture to cool to room temperature.
4. Add item 5 to step 3, and stir and mix to obtain a lump-free slurry. Stop heating, and mix for another 5 minutes.
5. Add the slurry in step 4 to step 2. Stir at a high speed for 30 minutes to obtain a uniform wet mass.
6. Pass the wet mass through an 8 mm size sieve, and dry the mass in a fluid-bed dryer for 50 minutes at 50°C.
7. Pass the dried granules through a 20 mesh (grind larger size) screen, and transfer to a tumbler.
8. Sift items 11 to 13 through a 500 μm sieve, and sift item 10 through a 250 μm sieve. Then add these items to step 7, and blend for 10 minutes.
9. Compress into 180 mg tablets, using 3 mm punches.

GLIMEPIRIDE TABLETS (1 MG/2 MG), AMARYL®

Amaryl® tablets contain the active ingredient glimepiride and the following inactive ingredients: lactose (hydrous), sodium starch glycolate, povidone, microcrystalline cellulose, and magnesium stearate. In addition, Amaryl® 1 mg tablets contain ferric oxide red. Amaryl® 2 mg tablets contain ferric oxide yellow and FD&C Blue No. 2 Aluminum Lake. Amaryl® 4 mg tablets contain FD&C Blue No. 2 Aluminum Lake.

GLIMEPIRIDE TABLETS

Bill of Materials			
Scale (mg/ tablet)	Item	Material Name	Quantity/ 1000 Tablets (g)
2.00	1	Glimepiride	2.00
109.90	2	Lactose monohydrate	109.90
35.00	3	Avicel™ PH 102 (microcrystalline cellulose PH 102)	35.00
8.00	4	Primojel® (sodium starch glycolate)	8.00
0.75	5	Iron oxide yellow	0.75
0.85	6	Dispersed FD&C Blue No. 2	0.85
3.00	7	Polyvinyl pyrrolidone K-30 (PVP K-30)	3.00
0.50	8	Magnesium stearate	0.50
QS	9	Purified water	QS

MANUFACTURING DIRECTIONS

1. Dissolve color in water and homogenize it. Then make a binding solution with PVP K-30.
2. Mix glimepiride with Primojel®, iron oxide yellow, and dispersed blue E 132 (FD&C Blue No. 2), and pass through a 0.710 mm sieve.
3. Mix Avicel™ PH 102 with powder from step 2, and pass through a 0.710 mm sieve.
4. Mix lactose monohydrate with powder from step 3, and pass through a 0.710 mm sieve.
5. Knead the powder with binding solution to get the desired granules.
6. Dry the granules at 60°C for 12 hours to obtain an LOD of not more than 3%.
7. Pass the dried granules in a Frewitt granulator using a 1.25 mm sieve.
8. Compress into 160 mg tablets, using 12 mm punches. For 1 mg and 3 mg strengths, compress the same weight and adjust with lactose.

GLIPIZIDE TABLETS (5 MG), GLUCOTROL

Immediate-release tablets—Each immediate-release tablet for oral administration contains glipizide, 5 or 10 mg, and the following inactive ingredients: cornstarch, anhydrous lactose, microcrystalline cellulose, colloidal silicon dioxide, and stearic acid.

Extended-release tablets—Inert ingredients in the formulations are as follows: polyethylene oxide, hydroxypropyl methylcellulose, magnesium stearate, sodium chloride, red ferric oxide, cellulose acetate, polyethylene glycol, and Opadry white and black ink. Glucotrol XL extended-release tablets are similar in appearance to conventional tablets. Each

tablet, however, consists of an osmotically active drug core surrounded by a semipermeable membrane.

The core is divided into two layers: an “active” layer containing the drug and a “push” layer containing pharmacologically inert (but osmotically active) components. The membrane surrounding the tablet is permeable to water but not to drug or osmotic excipients. As water from the gastrointestinal (GI) tract enters the tablet, pressure increases in the osmotic layer and “pushes” against the drug layer, resulting in the release of drug through a small, laser-drilled orifice in the membrane on the drug side of the tablet. The Glucotrol XL extended-release tablet is designed to provide a controlled rate of delivery of glipizide into the GI lumen, which is independent of pH or GI motility. The function of the Glucotrol XL extended-release tablet depends upon the existence of an osmotic gradient between the contents of the bilayer core and fluid in the GI tract. Drug delivery is essentially constant as long as the osmotic gradient remains constant and then gradually falls to zero. The biologically inert components of the tablet remain intact during drug GI transit and are eliminated in the feces as an insoluble shell.

GLIPIZIDE TABLETS

Bill of Materials			
Scale (mg/ tablet)	Item	Material Name	Quantity/ 1000 Tablets (g)
5.00	1	Glipizide, 20% excess	6.00
43.00	2	Starch (maize)	43.00
50.00	3	Lactose monohydrate	50.00
28.00	4	Dicalcium phosphate	28.00
2.00	5	Gelatin	2.00
0.075	6	Propylparaben	0.075
0.075	7	Methylparaben	0.075
2.00	8	Magnesium stearate	2.00
2.00	9	Sodium starch glycolate	2.00
—	10	Water, purified, ca	50 mL

MANUFACTURING DIRECTIONS

1. Pass items 1 to 4 through a 250 µm sieve, and place in a suitable blender. Mix these items for 30 minutes.
2. In a separate vessel, place item 10 and bring to boil by heating. Add items 6 and 7, and stir to dissolve at 90°C. Allow to cool to 50°C.
3. Add items 4 and 5 to step 2. Stir and mix vigorously at 50°C to obtain a smooth paste without lumps. Allow the mixture to cool to room temperature.
4. Transfer step 3 to step 1, and mix to obtain a wet mass.
5. Transfer the wet mass onto trays, and dry in an oven at 60°C overnight to an LOD of not more than 2.5%.
6. Pass dried granules through a 20 mesh screen, and collect in a tumble blender.

7. Pass item 9 through a 500 μm sieve and item 8 through a 250 μm sieve. Add to step 8. Blend for 2 minutes.
8. Compress into 120 mg tablets, using 6 mm punches.

GLIPIZIDE TABLETS CR (5 MG)

Bill of Materials			
Scale (mg/ tablet)	Item	Material Name	Quantity/ 1000 Tablets (g)
20.00	1	Xanthan gum	20.00
30.00	2	Locust bean gum	30.00
108.00	3	Dextrose	108.00
8.30	4	Surelease®	8.30
—	5	Water, purified	—
5.00	6	Glipizide	5.00
3.30	7	Sodium stearyl fumarate	3.30
43.70	8	Dextrose powder, anhydrous	43.70

MANUFACTURING DIRECTIONS

1. Place items 1 to 3 in a mixer, and mix at high speed for 3 minutes using a chopper blade.
2. In a separate vessel, add and mix item 4 with item 5, and spray the mixture gradually into step 1 while mixing at high speed to provide even distribution and to produce a suitable wet mass.
3. Dry the wet mass in a fluid-bed dryer to an LOD of less than 10% (preferably less than 5%).
4. Pass the dried granules through a 20 mesh screen, and transfer them to a mixing vessel (V-blender). Blend for 10 minutes.
5. Add items 6 and 8 to step 4 after passing through a 250 μm sieve. Blend the mixture for 15 minutes.
6. Add item 7, and blend for 3 minutes.
7. Compress into 220 mg tablets, using a suitable punch at 5 kPa hardness.

GLYBURIDE AND METFORMIN TABLETS (250 MG/500 MG; 1.25 MG/2.50 MG), GLUCOVANCE

The glyburide used in Glucovance has a particle size distribution of 25%, with an undersize value not more than 6 μm , a 50% undersize value not more than 7 to 10 μm , and a 75% undersize value not more than 21 μm . Glucovance is available for oral administration in tablets containing 1.25 mg glyburide with 250 mg metformin hydrochloride, 2.5 mg glyburide with 500 mg metformin hydrochloride, and 5 mg glyburide with 500 mg metformin hydrochloride. In addition, each tablet contains the following inactive ingredients: microcrystalline cellulose, povidone, croscarmellose sodium, and

magnesium stearate. The tablets are film coated, which provides color differentiation.

Bill of Materials

Scale (mg/ tablet)	Item	Material Name	Quantity/ 1000 Tablets (g)
250.00	1	Metformin hydrochloride	250.00
1.25	2	Glyburide	1.25
7.00	3	Croscarmellose sodium	7.00
10.00	4	Povidone	10.00
28.25	5	Microcrystalline cellulose (Avicel™ PH 101)	28.25
2.25	6	Magnesium stearate	2.25
—	7	Water, purified	QS

Note: For 2.5/500 strength, increase the fill volume to double.

MANUFACTURING DIRECTIONS

1. Place croscarmellose sodium and glyburide in a suitable blender, and blend for 10 minutes.
2. In a separate vessel, mix metformin hydrochloride and magnesium stearate (99.5%:0.5% w/w) using high shear force.
3. In a separate container, add item 4 and an appropriate quantity of item 7 (1:10 ratio) to make paste.
4. Add the paste in step 3 to steps 1 and 2 combined and mixed prior to the addition of the paste.
5. Granulate using a high-shear mixer. Dry the granules in a fluid-bed dryer at approximately 60°C to achieve a moisture content of not more than 2%.
6. Size the dried granules with a screening mill, and mix with the microcrystalline cellulose using a tumble mixer.
7. Incorporate magnesium stearate as a lubricant, using a tumble mixer (step 6) to produce the final compression blend.
8. Compress 300 mg for 250/1.25 and 600 mg for 500/2.5 tablets.
9. Coat the tablets using an HPMC-based film-coating system, until the required amount of film coat is applied. The typical level of a film coat applied to the tablets is 2% w/w. (See Appendix for details.)

GLYBURIDE TABLETS (5 MG), MICRONASE

Micronase® tablets (standard glyburide)—mmase tablets contain glyburide, which is an oral blood-glucose-lowering drug of the sulfonylurea class. Glyburide is a white, crystalline compound, formulated as mmase tablets of 1.25, 2.5, and 5 mg strengths for oral administration. The inactive ingredients of the compound are colloidal silicon dioxide, dibasic calcium phosphate, magnesium stearate, microcrystalline cellulose, sodium alginate, and talc. In addition, the 2.5 mg tablet contains aluminum oxide and FD&C Red No. 40. The 5 mg tablet contains aluminum oxide and FD&C Blue No. 1.

Glynase® PresTab® tablets (micronized glyburide)—Glynase® PresTab® tablets contain micronized (smaller particle size) glyburide, which is an oral blood-glucose-lowering drug of the sulfonylurea class. Glyburide is a white, crystalline compound, formulated as Glynase® PresTab® tablets of 1.5, 3, and 6 mg strengths for oral administration. The inactive ingredients of the compound are colloidal silicon dioxide, cornstarch, lactose, and magnesium stearate. In addition, the 3 mg strength contains FD&C Blue No. 1 Aluminum Lake, and the 6 mg tablet contains D&C Yellow No. 10 Aluminum Lake.

Bill of Materials

Scale (mg/ tablet)	Item	Material Name	Quantity/ 1000 Tablets (g)
5.00	1	Glyburide, micronized (ca 5 m ² /g) with excess	5.25
140.00	2	Lactose spray dried (foremost spray-dried lactose #315 or #316)	140.00
28.60	3	Starch (maize)	28.60
0.75	4	Magnesium stearate	0.75

MANUFACTURING DIRECTIONS

- Place items 1 to 3 in a suitable mixing vessel. Mix for 20 minutes, until a homogeneous mixture is reached.
- Sift item 4 through a 250 µm mesh and add to step 1. Blend slowly for 2 minutes.
- Compress into ca 175 mg tablets, using a suitable punch.

GRISEOFULVIN TABLETS (125 MG)

Bill of Materials

Scale (mg/ tablet)	Item	Material Name	Quantity/ 1000 Tablets (g)
125.00	1	Griseofulvin, micronized	125.00
250.00	2	Ludipress®	250.00
10.00	3	Polyethylene glycol 6000 powder	10.00
19.00	4	Aerosil® 200	19.00

MANUFACTURING DIRECTIONS

- Pass all components through a 0.5 mm sieve, and mix.
- Press with low-compression force, applying a vibrating hopper.
- Compress into 367 mg tablets, using 12 mm biplanar punches.
- The flowability of the tableting mixture can be increased by adding higher amounts of Ludipress® and Aerosil® 200.

GRISEOFULVIN TABLETS (500 MG)

Bill of Materials

Scale (mg/ tablet)	Item	Material Name	Quantity/ 1000 Tablets (g)
500.00	1	Griseofulvin	500.00
100.00	2	Kollidon® VA 64	100.00
—	3	Dimethylformamide	7500.00
75.00	4	Kollidon® CL	75.00
75.00	5	Lactose monohydrate	75.00
5.00	6	Magnesium stearate	5.00
5.00	7	Aerosil® 200	5.00

MANUFACTURING DIRECTIONS

- Dissolve the mixture of items 1 and 2 in item 3.
- Evaporate to dryness.
- Pass the obtained coprecipitate through a 0.5 mm sieve.
- Mix with items 4 to 7 and press with low-compression force.
- Compress into 751 mg tablets, using 12 mm biplanar punches.

GUAIFENESIN TABLETS

MANUFACTURING DIRECTIONS

- Inner tablet: Guaifenesin, 175.0 mg; microcrystalline cellulose, 35.1 mg; crospovidone, 35.0 mg; polyvinylpyrrolidone, 7.3 mg; talc, 2.3 mg; zinc stearate, 2.3 mg. Total 257.0 mg.
- Outer tablet: Guaifenesin, 425.0 mg; hydroxypropyl methylcellulose K4M, 139.9 mg; stearic acid, 30.0 mg; zinc stearate, 5.4 mg. Total 600.3 mg.
- Make the inner tablet by oscillating guaifenesin and half of the polyvinylpyrrolidone through a 30 mesh screen.
- Transfer the blend to a pharmaceutical-grade blender and mix until it is of uniform consistency.
- Granulate the mixture with polyvinylpyrrolidone that has been previously dissolved in a sufficient amount of purified water to make a solution of about 8% to about 12% of polyvinylpyrrolidone.
- Discharge this mixture and dry in a forced air oven at 40°C until the water content is less than 1%.
- Oscillate the dried granulation through a 12 mesh screen and return to the blender.
- Add the remaining polyvinylpyrrolidone, microcrystalline cellulose, and talc to this dried granulation and mix until it is of uniform consistency.
- Finally, add zinc stearate, and mix the mixture until it is of uniform consistency.
- Compress this mixture into inner tablets using a standard tableting press.

11. Make the outer tablet by first passing guaifenesin through an oscillator equipped with a 30 mesh screen.
12. After this step, transfer guaifenesin to a blender and add hydroxypropyl methylcellulose K4M and stearic acid to it. Mix until uniform.
13. Add zinc stearate, and blend the mixture until uniform.
14. Compress the mixture of ingredients that comprise the outer tablet around the already formed inner tablet on a standard compression coating tablet press.

GUAIFENESIN TABLETS

Bill of Materials			
Scale (mg/ tablet)	Item	Material Name	Quantity/ 1000 Tablets (g)
69.77	1	Guaifenesin USP	69.77
16.00	2	Starch 1500	16.00
9.48	3	Microcrystalline cellulose NF	9.48
4.00	4	Starch 1500	4.00
0.50	5	Stearic acid NF	0.50
0.25	6	Magnesium stearate	0.25
100.00	7	Total	100.00

MANUFACTURING DIRECTIONS

1. Granulation: preblend items 1 and 2 for 2 minutes prior to granulating with water to appropriate moisture.
2. Wet mass for 3 minutes.
3. Size the granulation.
4. Pass lubricant through a 60 mesh screen prior to blending.
5. Pass colloidal silicon dioxide through a 30 mesh screen along with the microcrystalline cellulose.
6. Blend all the ingredients except the lubricant for 10 minutes.

HEPARIN TABLETS^A

Bill of Materials			
Scale (mg/ tablet)	Item	Material Name	Quantity/ 1000 Tablets (g)
0.08	1	Heparin (low molecular weight)	0.08
0.40	2	Water	0.40
0.92	3	Monoglyceride	0.92
1.24	4	CPL-Galactolipid	1.24
1.40	5	Palm kernel stearin	1.40

^a Other proportions may include heparin 0.18, 0.21, and proportionally increased excipients. Lipid materials trade name and source: Galactolipids from oats (CPL-Galactolipid; Lipid Technologies Provider AB, Karlshamn,

Sweden); medium chain monoglyceride (Akoline MCM; Karlshamns AB, Karlshamn, Sweden); palm kernel stearin (fraction of palm kernel oil; Karlshamns AB, Karlshamn Sweden); heparin (low molecular weight; Calbiochem, p. no. 375097); Palm kernel stearin (Akofine NF; Karlshamns AB, Karlshamn, Sweden).

MANUFACTURING DIRECTIONS

1. Blend the ingredients and melt the mixture by heating to a temperature of 60°C and stirring at this temperature for 5 hours, by when all heparin will have dissolved.
2. Cast aliquots (0.24 g) of the melted phase in a mold covered with hydrogenated triglyceride (Akofine NF) powder. Cool the mold in a freezer and recover the tablets.

HERBAL HEMORRHOID TABLETS

MANUFACTURING DIRECTIONS

1. Initially, wash genera *Glycyrrhizae Radix*, *Rhei Rhizoma*, *Ephedrae Herba*, *Moutan Radicis Cortex*, *Menthae Herba*, *Pinelliae Rhizoma*, *Pasoniae Radix*, *Aconiti Tuber*, *Corni Fructus*, *Gypsum*, *Ginseng Radix*, and *Pelladendri Radix*, respectively, with water to remove sand, clay, dust, and the like.
2. Clean and dry these natural substances to a moisture content of approximately 5%.
3. Cut 168 g of *Glycyrrhizae Radix*, 104 g of *Rhei Rhizoma*, 104 g of *Ephedrae Herba*, 168 g of *Moutan Radicis Cortex*, 104 g of *Menthae Herba*, 168 g of *Pinelliae Rhizoma*, 56 g of *Pasoniae Radix*, 56 g of *Aconiti Tuber*, 56 g of *Corni Fructus*, 168 g of *Ginseng Radix*, and 104 g of *Pelladendri Radix* into a particle size of about 1 cm and mix together.
4. To this mixture add 104 g of *Testudinis Carapax*, 56 g of *Natrii Sulfas*, 168 g of *Gypsum*, 56 g of *Cinnabaris*, and 256 g of *Talcum*.
5. Thereafter, place this mixture in an extractor that has an aromatic vapor collector.
6. Add 12 L of water to approximately 2 kg of the mixture in the extractor.
7. Heat the mixture in the extractor to about 80°C for 1 hour and then extract.
8. Filter the aqueous mixture first in a centrifugal separator and then filter again in a microfilter.
9. Condense the aromatic vapor distilled from the aqueous mixture and add as an aromatic liquid to the filtrate.
10. Evaporate the filtrate through an automatic vacuum evaporator to a moisture content of about 30% to produce an extract that is useful as an antihemorrhoidal composition in extract form.
11. At this time, dry the concentrated liquid through a dry sprayer to produce a granulated formulation, a tablet formulation, a pill formulation, an ointment formulation, or the like, for use as an antihemorrhoid medicine.

HORSETAIL EXTRACT TABLETS

Bill of Materials			
Scale (mg/ tablet)	Item	Material Name	Quantity/ 1000 Tablets (g)
450.00	1	Horsetail extract (powder)	450.00
14.00	2	Kollidon® VA 64	14.00
5.00	3	Lutrol F 68	5.00
QS	4	Isopropanol	~120.00
14.00 g	5	Kollidon® CL	14.00
QS	6	Magnesium stearate	QS

MANUFACTURING DIRECTIONS

1. Granulate the extract (item 1) with solution of items 2 to 4, then dry, pass through a 0.8 mm sieve, mix with items 5 and 6, and press with high-compression force.
2. Compress into 489 mg tablets, using 12 mm biplanar punches.

HYDROCHLOROTHIAZIDE AND POTASSIUM CHLORIDE (50 MG/300 MG)

Bill of Materials			
Scale (mg/ tablet)	Item	Material Name	Quantity/ 1000 Tablets (g)
50.00	1	Hydrochlorothiazide	50.00
300.00	2	Potassium chloride	300.00
15.00	3	Kollidon® CL	15.00
2.00	4	Aerosil® 200	2.00
2.00	5	Magnesium stearate	2.00

MANUFACTURING DIRECTIONS

1. Pass all components through a 0.8 mm sieve. Mix the components, and press.
2. Compress into 369 mg tablets, using 9 mm punches.

HYDROCHLOROTHIAZIDE FAST-MELT TABLETS**MANUFACTURING DIRECTIONS**

1. Mix hydrochlorothiazide, 20%; sodium bicarbonate, 25%; citric acid anhydrous, 25%; Avicel™ PH113, 18%; xylitol, 10%; and Crodesta F160, 2%.
2. Dry at elevated temperatures to significantly reduce the moisture content of each material.
3. Blend for 10 minutes and extrude in a hot melt extruder at 70°C to 100°C to soften and melt the thermal binders (sucrose stearate and xylitol) and to form granules containing the effervescent ingredients.

4. Mix HYD-EFG (30–60 mesh), 50%; microcrystalline cellulose, 31%; anhydrous lactose, 10%; Ac-Di-Sol, 2.5%; L-HPC LH-11, 2.5%; aspartame, 3%; redberry flavor, 0.4%; magnesium stearate, 0.5%; and Cab-O-Sil M5P, 0.1%.
5. Screen the granules and blend with the ingredients for 5 minutes prior to compression.
6. Compress the hydrochlorothiazide tablets to a hardness of approximately 1 to 3 kPa, and tablets should disintegrate in water in approximately 15 to 35 seconds.

HYDROCHLOROTHIAZIDE TABLETS (50 MG)

Hydrochlorothiazide is supplied as 25, 50, and 100 mg tablets for oral use. Each tablet contains the following inactive ingredients: calcium phosphate, FD&C Yellow No. 6, gelatin, lactose, magnesium stearate, starch, and talc.

HYDROCHLOROTHIAZIDE TABLETS

Bill of Materials			
Scale (mg/ tablet)	Item	Material Name	Quantity/ 1000 Tablets (g)
50.00	1	Hydrochlorothiazide	50.00
280.00	2	Ludipress®	280.00
2.00	3	Magnesium stearate	2.00

MANUFACTURING DIRECTIONS

1. Mix all components, and pass through a 0.8 mm sieve.
2. Compress with a low-compression force. Compress into 328 mg tablets, using 8 mm punches.

HYDROCHLOROTHIAZIDE TABLETS (50 MG)

Bill of Materials			
Scale (mg/ tablet)	Item	Material Name	Quantity/ 1000 Tablets (g)
50.00	1	Hydrochlorothiazide	50.00
422.00	2	Lactose monohydrate	422.00
8.00	3	Kollidon® 90F	8.00
—	4	2-Propanol	38 mL
15.00	5	Kollidon® CL	15.00
2.00	6	Magnesium stearate	2.00

MANUFACTURING DIRECTIONS

1. Granulate the mixture of items 1 and 2 with item 2, pass through a 0.8 mm sieve, add items 5 and 6, and press with low-compression force.
2. Compress into 495 mg tablets, using 12 mm biplanar punches.

HYDROCHLOROTHIAZIDE TABLETS

Bill of Materials			
Scale (mg/ tablet)	Item	Material Name	Quantity/ 1000 Tablets (g)
50.00	1	Hydrochlorothiazide	50.00
64.76	2	Dicalcium phosphate	64.76
64.76	3	Lactose	64.76
20.00	4	Starch 1500	20.00
0.50	5	Magnesium stearate	0.50

MANUFACTURING DIRECTIONS

1. Blend all the materials (except magnesium stearate) for 15 minutes.
2. Add magnesium stearate and blend for 5 additional minutes.
3. Compress 200 mg tablets; for 25.00 mg strength, compress 100 mg.

HYDROCODONE AND ACETAMINOPHEN TABLETS (5.0 MG/500 MG; 7.50 MG/750 MG)

Each tablet contains hydrocodone bitartrate (5 mg) and acetaminophen (500 mg). Other ingredients include colloidal silicon dioxide, cornstarch, croscarmellose sodium, dibasic calcium phosphate, magnesium stearate, microcrystalline cellulose, povidone, and stearic acid. Each extra-strength tablet contains hydrocodone bitartrate (7.5 mg) and acetaminophen (750 mg). Other ingredients include colloidal silicon dioxide, cornstarch, croscarmellose sodium, magnesium stearate, povidone, and stearic acid.

HYDROCODONE AND ACETAMINOPHEN TABLETS

Bill of Materials			
Scale (mg/ tablet)	Item	Material Name	Quantity/ 1000 Tablets (g)
750.00	1	Acetaminophen powder	750.00
7.50	2	Hydrocodone bitartrate	7.50
12.00	3	Colloidal silicon dioxide	12.00
154.40	4	Microcrystalline cellulose	154.40
64.00	5	Croscarmellose sodium	64.00
26.00	6	Hydroxypropyl methylcellulose	26.00
124.80	7	Starch (maize)	124.80
4.00	8	Magnesium stearate	4.00
—	9	Water, purified	QS

Note: For 500 mg item 1 and 5.0 mg item 2 formulation, adjust fill volume.

MANUFACTURING DIRECTIONS

1. Pass hydrocodone bitartrate through a 20 mesh screen. Pass acetaminophen and colloidal silicon dioxide (50%) through a Frewitt SG Turbo Sieve equipped with a 1.0 mm round-hole screen, an angle bar, a cloth skirt, and a polyethylene-lined collecting drum at speed setting 5 (approximately 1030 rpm).
2. Pass microcrystalline cellulose (50%), croscarmellose sodium (50%), cornstarch (66%), and hydroxypropyl methylcellulose through the Turbo Sieve at the same settings as in step 1. Load the screened powders into a Lodige MGT-600 mixer, and mix for 5 minutes with the plow speed at approximately 103 rpm and no choppers.
3. Add water to the mixer over a 10 minute period, using a stainless steel transfer container with a valve, while mixing with the plows at about 103 rpm and the choppers at slow speed.
4. Mix the wet mass for another 15 minutes, until a wattmeter reading of 15 to 16 kW is reached.
5. Dry the material. Preheat a Glatt fluid-bed dryer by running it for 2.5 minutes at 60°C inlet air temperature at 3500 m³/h. Set the exhaust blower bypass speed at about 40%, the filter shaking interval for about 2 minutes, and the filter shaking duration for 5 seconds. Transfer the material in the dryer for drying. Decrease the inlet air to 2500 m³/h and the inlet air temperature to 55°C after 30 minutes. Dry the material until an LOD of less than 0.5% is reached.
6. Pass the dried granulation through a FitzMill using a 20 mesh screen with knives forward, at medium speed.
7. Pass the remaining microcrystalline cellulose and the colloidal silicon dioxide through a Frewitt SG Turbo Sieve equipped with a 1 mm round-hole screen, an angle bar, a cloth skirt, and a polyethylene-lined collecting drum. The speed setting is at approximately 1030 rpm.
8. Add magnesium stearate, and mix for 3 minutes.
9. Compress using a 13/32 in. round tooling.

HYDROCODONE AND IBUPROFEN TABLETS

Bill of Materials			
Scale (mg/ tablet)	Item	Material Name	Quantity/ 1000 Tablets (g)
400.00	1	Ibuprofen	400.00
15.00	2	Hydrocodone bitartrate	15.00
12.00	3	Colloidal silicon dioxide	12.00
154.40	4	Microcrystalline cellulose	154.40
64.00	5	Croscarmellose sodium	64.00
26.00	6	Hydroxypropyl methylcellulose	26.00
124.80	7	Starch (maize)	124.80
4.00	8	Magnesium stearate	4.00
—	9	Water, purified	QS

MANUFACTURING DIRECTIONS

1. Pass hydrocodone bitartrate through a 20 mesh screen. Pass ibuprofen and colloidal silicon dioxide (50%) through a Frewitt SG Turbo Sieve equipped with a 1.0 mm round-hole screen, an angle bar, a cloth skirt, and a polyethylene-lined collecting drum at speed setting 5 (approximately 1030 rpm).
2. Pass microcrystalline cellulose (50%), croscarmellose sodium (50%), cornstarch (66%), and hydroxypropyl methylcellulose through the Turbo Sieve at the same settings as in step 1. Load screened powders into a Lodge MGT-600 mixer, and mix for 5 minutes with the plow speed at approximately 103 rpm and no choppers.
3. Add water to the mixer over a 10 min period, using a stainless steel transfer container with a valve while mixing with the plows at about 103 rpm and the choppers at slow speed.
4. Mix the wet mass for another 15 minutes until a wattmeter reading of 15 to 16 MkW is reached.
5. Dry the material using a preheated Glatt fluid-bed dryer; preheat by running the dryer for 2.5 minutes at 60°C inlet air temperature at 3500 m³/h. Set the exhaust blower bypass speed at about 40%, the filter shaking interval for about 2 minutes, and the filter shaking duration for 5 seconds. Transfer the material in the dryer for drying. Decrease the inlet air to 2500 m³/h and the inlet air temperature to 55°C after 30 minutes. Dry the material until an LOD of less than 0.5% is reached.
6. Pass the dried granulation through a FitzMill using a 20 mesh screen, with knives forward, at medium speed.
7. Pass the remaining microcrystalline cellulose and the colloidal silicon dioxide through a Frewitt SG Turbo Sieve equipped with a 1 mm round-hole screen, an angle bar, a cloth skirt, and a polyethylene-lined collecting drum. The speed setting is at approximately 1030 rpm.
8. Add magnesium stearate, and mix for 3 minutes.
9. Compress using a 13/32 in. round tooling.

HYDROMORPHONE HYDROCHLORIDE FAST-MELT TABLETS**MANUFACTURING DIRECTIONS**

1. Mix hydromorphone hydrochloride 15%, sodium bicarbonate 28%, citric acid anhydrous 24%, microcrystalline cellulose 10%, anhydrous lactose 11%, xylitol 10%, and sucrose stearate 2%.
2. Mix these ingredients and dry at elevated temperatures to significantly reduce the moisture content of the material.
3. Blend for 10 minutes, and extrude in a hot melt extruder at 70°C to 100°C to soften and melt the

thermal binders (sucrose stearate and xylitol) and to form granules containing the effervescent ingredients.

4. Mix HDM-EGF (30–60 mesh), 50%; microcrystalline cellulose, 18%; anhydrous lactose, 18%; crospovidone, 5%; L-HPC LH-11, 5%; aspartame, 3.25%; natural orange powder, 0.15%; magnesium stearate, 0.45%; fumed silicon dioxide, 0.15%.
5. Screen the granules and blend for 5 minutes prior to compression.
6. Hydromorphone tablets are compressed to a hardness of approximately 1 to 5 kPa (depending upon the dose of the active), and tablets disintegrate in water in approximately 15 to 35 seconds.

HYDROXYZINE TABLETS

Inert ingredients for the tablets are acacia, carnauba wax, dibasic calcium phosphate, gelatin, lactose, magnesium stearate, precipitated calcium carbonate, shellac, sucrose, talc, and white wax. The 10 mg tablets also contain sodium hydroxide, starch, titanium dioxide, and FD&C Yellow No. 6 Lake. The 25 mg tablets also contain starch and velo dark green. The 50 mg tablets also contain starch and velo yellow. The 100 mg tablets also contain alginic acid, FD&C Blue No. 1, polyethylene glycol, and FD&C Red No. 3.

HYOSCINE BUTYLBROMIDE TABLETS (10 MG)**Bill of Materials**

Scale (mg/ tablet)	Item	Material Name	Quantity/ 1000 Tablets (g)
10.000	1	Hyoscine butylbromide	10.000
16.500	2	Lactose monohydrate	16.500
28.000	3	Lactose monohydrate, dense	28.000
17.930	4	Starch (maize)	17.93
2.240	5	Povidone (PVP K-30)	2.240
—	6	Purified water	5.080
0.400	7	Magnesium stearate	0.400
2.740	8	Pregelatinized starch (Starch 1500)	2.740

MANUFACTURING DIRECTIONS

Caution: Hyoscine butylbromide is a potent smooth muscle relaxant. Inhalation can produce toxic effects. Strictly adhere to the usage of mask, gloves, and goggles.

1. Preparation of binding solution: Dissolve item 5 in item 6 by stirring to make a clear solution. Use the stirrer at medium speed in a stainless steel container.
2. Dry mixing: Check to see if hyoscine butyl bromide is in fine powder form. If not, pass through a 630 μm sieve using a sifter. Load items 1, 2, 4, and 3 into

the mixer, and mix for 5 minutes with the mixer and chopper at low speed.

3. Wet massing
 - a. Add the binding solution to the dry powder in the mixer while mixing at low speed. When the addition is over, mix and chop for a further 2 minutes at high speed.
 - b. Scrape the lid and blade, and check for a satisfactory wet mass. Add more item 6 if required to get a satisfactory wet mass.
4. Drying
 - a. Spread the granules onto stainless steel trays to a thickness of one-third of the tray thickness, and load the trays on the trolley.
 - b. Load the trolleys into the oven. Dry at 60°C for 16 hours. Turn the granules after 3 to 4 hours so as to ensure uniform drying of the granules.
 - c. Check the moisture content of the dried granules, keeping in mind the limit of 1.0% to 1.5%.
5. Grinding: Pass the dried granules through a granulator equipped with a 1.0 mm sieve.
6. Lubricating
 - a. Mix items 7 and 8 in a polythene bag, and pass through a 250 µm sieve using a sifter. Collect the material in a stainless steel container.
 - b. Load the sized granules from step 5 along with sieved powder from step 6a into the drum mixer. Mix these items for 3 minutes.
 - c. Unload into stainless steel drums.
7. Compression: Compress the granules using a rotary tableting machine (with dies and punches: 6 mm, concave, plain punches with fill weights of 780 mg).
8. Coating: Sugar coat the tablets. (See Appendix.)

IBUPROFEN AND DOMPERIDONE MALEATE CHEWABLE TABLETS

Bill of Materials

Scale (mg/ tablet)	Item	Material Name	Quantity/ 1000 Tablets (g)
200.00	1	Ibuprofen	200.00
7.50	2	Domperidone maleate	7.50
750.00	3	Sucrose	750.00
50.00	4	Sorbitol	50.00
1.12	5	Silica fumed	1.12
6.75	6	Stearic acid	6.75

MANUFACTURING DIRECTIONS

1. Combine items 1 to 6 to form a homogeneous blend.
2. Compress by direct compression to form a chewable tablet containing 200 mg of ibuprofen and 7.5 mg of domperidone maleate.
3. Compression weight approximately 1015 mg per tablet.

IBUPROFEN AND DOMPERIDONE MALEATE TABLETS

Bill of Materials

Scale (mg/ tablet)	Item	Material Name	Quantity/ 1000 Tablets (g)
200.00	1	Ibuprofen	200.00
5.00	2	Domperidone maleate	5.00
20.00	3	Microcrystalline cellulose	20.00
30.00	4	Croscarmellose sodium	30.00
2.00	5	Magnesium stearate	2.00
2.00	6	Hydrogenated cottonseed oil	2.00
60.00	7	Tricalcium phosphate	60.00
10.00	8	Hydroxypropyl cellulose	10.00
10.00	9	Hydroxypropyl methylcellulose	10.00
112.00	10	Sorbitol	112.00

MANUFACTURING DIRECTIONS

1. Sieve ibuprofen, domperidone maleate, tricalcium phosphate, hydroxypropyl cellulose, croscarmellose sodium, and microcrystalline cellulose and blend to form a homogeneous mixture.
2. Granulate the mixture to a suitable end point with water and dry.
3. Blend the dried granules with magnesium stearate.
4. Compress the lubricated granules to form tablet cores, each containing 200 mg of ibuprofen and 5 mg of domperidone or each containing 400 mg of ibuprofen and 10 mg of domperidone.
5. Coat the tablet cores with a conventional film coating.

IBUPROFEN AND DOMPERIDONE SUSTAINED-RELEASE TABLETS

Bill of Materials

Scale (mg/ tablet)	Item	Material Name	Quantity/ 1000 Tablets (g)
400.00	1	Ibuprofen	400.00
20.00	2	Domperidone maleate	20.00
100.00	3	Xanthan gum	100.00
12.00	4	Hydroxypropyl methylcellulose	12.00
6.00	5	Stearic acid	6.00
2.00	6	Colloidal silicon dioxide	2.00

MANUFACTURING DIRECTIONS

1. Granulate the hydroxypropyl methylcellulose and ibuprofen with approximately 20% of the total content of xanthan gum using water as the granulating agent.

2. Combined the ibuprofen granule with the remainder of the xanthan gum and the other ingredients and compress into tablets containing 400 mg of ibuprofen and 20 mg of domperidone.

IBUPROFEN AND HYDROCODONE BITARTRATE TABLETS

Bill of Materials			
Scale (mg/ tablet)	Item	Material Name	Quantity/ 1000 Tablets (g)
200.00	1	Ibuprofen	200.00
7.52	2	Hydrocodone Bitartrate	7.52
6.00	3	Colloidal silicon dioxide	6.00
77.20	4	Microcrystalline cellulose	77.20
32.00	5	Sodium croscarmellose	32.00
13.00	6	Hydroxypropyl methylcellulose	13.00
62.40	7	Cornstarch	62.40
2.00	8	Magnesium stearate	2.00

MANUFACTURING DIRECTIONS

1. Pass hydrocodone bitartrate through a 20 mesh handscreen.
2. Pass ibuprofen (50%) and colloidal silicon dioxide (0.75%) through a Frewitt SG Turbo Sieve equipped with a 1.0 mm round-hole screen, an angle bar, a cloth skirt, and a polyethylene-line collecting drum at speed setting 5 (approximately 1030 rpm).
3. Pass microcrystalline cellulose (9.5%), croscarmellose sodium (4.0%), cornstarch (10.6%), and hydroxypropyl methylcellulose (3.3%) through the Turbo Sieve at the same settings.
4. Introduce the screened powders into a Lodige MGT-600 mixer and mix for 5 minutes with plow speed at approximately 103 rpm and no choppers.
5. Add water to the mixer over a 10 minute period using a stainless steel transfer container with a valve while mixing with plows at about 103 rpm and choppers at slow speed.
6. Mix the wet material for another 15 minutes until a Wattmeter of 15 to 16 kW is reached.
7. To dry the material, preheat a Glatt fluid-bed dryer by running it for 2.5 minutes at 60°C, with inlet air temperature at 3500 m³/h. Set the exhaust blower bypass speed at about 40%, the filter shaking interval for about 2 minutes, and the filter shaking duration for 5 seconds. Place the material in the dryer for drying. Decrease the inlet air to 2500 m³/h and the inlet air temperature to 55°C after 30 minutes. Dry the material until an LOD of less than 0.5% is reached.
8. Pass the dried granulation through a FitzMill using a 20 mesh screen 1536–0200 with knives forward at medium speed.
9. Pass the remaining microcrystalline cellulose and the colloidal silicon dioxide alternately through a Frewitt SG Turbo Sieve equipped with a 10 mm round-hole screen, an angle bar, a cloth skirt, and a polyethylene-lined collecting drum. Set the speed at approximately 1030 rpm.
10. Introduce the milled granulation, the remaining croscarmellose, the screened colloidal silicon dioxide, the microcrystalline cellulose, and the cornstarch into a Littleford FKM-3000 mixer through a chute and mix for 3 minutes at fast speed.
11. Pass magnesium stearate through a Frewitt Turbo Sieve equipped with a 1.0 mm round-hole screen, an angle bar, a cloth skirt, and a polyethylene line collecting drum. Set the speed at about 1030 rpm.
12. Add magnesium stearate to the mixture and mix for 3 minutes at fast speed. Discharge the final blend through a cloth sleeve into tared totes with inserts with minimum jogging.
13. Compress the composition into tablets by using a Kilian TX-32 tablet press and 13/32 in. round tooling and film coat.

IBUPROFEN CHEWABLE TABLETS

MANUFACTURING DIRECTIONS

1. Dissolve PVAP and PVP-K90, equivalent to a 2:1 weight ratio, in minimum volumes of an aqueous ammonium hydroxide solution (28% v/v) and water, respectively, and then mix.
2. Into the resulting mixture, dissolve ibuprofen, equal to the amount of PVAP used, and then add 0.1 N HCl solution dropwise until the pH of the solution is 1.0.
3. Filter the white solid precipitate, wash with water, and then vacuum dry.
4. Use the entrapped granules containing 39.06% ibuprofen in the preparation of tablets.
5. Accurately weigh appropriate amounts of the granules and the cherry vehicle, corresponding to 200 mg of ibuprofen per 668 mg of tablet, and then mix, and compress tablets.

IBUPROFEN-COATED FAST-CRUMBLING GRANULE TABLETS

Bill of Materials

Scale (mg/ tablet)	Item	Material Name	Quantity/ 1000 Tablets (g)
200.00	1	Ibuprofen	200.00
16.00	2	Sodium croscarmellose (AGG)	16.00
27.50	3	Aspartame	27.50
12.20	4	Precipitated silica	12.20
35.00	5	Ethyl cellulose	35.00
8.00	6	Hypromellose	8.00
1.33	7	Sodium (AGM) croscarmellose	1.33
	8	Pharmacoat 606	

MANUFACTURING DIRECTIONS

- Mix ethyl cellulose, 80% precipitated silica, and 30% aspartame in ethyl alcohol, until a homogeneous suspension is obtained.
- Then, fluidize powder mixture consisting of ibuprofen, item 7, 70% aspartame, and 20% precipitated silica.
- Start granulation by spraying the mixture for about 15 to 20 minutes at a spraying rate of 25 g/min and a suspension atomization pressure of 0.8 bar.
- Perform the actual coating by spraying the remainder of the mixture over about 1.5 hours at a spraying rate of 15 to 20 g/min and a suspension atomization pressure of 1.5 bar.
- Spray 15% of the mixture during the granulation step, and spray the remainder to 100% during the coating step.
- Formulate the granules obtained as fast-crumbling multiparticulate tablets, with the following composition: coated granules, 300 mg; mannitol, 344 mg; sodium croscarmellose, 21 mg; precipitated silica, 7 mg; aspartame, 20 mg; mint flavoring, 4 mg; magnesium stearate, 4 mg.

IBUPROFEN FAST-DISSOLVE TABLETS

Bill of Materials

Scale (mg/ tablet)	Item	Material Name	Quantity/ 1000 Tablets (g)
121.90	1	Ibuprofen-coated granules	121.90
11.00	2	Citric acid	11.00
3.90	3	Magnasweet 135	3.90
6.50	4	Aspartame	6.50
7.80	5	Cherry flavor	7.80
39.00	6	Croscarmellose sodium	39.00
1.95	7	Silicon dioxide	1.95
3.25	8	Magnesium stearate	3.25
457.90	9	Fast-dissolving granulation (see manufacturing directions)	457.90

MANUFACTURING DIRECTIONS

- Make a fast-dissolving granulation by combining 400 g of melted PEG 900 with fructose powder (100 g) in a planetary mixer (low-shear mixer) and mixing until granules are formed.
- Allow the granulations to cool, and then screen.
- Screen ingredients, and then mix in a V-blender.
- Compress tablets (653.7 mg) at 600 lb (about 2.7 kN).
- The tablets should have hardness of 0.2 to 0.5 kPa and disintegrate in less than 15 seconds.

IBUPROFEN SUSTAINED-RELEASE BILAYER TABLET

MANUFACTURING DIRECTIONS

- Immediate-release layer composition
 - Part I: Ibuprofen USP 160.0 mg; microcrystalline cellulose NF, 32.0 mg; (Avicel™ PH 101) starch NF, 32.0 mg; pregelatinized starch NF, 16.0 mg; (Starch 1500) sodium starch glycolate NF, 6.4 mg.
 - Part II: Hydroxypropyl methylcellulose, 1.6 mg (2910 USP, Methocel E-5) Purified Water USP, QS.
 - Part III: Sodium starch glycolate NF, 1.6 mg (Explotab); colloidal silicon dioxide NF, 0.8 mg. Total 250.4 mg.
 - Weigh the components of Part I and preblend them in a high-shear mixer (Fielder: impeller speed of approximately 118 rpm for 3 minutes).
 - Prepare the granulating agent (Part II) by dissolving hydroxypropyl methylcellulose 2910 USP into purified water USP (a ratio of 3.2 g of hydroxypropyl methylcellulose to 200 g water).
 - Deliver the granulating agent to the powders of Part I, in the high-shear mixer.
 - Granulate the mixture for 20 minutes (Fielder: impeller speed of approximately 118 rpm).
 - Remove the completed wet granulation from the high-shear mixer and load into the product bowl of a fluid-bed apparatus (e.g., Aeromatic or Glatt).
 - With an inlet air temperature of approximately 60°C, dry the granulation to a moisture level of 0.5% to 1.1% as determined by loss on drying (e.g. Computrac). The wet granulation can also be dried on trays in drying ovens.
 - Sieve the dried granulation (e.g. Glatt Quick Sieve: Stator No. 3, Screen No. 1.5 mm, 1000 rpm). Other machines such as a Fitzpatrick Comminution Mill can also be used.
 - Blend the sieved and dried granulation with the powders of Part III using a suitable mixer such as a twin-shell, ribbon, or planetary mixer.
- Sustained-release layer
 - Povidone USP, 14.7 mg (Plasdone K 29/32); alcohol USP 1:1 mixture with QS purified water USP

- b. Part III: Pregelatinized starch NF, 8.0 mg (Starch 1500 LM); microcrystalline cellulose NF, 7.3 mg (Avicel™ PH 101); magnesium stearate NF, 5.0 mg; colloidal silicon dioxide, NF 5.0 mg (Cab-O-Sil). Total=523.3 mg; total tablet weight=773.7 mg.
 - c. Weigh the components of Part I and preblend them in a high-shear mixer (Fielder: impeller speed of approximately 250 rpm for 1 minute).
 - d. Prepare the granulating agent (Part II) by dissolving the povidone USP in a 1:1 mixture of alcohol USP and purified water USP (a ratio of 12.25 g of povidone to 100 g of alcohol/water).
 - e. Spray the granulating agent at a rate of 600 mL/min onto Part I in the high-shear mixer.
 - f. Granulate the mixture for 1 minute after the addition of Part II (Fielder: impeller speed of approximately 250 rpm).
 - g. Remove the completed wet granulation from the high-shear mixer and load it into the product bowl of a fluid-bed apparatus (e.g., Aeromatic or Glatt).
 - h. With an inlet air temperature of approximately 60°C, dry the granulation to a moisture level of 0.3% to 0.8% as determined by loss on drying (e.g., Computrac).
 - i. The wet granulation can also be dried on trays in drying ovens.
 - j. Sieve the dried granulation (Fitzpatrick Comminution Mill, Model D6: medium speed, knives forward, 0.093 screen). Other machines such as Glatt Quick Sieve can also be used.
 - k. Blend the sieved and dried granulation with the powders of Part III by using a suitable mixer such as a twin-shell, ribbon, or planetary mixer.
3. Compression of tablets or caplets
 - a. Load the granulation of the immediate-release layer into one hopper and the granulation of the sustained-release layer into the second hopper of a bilayer tableting machine (e.g., Stokes Versapress).
 - b. Compress tablets using 0.749×0.281×0.060 extra deep concave capsule-shaped tooling. (Tablet tooling of other shapes, such as oval or round, can also be used.)
 - c. The sustained-release layer has a target weight of 523.3 mg, and the immediate-release layer has a target weight of 250.4 mg. Ideal tablet hardness immediately after compression is 11 to 12 kPa.

IBUPROFEN TABLETS

Bill of Materials

Scale (mg/ tablet)	Item	Material Name	Quantity/ 1000 Tablets (g)
200.00	1	Ibuprofen	200.00
88.00	2	Maize starch	88.00
30.00	3	Maize starch	30.00
12.80	4	Maize starch (dried) ^a	12.80
1.60	5	Stearic acid (fine powder)	1.60
—	6	Purified water	144.00

^a Loss on drying: NMT 4.5% when dried at 120°C for 4 hours.

MANUFACTURING DIRECTIONS

1. Pass item 3 through a 250 µm sieve using a sifter.
2. Prepare a slurry of item 3 with 10.67 g of cold item 6 (25–30°C) in a stainless steel container.
3. Pour the slurry into a vessel containing 37.33 g of hot item 6 (70–90°C).
4. Heat to 80°C to 90°C and mix until mixture swells and becomes translucent.
5. Cool to 50°C.
6. Check weight (theoretical weight, 58.00 g). If required, adjust with hot purified water. Record the quantity of extra water added.
7. Pass items 1 and 2 through sifter using 250 µm sieve.
8. Load it into a mixer (if required, grind item 1 through a 1 mm sieve).
9. Mix the powder for 15 minutes at high speed.
10. Add binding solution to the dry powder in the mixer and mix for 15 minutes at high speed. Check for satisfactory wet mass.
11. Pass the wet mass through a FitzMill using sieve 24207, knives forward, and medium speed.
12. Collect and spread the granules onto the trays, one-third the thickness of the tray.
13. Load the trolleys into the oven and dry the granules at 55°C for 36 hours.
14. After 12 hours of drying, stir the granules in the trays and change the position of the trays for uniform drying.
15. Check the moisture of the dried granules. The limit NMT is 2.5%. Dry further if required to obtain moisture content of 2.5%.
16. Check the weight of dried granules (theoretical weight=318.00 g).
17. Pass the dried granules through a 1.5 mm sieve using a granulator. Collect in a stainless steel drum and add it to the blender.
18. Pass items 4 and 5 through a 250 µm sieve using a sifter.
19. Add the sieved material to the granules in a blender and mix for 5 minutes.

20. Compress into 330 mg tablets, using 10 mm convex punches at 4 to 9 kPa.
21. Coat the tablets using one of the polyvinylpyrrolidone (PVP) coating solutions provided in the Appendix or use the following sugar-coating formulation:

Bill of Materials: Sugar Coating

Scale (mg/ tablet)	Item	Material Name	Quantity/ 1000 Tablets (g)
7.06	1	Sandrac varnish (WMR)	7.06
3.33	2	Povidone (PVP K-25)	3.33
1.86	3	Povidone (PVP K-25)	1.86
175.85	4	Sucrose	175.85
0.16	5	Titanium dioxide	0.16
1.20	6	Polishing emulsion ^a	1.20
1.33	7	Talc (fine powder)	1.33
—	8	Purified water	87.10

^a See appendix for polishing emulsion formulation.

22. Load the tablets into the pan.
23. Start the tablets rolling with the exhaust on and air supply off.
24. Pour the item 1 solution onto the rolling tablets and allow the tablets to roll, using hand agitation if required, permitting the solution to spread well over the tablet bed.
25. Permit the tablets to roll until tack develops, at which point item 7 should be quickly sprinkled over the tablets.
26. Allow to roll freely for 2 minutes at 45°C.
27. Do not roll too long, as the seal may be worn from the tablet edges.
28. After 2 minutes of rolling, jog the tablets every 1 minute over a period of 15 minutes with exhaust and drying air on at 45°C.
29. Continue jogging for a further 15 minutes. Jog every 3 minutes with exhaust and drying air temperature on at 45°C.
30. Dissolve 2.40 g of item 2 in 28.80 g of item 8.
31. Apply a half quantity of it to the tablets over 5 minutes; allow to dry, and apply the remainder over a 15 minute period.
32. Heat 11.52 g of item 8 to boiling, dissolve 26.88 g of item 4, and cool down to 25°C.
33. Check weight (theoretical weight, 38.40 g). If less, adjust weight to 38.40 g with purified water.
34. Apply sugar coat over a 30 minute period.
35. Dry the tablets in the coating pan at 30°C, jogging every 1 hour for 6 hours.
36. Heat 72.0 g of item 8 in mixer to boiling.
37. Dissolve 168.0 g of item 4 and then cool to 25°C.
38. Filter the syrup through a 180 µm stainless steel sieve.
39. Dissolve item 3 in 3.68 g of item 8.
40. Dissolve 4.53 g of item 4 in item 6.
41. Disperse item 5 in about 10.67 g of sugar syrup from the previous step and homogenize.

42. Mix these steps with sugar syrup. Check for evenness of the dispersion.
43. Apply sugar coating.

Bill of Materials: Polishing Coat

Scale (mg/ tablet)	Item	Material Name	Quantity/ kg (g)
28.75	1	Bee's wax, bleached (white bee's wax)	28.75
70.00	2	Polyethylene glycol (PEG-6000)	70.00
57.50	3	Carnauba wax	57.50
125.00	4	Talc (fine powder)	125.00
718.75	5	Ethanol, 95%	718.75

44. Melt items 1 to 3 in a steam-heated vessel by gentle heating to 70°C or in a stainless steel container on a hotplate heater.
45. Add item 4 to the vessel or stainless steel container and stir manually.
46. Add item 5 to the vessel or stainless steel container and stir manually.
47. Pass the mixture through a homogenizer.
48. Store the polishing emulsion in a closed container at room temperature.
49. Apply gloss solution.
50. Add step 46 item without air to the tablet bed carefully to get a uniform distribution while rolling.
51. After 5 minutes of distribution, turn on the cold air, and roll further until a shine appears.
52. Once the desired polish appears, stop rolling the pan.
53. Dry the tablets in the pan at 30°C for 30 minutes. Final tablet weight should be 480 mg.

IBUPROFEN TABLETS

Bill of Materials

Scale (mg/ tablet)	Item	Material Name	Quantity/ 1000 Tablets (g)
115.00	1	Lactose	115.00
11.30	2	Povidone	11.30
QS	3	Water, purified	QS
23.00	4	Starch (maize)	23.00
40.00	5	Starch pregelatinized	40.00
11.00	6	French chalk	11.00
1.10	7	Magnesium stearate	1.10
6.80	8	Explotab	6.80
400.00	9	Ibuprofen	400.00

MANUFACTURING DIRECTIONS

1. Granulation
 - a. Load the following into a planetary mixer: ibuprofen, starch pregelatinized, and polyvinylpyrrolidone. Mix all for 15 minutes.

- b. Pass the powder through a 40 mesh screen.
 - c. Add a sufficient quantity of purified water to form a desirable mass.
 - d. Pass the mass through a 40 mesh screen on a dryer tray.
 - e. Dry the granules in a fluid-bed dryer or use a fan-forced oven at 50°C to 60°C for 24 hours to dry granules to an LOD of not more than 1%.
 - f. Pass the granules through a 40 mesh sieve.
2. Blending
 - a. Place the granules in a planetary mixer. Add maize starch, French chalk (item 6), magnesium stearate, and Explotab, and mix for 20 minutes.
 3. Compressing: Compress using a rotary press in round punches. The average weight is 610 mg (\pm 5%).
 4. Coating: Apply a sugar coating. (See Appendix.)

IBUPROFEN TABLETS (400 MG), MOTRIN

Ibuprofen, a nonsteroidal anti-inflammatory agent, is available in 400, 600, and 800 mg tablets for oral administration. The inactive ingredients are carnauba wax, colloidal silicon dioxide, croscarmellose sodium, hydroxypropyl methylcellulose, lactose, magnesium stearate, microcrystalline cellulose, propylene glycol, and titanium dioxide.

IBUPROFEN TABLETS (400 MG)

Bill of Materials

Scale (mg/ tablet)	Item	Material Name	Quantity/ 1000 Tablets (g)
400.00	1	Ibuprofen	400.00
43.70	2	Starch (maize)	43.70
18.00	3	Povidone (PVP K-30)	18.00
105.00	4	Starch (maize)	108.13
40.00	5	Starch (maize, dried)	40.00
4.00	6	Colloidal silicon dioxide (Aerosil® 200)	4.00
3.45	7	Colloidal silicon dioxide (Aerosil® 200)	3.45
1.50	8	Stearic acid	1.50
4.50	9	Magnesium stearate	4.50
—	10	Purified water	163.97

MANUFACTURING DIRECTIONS

1. Preparing the paste
 - a. Pass item 2 through a sifter using a 630 μ m sieve. Prepare a slurry of item 2 with 51.78 g of item 10 (30°C). Pour the slurry into a vessel containing 112.19 g of item 10 (70°C). Heat to 80°C to 90°C, and mix until the material swells and becomes translucent.
2. Mixing: Load items 1, 4, and 3 to the mixer. Mix for 5 minutes at high speed.
3. Wet massing:
 - a. Add two-thirds of the starch paste quantity (preparing the paste, step 1b) to the dry powder in the mixer (Diosna). Mix for 4 minutes at low speed. Scrape the sides and blades.
 - b. Add the remaining quantity, and mix for 3 minutes at low speed. Scrape the sides and blades.
 - c. Mix and chop for a further 2 minutes. Check for a satisfactory wet mass. If required, add additional purified water to obtain a satisfactory wet mass.
4. Drying
 - a. Dry the granules in a fluid-bed dryer at 55°C for 3 hours. Keep just enough air pressure in order to bounce the granules. After 1 hour of drying, scrape the semidried granules to break the lumps for uniform drying. Unload in a stainless steel drum. Keep overnight for curing.
 - b. Check the moisture content of the dried granules. The limit is not more than 2.5%.
5. Grinding: Pass the granules through a 1.25 mm sieve using a granulator. Collect the granules in a stainless steel drum, and add to the blender.
6. Lubricating
 - a. Mix items 6 and 8 in a stainless steel drum, and pass through a 500 μ m sieve using a sifter. Collect in a stainless steel drum, and add to the blender.
 - b. Pass items 5 and 9 through a 250 μ m sieve in a sifter. Collect the sieved items in a stainless steel drum, and add to the blender. Mix the materials for 2 minutes.
 - c. Unload the result in stainless steel drums.
7. Compressing
 - a. Compress the tablets after slugging.
 - b. Check the temperature and humidity before starting slugging and compression.
 - c. The recommended relative humidity is 45% to 55% at temperatures 25°C to 27°C.
8. Slugging: Slug the granules using a rotary tableting machine with 16 mm punches.
9. Grinding: Grind the slugs through a 6.0 mm sieve followed by a 1.25 mm sieve. Keep 5.40 g of the granules aside. Load the rest of the ground granules in a blender.
10. Sift 5.4 g of the ground granules from step 9 through a 630 μ m sieve using a sifter. Add the retained granules to the blender.
11. Add item 7 into the sieved granules from step 10. Mix in a polythene bag. Sift through a 630 μ m sieve using a sifter. Add to the blender, and mix for 2 minutes.

- Compress the granules using a rotary tableting machine (12.7 mm concave punches; compress 620 mg).
- Tablet coating: Coat using Opadry® and HPMC coatings. (See Appendix.)

IBUPROFEN TABLETS (400 MG)

Formulations: Ibuprofen (Francis), 400 g; Aerosil® 200, 4 g; Ludipress®, 342 g; Kollidon® CL, 8 g; magnesium stearate, 8 g.

MANUFACTURING DIRECTIONS

- Pass ibuprofen and magnesium stearate through a 200 µm sieve.
- Mix with the other components and press with medium-compression force at 752 mg.

IBUPROFEN TABLETS (600 MG)

Formulations: Ibuprofen 50 (BASF), 600 g; Aerosil® 200, 9 g; Avicel™ PH 200, 108 g; Kollidon® VA 64, 50 g; Kollidon® CL, 27 g; Macrogol 6000 powder, 6 g.

MANUFACTURING DIRECTIONS

- Mix ibuprofen with Aerosil® 200, and add the other components.
- Press with low-compression force at 793 mg.

IBUPROFEN TABLETS (600 MG)

Bill of Materials

Scale (mg/ tablet)	Item	Material Name	Quantity/ 1000 Tablets (g)
600.00	1	Ibuprofen	600.00
129.80	2	Starch (maize)	144.22
1.15	3	Colloidal silicon dioxide (Aerosil® 200)	1.15
70.00	4	Starch (maize)	70.00
5.00	5	Colloidal silicon dioxide (Aerosil® 200)	5.00
8.07	6	Stearic acid	8.07
41.15	7	Pregelatinized starch (Starch 1500)	41.15
10.00	8	Magnesium stearate	10.00
—	9	Purified water	469.00

MANUFACTURING DIRECTIONS

- See the manufacturing directions for 400 mg strength tablet.

IMIPRAMINE TABLETS (25 MG)

Bill of Materials

Scale (mg/ tablet)	Item	Material Name	Quantity/ 1000 Tablets (g)
25.00	1	Imipramine hydrochloride	26.00
1.40	2	Polyvinylpyrrolidone	1.40
1.40	3	Magnesium stearate	1.40
1.40	4	Talc	1.40
50.00	5	Lactose monohydrate	50.00
50.00	6	Dicalcium phosphate	50.00
14.00	7	Starch (maize)	14.00
—	8	Isopropyl alcohol, ca	20 mL

MANUFACTURING DIRECTIONS

- Sift through a 250 µm sieve, and place items 1 and 5 to 7 in a suitable mixing vessel. Mix the items for 10 minutes.
- In a separate vessel, place item 2 and a suitable quantity of item 8 to dissolve it.
- Add step 2 into step 1, and make a suitable wet mass; pass through a 2.38 mm sieve, and dry in a dehumidified room overnight.
- Pass the dried granules through an 18 mesh screen into a blending vessel.
- Sift items 3 and 4 through a 250 µm sieve, and add to step 4. Blend for 1 minute.
- Compress into 140 mg tablets, using 7.2 mm punches.

INDOMETHACIN SUSTAINED-RELEASE TABLETS (75 MG)

Formulation: Indomethacin (Synopharm), 75 g; Kollidon® SR, 125 g; Ludipress® LCE, 100 g; silicon dioxide, colloidal, 1.5 g; magnesium stearate, 1.5 g.

MANUFACTURING DIRECTIONS

- Pass all ingredients through a 0.8 mm sieve, blend for 10 minutes in a mixer, and then compress with medium-compression force at 303 mg.

INDOMETHACIN TABLETS (50 MG), DC

Formulation: Indomethacin, 50 g; Ludipress®, 227 g; Kollidon® CL, 20 g; magnesium stearate, 3 g.

MANUFACTURING DIRECTIONS

- Mix all components, pass through a 0.8 mm sieve, and press with medium-compression force at 303 mg.

INDOMETHACIN TABLETS (100 MG)

Formulation: Indomethacin, 100 g; Ludipress®, 397 g; magnesium stearate, 3 g.

MANUFACTURING DIRECTIONS

1. Mix all components, and pass through a 0.8 mm sieve.
2. Press with low-compression force at 500 mg.
3. If the flowability of indomethacin is not good, it should be mixed with a low percentage of Aerosil® 200.

INOSINE TABLETS**Bill of Materials**

Scale (g/ tablet)	Item	Material Name	Quantity/ 1000 Tablets (g)
200.00	1	Inosin (Riboxin, Russia)	200.00
51.00	2	Lactose monohydrate	51.00
6.00	3	Kollidon® 90F	6.00
QS	4	Isopropanol	60.00 mL
10.00	5	Kollidon® CL	10.00
3.00	6	Magnesium stearate	3.00

MANUFACTURING DIRECTIONS

1. Granulate mixture of items 1 to 3 with the solvent mixture of items 4.
2. Dry and pass through a 0.8 mm sieve, add items 5 and 6, and press with low-compression force.
3. Compress into 270 mg tablets, using 9 mm biconvex punches.

IRBESARTAN TABLETS (75 MG/150 MG/300 MG), AVAPRO

Avapro is available for oral administration in unscored tablets containing 75, 150, or 300 mg of irbesartan. Inactive ingredients include lactose, microcrystalline cellulose, pregelatinized starch, croscarmellose sodium, poloxamer 188, silicon dioxide, and magnesium stearate.

IRBESARTAN TABLETS**Bill of Materials**

Scale (mg/ tablet)	Item	Material Name	Quantity/ 1000 Tablets (g)
75.00	1	Irbesartan ^a	75.00
15.38	2	Lactose monohydrate	15.38
22.50	3	Microcrystalline cellulose (Avicel™ PH 101)	22.50
22.50	4	Pregelatinized starch	22.50
7.50	5	Croscarmellose sodium	7.50
4.50	6	Poloxamer 188 (Pluronic F 68)	4.50
1.12	7	Silicon dioxide colloidal	1.12
1.50	8	Magnesium stearate	1.50
—	9	Water, purified ^b	QS

^a Use different fill weights for 150 mg and 300 mg strength tablets.

^b The tablets are prepared by a wet granulation process wherein the total amount of water employed (by weight) is up to 50% of the total solids weight.

MANUFACTURING DIRECTIONS

1. Place irbesartan, lactose, pregelatinized starch, and a portion (one-half) of croscarmellose sodium in a mixer. Mix the materials for 20 minutes.
2. Pass the powder blend in step 1 through sizing equipment (cone mill or oscillator), and mix in a mixer.
3. Dissolve poloxamer 188 in purified water (25% of the weight of total solids), and use it to wet granulate (with the further addition of water in an amount up to 25% of the weight of total solids, as needed) the mixed powder in step 2.
4. Dry the granules (tray or fluid-bed dryer) until the LOD is 2% or less.
5. Pass the dried granules through a screen, or mill them to obtain the proper size (1–3 mm).
6. Mix the sized granules with silicon dioxide, microcrystalline cellulose, and the remaining croscarmellose sodium in a mixer.
7. Add magnesium stearate and mix for 1 minute.
8. Compress 150 mg for 75 mg strength, 300 mg for 150 mg strength, and 600 mg for 300 mg strength.

IRON (POLYMER-COATED PARTICLE) TABLETS

Bill of Materials			
Scale (mg/ tablet)	Item	Material Name	Quantity/ 1000 Tablets (g)
100.00	1	Elemental iron; use ferrous sulfate polymer-coated particles (233 mg iron per g ferrous sulfate)	450.60
200.00	2	Cellulose microcrystalline	200.00
254.40	3	Lactose monohydrate	254.40
36.00	4	Sodium starch glycolate	36.00
9.00	5	Magnesium stearate	9.00

Note: Factor in potency of ferrous sulfate polymer-coated particles. Adjust with item 3. Item 1 is prepared by first granulating ferrous sulfate using alcohol and water, drying, and sieving particles over 1200 μm in size. Regranulate smaller particles. Apply enteric (HPMC) coating to the granules in a fluid-bed dryer.

MANUFACTURING DIRECTIONS

1. Load a suitable mixer/blender with microcrystalline cellulose, and disperse the ferrous sulfate polymer-coated powder.
2. To this mix, add about half the lactose (item 3) and blend for 5 minutes.
3. Pass the sodium starch glycolate through a 500 μm sieve, followed by about half of the remaining lactose.
4. Add to the mix.
5. Blend for a further 5 minutes.
6. Pass the magnesium stearate (item 5) through a 500 μm sieve, followed by the remaining lactose.
7. Add to the previous mix.
8. Blend for a further 5 minutes.
9. Compress into 950 mg tablets at 8 to 14 kpi, using 8 \times 16 mm punches; do not rework tablets.
10. Coat the tablets using a HPMC coating solution. (See Appendix.)

ISONIAZID TABLETS (100 MG)

Bill of Materials			
Scale (mg/ tablet)	Item	Material Name	Quantity/ 1000 Tablets (g)
100.00	1	Isoniazid	105.00
2.00	2	Starch (maize)	2.00
1.25	3	Gelatin	1.25
1.25	4	Magnesium stearate	1.25
1.25	5	Talc	1.25
—	6	Water, purified	QS

MANUFACTURING DIRECTIONS

1. Sift item 1 through a 250 μm sieve into a blending vessel.
2. In a separate vessel, place item 3 and a suitable quantity of item 6, heat to 50°C, and dissolve item 3. Then, add item 2 into step 1, and form a smooth slurry.
3. Add step 2 to a mixing vessel and form a suitable wet mass.
4. Pass the wet mass through a 2.38 mm sieve onto paper-lined trays, and dry at 60°C for 8 hours to an LOD of not more than 2.5%. Transfer the wet mass to a suitable blending vessel.
5. Sift items 4 and 5 through a 500 μm sieve, and add to step 4. Blend these materials for 1 minute.
6. Compress into 125 mg tablets, using 7.3 mm punches.

ISOSORBIDE DINITRATE TABLETS (5 MG) ISMO AND INDUR

Each Ismo tablet contains 20 mg of isosorbide mononitrate. The inactive ingredients in each tablet are D&C Yellow No. 10 Aluminum Lake, FD&C Yellow No. 6 Aluminum Lake, hydroxypropyl methylcellulose, lactose, magnesium stearate, microcrystalline cellulose, polyethylene glycol, polysorbate 20, povidone, silicon dioxide, sodium starch glycolate, titanium dioxide, and hydroxypropyl cellulose.

Imdur tablets contain 30, 60, or 120 mg of isosorbide mononitrate in an extended-release formulation. The inactive ingredients are aluminum silicate, colloidal silicon dioxide, hydroxypropyl cellulose, hydroxypropyl methylcellulose, iron oxide, magnesium stearate, paraffin wax, polyethylene glycol, titanium dioxide, and trace amounts of ethanol.

ISOSORBIDE DINITRATE TABLETS

Bill of Materials			
Scale (mg/ tablet)	Item	Material Name	Quantity/ 1000 Tablets (g)
5.00	1	Isosorbide dinitrate (40% in Lactose)	13.15
25.00	2	Microcrystalline cellulose (Avicel™ PH 102)	25.00
58.60	3	Lactose (spray dried)	58.60
0.75	4	Magnesium stearate	0.75
2.50	5	Starch (maize, dried)	2.50

MANUFACTURING DIRECTIONS

Note: Protect the product from heat and moisture. Heat and moisture affect the potency of isosorbide.

1. Dry mixing and sieving
 - a. Mix items 1 to 3 in a suitable stainless steel drum. Pass these materials through a 630 μm sieve using a sifter. Collect in a stainless steel drum.
 - b. Load the powders into the drum blender.

2. Mixing
 - a. Mix items 4 and 5 in a bag. Pass the material through 250 μm sieve. Collect in a bag.
 - b. Take about 1.25 g powder from step 1b and add to step 2a. Mix manually, and transfer to step 1b.
3. Mix for 5 minutes using a drum blender.
4. Check and record the weight of the granules. The theoretical weight of the granules is 100.0 g.
5. Compression: Compress granules into 100 mg tablets using a rotary tableting machine with 6 mm punches.

ISOSORBIDE DINITRATE TABLETS (5 MG)

Formulation: Isosorbide dinitrate + lactose (4+6), 12.5 g; lactose monohydrate, 152.1 g; Kollidon[®] 30, 5.4 g; Kollidon[®] CL, 9.0 g; magnesium stearate, 1.0 g.

MANUFACTURING DIRECTIONS

1. Mix all components, and pass through a 0.8 mm sieve.
2. Press with low-compression force at 184 mg.

ISOSORBIDE DINITRATE TABLETS (10 MG)

Bill of Materials			
Scale (mg/ tablet)	Item	Material Name	Quantity/ 1000 Tablets (g)
10.00	1	Isosorbide dinitrate (40% in lactose)	26.30
50.00	2	Microcrystalline cellulose (Avicel [™] PH 102)	50.00
117.20	3	Lactose (spray dried)	117.20
1.50	4	Magnesium stearate	1.50
5.00	5	Starch (maize, dried)	5.00

MANUFACTURING DIRECTIONS

1. See the manufacturing directions for the 5 mg formulation.

ISOVALERAMIDE SUSTAINED-RELEASE TABLETS

MANUFACTURING DIRECTIONS

1. Preparation of the tablet core
 - a. Disperse active drug (e.g., Isovaleramide; NPS 1776; Oread, Lawrence, KS; cGMP grade) by passage through a 30 mesh screen.
 - b. Mix drug, xanthan gum (e.g., XANTURAL; Monsanto, St. Louis, MO; NF grade), and lactose (e.g. monohydrate form, spray dried; Oread, Palo Alto, CA; NF grade) into a 1 L glass jar and blend in a mixer for 4 minutes at 96 rpm.

- c. Add magnesium stearate (e.g. Oread, Palo Alto, CA; NF grade) and blend the mixture for 1 minute.
- d. Compress the final blend into caplets by using 0.32 in. \times 0.75 in. \times 0.060 in. tooling to a target weight of 800 mg, target hardness of 8 kPa, and target thickness of 0.25 in.

2. Coating of the tablet cores

- a. Prepare hydroxypropyl methylcellulose (HPMC; e.g., Dow Chemical Co., Midland, MI; NF grade) solution by adding HPMC slowly to purified water heated to approximately 80°C. Allow the solution to cool to room temperature by placing the vessel in a cold water bath. Add additional water to prepare the final requisite amount of HPMC solution.
- b. Prepare Aquacoat[®] ECD/dibutyl sebacate mixture by adding dibutyl sebacate (DBS; e.g., Morflex Inc., Greenboro, NC; NF grade) to Aquacoat[®] ECD (e.g., FMC Pharmaceutical Division, Philadelphia, PA) while mixing. Continue mixing for a minimum of 30 minutes.
- c. Slowly add the HPMC solution to the Aquacoat[®] ECD/DBS mixture.
- d. Load the core tablets into a coating apparatus (Vector LCDS 3 coater) fitted with a 1.3 L coating pan and warm until an outlet temperature of 40°C is reached.
- e. Spray coat the tablets until the planned theoretical weight gain (based on core tablet weight) is achieved; however, after curing, the actual coating solids applied are less than the theoretical value (e.g., 8% or 15% theoretical can be 5% and 12% coat, respectively, after curing). Thus, extra spray may need to be added to account for the loss upon curing. Conditions for coating are as follows: inlet temperature, 70°C; outlet temperature, 40°C to 43°C; spray rate, 45 g/min; pan speed, 14 rpm; fluidizing air, 30 to 40 scfm; atomization air pressure, 26 psi.
- f. Stop spraying when the requisite amount of coating suspension is applied. Dry the tablets for approximately 5 minutes in the coating pan. Adjust the inlet temperature during drying to keep the outlet temperature below 45°C.
- g. Cure the tablets in an oven at 60°C for 18 hours.

KAOLIN-PECTIN TABLETS

Bill of Materials			
Scale (mg/ tablet)	Item	Material Name	Quantity/ 1000 Tablets (g)
QS	1	Distilled purified water	300 mL
50.00	2	Cornstarch	50.00
50.00	3	Povidone (K-29–32)	50.00
QS	4	Distilled purified water	0.50 L
630.00	5	Hydrated aluminum- magnesium silicate	630.00
100.00	6	Kaolin (powder)	100.00
50.00	7	Pectin	50.00
80.00	8	Cornstarch	80.00
80.00	9	Sodium lauryl sulfate	80.00
10.00	10	Magnesium stearate	10.00

MANUFACTURING DIRECTIONS

- Heat purified water (item 1) to 75°C to 80°C, and add cornstarch (item 2) with continuous stirring until a translucent paste is formed; use this paste within 1 hour.
- Dissolve povidone in purified water (item 4) in a separate container. Ensure that dissolution is complete.
- Load the following into a suitable planetary mixer: hydrated aluminum-magnesium silicate, kaolin, and pectin.
- Mix for 5 minutes.
- Add freshly prepared starch paste from the first step and the povidone solution to the powder blend from the third step; mix until a mass of suitable consistency is obtained.
- Add extra purified water, if needed.
- Spread the wet mass on paper-lined trays and dry in the oven at 50°C for 2 hours.
- Pass the semidried mass through a 4.8 mm (4 mesh) screen by hand or by using a suitable granulator, and load the granule mass onto paper-lined trays.
- Dry in the oven at 50°C until the moisture content is between 10.0% and 15.0%.
- Pass the dried granules through a 1.0 mm (18 mesh) screen on a comminuting mill at medium speed, knives forward, into clean, tared, polyethylene-lined drums; seal and weigh.
- Transfer the dried granules to a suitable blender.
- Screen the following items through a 595 µm (30 mesh) screen, and add to the blender: cornstarch (item 8), sodium lauryl sulfate, and magnesium stearate.
- Blend for 5 to 10 minutes.
- Compress on a suitable compression machine using 1/2 in. round standard concave punches, upper punch with logo and lower punch with a bisect line.
- Compress into 977 mg tablets at 10 to 18 kpi.
- Coat using an aqueous Methocel coating and polish as desired.

KETOTIFEN TABLETS (1 MG)

Bill of Materials			
Scale (mg/ tablet)	Item	Material Name	Quantity/ 1000 Tablets (g)
1.00	1	Ketotifen; use ketotifen fumarate DC	1.38
1.90	2	Magnesium stearate	1.90
32.50	3	Maize starch	32.50
154.20	4	Calcium hydrogen phosphate anhydrous	154.20
QS	5	Water purified	QS

MANUFACTURING DIRECTIONS

- Granulation
 - Make a 10% paste with maize starch using a sufficient quantity of purified water and one-half the quantity of maize starch.
 - Add calcium hydrogen phosphate anhydrous with one-half the quantity of the starch paste.
 - Add one-half the quantity of maize starch with ketotifen; mix in a planetary mixer.
 - Add mixture from step 1b to 1c, and mix for 5 minutes. Add the balance of the maize starch powder, and mix for another 10 minutes.
 - Pass the wet mass through a 20 mesh screen over lined trays and dry at 95°C until an LOD of not more than 3% is achieved.
- Lubrication: Mix dry granules with magnesium stearate for 3 minutes.
- Compression: Compress using round, flat, beveled-edge, scored punch with the logo on one side; diameter is 7 mm; weight is 190 mg.

KHELLIN TABLETS

Bill of Materials			
Scale (mg/ tablet)	Item	Material Name	Quantity/ 1000 Tablets (g)
25.00	1	Khellin	25
124.0	2	Ludipress®	124
1.00	3	Magnesium stearate	1

MANUFACTURING DIRECTIONS

- Pass all components through a 0.8 mm sieve, mix intensively, and press.
- Compress into 150 mg tablets, using 8 mm biplanar punches.

LABETALOL TABLETS (50 MG)

Formulation: Labetalol, fine powder (Joy Sun), 50.0 g; Ludipress®, 98.4 g; Aerosil® 200, 0.8 g; magnesium stearate, 0.8 g.

MANUFACTURING DIRECTIONS

1. Mix all components, and sieve through a 0.8 mm screen.
2. Press with low-compression force at 150 mg.

LAMOTRIGINE TABLETS (100 MG)**Bill of Materials**

Scale (mg/ tablet)	Item	Material Name	Quantity/ 1000 Tablets (g)
100.00	1	Lamotrigine, 3% excess	103.00
48.00	2	Avicel™ PH 102	48.00
111.00	3	Lactose monohydrate	111.00
7.00	4	Primojel®	7.00
7.00	5	PVP K30	7.00
1.00	6	Iron oxide yellow	1.00
12.00	7	Avicel™ PH 102	12.00
8.00	8	Primojel®	8.00
1.50	9	Magnesium stearate	1.50
1.50	10	Iron oxide yellow	1.50
—	11	Water purified, ca	75 mL

MANUFACTURING DIRECTIONS

1. Load items 1 to 4 after sifting through a 500 µm sieve into a suitable mixer.
2. In a separate vessel, place items 5, 6, and 11; dissolve and homogenize for 5 minutes at medium speed.
3. Add step 2 to step 1, and knead for 1 to 2 minutes; mix until a suitable mass is obtained.
4. Dry granules on trays at 55°C for 12 hours to an LOD of 0.8%.
5. Grind the dried granules through a 1.25 mm sieve.
6. Transfer step 5 to a blender, and add items 7 to 9 after passing them through a 500 µm sieve. Blend for 2 minutes.
7. Compress into 300 mg tablets, using 9.5 mm round punches.

LANSOPRAZOLE TABLETS (10 MG OR 20 MG)**Bill of Materials**

Scale (mg/ tablet)	Item	Material Name	Quantity/ 1000 Tablets (g)
10.00	1	Lansoprazole	10.00
200.00	2	Calcium glycerophosphate	200.00
400.00	3	Sodium bicarbonate	400.00
12.00	4	Croscarmellose sodium	12.00
3.00	5	Pregelatinized starch	3.00

LANSAPRAZOLE ENTERIC-COATED TABLETS

1. Core material: nonpareil cores, 400 g; lansoprazole, 400 g; hydroxypropyl methylcellulose, 80 g; sodium lauryl sulfate, 3 g; water purified, 1360 g.
2. Separating layer core material (step 1), 100 g; hydroxypropyl methylcellulose, 9 g; polyethylene glycol 6000, 1 g; talc, 18 g; ethanol 95%, 250 g; water purified, 250 g.
3. Enteric coating layer subcoated pellets (step 2), 100 g; hydroxypropyl methylcellulose phthalate, 40 g; acetyltributyl citrate, 8 g; cetanol, 2 g; ethanol 95%, 162 g; acetone, 378 g. Perform suspension layering in a Wurster equipped fluid-bed apparatus.
4. Spray lansoprazole onto inert nonpareil cores from a water suspension containing lansoprazole, the dissolved binder, and the wetting agent.
5. Coating layer the prepared core material with a separating layer in the same equipment by spraying a suspension of talc in an HPMC/PEG solution. Add PEG to act as a plasticizer for the HPMC.
6. Apply the enteric coating layer in the same equipment by spraying the enteric coating polymer solution (including additives according to above composition from step 2) onto the pellets (layered with a separating layer). Mix the obtained enteric coating layered pellets with prepared granules and other components as described in step 1.

LANSOPRAZOLE TABLETS (10 MG OR 20 MG)**Bill of Materials**

Scale (mg/ tablet)	Item	Material Name	Quantity/ 1000 Tablets (g)
10.00	1	Lansoprazole	10.00
175.00	2	Calcium glycerophosphate	175.00
175.00	3	Calcium lactate	175.00
250.00	4	Sodium bicarbonate	250.00
20.00	5	Polyethylene glycol 6000	20.00
12.00	6	Croscarmellose sodium	12.00
3.00	7	Peppermint flavor	3.00
1.00	8	Magnesium silicate	1.00
1.00	9	Magnesium stearate	1.00

LANSOPRAZOLE TABLETS CHEWABLE (10 MG/20 MG)

Bill of Materials

Scale (mg/ tablet)	Item	Material Name	Quantity/ 1000 Tablets (g)
10.00	1	Lansoprazole	10.00
175.00	2	Calcium lactate	175.00
175.00	3	Calcium glycerophosphate	175.00
250.00	4	Sodium bicarbonate	250.00
0.50	5	Aspartame calcium	0.50
12.00	6	Silicon dioxide colloidal	12.00
15.00	7	Starch (maize)	15.00
12.00	8	Croscarmellose sodium	12.00
10.00	9	Dextrose, anhydrous	10.00
3.00	10	Peppermint flavor	3.00
3.00	11	Maltodextrin	3.00
3.00	12	Mannitol	3.00
3.00	13	Pregelatinized starch	3.00

MANUFACTURING DIRECTIONS

1. Pass all ingredients through a 250 μm mesh, and blend in a suitable blender.
2. Compress into 672 mg tablets, using 15 mm biplanar punches. For 20 mg tablets, increase the quantity of item 1, and compress an additional 10 mg.

LANSOPRAZOLE TABLETS, RAPID DISSOLUTION (20 MG)

Bill of Materials

Scale (mg/ tablet)	Item	Material Name	Quantity/ 1000 Tablets (g)
20.00	1	Lansoprazole	20.00
175.00	2	Calcium lactate	175.00
175.00	3	Calcium glycerophosphate	175.00
500.00	4	Sodium bicarbonate	500.00
50.00	5	Calcium hydroxide	50.00
12.00	6	Croscarmellose sodium	12.00

LEVAMISOLE HYDROCHLORIDE TABLETS (40 MG)

Bill of Materials

Scale (mg/ tablet)	Item	Material Name	Quantity/ 1000 Tablets (g)
40.00	1	Levamisole hydrochloride, with excess	47.40
10.00	2	Starch (maize)	10.00
20.00	3	Lactose monohydrate	20.00
10.00	4	Sodium starch glycolate	10.00
30.60	5	Starch (maize)	30.60
1.00	6	Magnesium stearate	1.00
5.00	7	Talc	5.00
1.00	8	Aerosil® 200	1.00
—	9	Water, purified, ca	50 mL

MANUFACTURING DIRECTIONS

1. Sift items 1 to 4 through a 250 μm sieve, and place in a suitable mixer. Mix the items for 15 minutes.
2. In a separate vessel, place item 5, mix with hot item 9, and form a smooth slurry.
3. Add step 2 into step 1, and mix the items to achieve a lump-free mass.
4. Pass the wet mass through an 8 mesh sieve onto paper-lined trays.
5. Dry the granules at 50°C overnight to reach an LOD of no more than 2%. Transfer to a blender.
6. Pass items 6 to 8 through a 250 μm sieve, add to step 5, and blend for 2 minutes.
7. Compress into 125 mg tablets, using 7 mm punches.
8. Coat tablets with an HPMC methylene chloride coating. (See Appendix.)

LEVAMISOLE TABLETS (150 MG)

Bill of Materials

Scale (mg/ tablet)	Item	Material Name	Quantity/ 1000 Tablets (g)
150.00	1	Levamisole hydrochloride	150.00
300.00	2	Ludipress®	300.00
4.00	3	Magnesium stearate	4.00

MANUFACTURING DIRECTIONS

1. Mix all components, and pass the mixture through a 0.8 mm sieve.
2. Press with low-compression force.
3. Compress into 458 mg tablets, using 12 mm biplanar punches.

LEVOFLOXACIN TABLETS (250 MG) LEVAQUIN

Levaquin tablets are available as film-coated tablets and contain the following active ingredients: 250 mg (as expressed in the anhydrous form): hydroxypropyl methylcellulose, crospovidone, microcrystalline cellulose, magnesium stearate, polyethylene glycol, titanium dioxide, polysorbate 80, and synthetic red iron oxide; 500 mg (as expressed in the anhydrous form): hydroxypropyl methylcellulose, crospovidone, microcrystalline cellulose, magnesium stearate, polyethylene glycol, titanium dioxide, polysorbate 80, and synthetic red and yellow iron oxides.

LEVOTHYROXINE SODIUM TABLETS**Bill of Materials**

Scale (mg/ tablet)	Item	Material Name	Quantity/ 1000 Tablets (g)
0.05	1	Levothyroxine sodium	0.05
10.00	2	Citric acid anhydrous	10.00
1.00	3	Magnesium citrate	1.00
89.00	4	Ludipress®	89.00

MANUFACTURING DIRECTIONS

1. Prepare a premix of items 1 and 2. Add items 3 and 4, and pass the mixture through a 0.8 mm sieve.
2. Mix and press with low-compression force.
3. Compress into 101 mg tablets, using 6 mm biplanar punches. Item 2 may be omitted and compensated with item 4. If the content uniformity of formulation No. 1 does not meet the requirements, add a small part of the Ludipress® and item 3 mixture, and the mixture of items 1 and 2. The function of citric acid in formulation No. 2 is to stabilize the active ingredient.

LEVOTHYROXINE TABLETS (50 µG) SYNTHROID

The inactive ingredients in synthroid tablets are acacia, confectioner's sugar (contains cornstarch), lactose, magnesium stearate, povidone, and talc. The following are the color additives by tablet strength: 25 µg: FD&C Yellow No. 6; 50 µg: none; 75 µg: FD&C Red No. 40 and FD&C Blue No. 2; 88 µg: FD&C Blue No. 1, FD&C Yellow No. 6, and D&C Yellow No. 10; 100 µg: D&C Yellow No. 10 and FD&C Yellow No. 6; 112 µg: D&C Red No. 27 and 30; 125 µg: FD&C Yellow No. 6, FD&C Red No. 40, and FD&C Blue No. 1; 150 µg: FD&C Blue No. 2; 175 µg: FD&C Blue No. 1 and D&C Red No. 27 and 30; 200 µg: FD&C Red No. 40; 300 µg: D&C Yellow No. 10, FD&C Yellow No. 6, and FD&C Blue No. 1.

LEVOTHYROXINE TABLETS (0.025 MG)**Bill of Materials**

Scale (mg/ tablet)	Item	Material Name	Quantity/ 1000 Tablets (g)
0.025	1	Levothyroxine	0.025
11.42	2	Prosolv SMCC 50	11.42
104.29	3	Prosolv SMCC 90	104.29
6.14	4	Sodium starch glycolate	6.14
0.86	5	Magnesium stearate	0.86
0.28	6	FD&C Yellow No. 6	0.28

MANUFACTURING DIRECTIONS

1. Add items 1 and 2 in a suitable blender. Blend the items for 10 minutes, and pass through a 60 mesh screen.
2. In a separate container, take 50% of item 3 and item 6, and blend for 10 minutes.
3. Add the balance of item 3 to step 1, and blend for 1 minute.
4. Add step 3 into step 1, and mix.
5. Add items 4 and 5, one at a time, and blend.
6. Compress into 123 mg tablets.

LEVOTHYROXINE SODIUM FAST-MELT TABLETS**MANUFACTURING DIRECTIONS**

1. Mix levothyroxine sodium, 30%; sodium bicarbonate, 24%; citric acid, anhydrous, 24%; anhydrous lactose, 10%; xylitol, 10%; and sucrose stearate, 2%.
2. Dry the ingredients at elevated temperatures to significantly reduce the moisture content of each material.
3. Blend for 10 minutes, and extrude in a hot melt extruder at 70°C to 100°C to soften and melt the thermal binders (sucrose stearate and xylitol) and to form granules containing the effervescent ingredients.
4. Mix LS-EGF (20–80 mesh), 55%; microcrystalline cellulose, 26%; mannitol, 10%; crospovidone, 5%; aspartame, 3%; redberry flavor, 0.4%; magnesium stearate, 0.5%; and fumed silicon dioxide, 0.1%.
5. Blend for approximately 5 minutes prior to compression.
6. Levothyroxine sodium tablets are then compressed to a hardness of approximately 1 to 5 kPa (depending upon the dose of the drug), and tablets disintegrate in water in approximately 15 to 35 seconds.

LINEZOLID TABLETS (400 MG)**Bill of Materials**

Scale (mg/ tablet)	Item	Material Name	Quantity/ 1000 Tablets (g)
400.00	1	Linezolid	400.00
40.00	2	Starch (maize)	40.00
78.40	3	Microcrystalline cellulose PH 101	78.40
8.00	4	Hydroxypropyl cellulose	8.00
28.00	5	Sodium starch glycolate	28.00
5.60	6	Magnesium stearate	5.60

MANUFACTURING DIRECTIONS

- Mix all ingredients, and compress into 560 mg tablets, using 12 mm biplanar punches.

LISINOPRIL AND HYDROCHLOROTHIAZIDE TABLETS (10/12.50)**Bill of Materials**

Scale (mg/ tablet)	Item	Material Name	Quantity/ 1000 Tablets (g)
10.00	1	Lisinopril	10.00
12.50	2	Hydrochlorothiazide	12.50
68.20	3	Dibasic calcium phosphate anhydrous, DC grade	68.20
30.00	4	Mannitol	30.00
6.50	5	Starch 1500	6.50
0.50	6	Yellow ferric oxide	0.50
1.00	7	Red ferric oxide	1.00
1.30	8	Magnesium stearate	1.30

MANUFACTURING DIRECTIONS

- Pass item 3 through 0.7 mm sieve and collect in a stainless steel container.
- Place half quantity of step 1 in a tumbler.
- Pass items 1, 2, 5, 6, and 7 through 0.5 mm sieve and collect in a stainless steel container.
- Add 15% (=5.20 g) powder from step 1 to step 3 and mix well.
- Transfer half quantity from step 4 into step 2.
- Pass item 4 through 0.7 mm sieve and place in tumbler from step 2.
- Transfer the remaining half quantity of step 4 into step 2.
- Transfer balance quantity of step 1 into step 2.
- Mix step 2 for 20 minutes using tumbler.
- Pass item 8 through 0.250 mm sieve and add to step 9.
- Mix step 10 for 2 minutes.
- Compress into 130 mg tablets, using a suitable punch (5.0 mm × 6.0 mm, oval).

LISINOPRIL AND HYDROCHLOROTHIAZIDE TABLETS (20/12.5)**Bill of Materials**

Scale (mg/ tablet)	Item	Material Name	Quantity/ 1000 Tablets (g)
20.00	1	Lisinopril	20.00
12.50	2	Hydrochlorothiazide	12.50
73.50	3	Dibasic calcium phosphate anhydrous, DC grade	73.50
35.00	4	Mannitol	35.00
7.50	5	Starch 1500	7.50
1.50	6	Magnesium stearate	1.50

MANUFACTURING DIRECTIONS

- Pass item 3 through 0.7 mm sieve and collect in a stainless steel container.
- Place half quantity of step 1 in a tumbler.
- Pass items 1, 2, and 5 through 0.5 mm sieve and collect in a stainless steel container.
- Add 15% (=5.5 g) powder from step 1 to step 3 and mix well.
- Transfer half quantity from step 4 into step 2.
- Pass item 4 through 0.7 mm sieve and place in tumbler from step 2.
- Transfer the remaining half quantity of step 4 into step 2.
- Transfer balance quantity of step 1 into step 2.
- Mix step 2 for 20 minutes using tumbler.
- Pass item 6 through 0.250 mm sieve and add to step 9.
- Mix step 10 for 2 minutes.
- Compress into 150 mg tablets, using a suitable punch (6.5 mm, round).

LISINOPRIL AND HYDROCHLOROTHIAZIDE TABLETS (20/25)**Bill of Materials**

Scale (mg/ tablet)	Item	Material Name	Quantity/ 1000 Tablets (g)
20.00	1	Lisinopril	20.00
25.00	2	Hydrochlorothiazide	25.00
110.50	3	Dibasic calcium phosphate anhydrous, DC grade	110.50
30.00	4	Mannitol	30.00
10.00	5	Starch 1500	10.00
1.50	6	Yellow ferric oxide	1.50
1.00	7	Red ferric oxide	1.00
2.00	8	Magnesium stearate	2.00

MANUFACTURING DIRECTIONS

1. Pass item 3 through 0.7 mm sieve and collect in a stainless steel container.
2. Place half quantity of step 1 in a tumbler.
3. Pass items 1, 2, 5, 6, and 7 through 0.5 mm sieve and collect in a stainless steel container.
4. Add 10% (=5.50 g) powder from step 1 to step 3 and mix well.
5. Transfer half quantity from step 4 into step 2.
6. Pass item 4 through 0.7 mm sieve and place in tumbler from step 2.
7. Transfer the remaining half quantity of step 4 into step 2.
8. Transfer balance quantity of step 1 into step 2.
9. Mix step 2 for 20 minutes using tumbler.
10. Pass item 8 through 0.250 mm sieve and add to step 9.
11. Mix step 10 for 2 minutes.
12. Compress into 200 mg tablets, using a suitable punch (6.5 mm × 7.5 mm, oval).

LISINOPRIL TABLETS (10 MG), ZESTRIL

Zestril is supplied as 2.5, 5, 10, 20, and 40 mg tablets for oral administration. The inactive ingredients are as follows: 2.5 mg tablets: calcium phosphate, magnesium stearate, mannitol, and starch; 5, 10, and 20 mg tablets: calcium phosphate, magnesium stearate, mannitol, red ferric oxide, and starch; 40 mg tablets: calcium phosphate, magnesium stearate, mannitol, starch, and yellow ferric oxide.

LISINOPRIL TABLETS**Bill of Materials**

Scale (mg/ tablet)	Item	Material Name	Quantity/ 1000 Tablets (g)
10.00	1	Lisinopril	10.00
139.00	2	Ludipress®	139.00
1.00	3	Magnesium stearate	1.00

MANUFACTURING DIRECTIONS

1. Pass all components through a 0.8 mm sieve.
2. Mix intensively, and press with low-compaction force (10 kN).

LISINOPRIL TABLETS (2.5 MG)**Bill of Materials**

Scale (mg/ tablet)	Item	Material Name	Quantity/ 1000 Tablets (g)
2.50	1	Lisinopril	2.50
66.50	2	Dibasic calcium phosphate anhydrous, DC grade	66.50
25.00	3	Mannitol	25.00
5.00	4	Starch 1500	5.00
1.00	5	Magnesium stearate	1.00

MANUFACTURING DIRECTIONS

1. Pass item 2 through 0.7 mm sieve and collect in a stainless steel container.
2. Place half quantity of step 1 in a tumbler.
3. Pass item 1 and item 4 through 0.5 mm sieve and collect in a stainless steel container.
4. Add 15% (=4.6 g) powder from step 1 to step 3 and mix well.
5. Transfer half quantity from step 4 into step 2.
6. Pass item 3 through 0.7 mm sieve and place in tumbler from step 2.
7. Transfer the remaining half quantity of step 4 into step 2.
8. Transfer balance quantity of step 1 into step 2.
9. Mix step 2 for 20 minutes using tumbler.
10. Pass item 5 through 0.250 mm sieve and add to step 9.
11. Mix step 10 for 2 minutes.
12. Compress into 100 mg tablets, using a suitable punch (5.0 mm × 5.5 mm, oval).

LISINOPRIL TABLETS (5 MG)**Bill of Materials**

Scale (mg/ tablet)	Item	Material Name	Quantity/ 1000 Tablets (g)
5.00	1	Lisinopril	5.00
61.50	2	Dibasic calcium phosphate anhydrous, DC grade	61.50
27.00	3	Mannitol	27.00
5.00	4	Starch 1500	5.00
0.50	5	Red ferric oxide	0.50
1.00	6	Magnesium stearate	1.00

MANUFACTURING DIRECTIONS

1. Pass item 2 through 0.7 mm sieve and collect in a stainless steel container.
2. Place half quantity of step 1 in a tumbler.
3. Pass items 1, 4, and 5 through 0.5 mm sieve and collect in a stainless steel container.

4. Add 20% (=6.2 g) powder from step 1 to step 3 and mix well.
5. Transfer half quantity from step 4 into step 2.
6. Pass item 3 through 0.7 mm sieve and place in tumbler from step 2.
7. Transfer the remaining half quantity of step 4 into step 2.
8. Transfer balance quantity of step 1 into step 2.
9. Mix step 2 for 20 minutes using tumbler.
10. Pass item 6 through 0.250 mm sieve and add to step 9.
11. Mix step 10 for 2 minutes.
12. Compress into 100 mg tablets, using a suitable punch (5.0 mm, round).
13. Compress into 152 mg tablets, using 8 mm biplanar punches for 7.5 mg strength tablet

LISINOPRIL TABLETS (10 MG)

Bill of Materials

Scale (mg/ tablet)	Item	Material Name	Quantity/ 1000 Tablets (g)
10.00	1	Lisinopril	10.00
81.20	2	Dibasic calcium phosphate anhydrous, DC grade	81.20
30.00	3	Mannitol	30.00
6.50	4	Starch 1500	6.50
1.00	5	Red ferric oxide	1.00
1.30	6	Magnesium stearate	1.30

MANUFACTURING DIRECTIONS

1. Pass item 2 through 0.7 mm sieve and collect in a stainless steel container.
2. Place half quantity of step 1 in a tumbler.
3. Pass item 1, item 4 and item 5 through 0.5 mm sieve and collect in a stainless steel container.
4. Add 15% (=6.0 g) powder from step 1 to step 3 and mix well.
5. Transfer half quantity from step 4 into step 2.
6. Pass item 3 through 0.7 mm sieve and place in tumbler from step 2.
7. Transfer the remaining half quantity of step 4 into step 2.
8. Transfer balance quantity of step 1 into step 2.
9. Mix step 2 for 20 minutes using tumbler.
10. Pass item 6 through 0.250 mm sieve and add to step 9.
11. Mix step 10 for 2 minutes.
12. Compress to 130 mg tablets, using a suitable punch (5.0 mm × 6.0 mm, oval).

LISINOPRIL TABLETS (15 MG)

Bill of Materials

Scale (mg/ tablet)	Item	Material Name	Quantity/ 1000 Tablets (g)
15.00	1	Lisinopril	15.00
89.50	2	Dibasic calcium phosphate anhydrous, DC grade	89.50
35.00	3	Mannitol	35.00
7.50	4	Starch 1500	7.50
1.50	5	Red ferric oxide	1.50
1.50	6	Magnesium stearate	1.50

MANUFACTURING DIRECTIONS

1. Pass item 2 through 0.7 mm sieve and collect in a stainless steel container.
2. Place half quantity of step 1 in a tumbler.
3. Pass items 1, 4, and 5 through 0.5 mm sieve and collect in a stainless steel container.
4. Add 15% (=6.7 g) powder from step 1 to step 3 and mix well.
5. Transfer half quantity from step 4 into step 2.
6. Pass item 3 through 0.7 mm sieve and place in tumbler from step 2.
7. Transfer the remaining half quantity of step 4 into step 2.
8. Transfer balance quantity of step 1 into step 2.
9. Mix step 2 for 20 minutes using tumbler.
10. Pass item 6 through 0.250 mm sieve and add to step 9.
11. Mix step 10 for 2 minutes.
12. Compress into 150 mg tablets, using a suitable punch (7 mm, round).

LISINOPRIL TABLETS (20 MG)

Bill of Materials

Scale (mg/ tablet)	Item	Material Name	Quantity/ 1000 Tablets (g)
20.00	1	Lisinopril	20.00
121.00	2	Dibasic calcium phosphate anhydrous, DC grade	121.00
45.00	3	Mannitol	45.00
10.00	4	Starch 1500	10.00
2.00	5	Red ferric oxide	2.00
2.00	6	Magnesium stearate	2.00

MANUFACTURING DIRECTIONS

1. Pass item 2 through 0.7 mm sieve and collect in a stainless steel container.
2. Place half quantity of step 1 in a tumbler.

- Pass items 1, 4, and 5 through 0.5 mm sieve and collect in a stainless steel container.
- Add 10% (=6.0 g) powder from step 1 to step 3 and mix well.
- Transfer half quantity from step 4 into step 2.
- Pass item 3 through 0.7 mm sieve and place in tumbler from step 2.
- Transfer the remaining half quantity of step 4 into step 2.
- Transfer balance quantity of step 1 into step 2.
- Mix step 2 for 20 minutes using tumbler.
- Pass item 6 through 0.250 mm sieve and add to step 9.
- Mix step 10 for 2 minutes.
- Compress into 200 mg tablets, using a suitable punch (7.5 mm × 8.0 mm, oval).

LISINOPRIL TABLETS (40 MG)

Bill of Materials			
Scale (mg/ tablet)	Item	Material Name	Quantity/ 1000 Tablets (g)
20.00	1	Lisinopril	20.00
121.00	2	Dibasic calcium phosphate anhydrous, DC grade	121.00
45.00	3	Mannitol	45.00
10.00	4	Starch 1500	10.00
2.00	5	Red ferric oxide	2.00
2.00	6	Magnesium stearate	2.00

MANUFACTURING DIRECTIONS

- Pass item 2 through 0.7 mm sieve and collect in a stainless steel container.
- Place half quantity of step 1 in a tumbler.
- Pass items 1, 4, and 5 through 0.5 mm sieve and collect in a stainless steel container.
- Add 10% (=7.1 g) powder from step 1 to step 3 and mix well.
- Transfer half quantity from step 4 into step 2.
- Pass item 3 through 0.7 mm sieve and place in tumbler from step 2.
- Transfer the remaining half quantity of step 4 into step 2.
- Transfer balance quantity of step 1 into step 2.
- Mix step 2 for 20 minutes using tumbler.
- Pass item 6 through 0.250 mm sieve and add to step 9.
- Mix step 10 for 2 minutes.
- Compress into 250 mg tablets, using a suitable punch (8.0 mm, round).

LITHIUM CARBONATE TABLETS

MANUFACTURING DIRECTIONS

- Load sodium chloride (8000 g), milled through a Whistler Mill using a small slotted screen, and 60,000 g of lithium carbonate into a 5 ft³ ribbon blender, and blend for 5 minutes.
- Discharge the powder mixture from the blender, and pass through a FitzMill at a high speed (hammers). Return the powder to the blender and wet granulate (16,000 g of water) with povidone.
- Add the binder solution in water while the mixer is running. Pass the resultant wet mass through the FitzMill (1/2 in., perforated band, hammers forward) at high speed. Tray and dry the resultant mass overnight (16 hours at 55°C).
- Size the dried mixture through the FitzMill (2A with knives at medium speed). Return the resultant blend to the ribbon blender.
- Pass sorbitol powder through a 40 mesh screen along with Stearowet C (a combination of calcium stearate and sodium lauryl sulfate). Add 2000 g of the Stearowet C and 8000 g of the sorbitol powder to the blender along with 200 g of sodium starch glycolate, and mix the blend for 5 minutes.
- Compress the resultant mixture into 200,000 tablets using a 3/8 in. standard concave tooling, uppers plain, lowers plain.
- Each tablet should weigh 406 mg and have the following composition: lithium carbonate, 300 mg; sodium chloride, 49 mg; polyvinylpyrrolidone, 15 mg; Stearowet C, 10 mg; sorbitol, 40 mg; and sodium starch glycolate, 1 mg. The compressed tablets should have a hardness of 8 to 10 kPa, a friability of NMT 0.4%, and a thickness of 0.175 in.
- The tablets can be optionally coated using conventional procedures. Place the tablets in Accela Cota and spray 10,000 mL of a conventional clear film seal solution thereon. Subsequently, spray 30,000 mL of a colored film seal (e.g., 1300 g of Opaspray® K-1-1243 in 30,000 mL of a clear film seal solution). Follow by spraying 10,000 mL of half-strength film and color solution (e.g., 215 g of the same ingredient in 10,000 mL of half-strength film seal solution). Finish the spraying with 5000 mL of half-strength film seal solution. Dry the coated tablets in a pan for 1 hour using 800 to 1000 cfm of air at 30°C to 35°C. Tray and dry at 20°C to 23°C overnight. After submission of, e.g., 150 tablets to quality control for approval, polish the tablets in a pan with 2 g of carnauba wax.

LOMEFLOXACIN HYDROCHLORIDE TABLETS (400 MG)

Bill of Materials			
Scale (mg/ tablet)	Item	Material Name	Quantity/ 1000 Tablets (g)
400.00	1	Lomefloxacin; use lomefloxacin hydrochloride	442.00
123.00	2	Microcrystalline cellulose	123.00
13.50	3	Croscarmellose sodium Type A	13.50
1.80	4	Hydroxypropyl cellulose	1.80
3.50	5	Silicon dioxide, colloidal	3.50
2.70	6	Polyoxy 40 stearate	2.70
81.00	7	Starch (maize)	81.00
7.50	8	Magnesium stearate	7.50
—	9	Water, purified, ca	65 mL
QS	10	Ethanol, ca	90 mL

MANUFACTURING DIRECTIONS

1. If necessary, mill all items to remove any lumps.
2. Mix in a suitable mixer (double-cone or Y). Before this, sieve items 1 to 3 and item 7 through a 60 mesh screen (0.25 mm). Then, mix at medium speed for 15 minutes.
3. In a suitable container, mix disperse items 4 and 6 and add items 9 and 10. Mix until dissolved. Allow to stand overnight.
4. Add the binder solution from step 3 to the mix obtained in step 2, and pass the wet mass through a 20 mesh sieve to obtain granules.
5. Dry the granules at 55°C for 15 hours to get a moisture content of not more than 2.5% (determined at 80°C for 4 hours).
6. Blend the granules with item 5 for over 5 minutes; then, add item 8, and mix again for 3 minutes.
7. Compress tablets with a target weight of 675 mg.
8. Coat, using an HPMC coating. (See Appendix.)

LOPERAMIDE HYDROCHLORIDE FAST-MELT TABLETS

MANUFACTURING DIRECTIONS

1. Prepare granules by using loperamide hydrochloride, 5%; sodium bicarbonate, 27%; citric acid anhydrous, 27%; tartaric acid, 3%; microcrystalline cellulose, 15%; anhydrous lactose, 8%; xylitol, 12%; and Crodesta F160, 3%.
2. The ingredients are dried at elevated temperature in the presence of a desiccant to significantly reduce the moisture content of each material.

3. The ingredients are then blended for 10 minutes and extruded in a hot melt extruder at 70°C to 100°C to soften and melt the thermal binders (sucrose stearate and xylitol) to form granules containing the effervescent ingredients.
4. Granules are passed through a screen and then blended with the following ingredients: LH-EFG (30–80 mesh) 50%, microcrystalline cellulose 31%, mannitol 8%, Ac-Di-Sol 5%, L-HPC LH-11 2%, aspartame 3%, redberry flavor 0.4%, magnesium stearate 0.5%, and Cab-O-Sil M5P 0.1%, which are mixed for 5 minutes prior to compression.
5. Loperamide FICI tablets are then compressed to a hardness of approximately 1 to 3 kPa, and tablets disintegrate in purified water in approximately 15 to 35 seconds.

LOPERAMIDE HYDROCHLORIDE TABLETS (2 MG)

Bill of Materials			
Scale (mg/ tablet)	Item	Material Name	Quantity/ 1000 Tablets (g)
2.00	1	Loperamide hydrochloride	2.00
68.00	2	Starch (maize)	68.00
46.00	3	Lactose monohydrate	46.00
3.00	4	Starch (maize)	3.00
56.00	5	Dicalcium phosphate	56.00
2.00	6	Talc	2.00
2.00	7	Magnesium stearate	2.00
—	8	Water, purified, ca	60 mL

MANUFACTURING DIRECTIONS

1. Sift items 2, 3, and 5 through a 250 µm sieve, and sift item 1 through a 40 mesh screen. Place them in a suitable mixing vessel by a geometric dilution process for item 1, and then mix for 30 minutes (this step is critical to content uniformity).
2. Place item 3 in a suitable vessel, and add item 8. Heat it, and mix to prepare a smooth slurry.
3. Add step 2 to step 1 slowly, and mix to obtain a lump-free mass.
4. Pass the wet mass through a 6 mesh screen onto paper-lined trays.
5. Dry the granules in a fluid-bed dryer at 50°C for 1 hour to LOD of not more than 2.5%. Transfer to a blender.
6. Pass item 6 through a 500 µm sieve and item 7 through a 250 µm sieve, and add to step 6; blend for 2 minutes.
7. Compress into 170 mg tablets, using 8 mm punches.

LORATADINE AND PSEUDOEPHEDRINE SULFATE TABLETS

Bill of Materials

Scale (mg/ tablet)	Item	Material Name	Quantity/ 1000 Tablets (g)
25.00	1	Loratadine	25.00
180.00	2	Pseudoephedrine sulfate	180.00
5.00	3	Polyvinylpyrrolidone	5.00
75.00	4	Low-substituted hydroxypropyl cellulose	75.00
75.00	5	Crospovidone	75.00
1.50	6	Colloidal silicon dioxide	1.50
250.00	7	Crystalline sugar seeds	250.00
120.00	8	Purified water	120.00

MANUFACTURING DIRECTIONS

1. Prepare a binder solution by dissolving 5.0 g of polyvinylpyrrolidone in 120 g of water.
2. Mix 25 g of loratadine, 180 g of pseudoephedrine sulfate, 25 g of microcrystalline cellulose, 75 g of low-substituted hydroxypropyl cellulose, 75 g of crospovidone, and 1.5 g of colloidal silicon dioxide and screen through a 20 mesh sieve to give a mixed powder.
3. Spray the binder solution of step 1 onto 250 g of crystalline sugar seeds in a centrifugal granulator, and dust the mixed powder onto the crystalline sugar seeds in the centrifugal granulator to afford pellets using a rotation panel rate of 140 to 200 rpm, spraying rate of the binder solution of 2 to 20 mL/min, air spraying pressure of 1 to 2 kg/cm², air spraying volume of 5 to 300 L/min, and powder (step 2) spraying rate of 5 to 30 g/min.

LORATADINE TABLETS

Bill of Materials

Scale (mg/ tablet)	Item	Material Name	Quantity/ 1000 Tablets (g)
10.00	1	Loratadine	10.00
69.93	2	Pregelatinized starch	69.93
69.63	3	Microcrystalline cellulose	69.63
0.37	4	Colloidal silicon dioxide	0.37
0.25	5	Magnesium stearate	0.25

MANUFACTURING DIRECTIONS

1. Use a multistep blending process in order to ensure proper distribution of the active ingredient. Initially, combine half of the Starch 1500[®] with the drug and colloidal silicon dioxide.

2. Blend this mixture in a twin-shell V-blender for 5 minutes.
3. Discharge the mixture and pass through a 40 mesh screen by hand. This step not only breaks up the silicon dioxide but also helps to distribute the active.
4. Return the screened mixture to the blender, and add the remainder of the Starch 1500[®] and blend for an additional 5 minutes.
5. Add microcrystalline cellulose and blend for 10 minutes.
6. Last, add magnesium stearate and blend for 5 minutes.
7. Pass magnesium stearate through a 60 mesh screen prior to weighing.
8. Compress tablets at 100 mg or proportionally for different strengths.

LORATADINE TABLETS

Bill of Materials

Scale (mg/ tablet)	Item	Material Name	Quantity/ 1000 Tablets (g)
10.00	1	Loratadine	10.00
67.30	2	Lactose monohydrate	67.30
22.00	3	Maize starch	22.00
10.00	4	Maize starch	10.00
5.00	5	Maize starch, dried	5.00
0.70	6	Magnesium stearate	0.70
QS	7	Purified water	QS

MANUFACTURING DIRECTIONS

1. Sift items 1 to 3 through a 630 μ m stainless steel sieve, load in mixer, and mix for 5 minutes.
2. In a separate container, prepare binder solution by mixing item 4 using purified water at 30°C to 40°C; heat translucent slurry to 90°C to 95°C, and cool to 45°C to 50°C.
3. Mix the binder solution with the first step, and granulate; dry on trays at 55°C for 8 hours; dry to LOD of 2% to 3% (2 hours after beginning drying, crush mixture for uniform drying).
4. Heat for additional 1 hour at 55°C if LOD is not within limits.
5. Add magnesium stearate, tumble mix, and compress using 7.00 mm round punches to tablet weight of 1.15 g (within 3%) to achieve thickness of 2.3 \pm 0.3 mm and hardness of 4 to 7 kPa.

LORATADINE AND CHLORPHENIRAMINE SUSTAINED-RELEASE TABLET

Bill of Materials

Scale (mg/ tablet)	Item	Material Name	Quantity/ 1000 Tablets (g)
5.00	1	Loratadine	5.00
141.50	2	Lactose monohydrate	141.50
55.00	3	Microcrystalline cellulose	55.00
22.00	4	Starch	22.00
1.50	5	Magnesium stearate	1.50
18.00	6	Eudragit® S100	18.00
9.00	7	Triethyl citrate	9.00
4.50	8	Talc	4.50
0.315	9	Ammonium hydroxide 1 N solution	0.315
QS	10	Water	QS
14.00	11	Eudragit® EPO	14.00
8.00	12	Citric acid	8.00
QS	13	Water	QS
4.00	14	Chlorpheniramine maleate	4.00
45.00	15	Lactose fine powder	45.00
15.00	16	Sucrose fine powder	15.00
2.00	17	Flavor (optional)	2.00
0.10	18	Polyvinylpyrrolidone	0.10
QS	19	Ethanol 95%	QS
QS	20	Water	QS

MANUFACTURING DIRECTIONS

1. Prepare a granulation containing loratadine, lactose, microcrystalline cellulose, and starch.
2. Blend with magnesium stearate for 5 minutes.
3. Compress about 225 mg.
4. Compress this granulation into CAT unit using tooling and tableting apparatuses.
5. Prepare the coating solution by mixing water, Eudragit® S100, ammonium hydroxide solution, triethyl citrate, and talc to form a uniform dispersion.
6. Coat loratadine from step 3 with Eudragit® S coating solution using a coating pan or a fluid-bed coater until a desired coat weight is achieved (256.80 mg).
7. Prepare a coating solution containing Eudragit® E and citric acid in water.
8. Coat tablets from step 6 to 278.80 mg.
9. Prepare the solvent mixture containing polyvinylpyrrolidone, ethyl alcohol, and water.
10. Blend chlorpheniramine maleate, lactose, sucrose, and flavoring agent. Screen to break lumps.
11. Mix until a moistened powder blend is achieved.
12. Double compress loratadine tablet with chlorpheniramine tritrate.
13. The product contains 4 mg of chlorpheniramine maleate in the molded tritrate tablet for intraoral release and 5 mg of loratadine in the delayed release

form as incorporated in the matrix. Enteric-coated loratadine starts to release 4 to 8 hours after administration of the dosage form.

LORATADINE AND PSEUDOEPHEDRINE SULFATE TABLETS (10 MG/240 MG) CLARITIN-D®

Claritin-D® 12 hour extended-release tablets—These tablets contain 5 mg of loratadine in the tablet coating for immediate release and 120 mg of pseudoephedrine sulfate, which is equally distributed between the tablet coating for immediate release and the barrier-coated extended-release core. The inactive ingredients are acacia, butylparaben, calcium sulfate, carnauba wax, cornstarch, lactose, magnesium stearate, microcrystalline cellulose, neutral soap, oleic acid, povidone, rosin, sugar, talc, titanium dioxide, white wax, and zein.

Claritin-D® 24 hour extended-release tablets—These tablets contain 10 mg of loratadine in the tablet film coating for immediate release and 240 mg pseudoephedrine sulfate in the tablet core, which is released slowly, allowing once-daily administration. The inactive ingredients for oval, biconvex Claritin-D® 24 hour extended-release tablets are calcium phosphate, carnauba wax, ethyl cellulose, hydroxypropyl methylcellulose, magnesium stearate, polyethylene glycol, povidone, silicon dioxide, sugar, titanium dioxide, and white wax.

LORATADINE AND PSEUDOEPHEDRINE SULFATE TABLETS

Bill of Materials

Scale (mg/ tablet)	Item	Material Name	Quantity/ 1000 Tablets (g)
240.00	1	Pseudoephedrine sulfate	240.00
15.00	2	Microcrystalline cellulose (Avicel™ PH 101)	15.00
200.00	3	Xanthan gum Keltrol® TF	200.00
80.00	4	Sodium alginate keltone HVCR	80.00
53.00	5	Calcium carbonate	53.00
6.00	6	Magnesium stearate	6.00
6.00	7	Aerosil® 200	6.00
10.00	8	Loratadine	10.00
95.00	9	Lactose monohydrate	95.00
66.50	10	Microcrystalline cellulose (Avicel™ PH 101)	66.50
1.00	11	FD&C Yellow No. 10	1.00
20.00	12	Starch (maize)	20.00
6.00	13	Starch (maize)	6.00
1.50	14	Magnesium stearate	1.50
—	15	Water, purified	60.00

MANUFACTURING DIRECTIONS

1. Place pseudoephedrine sulfate, microcrystalline cellulose, xanthan gum, sodium alginate, calcium carbonate, and one-half of the lubricants in a suitable mixer after sieving through a 44 mesh sieve.
2. Pass the blend through a roll-compactor.
3. Sieve the compact through a 22 mesh sieve to obtain granules.
4. Mix the granules with the remaining lubricants (items 6 and 7), and compress into tablets (600 mg) to form the first tablet layer.
5. After passing through a 100 mesh sieve, place items 8 to 12 in a suitable mixer. Blend these items for 10 minutes.
6. Place item 13 in a separate vessel, and make a paste (10%) using item 14.
7. Add step 6 into step 5, and granulate.
8. Dry the granules, and blend or sift item 14.
9. Compress into 200 mg tablets (the second layer).
10. Use appropriate tableting equipment for bilayer tableting or core tableting.

LORATADINE FASTAB**Bill of Materials**

Scale (mg/ tablet)	Item	Material Name	Quantity/ 1000 Tablets (g)
10.00	1	Loratadine (micronized)	10.00
180.60	2	Pharmaburst	180.60
2.70	3	Acesulfame K	2.70
2.00	4	Magnesium stearate	2.00
2.00	5	Talc (fine powder)	2.00
2.70	6	Dry anise flavor	2.70

MANUFACTURING DIRECTIONS

1. Sift and mix items 1, 2, 3, and 6.
2. Lubricate with magnesium stearate and fine talc powder.
3. Compress into 200 mg tablets, using 6 mm punches.

LORATADINE TABLETS (10 MG), CLARITIN®

Claritin® tablets contain 10 mg of micronized loratadine, an antihistamine, to be administered orally. They also contain the following inactive ingredients: cornstarch, lactose, and magnesium stearate.

Claritin® Reditabs (rapidly disintegrating tablets) contain 10 mg of micronized loratadine, an antihistamine, to be administered orally. They disintegrate in the mouth within seconds after placement on the tongue, allowing the contents to be subsequently swallowed with or without water. Claritin® Reditabs also contain the following inactive ingredients: citric acid, gelatin, mannitol, and mint flavor.

LORATADINE TABLETS**Bill of Materials**

Scale (mg/ tablet)	Item	Material Name	Quantity/ 1000 Tablets (g)
10.00	1	Loratadine	10.00
67.30	2	Lactose monohydrate	67.30
22.00	3	Starch (maize)	22.00
10.00	4	Starch (maize)	10.00
5.00	5	Starch (maize, dried)	5.00
0.70	6	Magnesium stearate	0.70
—	7	Purified water	40.00

MANUFACTURING DIRECTIONS

Note: Avoid overmixing the lubricants; otherwise, hardness is reduced.

1. Sieving and dry mixing: Sift items 1 to 3 through a stainless steel 630 µm sieve in a sifter. Load into mixer. Mix for 5 minutes at low speed.
2. Preparing the binder: Prepare a slurry of item 4 in 10 g of item 7 (30–40°C). Then, make a translucent paste in a Guisti steam jacked vessel, using 30 g of item 7 (90–95°C). Cool to 45°C to 50°C. Check the unity of the paste. The theoretical weight is 50 g.
3. Kneading
 - a. Knead the powder with starch paste, while mixing at low speed over a period of 2 minutes.
 - b. Scrape sides and backs. Mix and chop at speed 1 for 2 minutes. Check the end point of granulation. If required, add additional purified water to get the end point. (The end point of the granulation is the point when the wet mass consists of few or no lumps of the granules.)
 - c. Unload the wet granules into a stainless steel tray for drying.
4. Drying and LOD
 - a. Dry the wet granules in an oven at 55°C for 8 hours. After 2 hours of drying, scrape the semidried granules to break any lumps (for uniform drying).
 - b. Check the LOD, with a limit of 2% to 3%.
 - c. If required, dry further at 55°C for 1 hour. Check the LOD.
 - d. Transfer the dried granules into stainless steel drums.
5. Grinding and lubricating
 - a. Grind the dried granules through a 1.25 mm sieve using a granulator at medium speed. Collect in stainless steel drums. Load the granules into a drum blender.
 - b. Sift items 5 and 6 through a 500 µm sieve using a sifter, and add it into a drum blender. Mix for 2 minutes.
 - c. Unload into stainless steel drums.

- Compressing: Compress the granules using a rotary tableting machine with 7 mm flat, bevel-edge punches to 115 mg per tablet.

LORAZEPAM TABLETS (0.50 MG/1 MG/2 MG), ATIVAN

Each Ativan tablet, to be taken orally, contains 0.5, 1, or 2 mg of lorazepam. The inactive ingredients present are lactose and other ingredients.

LORAZEPAM TABLETS

Bill of Materials

Scale (mg/ tablet)	Item	Material Name	Quantity/ 1000 Tablets (g)
0.50	1	Lorazepam	0.50
50.00	2	Lactose	50.00
20.00	3	Starch (maize)	20.00
2.00	4	Methyl cellulose	2.00
25.00	5	Microcrystalline cellulose (Avicel™ PH 101)	25.00
1.00	6	Magnesium stearate	1.00

MANUFACTURING DIRECTIONS

- Mix lorazepam, lactose, starch, and one-half of the microcrystalline cellulose in a suitable mixer.
- Granulate with a solution of methyl cellulose in water.
- Dry the granules. Mix the remaining microcrystalline cellulose and magnesium stearate. Compress. Adjust the 1 and 2 mg strengths with lactose.

LOSARTAN AND HYDROCHLOROTHIAZIDE TABLETS (50 MG/12.5 MG)

Hyzaar is available for oral administration, containing 50 mg of losartan potassium, 12.5 mg of hydrochlorothiazide, and the following inactive ingredients: microcrystalline cellulose, lactose hydrous, pregelatinized starch, magnesium stearate, hydroxypropyl cellulose, hydroxypropyl methylcellulose, titanium dioxide, and D&C Yellow No. 10 Aluminum Lake. Hyzaar contains 4.24 mg (0.108 mEq) of potassium.

LOSARTAN POTASSIUM TABLETS (50 MG), COZAAR

Cozaar is available for oral administration, containing either 25 or 50 mg of losartan potassium and the following inactive ingredients: microcrystalline cellulose, lactose hydrous, pregelatinized starch, magnesium stearate, hydroxypropyl cellulose, hydroxypropyl methylcellulose, titanium dioxide, D&C Yellow No. 10, and FD&C Blue No. 2. Cozaar 25 and 50 mg

tablets contain potassium in the following amounts: 2.12 mg (0.054 mEq) and 4.24 mg (0.108 mEq), respectively.

LOSARTAN POTASSIUM TABLETS

Bill of Materials

Scale (mg/ tablet)	Item	Material Name	Quantity/ 1000 Tablets (g)
50.00	1	Losartan potassium	50.00
46.00	2	Microcrystalline cellulose	46.00
75.50	3	Lactose, spray dried	75.50
7.50	4	Starch 1500	7.50
1.00	5	Magnesium stearate	1.00
3.00	6	Hypromellose	3.00
0.75	7	Talc, fine powder	0.75
0.75	8	Titanium dioxide	0.75
0.50	9	Polyethylene glycol	0.50
—	10	Ethanol	QS
—	11	Purified water	QS

MANUFACTURING DIRECTIONS

- Sift losartan potassium, lactose spray dried, and microcrystalline cellulose through a stainless steel 500 µm sieve.
- Load sifted powder into a blender, and blend well.
- Sift magnesium stearate and Starch 1500 through a stainless steel 250 µm sieve.
- Load step 3 into the blender (step 2), and blend well.
- Compress into 185 mg tablets, using 12 mm punches.
- Coat the tablet using Eudragit® L-100 coating. (See Appendix.)

LYCOPENE TABLET CORES (6 MG)

Formulation: LycoVit 10% dry powder, 60 g; Ludipress®, 330 g; Kollidon® CL, 6 g; magnesium stearate, 4 g.

MANUFACTURING DIRECTIONS

- Mix LycoVit dry powder with the other components.
- Sieve through a 0.8 mm screen and press with medium- to high-compression force at 400 mg.

MAGALDRATE CHEWABLE TABLETS

Bill of Materials			
Scale (mg/ tablet)	Item	Material Name	Quantity/ 1000 Tablets (g)
500.00	1	Magaldrate, USP	500.00
400.00	2	Lactose monohydrate	400.00
50.00	3	Orange flavor (FDO)	50.00
20.00	4	Kollidon® 90F	20.00
6.00	5	Banana flavor (FDO)	6.00
6.00	6	Cocoa flavor (FDO)	6.00
1.00	7	Saccharin sodium	1.00
180.00	8	Water	180.00
5.00	9	Aerosil® 200	5.00
3.00	10	Magnesium stearate	3.00

MANUFACTURING DIRECTIONS

1. Granulate mixture of items 1 to 3 with solution of items 4 to 8, pass through a 0.8 mm sieve, dry, mix with items 9 and 10, and press with low-compression force.
2. Compress into 1 g tablets, using 16 mm biplanar punches.

MAGALDRATE CHEWABLE TABLETS (500 MG)

Formulation: I—Magaldrate USP, 500 g; lactose monohydrate, 400 g; orange flavor (FDO), 50 g. II—Kollidon 90F, 20 g; banana flavor (FDO), 6 g; cocoa flavor (FDO), 6 g; saccharin sodium, 1 g; water, 180 g. III—Aerosil® 200, 5 g; magnesium stearate, 3 g.

MANUFACTURING DIRECTIONS

1. Wet granulation: Granulate mixture I with solution II, pass through a 0.8 mm sieve, dry, mix with III, and press with low-compression force at 1000 mg.

MAGALDRATE CHEWABLE TABLETS (1000 MG)

Formulation: Magaldrate (Reheis), 1000 g; Ludipress® LCE, 930 g; Lutrol E4000F, 60 g; aspartame, potassium (Searle), 10 g; peppermint flavor, QS.

MANUFACTURING DIRECTIONS

1. Pass all components through a 0.8 mm sieve, mix, and press with medium-compression force at 2 g.

MAGALDRATE-DISPERSIBLE TABLETS

Bill of Materials			
Scale (mg/ tablet)	Item	Material Name	Quantity/ 1000 Tablets (g)
700.00	1	Magaldrate	700.00
435.00	2	Lactose monohydrate	435.00
10.00	3	Kollidon® 90F	10.00
50.00	4	Kollidon® CL	50.00
5.00	5	Magnesium stearate	5.00

MANUFACTURING DIRECTIONS

1. Pass all components through a 0.8 mm sieve, mix, and press with low-compression force (4–6 kN).
2. Compress into 1.2 g tablets, using 16 mm biplanar punches.

MAGALDRATE TABLETS

Bill of Materials			
Scale (mg/ tablet)	Item	Material Name	Quantity/ 1000 Tablets (g)
400.00	1	Magaldrate (powder, 100 mesh)	400.00
325.00	2	Sucrose	325.00
60.00	3	Cellulose (microcrystalline) (Avicel™ PH101)	60.00
30.00	4	Cornstarch	30.00
8.84	5	Guar gum	8.84
0.50	6	Saccharin sodium	0.50
—	7	Purified water	100.00 mL
—	8	Alcohol SD 3A (200 proof)	100.00 mL
QS	9	Flavor	0.60 mL
QS	10	Flavor	1.00 mL
0.06	11	Ethyl vanillin	0.06
8.00	12	Talc	8.00
16.00	13	Magnesium stearate	16.0

MANUFACTURING DIRECTIONS

1. Pass granulated sugar (take about 10% excess) through a 500 µm stainless steel screen on comminuting mill (impact forward, high speed).
2. Screen the milled sugar through 250 µm aperture on sieve shaker.
3. Weigh the required quantity, and load into a suitable mixer.
4. Discard remaining sugar.

5. Screen magaldrate powder (take about 5% excess) through 150 μm stainless steel screen on sieve shaker.
6. Weigh the required quantity and add to the blend.
7. Mix well.
8. Screen, if necessary, microcrystalline cellulose, cornstarch, and guar gum through 500 μm aperture on sieve shaker.
9. Add to the first step and mix well.
10. Dissolve saccharin sodium in water.
11. To this add alcohol and mix well.
12. Add this hydroalcoholic solution to magaldrate blend and knead well.
13. Add more water, if necessary, and QS to mass.
14. Pass wet mass through 2.8 mm aperture on sieve shaker or oscillating granulator, and spread uniformly on stainless steel trays.
15. Tray-dry granules at 70°C to 75°C.
16. After 3 to 4 hours of drying, screen semidried granules through 1.4 mm aperture on sieve shaker, and reload for further drying.
17. (This step helps in drying granules faster and more uniformly.) Dry to LOD of 1% to 1.5%.
18. Screen dried granules through 1.0 mm aperture on sieve shaker, and store in drums doubly lined with polyethylene bags.
19. Load half of the granulation into a suitable blender.
20. From the balance of the granules, take out the fines (about 40 g of fines for a batch of 1000 tablets) through 250 μm aperture on sieve shaker.
21. Retain coarse particles for later use.
22. Mix together the flavors in a suitable vessel.
23. Add and dissolve the ethyl vanillin.
24. Check that the solution is clear before proceeding.
25. Load a suitable mixer with the fines from step 20.
26. While mixing, disperse the flavor solution.
27. Add magnesium stearate and talc and mix thoroughly.
28. Pass the blend through a 250 μm aperture on sieve shaker.
29. Add the dispersed flavor blend to the granules.
30. Add remaining granules, and blend for 8 to 10 minutes.
31. Discharge blended granules into suitable air-tight containers doubly lined with polyethylene bags.
32. Compress on a suitable machine fitted with 14.4 mm-diameter round punches with beveled edges.
33. Weight: 8.5 g/10 tablets; thickness: ~3.6 to 3.8 mm; hardness: 8 to 10 kPa.

MAGALDRATE WITH SIMETHICONE TABLETS

Bill of Materials

Scale (mg/ tablet)	Item	Material Name	Quantity/ 1000 Tablets (g)
525.00	1	Sucrose, NF	525.00
15.00	2	Lactose monohydrate, NF	15.00
60.00	3	Simethicone, USP	60.00
60.00	4	Cellulose microcrystalline (Avicel™ PH101), NF	60.00
12.00	5	Silicon dioxide colloidal (International)	12.00
400.00	6	Magaldrate, USP	400.00
40.00	7	Acacia (special grade), NF	40.00
0.05	8	Dye	0.05
—	9	Distilled purified water, USP	100.00 mL
—	10	Alcohol SD 3A (200 proof)	100.00 mL
1.50	11	Flavor	1.50
0.15	12	Ethyl vanillin, NF	0.15
5.00	13	Silicon dioxide (colloidal)	5.00
30.00	14	Starch monohydrate	30.00
10.00	15	Lactose monohydrate	10.00
80.00	16	Talc powder, USP	80.00
5.30	17	Magnesium stearate	5.30

MANUFACTURING DIRECTIONS

1. Pass the granulated sucrose (with about 10% excess) through a 500 μm aperture stainless steel screen on comminuting mill (impact forward, high speed).
2. Screen the milled sugar through a 250 μm screen on sieve shaker.
3. Weigh the required quantity, and load into a suitable mixer (planetary mixer or dough mixer). Discard the remainder.
4. Screen lactose (item 2) through a 250 μm aperture screen on sieve shaker and add to powdered sugar from preceding step. Mix well.
5. While mixing vigorously, add and disperse simethicone (add slowly in a fine stream of flow to avoid lump formation). Mix well.
6. Rough blend colloidal silicon dioxide (item 5) and microcrystalline cellulose, and add to the simethicone dispersed mass from previous step.
7. Mix initially at low speed for 4 to 5 minutes, and thereafter, mix vigorously for 5 to 10 minutes.
8. Either screen simethicone dispersed mass through a 1.0 mm aperture on sieve shaker or pass through a comminuting mill using a 1.4 mm aperture screen (impact forward, medium speed).
9. Load into a mass mixer, and continue mixing.
10. Screen magaldrate powder (with about 7% excess) through a 150 μm aperture screen on sieve shaker and weigh the required quantity.

11. To this quantity, add acacia, and rough blend.
12. Add this blend in the dough mixer, dispersing in small quantities, and mix well for 30 to 40 minutes, until simethicone is well absorbed in the dry blend. Discard remaining magaldrate powder.
13. Dissolve dye in water, then add alcohol, and mix well.
14. Wet down mass with colored hydroalcoholic solution, and knead well.
15. Add more hydroalcoholic solution, if necessary (1:1 water-to-alcohol ratio), to mass.
16. Screen wet mass through a 2.8 mm aperture screen on sieve shaker or oscillating granulator, and spread uniformly on trays.
17. Tray-dry granules at 71°C to 74°C until LOD is within 1% to 1.5% (test at 105°C for 1 hour).
18. After about 3 to 4 hours of drying, screen semidried granules through a 1.4 mm aperture on sieve shaker, and reload for further drying.
19. (*Note:* This step helps in drying granules faster and more uniformly and avoids color mottling on final product.) Screen dried granules through a 1.0 mm aperture screen on sieve shaker, and store in drums lined with double polyethylene bags. Alternative drying can be done in a fluid-bed dryer.
20. Pass dried granules through a 1.00 mm aperture screen on sieve shaker.
21. Pass coarse granules through a comminuting mill using a 1.4 mm aperture screen (knives forward, slow speed) and then through 1.0 mm aperture on sieve shaker.
22. Store granules in drums lined with double polyethylene bags.
23. Load half of the base granulation into a suitable blender.
24. From the balance of the granules take out fines (about 50 g of fines for a batch of 1000 tablets) through a 250 µm aperture on sieve shaker, and hold in a suitable vessel.
25. Add and dissolve ethyl vanillin in liquid flavor.
26. Check for clarity, and only then disperse over dried starch.
27. Rough blend colloidal silicon dioxide (item 13) with lactose monohydrate (item 15), talc, and magnesium stearate, and add to the flavored starch.
28. To this mixture, add fines from step 24, and mix well by hand or in a suitable mixer.
29. Screen through a 250 µm aperture on sieve shaker.
30. Add this flavored, dispersed blend to the base granulation (first step) in a blender.
31. Add the remaining bulk granules from the second step to the base granulation and blend well for 8 to 10 minutes. (*Caution:* Do not mix for too long, as the granules may crumble to a finer size, which may adversely affect hardness during compression.) Discharge blended granules into suitable airtight containers lined with double polyethylene bags until ready for compressing.

32. Compress on a suitable machine fitted with 14.4 mm diameter round punches with beveled edges. Compress into 1244 mg tablets.

MAGNESIUM CARBONATE TABLETS

Bill of Materials

Scale (mg/ tablet)	Item	Material Name	Quantity/ 1000 Tablets (g)
260.00	1	Magnesium carbonate, USP	260.00
238.00	2	Ludipress®	238.00
4.00	3	Magnesium stearate	4.00

MANUFACTURING DIRECTIONS

1. Mix all components, pass through a 0.8 mm sieve, and press with medium-compression force.
2. Compress into 500 mg tablets, using 12 mm biplanar punches.

MEBENDAZOLE TABLETS (100 MG)

Bill of Materials

Scale (mg/ tablet)	Item	Material Name	Quantity/ 1000 Tablets (g)
100.00	1	Mebendazole	100.00
196.00	2	Ludipress®	196.00
4.00	3	Magnesium stearate	4.00

MANUFACTURING DIRECTIONS

1. Mix all components, pass through a 0.8 mm sieve, and press with low-compression force.
2. Compress into 294 mg tablets, using 12 mm biplanar punches.

MECLIZINE HYDROCHLORIDE TABLETS (25 MG)

Meclizine hydrochloride tablets are multiple-layered tablets (MLT) available in 12.5, 25, and 50 mg strengths for oral administration. Each tablet contains the following inactive ingredients: colloidal silicon dioxide, lactose, magnesium stearate, microcrystalline cellulose, sodium starch glycolate, starch, stearic acid, and other ingredients. In addition, the 12.5 mg tablet contains FD&C Blue No. 1; the 25 mg tablet contains D&C Yellow No. 10 and FD&C Yellow No. 5; and the 50 mg tablet contains D&C Yellow No. 10, FD&C Blue No. 1, and FD&C Yellow No. 5.

MEDROXYPROGESTERONE ACETATE TABLETS (2.5 MG/5 MG/10 MG), PROVERA

Each Provera tablet for oral administration contains 2.5, 5, or 10 mg of medroxyprogesterone acetate. The inactive ingredients are calcium stearate, cornstarch, lactose, mineral oil, sorbic acid, sucrose, and talc. The 2.5 mg tablet contains FD&C Yellow No. 6.

MEFENAMIC ACID AND DICYCLOMINE HYDROCHLORIDE TABLETS (250 MG/10 MG)

Bill of Materials

Scale (mg/ tablet)	Item	Material Name	Quantity/ 1000 Tablets (g)
250.00	1	Mefenamic acid	250.00
10.00	2	Dicyclomine hydrochloride	10.00
30.00	3	Lactose monohydrate	30.00
16.00	4	Starch (maize)	16.00
4.80	5	Gelatin	4.80
3.20	6	Polyvinylpyrrolidone potassium 30	3.20
6.00	7	Talc	6.00
6.00	8	Magnesium stearate	6.00
6.00	9	Sodium starch glycolate	6.00
4.00	10	Aerosil® 200	4.00
0.80	11	Methylparaben	0.80
0.08	12	Propylparaben	0.08
—	13	Water, purified, ca	75 mL

MANUFACTURING DIRECTIONS

- Place items 1 to 3 in a suitable mixer after passing them through a 250 µm sieve. Mix the items for 10 minutes.
- In a separate vessel, bring to boil item 13, and add items 11 and 12 at 90°C to dissolve. Add items 4 to 6 to the hot solution, and stir to disperse into a smooth slurry. Cool to 50°C.
- Add step 2 into step 1, and mix thoroughly to obtain a lump-free wet mass. Pass the wet mass through a 2.38 mm sieve onto paper-lined trays. Dry the granules at 50°C overnight until an LOD of not more than 2% is reached.
- Pass the dried granules through a 1.19 mm mesh screen into a suitable tumbler.
- Sift items 9 and 10 through a 500 µm sieve and item 8 through a 250 µm sieve into step 4, and blend for 3 minutes.
- Compress into 335 mg tablets, using 9.5 mm punches.

MEFENAMIC ACID TABLETS (250 MG)

Bill of Materials

Scale (mg/ tablet)	Item	Material Name	Quantity/ 1000 Tablets (g)
250.00	1	Mefenamic acid	250.00
40.00	2	Starch (maize)	40.00
5.00	3	Kollidon® 90F	50.00
—	4	Isopropyl alcohol	QS
12.00	5	Kollidon® CL	12.00
85.00	6	Microcrystalline cellulose (Avicel™ PH 101)	85.00
5.00	7	Magnesium stearate	5.00

MANUFACTURING DIRECTIONS

- Granulate a mixture of items 1 and 2 with the solution of items 3 and 4, sieve, dry, and add a mixture of items 5 to 7.
- Compress with medium-compression force. Compress into 404 mg tablets, using 12 mm punches.

MEFLOQUINE HYDROCHLORIDE TABLETS (250 MG)

Bill of Materials

Scale (mg/ tablet)	Item	Material Name	Quantity/ 1000 Tablets (g)
250.00; 275.00	1	Mefloquine; use mefloquine hydrochloride	250.00; 275.00
50.00	2	Lactose monohydrate	50.00
65.00	3	Maize (starch)	65.00
3.00	4	Polyoxyl 40 stearate	3.00
10.00	5	Polyvinylpyrrolidone (PVP K-30)	10.00
65.00	6	Microcrystalline cellulose (Avicel™ PH 102)	65.00
25.00	7	Crospovidone (Kollidon® CL)	25.00
2.00	8	Magnesium stearate	2.00
5.00	9	Talc, fine powder	5.00
QS	10	Purified water	QS

MANUFACTURING DIRECTIONS

- Sift mefloquine hydrochloride, lactose monohydrate, and maize starch through a 0.500 mm stainless steel sieve.
- Dissolve polyoxyl 40 stearate and PVP K-30 in purified water (70–80°C) by slow stirring, until it becomes clear. Cool the solution to 25°C to 30°C. This is the granulating solution.

3. Knead the powder mix with granulating solution to get the desired wet mass.
4. Pass the wet mass through an 8 mesh screen onto drying trays.
5. Dry the granules to a targeted LOD of 2%.
6. Pass the dried granules through a 16 mesh screen.
7. Sift Avicel™ PH 102 and Kollidon® CL through a 0.500 mm stainless steel sieve.
8. Load the ground granules from step 5 and the powder mix from step 6 into a suitable blender. Blend for 2 minutes to get a homogeneous mixture.
9. Sift magnesium stearate and talc fine powder through a stainless steel 500 µm sieve. Add the powder mix in step 7. Blend these items for 1 minute.
10. Compress into 500 mg tablets, using 15 mm suitable punches.
11. Coat using a hypromellose coating. (See Appendix.)

MEPROBAMATE AND PHENOBARBITAL TABLETS (400 MG/30 MG)

Bill of Materials

Scale (mg/ tablet)	Item	Material Name	Quantity/ 1000 Tablets (g)
400.00	1	Meprobamate	400.00
30.00	2	Phenobarbital	30.00
76.00	3	Microcrystalline cellulose (Avicel™ PH 101)	76.00
13.00	4	Kollidon® VA 64	13.00
21.00	5	Kollidon® CL	21.00
8.00	6	Talc	8.00
1.00	7	Aerosil® 200	1.00
1.00	8	Calcium arachinate	1.00

MANUFACTURING DIRECTIONS

1. Pass all components through a 0.8 mm sieve, mix, and press with low-compression force.
2. Compress into 551 mg tablets, using 12 mm biplanar punches.

MEPROBAMATE AND PHENOBARBITAL TABLETS (400 MG/30 MG)

Bill of Materials

Scale (mg/ tablet)	Item	Material Name	Quantity/ 1000 Tablets (g)
400.00	1	Meprobamate	400.00
30.00	2	Phenobarbital	30.00
13.00	3	Kollidon® VA 64	13.00
—	4	Isopropyl alcohol	QS
21.00	5	Kollidon® CL	21.00
50.00	6	Starch (maize)	50.00
8.00	7	Talc	8.00
1.00	8	Aerosil® 200	1.00
1.00	9	Calcium arachinate	1.00

MANUFACTURING DIRECTIONS

1. Granulate a mixture of items 1 and 2 with a solution of items 3 and 4. Dry, pass through a 0.8 mm sieve, mix with items 5 to 9, and press with low-compression force.
2. Compress into 559 mg tablets, using 12 mm biplanar punches.

MEPROBAMATE TABLETS (400 MG)

Bill of Materials

Scale (mg/ tablet)	Item	Material Name	Quantity/ 1000 Tablets (g)
400.00	1	Meprobamate	400.00
80.00	2	Microcrystalline cellulose (Avicel™ PH 101)	80.00
30.00	3	Starch (maize)	30.00
20.00	4	Kollidon® VA 64	20.00
20.00	5	Kollidon® CL	20.00
7.00	6	Talc	7.00
3.00	7	Magnesium stearate	3.00

MANUFACTURING DIRECTIONS

1. Mix all components, pass through a 0.8 mm sieve, and press with high-compression force (20 kN).
2. Compress into 560 mg tablets, using 12 mm biplanar punches.

MEPROBAMATE TABLETS (400 MG)

Bill of Materials			
Scale (mg/ tablet)	Item	Material Name	Quantity/ 1000 Tablets (g)
400.00	1	Meprobamate	400.00
100.00	2	Starch (maize)	100.00
15.00	3	Kollidon® 25 or Kollidon® VA 64	15.00
4.50	4	Lutrol E 400 ^a	4.50
—	5	Isopropyl alcohol	QS
2.00	6	Talc	2.00
0.20	7	Aerosil® 200	0.20
0.30	8	Calcium arachinate	0.30

^a Use only if selecting Kollidon® 25 as item 3.

MANUFACTURING DIRECTIONS

1. Granulate the mixture of items 1 and 2 with a solution of items 3 to 5. Pass through a 0.8 mm sieve, add items 6 to 8, and press.
2. Compress into 520 mg tablets (515 mg if deleting item 4), using 12 mm biplanar punches.

METAMIZOL TABLETS (500 MG)

Bill of Materials			
Scale (mg/ tablet)	Item	Material Name	Quantity/ 1000 Tablets (g)
500.00	1	Metamizol sodium (dipyrone)	500.00
100.00	2	Ludipress®	100.00
10.00	3	Kollidon® CL	10.00
10.00	4	Magnesium stearate	10.00

MANUFACTURING DIRECTIONS

1. Mix all components, pass through a 0.5 mm sieve, and press with low-compression force.
2. Compress into 625 mg tablets, using 12 mm biplanar punches.

METAMIZOL TABLETS (500 MG)

Bill of Materials			
Scale (mg/ tablet)	Item	Material Name	Quantity/ 1000 Tablets (g)
500.00	1	Metamizol sodium (dipyrone)	500.00
100.00	2	Microcrystalline cellulose (Avicel™ PH 101)	100.00
15.00	3	Kollidon® 30	15.00
25.00	4	Kollidon® CL	25.00
1.00	5	Aerosil® 200	1.00
8.00	6	Talc	8.00
1.00	7	Calcium arachinate	1.00

MANUFACTURING DIRECTIONS

1. Mix all components, pass through a 0.5 mm sieve, and press with low-compression force.
2. Compress into 654 mg tablets, using 12 mm biplanar punches.

**METFORMIN HYDROCHLORIDE
BIPHASIC TABLETS****MANUFACTURING DIRECTIONS**

1. Dissolve/disperse 25 g of ethyl cellulose N10 NF in 100 mL of 95% ethanol.
2. Gradually add this dispersion to 500 g of metformin hydrochloride in a planetary mixer to produce a uniform damp granulation.
3. Dry the granulation at 55°C for 1 hour and pass through a 0.8 mm aperture screen to break down agglomerates.
4. Blend the metformin–ethyl cellulose granules (541 g) with 351.5 g of hydroxypropyl methylcellulose 2208 USP (100,000 cps grade), 10 g of hydroxypropyl methylcellulose 2910 USP (5 cps grade), and 100.5 g of microcrystalline cellulose in a planetary mixer for 10 minutes.
5. Finally, lubricate this mix with 1% w/w magnesium stearate and compress into capsule-shaped tablets, each containing 500 mg of metformin hydrochloride.

METFORMIN HYDROCHLORIDE TABLETS

Bill of Materials			
Scale (mg/ tablet)	Item	Material Name	Quantity/ 1000 Tablets (g)
500.00	1	Metformin hydrochloride	500.00
100.00	2	Dicalcium phosphate	100.00
15.00	3	Kollidon® 90F	15.00
8.00	4	Kollidon® 90F	8.00
—	5	Isopropyl alcohol	90.00
5.00	6	Kollidon® CL	5.00
15.00	7	Polyethylene glycol 6000 powder	15.00

MANUFACTURING DIRECTIONS

1. Granulate the mixture of items 1 to 3 with the solution of items 4 and 5. Mix these granules with items 6 and 7, pass through a 0.8 mm sieve, and press with medium-compression force.
2. Compress into 650 mg tablets, using 12 mm biplanar punches. If hardness is the problem, reduce the amount of Kollidon® 90F.

METFORMIN HYDROCHLORIDE TABLETS, EXTENDED RELEASE (500 MG)

Bill of Materials			
Scale (mg/ tablet)	Item	Material Name	Quantity/ 1000 Tablets (g)
500.00	1	Metformin hydrochloride	500.00
240.00	2	Lactose anhydrous	240.00
250.00	3	Hydroxypropyl cellulose	250.00
5.00	4	Colloidal silicon dioxide	5.00
5.00	5	Magnesium stearate	5.00

MANUFACTURING DIRECTIONS

1. Pass items 1 to 4 through a 250 µm mesh, and place in a suitable blender. Mix these materials for 15 minutes.
2. Add item 5, and mix for 3 to 7 minutes.
3. Compress 1000 mg to a hardness of 16 to 20 kPa in a suitable 15 mm punch. Adjust the weight and punch size for lower or higher strength.

METFORMIN TABLETS (500 MG)

Metformin HCl tablets contain 500 and 850 mg of metformin HCl. In addition, each tablet contains the following inactive ingredients: povidone, magnesium stearate, and hydroxypropyl methylcellulose (hypromellose) coating.

METFORMIN TABLETS

Bill of Materials			
Scale (mg/ tablet)	Item	Material Name	Quantity/ 1000 Tablets (g)
500.00	1	Metformin hydrochloride	500.00
190.00	2	Lactose anhydrous	190.00
300.00	3	Polyethylene oxide	300.00
5.00	4	Colloidal silicon dioxide	5.00
5.00	5	Magnesium stearate	5.00

MANUFACTURING DIRECTIONS

1. Compress 1000 mg; adjust the weight for higher or lower strength.

METFORMIN TABLETS

Bill of Materials			
Scale (mg/ tablet)	Item	Material Name	Quantity/ 1000 Tablets (g)
500.00	1	Metformin hydrochloride	500.00
160.00	2	Lactose anhydrous	160.00
330.00	3	Hydroxypropyl cellulose	330.00
5.00	4	Colloidal silicon dioxide	5.00
5.00	5	Magnesium stearate	5.00

MANUFACTURING DIRECTIONS

1. Compress 1000 mg; adjust the weight for lower or higher strength.

METFORMIN TABLETS

Bill of Materials			
Scale (mg/ tablet)	Item	Material Name	Quantity/ 1000 Tablets (g)
500.00	1	Metformin hydrochloride	500.00
45.90	2	Dibasic calcium phosphate	45.90
329.60	3	Hydroxypropyl cellulose	329.60
92.70	4	Ethyl cellulose	92.70
51.50	5	Povidone	51.50
5.15	6	Colloidal silicon dioxide	5.15
5.15	7	Magnesium stearate	5.15

MANUFACTURING DIRECTIONS

1. Compress 1030 mg; adjust the weight for higher or lower strength.

METFORMIN TABLETS, EXTENDED RELEASE (500 MG)

Bill of Materials			
Scale (mg/ tablet)	Item	Material Name	Quantity/ 1000 Tablets (g)
500.00	1	Metformin	500.00
240.00	2	Lactose monohydrate	240.00
250.00	3	Hydroxypropyl cellulose	250.00
5.00	4	Silicon dioxide colloidal	5.00
5.00	5	Magnesium stearate	5.00

MANUFACTURING DIRECTIONS

- Place items 1 to 3 in a suitable blending vessel, after passing through a 250 μm sieve.
- Sift items 4 and 5 through a 250 μm sieve, and add to step 1.
- Blend for 3 to 5 minutes.
- Compress into 1000 mg tablets at 18 to 20 kp.

METHENAMINE TABLETS (500 MG)

Bill of Materials			
Scale (mg/ tablet)	Item	Material Name	Quantity/ 1000 Tablets (g)
500.00	1	Methenamine powder	500.00
0.50	2	Gelatin powder	0.50
4.50	3	Magnesium stearate	4.50

MANUFACTURING DIRECTIONS

- Accurately weigh methenamine, gelatin, and magnesium stearate.
- Mix methenamine and gelatin in a suitable blender for 15 minutes. Add magnesium stearate, and mix for additional 5 minutes.
- Compress into 505 mg tablets, using 3/8 in. round punch at 5 kg of pressure.

METHYLCLOTHIAZIDE AND DESERPIDINE TABLETS (5 MG/0.25 MG)

Bill of Materials			
Scale (mg/ tablet)	Item	Material Name	Quantity/ 1000 Tablets (g)
5.00	1	Methyclothiazide	5.00
0.25	2	Deserpidine	0.25
7.80	3	Starch (corn)	7.80
166.80	4	Lactose monohydrate	166.80
6.80	5	Starch (corn)	6.80
QS	6	Water, purified, ca	30 mL
6.80	7	Talc	6.80
1.50	8	Magnesium stearate	1.50

MANUFACTURING DIRECTIONS

Caution: This is an expensive preparation—keep losses to a minimum. Deserpidine is poisonous—handle carefully. Maintain a low relative humidity during processing and storing.

1. Granulation

- Load methyclothiazide, deserpidine, and starch (item 3) together with an equal quantity of lactose into a mixer, and blend for 30 minutes. Cover the mixing bowl during this operation.
- Pass blended materials from step 1 through a 250 μm sieve aperture screen at high speed (hammers forward using an Apex mill or similar mill).
- Load the milled ingredients from step 2 into the mixer, add the balance of the lactose, and dry blend for 30 minutes.
- Mix starch (item 5) with 30 mL of cold purified water, and heat to make a paste.
- Add the hot starch paste to the blended powders in the mixer, and mass for 1 to 3 minutes. *Note:* Overmixing and overwetting will prolong tablet disintegration time.
- Pass the wet mass through a 4.76 mm aperture screen, and spread onto trays.
- Load trays of wet granulation into the oven, and dry for 4 hours at 49°C. *Note:* It is essential to use a full oven load of trays.
- Remove the dried granulation from the oven, and pass through an 840 μm aperture screen, or pass mill-dried granulation through a 600 μm aperture screen using a FitzMill, impact forward, high speed into polyethylene-lined drums. Tie liners tightly. *Note:* The FitzMill method may improve dissolution.

2. Lubrication

- Load approximately 20% of granulation into blender.

- b. Mix talc and magnesium stearate, while milling through a 600 μm aperture screen, impact forward, high speed on a FitzMill or similar mill, and load into the blender.
 - c. Load the remaining granulation into blender, and *blend only for 14 minutes*. *Note*: If lumps are present after several minutes of blending, it may be necessary to put the entire granulation through a 1.19 mm aperture, and then continue blending to the required time. Also, note that overblending results in increased tablet disintegration time.
 - d. Discharge into polyethylene-lined drums. Seal containers well.
3. Compression: Compress using standard 7 mm concave square punches.
 - e. Dry at 60°C until the LOD is 1%, or less, when tested for 60 minutes in a Brabender (or equivalent) set at 105°C.
 - f. Sift the dried granulation through a 1.19 mm aperture screen, and mill the coarse material through a comminuting mill fitted with a 1.59 mm aperture band, knives forward, at medium speed.
 - g. Load one-half of the granulation into the blender. Mix talc and magnesium stearate, while milling through a 600 μm aperture screen, impact forward, high speed, and load into the blender. Load the remaining half of the granulation into the blender, and *blend only for 4 minutes*.
 - h. Discharge a portion of the granulation from the blender, and check for white lumps. If present, discharge the entire granulation from the blender through a 1.19 mm aperture screen to break lumps, and then return to the blender. Load the remaining granulation into the blender, and *blend only for 10 minutes*. *Note*: Overblending results in increased tablet disintegration time.
 - i. Discharge the blender into tared, polyethylene-lined drums. Seal, weigh, and deliver the drums to the storage area.

METHYCLOTHIAZIDE TABLETS (5 MG)

Bill of Materials			
Scale (mg/ tablet)	Item	Material Name	Quantity/ 1000 Tablets (g)
5.20	1	Starch (corn)	5.20
QS	2	Dyes	QS
5.00	3	Methyclothiazide	5.00
9.40	4	Starch (corn)	9.40
166.40	6	Lactose monohydrate	166.40
QS	7	Water, purified, ca	25 mL
6.80	8	Talc	6.80
2.00	9	Magnesium stearate	2.00

MANUFACTURING DIRECTIONS

1. Granulation and lubrication
 - a. Make starch paste, using cornstarch (item 1) and purified water.
 - b. Mix dyes with item 3, cornstarch (item 4), and an equal amount of lactose, and mill through a comminuting mill using a 177 μm aperture screen, impact forward, high speed. Load into the mixer. Add the balance of lactose to the mixer (mill through a 420 μm aperture screen, impact forward, high speed, if lumpy), and dry mix for 10 minutes.
 - c. Add hot starch paste from step 1 to the mixer. Mix until granular but not longer than 5 minutes. If necessary, 1.8 mL of purified water may be added to wet the mass during mixing. *Note*: Overmixing and overwetting will prolong the tablet disintegration time.
 - d. Granulate the wet mass through a comminuting mill, using a 15.88 mm aperture band, and spread on trays.

METHYL CYSTEINE TABLETS (100 MG)

Formulation: Methyl cysteine hydrochloride, 100 g; Ludipress®, 200 g; magnesium stearate, 3 mg; menthol, 4 mg.

MANUFACTURING DIRECTIONS

1. Mix all components, pass through a 0.8 mm sieve, and press with low-compression force at 307 mg.

METHYLERGOTAMINE MALATE TABLETS (0.5 MG)

Bill of Materials			
Scale (mg/ tablet)	Item	Material Name	Quantity/ 1000 Tablets (g)
0.50	1	Methylergotamine malate, 10% excess	0.55
0.15	2	Maleic acid	0.15
5.25	3	Starch (maize)	5.25
47.08	4	Lactose monohydrate	47.08
1.00	5	Starch (maize)	1.00
0.50	6	Stearic acid	0.50
2.30	7	Talc	2.30
2.30	8	Magnesium stearate	2.30
	9	Water, purified, ca	60 mL

MANUFACTURING DIRECTIONS

1. Sift items 2, 4, and 5 through a 250 μm sieve in a suitable mixing vessel. Mix the items for 5 minutes.
2. In a separate vessel, place item 5, and add a sufficient amount of hot item 9 to make a paste.
3. Add step 2 into step 1, and make a suitable wet mass. Pass the wet mass through a 2.38 mm sieve onto drying trays.
4. Dry the granules at 50°C overnight to an LOD of not more than 3%.
5. Pass the granules through a 20 mesh sieve into a blending vessel.
6. Pass item 1 through a 250 μm sieve, and using a geometric dilution with granules in step 5, add and mix item 1 into step 5.
7. Pass items 6 and 7 through a 500 μm sieve and item 8 through a 250 μm sieve, and add all three items to step 6. Blend for 2 minutes. (Do not overblend.)
8. Compress into 58 mg tablets, using 3 mm punches.
9. Provide a sugar coating to a final weight of 100 mg per tablet and a diameter of 5 mm. (See Appendix for sugar coating formulations.)

METHYLPHENIDATE HYDROCHLORIDE TABLETS EXTENDED RELEASE (18 MG/36 MG), CONCERTA

Concerta also contains the following inert ingredients: butylated hydroxytoluene, carnauba wax, cellulose acetate, hydroxypropyl methylcellulose, lactose, phosphoric acid, poloxamer, polyethylene glycol, polyethylene oxides, povidone, propylene glycol, sodium chloride, stearic acid, succinic acid, synthetic iron oxides, titanium dioxide, and triacetin. Concerta uses osmotic pressure to deliver methylphenidate HCl at a controlled rate. The system, which resembles a conventional tablet in appearance, comprises an osmotically active trilayer core surrounded by a semipermeable membrane with an immediate-release drug overcoat. The trilayer core is composed of two drug layers containing the drug and excipients and a push layer containing osmotically active components. There is a precision laser-drilled orifice on the drug-layer end of the tablet. In an aqueous environment, such as the gastrointestinal tract, the drug overcoat dissolves within 1 hour, providing an initial dose of methylphenidate. Water permeates through the membrane into the tablet core. As the osmotically active polymer excipients expand, methylphenidate is released through the orifice. The membrane controls the rate at which water enters the tablet core, which in turn, controls drug delivery. The biologically inert components of the tablet remain intact during gastrointestinal transit and are eliminated in the stool as a tablet shell, along with insoluble core components.

METHYLPREDNISOLONE TABLETS (2 MG/4 MG/8 MG/16 MG/24 MG/32 MG), MEDROL

Each Medrol tablet for oral administration contains 2, 4, 8, 16, 24, or 32 mg of methylprednisolone. The inactive ingredients found in Medrol are as follows. 2 mg: calcium stearate, cornstarch, erythrosine sodium, lactose, mineral oil, sorbic acid, and sucrose; 4 and 16 mg: calcium stearate, cornstarch, lactose, mineral oil, sorbic acid, and sucrose; 8 and 32 mg: calcium stearate, cornstarch, FD&C Yellow No. 6, lactose, mineral oil, sorbic acid, and sucrose; 24 mg: calcium stearate, cornstarch, FD&C Yellow No. 5, lactose, mineral oil, sorbic acid, and sucrose.

METOCLOPRAMIDE TABLETS (10 MG), REGLAN

Reglan tablets (metoclopramide tablets, USP), 10 mg, are white, scored, capsule-shaped tablets engraved with “Reglan” on one side and “AHR 10” on the opposite side. Each tablet contains 10 mg of metoclopramide base (as the monohydrochloride monohydrate). The inactive ingredients are magnesium stearate, mannitol, microcrystalline cellulose, and stearic acid.

Reglan tablets, 5 mg, are green, elliptical-shaped tablets engraved with “Reglan 5” on one side and “AHR” on the opposite side. Each tablet contains 5 mg of metoclopramide base (as the monohydrochloride monohydrate). The inactive ingredients are cornstarch, D&C Yellow No. 10 lake, FD&C Blue No. 1 Aluminum Lake, lactose, microcrystalline cellulose, silicon dioxide, and stearic acid.

METOCLOPRAMIDE TABLETS

Bill of Materials			
Scale (mg/ tablet)	Item	Material Name	Quantity/ 1000 Tablets (g)
10.00	1	Anhydrous metoclopramide hydrochloride; use metoclopramide hydrochloride	10.54
7.00	2	Maize starch (dried)	7.00
1.00	3	Silicon dioxide (colloidal)	1.00
0.76	4	Magnesium stearate	0.76
5.00	5	Starch (pregelatinized)	5.00
101.24	6	Lactose	101.24
QS	7	Purified water	~15.00 mL

MANUFACTURING DIRECTIONS

1. Dried maize starch must be used for lubrication.
2. Dry the starch at 80°C for 36 hours prior to its use in manufacturing.
3. Check LOD of starch; the LOD must be less than 2.0%.

4. Pass the lactose, pregelatinized starch, and metoclopramide hydrochloride through a 1.25 mm aperture screen, and transfer it to a suitable mass mixer; mix for 5 minutes.
5. Add the water slowly to the mixer, and mix for 30 minutes or until a suitable consistency is obtained. Add extra water, if required.
6. Pass the mass through a 4.80 mm aperture screen or an oscillating granulator (or by hand), and dry in a tray dryer or fluid-bed dryer at 50°C until the moisture content is below 5.5%.
7. Pass the granules through a 875 µm aperture screen on an oscillating granulator (or comminuting mill at medium speed, knives forward) into tared, polyethylene-lined drums; seal and weigh.
8. Carry out remaining steps at a relative humidity below 50% and temperature below 26°C.
9. Transfer the dried granulation to a suitable blender.
10. Screen the starch (item 2), magnesium stearate, and silicon dioxide through a 250 µm aperture screen on a sieve shaker, and add to the blender.
11. Blend for 10 minutes.
12. Discharge the granules into polyethylene-lined drums; seal and weigh for yield.
13. Compress into 1.255 g per 10 tablets, using 6.35 or 7.14 mm standard concave punches.

METOCLOPRAMIDE HYDROCHLORIDE TABLETS

Bill of Materials			
Scale (mg/ tablet)	Item	Material Name	Quantity/ 1000 Tablets (g)
10.00	1	Metoclopramide hydrochloride	10.00
89.50	2	Ludipress®	89.50
0.50	3	Magnesium stearate	0.50

MANUFACTURING DIRECTIONS

1. Mix all components, pass through a 0.8 mm sieve, and press with medium-compression force.
2. Compress into 100 mg tablets, using 6 mm biplanar punches.

METOCLOPRAMIDE TABLETS (20 MG)

Bill of Materials			
Scale (mg/ tablet)	Item	Material Name	Quantity/ 1000 Tablets (g)
20.00	1	Metoclopramide hydrochloride anhydrous; use metoclopramide hydrochloride	20.54
7.00	2	Starch (maize), dried	7.00
1.00	3	Silicon dioxide colloidal	1.00
0.76	4	Magnesium stearate	0.76
5.00	5	Starch pregelatinized	5.00
101.24	6	Lactose	101.24
—	7	Water purified (deionized)	15.00 mL

MANUFACTURING DIRECTIONS

1. Granulation
 1. *Note:* Dried cornstarch must be used for lubrication. Dry the starch at 80°C for 36 hours before its use in manufacturing. Check the LOD of the starch. The LOD must be less than 2% (1 hour on Brabender at 105°C or equivalent).
 - a. Pass the lactose, starch pregelatinized, and metoclopramide hydrochloride through a 1.25 mm aperture screen, transfer to a suitable mass mixer, and mix for 5 minutes.
 - b. Add the water slowly to the mixer, and mix for 30 minutes or until a suitable consistency is obtained. Add extra water if required.
 - c. Pass the mass through a 4.8 mm aperture screen or an oscillating granulator (or by hand), and dry in a tray dryer or fluid-bed dryer at 50°C until the moisture content is below 5.5%.
 - d. Arrange for samples.
 - e. Pass the granule through an 875 µm aperture screen on an oscillating granulator (or comminuting mill at medium speed, knives forward) into tared polyethylene-lined drums. Then, seal the drums and weigh.
2. Lubrication

Note: Carry out at a relative humidity below 50% and temperature below 26°C.

 - a. Transfer the dried granulation to a suitable blender.
 - b. Screen the starch (item 2), magnesium stearate, and silicon dioxide through a 250 µm sieve aperture screen on a sieve shaker, and add to the blender. Blend for 10 minutes.
 - c. Discharge the granules into polyethylene-lined drums, seal, and weigh for yield.
3. Compressing

Note: Carry out at a relative humidity below 50% and at temperature below 26°C.

- Compress using 7.14 mm round, standard concave punches or 6.35 mm round, standard concave punches.
- Compress to the following specifications: weight of 10 tablets = $1.255 \text{ g} \pm 3\%$.

METOPROLOL SUCCINATE TABLETS (95 MG), TOPROL

Toprol-XL is formulated to provide a controlled and predictable release of metoprolol for once-daily administration. The tablets comprise a multiple-unit system containing metoprolol succinate in a multitude of controlled-release pellets. Each pellet acts as a separate drug delivery unit and is designed to deliver metoprolol continuously over the dosage interval. The tablets contain 47.5, 95, and 190 mg of metoprolol succinate equivalent to 50, 100, and 200 mg of metoprolol tartrate, USP, respectively. The inactive ingredients are silicon dioxide, cellulose compounds, sodium stearyl fumarate, polyethylene glycol, titanium dioxide, and paraffin.

METOPROLOL SUCCINATE TABLETS

Bill of Materials			
Scale (mg/ tablet)	Item	Material Name	Quantity/ 1000 Tablets (g)
95.00	1	Metoprolol succinate	95.00
25.00	2	Polyoxol 40 hydrogenated	25.00
230.00	3	Hydroxypropyl methylcellulose	230.00
94.00	4	Aluminum silicate	94.00
—	5	Alcohol	QS

MANUFACTURING DIRECTIONS

- Mix metoprolol with polyoxyl 40 hydrogenated castor oil, and then carefully mix it with the carrier materials (HPMC and aluminum silicate).
- Granulate the mixture with ethanol, and dry the granules.
- Add lubricant, and compress.

METOPROLOL TARTRATE TABLETS

Metoprolol tartrate is a selective β_1 -adrenoreceptor blocking agent, available as 50 and 100 mg tablets for oral administration and in 5 mL ampules for intravenous administration. Each ampule contains a sterile solution of metoprolol tartrate (5 mg) and sodium chloride (45 mg). Metoprolol tartrate is (\pm)-1-(isopropylamino)-3-(p-(2-(methoxyethyl)phenoxy)-2-propanol (2:1) *dextro*-tartrate salt.

Metoprolol tartrate is a white, practically odorless, crystalline powder with a molecular weight of 684.82. It is very soluble in water; freely soluble in methylene chloride, in

chloroform, and in alcohol; slightly soluble in acetone; and insoluble in ether.

The Lopressor tablets contain the following inactive ingredients: cellulose compounds, colloidal silicon dioxide, D&C Red No. 30 Aluminum Lake (50 mg tablets), FD&C Blue No. 2 Aluminum Lake (100 mg tablets), lactose, magnesium stearate, polyethylene glycol, propylene glycol, povidone, sodium starch glycolate, talc, and titanium dioxide.

METRONIDAZOLE TABLET CORES (400 MG)

Formulation: Metronidazole, 400 g; Avicel™ PH 102, 150 g; Kollidon® VA 64, 25 g; Kollidon® CL, 15 g; Aerosil® 200, 5 g; polyethylene glycol 6000, powder, 50 g.

MANUFACTURING DIRECTIONS

- Mix all components, pass through a 0.8 mm sieve, and press with high-compression force (25–30 kN) at 645 mg.

METRONIDAZOLE TABLETS (200 MG)

Formulation: Metronidazole, 200 g; Avicel™ PH 101, 200 g; Kollidon®, 6 g; Kollidon® CL, 10 g; Aerosil® 200, 5 g; magnesium stearate, 5 g.

MANUFACTURING DIRECTIONS

- Mix all components, pass through a 0.8 mm sieve, and press with high-compression force (25–30 kN) at 426 mg.

METRONIDAZOLE EFFERVESCENT VAGINAL TABLETS (500 MG)

Bill of Materials			
Scale (mg/ tablet)	Item	Material Name	Quantity/ 1000 Tablets (g)
500.00	1	Metronidazole	500.00
600.00	2	Sodium bicarbonate	600.00
30.00	3	Kollidon® 30	30.00
10.00	4	Kollidon® 30	10.00
—	5	Isopropyl alcohol	150 mL
500.00	6	Tartaric acid	500.00
50.00	7	Polyethylene glycol 6000 powder	50.00

MANUFACTURING DIRECTIONS

- Granulate items 1 and 2 with the solution of items 3 and 4. Pass through a 0.8 mm sieve, mix with items 6 and 7, and press.
- Compress into 1700 mg tablets, using 16 mm biplanar punches.

METRONIDAZOLE, FURAZOLIDONE, AND LOPERAMIDE TABLETS (200 MG/25 MG/2 MG)

Bill of Materials

Scale (mg/ tablet)	Item	Material Name	Quantity/ 1000 Tablets (g)
200.00	1	Metronidazole	200.00
25.00	2	Furazolidone	25.00
2.00	3	Loperamide	2.00
200.00	4	Starch (maize)	200.00
175.00	5	Dicalcium phosphate	175.00
5.00	6	Gelatin	5.00
110.00	7	Starch (maize)	110.00
1.16	8	Yellow dye	1.16
4.00	9	Magnesium stearate	4.00
2.00	10	Talc	2.00
—	11	Water, purified, ca	500 mL

MANUFACTURING DIRECTIONS

- Sift items 1, 2, 4, and 5 through a 40 mesh sieve into a mixing vessel.
- Mix for 10 minutes, and use this mix to dilute item 1 into the same vessel.
- In a separate vessel, heat item 11 to 90°C, and add items 6 to 8. Stir to make a smooth slurry containing 30% starch.
- Add the slurry in step 3 into step 2, and mix until a suitable mass for granulation is obtained.
- Pass the wet mass through a 2.38 mm sieve onto paper-lined trays.
- Dry the granules at 50°C overnight to meet an LOD of not more than 2.5%.
- Pass the dried granules through a 1.19 mm mesh into a blending vessel.
- Pass item 9 through a 250 µm sieve and item 10 through a 500 µm sieve into step 6. Blend for 2 minutes.
- Compress into 680 mg tablets, using 13 mm punches.

METRONIDAZOLE TABLETS (200 MG)

Bill of Materials

Scale (mg/ tablet)	Item	Material Name	Quantity/ 1000 Tablets (g)
200.00	1	Metronidazole	200.00
200.00	2	Avicel™ PH 101	200.00
6.00	3	Kollidon® 30	6.00
10.00	4	Kollidon® CL	10.00
5.00	5	Aerosil® 200	5.00
5.00	6	Magnesium stearate	5.00

MANUFACTURING DIRECTIONS

- Mix all components, pass through a 0.8 mm sieve, and press with high-compression force (25–30 kN).
- Compress into 426 mg tablets, using 12 mm biplanar punches.

METRONIDAZOLE TABLETS (200 MG/400 MG)

Bill of Materials

Scale (mg/ tablet)	Item	Material Name	Quantity/ 1000 Tablets (g)
400.00	1	Metronidazole	400.00
150.00	2	Lactose monohydrate	150.00
37.50	3	Starch (corn)	37.50
30.00	4	Povidone K 29–32	30.00
37.50	5	Starch (corn)	37.50
QS	6	Water, purified	121.00 mL
13.00	7	Starch (corn)	13.00
1.25	8	Magnesium stearate	1.25

Note: For 200 mg strength, scale down the BOM proportionally and compress using a 9.5 mm round, standard concave punch. The thickness should be 4.3 to 4.9 mm (range: not more than ±5%); hardness: National Testing Laboratory (NTL) 7 to 17 kPa; disintegration time: not more than 15 minutes in water.

MANUFACTURING DIRECTIONS

- Granulation
 - Make a starch paste using starch (corn) (item 3) and purified water (distilled) (item 6) in a stainless steel container.
 - Pass the following items through a 595 µm aperture screen, and transfer to a suitable mixer: metronidazole, lactose, and starch (corn) (item 5).
 - Add the povidone to the mixer, and mix for 5 minutes.
 - Add the starch paste from step 1 to the mixer, and mix until a suitable-consistency mass is obtained. Add extra water if required.
 - Pass the wet mass through a 2.36 mm screen on a suitable granulator.
 - Spread the granules on paper-lined trays, and dry in an oven at 50°C until the moisture content is not more than 5.5%.
 - Request samples for moisture content.
 - Pass the dried granules through a 1.59 mm aperture screen on a suitable comminuting mill, at medium speed, with knives forward, into tared, polyethylene-lined drums. Then, seal the drums and weigh.
- Lubrication
 - Transfer the dried granulation to a suitable blender.

- b. Screen the following items through a 595 μm aperture screen, and add the following to the blender: starch (corn) (item 7) and magnesium stearate. Blend for 5 minutes.
 - c. Discharge the granule into polyethylene-lined drums, seal, and weigh for yield.
3. Compression: Compress using 12.7 mm round, standard concave punches.
 4. Coating: Coat using a Methocel coating. (See Appendix.)

METRONIDAZOLE TABLETS (400 MG)

Metronidazole is an oral synthetic antiprotozoal and antibacterial agent, 1-(β -hydroxyethyl)-2-methyl-5-nitroimidazole. Metronidazole tablets contain 250 mg or 500 mg of metronidazole. Inactive ingredients include cellulose, FD&C Blue No. 2 lake, hydroxypropyl cellulose, hydroxypropyl methylcellulose, polyethylene glycol, stearic acid, and titanium dioxide.

Bill of Materials

Scale (mg/ tablet)	Item	Material Name	Quantity/ 1000 Tablets (g)
400.00	1	Metronidazole	400.00
150.00	2	Avicel™ PH 102	150.00
25.00	3	Kollidon® VA 64	25.00
15.00	4	Kollidon® CL	15.00
5.00	5	Aerosil® 200	5.00
50.00	6	Polyethylene glycol 6000, powder	50.00

MANUFACTURING DIRECTIONS

1. Mix all components, pass through a 0.8 mm sieve, and press with high-compression force (25–30 kN).
2. Compress into 645 mg tablets, using 12 mm biconvex punches.

METRONIDAZOLE TABLETS (500 MG)

Bill of Materials

Scale (mg/ tablet)	Item	Material Name	Quantity/ 1000 Tablets (g)
500.00	1	Metronidazole	500.00
220.00	2	Sorbitol, crystalline	220.00
10.00	3	Kollidon® 90F	10.00
—	4	Ethanol 96%, ca	75.00
20.00	5	Kollidon® CL	20.00
4.00	6	Talc	4.00
0.50	7	Aerosil® 200	0.50
0.50	8	Calcium arachinate	0.50

MANUFACTURING DIRECTIONS

1. Granulate the mixture of items 1 and 2 with the solution of items 3 and 4. Pass the mixture through a 0.8 mm sieve, dry it, mix it with items 5 to 7, and press it with medium-compression force.
2. Compress into 755 mg tablets, using 16 mm biplanar punches.

MIDODRINE HYDROCHLORIDE CONTROLLED-RELEASE TABLETS

Bill of Materials

Scale (mg/ tablet)	Item	Material Name	Quantity/ 1000 Tablets (g)
15.00	1	Midodrine hydrochloride	15.00
18.80	2	Microcrystalline cellulose PH101	18.80
65.20	3	Lactose monohydrate	65.20
1.00	4	Sodium carboxymethyl cellulose	1.00
28.00	5	Water	28.00

MANUFACTURING DIRECTIONS

1. The following preparation provides a zero-order release profile.
2. Intensely mix items 1 to 4 in mixer.
3. Apply item 5 to step 2, and continue mixing until properly wet.
4. Extrude the mass in step 3 through a screen with apertures between 0.4 and 1.0 mm to give spheronized pellets with smooth surface.
5. Apply inner coat using a fluid bed to increase the weight of pellets by 8.5% w/w using hydroxypropyl methylcellulose (13.5 g), magnesium stearate (2.9 g), talc (25.2 g), Eudragit® NE 30 D (895.1 g), and purified water (1135.4 g).
6. Apply outer coat in a fluid bed to increase the weight by another 1% w/w using hydroxypropyl methylcellulose (20.0 g), talc (20.0 g), and purified water (460.0) g.
7. The release profile can be changed by mixing fractions of pellets with different amounts of inner coating applied, or the release profile can be changed by coating with other acrylic resins such as Eudragit® RL 30 D, Eudragit® RS 30 D, or combinations thereof, or using other types of film-forming agents such as ethyl cellulose or silicone polymers. Furthermore, the release profile can be changed by applying a fraction of noncoated pellets or by applying an enteric coating to a fraction of pellets.

MIDODRINE HYDROCHLORIDE TABLETS

Bill of Materials			
Scale (mg/ tablet)	Item	Material Name	Quantity/ 1000 Tablets (g)
50.00	1	Midodrine hydrochloride	50.00
2.00	2	Klucel MF	2.00
93.00	3	Methocel E50	93.00
1.50	4	Midodrine hydrochloride	1.50
6.6	5	Klucel MF	6.6
156.90	6	Methocel E50	156.90
2.80	7	Midodrine hydrochloride	2.80
247.20	8	Methocel E50	247.20
1.20	9	Midodrine hydrochloride	1.20
9.70	10	Methocel E50	9.70
8.50	11	Talc	8.50

MANUFACTURING DIRECTIONS

1. Compress ingredients 1 to 3, 4 to 6, and 7 to 8 as the core, the first, and the second layer, respectively. Using the core composition, compress a core weighing 100 mg using a punch 6 mm in diameter. Compression coat the core using 165 mg of the first compression layer composition and a punch of 9 mm in diameter. Again compression coat the compression-coated core using 250 mg of the second compression layer composition and a punch of 11 mm in diameter.
2. Apply ingredients 9 to 11 by spray coating.

MIDODRINE HYDROCHLORIDE TRIPLE-LAYER TABLETS

Bill of Materials			
Scale (mg/ tablet)	Item	Material Name	Quantity/ 1000 Tablets (g)
5.00	1	Midodrine hydrochloride	5.00
2.00	2	Klucel MF	2.00
93.00	3	Methocel E50	93.00
1.50	4	Midodrine hydrochloride	1.50
6.60	5	Klucel MF	6.60
156.90	6	Methocel E50	156.90
2.80	7	Midodrine hydrochloride	2.80
247.20	8	Methocel E50	247.20
1.20	9	Midodrine hydrochloride	1.20
9.70	10	Methocel E5	9.70
8.50	11	Talc	8.50

MANUFACTURING DIRECTIONS

1. Items 1 to 3 are compressed to form a core using 6 mm diameter punch.

2. Coat the core using items 4 to 6 using 9 mm diameter punch.
3. Coat the tablet (step 2) with items 7 to 8 using 11 mm diameter punch.
4. Spray coat step 3 with items 9 to 11.

Bill of Materials			
Scale (mg/ tablet)	Item	Material Name	Quantity/ 1000 Tablets (g)
1.66	1	Midodrine hydrochloride	1.66
48.34	2	Hydroxypropyl methylcellulose E50	48.34
10.00	3	Croscarmellose sodium	10.00
0.62	4	Midodrine hydrochloride	0.62
126.38	5	Hydroxypropyl methylcellulose E15	126.38
135.00	6	Hydroxypropyl methylcellulose K100 LV8	135.00
1.99	7	Midodrine hydrochloride	1.99
143.01	8	Hydroxypropyl methylcellulose E50	143.01
1.79	9	Hydroxypropyl methylcellulose E5	1.79
1.25	10	Talc	1.25
0.36	11	Propylene glycol	0.36
0.73	12	Midodrine hydrochloride	0.73
3.58	13	Hydroxypropyl methylcellulose E5	3.58
2.51	14	Talc	2.51
0.71	15	Propylene glycol	0.71

MANUFACTURING DIRECTIONS

1. Compress core using 6 mm punch using items 1 to 3.
2. Compress core in step 1 with items 4 to 6 in 9 mm diameter punch.
3. Compress tablet in step 2 using items 7 and 8 using 11 mm diameter punch.
4. Apply coating by spray method using items 9 to 12.
5. Apply coating by spray method using items 12 to 15.

Bill of Materials			
Scale (mg/ tablet)	Item	Material Name	Quantity/ 1000 Tablets (g)
10.00	1	Midodrine hydrochloride	10.00
340.00	2	Klucel LF	340.00
0.20	3	Methocel E5	0.20
0.10	4	Magnesium stearate	0.10
0.40	5	Talc Ponderax	0.40
0.0048	6	Antifoam agent	0.0048
4.50	7	Eudragit® NE 30D	4.50
1.80	8	Methocel E5	1.80
1.80	9	Talc Ponderax	1.80

MANUFACTURING DIRECTIONS

1. Core: items 1 and 2.
2. Insoluble inner coat: items 3 to 7.
3. Soluble outer coat: items 8 and 9.

Bill of Materials			
Scale (mg/ tablet)	Item	Material Name	Quantity/ 1000 Tablets (g)
200.00	1	Core (nonpareil)	200.00
4.00	2	Midodrine hydrochloride	4.00
0.30	3	Methocel E5M	0.30
0.06	4	Magnesium stearate	0.06
0.50	5	Talc Ponderax	0.50
0.004	6	Antifoam agent	0.004
5.20	7	Eudragit® NE 30D	5.20
3.00	8	Midodrine hydrochloride	3.00
0.30	9	Methocel E5M	0.30
0.06	10	Magnesium stearate	0.06
0.50	11	Talc Ponderax	0.50
0.004	12	Antifoam	0.004
6.10	13	Eudragit® NE 30D	6.10
2.00	14	Midodrine hydrochloride	2.00
0.30	15	Methocel E5 M	0.30
0.08	16	Magnesium stearate	0.08
0.70	17	Talc Ponderax	0.70
0.006	18	Antifoam	0.006
1.00	19	Midodrine hydrochloride	1.00
0.40	20	Methocel E5M	0.40
0.08	21	Magnesium stearate	0.08
1.00	22	Talc Ponderax	1.00
0.006	23	Antifoam	0.006
78.00	24	Eudragit® NE 30D	78.00
1.00	25	Methocel E5	1.00
1.00	26	Talc Ponderax	1.00

MANUFACTURING DIRECTIONS

1. Coat item with items 2 to 7.
2. Coat step 1 with items 8 to 13.
3. Coat step 2 with items 14 to 18.
4. Coat step 3 with items 19 to 24.
5. Coat step with final outer coat with items 25 and 26.
6. Cure tablets at 70°C.

Bill of Materials

Scale (mg/ tablet)	Item	Material Name	Quantity/ 1000 Tablets (g)
200.00	1	Nonpareil seeds	200.00
4.00	2	Midodrine hydrochloride	4.00
0.30	3	Paraffin solid	0.30
0.10	4	Acetyltributyl citrate	0.10
1.90	5	Ethyl cellulose	1.90
0.028	6	Aerosil® 200	0.028
3.00	7	Midodrine hydrochloride	3.00
0.30	8	Paraffin solid	0.30
0.10	9	Acetyltributyl citrate	0.10
2.20	10	Ethyl cellulose	2.20
0.032	11	Aerosil® 200	0.032
2.00	12	Midodrine hydrochloride	2.00
0.40	13	Paraffin solid	0.40
0.20	14	Acetyltributyl citrate	0.20
2.80	15	Ethyl cellulose	2.80
0.04	16	Aerosil® 200	0.04
0.50	17	Paraffin solid	0.50
0.20	18	Acetyltributyl citrate	0.20
3.30	19	Ethyl cellulose	3.30
0.05	20	Aerosil® 200	0.05

MANUFACTURING DIRECTIONS

1. Coat item 1 with items 2 to 6.
2. Coat step 1 with items 7 to 11.
3. Coat step 2 with items 12 to 16.
4. Final outer coat: use items 17 to 20.

MONTELUKAST SODIUM TABLETS, SINGULAIR

Each 10 mg film-coated Singulair tablet contains 10.4 mg of montelukast sodium. Each tablet also contains cornstarch, hydroxypropyl cellulose, magnesium stearate, colloidal silicon dioxide, lactose, and other inactive ingredients. A Singulair tablet is equivalent to 10 mg of free acid and the following inactive ingredients: microcrystalline cellulose, lactose monohydrate, croscarmellose sodium, hydroxypropyl cellulose, and magnesium stearate. The film coating consists of hydroxypropyl methylcellulose, hydroxypropyl cellulose, titanium dioxide, red iron oxide, yellow iron oxide, and carnauba wax.

Each 5 mg chewable Singulair tablet contains 5.2 mg of montelukast sodium, which is the molar equivalent to 5 mg of free acid, and the following inactive ingredients: mannitol, microcrystalline cellulose, hydroxypropyl cellulose, red ferric oxide, croscarmellose sodium, cherry flavor, aspartame, and magnesium stearate.

MORPHINE SULFATE AND GRANISETRON HYDROCHLORIDE SUSTAINED-RELEASE TABLETS

Bill of Materials

Scale (mg/ tablet)	Item	Material Name	Quantity/ 1000 Tablets (g)
30.00	1	Morphine sulfate	30.00
130.00	2	Hydroxypropyl methylcellulose	130.00
70.00	3	Lactose monohydrate	70.00
10.00	4	Polyvinylpyrrolidone	10.00
2.00	5	Silicon dioxide	2.00
1.12	6	Granisetron hydrochloride	1.12
60.00	7	Lactose fine powder	60.00
5.00	8	Sucrose fine powder	5.00
1.00	9	Flavor	1.00
0.06	10	Polyvinylpyrrolidone	0.06
QS	12	Ethyl alcohol 95%	QS
,	13	Water	QS

MANUFACTURING DIRECTIONS

1. Prepare a granulation blend containing morphine sulfate, hydroxypropyl methylcellulose, lactose, and polyvinylpyrrolidone. Add silicon dioxide and stearic acid to the granulation and blend for additional 5 to 10 minutes.
2. Compress the morphine sulfate sustained-release granulation using appropriate tooling and tableting machine to fill weight of 244 mg.
3. Prepare the solvent mixture containing polyvinylpyrrolidone in water or a mixture of water and ethanol.
4. Blend granisetron hydrochloride, lactose, sucrose, and the flavoring agent. Screen to break lumps.
5. Add the mixture of step 3 to that of step 4, while mixing until a moistened powder blend is achieved.
6. Compress about 67.80 mg of moistened blend.

MORPHINE SULFATE EFFERVESCENT TABLETS

Bill of Materials

Scale (mg/ tablet)	Item	Material Name	Quantity/ 1000 Tablets (g)
24.00	1	Morphine sulfate	24.00
27.00	2	Sodium bicarbonate	27.00
27.00	3	Citric acid anhydrous	27.00
10.00	4	Microcrystalline cellulose	10.00
10.00	5	Xylitol	10.00
2.00	6	Sucrose stearate	2.00

MANUFACTURING DIRECTIONS

1. Dry morphine sulfate at 100°C for 2 to 4 hours to reduce the moisture content of the material. Dry other ingredients at 40°C to 60°C to significantly reduce the moisture content of the material.
2. Blend items 1 to 6 for 10 minutes and extrude in a hot melt extruder at 70°C to 100°C to soften and melt the thermal binders (sucrose stearate and xylitol) and to form granules containing the effervescent couple.
3. Screen the granules and blend with the ingredients: MS-EGF (30–60 mesh), 50%; microcrystalline cellulose, 31%; mannitol, 10%; Ac-Di-Sol, 5%; aspartame, 3%; redberry flavor, 0.4%; magnesium stearate, 0.5%; Cab-O-Sil M5P, 0.1%, for 5 minutes prior to compression.
4. Compress morphine sulfate tablets to a hardness of approximately 1 to 5 kPa, and tablets should disintegrate in water in approximately 15 to 35 seconds.

MULTIVITAMIN AND BETA-CAROTENE TABLETS

Bill of Materials

Scale (mg/ tablet)	Item	Material Name	Quantity/ 1000 Tablets (g)
7.00	2	Beta-carotene; use beta-carotene dry powder (10%, Pharma)	70.00
2.20	3	Thiamine mononitrate	2.20
2.20	4	Riboflavin	2.20
6.50	5	Nicotinamide	6.50
11.50	6	Calcium D-pantothenate	11.50
2.20	7	Pyridoxine hydrochloride	2.20
0.06	8	Cyanocobalamin; use cyanocobalamin dry powder (0.1%)	60.00
85.00	9	Ascorbic acid (powder)	85.00
32.00	10	Vitamin E acetate (dry powder; SD 50)	32.00
210.00	11	Ludipress®	210.00
7.00	12	Kollidon® VA6 4	7.00
3.00	13	Magnesium stearate	3.00
7.00	14	Orange flavor	7.00
2.50	15	Saccharin sodium	2.50

MANUFACTURING DIRECTIONS

1. Mix all components, pass through a 0.8 mm sieve, mix, and press with medium-compression force.
2. Compress into 448 mg tablets, using 12 mm planar punches.

MULTIVITAMIN AND CARBONYL IRON TABLETS

Bill of Materials			
Scale (per tablet)	Item	Material Name	Quantity/ 1000 Tablets (g)
5000 IU	1	Vitamin A acetate (dry powder; 500,000 IU/g)	10.00
2.20 mg	2	Thiamine mononitrate, BASF	2.20
2.20 mg	3	Riboflavin	2.20
16.50 mg	4	Nicotinamide	16.50
11.50 mg	5	Calcium D-pantothenate	11.50
2.20 mg	6	Pyridoxine hydrochloride	2.20
6.00 mg	7	Cyanocobalamin (dry powder; 0.1%)	6.00
85.00 mg	8	Ascorbic acid (powder)	85.00
31.00 mg	9	Vitamin E acetate (dry powder; SD 50)	31.00
311.00 mg	10	Ludipress®	311.00
10.00 mg	11	Carbonyl iron (powder OF)	10.00
3.00 mg	12	Magnesium stearate	3.00
7.20 mg	13	Orange flavor	7.20
2.50 mg	14	Saccharin sodium	2.50

MANUFACTURING DIRECTIONS

1. Mix all ingredients, pass through a 0.8 mm sieve, mix, and press with high-compression force (20 kN).
2. Compress into 500 mg tablets, using 12 mm biplanar punches.

MULTIVITAMIN AND FLUORIDE CHEWABLE TABLETS

Bill of Materials			
Scale (mg/ tablet)	Item	Material Name	Quantity/ 1000 Tablets (g)
1.20	1	Riboflavin; use coated riboflavin (25% excess)	5.28
0.30	2	Folic acid (powder)	0.31
1.00	3	Fluoride; use sodium fluoride (powder)	2.21
19.50	4	Starch (Bright Yellow 2 LA)	19.50
1.05	5	Pyridoxine; use pyridoxine hydrochloride (6% excess)	4.02
1.05	6	Thiamine HCl; use coated thiamine mononitrate (5% excess)	3.21
13.50	7	Niacin; use nicotinamide	40.20
4.50 µg	8	Vitamin B12; use cyanocobalamin oral powder in starch (10% excess)	5.17
20.00	9	Ascorbic acid; use surface-coated ascorbic acid and sodium salt	21.00
40.00	10	Sodium ascorbate; use surface-coated sodium ascorbate (5% excess)	47.25
7.49	11	Anhydrous citric acid	7.49
15 IU	12	Vitamin E; use vitamin E (D,L- α -tocopherol) (5% excess)	31.50
400 IU (10 µg)	13	Vitamin D; use vitamin D3 beadlets (25% excess)	0.65
9.36	14	Flavor	9.36
2500 IU or 0.75 mg	15	Vitamin A; use vitamin A palmitate beadlets (500 mU/g), USP (60% excess)	8.25
500.60	16	Sugar (compressible)	500.60

MANUFACTURING DIRECTIONS

Manufacture this product at less than 40% relative humidity and a temperature below 26.7°C.

1. If lumpy, hand screen riboflavin through an 8 mesh screen, and then mix with folic acid, sodium fluoride powder, and approximately 3.5 g of Bright Yellow starch in a suitable blender until the yellow color of premix is uniform.
2. Cross-feed the premixed items, pyridoxine hydrochloride, thiamine mononitrate, nicotinamide, cyanocobalamin oral powder in starch, ascorbic acid, citric acid, and vitamin E through an 846 µm screen on a comminuting mill (knives forward, medium speed).

3. Transfer the powders to a suitable blender.
4. Clear mill with a part of the compressible sugar, and transfer to the blender.
5. Load vitamin D3 beadlets, sodium ascorbate, flavor, and vitamin A palmitate into the blender.
6. Blend for 10 minutes.
7. Discharge the contents of the blender into polyethylene-lined drums.
8. Pass the remaining compressible sugar through an 846 μm screen on a comminuting mill (knives forward, medium speed).
9. Transfer to the blender.
10. Screen the material from previous step, magnesium stearate, and the remaining Bright Yellow starch through an 846 μm screen, and transfer to the blender. (*Note:* Mill material not passing through the screen through an 846 μm screen on a comminuting mill at medium speed with knives forward.) Blend for 20 minutes.
11. Discharge blender into polyethylene-lined drums, and weigh for yield.
12. Use precompression, if available, to obtain a tablet with adequate friability.
13. Coat as needed. (See Appendix.)

MULTIVITAMIN AND MINERAL TABLETS

Bill of Materials			
Scale (per tablet)	Item	Material Name	Quantity/ 1000 Tablets (g)
4000 IU/400 IU	1	Vitamin A/vitamin D cristalets (500,000 A/50,000 D per g) (25% excess)	10.00
40.00 mg	2	Vitamin A acetate (powder; 500 MA) (20% excess)	50.00
10.00 mg	3	Thiamine hydrochloride (10% excess)	11.00
5.00 mg	4	Riboflavin	5.00
100.00 mg	5	Nicotinamide niacinamide (white powder)	100.00
200.00 mg	6	Ascorbic acid (white powder) (10% excess)	220.00
20.00 mg	7	Calcium pantothenate (dextro) (30% excess)	26.00
5.00 mg	8	Pyridoxine hydrochloride	5.00
7.33 mg	9	Povidone (K-29-32) ^a	7.33
29.16 mg	10	Anhydrous refined alcohol isopropyl	29.16
24.20 mg	11	Talc powder	24.20
6.07 mg	12	Magnesium stearate (impalpable powder)	6.07
4.75 mg	13	Stearic acid (fine powder)	4.75
10.0 mg	14	Iron; use iron sulfate (dried)	31.26
1.00 mg	15	Copper ^a	1.00
0.15 mg	16	Iodine ^a	0.15
1.00 mg	17	Manganese ^a	1.00
5.00 mg	18	Magnesium ^a	5.00
1.50 mg	19	Zinc ^a	1.50
0.10 mg	20	Cobalt; use cobalt sulfate	0.47
5.00 mg	21	Potassium; use potassium sulfate	11.14
0.20 mg	22	Molybdenum; use sodium molybdate (dihydrate)	0.50
6.00 μg	23	Vitamin B12; use cyanocobalamin (1000 $\mu\text{g/g}$ oral powder in gelatin; 5% excess)	6.30

^a Provided as mineral mix (includes 3% excess).

Bill of Materials: Mineral Mix			
Scale (mg/ Tablet)	Item	Material Name	Quantity/ 1000 Tablets (g)
13.85	1	Copper sulfate with excess	14.28
0.01175	2	Calcium iodate monohydrate	0.01212
0.1228	3	Manganese sulfate monohydrate	0.1267
0.1480	4	Zinc sulfate (pure dry powder)	0.1526

MANUFACTURING DIRECTIONS

1. Mineral mix processing: Grind copper sulfate, calcium iodate, manganese sulfate, and zinc sulfate through FitzMill screen 0 band (high speed, impact forward). *Note:* Vitamin A is susceptible to destruction by oxidation and also excessive exposure to actinic light and moisture. Compression of this tablet should be done with relative humidity less than 40%. Protect granulation with CO₂ if material is not to be compressed soon after granulation.
2. Hand screen vitamin A and D crystallites and vitamin A acetate through 1.2 mm aperture screen.
3. Load into mass mixer (screen using 1.2 mm aperture screen, if necessary) thiamine HCl, riboflavin, nicotinamide, ascorbic acid, calcium pantothenate, pyridoxine HCl, and the vitamin A and D mix.
4. Blend for 10 minutes.
5. Dissolve povidone in alcohol (~26 mL).
6. Add povidone solution to blended materials, and mix for 5 minutes.
7. Scrape mixer, and then add alcohol to mass.
8. Pass wet mass through a 15.88 mm aperture (or similar), band-fitted to rotary granulator. (*Note:* Wet mass can set hard; therefore, granules should be spread quickly onto trays.) Dry the granulation at 49°C until LOD is less than 1.0%.
9. Pass the dried granulation through a 1.2 mm aperture screen fitted to an oscillating granulator.
10. Mill the talc (item 11), magnesium stearate, stearic acid, iron sulfate, mineral mix, cobalt sulfate, potassium sulfate, and sodium molybdate through a 595 µm aperture screen at high speed, impact forward.
11. Load half of the granulation into a suitable blender; add mineral mix and cyanocobalamin oral powder.
12. Add balance of granulation and blend for 30 minutes.
13. Compress and coat using a sealing subcoating of polyvinylpyrrolidone (PVP) (see Appendix), followed by HPMC coating solution and clear Methocel gloss.

MULTIVITAMIN AND MINERAL TABLETS WITH BETA-CAROTENE

Bill of Materials			
Scale (mg/ tablet)	Item	Material Name	Quantity/ 1000 Tablets (g)
150.00	1	Beta-carotene (dry powder; 10%)	150.00
2.50	2	Thiamine mononitrate	2.50
2.90	3	Riboflavin	2.90
2.00	4	Pyridoxine hydrochloride	2.00
22.00	5	Nicotinamide	22.00
12.00	6	Calcium D-pantothenate	12.00
110.00	7	Ascorbic acid for direct compression	110.00
550.00	8	Calcium phosphate (dibasic)	550.00
82.00	9	Ferrous fumarate	82.00
166.00	10	Magnesium oxide	166.00
2.50	11	Cupric sulfate	2.50
13.80	12	Manganese sulfate	13.80
57.20	13	Potassium chloride	57.20
37.00	14	Zinc sulfate	37.00
57.00	15	Avicel™ PH102	57.00
50.00	16	Kollidon® CL	50.00
5.70	17	Stearic acid	5.70
5.00	18	Magnesium stearate	5.00

MANUFACTURING DIRECTIONS

1. Mix all components, pass through a 0.8 mm sieve, and press with high-compression force.
2. Compress into 1300 mg tablets, using 16 mm biplanar punches.

MULTIVITAMIN + CALCIUM + IRON TABLETS (1 RDA OF VITAMINS)

Formulation: Vitamin A acetate dry powder, 5.0 g, 500,000 IU/g (BASF); Vitamin D dry powder, 2.0 g, 100,000 IU/g; thiamine mononitrate, 1.2 g; riboflavin, 1.8 g; nicotinamide, 12.0 g; vitamin E acetate dry powder SD 50 4.0 g; ascorbic acid, powder, 50.0 g; ferrous fumarate, 60.0 g; dibasic calcium phosphate, 200.0 g; granulated with 5% Kollidon® 30; calcium carbonate, 125.0 g; Avicel™ PH 101, 45.0 g; Aerosil® 200, 1.5 g.

MANUFACTURING DIRECTIONS

Mix all components, pass through a sieve, and press to tablets at 500 mg.

MULTIVITAMIN, CALCIUM, AND IRON TABLETS

Bill of Materials			
Scale (mg/ tablet)	Item	Material Name	Quantity/ 1000 Tablets (g)
5.00	1	Vitamin A acetate (dry powder)	5.00
2.00	2	Vitamin D (dry powder; 500,000 IU/g)	2.00
1.20	3	Thiamine mononitrate (100,000 IU/g)	1.20
1.80	4	Riboflavin, BASF	1.80
12.00	5	Nicotinamide	12.00
4.00	6	Vitamin E acetate (dry powder; SD 50)	4.00
50.00	7	Ascorbic acid (powder), BASF	50.00
60.00	8	Ferrous fumarate	60.00
200.00	9	Dibasic calcium phosphate granulated with 5% Kollidon® 30	200.00
125.00	10	Calcium carbonate	125.00
45.00	11	Avicel™ PH101	45.00
1.50	12	Aerosil® 200	1.50

MANUFACTURING DIRECTIONS

1. Mix all components, pass through a sieve, and press to tablets.
2. Compress into 500 mg tablets, using 11 mm biplanar punches.

MULTIVITAMIN + CARBONYL IRON TABLETS (1–2 RDA OF VITAMINS)

Formulation: Vitamin A acetate dry powder 500,000 IU/g, 10.0 g; thiamine mononitrate, 2.2 g; riboflavin, 2.2 g; nicotinamide, 16.5 g; calcium D-pantothenate, 11.5 g; pyridoxine hydrochloride, 2.2 g; cyanocobalamin, dry powder 0.1%, 6.0 g; ascorbic acid, powder, 85.0 g; vitamin E acetate dry powder SD 50, 31.0 g; Ludipress®, 311.0 g; carbonyl iron powder, 10.0 g; magnesium stearate, 3.0 g; orange flavor, 7.2 g; saccharin sodium, 2.5 g.

MANUFACTURING DIRECTIONS

1. Mix all ingredients, pass through a 0.8 mm sieve, mix, and press with high-compression force (20 kN) at 500 mg.

MULTIVITAMIN CHEWABLE TABLETS FOR CHILDREN

Formulation: Vitamin A acetate dry powder, 7.0 g, 500,000 IU/g; thiamine mononitrate, 1.2 g; riboflavin, 1.2 g; nicotinamide, 20.0 g; pyridoxine hydrochloride, 1.8 g; cyanocobalamin 0.1%, dry powder, 6.5 g; ascorbic acid, powder, 60.0 g; vitamin D3 acetate dry powder, 100,000 IU/g, 5.0 g; vitamin E acetate, 31.0 g; dry powder SD 50 4.0 g; sorbitol, crystalline, 200.0 g; sucrose, crystalline, 200.0 g; Kollidon® VA 64, 20.0 g; Aerosil® 200, 1.0 g; orange flavor, dry powder, 30.0 g; raspberry flavor, dry powder, 6.0 g; passion fruit flavor, dry powder, 3.0 g; cyclamate sodium, 2.0 g.

MANUFACTURING DIRECTIONS

1. Mix all ingredients, pass through a 0.8 mm sieve, and press with medium- to high-compression force (20 kN) at 575 mg.

MULTIVITAMIN CHEWABLE TABLETS FOR CHILDREN

Bill of Materials			
Scale (per tablet)	Item	Material Name	Quantity/ 1000 Tablets (g)
3500 IU	1	Vitamin A acetate (dry powder; 500,000 IU/g)	7.00
1.20 mg	2	Thiamine mononitrate	1.20
1.20 mg	3	Riboflavin	1.20
20.00 mg	4	Nicotinamide	20.00
1.80 mg	5	Pyridoxine hydrochloride	1.80
6.50 mg	6	Cyanocobalamin (dry powder; 0.1%), BASF	6.50
60.00 mg	7	Ascorbic acid (powder)	60.00
5.00 mg	8	Vitamin D3 acetate (dry powder; 100,000 IU/g)	5.00
31.00 mg	9	Vitamin E acetate (dry powder, SD 50)	31.00
200.00 mg	10	Sorbitol (crystalline)	200.00
200.00 mg	11	Sucrose (crystalline)	200.00
20.00 mg	12	Kollidon® VA 64	20.00
1.00 mg	13	Aerosil® 200	1.00
30.00 mg	14	Orange flavor (dry powder)	30.00
6.00 g	15	Raspberry flavor (dry powder)	6.00
3.00 mg	16	Passion fruit flavor (dry powder)	3.00
2.00 mg	17	Cyclamate sodium	2.00

MANUFACTURING DIRECTIONS

1. Mix all ingredients, pass through a 0.8 mm sieve, and press with medium- to high-compression force (20 kN).
2. Compress into 575 mg tablets, using 12 mm biplanar punches.

MULTIVITAMIN EFFERVESCENT TABLETS

Bill of Materials			
Scale (mg/ tablet)	Item	Material Name	Quantity/ 1000 Tablets (g)
13.00	1	Thiamine mononitrate	13.00
4.00	2	Riboflavin	4.00
11.00	3	Pyridoxine hydrochloride	11.00
66.00	4	Nicotinamide	66.00
17.00	5	Calcium D-pantothenate	17.00
360.00	6	Tartaric acid (powder)	360.00
550.00	7	Sodium bicarbonate	550.00
300.00	8	Sucrose (crystalline)	300.00
300.00	9	Sucrose (powder)	300.00
35.00	10	Kollidon® 30	35.00
5.00	11	Kollidon® 30	5.00
QS	12	Isopropanol	~80.00
6.00	13	Riboflavin	6.00
550.00	14	Ascorbic acid (powder)	550.00
20.00	15	Cyanocobalamin (dry powder, 0.1%)	20.00
12.00	16	Vitamin A palmitate (250,000 IU/g dry powder CWD)	12.00
60.00	17	Vitamin E acetate (dry powder; 50%)	60.00
80.00	18	PEG-6000 (powder)	80.00
100.00	19	Kollidon® CL	100.00

MANUFACTURING DIRECTIONS

1. Granulate the mixture of items 1 to 10 with solution of items 11 and 12; dry at 60°C with vacuum.
2. Mix with items 13 to 19, and press with high-compression force at maximum 30% of relative atmospheric humidity.
3. Compress into 2.5 g tablets, using 20 mm biplanar punches.

MULTIVITAMIN EFFERVESCENT TABLETS

Bill of Materials			
Scale (mg/ tablet)	Item	Material Name	Quantity/ 1000 Tablets (g)
5.50	1	Thiamine mononitrate	5.50
5.50	2	Riboflavin	5.50
6.50	3	Pyridoxine hydrochloride	6.50
60.00	4	Nicotinamide	60.00
30.00	5	Calcium D-pantothenate	30.00
200.00	6	Ascorbic acid (powder)	200.00
0.20	7	Cyanocobalamin (dry powder, 0.1%)	20.00
30.00	8	Vitamin A acetate (dry powder; 325,000 IU/g CWD)	30.00
55.00	9	Vitamin E acetate (dry powder; 50%)	110.00
500.00	10	Citric acid (powder)	500.00
400.00	11	Tartaric acid (powder)	400.00
500.00	12	Sodium bicarbonate	500.00
600.00	13	Ludipress®	600.00
70.00	14	PEG-6000 (powder)	70.00
0.50	15	Saccharin sodium	0.50
40.00	16	Cyclamate sodium	40.00
200.00	17	Sucrose, crystalline	200.00
200.00	18	Fructose	200.00
100.00	19	Flavors (Firmenich)	100.00

MANUFACTURING DIRECTIONS

1. Mix all components, and sieve through a 0.8 mm screen.
2. Press with high-compression force at maximum 30% relative atmospheric humidity.
3. Compress into 3 g tablets, using 20 mm biplanar punches.

MULTIVITAMIN EFFERVESCENT TABLETS I,
DC (1–2 RDA OF VITAMINS)

Formulation: Lucarotene dry powder 10%, 23.0 g, CWD G/Y; dry vitamin E acetate 50% DC, 40.0 g; thiamine mononitrate, 2.0 g; riboflavin C, 2.0 g; nicotinamide, 22.0 g; calcium D-pantothenate, 11.0 g; pyridoxine hydrochloride, 2.0 g; cyanocobalamin 0.1% dry powder, 6.0 g; ascorbic acid, powder, 85.0 g; Ludipress® LCE, 477.0 g; sodium bicarbonate, 600.0 g; tartaric acid, 400.0 g; polyethylene glycol 6000, powder, 90.0 g; orange flavor (Dragoco), 60.0 g; aspartame (Searle), 30.0 g.

MANUFACTURING DIRECTIONS

1. Mix all components, pass through a 0.8 mm sieve, mix, and press with high-compression force at a maximum of 30% of relative atmospheric humidity at 1850 mg.

MULTIVITAMIN EFFERVESCENT TABLETS WITH BETA-CAROTENE

Bill of Materials

Scale (mg/ tablet)	Item	Material Name	Quantity/ 1000 Tablets (g)
2.00	1	Thiamine mononitrate	2.00
2.00	2	Riboflavin	2.00
2.00	3	Pyridoxine hydrochloride	2.00
22.00	4	Nicotinamide	22.00
11.00	5	Calcium D-pantothenate	11.00
400.00	6	Tartaric acid (powder)	400.00
300.00	7	Lactose monohydrate	300.00
100.00	8	Cornstarch	100.00
3.00	9	Cornstarch	3.00
50.00	10	Water	50.00
23.00	11	Beta-carotene (dry powder; 10% CWD; food grade)	23.00
6.00	12	Cyanocobalamin (powder; 0.1%)	6.00
85.00	13	Ascorbic acid (powder)	85.00
40.00	14	Vitamin E acetate (dry powder; 50%)	40.00
600.00	15	Sodium bicarbonate	600.00
80.00	16	Flavors	80.00
QS	17	Saccharin sodium	QS

MANUFACTURING DIRECTIONS

1. Granulate mixture of items 1 to 6 with solution of items 9 and 10 prepared at 70°C.
2. Dry and sieve; add items 11 to 17, pass through a 0.4 mm sieve, and press with high-compression force at maximum 30% of relative atmospheric humidity.
3. Compress into 1.63 g tablets, using 16 mm biplanar punches.

MULTIVITAMIN EFFERVESCENT TABLETS, DC (3–4 RDA OF VITAMINS)

Formulations: Thiamine mononitrate, 5.5 g; riboflavin, 5.5 g; pyridoxine hydrochloride, 6.5 g; nicotinamide, 60.0 g; calcium D-pantothenate, 30.0 g; ascorbic acid, powder, 200.0 g; cyanocobalamin 0.1% dry powder, 20.0 g; vitamin A palmitate dry powder 325,000 IU/g CWD, 30.0 g; vitamin E acetate dry powder 50%, 110.0 g; tartaric acid, powder, 400.0 g; sodium bicarbonate, 500.0 g; Ludipress®, 600.0 g; polyethylene glycol 6000, powder, 70.0 g; saccharin sodium, 0.5 g; cyclamate sodium, 40.0 g; sucrose, crystalline, 200.0 g; fructose, 200.0 g; flavors (Firmenich), 100.0 g.

MANUFACTURING DIRECTIONS

1. Mix all components, sieve through a 0.8 mm screen, and press with high-compression force at maximum 30% relative atmospheric humidity.

MULTIVITAMIN + MINERALS TABLETS WITH BETA-CAROTENE (1 RDA OF VITAMINS)

Formulation: Beta-carotene dry powder, Betavit 20%, 16.5 g; thiamine mononitrate, 1.7 g; riboflavin, 1.9 g; nicotinamide (Degussa), 22.0 g; calcium D-pantothenate, 12.0 g; pyridoxine hydrochloride, 2.2 g; ascorbic acid, cryst., 72.0 g; vitamin E acetate dry powder 50%, 66.0 g; ferrous fumarate, 54.7 g; magnesium oxide, high density type, 165.8 g; copper II oxide, powder, 2.5 g; manganese sulfate, 6.9 g; zinc oxide, 18.7 g; potassium chloride (Baker), 76.3 g; dicalcium phosphate, DI-TAB, 91,550.0 g; Avicel™ PH 102, 60.0 g; croscarmellose, 32.0 g; Syloid® 244 FP (Grace), 6.0 g; stearic acid, 6.0 g; magnesium stearate, 6.0 g.

MANUFACTURING DIRECTIONS

1. Pass all ingredients through a 0.8 mm sieve, blended in a mixer, and then compress with medium- to high-compression force at 1193 mg.

MULTIVITAMIN TABLET CORES WITH BETA-CAROTENE (1–2 RDA OF VITAMINS)

Formulation: Vitamin A acetate dry powder, 1.27%, 500,000 IU/g; beta-carotene dry powder BetaVit 10%, 11.50%; thiamine mononitrate, 1.24%; riboflavin, 0.96%; nicotinamide, 11.50%; calcium D-pantothenate, 1.91%; pyridoxine hydrochloride, 1.15%; cyanocobalamin gelatin coated 1%, 2.86%; D-biotin, 1% trituration, 1.91%; folic acid, 0.09%; ascorbic acid, 38.20%; vitamin D3 dry powder 100,000 IU/g, 0.76%; vitamin E acetate dry powder 50 DC, 28.40%; phytomenadione dry powder 5% (GFP 0.19%), 270.2 g; Ludipress®, 69.1 g; magnesium stearate, 3.3 g.

MANUFACTURING DIRECTIONS

1. Pass all components through a 0.8 mm sieve, mix, and press with high-compression force at 459 mg.

MULTIVITAMIN TABLETS

Bill of Materials			
Scale (mg/ tablet)	Item	Material Name	Quantity/ 1000 Tablets (g)
10.00	1	Thiamine mononitrate (powder), USP (5% excess; 5–10%)	10.50
5.00	2	Riboflavin, USP	5.00
100.00	3	Nicotinamide niacinamide (white powder), USP	100.00
200.00	4	Ascorbic acid; use sodium ascorbate (microcrystalline) (2% excess)	229.47
20.00	5	Calcium pantothenate; use calcium pantothenate racemic (20% excess)	2.00
5.00	6	Pyridoxine hydrochloride, USP	5.00
6.10	7	Povidone (PVP K-25), USP	6.10
—	8	Alcohol dehydrated (200 proof), USP	25.00 mL
21.90	9	PEG-8000, NF	21.90
25,000 IU	10	Vitamin A (275,000 IU ^a) (20% excess)	7.50 mg
400 IU	11	Vitamin D as D2 powder (850 mD ^a)	1.77
6.00	12	Vitamin B12 oral powder in gelatin (5% excess)	6.30
16.00	13	PEG-8000 (milled), NF	16.00
5.30	14	Magnesium stearate	5.30
23.20	15	Talc	23.20

^a Adjust quantities according to regulatory allowance for OTC label.

MANUFACTURING DIRECTIONS

Vitamin A is susceptible to destruction by oxidation and also excessive exposure to actinic light and moisture. Oxidation and destruction are catalyzed by traces of copper and other heavy metals. Dry granulation and compression of this tablet should be done where relative humidity is less than 40%. Protect with CO₂ at blending and storage stages.

1. Load the following into a suitable mixer (screen if necessary): thiamine mononitrate, riboflavin, nicotinamide, sodium ascorbate, calcium pantothenate, and pyridoxine HCl.
2. Dissolve PVP (item 7) in approximately 16 mL alcohol.
3. Add PVP solution to the powders from first step, and QS with alcohol to mass.
4. Granulate the mass through a 4 mesh (4.76 mm aperture, or similar) screen.
5. Dry at 50°C until the LOD is below 1.0%.
6. Grind to 16 mesh (1.2 mm, or similar).

7. Melt the PEG-8000 (item 9, and incorporate vitamins A and D with thorough agitation.
8. Mix until mass cools and becomes granular.
9. Screen through a 16 mesh (1.2 mm aperture, or similar) screen, and grind coarse material through a FitzMill, or similar, No. 2 band (1.59 mm aperture, or similar) at slow speed or a 16 mesh (1.2 mm aperture, or similar).
10. Reserve for lubrication.
11. Mix milled PEG-8000 (item 13) with talc and magnesium stearate, and pass through a FitzMill, using a 60 mesh (250 μm aperture, or similar) screen (impact forward, high speed).
12. If a FitzMill is unavailable, pass the mixture through a 30 mesh (595 μm aperture, or similar) screen.
13. Load base granulation into a mixer along with vitamin B12, the mixture from step 12, and the PEG-coated vitamin A and D mixture from the first step. Blend thoroughly.
14. Store dry mixed granulation with CO₂ protection.
15. Compress.
16. Apply a PVP subcoat, a CAP-carbowax or other aqueous coating, and finish with a polish coat. (See Appendix.)

MULTIVITAMIN TABLETS

Bill of Materials			
Scale (mg/ tablet)	Item	Material Name	Quantity/ 1000 Tablets (g)
10.00	1	Riboflavin	10.00
100.00	2	Niacinamide (white powder)	100.00
5.00	3	Pyridoxine hydrochloride (15% excess)	5.75
15.00	4	Thiamine mononitrate (powder) (5% excess)	15.75
500.00	5	Ascorbic acid, EP	500.00
100.00	6	Lactose	100.00
40.00	7	Povidone (K-29–32)	40.00
100.00	8	Cellulose microcrystalline (Avicel™ PH101)	100.00
—	9	Alcohol SD 3A (200 proof)	QS
20.00	10	Calcium pantothenate; use racemic calcium pantothenate, USP (80 mesh; 15% excess)	23.00
11.50	12	Magnesium oxide (light powder calcined)	11.50
500.00	13	Ascorbic acid	500.00
3.83	14	Povidone (K-29–32)	3.83
—	15	Alcohol SD 3A (200 proof)	QS
4.00 μg	16	Vitamin B12; use vitamin B12 oral powder in gelatin (15% excess)	4.60
28.00	17	Acid stearic	28.00
9.60	18	Magnesium stearate	9.60

MANUFACTURING DIRECTIONS

1. Dry blend riboflavin, niacinamide, pyridoxine hydrochloride, thiamine mononitrate, ascorbic acid (item 5), and lactose for 10 minutes.
2. Dissolve povidone (item 7) in 75 mL of alcohol (item 9).
3. While mixing in mass mixer, add povidone solution to mass, and continue mixing for 10 minutes, or until a satisfactory granule mass is obtained.
4. Additional alcohol may be added, if required.
5. Granulate the mass through a 15.9 mm screen using a comminuting mill (knives forward, slow speed) or a 4 mm screen on an oscillating granulator.
6. Dry the granules between 41°C and 49°C in a hot air oven (for approximately 8 hours) or fluid-bed dryer until moisture content is below 1.5%.
7. Dry screen the granule through a 1 mm screen on an oscillating granulator.
8. Dry blend the calcium pantothenate and magnesium oxide in a suitable mixer for 10 minutes.
9. Dissolve povidone (item 14) in 20 mL alcohol (item 15).
10. While mixing, add povidone solution, and mix to produce a suitable mass.
11. Additional alcohol may be added, if required.
12. Granulate the mass through a 15.9 mm aperture screen using a comminuting mill (knives forward, slow speed) or a 4 mm screen on a oscillating granulator.
13. Dry the granule at 45°C in a hot air oven until moisture content is below 1.5%.
14. Dry screen granule through a 1.0 mm screen on an oscillating granulator.
15. Mix the two granules made separately in a suitable mixer.
16. Add vitamin B12 powder, and blend for 10 minutes. If necessary, screen the stearic acid and magnesium stearate through a 250 µm screen.
17. Add the remainder of the granule together with magnesium stearate and stearic acid to the mixer and blend for 10 minutes.
18. Compress and coat. (See Appendix.)

MULTIVITAMIN TABLETS

Bill of Materials			
Scale (mg/ tablet)	Item	Material Name	Quantity/ 1000 Tablets (g)
10.00	1	Riboflavin	10.00
100.00	2	Niacinamide (white powder)	100.00
5.00	3	Pyridoxine hydrochloride (15% excess)	5.75
15.00	4	Thiamine mononitrate (powder) (5% excess)	15.75
40.00	7	Povidone (K-29-32)	40.00
25.00	8	Povidone (K-29-32)	25.00
—	9	Alcohol SD3A (200 proof)	QS
13.50	10	Stearic acid (fine powder)	13.50
2.70	11	Magnesium stearate	2.70

MANUFACTURING DIRECTIONS

1. Mill niacinamide, riboflavin, pyridoxine hydrochloride, and thiamine mononitrate through a 500 µm screen on a comminuting mill (impact forward, slow speed).
2. Load screened material from previous step into a mass mixer, add povidone (item 7) and dry blend for 5 to 15 minutes.
3. While mixing in the mass mixer, add alcohol (item 9) to mass, and continue mixing for 10 minutes or until a satisfactory granule mass is obtained.
4. If necessary, granulate the mass through a 15.9 mm screen using a comminuting mill (knives forward, slow speed) or a 4 mm screen on an oscillating granulator.
5. Dry the granule between 41°C and 49°C in a hot air oven (for approximately 8 hours) or fluid-bed dryer until moisture content is below 1.5%.
6. Dry screen the granules through a 1.0 mm screen on an oscillating granulator.
7. Load ascorbic acid and povidone (item 8) into the mixer and dry blend for 10 minutes.
8. While mixing, add 15 mL of alcohol (item 9), and mix until a satisfactory mass is formed, adding more alcohol if necessary. If necessary, screen through a 4.00 mm screen and load onto trays.
9. Dry at 49°C for 8 hours.
10. Dry screen the granules through a 1.0 mm aperture screen on an oscillating granulator.
11. Screen magnesium stearate and stearic acid through a 500 µm aperture screen.
12. Mix the two granules, add the screened lubricants, and blend for 20 minutes.
13. Coat with a protective subcoat, a color coat, and a polish coat (see Appendix).

MULTIVITAMIN TABLETS

Bill of Materials			
Scale (mg/ tablet)	Item	Material Name	Quantity/ 1000 Tablets (g)
10.00	1	Vitamin A acetate (dry powder; 500,000 IU/g)	10.00
2.20	2	Thiamine mononitrate	2.20
2.20	3	Riboflavin	2.20
16.50	4	Nicotinamide	16.50
11.50	5	Calcium D-pantothenate	11.50
2.20	6	Pyridoxine hydrochloride	2.20
6.00	7	Cyanocobalamin (dry powder, 0.1%)	6.00
85.00	8	Ascorbic acid (powder)	85.00
31.00	9	Vitamin E acetate (dry powder; SD 50)	31.00
321.00	10	Ludipress ^{®a}	321.00
21.00	11	Kollidon [®] VA 64	21.00
3.00	12	Magnesium stearate	3.00
7.20	13	Orange flavor	7.20
2.50	14	Saccharin sodium	2.50

^a Can be replaced with 300 g of microcrystalline cellulose (Vitalcel-μ).

MANUFACTURING DIRECTIONS

- Mix all components, pass through a 0.8 mm sieve, mix, and press with medium-compression force (15 kN).
- Compress into 500 mg tablets, using 12 mm biplanar punches.

MULTIVITAMIN TABLETS

Bill of Materials			
Scale (mg/ tablet)	Item	Material Name	Quantity/ 1000 Tablets (g)
2.00	1	Thiamine hydrochloride, with excess	2.20
2.20	2	Riboflavin	2.20
11.00	3	Calcium D-pantothenate	11.00
2.20	4	Pyridoxine hydrochloride	2.20
300.00	5	Mannitol	300.00
20.00	6	Kollidon [®] 30 or Kollidon [®] VA 64	20.00
—	7	Isopropanol	~80
5000 IU vitamin A, 500 IU vitamin D	8	Vitamin A and vitamin D; use crystallets of vitamin A acetate + vitamin D3 dry powder (500,000+50,000 IU/g) (10% excess)	11.00
31.00	9	Vitamin E acetate (dry powder; SD 50)	31.00
0.06	10	Cyanocobalamin; use gelatin-coated cyanocobalamin (0.1%)	60.00
80.00	11	Ascorbic acid (crystalline)	80.00
20.00	12	Nicotinamide	20.00
65.00	13	Avicel [™] PH101	65.00
7.00	14	Orange flavor	7.00
2.00	15	Saccharin sodium	2.00
3.00	16	Magnesium stearate	3.00

MANUFACTURING DIRECTIONS

- Granulate mixture of items 1 to 5 with solution of items 6 and 9.
- Pass through a 0.8 mm sieve, mix with items 8 to 16, and press with medium-compression force.
- Compress into 560 mg tablets, using 12 mm biplanar punches.

MULTIVITAMIN TABLETS FOR DOGS

Formulation: Vitamin A+D3 dry powder, 4.0 g, 500,000+50,000 IU/g; thiamine mononitrate, 0.5 g; riboflavin, 0.7 g; nicotinamide, 5.0 g; calcium D-pantothenate, 1.0 g; pyridoxine hydrochloride, 0.5 g; cyanocobalamin gelatin-coated 1%, 0.5 g; folic acid, 0.05 g; choline bitartrate, 20.0 g; vitamin E acetate dry powder SD 50, 20.0 g; Ludipress[®], 196.0 g; magnesium stearate, 2.0 g.

MANUFACTURING DIRECTIONS

- Pass all components through a 0.8 mm sieve, mix, and press with low-compression force at 250 mg.

MULTIVITAMIN TABLETS FOR DOGS

Bill of Materials			
Scale (mg/ tablet)	Item	Material Name	Quantity/ 1000 Tablets (g)
2000 IU Vitamin A, 200 IU Vitamin D	1	Vitamin A + vitamin D3 (dry powder; 500,000 + 50,000 IU/g)	4.00
0.50	2	Thiamine mononitrate	0.50
0.70	3	Riboflavin	0.70
5.00	4	Nicotinamide	5.00
1.00	5	Calcium D-pantothenate	1.00
0.50	6	Pyridoxine hydrochloride	0.50
0.50	7	Cyanocobalamin (gelatin-coated, 1%)	0.50
0.05	8	Folic acid	0.05
20.00	9	Choline bitartrate	20.00
20.00	10	Vitamin E acetate (dry powder, SD 50)	20.00
196.00	11	Ludipress®	196.00
2.00	12	Magnesium stearate	2.00

MANUFACTURING DIRECTIONS

1. Pass all components through a 0.8 mm sieve, mix, and press with low-compression force.
2. Compress into 250 mg tablets, using 8 mm biplanar punches.

MULTIVITAMIN TABLETS WITH BETA-CAROTENE

Bill of Materials			
Scale (mg/ tablet)	Item	Material Name	Quantity/ 1000 Tablets (g)
1.00	1	Beta-carotene; use beta-carotene dry powder (Betavit, 10%)	10.00
2.00	2	Thiamine mononitrate	2.00
2.00	3	Riboflavin	2.00
16.00	4	Nicotinamide	16.00
11.00	5	Calcium D-pantothenate	11.00
2.00	6	Pyridoxine hydrochloride	2.00
0.06	7	Cyanocobalamin; use cyanocobalamin dry powder (0.1%)	6.00
85.00	8	Ascorbic acid (powder)	85.00
31.00	9	Vitamin E acetate (dry powder; SD 50)	31.00
321.00	10	Ludipress®	321.00
7.00	11	Kollidon® VA 64	7.00
3.00	12	Magnesium stearate	3.00
7.00	13	Orange flavor	7.00
2.00	14	Saccharin sodium	2.00

MANUFACTURING DIRECTIONS

1. Mix all components, pass through a 0.8 mm sieve, mix, and press with medium-compression force.
2. Compress into 508 mg tablets, using 12 mm planar punches.

MULTIVITAMIN TABLETS WITH COPPER AND ZINC

Formulation: Vitamin mixture (thiamine mononitrate), 3.9%; riboflavin, 0.4%; nicotinamide, 10.1%; calcium D-pantothenate, 2.9%; pyridoxine hydrochloride, 1.2%; cyanocobalamin gelatin coated 0.1%, 2.6%; folic acid, 0.1%; ascorbic acid fine powder, 63.4%; vitamin E acetate dry powder 500 SD, 9.1%; copper oxide, 0.3%; zinc sulfate 6.0%, 1000 g; Aerosil, 200.5 g; Ludipress®, 150 g; Avicel™ PH102, 120 g; Kollidon® VA64, 25 g; magnesium stearate, 10 g; talc, 10 g.

MANUFACTURING DIRECTIONS

1. Pass all components through a 0.8 mm sieve, mix, and press with high-compression force at 1350 mg.

MULTIVITAMIN TABLETS, DC (1–2 RDA OF VITAMINS)

Formulation: Vitamin A acetate dry powder, 10.0 g, 500,000 IU/g; thiamine mononitrate, 2.2 g; riboflavin, 2.2 g; nicotinamide, 16.5 g; calcium D-pantothenate, 11.5 g; pyridoxine hydrochloride, 2.2 g; cyanocobalamin 0.1% dry powder, 6.0 g; ascorbic acid, powder, 85.0 g; vitamin E acetate dry powder SD 50, 31.0 g; Ludipress®, 321.0 g; magnesium stearate, 3.0 g; orange flavor, 7.2 g; saccharin sodium, 2.5 g.

MANUFACTURING DIRECTIONS

1. Mix all components, pass through a 0.8 mm sieve, mix, and press with medium-compression force (15 kN).

MULTIVITAMIN WITH BETA-CAROTENE TABLETS

Bill of Materials			
Scale (mg/ tablet)	Item	Material Name	Quantity/ 1000 Tablets (g)
2.85 IU	1	Vitamin A acetate (dry powder; 500,000 IU/g)	5.47
5.00	2	Beta-carotene; use beta-carotene dry powder (Betavit, 10%)	50.00
15.34	3	Thiamine mononitrate	15.34
4.13	4	Riboflavin	4.13
50.00	5	Nicotinamide	50.00
8.23	6	Calcium D-pantothenate	8.23
5.00	7	Pyridoxine hydrochloride	5.00
0.04	8	Cyanocobalamin; use gelatin-coated cyanocobalamin (1%)	4.00
0.04	9	D-biotin; use 1% trituration	4.00
0.38	10	Folic acid	0.38
165	11	Ascorbic acid	165
327	12	Vitamin D3 (dry powder; 100,000 IU/g)	327
122.00	13	Vitamin E acetate (dry powder; SD 50)	122.00
0.41	14	Phytomenadione; use phytomenadione dry powder (5% GFP)	0.82

MANUFACTURING DIRECTIONS

1. Pass all components through a 0.8 mm sieve, mix, and press with high-compression force.
2. Compress into 432 mg tablets, using 12 mm biplanar punches.

MULTIVITAMIN WITH ZINC TABLETS

Bill of Materials			
Scale (mg/ tablet)	Item	Material Name	Quantity/ 1000 Tablets (g)
100.00	1	Niacin; use niacinamide (white powder)	99.20
750.00	2	Ascorbic acid; use microcrystalline sodium ascorbate ^a	843.68
20.00	3	Vitamin B6; use pyridoxine hydrochloride	34.03
QS	4	Povidone	40.00
15.00	5	Thiamine hydrochloride; use thiamine mononitrate (powder)	17.47
15.00	6	Riboflavin with excess	16.50
20.00	7	Pantothenic acid; use calcium pantothenate	32.60
0.49	8	Folic acid (powder) with excess	0.52
12.00 µg	9	Vitamin B12; use cyanocobalamin oral powder in gelatin 1:1000	15.00
60.00	10	Vitamin E (D,L- α -tocopherol acetate)	60.00
—	11	Alcohol SD 3A (200 proof)	138 mL
22.50	12	Elemental zinc (pure zinc sulfate powder)	55.61
4.00	13	Povidone	4.00
—	14	Alcohol SD 3A (200 proof)	4 mL
—	15	Alcohol SD 3A (200 proof)	9 mL
10.80	16	Magnesium stearate	10.80
40.00	17	Cellulose microcrystalline	40.00
3.20	18	Silicon dioxide colloidal	3.20
6.00	19	Colloidal silicon dioxide	6.00

^a May use ascorbic acid (750.00 g) instead.

The quantity of povidone is reduced to 6.34 g, and the amount of alcohol SD used is adjusted.

MANUFACTURING DIRECTIONS

1. Mill niacinamide, sodium ascorbate, pyridoxine, povidone (item 4), and thiamine through a comminuting mill with hammers (impact forward) at high speed and fitted with a 0 band (686 µm aperture, or similar) screen.
2. Load millings into mass mixer.
3. Screen riboflavin, calcium pantothenate, folic acid, vitamin B12, and vitamin E through 840 µm screen.
4. Load into mass mixer, and dry mix for 5 to 10 minutes.
5. Add 89 mL alcohol to powder while mixing.
6. Add additional alcohol, if required (approximately 49 mL), to achieve satisfactory granulation.

7. Pass wet mass through 5/8 in. band (15.88 mm aperture, or similar) screen and spread out on paper-lined trays.
8. Dry granulation at 49°C, and dry until LOD is not more than 1.5%.
9. Sift dry granule through 1.19 mm screen, and coarse grind granule through a No. 2 band (1.59 mm aperture, or similar) screen fitted on a comminuting mill (knives forward, medium speed) to polyethylene-lined drums.
10. Mill zinc sulfate and povidone through a comminuting mill fitted with a 0 band (686 µm aperture, or similar) screen at high speed with impact (hammers) forward.
11. Load millings into mass mixer for 5 to 10 minutes.
12. Add 3.3 mL alcohol (item 14) to powders from first step while mixing.
13. If necessary, use additional alcohol (up to 0.83 mL) to achieve satisfactory granulation.
14. Granulate wet mass through 5/8 in. band (15.88 mm aperture, or similar) screen, and spread out on paper-lined trays.
15. Dry granule at 49°C, and dry until LOD is not more than 1.5%.
16. Sift dry granule through 1.19 mm screen, and coarse grind granule through a No. 2 band (1.59 mm aperture, or similar) screen fitted on a comminuting mill (knives forward, medium speed) and transfer to polyethylene-lined drums.
17. Load approximately 1/10th of vitamin granulation into blender.
18. Premix magnesium stearate, microcrystalline cellulose, and silicon dioxide in a bowl, and sift through 840 µm screen into blender.
19. Load another 1/10th of vitamin granulation into blender, and blend for 5 minutes.
20. Discharge a portion of granulation from the blender, and check for white lumps.
21. If lumps are present, discharge entire granulation through a 1.68 mm aperture screen to break lumps, and then return it to blender.
22. Load zinc granulation into the blender.
23. Load remaining vitamin granulation into blender, and blend for 15 minutes.
24. Discharge blender into polyethylene-lined drums, tie liners, close and seal drums, and deliver to storage area.
25. Compress and coat (see Appendix).

NALIDIXIC ACID TABLETS (500 MG)

Bill of Materials

Scale (mg/ tablet)	Item	Material Name	Quantity/ 1000 Tablets (g)
500.00	1	Nalidixic acid	500.00
20.00	2	Lactose monohydrate	20.00
25.00	3	Starch (maize)	25.00
30.00	4	Starch (maize)	30.00
0.10	5	Propylparaben	0.10
0.40	6	Methylparaben	0.40
0.80	7	Sodium starch glycolate	0.80
2.50	8	Magnesium stearate	2.50
1.00	9	Talc	1.00
0.20	10	Aerosil® 200	0.20
2.00	11	Starch (maize), dried	2.00
—	12	Water, purified, ca	400 mL

MANUFACTURING DIRECTIONS

1. Sift items 1 and 2 through a 40 mesh sieve into a suitable blending vessel.
2. Sift item 3 through an 80 mesh sieve, add to step 1, and mix for 10 minutes.
3. In a separate vessel, sift item 4 through an 80 mesh, add items 5 and 6, and mix for 5 minutes. Add item 12 at 80°C to prepare a 30% starch paste that is smooth and lump-free.
4. Add step 3 into step 2, and make a wet mass suitable for granulation.
5. Pass the wet mass through a 10 mm sieve in a mill, and dry in a fluid-bed dryer at 50°C for 1 hour to an LOD of not more than 3%. Transfer to a blending vessel.
6. Sift items 7 to 11 through a 250 µm sieve screen, and add to step 5. Blend for 1 minute only.
7. Compress into 575 mg tablets, using 13 mm punches.

NALIDIXIC ACID TABLETS (500 MG)

Bill of Materials

Scale (mg/ tablet)	Item	Material Name	Quantity/ 1000 Tablets (g)
500.00	1	Nalidixic acid	500.00
15.00	2	Kollidon® 30	15.00
—	3	Water, purified	125.00
25.00	4	Kollidon® CL	25.00
5.00	5	Magnesium stearate	5.00

MANUFACTURING DIRECTIONS

1. Granulate item 1 with the solution of item 2 in item 3. Dry, and pass through a 0.8 mm sieve. Add the

mixture of items 4 and 5, mix for 10 minutes, pass again through a 0.8 mm sieve, and press with low-compression force (10 kN).

- Compress into 545 mg tablets, using 12 mm biplanar punches.

NAPROXEN TABLETS (250 MG)

Naproxen tablets for oral administration each contain 250, 375, or 500 mg of naproxen. Naproxen is a member of the arylacetic acid group of nonsteroidal anti-inflammatory drugs.

NAPROXEN TABLETS

Bill of Materials

Scale (mg/ tablet)	Item	Material Name	Quantity/ 1000 Tablets (g)
250.00	1	Naproxen	250.00
6.00	2	Kollidon® 90F	6.00
4.00	3	Kollidon® 90F	4.00
4.00	4	Cremophor RH40	4.00
—	5	Water	41.00
150.00	6	Tabletose	150.00
1.00	7	Stearic acid	1.00
10.00	8	Ac-Di-Sol	10.00
1.00	9	Magnesium stearate	1.00
10.00	10	Polyethylene glycol 6000 powder	10.00

MANUFACTURING DIRECTIONS

- Granulate the mixture of items 1 and 2 with a solution of items 3 to 5, dry, pass through a 0.8 mm sieve, add items 6 to 9, and press with low-compression force.
- Compress into 441 mg tablets, using 12 mm biplanar punches.

NAPROXEN TABLETS (250 MG/500 MG)

Bill of Materials

Scale (mg/ tablet)	Item	Material Name	Quantity/ 1000 Tablets (g)
250.00	1	Naproxen	250.00
78.40	2	Lactose monohydrate	78.40
7.00	3	Starch (corn)	7.00
4.00	4	Sodium starch glycolate	4.00
0.60	5	Yellow dye	0.60
5.00	6	Povidone K 29–32	5.00
5.00	7	Polysorbate 80	5.00
QS	8	Isopropyl alcohol, ca	200.00 mL
3.70	9	Talc	3.70
3.30	10	Magnesium stearate	3.30

Note: For 500 mg strength, use the same formula with higher fill weight.

MANUFACTURING DIRECTIONS

- Granulation
 - Pass naproxen and lactose through a 16 mesh (1.2 mm aperture) screen into a planetary mixer (or something similar). Mix these items for 10 minutes.
 - To a suitable blender, add starch (corn), sodium starch glycolate, and yellow dye. Blend these items for 10 minutes.
 - Incorporate the blended powders from step 1b into the blend in step 1a. Mix for 10 minutes.
 - Dissolve povidone and polysorbate 80 in alcohol isopropyl. The solution must be complete.
 - While mixing the blended powders from step 1c, add the solution from step 1d. When all the solution is added, continue mixing for 2 minutes, until a characteristic mass is obtained. Add more isopropyl alcohol, if required. Record the additional amount of isopropyl alcohol.
 - Pass the wet mass through an 8 mesh (2.38 mm aperture) screen by hand. Load the granular mass onto paper-lined trays, and oven dry at 49°C until the LOD is between 1.5% and 2.5%.
 - Pass the dried granules through a FitzMill fitted with a 2A band (knives forward, medium speed) into tared, polyethylene-lined drums.
- Lubrication
 - Transfer the dried granules from step 1g to a suitable blender.
 - Screen talc and magnesium stearate through a 30 mesh (595 µm aperture) screen, and add this to the blender. Blend this mixture for 10 minutes.
 - Discharge the granules into clean, tared, polyethylene-lined drums. Then seal the drums, and weigh for yield.
- Compression: Compress on a suitable compression machine using 9.5 mm round, standard concave punches—tablet weight: 352 mg.

NAPROXEN TABLETS (450 MG)

Bill of Materials

Scale (mg/ tablet)	Item	Material Name	Quantity/ 1000 Tablets (g)
450.00	1	Naproxen, with excess	457.50
10.00	2	Kollidon® CL	10.00
25.00	3	Kollidon® 30	25.00
—	4	Water, purified	90.00
2.50	5	Magnesium stearate	2.50

MANUFACTURING DIRECTIONS

- Granulate the mixture of items 1 and 2 with a solution of items 3 and 4, pass through a 0.8 mm sieve, add item 5, and press to tablets with low-compression force.
- Compress into 496 mg tablets, using 12 mm biplanar punches.

NELFINAVIR MESYLATE TABLETS

Bill of Materials			
Scale (mg/ tablet)	Item	Material Name	Quantity/ 1000 Tablets (g)
730.62	1	Nelfinavir mesylate	730.62
240.00	2	Crospovidone	240.00
217.37	3	Calcium silicate	217.37
QS	4	Purified water	QS
12.00	5	Magnesium stearate	12.00

MANUFACTURING DIRECTIONS

1. Use wet granulation to prepare the compression mix, dry (to remove water), mix with item 5, and then compress.

NEOMYCIN TABLETS (250 MG)

Bill of Materials			
Scale (mg/ tablet)	Item	Material Name	Quantity/ 1000 Tablets (g)
250.00	1	Neomycin sulfate	250.00
334.00	2	Ludipress®	334.00
6.00	3	Magnesium stearate	6.00
10.00	4	Aerosil® 200	10.00

MANUFACTURING DIRECTIONS

1. Mix all components, pass through a 0.8 mm sieve, and press to tablets with low-compression force.
2. Compress into 600 mg tablets, using 12 mm biplanar punches.

NIACIN TABLETS

Bill of Materials			
Scale (mg/ tablet)	Item	Material Name	Quantity/ 1000 Tablets (g)
1000.00	1	Niacin	1000.00
40.00	2	Polyvinylpyrrolidone	40.00
10.00	3	Silicon dioxide	10.00
15.00	4	Sodium stearyl fumarate	15.00
400.00	5	Water	400.00

MANUFACTURING DIRECTIONS

1. Place niacin and lactose in a fluidized-bed apparatus.
2. Spray an aqueous PVP solution (in 85 g of water) to get granules.

3. Dry the granules thus obtained and pass through a sieve (1 mm mesh), and weigh, add, and blend sodium stearyl fumarate in a drum mixer.
4. Press the resulting mixture into tablets (1065.00 mg).
5. Coat these tablet cores with the following formulation: ethyl cellulose (Ethocel), 10.10 mg; polyvinylpyrrolidone (povidone), 5.50 mg; stearic acid, 2.40 mg.
6. First dissolve Ethocel, povidone, and stearic acid in denatured alcohol (180 g).
7. Spray the coating solution onto the tablet cores in a coating pan.

NICARDIPINE HYDROCHLORIDE SUSTAINED-RELEASE TABLETS**MANUFACTURING DIRECTIONS**

1. First, dissolve 1200 g nicardipine hydrochloride and 1200 g hydroxypropyl methylcellulose in a mixture of 4800 g methanol and 4800 g dichloromethane.
2. Introduce 300 g of silicon dioxide (mean particle diameter of approximately 48 µm, particle diameter of 75 µm or smaller) to a fluidized-bed granulator and coated with this solution by the side spraying method (spraying liquid volume 18 g/min, spraying air pressure 3 kg/cm², product temperature 30°C, inlet temperature 70°C) to obtain nicardipine hydrochloride particles.
3. Separately, dissolve 54 g of ethyl cellulose and 6 g of hydroxypropyl methylcellulose in a mixture of 57 g of purified water and 1083 g of methanol.
4. Introduce nicardipine hydrochloride particles (300 g) to a fluidized-bed granulator and coat with this solution by side spraying (spraying liquid volume of 8 g/min, spraying air pressure of 2.5 kg/cm², product temperature of 39°C, inlet temperature of 70°C) to obtain sustained-release fine particles.
5. Granulate 60 g of these sustained-release fine particles, 254.4 g mannitol, 63.6 g lactose that has been pulverized with a pin mill pulverizing device, and 12 g erythritol (spraying liquid volume 15 g/min, spraying air pressure of 0.5 kg/cm², product temperature of 39°C, inlet temperature of 50°C, spraying cycle of 5 seconds spraying–15 seconds drying) with an aqueous 5% w/w solution containing 8 g copolyvidone (Kollidon® VA64) in a fluidized-bed granulator to obtain the composition of the present invention. The ratio of ungranulated fine particles will be 7.9%.
6. After further mixing 2 g of magnesium stearate with the composition that is obtained, make 400 mg tablets containing 20 mg of nicardipine hydrochloride per tablet under an initial hardness of 0.6 kPa using a rotary tableting machine.
7. Next, heat these tablets for 10 minutes at 130°C using a program oven.

8. Then, cool at room temperature for 30 minutes. The tablets that are obtained should show a hardness of 3.7 kPa ($n=5$), friability of 0.1% or less (100 rounds), and disintegration time in the buccal cavity of 20 seconds.

NICOTINAMIDE TABLETS

Bill of Materials			
Scale (mg/ tablet)	Item	Material Name	Quantity/ 1000 Tablets (g)
300.00	1	Nicotinamide (Degussa)	320.00
160.00	2	Avicel™ PH101	160.00
16.00	3	Kollidon® VA 64	16.00
3.00	4	Magnesium stearate	3.00
3.00	5	Aerosil® 200	3.00

MANUFACTURING DIRECTIONS

1. With medium-compression force, compress into 506 mg tablets, using 12 mm biplanar punches.

NICOTINIC ACID (NIACIN) TABLETS

Bill of Materials			
Scale (mg/ tablet)	Item	Material Name	Quantity/ 1000 Tablets (g)
200.00	1	Nicotinic acid	200.00
200.00	2	Ludipress®	200.00
5.00	3	Kollidon® CL	5.00
1.50	4	Magnesium stearate	1.50
3.00	5	Aerosil® 200	3.00
10.00	6	PEG-6000	10.00

MANUFACTURING DIRECTIONS

1. Pass all components through a 0.5 mm sieve.
2. Mix and press with very low-compression force.
3. Compress into 410 mg tablets, using 12 mm biplanar punches.

NICOTINIC ACID (=NIACIN) TABLETS (200 MG)

Formulation: Nicotinic acid (Lonza), 200.0 g; Ludipress®, 200.0 g; Kollidon® CL, 5.0 g; magnesium stearate, 1.5 g; Aerosil® 200, 3.0 g; polyethylene glycol 6000, powder, 10.0 g.

MANUFACTURING DIRECTIONS

1. Pass all components through a 0.5 mm sieve, mix, and press with very low-compression force at 419 mg.

NICOTINIC ACID TABLETS

Bill of Materials			
Scale (mg/ tablet)	Item	Material Name	Quantity/ 1000 Tablets (g)
375.00	1	Nicotinic acid	375.00
188.70	2	Hydroxypropyl methylcellulose E10 M premium	188.70
12.90	3	Povidone K90	12.90
5.80	4	Stearic acid (Hystrene 5016)	5.80

MANUFACTURING DIRECTIONS

1. Mix one-half of the quantity of item 1 and items 2 and 3, and dry mix the powder bed in a granulator, with choppers on, for approximately 1 minute.
2. At the completion of the 1 minute premix cycle, spray an approximate quantity about three times the quantity of item 3 slowly for a period of 5 minutes.
3. Discharge the granulated unit into double polyethylene-lined containers and then manually load into a Glatt bowl while passing through a 4 mesh screen; load the Glatt bowl into a Glatt fluid-bed dryer with an inlet air temperature setting of about $70 \pm 5^\circ\text{C}$.
4. Dry the unit until a moisture level of approximately 1.0% is obtained ..
5. Discharge the dried granulation into appropriately labeled, double polyethylene-lined drums and reconcile.
6. Pass the dried and reconciled granulation through a Kemutec BetaGrind mill equipped with a 1.5 mm screen and running at approximately 1500 rpm.
7. Collect the milled granulation into appropriately labeled, double polyethylene-lined drums and reconcile.
8. Sample the milled granulation and test by quality control and release prior to further processing.
9. Load the released granulation units into a Patterson-Kelley 20 ft³ V-blender, after which blend together for about 10 ± 1 minutes and then discharge to appropriately labeled, double polyethylene-lined containers.
10. Add item 4, blend, and compress at 582.40 mg in caplet-shaped punches; compress 727.50 mg for 500 mg strength and 990.50 mg for 750 mg strength.

NIFEDIPINE COPRECIPITATE TABLET

1. Dissolve 1.0 kg of nifedipine and 1.0 kg of polyvinylpyrrolidone in 18 L of methylene chloride at room temperature.

- Treat the obtained solution in a spray-dryer plant at a temperature equal to 90°C with double fluid nozzle with external mixing.
- A solid coprecipitate having a ratio by weight between nifedipine and polyvinylpyrrolidone equal to 1:1 and a granulometry lower than 100 µm will be obtained.
- Prepare a tablet composition using the coprecipitate of nifedipine and polyvinylpyrrolidone 1:1, having a granulometry lower than 100 µm.
- First prepare a granulate by introducing in a fluid-bed dryer hydroxypropyl methylcellulose, carboxypoly-methylene, and talc, in addition to the coprecipitate of nifedipine and polyvinylpyrrolidone. Use purified water in order to obtain the granules, which, mixed with magnesium stearate and colloidal silica, allow some tablets to be obtained, which should be subsequently coated with an opaque, protective film.
- In the final composition, the proportion of all ingredients will be as follows (by weight%): nifedipine 15.96%; polyvinylpyrrolidone 15.96%; talc 30.31%; hydroxypropyl methylcellulose 31.91%; carboxy-polymethylene 1.60%; magnesium stearate 1.06%; colloidal silica 1.60%.
- Substances of the coating: (by weight%): talc 0.49%; magnesium stearate 0.24%; titanium dioxide 0.37%; iron oxide 0.04%; acrylic acid copolymer 0.37%; polyethylene glycol 4000 0.08%.
- The tablets should have an average weight equal to 188 mg.

NIFEDIPINE TABLETS (5 MG)

Bill of Materials

Scale (mg/ tablet)	Item	Material Name	Quantity/ 1000 Tablets (g)
5.00	1	Nifedipine	5.00
60.00	2	Starch (maize)	60.00
40.00	3	Lactose monohydrate	40.00
40.00	4	Dicalcium phosphate	40.00
4.00	5	Polyvinylpyrrolidone K30	4.00
0.04	6	Isopropyl alcohol	40 mL
2.00	7	Magnesium stearate	2.00
1.00	8	Talc	1.00

MANUFACTURING DIRECTIONS

- Sift item 1 through a 40 mesh screen into a suitable mixing vessel. Sift items 2 to 4 through a 250 µm sieve into the same vessel, portion by portion, mixing with item 1 to achieve geometric dilution. Dry the mix for 15 minutes.
- In a separate vessel, prepare the binding solution by dissolving item 5 and item 6.

- Add the binding solution from step 2 into step 1 slowly, and mix until a suitable mass is obtained.
- Pass the wet mass through a 6 mesh sieve onto trays, and dry it overnight in a dehumidified room.
- Pass dried granules through an 18 mesh sieve. Load into a blending vessel.
- Sift items 7 and 8 through a 250 µm sieve, and add to step 5. Blend for 1 minute.
- Compress into 150 mg tablets, using 7 mm punches.
- Coat with an HPMC organic coating. (See Appendix.)

NIFEDIPINE TABLETS (10 MG)

Bill of Materials

Scale (mg/ tablet)	Item	Material Name	Quantity/ 1000 Tablets (g)
10.00	1	Nifedipine	10.00
40.00	2	Kollidon® 25	40.00
—	3	Methylene chloride	180.00
105.00	4	Microcrystalline cellulose (Avicel™ PH 102)	105.00
20.00	5	Starch (maize)	20.00
25.00	6	Kollidon® CL	25.00
0.40	7	Magnesium stearate	0.40

MANUFACTURING DIRECTIONS

- Dissolve a mixture of items 1 and 2 in item 3. Granulate the mixture of items 4 to 6 with the solution prepared previously, then sieve, dry the obtained coprecipitate, add item 7, and press with low- to medium-compression force.
- Compress into 223 mg tablets, using 8 mm punches.

NIMESULIDE DISPERSIBLE TABLETS (100 MG)

Bill of Materials

Scale (mg/ tablet)	Item	Material Name	Quantity/ 1000 Tablets (g)
100.00	1	Nimesulide	100.00
120.00	2	Lactose monohydrate	120.00
100.00	3	Starch (maize)	100.00
0.40	4	Sodium metabisulfite	0.40
0.40	5	Propylparaben	0.40
30.00	6	Starch (maize)	30.00
5.00	7	Talc	5.00
1.50	8	Magnesium stearate	1.50
2.50	9	Flavor	2.50
11.20	10	Sodium starch glycolate	11.20
—	11	Water, purified	QS

MANUFACTURING DIRECTIONS

1. Sift items 1 to 3 through a 40 mesh sieve into a suitable mixer, and mix for 15 minutes.
2. In a separate vessel, prepare the binding paste by taking an appropriate quantity of item 11, heating it to 90°C, adding item 5, and dissolving. Add item 4 and dissolve. Finally, add item 6, and make a smooth slurry (30% starch).
3. Add step 2 into step 1, and form a lump-free mass.
4. Pass the wet mass through an 8 mm sieve, and load onto trays. Dry the mass at 50°C, overnight, to less than 2% moisture.
5. Pass the dried granules through an 18 mesh sieve into a blending vessel.
6. Sift items 7 to 10 through a 250 µm sieve into step 4, and blend for 1 minute.
7. Compress into 358 mm tablets, using 40 mm punches.

NITRENDIPINE TABLETS (25 MG)**Bill of Materials**

Scale (mg/ tablet)	Item	Material Name	Quantity/ 1000 Tablets (g)
25.00	1	Nitrendipine with excesss	26.00
53.00	2	Ludipress®	53.00
1.50	3	Kollidon® CL	1.50
0.50	4	Magnesium stearate	0.50

MANUFACTURING DIRECTIONS

1. Pass all components through a 0.5 mm sieve, mix, and press with low-compression force.
2. Compress into 82 mg tablets, using 6 mm biplanar punches.

NITROFURANTOIN TABLETS

Formulations: Nitrofurantoin sodium hydrate, 238 mg (equivalent to 200 mg nitrofurantoin); microcrystalline cellulose, 175 mg; sodium starch glycolate, 25 mg; cornstarch, 25 mg; talc, 20 mg; magnesium stearate, 1 mg.

MANUFACTURING DIRECTIONS

1. Mix and screen the ingredients and compress 488 mg convex core tablets by direct compression using a suitable tablet press which will yield tablets approximately 11 mm in diameter and 5.4 mm in height.
2. Coating solution: Eudragit® S 12.5% isopropanol suspension, 45.7; polyethylene glycol 6000 33% aqueous solution, 3.5; talc, 2.5; isopropanol/acetone 1:1, 48.3.

3. Use solution from step 2 to enteric coat by spraying the Eudragit® S suspension onto their surfaces as tablets rotate in a conventional coating pan. Coating thickness required to produce an even, uninterrupted surface distribution varies between 4.0 and 7.2 mg/cm². Coat thickness may vary beyond this range depending upon production scale and process equipment. Air suspension coating techniques are also applicable.

NITROFURANTOIN TABLETS (100 MG)**Bill of Materials**

Scale (mg/ tablet)	Item	Material Name	Quantity/ 1000 Tablets (g)
100.00	1	Nitrofurantoin	100.00
20.00	2	Starch (maize)	20.00
38.00	3	Lactose monohydrate	38.00
10.00	4	Kollidon® 30	10.00
—	5	Water, purified	QS
5.00	6	Kollidon® CL	5.00
8.00	7	Starch (maize)	8.00
4.00	8	Talc	4.00
1.00	9	Magnesium stearate	1.00

MANUFACTURING DIRECTIONS

1. Granulate a mixture of items 1 to 3 with a solution of items 4 and 5, dry, sieve, mix with items 6 to 9, and press.

NITROFURANTOIN TABLETS (100 MG)**Bill of Materials**

Scale (mg/ tablet)	Item	Material Name	Quantity/ 1000 Tablets (g)
100.00	1	Nitrofurantoin	100.00
200.00	2	Ludipress®	200.00
2.00	3	Magnesium stearate	2.00
3.00	4	Aerosil® 200	3.00

MANUFACTURING DIRECTIONS

1. Mix all components, pass through a 0.8 mm sieve, and press with low-compression force.
2. Compress into 307 mg tablets, using 12 mm punches, or compress into 180 mg tablets, using 8 mm punches.

NITROGLYCERIN AND ISOSORBIDE MONONITRATE SUSTAINED-RELEASE TABLETS

Bill of Materials

Scale (mg/ tablet)	Item	Material Name	Quantity/ 1000 Tablets (g)
30.00	1	Isosorbide mononitrate	30.00
100.00	2	Hydroxypropyl methylcellulose	100.00
40.00	3	Lactose monohydrate	40.00
40.00	4	Ethyl cellulose	40.00
18.00	5	Polyvinylpyrrolidone	18.00
2.00	6	Silicon dioxide	2.00
1.00	7	Magnesium stearate	1.00
8.00	8	Eudragit® L100–55	8.00
1.80	9	Triethyl citrate	1.80
4.50	10	Talc	4.50
1.47	11	Polyethylene glycol 6000	1.47
0.29	12	Sodium hydroxide	0.29
QS	13	Water	QS
14.00	14	Eudragit® EPO	14.00
8.00	15	Citric acid	8.00
QS	16	Water	QS
0.30	17	Nitroglycerin	0.30
65.00	18	Lactose fine powder	65.00
5.00	19	Sucrose fine powder	5.00
2.00	20	Flavor optional	2.00
0.10	21	Polyvinylpyrrolidone	0.10
QS	22	Ethyl alcohol 95%	QS

MANUFACTURING DIRECTIONS

- Blend isosorbide mononitrate, hydroxypropyl methylcellulose, ethyl cellulose, and lactose to form a uniform blend.
- Prepare polyvinylpyrrolidone in water or a mixture of water and ethanol solution.
- Granulate step 1 with solution from step 2.
- Dry the granulation, and screen or mill to desired particle size.
- Add silicon dioxide, stearic acid, and magnesium stearate, and blend for additional 5 to 10 minutes.
- Compress tablets at 233 mg.
- Prepare the coating solution by mixing water, Eudragit® L100–55, sodium hydroxide, PEG 6000, triethyl citrate, and talc to form a uniform dispersion.
- Coat isosorbide mononitrate tablets with Eudragit® L coating solution using a coating pan or a fluid-bed coater until a desired coat weight is achieved (259.50 g).
- Prepare a coating solution containing Eudragit® E and citric acid in water.
- Coat isosorbide mononitrate enteric-coated tablets with this coating solution in a coating pan or a fluid-bed coater until a desired coating weight is obtained (291 mg).

- Prepare the solvent mixture containing polyvinylpyrrolidone, ethyl alcohol, and water.
- Blend nitroglycerin, lactose, sucrose, and the flavoring agent. Screen to break lumps.
- Add the mixture of step 11 to step 12 until a moistened powder blend is achieved.
- Compress isosorbide mononitrate tablet (281.06 mg) with moistened nitroglycerin tritrate (72.4 mg) in a tableting machine for the total weight of 353.46 mg. The product contains 0.3 mg of nitroglycerin in the molded tritrate tablet for intraoral release and 30 mg of isosorbide mononitrate as a sustained-release form, which releases isosorbide for a duration of 8 to 12 hours.

NITROGLYCERIN RETARD TABLETS

MANUFACTURING DIRECTIONS

Formulation: Cetyl alcohol, 15.0% w/w; hydroxyethyl cellulose, 5.0% w/w; lactose, 45.5% w/w; talc, 15.0% w/w; nitroglycerin 1:10, 16.0% w/w; talc and magnesium stearate QS, 100.0% w/w.

- Melt cetyl alcohol in a water-jacketed tank fitted with a stirrer; add the lactose and blend. Granulate the free-flowing mass through a No. 16 stainless steel screen.
- Hydrate hydroxyethyl cellulose with three volumes of water for each part by weight of hydroxyethyl cellulose, and stir until a granular paste will be obtained.
- Add the granules from step 1 to the paste obtained from step 2. Continue the blend, and add the talc and nitroglycerin powder. Blend until a uniform granular mass is obtained.
- Dry the granules at 45°C for 30 minutes and after drying, granulate through a 16 mesh screen.
- Add the tablet lubricants (magnesium stearate and talc) in suitable quantity and compress the mixture into tablets.

Compression data: Tablet weight is 400 mg; punch size: 3/8 in.; flat beveled edge.

NITROGLYCERIN TABLETS (0.3 MG)

Bill of Materials			
Scale (mg/ tablet)	Item	Material Name	Quantity/ 1000 Tablets (g)
0.30	1	Nitroglycerin, use 1.95% mixture (diluted nitroglycerin)	15.38
0.61	2	Glyceryl monostearate	0.61
16.37	3	Lactose monohydrate	16.37
0.065	4	Silicon dioxide colloidal	0.065
2.10	5	Pregelatinized starch	2.10
0.10	6	Calcium stearate	0.105

Adjust quantity based on assay with item 3. Do not add any excess.

MANUFACTURING DIRECTIONS

1. Mill glyceryl monostearate (Myvaplex 600P) and lactose monohydrate in a suitable mixing vessel equipped with an intensifier bar.
2. Separately mill silicon dioxide and lactose monohydrate together.
3. Add diluted nitroglycerin USP to step 1. Blend for 10 minutes, with the intensifier bar set to “on.”
4. Add step 2 into step 3, and mix for 3 minutes.
5. Add item 5 after passing through a 250 μm sieve to step 4, and mix for another 5 minutes, with the intensifier bar set to “on.”
6. Add calcium stearate to the blend in step 5, and blend for 5 minutes.
7. Compress a suitable quantity into tablets.

NORAMIDOPYRINE METHANESULFONATE AND DICYCLOMINE HYDROCHLORIDE TABLETS (500 MG/10 MG)

Bill of Materials			
Scale (mg/ tablet)	Item	Material Name	Quantity/ 1000 Tablets (g)
500.00	1	Noramidopyrine methanesulfonate	500.00
10.00	2	Dicyclomine hydrochloride	10.00
4.00	3	Lactose monohydrate	4.00
12.50	4	Starch (maize)	12.50
1.50	5	Gelatin	1.50
1.50	6	Magnesium stearate	1.50
1.50	7	Talc	1.50
1.50	8	Carboxymethyl cellulose	1.50
1.50	9	Aerosil® 200	1.50
1.50	10	Sodium metabisulfite	1.50
0.22	11	Methylparaben	0.22
0.02	12	Propylparaben	0.02
—	13	Isopropyl alcohol	QS
—	14	Water, purified	QS

MANUFACTURING DIRECTIONS

1. Place items 1 and 3 in a suitable mixing vessel, and 7 g of item 4, and mix for 5 minutes.
2. In a separate vessel, take a sufficient quantity of item 14, bring it to a boil, and dissolve in it items 11 and 12. Allow the mixture to cool to 50°C, add items 5 and 10, and dissolve. Add the balance of item 4, and mix well to prepare a smooth paste.
3. Add step 2 into step 1, and form a smooth wet mass. Pass the mass through a 2.38 mm sieve screen over paper-lined trays, and dry at 60°C, overnight, to an LOD of not more than 3%.
4. Pass the dried granules through a 16 mesh screen into a blending vessel.
5. Granulate item 2 with a sufficient quantity of item 13 (optionally containing a dye).
6. Dry the granules in step 4 in a dehumidified room.
7. Add step 6 into step 5, and mix for 5 minutes.
8. Sift items 6 to 9 through a 500 mm screen, and blend for 2 minutes.
9. Compress 625 mg in a suitable punch.

NOREPHEDRINE AND TERFENIDINE TABLETS

Formulation: L(-)-norephedrine hydrochloride, 37.5 mg; terfenadine, 30.0 mg; lactose, 65.0 mg; hydroxypropyl methylcellulose, 15.0 mg; croscarmellose sodium, 5.0 mg; talc, 10.0 mg; hydrogenated castor oil, 8.0 mg. Total 70.5 mg.

MANUFACTURING DIRECTIONS

1. Make the tablet is made by wet granulating L(-)-norephedrine hydrochloride, terfenadine, and lactose with a solution of hydroxypropyl methylcellulose. Dry and size the granulation, and sequentially dry blend the remaining ingredients and then compress into tablets.

NORETHINDRONE AND ETHINYL ESTRADIOL TABLETS (0.75 MG/0.035 MG; 0.50 MG/0.035 MG; 1.0 MG/0.035 MG)

Each of the following products is a combination oral contraceptive containing the progestational compound norethindrone and the estrogenic compound ethinyl estradiol:

- Ortho-Novum 7/7/7—Each white tablet contains 0.5 mg of norethindrone and 0.035 mg of ethinyl estradiol. The inactive ingredients are lactose, magnesium stearate, and pregelatinized starch. Each light peach tablet contains 0.75 mg of norethindrone and 0.035 mg of ethinyl estradiol. The inactive ingredients are FD&C Yellow No. 6, lactose, magnesium stearate, and pregelatinized starch. Each peach tablet contains 1 mg of norethindrone and 0.035 of ethinyl estradiol. The inactive ingredients are FD&C Yellow No. 6, lactose, magnesium stearate, and pregelatinized

starch. Each green tablet in the Ortho-Novum 7/7/7 28 package contains only inert ingredients, as follows: D&C Yellow No. 10 Aluminum Lake, FD&C Blue No. 2 Aluminum Lake, lactose, magnesium stearate, microcrystalline cellulose, and pregelatinized starch.

- Ortho-Novum 10/11—Each white tablet contains 0.5 mg of norethindrone and 0.035 mg of ethinyl estradiol. The inactive ingredients are lactose, magnesium stearate, and pregelatinized starch. Each peach tablet contains 1 mg of norethindrone and 0.035 of ethinyl estradiol. The inactive ingredients are FD&C Yellow No. 6, lactose, magnesium stearate, and pregelatinized starch. Each green tablet in the Ortho-Novum 10/11 28 package contains only inert ingredients, as listed under the green tablets in the Ortho-Novum 7/7/7 28 package.
- Ortho-Novum 1/35—Each peach tablet contains 1 mg of norethindrone and 0.035 mg of ethinyl estradiol. The inactive ingredients are FD&C Yellow No. 6, lactose, magnesium stearate, and pregelatinized starch. Each green tablet in the Ortho-Novum 1/35 28 package contains only inert ingredients, as listed under green tablets in the Ortho-Novum 7/7/7 28 package.
- Modicon—Each white tablet contains 0.5 mg of norethindrone and 0.035 mg of ethinyl estradiol. The inactive ingredients are lactose, magnesium stearate, and pregelatinized starch. Each green tablet in the Modicon 28 package contains only inert ingredients, as listed under the green tablets in the Ortho-Novum 7/7/7 28 package.

NORFLOXACIN TABLETS (400 MG)

Bill of Materials			
Scale (mg/ tablet)	Item	Material Name	Quantity/ 1000 Tablets (g)
400.00	1	Norfloxacin	400.00
90.00	2	Microcrystalline cellulose (Avicel™ PH 112)	90.00
26.00	3	Croscarmellose sodium (Ac-Di-Sol)	26.00
4.00	4	Magnesium stearate	4.00
—	5	Absolute alcohol (ethanol, dehydrated alcohol)	60.00

MANUFACTURING DIRECTIONS

Note: Avoid overmixing lubricants, or hardness may be reduced.

1. Sieving and kneading
 - a. Sift item 1 through a 900 µm sieve. Load it into the mixer.
 - b. Add item 5 to step 1a, while mixing at low speed. Scrape sides and blades. Mix and chop at low speed for 2 minutes. Check the end point of granulation. If required, add additional absolute alcohol to get the end point. (The end point of the granulation is the point where there are few or no lumps in the granulation.)
2. Drying: Dry the wet granules in an oven at 55°C for 6 hours. After 2 hours of drying, scrape the semidried granules to break the lumps for uniform drying.
3. Check the LOD. The limit is 0.7% to 1%. If required, dry further at 55°C for 1 hour. Check the LOD.
4. Transfer the dried granules to stainless steel drums.
5. Grinding: Grind the dried granules through a 1.25 mm sieve, using a granulator at medium speed. Collect the granules in stainless steel drums. Load the granules into the blender.
6. Lubrication
 - a. Sift items 2 and 3 through a 500 µm sieve, and add it to the blender. Mix the blend for 2 minutes.
 - b. Sift item 4 through a 250 µm sieve. Add 5 to 100 g granules from bulk (see the previous step). Mix in a polythene bag for 1 minute. Then, add to the blender. Blend for 1 minute.
 - c. Unload in stainless steel drums.
7. Compression
 - a. Check the temperature and humidity before starting compression. The limits are that the temperature cannot exceed 25°C, and the relative humidity should be between 45% and 50%.
 - b. Compress the granules using a rotary tableting machine (diameter: 16.2×8.3 mm, compression weight: 520 mg).
8. Tablet coating: Coat with an HPMC solution. (See Appendix.)

NORFLOXACIN TABLETS

Bill of Materials			
Scale (mg/ tablet)	Item	Material Name	Quantity/ 1000 Tablets (g)
400.00	1	Norfloxacin	400.00
48.56	2	Microcrystalline cellulose	48.56
47.12	3	Starch 1500	47.12
5.15	4	Stearic acid	5.15
2.58	5	Fumed silica	2.58
10.30	6	Croscarmellose sodium	10.30
1.29	7	Magnesium stearate	1.29

MANUFACTURING DIRECTIONS

1. Pass Starch 1500 and fumed silica together through a 40 mesh screen.
2. Add norfloxacin, microcrystalline cellulose, stearic acid, and croscarmellose sodium to the material from step 1 and blend for 15 minutes in a twin-shell blender.
3. Add the magnesium stearate to the material from step 2 and blend for an additional 5 minutes.
4. Compress into 515 mg tablets.

**NORGESTIMATE AND ETHINYL ESTRADIOL
TABLETS (0.18 MG/0.035 MG; 0.215
MG/0.035; 0.25 MG/0.035 MG)**

Each of the following products is a combination oral contraceptive containing the progestational compound norgestimate and the estrogenic compound ethinyl estradiol.

1. Ortho Tri-Cyclen® 21 Tablets and Ortho Tri-Cyclen® 28 Tablets
 - a. Each white tablet contains 0.180 mg of the progestational compound, norgestimate and 0.035 mg of the estrogenic compound, ethinyl estradiol. Inactive ingredients include lactose, magnesium stearate, and pregelatinized starch.
 - b. Each light blue tablet contains 0.215 mg of the progestational compound, norgestimate and 0.035 mg of the estrogenic compound, ethinyl estradiol. Inactive ingredients include FD&C Blue No. 2 Aluminum Lake, lactose, magnesium stearate, and pregelatinized starch.
 - c. Each blue tablet contains 0.250 mg of the progestational compound, norgestimate and 0.035 mg of the estrogenic compound, ethinyl estradiol. Inactive ingredients include FD&C Blue No. 2 Aluminum Lake, lactose, magnesium stearate, and pregelatinized starch.
 - d. Each green tablet in the Ortho Tri-Cyclen 28 package contains only inert ingredients, as follows: D&C Yellow No. 10 Aluminum Lake, FD&C Blue No. 2 Aluminum Lake, lactose, magnesium stearate, microcrystalline cellulose, and pregelatinized starch.
2. Ortho-Cyclen 21 Tablets and Ortho-Cyclen 28 Tablets
 - a. Each blue tablet contains 0.25 mg of the progestational compound, norgestimate and 0.035 mg of the estrogenic compound, ethinyl estradiol. Inactive ingredients include FD&C Blue No. 2 Aluminum Lake, lactose, magnesium stearate, and pregelatinized starch.
 - b. Each green tablet in the Ortho-Cyclen 28 package contains only inert ingredients, as follows: D&C Yellow No. 10 Aluminum Lake, FD&C Blue No. 2 Aluminum Lake, lactose, magnesium stearate, microcrystalline cellulose, and pregelatinized starch.

NYSTATIN TABLETS (50 MG)

Bill of Materials			
Scale (mg/ tablet)	Item	Material Name	Quantity/ 1000 Tablets (g)
50.00	1	Nystatin	55.00
110.00	2	Ludipress®	110.00
1.00	3	Aerosil® 200	1.00
1.30	4	Magnesium stearate	1.30

MANUFACTURING DIRECTIONS

1. Mix the components, and pass through a 0.8 mm sieve.
2. Press with very low-compression force.
3. Compress into 175 mg tablets, using 8 mm punches. For 100 mg strength, compress into 350 mg tablets using 10 mm punches.

NYSTATIN TABLETS (200 MG)

Bill of Materials			
Scale (mg/ tablet)	Item	Material Name	Quantity/ 1000 Tablets (g)
200.00	1	Nystatin	200.00
51.00	2	Lactose monohydrate	51.00
—	3	Isopropyl alcohol	40 mL
10.00	4	Kollidon® CL	10.00
3.00	5	Magnesium stearate	3.00

MANUFACTURING DIRECTIONS

1. Granulate a mixture of items 1 and 2 with a solution of items 3 and 4. Dry, pass through a 0.8 mm sieve, add item 5, and press with medium-compression force.
2. Compress into 270 mg tablets, using 9 mm punches.

**OLANZAPINE ORALLY DISINTEGRATING
TABLETS (5 MG)**

Bill of Materials			
Scale (mg/ tablet)	Item	Material Name	Quantity/ 1000 Tablets (g)
5.00	1	Olanzapine	5.00
92.97	2	Mannitol DC grade	92.97
0.50	3	Gelatin	0.50
0.50	4	Aspartame	0.50
0.02	5	Sodium methylparaben	0.02
0.01	6	Sodium propylparaben	0.01
1.00	7	Colloidal silicon dioxide (Aerosil® 200)	1.00

For all other strengths, adjust the total weight with item 2.

MANUFACTURING DIRECTIONS

1. Pass item 2 through 0.7 mm sieve, and collect in a stainless steel container.
2. Place half quantity of step 1 in a tumbler.
3. Pass items 1 and items 3 to 6 through 0.5 mm sieve and collect in a container.
4. Add 15% (=6.9 g) mannitol from step 1 to step 3, and mix well.
5. Transfer step 4 into step 2.
6. Transfer balance quantity of step 1 into step 2.
7. Mix step 2 for 20 minutes using tumbler.
8. Pass item 7 through 0.500 mm sieve and add to step 7.
9. Mix step 8 for 2 minutes.
10. Compress into 100 mg tablets, using a suitable punch (5.5 mm, round).

OLANZAPINE TABLETS**Bill of Materials**

Scale (mg/ tablet)	Item	Material Name	Quantity/ 1000 Tablets (g)
10.00	1	Olanzapine	10.00
200.00	2	Pregelatinized starch	200.00
25.00	3	Microcrystalline cellulose (Avicel™ PH 101)	25.00
15.00	4	Povidone	15.00
10.00	5	Croscarmellose	10.00
3.75	6	Magnesium stearate	3.75
2.50	7	FD&C Yellow No. 2 lake	2.50
—	8	Water, purified, ca	5 mL

MANUFACTURING DIRECTIONS

1. Place items 1 to 3, 5, and 7 in a suitable blender, and mix them.
2. In a separate vessel, prepare a binding solution using items 4 and 8.
3. Add to step 1, and granulate. Dry granules in trays at 40°C under vacuum.
4. Pass the dried granules through a 60 mesh screen.
5. Add and blend item 6, and compress.

OLANZAPINE TABLETS**Bill of Materials**

Scale (mg/ tablet)	Item	Material Name	Quantity/ 1000 Tablets (g)
2.50	1	Olanzapine	2.50
49.20	2	Lactose spray dried	49.20
35.00	3	Microcrystalline cellulose (Avicel™ PH102)	35.00
2.00	4	Crospovidone	2.00
0.50	5	Hydroxypropyl cellulose	0.50
0.80	6	Magnesium stearate	0.80
2.00	7	Hypromellose	2.00
0.45	8	Polyethylene glycol 4000	0.45
0.60	9	Titanium dioxide	0.60
0.20	10	FD&C Blue No. 2 Aluminum Lake	0.20
—	11	Water, purified	30.00

Note: For all other strengths, adjust the total quantity with item 2.

MANUFACTURING DIRECTIONS

1. Pass item 2 through 0.7 mm sieve and place in a tumbler.
2. Pass items 1, 4, and 5 through 0.5 mm sieve and collect in a stainless steel container.
3. Add 5.0% (=2.5 g) lactose from step 1 to step 2, and mix well.
4. Add 10.0% (=4.9 g) lactose from step 1 to step 3, and mix well.
5. Transfer step 4 into step 1.
6. Pass item 3 through 0.7 mm sieve, and place in tumbler from step 1.
7. Mix step 1 for 20 minutes using tumbler.
8. Pass item 6 through 0.250 mm sieve, and add to step 7.
9. Mix step 8 for 2 minutes.
10. Compress into 90 mg tablets, using a suitable punch (5.5 mm, round, imprinted 2.5).
11. Place item 11 in a stainless steel vessel. Add item 7 slowly to the vortex while stirring. Stir till lumps dissolved. Homogenize for 5 minutes. Keep for 3 to 4 hours for saturation of hypromellose.
12. Add items 8 to 10 one by one to step 11 with stirring. Stir for 5 minutes. Homogenize for 5 minutes. Pass the coating dispersion through 180 mm sieve (if required).
13. Load core tablets from step 10 in coating pan, and apply coating dispersion from step 12 to get 2.5% to 3.0% weight gain.

OLANZAPINE TABLETS ZYPREXA®

Each Zyprexa® tablet contains olanzapine equivalent to 2.5 mg (8 µmol), 5 mg (16 µmol), 7.5 mg (24 µmol), or 10 mg (32 µmol). The inactive ingredients are carnauba wax, color mixture white, crospovidone, FD&C Blue No. 2 Aluminum Lake, hydroxypropyl cellulose, hydroxypropyl methylcellulose, lactose, magnesium stearate, microcrystalline cellulose, and other inactive ingredients.

OMEPRAZOLE AND IBUPROFEN TABLETS (10 MG/400 MG)**Bill of Materials**

Scale (mg/ tablet)	Item	Material Name	Quantity/ 1000 Tablets (g)
10.00	1	Omeprazole; use magnesium omeprazole	12.00
12.00	2	Nonpareil cores	12.00
1.80	3	Hydroxypropyl methylcellulose	1.80
—	4	Water, purified	35.40
23.50	5	Hydroxypropyl cellulose	2.35
4.03	6	Talc	4.03
—	7	Water, purified	48.00
38.70	8	Methacrylic acid copolymer (30% suspension)	38.70
3.48	9	Triethyl citrate	3.48
0.58	10	Mono- and diglycerides	0.58
0.06	11	Polysorbate 80	0.06
—	12	Purified water	22.68
400.00	13	Ibuprofen	400.00
273.60	14	Microcrystalline cellulose	273.60
100.40	15	Polyvinylpyrrolidone cross-linked	100.40
33.30	16	Polyvinylpyrrolidone K-25	33.30
26.70	17	Sodium lauryl sulfate	26.70
—	18	Water, purified	297.00
4.0	19	Sodium stearyl fumarate	4.00

MANUFACTURING DIRECTIONS

Note: The formulation and manufacturing directions given here can be used to formulate combinations of omeprazole with other NSAIDs, such as naproxen (250 mg) or piroxicam (20 mg). Omeprazole can be replaced with pantoprazole or lansoprazole.

1. Prepare a solution of items 1 and 3 in item 4, and spray onto item 2 to prepare nonpareil cores in a fluid-bed dryer.
2. Prepare a solution of items 5 to 7 and 8 to 12 separately. Alternate application of these solutions on step 1 to provide enteric properties to the cores.

3. Pass the enteric-coated cores through a sieve.
4. Prepare a granulating solution using items 16 to 18.
5. Dry blend items 13, 15 (one-tenth), and 16, and add step 4 to this step to granulate. Add more of item 18 to the mass. Pass granules through an 8 mesh screen, and dry at 60°C for 6 hours. Pass dried granules through a 0.8 mm sieve.
6. Add step 3 and the balance of item 15, and blend for 10 minutes.
7. Compress into 886 mg tablets, using 15 mm punches. There is a disintegration time of less than 1 minute in simulated gastric juice (USP without enzymes).

OMEPRAZOLE EFFERVESCENT TABLETS**MANUFACTURING DIRECTIONS**

1. Core material: magnesium omeprazole, 12.00 kg; nonpareil cores, 12.00 kg; hydroxypropyl methylcellulose, 1.8 kg; water purified, 35.4 kg. Perform suspension layering in a fluid-bed apparatus. Spray magnesium omeprazole onto inert sugar seeds (nonpareil cores) from a water suspension containing the dissolved binder.
2. Separating layer core material (step 1), 23.50 kg; hydroxypropyl cellulose, 2.35 kg; talc, 4.03 kg; magnesium stearate, 0.34 kg; water purified, 48.00 kg. Coating layer the prepared core material with a separating layer in a fluid-bed apparatus with a hydroxypropyl cellulose solution containing talc and magnesium stearate.
3. Enteric coating layer pellets with the layer (step 2), 29.00 kg; methacrylic acid copolymer (30% suspension), 38.70 kg; triethyl citrate, 3.48 kg; mono- and diglycerides (NF), 0.58 kg; polysorbate 80, 0.06 kg; water purified, 22.68 kg. Spray the enteric coating layer consisting of methacrylic acid copolymer, mono- and diglycerides, triethyl citrate, and polysorbate onto the pellets (layered with a separating layer) in a fluid-bed apparatus. In the same type of apparatus, coat the enteric coating layered pellets with hydroxypropyl methylcellulose/magnesium stearate suspension.
4. Overcoating layer enteric-coated pellets (step 3), 44.7 kg; hydroxypropyl methylcellulose, 0.58 kg; magnesium stearate, 0.02 kg; water purified, 11.6 kg. Classify the pellets covered by an overcoating layer by sieving.
5. Mix the obtained enteric coating layered pellets with prepared granules and other components as described in the following and thereafter, compress to effervescent tablets.
6. Granulation (1000 tablets): citric acid anhydrous, 605 g; mannitol dried, 200 g; riboflavin, 0.1 g; polyvinylpyrrolidone K-25 (PVP K-25), 6.0 g; EtOH 99% (w/v), 90 g.

- Dissolve PVP K-25 in ethanol to give the granulating solution. In this solution, disperse riboflavin. Mix citric acid and mannitol, add the liquid, and further mix the mass. Then, put the mass on a tray and dry in a drying oven for approximately 2 hours at 55°C. Mill the granulate to pass 1.0 mm sieve.
- Prepare a premix consisting of the following by dry mixing in a mixer: Sodium carbonate anhydrous, 36 g; sodium dodecyl sulfate, 1 g; sodium stearyl fumarate, 14 g; essence orange, 2.0 g; saccharin sodium, 2.0 g; polyvinyl pyrrolidone cross-linked, 70 g; enteric-coated pellets from step 4, 95.7 g.
- Final mixing: Granulate from step 7, 811.1 g; premix from step 8, 220.7 g; sodium bicarbonate, 453 g. The final mixing time should be 4 minutes.
- Compress tablets on a tableting machine equipped with punches giving 20 mm diameter flat tablets with beveled edges. Tablet weight is 1485 mg.

OMEPRAZOLE FAST-DISINTEGRATING TABLETS

MANUFACTURING DIRECTIONS

- Add croscarmellose sodium 300 g to the vortex of a rapidly stirred beaker containing 3.0 kg of deionized water.
- Mix the slurry of step 1 for 10 minutes.
- Place omeprazole 90 g (powdered) in the bowl of a Hobart mixer. After mixing, slowly add the slurry of croscarmellose sodium to the omeprazole in the mixer bowl, forming a granulation. Place in trays and dry at 70°C for 3 hours.
- Place the dry granulation in a blender, and add 1500 g of Avicel™ AC-815 (85% microcrystalline cellulose coprocessed with 15% of a calcium, sodium alginate complex) and 1500 g of Avicel™ PH-302 (microcrystalline cellulose).
- After the mixture of step 4 is thoroughly blended, add 35 g of magnesium stearate and mix for 5 minutes.
- Compress the resulting mixture of step 5 into tablets on a standard tablet press with an average weight of about 0.75 g and containing about 20 mg omeprazole.

OMEPRAZOLE FAST-DISSOLVING TABLETS

MANUFACTURING DIRECTIONS

- Add croscarmellose sodium (300 g) to the vortex of a rapidly stirred beaker containing 3.0 kg of deionized water.
- Mix te slurry from step 1 for 10 minutes.
- Place 90 g of omeprazole (powdered) in the bowl of a Hobart mixer. After mixing, slowly add the slurry of croscarmellose sodium to the omeprazole in the mixer bowl, forming a granulation. Place in trays and dry at 70°C for 3 hours.

- Place the dry granulation in a blender, and to it add 1500 g of Avicel™ AC-815 (85% microcrystalline cellulose coprocessed with 15% of a calcium, sodium alginate complex) and 1500 g of Avicel™ PH-302 (microcrystalline cellulose).
- After this mixture is thoroughly blended, add 35 g of magnesium stearate and mix for 5 minutes.
- Compress the resulting mixture is compressed into tablets on a standard tablet press with average weight of about 1.5 g that contain about 20 mg omeprazole. These tablets should have low friability and rapid disintegration time. This formulation may be dissolved in an aqueous solution containing a buffering agent for immediate oral administration. Alternatively, the suspension tablet may be swallowed whole with a solution of buffering agent. In both cases, the preferred solution is sodium bicarbonate 8.4%. As a further alternative, sodium bicarbonate powder (about 975 mg per 20 mg dose of omeprazole or an equipotent amount of other proton pump inhibitor [PPI]) is compounded directly into the tablet. Such tablets are then dissolved in water or sodium bicarbonate 8.4%, or swallowed whole with an aqueous diluent.

OMEPRAZOLE TABLETS

Bill of Materials			
Scale (mg/ tablet)	Item	Material Name	Quantity/ 1000 Tablets (g)
20.00	1	Omeprazole	20.00
200.00	2	Poloxamer (Pluronic PE 6800)	200.00
7.00	3	Colloidal silicon dioxide	7.00
10.00	4	Magnesium carbonate	10.00
12.00	5	Sodium starch glycolate	12.00
100.00	6	Titanium dioxide	100.00
226.00	7	Ludipress®	226.00
25.00	8	Sodium stearyl fumarate	25.00
Enteric coating layer			
75.00	9	Polyvinyl acetate phthalate	75.00
0.25 mg	10	Antifoam emulsion	0.25 mg
12.00	11	Sodium hydroxide	12.00

MANUFACTURING DIRECTIONS

- Melt the poloxamer at a temperature of 80°C.
- Add omeprazole, 2 mg of colloidal silicon dioxide, 8 mg of magnesium carbonate, titanium dioxide, and 6 mg of sodium starch glycolate and mix thoroughly. Continue mixing until the melt solidifies.
- Granulate the melt and add the rest of the ingredients to the granulate. Compress the granulate into tablets containing 20 mg omeprazole.
- Transfer these tablets, which form the substrate of the composition, into a conventional coating pan and

coat with the enteric coating layer, prepared in the following manner.

- First, dissolve the antifoam emulsion in water to form an aqueous solution. Stir polyvinyl acetate phthalate into this solution for a final concentration of about 10% weight per volume before adding sodium hydroxide.
- Add sodium hydroxide (1 M solution) to adjust the pH value of the solution to about 8, thereby obtaining a basic solution of the enteric coating material.
- Spray this solution onto the tablets with an incoming air temperature of 40°C. The omeprazole cores can be alternately coated using hydroxypropyl methylcellulose acetate succinate (HPMCAS) as the enteric coating layer.

OMEPRAZOLE TABLETS (10 MG/20 MG)

Bill of Materials

Scale (mg/ tablet)	Item	Material Name	Quantity/ 1000 Tablets (g)
10.00	1	Omeprazole	10.00
200.00	2	Calcium glycerophosphate	200.00
400.00	3	Sodium bicarbonate	400.00
12.00	4	Croscarmellose sodium	12.00
3.00	5	Pregelatinized starch	3.00

OMEPRAZOLE TABLETS (10 MG/20 MG)

Bill of Materials

Scale (mg/ tablet)	Item	Material Name	Quantity/ 1000 Tablets (g)
10.00	1	Omeprazole	10.00
175.00	2	Calcium glycerophosphate	175.00
175.00	3	Calcium lactate	175.00
250.00	4	Sodium bicarbonate	250.00
20.00	5	Polyethylene glycol 6000	20.00
12.00	6	Croscarmellose sodium	12.00
3.00	7	Peppermint flavor	3.00
1.00	8	Magnesium silicate	1.00
1.00	9	Magnesium stearate	1.00

OMEPRAZOLE TABLETS, CHEWABLE (10 MG/20 MG)

Bill of Materials

Scale (mg/ tablet)	Item	Material Name	Quantity/ 1000 Tablets (g)
10.00	1	Omeprazole	10.00
175.00	2	Calcium lactate	175.00
175.00	3	Calcium glycerophosphate	175.00
250.00	4	Sodium bicarbonate	250.00
0.50	5	Aspartame calcium	0.50
12.00	6	Silicon dioxide colloidal	12.00
15.00	7	Starch (maize)	15.00
12.00	8	Croscarmellose sodium	12.00
10.00	9	Dextrose anhydrous	10.00
3.00	10	Peppermint flavor	3.00
3.00	11	Maltodextrin	3.00
3.00	12	Mannitol	3.00
3.00	13	Pregelatinized starch	3.00

MANUFACTURING DIRECTIONS

- Pass all ingredients through a 250 µm mesh, and blend in a suitable blender.
- Compress into 672 mg tablets, using 15 mm biplanar punches. For 20 mg tablets, increase the quantity of item 1, and compress an additional 10 mg.

OMEGA FATTY ACIDS TABLETS

Bill of Materials

Scale (mg/ tablet)	Item	Material Name	Quantity/ 1000 Tablets (g)
140.00 g	1	Omega fatty acids dry N-3	140.00
140.00 g	2	Avicel™ PH101	140.00
8.40 g	3	Kollidon® VA 64	8.40
2.00 g	4	Magnesium stearate	2.00

OMEPRAZOLE TABLETS, RAPID DISSOLUTION (20 MG)

Bill of Materials

Scale (mg/ tablet)	Item	Material Name	Quantity/ 1000 Tablets (g)
20.00	1	Omeprazole	10.00
175.00	2	Calcium lactate	175.00
175.00	3	Calcium glycerophosphate	175.00
500.00	4	Sodium bicarbonate	500.00
50.00	5	Calcium hydroxide	50.00
12.00	6	Croscarmellose sodium	12.00

MANUFACTURING DIRECTIONS

1. Pass all components through a 0.8 mm sieve, mix, and press with high-compression force.
2. Compress into 289 mg tablets, using 9 mm biconvex punches.
3. The dry powder omega fatty acids dry N-3 contains 25% fish oil; this fish oil consists of about 30% eicosapentaenoic acid + docosahexaenoic acid.
4. These tablet cores could be coated with an enteric coating of Kollicoat MAE 30 D. (See Appendix for more choices.)

ORLISTAT CHEWABLE TABLETS**MANUFACTURING DIRECTIONS**

1. Melt together orlistat (60 g) and myristic acid (30 g) at 50°C.
2. Add mannitol (400 g) and lactose (400 g), and cool the mixture to room temperature under continuous stirring.
3. Add and homogeneously distribute talcum (10 g).
4. Press the powder into tablets of 960 mg weight (=orlistat content of 120 mg).

ORLISTAT CHEWABLE TABLETS**MANUFACTURING DIRECTIONS**

1. Melt together orlistat (120 g) and myristic acid (30 g) at 50°C.
2. Add sucrose palmitate (PEG40 stearate, 12 g) and lactose (15 g), and cool the mixture to room temperature under continuous stirring.
3. Press the powder into tablets of 960 mg weight (=orlistat content of 120 mg).

ORLISTAT CHEWABLE TABLETS**MANUFACTURING DIRECTIONS**

1. Mix together orlistat (120 g), sodium laurate (30 g), mannitol (80 g), and HPMC 3 cps (60 g) with step-wise addition of a (50:50% m/m) ethanol/water mixture (0.2 mL/g).
2. Dry the formed granules in vacuum at 30°C to constant weight and press into tablets (each containing 120 mg orlistat).

OXPRENOLOL RETARD TABLETS**MANUFACTURING DIRECTIONS**

1. Homogeneously mix 15.6 kg of 3-(4-chloro-3-sulfamoylphenyl)-3-hydroxyisoindolin-1-one (chlorthalidone), 3.0 kg of microcrystalline cellulose, 6.456 kg of dicalcium phosphate, 0.9 kg of cornstarch, 0.024 kg of iron yellow, and 0.120 kg of magnesium stearate.

2. Carry out the pressing of the two active substance mixtures to form capsule-shaped tablets. The tablets should have a length of 18.0 mm, a width of 5.5 mm, a depth of approximately 5.6 mm, and a radius of curvature of 3.5 mm; the depth of the dividing notches provided on both sides is 1.47 mm in each case.

OXPRENOLOL RETARD TABLETS**MANUFACTURING DIRECTIONS**

1. Granulate a mixture of 9.6 kg of the ground hydrochloride of 1-(2-allyloxyphenoxy)-3-isopropylam inopropan-2-ol (ox-prenolol) and 6.98 kg of ground lactose together with 16.0 kg of a 30% aqueous dispersion of the 70:30 copolymer of ethyl acrylate and methyl methacrylate in the fluidized bed; the spraying-in speed should be 0.7 L/min, and the temperature of the supply air 38°C. Dry the mixture in the same apparatus for 25 minutes at a supply air temperature of 40°C. With the simultaneous addition of 0.12 kg of colloidal silicon dioxide, 0.3 kg of calcium stearate, and 4.0 kg of stearic acid, force the granulate through a sieve of 1 mm mesh width and then mix in a planetary mixer for 15 minutes.
2. Carry out pressing of the granulate to form capsule-shaped biconvex tablets each weighing 410 mg on a tablet press having guided dies (the two opposing dies being provided with wedges for forming the dividing notches) having the following dimensions: length=16.5 mm, width=6.0 mm, and radius of curvature=3.6 mm. The tapering dividing notches provided on both sides should each be 1.47 mm in depth; the depth of the compact approximately 5.4 mm.
3. Carry out coating in a coating vessel of 55 cm diameter which is equipped with baffle plates. Continuously spray 5 kg of compacts using a binary nozzle with a coating solution or suspension of the following composition. Dissolve 0.1 kg of hydroxypropyl methylcellulose (viscosity 5 cps) in 1.2 kg of demineralized water.
4. To this add, while stirring, 0.005 kg of polysorbate 80, 0.05 kg of talc, and 0.1 kg of a 20% homogeneous suspension of titanium dioxide in a solution of 0.007 kg of hydroxypropyl methylcellulose (5 cps) in 90% ethanol. The supply air temperature should be 60°C; maintain the temperature of the compacts in the vessel at approximately 35°C. The amount of film coating to be sprayed on is 19 mg (dry weight) per compact.

OXPRENOLOL RETARD TABLETS**MANUFACTURING DIRECTIONS**

1. Homogeneously mix 15.6 kg of 3-(4-chloro-3-sulfamoylphenyl)-3-hydroxyisoindolin-1-one (chlorthalidone), 3.0 kg of microcrystalline cellulose, 6.456

kg of dicalcium phosphate, 0.9 kg of cornstarch, 0.024 kg of iron yellow, and 0.120 kg of magnesium stearate.

- Carry out the pressing of the two active substance mixtures to form capsule-shaped tablets. The tablets should have a length of 18.0 mm, a width of 5.5 mm, a depth of approximately 5.6 mm, and a radius of curvature of 3.5 mm; the depth of the dividing notches provided on both sides should be 1.47 mm in each case.

OXYBUTYNIN CHLORIDE TABLETS (5 MG/10 MG) DITROPAN

Each Ditropan XL extended-release tablet contains 5 or 10 mg of oxybutynin chloride USP, formulated as a once-a-day controlled-release tablet for oral administration. Ditropan XL also contains the following inert ingredients: cellulose acetate, hydroxypropyl methylcellulose, lactose, magnesium stearate, polyethylene glycol, polyethylene oxide, synthetic iron oxides, titanium dioxide, polysorbate 80, sodium chloride, and butylated hydroxytoluene.

Ditropan XL uses osmotic pressure to deliver oxybutynin chloride at a controlled rate over approximately 24 hours. The system, which resembles a conventional tablet in appearance, comprises an osmotically active bilayer core surrounded by a semipermeable membrane. The bilayer core is composed of a drug layer, containing the drug and excipients, and a push layer, containing osmotically active components. There is a precision laser-drilled orifice in the semipermeable membrane on the drug-layer side of the tablet. In an aqueous environment, such as the gastrointestinal tract, water permeates through the membrane into the tablet core, causing the drug to go into suspension and the push layer to expand. This expansion pushes the suspended drug out through the orifice. The semipermeable membrane controls the rate at which water permeates into the tablet core, which in turn, controls the rate of drug delivery. The controlled rate of drug delivery into the gastrointestinal lumen is thus independent of pH or gastrointestinal motility. The function of Ditropan XL depends on the existence of an osmotic gradient between the contents of the bilayer core and the fluid in the gastrointestinal tract. Because the osmotic gradient remains constant, drug delivery remains essentially constant. The biologically inert components of the tablet remain intact during gastrointestinal transit and are eliminated in the feces as an insoluble shell.

OXYBUTYNIN HYDROCHLORIDE TABLETS

Bill of Materials

Scale (mg/ tablet)	Item	Material Name	Quantity/ 1000 Tablets (g)
15.00	1	Oxybutynin hydrochloride	15.00
15.00	2	Polyvinylpyrrolidone	15.00
3.00	3	Silicon dioxide	3.00
100.00	4	Lactose	100.00
30.00	5	Fumaric acid	30.00
1.50	6	Sodium stearyl fumarate	1.50

MANUFACTURING DIRECTIONS

- Place oxybutynin hydrochloride, fumaric acid, and lactose in a fluidized-bed apparatus.
- Spray an aqueous PVP solution (in 85 g of water) to get granules.
- Dry the granules thus obtained and pass through a sieve (1 mm mesh), and weigh, add, and blend sodium stearyl fumarate in a drum mixer.
- Press the resulting mixture into tablets (7 mm diameter and 7 mm curvature) with average hardness being between 60 and 120 N and a total weight of 164.50 mg.
- Coat these tablet cores with the following formulation: ethyl cellulose (Ethocel), 10.10 mg; polyvinylpyrrolidone (povidone), 5.50 mg; stearic acid, 2.40 mg; for total weight of 182.50 mg.
- First dissolve Ethocel, povidone, and stearic acid in denatured alcohol (180 g). Spray the coating solution onto the tablet cores in a coating pan.

OXYBUTYNIN HYDROCHLORIDE TABLETS

Bill of Materials

Scale (mg/ tablet)	Item	Material Name	Quantity/ 1000 Tablets (g)
10.00	1	Oxybutynin hydrochloride	10.00
15.00	2	Polyvinylpyrrolidone	15.00
3.00	3	Silicon dioxide colloidal	3.00
100.00	4	Lactose	100.00
30.00	5	Fumaric acid	30.00
1.50	6	Sodium stearyl fumarate	1.50
—	7	Water, purified	85.00

MANUFACTURING DIRECTIONS

- Place oxybutynin hydrochloride, fumaric acid, and lactose in fluidized-bed equipment.
- Prepare in a separate container an aqueous PVP solution (in 85 g of water).

- Spray the solution in step 2 into step 1 to form granules at a typical setting using a fluid-bed dryer: Airflow= 100 to 110 m³/h; liquid flow (g/min)=6 to 7 g/min; inlet temperature=65°C; and spraying pressure=2.8 bar.
- Pass dried granules through a sieve (1 mm mesh). Sodium stearyl fumarate is weighed, added, and blended in a drum mixer.
- Compress using 7 mm punches at 164 mg.
- Coat the tablets using the following formula per tablet: ethyl cellulose (Ethocel), 10.10 mg; polyvinylpyrrolidone (povidone), 5.50; stearic acid, 2.40; and the total weight (dry weight of coated tablet) is 182.50.

OXYCODONE HYDROCHLORIDE AND ACETAMINOPHEN TABLETS (5 MG/325 MG), PERCOCET

Each tablet of Percocet contains acetaminophen, 325 mg, and oxycodone HCl, 5 mg (5 mg oxycodone HCl is equivalent to 4.4815 mg oxycodone). The inactive ingredients are microcrystalline cellulose, povidone, pregelatinized starch, stearic acid, and other ingredients.

OXYCODONE AND ACETAMINOPHEN TABLETS

Bill of Materials

Scale (mg/tablet)	Item	Material Name	Quantity/1000 Tablets (g)
325.00	1	Acetaminophen powder	325.00
4.48	2	Oxycodone; use oxycodone hydrochloride	5.00
6.00	3	Colloidal silicon dioxide	6.00
77.00	4	Microcrystalline cellulose	77.00
32.00	5	Croscarmellose sodium	32.00
13.00	6	Hydroxypropyl methylcellulose	13.00
62.00	7	Starch (maize)	62.00
2.00	8	Magnesium stearate	2.00
—	9	Water, purified	QS

MANUFACTURING DIRECTIONS

- Pass hydrocodone bitartrate through a 20 mesh screen, and pass acetaminophen and colloidal silicon dioxide (50%) through a Frewitt SG Turbo Sieve equipped with a 1.0 mm round-hole screen, an angle bar, a cloth skirt, and a polyethylene-line collecting drum at speed setting 5 (approximately 1030 rpm).
- Pass microcrystalline cellulose (50%), croscarmellose sodium (50%), cornstarch (66%), and hydroxypropyl methylcellulose through the Turbosieve at the same settings as in step 2. Load screened powders

into a Lodige MGT-600 mixer, and mix for 5 minutes with the plow speed at approximately 103 rpm and no choppers.

- Add water to the mixer over a 10 minute period, using a stainless steel transfer container with a valve, while mixing with the plows at about 103 rpm and the choppers at slow speed.
- Mix the wet mass for another 15 minutes until a Wattmeter reading of 15 to 16 mkW is reached.
- Dry the material. Preheat a Glatt fluid-bed dryer by running it for 2.5 minutes at 60°C inlet air temperature at 3500 m³/h. Set the exhaust blower bypass speed at about 40%, the filter shaking interval for about 2 minutes, and the filter shake duration at 5 seconds. Transfer the material in the dryer for drying. Decrease the inlet air to 2500 m³/h and the inlet air temperature to 55°C after 30 minutes. Dry the material until an LOD of less than 0.5% is reached.
- Pass the dried granulation through a FitzMill using a 20 mesh wire screen, with knives forward, at medium speed.
- Pass the remaining microcrystalline cellulose and the colloidal silicon dioxide through a sieve equipped with a 1 mm round-hole screen, an angle bar, a cloth skirt, and a polyethylene-lined collecting drum.
- Add magnesium stearate, and mix for 3 minutes.
- Compress using a 13/32 round tooling.

OXYCODONE HYDROCHLORIDE TABLETS (5 MG)

Each tablet contains oxycodone hydrochloride, 5 mg. The tablets also contain microcrystalline cellulose and stearic acid. The oral solution contains alcohol, FD&C Red No. 40, flavoring, glycol, sorbitol, water, and other ingredients.

OXYTETRACYCLINE TABLETS (250 MG)

Bill of Materials

Scale (mg/tablet)	Item	Material Name	Quantity/1000 Tablets (g)
250.00	1	Oxytetracycline hydrochloride	250.00
230.00	2	Ludipress®	230.00
6.00	3	Magnesium stearate	6.00

MANUFACTURING DIRECTIONS

- Mix all components, pass through a 0.8 mm sieve, and press with very low-compression force.
- Compress into 495 mg tablets, using 12 mm biplanar punches.

PANCREATIN AND CHOLIC ACID TABLETS

Bill of Materials			
Scale (mg/ tablet)	Item	Material Name	Quantity/ 1000 Tablets (g)
130.00	1	Pancreatin	130.00
2.00	2	Cholic acid	2.00
127.00	3	Avicel™ PH101	127.00
56.00	4	Lactose monohydrate	56.00
2.00	5	Magnesium stearate	2.00
3.00	6	Aerosil® 200	3.00

MANUFACTURING DIRECTIONS

1. Mix the components, and press with high-compression force.
2. Compress into 324 mg tablets, using 9 mm biconvex punches.
3. Coat by enteric coating. (See Appendix.)

PANCREATIN TABLETS

Bill of Materials			
Scale (mg/ tablet)	Item	Material Name	Quantity/ 1000 Tablets (g)
30.00	1	Pancreatin	30.00
308.00	2	Ludipress®	308.00
10.00	3	Kollidon® CL	10.00
2.00	4	Magnesium stearate	2.00

MANUFACTURING DIRECTIONS

1. Mix the components, pass through a 0.8 mm sieve, and press with low-compression force.
2. Compress into 355 mg tablets, using 8 mm biconvex punches.
3. Coat by enteric coating. (See Appendix.)

PANCREATIN TABLETS

Bill of Materials			
Scale (mg/ tablet)	Item	Material Name	Quantity/ 1000 Tablets (g)
300.00	1	Pancreatin	300.00
290.00	2	Ludipress®	290.00
25.00	3	Kollidon® CL	25.00
3.00	4	Magnesium stearate	3.00

MANUFACTURING DIRECTIONS

1. Mix the components, pass through a 0.8 mm sieve, and press to tablets with low-compression force.
2. Compress into 615 mg tablets, using 11 mm biconvex punches.
3. Coat by enteric coating. (See Appendix.)

PANTOPRAZOLE TABLETS, PROTONIX

Protonix is supplied as a delayed-release tablet for oral administration, available in two strengths. Each delayed-release tablet contains 45.1 or 22.6 mg of pantoprazole sodium sesquihydrate (equivalent to 40 or 20 mg of pantoprazole, respectively), with the following inactive ingredients: calcium stearate, crospovidone, hydroxypropyl methylcellulose, iron oxide, mannitol, methacrylic acid copolymer, polysorbate 80, povidone, propylene glycol, sodium carbonate, sodium lauryl sulfate, titanium dioxide, and triethyl citrate.

PANTOPRAZOLE TABLETS

Bill of Materials			
Scale (mg/ tablet)	Item	Material Name	Quantity/ 1000 Tablets (g)
10.00	1	Pantoprazole	10.00
200.00	2	Calcium glycerophosphate	200.00
400.00	3	Sodium bicarbonate	400.00
12.00	4	Croscarmellose sodium	12.00
3.00	5	Pregelatinized starch	3.00

PANTOPRAZOLE TABLETS (10 MG/20 MG)

Bill of Materials			
Scale (mg/ tablet)	Item	Material Name	Quantity/ 1000 Tablets (g)
10.00	1	Pantoprazole	10.00
175.00	2	Calcium glycerophosphate	175.00
175.00	3	Calcium lactate	175.00
250.00	4	Sodium bicarbonate	250.00
20.00	5	Polyethylene glycol 6000	20.00
12.00	6	Croscarmellose sodium	12.00
3.00	7	Peppermint flavor	3.00
1.00	8	Magnesium silicate	1.00
1.00	9	Magnesium stearate	1.00

PANTOPRAZOLE TABLETS, CHEWABLE (10 MG/20 MG)

Bill of Materials

Scale (mg/ tablet)	Item	Material Name	Quantity/ 1000 Tablets (g)
10.00	1	Pantoprazole	10.00
175.00	2	Calcium lactate	175.00
175.00	3	Calcium glycerophosphate	175.00
250.00	4	Sodium bicarbonate	250.00
0.50	5	Aspartame calcium	0.50
12.00	6	Silicon dioxide colloidal	12.00
15.00	7	Starch (maize)	15.00
12.00	8	Croscarmellose sodium	12.00
10.00	9	Dextrose anhydrous	10.00
3.00	10	Peppermint flavor	3.00
3.00	11	Maltodextrin	3.00
3.00	12	Mannitol	3.00
3.00	13	Pregelatinized starch	3.00

MANUFACTURING DIRECTIONS

1. Pass all ingredients through a 250 μm mesh, and blend in a suitable blender.
2. Compress into 672 mg tablets, using 15 mm biplanar punches. For 20 mg tablets, increase the quantity of item 1, and compress an additional 10 mg.

PANTOPRAZOLE TABLETS, RAPID DISSOLUTION (20 MG)

Bill of Materials

Scale (mg/ tablet)	Item	Material Name	Quantity/ 1000 Tablets (g)
20.00	1	Pantoprazole	10.00
175.00	2	Calcium lactate	175.00
175.00	3	Calcium glycerophosphate	175.00
500.00	4	Sodium bicarbonate	500.00
50.00	5	Calcium hydroxide	50.00
12.00	6	Croscarmellose sodium	12.00

PAPAIN CHEWABLE TABLETS

Bill of Materials

Scale (mg/ tablet)	Item	Material Name	Quantity/ 1000 Tablets (g)
1.00	1	Papain	1.00
150.00	2	Lycasin	150.00
17.40	3	Hydrogenated vegetable oil	17.40
9.60	4	Water	9.60
5.8	5	Gelatin (40% solution)	5.8
17.4	6	Starch-coated dicalcium phosphate	17.4
1.60	7	Monodiglyceride mixture	1.60
0.60	8	Lecithin	0.60
0.10	9	Aspartame	0.10
0.10	10	Vanillin	0.10
0.20	11	Glycerin	0.20
0.20	12	Sodium bicarbonate	0.20
0.38	13	Mint flavor	0.38

MANUFACTURING DIRECTIONS

1. Boil lycasin, water, fat, mono- and diglyceride mixture, glycerin, and lecithin to 131°C.
2. Add glycerin and cool the mixture to 60°C.
3. Add sodium bicarbonate, papain, dicalcium phosphate, and the remaining ingredients.
4. Thereafter, cool the mixture to room temperature and grind into powder and compress into a 205 mg tablet using a tablet press.

PAPAVERINE HYDROCHLORIDE RETARD TABLETS

Formulation: Cetyl alcohol, 10 g; hydroxyethyl cellulose, 5 g; papaverine hydrochloride, 75 g; talc, 10 g.

MANUFACTURING DIRECTIONS

1. Melt cetyl alcohol in a jacketed vessel and incorporate papaverine hydrochloride, blend well, and granulate through a 16 mesh sieve. Dry at room temperature.
2. Hydrate the hydroxyethyl cellulose with 15 g of water.
3. Blend the granules obtained as a result of step 1 with the hydrated cellulose component of step 2 and mix well.
4. Granulate the whole through a 16 mesh sieve and dry.
5. Compress into tablets of suitable size and shape.

PARA AMINO SALICYLIC ACID TABLETS (500 MG)

Bill of Materials			
Scale (mg/ tablet)	Item	Material Name	Quantity/ 1000 Tablets (g)
500.00	1	Calcium para amino salicylic acid	500.00
280.00	2	Ludipress®	280.00
35.00	3	Kollidon® 35	35.00
—	4	Isopropyl alcohol	QS
5.00	5	Magnesium stearate	5.00
5.00	6	Talc	5.00

MANUFACTURING DIRECTIONS

1. Granulate items 1 and 2 with a solution of items 3 and 4. Dry the granules, and lubricate with items 5 and 6.
2. Compress into 825 mg tablets, using 16 mm biplanar punches.

PAROXETINE HYDROCHLORIDE TABLETS

Bill of Materials			
Scale (mg/ tablet)	Item	Material Name	Quantity/ 1000 Tablets (g)
20.00	1	Paroxetine; use paroxetine hydrochloride hemihydrate	22.67
83.34	2	Dicalcium phosphate (Ditab)	83.84
50.67	3	Microcrystalline cellulose (Avicel™ PH 102)	50.67
8.34	4	Sodium starch glycolate (Explotab)	8.34
1.67	5	Magnesium stearate	1.67
Bill of Materials			
Scale (mg/ tablet)	Item	Material Name	Quantity/ 1000 Tablets (g)
30.00	1	Paroxetine; use paroxetine hydrochloride hemihydrate	34.00
125.00	2	Dicalcium phosphate (Ditab)	125.00
76.00	3	Microcrystalline cellulose (Avicel™ PH 102)	76.00
12.50	4	Sodium starch glycolate (Explotab)	12.50
2.50	5	Magnesium stearate	2.50

MANUFACTURING DIRECTIONS

1. Pass item 2 through a screen, and weigh it into a planetary mixer.

2. Add 30 mesh screened paroxetine to the bowl.
3. Add 20 mesh screened Avicel™ and Explotab, and mix all the powders for 10 minutes.
4. Add magnesium stearate, and mix for 5 minutes.
5. Compress into pentagonal tablets using 9.5 mm punches for 30 mg tablets and 8.25 mg for 20 mg tablets. Compress 250 and 166.7 mg, respectively.

PAROXETINE HYDROCHLORIDE TABLETS (10 MG/20 MG/30 MG/40 MG), PAXIL®

1. Immediate-release tablets—Each film-coated Paxil® tablet contains paroxetine HCl equivalent to paroxetine as follows. 10 mg: yellow; 20 mg: pink (scored); 30 mg: blue; and 40 mg: green. Inactive ingredients consist of dibasic calcium phosphate dihydrate, hydroxypropyl methylcellulose, magnesium stearate, polyethylene glycols, polysorbate 80, sodium starch glycolate, titanium dioxide, and one or more of the following: D&C Red No. 30, D&C Yellow No. 10, FD&C Blue No. 2, and FD&C Yellow No. 6.
2. Controlled-release tablets—Each enteric, film-coated, bilayer, controlled-release Paxil® tablet contains paroxetine HCl equivalent to paroxetine as follows: 12.5 mg and 25 mg. One layer of the tablet consists of a degradable barrier layer, and the other contains the active material in a hydrophilic matrix. The barrier layer is pale yellow and pink for the 12.5 and 25 mg strength tablets, respectively; the active layer is white. Inactive ingredients consist of hydroxypropyl methylcellulose, polyvinylpyrrolidone, lactose monohydrate, magnesium stearate, colloidal silicon dioxide, glyceryl behenate, methacrylic acid copolymer type C, sodium lauryl sulfate, polysorbate 80, talc, triethyl citrate, and one or more of the following colorants: yellow ferric oxide and red ferric oxide.

PAROXETINE HYDROCHLORIDE HEMIHYDRATE TABLETS

Bill of Materials			
Scale (mg/ tablet)	Item	Material Name	Quantity/ 1000 Tablets (g)
20.00	1	Paroxetine hydrochloride hemihydrate	22.76
160.24	2	Dibasic calcium phosphate hemihydrate	160.24
8.00	3	Povidone anhydrous PVP K30	8.00
6.00	4	Sodium starch glycolate	6.00
3.00	5	Magnesium stearate	3.00
QS	6	Water	QS

MANUFACTURING DIRECTIONS

1. Premix paroxetine hydrochloride hemihydrate, dibasic calcium phosphate anhydrous, sodium starch glycolate, and povidone and granulate with water.
2. Mix the granulate, after drying and milling through a 0.6 mm sieve, with dibasic calcium phosphate anhydrous and sodium starch glycolate in a dry state for 20 minutes. Then, add magnesium stearate, followed by mixing for a further 5 minutes.
3. Press tablets (approximately 206 mg) from the resulting mixture and coat with a coating suspension of Opadry® containing the composition (%w/w) titanium dioxide, 31.250; hydroxypropyl methylcellulose, 29.875 (Methocel E3 Premium); hydroxypropyl methylcellulose, 29.875 (Methocel E5 Premium); polyethylene glycol 400, 8.000; polysorbate 80 (Tween), 1.000).

PAROXETINE HYDROCHLORIDE HEMIHYDRATE TABLETS**Bill of Materials**

Scale (mg/ tablet)	Item	Material Name	Quantity/ 1000 Tablets (g)
22.76	1	Paroxetine hydrochloride hemihydrate	22.76
160.24	2	Dibasic calcium phosphate anhydrous	160.24
8.00	3	Anhydrous povidone (PVP K-30)	8.00
6.00	4	Sodium starch glycolate	6.00
3.00	5	Magnesium stearate	3.00
QS	6	Purified water QS	QS

MANUFACTURING DIRECTIONS

1. Premix paroxetine hydrochloride hemihydrate, dibasic calcium phosphate anhydrous, sodium starch glycolate, and povidone and granulate with water.
2. Mix the granulate, after drying and milling through a 0.6 mm sieve, with dibasic calcium phosphate anhydrous and sodium starch glycolate in a dry state for 20 minutes. Then, add magnesium stearate, followed by mixing for a further 5 minutes.
3. Press tablets from the resulting mixture and coat with a coating suspension of Opadry® coating suspension (Opadry 6.0). Composition: (%w/w) titanium dioxide, 31.250%; hydroxypropyl methylcellulose, 29.875% (Methocel E3 Premium); hydroxypropyl methylcellulose, 29.875% (Methocel E5 Premium); polyethylene glycol 400, 8.000%; polysorbate 80 (Tween), 1.000%.
4. Tablet weight should give about 20 mg strength (approximately 206 mg).

PENICILLIN CHEWABLE TABLETS (125 MG)

Each tablet contains Penicillin V potassium equivalent to 250 mg (400,000 units) or 500 mg (800,000 units) Penicillin V. The tablets also contain lactose, magnesium stearate, povidone, starch, stearic acid, and other inactive ingredients.

PENICILLIN CHEWABLE TABLETS**Bill of Materials**

Scale (mg/ tablet)	Item	Material Name	Quantity/ 1000 Tablets (g)
277.16	1	Mannitol	277.16
53.00	2	Sugar	53.00
21.20	3	Sodium cyclamate	21.20
2.30	4	Saccharin sodium	2.30
125.00	5	Penicillin; use benzathine Penicillin V, 3% excess	172.83
—	6	Water, purified, ca	96.00 mL
5.50	7	Raspberry flavor	5.50
4.40	8	Polacrillin potassium (Amberlite IRP-88)	4.40
11.60	9	Talc	11.60
35.00	10	Magnesium stearate	35.00

Note: Adjust the weight of penicillin for potency, and alter the weight of mannitol to compensate. The weight of sodium citrate is 450 minus the weight of penicillin.

MANUFACTURING DIRECTIONS

Note: Allergic reactions sometimes occur with penicillin. Avoid contact as much as possible, and use equipment dedicated to penicillin or cephalosporin products. The LOD limits are low, so use an air-conditioned area.

1. Granulation

- a. Mill mannitol, sugar, sodium cyclamate, and sodium saccharin through a 2.38 mm aperture screen using a suitable comminuting mill, with knives forward, at medium speed.
- b. Add the milled materials from step 1 to the mixer, and then add the penicillin. Mix for 10 minutes. Add the water slowly, cleaning the sides of the mixer as necessary. Mix for 10 minutes after the water is added. The final mass should have a sandy appearance.
- c. Transfer the wet granulation to the bowl of a fluid-bed dryer through a 6.7 mm aperture screen. Dry at 30°C for 20 minutes. Stir, then pass the granulation by hand through a 5.5 mm aperture screen. After that, transfer the granulation to the bowl of the fluid-bed dryer.
- d. Continue drying at 60°C, turning over after each 30 minutes, until the LOD is no more than 0.8% (drying time is approximately 60 minutes).

- e. Screen the dried granules through an 840 μm aperture screen on a suitable sieve shaker, and pass the coarse material through a 1.6 mm aperture screen on a comminuting mill, at low speed, with knives forward.
- f. Screen the flavor, polacrillin potassium, magnesium stearate, and talc through a 595 μm screen on a sieve shaker. Load the screened powders into a suitable blender.
- g. Load the screened and milled granules from step 5 into the blender, and blend for 30 minutes.
- h. Discharge the granulation into tared polyethylene-lined drums, and seal the bags. Weigh them for yield.
- i. Compress on 9.53 mm square punches. Note the weight according to the adjustments made (hardness: 10–12 kPa diagonally, 15–21 kPa flat).

PEPTIDE SUBLINGUAL TABLETS

Formulation: The individual component peptides each have a molecular weight of less than 20,000 Daltons. Thymosin fraction, 5%; water, 5.0%; sucrose/lactose, 69.5%; propylene glycol, 0.5%; silicon dioxide, 15.0%; methyl nicotinate, 0.5%.

MANUFACTURING DIRECTIONS

1. Form the wetted mixture into tablets of a desired weight, and then dry the tablets at 30°C for 36 hours.

PERFLOXACIN TABLETS (400 MG)

Bill of Materials			
Scale (mg/ tablet)	Item	Material Name	Quantity/ 1000 Tablets (g)
400.00	1	Perfloxacin; use perfloxacin mesylate	592.00
63.00	2	Lactose monohydrate	63.00
42.00	3	Dicalcium phosphate	42.00
52.00	4	Starch (maize)	52.00
22.00	5	Starch (maize)	22.00
1.00	6	Gelatin	1.00
15.60	7	Sodium starch glycolate	15.60
10.00	8	Talc	10.00
5.00	9	Magnesium stearate	5.00
3.00	10	Sodium starch glycolate	3.00
10.00	11	Starch (maize)	10.00
—	12	Water, purified	QS

MANUFACTURING DIRECTIONS

1. Sift items 1 to 4 through a 250 μm sieve, and load into a suitable vessel; mix it for 10 minutes.
2. In a separate vessel, place items 5 to 7, and add hot item 12 to make a 30% starch paste.
3. Add the paste in step 2 to step 1, and form a wet mass suitable for granulating.
4. Pass the wet mass through an 8 mesh sieve, and spread it on paper-lined trays.
5. Dry the granules at 50°C overnight until an LOD of not more than 3% is reached.
6. Pass the dried granules through a 1.19 mm sieve screen into a blending vessel.
7. Sift items 8 to 11 through a 250 μm sieve, and add to step 6. Blend for 2 minutes.
8. Compress into 815 mg tablets, using an 18.8×8.8 mm punch.
9. Coat the material with an HPMC methylene chloride coating. (See Appendix.)

PHENDIMETRAZINE TABLETS (35 MG)

Bill of Materials			
Scale (mg/ tablet)	Item	Material Name	Quantity/ 1000 Tablets (g)
35.00	1	Phendimetrazine	35.00
281.00	2	Ludipress®	281.00
281.00	3	Starch (maize)	281.00
3.00	4	Magnesium stearate	3.00
3.00	5	Aerosil® 200	3.00

MANUFACTURING DIRECTIONS

1. Pass all components through a 0.8 mm sieve, mix, and press with medium-compression force.
2. Compress into 604 mg tablets, using 12 mm biplanar punches. The amount of Ludipress® and cornstarch may be reduced to obtain better disintegration times.

PHENINDIONE TABLETS (50 MG)

Bill of Materials			
Scale (mg/ tablet)	Item	Material Name	Quantity/ 1000 Tablets (g)
50.00	1	Phenindione	50.00
165.00	2	Ludipress®	165.00
2.00	3	Magnesium stearate	2.00

MANUFACTURING DIRECTIONS

- Mix all components, pass through a 0.8 mm sieve, and press with low-compression force.
- Compress into 230 mg tablets, using 8 mm biplanar punches.

PHENOXYMETHYL PENICILLIN POTASSIUM TABLETS (250 MG)**Bill of Materials**

Scale (mg/ tablet)	Item	Material Name	Quantity/ 1000 Tablets (g)
58.10	1	Sodium citrate powder	68.10
250.00	2	Penicillin V acid; use phenoxyethyl potassium ^a	277.20
29.50	3	Povidone K 29–32	29.50
—	4	Alcohol SD 3A 200 proof, ca	100 mL
16.00	5	Starch (maize)	16.00
16.00	6	Talc	16.00
6.10	7	Magnesium stearate	6.10

^a Adjust the quantity based on the factored potency and adjusted by sodium citrate. Starch must be dried. The amount of sodium citrate is 345.30 – weight of item 2.

MANUFACTURING DIRECTIONS

Note: Allergic reactions sometimes occur with penicillin. Avoid contact as much as possible, and use equipment dedicated to penicillin or cephalosporin products. The LOD limits are low, so use an air-conditioned area.

1. Granulation

Note: Dried cornstarch must be used for lubrication. Dry the starch at 80°C for 36 hours prior to its use in manufacturing. Check the LOD of starch. The LOD must be less than 2%.

- Mill separately the sodium citrate through a 595 μ m aperture screen using a suitable comminuting mill, at medium speed, with impact forward, and the penicillin through a 595 μ m aperture screen with knives forward, at high speed. In a suitable mixer, mix them for 5 minutes.
- Dissolve povidone in 100 mL of alcohol in a dry stainless steel bucket.
- Add PVP–alcohol slowly to the mixer, and mix for 30 minutes or until balls form in the sandy mixture. Add and record extra alcohol if required.
- Pass the mass through a 9.52 mm aperture screen, place into a fluid-bed dryer bowl, and dry

at 50°C for 1 hour. Turn over as necessary. The LOD should not be more than 0.7%.

- Mill the granules through a 1.59 mm aperture screen using a suitable comminuting mill, with knives forward, at medium speed. Put the granules into tared polyethylene-lined drums, then seal, and weigh.
- Lubrication
 - Transfer the dried granulation to a suitable blender.
 - Screen the dried starch and talcum through a 595 μ m aperture screen on a sieve shaker, and add to the blender. Blend this mixture for 30 minutes.
 - Screen the magnesium stearate through a 595 μ m aperture screen on a sieve shaker, and add it to the blender. Blend this for 30 minutes.
 - Discharge the granules into polyethylene-lined drums. Then, seal and weigh for yield.
 - Compression
 - Compress using 10.32 mm round, standard concave punches.
 - Compress to calculated weight after adjustments, with a variation not more than 3%; thickness between 4.4 and 4.6 mm (range not more than $\pm 5\%$); hardness between 10 and 14 kPa; and disintegration time no more than 15 minutes in water.
 - Coating: Coat by a Methocel subcoat, color coat, and polishing coat. (See Appendix.)

PHENOLPHTHALEIN TABLETS**Bill of Materials**

Scale (mg/ tablet)	Item	Material Name	Quantity/ 1000 Tablets (g)
200.00	1	Phenolphthalein	200.00
150.00	2	Dibasic calcium phosphate	150.00
11.00	3	Kollidon® 30	11.00
—	4	Isopropanol or ethanol (96%)	QS
19.00	5	Kollidon® CL	19.00
3.00	6	Magnesium stearate	3.00

MANUFACTURING DIRECTIONS

- Granulate mixture of items 1 and 2 with solution of items 3 and 4, mix with items 5 and 6, pass through a 0.8 mm sieve, and press with low-compression force.
- Compress into 385 mg tablets, using 9 mm biconvex punches.

PHENOLPHTHALEIN TABLETS**Bill of Materials**

Scale (mg/ tablet)	Item	Material Name	Quantity/ 1000 Tablets (g)
90.00	1	Yellow phenolphthalein	90.00
64.80	2	Microcrystalline cellulose	64.80
187.20	3	Dicalcium phosphate	187.20
3.60	4	Croscarmellose sodium	3.60
3.60	5	Fumed silica	3.60
7.20	6	Stearic acid	7.20
3.60	7	Magnesium stearate	3.60

MANUFACTURING DIRECTIONS

1. Screen items 6 and 7 through a 40 mesh sieve.
2. Blend items 1 and 5 in a V-blender for 3 minutes.
3. Add items 2 and 4 to the blender, and mix for 5 minutes.
4. Add item 3 to the blender, and mix for 12 minutes.
5. Add item 6, and blend for 3 minutes.
6. Add item 7, and mix for another 5 minutes.
7. Compress using 3/8 in., flat, bevel-edged punches to hardness of 10 kPa; average tablet weight is 360 mg.

PHENYLPROPANOLAMINE AND BROMPHENIRAMINE FAST-DISSOLVING TABLET**Bill of Materials**

Scale (mg/ tablet)	Item	Material Name	Quantity/ 1000 Tablets (g)
6.25	1	Phenylpropanolamine hydrochloride	6.25
1.00	2	Brompheniramine maleate	1.00
6.00	3	Citric acid	6.00
1.80	4	Magnasweet® 135	1.80
4.50	5	Aspartame	4.50
3.60	6	Cherry flavor	3.60
21.00	7	Croscarmellose sodium	21.00
3.00	8	Lecithin	3.00
30.00	9	Cornstarch	30.00
3.00	10	Silicon dioxide	3.00
2.10	11	Magnesium stearate	2.10
219.25	12	Fast-dissolving granulation (see following)	219.25

MANUFACTURING DIRECTIONS

1. Make a fast-dissolving granulation by combining 400 g of melted PEG 900 with fructose powder (100 g) in a planetary mixer (low-shear mixer) and mixing until the granules form.
2. Allow the granulations to cool and then screen.

3. Mix all ingredients in a V-blender.
4. Compress tablets (301.5 mg) at approximately 3 kN.
5. Tablet hardness should be 0.2 to 0.5 kPa and disintegration time 10 seconds.

PHENYLPROPANOLAMINE HYDROCHLORIDE TABLETS**Bill of Materials**

Scale (mg/ tablet)	Item	Material Name	Quantity/ 1000 Tablets (g)
60.00	1	Phenylpropanolamine hydrochloride, USP	60.00
180.00	2	Calcium sulfate dihydrate	180.00
—	3	Starch paste 10%	QS
12.00	4	Starch 1500 (StarX)	12.00
6.00	5	Magnesium stearate	6.00

MANUFACTURING DIRECTIONS

1. Add starch in 1:10 ratio to cold water, and heat to boil with constant stirring until a thick, translucent white paste is formed.
2. Keep it for use in following granulation.
3. Mix the phenylpropanolamine hydrochloride with the calcium sulfate in a Sigma blade mixer for 15 minutes.
4. Add starch paste in sufficient quantity to form a suitable wet mass of desirable consistency.
5. Allow to mix for 30 minutes.
6. Pass the wet mass through a 14 mesh screen and distribute on drying trays.
7. Dry in a forced-air oven at 49°C to 54°C or in a fluid-bed dryer.
8. Pass the dried granules through an 18 mesh screen.
9. Transfer granules to a twin-shell blender, add items 4 and 5, and blend for 6 to 8 minutes.
10. Compress the granulation in a rotary press using 3/8 in. standard punches. Tablet weight is 260 mg.

PHENYLBUTAZONE TABLETS (100 MG)

Bill of Materials			
Scale (mg/ tablet)	Item	Material Name	Quantity/ 1000 Tablets (g)
100.00	1	Phenylbutazone	100.00
3.33	2	Lactose monohydrate	3.33
3.33	3	Mannitol	3.33
162.00	4	Starch (maize)	162.00
10.00	5	Starch (maize)	10.00
0.66	6	Polyvinylpyrrolidone potassium 30	0.66
0.28	7	Propylparaben	0.28
0.28	8	Methylparaben	0.28
5.00	9	Talc	5.00
3.00	10	Magnesium stearate	3.00
7.00	11	Sodium starch glycolate	7.00
—	12	Water, purified	QS

MANUFACTURING DIRECTIONS

- Sift items 1 to 4 through a 40 mesh screen into a suitable mixing vessel. Mix for 10 minutes.
- In a separate vessel, heat item 12 to boiling, and add and dissolve items 7 and 8. Allow this blend to cool to 60°C, then add item 6, and dissolve. Finally, add item 5, and stir well to make a smooth paste of 30% starch.
- Add the starch paste from step 2 into step 1, and mix to form a suitable wet mass.
- Pass the wet mass in step 3 through an 18 mesh screen onto trays. Then, dry at 60°C overnight to an LOD of not more than 2.8%. Transfer to a blending vessel.
- Sift items 9 to 11 through a 250 µm sieve. Add to step 4, and blend for 1 minute.
- Compress into 280 mg tablets, using a 5 mm punch.
- Coat the tablets with a sealing coat and a color coat (HPMC). (See Appendix.)

**PHENYLPROPANOLAMINE
HYDROCHLORIDE TABLETS (60 MG)**

Bill of Materials			
Scale (mg/ tablet)	Item	Material Name	Quantity/ 1000 Tablets (g)
60.00	1	Phenylpropanolamine hydrochloride	60.00
180.00	2	Calcium sulfate dihydrate	180.00
QS	3	Starch paste (10%)	QS
12.00	4	Starch 1500 (StaRx)	12.00
6.00	5	Magnesium stearate	6.00

MANUFACTURING DIRECTIONS

- Starch paste: Add starch with a 1:10 ratio to cold water. Heat to a boil, with constant stirring, until a thick, translucent white paste is formed. Keep it for use in step 2.
- Granulation
 - Mix the phenylpropanolamine hydrochloride with the calcium sulfate in a sigma blade mixer for 15 minutes.
 - Add starch paste from step 1 in sufficient quantity to form a suitable wet mass of desirable consistency.
 - Allow to mix for 30 minutes.
 - Pass the wet mass through a 14 mesh screen and distribute on drying trays.
 - Dry in a forced-air oven at 120°F to 130°F or in a fluid-bed dryer.
 - Pass the dried granules through an 18 mesh screen.
- Lubrication
 - Transfer granules to a twin-shell blender, add Starch 1500 and magnesium stearate, and blend for 6 to 8 minutes.
- Compression: Compress the granulation in a rotary press using 9.5 mm standard punches. The tablet weight should be 260 mg.

PHENYTOIN SODIUM TABLETS (100 MG)

Bill of Materials			
Scale (mg/ tablet)	Item	Material Name	Quantity/ 1000 Tablets (g)
100.00	1	Phenytoin sodium	100.00
235.00	2	Ludipress®	235.00
10.00	3	Magnesium stearate	10.00
8.00	4	Kollidon® CL	8.00
5.00	5	Aerosil® 200	5.00

MANUFACTURING DIRECTIONS

- Mix all components, pass through a 0.8 mm sieve, and press with low-compression force.
- Compress into 346 mg tablets, using 12 mm biplanar punches.

PHENYTOIN SODIUM TABLETS (100 MG)

Bill of Materials			
Scale (mg/ tablet)	Item	Material Name	Quantity/ 1000 Tablets (g)
100.00	1	Phenytoin sodium	100.00
50.00	2	Dicalcium phosphate	50.00
45.00	3	Sucrose crystalline	45.00
10.00	4	Kollidon® 25	10.00
—	5	Isopropyl alcohol+ethanol (1:1)	30.00
5.00	6	Kollidon® CL	5.00
2.00	7	Magnesium stearate	2.00

MANUFACTURING DIRECTIONS

1. Granulate the mixture of items 1 to 3 with a solution of items 4 and 5; dry. Pass through a 0.8 mm sieve, mix with items 6 and 7, and press with high-compression force.
2. Compress into 209 mg tablets, using 8 mm biplanar punches.

PHENYTOIN TABLETS (100 MG)

Bill of Materials			
Scale (mg/ tablet)	Item	Material Name	Quantity/ 1000 Tablets (g)
100.00	1	Phenytoin base	100.00
235.00	2	Ludipress®	235.00
2.00	3	Magnesium stearate	2.00
2.00	4	Stearic acid	2.00
8.00	5	Kollidon® CL	8.00

MANUFACTURING DIRECTIONS

1. Mix all components, pass through a 0.8 mm sieve, and press with low-compression force.
2. Compress into 351 mg tablets, using 12 mm biplanar punches.

PIOGLITAZONE HYDROCHLORIDE TABLETS (15 MG/30 MG/45 MG), ACTOS

Actos is available as a tablet for oral administration containing 15, 30, or 45 mg of pioglitazone (as the base) formulated with the following excipients: lactose monohydrate NF, hydroxypropyl cellulose NF, carboxymethyl cellulose calcium NF, and magnesium stearate NF.

PIPEMIDIC ACID TABLETS (200 MG)

Bill of Materials			
Scale (mg/ tablet)	Item	Material Name	Quantity/ 1000 Tablets (g)
200.00	1	Pipemidic acid; use pipemidic acid trihydrate	236.00
16.00	2	Calcium carboxymethyl cellulose	16.00
4.00	3	Hydroxypropyl cellulose	4.00
8.00	4	Cellulose microcrystalline	8.00
2.40	5	Silicon dioxide colloidal	2.40
5.60	6	Magnesium stearate	5.60
QS	7	Water, purified, ca	80.00 mL

MANUFACTURING DIRECTIONS

Caution: Wear a mask and gloves during all operations.

1. Granulation
 - a. Pass pipemidic acid (item 1) and calcium carboxymethyl cellulose (item 2) through a 24 mesh (0.6 mm) screen attached to an oscillating granulator. Load into a planetary mixer, and blend for 10 minutes.
 - b. Dissolve the hydroxypropyl cellulose (item 3) in 80 mL of water, using continuous mechanical stirring.
 - c. Add the binder solution to the mixed powder from step 1, and blend for 10 minutes to form a suitable mass. More water should be added, if necessary, to complete granulation and densification.
 - d. The granules should then be screened through an 8 mesh (2 mm) screen.
 - e. Spread the moist granules on trays, and dry at 50°C (122°F) for 16 hours or until moisture level is within the range of 11% to 16%.
2. Lubrication
 - a. Using an oscillating granulator, pass the dried granules through a 12 mesh (1.4 mm) screen.
 - b. Pass the cellulose microcrystalline (item 4), silicon dioxide colloidal (item 5), and magnesium stearate (item 6) through a 12 mesh (1.4 mm) screen.
 - c. Load the items from step 2b into a planetary blender. Add half of the dried granule from step 2a, and blend for 5 minutes. Then, add the remainder of the dried granule, and blend for an additional 15 minutes at a nominal speed of 30 rpm.
 - d. Load the lubricated granule into tared, polyethylene-lined drums, and weigh for yield.

3. Compression: Compress on a suitable machine using ovaloid tooling, 12.5 mm × 6.5 mm; the compression weight is 280 mg. For 400 mg strength, 9.1 × 15.5 mm punches and 560 mg weight.
4. Coating: Coat using a Methocel/Ethocel coating. (See Appendix.)

PIPOBROMAN TABLETS (25 MG)

Bill of Materials			
Scale (mg/ tablet)	Item	Material Name	Quantity/ 1000 Tablets (g)
25.00	1	Pipobroman	25.00
100.00	2	Lactose monohydrate powder	100.00
5.00	3	Povidone K 29–32	5.00
QS	4	Water, purified, ca	12 mL
2.00	5	Starch (corn)	2.00
1.10	6	Magnesium stearate	1.10

MANUFACTURING DIRECTIONS

1. Granulation
 - a. Pass pipobroman, lactose, and povidone through an 840 μm aperture screen using a FitzMill or something similar, with impact forward and high speed.
 - b. Load milled granulation into a mixer. Mix for approximately 5 minutes, and then add 12 mL of purified water to the mass. Pass granulation through a FitzMill or a similar method using a no. 5 (12.7 mm) band, with knives forward and at slow speed.
 - c. Pass granulation thinly on paper-lined trays, set the oven at 50°C, and dry overnight, or until the LOD is less than 2% (1 hour Brabender at 105°C).
 - d. Sift dried granulation through an 840 μm aperture screen and FitzMill the coarse granules through a 1 mm aperture screen, with knives forward, at a slow speed.
2. Lubrication
 - a. Load one-half of the base granulation into a Glen mixer or a similar mixing method.
 - b. Mix cornstarch and magnesium stearate. Screen this mixture through a 595 μm aperture screen into a mixer.
 - c. Load the remaining granulation into the mixer. Blend for approximately 5 minutes.
 - d. Discharge into polyethylene-lined drums. The theoretical lubricated weight is 133.1 g.
3. Compression: Compress using 9/32 in. standard concave punches, with a compression weight of 133 mg.

PIROXICAM TABLETS

Bill of Materials			
Scale (mg/ tablet)	Item	Material Name	Quantity/ 1000 Tablets (g)
150.40	1	Piroxicam	150.40
6.70	2	Sodium dodecyl sulfate	6.70
18.00	3	Sodium starch glycolate	18.00
44.90	4	Hydroxypropyl methylcellulose	44.90
228.00	5	Cellulose lactose	228.00

MANUFACTURING DIRECTIONS

1. Compress tablet.
2. Coat with copolymer of methacrylic acid triethyl citrate (150 mg) and simethicone 30% emulsion (15 mg).

PIROXICAM WATER-DISPERSIBLE TABLETS (20 MG)

Formulation: Piroxicam, 20 g; cornstarch, 150 g; Ludipress®, 50 g; Kollidon® CL, 8 g; polyethylene glycol 6000 powder, 10 g; Aerosil® 200, 1 to 2 g.

MANUFACTURING DIRECTIONS

1. Mix all components, pass through a 0.8 mm sieve, and press with low- to medium-compression force at 238 mg.

PLACEBO TABLETS

Bill of Materials			
Scale (mg/ tablet)	Item	Material Name	Quantity/ 1000 Tablets (g)
299.70	1	Ludipress®	299.70
0.30	2	Magnesium stearate	0.30

MANUFACTURING DIRECTIONS

1. Mix the components, sieve, and press.
2. For this formulation, compress 300 mg.
3. The compression force determines hardness and friability.
4. At 7 kN, the hardness is 45 N; at 22 kN, the hardness is 160 N.
5. The disintegration time increases from 1 to 4 minutes.

PLACEBO TABLETS

Formulation: Ludipress®, 99.9%; magnesium stearate, 0.1%.

MANUFACTURING DIRECTIONS

1. Mix the components, sieve, and press.
2. Tablet weight is 300 mg.

POTASSIUM BICARBONATE-COATED TABLET**MANUFACTURING DIRECTIONS**

1. Preparation of potassium bicarbonate crystals: US Patent 5445805 describes how to prepare crystals of size within the range of 800 to 900 μm , a Brunauer, Emmett, and Teller (BET) surface area of 0.004 to 0.01 m^2/g , and particle distributions such that over 90% by weight of the crystals are within the range of 700 to 1000 μm . (At least 90% of the crystals are retained on a 25 mesh screen [707 μm] and fewer than 10% are retained on an 18 mesh screen [1000 μm]).
2. Preparation and application of controlled-release coating lacquers—Coating lacquer composition: HR, 23.45 g; Ethocel, 163.45 g; acetyl tributyl citrate, 8.75 g; isopropyl alcohol, 3304.35 g. Total=3500.00 g.
3. Coating conditions: process air flow=100 to 171 m^3/h ; spray period=135 minutes; spray temperature=60.1°C to 68.1°C; spray pressure=2.0 bar; liquid flow rate=26 to 28 g/min; product temperature=46°C to 52°C. Coated crystals: theoretical yield=3191.1 g; actual yield around 98% giving w/w dry matter of 6.37% (coated/uncoated crystals).
4. Dissolve hydrogenated castor oil (Cutina HR), ethyl cellulose (Ethocel Standard 100 premium), and acetyl tributyl citrate in isopropyl alcohol to provide the controlled-release coating lacquers.
5. Dissolve Cutina HR, Ethocel, and acetyl tributyl citrate in the isopropyl alcohol solvent by heating in a mixer equipped with a heating jacket set at 60°C to 70°C with vigorous agitation. Continue the agitation for about 1 hour. When dissolved, the mixture is clear to translucent.
6. Maintain the coating lacquer composition at temperatures of 60°C to 70°C.
7. Coat the lacquers on the potassium bicarbonate particles by concurrent flow through a fluidized bed in which the moisture content is controlled. Spray the coating lacquer from a spray nozzle positioned at the bottom of a Glatt fluidized-bed apparatus equipped with a Wurster tube.
8. Fluidize the potassium bicarbonate crystals, and spray the warm coating lacquer on the crystals in multiple coating cycles.
9. Adjust the process air flow rate as necessary to provide adequate movement of the crystals through the fluidized bed as they are coated. During the coating process, flash evaporate the isopropyl alcohol solvent from the crystals as they cycle through the fluidized bed.

10. After the application of the coating lacquer to the crystals is completed, remove any trace residual solvent remaining on the coated crystals by cycling in the fluidized bed without lacquer spray for 10 minutes.
11. Following the residual solvent removal, cool the coated crystals in the bed.
12. The amount of coating lacquer applied on the crystals is calculated as the % w/w of the dry matter of the respective coatings, relative to the uncoated potassium bicarbonate crystals.
13. Compression: potassium bicarbonate coated crystals 85.00%, Cutina HR 1.50%, Avicel™ PH 7.68%, cornstarch 5.12%, Syloid 0.40%, Lubritab 0.30%. Compress tablets of 1500 mg of potassium bicarbonate.

POTASSIUM CHLORIDE RETARD TABLET

Formulation: Cetyl alcohol, 14.00 g; potassium chloride, 82.00 g; hydroxyethyl cellulose, 4.50 g; talc, 1.50 g.

MANUFACTURING DIRECTIONS

1. To 10 g of water at 50°C, contained in a suitable vessel, fitted with a stirrer, add the hydroxyethyl cellulose. Blend until a uniformly hydrated granular mass is formed.
2. Add to the hydrated cellulose granules, with constant stirring, the potassium chloride. Continue mixing until a free-flowing uniform granule blend is obtained.
3. Dry the cellulose–potassium chloride granules for 30 minutes at 50°C. Granulate the dried granules through a No. 16 stainless steel standard mesh screen.
4. Melt the cetyl alcohol in a water-jacketed tank fitted with an efficient stirrer. Hold the melt at 50°C to 60°C and incorporate the granules from step 3. Continue stirring until a free-flowing granular mass is obtained. Allow the mass to cool, and granulate through a No. 16 standard mesh stainless steel screen.
5. Lubricate the granules with talc, and compress into cores. Core compression data: Core weight, 750.0 mg; punch size, 7/16 in. deep concave.
6. The cores are then pan-coated using normal coating techniques.

POTASSIUM CHLORIDE TABLETS (30 MG), KLOR

Potassium chloride extended-release capsules, USP, are a solid oral dosage form of potassium chloride containing 10 mEq (750 mg) of potassium chloride (equivalent to 10 mEq [390 mg] of potassium and 10 mEq [360 mg] of chloride) in a microencapsulated capsule. This formulation is intended to release potassium so that the likelihood of a high localized

concentration of potassium chloride within the gastrointestinal tract is reduced. The inactive ingredients are calcium stearate, gelatin, pharmaceutical glaze, povidone, sugar spheres, and talc.

Klor-Con extended-release tablets, USP, are a solid oral dosage form of potassium chloride. Each contains 600 or 750 mg of potassium chloride equivalent to 8 or 10 mEq of potassium in a wax matrix tablet.

POTASSIUM CHLORIDE TABLETS

Bill of Materials			
Scale (mg/ tablet)	Item	Material Name	Quantity/ 1000 Tablets (g)
30.00	1	Potassium chloride	30.00
150.00	2	Gelatin powder	150.00
2.00	3	Croscarmellose sodium	2.00
5.00	4	Talc	5.00
3.00	5	Magnesium stearate	3.00

MANUFACTURING DIRECTIONS

1. Accurately weigh potassium chloride, gelatin, croscarmellose sodium, talc, and magnesium stearate.
2. Add potassium chloride, gelatin, and croscarmellose sodium, one item at a time, in a suitable blender, and mix for 15 minutes. Add talc and magnesium stearate, and mix for an additional 5 minutes.
3. Compress into 200 mg tablets, using 6 mm punches.

POVIDONE-IODINE EFFERVESCENT VAGINAL TABLETS

Bill of Materials			
Scale (mg/ tablet)	Item	Material Name	Quantity/ 1000 Tablets (g)
350.00	1	Polyvinylpyrrolidone (PVP)-iodine 30/06, with excess	360.00
1450.00	2	Ludipress®	1450.00
360.00	3	Tartaric acid	360.00
265.00	4	Sodium bicarbonate	265.00
19.00	5	Talc	19.00
2.00	6	Calcium arachinate	2.00
2.00	7	Aerosil® 200	2.00

MANUFACTURING DIRECTIONS

1. Dry the mixture of items 2 to 4 for 4 hours at 60°C, mix with item 1 and items 5 to 7, and press to tablets.
2. Compress into 2.5 g tablet, using 20 mm biplanar punches.

3. The tablet is dissolved in water to obtain a vaginal douche solution.

POVIDONE-IODINE LOZENGES

Bill of Materials			
Scale (mg/ lozenge)	Item	Material Name	Quantity/ 1000 Lozenges (g)
5.00	1	Polyvinylpyrrolidone (PVP)-iodine 30/06	5.00
150.00	2	Sorbitol (crystallized)	150.00
4.00–5.00	3	Menthol (crystalline)	4.00–5.00
4.00–5.00	4	Eucalyptol (crystalline)	4.00–5.00
1.00	5	Aspartame, potassium	1.00
0.10	6	Saccharin sodium	0.10
1.00	7	Aerosil® 200	1.00
1.00	8	Magnesium stearate	1.00

MANUFACTURING DIRECTIONS

1. Mix all components, pass through a 0.8 mm sieve, and press with medium-compression force.
2. Compress into 176 mg tablets, using 8 mm biplanar punches.

PRAVASTATIN SODIUM TABLETS (10–40 MG), PRAVACHOL

Pravachol is available for oral administration as 10, 20, and 40 mg tablets. Inactive ingredients include croscarmellose sodium, lactose, magnesium oxide, magnesium stearate, microcrystalline cellulose, and povidone. The 10 mg tablet also contains red ferric oxide; the 20 mg tablet also contains yellow ferric oxide; and the 40 mg tablet also contains green lake blend (mixture of D&C Yellow No. 10 Aluminum Lake and FD&C Blue No. 1 Aluminum Lake).

Bill of Materials			
Scale (mg/ tablet)	Item	Material Name	Quantity/ 1000 Tablets (g)
10.00	1	Pravastatin sodium	10.00
12.00	2	Crospovidone	12.00
77.00	3	Lactose, spray dried	77.00
1.00	4	Magnesium stearate	1.00

MANUFACTURING DIRECTIONS

1. Place pravastatin sodium and crospovidone in a blender after passing through a 250 µm sieve.
2. Add item 3, and mix for 20 minutes at moderate speed.
3. Add item 4, and blend for 5 minutes at low speed.

- Compress in a suitable punch, 100 mg for 10 mg strength and proportionally for strengths up to 40 mg.

PRAVASTATIN TABLETS

Formulation: Pravastatin, 6.7%; lactose, 67%; microcrystalline cellulose, 20%; croscarmellose sodium, 2%; magnesium stearate, 1%; magnesium oxide, 3.3%.

MANUFACTURING DIRECTIONS

- Pravastatin, magnesium oxide, and a fraction (30%) of lactose are mixed together for 2 to 10 minutes employing a suitable mixer. The resulting mixture is passed through a 12 to 40 mesh size screen.
- Microcrystalline cellulose, croscarmellose sodium, and the remaining lactose are added, and the mixture is mixed for 2 to 10 minutes. Thereafter, magnesium stearate is added, and mixing is continued for 1 to 3 minutes.
- The resulting homogeneous mixture is then compressed into tablets each containing 5, 10, 20, or 40 mg of pravastatin. A dispersion of the tablets in water had a pH of about 10.

PRAZOSIN TABLETS (5 MG)

Bill of Materials			
Scale (mg/ tablet)	Item	Material Name	Quantity/ 1000 Tablets (g)
5.00	1	Prazosin hydrochloride, anhydrous ^a	5.00
94.00	2	Ludipress®	94.00
1.00	3	Magnesium stearate	1.00

^a If using polyhydrate, increase the amount to 6.00, and adjust with item 2.

MANUFACTURING DIRECTIONS

- Mix all components, pass through a 0.8 mm sieve, and press with high-compression force.
- Compress into 109 mg tablets, using 8 mm biplanar punches.

PREDNISOLONE TABLETS (5 MG)

Bill of Materials			
Scale (mg/ tablet)	Item	Material Name	Quantity/ 1000 Tablets (g)
5.00	1	Prednisolone	5.00
60.00	2	Lactose monohydrate	60.00
32.50	3	Starch (maize)	32.50
6.00	4	Starch (maize)	6.00
4.00	5	Starch (maize, dried) ^a	4.00
2.00	6	Talc (fine powder)	2.00
0.50	7	Magnesium stearate	0.50
—	8	Purified water	18.00

^a LOD: not more than 4.5% when dried at 120°C for 4 hours.

MANUFACTURING DIRECTIONS

Precautions: The binding solution contains maize starch, and therefore, it is possible to have microbiological growth. Thus, prepare the solution directly before the granulation process. Prednisolone is a potent corticosteroid, and therefore, use a mask, gloves, and goggles during the whole process.

- Preparation of binding solution
 - Prepare a homogeneous slurry of item 4 using 8 g of item 8 (25–30°C). Check that it is free of lumps.
 - Place this slurry into 10 g of item 8 heated to 90°C in the vessel (Giusti). Stir until there is complete gelatinization.
 - Check the weight. The theoretical weight is 24 g.
 - Leave the starch paste to cool to 40°C to 50°C.

Note: Compensate any loss of weight due to vaporization by adding item 8.

- Dry mixing: Pass items 1 to 3 through a 630 µm sieve using a sifter. Load this powder to the mixer, and mix for 15 minutes at high speed.
- Wet massing: Add starch paste cooled to 40°C to 50°C from step 1d. Mix for 10 minutes at high speed. Add purified water if required.
- Pass the wet granules through sieve 24205 using the FitzMill.
- Drying: Spread the wet granules onto the trays. Load the trolleys to the dryer. Dry the granules at 60°C for 14 hours.
- Grinding: Pass the dried granules through a 1 mm sieve using a granulator.
- Lubrication
 - Pass items 5 and 6 through a 250 µm sieve using a sifter. Collect the material in a stainless steel drum.
 - Load the sieved material from step 6 into the blender.

- c. Load the sieved lubricant powders from step 7a into the blender.
- d. Blend the powders for 5 minutes.
8. Blending
 - a. Pass item 7 through a 250 μm sieve using a sifter. Load the sieved powder into the blender. Mix the powder for 1 minute.
 - b. Unload the lubricated granules in stainless steel drums.
9. Check and record the weight of the granules.
10. Compression: Compress 110 mg of the granules using a rotary tableting machine in 7.1 mm punches.

PREDNISOLONE TABLETS (10 MG)

Bill of Materials

Scale (mg/ tablet)	Item	Material Name	Quantity/ 1000 Tablets (g)
10.00	1	Prednisolone; use as prednisolone micronized with excess	10.50
49.50	2	Microcrystalline cellulose (Avicel™ PH 102)	49.50
7.50	3	Sodium starch glycolate (Primojel®)	7.50
105.00	4	Lactose (spray dried)	105.00
25.00	5	Starch (maize), dried	25.00
1.00	6	Colloidal silicon dioxide (Aerosil® 200)	1.00
1.50	7	Magnesium stearate	1.50

MANUFACTURING DIRECTIONS

1. See the manufacturing directions for the 5 mg strength tablet.

PREDNISOLONE TABLETS (20 MG)

Bill of Materials

Scale (mg/ tablet)	Item	Material Name	Quantity/ 1000 Tablets (g)
20.00	1	Prednisolone micronized with excess	21.00
60.00	2	Microcrystalline cellulose (Avicel™ PH 102)	60.00
9.00	3	Sodium starch glycolate (Primojel®)	9.00
127.00	4	Lactose (spray dried)	127.00
30.00	5	Starch (maize, dried)	30.00
1.00	6	Colloidal silicon dioxide (Aerosil® 200)	1.00
2.00	7	Magnesium stearate	2.00

MANUFACTURING DIRECTIONS

1. See the manufacturing directions for the 5 mg strength tablet.

PREDNISOLONE TABLETS (20 MG)

Bill of Materials

Scale (mg/ tablet)	Item	Material Name	Quantity/ 1000 Tablets (g)
20.00	1	Prednisolone	20.00
155.00	2	Lactose monohydrate	155.00
10.00	3	Kollidon® VA 64	10.00
8.00	4	Kollidon® CL	8.00
5.00	5	Magnesium stearate	5.00
2.00	6	Aerosil® 200	2.00

MANUFACTURING DIRECTIONS

1. Mix all components, pass through a 0.8 mm sieve, and press with low-compression force.
2. Compress into 212 mg tablets, using 8 mm biplanar punches.

PREDNISONE TABLETS (10 MG)

Deltasone tablets contain prednisone, which is a glucocorticoid. Glucocorticoids are adrenocortical steroids, both naturally occurring and synthetic, that are readily absorbed from the gastrointestinal tract. Prednisone is a white to practically white, odorless, crystalline powder. It is very slightly soluble in water and slightly soluble in alcohol, in chloroform, in dioxane, and in methanol. The chemical name for prednisone is 17 α ,21-dihydroxypregna-1,4-diene-3,11,20-trione. Its molecular weight is 358.43.

Deltasone tablets are available in five strengths: 2.5, 5, 10, 20, and 50 mg. The inactive ingredients are as follows. 2.5 mg: calcium stearate, cornstarch, erythrosine sodium, lactose, mineral oil, sorbic acid, and sucrose; 5 mg: calcium stearate, cornstarch, lactose, mineral oil, sorbic acid, and sucrose; 10 mg: calcium stearate, cornstarch, lactose, sorbic acid, and sucrose; 20 mg: calcium stearate, cornstarch, FD&C Yellow No. 6, lactose, sorbic acid, and sucrose; 50 mg: cornstarch, lactose, magnesium stearate, sorbic acid, sucrose, and talc.

Bill of Materials

Scale (mg/ tablet)	Item	Material Name	Quantity/ 1000 Tablets (g)
10.00	1	Prednisone	10.00
208.00	2	Ludipress®	208.00
2.00	3	Magnesium stearate	2.00

MANUFACTURING DIRECTIONS

1. Mix all components, pass through a sieve, and press with low-compression force.
2. Compress into 223 mg tablets, using 8 mm biplanar punches.

PREGABALIN-COATED GRANULE FAST-CRUMBLING TABLET**Bill of Materials**

Scale (mg/ tablet)	Item	Material Name	Quantity/ 1000 Tablets (g)
150.00	1	Pregabalin	150.00
6.43	2	Copovidone potassium	6.43
7.50	3	Acesulfame	7.50
4.28	4	Precipitated silicate	4.28
39.64	5	Ethyl cellulose AGM	39.64
6.43	6	Crospovidone	6.43

MANUFACTURING DIRECTIONS

1. Mix ethyl cellulose, 80% precipitated silica, and 50% acesulfame in ethyl alcohol, until a homogeneous suspension is obtained.
2. Fluidize a powder mixture consisting of pregabalin, item 6, 70% acesulfame and 20% precipitated silica.
3. Start the granulation by spraying the mixture for about 15 to 20 minutes at a spraying rate of 25 g/min and a suspension atomization pressure of 0.8 bar.
4. Perform the actual coating by spraying the remainder of the mixture over about 1 hour 30 minutes at a spraying rate of 15 to 20 g/min and a suspension atomization pressure of 1.5 bar.
5. Spray 15% of the mixture during the granulation step, the remainder to 100% being sprayed during the coating step.
6. Formulate the granules obtained as fast-crumbling multiparticulate tablets, the composition of which is as follows: Coated granules (150 mg), mannitol (474 mg), crospovidone (80 mg), aspartame (14 mg), flavoring (8 mg), and magnesium stearate (8 mg).

PROBENECID TABLETS (500 MG)**Bill of Materials**

Scale (mg/ tablet)	Item	Material Name	Quantity/ 1000 Tablets (g)
500.00	1	Probenecid	500.00
130.00	2	Starch (maize)	130.00
10.00	3	Kollidon® 30	10.00
—	4	Alcohol	70.00 mL
25.00	5	Kollidon® CL	25.00
3.00	6	Aerosil® 200	3.00
3.00	7	Magnesium stearate	3.00

MANUFACTURING DIRECTIONS

1. Granulate a mixture of items 1 and 2 with a solution of items 3 and 4. Pass this mixture through a 0.8 mm sieve. Add items 5 to 7, and press with low-compression force.
2. Compress into 674 mg tablets, using 12 mm biplanar punches.

PROMETHAZINE HYDROCHLORIDE TABLETS**Bill of Materials**

Scale (mg/ tablet)	Item	Material Name	Quantity/ 1000 Tablets (g)
10.00	1	Promethazine HCl with excess	10.50
41.95	2	Lactose monohydrate	41.95
20.00	3	Maize starch	20.00
0.05	4	Sodium metabisulfite (sodium disulfite)	0.05
2.00	5	Povidone (PVP K-30)	2.00
5.00	6	Maize starch (dried)	5.00
0.50	7	Magnesium stearate	0.50
—	8	Alcohol (ethanol, 95%)	6.07
—	9	Purified water	8.67

MANUFACTURING DIRECTIONS

Note: Avoid overmixing of lubricants; otherwise, hardness will be reduced.

1. Mix items 9 and 8 in a stainless steel container.
2. Dissolve items 4 and 5 by slow stirring with stirrer until mixture becomes clear.
3. Sift items 1 to 3 through a stainless steel 500 µm sieve in sifter.
4. Load into mixer, and mix for 5 minutes at low speed.
5. Add binding solution at a rate of 5 to 7 g/min to the dry powders, while mixing at low speed.
6. After addition is complete, scrape sides and blades.
7. Mix further for 2 minutes using a mixer and chopper at low speed.
8. Scrape sides and blades.
9. Check for the end point of granulation, which is the point where the granulation consists of few or no lumps.
10. If required, add purified water.
11. Dry the wet granules with the air circulation heater off to expel alcohol for 2 hours.
12. Then, dry at 55°C for 14 hours.
13. After 4 hours of drying, scrape the semidried granules to break up the lumps to promote uniform drying.
14. Check the LOD (limit: 1.0–1.5%).
15. If required, dry further at 55°C for 2 hours.
16. Grind the dried granules through a 1.25 mm sieve using a granulator at medium speed.

17. Collect in stainless steel drums.
18. Load granules into the blender.
19. Sift item 6 material through a 500 μm sieve using a sifter, and add it into blender.
20. Mix for 3 minutes.
21. Sift item 7 through a 500 μm sieve, and add 1 to 2 g of granules from step 20
22. Mix in polyethylene bag for 1 minute.
23. Add to blender.
24. Mix for 30 seconds.
25. Compress 0.80 g.
26. Coat using one of the HPMC coatings given in the Appendix.

PROMETHAZINE HYDROCHLORIDE TABLETS

Bill of Materials			
Scale (mg/ tablet)	Item	Material Name	Quantity/ 1000 Tablets (g)
25.00	1	Promethazine HCl with excess	26.00
103.75	2	Lactose monohydrate	103.75
50.00	3	Maize starch with excess	52.50
1.50	4	Sodium metabisulfite (sodium disulfite)	1.50
5.00	5	Povidone (PVP K-30)	5.00
12.50	6	Maize starch (dried)	12.50
1.25	7	Magnesium stearate	1.25
—	8	Alcohol (ethanol, 95%)	15.00
—	9	Purified water	21.67

MANUFACTURING DIRECTIONS

Note: Avoid overmixing of lubricants; otherwise, hardness will be reduced.

1. Mix items 9 and 8 in a stainless steel container.
2. Dissolve items 4 and 5 by slow stirring with stirrer until mixture becomes clear.
3. Sift items 1 to 3 through a stainless steel 500 μm sieve in sifter.
4. Load into mixer, and mix for 5 minutes at low speed.
5. Add binding solution at a rate of 5 to 7 g/min to the dry powders, while mixing at low speed.
6. After addition is complete, scrape sides and blades.
7. Mix further for 2 minutes using a mixer and chopper at low speed.
8. Scrape sides and blades.
9. Check for the end point of granulation, which is the point where the granulation consists of few or no lumps.
10. If required, add purified water.
11. Dry the wet granules with the air circulation heater off to expel alcohol for 2 hours.
12. Then, dry at 55°C for 14 hours.
13. After 4 hours of drying, scrape the semidried granules to break up the lumps to promote uniform drying.
14. Check the LOD (limit: 1.0–1.5%).
15. If required, dry further at 55°C for 2 hours.
16. Grind the dried granules through a 1.25 mm sieve using a granulator at medium speed.
17. Collect in stainless steel drums.
18. Load granules into the blender.
19. Sift item 6 material through a 500 μm sieve using a sifter, and add it into blender.
20. Mix for 3 minutes.
21. Sift item 7 through a 500 μm sieve, and add 1 to 2 g of granules from step 20
22. Mix in polyethylene bag for 1 minute.
23. Add to blender.
24. Mix for 30 seconds.
25. Compress 0.80 g.
26. Coat using one of the HPMC coatings in the Appendix.

PROMETHAZINE HYDROCHLORIDE TABLETS

Bill of Materials			
Scale (mg/ tablet)	Item	Material Name	Quantity/ 1000 Tablets (g)
10.00	1	Promethazine HCl ^a	10.50
41.95	2	Lactose monohydrate	41.95
20.00	3	Starch (maize)	20.00
0.05	4	Sodium metabisulfite (sodium disulfite)	0.05
2.00	5	Povidone (PVP K-30)	2.00
5.00	6	Starch (maize), dried ^b	5.00
0.50	7	Magnesium stearate	0.50
—	8	Alcohol (ethanol 95%)	6.07
—	9	Purified water	8.67

^a 0.5 mg promethazine HCl/tablet added extra, considering the assay and LOD of the material (assay 97–101.5%, calculated on the dried basis LOD NMT 0.5%).

^b LOD: NMT 4.5% when dried at 120°C for 4 hours.

MANUFACTURING DIRECTIONS

1. Avoid overmixing lubricants, or hardness may be reduced.
2. Mix items 9 and 8 in a stainless steel container.
3. Dissolve items 4 and 5 by slow stirring with a stirrer until the mixture becomes clear.
4. Sift items 1 to 3 through a stainless steel 500 μm sieve in a sifter. Load into a mixer, and mix for 5 minutes at low speed.
5. Add a binding solution 5 to 7 g/min to the dry powders while mixing at low speed. After addition is over, scrape sides and blades. Mix an additional 2

minutes using a mixer and chopper at low speed. Scrape sides and blades.

- Check for the end point of granulation. The end point is the point of granulation that consists of few or no lumps. If required, add purified water.
- Dry the wet granules with the air circulation heater off to expel alcohol for 2 hours. Then, dry at 55°C for 14 hours. After 4 hours of drying, scrape the semidried granules to break the lumps for uniform drying.
- Check the LOD. The limit is 1% to 1.5%. If required, dry further at 55°C for 2 hours.
- Grind the dried granules through a 1.25 mm sieve using a granulator at medium speed. Collect the granules in stainless steel drums.
- Load the granules into the blender. Sift the item 6 material through a 500 µm sieve using a sifter, and add it into the blender. Mix the blend for 3 minutes.
- Sift item 7 through a 500 µm sieve. Add 1 to 2 g granules from step 10. Mix in a polythene bag for 1 minute. Add to the blender. Mix for 30 seconds.
- Compress 0.80 g. Coat using one of the HPMC coatings. (See Appendix.)

PROMETHAZINE HYDROCHLORIDE TABLETS (25 MG)

Bill of Materials			
Scale (mg/ tablet)	Item	Material Name	Quantity/ 1000 Tablets (g)
25.00	1	Promethazine HCl with excess	26.00
103.75	2	Lactose monohydrate	103.75
50.00	3	Starch (maize)	52.50
1.50	4	Sodium metabisulfite (sodium disulfite)	1.50
5.00	5	Povidone (PVP K-30)	5.00
12.50	6	Starch (maize), dried	12.50
1.25	7	Magnesium stearate	1.25
—	8	Alcohol (ethanol 95%)	15.00
—	9	Purified water	21.67

PROMETHAZINE HYDROCHLORIDE TABLETS (10 MG), PHENERGAN

Each tablet of Phenergan contains 12.5, 25, or 50 mg of promethazine hydrochloride. The inactive ingredients present are lactose, magnesium stearate, and methyl cellulose. Each dosage strength also contains the following: 12.5 mg—FD&C Yellow No. 6 and saccharin sodium; 25 mg—saccharin sodium; and 50 mg—FD&C Red No. 40.

PROPRANOLOL HYDROCHLORIDE TABLETS

Bill of Materials			
Scale (mg/ tablet)	Item	Material Name	Quantity/ 1000 Tablets (g)
120.00	1	Propranolol hydrochloride	120.00
4.00	2	Polyvinylpyrrolidone	4.00
2.00	3	Silicon dioxide	2.00
80.00	4	Lactose	80.00
2.00	5	Sodium stearyl fumarate	2.00
QS	6	Water QS	QS

MANUFACTURING DIRECTIONS

- Place propranolol hydrochloride and lactose are placed in a fluidized-bed apparatus.
- Spray an aqueous PVP solution (in 85 g of water) to get granules.
- Dry the granules thus obtained and pass through a sieve (1 mm mesh), and weigh, add, and blend sodium stearyl fumarate in a drum mixer.
- Press the resulting mixture into tablets of 208.00 mg.
- Coat these tablet cores with the following formulation: ethyl cellulose (Ethocel) 10.10 mg, polyvinylpyrrolidone (povidone) 5.50 mg, stearic acid 2.40 mg.
- First dissolve Ethocel, povidone, and stearic acid in denatured alcohol (180 g). Spray the coating solution onto the tablet cores in a coating pan.

PROPRANOLOL HYDROCHLORIDE TABLETS (10 MG)

Bill of Materials			
Scale (mg/ tablet)	Item	Material Name	Quantity/ 1000 Tablets (g)
10.00	1	Propranolol hydrochloride	10.00
490.00	2	Ludipress®	490.00
2.50	3	Magnesium stearate	2.50

Note: For 50 mg and 100 mg strengths, adjust with item 2.

MANUFACTURING DIRECTIONS

- Mix all components, pass through a 0.8 mm sieve, and press with low-compression force.
- Compress 514 mg for 10 mg strength, 496 mg for 50 mg strength, and 505 mg for 100 mg strength, using 12 mm biplanar punches.

PROPRANOLOL HYDROCHLORIDE TABLETS (10 MG)

Propranolol HCl is available as 10, 20, 40, 60, and 80 mg tablets. The inactive ingredients contained in propranolol HCl tablets are lactose, magnesium stearate, microcrystalline cellulose, and stearic acid. In addition, propranolol HCl 10 mg and 80 mg tablets contain FD&C Yellow No. 6 and D&C Yellow No. 10; propranolol HCl 20 mg tablets contain FD&C Blue No. 1; propranolol HCl 40 mg tablets contain FD&C Blue No. 1, FD&C Yellow No. 6, and D&C Yellow No. 10; and propranolol HCl 60 mg tablets contain D&C Red No. 30.

PROPRANOLOL HYDROCHLORIDE TABLETS

Bill of Materials

Scale (mg/ tablet)	Item	Material Name	Quantity/ 1000 Tablets (kg)
10.00	1	Propranolol hydrochloride	10.00
2.00	2	Maize starch	2.00
4.00	3	Lactose	4.00
0.20	4	Soluble starch	0.20
15.00	5	Purified water	15.00
3.00	6	Primojel®	3.00
9.00	7	Microcrystalline cellulose	9.00
0.50	8	Magnesium stearate	0.50

MANUFACTURING DIRECTIONS

1. Pass items 1 to 3 through a FitzMill sieve 24228 at medium speed, and mix for 15 minutes.
2. Bring to boil 1.25 kg of purified water (item 5), and dissolve in it item 4. Add the remaining water and allow boiling for a few minutes, allowing the mixture to cool to room temperature.
3. Make a uniform mass of step 2 with step 1 solution, and pass it through a FitzMill sieve 24183, adding water if necessary.
4. Dry granules at 35°C for 14 hours. Pass the granules through a FitzMill sieve 24228 at low speed.
5. Pass items 6 to 8 through a FitzMill sieve 24228 and at medium speed.
6. Compress.
7. Coat in a pan at 25°C to 30°C under a flow of warm air using the Opaspray® coating. (See Appendix.) After coating, polish the film-coated tablet.

PROPRANOLOL HCL SUSTAINED-RELEASE PELLETS RELEASING TABLETS (MUPS-FORMULATION)

Formulation (for 500 g of tablets): Propranolol HCl/Kollicoat® SR 30D pellets, 250.0 g; microcrystalline cellulose Vivapur® 200, 250.0 g; magnesium stearate, 2.5 g.

MANUFACTURING DIRECTIONS

1. Mix the ingredients together, pass through a 0.8 mm sieve, and compress into tablets with a force of about 15 kN at 400 mg.

PROPRANOLOL TABLETS (40 MG)

Bill of Materials

Scale (mg/ tablet)	Item	Material Name	Quantity/ 1000 Tablets (g)
40.00	1	Propranolol	40.00
108.00	2	Ludipress®	108.00
0.30	3	Magnesium stearate	0.30
0.40	4	Stearic acid	0.40

MANUFACTURING DIRECTIONS

1. Mix all components, pass through a 0.8 mm sieve, and press with high-compression force.
2. Compress into 150 mg tablets, using 8 mm biconvex punches.

PROTON PUMP INHIBITOR DISPERSIBLE TABLETS

Bill of Materials

Scale (mg/ tablet)	Item	Material Name	Quantity/ 1000 Tablets (g)
10.00	1	Lansoprazole or another equipotent PPI	10.00
175.00	2	Calcium acetate	175.00
175.00	3	Calcium glycerophosphate	175.00
250.00	4	Sodium bicarbonate	250.00
20.00	5	Polyethylene glycol	20.00
12.00	6	Croscarmellose sodium	12.00
3.00	7	Peppermint	3.00
1.00	8	Magnesium silicate	1.00
1.00	9	Magnesium stearate	1.00

Bill of Materials

Scale (mg/ tablet)	Item	Material Name	Quantity/ 1000 Tablets (g)
10.00	1	Lansoprazole or another equipotent PPI	10.00
175.00	2	Calcium lactate	175.00
175.00	3	Calcium glycerophosphate	175.00
250.00	4	Sodium bicarbonate	250.00
20.00	5	Polyethylene glycol	20.00
12.00	6	Croscarmellose sodium	12.00
3.00	7	Peppermint	3.00
1.00	8	Magnesium stearate	1.00
1.00	9	Magnesium silicate	1.00

PROTON PUMP INHIBITOR TABLETS

Bill of Materials			
Scale (mg/ tablet)	Item	Material Name	Quantity/ 1000 Tablets (g)
10.00 (or equipotent)	1	Proton pump inhibitor	10.00 (or equipotent)
175.00	2	Calcium lactate	175.00
250.00	3	Sodium bicarbonate	250.00
175.00	4	Calcium glycerophosphate	175.00
0.50	5	Phenylalanine (aspartame calcium)	0.50
12.00	6	Colloidal silicon dioxide	12.00
15.00	7	Cornstarch	15.00
12.00	8	Croscarmellose sodium	12.00
10.00	9	Dextrose	10.00
3.00	10	Peppermint	3.00
3.00	11	Maltodextrin	3.00
3.00	12	Mannitol	3.00
3.00	13	Pregelatinized starch	3.00

MANUFACTURING DIRECTIONS

1. Compress.
2. May be used for 20 mg or equivalent quantity of the active without any change in other ingredients.

PSEUDOEPHEDRINE HYDROCHLORIDE FAST-DISINTEGRATING TABLETS

1. To the vortex of a rapidly stirred vessel containing 345 g of deionized water, add 30 g of croscarmellose sodium.
2. Mix this slurry for 10 minutes.
3. Concurrently, place 300 g of pseudoephedrine hydrochloride and 300 g of microcrystalline cellulose (Avicel™ PH-101) in the bowl of a mixer.
4. Stir this mixture for 10 minutes.
5. At the conclusion of the mixing time, slowly add the slurry to the contents of the mixing bowl, forming a granulation. Place in trays and dry in a 65°C oven for 3 hours.
6. Pass the dried granulation through a 16 mesh screen (1190 µm).
7. Place the dried granulation in a twin-shell blender, and add 300 g of Avicel™ AC-815 (85% microcrystalline cellulose coprocessed with 15% of a calcium, sodium alginate complex) and 300 g of microcrystalline cellulose (Avicel™ PH-102).
8. Thoroughly blend for 10 minutes, after which add 10.05 g of magnesium stearate and mix for an additional 5 minutes.
9. Prior to being added to the blender, pass the magnesium stearate through a 30 mesh screen.

10. Compress the resulting blend into tablets using 6.35 mm (0.25 in.) round standard concave tooling to give average weight of 0.1299 g and an average thickness of 4.864 mm (0.1915 in.).
11. The hardness of these tablets should average 1.38 kPa.
12. Friability will be measured at 0.077% after 4 minutes.
13. The average disintegration time should be 15 seconds in 10 mL of deionized water, forming a suspension with minimal shaking.

PSEUDOEPHEDRINE HYDROCHLORIDE TABLETS

Bill of Materials			
Scale (mg/ tablet)	Item	Material Name	Quantity/ 1000 Tablets (g)
60.00	1	Pseudoephedrine HCl ^a	63.00
120.20	2	Lactose monohydrate	120.20
25.00	3	Maize starch	25.00
1.00	4	Povidone (PVP K-30)	1.00
4.00	5	Povidone (PVP K-30)	4.00
1.80	6	Magnesium stearate	1.80
—	7	Alcohol (ethanol, 95%)	29.00

^a Pseudoephedrine HCl 3.0 mg/tab can be added in excess to compensate for moisture and handling loss.

MANUFACTURING DIRECTIONS

Note: Avoid overmixing of lubricants; otherwise, hardness is reduced.

1. Dissolve item 5 in item 7 while mixing at slow speed using a stirrer.
2. Sift items 1 to 4 through a 500 µm sieve.
3. Load into mixer, and mix for 5 minutes at low speed.
4. Add binding solution to the dry powders while mixing at low speed for 2 minutes.
5. After addition is complete, mix further for 1 minute using mixer and chopper at low speed.
6. Scrape sides and blade.
7. Check for the end point of granulation, which is when the granulation consists of wet granules with few or no lumps.
8. If required, add ethanol 95% to achieve desired granules.
9. Record extra quantity of ethanol 95% used.
10. Dry the wet mass at 55°C for 7 hours.
11. After 4 hours of drying, scrape the semidried granules to break the lumps to promote uniform drying.
12. Check the moisture content (limit: 1.5–2.5%).
13. Sift the dried granules through a 1.25 mm sieve using a granulator at medium speed.
14. Collect in stainless steel drums.

15. Load granules into the drum blender.
16. Sift item 6 through a stainless steel 250 μm sieve in sifter.
17. Add 8 to 12 g granules in mixer to sieved item 6.
18. Mix manually for 1 minute.
19. Add to drum blender, and blend for 1 minute.
20. Compress into 215 mg tablets, using 8 mm round punches.

PSEUDOEPHEDRINE TABLETS

Bill of Materials			
Scale (mg/ tablet)	Item	Material Name	Quantity/ 1000 Tablets (g)
60.00	1	(+) Pseudoephedrine hydrochloride	60.00
95.00	2	Dicalcium phosphate (Di-Tab)	95.00
5.00	3	Kollidon® 30	5.00
—	4	Water	QS
20.00	5	PEG-6000 (powder)	20.00
2.00	6	Aerosil® 200	2.00

MANUFACTURING DIRECTIONS

1. Granulate dicalcium phosphate with solution of items 3 and 4, dry, pass through a 0.8 mm sieve, and mix with item 1.
2. Add items 5 and 6, and press with low-compression force.
3. Compress into 192 mg tablets, using 8 mm biplanar punches.

PSYLLIUM AND DOCUSATE SODIUM TABLETS

Formulation: Psyllium, 71.0%; ethyl cellulose, 4.8%; isopropyl alcohol QS; microcrystalline cellulose, 16.7%; PVP cross-linked, 1.9%; carnauba wax, 2.3%; docusate sodium, 3.3%.

MANUFACTURING DIRECTIONS

1. Soak ethyl cellulose in isopropyl alcohol overnight.
2. Granulate psyllium with isopropyl/ethyl cellulose mixture in mixer.
3. Dry at 49°C for 3 hours.
4. Mill through 12 mesh screen.
5. Mix in a mixer the following: psyllium, microcrystalline cellulose, and carnauba wax.
6. Compress the tablet per granulation specifications using a tableting press.
7. Coat the core tablets.

Methyl cellulose, polycarbophil, calcium polycarbophil, bran, malt soup extract, karaya, guar gum, or mixtures of these can

be substituted for the psyllium. The amounts of psyllium and/or dioctyl sulfosuccinate can be varied. Dioctyl calcium sulfosuccinate or dioctyl potassium sulfosuccinate can be substituted for the dioctyl sodium sulfosuccinate, or two or three of these can be combined.

PSYLLIUM HUSK TABLETS

MANUFACTURING DIRECTIONS

1. Stir raw, unmilled psyllium seed husk (2 g) with 0.2 N sodium hydroxide (400 mL) containing sodium borohydride (400 mg) in a nitrogen atmosphere at ambient temperature for 90 minutes.
2. The pH of the solution should be from 10 to 11.
3. Pass the solution through a pasteurizer at a temperature of 100°C for a period of 50 seconds.
4. Once pasteurized, centrifuge the mixture for 20 minutes at 23,500 $\times g$.
5. Decant the supernatant from an insoluble fraction that settles out in the centrifuge bottle.
6. Mix the insoluble fraction with fresh sodium hydroxide/sodium borohydride solution (100 mL) and recentrifuge for 15 minutes to increase yield of the soluble fraction.
7. Adjust the pH of the supernatant to 5.5 by the addition of acetic acid at ambient temperature with stirring, forming a gel.
8. Desiccate the gel with isopropanol added with high-shear mixing.
9. Decant the isopropanol solution from the gel.
10. The solids content of the gel should be 30%.
11. Pass the gel material through an extruder and extrude into individual particles with an average particle size of 500 μm .
12. Introduce the extruded particles into a fluidized-bed dryer fitted with a cyclonic airflow screen, such as a Conidur screen.
13. Maintain the air temperature at 80°C.
14. Keep the gel temperature below 70°C throughout the drying process.
15. Dry the particles to a powder, with 90% of the water being removed.
16. The yield of the gel-forming polysaccharide should be 85%.
17. Manufacture chewable tablets, total weight 2.5 g, by dry blending step 8 with sorbitol for 10 minutes, each component having an average particle size of about 500 μm .
18. Add the premix, if desired, and blend the mixture for an additional 10 minutes.
19. Add magnesium stearate, and blend the composition for another 5 minutes.
20. Directly compress the mixture into tablets using pressures between 2000 and 4000 psi.
21. The final compositions should comprise the following components by weight: gel-forming polysaccharide,

50.0%; sorbitol Neosorb P20, 48.16%; magnesium stearate, 0.5%; flavorant, 0.4%; colorant, 0.14%; citric acid, 0.8%.

22. Optionally, the coating can be applied directly to a chewable tablet containing the gel-forming polysaccharide.
23. Additionally, it may be desired to include a flavorant within the coating composition: ethanol, 94%; polyethylene glycol, 5%; flavorant, 1%.

PYRAZINAMIDE TABLETS (500 MG)

Bill of Materials

Scale (mg/ tablet)	Item	Material Name	Quantity/ 1000 Tablets (g)
500.00	1	Pyrazinamide	500.00
134.50	2	Ludipress®	134.50
12.00	3	Kollidon® CL	12.00
3.50	4	Aerosil® 200	3.50

MANUFACTURING DIRECTIONS

1. Mix all components, sieve through a 0.8 mm screen, and press with medium-compression force.
2. Compress into 652 mg tablets, using 12 mm biplanar punches.

PYRAZINAMIDE TABLETS (500 MG)

Bill of Materials

Scale (mg/ tablet)	Item	Material Name	Quantity/ 1000 Tablets (g)
500.00	1	Pyrazinamide	500.00
50.00	2	Starch (maize)	50.00
20.00	3	Kollidon® 30	20.00
—	4	Alcohol, ca	200 mL
5.00	5	Kollidon® CL	5.00
6.00	6	Magnesium stearate	6.00

MANUFACTURING DIRECTIONS

1. Granulate mixture items 1 and 2 with a solution of items 3 and 4. Pass through a 0.8 mm sieve, mix with items 5 and 6, and press with low-compression force.
2. Compress into 605 mg tablets, using 12 mm biplanar punches.
3. The quantity of items 5 can be increased to 10 mg if there is a problem in compressing tablets.

PYRAZINAMIDE TABLETS (500 MG)

Bill of Materials

Scale (mg/ tablet)	Item	Material Name	Quantity/ 1000 Tablets (g)
500.00	1	Pyrazinamide	500.00
125.00	2	Mannitol	125.00
—	3	Water, purified	50.00 mL
25.00	4	Starch (maize)	25.00
QS	5	Water, purified	150 mL
10.00	6	Talc	10.00
6.00	7	Magnesium stearate	6.00

MANUFACTURING DIRECTIONS

Note: Carry out all operations subsequent to drying at a relative humidity below 50% and temperature below 26°C.

1. Granulation

- a. Pass the pyrazinamide and mannitol through a 1.2 mm aperture stainless steel screen on a sieve shaker, transfer them to a suitable mass mixer, and mix for 5 minutes.
- b. Add the starch to the water (item 3) and mix until a smooth slurry, free from lumps, is formed.
- c. Heat the water (item 5) to boiling. Reduce the heat, then, while mixing, add the slurry from step 1b. Continue mixing well, until a smooth translucent paste is formed. Allow this paste to cool to 50°C before using it in step 1d.
- d. Add one-half of the starch paste from step 1c to the blended powders in the mixer, and mix for 1 minute. Stop mixing, and scrape the blades and sides of the mixer. Add the second half of the starch paste and mix for another 1 minute. Stop mixing, scrape the blades and sides of the mixer, and examine the mass.
- e. If necessary, add more water at 50°C in small quantities, mixing for 1 minute after each addition, until a good, wet, holding mass is formed. Record extra water used. *Note:* Do not overwet or overmix the mass.
- f. Pass the wet mass through a 4.76 mm aperture stainless steel screen by hand, spread on paper-lined trays, and dry in a hot air oven at 50°C, turning the granules every 20 minutes, to an LOD of 1% to 1.5% (3 hours at 60°C under maximum vacuum).

2. Lubrication

- a. Pass the granules through a 1.2 mm aperture stainless steel screen on a sieve shaker, and transfer the fines to a blender.
- b. Pass the coarse granules through an 840 µm aperture stainless steel screen on an oscillating

- granulator, and then transfer the granules to the blender.
- Screen the talc and sodium starch glycolate through a 595 μm aperture stainless steel screen on a sieve shaker, and add the mixture to the blender. Blend it for 15 minutes.
 - Screen the magnesium stearate through a 595 μm aperture stainless steel screen on a sieve shaker, and add to the blender. Blend for 2 minutes only.
 - Discharge into polyethylene-lined drums, and then seal and weigh.
- Compression: Compress using 12.5 mm round, concave bisected punches; disintegration time is not more than 15 minutes in water.

PYRIDOXINE TABLETS

Bill of Materials

Scale (mg/ tablet)	Item	Material Name	Quantity/ 1000 Tablets (g)
40.00	1	Pyridoxine hydrochloride	40.00
150.00	2	Lactose monohydrate	150.00
150.00	3	Avicel™ PH101	150.00
15.00	4	Kollidon® VA 64	15.00
10.00	5	Kollidon® CL	10.00
1.00	6	Magnesium stearate	1.00
1.00	7	Aerosil® 200	1.00

MANUFACTURING DIRECTIONS

- Pass all components through a 0.5 mm sieve, mix, and press with high-compression force.
- Compress into 361 mg tablets, using 12 mm biplanar punches.

PYRIDOXINE TABLETS

Bill of Materials

Scale (mg/ tablet)	Item	Material Name	Quantity/ 1000 Tablets (g)
40.00	1	Pyridoxine hydrochloride	40.00
300.00	2	Cornstarch	300.00
15.00	3	Kollidon® 30	15.00
80.00	4	Water + isopropanol	80.00
1.00	5	Magnesium stearate	1.00
2.00	6	Aerosil® 200	2.00

MANUFACTURING DIRECTIONS

- Granulate mixture of items 1 and 2 with solution of items 3 and 4, dry, pass through a 0.8 mm sieve, mix with items 5 and 6, and press with high-compression force.

- Compress into 354 mg tablets, using 12 mm biplanar punches.

PYRIDOXINE TABLETS

Bill of Materials

Scale (mg/ tablet)	Item	Material Name	Quantity/ 1000 Tablets (g)
100.00	1	Pyridoxine hydrochloride	100.00
200.00	2	Tabletose®	200.00
10.00	3	Kollidon® VA 64	10.00
3.00	4	Kollidon® CL	3.00
1.00	5	Magnesium stearate	1.00
1.00	6	Aerosil® 200	1.00

MANUFACTURING DIRECTIONS

- Pass all components through a 0.8 mm sieve, mix, and press with medium-compression force.
- Compress into 363 mg tablets, using 12 mm biplanar punches.

PYRIDOXINE TABLETS

Bill of Materials

Scale (mg/ tablet)	Item	Material Name	Quantity/ 1000 Tablets (g)
100.00	1	Pyridoxine hydrochloride	100.00
150.00	2	Lactose monohydrate	150.00
83.00	3	Avicel™ PH101	83.00
10.00	4	Kollidon® VA 64	10.00
3.00	5	Kollidon® CL	3.00
1.00	6	Magnesium stearate	1.00
1.00	7	Aerosil® 200	1.00

MANUFACTURING DIRECTIONS

- Pass all components through a 0.8 mm sieve, mix, and press with medium-compression force.
- Compress into 360 mg tablets, using 12 mm biplanar punches.

PYRIDOXINE TABLETS

Bill of Materials

Scale (mg/ tablet)	Item	Material Name	Quantity/ 1000 Tablets (g)
250.00	1	Pyridoxine hydrochloride	250.00
100.00	2	Avicel™ PH101	100.00
12.00	3	Kollidon® VA 64	12.00
5.00	4	Magnesium stearate	5.00

MANUFACTURING DIRECTIONS

1. Pass all components through a 0.8 mm sieve, mix, and press with high-compression force.
2. Compress into 361 mg tablets, using 12 mm biplanar punches.

PYRIDOSTIGMINE BROMIDE TABLETS (10 MG)**Bill of Materials**

Scale (mg/ tablet)	Item	Material Name	Quantity/ 1000 Tablets (g)
10.00	1	Pyridostigmine bromide	10.00
96.00	2	Starch (maize)	96.00
8.50	3	Silicic acid (Aerosil® 200)	8.50
1.50	4	Prejel PA5	1.50
30.00	5	Lactose powder anhydrous	30.00
3.70	6	Talc	3.70
0.23	7	Magnesium stearate	0.23
QS	8	Water, purified, ca	39.70 mL

MANUFACTURING DIRECTIONS

1. Mix 5% of item 2 and equal amounts of item 8 in a suitable vessel, at boiling. Mix, and allow the paste to cool to 40°C.
2. Mix item 1 into the paste in step 1, in portions, and then add items 4 and 3, avoiding large lumps; mix to homogeneous mix.
3. Add to item 5 (passed through a sieve) the balance of item 8 (at 40°C), and item 2, and mix to obtain a good mass; add more item 8 if necessary.
4. Pass through a 10 mm screen in a granulator.
5. Dry the granules at 50°C until the relative humidity over the granules is 30% to 40%.
6. Crush granules in an oscillating granulator with 1 mm perforation plate.
7. Blend the granules with items 6 and 7, and pass through a 1 mm sieve.
8. Blend for 10 minutes.
9. Compress to 150 mg weight.

PYRIDOXINE TABLETS**Bill of Materials**

Scale (mg/ tablet)	Item	Material Name	Quantity/ 1000 Tablets (g)
300.00	1	Pyridoxine hydrochloride	300.00
100.00	2	Lactose monohydrate D 20	100.00
20.00	3	Kollidon® 30	20.00
QS	4	Isopropanol + water (1+1)	60.00
10.00	5	Kollidon® CL	10.00
2.00	6	Aerosil® 200	2.00

MANUFACTURING DIRECTIONS

1. Granulate mixture of items 1 and 2 with solution of items 3 to 6, dry, and sieve through a 0.8 mm screen.
2. Press with medium-compression force.
3. Compress into 440 mg tablets, using 12 mm biplanar punches.

PYRILAMINE TANNATE AND PHENYLEPHRINE TANNATE TABLETS**Bill of Materials**

Scale (mg/ tablet)	Item	Material Name	Quantity/ 1000 Tablets (g)
60.00	1	Pyrilamine tannate	60.00
25.00	2	Phenylephrine tannate	28.75 ^a
94.00	3	Starch	94.00
150.00	4	Methyl cellulose USP 1500 cps	150.00
32.00	5	Polygalactouronic acid	32.00
97.00	6	Calcium phosphate dihydrate	97.00
2.60	7	Magnesium stearate	2.60

^a Manufacturing excess.

QUETIAPINE FUMARATE TABLETS (25 MG/100 MG/200 MG), SEROQUEL

Seroquel is supplied for oral administration as 25 mg (peach), 100 mg (yellow), and 200 mg (white) tablets. The inactive ingredients are povidone, dibasic dicalcium phosphate dihydrate, microcrystalline cellulose, sodium starch glycolate, lactose monohydrate, magnesium stearate, hydroxypropyl methylcellulose, polyethylene glycol, and titanium dioxide. The 25 mg tablets contain red ferric oxide and yellow ferric oxide, and the 100 mg tablets contain only yellow ferric oxide.

QUINAPRIL HYDROCHLORIDE TABLETS (5 MG/10 MG/20 MG/40 MG), ACCUPRIL

Accupril tablets contain 5, 10, 20, or 40 mg of quinapril for oral administration. Each tablet also contains candelilla wax, crospovidone, gelatin, lactose, magnesium carbonate, magnesium stearate, synthetic red iron oxide, and titanium dioxide.

QUINAPRIL HYDROCHLORIDE TABLETS

Bill of Materials			
Scale (mg/ tablet)	Item	Material Name	Quantity/ 1000 Tablets (g)
20.00	1	Quinapril; use quinapril hydrochloride	22.00
108.00	2	Lactose monohydrate	108.00
55.00	3	Magnesium carbonate	55.00
10.50	4	Crospovidone	10.50
4.00	5	Povidone K-30	4.00
0.50	6	Magnesium stearate	0.50
QS	7	Purified water	QS

MANUFACTURING DIRECTIONS

- Sift quinapril hydrochloride, lactose monohydrate, magnesium carbonate, and crospovidone through a 0.9 mm sieve.
- Load sifted powder from step 1 to a mixer granulator and mix for 5 minutes.
- Dissolve povidone K-30 in purified water under slow stirring until the solution becomes clear.
- Add the binding solution from step 3 to step 2, and mix for a few minutes until the proper granules are formed.
- Unload the granules, and dry at 55°C in an oven to get the desired LOD of 2.5%.
- Grind the dried granules to get granules of the desired particle size of 16 mesh.
- Add crospovidone and magnesium stearate to ground granules in a blender, and blend for 3 minutes.
- Compress 200 mg of the lubricated granules into tablets (12 mm).
- Use appropriate coating materials (HPMC). (See Appendix.)

QUININE SULFATE TABLETS (300 MG)

Bill of Materials			
Scale (mg/ tablet)	Item	Material Name	Quantity/ 1000 Tablets (g)
300.00	1	Quinine sulfate	300.00
20.00	2	Starch (maize)	20.00
25.00	3	Lactose monohydrate	25.00
5.00	4	Sodium starch glycolate	5.00
0.80	5	Methylparaben	0.80
0.10	6	Propylparaben	0.10
2.00	7	Gelatin	2.00
20.00	8	Starch (maize)	20.00
3.00	9	Talc	3.00
1.50	10	Aerosil® 200	1.50
2.00	11	Magnesium stearate	2.00
—	12	Water, purified	QS

MANUFACTURING DIRECTIONS

- Sift items 1 to 4 through a 250 µm sieve into a suitable mixing vessel.
- In a separate vessel, take the appropriate quantity of item 12, and heat it to a boil. Add and dissolve items 5 and 6. Cool to 50°C, and add items 7 and 8. Then, mix to form a 30% starch paste.
- Add the paste from step 2 into step 1, and mix the paste to form a suitable mass for granulation.
- Pass the wet mass through a 2.38 mm sieve onto paper-lined trays; dry at 60°C overnight.
- Pass the dried granules through an 18 mesh into a blending vessel. Sift items 9 to 11 through a 250 µm sieve, and add to step 5, and blend for 2 minutes. Compress into 375 mg tablets, using 9.5 mm punches.
- Coat the tablets using HPMC and methylene chloride. (See Appendix.)

QUINOLONE ANTIBIOTIC TABLETS (100 MG)

Bill of Materials			
Scale (mg/ tablet)	Item	Material Name	Quantity/ 1000 Tablets (g)
100.00	1	Quinolone antibiotic ^a	100.00
23.50	2	Microcrystalline cellulose	23.50
15.00	3	Starch (maize)	15.00
6.50	4	L-Hydroxypropyl cellulose	6.50
3.50	5	Magnesium stearate	3.50
1.50	6	Colloidal anhydrous silica (Aerosil® 200)	1.50

^a Applicable to most quinolone antibiotics.

MANUFACTURING DIRECTIONS

- The manufacturing process described is for the 100 mg tablet. Adjust the weights of all components based on the quantity used. When calculating, factor in for salt form, moisture, and activity.
- Sift items 1 to 4.
- Mix these (use two-thirds of item 4) at this stage in a blender. Add screened item 6, and mix at a slow speed.
- Run the mixture through a compacting mill, and collect graded granules in a blender.
- Add screened item 6 and the balance of item 4, and blend. Add the screened magnesium stearate in the rotating-shell blender. Mix at 6 rpm for 5 minutes. The final mixture is obtained.
- Compress into 8 mm tablets or 10 mm tablets (for 200 mg tablets).
- Coat using an HPMC coating. (See Appendix.)

RABEPRAZOLE SODIUM TABLETS (20 MG) ACIPHEX™

The active ingredient in Aciphex™ delayed-release tablets is rabeprazole sodium. Aciphex is available for oral administration as delayed-release, enteric-coated tablets containing 20 mg of rabeprazole sodium. The inactive ingredients are mannitol, hydroxypropyl cellulose, magnesium oxide, low-substituted hydroxypropyl cellulose, magnesium stearate, ethyl cellulose, hydroxypropyl methylcellulose phthalate, diacetylated monoglycerides, talc, titanium dioxide, carnauba wax, and ferric oxide (yellow) as a coloring agent.

RABEPRAZOLE TABLETS

Bill of Materials

Scale (mg/ tablet)	Item	Material Name	Quantity/ 1000 Tablets (g)
20.00	1	Rabeprazole	20.00
50.00	2	Precipitated calcium carbonate	50.00
40.00	3	Starch (maize)	40.00
73.40	4	Lactose monohydrate	73.40
6.00	5	Hydroxypropyl cellulose	6.00
2.00	6	Magnesium stearate	2.00
—	7	Water, purified	QS

MANUFACTURING DIRECTIONS

1. Mix R(+) rabeprazole, precipitated calcium carbonate, cornstarch, lactose, and hydroxypropyl cellulose together.
2. Add water, and knead the mixture. Then dry in vacuum at 40°C for 16 hours.
3. Pass the granules through a 16 mesh sieve to give granules.
4. Add item 6, and blend.
5. Compress.

RALOXIFENE TABLETS (60 MG), EVISTA

Evista is supplied in a tablet dosage form for oral administration. Each Evista tablet contains 60 mg of raloxifene HCl, which is the molar equivalent of 55.71 mg of free base. Inactive ingredients include anhydrous lactose, carnauba wax, crospovidone, FD&C Blue No. 2 Aluminum Lake, hydroxypropyl methylcellulose, lactose monohydrate, magnesium stearate, modified pharmaceutical glaze, polyethylene glycol, polysorbate 80, povidone, propylene glycol, and titanium dioxide.

RALOXIFENE HYDROCHLORIDE TABLETS

Bill of Materials

Scale (mg/ tablet)	Item	Material Name	Quantity/ 1000 Tablets (g)
60.00	1	Raloxifene HCl	60.00
156.00	2	Lactose anhydrous	156.00
7.20	3	Polyvinylpyrrolidone	7.20
7.20	4	Polysorbate 80	7.20
7.20	5	Cross-linked polyvinylpyrrolidone	7.20
2.40	6	Magnesium stearate	2.40

MANUFACTURING DIRECTIONS

1. Granulate the mixture of raloxifene HCl, lactose anhydrous, and cross-linked polyvinylpyrrolidone with an aqueous solution of polyvinylpyrrolidone and polysorbate 80.
2. Dry the granules, and reduce to a suitable size.
3. Mix and blend magnesium stearate.
4. Compress into 240 mg tablets.

RANITIDINE HYDROCHLORIDE TABLETS

Bill of Materials

Scale (mg/ tablet)	Item	Material Name	Quantity/ 1000 Tablets (g)
75.00	1	Ranitidine; use ranitidine HCl	88.88
65.00	2	Microcrystalline cellulose, NF	65.00
1.12	3	Magnesium stearate, NF	1.12

MANUFACTURING DIRECTIONS

1. Pass ranitidine and microcrystalline cellulose through a 595 µm screen, and transfer to a suitable mixer.
2. Mix for 10 minutes.
3. Screen magnesium stearate through a 400 µm screen, and add to the blender.
4. Blend for 2 minutes.
5. Compress using slightly convex round punches at hardness 8 ppi and disintegration time of not more than 15 minutes in water.
6. Coat using a Methocel-Ethocel coating solution (see Appendix).

RANITIDINE HYDROCHLORIDE TABLETS (150 MG)

Bill of Materials			
Scale (mg/ tablet)	Item	Material Name	Quantity/ 1000 Tablets (g)
150.00	1	Ranitidine; use ranitidine hydrochloride	167.68
129.75	2	Microcrystalline cellulose	129.75
9.00	3	Hydroxypropyl methylcellulose 2910	9.00

MANUFACTURING DIRECTIONS

1. Granulation: Pass ranitidine and microcrystalline cellulose through a 595 µm aperture screen, transfer to a suitable mixer, and mix for 10 minutes.
2. Lubrication
 - a. Screen magnesium stearate through a 400 µm aperture screen and add to the blender. Blend for 2 minutes.
 - b. Discharge the granule into polyethylene-lined drums. Seal the drums, and weigh for yield.
3. Compression: Compress using slightly convex round punches. The weight of 10 tablets should be about 2.07 g, with not more than 3% variation. Disintegration time is not more than 15 minutes in water.
4. Coating: Use opaque Methocel/Ethocel coating. (See Appendix.)

RANITIDINE HYDROCHLORIDE TABLETS

Bill of Materials			
Scale (mg/ tablet)	Item	Material Name	Quantity/ 1000 Tablets (g)
150.00	1	Ranitidine	150.00
147.00	2	Ludipress®	147.00
3.00	3	Magnesium stearate	3.00

MANUFACTURING DIRECTIONS

1. Mix all components, pass through a 0.8 mm screen, and press with low-compression force.
2. Compress into 305 mg tablets, using 8 mm biconvex punches.
3. If the flowability of the tableting mixture is not sufficient, add about 1% Aerosil® 200. For 300 mg strength, use proportion weight, and increase fill weight; the use of 1% Aerosil® 200 is required.

RANITIDINE TABLETS

Bill of Materials			
Scale (mg/ tablet)	Item	Material Name	Quantity/ 1000 Tablets (g)
167.39	1	Ranitidine HCl USP (Orchev Pharma)	167.39
78.28	2	Microcrystalline cellulose NF (Avicel™ PH-102, FMC)	78.28
62.00	3	Pregelatinized starch NF (Starch 1500®, Colorcon)	62.00
1.55	4	Fumed silica NF (Aerosil® 200, Degussa AG)	1.55
0.78	5	Magnesium stearate NF (Peter Greven)	0.78

MANUFACTURING DIRECTIONS

1. Blend all materials, with the exception of magnesium stearate, for 10 minutes in a blender.
2. Add magnesium stearate and blend for an additional 2 minutes.
3. Compress tablets at 310 mg.

RANITIDINE TABLETS

Bill of Materials			
Scale (mg/ tablet)	Item	Material Name	Quantity/ 1000 Tablets (g)
75.00	1	Ranitidine; use Ranitidine HCl ^a	85.00
95.00	2	Microcrystalline cellulose (Avicel™ PH102)	95.00
7.00	3	Croscarmellose sodium (Ac-Di-Sol)	7.00
6.60	4	Microcrystalline cellulose (Avicel™ PH102)	6.60
1.40	5	Magnesium stearate	1.40

^a Ranitidine HCl (1.5%) is added to compensate LOD and process loss.

MANUFACTURING DIRECTIONS

1. Process the product in an area where the relative humidity is 40% to 45%, and temperature does not exceed 25°C.
2. Store the bulk tablets in polyethylene-lined stainless steel containers at a controlled relative humidity of 45% to 50% and temperature not exceeding 25°C.
3. Pass items 2, 3, and 1 through a sifter using a 900 µm sieve.
4. Load into a blender, and mix for 3 minutes.

5. Manually mix items 4 and 5 in a polyethylene bag for 1 minute.
6. Pass through a sifter using a 500 μm sieve.
7. Collect in a polyethylene bag.
8. Add to blender, and blend for 1 minute.
9. Check temperature and humidity before start of slugging (at a temperature not exceeding 25°C and a relative humidity of 40% to 45%).
10. Slug 240.0 g of mixed powder in a rotary tableting machine.
11. Grind the slugs in a granulator using a 3.0 mm sieve followed by a 1.00 mm sieve.
12. Compress 195 mg using oblong biconvex punches.
13. Check temperature and humidity before start of compression (limit: temperature not exceeding 25°C and relative humidity of 40% to 45%).
14. Coat using a hydroalcoholic HPMC coating.

RANITIDINE TABLETS (75 MG)

Bill of Materials			
Scale (mg/ tablet)	Item	Material Name	Quantity/ 1000 Tablets (g)
75.00	1	Ranitidine; use ranitidine HCl ^a	85.00
95.00	2	Microcrystalline cellulose (Avicel™ PH 102)	95.00
7.00	3	Croscarmellose sodium (Ac-Di-Sol)	7.00
6.60	4	Microcrystalline cellulose (Avicel™ PH 102)	6.60
1.40	5	Magnesium stearate	1.40

^a Ranitidine HCl 1.5% is added as an extra to compensate LOD and process loss.

MANUFACTURING DIRECTIONS

1. Process the product in an area where the RH is between 40% and 45%, and the temperature does not exceed 25°C. Store the bulk tablets in polythene-lined stainless steel containers at a controlled RH 45% to 50% and a temperature not exceeding 25°C.
2. Pass items 2, 3, and 1 through a sifter using a 900 μm sieve.
3. Load into blender, and mix for 3 minutes. Mix items 4 and 5 in a polythene bag manually for 1 minute. Pass through a sifter using a 500 μm sieve.
4. Collect in a polythene bag. Add to the blender, and blend for 1 minute.
5. Check temperature and humidity before it starts to get sluggish. (Temperature not exceeding 25°C, RH 40–45%.)

6. Slug 240.0 g of mixed powder in a rotary tableting machine. Grind the slugs in the granulator, using a 3 mm sieve followed by a 1 mm sieve.
7. Compress into 195 mg tablets, using oblong biconvex punches. Check the temperature and humidity before starting the compression. The limitation is that the temperature should not exceed 25°C, and the RH should be 40% to 45%.
8. Coat using a hydroalcoholic HPMC coating.

RANITIDINE TABLETS (300 MG)

Bill of Materials			
Scale (mg/ tablet)	Item	Material Name	Quantity/ 1000 Tablets (g)
300.00	1	Ranitidine; use as ranitidine HCl ^a	340.00
110.00	2	Microcrystalline cellulose (Avicel™ PH 102)	110.00
10.00	3	Croscarmellose sodium (Ac-Di-Sol)	10.00
16.00	4	Microcrystalline cellulose (Avicel™ PH 102)	16.00
4.00	5	Magnesium stearate	4.00

^a Anhydrous; adjust for moisture.

MANUFACTURING DIRECTIONS

Precautions: Process the product in an area where the relative humidity is between 40% and 45%, and the temperature should not exceed 25°C. Store the bulk tablets in polythene-lined stainless steel containers at a controlled relative humidity of 45% to 50% and at temperatures not exceeding 25°C.

1. Dry powder sieving and mixing: Pass items 2, 3, and 1 through a sifter, using a 900 μm sieve. Load into the blender, and mix for 3 minutes.
2. Lubrication
 - a. Mix manually items 4 and 5 in a polythene bag for 1 minute. Pass through a sifter using a 500 μm sieve. Collect in a polythene bag. Add to the blender (step 1), and blend for 1 minute.
 - b. Unload in stainless steel drums. Check and record the weight of powder mix.
3. Slugging
 - a. Check the temperature and humidity before the start of slugging. Limits: temperature not exceeding 25°C; relative humidity of 40% to 45%.
 - b. Slug 240.0 g of the mixed powder in a rotary tableting machine using the following parameters. Keep the rest of the quantity in a stainless steel drum.

- Grinding: Grind the slugs in a granulator using a 3 mm sieve followed by a 1 mm sieve.
- Mixing: Ground granules, 240 g, from step 2, and 240 g of the lubricated granules from step 3a. Load into blender and mix for 1 to 2 minutes.
- Compression: Check the temperature and humidity before starting compression. Limits: temperature not exceeding 25°C; relative humidity of 40% to 45%. Compress the granules using a rotary tableting machine. Compress into 480 mg tablets, using 015.5 mm × 7 mm punches.

RANITIDINE TABLETS (150 MG), ZANTAC

Each Zantac 150 tablet for oral administration contains 168 mg of ranitidine HCl equivalent to 150 mg of ranitidine. Each tablet also contains the inactive ingredients FD&C Yellow No. 6 Aluminum Lake, hydroxypropyl methylcellulose, magnesium stearate, microcrystalline cellulose, titanium dioxide, triacetin, and yellow iron oxide.

Each Zantac 300 tablet for oral administration contains 336 mg of ranitidine HCl equivalent to 300 mg of ranitidine. Each tablet also contains the inactive ingredients croscarmellose sodium, D&C Yellow No. 10 Aluminum Lake, hydroxypropyl methylcellulose, magnesium stearate, microcrystalline cellulose, titanium dioxide, and triacetin.

Zantac 150 EFFERdose tablets and Zantac 150 EFFERdose granules for oral administration are effervescent formulations of ranitidine that must be dissolved in water before use. Each individual tablet or the contents of a packet contains 168 mg of ranitidine HCl equivalent to 150 mg of ranitidine and the following inactive ingredients: aspartame, monosodium citrate anhydrous, povidone, and sodium bicarbonate. Each tablet also contains sodium benzoate. The total sodium content of each tablet is 183.12 mg (7.96 mEq) per 150 mg of ranitidine, and the total sodium content of each packet of granules is 173.54 mg (7.55 mEq) per 150 mg of ranitidine.

RIBOFLAVIN TABLETS

Bill of Materials

Scale (mg/ tablet)	Item	Material Name	Quantity/ 1000 Tablets (g)
3.00	1	Riboflavin	3.00
195.00	2	Ludipress®	195.00
2.00	3	Magnesium stearate	2.00
1.00	4	Aerosil® 200	1.00

MANUFACTURING DIRECTIONS

- Pass all components through a 0.8 mm sieve, mix, and press with very low-compression force (4 kN).
- Compress into 202 mg tablets, using 8 mm biplanar punches.

- This is a very low active ingredient formulation (3 mg).
- If content uniformity is a problem, prepare a pre-mix of the active ingredient with a small part of the Ludipress® or with lactose monohydrate before mixing with the other components of the formulation.

RIBOFLAVIN TABLETS

Bill of Materials

Scale (mg/ tablet)	Item	Material Name	Quantity/ 1000 Tablets (g)
10.00	1	Riboflavin	10.00
75.00	2	Lactose monohydrate	75.00
20.00	3	Cornstarch	20.00
15.00	4	Avicel™ PH101	15.00
5.00	5	Kollidon® 30	5.00
25.00	6	Water	25.00
0.80	7	Aerosil® 200	0.80
2.50	8	Talc	2.50
1.70	9	Hydrogenated castor oil	1.70

MANUFACTURING DIRECTIONS

- Granulate mixture of items 1 to 4 with solution of items 5 and 6, dry, pass through a 0.8 mm sieve, mix with items 7 to 9, and press with low compressive force.
- Compress into 134 mg tablets, using 8 mm biplanar punches.

RIBOFLAVIN TABLETS

Bill of Materials

Scale (mg/ tablet)	Item	Material Name	Quantity/ 1000 Tablets (g)
75.00	1	Riboflavin	75.00
375.00	2	Sorbitol (crystalline)	375.00
23.00	3	Kollidon® VA 64	23.00
4.00	4	Magnesium stearate	4.00
12.00	5	Aerosil® 200	12.00

MANUFACTURING DIRECTIONS

- Pass all components through a 0.8 mm sieve, mix, and press with low compressive force.
- Compress into 493 mg tablets, using 12 mm biplanar punches.

RIBOFLAVIN TABLETS**Bill of Materials**

Scale (mg/ tablet)	Item	Material Name	Quantity/ 1000 Tablets (g)
100.00	1	Riboflavin	100.00
250.00	2	Sorbitol (crystalline)	250.00
19.00	3	Kollidon® VA 64	19.00
5.00	4	Magnesium stearate	5.00
10.00	5	Aerosil® 200	10.00

MANUFACTURING DIRECTIONS

1. Pass all components through a 0.8 mm sieve, mix, and press with medium-compression force.
2. Compress into 384 mg tablets, using 12 mm biplanar punches.

RIBOFLAVIN TABLETS**Bill of Materials**

Scale (mg/ tablet)	Item	Material Name	Quantity/ 1000 Tablets (g)
150.00	1	Riboflavin, with excess	156.00
150.00	2	Ludipress®	150.00
4.00	3	Magnesium stearate	4.00
2.00	4	Aerosil® 200	2.00

MANUFACTURING DIRECTIONS

1. Mix all components, pass through a 0.8 mm sieve, and press with low compressive force.
2. Compress into 308 mg tablets, using 8 mm biplanar punches.

RIFAMPICIN, ISONIAZID, ETHAMBUTOL, AND PYRIDOXINE TABLETS (300 MG/200 MG/25 MG)**Bill of Materials**

Scale (mg/ tablet)	Item	Material Name	Quantity/ 1000 Tablets (g)
—	1	Alcohol SD 3A, 200 proof	150.00 mL
3.00	2	Alcohol cetostearyl	3.00
300.00	3	Rifampicin powder	300.00
12.00	4	Hydroxypropyl methylcellulose 2910, 50 cps	2.00
—	5	Alcohol SD 3A, 200 proof	QS
200.00	6	Isoniazid isonicotinoyl hydrazine, 10% excess	220.00
25.00	7	Pyridoxine hydrochloride	25.00
400.00	8	Ethambutol hydrochloride	400.00
20.00	9	Povidone K 29–32	20.00
—	10	Water, purified	50.00 mL
—	11	Water, purified	QS
20.00	12	Talc	20.00
40.00	13	Sodium starch glycolate	40.00
10.00	14	Magnesium stearate	10.00

MANUFACTURING DIRECTIONS

Note: Rifampicin and ethambutol hydrochloride are expensive raw materials; therefore, handle with care. The product should be manufactured in a separate, closed area, and all manufacturing equipment should be covered to minimize dust contamination.

1. Granulation I

- a. Pour the alcohol (item 1) into a container, and while stirring, gradually add the alcohol cetostearyl. Continue mixing until it all dissolves.
- b. Place the rifampicin into the mixer (preferably a planetary mixer), followed by the hydroxypropyl methylcellulose. Mix together for 5 minutes.
- c. While mixing the blended powders from step 1b, pour in the alcoholic solution from this step. (Do not add too slowly, or excessive evaporation will occur.) When all the solution is added, continue mixing for 1 minute.
- d. Stop the mixer, scrape the blades, walls, and bottom of the mixer, and then restart the mixer.
- e. While mixing, add extra alcohol (item 5) in portions, mixing for 30 seconds after each addition. Continue adding alcohol and mixing until the mass changes to a uniform dark reddish-brown color that exhibits good adhesion when squeezed and contains no dry powder. Stop mixing.
- f. Quickly scrape the blades, walls, and bottom of the mixer. Then, pass the mass through a 4.76 mm aperture screen, spread on lined trays, and

- dry in a hot air oven at 50°C to an LOD (60°C for 3 hours under vacuum) of not more than 2.5%.
- g. Sift the dried granules through a 1.2 mm screen on a sieve shaker.
 - h. Pass the coarse granules from step 1 g through a 1.7 mm screen.
 - i. Transfer the siftings from step 1 g and the granules from step 1 h to a suitable blender.
2. Granulation II
- a. Pass successively, through a 1.2 mm aperture screen on a sieve shaker, the isoniazid followed by the pyridoxine hydrochloride. Load the screened powders into a suitable mixer, and mix for 5 minutes.
 - b. Pass the ethambutol hydrochloride through a 1.2 mm aperture screen, and transfer to the mixer. Blend all the powders together for 5 minutes.
 - c. Add the water (item 10) to a stainless steel container, and add, while mixing, the povidone. Continue mixing until it all dissolves.
 - d. While mixing the powders from step 2b, add the aqueous solution from step 2c in a slow stream. When all the solution is added, continue mixing for 1 minute.
 - e. Stop the mixer, and scrape the blades, wall, and bottom of the mixer. Start mixing again.
 - f. Gradually add extra water until granulation is achieved with the formation of balls.
 - g. Pass the mass through a 4.76 mm aperture screen, and spread on lined trays. Dry in a hot air oven at 50°C for 4 hours, pass the granules through a 2.38 mm aperture screen, return to the oven, and continue drying to an LOD of less than 1% (60°C for 3 hours under vacuum).
 - h. Sieve the dried granules through an 840 μm aperture screen on a suitable sieve shaker.
 - i. Pass the coarse granules from step 2h through an 840 μm aperture screen.
 - j. Transfer the fines from step 2h and the granules from step 2i to the blender (see step 1i).
3. Lubrication
- a. Pass the talc and sodium starch glycolate through a 595 μm aperture screen on a sieve shaker, and then transfer to the blender with Granulations I and II.
 - b. Blend all the items together for 15 minutes, and then, stop the blender.
 - c. Pass the magnesium stearate through a 595 μm aperture screen on a sieve shaker, and then, transfer to the blender.
 - d. Blend the batch for 3 to 4 minutes, and then, stop the blender.
 - e. Discharge the contents of the blender into polyethylene-lined drums, and weigh.
4. Compression: Compress into 1.05 g tablets, using ovaloid punches (18.6 \times 8.7 mm), with a disintegration time of not more than 20 minutes in water and a thickness of 8.4 to 8.8 mm.

5. Coating: Apply an organic Methocel coating. (See Appendix.)

RIFAMPICIN TABLETS (300 MG)

Bill of Materials			
Scale (mg/ tablet)	Item	Material Name	Quantity/ 1000 Tablets (g)
—	1	Alcohol SD 3A, 200 proof	150.00 mL
3.00	2	Alcohol cetostearyl	3.00
300.00	3	Rifampicin powder	300.00
12.00	4	Hydroxypropyl methylcellulose 2910 50 cps	12.00
—	5	Alcohol SD 3A, 200 proof	QS
8.00	6	Talc	8.00
16.00	7	Sodium starch glycolate powder	16.00
7.50	8	Magnesium stearate	7.50

MANUFACTURING DIRECTIONS

Caution: (1) Rifampicin is an expensive raw material; handle with care. (2) The product should be manufactured in a separate closed area, and all manufacturing equipment should be covered so as to minimize dust contamination. (3) After use, wash the manufacturing area and equipment thoroughly with water and detergent. Personnel should take a cleansing shower after exposure during manufacturing.

1. Granulation
 - a. Do not overfill the mixer, because this retards penetration of the alcohol to the bottom of the bowl, leading to excessive evaporation and inadequate massing.
 - b. Pour the alcohol (item 1) into a container, and while stirring gradually, add the alcohol cetostearyl. Continue mixing until all has dissolved.
 - c. Place the rifampicin into the mixer (preferably a planetary mixer), followed by the hydroxypropyl methylcellulose. Mix together for 5 minutes.
 - d. While mixing the blended powders from step 1b, pour in the alcoholic solution from step 1a. (Do not add too slowly, or excessive evaporation will occur.) When all the solution is added, continue mixing for 1 minute.
 - e. Stop the mixer; scrape the blades, walls, and bottom of the mixer well, and then restart the mixer.
 - f. While mixing, add extra alcohol (item 5) in portions, mixing for 30 seconds after each addition. Continue adding alcohol and mixing until the mass changes to a uniform dark reddish-brown color that exhibits good adhesion when squeezed and contains no dry powder. Stop mixing.
 - g. Quickly scrape the blades, walls, and bottom of the mixer, and then pass the mass through a 4.76 mm aperture screen; spread on lined trays, and

then dry in a hot air oven at 50°C to an LOD not more than 2.5% (60°C for 3 hours under vacuum). Request samples.

- h. Sift the dried granules through a 1.2 mm screen on a sieve shaker.
 - i. Pass the coarse granules from step g through a 1.7 mm screen on a granulator or something similar.
 - j. Transfer the siftings from steps g and h through a 1.7 mm screen on a granulator.
2. Lubrication: Pass the talc and sodium starch glycolate through a 595 μm aperture screen on a sieve shaker, and then transfer to the blender.
 3. Blend all the items together for 15 minutes, and then, stop the blender.
 - a. Pass the magnesium stearate through a 595 μm aperture screen on a sieve shaker, and then, transfer to the blender.
 - b. Blend the batch for 3 to 4 minutes, and then, stop the blender.
 - c. Discharge the contents of the blender into polyethylene-lined drums, and weigh. Record the batch weight.
 4. Compression: Compress the tablets on a suitable rotary tableting machine, using round punches of 10.32 mm. The tablet weight for 10 tablets is as follows: $(3.465 \times 100)/(100\% \text{ LOD})$. Hardness is 6 to 8 kPa; disintegration time should be more than 15 minutes in water; and thickness should be 5.15 to 5.25 mm.
 - a. For other strengths of rifampicin, 450 and 600 mg, scale up the formula. For 450 mg tablets, use ovaloid punches of 15.2 \times 7.77 mm. The tablet weight for 10 tablets is $(5.145 \times 100)/(100\% \text{ LOD})$; hardness is 9 to 15 kPa; the disintegration time is not more than 15 minutes in water; and the thickness is 6.55 to 6.65 mm. The coating solution will be 200 mL—optionally add coating solution gloss Methocel, 90.00 mL. (See Appendix.)
 - b. For 600 mg tablets, use ovaloid punches of 18.6 \times 7.8 mm. The tablet weight for 10 tablets is $(6.930 \times 100)/(100\% \text{ LOD})$; hardness is 9 to 15 kPa; the disintegration time is not more than 15 minutes in water; and the thickness is 6.35 to 6.45 mm. Use a coating solution of 250 mL. Optionally add coating solution gloss Methocel, 90.00 mL. (See Appendix.)

RIFAMPICIN TABLETS (450 MG)

Bill of Materials

Scale (mg/ tablet)	Item	Material Name	Quantity/ 1000 Tablets (g)
450.00	1	Rifampicin	450.00
58.00	2	Starch, maize	58.00
9.00	3	Kollidon® 90F	9.00
—	4	Isopropyl alcohol or alcohol, ca	50 mL
15.00	5	Kollidon® CL	15.00
10.00	6	Stearic acid	10.00
2.00	7	Magnesium stearate	2.00
2.00	8	Aerosil® 200	2.00

MANUFACTURING DIRECTIONS

1. Granulate the mixture of items 1 and 2 with a solution of items 3 and 4. Dry, sieve, and mix with items 5 to 8, and press with low-compression force to tablets.
2. Compress into 550 mg tablets, using 12 mm biplanar punches.

RISEDRONATE SODIUM TABLETS (5 MG/30 MG), ACTONEL

The inactive ingredients are crospovidone, ferric oxide yellow (5 mg tablets only), hydroxypropyl cellulose, hydroxypropyl methylcellulose, lactose monohydrate, magnesium stearate, microcrystalline cellulose, polyethylene glycol, silicon dioxide, and titanium dioxide.

RISEDRONATE SODIUM TABLETS

Bill of Materials

Scale (mg/ tablet)	Item	Material Name	Quantity/ 1000 Tablets (g)
30.00	1	Risedronate sodium ^a	30.00
156.00	2	Lactose anhydrous	156.00
60.50	3	Microcrystalline cellulose	60.50
7.40	4	Crospovidone	7.40
1.10	5	Magnesium stearate	1.10

^a This quantity of risedronate sodium is determined by assay and then adjusted to provide the designed dosage level of risedronate sodium on an anhydrous basis.

MANUFACTURING DIRECTIONS

1. Place the risedronate active ingredient with the microcrystalline cellulose in a twin-shell blender. Blend for 20 minutes.

2. Pass the blend through an oscillator equipped with a 60 mesh screen.
3. Return the milled blend to the shell blender, along with the lactose and crospovidone, and mix until uniform.
4. Add the magnesium stearate, and mix until adequate lubrication is achieved.
5. Compress 250 mg.
6. Coat. (See Appendix.)

RISPERIDONE TABLETS (4 MG), RISPERDAL

Risperdal tablets are available in 0.25 mg (dark yellow), 0.5 mg (red-brown), 1 mg (white), 2 mg (orange), 3 mg (yellow), and 4 mg (green) strengths. The inactive ingredients are colloidal silicon dioxide, hydroxypropyl methylcellulose, lactose, magnesium stearate, microcrystalline cellulose, propylene glycol, sodium lauryl sulfate, and starch (corn). Tablets of 0.25, 0.5, 2, 3, and 4 mg also contain talc and titanium dioxide. The 0.25 mg tablets contain yellow iron oxide; the 0.5 mg tablets contain red iron oxide; the 2 mg tablets contain FD&C Yellow No. 6 Aluminum Lake; the 3 mg and 4 mg tablets contain D&C Yellow No. 10; and the 4 mg tablets contain FD&C Blue No. 2 Aluminum Lake.

RISPERIDONE TABLETS

Bill of Materials			
Scale (mg/ tablet)	Item	Material Name	Quantity/ 1000 Tablets (g)
4.00	1	Risperidone	4.00
140.00	2	Lactose monohydrate	140.00
105.00	3	Microcrystalline cellulose (Avicel™ PH 102)	105.00
81.00	4	Maize starch	81.00
18.00	5	Maize starch, dried	18.00
1.00	6	Colloidal silicone dioxide (Aerosil® 200)	1.00
1.00	7	Magnesium stearate	1.00
QS	8	Purified water	QS

MANUFACTURING DIRECTIONS

1. Sift risperidone, lactose monohydrate, Avicel™ PH 102, and a part of the maize starch through a stainless steel 500 µm sieve.
2. Load the sifted powder into a mixer, and mix for 5 minutes.
3. Make a paste with the remaining part of the maize starch in purified water (80–90°C).
4. Knead the powder mix with the starch paste to get the desired granules.
5. Dry the granules in an air-circulating oven to a targeted LOD of not more than 2.5%.

6. Pass the dried granules through a 250 µm sieve into a blending vessel.
7. Lubricate with Aerosil® 200, maize starch dried, and magnesium stearate previously sieved through a stainless steel 250 µm sieve. Blend for 1 minute.
8. Compress into tablets to get the labeled amount of risperidone per tablet using specified tools.
9. Coat the tablets using a hypromellose coating. (See Appendix.)

ROFECOXIB TABLETS (12.5 MG/25 MG/50 MG), VIOXX

Each tablet of Vioxx for oral administration contains 12.5, 25, or 50 mg of rofecoxib and the following inactive ingredients: croscarmellose sodium, hydroxypropyl cellulose, lactose, magnesium stearate, microcrystalline cellulose, and yellow ferric oxide.

ROSIGLITAZONE MALEATE TABLETS (2 MG/4 MG/8 MG), AVANDIA

Each pentagonal film-coated Tiltab® tablet contains rosiglitazone maleate equivalent to rosiglitazone 2 mg, 4 mg, or 8 mg for oral administration. Inactive ingredients are hydroxypropyl methylcellulose, lactose monohydrate, magnesium stearate, microcrystalline cellulose, polyethylene glycol 3000, sodium starch glycolate, titanium dioxide, triacetin, and one or more of the following: synthetic red and yellow iron oxides and talc.

ROXITHROMYCIN DISPERSIBLE TABLETS

Bill of Materials			
Scale (mg/ tablet)	Item	Material Name	Quantity/ 1000 Tablets (g)
150.00	1	Roxithromycin base	150.00
22.50	2	Crospovidone	22.50
62.50	3	Croscarmellose sodium	62.50
3.80	4	Polysorbate	3.80
666.20	5	Microcrystalline cellulose	666.20
40.00	6	Aspartame	40.00
20.00	7	Saccharin sodium	20.00
20.00	8	Mint flavor	20.00
5.00	9	Colloidal silica	5.00
10.00	10	Magnesium stearate	10.00

ROXITHROMYCIN DISPERSIBLE TABLETS (200 MG)

Bill of Materials			
Scale (mg/ tablet)	Item	Material Name	Quantity/ 1000 Tablets (g)
200.00	1	Roxithromycin	200.00
30.00	2	Ethyl cellulose	30.00
12.80	3	Sodium croscarmellose	12.80
0.27	4	Isopropyl alcohol	270.00 mL
130.00	5	Dicalcium phosphate	130.00
4.40	6	Sodium lauryl sulfate	4.40
320.00	7	Starch (maize)	320.00
4.00	8	Magnesium stearate	4.00
4.00	9	Talc	4.00
28.00	10	Sodium starch glycolate	28.00
8.00	11	Aerosil® 200	8.00
24.00	12	Aspartame	24.00
24.00	13	Flavor	24.00
—	14	Water, purified	QS

MANUFACTURING DIRECTIONS

- Sift items 1, 3, and 5 through a 250 µm sieve into a suitable mixing vessel.
- In a separate vessel, add and mix items 2 and 4.
- Add the binding solution in step 2 to step 1, and mix until a suitable mass is formed.
- Pass the wet mass through a 2.38 mm sieve, and dry the granules in a dehumidified room.
- Pass the dried granules through a 595 µm sieve into a blending vessel.
- Pass items 6 and 7 through a 250 µm sieve into a blender, and mix for 15 minutes.
- Prepare the paste with a portion of item 7 in hot water, and add to step 6. Mix until a proper mass is formed.
- Dry the granules at 50°C overnight, and pass the dried granules through a 595 µm sieve.
- Lubricate the two granules mixed together with items 8 to 13.
- Compress into 150 mg tablets, using 8 mm punches.
- Coat using HPMC coating. (See Appendix.)

SACCHARIN EFFERVESCENT TABLETS

Bill of Materials			
Scale (mg/ tablet)	Item	Material Name	Quantity/ 1000 Tablets (g)
15.00	1	Saccharin sodium	15.00
10.00	2	Tartaric acid	10.00
14.00	3	Sodium bicarbonate	14.00
2.00	4	Kollidon® VA 64	2.00
2.00	5	PEG-6000 (powder)	2.00

MANUFACTURING DIRECTIONS

- Dry saccharin sodium and tartaric acid for 1 hour at 100°C.
- Mix all components, pass through a 0.8 mm sieve, and press with low compressive force.
- Compress into 42 mg tablets, using 5 mm biplanar punches.

SACCHARIN TABLETS

Bill of Materials			
Scale (mg/ tablet)	Item	Material Name	Quantity/ 1000 Tablets (g)
37.50	1	Sodium cyclamate	37.50
17.00	2	Mannitol	17.00
6.35	3	Soda ash (light-milled powder, 58% Na ₂ O)	6.35
3.75	4	Saccharin sodium (dehydrated powder)	3.75
1.40	5	Povidone (PVP K-29–32)	1.40
8.00	6	Purified water	8.00
11.00	7	Tartaric acid	11.00
0.80	8	Soda ash (light-milled powder, 58% Na ₂ O)	0.80
1.00	9	Anhydrous sodium citrate	1.00
1.00	10	Sodium benzoate	1.00
0.20	11	PEG-8000	0.20

MANUFACTURING DIRECTIONS

- This product is hygroscopic and should be processed in a low-humidity area not exceeding 50% relative humidity at 24°C.
- Maintain at 35% to 40% relative humidity at 24°C if possible.
- If necessary, pass sodium cyclamate and mannitol (if used) through a FitzMill or similar type using a 420 µm or similar screen, and then, load into a suitable mixer.
- To this mixture, add soda ash (item 3) and blend for 30 minutes or until uniform.
- Dissolve povidone in 4 mL of warm purified water.
- Dissolve saccharin sodium in 3 mL of warm purified water.
- Add solutions from previous steps together plus sufficient purified water.
- Mass with blended powders.
- Blend for 1 hour or until uniform.
- Pass the wet mass through a 4.76 mm or similar screen in an oscillating granulator, and spread onto trays.
- Oven dry at 50°C to 55°C for 16 to 24 hours using a full oven load of trays (LOD NMT 0.9%).
- Pass dried granulation through a 1.19 mm or similar screen in an oscillating granulator or through a

- 1.68 mm or similar screen using a comminuting mill (knives forward, slow speed).
- Lubricants must meet LOD/moisture content before proceeding.
 - If lubricants fail, dry them at 80°C for 8 hours.
 - Use 60°C for tartaric acid.
 - Mill lubricants (except tartaric acid and granulated lactose, if used) through a 600 µm or similar screen in a comminuting mill (hammers forward, medium speed).
 - Load dried granulation, coated tartaric acid, lactose (if used), and milled lubricants into a suitable mixer and blend for 30 to 40 minutes.
 - Compress into 80 mg tablets, using 7/32 in. punches.

SACCHARIN TABLETS

Bill of Materials			
Scale (mg/ tablet)	Item	Material Name	Quantity/ 1000 Tablets (g)
15.00	1	Saccharin sodium	15.00
31.00	2	Ludipress®	31.00
2.00	3	Kollidon® CL	2.00
0.30	4	Magnesium stearate	0.30
2.00	5	PEG-6000 (powder)	2.00
2.00	6	Lutrol F 68	2.00

MANUFACTURING DIRECTIONS

- Mix all components, pass through a 0.8 mm sieve, and press with medium-compression force.
- Compress into 51 mg tablets (or 50 mg if items 5 and 6 are omitted), using 5 mm punches.

SALBUTAMOL TABLETS (2 MG)

Bill of Materials			
Scale (mg/ tablet)	Item	Material Name	Quantity/ 1000 Tablets (g)
2.00	1	Salbutamol; use as salbutamol sulfate	2.40
80.00	2	Lactose monohydrate	80.00
33.60	3	Starch (maize)	33.60
3.30	4	Starch (maize)	3.30
0.10	5	FD&C Yellow No. 6	0.10
0.60	6	Magnesium stearate	0.60
—	7	Purified water	28.00

MANUFACTURING DIRECTIONS

Note: The binding solution is susceptible to microbial growth, so prepare the solution directly before use.

- Sift item 4 through a 250 µm sieve using a sifter.
- Manually make a homogeneous slurry of item 4 in 4 g of cold item 7 (25–30°C) in a stainless steel container. Check that it is free of lumps.
- Add item 5 and the slurry of the starch paste (from step 2) into 24 g of item 7, heated to 85°C, into a Giusti vessel. Stir until there is complete gelatinization. Cool to 50°C.
- Sift items 1, 3, and 2 through a 630 µm sieve using a sifter. Collect in a stainless steel container.
- Load sieved powders in the mixer. Mix for 15 minutes at high speed.
- Add starch paste from step 4 to the mixer. Mix this for 10 minutes.
- Pass the wet mass through a FitzMill using sieve no. 24205 at medium speed, knives forward.
- Spread the wet granules onto the trays. Load the trolleys into the oven. Dry the granules at 55°C for 10 hours. Scoop the granules after 4 hours of drying, and then, put the upper trays to the down position and the down trays to the upper position for uniform drying. Check the moisture content—as a limit, there should not be more than 2.5%.
- Grind the dried granules through a 1 mm sieve using a granulator. Collect in a stainless steel drum, and load to the blender. Sift item 6 through a 250 µm sieve using a sifter. Collect in a polythene bag. Mix 2 g of granules with this, and add to the blender. Mix this for 1 minute.
- Compress the granules. The weight of 10 tablets is 1.20 g ± 3%; hardness is not less than 2 kPa.

SALBUTAMOL TABLETS (4 MG)

Bill of Materials			
Scale (mg/ tablet)	Item	Material Name	Quantity/ 1000 Tablets (g)
4.00	1	Salbutamol; use as salbutamol sulfate	4.80
80.00	2	Lactose monohydrate	80.00
31.28	3	Starch (maize)	31.28
3.30	4	Starch (maize)	3.30
0.02	5	Red FD&C No. 3	0.02
0.60	6	Magnesium stearate	0.60
—	7	Purified water	28.00

MANUFACTURING DIRECTIONS

See the manufacturing directions for the 2.0 mg strength.

SCOPOLAMINE TABLETS**MANUFACTURING DIRECTIONS**

1. To 0.2 g of scopolamine hydrobromide, add 29.4 g of calcium hydrogenphosphate (anhydrous) in small portions and mix well in a mortar to form a triturate.
2. Mix the triturate (29.6 g) well with fumaric acid (60 g) and calcium stearate (0.4 g) in a polyethylene bag to form a mixed powder A.
3. Mix 25 g of fumaric acid, 9.8 g of potassium hydrogenphosphate (anhydrous), and 0.2 g of calcium stearate in a polyethylene bag to make a mixed powder B.
4. To 0.1 g of scopolamine hydrobromide, add 10 g of crystalline cellulose in small portions and mix well in a mortar to make a triturate.
5. Mix this triturate (10.1 g) well with 24.7 g of lactose and 0.2 g of calcium stearate in a polyethylene bag to make a mixed powder C.
6. Perform multilayer tableting on a single-punch machine equipped with a die (8 mm) and flat-faced punches: first, place 90 mg of the mixed powder A in the die and precompress lightly; place 35 mg of the mixed powder B on the first fill and lightly precompress; thereafter, place 35 mg of the mixed powder C on the second fill and compress with a total pressure of about 1.2 tons.

SELEGILINE TABLETS (5 MG)

Formulation: Selegiline HCl (BASF), 5 g; Ludipress®, 94 g; Magnesium stearate, 1 g.

MANUFACTURING DIRECTIONS

1. Mix all components intensively, pass through a 0.8 mm sieve, and press with low-compression force at 99 mg.

SELEGILINE TABLETS

Bill of Materials			
Scale (mg/ tablet)	Item	Material Name	Quantity/ 1000 Tablets (g)
5.00	1	Selegiline	5.00
94.00	2	Ludipress®	94.00
1.00	3	Magnesium stearate	1.00

MANUFACTURING DIRECTIONS

1. Mix all components intensively, pass through a 0.8 mm sieve, and press with low compressive force.
2. Compress into 99 mg tablets, using 6 mm biplanar punches.

SERRATIOPEPTIDASE TABLETS (10 MG)

Bill of Materials			
Scale (mg/ tablet)	Item	Material Name	Quantity/ 1000 Tablets (g)
10.00	1	Serratiopeptidase	10.00
228.00	2	Ludipress®	228.00
2.00	3	Magnesium stearate	2.00

MANUFACTURING DIRECTIONS

1. Pass all components through a 0.8 mm sieve, mix intensively, and press with low-compaction force (6 kN).
2. Compress into 238 mg tablets, using 8 mm biplanar punches.

SERRATIOPEPTIDASE TABLETS (10 MG)

Bill of Materials			
Scale (mg/ tablet)	Item	Material Name	Quantity/ 1000 Tablets (g)
10.00	1	Serratiopeptidase, 40% excess	14.00
70.00	2	Lactose monohydrate	70.00
50.00	3	Microcrystalline cellulose potassium	50.00
80.00	4	Starch (maize)	80.00
—	5	Isopropyl alcohol	100 mL
2.50	6	Magnesium stearate	2.50
5.00	7	Talc	5.00

MANUFACTURING DIRECTIONS

1. Place items 2 to 4 in a suitable vessel. Mix these items for 5 minutes.
2. Add item 5, and granulate the mass. Pass it through a 2.38 mm sieve onto paper-lined trays.
3. Dry the granules in a dehumidified area overnight.
4. Pass the granules through an 18 mesh screen into a blending vessel.
5. Add item 1 to step 4, and mix well.
6. Sift items 6 and 7 through a 250 µm sieve, and add to step 5.
7. Compress into 225 mg tablets, using 7 mm punches.
8. Coat with HPMC organic coating. (See Appendix.)

SERRATIOPEPTIDASE TABLETS

Bill of Materials			
Scale (mg/ tablet)	Item	Material Name	Quantity/ 1000 Tablets (g)
10.00	1	Serratiopeptidase	10.00
228.00	2	Ludipress®	228.00
2.00	3	Magnesium stearate	2.00

MANUFACTURING DIRECTIONS

1. Pass all components through a 0.8 mm sieve, mix intensively, and press with low compressive force (6 kN).
2. Compress into 238 mg tablets, using 8 mm biplanar punches.

SERTRALINE HYDROCHLORIDE TABLETS (25 MG/50 MG/100 MG), ZOLOFT

Zoloft is supplied for oral administration as scored tablets containing sertraline hydrochloride equivalent to 25, 50, and 100 mg and the following inactive ingredients: dibasic calcium phosphate dihydrate, D&C Yellow No. 10 Aluminum Lake (in the 25 mg tablet), FD&C Blue No. 1 Aluminum Lake (in the 25 mg tablet), FD&C Red No. 40 Aluminum Lake (in the 25 mg tablet), FD&C Blue No. 2 Aluminum Lake (in the 50 mg tablet), hydroxypropyl cellulose, hydroxypropyl methylcellulose, magnesium stearate, microcrystalline cellulose, polyethylene glycol, polysorbate 80, sodium starch glycolate, synthetic yellow iron oxide (in the 100 mg tablet), and titanium dioxide.

SERTRALINE L-LACTATE OSMOTIC TABLET**MANUFACTURING DIRECTIONS**

1. Blend tablet cores comprising sertraline L-lactate (13.8 wt%), L-aspartic acid (11 wt%), calcium acetate (5 wt%), microcrystalline cellulose (29.5 wt%), and fructose (38.2 wt%), then run through a roller compactor and mill.
2. Blend this milled material with 2.5 wt% magnesium stearate to form the final blended material that is used to make tablets having a total weight of 470 mg on a conventional tablet press.
3. Semipermeable asymmetric membrane coatings should comprise 10 wt% cellulose acetate 398–10, 2.5 wt% polyethylene glycol 3350, 15 wt% water, and 72.5 wt% acetone.
4. Spray coat the coating solution onto the tablets at a rate of 20 g/min until a 10 wt% coating level on the tablets has been achieved.

SERTRALINE HYDROCHLORIDE TABLETS (25 MG)

Bill of Materials			
Scale (mg/ tablet)	Item	Material Name	Quantity/ 1000 Tablets (g)
27.98	1	Sertraline hydrochloride equivalent to sertraline 25.00 mg	27.98
52.52	2	Dibasic calcium phosphate dihydrate, DC grade	52.52
15.00	3	Microcrystalline cellulose (Avicel™ PH102)	15.00
3.00	4	Sodium starch glycolate	3.00
0.50	5	Hydroxypropyl cellulose	0.50
1.00	6	Magnesium stearate	1.00
2.00	7	Hypromellose	2.00
0.40	8	Polyethylene glycol 4000	0.40
0.20	9	Polysorbate 80	0.20
0.60	10	Titanium dioxide	0.60
0.20	11	D&C Yellow No. 10 Aluminum Lake	0.20
0.30	12	FD&C Blue No. 1 Aluminum Lake	0.30
—	13	Water, purified	30.00

MANUFACTURING DIRECTIONS

1. Pass item 2 through 0.7 mm sieve, and place in a tumbler.
2. Pass items 1, 4, and 5 through 0.5 mm sieve, and add to step 1.
3. Pass item 3 through 0.7 mm sieve, and place in tumbler from step 1.
4. Mix step 1 for 20 minutes using tumbler.
5. Pass item 6 through 0.250 mm sieve and add to step 4.
6. Mix step 5 for 2 minutes.
7. Compress into 100 mg tablets, using a suitable punch (5.0 mm, round).
8. Place item 13 in a stainless steel vessel. Add item 7 slowly to the vortex while stirring. Stir till lumps dissolve. Homogenize for 5 minutes. Keep for 3 to 4 hours for saturation of hypromellose.
9. Add items 8 to 12 to step 8 with stirring. Stir for 10 minutes. Homogenize for 5 minutes. Pass the coating dispersion through 180 mm sieve (if required).
10. Load core tablets from step 7 in coating pan, and apply coating dispersion from step 9 to get 2.5% to 3.0% weight gain.

SERTRALINE HYDROCHLORIDE TABLETS (50 MG)

Bill of Materials			
Scale (mg/ tablet)	Item	Material Name	Quantity/ 1000 Tablets (g)
55.96	1	Sertraline hydrochloride equivalent to sertraline 50.00 mg	55.96
105.04	2	Dibasic calcium phosphate dihydrate, DC grade	105.04
30.00	3	Microcrystalline cellulose (Avicel™ PH102)	30.00
6.00	4	Sodium starch glycolate	6.00
1.00	5	Hydroxypropyl cellulose	1.00
2.00	6	Magnesium stearate	2.00
4.00	7	Hypromellose	4.00
0.80	8	Polyethylene glycol 4000	0.80
0.30	9	Polysorbate 80	0.30
1.20	10	Titanium dioxide	1.20
0.40	11	FD&C Red No. 40 Aluminum Lake	0.40
0.60	12	FD&C Blue No. 2 Aluminum Lake	0.60
—	13	Water, purified	60.00

MANUFACTURING DIRECTIONS

1. Pass item 2 through 0.7 mm sieve and place in a tumbler.
2. Pass items 1, 4, and 5 through 0.5 mm sieve and add to step 1.
3. Pass item 3 through 0.7 mm sieve and place in tumbler from step 1.
4. Mix step 1 for 20 minutes using tumbler.
5. Pass item 6 through 0.250 mm sieve and add to step 4.
6. Mix step 5 for 2 minutes.
7. Compress into 200 mg tablets, using a suitable punch (6.5 mm × 10 mm, oblong).
8. Place item 13 in a stainless steel vessel. Add item 7 slowly to the vortex while stirring. Stir till lumps dissolve. Homogenize for 5 minutes. Keep for 3 to 4 hours for saturation of hypromellose.
9. Add items 8 to 12 to step 8 with stirring. Stir for 10 minutes. Homogenize for 5 minutes. Pass the coating dispersion through 180 mm sieve (if required).
10. Load core tablets from step 7 in coating pan, and apply coating dispersion from step 9 to get 2.5% to 3.0% weight gain.

SERTRALINE HYDROCHLORIDE TABLETS (100 MG)

Bill of Materials			
Scale (mg/ tablet)	Item	Material Name	Quantity/ 1000 Tablets (g)
111.92	1	Sertraline hydrochloride equivalent to sertraline 100.00 mg	111.92
110.08	2	Dibasic calcium phosphate dihydrate, DC grade	110.08
60.00	3	Microcrystalline cellulose (Avicel™ PH102)	60.00
12.00	4	Sodium starch glycolate	12.00
2.00	5	Hydroxypropyl cellulose	2.00
4.00	6	Magnesium stearate	4.00
6.00	7	Hypromellose	6.00
1.20	8	Polyethylene glycol 4000	1.20
0.40	9	Polysorbate 80	0.40
1.80	10	Titanium dioxide	1.80
0.20	11	Yellow iron oxide	0.20
—	12	Water, purified	90.00

MANUFACTURING DIRECTIONS

1. Pass item 2 through 0.7 mm sieve and place in a tumbler.
2. Pass items 1, 4, and 5 through 0.5 mm sieve and add to step 1.
3. Pass item 3 through 0.7 mm sieve and place in tumbler from step 1.
4. Mix step 1 for 20 minutes using tumbler.
5. Pass item 6 through 0.250 mm sieve and add to step 4.
6. Mix step 5 for 2 minutes.
7. Compress into 300 mg tablets, using a suitable punch (10 mm, round).
8. Place item 12 in a stainless steel vessel. Add item 7 slowly to the vortex while stirring. Stir till lumps dissolve. Homogenize for 5 minutes. Keep for 3 to 4 hours for saturation of hypromellose.
9. Add items 8 to item 11 to step 8 with stirring. Stir for 10 minutes. Homogenize for 5 minutes. Pass the coating dispersion through 180 mm sieve (if required).
10. Load core tablets from step 7 in coating pan and apply coating dispersion from step 9 to get 2.5% to 3.0% weight gain.

SILDENAFIL TABLETS (25 MG/50 MG/100 MG), VIAGRA

Viagra is formulated as blue, film-coated, rounded-diamond-shaped tablets equivalent to 25, 50, and 100 mg of sildenafil for oral administration. In addition to the active ingredient, sildenafil citrate, each tablet contains the following inactive

ingredients: microcrystalline cellulose, anhydrous dibasic calcium phosphate, croscarmellose sodium, magnesium stearate, hydroxypropyl methylcellulose, titanium dioxide, lactose, triacetin, and FD&C Blue No. 2 Aluminum Lake.

SILDENAFIL CITRATE TABLETS

Bill of Materials

Scale (mg/ tablet)	Item	Material Name	Quantity/ 1000 Tablets (g)
50.00	1	Sildenafil; use sildenafil citrate	70.50
100.00	2	Avicel™ PH 102	100.00
131.00	3	Dibasic calcium phosphate anhydrous	131.00
9.00	4	Ac-Di-Sol	9.00
1.00	5	Aerosil 200	1.00
1.50	6	Magnesium stearate	3.50

MANUFACTURING DIRECTIONS

- Place items 1 and 2 in a suitable blender or plastic bag after sifting through a 500 µm sieve. Mix them for 5 minutes.
- Add item 3 to step 1 after sifting through a 500 µm sieve. Mix for 5 minutes.
- Add items 4 to 6 after sifting them through a 500 µm sieve (item 6 through a 250 µm sieve). Blend this for 1 minute.
- Compress into 315 mg tablets, using diamond-shaped 13.2×8.2 mm punches.
- Coat using an HPMC coating. (See Appendix). Use dispersed blue E, 1321.4 mg/tablet, to match the color of Viagra. Following is a proposed formulation of coating solution:

Bill of Materials

Scale (mg/ tablet)	Item	Material Name	Quantity/ 1000 Tablets (g)
4.00	1	Hypromellose	4.00
0.80	2	Triacetin	0.80
1.22	3	Talc	1.22
2.60	4	Titanium dioxide	2.60
0.46	5	Lactose monohydrate	0.46
1.41	6	Dispersed blue E112	1.41
0.40	7	Opadry® OY-LS 29019 clear	0.40
QS	8	Water, purified	QS

SILIMARIN TABLETS

Bill of Materials

Scale (mg/ tablet)	Item	Material Name	Quantity/ 1000 Tablets (g)
35.50	1	Silimarin	35.50
410.50	2	Ludipress®	410.50
4.50	3	Magnesium stearate	4.50

MANUFACTURING DIRECTIONS

- Mix all components, pass through a 0.8 mm sieve, and press with low compressive force (about 10 kN).
- Compress into 458 mg tablets, using 12 mm biplanar punches.

SILIMARIN TABLETS (35 MG)

Bill of Materials

Scale (mg/ tablet)	Item	Material Name	Quantity/ 1000 Tablets (g)
35.00	1	Silimarin	35.50
410.50	2	Ludipress®	410.50
4.50	3	Magnesium stearate	4.50

MANUFACTURING DIRECTIONS

- Mix all components, pass through a 0.8 mm sieve, and press with low-compression force (about 10 kN).
- Compress into 458 mg tablets, using 12 mm biplanar punches.

SIMETHICONE AND MAGNESIUM CARBONATE TABLETS

Bill of Materials

Scale (mg/ tablet)	Item	Material Name	Quantity/ 1000 Tablets (g)
16.00	1	Dextrose Monohydrate, USP 25.0 kg	16.00
0.16	2	D&C Yellow No. 10 D&C Lake 250 g	0.16
0.06	3	FD&C Blue No. 1 Lake 90.0 g	0.06
80.00	4	Simethicone Pwd GS (30%) 417 kg	266.40
64.00	5	Magnesium carbonate 100 kg	64.00
128.00	6	Microcrystalline cellulose 200 kg	128.00
175.68	7	Dextrates 275 kg	175.68
5.00	8	Stearic acid 8.00 kg	5.00

MANUFACTURING DIRECTIONS

1. Process simethicone mix by preblending magnesium carbonate and simethicone powder GS 30% in a V-blender.
2. Dry granulate this preblended mix and place in a V-shell blender.
3. Add dextrans and microcrystalline cellulose to the preblended mix in the V-shell blender, and blend the preblended mix, dextrans, and microcrystalline cellulose for approximately 10 minutes.
4. Combine FD&C Blue No. 1 Lake, D&C Yellow No. 10 Lake, and dextrose in a drum roller, dry granulate, and then place in the V-shell blender with the preblended mix, dextrans, and microcrystalline cellulose.
5. Dry granulate an additional amount of dextrose in the same granulator that the colorants are granulated in, for the purpose of rinsing the granulator after the dry granulation of the colorants.
6. Also add this amount of dextrose to the V-shell blender.
7. Pass an amount of stearic acid through a 30 mesh screen and add to the V-shell blender.
8. Blend the preblended mix, dextrans, microcrystalline cellulose, colorants, dextrose, and stearic acid in the V-shell blender for 3 minutes.
9. Measure a sample of the V-shell blender mix to test blend uniformity.
10. Upon meeting satisfactory blend uniformity requirements, transfer the simethicone layer mix to tote bins and then compress into 650 mg tablets.

SIMETHICONE CHEWABLE TABLETS

Bill of Materials			
Scale (mg/ tablet)	Item	Material Name	Quantity/ 1000 Tablets (g)
70.00	1	Simethicone dry powder 25%	280.00
158.00	2	Sucrose, powder	158.00
7.00	3	Kollidon® 90F	7.00
3.50	4	Kollidon® 90F	3.50
QS	5	Isopropanol	QS
2.80	6	Aerosil® 200	2.80

MANUFACTURING DIRECTIONS

1. Granulate mixture of items 1 to 3 with solution of items 4 and 5, dry, pass through a 0.8 mm sieve, add item 6, mix thoroughly, and press with high compressive force.
2. Compress into 442 mg tablets, using 12 mm biplanar punches.

SIMETHICONE CHEWABLE TABLETS

Bill of Materials			
Scale (mg/ tablet)	Item	Material Name	Quantity/ 1000 Tablets (g)
80.00	1	Simethicone (Wacker silicon oil, S184)	80.00
400.00	2	Sorbitol (crystalline)	400.00
20.00	3	Aerosil® 200	20.00
390.00	4	Ludipress®	390.00
2.00	5	Menthol (powder)	2.00
8.00	6	Magnesium stearate	8.00

MANUFACTURING DIRECTIONS

1. Mix items 2 and 3 with item 1, pass through a 0.8 mm sieve, add mixture of items 4 to 6, mix thoroughly, pass again through a 0.8 mm sieve, and press with high compressive force.
2. Compress into 870 mg tablets, using 16 mm biplanar punches.

SIMETHICONE TABLETS

Bill of Materials			
Scale (mg/ tablet)	Item	Material Name	Quantity/ 1000 Tablets (g)
70.00	1	Simethicone	70.00
71.40	2	Microcrystalline cellulose	71.40
71.40	3	Magnesium hydroxide	71.40
265.00	4	Mannitol	265.00
100.00	5	Lactose	100.00
395.10	6	Granular sugar	395.10
0.70	7	Menthol	0.70
10.00	8	Fumed silica	10.00
5.00	9	Fumed silica	5.00
10.00	10	Magnesium stearate	10.00

MANUFACTURING DIRECTIONS

1. Blend item 2 and item 3 in a V-blender for 10 minutes.
2. Transfer to planetary mixer.
3. Slowly add weighted amount of item 1 to the mix, and mix slowly using a "B" flat beater blade; after thorough mixing, pass through a 20 mesh screen.
4. Add the balance of the ingredients, mix, and compress.

SIMVASTATIN FAST-MELT TABLET

MANUFACTURING DIRECTIONS

1. Mix simvastatin 15%, sodium bicarbonate 25%, citric acid anhydrous 25%, xylitol 12%, microcrystalline cellulose 15%, anhydrous lactose 6%, and Crodesta F160 2%.
2. Dry these ingredients at elevated temperature in the presence of a desiccant to significantly reduce the moisture content of each material.
3. Blend for 10 minutes, and extrude in a hot melt extruder at 70°C to 100°C to soften and melt the thermal binders (sucrose stearate and xylitol) and to form granules containing the effervescent ingredients.
4. Mix SV-EGF (30–80 mesh), 45%; Avicel™ PH113, 31%; Mannogen 3215, 15%; L-HPC LH-11, 5%; aspartame, 3%; redberry flavor, 0.25%; natural orange powder, 0.15%; magnesium stearate, 0.5%; and fumed silicon dioxide, 0.1%.
5. Blend for 5 minutes prior to compression.
6. Compress simvastatin tablets to a hardness of approximately 1 to 5 kPa (depending upon the dose of the drug), and tablets should disintegrate in water in approximately 15 to 35 seconds.

SIMVASTATIN TABLETS

Bill of Materials

Scale (mg/ tablet)	Item	Material Name	Quantity/ 1000 Tablets (g)
10.00	1	Simvastatin with excess	10.10
55.23	2	Lactose monohydrate	55.23
15.00	3	Pregelatinized starch (Starch 1500)	15.00
0.02	4	Butylated hydroxyanisole	0.02
2.50	5	Ascorbic acid	2.50
1.25	6	Citric acid	1.25
15.00	7	Microcrystalline cellulose (Avicel™ PH 102)	15.00
0.60	8	Magnesium stearate	0.60
0.30	9	Colloidal silicon dioxide (Aerosil® 200)	0.30
—	10	Purified water	12.00
—	11	Absolute alcohol (ethanol, dehydrated alcohol)	5.00

MANUFACTURING DIRECTIONS

Note: Avoid overmixing lubricants, or hardness may be reduced.

1. Preparation of granulating solution
 - a. Make a clear solution of item 4 in item 11 by slow stirring.

- b. Dissolve items 5 and 6 in item 10 under slow stirring by a stirrer.
2. Dry powder mixing: Sift items 1, 2, and 3 through a stainless steel 500 µm sieve in a sifter. Load into the mixer, and mix for 3 minutes at low speed.
3. Kneading
 - a. Add a binding solution, 25 to 31 g/min, to the dry powders while mixing at low speed. After the addition is over, scrape the sides and blades. Mix further for 2 minutes using a mixer and chopper at low speed. Scrape sides and blades. Check for the end point of granulation. (End point of the granulation is the point when the wet mass consists of few or no lumps of granule.)
 - b. If required, add purified water. Record the extra quantity of purified water added. Unload the wet granules onto stainless steel trays for drying.
4. Drying
 - a. Dry the wet granules in an oven at 55°C for 6 hours. After 3 hours of drying, scrape the semidried granules to break the lumps for uniform drying.
 - b. Check the LOD, with a limit of 1.0% to 1.5%.
 - c. If required, dry further at 55°C for 1 hour. Check the LOD. Transfer the dried granules in a stainless steel drum.
5. Grinding: Grind the dried granules through a 1.25 mm sieve. Collect in a polyethylene bag.
6. Lubrication
 - a. Sift items 7 and 9 through a 500 µm sieve, and add this to the double polyethylene bag used in step 5a. Mix manually for 1 minute.
 - b. Sift item 8 through a 500 µm sieve. Add 6 to 12 g granules from bulk (step 5). Mix in a polythene bag for 1 minute. Add this mixture to the polyethylene bag in step 5. Mix manually for 30 seconds. Add the two loads in the polyethylene bag, and mix manually for 15 seconds.
 - c. Unload into stainless steel drums.
7. Compression: Compress the granules using a rotary tableting machine. The dimension should be 8.5 mm × 5 mm oval punches; 100 mg per tablet should be compressed.
8. Coating: Coat the tablets using an HPMC coating. (See Appendix.)

SIMVASTATIN TABLETS (20 MG)

Bill of Materials			
Scale (mg/ tablet)	Item	Material Name	Quantity/ 1000 Tablets (g)
20.00	1	Simvastatin	20.200
110.460	2	Lactose monohydrate	110.460
30.000	3	Pregelatinized starch (Starch 1500)	30.000
0.040	4	Butylated hydroxyanisole	0.040
5.000	5	Ascorbic acid	5.000
2.500	6	Citric acid	2.500
30.000	7	Microcrystalline cellulose (Avicel™ PH 102)	30.000
1.200	8	Magnesium stearate	1.200
0.600	9	Colloidal silicon dioxide (Aerosil® 200)	0.600
—	10	Purified water	24.000
—	11	Absolute alcohol (ethanol, dehydrated alcohol)	10.000

SIMVASTATIN TABLETS (10 MG), ZOCOR®

Zocor® tablets for oral administration contain 5, 10, 20, 40, or 80 mg of simvastatin and the following inactive ingredients: cellulose, hydroxypropyl cellulose, hydroxypropyl methylcellulose, iron oxides, lactose, magnesium stearate, starch, talc, titanium dioxide, and other ingredients. Butylated hydroxyanisole is added as a preservative.

SODIUM FLUORIDE TABLETS

Bill of Materials			
Scale (mg/ tablet)	Item	Material Name	Quantity/ 1000 Tablets (g)
0.50	1	Sodium fluoride with excess	0.55
56.25	2	Sorbitol, crystalline	56.25
56.25	3	Dicalcium phosphate	56.25
2.20	4	Kollidon® VA 64	2.20
0.50	5	Magnesium stearate	0.50

MANUFACTURING DIRECTIONS

- Mix all components, pass through a 0.8 mm sieve, and press with high compressive force.
- Compress into 116 mg tablets, using 6 mm biplanar punches.
- If the content uniformity is not sufficient, a premix of sodium fluoride and sorbitol or dicalcium phosphate should be prepared separately before mixing with the rest of the excipients.

SODIUM FLUORIDE TABLETS

Bill of Materials			
Scale (mg/ tablet)	Item	Material Name	Quantity/ 1000 Tablets (g)
1.30	1	Sodium fluoride	1.30
76.70	2	Ludipress®	76.70
0.40	3	Magnesium stearate	0.40

MANUFACTURING DIRECTIONS

- Mix all components, pass through a 0.8 mm sieve, and press with low compressive force.
- Compress into 78 mg tablets, using 5 mm biplanar punches.
- If the content uniformity does not meet the requirements, prepare a premix of the active ingredient with a small part of Ludipress® or with lactose monohydrate before mixing with the other components of the formulation.

SOTALOL HYDROCHLORIDE TABLETS (500 MG)

Bill of Materials			
Scale (mg/ tablet)	Item	Material Name	Quantity/ 1000 Tablets (g)
500.00	1	Sotalol hydrochloride	500.00
100.00	2	Microcrystalline cellulose or lactose anhydrous	100.00
80.00	3	Starch, maize	80.00
30.00	4	Sodium starch glycolate	30.00
4.00	5	Magnesium stearate	4.00
4.00	6	Silicon dioxide colloidal	4.00
QS	7	Dyes	QS
—	8	Water, purified	QS

MANUFACTURING DIRECTIONS

- Place items 1 to 3 in a granulating bowl, and mix for 20 minutes. (*Note:* For item 2, a choice of using cellulose or lactose, or a combination thereof, is available.)
- Add a sufficient quantity of item 8 to form a wet mass.
- Pass the wet mass in step 2 through an 8 mesh onto paper-lined trays. Dry at 60°C for 12 hours to achieve an LOD of less than 5%.
- Pass the dried granules through a 16 or 20 mesh screen, and transfer to a blending vessel.
- Add items 4 to 7, and blend for 5 minutes.
- Compress an appropriate amount in a suitable punch.

SPIRAMYCIN DISPERSIBLE TABLETS

Bill of Materials			
Scale (mg/ tablet)	Item	Material Name	Quantity/ 1000 Tablets (g)
750.00	1	Spiramycin base	750.00
45.00	2	Crospovidone	45.00
85.00	3	Croscarmellose sodium	85.00
7.50	4	Polysorbate	7.50
762.50	5	Microcrystalline cellulose	762.50
160.00	6	Aspartame	160.00
80.00	7	Saccharin sodium	80.00
80.00	8	Mint flavor	80.00
10.00	9	Colloidal silica	10.00
20.00	10	Magnesium stearate	20.00

SPIRONOLACTONE TABLETS (25 MG/50 MG/100 MG), ALDACTONE

Aldactone oral tablets contain 25, 50, or 100 mg of spironolactone. Inactive ingredients include calcium sulfate, cornstarch, flavor, hydroxypropyl methylcellulose, iron oxide, magnesium stearate, polyethylene glycol, povidone, and titanium dioxide.

SPIRONOLACTONE TABLETS

Bill of Materials			
Scale (mg/ tablet)	Item	Material Name	Quantity/ 1000 Tablets (g)
25.00	1	Spironolactone	25.00
175.00	2	Ludipress®	175.00
1.50	3	Magnesium stearate	1.50

MANUFACTURING DIRECTIONS

- Mix all components.
- Pass the mixture through a sieve, and press with medium-compression force.
- Compress into 197 mg tablets, using 8 mm biplanar punches.

SPIRULINA EXTRACT CHEWABLE TABLETS

Bill of Materials			
Scale (mg/ tablet)	Item	Material Name	Quantity/ 1000 Tablets (g)
250.00	1	Spirulina extract (powder)	250.00
245.00	2	Ludipress®	245.00
25.00	3	PEG-6000 (powder)	25.00
5.00	4	Aerosil® 200	5.00

MANUFACTURING DIRECTIONS

- Mix all components, pass through a 0.8 mm sieve, and press with medium compressive force.
- Compress into 495 mg tablets, using 12 mm biplanar punches.

SUCRALFATE AND SODIUM ALGINATE TABLETS

Bill of Materials			
Scale (mg/ tablet)	Item	Material Name	Quantity/ 1000 Tablets (g)
500.00	1	Sucralfate	500.00
20.00	2	Sodium alginate	20.00
70.00	3	Cornstarch	70.00
20.00	4	Kollidon® 30	20.00
—	5	Ethanol (95%)	80.00 mL
30.00	6	Kollidon® CL	30.00
3.00	7	Magnesium stearate	3.00

MANUFACTURING DIRECTIONS

- Granulate mixture of items 1 to 3 with solution of items 4 and 5, pass through a sieve, mix the dry granules with items 6 and 7, and press with low compressive force.
- Compress into 660 mg tablets, using 12 mm biplanar punches.

SULFADIMIDINE TABLETS (500 MG)

Bill of Materials			
Scale (mg/ tablet)	Item	Material Name	Quantity/ 1000 Tablets (g)
500.00	1	Sulfadimidine	500.00
100.00	2	Lactose monohydrate	100.00
15.00	3	Kollidon® 30	15.00
—	4	Water, purified, ca	200.00
25.00	5	Kollidon® CL	25.00
2.40	6	Talc	2.40
0.30	7	Aerosil® 200	0.30
0.30	8	Calcium arachinate	0.30

MANUFACTURING DIRECTIONS

- Granulate the mixture of items 1 and 2 with the solution of items 3 and 4. Dry, pass through a 0.8 mm sieve, mix with items 5 to 8, and press.
- Compress into 610 mg tablets, using 12 mm biplanar punches.

SULFAMETHOXAZOLE AND TRIMETHOPRIM TABLETS (400 MG/80 MG; 800 MG/160 MG; 100 MG/20 MG)

Each double strength (DS) tablet contains 160 mg of trimethoprim and 800 mg of sulfamethoxazole plus magnesium stearate, pregelatinized starch, and sodium starch glycolate. Each tablet contains 80 mg of trimethoprim and 400 mg of sulfamethoxazole, plus magnesium stearate, pregelatinized starch, sodium starch glycolate, FD&C Blue No. 1 lake, FD&C Yellow No. 6 lake, and D&C Yellow No. 10 lake. Each teaspoonful (5 mL) of the pediatric suspension or suspension contains 40 mg of trimethoprim and 200 mg of sulfamethoxazole in a vehicle containing 0.3% alcohol, edetate disodium, glycerin, microcrystalline cellulose, parabens (methyl and propyl), polysorbate 80, saccharin sodium, simethicone, sorbitol, sucrose, FD&C Yellow No. 6, FD&C Red No. 40, flavors, and water.

SULFAMETHOXAZOLE AND TRIMETHOPRIM TABLETS

Bill of Materials			
Scale (mg/ tablet)	Item	Material Name	Quantity/ 1000 Tablets (g)
800.00	1	Sulfamethoxazole	800.00
160.00	2	Trimethoprim	160.00
70.00	3	Starch (corn)	70.00
5.00	4	Alginic acid	5.00
—	5	Water, purified, ca	320.00 mL
5.00	6	Magnesium stearate	5.00

MANUFACTURING DIRECTIONS

- Granulation
 - Pass the following ingredients through a 595 μm aperture screen: sulfamethoxazole, trimethoprim, and starch (corn), and load into a suitable blender. Blend for approximately 20 minutes.
 - Add and dissolve alginic acid (60°C) and purified water. Cool the solution to 35°C.
 - Add the solution from step 1b to blended powders, and blend until a suitable granulating mass is obtained. Add more purified water if needed.
 - Pass the granulating mass through a 2.38 mm aperture screen.
 - Oven dry the wet granules at 45°C for 16 hours until the LOD is not more than 0.9% (105°C for 1 hour).
- Lubrication
 - Pass the dried granulate through a 1.2 mm aperture screen on an oscillating granulator, and load into a suitable blender.
 - Add magnesium stearate, and mix well for approximately 10 minutes.

3. Compression

- Compress using a 19 mm caplet punch. The weight of 10 tablets is 10.4 g; the thickness is 7.4 to 8.2 mm; and the hardness is 14 to 22 kPa units.
- For 400/80 tablets, use an 11.5 mm diameter flat, beveled edge punch. The weight of 10 tablets is 5.20 g; the thickness is 4.2 to 4.6 mm; and the hardness is 13 to 24 kPa.
- For 100/20 tablets, use 7.5 mm diameter beveled edge punch. The weight of 10 tablets is 1.2 g; the thickness is 2.4 to 2.7 mm; and the hardness is 6 to 12 kPa.

SULFAMETHOXAZOLE AND TRIMETHOPRIM TABLETS (400 MG/80 MG)

Bill of Materials			
Scale (mg/ tablet)	Item	Material Name	Quantity/ 1000 Tablets (g)
400.00	1	Sulfamethoxazole	400.00
80.00	2	Trimethoprim	80.00
15.00	3	Kollidon® 30	15.00
—	4	Isopropyl alcohol	QS
24.00	5	Kollidon® CL	24.00
2.00	6	Talc	2.00
8.00	7	Magnesium stearate	8.00

MANUFACTURING DIRECTIONS

- Granulate a mixture of items 1 and 2 with a solution of items 3 and 4. Pass this through a 0.8 mm sieve, dry, add items 5 to 7, and press with low-compression force.
- Compress into 546 mg tablets, using 12 mm biplanar punches.

SULFAMETHOXAZOLE AND TRIMETHOPRIM TABLETS (800 MG/160 MG; 400 MG/80 MG)

Bill of Materials			
Scale (mg/ tablet)	Item	Material Name	Quantity/ 1,000 Tablets (kg)
800.00	1	Sulfamethoxazole	800.00
160.00	2	Trimethoprim	160.00
20.00	3	Povidone K30	20.00
24.20	4	Primojel® (sodium carboxymethyl starch)	24.20
5.00	5	Magnesium stearate	5.00
0.20	6	Diocetyl sodium sulfosuccinate	0.20

MANUFACTURING DIRECTIONS

1. First, prepare the PVP solution sufficient for this batch divided into four lots.
2. In a suitable stainless steel container, take 30 kg of deionized water, heat it to 70°C, and add to it while stirring item 4 gradually.
3. After complete dissolution, continue to stir, and add 140 kg of deionized water, item 3. Stir until completely dissolved.
4. Let stand overnight.
5. In a separate container, take one-fourth of items 1 and 2, and mix. Then add, in small portions, the PVP solution made in step 1, 45.1 kg each, until a moist mass with granular lumps is obtained. Pass the granules through a centrifugal granulator using a 10 mm sieve.
6. Spread the granules on trays, and dry at 60°C for 28 hours. The relative humidity should be 15% to 20%.
7. Pass the granules through an oscillating granulator with 2 mm perforations at a rate of 2 to 2.5 kg/min.
8. Place the granules in a V-type blender from each of the four lots, mix for 5 minutes, and transfer to a drum. Then, add item 5 and the balance of Primojel® (12.1 kg). Mix in a tumble mixer for 10 minutes.
9. Place the mixture in a V-blender, and mix for 1 hour. The relative humidity should be 20% to 25%.
10. Compress at 4 to 5 ton pressure. The weight of one tablet is 1.010 mg. This is the formula for a double-strength tablet. Adjust quantities and fill weight for 400/80 strength.

SULFAMETHOXAZOLE AND TRIMETHOPRIM TABLETS, DISPERSIBLE (800 MG/160 MG)**Bill of Materials**

Scale (mg/ tablet)	Item	Material Name	Quantity/ 1000 Tablets (g)
800.00	1	Sulfamethoxazole powder	800.00
160.00	2	Trimethoprim micronized	160.00
80.00	3	Starch (maize)	90.00
3.00	4	Sodium lauryl sulfate	3.00
15.00	5	Gelatin	15.00
25.00	6	Starch (maize)	25.00
8.00	7	Magnesium stearate	8.00
9.00	8	Guar gum	9.00
—	9	Purified water	300.00

MANUFACTURING DIRECTIONS

Note: The binding solution is liable to microbiological growth, so prepare the solution fresh, before the granulation process.

1. Preparation of starch paste: Manually make a slurry of item 6 in 40 g of item 9 (40°C). Then, add 110 g of item 9 into the vessel, and heat to 80°C. Add the slurry of item 6 to it, and mix until it swells and is translucent.
2. Add item 5 slowly to 150 g of item 9 (70°C) using a stirrer. Avoid lumps and excessive foam formation. Add the gelatin solution to the starch paste in step 1, and mix for 10 minutes.
3. Dry powder mixing: Load items 1, 2, 3, and 4 in the mixer. Mix and chop at high speed for 6 minutes.
4. Wet massing: Add starch paste from step 2 to the dry powders in the mixer, while mixing and chopping at low speed. When the addition is over, mix further for 5 minutes or until a satisfactory mass is obtained. *Note:* Avoid lumps or a ball formation that is too big.
5. Drying
 - a. Dry the granules in a fluid-bed dryer at 55°C for 1 hour.
 - b. Check the moisture content. The limit is 1% to 1.5%. *Note:* Moisture control is a very important step. It affects the microbial quality of this product.
6. Grinding: Grind the dried granules through a 1.5 mm sieve first, and then through a 1.25 mm sieve fitted on a dry granulator. Collect the granules in a stainless steel drum. Load the granules to the blender.
7. Lubrication
 - a. Mix items 7 and 8 in a polythene bag. Pass the mix through a 250 µm sieve using a sifter. Collect in a polythene bag. Add 10 g granules from step 6. Mix for 1 to 2 minutes, add to the blender, and mix for 2 minutes.
 - b. Unload into stainless steel drums.
8. Compression: Compress the granules using a rotary tableting machine with 19 × 8.8 mm oblong punches. Each tablet will be 1100 mg.

SULFATHIAZOLE TABLETS (250 MG)**Bill of Materials**

Scale (mg/ tablet)	Item	Material Name	Quantity/ 1000 Tablets (g)
250.00	1	Sulfathiazole	250.00
237.00	2	Lactose monohydrate or dicalcium phosphate	237.00
12.00	3	Kollidon® 30	12.00
—	4	Water, purified	QS
12.00	5	Kollidon® CL	12.00
2.00	6	Magnesium stearate	2.00

MANUFACTURING DIRECTIONS

1. Granulate a mixture of items 1 to 3 with item 4, pass through a 0.8 mm sieve, dry, add items 5 and 6, and press with low-compression force.
2. Compress into 504 mg tablets (512 mg if using dicalcium phosphate), using 12 mm biplanar punches.

SUMATRIPTAN SUCCINATE FAST-MELT TABLETS**MANUFACTURING DIRECTIONS**

1. Mix sumatriptan succinate 15%, sodium bicarbonate 27%, citric acid anhydrous 26%, microcrystalline cellulose 11%, anhydrous lactose 9%, xylitol 10%, and sucrose stearate 2%.
2. These ingredients are dried at elevated temperatures to significantly reduce the moisture content of the materials.
3. Blend for approximately 10 minutes, and extrude in a hot melt extruder at 70°C to 100°C to soften and melt the thermal binders (sucrose stearate and xylitol) and to form granules containing the effervescent ingredients.
4. Mix SS-EGF (30–60 mesh) 50%, microcrystalline cellulose 31%, mannitol 10%, L-HPC LH-11 5%, aspartame 3%, redberry flavor 0.3%, natural orange powder 0.1%, magnesium stearate 0.5%, and fumed silicon dioxide 0.1%.
5. Screen and blend for 5 minutes prior to compression.
6. Sumatriptan succinate tablets are then compressed to a hardness of approximately 1 to 5 kPa (depending upon the dose of the active), and tablets disintegrate in water in approximately 15 to 35 seconds.

SUMATRIPTAN SUCCINATE TABLETS (25 MG/50 MG), IMITREX

Each Imitrex tablet for oral administration contains 35 or 70 mg of sumatriptan succinate equivalent to 25 or 50 mg of sumatriptan, respectively. Each tablet also contains the inactive ingredients croscarmellose sodium, lactose, magnesium stearate, microcrystalline cellulose, and titanium dioxide dye.

SUMATRIPTAN TABLETS

Bill of Materials			
Scale (mg/ tablet)	Item	Material Name	Quantity/ 1000 Tablets (g)
140.00	1	Sumatriptan ^a	140.00
154.00	2	Lactose monohydrate	154.00
17.00	3	Microcrystalline cellulose	17.00
3.30	4	Sodium croscarmellose	3.30
1.70	5	Magnesium stearate	1.70
—	6	Water, purified, ca	12.50 mL

^a For 25 mg strength, use 35 mg of sumatriptan succinate.

MANUFACTURING DIRECTIONS

1. Sift items 1 and 2 through a 0.6 mm mesh sieve screen into a fluid-bed granulator.
2. Granulate by spraying item 6 with an inlet temperature of 75°C; allow granules to dry.
3. Pass granules through a granulator fitted with a 0.8 mm mesh screen.
4. Transfer granules to a blender, add item 5, and mix for 5 minutes.
5. Compress about 320 mg in a suitable punch.

TAMOXIFEN TABLETS (10 MG/20 MG), NOLVADEX

Nolvadex tablets are available as follows. 10 mg tablets: each 10 mg tablet contains 15.2 mg of tamoxifen citrate, which is equivalent to 10 mg of tamoxifen; 20 mg tablets: each 20 mg tablet contains 30.4 mg of tamoxifen citrate, which is equivalent to 20 mg of tamoxifen. The inactive ingredients are carboxymethyl cellulose calcium, magnesium stearate, mannitol, and starch.

Bill of Materials			
Scale (mg/ tablet)	Item	Material Name	Quantity/ 1000 Tablets (g)
10.00	1	Tamoxifen; use tamoxifen citrate	15.30
114.50	2	Lactose monohydrate	114.50
38.00	3	Starch (maize)	38.00
3.50	4	PVP K30	3.50
0.75	5	Magnesium stearate	0.75
3.00	6	Ac-Di-Sol	3.00
—	7	Water, purified, ca	30 mL

MANUFACTURING DIRECTIONS

1. After sifting items 1 to 3 through a 500 µm sieve, place in a suitable mixer. Mix this for 5 minutes at low speed.
2. In a separate vessel, add and dissolve item 4 in item 7 at a slow speed.
3. Add step 2 into step 1, and knead and mix for 5 minutes, and then again, long enough to achieve a suitable wet mass.
4. Dry the wet mass on trays at 55°C for 5 hours to an LOD of not more than 1 to 1.5%. If required, dry for another hour.
5. Pass the dried granules through a 1.25 mm sieve, and transfer to a blender.
6. Add items 5 and 6 (sifted through a 500 µm sieve) to step 5, and blend for 1 minute.
7. Compress into 175 mg tablets, using 8 mm round, plain concave punches. For 20 mg tablets, use appropriate fill weight in 10 mm punches.

TAMSULOSIN HYDROCHLORIDE BUCCAL TABLETS

DIRECTIONS

1. Dissolve 80 g of tamsulosin hydrochloride and 80 g of hydroxypropyl methylcellulose (TC5E) in a mixture of 304 g purified water and 2736 g methanol.
2. Introduce 4000 g of Celphere 102 (mean particle diameter of approximately 127; particle diameter of approximately 50 to approximately 150 μm) to a fluidized-bed granulator and coat with this solution by the side spraying method (spraying liquid volume 100 g/min, spraying air pressure 4 kg/cm², product temperature 40°C, inlet temperature 80°C) to obtain tamsulosin hydrochloride particles.
3. Separately, dissolve 533 g of ethyl cellulose and 187 g of hydroxypropyl methylcellulose (TC5E) in a mixture of 698 g purified water and 22,582 g methanol.
4. Introduce tamsulosin hydrochloride (4000 g) particles to a fluidized-bed granulator and coat with this solution by side spraying (spraying liquid volume of 40 g/min, spraying air pressure of 4 kg/cm², product temperature of 50°C, inlet temperature of 60°C) to obtain sustained-release fine particles.
5. Introduce these sustained-release fine particles (4000 g) to a fluidized-bed granulator and coat with a mixture of 2000 g of Aquacoat, 4000 g of Eudragit® L30D55, 667 g of Eudragit® NE30D, and 6667 g of purified water (spraying liquid volume of 40 g/min, spraying air pressure of 4 kg/cm², product temperature of 40°C, inlet temperature of 60°C) to obtain enteric sustained-release fine particles.
6. Then, granulate 368 g of these enteric sustained-release fine particles, 2560 g mannitol, and 640 g lactose (spraying liquid volume 200 g/min, spraying air pressure of 1.5 kg/cm², product temperature of 29°C, inlet temperature of 80°C, spraying cycle of 10 seconds spraying to 30 seconds drying) with an aqueous 40% w/w solution containing 400 g maltose in a fluidized-bed granulator to obtain the final composition.
7. After further mixing 32 g calcium stearate with the composition that is obtained, make 200 mg tablets containing 0.2 mg tamsulosin hydrochloride per tablet under a tableting pressure of 100 kg/punch and an initial hardness of 1.0 kPa using a rotary tableting machine.
8. Next, subject these tablets for 18 hours to heating and humidifying at 25°C/75% RH using a thermostatic chamber at constant humidity.
9. Then, dry for 3 hours at 30°C and 40% RH. The tablets that are obtained should show a hardness of 5.9 kPa ($n=5$), friability of 0.8% (100 rounds), and disintegration time in the buccal cavity of 20 seconds.

TANNIN–CROSPVIDONE COMPLEX TABLETS

Bill of Materials

Scale (mg/ tablet)	Item	Material Name	Quantity/ 1000 Tablets (g)
55.00	1	Tannic acid	55.00
230.00	2	Water	230.00
230.00	3	Kollidon® CL	230.00
33.00	4	Avicel™ PH101	33.00
2.60	5	Talc	2.60
0.30	6	Aerosil® 200	0.30
0.30	7	Calcium arachinate	0.30

MANUFACTURING DIRECTIONS

1. Prepare solution of items 1 and 2, suspend item 3, and filter the formed insoluble tannin–crospovidone complex.
2. Wash with water until the water is clear, pass the solids through a 0.8 mm sieve, and dry.
3. Add items 4 to 7, and press with low compressive force.
4. Compress into 323 mg tablets, using 12 mm biplanar punches.

TEGASEROD MALEATE TABLETS 2 MG

Bill of Materials

Scale (mg/ tablet)	Item	Material Name	Quantity/ 1000 Tablets (g)
2.77	1	Tegaserod maleate equivalent to Tegaserod 2 mg	2.77
87.73	2	Lactose spray dried	87.73
3.00	3	Crospovidone	3.00
5.00	4	Poloxamer	5.00
0.50	5	Hypromellose	0.50
1.00	6	Glyceryl behenate	1.00

MANUFACTURING DIRECTIONS

1. Pass item 2 through 0.7 mm sieve, and collect in a stainless steel container.
2. Place half quantity of step 1 in a tumbler.
3. Pass item 1, item 4, and item 5 through 0.5 mm sieve, collect in a stainless steel container, and mix well.
4. Add 5% (=2.2 g) powder from step 1 to step 3, and mix well.
5. Add 15% (=6.6 g) powder from step 1 to step 4, and mix well.
6. Transfer step 5 into step 2.
7. Pass item 3 through 0.5 mm sieve, and add to step 2.
8. Transfer balance quantity of step 1 into step 2.
9. Mix step 2 for 20 minutes using tumbler.
10. Pass item 6 through 0.250 mm sieve, and place in tumbler from step 9.

11. Mix step 10 for 2 minutes.
12. Compress into 100 mg tablets, using a suitable punch (5.5 mm, round).

TEGASEROD MALEATE TABLETS 6 MG

Bill of Materials			
Scale (mg/ tablet)	Item	Material Name	Quantity/ 1000 Tablets (g)
8.31	1	Tegaserod maleate equivalent to Tegaserod 2 mg	8.31
127.44	2	Lactose spray dried	127.44
4.50	3	Crospovidone	4.50
7.50	4	Poloxamer 188	7.50
0.75	5	Hypromellose	0.75
1.50	6	Glyceryl behenate	1.50

MANUFACTURING DIRECTIONS

1. Pass item 2 through 0.7 mm sieve, and collect in a stainless steel container.
2. Place half quantity of step 1 in a tumbler.
3. Pass items 1, 4, and 5 through 0.5 mm sieve, collect in a stainless steel container, and mix well.
4. Add 10% (=6.3 g) powder from step 1 to step 3, and mix well.
5. Transfer step 4 into step 2.
6. Pass item 3 through 0.5 mm sieve, and add to step 2.
7. Transfer balance quantity of step 1 into step 2.
8. Mix step 2 for 20 minutes using tumbler.
9. Pass item 6 through 0.250 mm sieve, and add to step 8.
10. Mix step 9 for 2 minutes.
11. Compress into 150 mg tablets, using a suitable punch (5.5 mm × 7.0 mm, modified oval).

TEMAFLOXACIN HYDROCHLORIDE TABLETS (200 MG/300 MG)

Bill of Materials			
Scale (mg/ tablet)	Item	Material Name	Quantity/ 1000 Tablets (g)
200.00	1	Temafloxacin hydrochloride, excess 10%	220.00
112.50	2	Lactose monohydrate	112.50
40.00	3	Sodium starch glycolate	40.00
12.50	4	Hydroxypropyl cellulose	12.50
100.00	5	Cellulose microcrystalline	100.00
5.00	6	Magnesium stearate	5.00
10.00	7	Talc	10.00
QS	8	Water, purified, ca	186.00 mL

MANUFACTURING DIRECTIONS

1. Granulation
 - a. Dissolve hydroxypropyl cellulose in two-thirds volume of purified water (item 8).
 - b. Pass lactose, temafloxacin hydrochloride, and the sodium starch glycolate through an approximately 765 µm aperture screen, if necessary, load into a mixer, and mix. Add hydroxypropyl cellulose solution from step 1a, mix, and granulate. Add more water, if needed, until a granule mass is formed.
 - c. Pass the wet mass through an approximately 4.8 mm aperture screen, and dry in a dryer at 45°C to 52°C to an LOD of not more than 1.5%. Pass the dried granules through an approximately 1.18 mm screen. If necessary, screen the microcrystalline cellulose (and crospovidone for 400 and 600 mg tablets) through an approximately 500 µm aperture screen. Add to the dried granules, and blend for 10 minutes.
 - d. Pass magnesium stearate and talc through a 500 µm aperture screen. Add to the bulk from step 1c, and blend for 5 to 10 minutes.
 - e. Compress as follows: 200 mg, 7.32 × 15.19 mm; 500 mg and 300 mg, 8.5 × 17.5 mm.
 - f. Coat the compressed tablets by spraying with a color coat and then apply gloss. (See Appendix.)

TENOXCAM TABLETS (20 MG)

Bill of Materials			
Scale (mg/ tablet)	Item	Material Name	Quantity/ 1000 Tablets (g)
20.00	1	Tenoxicam	20.00
90.00	2	Lactose monohydrate	90.00
84.00	3	Maize starch	84.00
4.00	4	Talc	4.00
2.00	5	Magnesium stearate	2.00
—	6	Water, purified, ca	50.00 mL

MANUFACTURING DIRECTIONS

1. Place item 6 and item 3 (20%) in a mixer heated to 40°C, and mix for 10 minutes. Heat at 70°C to 80°C until a homogeneous paste is formed. Cool to 50°C.
2. In a separate vessel, place item 2, the balance of item 3, and item 1. Mix well.
3. Add the paste from step 1 into step 2, and mix for 15 minutes until a loose, moist mass is obtained.
4. Granulate the moist mass using a centrifugal granulator with a 7 mm sieve.

5. Spread over paper-lined trays, and dry at 45°C overnight (the relative humidity over the granules should be 20–35%).
6. Pass the dried granules through a 1.5 mm sieve granulator.
7. Transfer the granules to a tumbler, add item 4 and then item 5, and mix for 20 minutes.
8. Compress into 200 mg tablets, using a suitable punch (11.5×6.0 mm).

TERAZOSIN HYDROCHLORIDE TABLETS

Bill of Materials			
Scale (mg/ tablet)	Item	Material Name	Quantity/ 1000 Tablets (g)
1.00	1	Terazosin hydrochloride	1.10
98.00	2	Ludipress®	98.00
1.00	3	Magnesium stearate	1.00

MANUFACTURING DIRECTIONS

1. Pass all components through a 0.8 mm sieve, mix intensively, and press with low-compression force (10 kN).
2. Compress 98.1 mg for 1 mg and 97.6 mg for 5 mg strength, using 6 mm biplanar punches.
3. If the content uniformity does not meet the requirements, prepare a premix of the active ingredient with a small part of the Ludipress® or with lactose monohydrate before mixing with the other components of the formulation.
4. For 5 mg strength, adjust with item 2.

TERAZOSIN TABLETS (1 MG)

Bill of Materials			
Scale (mg/ tablet)	Item	Material Name	Quantity/ 1000 Tablets (g)
128.56	1	Lactose	128.56
1.000	2	Terazosin; use terazosin monohydrate	1.187
7.500	3	Starch (maize)	7.500
6.000	4	Starch (maize)	6.000
—	5	Water, purified, ca	25 mL
6.000	6	Talc	6.000
1.123	7	Magnesium stearate	1.120

MANUFACTURING DIRECTIONS

1. Granulation
 - a. Mix the terazosin and a portion of lactose. Mill the mixture through a 425 μm (or similar)

- aperture screen using a comminuting mill, with impact forward, at high speed.
- b. If necessary, mill the remainder of lactose.
- c. Add the powders (step 1a and 1b) and starch (item 3) to the mixer. and blend for 20 minutes.
- d. Disperse starch (item 4) in purified water, and heat to make a paste.
- e. Add starch paste to powder blend, and blend for 5 to 7 minutes, adding extra purified water. Record any additional volume.
- f. If necessary, pass the granule through a 4.76 mm aperture on an oscillating granulator or a 12.7 mm aperture screen on a comminuting mill, with knives forward, at slow speed.
- g. Dry at 49°C to an LOD of not more than 2% (105°C for 1 hour).
- h. Pass granules through a 1.18 mm aperture screen on an oscillating granulator.
- i. Add one-half of the granules to a suitable blender.
- j. Blend the magnesium stearate and talc with a portion of the granules. Pass through a 1.18 mm aperture screen, and add to the bulk.
- k. Add the remainder of granule, and blend for 10 minutes. 2. Compression: Use 7.14 mm or other similar-size punches. For 2 mg, 5 mg, and 10 mg strengths, adjust with item 1 and any dye added to differentiate tablets.

TERBINAFINE TABLETS (250 MG)

Bill of Materials			
Scale (mg/ tablet)	Item	Material Name	Quantity/ 1000 Tablets (g)
250.00	1	Terbinafine (used as terbinafine hydrochloride)	250.00
10.00	2	Hypromellose (hydroxypropyl methylcellulose)	10.00
105.00	3	Avicel™ PH 102 (microcrystalline cellulose)	105.00
2.50	4	Ac-Di-Sol (croscarmellose sodium)	2.50
1.50	5	Magnesium stearate	1.50
QS	6	Purified water	QS

MANUFACTURING DIRECTIONS

1. Sift terbinafine hydrochloride and Avicel™ through a 250 μm sieve.
2. Dissolve hydroxypropyl methylcellulose in purified water to make a granulating solution.

- Knead the powder mix in step 1 with the granulation solution to get the desired wet mass. Pass the mass through an 8 mesh sieve onto drying trays.
- Dry granules at 60°C for 12 hours to an LOD of not more than 2%.
- Pass the granules through a 16 mesh screen into the blending vessel.
- Pass croscarmellose sodium and magnesium stearate through a 250 µm sieve, and add to step 5. Blend for 3 minutes.
- Compress into 400 mg tablets, using a suitable punch.

TERFENADINE CHEWABLE TABLETS

MANUFACTURING DIRECTIONS

- Terfenadine, 10.00% (micronized or powdered); PVP K-90, 3.00%; block copolymer poloxamer 188, 1.00%; maltodextrin QD M500 fine, 10.00%; sorbitol INSTANT, 30.00%; aspartame, 0.50%; mannitol or xylitol, 44.50%; magnesium stearate, 0.50%; spray-dried flavor, 0.50%.
- Premix terfenadine, block copolymer, aspartame, spray-dried flavor, and PVP in a cube blender for a time period of 10 minutes.
- Add the sorbitol INSTANT, and mix the resulting admixture for another 10 minute time period.
- Add the maltodextrin and mannitol or xylitol, and mix the resulting composition for a further 10 minutes. Then add the magnesium stearate lubricant and mix into the composition for a further 3 minutes.
- Make the lubricated admixture into tablets by compression to a hardness of 9 to 12 kPa (12–18 Strong Cobb units) using 3/8 in. standard concave punches or an appropriate punch/die set.

TERFENADINE TABLETS (60 MG)

Bill of Materials

Scale (mg/ tablet)	Item	Material Name	Quantity/ 1000 Tablets (g)
60.00	1	Terfenadine	60.00
235.00	2	Ludipress®	235.00
6.00	3	Kollidon® CL	6.00
1.00	4	Magnesium stearate	1.00

MANUFACTURING DIRECTIONS

- Mix all components, pass through a 0.8 mm sieve, and press with very low-compressive force.
- Compress into 301 mg tablets, using 8 mm biplanar punches.

TESTOSTERONE AND NORETHINDRONE BUCCAL TABLETS

Bill of Materials

Scale (mg/ tablet)	Item	Material Name	Quantity/ 1000 Tablets (g)
50.00	1	Testosterone	50.00
35.00	2	Norethindrone	35.00
14.80	3	Polyethylene oxide	14.80
0.20	4	Magnesium stearate	0.20

MANUFACTURING DIRECTIONS

- Thoroughly mix all components (i.e., testosterone, norethindrone, polyethylene oxide, and magnesium stearate, as set forth in BOM) prior to tablet formation using aqueous fluid-bed granulation to provide a homogeneous mixture of active agents and excipients.
- Make the individual dosage units by applying approximately 10 to 15 mg of the mixture into the punch die of a tablet press and compressing the mixed components using a pressure in the range of approximately 500 to 2000 psi. Prepare tablets with a diameter of approximately 4 mm and a height of 1 mm. Remove the tablet from the punch die, and the weight and dimensions of the tablet are measured.

TESTOSTERONE, ESTRADIOL, AND PROGESTERONE BUCCAL TABLETS

Bill of Materials

Scale (mg/ tablet)	Item	Material Name	Quantity/ 1000 Tablets (g)
1.50	1	Testosterone	1.50
0.30	2	Estradiol	0.30
4.70	3	Progesterone	4.70
2.48	4	Polyethylene oxide (Polyox WSR-303)	2.48
1.00	5	Carbopol	1.00
0.02	6	Magnesium stearate	0.02

MANUFACTURING DIRECTIONS

- Thoroughly mix all components (i.e., testosterone, estradiol, polyethylene oxide, carbomer, and magnesium stearate) prior to tablet formation using aqueous fluid-bed granulation to provide a homogeneous mixture of active agents and excipients.
- Make the individual dosage units by applying 10 mg of the mixture into the punch die of the tablet press and compressing the mixed components using a

pressure in the range of approximately 500 to 2000 psi. Prepare tablets with a diameter of approximately 4 mm and a height of 1 mm.

TETRACYCLINE TABLETS (125 MG)

Bill of Materials			
Scale (mg/ tablet)	Item	Material Name	Quantity/ 1000 Tablets (g)
125.00	1	Tetracycline hydrochloride	125.00
100.00	2	Ludipress®	100.00
42.00	3	Microcrystalline cellulose (Avicel™ PH 101)	42.00
3.00	4	Magnesium stearate	3.00

MANUFACTURING DIRECTIONS

- Mix all components, pass through a 0.8 mm sieve, and press to tablets with very low-compression force.
- Compress into 278 mg tablets, using 8 mm biplanar punches.

TETRACYCLINE TABLETS (250 MG)

Bill of Materials			
Scale (mg/ tablet)	Item	Material Name	Quantity/ 1000 Tablets (g)
250.00	1	Tetracycline hydrochloride	250.00
175.00	2	Lactose monohydrate	175.00
15.00	3	Kollidon® 30	15.00
25.00	4	Kollidon® CL	25.00
28.00	5	Talc	28.00
3.50	6	Aerosil® 200	3.50
3.50	7	Calcium arachinate	3.50

MANUFACTURING DIRECTIONS

- Pass items 1 to 4 through a 0.5 mm sieve, add the mixture of items 6 and 7, and press with low-compression force.
- Compress into 505 mg tablets, using 12 mm biplanar punches.

TETRAZEPAM TABLETS (PAGE50 MG)

Bill of Materials			
Scale (mg/ tablet)	Item	Material Name	Quantity/ 1000 Tablets (g)
50.00	1	Tetrazepam	50.00
113.00	2	Microcrystalline cellulose (Avicel™ PH 101)	113.00
30.00	3	Starch 1500 (Colorcon)	30.00
5.00	4	Kollidon® VA 64	5.00
2.00	5	Magnesium stearate	2.00

MANUFACTURING DIRECTIONS

- Pass the components through a 0.5 mm sieve, and press with low-compression force.
- Compress into 208 mg tablets, using 8 mm biplanar punches.

THEOPHYLLINE AND EPHEDRINE TABLETS (130 MG/15 MG)

Bill of Materials			
Scale (mg/ tablet)	Item	Material Name	Quantity/ 1000 Tablets (g)
130.00	1	Theophylline (0.1–0.4 mm)	130.00
15.00	2	Ephedrine hydrochloride	15.00
150.00	3	Ludipress®	150.00
2.00	4	Aerosil® 200	2.00
2.00	5	Magnesium stearate	2.00

MANUFACTURING DIRECTIONS

- Mix all components, pass through a sieve, and press with very low-compression force.
- Compress into 302 mg tablets, using 8 mm biplanar punches.

THEOPHYLLINE SUSTAINED-RELEASE TABLETS (500 MG) DC

Formulation: Theophylline, granular type (BASF), 500 g; Kollidon® SR, 125 g; Ludipress® LCE, 225 g; magnesium stearate, 3 g.

MANUFACTURING DIRECTIONS

- Mix all components, pass through a sieve of 0.8 mm, and press with medium-compression force at 853 mg.

THEOPHYLLINE TABLETS (100 MG)

Bill of Materials			
Scale (mg/ tablet)	Item	Material Name	Quantity/ 1000 Tablets (g)
100.00	1	Theophylline (0.1–0.4 mm)	100.00
147.00	2	Ludipress®	147.00
3.00	3	Magnesium stearate	3.00

MANUFACTURING DIRECTIONS

1. Mix all components, pass through a sieve, and press with low-compression force.
2. Compress into 247 mg tablets, using 8 mm biplanar punches.

THEOPHYLLINE TABLETS**MANUFACTURING DIRECTIONS**

1. Theophylline, 200 mg; crystalline PVA homopolymer, 200 mg; magnesium stearate, 5 mg. Total=405 mg.
2. Mix in a geometric dilution.

Compress on 2.7×10^6 kg/m² pressure with 3/8 in. (9.53 mm) diameter standard concave tooling to form tablets with average hardness of 12 SCU.

THEOPHYLLINE TABLETS

Bill of Materials			
Scale (mg/ tablet)	Item	Material Name	Quantity/ 1000 Tablets (g)
100.00	1	Theophylline	100.00
70.62	2	Starch 1500	70.62
72.50	3	Microcrystalline cellulose (50 Mm)	72.50
5.00	4	Stearic acid	5.00
1.25	5	Fumed silica	1.25
0.63	6	Magnesium stearate	0.63

MANUFACTURING DIRECTIONS

1. Blend all ingredients except magnesium stearate for 10 minutes in a twin-shell blender.
2. Add magnesium stearate and blend for an additional 5 minutes.
3. Compress tablets at 250 mg.

THEOPHYLLINE TABLETS (100 MG)

Bill of Materials			
Scale (mg/ tablet)	Item	Material Name	Quantity/ 1000 Tablets (g)
100.00	1	Theophylline	100.00
137.10	2	Lactose anhydrous	137.10
60.00	3	Carbopol® 971P	60.00
1.50	4	Cab-o-Sil®	1.50
1.50	5	Magnesium stearate	1.50

MANUFACTURING DIRECTIONS

1. Pass all items through a 250 µm mesh, and place items 1 to 3 in a suitable blender. (Item 3 can be used granulated in a fluid-bed.)
2. Add items 4 and 5, and blend for 3 minutes.
3. Compress into 300 mg tablets, using a suitable punch.

THEOPHYLLINE TABLETS CR (200 MG)

Bill of Materials			
Scale (mg/ tablet)	Item	Material Name	Quantity/ 1000 Tablets (g)
200.00	1	Theophylline powder	200.00
2.00	2	Sodium lauryl sulfate	2.00
2.00	3	Calcium stearate	2.00
35.00	4	Ethyl cellulose	35.00
3.60	5	Cetanol	3.60
1.60	6	Sodium lauryl sulfate	1.60
148.00	7	Triethyl citrate	148.00
—	8	Water, purified	QS

MANUFACTURING DIRECTIONS

1. Place items 1 to 3 in a suitable mixer, and mix for 10 minutes.
2. Granulate step 1 by passing the items through a compactor or dry granulator.
3. Pass the compact material from step 2 through 16 to 32 mesh screens.
4. In a separate vessel, add items 4 to 7, and make a solution with item 8 to 200 g.
5. Transfer step 3 into a fluid-bed granulator, and apply the solution in step 4 to coat the granules.
6. Compress.

THEOPHYLLINE TABLETS (100 MG)

Formulation: Theophylline granules 0.1/0.4 mm (BASF), 100 g; Ludipress®, 147 g; magnesium stearate, 3 g.

MANUFACTURING DIRECTIONS

- Mix all components, pass through a sieve, and press with low-compression force at 247 mg.

THIAMINE AND CAFFEINE TABLETS**Bill of Materials**

Scale (mg/ tablet)	Item	Material Name	Quantity/ 1000 Tablets (g)
500.00	1	Thiamine hydrochloride	500.00
100.00	2	Caffeine	100.00
30.00	3	Cornstarch	30.00
20.00	4	Kollidon® VA 64	20.00
15.00	5	Kollidon® VA 64	15.00
QS	6	Ethanol (96%)	QS
35.00	7	PEG-6000 (powder)	35.00

MANUFACTURING DIRECTIONS

- Granulate mixture of items 1 to 4 with solution of item 5 and 6, dry, sieve, mix with item 7, and press with low compressive force.
- Compress into 698 mg tablets, using 16 mm biplanar punches.

THIAMINE HYDROCHLORIDE TABLETS**Bill of Materials**

Scale (mg/ tablet)	Item	Material Name	Quantity/ 1000 Tablets (g)
100.00	1	Thiamine HCl with excess	110.00
43.50	2	Lactose monohydrate	43.50
4.00	3	Crospovidone (Kollidon® CL)	4.00
5.50	4	Povidone (PVP K-90)	5.50
5.50	5	Crospovidone (Kollidon® CL)	5.50
32.00	6	Microcrystalline cellulose (Avicel™ PH112)	32.00
5.60	7	Talc (fine powder)	5.60
3.70	8	Glyceryl behenate (glyceryl monostearate)	3.70
0.20	9	Magnesium stearate	0.20
—	10	Alcohol (ethanol, 95%)	50.67

MANUFACTURING DIRECTIONS

- Sift items 1, 2, and 3 through a stainless steel 630 µm sieve.
- Load into mixer.
- Mix for 5 minutes at high speed.
- Dissolve item 4 in item 10 under slow stirring by stirrer.

- Add the binding solution while mixing at high speed over a period of 2 minutes. Scrape sides and blades.
- Mix and chop at high speed for 2 minutes.
- Check the end point of granulation.
- If required, add additional item 10 to obtain the end point. (The end point of granulation occurs when the wet mass consists of few or no lumps.) Dry wet granules in oven at 55°C for 8 hours.
- After 2 hours of drying, scrape the semidried granules to break up the lumps to promote uniform drying.
- Check the LOD (limit: 1.0–1.5%).
- If required, dry at 55°C for an additional hour.
- Check the LOD again.
- Grind the dried granules through a 1.25 mm sieve with the granulator set at medium speed.
- Collect in stainless steel drums.
- Load the granules into blender.
- Sift items 5 and 6 through a 500 µm sieve, and add to blender.
- Mix for 2 minutes (do not overmix).
- Sift items 8 and 9 through a 500 µm sieve.
- Add 1.33 to 2.67 g of granules.
- Mix in a polyethylene bag for 1 minute.
- Add to blender.
- Blend for 1 minute.
- Check temperature and humidity before start of compression (limit: temperature should not exceed 25°C; relative humidity, 45–50%).
- Compress using 8 mm, round, beveled, concave punches.

THIAMINE HYDROCHLORIDE TABLETS, SUGAR-COATED**Bill of Materials**

Scale (mg/ tablet)	Item	Material Name	Quantity/ 1000 Tablets (g)
100.00	1	Thiamine hydrochloride monohydrate (with excess)	110.00
110.00	2	Lactose	110.00
5.00	3	Luviskol® K-98	5.00
1.00	4	Magnesium stearate	1.00
40.00	5	Ethyl alcohol (denatured)	40.00
251.44	6	Sugar (crystalline)	251.44
1.40	7	Sugar powder	1.40
14.50	8	Maize starch	14.50
14.81	9	Talcum	14.81
21.00	10	Copolymer lacquer	21.00
0.40	11	Paraffin (solid)	0.40
0.16	12	Gum acacia	0.16
0.228	13	Ethyl alcohol (denatured)	0.228
0.01	14	Paraffin (liquid)	0.01
QS	15	Purified water	QS

MANUFACTURING DIRECTIONS

1. In a suitable stainless steel vessel, add denatured ethyl alcohol and Luviskol®; mix until homogeneous mixture is obtained. Set aside.
2. Pass lactose through a 2 mesh sieve, add thiamine, and mix for 10 minutes in an appropriate mixer.
3. Slowly add to this mixture the solution made earlier, and stir until slightly lumpy mass is obtained.
4. If required, add ethyl alcohol to the mixture.
5. Pass the wet mass through an oscillating granulator with a 7.00 mm perforated sieve.
6. Spread the granules over paper-lined trays, and dry at 40°C for 5 hours in a drying oven.
7. The relative humidity of the granules should be 15% to 25%.
8. Pass magnesium stearate and talcum through a 1 mm hand sieve.
9. Compress on a rotary tablet machine at about 4 to 5 tons of pressure; the weight of each tablet should be about 230 mg.
10. In a suitable container, add purified water and acacia gum; pass the resulting solution through a 0.8 mm sieve.
11. Load the compressed tablets into a coating pan, and apply the copolymer lacquer in ten portions; after the last application, apply neutral spray (crystalline sugar in demineralized water).
12. Dry the insulated tablets in a drying oven overnight at 45°C (minimum 14 hours); the tablet weight should be around 236 mg each.
13. Into an electric, jacketed kettle, put demineralized water, crystalline sugar, maize starch, and talcum; mix by stirring until homogeneous.
14. Pass through a sieve of mesh size 0.8 mm (pH, 6.0–8.0; density, 1.335–1.356).
15. Coat the tablets to 400 mg weight using the coating solution and a sugar-coating pan; set pans at slow speed, open air inlets, and set air inflow at 80°C and maximum contact temperature at 42°C.
16. Roll tablets to reach this temperature.
17. Turn pan to fast speed, close the inlet air flap, and make first application of syrup.
18. When all tablets are wet, and distribution of syrup is uniform, open the air inlet flap and allow 80°C air to blow (tablet temperature falls 1–2°C for a short time and then slowly rises to 42°C).
19. The next application of the syrup cycle begins.
20. Coat the tablets with color solution as described in steps 15–18 to 495 mg weight.
21. Set the air inflow temperature at 25°C, and reduce the size of application with the falling temperature, whereby tablets are evenly and lightly moistened after each application; the temperature drops from 42°C to 32°C.
22. Turn the coating pans slowly during the drying phase; for the last three applications, keep the pan lids closed, as well as the air intake and outflow during this phase.
23. Drying only with outlet air may be extended for the last three applications up to 10 to 15 minutes.
24. Immediately after the last application of syrup has dried slightly, begin the polishing step.
25. The polishing paste is prepared in a suitable boiling vessel by adding stock gum solution, crystalline sugar, and demineralized water.
26. Boil until temperature reaches 106°C with stirring.
27. In a steam kettle, melt solid and liquid paraffin, and pour melted paraffins into the mixture of gum; make up the weight with demineralized water.
28. Polishing paste ready for use contains 0.75 kg of paste and 0.113 kg of ethyl alcohol.
29. Tablet temperature is 28°C to 32°C.
30. Shut off the inlet flaps and outlet flaps, set the pans at the fast speed, and add polishing paste (about 0.3% of tablet weight).
31. Close the pans with inner lids and allow them to rotate at fast speed for 90 seconds for even distribution.
32. Remove the inner lid of the pan, and set it on slow speed.
33. Open the outlet air for 3 minutes, and blow the inlet air at 40°C for 6 to 8 minutes until a good sheen appears.
34. Set the pans on automatic system for overnight, with intermission time of 5 minutes off and 10 seconds on.

THIAMINE, PYRIDOXINE, AND CYANOCOBALAMIN TABLETS**Bill of Materials**

Scale (mg/ tablet)	Item	Material Name	Quantity/ 1000 Tablets (g)
110.00	1	Thiamine mononitrate	110.00
210.00	2	Pyridoxine hydrochloride	210.00
76.82	3	Lactose monohydrate	76.82
10.00	4	Crospovidone (Kollidon® CL)	10.00
18.50	5	Povidone (PVP K-90)	18.50
0.30	6	Cyanocobalamin	0.30
85.00	7	Microcrystalline cellulose (Avicel™ PH102)	85.00
14.00	8	Crospovidone (Kollidon® CL)	14.00
10.00	9	Glyceryl behenate (glyceryl monostearate)	10.00
0.49	10	Magnesium stearate	0.49
15.00	11	Talc (fine powder)	15.00
—	12	Alcohol (ethanol, 95%)	88.90

MANUFACTURING DIRECTIONS

1. Dissolve item 5 in item 12 by using a stirrer to make a clear solution.
2. Dissolve item 6 carefully in the solution.
3. Sift items 1 to 4 through a 630 μm sieve.
4. Load the material into a mixer.
5. Mix and chop at high speed for 5 minutes.
6. Add binding solution from previous step to the dry powder in the mixer while mixing and chopping at high speed for 2 minutes.
7. Check for satisfactory wet mass.
8. Add additional item 12, if required, to obtain a satisfactory wet mass.
9. Do not allow big lumps.
10. Record the additional quantity of ethanol 95%.
11. Spread the granules onto stainless steel trays to a thickness of 1/4th of the tray thickness, and load the trays onto a trolley.
12. Load the trolley into an oven.
13. Keep the door open, switch on the oven with air circulation, heater turned off for 2 hours.
14. Dry the granules at 55°C for 12 hours.
15. Check the LOD of dried granules (limit: NMT 0.7%).
16. Grind the dried granules through a 1.25 mm sieve using a granulator.
17. Collect in a stainless steel drum.
18. Load into the blender.
19. Sift items 7, 8, and 9 through a 500 μm sieve.
20. Collect in stainless steel container.
21. Load the sieved powder into the blender.
22. Blend for 3 minutes.
23. Sift items 11 and 10 through a 500 μm sieve.
24. Collect in a polyethylene bag.
25. Add 4.44 to 6.67 g of granules from earlier step, and mix manually for 1 minute.
26. Add this mixture to the blender, and mix for 1 minute.
27. Compress the granules using a rotary tableting machine.
28. Compress into 550 mg tablets, using round, biconvex punches at 9 to 16 kp.
29. Coat tablets using an HPMC coating (see Appendix).

THIAMINE, PYRIDOXINE, AND CYANOCOBALAMIN TABLETS

Bill of Materials			
Scale (mg/ tablet)	Item	Material Name	Quantity/ 1000 Tablets (g)
100.00	1	Thiamine mononitrate (powder) with excess	115.00
50.00	2	Pyridoxine hydrochloride	50.00
9.75	3	Anhydrous citric acid (powder)	9.75
20.10	4	Monohydrate lactose (powder, regular)	20.10
1.67	5	Saccharin sodium	1.67
0.24	6	Dye	0.24
0.009	7	Dye	0.009
0.02	8	Dye	0.02
2.00	9	Cornstarch	2.00
QS	10	Purified water	18.00 mL
50.00 μg	11	Vitamin B12; use vitamin B12 oral powder cobalamin concentrate	62.50
12.50	13	Monohydrate lactose (powder, regular)	12.50
1.50	14	Oil orange terpeneless	1.50
3.50	15	Magnesium stearate	3.50
1.50	16	Talc (powder)	1.50
17.70	17	Corn starch, Light Coral Red 6 LA	17.70

MANUFACTURING DIRECTIONS

1. Pass thiamine mononitrate, pyridoxine HCl, citric acid, lactose (item 4), and saccharin sodium through a 30 mesh (595 μm or similar) screen.
2. Load into mixer, and dry mix.
3. Dissolve the dyes in purified water.
4. Add the starch (item 9) to this dye solution with stirring.
5. Heat and continue stirring until a thick paste is formed.
6. Cool to room temperature before using.
7. (*Note:* Use 7.5 g of colored starch paste for the vitamin B1 and B6 blend and 12.5 g of colored starch paste for the vitamin B12 blend.) Add 7.5 g of colored starch paste to powder blend, and mix until mass is formed.
8. Pass through a 6 mesh (3.36 mm or similar) screen, and air dry for 3 to 4 hours.
9. Screen vitamin B12 oral powder and lactose (item 12) through a 30 mesh (595 μm or similar) screen.
10. Load into mixer, and dry mix.
11. Add 12.5 g colored starch paste to powder blend, and mix until mass is formed.
12. Pass through a 6 mesh (3.36 mm or similar) screen, and air dry for 3 to 4 hours.

- Dry granulations from the two steps separately at 49°C overnight or until LOD is less than 1%.
- Mill the two dried granulations through a 16 mesh (1.2 mm or similar) screen (knives forward, medium speed), and combine.
- Sift a small quantity of granulation from the previous steps over a 30 mesh (595 µm or similar) screen, and add the orange oil to the fines.
- Add magnesium stearate, talc powder, and Light Coral Red starch to mixture, and pass through a 30 mesh (595 µm or similar) screen.
- Load base granulation and lubricants into a blender, and blend thoroughly.
- Compress using 11/32 in. concave punches.

THIAMINE, PYRIDOXINE, AND CYANOCOBALAMIN TABLETS

Bill of Materials

Scale (mg/ tablet)	Item	Material Name	Quantity/ 1000 Tablets (g)
100.00	1	Thiamine hydrochloride	100.00
10.00	2	Pyridoxine hydrochloride	10.00
0.10	3	Cyanocobalamin (gelatin coated, 1%)	10.00
277.00	4	Ludipress®	277.00
3.00	5	Magnesium stearate	3.00

MANUFACTURING DIRECTIONS

- Pass all components through a 0.8 mm sieve, mix, and press with low compressive force.
- Compress into 394 mg tablets, using 12 mm biplanar punches.

THIAMINE, PYRIDOXINE, AND CYANOCOBALAMIN TABLETS

Bill of Materials

Scale (mg/ tablet)	Item	Material Name	Quantity/ 1000 Tablets (g)
100.00	1	Thiamine mononitrate	100.00
200.00	2	Pyridoxine hydrochloride	200.00
0.10	3	Cyanocobalamin (gelatin coated, 1%)	10.00
250.00	4	Ludipress®	250.00
45.00	5	PEG-6000 (powder)	45.00
5.00	6	Aerosil® 200	5.00

MANUFACTURING DIRECTIONS

- Mix all components, pass through a 0.8 mm sieve, and press with low compressive force.
- Compress into 609 mg tablets, using 12 mm biplanar punches.

THIAMINE, PYRIDOXINE, AND CYANOCOBALAMIN TABLETS

Bill of Materials

Scale (mg/ tablet)	Item	Material Name	Quantity/ 1000 Tablets (g)
250.00	1	Thiamine mononitrate	250.00
250.00	2	Pyridoxine hydrochloride	250.00
75.00	3	Lactose monohydrate	75.00
25.00	4	Kollidon® 30	25.00
QS	5	Isopropanol	QS
1.00	6	Cyanocobalamin (gelatin coated, 1%)	100.00
25.00	7	Kollidon® CL	25.00
2.00	8	Magnesium stearate	2.00
2.00	9	Talc	5.00

MANUFACTURING DIRECTIONS

- Granulate mixture items 1 to 3 with solution of items 4 and 5, dry, pass through a 0.8 mm sieve, mix with items 6 to 9, and press with low compressive force, applying a vibrating hopper.
- Compress into 730 mg tablets, using 12 mm biplanar punches.

THIAMINE TABLETS

Bill of Materials

Scale (mg/ tablet)	Item	Material Name	Quantity/ 1000 Tablets (g)
50.00	1	Thiamine hydrochloride or thiamine mononitrate	50.00
293.00	2	Ludipress®	293.00
5.00	3	Magnesium stearate	5.00
2.00	4	Aerosil® 200	2.00

MANUFACTURING DIRECTIONS

- Pass all components through a 0.5 mm sieve, mix, and press with medium compressive force.
- Compress 357 mg, if hydrochloride salt is used, or 347 mg, if mononitrate salt is used, with 12 mm biplanar punches.

THIAMINE TABLETS

Bill of Materials			
Scale (mg/ tablet)	Item	Material Name	Quantity/ 1000 Tablets (g)
50.00	1	Thiamine hydrochloride or thiamine mononitrate	50.00
150.00	2	Lactose monohydrate	150.00
150.00	3	Avicel™ PH101	150.00
15.00	4	Kollidon® CL	15.00
2.00	5	Aerosil® 200	2.00

MANUFACTURING DIRECTIONS

1. Pass all components through a 0.5 mm sieve, mix, and press with high compressive force.
2. Compress 344 mg, if hydrochloride salt is used, or 373 mg, if mononitrate salt is used, with 12 mm biplanar punches.

THIAMINE TABLETS

Bill of Materials			
Scale (mg/ tablet)	Item	Material Name	Quantity/ 1000 Tablets (g)
100.00	1	Thiamine hydrochloride or thiamine mononitrate	110.00 (or 100.00)
190.00	2	Ludipress®	190.00
100.00	3	Lactose monohydrate	100.00
100.00	4	Avicel™ PH 101	100.00
9.00	5	Kollidon® CL	9.00
3.00	6	Aerosil® 200	3.00
2.00	7	Magnesium stearate	2.00

MANUFACTURING DIRECTIONS

1. Pass all components through a 0.5 mm sieve, mix, and press with medium compressive force.
2. Compress 302 mg, if hydrochloride salt is used, or 320 mg, if mononitrate salt is used, with 8 mm biplanar punches.

THIAMINE TABLETS

Bill of Materials			
Scale (mg/ tablet)	Item	Material Name	Quantity/ 1000 Tablets (g)
100.00	1	Thiamine hydrochloride	100.00
200.00	2	Lactose monohydrate	200.00
10.00	3	Kollidon® 30	10.00
60.00	4	Isopropanol	60.00
10.00	5	Kollidon® CL	10.00
2.00	6	Magnesium stearate	2.00
1.00	7	Aerosil® 200	1.00

MANUFACTURING DIRECTIONS

1. Granulate mixture of items 1 and 2 with solution of items 3 and 4, dry, and sieve through a 0.8 mm screen, mix with items 5 to 7, and press to tablets.
2. Compress into 330 mg tablets, using 8 mm biplanar punches.

THIAMINE TABLETS

Bill of Materials			
Scale (mg/ tablet)	Item	Material Name	Quantity/ 1000 Tablets (g)
300.00	1	Thiamine mononitrate	300.00
100.00	2	Dicalcium phosphate (Di-Tab)	100.00
15.00	3	Kollidon® 30	15.00
QS	4	Isopropanol	~50.00
10.00	5	Kollidon® CL	10.00
4.00	6	Magnesium stearate	4.00

MANUFACTURING DIRECTIONS

1. Granulate mixture of items 1 and 2 with solution of items 2 and 3, dry, and sieve through a 0.8 mm screen.
2. Mix with items 5 and 6, and compress into 430 mg tablets, using 12 mm biplanar punches.

TIBOLONE TABLETS (0.3 MG)

Bill of Materials			
Scale (mg/ tablet)	Item	Material Name	Quantity/ 1000 Tablets (g)
0.30	1	Tibolone (Org GD 14)	0.30
1.95	2	Hydroxypropyl cellulose	1.95
32.50	3	Starch (maize)	32.50
0.32	4	Magnesium stearate	0.32
29.93	5	Lactose anhydrous	29.33
—	6	Water, purified	QS

MANUFACTURING DIRECTIONS

1. Place items 3 and 5 in a suitable blender, and mix for 1 minute after passing them through a 250 µm sieve.
2. In a separate vessel, place items 1 and 2; add a sufficient amount of item 6 to make a uniform solution.
3. Add step 2 into step 1 gradually, and granulate for 2 minutes.
4. Pass the wet mass through an 8 mesh screen, and dry at 40°C for 4 hours.
5. Screen the granules through a 710 µm sieve into a blender.
6. Add item 4, and blend for 1 minute.
7. Compress into 65 mg tablets, using a suitable punch.

TICLOPIDINE HYDROCHLORIDE TABLETS (250 MG)**Bill of Materials**

Scale (mg/ tablet)	Item	Material Name	Quantity/ 1000 Tablets (g)
250.0	1	Ticlopidine HCl	250.0
72.0	2	Starch, maize	72.0
68.8	3	Microcrystalline cellulose (Avicel™)	68.8
6.0	4	Polyvinylpyrrolidone (PVP K30)	6.0
1.2	5	Colloidal silicon dioxide (Aerosil® 200)	1.2
2.0	6	Magnesium stearate	2.0
—	7	Water, purified	QS

MANUFACTURING DIRECTIONS

1. Blend ticlopidine HCl, maize starch, Avicel™, and PVP K-30 after passing through a 350 µm sieve.
2. Place item 3 in a separate vessel, and prepare a paste using item 7.
3. Add step 2 into step 1. Knead to make a suitable wet mass.
4. Pass the wet mass through an 8 mesh screen onto drying trays. Dry at 60°C for 12 hours. The LOD should not be more than 2.5%.
5. Pass the dried granules through a 16 mesh screen into a blending vessel.
6. Blend with Avicel™, Aerosil®, and magnesium stearate previously sieved through a 500 µm sieve.
7. Compress into 400 mg tablets, using 15 mm punches.
8. Coat the tablets with Hypromellose solution. (See Appendix.)

TINIDAZOLE CONTROLLED-RELEASE TABLETS**Bill of Materials**

Scale (mg/ tablet)	Item	Material Name	Quantity/ 1000 Tablets (g)
1000.00	1	Tinidazole	1000.00
17.50	2	Methocel K15 MCR	17.50
10.00	3	Methocel K4 MCR	10.00
50.00	4	Lactose	50.00
25.00	5	Polyvinylpyrrolidone K30	25.00
10.00	6	Talc	10.00
5.00	7	Colloidal silicon dioxide	5.00
31.50	8	Sodium stearyl fumarate	31.50
1.00	9	Magnesium stearate	1.00

MANUFACTURING DIRECTIONS

1. Blend the drug with the two polymers and lactose and granulate with a solution of polyvinylpyrrolidone in water.
2. Dry, size, and lubricate the granules, and compress to tablets at 1148 mg.

TOLTERODINE TABLETS (1 MG/2 MG), DETROL®

Detrol® tablets contain tolterodine tartrate. Detrol® tablets for oral administration contain 1 or 2 mg of tolterodine tartrate. The inactive ingredients are colloidal anhydrous silica, calcium hydrogen phosphate dihydrate, cellulose microcrystalline, hydroxypropyl methylcellulose, magnesium stearate, sodium starch glycolate (pH 3.0–5.0), stearic acid, and titanium dioxide.

TOPIRAMATE TABLETS (100 MG/200 MG), TOPAMAX

Topamax (topiramate) tablets contain the following inactive ingredients: lactose monohydrate, pregelatinized starch, microcrystalline cellulose, sodium starch glycolate, magnesium stearate, purified water, carnauba wax, hydroxypropyl methylcellulose, titanium dioxide, polyethylene glycol, synthetic iron oxide (100 and 200 mg tablets), and polysorbate 80.

TOSUFLOXACIN TOSYLATE TABLETS (75 MG)

Bill of Materials			
Scale (mg/ tablet)	Item	Material Name	Quantity/ 1000 Tablets (g)
75.00	1	Tosufloxacin tosylate monohydrate	75.00
37.40	2	L-Aspartic acid	37.50
21.45	3	Cellulose, crystalline	21.45
34.50	4	Starch (maize)	34.50
7.50	5	Silicon dioxide, hydrated	7.50
2.25	6	Hydroxypropyl cellulose	2.25
1.80	7	Magnesium stearate	1.80

MANUFACTURING DIRECTIONS

1. Pass items 1 and 2 through a 790 µm sieve into a suitable blender.
2. Blend for 2 minutes.
3. Add items 3 to 6, passing each item through a 500 µm sieve.
4. Blend for 5 minutes.
5. Pass item 7 through a 100 mesh screen into step 4.
6. Blend for 1 minute.
7. Compress into 180 mg tablets, using 8 mm punches.

TRAMADOL SUSTAINED-RELEASE TABLETS (100 MG)

Formulation: Tramadol HCl (Chemagis), 100.0 g; Kollidon® SR, 150.0 g; silicon dioxide, colloidal, 2.5 g; magnesium stearate, 1.5 g.

MANUFACTURING DIRECTIONS

All ingredients are passed through a 0.8 mm sieve, blended for 10 minutes in a mixer, and then compressed with medium-compression force at 254 mg.

TRAMADOL HYDROCHLORIDE MATRIX TABLETS**MANUFACTURING DIRECTIONS**

1. Tramadol hydrochloride (100 mg), hydroxypropyl methylcellulose type 2208, 100,000 mPas (85 mg), calcium hydrogen phosphate (62 mg), colloidal silicon dioxide (5 mg), and magnesium stearate (3 mg).
2. Sieve all components through a 0.63 mm sieve, mixing in a cube blender for 10 minutes and pressing into tablets having a diameter of 9 mm, a radius of curvature of 8.5 mm, and a mean weight of 255 mg.

TRAZODONE HYDROCHLORIDE TABLETS (100 MG)

Trazodone HCl is supplied for oral administration in 50 mg, 100 mg, 150 mg, and 300 mg tablets. Trazodone HCl tablets, 50 mg, contain the following inactive ingredients: dibasic calcium phosphate, castor oil, microcrystalline cellulose, ethyl cellulose, FD&C Yellow No. 6 Aluminum Lake, lactose, magnesium stearate, povidone, sodium starch glycolate, and starch (corn).

Trazodone HCl tablets, 100 mg, contain the following inactive ingredients: dibasic calcium phosphate, castor oil, microcrystalline cellulose, ethyl cellulose, lactose, magnesium stearate, povidone, sodium starch glycolate, and starch (corn).

Trazodone HCl tablets, 150 mg, contain the following inactive ingredients: microcrystalline cellulose, FD&C Yellow No. 6 Aluminum Lake, magnesium stearate, pregelatinized starch, and stearic acid.

Trazodone HCl tablets, 300 mg, contain the following inactive ingredients: microcrystalline cellulose, yellow ferric oxide, magnesium stearate, sodium starch glycolate, pregelatinized starch, and stearic acid.

TRIAMCINOLONE TABLETS (4 MG)

Bill of Materials			
Scale (mg/ tablet)	Item	Material Name	Quantity/ 1000 Tablets (g)
4.00	1	Triamcinolone	4.00
191.00	2	Ludipress®	191.00
2.00	3	Kollidon® CL	2.00
2.00	4	Magnesium stearate	2.00

MANUFACTURING DIRECTIONS

1. Mix all components, pass through a sieve, and press with low-compression force.
2. Compress into 206 mg tablets, using 8 mm biplanar punches.
3. If the content uniformity does not meet the requirements, prepare a premix of the active ingredient with a small part of the Ludipress® or with lactose monohydrate before mixing with the other components of the formulation.

TRIAMETRENE AND HYDROCHLOROTHIAZIDE TABLETS**MANUFACTURING DIRECTIONS**

1. First mixture—triamterene, 75 mg; Avicel™, PH-102, 125 mg; Rexcel, 38 mg; Ac-Di-Sol, 10 mg; magnesium stearate/sodium lauryl sulfate (94/6), 6 mg; sodium lauryl sulfate, 4 mg; Cab-O-Sil, M-5, 2 mg.

2. Second mixture—hydrochlorothiazide, 50 mg; Avicel™, PH-102, 80 mg; Ac-Di-Sol, 5 mg; magnesium stearate/sodium lauryl sulfate (94/6), 1 mg; Cab-O-Sil, M-5, 1 mg; D&C Yellow No. 10 lake, 1 mg.
3. After the separate granules are prepared, add 250 g of magnesium stearate/sodium lauryl sulfate (94/6) and thoroughly blend the final mixture and then form into tablets (or capsules) by customary methods.

TRIFLUOPERAZINE TABLETS (5 MG)

Bill of Materials			
Scale (mg/ tablet)	Item	Material Name	Quantity/ 1000 Tablets (g)
5.00	1	Trifluoperazine hydrochloride	5.00
194.00	2	Ludipress®	194.00
1.00	3	Magnesium stearate	1.00

MANUFACTURING DIRECTIONS

1. Mix all components, pass through a sieve, and press with very low-compression force.
2. Compress into 204 mg tablets, using 8 mm biplanar punches.
3. If the content uniformity does not meet the requirements, prepare a premix of the active ingredient with a small part of the Ludipress® or with lactose monohydrate before mixing with the other components of the formulation.

TRIMEBUTINE AND RANITIDINE HYDROCHLORIDE TABLETS

Bill of Materials			
Scale (mg/ tablet)	Item	Material Name	Quantity/ 1000 Tablets (g)
200.00	1	Trimebutine	200.00
150.00	2	Ranitidine hydrochloride	150.00
122.00	3	Microcrystalline cellulose PH102	122.00
20.00	4	Lactose monohydrate	20.00
1.65	5	Magnesium stearate	1.65

MANUFACTURING DIRECTIONS

1. In a suitable vessel, mill the trimebutine, ranitidine HCl, microcrystalline cellulose, and lactose monohydrate to a suitable size and mix until homogeneous.
2. Add the magnesium stearate, and mix the mixture until homogeneous.

3. Discharge the mixture and compress using conventional tablet tooling to a suitable hardness (e.g., 10–12 kPa) to target a net tablet weight of 500 mg.

TRIPROLIDINE AND PSEUDOEPHEDRINE HYDROCHLORIDE TABLETS

Bill of Materials			
Scale (mg/ tablet)	Item	Material Name	Quantity/ 1000 Tablets (g)
2.60	1	Triprolidine HCl (4% excess)	2.70
60.00	2	Pseudoephedrine HCl (5% excess)	63.00
122.40	3	Lactose monohydrate	122.40
25.50	4	Maize starch with excess	28.00
1.00	5	Povidone (PVP K-30)	1.00
4.00	6	Povidone (PVP K-30)	4.00
—	7	Alcohol (ethanol, 95%)	28.00
1.50	8	Magnesium stearate	1.50

MANUFACTURING DIRECTIONS

1. Dissolve item 6 in item 7 using a stirrer.
2. Avoid loss of ethanol by evaporation.
3. Pass items 1 to 5 through a 630 µm sieve using sifter.
4. Collect in a stainless steel drum.
5. Load the sieved powders into a mixer.
6. Mix and chop for 5 minutes at low speed.
7. Add PVP solution to the mixer at medium rate while mixing.
8. Start the chopper at low speed when half of the solution is added.
9. Mix and chop at low speed until the satisfactory mass is obtained.
10. Spread the wet granules onto the trays.
11. Keep the trolleys in the open air for about 1 hour.
12. Load the trolleys into the oven, and start the air circulation at room temperature for 2 hours.
13. Dry the granules at 55°C with air circulation for 5 hours.
14. Scoop the granules after 2 hours of drying; move the upper trays down and the lower trays up for uniform drying.
15. Check the moisture content (limit: NMT 1.5%).
16. Pass the dried granules through a 1 mm sieve using a granulator.
17. Collect in a stainless steel drum, and load into the blender.
18. Pass item 8 through a 250 µm sieve using a sifter.
19. Collect in a polyethylene bag.
20. Mix 2 g of granules with this mixture, and add to the blender.
21. Mix for 1 minute.

22. Unload the lubricated granules in a stainless steel drum.
23. Compress into 220 mg tablets, using 8.5 mm, round, concave punches.

TULOButEROL HYDROCHLORIDE TABLETS (1 MG)

Bill of Materials			
Scale (mg/ tablet)	Item	Material Name	Quantity/ 1000 Tablets (g)
1.00	1	Tulobuterol hydrochloride	1.00
44.96	2	Lactose monohydrate	44.96
40.00	3	Blue dye	40.00
28.00	4	Starch (maize)	28.00
2.00	5	Acacia	2.00
3.00	6	Calcium carboxymethyl cellulose	3.00
—	7	Water, purified, ca	20 mL
1.00	8	Magnesium stearate	1.00

MANUFACTURING DIRECTIONS

Caution: Tulobuterol is a low-dose bronchodilator. Operators should wear a mask and gloves during all stages of manufacture.

1. Blending
 - a. Cross feed tulobuterol, blue dye, and lactose through a comminuting mill fitted with a 790 μm screen, with high-speed knives.
 - b. Blend the maize starch, acacia, and calcium carboxymethyl cellulose. Put the tulobuterol blend in a suitable mixer/blender for 20 minutes, and disintegrate.
2. Granulation: Load the blended ingredients from steps a or b into a suitable planetary mixer. While mixing, add water in a slow steady stream. Continue massing for 5 minutes after all the water is added. Proceed to the drying step.
3. Drying
 - a. Pass the wet mass through a 4 mm aperture screen onto paper-lined trays. Dry at 50°C to 55°C. The final LOD should be between 1.5% and 5% (105°C for 1 hour).
 - b. Pass the dried granule through an oscillating granulator fitted with a 720 μm aperture screen.
4. Lubrication: Load the dried granules into a suitable blender. Pass the magnesium stearate and an equal portion of dried granule through a 600 μm aperture screen. Add to a blender, and blend for 5 minutes.
5. Compression
 - a. Compress using a rotary machine fitted with 7/32 in. flat bevel-edged punches. The weight should be 80 mg \pm 3%.
 - b. For a 2 mg dose, adjust with lactose.

VALACYCLOVIR HYDROCHLORIDE TABLETS (500 MG/1 G), VALTREX

Each caplet contains valacyclovir hydrochloride equivalent to 500 mg or 1 g of valacyclovir and the inactive ingredients carnauba wax, colloidal silicon dioxide, crospovidone, FD&C Blue No. 2 lake, hydroxypropyl methylcellulose, magnesium stearate, microcrystalline cellulose, polyethylene glycol, polysorbate 80, povidone, and titanium dioxide. The blue, film-coated caplets are printed with edible white ink.

VALDECOXIB TABLETS (10 MG/20 MG), BEXTRA

Bextra tablets for oral administration contain 10 or 20 mg of valdecoxib. Inactive ingredients include lactose monohydrate, microcrystalline cellulose, pregelatinized starch, croscarmellose sodium, magnesium stearate, hydroxypropyl methylcellulose, polyethylene glycol, polysorbate 80, and titanium dioxide.

VALERIANA AND PASSIFLORA EXTRACT TABLETS

Bill of Materials			
Scale (mg/ tablet)	Item	Material Name	Quantity/ 1000 Tablets (g)
44.00	1	Valeriana extract, powder	44.00
33.00	2	Passiflora extract, powder (with excess)	36.00
120.00	3	Avicel™ PH101	120.00
11.00	4	Kollidon® CL	11.00
3.60	5	Aerosil® 200	3.60
7.30	6	Magnesium stearate	7.30

MANUFACTURING DIRECTIONS

1. Pass all components through a 0.8 mm sieve, mix, and press with low compressive force.
2. Compress into 231 mg tablets, using 9 mm biconvex punches.

VALPROATE SODIUM TABLETS

Bill of Materials			
Scale (mg/ tablet)	Item	Material Name	Quantity/ 1000 Tablets (g)
576.00	1	Sodium valproate	576.00
20.00	2	Cab-o-Sil	20.00
266.00	3	A-tab	266.00
154.00	4	Carbomer 971P	154.00
10.00	5	Magnesium stearate	10.00

MANUFACTURING DIRECTIONS

1. Admix sodium valproate, Carbopol 971 carbomer, and nonhygroscopic additives and blend in V-blender for about 5 minutes.
2. Comminute the blend from step 1 through a 0.250 in. screen.
3. Pass the mixture from step 2 through a 20 mesh vibrating sieve.
4. Blend the sifted material from step 3 in a V-blender for an additional 15 minutes.
5. Pass magnesium stearate through a 50 mesh sieve.
6. Add the sieved magnesium stearate from step 5 to the resulting granulate from step 4 and blend for 5 minutes.
7. Compress the blend from step 6 into caplets.

VALPROATE SODIUM TABLETS (500 MG), DEPAKOTE

Depakote tablets are supplied in three dosage strengths containing divalproex sodium equivalent to 125, 250, or 500 mg of valproic acid. The inactive ingredients are cellulosic polymers, diacetylated monoglycerides, povidone, pregelatinized starch (contains cornstarch), silica gel, talc, titanium dioxide, and vanillin. In addition, individual tablets contain the following. 125 mg tablets: FD&C Blue No. 1 and FD&C Red No. 40; 250 mg tablets: FD&C Yellow No. 6 and iron oxide; 500 mg tablets: D&C Red No. 30, FD&C Blue No. 2, and iron oxide.

VALPROATE SODIUM TABLETS**Bill of Materials**

Scale (mg/ tablet)	Item	Material Name	Quantity/ 1000 Tablets (g)
500.00	1	Valproate sodium	500.00
80.00	2	Starch (maize)	80.00
20.00	3	Kollidon® 30	20.00
—	4	Isopropyl alcohol, ca	60 mL
5.00	5	Kollidon® CL	5.00
5.00	6	Magnesium stearate	5.00

MANUFACTURING DIRECTIONS

1. Granulate the mixture of items 1 and 2 with a solution of items 3 and 4. Pass through a sieve, mix the dry granules with items 5 and 6, and press with low-compression force.
2. Compress into 607 mg tablets, using 12 mm biplanar punches. *Note:* The powder mixture easily develops electric charge.

VALSARTAN AND HYDROCHLOROTHIAZIDE TABLETS (80 MG/12.5 MG; 160 MG/25 MG), DIOVAN HCT

Diovan HCT tablets are formulated for oral administration to contain valsartan and hydrochlorothiazide, USP 80/12.5 mg, 160/12.5 mg, and 160/25 mg. The inactive ingredients of the tablets are colloidal silicon dioxide, crospovidone, hydroxypropyl methylcellulose, iron oxides, magnesium stearate, microcrystalline cellulose, polyethylene glycol, talc, and titanium dioxide.

VALSARTAN AND HYDROCHLOROTHIAZIDE TABLETS**Bill of Materials**

Scale (mg/ tablet)	Item	Material Name	Quantity/ 1000 Tablets (g)
80.00	1	Valsartan	80.00
12.50	2	Hydrochlorothiazide	12.50
1.50	3	Colloidal silica anhydrous (Aerosil® 200)	1.50
31.50	4	Microcrystalline cellulose (Avicel™ PH 102)	31.50
20.00	5	Polyvinylpyrrolidone crospovidone	20.00
4.50	6	Magnesium stearate	4.50

MANUFACTURING DIRECTIONS

1. Blend all components (use only 50% of magnesium stearate) in a container mixer.
2. Sieve the blended material, and mix again.
3. Compact using a roller compactor such as Bepex Pharmapaktor L 200/50 P, Hosokawa Micron Group by applying a compaction force of 25 to 65 kN and a roller speed of 1.3 to 7.5 rpm.
4. Sieve the compacted material and the remaining portion of the magnesium stearate, and blend again for 2 minutes.
5. Compress into 150 mg tablets.

VENLAFAXINE HYDROCHLORIDE TABLETS (25 MG/37.5 MG/50 MG), EFFEXOR®

Compressed tablets of Effexor® contain venlafaxine hydrochloride equivalent to 25, 37.5, 50, 75, or 100 mg of venlafaxine. Inactive ingredients consist of cellulose, iron oxides, lactose, magnesium stearate, and sodium starch glycolate.

Effexor® XR is formulated as an extended-release capsule for once-a-day oral administration. Drug release is controlled by diffusion through the coating membrane on the spheroids and is not pH dependent. Capsules contain venlafaxine hydrochloride equivalent to 37.5, 75, or 150 mg of venlafaxine.

Inactive ingredients consist of cellulose, ethyl cellulose, gelatin, hydroxypropyl methylcellulose, iron oxide, and titanium dioxide.

VENLAFAXINE HYDROCHLORIDE TABLETS

Bill of Materials			
Scale (mg/ tablet)	Item	Material Name	Quantity/ 1000 Tablets (g)
25.00	1	Venlafaxine	25.00
90.00	2	Microcrystalline cellulose	90.00
100.30	3	Pregelatinized starch	100.30
7.00	4	Croscarmellose	7.00
0.20	5	Magnesium stearate	0.20

MANUFACTURING DIRECTIONS

1. Sieve the active ingredient through a suitable sieve, and blend with the excipients until a uniform blend is formed.
2. Screen the dry blend, and blend with the magnesium stearate.
3. Compress and adjust weight for different strengths.

VERAPAMIL SUSTAINED-RELEASE TABLETS (220 MG)

Formulation: Verapamil hydrochloride, 240.0 g; Ludipress® LCE, 230.0 g; Methocel K15M (Dow), 75.0 g; Talc, 75.0 g; magnesium stearate, 5.0 g; Aerosil® 200, 2.5 g.

MANUFACTURING DIRECTIONS

1. Mix all components, pass through a 0.8 mm sieve, and press with low-compression force using a vibrating hopper at 628 mg.

VERAPAMIL TABLETS

MANUFACTURING DIRECTIONS

1. Verapamil hydrochloride 240 mg, sodium alginate (300 cps) 135 mg, hydroxypropyl methylcellulose (Methocel E4M viscosity of 4000 cps) 45 mg, Avicel™ pH 101 33.2 mg, lactose 8.3 mg, hydroxypropylmethyl E5 9.0 mg, magnesium stearate 4.5 mg, purified water QS.
2. Dry blend verapamil hydrochloride, hydroxypropyl methylcellulose, sodium alginate, microcrystalline cellulose, and lactose for 5 minutes in a suitable blender. Wet mass the powders using binder in aqueous solution and pass the mix through a 10 mesh screen. Dry the granules, and add the magnesium stearate thereto.

3. Thoroughly mix the so-formed mixture and compress into tablets each weighing 475 mg.

VERAPAMIL TABLETS (120 MG), CALAN

Calan is available for oral administration in film-coated tablets containing 40, 80, or 120 mg of verapamil HCl. The inactive ingredients are microcrystalline cellulose, cornstarch, gelatin, hydroxypropyl cellulose, hydroxypropyl methylcellulose, iron oxide colorant, lactose, magnesium stearate, polyethylene glycol, talc, and titanium dioxide. Sustained-release/extended-release tablets are designed for sustained release of the drug in the gastrointestinal tract. Sustained-release characteristics are not altered when the tablet is divided in half.

VERAPAMIL HYDROCHLORIDE TABLETS

Bill of Materials			
Scale (mg/ tablet)	Item	Material Name	Quantity/ 1000 Tablets (g)
120.00	1	Verapamil hydrochloride	120.00
270.00	2	Ludipress®	270.00
3.00	3	Magnesium stearate	3.00
3.00	4	Aerosil® 200	3.00

MANUFACTURING DIRECTIONS

1. Mix all components, pass through a 0.8 mm sieve, and press with medium-compression force.
2. Compress into 400 mg tablets, using 12 mm biplanar punches.

VESICARE TABLET 5 MG FILM-COATED TABLETS

Bill of Materials			
Scale (mg/ tablet)	Item	Material Name	Quantity/ 1000 Tablets (g)
5.00	1	Solifenacin succinate	5.00
74.30	2	Lactose spray dried	74.30
5.00	3	Cornstarch	5.00
5.00	4	Starch 1500	5.00
0.70	5	Magnesium stearate	0.70
2.00	6	Hydroxypropyl methylcellulose	2.00
0.40	7	Polyethylene glycol 8000	0.40
0.30	8	Talc	0.30
0.60	9	Titanium dioxide	0.60
0.20	10	Yellow ferric oxide	0.20
—	11	Water, purified	30.00

MANUFACTURING DIRECTIONS

1. Pass item 2 through 0.7 mm sieve, and collect in a stainless steel container.
2. Place half quantity of step 1 in a tumbler.
3. Pass items 1, 3, and item 4 through 0.5 mm sieve, collect in a stainless steel container, and mix well.
4. Add 5% (=1.9 g) powder from step 1 to step 3, and mix well.
5. Add 15% (=5.7 g) powder from step 1 to step 3, and mix well.
6. Transfer step 5 into step 2.
7. Transfer balance quantity of step 1 into step 2.
8. Mix step 2 for 20 minutes using tumbler.
9. Pass item 5 through 0.250 mm sieve, and add to step 8.
10. Mix step 9 for 2 minutes.
11. Compress into 90 mg tablets, using a suitable punch (5.5 mm, round).
12. Place item 11 in a stainless steel vessel. Add item 6 slowly to the vortex while stirring. Stir till lumps dissolve. Homogenize for 5 minutes. Keep for 3 to 4 hours for saturation of hydroxypropyl methylcellulose.
13. Add items 7 to 10 one by one to step 12 with stirring. Stir for 10 minutes. Homogenize for 5 minutes. Pass the coating dispersion through 180 mm sieve (if required).
14. Load core tablets from step 11 in coating pan and apply coating dispersion from step 13 to get 2.5% to 3.0% weight gain.

VESICARE TABLET (10 MG) FILM-COATED TABLETS**Bill of Materials**

Scale (mg/ tablet)	Item	Material Name	Quantity/ 1000 Tablets (g)
10.00	1	Solifenacin succinate	10.00
122.20	2	Lactose spray dried	122.20
8.33	3	Cornstarch	8.33
8.33	4	Starch 1500	8.33
1.20	5	Magnesium stearate	1.20
3.00	6	Hydroxypropyl methylcellulose	3.00
0.75	7	Polyethylene glycol 8000	0.75
0.50	8	Talc	0.50
1.00	9	Titanium dioxide	1.00
0.30	10	Red ferric oxide	0.30
—	11	Water, purified	45.00

MANUFACTURING DIRECTIONS

1. Pass item 2 through 0.7 mm sieve, and collect in a stainless steel container.

2. Place half quantity of step 1 in a tumbler.
3. Pass items 1, 3, and 4 through 0.5 mm sieve, collect in a stainless steel container, and mix well.
4. Add 5% (=3 g) powder from step 1 to step 3, and mix well.
5. Add 15% (=9.1 g) powder from step 1 to step 3, and mix well.
6. Transfer step 5 into step 2.
7. Transfer balance quantity of step 1 into step 2.
8. Mix step 2 for 20 minutes using tumbler.
9. Pass item 5 through 0.250 mm sieve, and add to step 8.
10. Mix step 9 for 2 minutes.
11. Compress into 150 mg tablets, using a suitable punch (7.5 mm × 6.0 mm, oval).
12. Place item 11 in a stainless steel vessel. Add item 6 slowly to the vortex while stirring. Stir till lumps dissolve. Homogenize for 5 minutes. Keep for 3 to 4 hours for saturation of hydroxypropyl methylcellulose.
13. Add items 7 to 10 one by one to step 13 with stirring. Stir for 10 minutes. Homogenize for 5 minutes. Pass the coating dispersion through 180 mm sieve (if required).
14. Load core tablets from step 11 in coating pan and apply coating dispersion from step 13 to get 2.5% to 3.0% weight gain.

VIRACEPT 250 MG TABLETS**Bill of Materials**

Scale (mg/ tablet)	Item	Material Name	Quantity/ 1000 Tablets (g)
292.00	1	Nelfinavir mesylate equivalent to nelfinavir 250 mg	292.00
158.00	2	Lactose monohydrate	158.00
25.00	3	Povidone	25.00
—	4	Water, purified	50.00
20.00	5	Crospovidone	20.00
5.00	6	Magnesium stearate	5.00
10.00	7	Hypromellose	10.00
2.00	8	Triacetin	2.00
0.30	9	FD&C Blue No. 2	0.30
—	10	Water, purified	100.00

MANUFACTURING DIRECTIONS

1. Dissolve item 3 in item 4 in a stainless steel container.
2. Pass items 2 and 1 and 20% of item 5 (4 g) through 0.7 mm sieve and mix well.
3. Place step 2 in a granulator.
4. Knead step 3 with solution of step 1 for 5 to 10 minutes until a loose, moist mass is obtained.

5. Granulate the moist mass using a centrifugal granulator with a 7 mm sieve.
6. Spread over paper-lined trays and dry at 50°C to 55°C for 8 hours (the relative humidity over the granules should be 20–35%).
7. Pass the dried granules through a 1.25 mm sieve granulator.
8. Transfer the granules to a tumbler.
9. Pass the remaining quantity of item 5 through 0.5 mm sieve, add to step 8, and mix for 15 minutes.
10. Pass item 6 through 0.250 mm sieve, and add to step 9.
11. Mix step 10 for 2 minutes.
12. Compress into 500 mg tablets, using a suitable punch (14.5 mm, round).
13. Place item 10 in a stainless steel vessel. Add item 7 slowly to the vortex while stirring. Stir till lumps dissolve. Homogenize for 5 minutes. Keep for 3 to 4 hours for saturation of hydroxypropyl methylcellulose.
14. Add item 8 and item 9 one by one to step 12 with stirring. Stir for 10 minutes. Homogenize for 5 minutes. Pass the coating dispersion through 180 mm sieve (if required).
15. Load core tablets from step 12 in coating pan and apply coating dispersion from step 14 to get 1.5% to 2.0% weight gain.

VIRACEPT 625 MG TABLETS

Bill of Materials

Scale (mg/ tablet)	Item	Material Name	Quantity/ 1000 Tablets (g)
730.00	1	Nelfinavir mesylate equivalent to nelfinavir 650 mg	730.00
62.00	2	Lactose monohydrate	62.00
45.00	3	Povidone	45.00
—	4	Water, purified	100.00
45.00	5	Crospovidone	45.00
9.00	6	Colloidal silicon dioxide	9.00
9.00	7	Magnesium stearate	9.00
15.00	8	Hypromellose	15.00
3.00	9	Triacetin	3.00
0.50	10	FD&C Blue No. 2	0.50
—	11	Water, purified	150.00

MANUFACTURING DIRECTIONS

1. Dissolve item 3 in item 4 in a stainless steel container.
2. Pass item 2, item 1, and 20% of item 5 (9 g) through 0.7 mm sieve and mix well.
3. Place step 2 in a granulator.
4. Knead step 3 with solution of step 1 for 5 to 10 minutes until a loose, moist mass is obtained.

VITAMIN A AND VITAMIN E TABLETS

Bill of Materials

Scale (mg/ tablet)	Item	Material Name	Quantity/ 1000 Tablets (g)
33,000 IU	1	Vitamin A acetate (dry powder, 500,000 IU/g)	69.00
70.00	2	Vitamin E acetate (dry powder)	70.00
146.00	3	Mannitol (granulated) with 10% of Kollidon® 30	146.00
17.00	4	Kollidon® CL	17.00

MANUFACTURING DIRECTIONS

1. Mix all components, pass through a 0.8 mm sieve, and press with high compressive force.
2. Compress into 300 mg tablets, using 12 mm biplanar punches.

VITAMIN A CHEWABLE TABLETS

Bill of Materials			
Scale (mg/ tablet)	Item	Material Name	Quantity/ 1000 Tablets (g)
100,000 IU	1	Vitamin A acetate (dry powder, 325,000 IU/g)	350.00
350.00	2	Mannitol	350.00
25.00	3	Kollidon® VA 64	25.00
5.00	4	Magnesium stearate	5.00
3.00	5	Aerosil® 200	3.00

MANUFACTURING DIRECTIONS

1. Mix all components, pass through a 0.8 mm sieve, and press with medium compressive force.
2. Compress into 750 mg tablets, using 12 mm biplanar punches.

VITAMIN A TABLETS

Bill of Materials			
Scale (mg/ tablet)	Item	Material Name	Quantity/ 1000 Tablets (g)
50,000 IU	1	Vitamin A acetate (dry powder, 500,000 IU/g)	110.00
100.00	2	Avicel™ PH102	100.00
10.00	3	Kollidon® VA 64	10.00
5.00	4	Kollidon® CL	5.00
1.00	5	Aerosil® 200	1.00

MANUFACTURING DIRECTIONS

1. Pass all components through a 0.8 mm sieve, mix, and press with low compressive force.
2. Compress into 231 mg tablets, using 9 mm biconvex punches.

VITAMIN A TABLETS

Bill of Materials			
Scale (mg/ tablet)	Item	Material Name	Quantity/ 1000 Tablets (g)
5000	1	Vitamin A acetate (dry powder, 500,000 IU/g)	110.00
189.00	2	Ludipress®	189.00
1.00	3	Magnesium stearate	1.00

MANUFACTURING DIRECTIONS

1. Mix all components, pass through a 0.8 mm sieve, and press with low compressive force.
2. Compress into 306 mg tablets, using 8 mm punches.

VITAMIN A TABLETS

Bill of Materials			
Scale (mg/ tablet)	Item	Material Name	Quantity/ 1000 Tablets (g)
50,000	1	Vitamin A acetate (dry powder, 500,000 IU/g)	120.00
120.00	2	Ludipress®	120.00
10.00	3	Avicel™ PH101	10.00
1.00	4	Magnesium stearate	1.00
1.00	5	Aerosil® 200	1.00

MANUFACTURING DIRECTIONS

1. Mix all components, pass through a 0.8 mm sieve, and press with low compressive force.
2. Compress into 277 mg tablets, using 8 mm punches.

VITAMIN A TABLETS

Bill of Materials			
Scale (mg/ tablet)	Item	Material Name	Quantity/ 1000 Tablets (g)
50,000	1	Vitamin A acetate (dry powder, 500,000 IU/g)	110.00
154.00	2	Avicel™ PH101	154.00
10.00	3	Kollidon® VA 64	10.00
4.00	4	Kollidon® CL	4.00
1.00	5	Aerosil® 200	1.00

MANUFACTURING DIRECTIONS

1. Mix all components, pass through a 0.8 mm sieve, and press with low compressive force.
2. Compress into 250 mg tablets, using 8 mm punches.

VITAMIN A TABLETS

Bill of Materials			
Scale (mg/ tablet)	Item	Material Name	Quantity/ 1000 Tablets (g)
25,000 IU	1	Vitamin A acetate (dry powder, 500,000 IU/g)	55.00
572.00	2	Dicalcium phosphate (granulated) (Di-Tab) with 3% of Kollidon® 30	572.00
28.00	3	Polyethylene glycol, powder	28.00
19.40	4	Kollidon® CL	19.40
5.60	5	Aerosil® 200	5.60

MANUFACTURING DIRECTIONS

1. Granulate the dicalcium phosphate with Kollidon® 30, dissolved in isopropanol or water, and pass through a 0.5 to 12 mm screen sieve using a vibrating hopper.
2. Mix the obtained dried granules with the other components, sieve, and press with high compressive force.
3. Compress into 680 mg tablets, using biplanar punches.

VITAMIN A, VITAMIN B6, AND VITAMIN E TABLETS

Bill of Materials			
Scale (mg/ tablet)	Item	Material Name	Quantity/ 1000 Tablets (g)
40,000 IU	1	Vitamin A acetate (dry powder, 500,000 IU/g)	80.00
40.00	2	Pyridoxine hydrochloride	40.00
35.00	3	Vitamin E acetate (dry powder, SD 50)	75.00
395.00	4	Ludipress®	395.00
4.00	5	Magnesium stearate	4.00
5.00	6	Aerosil® 200	5.00

MANUFACTURING DIRECTIONS

1. Pass all components through a 0.8 mm sieve, mix, and press with high compressive force.
2. Compress into 583 mg tablets, using 12 mm biplanar punches.

VITAMIN A, VITAMIN C, AND VITAMIN D3 CHEWABLE TABLETS

Bill of Materials			
Scale (mg/ tablet)	Item	Material Name	Quantity/ 1000 Tablets (g)
2000/200 IU	1	Vitamin A and vitamin D3 (dry powder, 500,000 and 50,000 IU/g, respectively)	4.00
30.00	2	Ascorbic acid (powder) with excess	33.00
300.00	3	Sucrose (crystalline)	300.00
300.00	4	Sorbitol (crystalline)	300.00
300.00	5	Mannitol	300.00
300.00	6	Ludipress®	300.00
5.00	7	Stearic acid	5.00
0.10	8	Saccharin sodium	0.10
30.00	9	Cyclamate sodium	30.00
30.00	10	Flavor mixture (Firmenich)	30.00
20.00	11	PEG-6000, powder	20.00

MANUFACTURING DIRECTIONS

1. Pass all components through a 0.8 mm sieve, mix, and press with high compressive force.
2. Compress into 1290 mg tablets, using 16 mm biplanar punches.

VITAMIN A, VITAMIN C, AND VITAMIN E TABLETS (1200 IU/60 MG/30 MG)

Bill of Materials			
Scale (mg/ tablet)	Item	Material Name	Quantity/ 1000 Tablets
1200 IU	1	Vitamin A acetate (dry powder, 500,000 IU/g)	2.40
60.00	2	Ascorbic acid (powder)	60.00
30.00	3	Vitamin E acetate (dry powder, 50%)	60.00
105.00	4	Lactose monohydrate	105.00
30.00	5	Avicel™ PH101	30.00
20.00	6	Kollidon® 25	20.00
5.00	7	Talc	5.00
1.00	8	Aerosil® 200	1.00

MANUFACTURING DIRECTIONS

1. Pass all components through a 0.8 mm sieve, mix, and press with medium-compression force.
2. Compress into 285 mg tablets, using 8 mm biplanar punches.

VITAMIN B-COMPLEX AND CARNITINE TABLETS

Bill of Materials

Scale (mg/ tablet)	Item	Material Name	Quantity/ 1000 Tablets (g)
95.00	1	Thiamine mononitrate	95.00
20.00	2	Riboflavin	20.00
100.00	3	Nicotinamide	100.00
50.00	4	Calcium D-pantothenate	50.00
2.00	5	Folic acid	2.00
0.20	6	Biotin	0.20
0.005	7	Cyanocobalamin (gelatin coated, 1%)	0.50
50.00	8	Carnitine hydrochloride	50.00
100.00	9	Inositol	100.00
2.00	10	Adenosine phosphate	2.00
15.70	11	Kollidon® 30	15.70
70.00	12	Isopropanol	70.00
26.00	13	Kollidon® CL	26.00
122.00	14	Lactose monohydrate	122.00
14.00	15	PEG-6000, powder	14.00

MANUFACTURING DIRECTIONS

1. Granulate mixture of items 1 to 10 with solution of items 11 and 12.
2. Dry, pass through a 0.8 mm sieve, mix with items 13 and 15, and press with low compressive force.
3. Compress into 708 mg tablets, using 13 mm biplanar punches.

VITAMIN B-COMPLEX AND FOLIC ACID DRAGEES

Bill of Materials

Scale (mg/ tablet)	Item	Material Name	Quantity/ 1000 Tablets (g)
4.35	1	Calcium D-pantothenate (granulate, 67%)	6.50
2.60	2	Thiamine mononitrate (10.4%)	25.00
20.00	3	Magnesium oxide (light)	20.00
45.75	4	D-mannitol (powder)	45.75
100.00	5	DL-methionine	100.00
2.30	6	Riboflavin	2.30
6.30	7	Nicotinamide	6.30
2.40	8	Pyridoxine HCl	2.40
4.00	9	Magnesium stearate	4.00
0.1150	10	D-biotin	0.1150
0.46	11	Folic acid	0.46
100.00	12	Choline tartrate	100.00
28.00	13	Silicic acid (precipitated)	28.00
0.87 µg	14	Vitamin B12 (as 0.1% water-soluble form)	0.871
3.15	15	Vitamin E (50%)	6.30
30.00	16	Sodium carboxymethyl starch	30.00
116.66	17	Isopropyl alcohol	116.66
22.00	18	Povidone (PVK K-90) (Luviskol®)	22.00

MANUFACTURING DIRECTIONS

1. Incorporate in mixer PVP K-90 and isopropyl alcohol, and make a solution with continuous stirring.
2. Place in mixer choline tartrate, DL-methionine, D-mannitol powder, magnesium oxide (previously sieved), silicic acid, and sodium carboxymethyl starch, and mix for 15 minutes.
3. Add the solution of isopropyl alcohol and alcohol in first step for 10 minutes until moist mass is obtained.
4. Granulate the moist mass through a centrifugal granulator with a 10 mm screen.
5. Spread the granules on paper-lined trays, and dry overnight in a drying oven at 50°C.
6. Crush the granules through a 1.5 mm sieve.
7. Vitamin granulate: Tumble D-biotin, vitamin B12, folic acid, riboflavin, and pyridoxine hydrochloride in mixer for 5 minutes.
8. Combine in the mixer nicotinamide, vitamin E, thiamine mononitrate/gelatin/mannitol granulate, D-mannitol powder, and sodium carboxymethyl starch; then, add the vitamin mixture, and mix for 10 minutes.
9. Pass through a 1 mm sieve if lumpy.

10. In a mixer, make a separate solution of PVP K-90 and isopropyl alcohol.
11. Place in the mixer the solution of isopropyl alcohol and PVP; then, knead until an evenly moist homogeneous mass is obtained.
12. Add calcium D-pantothenate granules, and mix for 3 to 5 minutes.
13. Pass the granules through a centrifugal granulator with a 10 mm screen, and spread on paper-lined trays.
14. Keep overnight in a drying oven at 50°C; the relative humidity of the granules should be 10% to 20%.
15. Crush the dried granules through an oscillator with a 1.5 mm sieve.
16. Put the granulate mixture in the mixing drum—the choline tartrate and the two lots of vitamin granules.
17. Mix, and then add the magnesium stearate.
18. Check to be sure that the relative humidity of the mixture is 10% to 20%.
19. Compress, and apply a sealer coat (lacquer), sugar coat, and finishing coating.

VITAMIN B-COMPLEX AND VITAMIN C EFFERVESCENT TABLETS

Bill of Materials

Scale (mg/ tablet)	Item	Material Name	Quantity/ 1000 Tablets (g)
33.00	1	Thiamine mononitrate	33.00
4.00	2	Riboflavin	4.00
10.00	3	Pyridoxine hydrochloride	10.00
66.00	4	Nicotinamide	66.00
17.00	5	Calcium D-pantothenate	17.00
350.00	6	Tartaric acid (powder)	350.00
450.00	7	Sodium bicarbonate	450.00
750.00	8	Sucrose, crystalline	750.00
30.00	9	Kollidon® 30	30.00
QS	10	Isopropanol	QS
500.00	11	Ascorbic acid (crystalline)	500.00
3.00 g	12	Riboflavin	3.00
10.00	13	Cyanocobalamin (gelatin coated, 0.1%)	10.00
10.00	14	Orange flavor	10.00
2.00	15	Saccharin sodium	2.00
5.00	16	Cyclamate sodium	5.00
50.00	17	PEG-6000 (powder)	50.00

MANUFACTURING DIRECTIONS

1. Granulate mixture of items 1 to 9 with solvent item 10, dry, pass through a 0.8 mm sieve, mix with items 13 to 17, and press with high compressive force at a maximum of relative atmospheric humidity of 30%.
2. Compress into 2315 mg tablets, using 20 mm biplanar punches.

VITAMIN B-COMPLEX AND VITAMIN C TABLETS

Bill of Materials

Scale (mg/ tablet)	Item	Material Name	Quantity/ 1000 Tablets (g)
5.00	1	Thiamine mononitrate	5.00
5.00	2	Riboflavin	5.00
5.00	3	Pyridoxine hydrochloride	5.00
0.50	4	Folic acid	0.50
30.00	5	Niacin	30.00
0.10	6	Biotin	0.10
10.00	7	Calcium D-pantothenate	10.00
150.00	8	Ascorbic acid (crystalline/ powder)	150.00
172.40	9	Ludipress®	172.40
20.00	10	Kollidon® VA 64	20.00
2.00	11	Magnesium stearate	2.00

MANUFACTURING DIRECTIONS

1. Mix all ingredients, pass through a 0.8 mm sieve, and then mix.
2. Use medium to low compressive force to compress 400 mg in 10 mm biplanar punches.

VITAMIN B-COMPLEX AND VITAMIN C TABLETS

Bill of Materials

Scale (mg/ tablet)	Item	Material Name	Quantity/ 1000 Tablets (g)
15.00	1	Thiamine hydrochloride	15.00
2.00	2	Riboflavin	2.00
5.00	3	Pyridoxine hydrochloride	5.00
25.00	4	Choline bitartrate	25.00
10.00	5	Nicotinamide	10.00
100.00	6	Ascorbic acid (crystalline/ powder)	100.00
220.00	7	Ludipress®	220.00
8.00	8	Stearic acid	8.00

MANUFACTURING DIRECTIONS

1. Mix all ingredients, pass through a 0.8 mm sieve, and mix.
2. Use medium to low compressive force to compress 411 mg in 12 mm biplanar punches.
3. The thiamine mononitrate formulation is more stable compared with the thiamine hydrochloride formulation (previous).

VITAMIN B-COMPLEX TABLETS

Bill of Materials			
Scale (mg/ tablet)	Item	Material Name	Quantity/ 1000 Tablets (g)
25.00	1	Thiamine mononitrate or hydrochloride	25.00
25.00	2	Riboflavin	25.00
80.00	3	Nicotinamide	80.00
40.00	4	Calcium D-pantothenate	40.00
16.00	5	Pyridoxine hydrochloride	16.00
0.16	6	Cyanocobalamin (gelatin coated, 0.1%)	16.00
282.00	7	Avicel™ PH101	282.00
16.00	8	Kollidon® 30	16.00
3.00	9	Aerosil® 200	3.00

MANUFACTURING DIRECTIONS

1. Pass all components through a 0.8 mm sieve, and mix.
2. Compress using 12 mm biplanar punches with medium- to high-compression force.
3. The mononitrate formulation is preferred for stability reasons.

VITAMIN B-COMPLEX TABLETS

Bill of Materials			
Scale (mg/ tablet)	Item	Material Name	Quantity/ 1000 Tablets (g)
2.30	1	Thiamine mononitrate	2.30
2.60	2	Riboflavin	2.60
2.30	3	Nicotinamide	2.30
2.20	4	Calcium D-pantothenate	2.20
2.70	5	Pyridoxine hydrochloride	2.70
0.024	6	Cyanocobalamin (gelatin coated, 0.1%)	2.40
280.00	7	Ludipress®	280.00
14.00	8	Flavor (Firmenich)	14.00
0.050	9	Saccharin sodium	0.05
4.00	10	Cyclamate sodium	4.00
5.00	11	Magnesium stearate	5.00

MANUFACTURING DIRECTIONS

1. Pass all components through a 0.8 mm sieve, mix, and 8 mm biplanar punches.
2. Compress into 314 mg tablets, using low-compression force.
3. According to the European Commission, this formulation is classified as dietary food.

VITAMIN B-COMPLEX TABLETS

Bill of Materials			
Scale (mg/ tablet)	Item	Material Name	Quantity/ 1000 Tablets (g)
15.00	1	Microcrystalline cellulose (Avicel™ PH102)	15.00
0.20	2	Colloidal silicon dioxide (Aerosil® 200)	0.20
3.00	3	Calcium pantothenate	3.00
9.33	4	Powdered cellulose	9.33
35.60	5	Lactose (spray-dried)	35.60
0.91	6	Magnesium stearate	0.91
20.00	7	Nicotinamide	20.00
2.10	8	Pyridoxine hydrochloride	2.10
2.00	9	Riboflavin base	2.00
0.80	10	Talc (fine powder)	0.80
2.10	11	Thiamine mononitrate	2.10

MANUFACTURING DIRECTIONS

1. Riboflavin base is a fine powder that tends to form globules while mixing.
2. Disperse the base with Aerosil® and lactose carefully.
3. Mix items 9 and 2 and 6.67 g of item 5 in the drum of a drum mixer for 10 minutes.
4. Pass the mix two times through a 500 µm sieve using a sifter.
5. Pass items 11, 8, and 3 and 6.67 g of item 5 through a granulator fitted with a 1.0 mm sieve.
6. Pass items 7, 1, and 4 and 22.27 g of item 5 through a granulator fitted with a 1.0 mm sieve.
7. Pass items 10 and 6 through a sifter fitted with a 500 µm sieve.
8. Load sieved material from previous step to the blender.
9. Load sieved material to the blender.
10. Blend the powders for 15 minutes.
11. Load lubricant powders into the blender, and mix for an additional 5 minutes.
12. Compress into 91 mg tablets at low relative humidity (55–60%).
13. Coat tablets with a sealing coat, color coat, and polishing coat.

VITAMIN B-COMPLEX, CHOLINE, AND BILE TABLETS

Bill of Materials			
Scale (mg/ tablet)	Item	Material Name	Quantity/ 1000 Tablets (g)
60.00	1	Acid dehydrocholic (powder)	60.00
100.00	2	Choline dihydrogen citrate	100.00
20.00	3	Niacinamide (white powder)	20.00
100.00	4	Inositol	100.00
2.50	5	Riboflavin (2% excess)	2.55
0.50	6	Pyridoxine hydrochloride	0.50
30.00	7	Povidone (K value, 29–32)	30.00
100.00	8	Racemethionine (crystals)	100.00
60.00	9	Ox bile extract (powder, 30 mesh) (Bilein)	60.00
—	10	Alcohol dehydrated (200 proof)	26.00
3.0 µg	11	Cyanocobalamin (oral powder in gelatin, 1000 µg/g)	3.30
3.00	12	Thiamine hydrochloride (powder, regular)	3.60
8.40	13	Magnesium stearate (impalpable powder)	8.40
8.40	14	Stearic acid (fine powder)	8.40

MANUFACTURING DIRECTIONS

1. Mill dehydrocholic acid, choline dihydrogen citrate, nicotinamide, inositol, and methionine through a 600 µm screen.
2. Place milled mixture from first step with riboflavin, pyridoxine hydrochloride, povidone, and ox bile extract in mass mixer.
3. Add alcohol QS (approximately 26 g or 32.7 mL) very slowly to the mass.
4. Mass for approximately 45 minutes in mixer.
5. Scrape all material from the mass mixer as much as possible.
6. Rinse mass mixer between runs.
7. Granulate through a comminuting or similar mill or a 4.76 mm screen.
8. Dry at 49°C to less than 1% LOD.
9. Sift through an 840 µm screen in a shaker, and grind coarsely through a comminuting mill (knives forward, medium speed).
10. Pass one-half of the base granulation through a 1.68 mm screen into a blender, if necessary.
11. Mix cyanocobalamin oral powder with an equal volume of base granulation, and load into a blender through a 1.68 mm screen.
12. Blend thiamine hydrochloride, magnesium stearate, and stearic acid.

13. Then, hand-screen mixture through a 600 µm screen.
14. Load into a blender through a 1.68 mm screen with the remainder of the base granulation, and blend for 20 minutes.
15. Compress and coat tablets using an appropriate formulation to render required color and sealing of tablet.

VITAMIN B-COMPLEX, VITAMIN A, VITAMIN C, AND VITAMIN D TABLETS

Bill of Materials			
Scale (mg/ tablet)	Item	Material Name	Quantity/ 1000 Tablets (g)
2.00	1	Thiamine mononitrate (20% excess)	2.40
1.00	2	Riboflavin (10% excess)	1.10
74.50	3	Lactose (spray-dried)	74.50
15.00	4	Nicotinamide	15.00
300 IU	5	Vitamin D3 (dry powder, 100,000 IU/g)	3.60
3000 IU	6	Vitamin A palmitate (250,000 IU/g)	18.00
36.00	7	Cellulose (microcrystalline) (Avicel™ PH102)	36.00
20.00	8	Ascorbic acid (90%) (33% excess)	26.60
1.00	9	Silicon dioxide (colloidal) (Aerosil® 200)	1.00
1.80	10	Magnesium stearate	1.80

MANUFACTURING DIRECTIONS

1. Mix items 1 and 2 and 13.33 g of item 3 in a drum using a drum mixer for 10 minutes.
2. Pass the mix through a 250 µm sieve using a sifter.
3. Collect in a stainless steel drum, and load into the blender.
4. Pass items 4 to 7 and 61.17 g of item 3 through a granulator fitted with a 1.0 mm sieve.
5. Collect in a stainless steel drum, and load into the blender.
6. Pass item 8 through a FitzMill fitted with sieve number 24230.
7. Collect in a stainless steel drum, and load into the blender.
8. Mix for 10 minutes.
9. Pass item 9 through a 500 µm sieve using a sifter.
10. Collect in a polyethylene bag.
11. Pass item 10 through a 250 µm sieve using a sifter.
12. Collect in the same polyethylene bag.
13. Mix, and add 0.53 to 1.33 g powder from the preceding step.
14. Mix gently.
15. Add to the blender.

16. Mix for 3 minutes.
17. Unload lubricated granules in stainless steel drums.
18. Compress into 180 mg tablets, using 7 mm round concave punches.
19. Apply a sealing coat, a color coat, and a finishing coat (see Appendix).

VITAMIN B-COMPLEX, VITAMIN A, VITAMIN C, VITAMIN D, AND MINERAL TABLETS

Bill of Materials

Scale (mg/ tablet)	Item	Material Name	Quantity/ 1000 Tablets (g)
61.00	1	Ascorbic acid (coated), EC	61.00
5.50	2	Calcium pantothenate	5.50
8.00 µg	3	Cyanocobalamin	0.008
4.00	4	Copper sulfate, 5H ₂ O	4.00
1.70	5	Magnesium oxide (heavy)	1.70
10.00	6	Nicotinamide	10.00
0.575	7	Pyridoxine hydrochloride	0.575
0.16	8	Potassium iodide	0.16
2.30	9	Riboflavin	2.30
3.25	10	Thiamine mononitrate	3.25
24.00	11	Vitamin A palmitate (250,000 IU/g)	24.00
4.80	12	Vitamin D3 powder (100,000 IU/g)	4.80
2.20	13	Zinc sulfate, 7H ₂ O	2.20
19.265	14	Lactose monohydrate	19.265
25.00	15	Cellulose (microcrystalline) (Avicel™ PH102)	25.00
3.00	16	Povidone (PVP K-90)	3.00
6.50	17	Cellulose (microcrystalline) (Avicel™ PH102)	6.50
7.00	18	Crospovidone (Kollidon® CL)	7.00
1.00	19	Colloidal silicon dioxide (Aerosil® 200)	1.00
0.75	20	Magnesium stearate	0.75
3.00	21	Microcrystalline cellulose (powder)	3.00
—	22	Alcohol (absolute)	18.46

MANUFACTURING DIRECTIONS

1. Dissolve item 16 in item 22 using a stirrer.
2. Dissolve item 3 while stirring to obtain a clear solution.
3. Press items 10, 9, 7, 6, 2, 14, and 15 through a 500 µm stainless steel sieve in a sifter.
4. Load into mixer, and mix for 5 minutes at high speed.
5. Knead the dry powder with binding solution while mixing at high speed for 3 minutes.

6. After the addition is complete, scrape the sides and blades.
7. Mix for an additional 2 minutes using a mixer and chopper at high speed. Check the end point of granulation. (The end point occurs when the granulation consists of few or no lumps.)
8. If required, add an additional quantity of item 22, and record this extra quantity of item 22.
9. Unload the wet granules in stainless steel trays for drying.
10. Transfer the trays to an oven.
11. Keep the door partially open.
12. Switch on the oven, with air circulation, heater switched off, for 2 hours to evaporate alcohol.
13. Close the door of the oven.
14. Dry the granules at 55°C for 12 hours.
15. After 4 hours of drying, scrape the semidried granules to break up the lumps to promote uniform drying.
16. Check the LOD (limit: 0.8–1.2%).
17. If required, dry further at 55°C for 2 hours.
18. Check the LOD.
19. Grind the dried granules through a 1.25 mm sieve using a granulator set at medium speed.
20. Load granules into the blender.
21. Mix items 4 and 13 and 3.08 g of item 17 in a polyethylene bag.
22. Mill through a FitzMill using sieve number 1530–0030 (knives forward, medium speed).
23. Collect in stainless steel drum.
24. Add to blender.
25. Sift items 11, 12, and 1 through a 630 µm sieve.
26. Add to blender.
27. Sift items 5, 8, 18, 19, and 21 and 3.42 g of item 17 through a 500 µm sieve.
28. Add to blender.
29. Mix for 5 minutes.
30. Sift item 20 through a 250 µm sieve.
31. Mix a portion of the powder mix (~3.85 g) with sieved item 20.
32. Add to the blender.
33. Mix for 1 minute.
34. Compress into 185 mg tablets, using 7 mm, round, concave punches.
35. Coat using a subcoat, a color coat, and a finishing coat (see Appendix).

VITAMIN B-COMPLEX, VITAMIN C, AND CALCIUM EFFERVESCENT TABLETS

Bill of Materials			
Scale (mg/ tablet)	Item	Material Name	Quantity/ 1000 Tablets (g)
7.00	1	Thiamine mononitrate	7.00
5.00	2	Riboflavin	5.00
25.00	3	Nicotinamide	25.00
20.00	4	Pyridoxine hydrochloride	20.00
12.00	5	Calcium D-pantothenate	12.00
75.00	6	Calcium carbonate	75.00
164.00	7	Calcium glycerophosphate	164.00
400.00	8	Sodium bicarbonate	400.00
300.00	9	Tartaric acid (powder)	300.00
400.00	10	Sucrose (crystalline)	400.00
350.00	11	Sucrose (powder)	350.00
50.00	12	Kollidon® 30	50.00
10.00	13	Kollidon® 30	10.00
QS	14	Isopropanol	QS
550.00	15	Ascorbic acid (powder)	550.00
2.00	16	Riboflavin	2.00
5.00	17	Cyanocobalamin (gelatin coated, 0.1%)	500.00
40.00	18	PEG-6000 (powder)	40.00
50.00	19	Kollidon® CL	50.00

MANUFACTURING DIRECTIONS

1. Granulate mixture of items 1 to 12 with solution of item 19.
2. Granulate items 13 to 18 separately, dry at 60°C with vacuum, mix with item 1, and blend.
3. Compress into 2.5 g tablets, using 20 mm planar punches at medium- to high-compression force.

VITAMIN B-COMPLEX, VITAMIN C, AND FERROUS SULFATE TABLETS

Bill of Materials			
Scale (mg/ tablet)	Item	Material Name	Quantity/ 1000 Tablets (g)
300.00	1	Ferrous sulfate	300.00
15.00	2	Kollidon® 30	15.00
6.00	3	Kollidon® 30	6.00
QS	4	2-Propanol	QS
45.00	5	Thiamine mononitrate	45.00
10.00	6	Riboflavin	10.00
82.00	7	Pyridoxine hydrochloride	82.00
69.00	8	Nicotinamide	69.00
470.00	9	Ascorbic acid (powder)	470.00
690.00	10	Ludipress®	690.00
50.00	11	PEG-6000 (powder)	50.00
9.00	12	Aerosil® 200	9.00

MANUFACTURING DIRECTIONS

1. Granulate the mixture of items 1 to 2 with solution of items 5 to 12.
2. Pass through a 0.8 mm sieve.
3. Mix with items 3 and 4.
4. Compress with high compressive force, 25 to 30 kN. Compress into 1750 mg tablets, using 20 mm biplanar punches.

VITAMIN B-COMPLEX, VITAMIN C, AND VITAMIN E TABLETS

Bill of Materials			
Scale (mg/ tablet)	Item	Material Name	Quantity/ 1000 Tablets (g)
100.00	1	Niacinamide, (white powder), USP	100.00
750.00	2	Ascorbic acid; use sodium ascorbate (microcrystalline), USP	843.65
20.00	3	Calcium pantothenate, USP with excess	30.00
10.00	4	Riboflavin, USP	10.00
5.00	5	Pyridoxine hydrochloride, USP	5.25
40.00	6	Povidone, USP	40.00
68.00	7	Anhydrous isopropyl alcohol	68.00
15.00	8	Thiamine mononitrate (powder), USP	15.75
24.79	9	Vitamin E, USP, D,L- α -tocopheryl acid succinate	33.71
150.00 μ g	10	Folic acid (powder), USP	0.18
5.00	11	Magnesium stearate	5.00
40.00	12	Cellulose (microcrystalline), NF	40.00
4.00 μ g	13	Vitamin B12; use cyanocobalamin powder in gelatin (1000 μ g/g)	4.20

MANUFACTURING DIRECTIONS

1. Avoid unnecessary exposure to light and moisture.
2. Mill the nicotinamide and the sodium ascorbate through a 600 μ m screen fitted to a FitzMill, or similar (impact forward, high speed).
3. Load into a suitable mass mixer.
4. Load calcium pantothenate, riboflavin, and pyridoxine hydrochloride into the mass mixer.
5. Dry blend for 5 minutes.
6. Dissolve povidone in alcohol (~84 mL) in a separate container.
7. While mixing the blended powders, add the povidone solution.
8. Continue to mix until a satisfactory granule mass is obtained.
9. If required, use additional alcohol.

10. Granulate through a FitzMill, or similar, using a 5/8 in. band (15.88 mm aperture or similar) or a 4.76 mm screen with knives forward at slow speed.
11. Dry the granulation at 49°C to less than 1.5% LOD.
12. Sift the dry granulation through a 1.19 mm screen.
13. Pass remaining coarse granules through a #2 band (1.59 mm aperture or similar) using a FitzMill, or similar (knives forward, medium speed).
14. Blend together the thiamine mononitrate, vitamin E, folic acid, magnesium stearate, and a portion of the microcrystalline cellulose.
15. Mill blended powders through a 600 µm screen (impact forward, high speed).
16. Care must be taken to prevent losses.
17. Load half of the base granulation, the balance of the microcrystalline cellulose, and the powder blend into a suitable blender.
18. Blend for 5 minutes.
19. Add balance of base granulation, and blend for 15 minutes.
20. Do not mill cyanocobalamin.
21. Blend together by hand the cyanocobalamin with a portion of the blended powders.
22. Return to the blender, and blend for 15 minutes.
23. Compress using ovaloid-shaped punches.
24. Seal tablets with a subcoat, and then apply color coat and finishing coating.

VITAMIN C AND CALCIUM CARBONATE EFFERVESCENT TABLETS

Bill of Materials

Scale (mg/ tablet)	Item	Material Name	Quantity/ 1000 Tablets (g)
300.00	1	Calcium; use calcium carbonate	315.00
450.00	2	Sodium bicarbonate/tartaric acid (powder)	450.00
600.00	3	Kollidon® 30	600.00
35.00	4	Kollidon® 30	35.00
200.00	5	Isopropanol	200.00
400.00	6	Sucrose (crystalline)	400.00
500.00	7	Ascorbic acid (crystalline, with excess)	550.00
120.00	8	Kollidon® CL	120.00
60.00	9	PEG-6000 (powder)	60.00

MANUFACTURING DIRECTIONS

1. Granulate mixture of items 1 to 3 with a solution of items 4 and 5, mix with item 6, and dry.
2. Add items 7 to 9, and press with high compressive force at a maximum atmospheric relative humidity of 30%.
3. Compress into 2500 mg tablets, using 20 mm biplanar punches.

VITAMIN C AND VITAMIN E LOZENGES

Bill of Materials

Scale (mg/ lozenge)	Item	Material Name	Quantity/ 1000 Lozenges (g)
100.00	1	Ascorbic acid (crystalline)	100.00
50.00	2	Vitamin E acetate (dry powder, SD 50)	100.00
400.00	3	Dextrose	400.00
4.00	4	Kollidon® 90F	4.00
25.00	5	Isopropanol	25.00
6.00	6	PEG-6000 (powder)	6.00

MANUFACTURING DIRECTIONS

1. Granulate mixture of items 1 to 4 with isopropanol, dry, pass through a 0.8 mm sieve, mix with item 6, and press with high-compression force.
2. Compress into 600 mg tablets, using 12 mm biplanar punches.

VITAMIN C CHEWABLE TABLETS

Bill of Materials

Scale (mg/ tablet)	Item	Material Name	Quantity/ 1000 Tablets (g)
500.00	1	Ascorbic acid: 222.20 mg ascorbic acid and 312.50 mg sodium ascorbate microcrystalline	500.00
850.00	2	Sorbitol (granular)	850.00
100.00	3	Lactose (120 mesh)	100.00
3.30	4	FD&C Yellow Dye No. 5 lake	3.30
82.90	5	Cellulose (microcrystalline), NF (Avicel™ PH101)	82.90
11.60	6	Silica gel	11.60
8.29	7	Flavor	8.29
0.50	8	Flavor	0.50
8.29	9	Sodium cyclamate	8.29
33.20	10	Magnesium stearate	33.20

MANUFACTURING DIRECTIONS

1. Pass ascorbic acid, sodium ascorbate, sorbitol, lactose, FD&C Yellow dye, microcrystalline cellulose, silica gel, flavors, and sodium cyclamate through a 420 µm screen.
2. Using a comminuting mill, pass the coarse granules through a 420 µm screen (knives forward, medium speed).

- Transfer milled materials to a suitable blender, and blend for 5 minutes.
- Screen the magnesium stearate by hand through an 840 μm screen, and transfer to blender.
- Mix for 1 minute.
- Compress using 18 mm standard concave punches.

VITAMIN C CHEWABLE TABLETS

Bill of Materials			
Scale (mg/g)	Item	Material Name	Quantity/ kg (g)
422.00	1	Ascorbic acid (powder)	422.00
283.00	2	Microcrystalline cellulose	283.00
130.00	3	Sucrose (powder)	130.00
80.00	4	Sucrose (crystalline)	80.00
24.00	5	Kollidon® VA 64	24.00
24.00	6	Cyclamate sodium	24.00
20.00	7	PEG-6000 (powder)	20.00
12.00	8	Orange flavor and strawberry flavor	12.00
2.00	9	Aerosil® 200	2.00
1.00	10	Saccharin sodium	1.00

MANUFACTURING DIRECTIONS

- Mix all components, pass through a 0.8 mm sieve, and press into tablets with medium- to high-compression force.
- Compress 250 mg (for 100 mg strength), 1250 mg (for 500 mg strength), or 2500 mg (for 500 mg strength).

VITAMIN C CHEWABLE TABLETS

Bill of Materials			
Scale (mg/tablet)	Item	Material Name	Quantity/ 1000 Tablets (g)
500.00	1	Ascorbic acid (crystalline)	500.00
1100.00	2	Sorbitol (crystalline)	1100.00
200.00	3	Sucrose (crystalline)	200.00
200.00	4	Sucrose (powder)	200.00
300.00	5	Dextrose	300.00
100.00	6	PEG-6000 (powder)	100.00
10.00	7	Magnesium stearate	10.00
10.00	8	Aerosil® 200	10.00
1.00	9	Saccharin sodium	1.00
10.00	10	Cyclamate sodium	10.00
30.00	11	Orange flavor	30.00

MANUFACTURING DIRECTIONS

- Pass all components through a 0.8 mm sieve, mix, and press with medium- to high-compression force.

- Compress into 2080 mg tablets, using 20 mm biplanar punches.

VITAMIN C CHEWABLE TABLETS

Bill of Materials			
Scale (mg/tablet)	Item	Material Name	Quantity/ 1000 Tablets (g)
100.00	1	Ascorbic acid (crystalline)	100.00
450.00	2	Sodium ascorbate (crystalline)	450.00
264.00	3	Sorbitol (crystalline)	264.00
200.00	4	Sucrose (crystalline)	200.00
200.00	5	Sucrose (powder)	200.00
300.00	6	Dextrose	300.00
60.00	7	PEG-6000 (powder)	60.00
3.00	8	Magnesium stearate	3.00
4.00	9	Aerosil® 200	4.00
1.00	10	Saccharin sodium	1.00
10.00	11	Cyclamate sodium	10.00
20.00	12	Orange flavor	20.00

MANUFACTURING DIRECTIONS

- Pass all components through a 0.8 mm sieve, mix, and press with medium- to high-compression force.
- Compress into 1295 mg tablets, using 16 mm biplanar punches.

VITAMIN C CHEWABLE TABLETS

Bill of Materials			
Scale (mg/tablet)	Item	Material Name	Quantity/ 1000 Tablets (g)
6.70	1	Anhydrous silica (colloidal) (Aerosil® 200)	6.70
40.00	2	Cellulose (microcrystalline) (Avicel™ PH101)	40.00
6.50	3	Aspartame	6.50
170.00	4	Ascorbic acid (coated), EC	170.00
10.50	5	Orange flavor (dry)	10.50
13.00	6	Carmellose sodium (sodium CMC 7 MFD)	13.00
2.80	7	Orange dye	2.80
470.00	8	Dextrates, NF	470.00
19.50	9	Magnesium stearate	19.50
13.00	10	Stearic acid (fine powder)	13.00
160.00	11	Sorbitol (powder)	160.00
388.00	12	Sodium ascorbate (granular)	388.00

MANUFACTURING DIRECTIONS

1. Processing should be done in a controlled temperature and humidity area (limit: relative humidity, 40–50%; temperature, 20–25°C).
2. Mix items 2 and 7 in a polyethylene bag for 1 to 2 minutes.
3. Sift twice through a 250 µm sieve.
4. Collect in a polyethylene bag, and check the uniformity of dispersion.
5. If required, sift again.
6. Mix items 3, 5, and 6 in a polyethylene bag for 1 to 2 minutes.
7. Sift once through a 250 µm sieve.
8. Add to the first step, and mix for 1 to 2 minutes.
9. Sift items 8, 11, 4, and 12 once through a 1000 µm sieve, and collect in a stainless steel drum.
10. Add the sieved materials from the preceding steps to the stainless steel drum.
11. Mix in a drum blender for 2 to 3 minutes.
12. Mix items 10, 9, and 1 in a polyethylene bag for 1 to 2 minutes.
13. Sift twice through a 500 µm sieve.
14. Add 25.0 to 30.0 g of granules to the lubricant mixture.
15. Mix for 1 to 2 minutes.
16. Add this mixture to the granules.
17. Mix in a drum blender for 1 minute.
18. Check the moisture content (limit: moisture content NMT 3.5%).
19. Check temperature and humidity before beginning compression (limit: relative humidity, 40–50%; temperature, 20–25°C).
20. Compress into 1300 mg tablets, using 16 mm punches.
21. Fill appropriate amounts for lower strength (e.g., 100 mg tablets in 10 mm punches).

VITAMIN C CHEWABLE TABLETS WITH DEXTROSE**Bill of Materials**

Scale (mg/ tablet)	Item	Material Name	Quantity/ 1000 Tablets (g)
100.00	1	Ascorbic acid (crystalline); use ascorbic acid (coated, 97.5%), EC	110.00
500.00	2	Dextrose	500.00
4.00	3	Kollidon® 90F	4.00
30.00– 50.00	4	Water and/or isopropanol	30.00–50.00
6.00	5	PEG-6000 (powder)	6.00

MANUFACTURING DIRECTIONS

1. Granulate mixture of items 1 and 2 with solution of items 4 and 5 (in a fluidized bed), sieve, add item 6, and press with high-compression force.
2. Compress into 620 mg tablets, using 12 mm biplanar punches.
3. If no fluidized bed is available, use of water as a granulation solvent should be avoided.
4. The use of coated ascorbic acid does not increase the stability.

VITAMIN C CHEWABLE TABLETS WITH FRUCTOSE**Bill of Materials**

Scale (mg/ tablet)	Item	Material Name	Quantity/ 1000 Tablets (g)
120.00	1	Ascorbic acid (powder)	120.00
500.00	2	Fructose	500.00
200.00	3	Ludipress®	200.00
100.00	4	Avicel™ PH101	100.00
15.00	5	Kollidon® VA 64	15.00
4.00	6	Aerosil® 200	4.00
35.00	7	PEG-6000 (powder)	35.00

MANUFACTURING DIRECTIONS

1. Pass all components through a 0.8 mm sieve, mix, and press with high-compression force.
2. Compress into 970 mg tablets, using 12 mm biplanar punches.

VITAMIN C CHEWABLE TABLETS WITH SUCROSE**Bill of Materials**

Scale (mg/ tablet)	Item	Material Name	Quantity/ 1000 Tablets (g)
500.00	1	Ascorbic acid	500.00
850.00	2	Sucrose, crystalline	850.00
575.00	3	Avicel™ PH 101	575.00
60.00	4	Kollidon® VA 64	60.00
15.00	5	Magnesium stearate	15.00

MANUFACTURING DIRECTIONS

1. Pass all components through a 0.8 mm sieve, mix, and press with medium-compression force.
2. Compress into 2000 mg tablets, using 20 mm biplanar punches.

VITAMIN C EFFERVESCENT TABLETS

Bill of Materials			
Scale (mg/ tablet)	Item	Material Name	Quantity/ 1000 Tablets (g)
1000.00	1	Vitamin C (as ascorbic acid)	1000.00
800.00	2	Tartaric acid (fine crystals)	800.00
1000.00	3	Sodium bicarbonate	1000.00
0.50	4	Riboflavin	0.50
20.00	5	Saccharin sodium	20.00
20.00	6	Sodium chloride (milled)	20.00
50.00	7	Lime flavor	50.00
1709.50	8	Sugar (fine crystals)	1709.50
QS	9	Alcohol	QS

MANUFACTURING DIRECTIONS

- All operations must be carried out at a relative humidity of less than 40% at 25°C.
- Active substance granulate: If saccharin sodium is lumpy, sieve it by means of a centrifugal granulator (1 mm) or a 3 mm band sieve.
- Suck into the mixer the entire amount of sugar, ascorbic acid, tartaric acid, and saccharin sodium (previously sieved, if required), together with first part sieved sodium bicarbonate (open filter, closed bypass; jacket temperature of 40°C); backflash filter twice, evacuate to ~800 mbar, and close filter.
- Mix with mixer for approximately 10 minutes (jacket temperature 40°C) at a speed of 50 rpm.
- Turn off the mixer, and evacuate to 10 mbar (open filter, closed bypass; jacket temperature of 40°C).
- Separately dissolve or suspend riboflavin in alcohol.
- Suck this granulating liquid into the evacuated vessel at a mixer speed of 30 rpm (closed filter, closed bypass; jacket temperature of 40°C).
- With jacket heating turned off, granulate up to a product temperature of 60°C at a mixer speed of 110 rpm (time required is approximately 20–25 minutes).
- At a jacket temperature of 56°C and a mixer rotation speed of approximately 15 rpm, dry for 2 to 5 minutes (closed filter, open bypass).
- When dust develops in the course of further drying, close the bypass and open the filter.
- At a mixer speed of 20 rpm and interval setting (2 minutes/15 seconds), continue the drying at a jacket temperature of approximately 58°C and vacuum of 10 mbar until a total drying time of 10 to 20 minutes is reached.
- Sieve the active substance granulate by sucking it by means of vacuum at a jacket temperature of approximately 59°C and a mixer speed of 20 rpm through a Buehler universal mill (1.5 mm screen) directly into a suitable container.

- Preferable relative humidity of the active substance is less than 10%.
- Sieve milled sodium chloride and lime flavor through a round hand sieve (1 mm) with a diameter of approximately 38 cm; add to sieved sodium carbonate (second part) in a mixing drum, and mix (e.g., tumble mix, 19 rpm for 10 minutes).
- Combine this dry mix (sucked by vacuum) with the active substance granulate.
- Finally, add the remaining sieved and lump-free sodium bicarbonate (third part).
- Mix the mixture that is ready for compression for 45 minutes.
- The preferable relative humidity of the mixture is less than 20%.
- In a suitable rotary tablet press, compress effervescent tablets with a weight of 4600 mg and a hardness of 8 kpi.

VITAMIN C EFFERVESCENT TABLETS

Bill of Materials			
Scale (mg/ tablet)	Item	Material Name	Quantity/ 1000 Tablets (g)
100.00	1	Ascorbic acid (powder) with excess	112.00
200.00	2	Sorbitol (instant)	200.00
1000.00	3	Anhydrous citric acid	1000.00
587.00	4	Sodium bicarbonate	587.00
65.00	5	PEG-6000 (powder)	65.00
10.00	6	Lemon flavor	10.00
25.00	7	Cyclamate sodium	25.00
1.00	8	Saccharin sodium	1.00

MANUFACTURING DIRECTIONS

- Dry the sodium bicarbonate for 1 hour at 100°C, mix with the other components, pass all through a 0.8 mm sieve, and press with high-compression force at a maximum atmospheric relative humidity of 30%.
- Compress into 2050 mg tablets, using 20 mm biplanar punches.

VITAMIN C EFFERVESCENT TABLETS

Bill of Materials			
Scale (mg/ tablet)	Item	Material Name	Quantity/ 1000 Tablets (g)
1000.00	1	Ascorbic acid (crystalline)	1000.00
800.00	2	Sorbitol (crystalline)	800.00
150.00	3	Anhydrous citric acid	150.00
660.00	4	Sodium bicarbonate	660.00
80.00	5	PEG-6000 (powder)	80.00
QS	6	Lemon flavor	QS
QS	7	Cyclamate sodium	QS
QS	8	Saccharin sodium	QS

MANUFACTURING DIRECTIONS

1. Dry the sodium bicarbonate for 1 hour at 100°C, mix with the other components, pass all through a 0.8 mm sieve, and press with high-compression force at a maximum atmospheric relative humidity of 30%.
2. Compress into 2690 mg tablets, using 20 mm biplanar punches.

VITAMIN C EFFERVESCENT TABLETS

Bill of Materials			
Scale (mg/ tablet)	Item	Material Name	Quantity/ 1000 Tablets (g)
500.00	1	Sodium hydrogen carbonate	500.00
430.00	2	Tartaric acid	430.00
8.00	3	Kollidon® 25	8.00
0.20	4	2-Propanol	200.00 mg
550.00	5	Ascorbic acid (crystalline)	550.00
660.00	6	Sucrose	660.00
67.00	7	PEG-6000 (powder)	67.00
67.00	8	Dextrose (powder)	67.00
10.00	9	Orange flavor	10.00
1.00	10	Saccharin sodium	1.00

MANUFACTURING DIRECTIONS

1. Granulate mixture of items 1 and 2 with solution of items 2 and 3, pass through a 0.5 mm sieve, and dry at 60°C.
2. Dry mixture of items 5 and 6 at 60°C.
3. Mix together with the previous granules and with items 7 to 10.
4. At a maximum atmospheric relative humidity of 30%, press to effervescent tablets.
5. Compress into 2300 mg tablets, using 20 mm biplanar punches.

VITAMIN C TABLETS

MANUFACTURING DIRECTIONS

1. Produce a 5wt% vitamin C containing tablet in the following manner for a batch size of 100,000 tablets (100 kg).
2. Fine screen the following components (Frewitt screening machine) to a 1.0 mm mesh size and mixed for 10 minutes in a tumbling drum mixer in a V2A high-grade steel container (200 L): Ascorbic acid 5000 g; glucose 1H₂O 89,000 g; cellulose powder (tableting aid K) 4000 g; poly(1-vinyl-2-pyrrolidone 1000 g 25,000 (Kollidon® 25).
3. Thereafter, screen in by hand 1000 g of magnesium stearate by hand, mix for 2 minutes in the tumbling drum mixer, and compress.

VITAMIN C TABLETS

Bill of Materials			
Scale (mg/ tablet)	Item	Material Name	Quantity/ 1000 Tablets (g)
100.00	1	Ascorbic acid (coated)	104.00
2.40	2	Anhydrous colloidal silica (Aerosil® 200)	2.40
60.00	3	Cellulose (microcrystalline) (Avicel™ PH102)	60.00
0.13	4	FD&C Yellow Dye No.10 lake	0.13
37.00	5	Lactose (spray-dried)	37.00
3.20	6	Glyceryl behenate (glyceryl monostearate)	3.20
2.40	7	Stearic acid (fine powder)	2.40
1.00	8	Magnesium stearate	1.00

MANUFACTURING DIRECTIONS

1. Processing should be done under controlled temperature and humidity (limit: relative humidity, 40–50%; temperature, 20–25°C).
2. Mix items 5 and 4 in a polyethylene bag for 1 to 2 minutes.
3. Sift twice through a 630 µm sieve.
4. Collect in a polyethylene bag.
5. Check the uniformity of dispersion.
6. If required, sift again.
7. Sift item 3.
8. Sift mixture from first step and item 2 through a 630 µm sieve.
9. Load into a drum blender.
10. Sift item 4 through a 630 µm sieve.
11. Load into the mix in the drum blender.
12. Mix items 6, 7, and 8 in a polyethylene bag for 1 to 2 minutes.

13. Sift through a 250 µm sieve.
14. Collect in a polyethylene bag.
15. Add 13.33 to 20.00 g of granules to the lubricant mixture.
16. Mix for 1 to 2 minutes.
17. Add this to the mix in a stainless steel drum blender.
18. Mix in a drum blender for 2 minutes.
19. Check the temperature and humidity before beginning compression (limit: relative humidity, 40–45%; temperature, 20–25°C).
20. Compress into 210 mg tablets, using 8 mm round concave punches.

VITAMIN C TABLETS

Bill of Materials

Scale (mg/ tablet)	Item	Material Name	Quantity/ 1000 Tablets (g)
100.00	1	Ascorbic acid (powder)	100.00
232.00	2	Ludipress®	232.00
1.00	3	Magnesium stearate	1.00

MANUFACTURING DIRECTIONS

1. Mix all components, sieve, and press into 335 mg tablets.
2. Compression force affects disintegration time.

VITAMIN C TABLETS

Bill of Materials

Scale (mg/ tablet)	Item	Material Name	Quantity/ 1000 Tablets (g)
200.00	1	Ascorbic acid (powder)	200.00
231.00– 256.00	2	Ludipress®	231.00–256.00
25.00	3	Kollidon® VA 64	25.00
15.00	4	Kollidon® CL	15.00
1.20	5	Aerosil® 200	1.20
2.50	6	Magnesium stearate	2.50

MANUFACTURING DIRECTIONS

1. Mix all components, pass through a 0.8 mm screen, and press with medium-compression force (18 kN).
2. Compress into 499 mg tablets, using 12 mm biplanar punches.

VITAMIN E CHEWABLE TABLETS

Bill of Materials

Scale (mg/ tablet)	Item	Material Name	Quantity/ 1000 Tablets (g)
100.00	1	Vitamin E acetate (SD 50)	200.00
493.00	2	Ludipress®	493.00
390.00	3	Sorbitol (crystalline)	390.00
100.00	4	Mannitol	100.00
400.00	5	Dicalcium phosphate (granulated with 5% Kollidon® 30)	400.00
7.00	6	Aerosil® 200	7.00
3.00	7	Magnesium stearate	3.00

MANUFACTURING DIRECTIONS

1. Mix all components, pass through a 0.8 mm screen, and press with high-compression force.
2. Compress into 711 mg tablets, using 12 mm biplanar punches.

VITAMIN E CHEWABLE TABLETS

Bill of Materials

Scale (mg/ tablet)	Item	Material Name	Quantity/ 1000 Tablets (g)
150.00	1	Vitamin E acetate (dry powder, 50%)	300.00
300.00	2	Sorbitol	300.00
6.00	3	Aerosil® 200	6.00
0.20	4	Saccharin sodium	0.20
6.00	5	Magnesium stearate	6.00

MANUFACTURING DIRECTIONS

1. Pass all components through a 0.8 mm sieve, mix, and press with high-compression force.
2. Compress into 620 mg tablets, using 12 mm biplanar punches.

VITAMIN E CHEWABLE TABLETS

Bill of Materials

Scale (mg/ tablet)	Item	Material Name	Quantity/ 1000 Tablets (g)
400.00	1	Vitamin E acetate (dry powder, SD 50)	800.00
790.00	2	Ludipress®	790.00
20.00	3	Aerosil® 200	20.00
QS	4	Flavors	QS

MANUFACTURING DIRECTIONS

1. Pass all components through a 0.5 mm sieve, mix, and press with high-compression force.
2. Compress into 1665 mg tablets, using 20 mm biplanar punches.

VITAMIN E TABLETS**Bill of Materials**

Scale (mg/ tablet)	Item	Material Name	Quantity/ 1000 Tablets (g)
50.00	1	Vitamin E acetate (dry powder, SD 50)	100.00
140.00	2	Mannitol	140.00
140.00	3	Tabletose®	140.00
15.00	4	Kollidon® VA 64	15.00
2.00	5	Magnesium stearate	2.00
10.00	6	Aerosil® 200	10.00

MANUFACTURING DIRECTIONS

1. Pass all components through a 0.8 mm sieve, mix, and press with high-compression force.
2. Compress into 410 mg tablets, using 12 mm biplanar punches.

VITAMIN E TABLETS**Bill of Materials**

Scale (mg/ tablet)	Item	Material Name	Quantity/ 1000 Tablets (g)
50.00	1	Vitamin E acetate (dry powder, SD 50)	100.00
300.00	2	Sorbitol (crystalline)	300.00
3.00	3	Magnesium stearate	3.00
3.00	4	Aerosil® 200	3.00

MANUFACTURING DIRECTIONS

1. Pass all components through a 0.8 mm sieve, mix, and press with high-compression force.
2. Compress into 413 mg tablets, using 12 mm biplanar punches.

VOLTAREN ENTERIC-COATED TABLETS (25 MG)**Bill of Materials**

Scale (mg/ tablet)	Item	Material Name	Quantity/ 1000 Tablets (g)
25.00	1	Diclofenac sodium	25.00
44.20	2	Lactose spray dried	44.20
25.00	3	Microcrystalline cellulose (Avicel™ PH102)	25.00
2.00	4	Povidone K30	2.00
3.00	5	Sodium starch glycolate	3.00
0.80	6	Magnesium stearate	0.80
18.60	7	Eudragit® L30 D, 30% dispersion (methacrylic acid copolymer)	18.60
0.50	8	Triethyl citrate (Eudraflex)	0.50
1.00	9	Talc	1.00
—	10	Water, purified	15.00
2.00	11	Hydroxypropyl methylcellulose	2.00
0.40	12	Polyethylene glycol 6000	0.40
0.30	13	Talc	0.30
0.70	14	Titanium dioxide	0.70
0.25	15	D&C Yellow No. 10 Aluminum Lake	0.25
—	16	Water, purified	35.00

MANUFACTURING DIRECTIONS

1. Pass item 2 through 0.7 mm sieve, and place in a tumbler.
2. Pass item 1, item 4, and item 5 through 0.5 mm sieve, and place in tumbler from step 1.
3. Pass item 3 through 0.7 mm sieve, and place in tumbler from step 1.
4. Mix step 1 for 20 minutes using tumbler.
5. Pass item 6 through 0.250 mm sieve, and add to step 4.
6. Mix step 5 for 2 minutes.
7. Compress into 100 mg tablets, using a suitable punch (5.5 mm, round).
8. Place item 10 in a stainless steel vessel. Add item 7 slowly to the vortex while stirring.
9. Add item 8 and item 9 one by one to step 8 with stirring. Stir for 5 minutes.
10. Load core tablets from step 7 in coating pan and apply coating dispersion from step 9 to get 6.0% to 6.5% weight gain.
11. Place item 16 in a stainless steel vessel. Add item 11 slowly to the vortex while stirring. Stir till lumps dissolve. Homogenize for 5 minutes. Keep for 3 to 4 hours for saturation of hydroxypropyl methylcellulose.
12. Add item 12, item 13, item 14, and item 15 one by one to step 11 with stirring. Stir for 10 minutes. Homogenize for 5 minutes. Check that coating

dispersion is clear and lump free. Pass the coating dispersion through 180 mm sieve (if required).

- Apply coating dispersion from step 12 to step 10.

VOLTAREN ENTERIC-COATED TABLETS (50 MG)

Bill of Materials			
Scale (mg/ tablet)	Item	Material Name	Quantity/ 1000 Tablets (g)
50.00	1	Diclofenac sodium	50.00
68.25	2	Lactose spray dried	68.25
45.00	3	Microcrystalline cellulose (Avicel™ PH102)	45.00
5.00	4	Povidone K30	5.00
5.25	5	Sodium starch glycolate	5.25
1.50	6	Magnesium stearate	1.50
32.38	7	Eudragit® L30 D, 30% dispersion (methacrylic acid copolymer)	32.38
0.875	8	Triethyl citrate (Eudraflex)	0.875
2.00	9	Talc	2.00
—	10	Water, purified	25.00
3.50	11	Hydroxypropyl methylcellulose	3.50
0.70	12	Polyethylene glycol 6000	0.70
0.50	13	Talc	0.50
1.20	14	Titanium dioxide	1.20
0.20	15	FD&C Blue No. 1 Aluminum Lake	0.20
—	16	Water, purified	55.00

MANUFACTURING DIRECTIONS

- Pass item 2 through 0.7 mm sieve, and place in a tumbler.
- Pass items 1, 4, and 5 through 0.5 mm sieve, and place in tumbler from step 1.
- Pass item 3 through 0.7 mm sieve, and place in tumbler from step 1.
- Mix step 1 for 20 minutes using tumbler.
- Pass item 6 through 0.250 mm sieve, and add to step 4.
- Mix step 5 for 2 minutes.
- Compress into 175 mg tablets, using a suitable punch (8.0 mm, round).
- Place item 10 in a stainless steel vessel. Add item 7 slowly to the vortex while stirring.
- Add item 8 and item 9 one by one to step 8 with stirring. Stir for 5 minutes.
- Load core tablets from step 7 in coating pan, and apply coating dispersion from step 9 to get 6.0% to 6.5% weight gain.
- Place item 16 in a stainless steel vessel. Add item 11 slowly to the vortex while stirring. Stir till lumps dissolve. Homogenize for 5 minutes. Keep

for 3 to 4 hours for saturation of hydroxypropyl methylcellulose.

- Add item 12, item 13, item 14, and item 15 one by one to step 11 with stirring. Stir for 10 minutes. Homogenize for 5 minutes. Check that coating dispersion is clear and lump free. Pass the coating dispersion through 180 mm sieve (if required).
- Apply coating dispersion from step 12 to step 10.

VOLTAREN ENTERIC-COATED TABLET (75 MG)

Bill of Materials			
Scale (mg/ tablet)	Item	Material Name	Quantity/ 1000 Tablets (g)
75.00	1	Diclofenac sodium	75.00
124.50	2	Lactose monohydrate	124.50
75.00	3	Microcrystalline cellulose (Avicel™ PH102)	75.00
12.00	4	Povidone K30	12.00
10.50	5	Sodium starch glycolate	10.50
3.00	6	Magnesium stearate	3.00
—	7	Ethanol 95%	45.00
55.50	8	Eudragit® L30 D, 30% dispersion (methacrylic acid copolymer)	55.50
1.50	9	Triethyl citrate (Eudraflex)	1.50
3.00	10	Talc	3.00
—	11	Water, purified	45.00
4.50	12	Hydroxypropyl methylcellulose	4.50
0.90	13	Polyethylene glycol 6000	0.90
0.90	14	Talc	0.90
2.00	15	Titanium dioxide	2.00
0.20	16	Red ferric oxide	0.20
—	17	Water, purified	60.00

MANUFACTURING DIRECTIONS

- Dissolve item 4 in item 7 in a stainless steel container.
- Pass item 2, item 1, and half quantity of item 3 (37.5 g) through 0.5 mm sieve, and mix well.
- Place step 2 in a granulator.
- Knead step 3 with solution of step 1 for 5 to 10 minutes until a loose, moist mass is obtained.
- Granulate the moist mass using a centrifugal granulator with a 7 mm sieve.
- Spread over paper-lined trays, and dry at 45°C to 50°C for 8 hours (the relative humidity over the granules should be 20–35%).
- Pass the dried granules through a 1.25 mm sieve granulator.
- Transfer the granules to a tumbler.
- Pass item 5 and the remaining half quantity of item 9 through 0.5 mm sieve, add to step 8, and mix for 15 minutes.

10. Pass item 6 through 0.250 mm sieve, and add to step 9.
 11. Mix step 10 for 2 minutes.
 12. Compress into 300 mg tablets, using a suitable punch (10.5 mm, round).
 13. Place item 11 in a stainless steel vessel. Add item 8 slowly to the vortex while stirring.
 14. Add item 9 and item 10 one by one to step 13 with stirring. Stir for 5 minutes.
 15. Load core tablets from step 12 in coating pan, and apply coating dispersion from step 14 to get 6.0% to 6.5% weight gain.
 16. Place item 17 in a stainless steel vessel. Add item 12 slowly to the vortex while stirring. Stir till lumps dissolve. Homogenize for 5 minutes. Keep for 3 to 4 hours for saturation of hydroxypropyl methylcellulose.
 17. Add item 13, item 14, item 15, and item 16 one by one to step 11 with stirring. Stir for 10 minutes. Homogenize for 5 minutes. Check that coating dispersion is clear and lump free. Pass the coating dispersion through 180 mm sieve (if required).
 18. Apply coating dispersion from step 17 to step 15.
6. Knead step 5 with solution of step 3 for 5 to 10 minutes until a loose, moist mass is obtained.
 7. Granulate the moist mass using a centrifugal granulator with a 7 mm sieve.
 8. Spread step over paper-lined trays, and dry at 45°C to 50°C for 8 hours (the relative humidity over the granules should be 20–35%).
 9. Pass the dried granules through a 1.25 mm sieve granulator.
 10. Transfer the granules to a tumbler.
 11. Pass items 4 and 8 through 0.5 mm sieve, add to step 10, and mix for 15 minutes.
 12. Pass item 9 through 0.250 mm sieve, and add to step 11.
 13. Mix step 12 for 2 minutes.
 14. Compress into 100 mg tablets, using a suitable punch (6.0 mm, round).
 15. Place item 13 in a stainless steel vessel. Add item 12 slowly to the vortex while stirring. Stir till lumps dissolve. Homogenize for 5 minutes. Keep for 3 to 4 hours for saturation of hydroxypropyl methylcellulose.
 16. Load core tablets from step 14 in coating pan and apply coating dispersion from step 15 to get 1.5% to 1.8% weight gain.

VYTORIN TABLETS (10 MG/10 MG)

Bill of Materials

Scale (mg/ tablet)	Item	Material Name	Quantity/ 1000 Tablets (g)
10.00	1	Ezetimibe	10.00
10.00	2	Simvastatin	10.00
50.16	3	Lactose monohydrate	50.16
25.00	4	Microcrystalline cellulose (Avicel™ PH102)	25.00
0.02	5	Butylated hydroxyanisole	0.02
1.50	6	Citric acid monohydrate	1.50
0.02	7	Propyl gallate	0.02
2.50	8	Croscarmellose sodium	2.50
0.80	9	Magnesium stearate	0.80
—	10	Water, purified	10.00
—	11	Ethanol 95%	5.00
2.20	12	Hydroxypropyl methylcellulose	2.20
—	13	Water, purified	20.00

MANUFACTURING DIRECTIONS

1. Dissolve item 6 in item 10 in a stainless steel container.
2. Dissolve item 5 and item 7 one by one in item 11 in another stainless steel container.
3. Mix step 2 with step 1.
4. Pass items 3, 1, and 2 through 0.5 mm sieve, and mix well.
5. Place step 4 in a granulator.

VYTORIN TABLETS (10 MG/20 MG)

Bill of Materials

Scale (mg/ tablet)	Item	Material Name	Quantity/ 1000 Tablets (g)
10.00	1	Ezetimibe	10.00
20.00	2	Simvastatin	20.00
75.24	3	Lactose monohydrate	75.24
37.50	4	Microcrystalline cellulose (Avicel™ PH102)	37.50
0.03	5	Butylated hydroxyanisole	0.03
2.25	6	Citric acid monohydrate	2.25
0.03	7	Propyl gallate	0.03
3.75	8	Croscarmellose sodium	3.75
1.20	9	Magnesium stearate	1.20
—	10	Water, purified	15.00
—	11	Ethanol 95%	7.50
3.3	12	Hydroxypropyl methylcellulose	3.3
—	13	Water, purified	30.00

MANUFACTURING DIRECTIONS

1. Dissolve item 6 in item 10 in a stainless steel container.
2. Dissolve item 5 and item 7 one by one in item 11 in another stainless steel container.
3. Mix step 2 with step 1.
4. Pass items 3, 1, and 2 through 0.5 mm sieve, and mix well.

5. Place step 4 in a granulator.
6. Knead step 5 with solution of step 3 for 5 to 10 minutes until a loose, moist mass is obtained.
7. Granulate the moist mass using a centrifugal granulator with a 7 mm sieve.
8. Spread over paper-lined trays, and dry at 45°C to 50°C for 8 hours (the relative humidity over the granules should be 20–35%).
9. Pass the dried granules through a 1.25 mm sieve granulator.
10. Transfer the granules to a tumbler.
11. Pass items 4 and 8 through 0.5 mm sieve, add to step 10, and mix for 15 minutes.
12. Pass item 9 through 0.250 mm sieve, and add to step 11.
13. Mix step 12 for 2 minutes.
14. Compress into 150 mg tablets, using a suitable punch (7.5 mm × 6.0 mm, oval).
15. Place item 13 in a stainless steel vessel. Add item 12 slowly to the vortex while stirring. Stir till lumps dissolve. Homogenize for 5 minutes. Keep for 3 to 4 hours for saturation of hydroxypropyl methylcellulose.
16. Load core tablets from step 14 in coating pan, and apply coating dispersion from step 15 to get 1.5% to 1.8% weight gain.

WARFARIN TABLETS (1, 2, 2.5, 3, 4, 5, 6, 7.5, AND 10 MG), COUMADIN WARFARIN SODIUM TABLETS

Bill of Materials

Scale (mg/ tablet)	Item	Material Name	Quantity/ 1000 Tablets (g)
11.470	1	Starch (maize)	11.470
0.215	2	Dye	0.215
0.119	3	Dye	0.119
3.020	4	Starch (maize)	3.020
—	5	Water, purified, ca	9.000
37.000	6	Cellulose microcrystalline	37.000
126.310	7	Lactose monohydrate	126.310
1.000	8	Warfarin sodium anhydrous ^a	1.000
0.930	9	Magnesium stearate	0.930
0.930	10	Amberlite (RP-88) ion exchange resin	0.930

^a Factored quantity; adjust with lactose. Dyes are selected to color-code different strengths for safety.

MANUFACTURING DIRECTIONS

Caution: Warfarin is poisonous. Wear a dust mask when handling. Send a 5 g sample to redetermine factor before granulating.

1. Granulation
 - a. Roughly blend cornstarch (item 1) with dyes, and mill through an 80 mesh (117 μm aperture or similar) screen.
 - b. Roughly blend 200 mg of colored starch mixture from step 1a with cornstarch (item 4).
 - c. Make a starch paste using the colored starch mixture from step 1b and approximately 18 mL purified water. *Note:* Starch paste should be smooth and thin. A thick starch paste will cause dye spots.
 - d. Roughly blend the remaining colored starch mixture from step 1a with the following items: cellulose microcrystalline, lactose, and warfarin sodium, and mill through a 30 mesh (600 μm aperture or similar) screen.
 - e. Load the milled material into a Day mixer (or similar), and blend for 10 minutes. Mass with hot starch paste. The addition of starch paste should be finished in 2 minutes. Mass for another 15 minutes using additional purified water, if necessary. Record the amount of purified water added. (*Note:* Do not overwet or mass for too long.)
 - f. Granulate through a 5/8 in. (15.88 mm aperture or similar) band.
 - g. Dry overnight at 49°C to not more than a 1.5% LOD at 105°C.

Note: Protect the granules from moisture from this step on. Make sure that the relative humidity is not greater than 40% at 24°C (54 grains).
 - h. Sift and grind through a 30 mesh (600 μm aperture or similar) screen.
 - i. Or, sift the dried granulation through a 20 mesh (840 μm aperture or similar) screen, and mill the coarse material through a 20 mesh (840 μm aperture or similar) screen using FitzMill (or similar), with knives forward, at medium speed.
2. Lubrication
 - a. Load the granulation into the blender.
 - b. Sift magnesium stearate and Amberlite through a 30 mesh (600 μm aperture, or similar) screen into a partial drum of granulation. Mix by hand, and load into a blender.
 - c. Add the remaining granulation to a blender, and blend for 10 minutes.
 - d. Discharge the blender into polyethylene-lined drums.
3. Compression: Compress using an 8 mm round flat, bevel-edged punch. The weight of 10 tablets is 1.85 g; thickness is 2.7 to 2.9 mm. Different dyes and different strengths of warfarin sodium can be adjusted with lactose.

YASMIN TABLET (3 MG/0.03 MG)—ACTIVE FILM-COATED TABLETS

Bill of Materials

Scale (mg/ tablet)	Item	Material Name	Quantity/ 1000 Tablets (g)
3.00	1	Drospirenone	3.00
0.03	2	Ethinyl estradiol	0.03
74.47	3	Lactose spray dried	74.47
5.00	4	Cornstarch	5.00
1.80	5	Povidone K25	1.80
5.00	6	Starch 1500	5.00
0.70	7	Magnesium stearate	0.70
2.00	8	Hydroxypropyl methylcellulose	2.00
0.40	9	Polyethylene glycol 6000	0.40
0.30	10	Talc	0.30
0.60	11	Titanium dioxide	0.60
0.20	12	Yellow ferric oxide	0.20
—	13	Water, purified	30.00

MANUFACTURING DIRECTIONS

1. Pass item 3 through 0.7 mm sieve and collect in a stainless steel container.
2. Place half quantity of step 1 in a tumbler.
3. Pass items 1, 2, 4, 5, and 6 through 0.5 mm sieve, collect in a stainless steel container, and mix well.
4. Add 5% (=1.9 g) powder from step 1 to step 3, and mix well.
5. Add 10% (=3.8 g) powder from step 1 to step 4, and mix well.
6. Add 15% (=5.7 g) powder from step 1 to step 5, and mix well.
7. Transfer step 6 into step 2.
8. Transfer balance quantity of step 1 into step 2.
9. Mix step 2 for 20 minutes using tumbler.
10. Pass item 7 through 0.250 mm sieve, and add to step 9.
11. Mix step 10 for 2 minutes.
12. Compress into 90 mg tablets, using a suitable punch (5.5 mm, round).
13. Place item 13 in a stainless steel vessel. Add item 8 slowly to the vortex while stirring. Stir till lumps dissolve. Homogenize for 5 minutes. Keep for 3 to 4 hours for saturation of hydroxypropyl methylcellulose.
14. Add items 9 to 12 one by one to step 13 with stirring. Stir for 10 minutes. Homogenize for 5 minutes. Pass the coating dispersion through 180 mm sieve (if required).
15. Load core tablets from step 12 in coating pan, and apply coating dispersion from step 14 to get 2.5% to 3.0% weight gain.

YASMIN TABLET—INERT FILM-COATED TABLETS

Bill of Materials

Scale (mg/ tablet)	Item	Material Name	Quantity/ 1000 Tablets (g)
92.20	1	Lactose spray dried	92.20
5.00	2	Cornstarch	5.00
2.00	3	Povidone K25	2.00
0.80	4	Magnesium stearate	0.80
2.00	5	Hydroxypropyl methylcellulose	2.00
0.30	6	Talc	0.30
0.60	7	Titanium dioxide	0.60
—	8	Water, purified	30.00

MANUFACTURING DIRECTIONS

1. Pass items 1 to 3 through 0.7 mm sieve, and collect in a tumbler.
2. Mix step 1 for 5 minutes using tumbler.
3. Pass item 4 through 0.250 mm sieve, and add to step 2.
4. Mix step 3 for 1 minute.
5. Compress into 100 mg tablets, using a suitable punch (4.5 mm × 4.5 mm square).
6. Place item 8 in a stainless steel vessel. Add item 5 slowly to the vortex while stirring. Stir till lumps dissolve. Homogenize for 5 minutes. Keep for 3 to 4 hours for saturation of hydroxypropyl methylcellulose.
7. Add items 6 and 7 to step 6 with stirring. Stir for 10 minutes. Homogenize for 5 minutes. Pass the coating dispersion through 180 mm sieve (if required).
8. Load core tablets from step 5 in coating pan, and apply coating dispersion from step 7 to get 2.0% to 2.5% weight gain.

ZOLMITRIPTAN ORALLY DISINTEGRATING TABLETS (2.5 MG)

Bill of Materials

Scale (mg/ tablet)	Item	Material Name	Quantity/ 1000 Tablets (g)
2.50	1	Zolmitriptan	2.50
64.80	2	Mannitol DC grade	64.80
10.00	3	Microcrystalline cellulose	10.00
2.50	4	Crospovidone	2.50
1.00	5	Aspartame	1.00
8.00	6	Sodium bicarbonate	8.00
8.00	7	Citric acid anhydrous	8.00
2.00	8	Orange flavor	2.00
0.70	9	Colloidal silicon dioxide (Aerosil® 200)	0.70
0.50	10	Magnesium stearate	0.50

MANUFACTURING DIRECTIONS

1. Pass items 2 and 7 through 1 mm sieve, and collect in a stainless steel container.
2. Place half quantity of step 1 in a tumbler.
3. Pass items 1, 4, 5, and 8 through 0.5 mm sieve, and collect in a stainless steel container.
4. Add 15% (=5.5 g) powder from step 1 to step 3, and mix well.
5. Transfer half quantity from step 4 into step 2.
6. Pass items 3, 6, and 9 through 0.5 mm sieve, and add to step 2.
7. Transfer the remaining half quantity of step 4 into step 2.
8. Transfer balance quantity of step 1 into step 2.
9. Mix step 2 for 20 minutes using tumbler.
10. Pass item 10 through 0.250 mm sieve, and add to step 9.
11. Mix step 10 for 2 minutes.
12. Compress into 100 mg tablets, using a suitable punch (5.5 mm, round).

**ZOLMITRIPTAN ORALLY
DISINTEGRATING TABLETS (5 MG)****Bill of Materials**

Scale (mg/ tablet)	Item	Material Name	Quantity/ 1000 Tablets (g)
5.00	1	Zolmitriptan	5.00
62.30	2	Mannitol DC grade	62.30
10.00	3	Microcrystalline cellulose	10.00
2.50	4	Crospovidone	2.50
1.00	5	Aspartame	1.00
8.00	6	Sodium bicarbonate	8.00
8.00	7	Citric acid anhydrous	8.00
2.00	8	Orange flavor	2.00
0.70	9	Colloidal silicon dioxide (Aerosil® 200)	0.70
0.50	10	Magnesium stearate	0.50

MANUFACTURING DIRECTIONS

1. Pass items 2 and 7 through 1 mm sieve, and collect in a stainless steel container.
2. Place half quantity of step 1 in a tumbler.
3. Pass items 1, 4, 5, and 8 through 0.5 mm sieve, and collect in a stainless steel container.
4. Add 15% (=5.2 g) powder from step 1 to step 3, and mix well.
5. Transfer half quantity from step 4 into step 2.
6. Pass items 3, 6, and 9 through 0.5 mm sieve, and add to step 2.
7. Transfer the remaining half quantity of step 4 into step 2.
8. Transfer balance quantity of step 1 into step 2.

9. Mix step 2 for 20 minutes using tumbler.
10. Pass item 10 through 0.250 mm sieve, and add to step 9.
11. Mix step 10 for 2 minutes.
12. Compress into 100 mg tablets, using a suitable punch (5.0 mm × 5.5 mm, oval).

ZOLMITRIPTAN TABLETS (2.5 MG)**Bill of Materials**

Scale (mg/ tablet)	Item	Material Name	Quantity/ 1000 Tablets (g)
2.50	1	Zolmitriptan	2.50
58.70	2	Lactose spray dried	58.70
35.00	3	Microcrystalline cellulose (Avicel™ PH102)	35.00
3.00	4	Sodium starch glycolate	3.00
0.80	5	Magnesium stearate	0.80
2.20	6	Hydroxypropyl methylcellulose	2.20
0.40	7	Polyethylene glycol 4000	0.40
0.70	8	Titanium dioxide	0.70
0.20	9	Yellow iron oxide	0.20
—	10	Water, purified	30.00

MANUFACTURING DIRECTIONS

1. Pass item 2 through 0.7 mm sieve, and place in a tumbler.
2. Pass item 1 and item 4 through 0.5 mm sieve, and collect in a stainless steel container.
3. Add 5% (=3.0 g) lactose from step 1 to step 2, and mix well.
4. Add 10% (=5.8 g) lactose from step 1 to step 3, and mix well.
5. Transfer step 4 into step 1.
6. Pass item 3 through 0.7 mm sieve, and place in tumbler from step 1.
7. Mix step 1 for 20 minutes using tumbler.
8. Pass item 5 through 0.250 mm sieve, and add to step 7.
9. Mix step 8 for 2 minutes.
10. Compress into 100 mg tablets, using a suitable punch (5.5 mm, round).
11. Place item 10 in a stainless steel vessel. Add item 6 slowly to the vortex while stirring. Stir till lumps dissolve. Homogenize for 5 minutes. Keep for 3 to 4 hours for saturation of hydroxypropyl methylcellulose.
12. Add items 7 to 9 one by one to step 10 with stirring. Stir for 5 minutes. Homogenize for 5 minutes. Pass the coating dispersion through 180 mm sieve (if required).
13. Load core tablets from step 10 in coating pan, and apply coating dispersion from step 12 to get 2.5% to 3.0% weight gain.

ZOLMITRIPTAN TABLETS (5 MG)

Bill of Materials			
Scale (mg/ tablet)	Item	Material Name	Quantity/ 1000 Tablets (g)
5.00	1	Zolmitriptan	5.00
56.20	2	Lactose spray dried	56.20
35.00	3	Microcrystalline cellulose (Avicel™ PH102)	35.00
3.00	4	Sodium starch glycolate	3.00
0.80	5	Magnesium stearate	0.80
2.20	6	Hydroxypropyl methylcellulose	2.20
0.40	7	Polyethylene glycol 4000	0.40
0.70	8	Titanium dioxide	0.70
0.20	9	Red iron oxide	0.20
—	10	Water, purified	30.00

MANUFACTURING DIRECTIONS

1. Pass item 2 through 0.7 mm sieve, and place in a tumbler.
2. Pass items 1 and 4 through 0.5 mm sieve, and collect in a stainless steel container.
3. Add 10% (=5.6 g) lactose from step 1 to step 2, and mix well.
4. Transfer step 3 into step 1.
5. Pass item 3 through 0.7 mm sieve, and place in tumbler from step 1.
6. Mix step 1 for 20 minutes using tumbler.
7. Pass item 5 through 0.250 mm sieve, and add to step 6.
8. Mix step 7 for 2 minutes.
9. Compress into 100 mg tablets, using a suitable punch (5.0 mm × 5.5 mm, oval).
10. Place item 10 in a stainless steel vessel. Add item 6 slowly to the vortex while stirring. Stir till lumps dissolve. Homogenize for 5 minutes. Keep for 3 to 4 hours for saturation of hydroxypropyl methylcellulose.
11. Add item 7, item 8, and item 9 one by one to step 10 with stirring. Stir for 5 minutes. Homogenize for 5 minutes. Pass the coating dispersion through 180 mm sieve (if required).
12. Load core tablets from step 9 in coating pan and apply coating dispersion from step 11.

ZOLMITRIPTAN TABLETS

Bill of Materials			
Scale (mg/ tablet)	Item	Material Name	Quantity/ 1000 Tablets (g)
1.25	1	Zolmitriptan	1.25
0.12	2	Talc	0.12
0.15	3	Polyvinylpyrrolidone	0.15
QS	4	Water	QS
QS	5	Ethanol	QS
60.00	6	Sugar spheres	60.00
6.00	7	Eudragit® S	6.00
3.00	8	Triethyl citrate	3.00
1.50	9	Talc	1.50
0.105	10	Ammonium hydroxide 1 N solution	0.105
3.10	11	Hydroxypropyl methylcellulose	3.10
0.40	12	Polyethylene glycol	0.40
0.50	13	Flavor (optional)	0.50
0.50	14	Color (optional)	0.50
QS	15	Water	QS
QS	16	Ethanol	QS

MANUFACTURING DIRECTIONS

1. Prepare a dispersion containing zolmitriptan and talc in polyvinylpyrrolidone solution prepared in water and/or ethanol or a mixture thereof.
2. Apply or spray solution (1) onto the sugar spheres using a coating pan or a fluid-bed coater until a desired amount of solution (1) is applied.
3. The coated spheres may be further seal-coated with a solution containing polyvinylpyrrolidone prepared in water and/or ethanol or a mixture thereof.
4. Prepare the coating solution by mixing water, Eudragit® S100, ammonium hydroxide solution, triethyl citrate, and talc to form a uniform dispersion.
5. Coat zolmitriptan beads (from step 3) with Eudragit® S coating solution using a coating pan or a fluid-bed coater until a desired coat weight is achieved.
6. Seal coat of the enteric-coated zolmitriptan beads: Prepare a coating solution of hydroxypropyl methylcellulose and polyethylene glycol in water or ethanol or combination thereof.
7. Coat zolmitriptan enteric-coated beads (step 5) with this coating solution in a coating pan or a fluid-bed coater until a desired coating weight is obtained for tablets containing 1.25 or 2.50 mg zolmitriptan.

ZOLPIDEM HEMITARTRATE TABLETS

Bill of Materials			
Scale (mg/ tablet)	Item	Material Name	Quantity/ 1000 Tablets (g)
10.00	1	Zolpidem hemitartrate	10.00
91.00	2	Lactose monohydrate	91.00
12.00	3	Microcrystalline cellulose	12.00
2.52	4	Hydroxypropyl methylcellulose	2.52
3.84	5	Sodium carboxymethyl cellulose	3.84
0.72	6	Magnesium stearate	0.72
—	7	Water, purified	QS

MANUFACTURING DIRECTIONS

1. Mix items 1 to 4, and blend for 10 minutes.
2. Add item 7 to granulate, dry, and sieve granules.
3. Mix granules with items 5 and 6.
4. Compress into 120 mg tablets.

**ZOLPIDEM TARTRATE TABLETS
(5 MG/10 MG), AMBIEN®**

Each Ambien® tablet includes the following inactive ingredients: hydroxypropyl methylcellulose, lactose, magnesium stearate, microcrystalline cellulose, polyethylene glycol, sodium starch glycolate, and titanium dioxide. The 5 mg tablet also contains FD&C Red No. 40, iron oxide colorant, and polysorbate 80.

Part III

Tablet Coating Formulations



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Tablet Coating Formulations

INTRODUCTION

Solid dosage forms are frequently coated for varied purposes, including the following:

- Mask taste and smell.
- Offer protection from the environment.
- Provide protection from gastric acid (enteric coating).
- Make dose easy to swallow.
- Provide identification.
- Add esthetic appeal.
- Hide surface defects.

Many types of coatings are available.

I. Sugar coating: Compressed tablets are coated with a colored or uncolored sugar layer that is water soluble and quickly dissolves after swallowing. The sugar coat protects the enclosed drug from the environment and provides a barrier to objectionable taste or odor. The sugar coat also enhances the appearance of the compressed tablet and permits the manufacturer's information to be imprinted. Sugar coating provides a combination of insulation, taste masking, smoothing the tablet core, coloring, and modified release. The disadvantages of sugar coating are the time and expertise required in the coating process and thus, increased size, weight, and shipping costs. The sugar-coating process involves five separate operations:

a. Sealing/waterproofing: Prior to the application of any sugar/water syrup, the tablet cores must be sealed, thoroughly dried, and free of all residual solvents. The seal coat provides a moisture barrier and hardness to the surface of the tablet in order to minimize attritional effects. Core tablets having very rapid disintegration rates conceivably could start the disintegration process during the initial phase of sugar coating. The sealants are generally water-insoluble polymers/film formers applied from an organic solvent solution. The quantities of material applied as a sealing coat will depend primarily on the tablet porosity, since highly porous tablets will tend to soak up the first application of solution, thus preventing it from spreading uniformly across the surface of every tablet in the batch. Hence, one or more further applications of resin solution may be required to ensure that the tablet cores are sealed effectively. Common materials used as a sealant include shellac, zinc sulfate, cellulose acetate phthalate (CAP), polyvinylacetate

phthalate, hydroxypropyl cellulose, hydroxypropyl methylcellulose, etc.

- b. Subcoating: Subcoating is the actual start of the sugar-coating process and provides the rapid buildup necessary to round up the tablet edge. It also acts as the foundation for the smoothing and color coats. Generally, two methods are used for subcoating. Dusting with powder and then drying follows the same process where the application of gum based solution and routine repeated application until the desired shape is achieved is practiced. In the other method, a suspension of dry powder in gum/sucrose solution is applied, followed by drying the tablets. Thus, subcoating is a sandwich of alternate layers of gum and powder. It is necessary to remove the bulk of the water after each application of coating syrup.
- c. Grossing/smoothing: The grossing/smoothing process is specifically for smoothing and filing the irregularity on the surface generated during subcoating. It also increases the tablet size to a predetermined dimension. If the subcoating is rough with high number of irregularities, then the use of grossing syrup containing suspended solids will provide more rapid buildup and better filling qualities. Smoothing usually can be accomplished by the application of a simple syrup solution (approximately 60%–70% sugar solid). This syrup generally contains pigments, starch, gelatin, acacia, or opacifier if required. Small quantities of color suspension can be applied to impart a tint of the desired color when there are irregularities in coating.
- d. Color coating: This stage is often critical in the successful completion of a sugar-coating process and involves multiple applications of syrup solution (60%–70% sugar solid) containing the requisite coloring matter. Mainly soluble dyes were previously used in the sugar coating to achieve the desired color, since the soluble dye will migrate to the surface during drying. But nowadays, insoluble certified lakes have virtually replaced soluble dyes in pharmaceutical tablet coating. The most efficient process for color coating involves the use of a predispersed opacified lake suspension.
- e. Polishing: Sugar-coated tablets need to be polished to achieve a final elegance. Polishing is achieved by applying a mixture of waxes, such as beeswax, carnauba wax, candelilla wax, and hard paraffin wax, to tablets in the polishing pan.

II. Film coating: Film coating is the deposition of a thin film of polymer surrounding the tablet core. Conventional pan equipment may be used, but nowadays, more sophisticated equipment is employed to provide a high degree of automation and coating time. The polymer is solubilized into solvent. Other additives, such as plasticizers and pigments, are added. The resulting solution is sprayed onto a rotated tablet bed. The drying conditions cause removal of the solvent, giving a thin deposition of coating material around each tablet core. Usually, a spray process is employed in the preparation of film-coated tablets. The Accela Cota is the prototype of a perforated cylindrical drum, providing high drying air capacity. Fluidized-bed equipment has made a considerable impact; tablets move in a stream of air passing through the perforated bottom of a cylindrical column. With a smaller cylindrical insert, the stream of cores rises in the center of the device together with a spray mist applied in the middle of the bottom. For fluidized-bed coating, very hard tablets (hardness >20 N) have to be used. The fundamental requirements are independent of the actual type of equipment being used and include an adequate means of atomizing the spray liquid for application to the tablet core, adequate mixing and agitation of the tablet bed, and sufficient heat input in the form of drying air to provide the latent heat of evaporation of the solvent. This is particularly important with aqueous-based spraying, and good exhaust facilities are required to remove dust and solvent-laden air. The materials used in film coating include the following:

a. Film formers

- i. Hydroxypropyl methylcellulose (HPMC): This is available in different viscosity grades. It is a polymer of choice for air suspension and pan spray-coating systems because of its solubility in gastric fluid and organic and aqueous solvent systems. The advantages are that it does not affect tablet disintegration and drug availability; it is cheap, flexible, and highly resistant to heat, light, and moisture; it has no taste or odor; and color and other additives can be easily incorporated. The disadvantage is that when it is used alone, the polymer has a tendency to bridge or fill the debossed tablet surfaces. So, a mixture of HPMC and other polymers/plasticizers is used.
- ii. Methylhydroxy ethylcellulose (MHEC): This is available in a wide variety of viscosity grades. It is not frequently used as HPMC because it is soluble in fewer organic solvents.
- iii. Ethylcellulose (EC): Depending on the degree of ethoxy substitution, different viscosity grades are available. It is completely

insoluble in water and gastric fluids. Hence, it is used in combination with water-soluble additives such as HPMC and not alone. Unplasticized EC films are brittle and require film modifiers to obtain an acceptable film formulation. Aquacoat® is an aqueous polymeric dispersion utilizing EC. These pseudolatex systems contain high-solids, low-viscosity compositions that have coating properties quite different from those of regular EC solution.

- iv. Hydroxypropyl cellulose (HPC): This is soluble in water below 40°C (insoluble above 45°C), gastric fluid, and many polar organic solvents. HPC is extremely tacky as it dries from a solution system. It is used for the subcoat and not for color or glass coating. It gives a very flexible film.
- v. Povidone: The degree of polymerization decides the molecular weight of the material. It is available in four viscosity grades: K-15, K-30, K-60, and K-90. The average molecular weight of these grades is 10,000, 40,000, 160,000, and 360,000, respectively. K-30 is widely used as a tablet binder and in tablet coating. It has excellent solubility in a wide variety of organic solvents, water, and gastric and intestinal fluids. Povidone can be cross-linked with other materials to produce films with enteric properties. It is used to improve the dispersion of colorants in coating solution.
- vi. Sodium carboxymethylcellulose: This is available in medium-, high-, and extra-high-viscosity grades. It is easily dispersed in water to form colloidal solutions but is insoluble in most organic solvents and hence, not a material of choice for coating solutions based on organic solvents. Films prepared from it are brittle but adhere well to tablets. Partially dried films are tacky. So, coating compositions must be modified with additives.
- vii. Polyethylene glycols (PEGs): PEGs with low molecular weights (200–600) are liquid at room temperature and are used as plasticizers. High-molecular weight PEGs (900–8000 series) are white, waxy solids at room temperature. The combination of PEG waxes with CAP gives films that are soluble in gastric fluids.
- viii. Acrylate polymers: These are marketed under the name of Eudragit®. Eudragit® E is a cationic copolymer. Only Eudragit® E is freely soluble in gastric fluid up to pH 5 and expandable and permeable above pH 5. This material is available as an organic solution

- (12.5% in isopropanol/acetone), a solid material, or a 30% aqueous dispersion. Eudragit® RL and RS are copolymers with low content of quaternary ammonium groups. These are available only as organic solutions and solid materials. They produce films for delayed action (pH dependent).
- b. Solvents: Mostly, solvents are used either alone or in combination with water, ethanol, methanol, isopropanol, chloroform, acetone, methylene chloride, etc. Water is more often used, because there are no environmental or economic considerations. For drugs that readily hydrolyze in the presence of water, nonaqueous solvents are used.
 - c. Plasticizers: As solvent is removed, most polymeric materials tend to pack together in a three-dimensional honeycomb arrangement. Both internal and external plasticizing techniques are used to modify the quality of the film. A combination of plasticizers may be used to get the desired effect. The concentration of plasticizer is expressed in relation to the polymer being plasticized. Recommended levels of plasticizers range from 1% to 50% by weight of the film former. Commonly used plasticizers are castor oil, propylene glycol (PG), glycerin, lower-molecular weight (200–400 series) polyethylene glycol (PEG), surfactants, etc. For aqueous coating, PEG and PG are more often used, while castor oil and Spans are primarily used for organic solvent-based coating solutions. The external plasticizer should be soluble in the solvent system used for dissolving the film former and the plasticizer. The plasticizer and the film former must be at least partially soluble or miscible in each other.
 - d. Colorants: Colorants can be used in solution form or in suspension form. To achieve proper distribution of suspended colorants in the coating solution requires the use of powdered colorants (<10 microns). Most common colorants in use are certified FD&C or D&C colorants. These are synthetic dyes or lakes. Lakes are the choice for sugar or film coating, as they give reproducible results. The concentration of colorants in the coating solutions depends on the color shade desired, the type of dye, and the concentration of opaquant-extenders. If a very light shade is desired, a concentration of less than 0.01% may be adequate; on the other hand, if a dark color is desired, a concentration of more than 2.0% may be required. Inorganic materials (e.g., iron oxide) and natural coloring materials (e.g., anthocyanins, carotenoids, etc.) are also used to prepare coating solutions. Magenta red dye is nonabsorbable in biologic systems and resistant to degradation in the gastrointestinal tract. Opaspray® (an opaque color concentrate for film coating) and Opadry® (a complete film coating concentrate) are promoted as achieving lower lot-to-lot color variation.
 - e. Opaquant-extenders: These are very fine inorganic powders used to provide more pastel colors and increase film coverage. These inorganic materials provide a white coat or mask the color of the tablet core. Colorants are very expensive, and high concentrations are required. These inorganic materials are cheap. In the presence of these inorganic materials, the amount of colorants required decreases. The most commonly used materials are titanium dioxide, silicate (talc and aluminum silicates), carbonates (magnesium carbonates), oxides (magnesium oxide), and hydroxides (aluminum hydroxides).
 - f. Other components: Flavors, sweeteners, surfactants, antioxidants, antimicrobials, etc., may be incorporated into the coating solution.
- III. Enteric coating: A one-layer system is applied as one homogeneous layer, which can be white-opaque or colored. The advantage is that only one application is needed. In a two-layer system, the enteric formulation is applied first, followed by colored film. Both layers can be made of enteric polymer; alternatively, only the basic layer contains enteric polymer, while the top layer is a fast-disintegrating, water-soluble polymer. Polymers used for enteric coating include the following:
- a. Cellulose acetate phthalate (CAP): This is widely used in industry. Aquateric® is a reconstituted colloidal dispersion of latex particles. It is composed of solid or semisolid polymer spheres of CAP ranging in size from 0.05 to 3 microns. Cellulose acetate trimellitate (CAT), developed as an ammoniated aqueous formulation, showed faster dissolution than a similar formulation of CAP. Its disadvantages include that it dissolves above pH 6 only, delays the absorption of drugs, is hygroscopic and permeable to moisture in comparison with other enteric polymers, and is susceptible to hydrolytic removal of phthalic and acetic acid, changing the film properties. CAP films are brittle and are usually used with other hydrophobic film-forming materials.
 - b. Acrylate polymers: Eudragit® L & Eudragit® S are two forms of commercially available enteric acrylic resin. Both of them produce films resistant to gastric fluid. Eudragit® L and S are soluble in intestinal fluid at pH 6 and 7, respectively. Eudragit® L is available as an organic solution (in isopropanol), a solid, or an aqueous dispersion. Eudragit® S is available only as an organic solution (in isopropanol) and a solid.

- c. Hydroxypropyl methylcellulose phthalate: HPMCP 50, 55, and 55-s (also called HP-50, HP-55, and HP-55-s) are widely used. HP-55 is recommended for general enteric preparation, while HP-50 and HP-55-s are for special cases. These polymers dissolve at pH 5 to 5.5.
 - d. Polyvinyl acetate phthalate: This is similar to HP-55 in stability and pH-dependent solubility.
 - e. Enteric coating can be combined with polysaccharides that are degraded by enzymes in the colon, such as cyclodextrin and galactomannan.
- IV. Controlled-Release Coating: Polymers such as modified acrylates, water-insoluble cellulose (ethyl cellulose), etc., are used for controlled-release coating.
- V. Compressed coating: This type of coating requires a specialized tablet machine. Compression coating is not widely used, but it has advantages in some cases in which the tablet core cannot tolerate organic solvent or water and yet needs to be coated for taste masking, or to provide delayed or enteric properties to the finished product, and also to avoid incompatibility by separating incompatible ingredients.
- VI. Electrostatic coating: Electrostatic coating is an efficient method of applying coating to conductive substrates. A strong electrostatic charge is applied to the substrate. A coating material containing conductive ionic species of opposite charge is sprayed onto the charged substrate. The complete and uniform coating of corners, and the adaptability of this method to such relatively nonconductive substrates as pharmaceuticals, is limited.
- VII. Dip coating: Coating is applied to the tablet cores by dipping them into the coating liquid. The wet tablets are dried in a conventional manner in a coating pan. Alternate dipping and drying steps may be repeated several times to obtain the desired coating. This process lacks the speed, versatility, and reliability of spray-coating techniques. Specialized equipment has been developed to dip coat tablets, but no commercial pharmaceutical application has been obtained.

VIII. Vacuum film coating: Vacuum film coating is a new coating procedure that employs a specially designed baffled pan. The pan is hot water jacketed, and it can be sealed to achieve a vacuum system. The tablets are placed in the sealed pan, and the air in the pan is displaced by nitrogen before the desired vacuum level is obtained. The coating solution is then applied with an airless spray system. The heated pan causes the evaporation, and the vapor is removed by the vacuum system. Because there is no high-velocity heated air, the energy requirement is low, and the coating efficiency is high. Organic solvent can be effectively used with this coating system with minimum environmental or safety concerns.

Formulations for tablet coating are often proprietary to various manufacturers, as these address several formulation needs, as described previously. The suppliers of coating ingredients are often very open to sharing the coating technology, and companies are highly encouraged to make use of them, more particularly where the coating materials have an open drug master file (DMF) available for regulatory filings. The companies producing the following ingredients are very good sources of information:

Eudragit® <https://www.chempoint.com/products/basf/basf-acrylic-monomers/methyl-acrylate>

Colorcon® <https://www.colorcon.com/products-formulation/all-products/film-coatings>

Methocel/ethocel <https://www.dow.com/en-us/product-search>

The advantage of using these prepackaged formulations is consistency in color matching as well as other considerations regarding ease of use. The most significant aspect remains the choice of colors, which often determines the method of manufacturing the coating solutions. With a limited choice of dyes and lakes available for selection, manufacturers often use a combination of several colors and dyes along with agents such as talc for opaqueness to obtain the desired color and protection.

There follows a current listing of approved colors in various regulatory regions.

Approved Drug Colorants for Internal Use in Japan-1^a

Name	CAS Number	Color Index Number	Precedent Limit	Compendia
Black iron oxide	12227-89-3	77499	1.539 mg	JPE
Caramel			1500 mg	JPE
Carbon black	1333-86-4	77268:1	0.096 mg	JPE
Carmine	1390-65-4	75470	1.8 mg	JPE
β-Carotene	7235-40-7	40800	0.1%	JPE
Copper chlorophyll			1.8 mg	JPC
Glycyrrhiza extract			300 mg	JP
Gold leaf	7440-57-5		14 mg	JPE
Light anhydrous silicic acid	7631-86-9		2.6 g	JP
Medicinal carbon	16291-96-6		150 mg	JP
2-octylododecyl myristate	22766-83-2		100 mg	JPE
Orange essence			15 mg	JPE
Powdered green tea			100 mg	JPE
Red ferric oxide	1309-37-1	77491	95.4 mg	JPE
Riboflavin	83-88-5		0.8 mg	JP
Riboflavin butyrate			0.4 mg	JP
Riboflavin sodium phosphate			2 mg	JP
Rose oil	8007-01-0		0.1 mg	NF
Rye green leaf extract			2 mg	JPE
Sodium copper chlorophyllin			75 mg	JPC
Sodium hydroxide	1310-73-2		224 mg	JP
Talc	14807-96-6		3384 mg	JP
Titanium oxide	13463-67-7	77891	384 mg	JP
Yellow ferric oxide	1310-14-1	77492	5.67 mg	JPE

^a These colorants appear in the application column in the JPE Directory 2007 (Japanese Version) as coloring agents. Precedent limits are quoted from the JPE Directory 2007 (Japanese version). Each limit represents the maximum daily intake that a patient should consume from the use of a particular dosage form. JP: Japanese Pharmacopoeia; JPC: Japan Pharmaceutical Codex; JPE: Japanese Pharmaceutical Excipients; NF: National Formulary.

Approved Drug Colorants for Internal Use in Japan-2^a

Name	Alternate Name	Color Index Number	CAS Number	Precedent Limit
Amaranth ^c	Red No. 2, Acid Red 27	16185	915-67-3	b
Erythrosine ^c	Red No. 3, Acid Red 51	45430	16423-68-0	b
New cocchine (Ponceau4R) ^c	Red No. 102, Acid Red 18	16255	2611-82-7	b
Phloxine B	Red No. 104(1), Acid Red 92	45410	18472-87-2	b
Rose bengal	Red No. 105(1), Acid Red 94	45440	632-69-9	b
Acid Red	Red No. 106, Acid Red 52	45100		b
Tartrazine ^c	Yellow No. 4, Acid Yellow 23	19140	1934-21-0	b
Sunset Yellow FCF ^c	Yellow No. 5	15985	2783-94-0	b
Fast Green FCF	Green No. 3	42053	2353-45-9	b
Brilliant Blue FCF ^c	Blue No. 1	42090	3844-45-9	b
Indigo Carmine ^c	Blue No. 2, Acid Blue 74	73015	860-22-0	b

^a Based on colors approved by the Ministry of Health and Welfare (MHW)'s "Ministerial Ordinance to establish Tar colors which can be used in Pharmaceuticals"; No. 30; August 31, 1966. Aluminum lakes of these colors are also authorized.

^b Not more than 0.1% by weight of color (lake or dye) can be used in a dosage form. If one colorant was combined with other colorants, the total weight of these colorants must be less than 0.1% of the final product.

^c These colorants make the list of the application column in the Japanese Pharmaceutical Excipients Directory 2007 (Japanese Version) as coloring agents.

Approved Drug Colorants for Use in Canada*

I. Colorants approved for internal and external drug use

Color	Alternate Name	Color Index Number	CAS Number
Acid Fuchsin D	D&C Red No. 33	17200	3567-66-6
Alizarin Cyanine Green F	D&C Green No. 5	61570	4403-90-1
Allura Red AC	FD&C Red No. 40	16035	25956-17-6
Amaranth	Delisted FD&C Red No. 2	16185	915-67-3
Anthocyanin (Derived from juice expressed from fresh edible fruits or vegetables)			
β-apo-8'-carotenal	–	40820	1107-26-2
Brilliant Blue FCF Sodium Salt	FD&C Blue No. 0	42090	3844-45-8
Brilliant Blue FCF Ammonium Salt	D&C Blue No. 4	42090	6371-85-2
Canthaxanthin	–	40850	514-78-3
Caramel	–	–	–
Carbon black	–	77266	1333-86-4
Carmine	–	75470	1260-17-9
Carmoisine	Azorubine	14720	3567-69-9
β-carotene	–	40800	7235-40-7
Chlorophyll	–	75810	479-61-8
Eosin YS Acid Form	D&C Red No. 21	45380:2	15086-94-9
Eosin YS Sodium Salt	D&C Red No. 22	45380	17372-87-1
Erythrosine	FD&C Red No. 3	45430	16423-68-0
Fast Green FCF	FD&C Green No. 3	42053	2353-45-9
Flaming Red	D&C Red No. 36	12085	2814-77-9
Helindone Pink CN	D&C Red No. 30	73360	2379-74-0
Indigo	D&C Blue No. 6	73000	482-89-3
Indigotine	FD&C Blue No. 2'	73015	860-22-0
Iron Oxides	Iron oxide red	77491	1309-37-1
	Iron oxide yellow	77492	51274-00-1
	Iron oxide black	77499	12227-89-3
Lithol Rubin B Sodium Salt	D&C Red No. 6	15850	5858-81-1
Lithol Rubin B Calcium Salt	D&C Red No. 7	15850:1	5281-04-9
Phloxine B Sodium Salt	D&C Red No. 28	45410	18472-87-2
Phloxine B Acid Form	D&C Red No. 27	45410:1	13473-26-2
Ponceau 4R	–	16255	2611-82-7
Ponceau SX	FD&C Red No. 4	14700	4548-53-2
Quinoline Yellow WS	D&C Yellow No. 10	47005	8004-92-0
Riboflavin	–	–	83-88-5
Sunset Yellow FCF	FD&C Yellow No. 6	15985	2783-94-0
Tartrazine	FD&C Yellow No. 5	19140	1934-21-0
Titanium dioxide	–	77891	13463-67-7

*https://laws.justice.gc.ca/eng/regulations/C.R.C.,_c._870/page-103.html

II. Colorants approved for external drug use

Color	Alternate Name	Color Index Number	CAS Number
Acid Violet	Ext. D&C Violet No. 2	60730	—
Alizuroil Purple SS	D&C Violet No. 2	60725	81-48-1
Annatto	—	75120	—
Bismuth oxychloride	—	77163	—
Chromium Hydroxide Green	Pigment Green 18	77289	—
Dibromofluorescein (Solvent Red 72)	D&C Orange No. 5	45370:1	—
Deep Maroon	D&C Red No. 34	15880:1	6417-83-0
Ferric ferrocyanide	—	77510	—
Guanine	—	75170	—
Orange II	D&C Orange No. 4	15510	633-96-5
Manganese violet	—	77742	—
Mica	—	77019	—
Pyranine Concentrated	D&C Green No. 8	59040	6358-69-6
Quinizarin Green SS	D&C Green No. 6	61565	128-80-3
Toney Red	D&C Red No. 17	26100	85-86-9
Uranine Acid Form	D&C Yellow No. 7	45350:1	7/5/2321
Uranine Sodium Salt	D&C Yellow No. 8	45350	518-47-8
Zinc oxide	—	77947	—

Approved Drug Colorants Listed by the European Union^a

Note: Aluminum lakes prepared from colors mentioned in this list are also permitted.

Color	E Number	Color Index Number	Alternate Names
Allura Red AC	E129	16035	FD&C Red No. 40
Aluminum	E173	77000	—
Amaranth	E123	16185	Delisted FD&C Red No. 2
Anthocyanins	E163	—	—
Beet Root Red	E162	—	Betainin
β -apo-8'-carotenal	E160e	40820	—
β -apo-8'-carotenoic acidethyl ester	E160f	40825	—
Brilliant Black BN	E151	28440	Black PN
Brilliant Blue FCF	E133	42090	FD&C Blue No. 1
Brown HT	E155	20285	—
Calcium carbonate	E170	77220	—
Canthaxanthin	E161g	40850	—
Caramel	E150a	—	—
Caramel, caustic sulfite	E150b	—	—
Caramel, ammonia	E150c	—	—
Caramel, sulfite ammonia	E150d	—	—
Carbon vegetable black	E153	77268:1	Carbo medicinalis vegetabilis
Carmine	E120	75470	Carmine 40, carminic acid
Carmoisine	E122	14720	Azorubine
Carotene	—	75130	α -, β -, and γ -carotene
I. Mixed carotenes	E160a(i)	75130	—
II. β -carotene	E160a(ii)	40800	—
Chlorophylls/Chlorophyllins	—	—	—
i. Chlorophylls	E140(i)	75810	—
ii. Chlorophyllins	E140(ii)	75815	—
Chlorophylls/chlorophyllins copper complexes	—	75815	—
i. Copper complexes of chlorophylls	E141(i)	—	—
ii. Copper complexes of chlorophyllins	E141(ii)	—	—

(Continued)

Color	E Number	Color Index Number	Alternate Names
Cochineal	E120	75470	Carminic acid
Erythrosine	E127	45430	FD&C Red No. 3
Gold	E175	77480	–
Green S	E142	44090	Acid Brilliant Green BS
Indigotine	E132	73015	FD&C Blue No. 2, Indigo carmine
Iron oxides and hydroxides	E172	77491	Iron oxide red
		77492	Iron oxide yellow
		77499	Iron oxide black
Lutein	E161b	–	–
Lycopene	E160d	–	–
Paprika Extract	E160c	–	Capsanthin, capsorubin
Patent Blue V	E131	42051	Acid Blue 3
Ponceau 4R	E124	16255	Cochineal Red A
Quinoline Yellow ^a	E104	47005	China Yellow
Riboflavin		–	–
i. Riboflavin	E101(i)	–	–
ii. Riboflavin-5'-phosphate	E101(ii)	–	–
Sunset Yellow FCF	E110	15985	FD&C Yellow No. 6, Orange Yellow S
Tartrazine	E102	19140	FD&C Yellow No. 5
Titanium dioxide	E171	77891	–
Turmeric	E100	75300	Curcumin

This list is derived from Annex 1 of Directive 94/36/EC, colors permitted for use in foodstuffs. European Medicines Agency (EMA) Guideline EMA/CHMP/QWP/396951/2006 states that colorants mentioned in this annex are permitted for use in medicinal products.

^a This is not D&C Yellow No. 10. Although the C.I. numbers are the same, the dyes differ in composition. Quinoline Yellow is primarily the disulfonated quinoline dye, whereas D&C Yellow No. 10 is the monosulfonated color. Quinoline Yellow is not accepted for use in the United States; conversely, D&C Yellow No. 10 cannot be used in the EU.

Color Additives Exempt from Certification Permitted for Use in the United States^a

Color	Color Index Number	CAS Number	21 CFR References			
			Food	Drug	Cosmetic	Medical Devices
Algae meal (dried)	–	–	73.275	–	–	–
Algae meal (haematococcus)	–	–	73.185	–	–	–
Alumina	77002	1332-73-6	–	73.1010	–	–
Aluminum powder	77000	7429-90-5	–	73.1645	73.2645	–
Annatto extract	75120	8015-67-6	73.30	73.1030	73.2030	–
Astaxanthin	–	–	73.35	–	–	–
β-apo-8'-carotenal	40820	1107-26-2	73.90	–	–	–
β-carotene	40800	7235-40-7	73.95	73.1095	73.2095	–
Beet powder	–	57917-55-2	73.40	–	–	–
Bismuth citrate	–	–	–	–	73.2110	–
Bismuth oxychloride	77163	7787-59-9	–	73.1162	73.2162	–
Bronze Powder (zinc and copper)	77440	7440-50-8 (Cu) 7740-66-6 (Zn)	–	73.1646	73.2646	–
Calcium carbonate	77220	471-34-1	–	73.1070	–	–
Canthaxanthin	40850	514-78-3	73.75	73.1075	–	–
Caramel	–	–	73.85	73.1085	73.2085	–
Carbazole violet	51319	6358-30-1	–	–	–	73.3107
Carmine	75470	1390-65-4	73.100	73.1100	73.2087	–
Carrot oil	–	–	73.300	–	–	–
Chlorophyllin copper complex	75810	–	–	73.1125	73.2125	73.3110
Chromium-cobalt-aluminum oxide	77343	68187-11-1	–	73.1015	–	73.3110a
Chromium hydroxide green	77289	12182-82-0	–	73.1326	73.2326	–
Chromium oxide greens	77288	1308-38-9	–	73.1327	73.2327	73.3111
C.I. Vat Orange 1	59105	–	–	–	–	73.3112
Cochineal extract	75470	1260-17-9	73.100	73.1100	–	–
Corn endosperm oil	–	–	73.315	–	–	–
Copper powder	77400	7440-50-6	–	73.1647	73.2647	–
1,4-Bis [(2-hydroxyethyl) amino]-9,10-anthracenedione bis(2-propenoic) ester copolymers	–	10956-07-1	–	–	–	73.3100
1,4-Bis [(2-methylphenyl)amino]-9,10-anthracenedione	–	6737-68-4	–	–	–	73.3105
1,4-Bis[4-(2-methacryloxyethyl) phenylamino]-9,10-anthraquinone copolymers	–	121888-69-5	–	–	–	73.3106
2-[[2,5-Diethoxy-4-[(4-methylphenyl) thiol] phenyl]azo]-1,3,5-benzenetriol	–	–	–	–	–	73.3115
16,23-Dihydrodinaphtho[2,3-a:2',3'-i] naphth[2',3':6,7]indolo[2,3-c]carbazole-5,10,15,17,22,24-hexone	70800	2475-33-4	–	–	–	73.3117
N,N'-(9,10-Dihydro-9,10-dioxo-1,5-anthracenediyl) bis-benzamide	61725	82-18-8	–	–	–	73.3118
7,16-Dichloro-6,15-dihydro-5,9,14,18-anthrazinetetrone	69825	130-20-1	–	–	–	73.3119
16,17-Dimethoxydinaphtho[1,2,3-cd:3',2',1'-lm]perylene-5,10 dione	59825	128-58-5	–	–	–	73.3120
4-[2,4-(Dimethylphenyl)azo]-2,4-dihydro-5-methyl-2-phenyl-3H-pyrazol-3-one	–	6407-78-9	–	–	–	73.3122
Dihydroxy acetone	–	62147-49-3	–	73.1150	73.2150	–
Disodium EDTA copper	–	–	–	–	73.2120	–
6-Ethoxy-2-(6-ethoxy-3-oxobenzo[b]thien-2-(3H)-ylidene)benzo[b]thiophen-3-(2H)-one	73335	3263-31-8	–	–	–	73.3123

(Continued)

Color	21 CFR References					
	Color Index Number	CAS Number	Food	Drug	Cosmetic	Medical Devices
Ferric ammonium citrate	–	1185-57-5	–	73.1025	–	–
Ferric ammonium ferrocyanide	77510	25869-00-5	–	73.1298	73.2298	–
Ferric ferrocyanide	77510	14038-43-8	–	73.1299	73.2299	–
Ferrous gluconate	–	299-29-6	73.160	–	–	–
Ferrous lactate	–	5905-52-2	73.165	–	–	–
Fruit juice	–	–	73.250	–	–	–
Grape color extract	–	–	73.169	–	–	–
Grape skin extract	–	–	73.170	–	–	–
Guaiazulene	–	489-84-9	–	–	73.2180	–
Guanine	75170	68-94-0	–	73.1329	73.2329	–
Hypoxanthine	77662	73-40-5	–	–	–	–
Henna	75480	83-72-7	–	–	73.2190	–
Iron oxides, synthetic	77491 (Red) 77492 (Yellow) 77499 (Black)	1309-37-1 51274-00-1 12227-89-3	73.200	73.1200	73.2250	73.3125
Lead acetate	–	6080-56-4	–	–	73.2396	–
Logwood extract	75290	8005-33-2	–	73.1410	–	–
Manganese violet	77742	10101-66-3	–	–	73.2775	–
Mica	77019	12001-26-2	–	73.1496	73.2496	–
Mica-based pearlescent pigment	–	–	73.350	73.1350	–	73.3128
Paprika	–	–	73.340	–	–	–
Paprika oleoresin	–	8023-77-6	73.345	–	–	–
Phaffia yeast	–	–	73.355	–	–	–
Potassium sodium copper chlorophyllin	75180	–	–	73.1125	73.2125	–
Phthalocyanine green	74260	1328-53-6	–	–	–	73.3124
Poly(hydroxyethyl methacrylate)-dye copolymers	–	–	–	–	–	73.3121
Pyrogallol	76515	87-66-1	–	73.1375	–	–
Pyrophyllite	44004	8047-76-5	–	73.1400	73.2400	–
Riboflavin	–	83-88-5	73.450	–	–	–
Saffron	–	42553-65-1	–	–	–	–
Silver	77820	7440-22-4	–	–	73.2500	–
Sodium copper chlorophyllin	75815	28302-36-5	73.125	–	–	–
Tagetes Meal and Extract	75125	–	73.295	–	–	–
Talc	77019	14807-96-6	–	73.1550	–	–
Toasted cotton seed meal	–	–	73.140	–	–	–
Titanium dioxide	77891	13463-67-7	73.575	73.1575	73.2575	73.3126
Tomato Lycopene Extract and Concentrate	–	–	73.585	–	–	–
Turmeric	75300	458-37-7	73.600	–	–	–
Turmeric oleoresin	75300	458-37-7	73.615	–	–	–
Ultramarine blue	77007	57455-37-5	73.50	–	73.2725	–
Ultramarine green	77013	–	–	–	73.2725	–
Ultramarine pink	77007	127-96-9	–	–	73.2725	–
Ultramarine red	77007	127-96-9	–	–	73.2725	–
Ultramarine violet	77007	127-96-9	–	–	73.2725	–
Vegetable juice	–	–	73.260	–	–	–
Vinyl alcohol/methyl methacrylate dye reaction products	–	–	–	–	–	73.3127
Zinc oxide	77947	1314-13-2	–	73.1991	73.2991	–
Luminescent zinc sulfide	–	–	–	–	73.2995	–

^a Based on 21 CFR 2007. Restrictions may exist limiting the use of some of these colors to specific applications (i.e., external drug use only, etc.). Additionally, there may be quantitative limits for the use of some colors. The specific 21 CFR reference for each color should be reviewed to determine potential restriction status.

Provisionally Listed Color Additives Subject to U.S. Certification^a

Color	Common Name	Color Index Number	CAS Number	21 CFR References		
				Food	Drug	Cosmetic
FD&C Lakes	Lakes	See Individual Color	See Individual Color	82.51	82.51	82.51
D&C Lakes	Lakes	See Individual Color	See Individual Color		82.1051	82.1051
Ext. D&C Lakes	Lakes	See Individual Color	See Individual Color		82.2051	82.2051
FD&C Blue No. 1 Lake	Brilliant Blue FCF	42090:2	68921-42-6	82.101	82.101	82.101
FD&C Blue No. 2 Lake	Indigotine	73015:1	16521-38-3	82.102	82.102	82.102
D&C Blue No. 4 Lake	Alphazurine FG	42090	6371-85-3	–	82.1104	82.1104
FD&C Green No. 3 Lake	Fast Green FCF	42053	2353-45-9	82.203	82.203	82.203
D&C Green No. 5 Lake	Alizarin Cyanine Green F	61575	4403-90-1	–	82.1205	82.1205
D&C Green No. 6 Lake	Quinizarine Green SS	61565	128-80-3	–	82.1206	82.1206
D&C Orange No. 4 Lake	Orange II	15510:2	633-96-5	–	82.1254	82.1254
D&C Orange No. 5 Lake	Dibromofluorescein	45370:2	596-03-2	–	82.1255	82.1255
D&C Orange No. 10 Lake	Diiodofluorescein	45425:2	38577-97-8	–	82.1260	82.1260
D&C Orange No. 11 Lake	Erythrosine Yellowish Na	45425:2	38577-97-8	–	82.1261	81.1261
FD&C Red No. 4 Lake	Ponceau SX	14700	4548-53-2	82.304	82.304	82.304
D&C Red No. 6 Lake	Lithol Rubin B	15850:2	17852-98-1	–	82.1306	82.1306
D&C Red No. 7 Lake	Lithol Rubin B Ca	15850:1	5281-04-9	–	82.1307	82.1307
D&C Red No. 17 Lake	Toney Lake	26100	85-86-9	–	82.1317	82.1317
D&C Red No. 21 Lake	Tetrabromofluorescein	45380:3	15086-94-9	–	82.1321	82.1321
D&C Red No. 22 Lake	Eosine	45380:3	17372-87-1	–	82.1322	82.1322
D&C Red No. 27 Lake	Tetrachlorotetrabromofluorescein	45410:2	13473-26-2	–	82.1327	82.1327
D&C Red No. 28 Lake	Phloxine B	45410:2	18472-87-02	–	82.1328	82.1328
D&C Red No. 30 Lake	Helindone Pink CN	73360	2379-74-0	–	82.1330	82.1330
D&C Red No. 31 Lake	Brilliant Lake Red R	15800:1	6371-76-2	–	82.1331	82.1331
D&C Red No. 33 Lake	Acid Fuchsine	17200	3567-66-6	–	82.1333	82.1333
D&C Red No. 34 Lake	Lake Bordeaux B	15880:1	6417-83-0	–	82.1334	82.1334
D&C Red No. 36 Lake	Flaming Red	12085	2814-77-9	–	82.1336	82.1336
D&C Violet No. 2 Lake	Alizuroil Purple SS	60725	81-48-1	–	82.1602	82.1602
FD&C Yellow No. 5 Lake	Tartrazine	19140:1	12225-21-7	82.705	82.705	82.705
FD&C Yellow No. 6 Lake	Sunset Yellow FCF	15985:1	15790-07-5	82.706	82.706	82.706
D&C Yellow No. 7 Lake	Fluorescein	45350:1	2321-07-5	–	82.1707	82.1707
Ext. D&C Yellow No. 7 Lake	Naphthol Yellow S	10316	846-70-8	–	82.2707a	82.2707a
D&C Yellow No. 8 Lake	Uranine	45350	518-47-8	–	82.1708	82.1708
D&C Yellow No. 10 Lake	Quinoline Yellow WS	47005:1	68814-04-0	–	82.1710	82.1710

^a Based on 21 CFR 2007. Restrictions may exist limiting the use of some of these colors to specific applications (i.e., external drug use only, etc.). Additionally, there may be quantitative limits for the use of some colors. The specific 21 CFR reference for each color should be reviewed to determine potential restriction status.

List of Permanently Listed Color Additives Subject to U.S. Certification^a

Color	Common Name	Color Index		21 CFR References			
		Number	CAS Number	Food	Drug	Cosmetic	Medical Devices
D&C Black No. 2	Carbon Black	77266	1333-86-4	–	–	74.2052	–
D&C Black No. 3	Bone Black	77267	8021-99-6	–	–	74.2053	–
FD&C Blue No. 1	Brilliant Blue FCF	42090	2650-18-2	74.101	74.1101	74.2101	–
FD&C Blue No. 2	Indigotine	73015	860-22-0	74.102	74.1102	–	74.3102
D&C Blue No. 4	Alphazurine FG	42090	6371-85-3	–	74.1104	74.2104	–
D&C Blue No. 6	Indigo	73000	482-89-3	–	–	–	74.3106
D&C Blue No. 9	Indanthrene Blue	69825	130-20-1	–	74.1109	–	–
D&C Brown No. 1	Resorcin Brown	20170	1320-07-6	–	–	74.2151	–
FD&C Green No. 3	Fast Green FCF	42053	2353-45-9	74.203	74.1203	74.2203	–
D&C Green No. 5	Alizarin Cyanine Green F	61570	4403-90-1	–	74.1205	74.2205	–
D&C Green No. 6	Quinizarine Green SS	61565	128-80-3	–	74.1206	74.2206	74.3206
D&C Green No. 8	Pyranine Concentrated	59040	63-58-69-6	–	74.1208	74.2208	–
Orange B	–	19235	–	74.250	–	–	–
D&C Orange No. 4	Orange II	15510	633-96-5	–	74.1254	74.2254	–
D&C Orange No. 5	Dibromofluorescein	45370:1	596-03-2	–	74.1255	74.2255	–
D&C Orange No. 10	Diiodofluorescein	45425:1	38577-97-8	–	74.1260	74.2260	–
D&C Orange No. 11	Erythrosine Yellowish Na	45425	38577-97-8	–	74.1261	74.2261	–
[Phthalocyaninato (2-)] Copper	Copper Phthalocyanine	74160	147-14-8	–	–	–	74.3045
FD&C Red No. 3	Erythrosine	45430	16423-68-0	74.303	74.1303	–	–
FD&C Red No. 4	Ponceau SX	14700	4548-53-2	–	74.1304	74.2304	–
D&C Red No. 6	Lithol Rubin B	15850	5858-81-1	–	74.1306	74.2306	–
D&C Red No. 7	Lithol Rubin B Ca	15850:1	4/9/5281	–	74.1307	74.2307	–
D&C Red No. 17	Toney Red	26100	85-86-9	–	74.1317	74.2317	74.3230
D&C Red No. 21	Tetrabromofluorescein	45380:2	15086-94-9	–	74.1321	74.2321	–
D&C Red No. 22	Eosine	45380	17372-87-1	–	74.1322	74.2322	–
D&C Red No. 27	Tetrachlorotetrabromofluorescein	45410:1	13473-26-2	–	74.1327	74.2327	–
D&C Red No. 28	Phloxine B	45410	18472-87-2	–	74.1328	74.2328	–
D&C Red No. 30	Helindone Pink CN	73360	2379-74-0	–	74.1330	74.2330	–
D&C Red No. 31	Brilliant Lake Red R	15800:1	6371-76-2	–	74.1331	74.2331	–
D&C Red No. 33	Acid Fuchsine	17200	3567-66-6	–	74.1333	74.2333	–
D&C Red No. 34	Lake Bordeaux B	15880:1	6417-83-0	–	74.1334	74.2334	–
D&C Red No. 36	Flaming Red	12085	2814-77-9	–	74.1336	74.2336	–
D&C Red No. 39	Alba Red	13058	6371-55-7	–	74.1339	–	–
FD&C Red No. 40	Allura Red AC	16035	25956-17-6	74.340	74.1340	74.2340	–
FD&C Red No. 40 Lake	Allura Red AC	16035:1	68583-95-9	74.340	74.1340	74.2340	–
Citrus Red No. 2	–	12156	6358-53-8	74.302	–	–	–
D&C Violet No. 2	Alizuroil Purple SS	60725	81-48-1	–	74.1602	74.2602	74.3602
Ext. D&C Violet No. 2	Alizarin Violet	60730	4430-18-6	–	–	74.2602a	–
FD&C Yellow No. 5	Tartrazine	19140	1934-21-0	74.705	74.1705	74.2705	–
FD&C Yellow No. 6	Sunset Yellow FCF	15985	2783-94-0	74.706	74.1706	74.2706	–
D&C Yellow No. 7	Fluorescein	45350:1	7/5/2321	–	74.1707	74.2707	–
Ext. D&C Yellow No. 7	Naphthol Yellow S	10316	846-70-8	–	74.1707a	74.2707a	–
D&C Yellow No. 8	Uranine	45350	518-47-8	–	74.1708	74.2708	–
D&C Yellow No. 10	Quinoline Yellow WS	47005	8004-92-0	–	74.1710	74.2710	74.3710
D&C Yellow No. 11	Quinoline Yellow SS	47000	8003-22-3	–	74.1711	74.2711	–

^a Based on 21 CFR 2007. Restrictions may exist limiting the use of some of these colors to specific applications (i.e., external drug use only, etc.). Additionally, there may be quantitative limits for the use of some colors. The specific 21 CFR reference for each color should be reviewed to determine potential restriction status.

Another choice confronting manufacturers is whether to use an aqueous coating or an organic coating system; both have their advantages and disadvantages. While organic coatings provide greater protection against moisture uptake during the coating process (important for moisture-sensitive ingredients) and are easier to apply because of the fast evaporation of solvents, problems encountered with these coatings include environmental control of organic solvents going into the atmosphere, the need to perform solvent residue tests, and the need to have explosion-proof facilities; thus, aqueous coating systems are often preferred.

CELLULOSE BASED

Cellulose acetate phthalate (CAP).

Caution: Check with regulatory authorities about the approval status of all dyes before using them.

HYDROXYPROPYL METHYLCELLULOSE (METHOCEL, HPMC) AQUEOUS COATINGS

Methocel-based coatings in an aqueous base are the most popular coating options; two methods of making solutions are possible.

If a lake is used, then alcohol is also included (see, for example, Holberry Red).

A. BRITE ROSE

Bill of Materials

Scale (%, w/v)	Item	Material Name	Quantity/L
6.00	1	Hydroxypropyl methylcellulose 2910 (15 cps)	60.00 g
2.00	2	PEG-400 (low color)	20.00 g
2.00	3	PEG-8000	20.00 g
0.25	4	FD&C Red No. 30 Lake	2.50 g
2.00	5	Titanium dioxide (special coating grade)	20.00 g
QS	6	Deionized purified water	QS to 1 L

Manufacturing Directions

- Place 250 mL of water into a suitable container, and heat to 60°C to 70°C.
- With gentle stirring, disperse the hydroxypropyl methylcellulose onto the hot water; when the cellulose has wetted, quickly add 250 mL of cold water.
- Stir until the dispersion is homogeneous, although the solution of cellulose may not be complete.
- Dissolve PEG-8000 in 50 mL of water, and then add to the preceding step.
- Add PEG-400 to the preceding basic solution.
- Load a suitable-size ball jar with the FD&C Red No. 30 and titanium dioxide.

- Add sufficient water to cover the pigment and balls.
- Mill overnight or for 12 hours.
- Other pigment reduction methods may be used to yield a particle size not greater than 1.0 µm.
- Add milled pigments to the base solution from the preceding step, and bring the volume up with cold water.
- Use within 7 days.

B. CHERRY RED

Bill of Materials

Scale (%, w/v)	Item	Material Name	Quantity/L
6.00	1	Hydroxypropyl methylcellulose 2910 (15 cps)	60.00 g
2.00	2	PEG-400 (low color)	20.00 g
2.00	3	PEG-8000	20.00 g
1.80	4	FD&C Red No. 3 Lake	18.00 g
0.10	5	FD&C Red No. 2 (Amaranth)	1.00 g
2.10	6	Titanium dioxide (special coating grade)	21.00 g
QS	7	Deionized purified water, USP	QS to 1 L

C. GERANIUM ROSE

Bill of Materials

Scale (%, w/v)	Item	Material Name	Quantity/L
6.00	1	Hydroxypropyl methylcellulose 2910 (15 cps)	60.00 g
2.00	2	PEG-400 (low color), NF	20.00 g
2.00	3	PEG-8000	20.00 g
0.24	4	FD&C Red No. 3 Lake	2.00 g
QS	5	Deionized purified water, USP	QS to 1 L

D. GLOSS

Bill of Materials

Scale (%, w/v)	Item	Material Name	Quantity/L
3.33	1	Hydroxypropyl methylcellulose 2910 (15 cps)	33.33 g
1.66	2	PEG-400 (low color), NF	16.66 g
QS	3	Deionized purified water, USP	QS to 1 L

E. RED

Bill of Materials			
Scale (%, w/v)	Item	Material Name	Quantity/L
6.00	1	Hydroxypropyl methylcellulose 2910 (15 cps)	60.00 g
2.00	2	PEG-400 (low color), NF	20.00 g
2.00	3	PEG-8000	20.00 g
.50	4	FD&C Red No. 3 Lake	25.00 g
0.50	5	Titanium dioxide	5.00 g
QS	6	Deionized purified water, USP	QS to 1 L

F. MODERATE RED

Bill of Materials			
Scale (%, w/v)	Item	Material Name	Quantity/L
6.00	1	Hydroxypropyl methylcellulose 2910 (15 cps)	60.00 g
2.00	2	PEG-400 (low color), NF	20.00 g
2.00	3	PEG-8000	20.00 g
0.50	4	FD&C Yellow No. 3 Aluminum Lake	5.00 g
2.50	5	Ponceau Red 4R Lake	25.00 g
1.00	6	Titanium dioxide (special coating grade), USP	10.00 g
QS	7	Deionized purified water, USP	QS to 1 L

G. CLEAR

Bill of Materials			
Scale (%, w/v)	Item	Material Name	Quantity/L
6.00	1	Hydroxypropyl methylcellulose 2910 (15 cps)	60.00 g
0.10	2	Sorbic acid	1.00 g
2.00	3	Alcohol (200 proof), SD 3A	20.00 mL
2.00	4	PEG-400 (low color) ^a	20.00 g
2.00	5	PEG-8000 (optional)	20.00 g
QS	6	Deionized purified water	QS to 1 L

^a Increase amount to 6.00 if item 5 is not used.

Manufacturing Directions

1. Place approximately 500 mL of water into a suitable vessel.
2. Heat water to 65°C to 70°C.
3. Add the PEG-8000 to the hot water and dissolve (if used).

4. While maintaining gentle agitation, sprinkle the hydroxypropyl methylcellulose onto the surface of the hot water solution.
5. Position stirring head to avoid excessive entrainment of air.
6. When the cellulose has been dispersed, add the PEG-400.
7. Continue to stir until dispersion is homogeneous, although solution of cellulose may not be complete.
8. Stop stirring, and allow solution to stand until entrained air is removed.
9. Dissolve sorbic acid in alcohol, and ensure that the solution is complete.
10. When the solution from the step above is clear, add 250 mL of cold water, mix well, and add sorbic acid solution.
11. Mix, then bring up to volume with cold water.
12. Store coating solution in well-filled, well-sealed containers.
13. Use within 3 months.

H. GREEN

Bill of Materials			
Scale (%, w/v)	Item	Material Name	Quantity/L
6.00	1	Hydroxypropyl methylcellulose 2910 (15 cps)	60.00 g
0.10	2	Sorbic acid	1.00 g
2.00 v/v	3	Alcohol (200 proof), SD 3A	20.00 mL
2.00	4	PEG-400 (low color)	20.00 g
2.00	5	PEG-8000	20.00 g
1.00	6	Titanium dioxide (coating grade)	10.00 g
0.01	7	Yellow E104 Aluminum Lake	0.10 g
0.0032	8	FD&C Blue No. 1 Lake (11–13%)	0.032 g
QS	9	Deionized purified water	QS to 1 L

I. HOLBERRY RED

Bill of Materials			
Scale (%, w/v)	Item	Material Name	Quantity/L
6.00	1	Hydroxypropyl methylcellulose 2910 (15 cps)	60.00 g
0.10	2	Sorbic acid	1.00 g
2.00 v/v	3	Alcohol (200 proof), SD 3A	20.00 mL
2.00	4	PEG-400 (low color)	20.00 g
2.00	5	PEG-8000	20.00 g
1.00	6	Titanium dioxide (coating grade)	10.00 g
1.50	7	FD&C Red No. 40 Lake (29%)	15.00 g
0.50	8	FD&C Blue No. 3 Lake	5.00 g
QS	9	Deionized purified water	QS to 1 L

J. SUN ORANGE

Bill of Materials			
Scale (% w/v)	Item	Material Name	Quantity/L
6.00	1	Hydroxypropyl methylcellulose 2910 (15 cps)	60.00 g
0.17	2	Sorbic acid, NF	1.70 g
2.00 v/v	3	Alcohol (200 proof), SD 3A	20.00 mL
2.00	4	PEG-400 (low color), NF	20.00 g
2.00	5	PEG-8000	20.00 g
2.38	6	Titanium dioxide (coating grade), USP	23.80 g
2.47	7	FD&C Yellow No. 5	24.70 g
0.16	8	FD&C Yellow No. 6	1.60 g
QS	9	Deionized purified water, USP	QS to 1 L

K. OPADRY YELLOW

Bill of Materials			
Scale (mg/ caplet)	Item	Material Name	Quantity/ 1000 Caplets (g)
10.00	1	Hydroxypropyl methylcellulose (hypromellose)	10.00
4.00	2	Talc (fine powder)	4.00
1.60	3	PEG-4000	1.60
1.20	4	Titanium dioxide	1.20
0.30	5	FD&C Blue No. 1 Lake	0.30
0.50	6	FD&C Blue No. 2 (dispersed)	0.50
0.75	7	Opadry® OY-S-29019 (clear)	0.75
QS	8	Purified water	225.00

Manufacturing Directions

1. The formula for this coating solution is prepared to obtain a weight gain of 10 mg per caplet (around 600 mg in weight).
2. Disperse item 1 in 175 g of purified water (70°C–80°C) while stirring.
3. Hold overnight for complete dispersion.
4. Disperse items 2 and 3 in 25 g of purified water (25°C–30°C).
5. Hold overnight for complete hydration.
6. Add mixture from previous step.
7. Homogenize using a homogenizer (gap setting = 1.5 mm).

8. Homogenize items 4, 5, and 6 in 50 g of hypromellose dispersion from the preceding step twice, using a homogenizer (gap setting = 1.5 mm).
9. Pass the dispersion twice through a 90 µm sieve.
10. (*Note:* This is a critical step; follow instructions closely to prevent foreign particles and spots.) Preparation of polishing solution: Disperse item 7 in 25 g of purified water with slow stirring.
11. Make a vortex by slow stirring and add the powder in such a way as to avoid foam formation.

L. OPADRY YELLOW

Bill of Materials			
Scale (mg/ tablet)	Item	Material Name	Quantity/1000 Tablets (g)
10.00	1	Hydroxypropyl methylcellulose (hypromellose)	10.00
4.00	2	Talc (fine powder)	4.00
1.60	3	PEG-4000	1.60
1.34	4	Titanium dioxide	1.34
0.046	5	Sunset Yellow E110, FCF	0.046
1.34	6	FD&C Yellow No. 10 Lake	1.34
0.75	7	Opadry® OY-S-29019 (clear)	0.75
QS	8	Purified water	225.00

M. OPADRY RED

Bill of Materials			
Scale (mg/ caplet)	Item	Material Name	Quantity/1000 Caplets (g)
10.00	1	Hydroxypropyl methylcellulose (hypromellose)	10.00
4.00	2	Talc (fine powder)	4.00
1.60	3	PEG-4000	1.60
1.34	4	Titanium dioxide	1.34
0.15	5	Iron oxide red	0.15
0.75	6	Opadry® OY-S (clear)	0.75
QS	7	Purified water	225.00

N. OPADRY GREEN

Bill of Materials			
Scale (mg/caplet)	Item	Material Name	Quantity/1000 Caplets (g)
10.00	1	Hydroxypropyl methylcellulose (hypromellose)	10.00
4.00	2	Talc (fine powder)	4.00
1.60	3	PEG-4000	1.60
2.125	4	Titanium dioxide	2.125
0.053	5	FD&C Blue No. 1 Lake	0.053
0.15	6	FD&C Yellow No. 10 Lake	0.15
0.75	7	Opadry® OY-S (clear)	0.75
QS	8	Purified water	225.00

Manufacturing Directions

- Disperse item 1 in 175 g of purified water (70°C–80°C) while stirring.
- Keep overnight for complete dispersion.
- Disperse items 2 and 3 in 25 g of purified water (25°C–30°C).
- Keep overnight for complete hydration.
- Add together and homogenize using homogenizer (gap setting = 1.5 mm).
- Homogenize items 4, 5, and 6 in 50 g of hypromellose dispersion twice, using homogenizer (gap setting = 1.5 mm).
- Pass the dispersion twice through a 90 µm sieve.
- (*Note:* This is a critical step; follow instructions closely to prevent foreign particles and spots.) Disperse item 7 in 25 g of purified water while stirring slowly.
- Make a vortex by slow stirring, and add the powder in such a way as to avoid foam formation.
- Follow the parameters for coating in Accela Cota:

Caplet load	620 g
Pan speed	4 rpm
Drying air temperature	70°C–75°C
Exhaust temperature	50°C–55°C
Fluid pressure	15–20 psi
Valve on spray gun	One revolution open
Atomizing pressure	55 psi
Nozzle orifice	1 mm
Nozzle distance to bed	250–280 mm
Difference of air pressure	–1.0 to –1.5 cm
Spray rate	200–225 g/min
Coating time	3.0–3.5 hours

- Stir the dispersion at slow speed (6–10 rpm) continuously.
- Spray the polishing solution under the same conditions as before, adjusting the spray rate to 180 g/min.

- Check the caplet surface every 5 minutes for sticking.
- If sticking tends to appear, stop the coating immediately.
- When the spraying is over, roll the tablets in a pan for 10 minutes with cold air blowing onto the caplets.
- Unload the film-coated caplets into stainless steel containers lined with polyethylene bags.
- Appearance is a light green, film-coated caplet that is smooth, with no sticking or chipping on the caplet surface.
- Weight gain per caplet is NLT 10 mg/tablet.

O. WHITE COATING

Bill of Materials			
Scale (mg/tablet)	Item	Material Name	Quantity/1000 Tablets (g)
22.75	1	Hypromellose	22.75
4.54	2	Polyethylene glycol	4.54
12.50	3	Talc (fine powder)	12.50
10.00	4	Titanium dioxide	10.00
1.30	5	FD&C Yellow No. 10 Lake	1.30
—	6	Purified water	~24.00
—	7	Ethanol (95%)	~21.00

HYDROXYPROPYL METHYLCELLULOSE OPAQUE ORGANIC COATING**A. BRITE GREEN**

Bill of Materials			
Scale (% w/v)	Item	Material Name	Quantity/L (g)
1.00	1	Titanium dioxide	10.00
50.00 w/v	2	Alcohol (200 proof), SD 3A	~397.00
1.69	3	PEG-400 (low color), NF	16.90
0.02	4	FD&C Yellow No. 5	0.20
0.0068	5	FD&C Blue No. 1	0.068
4.00	6	Hydroxypropyl methylcellulose 2910 (15 cps)	40.00
QS	7	Methylene chloride	~625.00

Manufacturing Directions

- Place titanium dioxide and QS with alcohol into a ball mill.
- Mill the material for 16 hours.
- Place 465 mL alcohol into a suitable mixing tank.
- Start agitation.
- Slowly add PEG-400 to mixing tank.
- Mix for 5 minutes.
- Add FD&C Yellow to the mixing tank with continued agitation.

8. Rinse bottle with alcohol tapped from mixing tank.
9. Return rinse to mixing tank.
10. Add FD&C Blue to the mixing tank, and rinse.
11. Mix for 2 hours.
12. Tap approximately 10 mL of solution from mixing tank after 0.5, 1, and 1.5 hours of mixing.
13. Put solution back into mixing tank. (*Note:* Tapping solution ensures that dye is not tapped into lower valve and/or pipeline.) Rinse the ball mill with two rinses of 11.6 mL alcohol.
14. Reseal the ball mill, and allow it to run for 2 to 5 minutes between rinses.
15. Empty content of the ball mill and rinses into mixing tank.
16. Slowly sprinkle hydroxypropyl methylcellulose into mixing tank with constant agitation.
17. Agitate for an additional 15 minutes. (*Note:* Prevent the development of lumps by slowly sprinkling hydroxypropyl methylcellulose into the alcohol.) After mixing for 10 minutes, tap approximately 10 mL from the mixing tank, and put back into tank to recirculate.
18. Add sufficient methylene chloride (~474 mL) to bring up to volume.
19. Continue agitation for 2 hours.
20. After 0.5, 1, and 1.5 hours, tap approximately 10 mL of solution from mixing tank and put back into mixing tank to recirculate.
21. (*Note:* No residue should be present in the solution when tapped at 1.5 hours; if some is present, then continue agitation and tap every 15 minutes until no residue is observed.) (*Caution:* Avoid contact with methylene chloride and vapors; they may have toxic effects when swallowed or inhaled.) (*Note:* Nitrogen pressure may be used to assist bottle filling.) Strain mixing tank contents through two-ply cheesecloth, or similar, into suitable approved containers (one-half the total number of bottles). (*Note:* Lumps may obstruct spray nozzle.)

B. RED MAHOGANY

Bill of Materials			
Scale (% w/v)	Item	Material Name	Quantity/L (g)
0.40	1	Titanium dioxide	4.00
45.00 v/v	2	Alcohol (200 proof), SD 3A	~375.30
0.40	3	Vanillin (crystals)	4.00
1.00	4	Propylene glycol	10.00
1.50	5	FD&C Red No. 40 Lake (29%)	15.00
1.00	6	Dye Brown lake blend	10.00
4.00	7	Hydroxypropyl methyl cellulose 2910 (15 cps)	40.00
QS	8	Methylene chloride	~530.40

C. SUN ORANGE

Bill of Materials			
Scale (%)	Item	Material Name	Quantity/L (g)
3.00 (w/v)	1	Titanium dioxide	30.00
50.00 (v/v)	2	Alcohol (200 proof), SD 3A	~397.00
2.11 (w/v)	3	Propylene glycol	21.10
3.11 (w/v)	4	FD&C Yellow No. 5	31.10
0.20 (w/v)	5	FD&C Yellow No. 6	2.00
4.00 (w/v)	6	Hydroxypropyl methylcellulose 2910 (15 cps)	40.00
QS	7	Methylene chloride	~625.00

D. DARK RED

Bill of Materials			
Scale (% w/v)	Item	Material Name	Quantity/L (g)
1.00	1	Titanium dioxide	10.00
20.00 v/v	2	Alcohol (200 proof), SD 3A	~200.00 mL
2.00	3	PEG-400 (low color)	20.00
0.02	4	Ponceau 4R dye (red)	20.00
0.0068	5	FD&C Blue No. 1	0.068
2.95	6	Hydroxypropyl methylcellulose 2910 (15 cps)	29.50
QS	7	Methylene chloride	QS to 1 L

E. DEEP YELLOW

Bill of Materials			
Scale (% w/v)	Item	Material Name	Quantity/L
2.00	1	Titanium dioxide	20.00 g
50.00	2	Alcohol (200 proof), SD 3A	~397.00 g
2.00	3	PEG-400 (low color)	20.00 g
2.00	4	FD&C Yellow No. 5 Lake	20.00 g
2.95	5	Hydroxypropyl methylcellulose 2910 (15 cps)	29.50 g
QS	6	Methylene chloride	QS to 1 L

F. PALE YELLOW

Bill of Materials			
Scale (% w/v)	Item	Material Name	Quantity/L
1.50	1	Titanium dioxide	15.00 g
50.00	2	Alcohol (200 proof), SD 3A	~397.00 g
2.00	3	PEG-400 (low color), NF	20.00 g
0.50	4	FD&C Yellow No. 10 Aluminum Lake (14–17%)	5.00 g
2.95	5	Hydroxypropyl methylcellulose 2910 (15 cps)	29.50 g
QS	6	Methylene chloride	QS to 1 L

G. SCARLET RED

Bill of Materials			
Scale (% w/v)	Item	Material Name	Quantity/L
2.00	1	Titanium dioxide	20.00 g
20.00	2	Alcohol (200 proof), SD 3A	~200.00 g
2.00	3	PEG-400 (low color), NF	20.00 g
2.00	4	FD&C Yellow No. 7 Lake	20.00 g
1.00	5	FD&C Yellow No. 5 Lake	10.00 g
2.95	6	Hydroxypropyl methylcellulose 2910 (15 cps)	29.50 g
QS	7	Methylene chloride	QS to 1 L

**HYDROXYPROPYL METHYLCELLULOSE/
HYDROXYPROPYL CELLULOSE
(KLUCEL®) COATING****A. WHITE**

Bill of Materials			
Scale (% w/v)	Item	Material Name	Quantity/L
2.00	1	Titanium dioxide	20.00 g
0.50	2	Hydroxypropyl cellulose, NC	5.00 g
45.00	3	Alcohol (200 proof), SD 3A	~450.00 g
2.00	4	Propylene glycol	20.00 g
4.50	5	Hydroxypropyl methylcellulose 2910 (15 cps)	45.00 g
QS	6	Methylene chloride	QS to 1 L

Manufacturing Directions

1. Place titanium dioxide and sufficient methylene chloride into suitably sized ball jars to cover the balls.
2. Mill for not less than 16 hours.
3. While mixing alcohol, add and disperse hydroxypropyl methylcellulose, hydroxypropyl cellulose, and propylene glycol, followed by 250 mL of methylene chloride.
4. Continue mixing until the dissolution is complete.
5. While mixing the solution from the second step, empty into it the contents of the ball jar, rinse the balls and jar with methylene chloride, add the rinsing to the batch, and mix.
6. Bring the batch up to volume with methylene chloride, and mix well until homogeneous.
7. Strain the batch through muslin into suitable, approved bottles.
8. Seal and store.

**HYDROXYPROPYL METHYLCELLULOSE/
ETHYLCELLULOSE COATING****A. REDDISH ORANGE OPAQUE**

Bill of Materials			
Scale (% w/v)	Item	Material Name	Quantity/L
1.16	1	Titanium dioxide	11.60 g
45.00	2	Alcohol (dehydrated; 200 proof)	~450.00 g
0.20	3	Vanillin (crystals), NF	2.00 g
0.50	4	Albumen powder (white hen egg)	5.00 g
2.00	3	PEG-400 (low color), NF	20.00 g
1.30	4	FD&C Red No. 3	13.00 g
0.05	5	FD&C Red No. 2 (Amaranth), USP	0.50 g
0.20	6	FD&C Yellow No. 6	2.00 g
2.95	5	Hydroxypropyl methylcellulose 2910, USP (15 cps)	29.50 g
QS	6	Methylene chloride	QS to 1 L

Manufacturing Directions

1. Load vanillin, albumen, titanium dioxide, FD&C Red No. 3, FD&C Red No. 2, and FD&C Yellow No. 6 into a suitable-size ball jar.
2. Add sufficient methylene chloride to cover the pigments and balls.
3. Mill for 24 hours.
4. Measure 400 mL of alcohol into a suitable stainless steel container.

5. Sprinkle the hydroxypropyl methylcellulose/ethylcellulose onto the surface of the alcohol while stirring vigorously.
6. When the hydroxyethyl methylcellulose/ethylcellulose has been wetted, quickly add 300 mL methylene chloride while stirring vigorously.
7. Add the PEG-400 to the solution, and rinse the container with the remaining alcohol; add the rinsings to the bulk.
8. Empty the contents of the ball jar from the first step into the coating solution from the previous step, while stirring vigorously.
9. Rinse the ball jar with methylene chloride; add the rinsings to the bulk.
10. Bring up to volume with methylene chloride.

B. SUBCOATING SOLUTION

Bill of Materials			
Scale (% w/v)	Item	Material Name	Quantity/L
45.00	1	Alcohol (190 proof), USP	450.00 mL
0.50	2	Hydroxypropyl cellulose, NF	5.00 g
4.50	3	Hydroxypropyl methylcellulose 2910, USP (15 cps)	45.00 g
QS	4	Methylene chloride	QS to 1 L

HYDROXY METHYLCELLULOSE/HYDROXY ETHYLCELLULOSE COATING

A. BLUE

Bill of Materials			
Scale (% w/v)	Item	Material Name	Quantity/L
1.00	1	Hydroxy methylcellulose	10.00 g
1.00	2	Hydroxy ethylcellulose (15 cps)	10.00 g
0.312	3	Titanium dioxide	3.21 g
1.00	4	FD&C Blue No. 1 Lake (12%)	10.00 g
0.375	5	Castor oil (odorless)	3.75 g
0.375	6	Sorbitan monooleate	3.75 g
50.00	7	Alcohol (200 proof), SD 3A	500.00 mL
QS	8	Methylene chloride	QS to 1 L

Manufacturing Directions

1. Premix hydroxypropyl methylcellulose and hydroxypropyl cellulose, and add to 440 mL alcohol with rapid agitation.
2. Mix for not less than 1 hour.
3. Place FD&C Blue dye and titanium dioxide into a ball mill.
4. Cover the balls and materials with 60 mL of alcohol, and mill for 16 hours.
5. Add contents to mixing tank, and add the castor oil and sorbitan monooleate.
6. Rinse the ball mill with methylene chloride, and add the rinsings to the mixing tank.
7. Bring up to a volume of 1 L with methylene chloride, and mix for at least 1 hour.

B. CLEAR (50:50)

Bill of Materials			
Scale (% w/v)	Item	Material Name	Quantity/L
1.00	1	Hydroxy methylcellulose	10.00 g
1.00	2	Hydroxy ethylcellulose, USP (15 cps)	10.00 g
0.375	3	Castor oil (odorless)	3.75 g
50.00	4	Alcohol (200 proof), SD 3A	500.00 mL
QS	5	Methylene chloride	QS to 1 L

HYDROXY METHYLCELLULOSE/HYDROXY ETHYLCELLULOSE COATING

A. CLEAR

Bill of Materials			
Scale (% w/v)	Item	Material Name	Quantity/L
1.00	1	Hydroxy methylcellulose	10.00 g
1.00	2	Hydroxy ethylcellulose, USP (15 cps)	10.00 g
0.375	3	Castor oil (odorless), USP	3.75
50.00	4	Alcohol (200 proof), SD 3A	500.00 mL
QS	5	Methylene chloride	QS to 1 L

Manufacturing Directions

1. Place alcohol into mixing tank.
2. Turn on mixer to mixing speed; maintain mixing speed throughout preparation of coating solution.
3. Place hydroxy methylcellulose and hydroxy ethylcellulose into the mixing tank.
4. Let mix for 1 hour.

- Add methylene chloride (~500 mL) to bring the final volume up to 1 L.
- Mix for 1 hour.
- Solution need not be agitated at all times.
- Keep tank tightly closed at all times.
- Rubber stoppers on bottles must be protected from methylene chloride with a polyethylene layer.

POLYVINYLPIRROLIDONE (PVP) COATINGS

A. SUBCOATING

Bill of Materials			
Scale (% w/v)	Item	Material Name	Quantity/L
20.00	1	Povidone USP K-29-32 ^a	200.00 g
80.00	2	Alcohol (200 proof), SD 3A	800 mL

^aMay be substituted with Kollidon® VA 64 (polyvinylpyrrolidone/vinylacetate copolymer; 10%), and item 2 can be replaced with isopropyl alcohol.

Manufacturing Directions

- Spray the solution onto the warm tablet cores (30°C–40°C) for a few minutes before continuing with the main aqueous coating procedure.
- The amount of 0.4 mg/cm² tablet surface is sufficient for good subcoating protection.
- No plasticizer is needed in this formulation due to the plasticity of Kollidon® VA 64.

B. KOLLIDON® VA 64 (POLYVINYLPIRROLIDONE/ VINYLACETATE COPOLYMER, BASF)

Bill of Materials			
Scale (% w/w)	Item	Material Name	Quantity/kg
5.00	1	Kollidon® VA 64	50.00 g
4.00	2	Lutrol E 6000	40.00 g
0.50	3	Glycerin, USP	5.00 g
1.50	4	Iron oxide or lake	15.00 g
3.00	5	Titanium dioxide	30.00 g
5.00	6	Talc	50.00 g
QS	7	Purified water	QS to 1 L

Manufacturing Directions

Pass the suspension through a disk mill prior to use and spray under the following conditions.

SUGAR-COATING PAN

Spray gun	Walther WAXV with 1 mm nozzle
Spraying time	3 seconds
Pause	0.5 seconds
Dry air	6 seconds
Pause	3 seconds

ACCELA COTA (CONTINUOUS SPRAYING)

Spray gun	Walther WAXV with 0.8 mm nozzle
Temperature at inlet	45°C
Temperature at outlet	38°C
Spraying pressure	2 bar
Spraying time	~50 minutes

If the film is too sticky, a certain part of the Kollidon® should be substituted by HPMC or sucrose.

KOLLIDON® VA 64 AND POLYVINYL ALCOHOL

Bill of Materials			
Scale (% w/w)	Item	Material Name	Quantity/kg
5.0	1	Kollidon® VA 64	50.00 g
4.00	2	Lutrol E 6000	40.00 g
6.00	3	Polyvinyl alcohol	76.00 g
68.00	4	Purified water	680.00 g
0.50	5	Glycerin, USP	5.00 g
1.50	6	Iron oxide or lake	18.00 g
3.00	7	Titanium dioxide	37.00 g
5.00	8	Talc	50.00 g
QS	9	Purified water	168.00 g

Manufacturing Directions

- Dissolve items 1 and 2 in item 4, add polyvinyl alcohol, and stir for 45 minutes, avoiding the formation of too many air bubbles.
- Suspend the pigments and talc in 168 mL of water, and pass this mixture through a colloid mill.
- To obtain the final coating suspension, mix this solution with the first solution.
- Suggested conditions for coating using Accela Cota are as follows.

Tablet core loading	5.0 kg
Amount of coating suspension	1.26 kg
Inlet air temperature	59°C
Outlet air temperature	46°C
Nozzle	1.0 mm
Rotation speed of the pan	15 rpm
Spraying pressure	2.0 bar
Spraying rate	15 g/min
Spraying time (continuously)	83 minutes
Final drying	5 minutes
Quantity of film former applied	~3 mg/cm ²

D. KOLLIDON® 30 AND SHELLAC

Bill of Materials

Scale (% w/w)	Item	Material Name	Quantity/kg (g)
2.00	1	Kollidon® 25 or 30	20.00
17.70	2	Shellac	177.00
18.50	3	Titanium dioxide	185.00
6.50	4	Talc	65.00
1.50	5	Cetyl alcohol	15.00
3.00	6	Sorbitan trioleate	30.00
5.00	7	Color lake	50.00
QS	8	Isopropanol or alcohol	458.00

Manufacturing Directions

1. Dissolve shellac and sorbitan trioleate in the warm solvent, and then Kollidon® and cetyl alcohol.
2. Add titanium dioxide, talc, and lake, and then mix in the colloid mill.
3. Application of the coating suspension: About 50 g of suspension is applied to 1 kg of tablet cores in a conventional coating pan or in an Accela Cota pan (1–2 mg film formers/cm²).

E. KOLLIDON® VA 64 AND HYDROXYPROPYL METHYLCELLULOSE

Bill of Materials

Scale (% w/w)	Item	Material Name	Quantity/kg
4.00	1	Kollidon® VA 64	53.00 g
1.00	2	Lutrol E 6000	12.00 g
6.00	3	Hydroxypropyl methylcellulose	79.00 g
1.50	4	Iron oxide or lake	18.00 g
3.00	5	Titanium dioxide	37.00 g
4.00	6	Talc	50.00 g
QS	7	Purified water	QS to 1 kg

Manufacturing Directions

1. Dissolve Lutrol and Kollidon® in a portion of the water, add hydroxypropyl methylcellulose, and stir for 45 minutes, avoiding the formation of too many air bubbles.
2. Suspend the pigments and talc in a portion of the water, and pass this mixture through a colloid mill.
3. Mix the two portions.
4. Conditions for coating using Accela Cota are as follows.

Tablet core loading	5.0 kg
Core size	9 mm biconvex
Amount of coating suspension applied	1.2 kg
Inlet air temperature	60°C
Outlet air temperature	40°C
Nozzle	1.0 mm
Rotation speed of the pan	12 rpm
Spraying pressure	2.0 bar
Spraying rate	50 g/min
Spraying time (continuously)	34 minutes
Final drying	2 minutes
Drying after spraying	5 minutes at 60°C
Quantity of film former applied	3.14 mg/cm ²

F. POVIDONE, ETHYLCELLULOSE, AND TALC

Bill of Materials

Scale (% w/v)	Item	Material Name	Quantity/L
7.50	1	Povidone (PVP K-29–32), USP	75.00 g
4.25	2	Ethylcellulose, NF	42.50 g
0.50	3	PEG-400, NF	5.00 g
5.00	4	Talc	50.00 g
45.00	5	Alcohol (200 proof), SD 3A	450.00 mL
QS	6	Methylene chloride, NF	QS to 1 L

Manufacturing Directions

1. Dissolve Povidone in alcohol, and then add PEG-400.
2. Add ethyl cellulose to this solution.
3. Mix until evenly dispersed, and then bring up to volume with methylene chloride with constant stirring.
4. Add talc to this solution, and stir to ensure distribution.
5. Solution should be freshly prepared and used within 10 days of manufacture.
6. Thoroughly disperse talc before use.
7. If batch is more than 200 L, do not add talc.
8. If coating solution is manufactured without talc, then solution should be used within 4 weeks.

CELLULOSE ACETATE PHTHALATE AND CARBOWAX COATINGS

A. BRITE GREEN

Bill of Materials			
Scale (% w/v)	Item	Material Name	Quantity/L
6.00	1	Cellulose acetate phthalate (Carbowax™)	60.00 g
1.86	2	Propylene glycol	18.65 g
0.66	3	Sorbitan monooleate (Span 80)	6.00 g
0.12	4	Castor oil (odorless)	1.25 g
0.85	5	FD&C Blue No. 1	0.85 g
3.11	6	FD&C Yellow No. 5 Lake	31.10 g
5.33	7	Titanium dioxide	53.30 g
21.58	8	Methylene chloride	215.80 g
QS	9	Acetone	QS to 1 L

Manufacturing Directions

- Place methylene chloride in a suitably sized mixing tank.
- While stirring, add propylene glycol, Span 80, and castor oil.
- To this mixture add half of cellulose acetate phthalate, and allow to soak overnight.
- Load dyes and titanium dioxide into a suitable ball jar.
- Add sufficient acetone to cover the raw materials and balls.
- Ball mill overnight.
- Melt balance of Carbowax™ with a portion of the acetone using gentle heat.
- Add the melted Carbowax™ to the mixture from the second step.
- Empty contents of ball jar mill into this mixture.
- Rinse the ball jar with acetone, and add rinsings.
- Add acetone to volume, and mix well.
- If necessary, strain solution through gauge before storage or use.

B. CHERRY RED

In the preceding formulation, use FD&C Red No. 3 (6.800 g), FD&C Red No. 2 (Amaranth, USP; 1.00 g), and FD&C Yellow (5.40 g).

C. CLEAR

Delete dyes.

D. ORANGE

Use FD&C Yellow No. 6 (4.00 g) and FD&C Yellow No. 5 (12.00 g).

SUGAR COATINGS

A. BASIC

Bill of Materials			
Scale (% w/w)	Item	Material Name	Quantity/kg
4.00	1	Kollidon® VA 64	40.00 g
16.00	2	Sucrose	160.00 g
2.40	3	Titanium dioxide	24.00 g
1.20	4	Color lake	12.00 g
3.20	5	Lutrol E 4000	32.00 g
4.00	6	Talc	40.00 g
QS	7	Purified water	QS to 1 kg

Manufacturing Directions

- Dissolve sucrose, Kollidon®, and Lutrol in the water, and suspend the other components.
- Pass through a colloid mill.
- Use the following conditions for use in Accela Cota.

Tablet core loading	5.00 kg
Amount of coating suspension	1.20 kg
Inlet air temperature	45°C
Outlet air temperature	35°C
Nozzle	0.80 mm
Rotation speed of the pan	15 rpm
Spraying pressure	2.0 bar
Spraying time (continuously)	50 minutes
Quantity of film former applied	4.00 mg/cm ²

B. AUTOMATIC

Bill of Materials			
Scale (% w/w)	Item	Material Name	Quantity, g/kg
4.00	1	Kollidon® 30	40.00
38.00	2	Sucrose	380.00
4.50	3	Titanium dioxide	45.00
QS	4	Color lake	QS
4.50	5	Calcium carbonate	45.00
14.50	6	Talc	145.00
QS	7	Purified water	QS to 1 kg

Manufacturing Directions

1. Dissolve sucrose in hot water, and then mix with glycerol.
2. Dissolve Kollidon®, and suspend the other components.
3. Coating procedure: Coat 4 kg of tablet cores with a weight of 420 mg each by spraying with 2.5 kg of the suspension in a conventional coating pan under the following conditions:

Spray phase	5 seconds
Interval	10 minutes
Drying phase (warm air)	10 minutes
Total coating time	16 hours

C. MANUAL, WHITE**Bill of Materials**

Scale (% w/w)	Item	Material Name	Quantity/kg (g)
0.33	1	Kollidon® 30	3.36
0.29	2	Carmellose sodium	2.92
0.21	3	Aerosil® 200	2.14
QS	4	Color lake (white)	QS
1.62	5	Talc	16.20
0.10	6	Polysorbate or Cremophor RH40	1.00
1.40	7	Titanium dioxide	14.00
62.70	8	Sucrose	627.00
33.40	9	Purified water	334.00

Manufacturing Directions

1. Dissolve Kollidon®, polysorbate or Cremophor, and sucrose in water, and suspend the other components in this solution.
2. Mix in a colloid mill.
3. Start with formulation without the color and then apply the color coat.
4. The polishing can be done by means of a solution of beeswax or PEG-6000.

ENTERIC COATINGS**A. KOLLIcoat® AND KOLLIDON® ENTERIC FILM COATING****Bill of Materials**

Scale (% w/w)	Item	Material Name	Quantity/kg
0.50	1	Titanium dioxide	5.00 g
2.00	2	Talc	20.00 g
0.50	3	Iron oxide	5.00 g
0.50	4	Kollidon® 25 or Kollidon® 30	5.00 g
50.00	5	Kollicoat® MAE 30 DP (methacrylic acid/ethyl acrylate copolymer, 1:1)	500.00 g
1.50	6	Triethyl citrate	15.00 g
QS	7	Purified water	QS to 1 kg

Manufacturing Directions/Conditions

Tablet core loading	5 kg
Core size	9 mm biconvex
Quantity of suspension applied	1890 g
Quantity of solids/cm ²	9 mg
Quantity of film-forming agent/cm ²	6 mg
Speed of the coating pan	12 rpm
Spray nozzle	0.8 mm
Spraying pressure	2.0 bar
Type of spraying	Continuous
Inlet air temperature	50°C
Outlet air temperature	~30°C
Spraying time	~60 minutes
Spraying rate	~30 g/min

EUDRAGIT® ENTERIC AQUEOUS**A. BRICK RED****Bill of Materials**

Scale (% w/w)	Item	Material Name	Quantity/kg (g)
46.667	1	Distilled purified water	466.667
1.519	2	Talc (powder)	15.198
0.798	3	Titanium dioxide (special coating grade)	7.983
1.55	4	Iron oxide, red	15.50
0.426	5	Polysorbate 80	4.262
0.015	6	Dimethyl polysiloxane emulsion (30%)	0.155
47.60	7	Eudragit®; use Eudragit® L 30D-55	476.00
1.426	8	Triethyl citrate (Eudraflex®)	14.259

Manufacturing Directions

1. Weigh the quantity of water needed.
2. Put approximately 21.5% of the total quantity of water in a suitable mixing container.
3. Add talc powder, and stir vigorously until well suspended (approximately 20 minutes).
4. Add the following to this suspension, and mix thoroughly: titanium dioxide, iron oxide, Tween 80, and dimethyl polysiloxane emulsion (30%).
5. (*Note:* The pigments may require homogenizing with colloid, corundum disc mill, or ball mill.) Put the Eudragit® in a suitable mixing vessel, and add the following with continuous mixing: homogenized pigment mixture, Eudraflex® (i.e., triethyl citrate), and remaining quantity of water. *Note:* When PEG-8000 is used as a plasticizer, it should be incorporated as a 10% aqueous solution.

B. YELLOW**Bill of Materials**

Scale (% w/w)	Item	Material Name	Quantity/kg (g)
46.66	1	Distilled purified water	466.66
1.25	2	Talc (powder)	12.57
0.77	3	Titanium dioxide (special coating grade)	7.79
1.83	4	FD&C Yellow No. 10 Aluminum Lake (14 to 17%)	18.36
0.42	5	Polysorbate 80	4.27
0.01	6	Dimethyl polysiloxane emulsion (30%)	0.12
47.6	7	Eudragit®; use methacrylic acid copolymer, NF (Eudragit® L 30D-55)	476.00
1.42	8	Triethyl citrate (Eudraflex®)	14.21

C. BROWN**Bill of Materials**

Scale (% w/w)	Item	Material Name	Quantity/kg (g)
46.66	1	Distilled purified water	466.66
0.47	2	Titanium dioxide (special grade coating), USP	4.76
0.85	3	Iron oxide, black	8.53
2.26	4	Iron oxide, red	22.61
0.25	5	Iron oxide, yellow	2.57
0.42	6	Polysorbate 80	4.26
0.01	7	Dimethyl polysiloxane emulsion	0.09
47.63	8	Eudragit®; use Eudragit® L 30D-55	476.33
1.42	9	Triethyl citrate (Eudraflex®)	14.28

D. DARK ORANGE**Bill of Materials**

Scale (% w/w)	Item	Material Name	Quantity/kg (g)
46.66	1	Distilled purified water	466.66
2.51	2	Talc (powder)	25.18
0.39	3	Titanium dioxide (special coating grade)	3.92
0.93	4	FD&C Yellow No. 6 Aluminum Lake	9.32
0.42	5	Polysorbate 80	4.29
0.01	6	Dimethyl polysiloxane emulsion (30%)	0.13
47.63	7	Eudragit®; use Eudragit® L 30D-55	476.33
1.42	8	Triethyl citrate (Eudraflex®)	14.28

E. ORANGE**Bill of Materials**

Scale (% w/w)	Item	Material Name	Quantity/kg (g)
46.66	1	Distilled purified water	466.66
2.60	2	Talc (powder)	26.00
0.78	3	Titanium dioxide (special coating grade)	7.84
0.46	4	FD&C Yellow No. 6 Aluminum Lake	4.66
0.42	5	Polysorbate 80	4.27
0.01	6	Dimethyl polysiloxane emulsion (30%)	0.11
47.61	7	Eudragit®; use Eudragit® L 30D-55	476.16
1.42	8	Triethyl citrate (Eudraflex®)	14.29

F. DISPERSED ORANGE

Bill of Materials			
Scale (mg/ tablet)	Item	Material Name	Quantity/1000 Tablets (g)
0.92	1	Opagloss NA 7150	0.92
7.07	2	Methacrylic acid copolymer (Eudragit® L 100–55)	7.07
0.09	3	Sodium hydroxide pellets (caustic soda)	0.09
0.73	4	PEG-6000	0.73
2.50	5	Talc (fine powder)	2.50
0.10	6	Simethicone emulsion 30% (simethicone antifoam M30)	0.10
0.27	7	Povidone (PVP K-25)	0.27
50.00	8	Sucrose	50.00
0.54	9	Povidone (PVP K-25)	0.54
0.36	10	Titanium dioxide	0.36
0.36	11	FD&C Yellow No. 10 Lake	0.36
0.04	12	Dispersed orange ^a	0.04
1.07	13	Sucrose	1.07
0.38	14	Polishing emulsion	0.38
—	15	Purified water	65.41

^a Dispersed orange: This material is the aluminum lake of Sunset Yellow FCF (E110).

HYDROXYPROPYL METHYLCELLULOSE PHTHALATE ENTERIC COATING

A. CLEAR ENTERIC

Bill of Materials			
Scale (%)	Item	Material Name	Quantity/kg
20.00 (v/v)	1	Acetone	200.00 mL
10.00 (v/v)	2	Purified water	100.00 mL
4.00 (w/v)	3	Hydroxypropyl methylcellulose	40.00 g
0.30 (w/v)	4	Vanillin (crystals)	3.00 g
0.40 (w/v)	5	Acetylated monoglycerides	4.00 g
QS	6	Alcohol (200 proof), SD 3A	QS to 1 L

Manufacturing Directions

1. Place acetone, purified water, and 470 mL of alcohol into a suitable mixing tank.
2. Add hydroxypropyl methylcellulose phthalate, vanillin crystals (if used), and the distilled acetylated monoglycerides.
3. Mix until a clear solution is obtained.
4. Bring up to 1 L with alcohol, and record volume used.
5. Mix for 1 hour.

B. ORCHID PINK OPAQUE

Bill of Materials			
Scale (%)	Item	Material Name	Quantity/kg
20.00 (v/v)	1	Acetone	200.00 mL
10.00 (v/v)	2	Purified water	100.00 mL
8.00 (w/v)	3	Hydroxypropyl methylcellulose phthalate	80.00 g
0.80 (w/v)	4	Diacetylated monoglycerides	8.00 g
0.06 (w/v)	5	D&C Red No. 30 Lake	0.60 g
0.006 (w/v)	6	FD&C Blue No. 2 Aluminum Lake (14%)	0.06 g
0.70 (w/v)	7	Titanium dioxide	7.00 g
QS	8	Alcohol (200 proof), SD 3A	To 1L

C. LIGHT APRICOT ORANGE

Bill of Materials			
Scale (%, w/v)	Item	Material Name	Quantity/kg
20.00 (v/v)	1	Acetone	200.00 mL
10.00 (v/v)	2	Purified water	100.00 mL
8.00	3	Hydroxypropyl methylcellulose phthalate	80.00 g
0.80	4	Diacetylated monoglycerides	8.00 g
0.10	5	FD&C Yellow No. 10 Aluminum Lake (14–17%)	1.00 g
0.06	6	FD&C Red No. 3 Aluminum Lake (14%)	0.60 g
0.70	7	Titanium dioxide	7.00 g
QS	8	Alcohol (200 proof), SD 3A	To 1 kg



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Part IV

*Composition of Proprietary Products
Approved in the United States*



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Composition of Proprietary Products Approved in the United States

- ABILIFY® (aripiprazole) tablets are available in 5, 10, 15, 20, and 30 mg strengths. Inactive ingredients include cornstarch, hydroxypropyl cellulose, lactose monohydrate, magnesium stearate, and microcrystalline cellulose. Colorants include ferric oxide (yellow or red) and FD&C Blue No. 2 Aluminum Lake.
- ACCOLATE (Zafirlukast) is supplied as 10 and 20 mg tablets for oral administration. Inactive ingredients: film-coated tablets containing croscarmellose sodium, lactose, magnesium stearate, microcrystalline cellulose, povidone, hypromellose, and titanium dioxide.
- ACEON® (perindopril erbumine) tablets are available in 2, 4, and 8 mg strengths for oral administration. In addition to perindopril erbumine, each tablet contains the following inactive ingredients: colloidal silica (hydrophobic), lactose, magnesium stearate, and microcrystalline cellulose. The 4 and 8 mg tablets also contain iron oxide.
- ACIPHEX® delayed-release tablets contain rabeprazole sodium and are available for oral administration as delayed-release, enteric-coated tablets containing 20 mg of rabeprazole sodium. Inactive ingredients are carnauba wax, crospovidone, diacetylated monoglycerides, ethylcellulose, hydroxypropyl cellulose, hypromellose phthalate, magnesium stearate, mannitol, sodium hydroxide, sodium stearyl fumarate, talc, titanium dioxide, and yellow ferric oxide as a coloring agent.
- Actiq (oral transmucosal fentanyl citrate) is formulated as a white to off-white solid drug matrix on a handle that is radiopaque and is fracture resistant (acrylonitrile butadiene styrene [ABS] plastic) under normal conditions when used as directed. Actiq is designed to be dissolved slowly in the mouth in a manner to facilitate transmucosal absorption. The handle allows the Actiq unit to be removed from the mouth if signs of excessive opioid effects appear during administration. Active ingredient: fentanyl citrate, USP is a highly lipophilic compound (octanol–water partition coefficient at pH 7.4 is 816:1) that is freely soluble in organic solvents and sparingly soluble in water (1:40). The pK_a s of the tertiary nitrogen are 7.3 and 8.4. Actiq is available in six strengths equivalent to 200, 400, 600, 800, 1200, or 1600 μ g fentanyl base that are identified by the text on the solid drug matrix, the dosage unit handle tag, the blister package, and the shelf carton. Inactive ingredients: hydrated dextrans, citric acid, dibasic sodium phosphate, artificial berry flavor, magnesium stearate, modified food starch, and confectioner's sugar.
- ACTONEL (risedronate sodium tablets) tablets for oral administration contain the equivalent of 5, 30, or 35 mg of anhydrous risedronate sodium in the form of the hemipentahydrate with small amounts of monohydrate. Inactive ingredients: crospovidone, ferric oxide red (35 mg tablets only), ferric oxide yellow (5 and 35 mg tablets only), hydroxypropyl cellulose, hydroxypropyl methylcellulose, lactose monohydrate, magnesium stearate, microcrystalline cellulose, polyethylene glycol, silicon dioxide, and titanium dioxide.
- ACTONEL with CALCIUM is a co-package product containing ACTONEL (risedronate sodium tablets, 35 mg) for once-weekly dosing and calcium carbonate tablets, USP (1250 mg, equivalent to 500 mg of elemental calcium) for daily dosing for the remaining 6 days of the week. Each package contains a 28 day course of therapy. Each ACTONEL tablet in the ACTONEL with CALCIUM co-package contains the equivalent of 35 mg of anhydrous risedronate sodium in the form of the hemipentahydrate with small amounts of monohydrate. Inactive ingredients—ACTONEL: crospovidone, ferric oxide red, ferric oxide yellow, hydroxypropyl cellulose, hydroxypropyl methylcellulose, lactose monohydrate, magnesium stearate, microcrystalline cellulose, polyethylene glycol, silicon dioxide, and titanium dioxide. CALCIUM: pregelatinized starch, sodium starch glycolate, FD&C Blue No. 2, magnesium stearate, polyethylene glycol 3350, hypromellose, Opaspray Light Blue, and polysorbate 80.
- ACTOPLUS MET™ (pioglitazone hydrochloride and metformin hydrochloride) tablets contain two oral antihyperglycemic drugs. ACTOPLUS MET is available as a tablet for oral administration containing 15 mg of pioglitazone hydrochloride (as the base) with 500 mg of metformin hydrochloride (15 mg/500 mg) or 15 mg of pioglitazone hydrochloride (as the base) with 850 mg of metformin hydrochloride (15 mg/850 mg) formulated with the following excipients: povidone USP, microcrystalline cellulose NF, croscarmellose sodium NF, magnesium stearate NF, hypromellose 2910 USP, polyethylene glycol 8000 NF, titanium dioxide USP, and talc USP.
- ACTOS (pioglitazone hydrochloride) is available as a tablet for oral administration containing 15, 30, or 45 mg of pioglitazone (as the base) formulated with

the following excipients: lactose monohydrate NF, hydroxypropyl cellulose NF, carboxymethylcellulose calcium NF, and magnesium stearate NF.

- ADIPEX-P tablets contain the inactive ingredients cornstarch, lactose (anhydrous), magnesium stearate, microcrystalline cellulose, pregelatinized starch, sucrose, and FD&C Blue No. 1.
 - ALDOCLOR (methyldopa-chlorothiazide) combines two antihypertensives (methyldopa and chlorothiazide) and is supplied as tablets for oral use, each containing 250 mg of methyldopa and 250 mg of chlorothiazide. Each tablet contains the following inactive ingredients: calcium disodium edetate, cellulose, citric acid, D&C Yellow No. 10 Aluminum Lake, ethylcellulose, FD&C Yellow No. 6 Aluminum Lake, gelatin, glycerin, guar gum, hydroxypropyl methylcellulose, magnesium stearate, starch, talc, titanium dioxide, and FD&C Blue No. 2 Aluminum Lake.
 - ALDORIL Methyldopa is supplied as tablets in four strengths for oral use: ALDORIL 15 contains 250 mg of methyldopa and 15 mg of hydrochlorothiazide. ALDORIL 25 contains 250 mg of methyldopa and 25 mg of hydrochlorothiazide. ALDORIL D30 contains 500 mg of methyldopa and 30 mg of hydrochlorothiazide. ALDORIL D50 contains 500 mg of methyldopa and 50 mg of hydrochlorothiazide. Each tablet contains the following inactive ingredients: calcium disodium edetate, calcium phosphate, cellulose, citric acid, colloidal silicon dioxide, ethylcellulose, guar gum, hydroxypropyl methylcellulose, magnesium stearate, propylene glycol, talc, and titanium dioxide. ALDORIL 15 and ALDORIL D30 also contain iron oxide.
 - ALKERAN (melphalan) is a film-coated tablet containing 2 mg of melphalan and the inactive ingredients colloidal silicon dioxide, crospovidone, hypromellose, macrogol/PEG 400, magnesium stearate, microcrystalline cellulose, and titanium dioxide.
 - ALTOPREV™ lovastatin extended-release tablets are designed for once-a-day oral administration and deliver 10, 40, or 60 mg of lovastatin. In addition to the active ingredient lovastatin, each tablet contains the following inactive ingredients: acetyltributyl citrate, butylated hydroxyanisole, candelilla wax, cellulose acetate, confectioner's sugar (contains cornstarch), FD&C Yellow No. 6, glyceryl monostearate, hypromellose, hypromellose phthalate, lactose, methacrylic acid copolymer, type B, polyethylene glycols (PEG 400 and PEG 8000), polyethylene oxides, polysorbate 80, propylene glycol, silicon dioxide, sodium chloride, sodium lauryl sulfate, synthetic black iron oxide, red iron oxide, talc, titanium dioxide, and triacetin.
 - ANADROL® (oxymetholone) tablets for oral administration contain 50 mg of the steroid oxymetholone.
- Inactive ingredients: lactose, magnesium stearate, povidone, and starch.
- Appearex® is a biotin preparation. Each Appearex® tablet contains as its active ingredient 2.5 mg of biotin, a dose clinically proven to improve nail strength and quality. Inactive ingredients include lactose monohydrate, cornstarch, povidone (K25), and magnesium stearate.
 - APRAL® (acamprosate calcium) tablets contain acamprosate calcium 333 mg, equivalent to 300 mg of acamprosate. Inactive ingredients in Apral tablets include crospovidone, microcrystalline cellulose, magnesium silicate, sodium starch glycolate, colloidal anhydrous silica, magnesium stearate, talc, propylene glycol, and Eudragit® L30D or equivalent. Sulfites are used in the synthesis of the drug substance, and traces of residual sulfites may be present in the drug product.
 - ARICEPT® (donepezil hydrochloride) is a film-coated tablet containing 5 or 10 mg of donepezil hydrochloride. Inactive ingredients are lactose monohydrate, cornstarch, microcrystalline cellulose, hydroxypropyl cellulose, and magnesium stearate. The film coating contains talc, polyethylene glycol, hypromellose, and titanium dioxide. Additionally, the 10 mg tablet contains yellow iron oxide (synthetic) as a coloring agent. ARICEPT ODT tablets are available for oral administration. Each ARICEPT® ODT tablet contains 5 or 10 mg of donepezil hydrochloride. Inactive ingredients are carrageenan, mannitol, colloidal silicon dioxide, and polyvinyl alcohol. Additionally, the 10 mg tablet contains ferric oxide (yellow) as a coloring agent.
 - ARIMIDEX® (anastrozole) tablets for oral administration contain 1 mg of anastrozole, a nonsteroidal aromatase inhibitor. Each tablet contains as inactive ingredients: lactose, magnesium stearate, hydroxypropyl methylcellulose, polyethylene glycol, povidone, sodium starch glycolate, and titanium dioxide.
 - AROMASIN® tablets for oral administration contain 25 mg of exemestane. Each AROMASIN tablet contains the following inactive ingredients: mannitol, crospovidone, polysorbate 80, hypromellose, colloidal silicon dioxide, microcrystalline cellulose, sodium starch glycolate, magnesium stearate, simethicone, polyethylene glycol 6000, sucrose, magnesium carbonate, titanium dioxide, methylparaben, and polyvinyl alcohol.
 - ARTHROTEC (diclofenac sodium/misoprostol) oral tablets are white to off-white, round, biconvex, and approximately 11 mm in diameter. Each tablet consists of an enteric-coated core containing 50 mg (ARTHROTEC 50) or 75 mg (ARTHROTEC 75) diclofenac sodium surrounded by an outer mantle containing 200 µg misoprostol. Inactive ingredients in ARTHROTEC: colloidal silicon dioxide, crospovidone, hydrogenated castor oil, hypromellose,

- lactose, magnesium stearate, methacrylic acid copolymer, microcrystalline cellulose, povidone (polyvidone) K-30, sodium hydroxide, starch (corn), talc, and triethyl citrate.
- Asacol delayed-release tablet for oral administration contains 400 mg of mesalamine, an anti-inflammatory drug. The Asacol delayed-release tablets are coated with acrylic based resin, Eudragit S (methacrylic acid copolymer B, NF), which dissolves at pH 7 or greater, releasing mesalamine in the terminal ileum and beyond for topical anti-inflammatory action in the colon. Inactive ingredients: each tablet contains colloidal silicon dioxide, dibutyl phthalate, edible black ink, iron oxide red, iron oxide yellow, lactose, magnesium stearate, methacrylic acid copolymer B (Eudragit S), polyethylene glycol, povidone, sodium starch glycolate, and talc.
 - ATACAND (candesartan cilexetil) is available for oral use as tablets containing either 4, 8, 16, or 32 mg of candesartan cilexetil and the following inactive ingredients: hydroxypropyl cellulose, polyethylene glycol, lactose, cornstarch, carboxymethylcellulose calcium, and magnesium stearate. Ferric oxide (reddish brown) is added to the 8, 16, and 32 mg tablets as a colorant.
 - ATACAND HCT (candesartan cilexetil-hydrochlorothiazide). ATACAND HCT 16-12.5 contains 16 mg of candesartan cilexetil and 12.5 mg of hydrochlorothiazide. ATACAND HCT 32-12.5 contains 32 mg of candesartan cilexetil and 12.5 mg of hydrochlorothiazide. The inactive ingredients of the tablets are calcium carboxymethylcellulose, hydroxypropyl cellulose, lactose monohydrate, magnesium stearate, cornstarch, polyethylene glycol 8000, and ferric oxide (yellow). Ferric oxide (reddish brown) is also added to the 16-12.5 mg tablet as colorant.
 - Aygestin (norethindrone acetate tablets, USP). 5 mg oral tablets contain the following inactive ingredients: lactose, magnesium stearate, and microcrystalline cellulose.
 - Beelith. Each tablet contains magnesium oxide 600 mg and pyridoxine hydrochloride (vitamin B6) 25 mg equivalent to vitamin B6 20 mg. Each tablet yields 362 mg of magnesium and supplies 90% of the Adult U.S. Recommended Daily Allowance (RDA) for magnesium and 1000% of the Adult RDA for vitamin B6. Inactive ingredients: FD&C Yellow No. 6, hydroxypropyl methylcellulose, magnesium stearate, microcrystalline cellulose, polyethylene glycol, sodium starch glycolate, and titanium dioxide. May also contain D&C Yellow No. 10, FD&C Yellow No. 5 (Tartrazine), hydroxypropyl cellulose, polydextrose, stearic acid, and/or triacetin.
 - Bethanechol chloride. Each tablet for oral administration contains 5, 10, 25, or 50 mg of bethanechol chloride, USP. Tablets also contain the following inactive ingredients: anhydrous lactose, colloidal silicon dioxide, magnesium stearate, microcrystalline cellulose, sodium starch glycolate, and (25 and 50 mg) D&C Yellow No. 10 and FD&C Yellow No. 6.
 - BIAXIN Clarithromycin tablet (clarithromycin tablets, USP) contains 250 or 500 mg of clarithromycin and the following inactive ingredients: 250 mg tablets—hypromellose, hydroxypropyl cellulose, croscarmellose sodium, D&C Yellow No. 10, FD&C Blue No. 1, magnesium stearate, microcrystalline cellulose, povidone, pregelatinized starch, propylene glycol, silicon dioxide, sorbic acid, sorbitan monooleate, stearic acid, talc, titanium dioxide, and vanillin. 500 mg tablets—hypromellose, hydroxypropyl cellulose, colloidal silicon dioxide, croscarmellose sodium, D&C Yellow No. 10, magnesium stearate, microcrystalline cellulose, povidone, propylene glycol, sorbic acid, sorbitan monooleate, titanium dioxide, and vanillin. Each yellow oval film-coated BIAXIN XL tablet (clarithromycin extended-release tablets) contains 500 mg of clarithromycin and the following inactive ingredients: cellulosic polymers, D&C Yellow No. 10, lactose monohydrate, magnesium stearate, propylene glycol, sorbic acid, sorbitan monooleate, talc, titanium dioxide, and vanillin.
 - BIAXIN[®] Filmtab[®] (clarithromycin tablets, USP) oval film-coated immediate-release tablets contain 500 mg of clarithromycin and the following inactive ingredients: hypromellose, hydroxypropyl cellulose, colloidal silicon dioxide, croscarmellose sodium, D&C Yellow No. 10, magnesium stearate, microcrystalline cellulose, povidone, propylene glycol, sorbic acid, sorbitan monooleate, titanium dioxide, and vanillin.
 - BiDil is a fixed-dose combination of isosorbide dinitrate and hydralazine hydrochloride. Each BiDil tablet for oral administration contains 20 mg of isosorbide dinitrate and 37.5 mg of hydralazine hydrochloride. The inactive ingredients in BiDil tablets include anhydrous lactose, microcrystalline cellulose, sodium starch glycolate, colloidal silicon dioxide, magnesium stearate, hypromellose, FD&C Yellow No. 6 Aluminum Lake, polyethylene glycol, titanium dioxide, and polysorbate 80.
 - BLOCADREN (timolol maleate) is supplied as tablets in three strengths containing 5, 10, or 20 mg timolol maleate for oral administration. Inactive ingredients are cellulose, FD&C Blue No. 2, magnesium stearate, and starch.
 - Buphenyl[®] (sodium phenylbutyrate) tablets for oral administration contain sodium phenylbutyrate. Each tablet of Buphenyl[®] contains 500 mg of sodium phenylbutyrate and the inactive ingredients microcrystalline cellulose, magnesium stearate, and colloidal silicon dioxide.
 - CADUET[®] contains amlodipine besylate. CADUET[®] tablets are formulated for oral administration in several combination strengths from 2.5/10 to 10/80

mg. Each tablet also contains calcium carbonate, croscarmellose sodium, microcrystalline cellulose, pregelatinized starch, polysorbate 80, hydroxypropyl cellulose, purified water, colloidal silicon dioxide (anhydrous), magnesium stearate, and Opadry® II White 85F28751 (polyvinyl alcohol, titanium dioxide, PEG 3000, and talc), or Opadry® II Blue 85F10919 (polyvinyl alcohol, titanium dioxide, PEG 3000, talc, and FD&C Blue No. 2). Combinations of atorvastatin with 2.5 and 5 mg amlodipine are film coated white, and combinations of atorvastatin with 10 mg amlodipine are film coated blue.

- Calcium polycarbophil 625 mg (equivalent to 500 mg polycarbophil). Inactive ingredients: calcium carbonate, caramel, crospovidone, hypromellose, light mineral oil, magnesium stearate, microcrystalline cellulose, povidone, silicon dioxide, and sodium lauryl sulfate.
- CANESTIN synthetic conjugated estrogens tablets contain a blend of nine synthetic estrogenic substances. The estrogenic substances are sodium estrone sulfate, sodium equilin sulfate, sodium 17(α)-dihydroequilenin sulfate, sodium 17(α)-estradiol sulfate, sodium 17(β)-dihydroequilenin sulfate, sodium 17(α)-dihydroequilenin sulfate, sodium 17(β)-dihydroequilenin sulfate, sodium equilenin sulfate, and sodium 17(β)-estradiol sulfate. Tablets for oral administration are available in 0.3, 0.45, 0.625, 0.9, and 1.25 mg strengths of synthetic conjugated estrogens. Tablets also contain the following inactive ingredients: ethylcellulose, hypromellose, lactose monohydrate, magnesium stearate, polyethylene glycol, polysorbate 80, pregelatinized starch, titanium dioxide, and triethyl citrate; 0.3 mg tablets also contain FD&C Blue No. 2 Aluminum Lake and D&C Yellow No. 10 Aluminum Lake; 0.45 mg tablets also contain FD&C Yellow No. 6/Sunset Yellow FCF Lake; 0.625 mg tablets also contain FD&C Red No. 40 Aluminum Lake; 0.9 mg tablets do not contain additional color additives; 1.25 mg tablets also contain FD&C Blue No. 2 Aluminum Lake.
- Captopril tablets for oral administration contain 12.5, 25, 50, or 100 mg of captopril and the following inactive ingredients: anhydrous lactose, colloidal silicon dioxide, crospovidone, microcrystalline cellulose, and stearic acid.
- CARDURA® XL (doxazosin mesylate extended-release tablets) contains doxazosin mesylate. CARDURA® XL is an extended-release tablet for oral use and is designed to deliver 4 or 8 mg of doxazosin as the free base. Each 4 and 8 mg tablet contains 5.1 and 10.2 mg doxazosin mesylate (includes a 5% overage) to provide 4 and 8 mg doxazosin as a free base, respectively. The inactive ingredients for CARDURA® XL: polyethylene oxide, sodium chloride, hypromellose, red ferric oxide, titanium dioxide, magnesium stearate, cellulose acetate, Macrogol®, pharmaceutical glaze, and black iron oxide. CARDURA® XL is similar in appearance to a conventional tablet. It consists, however, of an osmotically active drug core surrounded by a semi-permeable membrane. The core itself is divided into two layers: an “active” layer containing the drug and a “push” layer containing pharmacologically inert (but osmotically active) components. The membrane surrounding the tablet is permeable to water but not to drug or osmotic excipients. As water from the gastrointestinal tract enters the tablet, pressure increases in the osmotic layer and “pushes” against the drug layer, resulting in the release of drug through a small, laser-drilled orifice in the membrane on the drug side of the tablet. CARDURA® XL utilizes GITS (Gastrointestinal Therapeutic System), which is designed to provide a controlled rate of delivery of doxazosin into the gastrointestinal lumen, which is independent of pH or gastrointestinal (GI) motility. The function of CARDURA® XL depends upon the existence of an osmotic gradient between the contents of the bilayer core and fluid in the GI tract. Drug delivery is essentially constant as long as the osmotic gradient remains constant and then gradually falls to zero. The biologically inert components of the tablet remain intact during GI transit and are eliminated in the feces as an insoluble shell.
- CASODEX® (bicalutamide) tablets for oral administration contain 50 mg of bicalutamide. The inactive ingredients of CASODEX® tablets are lactose, magnesium stearate, methylhydroxypropylcellulose, polyethylene glycol, polyvidone, sodium starch glycolate, and titanium dioxide.
- CEFTIN tablets are film coated and contain the equivalent of 250 or 500 mg of cefuroxime as cefuroxime axetil. CEFTIN tablets contain the inactive ingredients colloidal silicon dioxide, croscarmellose sodium, hydrogenated vegetable oil, hypromellose, methylparaben, microcrystalline cellulose, propylene glycol, propylparaben, sodium benzoate, sodium lauryl sulfate, and titanium dioxide.
- CELEBREX (celecoxib) oral capsules contain either 100, 200, or 400 mg of celecoxib. The inactive ingredients in CELEBREX capsules: croscarmellose sodium, edible inks, gelatin, lactose monohydrate, magnesium stearate, povidone, sodium lauryl sulfate, and titanium dioxide.
- Celexa® (citalopram HBr) 10 mg tablets are film coated and oval shaped, containing citalopram HBr in strengths equivalent to 10 mg citalopram base. Celexa® 20 mg and 40 mg tablets are film-coated, oval, scored tablets containing citalopram HBr in strengths equivalent to 20 or 40 mg of citalopram base. The tablets also contain the following inactive ingredients: copolyvidone, cornstarch, croscarmellose sodium, glycerin, lactose monohydrate, magnesium stearate, hypromellose, microcrystalline

- cellulose, polyethylene glycol, and titanium dioxide. Iron oxides are used as coloring agents in the beige (10 mg) and pink (20 mg) tablets.
- CHANTIX™ tablets contain the active ingredient varenicline (as the tartrate salt). CHANTIX™ is supplied for oral administration in two strengths: a 0.5 mg capsular biconvex, white to off-white, film-coated tablet and a 1 mg capsular biconvex, light blue, film-coated tablet. Each 0.5 mg CHANTIX™ tablet contains 0.85 mg of varenicline tartrate equivalent to 0.5 mg of varenicline free base; each 1 mg CHANTIX™ tablet contains 1.71 mg of varenicline tartrate equivalent to 1 mg of varenicline free base. The following inactive ingredients are included in the tablets: microcrystalline cellulose, anhydrous dibasic calcium phosphate, croscarmellose sodium, colloidal silicon dioxide, magnesium stearate, Opadry® White (for 0.5 mg), Opadry® Blue (for 1 mg), and Opadry® Clear.
 - Chlorpheniramine-Ibuprofen-Pseudoephedrine tablets. Active ingredients (in each caplet): chlorpheniramine maleate (2 mg), ibuprofen (200 mg), and pseudoephedrine HCl (30 mg). Aluminum Lake/Aluminum Lake. Inactive ingredients: carnauba wax, croscarmellose sodium, FD&C Red No. 40 Aluminum Lake, FD&C Yellow No. 6 Aluminum Lake, glyceryl behenate, hypromellose, iron oxide black, microcrystalline cellulose, polydextrose, polyethylene glycol, pregelatinized starch, propylene glycol, silicon dioxide, starch, and titanium dioxide.
 - CIALIS® (tadalafil) is available as film-coated, almond-shaped tablets for oral administration. Each tablet contains 5, 10, or 20 mg of tadalafil and the following inactive ingredients: croscarmellose sodium, hydroxypropyl cellulose, hypromellose, iron oxide, lactose monohydrate, magnesium stearate, microcrystalline cellulose, sodium lauryl sulfate, talc, titanium dioxide, and triacetin.
 - CIPRO XR (ciprofloxacin extended-release tablets) contain ciprofloxacin, a synthetic broad-spectrum antimicrobial agent for oral administration. CIPRO XR tablets are coated, bilayer tablets consisting of an immediate-release layer and an erosion matrix-type controlled-release layer. The tablets contain a combination of two types of ciprofloxacin drug substance: ciprofloxacin hydrochloride and ciprofloxacin betaine (base). The drug substance is a faintly yellowish to light yellow crystalline substance. CIPRO XR is available in 500 and 1000 mg (ciprofloxacin equivalent) tablet strengths. CIPRO XR tablets are nearly white to slightly yellowish, film-coated, oblong-shaped tablets. Each CIPRO XR 500 mg tablet contains 500 mg of ciprofloxacin as ciprofloxacin HCl (287.5 mg, calculated as ciprofloxacin on the dried basis) and ciprofloxacin (212.6 mg, calculated on the dried basis). Each CIPRO XR 1000 mg tablet contains 1000 mg of ciprofloxacin as ciprofloxacin HCl (574.9 mg, calculated as ciprofloxacin on the dried basis) and ciprofloxacin (425.2 mg, calculated on the dried basis). The inactive ingredients are crospovidone, hypromellose, magnesium stearate, polyethylene glycol, silica colloidal anhydrous, succinic acid, and titanium dioxide.
 - Citracal Prenatal Rx is a scored, white, modified oval-shaped multivitamin/multimineral tablet. Each tablet contains: vitamin A (vitamin A palmitate), 2700 IU; vitamin C (ascorbic acid), 120 mg; calcium (calcium citrate), 125 mg; iron (carbonyl iron, ferrous gluconate), 27 mg; vitamin D3 (cholecalciferol), 400 IU; vitamin E (DL-tocopheryl acetate), 30 IU; thiamin (vitamin B1), 3 mg; riboflavin (vitamin B2), 3.4 mg; niacinamide (vitamin B3), 20 mg; pyridoxine HCl (vitamin B6), 20 mg; folic acid, 1 mg; iodine (potassium iodide), 150 µg; zinc (zinc oxide), 25 mg; copper (cupric oxide), 2 mg; docusate sodium, 50 mg; calcium (as Ultradense® calcium citrate), 200 mg; polyethylene glycol; croscarmellose sodium; polyvinyl alcohol, part hydrolyzed; color added; magnesium silicate; and magnesium stearate.
 - CLARINEX (desloratadine) tablets are light blue, round, film-coated tablets containing 5 mg of desloratadine, an antihistamine, to be administered orally. They also contain the following excipients: dibasic calcium phosphate dihydrate USP, microcrystalline cellulose NF, cornstarch NF, talc USP, carnauba wax NF, white wax NF, and coating material consisting of lactose monohydrate, hydroxypropyl methylcellulose, titanium dioxide, polyethylene glycol, and FD&C Blue No. 2 Aluminum Lake.
 - CLARINEX RediTabs® brand of desloratadine orally disintegrating tablets. Each RediTabs tablet contains either 5 or 2.5 mg of desloratadine. It also contains the following inactive ingredients: mannitol USP, microcrystalline cellulose NF, pregelatinized starch NF, sodium starch glycolate USP, magnesium stearate NF, butylated methacrylate copolymer, crospovidone NF, aspartame NF, citric acid USP, sodium bicarbonate USP, colloidal silicon dioxide NF, ferric oxide red NF, and tutti-frutti flavoring.
 - CLARINEX-D® 24 hour extended-release tablets are light blue oval-shaped tablets containing 5 mg of desloratadine in the tablet coating for immediate release and 240 mg of pseudoephedrine sulfate, USP in the tablet core for extended release. The inactive ingredients contained in CLARINEX-D® 24 hour extended-release tablets are hypromellose USP, ethylcellulose NF, dibasic calcium phosphate dihydrate USP, magnesium stearate NF, povidone USP, silicon dioxide NF, talc USP, polyacrylate dispersion, polyethylene glycol NF, simethicone USP, Blue Lake Blend 50726 (FD&C Blue No. 2 Lake, titanium dioxide USP, and edetate disodium USP), and ink (Opacode® S-1-17746 or Opacode® S-1-4159).

- CLINORIL (Sulindac) is available in 150 and 200 mg tablets for oral administration. Each tablet contains the following inactive ingredients: cellulose, magnesium stearate, and starch. Sulindac is a non-steroidal, anti-inflammatory indene derivative.
- CLORPRES® is a combination of clonidine hydrochloride and chlorthalidone. CLORPRES® is available as tablets for oral administration in three dosage strengths: 0.1 mg/15 mg, 0.2 mg/15 mg, and 0.3 mg/15 mg of clonidine hydrochloride/chlorthalidone, respectively. The inactive ingredients are ammonium chloride, colloidal silicon dioxide, croscarmellose sodium (Type A), magnesium stearate, microcrystalline cellulose, sodium lauryl sulfate, and D&C Yellow No. 10.
- Clozapine tablets, for oral administration, are available containing 25 and 100 mg of clozapine. In addition, each tablet contains the following inactive ingredients: colloidal silicon dioxide, crospovidone, lactose (monohydrate), magnesium stearate, microcrystalline cellulose, and sodium lauryl sulfate. In addition, the 25 mg tablet contains FD&C Red No. 40 Lake, and the 100 mg tablet contains FD&C Blue No. 2 Lake.
- COMBIVIR tablets are combination tablets containing lamivudine and zidovudine. Lamivudine (EPIVIR®, 3TC®) and zidovudine (RETROVIR®, azidothymidine, AZT, or ZDV) are synthetic nucleoside analogues with activity against human immunodeficiency virus (HIV). COMBIVIR tablets are for oral administration. Each film-coated tablet contains 150 mg of lamivudine, 300 mg of zidovudine, and the inactive ingredients colloidal silicon dioxide, hypromellose, magnesium stearate, microcrystalline cellulose, polyethylene glycol, polysorbate 80, sodium starch glycolate, and titanium dioxide. Lamivudine is a white to off-white crystalline solid with a solubility of approximately 70 mg/mL in water at 20°C. Zidovudine is a white to beige, odorless, crystalline solid with a solubility of 20.1 mg/mL in water at 25°C.
- Combunox™ tablet contains oxycodone HCl, USP 5 mg, and ibuprofen, USP 400 mg. Combunox™ tablets include sodium starch glycolate, microcrystalline cellulose, colloidal silicon dioxide, stearic acid, calcium stearate, carboxymethylcellulose, povidone, and Opadry® II White, Y-22 7719 coloring agent. Opadry® II White, Y-22 7719 coloring agent consists of titanium dioxide, polydextrose, hypromellose, triacetin, and polyethylene glycol 8000.
- Comtan® (entacapone) is available as tablets containing 200 mg entacapone. The inactive ingredients of the Comtan® tablet are microcrystalline cellulose, mannitol, croscarmellose sodium, hydrogenated vegetable oil, hydroxypropyl methylcellulose, polysorbate 80, glycerol 85%, sucrose, magnesium stearate, yellow iron oxide, red oxide, and titanium dioxide.
- CONCERTA® is available in four tablet strengths. Each extended-release tablet for once-a-day oral administration contains 18, 27, 36, or 54 mg of methylphenidate HCl USP and is designed to have a 12 hour duration of effect. CONCERTA® also contains the following inert ingredients: butylated hydroxytoluene, carnauba wax, cellulose acetate, hypromellose, lactose, phosphoric acid, poloxamer, polyethylene glycol, polyethylene oxides, povidone, propylene glycol, sodium chloride, stearic acid, succinic acid, synthetic iron oxides, titanium dioxide, and triacetin. CONCERTA® uses osmotic pressure to deliver methylphenidate HCl at a controlled rate. The system, which resembles a conventional tablet in appearance, comprises an osmotically active trilayer core surrounded by a semipermeable membrane with an immediate-release drug overcoat. The trilayer core is composed of two drug layers, containing the drug and excipients, and a push layer containing osmotically active components. There is a precision laser-drilled orifice on the drug-layer end of the tablet. In an aqueous environment, such as the gastrointestinal tract, the drug overcoat dissolves within 1 hour, providing an initial dose of methylphenidate. Water permeates through the membrane into the tablet core. As the osmotically active polymer excipients expand, methylphenidate is released through the orifice. The membrane controls the rate at which water enters the tablet core, which in turn, controls drug delivery. Furthermore, the drug release rate from the system increases with time over a period of 6 to 7 hours due to the drug concentration gradient incorporated into the two drug layers of CONCERTA®. The biologically inert components of the tablet remain intact during gastrointestinal transit and are eliminated in the stool as a tablet shell along with insoluble core components.
- COREG (Carvedilol) is a white, oval, film-coated tablet containing 3.125, 6.25, 12.5, or 25 mg of carvedilol. The 6.25, 12.5, and 25 mg tablets are TILTAB® tablets. Inactive ingredients consist of colloidal silicon dioxide, crospovidone, hypromellose, lactose, magnesium stearate, polyethylene glycol, polysorbate 80, povidone, sucrose, and titanium dioxide.
- Covera-HS (verapamil hydrochloride) is for oral administration as pale yellow, round, film-coated tablets containing 240 mg of verapamil hydrochloride and as lavender, round, film-coated tablets containing 180 mg of verapamil hydrochloride. Inactive ingredients are black ferric oxide, butylated hydroxytoluene, cellulose acetate, hydroxyethyl cellulose, hydroxypropyl cellulose, hypromellose, magnesium stearate, polyethylene glycol, polyethylene oxide, polysorbate 80, povidone, sodium chloride, titanium dioxide, and coloring agents: 240 mg, FD&C Blue No. 2 Lake and D&C Yellow

- No. 10 Lake; 180 mg, FD&C Blue No. 2 Lake and D&C Red No. 30 Lake. System components and performance: the Covera-HS formulation has been designed to initiate the release of verapamil 4 to 5 hours after ingestion. This delay is introduced by a layer between the active drug core and the outer semipermeable membrane. As water from the gastrointestinal tract enters the tablet, this delay coating is solubilized and released. As tablet hydration continues, the osmotic layer expands and pushes against the drug layer, releasing drug through precision laser-drilled orifices in the outer membrane at a constant rate. This controlled rate of drug delivery in the gastrointestinal lumen is independent of posture, pH, gastrointestinal motility, and fed or fasting conditions. The biologically inert components of the delivery system remain intact during GI transit and are eliminated in the feces as an insoluble shell.
- COZAAR (losartan potassium) is available as tablets for oral administration containing either 25, 50, or 100 mg of losartan potassium and the following inactive ingredients: microcrystalline cellulose, lactose hydrous, pregelatinized starch, magnesium stearate, hydroxypropyl cellulose, hypromellose, titanium dioxide, D&C Yellow No. 10 Aluminum Lake, and FD&C Blue No. 2 Aluminum Lake. COZAAR 25, 50, and 100 mg tablets contain potassium in the following amounts: 2.12 mg (0.054 mEq), 4.24 mg (0.108 mEq), and 8.48 mg (0.216 mEq), respectively. COZAAR 25 mg, COZAAR 50 mg, and COZAAR 100 mg may also contain carnauba wax.
 - CRESTOR® (rosuvastatin calcium) tablets for oral administration contain 5, 10, 20, or 40 mg of rosuvastatin and the following inactive ingredients: microcrystalline cellulose NF, lactose monohydrate NF, tribasic calcium phosphate NF, crospovidone NF, magnesium stearate NF, hypromellose NF, triacetin NF, titanium dioxide USP, yellow ferric oxide, and red ferric oxide NF.
 - DARANIDE (dichlorphenamide) is supplied as tablets, for oral administration, each containing 50 mg of dichlorphenamide. Inactive ingredients are D&C Yellow No. 10, lactose, magnesium stearate, and starch.
 - DARAPRIM (pyrimethamine) tablets contain 25 mg of pyrimethamine and the inactive ingredients corn and potato starch, lactose, and magnesium stearate.
 - Darvocet (propoxyphene napsylate). Each tablet of Darvocet A500™ contains 100 mg of propoxyphene napsylate and 500 mg of acetaminophen. Each tablet also contains anhydrous lactose, colloidal silicon dioxide, crospovidone, magnesium stearate (powder), microcrystalline cellulose, povidone, pregelatinized cornstarch, and stearic acid (powder). The film coating is composed of carnauba wax, hypromellose 2910 6cP, polyethylene glycol, purified water, sodium citrate, titanium dioxide, FD&C Red No. 40 Aluminum Lake, and FD&C Yellow No. 6 Aluminum Lake.
 - DECADRON (dexamethasone tablets, USP) tablets, for oral administration, are supplied in two potencies, 0.5 and 0.75 mg. Inactive ingredients are calcium phosphate, lactose, magnesium stearate, and starch. DECADRON 0.5 mg tablets also contain D&C Yellow No. 10 and FD&C Yellow No. 6. DECADRON 0.75 mg tablets also contain FD&C Blue No. 1.
 - DEPAKOTE (divalproex sodium) is a stable coordination compound composed of sodium valproate and valproic acid in a 1:1 molar relationship and formed during the partial neutralization of valproic acid with 0.5 equivalent of sodium hydroxide. Divalproex sodium occurs as a white powder with a characteristic odor. DEPAKOTE tablets are for oral administration. DEPAKOTE tablets are supplied in three dosage strengths containing divalproex sodium equivalent to 125, 250, or 500 mg of valproic acid. Inactive ingredients in DEPAKOTE tablets: cellulosic polymers, diacetylated monoglycerides, povidone, pregelatinized starch (contains cornstarch), silica gel, talc, titanium dioxide, and vanillin. In addition, 125 mg tablets contain FD&C Blue No. 1 and FD&C Red No. 40, 250 mg tablets contain FD&C Yellow No. 6 and iron oxide, and 500 mg tablets contain D&C Red No. 30, FD&C Blue No. 2, and iron oxide. DEPAKOTE ER 250 and 500 mg tablets are for oral administration. DEPAKOTE ER tablets contain divalproex sodium in a once-a-day extended-release formulation equivalent to 250 and 500 mg of valproic acid. Inactive ingredients for DEPAKOTE ER 250 and 500 mg tablets: FD&C Blue No. 1, hypromellose, lactose, microcrystalline cellulose, polyethylene glycol, potassium sorbate, propylene glycol, silicon dioxide, titanium dioxide, and triacetin. In addition, 500 mg tablets contain iron oxide and polydextrose.
 - DESOXYN (methamphetamine hydrochloride tablets, USP) contain 5 mg of methamphetamine hydrochloride for oral administration. Inactive ingredients: cornstarch, lactose, sodium paraminobenzoate, stearic acid, and talc.
 - DETROL tablets contain tolterodine tartrate. DETROL tablets for oral administration contain 1 or 2 mg of tolterodine tartrate. The inactive ingredients are colloidal anhydrous silica, calcium hydrogen phosphate dihydrate, cellulose microcrystalline, hypromellose, magnesium stearate, sodium starch glycolate (pH 3.0–5.0), stearic acid, and titanium dioxide.
 - DEXEDRINE (dextroamphetamine sulfate) is the dextro isomer of the compound DL-amphetamine sulfate. Each triangular, orange, scored tablet is debossed SKF and E19 and contains dextroamphetamine sulfate, 5 mg. Inactive ingredients consist of

- calcium sulfate, FD&C Yellow No. 5 (tartrazine), FD&C Yellow No. 6, gelatin, lactose, mineral oil, starch, stearic acid, sucrose, talc, and trace amounts of other inactive ingredients.
- Didronel tablets contain either 200 or 400 mg of etidronate disodium. Inactive ingredients: each tablet contains magnesium stearate, microcrystalline cellulose, and starch.
 - DIGITEK (digoxin) is one of the cardiac (or digitalis) glycosides. Each tablet contains the labeled amount of digoxin USP and the following inactive ingredients: cornstarch, croscarmellose sodium, microcrystalline cellulose, pregelatinized starch, lactose monohydrate and anhydrous lactose, silicon dioxide, and stearic acid. In addition, the 125 µg (0.125 mg) tablet contains D&C Yellow No. 10 Aluminum Lake.
 - DILAUDID tablets contain hydromorphone hydrochloride. In addition, the tablets include lactose anhydrous and magnesium stearate. DILAUDID 8 mg tablets may contain traces of sodium metabisulfite. Color-coded tablets (for oral administration) contain 2 mg hydromorphone hydrochloride (orange tablet) and D&C Red No. 30 Lake, D&C Yellow No. 10 Lake, lactose, and magnesium stearate; 4 mg hydromorphone hydrochloride (yellow tablet) and D&C Yellow No. 10 Lake, lactose, and magnesium stearate.
 - Diovan® (valsartan) is available as tablets for oral administration containing 40, 80, 160, or 320 mg of valsartan. The inactive ingredients of the tablets are colloidal silicon dioxide, crospovidone, hydroxypropyl methylcellulose, iron oxides (yellow, black and/or red), magnesium stearate, microcrystalline cellulose, polyethylene glycol 8000, and titanium dioxide.
 - Diovan HCT® (valsartan and hydrochlorothiazide, USP) tablets are formulated for oral administration to contain valsartan and hydrochlorothiazide, USP 80/12.5 mg, 160/12.5 mg, and 160/25 mg. The inactive ingredients of the tablets are colloidal silicon dioxide, crospovidone, hydroxypropyl methylcellulose, iron oxides, magnesium stearate, microcrystalline cellulose, polyethylene glycol, talc, and titanium dioxide.
 - Disulfiram tablets for oral administration contain 250 or 500 mg of disulfiram, USP. Tablets also contain colloidal silicon dioxide, anhydrous lactose, magnesium stearate, microcrystalline cellulose, sodium starch glycolate, and stearic acid.
 - DOLOBID. Diflunisal tablets DOLOBID contain the following inactive ingredients: cellulose, FD&C Yellow No. 6, hydroxypropyl cellulose, hydroxypropyl methylcellulose, magnesium stearate, starch, talc, and titanium dioxide.
 - DOSTINEX tablets contain 0.5 mg of cabergoline. Inactive ingredients consist of leucine, USP, and lactose, NF.
 - E.E.S. (erythromycin ethylsuccinate) is an ester of erythromycin suitable for oral administration. E.E.S. 400® Filmtab tablets: each tablet contains erythromycin ethylsuccinate equivalent to 400 mg of erythromycin. Inactive ingredients: cellulosic polymers, confectioner's sugar (contains cornstarch), cornstarch, D&C Red No. 30, D&C Yellow No. 10, FD&C Red No. 40, magnesium stearate, polacrillin potassium, polyethylene glycol, propylene glycol, sodium citrate, sorbic acid, and titanium dioxide.
 - Effexor (venlafaxine hydrochloride) tablets contain venlafaxine hydrochloride equivalent to 25, 37.5, 50, 75, or 100 mg of venlafaxine. Inactive ingredients consist of cellulose, iron oxides, lactose, magnesium stearate, and sodium starch glycolate.
 - ENABLEX® (darifenacin) is an extended-release tablet that contains 7.5 or 15 mg of darifenacin as its hydrobromide salt. ENABLEX is a once-a-day extended-release tablet and contains the following inactive ingredients: dibasic calcium phosphate anhydrous, hydroxypropyl methylcellulose (hypromellose), lactose monohydrate, magnesium stearate, titanium dioxide, and triacetin. The 15 mg tablet also contains FD&C Yellow No. 6 Aluminum Lake.
 - Encora™ is a prescription vitamin and mineral nutritional supplement with essential fatty acids consisting of two capsules and two tablets on each blister card designated for a.m. and p.m. oral administration as follows. The a.m. tablet is an oval-shaped, light pink, film-coated tablet containing the following ingredients: calcium (calcium carbonate), 400 mg; vitamin D3 (cholecalciferol), 200 IU; vitamin C (as Ester-C®), 25 mg; folic acid, USP, 2 mg; and vitamin B6 (pyridoxine hydrochloride, USP), 25 mg. The p.m. tablet is an oval-shaped, purple, film-coated tablet containing the following ingredients: calcium (calcium carbonate), 600 mg; vitamin D3 (cholecalciferol), 600 IU; vitamin C (as Ester-C®), 25 mg; folic acid, USP, 0.5 mg; and vitamin B6 (pyridoxine hydrochloride, USP), 12.5 mg. The a.m. and p.m. capsule is a pink soft gelatin capsule containing the following ingredients: essential fatty acids (omega-3), 650 mg; docosahexaenoic acid (DHA) and eicosapentaenoic acid (EPA), 550 mg; α-linolenic acid (ALA), 100 mg; linoleic acid (LA), 10 mg; and vitamin E (DL-tocopheryl acetate), 50 IU. *Ester-C® is a patented pharmaceutical-grade material consisting of calcium ascorbate and calcium theonate. The EPA to DHA ratio is approximately 2.7:1. Inactive ingredients (tablets): acacia, butylated hydroxyanisole, butylated hydroxytoluene, colloidal silicon dioxide, cornstarch, croscarmellose sodium, D&C Red No. 27 Aluminum Lake, hydrolyzed gelatin, lecithin, magnesium stearate, microcrystalline cellulose, polyethylene glycol, polyvinyl alcohol, sodium lauryl sulfate, stearic acid, sucrose, talc, titanium dioxide, and vegetable oil. The AM tablet also contains

- FD&C Blue No. 2 Aluminum Lake. The PM tablet also contains FD&C Blue No. 1 Aluminum Lake. Inactive ingredients (capsule): D&C Red No. 33, ethyl vanillin, FD&C Red No. 40, gelatin, glycerin, soybean oil, and titanium dioxide.
- ENJUVIA (synthetic conjugated estrogens, B) tablets contain a blend of 10 synthetic estrogenic substances. The estrogenic substances are sodium estrone sulfate, sodium equilin sulfate, sodium 17 α -dihydroequilenin sulfate, sodium 17 α -estradiol sulfate, sodium 17 β -dihydroequilenin sulfate, sodium 17 α -dihydroequilenin sulfate, sodium 17 β -dihydroequilenin sulfate, sodium equilenin sulfate, sodium 17 β -estradiol sulfate, and sodium Δ 8,9-dehydroestrone sulfate. ENJUVIA tablets for oral administration are available in 0.3, 0.45, 0.625, and 1.25 mg strengths of synthetic conjugated estrogens, B. These tablets contain the following inactive ingredients: ascorbyl palmitate, butylated hydroxyanisole, colloidal silicon dioxide, edetate disodium dehydrate, plasticized ethylcellulose, hypromellose, lactose monohydrate, magnesium stearate, purified water, iron oxide red, titanium dioxide, polyethylene glycol, polysorbate 80, triacetate, and triacetin/glycerol. In addition, the 0.45 mg tablets contain iron oxide black and iron oxide yellow, and the 1.25 mg tablets contain iron oxide yellow.
 - EPHEDRINE-GUAIFENESIN. Active ingredients (in each tablet): Ephedrine HCl, USP, 12.5 mg; guaifenesin, USP, 200 mg. Inactive ingredients: crospovidone, D&C Yellow no. 10 Aluminum Lake, FD&C Yellow No. 6 Aluminum Lake, magnesium stearate, microcrystalline cellulose, povidone, and silicon dioxide (colloidal).
 - EPIVIR[®] (also known as 3TC) is lamivudine, a white to off-white crystalline solid with a solubility of approximately 70 mg/mL in water at 20°C. EPIVIR[®] tablets are for oral administration. Each 150 mg film-coated tablet contains 150 mg of lamivudine and the inactive ingredients hypromellose, magnesium stearate, microcrystalline cellulose, polyethylene glycol, polysorbate 80, sodium starch glycolate, and titanium dioxide. Each 300 mg film-coated tablet contains 300 mg of lamivudine and the inactive ingredients black iron oxide, hypromellose, magnesium stearate, microcrystalline cellulose, polyethylene glycol, polysorbate 80, sodium starch glycolate, and titanium dioxide.
 - EPIVIR-HBV is lamivudine, a white to off-white crystalline solid with a solubility of approximately 70 mg/mL in water at 20°C. EPIVIR-HBV tablets are for oral administration. Each tablet contains 100 mg of lamivudine and the inactive ingredients hypromellose, macrogol 400, magnesium stearate, microcrystalline cellulose, polysorbate 80, red iron oxide, sodium starch glycolate, titanium dioxide, and yellow iron oxide.
 - EPZICOM tablets contain the following two synthetic nucleoside analogues: abacavir sulfate (ZIAGEN[®], also a component of TRIZIVIR[®]) and lamivudine (also known as EPIVIR[®] or 3TC). EPZICOM tablets are for oral administration. Each orange, film-coated tablet contains the active ingredients 600 mg of abacavir as abacavir sulfate and 300 mg of lamivudine and the inactive ingredients magnesium stearate, microcrystalline cellulose, and sodium starch glycolate. The tablets are coated with a film (Opadry[®] orange YS 1-13065-A) that is made of FD&C Yellow No. 6, hypromellose, polyethylene glycol 400, polysorbate 80, and titanium dioxide. Abacavir sulfate is a white to off-white solid with a solubility of approximately 77 mg/mL in distilled water at 25°C. *In vivo*, abacavir sulfate dissociates to its free base, abacavir. All dosages for abacavir sulfate are expressed in terms of abacavir. Lamivudine is a white to off-white crystalline solid with a solubility of approximately 70 mg/mL in water at 20°C.
 - EryPed chewable tablets contain erythromycin ethylsuccinate equivalent to 200 mg of erythromycin and are scored for division into half-dose (100 mg) portions. Inactive ingredients: citric acid, confectioner's sugar (contains cornstarch), magnesium aluminum silicate, magnesium stearate, sodium carboxymethylcellulose, sodium citrate, and artificial flavor.
 - ERY-TAB (erythromycin delayed-release tablets) are available in three dosage strengths, each white oval tablet containing either 250, 333, or 500 mg of erythromycin as the free base. ERY-TAB tablets comply with USP Drug Release Test 1. Inactive ingredients: ammonium hydroxide, colloidal silicon dioxide, croscarmellose sodium, crospovidone, diacetylated monoglycerides, hydroxypropyl cellulose, hypromellose, hypromellose phthalate, magnesium stearate, microcrystalline cellulose, povidone, propylene glycol, sodium citrate, sorbitan monooleate, talc, and titanium dioxide.
 - ERYTHROMYCIN STEARATE. Filmtab tablets (erythromycin stearate tablets, USP) containing the stearate salt of erythromycin in a unique film coating. Inactive ingredients: 250 mg tablet—cellulosic polymers, cornstarch, D&C Red No. 7, polacrillin potassium, polyethylene glycol, povidone, propylene glycol, sodium carboxymethylcellulose, sodium citrate, sorbic acid, sorbitan monooleate, and titanium dioxide. 500 mg tablet—cellulosic polymers, cornstarch, FD&C Red No. 3, magnesium hydroxide, polacrillin potassium, povidone, propylene glycol, sorbitan monooleate, titanium dioxide, and vanillin. Erythromycin Base Filmtab (erythromycin tablets, USP) are available in two strengths containing either 250 or 500 mg of erythromycin base. Inactive ingredients: colloidal silicon dioxide, croscarmellose sodium, crospovidone, D&C Red No. 30 Aluminum Lake, hydroxypropyl cellulose, hypromellose,

hydroxypropyl methylcellulose phthalate, magnesium stearate, microcrystalline cellulose, povidone, polyethylene glycol, propylene glycol, sodium citrate, sodium hydroxide, sorbic acid, sorbitan monooleate, talc, and titanium dioxide.

- ESKALITH contains lithium carbonate, a white, light, alkaline powder. ESKALITH CR controlled-release tablets: each round, yellow, biconvex tablet, debossed with SKF and J10 on one side and scored on the other side, contains lithium carbonate, 450 mg. Inactive ingredients consist of alginic acid, gelatin, iron oxide, magnesium stearate, and sodium starch glycolate. ESKALITH CR tablets 450 mg are designed to release a portion of the dose initially and the remainder gradually; the release pattern of the controlled-release tablets reduces the variability in lithium blood levels seen with the immediate-release dosage forms.
- ESTRATEST® tablets: Each dark green, capsule-shaped, sugar-coated oral tablet contains 1.25 mg of esterified estrogens, USP, and 2.5 mg of methyltestosterone, USP. ESTRATEST® H.S. (half-strength) tablets: each light green, capsule-shaped, sugar-coated oral tablet contains 0.625 mg of esterified estrogens, USP, and 1.25 mg of methyltestosterone, USP. Esterified estrogens, USP is a mixture of the sodium salts of the sulfate esters of the estrogenic substances, principally estrone, that are of the type excreted by pregnant mares. Esterified estrogens contain not less than 75.0% and not more than 85.0% of sodium estrone sulfate, and not less than 6.0% and not more than 15.0% of sodium equilin sulfate, in such proportion that the total of these two components is not less than 90.0%. ESTRATEST® and ESTRATEST® H.S. tablets contain the following inactive ingredients: acacia, acetylated monoglycerides, calcium carbonate, carboxymethylcellulose sodium, carnauba wax NF, citric acid, colloidal silicon dioxide, gelatin, iron oxide, lactose, magnesium stearate, methylparaben, microcrystalline cellulose, pharmaceutical glaze, povidone, propylene glycol, propylparaben, shellac glaze, sodium benzoate, sodium bicarbonate, sorbic acid, starch, sucrose, talc, titanium dioxide, and tribasic calcium phosphate. ESTRATEST® tablets also contain FD&C Blue No. 1 Lake, FD&C Yellow No. 6 Lake, and D&C Yellow No. 10 Lake. ESTRATEST® H.S. tablets also contain: D&C Yellow No. 10 Lake, FD&C Blue No. 1 Lake, FD&C Blue No. 2 Lake, FD&C Yellow No. 6 Lake, and FD&C Red No. 40 Lake.
- EVISTA® (raloxifene hydrochloride) tablets contain 60 mg of raloxifene HCl, which is the molar equivalent of 55.71 mg of free base. Inactive ingredients include anhydrous lactose, carnauba wax, crospovidone, FD&C Blue No. 2 Aluminum Lake, hypromellose, lactose monohydrate, magnesium stearate, modified pharmaceutical glaze, polyethylene glycol, polysorbate 80, povidone, propylene glycol, and titanium dioxide.
- FACTIVE (gemifloxacin mesylate). Each white to off-white, oval, film-coated FACTIVE tablet has breaklines and GE 320 debossed on both faces and contains gemifloxacin mesylate equivalent to 320 mg gemifloxacin. The inactive ingredients are crospovidone, hydroxypropyl methylcellulose, magnesium stearate, microcrystalline cellulose, polyethylene glycol, povidone, and titanium dioxide.
- Famvir® (famciclovir) contains famciclovir. Tablets for oral administration: each white, film-coated tablet contains famciclovir. The 125 mg and 250 mg tablets are round, and the 500 mg tablets are oval. Inactive ingredients consist of hydroxypropyl cellulose, hydroxypropyl methylcellulose, lactose, magnesium stearate, polyethylene glycols, sodium starch glycolate, and titanium dioxide.
- FazaClo® (clozapine, USP) is available as scored, yellow, orally disintegrating tablets of 25 and 100 mg for oral administration without water. Each orally disintegrating tablet contains clozapine equivalent to 25 or 100 mg. Active ingredient: each 25 mg orally disintegrating tablet contains 3.1 mg aspartame and thus, 1.74 mg phenylalanine. Each 100 mg orally disintegrating tablet contains 12.4 mg aspartame and thus, 6.96 mg phenylalanine.
- Femara® (letrozole tablets) for oral administration contain 2.5 mg of letrozole. Femara® (letrozole tablets) is available as 2.5 mg tablets for oral administration. Inactive ingredients: colloidal silicon dioxide, ferric oxide, hydroxypropyl methylcellulose, lactose monohydrate, magnesium stearate, maize starch, microcrystalline cellulose, polyethylene glycol, sodium starch glycolate, talc, and titanium dioxide.
- Ferrets tablets are for use as a dietary iron supplement. Each tablet contains iron (from 325 mg ferrous fumarate) 106 mg. Other ingredients: microcrystalline cellulose, sodium starch glycolate, magnesium stearate, Opadry® II clear, and Opadry® II Red 40L15175.
- FLEXERIL 5 mg (cyclobenzaprine HCl) is supplied as a 5 mg tablet for oral administration. FLEXERIL 10 mg (cyclobenzaprine HCl) is supplied as a 10 mg tablet for oral administration. FLEXERIL 5 mg (cyclobenzaprine HCl) tablets contain the following inactive ingredients: hydroxypropyl cellulose, hypromellose, lactose, magnesium stearate, starch, titanium dioxide, Yellow D&C No. 10 Aluminum Lake HT, and Yellow FD&C No. 6 Aluminum Lake. FLEXERIL 10 mg (cyclobenzaprine HCl) tablets contain the following inactive ingredients: hydroxypropyl cellulose, hypromellose, iron oxide, lactose, magnesium stearate, starch, and titanium dioxide.
- Flumadine® (rimantadine hydrochloride) film-coated tablets contain 100 mg of rimantadine hydrochloride plus hydroxypropyl methylcellulose, magnesium

- stearate, microcrystalline cellulose, sodium starch glycolate, FD&C Yellow No. 6 Lake, and FD&C Yellow No. 6. The film coat contains hydroxypropyl methylcellulose and polyethylene glycol.
- Focalin™ (dexmethylphenidate hydrochloride) is the *d*-threo enantiomer of racemic methylphenidate hydrochloride, which is a 50/50 mixture of the *d*-threo and *l*-threo enantiomers. Focalin is a central nervous system (CNS) stimulant, available in three tablet strengths. Each tablet contains dexmethylphenidate hydrochloride 2.5, 5, or 10 mg for oral administration. Focalin also contains the following inert ingredients: pregelatinized starch, lactose monohydrate, sodium starch glycolate, microcrystalline cellulose, magnesium stearate, and FD&C Blue No. 1 No. 5516 Aluminum Lake (2.5 mg tablets), D&C Yellow Lake No. 10 (5 mg tablets); the 10 mg tablet contains no dye.
 - FORTAMET™ (metformin hydrochloride) extended-release tablets are designed for once-a-day oral administration and deliver 500 or 1000 mg of metformin hydrochloride. In addition to the active ingredient metformin hydrochloride, each tablet contains the following inactive ingredients: candelilla wax, cellulose acetate, hypromellose, magnesium stearate, polyethylene glycols (PEG 400, PEG 8000), polysorbate 80, povidone, sodium lauryl sulfate, synthetic black iron oxides, titanium dioxide, and triacetin.
 - FOSAMAX (alendronate sodium) tablets for oral administration contain 6.53, 13.05, 45.68, 52.21 or 91.37 mg of alendronate monosodium salt trihydrate, which is the molar equivalent of 5, 10, 35, 40 and 70 mg, respectively, of free acid, and the following inactive ingredients: microcrystalline cellulose, anhydrous lactose, croscarmellose sodium, and magnesium stearate. Tablets FOSAMAX 10 mg also contain carnauba wax.
 - FOSAMAX PLUS D contains alendronate sodium as 91.37 mg of alendronate monosodium salt trihydrate, the molar equivalent of 70 mg of free acid, and 70 µg of cholecalciferol equivalent to 2800 IU vitamin D. Each tablet contains the following inactive ingredients: microcrystalline cellulose, lactose anhydrous, medium-chain triglycerides, gelatin, croscarmellose sodium, sucrose, colloidal silicon dioxide, magnesium stearate, butylated hydroxytoluene, modified food starch, and sodium aluminum silicate.
 - FOSRENOL® contains lanthanum carbonate (2:3) hydrate. Each FOSRENOL® white to off-whites chewable tablet contains lanthanum carbonate hydrate equivalent to 250, 500, 750, or 1000 mg of elemental lanthanum and the following inactive ingredients: dextrans (hydrated) NF, colloidal silicon dioxide NF, and magnesium stearate NF.
 - FROVA (frovatriptan succinate) tablet for oral administration contain 3.91 mg of frovatriptan succinate, equivalent to 2.5 mg of frovatriptan base. Each tablet also contains the inactive ingredients lactose NF, microcrystalline cellulose NF, colloidal silicon dioxide NF, sodium starch glycolate NF, magnesium stearate NF, hydroxypropyl methylcellulose USP, polyethylene glycol 3000 USP, triacetin USP, and titanium dioxide USP.
 - Furosemide tablets for oral administration contain 20, 40, or 80 mg of furosemide and the following inactive ingredients: colloidal silicon dioxide, lactose monohydrate, microcrystalline cellulose, pregelatinized starch, and stearic acid. Furosemide tablets USP 20, 40, and 80 mg meet USP Dissolution Test 1.
 - GABITRIL (tiagabine HCl) tablets contain the following inactive ingredients: ascorbic acid, colloidal silicon dioxide, crospovidone, hydrogenated vegetable oil wax, hydroxypropyl cellulose, hypromellose, lactose, magnesium stearate, microcrystalline cellulose, pregelatinized starch, stearic acid, and titanium dioxide. In addition, individual tablets contain the following ingredients: 2 mg tablets—FD&C Yellow No. 6; 4 mg tablets—D&C Yellow No. 10; 12 mg tablets—D&C Yellow No. 10 and FD&C Blue No. 1; and 16 mg tablets—FD&C Blue No. 2.
 - Gleevec® (imatinib mesylate) film-coated tablets contain imatinib mesylate equivalent to 100 or 400 mg of imatinib free base. Inactive ingredients: colloidal silicon dioxide (NF), crospovidone (NF), hydroxypropyl methylcellulose (USP), magnesium stearate (NF), and microcrystalline cellulose (NF). Tablet coating: ferric oxide, red (NF); ferric oxide, yellow (NF); hydroxypropyl methylcellulose (USP); polyethylene glycol (NF); and talc (USP).
 - Gris-PEG® tablets contain ultramicrosize crystals of griseofulvin. Active ingredient: griseofulvin ultramicrosize 125 mg. Inactive ingredients: colloidal silicon dioxide, lactose, magnesium stearate, methylcellulose, methylparaben, polyethylene glycol 400 and 8000, polyvinylpyrrolidone, and titanium dioxide. Or, active ingredient: griseofulvin ultramicrosize 250 mg. Inactive ingredients: colloidal silicon dioxide, magnesium stearate, methylcellulose, methylparaben, polyethylene glycol 400 and 8000, povidone, sodium lauryl sulfate, and titanium dioxide.
 - Guanidine (amino-methanamide) tablets contain 125 mg of guanidine hydrochloride with no color additive in the base. They also contain the following inactive ingredients: colloidal silicon dioxide, magnesium stearate, mannitol, and microcrystalline cellulose.
 - HYDROCORTONE (hydrocortisone) tablets contain 10 mg of hydrocortisone in each tablet. Inactive ingredients are lactose, magnesium stearate, and starch.
 - HYZAAR 50-12.5 (losartan potassium-hydrochlorothiazide), HYZAAR 100-12.5 (losartan potassium-hydrochlorothiazide), and HYZAAR 100-25

- (losartan potassium-hydrochlorothiazide) are available for oral administration in two tablet combinations of losartan and hydrochlorothiazide. HYZAAR 50-12.5 contains 50 mg of losartan potassium and 12.5 mg of hydrochlorothiazide. HYZAAR 100-12.5 contains 100 mg of losartan potassium and 12.5 mg of hydrochlorothiazide. HYZAAR 100-25 contains 100 mg of losartan potassium and 25 mg of hydrochlorothiazide. Inactive ingredients are microcrystalline cellulose, lactose hydrous, pregelatinized starch, magnesium stearate, hydroxypropyl cellulose, hypromellose, and titanium dioxide. HYZAAR 50-12.5 and HYZAAR 100-25 also contain D&C Yellow No. 10 Aluminum Lake. HYZAAR 50-12.5, HYZAAR 100-12.5, and HYZAAR 100-25 may also contain carnauba wax. HYZAAR 50-12.5 contains 4.24 mg (0.108 mEq) of potassium, HYZAAR 100-12.5 contains 8.48 mg (0.216 mEq) of potassium, and HYZAAR 100-25 contains 8.48 mg (0.216 mEq) of potassium.
- **IBUPROFEN.** Active ingredient: each tablet, caplet, gel caplet, or liquigel capsule contains ibuprofen (200 mg). Inactive ingredients: tablets and caplets—acetylated monoglyceride, beeswax and/or carnauba wax, croscarmellose sodium, iron oxides, lecithin, methylparaben, microcrystalline cellulose, pharmaceutical glaze, povidone, propylparaben, silicon dioxide, simethicone, sodium benzoate, sodium lauryl sulfate, starch, stearic acid, sucrose, and titanium dioxide; gel caplets—croscarmellose sodium, FD&C Red No. 40, FD&C Yellow No. 6, gelatin, glycerin, hypromellose, iron oxides, medium-chain triglycerides, pharmaceutical ink, propyl gallate, silicon dioxide, sodium lauryl sulfate, starch, stearic acid, titanium dioxide, and triacetin.
 - **Ibuprofen 50 mg.** Inactive ingredients: (grape flavor) artificial flavor, aspartame, cellulose acetate phthalate, D&C Red No. 30 Lake, FD&C Blue No. 2 Lake, gelatin, Magnasweet®, magnesium stearate, mannitol, microcrystalline cellulose, silicon dioxide, and sodium starch glycolate. Active ingredient (in each tablet): ibuprofen 100 mg. Inactive ingredients: acetylated monoglycerides, carnauba wax, colloidal silicon dioxide, croscarmellose sodium, iron oxides, methylparaben, microcrystalline cellulose, povidone, pregelatinized starch, propylene glycol, propylparaben, shellac, sodium benzoate, starch, stearic acid, sucrose, and titanium dioxide.
 - **Ibuprofen 200 mg.** Active ingredient: each brown, oval capsule contains solubilized ibuprofen, a pain reliever, equal to 200 mg of ibuprofen (present as the free acid and potassium salt). Inactive ingredients: D&C Yellow No. 10, FD&C Green No. 3, FD&C Red No. 40, gelatin, light mineral oil, pharmaceutical ink, polyethylene glycol, potassium hydroxide, purified water, sorbitan, and sorbitol.
 - **Ibuprofen and Pseudoephedrine.** Active ingredients (in each caplet): ibuprofen (200 mg) and pseudoephedrine HCl (30 mg). Inactive ingredients: carnauba or equivalent wax, croscarmellose sodium, iron oxide, methylparaben, microcrystalline cellulose, propylparaben, silicon dioxide, sodium benzoate, sodium lauryl sulfate, starch, stearic acid, sucrose, and titanium dioxide.
 - **IMDUR (isosorbide mononitrate [ISMN]) tablets** contain 30, 60, or 120 mg of isosorbide mononitrate in an extended-release formulation. The inactive ingredients are aluminum silicate, colloidal silicon dioxide, hydroxypropyl cellulose, hydroxypropyl methylcellulose, iron oxide, magnesium stearate, paraffin wax, polyethylene glycol, titanium dioxide, and trace amounts of ethanol.
 - **IMITREX sumatriptan tablets (as the succinate)** contain 35, 70, or 140 mg of sumatriptan succinate equivalent to 25, 50, or 100 mg of sumatriptan, respectively. Each tablet also contains the inactive ingredients croscarmellose sodium, dibasic calcium phosphate, magnesium stearate, microcrystalline cellulose, and sodium bicarbonate. Each 100 mg tablet also contains hypromellose, iron oxide, titanium dioxide, and triacetin.
 - **Indapamide tablets for oral administration** contain 1.25 or 2.5 mg of indapamide and the following inactive ingredients: anhydrous lactose, colloidal silicon dioxide, hypromellose, magnesium stearate, microcrystalline cellulose, polydextrose, polyethylene glycol, pregelatinized starch, sodium lauryl sulfate, and titanium dioxide. Additionally, the 1.25 mg product contains glyceryl triacetate and D&C Red No. 30 Aluminum Lake, and the 2.5 mg product contains triacetin.
 - **Inderal (propranolol hydrochloride) LA capsules** contain the following inactive ingredients: cellulose, ethylcellulose, gelatin capsules, hypromellose, and titanium dioxide. In addition, Inderal LA 60, 80, and 120 mg capsules contain D&C Red No. 28 and FD&C Blue No. 1; Inderal LA 160 mg capsules contain FD&C Blue No. 1.
 - **INTELECTOL® tablets** contain vinpocetine 5 mg. Other ingredients: lactose, hydroxypropyl cellulose, magnesium stearate, and talc.
 - **INVERSINE® (mecamylamine HCl)** is supplied as tablets for oral use, each containing 2.5 mg mecamylamine HCl. Inactive ingredients are acacia, calcium phosphate, D&C Yellow No. 10, FD&C Yellow No. 6, lactose, magnesium stearate, starch, and talc.
 - **IRESSA® (gefitinib tablets)** contain 250 mg of gefitinib and are available as brown film-coated tablets for daily oral administration. Gefitinib is a white-colored powder. It is a free base. The molecule has pK_a s of 5.4 and 7.2 and therefore, ionizes progressively in solution as the pH falls. Inactive ingredients of IRESSA tablets (core): lactose monohydrate, microcrystalline

- cellulose, croscarmellose sodium, povidone, sodium lauryl sulfate, and magnesium stearate. Inactive ingredients of IRESSA tablets (coating): hypromellose, polyethylene glycol 300, titanium dioxide, red ferric oxide, and yellow ferric oxide.
- KALETRA (lopinavir/ritonavir) film-coated tablets are available for oral administration in a strength of 200 mg of lopinavir and 50 mg of ritonavir with the following inactive ingredients: copovidone, sorbitan monolaurate, colloidal silicon dioxide, and sodium stearyl fumarate. The following are the ingredients in the film coating: hypromellose, titanium dioxide, polyethylene glycol 400, hydroxypropyl cellulose, talc, colloidal silicon dioxide, polyethylene 3350, yellow ferric oxide E172, and polysorbate 80.
 - K-DUR[®] 20 is an immediately dispersing extended-release oral dosage form of potassium chloride containing 1500 mg of microencapsulated potassium chloride, USP equivalent to 20 mEq of potassium in a tablet. K-DUR[®] 10 is an immediately dispersing extended-release oral dosage form of potassium chloride containing 750 mg of microencapsulated potassium chloride, USP equivalent to 10 mEq of potassium in a tablet. K-DUR[®] is a tablet formulation (not enteric coated or wax matrix) containing individually microencapsulated potassium chloride crystals that disperse upon tablet disintegration. In simulated gastric fluid at 37°C and in the absence of outside agitation, K-DUR begins disintegrating into microencapsulated crystals within seconds and completely disintegrates within 1 minute. The microencapsulated crystals are formulated to provide an extended release of potassium chloride. Inactive ingredients: crospovidone, ethylcellulose, hydroxypropyl cellulose, magnesium stearate, and microcrystalline cellulose.
 - Keppra[®] (levetiracetam) is available as tablets and as a clear, colorless, grape-flavored liquid (100 mg/mL) for oral administration. Inactive ingredients: colloidal silicon dioxide, cornstarch, hydroxypropyl methylcellulose, magnesium stearate, polyethylene glycol 4000, povidone, talc, titanium dioxide, and coloring agents. The individual tablets contain the following coloring agents: 250 mg tablets—FD&C Blue No. 2; 500 mg tablets—yellow iron oxide; 750 mg tablets—FD&C Blue No. 2, FD&C Yellow No. 6, and red iron oxide.
 - KETEK[®] tablets contain telithromycin. KETEK[®] tablets are light-orange, oval, film-coated tablets, each containing 400 mg telithromycin plus the following inactive ingredients: cornstarch, croscarmellose sodium, hypromellose, lactose monohydrate, magnesium stearate, microcrystalline cellulose, polyethylene glycol, povidone, red ferric oxide, talc, titanium dioxide, and yellow ferric oxide.
 - K-PHOS[®] ORIGINAL (sodium-free) tablet contains potassium acid phosphate 500 mg. Each tablet yields approximately 114 mg of phosphorus and 144 mg of potassium, or 3.7 mEq. Inactive ingredients: magnesium stearate, microcrystalline cellulose, starch, and syloid. Each tablet of K-PHOS[®] NEUTRAL contains 852 mg of dibasic sodium phosphate anhydrous, 155 mg of monobasic potassium phosphate, and 130 mg of monobasic sodium phosphate monohydrate. Each tablet yields approximately 250 mg of phosphorus, 298 mg of sodium (13.0 mEq), and 45 mg of potassium (1.1 mEq). Inactive ingredients: magnesium stearate, microcrystalline cellulose, povidone, sodium starch glycolate, and sugar.
 - K-TAB (potassium chloride extended-release tablets) 750 mg of potassium chloride, USP, equivalent to 10 mEq of potassium in a film-coated (not enteric-coated), wax matrix tablet. This formulation is intended to slow the release of potassium so that the likelihood of a high localized concentration of potassium chloride within the gastrointestinal tract is reduced. The expended inert, porous, wax/polymer matrix is not absorbed and may be excreted intact in the stool. Inactive ingredients: castor oil, cellulosic polymers, colloidal silicon dioxide, D&C Yellow No. 10, magnesium stearate, paraffin, polyvinyl acetate, titanium dioxide, vanillin, and vitamin E.
 - LAMICTAL (lamotrigine) tablets are supplied for oral administration as 25 mg (white), 100 mg (peach), 150 mg (cream), and 200 mg (blue) tablets. Each tablet contains the labeled amount of lamotrigine and the following inactive ingredients: lactose; magnesium stearate; microcrystalline cellulose; povidone; sodium starch glycolate; FD&C Yellow No. 6 Lake (100 mg tablet only); ferric oxide, yellow (150 mg tablet only); and FD&C Blue No. 2 Lake (200 mg tablet only). LAMICTAL chewable dispersible tablets are supplied for oral administration. The tablets contain 2 mg (white), 5 mg (white), or 25 mg (white) of lamotrigine and the following inactive ingredients: blackcurrant flavor, calcium carbonate, low-substituted hydroxypropyl cellulose, magnesium aluminum silicate, magnesium stearate, povidone, saccharin sodium, and sodium starch glycolate.
 - LAMISIL[®] (terbinafine hydrochloride tablets) contain terbinafine hydrochloride (equivalent to 250 mg base). Inactive ingredients: colloidal silicon dioxide, NF; hydroxypropyl methylcellulose, USP; magnesium stearate, NF; microcrystalline cellulose, NF; sodium starch glycolate, NF.
 - LANOXIN (digoxin) is supplied as 125 µg (0.125 mg) or 250 µg (0.25 mg) tablets for oral administration. Each tablet contains the labeled amount of digoxin USP and the following inactive ingredients: corn and potato starches, lactose, and magnesium stearate. In addition, the dyes used in the 125 µg (0.125 mg) tablets are D&C Yellow No. 10 and FD&C Yellow No. 6.

- LEUKERAN (chlorambucil) is available in tablet form for oral administration. Each film-coated tablet contains 2 mg chlorambucil and the inactive ingredients colloidal silicon dioxide, hypromellose, lactose (anhydrous), macrogol/PEG 400, microcrystalline cellulose, red iron oxide, stearic acid, titanium dioxide, and yellow iron oxide.
- LEVITRO is formulated as orange, round, film-coated tablets containing 2.5, 5, 10, and 20 mg of vardenafil, respectively. In addition to the active ingredient, vardenafil HCl, each tablet contains microcrystalline cellulose, crospovidone, colloidal silicon dioxide, magnesium stearate, hypromellose, polyethylene glycol, titanium dioxide, yellow ferric oxide, and red ferric oxide.
- Levonorgestrel. Twenty-one pink active tablets each containing 0.10 mg of levonorgestrel. The inactive ingredients present are cellulose, hypromellose, iron oxide, lactose, magnesium stearate, polacrillin potassium, polyethylene glycol, titanium dioxide, and wax E. Seven light green inert tablets, each containing cellulose, FD&C Blue No. 1, hypromellose, iron oxide, lactose, magnesium stearate, polacrillin potassium, polyethylene glycol, titanium dioxide, and wax E.
- LEVOTHROID[®] (levothyroxine sodium tablets, USP) contain synthetic crystalline L-3,3',5,5'-tetraiodothyronine sodium salt (levothyroxine [T4] sodium). Inactive ingredients: microcrystalline cellulose, calcium phosphate dibasic, povidone, and magnesium stearate. The following are the coloring additives per tablet strength: 25, FD&C Yellow No. 6 Aluminum Lake; 50, None; 75 FD&C Blue No. 2 Aluminum Lake, FD&C Red No. 40 Aluminum Lake; 88, FD&C Yellow No. 6 Aluminum Lake, FD&C Blue No. 1 Aluminum Lake, D&C Yellow No. 10 Aluminum Lake; 100, FD&C Yellow No. 6 Aluminum Lake, D&C Yellow No. 10 Aluminum Lake; 112, D&C Red No. 27 Aluminum Lake, D&C Red No. 30 Aluminum Lake; 125, FD&C Blue No. 1 Aluminum Lake, FD&C Red No. 40 Aluminum Lake, FD&C Yellow No. 6 Aluminum Lake; 137, FD&C Blue No. 1 Aluminum Lake; 150, FD&C Blue No. 2 Aluminum Lake; 175, FD&C Blue No. 1 Aluminum Lake, D&C Red No. 30 Aluminum Lake, D&C Red No. 27 Aluminum Lake; 200, FD&C Red No. 40 Aluminum Lake; 300, FD&C Yellow No. 6 Aluminum Lake, FD&C Blue No.1 Aluminum Lake, D&C Yellow No. 10 Aluminum Lake.
- Lexapro[®] (escitalopram oxalate) tablets are film-coated, round tablets containing escitalopram oxalate in strengths equivalent to 5, 10, and 20 mg escitalopram base. The 10 and 20 mg tablets are scored. The tablets also contain the following inactive ingredients: talc, croscarmellose sodium, microcrystalline cellulose/colloidal silicon dioxide, and magnesium stearate. The film coating contains hypromellose, titanium dioxide, and polyethylene glycol.
- LEXIVA (fosamprenavir calcium) tablets are available for oral administration in a strength of 700 mg of fosamprenavir as fosamprenavir calcium (equivalent to approximately 600 mg of amprenavir). Each 700 mg tablet contains the inactive ingredients colloidal silicon dioxide, croscarmellose sodium, magnesium stearate, microcrystalline cellulose, and povidone K30. The tablet film coating contains the inactive ingredients hypromellose, iron oxide red, titanium dioxide, and triacetin.
- Librium is available as capsules containing 5, 10, or 25 mg chlordiazepoxide HCl. Each capsule also contains cornstarch, lactose, and talc. Gelatin capsule shells may contain methyl and propyl parabens and potassium sorbate, with the following dye systems: 5 mg capsules—FD&C Yellow No. 6 plus D&C Yellow No. 10 and either FD&C Blue No. 1 or FD&C Green No. 3; 10 mg capsules—D&C Yellow No. 10 and either FD&C Blue No. 1 plus FD&C Red No. 3 or FD&C Green No.3 plus FD&C Red No. 40; 25 mg capsules—D&C Yellow No. 10 and either FD&C Green No. 3 or FD&C Blue No. 1.
- LIPITOR[®] (atorvastatin calcium) tablets for oral administration contain 10, 20, 40, or 80 mg atorvastatin and the following inactive ingredients: calcium carbonate, USP; candelilla wax, FCC; croscarmellose sodium, NF; hydroxypropyl cellulose, NF; lactose monohydrate, NF; magnesium stearate, NF; microcrystalline cellulose, NF; Opadry[®] White YS-1-7040 (hypromellose, polyethylene glycol, talc, titanium dioxide); polysorbate 80, NF; simethicone emulsion.
- LOFIBRA[®] (fenofibrate tablets) is a lipid-regulating agent available as tablets for oral administration. Each tablet contains 54 or 160 mg of fenofibrate. Each 54 mg LOFIBRA[®] tablet contains the following inactive ingredients: colloidal silicon dioxide, croscarmellose sodium, crospovidone, iron oxide yellow, lactose monohydrate, lecithin, microcrystalline cellulose, polyvinyl alcohol, povidone, sodium lauryl sulfate, sodium starch glycolate, sodium stearyl fumarate, talc, titanium dioxide, xanthan gum, and D&C Yellow No. 10 Lake. Each 160 mg LOFIBRA[®] tablet contains the following inactive ingredients: colloidal silicon dioxide, croscarmellose sodium, crospovidone, lactose monohydrate, lecithin, microcrystalline cellulose, polyvinyl alcohol, povidone, sodium lauryl sulfate, sodium starch glycolate, sodium stearyl fumarate, talc, titanium dioxide, and xanthan gum.
- LORATIDINE. Active ingredient (in each tablet): loratadine 10 mg. Inactive ingredients (loratadine orally disintegrating tablets): artificial and natural flavor, aspartame, citric acid, colloidal silicon dioxide, corn syrup solids, crospovidone, magnesium stearate, mannitol, microcrystalline cellulose, modified food starch, and sodium bicarbonate. Inactive

- ingredients (loratadine swallow tablets): lactose monohydrate, magnesium stearate, microcrystalline cellulose, and sodium starch glycolate.
- **LORATIDINE-PSEUDOEPHEDRINE.** Active ingredients (in each tablet): loratadine (5 mg) and pseudoephedrine sulfate (120 mg). Inactive ingredients: croscarmellose sodium, dibasic calcium phosphate, hypromellose, lactose monohydrate, magnesium stearate, pharmaceutical ink, povidone, and titanium dioxide.
 - **Lortab.** Hydrocodone bitartrate and acetaminophen supplied in tablet form for oral administration. Each Lortab 2.5/500 tablet contains hydrocodone bitartrate (2.5 mg) and acetaminophen (500 mg). In addition, each tablet contains the following inactive ingredients: colloidal silicon dioxide, croscarmellose sodium, crospovidone, microcrystalline cellulose, povidone, pregelatinized starch, stearic acid, and sugar spheres, which are composed of starch derived from corn, sucrose, and FD&C Red No. 3. Each Lortab 5/500 tablet contains hydrocodone bitartrate (5 mg) and acetaminophen (500 mg). In addition, each tablet contains the following inactive ingredients: cornstarch, FD&C Blue No. 1 Lake, gelatin, magnesium stearate, microcrystalline cellulose, povidone, pregelatinized starch, sodium starch glycolate, and sugar spheres. Each Lortab 7.5/500 tablet contains hydrocodone bitartrate (7.5 mg) and acetaminophen (500 mg). In addition, each tablet contains the following inactive ingredients: colloidal silicon dioxide, croscarmellose sodium, crospovidone, microcrystalline cellulose, povidone, pregelatinized starch, stearic acid, and sugar spheres, which are composed of starch derived from corn, sucrose, FD&C Blue No. 1, and D&C Yellow No. 10. Each Lortab 10/500 tablet contains hydrocodone bitartrate (10 mg) and acetaminophen (500 mg). In addition, each tablet contains the following inactive ingredients: D&C Red No. 27 Aluminum Lake, D&C Red No. 30 Aluminum Lake, colloidal silicon dioxide, croscarmellose sodium, crospovidone, microcrystalline cellulose, povidone, pregelatinized starch, starch (corn), and stearic acid.
 - **Lotensin HCT** is a combination of benazepril hydrochloride and hydrochlorothiazide USP. The tablets are formulated for oral administration with a combination of 5, 10, or 20 mg of benazepril hydrochloride and 6.25, 12.5, or 25 mg of hydrochlorothiazide USP. The inactive ingredients of the tablets are cellulose compounds, crospovidone, hydrogenated castor oil, iron oxides (10/12.5 mg, 20/12.5 mg, and 20/25 mg tablets), lactose, polyethylene glycol, talc, and titanium dioxide.
 - **Lotensin** is supplied as tablets containing 5, 10, 20, and 40 mg of benazepril hydrochloride for oral administration. The inactive ingredients are colloidal silicon dioxide, crospovidone, hydrogenated castor oil (5, 10, and 20 mg tablets), hypromellose, iron oxides, lactose, magnesium stearate (40 mg tablets), microcrystalline cellulose, polysorbate 80, propylene glycol (5 and 40 mg tablets), starch, talc, and titanium dioxide.
 - **LOTRONEX** tablets contain alosetron hydrochloride (HCl), a white to beige solid that has a solubility of 61 mg/mL in water, 42 mg/mL in 0.1 M hydrochloric acid, 0.3 mg/mL in pH 6 phosphate buffer, and <0.1 mg/mL in pH 8 phosphate buffer. LOTRONEX tablets are supplied for oral administration as 0.5 mg (white) and 1 mg (blue) tablets. The 0.5 mg tablet contains 0.562 mg alosetron HCl, equivalent to 0.5 mg alosetron, and the 1 mg tablet contains 1.124 mg alosetron HCl, equivalent to 1 mg of alosetron. Each tablet also contains the inactive ingredients: lactose (anhydrous), magnesium stearate, microcrystalline cellulose, and pregelatinized starch. The white film coat for the 0.5 mg tablet contains hypromellose, titanium dioxide, and triacetin. The blue film coat for the 1 mg tablet contains hypromellose, titanium dioxide, triacetin, and indigo carmine.
 - **MALARONE** (atovaquone and proguanil hydrochloride) is a fixed-dose combination of the antimalarial agents atovaquone and proguanil hydrochloride. MALARONE tablets and MALARONE pediatric tablets are for oral administration. Each MALARONE tablet contains 250 mg of atovaquone and 100 mg of proguanil hydrochloride, and each MALARONE pediatric tablet contains 62.5 mg of atovaquone and 25 mg of proguanil hydrochloride. The inactive ingredients in both tablets are low-substituted hydroxypropyl cellulose, magnesium stearate, microcrystalline cellulose, poloxamer 188, povidone K30, and sodium starch glycolate. The tablet coating contains hypromellose, polyethylene glycol 400, polyethylene glycol 8000, red iron oxide, and titanium dioxide.
 - **MAVIK** (trandolapril) tablets contain 1, 2, or 4 mg of trandolapril for oral administration. Each tablet also contains cornstarch, croscarmellose sodium, hypromellose, iron oxide, lactose, povidone, and sodium stearyl fumarate.
 - **MAXALT** contains rizatriptan benzoate. MAXALT tablets and MAXALT-MLT orally disintegrating tablets are available for oral administration in strengths of 5 and 10 mg (corresponding to 7.265 or 14.53 mg of the benzoate salt, respectively). Each compressed tablet contains the following inactive ingredients: lactose monohydrate, microcrystalline cellulose, pregelatinized starch, ferric oxide (red), and magnesium stearate. Each lyophilized orally disintegrating tablet contains the following inactive ingredients: gelatin, mannitol, glycine, aspartame, and peppermint flavor.
 - **MAXZIDE®** (triamterene and hydrochlorothiazide) combines triamterene with hydrochlorothiazide.

- Each MAXZIDE® tablet contains: triamterene, USP 75 mg; Hydrochlorothiazide, USP 50 mg. Each MAXZIDE®-25 MG tablet contains: triamterene, USP 37.5 mg; hydrochlorothiazide, USP 25 mg. MAXZIDE® and MAXZIDE®-25 MG tablets for oral administration contain the following inactive ingredients: colloidal silicon dioxide, croscarmellose sodium, magnesium stearate, microcrystalline cellulose, powdered cellulose, sodium lauryl sulfate, and D&C Yellow No. 10. MAXZIDE®-25 MG tablets also contain FD&C Blue No. 1.
- **MEBARAL** (mephobarbital) is available as tablets for oral administration. Inactive ingredients: lactose, starch, stearic acid, and talc.
 - **Melatonin**. Each tablet contains melatonin, 3 mg; methylcobalamin (vitamin B12), 1 mg; folic acid, 0.4 mg.
 - **MEPHYTON** phytonadione tablets containing 5 mg of phytonadione are yellow, compressed tablets, scored on one side. Inactive ingredients are acacia, calcium phosphate, colloidal silicon dioxide, lactose, magnesium stearate, starch, and talc.
 - **MEVACOR®** (lovastatin) tablets are supplied as 10, 20, and 40 mg tablets for oral administration. In addition to the active ingredient lovastatin, each tablet contains the following inactive ingredients: cellulose, lactose, magnesium stearate, and starch. Butylated hydroxyanisole is added as a preservative. Tablets MEVACOR 10 mg also contain red ferric oxide and yellow ferric oxide. Tablets MEVACOR 20 mg also contain FD&C Blue No. 2. Tablets MEVACOR 40 mg also contain D&C Yellow No. 10 Aluminum Lake and FD&C Blue No. 2 Aluminum Lake.
 - **MIDAMOR** (amiloride HCl) is available for oral use as tablets containing 5 mg of anhydrous amiloride HCl. Each tablet contains the following inactive ingredients: calcium phosphate, D&C Yellow No. 10, iron oxide, lactose, magnesium stearate, and starch.
 - **Minocycline hydrochloride** tablets for oral administration contain minocycline HCl equivalent to 50, 75, or 100 mg of minocycline. In addition, 50, 75, and 100 mg tablets contain the following inactive ingredients: colloidal silicon dioxide, lactose anhydrous, magnesium stearate, microcrystalline cellulose, povidone, and sodium starch glycolate. The 50 mg tablets also contain Opadry® White, which contains titanium dioxide, hydroxypropyl methylcellulose, polyethylene glycol, and polysorbate 80. The 75 and 100 mg tablets contain Opadry® Gray, which contains titanium dioxide, hydroxypropyl methylcellulose, polyethylene glycol, and iron oxide black.
 - **MIRADON** tablets contain a synthetic anticoagulant, anisindione, an indanedione derivative. Each tablet contains 50 mg anisindione. They also contain cornstarch, FD&C Red No. 3, gelatin, lactose, and hydrogenated cotton-seed oil.
 - **MOTRIN® IB**: each MOTRIN® IB tablet and caplet contains ibuprofen 200 mg. Tablets and caplets: carnauba wax, cornstarch, FD&C Yellow No. 6, hypromellose, iron oxide, polydextrose, polyethylene glycol, silicon dioxide, stearic acid, and titanium dioxide.
 - **MS CONTIN®** controlled-release tablets contain 15, 30, 60, 100, or 200 mg of morphine sulfate and further contain the following inactive ingredients: cetostearyl alcohol, hydroxyethyl cellulose, hypromellose, magnesium stearate, polyethylene glycol, talc, and titanium dioxide. MS CONTIN® controlled-release tablets 15 mg also contain FD&C Blue No. 2, lactose, and polysorbate 80. MS CONTIN® controlled-release tablets 30 mg also contain D&C Red No. 7, FD&C Blue No. 1, lactose, and polysorbate 80. MS CONTIN® controlled-release tablets 60 mg also contain D&C Red No. 30, D&C Yellow No. 10, hydroxypropyl cellulose, and lactose. MS CONTIN® controlled-release tablets 100 mg also contain black iron oxide. MS CONTIN® controlled-release tablets 200 mg also contain D&C Yellow No. 10, FD&C Blue No. 1, and hydroxypropyl cellulose.
 - **Myfortic®** (mycophenolic acid) delayed-release tablets are an enteric formulation of mycophenolate sodium that delivers the active moiety mycophenolic acid (MPA). Myfortic is available for oral use as delayed-release tablets containing either 180 or 360 mg of MPA. Inactive ingredients include colloidal silicon dioxide, crospovidone, lactose anhydrous, magnesium stearate, povidone (K-30), and starch. The enteric coating of the tablet consists of hypromellose phthalate, titanium dioxide, iron oxide yellow, and indigotine (180 mg) or iron oxide red (360 mg).
 - **MYLERAN** (busulfan) film-coated tablets contain 2 mg busulfan and the inactive ingredients hypromellose, lactose (anhydrous), magnesium stearate, pregelatinized starch, triacetin, and titanium dioxide.
 - **Nadolol** tablets for oral administration contain 20, 40, or 80 mg of nadolol and the following inactive ingredients: croscarmellose sodium, lactose (anhydrous), magnesium stearate, microcrystalline cellulose, sodium lauryl sulfate, and D&C Yellow No. 10 Aluminum Lake.
 - **Namenda®** (memantine hydrochloride) capsule-shaped, film-coated tablets contain 5 or 10 mg of memantine hydrochloride. The tablets also contain the following inactive ingredients: microcrystalline cellulose/colloidal silicon dioxide, talc, croscarmellose sodium, and magnesium stearate. In addition, the following inactive ingredients are also present as components of the film coat: hypromellose, titanium dioxide, polyethylene glycol 400, FD&C Yellow No. 6, and FD&C Blue No. 2 (5 mg tablets), and hypromellose, titanium dioxide, macrogol/polyethylene glycol 400, and iron oxide black (10 mg tablets).

- Neurontin® (gabapentin) tablets are elliptical film-coated tablets containing 600 and 800 mg of gabapentin. The inactive ingredients for the tablets are poloxamer 407, copolyvidonum, cornstarch, magnesium stearate, hydroxypropyl cellulose, talc, candelilla wax, and purified water.
- NEXAVAR film-coated tablets contain sorafenib tosylate (274 mg) equivalent to 200 mg of sorafenib and the following inactive ingredients: croscarmellose sodium, microcrystalline cellulose, hypromellose, sodium lauryl sulfate, magnesium stearate, polyethylene glycol, titanium dioxide, and ferric oxide red.
- Nicomide® tablets for oral administration are peach-colored, oval-shaped tablets imprinted “Sirius” in blue ink on one side. Each oral tablet provides nicotinamide, USP, 750 mg; zinc oxide, USP, 25 mg; cupric oxide, USP, 1.5 mg; and folic acid, USP, 500 µg. Nicomide® has been designed to provide biphasic delivery of each of the active ingredients in order to minimize the potential for competitive antagonism in absorption of its ingredients. The biphasic delivery system facilitates the immediate release of 750 mg nicotinamide, 1.5 mg cupric oxide, and 500 µg folic acid as well as the sustained release of 25 mg zinc oxide. The biphasic delivery system also minimizes the potential for drug interaction–induced deficiency states and impaired absorption of other therapeutic agents. Inactive ingredients: carnauba wax powder, ethyl cellulose, FD&C Blue No. 1, FD&C Yellow No. 6 Aluminum Lake, hypromellose, magnesium stearate, microcrystalline cellulose, polyethylene glycol, polysorbate 80, propylene glycol, shellac, stearic acid, and titanium dioxide.
- NIRAVAM™ (alprazolam orally disintegrating tablets) contains either 0.25, 0.5, 1, or 2 mg of alprazolam and the following inactive ingredients: colloidal silicon dioxide, cornstarch, crospovidone, magnesium stearate, mannitol, methacrylic acid copolymer, microcrystalline cellulose, natural and artificial orange flavor, sucralose, and sucrose. In addition, the 0.25 and 0.5 mg tablets contain yellow iron oxide.
- Nystatin vaginal tablets, USP, are available as oval-shaped compressed tablets for intravaginal administration, each containing 100,000 units nystatin, USP. Inactive ingredients include cornstarch, ethylcellulose, anhydrous lactose, microcrystalline cellulose, polyethylene glycol, and stearic acid.
- OptiNate™ is a prescription prenatal/postnatal multivitamin/mineral capsule and tablet combination with essential fatty acids. Each tablet contains elemental iron (carbonyl iron), 90 mg; biotin, 30 µg; pantothenic acid (calcium pantothenate, USP), 6 mg; calcium (calcium carbonate, USP), 200 mg; copper (cupric oxide), 2 mg; zinc (zinc oxide, USP), 15 mg; folate, 1 mg (L-methylfolate as Metafolin® 600 µg) (folic acid, USP 400 µg); vitamin D3 (cholecalciferol), 400 IU; vitamin E (DL-tocopheryl acetate), 10 IU; vitamin C (ascorbic acid, USP), 120 mg; vitamin B1 (thiamine mononitrate), 3 mg; vitamin B2 (riboflavin, USP), 3.4 mg; vitamin B6 (pyridoxine HCl), 20 mg; vitamin B12 (cyanocobalamin), 12 µg; niacinamide, USP, 20 mg; magnesium (magnesium oxide, USP), 30 mg; and docusate sodium, USP, 50 mg. Each LVCaps™ capsule contains DHA 250 mg. DHA is contained in the oil derived from microalgae. Other ingredients (OptiNate™ Omega-3L-Vcaps™): hypromellose, iron oxide, beeswax, ascorbyl palmitate, mixed tocopherols, and other ingredients. Other ingredients (OptiNate™ tablets): calcium phosphate dibasic, carnauba wax, crospovidone, dextrin, DL-tocopherol, gelatin, hypromellose, lactose, magnesium stearate, mono- and diglycerides, polacrilin, pregelatinized starch, propylene glycol, silicon dioxide, sodium benzoate, partially hydrogenated soybean oil, starch, stearic acid, sucrose, titanium dioxide, and other ingredients.
- ORAP® (pimozide) tablets contain either 1 or 2 mg of pimozide and the following inactive ingredients: calcium stearate, microcrystalline cellulose, lactose anhydrous, and cornstarch.
- OxyContin® (oxycodone hydrochloride controlled-release) tablets contain the following inactive ingredients: ammonio methacrylate copolymer, hypromellose, lactose, magnesium stearate, polyethylene glycol 400, povidone, sodium hydroxide, sorbic acid, stearyl alcohol, talc, titanium dioxide, and triacetin. The 10 mg tablets also contain hydroxypropyl cellulose. The 20 mg tablets also contain polysorbate 80 and red iron oxide. The 40 mg tablets also contain polysorbate 80 and yellow iron oxide. The 80 mg tablets also contain FD&C Blue No. 2, hydroxypropyl cellulose, and yellow iron oxide. The 160 mg tablets also contain FD&C Blue No. 2 and polysorbate 80.
- Pacerone® (amiodarone HCl) tablets are available in four strengths, containing 100, 200, 300, and 400 mg amiodarone hydrochloride, for oral administration. The 100 mg tablets are white tablets with the following inactive ingredients: anhydrous lactose, colloidal silicon dioxide, cornstarch, magnesium stearate, and povidone. The 200 mg tablets are pink, scored tablets with the following inactive ingredients: lactose monohydrate, magnesium stearate, povidone, pregelatinized cornstarch, sodium starch glycolate, stearic acid, FD&C Red No. 40, and FD&C Yellow No. 6. The 300 mg tablets are peach, scored tablets with the following inactive ingredients: colloidal silicon dioxide, cornstarch, anhydrous lactose, magnesium stearate, povidone, and FD&C Yellow No. 6 Lake. The 400 mg tablets are light yellow, scored tablets with the following inactive ingredients: colloidal silicon dioxide, cornstarch, lactose monohydrate,

- magnesium stearate, povidone, and D&C Yellow No. 10 Aluminum Lake.
- PARCOPA™ (carbidopa-levodopa orally disintegrating tablets) is a combination of carbidopa and levodopa. PARCOPA™ 25/100 contains 25 mg of carbidopa and 100 mg of levodopa. PARCOPA™ 10/100 contains 10 mg of carbidopa and 100 mg of levodopa. PARCOPA™ 25/250 contains 25 mg of carbidopa and 250 mg of levodopa. Inactive ingredients are aspartame, citric acid, crospovidone, magnesium stearate, mannitol, microcrystalline cellulose, natural and artificial mint flavor, and sodium bicarbonate. PARCOPA™ 10/100 and 25/250 also contain FD&C Blue No. 2 HT Aluminum Lake. PARCOPA™ 25/100 also contains yellow 10 iron oxide.
 - PARNATE, tranlycypromine sulfate, rose-red, film-coated tablets contain tranlycypromine sulfate equivalent to 10 mg of tranlycypromine. Inactive ingredients consist of cellulose, citric acid, croscarmellose sodium, D&C Red No. 7, FD&C Blue No. 2, FD&C Red No. 40, FD&C Yellow No. 6, gelatin, iron oxide, lactose, magnesium stearate, talc, titanium dioxide, and trace amounts of other inactive ingredients.
 - PAXIL CR (paroxetine hydrochloride) enteric, film-coated, controlled-release tablets contain paroxetine hydrochloride equivalent to paroxetine as follows: 12.5 mg (yellow), 25 mg (pink), and 37.5 mg (blue). One layer of the tablet consists of a degradable barrier layer, and the other contains the active material in a hydrophilic matrix. Inactive ingredients consist of hypromellose, polyvinylpyrrolidone, lactose monohydrate, magnesium stearate, colloidal silicon dioxide, glyceryl behenate, methacrylic acid copolymer type C, sodium lauryl sulfate, polysorbate 80, talc, triethyl citrate, and one or more of the following colorants: yellow ferric oxide, red ferric oxide, D&C Red No. 30, D&C Yellow No. 6, D&C Yellow No. 10, and FD&C Blue No. 2. Each film-coated tablet contains paroxetine hydrochloride equivalent to paroxetine as follows: 10 mg, yellow (scored); 20 mg, pink (scored); 30 mg, blue; 40 mg, green. Inactive ingredients consist of dibasic calcium phosphate dihydrate, hypromellose, magnesium stearate, polyethylene glycols, polysorbate 80, sodium starch glycolate, titanium dioxide, and one or more of the following: D&C Red No. 30, D&C Yellow No. 10, FD&C Blue No. 2, and FD&C Yellow No. 6.
 - PCE (erythromycin particles in tablets). The coating protects the antibiotic from the inactivating effects of gastric acidity and permits efficient absorption of the antibiotic in the small intestine. PCE is available in two strengths containing either 333 or 500 mg of erythromycin base. PCE 500 mg tablets contain no synthetic dyes or artificial colors. Inactive ingredients: PCE 333 mg tablets: cellulosic polymers, citrate ester, colloidal silicon dioxide, D&C Red No. 30, hydrogenated vegetable oil wax, lactose, magnesium stearate, microcrystalline cellulose, povidone, propylene glycol, sodium starch glycolate, stearic acid, and vanillin. PCE 500 mg tablets: cellulosic polymers, citrate ester, colloidal silicon dioxide, crospovidone, hydrogenated vegetable oil wax, iron oxide, microcrystalline cellulose, polyethylene glycol, povidone, propylene glycol, stearic acid, talc, titanium dioxide, and vanillin.
 - PEGANONE (ethotoin tablets, USP) are available in a dosage strength of 250 mg. Inactive ingredients: acacia, lactose, sodium carboxymethylcellulose, stearic acid, and talc.
 - Peri-Colace® (docusate sodium and standardized senna concentrate) is a combination stimulant laxative and stool softener. Peri-Colace® tablets contains the following active ingredients: 50 mg of docusate sodium and 8.6 mg of sennosides. Inactive ingredients: carnauba wax, colloidal silicon dioxide, croscarmellose sodium, dicalcium phosphate, FD&C Blue No. 2, FD&C Red No. 40, hypromellose, magnesium stearate, microcrystalline cellulose, PEG 400, sodium benzoate, stearic acid, and titanium dioxide.
 - Phenergan. Each tablet of Phenergan contains 12.5, 25, or 50 mg promethazine HCl. The inactive ingredients present are lactose, magnesium stearate, and methylcellulose. Each dosage strength also contains the following: 12.5 mg—FD&C Yellow No. 6 and saccharin sodium; 25 mg—saccharin sodium; 50 mg—FD&C Red No. 40. Each rectal suppository of Phenergan contains 12.5, 25, or 50 mg promethazine HCl with ascorbyl palmitate, silicon dioxide, white wax, and cocoa butter.
 - PLAVIX (clopidogrel bisulfate) for oral administration is provided as pink, round, biconvex, debossed film-coated tablets containing 97.875 mg of clopidogrel bisulfate, which is the molar equivalent of 75 mg of clopidogrel base. Each tablet contains hydrogenated castor oil, hydroxypropyl cellulose, mannitol, microcrystalline cellulose, and polyethylene glycol 6000 as inactive ingredients. The pink film coating contains ferric oxide, hypromellose 2910, lactose monohydrate, titanium dioxide, and triacetin. The tablets are polished with carnauba wax.
 - PLENDIL (felodipine) is available as tablets containing 2.5, 5, or 10 mg of felodipine for oral administration. In addition to the active ingredient felodipine, the tablets contain the following inactive ingredients: 2.5 mg tablets—hydroxypropyl cellulose, lactose, FD&C Blue No. 2, sodium stearyl fumarate, titanium dioxide, yellow iron oxide, and other ingredients. 5 and 10 mg tablets—cellulose, red and yellow oxide, lactose, polyethylene glycol, sodium stearyl fumarate, titanium dioxide, and other ingredients.
 - PLETAL (cilostazol) tablets for oral administration are available in 50 mg triangular and 100 mg round,

- white debossed tablets. Each tablet, in addition to the active ingredient, contains the following inactive ingredients: carboxymethylcellulose calcium, cornstarch, hydroxypropyl methylcellulose 2910, magnesium stearate, and microcrystalline cellulose.
- PRANDIN® (repaglinide) tablets contain 0.5, 1, or 2 mg of repaglinide. In addition each tablet contains the following inactive ingredients: calcium hydrogen phosphate (anhydrous), microcrystalline cellulose, maize starch, polacrillin potassium, povidone, glycerol (85%), magnesium stearate, meglumine, and poloxamer. The 1 and 2 mg tablets contain iron oxides (yellow and red, respectively) as coloring agents.
 - PreCare® Chewables are prescription prenatal multi-vitamin/mineral nutritional supplement tablets. Each orange-colored, flavored, oval, chewable tablet contains folic Acid, USP, 1 mg; vitamin B6 (pyridoxine HCl), 2 mg; vitamin C (as Ester-C®), 50 mg; vitamin D3 (cholecalciferol), 6 µg; vitamin E (DL-tocopheryl acetate), 3.5 IU; calcium (calcium carbonate), 250 mg; copper (cupric oxide), 2 mg; iron (including MicroMask® ferrous fumarate), 40 mg; magnesium (magnesium oxide, USP), 50 mg; zinc (zinc oxide, USP), 15 mg.*Ester-C® is a patented pharmaceutical-grade material consisting of calcium ascorbate and calcium threonate. Inactive ingredients: citric acid, FD&C Yellow No. 6 Lake, flow agents, natural and artificial nonnutritive and nutritive sweetening agents, and natural and artificial flavors.
 - PreCare® Prenatal is a prescription prenatal multi-vitamin/mineral nutritional supplement. Each dye-free, peach, film-coated caplet contains folic acid, USP 1 mg; vitamin B1 (thiamine mononitrate, USP) 3 mg; vitamin B2 (riboflavin, USP) 3.4 mg; vitamin B3 (niacinamide) 20 mg; vitamin B6 (pyridoxine HCl, USP) 50 mg; vitamin B12 (cyanocobalamin) 12 µg; vitamin C (as Ester-C®) 50 mg; vitamin D3 (cholecalciferol) 16 µg; vitamin E (DL-tocopheryl acetate) 3.5 IU; calcium (as CalciPure™ calcium carbonate) 250 mg; copper (cupric oxide) 2 mg; iron (as MicroMask® ferrous fumarate) 40 mg; magnesium (magnesium oxide, USP) 50 mg; and zinc (zinc oxide, USP) 15 mg. Inactive ingredients: natural oils, natural wax, cellulose polymers, flow agents, and other ingredients. Dye free.
 - PRECOSE® (acarbose tablets) is available as 25, 50, and 100 mg tablets for oral use. The inactive ingredients are starch, microcrystalline cellulose, magnesium stearate, and colloidal silicon dioxide.
 - PREFEST regimen provides for a single oral tablet to be taken once daily. The estrogenic component of PREFEST is estradiol, USP. It is a white, crystalline solid. The progestational component of PREFEST is micronized norgestimate, a white powder. Each tablet for oral administration contains 1.0 mg estradiol alone or 1.0 mg estradiol and 0.09 mg of norgestimate, and the following inactive ingredients: croscarmellose sodium, microcrystalline cellulose, magnesium stearate, ferric oxide red, and lactose monohydrate.
 - Prielief tablets: Each tablet contains 345 mg calcium glycerophosphate (65 mg of elemental calcium). The tablets also contain 0.25% magnesium stearate as a processing aid. Two tablets are equivalent to 690 mg calcium glycerophosphate (130 mg of elemental calcium).
 - Premarin® (conjugated estrogens tablets, USP) for oral administration contains a mixture of conjugated estrogens obtained exclusively from natural sources, occurring as the sodium salts of water-soluble estrogen sulfates blended to represent the average composition of material derived from pregnant mares' urine. It is a mixture of sodium estrone sulfate and sodium equilin sulfate. It contains as concomitant components, as sodium sulfate conjugates, 17α-dihydroequilenin, 17α-estradiol, and 17β-dihydroequilenin. Tablets for oral administration are available in 0.3 mg, 0.45 mg, 0.625 mg, 0.9 mg, and 1.25 mg strengths of conjugated estrogens. Premarin 0.3, 0.45, 0.625, 0.9, and 1.25 mg tablets also contain the following inactive ingredients: calcium phosphate tribasic, hydroxypropyl cellulose, microcrystalline cellulose, powdered cellulose, hypromellose, lactose monohydrate, magnesium stearate, polyethylene glycol, sucrose, and titanium dioxide. The 0.3 mg tablets also contain D&C Yellow No. 10 and FD&C Blue No. 2. The 0.45 mg tablets also contain FD&C Blue No. 2. The 0.625 mg tablets also contain FD&C Blue No. 2 and FD&C Red No. 40. The 0.9 mg tablets also contain D&C Red No. 30 and D&C Red No. 7. The 1.25 mg tablets also contain black iron oxide, D&C Yellow No. 10, and FD&C Yellow No. 6.
 - PremCal is a combination calcium and vitamin D nutritional supplement that offers three different strengths of vitamin D3 per tablet—500 IU, 750 IU, and 1000 IU with 500 mg of elemental calcium as the carbonate. PremCal is indicated in those requiring higher than the currently recommended doses of vitamin D, such as vitamin D deficiency, premenstrual syndrome, osteoporosis, osteomalacia, or malabsorption. Ingredients: PremCal tablets are supplied in three different strengths of vitamin D3 (light, 500 IU; regular, 750 IU; extra strength, 1000 IU) with a constant amount of calcium 500 mg as calcium carbonate and 15 mg of magnesium oxide. Each tablet also contains hypromellose, croscarmellose sodium, maltodextrin, povidone, stearic acid, magnesium stearate, triacetin, polyethylene glycol, and silicon dioxide. Free of sugar, soy, wheat, gluten, corn, shellfish, and artificial colors.
 - Premesis®. Each blue tablet contains vitamin B6 (as pyridoxine HCl), 75 mg; vitamin B12

(cyanocobalamin), 12 µg; folic acid, USP, 1 mg; and calcium (as calcium carbonate), 200 mg. Inactive ingredients: natural waxes, cellulose polymers, FD&C Blue No. 1 Aluminum Lake, D&C Yellow No. 10 Aluminum Lake, flow agents, and other ingredients.

- **PREMPRO™** 0.3 mg/1.5 mg therapy consists of a single tablet containing 0.3 mg of the conjugated estrogens (CE) found in PremarinO tablets and 1.5 mg of medroxyprogesterone acetate (MPA) for oral administration. **PREMPRO** 0.45 mg/1.5 mg therapy consists of a single tablet containing 0.45 mg of the CE found in Premarin tablets and 1.5 mg of medroxyprogesterone acetate for oral administration. **PREMPRO** 0.625 mg/2.5 mg therapy consists of a single tablet containing 0.625 mg of the CE found in Premarin tablets and 2.5 mg of MPA for oral administration. **PREMPRO** 0.625 mg/5 mg therapy consists of a single tablet containing 0.625 mg of the CE found in Premarin tablets and 5 mg of MPA for oral administration. **PREMPHASE®** therapy consists of two separate tablets, a maroon Premarin tablet containing 0.625 mg of CE that is taken orally on days 1 through 14 and a light blue tablet containing 0.625 mg of the CE found in Premarin tablets and 5 mg of MPA that is taken orally on days 15 through 28. Premarin (conjugated estrogens tablets, USP) for oral administration contains a mixture obtained exclusively from natural sources, occurring as the sodium salts of water-soluble estrogen sulfates blended to represent the average composition of material derived from pregnant mares' urine. It is a mixture of sodium estrone sulfate and sodium equilin sulfate. It contains as concomitant components, as sodium sulfate conjugates, 17(α)-dihydroequilenin, 17(α)-estradiol, and 17(β)-dihydroequilenin.
- **PREVACID®** **NapraPAC™** 375 is a combination package containing **NAPROSYN** 375 mg tablets and **PREVACID** 15 mg capsules. **PREVACID®** **NapraPAC™** 500 is a combination package containing **NAPROSYN** 500 mg tablets and **PREVACID®** 15 mg capsules. **NAPROSYN** tablets contain 250, 375, or 500 mg of naproxen (active ingredient) and croscarmellose sodium, iron oxides, povidone, and magnesium stearate (inactive ingredients). **PREVACID®** capsules contain enteric-coated granules consisting of lansoprazole (15 mg) (active ingredient) and hydroxypropyl cellulose, low-substituted hydroxypropyl cellulose, colloidal silicon dioxide, magnesium carbonate, methacrylic acid copolymer, starch, talc, sugar spheres, sucrose, polyethylene glycol, polysorbate 80, and titanium dioxide (inactive ingredients). Components of the gelatin capsule include gelatin, titanium dioxide, D&C Red No. 28, FD&C Blue No. 1, FD&C Green No. 3, and FD&C Red No. 40 (inactive ingredients). **PREVACID®** I.V. The active ingredient in **PREVACID®** I.V. (lansoprazole) for injection is a substituted benzimidazole, 2-[[[3-methyl-4-(2,2,2-trifluoroethoxy)-2-pyridyl] methyl] sulfinyl] benzimidazole, a compound that inhibits gastric acid secretion. **PREVACID®** I.V. for injection contains 30 mg of the active ingredient lansoprazole, 60 mg mannitol, 10 mg meglumine, and 3.45 mg sodium hydroxide and is supplied as a sterile, lyophilized powder for I.V. (intravenous) use. The solution of **PREVACID®** I.V. for injection has a pH of approximately 11 following the first reconstitution with sterile water for injection, USP, and approximately 10.2, 10.0, or 9.5 after further dilution with either 0.9% sodium chloride injection, USP, lactated Ringer's injection, USP, or 5% dextrose injection, USP, respectively.
- **PREVACID®** for delayed-release orally disintegrating tablets contain the active ingredient, lansoprazole, in the form of enteric-coated microgranules. The tablets are available in 15 mg and 30 mg dosage strengths. Each tablet contains lansoprazole and the following inactive ingredients: lactose monohydrate, microcrystalline cellulose, magnesium carbonate, hydroxypropyl cellulose, hypromellose, titanium dioxide, talc, mannitol, methacrylic acid, polyacrylate, polyethylene glycol, glyceryl monostearate, polysorbate 80, triethyl citrate, ferric oxide, citric acid, crospovidone, aspartame, artificial strawberry flavor, and magnesium stearate.
- **ProAmatine®** (midodrine hydrochloride) tablets. Dosage form: 2.5, 5, and 10 mg tablets for oral administration. Active ingredient: midodrine hydrochloride, 2.5, 5, and 10 mg. Inactive ingredients: colloidal silicon dioxide NF, cornstarch NF, FD&C Blue No. 2 Lake (10 mg tablets), FD&C Yellow No. 6 Lake (5 mg tablet), magnesium stearate NF, microcrystalline cellulose NF, and talc USP.
- **Proflavanol 90** tablets contain the following: vitamin C (Poly C, a blend of calcium, zinc, potassium, and magnesium ascorbates), 300 mg; grape seed extract, 90 mg; and ascorbyl palmitate, 12 mg.
- **ProSom** (estazolam) tablets are scored and contain either 1 or 2 mg of estazolam. Inactive ingredients: colloidal silicon dioxide, lactose, povidone, stearic acid, and sodium starch glycolate. In addition, the 2 mg tablets contain FD&C Red No. 40.
- **PROTONIX®** (pantoprazole sodium) delayed-release tablets are supplied as delayed-release tablets for oral administration, available in two strengths. Each delayed-release tablet contains 45.1 or 22.6 mg of pantoprazole sodium sesquihydrate (equivalent to 40 or 20 mg pantoprazole, respectively) with the following inactive ingredients: calcium stearate, crospovidone, hypromellose, iron oxide, mannitol, methacrylic acid copolymer, polysorbate 80, povidone, propylene glycol, sodium carbonate, sodium lauryl sulfate, titanium dioxide, and triethyl citrate.

- PROVIGIL (modafinil) tablets contain 100 or 200 mg of modafinil and the following inactive ingredients: lactose, microcrystalline cellulose, pregelatinized starch, croscarmellose sodium, povidone, and magnesium stearate.
- Prozac® (fluoxetine hydrochloride) contains fluoxetine hydrochloride equivalent to 10 mg (32.3 µmol), 20 mg (64.7 µmol), or 40 mg (129.3 µmol) of fluoxetine. The Pulvules also contain starch, gelatin, silicone, titanium dioxide, iron oxide, and other inactive ingredients. The 10 and 20 mg Pulvules also contain FD&C Blue No. 1, and the 40 mg Pulvule also contains FD&C Blue No. 1 and FD&C Yellow No. 6. Each tablet contains fluoxetine hydrochloride equivalent to 10 mg (32.3 µmol) of fluoxetine. The tablets also contain microcrystalline cellulose, magnesium stearate, crospovidone, hypromellose, titanium dioxide, polyethylene glycol, and yellow iron oxide. In addition to these ingredients, the 10 mg tablet contains FD&C Blue No. 1 Aluminum Lake and polysorbate 80.
- PURINETHOL (mercaptopurine) tablets contain 50 mg of mercaptopurine and the inactive ingredients corn and potato starch, lactose, magnesium stearate, and stearic acid.
- Ranexa™ (ranolazine) film-coated, extended-release tablets contain 500 mg of ranolazine. Inactive ingredients of the 500 mg tablet include carnauba wax, hypromellose, magnesium stearate, methacrylic acid copolymer (Type C), microcrystalline cellulose, polyethylene glycol, polysorbate 80, sodium hydroxide, titanium dioxide, and FD&C Yellow No. 6 Lake.
- Rapamune® (sirolimus) is available as a white, triangular-shaped tablet containing 1 mg sirolimus and as a yellow to beige triangular-shaped tablet containing 2 mg sirolimus, ascorbyl palmitate, and polysorbate 80. The inactive ingredients in Rapamune® tablets include sucrose, lactose, polyethylene glycol 8000, calcium sulfate, microcrystalline cellulose, pharmaceutical glaze, talc, titanium dioxide, magnesium stearate, povidone, poloxamer 188, polyethylene glycol 20,000, glyceryl monooleate, carnauba wax, and other ingredients. The 2 mg dosage strength also contains iron oxide yellow 10 and iron oxide brown 70.
- RELAFEN (nabumetone) oval-shaped, film-coated tablets contain 500 or 750 mg of nabumetone. Inactive ingredients consist of hypromellose, microcrystalline cellulose, polyethylene glycol, polysorbate 80, sodium lauryl sulfate, sodium starch glycolate, and titanium dioxide. The 750 mg tablets also contain iron oxides.
- RELPAX® (eletriptan) tablets for oral administration contains 24.2 or 48.5 mg of eletriptan hydrobromide, equivalent to 20 or 40 mg of eletriptan, respectively. Each tablet also contains the inactive ingredients microcrystalline cellulose NF, lactose NF, croscarmellose sodium NF, magnesium stearate NF, titanium dioxide USP, hypromellose, triacetin USP, and FD&C Yellow No. 6 Aluminum Lake.
- REQUIP (ropinirole hydrochloride) film-coated TILTAB® tablet with beveled edges contains ropinirole hydrochloride equivalent to ropinirole 0.25, 0.5, 1, 2, 3, 4, or 5 mg. Inactive ingredients consist of croscarmellose sodium, hydrous lactose, magnesium stearate, microcrystalline cellulose, and one or more of the following: carmine, FD&C Blue No. 2 Aluminum Lake, FD&C Yellow No. 6 Aluminum Lake, hypromellose, iron oxides, polyethylene glycol, polysorbate 80, and titanium dioxide.
- RESCRIPTOR tablets contain delavirdine mesylate. Each RESCRIPTOR tablet, for oral administration, contains 100 or 200 mg of delavirdine mesylate (henceforth referred to as delavirdine). Inactive ingredients consist of lactose, microcrystalline cellulose, croscarmellose sodium, magnesium stearate, colloidal silicon dioxide, and carnauba wax. In addition, the 100 mg tablet contains Opadry® White YS-1-7000-E, and the 200 mg tablet contains hypromellose, Opadry® White YS-1-18202-A, and pharmaceutical ink black.
- RETROVIR (zidovudine) film-coated tablets contain 300 mg of zidovudine and the inactive ingredients hypromellose, magnesium stearate, microcrystalline cellulose, polyethylene glycol, sodium starch glycolate, and titanium dioxide.
- REVATIO™ is the citrate salt of sildenafil. REVATIO™ (sildenafil citrate) is formulated as white, film-coated round tablets equivalent to 20 mg of sildenafil for oral administration. In addition to the active ingredient, sildenafil citrate, each tablet contains the following inactive ingredients: microcrystalline cellulose, anhydrous dibasic calcium phosphate, croscarmellose sodium, magnesium stearate, hypromellose, titanium dioxide, lactose monohydrate, and triacetin.
- RILUTEK® (riluzole) is a member of the benzothiazole class. RILUTEK is available as a capsule-shaped, white, film-coated tablet for oral administration containing 50 mg of riluzole. Each tablet is engraved with “RPR 202” on one side. Inactive ingredients (core): anhydrous dibasic calcium phosphate, USP; microcrystalline cellulose, NF; anhydrous colloidal silica, NF; magnesium stearate, NF; croscarmellose sodium, NF. Inactive ingredients (film coating): hypromellose, USP; polyethylene glycol 6000; titanium dioxide, USP.
- Ritalin-SR®: Ritalin hydrochloride, methylphenidate hydrochloride USP, is available as tablets of 5, 10, and 20 mg for oral administration; Ritalin-SR® is available as sustained-release tablets of 20 mg for oral administration. Inactive ingredients (Ritalin tablets): D&C Yellow No. 10 (5 and 20 mg tablets), FD&C Green No. 3 (10 mg tablets), lactose, magnesium stearate, polyethylene glycol, starch (5 and

- 10 mg tablets), sucrose, talc, and tragacanth (20 mg tablets). Inactive ingredients (Ritalin-SR[®] tablets): cellulose compounds, cetostearyl alcohol, lactose, magnesium stearate, mineral oil, povidone, titanium dioxide, and zein.
- ROZEREM[™] (ramelteon) tablets include the following inactive ingredients: lactose monohydrate, starch, hydroxypropyl cellulose, magnesium stearate, hypromellose, copovidone, titanium dioxide, yellow ferric oxide, polyethylene glycol 8000, and ink containing shellac and synthetic iron oxide black.
 - Seasonale[®] (levonorgestrel/ethinyl estradiol tablets) is an extended-cycle oral contraceptive consisting of 84 pink active tablets each containing 0.15 mg of levonorgestrel, a synthetic progestogen, and 0.03 mg of ethinyl estradiol as well as 7 white inert tablets (without hormones). Each pink active tablet contains the following inactive ingredients: anhydrous lactose NF, FD&C Blue No. 1, FD&C Red No. 40, hydroxypropyl methylcellulose USP, microcrystalline cellulose NF, polyethylene glycol NF, magnesium stearate NF, polysorbate 80 NF, and titanium dioxide USP. Each white inert tablet contains the following inactive ingredients: anhydrous lactose NF, hydroxypropyl methylcellulose USP, microcrystalline cellulose NF, and magnesium stearate NF.
 - Sedapap[®] butalbital and acetaminophen is supplied in tablet form for oral administration. Each Sedapap[®] tablet contains butalbital (50 mg) and acetaminophen (650 mg). In addition, each tablet contains the following inactive ingredients: colloidal silicon dioxide, croscarmellose sodium, crospovidone, microcrystalline cellulose, povidone, pregelatinized starch, and stearic acid.
 - SENOKOT tablets: Each tablet contains 8.6 mg of sennosides. Active ingredient: standardized senna concentrate. Inactive ingredients: croscarmellose sodium, dicalcium phosphate, hypromellose, magnesium stearate, microcrystalline cellulose, and mineral oil. SENOKOT-S tablets: Each tablet contains 8.6 mg sennosides and 50 mg of docusate sodium. Active ingredients: docusate sodium and standardized senna concentrate. Inactive ingredients: carnauba wax, colloidal silicon dioxide, croscarmellose sodium, dicalcium phosphate, D&C Yellow No. 10, FD&C Yellow No. 6, hypromellose, magnesium stearate, microcrystalline cellulose, PEG 8000, sodium benzoate, stearic acid, and titanium dioxide.
 - Sensipar[™] (cinacalcet hydrochloride) tablets are formulated as light green, film-coated, oval-shaped tablets for oral administration in strengths of 30, 60, and 90 mg of cinacalcet HCl as the free base equivalent (33, 66, and 99 mg as the hydrochloride salt, respectively). Sensipar[™] tablets are composed of the active ingredient and the following inactive ingredients: pregelatinized starch, microcrystalline cellulose, povidone, crospovidone, colloidal silicon dioxide, and magnesium stearate. Tablets are coated with color (Opadry[®] II green) and clear film coat (Opadry[®] clear), carnauba wax, and Opacode[®] black ink.
 - SEROQUEL (quetiapine fumarate) is supplied for oral administration as 25 mg (round, peach), 50 mg (round, white), 100 mg (round, yellow), 200 mg (round, white), 300 mg (capsule-shaped, white), and 400 mg (capsule-shaped, yellow) tablets. Inactive ingredients are povidone, dibasic dicalcium phosphate dihydrate, microcrystalline cellulose, sodium starch glycolate, lactose monohydrate, magnesium stearate, hypromellose, polyethylene glycol, and titanium dioxide. The 25 mg tablets contain red ferric oxide and yellow ferric oxide, and the 100 mg tablets contain only yellow ferric oxide.
 - SPECTRACEF[®] tablets contain cefditoren pivoxil. The tablets contain 200 mg of cefditoren as cefditoren pivoxil and the following inactive ingredients: croscarmellose sodium, D-mannitol, hydroxypropyl cellulose, hypromellose, magnesium stearate, sodium caseinate (a milk protein), and sodium triphosphate. The tablet coating contains carnauba wax, hypromellose, polyethylene glycol, and titanium dioxide. Tablets are printed with ink containing D&C Red No. 27, FD&C Blue No. 1, propylene glycol, and shellac.
 - Stalevo[®] (carbidopa, levodopa, and entacapone) is a combination of carbidopa, levodopa, and entacapone. Stalevo[®] (carbidopa, levodopa, and entacapone) is supplied as tablets in three strengths: Stalevo[®] 50, containing 12.5 mg of carbidopa, 50 mg of levodopa, and 200 mg of entacapone; Stalevo[®] 100, containing 25 mg of carbidopa, 100 mg of levodopa, and 200 mg of entacapone; and Stalevo[®] 150, containing 37.5 mg of carbidopa, 150 mg of levodopa, and 200 mg of entacapone. The inactive ingredients of the Stalevo[®] tablet are cornstarch, croscarmellose sodium, glycerol 85%, hypromellose, magnesium stearate, mannitol, polysorbate 80, povidone, sucrose, red iron oxide, titanium dioxide, and yellow iron oxide.
 - Starlix[®] (nateglinide) biconvex tablets contain 60 mg or 120 mg of nateglinide for oral administration. Inactive ingredients: colloidal silicon dioxide, croscarmellose sodium, hydroxypropyl methylcellulose, iron oxides (red or yellow), lactose monohydrate, magnesium stearate, microcrystalline cellulose, polyethylene glycol, povidone, talc, and titanium dioxide.
 - Striant[®] is a white- to off-white-colored, monoconvex, tablet-like, mucoadhesive buccal system. Striant[®] adheres to the gum tissue above the incisors, with the flat surface facing the cheek mucosa. The active ingredient in Striant[®] is testosterone. Each buccal system contains 30 mg of testosterone. Other pharmacologically inactive ingredients in Striant[®] are anhydrous lactose NF, carbomer 934P,

- hypromellose USP, magnesium stearate NF, lactose monohydrate NF, polycarboxophil USP, colloidal silicon dioxide NF, starch NF, and talc USP.
- SULAR[®] (nisoldipine) is an extended-release tablet dosage form of the dihydropyridine calcium channel blocker nisoldipine. SULAR tablets consist of an external coat and an internal core. Both coat and core contain nisoldipine, the coat as a slow-release formulation and the core as a fast-release formulation. SULAR tablets contain either 10, 20, 30, or 40 mg of nisoldipine for once-a-day oral administration. Inert ingredients in the formulation are hydroxypropyl cellulose, lactose, cornstarch, crospovidone, microcrystalline cellulose, sodium lauryl sulfate, povidone, and magnesium stearate. The inert ingredients in the film coating are hypromellose, polyethylene glycol, ferric oxide, and titanium dioxide.
 - SYNTHROID[®] (levothyroxine sodium tablets, USP). Inactive ingredients: acacia, confectioner's sugar (contains cornstarch), lactose monohydrate, magnesium stearate, povidone, and talc. The following are the color additives by tablet strength: 25, FD&C Yellow No. 6 Aluminum Lake; 50, none; 75, FD&C Red No. 40 Aluminum Lake and FD&C Blue No. 2 Aluminum Lake; 88, FD&C Blue No. 1 Aluminum Lake, FD&C Yellow No. 6 Aluminum Lake, and D&C Yellow No. 10 Aluminum Lake; 100, D&C Yellow No. 10 Aluminum Lake and FD&C Yellow No. 6 Aluminum Lake; 112, D&C Red No. 27 and 30 Aluminum Lake; 125, FD&C Yellow No. 6 Aluminum Lake, FD&C Red No. 40 Aluminum Lake, and FD&C Blue No. 1 Aluminum Lake; 137, FD&C Blue No. 1 Aluminum Lake; 150, FD&C Blue No. 2 Aluminum Lake; 175, FD&C Blue No. 1 Aluminum Lake and D&C Red No. 27 and 30 Aluminum Lake; 200, FD&C Red No. 40 Aluminum Lake; 300, D&C Yellow No. 10 Aluminum Lake, FD&C Yellow No. 6 Aluminum Lake, and FD&C Blue No. 1 Aluminum Lake.
 - TABLOID scored tablets contain 40 mg of thioguanine and the inactive ingredients gum acacia, lactose, magnesium stearate, potato starch, and stearic acid.
 - TAGAMET (cimetidine) film-coated tablets contain cimetidine as follows: 300 mg—round, debossed with the product name TAGAMET, SB and 300; 400 mg—oval TILTAB[®] tablets, debossed with the product name TAGAMET, SB and 400. Inactive ingredients consist of cellulose, D&C Yellow No. 10, FD&C Blue No. 2, FD&C Red No. 40, FD&C Yellow No. 6, hypromellose, iron oxides, magnesium stearate, povidone, propylene glycol, sodium lauryl sulfate, sodium starch glycolate, starch, titanium dioxide, and trace amounts of other inactive ingredients.
 - TAMBOCOR[™] (flecainide acetate) is available in tablets of 50, 100 or 150 mg for oral administration. Flecainide acetate is a white crystalline substance with a pK_a of 9.3. It has an aqueous solubility of 48.4 mg/mL at 37°C. TAMBOCOR tablets also contain croscarmellose sodium, hydrogenated vegetable oil, magnesium stearate, microcrystalline cellulose, and starch.
 - TARCEVA (erlotinib) is a human epidermal growth factor receptor type 1/epidermal growth factor receptor (HER₁/EGFR) tyrosine kinase inhibitor. TARCEVA tablets are available in three dosage strengths containing erlotinib hydrochloride (27.3, 109.3, and 163.9 mg) equivalent to 25, 100, and 150 mg erlotinib and the following inactive ingredients: lactose monohydrate, hypromellose, hydroxypropyl cellulose, magnesium stearate, microcrystalline cellulose, sodium starch glycolate, sodium lauryl sulfate, and titanium dioxide. The tablets also contain trace amounts of color additives, including FD&C Yellow No. 6 (25 mg only) for product identification.
 - TARKA[®] (trandolapril/verapamil hydrochloride ER). The tablet strengths are trandolapril 2 mg/verapamil hydrochloride ER 180 mg, trandolapril 1 mg/verapamil hydrochloride ER 240 mg, trandolapril 2 mg/verapamil hydrochloride ER 240 mg, and trandolapril 4 mg/verapamil hydrochloride ER 240 mg. The tablets also contain the following ingredients: cornstarch, dioctyl sodium sulfosuccinate, ethanol, hydroxypropyl cellulose, hypromellose, lactose, magnesium stearate, microcrystalline cellulose, polyethylene glycol, povidone, purified water, silicon dioxide, sodium alginate, sodium stearyl fumarate, synthetic iron oxides, talc, and titanium dioxide.
 - TASMAR[®] is available as tablets containing 100 or 200 mg tolcapone. Inactive ingredients (core): lactose monohydrate, microcrystalline cellulose, dibasic calcium phosphate anhydrous, povidone K-30, sodium starch glycolate, talc, and magnesium stearate. Inactive ingredients (film coating): hydroxypropyl methylcellulose, titanium dioxide, talc, ethylcellulose, triacetin, and sodium lauryl sulfate, with the following dye systems: 100 mg of yellow and red iron oxide and 200 mg of red iron oxide.
 - Tegretol, carbamazepine USP, is available for oral administration as chewable tablets of 100 mg, tablets of 200 mg, and XR tablets of 100, 200, and 400 mg, and as a suspension of 100 mg/5 mL (teaspoon). Inactive ingredients (tablets): colloidal silicon dioxide, D&C Red No. 30 Aluminum Lake (chewable tablets only), FD&C Red No. 40 (200 mg tablets only), flavoring (chewable tablets only), gelatin, glycerin, magnesium stearate, and sodium starch glycolate (chewable tablets only), and starch, stearic acid, and sucrose (chewable tablets only). Inactive ingredients (suspension): citric acid, FD&C Yellow No. 6, flavoring, polymer, potassium sorbate, propylene glycol, purified water, sorbitol, sucrose, and xanthan gum. Tegretol-XR tablets: cellulose compounds, dextrans, iron oxides, magnesium stearate, mannitol, polyethylene glycol, sodium lauryl sulfate, and titanium dioxide (200 mg tablets only).

- TENORMIN® (atenolol) is available as 25, 50, and 100 mg tablets for oral administration. Inactive ingredients: magnesium stearate, microcrystalline cellulose, povidone, and sodium starch glycolate.
- Thioridazine hydrochloride is available as tablets for oral administration containing 10, 25, 50, or 100 mg. Each tablet for oral administration contains the following inactive ingredients: colloidal silicon dioxide, croscarmellose sodium, hydroxypropyl cellulose, hypromellose, magnesium stearate, microcrystalline cellulose, polyethylene glycol, sodium lauryl sulfate, titanium dioxide, and FD&C Yellow No. 6 Aluminum Lake.
- Thyrolar tablets (Liotrix tablets, USP) contain triiodothyronine (T3 liothyronine) sodium and tetraiodothyronine (T4 levothyroxine) sodium. The inactive ingredients are calcium phosphate, colloidal silicon dioxide, cornstarch, lactose, and magnesium stearate. The tablets also contain the following dyes: Thyrolar 1/4—FD&C Blue No. 1 and FD&C Red No. 40; Thyrolar 1/2—FD&C Red No. 40 and D&C Yellow No. 10; Thyrolar 1—FD&C Red No. 40; Thyrolar 2—FD&C Blue No. 1, FD&C Red No. 40, and D&C Yellow No. 10; Thyrolar 3—FD&C Red No. 40 and D&C Yellow No. 10. Thyrolar tablets (Liotrix tablets, USP) are available in five potencies coded as follows: 3.1 µg/12.5 µg, 6.25 µg/25 µg, 12.5 µg/50 µg, 25 µg/100 µg, and 37.5 µg/150 µg.
- Tinidazole is a synthetic antiprotozoal agent. Tindamax pink film-coated oral tablets contain 500 or 250 mg of tinidazole. Inactive ingredients include croscarmellose sodium, FD&C Red No. 40 Lake, FD&C Yellow No. 6 Lake, hypromellose, magnesium stearate, microcrystalline cellulose, polydextrose, polyethylene glycol, pregelatinized cornstarch, titanium dioxide, and triacetin.
- TRACLEER® (bosentan) is available as 62.5 and 125 mg film-coated tablets for oral administration and contains the following excipients: cornstarch, pregelatinized starch, sodium starch glycolate, povidone, glyceryl behenate, magnesium stearate, hydroxypropyl methylcellulose, triacetin, talc, titanium dioxide, iron oxide yellow, iron oxide red, and ethylcellulose. Each TRACLEER® 62.5 mg tablet contains 64.541 mg of bosentan, equivalent to 62.5 mg of anhydrous bosentan. Each TRACLEER® 125 mg tablet contains 129.082 mg of bosentan, equivalent to 125 mg of anhydrous bosentan.
- TRANXENE T-TAB® tablets contain either 3.75, 7.5, or 15 mg of clorazepate dipotassium for oral administration. TRANXENE-SD and TRANXENE-SD Half Strength tablets contain 22.5 and 11.25 mg of clorazepate dipotassium, respectively. TRANXENE-SD and TRANXENE-SD Half Strength tablets gradually release clorazepate and are designed for once-a-day administration in patients already stabilized on TRANXENE T-TAB® tablets. Inactive ingredients for TRANXENE T-TAB® tablets: colloidal silicon dioxide, FD&C Blue No. 2 (3.75 mg only), FD&C Yellow No. 6 (7.5 mg only), FD&C Red No. 3 (15 mg only), magnesium oxide, magnesium stearate, microcrystalline cellulose, potassium carbonate, potassium chloride, and talc. Inactive ingredients for TRANXENE-SD and TRANXENE-SD Half Strength tablets: castor oil wax, FD&C Blue No. 2 (SD Half Strength, 11.25 mg only), iron oxide (SD, 22.5 mg only), lactose, magnesium oxide, magnesium stearate, potassium carbonate, potassium chloride, and talc.
- TRECATOR TABLET. Ethionamide tablets contain 250 mg of ethionamide. The inactive ingredients present are croscarmellose sodium, FD&C Yellow No. 6, magnesium stearate, microcrystalline cellulose, polyethylene glycol, polyvinyl alcohol, povidone, silicon dioxide, talc, and titanium dioxide.
- Triamterene capsules for oral use, with opaque red cap and body, contain triamterene, 50 or 100 mg, and are imprinted with the product name DYRENIUM, strength (50 or 100) and WPC 002 (for the 50 mg strength) and WPC 003 (for the 100 mg strength). Inactive ingredients consist of D&C Red No. 33, FD&C Yellow No. 6, gelatin NF, lactose NF, magnesium stearate NF, sodium lauryl sulfate NF, titanium dioxide USP, and silicon dioxide NF.
- TRICOR (fenofibrate tablets) is available as tablets for oral administration. Each tablet contains 48 or 145 mg of fenofibrate. Inactive ingredients: each tablet contains hypromellose 2910 (3 cps), docusate sodium, sucrose, sodium lauryl sulfate, lactose monohydrate, silicified microcrystalline cellulose, crospovidone, and magnesium stearate. In addition, individual tablets contain the following ingredients: 48 mg tablets—polyvinyl alcohol, titanium dioxide, talc, soybean lecithin, xanthan gum, D&C Yellow No. 10 Aluminum Lake, FD&C Yellow No. 6/sunset yellow FCF Aluminum Lake, and FD&C Blue No. 2/indigo carmine Aluminum Lake; 145 mg tablets—polyvinyl alcohol, titanium dioxide, talc, soybean lecithin, and xanthan gum.
- TRIGLIDE™ (fenofibrate) tablets contain 50 or 160 mg of fenofibrate. Inactive ingredients: each tablet also contains crospovidone, lactose monohydrate, mannitol, maltodextrin, carboxymethylcellulose sodium, egg lecithin, croscarmellose sodium, sodium lauryl sulfate, colloidal silicon dioxide, magnesium stearate, and monobasic sodium phosphate.
- Trileptal® (oxcarbazepine) is available as 150, 300, and 600 mg film-coated tablets for oral administration. Trileptal film-coated tablets contain the following inactive ingredients: colloidal silicon dioxide, crospovidone, hydroxypropyl methylcellulose, magnesium stearate, microcrystalline cellulose, polyethylene glycol, talc, titanium dioxide, and yellow iron oxide.

- Triphasil cycle of 28 tablets consists of three different drug phases as follows: Phase 1 composed of 6 brown tablets, each containing 0.050 mg of levonorgestrel (*d(-)-13 β-ethyl-17-α-ethinyl-17-β-hydroxygon-4-en-3-one*), a totally synthetic progestogen, and 0.030 mg of ethinyl estradiol (19 nor-17(α)-pregna-1,3,5(10)-trien-20-yne-3,17-diol); phase 2 composed of 5 white tablets, each containing 0.075 mg levonorgestrel and 0.040 mg ethinyl estradiol; and phase 3 composed of 10 light yellow tablets, each containing 0.125 mg levonorgestrel and 0.030 mg ethinyl estradiol; then followed by 7 light green inert tablets. The inactive ingredients present are cellulose, FD&C Blue No. 1, iron oxides, lactose, magnesium stearate, polacrillin potassium, polyethylene glycol, titanium dioxide, and hydroxypropyl methylcellulose.
- ULTRAM® ER (tramadol hydrochloride) tablets contain 100, 200, or 300 mg of tramadol HCl in an extended-release formulation. The tablets are white in color and contain the inactive ingredients ethylcellulose, dibutyl sebacate, polyvinyl pyrrolidone, sodium stearyl fumarate, colloidal silicon dioxide, and polyvinyl alcohol.
- ULTRAM® ODT (tramadol hydrochloride) orally disintegrating tablets is supplied as orally disintegrating tablets containing 50 mg of tramadol hydrochloride for oral administration. The tablets are white in color and contain the inactive ingredients aspartame, crospovidone, crospovidone, ethylcellulose, magnesium stearate, mannitol, mint flavor, and silicon dioxide.
- Uniphyll® (theophylline, anhydrous) tablets in a controlled-release system allow a 24 hour dosing interval. Each controlled-release tablet for oral administration contains 400 or 600 mg of anhydrous theophylline. Inactive ingredients: cetostearyl alcohol, hydroxyethyl cellulose, magnesium stearate, povidone, and talc.
- Uniretic® (moexipril hydrochloride/hydrochlorothiazide) is a combination of an angiotensin-converting enzyme (ACE) inhibitor, moexipril hydrochloride, and a diuretic, hydrochlorothiazide. Uniretic® is available for oral administration in three tablet strengths. The inactive ingredients in all strengths are lactose, magnesium oxide, crospovidone, magnesium stearate, and gelatin. The film coating in all strengths contains hydroxypropyl cellulose, hypromellose, polyethylene glycol 6000, magnesium stearate, and titanium dioxide. In addition, the film coating for Uniretic® 7.5 mg/12.5 mg and Uniretic® 15 mg/25 mg contains ferric oxide.
- Univasc® (moexipril hydrochloride) is supplied as scored, coated tablets containing 7.5 and 15 mg of moexipril hydrochloride for oral administration. In addition to the active ingredient, moexipril hydrochloride, the tablet core contains the following inactive ingredients: lactose, magnesium oxide, crospovidone, magnesium stearate, and gelatin. The film coating contains hydroxypropyl cellulose, hypromellose, polyethylene glycol 6000, magnesium stearate, titanium dioxide, and ferric oxide.
- Urocit®-K is a citrate salt of potassium. Urocit®-K is supplied as wax matrix tablets containing 5 mEq (540 mg) and 10 mEq (1080 mg) of potassium citrate each, for oral administration.
- UROQID-Acid® No. 2 tablet contains methenamine mandelate (500 mg) and sodium acid phosphate, monohydrate (500 mg). Inactive ingredients: calcium phosphate, hydroxypropyl methylcellulose, magnesium stearate, microcrystalline cellulose, povidone, sodium starch glycolate, starch, sugar, syloid, and talc.
- VAGIFEM® (estradiol vaginal tablets) are small, white, film-coated tablets containing 25.8 µg of estradiol hemihydrate, equivalent to 25 µg of estradiol. Each tablet contains the following inactive ingredients: hypromellose, lactose monohydrate, maize starch, and magnesium stearate. The film coating contains hypromellose and polyethylene glycol. Each white tablet is 6 mm in diameter and is placed in a disposable applicator. Each tablet-filled applicator is packaged separately in a blister pack. 17(β)-estradiol hemihydrate is a white, almost white, or colorless crystalline solid, chemically described as *estra-1,3,5(10)-triene-3,17,diol*.
- VESICare (solifenacin succinate) tablets contain 5 or 10 mg of solifenacin succinate and are formulated for oral administration. In addition to the active ingredient solifenacin succinate, each VESICare tablet also contains the following inert ingredients: lactose monohydrate, cornstarch, hypromellose 2910, magnesium stearate, talc, polyethylene glycol 8000, and titanium dioxide with yellow ferric oxide (5 mg VESICare tablet) or red ferric oxide (10 mg VESICare tablet).
- VFEND tablets contain 50 or 200 mg of voriconazole. The inactive ingredients include lactose monohydrate, pregelatinized starch, croscarmellose sodium, povidone, magnesium stearate, and a coating containing hypromellose, titanium dioxide, lactose monohydrate, and triacetin.
- VIAGRA®, an oral tablet, is the citrate salt of sildenafil. VIAGRA® (sildenafil citrate) is formulated as blue, film-coated, rounded-diamond-shaped tablets equivalent to 25, 50, and 100 mg of sildenafil for oral administration. In addition to the active ingredient, sildenafil citrate, each tablet contains the following inactive ingredients: microcrystalline cellulose, anhydrous dibasic calcium phosphate, croscarmellose sodium, magnesium stearate, hypromellose, titanium dioxide, lactose, triacetin, and FD & C Blue No. 2 Aluminum Lake.
- VICODIN HP (hydrocodone bitartrate and acetaminophen) is supplied in tablet form for oral administration. Each VICODIN HP tablet contains

- hydrocodone bitartrate (10 mg) and acetaminophen (660 mg). In addition, each tablet contains the following inactive ingredients: colloidal silicon dioxide, croscarmellose sodium, magnesium stearate, microcrystalline cellulose, povidone, pregelatinized starch, and stearic acid. Meets USP Dissolution Test 2. Each VICODIN ES tablet contains hydrocodone bitartrate (7.5 mg) and acetaminophen (750 mg). In addition, each tablet contains the following inactive ingredients: colloidal silicon dioxide, pregelatinized starch, magnesium stearate, croscarmellose sodium, povidone, and stearic acid. Meets USP Dissolution Test 2. Each VICODIN tablet contains hydrocodone bitartrate (5 mg) and acetaminophen (500 mg). In addition, each tablet contains the following inactive ingredients: colloidal silicon dioxide, starch, croscarmellose sodium, dibasic calcium phosphate, magnesium stearate, microcrystalline cellulose, povidone, and stearic acid. Meets USP Dissolution Test 2.
- VICOPROFEN® tablets contain hydrocodone bitartrate, USP (7.5 mg) and ibuprofen, USP (200 mg). Inactive ingredients in VICOPROFEN tablets include colloidal silicon dioxide, cornstarch, croscarmellose sodium, hypromellose, magnesium stearate, microcrystalline cellulose, polyethylene glycol, polysorbate 80, and titanium dioxide.
 - VIRACEPT (nelfinavir mesylate) tablets are available for oral administration as a light blue, capsule-shaped tablet with a clear film coating in 250 mg strength (as nelfinavir free base) and as a white oval tablet with a clear film coating in 625 mg strength (as nelfinavir free base). Each tablet contains the following common inactive ingredients: calcium silicate, crospovidone, magnesium stearate, hypromellose, and triacetin. In addition, the 250 mg tablet contains FD&C Blue No. 2 powder, and the 625 mg tablet contains colloidal silicon dioxide.
 - Voltaren® (diclofenac sodium enteric-coated tablets). Voltaren is available as delayed-release (enteric-coated) tablets of 25 mg (yellow), 50 mg (light brown), and 75 mg (light pink) for oral administration. The inactive ingredients in Voltaren include hydroxypropyl methylcellulose, iron oxide, lactose, magnesium stearate, methacrylic acid copolymer, microcrystalline cellulose, polyethylene glycol, povidone, propylene glycol, sodium hydroxide, sodium starch glycolate, talc, titanium dioxide, D&C Yellow No. 10 Aluminum Lake (25 mg tablet only), and FD&C Blue No. 1 Aluminum Lake (50 mg tablet only).
 - Voltaren®-XR diclofenac sodium extended-release tablets are available as extended-release tablets of 100 mg (light pink) for oral administration. The inactive ingredients in Voltaren-XR include cetyl alcohol, hydroxypropyl methylcellulose, iron oxide, magnesium stearate, polyethylene glycol, polysorbate, povidone, silicon dioxide, sucrose, talc, and titanium dioxide.
 - VYTORIN contains ezetimibe. VYTORIN is available for oral use as tablets containing 10 mg of ezetimibe, 10 mg of simvastatin (VYTORIN 10/10), 20 mg of simvastatin (VYTORIN 10/20), 40 mg of simvastatin (VYTORIN 10/40), or 80 mg of simvastatin (VYTORIN 10/80). Each tablet contains the following inactive ingredients: butylated hydroxyanisole NF, citric acid monohydrate USP, croscarmellose sodium NF, hydroxypropyl methylcellulose USP, lactose monohydrate NF, magnesium stearate NF, microcrystalline cellulose NF, and propyl galate NF.
 - YASMIN provides an oral contraceptive regimen consisting of 21 active film-coated tablets each containing 3.0 mg of drospirenone and 0.030 mg of ethinyl estradiol and 7 inert film-coated tablets. The inactive ingredients are lactose monohydrate NF, cornstarch NF, modified starch NF, povidone 25000 USP, magnesium stearate NF, hydroxypropyl methylcellulose USP, macrogol 6000 NF, talc USP, titanium dioxide USP, ferric oxide pigment, and yellow NF. The inert film-coated tablets contain lactose monohydrate NF, cornstarch NF, povidone 25000 USP, magnesium stearate NF, hydroxypropyl methylcellulose USP, talc USP, and titanium dioxide USP.
 - Zelnorm® (tegaserod maleate) tablets contain tegaserod as the hydrogen maleate salt. Each 1.385 mg of tegaserod as the maleate is equivalent to 1 mg of tegaserod. Zelnorm is available for oral use in the following tablet formulations: 2 and 6 mg tablets (blister packs) containing 2 and 6 mg of tegaserod, respectively, and the following inactive ingredients: crospovidone, glyceryl monostearate, hypromellose, lactose monohydrate, poloxamer 188, and polyethylene glycol 4000; 6 mg tablets (bottles) containing 6 mg of tegaserod and the following inactive ingredients: crospovidone, glyceryl behenate, hypromellose, lactose monohydrate, and colloidal silicon dioxide.
 - ZESTORETIC® (lisinopril and hydrochlorothiazide) combines an ACE inhibitor, lisinopril, and a diuretic, hydrochlorothiazide. ZESTORETIC® is available for oral use in three tablet combinations of lisinopril with hydrochlorothiazide: ZESTORETIC® 10-12.5 containing 10 mg of lisinopril and 12.5 mg of hydrochlorothiazide; ZESTORETIC® 20-12.5 containing 20 mg of lisinopril and 12.5 mg of hydrochlorothiazide; and ZESTORETIC® 20-25 containing 20 mg of lisinopril and 25 mg of hydrochlorothiazide. Inactive ingredients: 10-12.5 tablets—calcium phosphate, magnesium stearate, mannitol, red ferric oxide, starch, and yellow ferric oxide; 20-12.5 tablets—calcium phosphate, magnesium stearate, mannitol, and starch; 20-25 tablets—calcium phosphate, magnesium stearate, mannitol, red ferric oxide, starch, and yellow ferric oxide.
 - ZESTRIL (lisinopril) is supplied as 2.5, 5, 10, 20, 30, and 40 mg tablets for oral administration. Inactive

- ingredients: 2.5 mg tablets—calcium phosphate, magnesium stearate, mannitol, and starch; 5, 10, 20, and 30 mg tablets—calcium phosphate, magnesium stearate, mannitol, red ferric oxide, and starch; 40 mg tablets—calcium phosphate, magnesium stearate, mannitol, starch, and yellow ferric oxide.
- ZETIA (ezetimibe) is available as a tablet for oral administration containing 10 mg of ezetimibe and the following inactive ingredients: croscarmellose sodium NF, lactose monohydrate NF, magnesium stearate NF, microcrystalline cellulose NF, povidone USP, and sodium lauryl sulfate NF.
 - Zileuton tablets for oral administration are supplied in one dosage strength containing 600 mg of zileuton. Inactive ingredients: crospovidone, hydroxypropyl cellulose, hypromellose, magnesium stearate, microcrystalline cellulose, pregelatinized starch, propylene glycol, sodium starch glycolate, talc, and titanium dioxide.
 - ZITHROMAX[®] tablets contain azithromycin dihydrate equivalent to 600 mg azithromycin. The tablets are supplied as white, modified oval-shaped, film-coated tablets. They also contain the following inactive ingredients: dibasic calcium phosphate anhydrous, pregelatinized starch, sodium croscarmellose, magnesium stearate, sodium lauryl sulfate, and an aqueous film coat consisting of hypromellose, titanium dioxide, lactose, and triacetin.
 - ZOLOFT[®] (sertraline hydrochloride) is supplied for oral administration as scored tablets containing sertraline hydrochloride equivalent to 25, 50, and 100 mg of sertraline and the following inactive ingredients: dibasic calcium phosphate dihydrate, D&C Yellow No. 10 Aluminum Lake (in 25 mg tablet), FD&C Blue No. 1 Aluminum Lake (in 25 mg tablet), FD&C Red No. 40 Aluminum Lake (in 25 mg tablet), FD&C Blue No. 2 Aluminum Lake (in 50 mg tablet), hydroxypropyl cellulose, hypromellose, magnesium stearate, microcrystalline cellulose, polyethylene glycol, polysorbate 80, sodium starch glycolate, synthetic yellow iron oxide (in 100 mg tablet), and titanium dioxide.
 - ZOMIG[®] (zolmitriptan) tablets and ZOMIG-ZMT[®] (zolmitriptan) orally disintegrating tablets contain zolmitriptan, available as 2.5 mg (yellow) and 5 mg (pink) film-coated tablets for oral administration. The film-coated tablets contain anhydrous lactose NF, microcrystalline cellulose NF, sodium starch glycolate NF, magnesium stearate NF, hydroxypropyl methylcellulose USP, titanium dioxide USP, polyethylene glycol 400 NF, yellow iron oxide NF (2.5 mg tablet), red iron oxide NF (5 mg tablet), and polyethylene glycol 8000 NF. ZOMIG-ZMT[®] orally disintegrating tablets are available as 2.5 and 5.0 mg white uncoated tablets for oral administration. The orally disintegrating tablets contain mannitol USP, microcrystalline cellulose NF, crospovidone NF, aspartame NF, sodium bicarbonate USP, citric acid anhydrous USP, colloidal silicon dioxide NF, magnesium stearate NF, and orange flavor SN 027512.
 - ZYPREXA (olanzapine) tablets contain olanzapine equivalent to 2.5 mg (8 μmol), 5 mg (16 μmol), 7.5 mg (24 μmol), 10 mg (32 μmol), 15 mg (48 μmol), or 20 mg (64 μmol). Inactive ingredients are carnauba wax, crospovidone, hydroxypropyl cellulose, hypromellose, lactose, magnesium stearate, microcrystalline cellulose, and other inactive ingredients. The color coating contains titanium dioxide (all strengths), FD&C Blue No. 2 Aluminum Lake (15 mg), or synthetic red iron oxide (20 mg). The 2.5, 5.0, 7.5, and 10 mg tablets are imprinted with edible ink that contains FD&C Blue No. 2 Aluminum Lake.
 - ZYPREXA ZYDIS (olanzapine orally disintegrating tablets) contains olanzapine equivalent to 5 mg (16 μmol), 10 mg (32 μmol), 15 mg (48 μmol), or 20 mg (64 μmol). It begins disintegrating in the mouth within seconds, allowing its contents to be subsequently swallowed with or without liquid. ZYPREXA ZYDIS (olanzapine orally disintegrating tablets) also contains the following inactive ingredients: gelatin, mannitol, aspartame, sodium methyl paraben, and sodium propyl paraben.
 - ZYRTEC[®] (tablets and syrup) is cetirizine hydrochloride. ZYRTEC[®] tablets are formulated as white, film-coated, rounded-off rectangular-shaped tablets for oral administration and are available in 5 and 10 mg strengths. Inactive ingredients are lactose, magnesium stearate, povidone, titanium dioxide, hypromellose, polyethylene glycol, and cornstarch. ZYRTEC[®] chewable tablets are formulated as purple round tablets for oral administration and are available in 5 and 10 mg strengths. Inactive ingredients of the chewable tablets are acesulfame potassium, artificial grape flavor, betadex NF, blue dye, colloidal silicon dioxide, lactose monohydrate, magnesium stearate, mannitol, microcrystalline cellulose, natural flavor, and red dye (carmines).
 - ZYRTEC-D 12 HOUR[™] (cetirizine hydrochloride [5 mg] and pseudoephedrine hydrochloride [120 mg]) extended-release tablets for oral administration contain 5 mg of cetirizine hydrochloride for immediate release and 120 mg of pseudoephedrine hydrochloride for extended release in a bilayer tablet. Tablets also contain as inactive ingredients colloidal silicon dioxide, croscarmellose sodium, hypromellose, lactose monohydrate, magnesium stearate, and microcrystalline cellulose.



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To the memory of Frederick P. Siegel

Professor Frederick P. Siegel passed away in 2013; my teacher and later a colleague, Fred was a world-class teacher with multiple golden apple awards. He left an indelible mark on my mind how to think like a student when teaching, a piece of advice that changed my perspective on how minds interact.



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Preface to the Series—Third Edition

I am humbled by the wide praise and acceptance of the last two editions of the *Handbook of Pharmaceutical Formulations*, a six-volume series that found home in the R&D laboratories of just about every pharmaceutical company, both generic and branded, and in the classrooms of pharmaceutical technology; and the regulatory agencies used this treatise to compare the quality of pharmaceutical products. In creating this work, back in 2004, my primary objective was to provide a ready source of safe and scalable generic and new pharmaceutical formulations that take a long time to develop and incur a substantial cost, to enable the availability of affordable medicines.

Each of the six volumes in the series has a structured content. Part I includes regulatory guidance, formulation steps, references to active ingredients and excipients, and a self-audit guidance for cGMP compliance. Chapters of common interest to all volumes are distributed across the six volumes, such as the formulations for coating solutions are presented in Volume 5 (OTC), though they are also pertinent to Volume 1 (Compressed Dosage Forms), and global bioequivalence testing guidelines are provided in Volume 4 (Semisolids), though they apply to all volumes. Part II includes scalable formulations and Part III, where applicable, other general formulations. The appendices include a listing of excipients used in FDA approved products and a cGMP compliance self-testing tool. Whereas the main focus of the guidance provided in the handbook pertains to compliance with FDA requirements, these apply equally to EU requirements, and, as a result, to any global agency.

The third edition also gets several significant additions; now each volume includes a self-audit template, several chapters advising how to stay cGMP compliant, including a listing of most common FDA citations to look out for in the audits, a global regulatory focus and an updated list of excipients and the level of their incorporation in the FDA-approved products. The number of formulations is also increased, and the OTC volume now contains several cosmetic formulations, and the

semisolid product volume also includes details on chewing gum delivery systems.

The updating of formulations is always cumulative as there is little need to remove any formulation provided previously—if it was right then, it shall remain good now. However, a variety of new drug delivery systems have evolved since the second edition was published, so I have included more details on these formulations, although some of these may not be available to practice due to possible limitations on the intellectual property.

As always, I advise the formulators to be aware of any intellectual property infringements as I cannot provide a guarantee to this effect.

Finally, I wish to acknowledge the leaders of the pharmaceutical world, to whom each of the volumes is dedicated. I have made a few changes to those whom the volumes are dedicated, to recognize those who have since passed away; they provided a role model to me and thousands of leaders and students of pharmacy over the decades of their careers. They are gone, but not without leaving an indelible mark on the profession.

I also consider myself fortunate to have the sponsorship and assistance of the great folks at the CRC Press, more particularly Jessica Poile and Hilary LaFoe. The teams at the CRC Press were very kind to put up with my redundant changes to the manuscript and were extremely generous in their advice in balancing the scientific and practical knowledge and, above all, making sure that the book was framed and published in the highest professional presentation. As always, I take responsibility for any mistakes and errors in my writing, and I am always open to suggestions by the readers to make future editions. I can be contacted at niazi@niazi.com.

Sarfaraz K. Niazi
Deerfield, Illinois
2019



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Preface to the Series—Second Edition

The science and the art of pharmaceutical formulation keeps evolving as new materials, methods, and machines become readily available to produce more reliable, stable, and release-controlled formulations. At the same time, globalization of sourcing of raw and finished pharmaceuticals brings challenges to regulatory authorities and results in more frequent revisions to current good manufacturing practices, regulatory approval dossier requirements, and the growing need for cost optimization. Since the publication of the first edition of this book, a lot has changed in all of these areas of importance to pharmaceutical manufacturers. The second edition builds on the dynamic nature of the science and art of formulations, and provides an ever more useful handbook that should be highly welcomed by the industry, the regulatory authorities, as well as the teaching institutions.

The first edition of this book was a great success as it brought the myriad choices available to formulators under one umbrella. The readers were very responsive and communicated with me frequently, pointing out the weaknesses as well as the strengths of the book. The second edition totally revised attempts to achieve these by making major changes to the text, some of which include:

1. Complete, revised errors corrected and subject matter reorganized for easy reference. Whereas this series has six volumes differentiated on the basis of the type of dosage form and a separate inclusion of the U.S. OTC products, ideally the entire collection is needed to benefit from the myriad topics relating to formulations, regulatory compliance, and dossier preparation.
2. Total number of pages is increased from 1684 to 2726.
3. Total number of formulations is expanded by about 30% with many newly approved formulations.
4. Novel formulations are now provided for a variety of drugs; these data are collected from the massive intellectual property data and suggest toward the future trend of formulations. While some of these formulations may not have been approved in the United States or Europe, these do provide additional choices, particularly for the NDA preparation. As always, it is the responsibility of the manufacturer to assure that the intellectual property rights are not violated.
5. A significant change in this edition is the inclusion of commercial products; while most of this information is culled out from the open source such as the FOIA (<http://www.fda.gov/foi/default.htm>), I have made attempts to reconstruct the critical portions of it based on what I call the generally acceptable standards. The drug companies are advised to assure that any intellectual property rights are not violated and this applies to all information contained in this book. The freedom of information act (FOIA) is an extremely useful conduit for reliable information and manufacturers are strongly urged to make use of this information. Whereas this information is provided free of charge, the process of obtaining the information may be cumbersome, in which case, commercial sources of these databases can prove useful, particularly for the non-U.S. companies.
6. Also included are the new Good Manufacturing Guidelines (2007) with amendments (2008) for the United States and similar updates for the European Union and WHO; it is strongly urged that the companies discontinue using all old documents as there are significant changes in the revised form, and many of them are likely to reduce the cost of GMP compliance.
7. Details on the design of clean rooms is a new entry that will be of great use to sterile product manufacturers; whereas the design and flow of personnel and material flow is of a critical nature, regulatory agencies view these differently and the manufacturer is advised always to comply with the most stringent requirements.
8. Addition of a self-auditing template in each volume of the series. While the cGMP compliance is a complex issue and the requirements diversified across the globe, the basic compliance remains universal. I have chosen the European Union guidelines (as these are more in tune with the ICH) to prepare a self-audit module that I recommend that every manufacturer adopt as a routine to assure GMP compliance. In most instances, reading the template by those responsible for compliance will keep them sensitive to the needs of GMP.
9. OTC products cross-referenced in other volumes where appropriate. This was necessary since the regulatory authorities worldwide define this class of drug differently. It is important to iterate that, regardless of the prescription or the OTC status of a product, the requirements for compliance with the cGMP apply equally.
10. OTC monograph status is a new section added to the OTC volume and this should allow manufacturers to choose appropriate formulations that may not require a filing with the regulatory agencies; it is important to iterate that an approved OTC monograph includes details of formulation, including the types and quantities of active drug and excipients, labeling, and presentation. To qualify the exemption, the manufacturer must comply with the monograph in its entirety. However, subtle modifications that are merely cosmetic in nature and where there

- is evidence that the modification will not affect the safety and efficacy of the products can be made but require the prior approval of the regulatory agencies and generally these approvals are granted.
11. Expanded discussion on critical factors in the manufacturing of formulations provided; from basic shortcuts to smart modifications now extend to all dosage forms. Pharmaceutical compounding is one of the oldest professions and whereas the art of formulations has been relegated to more objective parameters, the art nevertheless remains. An experienced formulator, like an artist, would know what goes with what and why; he avoids the pitfalls and stays with conservative choices. These sections of the book present advice that is time-tested, although it may appear random at times; this is intended for experienced formulators.
 12. Expanded details on critical steps in the manufacturing processes are provided with details in the prototype formulations. The reader is advised to browse through similar formulations to gain more insight. Where multiple formulations are provided for the same drug, it is intended to show the variety of possibilities in formulating a drug and whereas it pertains to a single drug, the basic formulation practices can be extended to many drugs of the same class or even of diversified classes. Readers have often requested that more details be provided in the Manufacturing Direction sections. Whereas sufficient details are provided, this is restricted to prototype formulations to keep the size of the book manageable and to reduce redundancy.
 13. Addition of a listing of approved excipients and the level allowed by regulatory authorities. This new section allows formulators a clear choice on which excipients to choose; the excipients are reported in each volume pertaining to the formulation type covered. The listing is drawn from the FDA-approved entities. For the developers of an ANDA, it is critical that the level of excipients be kept within the range generally approved to avoid large expense in justifying any unapproved level. The only category for which the listing is not provided separately is the OTC volume since it contains many dosage forms and the reader is referred to the dosage form-specific title of the series. The choice of excipients forms keeps increasing with many new choices that can provide many special release characteristics to the dosage forms. Choosing correct excipients is thus a tedious exercise and requires sophisticated multivariate statistical analysis. Whereas the formulator may choose any number of novel or classical components, it is important to know the levels of excipients that are generally allowed in various formulations to reduce the cost of redundant exercises; I have therefore included, as an appendix to each volume, a list of all excipients that are currently approved by the U.S. FDA along their appropriate levels. I suggest that a formulator consult this table before deciding on which level of excipient to use; it does not mean that the excipient cannot be used outside this range but it obviates the need for a validation and lengthy justification studies in the submission of NDAs.
 14. Expanded section on bioequivalence submission was required to highlight the recent changes in these requirements. New entries include a comprehensive listing of bioequivalence protocols in abbreviated form as approved by the U.S. FDA; these descriptions are provided in each volume where pertinent. To receive approval for an ANDA, an applicant must generally demonstrate, among other things, equivalence of the active ingredient, dosage form, strength, route of administration, and conditions of use as the listed drug, and that the proposed drug product is bioequivalent to the reference listed drug [21 USC 355(j)(2)(A); 21 CFR 314.94(a)]. Bioequivalent drug products show no significant difference in the rate and extent of absorption of the therapeutic ingredient [21 U.S.C. 355(j)(8); 21 CFR 320.1(e)]. BE studies are undertaken in support of ANDA submissions with the goal of demonstrating BE between a proposed generic drug product and its reference listed drug. The regulations governing BE are provided at 21 CFR in part 320. The U.S. FDA has recently begun to promulgate individual bioequivalence requirements. To streamline the process for making guidance available to the public on how to design product-specific BE studies, the U.S. FDA will be issuing product-specific BE recommendations (www.fda.gov/cder/ogd/index.htm). To make this vital information available, an appendix to each volume includes a summary of all currently approved products by the U.S. FDA where a recommendation on conducting bioequivalence studies is made available by the U.S. FDA. When filing an NDA or an ANDA, the filer is faced with the choice of defending the methods used to justify the bioavailability or bioequivalence data. The U.S. FDA now allows application for waiver of bioequivalence requirement; a new chapter on this topic has been added along with details of the dissolution tests, where applicable, approved for various dosage forms.
 15. Dissolution testing requirements are included for all dosage forms where this testing is required by the FDA. Surrogate testing to prove efficacy and compliance is getting more acceptance at regulatory agencies; in my experience, a well-designed dissolution test is the best measure of continuous compliance. Coupled with chapters on waivers of bioequivalence testing, this information on dissolution testing should be of great value to all manufacturers; it is recommended that manufacturers develop their own in-house specifications, more stringent than those allowed in these listings and the USP.

16. Best-selling products (top 200 prescription products) are identified with an asterisk and a brand name where applicable; in all instances, the composition of these products is provided along with the formulation of generic equivalents. Despite the vast expansion of pharmaceutical sales and shifting of categories of blockbuster drugs, basic drugs affecting the gastrointestinal tract, vascular system, and brain remain most widely prescribed.
17. An updated list of approved coloring agents in the United States, Canada, European Union, and Japan is included to allow manufactures to design products for worldwide distribution.
18. Tablet-coating formulations that meet worldwide requirements of color selection are included in the Volume 1 (compressed solids) and Volume 5 (OTC) because these represent the products often coated.
19. Guidelines on preparing regulatory filings are now dispersed throughout the series depending on where these guidelines are more crucial. However, the reader would, as before, need access to all volumes to benefit from the advice and guidelines provided.

As always, comments and criticism from the readers are welcomed and these can be sent to me at Niazi@pharmsci.com or Niazi@niazi.com. I would try to respond to any inquiries requiring clarification of the information enclosed in these volumes.

I would like to express deep gratitude to Sherri R. Niziolek and Michelle Schmitt-DeBonis at Informa, the publisher of this work, for seeing an immediate value to the readers in publishing the second edition of this book and allowing me enough time to prepare this work. The diligent editing and composing staff at Informa, particularly Joseph Stubenrauch, Baljinder Kaur, and others are highly appreciated. Regardless, all errors and omissions remain altogether mine.

In the first edition, I had dedicated each volume to one of my mentors; the second edition continues the dedication to these great teachers.

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Preface to the Series—First Edition

No industry in the world is more highly regulated than the pharmaceutical industry because of the potential threat to a patient's life from the use of pharmaceutical products. The cost of taking a new chemical entity (amortized over the cost of all molecules racing) to final regulatory approval is a staggering \$800 million, making the pharmaceutical industry one of the most research-intensive industries in the world. In the year 2004, it is anticipated that the industry will spend about \$20 billion on research and development. The generic market of drugs as the new entities come off patent is one of the fastest growing segments of the pharmaceutical industry, with every major multinational company having a significant presence in this field.

Whereas many stages of new drug development are inherently constrained with time, the formulation of drugs into desirable dosage forms remains an area where expediency can be practiced with appropriate knowledge by those who have mastered the skills of pharmaceutical formulations. The Handbook of Pharmaceutical Manufacturing Formulations is the first major attempt to consolidate the available knowledge about formulations in a comprehensive and, by nature, rather voluminous presentation.

The book is divided into six volumes, based strictly on the type of formulation science involved in the development of these dosage forms: sterile products, compressed solids, uncompressed solids, liquid products, semisolid products, and OTC products. The separation of OTC products, even though they may easily fall into one of the other five categories, is made to comply with the industry norms of separate research divisions for OTC products. Sterile products require skills related to the sterilization of product, and of less importance is the bioavailability issue, which is an inherent problem of

compressed dosage forms. These types of considerations have led to the classification of products into these six categories.

Each volume includes a description of regulatory filing techniques for the formulations described. Also included are the current regulatory guidelines on cGMP compliance specific to the dosage form. Advice is offered on how to scale up the production batches.

It is expected that formulation scientists will use this information to benchmark their internal development protocols and cut the race to file short by adopting formulae that have survived the test of time. Many of us who have worked in the pharmaceutical industry suffer from a close paradigm when it comes to selecting formulations—"not invented here" perhaps reigns in the mind of many seasoned formulations scientists subconsciously when they prefer to choose only a certain platform for development. It is expected that with the quick review of possibilities available to formulate made available in this book, scientists will benefit from the experience of others.

For the teachers of formulation sciences, this series offers a wealth of information. Whether it is a selection of a preservative system or the choice of a disintegrant, the series offers a wide choice to study and rationalize.

Many have assisted me in the development of this work that has taken years to compile, and I thank scores of my graduate students and colleagues for their help. A work of this size cannot be produced without errors, although I hope that these errors do not distract the reader from the utility of the book. I would sincerely appreciate if readers point out these mistakes for corrections in future editions.

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Preface to the Volume—First Edition

Uncompressed solid products formulations comprise aggregates of powders, such as powders for topical application, for use as insufflations, and for extemporaneous suspensions, as well as hard gelatin capsules or any other form wherein the final form is not compressed. The rationale for this clear demarcation of formulations based on their state of aggregation is important to understand. Whereas compressed solid products require formulation components to render them compressible while allowing free flow into compression cavities, such considerations are of lesser importance for uncompressed solid products. (The flow requirement, nevertheless, stays because the powders must be forced into capsule shells or poured into bottles or other packaging forms.) Uncompressed solid products, on the other hand, offer their own set of formulation problems related to the segregation of powders due to static charges, environmental contamination during the filling process, and inevitable problems in wetting and dissolution, thus leading to possible bioavailability problems *in vivo*. In the series of steps that determine the ultimate dissolution of the product, however, uncompressed solid products are one critical step ahead of compressed solid products—disintegration. The formulator is advised to read Chapter 4 of this volume, which discusses guidelines on the waiver of bioavailability requirements. Substantial development costs can be reduced when a drug undergoes fast dissolution, and these considerations must therefore be part of any new formulation effort. The reader is also referred to Volume 1 of this series where current and proposed bioavailability guidelines are provided.

Chapter 1 addresses the fundamental issues of good manufacturing practices (GMPs). The chapter provides access addresses to all major guidelines around the world and also highlights the U.S. Food and Drug Administration (FDA) guidelines. A discussion of the most recent changes in the philosophy of establishing the GMP guidelines based on risk assessment is addressed in this chapter as well.

Chapter 2 presents a more recent discussion of how the U.S. FDA inspectors are supposed to conduct inspections; this topic is of continuous importance to all drug manufacturers. Although it is included in this volume, the guidelines apply to all dosage forms.

Chapter 3 discusses the topic of bioequivalence and bioavailability of solid products. Although this is discussed more thoroughly in Volume 1, the emphasis in Chapter 3 is placed on the guidelines to request a waiver of bioavailability/bioequivalence testing; this is something of great importance to both the innovator and the generic drug manufacturer.

Chapter 4 highlights the manufacturing aspects of uncompressed drugs as well as various topics of general and specific interest.

Part II provides formulations for more than 400 pharmaceutical products. Included in part are not only the currently approved products but also several innovative products such as small proteins, instantly liquefiable powders, and

nanoparticles. Formulators are strongly urged to review the methodologies described here to serve as a reference point for their own formulations. Some combination products or dosage forms are described that are not currently approved by the FDA (i.e., not included in the Orange Book), and they may be in the development phase or in experimental phases. As is always the case, it is the responsibility of the manufacturer to ensure that the formulations used in the production do not violate any intellectual property or proprietary practice laws. The most effective means of establishing this is through a study of the Orange Book, which lists the exclusivities and unexpired patents. The patent numbers provided in the Orange Book should then be searched for collateral patents, the FDA freedom of information (FOI) database, and other literature to ensure that the intellectual or proprietary property rights are not violated.

Whereas coating solutions are not as important as in the case of compressed solids, nevertheless, some capsules are coated and the granules that are filled in capsules for sustained or timed release are coated, utilizing nonpareil sugar beads most often. The coating solutions are described here, but the reader is further referred to Volume 1 for a detailed description of coating solutions that can be easily adapted to the product intended for formulation into a sustained release profile. Whereas some forms of powders are meant to be sterile, the sterility considerations are discussed in Volume 6.

The subject of powder technology is vast, with applications in many fields. The serious reader is referred to the journal *Advanced Powder Technology* (<http://www.vspub.com/journals/jn-AdvPowTec.html>). Such advances as inhalation insulin in a powder form and the new science of nanoparticles open a new phase of pharmaceutical research and development. Nanotechnology describes the ability to create new materials from building blocks the size of an atom cluster. Nanomaterials are powders and materials optimized at the nanoscale (10⁻⁹ m or a billionth of a meter in size). Nanopowders consist of particles with dimensions that can be measured by X-ray crystallography to be a few hundred atoms in diameter.

The formulations are presented in this volume with a scale for each unit: per capsule or per unit dose of powder. Quantities are expressed for 1000 units. Sometimes, however, a different presentation is chosen for simplicity and clarity. It is often customary for manufacturers to scale formulae for a specific weight, such as 100 or 1000 kg to match the mixing vessel requirements. This can be done roughly by multiplying the weight of each capsule or unit powder by the quantity desired to calculate the size of the batch. The reader should be aware that the actual yield may be different because of differences in the scale and quantity due to differences in the chemical form of drugs used, excesses added, and loss of moisture during manufacturing. Further, adjustment of quantity based on potency of raw material, where pertinent,

changes the quantity requirements. Most of these products are identified in this volume by a brief description before the listing of the Bill of Materials, which may not necessarily represent the commercially available dosage form; the description includes details of the commercial product.

A distinctive feature of this volume is the identification and inclusion of the most often approved capsules and powders in the United States. It is noteworthy that in the preparation of an abbreviated new drug application (ANDA), it is important for both regulatory and scientific reasons to keep the selection of excipients as close as possible to the innovator's product. The listing provided here includes every excipient used in the innovator listing and quantitative formulae in several instances. Whereas, in most instances, sufficient details are provided to assist in the formulation of a generic equivalent with exact quantities of excipients and conditions appropriate for processing, the examples provided for other drugs of a similar type should be sufficient for an astute formulator to develop quickly these formulations. Should there be a need for assistance in finalizing the formulations, however, the reader is invited, without any obligation, to write to the author at niazi@pharmsci.com. It should be emphasized that manufacturers frequently use colored capsule shells to identify their products and often imprint them with logos or other identification marks. It is important to understand that the coloring dyes are not universally approved and, in some instances, may form the basis for a trademark. The formulator is advised to investigate this aspect carefully; nevertheless, in most formulations, the dyes used are disclosed.

Whereas the science and the art of formulations remain within the domain of experienced hands, the wide dissemination of information about drug formulation compositions and problems related to them makes it easier for one to design excellent benchmarked formulations. The web site of the U.S. FDA (<http://www.fda.gov>) remains one of the best sources of information. At times, however, commercial sources of databases, particularly the details that come under the Freedom of Information Act, can be more useful (e.g., <http://www.foiservices.com/>). No endorsement is intended here for any company or resource.

I am grateful to CRC Press I LLC for taking the lead in publishing what is possibly the largest such work in the field of pharmaceutical manufacturing. It has been a distinct privilege to have known Stephen Zollo, senior editor at CRC Press, for years. Stephen has done more than any editor I have known to encourage me to complete this work on a timely basis. The editorial assistance provided by the CRC Press staff was indeed exemplary, particularly the assistance of Erika Dery, Samar Haddad, and others at CRC Press. Although much care has gone into correcting errors, any remaining errors are altogether mine. The reader is encouraged to bring any errors to my attention so that I may make corrections in future editions of this volume (niazi@pharmsci.com).

This book is dedicated to Takeru Higuchi. Higuchi was a university regents distinguished professor of pharmaceutical chemistry and chemistry at Kansas University, and the founding chair of the department of pharmaceutical chemistry. He was known for the first systematic application of chemical principles to drug design, delivery, and analysis. His scientific accomplishments earned him the informal title of "father of physical pharmacy." Higuchi died in 1987. A famous quote of Tak Higuchi is that "It is merely a matter of orderly thinking ... and a little organization." One of his admirers notes, "His uniqueness is that he can look into the future and see things and imagine things that most of us cannot. Higuchi has the ability to identify what will be important in the future—that is his genius." I met Tak several times during my teaching career and heard a lot more about him from my colleagues and teachers who worked with him directly. (It was rumored that he wrote the entire logarithmic table when flying to Japan because he needed to solve an equation.) I learned much of my science by reading Tak's papers, which are full of insight and fresh approaches to old problems. He was also a good businessman and a wonderful role model for industry–academia partnership. His aura is inspiring and his presence overwhelming even though he is not among us anymore. People like Tak Higuchi are rare in any profession; we were just lucky to have him.

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Sarfaraz K. Niazi has been teaching and conducting research in the pharmaceutical industry for over 40 years. He has authored hundreds of scientific papers, scores of textbooks, handbooks, and literary books on the topics of pharmaceutical formulation, biopharmaceutics, pharmacokinetics, bioprocessing, and recombinant engineering, as

well as poetry and philosophy. He is also an inventor with 100+ patents in the field of bioprocessing, technology, drug, and dosage form delivery systems; he is also licensed to practice law before the U.S. Patent and Trademark Office. He has formulated hundreds of products ranging from the most popular consumer products to complex generics and biotechnology-derived products. Dr. Niazi advises regulatory agencies and the pharmaceutical industry internationally on and making safe and effective drugs affordable (www.pharmsci.com). He can be contacted at niazi@niazi.com.

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Part I

Regulatory and Manufacturing Guidelines



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1 U.S. FDA Good Manufacturing Practices

I. INTRODUCTION

Good Manufacturing Practices (GMPs) is a universal concept with a dual purpose: to make pharmaceutical products both safe and consistent in their effectiveness. Remarkable changes are taking place in the basic approach to achieve these goals. The key regulations and guidelines for the manufacturing of finished pharmaceuticals (as opposed to raw material or active ingredient manufacturing) in this respect are

1. 21 Code of Federal Regulations, Parts 210 and 211 (Part 210—Current Good Manufacturing Practice in Manufacturing, Processing, Packing, or Holding of Drugs; General Part 211—Current Good Manufacturing Practice for Finished Pharmaceuticals) <http://www.fda.gov/cder/dmpq/cgmpregs.htm>
2. The World Health Organization (WHO): Quality Assurance of Pharmaceuticals: A compendium of guidelines and related materials, Volume 2, Good Manufacturing Practices and Inspection http://www.who.int/medicines/areas/quality_safety/quality_assurance/production/en/index.html
3. The Rules Governing Medicinal Products in the European Union: Volume 4, Good Manufacturing Practices http://ec.europa.eu/enterprise/pharmaceuticals/eudralex/vol4_en.htm
4. The European Agency for the Evaluation of Medicinal Products—International Conference on Harmonization (ICH) Guidelines <http://www.emea.europa.eu/Inspections/GMPHome.html>
5. Health Products and Food Branch Inspectorate of Canada. Good Manufacturing Practices Guidelines—<http://www.hc-sc.gc.ca/dhp-mps/compli-conform/gmp-bpf/guide-ld-2002/index-eng.php>
6. Therapeutic Goods Administration, Government of Australia—Australian Code for Good Manufacturing Practice <http://www.tga.gov.au/docs/html/gmpcodau.htm>

Though there are many common elements among the approach to GMP taken by the worldwide drug regulatory guidelines, there remains a major difference between the approvals awarded in the United States vis-a-vis Europe and the rest of the world. The U.S. Food and Drug Administration (FDA) inspection is triggered only when an application for marketing authorization has been submitted to the FDA. If the FDA chooses to inspect a facility—the so-called pre-approval inspection (PAI)—the company is so advised and the approval of the pending New Drug Application (NDA) or abbreviated New Drug Application (aNDA) is delayed until the inspection is completed. The main focus of PAI is to

establish if the applicant firm is capable of manufacturing a safe product, the issues relating to efficacy, dosing, and label copy being reviewed by the agency office in Washington, D.C. It is important to realize that all documents labeled as guidelines remain guidelines and the FDA inspectors are not bound by any specifications, requirements, or designs suggested in the current Good Manufacturing Practices (cGMP) documents. In almost all instances, the FDA inspector visiting a facility for the first time would take time to explain this to the technical team that receives the inspection team. “We are not bound by the CGMP guidelines because these meant to guide you and not bind us.” This comes as a surprise to many who may have otherwise worked out each and every recommendation made in the guideline. In several places, the reader will find the instructions given to the inspection team on what to inspect and how to inspect it and these should be studied carefully. Since PAI is related to a specific product and not to the entire facility, the focus of inspection remains the submitted aNDA or NDA and the facility involved in the manufacturing of that specific product. Except for those systems that directly impinge on the quality of the submitted product, the FDA inspectors would generally keep out of other areas. For example, if the submitted application is a sterile product, the FDA inspection will be limited to the facility filling sterile products. Common elements of warehousing, QC, QA are however always part of any inspection.

The focus of PAI is to establish the robustness of the firm’s QA systems that will allow consistent production of a safe product, meaning the product is free from contamination, complies with the listed specifications, and is packed such as to allow it to reach the consumer with sufficient shelf-life remaining. It is not unusual for the PAI team to perform a more in-depth audit of the document trail and a more superficial inspection of the physical facility. (The EDQM/EMEA and WHO inspections are mostly facility intensive.) Generally, the PAI team will ensure that the standard operating procedures (SOPs) as written by the firm are followed faithfully and that those involved in assuring the safety guarantee of the product are properly trained.

Whereas the FDA’s PAI results in approval of the marketing authorization application, the facility is not declared compliant except for the product for which the inspection was made; thus it is a misnomer to call a firm, “FDA-approved.” The U.S. FDA does not approve facilities, it approves products. The WHO audits can result in awarding a facility preapproval to submit for bids on WHO contracts as a GMP-certified facility.

II. U.S. FDA CGMP GUIDELINES

The U.S. FDA oversees the quality of drug products using a two-pronged approach including a review of information

submitted in applications as well as an inspection of manufacturing facilities for conformance to requirements for cGMPs. These two programs have served the United States well by helping to ensure the quality of drug products available. Now, as we approach the 25th anniversary of the last major revision to the drug cGMP regulations, the U.S. FDA has undertaken a program to overhaul the entire process of cGMP compliance so that

- The most up-to-date concepts of risk management and quality systems approaches are incorporated while continuing to ensure product quality
- The latest scientific advances in pharmaceutical manufacturing and technology are encouraged
- The submission review program and the inspection program operate in a coordinated and synergistic manner
- Regulation and manufacturing standards are applied consistently
- Management of the program encourages innovation in the pharmaceutical manufacturing sector
- FDA resources are used most effectively and efficiently to address the most significant health risks

Over the last two decades, significant changes in the environment of pharmaceutical regulation have occurred and have resulted in incremental adjustments in the FDA's regulatory approach to product quality. These changes include:

- Increased number of pharmaceutical products and a greater role of medicines in health care
- Decreased frequency of FDA manufacturing inspections as a result of fewer resources available for pharmaceutical manufacturing inspections
- The FDA's accumulation of experience with, and lessons learned from, various approaches to the regulation of product quality
- Advances in the pharmaceutical sciences and manufacturing technologies
- Application of biotechnology in drug discovery and manufacturing
- Advances in the science and management of quality
- Globalization of the pharmaceutical industry

The cumulative impact of these changes has been greater than the sum of the parts and warrants a systematic reappraisal of the FDA's approaches to product quality regulation. The following principles will guide implementation of the reappraisal:

Risk-based orientation—To provide the most effective public health protection, the FDA must match its level of effort against the magnitude of risk. Resource limitations prevent uniformly intensive coverage of all pharmaceutical products and production. Although the agency has been implementing risk-based programs, a more systematic and rigorous risk-based approach will be developed.

Science-based policies and standards—Significant advances in pharmaceutical sciences and in manufacturing technologies have occurred over the last two decades. Although this knowledge has been incorporated in an ongoing manner into the FDA's approach to product quality regulation, the fundamental nature of the changes dictates a thorough evaluation of the science base to ensure that product quality regulation not only incorporates up-to-date science, but also encourages further advances in technology. Recent science can also contribute significantly to assessment of risk.

Integrated quality systems orientation—Principles from various innovative approaches to manufacturing quality that have been developed in the past decade will be evaluated for applicability, and cGMP requirements and related preapproval requirements will be evaluated according to applicable principles. In addition, interaction of the premarket chemistry, manufacturing, and control (CMC) review process and the application of cGMP requirements will be evaluated as an integrated system.

International cooperation—The globalization of pharmaceutical manufacturing requires a global approach to regulation. The FDA will collaborate with other regulatory authorities via the International Conference on Harmonization and other venues.

Strong public health protection—The initiative will strengthen the public health protection achieved by the FDA's regulation of drug product manufacturing and will not interfere with strong enforcement of the existing regulatory requirements, even as we are examining and revising our approach to these programs.

To accomplish the reappraisal, the FDA will carry out the following broad actions.

- Perform an external review of the existing cGMP program and product review practices, including an evaluation of potential inconsistencies in implementation.
- Reassess and reevaluate our current scientific approach to both the product review process and the cGMP program to achieve a consistent, integrated systems approach to product quality regulation.
- Enhance the scientific approach of cGMPs to emphasize risk-based control point analysis and to facilitate the latest innovations in pharmaceutical engineering.

The following immediate steps are planned.

- Holding scientific workshops with key stakeholders
- Enhancing expertise in pharmaceutical technologies (e.g., pharmaceutical engineering and industrial pharmacy) by additional training and hiring, and by leveraging external expertise

- Encouraging innovation within the existing framework of statutory provisions and regulations by allowing certain changes in the manufacturing process without prior review/approval (e.g., comparability protocols)
- Evaluating the optimal mechanisms to effectively and efficiently communicate deficiencies to industry, including content, consistency, disclosure, and education
- Shifting the agency lead on the implementation of Part 11 to Center for Drug Evaluation and Research (CDER), with continued involvement from the other centers of the FDA and the Office of Regulatory Affairs
- Including product specialists, as needed, as a part of inspection teams
- Having centers provide a scientific and technical review of all drug cGMP warning letters
- Developing a technical dispute resolution process that integrates technical experts from the centers and addresses perceived inconsistencies between centers
- Emphasizing a risk-based approach in the work planning process
- Improving the operations of team biologics of the Center for Biological Evaluation and Research

Intermediate steps are

- using emerging science and data analysis to enhance compliance programs to target the highest risk areas,
- evaluating the feasibility of establishing dedicated cadres of pharmaceutical inspectors

Long-term steps are

- enhancing training of agency staff on new scientific approaches and innovative pharmaceutical manufacturing technology,
- developing and publishing policies and procedures reflecting a science-based risk management approach,
- educating industry on new regulatory approaches that encourage innovation

In conclusion, the industry must keep a close watch on these developments as new cGMP guidelines are drafted by the U.S. FDA. This is particularly important for the new start-ups wherein much of what the FDA would like to see in the future can be readily provided. Whereas it is anticipated that the FDA will loosen its noose on some of the less risky aspects of cGMP, greater emphasis will be placed on protecting patients when high-risk drugs are involved. The basic guidelines, however, are here to stay and an overview of these fundamental concepts is presented next.

A. GENERAL PROVISIONS

Title 21 of CFR Parts 210 and 211 describes the current GMP practices; this chapter contains the guidelines current as of

2007 and their amendments current as of 2008. Section 211.1, “Scope,” states:

“The regulations in this part contain the minimum current good manufacturing practice for preparation of drug products for administration to humans or animals. Pending consideration of a proposed exemption, published in the Federal Register of September 29, 1978, the requirements in this part shall not be enforced for over-the-counter (OTC) drug products if the products and all their ingredients are ordinarily marketed and consumed as human foods, and which products may also fall within the legal definition of drugs by virtue of their intended use.”

Periodically, the FDA issues amendments, specific product instructions, and other labeling or manufacturing requirements for a variety of drugs. The reader is advised to consult these guidelines routinely. In light of substantial changes made to these guidelines, it is further advised that instead of comparing these guidelines with the older version, the companies discard the old guidelines and adopt the following document in their standard operating procedures.

Manufacturers who have experience in routine FDA inspections as well as special inspections know well that all of these documents are labeled as guidelines, which literally means that the FDA inspectors are not bound by these—these are merely guidelines. In every instance the purpose of inspection is to ensure that the manufacturer is capable of producing a safe product, the efficacy being already established through the filing of the NDA or aNDA.

Part 210—cGMP in Manufacturing, Processing, Packaging, or Holding of Drugs; General

210.1 Status of cGMP regulations

210.2 Applicability of cGMP regulations

210.3 Definitions

210.1 Status of cGMP regulations

- a. The regulations set forth in this part and in parts 211 through 226 in the FDA guidelines contain the minimum cGMP for methods to be used in and the facilities or controls to be used for the manufacture, processing, packing, or holding of a drug to ensure that such drug meets the requirements of the act as to safety and has the identity and strength and meets the quality and purity characteristics that it purports or is represented to possess.
- b. The failure to comply with any regulation set forth in this part and in parts 211 through 226 in the FDA guidelines in the manufacture, processing, packing, or holding of a drug shall render such drug to be adulterated under section 501(a)(2)(B) of the act and such drug, as well as the person who is responsible for the failure to comply, shall be subject to regulatory action.
- c. Owners and operators of establishments engaged in the recovery; donor screening; testing (including donor testing); processing; storage; labeling; packaging; or distribution

of human cells, tissues, and cellular and tissue-based products (HCT/Ps), as defined in 1271.3(d) of this chapter, that are drugs (subject to review under an application submitted under section 505 of the act or under a biological product license application under section 351 of the Public Health Service Act), are subject to the donor eligibility and applicable current good tissue practice procedures set forth in part 1271 subparts C and D of this chapter, in addition to the regulations in this part and in parts 211 through 226 in the FDA guidelines. Failure to comply with any applicable regulation set forth in this part, in parts 211 through 226 in the FDA guidelines, in part 1271 subpart C of this chapter, or in part 1271 subpart D of this chapter with respect to the manufacture, processing, packing or holding of a drug, renders an HCT/P adulterated under section 501(a)(2)(B) of the act. Such HCT/P, as well as the person who is responsible for the failure to comply, is subject to regulatory action.

210.2 Applicability of cGMP regulations

- a. The regulations in this part and in parts 211 through 226 in the FDA guidelines as they may pertain to a drug; in parts 600 through 680 of this chapter as they may pertain to a biological product for human use; and in part 1271 of this chapter as they are applicable to a HCT/P that is a drug (subject to review under an application submitted under section 505 of the act or under a biological product license application under section 351 of the Public Health Service Act); shall be considered to supplement, not supersede, each other, unless the regulations explicitly provide otherwise. In the event of a conflict between applicable regulations in this part and in other parts of this chapter, the regulation specifically applicable to the drug product in question shall supersede the more general.
- b. If a person engages in only some operations subject to the regulations in this part, in parts 211 through 226 in the FDA guidelines, in parts 600 through 680 of this chapter, and in part 1271 of this chapter, and not in others, that person need only comply with those regulations applicable to the operations in which he or she is engaged.

210.3 Definitions

- a. The definitions and interpretations contained in section 201 of the act shall be applicable to such terms when used in this part and in parts 211 through 226 in the FDA guidelines.
- b. The following definitions of terms apply to this part and to parts 211 through 226 in the FDA guidelines.
 1. Act means the Federal Food, Drug, and Cosmetic Act, as amended (21 USC 301 et seq.).

2. Batch means a specific quantity of a drug or other material that is intended to have uniform character and quality, within specified limits, and is produced according to a single manufacturing order during the same cycle of manufacture.
3. Component means any ingredient intended for use in the manufacture of a drug product, including those that may not appear in such drug product.
4. Drug product means a finished dosage form, for example, tablet, capsule, solution that contains an active drug ingredient generally, but not necessarily, in association with inactive ingredients. The term also includes a finished dosage form that does not contain an active ingredient but is intended to be used as a placebo.
5. Fiber means any particulate contaminant with a length at least three times greater than its width.
6. Non-fiber-releasing filter means any filter, which after any appropriate pretreatment, such as washing or flushing, will not release fibers into the component or drug product that is being filtered. All filters composed of asbestos are deemed to be fiber-releasing filters.
7. Active ingredient means any component that is intended to furnish pharmacological activity or other direct effect in the diagnosis, cure, mitigation, treatment, or prevention of disease, or to affect the structure or any function of the body of man or other animals. The term includes those components that may undergo chemical change in the manufacture of the drug product and are present in the drug product in a modified form intended to furnish the specified activity or effect.
8. Inactive ingredient means any component other than an active ingredient.
9. In-process material means any material fabricated, compounded, blended, or derived by chemical reaction that is produced for, and used in, the preparation of the drug product.
10. Lot means a batch, or a specific identified portion of a batch, having uniform character and quality within specified limits; or, in the case of a drug product produced by continuous process, it is a specific identified amount produced in a unit of time or quantity in a manner that ensures its having uniform character and quality within specified limits.
11. Lot number, control number, or batch number means any distinctive combination of letters, numbers, or symbols, or any combination of

- them, from which the complete history of the manufacture, processing, packing, holding, and distribution of a batch or lot of drug product or other material can be determined.
12. Manufacture, processing, packing, or holding of a drug product includes packaging and labeling operations, testing, and quality control of drug products.
 13. The term medicated feed means any Type B or Type C medicated feed as defined in 558.3 in the FDA guidelines. The feed contains one or more drugs as defined in section 201(g) of the act. The manufacture of medicated feeds is subject to the requirements of part 225 in the FDA guidelines.
 14. The term medicated premix means a Type A medicated article as defined in 558.3 in the FDA guidelines. The article contains one or more drugs as defined in section 201(g) of the act. The manufacture of medicated pre-mixes is subject to the requirements of part 226 in the FDA guidelines.
 15. Quality control unit means any person or organizational element designated by the firm to be responsible for the duties relating to quality control.
 16. Strength means
 - i. the concentration of the drug substance (e.g., weight/weight, weight/volume, or unit dose/volume basis) and/or
 - ii. the potency, that is, the therapeutic activity of the drug product as indicated by appropriate laboratory tests or by adequately developed and controlled clinical data (expressed, e.g., in terms of units by reference to a standard).
 17. Theoretical yield means the quantity that would be produced at any appropriate phase of manufacture, processing, or packing of a particular drug product, based upon the quantity of components to be used, in the absence of any loss or error in actual production.
 18. Actual yield means the quantity that is actually produced at any appropriate phase of manufacture, processing, or packing of a particular drug product.
 19. Percentage of theoretical yield means the ratio of the actual yield (at any appropriate phase of manufacture, processing, or packing of a particular drug product) to the theoretical yield (at the same phase), stated as a percentage.
 20. Acceptance criteria means the product specifications and acceptance/rejection criteria, such as acceptable quality level and unacceptable quality level, with an associated

sampling plan, that are necessary for making a decision to accept or reject a lot or batch (or any other convenient subgroups of manufactured units).

21. Representative sample means a sample that consists of a number of units that are drawn based on rational criteria such as random sampling and intended to ensure that the sample accurately portrays the material being sampled.
22. Gang-printed labeling means labeling derived from a sheet of material on which more than one item of labeling is printed.

Part 211—cGMP for Finished Pharmaceuticals

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Subpart A—General Provisions

- 211.1 Scope
 - a. The regulations in this part contain the minimum cGMP for preparation of drug products for administration to humans or animals.
 - b. The cGMP regulations in this chapter as they pertain to drug products; in parts 600 through 680 in the FDA guidelines, as they pertain

to drugs that are also biological products for human use; and in part 1271 of this chapter, as they are applicable to drugs that are also human cells, tissues, and cellular and tissue-based products (HCT/Ps) and that are drugs (subject to review under an application submitted under section 505 of the act or under a biological product license application under section 351 of the Public Health Service Act); supplement and do not supersede the regulations in this part unless the regulations explicitly provide otherwise. In the event of a conflict between applicable regulations in this part and in other parts in the FDA guidelines, or in parts 600 through 680 in the FDA guidelines, or in part 1271 in the FDA guidelines, the regulation specifically applicable to the drug product in question shall supersede the more general.

- c. Pending consideration of a proposed exemption, published in the federal register of September 29, 1978, the requirements in this part shall not be enforced for OTC drug products if the products and all their ingredients are ordinarily marketed and consumed as human foods, and which products may also fall within the legal definition of drugs by virtue of their intended use. Therefore, until further notice, regulations under part 110 in the FDA guidelines, and where applicable, parts 113 to 129 in the FDA guidelines, shall be applied in determining whether these OTC drug products that are also foods are manufactured, processed, packed, or held under cGMP.

211.3 Definitions: The definitions set forth in 210.3 of this chapter apply in this part.

Subpart B—Organization and Personnel

211.22 Responsibilities of quality control unit

- a. There shall be a quality control unit that shall have the responsibility and authority to approve or reject all components, drug product containers, closures, in-process materials, packaging material, labeling, and drug products, and the authority to review production records to ensure that no errors have occurred or, if errors have occurred, that they have been fully investigated. The quality control unit shall be responsible for approving or rejecting drug products manufactured, processed, packed, or held under contract by another company.
- b. Adequate laboratory facilities for the testing and approval (or rejection) of components, drug product containers, closures, packaging materials, in-process materials, and drug products shall be available to the quality control unit.
- c. The quality control unit shall have the responsibility for approving or rejecting all procedures or

specifications impacting on the identity, strength, quality, and purity of the drug product.

- d. The responsibilities and procedures applicable to the quality control unit shall be in writing; such written procedures shall be followed.

211.25 Personnel qualifications

- a. Each person engaged in the manufacture, processing, packing, or holding of a drug product shall have education, training, and experience, or any combination thereof, to enable that person to perform the assigned functions. Training shall be in the particular operations that the employee performs and in cGMP (including the cGMP regulations in this chapter and written procedures required by these regulations) as they relate to the employee's functions.
- b. Training in cGMP shall be conducted by qualified individuals on a continuing basis and with sufficient frequency to ensure that employees remain familiar with cGMP requirements applicable to them.
- c. Each person responsible for supervising the manufacture, processing, packing, or holding of a drug product shall have the education, training, and experience, or any combination thereof, to perform assigned functions in such a manner as to provide assurance that the drug product has the safety, identity, strength, quality, and purity that it purports or is represented to possess.
- d. There shall be an adequate number of qualified personnel to perform and supervise the manufacture, processing, packing, or holding of each drug product.

211.28 Personnel responsibilities

- a. Personnel engaged in the manufacture, processing, packing, or holding of a drug product shall wear clean clothing appropriate for the duties they perform. Protective apparel, such as head, face, hand, and arm coverings, shall be worn as necessary to protect drug products from contamination.
- b. Personnel shall practice good sanitation and health habits.
- c. Only personnel authorized by supervisory personnel shall enter those areas of the buildings and facilities designated as limited-access areas.
- d. Any person shown at any time (either by medical examination or supervisory observation) to have an apparent illness or open lesions that may adversely affect the safety or quality of drug products shall be excluded from direct contact with components, drug product containers, closures, in-process materials, and drug products until the condition is corrected or determined by competent medical personnel not to jeopardize the safety or quality of drug products. All personnel shall be instructed to report to supervisory

personnel any health conditions that may have an adverse effect on drug products.

- 211.34 Consultants: Consultants advising on the manufacture, processing, packing, or holding of drug products shall have sufficient education, training, and experience, or any combination thereof, to advise on the subject for which they are retained. Records shall be maintained stating the name, address, and qualifications of any consultants and the type of service they provide.

Subpart C—Buildings and Facilities

211.42 Design and construction features

- a. Any building or buildings used in the manufacture, processing, packing, or holding of a drug product shall be of suitable size, construction, and location to facilitate cleaning, maintenance, and proper operations.
- b. Any such building shall have adequate space for the orderly placement of equipment and materials to prevent mix-ups between different components, drug product containers, closures, labeling, in-process materials, or drug products, and to prevent contamination. The flow of components, drug product containers, closures, labeling, in-process materials, and drug products through the building or buildings shall be designed to prevent contamination.
- c. Operations shall be performed within specifically defined areas of adequate size. There shall be separate or defined areas or such other control systems for the firm's operations as are necessary to prevent contamination or mix-ups during the course of the following procedures.
 1. Receipt, identification, storage, and withholding from use of components, drug product containers, closures, and labeling, pending the appropriate sampling, testing, or examination by the quality control unit before release for manufacturing or packaging;
 2. holding rejected components, drug product containers, closures, and labeling before disposition;
 3. storage of released components, drug product containers, closures, and labeling;
 4. storage of in-process materials;
 5. manufacturing and processing operations;
 6. packaging and labeling operations;
 7. quarantine storage before release of drug products;
 8. storage of drug products after release;
 9. control and laboratory operations;
 10. aseptic processing, which includes as appropriate:
 - i. floors, walls, and ceilings of smooth, hard surfaces that are easily cleanable;

- ii. temperature and humidity controls;
 - iii. an air supply filtered through high-efficiency particulate air filters under positive pressure, regardless of whether flow is laminar or nonlaminar;
 - iv. a system for monitoring environmental conditions;
 - v. a system for cleaning and disinfecting the room and equipment to produce aseptic conditions;
 - vi. a system for maintaining any equipment used to control the aseptic conditions.
- d. Operations relating to the manufacture, processing, and packing of penicillin shall be performed in facilities separate from those used for other drug products for human use.

211.44 Lighting: Adequate lighting shall be provided in all areas.

211.46 Ventilation, air filtration, air heating and cooling

- a. Adequate ventilation shall be provided.
- b. Equipment for adequate control over air pressure, microorganisms, dust, humidity, and temperature shall be provided when appropriate for the manufacture, processing, packing, or holding of a drug product.
- c. Air filtration systems, including prefilters and particulate matter air filters, shall be used, when appropriate, on air supplies to production areas. If air is recirculated to production areas, measures shall be taken to control recirculation of dust from production. In areas where air contamination occurs during production, there shall be adequate exhaust systems or other systems adequate to control contaminants.
- d. Air-handling systems for the manufacture, processing, and packing of penicillin shall be completely separate from those for other drug products for human use.

211.48 Plumbing

- a. Potable water shall be supplied under continuous positive pressure in a plumbing system free of defects that could contribute contamination to any drug product. Potable water shall meet the standards prescribed in the Environmental Protection Agency's Primary Drinking Water Regulations set forth in 40 CFR part 141. Water not meeting such standards shall not be permitted in the potable water system.
- b. Drains shall be of adequate size and, where connected directly to a sewer, shall be provided with an air break or other mechanical device to prevent back-siphonage.

211.50 Sewage and refuse: Sewage, trash, and other refuse in and from the building and immediate premises shall be disposed of in a safe and sanitary manner.

211.52 Washing and toilet facilities: Adequate washing facilities shall be provided, including hot and cold

water, soap or detergent, air driers or single-service towels, and clean toilet facilities easily accessible to working areas.

211.56 Sanitation

- a. Any building used in the manufacture, processing, packing, or holding of a drug product shall be maintained in a clean and sanitary condition. Any such building shall be free of infestation by rodents, birds, insects, and other vermin (other than laboratory animals). Trash and organic waste matter shall be held and disposed of in a timely and sanitary manner.
- b. There shall be written procedures assigning responsibility for sanitation and describing in sufficient detail the cleaning schedules, methods, equipment, and materials to be used in cleaning the buildings and facilities; such written procedures shall be followed.
- c. There shall be written procedures for use of suitable rodenticides, insecticides, fungicides, fumigating agents, and cleaning and sanitizing agents. Such written procedures shall be designed to prevent the contamination of equipment, components, drug product containers, closures, packaging, labeling materials, or drug products and shall be followed. Rodenticides, insecticides, and fungicides shall not be used unless registered and used in accordance with the Federal Insecticide, Fungicide, and Rodenticide Act (7 USC 135).
- d. Sanitation procedures shall apply to work performed by contractors or temporary employees as well as work performed by full-time employees during the ordinary course of operations.

211.58 Maintenance: Any building used in the manufacture, processing, packing, or holding of a drug product shall be maintained in a good state of repair.

Subpart D—Equipment

211.63 Equipment design, size, and location: Equipment used in the manufacture, processing, packing, or holding of a drug product shall be of appropriate design, adequate size, and suitable location to facilitate operations for its intended use and for its cleaning and maintenance.

211.65 Equipment construction

- a. Equipment shall be constructed such that surfaces that contact components, in-process materials, or drug products shall not be reactive, additive, or absorptive so as to alter the safety, identity, strength, quality, or purity of the drug product beyond the official or other established requirements.
- b. Any substances required for operation, such as lubricants or coolants, shall not come into contact with components, drug product containers, closures, in-process materials, or drug products

so as to alter the safety, identity, strength, quality, or purity of the drug product beyond the official or other established requirements.

211.67 Equipment cleaning and maintenance

- a. Equipment and utensils shall be cleaned, maintained, and sanitized at appropriate intervals to prevent malfunctions or contamination that would alter the safety, identity, strength, quality, or purity of the drug product beyond the official or other established requirements.
- b. Written procedures shall be established and followed for cleaning and maintenance of equipment, including utensils, used in the manufacture, processing, packing, or holding of a drug product. These procedures shall include, but are not necessarily limited to, the following:
 1. Assignment of responsibility for cleaning and maintaining equipment
 2. Maintenance and cleaning schedules, including, where appropriate, sanitizing schedules
 3. A description in sufficient detail of the methods, equipment, and materials used in cleaning and maintenance operations, and the methods of disassembling and reassembling equipment as necessary to ensure proper cleaning and maintenance
 4. Removal or obliteration of previous batch identification
 5. Protection of clean equipment from contamination prior to use
 6. Inspection of equipment for cleanliness immediately before use
- c. Records shall be kept of maintenance, cleaning, sanitizing, and inspection as specified in 211.180 and 211.182.

211.68 Automatic, mechanical, and electronic equipment

- a. Automatic, mechanical, or electronic equipment or other types of equipment, including computers, or related systems that will perform a function satisfactorily may be used in the manufacture, processing, packing, and holding of a drug product. If such equipment is so used, it shall be routinely calibrated, inspected, or checked according to a written program designed to ensure proper performance. Written records of those calibration checks and inspections shall be maintained.
- b. Appropriate controls shall be exercised over computer or related systems to ensure that changes in master production and control records or other records are instituted only by authorized personnel. Input to and output from the computer or related system of formulas or other records or data shall be checked for accuracy. The degree and frequency of input/output verification shall be based on the complexity and reliability of the computer or related system. A backup file of data

entered into the computer or related system shall be maintained except where certain data, such as calculations performed in connection with laboratory analysis, are eliminated by computerization or other automated processes. In such instances a written record of the program shall be maintained along with appropriate validation data. Hard copy or alternative systems, such as duplicates, tapes, or microfilm, designed to ensure that backup data are exact and complete and that it is secure from alteration, inadvertent erasures, or loss shall be maintained.

211.72 Filters: Filters for liquid filtration used in the manufacture, processing, or packing of injectable drug products intended for human use shall not release fibers into such products. Fiber-releasing filters may not be used in the manufacture, processing, or packing of these injectable drug products unless it is not possible to manufacture such drug products without the use of such filters. If use of a fiber-releasing filter is necessary, an additional non-fiber-releasing filter of 0.22-micron maximum mean porosity (0.45 microns if the manufacturing conditions so dictate) shall subsequently be used to reduce the content of particles in the injectable drug product. Use of an asbestos-containing filter, with or without subsequent use of a specific non-fiber-releasing filter, is permissible only upon submission of proof to the appropriate bureau of the FDA that use of a non-fiber-releasing filter will, or is likely to, compromise the safety or effectiveness of the injectable drug product.

Subpart E—Control of Components and Drug Product Containers and Closures

211.80 General requirements

- a. There shall be written procedures describing in sufficient detail the receipt, identification, storage, handling, sampling, testing, and approval or rejection of components and drug product containers and closures; such written procedures shall be followed.
- b. Components and drug product containers and closures shall at all times be handled and stored in a manner to prevent contamination.
- c. Bagged or boxed components of drug product containers, or closures, shall be stored off the floor and suitably spaced to permit cleaning and inspection.
- d. Each container or grouping of containers for components or drug product containers, or closures, shall be identified with a distinctive code for each lot in each shipment received. This code shall be used in recording the disposition of each lot. Each lot shall be appropriately identified as to its status (i.e., quarantined, approved, or rejected).

211.82 Receipt and storage of untested components, drug product containers, and closures

- a. Upon receipt and before acceptance, each container or grouping of containers of components, drug product containers, and closures shall be examined visually for appropriate labeling as to contents, container damage or broken seals, and contamination.
- b. Components, drug product containers, and closures shall be stored under quarantine until they have been tested or examined, as appropriate, and released. Storage within the area shall conform to the requirements of 211.80.

211.84 Testing and approval or rejection of components, drug product containers, and closures

- a. Each lot of components, drug product containers, and closures shall be withheld from use until the lot has been sampled, tested, or examined, as appropriate, and released for use by the quality control unit.
- b. Representative samples of each shipment of each lot shall be collected for testing or examination. The number of containers to be sampled, and the amount of material to be taken from each container, shall be based upon appropriate criteria such as statistical criteria for component variability, confidence levels, and degree of precision desired, the past quality history of the supplier, and the quantity needed for analysis and reserve where required by 211.170.
- c. Samples shall be collected in accordance with the following procedures:
 1. The containers of components selected shall be cleaned where necessary, by appropriate means.
 2. The containers shall be opened, sampled, and resealed in a manner designed to prevent contamination of their contents and contamination of other components, drug product containers, or closures.
 3. Sterile equipment and aseptic sampling techniques shall be used when necessary.
 4. If it is necessary to sample a component from the top, middle, and bottom of its container, such sample subdivisions shall not be composited for testing.
 5. Sample containers shall be identified so that the following information can be determined: name of the material sampled, the lot number, the container from which the sample was taken, the date on which the sample was taken, and the name of the person who collected the sample.
 6. Containers from which samples have been taken shall be marked to show that samples have been removed from them.
- d. Samples shall be examined and tested as follows:

1. At least one test shall be conducted to verify the identity of each component of a drug product. Specific identity tests, if they exist, shall be used.
2. Each component shall be tested for conformity with all appropriate written specifications for purity, strength, and quality. In lieu of such testing by the manufacturer, a report of analysis may be accepted from the supplier of a component, provided that at least one specific identity test is conducted on such component by the manufacturer, and provided that the manufacturer establishes the reliability of the supplier's analyses through appropriate validation of the supplier's test results at appropriate intervals.
3. Containers and closures shall be tested for conformance with all appropriate written procedures. In lieu of such testing by the manufacturer, a certificate of testing may be accepted from the supplier, provided that at least a visual identification is conducted on such containers/closures by the manufacturer and provided that the manufacturer establishes the reliability of the supplier's test results through appropriate validation of the supplier's test results at appropriate intervals.
4. When appropriate, components shall be microscopically examined.
5. Each lot of a component, drug product container, or closure that is liable to contamination with filth, insect infestation, or other extraneous adulterant shall be examined against established specifications for such contamination.
6. Each lot of a component, drug product container, or closure that is liable to microbiological contamination that is objectionable in view of its intended use shall be subjected to microbiological tests before use.
- e. Any lot of components, drug product containers, or closures that meets the appropriate written specifications of identity, strength, quality, and purity and related tests under paragraph (d) of this section may be approved and released for use. Any lot of such material that does not meet such specifications shall be rejected.

211.86 Use of approved components, drug product containers, and closures: Components, drug product containers, and closures approved for use shall be rotated so that the oldest approved stock is used first. Deviation from this requirement is permitted if such deviation is temporary and appropriate.

211.87 Retesting of approved components, drug product containers, and closures: Components, drug product containers, and closures shall be retested or reexamined, as appropriate, for identity, strength, quality,

purity, and approved or rejected by the quality control unit in accordance with 211.84 as necessary, for example, after storage for long periods or after exposure to air, heat, or other conditions that might adversely affect the component, drug product container, or closure.

211.89 Rejected components, drug product containers, and closures: Rejected components, drug product containers, and closures shall be identified and controlled under a quarantine system designed to prevent their use in manufacturing or processing operations for which they are unsuitable.

211.94 Drug product containers and closures

- a. Drug product containers and closures shall not be reactive, additive, or absorptive so as to alter the safety, identity, strength, quality, or purity of the drug beyond the official or established requirements.
- b. Container closure systems shall provide adequate protection against foreseeable external factors in storage and use that can cause deterioration or contamination of the drug product.
- c. Drug product containers and closures shall be clean and, where indicated by the nature of the drug, sterilized and processed to remove pyrogenic properties to ensure that they are suitable for their intended use.
- d. Standards or specifications; methods of testing; and, where indicated, methods of cleaning, sterilizing, and processing to remove pyrogenic properties shall be written and followed for drug product containers and closures.

Subpart F—Production and Process Controls

211.100 Written procedures; deviations

- a. There shall be written procedures for production and process control designed to ensure that the drug products have the identity, strength, quality, and purity they purport or are represented to possess. Such procedures shall include all requirements in this subpart. These written procedures, including any changes, shall be drafted, reviewed, and approved by the appropriate organizational units and reviewed and approved by the quality control unit.
- b. Written production and process control procedures shall be followed in the execution of the various production and process control functions and shall be documented at the time of performance. Any deviation from the written procedures shall be recorded and justified.

211.101 Charge-in of components

Written production and control procedures shall include the following, which are designed to ensure that the drug products produced have the identity, strength, quality, and purity they purport or are represented to possess.

- a. The batch shall be formulated with the intent to provide not less than 100% of the labeled or established amount of active ingredient.
- b. Components for drug product manufacturing shall be weighed, measured, or subdivided as appropriate. If a component is removed from the original container to another, the new container shall be identified with the following information.
 1. Component name or item code.
 2. Receiving or control number.
 3. Weight or measure in new container.
 4. Batch for which component was dispensed, including its product name, strength, and lot number.
- c. Weighing, measuring, or subdividing operations for components shall be adequately supervised. Each container of component dispensed to manufacturing shall be examined by a second person to ensure that
 1. the component was released by the quality control unit;
 2. the weight or measure is correct as stated in the batch production records;
 3. the containers are properly identified.
- d. Each component shall be added to the batch by one person and verified by a second person.

211.103 Calculation of yield: Actual yields and percentages of theoretical yield shall be determined at the conclusion of each appropriate phase of manufacturing, processing, packaging, or holding of the drug product. Such calculations shall be performed by one person and independently verified by a second person.

211.105 Equipment identification

- a. All compounding and storage containers, processing lines, and major equipment used during the production of a batch of a drug product shall be properly identified at all times to indicate their contents and, when necessary, the phase of processing of the batch.
- b. Major equipment shall be identified by a distinctive identification number or code that shall be recorded in the batch production record to show the specific equipment used in the manufacture of each batch of a drug product. In cases where only one of a particular type of equipment exists in a manufacturing facility, the name of the equipment may be used in lieu of a distinctive identification number or code.

211.110 Sampling and testing of in-process materials and drug products

- a. To ensure batch uniformity and integrity of drug products, written procedures shall be established and followed that describe the in-process controls, and tests, or examinations to be conducted on appropriate samples of in-process materials

of each batch. Such control procedures shall be established to monitor the output and to validate the performance of those manufacturing processes that may be responsible for causing variability in the characteristics of in-process material and the drug product. Such control procedures shall include, but are not limited to, the following, where appropriate.

1. Tablet or capsule weight variation;
 2. Disintegration time;
 3. Adequacy of mixing to ensure uniformity and homogeneity;
 4. Dissolution time and rate;
 5. Clarity, completeness, or pH of solutions.
- b. Valid in-process specifications for such characteristics shall be consistent with drug product final specifications and shall be derived from previous acceptable process average and process variability estimates where possible and determined by the application of suitable statistical procedures where appropriate. Examination and testing of samples shall ensure that the drug product and in-process material conform to specifications.
 - c. In-process materials shall be tested for identity, strength, quality, and purity as appropriate, and approved or rejected by the quality control unit, during the production process, for example, at commencement or completion of significant phases or after storage for long periods.
 - d. Rejected in-process materials shall be identified and controlled under a quarantine system designed to prevent their use in manufacturing or processing operations for which they are unsuitable.
- 211.111 Time limitations on production: When appropriate, time limits for the completion of each phase of production shall be established to ensure the quality of the drug product. Deviation from established time limits may be acceptable if such deviation does not compromise the quality of the drug product. Such deviation shall be justified and documented.
- 211.113 Control of microbiological contamination
- a. Appropriate written procedures, designed to prevent objectionable microorganisms in drug products not required to be sterile, shall be established and followed.
 - b. Appropriate written procedures, designed to prevent microbiological contamination of drug products purporting to be sterile, shall be established and followed. Such procedures shall include validation of any sterilization process.
- 211.115 Reprocessing
- a. Written procedures shall be established and followed prescribing a system for reprocessing batches that do not conform to standards or specifications and the steps to be taken to ensure

that the reprocessed batches will conform with all established standards, specifications, and characteristics.

- b. Reprocessing shall not be performed without the review and approval of the quality control unit.

Subpart G—Packaging and Labeling Control

211.122 Materials examination and usage criteria

- a. There shall be written procedures describing in sufficient detail the receipt, identification, storage, handling, sampling, examination, and/or testing of labeling and packaging materials; such written procedures shall be followed. Labeling and packaging materials shall be representatively sampled, and examined or tested upon receipt and before use in packaging or labeling of a drug product.
- b. Any labeling or packaging materials meeting appropriate written specifications may be approved and released for use. Any labeling or packaging materials that do not meet such specifications shall be rejected to prevent their use in operations for which they are unsuitable.
- c. Records shall be maintained for each shipment received of each different labeling and packaging material indicating receipt, examination or testing, and whether accepted or rejected.
- d. Labels and other labeling materials for each different drug product, strength, dosage form, or quantity of contents shall be stored separately with suitable identification. Access to the storage area shall be limited to authorized personnel.
- e. Obsolete and outdated labels, labeling, and other packaging materials shall be destroyed.
- f. Use of gang-printed labeling for different drug products, or different strengths or net contents of the same drug product, is prohibited unless the labeling from gang-printed sheets is adequately differentiated by size, shape, or color.
- g. If cut labeling is used, packaging and labeling operations shall include one of the following special control procedures:
 1. dedication of labeling and packaging lines to each different strength of each different drug product;
 2. use of appropriate electronic or electromechanical equipment to conduct 100% examination for correct labeling during or after completion of finishing operations; or
 3. use of visual inspection to conduct 100% examination for correct labeling during or after completion of finishing operations for hand-applied labeling. Such examination shall be performed by one person and independently verified by a second person.
- h. Printing devices on, or associated with, manufacturing lines used to imprint labeling upon the

drug product unit label or case shall be monitored to ensure that all imprinting conforms to the print specified in the batch production record.

211.125 Labeling issuance

- a. Strict control shall be exercised over labeling issued for use in drug product labeling operations.
- b. Labeling materials issued for a batch shall be carefully examined for identity and conformity to the labeling specified in the master or batch production records.
- c. Procedures shall be used to reconcile the quantities of labeling issued, used, and returned, and shall require evaluation of discrepancies found between the quantity of drug product finished and the quantity of labeling issued when such discrepancies are outside narrow preset limits based on historical operating data. Such discrepancies shall be investigated in accordance with 211.192. Labeling reconciliation is waived for cut or roll labeling if 100% examination for correct labeling is performed in accordance with 211.122(g)(2).
- d. All excess labeling bearing lot or control numbers shall be destroyed.
- e. Returned labeling shall be maintained and stored in a manner to prevent mix-ups and provide proper identification.
- f. Procedures shall be written describing in sufficient detail the control procedures employed for the issuance of labeling; such written procedures shall be followed.

211.130 Packaging and labeling operations

There shall be written procedures designed to ensure that correct labels, labeling, and packaging materials are used for drug products; such written procedures shall be followed. These procedures shall incorporate the following features:

- a. Prevention of mix-ups and cross-contamination by physical or spatial separation from operations on other drug products.
- b. Identification and handling of filled drug product containers that are set aside and held in unlabeled condition for future labeling operations to preclude mislabeling of individual containers, lots, or portions of lots. Identification need not be applied to each individual container but shall be sufficient to determine name, strength, quantity of contents, and lot or control number of each container.
- c. Identification of the drug product with a lot or control number that permits determination of the history of the manufacture and control of the batch.
- d. Examination of packaging and labeling materials for suitability and correctness before packaging operations, and documentation of such examination in the batch production record.

- e. Inspection of the packaging and labeling facilities immediately before use to ensure that all drug products have been removed from previous operations. Inspection shall also be made to ensure that packaging and labeling materials not suitable for subsequent operations have been removed. Results of inspection shall be documented in the batch production records.

211.132 TEP requirements for OTC human drug products

- a. General. The FDA has the authority under the Federal Food, Drug, and Cosmetic Act (the act) to establish a uniform national requirement for TEP of OTC drug products that will improve the security of OTC drug packaging and help ensure the safety and effectiveness of OTC drug products. An OTC drug product (except a dermatological, dentifrice, insulin, or lozenge product) for retail sale that is not packaged in a tamper-resistant package or that is not properly labeled under this section is adulterated under section 501 of the act or misbranded under section 502 of the act, or both.
- b. Requirements for tamper-evident package:
 1. Each manufacturer and packer who packages an OTC drug product (except a dermatological, dentifrice, insulin, or lozenge product) for retail sale shall package the product in a tamper-evident package, if this product is accessible to the public while held for sale. A tamper-evident package is one having one or more indicators or barriers to entry, which, if breached or missing, can reasonably be expected to provide visible evidence to consumers that tampering has occurred. To reduce the likelihood of successful tampering and to increase the likelihood that consumers will discover if a product has been tampered with, the package is required to be distinctive by design or by the use of one or more indicators or barriers to entry that employ an identifying characteristic (e.g., a pattern, name, registered trademark, logo, or picture). For purposes of this section, the term “distinctive by design” means the packaging cannot be duplicated with commonly available materials or through commonly available processes. A tamper-evident package may involve an immediate container and closure system or secondary container or carton system or any combination of systems intended to provide a visual indication of package integrity. The tamper-evident feature shall be designed to and shall remain intact when handled in a reasonable manner during manufacture, distribution, and retail display.

2. In addition to the TEP feature described in paragraph (b)(1) of this section, any two-piece, hard gelatin capsule covered by this section must be sealed using an acceptable tamper-evident technology.
- c. Labeling.
1. To alert consumers to the specific tamper-evident feature(s) used, each retail package of an OTC drug product covered by this section (except ammonia inhalant in crushable glass ampules, containers of compressed medical oxygen, or aerosol products that depend upon the power of a liquefied or compressed gas to expel the contents from the container) is required to bear a statement that
 - i. identifies all tamper-evident feature(s) and any capsule sealing technologies used to comply with paragraph (b) of this section;
 - ii. is prominently placed on the package; and
 - iii. is so placed that it will be unaffected if the tamper-evident feature of the package is breached or missing.
 2. If the tamper-evident feature chosen to meet the requirements in paragraph (b) of this section uses an identifying characteristic, that characteristic is required to be referred to in the labeling statement. For example, the labeling statement on a bottle with a shrink band could say “for your protection, this bottle has an imprinted seal around the neck.”
- d. Request for exemptions from packaging and labeling requirements. A manufacturer or packer may request an exemption from the packaging and labeling requirements of this section. A request for an exemption is required to be submitted in the form of a citizen petition under 10.30 of this chapter and should be clearly identified on the envelope as a “Request for Exemption from the Tamper-Evident Packaging Rule.” The petition is required to contain the following:
1. The name of the drug product or, if the petition seeks an exemption for a drug class, the name of the drug class, and a list of products within that class.
 2. The reasons that the drug product’s compliance with the TEP or labeling requirements of this section is unnecessary or cannot be achieved.
 3. A description of alternative steps that are available, or that the petitioner has already taken, to reduce the likelihood that the product or drug class will be the subject of malicious adulteration.
 4. Other information justifying an exemption.
- e. OTC drug products subject to approved new drug applications. Holders of approved new drug applications for OTC drug products are required under 314.70 in the FDA guidelines to provide the agency with notification of changes in packaging and labeling to comply with the requirements of this section. Changes in packaging and labeling required by this regulation may be made before FDA approval, as provided under 314.70(c) in the FDA guidelines. Manufacturing changes by which capsules are to be sealed require prior FDA approval under 314.70(b) in the FDA guidelines.
- f. Poison Prevention Packaging Act of 1970. This section does not affect any requirements for “special packaging” as defined under 310.3(l) in the FDA guidelines and required under the Poison Prevention Packaging Act of 1970.
- 211.134 Drug product inspection
- a. Packaged and labeled products shall be examined during finishing operations to provide assurance that containers and packages in the lot have the correct label.
 - b. A representative sample of units shall be collected at the completion of finishing operations and shall be visually examined for correct labeling.
 - c. Results of these examinations shall be recorded in the batch production or control records.
- 211.137 Expiration dating
- a. To ensure that a drug product meets applicable standards of identity, strength, quality, and purity at the time of use, it shall bear an expiration date determined by appropriate stability testing described in 211.166.
 - b. Expiration dates shall be related to any storage conditions stated on the labeling, as determined by stability studies described in 211.166.
 - c. If the drug product is to be reconstituted at the time of dispensing, its labeling shall bear expiration information for both the reconstituted and un-reconstituted drug products.
 - d. Expiration dates shall appear on labeling in accordance with the requirements of 201.17 in the FDA guidelines.
 - e. Homeopathic drug products shall be exempt from the requirements of this section.
 - f. Allergenic extracts that are labeled “No U.S. Standard of Potency” are exempt from the requirements of this section.
 - g. New drug products for investigational use are exempt from the requirements of this section, provided that they meet appropriate standards or specifications as demonstrated by stability studies during their use in clinical investigations. Where new drug products for investigational use are to be reconstituted at the time of dispensing, their labeling shall bear expiration information for the reconstituted drug product.

- h. Pending consideration of a proposed exemption, published in the federal register of September 29, 1978, the requirements in this section shall not be enforced for human OTC drug products if their labeling does not bear dosage limitations and they are stable for at least 3 years as supported by appropriate stability data.

Subpart H—Holding and Distribution

211.142 Warehousing procedures

Written procedures describing the warehousing of drug products shall be established and followed. They shall include:

- a. Quarantine of drug products before release by the quality control unit
- b. Storage of drug products under appropriate conditions of temperature, humidity, and light so that the identity, strength, quality, and purity of the drug products are not affected

211.150 Distribution procedures

Written procedures shall be established, and followed, describing the distribution of drug products. They shall include:

- a. A procedure whereby the oldest approved stock of a drug product is distributed first. Deviation from this requirement is permitted if such deviation is temporary and appropriate.
- b. A system by which the distribution of each lot of drug product can be readily determined to facilitate its recall if necessary.

Subpart I—Laboratory Controls

211.160 General requirements

- a. The establishment of any specifications, standards, sampling plans, test procedures, or other laboratory control mechanisms required by this subpart, including any change in such specifications, standards, sampling plans, test procedures, or other laboratory control mechanisms, shall be drafted by the appropriate organizational unit and reviewed and approved by the quality control unit. The requirements in this subpart shall be followed and shall be documented at the time of performance. Any deviation from the written specifications, standards, sampling plans, test procedures, or other laboratory control mechanisms shall be recorded and justified.
- b. Laboratory controls shall include the establishment of scientifically sound and appropriate specifications, standards, sampling plans, and test procedures designed to ensure that components, drug product containers, closures, in-process materials, labeling, and drug products conform to appropriate standards of identity, strength, quality, and purity. Laboratory controls shall include:
 1. Determination of conformance to appropriate written specifications for the acceptance

of each lot within each shipment of components, drug product containers, closures, and labeling used in the manufacture, processing, packing, or holding of drug products. The specifications shall include a description of the sampling and testing procedures used. Samples shall be representative and adequately identified. Such procedures shall also require appropriate retesting of any component, drug product container, or closure that is subject to deterioration.

2. Determination of conformance to written specifications and a description of sampling and testing procedures for in-process materials. Such samples shall be representative and properly identified.
3. Determination of conformance to written descriptions of sampling procedures and appropriate specifications for drug products. Such samples shall be representative and properly identified.
4. The calibration of instruments, apparatus, gauges, and recording devices at suitable intervals in accordance with an established written program containing specific directions, schedules, limits for accuracy and precision, and provisions for remedial action in the event accuracy and/or precision limits are not met. Instruments, apparatus, gauges, and recording devices not meeting established specifications shall not be used.

211.165 Testing and release for distribution

- a. For each batch of drug product, there shall be appropriate laboratory determination of satisfactory conformance to final specifications for the drug product, including the identity and strength of each active ingredient, prior to release. Where sterility and/or pyrogen testing are conducted on specific batches of short-lived radiopharmaceuticals, such batches may be released prior to completion of sterility and/or pyrogen testing, provided such testing is completed as soon as possible.
- b. There shall be appropriate laboratory testing, as necessary, of each batch of drug product required to be free of objectionable microorganisms.
- c. Any sampling and testing plans shall be described in written procedures that shall include the method of sampling and the number of units per batch to be tested; such written procedure shall be followed.
- d. Acceptance criteria for the sampling and testing conducted by the quality control unit shall be adequate to ensure that batches of drug products meet each appropriate specification and appropriate statistical quality control criteria as a condition for their approval and release. The

statistical quality control criteria shall include appropriate acceptance levels and/or appropriate rejection levels.

- e. The accuracy, sensitivity, specificity, and reproducibility of test methods employed by the firm shall be established and documented. Such validation and documentation may be accomplished in accordance with 211.194(a)(2).
- f. Drug products failing to meet established standards or specifications and any other relevant quality control criteria shall be rejected. Reprocessing may be performed. Prior to acceptance and use, reprocessed material must meet appropriate standards, specifications, and any other relevant criteria.

211.166 Stability testing

- a. There shall be a written testing program designed to assess the stability characteristics of drug products. The results of such stability testing shall be used in determining appropriate storage conditions and expiration dates. The written program shall be followed and shall include:
 1. Sample size and test intervals based on statistical criteria for each attribute examined to ensure valid estimates of stability;
 2. Storage conditions for samples retained for testing;
 3. Reliable, meaningful, and specific test methods;
 4. Testing of the drug product in the same container-closure system as that in which the drug product is marketed;
 5. Testing of drug products for reconstitution at the time of dispensing (as directed in the labeling) as well as after they are reconstituted.
- b. An adequate number of batches of each drug product shall be tested to determine an appropriate expiration date and a record of such data shall be maintained. Accelerated studies, combined with basic stability information on the components, drug products, and container-closure system, may be used to support tentative expiration dates provided full shelf life studies are not available and are being conducted. Where data from accelerated studies are used to project a tentative expiration date that is beyond a date supported by actual shelf life studies, there must be stability studies conducted, including drug product testing at appropriate intervals, until the tentative expiration date is verified or the appropriate expiration date determined.
- c. For homeopathic drug products, the requirements of this section are as follows:
 1. There shall be a written assessment of stability based at least on testing or examination of the drug product for compatibility of the

ingredients, and based on marketing experience with the drug product to indicate that there is no degradation of the product for the normal or expected period of use.

2. Evaluation of stability shall be based on the same container-closure system in which the drug product is being marketed.
- d. Allergenic extracts that are labeled "No U.S. Standard of Potency" are exempt from the requirements of this section.

211.167 Special testing requirements

- a. For each batch of drug product purporting to be sterile and/or pyrogen-free, there shall be appropriate laboratory testing to determine conformance to such requirements. The test procedures shall be in writing and shall be followed.
- b. For each batch of ophthalmic ointment, there shall be appropriate testing to determine conformance to specifications regarding the presence of foreign particles and harsh or abrasive substances. The test procedures shall be in writing and shall be followed.
- c. For each batch of controlled-release dosage form, there shall be appropriate laboratory testing to determine conformance to the specifications for the rate of release of each active ingredient. The test procedures shall be in writing and shall be followed.

211.170 Reserve samples

- a. An appropriately identified reserve sample that is representative of each lot in each shipment of each active ingredient shall be retained. The reserve sample consists of at least twice the quantity necessary for all tests required to determine whether the active ingredient meets its established specifications, except for sterility and pyrogen testing. The retention time is as follows:
 1. For an active ingredient in a drug product other than those described in paragraph (a) (2) and (3) of this section, the reserve sample shall be retained for 1 year after the expiration date of the last lot of the drug product containing the active ingredient.
 2. For an active ingredient in a radioactive drug product, except for nonradioactive reagent kits, the reserve sample shall be retained for
 - i. three months after the expiration date of the last lot of the drug product containing the active ingredient if the expiration dating period of the drug product is 30 days or less; or
 - ii. six months after the expiration date of the last lot of the drug product containing the active ingredient if the expiration dating period of the drug product is more than 30 days.

3. For an active ingredient in an OTC drug product that is exempt from bearing an expiration date under 211.137, the reserve sample shall be retained for 3 years after distribution of the last lot of the drug product containing the active ingredient.
 - b. An appropriately identified reserve sample that is representative of each lot or batch of drug product shall be retained and stored under conditions consistent with product labeling. The reserve sample shall be stored in the same immediate container-closure system in which the drug product is marketed or in one that has essentially the same characteristics. The reserve sample consists of at least twice the quantity necessary to perform all the required tests, except those for sterility and pyrogens. Except for those for drug products described in paragraph (b)(2) of this section, reserve samples from representative sample lots or batches selected by acceptable statistical procedures shall be examined visually at least once a year for evidence of deterioration unless visual examination would affect the integrity of the reserve sample. Any evidence of reserve sample deterioration shall be investigated in accordance with 211.192. The results of the examination shall be recorded and maintained with other stability data on the drug product. Reserve samples of compressed medical gases need not be retained. The retention time is as follows:
 1. For a drug product other than those described in paragraphs (b)(2) and (3) of this section, the reserve sample shall be retained for 1 year after the expiration date of the drug product.
 2. For a radioactive drug product, except for nonradioactive reagent kits, the reserve sample shall be retained for
 - i. three months after the expiration date of the drug product if the expiration dating period of the drug product is 30 days or less, or
 - ii. six months after the expiration date of the drug product if the expiration dating period of the drug product is more than 30 days.
 3. For an OTC drug product that is exempt for bearing an expiration date under 211.137, the reserve sample must be retained for 3 years after the lot or batch of drug product is distributed.
- 211.173 Laboratory animals: Animals used in testing components, in-process materials, or drug products for compliance with established specifications shall be maintained and controlled in a manner that ensures their suitability for their intended use. They

shall be identified, and adequate records shall be maintained showing the history of their use.

- 211.176 Penicillin contamination: If a reasonable possibility exists that a non-penicillin drug product has been exposed to cross-contamination with penicillin, the non-penicillin drug product shall be tested for the presence of penicillin. Such drug product shall not be marketed if detectable levels are found when tested according to procedures specified in *Procedures for Detecting and Measuring Penicillin Contamination in Drugs*, which is incorporated by reference. Copies are available from the Division of Research and Testing (HFD-470), Center for Drug Evaluation and Research, Food and Drug Administration, 5100 Paint Branch Pkwy., College Park, MD 20740 (www.fda.gov/cder/dmpq/penicillin.pdf).

Subpart J—Records and Reports

211.180 General requirements

- a. Any production, control, or distribution record that is required to be maintained in compliance with this part and is specifically associated with a batch of a drug product shall be retained for at least 1 year after the expiration date of the batch or, in the case of certain OTC drug products lacking expiration dating because they meet the criteria for exemption under 211.137, 3 years after distribution of the batch.
- b. Records shall be maintained for all components, drug product containers, closures, and labeling for at least 1 year after the expiration date or, in the case of certain OTC drug products lacking expiration dating because they meet the criteria for exemption under 211.137, 3 years after distribution of the last lot of drug product incorporating the component or using the container, closure, or labeling.
- c. All records required under this part, or copies of such records, shall be readily available for authorized inspection during the retention period at the establishment where the activities described in such records occurred. These records or copies thereof shall be subject to photocopying or other means of reproduction as part of such inspection. Records that can be immediately retrieved from another location by computer or other electronic means shall be considered as meeting the requirements of this paragraph.
- d. Records required under this part may be retained either as original records or as copies, such as photocopies, microfilm, microfiche, or other accurate reproductions of the original records. Where reduction techniques, such as microfilming, are used, suitable reader and photocopying equipment shall be readily available.
- e. Written records required by this part shall be maintained so that data therein can be used for

evaluating, at least annually, the quality standards of each drug product to determine the need for changes in drug product specifications or manufacturing or control procedures. Written procedures shall be established and followed for such evaluations and shall include provisions for

1. a review of a representative number of batches, whether approved or rejected, and, where applicable, records associated with the batch;
 2. a review of complaints, recalls, returned or salvaged drug products, and investigations conducted under 211.192 for each drug product.
- f. Procedures shall be established to ensure that the responsible officials of the firm, if they are not personally involved in or immediately aware of such actions, are notified in writing of any investigations conducted under 211.198, 211.204, or 211.208 of these regulations, any recalls, reports of inspectional observations issued by the FDA, or any regulatory actions relating to GMP brought by the FDA.

211.182 Equipment cleaning and use log: A written record of major equipment cleaning, maintenance (except routine maintenance such as lubrication and adjustments), and use shall be included in individual equipment logs that show the date, time, product, and lot number of each batch processed. If equipment is dedicated to manufacture of one product, then individual equipment logs are not required, provided that lots or batches of such product follow in numerical order and are manufactured in numerical sequence. In cases where dedicated equipment is employed, the records of cleaning, maintenance, and use shall be part of the batch record. The persons performing and double-checking the cleaning and maintenance shall date and sign or initial the log indicating that the work was performed. Entries in the log shall be in chronological order.

211.184 Component, drug product container, closure, and labeling records

These records shall include the following:

- a. The identity and quantity of each shipment of each lot of components, drug product containers, closures, and labeling; the name of the supplier; the supplier's lot number(s) if known; the receiving code as specified in 211.80; and the date of receipt. The name and location of the prime manufacturer, if different from the supplier, shall be listed if known.
- b. The results of any test or examination performed [including those performed as required by 211.82(a), 211.84(d), or 211.122(a)] and the conclusions derived therefrom.
- c. An individual inventory record of each component, drug product container, and closure and,

for each component, a reconciliation of the use of each lot of such component. The inventory record shall contain sufficient information to allow determination of any batch or lot of drug product associated with the use of each component, drug product container, and closure.

- d. Documentation of the examination and review of labels and labeling for conformity with established specifications in accordance with 211.122(c) and 211.130(c).
 - e. The disposition of rejected components, drug product containers, closure, and labeling.
- 211.186 Master production and control records
- a. To ensure uniformity from batch to batch, master production and control records for each drug product, including each batch size thereof, shall be prepared, dated, and signed (full signature, handwritten) by one person and independently checked, dated, and signed by a second person. The preparation of master production and control records shall be described in a written procedure and such written procedure shall be followed.
 - b. Master production and control records shall include:
 1. The name and strength of the product and a description of the dosage form;
 2. The name and weight or measure of each active ingredient per dosage unit or per unit of weight or measure of the drug product and a statement of the total weight or measure of any dosage unit;
 3. A complete list of components designated by names or codes sufficiently specific to indicate any special quality characteristic;
 4. An accurate statement of the weight or measure of each component, using the same weight system (metric, avoirdupois, or apothecary) for each component. Reasonable variations may be permitted, however, in the amount of components necessary for the preparation in the dosage form, provided they are justified in the master production and control records;
 5. A statement concerning any calculated excess of component;
 6. A statement of theoretical weight or measure at appropriate phases of processing;
 7. A statement of theoretical yield, including the maximum and minimum percentages of theoretical yield beyond which investigation according to 211.192 is required;
 8. A description of the drug product containers, closures, and packaging materials, including a specimen or copy of each label and all other labeling signed and dated by the person or persons responsible for approval of such labeling;

9. Complete CMC instructions, sampling and testing procedures, specifications, special notations, and precautions to be followed.

211.188 Batch production and control records

Batch production and control records shall be prepared for each batch of drug product produced and shall include complete information relating to the production and control of each batch. These records shall include:

- a. An accurate reproduction of the appropriate master production or control record, checked for accuracy, dated, and signed.
- b. Documentation that each significant step in the manufacture, processing, packing, or holding of the batch was accomplished, including:
 1. Dates;
 2. Identity of individual major equipment and lines used;
 3. Specific identification of each batch of component or in-process material used;
 4. Weights and measures of components used in the course of processing;
 5. In-process and laboratory control results;
 6. Inspection of the packaging and labeling area before and after use;
 7. A statement of the actual yield and a statement of the percentage of theoretical yield at appropriate phases of processing;
 8. Complete labeling control records, including specimens or copies of all labeling used;
 9. Description of drug product containers and closures;
 10. Any sampling performed;
 11. Identification of the persons performing and directly supervising or checking each significant step in the operation;
 12. Any investigation made according to 211.192;
 13. Results of examinations made in accordance with 211.134.

211.192 Production record review: All drug product production and control records, including those for packaging and labeling, shall be reviewed and approved by the quality control unit to determine compliance with all established, approved written procedures before a batch is released or distributed. Any unexplained discrepancy (including a percentage of theoretical yield exceeding the maximum or minimum percentages established in master production and control records) or the failure of a batch or any of its components to meet any of its specifications shall be thoroughly investigated, whether or not the batch has already been distributed. The investigation shall extend to other batches of the same drug product and other drug products that may have been associated with the specific failure or discrepancy. A written record of the investigation shall be made and

shall include the conclusions and follow-up. 211.194 Laboratory records

- a. Laboratory records shall include complete data derived from all tests necessary to ensure compliance with established specifications and standards, including examinations and assays as follows.
 1. A description of the sample received for testing with identification of source (that is, location from where sample was obtained), quantity, lot number or other distinctive code, date sample was taken, and date sample was received for testing.
 2. A statement of each method used in the testing of the sample. The statement shall indicate the location of data that establishes that the methods used in the testing of the sample meet proper standards of accuracy and reliability as applied to the product tested. [If the method employed is in the current revision of the *U. S. Pharmacopeia*, National Formulary, AOAC INTERNATIONAL, Book of Methods, (copies may be obtained from AOAC INTERNATIONAL, 481 North Frederick Ave., suite 500, Gaithersburg, MD 20877) or in other recognized standard references, or is detailed in an approved new drug application and the referenced method is not modified, a statement indicating the method and reference will suffice.] The suitability of all testing methods used shall be verified under actual conditions of use.
 3. A statement of the weight or measure of sample used for each test, where appropriate.
 4. A complete record of all data secured in the course of each test, including all graphs, charts, and spectra from laboratory instrumentation, properly identified to show the specific component, drug product container, closure, in-process material, or drug product, and lot tested.
 5. A record of all calculations performed in connection with the test, including units of measure, conversion factors, and equivalency factors.
 6. A statement of the results of tests and how the results compare with established standards of identity, strength, quality, and purity for the component, drug product container, closure, in-process material, or drug product tested.
 7. The initials or signature of the person who performs each test and the date(s) the tests were performed.
 8. The initials or signature of a second person showing that the original records have been

- reviewed for accuracy, completeness, and compliance with established standards.
- b. Complete records shall be maintained of any modification of an established method employed in testing. Such records shall include the reason for the modification and data to verify that the modification produced results that are at least as accurate and reliable for the material being tested as the established method.
 - c. Complete records shall be maintained of any testing and standardization of laboratory reference standards, reagents, and standard solutions.
 - d. Complete records shall be maintained of the periodic calibration of laboratory instruments, apparatus, gauges, and recording devices required by 211.160(b)(4).
 - e. Complete records shall be maintained of all stability testing performed in accordance with 211.166.
- 211.196 Distribution records: Distribution records shall contain the name and strength of the product and description of the dosage form, name and address of the consignee, date and quantity shipped, and lot or control number of the drug product. For compressed medical gas products, distribution records are not required to contain lot or control numbers.

211.198 Complaint files

- a. Written procedures describing the handling of all written and oral complaints regarding a drug product shall be established and followed. Such procedures shall include provisions for review by the quality control unit, of any complaint involving the possible failure of a drug product to meet any of its specifications and, for such drug products, a determination as to the need for an investigation in accordance with 211.192. Such procedures shall include provisions for review to determine whether the complaint represents a serious and unexpected adverse drug experience, which is required to be reported to the FDA in accordance with 310.305 and 514.80 of this chapter.
- b. A written record of each complaint shall be maintained in a file designated for drug product complaints. The file regarding such drug product complaints shall be maintained at the establishment where the drug product involved was manufactured, processed, or packed, or such file may be maintained at another facility if the written records in such files are readily available for inspection at that other facility. Written records involving a drug product shall be maintained until at least 1 year after the expiration date of the drug product, or 1 year after the date that the complaint was received, whichever is longer. In the case of certain OTC drug products lacking expiration dating because they meet the

criteria for exemption under 211.137, such written records shall be maintained for 3 years after distribution of the drug product.

1. The written record shall include the following information, where known: the name and strength of the drug product, lot number, name of complainant, nature of complaint, and reply to complainant.
2. Where an investigation under 211.192 is conducted, the written record shall include the findings of the investigation and follow-up. The record or copy of the record of the investigation shall be maintained at the establishment where the investigation occurred in accordance with 211.180(c).
3. Where an investigation under 211.192 is not conducted, the written record shall include the reason that an investigation was found not to be necessary and the name of the responsible person making such a determination.

Subpart K—Returned and Salvaged Drug Products

211.204 Returned drug products: Returned drug products shall be identified as such and held. If the conditions under which returned drug products have been held, stored, or shipped before or during their return, or if the condition of the drug product, its container, carton, or labeling, as a result of storage or shipping, casts doubt on the safety, identity, strength, quality, or purity of the drug product, the returned drug product shall be destroyed unless examination, testing, or other investigations prove the drug product meets appropriate standards of safety, identity, strength, quality, or purity. A drug product may be reprocessed provided the subsequent drug product meets appropriate standards, specifications, and characteristics. Records of returned drug products shall be maintained and shall include the name and label potency of the drug product dosage form, lot number (or control number or batch number), reason for the return, quantity returned, date of disposition, and ultimate disposition of the returned drug product. If the reason for a drug product being returned implicates associated batches, an appropriate investigation shall be conducted in accordance with the requirements of 211.192. Procedures for the holding, testing, and reprocessing of returned drug products shall be in writing and shall be followed.

211.208 Drug product salvaging: Drug products that have been subjected to improper storage conditions including extremes in temperature, humidity, smoke, fumes, pressure, age, or radiation due to natural disasters, fires, accidents, or equipment failures shall not be salvaged and returned to the marketplace. Whenever there is a question whether drug products have been subjected to such conditions, salvaging operations may be conducted only if there is (a)

evidence from laboratory tests and assays (including animal feeding studies where applicable) that the drug products meet all applicable standards of identity, strength, quality, and purity and (b) evidence from inspection of the premises that the drug products and their associated packaging were not subjected to improper storage conditions as a result of the disaster or accident. Organoleptic examinations shall be acceptable only as supplemental evidence that the drug products meet appropriate standards of identity, strength, quality, and purity. Records including name, lot number, and disposition shall be maintained for drug products subject to this section.

III. AMENDMENTS TO PART 211

- 3. The authority citation for 21 CFR part 211 continues to read as follows:
Authority: 21 USC 321, 351, 352, 355, 360b, 371, 374; 42 USC 216, 262, 263a, 264
- 4. Section 211.48 is amended by revising paragraph (a) to read as follows:
211.48 Plumbing
 - a. Water supplied by the plumbing system of the facility must be safe for human consumption. This water shall be supplied under continuous positive pressure in a plumbing system free of defects that could contribute contamination to any drug product.
- 5. Section 211.67 is amended by revising paragraph (a) to read as follows:
211.67 Equipment cleaning and maintenance
 - b. Equipment and utensils shall be cleaned, maintained, and sanitized and/or sterilized at appropriate intervals to prevent malfunctions or contamination that would alter the safety, identity, strength, quality, or purity of the drug product beyond the official or other established requirements.
- 6. Section 211.68 is amended by adding paragraph (c) to read as follows:
211.68 Automatic, mechanical, and electronic equipment
 - c. Such automated equipment used for performance of operations addressed by 211.101(c) or (d), 211.103, 211.182, or 211.188(b)(n) can satisfy the requirements included in those sections for the performance of an operation by one person and checking by another person if such equipment is used in conformity with this section and one person verifies that the operations addressed in those sections are performed accurately by such equipment.
- 7. Section 211.72 is revised to read as follows:
211.72 Filters: Filters for liquid filtration used in the manufacture, processing, or packing of injectable drug products intended for human use shall not release fibers into such products. Fiber-releasing filters may not be used in the manufacture, processing, or packing of these injectable drug products unless it is not possible to manufacture such drug products without the use of such filters. If use of a fiber-releasing filter is necessary, an additional non-fiber-releasing filter of 0.22-micron maximum mean porosity (0.45 microns if the manufacturing conditions so dictate) shall subsequently be used to reduce the content of particles in the injectable drug product.
- 8. Section 211.82 is amended by revising paragraph (b) to read as follows:
211.82 Receipt and storage of untested components, drug product containers, and closures.
 - b. Components, drug product containers, and closures shall be stored under quarantine until they have been tested or examined, whichever is appropriate, and released. Storage within the area shall conform to the requirements of 211.80.
- 9. Section 211.84 is amended by revising paragraphs (c)(1), (d)(3), and (d)(6) to read as follows:
211.84 Testing and approval or rejection of components, drug product containers, and closures
 - c. ***
 - 1. The containers of components selected shall be cleaned when necessary in a manner to prevent introduction of contaminants into the component.
 - d. ***
 - 3. Containers and closures shall be tested for conformity with all appropriate written specifications. In lieu of such testing by the manufacturer, a certificate of testing may be accepted from the supplier, provided that at least a visual identification is conducted on such containers/closures by the manufacturer and provided that the manufacturer establishes the reliability of the supplier's test results through appropriate validation of the supplier's test results at appropriate intervals.
 - 6. Each lot of a component, drug product container, or closure with potential for microbiological contamination that is objectionable in view of its intended use shall be subjected to microbiological tests before use.
- 10. Section 211.94 is amended by revising paragraph (c) to read as follows:
211.94 Drug product containers and closures
 - c. Drug product containers and closures shall be clean and, where indicated by the nature of the drug sterilized and processed to

- remove pyrogenic properties to ensure that they are suitable for their intended use. Such depyrogenation processes shall be validated.
- 11. Section 211.101 is amended by revising paragraphs (c) and (d) to read as follows:

211.101 Charge-in of components.

 - c. Weighing, measuring, or subdividing operations for components shall be adequately supervised. Each container of component dispensed to manufacturing shall be examined by a second person to ensure that
 1. the component was released by the quality control unit;
 2. the weight or measure is correct as stated in the batch production records;
 3. the containers are properly identified. If the weighing, measuring, or subdividing operations are performed by automated equipment under 211.68, only one person is needed to ensure conditions of paragraphs (c)(1), (c)(2), and (c)(3) of this section have been met.
 - d. Each component shall either be added to the batch by one person and verified by a second person or, if the components are added by automated equipment under 211.68, only verified by one person.
 - 12. Section 211.103 is revised to read as follows:

211.103 Calculation of yield: Actual yields and percentages of theoretical yield shall be determined at the conclusion of each appropriate phase of manufacturing, processing, packaging, or holding of the drug product. Such calculations shall either be performed by one person and independently verified by a second person, or, if the yield is calculated by automated equipment under 211.68, be independently verified by one person.
 - 13. Section 211.110 is amended by revising paragraph (a) introductory text and by adding paragraph (a)(6) to read as follows:

211.110 Sampling and testing of in-process materials and drug products

 - a. To ensure batch uniformity and integrity of drug products, written procedures shall be established and followed that describe the in-process controls, and tests, or examinations to be conducted on appropriate samples of in-process materials of each batch. Such control procedures shall be established to monitor the output and to validate the performance of those manufacturing processes that may be responsible for causing variability in the characteristics of in-process material and the drug product. Such control procedures shall include, but are not limited to, the following, where appropriate:
 6. Bioburden testing
 - 14. Section 211.113 is amended by revising paragraph (b) to read as follows:

211.113 Control of microbiological contamination.

 - b. Appropriate written procedures, designed to prevent microbiological contamination of drug products purporting to be sterile, shall be established and followed. Such procedures shall include validation of all aseptic and sterilization processes.
 - 15. Section 211.160 is amended by revising paragraph (b)(1) to read as follows:

211.160 General requirements

 - b. ***
 1. Determination of conformity to applicable written specifications for the acceptance of each lot within each shipment of components, drug product containers, closures, and labeling used in the manufacture, processing, packing, or holding of drug products. The specifications shall include a description of the sampling and testing procedures used. Samples shall be representative and adequately identified. Such procedures shall also require appropriate retesting of any component, drug product container, or closure that is subject to deterioration.
 - 16. Section 211.182 is revised to read as follows:

211.182 Equipment cleaning and use log: A written record of major equipment cleaning, maintenance (except routine maintenance such as lubrication and adjustments), and use shall be included in individual equipment logs that show the date, time, product, and lot number of each batch processed. If equipment is dedicated to manufacture of one product, then individual equipment logs are not required, provided that lots or batches of such product follow in numerical order and are manufactured in numerical sequence. In cases where dedicated equipment is employed, the records of cleaning, maintenance, and use shall be part of the batch record. The persons performing and double-checking the cleaning and maintenance (or, if the cleaning and maintenance is performed using automated equipment under 211.68, only the person verifying the cleaning and maintenance done by the automated equipment) shall date and sign or initial the log indicating that the work was performed. Entries in the log shall be in chronological order.
 - 17. Section 211.188 is amended by revising paragraph (b)(11) to read as follows:
 - 211.188 Batch production and control records
 - b. ***
 - ii. Identification of the persons performing and directly supervising or

checking each significant step in the operation, or if a significant step in the operation is performed by automated equipment under 211.68, the identification of the person checking the significant step performed by the automated equipment.

IV. U.S. FDA CGMP OVERVIEW CHECKLIST

1. "C" = current dynamic which evolves over time; "GMP" = Good Manufacturing Practices minimal standards
 - a. Not "best" practices unless "best" is, in fact, current minimal
 - b. cGMP not NDA or firm specific
2. Compliance terms and phrases related to cGMP issues
 - a. Adulteration
 - b. Quality controls, quality assurance, quality systems
 - c. Contamination (e.g., lack of assurance of sterility)
 - d. Cross-contamination (e.g., dirty manufacturing facilities)
 - e. Out-of-specification (OoS) findings
 - f. Equipment-related issues, calibration/maintenance
 - g. Standard operating procedures (SOPs)
 - h. Code of Federal Regulations (CFR), Parts 210 and 211
 - i. Form FDA-483 (Inspectional Observations)
 - j. Establishment Inspection Report (EIR)
 - k. Collection Report (CR)
 - l. Regulatory actions: warning Letters, recall, seizure, injunctions, application approvals, suspensions, revocations, and import detention Good Manufacturing Practice, or GMP
 - m. Good Management Practice, or GMP
 - n. Good Engineering Practice, or GEP
 - o. Good Laboratory Practice, or GLP
 - p. Good Safety Practice, or GSP
 - q. Good Clinical Practice, or GCP
 - r. Good Distribution Practice, or GDP
 - s. Good Research Practice, or GRP
 - t. Good Review Management Practice, or GRMP
 - u. Good Recruitment Practice, or GxP
3. Best practice
 - a. A concept of management that asserts that proper processes, checks, and testing can deliver or put out a desired outcome with fewer problems and unforeseen complications.
 - b. Definition of processes or methods to do something.
 - c. Results in achievement of assurance of quality results and consistency by following the process (the practice) if the process is followed.
 - d. In the modern world, the production of goods and services has become complex, dependent on integration of many different specialty activities, which include sophisticated equipment, requiring design, construction, maintenance, and operation.
 - e. The central axiom is best practice results in best outcome or good practice results in good outcome (product).
 - f. Applied in sales, manufacturing, teaching, programming software, road construction, health care, insurance, and accounting.
4. Good operating practice
 - a. A strategy for management of activities to produce a desirable outcome/product
 - b. Five hundred and more organizations, institutes, consultants, Web sites offering assistance
5. cGMP for pharmaceuticals
 - a. Established by government
 - b. Requirement of law
 - c. Definition, or development
6. U.S. cGMP legal principles
 - a. "Adulterated" drug due to lack of cGMPs.
 - b. Defined in 501(a)(2)(B) of FD&C Act: "A drug shall be deemed adulterated: ... if the methods used in, or the facilities or controls used for, its manufacture, processing, packing, or holding do not conform to or are not operated or administered in conformity with current good manufacturing practice to assure that such drug meets the requirements of the Act as to safety and has that identity and strength, and meets the quality and purity characteristics, which it purports or is represented to possess."
 - c. Quality built into product
 - i. By "taking care" in making medicine
 - ii. Can't "test" into product the quality
 - iii. Controls provided by the practice
 - d. Without/Inadequate cGMP
 - i. Product(s) adulterated (defects need not be shown)
 - ii. Firm and its management responsible
 - e. Potential problems from
 - i. Noncompliance with cGMP
 - ii. Superpotency or subpotency
 - iii. Contamination
 - iv. Safety and efficacy effects
 - v. Misbranding.
7. cGMP requirements apply to
 - a. Finished pharmaceuticals
 - b. Drug substances/APIs
 - c. OTC and Rx products
 - d. NDA and aNDA drug products
 - e. Approved and unapproved drug products
 - f. Investigational New Drug Application (IND) products administered in clinical studies (human or animal)

8. U.S. cGMP legal principles excluded from the cGMP requirement
 - a. Positron emission tomography, as per FDAMA (own cGMP to be developed)
 - b. Drug products compounded as per Section 503 Pharmacy Compounding (FDAMA)
9. U.S. cGMP legal principles
 - a. Feasible and valuable
 - b. No threshold for “percentage” in practice
 - c. Doesn’t have to be “predominant”
 - d. Enforceable even if nobody is doing it
 - e. Stronger case if someone is doing it
10. cGMP regulation scope
 - a. Dosage forms for human/vet/biologics
 - b. OTC, Rx, IND, NDA, medical gases
 - c. Not: pharmacies, ingredients, nonclinical research
11. cGMP regulation
 - a. cGMP for Finished Pharmaceuticals 21 CFR 210, 211
 - b. Substantive
 - c. Force and effect of law
 - d. Constitute major part of (not entire) cGMP
 - e. Establish “what to” do, not “how to” do
 - f. Minimal standards
 - g. Maximum flexibility
 - h. Specific enough to address problems, for example, penicillin contamination control
 - a. Technology neutral Scalable
12. cGMP guidance documents
 - a. Principles
 - i. Not requirements
 - ii. Agency “current thinking”
 - iii. Detailed, technical
 - iv. Expression of “how to” meet “what to” do (requirements)
 - v. Shape industry behavior
 - vi. Offers routes to efficiency in meeting cGMP requirement, evaluation of compliance
 - b. cGMP guidance documents (examples)
 - i. General principles of process validation
 - ii. Compressed medical gases
 - iii. Sterile drug products produced by aseptic processing
 - iv. Guideline on the preparation of investigational new drug products
 - v. Investigating out-of-specification test results for pharmaceutical production
 - vi. Manufacturing, processing, or holding of active pharmaceutical ingredients
13. cGMP Requirements: 21 CFR Parts 210 and 211 contain the minimum cGMP regulations for the preparation of finished pharmaceuticals for administration to humans and animals and encompass
 - a. Organization and personnel (e.g., quality control unit)
 - b. Buildings and facilities
 - c. Equipment
 - d. Components and drug product containers
 - e. Production and process controls
 - f. Packaging and labeling controls
 - g. Laboratory controls
 - h. Holding and distribution
 - i. Records and reports
14. cGMP regulations
 - a. 21CFR210
 - i. Status of the regulations
 - ii. Applicability of the regulations
 - iii. Definitions
 - iv. Batch
 - v. Lot
 - vi. In-process material
 - vii. Quality control unit
 - viii. Representative sample
15. 21CFR211
 - a. Subpart A—General provisions: this is minimum cGMP
 - i. Overview of cGMP requirements in the regulation.
 - ii. cGMP regulations.
 - b. Subpart B—Organization and personnel
 - i. There shall be a quality control unit.
 - ii. Quality control unit’s responsibility to approve/reject.
 - c. Subpart C—Buildings and facilities
 - i. Buildings shall be ... suitable.
 - ii. Operations to be in specifically defined areas ... separate. ... Or such other control systems for ... operations as are necessary to prevent contamination or mix-ups ... (see list, includes aseptic processing).
 - iii. “Separate” facilities for penicillin.
 - iv. Building ... shall be ... clean and sanitary.
 - d. Subpart D—Equipment
 - i. Surfaces ... shall not be reactive, additive, or absorptive.
 - ii. Equipment ... shall be cleaned, maintained and sanitized.
 - e. Subpart E—Control of components, containers, and closures
 - i. Containers and closures ... handled in a manner to prevent contamination.
 - ii. Testing or examination of c/c/c’s.
 - iii. Test to identify each component.
 - iv. Tests on components for conformance with specs.
 - v. Test c/c/c’s microscopically, for adulterants, microscopically.
 - f. Subpart F—Production and process controls
 - i. Written procedures for production and process control.
 - ii. Formulated not less than 100%.
 - iii. Portions of components identified, examined by a second person before dispensed for use in manufacture.

- iv. Sampling and testing of in-process materials and products, some specified.
- v. Time limits.
- vi. Reprocessing allowed, but controlled.
- g. Subpart G—Packaging and labeling controls
 - i. Examination, approval of labels, labeling.
 - ii. Strict control over labeling issue, and return to stock.
 - iii. Written procedures, physical separation of labeling operations.
 - iv. Examination of materials before use.
 - v. Inspection of facilities immediately before.
 - vi. Tamper-resistant packaging (for OTC products).
 - vii. Expiration dating.
- h. Subpart H—Holding and distribution
 - i. Quarantine before release.
 - ii. Store under appropriate conditions.
- i. Subpart I—Laboratory Controls
 - i. Establish specs, standards, sampling plans, test procedures.
 - ii. Calibration of laboratory equipment.
 - iii. Test each batch of drug product.
 - iv. Adequate acceptance criteria.
 - v. Validate test methods.
 - vi. Conduct stability program.
- j. Subpart I—Laboratory controls
 - i. Special tests.
 - ii. Sterility and pyrogenicity.
 - iii. Ophthalmic ointments for foreign/abrasive particles.
 - iv. Controlled-release products for rate of release.
 - v. Keep reserve samples.
 - vi. Test non-penicillin products for penicillin when there is a reasonable possibility of exposure to the presence of penicillin.
- k. Subpart J—Records and reports
 - i. Keep records, make available for inspection.
 - ii. Conduct annual review of each drug product for changes to specs, control procedures.
 - iii. Keep equipment clean and use log.
 - iv. Keep component, container, closure, and labeling records.
 - v. Have SOP for master production and control record, maintain record.
 - vi. Use batch production and control records for manufacture, keep records.
 - vii. Records to be reviewed/approved by quality control unit.
 - viii. Complete data derived from all tests necessary to ensure compliance.
 - ix. Distribution records, with lot numbers (except medical gases) complaint files.
- l. Subpart K—Returned and salvaged drug products
 - i. If conditions cast doubt, returned product shall be destroyed unless proved okay by test, examination, investigation.
 - ii. Salvage only if evidence from tests and inspection show all standards met.
- 16. cGMP changes
 - a. Change/update continuous
 - b. Establishment inspections
 - c. Industry changes/problems
 - d. Defect reports/complaints/recalls.
- 17. Litigation
 - a. Agency application reviews
 - b. Trade/Scientific literature
 - c. Citizen petitions
- 18. Input for cGMP changes
 - a. Establishment inspections
 - b. Industry changes/problems
 - c. Defect reports/complaints/recalls
- 19. cGMP initiative
 - a. Opportunities
 - b. Major advances in manufacturing science/technology
 - c. Advances in the science of quality management (e.g., quality systems approaches)
 - d. Systems-based drug inspection program
 - e. Advances in application of risk analysis/management
 - f. Risk management approaches gaining wider acceptance in other regulatory agencies (e.g., EPA, OSHA, IRS)
- 20. cGMP compliance programs—Instructions to FDA inspectors
 - a. Drug manufacturing inspections program
 - b. Systems-based assessment of site
 - c. PAI program
 - d. Points to inspect
 - e. Laboratory support
 - f. Regulatory approaches
- 21. Systems-based approach to GMP described in CPGM 7356.002, drug process inspections
 - a. Quality system.
 - i. Quality control unit
 - ii. Responsibility and authority to review and approve all
 - iii. Procedures adequate for their intended use
 - iv. Batch production records
 - v. Training/Qualification of personnel
 - vi. Record-keeping systems
 - vii. Quality control unit evaluates
 - viii. Data collected to identify quality problems
 - ix. Annual product reviews, complaints, OoS findings
 - x. Problems to determine what corrective and preventative actions are needed
 - b. Facilities system
 - i. Adequate design to prevent cross-contamination or mix-up.
 - ii. Readily cleanable and sanitizing agents effectively used
 - iii. Properly maintained

- iv. Adequate storage conditions for components
- v. Air-handling systems functioning and separate if necessary (e.g. penicillin, beta-lactams, steroids, hormones, cytotoxics)
- vi. Control system in place for implementing changes
- vii. Adequate lighting, temperature, humidity controls
- c. Equipment system
 - i. Installation and operational qualification where appropriate
 - ii. Adequate design, size, and location
 - iii. Equipment surfaces should not be reactive, additive, or absorptive
 - iv. Controls to prevent contamination
 - v. Cleaning procedures and cleaning validation
 - vi. Calibration and maintenance
 - vii. Equipment use logs
 - viii. Control system for implementing changes in the equipment
- d. Materials system
 - i. Components, drug product containers, and closures
 - ii. Quarantined until tested or examined and released (or rejected)
 - iii. Representative samples collected, tested, or examined (e.g., containers and closures should not be additive, reactive, or absorptive to the drug product)
 - iv. At least one specific identity test conducted on each lot of each component
 - v. A visual identification conducted on each lot of containers and closures
 - vi. Testing or validation of supplier's test results for components, containers, and closures
 - vii. First in, first out (FIFO) use of components, containers, closures
 - viii. Water a major component that is evaluated during most cGMP inspections
- e. Production system
 - i. Components—"charge in"
 - ii. Formulation/manufacturing at not less than 100%
 - iii. Equipment properly identified—contents
 - iv. Actual yields and percentage of theoretical yields
 - v. Containers and closures—cleaning/sterilization/depyrogenation
 - vi. Batch production documentation—contemporaneous and complete
 - vii. Time limits for completion of phases of production
 - viii. In-process controls, tests, and examinations (e.g., pH, adequacy of mix, weight variation, clarity)
- ix. Environmental controls—prevent objectionable microorganisms
- x. Process validation
- xi. Computerized or automated processes—validation and security
- xii. Change control
- f. Packaging and labeling system
 - i. Adequate storage controls for labels and labeling—both approved and returned after issued
 - ii. Control of labels which are similar in size, shape, and color for different products
 - iii. Cut labels require 100% verification
 - iv. Packaging records include specimens of all labels used
 - v. Control of issuance/reconciliation of labels and labeling
 - vi. Examination of the labeled finished product
 - vii. Physical/Spatial separation between different labeling and packaging lines
 - viii. Line clearance, inspection, and documentation
 - ix. Conformance to TEP packaging requirements—OTC
- g. Laboratory control system
 - i. Staffing
 - ii. Equipment and facilities
 - iii. Calibration and maintenance of analytical instruments and equipment (e.g., system suitability checks on chromatographic systems)
 - iv. Reference standards
 - v. Specifications, standards, and representative sampling plans
 - vi. Validation/Verification of analytical methods
 - vii. Complete analytical records—includes retention of raw data
 - viii. Documented investigation into any unexpected discrepancy/OoS
 - ix. Reserve samples
 - x. Stability testing program
- h. cGMP implementation tools
 - i. Compliance policy guides
 - ii. Specific actions we do related to cGMP
 - iii. Examples: Subchapter 410—Bulk Drugs.
 - iv. The regulations for finished pharmaceuticals will be applied as guidelines for bulk drugs
 - v. Subchapter 420—Compendial (USP)/Test Requirements. Example: USP not required for release test
 - vi. Other subchapters
 - vii. Labeling and repackaging
 - viii. Stability/expiration
 - ix. Process validation
 - x. Other product-specific validation protocols

V. DRUG MASTER FILES AND CERTIFICATIONS

A Drug Master File (DMF) is a submission to the FDA that may be used to provide confidential detailed information about facilities, processes, or articles used in the manufacturing, processing, packaging, and storing of one or more human drugs. The submission of a DMF is not required by law or FDA regulation. A DMF is submitted solely at the discretion of the holder. The information contained in the DMF may be used to support an IND, an NDA, an abbreviated New Drug Application (aNDA), another DMF, an export application, or amendments and supplements to any of these.

ADMF is *not* a substitute for an IND, NDA, aNDA, or export application. It is not approved or disapproved. Technical contents of a DMF are reviewed only in connection with the review of an IND, NDA, aNDA, or an export application.

This guideline does not impose mandatory requirements [21 CFR 10.90(b)]. It does, however, offer guidance on acceptable approaches to meeting regulatory requirements. Different approaches may be followed, but the applicant is encouraged to discuss significant variations in advance with FDA reviewers to preclude spending time and effort in preparing a submission that FDA may later determine to be unacceptable.

DMFs are provided for in 21 CFR 314.420.

DMFs are generally created to allow a party other than the holder of the DMF to reference material without disclosing to that party the contents of the file. When an applicant references its own material, the applicant should reference the information contained in its own IND, NDA, or aNDA directly rather than establishing a new DMF.

A. TYPES OF DMFs

- a. *Type I: Manufacturing Site, Facilities, Operating Procedures, and Personnel.* A Type I DMF is recommended for a person outside of the United States to assist FDA in conducting on-site inspections of their manufacturing facilities. The DMF should describe the manufacturing site, equipment capabilities, and operational layout. A Type I DMF is normally not needed to describe domestic facilities, except in special cases, such as when a person is not registered and not routinely inspected. The description of the site should include acreage, actual site address, and a map showing its location with respect to the nearest city. An aerial photograph and a diagram of the site may be helpful. A diagram of major production and processing areas is helpful for understanding the operational layout. Major equipment should be described in terms of capabilities, application, and location. Make and model would not normally be needed unless the equipment is new or unique. A diagram of major corporate organizational elements, with key manufacturing, quality control, and quality assurance positions highlighted, at both the manufacturing site and corporate headquarters, is also helpful.

- b. *Type II: Drug Substance, Drug Substance Intermediate, and Material Used in Their Preparation, or Drug Product.* A Type II DMF should, in general, be limited to a single drug intermediate, drug substance, drug product, or type of material used in their preparation. Summarize all significant steps in the CMCs of the drug intermediate or substance. Manufacturing procedures and controls for finished dosage forms should ordinarily be submitted in an IND, NDA, aNDA, or export application. If this information cannot be submitted in an IND, NDA, aNDA, or export application, it should be submitted in a DMF.
- c. *Type III: Packaging Material.* Each packaging material should be identified by the intended use, components, composition, and controls for its release. The names of the suppliers or fabricators of the components used in preparing the packaging material and the acceptance specifications should also be given. Data supporting the acceptability of the packaging material for its intended use should also be submitted as outlined in the *Guideline for Submitting Documentation for Packaging for Human Drugs and Biologics*. Toxicological data on these materials would be included under this type of DMF, if not otherwise available by cross-reference to another document.
- d. *Type IV: Excipient, Colorant, Flavor, Essence, or Material Used in Their Preparation.* Each additive should be identified and characterized by its method of manufacture, release specifications, and testing methods. Toxicological data on these materials would be included under this type of DMF, if not otherwise available by cross-reference to another document. Usually, the official compendia and FDA regulations for color additives (21 CFR Parts 70 through 82), direct food additives (21 CFR Parts 170 through 173), indirect food additives (21 CFR Parts 174 through 178), and food substances (21 CFR Parts 181 through 186) may be used as sources for release tests, specifications, and safety. Guidelines suggested for a Type II DMF may be helpful for preparing a Type IV DMF. The DMF should include any other supporting information and data that are not available by cross-reference to another document.
- e. *Type V: FDA-Accepted Reference Information.* FDA discourages the use of Type V DMFs for miscellaneous information, duplicate information, or information that should be included in one of the other types of DMFs.

GLOSSARY

Acceptance Criteria: Numerical limits, ranges, or other suitable measures for acceptance of test results.

Active Pharmaceutical Ingredient (API): *(or Drug Substance)*

Any substance or mixture of substances intended to be used in the manufacture of a drug (medicinal) product and that, when used in the production of a drug, becomes an active ingredient of the drug product. Such substances are intended to furnish pharmacological activity or other direct effect in the diagnosis, cure, mitigation, treatment, or prevention of disease or to affect the structure and function of the body.

Airlock: An enclosed space with two or more doors, which is interposed between two or more rooms, for example, of differing classes of cleanliness, for the purpose of controlling the airflow between those rooms when they need to be entered. An airlock is designed for use either by people or for goods and/or equipment.

API Starting Material: A raw material, intermediate, or an API that is used in the production of an API and that is incorporated as a significant structural fragment into the structure of the API. An API starting material can be an article of commerce, a material purchased from one or more suppliers under contract or commercial agreement, or produced in-house. API starting materials are normally of defined chemical properties and structure.

Authorized Person: The person recognized by the national regulatory authority as having the responsibility for ensuring that each batch of finished product has been manufactured, tested, and approved for release in compliance with the laws and regulations in force in that country.

Batch (or Lot): A specific quantity of material produced in a process or series of processes so that it is expected to be homogeneous within specified limits. In the case of continuous production, a batch may correspond to a defined fraction of the production. The batch size can be defined either by a fixed quantity or by the amount produced in a fixed time interval. A defined quantity of starting material, packaging material, or product processed in a single process or series of processes such that it is expected to be homogeneous. It may sometimes be necessary to divide a batch into a number of sub-batches, which are later brought together to form a final homogeneous batch. In the case of terminal sterilization, the batch size is determined by the capacity of the autoclave. In continuous manufacture, the batch must correspond to a defined fraction of the production, characterized by its intended homogeneity. The batch size can be defined either as a fixed quantity or as the amount produced in a fixed time interval.

Batch Number (or Lot Number): A unique combination of numbers, letters, and/or symbols that identifies a batch (or lot) and from which the production and distribution history can be determined. A distinctive combination of numbers and/or letters which uniquely identifies a batch on the labels, its batch records, and corresponding certificates of analysis.

Batch Records: All documents associated with the manufacture of a batch of bulk product or finished product. They provide a history of each batch of product and of all circumstances pertinent to the quality of the final product.

Bioburden: The level and type (e.g., objectionable or not) of microorganisms that can be present in raw materials, API starting materials, intermediates, or APIs. Bioburden should not be considered contamination unless the levels have been exceeded or defined objectionable organisms have been detected.

Bulk Product: Any product that has completed all processing stages up to, but not including, final packaging.

Calibration: The demonstration that a particular instrument or device produces results within specified limits by comparison with those produced by a reference or traceable standard over an appropriate range of measurements. The set of operations that establish, under specified conditions, the relationship between values indicated by an instrument or system for measuring (especially weighing), recording, and controlling, or the values represented by a material measure, and the corresponding known values of a reference standard. Limits for acceptance of the results of measuring should be established.

Clean Area: An area with defined environmental control of particulate and microbial contamination, constructed and used in such a way as to reduce the introduction, generation, and retention of contaminants within the area.

Computer System: A group of hardware components and associated software, designed and assembled to perform a specific function or group of functions. A process or operation integrated with a computer system.

Consignment (or Delivery): The quantity of a pharmaceutical(s), made by one manufacturer and supplied at one time in response to a particular request or order. A consignment may comprise of one or more packages or containers and may include material belonging to more than one batch.

Contamination: The undesired introduction of impurities of a chemical or microbiological nature, or of foreign matter, into or on to a starting material or intermediate during production, sampling, packaging or repackaging, storage or transport.

Contract Manufacturer: A manufacturer performing some aspect of manufacturing on behalf of the original manufacturer.

Critical: Describes a process step, process condition, test requirement, or other relevant parameter or item that must be controlled within predetermined criteria to ensure that the API meets its specification.

Critical Operation: An operation in the manufacturing process that may cause variation in the quality of the pharmaceutical product.

Cross-Contamination: Contamination of a material or product with another material or product. Contamination

of a starting material, intermediate product, or finished product with another starting material or product during production.

Deviation: Departure from an approved instruction or established standard.

Drug (Medicinal) Product: The dosage form in the final immediate packaging intended for marketing. (Reference Q1A)

Drug Substance: See Active pharmaceutical ingredient.

Expiry Date (or Expiration Date): The date placed on the container/labels of an API designating the time during which the API is expected to remain within established shelf life specifications if stored under defined conditions, and after which it should not be used.

Finished Product: A finished dosage form that has undergone all stages of manufacture, including packaging in its final container and labeling.

Impurity: Any component present in the intermediate or API that is not the desired entity.

Impurity Profile: A description of the identified and unidentified impurities present in an API.

In-Process Control: Checks performed during production to monitor and, if necessary, to adjust the process to ensure that the product conforms to its specifications. The control of the environment or equipment may also be regarded as a part of in-process control.

Intermediate: A material produced during steps of the processing of an API that undergoes further molecular change or purification before it becomes an API. Intermediates may or may not be isolated. Partly processed product that must undergo further manufacturing steps before it becomes a bulk product.

Large-Volume Parenterals: Sterile solutions intended for parenteral application with a volume of 100 mL or more in one container of the finished dosage form.

Lot: See Batch.

Lot Number: See Batch Number.

Manufacture: All operations of receipt of materials, production, packaging, repackaging, labeling, relabeling, quality control, release, storage, and distribution of APIs and related controls.

Manufacturer: A company that carries out operations such as production, packaging, repackaging, labeling, and relabeling of pharmaceuticals.

Marketing Authorization (product license, registration certificate): A legal document issued by the competent drug regulatory authority that establishes the detailed composition and formulation of the product and the pharmacopoeial or other recognized specifications of its ingredients and of the final product itself, and includes details of packaging, labeling, and shelf life.

Master Formula: A document or set of documents specifying the starting materials with their quantities and the packaging materials, together with a description of the procedures and precautions required to

produce a specified quantity of a finished product as well as the processing instructions, including the in-process controls.

Master Record: A document or set of documents that serve as a basis for the batch documentation (blank batch record).

Material: A general term used to denote raw materials (starting materials, reagents, solvents), process aids, intermediates, APIs, and packaging and labeling materials.

Mother Liquor: The residual liquid which remains after the crystallization or isolation processes. A mother liquor may contain unreacted materials, intermediates, levels of the API, and/or impurities. It may be used for further processing.

Packaging: All operations, including filling and labeling, that a bulk product has to undergo to become a finished product. Filling of a sterile product under aseptic conditions or a product intended to be terminally sterilized, would not normally be regarded as part of packaging.

Packaging Material: Any material intended to protect an intermediate or API during storage and transport. Any material, including printed material, employed in the packaging of a pharmaceutical, but excluding any outer packaging used for transportation or shipment. Packaging materials are referred to as primary or secondary according to whether or not they are intended to be in direct contact with the product.

Pharmaceutical Product: Any material or product intended for human or veterinary use presented in its finished dosage form or as a starting material for use in such a dosage form, that is subject to control by pharmaceutical legislation in the exporting state and/or the importing state.

Procedure: A documented description of the operations to be performed, the precautions to be taken and measures to be applied directly or indirectly related to the manufacture of an intermediate or API.

Process Aids: Materials, excluding solvents, used as an aid in the manufacture of an intermediate or API that do not themselves participate in a chemical or biological reaction (e.g. filter aid, activated carbon, etc.).

Process Control: See In-Process control.

Production: All operations involved in the preparation of a pharmaceutical product, from receipt of materials, through processing, packaging and repackaging, labeling and relabeling, to completion of the finished product.

Qualification: Action of proving and documenting that equipment or ancillary systems are properly installed, work correctly, and actually lead to the expected results. Qualification is part of validation, but the individual qualification steps alone do not constitute process validation.

Quality Assurance (QA): The sum total of the organized arrangements made with the object of ensuring that

all APIs are of the quality required for their intended use and that quality systems are maintained.

Quality Control (QC): Checking or testing that specifications are met.

Quality Unit(s): An organizational unit independent of production which fulfills both Quality Assurance and Quality Control responsibilities. This can be in the form of separate QA and QC units or a single individual or group, depending upon the size and structure of the organization.

Quarantine: The status of starting or packaging materials, intermediates, or bulk or finished products isolated physically or by other effective means while a decision is awaited on their release, rejection or reprocessing.

Raw Material: A general term used to denote starting materials, reagents, and solvents intended for use in the production of intermediates or APIs.

Reconciliation: A comparison between the theoretical quantity and the actual quantity.

Recovery: The introduction of all or part of previous batches (or of redistilled solvents and similar products) of the required quality into another batch at a defined stage of manufacture. It includes the removal of impurities from waste to obtain a pure substance or the recovery of used materials for a separate use.

Reference Standard, Primary: A substance that has been shown by an extensive set of analytical tests to be authentic material that should be of high purity. This standard can be

Reference Standard, Secondary: A substance of established quality and purity, as shown by comparison to a primary reference standard, used as a reference standard for routine laboratory analysis.

Reprocessing: Subjecting all or part of a batch or lot of an in-process drug, bulk process intermediate (final biological bulk intermediate) or bulk product of a single batch/lot to a previous step in the validated manufacturing process due to failure to meet predetermined specifications. Reprocessing procedures are foreseen as occasionally necessary for biological drugs and, in such cases, are validated and pre-approved as part of the marketing authorization.

Retest Date: The date when a material should be re-examined to ensure that it is still suitable for use.

Reworking: Subjecting an in-process or bulk process intermediate (final biological bulk intermediate) or final product of a single batch to an alternate manufacturing process due to a failure to meet predetermined specifications. Reworking is an unexpected occurrence and is not pre-approved as part of the marketing authorization.

Self-Contained Area: Premises which provide complete and total separation of all aspects of an operation, including personnel and equipment movement, with well established procedures, controls and monitoring. This includes physical barriers as well as separate air-handling systems, but does not necessarily imply two distinct and separate buildings.

Signature (Signed): See Signed.

Signed (Signature): The record of the individual who performed a particular action or review. This record can be initials, full handwritten signature, personal seal, or authenticated and secure electronic signature.

Solvent: An inorganic or organic liquid used as a vehicle for the preparation of solutions or suspensions in the manufacture of an intermediate or API.

Specification: A list of detailed requirements with which the products or materials used or obtained during manufacture have to conform. They serve as a basis for quality evaluation.

Standard Operating Procedure (SOP): An authorized written procedure giving instructions for performing operations not necessarily specific to a given product or material (e.g., equipment operation, maintenance and cleaning; validation; cleaning of premises and environmental control; sampling and inspection). Certain SOPs may be used to supplement product-specific master and batch production documentation.

Starting Material: Any substance of a defined quality used in the production of a pharmaceutical product, but excluding packaging materials.

Validation: A documented program that provides a high degree of assurance that a specific process, method, or system will consistently produce a result meeting predetermined acceptance criteria. Action of proving, in accordance with the principles of GMP, that any procedure, process, equipment, material, activity or system actually leads to the expected results (see also qualification).

Validation Protocol: A written plan stating how validation will be conducted and defining acceptance criteria. For example, the protocol for a manufacturing process identifies processing equipment, critical process parameters/operating ranges, product characteristics, sampling, test data to be collected, number of validation runs, and acceptable test results.

Yield, Expected: The quantity of material or the percentage of theoretical yield anticipated at any appropriate phase of production based on previous laboratory, pilot scale, or manufacturing data.

Yield, Theoretical: The quantity that would be produced at any appropriate phase of production, based upon the quantity of material to be used, in the absence of any loss or error in actual production.

2 Guideline on the Common Technical Document for the Registration of Pharmaceuticals for Human Use

The International Conference on Harmonization (www.ich.org) has developed a universal format for the registration of pharmaceutical products in the member country states. It took decades to agree on the nature, structure, and substance of this document—it is called the Common Technical Document (CTD). This chapter provides an overview of the technical details and data required to complete this filing and to appreciate the great complexity involved in organizing this document. A common format for the technical documentation will significantly reduce the time and resources needed to compile applications for the registration of human pharmaceuticals and will ease the preparation of electronic submissions. Regulatory reviews and communication with the applicant will be facilitated by a standard document of common elements. In addition, exchange of regulatory information between Regulatory Authorities will be simplified. Whether a firm plans to file this document or not, preparing this for every product manufactured helps in cGMP compliance. Provided in this chapter are details regarding the agreed upon common format for the preparation of a well-structured CTD for applications that will be submitted to regulatory authorities.

BACKGROUND

Through the ICH process, considerable harmonization has been achieved among the three regions in the technical requirements for the registration of pharmaceuticals for human use. However, until now, there has been no harmonization of the organization of the registration documents. Each region has its own requirements for the organization of the technical reports in the submission and for the preparation of the summaries and tables. In Japan, the applicants must prepare the GAIYO, which organizes and presents a summary of the technical information. In Europe, expert reports and tabulated summaries are required, and written summaries are recommended. The U.S. FDA has guidance regarding the format and content of the New Drug Application. To avoid the need to generate and compile different registration dossiers, this guideline describes a format for the CTD that will be acceptable in all three regions.

SCOPE OF THE GUIDELINE

This guideline primarily addresses the organization of the information to be presented in registration applications for new pharmaceuticals (including biotechnology-derived products). No reference is provided here to suggest what studies are

required, it merely indicates an appropriate format for the data that have been acquired. Applicants should not modify the overall organization of the CTD as outlined in the guideline. However, in the nonclinical and clinical summaries, applicants can modify individual formats if needed to provide the best possible presentation of the technical information, in order to facilitate the understanding and evaluation of the results.

GENERAL PRINCIPLES

Throughout the CTD, the display of information should be unambiguous and transparent, in order to facilitate the review of the basic data and to help a reviewer become quickly oriented to the application contents. Text and tables should be prepared using margins that allow the document to be printed on both A4 paper (EU and Japan) and 8.5×11" paper (United States). The left-hand margin should be sufficiently large that information is not obscured by the method of binding. Font sizes for text and tables should be of a style and size that are large enough to be easily legible, even after photocopying. Times New Roman, 12-point font, is recommended for narrative text. Every page should be numbered, according to the granularity document. Acronyms and abbreviations should be defined the first time they are used in each module. References should be cited in accordance with the current edition of the *Uniform Requirements for Manuscripts Submitted to Biomedical Journals*, International Committee of Medical Journal Editors (ICMJE).

ORGANIZATION OF THE COMMON TECHNICAL DOCUMENT

The CTD is organized into five modules. Module 1 is region specific. Modules 2, 3, 4, and 5 are intended to be common for all regions. Conformance with this guideline should ensure that these four modules are provided in a format acceptable to the regulatory authorities.

Module 1. Administrative Information and Prescribing Information

This module should contain documents specific to each region; for example, application forms or the proposed label for use in the region. The content and format of this module can be specified by the relevant regulatory authorities.

Module 2. Common Technical Document Summaries
Module 2 should begin with a general introduction to the pharmaceutical, including its pharmacological class,

mode of action, and proposed clinical use. In general, the Introduction should not exceed one page.

Module 2 should contain seven sections in the following order:

- CTD Table of Contents
- CTD Introduction
- Quality Overall Summary
- Nonclinical Overview
- Clinical Overview
- Nonclinical Written and Tabulated Summaries
- Clinical Summary

The organization of these summaries is described in Guidelines for M4Q, M4S, and M4E.

Module 3. Quality

Information on Quality should be presented in the structured format described in Guideline M4Q.

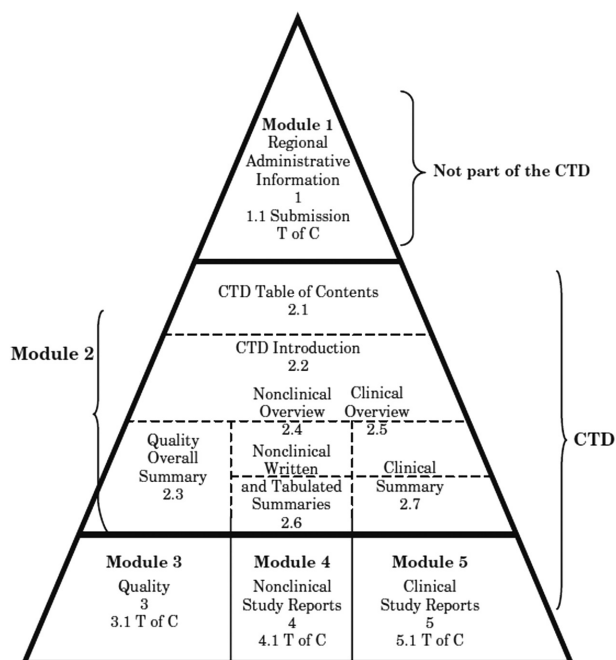
Module 4. Nonclinical Study Reports

The nonclinical study reports should be presented in the order described in Guideline M4S.

Module 5. Clinical Study Reports

The human study reports and related information should be presented in the order described in Guideline M4E.

The overall organization of the CTD is presented on the following pages.



Diagrammatic Representation of the Organization of the ICH CTD Common Technical Document

ORGANIZATION OF THE COMMON TECHNICAL DOCUMENT FOR THE REGISTRATION OF PHARMACEUTICALS FOR HUMAN USE

Module 1: Administrative Information and Prescribing Information

- 1.1 Table of Contents of the Submission Including Module 1

- 1.2 Documents Specific to Each Region (e.g., Application Forms, Prescribing Information)

Module 2: Common Technical Document Summaries

- 2.1 Common Technical Document Table of Contents (Modules 2–5)
- 2.2 CTD Introduction
- 2.3 Quality Overall Summary
- 2.4 Nonclinical Overview
- 2.5 Clinical Overview
- 2.6 Nonclinical Written and Tabulated Summaries
 - Pharmacology
 - Pharmacokinetics
 - Toxicology
- 2.7 Clinical Summary
 - Biopharmaceutical Studies and Associated Analytical Methods
 - Clinical Pharmacology Studies
 - Clinical Efficacy
 - Clinical Safety
 - Literature References
 - Synopses of Individual Studies

Module 3: Quality

- 3.1 Table of Contents of Module 3
- 3.2 Body of Data
- 3.3 Literature References

Module 4: Nonclinical Study Reports

- 4.1 Table of Contents of Module 4
- 4.2 Study Reports
- 4.3 Literature References

Module 5: Clinical Study Reports

- 5.1 Table of Contents of Module 5
- 5.2 Tabular Listing of All Clinical Studies
- 5.3 Clinical Study Reports
- 5.4 Literature References

GRANULARITY OF DOCUMENT

The CTD specifies many section headings and numbers. This section provides answer to the following questions:

Could guidance be provided for all modules on headings in relation to document location and the section headings within those documents?

Could guidance also be provided on where in the CTD and eCTD multiple documents can be located in the hierarchy?

Could guidance be given on how documents should be paginated and on what the Table of Contents for each module should therefore include?

DEFINITION OF A DOCUMENT

A document is defined for a paper submission as a set of pages, numbered sequentially and divided from other documents by a tab (see Document Pagination and Segregation section of this Annex). A document can be equated to a file for an electronic submission. The granularity of the paper and electronic submissions

			3.2.P.5.5		4.2.3.7.3	Studies ^{Note 1}
			3.2.P.5.6		4.2.3.7.4	Studies ^{Note 1}
		3.2.P.6			4.2.3.7.5	Studies ^{Note 1}
		3.2.P.7			4.2.3.7.6	Studies ^{Note 1}
		3.2.P.8	3.2.P.8.1		4.2.3.7.7	Studies ^{Note 1}
			3.2.P.8.2	4.3	One file per	
			3.2.P.8.3		reference ^{Note 2}	
	3.2.A	3.2.A.1				
		3.2.A.2				
		3.2.A.3				
	3.2.R	Note 5				
3.3	One file					
	per reference ^{Note 6}					

Key

Documents rolled up to this level are not considered appropriate
One or multiple documents can be submitted at this level

Note 1: In choosing the level of granularity for this module, the applicant should consider that, when relevant information is changed at any point in the product's lifecycle, replacements of complete documents/files should be provided in the CTD and eCTD.

Note 2: For a drug product containing more than one drug substance, the information requested for part "S" should be provided in its entirety for each drug substance.

Note 3: For a drug product supplied with reconstitution diluent(s), the information on the diluent(s) should be provided in a separate part "P," as appropriate.

Note 4: The lower level of headings included in CTD-Q at this point are unlikely to be individual documents or files.

Note 5: Refer to regional guidances.

Note 6: Literature references should be listed in the tables of contents.

Module 4	4.1	The TOC is only called for in the paper version of the CTD; there is no entry needed for the eCTD				
	4.2	4.2.1	4.2.1.1	Studies ^{Note 1}		
			4.2.1.2	Studies ^{Note 1}		
			4.2.1.3	Studies ^{Note 1}		
			4.2.1.4	Studies ^{Note 1}		
		4.2.2	4.2.2.1	Studies ^{Note 1}		
			4.2.2.2	Studies ^{Note 1}		
			4.2.2.3	Studies ^{Note 1}		
			4.2.2.4	Studies ^{Note 1}		
			4.2.2.5	Studies ^{Note 1}		
			4.2.2.6	Studies ^{Note 1}		
			4.2.2.7	Studies ^{Note 1}		
		4.2.3	4.2.3.1	Studies ^{Note 1}		
			4.2.3.2	Studies ^{Note 1}		
			4.2.3.3.1	Studies ^{Note 1}		
			4.2.3.3.2	Studies ^{Note 1}		
			4.2.3.4	4.2.3.4.1	Studies ^{Note 1}	
				4.2.3.4.2	Studies ^{Note 1}	
				4.2.3.4.3	Studies ^{Note 1}	
			4.2.3.5	4.2.3.5.1	Studies ^{Note 1}	
				4.2.3.5.2	Studies ^{Note 1}	
				4.2.3.5.3	Studies ^{Note 1}	
				4.2.3.5.4	Studies ^{Note 1}	
			4.2.3.6	Studies ^{Note 1}		
			4.2.3.7	4.2.3.7.1	Studies ^{Note 1}	
				4.2.3.7.2	Studies ^{Note 1}	

Key

Documents rolled up to this level are not considered appropriate
One or multiple documents can be submitted at this level

Note 1: Typically, a single document should be provided for each study report included in Module 4. However, where the study report is large (e.g., a carcinogenicity study), the applicant can choose to submit the report as more than one document. In this case, the text portion of the report should be one document and the appendices can be one or more documents. In choosing the level of granularity for these reports, the applicant should consider that, when relevant information is changed at any point in the product's lifecycle, replacements of complete documents/files should be provided.

Note 2: Literature references should be listed in the tables of contents.

Module 5	5.1	The TOC is only called for in the paper version of the CTD; there is no entry needed for the eCTD				
		5.2				
		5.3	5.3.1	5.3.1.1	Studies ^{Note 1}	
				5.3.1.2	Studies ^{Note 1}	
				5.3.1.3	Studies ^{Note 1}	
				5.3.1.4	Studies ^{Note 1}	
			5.3.2	5.3.2.1	Studies ^{Note 1}	
				5.3.2.2	Studies ^{Note 1}	
				5.3.2.3	Studies ^{Note 1}	
			5.3.3	5.3.3.1	Studies ^{Note 1}	
				5.3.3.2	Studies ^{Note 1}	
				5.3.3.3	Studies ^{Note 1}	
				5.3.3.4	Studies ^{Note 1}	
				5.3.3.5	Studies ^{Note 1}	
			5.3.4	5.3.4.1	Studies ^{Note 1}	
				5.3.4.2	Studies ^{Note 1}	
			5.3.5 ^{Note 2}	5.3.5.1	Studies ^{Note 1}	
				5.3.5.2	Studies ^{Note 1}	
				5.3.5.3	Studies ^{Note 1}	
				5.3.5.4	Studies ^{Note 1}	
			5.3.6			
			5.3.7	Studies ^{Note 1}		
	5.4	One file per reference ^{Note 3}				

Key

Documents rolled up to this level are not considered appropriate
One document can be submitted at this level
One or multiple documents can be submitted at this level

Note 1: The applicants should ordinarily provide the study reports as multiple documents (a synopsis, a main body of the study report, and appropriate appendices). Appendices should be organized in accordance with the ICH E3 guideline, which describes the content and format of the clinical study report. In choosing the level of granularity for reports the applicant should consider that, when relevant information is changed at any point in the product's lifecycle, replacements of complete documents/files should be provided.

Note 2: For applications in support of more than one indication, this section should be repeated for each indication.

Note 3: Literature references should be listed in the tables of content.

DOCUMENT PAGINATION AND SEGREGATION

Every document should be numbered starting at page one, except for individual literature references, where the existing journal page numbering is considered sufficient. Applicants need not display the number as “1 of *n*,” where *n* is the total number of pages in the document. Additionally, all pages of a document should include a unique header or footer that briefly identifies its subject matter. In a paper-based drug submission, a similar identifier should be used on a tab that precedes the document, to facilitate finding that document within the dossier. An abbreviation of the full section number and title can be used.

If a section contains more than one document, a specific Table of Contents for that section can be included to identify the chronology and titles of the documents contained therein, for example,

- Tab with “3.2.S.4.2 Analytical Procedures”
 - Table of Contents, listing the title of Procedure A, Procedure B, Procedure C
- Tab with “3.2.S.4.2 “Procedure A””;
 - Procedure A (i.e., document, page 1-n)
- Tab with “3.2.S.4.2 “Procedure B””;
 - Procedure B (i.e., document, page 1-n)
- Tab with “3.2.S.4.2 “Procedure C””;
 - Procedure C (i.e., document, page 1-n)

If a section contains only a single document (e.g., 3.2.S.1.1 Nomenclature), only a tab identified by “3.2.S.1.1 Nomenclature” should precede the document.

SECTION NUMBERING WITHIN DOCUMENTS

In order to avoid fifth-, sixth-level subheading numbering (e.g., 2.6.6.3.2.1) within a document, the applicant can use a shortened numbering string. In this case, the document number and the name (e.g., 2.6.6 Toxicology Written Summary) should appear in page headers or footers and then section numbering within the document can be used, for example, 1, 1.1, 2, 3, 3.1, 3.2, etc. Use of the full numbering string (e.g., 2.6.6.3.2.1) is also considered acceptable.

TABLE OF CONTENTS FORMATTING

Module 2

The 2.1 CTD Table of Contents should go down to the third (e.g., 2.3.S) or fourth (e.g., 2.3.S.1) level, depending on how a document is defined for the Quality Overall Summary. (See Definition of a Document for Module 2.)

Module 3

The Table of Contents provided under Section 3.1 should cover the high-level section numbering, the associated section heading, and the volume number in the order that they appear in the drug submission. This Table of Contents would be used to identify the contents of Module 3 as defined in the M4Q guideline. It should go down to the fifth level only (e.g., 3.2.P.2.1). Note that additional subsections and subheadings are defined in the M4Q guideline beyond this level (e.g., under 3.2.P.2) and this formatting should be used within the dossier, despite not being included in the 3.1 Table of Contents. The lower level Table of Contents described under Document Pagination and Segregation should be excluded from the 3.1 Table of Contents.

At the applicant’s discretion, a Table of Contents can also be included for a particular section that contains multiple documents, in order to identify the chronology and the document subject matter. If there is a desire to introduce additional headers or subsection numbering beyond those which are defined in the M4Q guideline, these should only be included within a document and should be created neither as a separate document nor as a new subsection. In this case, a specific Table of Contents for that document can be included to identify the chronology and titles of the subsections contained therein. These documents and subsections should not appear in the 3.1 Table of Contents.

Furthermore, additional attachments or appendices should not be incorporated into this formatting, except as a document under a section where multiple documents might be provided. In this case, a cross-reference should be made within the relevant section to the attached or appended document. If there is a desire to append or attach additional information to a section that is composed of only one document, this information should be incorporated within that document.

All Table of Contents title entries should either correspond to heading names and section numbering as defined in the M4Q guideline or to identifiers appearing on tabs (for a paper-based drug submission only), preferably by their full title, which should easily identify any abbreviated title that might be used on the corresponding tab. The Table of Contents should not specify any page numbers.

Literature References should be listed in a Table of Contents specific for this section.

Module 4

The Table of Contents for Module 4 should include all of the numerical items listed in the CTD guideline in order to identify all of the important components of the application (e.g., 4.2.3.5.1 Fertility and Early Embryonic Development) and should continue down to at least the level of the study report. Thus, each study report should be identified in the table of contents. The sections of a study report could be identified in the Module 4 Table of Contents of the dossier or only in the Table of Contents of the individual study report.

Illustration of part of the Module 4 Table of Contents

4.2.3.2	Repeat-Dose Toxicity
Study aa-aaa:	30 day repeat dose toxicity study with drug Cinrat
Study bb-bbb:	6 month repeat dose toxicity study with drug Cinrat
Study cc-ccc:	30 day repeat dose toxicity study with drug Cindog
Study dd-ddd:	6 month repeat dose toxicity study with drug Cindog
4.2.3.3	Genotoxicity
4.2.3.3.1	In vitro
Study ee-eee:	Ames test with drug C etc.

Module 5

The Table of Contents for Module 5 should include all of the numerical items listed in the CTD guideline in order to identify all of the important components of the application (e.g., 5.3.5.1.1 Placebo-Controlled Trials) and should continue down to at least the level of the clinical study report. Thus, each clinical study report should be identified in the table of contents. The sections of a clinical study report (E3) could be identified in the Module 5 Table of Contents of the dossier or only in the Table of Contents of the individual clinical study report.

Illustration of part of the Module 5 Table of Contents

5.3.5	Indication Z—Reports of Efficacy and Safety Studies
5.3.5.1	Indication Z—Study Reports of Controlled Clinical Trials Pertinent to the Claimed Indication
5.3.5.1.1	Indication Z—Placebo-Controlled Trials
Study xx-xxx:	A double-blind, placebo-controlled trial of drug A in indication Z
Study yy-yyy:	A double blind . . .
5.3.5.1.2	Indication Z—Active Controlled Trials
Study zz-zzz:	A double blind, active controlled trial of drug A versus drug C in indication Z
5.3.5	Indication Q—Reports of Efficacy and Safety Studies
5.3.5.1	Indication Q—Study Reports of Controlled Clinical Trials Pertinent to the Claimed Indication etc.

2.3 QUALITY OVERALL SUMMARY

The Quality overall summary (QOS) is a summary that follows the scope and the outline of the body of data in Module 3. The QOS should not include information, data, or justification that was not already included in Module 3 or in other parts of the CTD.

The QOS should include sufficient information from each section to provide the quality reviewer with an overview of Module 3. The QOS should also emphasize critical key parameters of the product and provide, for instance, justification in cases where guidelines were not followed. The QOS should include a discussion of key issues that integrates information from sections in the Quality Module and supporting information from other Modules (e.g., qualification of impurities via toxicological studies discussed under the CTD-S module), including cross-referencing to volume and page number in other Modules.

This QOS normally should not exceed 40 pages of text, excluding tables and figures. For biotech products and products manufactured using more complex processes, the document could be longer but normally should not exceed 80 pages of text (excluding tables and figures).

The *italicized* text below indicates where tables, figures, or other items can be imported directly from Module 3.

INTRODUCTION

The introduction should include proprietary name, nonproprietary name, or common name of the drug substance, company name, dosage form(s), strength(s), route of administration, and proposed indication(s).

2.3.S DRUG SUBSTANCE (NAME, MANUFACTURER)**2.3.S.1 GENERAL INFORMATION (NAME, MANUFACTURER)**

Information from 3.2.S.1 should be included.

2.3.S.2 MANUFACTURE (NAME, MANUFACTURER)

Information from 3.2.S.2 should include

- information on the manufacturer;
- a brief description of the manufacturing process (including, e.g., reference to starting materials, critical steps, and reprocessing) and the controls that are intended to result in the routine and consistent production of material(s) of appropriate quality;
- a flow diagram, as provided in Section 3.2.S.2.2;
- a description of the source and starting material and raw materials of biological origin used in the manufacture of the drug substance, as described in Section 3.2.S.2.3;
- a discussion of the selection and justification of critical manufacturing steps, process controls, and acceptance criteria. Highlight critical process intermediates, as described in Section 3.2.S.2.4;
- a description of process validation and/or evaluation, as described in Section 3.2.S.2.5; and
- a brief summary of major manufacturing changes made throughout development and conclusions from the assessment used to evaluate product consistency, as described in Section 3.2.S.2.6. The QOS should also cross-refer to the nonclinical and clinical studies that used batches affected by these manufacturing changes, as provided in the CTD-S and CTD-E modules of the dossier

2.3.S.3 CHARACTERIZATION (NAME, MANUFACTURER)**For NCE:**

A summary of the interpretation of evidence of structure and isomerism, as described in Section 3.2.S.3.1, should be

included. When a drug substance is chiral, it should be specified whether specific stereoisomers or a mixture of stereoisomers have been used in the nonclinical and clinical studies, and information should be given as to the stereoisomer of the drug substance that is to be used in the final product intended for marketing.

For Biotech:

A description of the desired product and product-related substances and a summary of general properties, characteristic features, and characterization data (e.g., primary and higher order structure and biological activity), as described in Section 3.2.S.3.1, should be included.

For NCE and Biotech:

The QOS should summarize the data on potential and actual impurities arising from the synthesis, manufacture, and/or degradation, and should summarize the basis for setting the acceptance criteria for individual and total impurities. The QOS should also summarize the impurity levels in batches of the drug substance used in the nonclinical studies, in the clinical trials, and in typical batches manufactured by the proposed commercial process. The QOS should state how the proposed impurity limits are qualified.

A tabulated summary of the data provided in Section 3.2.S.3.2, with graphical representation, where appropriate, should be included.

**2.3.S.4 CONTROL OF DRUG SUBSTANCE
(NAME, MANUFACTURER)**

A brief summary of the justification of the specification(s), the analytical procedures, and validation should be included.

Specification from Section 3.2.S.4.1 should be provided.

A tabulated summary of the batch analyses from Section 3.2.S.4.4, with graphical representation where appropriate, should be provided.

**2.3.S.5 REFERENCE STANDARDS OR MATERIALS
(NAME, MANUFACTURER)**

Information from Section 3.2.S.5 (tabulated presentation, where appropriate) should be included.

**2.3.S.6 CONTAINER CLOSURE SYSTEM
(NAME, MANUFACTURER)**

A brief description and discussion of the information, from Section 3.2.S.6 should be included.

2.3.S.7 STABILITY (NAME, MANUFACTURER)

This section should include a summary of the studies undertaken (conditions, batches, analytical procedures) and a brief discussion of the results and conclusions, the proposed storage conditions, retest date, or shelf life, where relevant, as described in Section 3.2.S.7.1.

The postapproval stability protocol, as described in Section 3.2.S.7.2, should be included.

A tabulated summary of the stability results from Section 3.2.S.7.3, with graphical representation where appropriate, should be provided.

2.3.P DRUG PRODUCT (NAME, DOSAGE FORM)**2.3.P.1 DESCRIPTION AND COMPOSITION OF THE
DRUG PRODUCT (NAME, DOSAGE FORM)**

Information from Section 3.2.P.1 should be provided. Composition from Section 3.2.P.1 should be provided.

**2.3.P.2 PHARMACEUTICAL DEVELOPMENT
(NAME, DOSAGE FORM)**

A discussion of the information and data from Section 3.2.P.2 should be presented.

A tabulated summary of the composition of the formulations used in clinical trials and a presentation of dissolution profiles should be provided, where relevant.

2.3.P.3 MANUFACTURE (NAME, DOSAGE FORM)

Information from Section 3.2.P.3 should include

information on the manufacturer;

a brief description of the manufacturing process and the controls that are intended to result in the routine and consistent production of product of appropriate quality; a flow diagram, as provided under Section 3.2.P.3.3; and a brief description of the process validation and/or evaluation, as described in Section 3.2.P.3.5.

2.3.P.4 CONTROL OF EXCIPIENTS (NAME, DOSAGE FORM)

A brief summary of the quality of excipients, as described in Section 3.2.P.4, should be included.

**2.3.P.5 CONTROL OF DRUG PRODUCT
(NAME, DOSAGE FORM)**

A brief summary of the justification of the specification(s), a summary of the analytical procedures and validation, and characterization of impurities should be provided.

Specification(s) from Section 3.2.P.5.1 should be provided.

A tabulated summary of the batch analyses provided under Section 3.2.P.5.4, with graphical representation where appropriate should be included.

**2.3.P.6 REFERENCE STANDARDS OR MATERIALS
(NAME, DOSAGE FORM)**

Information from Section 3.2.P.6 (tabulated presentation, where appropriate) should be included.

2.3.P.7 CONTAINER CLOSURE SYSTEM (NAME, DOSAGE FORM)

A brief description and discussion of the information in Section 3.2.P.7 should be included.

2.3.P.8 STABILITY (NAME, DOSAGE FORM)

A summary of the studies undertaken (conditions, batches, analytical procedures) and a brief discussion of the results and conclusions of the stability studies and analysis of data should be included. Conclusions with respect to storage conditions and shelf life and, if applicable, in-use storage conditions and shelf life should be given.

A tabulated summary of the stability results from Section 3.2.P.8.3, with graphical representation where appropriate, should be included.

The postapproval stability protocol, as described in Section 3.2.P.8.2, should be provided.

2.3.A APPENDICES

2.3.A.1 FACILITIES AND EQUIPMENT (NAME, MANUFACTURER)

Biotech:

A summary of facility information described under Section 3.2.A.1 should be included.

2.3.A.2 ADVENTITIOUS AGENTS SAFETY EVALUATION (NAME, DOSAGE FORM, MANUFACTURER)

A discussion on measures implemented to control endogenous and adventitious agents in production should be included.

A tabulated summary of the reduction factors for viral clearance from Section 3.2.A.2, should be provided.

2.3.R REGIONAL INFORMATION

A brief description of the information specific for the region, as provided under Module 3.2.R should be included, where appropriate.

2.4 NONCLINICAL OVERVIEW

The Nonclinical Overview should provide an integrated overall analysis of the information in the Common Technical Document. In general, the Nonclinical Overview should not exceed about 30 pages.

GENERAL ASPECTS

The Nonclinical Overview should present an integrated and critical assessment of the pharmacological, PK, and toxicological evaluation of the pharmaceutical. Where relevant guidelines on the conduct of studies exist, these should be taken into consideration, and any deviation from these

guidelines should be discussed and justified. The nonclinical testing strategy should be discussed and justified. There should be comment on the GLP status of the studies submitted. Any association between nonclinical findings and the quality characteristics of the human pharmaceutical, the results of clinical trials, or effects seen with related products should be indicated, as appropriate.

Except for biotechnology-derived products, an assessment of the impurities and degradants present in the drug substance and product should be included along with what is known of their potential pharmacological and toxicological effects. This assessment should form part of the justification for proposed impurity limits in the drug substance and product, and be appropriately cross-referenced to the quality documentation. The implications of any differences in the chirality, chemical form, and impurity profile between the compound used in the nonclinical studies and the product to be marketed should be discussed. For biotechnology-derived products, comparability of material used in nonclinical studies, clinical studies, and proposed for marketing should be assessed. If a drug product includes a novel excipient, an assessment of the information regarding its safety should be provided.

Relevant scientific literature and the properties of related products should be taken into account. If detailed references to published scientific literature are to be used in place of studies conducted by the applicant, this should be supported by an appropriate justification that reviews the design of the studies and any deviations from available guidelines. In addition, the availability of information on the quality of batches of drug substance used in these referenced studies should be discussed.

The Nonclinical Overview should contain appropriate reference citations to the Tabulated Summaries, in the following format: (Table X.X, Study/Report Number).

CONTENT AND STRUCTURAL FORMAT

The Nonclinical Overview should be presented in the following sequence:

- Overview of the Nonclinical Testing Strategy
- Pharmacology
- Pharmacokinetics
- Toxicology
- Integrated Overview and Conclusions
- List of Literature References

Studies conducted to establish the pharmacodynamic (PD) effects, the mode of action, and potential side effects should be evaluated and consideration should be given to the significance of any issues that arise.

The assessment of the PK, toxicokinetic, and metabolism data should address the relevance of the analytical methods used, the PK models, and the derived parameters. It might be appropriate to cross-refer to more detailed consideration of certain issues within the pharmacology or toxicology

studies (e.g., impact of the disease states, changes in physiology, antiprod antibody, cross-species consideration of toxicokinetic data). Inconsistencies in the data should be discussed. Interspecies comparisons of metabolism and systemic exposure comparisons in animals and humans (AUC, C_{max}, and other appropriate parameters) should be discussed and the limitations and utility of the nonclinical studies for prediction of potential adverse effects in humans highlighted.

The onset, severity, and duration of the toxic effects, their dose-dependency and degree of reversibility (or irreversibility), and species- or gender-related differences should be evaluated and important features discussed, particularly with regard to the following:

- Pharmacodynamics
- Toxic signs
- Causes of death
- Pathologic findings
- Genotoxic activity—the chemical structure of the compound, its mode of action, and its relationship to known genotoxic compounds
- Carcinogenic potential in the context of the chemical structure of the compound, its relationship to known carcinogens, its genotoxic potential, and the exposure data
- The carcinogenic risk to humans—if epidemiologic data are available, they should be taken into account
- Fertility, embryofetal development, pre- and postnatal toxicity
- Studies in juvenile animals
- The consequences of use before and during pregnancy, during lactation, and during pediatric development
- Local tolerance
- Other toxicity studies/studies to clarify special problems

The evaluation of toxicology studies should be arranged in a logical order so that all relevant data elucidating a certain effect/phenomenon are brought together. Extrapolation of the data from animals to humans should be considered in relation to the following:

- Animal species used.
- Numbers of animals used.
- Routes of administration employed.
- Dosages used.
- Duration of treatment or of the study.
- Systemic exposures in the toxicology species at no observed adverse effect levels and at toxic doses, in relation to the exposures in humans at the maximum recommended human dose. Tables or figures summarizing this information are recommended.
- The effect of the drug substance observed in nonclinical studies in relation to that expected or observed in humans.

If alternatives to whole animal experiments are employed, their scientific validity should be discussed.

The Integrated Overview and Conclusions should clearly define the characteristics of the human pharmaceutical as demonstrated by the nonclinical studies and arrive at logical, well-argued conclusions supporting the safety of the product for the intended clinical use. Taking the pharmacology, PKs, and toxicology results into account, the implications of the nonclinical findings for the safe human use of the pharmaceutical should be discussed (i.e., as applicable to labeling).

2.5 CLINICAL OVERVIEW

Preamble

The Clinical Overview is intended to provide a critical analysis of the clinical data in the CTD. The Clinical Overview will necessarily refer to application data provided in the comprehensive Clinical Summary, the individual clinical study reports (ICH E3), and other relevant reports; but it should primarily present the conclusions and implications of those data, and should not recapitulate them. Specifically, the Clinical Summary should provide a detailed factual summarization of the clinical information in the CTD, and the Clinical Overview should provide a succinct discussion and interpretation of these findings together with any other relevant information (e.g., pertinent animal data or product quality issues that may have clinical implications).

The Clinical Overview is primarily intended for use by regulatory agencies in the review of the clinical section of a marketing application. It should also be a useful reference to the overall clinical findings for regulatory agency staff involved in the review of other sections of the marketing application. The Clinical Overview should present the strengths and limitations of the development program and study results, analyze the benefits and risks of the medicinal product in its intended use, and describe how the study results support critical parts of the prescribing information.

In order to achieve these objectives the Clinical Overview should

- Describe and explain the overall approach to the clinical development of a medicinal product, including critical study design decisions
- Assess the quality of the design and performance of the studies, and include a statement regarding GCP compliance
- Provide a brief overview of the clinical findings, including important limitations (e.g., lack of comparisons with an especially relevant active comparator, or absence of information on some patient populations, on pertinent end points, or on use in combination therapy)
- Provide an evaluation of benefits and risks based upon the conclusions of the relevant clinical studies, including interpretation of how the efficacy and safety findings support the proposed dose and

target indication and an evaluation of how prescribing information and other approaches will optimize benefits and manage risks

- Address particular efficacy or safety issues encountered in development, and how they have been evaluated and resolved
- Explore unresolved issues, explain why they should not be considered as barriers to approval, and describe plans to resolve them
- Explain the basis for important or unusual aspects of the prescribing information

The Clinical Overview should generally be a relatively short document (approximately 30 pages). The length, however, will depend on the complexity of the application. The use of graphs and concise tables in the body of the text is encouraged for brevity and to facilitate understanding. It is not intended that material presented fully elsewhere be repeated in the Clinical Overview; cross-referencing to more detailed presentations provided in the Clinical Summary or in Module 5 is encouraged.

Table of Contents

- 2.5.1 Product Development Rationale
- 2.5.2 Overview of Biopharmaceuticals
- 2.5.3 Overview of Clinical Pharmacology
- 2.5.4 Overview of Efficacy
- 2.5.5 Overview of Safety
- 2.5.6 Benefits and Risks Conclusions
- 2.5.7 Literature References

Detailed Discussion of Content of the Clinical Overview Sections

2.5.1 PRODUCT DEVELOPMENT RATIONALE

The discussion of the rationale for the development of the medicinal product should

- Identify the pharmacological class of the medicinal product.
- Describe the particular clinical/pathophysiologic condition that the medicinal product is intended to treat, prevent, or diagnose (the targeted indication).
- Briefly summarize the scientific background that supported the investigation of the medicinal product for the indication(s) that was (were) studied.
- Briefly describe the clinical development program of the medicinal product, including ongoing and planned clinical studies and the basis for the decision to submit the application at this point in the program. Briefly describe plans for the use of foreign clinical data (ICH E5).
- Note and explain concordance or lack of concordance with current standard research approaches regarding the design, conduct, and analysis of the

studies. Pertinent published literature should be referenced. Regulatory guidance and advice (at least from the region(s) where the Clinical Overview is being submitted) should be identified, with discussion of how that advice was implemented. Formal advice documents (e.g., official meeting minutes, official guidance, letters from regulatory authorities) should be referenced, with copies included in the references section of Module 5.

2.5.2 OVERVIEW OF BIOPHARMACEUTICALS

The purpose of this section is to present a critical analysis of any important issues related to bioavailability that might affect efficacy and/or safety of the to-be-marketed formulation(s) (e.g., dosage form/strength proportionality, differences between the to-be-marketed formulation and the formulation(s) used in clinical trials, and influence of food on exposure).

2.5.3 OVERVIEW OF CLINICAL PHARMACOLOGY

The purpose of this section is to present a critical analysis of the PK, PD, and related in vitro data in the CTD. The analysis should consider all relevant data and explain why and how the data support the conclusions drawn. It should emphasize unusual results and known or potential problems, or note the lack thereof. This section should address:

- PKs, for example, comparative PK in healthy subjects, patients, and special populations; PK related to intrinsic factors (e.g., age, sex, race, renal, and hepatic impairment) and to extrinsic factors (e.g., smoking, concomitant drugs, diet); rate and extent of absorption; distribution, including binding with plasma proteins; specific metabolic pathways, including effects of possible genetic polymorphism and the formation of active and inactive metabolites; excretion; time-dependent changes in PKs; stereochemistry issues; clinically relevant PK interactions with other medicinal products or other substances
- Pharmacodynamics, for example, information on mechanism of action, such as receptor binding; onset and/or offset of action; relationship of favorable and unfavorable PD effects to dose or plasma concentration (i.e., PK/PD relationships); PD support for the proposed dose and dosing interval; clinically relevant PD interactions with other medicinal products or substances; and possible genetic differences in response
- Interpretation of the results and implications of immunogenicity studies, clinical microbiology studies, or other drug class specific PD studies summarized in section 2.7.2.4 of the Clinical Summary

2.5.4 OVERVIEW OF EFFICACY

The purpose of this section is to present a critical analysis of the clinical data pertinent to the efficacy of the medicinal

product in the intended population. The analysis should consider all relevant data, whether positive or negative, and should explain why and how the data support the proposed indication and prescribing information. Those studies deemed relevant for evaluation of efficacy should be identified, and reasons that any apparently adequate and well-controlled studies are not considered relevant should be provided. Prematurely terminated studies should be noted and their impact considered.

The following issues should generally be considered:

- Relevant features of the patient populations, including demographic features, disease stage, any other potentially important covariates, any important patient populations excluded from critical studies, and participation of children and elderly (ICH E11 and E7). Differences between the studied population(s) and the population that would be expected to receive the medicinal product after marketing should be discussed.
 - Implications of the study design(s), including selection of patients, duration of studies, and choice of end points and control group(s). Particular attention should be given to end points for which there is limited experience. Use of surrogate end points should be justified. Validation of any scales used should be discussed.
 - For non-inferiority trials used to demonstrate efficacy, the evidence supporting a determination that the trial had assay sensitivity and justifying the choice of non-inferiority margin (ICH E10).
 - Statistical methods and any issues that could affect the interpretation of the study results (e.g., important modifications to the study design, including endpoint assessments and planned analyses, as they were specified in the original protocol; support for any unplanned analyses; procedures for handling missing data; and corrections for multiple end points).
 - Similarities and differences in results among studies, or in different patient sub-groups within studies, and their effect upon the interpretation of the efficacy data.
 - Observed relationships between efficacy, dose, and dosage regimen for each indication, in both the overall population and in the different patient subgroups (ICH E4).
 - Support for the applicability to the new region of data generated in another region, where appropriate (ICH E5).
 - For products intended for long-term use, efficacy findings pertinent to the maintenance of long-term efficacy and the establishment of long-term dosage. Development of tolerance should be considered.
 - Data suggesting that treatment results can be improved through plasma concentration monitoring, if any, and documentation for an optimal plasma concentration range.
- The clinical relevance of the magnitude of the observed effects.
 - If surrogate end points are relied upon, the nature and magnitude of expected clinical benefit and the basis for these expectations.
 - Efficacy in special populations. If efficacy is claimed with inadequate clinical data in the population, support should be provided for extrapolating efficacy from effects in the general population.

2.5.5 OVERVIEW OF SAFETY

The purpose of this section is to provide a concise critical analysis of the safety data, noting how results support and justify proposed prescribing information. A critical analysis of safety should consider:

- Adverse effects characteristic of the pharmacological class. Approaches taken to monitor for similar effects should be described.
- Special approaches to monitoring for particular adverse events (e.g., ophthalmic, QT interval prolongation).
- Relevant animal toxicology and product quality information. Findings that affect or could affect the evaluation of safety in clinical use should be considered.
- The nature of the patient population and the extent of exposure, both for test drug and control treatments. Limitations of the safety database, for example, related to inclusion/exclusion criteria and study subject demographics, should be considered, and the implications of such limitations with respect to predicting the safety of the product in the marketplace should be explicitly discussed.
- Common and nonserious adverse events, with reference to the tabular presentations of events with the test drug and with control agents in the Clinical Summary. The discussion should be brief, focusing on events of relatively high frequency, those with an incidence higher than placebo, and those that are known to occur in active controls or other members of the therapeutic class. Events that are substantially more or less common or problematic (considering the duration and degree of the observed events) with the test drug than with active controls are of particular interest.
- Serious adverse events (relevant tabulations should be cross-referenced from the Clinical Summary). This section should discuss the absolute number and frequency of serious adverse events, including deaths, and other significant adverse events (e.g., events leading to discontinuation or dose modification), and should discuss the results obtained for test drug versus control treatments. Any conclusions regarding a causal relationship (or lack of this) to the product should be provided. Laboratory findings

reflecting actual or possible serious medical effects should be considered.

- Similarities and differences in results among studies, and their effect upon the interpretation of the safety data.
- Any differences in rates of adverse events in population subgroups, such as those defined by demographic factors, weight, concomitant illness, concomitant therapy, or polymorphic metabolism.
- Relation of adverse events to dose, dose regimen, and treatment duration.
- Long-term safety (E1a).
- Methods to prevent, mitigate, or manage adverse events.
- Reactions due to overdose, the potential for dependence, rebound phenomena and abuse, or lack of data on these issues.
- Worldwide marketing experience. The following should be briefly discussed:
 - the extent of the worldwide experience
 - any new or different safety issues identified
 - any regulatory actions related to safety
- Support for the applicability to the new region of data generated in another region, where appropriate (ICH E5).

2.5.6 BENEFITS AND RISKS CONCLUSIONS

The purpose of this section is to integrate all of the conclusions reached in the previous sections about the biopharmaceuticals, clinical pharmacology, efficacy, and safety of the medicinal product and to provide an overall appraisal of the benefits and risks of its use in clinical practice. Also, implications of any deviations from regulatory advice or guidelines and any important limitations of the available data should be discussed here. This assessment should address critical aspects of the proposed Prescribing Information. This section should also consider the risks and benefits of the medicinal product as they compare to available alternative treatments or to no treatment in illnesses, where no treatment may be a medically acceptable option; and should clarify the expected place of the medicinal product in the armamentarium of treatments for the proposed indication. If there are risks to individuals other than those who will receive the drug, these risks should be discussed (e.g., risks of emergence of drug-resistant bacterial strains with widespread use of an antibiotic for minor illnesses). The analyses provided in previous sections should not be reiterated here. This section often can be quite abbreviated when no special concerns have arisen and the drug is a member of a familiar pharmacological class.

This analysis of benefits and risks is generally expected to be very brief but it should identify the most important conclusions and issues concerning each of the following points:

- The efficacy of the medicinal product for each proposed indication
- Significant safety findings and any measures that may enhance safety
- Dose-response and dose-toxicity relationships, optimal dose ranges, and dosage regimens
- Efficacy and safety in subpopulations, for example, those defined by age, sex, ethnicity, organ function, disease severity, and genetic polymorphisms
- Data in children in different age groups, if applicable, and any plans for a development program in children
- Any risks to the patient of known and potential interactions, including food–drug interactions and drug–drug interactions, and recommendations for product use
- Any potential effect of the medicinal product that might affect ability to drive or operate heavy machinery

Examples of issues and concerns that could warrant a more detailed discussion of benefits and risks might include the following:

- The drug is for treatment of a nonfatal disease but has known or potential serious toxicity, such as a strong signal of carcinogenicity, teratogenicity, proarrhythmic potential (effect on QT interval), or suggestion of hepatotoxicity.
- The proposed use is based on a surrogate end point and there is a well-documented important toxicity.
- Safe and/or effective use of the drug requires potentially difficult selection or management approaches that require special physician expertise or patient training.

2.5.7 LITERATURE REFERENCES

A list of references used, stated in accordance with the current edition of the *Uniform Requirements for Manuscripts Submitted to Biomedical Journals*, International Committee of Medical Journal Editors (ICMJE), or the system used in “Chemical Abstracts” should be provided. Copies of all references cited in the Clinical Overview should be provided in Section 5.4 of Module 5.

2.6 NONCLINICAL WRITTEN AND TABULATED SUMMARIES

NONCLINICAL WRITTEN SUMMARIES

Introduction

This guideline is intended to assist authors in the preparation of nonclinical pharmacology, PKs, and toxicology written summaries in an acceptable format. This guideline is not intended to indicate what studies are required. It merely indicates an appropriate format for the nonclinical data that have been acquired.

The sequence and content of the Nonclinical Written Summary sections are described below. It should be emphasized that no guideline can cover all eventualities, and common sense and a clear focus on the needs of the regulatory authority assessor are the best guides to constructing an acceptable document. Therefore, applicants can modify the format if needed to provide the best possible presentation of the information, in order to facilitate the understanding and evaluation of the results.

Whenever appropriate, age- and gender-related effects should be discussed. Relevant findings with stereoisomers and/or metabolites should be included, as appropriate. Consistent use of units throughout the summaries will facilitate their review. A table for converting units might also be useful.

In the Discussion and Conclusion sections, information should be integrated across studies and across species, and exposure in the test animals should be related to exposure in humans given the maximum intended doses.

General Presentation Issues

Order of presentation of information within sections

When available, *in vitro* studies should precede *in vivo* studies.

Where multiple studies of the same type need to be summarized within the PKs and toxicology sections, studies should be ordered by species, by route, and then by duration (shortest duration first).

Species should be ordered as follows:

- Mouse
- Rat
- Hamster
- Other rodent
- Rabbit
- Dog
- Nonhuman primate
- Other nonrodent mammal
- Nonmammals

Routes of administration should be ordered as follows:

- The intended route for human use
- Oral
- Intravenous
- Intramuscular
- Intraperitoneal
- Subcutaneous
- Inhalation
- Topical
- Others

Use of Tables and Figures

Although the Nonclinical Written Summaries are envisaged to be composed mainly of text, some information contained within them might be more effectively and/or concisely communicated through the use of appropriate tables or figures. Examples of formats that might be included in the Written Summaries are shown in Appendix A.

To allow authors flexibility in defining the optimal structure for the Written Summaries, tables and figures should preferably be included within the text. Alternatively, they could be grouped together at the end of each of the Nonclinical Written Summaries.

Throughout the text, reference citations to the Tabulated Summaries should be included, in the following format: (Table X.X, Study/Report Number).

Length of Nonclinical Written Summaries

Although there is no formal limit to the length of the Nonclinical Written Summaries, it is recommended that the total length of the three Nonclinical Written Summaries in general not exceed 100 to 150 pages.

Sequence of Written Summaries and Tabulated Summaries

The following order is recommended:

- Introduction
- Written Summary of Pharmacology
- Tabulated Summary of Pharmacology
- Written Summary of Pharmacokinetics
- Tabulated Summary of Pharmacokinetics
- Written Summary of Toxicology
- Tabulated Summary of Toxicology

CONTENT OF NONCLINICAL WRITTEN AND TABULATED SUMMARIES

2.6.1 INTRODUCTION

The aim of this section should be to introduce the reviewer to the pharmaceutical and to its proposed clinical use. The following key elements should be covered:

- Brief information concerning the pharmaceutical's structure (preferably, a structure diagram should be provided) and pharmacological properties
- Information concerning the pharmaceutical's proposed clinical indication, dose, and duration of use

2.6.2 PHARMACOLOGY WRITTEN SUMMARY

Within the Pharmacology Written Summary, the data should be presented in the following sequence:

- Brief Summary
- Primary Pharmacodynamics
- Secondary Pharmacodynamics
- Safety Pharmacology
- Pharmacodynamic Drug Interactions
- Discussion and Conclusions
- Tables and Figures (either here or included in text)

2.6.2.1 Brief Summary

The principal findings from the pharmacology studies should be briefly summarized in approximately two to three pages.

This section should begin with a brief description of the content of the pharmacological data package, pointing out any notable aspects such as the inclusion/exclusion of particular data (e.g., lack of an animal model).

2.6.2.2 Primary Pharmacodynamics

Studies on primary pharmacodynamics should be summarized and evaluated. Where possible, it would be helpful to relate the pharmacology of the drug to available data (in terms of selectivity, safety, potency, etc.) on other drugs in the class.

2.6.2.3 Secondary Pharmacodynamics

Studies on secondary pharmacodynamics should be summarized by organ system, where appropriate, and evaluated in this section.

2.6.2.4 Safety Pharmacology

Safety pharmacology studies should be summarized and evaluated in this section. In some cases, secondary PD studies can contribute to the safety evaluation when they predict or assess potential adverse effect(s) in humans. In such cases, these secondary PD studies should be considered along with safety pharmacology studies.

2.6.2.5 Pharmacodynamic Drug Interactions

If they have been performed, PD drug interaction studies should be briefly summarized in this section.

2.6.2.6 Discussion and Conclusions

This section provides an opportunity to discuss the pharmacological evaluation and to consider the significance of any issues that arise.

2.6.2.7 Tables and Figures

Text tables and figures can be included at appropriate points throughout the summary within the text. Alternatively, tables and figures can be included at the end of the summary.

2.6.3 PHARMACOLOGY TABULATED SUMMARY

(See Appendix B)

2.6.4 PHARMACOKINETICS WRITTEN SUMMARY

The sequence of the Pharmacokinetics Written Summary should be as follows:

- Brief Summary
- Methods of Analysis
- Absorption
- Distribution
- Metabolism
- Excretion
- Pharmacokinetic Drug Interactions
- Other Pharmacokinetic Studies
- Discussion and Conclusions
- Tables and Figures (either here or included in text)

2.6.4.1 Brief Summary

The principal findings from the PKs studies should be briefly summarized in approximately two to three pages. This section should begin with a description of the scope of the PK evaluation, emphasizing, for example, whether the species and strains examined were those used in the pharmacology and toxicology evaluations, and whether the formulations used were similar or identical.

2.6.4.2 Methods of Analysis

This section should contain a brief summary of the methods of analysis for biological samples, including the detection and quantification limits of an analytical procedure. If possible, validation data for the analytical method and stability of biological samples should be discussed in this section. The potential impact of different methods of analysis on the interpretation of the results should be discussed in the following relevant sections.

2.6.4.3 Absorption

The following data should be summarized in this section:

- Absorption (extent and rate of absorption, in vivo and in situ studies)
- Kinetic parameters, bioequivalence, and/or bioavailability (serum/plasma/blood PK studies)

2.6.4.4 Distribution

The following data should be summarized in this section:

- Tissue distribution studies
- Protein binding and distribution in blood cells
- Placental transfer studies

2.6.4.5 Metabolism (Interspecies Comparison)

The following data should be summarized in this section:

- Chemical structures and quantities of metabolites in biological samples
- Possible metabolic pathways
- Presystemic metabolism (GI/hepatic first-pass effects)
- In vitro metabolism including P450 studies
- Enzyme induction and inhibition

2.6.4.6 Excretion

The following data should be summarized in this section:

- Routes and extent of excretion
- Excretion in milk

2.6.4.7 Pharmacokinetic Drug Interactions

If they have been performed, nonclinical pharmacokinetic drug-interaction studies (in vitro and/or in vivo) should be briefly summarized in this section.

2.6.4.8 Other Pharmacokinetic Studies

If studies have been performed in nonclinical models of disease (e.g., renally impaired animals), they should be summarized in this section.

2.6.4.9 Discussion and Conclusions

This section provides an opportunity to discuss the PK evaluation and to consider the significance of any issues that arise.

2.6.4.10 Tables and Figures

Text tables and figures can be included at appropriate points throughout the summary within the text. Alternatively, there is the option of including tables and figures at the end of the summary.

2.6.5 PHARMACOKINETICS TABULATED SUMMARY

(See Appendix B)

2.6.6 TOXICOLOGY WRITTEN SUMMARY

The sequence of the Toxicology Written Summary should be as follows:

- Brief Summary
- Single-Dose Toxicity
- Repeat-Dose Toxicity
- Genotoxicity
- Carcinogenicity
- Reproductive and Developmental Toxicity
- Studies in Juvenile Animals
- Local Tolerance
- Other Toxicity Studies
- Discussion and Conclusions
- Tables and Figures (either here or included in text)

2.6.6.1 Brief Summary

The principal findings from the toxicology studies should be briefly summarized in a few pages (generally not more than six). In this section, the extent of the toxicological evaluation can be indicated by the use of a table listing the principal toxicological studies (results should not be presented in this table), for example:

TOXICOLOGY PROGRAM

Study Type and Duration	Route of Administration	Species	Compound Administered ^a
Single-dose toxicity	po and IV	Rat and mouse	Parent drug
Single-dose toxicity	po and IV	Rat and mouse	Metabolite X
Repeat-dose toxicity			
1 mo	po	Rat and dog	Parent drug
6 mo	po	Rat	Parent drug
9 mo	po	Dog	Parent drug

^a This column required only if metabolite(s) are investigated.

The scope of the toxicological evaluation should be described in relation to the proposed clinical use. A comment on the GLP status of the studies should be included.

2.6.6.2 Single-Dose Toxicity

The single-dose data should be very briefly summarized, in order by species, by route. In some instances, it may be helpful to provide the data in the form of a table.

2.6.6.3 Repeat-Dose Toxicity (Including Supportive Toxicokinetics Evaluation)

Studies should be summarized in order by species, by route, and by duration, giving brief details of the methodology and highlighting important findings (e.g., nature and severity of target organ toxicity, dose (exposure)/response relationships, no observed adverse effect levels, etc.). Nonpivotal studies can be summarized in less detail (pivotal studies are the definitive GLP studies specified by ICH Guideline M3).

2.6.6.4 Genotoxicity

Studies should be briefly summarized in the following order:

- In vitro nonmammalian cell system
- In vitro mammalian cell system
- In vivo mammalian system (including supportive toxicokinetics evaluation)
- Other systems

2.6.6.5 Carcinogenicity (Including Supportive Toxicokinetics Evaluations)

A brief rationale should explain why the studies were chosen and the basis for high-dose selection. Individual studies should be summarized in the following order:

- Long-term studies (in order by species; including range-finding studies that cannot appropriately be included under repeat-dose toxicity or pharmacokinetics)
- Short- or medium-term studies (including range-finding studies that cannot appropriately be included under repeat-dose toxicity or pharmacokinetics)
- Other studies

2.6.6.6 Reproductive and Developmental Toxicity (Including Range-Finding Studies and Supportive Toxicokinetics Evaluations)

Studies should be summarized in the following order, giving brief details of the methodology and highlighting important findings:

- Fertility and early embryonic development
- Embryo-fetal development
- Prenatal and postnatal development, including maternal function
- Studies in which the offspring (juvenile animals) are dosed and/or further evaluated, if such studies have been conducted

If modified study designs are used, the subheadings should be modified accordingly.

2.6.6.7 Local Tolerance

If local tolerance studies have been performed, they should be summarized in order by species, by route, and by duration, giving brief details of the methodology and highlighting important findings.

2.6.6.8 Other Toxicity Studies (If Available)

If other studies have been performed, they should be summarized. When appropriate, the rationale for conducting the studies should be provided.

- Antigenicity
- Immunotoxicity
- Mechanistic studies (if not reported elsewhere)
- Dependence
- Studies on metabolites
- Studies on impurities
- Other studies

2.6.6.9 Discussion and Conclusions

This section should provide an opportunity to discuss the toxicological evaluation and the significance of any issues that arise. Tables or figures summarizing this information are recommended.

2.6.6.10 Tables and Figures

Text tables and figures can be included at appropriate points throughout the summary within the text. Alternatively, tables and figures can be included at the end of the summary.

2.6.7 TOXICOLOGY TABULATED SUMMARY (SEE APPENDIX B)

Nonclinical Tabulated Summaries

It is recommended that summary tables for the nonclinical information in the CTD be provided in the format outlined in this guideline. Applicants can modify the format if needed to provide the best possible presentation of the information and to facilitate the understanding and evaluation of the results.

This guideline is not intended to indicate what studies are requested, but solely to advise how to tabulate study results if a study is performed. Applicants might need to add some items to or delete some items from the cited format where appropriate. One tabular format can contain results from several studies. Alternatively, it may be appropriate to cite the data resulting from one study in several tabular formats.

The recommended formats for the tables in the Nonclinical Tabulated Summaries are provided in Appendices B and C, which follow. Appendix B contains templates for use in preparation of the tables. The templates are annotated (in italics) to provide guidance on their preparation. (The italicized information should be deleted when the tables are prepared.) Appendix C provides examples of the summary tables. The

purpose of the examples is to provide additional guidance on the suggested content and format of the Tabulated Summaries. However, it is the responsibility of the applicant to decide on the best possible presentation of the data for each product. Authors should keep in mind that, in some regions, a review of the Tabulated Summaries (in conjunction with the Written Summaries) represents the primary review of the nonclinical information. Presentation of the data in the formats provided as templates and examples should ensure that a sufficient level of detail is available to the reviewer and should provide concise overviews of related information.

When a juvenile animal study has been conducted, it should be tabulated using the template appropriate for the type of study.

The order of presentation given for the Nonclinical Written Summaries should be followed for the preparation of the tables for the Nonclinical Tabulated Summaries.

2.6.3 Pharmacology

2.6.3.1 Pharmacology: Overview

2.6.3.2 Primary Pharmacodynamics^a

2.6.3.3 Secondary Pharmacodynamics^a

2.6.3.4 Safety Pharmacology

2.6.3.5 Pharmacodynamic Drug Interactions^a

2.6.5 Pharmacokinetics

2.6.5.1 Pharmacokinetics: Overview

2.6.5.2 Analytical Methods and Validation Reports^a

2.6.5.3 Pharmacokinetics: Absorption After a Single Dose

2.6.5.4 Pharmacokinetics: Absorption After Repeated Doses

2.6.5.5 Pharmacokinetics: Organ Distribution

2.6.5.6 Pharmacokinetics: Plasma Protein Binding

2.6.5.7 Pharmacokinetics: Study in Pregnant or Nursing Animals

2.6.5.8 Pharmacokinetics: Other Distribution Study

2.6.5.9 Pharmacokinetics: Metabolism In Vivo

2.6.5.10 Pharmacokinetics: Metabolism In Vitro

2.6.5.11 Pharmacokinetics: Possible Metabolic Pathways

2.6.5.12 Pharmacokinetics: Induction/Inhibition of Drug-Metabolizing Enzymes

2.6.5.13 Pharmacokinetics: Excretion

2.6.5.14 Pharmacokinetics: Excretion into Bile

2.6.5.15 Pharmacokinetics: Drug-Drug Interactions

2.6.5.16 Pharmacokinetics: Other

2.6.7 Toxicology

2.6.7.1 Toxicology: Overview

2.6.7.2 Toxicokinetics: Overview of Toxicokinetics Studies

2.6.7.3 Toxicokinetics: Overview of Toxicokinetics Data

2.6.7.4 Toxicology: Drug Substance

2.6.7.5 Single-Dose Toxicity

- 2.6.7.6 Repeat-Dose Toxicity: Nonpivotal Studies
- 2.6.7.7 Repeat-Dose Toxicity: Pivotal Studies
- 2.6.7.8 Genotoxicity: In Vitro
- 2.6.7.9 Genotoxicity: In Vivo
- 2.6.7.10 Carcinogenicity
- 2.6.7.11 Reproductive and Developmental Toxicity: Nonpivotal Studies
- 2.6.7.12 Reproductive and Developmental Toxicity—Fertility and Early Embryonic Development to Implantation (Pivotal)
- 2.6.7.13 Reproductive and Developmental Toxicity—Effects on Embryo-Fetal Development (Pivotal)
- 2.6.7.14 Reproductive and Developmental Toxicity—Effects on Pre- and Postnatal Development, Including Maternal Function (Pivotal)
- 2.6.7.15 Studies in Juvenile Animals^b
- 2.6.7.16 Local Tolerance
- 2.6.7.17 Other Toxicity Studies

^a: Tabulated Summary is optional. It is preferable to include text tables and figures with the Nonclinical Written Summary.

^b: When a juvenile animal study has been conducted, it should be tabulated using the template appropriate for the type of study and located in Section 2.6.7.15.

2.7 CLINICAL SUMMARY

Preamble

The Clinical Summary is intended to provide a detailed, factual summarization of all of the clinical information in the CTD. This includes information provided in ICH E3 clinical study reports; information obtained from any meta-analyses, or other cross-study analyses for which full reports have been included in Module 5; and postmarketing data for products that have been marketed in other regions. The comparisons and analyses of results across studies provided in this document should focus on factual observations. In contrast, the CTD Clinical Overview document should provide critical analysis of the clinical study program and its results, including discussion and interpretation of the clinical findings and discussion of the place of the test drug in the armamentarium.

The length of the Clinical Summary will vary substantially according to the information to be conveyed, but it is anticipated that (excluding attached tables) the Clinical Summary will usually be in the range of 50 to 400 pages.

2.7.1 SUMMARY OF BIOPHARMACEUTICAL STUDIES AND ASSOCIATED ANALYTICAL METHODS

2.7.1.1 Background and Overview

This section should provide the reviewer with an overall view of the formulation development process, the in vitro and in vivo dosage form performance, and the general approach and rationale used in developing the BA, comparative BA, BE,

and in vitro dissolution profile database. Reference should be made to any guidelines or literature used in planning and conducting the studies. This section should also provide the reviewer with an overview of the analytical methods used, with emphasis on the performance characteristics of assay validation (e.g., linearity range, sensitivity, specificity) and quality control (e.g., accuracy and precision). This section should not include detailed information about individual studies.

2.7.1.2 Summary of Results of Individual Studies

A tabular listing of all biopharmaceutical studies should generally be provided (see Section 2.7.1.4 Appendix), together with narrative descriptions of relevant features and outcomes of each of the individual studies that provided important in vitro or in vivo data and information relevant to BA and BE. The narrative descriptions should be brief, for example, similar to an abstract for a journal article, and should describe critical design features and critical results. Similar studies may be described together, noting the individual study results and any important differences among the studies. These narratives may be abstracted from the ICH E3 synopsis. References or electronic links to the full report of each study should be included in the narratives.

2.7.1.3 Comparison and Analyses of Results Across Studies

This section should provide a factual summary of all in vitro dissolution, BA, and comparative BA studies carried out with the drug substance or drug product, with particular attention to differences in results across studies. This overview should typically summarize the findings in text and tables (see 2.7.1.4 Appendix) and should consider the following:

- Evidence of the effects of formulation and manufacturing changes on in vitro dissolution and BA and conclusions regarding BE. When manufacturing or formulation changes are made for products containing complex drug substances (e.g., a protein), PK studies comparing the product before and after the changes may be performed to ensure that the PK characteristics have not changed as a result of product changes. Although such studies are sometimes referred to as BE studies, they generally do not focus on assessing release of drug substance from drug product. Nonetheless, such studies should be reported in this section. Note also that PK studies alone may not be sufficient to assure similarity between such drug products. In many situations, PD studies or clinical trials may be necessary. Additionally, depending on the circumstances, anti-genicity data may also be needed. Results of these other types of studies, when they are needed, should be reported in the appropriate places in the dossier
- Evidence of the extent of food effects on BA and conclusions regarding BE with respect to meal type or timing of the meal (where appropriate)

- Evidence of correlations between in vitro dissolution and BA, including the effects of pH on dissolution, and conclusions regarding dissolution specifications
- Comparative BA, including BE conclusions, for different dosage form strengths
- Comparative BA of the clinical study formulations (for clinical studies providing substantial evidence of efficacy) and the formulations to be marketed
- The source and magnitude of observed inter- and intraindividual variability for each formulation in a comparative BA study

2.7.1.4 Appendix

Tables and figures should be embedded in the text of the appropriate sections when they enhance the readability of the document. Lengthy tables can be provided in the appendix at the end of the section.

Tables 2.7.1.1 and 2.7.1.2 are provided as examples of tabular formats for reporting information and results related to bioavailability and in vitro dissolution studies respectively. These examples give results as well as identifying the type and design of the study. Tables prepared for reporting the results of BE studies could also include the mean ratios (test/reference) for C_{max} and AUC and their 90% confidence interval, or the currently recommended metrics for BE assessments.

These tables are not intended to be templates, but only to illustrate the type of information that should be considered by an applicant in designing the tables for biopharmaceutical studies. Applicants should also decide whether information and results from these studies are best presented in tables, text, or figures in order to aid clarity. If, for example, results are best presented in text and figures, tables might be used simply to list the studies.

2.7.2 SUMMARY OF CLINICAL PHARMACOLOGY STUDIES

2.7.2.1 Background and Overview

This section should provide the reviewer with an overall view of the clinical pharmacology studies. These studies include clinical studies performed to evaluate human PK, and PD, and in vitro studies performed with human cells, tissues, or related materials (hereinafter referred to as human biomaterials) that are pertinent to PK processes. For vaccine products, this section should provide the reviewer with immune response data that support the selection of dose, dosage schedule, and formulation of the final product. Where appropriate, relevant data that are summarized in Sections 2.7.1, 2.7.3, and 2.7.4 can also be referenced to provide a comprehensive view of the approach and rationale for the development of the PK, PK/PD, and human biomaterial database. This section should not include detailed information about individual studies.

This section should begin with a brief overview of the human biomaterial studies that were conducted and that were intended to help in the interpretation of PK or PD data. Studies of permeability (e.g., intestinal absorption, blood-brain barrier passage), protein binding, hepatic metabolism, and metabolic-based drug–drug interactions are particularly relevant.

This should be followed by a brief overview of the clinical studies that were carried out to characterize PK and PD of the medicinal product, including studies of PK/PD relationships in healthy subjects and patients, and relevant effects of intrinsic and extrinsic factors on PK and PK/PD relationships (In the ICH E5 guideline on Ethnic Factors in the Acceptance of Foreign Data, factors that may result in different responses to a drug in different populations are categorized as intrinsic ethnic factors or extrinsic ethnic factors. In this document, these categories are referred to as intrinsic factors and extrinsic factors, respectively). Critical aspects of study design and data analysis should be noted, for example, the choice of the single or multiple doses used, the study population, choice of the intrinsic or extrinsic factors that were studied, the choice of PD end points, and whether a traditional approach or a population approach was used to collect and analyze data to assess PK or PD.

2.7.2.2 Summary of Results of Individual Studies

A tabular listing of all clinical pharmacology studies should generally be provided (see Section 2.7.2.5 Appendix), together with a narrative description of the relevant features and outcomes of each of the critical individual studies that provided in vitro or in vivo data and information relevant to PK, PD, and PK/PD relationships. The narrative descriptions should be brief, for example, similar to an abstract for a journal article, and should describe critical design features and critical results. Similar studies may be described together, noting the individual study results and any important differences among the studies. References or electronic links to the full report of each study should be included in the narratives.

Summaries of dose-response or concentration-response (PK/PD) studies with PD end points should generally be included in this section. In some cases, however, when well-controlled dose-response PD or PK/PD studies provide important evidence of efficacy or safety, they should be placed in Sections 2.7.3 or 2.7.4 as appropriate and referenced, but not summarized, here.

2.7.2.3 Comparison and Analyses of Results Across Studies

This section should use the results of all in vitro human biomaterial studies and PK, PD, and PK/PD studies to characterize the PK, PD, and PK/PD relationships of the drug. Results related to the inter- and intraindividual variability in these data and the intrinsic and extrinsic factors affecting these PK relationships should be discussed.

This section (typically with the use of text and tables) should provide a factual presentation of all data across studies pertinent to the following:

- In vitro drug metabolism and in vitro drug–drug interaction studies and their clinical implications
- Human PK studies, including the best estimates of standard parameters and sources of variability. The focus should be on evidence supporting dose and dose individualization in the target patient

population and in special populations, for example, pediatric or geriatric patients, or patients with renal or hepatic impairment

- Comparison between single and repeated-dose PK
- Population PK analyses, such as results based on sparse sampling across studies that address interindividual variations in the PK or PD of the active drug substances that may be due to extrinsic or intrinsic factors
- Dose-response or concentration-response relationships. This discussion should highlight evidence to support the selection of dosages and dose intervals studied in the important clinical trials. In addition, information that supports the dosage instructions in the proposed labeling should be discussed in Section 2.7.3.4
- Major inconsistencies in the human biomaterial, PK, or PD database
- PK studies that were performed to determine whether foreign clinical data could be extrapolated to the new region (see ICH E5). The result of the studies and analysis of the similarity of the PK data between regions or races should be summarized in this section. Such studies that use PD biomarkers (but do not evaluate clinical efficacy) may similarly be summarized here. An independent subsection can be created to summarize these kinds of data

2.7.2.4 Special Studies

This section should include studies that provide special types of data relevant to specific types of medicinal products. For immunogenicity studies and other studies in which data may correlate with PK, PD, safety, and/or efficacy data, explanations of such correlations should be summarized here. Any observed or potential effects on PK, PD, safety, and/or efficacy should be considered in other appropriate sections of the Clinical Summary as well, with cross-referencing to this section. Human studies that address a specific safety issue should not be reported here, but instead should be reported in the Summary of Clinical Safety (Section 2.7.4).

Example 1: Immunogenicity

For protein products and other products to which specific immunological reactions have been measured, data regarding immunogenicity should be summarized in this section. For vaccines or other products intended to induce specific immune reactions, immunogenicity data should be described in the efficacy Section 2.7.3. Assays used should be briefly described and information about their performance (e.g., sensitivity, specificity, reliability, validity) should be summarized; the location in the application of detailed information should be cross-referenced.

Data regarding the incidence, titre, timing of onset, and duration of antibody responses should be summarized for each type of antibody assay used (e.g., IgG by ELISA, neutralization). Relationships of antibody formation to underlying disease, concomitant medication, dose, duration, regimen, and formulation should be explored and

summarized. For drugs intended to be given as chronic, continuous therapy, any data on the impact of interruptions of therapy on antigenicity should be analyzed and summarized.

It is particularly important to summarize analyses of potential clinically relevant correlates of immunogenicity, for example, to determine the extent to which the presence of antibodies of a particular type or titer appears to correlate with alterations of PK, changes in PD, loss of efficacy, loss of adverse event profile, or development of adverse events. Particular attention should be paid to events that might be immunologically mediated (e.g., serum sickness) and events that might result from binding of cross-reactive endogenous substances by antibodies to the administered drug.

Example 2: Clinical Microbiology

For antimicrobial or antiviral medicinal products, *in vitro* studies to characterize the spectrum of activity are an important part of the program of studies relevant to clinical efficacy. Clinical efficacy studies that include characterization of the susceptibility of the clinical isolates as a part of the efficacy determination should be included in Section 2.7.3, Summary of Clinical Efficacy. However, studies that evaluate such findings as the pattern of *in vitro* susceptibility of strains of bacteria from different parts of the world (not in the context of clinical efficacy study) would be included here.

2.7.2.5 Appendix

Tables and figures should be embedded in the text of the appropriate sections when that enhances the readability of the document. Lengthy tables can be provided in the appendix at the end of the section.

Table 2.7.2.1 is provided as an example of a tabular format for reporting information and results related to PK drug–drug interaction studies. Similar tables could be prepared for PK/PD studies, dose-response studies, studies of effects on human biomaterials, and population PK studies. This table is not intended to be a template, but only to illustrate the type of information that should be considered by sponsors in designing their own tables. Applicants should also decide whether information and results from clinical pharmacology studies are best presented in tables, text, or figures in order to aid clarity. If, for example, results are best presented in text and figures, the tables might simply list the studies.

In designing tables, if any, for various types of other clinical pharmacology studies such as those listed below, applicants should consider including the following types of information. These examples are for illustrative purposes only and the sponsor should decide which information needs to be presented.

- Metabolism studies using human biomaterials: biomaterials used (e.g., microsomes, hepatocytes), probe drugs, enzymatic pathways and % contribution, and relevant kinetic parameters (e.g., V_{max} , K_m)
- *In vitro* studies of drug–drug interactions using human biomaterials: for studies of other drugs inhibiting the new drug, the metabolite(s) inhibited,

enzymatic pathways affected, range of inhibitor concentrations used, IC_{50} and K_i values and proposed mechanism of inhibition should be included. For studies of the new drug inhibiting other drugs, the drugs and metabolites inhibited should be included, along with the information mentioned above

- Population PK studies: covariates studied, number and type of subjects or patients studied, summary statistical parameters and final estimates of mean (\pm standard deviation) PK parameters

2.7.3 SUMMARY OF CLINICAL EFFICACY

A separate Section 2.7.3 should be provided for each indication, although closely related indications can be considered together. When more than one Section 2.7.3 is submitted, the sections should be labeled 2.7.3 Pneumonia, 2.7.3 URI, etc.

2.7.3.1 Background and Overview of Clinical Efficacy

This section should describe the program of controlled studies and other pertinent studies in the application that evaluated efficacy specific to the indication(s) sought. Any results of these studies that are pertinent to evaluation of safety should be discussed in Section 2.7.4 Summary of Clinical Safety.

The section should begin with a brief overview of the design of the controlled studies that were conducted to evaluate efficacy. These studies include dose-response, comparative efficacy, long-term efficacy, and efficacy studies in population subsets. Critical features of study design should be discussed, for example, randomization, blinding, choices of control treatment, choice of patient population, unusual design features such as crossover or randomized withdrawal designs, use of run-in periods, other methods of “enrichment,” study end points, study duration, and prespecified plans for analysis of the study results. Although this section is intended to focus on clinical investigations, nonclinical data, and clinical pharmacology data may also be referenced as appropriate to provide a comprehensive summary of human experience related to efficacy. This section should not include detailed information about individual studies.

2.7.3.2 Summary of Results of Individual Studies

A tabular listing of all studies that provided (or were designed to provide) information relevant to product efficacy should generally be provided (see Section 2.7.3.6 Appendix), together with narrative descriptions for important studies. The narrative descriptions should be brief, for example, similar to an abstract for a journal article, and should describe critical design features and critical results. Similar studies may be described together, noting the individual study results and any important differences among the studies. For studies that also contributed significantly to the safety analysis, study narratives should include information about the extent of exposure of study subjects to the test drug or control agent, and how safety data were collected. These narratives can be abstracted from the synopses of the clinical study reports (ICH E3). References or electronic links to the full report of each study should be included in the narratives.

Narratives of any bridging studies using clinical end points, that is, certain studies intended to evaluate the ability to extrapolate certain types of foreign clinical data to the new region (see ICH E5), should be included in this section. An analysis of the results of such studies, together with other information (e.g., PK and PD data) that addresses the ability to extrapolate the efficacy and safety results of foreign studies, should be performed if necessary. The conclusions of such an analysis should be noted at the start of Section 2.7.3.3.2, Comparison of Efficacy Results of All Studies, and the full report of the analysis should be provided in Module 5.

2.7.3.3 Comparison and Analyses of Results across Studies

Using text, figures, and tables as appropriate (see Section 2.7.3.6 Appendix), the subsections of 2.7.3.3 should summarize all available data that characterize the efficacy of the drug. This summary should include analyses of all data, irrespective of their support for the overall conclusion and should, therefore, discuss the extent to which the results of the relevant studies do or do not reinforce each other. Any major inconsistencies in the data regarding efficacy should be addressed and any areas needing further exploration should be identified.

The section will generally utilize two kinds of analysis: comparison of results of individual studies, and analysis of data combined from various studies. Details of analysis that are too extensive to be reported in a summary document should be presented in a separate report, to be placed in Module 5, Section 5.3.5.3.

This section should also cross-reference important evidence from Section 2.7.2, such as data that support the dosage and administration section of the labeling. These data include dosage and dose interval recommended, evidence pertinent to individualization of dosage and need for modifications of dosage for specific subgroups (e.g., pediatric or geriatric subjects, or subjects with hepatic or renal impairment), and data relevant to dose-response or concentration-response (PK/PD) relationships.

2.7.3.3.1 Study Populations

The demographic and other baseline characteristics of patients across all efficacy studies should be described. The following should be included:

- The characteristics of the disease (e.g., severity, duration) and prior treatment in the study subjects, and study inclusion/exclusion criteria
- Differences in baseline characteristics of the study populations in different studies or groups of studies
- Any differences between populations included in critical efficacy analyses and the overall patient population that would be expected to receive the drug when it is marketed should be noted
- Assessment of the number of patients who dropped out of the studies, time of withdrawal (a defined study day or visit during treatment or follow up period), and reasons for discontinuation

Tabular presentations that combine and compare study populations across studies may be useful.

2.7.3.3.2 *Comparison of Efficacy Results of All Studies*

The results of any bridging studies using clinical end points, that is, certain studies used to evaluate the ability to extrapolate certain types of foreign clinical data to the new region (see ICH E5), should be summarized in this section. An analysis of the similarity of efficacy in subjects between regions, as well as any other information that may support extrapolation of the efficacy data to the new region, should be summarized here. An independent subsection can be created to summarize these kinds of data.

The results from all studies designed to evaluate the drug's efficacy should be summarized and compared, including studies with inconclusive or negative results. Important differences in study design such as end points, control group, study duration, statistical methods, patient population, and dose should be identified.

Comparisons of results across studies should focus on pre-specified primary end points. However, when the primary end points involved different variables or time points in different efficacy studies, it may be useful to provide cross-study comparisons of important data elements that were obtained in all studies. If results over time are important, results of studies may be displayed in a figure that illustrates the change over time in each study.

Confidence intervals for treatment effects should be given to aid in the interpretation of point estimates. If differences are shown between placebo and test drugs in the change from baseline, the baseline values and the magnitude of effect in all treatment groups, including placebo and active controls (if used), should generally be presented in the table or in text accompanying a figure. If the objective of an active control trial was to show equivalence or non-inferiority, the difference or the ratio of outcomes between treatments should be given with the confidence interval. The results should be evaluated by using the predefined criteria for defining equivalence or non-inferiority and the rationale for the criteria and support for the determination that the study (studies) had assay sensitivity should be provided (see ICH E10).

Important differences in outcomes between studies with a similar design should be delineated and discussed. Cross-study comparisons of factors that may have contributed to differences in outcomes should be described.

If a meta-analysis of the clinical studies is performed, it should be clear whether this analysis is conducted according to a predefined protocol or is a post hoc exercise. Any differences in trial designs or populations, or in efficacy measurements between trials should be described to allow assessment of the relevance and validity of the results and conclusions (see ICH E9). A detailed description of the methodology and results of the meta-analysis should generally be submitted in a separate report (Section 5.3.5.3 of Module 5).

2.7.3.3.3 *Comparison of Results in Subpopulations*

The results of individual studies or overview analyses of efficacy in specific populations should be summarized in this

section. The purpose of these comparisons should be to show whether the claimed treatment effects are observed consistently across all relevant subpopulations, especially those where there are special reasons for concern. The comparisons may highlight apparent variations in efficacy that require further investigation and discussion. The limitations of such analyses, however, should be recognized (ICH E9), and it is important to note that their purpose is neither to provide the basis for specific claims, nor to attempt to improve the evidence of efficacy in situations where the overall results are disappointing.

Given the limited sample sizes in individual studies, analyses across multiple studies should be performed to evaluate effects of major demographic factors (age, sex, and race) and of other predefined or relevant intrinsic and extrinsic factors (e.g., disease severity, prior treatment, concomitant illness, concomitant drugs, alcohol, tobacco, and body weight) on efficacy. Factors of special interest may arise from general concerns (e.g., the elderly) or from specific issues that are related to the pharmacology of the drug or that have arisen during earlier drug development. Efficacy in the pediatric population should be routinely analyzed in applications for a proposed indication that occurs in children. Depending on the data set, if extensive, detailed efficacy analyses are performed, they can be placed in Module 5, with the results of those analyses reported here.

2.7.3.4 **Analysis of Clinical Information Relevant to Dosing Recommendations**

This section should provide an integrated summary and analysis of all data that pertain to the dose-response or blood level-response relationships of effectiveness (including dose-blood level relationships), and thus have contributed to dose selection and choice of dose interval. Relevant data from non-clinical studies may be referenced, and relevant data from PK studies, other clinical pharmacology studies, and controlled and uncontrolled clinical studies should be summarized to illustrate these dose-response or blood level-response relationships. For PK and PD studies from which data have been summarized in Section 2.7.2.2, it may be appropriate to draw upon those data in this summary while cross-referencing the summaries in Section 2.7.2.2, without repeating those summaries.

While the interpretation of how these data support specific dosing recommendations should be supplied in the Clinical Overview document, the individual study results and any cross-study analyses that will be used to support the dosing recommendations (including the recommended starting and maximal doses, the method of dose titration, and any other instructions regarding individualization of dosage) should be summarized here. Any identified deviations from relatively simple dose-response or blood-level response relationships due to nonlinearity of PKs, delayed effects, tolerance, enzyme induction, etc., should be described.

Any evidence of differences in dose-response relationships that result from a patient's age, sex, race, disease, or other factors should be described. Any evidence of different PK or PD responses should also be discussed, or discussions in Section 2.7.2 can be cross-referenced. The ways in which

such differences were looked for, even if no differences were found, should be described (e.g., specific studies in subpopulations, analysis of efficacy results by subgroup, or blood level determinations of the test drug).

2.7.3.5 Persistence of Efficacy and/or Tolerance Effects

Available information on persistence of efficacy over time should be summarized. The number of patients for whom long-term efficacy data are available, and the length of exposure, should be provided. Any evidence of tolerance (loss of therapeutic effects over time) should be noted. Examination of any apparent relationships between dose changes over time and long-term efficacy may be useful.

The primary focus should be on controlled studies specifically designed to collect long-term efficacy data, and such studies should be clearly differentiated from other, less rigorous, studies such as open extension studies. This distinction also applies to specific studies designed for evaluation of tolerance and withdrawal effects. Data concerning withdrawal or rebound effects pertinent to product safety should be presented in the safety section (see Section 2.7.4).

In long-term efficacy trials, the effect of premature discontinuation of therapy or switching to other therapies upon the assessment of the results should be considered. These issues might also be important for short-term trials and should be addressed when discussing the results of these trials, if appropriate.

2.7.3.6 Appendix

Tables and figures should be embedded in the text of the appropriate sections when that enhances the readability of the document. Lengthy tables can be provided in the appendix at the end of the section.

Tables should identify all studies pertinent to the evaluation of efficacy (including studies that were terminated or are not yet completed, studies that failed to show effectiveness for any reason, studies available only as publications, studies reported in full technical reports (ICH E3), and studies described in abbreviated reports); and should provide the most important results of those studies. Note, however, that unplanned interim analyses on ongoing studies are generally not needed or encouraged. When more than one Section 2.7.3 is provided for an application with more than one indication, usually each section should have its own appendix with tables.

Illustrative tables for an antihypertensive drug are provided, but these examples will not be relevant to every application. In general, applications will require tables and/or figures that are developed specifically for the particular drug class and the studies that were carried out.

Table 2.7.3.1 Description of Clinical Efficacy and Safety Studies

Table 2.7.3.2 Results of Efficacy Studies

2.7.4 SUMMARY OF CLINICAL SAFETY

This section should be a summary of data relevant to safety in the intended patient population, integrating the results of individual clinical study reports as well as other relevant reports,

for example, the integrated analyses of safety that are routinely submitted in some regions.

The display of safety-related data can be considered at three levels (ICH E3):

- The extent of exposure (dose, duration, number of patients, type of patients) should be examined to determine the degree to which safety can be assessed from the database.
- The more common adverse events and changes in laboratory tests should be identified and classified, and their occurrence should be summarized.
- Serious adverse events (defined in ICH E2A) and other significant adverse events (defined in ICH E3) should be identified and their occurrence should be summarized. These events should be examined for frequency over time, particularly for drugs that may be used chronically.

The safety profile of the drug, described on the basis of analysis of all clinical safety data, should be outlined in a detailed, clear, and objective manner, with use of tables and figures.

2.7.4.1 Exposure to the Drug

2.7.4.1.1 Overall Safety Evaluation Plan and Narratives of Safety Studies

The overall safety evaluation plan should be described briefly, including special considerations and observations concerning the nonclinical data, any relevant pharmacological class effects, and the sources of the safety data (controlled trials, open studies, etc.). A tabular listing of all clinical studies that provided safety data, grouped appropriately, should generally be provided (see the Section 2.7.4.7 Appendix). In addition to studies that evaluated efficacy and safety, and uncontrolled studies that generate safety information, this section includes studies that consider special safety issues. Examples would include studies to compare particular adverse event rates for two therapies, to assess safety in particular demographic subsets, to evaluate withdrawal or rebound phenomena, or to evaluate particular adverse events (e.g., sedation, sexual function, effects on driving, absence of a class adverse effect). Studies in indications for which approval is not being sought in the current application and ongoing studies would also be included here if they contribute to the safety analysis.

Narrative descriptions of these studies should be provided here, except that narrative descriptions for studies that contributed both efficacy and safety data should be included in Section 2.7.3.2 and cross-referenced here. The narratives should provide enough detail to allow the reviewer to understand the exposure of study subjects to the test drug or control agent, and how safety data were collected (including the methods used and the extent of safety monitoring of the subjects enrolled in the individual studies). If some studies are not analyzed separately but are grouped for safety analysis, that should be noted, and a single narrative description can be provided.

2.7.4.1.2 Overall Extent of Exposure

A table (see example provided in the Section 2.7.4.7 Appendix) and appropriate text should be generated to summarize the overall extent of drug exposure from all phases of the clinical study development program. The table should indicate the numbers of subjects exposed in studies of different types and at various doses, routes, and durations. If a large number of different doses and/or durations of exposure were used, these can be grouped in a manner appropriate for the drug. Thus, for any dose or range of doses, duration of exposure can be summarized by the number of subjects exposed for specific periods of time, such as 1 day or less, 2 days to 1 week, 1 week to 1 month, 1 month to 6 months, 6 months to 1 year, more than 1 year (ICH E3). In some applications, it may be important to identify diagnostic subgroups and/or groups receiving specific concomitant therapies deemed particularly relevant to safety assessment in the intended use.

The dose levels used for each subject in this presentation could be the maximum dose received by that subject, the dose with longest exposure, and/or the mean daily dose, as appropriate. In some cases, cumulative dose may be pertinent. Dosage may be given as the actual daily dose or on a mg/kg or mg/m² basis, as appropriate. If available, drug concentration data (e.g., concentration at the time of an adverse event, maximum plasma concentration, area under curve) may be helpful in individual subjects for correlation with adverse events or changes in laboratory variables.

It is assumed that all subjects, who were enrolled and received at least one dose of the treatment are included in the safety analysis; if that is not so, an explanation should be provided.

2.7.4.1.3 Demographic and Other Characteristics of Study Population

A summary table should provide the reader with an overview of the demographic characteristics (Table 2.7.4.2) of the population that was exposed to the therapeutic agent during its development. Choice of age ranges used should take into account considerations discussed in ICH E7 (Studies in Support of Special Populations: Geriatrics) and ICH E11 (Clinical Investigation of Medicinal Products in the Pediatric Population). If the relative exposure of demographic groups in the controlled trials differed from overall exposure, it may be useful to provide separate tables.

In addition, one or more tables should show the relevant characteristics of the study population, and the numbers of subjects with special characteristics. Such characteristics could include

- Severity of disease
- Hospitalization
- Impaired renal function
- Concomitant illnesses
- Concomitant use of particular medications
- Geographical location

If these characteristics are distributed differently in controlled trials versus the overall database, it will generally be useful to present tables on both groupings.

The text accompanying the table(s) should mention any imbalance(s) between the drug and placebo and/or comparator regarding any of the above demographic characteristics, particularly if they could lead to differences in safety outcomes.

If certain subjects were excluded from studies (concomitant illness, severity of illness, concomitant medications), this fact should be noted.

Separate demographic tables should be provided for every indication, although closely related indications can be considered together, if study subject characteristics are such that risks are believed to be the same.

2.7.4.2 Adverse Events

2.7.4.2.1 Analysis of Adverse Events

Data on the frequency of adverse events should be described in text and tables. Text should appear in the appropriate subsections of Section 2.7.4.2.1 and the tables that are not embedded in the text should be placed in the Section 2.7.4.7 Appendix.

All adverse events occurring or worsening after treatment have begun (“treatment emergent signs and symptoms,” those adverse events not seen at baseline and those that worsened even if present at baseline) should be summarized in tables listing each event, the number of subjects in whom the event occurred and the frequency of occurrence in subjects treated with the drug under investigation, with comparator drugs, and with placebo. Such tables could also present results for each dose and could be modified to show, for example, adverse event rates by severity, by time from onset of therapy, or by assessment of causality.

When most of the relevant safety data are derived from a small number of studies (e.g., one or two studies), or when very different study subject populations were enrolled in the studies that were performed, presentation of data by study will often be appropriate. When the relevant exposure data is not concentrated in a small number of studies, however, grouping the studies and pooling the results to improve precision of estimates and sensitivity to differences should generally be considered.

While often useful, pooling of safety data across studies should be approached with caution because in some cases interpretation can be difficult, and it can obscure real differences. In cases where differences are apparent, it is more appropriate to present the data by study. The following issues should be considered:

- It is most appropriate to combine data from studies that are of similar design, for example, similar in dose, duration, methods of determining adverse events, and population.
- If the incidence for a particular adverse event differs substantially across the individual studies in a pool, the pooled estimate is less informative.
- Any study with an unusual adverse event pattern should be presented separately.
- The appropriate extent of analysis depends on the seriousness of the adverse event and the strength of evidence of drug causation. Differences in rates of

drug-related, serious events or events leading to discontinuation or dosage change deserve more investigation, whereas rates of other adverse events do not merit elaborate analysis.

- Examination of which subjects experience extreme laboratory value abnormalities (“outliers”) may be useful in identifying subgroups of individuals who are at particular risk for certain adverse events.

Groups of studies that could be used in pooled safety analyses include

- All controlled studies or subsets of controlled studies, such as all placebo-controlled studies, studies with any positive control, studies with a particular positive control, or studies of particular indications (and thus carried out in different populations). These groupings are considered the best source of information about the more common adverse events and can distinguish drug-related events from spontaneous events. Rates in control and treatment groups should be compared.
- All studies, excluding short-term studies in healthy subjects. This grouping is most useful for evaluating rarer events.
- All studies using a particular dose route or regimen, or a particular concomitant therapy.
- Studies in which adverse event reports are elicited by checklist or direct questioning, or studies in which events are volunteered.
- Pools of studies by region.

It is almost always useful to carry out the first two groupings; the others chosen would vary from drug to drug and should be influenced by inspection of individual study results. Whatever methods are used, it should be recognized that, as for the results of single studies, any numerical rate is often only a rough approximation of reality.

When a decision is made to pool data from several studies, the rationale for selecting the method used for pooling should be described. It is common to combine the numerator events and the denominators for the selected studies. Other methods for pooling results across studies are available, for example, weighting data from studies on the basis of study size or inversely to their variance.

If substantial differences are seen between clinical trials in the rates of adverse events, these differences should be noted and possible reasons should be discussed (e.g., relevant differences in study populations, in dose administration, or in methods of collecting adverse event data).

Adverse events should be described as shown in the individual study report (ICH E3). In combining data from many studies, it is important to use standardized terms to describe events and collect synonymous terms under a single preferred term. This can be done with a standard dictionary, and the MedDRA terminology (ICH M1 guideline) should be used. Until MedDRA can be fully implemented, other dictionaries

can be used, but should be specified. Frequencies should be presented for preferred terms and for appropriately defined groupings. Examination of which adverse events led to change in therapy (discontinuation of drug use, change in dose, need for added therapy) can help in assessing the clinical importance of adverse events. These rates can be added to the adverse event rate tables, or can be presented in separate tables. Overall discontinuation rates by study may be useful, but it is also important to specify the particular adverse events leading to discontinuation in a separate table. The preferred terms should be grouped by body system and arranged by decreasing frequency.

2.7.4.2.1.1 Common Adverse Events

Tabular displays of adverse event rates (see the Section 2.7.4.7 Appendix) should be used to compare rates in treatment and control groups. For this analysis, it may be helpful to combine the event severity categories and the causality categories, if they are used, leading to a simpler side-by-side comparison of treatment groups. It should be noted that while causality categories may be reported, if used, the presentation of the data should include total adverse events (whether deemed related or unrelated to treatment); evaluations of causality are inherently subjective and may exclude unexpected adverse events that are in fact treatment related. Additionally, comparisons of rates of adverse events between treatment and control groups in individual trials should be summarized here. It is often useful to tabulate rates in selected trials (see example table 2.7.4.4, in Section 2.7.4.7 Appendix).

It is usually useful to examine more closely the more common adverse events that seem to be drug related (e.g., those that show that a dose response and/or a clear difference between drug and placebo rates) for relationship to relevant factors, including

- Dosage
- mg/kg or mg/m² dose
- Dose regimen
- Duration of treatment
- Total dose
- Demographic characteristics such as age, sex, race
- Concomitant medication use
- Other baseline features such as renal status
- Efficacy outcomes
- Drug concentration, where available

It may also be useful to summarize the results of examination of time of onset and duration for these drug-related events.

Rigorous statistical evaluations of the possible relationship of specific adverse events to each of the above factors are often unnecessary. It may be apparent from initial display and inspection of the data that there is no evidence of a significant relationship to demographic or other baseline features. In that case, no further analysis of these particular factors is needed. Further, it is not necessary that all such analyses be presented in this report. When the safety analyses are too extensive to be presented in detail in this report, they may be presented

in a separate report in Module 5, Section 5.3.5.3, and summarized here.

Under certain circumstances, life table or similar analyses may be more informative than reporting of crude adverse event rates.

2.7.4.2.1.2 Deaths

A table in the Section 2.7.4.7 Appendix should list all deaths occurring while on study (including deaths that occurred shortly following treatment termination, for example, within 30 days or as specified in the study protocol, as well as all other deaths that occurred later but may have resulted from a process that began during studies). Only deaths that are clearly disease-related per protocol definitions and not related to the investigational product, either in studies of conditions with high mortality such as advanced cancer or in studies where mortality from disease is a primary study end point, should be excepted from this listing (it is assumed, however, that these deaths would still be reported in the individual ICH E3 study reports). Even these deaths should be examined for any unexpected patterns between study arms, and further analyzed if unexplained differences are observed. Deaths should be examined individually and analyzed on the basis of rates in individual trials and appropriate pools of trials, considering both total mortality and cause-specific deaths. Potential relationships to the factors listed in Section 2.7.4.2.1.1 should also be considered. Although cause-specific mortality can be difficult to determine, some deaths are relatively easy to interpret. Thus, deaths due to causes expected in the patient population (heart attacks and sudden death in an angina population) are individually not considered to be informative, but even one death due to a QT interval prolongation-associated arrhythmia, aplastic anemia, or liver injury may be informative. Special caution is appropriate before an unusual death is attributed to concomitant illness.

2.7.4.2.1.3 Other Serious Adverse Events

Summaries of all serious adverse events (other than death but including the serious adverse events temporally associated with or preceding the deaths) should be displayed. Serious adverse events that occurred after the drug use was discontinued should be included in this section. The display should include major laboratory abnormalities, abnormal vital signs, and abnormal physical observations that are considered serious adverse events using the ICH E2A definitions. Results of analyses or assessments of serious adverse events across studies should be presented. Serious events should be examined for frequency over time, particularly for drugs that may be used chronically. Potential relationships to the factors listed in Section 2.7.4.2.1.1 should also be considered.

2.7.4.2.1.4 Other Significant Adverse Events

Marked hematologic and other laboratory abnormalities (other than those meeting the definition of serious) and any events that led to a substantial intervention (premature discontinuation of study drug, dose reduction, or substantial

additional concomitant therapy), other than those reported as serious adverse events, should be displayed.

Events that led to premature discontinuation of study drug represent an important safety concern and deserve particular attention in the analysis of drug safety for two reasons. First, even for expected events (based on pharmacological activity), the need to discontinue (or otherwise alter) treatment reflects the severity and perceived importance of the event to patient and physician. Second, discontinuation may represent a drug-related event not yet recognized as drug related. Adverse events leading to treatment discontinuation should be considered possibly drug-related even if this was not recognized initially and even if the event was thought to represent intercurrent illness. Reasons for premature treatment discontinuations should be discussed and rates of discontinuations should be compared across studies and compared with those for placebo and/or active control treatment. In addition, the study data should be examined for any potential relationships to the factors listed in Section 2.7.4.2.1.1.

2.7.4.2.1.5 Analysis of Adverse Events by Organ System or Syndrome

Assessment of the causality of, and risk factors for, deaths, other serious events, and other significant events is often complicated by the fact that they are uncommon. As a result, consideration of related events as a group, including less important events of potentially related pathophysiology, may be of critical value in understanding the safety profile. For example, the relationship to treatment of an isolated sudden death may become much clearer when considered in the context of cases of syncope, palpitations, and asymptomatic arrhythmias.

It is thus generally useful to summarize adverse events by organ system so that they may be considered in the context of potentially related events including laboratory abnormalities. Such presentations of adverse events by organ system should be placed in subsections of Section 2.7.4.2.1.5, labeled as Sections 2.7.4.2.1.5.1, 2.7.4.2.1.5.2, etc., and titled by the organ system under consideration. The list of organ systems to be addressed and the approach to grouping certain events should be selected as appropriate to best present the adverse event data for the medicinal product. If some adverse events tend to occur in syndromes (e.g., influenza-like syndrome, cytokine release syndrome), the sponsor may choose to create some subsections of 2.7.4.2.1.5 for syndromes rather than organ systems.

The same data and summarizations should generally not be repeated in more than one subsection of Section 2.7.4.2.1. Instead, a summary presentation may be placed in one subsection and cross-referenced as needed in the other.

2.7.4.2.2 Narratives

The locations in the application of individual narratives of patient deaths, other serious adverse events, and other significant adverse events deemed to be of special interest because of clinical importance (as described in ICH E3 individual study reports) should be referenced here for the convenience of the reviewer. The narratives themselves should be a part

of the individual study reports, if there is such a report. In cases where there is no individual study report (e.g., if many open studies are pooled as part of a safety analysis and are not individually described), narratives can be placed in Module 5, Section 5.3.5.3. Narratives should not be included here, unless an abbreviated narrative of particular events is considered critical to the summary assessment of the drug.

2.7.4.3 Clinical Laboratory Evaluations

This section should describe changes in patterns of laboratory tests with drug use. Marked laboratory abnormalities and those that led to a substantial intervention should be reported in Section 2.7.4.2.1.3 or Section 2.7.4.2.1.4. If these data are also presented in this section, this duplicate reporting should be made clear for the reviewer. The appropriate evaluations of laboratory values will in part be determined by the results seen, but, in general, the analyses described below should be provided. For each analysis, comparison of the treatment and control groups should be carried out, as appropriate and as compatible with study sizes. In addition, normal laboratory ranges should be given for each analysis (ICH E3). Where possible, laboratory values should be provided in standard international units.

A brief overview of the major changes in laboratory values across the clinical studies should be provided. Laboratory data should include hematology, clinical chemistry, urinalysis, and other data as appropriate. Each parameter at each time over the course of the study (e.g., at each visit) should be described at the following three levels:

- The central tendency, that is, the group mean and median values.
- The range of values, and the number of subjects with abnormal values or with abnormal values of a certain size (e.g., twice the upper limit of normal, 5 times the upper limit; choices should be explained). When data are pooled from centers with differences in normal laboratory ranges, the methodology used in pooling should be described. The analysis of individual subject changes by treatment group can be shown with a variety of approaches (e.g., shift tables, see ICH E3 for examples).
- Individual clinically important abnormalities, including those leading to discontinuations. The significance of the laboratory changes and the likely relation to the treatment should be assessed (e.g., by analysis of such features as relationship to dose, relation to drug concentration, disappearance on continued therapy, positive dechallenge, positive rechallenge, and the nature of concomitant therapy). Potential relationships to other factors listed in Section 2.7.4.2.1.1 should also be considered.

2.7.4.4 Vital Signs, Physical Findings, and Other Observations Related to Safety

The manner of presenting cross-study observations and comparisons of vital signs (e.g., heart rate, blood pressure, temperature, respiratory rate), weight, and other data

(e.g., electrocardiograms, x-rays) related to safety should be similar to that for laboratory variables. If there is evidence of a drug effect, any dose-response or drug concentration-response relationship or relationship to individual variables (e.g., disease, demographics, concomitant therapy) should be identified and the clinical relevance of the observation described. Particular attention should be given to changes not evaluated as efficacy variables and to those considered to be adverse events. Particular attention should be given to studies that were designed to evaluate specific safety issues, for example, studies of QT interval prolongation.

2.7.4.5 Safety in Special Groups and Situations

2.7.4.5.1 Intrinsic Factors

This section should summarize safety data pertinent to individualizing therapy or patient management on the basis of demographic and other factors defined as “intrinsic ethnic factors” in ICH E5. These factors include age, sex, height, weight, lean body mass, genetic polymorphism, body composition, other illness, and organ dysfunction. Safety in the pediatric population should be routinely analyzed in applications for a proposed indication that occurs in children. Analysis of the impact of such factors on safety outcomes should have been presented in other sections but should be summarized here, together with pertinent PK or other information, for example, in patients with renal or hepatic disease. If a sufficiently large number of subjects with a given comorbid condition such as hypertension, heart disease, or diabetes, was enrolled, analyses should be carried out to assess whether the comorbid condition affected the safety of the drug under study. Cross-reference should be made to the tables or description of adverse events when analyses of such subgroups has been carried out.

2.7.4.5.2 Extrinsic Factors

This section should summarize safety data pertinent to individualizing therapy or patient management on the basis of factors defined as “extrinsic ethnic factors” in ICH E5. These are factors associated with the patient environment. Examples are the medical environment, use of other drugs (see Section 2.7.4.5.3, Drug Interactions), use of tobacco, use of alcohol, and food habits.

For example, if a potential interaction with alcohol is suggested by the metabolic profile, by the results of studies, by postmarketing experience, or by information on similar drugs, information should be provided here.

2.7.4.5.3 Drug Interactions

Studies on potential drug–drug or drug–food interactions should be summarized in the Summary of Clinical Pharmacology Studies section of the CTD (Section 2.7.2). The potential impact on safety of such interactions should be summarized here, based on PK, PD, or clinical observations. Any observed changes in the adverse event profile, changes in blood levels thought to be associated with risk, or changes in drug effects associated with other therapy should be presented here.

2.7.4.5.4 *Use in Pregnancy and Lactation*

Any information on safety of use during pregnancy or breastfeeding that becomes available during clinical development or from other sources should be summarized here.

2.7.4.5.5 *Overdose*

All available clinical information relevant to overdose, including signs/symptoms, laboratory findings, and therapeutic measures/treatments and antidotes (if available) should be summarized and discussed. Information on the efficacy of specific antidotes and dialysis should be provided if available.

2.7.4.5.6 *Drug Abuse*

Any relevant studies/information regarding the investigation of the dependence potential of a new therapeutic agent in animals and in humans should be summarized and cross-referenced to the nonclinical summary. Particularly susceptible patient populations should be identified.

2.7.4.5.7 *Withdrawal and Rebound*

Any information or study results pertinent to rebound effects should be summarized. Events that occur, or increase in severity, after discontinuation of double-blind or active study medication should be examined to see if they are the result of withdrawal of the study medication. Particular emphasis should be given to studies designed to evaluate withdrawal and/or rebound.

Data concerning tolerance should be summarized under Section 2.7.3.5 in the Summary of Clinical Efficacy.

2.7.4.5.8 *Effects on Ability to Drive or Operate Machinery or Impairment of Mental Ability*

Safety data related to any impairment in the senses, coordination, or other factor that would result in diminished ability to drive a vehicle or operate machinery or that would impair mental ability should be summarized. This includes relevant adverse effects reported in safety monitoring (e.g., drowsiness) and specific studies concerning effects on ability to drive or operate machinery or impairment of mental ability.

2.7.4.6 **Postmarketing Data**

If the drug has already been marketed, all relevant postmarketing data available to the applicant (published and unpublished, including periodic safety update reports if available) should be summarized. The periodic safety update reports can be included in Module 5. Details of the number of subjects estimated to have been exposed should be provided and categorized, as appropriate, by indication, dosage, route, treatment duration, and geographic location. The methodology used to estimate the number of subjects exposed should be described. If estimates of the demographic details are available from any source, these should be provided.

A tabulation of serious events reported after the drug is marketed should be provided, including any potentially serious drug interactions.

Any postmarketing findings in subgroups should be described.

2.7.4.7 **Appendix**

Tabular presentations should be provided that summarize the important results from all studies pertinent to the evaluation of safety and particularly to support product labeling.

Tables and figures should be embedded in the text of the appropriate sections when that enhances the readability of the document. Lengthy tables can be provided in the appendix at the end of the section.

A few illustrative tables are provided, but a clinical summary will routinely need tables and figures that have been developed for the particular drug, drug class, and clinical indication(s).

See Sections 2.7.4.2.1, 2.7.4.2.2.3, and 2.7.4.3 of this guidance for additional discussion regarding the content of Section 2.7.4 tables.

Table 2.7.4.1 Study Subject Drug Exposure by Mean Daily Dose and Duration of Exposure

Table 2.7.4.2 Demographic Profile of Patients in Controlled Trials

Table 2.7.4.3 Incidence of Adverse Events in Pooled Placebo- and Active Controlled Trials

Table 2.7.4.4 Incidence of Adverse Events in the Largest Trials

Table 2.7.4.5 Patient Withdrawals by Study: Controlled Trials

Table 2.7.4.6 Listing of Deaths

2.7.5 **LITERATURE REFERENCES**

A list of references cited in the Clinical Summary should be provided. Copies of all important references should be provided in Module 5, Section 5.4. The reference list should indicate which references are available in Module 5, Section 5.4. All references that have not been provided should be available upon request.

2.7.6 **SYNOPSIS OF INDIVIDUAL STUDIES**

The ICH E3 guideline (Structure and Content of Clinical Study Reports) suggests inclusion of a study synopsis with each clinical study report, and provides one example of a format for such synopses.

This section should include the table entitled Listing of Clinical Studies, described in guidance for Module 5, followed by all individual study synopses organized in the same sequence as the study reports in Module 5.

It is expected that one synopsis will be prepared per study for use in all regions, and that the same synopsis will be included in this section and as part of the clinical study report in Module 5. The length of a synopsis will usually be up to three pages, but a synopsis for a more complex and important study may be longer, for example, 10 pages. Within the individual synopsis, tables and figures should be used as appropriate to aid clarity.

Table 2.7.1.1 Summary of Bioavailability Studies

Table 2.7.1.2
Summary of In Vitro Dissolution Studies
Table 2.7.2.1
Summary of Drug–Drug Interaction PK Studies

MODULE 3: QUALITY

SCOPE OF THE GUIDELINE

This document is intended to provide guidance on the format of a registration application for drug substances and their corresponding drug products as defined in the scope of the ICH Guidelines Q 6A (“NCE”) and ICH Guideline Q 6B (“Biotech”). This format may also be appropriate for certain other categories of products. To determine the applicability of this format for a particular type of product, applicants should consult with the appropriate regulatory authorities.

The text following the section titles is intended to be explanatory and illustrative only. The content of these sections should include relevant information described in existing ICH guidelines, but harmonized content is not available for all sections. The “Body of Data” in this guideline merely indicates where the information should be located. Neither the type nor the extent of specific supporting data has been addressed in this guideline, and both may depend upon regional guidance.

The section titles of Module 3.2.R (Regional Information) represent examples of typical topics of information that are not common to all ICH regions. Hence, the information to be provided in these sections should be based on the relevant regional guidelines.

3.1 TABLE OF CONTENTS OF MODULE 3

A Table of Contents for the filed application should be provided.

3.2 BODY OF DATA

3.2.S DRUG SUBSTANCE (NAME, MANUFACTURER)

3.2.S.1 GENERAL INFORMATION (NAME, MANUFACTURER)

3.2.S.1.1 Nomenclature (Name, Manufacturer)

Information on the nomenclature of the drug substance should be provided. For example,

- Recommended International Nonproprietary Name (INN)
- Compendial name, if relevant
- Chemical name(s)
- Company or laboratory code
- Other nonproprietary name(s), for example, national name, United States adopted name (USAN), Japanese accepted name (JAN), British approved name (BAN)
- Chemical abstracts service (CAS) registry number

3.2.S.1.2 Structure (Name, Manufacturer)

NCE:

The structural formula, including relative and absolute stereochemistry, the molecular formula, and the relative molecular mass should be provided.

Biotech:

The schematic amino acid sequence indicating glycosylation sites or other posttranslational modifications and relative molecular mass should be provided, as appropriate.

3.2.S.1.3 General Properties (Name, Manufacturer)

A list should provide physicochemical and other relevant properties of the drug substance, including biological activity for biotech.

Reference ICH Guidelines: Q6A and Q6B.

3.2.S.2 MANUFACTURE (NAME, MANUFACTURER)

3.2.S.2.1 Manufacturer(s) (Name, Manufacturer)

The name, address, and responsibility of each manufacturer, including contractors, and each proposed production site or facility involved in manufacturing and testing should be provided.

3.2.S.2.2 Description of Manufacturing Process and Process Controls (Name, Manufacturer)

The description of the drug substance manufacturing process represents the applicant’s commitment for the manufacture of the drug substance. Information should be provided to adequately describe the manufacturing process and process controls. For example,

NCE:

A flow diagram of the synthetic process(es) should be provided that includes molecular formulae, weights, yield ranges, chemical structures of starting materials, intermediates, reagents and drug substance reflecting stereochemistry, and identifies operating conditions and solvents.

A sequential procedural narrative of the manufacturing process should be submitted. The narrative should include, for example, quantities of raw materials, solvents, catalysts and reagents reflecting the representative batch scale for commercial manufacture, identification of critical steps, process controls, equipment, and operating conditions (e.g., temperature, pressure, pH, time).

Alternate processes should be explained and described with the same level of detail as the primary process. Reprocessing steps should be identified and justified. Any data to support this justification should be either referenced or filed in Section 3.2.S.2.5.

Biotech:

Information should be provided on the manufacturing process, which typically starts with a vial(s) of the cell bank, and includes cell culture, harvest(s), purification and modification reactions, filling, storage, and shipping conditions.

Batch(es) and Scale Definition

An explanation of the batch numbering system, including information regarding any pooling of harvests or intermediates and batch size or scale should be provided.

Cell Culture and Harvest

A flow diagram should be provided that illustrates the manufacturing route from the original inoculum (e.g., cells contained in one or more vials(s) of the Working Cell Bank up to the last harvesting operation. The diagram should include all steps (i.e., unit operations) and intermediates. Relevant information for each stage, such as population doubling levels, cell concentration, volumes, pH, cultivation times, holding times, and temperature, should be included. Critical steps and critical intermediates for which specifications are established (as mentioned in Section 3.2.S.2.4) should be identified.

A description of each process step in the flow diagram should be provided. Information should be included on, for example, scale; culture media and other additives (details provided in 3.2.S.2.3); major equipment (details provided in Section 3.2.A.1); and process controls, including in-process tests and operational parameters, process steps, equipment, and intermediates with acceptance criteria (details provided in Section 3.2.S.2.4). Information on procedures used to transfer material between steps, equipment, areas, and buildings, as appropriate, and shipping and storage conditions should be provided. (Details on shipping and storage provided in Section 3.2.S.2.4.)

Purification and Modification Reactions

A flow diagram should be provided that illustrates the purification steps (i.e., unit operations) from the crude harvest(s) up to the step preceding filling of the drug substance. All steps and intermediates and relevant information for each stage (e.g., volumes, pH, critical processing time, holding times, temperatures and elution profiles and selection of fraction, storage of intermediate, if applicable) should be included. Critical steps for which specifications are established as mentioned in Section 3.2.S.2.4 should be identified.

A description of each process step (as identified in the flow diagram) should be provided. The description should include information on, for example, scale, buffers and other reagents (details provided in Section 3.2.S.2.3), major equipment (details provided in Section 3.2.A.1), and materials. For materials such as membranes and chromatography resins, information for conditions of use and reuse should also be provided. (Equipment details in Section 3.2.A.1; validation studies for the reuse and regeneration of columns and membranes in Section 3.2.S.2.5.) The description should include process controls (including in-process tests and operational parameters) with acceptance criteria for process steps, equipment, and intermediates. (Details in Section 3.2.S.2.4.)

Reprocessing procedures with criteria for reprocessing of any intermediate or the drug substance should be described. (Details should be given in Section 3.2.S.2.5.)

Information on procedures used to transfer material between steps, equipment, areas, and buildings, as appropriate, and

shipping and storage conditions should be provided (details on shipping and storage provided in Section 3.2.S.2.4).

Filling, Storage, and Transportation (Shipping)

A description of the filling procedure for the drug substance, process controls (including in-process tests and operational parameters), and acceptance criteria should be provided (Details in Section 3.2.S.2.4.) The container closure system(s) used for storage of the drug substance (details in Section 3.2.S.6) and storage and shipping conditions for the drug substance should be described.

Reference ICH Guidelines: Q5A, Q5B, and Q6B.

3.2.S.2.3 Control of Materials (Name, Manufacturer)

Materials used in the manufacture of the drug substance (e.g., raw materials, starting materials, solvents, reagents, catalysts) should be listed identifying where each material is used in the process. Information on the quality and control of these materials should be provided. Information demonstrating that materials (including biologically sourced materials, for example, media components, monoclonal antibodies, enzymes) meet standards appropriate for their intended use (including the clearance or control of adventitious agents) should be provided, as appropriate. For biologically sourced materials, this can include information regarding the source, manufacture, and characterization. (Details in Section 3.2.A.2 for both NCE and Biotech.)

Reference ICH Guidelines: Q6A and Q6B.

Biotech:

Control of Source and Starting Materials of Biological Origin

Summaries of viral safety information for biologically sourced materials should be provided. (Details in Section 3.2.A.2.)

Source, History, and Generation of the Cell Substrate

Information on the source of the cell substrate and the analysis of the expression construct that is incorporated in the initial cell clone and used to genetically modify cells in order to develop the Master Cell Bank should be provided as described in Q5B and Q5D.

Cell Banking System, Characterization, and Testing

Information on the cell banking system, quality control activities, and cell line stability during production and storage [including procedures used to generate the Master and Working Cell Bank(s)] should be provided as described in Q5B and Q5D.

Reference ICH Guidelines: Q5A, Q5B, Q5C, and Q5D.

3.2.S.2.4 Controls of Critical Steps and Intermediates (Name, Manufacturer)

Critical Steps: Tests and acceptance criteria (with justification including experimental data) performed at critical steps identified in Section 3.2.S.2.2 of the manufacturing process to ensure that the process is controlled should be provided.

Intermediates: Information on the quality and control of intermediates isolated during the process should be provided.

Reference ICH Guidelines: Q6A and Q6B.

Additionally for Biotech: Stability data supporting storage conditions should be provided.

Reference ICH Guideline: Q5C.

3.2.S.2.5 Process Validation and/or Evaluation (Name, Manufacturer)

Process validation and/or evaluation studies for aseptic processing and sterilization should be included.

Biotech:

Sufficient information should be provided on validation and evaluation studies to demonstrate that the manufacturing process (including reprocessing steps) is suitable for its intended purpose and to substantiate selection of critical process controls (operational parameters and in-process tests) and their limits for critical manufacturing steps (e.g., cell culture, harvesting, purification, and modification).

The plan for conducting the study should be described and the results, analysis, and conclusions from the executed study or studies should be provided. The analytical procedures and corresponding validation should be cross-referenced (e.g., Section 3.2.S.2.4, Section 3.2.S.4.3) or provided as part of justifying the selection of critical process controls and acceptance criteria.

For manufacturing steps intended to remove or inactivate viral contaminants, the information from evaluation studies should be provided in Section 3.2.A.2.

3.2.S.2.6 Manufacturing Process Development (Name, Manufacturer)

NCE:

A description and discussion should be provided of the significant changes made to the manufacturing process and/or manufacturing site of the drug substance used in producing nonclinical, clinical, scale-up, pilot, and, if available, production scale batches.

Reference should be made to the drug substance data provided in Section 3.2.S.4.4.

Reference ICH Guideline: Q3A.

Biotech:

The developmental history of the manufacturing process, as described in Section 3.2.S.2.2, should be provided. The description of change(s) made to the manufacture of drug substance batches used in support of the marketing application (e.g., nonclinical or clinical studies) should include, for example, changes to the process or to critical equipment. The reason for the change should be explained. Relevant information on drug substance batches manufactured during development, such as the batch number, manufacturing scale, and use (e.g., stability, nonclinical, reference material) in relation to the change, should be provided.

The significance of the change should be assessed by evaluating its potential to impact the quality of the drug substance (and/or intermediate, if appropriate). For manufacturing changes that are considered significant, data from

comparative analytical testing on relevant drug substance batches should be provided to determine the impact on quality of the drug substance (see Q6B for additional guidance). A discussion of the data, including a justification for selection of the tests and assessment of results, should be included.

Testing used to assess the impact of manufacturing changes on the drug substance(s) and the corresponding drug product(s) can also include nonclinical and clinical studies. Cross-reference to the location of these studies in other modules of the submission should be included.

Reference should be made to the drug substance data provided in Section 3.2.S.4.4.

Reference ICH Guideline: Q6B.

3.2.S.3 CHARACTERIZATION (NAME, MANUFACTURER)

3.2.S.3.1 Elucidation of Structure and Other Characteristics (Name, Manufacturer)

NCE:

Confirmation of structure based on, for example, synthetic route and spectral analyses should be provided. Information such as the potential for isomerism, the identification of stereochemistry, or the potential for forming polymorphs should also be included.

Reference ICH Guideline: Q6A.

Biotech:

For desired product and product-related substances, details should be provided on primary, secondary, and higher-order structure, posttranslational forms (e.g., glycoforms), biological activity, purity, and immunochemical properties, when relevant.

Reference ICH Guideline: Q6B.

3.2.S.3.2 Impurities (Name, Manufacturer)

Information on impurities should be provided.

Reference ICH Guidelines: Q3A, Q3C, Q5C, Q6A, and Q6B.

3.2.S.4 CONTROL OF DRUG SUBSTANCE (NAME, MANUFACTURER)

3.2.S.4.1 Specification (Name, Manufacturer)

The specification for the drug substance should be provided. Reference ICH Guidelines: Q6A and Q6B.

3.2.S.4.2 Analytical Procedures (Name, Manufacturer)

The analytical procedures used for testing the drug substance should be provided.

Reference ICH Guidelines: Q2A and Q6B.

3.2.S.4.3 Validation of Analytical Procedures (Name, Manufacturer)

Analytical validation information, including experimental data for the analytical procedures used for testing the drug substance, should be provided.

Reference ICH Guidelines: Q2A, Q2B, and Q6B.

3.2.S.4.4 Batch Analyses (Name, Manufacturer)

Description of batches and results of batch analyses should be provided.

Reference ICH Guidelines: Q3A, Q3C, Q6A, and Q6B.

3.2.S.4.5 Justification of Specification (Name, Manufacturer)

Justification for the drug substance specification should be provided.

Reference ICH Guidelines: Q3A, Q3C, Q6A, and Q6B.

3.2.S.5 REFERENCE STANDARDS OR MATERIALS (NAME, MANUFACTURER)

Information on the reference standards or reference materials used for testing of the drug substance should be provided. Reference ICH Guidelines: Q6A and Q6B.

3.2.S.6 CONTAINER CLOSURE SYSTEM (NAME, MANUFACTURER)

A description of the container closure system(s) should be provided, including the identity of materials of construction of each primary packaging component, and their specifications. The specifications should include description and identification (and critical dimensions with drawings, where appropriate). Noncompendial methods (with validation) should be included, where appropriate.

For nonfunctional secondary packaging components (e.g., those that do not provide additional protection), only a brief description should be provided. For functional secondary packaging components, additional information should be provided.

The suitability should be discussed with respect to, for example, choice of materials, protection from moisture and light, compatibility of the materials of construction with the drug substance, including sorption to container and leaching, and/or safety of materials of construction.

3.2.S.7 STABILITY (NAME, MANUFACTURER)

3.2.S.7.1 Stability Summary and Conclusions (Name, Manufacturer)

The types of studies conducted, protocols used, and the results of the studies should be summarized. The summary should include results, for example, from forced degradation studies and stress conditions, as well as conclusions with respect to storage conditions and retest date or shelf life, as appropriate.

Reference ICH Guidelines: Q1A, Q1B, and Q5C.

3.2.S.7.2 Postapproval Stability Protocol and Stability Commitment (Name, Manufacturer)

The postapproval stability protocol and stability commitment should be provided.

Reference ICH Guidelines: Q1A and Q5C.

3.2.S.7.3 Stability Data (Name, Manufacturer)

Results of the stability studies (e.g., forced degradation studies and stress conditions) should be presented in an appropriate format such as tabular, graphical, or narrative. Information on the analytical procedures used to generate the data and validation of these procedures should be included.

Reference ICH Guidelines: Q1A, Q1B, Q2A, Q2B, and Q5C.

3.2.P DRUG PRODUCT (NAME, DOSAGE FORM)

3.2.P.1 DESCRIPTION AND COMPOSITION OF THE DRUG PRODUCT (NAME, DOSAGE FORM)

A description of the drug product and its composition should be provided. The information provided should include, for example:

- Description of the dosage form
- Composition, that is list of all components of the dosage form, and their amount on a per-unit basis (including overages, if any), the function of the components, and a reference to their quality standards (e.g., compendial monographs or manufacturer's specifications)
- Description of accompanying reconstitution diluent(s)
- Type of container and closure used for the dosage form and accompanying reconstitution diluent, if applicable

Reference ICH Guidelines: Q6A and Q6B.

3.2.P.2 PHARMACEUTICAL DEVELOPMENT (NAME, DOSAGE FORM)

The pharmaceutical development section should contain information on the development studies conducted to establish that the dosage form, the formulation, manufacturing process, container closure system, microbiological attributes, and usage instructions are appropriate for the purpose specified in the application. The studies described here are distinguished from routine control tests conducted according to specifications. Additionally, this section should identify and describe the formulation and process attributes (critical parameters) that can influence batch reproducibility, product performance, and drug product quality. Supportive data and results from specific studies or published literature can be included within or attached to the pharmaceutical development section. Additional supportive data can be referenced to the relevant nonclinical or clinical sections of the application. Reference ICH Guidelines: Q6A and Q6B.

3.2.P.2.1 Components of the Drug Product (Name, Dosage Form)

3.2.P.2.1.1 Drug Substance (Name, Dosage Form)

The compatibility of the drug substance with excipients listed in Section 3.2.P.1 should be discussed. Additionally, key

physicochemical characteristics (e.g., water content, solubility, particle size distribution, polymorphic or solid state form) of the drug substance that can influence the performance of the drug product should be discussed.

For combination products, the compatibility of drug substances with each other should be discussed.

3.2.P.2.1.2 *Excipients (Name, Dosage Form)*

The choice of excipients listed in Section 3.2.P.1, their concentration, their characteristics that can influence the drug product performance should be discussed relative to their respective functions.

3.2.P.2.2 **Drug Product (Name, Dosage Form)**

3.2.P.2.2.1 *Formulation Development (Name, Dosage Form)*

A brief summary describing the development of the drug product should be provided, taking into consideration the proposed route of administration and usage. The differences between clinical formulations and the formulation (i.e., composition) described in Section 3.2.P.1 should be discussed. Results from comparative in vitro studies (e.g., dissolution) or comparative in vivo studies [e.g., bioequivalence (BE)] should be discussed when appropriate.

3.2.P.2.2.2 *Overages (Name, Dosage Form)*

Any overages in the formulation(s) described in Section 3.2.P.1 should be justified.

3.2.P.2.2.3 *Physicochemical and Biological Properties (Name, Dosage Form)*

Parameters relevant to the performance of the drug product, such as pH, ionic strength, dissolution, redispersion, reconstitution, particle size distribution, aggregation, polymorphism, rheologic properties, biological activity or potency, and/or immunological activity, should be addressed.

3.2.P.2.3 **Manufacturing Process Development (Name, Dosage Form)**

The selection and optimization of the manufacturing process described in Section 3.2.P.3.3, in particular its critical aspects, should be explained. Where relevant, the method of sterilization should be explained and justified.

Differences between the manufacturing process(es) used to produce pivotal clinical batches and the process described in Section 3.2.P.3.3 that can influence the performance of the product should be discussed.

3.2.P.2.4 **Container Closure System (Name, Dosage form)**

The suitability of the container closure system (described in Section 3.2.P.7) used for the storage, transportation (shipping), and use of the drug product should be discussed. This discussion should consider, for example, choice of materials, protection from moisture and light, compatibility of the materials of construction with the dosage form (including sorption to container and leaching), safety of materials of construction,

and performance (such as reproducibility of the dose delivery from the device when presented as part of the drug product).

3.2.P.2.5 **Microbiological Attributes (Name, Dosage Form)**

Where appropriate, the microbiological attributes of the dosage form should be discussed, including, for example, the rationale for not performing microbial limits testing for nonsterile products and the selection and effectiveness of preservative systems in products containing antimicrobial preservatives. For sterile products, the integrity of the container closure system to prevent microbial contamination should be addressed.

3.2.P.2.6 **Compatibility (Name, Dosage Form)**

The compatibility of the drug product with reconstitution diluent(s) or dosage devices (e.g., precipitation of drug substance in solution, sorption on injection vessels, stability) should be addressed to provide appropriate and supportive information for the labeling.

3.2.P.3 **MANUFACTURE (NAME, DOSAGE FORM)**

3.2.P.3.1 **Manufacturer(s) (Name, Dosage Form)**

The name, address, and responsibility of each manufacturer, including contractors, and each proposed production site or facility involved in manufacturing and testing should be provided.

3.2.P.3.2 **Batch Formula (Name, Dosage Form)**

A batch formula should be provided that includes a list of all components of the dosage form to be used in the manufacturing process, their amounts on a per batch basis, including overages, and a reference to their quality standards.

3.2.P.3.3 **Description of Manufacturing Process and Process Controls (Name, Dosage Form)**

A flow diagram should be presented giving the steps of the process and showing where materials enter the process. The critical steps and points at which process controls, intermediate tests, or final product controls are conducted should be identified.

A narrative description of the manufacturing process, including packaging, that represents the sequence of steps undertaken and the scale of production should also be provided. Novel processes or technologies and packaging operations that directly affect product quality should be described with a greater level of detail. Equipment should, at least, be identified by type (e.g., tumble blender, in-line homogenizer) and working capacity, where relevant.

Steps in the process should have the appropriate process parameters identified, such as time, temperature, or pH. Associated numeric values can be presented as an expected range. Numeric ranges for critical steps should be justified in Section 3.2.P.3.4. In certain cases, environmental conditions (e.g., low humidity for an effervescent product) should be stated.

Proposals for the reprocessing of materials should be justified. Any data to support this justification should be either referenced or filed in this section (3.2.P.3.3).

Additionally for Biotech, see Section 3.2.A.1 for facilities, if appropriate.

Reference ICH Guideline: Q6B.

3.2.P.3.4 Controls of Critical Steps and Intermediates (Name, Dosage Form)

Critical steps: Tests and acceptance criteria should be provided (with justification, including experimental data) performed at the critical steps identified in Section 3.2.P.3.3 of the manufacturing process, to ensure that the process is controlled.

Intermediates: Information on the quality and control of intermediates isolated during the process should be provided.

Reference ICH Guidelines: Q2A, Q2B, Q6A, and Q6B.

3.2.P.3.5 Process Validation and/or Evaluation (Name, Dosage Form)

Description, documentation, and results of the validation and/or evaluation studies should be provided for critical steps or critical assays used in the manufacturing process (e.g., validation of the sterilization process or aseptic processing or filling). Viral safety evaluation should be provided in Section 3.2.A.2, if necessary.

Reference ICH Guideline: Q6B.

3.2.P.4 CONTROL OF EXCIPIENTS (NAME, DOSAGE FORM)

3.2.P.4.1 Specifications (Name, Dosage Form)

The specifications for excipients should be provided. Reference ICH Guideline: Q6A and Q6B.

3.2.P.4.2 Analytical Procedures (Name, Dosage Form)

The analytical procedures used for testing the excipients should be provided, where appropriate.

Reference ICH Guidelines: Q2A and Q6B.

3.2.P.4.3 Validation of Analytical Procedures (Name, Dosage Form)

Analytical validation information, including experimental data, for the analytical procedures used for testing the excipients should be provided, where appropriate.

Reference ICH Guidelines: Q2A, Q2B, and Q6B.

3.2.P.4.4 Justification of Specifications (Name, Dosage Form)

Justification for the proposed excipient specifications should be provided, where appropriate.

Reference ICH Guidelines: Q3C and Q6B.

3.2.P.4.5 Excipients of Human or Animal Origin (Name, Dosage Form)

For excipients of human or animal origin, information should be provided regarding adventitious agents (e.g., sources;

specifications; description of the testing performed; viral safety data.) (Details in Section 3.2.A.2.)

Reference ICH Guidelines: Q5A, Q5D, and Q6B.

3.2.P.4.6 Novel Excipients (Name, Dosage Form)

For excipient(s) used for the first time in a drug product or by a new route of administration, full details of manufacture, characterization, and controls, with cross-references to supporting safety data (nonclinical and/or clinical) should be provided according to the drug substance format. (Details in Section 3.2.A.3.)

3.2.P.5 CONTROL OF DRUG PRODUCT (NAME, DOSAGE FORM)

3.2.P.5.1 Specification(s) (Name, Dosage Form)

The specification(s) for the drug product should be provided.

Reference ICH Guidelines: Q3B, Q6A, and Q6B.

3.2.P.5.2 Analytical Procedures (Name, Dosage Form)

The analytical procedures used for testing the drug product should be provided.

Reference ICH Guidelines: Q2A and Q6B.

3.2.P.5.3 Validation of Analytical Procedures (Name, Dosage Form)

Analytical validation information, including experimental data, for the analytical procedures used for testing the drug product, should be provided.

Reference ICH Guidelines: Q2A, Q2B, and Q6B.

3.2.P.5.4 Batch Analyses (Name, Dosage Form)

A description of batches and results of batch analyses should be provided.

Reference ICH Guidelines: Q3B, Q3C, Q6A, and Q6B.

3.2.P.5.5 Characterization of Impurities (Name, Dosage Form)

Information on the characterization of impurities should be provided, if not previously provided in "3.2.S.3.2 Impurities."

Reference ICH Guidelines: Q3B, Q5C, Q6A, and Q6B.

3.2.P.5.6 Justification of Specification(s) (Name, Dosage Form)

Justification for the proposed drug product specification(s) should be provided.

Reference ICH Guidelines: Q3B, Q6A, and Q6B.

3.2.P.6 REFERENCE STANDARDS OR MATERIALS (NAME, DOSAGE FORM)

Information on the reference standards or reference materials used for testing of the drug product should be provided, if not previously provided in Section 3.2.S.5 Reference Standards or Materials.

Reference ICH Guidelines: Q6A and Q6B.

3.2.P.7 CONTAINER CLOSURE SYSTEM (NAME, DOSAGE FORM)

A description of the container closure systems should be provided, including the identity of materials of construction of each primary packaging component and its specification. The specifications should include description and identification (and critical dimensions, with drawings where appropriate). Noncompensial methods (with validation) should be included where appropriate.

For nonfunctional secondary packaging components (e.g., those that neither provide additional protection nor serve to deliver the product), only a brief description should be provided. For functional secondary packaging components, additional information should be provided.

Suitability information should be located in Section 3.2.P.2.

3.2.P.8 STABILITY (NAME, DOSAGE FORM)

3.2.P.8.1 Stability Summary and Conclusion (Name, Dosage Form)

The types of studies conducted, protocols used, and the results of the studies should be summarized. The summary should include, for example, conclusions with respect to storage conditions and shelf life, and, if applicable, in-use storage conditions and shelf life.

Reference ICH Guidelines: Q1A, Q1B, Q3B and Q5C, Q6A.

3.2.P.8.2 Postapproval Stability Protocol and Stability Commitment (Name, Dosage Form)

The postapproval stability protocol and stability commitment should be provided.

Reference ICH Guidelines: Q1A and Q5C.

3.2.P.8.3 Stability Data (Name, Dosage Form)

Results of the stability studies should be presented in an appropriate format (e.g., tabular, graphical, narrative). Information on the analytical procedures used to generate the data and validation of these procedures should be included.

Information on characterization of impurities is located in Section 3.2.P.5.5.

Reference ICH Guidelines: Q1A, Q1B, Q2A, Q2B, and Q5C.

3.2.A APPENDICES

3.2.A.1 FACILITIES AND EQUIPMENT (NAME, MANUFACTURER)

Biotech:

A diagram should be provided illustrating the manufacturing flow, including movement of raw materials, personnel, waste, and intermediate(s) in and out of the manufacturing areas. Information should be presented with respect to adjacent areas or rooms that may be of concern for maintaining integrity of the product.

Information on all developmental or approved products manufactured or manipulated in the same areas as the applicant's product should be included.

A summary description of product-contact equipment, and its use (dedicated or multiuse) should be provided. Information on preparation, cleaning, sterilization, and storage of specified equipment and materials should be included, as appropriate.

Information should be included on procedures (e.g., cleaning and production scheduling) and design features of the facility (e.g., area classifications) to prevent contamination or cross-contamination of areas and equipment, where operations for the preparation of cell banks and product manufacturing are performed.

3.2.A.2 ADVENTITIOUS AGENTS SAFETY EVALUATION (NAME, DOSAGE FORM, MANUFACTURER)

Information assessing the risk with respect to potential contamination with adventitious agents should be provided in this section.

For nonviral adventitious agents:

Detailed information should be provided on the avoidance and control of nonviral adventitious agents (e.g., transmissible spongiform encephalopathy agents, bacteria, mycoplasma, fungi). This information can include, for example, certification and/or testing of raw materials and excipients, and control of the production process, as appropriate for the material, process, and agent.

Reference ICH Guidelines: Q5A, Q5D, and Q6B.

For viral adventitious agents:

Detailed information from viral safety evaluation studies should be provided in this section. Viral evaluation studies should demonstrate that the materials used in production are considered safe, and that the approaches used to test, evaluate, and eliminate the potential risks during manufacturing are suitable. The applicant should refer to Q5A, Q5D, and Q6B for further guidance.

Materials of biological origin

Information essential to evaluate the virologic safety of materials of animal or human origin (e.g., biological fluids, tissue, organ, cell lines) should be provided. (See related information in Sections 3.2.S.2.3 and 3.2.P.4.5.) For cell lines, information on the selection, testing, and safety assessment for potential viral contamination of the cells and viral qualification of cell banks should also be provided. (See related information in Section 3.2.S.2.3.)

Testing at appropriate stages of production

The selection of virologic tests that are conducted during manufacturing (e.g., cell substrate, unprocessed bulk, or post-viral clearance testing) should be justified. The type of test, sensitivity and specificity of the test, if applicable, and frequency of testing should be included. Test results to confirm, at an appropriate stage of manufacture, that the product is free from viral contamination should be provided. (See related information in Sections 3.2.S.2.4 and 3.2.P.3.4.)

Viral testing of unprocessed bulk

In accordance with Q5A and Q6B, results for viral testing of unprocessed bulk should be included.

Viral clearance studies

In accordance with Q5A, the rationale and action plan for assessing viral clearance and the results and evaluation of the viral clearance studies should be provided. Data can include those that demonstrate the validity of the scaled-down model compared to the commercial scale process; the adequacy of viral inactivation or removal procedures for manufacturing equipment and materials; and manufacturing steps that are capable of removing or inactivating viruses. (See related information in Sections 3.2.S.2.5 and 3.2.P.3.5.)

Reference ICH Guidelines: Q5A, Q5D, and Q6B.

3.2.A.3 EXCIPIENTS**3.2.R REGIONAL INFORMATION**

Any additional drug substance and/or drug product information specific to each region should be provided in section R of the application. Applicants should consult the appropriate regional guidelines and/or regulatory authorities for additional guidance.

Some examples are as follows:

- **Executed batch records (USA only)**
- **Method validation package (USA only)**
- **Comparability protocols (USA only)**
- **Process validation scheme for the drug product (EU only)**

Where validation is still to be completed, a summary of the studies intended to be conducted should be provided.

- **Medical device (EU only)**

3.3 LITERATURE REFERENCES

Key literature referenced should be provided, if applicable.

MODULE 4: NONCLINICAL STUDY REPORTS**GENERAL PRINCIPLES OF NONCLINICAL OVERVIEW AND SUMMARIES**

This guideline provides recommendations for the harmonization of the Nonclinical Overview, Nonclinical Written Summary, and Nonclinical Tabulated Summaries.

The primary purpose of the Nonclinical Written and Tabulated Summaries should be to provide a comprehensive factual synopsis of the nonclinical data. The interpretation of the data, the clinical relevance of the findings, cross-linking with the quality aspects of the pharmaceutical, and the implications of the nonclinical findings for the safe use of the pharmaceutical (i.e., as applicable to labeling) should be addressed in the overview.

This guideline presents an agreed format for the organization of the nonclinical reports in the CTD for applications that will be submitted to Regulatory Authorities. This guideline is not intended to indicate what studies are required. It merely indicates an appropriate format for the nonclinical data that have been acquired.

The appropriate location for individual-animal data is in the study report or as an appendix to the study report.

4.1 TABLE OF CONTENTS OF MODULE 4

A Table of Contents should be provided that lists all of the nonclinical study reports and gives the location of each study report in the CTD.

4.2 STUDY REPORTS

The study reports should be presented in the following order:

- 4.2.1 Pharmacology
 - 4.2.1.1 Primary Pharmacodynamics
 - 4.2.1.2 Secondary Pharmacodynamics
 - 4.2.1.3 Safety Pharmacology
 - 4.2.1.4 Pharmacodynamic Drug Interactions
- 4.2.2 Pharmacokinetics
 - 4.2.2.1 Analytical Methods And Validation Reports (if separate reports are available)
 - 4.2.2.2 Absorption
 - 4.2.2.3 Distribution
 - 4.2.2.4 Metabolism
 - 4.2.2.5 Excretion
 - 4.2.2.6 Pharmacokinetic Drug Interactions (nonclinical)
 - 4.2.2.7 Other Pharmacokinetic Studies
- 4.2.3 Toxicology
 - 4.2.3.1 Single-Dose Toxicity (in order by species, by route)
 - 4.2.3.2 Repeat-Dose Toxicity (in order by species, by route, by duration; including supportive toxicokinetics evaluations)
 - 4.2.3.3 Genotoxicity
 - 4.2.3.3.1 In Vitro
 - 4.2.3.3.2 In Vivo (including supportive toxicokinetics evaluations)
 - 4.2.3.4 Carcinogenicity (including supportive toxicokinetics evaluations)
 - 4.2.3.4.1 Long-Term Studies (in order by species; including range-finding studies that cannot appropriately be included under repeat-dose toxicity or pharmacokinetics)
 - 4.2.3.4.2 Short- or Medium-Term Studies (including range-finding studies that cannot appropriately be included under repeat-dose toxicity or pharmacokinetics)
 - 4.2.3.4.3 Other Studies

- 4.2.3.5 Reproductive and Developmental Toxicity (including range-finding studies and supportive toxicokinetics evaluations) (If modified study designs are used, the following subheadings should be modified accordingly)
 - 4.2.3.5.1 Fertility and Early Embryonic Development
 - 4.2.3.5.2 Embryo-Fetal Development
 - 4.2.3.5.3 Prenatal and Postnatal Development (including maternal function)
 - 4.2.3.5.4 Studies in Which the Offspring (juvenile animals) Are Dosed and/or Further Evaluated
- 4.2.3.6 Local Tolerance
- 4.2.3.7 Other Toxicity Studies (if available)
 - 4.2.3.7.1 Antigenicity
 - 4.2.3.7.2 Immunotoxicity
 - 4.2.3.7.3 Mechanistic Studies (if not included elsewhere)
 - 4.2.3.7.4 Dependence
 - 4.2.3.7.5 Metabolites
 - 4.2.3.7.6 Impurities
 - 4.2.3.7.7 Other

4.3 LITERATURE REFERENCES

Appendix A Tables and Figures for Written Summaries

Appendix B The Nonclinical Tabulated Summaries-Templates

The Nonclinical Tabulated Summaries-Templates

MODULE 5: CLINICAL STUDY REPORTS

Preamble

Through the ICH process, a guideline has been published on the structure and content of clinical study reports (E3). This document provides guidance on the organization of these study reports, other clinical data, and references within a CTD for registration of a pharmaceutical product for human use. These elements should facilitate the preparation and review of a marketing application.

This guideline is not intended to indicate what studies are required for successful registration. It indicates an appropriate organization for the clinical study reports that are in the application.

Detailed Organization of Clinical Study Reports and Related Information in Module 5.

This guideline recommends a specific organization for the placement of clinical study reports and related information to simplify preparation and review of dossiers and to ensure

completeness. The placement of a report should be determined by the primary objective of the study. Each study report should appear in only one section. Where there are multiple objectives, the study should be cross-referenced in the various sections. An explanation such as “not applicable” or “no study conducted” should be provided when no report or information is available for a section or subsection.

5.1 Table of Contents of Module 5

A Table of Contents for study reports should be provided.

- 5.1 Table of Contents of Module 5
- 5.2 Tabular Listing of All Clinical Studies
- 5.3 Clinical Study Reports
 - 5.3.1 Reports of Biopharmaceutical Studies
 - 5.3.1.1 Bioavailability (BA) Study Reports
 - 5.3.1.2 Comparative BA and BE Study Reports
 - 5.3.1.3 In Vitro–In Vivo Correlation Study Reports
 - 5.3.1.4 Reports of Bioanalytical and Analytical Methods for Human Studies
 - 5.3.2 Reports of Studies Pertinent to Pharmacokinetics using Human Biomaterials
 - 5.3.2.1 Plasma Protein Binding Study Reports
 - 5.3.2.2 Reports of Hepatic Metabolism and Drug Interaction Studies
 - 5.3.2.3 Reports of Studies Using Other Human Biomaterials
 - 5.3.3 Reports of Human Pharmacokinetic (PK) Studies
 - 5.3.3.1 Healthy Subject PK and Initial Tolerability Study Reports
 - 5.3.3.2 Patient PK and Initial Tolerability Study Reports
 - 5.3.3.3 Intrinsic Factor PK Study Reports
 - 5.3.3.4 Extrinsic Factor PK Study Reports
 - 5.3.3.5 Population PK Study Reports
 - 5.3.4 Reports of Human Pharmacodynamic (PD) Studies
 - 5.3.4.1 Healthy Subject PD and PK/PD Study Reports
 - 5.3.4.2 Patient PD and PK/PD Study Reports
 - 5.3.5 Reports of Efficacy and Safety Studies
 - 5.3.5.1 Study Reports of Controlled Clinical Studies Pertinent to the Claimed Indication
 - 5.3.5.2 Study Reports of Uncontrolled Clinical Studies
 - 5.3.5.3 Reports of Analyses of Data from More Than One Study
 - 5.3.5.4 Other Clinical Study Reports
 - 5.3.6 Reports of Postmarketing Experience
 - 5.3.7 Case Report Forms and Individual Patient Listings
- 5.4 Literature References

5.2 TABULAR LISTING OF ALL CLINICAL STUDIES

A tabular listing of all clinical studies and related information should be provided. For each study, this tabular listing should generally include the type of information identified in Table

5.1 of this guideline. Other information can be included in this table if the applicant considers it useful. The sequence in which the studies are listed should follow the sequence described in Section 5.3 below. Use of a different sequence should be noted and explained in an introduction to the tabular listing.

5.3 CLINICAL STUDY REPORTS

5.3.1 REPORTS OF BIOPHARMACEUTICAL STUDIES

BA studies evaluate the rate and extent of release of the active substance from the medicinal product. Comparative BA or BE studies may use PK, PD, clinical, or in vitro dissolution end points, and may be either single dose or multiple dose. When the primary purpose of a study is to assess the PK of a drug, but also includes BA information, the study report should be submitted in Section 5.3.1, and referenced in Sections 5.3.1.1 and/or 5.3.1.2.

5.3.1.1 Bioavailability (BA) Study Reports

BA studies in this section should include

- Studies comparing the release and systemic availability of a drug substance from a solid oral dosage form to the systemic availability of the drug substance given intravenously or as an oral liquid dosage form
- Dosage form proportionality studies
- Food-effect studies

5.3.1.2 Comparative BA and BE Study Reports

Studies in this section compare the rate and extent of release of the drug substance from similar drug products (e.g., tablet to tablet, tablet to capsule). Comparative BA or BE studies may include comparisons between

- The drug product used in clinical studies supporting effectiveness and the to-be-marketed drug product
- The drug product used in clinical studies supporting effectiveness and the drug product used in stability batches
- Similar drug products from different manufacturers

5.3.1.3 In Vitro–In Vivo Correlation Study Reports

In vitro dissolution studies that provide BA information, including studies used in seeking to correlate in vitro data with in vivo correlations, should be placed in Section 5.3.1.3. Reports of in vitro dissolution tests used for batch quality control and/or batch release should be placed in the Quality section of the CTD.

5.3.1.4 Reports of Bioanalytical and Analytical Methods for Human Studies

Bioanalytical and/or analytical methods for biopharmaceutical studies or in vitro dissolution studies should ordinarily be provided in individual study reports. Where a method is used

in multiple studies, the method and its validation should be included once in Section 5.3.1.4 and referenced in the appropriate individual study reports.

5.3.2 REPORTS OF STUDIES PERTINENT TO PHARMACOKINETICS USING HUMAN BIOMATERIALS

Human biomaterials is a term used to refer to proteins, cells, tissues, and related materials derived from human sources that are used in vitro or ex vivo to assess PK properties of drug substances. Examples include cultured human colonic cells that are used to assess permeability through biological membranes and transport processes, and human albumin that is used to assess plasma protein binding. Of particular importance is the use of human biomaterials such as hepatocytes and/or hepatic microsomes to study metabolic pathways and to assess drug–drug interactions with these pathways. Studies using biomaterials to address other properties (e.g., sterility or pharmacodynamics) should not be placed in the Clinical Study Reports Section, but in the Nonclinical Study Section (Module 4).

5.3.2.1 Plasma Protein Binding Study Reports

Ex vivo protein binding study reports should be provided here. Protein-binding data from PK blood and/or plasma studies should be provided in Section 5.3.3.

5.3.2.2 Reports of Hepatic Metabolism and Drug Interaction Studies

Reports of hepatic metabolism and metabolic drug interaction studies with hepatic tissue should be placed here.

5.3.2.3 Reports of Studies Using Other Human Biomaterials

Reports of studies with other biomaterials should be placed in this section.

5.3.3 REPORTS OF HUMAN PK STUDIES

Assessment of the PK of a drug in healthy subjects and/or patients is considered critical to designing dosing strategies and titration steps, to anticipating the effects of concomitant drug use, and to interpreting observed PD differences. These assessments should provide a description of the body's handling of a drug over time, focusing on maximum plasma concentrations (peak exposure), area-under-curve (total exposure), clearance, and accumulation of the parent drug and its metabolite(s), in particular those that have pharmacological activity.

The PK studies whose reports should be included in Sections 5.3.3.1 and 5.3.3.2 are generally designed to (1) measure plasma drug and metabolite concentrations over time, (2) measure drug and metabolite concentrations in urine or feces when useful or necessary, and/or (3) measure drug and metabolite binding to protein or red blood cells.

On occasion, PK studies may include measurement of drug distribution into other body tissues, body organs, or fluids

(e.g., synovial fluid or cerebrospinal fluid), and the results of these tissue distribution studies should be included in Section 5.3.3.1 to Section 5.3.3.2, as appropriate. These studies should characterize the drug's PK and provide information about the absorption, distribution, metabolism, and excretion of a drug and any active metabolites in healthy subjects and/or patients. Studies of mass balance and changes in PK related to dose (e.g., determination of dose proportionality) or time (e.g., due to enzyme induction or formation of antibodies) are of particular interest and should be included in Sections 5.3.3.1 and/or 5.3.3.2. Apart from describing mean PK in normal and patient volunteers, PK studies should also describe the range of individual variability. In the ICH E5 guideline on Ethnic Factors in the Acceptance of Foreign Data, factors that may result in different responses to a drug in different populations are categorized as intrinsic ethnic factors or extrinsic ethnic factors. In this document, these categories are referred to as intrinsic factors and extrinsic factors, respectively. Additional studies can also assess differences in systemic exposure as a result of changes in PK due to intrinsic (e.g., age, gender, racial, weight, height, disease, genetic polymorphism, and organ dysfunction) and extrinsic (e.g., drug–drug interactions, diet, smoking, and alcohol use) factors. Reports of PK studies examining the influence of intrinsic and extrinsic factors on exposure should be organized in Sections 5.3.3.3 and 5.3.3.4, respectively.

In addition to standard multiple-sample PK studies, population PK analyses based on sparse sampling during clinical studies can also address questions about the contributions of intrinsic and extrinsic factors to the variability in the dose-PK-response relationship. Because the methods used in population PK studies are substantially different from those used in standard PK studies, these studies should be placed in Section 5.3.3.5.

5.3.3.1 Healthy Subject PK and Initial Tolerability Study Reports

Reports of PK and initial tolerability studies in healthy subjects should be placed in this section.

5.3.3.2 Patient PK and Initial Tolerability Study Reports

Reports of PK and initial tolerability studies in patients should be placed in this section.

5.3.3.3 Intrinsic Factor PK Study Reports

Reports of PK studies to assess effects of intrinsic factors should be placed in this section.

5.3.3.4 Extrinsic Factor PK Study Reports

Reports of PK studies to assess the effects of extrinsic factors should be placed in this section.

5.3.3.5 Population PK Study Reports

Reports of population PK studies based on sparse samples obtained in clinical trials including efficacy and safety trials should be placed in this section.

5.3.4 REPORTS OF HUMAN PHARMACODYNAMIC (PD) STUDIES

Reports of studies with a primary objective of determining the PD effects of a drug product in humans should be placed in this section. Reports of studies whose primary objective is to establish efficacy or to accumulate safety data, however, should be placed in Section 5.3.5.

This section should include reports of (1) studies of pharmacological properties known or thought to be related to the desired clinical effects (biomarkers), (2) short-term studies of the main clinical effect, and (3) PD studies of other properties not related to the desired clinical effect. Because a quantitative relationship of these pharmacological effects to dose and/or plasma drug and metabolite concentrations is usually of interest, PD information is frequently collected in dose-response studies or together with drug concentration information in PK studies (concentration-response or PK/PD studies). Relationships between PK and PD effects that are not obtained in well-controlled studies are often evaluated using an appropriate model and used as a basis for designing further dose-response studies or, in some cases, for interpreting effects of concentration differences in population subsets.

Dose-finding, PD, and/or PK-PD studies can be conducted in healthy subjects and/or patients, and can also be incorporated into the studies that evaluate safety and efficacy in a clinical indication. Reports of dose-finding, PD, and/or PK/PD studies conducted in healthy subjects should be placed in Section 5.3.4.1, and the reports for those studies conducted in patients should be placed in Section 5.3.4.2.

In some cases, the short-term PD, dose-finding, and/or PK-PD information found in PD studies conducted in patients will provide data that contribute to assessment of efficacy, either because they show an effect on an acceptable surrogate marker (e.g., blood pressure) or on a clinical benefit end point (e.g., pain relief). Similarly, a PD study may contain important clinical safety information. When these studies are part of the efficacy or safety demonstration, they are considered clinical efficacy and safety studies that should be included in Section 5.3.5, not in Section 5.3.4.

5.3.4.1 Healthy Subject PD and PK/PD Study Reports

PD and/or PK/PD studies having nontherapeutic objectives in healthy subjects should be placed in this section.

5.3.4.2 Patient PD and PK/PD Study Reports

PD and/or PK/PD studies in patients should be submitted in this section.

5.3.5 REPORTS OF EFFICACY AND SAFETY STUDIES

This section should include reports of all clinical studies of efficacy and/or safety carried out with the drug, conducted by the sponsor, or otherwise available, including all completed and all ongoing studies of the drug in proposed and nonproposed indications. The study reports should provide the level of detail appropriate to the study and its role in the

application. ICH E3 describes the contents of a full report for a study contributing evidence pertinent to both safety and efficacy. Abbreviated reports can be provided for some studies (see ICH E3 and individual guidance by region).

Within Section 5.3.5, studies should be organized by design (controlled, uncontrolled) and, within controlled studies, by type of control. Within each section, studies should be categorized further, ordered by whether the study report is complete or abbreviated (ICH E3), with completely reported studies presented first. Published reports with limited or no further data available to the sponsor should be placed last in this section.

In cases where the application includes multiple therapeutic indications, the reports should be organized in a separate Section 5.3.5 for each indication. In such cases, if a clinical efficacy study is relevant to only one of the indications included in the application, it should be included in the appropriate Section 5.3.5; if a clinical efficacy study is relevant to multiple indications, the study report should be included in the most appropriate Section 5.3.5 and referenced as necessary in other Sections 5.3.5, for example, Section 5.3.5A, Section 5.3.5B.

5.3.5.1 Study Reports of Controlled Clinical Studies Pertinent to the Claimed Indication

The controlled clinical study reports should be sequenced by type of control:

- Placebo control (could include other control groups, such as an active comparator or other doses)
- No-treatment control
- Dose response (without placebo)
- Active control (without placebo)
- External (historical) control, regardless of the control treatment

Within each control type, where relevant to assessment of drug effect, studies should be organized by treatment duration. Studies of indications other than the one proposed in the application, but that provide support for efficacy in the proposed use, should be included in Section 5.3.5.1.

Where a PD study contributes to evidence of efficacy, it should be included in Section 5.3.5.1. The sequence in which studies were conducted is not considered pertinent to their presentation. Thus, placebo-controlled trials, whether early or late, should be placed in Section 5.3.5.1. Controlled safety studies, including studies in conditions that are not the subject of the application, should also be reported in Section 5.3.5.1.

5.3.5.2 Study Reports of Uncontrolled Clinical Studies

Study reports of uncontrolled clinical studies (e.g., reports of open label safety studies) should be included in Section 5.3.5.2. This includes studies in conditions that are not the subject of the marketing application.

5.3.5.3 Reports of Analyses of Data from More than One Study

Many clinical issues in an application can be addressed by an analysis considering data from more than one study. The

results of such an analysis should generally be summarized in the clinical summary documents, but a detailed description and presentation of the results of such analyses are considered critical to their interpretation. Where the details of the analysis are too extensive to be reported in a summary document, they should be presented in a separate report. Such reports should be placed in Section 5.3.5.3. Examples of reports that would be found in this section include: a report of a formal meta-analysis or extensive exploratory analysis of efficacy to determine an overall estimate of effect size in all patients and/or in specific subpopulations, and a report of an integrated analysis of safety that assesses such factors as the adequacy of the safety database, estimates of event rates, and safety with respect to variables such as dose, demographics, and concomitant medications. A report of a detailed analysis of bridging, considering formal bridging studies, other relevant clinical studies, and other appropriate information (e.g., PK and PD information), should be placed in this section if the analysis is too lengthy for inclusion in the Clinical Summary.

5.3.5.4 Other Study Reports

This section can include

- Reports of interim analyses of studies pertinent to the claimed indications
- Reports of controlled safety studies not reported elsewhere
- Reports of controlled or uncontrolled studies not related to the claimed indication
- Published reports of clinical experiences with the medicinal product that are not included in Section 5.3.5.1. However, when literature is important to the demonstration or substantiation of efficacy, it should be included in Section 5.3.5.1
- Reports of ongoing studies

5.3.6 REPORTS OF POSTMARKETING EXPERIENCE

For products that are currently marketed, reports that summarize marketing experience (including all significant safety observations) should be included in Section 5.3.6.

5.3.7 CASE REPORT FORMS AND INDIVIDUAL PATIENT LISTINGS

Case report forms and individual patient data listings that are described as Appendices 16.3 and 16.4 in the ICH clinical study report guideline, should be placed in this section when submitted, in the same order as the clinical study reports and indexed by study.

5.4 LITERATURE REFERENCES

Copies of referenced documents, including important published articles, official meeting minutes, or other regulatory guidance or advice should be provided here. This includes copies of all references cited in the Clinical Overview, and

copies of important references cited in the Clinical Summary or in the individual technical reports that were provided in Module 5, Section 5.3. Only one copy of each reference should be provided. Copies of references that are not included here should be immediately available on request.

1 GENERAL QUESTIONS FORMAT OR CONTENT?

Will a dossier using the CTD format (Modules 2–5) be identical for all regions? Not necessarily. The CTD provides a common format for the submission of information to regulatory authorities in the three ICH regions. However, the CTD does not address the content of submissions. There are many regional requirements, as well as applicants' preferences, that could affect the contents of dossiers submitted in each region.

Are expert reports still required for submissions under the CTD format? No. Expert reports are replaced by Module 2. (N.B.: For specific European requirements regarding experts' signatures, please refer to the European Commission Web site.)

For a paper CTD submission, the guideline states that, for the comprehensive Table of Contents in Module 1, no page numbers should be used. Does this apply only to the TOC in Module 1, or for all TOCs in every module? Also, besides the volume numbers and tab identifiers, should the module numbers also be included? For Modules 3, 4, and 5, should the volume number be part of the Table of Contents? There are no specific guidelines for the page numbers of the TOC. Module numbers, volume numbers, and tab dividers should be part of all TOCs.

When provided, how should Literature References be paginated in a paper CTD? Should each reference start with page 1, or should the page number from the source (journal, abstract, etc.) be the only page number included? Literature References should be paginated according to the page numbering of the source (journal, abstract, etc.).

How should subnumbering within a document be organized? Some documents can be up to 50 pages in length with no defined CTD guideline heading, and potentially therefore no TOC entries or bookmarks (in the electronic version). Some guidance would be welcome to avoid regional interpretations on what is considered acceptable. Within the document, the applicant can use section numbers at a lower level than those specified in the CTD guideline. However, there should be no other headings appearing in the overall TOC going below the numbering given in the CTD guideline. *For example, for Section 3.2.P.3.3 it would be possible to use subsequent numbers (3.2.P.3.3.1, 3.2.P.3.3.2, etc.) to provide further navigation within the document. These should not appear in the overall TOC but can be included as bookmarks within the PDF files.*

In the header or footer of each document in a dossier, the appropriate TOC title entry should be included. In case of, for example, a clinical report, the TOC entry is the title of the report and this can be really long. Would the use of the report number (alone) be considered sufficient?

In other words, can the layout of the pages throughout the dossier be different: One page layout for reports and another one for Quality sections? It is recommended that a distinct identifier be put in headers/footers on every page. However, it does not need to be the full title. An abbreviation would suffice.

It is stated in the CTD that the section should be indicated in cross-strings. What is meant here: The section number, or the section number and section name? (The section name is in many cases too long to indicate in a cross-string.) Providing the section header in addition to the section number improves the clarity of the reference, particularly for the uninitiated reader. To reduce the length of the cross-string while maintaining the ease of use, it is recommended to include only the section number in the cross-string and write the text so the reader will also know the section content. For example, “. . . as seen in the population PK study 101 (Section 5.3.3.5)” helps the reader to find the referenced study report under the Population PK Study Reports section. The text “. . . no safety problems were noted in the uncontrolled pneumonia study 101A (Section 5.3.5.2)” helps the reader find the referenced study report under the section Study Reports of Uncontrolled Clinical Studies for the Pneumonia indication.

Will there be a general glossary of recommended terminology for use in the CTD?

No glossary of terms is planned at this time.

A combined comparability section might be beneficial to the review process. Is it possible to consider a modification to the CTD to provide for such a section for Biological products? *N.B. Currently, comparability data should be included under Section 2.3.S.2/3; preclinically as proposed; and clinically under Sections 2.5.2 and 2.5.6. Each part should summarize briefly the conclusions from the other sections (in the clinical summary, antigenicity should go under either Section 2.7.4.3 or Section 2.7.4.4 and in the clinical summary, “AEs of special interest” and “Mortality and Hospital Readmission” should go under Section 2.7.4.2.1.4 (Other significant AEs). No, for the moment, the CTD does not foresee any separate section combining all the comparability data.*

Should the preclinical and clinical summary sections of the CTD be included in applications for generic drug approvals? More specifically, are Module 4 and 5 of the CTD applicable to Abbreviated New Drug Applications (ANDA) in the US and Abridged Marketing Authorization applications in the EU? Both categories of applications apply to generic drug applications, which ordinarily provide preclinical and clinical data based on available literature. The CTD provides a format for the submission of information to regulatory authorities. It does not define content. Please refer to region-specific requirements to determine content requirements for the specific submission type.

On the basis of corporate identity, we use the font style “Arial” for all of our documents. Can we use the font style “Arial” for CTDs, or do we have to use “Times New Roman” style to match the recommendation for

narrative texts according to the Guidance for Industry “Organization of the CTD”? “Times New Roman 12 point” is recommended for use in the CTD. This corresponds to MS Mincho, 10.5 point for the text written in Japanese.

Can the CTD be in any language (e.g., Japanese, German, French, English)? Is it limited to a single language? The CTD does not address this issue. Please refer to regional guidance.

With regard to the changes regarding numbering and section headers (September 11–12, 2002), are the details in brackets (e.g., name, manufacturer or name, dosage form) only for use in eCTD format or for paper files also? Headers and page numbering: What is your guidance for externally produced documents, for example chromatograms, CTD format DMF, regarding page numbering and appropriate headers? Are there allowances regarding these documents with regard to pagination and headers, that is, are we allowed to submit them in the relevant document without a header or page number? Tab: Do Tabs have to be printed? Do we have to use the full title with the number string on the tab? This is very difficult if the title is long. These changes in recommendation apply to all CTD/eCTD submissions. Please refer to the CTD General Q & As No. 5 on the ICH Web site. Tabs should be printed for a paper submission. Tab abbreviations are acceptable.

Is there a difference in the level of analysis in the non-clinical overview and the clinical overview in Module 2? Is there a difference between “critical analysis” (nonclinical overview) and “critical assessment” (clinical overview)? Please refer to the general guidance for both the nonclinical and clinical overviews. The level of analysis does not differ between these two overviews. The guidance describes the information that should be included in the “critical and integral” assessment/analysis in both overviews.

Is the term “section,” defined in the CTD? Is there a different use of the term in Module 2 and 3? Do the ICH regions define sections differently? Each section in the CTD is identified by a number and a heading. Please refer to the Granularity Document Annex for description documents to be provided in each section. The annex delineates when multiple documents per heading may be provided. Also, refer to regional guidance as to when one or multiple documents should be provided per heading.

Does the deadline for mandatory submission of the CTD in Japan, the EU, and the US (highly recommended in the US) also refer to eCTD? Has ICH considered planning a seminar to help with CTD and eCTD submissions? The deadline does not refer to the eCTD although the regulatory authorities are accepting eCTD submissions. Please refer to regional guidance for specific guidance on eCTD submissions. Currently the ICH is not planning to conduct a CTD seminar. However, the ICH6 Conference, November 2003 in Osaka Japan, will focus on the CTD and eCTD.

Has the DTD reached its final stage of approval in the ICH process? The eCTD DTD has reached step 5 in the ICH process, which is the implementation step.

Is there a definition of which attachments should be included in the CTD? It is not suggested that additional attachments be included in the CTD.

Does ICH recommend any particular comprehensive training course on the implementation of the CTD? No, there are no general ICH recommendations for training on CTD implementation.

Is it permitted to add the applicant’s logo either on top of the CTD, or in the titles of CTD sections? The applicant is free to put his logo on top of the CTD. However, logos are not acceptable in CTD sections’ titles. (The latter have been harmonized internationally; therefore, applicants are not allowed to modify them.)

Will a Herbal Products version of the CTD be published and how much will it vary from the pharmaceutical CTD? ICH does not plan to issue any specific version of the CTD for Herbal Products.

The CTD specifies many section headings and numbers. Could guidance be provided for all modules on headings in relation to document location and the section headings within those documents? Could guidance also be provided on where in the CTD and eCTD multiple documents can be located in the hierarchy? As a consequence of this definition, could guidance be given on how documents should be paginated and on what the module Table of Contents should therefore include? Please refer to the Annex of the Organization of the Common Technical Document: “Granularity Document.”

Is there a separate format for amendments/variations submitted in CTD format or should applicants use the CTD format as it is now? If used as it is now, is it enough to simply indicate whatever modules are not used? The CTD structure is suitable for amendments and variations (refer to regional guidance for applicabilities). The applicant should not submit the modules that are not used, that is, it is unnecessary to include “not applicable” pages against unused CTD headings.

2 QUESTIONS REGARDING LOCATION ISSUES

Introduction: This document is intended to provide additional guidance for the preparation of an application file in the CTD-Q format (see Section 2 General Issues). It should be read in conjunction with the CTD-Q guideline (Modules 2 and 3). The document also addresses the relationship between linked CTD-Q sections for certain parameters, such as polymorphism, impurities, or particle size (see Section 3 Associated Information Located in Different Sections). This document also clarifies location issues; that is, it indicates in which CTD-Q section(s), requested information should be placed (see Section 4 Location Issues in Drug Substance, Section 5 Location Issues in Drug Product, and Section 6 Location Issues in Appendices). This document does not address the content of an application file. For content questions, refer to regional guidance.

General Issues

Separate or Repeated Sections. There can be a number of instances where repeated sections can be considered appropriate. Whenever a section is repeated, it should be made clear what the section refers to by creating a distinguishing title in parentheses following the CTD-Q heading, for example, Section 2.3.S Drug Substance (Name, Manufacturer A).

Drug Substance. When more than one drug substance is used in a drug product, information should be presented separately as one complete Drug Substance section followed by other complete Drug Substance sections. In some cases, for a single drug substance, it could be considered appropriate and logical to have information presented in multiple Drug Substance sections. For example, separate sections can be warranted when a single drug substance is made at two different manufacturing sites with differences in the manufacturing processes. However, despite these differences, it is likely that these different processes will be described within the same relevant subsection of Section 3.2.S. If, on the other hand, the differences result in, for example, different specifications, then adding an additional Drug Substance section is recommended (see also Regional Guidance).

Drug Product. Depending upon regional requirements, different drug product presentations (e.g., strengths, container closure types and configurations, formulations) and/or manufacturing schemes (e.g., aseptic and terminal sterilization) can be submitted in the same dossier. In general, when a single dossier can be submitted, information for each of the product presentations and manufacturing schemes should be combined and presented together in one Drug Product section, with information for each of the product presentations and manufacturing schemes provided in the Appendices and Regional Information sections, as warranted. For example, if 100-mg tablets will be marketed in a bottle and a unit-dose blister package, the information should be presented in one Drug Product section. Where most of the quality information would be identical for the two drug products, the data common to both presentations should appear only once. The information that differs between the two should be presented as separate documents under the appropriate subsections (e.g., Section 3.2.P.7 Container Closure System, Section 3.2.P.8 Stability). In some cases, however, for product presentations or manufacturing schemes that can be included in a single dossier, it is considered more appropriate and logical to have information presented separately. Information presented separately means one complete Drug Product section followed by other complete Drug Product sections. One such example is that information on a drug product supplied with a reconstitution diluent should be presented in separate Drug Product sections for the drug product and the reconstitution diluent. These could be titled Section 3.2.P (Drug Product) and Section 3.2.P (Diluent).

Excipients. If appropriate, where a novel or noncompensial nonnovel excipient is proposed and a significant amount

of data is provided for the excipient, this information should be provided in Section 3.2.A.3 Excipients, which follows the same format and level of subsections as the Drug Substance section. There should be a complete section of Section 3.2.A.3 Excipients for each novel excipient, or noncompensial non-novel excipient.

Appendices. There can be occasions where it is appropriate to repeat an Appendix. For example, where a sponsor registers more than one manufacturing facility for the manufacture of a “Biotech” drug, the Appendix 3.2.A.1 should then be repeated.

Regional Information. The content of the Regional Information section (Section 3.2.R) is not harmonized. In this section the documents, their titling and their order should be consistent with the requirements of the relevant region.

Multiple Containers. When there are two containers (e.g., PVC blister and PE bottle) for one drug product, the documents for the drug product part in Module 3 should generally be common. In this case, one set of documentation, Sections 3.2.P.1 through 3.2.P.8, should be provided. The information for the blister and the bottle should be presented in the corresponding sections of the single drug product part in Module 3 (e.g., Section 3.2.P.7, Section 3.2.P.8), divided by subsections for each type of container and identified by the type of container.

Bioanalytical Methods. In the CTD, under what section should bioanalytical methods and their associated validation reports be included? In this context, bioanalytical methods are understood to mean analytical procedures used in clinical studies (human clinical pharmacology/bioavailability/bioequivalence) and/or nonclinical studies (nonhuman pharmacology/toxicity studies). The description of analytical procedures and associated validation reports should be submitted in those modules where the corresponding studies are described (i.e., in Module 4, Section 4.2.2.1 for analytical procedures and associated validation reports for nonclinical studies and in Module 5, Section 5.3.1.4 for analytical procedures and associated validation reports used in clinical studies).

Drug Master Files (DMFs). Can the Drug Master File use the CTD format? Since the DMF systems differ in the three regions, ICH does not address this issue. Consequently, the applicant should check with the relevant competent authority in the region(s).

Drug Substance Containing Additives. If a drug substance is used in the form of a preparation (e.g., a [commercially available] vitamin trituration) in which module/section should the excipient(s) included in the preparation be described? Should the relevant information be given for example in Section 3.2.S Drug Substance or in Section 3.2.P.4 Drug Product—Control of Excipients? If the drug substance is defined as two or more materials, the manufacturing information would be described in Section 3.2.S.2.2 and the control of the additional material(s) [e.g., excipient(s)] would be described in Section 3.2.S.2.3.

3 ASSOCIATED INFORMATION LOCATED IN DIFFERENT SECTIONS

Below, examples of multiple references in CTD-Q are proposed for polymorphism, particle size, and impurities. They indicate for some parameters that the information should not necessarily be located in one section, but should be split into different sections.

3.1 POLYMORPHISM

- 3.2.S.1.3 If called for, list the polymorphic form(s) present in the proposed active as a characteristic of the drug substance.
- 3.2.S.2.2 Description of manufacturing process and process controls should indicate which polymorphic form is synthesized.
- 3.2.S.3.1 Studies performed to identify the potential polymorphic forms of the drug substance, including study results. Total number of polymorphs should be listed here and those intended to form the active should be summarized in Section 3.2.S.1.3.
- 3.2.S.4.1 Specification. If a polymorph is to be defined or limited, it should be discussed here.
- 3.2.S.4.2 Analytical procedures.
- 3.2.S.4.3 Validation of analytical procedures.
- 3.2.S.4.4 Results of batch analyses.
- 3.2.S.4.5 Justification of specification (if appropriate). Reasons as to why a particular limit on form is appropriate (should also probably refer to Section 3.2.P.2).
- 3.2.P.2.1.1 and 3.2.P.2.2.3 Identifies the influence of polymorphism on the drug substance and dosage form.
- 3.2.P.5.1 Specification. If polymorphs are to be controlled in the drug product, they should appear here.
- 3.2.P.5.6 Justification of specification (if called for).

3.2 PARTICLE SIZE

- 3.2.S.2.2 Description of manufacturing process and process controls.
- 3.2.S.3.1 Studies performed to identify the particle size distribution of the drug substance.
- 3.2.S.4.1 Specification.
- 3.2.S.4.2 Analytical procedures.
- 3.2.S.4.3 Validation of analytical procedures.
- 3.2.S.4.4 Results of batch analyses.
- 3.2.S.4.5 Justification of specification.
- 3.2.P.2.1.1 and 3.2.P.2.2.1 Identification of the influence of particle size on, for instance, dissolution performance (consult the ICH Q6A Decision Tree).

3.3 IMPURITIES

- 3.2.S.3.2 Here, the discussion on impurities and information on their qualification should take place (reference to preclinical and clinical studies): For example, the absolute amount at which the impurities can be considered as qualified.
- 3.2.S.4.1 Specification.
- 3.2.S.4.2 Analytical procedures.
- 3.2.S.4.3 Validation of analytical procedures.
- 3.2.S.4.4 Results of batch analyses (all batches including development, clinical, stability).
- 3.2.S.4.5 Justification of specification.
- 3.2.P.5.1 Specification.
- 3.2.P.5.2 Analytical procedures.
- 3.2.P.5.3 Validation of analytical procedures.
- 3.2.P.5.4 Results of batch analyses (all batches including development, clinical, stability).
- 3.2.P.5.5 Characterization of impurities (for those impurities not already discussed under Section 3.2.S).
- 3.2.P.5.6 Justification of specification.

3.4 NEW LOCATION OF QUALITY INFORMATION FOR INVESTIGATIONAL FORMULATIONS

How does the CTD link information on drug substance batch numbers, drug product batch numbers, nonclinical and clinical study numbers, the levels of impurities, history of formulation development, and any other relevant information? Please clarify the assignment of this information to the nonclinical and clinical sections. The history of development for the drug substance should be included in Section 3.2.S.2.6. A description of batches and the result of batch analyses should be included in Section 3.2.S.4.4. The history of formulation development should be included in Section 3.2.P.2.2.1. A description (including a summary table) of batches and the results of batch analyses for the drug product should be included in Section 3.2.P.5.4. This information on the history of development and description of batches can also be linked to the impurity levels of batches described in Sections 3.2.S.3.2 and 3.2.P.5.5. Appropriate references to Modules 4 and 5 for the nonclinical and clinical studies can also be made.

3.5 WHERE WOULD THE INFORMATION RELATED TO NONVIRAL ADVENTITIOUS AGENTS BE PLACED WITHIN MODULE 3.2?

The following guidance supersedes the first sentence under Section 3.2.A.2 for nonviral adventitious agents: The detailed information regarding the routine manufacturing control of adventitious agents, such as bacteria, mycoplasma, and fungi, typically using well-established (e.g., pharmacopoeial) analytical procedures, should be provided in the appropriate sections within Modules 3.2.S and 3.2.P. If well-established (e.g., pharmacopoeial) analytical procedures are not used, more detailed information regarding the analytical procedure(s) used should also be included in Modules 3.2.S and 3.2.P. With respect to other nonviral adventitious agents, such as transmissible spongiform encephalopathy agents and prions, the detailed information, should be placed in Section 3.2.A.2.

3.6 LOCATION ISSUES QUESTIONS IN DRUG SUBSTANCE: 3.2.S

CTD-Q Section 3.2.	Issues/Questions	Answers
S.1 General Information		
S.1.1 Nomenclature		
S 1.2 Structure		
S.1.3 General Properties	<p>Should drawings to show secondary and tertiary structures and, if applicable, quaternary structures of proteins be provided in Section 3.2.S.1.2?</p> <p>How much detailed information on the general properties of the drug substance should be included in Section 3.2.S.1.3?</p>	<p>Drawings to show secondary and tertiary structures and, if applicable, quaternary structures should be provided in Section 3.2.S.3.1.</p> <p>As stated in CTD-Q, a list of physicochemical and other relevant properties of the drug substance, including biological activity, should be included in Section 3.2.S.1.3. The information on general properties should be provided only for the form of the drug substance used in the drug product, not possible alternative forms (e.g., polymorphs). More detailed information on the properties of the drug substance, including possible alternative forms, should be included in Section 3.2.S.3.1.</p>
S.2 Manufacture		
S.2.1 Manufacturers		
S.2.2 Description of the Manufacturing Process and Process Controls	<p>Should information on process controls be provided in Section 3.2.S.2.2 or 3.2.S.2.4?</p> <p>Should the discussion and justification of starting materials be included in Section 3.2.S.2.3?</p> <p>Where should analytical procedures for materials described in Section 3.2.S.2.3 be included?</p> <p>Since the addition of new headings is not an option, where in the CTD should one locate (Quality Section) information regarding a reagent used in the production of the drug substance when the reagent is manufactured via recombinant DNA technology?</p>	<p>All process controls should be identified in Section 3.2.S.2.2. For critical controls, additional information should be provided in Section 3.2.S.2.4. The discussion and justification of starting materials should be included in Section 3.2.S.2.3.</p> <p>The analytical procedures for the control of materials (e.g., starting materials, reagents, raw materials, solvents) should be presented in Section 3.2.S.2.3.</p> <p>For materials of biological origin, analytical procedures related to adventitious agent safety evaluation, if applicable, should be presented in Section 3.2.A.2.</p> <p>The information should be located in Section 3.2.S.2.3: "Control of Materials."</p>
S.2.3 Control of Materials		
S.2.4 Control of Critical Steps and Intermediates	<p>Should batch data for intermediates or critical steps be included in Section 3.2.S.2.4?</p> <p>If release tests are performed on intermediates and at critical steps instead of on drug substance, where would the information on the analytical procedures and acceptance criteria be presented in Section 3.2.S.4?</p> <p>Where should justification for reprocessing be included?</p>	<p>Batch data, together with analytical procedures and acceptance criteria for intermediates or critical steps, would be presented in Section 3.2.S.2.4.</p> <p>Acceptance criteria should be referred to in Section 3.2.S.4.1 and analytical procedures should be referred to in Section 3.2.S.4.2.</p> <p>If justification for reprocessing is warranted by a regional authority, the information would be included as part of the description of the manufacturing process in Section 3.2.S.2.2. If there are critical controls associated with the reprocessing operation, the critical controls should be included in Section 3.2.S.2.4. If validation information is warranted, the validation information should be included in Section 3.2.S.2.5.</p>
S.2.5 Process Validation and/or Evaluation		

(Continued)

CTD-Q Section 3.2.	Issues/Questions	Answers
S.2.6 Manufacturing Process Development		
S.2.6.1 Manufacturing Process Development	Should bioavailability/bioequivalence study results that demonstrate product comparability following process changes be described in Section 3.2.S.2.6?	Reports of Bioavailability/Bioequivalence studies that demonstrate comparability/equivalence after formulation or process changes should be presented in Module 5. Cross-references to these reports should be placed in Section 3.2.S.2.6 (for drug substance process changes), Section 3.2.P.2.2.1 (for drug product formulation changes), or Section 3.2.P.2.3 (for drug product process changes). A brief summary of the reports can be placed in these sections when considered appropriate.
S.3 Characterization		
S.3.1 Elucidation of Structure and Other Characteristics	Where should studies conducted to determine the physicochemical characteristics of the drug substance be included?	Information on the studies conducted to determine the physicochemical characteristics of the drug substance should be included in Section 3.2.S.3.1. Only a list of the general properties of the drug substance should be included in Section 3.2.S.1.3.
S.3.2 Impurities	Should structural characterization data and a summary of the method of preparation of impurities be included in Section 3.2.S.3.2? Where should chromatograms be provided for impurities? Where should nonclinical and clinical data supporting impurity levels be summarized? Should data on impurities reported in batch analyses be included in Section 3.2.S.3.2 or Section 3.2.S.4.4?	This information should be included in Section 3.2.S.3.2. Characterization of impurity reference standards should be provided in Section 3.2.S.5. See also Q&A under Section 3.3. ICH Q3A identifies the chromatograms as part of the analytical validation studies. Therefore, relevant chromatograms should be included in Section 3.2.S.4.3. The qualified level of each impurity with cross-reference to the supporting nonclinical/clinical studies should be included in Section 3.2.S.3.2. Data on observed impurities for relevant batches (e.g., clinical, nonclinical, stability) should be provided in Section 3.2.S.3.2. The data should be provided whether or not the impurity is included in the specification. This information can be cross-referenced to support other sections of the dossier as appropriate.
S.4 Control of Drug Substance		
S.4.1 Specification	If there are different specifications for a drug substance manufacturer and/or applicant, should they all be provided in Section 3.2.S.4.1? If alternative analytical procedures are used to control the drug substance, should they also be listed in the specification (Section 3.2.S.4.1)?	When appropriate, more than one specification should be included in Section 3.2.S.4.1. Any analytical procedure used to control the drug substance, and the associated acceptance criteria, should be listed in the specification.
S.4.2 Analytical Procedures	Often an analytical procedure changes during the development of the drug substance. If this analytical procedure is submitted to support the dossier, in which section should these analytical procedures be placed? Should an analytical procedure that is only used for stability studies be included in Section 3.2.S.4.2? If the analytical methods for a drug substance and drug product are identical, can these methods and corresponding validation, if applicable, be described in either Module 3.2.S or Module 3.2.P, with a corresponding reference (e.g., a reference from 3.2.S to 3.2.P)?	Information on historical analytical procedures used to generate data included in the batch analyses should be included in Section 3.2.S.4.4. Information on analytical procedures that are used only for stability studies should be included in Section 3.2.S.7.3. The analytical methods should be placed in both the relevant sections of Modules 3.2.S and 3.2.P, because the sample preparation, at least, will differ.
S.4.3 Validation of Analytical Procedures	Where should chromatograms be included?	Relevant chromatograms should be included in Section 3.2.S.4.3.

(Continued)

CTD-Q Section 3.2.	Issues/Questions	Answers
S.4.4 Batch Analyses	Where should results from all relevant batches be provided? If there are results from tests that are not listed in the specifications, where should they be provided? Where should collated data for a test from multiple batch analyses be presented?	Results from all relevant batches (e.g., clinical, nonclinical, stability), including those batches used to justify acceptance criteria should be provided in Section 3.2.S.4.4. If results are submitted from tests that are not listed in the specification, they should be provided in Section 3.2.S.4.
S.4.5 Justification of Specification	Should justification for skip testing be included in Section 3.2.S.4.5? Rather than repeating information, can a summary of data from other sections with a cross-reference to the detailed information be provided to support the justification of specification section of the dossier?	If skip testing is considered appropriate, the justification should be included in Section 3.2.S.4.5. A summary of data from other sections with a cross-reference to the detailed information can be provided to support the justification of specification.
S.5 Reference Standards or Materials	Reference standards might be available for the active moiety and impurities. Should information on all reference standards be included in Section 3.2.S.5? Where should characterization data for a reference standard be placed in the CTD-Q?	If information is warranted for a reference standard, the information should be included in Section 3.2.S.5. Characterization data for the reference standard should be included in Section 3.2.S.5. Cross-reference to information in other sections (e.g., Section 3.2.S.3.2) can be included as considered appropriate.
S.6 Container Closure System		
S.7 Stability		
S.7.1 Stability Summary and Conclusions		
S.7.2 Postapproval Stability Protocol and Stability Commitment		
S.7.3 Stability Data	Should stress studies be located in Section 3.2.S.7.3? Should information on any changes in analytical procedures over the course of generating stability data be included in Section 3.2.S.7.3? Can data from supporting studies be included in Section 3.2.S.7.3? Should information on analytical procedures unique to the stability program be presented in Section 3.2.S.7.3?	Stress studies should be located in Section 3.2.S.7.3. These data can be referenced for validation of analytical procedures as considered appropriate. Information on historical analytical procedures used to generate the stability data should be included in Section 3.2.S.7.3. Data from supporting studies can be included in Section 3.2.S.7.3, if considered appropriate. Information on analytical procedures unique to the stability program should be included in Section 3.2.S.7.3.

4 LOCATION ISSUES IN DRUG PRODUCT: 3.2.P

CTD-Q Section 3.2.	Issues/Questions	Answers
P.1 Description and Composition of the Drug Product	<p>Where should information related to the composition of inks used on the drug product be placed?</p> <p>Where should information on reconstitution diluents be included?</p> <p>Should an overfill be indicated in Section 3.2.P.1?</p> <p>Can information on the composition of a drug product, other than what is listed in the CTD-Q guideline, be included in Section 3.2.P.1?</p>	<p>1. All drug product components should be listed in Section 3.2.P.1. The composition (e.g., components of the capsule shell, components of inks) should also be included in Section 3.2.P.1. In some regions, the qualitative composition of proprietary components can be replaced with reference to appropriate DMFs.</p> <p>2. If the diluent is copackaged with the drug product, the information on the diluent should be placed in a separate Drug Product section. The compatibility of the drug product with reconstitution diluents should be discussed in Section 3.2.P.2.6.</p> <p>3. The use of an overfill should be indicated in Section 3.2.P.1. The rationale for an overfill should be indicated in Section 3.2.P.2.2.1.</p> <p>4. When called for, additional information can be included to adequately describe the composition of the drug product, for example, (1) total weight, volume, etc., of unit, (2) tracers or markers, (3) composition statement for (purchased) mixtures, and (4) capsule shells.</p>
P.2 Pharmaceutical Development		
P.2.1 Components of the Drug Product	<p>Where should information on the development of copackaged diluents be placed?</p>	<p>There should be a separate Drug Product (Diluent) section for copackaged diluents. Choice and development of copackaged diluents should be included in Sections 3.2.P.2.2.1 and 3.2.P.2.6.</p>
P.2.1.1 Drug Substance	<p>Where should a discussion of the drug substance stability or key physicochemical characteristics that might influence the manufacturing process of the drug product be provided?</p> <p>Where should a discussion of the effect of modification of active moiety (e.g., salt) on key drug substance physicochemical characteristics be provided?</p> <p>Where should data from studies on drug product to evaluate the potential effect of key drug substance physicochemical characteristics be provided?</p>	<p>Drug substance stability data should be included in Section 3.2.S.7 and cross-referenced as needed in Section 3.2.P.2 as appropriate.</p> <p>Discussion of key drug substance physicochemical characteristics that can influence manufacturability of the drug product should be included in Section 3.2.P.2.1.1.</p> <p>Discussion of effect of modification of active moiety (e.g., salt) on key drug substance physicochemical characteristics should be included in Section 3.2.P.2.1.1.</p> <p>Data from studies on drug product to evaluate the potential effect of key drug substance physicochemical characteristics should be provided in Section 3.2.P.2.1.1 [see ICH Q6A Decision Trees 3 and 4 (Part 2)].</p>
P.2.1.2 Excipients	<p>Should justification for using an excipient if there is evidence of incompatibility be included in Section 3.2.P.2.1.1 or Section 3.2.P.2.1.2?</p> <p>Where should a discussion of an excipient's influence on the manufacturability of the drug product be included?</p> <p>Where should a discussion of the ability of a functional excipient to perform through shelf-life be included?</p>	<p>Justification for using an excipient, if there is evidence of incompatibility should be included in Section 3.2.P.2.1.1</p> <p>Discussion of excipients that can influence the manufacturability of the drug product should be included in Section 3.2.P.2.1.2.</p> <p>Discussion of the ability of functional excipients (e.g., antioxidants, penetration enhancers) to perform through shelf life should be included in Section 3.2.P.2.1.2. The effectiveness of antimicrobial preservatives should be discussed in Section 3.2.P.2.5.</p>

(Continued)

	Issues/Questions	Answers
CTD-Q Section 3.2.		
P.2.2 Drug Product	Where should tables that describe the composition of formulations used in development studies be included?	Tables describing different development formulations should be included in Section 3.2.P.2.2.1.
P.2.2.1 Formulation Development	Where should information on in vivo–in vitro (IV–IV) correlation be included in CTD-Q?	Summarized information on the IV–IV correlation should be included in Section 3.2.P.2.2.1 with a cross-reference to the studies in Module 5.
	Can cross-reference be made to bioequivalence information in other modules? Where should information to justify a scoring of tablets be included?	Cross-referencing to both Modules 2 and 5 can be included to facilitate the review process.
	Should the release mechanism of the dosage form for controlled release drug products be described in Section 3.2.P.2.2.1?	The rationale/justification for scoring of tablets should be provided in Section 3.2.P.2.2.1.
	Where should overages be justified?	Description of the release mechanism in the dosage form for controlled release drug products should be included in Section 3.2.P.2.2.1.
P.2.2.2 Overages	Where should any discussion on dissolution development be included?	Justification for overages should be included in Section 3.2.P.2.2.2.
P.2.2.3 Physicochemical and Biological Properties	Where should a discussion of the key drug product physicochemical or biological characteristics that might influence the manufacturing process of the drug product be provided?	5. A summary of dissolution development should be included in Section 3.2.P.2.2.3, with cross-reference to studies in Module 5, as considered appropriate. The justification for the dissolution test should be included in Section 3.2.P.5.6.
	Where should data from studies on the potential effects of key drug substance physicochemical characteristics on the performance of the drug product be provided?	6. A discussion of key drug product physicochemical or biological characteristics that can influence manufacturability of the drug product should be included in Section 3.2.P.2.2.3.
	Where should justification of sterilization be provided? What information on clinical trial formulations should be included in Section 3.2.P.2.3?	7. Data from studies on drug product to evaluate the appropriateness of the drug product acceptance criteria for physicochemical/biological properties should be included in Section 3.2.P.2.2.3 [see ICH Q6A Decision Trees 4 (Part 3) and 7 (Part 1)].
P.2.3 Manufacturing Process Development		1. When called for, justification of sterilization should be included in Section 3.2.P.2.3.
		2. Information on clinical trial formulations should be included in Section 3.2.P.2.2.1. Information on the differences in the manufacturing process among supporting batches (e.g., clinical, stability) and the proposed production process should be included in Section 3.2.P.2.3.
P.2.4 Container Closure System	1. Should information on container closure system leachables and extractables be included in Section 3.2.P.2.4?	1. Information on both should be included in Section 3.2.P.2.4. When warranted, information on leachables should also be included in Sections 3.2.P.5.1 and 3.2.P.5.5. Also, if leachables are confirmed through shelf life as part of the formal stability studies, the results would be reported in Section 3.2.P.8.3.
	2. Where should performance characteristics of a container closure be provided?	2. Information on performance of the container closure system should be included in Section 3.2.P.2.4 (e.g., priming and repriming studies for metered dose inhalers).
	3. Where should information on studies relating to cleaning of metered dose inhalers be included?	3. Information on cleaning of metered dose inhalers should be included in Section 3.2.P.2.4.
	4. Where should information on the light protection characteristics of the container closure be provided?	4. Suitability of the container closure system to protect from light (e.g., light transmission data) should be discussed in Section 3.2.P.2.4. Photostability data should be provided in Section 3.2.P.8.3 (defined as a stress study in Q1A/Q1B).

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Issues/Questions	Answers
<p>CTD-Q Section 3.2. P.2.5 Microbiological Attributes P.2.6 Compatibility</p>	<p>Discussions relating to ICH Q6A Decision Tree #6 (nonsterile drug substance and excipients) and Decision Tree #8 (nonsterile solid) should be provided in Section 3.2.P.2.5.</p> <ol style="list-style-type: none"> Information on the compatibility of reconstitution diluents to support claims on the label should be included in Section 3.2.P.2.6. Data from constitution or dilution studies that are performed as part of the formal stability studies to confirm product quality through shelf life should be reported in Section 3.2.P.8.3. Compatibility with coadministered drugs should be included in Section 3.2.P.2.6. Information on incompatible diluents should be provided in Section 3.2.P.2.6.
<p>P.3 Manufacture P.3.1 Manufacturer(s) P.3.2 Batch Formula P.3.3 Description of Manufacturing Process and Process Controls</p>	<p>Overages should be included in the batch formula in Section 3.2.P.3.2.</p> <ol style="list-style-type: none"> Reprocessing should be included as part of the description of the manufacturing process in Section 3.2.P.3.3. If there are critical controls associated with the reprocessing operation, the critical controls should be included in Section 3.2.P.3.4. If validation information is warranted, the validation information should be included in Section 3.2.P.3.5. All process controls should be identified in Section 3.2.P.3.3. For critical controls, additional information should be provided in Section 3.2.P.3.4. An overfill should be identified in Section 3.2.P.3.3. A statement regarding manipulation of ruminant-derived materials in the drug product manufacturing facility should be included here (Section 3.2.P.3.3). If a potential for cross-contamination with adventitious agents exists, additional information should be provided in Sections 3.2.A.1 and 3.2.A.2.
<p>P.3.4 Controls of Critical Steps and Intermediates</p>	<p>Detailed information should be provided in Section 3.2.P.3.4 for critical steps and all intermediates that are controlled.</p> <p>Critical process control values from relevant batches to support numeric ranges, limits, etc., for critical process controls should be included in Section 3.2.P.3.4.</p> <p>In Section 3.2.P.3.4, the same information should be provided for an in-process material test performed in lieu of a finished product test as that submitted for a finished product test (analytical procedure, methods validation information).</p> <p>If a process test takes the place of an end-product test, it should be listed in the specification (Section 3.2.P.5.1) and specified as a process test (see ICH Q6A).</p>
<p>Should discussion of Decision Tree 6 from ICH Q6A be included in Section 3.2.P.2.5?</p> <ol style="list-style-type: none"> Where should data from constitution or dilution studies performed as part of the formal stability studies to confirm product quality through shelf life be provided? Should compatibility of coadministered drugs be provided in Section 3.2.P.2.6? Should information on incompatible diluents be provided in Section 3.2.P.2.6? 	<p>Should overages be included in Section 3.2.P.3.2?</p> <ol style="list-style-type: none"> Where should reprocessing be described? Should critical steps and intermediates be identified in Section 3.2.P.3.3? Should an overfill be identified in Section 3.2.P.3.3? Should a statement regarding manipulation of ruminant-derived materials in the drug product manufacturing facility be included in Section 3.2.P.3.3?
<p>Should the detailed information on critical steps and intermediates that have been identified in Section 3.2.P.3.3 be included in Section 3.2.P.3.4? Should critical process control values from relevant batches be included in Section 3.2.P.3.4 to support numeric ranges, limits, etc., for the critical process controls? Where should information on the analytical procedures for an in-process material test performed in lieu of a finished product test be included? If a process test were to replace an end-product test, where would it be mentioned in the specification?</p>	<p>Should the detailed information on critical steps and intermediates that have been identified in Section 3.2.P.3.3 be included in Section 3.2.P.3.4? Should critical process control values from relevant batches be included in Section 3.2.P.3.4 to support numeric ranges, limits, etc., for the critical process controls? Where should information on the analytical procedures for an in-process material test performed in lieu of a finished product test be included? If a process test were to replace an end-product test, where would it be mentioned in the specification?</p>

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CTD-Q Section 3.2.	Issues/Questions	Answers
P.3.5 Process Validation and/or Evaluation P.4 Control of Excipients	If a significant amount of data for an excipient (e.g., a novel excipient or a noncompendial nonnovel excipient) needs to be provided, where would it be placed?	This information should be included in 3.2.A.3 excipients, if required. If only a minimal amount of information was necessary for these excipients (e.g., pharmacopoeial), this information should be provided in Section 3.2.P.4.1 and/or Section 3.2.P.2.1.2.
P.4.1 Specifications		
P.4.2 Analytical Procedures P.4.3 Validation of Analytical Procedures		
P.4.4 Justification of Specifications	Where should certificates of analysis or batch data for excipients be included?	Certificates of analysis or batch data for excipients should be included in Section 3.2.P.4.4.
	Can a summary of data from other sections with a cross-reference to the detailed information be provided, rather than repeating this information to support the Justification of Specifications section of the dossier?	A summary of data from other sections with a cross-reference to the detailed information can be provided to support the justification of specification.
P.4.5 Excipients of Human or Animal Origin	Where should information on excipients of human or animal origin be located?	Information on excipients of human or animal origin should be included in Section 3.2.P.4.5. Information on adventitious agent safety evaluation should be included in Section 3.2.A.2. For the location of certifications relating to TSE/BSE, see
P.4.6 Novel Excipients		
P.5 Control of Drug Product		
P.5.1 Specification(s)	Where should release and shelf life specifications be located?	Both specifications should be included in Section 3.2.P.5.1 (See also question for Section 3.2.P.8.1).
	If alternative analytical procedures are used to control the drug product, should they be listed in the specification (Section 3.2.P.5.1) also?	Any analytical procedure used to control the drug product, and the associated acceptance criteria, should be listed in the specification.
P.5.2 Analytical Procedures	Often an analytical procedure changes during the development of the drug product. If this analytical procedure is submitted to support the dossier, in which section should it be placed?	Information on historical analytical procedures used to generate data included in the Batch Analyses section should be included in Section 3.2.P.5.4.
	Should an analytical procedure that is only used for stability studies be included in Section 3.2.P.5.2?	Information on analytical procedures that are used only for stability studies should be included in Section 3.2.P.8.3. The analytical methods should be placed in both the relevant sections of Modules 3.2.S and 3.2.P because the sample preparation, at least, will usually differ.
	If the analytical methods for a drug substance and drug product are identical, can these methods and corresponding validation, if applicable, be described in either Module 3.2.S or Module 3.2.P, with a corresponding reference (e.g., a reference from Module 3.2.S to Module 3.2.P)?	
P.5.3 Validation of		
Analytical Procedures P.5.4 Batch Analyses	Should results from all batches be provided in Section 3.2.P.5.4?	Results from all relevant batches (e.g., clinical, nonclinical, stability), including those batches used to justify acceptance criteria, should be provided in Section 3.2.P.5.4. Information describing the batches should also be included in Section 3.2.P.5.4.
	If there are results from tests that are not listed in the specifications, where should they be provided?	If results are submitted from tests that are not listed in the specification, they should be provided in Section 3.2.P.5.4.
	Where should collated data for a test from multiple batch analyses be presented?	If collated data from batch analyses is warranted, the data should be presented in Section 3.2.P.5.4.

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CTD-Q Section 3.2.	Issues/Questions	Answers
P.5.5 Characterization of Impurities	Should all observed impurities be listed in Section 3.2.P.5.5 even if they are not included in the drug product specification?	All observed impurities should be listed. Justification for not including an observed impurity in the specification should be included in Section 3.2.P.5.6.
P.5.6 Justification of Specification(s) P.6 Reference Standards or Materials	Should justification for skip testing be included in Section 3.2.P.5.6? Can a summary of data from other sections with a cross-reference to the detailed information be provided to support the justification of the specification rather than repeating information? Reference standards might be available for the active moiety and impurities. Should information on all reference standards be included in Section 3.2.P.6?	If skip testing is considered appropriate, the justification should be included in Section 3.2.P.5.6. A summary of data from other sections with a cross-reference to the detailed information can be provided to support the justification of specification. Where considered appropriate, a reference standard cited in Section 3.2.S.5 can be cross-referenced in Section 3.2.P.6. Information on all other reference standards should be included in Section 3.2.P.6.
P.7 Container Closure System P.8 Stability P.8.1 Stability Summary and Conclusion	Should the shelf life specification be repeated under this section? Where should the design and justification for a reduced stability design (e.g., bracketing or matrixing) be discussed?	The shelf life specification should be indicated in Section 3.2.P.8.1. The design and justification for a reduced stability design should be included in Section 3.2.P.8.3.
P.8.2 Postapproval Stability Protocol and Stability Commitment P.8.3 Stability Data	Should stress studies be located in Section 3.2.P.8.3? Should information on any changes in analytical procedures over the course of generating stability data be included in Section 3.2.P.8.3? Can data from supporting studies be included in Section 3.2.P.8.3? Should information on analytical procedures unique to the stability program be presented in Section 3.2.P.8.3? Where should the statistical analysis of the stability data be included?	Stress studies should be located in Section 3.2.P.8.3. These data can be referenced for validation of analytical procedures as considered appropriate. Information on historical analytical procedures used to generate the stability data should also be included in Section 3.2.P.8.3. Data from supporting studies can be included in Section 3.2.P.8.3, if considered appropriate. Information on analytical procedures unique to the stability program should be included in Section 3.2.P.8.3. The detailed statistical analysis report, if included, should go in Section 3.2.P.8.3, and a summary or conclusions of the statistical analysis should go in Section 3.2.P.8.1.

5 LOCATION ISSUES IN APPENDICES: 3.2.A

CTD-Q Section 3.2.	Issues/Questions	Answers
A Appendices	If information for both the drug substance and the drug product should be included in an appendix (e.g., Section 3.2.A.1), how should it be presented? Should Section 3.2.A.3 be retitled from Novel Excipients to Excipients to include noncompendial nonnovel excipients?	If drug substance and drug product information is included in the appendices, then the preferred presentation is drug substance first and then drug product within each section, for example, Section 3.2.A.1 (Drug Substance, then Drug Product), then Section 3.2.A.2 (Drug Substance, then Drug Product), then Section 3.2.A.3 (Drug Substance, if applicable, then Drug Product). At ICH, the title of Section 3.2.A.3 was changed to Excipients (see Section 3.2.P.4) to include noncompendial nonnovel excipients.

6 SAFETY

Kinetics in pregnant animals and neonates are included in the PK section. Is it expected that these data will come from PK studies, or can they be from kinetics in the Segment 2 studies? The CTD-S guideline is not intended to indicate what studies are required. It merely indicates an appropriate format for the nonclinical data that have been acquired.

If a particular category of toxicology studies (e.g., carcinogenicity) is not conducted for a drug because of the nature of the drug (e.g., oncology agent), should the section heading be maintained in the CTD document with an explanation provided as to why these studies were not conducted, or should the heading section be deleted and subsequent sections renumbered? Section headings should be maintained in the CTD document and a brief explanation provided as to why these studies were not conducted.

Would a 3-month toxicity study that was needed to support clinical studies of 3-month duration, that was later replaced with a 9-month toxicity study, be considered “pivotal” and tabulated as in Table 2.6.7.7? Yes. There should be one table for each of the repeat-dose toxicity studies specified by ICH Guideline M3, as well as any other repeat-dose toxicity studies that could be considered pivotal.

Are only toxicologically significant changes, as considered by applicants, to be tabulated in CTD? Only noteworthy findings should be tabulated in CTD. These might include statistically significant differences from controls, as well as noteworthy findings that are not statistically significant.

Impurity Data Table in CTD-Safety—(1). Generally speaking, it is unlikely to have the finalized specification for related substances and their analytical method throughout drug development. Therefore, direct comparison of related-substance data between different stages of development would be very difficult, because of analytical method changes. One purpose of the “Drug Substance” table is to facilitate a review of the qualification of the specified impurities. If the analytical methods have changed, information on early batches may not be applicable for qualification of impurities. In this case, it is recommended to use footnotes in the “Drug Substance” table to identify the batches that are relevant to qualification of impurities.

Impurity Data Table in CTD Safety—(2). Should impurity-specification test results of test articles used in early

stage toxicology studies be included in CTD tables? Do test articles of non-GLP studies in the CTD need to have specification test data? There is no requirement to analyze the drug substance used in non-GLP studies. However, if such analyses have been conducted, the results should be included in the “Drug Substance” table.

A section for list of references of the nonclinical summary (2.6.8 or 2.6.2.8 plus 2.6.4.11 plus 2.6.6.11) is not covered in the guidance, unlike for the clinical summary and both nonclinical and clinical overview. Could you please provide clarity where in these summaries lists of references should be included? Applicants can place the list of references in the most appropriate location and create new subsection numbers as far as it facilitates the best possible understanding by the regulatory reviewers.

A number of studies in nonclinical PKs could appear more than one place in this section. Should we add non-clinical PK studies to all PKs sections? In such a case, the sponsor could either put that study report in the first place in the CTD module (i.e., Absorption section) and then cross reference to this study report in the remaining sections, or place the full study report in each adequate section. If submitting an eCTD, a sponsor needs not submit multiple files are not required. References to the one file should be provided.

The microbiology data will include both *in vitro* and *in vivo* studies. Where should the microbiology summary, overview, and study reports be included? The Microbiology data from both *in vitro* and *in vivo* studies should be included with the Efficacy information. The summary information should be provided in the appropriate section 2.7 Clinical Summary and the reports should be filed in section 5.3.5.4 Other Study Reports. In addition, the microbiology information can be described in the Nonclinical sections as appropriate.

The template for local tolerances (2.6.7.16) in M4S does not provide an example of a tabulated summary of a local tolerance. Is there one available? The template for 2.6.7.16 is the same as the template for 2.6.7.17. Therefore for an example of 2.6.7.16, please refer to the example of 2.6.7.17.

In the development of human monoclonal antibodies, part of the nonclinical development is to perform two cross-reactivity studies (1) animal species cross reactivity study and (2) human tissue cross reactivity study. The

animal species cross reactivity test is not really a toxicity study, and the human tissue cross reactivity study is not a study generally performed. We are in doubt where to place these in Module 4. Where should these studies be placed in Module 4? Under 4.2.3.7 Other toxicity studies? Applicants can place such studies in the most appropriate location in Module 4 in order to facilitate the best possible understanding by the regulatory reviewers. (*This can be the similar answer to the Question 8.*)

7 EFFICACY

Clinical study reports contained in Module 5 are cited in the Clinical Overview and/or the Clinical Summary in Module 2. Each clinical study report may be given a unique short name when cited. Does the method of citing and naming have to be uniform throughout all modules? We recommend that each study have a unique short identifier that is used consistently throughout the application. The applicant can select the identifier. The full title of the study is provided in the Tabular Listing of All Clinical Studies (section 5.2).

Definitions/Terminology: What is the definition of “Common Adverse Events” as used in the CTD? Guidance is provided by ICH E3 Guideline.

In the module 5 of the CTD, is it necessary to have a section number for each clinical study report in a certain section, or is it enough just to mention the title:

How many pages should a Clinical Summary be for an application that contains multiple indications (Section 2.7)? The estimated size of this document is 50 to 400 pages, assuming one indication. Applications that include multiple indications will be larger, reflecting the submission of multiple efficacy sections.

The Guideline provides “This section should also cross-reference important evidence from Section 2, such as data that supports the dosage and administration section of the labeling.” However, this Guideline also provides “Section 2.7.3.4. Analysis of Clinical Information Relevant to Recommended Dose.” Please specify how to differentiate the two sections “2.7.3.3” and “2.7.3.4.” Section 2.7.3.3 summarizes the data across all studies that characterize efficacy of the drug; Section 2.7.3.4 provides an integrated summary of the dose-response or blood concentration-response relationships of effectiveness. In both cases, supportive data from Section 2.7.2 can also be incorporated.

In the Guideline, a table is required to be generated to present the overall extent of drug exposure in all phases of the clinical development. Should the table include “patients alone” or “patients and healthy subjects?” The table should refer to all subjects exposed to at least one dose of the drug product. Appropriate subsets of subjects relevant to the proposed indications should also be identified and considered.

Where should information be described concerning the validity of extrapolation of foreign clinical safety data to a new region? Summaries of any bridging studies using

clinical end points [i.e., certain studies intended to evaluate the ability to extrapolate certain types of foreign clinical data to the new region (see ICH E5)] should be included in Section 2.7.3.2. Where appropriate, such information should also be described in the summarization of safety data as related to intrinsic and extrinsic ethnic factors (ICH E5), in Sections 2.7.4.5.1 and 2.7.4.5.2.

Finally, some applications might include in Section 5.3.5.3a detailed analysis of bridging, considering formal bridging studies, other relevant clinical studies, and other appropriate information. Such information should be included in that detailed analysis of bridging.

Where should the information on bioequivalence studies for a generic application be included? Bioavailability study reports should be included in Module 5 (Clinical documentation), under Section 5.3.1 “Reports of Biopharmaceutical Studies.” More specifically, reports of comparative Bioavailability/Bioequivalence studies should go under Section 5.3.1.2.

In Module 5, Section 5.2 is denoted as the “Tabular Listing of all Clinical Studies.” Is this section for a summary listing of all clinical studies in the submission, or it is for the listings of the individual study reports? In other words, should the listings from the appendices of the individual study reports be included here, rather than as an appendix to the CSR, or are these only listings that summarize all studies?

The tabular listing described in Section 5.2 is a listing of all clinical studies in the submission.

8 ISS/ISE

Does the CTD section on safety in Module 2 replace the section under 21 CFR 314.50(d)(5)(v, vi) calling for integrated summary of safety and effectiveness (ISS/ISE)? The ISS/ISE are critical components of the safety and effectiveness submission and are expected to be submitted in the application in accordance with the regulation. FDA’s Guideline for the Format and Content of Clinical and Statistical Sections of Application gives advice on how to construct these summaries. Note that, despite the name, these are integrated analyses of all relevant data, not summaries. The Clinical Safety sections of the CTD follow approximately the outline of the sections of the ISS/ISE, although they are somewhat modified by experience with ICH E-3 (Structure and Content of Clinical Study Reports). The CTD Clinical Overview and Summary in Module 2 will not usually contain the level of detail expected for an ISS. It may contain the level of detail needed for an ISE, but this would need to be determined on a case-by-case basis. If, the requirements of 21 CFR 314.50 can be met for a particular application by what is in the CTD Module 2 summary, then the CTD Module 2 section would fulfill the need for an ISS/ISE. In some cases it will be convenient to write much of what is needed in the CTD Module 2 with appropriate appendices in Module 5. In other cases, the ISS/ISE would be summarized in Module 2, with detailed reports in Module 5. Any questions about these matters can be raised with the reviewing division.

The microbiology data will include both in vitro and in vivo studies. Where should the microbiology summary, overview and study reports be included? The Microbiology data from both in vitro and in vivo studies should be included with the Efficacy information. The summary information should be provided in the appropriate Section 2.7 Clinical Summary and the reports should be filed in Section 5.3.5.4 Other Study Reports. In addition, the microbiology information can be described in the nonclinical sections as appropriate.

For a clinical variation application, is it mandatory to submit a clinical overview and a clinical summary, or is it acceptable to submit either only an overview or only a summary? What are the parameters/conditions to be taken into account for choosing one or the other approach? Since variation is a term from the EU regulations, the answer should be provided by the EMEA.

What approach should applicants take for the formatting and presentation of their integrated analyzes when they have large amounts of statistical output to present (several thousands of pages)?

As stated in Section 5.3.5.3 Reports of Analyses from More Than One Study, where the details of the analysis are too extensive to be reported in a summary document, for example, section Clinical Summary 2.7, they should be presented in a separate report. Such report should be placed in Section 5.3.5.3.

It is stated in the CTD that the section should be indicated in cross-strings. What is meant here: The section number, or the section number and section name? (The section name is in many cases too long to indicate in a cross-string.) Providing the section header in addition to the section number improves the clarity of the reference, particularly for the uninitiated reader. To reduce the length of the cross-string while maintaining the ease of use, it is recommended to include only the section number in the cross-string and write the text so the reader will also know the section content. For example, “. . . as seen in the population PK study 101 (5.3.3.5)” helps the reader to find the referenced study report under the Population PK Study Reports section. The text “. . . no safety problems were noted in the uncontrolled pneumonia study 101A (5.3.5.2)” helps the reader find the referenced study report under the section Study Reports of Uncontrolled Clinical Studies for the Pneumonia indication.

Section 2.5 Clinical Overview and Section 2.5.5 Overview of Safety both refer to an assessment of the limitations of the safety database but give few details on how to describe them. How should these limitations be described? In addition, there is no specific reference to any postmarketing steps the applicant can take to remedy those limitations. Where should a discussion of any postmarketing pharmacovigilance and other postmarketing study plans go? A fuller discussion of how to describe in the CTD the limitations of the safety database and the potential implications for the safety of the drug when marketed is as follows:

- Nonclinical toxicology and safety pharmacology concerns, such as those arising from reproductive/developmental toxicity, carcinogenicity, hepatic injury, central nervous system injury, or effects on cardiac repolarization that are not fully resolved by available human data, or that arise from incomplete testing
- Limitations of human safety database, such as:
 - Patient selection criteria that excluded people who are likely to be candidates for treatment in medical practice
 - Evaluations that were deficient for certain purposes (e.g., many drugs with sedative properties are not evaluated for effects on cognitive function in the elderly)
 - Limited exposure of demographic or other subgroups, such as children, women, the elderly, or patients with abnormal hepatic or renal function
- Identified adverse events and potential adverse events that require further characterization or evaluation with respect to frequency and/or seriousness in the general population or in specific subgroups
- Important potential risks (e.g., known risks of pharmacologically related drugs) that require further evaluation
- Drug–drug interactions that have not been assessed adequately

Such information should be described and discussed in Section 2.5.5 Overview of Safety, with appropriate cross references to Section 2.7.4 Summary of Clinical Safety and any other relevant sections.

A discussion of any planned postmarketing activity or study to address the limitations of the premarketing safety database, should also be included in Section 2.5.5 Overview of Safety, with any protocols for specific studies provided in Section 5.3.5.4 Other Clinical Study Reports or other sections as appropriate (e.g., Module 4 if the study is a nonclinical study).

An ICH guideline (E2E Pharmacovigilance Planning) is being developed to further address the question of how to describe the safety data and its limitations and how to describe planned postmarketing activities and studies.

When submitting one dossier for multiple indications, how should the applicant present them in the clinical part of the registration dossier, for example Sections 2.5 Clinical Overview, 2.7.3 Summary of Clinical Efficacy and 5.3.5 Reports of Efficacy and Safety Studies? Section 2.5 Clinical Overview is recommended for multiple indications to be registered along with development rationale and cross-referencing to the corresponding Sections 2.7.3 and 5.3.5; the “benefit/risk” conclusions should support corresponding claimed indications. For Section 2.7.3 Summary of Clinical Efficacy, in the case of more than one indication, the following organization is recommended as applicable. The current

CTD numbering should be retained with identification of the indication, for example:

2.7.3.UTI	Summary of Clinical Efficacy
2.7.3.1.	UTI Background
2.7.3.2.	UTI Summary of Results of Individual Studies
2.7.3.3.	UTI Comparison and Analysis
2.7.3.3.1	UTI Study Population
2.7.3.3.2.	UTI Comparison of Efficacy Results
2.7.3.	Pneumonia Summary of Clinical Efficacy
2.7.3.1.	Pneumonia Background

Other sections follow the same organization where applicable.

For Section 5.3.5 Reports of Efficacy and Safety Studies, in case of more than one indication, the following organization is recommended as applicable. The current CTD numbering should be retained with identification of the indications, for example:

5.3.5.	UTI
5.3.5.1.	UTI Controlled Studies
5.3.5.2.	UTI Uncontrolled Studies
5.3.5.	Pneumonia
5.3.5.1.	Pneumonia Controlled Studies
5.3.5.2.	Pneumonia Uncontrolled Studies

Other sections follow the same organization, where applicable.

The CTD guidance for Section 2.7.4.1.1 Overall Safety Evaluation Plan and Narratives of Safety Studies states that narrative descriptions for studies that contributed both efficacy and safety should be included in Section 2.7.3.2 Summary of Results of Individual Studies and only referenced in the safety section. Please clarify whether the narrative to be included in Section 2.7.3.2 should include the safety results as well as “enough detail to allow the reviewer to understand the exposure . . . and how safety data were collected” or whether the results should be included in Section 2.7.4.1.1. In general, safety results should be described in Section 2.7.4.1.1, because Section 2.7.3 Summary of Clinical Efficacy is devoted to efficacy. To avoid the need to describe the same study twice, Section 2.7.3.2 asks

for a reasonably complete description of studies pertinent to both safety and efficacy, including, in study narratives, information about the extent of exposure of study subjects to the test drug and how safety data were collected. This approach is confirmed in Section 2.7.4.1.1, which notes that narratives for studies contributing both safety and efficacy data should be included in Section 2.7.3.2. As noted in Section 2.7.3.1 Background and Overview of Clinical Efficacy, however, any results of these studies that are pertinent to evaluation of safety should be discussed in Section 2.7.4 Summary of Clinical Safety.

According to ICH E3 Structure and Content of Clinical Study Reports, the case report forms should be located in Appendix 16.3, the individual patient data listings in Appendix 16.4 and the publications and literature references in Appendices 16.1.11 and 16.1.12 respectively. The CTD organization provides locations for case report forms and individual patient data listings in Module 5.3.7 and for literature references in Module 5.4. Can clarity be provided as to where these items should actually be placed in CTD and the eCTD submissions? For paper submissions, case report forms and individual patient data listings should be located in Module 5.3.7, identified by study. For eCTD, PDF files for case report forms and individual patient data listings should be organized by study in the folder for Module 5.3.7. However, in the *index.xml* file the leaf elements for the case report forms and individual patient data listings should be included under the same heading as other study report files with additional information included with any accompanying study tagging file. In addition, a repeat of the leaf element can be placed under Module 5.3.7 Case Report Forms and Individual Patient Data Listings. Datasets, if required by the region, should be organized according to regional guidance. For paper submissions publications and literature references should be located in Module 5.4. For eCTD, the files for publications and literature references should be located in the folder for Module 5.4. However, in the *index.xml* file the leaf elements for the publications and literature references should be included under the same heading as other study report files with additional information included with any accompanying study tagging file. In addition, a repeat of the leaf element should be placed under the heading for Module 5.4 Literature References.



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3 Process Validation: General Principles and Practices

I. INTRODUCTION

This guidance outlines the general principles and approaches that FDA considers to be appropriate elements of process validation for the manufacture of human and animal drug and biological products, including active pharmaceutical ingredients (API or drug substance), collectively referred to in this guidance as drugs or products. This guidance incorporates principles and approaches that manufacturers can use in validating a manufacturing process based on guidance principles listed in the references at the end of this chapter.

This guidance aligns process validation activities with the product lifecycle concept and with existing FDA guidance. The lifecycle concept links product and process development, qualification of the commercial manufacturing process, and maintenance of the process in a state of control during routine commercial production. This guidance promotes modern manufacturing principles, process improvement, innovation, and sound science, and applies to all drugs, human, veterinary, biological, finished products, and pharmaceutical and biological API, but is not relevant for dietary supplements, medical devices, type A medicated articles, and human transplant tissues.

This guidance also does not specifically discuss the validation of automated process control systems (i.e., computer hardware and software interfaces), which are commonly integrated into modern drug manufacturing equipment. This aspect is discussed elsewhere in another chapter. This guidance is relevant, however, to the validation of processes that include automated equipment in processing.

II. BACKGROUND

In the Federal Register of May 11, 1987 (52 FR 17638), FDA issued a notice announcing the availability of a guidance entitled “Guideline on General Principles of Process Validation” (the 1987 guidance). This guidance includes many changes to the original concepts of validation and includes FDA’s current thinking on process validation in concordance with the goals of FDA’s initiative entitled “Pharmaceutical CGMPs for the 21st Century—A Risk-Based Approach,” particularly with regard to the use of technological advances in pharmaceutical manufacturing, as well as implementation of modern risk management and quality system tools and concepts.

FDA has the authority and responsibility to inspect and evaluate process validation performed by manufacturers. The current good manufacturing practice (CGMP) regulations for validating pharmaceutical (drug) manufacturing require that

drug products be produced with a high degree of assurance of meeting all the attributes they are intended to possess [21 CFR 211.100(a) and 211.110(a)]. Effective process validation contributes significantly to assuring drug quality. The basic principle of quality assurance is that a drug should be produced that is fit for its intended use; this principle incorporates the understanding that the following conditions exist:

- Quality, safety, and efficacy are designed or built into the product
- Quality cannot be adequately assured merely by in-process and finished-product inspection or testing
- Each step of a manufacturing process is controlled to assure that the finished product meets all design characteristics and quality attributes including specifications

For purposes of this chapter, process validation is defined as the collection and evaluation of data, from the process design stage throughout production, which establishes scientific evidence that a process is capable of consistently delivering quality products. Process validation involves a series of activities taking place over the lifecycle of the product and process. This guidance describes the process validation activities in three stages.

- Stage 1—Process Design: The commercial process is defined during this stage based on knowledge gained through development and scale-up activities
- Stage 2—Process Qualification: During this stage, the process design is confirmed as being capable of reproducible commercial manufacturing
- Stage 3—Continued Process Verification: Ongoing assurance is gained during routine production that the process remains in a state of control

This chapter describes activities typical in each stage, but in practice, some activities in different stages might overlap.

Before any batch from the process is commercially distributed for use by consumers, a manufacturer should have gained a high degree of assurance in the performance of the manufacturing process such that it will consistently produce APIs and drug products meeting those attributes relating to identity, strength, quality, purity, and potency. The assurance should be obtained from objective information and data from laboratory-, pilot-, and/or commercial-scale studies. Information and data should demonstrate that the commercial manufacturing process is capable of consistently producing

acceptable quality products within commercial manufacturing conditions, including those conditions that pose a high risk of process failure.

A successful validation program depends upon information and knowledge from product and process development. This knowledge and understanding is the basis for establishing an approach to control that is appropriate for the manufacturing process. Manufacturers should

- Understand the sources of variation,
- Detect the presence and degree of variation,
- Understand the impact of variation on the process and ultimately on product attributes, and
- Control the variation in a manner commensurate with the risk it represents to the process and product

Each manufacturer should judge whether it has gained sufficient understanding to provide a high degree of assurance in its manufacturing process to justify commercial distribution of the product. Focusing on qualification efforts without understanding the manufacturing process may not lead to adequate assurance of quality. After establishing and confirming the process, manufacturers must maintain the process in a state of control over the life of the process, even as materials, equipment, production environment, personnel, and manufacturing procedures change.

III. STATUTORY AND REGULATORY REQUIREMENTS FOR PROCESS VALIDATION

Process validation for drugs (finished pharmaceuticals and components) is a legally enforceable requirement under section 501(a)(2)(B) of the Act, which states the following:

A drug ... shall be deemed to be adulterated ... if ... the methods used in, or the facilities or controls used for, its manufacture, processing, packing, or holding do not conform to or are not operated or administered in conformity with current good manufacturing practice to assure that such drug meets the requirements of this Act as to safety and has the identity and strength, and meets the quality and purity characteristics, which it purports or is represented to possess.

FDA regulations describing CGMP are provided in 21 CFR parts 210 and 211.

Process validation is required, in both general and specific terms, by the CGMP regulations in parts 210 and 211. The foundation for process validation is provided in § 211.100(a), which states that “[t]here shall be written procedures for production and process control designed to assure that the drug products have the identity, strength, quality, and purity they purport or are represented to possess” (emphasis added). This regulation requires that manufacturers design a process including operations and controls that will result in a product meeting these attributes. Product quality in the context of process validation means that product performance is consistent from

batch-to-batch and unit-to-unit. Many products are single-source or involve complicated processes to manufacture. Validation also offers the assurance that a process is reasonably safeguarded from sources of variability affecting production output, the loss of which can cause supply problems, thereby negatively affecting public health.

Other CGMP regulations define the various aspects of validation. Section 211.110(a), Sampling and testing of in-process materials and drug products, requires that control procedures “... be established to monitor the output and to validate the performance of those manufacturing processes that may be responsible for causing variability in the characteristics of in-process material and the drug product” (emphasis added). This regulation establishes the requirement that even well-designed processes must include in-process control procedures to assure final product quality.

CGMP regulations require that batch samples represent the batch under analysis [see, e.g., § 211.160(b)(3)] and that the sampling plan result in statistical confidence [§ 211.165(c) and (d)] that the batch meets its predetermined specifications [§ 211.165(a)]. Section 211.110(b) provides two principles to follow when establishing in-process specifications. The first principle is that “... in-process specifications for such characteristics (of in-process material and the drug product) shall be consistent with drug product final specifications ...” Accordingly, in-process material should be controlled to assure that the final drug product will meet its quality requirements. The second principle in this regulation further requires that in-process specifications “... shall be derived from previous acceptable process average and process variability estimates where possible and determined by the application of suitable statistical procedures where appropriate.” This requirement, in part, establishes the need for manufacturers to analyze process performance and control batch-to-batch variability.

The CGMP regulations also describe and define activities connected with process design, development, and maintenance. Section 211.180(e) requires that information and data about product performance and manufacturing experience be periodically reviewed to determine whether any changes to the established process are warranted. Ongoing feedback about product performance is an essential feature of process maintenance.

In addition, the CGMP regulations require that facilities in which drugs are manufactured be of suitable size, construction, and location to facilitate proper operations (21 CFR 211.42). Equipment must be of appropriate design, adequate size, and suitably located to facilitate operations for its intended use (21 CFR 211.63). Automated, mechanical, and electronic equipment must be calibrated, inspected, or checked according to a written program designed to assure proper performance (21 CFR 211.68).

In summary, the CGMP regulations require that manufacturing processes be designed and controlled to assure that in-process materials and the finished product meet predetermined quality requirements and do so consistently and reliably.

IV. RECOMMENDATIONS

A. GENERAL CONSIDERATIONS FOR PROCESS VALIDATION

In all stages of the product lifecycle, good project management and good archiving that capture scientific knowledge will make the process validation program more effective and efficient. These practices should ensure uniform collection and assessment of information about the process, reduce the chance for redundant information gathering and analysis, and enhance the accessibility of such information later in the product lifecycle.

An integrated team approach is recommended to process validation that includes expertise from a variety of disciplines, including process engineering, industrial pharmacy, analytical chemistry, microbiology, statistics, manufacturing, and quality assurance. Project plans, along with the full support of senior management, are essential elements for success.

Throughout the product lifecycle, various studies can be initiated to discover, observe, correlate, or confirm information about the product and process. All studies should be planned and conducted according to sound scientific principles, appropriately documented, and should be approved in accordance with the established procedure appropriate for the stage of the lifecycle.

B. SPECIFIC STAGES AND ACTIVITIES OF PROCESS VALIDATION IN THE PRODUCT LIFECYCLE

The following subsections describe the recommended stages and specific activities.

1 Stage 1—Process Design

a. *Building and Capturing Process Knowledge and Understanding*

Process design is the activity of defining the commercial manufacturing process that will be reflected in the master production and control records. The goal of this stage is to design a process suitable for routine commercial manufacturing that can consistently deliver a product that meets its critical quality attributes.

Generally, early process design experiments do not need to be performed under CGMP conditions. They should, however, be conducted in accordance with sound scientific methods and principles, including good documentation practices. This recommendation is consistent with ICH guidance for industry, Q10 Pharmaceutical Quality System. Decisions and justification of the controls should be sufficiently documented and internally reviewed to verify and preserve their value for use later in the lifecycle of the process and product.

There are exceptions, however. For example, viral and impurity clearance studies have a direct impact on drug safety and should be performed under CGMP conditions, even when performed at small scale. The quality unit should be involved with these studies as is typical during commercial production.

Product-development activities provide key inputs to the design stage, such as the intended dosage form, the quality attributes, and a general manufacturing pathway. Process information available from the product-development stage can be leveraged in the process-design stage. However, the full spectrum of input variability typical of commercial production is not generally known at this stage. The functionality and limitations of commercial manufacturing equipment should be considered, as well as the contributions of variability by different component lots, production operators, environmental conditions, and measurement systems in the production setting. Laboratory or pilot-scale models designed to be representative of the commercial process can be used to estimate variability. However, it is not a regulatory expectation that the process be developed and tested until it fails, but rather that a process be controlled within commercial manufacturing conditions, including those combinations of conditions posing a high risk of process failure.

Designing an efficient process with an effective process control approach is dependent on the process knowledge and understanding obtained. Design of Experiment (DOE) studies can help develop process knowledge by revealing relationships, including multifactorial interactions, between the variable inputs (e.g., component characteristics or processing parameters) and the resulting outputs (e.g., in-process material, intermediates, or the final product). Risk analysis tools can be used to screen potential variables for DOE studies to minimize the total number of experiments conducted while maximizing knowledge gained. The results of DOE studies can provide justification for establishing ranges of incoming component quality, equipment parameters, and in-process material quality attributes.

Other activities, such as experiments or demonstrations at laboratory or pilot scale, allow evaluation of certain conditions and prediction of performance of the commercial process. These activities also provide information that can be used to model or simulate the commercial process. Computer-based or virtual simulations of certain unit operations or dynamics can provide process understanding and avoid problems at commercial scale. It is important to understand the degree to which models represent the commercial process, including any differences that might exist, as this may have an impact on the relevance of information derived from the studies.

It is essential that activities and studies resulting in product understanding be documented. Documentation should reflect the basis for decisions made about the process. For example, manufacturers should document the variables studied for a unit operation and the rationale for those variables identified as significant. This information is useful during the process qualification and continued process verification stages, including when the design is revised or the strategy for control is refined or changed.

b. *Establishing a Strategy for Process Control*

Process knowledge and understanding is the basis for establishing an approach to process control for each unit operation and the process overall. Strategies for process control can be

designed to reduce input variation, adjust for input variation during manufacturing (and so reduce its impact on the output), or combine both approaches.

Process controls address variability to assure quality of the product. Controls can consist of material analysis and equipment monitoring at significant processing points designed to assure that the operation remains on target and in control with respect to output quality. Special attention to control of the process through operational limits and in-process monitoring is essential (1) where the product attribute is not readily measurable due to limitations of sampling or detectability (e.g., viral clearance or microbial contamination), or (2) when intermediates and products cannot be highly characterized and well-defined quality attributes cannot be identified. These controls are included in the master production and control records [see 21 CFR 211.186(a) and (b)(9)].

More advanced strategies, such as process analytical technology (PAT), use timely analysis and control loops to adjust the processing conditions so that the output remains constant. Manufacturing systems of this type can provide a higher degree of process control. In the case of PAT strategy, the approach to process qualification will be different from that for other process designs. Further information on PAT processes can be found in FDA's guidance for industry on PAT—A Framework for Innovative Pharmaceutical Development, Manufacturing, and Quality Assurance (available on the Internet at <http://www.fda.gov/cder/guidance/index.htm>).

The planned commercial production and control records, which contain the operational limits and overall strategy for process control, should be carried forward to the next stage for confirmation.

2 Stage 2—Process Qualification

During the process qualification stage of process validation, the process design is confirmed as being capable of reproducible commercial manufacture. This stage has two elements: (1) design of the facility and qualification of the equipment and utilities, and (2) performance qualification (PQ). During this stage, CGMP-compliant procedures must be followed and successful completion of this stage is necessary before commercial distribution. Products manufactured during this stage, if acceptable, can be released.

a. *Design of a Facility and Qualification of Utilities and Equipment*

Proper design of a manufacturing facility is required under 21 CFR part 211, subpart C, of the CGMP regulations on Buildings and Facilities. It is essential that activities performed to assure proper facility design and commissioning precede PQ. Activities undertaken to demonstrate that utilities and pieces of equipment are suitable for their intended use and perform properly is referred to in this guidance as qualification. These activities necessarily precede manufacturing products at the commercial scale.

Qualification of utilities and equipment generally includes the following activities:

- Selecting utilities and equipment construction materials, operating principles, and performance characteristics based on whether they are appropriate for their specific use.
- Verifying that utility systems and equipment are built and installed in compliance with the design specifications (e.g., built as designed with proper materials, capacity, and functions, and properly connected and calibrated).
- Verifying that the utility system and equipment operate in accordance with the process requirements in all anticipated operating ranges. This should include challenging the equipment or system functions while under load comparable to that expected during routine production. It should also include the performance of interventions, stoppage, and start-up as is expected during routine production. Operating ranges should be shown capable of being held as long as would be necessary during routine production.

Qualification of utilities and equipment can be covered under individual plans or as part of an overall project plan. The plan should consider the requirements of use and can incorporate risk management to prioritize certain activities and to identify a level of effort in both the performance and documentation of qualification activities. The plan should identify (1) the studies or tests to use, (2) the criteria appropriate to assess outcomes, (3) the timing of qualification activities, (4) responsibilities, and (5) the procedures for documenting and approving the qualification. It should also include the firm's requirements for the evaluation of changes. Qualification activities should be documented and summarized in a report with conclusions that address criteria in the plan. The quality control unit must review and approve the qualification plan and report (21 CFR 211.22).

b. *Performance Qualification Approach*

The PQ is the second element of stage 2, process qualification. The PQ combines the actual facility, utilities, equipment (each now qualified), and the trained personnel with the commercial manufacturing process, control procedures, and components to produce commercial batches. A successful PQ will confirm the process design and demonstrate that the commercial manufacturing process performs as expected.

Success at this stage signals an important milestone in the product lifecycle and needs to be completed before a manufacturer commences commercial distribution of the drug product. The decision to begin commercial distribution should be supported by data from commercial batches. Data from laboratory and pilot studies can provide additional assurance.

The approach to PQ should be based on sound science and the manufacturer's overall level of product and process understanding. The cumulative data from all relevant studies (e.g., designed experiments; laboratory, pilot, and commercial batches) should be used to establish the manufacturing conditions in the PQ. For example, to have sufficient understanding of the commercial process, the manufacturer will need to

consider the effects of scale; however, it is not typically necessary to explore the entire operating range at commercial scale if assurance can be provided by other data. Previous credible experience with sufficiently similar products and processes can also be considered. In addition, it is strongly recommended that firms employ objective measures (e.g., statistical metrics), wherever feasible and meaningful to achieve adequate assurance.

In most cases, PQ will have a higher level of sampling, additional testing, and greater scrutiny of process performance. The level of monitoring and testing should be sufficient to confirm uniform product quality throughout the batch during processing. This greater scrutiny accompanied by a higher level of sampling should continue through the process verification stage, as appropriate.

The extent to which some materials, such as column resins or molecular filtration media, can be reused without adversely affecting product quality can be assessed in relevant laboratory studies, and their usable lifetime should be confirmed by an ongoing PQ protocol during commercial manufacture.

A manufacturing process that uses PAT may warrant a different PQ approach. Such a process is one that is designed to measure in real time the attributes of an in-process material and then adjust the process in a timely control loop so the process maintains the desired quality of the output material. The process design stage and the process qualification stage should have as a focus the measurement system and control loop. Regardless, the goal remains the same: establishing scientific evidence that the process is reproducible and will consistently deliver quality products.

c. *Performance Qualification Protocol*

A written protocol that specifies the manufacturing conditions, controls, testing, and expected outcomes is essential for this stage of process validation. It is recommended that the protocol discuss

- The manufacturing conditions, including operating parameters, processing limits, and component (raw material) inputs.
- The data to be collected and when and how it will be evaluated.
- Tests to be performed (in-process, release, characterization) and acceptance criteria for each significant processing step.
- The sampling plan including sampling points, number of samples, and the frequency of sampling for each unit operation and attribute. The number of samples should be adequate to provide sufficient statistical confidence of quality both within a batch and between batches. The confidence level selected can be based on risk analysis as it relates to the particular attribute under examination. Sampling during this stage should be more extensive than is typical during routine production.
- Criteria that provide for a rational conclusion of whether the process consistently produces quality products. The criteria should include the following:

- A description of the statistical methods to be used in analyzing all collected data (e.g., statistical metrics defining both intra-batch and inter-batch variability).
- Provision for addressing deviations from expected conditions and handling of nonconforming data. Data should not be excluded from further consideration in terms of PQ without a documented, science-based justification.
- Design of facilities and the qualification of utilities and equipment, personnel training and qualification, and verification of material sources (components and container/closures), if not previously accomplished.
- Status of the validation of analytical methods used in measuring the process, in-process materials, and the product.
- Review and approval by appropriate departments and the quality unit.

d. *Protocol Execution and Report*

Protocol execution should not begin until the protocol has been reviewed and approved by all appropriate departments, including the quality unit. Departure from the established protocol must be made according to established procedure or provisions in the protocol. Such departures must be justified and approved by all appropriate departments and the quality unit before implementation (§ 211.100).

The commercial manufacturing process and routine procedures must be followed [§§ 211.100(b) and 211.110(a)]. The PQ lots should be manufactured under normal conditions by personnel expected to routinely perform each step of each unit operation in the process. Normal operating conditions should cover the utility systems (e.g., air handling and water purification), material, personnel, environment, and manufacturing procedures.

A report documenting and assessing adherence to the written protocol should be prepared in a timely manner after the completion of the protocol. This report should

- Discuss and cross-reference all aspects of the protocol.
- Summarize data collected and analyze the data, as specified by the protocol.
- Evaluate any unexpected observations and additional data not specified in the protocol.
- Summarize and discuss all manufacturing nonconformances such as deviations, aberrant test results, or other information that has bearing on the validity of process.
- Describe in sufficient detail any corrective actions or changes that should be made to existing procedures and controls.
- State a clear conclusion as to whether the data indicates the process met the conditions established in the protocol and whether the process is considered to be in a sufficient state of control. If not, the report should state what should be accomplished before such a conclusion can be reached. This conclusion

should be based on a documented justification for the approval of the process, and release of lots produced by it to the market in consideration of the entire compilation of knowledge and information gained from the design stage through the process qualification stage.

- Include all appropriate department and quality unit review and approvals

3 Stage 3—Continued Process Verification

The goal of the third validation stage is to continually assure that the process remains in a state of control (the validated state) during commercial manufacture. A system or systems for detecting unplanned departures from the process as designed is essential to accomplish this goal. Adherence to the CGMP requirements, specifically including the collection and evaluation of information and data about the performance of the process (see below), will allow detection of process drift. The evaluation should determine whether action must be taken to prevent the process from drifting out of control [§ 211.180(e)].

An ongoing program to collect and analyze product and process data that relate to product quality must be established [§ 211.180(e)]. The data collected should include relevant process trends and quality of incoming materials or components, in-process material, and finished products. The data should be statistically trended and reviewed by trained personnel. The information collected should verify that the critical quality attributes are being controlled throughout the process.

It is recommended that a statistician or person with adequate training in statistical process control techniques develop the data collection plan and statistical methods and procedures used in measuring and evaluating process stability and process capability. Procedures should describe how trending and calculations are to be performed. Procedures should guard against overreaction to individual events as well as against failure to detect process drift. Production data should be collected to evaluate process stability and capability. The quality unit should review this information. If done properly, these efforts can identify variability in the process and/or product; this information can be used to alert the manufacturer that the process should be improved.

Good process design and development should anticipate significant sources of variability and establish appropriate detection, control, and/or mitigation strategies, as well as appropriate alert and action limits. However, a process is likely to encounter sources of variation that were not previously detected or to which the process was not previously exposed. Many tools and techniques, some statistical and others more qualitative, can be used to detect variation, characterize it, and determine the root cause. It is recommended that the manufacturer use quantitative, statistical methods whenever feasible. It is also recommended that it scrutinize intra-batch as well as inter-batch variation as part of a comprehensive continued process verification program.

It is recommended to continue monitoring and/or sampling at the level established during the process qualification

stage, until sufficient data are collected to generate variability estimates. Once the variability is known, sampling and/or monitoring should be adjusted to a statistically appropriate and representative level. Process variability should be periodically assessed and sampling and/or monitoring adjusted accordingly.

Variation can also be detected by the timely assessment of defect complaints, out-of-specification findings, process deviation reports, process yield variations, batch records, incoming raw material records, and adverse event reports. Production line operators and quality unit staff should be encouraged to provide feedback on process performance. Operator errors should also be tracked to measure the quality of the training program; to identify operator performance issues; and to look for potential batch record, procedural, and/or process improvements that could help to reduce operator error. It is recommended that the quality unit meet periodically with production staff to evaluate data, discuss possible trends or drifts in the process, and coordinate any correction or follow-up actions by production.

Data gathered during this stage might suggest ways to improve and/or optimize the process by altering some aspect of the process or product, such as the operating conditions (ranges and set-points), process controls, component, or in-process material characteristics. A description of the planned change, a well-justified rationale for the change, an implementation plan, and quality unit approval before implementation must be documented (21 CFR 211.100). Depending on the significance to product quality, modifications may warrant performing additional process design and process qualification activities.

Maintenance of the facility, utilities, and equipment is another important aspect of ensuring that a process remains in control. Once established, qualification status must be maintained through routine monitoring, maintenance, and calibration procedures and schedules (21 CFR part 211, subparts C and D). The data should be assessed periodically to determine whether requalification should be performed and the extent of that requalification. Maintenance and calibration frequency should be adjusted based on feedback from these activities.

V. CONCURRENT RELEASE OF PERFORMANCE QUALIFICATION BATCHES

In most cases, the PQ protocol needs to be completed before the commercial distribution of a product. In special situations, the PQ protocol can be designed to release a PQ batch for distribution before completion of the protocol. The conclusions about the manufacturing process should be made when the protocol is completed and the data is fully evaluated.

FDA expects that concurrent release will be used rarely. Concurrent release might be appropriate for processes used infrequently because of limited demand for the product (e.g., orphan drugs), processes with necessarily low production volume per batch (e.g., radiopharmaceuticals, including positron emission tomography drugs), and processes manufacturing medically necessary drugs to alleviate a short supply, which should be coordinated with the Agency (FDA).

When warranted and used, concurrent release should be accompanied by a system for careful oversight of the distributed batch to facilitate rapid customer feedback. For example, customer complaints and defect reports should be rapidly assessed to determine root cause and whether the process should be improved or changed. It is recommended that each batch in a concurrent release program also undergo stability testing and that this test data be promptly evaluated to ensure rapid detection and correction of any problems.

VI. DOCUMENTATION

Documentation at each stage of the process validation lifecycle is essential for effective communication in complex, lengthy, and multidisciplinary projects. Documentation is important so that knowledge gained about a product and process is accessible and comprehensible to others involved in each stage of the lifecycle. In addition to being a fundamental tenet of following the scientific method, information transparency and accessibility are essential so that organizational units responsible and accountable for the process can make informed, science-based decisions that ultimately support the release of a product to commerce.

The degree and type of documentation required by CGMP are greatest during stage 2, process qualification, and stage 3, continued process verification. Studies during these stages must conform to CGMPs and must be approved by the quality unit in accordance with the regulations (see 21 CFR 211.22 and 211.100). Viral and impurity clearance studies, even when performed at a small scale, also require full quality unit oversight as is necessary during routine commercial production.

CGMP documents for commercial manufacturing [i.e., the initial commercial master batch production and control record (21 CFR 211.186) and supporting procedures] are key outputs of stage 1, process design. It is recommended that firms diagram the process flow for the full-scale process. Process flow diagrams should describe each unit operation, its placement in the overall process, monitoring and control points, and the component, as well as other processing material inputs (e.g., processing aids) and expected outputs (i.e., in-process materials and finished product). It is also useful to generate and preserve process flow diagrams of the various scales as the process design progresses to facilitate comparison and decision-making about their comparability.

VII. ANALYTICAL METHODOLOGY

Process knowledge is dependent on accurate and precise measuring techniques that are used to test and examine the quality of drug components, in-process materials, and finished products. For data to have value in predicting process outcomes, it is essential that the analytical tests be scientifically sound (as required under 21 CFR 211.160). While validated analytical methods are not required during product- and process-development activities, methods should be scientifically sound (e.g., specific, sensitive, and accurate), suitable, and reliable for the specified purpose. There should be assurance of proper equipment function for laboratory experiments. Procedures for analytical method and equipment maintenance, documentation practices, and calibration practices supporting process-development efforts should be documented or described. Analytical methods supporting clinical supply production, particularly stage 2 and 3 studies, must follow appropriate CGMPs in parts 210 and 211.

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4 Bioequivalence Regulatory Compliance

I. BACKGROUND

Bioequivalence (BE) is defined in 21 CFR 320.1 as “the absence of a significant difference in the rate and extent to which the active ingredient or active moiety in pharmaceutical equivalents or pharmaceutical alternatives becomes available at the site of drug action when administered at the same molar dose under similar conditions in an appropriately designed study.” FDA usually considers that the plasma concentration of a drug is a surrogate for the concentration at the site of action for a systemically acting drug. 21 CFR 320.24 outlines options for BE testing. Therefore proving that equivalence requires the integration of several studies, such as pharmacokinetic (PK), pharmacodynamic (PD), controlled-clinical, in vitro studies, and any other specific model or study that may prove useful in proving equivalence.

The concept of BE and the required proof by the regulatory agencies has evolved over the past several decades.

- In the United States, the 1902 federal law for biologics, particularly vaccines, required evaluation for “safety, purity and potency.”
- The 1906 Food and Drugs Act added drugs other than biologics.
- The 1938 FDC act created FDA and evaluation of new drugs based on data in a filed NDA.
- The 1962 law added effectiveness requirement for the approval of NDA.
- 1960s, FDA permits marketing of “similar” while corresponding pioneer products undergo DESI reviews. “Similar” came into market between 1938 and 1962.
- The 1970 FDA terminates marketing of “similar” unless
 - DESI pioneer showed safety and efficacy, and
 - “Similar” manufacturer submits aNDA with formulation and manufacture information. (The Supreme Court in the *United States v. Generix Drug Corporation* supported FDA requirement for aNDA.)
- The 1984 generic law in the United States (Waxman-Hatch) created a generic approval system for all new drugs, including those approved after 1962. FDA finalized the bioequivalence (BA/BE) regulations (21 CFR 320) wherein the pioneer shows BA in NDA; “similar” to DESI-effective pioneers show BE leading to first U.S. first generics. Several revisions to 21 CFR 320 were made including the most recent one in April 2006. The Drug Price Competition and Patent Term Restoration Act of 1984 (Pub.L.

No. 98–417) (the Hatch-Waxman Amendments) created section 505(j) of the Act, which established the current aNDA approval process. The showing that must be made for an aNDA to be approved is quite different from what is required in an NDA. An NDA applicant must prove that the drug product is safe and effective. An aNDA does not have to prove the safety and effectiveness of the drug product because an aNDA relies on the finding FDA has made that the reference-listed drug (RLD) is safe and effective. Instead, an aNDA applicant must demonstrate, among other things, that its drug product is bioequivalent to the RLD [21 USC 355(j)(2)(A)(iv)]. The scientific premise underlying the Hatch-Waxman amendments is that in most circumstances bioequivalent drug products may be substituted for each other. The Generic Animal Drug and Patent Term Restoration Act (GADPTRA) signed into law on November 16, 1988, permits sponsors to submit an abbreviated New Animal Drug Application (aNADA) for a generic version of any off-patent-approved animal drug (with certain exceptions noted in the law) regardless of whether the drug was approved prior to 1962 and subject to the National Academy of Sciences/National Research Council/Drug Effectiveness Study Implementation (NAS/NRC/DESI) review.

A generic drug is bioequivalent to the listed drug if “the rate and extent of the absorption of the drug do not show a significant difference from the rate and extent of absorption of the listed drug when administered at the same molar dose of the therapeutic ingredient under similar experimental conditions in either a single dose or multiple doses” [21 USC 355(j)(8)(B)(i)].

In vivo and/or in vitro BE testing is required for most generic drug products submitted for marketing approval. A proposed generic drug product must be compared in vivo and/or in vitro to the officially designated reference drug product. Harmonized BE criteria for the interchangeability of pharmaceutical products address the issue of waivers for in vivo trials, which are expensive and, as recently concluded, not always discriminating enough to form the sole basis of approval of interchangeability. As discussed later, the worldwide requirements to demonstrate BE vary widely, mostly as a result of the ability of the regulatory authorities to enforce such requirements, both from an economic as well as ethical perspective.

Drug regulatory authorities must ensure that all pharmaceutical products, including generic drug products, conform to the same standards of quality, efficacy, and safety required of innovator drug products. Therefore, regulatory frameworks must be able to respond to varied and emerging drugs and dosage forms where BE demonstration is required; issues such as BE of topical products, products acting locally, endogenous therapeutic proteins, and more recently, botanical products now need regulatory pathways, besides streamlining and reducing cost of evaluation of more traditional dosage forms where cost considerations, especially in the Third World, and often a lack of good correlation between in vivo studies and clinical response are observed. This chapter addresses these issues and provides a pathway for the prospective filers of marketing approval applications worldwide.

II. REGULATORY ASPECTS

The regulation of drug quality involves three arrangements in this country. First, the U.S. Congress gave the *U.S. Pharmacopoeia* and the National Formulary revision committees the authority to set standards of strength, quality, and purity of drugs and their finished preparations. The FDA, also authorized by the U.S. Congress, establishes regulations for the development and manufacture of safe and effective drugs. Finally, in-house GMPs of the manufacturer, mostly dictated by the FDA regulations, ensure the quality of drug products. The FDA has also decreed on the bioavailability (BA) and BE of drug products. All NDAs and amended NDAs must demonstrate in vivo BA of the drug product that is followed by an in vitro test, usually a dissolution test, of individual batches to ensure the quality. Table 1 shows a comparison of regulatory filing requirements under various applications.

Applicants submitting an NDA or New Animal Drug Application (NADA) under the provisions of section 505(b) in the Federal Food, Drug, & Cosmetic Act (the Act) are required to document BA [21 CFR 320.21(a)]. If approved, an NDA drug product may subsequently become an RLD. Under section 505(j) of the act, a sponsor of an aNDA or aNADA must document first pharmaceutical equivalence and then BE to be deemed therapeutically equivalent to an RLD. Defined as relative BA, BE is

documented by comparing the performance of the generic (test) and listed (reference) products. (Pharmaceutical equivalents are drugs that have the same active ingredient; in the same strength; the same dosage form and route of administration; and have comparable labeling and meet compendia or other standards of identity, strength, quality, purity and potency.)

In addition to the standard CMC tests, the active bulk drug substance for an NDA should be studied and controlled via appropriate specifications for polymorphic form, particle size distribution, and other attributes important to the quality of the resulting drug product. To the extent possible and using compendial monographs where appropriate, sponsors of aNDAs should attempt to duplicate the specifications considered important for the RLD. Where the necessary information is not available, applicants may wish to rely on in vitro release to ensure batch-to-batch consistency. CMC guidances available from FDA are generally applicable to ensure the identity, strength, quality, purity, and potency of the drug substance and drug product for a topical dermatological drug product.

As stated in 21 CFR 320.24, approaches to document BE in order of preference are (1) PK measurements based on measurement of an active drug and/or metabolite in blood, plasma, and/or urine; (2) PD measurements; (3) comparative clinical trials; and (4) in vitro studies.

The science of BE is still undergoing major changes and final rules are established after years of debate and validation of protocols. The U.S. FDA has finalized or drafted several guidelines (Table 2).

III. EQUIVALENCE DOCUMENTATION FOR MARKETING AUTHORIZATION

Pharmaceutically equivalent multisource pharmaceutical products must be verified to be therapeutically equivalent to one another to be considered interchangeable. Several test methods are available to assess equivalence, including:

- Comparative BA (BE) studies, in which the active drug substance or one or more metabolites is measured in an accessible biologic fluid such as plasma, blood or urine

TABLE 1
Data Requirement for Drug Approval In the United States

	FD&C505(b)(1)	FD&C505(b)(2)	FD&C505(j)	PHS
Application	NDA	NDA	aNDA	BLA
Preclinical	Yes	Yes/No	No	Yes
Clinical	Yes	Yes/No	No	Yes
CMC	Yes	Yes	Yes (PE)	Yes
PK & bioequivalence	Yes	Yes		Yes
Labeling	Yes	Yes	Yes	Yes

Abbreviations: aNDA, abbreviated New Drug Application; CMC, chemistry, manufacturing, and control; FD&C, Food, Drug, and Cosmetic Act; NDA, New Drug Application; PHS, Public Health Service; BLA, Biologic License Application.

TABLE 2
Final and Draft-Stage Biopharmaceutics Guidelines of the U.S. FDA

Guideline	Date Finalized/Draft Issued
Bioanalytical method validation-final	21 May 2018
Bioavailability and bioequivalence studies for orally administered drug products-general considerations (revised)-final	19 March 2003
Cholestyramine powder in vitro bioequivalence-final	15 July 1993
Clozapine tablets: in vivo bioequivalence and in vitro dissolution testing-final	20 June 2005
Corticosteroids, dermatological (topical) in vivo-final	2 June 1999
Dissolution testing of immediate-release solid oral dosage forms-final	25 August 1997
Extended-release oral dosage forms: development, evaluation, and application of in vitro/in vivo correlations-final	26 September 1997
Metaproterenol sulfate and albuterol metered dose inhalers-final	27 June 1989
Statistical approach to establishing bioequivalence-final	2 February 2001
Waiver of in vivo bioavailability and bioequivalence studies for immediate-release solid oral dosage forms on a biopharmaceutical classification system-final	31 August 2000
Potassium chloride (slow-release tablets and capsules) in vivo bioequivalence and in vitro dissolution testing	6 June 1994
Food-effect bioavailability and fed bioequivalence studies	December 2002
Antifungal (topical)-draft	24 February 1990
Antifungal (vaginal)-draft	24 February 1990
Bioavailability and bioequivalence studies for nasal aerosols and nasal sprays for local action-draft	3 April 2003

- Comparative PD studies in humans
- Comparative clinical trials
- In vitro dissolution tests in combination with the Biopharmaceutics Classification System (BCS, see below)

Acceptance of any test procedure in the equivalence documentation between two pharmaceutical products by a drug regulatory authority depends on many factors, including characteristics of the active drug substance and the drug product and the availability of resources to carry out a specific type of study. Wherever a drug produces meaningful concentrations in an accessible biological fluid, such as plasma, BE studies are preferred. Wherever a drug does not produce measurable concentrations in an accessible biological fluid, comparative clinical trials or PD studies may be necessary to document equivalence. In vitro testing, preferably based on a documented in vitro/in vivo correlation or on consideration based on the BCS, may sometimes provide an indication of equivalence between two pharmaceutical products

Oral drugs/drug products for which in vivo equivalence documentation is important: Regulatory authorities require equivalence documentation for multisource pharmaceutical products in which the product is compared to the reference pharmaceutical product. Studies must be carried out using the formulation proposed for marketing. For certain drugs and dosage forms, in vivo equivalence documentation, through either a BE study, a comparative clinical PD study, or a comparative clinical trial, is considered especially important. The following are the factors for oral drug products that should

be considered when requiring in vivo equivalence documentation.

Immediate-release oral pharmaceutical products with systemic action when one or more of the following criteria apply.

1. Indicated for serious conditions requiring definite therapeutic response.
2. Narrow therapeutic window/safety margin, steep dose-response curve.
3. PKs complicated by variable or incomplete absorption or absorption window, nonlinear PKs, pre-systemic elimination/high first-pass metabolism >70%.
4. Unfavorable physicochemical properties, e.g., low solubility, instability, metastable modifications, poor permeability.
5. Documented evidence of BA problems related to the drug or drugs of similar chemical structure or formulations.
6. Where there is a high ratio of excipients to active ingredients.

Nonoral and nonparenteral pharmaceutical products designed to act through systemic absorption (such as transdermal patches, suppositories): Plasma concentration measurements over time (BE) are normally sufficient proof for efficacy and safety.

Sustained or otherwise modified-release pharmaceutical products designed to act through systemic absorption: Plasma concentration measurements over time (BE) are normally sufficient proof for efficacy and safety.

Fixed combination products (see WHO Technical Report Series No. 825, 1992) with systemic action:

Plasma concentration measurements over time (BE) are normally sufficient proof for efficacy and safety.

Nonsolution pharmaceutical products for nonsystemic use (oral, nasal, ocular, dermal, rectal, vaginal application) and intended to act without systemic absorption: In these cases, the BE concept is not suitable and comparative clinical or PD studies are required to prove equivalence. This does not, however, exclude the potential need for drug concentration measurements to assess unintended partial absorption.

IV. THERAPEUTIC CLASSIFICATION

FDA has also provided a therapeutic classification of drugs and dosage forms for the purpose of BE testing (Table 3).

V. TOPICS RELATED TO REGULATORY COMPLIANCE

A. IS A BE STUDY REQUIRED?

The submission of an NDA, aNDA, or supplemental application requires that it contains in vivo BA and BE data either by direct measurement of in vivo BA of the drug product that is the subject of the application or information to permit FDA to waive the submission of evidence measuring in vivo BA. The supplemental application involves a change in the manufacturing site or a change in the manufacturing process, including a change in product formulation or dosage strength, beyond the variations provided for in the approved application, or a change in the labeling to provide for a new indication for use of the drug product, for which a new clinical trial may be required.

FDA may approve a full NDA, or a supplemental application proposing any of the changes set forth earlier that does not contain evidence of in vivo BA or information to permit waiver of the requirement for in vivo BA data.

- For certain drug products, the in vivo BA or BE of the drug product may be self-evident. FDA shall waive the requirement for the submission of evidence obtained in vivo measuring the BA or demonstrating the BE of these drug products. A drug product's in vivo BA or BE may be considered self-evident based on other data in the application.
- If the drug product is a parenteral solution intended solely for administration by injection, or an ophthalmic or otic solution, and contains the same active and inactive ingredients in the same concentration as a drug product that is the subject of an approved full NDA or aNDA; or
- if the drug product is administered by inhalation as a gas, for example, a medicinal or an inhalation anesthetic, and contains an active ingredient in the same dosage form as a drug product that is the subject of an approved full NDA or aNDA; or

- if the drug product is a solution for application to the skin, an oral solution, elixir, syrup, tincture, a solution for aerosolization or nebulization, a nasal solution, or similar other solubilized form and contains an active drug ingredient in the same concentration and dosage form as a drug product that is the subject of an approved full NDA or aNDA and contains no inactive ingredient or other change in formulation from the drug product that is the subject of the approved full NDA or aNDA that may significantly affect absorption of the active drug ingredient or active moiety for products that are systemically absorbed, or that may significantly affect systemic or local availability for products intended to act locally.

FDA also waives the requirement for the submission of evidence measuring the in vivo BA or demonstrating the in vivo BE of a solid oral dosage form (other than a delayed-release or extended-release dosage form) of a drug product determined to be effective for at least one indication in a Drug Efficacy Study Implementation (DESI) notice or which is identical, related, or similar (IRS) to such a drug product unless FDA has evaluated the drug product, included the drug product in the Approved Drug Products with Therapeutic Equivalence Evaluations List, and rated the drug product as having a known or potential BE problem. A drug product so rated reflects a determination by FDA that an in vivo BE study is required. [A DESI drug is any drug that lacks substantial evidence of effectiveness (less than effective [LTE]) and is subject by FDA to a Notice of Opportunity for Hearing (NOOH). This includes drugs, which are IRS to DESI drugs. Valid values: 2=safe and effective or non-DESI drug; 3=drug under review (no NOOH issued); 4=LTE/IRS drug for *some* indications; 5=LTE/IRS drug for *all* indications; 6=LTE/IRS drug withdrawn from market.]

For certain drug products, BA may be measured or BE may be demonstrated by evidence obtained in vitro in lieu of in vivo data. FDA shall waive the requirement for the submission of evidence obtained in vivo measuring the BA or demonstrating the BE of the drug product if the drug product meets one of the following criteria:

- The drug product is in the same dosage form, but in a different strength, and is proportionally similar in its active and inactive ingredients to another drug product for which the same manufacturer has obtained approval and the following conditions are met that the BA of this other drug product has been measured and both drug products meet an appropriate in vitro test approved by FDA and the applicant submits evidence showing that both drug products are proportionally similar in their active and inactive ingredients. (except for the delayed-release or extended-release products).
- The drug product is, on the basis of scientific evidence submitted in the application, shown to meet an in vitro test that has been correlated with in vivo data.

TABLE 3
Therapeutic Equivalence Code Classifications of the U.S. FDA

Name	Definition	FDA code
Products in conventional dosage forms not presenting bioequivalence problems	Products coded as AA contain active ingredients and dosage forms that are not regarded as presenting either actual or potential bioequivalence problems or drug quality or standards issues. However, all oral dosage forms must, nonetheless, meet an appropriate in vitro test(s) for approval.	AA
Products meeting necessary bioequivalence requirements	Products generally will be coded AB if a study is submitted demonstrating bioequivalence. Even though drug products of distributors and/or repackagers are not included in the list, they are considered therapeutically equivalent to the application holder's drug product if the application holder's drug product is rated AB or is single source in the List. The only instance in which a multisource product will be rated AB on the basis of bioavailability rather than bioequivalence is where the innovator product is the only one listed under that drug ingredient heading and has completed an acceptable bioavailability study. However, it does not signify that this product is therapeutically equivalent to the other drugs under the same heading. Drugs coded AB under an ingredient heading are considered therapeutically equivalent only to other drugs coded AB under that heading.	AB
Solutions and powders for aerosolization	Uncertainty regarding the therapeutic equivalence of aerosolized products arises primarily because of differences in the drug delivery system. Solutions and powders intended for aerosolization that are marketed for use in any of several delivery systems are considered to be pharmaceutically and therapeutically equivalent and are coded AN. Those products that are compatible only with a specific delivery system or those products that are packaged in and with a specific delivery system are coded BN, unless they have met an appropriate bioequivalence standard because drug products in their respective delivery systems are not necessarily pharmaceutically equivalent to each other and, therefore, are not therapeutically equivalent.	AN
Injectable oil solutions	The absorption of drugs in injectable (parenteral) oil solutions may vary substantially with the type of oil employed as a vehicle and the concentration of the active ingredient. Injectable oil solutions are therefore considered to be pharmaceutically and therapeutically equivalent only when the active ingredient, its concentration, and the type of oil used as a vehicle are all identical.	AO
Injectable aqueous solutions	It should be noted that even though injectable (parenteral) products under a specific listing may be evaluated as therapeutically equivalent, there may be important differences among the products in the general category, Injectable; Injection. For example, some injectable products that are rated therapeutically equivalent are labeled for different routes of administration. In addition, some products evaluated as therapeutically equivalent may have different preservatives or no preservatives at all. Injectable products available as dry powders for reconstitution, concentrated sterile solutions for dilution, or sterile solutions ready for injection are all considered to be pharmaceutically and therapeutically equivalent provided they are designed to produce the same concentration prior to injection and are similarly labeled. Consistent with accepted professional practice, it is the responsibility of the prescriber, dispenser, or individual administering the product to be familiar with a product's labeling to ensure that it is given only by the route(s) of administration stated in the labeling.	AP
Topical products	Certain commonly used large volume intravenous products in glass containers are not included on the list (e.g., dextrose injection 5%, dextrose injection 10%, sodium chloride injection 0.9%) since these products are on the market without FDA approval and the FDA has not published conditions for marketing such parenteral products under approved NDAs. When packaged in plastic containers, however, FDA regulations require approved applications prior to marketing. Approval then depends on, among other things, the extent of the available safety data involving the specific plastic component of the product. All large volume parenteral products are manufactured under similar standards, regardless of whether they are packaged in glass or plastic. Thus, FDA has no reason to believe that the packaging container of large volume parenteral drug products that are pharmaceutically equivalent would have any effect on their therapeutic equivalence. There are a variety of topical dosage forms available for dermatologic, ophthalmic, otic, rectal, and vaginal administration, including solutions, creams, ointments, gels, lotions, pastes, sprays, and suppositories. Even though different topical dosage forms may contain the same active ingredient and potency, these dosage forms are not considered pharmaceutically equivalent. Therefore, they are not considered therapeutically equivalent. All solutions and DESI drug products containing the same active ingredient in the same topical dosage form for which a waiver of in vivo bioequivalence has been granted and for which chemistry and manufacturing processes are adequate, are considered therapeutically equivalent, and coded AT. Pharmaceutically equivalent topical products that raise questions of bioequivalence including all post 1962 topical drug products are coded AB when supported by adequate bioequivalence data, and BT in the absence of such data.	AT

(Continued)

TABLE 3
Therapeutic Equivalence Code Classifications of the U.S. FDA

Name	Definition	FDA code
Extended-release dosage forms (capsules, injectables, and tablets)	An extended-release dosage form is defined by the official compendia as one that allows at least a twofold reduction in dosing frequency as compared to that drug presented as a conventional dosage form (e.g., as a solution or a prompt drug-releasing, conventional solid dosage form). Although bioavailability studies have been conducted on these dosage forms, they are subject to bioavailability differences, primarily because firms developing extended-release products for the same active ingredient rarely employ the same formulation approach. FDA, therefore, does not consider different extended-release dosage forms containing the same active ingredient in equal strength to be therapeutically equivalent unless equivalence between individual products in both rate and extent has been specifically demonstrated through appropriate bioequivalence studies. Extended-release products for which such bioequivalence data have not been submitted are coded BC, while those for which such data are available have been coded AB.	BC
Active ingredients and dosage forms with documented bioequivalence problems	The BD code denotes products containing active ingredients with known bioequivalence problems and for which adequate studies have not been submitted to FDA demonstrating bioequivalence. Where studies showing bioequivalence have been submitted, the product has been coded AB.	BD
Delayed-release oral dosage forms	A delayed-release dosage form is defined by the official compendia as one that releases a drug (or drugs) at a time other than promptly after administration. Enteric-coated articles are delayed-release dosage forms. Drug products in delayed-release dosage forms containing the same active ingredients are subject to significant differences in absorption. Unless otherwise specifically noted, the agency considers different delayed-release products containing the same active ingredients as presenting a potential bioequivalence problem and codes these products BE in the absence of in vivo studies showing bioequivalence. If adequate in vivo studies have demonstrated the bioequivalence of specific delayed-release products, such products are coded AB.	BE
Products in aerosol nebulizer drug delivery systems	This code applies to drug solutions or powders that are marketed only as a component of, or as compatible with, a specific drug delivery system. There may, for example, be significant differences in the dose of drug and particle size delivered by different products of this type. Therefore, the agency does not consider different metered aerosol dosage forms containing the same active ingredient(s) in equal strengths to be therapeutically equivalent unless the drug products meet an appropriate bioequivalence standard.	BN
Active ingredients and dosage forms with potential bioequivalence problems	FDA's bioequivalence regulations (21 CFR 320.33) contain criteria and procedures for determining whether a specific active ingredient in a specific dosage form has a potential for causing a bioequivalence problem. It is FDA's policy to consider an ingredient meeting these criteria as having a potential bioequivalence problem even in the absence of positive data demonstrating inequivalence. Pharmaceutically equivalent products containing these ingredients in oral dosage forms are coded BP until adequate in vivo bioequivalence data are submitted. Injectable suspensions containing an active ingredient suspended in an aqueous or oleaginous vehicle have also been coded BP. Injectable suspensions are subject to bioequivalence problems because differences in particle size, polymorphic structure of the suspended active ingredient, or the suspension formulation can significantly affect the rate of release and absorption. FDA does not consider pharmaceutical equivalents of these products bioequivalent without adequate evidence of bioequivalence.	BP
Suppositories or enemas that deliver drugs for systemic absorption	The absorption of active ingredients from suppositories or enemas that are intended to have a systemic effect (as distinct from suppositories administered for local effect) can vary significantly from product to product. Therefore, FDA considers pharmaceutically equivalent systemic suppositories or enemas bioequivalent only if in vivo evidence of bioequivalence is available. In those cases where in vivo evidence is available, the product is coded AB. If such evidence is not available, the products are coded BR.	BR
Products having drug standard deficiencies	If the drug standards for an active ingredient in a particular dosage form are found by FDA to be deficient so as to prevent an FDA evaluation of either pharmaceutical or therapeutic equivalence, all drug products containing that active ingredient in that dosage form are coded BS. For example, if the standards permit a wide variation in pharmacologically active components of the active ingredient such that pharmaceutical equivalence is in question, all products containing that active ingredient in that dosage form are coded BS.	BS
Topical products with bioequivalence issues	This code applies mainly to post 1962 dermatologic, ophthalmic, otic, rectal, and vaginal products for topical administration, including creams, ointments, gels, lotions, pastes, and sprays, as well as suppositories not intended for systemic drug absorption. Topical products evaluated as having acceptable clinical performance, but that are not bioequivalent to other pharmaceutically equivalent products or that lack sufficient evidence of bioequivalence will be coded BT.	BT
Drug products for which the data are insufficient to determine therapeutic equivalence	The code BX is assigned to specific drug products for which the data that have been reviewed by the agency are insufficient to determine therapeutic equivalence under the policies stated in this document. In these situations, the drug products are presumed to be therapeutically inequivalent until the agency has determined that there is adequate information to make a full evaluation of therapeutic equivalence.	BX

Abbreviation: DESI, Drug Effectiveness Study Implementation.

- The drug product is a reformulated product that is identical, except for a different color, flavor, or preservative that could not affect the BA of the reformulated product, to another drug product for which the same manufacturer has obtained approval and the following conditions are met. The BA of the other product has been measured, and both drug products meet an appropriate *in vitro* test approved by FDA.

FDA, for good cause, may waive a requirement for the submission of evidence of *in vivo* BA or BE if waiver is compatible with the protection of the public health. For full NDAs, FDA may defer a requirement for the submission of evidence of *in vivo* BA if deferral is compatible with the protection of the public health.

FDA, for good cause, may require evidence of *in vivo* BA or BE for any drug product if the agency determines that any difference between the drug product and a listed drug may affect the BA or BE of the drug product.

B. PRIOR REVIEW

The Commissioner of Food and Drugs strongly recommends that, to avoid the conduct of an improper study and unnecessary human research, any person planning to conduct a BA or BE study submit the proposed protocol for the study to FDA for review prior to the initiation of the study. FDA may review a proposed protocol for a BE study and will offer advice with respect to whether the conditions an appropriate design, the choice of reference product, and the proposed chemical and statistical analysis methods are met.

The Commissioner of Food and Drugs shall consider the following factors, when supported by well-documented evidence, to identify specific pharmaceutical equivalents and pharmaceutical alternatives that are not or may not be bioequivalent drug products.

- Evidence from well-controlled clinical trials or controlled observations in patients that such drug products do not give comparable therapeutic effects.
- Evidence from well-controlled BE studies that such products are not bioequivalent drug products.
- Evidence that the drug products exhibit a narrow therapeutic ratio, for example, there is less than a twofold difference in median lethal dose (LD₅₀) and median effective dose (ED₅₀) values, or have less than a twofold difference in the minimum toxic concentrations and minimum effective concentrations in the blood, and safe and effective use of the drug products requires careful dosage titration and patient monitoring.
- Competent medical determination that a lack of BE would have a serious adverse effect in the treatment or prevention of a serious disease or condition.
- The physicochemical evidence that the active drug ingredient has a low solubility in water, for example, less than 5 mg/mL, or, if dissolution in the stomach is critical to absorption, the volume of gastric fluids required to dissolve the recommended dose far exceeds the volume of fluids present in the stomach (taken to be 100 mL for adults and prorated for infants and children); or, the dissolution rate of one or more such products is slow, for example, less than 50% in 30 minutes when tested using either a general method specified in an official compendium or a paddle method at 50 revolutions/min in 900 mL of distilled or deionized water at 37°C, or differs significantly from that of an appropriate reference material such as an identical drug product that is the subject of an approved full NDA; or, the particle size and/or surface area of the active drug ingredient is critical in determining its BA; or, certain physical structural characteristics of the active drug ingredient, for example, polymorphic forms, conformers, solvates, complexes, and crystal modifications, dissolve poorly and this poor dissolution may affect absorption; or, such drug products have a high ratio of excipients to active ingredients, for example, greater than 5: 1; or, specific inactive ingredients, for example, hydrophilic or hydrophobic excipients and lubricants, either may be required for absorption of the active drug ingredient or therapeutic moiety or, alternatively, if present, may interfere with such absorption.
- The PK evidence that the active drug ingredient, therapeutic moiety, or its precursor is absorbed in large part in a particular segment of the GI tract or is absorbed from a localized site, or, the degree of absorption of the active drug ingredient, therapeutic moiety, or its precursor is poor, for example, less than 50%, ordinarily in comparison to an intravenous dose, even when it is administered in pure form, for example, in solution; or, there is rapid metabolism of the therapeutic moiety in the intestinal wall or liver during the process of absorption (first-class metabolism) so the therapeutic effect and/or toxicity of such drug product is determined by the rate as well as the degree of absorption; or, the therapeutic moiety is rapidly metabolized or excreted so that rapid dissolution and absorption are required for effectiveness; or, the active drug ingredient or therapeutic moiety is unstable in specific portions of the GI tract and requires special coatings or formulations, for example, buffers, enteric coatings, and film coatings, to ensure adequate absorption; or, the drug product is subject to dose-dependent kinetics in or near the therapeutic range, and the rate and extent of absorption are important to BE.

VI. RECORD MAINTENANCE

All records of *in vivo* or *in vitro* tests conducted on any marketed batch of a drug product to ensure that the product meets a BE requirement shall be maintained by the manufacturer for

at least 2 years after the approval of the application submitted and would be available to the FDA on request.

- If the formulation of the test article is the same as the formulation(s) used in the clinical studies demonstrating substantial evidence of safety and effectiveness for the test article's claimed indications, a reserve sample of the test article used to conduct an in vivo BA study comparing the test article to a reference oral solution, suspension, or injection
- If the formulation of the test article differs from the formulation(s) used in the clinical studies demonstrating substantial evidence of safety and effectiveness for the test article's claimed indications, a reserve sample of the test article and of the reference standard used to conduct an in vivo BE study comparing the test article to the formulation(s) (reference standard) used in the clinical studies
- For a new formulation, new dosage form, or a new salt or ester of an active drug ingredient or therapeutic moiety that has been approved for marketing, a reserve sample of the test article and of the reference standard used to conduct an in vivo BE study comparing the test article to a marketed product (reference standard) that contains the same active drug ingredient or therapeutic moiety

Each reserve sample shall consist of a sufficient quantity to permit FDA to perform five times all of the release tests required in the application or supplemental application. Each reserve sample shall be adequately identified so that the reserve sample can be positively identified as having come from the same sample as used in the specific BA study. Each reserve sample shall be stored under conditions consistent with product labeling and in an area segregated from the area where testing is conducted and with access limited to authorized personnel. Each reserve sample shall be retained for a period of at least five years following the date on which the application or supplemental application is approved, or, if such application or supplemental application is not approved, at least 5 years following the date of completion of the BA study in which the sample from which the reserve sample was obtained was used.

Authorized FDA personnel will ordinarily collect reserve samples directly from the applicant or contract research organization at the storage site during a PAI. If authorized FDA personnel are unable to collect samples, FDA may require the applicant or contract research organization to submit the reserve samples to the place identified in the agency's request. If FDA has not collected or requested delivery of a reserve sample, or if FDA has not collected or requested delivery of any portion of a reserve sample, the applicant or contract research organization shall retain the sample or remaining sample for the 5-year period.

Upon release of the reserve samples to FDA, the applicant or contract research organization shall provide a written assurance that, to the best knowledge and belief of the

individual executing the assurance, the reserve samples came from the same samples as used in the specific BA or BE study identified by the agency. The assurance shall be executed by an individual authorized to act for the applicant or contract research organization in releasing the reserve samples to FDA.

A contract research organization may contract with an appropriate independent third party to provide storage of reserve samples provided that the sponsor of the study has been notified in writing of the name and address of the facility at which the reserve samples will be stored. If a contract research organization conducting a BA or BE study that requires reserve sample retention goes out of business, it shall transfer its reserve samples to an appropriate independent third party, and shall notify in writing the sponsor of the study of the transfer and provide the study sponsor with the name and address of the facility to which the reserve samples have been transferred.

The applicant of an abbreviated application or a supplemental application submitted under section 505 of the Federal Food, Drug, and Cosmetic Act, or, if BE, testing was performed under contract, the contract research organization shall retain reserve samples of any test article, and reference standard used in conducting an in vivo or in vitro BE study required for approval of the abbreviated application or supplemental application and beyond as required.

VII. CLARIFICATION ON REQUIREMENTS

After the revision of the note for guidance (NfG) on the Investigation on BA and Bioequivalence in 2002, (<http://www.emea.europa.eu/pdfs/human/qwp/140198enfin.pdf>), it appears that some harmonization in the interpretation of critical parts of the guideline is needed.

A. IN WHICH CASES IS IT ALLOWED TO USE A WIDER ACCEPTANCE RANGE FOR THE RATIO OF C_{MAX}?

NfG states under 3.6.2 "With respect to the ratio of C_{max} the 90% CI for this measure of relative bioavailability should lie within an acceptance range of 0.80–1.25. In specific cases, such as a narrow therapeutic range, the acceptance interval may need to be tightened."

NfG also states "In certain cases a wider interval may be acceptable. The interval must be *prospectively defined*, e.g. 0.75–1.33, and *justified* addressing in particular any safety or efficacy concerns for patients switched between formulations."

The possibility offered here by the guideline to widen the acceptance range of 0.80 to 1.25 for the ratio of C_{max} (not for AUC) should be considered exceptional and limited to a small widening (0.75–1.33). Furthermore, this possibility is restricted to those products for which at least one of the following criteria applies:

1. Data regarding PK/PD relationships for safety and efficacy are adequate to demonstrate that the proposed wider acceptance range for C_{max} does not affect PD studies in a clinically significant way.

2. If PK/PD data are either inconclusive or not available, clinical safety and efficacy data may still be used for the same purpose, but these data should be specific to the compound to be studied and persuasive.
3. The reference product has a highly variable within-subject BA. Please refer to the question on highly variable drug or drug products for guidance on how to address this issue at the planning stage of the BE trial.

A post hoc justification of an acceptance range wider than defined in the protocol cannot be accepted. Information that would be required to justify results lying outside the conventional acceptance range at the post hoc stage should be utilized at the planning stage, either for a scientific justification of a wider acceptance range for C_{max} or for selecting an experimental approach that allows the assessment of different sources of variability.

B. WHEN CAN SUBJECTS CLASSIFIED AS OUTLIERS BE EXCLUDED FROM THE ANALYSIS IN BE STUDIES?

Under 3.6.3 the NfG states “Post-hoc exclusion of outliers is generally not accepted” but at the same time acknowledges “the protocol should also specify methods for identifying biologically implausible outliers.”

Unbiased assessment of results from randomized studies requires that all subjects are observed and treated according to the same rules that should be independent from treatment or outcome. In consequence, PK data can only be excluded based on nonstatistical reasons that have been either defined previously in the protocol or, at the very least, established before reviewing the data. Acceptable explanations to exclude PK data or to exclude a subject would be protocol violations like vomiting, diarrhea, analytical failure. The search for such explanations must apply to all subjects in all groups independently of the size of the observed PK parameters or its outlying position. Exclusion of data can never be accepted on the basis of statistical analysis or for PK reasons alone because it is impossible to distinguish between formulation effects and PK effects.

Exceptional reasons may justify post hoc data exclusion but this should be considered with utmost care. In such a case, the applicant must demonstrate that the condition stated to cause the deviation is present in the outlier(s) only and absence of this condition has been investigated using the same criteria for all other subjects.

Results of statistical analyses with and without the group of excluded subjects should be provided.

C. IF ONE SIDE OF THE 90% CI OF A PK VARIABLE FOR TESTING BE LIES ON 0.80 OR 1.25, CAN WE CONCLUDE THAT THE PRODUCTS ARE BIOEQUIVALENT?

For establishing BE, the 90% CI should lie *within* the acceptance interval (in most cases, 0.80–1.25), the borders being

included. The conclusion that products are bioequivalent is based on the overall scientific assessment of the PK studies, not only on meeting the acceptance range.

D. IN WHICH CASES MAY A NONPARAMETRIC STATISTICAL MODEL BE USED?

NfG states under 3.6.1, statistical analysis, “AUC and C_{max} should be analysed using ANOVA after log transformation.” The reasons for this request are the following:

- a. The AUC and C_{max} values as biological parameters are usually not normally distributed.
- b. A multiplicative model may be plausible.
- c. After log transformation, the distribution may allow a parametric analysis.

However, the true distribution in a PK data set usually cannot be characterized due to the small sample size, so it is *not* recommended to have the analysis strategy depend on a pretest for normality. Parametric testing using analysis of variance (ANOVA) on log-transformed data should be the rule. Results from nonparametric statistical methods or other statistical approaches are nevertheless welcome as sensitivity analyses. Such analyses can provide reassurance that conclusions from the experiment are robust against violations of the assumptions underlying the analysis strategy.

For T_{max}, the use of nonparametric methods on the original data set is recommended.

E. WHEN SHOULD METABOLITE DATA BE USED TO ESTABLISH BE?

According to the guideline, the only situations where metabolite data *can be used* to establish BE are

- “If the concentration of the active substance is too low to be accurately measured in the biological matrix, thus giving rise to significant variability.” Comments. Metabolite data can only be used if the applicant presents convincing, state-of-the-art arguments that measurements of the parent compound are unreliable. Even so, it is important to point out that C_{max} of the metabolite is less sensitive to differences in the rate of absorption than C_{max} of the parent drug. Therefore, when the rate of absorption is considered of clinical importance, BE should, if possible, be determined for C_{max} of the parent compound, if necessary at a higher dose. Furthermore, when using metabolite data as a substitute for parent drug concentrations, the applicant should present data supporting the view that the parent drug exposure will be reflected by metabolite exposure.
- “If metabolites significantly contribute to the net activity of an active substance and the pharmacokinetic system is nonlinear.” Comments. To evaluate

the significance of the contribution of metabolites, relative AUCs and nonclinical or clinical PD activities should be compared with those of the parent drug. PK/PD modeling may be useful. If criteria for significant contribution to activity and PK non-linearity are met, then “it is necessary to measure both parent drug and active metabolite plasma concentrations and evaluate them separately.” Any discrepancy between the results obtained with the parent compound and the metabolites should be discussed based on relative activities and AUCs. If the discrepancy lies in C_{max}, the results of the parent compound should usually prevail. Pooling of the plasma concentrations or PK parameters of the parent drug and its metabolite for calculation of BE is not acceptable.

F. WHEN USING METABOLITE DATA TO ESTABLISH BE, MAY ONE USE THE SAME JUSTIFICATION FOR WIDENING THE C_{MAX} ACCEPTANCE CRITERIA AS IN THE CASE OF THE PARENT COMPOUND?

In principle, the same criteria apply as for the parent drug (see question on widening the acceptance range for C_{max}). However, as stated earlier (see question regarding when metabolite data can be used), C_{max} of the metabolite is less sensitive to differences in the rate of absorption than C_{max} of the parent drug. Therefore, widening the C_{max} acceptance range when using metabolites instead of the parent compound is generally not accepted. When the metabolite has a major contribution to, or is completely responsible for, the therapeutic effect, and if it can be demonstrated that a widened acceptance range would not lead to any safety or efficacy concerns, which will usually prove more difficult than for the parent compound (see question on widening the acceptance range for C_{max}), then a widened acceptance range for C_{max} of metabolite may be accepted.

G. WHAT IS A “HIGHLY VARIABLE DRUG OR DRUG PRODUCT”?

The standard approach to the analysis of a two-treatment, two-sequence, two-period crossover trial is an ANOVA for the log-transformed PK parameters, where the factors formulation, period, sequence, and subject nested within sequence are used to explain overall variability in the observations. The residual coefficient of variation (CV) is a measure of the variability that is unexplained by the aforementioned factors. Amongst others, within-subject variability, formulation variability, analytical errors, and subject by formulation interaction can contribute to this residual variance.

A drug product is called highly variable if its intra-individual (i.e., within-subject) variability is greater than 30%. A high CV as estimated from the ANOVA model is thus an indicator for high within-subject variability. However, a replicate design is needed to assess within-subject variability.

When testing for BE of a product with a nonlinear PK, how should one select the strengths with the largest sensitivity to detect differences in the two products?

Section 5.4 of the guideline states “If a new application concerns several strengths of the active substance a bioequivalence study investigating only one strength may be acceptable” provided five conditions are fulfilled, among which, when PKs is not linear over the therapeutic dose range “the strengths where the sensitivity is largest to identify differences in the two products should be used.” Nonlinear PK, in this case, should reflect a nonlinear drug input rate as stated in the guideline.

Generally, it is the studied dose and not the studied formulation strength that is of importance when considering BE for drugs with nonlinear PK characteristics. An exception is when BA is governed by the solubility of the active ingredient. Then BE studies should include the highest formulation strength.

When studies are warranted at the high dose range, they should be performed at the highest commonly recommended dose. If this dose cannot be administered to volunteers, the study may need to be performed in patients. If the study is conducted at the highest acceptable dose in volunteers, the applicant should justify this and discuss how BE determined at this dose can be extrapolated to the highest commonly recommended dose.

When proof of linear absorption or elimination kinetics is lacking, or if evidence of nonlinearity is available, BE between test and reference formulations should be established with both the lowest and the highest doses unless adequately justified by the applicant. This approach is the most sensitive for detecting differences in rate and extent of absorption for substances with dose-dependent PKs. On the other hand, if only one dose is chosen in the BE studies, which dose to choose depends on the cause of nonlinearity. For instance, single-strength studies may be conducted

- On the highest dose for drugs with a demonstrated greater than proportional increase in AUC or C_{max} with increasing dose during single- or multiple-dose studies. In this case, an additional steady-state study may be needed if the drug accumulates (steady-state concentrations are higher than those reached after single-dose administration).
- On the lowest dose (or a dose in the linear range) for drugs with a demonstrated less than proportional increase in AUC or C_{max} with increasing dose, for example, if this phenomenon is due to saturable absorption.

When BA of a substance with nonlinear PK is governed by the solubility of the active substance, resulting in a less than proportional increase in AUC with increasing dose, BE should be established with both the lowest and the highest dose (which may exceed the recommended initial dose) and should include the highest formulation strength.

It is worth mentioning that in case of linear kinetics but low or critical solubility, there is a similar need to test the highest strength and dose.

H. WHAT ARE THE CONDITIONS FOR USING URINARY PK DATA FOR BE ASSESSMENT?

Section 3.3 of the guideline states “The use of urinary excretion data may be advantageous in determining the extent of drug input in case of products predominantly excreted renally, but has to be justified when used to estimate the rate of absorption.”

The extent of drug input may be determined by the use of urinary excretion data provided elimination is dose-linear and is predominantly renal as an intact drug. However, the use of urinary data has to be carefully justified when used to estimate the rate of absorption. If reliable plasma C_{max} can be determined, this should be combined with urinary data on the extent of absorption for assessing BE.

I. STANDARDIZATION OF BE STUDIES WITH REGARD TO FOOD INTAKE. HOW STRICTLY SHOULD THE GUIDELINE BE INTERPRETED?

Section 3.2.2 of the guideline states “If the Summary of Product Characteristics (SPC) of the reference product contains specific recommendations in relation to food intake related to food interaction the study should be designed accordingly.”

The recommendations concerning food intake in the SPC are not sufficient for regulatory decisions on the adequacy of BE studies. Preferably, the following conditions should be considered separately when the SPC recommends administration of the substance together with food intake.

- If the recommendation of food intake in the SPC is based on PK properties such as higher BA, then a BE study under fed conditions is generally required
- If the recommendation of food intake is intended to decrease adverse events or improve tolerability, a BE study under fasting conditions is considered acceptable although it would be advisable to perform the study under fed conditions
- If the SPC leaves a choice between fasting and fed conditions, then BE should preferably be tested under fasting conditions as this situation will be more sensitive to differences in PKs

The composition of the meal should be described and taken into account, since a light meal might sometimes be preferable to mimic clinical conditions, especially when the fed state is expected to be less sensitive to differences in PKs. However, for modified-release products, a high-fat meal is required.

For products with release characteristics differing from conventional immediate release (e.g., improved release, dissolution, or absorption), even if they cannot be classified as

modified-release products with prolonged or delayed release, BE studies may be necessary in both the fasted and fed states.

J. WORLDWIDE CONSIDERATIONS

Whereas there is a general consensus among the West European, North American, and Japanese regulatory authorities on the BE requirements for marketing authorization of generic products, such is not the case in the rest of the world. For example, the varied nature of the requirement in South America perhaps typifies the heterogeneity in other continents. For example, an examination of the regulatory systems of the ten South American agencies showed that out of the 96 active ingredients, only four active ingredients commonly require BE studies in all ten countries: valproic acid, carbamazepine, cyclosporine, and phenytoin. All of them are considered high health risks. The countries with the least number of active ingredients with BE study requirements are Colombia (only five) followed by Costa Rica (only seven) and the countries with the highest number of requirements remain the United States and Canada. Chile is in the process of establishing the requirement for all active ingredients that require BE studies. Whereas the WHO has established certain guidelines, these are not widely followed in much of the Third World countries and BE studies remain haphazardly managed. Following are some of the common occurrences in the marketing approvals of generic products in the Third World countries:

- Nonvalidated test methods
- Statistically incorrect experimental designs
- Lack of authenticity of study
- Lack of assurance that the study is conducted on the manufactured batches; the MNCs routinely submitting studies from their filings in the West in support of products to be manufactured locally

VIII. POSTAPPROVAL CHANGES

Information on the types of in vitro dissolution and in vivo BE studies that should be conducted for immediate-release and modified-release drug products approved as NDAs or aNDAs in the presence of specified postapproval changes is provided in the FDA guidance for industry titled *SUPAC-IR: Immediate Release Solid Oral Dosage Forms: Scale-Up and Post-Approval Changes: Chemistry, Manufacturing, and Controls, In Vitro Dissolution Testing, and In Vivo Bioequivalence Documentation* (November 1995) and *SUPAC-MR: Modified Release Solid Oral Dosage Forms: Scale-Up and Post-Approval Changes: Chemistry, Manufacturing, and Controls, In Vitro Dissolution Testing, and In Vivo Bioequivalence Documentation* (September 1997). In the presence of certain major changes in components, composition, or methods of manufacture after approval, in vivo BE should be redemonstrated. For approved NDAs, the drug product after the change should be compared with the drug product before the change. For approved aNDAs, the drug product after the change should be compared with the RLD. Under section 506A(c)(2)

(B) of the Federal Food, Drug, and Cosmetic Act (the Act) [21 USC 356a(c)(2)(B)], postapproval changes requiring completion of studies in accordance with Part 320 must be submitted in a supplement and approved by FDA before distributing a drug product made with the change.

A. NDAs: BA AND BE STUDIES

An NDA can be submitted for a previously unapproved new molecular entity or for a new salt, new ester, prodrug, or other noncovalent derivative of a previously approved new molecular entity, formulated as a modified-release drug product. The first modified-release drug product for a previously approved immediate-release drug product should be submitted as an NDA. Subsequent modified-release products that are pharmaceutically equivalent and bioequivalent to the listed drug product should be submitted as aNDAs. BA requirements for the NDA of an extended-release product are listed in 21 CFR 320.25(f). The purpose of an in vivo BA study for which a controlled-release claim is made is to determine if all the following conditions are met:

- The drug product meets the controlled-release claims made for it.
- The BA profile established for the drug product rules out the occurrence of any dose dumping.
- The drug product's steady-state performance is equivalent to a currently marketed noncontrolled-release or controlled-release drug product that contains the same active drug ingredient or therapeutic moiety and is subject to an approved full NDA.
- The drug product's formulation provides consistent PK performance between individual dosage units.

As noted in 21 CFR 320.25(f)(2), "the reference material(s) for such a bioavailability study shall be chosen to permit an appropriate scientific evaluation of the controlled-release claims made for the drug product," such as the following:

- Solution or suspension of the active drug ingredient or therapeutic moiety
- Currently marketed noncontrolled-release drug product containing the same active drug ingredient or therapeutic moiety and administered according to the dosage recommendations in the labeling
- Currently marketed controlled-release drug product subject to an approved full NDA containing the same active drug ingredient or therapeutic moiety and administered according to the dosage recommendations in the labeling
- This guidance recommends that the following BA studies be conducted for an extended-release drug product submitted as an NDA:
 - Single-dose fasting study on all strengths of tablets and capsules and highest strength of beaded capsules
 - Single-dose food-effect study on the highest strength
 - Steady-state study on the highest strength

BE studies are recommended when substantial changes in the components or composition or method of manufacture for an extended-release drug product occur between the to-be-marketed NDA dosage form and the clinical trial material.

B. WAIVERS OF IN VIVO BE STUDIES (BIOWAIVERS): NDAs AND ANDAs

1 Beaded capsules-lower strength

For modified-release beaded capsules, where the strength differs only in the number of beads containing the active moiety, a single-dose fasting BE study should be carried out only on the highest strength, with waiver of in vivo studies for lower strengths based on dissolution profiles. A dissolution profile should be generated for each strength using the recommended dissolution method. The f_2 test should be used to compare profiles from the different strengths of the product. An f_2 value of 50 can be used to confirm that further in vivo studies are not needed.

2 Tablets-lower strength

For modified-release tablets, when the drug product is in the same dosage form but in a different strength, is proportionally similar in its active and inactive ingredients, and has the same drug release mechanism, an in vivo BE determination of one or more lower strengths can be waived based on dissolution profile comparisons, with an in vivo study only on the highest strength. The drug products should exhibit similar dissolution profiles between the highest strength and the lower strengths, based on the f_2 test in at least three dissolution media (e.g., pH 1.2, 4.5, and 6.8). The dissolution profile should be generated on the test and reference products of all strengths.

C. RISK-BASED BE

The guidance defines *narrow therapeutic range* drug products as those containing certain drug substances that are subject to therapeutic drug concentration or pharmacodynamic monitoring, and where product labeling indicates a narrow therapeutic range designation. Examples include digoxin, lithium, phenytoin, theophylline, and warfarin. Because not all drugs subject to therapeutic drug concentration or PD monitoring are narrow therapeutic range drugs, sponsors and applicants should contact the appropriate review division at CDER to determine whether a drug should or should not be considered to have a narrow therapeutic range.

The guidance recommends that sponsors consider additional testing and controls to ensure the quality of drug products containing narrow therapeutic range drugs. The approach is designed to provide increased assurance of interchangeability for drug products containing specified narrow therapeutic range drugs. It is not designed to influence the practice of medicine or pharmacy.

Unless otherwise indicated by a specific guidance, this guidance recommends that the traditional BE limit of 80% to 125% for non-narrow therapeutic range drugs remain

unchanged for the BA measures (AUC and C_{max}) of narrow therapeutic range drugs.

The selection of active ingredients for which BE studies should be required is a public health decision and as such should take into account the benefit/risk ratio of the same. This situation leads to the health risk concept, that is, which active ingredients require rigorous handling to prevent public health problems. One way of doing this is to take into account which active ingredients, because of their pharmacological characteristics, should be controlled through blood determinations.

As operational definition, the health risk concept should be established in the context of the problems of BE. For this purpose it would be reasonable to establish what are the health consequences when the drug is outside (under or above) the therapeutic window (the margin determined by the nontoxic maximum concentration and the effective minimum concentration). Thus, in relating the therapeutic window (the margin whose limits are the nontoxic maximum and effective minimum concentrations) and adverse effects of the drugs, three risk levels can be established, as described below.

High health risk: This is the probability of the appearance of threatening complications of the disease for the life or the psychophysical integrity of the person and/or serious adverse reactions (death, patient hospitalization, extension of the hospitalization, significant or persistent disability, disability or threat of death), when the blood concentration of the active ingredient is not within the therapeutic window. For purposes of the selection, this risk level was assigned a score of 3 (three).

Intermediate health risk: This is the probability of the appearance of nonthreatening complications of the disease for the life or the psychophysical integrity of the person and/or adverse reactions, not necessarily serious, when the blood concentration of the active ingredient is not found within the therapeutic window. For purposes of the selection, this risk level was assigned a score of 2 (two).

Low health risk: This is the probability of the appearance of a minor complication of the disease and/or mild adverse reactions, when the blood concentration of the active ingredient is not within the therapeutic window. For purposes of the selection, this risk level was assigned a score of 1 (one).

While there are other factors to be considered such as the physicochemical and PK parameters, from the standpoint of public health, the most important element to take into account is the health risk. Table 4 lists the active ingredients classified in accordance with their health risk and the established scores.

D. TYPICAL EXAMPLES OF COMPLEX BE

1 Digoxin

Digoxin in tablet form is not listed in the Orange Book, since this is a “grandfathered” dosage form of digoxin. Since the tablet formulation of digoxin was established in clinical use

TABLE 4

Classification of Active Ingredients According to Their Health Risk

Active Ingredient	Health Risk
Acetazolamide	1
Allopurinol	1
Calcium folinate	1
Captopril	1
Clomifene	1
Cloxacillin	1
Dexamethasone	1
Diazepam	1
Folic acid + ferrous sulfate	1
Ibuprofen	1
Isosorbide dinitrate	1
Levamisole	1
Mebendazole	1
Mefloquine	1
Nalidixic acid	1
Niclosamide	1
Nifedipine	1
Nystatin	1
Phenoxymethylpenicillin	1
Phytomenadione	1
Pyranatel	1
Praziquantel	1
Pyrazinamide	1
Sulfasalazine	1
Amiloride	2
Amitriptyline	2
Amoxicillin	2
Atenolol	2
Azathioprine	2
Biperiden	2
Chloramphenicol	2
Cimetidine	2
Ciprofloxacin	2
Clofazimine	2
Clomipramine	2
Chlorpromazine	2
Co-trimoxazole	2
Cyclophosphamide	2
Dapsone	2
Diethylcarbamazine	2
Doxycycline	2
Erythromycin	2
Ethinylestradiol	2
Etoposide	2
Flucytosine	2
Fludrocortisone	2
Furosemide	2
Haloperidol	2
Hydrochlorothiazide	2
Indometacin	2
Isoniazid	2

(Continued)

TABLE 4 (CONTINUED)
Classification of Active Ingredients According to Their Health Risk

Active Ingredient	Health Risk
Ketoconazole	2
Levodopa + inhib. DDC	2
Levonorgestrel	2
Levotiroxina	2
6-Mercaptopurine	2
Methotrexate	2
Methyldopa	2
Metoclopramide	2
Metronidazole	2
Nitrofurantoin	2
Norethindrone	2
Oxamniquine	2
Paracetamol	2
Penicillamine	2
Piperazine	2
Pyridostigmine	2
Procarbazine	2
Promethazine	2
Propranolol	2
Propylthiouracil	2
Pyrimethamine	2
Quinine	2
Rifampicin	2
Salbutamol, sulfate	2
Spironolactone	2
Tamoxifen	2
Tetracycline	2
Carbamazepine	3
Cyclosporine	3
Digoxin	3
Ethambutol	3
Ethosuximide	3
Griseofulvin	3
Lithium carbonate	3
Oxcarbazepine	3
Phenytoin	3
Procainamide	3
Quinidine	3
Theophylline	3
Tolbutamide	3
Valproic acid	3
Verapamil	3
Warfarin	3

before the passage of the Federal Food, Drug, and Cosmetic Act of 1938, generic versions of digoxin tablets may be marketed without an approved aNDA. Data showing BE of generic digoxin tablet products to the innovator product Lanoxin are generally not available or forthcoming, so that comparable rate and extent of absorption between generic products and Lanoxin brand tablets, or between different generic products, is not ensured. Seventeen generic digoxin tablets (0.25

mg) have been listed as currently marketed, though some of these may be marketed by suppliers or distributors of another manufacturer's product. Without PK data to verify the BE of these products to Lanoxin, the clinical responses (both therapeutic and toxic) from these generic products compared with Lanoxin are unpredictable. This inability to guarantee therapeutic equivalence to a reference product opposes the entire premise of generic substitution: the practitioner should expect the same responses (no more, no less) from a therapeutically equivalent generic product. Consequently, generic substitution is not advised. Use of a generic digoxin product as initial therapy may result in lower or higher than expected BA, requiring additional monitoring and dosage adjustment, and ultimately increasing costs of therapy far above the cost savings from a less expensive generic product.

2 Levothyroxine

Levothyroxine sodium tablets are also currently not listed in the Orange Book. In the words of FDA, levothyroxine sodium was first introduced into the market before 1962 without an approved NDA, apparently in the belief that it was not a new drug. The lack of BE data of generic preparations to the two major brand name products Synthroid and Levothroid has been noted, along with the adoption in 1984 of the *U.S. Pharmacopoeia* guidelines for potency of levothyroxine sodium tablets. However, between 1987 and 1994, a total of 58 adverse drug experience reports with levothyroxine sodium tablets were received by FDA, with 47 of the incidences apparently related to subpotency and nine incidences related to superpotency. These adverse events were caused not only by switching product brands, but also by inconsistencies in BA between different lots from the same source. BE issues regarding levothyroxine sodium tablets were highlighted when the results of a BE study comparing the innovator product Synthroid with several generic brands finally appeared in the literature. The study sponsor (the marketer of Synthroid) attempted to prevent publication of these results, which claimed BE of Synthroid to three other levothyroxine sodium products. After publication of these study results, advertisements appeared in journals and trade magazines advocating the substitution of other brand name levothyroxine sodium products (e.g., Levothroid, Levoxyl) for Synthroid. In addition, statements were made such as "Feel comfortable using Levothroid, Levoxyl, or Synthroid in hypothyroid patients. These three are bioequivalent ... even though they're not AB-rated."

Several points should be considered before routinely switching marketed brands of levothyroxine sodium tablets (at least 24 products for the 0.1 mg tablet are listed). First, although the conclusions stated in the peer-reviewed BE study cited appear to be generally accepted, the results of this study were not subjected to the scrutiny of the FDA review process. In view of significant stability and potency problems, FDA has issued a Federal Register notice stating that (1) orally administered levothyroxine sodium products are now considered new drugs and (2) manufacturers who intend to continue marketing these products must submit an NDA within 3 years

to obtain approval. Recently, FDA extended this deadline for an additional year. Second, the impression that all levothyroxine sodium tablet formulations are likely to be bioequivalent is not currently supported with FDA-substantiated BE data; routine substitution of these products for refills of existing prescriptions is not advisable until FDA review is complete. Third, practitioners must always comply with the substitution laws in their individual states. If a statute mandates substitution of a therapeutically equivalent or bioequivalent product, reliance upon data reported in the scientific literature may not always guarantee these requirements will be satisfied.

3 Warfarin sodium

Three approved generic versions of warfarin sodium tablets (seven strengths) are currently listed in the Orange Book. Before approval of these generic warfarin sodium products, several states either enacted or were considering legislation to require pharmacists to obtain prescriber and patient approval for generic substitution of drugs with a narrow therapeutic index (NTI). In response, FDA issued a position statement. FDA's position is clear with regard to the issue of tightening CIs and changing study designs for BE determinations of NTI drugs. The present requirements to prove BE, at least in the United States and Canada, are already so difficult and constrained that there is no possibility, even for NTI drugs, that dosage forms meeting the criteria could lead to therapeutic problems. Drugs approved through the NDA process with NTIs, by definition, must have low intrasubject variability. Otherwise, patients would have cycles of toxicity and lack of efficacy, and therapeutic drug monitoring would be useless. The low intrasubject variability associated with NTI drugs ensures that patient response to a specific drug should be consistent, and the statistical criteria required by FDA for BE appear more than adequate for confidence in generic substitution. This is especially true in light of the notable absence of data that prove otherwise. For the most part, the arguments against generic substitution of NTI drugs appear to be based on economic considerations. Commentaries debating the suitability of generic warfarin products have focused on the results from reports of clinical studies with generic warfarin and the content uniformity requirements for warfarin sodium tablets. As indicated in a letter addressing these issues, no convincing and substantiated scientific data have been published showing bioinequivalence of generic warfarin products or product failure of these products in clinical studies. Recently, an evidence-based medicine approach was used to compare the results reported with Coumadin and a generic warfarin product in clinical studies. No significant differences were found in the international normalized ratio (INR), number of dosage changes to adjust INR in range, or number of hospitalizations or incidences of bleeding between the reference and generic warfarin products. Physicians may sometimes encounter difficulties in maintaining stabilized INR in patients anticoagulated with warfarin, because multiple drug interactions and patient variables affect warfarin levels and create difficulty in achieving consistently therapeutic INR values. However, factors such as diet, concurrent illnesses, interacting drugs,

and noncompliance are *intersubject* variables that are unrelated to the BE issue. For crossover studies using log-transformed data, it is largely the within-subject distribution of values (*intrasubject* variability) that determines the validity and efficiency of the standard parametric methods of analysis. For NTI drugs such as warfarin, intrasubject variability, by definition, is low and the available clinical data indicate that lack of BE does not appear to be the explanation for problems experienced during warfarin therapy. Another article introduces the concept of "switchability," that is, the substitution of one approved generic product for another generic product. BE studies submitted to FDA through an aNDA are conducted by comparing data from the proposed generic product and a reference product. The reference product is selected by FDA and is typically the innovator or pioneer product that was originally introduced into the market. Suppose approved generic product A differed from the reference product in at least one parameter (e.g., mean AUC values) by +4%, and that approved generic product B differed from the reference product by -4%. The net difference of generic products A and B would then be 8%; could this magnitude of difference result in bioinequivalence and lack of equivalent therapeutic response for an NTI drug? No data were presented from any clinical studies that could support the contention that switchability for NTI drugs is problematic. Rather, phrases such as "... with NTI drugs, small variations in bioavailability can potentially pose problems" and conceptual arguments are used to suggest the need for special BE criteria to be applied to NTI drugs. Reference is made to the FDA's draft guidance for population and individual BE studies, which propose the use of reference scaling (essentially, modifying the BE criteria to account for the variability of the reference product) for NTI drugs, regardless of the intrasubject variability of the reference product. Since NTI drugs have low intrasubject variability as discussed, this approach would likely result in narrower CI requirements. Finally, a recent report further confirms the BE of generic warfarin to the innovator product. More than 100 subjects anticoagulated with Coumadin were switched to a generic warfarin product for 8 weeks in a nonrandomized comparative clinical observational study. The overall conclusion was that the variability in INR in patients receiving generic warfarin was not statistically significant from that seen in the control group receiving Coumadin. These investigators identified associated factors not related to the product change in subjects whose INR varied by >1.0 from baseline. This further emphasizes the critical role of interpatient factors (physical activity, dietary vitamin K, noncompliance, drug interactions, congestive heart failure, diarrhea, alcohol consumption) affecting the anticoagulant response with warfarin.

4 Albuterol metered-dose inhalers

Four approved generic versions of albuterol metered-dose inhalers are currently listed in the Orange Book as therapeutically equivalent (AB-rated) to the reference product Ventolin. The Proventil product is rated BN, or not therapeutically equivalent to Ventolin or the four generic products. For products administered by metered-dose inhalation and

intended for local therapeutic effects, the typical PK methods for evaluating BE cannot be used. Rather, an approach based on acute PD response (forced expiratory volume in 1 second, FEV₁) was proposed, with asthmatic patients as subjects. The statistical criteria and appropriate CIs for BE determination are not as rigidly defined for PD methods as for PK methods. Consequently, variability in patient response may be of slightly greater concern, since albuterol metered-dose inhalers are used as “rescue inhalers” for nocturnal asthma attacks (even though they are not considered NTI drugs). However, FDA is satisfied that these products will produce equivalent therapeutic responses.

E. GENERAL PK STUDY DESIGN AND DATA HANDLING

For replicate and nonreplicate in vivo PK BE studies, the following general approaches are recommended, recognizing that the elements may be adjusted for certain drug substances and drug products.

1 Study conduct

- The test or reference products should be administered with approximately 8 oz (240 mL) of water to an appropriate number of subjects under fasting conditions, unless the study is a food-effect BA and BE study.
- Generally, the highest marketed strength should be administered as a single unit. If warranted for analytical reasons, multiple units of the highest strength can be administered, providing the total single dose remains within the labeled dose range.
- An adequate washout period (e.g., more than five half-lives of the moieties to be measured) should separate each treatment.
- The lot numbers of both test and reference-listed products and the expiration date for the reference product should be stated. The drug content of the test product should not differ from that of the reference-listed product by more than 5%. The sponsor should include a statement of the composition of the test product and, if possible, a side-by-side comparison of the compositions of test and reference-listed products. In accordance with 21 CFR 320.38, samples of the test and reference-listed product must be retained for 5 years
- Before and during each study phase, subjects should be allowed water, as desired, except for 1 hour before and after drug administration; be provided standard meals no less than 4 hours after drug administration; and abstain from alcohol for 24 hours before each study period and until after the last sample from each period is collected

2 Sample collection and sampling times

- Under normal circumstances, blood, rather than urine or tissue, should be used. In most cases, drug or metabolites are measured in serum or plasma. However, in certain cases, whole blood may be more

appropriate for analysis. Blood samples should be drawn at appropriate times to describe the absorption, distribution, and elimination phases of the drug. For most drugs, 12 to 18 samples, including a predose sample, should be collected per subject per dose. This sampling should continue for at least three or more terminal half-lives of the drug. The exact timing for sample collection depends on the nature of the drug and the input from the administered dosage form. The sample collection should be spaced in such a way that the maximum concentration of the drug in the blood (C_{max}) and terminal elimination rate constant (λ_z) can be estimated accurately. At least three to four samples should be obtained during the terminal log-linear phase to obtain an accurate estimate of λ_z from linear regression. The actual clock time when samples are drawn as well as the elapsed time related to drug administration should be recorded

3 Subjects with predose plasma concentrations

- If the predose concentration is less than or equal to 5% of the C_{max} value in that subject, the subject’s data, without any adjustments, can be included in all PK measurements and calculations. If the predose value is greater than 5% of C_{max}, the subject should be dropped from all BE study evaluations.

4 Data deletion due to vomiting

- Data from subjects who experience emesis during the course of a BE study for immediate-release products should be deleted from statistical analysis if vomiting occurs at or before two times median T_{max}. In the case of modified-release products, the data from subjects who experience emesis any time during the labeled dosing interval should be deleted.

5 PK information recommended for submission

- Plasma concentrations and time points
- Subject, period, sequence, treatment
- AUC_{0-t}, AUC_{0-∞}, C_{max}, T_{max}, k_z, and t_{1/2}
- Intersubject, intrasubject, and total variability, if available
- Concentration at the end of a dosing interval (C_{min}), average concentration during a dosing interval (C_{av}), degree of fluctuation [(C_{max} - C_{min})/C_{av}], and swing [(C_{max} - C_{min})/C_{min}], if steady-state studies are employed
- Partial AUC, if justified

6 BE demonstration measures

- Logarithmic transformation should be provided for measures used for BE demonstration.

7 CI values

- CI values should not be rounded off; therefore, to pass a CI limit of 80 to 125; the value should be at least 80 and not more than 125

8 Statistical information for AUC_{0-T} , $AUC_{0-\infty}$, and C_{max}

- Geometric mean
- Arithmetic mean
- Ratio of means
- CIs

F. MEASUREMENT INDICES

Whenever comparison of the test product and the reference material is to be based on blood concentration-time curves or cumulative urinary excretion-time curves at steady state, appropriate dosage administration and sampling should be carried out to document attainment of steady state. A more complete characterization of the blood concentration or urinary excretion rate during the absorption and elimination phases of a single dose administered at steady state is encouraged to permit estimation of the total area under concentration-time curves or cumulative urinary excretion-time curves and to obtain PK information, for example, half-life or blood clearance, that is essential in preparing adequate labeling for the drug product.

When comparison of the test product and the reference material is to be based on acute pharmacological effect-time curves, measurements of this effect should be made with sufficient frequency to demonstrate a maximum effect and a lack of significant difference between the test product and the reference material.

G. DOSE SELECTION

Dose selection will depend upon the label claims, consideration of assay sensitivity, and relevance to the practical use conditions of the reference product. A blood level BE study should generally be conducted at the highest dose approved for the pioneer product.

However, FDA will consider a BE study conducted at a higher than approved dose in certain cases. Such a study may be appropriate when a multiple of the highest approved dose achieves measurable blood levels, but the highest approved dose does not. In general, the study would be limited to two to three times the highest dose approved for the pioneer product. The pioneer product should have an adequate margin of safety at the higher than approved dose level. The generic sponsor should also confirm (e.g., through literature) that the drug follows linear kinetics. A higher than approved dose BE study in food animal species would be accompanied by a tissue residue withdrawal study conducted at the highest approved dose for the pioneer product.

For products labeled for multiple claims involving different pharmacological actions at a broad dose range (e.g., therapeutic and production claims), a single BE study at the highest approved dose will usually be adequate. However, multiple BE studies at different doses may be needed if the drug is known to follow nonlinear kinetics. The sponsor should consult with FDA to discuss the BE study or studies appropriate to a particular drug.

H. MULTIPLE STRENGTHS OF SOLID ORAL DOSAGE FORMS

The generic sponsor should discuss with FDA the appropriate in vivo BE testing and in vitro dissolution testing to obtain approval for multiple strengths (or concentrations) of solid oral dosage forms. FDA will consider the ratio of active to inactive ingredients and the in vitro dissolution profiles of the different strengths, the water solubility of the drug, and the range of strengths for which approval is sought. One in vivo BE study with the highest strength product may suffice if the multiple strength products have the same ratio of active to inactive ingredients and are otherwise identical in formulation. In vitro dissolution testing should be conducted using an FDA approved method, to compare each strength of the generic product to the corresponding strength of the reference product.

I. MANUFACTURING OF PILOT BATCH (“BIOBATCH”)

A pilot batch or “biobatch” should be the source of the finished drug product used in the pivotal studies (i.e., BE studies and tissue residue studies), stability studies, and the validation studies for the proposed analytical and stability-indicating methods. Batch testing Individual batch testing is necessary to ensure that all batches of the same drug product meet an appropriate in vitro test. The Commissioner will ordinarily terminate a requirement for a manufacturer to submit samples for batch testing on a finding that the manufacturer has produced four consecutive batches that were tested by the FDA and found to meet the BE requirement, unless the public health requires that batch testing be extended to additional batches.

If a BE requirement specifies a currently available in vitro test or an in vitro BE standard comparing the drug product to a reference standard, the manufacturer shall conduct the test on a sample of each batch of the drug product to ensure batch-to-batch uniformity.

J. DOSING BY LABELED CONCENTRATION

The potency of the pioneer and generic products should be assayed prior to conducting the BE study to ensure that FDA or compendial specifications are met. The center recommends that the potency of the pioneer and generic lots should differ by no more than $\pm 5\%$ for dosage form products.

The animals should be dosed according to the labeled concentration or strength of the product rather than the assayed potency of the individual batch (i.e., the dose should not be corrected for the assayed potency of the product). The BE data or derived parameters should not be normalized to account for any potency differences between the pioneer and generic product lots.

K. SINGLE DOSE VS. MULTIPLE DOSE STUDIES

A single dose study at the highest approved dose will generally be adequate for the demonstration of BE. A single dose

study at a higher than approved dose may be appropriate for certain drugs.

A multiple-dose study may be appropriate when there are concerns regarding poorly predictable drug accumulation, (e.g., a drug with nonlinear kinetics) or a drug with a narrow therapeutic window. A multiple-dose study may also be needed when assay sensitivity is inadequate to permit drug quantification out to three terminal elimination half-lives beyond the time when maximum blood concentrations (C_{max}) are achieved, or in cases where prolonged or delayed absorption exist. The determination of prolonged or delayed absorption (i.e., flip-flop kinetics) may be made from pilot data, from the literature, or from information contained with FOI summaries pertaining to the particular drug or family of drugs.

L. GUIDELINES ON THE DESIGN OF A SINGLE-DOSE STUDY

A BE study should be a single-dose comparison of the drug product to be tested and the appropriate reference material conducted in normal adults. The test product and the reference material should be administered to subjects in the fasting state, unless some other approach is more appropriate for valid scientific reasons. A single-dose study should be crossover in design, unless a parallel design or other design is more appropriate for valid scientific reasons, and should provide for a drug elimination period. Unless some other approach is appropriate for valid scientific reasons, the drug elimination period should be either at least three times the half-life of the active drug ingredient or therapeutic moiety, or its metabolite(s), measured in the blood or urine or at least three times the half-life of decay of the acute pharmacological effect.

When comparison of the test product and the reference material is to be based on blood concentration-time curves, unless some other approach is more appropriate for valid scientific reasons, blood samples should be taken with sufficient frequency to permit an estimate of both the peak concentration in the blood of the active drug ingredient or therapeutic moiety, or its metabolite(s), measured; and the total AUC for a time period at least three times the half-life of the active drug ingredient or therapeutic moiety, or its metabolite(s), measured.

In a study comparing oral dosage forms, the sampling times should be identical. In a study comparing an intravenous dosage form and an oral dosage form, the sampling times should be those needed to describe both the distribution and elimination phase of the intravenous dosage form; and the absorption and elimination phase of the oral dosage form.

In a study comparing drug delivery systems other than oral or intravenous dosage forms with an appropriate reference standard, the sampling times should be based on valid scientific reasons.

When comparison of the test product and the reference material is to be based on cumulative urinary excretion-time curves, unless some other approach is more appropriate for valid scientific reasons, samples of the urine should be

collected with sufficient frequency to permit an estimate of the rate and extent of urinary excretion of the active drug ingredient or therapeutic moiety, or its metabolite(s), measured.

When comparison of the test product and the reference material is to be based on acute pharmacological effect-time curves, measurements of this effect should be made with sufficient frequency to permit a reasonable estimate of the total AUC for a time period at least three times the half-life of decay of the pharmacological effect, unless some other approach is more appropriate for valid scientific reasons.

The use of an acute pharmacological effect to determine BA may further require demonstration of dose-related response. In such a case, BA may be determined by comparison of the dose-response curves as well as the total area under the acute pharmacological effect-time curves for any given dose.

M. GUIDELINES FOR MULTIPLE-DOSE STUDY

In selected circumstances, it may be necessary for the test product and the reference material to be compared after repeated administration to determine steady-state levels of the active drug ingredient or therapeutic moiety in the body. The test product and the reference material should be administered to subjects in the fasting or nonfasting state, depending upon the conditions reflected in the proposed labeling of the test product.

A multiple-dose study may be required to determine the BA of a drug product in the following circumstances that there is a difference in the rate of absorption but not in the extent of absorption.; there is excessive variability in BA from subject to subject.; the concentration of the active drug ingredient or therapeutic moiety, or its metabolite(s), in the blood resulting from a single dose is too low for accurate determination by the analytical method; the drug product is an extended-release dosage form.

A multiple-dose study should be crossover in design, unless a parallel design or other design is more appropriate for valid scientific reasons, and should provide for a drug elimination period if steady-state conditions are not achieved. A multiple-dose study is not required to be of crossover design if the study is to establish dose proportionality under a multiple-dose regimen or to establish the PK profile of a new drug product, a new drug delivery system, or an extended-release dosage form.

If a drug elimination period is required, unless some other approach is more appropriate for valid scientific reasons, the drug elimination period should be either at least five times the half-life of the active drug ingredient or therapeutic moiety, or its active metabolite(s), measured in the blood or urine; or at least five times the half-life of decay of the acute pharmacological effect.

Whenever a multiple-dose study is conducted, unless some other approach is more appropriate for valid scientific reasons, sufficient doses of the test product and reference material should be administered in accordance with the labeling to achieve steady-state conditions.

N. FED VS. FASTED STATE

Feeding may either enhance or interfere with drug absorption, depending upon the characteristics of the drug and the formulation. Feeding may also increase the inter- and intrasubject variability in the rate and extent of drug absorption. The rationale for conducting each BE study under fasting or fed conditions should be provided in the protocol. Fasting conditions, if used, should be fully described, giving careful consideration to the PKs of the drug and the humane treatment of the test animals. The protocol should describe the diet and feeding regime, which will be used in the study.

If a pioneer product label indicates that the product is limited to administration either in the fed or fasted state, then the BE study should be conducted accordingly. If the BE study parameters pass the agreed-upon CIs, then the single study is acceptable as the basis for approval of the generic drug.

However, for certain product classifications or drug entities, such as enteric-coated and oral sustained-release products, demonstration of BE in both the fasted and the fed states may be necessary, if drug BA is highly variable under feeding conditions, as determined from the literature or from pilot data. A BE study conducted under fasted conditions may be necessary to pass the CIs. A second smaller study may be necessary to examine meal effects. FDA will evaluate the smaller study with respect to the means of the pivotal parameters (AUC, C_{max}). The sponsors should consult with FDA prior to conducting the studies.

O. PHARMACOLOGICAL END-POINT STUDIES

Where the direct measurement of the rate and extent of absorption of the new animal drug in biological fluids is inappropriate or impractical, the evaluation of a pharmacological end point related to the labeled indications for use will be acceptable.

Typically the design of a pharmacological end-point study should follow the same general considerations as the blood level studies. However, specifics such as the number of subjects or sampling times will depend on the pharmacological end point monitored. The parameters to be measured will also depend upon the pharmacological end points and may differ from those used in blood level studies. As with blood level studies, when pharmacological end-point studies are used to demonstrate BE, a tissue residue study will also be required in food-producing animals.

For parameters which can be measured over time, a time versus effect profile is generated, and equivalence is determined with the method of statistical analysis essentially the same as for the blood level BE study.

For pharmacological effects for which effect versus time curves cannot be generated, then alternative procedures for statistical analysis should be discussed with FDA prior to conducting the study.

P. CLINICAL END-POINT STUDIES

If measurement of the drug or its metabolites in blood, biological fluids, or tissues is inappropriate or impractical, and

there are no appropriate pharmacological end points to monitor (e.g., most production drugs and some coccidiostats and anthelmintics), then well-controlled clinical end-point studies are acceptable for the demonstration of BE.

Generally, a parallel group design with three treatment groups should be used. The groups should be a placebo (or negative) control, a positive control (reference/pioneer product), and the test (generic) product. The purpose of the placebo (or negative) control is to confirm the sensitivity or validity of the study. Dosage(s) approved for the pioneer product should be used in the study. Dosage(s) should be selected following consultation with FDA and should reflect consideration for experimental sensitivity and relevance to the common use of the pioneer product.

Studies should generally be conducted using the target animal species, with consideration for the sex, class, body weight, age, health status, and feeding and husbandry conditions, as described on the pioneer product labeling. In general, the length of time that the study is conducted should be consistent with the duration of use on the pioneer product labeling.

In general, the response(s) to be measured in a clinical end-point study should be based upon the labeling claims of the pioneer product and selected in consultation with the Center for Veterinary Medicine (CVM, FDA). It may not be necessary to collect data on some overlapping claims (e.g., for a production drug which is added at the same amount per ton of feed for both growth rate and feed efficiency, data from only one of the two responses need be collected).

When considering sample size, it is important to note that the pen, not the individual animal, is often the experimental unit. As with blood level BE studies, FDA is advocating the use of 90% CIs as the best method for evaluating clinical end-point studies. The bounds for confidence limits [e.g., $\pm 20\%$ of the improvement over placebo (or negative) control] for the particular drug should be agreed upon with FDA prior to initiation of the study.

The analysis should be used to compare the test product and the reference product. In addition, a traditional hypothesis test should be performed comparing both the test and reference products separately to the placebo (or negative) control. The hypothesis test is conducted to ensure that the study has adequate sensitivity to detect differences when they actually occur. If no significant improvement ($\alpha = .05$) is seen in the parameter [i.e., the mean of the test and the mean of the reference products are each not significantly better than the mean of the placebo (or negative) control], generally, the study will be considered inadequate to evaluate BE.

Assuming that the test and reference products have been shown to be superior to the placebo (or negative) control, the determination of BE is based upon the CI of the difference between the two products.

Some clinical end-point studies may not include a placebo (or negative) control for ethical and/or practical considerations. If the placebo is omitted, then the response(s) to the test and reference products should each provide a statistically significant improvement over baseline.

If the results are ordered categorical data (e.g., excellent, good, fair, or poor), a nonparametric hypothesis test of no

difference between test product and placebo (or negative) control and between the reference product and placebo (or negative) control should be performed. As above, if these tests result in significant differences between the test product and control and the reference product and control, then a nonparametric CI on the difference between the test and reference products is calculated.

Another acceptable approach for categorical data is to calculate the CI on the odds ratio between the test and reference products after showing that the test and reference products are significantly better than the control.

Q. ANALYTICAL METHODS

The analytical method used in an in vivo BA or BE study to measure the concentration of the active drug ingredient or therapeutic moiety, or its active metabolite(s), in body fluids or excretory products, or the method used to measure an acute pharmacological effect shall be demonstrated to be accurate and of sufficient sensitivity to measure, with appropriate precision, the actual concentration of the active drug ingredient or therapeutic moiety, or its active metabolite(s), achieved in the body. When the analytical method is not sensitive enough to measure accurately the concentration of the active drug ingredient or therapeutic moiety, or its active metabolite(s), in body fluids or excretory products produced by a single dose of the test product, two or more single doses may be given together to produce higher concentration.

Assay consideration

A properly validated assay method is pivotal to the acceptability of any PK study. Sponsors should discuss any questions or problems concerning the analytical methodology with CVM before undertaking the BE studies. The aNADA submission should contain adequate information necessary for the CVM reviewer to determine the validity of the analytical method used to quantitate the level of drug in the biological matrix (e.g., blood).

The following aspects should be addressed in assessing method performance.

Concentration range and linearity

The quantitative relationship between concentration and response should be adequately characterized over the entire range of expected sample concentrations. For linear relationships, a standard curve should be defined by at least five concentrations. If the concentration response function is non-linear, additional points would be necessary to define the non-linear portions of the curve. Extrapolation beyond a standard curve is not acceptable.

Limit of detection

The standard deviation of the background signal and limit of detection (LoD) should be determined. The LoD is estimated as the response value calculated by adding three times the standard deviation of the background response to the average background response.

Limit of quantitation

The initial determination of limit of quantitation (LoQ) should involve the addition of ten times the standard deviation of the background response to the average background response. The second step in determining LoQ is assessing the precision (reproducibility) and accuracy (recovery) of the method at the LoQ. The LoQ will generally be the lowest concentration on the standard curve that can be quantified with acceptable accuracy and precision.

Specificity

The absence of matrix interferences should be demonstrated by the analysis of six independent sources of control matrix. The effect of environmental, physiological, or procedural variables on the matrix should be assessed. Each independent control matrix will be used to produce a standard curve, which will be compared to a standard curve produced under chemically defined conditions. The comparison of curves should exhibit parallelism and superimposability within the limits of analytical variation established for the chemically defined standard curve.

Accuracy (recovery)

This parameter should be evaluated using at least three known concentrations of analyte freshly spiked in control matrix, one being at a point two standard deviations above the LoQ, one in the middle of the range of the standard curve (“mid-range”) and one at a point two standard deviations below the upper quantitative limit of the standard curve. The accuracy of the method, based upon the mean value of six replicate injections, at each concentration level, should be within 80% to 120% of the nominal concentration at each level (high, midrange, and LoQ).

Precision

This parameter should be evaluated using at least three known concentrations of analyte freshly spiked in control matrix, at the same points used for determination of accuracy. The CV of six replicates should be $\pm 10\%$ for concentrations at or above 0.1 ppm (0.1 $\mu\text{g/mL}$). A CV of $\pm 20\%$ is acceptable for concentrations below 0.1 ppm.

Analyte stability

Stability of the analyte in the biological matrix under the conditions of the experiment (including any period for which samples are stored before analyses) should be established. It is recommended that the stability be determined with incurred analyte in the matrix of dosed animals in addition to, or instead of, control matrix spiked with pure analyte. Also, the influence of three freeze-thaw cycles at two concentrations should be determined.

Stability samples at three concentrations should be stored with the study samples and analyzed through the period of time in which study samples are analyzed. These analyses will establish whether or not analyte levels have decreased during the time of analysis.

Analytical system stability

To ensure that the analytical system remains stable over the time course of the assay, the reproducibility of the standard curve should be monitored during the assay. A minimal design would be to run analytical standards at the beginning and at the end of the analytical run.

QC samples

The purpose of QC samples is to ensure that the complete analytical method, sample preparation, extraction, cleanup, and instrumental analysis perform according to acceptable criteria. The stability of the drug in the test matrix for the QC samples should be known and any tendency for the drug to bind to tissue or serum components over time should also be known.

Drug-free control matrix, for example, tissue, serum, that is freshly spiked with known quantities of test drug, should be analyzed contemporaneously with test samples, evenly dispersed throughout each analytical run. This can be met by the determination of accuracy and precision of each analytical run.

Replicate and repeat analyses

Single rather than replicate analyses are recommended, unless the reproducibility and/or accuracy of the method are borderline. Criteria for repeat analyses should be determined prior to running the study and recorded in the method SOP.

Summary of samples to be run with each analysis

- a. Accuracy estimate
- b. Precision estimate
- c. Analytical system stability
- d. Analyte stability samples

R. SAMPLING TIME CONSIDERATIONS

The total number of sampling times necessary to characterize the blood level profiles will depend upon the curvature of the profiles and the magnitude of variability associated with the BA data (including PK variability, assay error, and interproduct differences in absorption kinetics).

The sampling times should adequately define peak concentration(s) and the extent of absorption. The sampling times should extend to at least three terminal elimination half-lives beyond T_{max} . The sponsor should consult with FDA prior to conducting the pivotal BE study if the assay is unable to quantify samples to three half-lives.

Maximum sampling time efficiency may be achieved by conducting a pilot investigation. The pilot study should identify the general shapes of the test and reference curves, the magnitude of the difference in product profiles, and the noise associated with each blood-sampling time (e.g., variability attributable to assay error and the variability between subjects, for parallel study designs, or within subjects, for crossover study designs). This information should be applied to the determination of an optimum blood-sampling schedule. Depending upon these variability estimates, it may be more efficient to cluster several blood samples rather than to have

samples which are periodically dispersed throughout the duration of blood sampling.

S. PROTEIN BINDING

In general, product BE should be based upon total (free plus protein bound) concentrations of the parent drug (or metabolite, when applicable). However, if nonlinear protein binding is known to occur within the therapeutic dosing range (as determined from literature or pilot data), then sponsors may need to submit data on both the free and total drug concentrations for the generic and pioneer products.

Similarly, if the drug is known to enter blood erythrocytes, the protocol should address the issue of potential nonlinearity in erythrocyte uptake of the drug administered within the labeled therapeutic dosing range.

The BE protocol or completed study report should provide any information available from the literature regarding erythrocyte uptake and protein binding characteristics of the drug or drug class, including the magnitude of protein binding and the type of blood protein to which it binds.

T. SUBJECT NUMBER

Pilot studies are recommended as a means of estimating the appropriate sample size for the pivotal BE study. Estimated sample size will vary depending upon whether the data are analyzed on a log or linear scale. Useful references for sample size estimates include Hauschke et al., (1992).

U. CROSSOVER AND PARALLEL DESIGN CONSIDERATIONS

A two-period crossover design is commonly used in blood level studies. The use of crossover designs eliminates a major source of study variability: between subject differences in the rates of drug absorption, drug clearance, and the volume of drug distribution.

In a typical two-period crossover design, subjects are randomly assigned to either sequence A or sequence B with the restriction that equal numbers of subjects are initially assigned to each sequence. The design is as follows:

	Sequence A	Sequence B
Period 1	Test	Reference
Period 2	Reference	Test

A crucial assumption in the two-period crossover design is that of equal residual effects. Unequal residual effects may result, for example, from an inadequate washout period. Another assumption of the crossover (or extended period) design is that there is no subject by formulation interaction. In other words, the assumption is that all subjects are from a relatively homogeneous population and will exhibit similar relative BA of the test and reference products. If there are subpopulations of subjects, such that the relationship between

product BA is a function of the subpopulation within which they are being tested, then a subject by formulation interaction is said to exist.

A one-period parallel design may be preferable in the following situations:

- The drug induces physiological changes in the animal (e.g., liver microsomal enzyme induction), which persist after total drug clearance and alter the BA of the product administered in the second period.
- The drug has a very long terminal elimination half-life, creating a risk of residual drug present in the animal at the time of the second period dosing.
- The duration of the washout time for the two-period crossover study is so long as to result in significant maturational changes in the study subjects.
- The drug follows delayed or prolonged absorption (flip-flop kinetics), where the slope of the beta-elimination phase is dictated by the rate of drug absorption rather than the rate of drug elimination from one or both products.
- Other designs, such as the two-period design with four treatment sequences (test/test, reference/reference, test/reference, and reference/test) or the extended period design may be appropriate depending on the circumstances. The use of alternative study designs should be discussed with FDA prior to conducting the BE study. Pilot data or literature may be used in support of alternative study designs.

V. DURATION OF WASHOUT TIME FOR CROSSOVER STUDY

For drugs which follow a one- or two-compartment open body model, the duration of the washout time should be approximately ten times the plasma apparent terminal elimination half-life, to provide for 99.9% of the administered dose to be eliminated from the body. If more highly complex kinetic models are anticipated (e.g., drugs for which long withdrawal times have been assigned due to prolonged tissue binding), or for drugs with the potential for physiologic carryover effects, the washout time should be adjusted accordingly. The washout period should be sufficiently long to allow the second period of the crossover study to be applicable in the statistical analysis. However, if sequence effects are noted, the data from the first period may be evaluated as a parallel design study.

W. FED BE STUDIES

Food-effect BA studies are usually conducted for new drugs and drug products during the IND period to assess the effects of food on the rate and extent of absorption of a drug when the drug product is administered shortly after a meal (fed conditions), as compared to administration under fasting conditions. Fed BE studies, on the other hand, are conducted for aNDAs to demonstrate their BE to the RLD under fed conditions. Food can influence the BE between test

and reference products. Food effects on BA can have clinically significant consequences. Food can alter BA by various means, including:

- Delay gastric emptying
- Stimulate bile flow
- Change GI pH
- Increase splanchnic blood flow
- Change luminal metabolism of a drug substance
- Physically or chemically interact with a dosage form or a drug substance

Food effects on BA are generally greatest when the drug product is administered shortly after a meal is ingested. The nutrient and caloric contents of the meal, the meal volume, and the meal temperature can cause physiological changes in the GI tract in a way that affects drug product transit time, luminal dissolution, drug permeability, and systemic availability. In general, meals that are high in total calories and fat content are more likely to affect the GI physiology and thereby result in a larger effect on the BA of a drug substance or drug product. It is recommended to use high-calorie and high-fat meals during food-effect fed BE studies.

X. FOOD EFFECTS ON DRUG PRODUCTS

Administration of a drug product with food may change the BA by affecting either the drug substance or the drug product. In practice, it is difficult to determine the exact mechanism by which food changes the BA of a drug product without performing specific mechanistic studies. Important food effects on BA are least likely to occur with many rapidly dissolving, immediate-release drug products containing highly soluble and highly permeable drug substances (BCS Class I) because absorption of the drug substances in Class I is usually pH- and site-independent and thus insensitive to differences in dissolution. However, for some drugs in this class, food can influence BA when there is a high first-pass effect, extensive adsorption, complexation, or instability of the drug substance in the GI tract. In some cases, excipients or interactions between excipients and the food-induced changes in gut physiology can contribute to these food effects and influence the demonstration of BE. For rapidly dissolving formulations of BCS Class I drug substances, food can affect C_{max} and the time at which this occurs (T_{max}) by delaying gastric emptying and prolonging intestinal transit time. However, we expect the food effect on these measures to be similar for test and reference products in fed BE studies.

For other immediate-release drug products (BCS Class II, III, and IV) and for all modified-release drug products, food effects are most likely to result from a more complex combination of factors that influence the *in vivo* dissolution of the drug product and/or the absorption of the drug substance. In these cases, the relative direction and magnitude of food effects on formulation BA and the effects on the demonstration of BE are difficult, if not impossible, to predict without conducting a fed BE study.

Y. RECOMMENDATIONS FOR IMMEDIATE-RELEASE DRUGS:

- For uncomplicated drugs in immediate-release dosage forms, BE must be demonstrated under fasted conditions. In addition to a BE study under fasting conditions, we recommend a BE study under fed conditions for all orally administered immediate-release drug products, with the following exceptions. When both test products and RLDs are rapidly dissolving, have similar dissolution profiles, and contain a drug substance with high solubility and high permeability (BCS Class I), or when the Dosage and Administration section of the RLD label states that the product should be taken only on an empty stomach, or when the RLD label does not make any statements about the effect of food on absorption or administration. When the reference-listed product label does not make any statements about the effect of food on absorption or administration.
- For complicated drugs in immediate-release dosage forms, for example, narrow therapeutic range drugs (drugs with a steep dose—response curve, critical drugs), highly toxic drugs, and drugs known to have nonlinear PKs. BE must be demonstrated under both fasted and fed conditions.
- Nonlinear drugs. BE must be demonstrated under both fasted and fed conditions unless the nonlinearity occurs after the drug enters the systemic circulation and there is no evidence that the product exhibits a food effect.
- Drugs in modified-release dosage forms. BE must be demonstrated under both fasted and fed conditions.

Z. RECOMMENDATIONS FOR MODIFIED-RELEASE PRODUCTS

In addition to a BE study under fasting conditions, a BE study under fed conditions should be conducted for all orally administered modified-release drug products. It is recommended that food-effect BA and fed BE studies be conducted using meal conditions that are expected to provide the greatest effects on GI physiology so that systemic drug availability is maximally affected. A high-fat (approximately 50% of total caloric content of the meal) and high-calorie (approximately 800–1000 calories) meal is recommended as a test meal for food-effect BA and fed BE studies. This test meal should derive approximately 150, 250, and 500 to 600 calories from protein, carbohydrate, and fat, respectively. The caloric breakdown of the test meal should be provided in the study report.

For fasting administration, following an overnight fast of at least 10 hours, subjects should be administered the drug product with 240 mL (8 fluid oz) of water. No food should be allowed for at least 4 hours post dose. Water may be allowed as desired, except 1 hour before and after drug administration. Subjects should receive standardized meals scheduled at the same time in each period of the study.

For fed administration, following an overnight fast of at least 10 hours, subjects should start the recommended meal 30 minutes prior to the administration of the drug product. Study subjects should eat this meal in 30 minutes or less; however, the drug product should be administered 30 minutes after start of the meal. The drug product should be administered with 240 mL (8 fluid oz) of water. No food should be allowed for at least 4 hours post dose. Water may be allowed as desired, except 1 hour before and after drug administration. Subjects should receive standardized meals scheduled at the same time in each period of the study.

1 Study design

A sponsor may propose any study designs and data analyses. The scientific rationale and justification for these study designs and analyses should be provided in the study protocol. Sponsors may choose to conduct additional studies for a better understanding of the drug product and to provide optimal labeling statements for dosage and administration (e.g., different meals and different times of drug intake in relation to meals). In studying modified-release dosage forms, consideration should be given to the possibility that coadministration with food can result in *dose dumping*, in which the complete dose may be more rapidly released from the dosage form than intended, creating a potential safety risk for the study subjects.

2 General design

A randomized, balanced, single-dose, two-treatment (fed vs. fasting), two-period, two-sequence crossover design is recommended for studying the effects of food on the BE of either an immediate-release or a modified-release drug product. The formulation to be tested should be administered following a test meal (fed condition). The treatments should consist of both test and reference formulations administered following a test meal (fed condition). An adequate washout period should separate the two treatments in food-effect BE studies.

3 Subject selection

Fed BE studies can be carried out in healthy volunteers drawn from the general population. Studies in the patient population are also appropriate if safety concerns preclude the enrollment of healthy subjects. A sufficient number of subjects should complete the study to achieve adequate power for a statistical assessment of food effects. A minimum of 12 subjects should complete the fed BE studies.

4 Dosage strength

In general, the highest strength of a drug product intended to be marketed should be tested in fed BE studies. In some cases, clinical safety concerns can prevent the use of the highest strength and warrant the use of lower strengths of the dosage form. For aNDAs, the same lot and strength used in the fasting BE study should be tested in the fed BE study. For products with multiple strengths in aNDAs, if a fed BE study has been performed on the highest strength, BE determination of one or more lower strengths can be waived based on dissolution profile comparisons.

5 Test meal

The fed BE studies can be conducted using meal conditions that are expected to provide the greatest effects on GI physiology so that systemic drug availability is maximally affected. A high-fat (approximately 50% of total caloric content of the meal) and high-calorie (approximately 800–1000 calories) meal is recommended as a test meal for food-effect BA and fed BE studies. This test meal should derive approximately 150, 250, and 500 to 600 calories from protein, carbohydrate, and fat, respectively. (An example test meal would be two eggs fried in butter, two strips of bacon, two slices of toast with butter, 4 oz of hash brown potatoes and 8 oz of whole milk.) Substitutions in this test meal can be made as long as the meal provides a similar amount of calories from protein, carbohydrate, and fat and has comparable meal volume and viscosity. The caloric breakdown of the test meal should be provided in the study report. If the caloric breakdown of the meal is significantly different from the one described here, the sponsor should provide a scientific rationale for this difference.

6 Administration

a. Fed treatments

Following an overnight fast of at least 10 hours, subjects should start the recommended meal 30 minutes prior to administration of the drug product. Study subjects should eat this meal in 30 minutes or less; however, the drug product should be administered 30 minutes after start of the meal. The drug product should be administered with 240 mL (8 fluid oz) of water. No food should be allowed for at least 4 hours post dose. Water can be allowed as desired except for 1 hour before and after drug administration. Subjects should receive standardized meals scheduled at the same time in each period of the study.

7 Sample collection

Timed samples in biological fluid, usually plasma, should be collected from the subjects to permit characterization of the complete shape of the plasma concentration-time profile for the parent drug. It may be advisable to measure other moieties in the plasma, such as active metabolites. Consideration should be given to the possibility that coadministration of a dosage form with food can alter the time course of plasma drug concentrations so that fasted and fed treatments can have different sample collection times.

8 Data analysis and labeling

The following exposure measures and PK parameters should be obtained from the resulting concentration-time curves for the test and reference products.

- Total exposure, or area under the concentration-time curve (AUC_{0-inf} , AUC_{0-t})
- Peak exposure (C_{max})
- Time to peak exposure (T_{max})
- Lag-time (t_{lag}) for modified-release products, if present

- Terminal elimination half-life
- Other relevant PK parameters

Individual subject measurements, as well as summary statistics (e.g., group averages, standard deviations, coefficients of variation) should be reported. An equivalence approach is recommended analyzing data using an average criterion. Log transformation of exposure measurements (AUC and C_{max}) prior to analysis is recommended. The 90% CI for the ratio of population geometric means between test and reference products should be provided for AUC_{0-inf} , AUC_{0-t} , and C_{max} . For aNDA-fed BE studies, the RLD administered under fed condition serves as the reference treatment.

For an aNDA, BE of a test product to the RLD product under fed conditions is concluded when the 90% CI for the ratio of population geometric means between the test and RLD product, based on log-transformed data, is contained in the BE limits of 80% to 125% for AUC and C_{max} . Although no criterion applies to T_{max} , the T_{max} values for the test and reference products are expected to be comparable based on clinical relevance. The conclusion of BE under fed conditions indicates that with regard to food, the language in the package insert of the test product can be the same as the reference product.

PARENT DRUG VS. METABOLITES

The moieties to be measured in biological fluids collected in BA and BE studies are either the active drug ingredient or its active moiety in the administered dosage form (parent drug) and, when appropriate, its active metabolites [21 CFR 320.24(b)(1)(i)]. This guidance recommends the following approaches for BA and BE studies.

For BA studies (see section II.B), determination of moieties to be measured in biological fluids should take into account concentration and activity. *Concentration* refers to the relative quantity of the parent drug or one or more metabolites in a given volume of an accessible biological fluid, such as blood or plasma. *Activity* refers to the relative contribution of the parent drug and its metabolite in the biological fluids to the clinical safety and efficacy of the drug. For BA studies, the parent drug and its major active metabolite should be measured, if analytically feasible.

For BE studies, measurement of only the parent drug released from the dosage form, rather than the metabolite, is generally recommended. The rationale for this recommendation is that the concentration-time profile of the parent drug is more sensitive to changes in formulation performance than a metabolite, which is more reflective of metabolite formation, distribution, and elimination. The following are exceptions to this general approach.

- Measurement of a metabolite may be preferred when parent drug levels are too low to allow reliable analytical measurement in blood, plasma, or serum for an adequate length of time. The metabolite data obtained from these studies should be subject to a

CI approach for BE demonstration. If there is clinical concern related to efficacy or safety for the parent drug, sponsors and applicants should contact the appropriate review division to determine whether the parent drug should be measured and analyzed statistically.

- Metabolite may be formed as a result of gut wall or other presystemic metabolism. If the metabolite contributes meaningfully to safety and efficacy, the metabolite and the parent drug should be measured. When the relative activity of the metabolite is low and does not contribute meaningfully to safety and efficacy, it does not need to be measured. The parent drug measured in these BE studies should be analyzed using a CI approach. The metabolite data can be used to provide supportive evidence of comparable therapeutic outcome.

ENANTIOMERS VS. RACEMATES

For BA studies, the measurement of individual enantiomers may be important. For BE studies, this guidance recommends measurement of the racemate using an achiral assay. Measurement of individual enantiomers in BE studies is recommended only when all the following conditions are met.

- Enantiomers exhibit different pharmacodynamic characteristics.
- Enantiomers exhibit different PK characteristics.
- Primary efficacy and safety activity reside with the minor enantiomer.
- Nonlinear absorption is present (as expressed by a change in the enantiomer concentration ratio with a change in the input rate of the drug) for at least one of the enantiomers.

In such cases, BE criteria should be applied to the enantiomers separately.

DRUG PRODUCTS WITH COMPLEX MIXTURES AS THE ACTIVE INGREDIENTS

Certain drug products may contain complex drug substances (i.e., active moieties or active ingredients that are mixtures of multiple synthetic and natural source components). Some or all the components of these complex drug substances cannot be characterized with regard to chemical structure or biological activity. Quantification of all active or potentially active components in pharmacokinetic studies to document BA and BE is neither necessary nor desirable. Rather, BA and BE studies should be based on a small number of markers of rate and extent of absorption. Although necessarily a case-by-case determination, criteria for marker selection include the amount of the moiety in the dosage form, plasma or blood levels of the moiety, and biological activity of the moiety relative to other moieties in the complex

mixture. Where pharmacokinetic approaches are not feasible to assess the rate and extent of absorption of a drug substance from a drug product, in vitro approaches may be preferred. Pharmacodynamic or clinical approaches may be called for if no quantifiable moieties are available for in vivo pharmacokinetic or in vitro studies.

LONG HALF-LIFE DRUGS

In a BA or PK study involving an oral product with a long half-life drug, adequate characterization of the half-life calls for blood sampling over a long period of time. For a BE determination of an oral product with a long half-life drug, a nonreplicate, single-dose crossover study can be conducted, provided an adequate washout period is used. If the crossover study is problematic, a BE study with a parallel design can be used. For either a crossover or parallel study, sample collection time should be adequate to ensure completion of GI transit (approximately 2–3 days) of the drug product and absorption of the drug substance. The C_{max} , and a suitably truncated AUC, can be used to characterize peak and total drug exposure respectively. For drugs that demonstrate low intrasubject variability in distribution and clearance, an AUC truncated at 72 hours (AUC_{0-72h}) can be used in place of AUC_{0-t} or $AUC_{0-\infty}$. For drugs demonstrating high intrasubject variability in distribution and clearance, AUC truncation warrants caution. In such cases, sponsors and applicants should consult the appropriate review staff.

FIRST-POINT C_{MAX}

The first point of a concentration-time curve in a BE study based on blood and plasma measurements is sometimes the highest point, which raises a question about the measurement of true C_{max} because of insufficient early sampling times. A carefully conducted pilot study may avoid this problem. Making collections at an early time point, between five and 15 minutes after dosing, followed by making additional sample collections (e.g., two to five) in the first hour after dosing may be sufficient for assessing early peak concentrations. If this sampling approach is followed, data sets should be considered adequate, even when the highest observed concentration occurs at the first time point.

ORALLY ADMINISTERED DRUGS INTENDED FOR LOCAL ACTION

Documentation of product quality BA for NDAs, where the drug substance produces its effects by local action in the GI tract, can be achieved using clinical efficacy and safety studies or suitably designed and validated in vitro studies. Similarly, documentation of BE for aNDAs and for NDAs, as well as for aNDAs in the presence of certain postapproval changes, can be achieved by using BE studies with clinical efficacy and safety end points or suitably designed and validated in vitro studies, if the latter studies are reflective of important clinical effects or are more sensitive to changes in product performance compared with a clinical study. To ensure comparable

safety, additional studies with and without food may help in understanding the degree of systemic exposure that occurs following administration of a drug product intended for local action in the GI tract.

SPRINKLES

In aNDAs, BE of the test to the RLD is demonstrated in a single-dose crossover study. Both treatments should be sprinkled on one of the soft foods mentioned in the labeling, usually applesauce. The BE data should be analyzed using average BE and the 90% CI criteria should be used to declare BE. If there are questions about other foods, the design, or the analysis of such BE studies, the sponsors and/or applicants should contact the Office of Generic Drugs.

SPECIAL VEHICLES

In aNDAs, BE of the test to the RLD is demonstrated in a single-dose crossover study. Both treatments should be mixed with one of the beverages mentioned in the labeling. Sponsors should provide evidence that BE differences would not be expected from the use of other listed vehicles. The BE data should be analyzed using average BE, and the 90% CI criteria should be used to declare BE.

LOCALLY ACTING GI DRUGS

For drugs whose site of action is the GI tract, determination of BE is more complicated because local drug concentrations cannot be measured directly requiring evaluation of PKs, its relationship in vitro tests including dissolution and binding assays and correlation with clinical studies.

The PK studies for locally acting drugs provide safety data and whereas PK studies may not correlate with therapeutic effectiveness, the relationship with BE is not so straightforward. If a drug is acting locally and also absorbed in the systemic circulation, the PK studies would still reflect the dosage form factors even though the site of action is also local. The premise here remains same; any differences noted in the C_{max} or AUC is due to differences in absorption rates and extent attributable to dosage form differences such as release of drug. However, when plasma levels can be connected to product effectiveness then we can determine the significance of differences in product performance. When the connection to efficacy is broken, we do not have a simple way to say what difference in PK is significant. In this sense, downstream PK is similar to a PD end point for which a dose-response curve needs to be established. Another concern about PK studies on locally acting drugs is that the drug may be able to reach the plasma without passing the site of action. An example is an inhalation product for which some of the dose is swallowed and potentially absorbed orally. An important distinction is between parallel and sequential absorption paths. In the inhalation example, the drug either goes to the lung or to the stomach or could appear in plasma at the same time by either path. In a locally acting GI drug the absorption process is sequential,

so the drug absorbed from the intestine appears before the drug absorbed in the colon and thus can be distinguished.

The PK studies often fail for locally acting drugs because of the very low concentration observed in plasma and even at the site of local action. For example, mesalamine must reach the mucosal surface lining the GI tract to exert its pharmacological effect, which is dependent on the dissolution rate; for other dosage forms, which dissolve instantly, the rate-limiting factor would be the transit rate in the GI tract. The use of dissolution thus becomes an important tool to demonstrate BE. Some GI acting drugs are formulated to target different regions of the GI tract, often via coatings that lead to pH-dependent dissolution. Comparative dissolution testing at different pH could demonstrate that test and reference products are targeting the same region of the GI tract. Biowaivers for BCS Class I drugs formulated in rapidly dissolving immediate-release solid oral dosage forms are well established. Since a GI acting drug does not need to be absorbed, application of the scientific basis of the BCS would suggest that a high solubility drug in a rapidly dissolving formulation with no excipients that affect product performance may be eligible for a biowaiver.

Generally, studies that measure the concentration of drug in the small intestinal mucosa could provide more direct evidence of equivalent tissue concentration at the site of action. But those studies are difficult to conduct and interspecies correlations often add a lot of variability; as a result, there is a consensus developing that comparative clinical trials be conducted to demonstrate BE but only in those situations where other methods fail since not only are these expensive to conduct, these can often be insensitive to formulation differences—the purpose of the study.

ANIMAL DRUG BE TESTING

A BE study may also be part of a NADA or supplemental NADA for approval of an alternative dosage form, new route of administration, or a significant manufacturing change, which may affect drug BA. Many requirements described earlier for human studies also apply to animal studies; various descriptions of experimental design and data handling are common to both. FDA has concluded that the tissue residue depletion of the generic product is not adequately addressed through BE studies. Therefore, sponsors of aNADA for drug products for food-producing animals will generally be asked to include BE and tissue residue studies [21 USC 360b(n)(1)(E)]. A tissue residue study should generally accompany clinical end-point and pharmacological end-point BE studies, and blood level BE studies that cannot quantify the concentration of the drug in blood throughout the established withdrawal period [21 USC 360b(n)(1)(A)(ii)]. BE studies (i.e., blood level, pharmacological end-point, and clinical end-point studies) and tissue residue depletion studies should be conducted in accordance with GLP regulations (21 CFR Part 58). Whereas the focus of the guidance is BE testing for aNADA approval, the general principles also apply to relative BA studies conducted for NADAs.

REFERENCE PRODUCT

As a general rule, the proposed generic product should be tested against the original pioneer product. If the original pioneer product is no longer marketed, but remains eligible to be copied, then the first approved and available generic copy of the pioneer should be used as the reference product for BE testing against the proposed new generic product.

If several approved NADAs exist for the same drug product, and each approved product is labeled differently (i.e., different species and/or claims), then the generic sponsor must

clearly identify which product label is the intended pioneer. BE testing should be conducted against the single approved product which bears the labeling that the generic sponsor intends to copy. The generic sponsor should consult with CVM regarding selection of the appropriate reference product before conducting the BE study.

REFERENCE

1. Hauschke D, Steinjans VW, Diletti M, Burke M (1992). Sample size determination for bioequivalence assessment using a multiplicative model. *J Pharm Biopharm* 20: 557–561.



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5 Bioequivalence Regulatory Review Process and Audit

BACKGROUND

The Food and Drug Administration (FDA) requires an applicant to provide detailed information to establish bioequivalency. Applicants may request a waiver from performing in vivo (testing done in humans) bioequivalence studies for certain drug products where bioavailability (the rate and extent to which the active ingredient or active moiety is absorbed from the drug product and becomes available at the site of action) may be demonstrated by submitting data such as (1) a formulation comparison for products whose bioavailability is self-evident, for example, oral solutions, injectables, or ophthalmic solutions where the formulations are identical, or (2) comparative dissolution.

Alternatively, in vivo bioequivalence testing comparing the rate and extent of absorption of the generic versus the reference product is required for most tablet and capsule dosage forms. For certain products, a head-to-head evaluation of comparative efficacy based upon clinical endpoints may be required.

The Manual of Policies and Procedures of the CDER (Generic Drugs) (MAPP 5210.6) describes the following procedures for review of bioequivalence study protocols.

PROTOCOLS

When a protocol is received in the DBE, the PM assigns it randomly to the next available reviewer. All protocols received are entered in the protocol tracking system and assigned a control number. The protocol receipt date, firm name, drug name, reviewer assigned, and date of assignment are recorded. The reviewer searches the literature and the Agency's databases [e.g., Excalibur, WinBio, drug files (hard copy and electronic)]. If a protocol has been previously submitted and found acceptable by the Division, this should be used as a model in the preparation of responses to subsequent protocols for the same drug. The reviewer should state in the review whether other protocols for the same drug have been previously reviewed. If no other protocols have been reviewed for the product, a statement to that effect should be included in the review. The reviewer prepares a review with recommendations to the requestor. The review must have the concurrence of the team leader and Division Director. If the reviewer discovers discrepancies in bioequivalence criteria or appropriate study design in recommendations provided to industry in previous protocols or correspondence for the same drug product, the reviewer prepares a memorandum to the team leaders and Division Director. The memo should specify the name of the sponsor or CRO that received conflicting

information/guidance in protocol responses. Abbreviated new drug applications (aNDAs) affected by this information should also be noted. Once the review is finalized and has the concurrence of the Division Director, it is forwarded to the PM. The PM or TIA drafts a letter based on the reviewer's recommendation. The PM ensures that all recommendations are provided to the firm. The letter will be routed through the team leader for corrections and endorsement, and to the Division Director for signature. Once the letter is signed by the Division Director, the PM or TIA enters into the protocol tracking system the date the review was finalized and the date the letter was issued. The protocol is then forwarded to the Document Room. Document Room personnel mail the letter and store the protocol in the designated area. The PM drafts letters to sponsors or CROs that have received outdated information to ensure that consistent information is provided to industry.

PRODUCTIVITY DOCUMENTATION

When the Document Room assigns an aNDA to the DBE, a description of the bioequivalence section is entered into the bioequivalence data entry screen in COMIS, using the study types below.

A. Bioequivalence Studies

1. **Fasting Study (STF).** This includes replicate study designs and combined studies (e.g., combined fasting and multiple-dose studies where the same subjects are used).
2. **Food Study (STP).**
3. **Multiple-Dose Study (STM).**
4. **Study (STU).** This category is generally used for a bioequivalence study with clinical endpoints, in vitro studies for metered-dose inhalers and nasal sprays, pilot and pivotal studies for vasoconstrictors, or any pharmacokinetic/pharmacodynamic study other than a standard bioequivalence study (such as 1–3 above).

B. Dissolution Data (DIS). This code is usually used when dissolution data are the only basis for approval. Examples are AA drugs and supplements for which changes in formulation or manufacturing require dissolution data only. In vitro release data for topical products may also be coded under DIS. *Note:* Dissolution data submitted for the same strength drug that was the subject of a bioequivalence study are not separately coded. The dissolution information is considered part of the study.

C. **Other (OTH):**

Study Amendment (STA). This category is for responses to deficiency comments. Whether the amendment contains dissolution data or addresses a deficiency such as incomplete information on analytical methods or a study, the submission should be coded as STA unless a new study is submitted for review. In that case, the appropriate code under BE studies should be selected. If an amendment to a previously submitted BE study is included with a new, not previously submitted BE study required to establish BE, then STA should be coded for the amendment, and the new study should be coded separately. Retesting of subjects classified as outliers in the original submission should not be classified as a separate study, but as part of the original study. Frequently, the Division telephones sponsors to request information needed to finalize the review. These requests should be made for information the sponsor can respond to within 10 working days, and should be coded as STA. If the sponsor submits incorrect information or partial data, the submission should be coded as new correspondence (NC). Once the correct information is received, the submission should be coded as STA.

Waiver (WAI). This category is used for injectable, ophthalmic, otic, oral, and topical solutions. A formulation in the same concentration packaged in different sizes is not coded separately, but different concentrations of the same product are coded separately.

Dissolution Waiver (DIW). This code is used for lower strengths that can be approved based on proportionality of the formulation and an acceptable study on the highest strength or the strength of the reference listed drug. A dissolution waiver should be coded for each strength for which dissolution data are submitted, except the strength for which bioequivalence studies have been conducted.

Other (OTH). This category is used for correspondence or addenda revising the original review. The Division of Scientific Investigations (DSI) inspection reports may generate an addendum to the review. If a significant statistical analysis is needed based on the recommendation of the DSI, or if the issuance of a Form 483 (Inspectional Observations) indicates serious violations by the laboratory, then the review of the DSI report may be coded as OTH. If the DSI report is acceptable, the DSI report should be filed in the aNDA, and no addendum to the review is necessary. Addenda to the reviews are entered as US documents (FDA generated), because these reviews are not prompted by industry submissions, but

are due to internal policy changes or inspection reports. Diskettes containing the data already coded in a previous submission will not be coded separately.

METHODS VALIDATION FOR ABBREVIATED NEW DRUG APPLICATIONS

A request for validation of the applicant's proposed regulatory analytical methods is sent by the review chemist to the Office of Regulatory Affairs (ORA) coordinator in the Division of Field Science (DFS) using form FDA 2871a. This action should be taken as soon as the need is identified and the test methods are determined to be adequate by the review chemist.

A copy of the methods, testing specifications, and composition statement is to be included with the request. The package is sent to DFS by current procedures.

Requests are processed and carried out as detailed in the Supplement to the Compliance Program on Preapproval Inspections CP7346.832.

The chemistry/microbiology review is included in the approval package, along with the bioequivalence and labeling reviews. Upon concurrence by the chemistry team leader, the package proceeds through the final administrative review channels. If, after administrative review, the application remains approvable (including an acceptable EER and officelevel bioequivalence endorsement), the project manager determines the status of the methods validation process. The application can be approved with or without results of the methods validation, except under the circumstances noted below.

There was an undue delay in sample submission by the applicant.

There are problems identified in the course of methods validation by the servicing laboratory.

There is no commitment from the applicant to resolve any problems subsequently found by the FDA laboratory.

Any problem identified with the method or the product is evaluated by the review chemist for its significance. Any problem that potentially affects the quality of the drug product must be resolved before application approval. When approval is granted in the absence of a completed methods validation, the approval letter is revised to include the following statement as the last paragraph. *Validation of the regulatory methods has not been completed. It is the general policy of the OGD not to withhold approval until the validation is complete.*

The approval letter is endorsed by the chemistry reviewer and team leader as well as the division director. If the laboratory results are received during the administrative review process for approval and they reveal problems with the methods or the product, the approval of the application is delayed and the results transmitted to the applicant. The applicant is asked to address these issues as soon as possible in an amendment to the application. This amendment is given priority review in consultation, if necessary, with the servicing laboratory. If the amended methods are satisfactory to OGD and they address the concerns of the laboratory, the application can then be approved, provided all other aspects of the application are

acceptable. Out-of-specification results on products already expired at the time of testing are evaluated for their significance and relevance. Any product failures must be satisfactorily resolved before application approval. Routine revalidation can be done after approval of the application. The review chemist can request testing at a second FDA laboratory to resolve conflicting results obtained by an applicant and by the FDA servicing laboratory. The team leader and the division director must concur with the request. For methods validation completed after an application is approved, any deficiencies identified are communicated promptly to the applicant. Generally, the response addressing the deficiencies can be submitted as a changes-being-effected supplement. If the methods validation is waived, this fact must be documented and filed in the aNDA.

GOOD LABORATORY PRACTICES

In the 1970s, FDA inspections of nonclinical laboratories revealed that some studies submitted in support of the safety of regulated products had not been conducted in accord with acceptable practice, and that accordingly data from such studies were not always of the quality and integrity to assure product safety. As a result of these findings, FDA promulgated the Good Laboratory Practice (GLP) Regulations, 21 CFR part 58, on December 22, 1978 (43 FR 59986). The regulations became effective June 1979. The regulations establish standards for the conduct and reporting of nonclinical laboratory studies and are intended to assure the quality and integrity of safety data submitted to FDA.

FDA relies on documented adherence to GLP requirements by nonclinical laboratories in judging the acceptability of safety data submitted in support of research and/or marketing permits. FDA has implemented this program of regular inspections and data audits to monitor laboratory compliance with the GLP requirements.

The objective of this program is

- to verify the quality and integrity of data submitted in a research or marketing application,
- to inspect (approximately every 2 years) nonclinical laboratories conducting safety studies that are intended to support applications for research or marketing of regulated products, and
- to audit safety studies and determine the degree of compliance with GLP regulations.

TYPES OF INSPECTIONS

1. **Surveillance Inspections.** Surveillance inspections are periodic, routine determinations of a laboratory's compliance with GLP regulations. These inspections include a facility inspection and audits of ongoing and/or recently completed studies.
2. **Directed Inspections.** Directed inspections are assigned to achieve a specific purpose, such as:

Verifying the reliability, integrity, and compliance of critical safety studies being reviewed in support of pending applications.

Investigating issues involving potentially unreliable safety data and/or violative conditions brought to FDA's attention.

Reinspecting laboratories previously classified OAI (usually within 6 months after the firm responds to a Warning Letter).

Verifying the results from third party audits or sponsor audits submitted to FDA for consideration in determining whether to accept or reject questionable or suspect studies.

INSPECTIONS

1. The investigator will determine the current state of GLP compliance by evaluating the laboratory facilities, operations, and study performance.
2. **Organization Chart**—If the facility maintains an organization chart, obtain a current version of the chart for use during the inspection and submit it in the EIR.
3. **Facility Floor-Plan Diagram**—Obtain a diagram of the facility. The diagram may identify areas that are not used for GLP activities. If it does not, request that appropriate facility personnel identify any areas that are not used for GLP activities. Use during the inspection and submit it in the EIR.
4. **Master Schedule Sheet**—Obtain a copy of the firm's master schedule sheet for all studies listed since the last GLP inspection or last 2 years and select studies as defined in 21 CFR 58.3(d). If the inspection is the first inspection of the facility, review the entire master schedule. If studies are identified as non-GLP, determine the nature of several studies to verify the accuracy of this designation. See 21 CFR 58.1 and 58.3(d). In contract laboratories determine who decides if a study is a GLP study. **Identification of Studies**
 - a. **Directed Inspections**—Inspection assignments will identify studies to be audited.
 - b. **Surveillance inspections**—Inspection assignments may identify one or more studies to be audited. If the assignment does not identify a study for coverage, or if the referenced study is not suitable to assess all portions of current GLP compliance, the investigator will select studies as necessary to evaluate all areas of laboratory operations. When additional studies are selected, first priority should be given to FDA studies for submission to the assigning Center.
5. **Ongoing Studies**—Obtain a copy of the study protocol and determine the schedule of activities that will be underway during the inspection. This information should be used to schedule inspections of ongoing laboratory operations, as well as equipment

and facilities associated with the study. If there are no activities underway in a given area for the study selected, evaluate the area based on ongoing activities.

6. Completed Studies—The data audit should be carried out as outlined in part III, D. If possible, accompany laboratory personnel when they retrieve the study data to assess the adequacy of data retention, storage, and retrieval as described in part III, C 10.

The facility inspection should be guided by the GLP regulations. The following areas should be evaluated and described as appropriate.

1. Organization and Personnel (21 CFR 58.29, 58.31, 58.33)
 - a. Purpose: To determine whether the organizational structure is appropriate to ensure that studies are conducted in compliance with GLP regulations, and to determine whether management, study directors, and laboratory personnel are fulfilling their responsibilities under the GLPs.
 - b. Management Responsibilities (21 CFR 58.31)—Identify the various organizational units, their role in carrying out GLP study activities, and the management responsible for these organizational units. This includes identifying personnel who are performing duties at locations other than the test facility and identifying their line of authority. If the facility has an organization chart, much of this information can be determined from the chart.
2. Determine if management has procedures for assuring that the responsibilities in 58.31 can be carried out. Look for evidence of management involvement, or lack thereof, in the following areas:
 - a. Assigning and replacing study directors.
 - b. Control of study director workload (use the Master Schedule to assess workload).
 - c. Establishment and support of the Quality Assurance Unit (QAU), including assuring that deficiencies reported by the QAU are communicated to the study directors and acted upon.
 - d. Assuring that test and control articles or mixtures are appropriately tested for identity, strength, purity, stability, and uniformity.
 - e. Assuring that all study personnel are informed of and follow any special test and control article handling and storage procedures.
 - f. Providing required study personnel, resources, facilities, equipment, and materials.
 - g. Reviewing and approving protocols and standard operating procedures (SOPs).
 - h. Providing GLP or appropriate technical training.
3. Personnel (21 CFR 58.29)—Identify key laboratory and management personnel, including any consultants or contractors used, and review personnel records, policies, and operations to determine if
 - a. Summaries of training and position descriptions are maintained and are current for selected employees.
 - b. Personnel have been adequately trained to carry out the study functions that they perform.
 - c. Personnel have been trained in GLPs.
 - d. Practices are in place to ensure that employees take necessary health precautions, wear appropriate clothing, and report illnesses to avoid contamination of the test and control articles and test systems.
4. If the firm has computerized operations, determine the following:
 - a. Who was involved in the design, development, and validation of the computer system?
 - b. Who is responsible for the operation of the computer system, including inputs, processing, and output of data?
 - c. Whether computer system personnel have training commensurate with their responsibilities, including professional training and training in GLPs?
 - d. Whether some computer system personnel are contractors who are present on-site full-time, or nearly full-time. The investigation should include these contractors as though they were employees of the firm. Specific inquiry may be needed to identify these contractors, as they may not appear on organization charts.
 - e. Interview and observe personnel using the computerized systems to assess their training and performance of assigned duties.
5. Study director (21 CFR 58.33)
 - a. Assess the extent of the study director's actual involvement and participation in the study. In those instances when the study director is located off-site, review any correspondence/records between the testing facility management and quality assurance unit and the off-site study director. Determine that the study director is being kept immediately apprised of any problems that may affect the quality and integrity of the study.
 - b. Assess the procedures by which the study director
 - i. Assures the protocol and any amendments have been properly approved and are followed
 - ii. Assures that all data are accurately recorded and verified
 - iii. Assures that data are collected according to the protocol and SOPs
 - iv. Documents unforeseen circumstances that may affect the quality and integrity of the study and implements corrective action

- v. Assures that study personnel are familiar with and adhere to the study protocol and SOPs
 - vi. Assures that study data are transferred to the archives at the close of the study
6. EIR Documentation and Reporting—Collect exhibits to document deficiencies. This may include SOPs, organizational charts, position descriptions, and curricula vitae (CVs), as well as study-related memos, records, and reports for the studies selected for review. **The use of outside or contract facilities must be noted in the EIR. The assigning Center should be contacted for guidance on inspection of these facilities.**
7. Quality Assurance Unit (QAU; 21 CFR 58.35)
Purpose: To determine if the test facility has an effective, independent QAU that monitors significant study events and facility operations, reviews records and reports, and assures management of GLP compliance.
- QAU Operations—(21 CFR 58.35(b–d))—Review QAU SOPs to assure that they cover all methods and procedures for carrying out the required QAU functions, and confirm that they are being followed. Verify that SOPs exist and are being followed for QAU activities including, but not limited to, the following: (a) Maintenance of a master schedule sheet. (b) Maintenance of copies of all protocols and amendments.
- a. Scheduling of its in-process inspections and audits.
 - b. Inspection of each nonclinical laboratory study at intervals adequate to assure the integrity of the study, and maintenance of records of each inspection.
 - c. Immediately notify the study director and management of any problems that are likely to affect the integrity of the study.
 - d. Submission of periodic status reports on each study to the study director and management.
 - e. Review of the final study report.
 - f. Preparation of a statement to be included in the final report that specifies the dates inspections were made and findings reported to management and to the study director.
8. Inspection of computer operations.
- a. Verify that, for any given study, the QAU is entirely separate from and independent of the personnel engaged in the conduct and direction of that study. Evaluate the time QAU personnel spend in performing in-process inspection and final report audits. Determine if the time spent is sufficient to detect problems in critical study phases and if there are adequate personnel to perform the required functions.
 - b. *Note:* The investigator may request the firm's management to certify in writing that inspections are being implemented, performed, documented,

and followed-up in accordance with this section [see 58.35(d)].

9. EIR Documentation and Reporting—Obtain a copy of the master schedule sheet dating from the last routine GLP inspection or covering the past 2 years. If the master schedule is too voluminous, obtain representative pages to permit headquarters review. When master schedule entries are coded, obtain the code key. Deficiencies should be fully reported and documented in the EIR. Documentation to support deviations may include copies of QAU SOPs, list of QAU personnel, their CVs or position descriptions, study-related records, protocols, and final reports.
10. Facilities (21 CFR 58.41–51)
Purpose: Assess whether the facilities are of adequate size and design.
Facility Inspection
- a. Review environmental controls and monitoring procedures for critical areas (i.e., animal rooms, test article storage areas, laboratory areas, handling of bio-hazardous material, etc.) and determine if they appear adequate and are being followed.
 - b. Review the SOPs that identify materials used for cleaning critical areas and equipment, and assess the facility's current cleanliness.
 - c. Determine whether there are appropriate areas for the receipt, storage, mixing, and handling of the test and control articles.
 - d. Determine whether separation is maintained in rooms where two or more functions requiring separation are performed.
 - e. Determine that computerized operations and archived computer data are housed under appropriate environmental conditions (e.g., protected from heat, water, and electromagnetic forces).
11. EIR Documentation and Reporting—Identify which facilities, operations, SOPs, etc., were inspected. Only significant changes in the facility from previous inspections need be described. Facility floor plans may be collected to illustrate problems or changes. Document any conditions that would lead to contamination of test articles or to unusual stress of test systems.
12. Equipment (21 CFR 58.61–63)
Purpose: To assess whether equipment is appropriately designed and of adequate capacity and is maintained and operated in a manner that ensures valid results.
Equipment Inspection—Assess the following:
- a. The general condition, cleanliness, and ease of maintenance of equipment in various parts of the facility
 - b. The heating, ventilation, and air conditioning system design and maintenance, including documentation of filter changes and temperature/humidity monitoring in critical areas

- c. Whether equipment is located where it is used and that it is located in a controlled environment, when required
 - d. Nondedicated equipment for preparation of test and control article carrier mixtures is cleaned and decontaminated to prevent cross-contamination
 - e. For representative pieces of equipment check the availability of the following:
 - i. SOPs and/or operating manuals
 - ii. Maintenance schedule and log
 - iii. Standardization/calibration procedure, schedule, and log
 - iv. Standards used for calibration and standardization
 - f. For computer systems, assess that the following procedures exist and are documented:
 - i. Validation study, including validation plan and documentation of the plan's completion
 - ii. Maintenance of equipment, including storage capacity and backup procedures
 - iii. Control measures over changes made to the computer system, which include the evaluation of the change, necessary test design, test data, and final acceptance of the change
 - iv. Evaluation of test data to assure that data are accurately transmitted and handled properly when analytical equipment is directly interfaced to the computer
 - v. Procedures for emergency backup of the computer system (e.g., backup battery system and data forms for recording data in the event of a computer failure or power outage)
13. EIR Documentation and Reporting—The EIR should list which equipment, records, and procedures were inspected and the studies to which they are related. Detail any deficiencies that might result in the contamination of test articles, uncontrolled stress to test systems, and/or erroneous test results.
14. Testing Facility Operations (21 CFR 58.81)
 Purpose: To determine if the facility has established and follows written SOPs necessary to carry out study operations in a manner designed to ensure the quality and integrity of the data.
 SOP Evaluation
- a. Review the SOP index and representative samples of SOPs to ensure that written procedures exist to cover at least all of the areas identified in 58.81(b).
 - b. Verify that only current SOPs are available at the personnel workstations.
 - c. Review key SOPs in detail and check for proper authorization signatures and dates, and general adequacy with respect to the content (i.e., SOPs are clear, complete, and can be followed by a trained individual).
 - d. Verify that changes to SOPs are properly authorized and dated and that a historical file of SOPs is maintained.
 - e. Ensure that there are procedures for familiarizing employees with SOPs.
 - f. Determine that there are SOPs to ensure the quality and integrity of data, including input (data checking and verification), output (data control), and an audit trail covering all data changes.
 - g. Verify that a historical file of outdated or modified computer programs is maintained. If the firm does not maintain old programs in digital form, ensure that a hard copy of all programs has been made and stored.
 - h. Verify that SOPs are periodically reviewed for current applicability and that they are representative of the actual procedures in use.
 - i. Review selected SOPs and observe employees performing the operation to evaluate SOP adherence and familiarity. EIR Documentation and Reporting—Submit SOPs, data collection forms, and raw data records as exhibits that are necessary to support and illustrate deficiencies.
15. Reagents and Solutions (21 CFR 58.83)
 Purpose: To determine that the facility ensures the quality of reagents at the time of receipt and subsequent use.
 Review the procedures used to purchase, receive, label, and determine the acceptability of reagents and solutions for use in the studies.
 Verify that reagents and solutions are labeled to indicate identity, titer or concentration, storage requirements, and expiration date.
 Verify that for automated analytical equipment, the profile data accompanying each batch of control reagents are used.
 Check that storage requirements are being followed.
16. Test and Control Articles (21 CFR 58.105–113)
 Purpose: To determine that procedures exist to assure that test and control articles and mixtures of articles with carriers meet protocol specifications throughout the course of the study, and that accountability is maintained.
 Characterization and Stability of Test Articles (21 CFR 58.105)—The responsibility for carrying out appropriate characterization and stability testing may be assumed by the facility performing the study or by the study sponsor. When test article characterization and stability testing is performed by the sponsor, verify that the test facility has received documentation that this testing has been conducted.
 Verify that procedures are in place to ensure that
- a. The acquisition, receipt and storage of test articles, and means used to prevent deterioration and contamination are as specified.

- b. The identity, strength, purity, and composition, (i.e., characterization) to define the test and control articles are determined for each batch and are documented.
- c. The stability of test and control articles is documented.
- d. The transfer of samples from the point of collection to the analytical laboratory is documented.
- e. Storage containers are appropriately labeled and assigned for the duration of the study.
- f. Reserve samples of test and control articles for each batch are retained for studies lasting more than 4 weeks.

Test and Control Article Handling (21 CFR 58.107)

- a. Determine that there are adequate procedures for:
 - i. Documentation for receipt and distribution
 - ii. Proper identification and storage
 - iii. Precluding contamination, deterioration, or damage during distribution
- b. Inspect test and control article storage areas to verify that environmental controls, container labeling, and storage are adequate.
- c. Observe test and control article handling and identification during the distribution and administration to the test system.
- d. Review a representative sample of accountability records and, if possible, verify their accuracy by comparing actual amounts in the inventory. For completed studies verify documentation of final test and control article reconciliation.

17. Protocol and Conduct of Nonclinical Laboratory Study (21 CFR 58.120–130)

Purpose: To determine if study protocols are properly written and authorized, and that studies are conducted in accordance with the protocol and SOPs.

Study Protocol (21 CFR 58.120)

- a. Review SOPs for protocol preparation and approval and verify they are followed.
- b. Review the protocol to determine if it contains required elements.
- c. Review all changes, revisions, or amendments to the protocol to ensure that they are authorized, signed, and dated by the study director.
- d. Verify that all copies of the approved protocol contain all changes, revisions, or amendments.

18. Conduct of the Nonclinical Laboratory Study (21 CFR 58.130)—Evaluate the following laboratory operations, facilities, and equipment to verify conformity with protocol and SOP requirements for Test system monitoring.

Recording of raw data (manual and automated).

Corrections to raw data (corrections must not obscure the original entry and must be dated, initialed, and explained). Randomization of test

systems. Collection and identification of specimens. Authorized access to data and computerized systems.

19. Records and Reports (21 CFR 58.185–195)

Purpose: To assess how the test facility stores and retrieves raw data, documentation, protocols, final reports, and specimens.

Reporting of Study Results (21 CFR 58.185)—

Determine if the facility prepares a final report for each study conducted. For selected studies, obtain the final report, and verify that it contains the following:

- a. Name and address of the facility performing the study and the dates on which the study was initiated and completed.
- b. Objectives and procedures stated in the approved protocol, including any changes in the original protocol.
- c. Statistical methods used for analyzing the data.
- d. The test and control articles identified by name, chemical abstracts number or code number, strength, purity, and composition or other appropriate characteristics.
- e. Stability of the test and control articles under the conditions of administration.
- f. A description of the methods used.
- g. A description of the test system used. Where applicable, the final report shall include the number of animals used, sex, body weight range, source of supply, species, strain and substrain, age, and procedure used for identification.
- h. A description of the dosage, dosage regimen, route of administration, and duration.
- i. A description of all circumstances that may have affected the quality or integrity of the data.
- j. The name of the study director, the names of other scientists or professionals, and the names of all supervisory personnel, involved in the study.
- k. A description of the transformations, calculations, or operations performed on the data, a summary and analysis of the data, and a statement of the conclusions drawn from the analysis.
- l. The signed and dated reports of each of the individual scientists or other professionals involved in the study.
- m. The locations where all specimens, raw data, and the final report are to be stored.
- n. The statement prepared and signed by the quality assurance unit as described in section 58.35(b)(7).
 - i. The final report shall be signed and dated by the study director.

- ii. Corrections or additions to a final report shall be in the form of an amendment by the study director. The amendment shall clearly identify that part of the final report that is being added to or corrected and the reasons for the correction or addition, and shall be signed and dated by the person responsible.
20. Storage and Retrieval of Records and Data (21 CFR 58.190)
- Verify that raw data, documentation, protocols, final reports, and specimens have been retained.
- Identify the individual responsible for the archives.
- Determine if delegation of duties to other individuals in maintaining the archives has occurred.
- Verify that archived material retained or referred to in the archives is indexed to permit expedient retrieval. It is not necessary that all data and specimens be in the same archive location. For raw data and specimens retained elsewhere, the archives index must make specific reference to those other locations.
- Verify that access to the archives is controlled and determine that environmental controls minimize deterioration.
- Ensure that there are controlled procedures for adding or removing material. Review archive records for the removal and return of data and specimens. Check for unexplained or prolonged removals.
- Determine how and where computer data and backup copies are stored, that records are indexed in a way to allow access to data stored on electronic media, and that environmental conditions minimize deterioration.
- Determine to what electronic media such as tape cassettes or ultra-high capacity portable discs the test facility has the capacity of copying records in electronic form. Report names and identifying numbers of both copying equipment type and electronic medium type to enable agency personnel to bring electronic media to future inspections for collecting exhibits.
21. Data Audit. In addition to the procedures outlined above for evaluating the overall GLP compliance of a firm, the inspection should include the audit of at least one completed study. Studies for audit may be assigned by the Center or selected by the investigator as described in part III, A. The audit will include a comparison of the protocol (including amendments to the protocol), raw data, records, and specimens against the final report to substantiate that protocol requirements were met and that findings were fully and accurately reported. For each study audited, the study records should be reviewed for quality to ensure that data are:
- Attributable—the raw data can be traced, by signature or initials and date to the individual observing and recording the data. Should more than one individual observe or record the data, that fact should be reflected in the data.
 - Legible—the raw data are readable and recorded in a permanent medium. If changes are made to original entries, the changes
 - a. Must not obscure the original entry
 - b. Indicate the reason for change
 - c. Must be signed or initialed and dated by the person making the change
 - Contemporaneous—the raw data are recorded at the time of the observation.
 - Original—the first recording of the data.
 - Accurate—the raw data are true and complete observations. For data entry forms that require the same data to be entered repeatedly, all fields should be completed or a written explanation for any empty fields should be retained with the study records.
22. General
- Determine if there were any significant changes in the facilities, operations, and QAU functions other than those previously reported.
- Determine whether the equipment used was inspected, standardized, and calibrated prior to, during, and after use in the study. If equipment malfunctioned, review the remedial action, and ensure that the final report addresses whether the malfunction affected the study.
- Determine if approved SOPs existed during the conduct of the study.
- Compare the content of the protocol with the requirements in 21 CFR
- Review the final report for the study director's dated signature and the QAU statement as required in 21 CFR 58.35(b)(7).
23. Protocol Versus Final Report—Study methods described in the final report should be compared against the protocol and the SOPs to confirm those requirements were met. Examples include, but are not limited to, the following:
24. Final Report Versus Raw Data—The audit should include a detailed review of records, memorandum, and other raw data to confirm that the findings in the final report completely and accurately reflect the raw data. Representative samples of raw data should be audited against the final report.
25. Samples—Collection of samples should be considered when the situation under audit or surveillance suggests that the facility had, or is having, problems in the area of characterization, stability, storage, contamination, or dosage preparation.
26. Inspectional Observations—An FDA 483 listing inspectional observations will be issued under this program. Findings should not be listed on the FDA 483 if in the opinion of the field investigator: The findings are problems that have been observed and corrected by the firm through its internal procedures.

The findings are minor and are one-time occurrences that have no impact on the firm's operations, study conduct, or data integrity.

- a. Findings that are not considered significant enough to be listed on the FDA 483 may be discussed with the firm's management. Such discussions must be reported in the EIR. Analyzing Laboratories

FDA AUDIT PLANS

When bioequivalence studies are submitted as part of an aNDA, the US FDA inspections include an audit of the studies submitted under the Compliance Program 7348.001. It is important to review these directives since it allows firms to prepare studies and have them ready for presentation in a format that is readily accessible and comprehensible. This applies to both domestic and international inspections. When the clinical and analytical portions of a study have been performed at separate locations, separate reports should be prepared and submitted for each site

PART I—BACKGROUND

The Bioequivalence Regulations (21 CFR 320) of January 7, 1977 and its amendments stated the requirements for submission of in vivo bioavailability and bioequivalence data as a condition of marketing a new (i.e., new chemical compound; new formulation, new dosage form, or new route of administration of a marketed drug) or generic drug. 21 CFR 320 also provided general guidance concerning the design and conduct of bioavailability/bioequivalence studies. However, it should be noted that bioequivalence studies conducted to support aNDAs involve testing of already approved drug entities and therefore, generally do not require an investigational new drug application (IND). However, sponsors of generic drugs need to file INDs when studies involve a route of administration or dosage level or use in a patient population or other factor that significantly increases the risks (or decreases the acceptability of the risks) associated with the use of the drug product [21 CFR 312.2(b)(iii)].

The FDA does not require bioequivalence studies on pre-1938 drug products. It is however the responsibility of the firm to assure that the studies are submitted in accordance with the most current guidelines as amended.

Bioequivalence studies involve both a clinical component and an analytical component. The objective of a typical bioequivalence study is to demonstrate that the test and reference products achieve a similar pharmacokinetic profile in plasma, serum, and/or urine. Bioequivalence studies usually involve administration of test and reference drug formulations to 18 to 36 normal healthy subjects, but patients with a target disease may also be used. Formulations to be tested are administered either as a single dose or as multiple doses. Sometimes formulations can be labeled with a radioactive component to facilitate subsequent analysis. In a bioequivalence study, serial samples of biological fluid (plasma, serum, or urine) are collected just before and at various times after dose administration. These samples are later analyzed for drug and/or

metabolite concentrations. The study data are used in subsequent pharmacokinetic analyses to establish bioequivalence.

In some situations, the clinical and analytical facilities for a study may be part of the same organization and therefore may be covered by one District. In other situations, the two facilities may be located in different Districts. For the purpose of this program, the District where the clinical facility is located will be referred to as the Clinical Component District, and the District where the analytical facility is located will be referred to as the Analytical Component District.

PART II—IMPLEMENTATION

OBJECTIVE

1. To verify the quality and integrity of scientific data from bioequivalence studies submitted to the Center for Drug Evaluation and Research (CDER);
2. To ensure that the rights and welfare of human subjects participating in drug testing are protected; and
3. To ensure compliance with the regulations (21 CFR 312, 320, 50, and 56) and promptly follow-up on significant problems, such as research misconduct or fraud.

PROGRAM MANAGEMENT INSTRUCTIONS

A. Coverage

It is important to draw distinctions between a clinical laboratory, a clinical facility, and an analytical facility. A clinical laboratory generally uses blood and/or urine to conduct medical screening or diagnostic tests such as blood counts (CBC), liver function tests (ALT, AST) or kidney function (BUN, creatinine clearance, etc.) tests. Clinical laboratories are usually certified under programs based on the Clinical Laboratories Improvement Act (42 USC 263a) and are not routinely inspected by the FDA. A clinical laboratory may be visited during a bioequivalence study audit to confirm that reported screening or diagnostic laboratory work was indeed performed. The clinical facility and the analytical facility as described above are the laboratories that will be routinely inspected under this program.

1. Clinical Facilities

Clinical facilities conduct bioequivalence studies (including screening, dosing, monitoring of subjects' safety, etc.) in order to obtain biological specimens (e.g., plasma, serum, urine) for analysis of drug and/or drug metabolite concentrations. Facilities that conduct bioequivalence studies in human research subjects for pharmacodynamic measurements (i.e., clinical or pharmacological effects) are also included.

2. Analytical Facilities

Analytical facilities analyze biological specimens collected in bioequivalence studies and other human clinical studies for drug and/or metabolite concentrations to measure the absorption and disposition of the drug.

3. **Clinical and Analytical Investigators**
The clinical investigator in a bioequivalence study is involved in the screening and dosing of human subjects, and will ordinarily be a physician. PhD clinical pharmacologists and PharmDs are acceptable if a physician is available to cover medical emergencies. The clinical investigator may also perform pharmacodynamic measurement(s) and evaluation activities of clinical or pharmacological endpoints. The analytical investigator in a bioequivalence study is the scientist in the analytical facility responsible for assay development and validation, and analyses of biological specimens, for example, Scientific Director or Laboratory Director.

B. Process

Facilities where bioequivalence studies are conducted are to include a review of the clinical and analytical testing procedures plus an audit of source data from one or more specified studies.

C. Assignments under this program are of two basic categories:

1. *Directed Data Audit*—Covers studies and/or facilities in which gross problems/inadequacies are suspected (including, but not limited to research misconduct, or fraud). Such assignments require rapid evaluation and resolution.
2. *Routine Data Audit*—Covers (1) pivotal studies under current review in the Divisions of Pharmaceutical Evaluation I (HFD-860), II (HFD-870), or III (HFD-880) in the Office of Clinical Pharmacology and Biopharmaceutics (HFD-850); and (2) bioequivalence studies supporting the approval of a generic product.

Assignments will be issued by the GLP and Bioequivalence Investigations Branch (GBIB, HFD-48) to the field. For each assignment, a scientific reviewer in GBIB with expertise in chemical assays, bioavailability/bioequivalence, biopharmaceutics, pharmacokinetics, or pharmacodynamics will (1) assist the field in coordinating and as necessary conducting the inspection; (2) provide technical guidance and on-site support to the field as necessary; and (3) serve as the liaison between the field investigator(s) and the Review Divisions in CDER.

For all inspections in which a Form FDA-483 is issued, a copy of the Form FDA-483 should be forwarded by facsimile to the GBIB contact or the Branch Chief of GBIB.

PART III—INSPECTIONAL

OPERATIONS

A. Inspectional

A complete inspection report under this compliance program consists of inspectional findings covering:

- i. *Clinical testing*, which includes the adequacy of facilities and procedures utilized by the clinical investigator along with a data audit of the specific study(ies) identified by GBIB
- ii. *Analytical testing*, which includes the adequacy of the facilities, equipment, personnel, and methods and procedures utilized at the analytical facility including an audit of the method validation and analytical data for the study(ies) identified by GBIB

A full narrative report of any deviations from existing regulations is required. Deviation(s) must be documented sufficiently to support legal or administrative action. For example, any records containing data that are inconsistent with data submitted to FDA should be copied and the investigator should identify the discrepancy. Generally, serious violations will require more extensive documentation a discussion between the inspector and his supervisor and the appropriate Center contact prior to embarking on this type of coverage.

B. Investigational

If inspections of institutional review boards and/or clinical laboratories are indicated, the inspector is required to contact his supervisor and GBIB for guidance prior to initiating the inspection.

C. Refusals

If access to, or copying of records is refused for any reason, the inspector promptly contacts his supervisor so that the GBIB contact can be advised of the refusal. Send follow-up information via EMS to GBIB, and ORO contacts. The same procedure is followed when it becomes evident that delays by the firm constitute a de facto refusal.

If actions by the firm take the form of a partial refusal for inspection of documents or areas to which FDA is entitled under the law, inspector calls attention to 301(e) and (f) and 505(k)(2) of the FD&C Act; if the refusal persists, he telephones his supervisor and the GBIB contact for instructions.

If the proper course of action to deal with a refusal cannot be resolved expeditiously by GBIB or ORO, GBIB will notify the Bioresearch Program Coordinator (HFC-230).

D. Findings

1. If the inspector encounters serious problems with the data, methodology, quality control practices, etc., he will continue with the originally assigned inspection, but contact GBIB for advice on possibly expanding the inspection. GBIB will determine if an in-depth inspection, involving additional bioequivalence studies, should be initiated.
2. If the inspector encounters questionable or suspicious records and is unable to review or copy them immediately and have reason to preserve

their integrity by officially sealing them, the inspector contacts his supervisor immediately for instructions. Procedures exist for the inspector District to clear this type of action by telephone with the ORA/Bioresearch Program Coordinator (HFC-230). See *Inspection Operations Manual*, Section 453.5.

3. Issuance of a Form FDA-483, Inspectional Observations, is appropriate when (1) practice at the clinical site deviates from the standards for conduct of a clinical study as set forth in 21 CFR 312 and 320 and 361, (2) practice at the analytical site deviates from the standards of laboratory practices as set forth in 21 CFR 320, and (3) discrepancies have occurred between source data and reported data in the case report forms. Items that need to be checked for compliance to study standards are provided in Attachment A. Examples of noncompliance to study standards at the clinical and analytical sites are listed in part V of this guidance. Observed deficient practices should be discussed with the responsible officials.

PART IV—ANALYTICAL

Routine analytical work is anticipated for this compliance program. Collected study retention samples will be sent to the Division of Drug Testing and Applied Analytical Development, St. Louis, MO, for screening. The sample size should be sufficient to allow the FDA laboratory to perform all of the release tests required in the aNDA, NDA, or supplemental applications five times. If the clinical investigator is not sure of the amount that constitutes the “five times quantity,” the clinical investigator should contact the study sponsor. The clinical facility must provide a written assurance (e.g., an affidavit) that the retained samples are representative of those used in the specific bioavailability/bioequivalence study, and that they were stored under conditions specified in accompanying records.

PART V—REGULATORY/ ADMINISTRATIVE STRATEGY

CLINICAL TESTING

Examples of noncompliance are as follows:

1. Subjects not receiving the test or reference drug formulation according to the study randomization codes
2. Biological samples compromised by improper identification, handling, or storage
3. Failure to report adverse experiences, such as vomiting, and diarrhea, which may affect absorption and elimination of drugs
4. Inadequate drug accountability records
5. Inadequate medical supervision and coverage

6. Significant problems/protocol deviations/adverse events not reported to the sponsor
7. Failure to adhere to the inclusion/exclusion criteria of the approved protocol
8. Inadequate or missing informed consent for participating subjects
9. Any other situation in which the health and welfare of the subjects are compromised

ANALYTICAL TESTING

Examples of noncompliance are as follows:

1. Inconsistencies between data reported to FDA and at the site
2. Inadequate or missing validation of assay methodology with respect to specificity (related chemicals, degradation products, metabolites), linearity, sensitivity, precision, and reproducibility
3. Failure to employ standard, scientifically sound quality control techniques, such as use of appropriate standard curves and/or analyte controls that span the range of subjects' analyte levels
4. Failure to include all data points, not otherwise documented as rejected for a scientifically sound reason, in determination of assay method precision, sensitivity, accuracy, etc.
5. Samples are allowed to remain for prolonged periods of time without proper storage
6. Failure to maintain source data, for example, source data written on scrap paper and/or discarded in trash after transferring to analytical documents
7. Lack of objective standard for data acceptance of calibration standards, quality controls, etc.
8. Unskilled personnel conducting analytical procedures
9. No documentation of analytical findings
10. Inadequate or no written procedures for drug sample receipt and handling
11. Inadequate or missing standard operating procedures

Note: The above are not all-inclusive lists of examples of clinical and analytical noncompliance.

BIOEQUIVALENCE INSPECTION REPORT

PART I—FACILITIES AND PROCEDURES (CLINICAL AND ANALYTICAL)

- A. **Facilities** (Clinical and/or Analytical)
 1. Evaluate the general facilities for adequate space, work-flow patterns, separation of operations, etc.
 2. Comment on potential or actual problems, such as:
 - a. Adjacent clinic rooms housing concurrent studies

- b. Open windows allowing ingress of unauthorized food, drugs, etc., into clinic rooms
 - c. Sealing or monitoring of dropped ceilings to prevent storage of nonpermitted materials
 - d. Other conditions that may compromise study security, contribute to the potential for sample mix-up, sample contamination/degradation, etc.
3. Comment if the facilities do not appear adequate to support their normal workload.
 4. Are there written, dated, and approved standard operating procedures, readily available to all personnel in their work areas? Are working copies kept current?
 5. Are outdated procedures archived for future reference?
 6. Are visitors to the clinical facility permitted? How are visitors monitored to prevent passage of nonpermitted materials to the study subjects?
 7. Are off-site trips for smoking or other reasons monitored to prevent consumption of nonpermitted materials or passage of such materials to or from unauthorized persons?

B. Personnel

1. Check the relevant qualifications, training, and experience of personnel. Assess staff's ability to perform assigned functions. Document any deficiencies that relate to the audited study(ies).

C. Specimen Handling and Integrity

In the Clinic. Check and describe:

1. Procedures for positive subject and sample identification so that study, drug, subject, sampling time, etc., are linked.
2. Procedures for adherence to processing time, temperature, and light conditions as specified by analytical method.
3. Storage conditions before and after processing, as well as during transit to the laboratory.
4. Precautions against sample loss and mix-up during storage, processing, and transit to the laboratory.

In the Analytical Laboratory

1. Determine if the analytical facility receives bioequivalence samples from other locations. If yes:
 - a. Are there freight receipts for sending/receiving samples?
 - b. Is a documented history of sample integrity available (e.g., the sample storage time and conditions prior to shipment)?
 - c. Is the length of time in shipment recorded?
 - d. Evaluate the type of transportation employed, and type of protection provided (e.g., shipped by air in insulated containers of dry ice). Report any questionable practices.
 - e. What arrangement(s) can be made for receiving shipments outside of normal working hours?

- f. Are the conditions of the samples noted upon arrival at the analytical laboratory, along with the identity of the person(s) receiving the samples?
 - g. Are there procedures and documentation to assure that the samples remained at the proper temperature during shipment and holding?
2. Describe the storage equipment for bioequivalence samples until analysis (e.g., GE Freezer, chest type, Model #417, etc.)
 3. Evaluate the equipment and procedures (e.g., ultraviolet light protection) for storing and maintaining bioequivalence samples, prior to and during analysis.
 - a. Compare storage capacity versus number of samples in storage.
 - b. Examine set points for alarms and temperature controlling/recording devices.
 - c. Review procedures for calibration and maintenance of alarms and controllers/recorders.
 - d. Determine practices for monitoring, review, and storage of temperature records.
 - e. Report any evidence of sample thawing.
 - f. Check integrity of study samples.
 - g. Determine if action plans are in place in case of power loss leading to abnormal storage conditions, that is, emergency procedures.
 4. Determine if samples are labeled and separated in storage and during analysis to prevent sample loss or mix-up between studies, subjects, and test/reference drug?
 5. Examine how sample identification is maintained through transfer steps during analysis.
 6. Is there accurate documentation to show how many freeze and thaw cycles the samples have been subjected to, including accidental thawing due to equipment failure(s)?

ELECTRONIC RECORDS AND SIGNATURES

FDA published the Electronic Records; Electronic Signatures; Final Rule (21 CFR 11) on March 20, 1997. The rule became effective on August 20, 1997. Records in electronic form that are created, modified, maintained, archived, retrieved, or transmitted under any records requirement set forth in agency regulations must comply with 21 CFR 11. The following questions are provided to aid evaluation of electronic records and electronic signatures:

1. Are electronic data systems used to gather clinical (e.g., adverse experiences, concomitant medications) and analytical data (e.g., peak heights, peak areas of chromatograms)? Are such systems used to store, analyze, and/or calculate pharmacokinetic/pharmacodynamic modeling, or to transmit clinical and analytical data to the sponsor? If so, identify the

system(s), and summarize the system(s)' capabilities. If electronic data systems are not used, omit coverage of the remainder of this section.

2. Determine the source(s) of data entered into the computer for accuracy, security, and traceability.
 - a. Direct electronic transfer of online instrument data
 - b. Case report forms, analytical worksheets, or similar records requiring manual data entry
 - c. Chromatograms requiring evaluation prior to manual extraction of data
 - d. Other
3. Determine the following:
 - a. Who enters data and when?
 - b. Who verifies data entry and when?
 - c. Who has access to computer and security codes?
 - d. How are data in computers changed? By whom? Audit trail?
4. Determine if the sponsor gets source data or tabulated, evaluated data.
5. Determine how data are transmitted to sponsor (hard copy, computer disk, fax, modem, etc.).
6. If the *sponsor* discovers errors, omissions, etc., in the final report, what contacts are made with the investigator; how are corrections effected, and how are they documented?
7. Determine how data are retained by the investigator? (Hard copy, electronic, etc.).
8. Determine if the firm has SOPs for validation of computer systems involved in storing, analyzing, calculating, modeling, and/or transmitting clinical and analytical data. Have the computer systems been validated according to the SOPs? Are results of the validations documented and available for audit? Summarize the validated capabilities of the computer systems with respect to their effect on the validity of the study data.

CLINICAL DATA AND OPERATIONS

General

Inspections of clinical facilities should include a comparison of the practices and procedures of the clinical investigator with the requirements of 21 CFR 312, 320.

Inspections should also include a comparison of the source data in the clinical investigator's files with the data submitted to the FDA. Original records should be reviewed, including medical records, dosing records, clinical laboratory test reports, adverse reaction reports, concomitant medications records, nurses' notes, etc.

Inspection Procedures

This part identifies the minimum information that must be obtained during an inspection to determine if the clinical investigator is complying with the regulations. Each FDA investigator should expand the inspection as facts emerge. The inspections should be sufficient in scope to determine the

clinical investigator's general practices for each point identified, as well as the particular practices employed for the study(ies) under audit.

Study Responsibility and Administration

1. Determine if the clinical investigator was aware of the status of the test article(s), nature of the protocol, and the obligations of the clinical investigator.
2. Determine whether authority for the conduct of various aspects of the study was delegated properly so that the investigator retained control and knowledge of the study.
3. Determine if the investigator discontinued the study before completion. If so, provide reason.
4. Determine the name and address of any clinical laboratory performing clinical laboratory tests for qualifying and/or safety monitoring of study subjects.
 - a. If any clinical laboratory testing was performed in the investigator's own facility, determine whether that facility is equipped to perform each test specified.
 - b. Determine if individuals performing the clinical tests are adequately qualified.

Protocol

Obtain a copy of the written protocol. Unavailability should be reported and documented. If a copy of the protocol is sent with the assignment background material, it should be compared to the protocol on site. If the protocols are identical, a duplicate copy does not need to be obtained. The narrative should note that the protocols were identical. If the protocol has been accepted by a Review Division in CDER, a copy of the acceptance letter should be attached to the EIR. If the Agency has recommended the incorporation of additional material, method, or information into the protocol, verify that appropriate modifications were made.

1. Compare the written protocol and all Institutional Review Board (IRB)-approved modifications against the protocol provided with the assignment package. Report and document any differences.
2. Determine if the approved protocol was followed with respect to:
 - a. Subject selection (inclusion/exclusion criteria)
 - b. Number of subjects
 - c. Drug dose form, strength, and route of administration
 - d. Frequency of subject dosing, monitoring, and sampling
 - e. Washout period between study arms (test vs. reference drug)
 - f. Other (specify)
3. Determine whether all significant changes to the protocol were:
 - a. Documented by an approved amendment that is maintained with the protocol
 - b. Dated by the investigator

- c. Approved by the IRB and reported to the sponsor before implementation except where necessary to eliminate apparent immediate hazard to human subjects
- d. Implemented after IRB approval

Note: Changes in protocol are not violations of protocol.

Subjects' Records

1. Describe the investigator's source data files in terms of their organization, condition, accessibility, completeness, and legibility.
2. Determine whether there is adequate documentation to assure that all audited subjects did exist and were alive and available for the duration of their stated participation in the study.
3. Compare the source data in the clinical investigator's records with the case reports completed for the sponsor. Determine whether clinical laboratory testing (including blood work, EKGs, X-rays, eye examinations, etc.), as noted in the case report forms, was documented by the presence of completed laboratory records among the source data.
4. Determine whether all adverse experiences were reported in the case report forms. Determine whether they were regarded as caused by or associated with the test article and if they were previously anticipated (specificity, severity) in any written information regarding the test article.
5. Concomitant therapy and/or intercurrent illnesses might interfere with the evaluation of the effect of the test article. Check whether concomitant therapy or illness occurred. If so, was such information included in the case report forms?
6. Determine whether the number and type of subjects entered into the study were confined to the protocol limitations and whether each record contains:
 - a. Observations, information, and data on the condition of each subject at the time the subject entered into the clinical study
 - b. Records of exposure of each subject to the test article
 - c. Observations and data on the condition of each subject throughout participation in the investigation including time(s) of drug administration; dosing according to pre-established, randomization schedules; results of laboratory tests; development of unrelated illness; bleeding times and any other specimen collections; wash-out periods for subjects; and other factors which might alter the effects of the test article
 - d. The identity of all persons and locations obtaining source data or involved in the collection or analysis of such data

Other Study Records

Review information in the clinical investigator's records that would be helpful in assessing any under-reporting of adverse

experiences by the sponsor to the agency. The following information will ordinarily be obtained from the sponsor and sent with the assignment:

- a. The total number of subjects entered into the study
- b. The total number of dropouts from the study (identified by subject number)
- c. The number of evaluable subjects and the number of nonevaluable subjects (the latter identified by subject number)
- d. The adverse experiences identified by subject number and a description of the adverse experience

Compare the information submitted to the sponsor according to the clinical investigator's files with the information obtained from the sponsor, and document any discrepancies found.

Consent of Human Subjects

1. Obtain a copy of the consent form actually used.
2. Determine whether proper informed consent was obtained from *all* subjects *prior* to their entry into the study. Identify the staff who obtain and witness the signing of informed consent for study subjects.

Institutional Review Board

1. Identify the name, address, and chairperson of the Institutional Review Board for this study.
2. Determine whether the investigator maintains copies of all reports submitted to the IRB and reports of all actions by the IRB. Determine the nature and frequency of periodic reports submitted to the IRB.
3. Determine whether the investigator submitted reports to the IRB of all deaths and serious adverse experiences and unanticipated problems involving risk to human subjects (21 CFR 312.66).
4. Determine if the investigator submitted to and obtained IRB approval of the following *before* subjects were allowed to participate in the investigation:
 - a. Protocol
 - b. Modifications to the protocol
 - c. Materials to obtain human subject consent
 - d. Media advertisements for subject recruitment
5. Determine if the investigator disseminated any promotional material or otherwise represented that the test article was safe and effective for the purpose for which it was under investigation. Were the promotional material(s) submitted to the IRB for review and approval before use?

Sponsor

1. Did the investigator provide a copy of the IRB-approved consent form to the sponsor?
2. Determine whether the investigator maintains copies of all reports submitted to the sponsor.
3. Determine if and how the investigator submitted any report(s) of deaths and adverse experiences to the sponsor.

4. Determine whether all intercurrent illnesses and/or concomitant therapy(ies) were reported to the sponsor.
5. Determine whether all case report forms on subjects were submitted to the sponsor shortly (within 6 months) after completion.
6. Determine whether all dropouts, and the reasons therefore were reported to the sponsor.
7. Did the sponsor monitor the progress of the study to assure that investigator obligations were fulfilled? Briefly describe the method (on-site visit, telephone, contract research organization, etc.) and *frequency* of monitoring. Do the study records include a log of on-site monitoring visits and telephone contacts?

Test Article Accountability

1. Determine whether unqualified or unauthorized persons administered or dispensed the test article(s).
2. What names are listed on the FDA-1571 (for Sponsor-Investigator) and FDA-1572 (for studies conducted under an IND)? Obtain a copy of all FDA-1572s.
3. Determine accounting procedures for test articles:
 - a. Receipt date(s) and quantities
 - b. Dates and quantities dispensed
 - c. Quantities of bioequivalence testing samples retained (see section "Sample Collection" under part III)
4. Inspect storage area.
 - a. Reconcile amounts of test article used with amounts received, returned, and retained. Report any discrepancy.
 - b. If not previously sampled under CP 7346.832, collect samples of both the test and reference products for FDA analysis.
5. If test articles are controlled substances, determine if proper security is provided.

Records Retention

1. Determine who maintains custody of the required records and the means by which prompt access can be assured.
2. Determine whether the investigator notified the sponsor in writing regarding alternate custody of required records, if the investigator does not maintain them.
3. Be aware that records should be retained at the study site for the specified time as follows:
 - a. Two years following the date on which the test article is approved by FDA for marketing for the purposes, which were the subject of the clinical investigation.
 - b. Two years following the date on which the entire clinical investigation (not just the investigator's part in it) is terminated or discontinued *by the*

sponsor. If the investigator was terminated or discontinued, was FDA notified?

Abbreviated Report Format

For inspection of a clinical facility, abbreviated report is allowed if (1) there are no significant violations and no FDA Form 483 is issued, and (2) in cases where there are objectionable findings but the findings are not serious and clearly do not have any impact on data integrity and study outcomes. The following is a guideline for preparation of the abbreviated report:

1. Reason for inspection
 - a. Identify the headquarters unit that initiated and/or issued the assignment.
 - b. State the purpose of the inspection.
2. What was covered
 - a. Identify the clinical study, protocol number, sponsor, NDA, aNDA, etc.
 - b. Location of study.
3. Administrative procedures
 - a. Report the name, title, and authority of the person to whom credentials were shown and FDA-482 Notice of Inspection was issued.
 - b. Persons interviewed.
 - c. Who accompanied the inspector during establishment inspection.
 - d. Who provided relevant information.
 - e. Identify the IRB.
 - f. Prior inspectional history.
4. Individual responsibilities
 - a. Identify study personnel and summarize their responsibilities relative to the clinical study (e.g., who screened the subjects, who administered the drugs, who supervised collection, identification, and processing of samples, etc.).
 - b. A statement about (i) who obtained informed consent, (ii) how it was obtained, and (iii) was informed consent signed by each subject?
 - c. Identify by whom the clinical study was monitored, and when, etc.
5. Inspectional findings
 - a. A statement regarding the comparison of data on the case report forms to the source data at the investigator's site. Indicate the number of records compared and what was compared (patient charts, hospital records, lab slips, etc.), and specific information about any discrepancies.
 - b. A statement indicating if the drug accountability records were sufficient to reconcile the amount of drug received, dispensed, returned, and retained.
 - c. A statement about protocol adherence. Describe in detail any nonadherence.
 - d. A statement concerning doses in accordance with pre-established, randomization schedules.

- e. The EIR should identify the IRB and state if it approved the study and was kept informed of the progress of the study.
 - f. A statement on (i) follow-up activities in response to reports of adverse experiences (including death) if any occurred; (ii) whether there was evidence of under reporting of adverse experiences/events.
 - g. Discussion of 483 observations, reference the exhibits/documentation collected.
6. Discussion with Management
- a. Discussion of 483 observations and non-483 observations.
 - b. Clinical investigator's response to observations.

Remember that the above deals with abbreviated reports, not abbreviated inspections. All assignments issued for cause must have full reporting. The assignment EMS or memo will indicate the need for full reporting for any special inspection.

ANALYTICAL DATA AND OPERATIONS

Information required by this section must be obtained with the assistance of a qualified analyst from the field and/or a reviewer in GBIB with expertise in the type of analysis used in the bioequivalence study under review.

At random, compare the analytical source data with data provided in the inspection assignment for accuracy of transference and for scientific soundness/bearing on the validity of the study. Analytical source data are codes used to blind samples; data establishing the sensitivity, linearity, specificity, and precision of the analytical assay; data determining the stability of the drug in the biological specimen; all standard curves; blinded and unblinded spiked control samples; blanks; data on reagent preparation; instrumental readings; calculations; etc. The data comparison and the testing procedural review should include an evaluation of any discrepancies found.

A. Pre-study analysis

If the analytical laboratory is involved in analysis of drug standards and products employed in the bioequivalence studies, determine if:

1. Appropriate samples were analyzed by the laboratory to determine potency and content uniformity for tablets and capsules. Include a description of procedures used to prepare the sample(s) used in the study.
2. If testing of the samples described above was not performed by the analytical laboratory, did the sponsor provide test results to the laboratory?
3. For both the test and reference drug products studied, were the products' appearance, potency, dosage form (capsule, tablet, suspension, controlled release, etc.), lot numbers and expiration dates the same as that reported to FDA?

B. Protocol acceptance

If the Review Division reviewed the protocol and recommended protocol modifications, verify that the modifications were incorporated into the protocol.

C. Equipment

Check on the following with respect to both current equipment and practices and those in place at the time of the study:

1. Does the laboratory have the same type, brand, and model (not serial) numbers of all major pieces of analytical equipment and instrumentation used in their testing procedures, as reported in the aNDA or NDA? (e.g., gas chromatographs, high-performance liquid chromatographs, ultraviolet spectrophotometers, colorimeter, fluorescence or atomic absorption spectrophotometer, pH meter, etc.). If not, describe the discrepancy and include its effect on the validity of the study data.
2. Assess the general condition of the major pieces of equipment (e.g., gross mistreatment), which may render them inaccurate or unreliable. Examples: damaged gas chromatograph inlet port, dry pH meter electrodes, etc. Review maintenance and repair logs for indications of past problems.
3. Are there written operating instructions for these major pieces of equipment, and are they available to the laboratory personnel?
4. Are there written and scheduled calibration/standardization procedures, and a preventative maintenance procedure for all analytical instruments employed in the study? Determine whether these calibration/standardization procedures are actually employed and documented. If not, describe the deficiencies and determine whether the instruments have been calibrated during the time of the study.
5. Were specific instrument operating parameters documented during the study? If so, where?

D. Analytical methods validation—Determine through data and procedural review if

1. The analytical laboratory has scientifically sound data to support claims for the specificity of the assay employed in this study. Ascertain the laboratory's justification for noninterferences, both endogenous and exogenous (e.g., metabolites, solvent contamination, etc.) in measuring the analytes (drug, metabolites, etc.) studied.
2. The analytical laboratory has data to support the claims for the linearity of the assay employed in this study.
3. The laboratory analyst who analyzed the biological samples has generated data demonstrating the sensitivity of the assay using the same instrumentation as that employed in the bioequivalence study. The sensitivity of the assay

(or limit of detection) may be defined as the lowest quantifiable limit that can be *reproducibly determined* for the measured analyte(s) being carried through the method.

4. The laboratory analyst who analyzed the biological specimen has generated data demonstrating the precision of the assay using the instrumentation employed in the bioequivalence study. The data should be available for both standard and quality control samples and should include the consistency of precision of the standard and control samples carried through the assay procedure. Ascertain the laboratory's justification for the precision based on the separation procedure, instrumentation, and analyte concentration levels in the biological fluids.
 5. The laboratory has data to demonstrate drug recoveries (percent recovery) for the measured analyte(s). This should include both analyte extraction efficiency from the biological fluid *and* recovery of the analyte(s) carried through the analytical testing procedure.
 6. The analytical laboratory determined the stability of the drug both in the biological specimen and in the sample preparation medium under the same condition as in actual analysis of subject samples.
 7. The analytical laboratory showed that the storage procedures (e.g., freezing and number of freeze/thaw cycles) have no adverse effect on drug stability for the period of time the samples were stored, from subject dosing until last sample analysis.
 8. The water quality specified for sample and reagent preparation is consistently and readily available in the laboratory.
- E. Sample analyses—Determine if
1. The analytical assay employed was the same as that specified in the aNDA or NDA.
 2. The assay parameters observed for the study's sample analysis are similar to those (e.g. specificity, precision, etc.) obtained during method validation. Review study subjects' source analytical data to check this; pay particular attention to analytical runs determined toward the end of analytical testing.
 3. Coding techniques were used to blind the analytical laboratory to the sample. Was the code available to the analytical chemist?
 4. The samples were analyzed in a randomized fashion or in some specific order. Were samples of test and reference products for the same subject analyzed at the same time under identical conditions with the same standard curve, same control, and same instrument?
 5. Standard curves are prepared each time a batch of unknown samples is assayed. If not, how often are standards run? Have all the standard curves run during the study been reported? How many standards are used to define each standard curve? (Should be 5–8, excluding blank.) Does the laboratory have scientifically sound procedures for acceptance or rejection of a standard point and/or a standard curve?
 6. The standard curve encompasses the concentration values reported. Were any values reported which were derived from points extrapolated on the standard curve?
 7. The laboratory has a scientifically sound SOP in place to guide the acceptance/rejection of data. Did the laboratory adhere to the SOPs in the reporting of repeated determinations, or was supervisory discretion used to accept/reject data points?
 8. Blinded or nonblinded spiked control samples have been included and reported with each run. Who prepared these samples? Were the controls made from a standard weight different from the standard weight used to prepare standards for the standard curve (i.e., two separate independent weighings for calibration standards and QC stock solutions)? Do the controls span the expected analyte concentration range (low, mid-range, and high) found in the subjects' samples? Have all control values been reported individually, as opposed to averages?
 9. The control samples were processed and analyzed exactly the same as the unknown samples. Were the controls interspersed throughout the entire analytical run?
 10. The source of blank biological fluids. (Was each subject's zero hour serum used as the blank, pooled plasma, etc.?) Were interferences noted in the analytical source data for these samples? Specifications should be established to assure that blank biological fluids are as similar as possible to the biological matrix for the subject samples.
 11. The source of the drug standards used for the in vivo sample analysis. If not compendial standards, how was the quality and purity of the standard assured?
 12. All sample values were recorded and reported. If not, were reasons for rejection documented and justified? Were any samples rerun? When repeated determinations were made, were new standard curves and control samples run concurrently?
 13. The procedure employed for determining which value of a rerun sample is reported. Was this procedure scientifically sound and consistently followed? Was an established written procedure followed?

14. The submitted chromatograms are representative of the quality of the chromatograms generated throughout the study.
 15. There are written procedures for preparing reagents used in these assays. Are reagents properly labeled with date of preparation, storage requirements, as well as chemist who prepared them? Were the original weighings for calibration standard and QC stock solutions checked and countersigned by a second party?
 16. Copies of the following chromatograms are available: (If not submitted by the applicant, the Field investigator or chemist should obtain copies.)
 - a. Reagent blank
 - b. Sample blank
 - c. Internal standard
 - d. A standard run
 - e. A quality control run
 - f. A set of chromatograms for one subject over the entire span of the study
- F. For antibiotic analyses—Determine:
1. Are incubators available? Specify dimensions and type.
 2. Whether
 - a. The bench tops are level
 - b. The room temperature is controlled and, if so, what are the temperature tolerances
 - c. Agar, propagation cultures, and other necessary resources are available and properly monitored
 - d. Zone readers are available; if so, specify type
 - e. Autoclaves are available and, if so, specify type and determine if the autoclave sterilization process has been validated
 3. The room where these studies are conducted is “environmentally sterile” and what monitoring is done to determine the degree of “environmental sterility.”
 4. Whether the samples were run properly through the incubator, that is, times and temperatures are controlled to desired specifications and properly documented.
 5. Whether the standards, controls, and samples are incubated at the same time, in the same incubator.
 6. Whether the microorganisms used in the media are the same as described in the AADA.
 7. Whether a burner is used to heat the wire for transfer purposes.
 8. Whether calibrated zone readers were used for zone size determinations.
 9. Whether turbidimetric methodology was employed. Also, determine the type of spectrophotometry used.
 10. Whether the turbidimetric standardization procedure was the same as that specified in the AADA. If not, describe differences.
11. Whether all samples were read in duplicate. Were all samples read by the same person? Did zone diameters or turbidimetric readings correlate with drug concentration levels?
 12. Are standard operating procedures in place to calibrate the incubator, autoclave, etc., used in antibiotic analysis? Are the SOPs readily available to laboratory personnel?
- G. For radiometric analyses—In addition to the general guidance above, determine:
1. How the specific activity of the radiochemical standards employed was determined.
 2. Whether all counts specified in records submitted to the Agency were actually counted for the time interval specified.
 3. Whether an inventory of all radiolabeled compounds is maintained by the laboratory.
 4. If the background level has been determined? If yes, by what method?
 5. For RIA methodology, determine if a commercial kit was used in the analysis. If so, report the type of kit, the expiration date and whether the laboratory validated the accuracy, specificity, precision, sensitivity and linearity of the kit assay in relation to the reported study assay procedure.
- H. Data handling and storage—Determine:
1. Whether bound notebooks and/or source data worksheets are used by the laboratory.
 2. If bound notebooks are used, are the pages filled in sequentially on a chronological basis? Does the analyst sign the notebook/worksheet daily? Does a supervisor initial the notebook/worksheet after checking it for accuracy?
 3. Whether the laboratory retains all source data, such as notebooks, worksheets, chromatograms, standard curves, etc. Is there justification for source data excluded from the study report, such as rejected runs, missing samples, etc.?
 4. Whether the analyst(s) sign and date all source data records.
 5. How long the source data is retained.
 6. Describe the maintenance and accessibility of laboratory source data (e.g., repeated determinations, rejected analytical runs, etc.). Document problems with data recording and verification, such as lack of dates and signatures, erasures, white-out, etc.

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6 EU Guidelines to Good Manufacturing Practice: Active Drug Substance

1.1 OBJECTIVE

These guidelines are intended to provide guidance regarding good manufacturing practice (GMP) for the manufacture of active substances under an appropriate system for managing quality. It is also intended to help ensure that active substances meet the requirements for quality and purity that they purport or are represented to possess.

In these guidelines “manufacturing” includes all operations of receipt of materials, production, packaging, repackaging, labeling, relabeling, quality control, release, storage, and distribution of active substances and the related controls. The term “should” indicates recommendations that are expected to apply unless shown to be inapplicable, modified in any relevant annexes to the GMP guide, or replaced by an alternative demonstrated to provide at least an equivalent level of quality assurance.

The GMP guide as a whole does not cover safety aspects for the personnel engaged in manufacture, nor aspects of protection of the environment. These controls are inherent responsibilities of the manufacturer and are governed by other parts of the legislation.

These guidelines are not intended to define registration requirements or modify pharmacopoeial requirements and do not affect the ability of the responsible competent authority to establish specific registration requirements regarding active substances within the context of marketing/manufacturing authorizations. All commitments in registration documents must be met.

1.2 SCOPE

These guidelines apply to the manufacture of active substances for medicinal products for both human and veterinary use. They apply to the manufacture of sterile active substances only up to the point immediately prior to the active substance being rendered sterile. The sterilization and aseptic processing of sterile active substances are not covered, but should be performed in accordance with the principles and guidelines of GMP as laid down in Directive 2003/94/EC and interpreted in the GMP guide including its Annex 1.

In the case of ectoparasiticides for veterinary use, other standards than these guidelines, that ensure that the material is of appropriate quality, may be used.

These guidelines exclude whole blood and plasma, as Directive 2002/98/EC and the technical requirements supporting that directive lay down the detailed requirements for the collection and testing of blood; however, it does include

active substances that are produced using blood or plasma as raw materials. Finally, these guidelines do not apply to bulk-packaged medicinal products. They apply to all other active starting materials subject to any derogations described in the annexes to the GMP guide, in particular Annexes 2 to 7 where supplementary guidance for certain types of active substance may be found. The annexes will consequently undergo a review but in the meantime and only until this review is complete, manufacturers may choose to continue to use Part I of the basic requirements and the relevant annexes for products covered by those annexes, or may already apply Part II.

Section 19 contains guidance that only applies to the manufacture of active substances used in the production of investigational medicinal products, although it should be noted that its application in this case, though recommended, is not required by community legislation.

An “active substance starting material” is a raw material, intermediate, or an active substance that is used in the production of an active substance and that is incorporated as a significant structural fragment into the structure of the active substance. An active substance starting material can be an article of commerce, a material purchased from one or more suppliers under contract or commercial agreement, or produced in-house. Active substance starting materials normally have defined chemical properties and structure.

The manufacturer should designate and document the rationale for the point at which production of the active substance begins. For synthetic processes, this is known as the point at which active substance starting materials are entered into the process. For other processes (e.g., fermentation, extraction, purification, etc.), this rationale should be established on a case-by-case basis. Table 6.1 gives guidance on the point at which the active substance starting material is normally introduced into the process. From this point on, appropriate GMP as defined in these guidelines should be applied to these intermediate and/or active substance manufacturing steps. This would include the validation of critical process steps determined to impact the quality of the active substance. However, it should be noted that the fact that a manufacturer chooses to validate a process step does not necessarily define that step as critical. The guidance in this document would normally be applied to the steps shown in gray in Table 6.1. It does not imply that all steps shown should be completed. The stringency of GMP in active substance manufacturing should increase as the process proceeds from early steps to final steps, purification, and packaging. Physical processing of active substances, such as granulation, coating, or physical

TABLE 6.1
Application of This Guide to API Manufacturing

Type of manufacturing	Application of this guide to steps (shown in gray) used in this type of manufacturing				
Chemical manufacturing	Production of the API starting material	Introduction of the API starting material into process	Production of intermediate(s)	Isolation and purification	Physical processing and packaging
API derived from animal sources	Collection of organ, fluid, or tissue	Cutting, mixing, and/or initial processing	Introduction of the API starting material into process	Isolation and purification	Physical processing and packaging
API extracted from plant sources	Collection of plant	Cutting and initial extraction(s)	Introduction of the API starting material into process	Isolation and purification	Physical processing and packaging
Herbal extracts used as API	Collection of plants	Cutting and initial extraction		Further extraction	Physical processing and packaging
API consisting of comminuted or powdered herbs	Collection of plants and/or cultivation and harvesting	Cutting/comminuting			Physical processing and packaging
Biotechnology: fermentation/cell culture	Establishment of master cell bank and working cell bank	Maintenance of working cell bank	Cell culture and/or fermentation	Isolation and purification	Physical processing and packaging
“Classical” fermentation to produce an API	Establishment of cell bank	Maintenance of the cell bank	Introduction of the cells into fermentation	Isolation and purification	Physical processing and packaging

Increasing GMP requirements



manipulation of particle size (e.g., milling, micronizing), should be conducted at least to the standards of these guidelines. These guidelines do not apply to steps prior to the first introduction of the defined active substance starting material.

In the remainder of this guideline, the term active pharmaceutical ingredient (API) is used repeatedly and should be considered interchangeable with the term “active substance.” The glossary in section 20 of Part II should only be applied in the context of Part II. Some of the same terms are already defined in Part I of the GMP guide and these therefore should only be applied in the context of Part I.

2 QUALITY MANAGEMENT

2.1 PRINCIPLES

2.10 Quality should be the responsibility of all persons involved in manufacturing.

2.11 Each manufacturer should establish, document, and implement an effective system for managing quality that involves the active participation of management and appropriate manufacturing personnel.

2.12 The system for managing quality should encompass the organizational structure, procedures, processes and resources, as well as activities necessary to ensure confidence that the API

will meet its intended specifications for quality and purity. All quality-related activities should be defined and documented.

2.13 There should be a quality unit(s) that is independent of production and that fulfills both quality assurance (QA) and quality control (QC) responsibilities. This can be in the form of separate QA and QC units or a single individual or group, depending upon the size and structure of the organization.

2.14 The persons authorized to release intermediates and APIs should be specified.

2.15 All quality-related activities should be recorded at the time they are performed.

2.16 Any deviation from established procedures should be documented and explained. Critical deviations should be investigated, and the investigation and its conclusions should be documented.

2.17 No materials should be released or used before the satisfactory completion of evaluation by the quality unit(s) unless there are appropriate systems in place to allow for such use (e.g., release under quarantine as described in section 10.20 or the use of raw materials or intermediates pending completion of evaluation).

2.18 Procedures should exist for notifying responsible management in a timely manner of regulatory inspections, serious GMP deficiencies, product defects, and related actions (e.g., quality-related complaints, recalls, regulatory actions, etc.).

2.2 RESPONSIBILITIES OF THE QUALITY UNIT(S)

2.20 The quality unit(s) should be involved in all quality-related matters.

2.21 The quality unit(s) should review and approve all appropriate quality-related documents.

2.22 The main responsibilities of the independent quality unit(s) should not be delegated. These responsibilities should be described in writing and should include but not necessarily be limited to

- releasing or rejecting all APIs;
- releasing or rejecting intermediates for use outside the control of the manufacturing company;
- establishing a system to release or reject raw materials, intermediates, packaging, and labeling materials;
- reviewing completed batch production and laboratory control records of critical process steps before release of the API for distribution;
- making sure that critical deviations are investigated and resolved;
- approving all specifications and master production instructions;
- approving all procedures impacting the quality of intermediates or APIs;
- making sure that internal audits (self-inspections) are performed;
- approving intermediate and API contract manufacturers; approving changes that potentially impact intermediate or API quality;
- reviewing and approving validation protocols and reports; making sure that quality-related complaints are investigated and resolved;
- making sure that effective systems are used for maintaining and calibrating critical equipment;
- making sure that materials are appropriately tested and the results are reported;
- making sure that there is stability data to support retest or expiry dates and storage conditions on APIs and/or intermediates where appropriate; and
- performing product quality reviews (as defined in section 2.5).

2.3 RESPONSIBILITY FOR PRODUCTION ACTIVITIES

The responsibility for production activities should be described in writing and should include but not necessarily be limited to

- preparing, reviewing, approving, and distributing the instructions for the production of intermediates or APIs according to written procedures;
- producing APIs and, when appropriate, intermediates according to preapproved instructions;
- reviewing all production batch records and ensuring that these are completed and signed;
- making sure that all production deviations are reported and evaluated and that critical deviations are investigated and the conclusions are recorded;

- making sure that production facilities are clean and when appropriate disinfected;
- making sure that the necessary calibrations are performed and records kept;
- making sure that the premises and equipment are maintained and records kept;
- making sure that validation protocols and reports are reviewed and approved;
- evaluating proposed changes in product, process, or equipment; and
- making sure that new and, when appropriate, modified facilities and equipment are qualified.

2.4 INTERNAL AUDITS (SELF-INSPECTION)

2.40 In order to verify compliance with the principles of GMP for APIs, regular internal audits should be performed in accordance with an approved schedule.

2.41 Audit findings and corrective actions should be documented and brought to the attention of responsible management of the firm. Agreed corrective actions should be completed in a timely and effective manner.

2.5 Product Quality Review

2.50 Regular quality reviews of APIs should be conducted with the objective of verifying the consistency of the process. Such reviews should normally be conducted and documented annually and should include at least

- a review of critical in-process control and critical API test results;
- a review of all batches that failed to meet established specification(s);
- a review of all critical deviations or nonconformances and related investigations;
- a review of any changes carried out to the processes or analytical methods;
- a review of results of the stability monitoring program;
- a review of all quality-related returns, complaints, and recalls; and
- a review of adequacy of corrective actions.

2.51 The results of this review should be evaluated and an assessment made of whether corrective action or any revalidation should be undertaken. Reasons for such corrective action should be documented. Agreed corrective actions should be completed in a timely and effective manner.

3 PERSONNEL

3.1 PERSONNEL QUALIFICATIONS

3.10 There should be an adequate number of personnel qualified by appropriate education, training, and/or experience to perform and supervise the manufacture of intermediates and APIs.

3.11 The responsibilities of all personnel engaged in the manufacture of intermediates and APIs should be specified in writing.

3.12 Training should be regularly conducted by qualified individuals and should cover, at a minimum, the particular operations that the employee performs and GMP as it relates to the employee's functions. Records of training should be maintained. Training should be periodically assessed.

3.2 Personnel Hygiene

3.20 Personnel should practice good sanitation and health habits.

3.21 Personnel should wear clean clothing suitable for the manufacturing activity with which they are involved and this clothing should be changed when appropriate. Additional protective apparel, such as head, face, hand, and arm coverings, should be worn when necessary, to protect intermediates and APIs from contamination.

3.22 Personnel should avoid direct contact with intermediates or APIs.

3.23 Smoking, eating, drinking, chewing, and the storage of food should be restricted to certain designated areas separate from the manufacturing areas.

3.24 Personnel suffering from an infectious disease or having open lesions on the exposed surface of the body should not engage in activities that could result in compromising the quality of APIs. Any person shown at any time (either by medical examination or supervisory observation) to have an apparent illness or open lesions should be excluded from activities where the health condition could adversely affect the quality of the APIs until the condition is corrected or qualified medical personnel determine that the person's inclusion would not jeopardize the safety or quality of the APIs.

3.2 CONSULTANTS

3.30 Consultants advising on the manufacture and control of intermediates or APIs should have sufficient education, training, and experience, or any combination thereof, to advise on the subject for which they are retained.

3.31 Records should be maintained stating the name, address, qualifications, and type of service provided by these consultants.

4 BUILDINGS AND FACILITIES

4.1 DESIGN AND CONSTRUCTION

4.10 Buildings and facilities used in the manufacture of intermediates and APIs should be located, designed, and constructed to facilitate cleaning, maintenance, and operations as appropriate to the type and stage of manufacture. Facilities should also be designed to minimize potential contamination. Where microbiological specifications have been established for the intermediate or API, facilities should also be designed to limit exposure to objectionable microbiological contaminants as appropriate.

4.11 Buildings and facilities should have adequate space for the orderly placement of equipment and materials to prevent mix-ups and contamination.

4.12 Where the equipment itself (e.g., closed or contained systems) provides adequate protection of the material, such equipment can be located outdoors.

4.13 The flow of materials and personnel through the building or facilities should be designed to prevent mix-ups or contamination.

4.14 There should be defined areas or other control systems for the following activities:

- Receipt, identification, sampling, and quarantine of incoming materials, pending release or rejection;
- Quarantine before release or rejection of intermediates and APIs;
- Sampling of intermediates and APIs;
- Holding rejected materials before further disposition (e.g., return, reprocessing, or destruction);
- Storage of released materials;
- Production operations;
- Packaging and labeling operations; and
- Laboratory operations.

4.15 Adequate, clean washing and toilet facilities should be provided for personnel. These washing facilities should be equipped with hot and cold water as appropriate, soap or detergent, and air driers or single service towels. The washing and toilet facilities should be separate from, but easily accessible to, manufacturing areas. Adequate facilities for showering and/or changing clothes should be provided, when appropriate.

4.16 Laboratory areas/operations should normally be separated from production areas. Some laboratory areas, in particular those used for in-process controls, can be located in production areas, provided the operations of the production process do not adversely affect the accuracy of the laboratory measurements, and the laboratory and its operations do not adversely affect the production process or intermediate or API.

4.2 UTILITIES

4.20 All utilities that could impact on product quality (e.g., steam, gases, compressed air, and heating, ventilation, and air conditioning) should be qualified and appropriately monitored and action should be taken when limits are exceeded. Drawings for these utility systems should be available.

4.21 Adequate ventilation, air filtration, and exhaust systems should be provided, where appropriate. These systems should be designed and constructed to minimize risks of contamination and cross-contamination and should include equipment for control of air pressure, microorganisms (if appropriate), dust, humidity, and temperature, as appropriate to the stage of manufacture. Particular attention should be given to areas where APIs are exposed to the environment.

4.22 If air is recirculated to production areas, appropriate measures should be taken to control risks of contamination and cross-contamination.

4.23 Permanently installed pipework should be appropriately identified. This can be accomplished by identifying individual lines, documentation, computer control systems, or alternative means. Pipework should be located to avoid risks of contamination of the intermediate or API.

4.24 Drains should be of adequate size and should be provided with an air break or a suitable device to prevent back-siphonage, when appropriate.

4.3 WATER

4.30 Water used in the manufacture of APIs should be demonstrated to be suitable for its intended use.

4.31 Unless otherwise justified, process water should, at a minimum, meet World Health Organization (WHO) guidelines for drinking (potable) water quality.

4.32 If drinking (potable) water is insufficient to assure API quality, and tighter chemical and/or microbiological water quality specifications are called for, appropriate specifications for physical/chemical attributes, total microbial counts, objectionable organisms, and/or endotoxins should be established.

4.33 Where water used in the process is treated by the manufacturer to achieve a defined quality, the treatment process should be validated and monitored with appropriate action limits.

4.34 Where the manufacturer of a nonsterile API either intends or claims that it is suitable for use in further processing to produce a sterile drug (medicinal) product, water used in the final isolation and purification steps should be monitored and controlled for total microbial counts, objectionable organisms, and endotoxins.

4.4 CONTAINMENT

4.40 Dedicated production areas, which can include facilities, air-handling equipment, and/or process equipment, should be employed in the production of highly sensitizing materials, such as penicillins or cephalosporins.

4.41 Dedicated production areas should also be considered when material of an infectious nature or high pharmacological activity or toxicity is involved (e.g., certain steroids or cytotoxic anticancer agents) unless validated inactivation and/or cleaning procedures are established and maintained.

4.42 Appropriate measures should be established and implemented to prevent cross-contamination from personnel, materials, etc. moving from one dedicated area to another.

4.43 Any production activities (including weighing, milling, or packaging) of highly toxic nonpharmaceutical materials such as herbicides and pesticides should not be conducted using the buildings and/or equipment being used for the production of APIs. Handling and storage of these highly toxic nonpharmaceutical materials should be separate from APIs.

4.5 LIGHTING

4.50 Adequate lighting should be provided in all areas to facilitate cleaning, maintenance, and proper operations.

4.6 SEWAGE AND REFUSE

4.60 Sewage, refuse, and other waste (e.g., solids, liquids, or gaseous by-products from manufacturing) in and from buildings and the immediate surrounding area should be disposed of in a safe, timely, and sanitary manner. Containers and/or pipes for waste material should be clearly identified.

4.7 SANITATION AND MAINTENANCE

4.70 Buildings used in the manufacture of intermediates and APIs should be properly maintained and repaired and kept in a clean condition.

4.71 Written procedures should be established assigning responsibility for sanitation and describing the cleaning schedules, methods, equipment, and materials to be used in cleaning buildings and facilities.

4.72 When necessary, written procedures should also be established for the use of suitable rodenticides, insecticides, fungicides, fumigating agents, and cleaning and sanitizing agents to prevent the contamination of equipment, raw materials, packaging/labeling materials, intermediates, and APIs.

5 PROCESS EQUIPMENT

5.1 DESIGN AND CONSTRUCTION

5.10 Equipment used in the manufacture of intermediates and APIs should be of appropriate design and adequate size, and suitably located for its intended use, cleaning, sanitization (where appropriate), and maintenance.

5.11 Equipment should be constructed so that surfaces that contact raw materials, intermediates, or APIs do not alter the quality of the intermediates and APIs beyond the official or other established specifications.

5.12 Production equipment should only be used within its qualified operating range.

5.13 Major equipment (e.g., reactors, storage containers) and permanently installed processing lines used during the production of an intermediate or API should be appropriately identified.

5.14 Any substances associated with the operation of equipment, such as lubricants, heating fluids, or coolants, should not contact intermediates or APIs so as to alter their quality beyond the official or other established specifications. Any deviations from this should be evaluated to ensure that there are no detrimental effects upon the fitness for purpose of the material. Wherever possible, food grade lubricants and oils should be used.

5.15 Closed or contained equipment should be used whenever appropriate. Where open equipment is used, or equipment is opened, appropriate precautions should be taken to minimize the risk of contamination.

5.16 A set of current drawings should be maintained for equipment and critical installations (e.g., instrumentation and utility systems).

5.2 EQUIPMENT MAINTENANCE AND CLEANING

5.20 Schedules and procedures (including assignment of responsibility) should be established for the preventative maintenance of equipment.

5.21 Written procedures should be established for cleaning of equipment and its subsequent release for use in the manufacture of intermediates and APIs. Cleaning procedures should contain sufficient details to enable operators to clean each type of equipment in a reproducible and effective manner. These procedures should include

- assignment of responsibility for cleaning of equipment;
- cleaning schedules, including, where appropriate, sanitizing schedules;
- a complete description of the methods and materials, including dilution of cleaning agents used to clean equipment;
- when appropriate, instructions for disassembling and reassembling each article of equipment to ensure proper cleaning;
- instructions for the removal or obliteration of previous batch identification;
- instructions for the protection of clean equipment from contamination prior to use;
- inspection of equipment for cleanliness immediately before use, if practical; and
- establishing the maximum time that may elapse between the completion of processing and equipment cleaning, when appropriate.

5.22 Equipment and utensils should be cleaned, stored, and, where appropriate, sanitized or sterilized to prevent contamination or carryover of a material that would alter the quality of the intermediate or API beyond the official or other established specifications.

5.23 Where equipment is assigned to continuous production or campaign production of successive batches of the same intermediate or API, equipment should be cleaned at appropriate intervals to prevent buildup and carryover of contaminants (e.g., degradants or objectionable levels of microorganisms).

5.24 Nondedicated equipment should be cleaned between production of different materials to prevent cross-contamination.

5.25 Acceptance criteria for residues and the choice of cleaning procedures and cleaning agents should be defined and justified.

5.26 Equipment should be identified as to its contents and its cleanliness status by appropriate means.

5.3 CALIBRATION

5.30 Control, weighing, measuring, monitoring, and test equipment that is critical for assuring the quality of intermediates

or APIs should be calibrated according to written procedures and an established schedule.

5.31 Equipment calibrations should be performed using standards traceable to certified standards, if existing.

5.32 Records of these calibrations should be maintained.

5.33 The current calibration status of critical equipment should be known and verifiable.

5.34 Instruments that do not meet calibration criteria should not be used.

5.35 Deviations from approved standards of calibration on critical instruments should be investigated to determine if these could have had an impact on the quality of the intermediate(s) or API(s) manufactured using this equipment since the last successful calibration.

5.4 COMPUTERIZED SYSTEMS

5.40 GMP-related computerized systems should be validated. The depth and scope of validation depends on the diversity, complexity, and criticality of the computerized application.

5.41 Appropriate installation qualification and operational qualification should demonstrate the suitability of computer hardware and software to perform assigned tasks.

5.42 Commercially available software that has been qualified does not require the same level of testing. If an existing system was not validated at time of installation, a retrospective validation could be conducted if appropriate documentation is available.

5.43 Computerized systems should have sufficient controls to prevent unauthorized access or changes to data. There should be controls to prevent omissions in data (e.g., system turned off and data not captured). There should be a record of any data change made, the previous entry, who made the change, and when the change was made.

5.44 Written procedures should be available for the operation and maintenance of computerized systems.

5.45 Where critical data are being entered manually, there should be an additional check on the accuracy of the entry. This can be done by a second operator or by the system itself.

5.46 Incidents related to computerized systems that could affect the quality of intermediates or APIs or the reliability of records or test results should be recorded and investigated.

5.47 Changes to the computerized system should be made according to a change procedure and should be formally authorized, documented, and tested. Records should be kept of all changes, including modifications and enhancements made to the hardware, software, and any other critical component of the system. These records should demonstrate that the system is maintained in a validated state.

5.48 If system breakdowns or failures would result in the permanent loss of records, a backup system should be provided. A means of ensuring data protection should be established for all computerized systems.

5.49 Data can be recorded by a second means in addition to the computer system.

6 DOCUMENTATION AND RECORDS

6.1 DOCUMENTATION SYSTEM AND SPECIFICATIONS

6.10 All documents related to the manufacture of intermediates or APIs should be prepared, reviewed, approved, and distributed according to written procedures. Such documents can be in paper or electronic form.

6.11 The issuance, revision, superseding, and withdrawal of all documents should be controlled with maintenance of revision histories.

6.12 A procedure should be established for retaining all appropriate documents (e.g., development history reports, scale-up reports, technical transfer reports, process validation reports, training records, production records, control records, and distribution records). The retention periods for these documents should be specified.

6.13 All production, control, and distribution records should be retained for at least 1 year after the expiry date of the batch. For APIs with retest dates, records should be retained for at least 3 years after the batch is completely distributed.

6.14 When entries are made in records, these should be made indelibly in spaces provided for such entries, directly after performing the activities, and should identify the person making the entry. Corrections to entries should be dated and signed and leave the original entry still readable.

6.15 During the retention period, originals or copies of records should be readily available at the establishment where the activities described in such records occurred. Records that can be promptly retrieved from another location by electronic or other means are acceptable.

6.16 Specifications, instructions, procedures, and records can be retained either as originals or as true copies such as photocopies, microfilm, microfiche, or other accurate reproductions of the original records. Where reduction techniques such as microfilming or electronic records are used, suitable retrieval equipment and a means to produce a hard copy should be readily available.

6.17 Specifications should be established and documented for raw materials, intermediates where necessary, APIs, and labeling and packaging materials. In addition, specifications may be appropriate for certain other materials, such as process aids, gaskets, or other materials used during the production of intermediates or APIs that could critically impact on quality. Acceptance criteria should be established and documented for in-process controls.

6.18 If electronic signatures are used on documents, they should be authenticated and secure.

6.2 EQUIPMENT CLEANING AND USE RECORD

6.20 Records of major equipment use, cleaning, sanitization, and/or sterilization and maintenance should show the date, time (if appropriate), product, and batch number of each batch processed in the equipment, and the person who performed the cleaning and maintenance.

6.21 If equipment is dedicated to manufacturing one intermediate or API, then individual equipment records are not necessary if batches of the intermediate or API follow in traceable sequence. In cases where dedicated equipment is employed, the records of cleaning, maintenance, and use can be part of the batch record or maintained separately.

6.3 RECORDS OF RAW MATERIALS, INTERMEDIATES, API LABELING, AND PACKAGING MATERIALS

6.30 Records should be maintained including the following list:

- The name of the manufacturer, identity, and quantity of each shipment of each batch of raw materials, intermediates, or labeling and packaging materials for API's; the name of the supplier; the supplier's control number(s), if known, or other identification number; the number allocated on receipt; and the date of receipt.
- The results of any test or examination performed and the conclusions derived from this.
- Records tracing the use of materials.
- Documentation of the examination and review of API labeling and packaging materials for conformity with established specifications.
- The final decision regarding rejected raw materials, intermediates, or API labeling and packaging materials.

6.31 Master (approved) labels should be maintained for comparison to issued labels.

6.4 MASTER PRODUCTION INSTRUCTIONS (MASTER PRODUCTION AND CONTROL RECORDS)

6.40 To ensure uniformity from batch to batch, master production instructions for each intermediate and API should be prepared, dated, and signed by one person and independently checked, dated, and signed by a person in the quality unit(s).

6.41 Master production instructions should include the following points

- The name of the intermediate or API being manufactured and an identifying document reference code, if applicable.
- A complete list of raw materials and intermediates designated by names or codes sufficiently specific to identify any special quality characteristics.
- An accurate statement of the quantity or ratio of each raw material or intermediate to be used, including the unit of measure. Where the quantity is not fixed, the calculation for each batch size or rate of production should be included.

Variations to quantities should be included where they are justified.

- The production location and major production equipment to be used.
- Detailed production instructions, including the sequences to be followed;
- ranges of process parameters to be used;
- sampling instructions and in-process controls with their acceptance criteria, where appropriate;
- time limits for completion of individual processing steps and/or the total process, where appropriate; and
- expected yield ranges at appropriate phases of processing or time.
- Where appropriate, special notations and precautions to be followed, or cross references to these.
- The instructions for storage of the intermediate or API to assure its suitability for use, including the labeling and packaging materials and special storage conditions with time limits, where appropriate.

6.5 BATCH PRODUCTION RECORDS (BATCH PRODUCTION AND CONTROL RECORDS)

6.50 Batch production records should be prepared for each intermediate and API and should include complete information relating to the production and control of each batch. The batch production record should be checked before issuance to assure that it is the correct version and a legible accurate reproduction of the appropriate master production instruction. If the batch production record is produced from a separate part of the master document, that document should include a reference to the current master production instruction being used.

6.51 These records should be numbered with a unique batch or identification number, dated and signed when issued. In continuous production, the product code together with the date and time can serve as the unique identifier until the final number is allocated.

6.52 Documentation of completion of each significant step in the batch production records (batch production and control records) should include

- dates and, when appropriate, times;
- identity of major equipment (e.g., reactors, driers, mills, etc.) used;
- specific identification of each batch, including weights, measures, and batch numbers of raw materials, intermediates, or any reprocessed materials used during manufacturing;
- actual results recorded for critical process parameters;
- any sampling performed;
- signatures of the persons performing and directly supervising or checking each critical step in the operation;
- in-process and laboratory test results;
- actual yield at appropriate phases or times;
- description of packaging and label for intermediate or API;

- representative label of API or intermediate if made commercially available;
- any deviation noted, its evaluation, investigation conducted (if appropriate) or reference to that investigation if stored separately; and
- results of release testing.

6.53 Written procedures should be established and followed for investigating critical deviations or the failure of a batch of intermediate or API to meet specifications. The investigation should extend to other batches that may have been associated with the specific failure or deviation.

6.6 LABORATORY CONTROL RECORDS

6.60 Laboratory control records should include complete data derived from all tests conducted to ensure compliance with established specifications and standards, including examinations and assays, as follows:

- A description of samples received for testing, including the material name or source, batch number or other distinctive code, date sample was taken, and where appropriate, the quantity and date the sample was received for testing.
- A statement of or reference to each test method used.
- A statement of the weight or measure of sample used for each test as described by the method; data on or cross-reference to the preparation and testing of reference standards, reagents, and standard solutions.
- A complete record of all raw data generated during each test, in addition to graphs, charts, and spectra from laboratory instrumentation, properly identified to show the specific material and batch tested.
- A record of all calculations performed in connection with the test, including, for example, units of measure, conversion factors, and equivalency factors.
- A statement of the test results and how they compare with established acceptance criteria.
- The signature of the person who performed each test and the date(s) the tests were performed.
- The date and signature of a second person showing that the original records have been reviewed for accuracy, completeness, and compliance with established standards.

6.61 Complete records should also be maintained for

- any modifications to an established analytical method;
- periodic calibration of laboratory instruments, apparatus, gauges, and recording devices;
- all stability testing performed on APIs; and
- out-of-specification (OOS) investigations.

6.7 BATCH PRODUCTION RECORD REVIEW

6.70 Written procedures should be established and followed for the review and approval of batch production and laboratory control records, including packaging and labeling, to determine compliance of the intermediate or API with established specifications before a batch is released or distributed.

6.71 Batch production and laboratory control records of critical process steps should be reviewed and approved by the quality unit(s) before an API batch is released or distributed. Production and laboratory control records of noncritical process steps can be reviewed by qualified production personnel or other units following procedures approved by the quality unit(s).

6.72 All deviation, investigation, and OOS reports should be reviewed as part of the batch record review before the batch is released.

6.73 The quality unit(s) can delegate to the production unit the responsibility and authority for release of intermediates, except for those shipped outside the control of the manufacturing company.

7 MATERIALS MANAGEMENT

7.1 GENERAL CONTROLS

7.10 There should be written procedures describing the receipt, identification, quarantine, storage, handling, sampling, testing, and approval or rejection of materials.

7.11 Manufacturers of intermediates and/or APIs should have a system for evaluating the suppliers of critical materials.

7.12 Materials should be purchased against an agreed specification, from a supplier or suppliers approved by the quality unit(s).

7.13 If the supplier of a critical material is not the manufacturer of that material, the name and address of that manufacturer should be known by the intermediate and/or API manufacturer.

7.14 Changing the source of supply of critical raw materials should be treated according to section 13, "Change Control."

7.2 RECEIPT AND QUARANTINE

7.20 Upon receipt and before acceptance, each container or grouping of containers of materials should be examined visually for correct labeling (including correlation between the name used by the supplier and the in-house name, if these are different), container damage, broken seals, and evidence of tampering or contamination. Materials should be held under quarantine until they have been sampled, examined, or tested as appropriate, and released for use.

7.21 Before incoming materials are mixed with existing stocks (e.g., solvents or stocks in silos), they should be identified as correct, tested, if appropriate, and released. Procedures should be available to prevent discharging incoming materials wrongly into the existing stock.

7.22 If bulk deliveries are made in nondedicated tankers, there should be assurance of no cross-contamination from the tanker. Means of providing this assurance could include one or more of the following:

- Certificate of cleaning
- Testing for trace impurities
- Audit of the supplier

7.23 Large storage containers and their attendant manifolds, filling, and discharge lines should be appropriately identified.

7.24 Each container or grouping of containers (batches) of materials should be assigned and identified with a distinctive code, batch, or receipt number. This number should be used in recording the disposition of each batch. A system should be in place to identify the status of each batch.

7.3 SAMPLING AND TESTING OF INCOMING PRODUCTION MATERIALS

7.30 At least one test to verify the identity of each batch of material should be conducted, with the exception of the materials described below in 7.32. A supplier's Certificate of Analysis can be used in place of performing other tests, provided that the manufacturer has a system in place to evaluate suppliers.

7.31 Supplier approval should include an evaluation that provides adequate evidence (e.g., past quality history) that the manufacturer can consistently provide material meeting specifications. Full analyses should be conducted on at least three batches before reducing in-house testing. However, as a minimum, a full analysis should be performed at appropriate intervals and compared with the Certificates of Analysis. Reliability of Certificates of Analysis should be checked at regular intervals.

7.32 Processing aids, hazardous or highly toxic raw materials, other special materials, or materials transferred to another unit within the company's control do not need to be tested if the manufacturer's Certificate of Analysis is obtained, showing that these raw materials conform to established specifications. Visual examination of containers, labels, and recording of batch numbers should help in establishing the identity of these materials. The lack of on-site testing for these materials should be justified and documented.

7.33 Samples should be representative of the batch of material from which they are taken. Sampling methods should specify the number of containers to be sampled, which part of the container to sample, and the amount of material to be taken from each container. The number of containers to sample and the sample size should be based upon a sampling plan that takes into consideration the criticality of the material, material variability, past quality history of the supplier, and the quantity needed for analysis.

7.34 Sampling should be conducted at defined locations and by procedures designed to prevent contamination of the material sampled and contamination of other materials.

7.35 Containers from which samples are withdrawn should be opened carefully and subsequently reclosed. They should be marked to indicate that a sample has been taken.

7.4 STORAGE

7.40 Materials should be handled and stored in a manner to prevent degradation, contamination, and cross-contamination.

7.41 Materials stored in fiber drums, bags, or boxes should be stored off the floor and, when appropriate, suitably spaced to permit cleaning and inspection.

7.42 Materials should be stored under conditions and for a period that have no adverse effect on their quality, and should normally be controlled so that the oldest stock is used first.

7.43 Certain materials in suitable containers can be stored outdoors, provided identifying labels remain legible and containers are appropriately cleaned before opening and use.

7.44 Rejected materials should be identified and controlled under a quarantine system designed to prevent their unauthorized use in manufacturing.

7.5 REEVALUATION

7.50 Materials should be reevaluated as appropriate to determine their suitability for use (e.g., after prolonged storage or exposure to heat or humidity).

8 PRODUCTION AND IN-PROCESS CONTROLS

8.1 PRODUCTION OPERATIONS

8.10 Raw materials for intermediate and API manufacturing should be weighed or measured under appropriate conditions that do not affect their suitability for use. Weighing and measuring devices should be of suitable accuracy for the intended use.

8.11 If a material is subdivided for later use in production operations, the container receiving the material should be suitable and should be so identified that the following information is available:

- Material name and/or item code
- Receiving or control number
- Weight or measure of material in the new container
- Reevaluation or retest date if appropriate

8.12 Critical weighing, measuring, or subdividing operations should be witnessed or subjected to an equivalent control. Prior to use, production personnel should verify that the materials are those specified in the batch record for the intended intermediate or API.

8.13 Other critical activities should be witnessed or subjected to an equivalent control.

8.14 Actual yields should be compared with expected yields at designated steps in the production process. Expected yields with appropriate ranges should be established based on previous laboratory, pilot scale, or manufacturing data. Deviations

in yield associated with critical process steps should be investigated to determine their impact or potential impact on the resulting quality of affected batches.

8.15 Any deviation should be documented and explained. Any critical deviation should be investigated.

8.16 The processing status of major units of equipment should be indicated either on the individual units of equipment or by appropriate documentation, computer control systems, or alternative means.

8.17 Materials to be reprocessed or reworked should be appropriately controlled to prevent unauthorized use.

8.2 TIME LIMITS

8.20 If time limits are specified in the master production instruction (see 6.41), these time limits should be met to ensure the quality of intermediates and APIs. Deviations should be documented and evaluated. Time limits may be inappropriate when processing to a target value (e.g., pH adjustment, hydrogenation, drying to predetermined specification) because completion of reactions or processing steps is determined by in-process sampling and testing.

8.21 Intermediates held for further processing should be stored under appropriate conditions to ensure their suitability for use.

8.3 IN-PROCESS SAMPLING AND CONTROLS

8.30 Written procedures should be established to monitor the progress and control the performance of processing steps that cause variability in the quality characteristics of intermediates and APIs. In-process controls and their acceptance criteria should be defined based on the information gained during the development stage or historical data.

8.31 The acceptance criteria and type and extent of testing can depend on the nature of the intermediate or API being manufactured, the reaction or process step being conducted, and the degree to which the process introduces variability in the product's quality. Less stringent in-process controls may be appropriate in early processing steps, whereas tighter controls may be appropriate for later processing steps (e.g., isolation and purification steps).

8.32 Critical in-process controls (and critical process monitoring), including the control points and methods, should be stated in writing and approved by the quality unit(s).

8.33 In-process controls can be performed by qualified production department personnel and the process adjusted without prior quality unit(s) approval if the adjustments are made within pre-established limits approved by the quality unit(s). All tests and results should be fully documented as part of the batch record.

8.34 Written procedures should describe the sampling methods for in-process materials, intermediates, and APIs.

Sampling plans and procedures should be based on scientifically sound sampling practices.

8.35 In-process sampling should be conducted using procedures designed to prevent contamination of the sampled

material and other intermediates or APIs. Procedures should be established to ensure the integrity of samples after collection.

8.36 Out-of-specification (OOS) investigations are not normally needed for in-process tests that are performed for the purpose of monitoring and/or adjusting the process.

8.4 BLENDING BATCHES OF INTERMEDIATES OR APIS

8.40 For the purpose of this document, blending is defined as the process of combining materials within the same specification to produce a homogeneous intermediate or API. In-process mixing of fractions from single batches (e.g., collecting several centrifuge loads from a single crystallization batch) or combining fractions from several batches for further processing is considered to be part of the production process and is not considered to be blending.

8.41 OOS batches should not be blended with other batches for the purpose of meeting specifications. Each batch incorporated into the blend should have been manufactured using an established process and should have been individually tested and found to meet appropriate specifications prior to blending.

8.42 Acceptable blending operations include but are not limited to

- blending of small batches to increase batch size and
- blending of tailings (i.e., relatively small quantities of isolated material) from batches of the same intermediate or API to form a single batch.

8.43 Blending processes should be adequately controlled and documented and the blended batch should be tested for conformance to established specifications where appropriate.

8.44 The batch record of the blending process should allow traceability back to the individual batches that make up the blend.

8.45 Where physical attributes of the API are critical (e.g., APIs intended for use in solid oral dosage forms or suspensions), blending operations should be validated to show homogeneity of the combined batch. Validation should include testing of critical attributes (e.g., particle-size distribution, bulk density, and tap density) that may be affected by the blending process.

8.46 If the blending could adversely affect stability, stability testing of the final blended batches should be performed.

8.47 The expiry or retest date of the blended batch should be based on the manufacturing date of the oldest tailings or batch in the blend.

8.5 CONTAMINATION CONTROL

8.50 Residual materials can be carried over into successive batches of the same intermediate or API if there is adequate control. Examples include residue adhering to the wall of a micronizer, residual layer of damp crystals remaining in a centrifuge bowl after discharge, and incomplete discharge of

fluids or crystals from a processing vessel upon transfer of the material to the next step in the process. Such carryover should not result in the carryover of degradants or microbial contamination that may adversely alter the established API impurity profile.

8.51 Production operations should be conducted in a manner that will prevent contamination of intermediates or APIs by other materials.

8.52 Precautions to avoid contamination should be taken when APIs are handled after purification.

9 PACKAGING AND IDENTIFICATION LABELING OF APIS AND INTERMEDIATES

9.1 GENERAL

9.10 There should be written procedures describing the receipt, identification, quarantine, sampling, examination and/or testing and release, and handling of packaging and labeling materials.

9.11 Packaging and labeling materials should conform to established specifications. Those that do not comply with such specifications should be rejected to prevent their use in operations for which they are unsuitable.

9.12 Records should be maintained for each shipment of labels and packaging materials showing receipt, examination, or testing, and whether accepted or rejected.

9.2 PACKAGING MATERIALS

9.20 Containers should provide adequate protection against deterioration or contamination of the intermediate or API that may occur during transportation and recommended storage.

9.21 Containers should be clean and, where indicated by the nature of the intermediate or API, sanitized to ensure that they are suitable for their intended use. These containers should not be reactive, additive, or absorptive so as to alter the quality of the intermediate or API beyond the specified limits.

9.22 If containers are reused, they should be cleaned in accordance with documented procedures and all previous labels should be removed or defaced.

9.3 LABEL ISSUANCE AND CONTROL

9.30 Access to the label storage areas should be limited to authorized personnel.

9.31 Procedures should be used to reconcile the quantities of labels issued, used, and returned and to evaluate discrepancies found between the number of containers labeled and the number of labels issued. Such discrepancies should be investigated, and the investigation should be approved by the quality unit(s).

9.32 All excess labels bearing batch numbers or other batch-related printing should be destroyed. Returned labels should be maintained and stored in a manner that prevents mix-ups and provides proper identification.

9.33 Obsolete and outdated labels should be destroyed.

9.34 Printing devices used to print labels for packaging operations should be controlled to ensure that all imprinting conforms to the print specified in the batch production record.

9.35 Printed labels issued for a batch should be carefully examined for proper identity and conformity to specifications in the master production record. The results of this examination should be documented.

9.36 A printed label representative of those used should be included in the batch production record.

9.4 PACKAGING AND LABELING OPERATIONS

9.40 There should be documented procedures designed to ensure that correct packaging materials and labels are used.

9.41 Labeling operations should be designed to prevent mix-ups. There should be physical or spatial separation from operations involving other intermediates or APIs.

9.42 Labels used on containers of intermediates or APIs should indicate the name or identifying code, the batch number of the product, and storage conditions, when such information is critical to assure the quality of intermediate or API.

9.43 If the intermediate or API is intended to be transferred outside the control of the manufacturer's material management system, the name and address of the manufacturer, quantity of contents, and special transport conditions and any special legal requirements should also be included on the label. For intermediates or APIs with an expiry date, the expiry date should be indicated on the label and Certificate of Analysis. For intermediates or APIs with a retest date, the retest date should be indicated on the label and/or Certificate of Analysis.

9.44 Packaging and labeling facilities should be inspected immediately before use to ensure that all materials not needed for the next packaging operation have been removed. This examination should be documented in the batch production records, the facility log, or other documentation system.

9.45 Packaged and labeled intermediates or APIs should be examined to ensure that containers and packages in the batch have the correct label. This examination should be part of the packaging operation. Results of these examinations should be recorded in the batch production or control records.

9.46 Intermediate or API containers that are transported outside the manufacturer's control should be sealed in a manner such that, if the seal is breached or missing, the recipient will be alerted to the possibility that the contents may have been altered.

10 STORAGE AND DISTRIBUTION

10.1 WAREHOUSING PROCEDURES

10.10 Facilities should be available for the storage of all materials under appropriate conditions (e.g., controlled temperature and humidity when necessary). Records should be maintained of these conditions if they are critical for the maintenance of material characteristics.

10.11 Unless there is an alternative system to prevent the unintentional or unauthorized use of quarantined, rejected, returned, or recalled materials, separate storage areas should be assigned for their temporary storage until the decision as to their future use has been taken.

10.2 DISTRIBUTION PROCEDURES

10.20 APIs and intermediates should only be released for distribution to third parties after they have been released by the quality unit(s). APIs and intermediates can be transferred under quarantine to another unit under the company's control when authorized by the quality unit(s) and if appropriate controls and documentation are in place.

10.21 APIs and intermediates should be transported in a manner that does not adversely affect their quality.

10.22 Special transport or storage conditions for an API or intermediate should be stated on the label.

10.23 The manufacturer should ensure that the contract acceptor (contractor) for transportation of the API or intermediate knows and follows the appropriate transport and storage conditions.

10.24 A system should be in place by which the distribution of each batch of intermediate and/or API can be readily determined to permit its recall.

11 LABORATORY CONTROLS

11.1 GENERAL CONTROLS

11.10 The independent quality unit(s) should have at its disposal adequate laboratory facilities.

11.11 There should be documented procedures describing sampling, testing, approval or rejection of materials, and recording and storage of laboratory data. Laboratory records should be maintained in accordance with section 6.6.

11.12 All specifications, sampling plans, and test procedures should be scientifically sound and appropriate to ensure that raw materials, intermediates, APIs, and labels and packaging materials conform to established standards of quality and/or purity. Specifications and test procedures should be consistent with those included in the registration/filing. There can be specifications in addition to those in the registration/filing. Specifications, sampling plans, and test procedures, including changes to them, should be drafted by the appropriate organizational unit and reviewed and approved by the quality unit(s).

11.13 Appropriate specifications should be established for APIs in accordance with accepted standards and consistent with the manufacturing process. The specifications should include a control of the impurities (e.g., organic impurities, inorganic impurities, and residual solvents). If the API has a specification for microbiological purity, appropriate action limits for total microbial counts and objectionable organisms should be established and met. If the API has a specification for endotoxins, appropriate action limits should be established and met.

11.14 Laboratory controls should be followed and documented at the time of performance. Any departures from the above-described procedures should be documented and explained.

11.15 Any OOS result obtained should be investigated and documented according to a procedure. This procedure should require analysis of the data, assessment of whether a significant problem exists, allocation of the tasks for corrective actions, and conclusions. Any resampling and/or retesting after OOS results should be performed according to a documented procedure.

11.16 Reagents and standard solutions should be prepared and labeled following written procedures. "Use-by" dates should be applied as appropriate for analytical reagents or standard solutions.

11.17 Primary reference standards should be obtained as appropriate for the manufacture of APIs. The source of each primary reference standard should be documented. Records should be maintained of each primary reference standard's storage and use in accordance with the supplier's recommendations. Primary reference standards obtained from an officially recognized source are normally used without testing if stored under conditions consistent with the supplier's recommendations.

11.18 Where a primary reference standard is not available from an officially recognized source, an "in-house primary standard" should be established. Appropriate testing should be performed to establish fully the identity and purity of the primary reference standard. Appropriate documentation of this testing should be maintained.

11.19 Secondary reference standards should be appropriately prepared, identified, tested, approved, and stored. The suitability of each batch of secondary reference standard should be determined prior to first use by comparing against a primary reference standard. Each batch of secondary reference standard should be periodically requalified in accordance with a written protocol.

11.2 TESTING OF INTERMEDIATES AND APIs

11.20 For each batch of intermediate and API, appropriate laboratory tests should be conducted to determine conformance to specifications.

11.21 An impurity profile describing the identified and unidentified impurities present in a typical batch produced by a specific controlled production process should normally be established for each API. The impurity profile should include the identity or some qualitative analytical designation (e.g., retention time), the range of each impurity observed, and classification of each identified impurity (e.g., inorganic, organic, solvent). The impurity profile is normally dependent upon the production process and origin of the API. Impurity profiles are normally not necessary for APIs from herbal or animal tissue origin. Biotechnology considerations are covered in ICH guideline Q6B.

11.22 The impurity profile should be compared at appropriate intervals against the impurity profile in the regulatory

submission or compared against historical data in order to detect changes to the API resulting from modifications in raw materials, equipment operating parameters, or the production process.

11.23 Appropriate microbiological tests should be conducted on each batch of intermediate and API where microbial quality is specified.

11.3 VALIDATION OF ANALYTICAL PROCEDURES

See Section 12.

11.4 CERTIFICATES OF ANALYSIS

11.40 Authentic Certificates of Analysis should be issued for each batch of intermediate or API on request.

11.41 Information on the name of the intermediate or API including where appropriate its grade, the batch number, and the date of release should be provided on the Certificate of Analysis. For intermediates or APIs with an expiry date, the expiry date should be provided on the label and Certificate of Analysis. For intermediates or APIs with a retest date, the retest date should be indicated on the label and/or Certificate of Analysis.

11.42 The Certificate should list each test performed in accordance with compendial or customer requirements, including the acceptance limits, and the numerical results obtained (if test results are numerical).

11.43 Certificates should be dated and signed by authorized personnel of the quality unit(s) and should show the name, address, and telephone number of the original manufacturer. Where the analysis has been carried out by a repacker or reprocessor, the Certificate of Analysis should show the name, address, and telephone number of the repacker/reprocessor and a reference to the name of the original manufacturer.

11.44 If new Certificates are issued by or on behalf of repackers/reprocessors, agents, or brokers, these Certificates should show the name, address, and telephone number of the laboratory that performed the analysis. They should also contain a reference to the name and address of the original manufacturer and to the original batch Certificate, a copy of which should be attached.

11.5 STABILITY MONITORING OF APIs

11.50 A documented, ongoing testing program should be designed to monitor the stability characteristics of APIs, and the results should be used to confirm appropriate storage conditions and retest or expiry dates.

11.51 The test procedures used in stability testing should be validated and be stability indicating.

11.52 Stability samples should be stored in containers that simulate the market container. For example, if the API is marketed in bags within fiber drums, stability samples can be packaged in bags of the same material and in smaller-scale drums of similar or identical material composition to the market drums.

11.53 Normally the first three commercial production batches should be placed on the stability monitoring program to confirm the retest or expiry date. However, where data from previous studies show that the API is expected to remain stable for at least two years, fewer than three batches can be used.

11.54 Thereafter, at least one batch per year of API manufactured (unless none is produced that year) should be added to the stability monitoring program and tested at least annually to confirm the stability.

11.55 For APIs with short shelf lives, testing should be done more frequently. For example, for those biotechnological/biologic and other APIs with shelf lives of one year or less, stability samples should be obtained and should be tested monthly for the first three months, and at 3-month intervals after that. When data exist that confirm that the stability of the API is not compromised, elimination of specific test intervals (e.g. 9-month testing) can be considered.

11.56 Where appropriate, the stability storage conditions should be consistent with the ICH guidelines on stability.

11.6 EXPIRY AND RETEST DATING

11.60 When an intermediate is intended to be transferred outside the control of the manufacturer's material management system and an expiry or retest date is assigned, supporting stability information should be available (e.g., published data, test results).

11.61 An API expiry or retest date should be based on an evaluation of data derived from stability studies. Common practice is to use a retest date, not an expiration date.

11.62 Preliminary API expiry or retest dates can be based on pilot scale batches if (1) the pilot batches employ a method of manufacture and procedure that simulates the final process to be used on a commercial manufacturing scale and (2) the quality of the API represents the material to be made on a commercial scale.

11.63 A representative sample should be taken for the purpose of performing a retest.

11.7 RESERVE/RETENTION SAMPLES

11.70 The packaging and holding of reserve samples is for the purpose of potential future evaluation of the quality of batches of API and not for future stability testing purposes.

11.71 Appropriately identified reserve samples of each API batch should be retained for 1 year after the expiry date of the batch assigned by the manufacturer, or for 3 years after distribution of the batch, whichever is the longer. For APIs with retest dates, similar reserve samples should be retained for 3 years after the batch is completely distributed by the manufacturer.

11.72 The reserve sample should be stored in the same packaging system in which the API is stored or in one that is equivalent to or more protective than the marketed packaging system. Sufficient quantities should be retained to conduct at least two full compendial analyses or, when there is no pharmacopoeial monograph, two full specification analyses.

12 VALIDATION

12.1 VALIDATION POLICY

12.10 The company's overall policy, intentions, and approach to validation, including the validation of production processes, cleaning procedures, analytical methods, in-process control test procedures, computerized systems, and persons responsible for design, review, approval and documentation of each validation phase, should be documented.

12.11 The critical parameters/attributes should normally be identified during the development stage or from historical data, and the ranges necessary for the reproducible operation should be defined. This should include

- defining the API in terms of its critical product attributes,
- identifying process parameters that could affect the critical quality attributes of the API, and
- determining the range for each critical process parameter expected to be used during routine manufacturing and process control.

12.12 Validation should extend to those operations determined to be critical to the quality and purity of the API.

12.2 VALIDATION DOCUMENTATION

12.20 A written validation protocol should be established that specifies how validation of a particular process will be conducted. The protocol should be reviewed and approved by the quality unit(s) and other designated units.

12.21 The validation protocol should specify critical process steps and acceptance criteria as well as the type of validation to be conducted (e.g., retrospective, prospective, concurrent) and the number of process runs.

12.22 A validation report that cross-references the validation protocol should be prepared, summarizing the results obtained, commenting on any deviations observed, and drawing the appropriate conclusions, including recommending changes to correct deficiencies.

12.23 Any variations from the validation protocol should be documented with appropriate justification.

12.3 QUALIFICATION

12.30 Before starting process validation activities, appropriate qualification of critical equipment and ancillary systems should be completed. Qualification is usually carried out by conducting the following activities, individually or combined:

- Design Qualification (DQ): documented verification that the proposed design of the facilities, equipment, or systems is suitable for the intended purpose.
- Installation Qualification (IQ): documented verification that the equipment or systems, as installed or modified, comply with the approved design, the manufacturer's recommendations and/or user requirements.

- Operational Qualification (OQ): documented verification that the equipment or systems, as installed or modified, perform as intended throughout the anticipated operating ranges.
- Performance Qualification (PQ): documented verification that the equipment and ancillary systems, as connected together, can perform effectively and reproducibly based on the approved process method and specifications.

12.4 APPROACHES TO PROCESS VALIDATION

12.40 Process Validation (PV) is the documented evidence that the process, operated within established parameters, can perform effectively and reproducibly to produce an intermediate or API meeting its predetermined specifications and quality attributes.

12.41 There are three approaches to validation. Prospective validation is the preferred approach, but there are exceptions where the other approaches can be used. These approaches and their applicability are listed below.

12.42 Prospective validation should normally be performed for all API processes as defined in 12.12. Prospective validation performed on an API process should be completed before the commercial distribution of the final drug product manufactured from that API.

12.43 Concurrent validation can be conducted when data from replicate production runs are unavailable because only a limited number of API batches have been produced, API batches are produced infrequently, or API batches are produced by a validated process that has been modified. Prior to the completion of concurrent validation, batches can be released and used in final drug product for commercial distribution based on thorough monitoring and testing of the API batches.

12.44 An exception can be made for retrospective validation for well-established processes that have been used without significant changes to API quality due to changes in raw materials, equipment, systems, facilities, or the production process. This validation approach may be used where

1. critical quality attributes and critical process parameters have been identified;
2. appropriate in-process acceptance criteria and controls have been established;
3. there have not been significant process/product failures attributable to causes other than operator error or equipment failures unrelated to equipment suitability; and
4. impurity profiles have been established for the existing API.

12.45 Batches selected for retrospective validation should be representative of all batches made during the review period, including any batches that failed to meet specifications, and should be sufficient in number to demonstrate process consistency. Retained samples can be tested to obtain data to retrospectively validate the process.

12.5 PROCESS VALIDATION PROGRAM

12.50 The number of process runs for validation should depend on the complexity of the process or the magnitude of the process change being considered. For prospective and concurrent validation, three consecutive successful production batches should be used as a guide, but there may be situations where additional process runs are warranted to prove consistency of the process (e.g., complex API processes or API processes with prolonged completion times). For retrospective validation, generally data from ten to 30 consecutive batches should be examined to assess process consistency, but fewer batches can be examined if justified.

12.51 Critical process parameters should be controlled and monitored during process validation studies. Process parameters unrelated to quality, such as variables controlled to minimize energy consumption or equipment use, need not be included in the process validation.

12.52 Process validation should confirm that the impurity profile for each API is within the limits specified. The impurity profile should be comparable to or better than historical data and, where applicable, the profile determined during process development or for batches used for pivotal clinical and toxicological studies.

12.6 PERIODIC REVIEW OF VALIDATED SYSTEMS

12.60 Systems and processes should be periodically evaluated to verify that they are still operating in a valid manner. Where no significant changes have been made to the system or process, and a quality review confirms that the system or process is consistently producing material meeting its specifications, there is normally no need for revalidation.

12.7 CLEANING VALIDATION

12.70 Cleaning procedures should normally be validated. In general, cleaning validation should be directed to situations or process steps where contamination or carryover of materials poses the greatest risk to API quality. For example, in early production it may be unnecessary to validate equipment-cleaning procedures where residues are removed by subsequent purification steps.

12.71 Validation of cleaning procedures should reflect actual equipment usage patterns. If various APIs or intermediates are manufactured in the same equipment and the equipment is cleaned by the same process, a representative intermediate or API can be selected for cleaning validation. This selection should be based on the solubility and difficulty of cleaning and the calculation of residue limits based on potency, toxicity, and stability.

12.72 The cleaning validation protocol should describe the equipment to be cleaned, procedures, materials, acceptable cleaning levels, parameters to be monitored and controlled, and analytical methods. The protocol should also indicate the type of samples to be obtained and how they are collected and labeled.

12.73 Sampling should include swabbing, rinsing, or alternative methods (e.g., direct extraction), as appropriate, to detect both insoluble and soluble residues. The sampling methods used should be capable of quantitatively measuring levels of residues remaining on the equipment surfaces after cleaning. Swab sampling may be impractical when product contact surfaces are not easily accessible due to equipment design and/or process limitations (e.g., inner surfaces of hoses, transfer pipes, reactor tanks with small ports or handling toxic materials, and small intricate equipment such as micronizers and microfluidizers).

12.74 Validated analytical methods having sensitivity to detect residues or contaminants should be used. The detection limit for each analytical method should be sufficiently sensitive to detect the established acceptable level of the residue or contaminant. The method's attainable recovery level should be established. Residue limits should be practical, achievable, verifiable, and based on the most deleterious residue. Limits can be established based on the minimum known pharmacological, toxicological, or physiological activity of the API or its most deleterious component.

12.75 Equipment cleaning/sanitization studies should address microbiological and endotoxin contamination for those processes where there is a need to reduce total microbiological count or endotoxins in the API, or other processes where such contamination could be of concern (e.g., nonsterile APIs used to manufacture sterile products).

12.76 Cleaning procedures should be monitored at appropriate intervals after validation to ensure that these procedures are effective when used during routine production. Equipment cleanliness can be monitored by analytical testing and visual examination, where feasible. Visual inspection can allow detection of gross contamination concentrated in small areas that could otherwise go undetected by sampling and/or analysis.

12.8 VALIDATION OF ANALYTICAL METHODS

12.80 Analytical methods should be validated unless the method employed is included in the relevant pharmacopoeia or other recognized standard reference. The suitability of all testing methods used should nonetheless be verified under actual conditions of use and documented.

12.81 Methods should be validated to include consideration of characteristics included within the ICH guidelines on validation of analytical methods. The degree of analytical validation performed should reflect the purpose of the analysis and the stage of the API production process.

12.82 Appropriate qualification of analytical equipment should be considered before starting validation of analytical methods.

12.83 Complete records should be maintained of any modification of a validated analytical method. Such records should include the reason for the modification and appropriate data to verify that the modification produces results that are as accurate and reliable as the established method.

13 CHANGE CONTROL

13.10 A formal change control system should be established to evaluate all changes that may affect the production and control of the intermediate or API.

13.11 Written procedures should provide for the identification, documentation, appropriate review, and approval of changes in raw materials, specifications, analytical methods, facilities, support systems, equipment (including computer hardware), processing steps, labeling and packaging materials, and computer software.

13.12 Any proposals for GMP relevant changes should be drafted, reviewed, and approved by the appropriate organizational units, and reviewed and approved by the quality unit(s).

13.13 The potential impact of the proposed change on the quality of the intermediate or API should be evaluated. A classification procedure may help in determining the level of testing, validation, and documentation needed to justify changes to a validated process. Changes can be classified (e.g., as minor or major) depending on the nature and extent of the changes, and the effects these changes may impart on the process. Scientific judgment should determine what additional testing and validation studies are appropriate to justify a change in a validated process.

13.14 When implementing approved changes, measures should be taken to ensure that all documents affected by the changes are revised.

13.15 After the change has been implemented, there should be an evaluation of the first batches produced or tested under the change.

13.16 The potential for critical changes to affect established retest or expiry dates should be evaluated. If necessary, samples of the intermediate or API produced by the modified process can be placed on an accelerated stability program and/or can be added to the stability monitoring program.

13.17 Current dosage form manufacturers should be notified of changes from established production and process control procedures that can impact the quality of the API.

14 REJECTION AND REUSE OF MATERIALS

14.1 REJECTION

14.10 Intermediates and APIs failing to meet established specifications should be identified as such and quarantined. These intermediates or APIs can be reprocessed or reworked as described below. The final disposition of rejected materials should be recorded.

14.2 REPROCESSING

14.20 Introducing an intermediate or API, including one that does not conform to standards or specifications, back into the process and reprocessing by repeating a crystallization step or other appropriate chemical or physical manipulation steps

(e.g., distillation, filtration, chromatography, milling) that are part of the established manufacturing process is generally considered acceptable. However, if such reprocessing is used for a majority of batches, such reprocessing should be included as part of the standard manufacturing process.

14.21 Continuation of a process step after an in-process control test has shown that the step is incomplete and is considered to be part of the normal process. This is not considered to be reprocessing.

14.22 Introducing unreacted material back into a process and repeating a chemical reaction is considered to be reprocessing unless it is part of the established process. Such reprocessing should be preceded by careful evaluation to ensure that the quality of the intermediate or API is not adversely impacted due to the potential formation of by-products and overreacted materials.

14.3 REWORKING

14.30 Before a decision is taken to rework batches that do not conform to established standards or specifications, an investigation into the reason for nonconformance should be performed.

14.31 Batches that have been reworked should be subjected to appropriate evaluation, testing, stability testing if warranted, and documentation to show that the reworked product is of equivalent quality to that produced by the original process. Concurrent validation is often the appropriate validation approach for rework procedures. This allows a protocol to define the rework procedure, how it will be carried out, and the expected results. If there is only one batch to be reworked, then a report can be written and the batch released once it is found to be acceptable.

14.32 Procedures should provide for comparing the impurity profile of each reworked batch against batches manufactured by the established process. Where routine analytical methods are inadequate to characterize the reworked batch, additional methods should be used.

14.4 RECOVERY OF MATERIALS AND SOLVENTS

14.40 Recovery (e.g., from mother liquor or filtrates) of reactants, intermediates, or the API is considered acceptable, provided that approved procedures exist for the recovery and the recovered materials meet specifications suitable for their intended use.

14.41 Solvents can be recovered and reused in the same processes or in different processes, provided that the recovery procedures are controlled and monitored to ensure that solvents meet appropriate standards before reuse or comingling with other approved materials.

14.42 Fresh and recovered solvents and reagents can be combined if adequate testing has shown their suitability for all manufacturing processes in which they may be used.

14.43 The use of recovered solvents, mother liquors, and other recovered materials should be adequately documented.

14.5 RETURNS

14.50 Returned intermediates or APIs should be identified as such and quarantined.

14.51 If the conditions under which returned intermediates or APIs have been stored or shipped before or during their return or the condition of their containers casts doubt on their quality, the returned intermediates or APIs should be reprocessed, reworked, or destroyed, as appropriate.

14.52 Records of returned intermediates or APIs should be maintained. For each return, documentation should include

- name and address of the consignee;
- intermediate or API, batch number, and quantity returned;
- reason for return; and
- use or disposal of the returned intermediate or API.

15 COMPLAINTS AND RECALLS

15.10 All quality-related complaints, whether received orally or in writing, should be recorded and investigated according to a written procedure.

15.11 Complaint records should include

- name and address of complainant;
- name (and, where appropriate, title) and phone number of person submitting the complaint;
- complaint nature (including name and batch number of the API);
- date complaint is received;
- action initially taken (including dates and identity of person taking the action);
- any follow-up action taken;
- response provided to the originator of complaint (including date response sent); and
- final decision on intermediate or API batch or lot.

15.12 Records of complaints should be retained in order to evaluate trends, product-related frequencies, and severity with a view to taking additional, and if appropriate, immediate corrective action.

15.13 There should be a written procedure that defines the circumstances under which a recall of an intermediate or API should be considered.

15.14 The recall procedure should designate who should be involved in evaluating the information, how a recall should be initiated, who should be informed about the recall, and how the recalled material should be treated.

15.15 In the event of a serious or potentially life-threatening situation, local, national, and/or international authorities should be informed and their advice sought.

16 CONTRACT MANUFACTURERS (INCLUDING LABORATORIES)

16.10 All contract manufacturers (including laboratories) should comply with the GMP defined in this guide. Special

consideration should be given to the prevention of cross-contamination and to maintaining traceability.

16.11 Contract manufacturers (including laboratories) should be evaluated by the contract giver to ensure GMP compliance of the specific operations occurring at the contract sites.

16.12 There should be a written and approved contract or formal agreement between the contract giver and the contract acceptor that defines in detail the GMP responsibilities, including the quality measures, of each party.

16.13 The contract should permit the contract giver to audit the contract acceptor's facilities for compliance with GMP.

16.14 Where subcontracting is allowed, the contract acceptor should not pass to a third party any of the work entrusted to him under the contract without the contract giver's prior evaluation and approval of the arrangements.

16.15 Manufacturing and laboratory records should be kept at the site where the activity occurs and be readily available.

16.16 Changes in the process, equipment, test methods, specifications, or other contractual requirements should not be made unless the contract giver is informed and approves the changes.

17 AGENTS, BROKERS, TRADERS, DISTRIBUTORS, REPACKERS, AND RELABELLERS

17.1 APPLICABILITY

17.10 This section applies to any party other than the original manufacturer who may trade and/or take possession, repack, relabel, manipulate, distribute, or store an API or intermediate.

17.11 All agents, brokers, traders, distributors, repackers, and relabellers should comply with GMP as defined in this guide.

17.2 Traceability of Distributed APIs and Intermediates

17.20 Agents, brokers, traders, distributors, repackers, or relabellers should maintain complete traceability of APIs and intermediates that they distribute. Documents that should be retained and available include

- identity of original manufacturer,
- address of original manufacturer,
- purchase orders,
- bills of lading (transportation documentation),
- receipt documents,
- name or designation of API or intermediate,
- manufacturer's batch number,
- transportation and distribution records,
- all authentic Certificates of Analysis, including those of the original manufacturer, and
- retest or expiry date.

17.2 QUALITY MANAGEMENT

17.30 Agents, brokers, traders, distributors, repackers, or relabellers should establish, document, and implement an effective system of managing quality, as specified in Section 2.

17.3 REPACKAGING, RELABELING, AND HOLDING OF APIs AND INTERMEDIATES

17.40 Repackaging, relabeling, and holding of APIs and intermediates should be performed under appropriate GMP controls, as stipulated in this guide, to avoid mix-ups and loss of API or intermediate identity or purity.

17.41 Repackaging should be conducted under appropriate environmental conditions to avoid contamination and cross-contamination.

17.4 STABILITY

17.50 Stability studies to justify assigned expiration or retest dates should be conducted if the API or intermediate is repackaged in a different type of container than that used by the API or intermediate manufacturer.

17.5 TRANSFER OF INFORMATION

17.60 Agents, brokers, distributors, repackers, or relabellers should transfer all quality or regulatory information received from an API or intermediate manufacturer to the customer, and from the customer to the API or intermediate manufacturer.

17.61 The agent, broker, trader, distributor, repacker, or relabeller who supplies the API or intermediate to the customer should provide the name of the original API or intermediate manufacturer and the batch number(s) supplied.

17.62 The agent should also provide the identity of the original API or intermediate manufacturer to regulatory authorities upon request. The original manufacturer can respond to the regulatory authority directly or through its authorized agents, depending on the legal relationship between the authorized agents and the original API or intermediate manufacturer. (In this context "authorized" refers to authorized by the manufacturer.)

17.63 The specific guidance for Certificates of Analysis included in Section 11.4 should be met.

17.7 Handling of Complaints and Recalls

17.70 Agents, brokers, traders, distributors, repackers, or relabellers should maintain records of complaints and recalls, as specified in Section 15, for all complaints and recalls that come to their attention.

17.71 If the situation warrants, the agents, brokers, traders, distributors, repackers, or relabellers should review the complaint with the original API or intermediate manufacturer in order to determine whether any further action, either with other customers who may have received this API or intermediate or with the regulatory authority, or both, should be initiated. The investigation into the cause for the complaint or recall should be conducted and documented by the appropriate party.

17.72 Where a complaint is referred to the original API or intermediate manufacturer, the record maintained by the agents, brokers, traders, distributors, repackers, or relabellers should include any response received from the original API

or intermediate manufacturer (including date and information provided).

17.6 HANDLING OF RETURNS

Returns should be handled as specified in Section 14.52. The agents, brokers, traders, distributors, repackers, or relabellers should maintain documentation of returned APIs and intermediates.

Specific Guidance for APIs Manufactured by Cell Culture/Fermentation

18 GENERAL

18.10 Section 18 is intended to address specific controls for APIs or intermediates manufactured by cell culture or fermentation using natural or recombinant organisms and that have not been covered adequately in the previous sections. It is not intended to be a stand-alone section. In general, the GMP principles in the other sections of this document apply. Note that the principles of fermentation for “classical” processes for production of small molecules and for processes using recombinant and nonrecombinant organisms for production of proteins and/or polypeptides are the same, although the degree of control will differ. Where practical, this section will address these differences. In general, the degree of control for biotechnological processes used to produce proteins and polypeptides is greater than that for classical fermentation processes.

18.11 The term “biotechnological process” (biotech) refers to the use of cells or organisms that have been generated or modified by recombinant DNA, hybridoma, or other technology to produce APIs. The APIs produced by biotechnological processes normally consist of high-molecular-weight substances, such as proteins and polypeptides, for which specific guidance is given in this section. Certain APIs of low molecular weight, such as antibiotics, amino acids, vitamins, and carbohydrates, can also be produced by recombinant DNA technology. The level of control for these types of APIs is similar to that employed for classical fermentation.

18.12 The term “classical fermentation” refers to processes that use microorganisms existing in nature and/or modified by conventional methods (e.g., irradiation or chemical mutagenesis) to produce APIs. APIs produced by “classical fermentation” are normally low-molecular-weight products such as antibiotics, amino acids, vitamins, and carbohydrates.

18.13 Production of APIs or intermediates from cell culture or fermentation involves biological processes such as cultivation of cells or extraction and purification of material from living organisms. Note that there may be additional process steps, such as physicochemical modification, that are part of the manufacturing process. The raw materials used (media, buffer components) may provide the potential for growth of microbiological contaminants. Depending on the source, method of preparation, and the intended use of the API or intermediate, control of bioburden, viral contamination, and/or endotoxins during manufacturing and monitoring of the process at appropriate stages may be necessary.

18.14 Appropriate controls should be established at all stages of manufacturing to assure intermediate and/or API quality. While this guide starts at the cell culture/fermentation step, prior steps (e.g., cell banking) should be performed under appropriate process controls. This guide covers cell culture/fermentation from the point at which a vial of the cell bank is retrieved for use in manufacturing.

18.15 Appropriate equipment and environmental controls should be used to minimize the risk of contamination. The acceptance criteria for quality of the environment and the frequency of monitoring should depend on the step in production and the production conditions (open, closed, or contained systems).

18.16 In general, process controls should take the following into account:

- Maintenance of the Working Cell Bank (where appropriate);
- Proper inoculation and expansion of the culture;
- Control of the critical operating parameters during fermentation/cell culture;
- Monitoring of the process for cell growth, viability (for most cell culture processes), and productivity where appropriate;
- Harvest and purification procedures that remove cells, cellular debris, and media components while protecting the intermediate or API from contamination (particularly of a microbiological nature) and from loss of quality;
- Monitoring of bioburden and, where needed, endotoxin levels at appropriate stages of production; and
- Viral safety concerns as described in ICH Guideline Q5A Quality of Biotechnological Products: Viral Safety Evaluation of Biotechnology Products Derived from Cell Lines of Human or Animal Origin.

18.17 Where appropriate, the removal of media components, host cell proteins, other process-related impurities, product-related impurities, and contaminants should be demonstrated.

18.1 CELL BANK MAINTENANCE AND RECORD KEEPING

18.20 Access to cell banks should be limited to authorized personnel.

18.21 Cell banks should be maintained under storage conditions designed to maintain viability and prevent contamination.

18.22 Records of the use of the vials from the cell banks and storage conditions should be maintained.

18.23 Where appropriate, cell banks should be periodically monitored to determine suitability for use.

18.24 See ICH Guideline Q5D Quality of Biotechnological Products: Derivation and Characterization of Cell Substrates Used for Production of Biotechnological/Biological Products for a more complete discussion of cell banking.

18.2 CELL CULTURE/FERMENTATION

18.30 Where aseptic addition of cell substrates, media, buffers, and gases is needed, closed or contained systems should be used where possible. If the inoculation of the initial vessel or subsequent transfers or additions (media, buffers) are performed in open vessels, there should be controls and procedures in place to minimize the risk of contamination.

18.31 Where the quality of the API can be affected by microbial contamination, manipulations using open vessels should be performed in a biosafety cabinet or similarly controlled environment.

18.32 Personnel should be appropriately gowned and take special precautions handling the cultures.

18.33 Critical operating parameters (e.g., temperature, pH, agitation rates, addition of gases, pressure) should be monitored to ensure consistency with the established process. Cell growth, viability (for most cell culture processes), and, where appropriate, productivity should also be monitored. Critical parameters will vary from one process to another, and for classical fermentation, certain parameters (cell viability, e.g.) may not need to be monitored.

18.34 Cell culture equipment should be cleaned and sterilized after use. As appropriate, fermentation equipment should be cleaned and sanitized or sterilized.

18.35 Culture media should be sterilized before use when appropriate to protect the quality of the API.

18.36 There should be appropriate procedures in place to detect contamination and determine the course of action to be taken. This should include procedures to determine the impact of the contamination on the product and those to decontaminate the equipment and return it to a condition to be used in subsequent batches. Foreign organisms observed during fermentation processes should be identified as appropriate and the effect of their presence on product quality should be assessed, if necessary. The results of such assessments should be taken into consideration in the disposition of the material produced.

18.37 Records of contamination events should be maintained.

18.38 Shared (multiproduct) equipment may warrant additional testing after cleaning between product campaigns, as appropriate, to minimize the risk of cross-contamination.

18.3 HARVESTING, ISOLATION, AND PURIFICATION

18.40 Harvesting steps, either to remove cells or cellular components or to collect cellular components after disruption, should be performed in equipment and areas designed to minimize the risk of contamination.

18.41 Harvest and purification procedures that remove or inactivate the producing organism, cellular debris, and media components (while minimizing degradation, contamination, and loss of quality) should be adequate to ensure that the intermediate or API is recovered with consistent quality.

18.42 All equipment should be properly cleaned, and, as appropriate, sanitized after use. Multiple successive batching

without cleaning can be used if intermediate or API quality is not compromised.

18.43 If open systems are used, purification should be performed under environmental conditions appropriate for the preservation of product quality.

18.44 Additional controls, such as the use of dedicated chromatography resins or additional testing, may be appropriate if equipment is to be used for multiple products.

18.4 VIRAL REMOVAL/INACTIVATION STEPS

18.50 See the ICH Guideline Q5A Quality of Biotechnological Products: Viral Safety Evaluation of Biotechnology Products Derived from Cell Lines of Human or Animal Origin for more specific information.

18.51 Viral removal and viral inactivation steps are critical processing steps for some processes and should be performed within their validated parameters.

18.52 Appropriate precautions should be taken to prevent potential viral contamination from previral to postviral removal/inactivation steps. Therefore, open processing should be performed in areas that are separate from other processing activities and have separate air-handling units.

18.53 The same equipment is not normally used for different purification steps. However, if the same equipment is to be used, the equipment should be appropriately cleaned and sanitized before reuse. Appropriate precautions should be taken to prevent potential virus carryover (e.g., through equipment or environment) from previous steps.

19 APIs FOR USE IN CLINICAL TRIALS

19.1 GENERAL

19.10 Not all the controls in the previous sections of this guide are appropriate for the manufacture of a new API for investigational use during its development. Section 19 provides specific guidance unique to these circumstances.

19.11 The controls used in the manufacture of APIs for use in clinical trials should be consistent with the stage of development of the drug product incorporating the API. Process and test procedures should be flexible to provide for changes as knowledge of the process increases and clinical testing of a drug product progresses from preclinical stages through clinical stages. Once drug development reaches the stage where the API is produced for use in drug products intended for clinical trials, manufacturers should ensure that APIs are manufactured in suitable facilities using appropriate production and control procedures to ensure the quality of the API.

19.2 QUALITY

19.20 Appropriate GMP concepts should be applied in the production of APIs for use in clinical trials with a suitable mechanism of approval of each batch.

19.21 A quality unit(s) independent from production should be established for the approval or rejection of each batch of API for use in clinical trials.

19.22 Some of the testing functions commonly performed by the quality unit(s) can be performed within other organizational units.

19.23 Quality measures should include a system for testing of raw materials, packaging materials, intermediates, and APIs.

19.24 Process and quality problems should be evaluated.

19.25 Labeling for APIs intended for use in clinical trials should be appropriately controlled and should identify the material as being for investigational use.

19.3 EQUIPMENT AND FACILITIES

19.30 During all phases of clinical development, including the use of small-scale facilities or laboratories to manufacture batches of APIs for use in clinical trials, procedures should be in place to ensure that equipment is calibrated, clean, and suitable for its intended use.

19.31 Procedures for the use of facilities should ensure that materials are handled in a manner that minimizes the risk of contamination and cross-contamination.

19.4 CONTROL OF RAW MATERIALS

19.40 Raw materials used in production of APIs for use in clinical trials should be evaluated by testing, or received with a supplier's analysis and subjected to identity testing. When a material is considered hazardous, a supplier's analysis should suffice.

19.41 In some instances, the suitability of a raw material can be determined before use based on acceptability in small-scale reactions (i.e., use testing) rather than on analytical testing alone.

19.5 PRODUCTION

19.50 The production of APIs for use in clinical trials should be documented in laboratory notebooks, batch records, or by other appropriate means. These documents should include information on the use of production materials, equipment, processing, and scientific observations.

19.51 Expected yields can be more variable and less defined than the expected yields used in commercial processes. Investigations into yield variations are not expected.

19.6 VALIDATION

19.60 Process validation for the production of APIs for use in clinical trials is normally inappropriate, where a single API batch is produced or where process changes during API development make batch replication difficult or inexact. The combination of controls, calibration, and, where appropriate, equipment qualification assures API quality during this development phase.

19.61 Process validation should be conducted in accordance with Section 12 when batches are produced for commercial use, even when such batches are produced on a pilot or small scale.

19.7 CHANGES

19.70 Changes are expected during development, as knowledge is gained and the production is scaled up. Every change in the production, specifications, or test procedures should be adequately recorded.

19.8 LABORATORY CONTROLS

19.80 While analytical methods performed to evaluate a batch of API for clinical trials may not yet be validated, they should be scientifically sound.

19.81 A system for retaining reserve samples of all batches should be in place. This system should ensure that a sufficient quantity of each reserve sample is retained for an appropriate length of time after approval, termination, or discontinuation of an application.

19.82 Expiry and retest dating as defined in Section 11.6 applies to existing APIs used in clinical trials. For new APIs, Section 11.6 does not normally apply in early stages of clinical trials.

19.9 DOCUMENTATION

19.90 A system should be in place to ensure that information gained during the development and the manufacture of APIs for use in clinical trials is documented and available.

19.91 The development and implementation of the analytical methods used to support the release of a batch of API for use in clinical trials should be appropriately documented.

19.92 A system for retaining production and control records and documents should be used. This system should ensure that records and documents are retained for an appropriate length of time after the approval, termination, or discontinuation of an application.

GLOSSARY

Acceptance Criteria: Numerical limits, ranges, or other suitable measures for acceptance of test results.

Active Pharmaceutical Ingredient (API) (or Drug Substance): Any substance or mixture of substances intended to be used in the manufacture of a drug (medicinal) product and that, when used in the production of a drug, becomes an active ingredient of the drug product. Such substances are intended to furnish pharmacological activity or other direct effect in the diagnosis, cure, mitigation, treatment, or prevention of disease or to affect the structure and function of the body.

Air Lock: An enclosed space with two or more doors, which is interposed between two or more rooms, for example, of differing classes of cleanliness, for the purpose of controlling the airflow between those rooms when they need to be entered. An air lock is designed for use either by people or for goods and/or equipment.

API Starting Material: A raw material, intermediate, or an API that is used in the production of an API and that is incorporated as a significant structural fragment into the structure of the API. An API Starting Material can be an article of commerce, a material purchased from one or more suppliers under contract or commercial agreement, or produced in-house. API Starting Materials are normally of defined chemical properties and structure.

Authorized Person: The person recognized by the national regulatory authority as having the responsibility for ensuring that each batch of finished product has been manufactured, tested, and approved for release in compliance with the laws and regulations in force in that country.

Batch (or Lot): A specific quantity of material produced in a process or series of processes so that it is expected to be homogeneous within specified limits. In the case of continuous production, a batch may correspond to a defined fraction of the production. The batch size can be defined either by a fixed quantity or by the amount produced in a fixed time interval. A defined quantity of starting material, packaging material, or product processed in a single process or series of processes so that it is expected to be homogeneous. It may sometimes be necessary to divide a batch into a number of sub-batches, which are later brought together to form a final homogeneous batch. In the case of terminal sterilization, the batch size is determined by the capacity of the autoclave. In continuous manufacture, the batch must correspond to a defined fraction of the production, characterized by its intended homogeneity. The batch size can be defined either as a fixed quantity or as the amount produced in a fixed time interval.

Batch Number (or Lot Number): A unique combination of numbers, letters, and/or symbols that identifies a batch (or lot) and from which the production and distribution history can be determined. A distinctive combination of numbers and/or letters which uniquely identifies a batch on the labels, its batch records and corresponding certificates of analysis, etc.

Batch Records: All documents associated with the manufacture of a batch of bulk product or finished product. They provide a history of each batch of product and of all circumstances pertinent to the quality of the final product.

Bioburden: The level and type (e.g., objectionable or not) of microorganisms that can be present in raw materials, API starting materials, intermediates, or APIs.

Bioburden should not be considered contamination unless the levels have been exceeded or defined objectionable organisms have been detected.

Bulk Product: Any product that has completed all processing stages up to, but not including, final packaging.

Calibration: The demonstration that a particular instrument or device produces results within specified limits by comparison with those produced by a reference or traceable standard over an appropriate range of measurements. The set of operations that establish, under specified conditions, the relationship between values indicated by an instrument or system for measuring (especially weighing), recording, and controlling, or the values represented by a material measure, and the corresponding known values of a reference standard. Limits for acceptance of the results of measuring should be established.

Clean Area: An area with defined environmental control of particulate and microbial contamination, constructed and used in such a way as to reduce the introduction, generation, and retention of contaminants within the area.

Computer System: A group of hardware components and associated software, designed and assembled to perform a specific function or group of functions. A process or operation integrated with a computer system.

Consignment (or Delivery): The quantity of a pharmaceutical(s), made by one manufacturer and supplied at one time in response to a particular request or order. A consignment may comprise one or more packages or containers and may include material belonging to more than one batch.

Contamination: The undesired introduction of impurities of a chemical or microbiological nature, or of foreign matter, into or onto a starting material or intermediate during production, sampling, packaging or repackaging, storage, or transport.

Contract Manufacturer: A manufacturer performing some aspect of manufacturing on behalf of the original manufacturer.

Critical: Describes a process step, process condition, test requirement, or other relevant parameter or item that must be controlled within predetermined criteria to ensure that the API meets its specification.

Critical Operation: An operation in the manufacturing process that may cause variation in the quality of the pharmaceutical product.

Cross-Contamination: Contamination of a material or product with another material or product. Contamination of a starting material, intermediate product, or finished product with another starting material or product during production.

Deviation: Departure from an approved instruction or established standard.

Drug (Medicinal) Product: The dosage form in the final immediate packaging intended for marketing. (Reference Q1A)

Drug Substance: See Active Pharmaceutical Ingredient

Expiry Date (or Expiration Date): The date placed on the container/labels of an API designating the time during which the API is expected to remain within established shelf life specifications if stored under defined conditions, and after which it should not be used.

Finished Product: A finished dosage form that has undergone all stages of manufacture, including packaging in its final container and labeling.

Impurity: Any component present in the intermediate or API that is not the desired entity.

Impurity Profile: A description of the identified and unidentified impurities present in an API.

In-Process Control: Checks performed during production in order to monitor and, if necessary, to adjust the process to ensure that the product conforms to its specifications. The control of the environment or equipment may also be regarded as a part of in-process control.

Intermediate: A material produced during steps of the processing of an API that undergoes further molecular change or purification before it becomes an API. Intermediates may or may not be isolated. Partly processed product that must undergo further manufacturing steps before it becomes a bulk product.

Large-Volume Parenterals: Sterile solutions intended for parenteral application with a volume of 100 mL or more in one container of the finished dosage form.

Lot: See Batch

Lot Number: See Batch Number

Manufacture: All operations of receipt of materials, production, packaging, repackaging, labeling, relabeling, quality control, release, storage, and distribution of APIs and related controls.

Manufacturer: A company that carries out operations such as production, packaging, repackaging, labeling, and relabeling of pharmaceuticals.

Marketing Authorization (Product License, Registration Certificate): A legal document issued by the competent drug regulatory authority that establishes the detailed composition and formulation of the product and the pharmacopoeial or other recognized specifications of its ingredients and of the final product itself, and includes details of packaging, labeling, and shelf life.

Master Formula: A document or set of documents specifying the starting materials with their quantities and the packaging materials, together with a description of the procedures and precautions required to produce a specified quantity of a finished product as well as the processing instructions, including the in-process controls.

Master Record: A document or set of documents that serve as a basis for the batch documentation (blank batch record).

Material: A general term used to denote raw materials (starting materials, reagents, and solvents), process aids,

intermediates, APIs, and packaging and labeling materials.

Mother Liquor: The residual liquid which remains after the crystallization or isolation processes. A mother liquor may contain unreacted materials, intermediates, levels of the API, and/or impurities. It may be used for further processing.

Packaging: All operations, including filling and labeling, that a bulk product has to undergo in order to become a finished product. Filling of a sterile product under aseptic conditions or a product intended to be terminally sterilized would not normally be regarded as part of packaging.

Packaging Material: Any material intended to protect an intermediate or API during storage and transport. Any material, including printed material, employed in the packaging of a pharmaceutical, but excluding any outer packaging used for transportation or shipment. Packaging materials are referred to as primary or secondary according to whether or not they are intended to be in direct contact with the product.

Pharmaceutical Product: Any material or product intended for human or veterinary use presented in its finished dosage form or as a starting material for use in such a dosage form, that is subject to control by pharmaceutical legislation in the exporting state and/or the importing state.

Procedure: A documented description of the operations to be performed, the precautions to be taken, and measures to be applied directly or indirectly related to the manufacture of an intermediate or API.

Process Aids: Materials, excluding solvents, used as an aid in the manufacture of an intermediate or API that do not themselves participate in a chemical or biological reaction (e.g., filter aid, activated carbon, etc.).

Process Control: See In-Process Control

Production: All operations involved in the preparation of a pharmaceutical product, from receipt of materials, through processing, packaging and repackaging, and labeling and relabeling, to completion of the finished product.

Qualification: Action of proving and documenting that equipment or ancillary systems are properly installed, work correctly, and actually lead to the expected results. Qualification is part of validation, but the individual qualification steps alone do not constitute process validation.

Quality Assurance (QA): The sum total of the organized arrangements made with the object of ensuring that all APIs are of the quality required for their intended use and that quality systems are maintained.

Quality Control (QC): Checking or testing that specifications are met.

Quality Unit(s): An organizational unit independent of production which fulfills both Quality Assurance and Quality Control responsibilities. This can be in the form of separate QA and QC units or a single

individual or group, depending upon the size and structure of the organization.

Quarantine: The status of starting or packaging materials, intermediates, or bulk or finished products isolated physically or by other effective means while a decision is awaited on their release, rejection, or reprocessing.

Raw Material: A general term used to denote starting materials, reagents, and solvents intended for use in the production of intermediates or APIs.

Reconciliation: A comparison between the theoretical quantity and the actual quantity.

Recovery: The introduction of all or part of previous batches (or of redistilled solvents and similar products) of the required quality into another batch at a defined stage of manufacture. It includes the removal of impurities from waste to obtain a pure substance or the recovery of used materials for a separate use.

Reference Standard, Primary: A substance that has been shown by an extensive set of analytical tests to be authentic material that should be of high purity. This standard can be used to prepare secondary reference standard.

Reference Standard, Secondary: A substance of established quality and purity, as shown by comparison to a primary reference standard, used as a reference standard for routine laboratory analysis.

Reprocessing: Introducing an intermediate or API, including one that does not conform to standards or specifications, back into the process and repeating a crystallization step or other appropriate chemical or physical manipulation steps (e.g., distillation, filtration, chromatography, milling) that are part of the established manufacturing process. Continuation of a process step after an in-process control test has shown that the step is incomplete is considered to be part of the normal process, and not reprocessing.

Retest Date: The date when a material should be reexamined to ensure that it is still suitable for use.

Reworking: Subjecting an intermediate or API that does not conform to standards or specifications to one or more processing steps that are different from the established manufacturing process to obtain acceptable quality intermediate or API (e.g., recrystallizing with a different solvent).

Self-Contained Area: Premises which provide complete and total separation of all aspects of an operation, including personnel and equipment movement, with well-established procedures, controls, and monitoring.

This includes physical barriers as well as separate air-handling systems but does not necessarily imply two distinct and separate buildings.

Signature (Signed): See definition for signed.

Signed (Signature): The record of the individual who performed a particular action or review. This record can be initials, full handwritten signature, personal seal, or authenticated and secure electronic signature.

Solvent: An inorganic or organic liquid used as a vehicle for the preparation of solutions or suspensions in the manufacture of an intermediate or API.

Specification: A list of detailed requirements with which the products or materials used or obtained during manufacture have to conform. They serve as a basis for quality evaluation.

Standard Operating Procedure (SOP): An authorized written procedure giving instructions for performing operations not necessarily specific to a given product or material (e.g., equipment operation, maintenance, and cleaning; validation; cleaning of premises and environmental control; sampling and inspection). Certain SOPs may be used to supplement product-specific master and batch production documentation.

Starting Material: Any substance of a defined quality used in the production of a pharmaceutical product, but excluding packaging materials.

Validation: A documented program that provides a high degree of assurance that a specific process, method, or system will consistently produce a result meeting predetermined acceptance criteria. Action of proving, in accordance with the principles of GMP, that any procedure, process, equipment, material, activity, or system actually leads to the expected results (see also qualification).

Validation Protocol: A written plan stating how validation will be conducted and defining acceptance criteria. For example, the protocol for a manufacturing process identifies processing equipment, critical process parameters/operating ranges, product characteristics, sampling, test data to be collected, number of validation runs, and acceptable test results.

Yield, Expected: The quantity of material or the percentage of theoretical yield anticipated at any appropriate phase of production based on previous laboratory, pilot scale, or manufacturing data.

Yield, Theoretical: The quantity that would be produced at any appropriate phase of production, based upon the quantity of material to be used, in the absence of any loss or error in actual production.

7 FDA Pre-approval Inspections

I. INTRODUCTION

A pre-approval inspection is a visit by regulatory authority inspectors (generally from the District office of FDA) to review the compliance, in terms of the adequacy and accuracy of the information included in a regulatory submission (Compliance Program Guidance Manual, Program 7346.832). The pre-approval inspection program has evolved over the years in response to the fraudulent submissions to the U.S. Food and Drug Administration (FDA) by the generic drug industry.

A. BACKGROUND

The Food, Drug, and Cosmetic Act provides that the FDA may approve a new drug application (NDA) or an abbreviated new drug application (ANDA) only if the methods used in, and the facilities and controls used for, the manufacture, processing, packing, and testing of the drug are found adequate to ensure and preserve its identity, strength, quality, and purity. The applicant is required to submit information in the NDA/ANDA to the Center for Drug Evaluation and Research (CDER), which contains among other things a method of analysis and details as to how the firm proposes to manufacture—and control the manufacture—of the product that is the subject of the application. This information is reviewed by CDER scientists (chemists, microbiologists, etc.) to determine whether the specifications in the application meet the FDA's standards. The CDER's role in the pre-approval process is to review data submitted to the agency as part of premarket NDAs and generic drug applications and to establish specifications for the manufacture and control of the resulting drug product on the basis of the submitted data.

The investigator's role is to ensure current good manufacturing practice (cGMP) compliance, verify the authenticity and accuracy of the data contained in these applications, and report any other data that may affect the firm's ability to manufacture the product in compliance with GMPs. This program is designed to provide close inspectional and analytical attention to the authenticity and accuracy of data in applications and to provide information regarding facilities. Such coverage is necessary to ensure that applications are not approved if the applicant has not demonstrated an ability to operate with integrity and in compliance with all applicable requirements.

B. OBJECTIVE

The objective of the compliance program is to ensure that establishments involved in the manufacturing, testing, or other manipulation of new drug dosage forms and new drug substances are audited

1. Through on-site inspections for compliance with cGMPs
2. For conformance with application commitments
3. To ensure data is authentic and accurate
4. Through laboratory testing of products, including evaluations of the adequacy of analytical methodology

Both foreign and domestic establishments are covered by this program. Such coverage is intended to be consistent to the extent possible. This program provides guidance for establishment inspections and related investigations and for laboratory evaluations of methods of analysis proposed by applicants in NDA and ANDA submissions.

Before any application is approved by the CDER, a determination will be made of whether all establishments that will participate in the manufacture, packaging, or testing of the finished dosage form or new drug substance are in compliance with cGMP and application commitments. This determination may be made by conducting pre-approval inspections. Method validations, method verifications, and forensic analyses will be performed to confirm the authenticity of the pre-approval product and to ensure that it can be accurately assayed with the proposed regulatory methods. Postapproval inspections will monitor and enforce what is submitted in an application. "Application" means NDA, ANDA, antibiotic drug application, or abbreviated antibiotic drug application (AADA) and their supplements. CDER will request inspections in accordance with pre-established criteria. Optional pre-approval inspections may be requested where circumstances warrant. The scope of pre-approval inspections, compared with the responsibilities assigned to CDER scientists, is set forth below:

- **Biobatch manufacturing:** Inspection to determine the establishment's compliance with cGMP requirements, including a data audit of the specific batches on which the application is based (e.g., pivotal clinical, bioavailability, bioequivalence, and stability) is a field office responsibility. CDER scientists are responsible for the review and evaluation of the records and data submitted in the application, including the components, composition, batch instructions, in-process and finished product test points, and specifications established for the resulting drug product.
- **Manufacture of drug substance or substances:** Inspection to determine cGMP compliance of the establishment is a Field responsibility. CDER chemists are responsible for the scientific review and evaluation of the records and data associated with the manufacture of the active drug substance submitted

in the application or of a properly referenced Type II Drug Master File (DMF). The review will include starting materials, key intermediates, reagents, and solvents. CDER reviewers are also responsible for the review of process validation required for the manufacturing of biotechnological and certain natural substances.

- Excipients manufacture: The manufacture of novel excipients may be provided in an application or supporting DMF. Typically, these excipients are noncompensial and are used in specialized dosage forms and drug delivery systems. CDER chemists are responsible for the scientific reviews and evaluation of the records and data associated with the manufacture of these novel excipients. The review will include starting materials, key intermediates, reagents, and solvents. cGMP inspections by the Field usually will be performed on request from CDER.
 - Raw materials (cGMP controls): Inspection of the establishment for the drug substance and review of data on raw materials to determine compliance with cGMP requirements is a Field responsibility.
 - Raw materials (tests, methods, and specifications): Audit of the data submitted for CDER review in the application is a Field responsibility. CDER chemists are responsible for the scientific review of the associated data, evaluations of the adequacy of the submitted data, and ultimate approval of the tests, methods, and specifications established for the raw materials in the application.
 - Composition and formulation of finished dosage form: Audit of the data submitted for CDER review in the application is a Field responsibility. CDER reviewers are responsible for the scientific review of the composition and formulation to determine, qualitatively and quantitatively, the acceptability of the information submitted in the application.
 - Container/closure system or systems: CDER is responsible for the scientific review of the container/closure system or systems to be used to package the drug product as indicated in the application. The Field may audit this data.
 - Labeling and packaging controls: Inspection to determine the establishment's compliance with cGMP requirements and audit of the data submitted for CDER review in the application are Field responsibilities.
 - Labeling and packaging materials: CDER reviewers are responsible for the scientific review of the labeling and packaging components associated with the drug product.
 - Laboratory support of methods validation: On CDER request, Field laboratory analysts will conduct laboratory validation of the analytical methods proposed by the applicant. CDER laboratories may participate in certain instances abbreviated antibiotic drug application [(AADA) validations, etc.].
- CDER chemists are responsible for the review and acceptance/rejection of the analytical methods based on the laboratory results and the established specifications. Contacts between field laboratory analysts and the applicant will include the CDER chemist.
- Product (cGMP) controls: Inspection of the establishment to determine compliance with cGMP requirements, and review and audit of the data furnished to CDER in the application, are Field responsibilities. CDER scientists will request information on sterile processes, for example, laboratory controls for environmental monitoring, sterile fill operations, and evaluation and reduction of microbial contamination, to be submitted to the application for CDER review.
 - Product tests, methods, and specifications: Audit of the data submitted for CDER review in the application is a Field responsibility. CDER is responsible for the scientific review of the associated data and for the ultimate approval of the tests, methods, and specifications established for the drug product in the application. The Field will advise the center when it finds a questionable specification.
 - Product stability: Inspection of the establishment to determine compliance with cGMP requirements and to conduct an audit of the data furnished to CDER in the application is a Field responsibility. This requirement applies to both the relevant pre-approval batches, as discussed above, and the proposed commercial batches. CDER application review chemists are responsible for review of the proposed drug product stability protocol, specifications, and evaluation of the data submitted in support of the expiration dating period proposed for the drug product in the application.
 - Comparison of the relevant pre-approval batch or batches and proposed commercial production batches: CDER chemists are responsible for the comparison of the formulation, manufacturing instructions, and associated in-process and finished product tests and specifications established for the relevant pre-approval batch or batches with the proposed commercial production batch to determine the acceptability of the firm's proposed scale-up procedure. The Field will compare the process used to make the pre-approval batches with the actual process used to manufacture the validation batches. Significant differences in these processes will be evaluated by CDER's Office of Compliance, to determine whether the differences constitute fraud, and by the reviewing officers, to determine whether differences in the processes will affect the safety and effectiveness of the resulting product.
 - Facilities, personnel, and equipment qualification: Review of the information and inspection of the establishment to determine compliance with cGMP requirements is a Field responsibility.
 - Equipment specification or specifications: Audit of the data submitted for CDER review in the

application is a Field responsibility. CDER scientists are responsible for the review of equipment specifications furnished to the center in the application.

- Packaging and labeling (cGMP controls): Review of the controls information and inspection of the establishment to determine compliance with cGMP requirements is a Field responsibility.
- Process validation: Inspection of the establishment to determine compliance with cGMP requirements and adherence to application requirements is a Field responsibility. CDER may request data to support validation of sterile processing operations; for example, environmental monitoring, equipment validation, sterile fill validation, and associated sterile operations.
- Reprocessing: Inspection of the establishment to determine compliance with cGMP requirements and to conduct an audit of the data submitted to the center in the application is a Field responsibility. CDER application review chemists are responsible for review of reprocessing protocols proposed in the application. All reprocessing procedures must be validated, or scientific data must be available to justify the reprocessing procedure. The Field will audit the validation of these procedures.
- Ancillary facilities: Ancillary facilities (contract testing laboratories and contract packagers and labelers) will be inspected to determine compliance with cGMP requirements at the discretion of CDER. The name, address, and function of each ancillary facility will be indicated in the drug application, and CDER will review biological and immunological test methods and results submitted. These facilities shall also provide a certification in the drug application regarding compliance with the conditions of approval of the application.

C. TRIGGERING OF INSPECTIONS

There are two types of events that trigger inspection: categories that will regularly prompt an inspection request, and categories in which the district office may elect to perform an inspection at their discretion for elements of applications—filed or otherwise.

The following categories will regularly prompt a pre-approval or cGMP:

1. New molecular entities (includes finished drug product and the active pharmaceutical ingredient)
2. Priority NDAs
3. First application filed by an applicant
4. For-cause inspection
5. For original applications, if the current cGMP status is unacceptable or greater than 2 years
6. For certain pre-approval supplements, such as site change or major construction, if the cGMP status is unacceptable

7. Treatment IND inspections (information is available to CDER indicating that an inspection of a clinical supplies manufacturer is warranted to protect the health of patients)

D. INSPECTIONS/AUDITS

1 Manufacturing Process

i. Drug Product (Dosage Form)

In many cases, clinical production or trial runs of a new drug are produced in facilities other than the ones used for full-scale production. The facilities and controls used for the manufacture of the batch or batches are audited. For a generic drug product, the biobatch or biobatches are required to be manufactured in production facilities, using production equipment, by production personnel, and the facility is to be in conformance with cGMPs. Accurate documentation is essential so that the production process can be defined and related to the batch or batches used for the early clinical, bioavailability, or bioequivalence studies of new drug or generic drug products. Generic product biobatches are ANDA batches that are compared to the originator/reference product to establish their equivalence. NDA biobatches are NDA batches comparing the product planned for marketing with that studied during clinical trials to establish their equivalence. The batch records submitted in the application must be audited as part of the inspection to ensure that the proposed production process is the process that was used for the manufacture of the bio/stability batches. Some manufacturers have historically made small batches that were used for biostudies and stability studies and misrepresented them as larger batches in submissions. Documentation sometimes has included research and development notebooks or batch records. Inventory records or receiving records of drug substances have been found to be of value in documenting the accountability of drug substances used in the early batches.

ii. Drug Substance (Bulk Drug Chemical)

The *Guide to Inspection of Bulk Pharmaceutical Chemical Manufacturing* (http://www.fda.gov/ora/inspect_ref/igs/bulk.html) and Compliance Program 7356.002F (http://www.fda.gov/cder/dmpq/compliance_guide.htm) covering bulk pharmaceutical chemicals (BPCs) provide details of inspections covering bulk drug chemical manufacturing processes.

2 Reprocessing

The GMP regulations require reprocessing procedures to be written, and it is customary but not required that NDAs/ANDAs contain procedures covering foreseeable deviations from physical specifications (e.g., color, capped tablets, deviations from hardness specifications, etc.). If the NDA/ANDA contains a reprocess provision, the applicant must produce scientific data to establish that the procedure will result in a product that is equivalent to the original product.

3 Laboratory

Laboratory equipment and procedures must be qualified and validated. Every NDA/ANDA inspection will include both an evaluation of laboratory controls and procedures and an audit of some of the raw data used to generate results. These data may be located in research and development test logs. The authenticity and accuracy of data used in the development of a test method should be established. (See the *Guide to Inspection of Pharmaceutical Quality Control Laboratories*, July 1993.)

4 Components

The supplier and source of the active drug substance used in the manufacturing of the biobatch or clinical batch should be identified. When the manufacturer changes suppliers of drug substance from that supplier used for the manufacture of the biobatch or clinical batches, then the application should include data demonstrating that the dosage forms produced from the drug substances from the two different suppliers are equivalent in terms of conformance with established specifications, including those stated in the application. The data used to determine the adequacy of the physical specifications established for the subsequent suppliers or suppliers of the drug substance should be established.

5 Building and Facilities

The addition of any new drug to a production environment must be carefully evaluated as to its effect on other products already under production and as to changes that will be necessary to make to the building and facility. Construction of new walls, installation of new equipment, and other significant changes must be evaluated for their effect on the overall compliance with GMP requirements. For example, new products, such as cephalosporins, would require that the firm demonstrate through appropriate separation and controls that cross-contamination cannot occur with regard to other products being made in the same facility. In addition, facilities that may already be operating at full capacity may not have adequate space for additional products.

6 Equipment

New products, particularly potent drug products, can present cleaning problems in existing equipment. Manufacturers must validate their cleaning processes for the new drug/dosage form.

7 Packaging and Labeling Controls

Packaging and labeling control procedures must be adequately written. Poor label control and accountability for other products may have an adverse effect on the firm's ability to ensure that the new drug will always be properly labeled. The label and packaging controls should take into account considerations of past label mix-ups and recalls.

II. REGULATORY/ADMINISTRATIVE STRATEGY

A. GENERAL

The plant should be in substantial compliance with GMP regulations and should have the necessary facilities and

equipment in place to manufacture the specific product in the pending application. Some significant problems include, but are not limited to

- Application misrepresents data or conditions relating to pre-approval batches; there are other inconsistencies or discrepancies raising significant questions about the validity of records.
- Pre-approval batches are not made in accordance with GMPs.
- There is a failure to report adverse findings or test data without adequate justification. If applications are withheld because of significant cGMP noncompliance, and the GMP deficiencies also apply to commercially marketed products, then action must be taken to ensure that the deficiencies are corrected.

B. PROCESS VALIDATION

Approvals are not generally withheld on the basis of a lack of complete, full-scale, multiple-batch process validation. Although the agency does not require the manufacturer to fully validate the manufacturing process and control procedures of the commercial batch production before approval, the CDER will require that certain data be filed to demonstrate that a plant's sterilization and aseptic fill process has been qualified. These filing issues are under the control of the CDER's reviewing divisions. Because complete process validation is not required before approval, it is not required to audit complete process validation for sterile and nonsterile processes until the application has been approved. However, if the plant has already validated the process before the pre-approval inspection, the validation is evaluated during the pre-approval inspection. The inspection team lists deficiencies in the validation process on the FDA-483 and advises the plant official that complete validation must be completed before shipment. Applicants and sponsors must be able to justify filed specifications with scientific data. In other words, the sponsor should have conducted sufficient research on the test batches to establish specifications for the manufacturing and control procedures listed in the application. These data form the basis for the review and evaluation of the application, and these specifications form the basis of the validation protocol that may be developed following the approval of the application. The final step in the product development process is validation that the process will perform consistently. Companies are expected to validate the process using the specifications listed in the filing. Process validation requirements for the manufacture of BPCs differ somewhat from those involving dosage forms. The *Guide to Inspection of BPCs* issued in 1991 states that BPC manufacturers are expected to adequately determine and document that significant manufacturing processes perform consistently. The type of BPC, the range of specifications, and other factors determine the extent of the process development and documentation required. The documentation system required for early process steps must provide a chain of documentation, and although it need not be

as comprehensive as in the later parts of the process, the manufacturer is required to identify and control the key steps in the process. Though many BPC manufacturers have recently initiated validation programs, not all BPCs can be validated simultaneously. Therefore, the inspections do not recommend taking any legal action where a firm has an adequate program in place, including reasonable milestones. Regulatory actions are recommended where there is a lack of validation and where there is evidence of a significant number of failed batches.

C. KEY ELEMENTS

The key elements of an inspection are to ensure that the facility is capable of fulfilling the application commitments to manufacture, process, control, package, and label a drug product following GMP; the adequacy and accuracy of analytical methods submitted, to ensure that these methods are proper for the testing proposed; correlation between the manufacturing process for clinical trial material, bioavailability study material, and stability studies and submitted process; that the scientific data support full-scale production procedures and controls; that only factual data have been submitted; and that the protocols are in place to validate the manufacturing process.

The CDER, which governs the pre-approval inspections, can additionally require pre-approval inspections in the case of drugs with narrow therapeutic range, where new chemical entities are involved, where drugs are difficult to manufacture, in the case of drugs that represent a new dosage form for the application, where it is the first approval for the company, in the case of a poor GMP track record, and where generic versions of one of the 200 most prescribed drugs are involved (see Table 7.1).

D. STRATEGIES FOR PRE-INSPECTION

Pre-inspection preparation involves developing both short-term and long-term strategies. The short-term strategy may comprise

- Determining the state of cGMP compliance of all of the manufacturing and development facilities listed in the NDA for the product under review: This should be carried out by the quality assurance division of the firm.
- Compiling all relevant regulatory documents for use by the FDA inspectors at the potential inspection sites: This should be done by the regulatory affairs group of the firm; the efforts also include a summary of the commitments made to the FDA.
- Identification of key batch records: These documents are then compared with the commitments that are contained in the Regulatory Commitment Document (see above). Any discrepancies identified are resolved, and explanations are documented when appropriate. This is done by the product development

group in collaboration with the quality control and regulatory affairs departments.

- The history of analytical methods used to control the product is prepared: The analytical development department prepares a chronological history of the various analytical methods used during product development. This includes justifications for any changes made in the methods during the development process and a comparison of the methods used to release clinical batch vis-a-vis the commercial batches.
- Transfer of analytical methods to the site or sites where they are used: This is the responsibility of the analytical development division. Raw data supporting a successful transfer should be readily available to the inspectors.
- Scale-up ensuring that installation qualification, operational qualification, performance qualification (IQ/OQ/PQ) activities are properly conducted: These include cleaning validation, process validation, sterilization validation, and so forth, according to established corporate procedures.
- The development report has two main sections, one that addresses the dosage form and one that deals with the bulk drug substance: The product development scientist compiles the experimental evidence to demonstrate bioequivalency for the first clinical trial lot through those lots that will be used for launch. The report further includes a description of the current process along with a description of the chemical/physical characteristics, purity, related substances, specifications, and stability of the drug substance.

The long-term strategy of preparing for a pre-NDA approval inspection generally comprises

- Incorporating the drug development process in the preparation to allow the FDA to review the documents from the earliest stages of development.
- Establishing measures of cGMP for the production and distribution of clinical trial material; this may be different from the commercial production systems and addresses the issues of stability guidelines developed by the analytical laboratory in consultation with the quality assurance, the policy on the management of deviations (fully justified), batch disposition of clinical trial lots, change documentation—which is another critically important part of a quality system for product development, process validation, training, management notification—which sets the standard for notification of corporate research management in the event that a quality issue occurs with clinical trial materials.

E. INTERNATIONAL INSPECTION

FDA inspections are conducted in the same manner for both domestic and international firms, but in practice there

TABLE 7.1
Active Pharmaceutical Ingredients from the Top 200
Prescription Drugs in 2019

1	Levothyroxine	114,344,324	53	Hydrochlorothiazide; Lisinopril	14,862,223
2	Lisinopril	110,611,324	54	Oxycodone	14,693,850
3	Atorvastatin	96,942,508	55	Ethinyl Estradiol; Norethindrone	14,614,505
4	Metformin Hydrochloride	81,305,415	56	Ergocalciferol	14,608,444
5	Amlodipine Besylate	75,201,622	57	Lorazepam	14,317,316
6	Metoprolol	74,019,645	58	Ethinyl Estradiol; Norgestimate	13,426,358
7	Omeprazole	70,626,980	59	Estradiol	13,361,413
8	Simvastatin	65,144,488	60	Hydrochlorothiazide; Triamterene	12,735,626
9	Losartan Potassium	49,281,054	61	Glimepiride	12,432,147
10	Albuterol	47,109,711	62	Fluticasone Propionate; Salmeterol Xinafoate	12,314,689
11	Gabapentin	44,154,514	63	Diltiazem Hydrochloride	12,257,572
12	Hydrochlorothiazide	43,472,270	64	Paroxetine	12,222,861
13	Acetaminophen; Hydrocodone Bitartrate	43,109,574	65	Loratadine	12,085,215
14	Sertraline Hydrochloride	37,105,238	66	Spiroinolactone	11,991,114
15	Furosemide	32,692,726	67	Fenofibrate	11,767,185
16	Fluticasone	29,899,932	68	Naproxen	11,470,076
17	Acetaminophen	29,325,845	69	Esomeprazole	11,417,610
18	Amoxicillin	28,117,284	70	Lamotrigine	11,276,212
19	Alprazolam	27,030,725	71	Metronidazole	11,169,357
20	Atenolol	26,739,322	72	Lovastatin	11,000,895
21	Citalopram	26,387,590	73	Alendronate Sodium	10,576,011
22	Insulin Glargine	26,201,314	74	Cetirizine Hydrochloride	10,307,139
23	Montelukast	25,326,687	75	Finasteride	10,300,054
24	Trazodone Hydrochloride	25,300,258	76	Clonidine	10,253,268
25	Pantoprazole Sodium	25,270,699	77	Budesonide; Formoterol	10,195,375
26	Escitalopram Oxalate	25,240,490	78	Diclofenac	9,907,530
27	Pravastatin Sodium	24,666,149	79	Latanoprost	9,879,869
28	Bupropion	23,811,613	80	Hydrochlorothiazide; Losartan Potassium	9,772,428
29	Fluoxetine Hydrochloride	23,729,286	81	Bacitracin; Neomycin; Polymyxin B	9,200,340
30	Carvedilol	23,338,866	82	Sitagliptin Phosphate	9,164,964
31	Prednisone	23,242,849	83	Pregabalin	9,074,950
32	Tamsulosin Hydrochloride	22,533,461	84	Insulin Human	8,947,388
33	Potassium	22,380,348	85	Topiramate	8,846,881
34	Clopidogrel Bisulfate	21,398,662	86	Quetiapine Fumarate	8,751,996
35	Ibuprofen	21,329,751	87	Insulin Aspart	8,662,906
36	Meloxicam	21,290,692	88	Amitriptyline	8,638,268
37	Rosuvastatin Calcium	19,917,442	89	Levetiracetam	8,534,737
38	Aspirin	19,753,190	90	Buspirone Hydrochloride	8,456,948
39	Tramadol Hydrochloride	19,479,377	91	Ondansetron	8,450,779
40	Zolpidem Tartrate	19,102,809	92	Valsartan	8,406,000
41	Warfarin	18,718,271	93	Ferrous Sulfate	8,398,923
42	Clonazepam	18,675,679	94	Enalapril Maleate	8,342,017
43	Propranolol Hydrochloride	18,416,025	95	Tiotropium	8,306,842
44	Glipizide	17,884,980	96	Folic Acid	8,276,080
45	Dextroamphetamine; Dextroamphetamine Saccharate; Amphetamine; Amphetamine Aspartate	17,363,796	97	Hydroxyzine	8,082,370
46	Cyclobenzaprine	16,170,425	98	Donepezil Hydrochloride	7,761,701
47	Methylphenidate	15,599,244	99	Lisdexamfetamine Dimesylate	7,570,687
48	Duloxetine	15,377,184	100	Insulin Lispro	7,544,686
49	Azithromycin	15,292,543	101	Isosorbide Mononitrate	7,360,509
50	Ranitidine	15,285,992	102	Ciprofloxacin	7,192,190
51	Venlafaxine Hydrochloride	15,283,793	103	Cholecalciferol; .Alpha.-Tocopherol	7,114,696
52	Allopurinol	15,227,146	104	Benazepril Hydrochloride	7,093,458
			105	Rivaroxaban	7,058,562
			106	Sulfamethoxazole; Trimethoprim	6,879,928
			107	Cephalexin	6,785,958
			108	Oxybutynin	6,735,604
			109	Drospirenone; Ethinyl Estradiol	6,681,736
			110	Doxycycline	6,640,671

(Continued)

TABLE 7.1 (CONTINUED)

Active Pharmaceutical Ingredients from the Top 200 Prescription Drugs in 2019

111	Ropinirole Hydrochloride	6,628,478	164	Olmesartan Medoxomil	3,805,975
112	Diazepam	6,606,345	165	Mometasone	3,780,867
113	Hydrocodone Bitartrate	6,591,400	166	Albuterol Sulfate; Ipratropium Bromide	3,774,880
114	Amoxicillin; Clavulanate Potassium	6,579,603	167	Brimonidine Tartrate	3,764,084
115	Sumatriptan	6,564,780	168	Valacyclovir	3,730,423
116	Pioglitazone	6,563,097	169	Terazosin	3,728,582
117	Ethinyl Estradiol; Levonorgestrel	6,441,019	170	Solifenacin Succinate	3,630,386
118	Tizanidine	6,401,817	171	Irbesartan	3,615,078
119	Thyroid	6,363,773	172	Glyburide	3,591,159
120	Celecoxib	6,269,150	173	Fluconazole	3,553,998
121	Insulin Detemir	6,227,661	174	Chlorthalidone	3,551,730
122	Triamcinolone	6,077,301	175	Carbidopa; Levodopa	3,535,782
123	Apixaban	5,833,149	176	Beclomethasone	3,519,550
124	Baclofen	5,708,865	177	Polyethylene Glycol 3350	3,503,811
125	Famotidine	5,702,253	178	Dicyclomine Hydrochloride	3,502,152
126	Nebivolol Hydrochloride	5,686,450	179	Magnesium	3,473,024
127	Docusate	5,585,832	180	Nitroglycerin	3,472,539
128	Mirtazapine	5,547,697	181	Carisoprodol	3,405,849
129	Divalproex Sodium	5,484,270	182	Ipratropium	3,400,104
130	Verapamil Hydrochloride	5,424,766	183	Calcium; Cholecalciferol	3,381,006
131	Aripiprazole	5,186,998	184	Clobetasol Propionate	3,335,235
132	Gemfibrozil	5,068,993	185	Temazepam	3,235,550
133	Desogestrel; Ethinyl Estradiol	5,062,634	186	Nitrofurantoin	3,232,274
134	Hydrochlorothiazide; Valsartan	5,000,778	187	Methocarbamol	3,229,264
135	Hydroxychloroquine Sulfate	4,965,681	188	Liraglutide	3,225,863
136	Prednisolone	4,942,861	189	Progesterone	3,173,331
137	Cyanocobalamin	4,941,184	190	Dexlansoprazole	3,153,131
138	Hydralazine Hydrochloride	4,888,742	191	Metformin Hydrochloride; Sitagliptin Phosphate	3,150,401
139	Omega-3-acid Ethyl Esters	4,841,513	192	Nortriptyline Hydrochloride	3,131,539
140	Amlodipine Besylate; Benazepril Hydrochloride	4,829,728	193	Benzonatate	3,104,318
141	Lansoprazole	4,805,894	194	Canagliflozin	3,102,040
142	Timolol	4,684,226	195	Acyclovir	3,078,845
143	Hydrocortisone	4,634,998	196	Linagliptin	3,058,866
144	Ezetimibe	4,570,245	197	Carbamazepine	2,982,030
145	Digoxin	4,470,645	198	Amiodarone Hydrochloride	2,934,681
146	Testosterone	4,413,016	199	Mupirocin	2,833,713
147	Memantine Hydrochloride	4,388,334	200	Dorzolamide Hydrochloride; Timolol Maleate	2,794,085
148	Methylprednisolone	4,382,399			
149	Estrogens, Conjugated	4,245,842			
150	Adalimumab	4,245,501			
151	Clindamycin	4,223,619			
152	Methotrexate	4,208,091			
153	Ramipril	4,206,514			
154	Nifedipine	4,152,353			
155	Methylcellulose (4000 Mpa.S)	4,092,001			
156	Guanfacine	4,022,949			
157	Doxazosin Mesylate	4,010,324			
158	Morphine	3,989,646			
159	Risperidone	3,975,563			
160	Promethazine Hydrochloride	3,928,732			
161	Levofloxacin	3,910,492			
162	Meclizine Hydrochloride	3,906,199			
163	Levocetirizine Dihydrochloride	3,868,302			

are legal and logistic reasons for the FDA to follow different procedures when scheduling and conducting international inspections for the purpose of verifying integrity of information submitted and ascertaining compliance with the cGMP regulations. There are four differences between domestic and international inspections: international inspections are nearly always scheduled in advance, language barriers pose unique challenges during international inspections, international inspections are typically of shorter duration than domestic inspections that are conducted for the same purpose, and international firms are reinspected less often than are domestic facilities.

When inspecting domestic firms, the FDA has the responsibility over all products manufactured, and thus inspections are often extended to include other products as well. At foreign facilities, the FDA generally has interest only in products that

will be marketed in the United States, and it is likely that the firm inspected may only be marketing a handful of products in the United States, though it may have a large presence. In addition, most international inspections are completed within a fixed duration, as the inspection may be heading for similar audits in the region elsewhere and it is not often possible to make last-minute changes to the itinerary. In domestic audits, the inspectors routinely interrupt the audit and return later to complete it; such is not the case with the foreign inspections.

Unless a firm has previous experience with such audits, it is highly recommended that the firm assign responsibilities for PAI readiness, determine the PAI schedule, anticipate FDA needs, verify application integrity, and verify GMP compliance on their own before the visit.

Whereas the regulatory submissions must be in English, the FDA expects that raw data and original records may be in the native language, and this is acceptable: there is no need to translate documents that are created in the native language. In fact, it is ill-advised to convert documents, as this may result in errors that can unnecessarily create confusion in the inspection. However, the summary documents as requested by the FDA may be translated before the arrival of inspectors. Where attachments were included in the regulatory submissions, these should be available with proper certification for their authenticity.

Foreign inspections almost always follow a preset routine, despite individual style, which depends on the qualification of the inspector (whether he or she is a microbiologist or a chemist, for example).

Summary documents are critical to a successful start of the audit; the FDA would rely heavily on the development reports, particularly as they pertain to early development phases of development, scale-up, and the development of analytical methods. Information contained in the development report is also useful for the firm's management to present overviews to the FDA about key development activities at the start of an inspection. Well-written, comprehensive reports may be sufficient for the purpose of the inspection without the FDA getting into inquiry about the raw data. Because the FDA is short of time in foreign inspections, they are more likely to accept the report in lieu of a larger number of support documents; as a result, the importance of a well-written, comprehensive development report is the most important tool for foreign firms. A lack of reports or incomplete reports will almost always cause the FDA inspectors to inquire about the raw data—something that should be avoided, if at all possible. Raw data always spells trouble in every inspection. An unnoticed peak in the active pharmaceutical ingredient (API) thin layer chromatography (TLC), a missing signature, numbers changed without crossing it out, and so forth, are some of the common occurrences that raise flags as the audit gets deeper.

Next to the preparation of the development report, the most important thing for the foreign firm to do is to “break ice” with the FDA inspectors. Almost always there are cultural and etiquette differences that must be overcome immediately. Although there is no need for an elaborate protocol, the firm is expected to inform the FDA inspectors about the

matters indigenous to the region, such as traffic problems, hotel accommodation, food availability, and most important, any local customs that may adduce a behavior with which the inspectors may not be familiar. It is also a good idea to start the meeting with the inspectors by expressing a desire to be apprised of any findings as they occur, as it is easier to rebut or explain the situation at that moment. These situations often arise as a result of different systems of document keeping, document routing, and personnel management.

Where deficiencies are found, the firm should attempt to rectify them during the visit while keeping the FDA inspectors informed of the changes made to overcome the objections. Know that the FDA personnel are expected to report corrective actions in the Environmental Impact Report (EIR). When it is not possible to complete the corrective actions before the FDA leaves the premises, it is in the firm's best interest to report steps that have already been taken toward initiating a corrective action plan. In addition, the FDA is concerned about the steps taken to prevent the recurrence of such problems and the evaluations made to determine whether the objectionable conditions may apply to other areas of the facility, as well as the steps taken by the company to determine the cause of specific objections found by the FDA. Also, falsification of documentation that a corrective action has been taken when it may not have been can land the firms in deep trouble in the follow-up inspections. The FDA becomes suspicious when the firm provides evasive or inconsistent answers, shows unexpected body language or behavior in responding, or an inconsistent response is received from different employees. It is important, therefore, that the firm go through a mock-up exercise involving all those employees who may eventually end up talking to the FDA inspectors.

At the end of inspection, the FDA conducts an exit discussion with management to deliberate on the inspection findings. Should there be any GMP-related deviations or other objectionable conditions, they will leave with the company a written list of observations (FDA-483) and will provide management with the opportunity to discuss the FDA findings. The purpose of the FDA-483 is to list objectionable conditions and practices found by the FDA investigator; it is not intended to report any favorable or acceptable conditions that may have been observed during the inspection. Each of the FDA-483s issued is subjected to further review by FDA management in the field offices or at headquarters units to determine the validity and significance of each item. It is imperative that personnel completely understand the reason or reasons that the FDA considers a condition or practice to be objectionable before the inspection team departs. As mentioned earlier, it is in the best interest of the FDA as well that issues are closed before their departure, as the inspectors may not be able to return soon, and it will create a substantial burden on the firm if the approval is withheld; this is a significant benefit in international inspections of which the firms should take full advantage.

Management should verbally respond to the inspection findings during the discussion of the FDA-483. Each item should be discussed individually, and the company personnel

should provide additional explanations where appropriate and should state their intentions for items where they have made or intend to make improvements. When companies have initiated corrective actions, it is imperative that the FDA be informed of the actions taken (especially corrections that have already been completed). The company should request that the FDA team report in their EIR the corrections that have been accomplished. If the FDA has had the opportunity to verify the corrections, it would be appropriate to ask them to comment on the adequacy of the actions taken by the company (i.e., Were they satisfied with the corrective actions, or should the firm consider further actions?).

To demonstrate to the FDA that corrective actions have been taken, firms should provide to the FDA team the copies of documents that show corrections such as revised standard operating procedures (SOPs), change control records for facility improvements, training documentation, and results of analytical testing. In those situations in which the firm may need some time to decide appropriate corrective actions, it is advisable to inform the FDA team that a written response will be provided within a reasonable period (ideally within 2 weeks). It is extremely important to stick to this timeline, as it takes about 2 weeks for the inspector to file his or her EIR: It is most beneficial, strategically, to have the response of the firm be recorded in the EIR. The firm, however, should not make promises that it knows cannot be fulfilled, such as requiring substantial financial outlay that the firm may not be able to afford, or giving a timeline that is too restrictive or unrealistic. The firms should not risk creating a credibility problem in the follow-up visits. The FDA encourages an open discussion of each item listed on the FDA-483, and the FDA team should be able to defend its observations. If management believes that an item listed on the FDA-483 is incorrect or does not accurately reflect the true conditions found by the FDA investigator, this should be discussed in sufficient detail until the issue can be resolved to mutual satisfaction. If the observation is an error caused by misunderstandings, it is essential that there be full discussions to ensure that the FDA has accurate and complete information. This is why it was earlier recommended that the firm develop an open communication with the FDA, finding out the deviations as they are discovered rather than in the end-of-visit reporting. If the FDA has all of the relevant information and facts, but the FDA team has reached the conclusion that the firm's practices or conditions are unacceptable, then the FDA-483 observation will remain. The FDA does routinely alter its FDA-483; however, where disputes remain on how the FDA has interpreted a finding vis-a-vis the position firm takes, it is important to identify which data were used by the FDA that formed the basis of their decision; these data should then be verified, and if it is discovered that discrepancies occurred that were unintentional, the FDA inspectors should be informed as soon as possible after they leave the firm's premises.

When the FDA team has not found objectionable conditions, they will terminate the inspection (an FDA-483 will not be issued). In such cases, the company will not receive anything in writing from the FDA team. The firm, however,

reserves the right to request the FDA to issue a statement to this effect and to ask for an exit discussion.

However, one should be extremely careful about engaging the FDA inspectors in discussions that are superfluous, to prevent any inadvertent disclosure that might change their opinion about the inspection.

The Application Integrity Policy (AIP) is a formal administrative program that the FDA uses to deal with fraud, scientific misconduct, or other instances in which wrongful acts have been committed or are suspected. The AIP, introduced in 1990 as consequence of the generic drug scandal, was formerly called the "fraud policy." The AIP is invoked when the integrity of data or information in applications filed with the FDA has been compromised or questioned. Examples of actions that may prompt investigations include submission of false or fraudulent data, making untrue statements to the FDA officials, offering illegal gratuities, and other actions that subvert the integrity of an application. The primary enforcement options that are available to the FDA under the AIP program include withholding of approvals, product recalls, and civil and criminal penalties. However, note that the FDA may not have a legal jurisdiction over a foreign establishment, and thus the penalties are mainly the rejection of application and banning the firm from submitting future applications.

F. PRODUCT STABILITY DATA

One of the most widely cited observations in the FDA audits is the lack of or inadequate data to support the stability of the product. This applies to domestic as well as international audits, though more problems arise in international audits, where the firm may have used a different climatic zone for testing the product. A robust stability program includes study of loss of active ingredient (potency), increase in concentration of active ingredient, alteration of bioavailability, loss of content uniformity, decline in microbiological status, increase in possibly toxic decomposition product, loss of pharmaceutical elegance, and modification in any other factor of functional relevance (e.g., loss of adhesion strength in a transdermal). The stability data that should be available at the time of pre-approval inspection include

- Adequate test method: The assays of the active component should be stability-indicating; that is, they can be separated from the degradation products and other components of the formulation. Furthermore, the degradation products should be quantitated and all methods should be validated not only at the beginning of the testing but also through the testing period.
- Characterization of drug substance: Where a reference standard is used in an ANDA, this aspect is set aside. However, where a new chemical entity (NCE) is involved, a large volume of data would generally be required to establish the degradation profile of the new drug, especially if this happens to be a macromolecule; when the testing requires evaluation

by a biological response, the difficulties in validating the test method rise exponentially. Where an entirely new stability-indicating assay is established, it is necessary to demonstrate that the procedure is indeed stability-indicating by forced degradation studies. For protein drugs, incomplete knowledge of the molecule makes it difficult to demonstrate the stability-indicating nature of the assay.

- Calibration of equipment: This is a routine requirement, and the FDA inspectors may not review these data if they find that the firm is in general good compliance with the cGMP. However, these data should be updated and current at all times.
- Assay validation parameters: The common parameters that require attention include accuracy, limit of detection, limit of quantification, linearity, precision, range, recovery, robustness, sample stability (on storage and during assay), specificity and selectivity, and systems suitability. Two additional parameters that may need special attention are transferability and comparability. This applies to both chemical and physical testing where used. Because stability-indicating methods evolve over time, revalidation is critical. Partial revalidation is required whenever significant changes are made either in the method itself or in the material analyzed, which could reasonably be expected to affect the results obtained (e.g., changes in equipment or suppliers of critical supplies).
- Pre-formulation studies (bulk drug substance): Stability data of the bulk drug substance alone or in model test systems is required, and most companies find this to be weakest point of their presentation to the FDA.
- SOPs: During the PAI, the FDA investigators routinely examine the SOPs that relate to the development and operation of the stability program to ascertain the strengths and weaknesses of the program, as well as ensuring compliance with the SOPs. Firms should understand that there are no official guidelines on how to write an SOP, what methods to use, and who should be responsible for doing it. What the FDA looks for is that, given an approved SOP, the firm adheres to its own guidance. Should doubts arise that the firm is not following its own guidelines, suspicion grows about the firm's overall ability to comply with the cGMP regulations.
- Room temperature and accelerated test data: For products that will be labeled to require storage at controlled room temperature, long-term studies at $25^{\circ}\text{C} \pm 2^{\circ}\text{C}$ with 60% relative humidity (RH; $\pm 5\%$) with at least 12 months of data are needed. Accelerated studies at $40^{\circ}\text{C} \pm 2^{\circ}\text{C}$ and 75% $\pm 5\%$ RH with at least 6 months of data are also normally required. However, the ICH does allow for a less rigorous accelerated test if the 40°C test cannot be passed. When "significant change" occurs during the

40°C accelerated study, an intermediate test, such as $30^{\circ}\text{C} \pm 2^{\circ}\text{C}$ and 60% RH $\pm 5\%$ for 12 months, can be used. Significant change is defined as a 5% loss of potency, exceeding pH limits, dissolution failure, and failures of physical specifications (hardness, color, etc.). If products are to be labeled with instructions for storage at a temperature of less than 25°C , then the accelerated studies can be performed at a temperature less than 40°C ; however, the conditions should be at least 15°C above those used for long-term evaluation. Products for which water loss may be more important, such as liquids or semisolids in plastic containers, it can be appropriate to replace high-RH conditions by lower RH, such as 10% to 20%. If, during clinical trials, a number of different formulations have been used that differ in either formulation or processing variables from the product intended for the market, it may be appropriate to "build bridges" between the various formulations if there is reason to believe that the changes in the formulation or processing variables are such that might reasonably be expected to significantly modify stability. The FDA SUPAC (scale-up and postapproval changes) Guideline should be consulted about the importance of such changes.

- Contract laboratory stability testing: Where contract work is involved, complete details about the facility conducting the testing should be available. The FDA may choose to visit that facility as well, unless it is an approved facility that has undergone several FDA inspections in the past.

Developing stability data for an ANDA product generally requires fewer laboratory studies than those required with an NCE. The primary goal of an ANDA should be to mimic the stability profile of the innovator product, barring any intellectual property issues that might prevent the generic manufacturer from formulating a similar product. (Of course, there is nothing to prevent an ANDA sponsor from trying to formulate a product with a longer shelf life than that of the innovator, and this idea has been considered by some companies.) The formulation of generic products requires developing a source of API—a DMF source—that is substantially identical in its stability profile to the innovator API; where reference standards are not yet available, this may create serious problems. In addition, it is often difficult to obtain impurities in sufficient quantity to validate the test methods. As a result, much effort is needed in making this part of the stability profile appear as comprehensive as possible. Firms often use bracketing, or matrixing—a form of partial factorial experimental design—to reduce their experimental load, and it is well accepted; however, before adopting this method, the firm is advised to consult with the FDA, as the power of test required may change with the type of API involved. Also, normalization of stability results is not usually desirable, and the plots of percentage of label claim as a function of time should not be normalized so that all batches originate at 100% of label

claim. In considering batch-to-batch variability in three or more batches, the FDA is interested in both intercepts and slopes. The arguments often adduced by European companies that the slope is more important in establishing shelf life are not acceptable to the FDA. The FDA also considers delay in testing of samples a serious issue in the stability profiling in addition to the calibration and validation of the stability chambers. Know that the FDA takes a hardline approach when it comes to the conduct of stability testing. Firms often are greatly surprised by how important the FDA considers these “nuts and bolts” issues, such as crowded stability chambers with poor air circulation, lack of proper calibration, and evidence that the temperature fluctuation is not more than 2°C.

G. VALIDATION OF PROCESSES

Next to the problems frequently recorded in stability profiles of drug products is the lack of or inadequacy of the documents that affirm that the process used for the manufacture of a biobatch of the commercial batch was fully validated. Validation is a requirement of both the development stage and the final batches. Process validation is defined as establishing documented evidence, which provides a high degree of assurance, that a specific process will consistently produce a product meeting its predetermined specifications and quality characteristics. To provide the FDA with sufficient documentation, firms should prepare a flow diagram of the process in a logical flow, identifying various unit operations. Firms are required to perform validation of three formal batches.

The general principles of process validation involve prospective process validation (also called premarket validation), retrospective process validation, revalidation, and concurrent process validation. Prospective process validation is the most important for an FDA pre-NDA approval inspection of a NCE or API in a dosage form or delivery system.

Prospective validation is conducted before the distribution of either a new product or an existing product made under a revised manufacturing process where such revisions may affect product specifications or quality characteristics (attributes). This involves documenting critical step analysis, in which the unit operations are challenged during the process qualification stage to determine, using either “worst case” analysis or a fractional factorial design, critical process variables that may affect overall process performance. During formal, three-batch, prospective validation, critical process variables should be set within their operating ranges and should not exceed their upper and lower control limits during process operation. Output responses should fall well within finished product specifications.

Retrospective validation involves using the accumulated in-process production and final product testing and control (numerical) data to establish that the product and its manufacturing process are in a state of control. Valid in-process results should be consistent with the drug products’ final specifications and should be derived from previous acceptable process average and process variability estimates, where possible, and determined by the application of suitable statistical

procedures, that is, quality control charting, where appropriate. The retrospective validation option is selected when manufacturing processes for established products are considered to be stable and when, on the basis of economic considerations and resource limitations, prospective qualification and validation experimentation cannot be justified.

Before undertaking either prospective or retrospective validation, the facilities, equipment, and subsystems used in connection with the manufacturing process must be qualified in conformance with cGMP requirements.

Concurrent validation is conducted under a protocol during the course of normal production. The first three production-scale batches must be monitored as comprehensively as possible. The evaluation of the results is used in establishing the acceptance criteria and specifications of subsequent in-process control and final product testing. Some form of concurrent validation, using statistical process control techniques (quality control charting), may be used throughout the product manufacturing life cycle.

Revalidation is required to ensure that changes in-process or in the process environment, whether introduced intentionally or unintentionally, do not adversely affect product specifications and quality characteristics. Firms should put a quality assurance system (change control) in place that requires revalidation whenever there are significant changes in formulation, equipment, process, and packaging that may affect product and manufacturing process performance. Furthermore, when a change is made in a raw material supplier, the supplier of API should be apprised of the critical requirements of impurities. Revalidation is often required in following conditions:

- Change in an API or a key excipient, or primary packaging
- Change or replacement in a critical piece of equipment
- Significant change in processing conditions that are known to affect either subsequent unit operations or product quality
- Change in a location, site, or support system (e.g., utilities)
- Significant change in batch size from what was validated and that affects the operation of or selection of manufacturing equipment
- Where several batches fail sequentially

Process performance requalification studies before revalidation assignments are currently required for sterile products only; some of these issues can be covered in the yearly filings. However, firms are urged to review the most current SUPAC guidelines for the specific type of product manufactured.

An important document that all firms must have is the validation master plan, which enables the creation of an overview of the validation effort. This plan should be put together early in the drug development process and updated on a regular basis as the drug product enters various stages of development. The plan is basically a layout of how the various activities will be performed against a predetermined timeline [perhaps using

Gantt or Program Evaluation and Review Technique (PERT) chart format]. Of significance are the critical paths in the plan and how they are linked to objective achievement.

The validation program generally follows the following order:

- Selection of raw materials and components
- IQ/OQ of facilities, equipment, and systems
- Performance and process qualification stages
- Protocol-driven, three-batch, formal process validation

Running these in series and in parallel, much time can be conserved. The three stages with respect to equipment qualification are sometimes referred to as Equipment Validation, comprising IQ, which ensures that a piece of equipment has been correctly calibrated and installed in accordance with the equipment manufacturer's recommendations (proper voltage, amperage, clearance from wall, exhaust requirements, etc.). It is important to understand that IQ is also required for all utility systems. In most instances, once the installation is complete, IQ cannot be performed retroactively, such as in the case of heating, ventilation, and air-conditioning or water systems; the FDA considers this phase of planning crucial in evaluating the readiness for compliance with GMP regulations. The next phase is OQ, comprising procedures and documentation that show that the facility, support system, or piece of equipment performed as intended throughout all anticipated operating ranges under a suitable load. In this phase the systems or equipment are challenged to the limits of operation. The final phase is PQ, which demonstrates that the facility, support system, or piece of equipment performed according to a predefined protocol and achieved process reproducibility and product acceptability.

Given below is a proposed outline for a prototype validation protocol:

1. Purpose of the entire validation and prerequisites
2. Description of the entire process and subprocesses, including flow diagram and critical step analysis
3. Validation protocol approvals
4. IQ and OQ, including blueprints or drawings
5. Qualification report or reports for each subprocess
 - a. Purpose
 - b. Methods/procedures
 - c. Sampling and testing procedures, release criteria; for example, reporting function
 - d. Calibration of test equipment used; for example, test data
 - e. Summary of results
 - f. Approval and requalification procedure
6. Product qualification, test data from prevalidation batches
7. Product validation, test data from three formal validation batches
8. Evaluation and recommendations (including revalidation/requalification requirements)
9. Certification (approval)
10. Summary report with conclusions

The validation protocol and report may also include the product stability data or a summary and documentation concerning cleaning and analytical validation.

The pilot-production program is generally a result of cooperation between the development laboratories and the manufacturing department. Technology transfer documentation applies to processes as well as to the systems being qualified and validated and their testing standards and testing methods. This documentation is important, particularly where an NDA is involved.

The concept of validation should be incorporated during every phase of product and process development:

1. Pre-formulation studies incorporate API qualification and evaluation of key excipients. Studies should incorporate studies of combinations of API and excipients and a rationale developed for the levels of various excipients chosen. Interactions between the API and excipients are expected and should not form the basis of altering the choice so long as data can be collected to show that the API is available through the shelf life.
2. Once a selection of ingredients is made, the work is transferred to the formulation laboratory to establish preliminary product design as well as prototype formulations. If the product manufactured at this level is to be used in humans, the manufacturing should be done at a GMP level.
3. Once a laboratory batch (often called 1 \times) has been determined to be both physically and chemically stable based on accelerated, elevated-temperature testing (i.e., 1 month at 45°C or 3 months at 40°C or 40°C/80% RH), the next step is to scale the product and its process to a (10 \times) pilot-laboratory-size batch or batches. The pilot-laboratory-size batch represents the first replicated scale-up of the designated formula. The size of the pilot-laboratory batch will usually range between 10 and 100 kg, 10 and 100 L, or 10,000 and 100,000 U. These pilot-laboratory batches are often used in clinical trials and bioequivalency studies. According to the FDA, the minimum requirement for a biobatch is 100,000 U. The pilot-laboratory batches are usually prepared in small pilot equipment within a designated cGMP-ready facility. Process-development (process-qualification) or process-capability studies are normally started in this important stage of the scale-up sequence. To evaluate the critical control parameters and their unit operation, constraint analysis techniques followed by fractional factorial designs are often used to challenge the tentative control limits (so-called "worst-case analysis") established for the process at this intermediate stage.
4. A pilot production is at about a 100 \times level; in general, the full-scale batch and the technology transfer at this stage should comprise pre-formulation information, product development report, and product

stability and analytical methods reports. This is the time to finalize the batch production documentation for the 100% level. The objectives of pre-validation trials at this stage are to qualify and optimize the process in full-scale production equipment and facilities. These studies should not be rushed, as they are followed by a formal validation cycle, and rushing the pre-validation protocols may result in costly errors later on.

5. The formal validation is often completed after the PAI, where three-batch process validation will be conducted in accordance with the protocol approved during the pre-approval inspection. The primary objective of the formal process validation exercise is to establish process reproducibility and consistency. Such validation must be completed before entering the market. The formal validation studies continue through packaging and labeling operations (in whole or in part), so that machinability and stability of the finished product can be established and documented in the primary container-closure system.

H. CHANGE CONTROL

Changes in the processes, systems, and formulations are inevitable. However, procedures for change control should be in place before, during, and after the completion of the formal validation program—to ensure that the process continues in a validated, operational state even when small noncritical adjustments and changes have been made to the process. These changes should be critically reviewed by the validation or CMC committee. The change control system allows innovation and process improvements, making it more flexible without prior formal review on the part of the NDA- and ANDA-reviewing function of the FDA. The supplemental procedures with respect to the Chemistry and Manufacturing Control sections of NDAs and ANDAs are covered through annual SUPAC review documentation procedures, with change control procedures providing assurance that process validation will remain more pro-innovative.

1 Cleaning Validation

According to Section 211.67 Equipment Cleaning and Maintenance of cGMP regulations, equipment and utensils should be cleaned, maintained, and sanitized at appropriate intervals to prevent malfunction or contamination that would alter the safety, identity, strength, quality, or purity of the drug product. This includes materials used in clinical trials as well as the commercial drug product. Written SOPs must ensure that cleaning and maintenance of equipment in both product development laboratories and manufacturing facilities is strictly adhered to. Records should be kept of maintenance, cleaning, sanitizing, and inspection. These records are likely to be requisitioned by the FDA during the course of the pre-approval inspection. The objective of cleaning validation of equipment and utensils is to reduce the residues of one product below established limits so that the residue of the previous

product does not affect the quality and safety of the subsequent product manufactured in the same equipment. Some of the equipment design considerations include type of surface to be cleaned (stainless steel, glass, plastic), use of disposables or dedicated equipment and utensils (bags, filters, etc.), use of stationary equipment (tanks, mixers, centrifuges, presses, etc.), use of special features (clean-in-place systems, steam-in-place systems), and identification of the difficult-to-clean locations on the equipment (so-called “hot spots” or critical sites). It is important to realize that the FDA has tightened significantly the cleaning validation policies, particularly if there are biological products involved; the therapeutic proteins and peptides are specifically the target of FDA inspection.

The cleaning procedures define in certain terms the amounts and the specific type of cleaning agents or solvents used, and the procedure includes complete details about what is to be cleaned and how it is to be cleaned. As always, the methods focus on the worst-case conditions, such as the higher-strength, least soluble, most difficult-to-clean formulations manufactured within the facility that may be alternated. Cleaning procedures should identify the time between processing and cleaning, cleaning sequence, equipment dismantling procedure, need for visual inspection, and provisions for documentation.

The analytical methods chosen to validate the cleaning process may include the HPLC, TLC, spectrophotometry, TOC (total organic carbon), pH, conductivity, gravimetric, and so forth. The sampling techniques chosen may include direct surface sampling, using swabs and gauze or rinsing, depending on the residue limit to be established on the basis of the sampling site, type of residue sought, and equipment configuration (critical sites vis-a-vis large surface area) consideration. The analytical and sampling methods should be challenged in terms of specificity, sensitivity, and recovery. The residue limits to validate the cleaning must be practical, achievable, and verifiable, and they must ensure safety. The potency of the selected drug and the presence of degradation products, cleaning agents, and microorganisms should be taken into consideration.

As a general rule, use these limits: not more than 10 ppm, not more than 0.001 of the dose of any product will appear in the maximum daily dose of another product, and no physical or chemical residue will be visible on the equipment after cleaning procedures have been performed.

2 Analytical Methods Validation

Nothing is more critical to a successful PAI than an elegant presentation of analytic methods validation in the eyes of the FDA investigators. Not only does this tell the investigators about the assurance provided for the correct testing of the product, but this also reflects on the overall understanding of the firm on compliance with the cGMP. Analytical methods go to the heart of a validated process for drug product manufacture. To establish what is tested and what the amounts involved are may appear a simple process at the outset, yet there remain many elaborate steps that will ensure that every time an analysis is performed, the test results can be relied

on. Analytical methods that form the technical package for a product include not only the API but also inert excipients, the impurities in both, the residue from previously used materials and operations, the composition of in-process blends and compositions, and obviously the finished product before its release. To ascertain that the methods used are qualified for each of these phases of testing, a large volume of data is generally collected at all stages of product development, for scale-up and final manufacturing batches, and at all stages of validation and stability protocol development.

While validating a production process, several steps were listed as they pertained to each of the components of manufacturing: equipment, process conditions, personnel, and so forth. These key elements multiply rapidly when it comes to analytical methods validation. Take, for example, HPLC—the most commonly used method of analysis. A typical analytical method would involve the use of columns, pumps, heaters, detectors, controllers, samplers, sensors, recorders, computers, reagents, standards, and operators—put together as a system. Each of these components and systems needs independent validation, followed by a validation of the system. Note that when this equipment is used to manufacture a product such as therapeutic proteins wherein HPLC techniques are used for the purification purpose, then all additional requirements of a manufacturing system also apply, including, but not limited to, the requirement that the equipment be of a sanitary kind. This limits the choice for manufacturers, and these considerations should be taken into account in the first selection of equipment.

The suitability of analytic method must be clearly demonstrated. This involves developing data on accuracy, precision, and linearity over the range of interest; that is, 80% to 120% of label potency. Data demonstrating the specificity, sensitivity, and ruggedness of the method and the limits for degradation products or impurities should be included. It is also important to study degradation products and impurities, which should be adequately identified and characterized. Data collected must demonstrate recovery of actives and lack of interference from other components, reagents, and standards. In addition, data characterizing day-to-day, laboratory-to-laboratory, analyst-to-analyst, and column-to-column variability should be developed to supplement reproducibility and ruggedness information. The validated analytical method should be stability-indicating. Recognition by an official compendium will often simplify the requirements listed above, but it still requires a verification process. Biological assay methods as well as the identification and analysis of microorganisms should be held to similar but reasonable standards in conformance with the limitation of biological testing.

3 Computer System Validation

New to the industry is the requirement that all electronically kept records be validated in accordance with the CFR (title 21, volume 1, part 11 revised April 1, 2003 requirement. This is particularly true of instances in which the systems are custom-designed and, furthermore, where computer-controlled automated processes are used. There remain many

misconceptions about makes up computer validation. The CFR guideline as listed below should be well understood:

PART 11—ELECTRONIC RECORDS; ELECTRONIC SIGNATURES

SUBPART A—GENERAL PROVISIONS

Sec. 11.1 Scope.

- (a) The regulations in this part set forth the criteria under which the agency considers electronic records, electronic signatures, and handwritten signatures executed to electronic records to be trustworthy, reliable, and generally equivalent to paper records and handwritten signatures executed on paper.
- (b) This part applies to records in electronic form that are created, modified, maintained, archived, retrieved, or transmitted, under any records requirements set forth in agency regulations. This part also applies to electronic records submitted to the agency under requirements of the Federal Food, Drug, and Cosmetic Act and the Public Health Service Act, even if such records are not specifically identified in agency regulations. However, this part does not apply to paper records that are, or have been, transmitted by electronic means.
- (c) Where electronic signatures and their associated electronic records meet the requirements of this part, the agency will consider the electronic signatures to be equivalent to full handwritten signatures, initials, and other general signings as required by agency regulations, unless specifically excepted by regulation(s) effective on or after August 20, 1997.
- (d) Electronic records that meet the requirements of this part may be used in lieu of paper records, in accordance with sec. 11.2, unless paper records are specifically required.
- (e) Computer systems (including hardware and software), controls, and attendant documentation maintained under this part shall be readily available for, and subject to, FDA inspection.

Sec. 11.2 Implementation.

- (a) For records required to be maintained but not submitted to the agency, persons may use electronic records in lieu of paper records or electronic signatures in lieu of traditional signatures, in whole or in part, provided that the requirements of this part are met.
- (b) For records submitted to the agency, persons may use electronic records in lieu of paper records or electronic signatures in lieu of traditional signatures, in whole or in part, provided that:
 - (1) The requirements of this part are met; and
 - (2) The document or parts of a document to be submitted have been identified in public docket No. 92S-0251 as being the type of submission the

agency accepts in electronic form. This docket will identify specifically what types of documents or parts of documents are acceptable for submission in electronic form without paper records and the agency receiving unit(s) (e.g., specific center, office, division, branch) to which such submissions may be made. Documents to agency receiving unit(s) not specified in the public docket will not be considered as official if they are submitted in electronic form; paper forms of such documents will be considered as official and must accompany any electronic records. Persons are expected to consult with the intended agency receiving unit for details on how (e.g., method of transmission, media, file formats, and technical protocols) and whether to proceed with the electronic submission.

Sec. 11.3 Definitions.

- (a) The definitions and interpretations of terms contained in section 201 of the act apply to those terms when used in this part.
- (b) The following definitions of terms also apply to this part:
 - (1) Act means the Federal Food, Drug, and Cosmetic Act [secs. 201–903 (21 U.S.C. 321–393)].
 - (2) Agency means the Food and Drug Administration.
 - (3) Biometrics means a method of verifying an individual’s identity based on measurement of the individual’s physical feature(s) or repeatable action(s) where those features and/or actions are both unique to that individual and measurable.
 - (4) Closed system means an environment in which system access is controlled by persons who are responsible for the content of electronic records that are on the system.
 - (5) Digital signature means an electronic signature based upon cryptographic methods of originator authentication, computed by using a set of rules and a set of parameters such that the identity of the signer and the integrity of the data can be verified.
 - (6) Electronic record means any combination of text, graphics, data, audio, pictorial, or other information representation in digital form that is created, modified, maintained, archived, retrieved, or distributed by a computer system.
 - (7) Electronic signature means a computer data compilation of any symbol or series of symbols executed, adopted, or authorized by an individual to be the legally binding equivalent of the individual’s handwritten signature.
 - (8) Handwritten signature means the scripted name or legal mark of an individual handwritten by that individual and executed or adopted with the present intention to authenticate a writing in a permanent form. The act of signing with a writing or marking instrument such as a pen or stylus is preserved. The scripted name or legal mark, while conventionally applied to paper, may also be applied to other devices that capture the name or mark.
 - (9) Open system means an environment in which system access is not controlled by persons who are responsible for the content of electronic records that are on the system.

SUBPART B—ELECTRONIC RECORDS

Sec. 11.10 Controls for closed systems.

Persons who use closed systems to create, modify, maintain, or transmit electronic records shall employ procedures and controls designed to ensure the authenticity, integrity, and, when appropriate, the confidentiality of electronic records, and to ensure that the signer cannot readily repudiate the signed record as not genuine. Such procedures and controls shall include the following:

- (a) Validation of systems to ensure accuracy, reliability, consistent intended performance, and the ability to discern invalid or altered records.
- (b) The ability to generate accurate and complete copies of records in both human readable and electronic form suitable for inspection, review, and copying by the agency. Persons should contact the agency if there are any questions regarding the ability of the agency to perform such review and copying of the electronic records.
- (c) Protection of records to enable their accurate and ready retrieval throughout the records retention period.
- (d) Limiting system access to authorized individuals.
- (e) Use of secure, computer-generated, time-stamped audit trails to independently record the date and time of operator entries and actions that create, modify, or delete electronic records. Record changes shall not obscure previously recorded information. Such audit trail documentation shall be retained for a period at least as long as that required for the subject electronic records and shall be available for agency review and copying.
- (f) Use of operational system checks to enforce permitted sequencing of steps and events, as appropriate.
- (g) Use of authority checks to ensure that only authorized individuals can use the system, electronically sign a record, access the operation or computer system input or output device, alter a record, or perform the operation at hand.
- (h) Use of device (e.g., terminal) checks to determine, as appropriate, the validity of the source of data input or operational instruction.
- (i) Determination that persons who develop, maintain, or use electronic record/electronic signature systems have the education, training, and experience to perform their assigned tasks.

- (j) The establishment of, and adherence to, written policies that hold individuals accountable and responsible for actions initiated under their electronic signatures, in order to deter record and signature falsification.
- (k) Use of appropriate controls over systems documentation including:
 - (1) Adequate controls over the distribution of, access to, and use of documentation for system operation and maintenance.
 - (2) Revision and change control procedures to maintain an audit trail that documents time-sequenced development and modification of systems documentation.

Sec. 11.30 Controls for open systems.

Persons who use open systems to create, modify, maintain, or transmit electronic records shall employ procedures and controls designed to ensure the authenticity, integrity, and, as appropriate, the confidentiality of electronic records from the point of their creation to the point of their receipt. Such procedures and controls shall include those identified in sec. 11.10, as appropriate, and additional measures such as document encryption and use of appropriate digital signature standards to ensure, as necessary under the circumstances, record authenticity, integrity, and confidentiality.

Sec. 11.50 Signature manifestations.

- (a) Signed electronic records shall contain information associated with the signing that clearly indicates all of the following:
 - (1) The printed name of the signer;
 - (2) The date and time when the signature was executed; and
 - (3) The meaning (such as review, approval, responsibility, or authorship) associated with the signature.
- (b) The items identified in paragraphs (a)(1), (a)(2), and (a)(3) of this section shall be subject to the same controls as for electronic records and shall be included as part of any human readable form of the electronic record (such as electronic display or printout).

Sec. 11.70 Signature/record linking.

Electronic signatures and handwritten signatures executed to electronic records shall be linked to their respective electronic records to ensure that the signatures cannot be excised, copied, or otherwise transferred to falsify an electronic record by ordinary means.

SUBPART C—ELECTRONIC SIGNATURES

Sec. 11.100 General requirements.

- (a) Each electronic signature shall be unique to one individual and shall not be reused by, or reassigned to, anyone else.
- (b) Before an organization establishes, assigns, certifies, or otherwise sanctions an individual's electronic signature, or any element of such electronic signature,

the organization shall verify the identity of the individual.

- (c) Persons using electronic signatures shall, prior to or at the time of such use, certify to the agency that the electronic signatures in their system, used on or after August 20, 1997, are intended to be the legally binding equivalent of traditional handwritten signatures.
 - (1) The certification shall be submitted in paper form and signed with a traditional handwritten signature, to the Office of Regional Operations (HFC-100), 5600 Fishers Lane, Rockville, MD 20857.
 - (2) Persons using electronic signatures shall, upon agency request, provide additional certification or testimony that a specific electronic signature is the legally binding equivalent of the signer's handwritten signature.

Sec. 11.200 Electronic signature components and controls.

- (a) Electronic signatures that are not based upon biometrics shall:
 - (1) Employ at least two distinct identification components such as an identification code and password.
 - (i) When an individual executes a series of signings during a single, continuous period of controlled system access, the first signing shall be executed using all electronic signature components; subsequent signings shall be executed using at least one electronic signature component that is only executable by, and designed to be used only by, the individual.
 - (ii) When an individual executes one or more signings not performed during a single, continuous period of controlled system access, each signing shall be executed using all of the electronic signature components.
 - (2) Be used only by their genuine owners; and
 - (3) Be administered and executed to ensure that attempted use of an individual's electronic signature by anyone other than its genuine owner requires collaboration of two or more individuals.
- (b) Electronic signatures based upon biometrics shall be designed to ensure that they cannot be used by anyone other than their genuine owners.

Sec. 11.300 Controls for identification codes/passwords.

Persons who use electronic signatures based upon use of identification codes in combination with passwords shall employ controls to ensure their security and integrity. Such controls shall include:

- (a) Maintaining the uniqueness of each combined identification code and password, such that no two

- individuals have the same combination of identification code and password.
- (b) Ensuring that identification code and password issuances are periodically checked, recalled, or revised (e.g., to cover such events as password aging).
 - (c) Following loss management procedures to electronically deauthorize lost, stolen, missing, or otherwise potentially compromised tokens, cards, and other devices that bear or generate identification code or password information, and to issue temporary or permanent replacements using suitable, rigorous controls.
 - (d) Use of transaction safeguards to prevent unauthorized use of passwords and/or identification codes, and to detect and report in an immediate and urgent manner any attempts at their unauthorized use to the system security unit, and, as appropriate, to organizational management.
 - (e) Initial and periodic testing of devices, such as tokens or cards, that bear or generate identification code or password information to ensure that they function properly and have not been altered in an unauthorized manner.

To understand fully the importance of computer validation, one must realize that computers can perform the functions humans used to. Instructions such as SOPs are needed to instruct humans as to what functions to perform and in what order. When computers are used, these instructions are programmed. Computer systems are extensions of the processes that they are designed to control or monitor; as a result, all computer-controlled manufacturing is subject to validation. With exponential increase in PLC-based manufacturing systems, the FDA has begun to place strict requirements on computer validation. A computer system consists of hardware, that is, physical and calibration devices, sensors, input/output devices, transducers, or equipment, and its companion software, which is used to generate records, instructions, or data. Source codes and supporting software documentation used in drug process control is considered to be part of the master production and control records under cGMP interpretation. The computer systems may comprise

- Computer-integrated manufacturing
- Analytical instrumentation and automated laboratory practices
- Computer-controlled electronic signature systems
- Computer-integrated packaging operations
- Laboratory information-management systems
- Computer systems for good clinical practice
- Computer-assisted medical devices

The categories listed above require qualification and validation documentation. It is advisable that process automation and companion computer-integrated manufacturing operations not be initiated until sufficient prospective and concurrent validation studies have been completed.

The requirements for hardware validation are identical to those of any other equipment in use, comprising the OQ/IQ/PQ cycle, except that in the PQ, it is the test of software used. The software validation comprises functional testing, in which defined inputs produce outputs that meet expectations or specifications; a thorough examination of source codes, database designs, programming standards, control methods, and support documentation; or a quality-assurance program that includes alternate plans, contingency practices, record retrieval, and security practices.

DOCUMENTATION STANDARDS

The cliché of the three Ds—documents, documents, and more documents—is apt for FDA PAI inspections. Historically, the regulatory agencies have relied heavily on cross-checking documents to ascertain the state of compliance with the cGMP regulations. The documents of critical importance are the batch records that contain detailed information about the batch history. It is often difficult for a firm to “fudge” these documents, although many have tried. What is important to understand here is that the entire batch record is crosschecked with the purchase requisitions, delivery documents, testing documents, and final release documents. It is almost impossible to create a system that would fool the FDA inspectors. The firms are advised that a low level of due diligence will expose the trial of doing paperwork. Included in the batch records are the date of manufacture, the identity of major equipment and lines used, specific identification of each batch of component or in-process material used, weights and measures of components used in the course of processing, in-process and laboratory control results, inspection of the packaging and labeling area before and after use, a statement of the actual yield and a statement of the percentage of theoretical yield at appropriate phases of processing, complete labeling control records, including specimens or copies of all labeling used, description of drug product containers and closures, any sampling performed, identification of the persons performing and directly supervising or checking each significant step in the operation, any deviation report resulting from an investigation made according to 21 CFR 211.192, and results of examinations made in accordance with 21 CFR 211.134 (packaging and labeling inspections).

Change control is the procedural system through which changes are reviewed, justified, documented, approved, and implemented in conformance with regulatory and corporate requirements. To support a strong change control system, the firms must have a series of documents available that includes a summary of all changes made to date that affect the manufacturing process being considered for approval; individual reports that are written to review, justify, approve, and implement specific changes that affect the manufacturing process being considered for approval; any change control reports for facilities, manufacturing processes, and cleaning processes; or analytical laboratory methods that are related to the NDA/ANDA process being submitted. As it is a routine that changes are made in the development timeline, a rigid change

control system may not work all the time. It is therefore recommended that the firms must have available for the FDA investigators a history of changes made, along with justification for the changes. It is important for the firms to know that the investigators arriving at the site may not have a copy of the filing made to the FDA, such as the CMC section of the application. Firms are advised to have a “third” copy available. The requirements of the CMC section are given below; these requirements also apply to supplements, except that the information required in the supplement is limited to that needed to support the change being submitted.

1. Batch production record.
2. Specifications and test procedures for each component and for the drug product.
3. Names and addresses of the sources of the active and noncompensial inactive components and of the container closure system for the drug product.
4. Results of any test performed on the components used in the manufacture of the drug product and on the drug product.
5. Name and address of each contract facility involved in the manufacture, processing, packaging, or testing of the drug product and identification of the operation performed by each contract facility.
6. Proposed or actual master production record, including a description of the equipment to be used for the manufacture of a commercial lot of the drug product or a comparably detailed description of the production process for a representative batch of the drug product must be provided for all initial NDAs; ANDAs must contain a proposed or actual master production record.

1 Development History Report

A historic summary of the development of the product serves many purposes. The foremost purpose is to apprise the investigators of the scope of inspection. The investigators learn more about the product from the history of its development than from the analysis report of the finished product. This shows the awareness of the firm about the development process. This document should include a description of the API, the formulation, and the analytical methods. These sections should be clearly marked or presented in separate binders. The summary section should highlight how the biobatch is linked to the full-scale batch with respect to validation and scale-up of production. This section also offers an opportunity for the firm to address the issues that it considers critical.

2 Deviation Records

Deviations are inevitable, whether they occur in the production or the testing of the product; obviously, a broader standard is used during development than in full-scale production. The important thing is that all deviations should be recorded, a justification should be provided for the decision to deviate, and a description of its potential effect on the quality of product should be provided. Of most significance to the FDA

is the reason for entering into a deviation: Is it because the process was not adequately characterized or validated? Or was it because of inevitable circumstances, such as a breakdown in the system? A logbook describing deviations is one way the firm may show to the FDA is diligence in ensuring compliance with the cGMP regulations. Nothing makes the FDA more suspicious than a blank log stating that there were no deviations. In addition, these log reports offer an excellent medium for internal QA audits. Firms need to understand that the purpose of cGMP compliance is ensuring the quality and safety of the product, not necessarily adhering to a particular process or composition. Obviously, the requirements of validation make it necessary that any deviation converted into regular practice must be properly validated.

3 Installation, Operational, and Performance Qualification

The IQ/OQ/PQ documents pertaining to all manufacturing equipment, analytical equipment, or systems should be available for inspection. In many instances, firms consider their development laboratories as not needing to be as rigidly compliant for these documentation requirements as their manufacturing facilities are. This creates serious problems at PAI if the development laboratory produces a biobatch. Furthermore, the process or method transfer becomes a serious problem if unqualified equipment or processes are used in the development cycle. Firms are strongly urged to treat their development laboratory as if they were cGMP-compliant facilities.

4 Organizational Chart

Organizational charts establish that an adequate number of personnel are available to perform and supervise the manufacture, processing, packaging, or holding of a drug product (21 CFR 211.25), that a proper chain of responsibility has been established in supervisors of manufacturing processes, and that there is an appropriate separation of responsibilities for manufacturing operations and the quality unit. These charts should be available for both the development organizations and the commercial manufacturing organizations.

5 Products List

To evaluate how the product submitted may be affected by the manufacturing of other products in the same premises, a complete list of all products manufactured should be provided to the PAI team on the first day of inspection. The FDA considers cross-contamination issues critical; should there be a serious objection raised, the PAI team will refuse to continue the audit. Firms are strongly urged to review the cGMP guidelines and the guidance documents provided by the FDA: Some basic rules about the cephalosporins, penicillins, hormones, and biological products are well known; however, when in doubt, do not hesitate to write the FDA to seek clarification before beginning the production of a new product. It is noteworthy that a single batch of a forbidden entity in the premises may render the premises unsuitable forever if proper validation could not be performed. For example, if a penicillin or cephalosporin product is manufactured on the premises,

these premises can no longer be used for any other product, as it would be difficult to prove the absence of contaminants.

6 Drawings

Site plan drawings should be available for facilities used in clinical trial material production as well as for those at which commercial products will be produced. These drawings quickly show how the facility is constructed and controlled and include the floor plan, which shows the proper segregation of areas by walls, airlocks, and doors; these plans are useful to demonstrate people and equipment flow, showing that clean personnel and equipment do not cross paths with dirty personnel and equipment. Also, there should be a broader facility and grounds plan showing the relative position and location of various buildings in the facility. This is particularly useful where multiple buildings are used to finish the product or to test it, as the security of the batch in transit and the possibility of contamination are key issues to be resolved. In addition, drawings of the utility systems, such as the heating, ventilation, and air-conditioning systems and water systems, should be available. Firms are advised that they may request the FDA to review these drawings before the visit, perhaps at the time of installation, to make sure that the basic guidelines are adhered to.

7 Stability Data

Some of the most significant data that the PAI team confirm is the stability profile of the product; most likely the raw data would be examined if the presentation of the summary data appears flawed.

8 SOPs

SOPs relevant to basic systems and operations should be provided in a neatly arranged folder starting with the master validation plan, product, personnel, and process management. A comprehensive index should be attached.

9 Training Records

It is a cGMP requirement (21 CFR 211.25 a, b) that personnel have education, training, or experience that enables them to perform their assigned task. These training records should include the training curriculum for each individual, as well as the list of completed courses. These records should be made available for all personnel who manufacture, process, package, test, or release clinical trial materials and the commercial product. Firms are strongly reminded that in most inspections the FDA finds this to be one of the weakest areas. For example, some of the common FDA citations for training violations include lack of formal training documentation, lack of training in GMP regulations on an ongoing basis, lack of a formal job function training program, lack of a system for evaluating or monitoring employees to ensure that training was effective, no provision for retraining individuals on a periodic basis to ensure that employees remain familiar with the requirements applicable to them, no provision for training employees on recently revised procedures, and no provision

for ensuring that employees were trained before they perform job functions. Training records should also include details about how the new employees are trained to follow the company's SOPs, rules, and other regulations. The SOP reading and understanding records, therefore, form vital evidence that the FDA examines to ensure that all employees have received adequate training in performing their tasks. Awareness and understanding of what is considered critical depend on the role the employee plays; for example, compliance with good laboratory practices or good clinical practice may be relevant to some, but not all, employees. Safety training, job function training, and documentation training are additional requirements.

10 Validation Records

Validation protocols may include test parameters, product characteristics, production equipment specifications and settings, and decision points on what constitutes acceptable test results. Three types of validation protocols should be available during the PAI: cleaning, manufacturing process, and analytical methods. Any data associated with a completed protocol should also be made available. Also, if there had been any retrospective validation, these data should also be available.

11 Technology Transfer and Scale-Up

The goal of technology transfer and scale-up is to show, through process control, that any modifications made from conception to implementation have been appropriately evaluated and documented and that the product is safe, pure, and effective. The technology transfer master plan comprises three components: the documents, the writing style, and the illustration of equivalents. The development stage documents are often abbreviated, and the files are not necessarily as complete as in the case of full-scale production; also, in addition, the language used often differs as the audience changes from a scientist to a line worker. It is important also to show how the equivalent processes were selected; for example, when using a small dryer, how can the use of large fluid bed dryer be labeled as equivalent?

12 Quality Policy

The quality policy is a global document for the company that covers such issues as recalls, employee training and certification, and overall impact analysis of product and process changes. Customer expectations, materials specifications, and laws and regulations may also affect the number of personnel needed and the way quality functions are subdivided into manageable work units. Of importance for inclusion in the quality systems description are the documenting controls, including clearance and issuance of production records, procedures, specifications, and so forth; internal and vendor audits; sampling, examination, and approval of materials, including packaging and labeling (often administered by the laboratory component of the department); Material Review Board representatives; verifying yields and other critical production data through production record audits; finished product release; accompanying FDA investigators and external

auditors; administering or contributing to cGMP, safety, or other required training programs; ensuring the investigations of product failures, process deviations, laboratory out-of-specification findings, and consumer complaints; monitoring approval and implementation of corrective action plans and change controls; on-site verification of the performance of critical production operations such as clearing labeling equipment and lines; review and approval of the product development records and documents transferring a product from development to commercial production; validation/qualification protocols and summary reports acceptance; and annual cGMP review. In addition, some functions are delegated to the engineering group to complete, and these include statistical process control and trend analyses; calibration of instruments and equipment, including out-of-specification follow-up; and analysis of reports of extraordinary maintenance and preventative maintenance failures.

13 Vendor Approval

The ISO 9001 and ISO 9002 Quality Standards require manufacturers to select vendors on the basis of their ability to meet purchase specifications. By ISO 9004 definition, this includes meeting regulatory requirements and safety standards. The FDA's cGMP regulations 21 CFR 211.84(a) through (e) require a manufacturer to test and approve or reject components,

drug product containers, and closures. 21 CFR 211.84(d)(2) requires the manufacturer to test each component for conformity with written specifications for purity, strength, and quality or to accept the supplier's report of analysis. 21 CFR 211.84(d)(3) requires the manufacturer to test containers and closures for conformance with all appropriate written procedures or to accept the supplier's report of analysis. Reports showing compliance with firm's vendor approval policy are required at the time of PAI.

14 Outside Contractors

When any work is contracted out, whether in manufacture or in the testing phase, the FDA will hold the firm where the deviation or deviations occurred responsible for violations of the cGMP regulations (21 CFR 210 and 211) that pertain to those services. However, the contractor and the application holder will be held jointly responsible for processes performed by the contractor to the extent that each party contributed to the violations. Performance of each party will be considered in determining whether one or both parties are subject to regulatory action for failure to comply with cGMPs. It is in the best interest of the applicant to perform due diligence in the selection of any contractor, as well as to audit the contractors to ensure they meet the regulatory requirements and the contractual commitments.

8 Formulation Factors in Uncompressed Dosage Forms

INTRODUCTION

A systematic development of pharmaceutical products is a formal exercise to optimize safety and delivery of active ingredients; a commercial product is supposed to provide assurance of consistency in the quality of the product when produced at a large scale. The formulation qualification requires that design of experiments (DOE) and statistical analysis are applied to establish the robustness of the formulation and the process of manufacturing. Innovations in statistical tools include multivariate analysis, artificial intelligence, and response surface methodology to enable a cost-effective development once the critical variables are identified. A universal goal of any formulation exercise is to use as few excipients as possible, as practiced through techniques such as the Plackett–Burman technique.

Uncompressed solids are subject to similar critical processes such as powder blending, homogeneity, and lubrication, though less intensely as required in compressed dosage forms; the uncompressed solids are also presented in granular and beadlike formulations, often used in the clinical testing stage, as well as in the final dosage form. Capsule excipients are similar to those required for the formulation of tablets and include diluents, binders, disintegrants, surfactants, glidants, lubricants, and dyes or colorants. The development of a capsule formulation follows the same principles as tablet development, and consideration should be given to the same BCS issues. The powder for encapsulation can comprise simple blends of excipients or granules prepared by dry granulation or wet granulation. There is a reduced requirement for compressibility, and often the flow properties are not as critical as in an equivalent tablet formulation. The degree of compressibility required is the major difference, and capsules can therefore be employed when the active ingredient does not possess suitable compression characteristics.

CAPSULES

Capsules are solid dosage forms in which one or more medicinal ingredients and/or inert substances are enclosed within a small shell or container generally prepared from a suitable form of gelatin. The amount of active ingredient per dose has a direct bearing on the proper size capsule to use. Because capsules usually require less excipients and additives, it is easier to get a more potent dosage without having to use a large-size capsule. While encapsulation adds cost due to the use of shells as well as slows production rates, more stringent atmospheric control for humidity to avoid sticking and possible cross-linking in the shell material can alter dissolution

and bioavailability. Other complexities specific to capsules include compliance with regulatory requirements, including the use of animal-source components, the coloring agents used. Encapsulation is the preferred form of drug delivery in preparing placebos and clinical test supplies wherein small runs are planned.

The capsulation process offers many advantages for designing modified-release products. The simple process of loading the drug onto nonpareil sugar beads and then coating them with a variety of release profiles offers the opportunity of not only separating the incompatible components, but also mixing granules that provide different release profiles, from instant release to step release to prolonged release. Equipment is available to fill several beads simultaneously into capsules, thus assuring dosing accuracies. (If granules with different coatings are mixed, segregation is likely because of the differences in their density.) Coated granules, if compressed, lose their release profiles.

The FDA provides a classification of capsules (Table 8.1)

MANUFACTURE OF HARD GELATIN SHELLS

Gelatin is a generic term for a mixture of purified protein fractions obtained either by partial acid hydrolysis (type A gelatin) or by partial alkaline hydrolysis (type B gelatin) of animal collagen. Type A normally originates from porcine skin while B is usually derived from animal bones, and they have different isoelectric points (7.0–9.0 and 4.8–5.0, respectively). The protein fractions consist almost entirely of amino acids joined together by amide linkages to form linear polymers, varying in molecular weight from 15,000 to 250,000. Gelatin can comprise a mixture of both types in order to optimize desired characteristics, with bone gelatin imparting firmness while porcine skin gelatin provides plasticity. Gelatin Bloom strength is measured in a Bloom gelometer, which determines the weight in grams required to depress a standard plunger in a 6.67% w/w gel under standard conditions. Bloom strength and viscosity are the major properties of interest for the formulation of capsules, and Bloom strength of 215–280 is used in capsule manufacture.

In addition to gelatin, the shells may contain colorants, opacifiers, and preservatives (often parabens esters). There are eight standard capsule sizes, and the largest capsule size considered suitable for oral use is size 0 (Table 8.2).

To manufacture the shells, pairs of molds, for the body and the cap, are dipped into an aqueous gelatin solution (25–30% w/w), which is maintained at about 50°C in a jacketed heating pan. As the pins are withdrawn, they are rotated to distribute the gelatin evenly and blasted with cool air to set the film.

TABLE 8.1
FDA Classification of Capsule Types

Capsule 600	A solid dosage form in which the drug is enclosed within either a hard or soft soluble container or "shell" made from a suitable form of gelatin.
Capsule, coated 602	A solid dosage form in which the drug is enclosed within either a hard or soft soluble container or "shell" made from a suitable form of gelatin; additionally, the capsule is covered in a designated coating.
Capsule, coated, extended release 611	A solid dosage form in which the drug is enclosed within either a hard or soft soluble container or "shell" made from a suitable form of gelatin; in addition, the capsule is covered in a designated coating, which releases a drug (or drugs) in such a manner to allow at least a reduction in dosing frequency as compared with the same drug (or drugs) presented as a conventional dosage form.
Capsule, coated pellets 603	A solid dosage form in which the drug is enclosed within either a hard or soft soluble container or "shell" made from a suitable form of gelatin; the drug itself is in the form of granules to which varying amounts of coating have been applied.
Capsule, delayed release 620	A solid dosage form in which the drug is enclosed within either a hard or soft soluble container made from a suitable form of gelatin, which releases a drug (or drugs) at a time other than promptly after administration. Enteric-coated articles are delayed-release dosage forms.
Capsule, delayed-release pellets 621	A solid dosage form in which the drug is enclosed within either a hard or soft soluble container or "shell" made from a suitable form of gelatin; the drug itself is in the form of granules to which enteric coating has been applied, thus delaying release of the drug until its passage into the intestines.
Capsule, extended release 610	A solid dosage form in which the drug is enclosed within either a hard or soft soluble container made from a suitable form of gelatin, which releases a drug (or drugs) in such a manner to allow a reduction in dosing frequency as compared with the same drug (or drugs) presented as a conventional dosage form.
Capsule, film coated, extended release 612	A solid dosage form in which the drug is enclosed within either a hard or soft soluble container or "shell" made from a suitable form of gelatin; in addition, the capsule is covered in a designated film coating, which releases a drug (or drugs) in such a manner to allow at least a reduction in dosing frequency as compared with the same drug (or drugs) presented as a conventional dosage form.
Capsule, gelatin coated 605	A solid dosage form in which the drug is enclosed within either a hard or soft soluble container made from a suitable form of gelatin; through a banding process, the capsule is coated with additional layers of gelatin so as to form a complete seal.
Capsule, liquid filled 606	A solid dosage form in which the drug is enclosed within a soluble, gelatin shell that is plasticized by the addition of a polyol, such as sorbitol or glycerin, and is therefore of a somewhat thicker consistency than that of a hard shell capsule; typically, the active ingredients are dissolved or suspended in a liquid vehicle.

TABLE 8.2
Capsule Size and Corresponding Volume or Weight of Fill

Size	Volume (mL)	Fill weight ^a (g)
000	1.37	1.096
00	0.95	0.760
0	0.68	0.544
1	0.50	0.400
2	0.37	0.296
3	0.30	0.240
4	0.21	0.168
5	0.13	0.104

Source: Adapted from <http://capsugel.onlinemore.info/download/BAS192-2002.pdf>.

^aAssumes a powder density of 0.8 g/cm³.

Drying is carried out by passing dry air over the shell as heating temperatures are limited due to the low melting point of gelatin. The two parts are removed from the pins, trimmed, and joined using a prelock mechanism. The external diameter of the body is usually wider at the open end than the internal

diameter of the cap to ensure a tight fit. They can be made self-locking by forming indentations or grooves on the inside of both parts so that when they are engaged, a positive interlock is formed (e.g., Posilok, Conicap, Loxit).

Alternatively, they may be hermetically sealed using a band of gelatin around the seam between the body and the cap (Qualicaps). This can be applied without the application of heat and provide a tamper-evident seal. LEMS (liquid encapsulation microspray sealing) used in Licaps is a more elegant seal in which sealing fluid (water and ethanol) is sprayed onto the joint between the cap and body of the capsule. This lowers the melting point of gelatin in the wetted area. Gentle heat is then applied which fuses the cap to the body of the Licaps capsule. The moisture content of manufactured shells is 15–18% w/w and levels below 13% will result in problems with the capsule filling machinery. Therefore, capsules are stored and filled in areas where relative humidity is controlled to between 30 and 50%.

HARD-GELATIN CAPSULE FILLING

The filling material must be compatible with the gelatin shell and, therefore, deliquescent or hygroscopic materials cannot be used. Conversely, due the moisture content in the capsule

shells, they cannot be used for moisture-sensitive drugs. All ingredients need to be free of even trace amounts of formaldehyde to minimize cross-linking of gelatin.

Powders and granules are the most common filling materials for hard-shell gelatin capsules, although pellets, tablets, pastes, oily liquids, and nonaqueous solutions and suspensions have been used. Filling machines are differentiated by the way they measure the dose of material and range in capacity from bench-top to high-output, industrial, fully automated machines. Those that rely on the volume of the shell are known as capsule dependent, whereas capsule-independent forms measure the quantity to be filled in a separate operation.

The simplest dependent method of filling is leveling where powder is transferred directly from a hopper to the capsule body, aided by a revolving auger or vibration. Additional powder can be added to fill the space arising, and the fill weight depends on the bulk density of the powder and the degree of tamping applied.

Most automated machinery is of the independent type and compresses a controlled amount of powder using a low compression force (typically 50–200 *N*) to form a plug. Most are piston-tamp fillers and are dosator or dosing disk machines.

The powder is passed over a dosing plate containing cavities slightly smaller than the capsule diameter, and powder that falls into the holes is tamped by a pin to form a plug. This can be repeated until the cavity is full and the plugs (or slugs) are ejected into the capsule shells. The minimum force required to form a plug should be used to reduce slowing of subsequent dissolution.

In the dosator method, the plug is formed within a tube with a movable piston that controls the dosing volume and applies the force to form the plug. The dose is controlled by the dimensions of the dosator, the position of the dosator in the powder bed, and the height of the powder bed. Fundamental powder properties to ensure even filling are good powder flow, lubricity, and compressibility. The auger or screw method, now largely surpassed, uses a revolving Archimedean screw to feed powder into the capsule shell.

Capsules coming off the filling line require dedusting and polishing. These can be done by pan polishing, cloth dusting, and brushing. Commercial equipment to do this includes Rotosort™, Erwek Deduster™, and the equipment from Seidenader™. Imprinting on capsules serves many purposes including ready identification. The choice of ink is important.

ORAL POWDERS

Oral powders include headache powders, dusting powders (such as antifungal powders), powders to be reconstituted (such as antibiotics), and insufflations, which are powders intended to be blown into a body cavity such as in the ear or nose. Powder mixtures as a means of measuring small quantities of powders are called triturations. A sample of powder is the most complex physical system. No two particles are identical. The properties of the powder are dependent on both the chemical and physical nature of the component and the nature of the interactions between the particles in the powder.

The ability of a powder to pack is dependent on the shape, size, and porosity of the particle. The FDA provided a classification of powders (Table 8.3)

NASAL POWDERS

Intranasal drug administration has been practiced since ancient times. In Tibet, extracts of sandalwood and aloe wood were inhaled to treat emesis. Egyptians treated epistaxis and rhinitis using intranasal medication. North American Indians relieved headaches inhaling crushed leaves of *Ranunculus acris*. Due to the rich vasculature and high permeability of nasal mucosa, the absorption rate and pharmacokinetics of nasally administered drug are comparable to that obtained by intravenous drug delivery, while noninvasive nasal drug administration is more convenient to patients. As nasally administered drugs avoid first-pass hepatic metabolism, improved bioavailability can be expected. However, rapid mucociliary clearance reduces the residence time of nasal drug delivery system at the site of absorption. Dry powders have been shown to delay mucociliary clearance, thus prolonging the contact time between the drug delivery system and mucosa compared to liquid formulations. Most of the dry powder investigations are based on mucoadhesive swellable polymers as they can additionally improve drug absorption and bioavailability. Dry powder delivery systems such as microspheres are of special interest, offering the possibility of predictable and controlled drug release from the polymeric device.

Dry powder formulations have been recognized as efficient nasal delivery systems offering numerous advantages over liquid formulations, such as avoidance of preservatives, improved formulation stability, and prolonged contact with the mucosa. For a powder formulation, the maximum quantity is approximately 50 mg, depending upon the bulk density

TABLE 8.3
FDA Classification of Powders

Powder (PWD 110)	An intimate mixture of dry, finely divided drugs or chemicals that may be intended for internal or external use.
Powder, dentifrice (PWD DENT 115)	A powder formulation intended to clean and polish the teeth, and may contain certain additional agents.
Powder, for solution (PWF F/SOL 833)	An intimate mixture of dry, finely divided drugs or chemicals that, upon the addition of suitable vehicles, yield a solution.
Powder, for suspension (PWF F/SUSP 834)	An intimate mixture of dry, finely divided drugs or chemicals that, upon the addition of suitable vehicles, yield a suspension (a liquid preparation containing the solid particles dispersed in the liquid vehicle).
Powder, metered (PWF MET 841)	A powder dosage form that is situated inside a container, which has a mechanism to deliver a specified quantity.

of the material. A powder form is more effective than liquid formulations because of their long residence time and higher drug concentration at the site of deposition as well as improved formulation stability with no requirement for preservatives.

The drug candidate for nasal administration should possess a number of attributes, such as appropriate aqueous solubility and nasal absorption characteristics, minimal nasal irritation, low dose, no offensive odor or aroma, and suitable stability characteristics. In the case of drug powder formulations, it is possible to hide or alter the unfavorable characteristics of a drug using suitable polymers as drug carriers.

Thus, improvement of the dissolution behavior of drugs of low aqueous solubility can be achieved after incorporation in polymeric powder devices such as microspheres. The improvement of the drug dissolution rate from the microspheres has been ascribed to several factors, such as high microsphere surface–volume ratio, the hydrophilic nature of the polymer, and drug amorphization due to drug–polymer interaction and/or the microsphere preparation method.

Nasally administrated polymer–drug powders were also characterized by improved drug absorption compared to pure drug powders.

Powders intended for nasal administration have to be optimized in terms of particle size and morphology as these properties are related to potential irritation in the nasal cavity. Certain procedures (e.g., spray drying process) can modify the particle size of the drug powder raw material, but in order to optimize the morphology and flowability properties of some pure drug powders, excipients need to be used.

The quantitative aspect of nasal powder delivery is largely dependent on the type of delivery device. Devices for powder dosage forms, include insufflators, monodose and multidose powder inhalers, and pressurized metered-dose inhalers. Particles intended for nasal delivery should have good flow properties to be reproducibly filled in the dose reservoir and easily insufflated to obtain appropriate nasal deposition. Since the particle size of the applied powder formulation has a major impact on its nasal deposition, the characterization of this parameter is very important. Only particles over 5 microns are deposited in the nostrils while smaller particles can be inhaled into the lower parts of the respiratory system. For that reason, it is necessary to determine particle sizes not only prior to filling the nasal delivery device but also after its actuation, since the particle size of the formulation leaving the device depends on the device–disaggregating properties.

The low absorption of drugs can be improved by using absorption enhancers or prolonging contact between drug and absorptive sites in the nasal cavity by delaying mucociliary clearance of the formulation. Some mucoadhesive polymers can serve both functions. They are typically high-molecular-weight polymers with flexible chains which can interact with mucin through hydrogen bonding, electrostatic, hydrophobic or van der Waals interactions. The mucoadhesive polymers are often hydrophilic and swellable, containing numerous hydrogen bond–forming groups such as hydroxyl, carboxyl, or amine, which favors adhesion. When used in a dry form they attract water from the mucosal surface and swell, leading

to polymer–mucus interaction, increased viscosity of polymer–mucus mixture, and reduced mucociliary clearance. Besides the type of polymer functional groups, the mucoadhesive force of a polymer material is dependent on the polymer molecular weight, concentration, flexibility of the polymer chain, spatial conformation, contact time, environmental pH, and physiological factors such as mucin turnover and disease state. There is a critical polymer molecular weight for each polymer type below or above which there is reduced adhesive power. The mucoadhesive properties can also be affected by the degree of cross-linking of the polymer since mucoadhesion requires an adequate free chain length for interpenetration to occur. Hence, the more cross-linked the polymer, the less strong the mucoadhesive interaction. Hydration and swelling are present in both polymer- and environment-related factors. Overhydration causes extended swelling, resulting in slippery mucilage formation [30]. The polymer concentration that is required for optimum mucoadhesion is different between gels and solid mucoadhesives. In the liquid state, an optimum concentration exists for each polymer for which best adhesion can occur, while with solid dosage forms, increased polymer concentration leads to increased mucoadhesive power [35]. Studies have shown that polymers with charge density can serve as good mucoadhesive agents, although their mucoadhesive properties are affected by the pH of the surrounding media. The presence of metal ions, which can interact with charged polymers, may also affect the adhesion process. Polyanion polymers are more effective bioadhesives than polycation polymers or nonionic polymers.

Polymers used in nasal powders include starch, dextrans, polyacrylic acid derivatives (e.g., carbopol, polycarbophil), cellulose derivatives (microcrystalline cellulose, semicrystalline cellulose, hydroxypropylmethyl cellulose, hydroxypropyl cellulose, carboxymethyl cellulose), chitosan, sodium alginate, hyaluronans, and polyanhydrides such as poly(methyl vinyl ether-co-maleic anhydride) (PVM/MA).

Microspheres as specialized drug delivery systems represent spherical polymeric devices that are small in size (from 1 to 1000 microns), are characterized by high surface-to-volume ratio, and are able to provide targeted and predictable controlled release of the drug. In the scope of nasal delivery, except for controlled drug release rate, microspheres are beneficial due to their broad surface area, which can provide extensive interaction with the mucin layer and protection of incorporated drug from enzymatic degradation in the nasal cavity. Microspheres prepared with bioadhesive polymers have some additional advantages; they assure much more intimate and prolonged contact with the mucous layer and improved drug absorption due to additional delay in mucociliary clearance. Bioadhesive microspheres can significantly improve patient compliance as all the advantages described lead to reduction in the frequency of drug administration. Bioadhesive microspheres that have been extensively studied for nasal drug delivery are water insoluble but they swell in contact with the mucosa.

There are three processes involved in such microencapsulation procedures: the preparation of emulsion, solvent

removal, and separation of the particles obtained. Selection of the type of (oil-and-water) emulsion system (O/W, W/O, W/O/W, W/O/O, etc.) depends on the physicochemical properties of the drug and polymer used. After the preparation of stable emulsion, solvent is removed from the system at high or low temperature, at low pressure, or by addition of another solvent that enables the extraction of polymer solvent to the continuous phase. Hardened microspheres are then washed, centrifuged, and lyophilized. Emulsion techniques are suitable for the preparation of microspheres intended for nasal delivery since they allow controlling the size of the particles. The particle size is directly proportional to polymer concentration and inversely proportional to stirring rate and percentage of emulsifying agent, while there is a nonlinear correlation between particle size and process temperature.

FORMULATION AND MANUFACTURING CONSIDERATIONS

RELATIVE HUMIDITY

Relative humidity in the filling and storage areas is more important for powders than for other dosage forms because of the large specific surface area (area/weight), which can result in significant moisture uptake. The gelatin capsule shells are also susceptible to moisture and degradation at high moisture. In addition, at very low moisture, gelatin in capsules can become very brittle; therefore, an appropriate humidity level must be maintained.

SURFACE AREA

The large surface area of powders provides greater opportunity for the production of static electricity during the friction of flow and handling. Make sure all equipment is well grounded or else significant segregation and impeded flow of powder can result. Monodisperse systems of particles of regular shape, such as perfect cubes or spheres, can be described completely by a single parameter; however, when either non-uniform size distribution or anisometric shapes exist, any single parameter is incapable of totally defining the powder. In addition to a value for the average particle size, often we use frequency histograms to help describe the powder. We also use other measures of powder characteristics such as angle of repose and bulk or tap density. Lastly, we use compressibility and the powder's ability to undergo plastic deformation.

SIEVE ANALYSIS

Dry sieving allows the fractionation of relatively coarse powders and granules. Sieves are stacked (*nested*) with the largest apertures at the top and the smallest at the bottom. A sample of powder is placed on the top sieve and shaken for a fixed time period at a given amplitude and pulse frequency.

The weight of powder on each sieve can then be calculated and the particle size distribution obtained. Particles must have a two-dimensional profile smaller than the sieve aperture in

order to pass through a particular sieve. A *mean sieved diameter* is calculated. Because the weight of particles on each sieve is determined, the mean sieved diameter represents a *mass distribution*.

A mesh number denotes the size of the apertures in each sieve. The mesh number is the number of wire strands (of constant diameter) per inch used to weave the square mesh pattern. The side length of the aperture in microns is inversely related to the mesh number.

Whereas the specifications of starting materials are specified, the powders often form aggregates during storage; a point of use check of aggregation is needed. It is a good idea to sift all ingredients through specified sieves prior to adding them to the mixing of blending vessels. For most raw materials, sifting through a No. 60 sieve (250 μ m) is desirable; however, passing materials through finer sieves can generate electrostatic charges. Wet mass is passed through a No. 8 (2.38 mm) sieve and dried granules are passed through a No. 16 (1.19 mm) mesh sieve. Lubricants should be sieved through No. 60 mesh, except for magnesium stearate, which should not be sifted through an opening smaller than the opening in a No. 35 mesh. This is necessary to avoid building up electrical charges. A conversion chart for sieve sizes from U.S. Mesh to inches and microns (or millimeters) is presented next.

U.S. Mesh	Inches	Microns	Millimeters
3	0.2650	6730	6.730
4	0.1870	4760	4.760
5	0.1570	4000	4.000
6	0.1320	3360	3.360
7	0.1110	2830	2.830
8	0.0937	2380	2.380
10	0.0787	2000	2.000
12	0.0661	1680	1.680
14	0.0555	1410	1.410
16	0.0469	1190	1.190
18	0.0394	1000	1.000
20	0.0331	841	0.841
25	0.0280	707	0.707
30	0.0232	595	0.595
35	0.0197	500	0.500
40	0.0165	400	0.400
45	0.0138	354	0.354
50	0.0117	297	0.297
60	0.0098	250	0.250
70	0.0083	210	0.210
80	0.0070	177	0.177
100	0.0059	149	0.149
120	0.0049	125	0.125
140	0.0041	105	0.105
170	0.0035	88	0.088
200	0.0029	74	0.074
230	0.0024	63	0.063
270	0.0021	53	0.053
325	0.0017	44	0.044
400	0.0015	37	0.037

PARTICLE SIZE DISTRIBUTION

Sieving is a common method for establishing the distribution of particle size in a powder sample. It is a simple method that works well for powders in the size ranges used most often in the pharmaceutical industry. Sieves are limited in that they cannot be made with very small openings. The current lower limit is 43 μm , which corresponds to a No. 325 sieve. The sieve number or mesh number refers to the number of openings per linear inch. You can easily calculate the opening size in millimeters. For example, a No. 2 sieve has an opening of 9.52 mm, while a No. 200 sieve has an opening of 0.074 mm.

A frequency histogram is a useful tool in understanding the nature of a sample of powder. It is a bar graph with the size range on the x-axis and the number or weight of each segment of the powder on the y-axis. The particle size distribution can be determined by a sample of coarse powder using a nest of sieves shaken in a sonic sifter:

1. Using at least a three-decimal-place electronic balance, record the weight of each empty sieve and the collection pan. Also, record the sieve size.
2. Arrange the sieves in a sequential nest: Smallest mesh number (largest aperture) at the top, largest mesh number (smallest aperture) at the bottom. Add the collection pan to the bottom of the nest.
3. Add approximately 5 g of accurately weighed coarse powder to the top sieve, and cover with the rubber cap.
4. Shake the sample for 5 minutes with a sieve "amplitude" greater than 3.
5. Reweigh each sieve and the collection pan. Calculate the weight and percentage of powder on each sieve and in the collection pan. Then calculate the cumulative weight percentage of powder that is finer than the aperture.
6. Use the probability paper to calculate the mean diameter and standard deviation; alternately, calculate the geometric mean and standard deviation for the coarse and fine powder particles.

POWDER FLOW PROPERTIES

During many pharmaceutical production processes, it is necessary to transfer large quantities of powder from one location to another in a controlled manner, for example, in powder blending, powder filling into containers (e.g., dusting powders), powder flow into capsules, and powder filling into the dies of a tablet press.

One method of assessing flow properties is the *Angle of repose*, which is another measure of the nature of the powder. It estimates the adhesive force between the particles. Uniform glass beads, which will show good flow properties, have an angle of repose of 23 degrees. As the adhesive force between the particles increases, the angle increases. In rare cases, it can exceed 90 degrees.

Powder is allowed to flow freely through a funnel onto the center of an upturned petri dish of known radius. When the powder reaches the side of the petri dish, the height of the cylindrical cone is determined. From the petri dish radius (r , cm) and cone height (h , cm), the angle of repose (between the petri dish and base of the powder cone) can be calculated. *Flow rate* can also be determined by measuring how fast a powder flows through an aperture. Free-flowing powders exhibit a high flow rate and a smaller angle of repose. Angle of repose and flow rate depend on particle size, shape, and surface roughness. Flow properties are frequently enhanced by the use of *glidants*.

Several commercial instruments are available to evaluate angle of repose. Follow the instructions from the supplier of instrument and test methods. A simple method is given in the following list:

1. Measure the external diameter of a petri dish; position the bottom of a funnel or paper cone approximately 5 to 15 cm above the center of the upturned petri dish using a ring stand. Be sure, a piece of paper is under the petri dish so you can pick up the powder and reuse the powder for all your replicates.
2. Slowly pour the *coarse powder* sample into the funnel, tapping the funnel as necessary to ensure that powder flows through the hole.
3. Continue this process until the bottom of the powder pile just begins to fall over the edge of the petri dish.
4. Measure the height of the pile using a ruler.
5. If the powder is lumpy, sieve it before beginning the experiment.
6. Repeat step 2 until you consistently obtain the same answer.
7. Calculate the mean height of the coarse powder pile and the mean angle of repose (ϕ).
Note: Remember that $\tan \phi = \text{Opposite/Adjacent}$; therefore, $\tan \phi = 2 h/D$.
8. Repeat steps 2 and 3 using both *fine powder* and *fine powder with glidant*, if the purpose is to select an appropriate glidant.
9. Plot angle of repose (x-axis) against Carr's index (y-axis).

REAL, TAPPED, AND BULK DENSITY

Bulk or tapped density is a measure of the degree of packing or, conversely, the amount of space between the particles in the powder. Bulk density is determined by placing a sample of powder of known weight in a graduated cylinder. Tap density is determined by tapping the powder in the graduate until it no longer settles.

Many methods are also used to determine the true density of the powder (e.g., helium pycnometer or gas adsorption). Dividing the true density by the bulk or tap density yields a number that is related to the amount of space in the powder. If the particles are spherical, the value is approximately

0.53, while irregular shaped particles can have values of 0.74 or more.

The *real density* of a powder sample is the weight per unit volume of the material with no air spaces between particles. Therefore, if a material has a true density of 1 g/cm³, 100 g of material will occupy 100 mL, assuming individual particles fit together exactly. In practice, most powders do not fit together very well. Therefore, if one fills a graduated cylinder to 100 mL with a powder, the weight of powder required may only be 70 g. This apparent density is known as the *bulk* or *expanded density* (0.7 g/cm³). If the 100-mL cylinder is subsequently tapped, the particles slide past each other and become consolidated. The 70 g of particles that once occupied 100 mL may now only occupy 80 mL. They have an apparent *packed* or *tapped density* (g/cm³) of 0.875 g/cm³. Carr's index is a measure of interparticulate forces. If the interparticulate forces are high, powders will have a low bulk density because bridging will occur between particles. This results in a large Carr's index and a large change in volume caused by tapping. If the interparticulate forces are low, particles will have little affinity for one another and will compact spontaneously. Under these circumstances, Carr's index is small and little change in apparent density is induced by tapping. Porosity is the volume ratio occupied by air spaces (voids) between particles of a powder sample.

MIXING

Three primary mechanisms are responsible for mixing:

1. Convective movement of relatively large portions of the powder
2. Shear failure, which primarily reduces the scale of segregation
3. Diffusive movement of individual particles

Large-scale mixers

- Rotating shell
- Fixed shell

Vertical impeller

- Fluid bed

Small-scale mixing

- Mortar and pestle
- Spatula and surface
- Paper bag

Extemporaneous techniques for mixing

- Geometric dilution
- Uniform particle size

Trituration

Sieving

- Pulverization by intervention

Levigation

Mixing Mechanisms

Mixing solids involves a combination of one or more mechanisms of convection, shear, and diffusive mixing. Convection mixing is achieved by the transport of solids such as by blades

or screws. Shear mixing results from the forces within the particulate mass; slip planes are set up. This can take place singularly or as a laminar flow. When shear occurs between regions of different composition and parallel to their interface, it reduces the scale of segregation by thinning the dissimilar layers. Shear occurring in a direction normal to the interface of such layers is also effective because it reduces segregation. The diffusive mixing is the random motion of particles.

Segregation Mechanisms

Particulate solids tend to segregate by virtue of differences in the size, density, shape, and other properties; it can happen during mixing or subsequent storage handling as well. It is important to note that powders that are difficult to flow do not segregate easily because of high interparticulate adhesion; however, because powders must be rendered flowable for the purpose of filling capsules or in bottles or sachet, the segregation phenomenon because very important. Note that often after the addition of magnesium stearate, it is advisable to mix the product only for a limited time because electrical charges on the particles may cause segregation. Often, additives are included in formulations to reduce the tendency of segregation; these components have polarity similar to the components of the formulation. A variety of mixers are designed to counter the segregation during mixing. Regardless of the formulation or equipment used, however, the formulator must conduct a validation study to assure that the product before filling is not segregated and that detailed Manufacturing Directions consequently include conditions such as humidity, mixing speeds, mixing times, and grounding of equipment. It is often said that longer mixing causes unmixing; this occurs because of segregation as well as abrasion of particles, which alters the particle size distribution profile.

Mixing Equipment

Batch mixing is the most common practice using twin-shell, cubic, and cylindrical tumbling mixers on a common shaft. The speed of rotation (generally 30–100 rpm) for these mixers is crucial to good mixing. Other mixers of the same type take the shape of cylinders, cubes, or hexagonal cylinders. The stationary container mixers do not depend on gravity for tumbling as for the preceding mixers; these are useful for mixing sticky, wetted, or plastic mass where shear force is needed to impart mixing. Stationary container mixers include the ribbon blender and the helical flight mixer.

Large mixers produce continuous mixing; large mixers are less consistent in producing uniform mixing and are more useful in the stages, where such consistency is not critical.

Selection of equipment depends on the measure of mixing degree required. Manufacturing process validation should include a definition of segregation where large-scale segregation is not present. A large volume of data on the statistics of "degree of mixing" is available where samples are drawn from the mix at various times, and the samples must be of a sufficiently large size to contain enough particles. Perfect mixtures, in statistical terms, are random mixtures. In capsules where pellets of different types are included, these

considerations become critical. Let us take the example of a binary mixture, where n is the number of particles in the sample and p is the fraction of particles of interest. For example, if a capsule contains 30% of type A pellets, then the average number is 150 in a 500-pellet capsule with standard deviation of:

$$\sigma = \tilde{A} (\text{average})(1-p)$$

Thus, for the preceding composition, a deviation of 10.2 counts for 150 pellets occurs in each capsule when there is perfect mixing; in this instance, each capsule must be individually sampled because large bulk samples would not reveal the variations.

MILLING

Mixing of powders is easier if all components are of the same dimension in particle size. Granulation of powders is done to provide a more uniform particle size; this is a common practice in tablet, capsule, and powder suspension formulations. Milling of granulated mass produces uniform particle size, where dyes are used, milling provides a more uniform mixing and spread of dyes. Lubricants act by coating the particles and require the presence of a certain amount of fines. Size distribution profiles are routinely prepared as part of the development pharmaceuticals process, especially where high-speed filling machines are used. Frequency and cumulative plots are made to validate the process. Probability function values found in statistics books should be consulted when designing a robust evaluation program. Particles are measured either microscopically or by weight fractions through a stack of sieves. A sedimentation method is also used for particles in the range of 1 to 200 μm to obtain a size-weight distribution. Other methods include adsorption, electrical conductivity, light and X-ray scattering, permeametry, and particle trajectory.

During the process of milling or comminution, the particles undergo transformation based on the strain applied, which produces stress, and size reduction begins with the opening of new cracks. If the force applied is not sufficient, then the particle returns to its original state from a stressed state and does not yield. The type of mill used is important, such as a cutter, fluid energy, hammer, or roller, because each provides a special pattern of comminution. For example, it is useful for fibrous material, but not for friable material; it produces a product size of 20 to 80 mesh. The fluid energy mill can produce 1- to 30- μm particles, and is more suitable for soft and sticky materials. The most common mill is the hammer mill, which is useful for abrasive materials and produces

4- to 325-mesh particles. In a hammer mill, it matters whether the blades are forward or reversed.

POWDER HANDLING

Powder materials exhibit a number of technological challenges with their manufacture, storage, transportation, mixing, dusting, characterization, packing, crushing, and milling.

Symptoms of a nonoptimized product system utilizing a powder include unacceptable rehydration, dissolution, and solubility rate/reproducibility of the powder mixture; degradation, loss of drug activity, and reduction of product shelf life; drug mixture heterogeneity both before and during use; clogging of spray nozzle; and loss of delivered drug. The following can have a significant impact on the performance of a product using a powder:

- Utilization of the appropriate binders and adhesives
- Disintegrating agents
- Fillers
- Lubricants
- Wetting agents/surfactants
- Glidants
- Flavoring and sweetening agents

Typical powder dispersion problems include the following:

- Chemical and morphological heterogeneity of the surface
- Dissolution or isomorphous substitution of constituent components (metals)
- Dependency of the surface and solution (dissolved or added) ion species

A number of interrelated physicochemical properties, such as pH (acidity), pI (ionic strength), p_e (redox), and p_c (concentration) influence the properties of the dispersion beside of the pressure and temperature.

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9 Solid-State Properties

Humility is the solid foundation of all virtues.

Confucius

1 INTRODUCTION

Solid-state characterization is one of the most important functions of the pre-formulation group, which is assigned the responsibility of making recommendations for further formulation work on a lead compound. Physical properties have a direct bearing on both physical and chemical stabilities of the lead compound. Much of the later work on formulation will depend on how well the solid state is characterized from the decisions to compress the drug into tablets to the selection of appropriate salt forms. The studies reported in this section, of course, apply to those drugs that are available in solid form, crystalline or amorphous, pure or amalgamated.

Physical properties affected by the solid-state properties can influence both the choice of the delivery system and the activity of the drug, as determined by the rate of delivery. Chemical stability, as affected by the physical properties, can be significant. Whereas it is always desirable to enhance chemical stability (a pursuit of the synthetic chemist), modulation of physical properties, such as reducing the hygroscopicity by increasing the hydrophobicity of an acid, or by moving to carboxylic rather than sulfonic or mineral acid, or to use an acid of higher pK_a to raise the pH of a solution often provides more stable compounds. Stability is also improved by decreasing the solubility and increasing the crystallinity by increasing the melting. It is important to realize that factors that improve the chemical stability often impact adversely the physical properties. Therefore, a fine balance must be achieved when selecting between the physical properties of a chemical property modulation.

The stability of the salt could also be an important issue, and depending on the pK_a , many properties can change, including indirectly related physical characteristics, such as volatility (e.g., hydrochloride salts are often more volatile than sulfate salts). Discoloration of the salt form of drugs is also prominent for some specific forms, as the oxidation reactions (often accompanied by hydrolysis) are a result of factors, such as affinity for moisture, surface hydrophobicity, and so on. Hydrolysis of a salt back to the free base may also take place if the pK_a of the base is sufficiently weak.

2 CRYSTAL MORPHOLOGY

A crystalline species is defined as a solid that is composed of atoms, ions, or molecules arranged in a periodic, three-dimensional (3D) pattern. A 3D array is called a lattice, as shown in Figure 9.1. The requirement of a lattice is that each

volume, which is called a unit cell, is surrounded by identical objects. Three vectors, a , b , and c , are defined in a right-handed sense for a unit cell. However, as three vectors are quite arbitrary, a unit cell is described by six scalars, a , b , c , α , β , and γ without directions (Figure 9.2). Several kinds of unit cells are possible, for example, if $a=b=c$ and $\alpha=\beta=\gamma=90^\circ$, the unit cell is cubic. It turns out that only seven different kinds of unit cells are necessary to include all the possible lattices. These correspond to the seven crystal systems as shown in Table 9.1.

The seven different point lattices can be obtained simply by putting points at the corners of the unit cells of the seven crystal systems. However, there are more possible arrangements of points, which do not violate the requirements of a lattice. The French crystallographer, Bravais, proposed 14 possible point lattices, as shown in Figures 9.3 and 9.4, as a result of combining the seven crystal systems and centered points.

Symmetry operations are divided into macroscopic and microscopic operations. Macroscopic operations can be deduced from the arrangement of well-developed crystal faces, without any knowledge of the atomic arrangement inside the crystals, whereas the microscopic operations depend on the atomic arrangement (Table 9.2) that cannot be inferred from the external growth of the crystal. Reflection, rotation, inversion, and rotation–inversion are included in macroscopic operations, whereas glide planes and screw axes belong to microscopic operations. The combination of macroscopic operations with the seven crystal systems leads to 32 possible groups, and they are called 32 point groups. The microscopic symmetry operations describe the way in which the atoms or molecules in crystals are combined to 32 point groups with 14 Bravais lattices, resulting in 230 combinations, called 230 space groups.

A crystalline particle is characterized by definite external and internal structures. Habit describes the external shape of a crystal, whereas polymorphic state refers to the definite arrangement of molecules inside the crystal lattice. Crystallization is invariably employed as the final step for the purification of a solid. The use of different solvents and processing conditions may alter the habit of recrystallized particles, besides modifying the polymorphic state of the solid. Subtle changes in crystal habit at this stage can lead to significant variation in raw-material characteristics. Furthermore, various indices of dosage form performance, such as particle orientation, flowability, packing, compaction, suspension stability, and dissolution can be altered even in the absence of a significantly altered polymorphic state. These effects are a result of the physical effect of different crystal habits. In addition, changes in crystal habit either accompanied or not by polymorphic transformation during processing or storage, can lead to serious implications of physical stability in dosage forms. Therefore, in order to minimize the variations

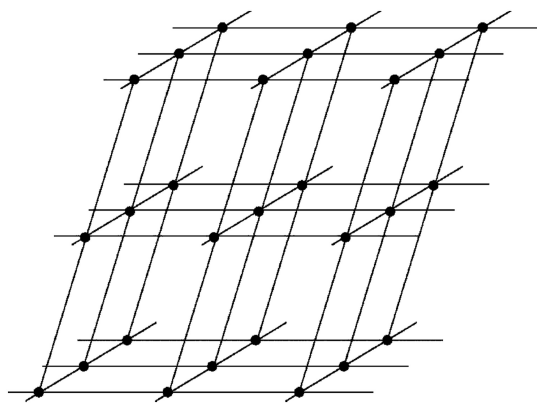


FIGURE 9.1 Crystal lattice.

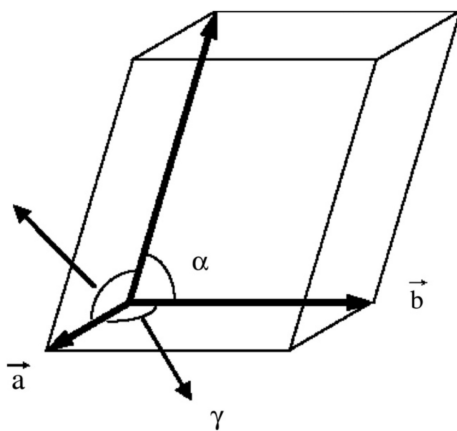


FIGURE 9.2 Scalars of lattice structure.

in raw-material characteristics, to ensure the reproducibility of results during pre-formulation, and to correctly judge the cause of instability and poor performance of a dosage form, it is essential to recognize the importance of changes in crystal surface appearance and habit of pharmaceutical powders.

The crystal habit is also affected by impurities present in the crystallizing solution; often these impurities provide the earliest nucleation of crystal growth and become an integral part of the crystal. In some instances, the presence of impurities inhibit crystal growth, as shown, when certain dyes or heavy metals are mixed with solutions. If an impurity can adsorb at the growing face, it can significantly alter the course of crystal growth and geometry. The habits bound by plane faces are termed *euohedral* and those with irregularly shaped ones are called *anhedral*. The symmetry of a crystal is generally studied by using optical goniometer that allows the measurement of the angles between the crystal faces. This technique is of use only when good crystals of size >0.05 mm in each direction can be obtained, which is generally not the case.

Chemical crystallography provides accurate and precise measurements of molecular dimensions in a way that no other science can begin to approach. Historically, single-crystal X-ray diffraction was used to determine the structure of what was thought of as “small molecules.” Twenty years ago, it

TABLE 9.1
Seven Crystal Systems

Crystal system	Axial lengths and angles
Cubic	$a=b=c$ $\alpha=\beta=\gamma=90^\circ$
Tetragonal	$a=b \neq c$ $\alpha=\beta=\gamma=90^\circ$
Orthorhombic	$a \neq b \neq c$ $\alpha=\beta=\gamma=90^\circ$
Rhombohedral	$a=b=c$
Trigonal	$\alpha=\beta=\gamma \neq 90^\circ$
Hexagonal	$a=b \neq c$ $\alpha=\beta=90^\circ \gamma=120^\circ$
Monoclinic	$a \neq b \neq c$ $\alpha=\gamma=90^\circ \neq \beta$
Triclinic	$a \neq b \neq c$ $\alpha \neq \beta \neq \gamma \neq 90^\circ$

was possible to solve structures with an average of only 100 nonhydrogen atoms. However, with developments in hardware and software, the upper limit has risen to about 500 and recently, even a 1000-atom structure was solved. The APEX II line of Chemical Crystallography Solutions (1) allow single crystal structure determination. The APEX II detector is suitable for fast processing. The Bruker SHELXTL software system works well with these systems and provides a complete characterization that is suitable for publication would include the following data:

- Data collection
 - Source of sample and conditions of crystallization
 - Habit, color, and dimensions of the crystal
 - Formula, formula weight
 - Unit cell parameters and volume with esds. (the number of data and theta range of data used to determine the cell parameters)
 - Crystal type and space group
 - Z , density, and absorption coefficient
 - Instrument and temperature of data collection and cell parameter determination
- Structure solution
 - Number of data collected, unique $[R(\text{int})]$
 - Method and program used for structure solution
 - Absorption correction details
- Structure refinement
 - Method and program for refinement
 - Number of data refined, restraints, parameters
 - Weighting scheme
 - $R1$ (observed data), $wR2$ (all data), S values
 - Final maximum shift/error
 - Final maximum and minimum of difference electron density map
- Tables and figures
 - Positional parameters and isotropic or equivalent displacement parameters

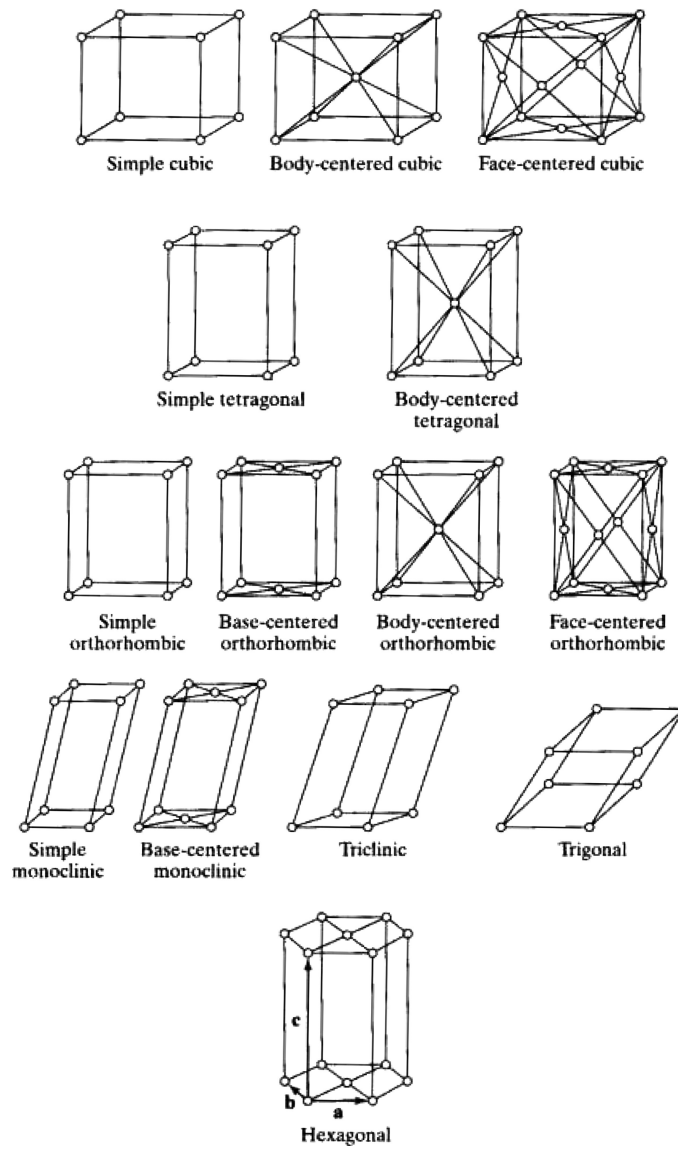


FIGURE 9.3 Bravais lattice system.

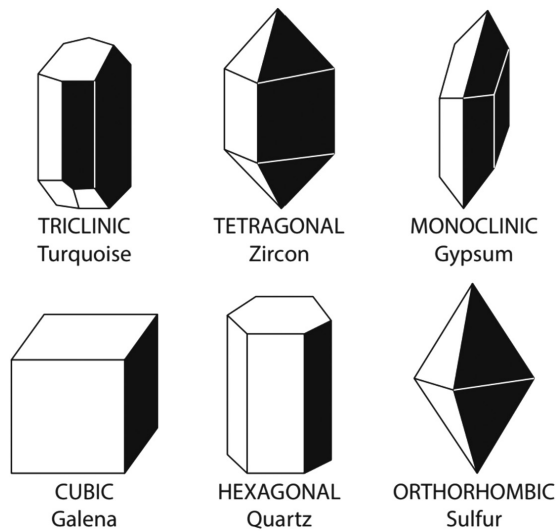


FIGURE 9.4 Common crystal habits.

TABLE 9.2
Common Crystal Habits

Habit	Description	Example
Acicular	Needle-like, slender, and/or tapered	Rutile in quartz
Amygdaloidal	Almond-shaped	Heulandite
Anhedral	Poorly formed, distorted	Olivine
Bladed	Blade-like, slender, and flattened	Kyanite
Botryoidal or globular	Grape-like, hemispherical masses	Smithsonite
Columnar	Similar to fibrous: long, slender prisms often with parallel growth	Calcite
Coxcomb	Aggregated flaky or tabular crystals closely spaced	Barite
Dendritic or arborescent	Tree-like, branching in one or more direction from central point	Magnesite in opal
Dodecahedral	Dodecahedron, 12-sided	Garnet
Drusy or encrustation	Aggregate of minute crystals coating a surface	Uvarovite
Enantiomorphic	Mirror-image habit and optical characteristics; right- and left-handed crystals	Quartz
Equant, stout, stubby, or blocky	Squashed, pinnacoids dominant over prisms	Zircon
Euhedral	Well formed, undistorted	Spinel
Fibrous or columnar	Extremely slender prisms	Tremolite
Filiform or capillary	Hair-like or thread-like, extremely fine	Natrolite
Foliated or micaceous	Layered structure, parting into thin sheets	Mica
Granular	Aggregates of anhedral crystals in matrix	Scheelite
Hemimorphic	Doubly terminated crystal with two differently shaped ends	Hemimorphite
Mamillary	Breast-like: intersecting large rounded contours	Malachite
Massive or compact	Shapeless, no distinctive external crystal shape	Serpentine
Nodular or tuberoso	Deposit of roughly spherical form with irregular protruberances	Geodes
Octahedral	Octahedron, eight-sided (two pyramids base to base)	Magnetite
Plumose	Fine, feather-like scales	Mottramite
Prismatic	Elongate, prism-like: all crystal faces parallel to c-axis	Tourmaline
Pseudo-hexagonal	Ostensibly hexagonal because of cyclic twinning	Aragonite
Pseudomorphous	Occurring in the shape of another mineral through pseudomorphous replacement	Tiger's eye
Radiating or divergent	Radiating outward from a central point	Pyrite suns
Reniform or colloform	Similar to mamillary: intersecting kidney-shaped masses	Hematite
Reticulated	Acicular crystals forming net-like intergrowths	Cerussite
Rosette	Platy, radiating rose-like aggregate	Gypsum
Sphenoid	Wedge-shaped	Sphene
Stalactitic	Form as stalactites or stalagmites; cylindrical or cone-shaped	Rhodochrosite
Stellate	Star-like, radiating	Pyrophyllite
Striated/striations	Surface growth lines parallel or perpendicular to c-axis	Chrysoberyl
Tabular or lamellar	Flat, tablet-shaped, prominent pinnacoid	Ruby
Wheat sheaf	Aggregates resembling hand-reaped wheat sheaves	Zeolites

- Bond distances, angles, and torsion angles
- Anisotropic displacement parameters
- Structure factor tables (often required for review but discarded by the journal)
- Torsion angles (optional)
- Least-square planes (optional)
- Hydrogen bond geometry (optional)
- A labeled figure showing the displacement ellipsoids
- A packing diagram showing relevant intermolecular interactions

The modeling of the habits of crystals is a subject of many sophisticated computer programs, such as CERIOUS2 (2) that also provides the effect of additives. The Bravais, Friedel, Donny, and Harker (BFDH) model and the attachment energy

model, in conjunction with force field methods, are used in habit prediction. The attachment energy approach gives the growth morphology of the crystal studied, but it is also possible to calculate the shape of a small particle in equilibrium with its growth environment by computing the surface energy of each relevant face.

Surface interactions between solvent molecules and growing faces can also be modeled. It is well known that the stronger the solvent binds to a particular face, the more it will inhibit the growth of that face, so as to affect the morphology. This can be simulated by the computer. The ability to predict the crystal morphology, that is, to identify the key growth faces, combined with the ability to analyze the surface chemistry of each of the faces in detail (including interactions with solvent molecules, excipients, and impurities), enables rational control of morphology and crystal growth. For example,

an undesirable morphology (a plate) can be transformed into a more isometrical shape.

In addition to morphological assessments of crystals, optical microscopy can be used to measure their refractive indices. To identify the crystal, it is not necessary to measure the principal refractive indices; simply measuring two that are unique and reproducible is sufficient. These are termed the key refractive indices that, according to these researches, are all that are needed to identify any particular compound.

3 POLYMORPHISM

Both organic and inorganic pharmaceutical compounds can crystallize into two or more solid forms that have the same chemical composition; this is called polymorphism. Polymorphs have different relative intermolecular and/or interatomic distances and unit cells, resulting in different physical and chemical properties, such as density, solubility, dissolution rate, bioavailability, and so on. Crystal structures containing solvents (or water) are often called pseudopolymorphs, with distinct physical and chemical properties. It is possible for each pseudopolymorph to have many polymorphs. In polymorphism, the crystal lattice formation can take place through two mechanisms: packing polymorphism and conformational polymorphism. Packing polymorphism represents the formation of different crystal lattices of conformationally rigid molecules that can be rearranged stably into different 3D structures through different intermolecular mechanisms. When a nonconformationally rigid molecule can be folded into alternative crystal structures, the polymorphism is categorized as conformational polymorphism.

Polymorphs and pseudopolymorphs can also be classified as monotropes or enantiotropes, depending upon whether or not one form can transform reversibly to another. In a monotropic system, Form I does transform to Form II, because the transition temperature cannot appear before the melting temperature (Figure 9.5, monotropy). In Figure 9.6 (enantiotropy), Form II is stable over a temperature range below the transition

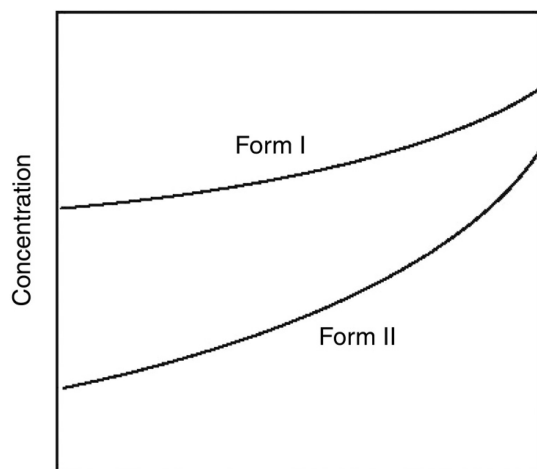


FIGURE 9.5 Monotropic system as a function of temperature (x -axis).

temperature, at which two solubility curves meet, and Form I is stable above the transition temperature. At the transition temperature, reversible transformation between two forms occurs. Figure 7 (enantiotropy with metastable phases) shows the kinetic effects on the thermodynamic property of solubility, which shows Ostwald ripening effect. An unstable system does not necessarily transform directly into the most stable state, but into one which most closely resembles its own, that is, into another transient state, whose formation from the original is accompanied by the smallest loss of free energy.

When a decision needs to be made on whether two polymorphs are enantiotropes or monotropes, it is very useful to use the thermodynamic rules developed by Burger and Ramberger, which are tabulated in Table 3.

The stability of polymorphs is thermodynamically related to their free energy. The more stable polymorph has the lower free energy at a given temperature. The aforementioned classification of polymorphic substances into monotropic and enantiotropic classes, from the lattice theory perspective is

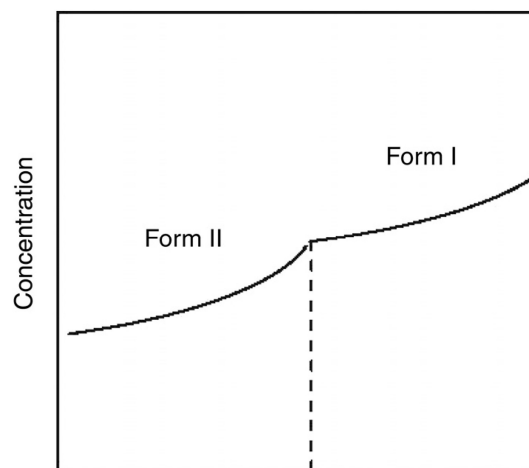


FIGURE 9.6 Enantiotropic system as a function of temperature (x -axis).

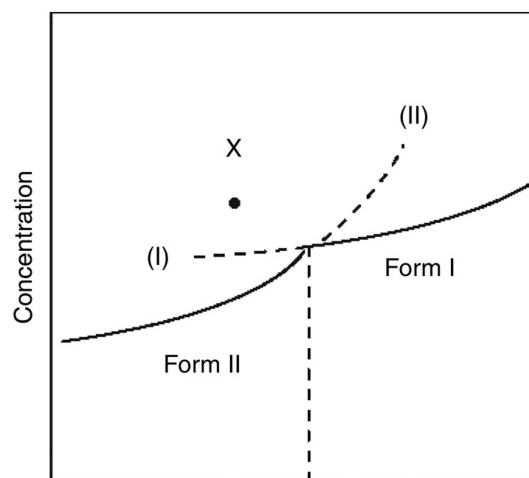


FIGURE 9.7 Enantiotropic system with metastable phases as a function of temperature (x -axis).

TABLE 9.3
Thermodynamic Rules for Polymorphic Transitions

Enantiotropy	Monotropy
Transition < melting I	Transition > melting I
I stable > transition	I always stable
II stable < transition	—
Transition reversible	Transition irreversible
Solubility I higher < transition	Transition I always lower
Solubility I lower > transition	—
Transition II → I endothermic	Transition II → I exothermic
$\Delta H_f^I < \Delta H_f^{II}$	$\Delta H_f^I > \Delta H_f^{II}$
IR peak I before II	IR peak I after II
Density I < II	Density I > density II

not always appropriate. There is a need to explore the way the crystal lattice structures of polymorphs are related. At a transition point, with the temperature and the pressure fixed, it is possible for interconversion to take place between two polymorphs only in the case where the structures of the polymorphs are related. If complete rearrangement is required by atoms or molecules during transformation, no point of contact for reversible interconversion exists. Therefore, the existence of enantiotropes or monotropes in thermodynamics and phase theory corresponds to related or unrelated lattice structures in structural theory. Transformation between polymorphs that have completely different lattice structures exhibits dramatic changes in properties. The difference in energy between polymorphs is not always considerable as shown with diamond/graphite. In most cases, polymorphs in this category are required to break bonds and rearrange atoms or molecules, and consequently, the polymorphs have a monotropic relation.

For the study of polymorphs that are structurally related, the structural relationships between the polymorphs should be established first; second, it should be explained why a particular substance is able to arrange its structural units in two closely related lattices, and finally, there should be a description of the manner and conditions under which rearrangement of the units from one lattice type to another can happen. It is the physical form of the drugs that is responsible for its degradation in the solid state. Selection of a polymorph that is chemically more stable is a solution in many cases. Different polymorphs also lead to different morphology, tensile strength and density of powder bed, which collectively contribute to the compression characteristics of materials. Some investigation of polymorphism and crystal habit of a drug substance, as it relates to pharmaceutical processing, is desirable during its pre-formulation evaluation, especially when the active ingredient is expected to constitute the bulk of the tablet mass.

Various techniques are available for the investigation of the solid state. These include microscopy (including hot-stage microscopy, HSM), infrared spectrophotometry (IRS), single-crystal X-ray and X-ray powder diffraction (XRPD), thermal analysis, and dilatometry.

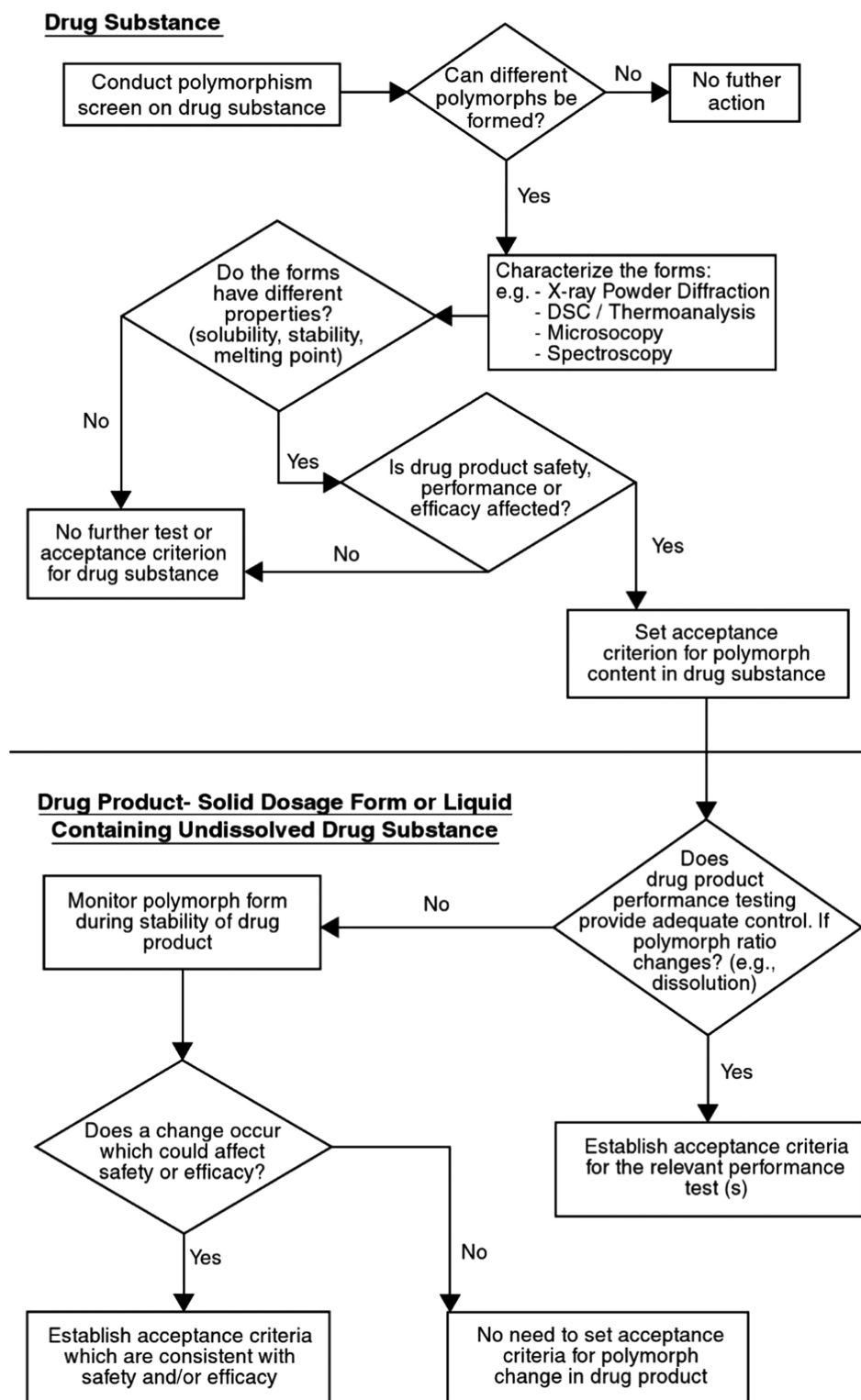
A pre-formulation study plan must challenge the crystal structure to determine if any polymorphs exist. It is possible for a new compound to show polymorphic forms only when subjected to stress—physical and chemical. Most organic compounds are capable of exhibiting polymorphism because of their complex flexible structure. The window of physico-chemical stress that a drug is generally subjected to during manufacturing is at times not able to adduce the differentiation of a drug into its possible polymorphic forms. For example, enantiotropic state is the state in which one polymorph can be reversibly changed into another by varying the temperature or pressure. One way of assessing whether the solid is a metastable form of the compound is to slurry the compound in a range of solvents. In this way, a solvent-mediated phase transformation may be detected using the usual techniques. The monotropic state exists when the transformation between the two forms is irreversible. As all polymorphs are deproviding the lowest energy polymorph, the most able polymorph is often needed to assure consistency in the physico-chemical properties; this is necessary for consistency in manufacturing procedures and in bioavailability. The right polymorph, at times, is not necessarily the most stable polymorph; unstable forms like amorphous forms (that are most constrained) are often used because of their higher solubility and often a better bioavailability profile.

The manufacturing factors that may be affected by the choice of a particular polymorphic form include granulation, milling and compression, stability (particularly for semisolid forms), amount of dose delivered in metered inhalers, crystallization from different solvents at different speeds and temperature, precipitation, concentration or evaporation, crystallization from the melt, grinding and compression, lyophilization, and spray drying. In the manufacturing processing, crystallization is a major problem and it can be avoided by a careful study of polymorphic transition, particularly in supercritical fluids.

Polymorphism is frequently a function of the type of salt, because the presence of counter ions can make the crystals form differently, leading to widely variable physico-chemical properties, as described earlier under the description of polymorphism. Generally, salts exhibiting polymorphism should be avoided.

An interesting example of polymorphic structure differentiation is that of human immunodeficiency virus (HIV) protease inhibitors. The HIV protease inhibitors pose a serious problem in their bioavailability. Invirase showed only modest market performance, and it was soon superseded by drugs, such as ritonavir (Norvir) and indinavir sulfate (Crixivan[®]) that had better bioavailability. Three years after initial approval, saquinavir was reintroduced in a formulation with sixfold higher oral bioavailability relative to the original product. Ritonavir was originally launched as a semisolid dosage form, in which the waxy matrix contained the dispersed drug in order to achieve acceptable oral bioavailability. Two years after its introduction, ritonavir exhibited latent crystal polymorphism, which caused the semisolid capsule formulation of Norvir to be removed from the market.

The acceptance criterion for polymorphic forms in a drug substance is generally based on the considerations given in Scheme 9.1.



SCHEME 9.1 Some acceptance criteria for polymorphism in drug substances and drug products.

Source: Courtesy of Ref. 3.

4 HIGH-THROUGHPUT CRYSTAL SCREENING

The search for crystal forms and salts of compounds emerging from discovery must rely on automation and miniaturization of crystallization trials. Currently, development chemists may experiment with 1–10 mg per trial on a total budget of tens to hundreds of milligram. Although the material is usually recoverable at a cost in time and effort, the traditional experimentation remains linear in nature. The search for crystal forms in such a linear fashion is time consuming, and the pressure keeps mounting to test a compound in toxicology and the clinic. The technical solution provided by high-throughput (HT) crystallization is the possibility of parallel, miniaturized trials of a larger experimental space (solvents, combinations, processing parameters, and so on). In order to increase significantly the productivity of crystallization efforts, one must be able to conduct parallel experiments at the level of micrograms per trial. In this way, valuable time and material can be saved, while generating useful physico-chemical information to support development decisions. For instance, if crystalline forms are found, the program can confidently move forward to assessing their utility. Even when a crystal form remains elusive, the information from crystallization trials on the compound and some of its congeners may help the medicinal chemists design the optimal compound to advance the program. High-throughput crystallization screening provides a way to address polymorphism issues much earlier, and can help to avoid late discoveries of polymorphism in pharmaceutical systems; the use of such technologies as CrystalMax[®] (4) enables parallel, miniaturized crystallization of compounds in cycles of one to two weeks. With a capacity of up to 10,000 crystallization experiments per week, this technology enables the discovery and characterization of diverse solid forms of active pharmaceutical ingredients, including leads to enhance solubility, selection of salts, co-crystals, and the like. The technology allows design, execution and analysis of thousands of crystallization trials on hundreds of micrograms of crystalline material per well in microliter volumes within a 96-well array format. The FAST[®] (4) HT technology from the same vendor allows the discovery of novel solution formulations of poorly soluble compounds, either for intravenous or oral use. The technology uses a 96-well format to conduct parallel screening of thousands of combinations of semi-aqueous formulations. Other tools, like HT crystallization tools with miniaturization come from Symyx (5), Aventium (6), and Solvias (7).

In recent years, sophisticated modeling tools have become available, such as the Cerius² (8), where various modules allow the analysis of crystallization, crystal growth, and material form characterization. In brief, this technique uses a simulated annealing and a rigid-body Rietveld refinement procedure, whereby the calculated and measured XRPD patterns are compared; if they agree sufficiently, the structure is deemed to be solved. Other modules offered by Cerus include:

- C². HP Morphology is an advanced method for predicting crystal morphology for salts and solvates.
- C². Morphology predicts and analyzes the morphology of crystals from their internal crystal structure, which helps relate morphological features to structure and understand the likely effects of solvents and growth modifying additives.
- C². Polymorph predicts the polymorphs of organic crystalline materials, such as drugs, pigments, or fine chemicals, from their molecular structure.
- C². Polymorph is used to predict unsynthesized polymorphs or to determine structures in combination with techniques, such as Rietveld refinement. The Cerus Polymorph Predictor (see subsequently) is based on a potential energy function program and a search program to locate potential minima of that potential function. It does have limitations, for example, by neglecting polarization effects, the results are less accurate for molecules, such as salts that are common in the pharmaceutical industry. Moreover, atoms, such as fluorine and divalent sulfur are not optimally parameterized. The molecules need to be rigid; however, a few successes for flexible molecules have been reported.
- C². Powder Fit provides crystal structure determination capabilities by helping to determine the parameters required to generate a simulated powder pattern by analyzing the peak positions, profiles, and background of an experimental pattern.
- C². Powder Indexing completes a comprehensive package of software modules for crystal structure determination from powder data. It is possible to establish unit cell and symmetry information, and use this to assist Rietveld refinement or crystal structure predictions.
- C². Powder Solve provides crystal structure determination capabilities by sampling a vast number of trial structures, subsequently proposing a structure for which the simulated pattern most closely matches the experimental one
- C². Diffraction-Amorphous simulates noncrystalline diffraction, including small angle scattering. Comparison with experimental data helps determine amorphous structure, polymer chain conformation, copolymer sequence structure, and orientation.
- C². Diffraction-Crystal simulates powder, fiber, and single-crystal diffraction from crystalline models, which helps interpret the experimental data from molecular, inorganic, and polymeric crystalline materials.
- C². Diffraction-Faulted simulates powder diffraction from faulted or layered structures, which helps characterize structures, such as zeolites and clays.
- C². EXAFS (extended X-ray absorption fine structure) jointly developed with the CCLRC Daresbury Laboratory, U.K. (9), integrates the EXAFS analysis and refinement techniques of Daresbury's EXCURV92 software with Cerius' modeling tools, radically improving the ability to interpret the EXAFS data.

- C². HRTEM simulates high-resolution transmission electron microscope images from crystals, interfaces, and defect structures. One can set up and interpret EM experiments that investigate technologically important materials.
- C². IR/Raman is a computational instrument that predicts IR/Raman spectra.
- C². LEED/RHEED helps interpret low-energy electron diffraction patterns and reflection high-energy electron diffraction from surfaces.
- C². Rietveld performs crystal structure refinement and quantitative phase analysis using powder diffraction data and the Rietveld method. Combining refinement programs and advanced modeling tools allows a faster route to determining the structures of both inorganic and molecular crystals. An effective way to know the atomic structure is by means of diffraction techniques using neutrons from nuclear reactors and particle accelerators or X-rays from X-ray tubes and synchrotrons. The single-crystal diffraction technique, using relatively large crystals of the material, gives a set of separate data from which the structure can be obtained. However, the *powder* diffraction technique is used in conditions where it is not possible to grow large crystals. The drawback of this conventional *powder* method is that the data grossly overlap, thereby preventing proper determination of the structure. The “Rietveld method” creates an effective separation of these overlapping data, thereby allowing an accurate determination of the structure. An even more widely used application of the method is the determination of the components of chemical mixtures.

Crystalline index of refraction: As different polymorphs have different internal structures, they belong to different crystal systems; therefore, polymorphs can be distinguished using polarized light and a microscope. The crystals can be either isotropic or anisotropic. In isotropic crystals, the velocity of light is the same in all directions, whereas anisotropic crystals have two or three different light velocities or refractive indices. In terms of crystal systems, only the cubic system is isotropic and the other six are anisotropic.

5 SOLVATES

In addition to polymorphs, solvates (inclusion of the solvent of crystallization) are also often formed during the crystallization process. These forms are also called pseudopolymorphs. The solvent molecules fill the spaces in the crystal lattice and generally reduce the solubility and dissolution rates. This phenomenon is thermodynamically driven. If the solvate contains an organic solvent, this would not be admitted by the regulatory authorities. According to the International Conference on Harmonization (ICH) guidelines, the Class I solvents, such as benzene, carbon tetrachloride, and 1,2-dichloromethane must be avoided, as these are carcinogenic. The Class II solvents

should be limited and include nongenotoxic animal carcinogens, such as cyclohexane and acetonitrile. The Class III solvents, including acetic acid, alcohol, acetone, which have low toxicity potential, are allowed as long as the daily permissible dose does not exceed 50 mg. Generally, an allowed solvate would likely be removed during the manufacturing process, but in some instances, the presence of the solvate is desired, as in the case of the beclomethasone dipropionate product of Glaxo that includes trichlorofluoromethane solvate. This solvate prevents crystal growth in sprays containing trichlorofluoromethane as propellant. A U.S. Patent issued to Glaxo 5270305 demonstrates the use of trichlorofluoromethane.

HYDRATES

When the solvate happens to be water, these are called hydrates, wherein water is entrapped through hydrogen bonding inside the crystal, and strengthens the crystal structure, thereby invariably reducing the dissolution rate (Table 9.4).

TABLE 9.4
Drug Substance Hydrate Forms as Reported in the Pharmacopeia

Compound	Water of hydration
Aminophylline	2
Ampicillin	3
Beclomethasone dipropionate	0 or 1
Caffeine	1
Calcium citrate	4
Calcium gluceptate	0 or variable; effloresces
Calcium gluconate	0 or 1
Dextrose	1
Diatrizoic acid	2
Dibasic sodium phosphate	0, 1, or 2
Ephedrine	1/2
Fluocinolone acetonide	2
Hydrocortisone hemisuccinate	1
Magnesium citrate oral solution	1
Magnesium gluconate	2
Magnesium sulfate	0, loses gradually
Monosodium sodium phosphate	0, 1, or 2
Naloxone hydrochloride	2
Nitrofurantoin	0 or 1
Potassium gluconate	0 or 1
Prednisolone	0 or 1
Saccharin sodium	1/3; effloresces
Sodium acetate	3
Sodium citrate	0 or 2
Sodium sulfate	0 or 1; effloresces
Succinyl chloride	2
Theophylline	0 or 1
Thioguanine	0 or 1/2
Thiothixene hydrochloride	0 or 2
Zinc sulfate	1 or 7

Source: United States Pharmacopeia (USP) 24.

The water molecules can reside in the crystal either as isolate lattice, where they are not in contact with each other; as lattice channel water, where they fill space; and metal coordinated water in salts of weak acids, where the metal ion coordinates with the water molecule. Metal ion coordinates may also fill channels, such as in the case of nedocromil sodium trihydrate. Crystalline hydrates have been classified by structural aspects into three classes: isolated lattice sites, lattice channels, and metal-ion coordinated water. There are three classes, which are discernible by the commonly available analytical techniques.

1. Class I includes isolated lattice sites, and represents the structures with water molecules that are isolated and kept from contacting other water molecules directly in the lattice structure. Therefore, water molecules exposed to the surface of crystals may be easily lost. However, the creation of holes that were occupied by the water molecules on the surface of the crystals does not provide access for water molecules inside the crystal lattice. The thermogravimetric analysis (TGA) and differential scanning calorimetry (DSC) for the hydrates in this class show sharp endotherms. Cephadrine dihydrate is an example of this class of hydrates.
2. Class II includes hydrates that have water molecules in channels. The water molecules in this class lie continuously next to the other water molecules, forming channels through the crystal. The TGA and DSC data show interesting characteristics of channel hydrate dehydration. Early onset temperature of dehydration is expected, and broad dehydration is also characteristic of the channel hydrates. This is because the dehydration begins from the ends of channels that are open to the surface of crystals. Subsequently, dehydration keeps happening until all the water molecules are removed through the channels. Ampicillin trihydrate belongs to this class. Some hydrates have water molecules in two-dimensional (2D) space, and they are called planar hydrates.
3. Class III includes ion-associated hydrates. Hydrates contain metal-ion coordinated water and the interaction between the metal ions and water molecules is the major force in the structure of crystalline hydrates. The metal–water interactions may be quite strong relative to the other nonbonded interactions, and therefore, dehydration occurs at very high temperatures. In TGA and DSC thermograms, very sharp peaks corresponding to dehydration of water bonded with metal ions are expected at high temperatures.

Hydrates can also exist in various polymorphs, such as in the case of amiloride hydrochloride. A myriad of methods are available to study hydrates and their polymorphs, including DTA, DSC, XRPD, and moisture-uptake studies.

6 AMORPHOUS FORMS

Solid powders, wherein no particular order of molecules are technically noncrystalline, are called amorphous forms. The amorphous forms are formed by vapor condensation, supercooling of a melt, precipitation from solution, and milling and compaction of crystals. These are more like liquids, where the molecular interaction has weakened; in most instances, there would be some crystalline forms among the amorphous forms as well. This two-state model is described in the USP. The amorphous forms are thermodynamically unstable, as they have high energy (that went into breaking intermolecular bonds). As a result, they might turn into a crystalline form, particularly in suspension dosage forms, and even in solid dosage forms, wherein the atmospheric moisture might serve as the nucleation points.

Discovery programs frequently yield amorphous compounds as a result of time pressures, the methods used to isolate them on small scales, and the increasing complexity of newly discovered molecules. Amorphous compounds carry inherent risks because of their physicochemical nature, and as a result, very few Food and Drug Administration (FDA)-approved drugs appear in amorphous forms; examples include accupril/accuretic, intracozazole, accolate (zafirlukast), vira-cept (nelfinavir mesylate), paroxetine. Other drugs that are available in amorphous forms include: celecoxib, amifostine, cefuroxime axetil, cefpodoxime proxetil, and novobiocin. In addition to being a physically metastable physical form, amorphous forms are generally less stable chemically. They also tend to have very low bulk densities, making the materials difficult to isolate and handle. The irregular shape of the powder of amorphous forms creates high surface area, which attracts water molecules making them inherently more hygroscopic.

Although, all these problems can be resolved, generally, the amorphous forms are to be avoided unless the differences in solubility make a significant impact on the bioavailability.

7 HYGROSCOPICITY

Water molecules have polar ends, and readily form hydrogen bonding. As a result, several compounds interact with water molecules by surface adsorption, condensation in capillaries, bulk retention, and chemical interaction, and are called hygroscopic. At times, the interaction between the compounds and water is so strong that the interacting water vapors result in dissolving the compound. This process is called deliquescence, wherein a saturated layer of solution is formed around the particles. Most of these interactions are dependent on critical water vapor pressure or relative humidity (RH). Moisture also induces hydrolysis and other degradation reactions. In addition, its presence affects the physical properties, such as powder flow, dissolution, and even crystal structure. The impact of moisture on the physical or chemical properties of compounds depends on the strength of bonding between the water molecules and the surrounding space where the water molecules are contained. In a tightly bound state, the water molecules are generally not available to induce chemical

reactions. Free water molecules can participate in the creation of a liquid environment around the crystal lattice, where the pH may be altered as a result of the dissolution process. Similarly, water molecules held as crystal hydrates or trapped in an amorphous form are not available to modify the milieu interior of solid powders. It is noteworthy that some hydrates upon taking up moisture convert into hydrates (discussed earlier). This transition can be useful in formulation studies, and this property should be tested for hygroscopic compounds.

The classification of compounds into different hygroscopic categories is based on two types of models: one where the RH and temperature are kept constant and gain in the weight of compound is recorded as per the definitions of the European Pharmacopoeia, the compound tested is stored at 25°C for 24 hours at 80% RH. A slightly hygroscopic compound would show less than 2% m/m mass gain, hygroscopic compounds show less than 15%, and very hygroscopic compounds show more than 15% m/m mass gain; the deliquescent compounds simply liquefy. The dynamic model tests hygroscopic nature at various humidities; compounds showing no mass gain at 90% are called nonhygroscopic, those that do not gain at 90% are slightly hygroscopic, but those that gain 5% over a week's period are called moderately hygroscopic. Where mass increases at 40–50% humidity, these compounds are called very hygroscopic.

Generally, a compound that is very hygroscopic would be less desirable, but if studies show that despite moisture uptake the compound stays stable and workable in the formulation studies; this is an important consideration.

High hygroscopicity is undesirable for many reasons, including handling problems, requirement of special storage conditions, and chemical and physical stability problems. It is difficult to develop acceptance criteria for the amount of moisture, and large batch to batch variations are inevitable. Even if it were possible to define reasonable acceptance criteria, if the compound shows changes in crystal structure as a function of moisture content, this leads to problems in solubility and dissolution profiles that may not be acceptable. Stability of salts at accelerated temperature is complicated when there is significant sorption of moisture, because the properties related to the removal of moisture will be highly dependent on the choice and amount of excipients, the manufacturing process of the final dosage form, and even the impurity profile, both in the lead compound and in the excipients. As a rule, any property, such as hygroscopicity, which makes it difficult to create acceptance criterion should be minimized. Solid-state stability, as a result of hygroscopicity often plays a significant role in determining the dissolution rate, for example, napsylate salts often provide a more stable physical form and thus allow better dissolution.

8 SOLUBILITY

Solubility is a function of hygroscopicity, polymorphism, and chemical nature or pK_a of the salt. If the pK_a is at least two units lower than the pH of the medium, complete dissolution can be achieved; the opposite holds true for basic compounds.

Even though in the early phase of the study the quantity of the compound might be limited, solubility studies need to be carried out as a function of pH, leaving sufficient quantity even after the formation of salt. The solids formed (both wet and dry) should then be studied using the usual techniques, such as DSC, TGA, and XRPD. The method of determining solubility can often provide variable results. The in situ technique often proves more useful to screen out poor solubility compounds; the traditional methods are always preferred. Solubility increase leads to improved bioavailability and liquid formulation, and can be achieved by increasing the melting point or the hydrophobicity of the conjugate anion. Reduced solubility is desired for suspension and controlled release dosage forms, and can be achieved by decreasing the pK_a and increasing the solubility of the conjugate acid.

The choice of salt is greatly determined by solubility considerations; the pH of the resultant solution is important because the salts of the stronger acids produce liquids with a lower pH to promote the dissolution of the basic compounds. However, in places where a common ion effect can operate, such as the use of hydrochloride in gastric fluid, the useful solubility window might be limited, and this modification might not work well. Similarly, when determining the solubility, there can often be significant differences in results obtained depending on whether it is determined in water, saline, or buffer, as a function of the nature of salt. It is not as straightforward as in the case of hydrochloride, where a common ion effect is clearly the most important observation. There is complex effect of pH, common ion effect, and dielectric properties of media.

The dissolution of solid particles of salts can be inhibited if the parent acid or base precipitates at the surface of the particles undergoing dissolution. For example, stearate salts show reduced dissolution if stearic acid layer precipitates on the surface in an acidic pH environment.

The choice of salt is often determined by taste consideration, such as the use of benzathine salts of penicillin V; low solubility salts have lesser taste, but also dissolve slowly, and are often used for preparing depot preparations, such as benzathine salts of penicillin G and V. Similarly, the napsylate salt provides better organoleptic properties as a result of its low solubility when compared with hydrochloride forms.

The stoichiometry of the salts is established by a detailed study of the physical structure using XRPD techniques, and it can at times be not what the chemical structures would generally indicate.

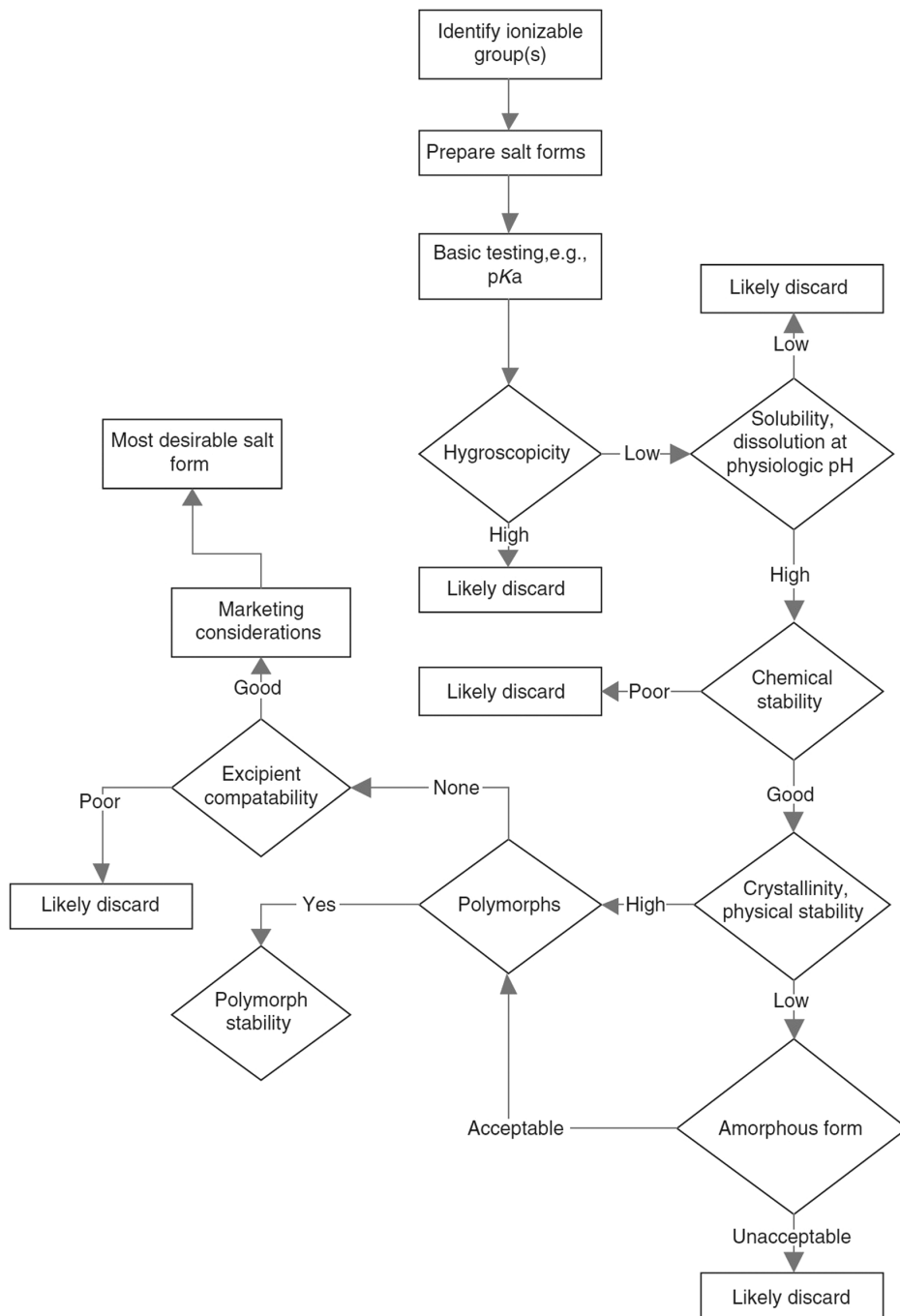
8.1 SALT FORM

Combinatorial chemistry offers many advantages, including the synthesis of larger molecular weight drugs, which are mostly lipophilic. The bioavailability considerations require converting them into salt forms. This trend is apparent from recent regulatory approvals by FDA, where more than 50% of the new drugs approved have been in salt forms. The common methods used for the characterization and the screening of salt forms include:

- XRPD analysis
- Thermal analysis (dsc, tga, thermomechanical analysis)
- Dynamic vapor sorption (dvs)
- Nuclear magnetic resonance (nmr)
- Dissolution (including intrinsic)
- Microscopy (light and polarized)
- Density (intrinsic and bulk)
- Particle size (optical, laser light, and light obscuration)

A process flow for the selection of the best salt form is given in Scheme 9.2.

The choice of using a cation or an anion form is always based on all the factors described earlier. There are fewer salt-forming species for weak acids than there are for weak bases, and the available information suggests that, in general, alkali metal salts exhibit greater solubility than the corresponding alkaline earth salts. Among cations, the most frequently found ion is sodium (62%), followed by potassium and calcium (10%); this is followed by zinc and meglumine (3%), lithium, magnesium diethanolamine, benzathine, ethyldiamine,



SCHEME 9.2 Decision flow diagram to select the best salt form.

aluminum, chlorprocaine, and choline (in decreasing order of frequency). Among anions, the most frequently used counter ion is hydrochloride (almost 50%), followed by sulfate (8%), bromide and chloride (5%), diphosphate, citrate, maleate (3%), iodine mesylate, hydrobromide (2%), acetate, pamoate (1%), isothionate, methylsulfate, salicylate, lactate, methylbromide, nitrate, bitartrate, benzoate, dihydrochloride, gluconate, carbonate, edisylate, mandelate, methylnitrate, subacetate, succinate, benzenesulfonate, calcium edentate, camsylate, edentate, fumarate, glutamate, hydrobromine, napsylate, pantothenate, stearate, gluceptate, bicarbonate, estolate, esylate, glycollyarsinate, hexylresorcinat, lactobionate, maleate, mucate, polygalacturonate, teoate, and triethiodide (in decreasing order of frequency). The choice of counter ions is a function of the pK_a of the weak acid involved in the formation of salt. Table 9.5 lists the pK_a values of weak acids that are most frequently used in salt formation.

To form a salt of a basic compound, the pK_a of the salt-forming acid has to be less than or equal to the pK_a of the basic center of the compound. As a result, very weak basic compounds have a pK_a value around 2. Bases with higher pK_a have a greater range of possibilities for salt formation. As most drugs are weak bases, it is not surprising that hydrochloride, sulfuric, and toluenesulfonic salts are very common.

The chances are high that a newly discovered drug substance would have polarizable groups that make the compound capable of interacting with the receptor sites. The most common polarizable ends are present in acidic or basic compounds. Neutral compounds are inert and mostly inactive, for example, perfluorodecalin is a balanced cyclohexane, wherein the ring electronegativity is neutralized by the strong fluorine molecules. This molecule is inert, and is not degraded

by the body. As the availability of the ionizing center leads to salt formation, the salt formation studies are one of the most important studies at the prenomination stage, because this can affect the solubility, dissolution hygroscopicity, taste, physical and chemical stability, or polymorphism properties of the newly discovered drug substance. It is most likely for these studies to be conducted after establishing the basic physico-chemical properties, in order to allow the comparison with properties in various salt forms. In the order of importance, the selection process follows the following theme.

8.2 MELTING POINT

Solubility is increased when the melting point of the salt is lower, or where there is improved hydrogen bonding (with water), and as a result, the hydroxyl groups in the conjugate acid improve the solubility and the hydrophobic groups reduce the solubility. Often, it is desired to prepare salts with a lower solubility to mask taste, provide slower dissolution, and increase its chemical stability. Melting point increase results in process problem and reduced solubility; this can be achieved by the use of more flexible aliphatic acids with aromatic bases. Move to more highly substituted acids that destroy the crystal symmetry. A decrease in the melting point generally improves the solubility and allows the formation of oil, and can be achieved by the use of small counter-ions, for example, chloride or bromide, or by the use of aromatic conjugate anions if aromatic base, or by using small hydroxyl acids if the drug has good hydrogen bonding potential. The melting point is generally decreased by increasing the hydroxylation of the conjugate acid, and in the cases of common ion dependence, by moving small organic acids. In the case of the sodium salt of drugs, the logarithm of aqueous solubility is often inversely related to melting point.

8.3 DISSOLUTION

The factors described earlier that affect the solubility of a lead compound when choosing a particular salt form—a polymorphic form—a specific crystalline form directly affects the most critical parameter that determines the drug activity, which is the dissolution rate in the biological milieu. The first step in the commencement of dissolution is the wettability of solid particles—there is a direct correlation between wettability and bioavailability. As the milieu of drug administration sites is mostly aqueous in nature, low wettability makes the particles less hygroscopic.

The dissolution of the salts leads to a change in the pH of the dissolution media because of the buffering effect. A base dissolved in acidic media increases the pH, because the acidic counter-ions are trapped into salt forms. Similarly, as the salts dissolve, the pH shift depends on whether it is the acid or the basic component which is weaker. The final balance is always dependent on the relative pK_a of the acidic and alkaline components. This is an important consideration as it explains the difference in the results obtained when the studies are conducted in water or buffer. When enteric protection is desired,

TABLE 9.5
The pK_a of Common Weak Acids Used in Salt Formation

Acid	pK_a
Acetate	4.76
Ascorbate	4.21
Benzoate	4.20
Besylate	2.54
Citrate	3.13
Fumarate	3.0, 4.4
Gluconate	3.60
Hydrobromide	-8.0
Hydrochloride	-6.1
Malate	3.5, 5.1
Mesylate	1.92
Napsylate	0.17
Oleate	~4.0
Phosphate	2.15, 7.20, 12.38
Succinate	4.2, 5.6
Sulfate	-3.0
Tartrate	3.0, 4.3
Tosylate	-0.51

the dissolution rates should be determined in 0.1-N HCl, wherein many differences in the dissolution rates between water and buffer are obviated.

9 STUDY METHODS

At the pre-formulation stage, the limitations of the quantity of the sample available determine to a great degree the type of study to be conducted. Some physical properties are fundamental in nature, whereas others are a manifestation of these basic properties. For example, melting point determination reveals much about the internal structure of crystals, the solubility and dissolution characteristics; the latter properties are the derived properties. As a result, techniques available to study the aforementioned properties are categorized by the U.S. FDA in decreasing order of importance (FDA, The Gold Sheet, 1985). The following is an expanded list of these methodologies available for evaluation:

- Melting point (HSM)
- IRS
- XRPD
- Thermal analytical techniques [e.g., DSC, differential thermal analysis (DTA), TGA, and the like]
- Solid-state Raman spectroscopy
- Crystalline index of refraction
- Phase solubility analysis
- Solution pH profile determination
- Solution calorimetry
- Comparative intrinsic dissolution rates
- Cross-polarization/magic angle spinning (CP/MAS) solid-state NMR
- Hygroscopicity measurement (particularly for salts)

THERMAL ANALYSIS

There are a number of interrelated thermal analytical techniques that can be used to characterize the salts and the polymorphs of candidate drugs. The melting point of a salt can be manipulated to produce compounds with desirable physicochemical properties for specific formulation types. Of the thermal methods available for investigating polymorphism and related phenomena, DSC, TGA, and HSM are the most widely used methods.

9.1 DIFFERENTIAL SCANNING CALORIMETRY

This is one of the most frequently used methods to study solid-state properties. The flux type DSC involves heating the sample and reference samples at a constant rate using thermocouples, to determine how much heat is flowing into each sample and thus finding the differences between the two. Examples of such DSC instrumentation are those provided by Mettler and duPont. The power compensation DSC (e.g., Perkin-Elmer), an exothermic or endothermic event, occurs when a sample is heated, and the power added or subtracted to one or both of the furnaces to compensate for the energy

change occurring in the sample is measured. Thus, the system is maintained in a thermally neutral position at all times, and the amount of power required to maintain the system at equilibrium is directly proportional to the energy changes that are occurring in the sample. In both types of DSC measurements, only a few milligrams of the compound suffices. The sample can be heated in an open pan or in hermetically sealed chambers, where there may or may not be vents to release moisture or solvents; the compound may be subjected to pyrolysis in the testing phase.

Whereas the instrumentation available in the recent years has become very sophisticated, making such analysis possible with great consistency, the interpretation of the results is highly dependent on a keen understanding of the factors that affect the results. For example, such subtle factors as the type of pan, the heating rate used, the nature and mass of the compound, the particle size distribution, packing and porosity, pretreatment and dilution of the sample, and the use of the nitrogen cover can significantly alter the DSC profile obtained, and should be controlled to secure consistency in the repeat results.

A well-designed and properly replicated DSC profile would yield such physical properties as melting (endothermic), solid-state transitions (endothermic), glass transitions, crystallization (endothermic), decomposition (exothermic) and dehydration or desolvation (endothermic), and purity (of high purity compounds; though much less reliable than high-performance liquid chromatography, HPLC).

A heating rate of 10°C/min is a useful compromise between the speed of analysis and detecting any heating rate-dependent phenomena. If any heating rate-dependent phenomena are evident, the experiments should be repeated by varying the heating rate, in order to identify the nature of the transition that might be the result of polymorphism or particle size. It is noteworthy that milling the powder size may alter the profile significantly, and can be confused with polymorphic changes. Using different heating rates often resolves this problem.

A number of parameters can be measured from the various thermal events detected by DSC. For example, for a melting endotherm, the onset, peak temperatures, and enthalpy of fusion can be derived. The onset temperature is obtained by extrapolation from the leading edge of the endotherm to the baseline. The peak temperature is the temperature corresponding to the maximum of the endotherm, and the enthalpy of fusion is derived from the area of the thermogram. It is an accepted custom that the extrapolated onset temperature is taken as the melting point; however, some users report the peak temperature in this respect. We tend to report both for completeness.

Recycling experiments can also be conducted, whereby a sample is heated and then cooled. The thermogram might show a crystallization exotherm for the sample, which on subsequent reheating might show a melting point different from the first run. In a similar way, amorphous forms can be produced by cooling the molten sample to form a glass.

The calibration of a DSC employs the use of standards; the most common ones are listed in Table 9.6. These standards

TABLE 9.6
Standards for Thermal Analysis in the
Order of Increasing Melting Point

Temperature (°C)	Substance
0	Water
26.87	Phenoxybenzene
114.2	Acetanilide
151.4	Adipic acid
156.6	Indium
229	Tin
232	Caffeine
327.5	Lead
419.6	Zinc

must meet a certain criterion of purity. A two-point calibration is often needed, for example, using indium and lead.

A variation of DSC is the MDSC (modulated DSC), wherein heat is applied sinusoidally, such that any thermal events are resolved into reversing and nonreversing components to allow complex and even overlapping processes to be deconvoluted. The heat flow signal in conventional DSC is a combination of “kinetic” and heat capacity responses, and Fourier transform (FT) techniques are used to separate the heat flow component from the underlying heat flow signal. The cyclic heat flow part of the signal (heat capacity, $C_p \times$ heating rate) is termed the reversing heat flow component. The nonreversing part is obtained by subtracting this value from the total heat flow curve. It is important to note that all the noise appear in the nonreversing signal. The limitations of MDSC studies include the requirement of a sufficient number of cycles to cover thermal events. In cases where the samples do not follow the signal or where there is fluctuation in temperature during the sinusoidal ramp, these compounds may not be suitable for this study.

9.2 HOT-STAGE MICROSCOPY

Hot-stage microscopy is a thermal analytical technique, whereby a few milligrams of the material is spread on a microscope slide, which is then placed in the hot stage and heated at various rates and under different atmospheric environments, including very low temperatures. The events can be recorded using video systems. Hot-stage microscopy is routinely used in conjunction with other methods. Although many newer automated methods to observe the melting behavior of crystals are available, to a trained eye, this classic method remains one of the most powerful tools.

9.3 THERMOGRAVIMETRIC ANALYSIS

Thermogravimetric analysis is used to detect the amount of weight lost on heating a sample. It is based on a sensitive balance that records the weight of the sample (generally 5–10 mg) as it is heated under nitrogen. Thermogravimetric analysis experiments can detect the presence of water or solvent in

different locations in the crystal lattice. This technique has an advantage over a Karl Fischer titration or a loss on drying experiment that can only detect the total amount of moisture present. In addition, TGA requires smaller quantities of the compounds than the other two techniques. However, the use of very little sample in TGA can yield erroneous results because of buoyancy and convection current effects. The total amount of moisture lost in TGA experiments is not affected by the heating rate; however, the temperature at which it occurs may vary. It is noteworthy that the dehydration mechanism and activation of the reaction may be dependent on the practice size and sample weight. The TGA is calibrated using magnetic standards.

9.4 SOLUTION CALORIMETRY

Solution calorimetry involves the measurement of heat flow when a compound dissolves into a solvent. There are two types of solution calorimeters, that is, isoperibol and isothermal. In the isoperibol technique, the heat change caused by the dissolution of the solute gives rise to a change in the temperature of the solution. This results in a temperature–time plot from which the heat of the solution is calculated. In contrast, in isothermal solution calorimetry (where, by definition, the temperature is maintained constant), any heat change is compensated by an equal, but opposite, energy change, which is then the heat of solution. The latest micro-solution calorimeter can be used with 3–5 mg of compound. Experimentally, the sample is introduced into the equilibrated solvent system, and the heat flow is measured using a heat conduction calorimeter.

Dissolution of a solute involves several thermal events, such as heat associated with wetting, breakage of lattice bonds, and salvation energy. The peak can be integrated directly to give an enthalpy of dissolution. The relative stability of polymorphs can be investigated in this way by the magnitude and sign (endothermic/exothermic) of the enthalpy of dissolution. A more endothermic (or less exothermic) response indicates that the energy of solvation of the solute does not compensate for the breaking of lattice bonds, and it is therefore the more stable solid (polymorphs).

Solution calorimetry can also be used to evaluate amorphous/crystalline content in a binary mixture. The enthalpy of solution for the amorphous compound is an exothermic event, whereas that of the crystalline hydrate is endothermic. Enthalpy of solution is a sum of several thermal events, that is, heat of wetting (incorporating sorption process, such as surface sorption and complexation), disruption of the crystal lattice, and solvation. The order of magnitude of solution enthalpy for the crystalline compound suggests that the disruption of the crystal lattice predominates over the heat of solvation. In addition, the ready solubility of the compound in aqueous media is probably governed by entropy considerations.

Solution calorimeters are calibrated using KCl in water (for endothermic processes) and Tris-HCl in 0.01-M HCl (for exothermic processes) standards. For example, the heat of

solution ΔH_s) of KCl at 25°C (298.15 K) is 235.86 ± 0.23 J/g. Similarly, the ΔH_s for tris HCl at 25°C is -29.80 kJ/mol.

9.5 ISOTHERMAL MICROCALORIMETRY

Isothermal microcalorimetry can also be used to determine, among other things, the hygroscopicity of substances. In the ramp mode, this technique can be used, like DVS, to examine milligram quantities of compound. This instrument utilizes a perfusion attachment with a precision flow switching valve. The moist gas is pumped into a reaction ampoule through two inlets, one that delivers dry nitrogen at 0% RH and the other that delivers nitrogen that has been saturated by passing it through two humidifier chambers maintained at 100% RH. The required RH is then achieved by the switching valve, which varies the proportion of dry to saturated gas. The RH can then be increased or decreased to determine the effect of moisture on the physico-chemical properties of the compound.

It is probably more popular to perform microcalorimetry in the static mode. In the so-called internal hygrometer method, the compound under investigation is sealed into a vial with a sealed pipette tip containing the saturated salt solution chosen to give the required RH.

9.6 INFRARED SPECTROSCOPY

Infrared spectroscopy differentiates solid-state structures of compounds just as well as it differentiates and identifies the chemical structures and peculiarities. This is because the different arrangements of atoms in the solid state lead to different molecular environments, which in turn induce variability in stretching frequencies. These differences are used to distinguish the polymorphic forms of a compound. The presence of solvent or water can be detected using this technique as a result of the broad—OH stretch associated with water.

The IRS is applied to studies in a number of ways: by Nujol mull, KBr disc, or the diffuse reflectance (DR) technique. In the KBr disc technique, the compound is mixed with KBr and compressed into a disc using a press and die. This compression can be a disadvantage if the compound undergoes a polymorphic transformation under pressure.

Nowadays, most instruments use a FT-infrared (FT-IR) system, a mathematical operation used to translate a complex curve into its component curves. In an FT-IR instrument, the complex curve is an interferogram, or the sum of the constructive and destructive interferences generated by overlapping light waves, and the component curves are the IR spectrum. The standard IR spectrum is calculated from the Fourier-transformed interferogram, giving a spectrum in percent transmittance (%T) versus light frequency (cm^{-1}).

An interferogram is generated because of the unique optics of an FT-IR instrument. The key components are a moveable mirror and a beam splitter. The moveable mirror is responsible for the quality of the interferogram, and it is very important to move the mirror at constant speed. For this reason, the

moveable mirror is often the most expensive component of an FT-IR spectrometer. The beam splitter is just a piece of semi-reflective material, usually Mylar film sandwiched between two pieces of IR-transparent material. The beam splitter splits the IR beam 50/50 to the fixed and moveable mirrors, and then recombines the beams after being reflected at each mirror. The Fourier transform is named after its inventor, the French geometrician and physicist Baron Jean Baptiste Joseph Fourier, born in 1830.

The FT-IR spectra of amorphous forms are often less well defined and can be used to characterize various polymorphic forms. Heating experiments are also possible using IRS, where the variable temperature IRS is conducted to confirm that a solid–solid transition takes place on heating various forms of the compounds.

The disadvantages of conventional IRS, like the need to compress the samples, is overcome when the *diffuse reflectance Fourier transform (DRIFT)* technique is used, whereby a few milligrams of the compound is dispersed in approximately 250 mg of KBr, and the spectrum is obtained by reflection from the surface.

Many substances in their natural states (e.g., powders and rough surface solids) exhibit DR, that is, the incident light is scattered in all directions as opposed to specular (mirror-like) reflection, where the angle of incidence equals the angle of reflection. In practice, the DR spectra are complex, and are strongly dependent upon the conditions under which they are obtained. These spectra can exhibit both absorbance and reflectance features as a result of the contributions from transmission, internal and specular reflectance components and scattering phenomena in the collected radiation. The DR spectra are further complicated by sample preparation, particle size, sample concentration, and optical geometry effects, to name a few. Specular reflection, whether it occurs from a glossy sample surface or from a crystal surface, produces inverted bands (“Restsrahlen bands”) in the DR spectrum, which reduces the usefulness of traditional transmission reference spectra. For highly absorbing samples, these Restsrahlen bands are strong. Grinding and diluting the sample with non-absorbing powder, such as KBr, KCl, Ge, or Si can minimize or eliminate these effects. Grinding reduces the contribution of reflection from large particle faces. Diluting ensures deeper penetration of the incident beam, thus increasing the contribution to the spectrum of the transmission and internal reflection component. The resulting spectra have an appearance more similar to that of the transmittance spectra than bulk reflectance spectra. If sample dilution is not feasible, the spectra may still be improved by using an optical geometry that employs a low incident angle and an offline collection angle.

The DR spectrum of a dilute sample of “infinite depth” (i.e., up to 3 mm) is usually calculated with reference to the diffuse reflectance of the pure diluent to yield the reflectance, $R_{i\bar{A}}$. $R_{i\bar{A}}$ is related to the concentration of the sample, c , by the Kubelka–Munk (K–M) equation:

$$f(R_{i\bar{A}}) = (1 - R_{i\bar{A}})^2 / 2R_{i\bar{A}} = 2.303 ac/s \quad (1)$$

where a is the absorptivity and s is the scattering coefficient. The scattering coefficient depends on both particle size and degree of sample packing. Thus, the K–M function can be used for accurate quantitative analysis, provided the particle size and packing method are strictly controlled. For good diffuse reflectors, plots of the K–M function, $f(R_{i\lambda})$, are analogous to absorbance plots for transmission spectra. Care must be taken in applying the K–M equation when $R_{i\lambda}$ is much less than about 30%, because deviations from linearity can occur when the sample concentration is high.

A modification of the aforementioned DR model is the *praying mantis model*, where the preferred offline type incorporates two 6:1 90 degree off-axis ellipsoidal mirrors. One of the ellipsoids focuses the incident beam on the sample, whereas the second collects the radiation diffusely reflected by the sample. Both ellipsoidal mirrors are tilted forward; therefore, the specular component is deflected behind the collecting ellipsoid and permits the collection of primarily the diffusely reflected component. Another advantage of the “praying mantis” design is the ability to expand the available sampling area indefinitely by rotating the ellipsoids and positioning the sampling point above the optical plane. This accessory may also be used for specular reflectance at a 41.50 angle of incidence. This is achieved by tilting the sample angle as the alignment mirror. Specular sample holders are available for this purpose. Although diffuse reflection spectroscopy primarily measures the spectrum of the bulk, it can be very sensitive to the nature of the sample, for example, powders with a high surface area. Thus, it is valuable for catalysis and oxidation studies. In this application, it is important to measure the spectrum under controlled atmospheres and at high or low temperatures. The “praying mantis” model has a large sampling space between the ellipsoids for additional accessories, such as vacuum chambers. This cell is specially designed to conduct diffuse reflection spectroscopy studies in controlled atmospheres at high (up to 750°C) or low (liquid nitrogen) temperatures and under vacuum or high pressure (e.g., up to 1500 psi).

9.7 X-RAY POWDER DIFFRACTION

X-rays are part of the electromagnetic spectrum lying between ultraviolet and gamma rays, and they are expressed

in angstrom units (\AA). Diffraction is a scattered phenomena, and when X-rays are incident on crystalline solids, they are scattered in all directions. Scattering occurs as a result of the radiation wavelength being in the same order of magnitude as the interatomic distances within the crystal structure. X-rays are extensively used to characterize a crystal. In Figure 9.8, the relationship between the interplanar spacing and the angle of an incident beam is described by Bragg’s equation.

The interference is constructive when the phase shift is proportional to 2π ; this condition can be expressed by Bragg’s law:

$$n\lambda = 2d \sin(\theta) \quad (2)$$

where n is an integer, λ is the wavelength of X-rays, and moving electrons, protons, and neutrons, d is the spacing between the planes in the atomic lattice, and θ is the angle between the incident ray and the scattering planes.

Bragg’s equation gives an easy way to understand XRPD. Powder X-ray diffraction data collected on crystalline samples gives information about peak intensities and peak positions. Peak intensities are determined by the contents of unit cells, and peak positions are closely related to the cell constants. Interplanar spacing is a function of Miller indices and cell constants. Therefore, if the cell constants are known for a crystalline compound, peak positions corresponding to Miller indices can be obtained from the Bragg’s equation: the wavelength, λ , is machine-specific. The determination of cell parameters in structure determination of XRPD pattern is a reverse process to find cell constants from peak positions. Here, note that the cell constants for a unit cell are not affected by the contents in the unit cell. The contents in the unit cell have effects on the peak intensities.

The X-ray diffraction experiment requires an X-ray source, the sample under investigation, and a detector to pick up the diffracted X-rays. Figure 9.9 is a schematic diagram of a powder X-ray diffractometer.

The X-ray radiation most commonly used is that emitted by copper, whose characteristic wavelength for the K radiation is 1.5418 \AA . When the incident beam strikes a powder sample, diffraction occurs in every possible orientation of 2θ . The diffracted beam may be detected by using a moveable detector, such as a Geiger counter, which is connected to a

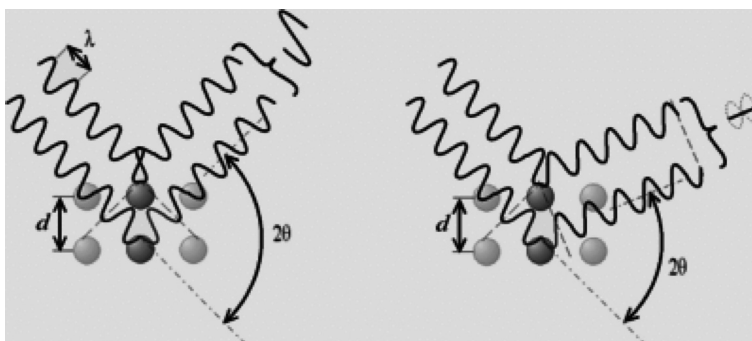


FIGURE 9.8 Bragg's diffraction.

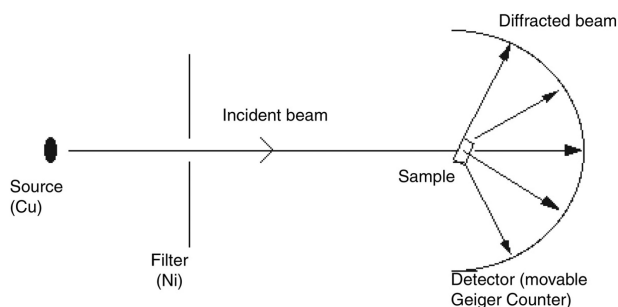


FIGURE 9.9 Design plan of a typical X-ray powder diffractometer.

chart recorder. In normal use, the counter is set to scan over a range of 2θ values at a constant angular velocity. Routinely, a 2θ range of $5\text{--}70^\circ$ is sufficient to cover the most useful part of the powder pattern. The scanning speed of the counter is usually 2θ of $2^\circ/\text{min}$ and therefore, about 30 minutes are needed to obtain a trace.

An X-ray diffractometer is made up to an X-ray tube generating X-rays from, for example, Cu, $K\alpha$, or Co source and a detector. The most common arrangement in pharmaceutical powder studies is the Bragg–Brentano $\theta\text{--}\theta$ configuration. In this arrangement, the X-ray tube is moved through angle θ , and the detector is moved through angle θ . The sample is fixed between the detector and the X-ray shown in Figure 3.

The powder pattern consists of a series of peaks that have been collected at various scattering angles, which are related to d-spacing, so that the unit cell dimensions can be determined. In most cases, the measurement of the d-spacing will suffice to positively identify a crystalline material. If the sample is of amorphous nature, that is, does not show long-range order, the X-rays are not coherently scattered, and no peaks will be observed.

Although XRPD analysis is a relatively straightforward technique for the identification of solid-phase structures, there are sources of error, including the following:

- *Variations in particle size.* Large particle sizes can lead to nonrandom orientation, and hence particles $<10\ \mu\text{m}$ should be used, that is, the sample should be carefully ground. However, if the size is too small, for example, $1\ \mu\text{m}$, it leads to the broadening of the diffraction peaks. Indeed, if the crystal sizes are too small, then the sample may appear to be amorphous.
- *Preferred orientation.* If a powder consists of needle- or plate-shaped particles, these tend to become aligned parallel to the specimen axis, and thus certain planes have a greater chance of reflecting the X-rays. To reduce the errors caused by this source, the sample is usually rotated. Alternatively, the sample can be packed into a capillary.
- *Statistical errors.* The magnitude of statistical errors depends on the number of photons counted. To keep this number small, scanning should be carried out at an appropriately slow speed.

- *Sample height.* The sample should be at the same level as the top of the holder. If the sample height is too low, the pattern shifts down the 2θ scale, and if it is too high, it moves up the 2θ scale.
- *Sample preparation procedures.* The greatest potential source of problems is grinding, which can introduce strain, amorphism, and polymorphic changes. Even the contamination from the process of grinding (e.g., in a mortar) can significantly affect the diffraction pattern. Furthermore, the atmosphere surrounding the sample can create problems as a result of the loss or gain of moisture or carbon dioxide. This is particularly true if a heating stage is used; particularly when a compound undergoes a solid-state transition from a low-melting form to a high-melting form, this can be detected by a change in the diffraction pattern. Using the Anton Parr TTK-450 temperature attachment, the compound can be investigated between subambient temperature and several hundred degrees. In cases where desolvation occurs upon micronization, heating the sample makes the peaks shaper and stronger, indicating an increase in crystallinity. This is analogous to the annealing exotherm observed in the DSC thermogram. In a similar way, the sample can be exposed to varying degrees of humidity in situ, and the diffraction pattern determined
- *Irradiation effects.* Sample exposure can result in solid-state reactions, carbonization, polymorphic changes, and the like, as a result of high energy exposure of the sample.
- *Size of sample.* The limited amount of compound available can be problematic. However, modern diffractometers can use the so-called zero-background holders (ZBH). These are made from a single crystal of silicon that has been cut along a nondiffracting plane, and then polished to an optically flat finish. Thus, X-rays incident on this surface will be negated by Bragg extinction. In this technique, a thin layer of grease is placed on the ZBH surface, and the sample of ground compound is placed on the surface. The excess is removed such that only a monolayer is examined. The total thickness of the sample and grease should be of the order of a few microns. It is important that the sample is deagglomerated so that the monolayer condition is met. Using this technique, the diffraction pattern of approximately 10 mg of the compound can be obtained. One disadvantage of the ZBH is that weak reflections may not be readily detectable because of the small sample size used.
- *Calibration.* The XRPD should be properly calibrated using the standards available from reliable sources, such as the Laboratory of the Government Chemist (LGC) in the United Kingdom or the National Institute of Standards and Technology (NIST) in the United States. Analyzing one or two peaks of LaB6 (line broadening calibrator), at least

weekly, should give confidence in the diffractometer performance and alert the user to any problems that may develop. The common external standards are: silicon, α -quartz, gold, and silicon (SRM 640b). The primary standards for internal d-spacing include silicon (SRM 640b), fluorophlogopite (SRM 675), and the secondary standards for internal d-spacing are: tungsten, silver, quartz, and diamond. The internal quantitative intensity standards are: Al_2O_3 (SRM 676), α - and β -silicon nitride (SRM 656), oxides of Al, Ce, Cr, Ti, and Zn (SRM 674a), α -silicon dioxide (SRM 1878a), and cristobalite (SRM 1879a). A typical external sensitivity standard is Al_2O_3 (SRM 1976).

9.8 PHASE SOLUBILITY ANALYSIS

Solubility is generally estimated by visual observation. The solubility of a compound is initially determined by weighing out 10 mg (or other suitable amount) of the compound. To this is added 10 μL of the solvent of interest. If the compound does not dissolve, a further 40 μL of the solvent is added, and its effect is noted. Successive amounts of the solvent are then added until the compound is observed to dissolve. This procedure should give an approximate value of the solubility. This method does not take into account the kinetic aspects of the dissolution processes involved in solubility measurements. To determine more accurately the concentration of a saturated solution of a compound, the following procedure can be used. A known volume of the solvent, water or buffer is taken into a scintillation vial, and the compound is added until saturation is observed. The solution is then stirred or shaken and the experiment restarted. It is recommended that the experiment be conducted at least overnight or longer, for low solubility compounds. Depending on the amount of the compound available, replicate experiments should be carried out. After stirring or shaking, the solvent should be separated from the suspension by centrifugation or by filtration using polytetrafluoroethylene (PTFE) filters. The filtrate is then assayed preferably by HPLC; however, ultraviolet (UV)-visible spectroscopy can also be used to determine the solubility, if compound stability or impurities are not an issue. This is termed as the thermodynamic solubility. It is also useful to measure the pH of the filtrate, and to characterize any undissolved material by DSC to detect any phase changes that might have occurred.

For high-throughput screening (HTS) of solubility, where the amount of the compound might be severely restricted, reporting kinetic solubility might be adequate. This can be accomplished by using techniques, such as a 96-well microtiter technique with an integral nephelometer, where aliquots of the aqueous solution are placed in the microtiter wells, to which are added 1 μL of the compounds in dimethylsulfoxide (DMSO), and the plate is shaken. The turbidity of the solutions is then measured using the nephelometer. The process is repeated up to 10 times. If turbidity is detected in a cell, the experiment is terminated, that is, solution additions are

stopped, and the solutions are ranked in terms of the number of additions that caused turbidity. This method is suitable to rank the compounds in terms of their solubility and not to measure solubility accurately. A transformation of the amorphous form to a crystalline form would decrease the dissolution rate in most instances. Once the presence of polymorphs is established, the solubility of the polymorphs and the thermodynamic quantities involved in the transition from a metastable to stable polymorph can be calculated. Experimentally, the solubility of the polymorphs are determined at various temperatures, and subsequently, the log of the solubility is plotted against the reciprocal of the temperature (the van't Hoff method), from which the enthalpy of solution can be calculated from the slope. If the lines intersect, it is known as the transition temperature, and one consequence of this is that there may be a transition from one polymorph to another, depending on the storage conditions.

9.9 DYNAMIC VAPOR SORPTION

Measurement of the hygroscopic properties of a compound can be conveniently carried out on small quantities of compound using a DVS system (10) from Surface Measurement Systems (UK) that allows highly accurate measurements under different conditions and materials. The IGA_{sorp} is designed to measure accurately the magnitude and kinetics of moisture sorption onto materials. It is fully automated and combines an ultrasensitive microbalance with precise measurement and control of both humidity and temperature. The IGA® (11) series of instruments uniquely utilize the IGA method to intelligently determine the equilibrium uptakes and kinetics, and the fully automated system is capable of isothermal, isochoric, and temperature programmed determinations. The RH is generated by bubbling nitrogen through a water reservoir, where it is saturated with moisture. Using a mixing chamber, the moist nitrogen is mixed with dry nitrogen in a fixed ratio, thus producing the required RH. The moist nitrogen is then passed over the sample, and the instrument is programmed such that the increase in weight caused by moisture is monitored with time using an ultrasensitive microbalance. The compound takes up moisture and reaches equilibrium, at which point the next RH stage is programmed to start. The adsorption and desorption of moisture can be studied using this instrument, and the effect of temperature can be investigated as well. Using this technique, a quantity as small as 1 mg can be assessed (12).

The SGA-100 Symmetrical Gravimetric Analyzer is a continuous vapor flow sorption instrument for obtaining water and organic vapor isotherms at temperatures ranging from 0°C to 80°C at ambient pressure. As a result of its symmetrical design (both the sample and the reference side of the microbalance are subjected to identical temperature, relative humidity, and flow rate), this instrument achieves great accuracy and stability. Another benefit of this design is the ability to perform absolute or differential adsorption experiments. In addition to isotherms, “isohumes™”, maintaining constant humidity and equilibrating the sample to a series of

temperatures, heats and kinetics of adsorption, hydrate formation can also be studied. The core of the instrument is an isothermal aluminum block containing the sample chamber, which permits very tight control of temperature and RH at the sample. The temperature within the block is kept stable by a constant temperature bath capable of temperature control within 0.01°C. Because of the easy access to the sample and the absence of glass hang-down tubes, this instrument is very easy to operate and highly reliable. The SGA-100 is also very compact, with a footprint of only 18" × 20" (13). The MB-300 G-HP also from VTI Corp is specifically designed to obtain adsorption/desorption isotherms at values above the atmospheric pressures. A stainless steel microbalance head is integrated with a constant temperature bath or cryostat for optimal temperature control, a 5000 torr or higher pressure transducer, and our high-quality hardware and Microsoft™ Windows™ based software. The design of the MB-300 G-HP provides very easy access to the sample. When a cryostat is used, the experimental temperature range is between 190°C and 600°C. The user can also select a constant temperature bath for experimental temperatures of 0–80°C and integrate a furnace for temperatures up to 500°C. The standard configuration is for up to six atmospheres of pressure; however, higher pressures can be achieved by integrating optional equipment. Isobars can also be obtained effortlessly.

The Rubotherm system provides gravimetric sorption measurements carried out in a closed measuring cell completely thermostated up to 700 K or down to 77 K without temperature differences or corrosive parts inside the whole measuring cell with pressures up to 100 MPa and a mass sensitivity down to 1 µg using a magnetic suspension balance to measure mass transfer under controlled environments (14).

Normally, the moisture sorption–desorption profile of the compound is investigated. This can reveal a range of phenomena associated with the solid. For example, on reducing the RH from a high level, hysteresis (separation of the sorption–desorption curves) may be observed. There are two types of hysteresis loops: an open hysteresis loop, where the final moisture content is higher than the starting moisture content due to so-called ink-bottle pores, where condensed moisture is trapped in pores with a narrow neck, and the closed hysteresis loop may be closed due to compounds having capillary pore sizes.

When there is a large uptake of moisture, this often indicates a phase change. In this case, the desorption phase is characterized by only small decreases in the moisture content (depending on the stability of the hydrate formed) until at low RH, when the moisture is lost. In some cases, hydrated amorphous forms are formed on desorption of the hydrate formed on the sorption phase. In this case, the sorption of moisture caused the sample to crystallize as a hydrate, which at higher RH crystallizes into a higher hydrate. The higher hydrates are generally more stable to decreasing RH until the humidity level reaches to less than 10% when most of the sorbed moisture can be lost to regenerate the amorphous forms.

In terms of salt selection procedure, the critical relative humidity (CRH) of each salt should be identified. This

is defined as the point at which the compound starts to sorb moisture. Clearly, compounds or salts that exhibit excessive moisture uptake should be rejected. The level of this uptake is debatable, but those exhibiting deliquescence (where the sample dissolves in the moisture that has been sorbed) should be automatically excluded from further consideration.

The automation of moisture sorption measurements is a relatively recent innovation. Prior to this advance, moisture sorption of compounds (~10 mg) was determined by exposing weighed amounts of compound in dishes placed in sealed desiccators containing saturated salt solutions. Saturated solutions of salts that give defined RH (as a function of temperature) have long been in use. The relative humidity of a saturated solution at 25°C ranges between 0% for silica gel and 100% for water, potassium acetate (20%), calcium chloride (32%), sodium bromide (58%), potassium bromide (84%), and dipotassium hydrogen phosphate (92%). The test samples are placed in chambers containing these salts and then after saturation sorption, analyzed using methods, such as TGA or HPLC, and so on, to ascertain if there had been any phase change due to sorption in the solid state; this may require additional testing using scanning electron microscopy (SEM), DSC, or XRPD.

9.10 DISSOLUTION TESTING

During the pre-formulation stage, an understanding of the dissolution rate of the drug candidate is necessary, as this property of the compound is recognized as a significant factor involved in drug bioavailability. Dissolution of a solid usually takes place in two stages: salvation of the solute molecules by the solvent molecules followed by transport of these molecules from the interface into the bulk medium by convection or diffusion. The major factor that determines the dissolution rate is the aqueous solubility of the compound; however, other factors, such as particle size, crystalline state (polymorphs, hydrates), pH, and buffer concentration can affect the rate. Moreover, physical properties, such as viscosity and wettability can also influence the dissolution process.

Ideally, dissolution should simulate *in vivo* conditions. To do this, it should be carried out in a large volume of dissolution medium, or there must be some mechanism whereby the dissolution medium is constantly replenished by fresh solvent. Provided this condition is met, the dissolution testing is defined as taking place under sink conditions. Conversely, if there is a concentration increase during dissolution testing, such that the dissolution is retarded by a concentration gradient, the dissolution is said to be nonsink. While the use of the USP paddle dissolution apparatus is mandatory when developing a tablet, the rotating disc method has great utility with regard to pre-formulation studies. The intrinsic dissolution rate is the dissolution rate of the compound under the condition of constant surface area. The rationale for the use of a compressed disc of pure material is that the intrinsic tendency of the test material to dissolve can be evaluated without formulation excipients.

Intrinsic dissolution rates of compounds obtained from rotating discs can be theoretically determined. Under hydrodynamic

conditions, the intrinsic dissolution rate is usually proportional to the solubility of the solid. However, the dissolution rate obtained will depend on the rotation speed. Several modifications of rotating disc apparatus have been introduced to force zero intercepts. A disc is generally prepared by compressing about 200 mg of the candidate drug in a hydraulic press; the IR press often proves useful as it gives a disc with a diameter of 1.3 cm. It should be noted that some compounds do not compress well and may exhibit elastic compression properties; that is, the disc may be very weak, rendering the experiment impossible. In addition to poor compression properties, another complication is that some compounds can undergo polymorphic transformations because of the application of pressure. This should therefore be borne in mind if there is insufficient compound to perform, for example, XRPD postcompression.

If the disc has reasonable compression properties, it is then attached to a holder and set in motion in the dissolution medium (water, buffer, or simulated gastric fluid): we use a rotation speed of 100 rpm. A number of analytical techniques can be used to follow the dissolution process; however, UV-visible spectrophotometry and HPLC with fixed or variable wavelength detectors (or diode array) appear to be the most common. The UV system employs a flow through system and does not require much attention; however, if HPLC is used, then any aliquot taken should be replaced by an equal amount of solvent. The intrinsic dissolution rate is given by the slope of the linear portion of the concentration versus time curve divided by the area of the disc and has the units of mg/min cm².

9.11 HIGH-PERFORMANCE LIQUID CHROMATOGRAPHY

High-performance liquid chromatography is used to assess the degradation compounds in testing the stability of new drugs since in these studies the identification of degradation products is very important. Combined with mass spectrometer and the newer instrumentation, liquid chromatography/tandem mass spectroscopy (LC/MS/MS), and so on, offer powerful tools for the elucidation of degradation mechanism.

Isocratic elution is often the most desirable method as it does not require postequilibration phase for the next analysis; this can be an important consideration if a matrix of factors and excipients are studied for interaction. Gradient elution offers the advantage of sharper peaks, increased sensitivity, greater peak capacity, and selectivity (increased resolving power).

The type of detector to be used is usually dictated by the chemical structure of the compound under investigations. As most compounds of pharmaceutical interest contain aromatic rings, UV detection is the most common detection method. When using this technique, the most appropriate wavelength is selected from the UV spectrum of the pure compound and that of the system suitability sample. Usually, the λ_{\max} is chosen; however, in order to remove unwanted interference, it may be necessary to move away from this value. Where possible, the use of wavelength <250 nm should be avoided because of the high level of background interference and solvent adsorption. In practical terms, this requires the use of far-UV grade solvents and the avoidance of organic buffers.

Other types of detection include refractive index, fluorescence, or mass selective detectors. The use of other types of detectors, such as those based on fluorescence, may be used for assaying compounds that can be specifically detected at low concentrations in the presence of nonfluorescent species. However, as few compounds are naturally fluorescent, they require chemical modification, assuming they have a suitable reactive group, to give a fluorescent derivative.

During the early stage of development, the amount of method validation carried out is likely to be limited because of compound availability. However, a calibration curve should be obtained using either an internal standard or external standard procedure. The latter procedure is commonly employed by injecting a fixed volume of standard samples containing a range of known concentrations of the compound of interest. Plots of peak height and/or area versus concentration are checked for linearity by subjecting the data to linear regression analysis. Other tests may be carried out, such as the limit of detection, precision of the detector response, accuracy, reproducibility, specificity, and ruggedness, if more extensive validation is required.

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READING RECOMMENDATIONS

Al-Nimry, S. S. and K. A. Alkhamis (2018). "Effect of moisture content of chitin-calcium silicate on rate of degradation of cefotaxime sodium." *AAPS PharmSciTech* 19(3): 1337–1343.

Assessment of incompatibilities between active pharmaceutical ingredient and pharmaceutical excipients is an important part of pre-formulation studies. The objective of the work was to assess the effect of moisture content of chitin calcium silicate of two size ranges (two specific surface areas) on the rate of degradation of cefotaxime sodium. The surface area of the excipient was determined using adsorption method. The effect of moisture content of a given size range on the stability of the drug was determined at 40°C in the solid state. The moisture content was determined at the beginning and the end of the kinetic study using TGA. The degradation in solution was studied for comparison. Increasing the moisture content of the excipient of size range 63–180 μm (surface area 7.2 m²/g) from 3.88 to 8.06% increased the rate of degradation of the drug more than two times (from 0.0317 to 0.0718 h⁻¹). While an opposite trend was observed for the excipient of size

range < 63 μm (surface area 55.4 m^2/g). The rate of degradation at moisture content < 3% was 0.4547 h^{-1} , almost two times higher than that (0.2594 h^{-1}) at moisture content of 8.54%, and the degradation in solid state at both moisture contents was higher than that in solution (0.0871 h^{-1}). In conclusion, the rate of degradation in solid should be studied taking into consideration the specific surface area and moisture content of the excipient at the storage condition and it may be higher than that in solution.

Alsenz, J., et al. (2016). "Miniaturized INtrinsic DISsolution screening (MINDISS) assay for preformulation." *Eur J Pharm Sci* 87: 3–13.

This study describes a novel Miniaturized INtrinsic DISsolution Screening (MINDISS) assay for measuring disk intrinsic dissolution rates (DIDR). In MINDISS, compacted mini disks of drugs (2–5 mg/disk) are prepared in custom made holders with a surface area of 3 mm^2 . Disks are immersed, pellet side down, into 0.35 ml of appropriate dissolution media per well in 96-well microtiter plates, media are stirred and disk-holders are transferred to new wells after defined periods of time. After filtration, drug concentration in dissolution media is quantified by Ultra Performance Liquid Chromatography (UPLC) and solid state property of the disk is characterized by Raman spectroscopy. MINDISS was identified as an easy-to-use tool for rapid, parallel determination of DIDR of compounds that requires only small amounts of compound and of dissolution medium. Results obtained with marketed drugs in MINDISS correlate well with large scale DIDR methods and indicate that MINDISS can be used for (1) rank-ordering of compounds by intrinsic dissolution in late phase discovery and early development, (2) comparison of polymorphic forms and salts, (3) screening and selection of appropriate dissolution media, and (4) characterization of the intestinal release behavior of compounds along the gastro intestinal tract by changing biorelevant media during experiments.

Antovska, P., et al. (2013). "Solid-state compatibility screening of excipients suitable for development of indapamide sustained release solid-dosage formulation." *Pharm Dev Technol* 18(2): 481–489.

Differential scanning calorimetry and Fourier transform infrared spectroscopy were applied as screening analytical methods to assess the solid-state compatibility of indapamide (4-chloro-N-(2-methyl-2,3-dihydroindol-1-yl)-3-sulfamoyl-benzamide) with several polymers aimed for development of 24 h sustained-release solid-dosage formulation. After the initial research phase which was directed towards selection of suitable polymer matrices, based on their solid-state compatibility with the studied pharmaceutical active ingredient, the second phase of evaluation was intended for compatibility selection of other excipients required to complete a sustained release formulation. The pre-formulation studies have shown that polyvinylpyrrolidone/polyvinyl acetate might be considered incompatible with indapamide, and the implementation of this polymer carrier should be avoided in the case of the entitled development. The experimental data additionally have revealed that sorbitol is incompatible with indapamide. The obtained results afforded deeper insight into the solid-state stability of the studied binary systems and pointed out directions for further development of indapamide sustained release solid-dosage formulation.

Baertschi, S. W., et al. (2015). "Implications of in-use photostability: Proposed guidance for photostability testing and labeling to support the administration of photosensitive pharmaceutical

products, Part 2: Topical drug product." *J Pharm Sci* 104(9): 2688–2701.

Although essential guidance to cover the photostability testing of pharmaceuticals for manufacturing and storage is well-established, there continues to be a significant gap in guidance regarding testing to support the effective administration of photosensitive drug products. Continuing from Part 1, (Baertschi SW, Clapham D, Foti C, Jansen PJ, Kristensen S, Reed RA, Templeton AC, Tonnesen HH. 2013. *J Pharm Sci* 102:3888–3899) where the focus was drug products administered by injection, this commentary proposes guidance for testing topical drug products in order to support administration. As with the previous commentary, the approach taken is to examine "worst case" photoexposure scenarios in comparison with ICH testing conditions to provide practical guidance for the safe and effective administration of photosensitive topical drug products.

Baghel, S., et al. (2016). "Polymeric amorphous solid dispersions: A review of amorphization, crystallization, stabilization, solid-state characterization, and aqueous solubilization of biopharmaceutical classification system class II drugs." *J Pharm Sci* 105(9): 2527–2544.

Poor water solubility of many drugs has emerged as one of the major challenges in the pharmaceutical world. Polymer-based amorphous solid dispersions have been considered as the major advancement in overcoming limited aqueous solubility and oral absorption issues. The principle drawback of this approach is that they can lack necessary stability and revert to the crystalline form on storage. Significant upfront development is, therefore, required to generate stable amorphous formulations. A thorough understanding of the processes occurring at a molecular level is imperative for the rational design of amorphous solid dispersion products. This review attempts to address the critical molecular and thermodynamic aspects governing the physicochemical properties of such systems. A brief introduction to Biopharmaceutical Classification System, solid dispersions, glass transition, and solubility advantage of amorphous drugs is provided. The objective of this review is to weigh the current understanding of solid dispersion chemistry and to critically review the theoretical, technical, and molecular aspects of solid dispersions (amorphization and crystallization) and potential advantage of polymers (stabilization and solubilization) as inert, hydrophilic, pharmaceutical carrier matrices. In addition, different pre-formulation tools for the rational selection of polymers, state-of-the-art techniques for preparation and characterization of polymeric amorphous solid dispersions, and drug supersaturation in gastric media are also discussed.

Barrio, M., et al. (2017). "Pressure-temperature phase diagram of the dimorphism of the anti-inflammatory drug nimesulide." *Int J Pharm* 525(1): 54–59.

Understanding the phase behavior of active pharmaceutical ingredients is important for formulations of dosage forms and regulatory reasons. Nimesulide is an anti-inflammatory drug that is known to exhibit dimorphism; however up to now its stability behavior was not clear, as few thermodynamic data were available. Therefore, calorimetric melting data have been obtained, which were found to be $T_{\text{I-L}}=422.4 \pm 1.0$ K, $\Delta H_{\text{I} \rightarrow \text{L}}=117.5 \pm 5.2$ Jg $^{-1}$, $T_{\text{II-L}}=419.8 \pm 1.0$ K and $\Delta H_{\text{II} \rightarrow \text{L}}=108.6 \pm 3.3$ Jg $^{-1}$. In addition, vapor-pressure data, high-pressure melting data, and specific volumes have been obtained. It is demonstrated that form II is intrinsically monotropic in relation to form I and the latter would thus be the best polymorph to use for drug formulations. This result has been obtained by experimental means, involving

high-pressure measurements. Furthermore, it has been shown that with very limited experimental and statistical data, the same conclusion can be obtained, demonstrating that in first instance topological pressure-temperature phase diagrams can be obtained without necessarily measuring any high-pressure data. It provides a quick method to verify the phase behavior of the known phases of an active pharmaceutical ingredient under different pressure and temperature conditions.

Beg, S., et al. (2013). "Development of solid self-nanoemulsifying granules (SSNEGs) of ondansetron hydrochloride with enhanced bioavailability potential." *Colloids Surf B Biointerfaces* 101: 414–423.

The current work aims to prepare the solid self-nanoemulsifying granules (SSNEGs) of ondansetron hydrochloride (ONH) to enhance its oral bioavailability by improving its aqueous solubility and facilitating its absorption through lymphatic pathways. Pre-formulation studies including screening of excipients for solubility and pseudoternary phase diagrams suggested the suitability of Capmul MCM as lipid, Labrasol as surfactant, and Tween 20 as cosurfactant for preparation of self-emulsifying formulations. Preliminary composition of the SNEDDS formulations were selected from the phase diagrams and subjected to thermodynamic stability studies and dispersibility tests. The prepared liquid SNEDDS formulations were characterized for viscosity, refractive index, droplet size and zeta potential. The TEM study confirmed the formation of nanoemulsion following dilution of liquid SNEDDS. The optimized liquid SNEDDS were transformed into free flowing granules by adsorption on the porous carriers like Sylsilia (350, 550, and 730) and Neusilin US2. Solid state characterization employing the FTIR, DSC and powder XRD studies indicated lack of any significant interaction of drug with the lipidic and emulsifying excipients, and porous carriers. In vitro drug release studies indicated faster solubilization of the drug by optimized SSNEGs (over 80% within 30 min) vis-a-vis the pure drug (only 35% within 30 min). In vivo pharmacokinetic studies in Wistar rats observed significant increase in C(max) (3.01-fold) and AUC (5.34-fold) using SSNEGs compared to pure drug, whereas no significant difference ($p > 0.1$) was observed with the liquid SNEDDS. Thus, the present studies ratify the bioavailability enhancement potential of SSNEGs of ONH prepared using porous carriers.

Chadha, R. and S. Bhandari (2014). "Drug-excipient compatibility screening—role of thermoanalytical and spectroscopic techniques." *J Pharm Biomed Anal* 87: 82–97.

Estimation of drug-excipient interactions is a crucial step in pre-formulation studies of drug development to achieve consistent stability, bioavailability and manufacturability of solid dosage forms. The advent of thermoanalytical and spectroscopic methods like DSC, isothermal microcalorimetry, HSM, SEM, FT-IR, solid-state NMR, and PXRD into pre-formulation studies have contributed significantly to early prediction, monitoring, and characterization of the active pharmaceutical ingredient incompatibility with pharmaceutical excipients to avoid expensive material wastage and considerably reduce the time required to arrive at an appropriate formulation. Concomitant use of several thermal and spectroscopic techniques allows an in-depth understanding of physical or chemical drug-excipient interactions and aids in selection of the most appropriate excipients in dosage form design. The present review focuses on the techniques for compatibility screening of active pharmaceutical ingredient with their potential merits and demerits. Further, the review highlights the applicability of these techniques using specific drug-excipient compatibility case studies.

Dutta, A. K., et al. (2011). "Physicochemical characterization of NPC 1161C, a novel antimalarial 8-aminoquinoline, in solution and solid state." *AAPS PharmSciTech* 12(1): 177–191.

NPC 1161C is a novel antimalarial drug of interest because of its superior curative and prophylactic activity, and favorable toxicity profile against in vivo and in vitro models of malaria, pneumocystis carinii pneumonia, and leishmaniasis. The pre-formulation studies performed included determination of pK(a)s, aqueous and pH solubility, cosolvent solubility, log P, pH stability, thermal analysis, and preliminary hygroscopicity studies. The mean pK(a1), pK(a2), and pK(a3) were determined to be 10.12, 4.07, and 1.88, respectively. The aqueous solubility was found to be 2.4×10^{-4} M having a saturated solution pH of 4.3–5.0 and a low intrinsic solubility of 1.6×10^{-6} M. A mathematical model of the pH-solubility profile was derived from pH 2.2 to 8.0. An exponential decrease in solubility was observed with increasing pH. The excess solid phase in equilibrium with the solution in aqueous buffers was determined to be the free-base form of the drug. A significant increase in solubility was observed with all the cosolvents studied, in both unbuffered and buffered systems. Mean log P of the salt and the free base were estimated to be 2.18 and 3.70, respectively. The compound had poor stability at pH 7.0 at 37°C, with a t(90) of 3.58 days. Thermal analysis of the drug using DSC and TGA revealed that the drug is present as a semi-crystalline powder, which transformed into the amorphous state after melting. The drug was also found to sublime at higher temperatures. Determination of physicochemical properties of NPC 1161C provided useful information for the development of a dosage form and preclinical evaluation.

Erxleben, A. (2016). "Application of vibrational spectroscopy to study solid-state transformations of pharmaceuticals." *Curr Pharm Des* 22(32): 4883–4911.

Understanding the properties, stability, and transformations of the solid-state forms of an active pharmaceutical ingredient (API) in the development pipeline is of crucial importance for process-development, formulation development and FDA approval. Investigation of the polymorphism and polymorphic stability is a routine part of the pre-formulation studies. Vibrational spectroscopy allows the real-time in situ monitoring of phase transformations and probes intermolecular interactions between API molecules, between API and polymer in amorphous solid dispersions or between API and coformer in cocrystals or coamorphous systems, and thus plays a major role in efforts to gain a predictive understanding of the relative stability of solid-state forms and formulations. Infrared (IR), near-infrared (NIR), and Raman spectroscopies, alone or in combination with other analytical methods, are important tools for studying transformations between different crystalline forms, between the crystalline and amorphous form, between hydrate and anhydrous form and for investigating solid-state cocrystal formation. The development of simple-to-use and cost-effective instruments on the one hand and recent technological advances such as access to the low-frequency Raman range down to 5 cm^{-1} , on the other, have led to an exponential growth of the literature in the field. This review discusses the application of IR, NIR, and Raman spectroscopies in the study of solid-state transformations with a focus on the literature published over the last eight years.

Fan, Y., et al. (2015). "Preformulation characterization and in vivo absorption in beagle dogs of JFD, a novel anti-obesity drug for oral delivery." *Drug Dev Ind Pharm* 41(5): 801–811.

JFD (N-isoleucyl-4-methyl-1,1-cyclopropyl-1-(4-chlorine) phenyl-2-amylamine.HCl) is a novel investigational anti-obesity drug without obvious cardiotoxicity. The objective of this study was to characterize the key physicochemical properties of JFD, including solution-state characterization (ionization constant, partition coefficient, aqueous and pH-solubility profile), solid-state characterization (particle size, thermal analysis, crystallinity, and hygroscopicity) and drug-excipient chemical compatibility. A supporting *in vivo* absorption study was also carried out in beagle dogs. JFD bulk powders are prismatic crystals with a low degree of crystallinity, particle sizes of which are within 2–10 μm^2 . JFD is highly hygroscopic, easily deliquesces to an amorphous glass solid and changes subsequently to another crystal form under an elevated moisture/temperature condition. Similar physical instability was also observed in real-time CheqSol solubility assay. $\text{pK}(\text{a})$ (7.49 ± 0.01), $\log P$ (5.10 ± 0.02) and intrinsic solubility (S_0) (1.75 mg/ml) at 37°C of JFD were obtained using potentiometric titration method. Based on these solution-state properties, JFD was estimated to be classified as BCS II, thus its dissolution rate may be an absorption-limiting step. Moreover, JFD was more chemically compatible with dibasic calcium phosphate, mannitol, hypromellose, and colloidal silicon dioxide than with lactose and magnesium stearate. Further, JFD exhibited an acceptable pharmacokinetic profiling in beagle dogs and the pharmacokinetic parameters $T(\text{max})$, $C(\text{max})$, $\text{AUC}(0\text{-}t)$ and absolute bioavailability were $1.60 \pm 0.81 \text{ h}$, $0.78 \pm 0.47 \text{ mg/ml}$, $3.77 \pm 1.85 \text{ mg}\cdot\text{h/ml}$ and $52.30 \pm 19.39\%$, respectively. The pre-formulation characterization provides valuable information for further development of oral administration of JFD.

Gajdziok, J. and B. Vranikova (2015). "Enhancing of drug bioavailability using liquisolid system formulation." *Ceska Slov Farm* 64(3): 55–66.

One of the modern technologies of how to ensure sufficient bioavailability of drugs with limited water solubility is represented by the preparation of liquisolid systems. The functional principle of these formulations is the sorption of a drug in a liquid phase to a porous carrier (aluminometasilicates, microcrystalline cellulose, etc.). After addition of further excipients, in particular a coating material (colloidal silica), a powder is formed with the properties suitable for conversion to conventional solid unit dosage forms for oral administration (tablets, capsules). The drug is subsequently administered to the GIT already in a dissolved state, and moreover, the high surface area of the excipients and their surface hydrophilization by the solvent used, facilitates its contact with and release to the dissolution medium and GI fluids. This technology, due to its ease of preparation, represents an interesting alternative to the currently used methods of bioavailability improvement. The article follows up, by describing the specific aspects influencing the preparation of liquid systems, on the already published papers about the bioavailability of drugs and the possibilities of its technological improvement. Key words: liquisolid systems bioavailability porous carrier coating material pre-formulation studies.

Kim, M. S., et al. (2013). "Supersaturatable formulations for the enhanced oral absorption of sirolimus." *Int J Pharm* 445(1–2): 108–116.

The purpose of this study was to develop supersaturatable formulations for the enhanced solubility and oral absorption of sirolimus. Supersaturatable formulations of hydrophilic polymers and/or surfactants were screened by formulation screening, which is based on solvent casting. The solid dispersion particles in the optimized formulations were prepared by spray drying. The particles were characterized *in vitro* and *in vivo*.

The most effective supersaturatable formulation found in the formulation screening process was hydroxypropylmethyl cellulose (HPMC)-D-alpha-tocopheryl polyethylene glycol 1000 succinate (TPGS), followed by HPMC-Sucroester. In addition, the supersaturated state generated from HPMC-TPGS and HPMC-Sucroester 15 particles prepared by spray drying significantly improved the oral absorption of sirolimus in rats. Based on the pharmacokinetic parameters and supporting *in vitro* supersaturated dissolution data, the enhanced supersaturation properties of sirolimus led to enhanced *in vivo* oral absorption. In addition, the experimental results from the formulation screening used in our study could be useful for enhancing the bioavailability of sirolimus in pre-formulation and formulation studies.

Kumar, L., et al. (2013). "Effect of counterion on the solid state photodegradation behavior of prazosin salts." *AAPS PharmSciTech* 14(2): 757–763.

The effect of counterion was evaluated on the photodegradation behavior of six prazosin salts, viz., prazosin hydrochloride anhydrous, prazosin hydrochloride polyhydrate, prazosin tosylate anhydrous, prazosin tosylate monohydrate, prazosin oxalate dihydrate, and prazosin camsylate anhydrous. The salts were subjected to UV-Visible irradiation in a photostability test chamber for 10 days. The samples were analyzed for chemical changes by a specific stability-indicating high-performance liquid chromatography method. pH of the microenvironment was determined in 10%w/v aqueous slurry of the salts. The observed order of photostability was: prazosin hydrochloride anhydrous > prazosin camsylate anhydrous > prazosin-free base > prazosin hydrochloride polyhydrate > prazosin tosylate anhydrous > prazosin oxalate dihydrate > prazosin tosylate monohydrate. Multivariate analysis of the photodegradation behavior suggested predominant contribution of the state of hydration and also intrinsic photosensitivity of the counterion. Overall, hydrated salts showed higher photodegradation compared to their anhydrous counterparts. Within the anhydrous salts, aromatic and carbonyl counterion-containing salts showed higher susceptibility to light. The pH of microenvironment furthermore contributed to photodegradation of prazosin salts, especially for drug counterions with inherent higher pH. The study reveals importance of selection of a suitable drug salt form for photosensitive drugs during pre-formulation stage of drug development.

Liltorp, K., et al. (2011). "Solid state compatibility studies with tablet excipients using non thermal methods." *J Pharm Biomed Anal* 55(3): 424–428.

Compatibility between two new active pharmaceutical ingredients (API) and several pharmaceutical excipients used in solid formulations has been investigated by FT-IR and HPLC following storage under two different conditions. Compatibility was investigated by storage at isothermal stress conditions for (i) 3 days and subsequently analysed by FT-IR and (ii) 12 weeks of storage and analysis by HPLC. For the majority of the examined excipients a large degradation measured by HPLC after 12 weeks storage was also detected by FT-IR following storage at isothermal stress conditions for 3 days, i.e. there was a general agreement between the results obtained by the two protocols. Further, the FT-IR method showed clear incompatibility with three excipients where no degradation products were detected by HPLC, but where a significant decrease in the API quantified by the HPLC assay, was observed. The accelerated method thus showed a clear advantage: incompatibility found after 12 weeks using HPLC was seen after 3 days with FT-IR. Furthermore, FT-IR provides an insight into structural changes not seen with HPLC. This is exemplified by the desalting of

a hydrogen bromide salt of one of the two compounds, which might lead to changes of the intrinsic dissolution rate and potentially affect the bioavailability of the API.

Madsen, C. M., et al. (2016). "Supersaturation of zafirlukast in fasted and fed state intestinal media with and without precipitation inhibitors." *Eur J Pharm Sci* 91: 31–39.

Poor water solubility is a bottleneck in the development of many new drug candidates, and understanding and circumventing this is essential for a more effective drug development. Zafirlukast (ZA) is a leukotriene antagonist marketed for the treatment of asthma (Accolate(R)). ZA is poorly water soluble, and is formulated in an amorphous form (aZA) to improve its solubility and oral bioavailability. It has been shown that upon dissolution of aZA, the concentration of ZA in solution is supersaturated with respect to its stable crystalline form (ZA monohydrate), and thus, in theory, the bioavailability increases upon amorphization of ZA. The polymers hydroxypropylmethylcellulose (HPMC) and polyvinylpyrrolidone (PVP), often used as stabilizers of the supersaturated state, are in the excipient list of Accolate(R). It is not recommended to take Accolate(R) with food, as this reduces the bioavailability by 40%. The aim of this study was to investigate the effect of simulated fasted and fed state intestinal media as well as the effect of HPMC and PVP on the supersaturation and precipitation of ZA in vitro. Supersaturation of aZA was studied in vitro in a small scale setup using the muDiss Profiler. Several media were used for this study: One medium simulating the fasted state intestinal fluids and three media simulating different fed state intestinal fluids. Solid-state changes of the drug were investigated by small-angle X-ray scattering. The duration wherein aZA was maintained at a supersaturated state was prolonged in the presence of HPMC and lasted more than 20 h in the presence of PVP in a fasted state intestinal medium. The presence of PVP increased the concentration of drug dissolved in the supersaturated state. The duration of supersaturation was shorter in fed than in a fasted state simulated intestinal media, but the concentration during supersaturation was higher. It was thus not possible to predict any positive or negative food effects from the dissolution/precipitation curves from different media. Lipolysis products in the fed state simulated media seemed to cause both a negative effect on the duration of supersaturation, and an increased drug concentration during supersaturation. In contrast, when testing the effect of a fed state simulated medium compared to the fasted state medium, in the presence of PVP, a clear negative effect was seen on the dissolution/precipitation curve of the fed state medium. The drug concentration during supersaturation was marginally different in the two media, but a precipitation of ZA was seen in the fed state medium, which was not observed in the fasted state medium. Solid state transformation from aZA to ZA monohydrate (mhZA) upon precipitation of the supersaturated solutions was confirmed by small-angle X-ray scattering. All of these results can explain the described in vivo behavior of ZA. For ZA simple dissolution experiments in vitro can be used to examine supersaturation, effectiveness of PI, and potential food effects on these.

Malaj, L., et al. (2011). "Characterization of nicergoline polymorphs crystallized in several organic solvents." *J Pharm Sci* 100(7): 2610–2622.

Nicergoline (NIC), a poorly water-soluble semisynthetic ergot derivative, was crystallized from several organic solvents, obtaining two different polymorphic forms, the triclinic form I and the orthorhombic form II. NIC samples were then characterized by several techniques such as ¹³C cross-polarization magic angle spinning solid-state spectroscopy,

room-temperature and high-temperature X-ray powder diffraction, differential scanning calorimetry, and by analysis of weight loss, solvent content, powder density, morphology, and particle size. Solubility and intrinsic dissolution rates determined for the two polymorphic forms in water and hydrochloride solutions (HCl 0.1 N) were always higher for form II than for form I, which is actually the form used for the industrial preparation of NIC medicinal products. Pre-formulation studies might encourage industry for the evaluation of polymorph II, as it is more suitable for pharmaceutical applications. Results in drug delivery, as well as those obtained by the above-mentioned techniques, and the application of Burger-Ramberger's rules make it possible to conclude that there is a thermodynamic relation of monotropy between the two polymorphs. This last assumption may help formulators in predicting the relative stability of the two forms.

Moriyama, K., et al. (2017). "Visualization of protonation/deprotonation of active pharmaceutical ingredient in solid state by vapor phase amine-selective alkyne tagging and raman imaging." *J Pharm Sci* 106(7): 1778–1785.

Here, we report a simple and direct method to visualize the protonation/deprotonation of an amine active pharmaceutical ingredient (API) in the solid state using a solid-vapor reaction with propargyl bromide and Raman imaging for the assessment of the API during the manufacturing process of solid formulations. An alkyne tagging occurred on the free form of solid haloperidol by the vapor phase reaction, and a distinct Raman signal of alkyne was detected. Alkyne signal monitoring by Raman imaging enabled us to visualize the distribution of the free-form haloperidol in a solid formulation. On the other hand, haloperidol hydrochloride did not react with propargyl bromide in the solid-vapor reaction, and the alkyne signal was not observed. Using the difference in reactivity, the protonation/deprotonation of the amine API in the solid state could be visualized. As an example of application, we tried to visually assess the protonation/deprotonation state when the free-form haloperidol was ground with acids using the solid-vapor reaction and Raman imaging and found that haloperidol was partially protonated when ground with 2 equivalents of hydrogen chloride. Furthermore, we demonstrated the relationship between the degree of protonation and the amount of water added as a medium for grinding haloperidol with succinic acid.

Mortko, C. J., et al. (2010). "Risk assessment and physicochemical characterization of a metastable dihydrate API phase for intravenous formulation development." *J Pharm Sci* 99(12): 4973–4981.

(1S,5R)-2-[[[(4S)-azepan-4-ylamino]carbonyl]-7-oxo-2,6-diazabicyclo[3.2.0] heptane-6-sulfonic acid (Compound 1) is a beta-lactamase inhibitor for intravenous administration. The objective of this pre-formulation study was to determine the most appropriate form of the API for development. Compound 1 can exist as an amorphous solid and four distinct crystalline phases A, B, C, and D in the solid state. Slurry experiments along with analysis of physicochemical properties were used to construct a phase diagram and select the most suitable form of the API for development. In aqueous formulations, the dihydrate form of the API was predominant and, due to the more favorable solubility and dissolution profile required for preclinical and clinical studies, a metastable form of the API was selected, and the risks associated with developing this form were evaluated.

Nie, H., et al. (2016). "Impact of metallic stearates on disproportionation of hydrochloride salts of weak bases in solid-state formulations." *Mol Pharm* 13(10): 3541–3552.

Excipient-induced salt disproportionation (conversion from salt form to free form) in the solid state during storage or manufacturing is a severe formulation issue that can negatively influence product performance. However, the role of excipient properties on salt disproportionation and mechanisms of proton transfer between salt and excipients are still unclear. Moreover, knowledge about the formation of disproportionation products and the consequent impact of these reactions products on the disproportionation process is still inadequate. In the present study, three commonly used lubricants (sodium stearate, calcium stearate, and magnesium stearate) were mixed with a hydrochloride salt as binary mixtures to examine their different capabilities for inducing salt disproportionation at a stressed storage condition (40°C/65% RH). The overall objective of this research is to explore factors influencing the kinetics and extent of disproportionation including surface area, alkalinity, hygroscopicity, formation of new species, etc. In addition, we also aim to clarify the reaction mechanism and proton transfer between the model salt and stearates to provide insight into the in situ formed reaction products. We found that the properties of stearates significantly affect the disproportionation process in the initial stage of storage, while properties of the reaction products negatively affect the hygroscopicity of the powder mixture promoting disproportionation during longer-term storage. In addition, lubrication difference among three stearates was evaluated by performing compaction studies. The findings of this study provide an improved understanding of the proton transfer mechanism between the ionized form of an active pharmaceutical ingredient and excipients in solid dosage forms. It also provides pragmatic information for formulation scientists to select appropriate lubricants and other excipients, and to design robust formulations.

Nie, H., et al. (2017). "Crystalline solid dispersion-a strategy to slowdown salt disproportionation in solid state formulations during storage and wet granulation." *Int J Pharm* 517(1–2): 203–215.

Salt disproportionation (a conversion from the ionized to the neutral state) in solid formulations is a potential concern during manufacturing or storage of products containing a salt of the active pharmaceutical ingredient (API) due to the negative ramifications on product performance. However, it is challenging to find an effective approach to prevent or mitigate this undesirable reaction in formulations. Hence, the overall objective of this study is to explore novel formulation strategies to reduce the risk of salt disproportionation in pharmaceutical products. Crystals of pioglitazone hydrochloride salt were dispersed into polymeric matrices as a means of preventing the pharmaceutical salt from direct contact with problematic excipients. It was found that the level of salt disproportionation could be successfully reduced during storage or wet granulation by embedding a crystalline salt into a polymeric carrier. Furthermore, the impact of different polymers on the disproportionation process of a salt of a weakly basic API was investigated herein. Disproportionation of pioglitazone hydrochloride salt was found to be significantly affected by the physicochemical properties of different polymers including hygroscopicity and acidity of substituents. These findings provide an improved understanding of the role of polymeric carriers on the stability of a salt in solid formulations. Moreover, we also found that introducing acidifiers into granulation fluid can bring additional benefits to retard the disproportionation of pioglitazone HCl during the wet granulation process. These interesting discoveries offer new approaches to mitigate

disproportionation of API salt during storage or processing, which allow pharmaceutical scientists to develop appropriate formulations with improved drug stability.

Paczkowska, M., et al. (2015). "Complex of rutin with beta-cyclodextrin as potential delivery system." *PLoS One* 10(3): e0120858.

This study aimed to obtain and characterize an RU-beta-CD complex in the context of investigating the possibility of changes in the solubility, stability, antioxidative and microbiological activity as well as permeability of complexed rutin as against its free form. The formation of the RU-beta-CD complex via a co-grinding technique was confirmed by using DSC, SEM, FT-IR, and Raman spectroscopy, and its geometry was assessed through molecular modeling. It was found that the stability and solubility of the so-obtained complex were greater compared to the free form; however, a slight decrease was observed in its antibacterial potency. An examination of changes in the EPR spectra of the complex excluded any reducing effect of complexation on the antioxidative activity of rutin. Considering the prospect of pre-formulation studies involving RU-beta-CD complexes, of significance is also the observed possibility of prolongedly releasing rutin from the complex at a constant level over a long period of 20 h, and the fact that twice as much complexed rutin was able to permeate compared to its free form.

Paudel, A., et al. (2015). "Raman spectroscopy in pharmaceutical product design." *Adv Drug Deliv Rev* 89: 3–20.

Almost 100 years after the discovery of the Raman scattering phenomenon, related analytical techniques have emerged as important tools in biomedical sciences. Raman spectroscopy and microscopy are frontier, non-invasive analytical techniques amenable for diverse biomedical areas, ranging from molecular-based drug discovery, design of innovative drug delivery systems and quality control of finished products. This review presents concise accounts of various conventional and emerging Raman instrumentations including associated hyphenated tools of pharmaceutical interest. Moreover, relevant application cases of Raman spectroscopy in early and late phase pharmaceutical development, process analysis and micro-structural analysis of drug delivery systems are introduced. Finally, potential areas of future advancement and application of Raman spectroscopic techniques are discussed.

Penumetcha, S. S., et al. (2016). "Hot melt extruded Aprepitant-Soluplus solid dispersion: Preformulation considerations, stability and in vitro study." *Drug Dev Ind Pharm* 42(10): 1609–1620.

CONTEXT: Solubility limitation of BCS class II drugs pose challenges to in vitro release. OBJECTIVE: To investigate the miscibility of Aprepitant (APR) and Soluplus((R)) (SOL) for hot melt extrusion (HME) viability and improved in vitro release of APR. METHODS: Solubility parameters of APR and SOL from group contribution methods were evaluated. Heat-cool-heat differential scanning calorimetry (DSC) scans were assessed for determining the glass forming ability (GFA) and glass stability (GS) of APR. An optimum HME temperature was selected based on melting point depression in physical mixtures. Moisture sorption isotherms were collected using a dynamic vapor sorption (DVS) analyzer at 25°C. A 1:4 APR:SOL physical mixture was extruded in a co-rotating 12 mm twin screw extruder and in vitro release was assessed in fasted state simulated intestinal fluid (FaSSIF) with 0.25% SLS. Extrudates were analyzed using TGA, DSC, XRD, and FTIR. RESULTS: APR was classified as a class II glass former. APR and SOL had composition-dependent miscibility based on Gibb's free energy of mixing. Extrudate prepared using HME had an amorphous as well as a crystalline phase that showed good stability in accelerated stability conditions.

Smaller particle size extrudates exhibited a higher % moisture uptake and in vitro release compared to larger particle size extrudates. Enhanced in vitro release of APR from extrudates was attributed to amorphization of APR, solubilization as well as crystal growth inhibition effect of SOL due to H-bond formation with APR. CONCLUSIONS: A solid dispersion of APR with improved in vitro release was successfully developed using HME technology.

Purohit, H. S., et al. (2017). "Insights into nano- and micron-scale phase separation in amorphous solid dispersions using fluorescence-based techniques in combination with solid state nuclear magnetic resonance spectroscopy." *Pharm Res* 34(7): 1364–1377.

PURPOSE: Miscibility between the drug and the polymer in an amorphous solid dispersion (ASD) is considered to be one of the most important factors impacting the solid state stability and dissolution performance of the active pharmaceutical ingredient (API). The research described herein utilizes emerging fluorescence-based methodologies to probe (im) miscibility of itraconazole (ITZ)-hydroxypropyl methylcellulose (HPMC) ASDs. **METHODS:** The ASDs were prepared by solvent evaporation with varying evaporation rates and were characterized by steady-state fluorescence spectroscopy, confocal imaging, differential scanning calorimetry (DSC), and solid state nuclear magnetic resonance (ssNMR) spectroscopy. **RESULTS:** The size of the phase separated domains for the ITZ-HPMC ASDs was affected by the solvent evaporation rate. Smaller domains (<10 nm) were observed in spray-dried ASDs, whereas larger domains (>30 nm) were found in ASDs prepared using slower evaporation rates. Confocal imaging provided visual confirmation of phase separation along with chemical specificity, achieved by selectively staining drug-rich and polymer-rich phases. ssNMR confirmed the results of fluorescence-based techniques and provided information on the size of phase separated domains. **CONCLUSIONS:** The fluorescence-based methodologies proved to be sensitive and rapid in detecting phase separation, even at the nanoscale, in the ITZ-HPMC ASDs. Fluorescence-based methods thus show promise for miscibility evaluation of spray-dried ASDs.

Saal, W., et al. (2018). "The quest for exceptional drug solubilization in diluted surfactant solutions and consideration of residual solid state." *Eur J Pharm Sci* 111: 96–103.

Solubility screening in different surfactant solutions is an important part of pharmaceutical profiling. A particular interest is in low surfactant concentrations that mimic the dilution of an oral dosage form. Despite of intensive previous research on solubilization in micelles, there is only limited data available at low surfactant concentrations and generally missing is a physical state analysis of the residual solid. The present work, therefore, studied 13 model drugs in 6 different oral surfactant solutions (0.5%, w/w) by concomitant X-ray diffraction (XRPD) analysis to consider effects on solvent-mediated phase transformations. A particular aspect was potential occurrence of exceptionally high drug solubilization. As a result, general solubilization correlations were observed especially between surfactants that share chemical similarity. Exceptional solubility enhancement of several hundred-fold was evidenced in case of sodium dodecyl sulfate solutions with dipyridamole and progesterone. Furthermore, carbamazepine and testosterone showed surfactant-type dependent hydrate formation. The present results are of practical relevance for an optimization of surfactant screenings in pre-formulation and early development and provide a basis for mechanistic modeling of surfactant effects on solubilization and solid state modifications.

Sadou Yaye, H., et al. (2017). "Investigating therapeutic usage of combined Ticagrelor and Aspirin through solid-state and analytical studies." *Eur J Pharm Sci* 107: 62–70.

The mainstay treatment for patients with acute coronary syndrome is an oral route dual antiplatelet therapy with a P2Y₁₂-receptor antagonist and Aspirin (ASA). To improve patient adherence to such treatments, combination therapies (polypill) are envisioned. Physicochemical solid-state studies have been carried out to develop a pre-formulation strategy of ASA with the P2Y₁₂-receptor antagonist Ticagrelor (TIC). The investigations were carried out using differential scanning calorimetry, liquid chromatography-high resolution-multistage mass spectrometry (LC-HR-MS(n)) and as complementary techniques Fourier transform infrared measurements and thermogravimetric analysis. A simple eutectic transition at 98°C with a mole fraction for the eutectic liquid of 0.457 has been observed and the mixing of ASA and TIC molecules in each other's crystal structures appears to be limited. No cocrystals of TIC and ASA have been found. The appearance of the eutectic liquid was linked with a clear onset of chemical instability of the two pharmaceuticals. The decomposition mechanism in the liquid phase involves prior decomposition of ASA, whose residues react with well-identified TIC interaction sites. Seven interaction products were observed by LC-HR-MS(n) linked to corresponding degradation products. The most important degradation pathway is N-dealkylation. In conclusion, polypills of ASA and TIC are a viable approach, but the decomposition of ASA should be avoided by eliminating high temperatures and high humidity.

Saha, S. C., et al. (2013). "Physicochemical characterization, solubilization, and stabilization of 9-nitrocamptothecin using pluronic block copolymers." *J Pharm Sci* 102(10): 3653–3665.

Solid-state properties and physicochemical characteristics of 9-nitrocamptothecin (9NC) were investigated with a view of molecular and bulk level understanding of its poor aqueous solubility and hydrolytic instability that prevent efficient drug delivery and pharmacological activity. 9NC bulk drug substance was found to be a nonhygroscopic, yellowish crystalline solid with long rectangular prism-shaped particle morphology and a sharp melting point at 264°C. Hydrolysis of 9NC-lactone occurs above pH 4, whereas complete conversion of lactone to carboxylate was recorded above pH 8. At saturated conditions, appreciable concentrations of 9NC-lactone were detected at pH as high as 11. 9NC undergoes oxidation in the presence of dimethyl sulfoxide with formation of 9NC-N-oxide. The total solubility of lactone and carboxylate forms of 9NC in deionized water was found to be less than 5 µg/mL, whereas the solubility of 9NC-lactone in aqueous acidic media was determined to be approximately 2.5 µg/mL. Incorporation of 10% pluronic copolymers P123, F127, and F68 in 10 mM HCl increased 9NC solubility by 13-fold, eightfold, and fivefold, respectively. The thermodynamic stability of drug-loaded pluronic micelles was evaluated under isothermal variable volume conditions and found F127, among all poloxamers, to offer the best hydrolytic protection efficacy for 9NC.

Sigfridsson, K., et al. (2018). "Salt formation improved the properties of a candidate drug during early formulation development." *Eur J Pharm Sci* 120: 162–171.

The purpose of this study was to investigate if AZD5329, a dual neurokinin NK1/2 receptor antagonist, is a suitable candidate for further development as an oral immediate release (IR) solid dosage form as a final product. The neutral form of AZD5329 has only been isolated as amorphous material. In order to search for a solid material with improved physical and chemical stability and more suitable solid-state properties, a

salt screen was performed. Crystalline material of a maleic acid salt and a fumaric acid salt of AZD5329 were obtained. X-ray powder diffractometry, thermogravimetric analysis, differential scanning calorimetry, and dynamic vapor sorption were used to investigate the physicochemical characteristics of the two salts. The fumarate salt of AZD5329 is anhydrous, the crystallization is reproducible and the hygroscopicity is acceptable. Early polymorphism assessment work using slurry technique did not reveal any better crystal modification or crystallinity for the fumarate salt. For the maleate salt, the form isolated originally was found to be a solvate, but an anhydrous form was found in later experiments; by suspension in water or acetone, by drying of the solvate to 100–120°C or by subjecting the solvate form to conditions of 40°C/75%RH for 3 months. The dissolution behavior and the chemical stability (in aqueous solutions, formulations and solid-state) of both salts were also studied and found to be satisfactory. The compound displays sensitivity to low pH, and the salt of the maleic acid, which is the stronger acid, shows more degradation during stability studies, in line with this observation. The presented data indicate that the substance fulfills basic requirements for further development of an IR dosage form, based on the characterization on crystalline salts of AZD5329.

Sigfridsson, K., et al. (2015). "A small structural change resulting in improved properties for product development." *Drug Dev Ind Pharm* 41(5): 866–873.

AZD9343 is a water-soluble gamma amino butyric acid (GABAB) agonist intended for symptomatic relief in gastroesophageal reflux disease (GERD) patients. The compound has good chemical stability in aqueous solutions, as well as in the solid state. Only one crystal modification has been observed to date. This polymorph is slightly hygroscopic (1.5% water uptake at 80% relative humidity (RH)), which is an improvement compared to the structurally similar agonist lesogaberan (AZD3355) which liquefies at 65% RH. Since the substance is very polar and lacks a UV chromophore, conventional separation and detection techniques cannot be used to characterize the substance and its impurities. The analytical techniques are described, focusing on the capillary electrophoresis method with indirect UV detection for assay and purity, the liquid chromatographic method for enantiomeric separation with derivatization with UV chromophore and three complementary nuclear magnetic resonance (NMR) approaches ((³¹P)-NMR, (¹³C)-NMR and (¹H)-NMR) for impurities. For oral solutions, it was important to select the right concentration of phosphate buffer for the specific drug concentration and routinely use small additions of EDTA. I.V. solutions containing physiological saline as tonicity modifier could not be stored frozen at –20°C. Properties of AZD9343 will be discussed in light of experiences from the structurally similar lesogaberan and (2R)-(3-amino-2-fluoropropyl)sulphinic acid (AFPSiA).

Sigfridsson, K. and K. E. Carlsson (2017). "A preformulation evaluation of a photosensitive surface active compound, explaining concentration dependent degradation." *Eur J Pharm Sci* 109: 650–656.

A candidate drug within the cardiovascular area was identified during early research and evaluated for further development. The aim was to understand and explain the degradation mechanisms for the present compound. The stability of the active pharmaceutical ingredient (API) in solution and solid state was studied during different conditions. The bulk compound was exposed to elevated temperatures, increased relative humidity and stressed light conditions. Degradation of the drug in solutions was followed in the presence versus absence of ethylenediaminetetraacetic acid (EDTA), during aerobic

versus anaerobic conditions, stored protected from light versus exposed to light and as a function of pH and concentration. It was possible to improve the stability by adding EDTA and completely abolish degradation by storing dissolved compound at anaerobic conditions. Solutions of API were stable between pH3 and 7, with some degradation at pH1, when stored protected from light and at 22°C, but degrade rapidly when exposed to ambient light conditions. The degradation products were identified by mass spectroscopy. Degradation schemes were drawn. There was concentration dependence in the degradation of dissolved drug when exposed to light, showing a titration behavior that concurred with the measured critical micelle/aggregation concentration (CMC/CAC) of the compound. The compound was stable in solution during the investigated time period, at concentrations above CMC/CAC, where the molecule was protected from photodegradation when the compound aggregated. Below CMC/CAC, a significant degradation of the API occurred. This may be a potential explanation why other surface active compounds show concentration dependent degradation. The photosensitivity was also observed for the neutral compound in crystalline and amorphous form, as well as for the crystalline chloride salt of the drug. However, the degradation of amorphous form was faster compared to crystalline material. No difference was observed in the degradation pattern between the neutral form of the compound and the salt form of the drug.

Sigfridsson, K., et al. (2017). "Preformulation investigation and challenges; salt formation, salt disproportionation and hepatic recirculation." *Eur J Pharm Sci* 104: 262–272.

A compound, which is a selective peroxisome proliferator activated receptor (PPAR) agonist, was investigated. The aim of the presented studies was to evaluate the potential of the further development of the compound. Fundamental physicochemical properties and stability of the compound were characterized in solution by liquid chromatography and NMR and in solid-state by various techniques. The drug itself is a lipophilic acid with tendency to form aggregates in solution. The neutral form was only obtained in amorphous form with a glass-transition temperature of approximately 0°C. The intrinsic solubility at room temperature was determined to 0.03 mg/mL. Chemical stability studies of the compound in aqueous solutions showed good stability for at least two weeks at room temperature, except at pH1, where a slight degradation was already observed after one day. The chemical stability in the amorphous solid-state was investigated during a period of three months. At 25°C/60% relative humidity (RH) and 40°C/75% RH no significant degradation was observed. At 80°C, however, some degradation was observed after four weeks and approximately 3% after three months. In an accelerated photostability study, degradation of approximately 4% was observed. Attempts to identify a crystalline form of the neutral compound were unsuccessful, however, salt formation with tert-butylamine, resulted in crystalline material. Results from stability tests of the presented crystalline salt form indicated improved chemical stability at conditions whereas the amorphous neutral form degraded. However, the salt form of the drug dissociated under certain conditions. The drug was administered both per oral and intravenously, as amorphous nanoparticles, to conscious dogs. Plasma profiles showed curves with secondary absorption peaks, indicating hepatic recirculation following both administration routes. A similar behavior was observed in rats after oral administration of a pH-adjusted solution. The observed double peaks in plasma exposure and the dissociation tendency of the salt form, were properties that contributed to make further development of the

candidate drug challenging. Options for development of solid dosage forms of both amorphous and crystalline material of the compound are discussed.

Toscani, S., et al. (2016). "Stability hierarchy between Piracetam forms I, II, and III from experimental pressure-temperature diagrams and topological inferences." *Int J Pharm* 497(1–2): 96–105.

The trimorphism of the active pharmaceutical ingredient piracetam is a famous case of polymorphism that has been frequently revisited by many researchers. The phase relationships between forms I, II, and III were ambiguous because they seemed to depend on the heating rate of the DSC and on the history of the samples or they have not been observed at all (equilibrium II-III). In the present paper, piezo-thermal analysis and high-pressure differential thermal analysis have been used to elucidate the positions of the different solid-solid and solid-liquid equilibria. The phase diagram, involving the three solid phases, the liquid phase and the vapor phase, has been constructed. It has been shown that form III is the high-pressure, low-temperature form and the stable form at room temperature. Form II is stable under intermediary conditions and form I is the low-pressure, high-temperature form, which possesses a stable melting point. The present paper demonstrates the strength of the topological approach based on the Clapeyron equation and the alternation rule when combined with high-pressure measurements.

Trivedi, M. K., et al. (2017). "In-depth investigation on physicochemical and thermal properties of magnesium (II) gluconate using spectroscopic and thermoanalytical techniques." *J Pharm Anal* 7(5): 332–337.

Magnesium gluconate is a classical organometallic pharmaceutical compound used for the prevention and treatment of hypomagnesemia as a source of magnesium ion. The present research described the in-depth study on solid-state properties viz. physicochemical and thermal properties of magnesium gluconate using sophisticated analytical techniques like PXRD, PSA, FT-IR, UV-Vis spectroscopy, TGA/DTG, and DSC. Magnesium gluconate was found to be crystalline in nature along with the crystallite size ranging from 14.10 to 47.35 nm. The particle size distribution was at $d(0.1)=6.552$

microm, $d(0.5)=38.299$ microm, $d(0.9)=173.712$ microm, and $D(4,3)=67.122$ microm along with the specific surface area of 0.372 m^2/g . The wavelength for the maximum absorbance was at 198.0 nm. Magnesium gluconate exhibited 88.51% weight loss with three stages of thermal degradation process up to 895.18°C from room temperature. The TGA/DTG thermograms of the analyte indicated that magnesium gluconate was thermally stable up to around 165°C. Consequently, the melting temperature of magnesium gluconate was found to be 169.90°C along with the enthalpy of fusion of 308.7 J/g. Thus, the authors conclude that the achieved results from this study are very useful in pharmaceutical and nutraceutical industries for the identification, characterization and qualitative analysis of magnesium gluconate for pre-formulation studies and also for developing magnesium gluconate based novel formulation.

Yamashita, M., et al. (2015). "Vapor phase alkyne coating of pharmaceutical excipients: Discrimination enhancement of raman chemical imaging for tablets." *J Pharm Sci* 104(12): 4093–4098.

Raman chemical imaging has become a powerful analytical tool to investigate the crystallographic characteristics of pharmaceutical ingredients in tablet. However, it is often difficult to discriminate some pharmaceutical excipients from each other by Raman spectrum because of broad and overlapping signals, limiting their detailed assessments. To overcome this difficulty, we developed a vapor phase coating method of excipients by an alkyne, which exhibits a distinctive Raman signal in the range of 2100–2300 cm^{-1} . We found that the combination of two volatile reagents, propargyl bromide and triethylamine, formed a thin and nonvolatile coating on the excipient and observed the Raman signal of the alkyne at the surface. We prepared alkyne-coated cellulose by this method and formed a tablet. The Raman chemical imaging of the tablet cross-section using the alkyne peak area intensity of 2120 cm^{-1} as the index showed a much clearer particle image of cellulose than using the peak area intensity of 1370 cm^{-1} , which originated from the cellulose itself. Our method provides an innovative technique to analyze the solid-state characteristics of pharmaceutical excipients in tablets.



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10 Formulation of Flavor

1 INTRODUCTION

One purpose of flavoring is to minimize exposure of orally delivered solid drug substances to the sensor system responsible for taste perception. The act of minimizing taste in this manner is a common element of a taste-masking strategy and it is often referred to broadly as taste masking. Oral formulations are subject to several hurdles, including making the appearance appealing, overcoming swallowing limitations, the mouthfeel, taste, and smell, to allow an oral product to be accepted by patients. An interesting aspect about taste aversion comes from a genetic coding to avoid something that tastes or smells bad, for it may be harmful, and that appears to be a fact. Addition of acceptable flavor components to the dose form can effectively mask the taste of some poor-tasting drugs; however, a flavor element alone is not adequate in many cases for a variety of reasons, including the intensity of the drug taste and a commonly shorter flavor residence time in the mouth and taste receptors. When flavor alone is not adequate, a taste-concealing technology becomes necessary. The concealing technology minimizes direct exposure of the drug to taste sensors. Taste concealing could broadly be extended to any technology that prevents or reduces exposure of drug to taste perception. This could include, but is not limited to, the following areas:

- Granulations, coating, microencapsulation, or complexing technologies that use a protective layer or structure on or about drug particles to minimize exposure of drug to taste sensation.
- Chemical modifications that provide a drug or pro-drug form that has better taste characteristics.
- Technologies that work in association with mouth taste sensors to prevent a drug molecule interaction with the sensor (this might more appropriately be termed taste sensor masking). One patented technology by the author produces carbon dioxide in a chewing gum formula to anesthetize taste buds.

2 TASTE-CONCEALING GOALS

The general goals and considerations for taste concealing include:

- Conceal the drug from taste sensors until it is cleared from the mouth. Any release in the mouth will contribute to bad taste.
- Conceal the drug adequately for up to several minutes or more in the mouth to minimize latent adverse taste from residual particles that could get trapped around teeth, gums, or taste buds. After swallowing the bulk of the dose, drug particles can remain

trapped long enough between the teeth, around the gums, or in other places of the oral cavity to impart a latent offensive taste. The closer a latent taste onset is to the dosing event, the greater the potential for the bad taste to be associated with the drug dose.

- Maintain integrity through final dosage form processes such as compression forces associated with tableting a chewable product or water exposure in final solid dosage form processing. Any fracture of taste concealed particles may compromise the taste. Taste concealing is typically a delayed release application and the delay time in a solid dosage form product is often related to exposure to mouth fluids. Any exposure of taste concealed particles to water prior to ingestion will start the release process and potentially contribute to bad taste.
- Optimize particle size for the dosage form, mouthfeel requirements, and mouth clearance. If particles are too large, they may fracture easily or contribute to a gritty mouthfeel. If they are too small, they may more easily be trapped in the mouth cavity or in and around taste sensors. Optimal size is somewhat dependent on the dosage form; a smooth texture may require finer particles, a coarse texture will likely tolerate larger particles. In general, taste concealed particle sizes range between the extremes of near 1,400 μm to less than 50 μm , depending on the technology used and requirements of the dose form.
- Use only oral pharmaceutically approved excipients within the dosing limits of the patient group. Some approved excipients used in taste concealing have patient dose limits that may limit the amount that can be incorporated in a formulation.
- Use excipients that impart acceptable taste, no taste, or have a taste that can be masked
- Release the drug appropriately once it is past the mouth or to the delivery location in the gut to make it biologically available. Taste concealing is a transient need of the delivery process.
- The process used to make taste concealed particles must meet economic concerns of the application.

3 FLUID BED COATING

A commonly used and versatile microencapsulation technology for taste concealing is fluid bed coating. Fluid bed coating includes the classic equipment designs of bottom spray (Wurster), top spray, and tangential spray. These systems involve the use of a two-fluid nozzle to spray a coat formulation into a fluidized bed of particles. As particles move through the spray region, coat solution or suspension spray

droplets contact the particles as solvent in the coat formulation is evaporated. Solvent vapors are carried away with the fluidizing air leaving a residue of the non-volatile coating ingredients on particle surfaces. The deposited residue is the filmcoat and the process is continued until the desired level of filmcoat has been applied. It is also possible to spray a molten material such as a wax directly as a coating without a water or organic solvent vehicle. This is commonly referred to as a “hot melt” process and it requires appropriate nozzle, pump, and liquid line heating to maintain coating material in a liquid state until it passes the nozzle. Hot melt applications can be very economical as ~100% of the sprayed material is nonvolatile; thus, the need for solvent vehicle evaporation is eliminated. Process temperature is adjusted to appropriately congeal a hot melt coating on particle surfaces.

Due to the nature of particle movement associated with their configurations, top and tangential spray systems are primarily used for granulating. Granulation alone can offer adequate taste-concealing properties for mildly bad-tasting material. Granules formed from these systems must be sufficiently robust to maintain particle integrity through tablet compression processes; if granules break significantly during compression, taste can be compromised.

3.1 FILMCOAT PARAMETERS

In addition to the ability to uniformly coat particles to a controlled filmcoat thickness with the Wurster process, filmcoat formulations can be developed with the balance of concealing and drug release properties required for taste-concealing applications. Film coat requirements vary with contributing factors such as drug solubility, intensity of bad taste, drug chemical structure, drug release requirements (immediate, sustained, enteric, etc.), and particle size. Table 10.1 contains generalized information on critical taste-concealing factors with regard to the taste and solubility properties of the drug.

Drug solubility significantly influences release rate from a taste concealed particle, which subsequently influences filmcoat requirements. Taste-concealing filmcoats are formulated with required levels of solubility and porosity. If a completely dissolving filmcoat adequately conceals taste, a drug will release as the filmcoat dissolves in the digestive tract. When completely dissolving filmcoats do not adequately conceal, filmcoats with lower solubility and controlled porosity may be

required. Figure 9.2 illustrates the simplified processes occurring around a particle taste concealed in an insoluble filmcoat. All filmcoats have some level of permeability. As moisture diffuses through the coat layer, drug will dissolve inside the particle and dissolved drug will diffuse out through the coat layer. In addition to these diffusion processes, osmotic forces can develop within the particle depending on the osmolality of the contents. A soluble drug or core formula component will quickly dissolve and develop significant osmotic pressure within the particle. This pressure effectively pumps dissolved drug from the particle. Osmotic pressures can be sufficient to fracture the filmcoat and rapidly release the contents; thus, film strength and modulus can be important considerations.

A soluble drug may completely release through an insoluble taste conceal membrane, but a low solubility drug might not. A low solubility drug dissolves less readily; thus, osmotic concerns are reduced and the film coat requirements may shift. If diffusion and osmotic pressure are not adequate to release the drug within bioavailability time constraints, a higher permeability filmcoat or completely dissolving filmcoat may be needed. Often water-soluble “pore formers” are added to an insoluble filmcoat formula to modify porosity and promote drug release. It is also possible to incorporate other water-soluble excipients in the core to impart control of osmotic forces.

Thin and thick coats are roughly 5 and 15 μm , respectively; however, higher levels may be required for a poorly run process or a less than optimal filmcoat formulation. Although coat thickness is a key factor in this approach to taste concealing, the actual thickness need is difficult to establish. In actual practice, the coating process is relatively dynamic with some levels of agglomeration, accretion of fine particles on larger particles, and fracturing (attrition) of particles into smaller fragments. The extent of these phenomena is dependent on core particle integrity, filmcoat strength, process parameters, and the physical forces of fluidization and nozzle atomization air on the particles. The result is commonly significantly less than the ideal of each particle being coated to an identical thickness. The coating on each individual particle is typically uniform; however, any particle attrition that occurs during processing exposes drug and increases the surface area, both of which increase the need for more coating to conceal. Agglomeration and accretion reduce surface area and may reduce the overall coating need. The ability to consistently control agglomeration, accretion, and attrition is vital to a successful Wurster fluid bed taste conceal application.

The 5–15 μm coat thickness estimate creates a practical limit for the fluid bed filmcoat process. Table 10.2 indicates the theoretical coat level requirements for 5 and 15 μm coat thickness on various particle sizes at varying core and filmcoat densities. As particle size decreases, bulk surface area increases; thus, smaller particles require higher coat levels than larger particles to achieve a comparable coat thickness. Note that 50 μm particles theoretically require over 80% coat level to achieve a 15 μm film thickness at one density combination. Many drug products or platforms cannot bear the higher cost associated with applying high taste-concealing coat levels.

TABLE 10.1
Generalized coat requirements

Drug solubility	Taste	Coat thickness	Coat properties
Low	Mildly bad	Thin	High porosity or complete dissolution
Low	Very bad	Thick	High porosity or complete dissolution
High	Mildly bad	Thin	Low porosity
High	Very bad	Thick	Low porosity

TABLE 10.2
Theoretical coating assessment

Core size (μm)	Coat thickness (μm)	Coat level (wt%)	Coat level (vol.%)	Core density (g/cm^3)	Coat density (g/cm^3)	Final size (μm)	Final density (g/cm^3)
50	5.0	36.4%	42.1%	1.4	1.1	60	1.27
50	15.0	70.9%	75.6%	1.4	1.1	80	1.17
50	5.0	50.5%	42.1%	1.0	1.4	60	1.17
50	15.0	81.3%	75.6%	1.0	1.4	80	1.30
100	5.0	20.6%	24.9%	1.4	1.1	110	1.33
100	15.0	48.5%	54.5%	1.4	1.1	130	1.24
100	5.0	31.7%	24.9%	1.0	1.4	110	1.10
100	15.0	62.6%	54.5%	1.0	1.4	130	1.22
200	5.0	11.0%	13.6%	1.4	1.1	210	1.36
200	15.0	29.0%	34.2%	1.4	1.1	230	1.30
200	5.0	18.1%	13.6%	1.0	1.4	210	1.05
200	15.0	42.2%	34.2%	1.0	1.4	230	1.14
500	5.0	4.6%	5.8%	1.4	1.1	510	1.38
500	15.0	13.0%	16.0%	1.4	1.1	530	1.35
500	5.0	7.9%	5.8%	1.0	1.4	510	1.02
500	15.0	21.1%	16.0%	1.0	1.4	530	1.06
1,000	5.0	2.3%	2.9%	1.4	1.1	1,010	1.39
1,000	15.0	6.8%	8.5%	1.4	1.1	1,030	1.37
1,000	5.0	4.1%	2.9%	1.0	1.4	1,010	1.01
1,000	15.0	11.5%	8.5%	1.0	1.4	1,030	1.03

These filmcoat requirements influence the payload within the taste concealed particles. A material with 20 wt% coat level would have an 80 wt% payload if it is a pure drug core, while a 40 wt% coat level would only be 60 wt% payload. The amount of taste concealed particles required to reach the drug dose must fit within the constraints of the final size of the finished product. For example, a 50 mg dose from an 80% payload product would require 62.5 mg of taste concealed particles per dose, while the same 50 mg dose from a 60% payload would require 83 mg.

Also note the particle size associated with the added coat layer. The higher coat levels that may be needed to achieve adequate taste concealing contribute to particle growth. This growth can potentially exceed particle size limits associated with either downstream processing or the dosage form.

In actual practice, there are significantly increasing challenges to coating as particle size decreases below 200 μm . Depending on coat formulation properties, equipment design, and process parameters, a significant amount of accretion of fine particles on the surface of larger particles and particle agglomeration are likely. This has a potentially positive effect on reducing the coating need since the bulk surface area will decrease with accretion and agglomeration. Depending on the final particle size goals, this aspect of particle growth can significantly reduce yields if an oversize cut is removed.

3.2 FILMCOAT FORMULATIONS

It becomes apparent with these size, coat thickness, and processing considerations that film coat composition is a key to a

successful taste-concealing application. Taste-concealing formulations involve a balance of filmcoat physical and chemical properties, filmcoat thickness, taste-concealing efficacy, and drug release. A taste-concealing filmcoat that works effectively for one drug may not perform as well on another drug for a variety of reasons related to any of these formulation balance parameters. Any material approved for oral pharmaceutical use could potentially be used as a taste-concealing excipient; however, there is typically an underlying strategy to accomplish the goal. An inherent requirement of a taste-concealing excipient is that the excipient itself has no taste, an acceptable taste, or a taste that can acceptably be masked by flavor ingredients. Tables 10.3, 10.4, and 10.5 contain limited lists of formulation materials that could be used in taste-concealing applications. Formulators should refer to the United States Pharmacopeia, National Formulary, or other applicable regulatory resources for lists of allowed taste-concealing formulation excipients. This search should include not only the allowed use in an oral dosage form but also any ingestion limits that may apply. Some acrylic materials have ingestion limits that can potentially be exceeded at coat levels and dosing requirements of some taste-concealing formulation strategies. Limits are commonly set as mg of excipient per kg of body weight. Body weight in the pediatric age range can be relatively low; thus, an ingestion limit can easily be reached.

Tables 10.3, 10.4, and 10.5 are categorized by solubility properties: Table 10.3 contains examples of water-insoluble materials, Table 10.4 a list of water-soluble materials, and Table 10.5 a list of materials with solubility in specific pH ranges. If a water-insoluble material masks well but does not

TABLE 10.3
Water insoluble coat materials

Chemical	Example	Comments
Ethyl cellulose	Dow Wolff ethyl cellulose	Various viscosity grades available
	Ashland ethyl cellulose	Various viscosity grades available
	Surelease dispersion	25% aqueous dispersion
	Aquacoat ECD dispersion	30% aqueous dispersion
	Eastman cellulose acetate	Various grades/substitution levels available
Cellulose esters	Eastman cellulose acetate butyrate	Various grades/substitution levels available
	Shin-Etsu L-HPC	—
Low substituted hydroxypropyl cellulose	Evonic eudragit NE 30D dispersion	30% aqueous dispersion
Acrylic neutral ester polymers	Evonic eudragit RS	100% polymer or aqueous dispersions
Acrylic sustained release polymers	Evonic eudragit RL	100% polymer or aqueous dispersions
	BASF kollicoat SR 30D	30% aqueous dispersion
Polyvinyl acetate	Emerson Marcoat 125	Water-based shellac dispersion
Shellac	Freeman industries	Corn protein
Zein	Hydrogenated oils	—
Waxes	Carnauba	—

TABLE 10.4
Water soluble coat materials

Chemical	Example
Hydroxypropyl methyl cellulose	Dow Wolff Methocel E series
	Shin-Etsu pharmacoat
Hydroxypropyl cellulose	Ashland Klucel
	Nisso-HPC
	Ashland natrosol
Hydroxyethyl cellulose	ISP pladone
Povidone	BASF Kollidon
	BASF Kollidon VA 64
Vinylpyrrolidone—vinyl acetate copolymers	BASF Kollicoat IR
Polyvinyl alcohol—polyethylene glycol graft copolymer	BASF protect
Polyvinyl alcohol—polyethylene glycol graft copolymer and polyvinyl alcohol	Roquette Lycoat and Readilycoat
Modified Pea starch	Dow carbowax
Polyethylene glycol	

TABLE 10.5
pH dependent water soluble materials

Chemical	Example	Comments
Acrylic enteric polymers	Evonic eudragit L	—
	Evonic eudragit S	Colonic delivery
	Evonic eudragit L100-55	—
	Evonic eudragit L30 D55	—
	Evonic eudragit FS 30D	Colonic delivery
	BASF kollicoat MAE	100% polymer or aqueous depression
	Eastman CAP cellulose ester	—
Cellulose acetate phthalate (Enteric)	FMC aquacoat CPD dispersion	30% aqueous depression
	Shin-Etsu HPMCP	—
Hydroxypropyl methyl cellulose phthalate (Enteric)	Shin-Etsu aqoat	—
Hydroxypropyl methyl cellulose acetate succinate (Enteric)	Eudragit E	—
Acrylic acid-soluble polymers (Reverse enteric)	Kollicoat smartseal 300	—

release the drug adequately, it could potentially be applied at a lower coat level to promote release. Nevertheless, if proper release is only realized at a coat level that is too thin to adequately taste conceal, a compatible pore-forming ingredient from the water-soluble list or limited pH solubility list could be added to the coating. The pore former provides variable concealing properties, but will dissolve from the coat as it is exposed to fluids in the mouth and/or gastrointestinal tract and leaves a porous layer of water-insoluble components. The amount of porosity is related to the amount of pore former. Coat level and pore former content can be optimized for the taste concealing and release. In the extreme, it is possible to achieve adequate taste concealing with a water-soluble polymer alone if the drug taste is only mildly offensive.

The pH soluble materials in Table 10.5 offer selective solubility for more targeted delivery. Enteric polymers are used to prevent drug release through the mouth and stomach, but release in the intestinal tract. Dissolution onset begins in the pH range of ~5.5 to ~7.0 depending on the enteric material and dissolution rate accelerates as pH increases beyond the onset point. Enteric polymers are weak acid materials with pK_a values in the ~4.5 to ~6.0 range; these polymers dissolve as acid groups are more fully deprotonated at pH values above the pK_a . As a result of a short duration in the mouth at relatively neutral pH, effective taste masking can be realized either with enteric polymer alone as the principle concealing and release component or as a pore former. A potential benefit of its use as a pore former is the ability to tailor sustained release mechanism in the intestinal region through control of coat porosity. Use of enteric polymer alone as the primary film forming ingredient may be necessary to ensure adequate release of a poorly soluble drug since complete removal of the coating occurs in the dissolution process.

The acid soluble polymers in Table 10.5 are weak bases that dissolve when protonated at lower pH levels. These materials are sometimes referred to as “reverse enteric” polymers. They offer useful taste-concealing properties by remaining insoluble at relatively neutral conditions of the mouth, but dissolving at more acidic pH levels in the stomach. Since pH conditions of the stomach can vary significantly from fasted to fed state and transit time through the stomach can vary, dose timing in relation to patient activity can be critical to achieving required drug release with a reverse enteric taste-concealing formulation.

Use of enteric and reverse enteric materials should take into consideration the acid or base properties of the drug. A basic drug encapsulated with an enteric coating can promote dissolution of the coating at the inside surface of the coating. An acidic drug can do the same to a reverse enteric coating. This process can result in poor concealing properties and shelf instability. Interface coating layers can be applied to minimize the drug/coat interactions.

In addition to filmcoat release properties, the solvent vehicle used for the coat solution/suspension can be a critical factor. Solvent can influence filmcoat morphology by its effect on molecular conformation or arrangement. A solvent vehicle that is also a good solvent for the drug can promote

“bleed” of the drug into the developing filmcoat as filmcoat is deposited on the core. This bleed could translate to a higher coat level requirement to achieve adequate taste concealing. Solvent selection can also influence the wetting properties of the coat solution spray droplets as they contact the particle surface during application, which could influence filmcoat quality. Highly volatile solvents may contribute to premature drying near the nozzle tip resulting in poor film integrity or spray drying (low coating efficiency).

Additional excipients that could be beneficial in a taste-concealing application include plasticizer, glidants, pH modifiers, or process aids. Plasticizers are required by many polymers to reduce brittleness or optimize film-forming properties. Taste-concealing performance can be significantly different for a hydrophobic plasticizer than a hydrophilic one. Glidants such as talc, magnesium stearate, or glycerol mono-stearate help mitigate agglomeration of particles during or after coating in formulations that are prone to particle accretion. pH modifiers such as bicarbonates, carbonates or citric acid or its salts can be used to preserve a localized pH condition that might perhaps help conceal taste, minimize drug solubility, or stabilize a product. Charge transfer agents such as silicon dioxide or clays can be used to improve particle flow during processing by minimizing electrostatic concerns inherent in many applications.

3.3 OTHER CONSIDERATIONS

Upon application of fluid bed technology for taste concealing, some less obvious concerns are realized. Some of this has been touched on in the above discussion. Notable concerns include the following:

- Although granulating processes offer a means to taste conceal mildly bad-tasting material, a significant limitation is the structure of formed agglomerates. Agglomerate structures can have many nooks, crannies, and surfaces internal to the agglomerated particles that are not exposed to spray droplets from the nozzle; thus, those surfaces do not receive additional coating once formed in the process. This same concern will be realized with any agglomerates that form in a process intended to individually coat particles. If sufficient coating is applied to bridge the open gaps between particles within the formed agglomerate particles, an adequate taste conceal may be realized.
- Core particle engineering prior to application of a taste-concealing coat can be critical to the success of a taste conceal application. Fluidization and coat solution/suspension atomization impart significant physical forces on particles during processing. This can create a very dynamic coating environment in which particles can be fracturing, agglomerating, and abrading throughout the process. This can result in a continuous presence of exposed drug surface and prevent adequate taste concealing regardless of the amount of coating applied. An adequate

balance of mild physical process conditions, particle strength, and coating or binder strength may be critical to achieving adequate taste concealing.

- Residual uncoated or poorly coated drug can be accepted as an immediate release element in applications such as enteric or sustained release; however, any such residual in a taste concealed product can easily compromise the taste. Care taken to minimize the presence of such residuals during discharge of taste concealed product from the coating process can be critical to the taste profile.
- Drug particles that are taste concealed with a fluid bed process are generally used in chewable, orally dissolving, film strip, and point of use mix/blend formulations. They are not typically used in commercial liquid formulations due to filmcoat limitations including shelf stability concerns related to the migration of solvent and dissolved drug through an applied coat layer.

4 SPRAY DRYING OR SPRAY CONGEALING

Spray drying, spray congealing, and related processes offer some potential for taste concealing mildly bad-tasting materials. These processes generally involve creation of matrix particles near 30 μm and below. Spray drying involves the use of a solvent vehicle to carry coating and dissolved or suspended drug through an atomizing nozzle followed by evaporative removal of the solvent to create these matrix particles.

Spray congealing involves suspension or dissolution of drug in a molten material matrix such as a wax followed by spray through an atomizing nozzle and congealing of the molten material. Both processes yield matrix particles with drug dispersed or dissolved in the matrix. Critical concerns of these processes for taste concealing include the following:

- A small percentage of residual drug remains exposed at or near the outer surface of the particles. This may compromise taste unless a subsequent taste-concealing coat or flavor system is applied
- Spray dry matrices are commonly composed of hydrophilic materials such as starches or gums that provide minimal taste-concealing properties
- Spray congealed matrices are hydrophobic materials that delay or extend release of the drug
- As a result of these concerns, there is limited taste-concealing capacity and limited control of drug release

5 COACERVATION

Coacervation has found limited use for taste concealing, but it is potentially applicable depending on the release profile needs and drug properties. Coacervation is based on colloid chemistry. Following formation of a colloid, changes related to the nature of the colloid material can be introduced to precipitate or deposit the colloid material. Changes can include

temperature changes, addition of a non-solvent, pH changes, or addition of suitable crosslinking ion or ion pairing material of opposite charge depending on the chemistry of the system. If an insoluble particulate material such as an insoluble drug is included prior to precipitation, a three-phase system can be created. This system consists of the solid particles individually encapsulated in the gel-like colloid material all suspended in the liquid phase. When precipitation is induced, the colloid material forms a solid shell around each particle to produce the coacervate.

Use of coacervation for taste concealing or other oral delivery purposes is limited by drug solubility and coacervate chemistries. Although it offers a viable means of taste concealing, there is a challenge to identify systems that properly release the drug. There is less flexibility to tailor systems for specific taste and drug release targets compared to that available with fluid bed film coating technology. For soluble drugs dosed for sustained delivery, it offers a potential means of sustained delivery and taste concealing.

6 INCLUSION COMPLEXES

Inclusion complexes offer a potential means to taste conceal mildly bad-tasting drugs or drugs with low dose requirements. Inclusion of a drug or portion of a drug within a cavity or structure may conceal the bad-tasting portion of the drug from availability to taste sensors. Depending on the stability and structure of the complex, taste concealing may be adequate for liquid or solid formulations.

The most common inclusion complexes involve cyclodextrins as the host molecule. Many drugs or drug functions have a suitable size to fit in cyclodextrin cavities. If the taste center is adequately concealed from exposure to taste sensors, taste-concealing properties may be realized. In general, cyclodextrins have a limited taste-concealing capacity, but can be effective if the drug fits appropriately in the cyclodextrin cavity. Enzymatic degradation of the cyclodextrin molecule in the gut assures release of the drug from the complex.

7 ION RESIN TECHNOLOGY

Ion exchange resins provide a charged surface where oppositely charged ions or polar molecules can ionically bind. Most drug molecules have basic or acidic functionalities that ionize under suitable conditions or are relatively polar; thus, they can be bound to an appropriately charged resin surface to form an ion resin complex. Once bound and in the absence of significant competing ions, the drug is effectively immobilized on the resin surface and not readily available to taste receptors. This provides a potential means of concealing taste without the use of a coating. Once the complex is past the mouth and reaches the gut, higher concentrations of competing ions displace the drug to make it bioavailable. As bioavailable drug uptake occurs, the equilibrium naturally shifts toward complete drug bioavailability.

Resin materials, the principles that govern ion exchange, and drug loading processes used to prepare taste concealed

ion resin complexes are the same as those described in Sect. 10.2 of this book. Nevertheless, when applying resins for taste concealing, residual, free drug removal at the end of the drug loading process may be critical to performance. Washing steps used to remove this free drug may be necessary. When using ion resin technology for taste concealing, it is critical that the ionic strength of the gut is adequate to displace the drug from the resin to meet bioavailability requirements. High affinity drugs may not completely be displaced or the equilibrium could extend throughout gastrointestinal transit and yield a sustained release bioavailability pattern. Dissolution test strategy should take released drug uptake into consideration since this will likely affect drug release rate.

Ion resin complexes can be incorporated into liquid or solid dosage forms. Formulation should contain minimal amounts of ionized components to avoid compromising taste by prematurely displacing the bound drug from the resin.

8 TASTE CONCEAL PERFORMANCE SPECIFICATIONS

The taste specification for any taste-concealed product is difficult to establish. The human tongue, electronic tongue, and dissolution testing each offer a potential means of assessing taste performance. The human tongue is perhaps the most ideal option since this is the discriminating sense that brings about the taste-concealing requirement; however, there are several significant obstacles to application of the human tongue:

- Use of the human tongue requires potential exposure of drug to the tongue. Depending on the drug properties, this may not be acceptable or allowed due to safety considerations for the individual.
- The human tongue has varying sensitivity depending on the individual and the recent taste history of the individual. In addition, taste sensitivity of an individual can change with drug exposure history. These concerns compromise the consistency of the tongue.
- Even if the human tongue option were allowed, the human pediatric tongue would ultimately be the discriminating system and reliable feedback may not be achievable.
- Electronic tongues use electronic taste sensing technology to measure the intensity of a taste. They can potentially be calibrated to detect and measure the bad taste associated with drug molecules. This offers a potentially unbiased means of assessing the taste performance of a taste concealed product. Use of these devices requires a proper means of calibrating instrument sensitivity for the sample matrix and correlation of electronic tongue results with final dose form taste performance. The many variables associated with device and taste performance create significant challenges to establishing a reliable specification.
- Dissolution testing under conditions similar to the mouth can provide a release profile for the drug. Release in the early portion of the profile can provide an indication of taste performance; however, this early release must be correlated with final dose form performance. Since most taste conceal systems employ flavor ingredients to overcome early release and latent release from retained particles in the mouth, it is difficult to establish reliable acceptance criteria.



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Appendix A

GMP AUDIT TEMPLATE

The Guidelines for cGMP Compliance:

- https://ec.europa.eu/health/sites/health/files/files/eudralex/vol-4/vol4-chap1_2013-01_en.pdf
- https://ec.europa.eu/health/sites/health/files/files/eudralex/vol-4/2014-03_chapter_2.pdf
- https://ec.europa.eu/health/sites/health/files/files/eudralex/vol-4/chapter4_01-2011_en.pdf
- https://ec.europa.eu/health/sites/health/files/files/eudralex/vol-4/2014-11_vol4_chapter_6.pdf
- https://ec.europa.eu/health/sites/health/files/files/eudralex/vol-4/2014-08_gmp_chap8.pdf
- https://ec.europa.eu/health/sites/health/files/files/eudralex/vol-4/pdfs-en/cap9_en.pdf
- https://ec.europa.eu/health/sites/health/files/files/eudralex/vol-4/2014-08_gmp_part1.pdf
- https://ec.europa.eu/health/sites/health/files/files/eudralex/vol-4/2011_site_master_file_en.pdf
- http://www.ema.europa.eu/docs/en_GB/document_library/Scientific_guideline/2009/09/WC500002873.pdf
- http://www.ema.europa.eu/docs/en_GB/document_library/Scientific_guideline/2009/09/WC500002871.pdf
- https://ec.europa.eu/health/sites/health/files/files/eudralex/vol-4/mra_batch-certificate_05-2011.pdf
- https://ec.europa.eu/health/sites/health/files/files/gmp/2013_01_28_template.pdf
- http://www.ema.europa.eu/docs/en_GB/document_library/Scientific_guideline/2014/11/WC500177735.pdf
- [http://eur-lex.europa.eu/legal-content/EN/TXT/PDF/?uri=CELEX:52015XC0321\(02\)&from=EN](http://eur-lex.europa.eu/legal-content/EN/TXT/PDF/?uri=CELEX:52015XC0321(02)&from=EN)
- https://ec.europa.eu/health/sites/health/files/files/eudralex/vol-4/template_imp_batch_certification.docx
- https://ec.europa.eu/health/sites/health/files/files/eudralex/vol-4/2008_11_25_gmp-an1_en.pdf
- https://ec.europa.eu/health/sites/health/files/files/eudralex/vol-4/pdfs-en/2018_annex2_en.pdf
- https://ec.europa.eu/health/sites/health/files/files/eudralex/vol-4/annex11_01-2011_en.pdf
- https://ec.europa.eu/health/sites/health/files/files/eudralex/vol-4/2015-10_annex15.pdf
- https://ec.europa.eu/health/sites/health/files/files/eudralex/vol-4/v4_an16_201510_en.pdf
- https://ec.europa.eu/health/sites/health/files/files/eudralex/vol-4/pdfs-en/2018_annex17_en.pdf
- https://ec.europa.eu/health/sites/health/files/files/eudralex/vol-4/pdfs-en/2005_12_14_annex19_en.pdf
- https://ec.europa.eu/health/sites/health/files/files/eudralex/vol-4/pdfs-en/2018_annex17_en.pdf

		Compliance 1 2 3 ^a	Remarks	EU-Guide
1	PERSONNEL			
1.1	Qualified personnel available?	<input type="checkbox"/> <input type="checkbox"/> <input type="checkbox"/>		2.1
1.2	Organization charts available?	<input type="checkbox"/> <input type="checkbox"/> <input type="checkbox"/>		2.2
1.3	Job descriptions available?	<input type="checkbox"/> <input type="checkbox"/> <input type="checkbox"/>		2.2
1.4	Responsibilities clearly defined?	<input type="checkbox"/> <input type="checkbox"/> <input type="checkbox"/>		2.2
	Key Personnel			
	Responsible persons designated for			
1.5	• Production?	<input type="checkbox"/> <input type="checkbox"/> <input type="checkbox"/>		2.5
1.6	• Quality control?	<input type="checkbox"/> <input type="checkbox"/> <input type="checkbox"/>		2.6
1.7	Are they independent from each other?	<input type="checkbox"/> <input type="checkbox"/> <input type="checkbox"/>		2.3
1.8	Are joint functions clearly defined?	<input type="checkbox"/> <input type="checkbox"/> <input type="checkbox"/>		2.7
1.9	Are the responsible persons working full time?	<input type="checkbox"/> <input type="checkbox"/> <input type="checkbox"/>		2.3
1.10	Do the responsible persons have the appropriate formulation, knowledge, and experience?	<input type="checkbox"/> <input type="checkbox"/> <input type="checkbox"/>		2.1/2.2
1.11	Do the relevant departments have enough personnel?	<input type="checkbox"/> <input type="checkbox"/> <input type="checkbox"/>		2.1
	Training			
1.12	Continuous training programs for the production and QC staff?	<input type="checkbox"/> <input type="checkbox"/> <input type="checkbox"/>		2.8
1.13	Initial job training for all employees?	<input type="checkbox"/> <input type="checkbox"/> <input type="checkbox"/>		2.9
1.14	Teaching aids (videos, slides, and brochures) available?	<input type="checkbox"/> <input type="checkbox"/> <input type="checkbox"/>		2.9
1.15	External training courses for the staff?	<input type="checkbox"/> <input type="checkbox"/> <input type="checkbox"/>		2.9
1.16	Training records?	<input type="checkbox"/> <input type="checkbox"/> <input type="checkbox"/>		2.9
1.17	Special training in sensitive areas (sterile production and toxic substances)?	<input type="checkbox"/> <input type="checkbox"/> <input type="checkbox"/>		2.10
1.18	Information for visitors to the manufacturing area?	<input type="checkbox"/> <input type="checkbox"/> <input type="checkbox"/>		2.11
2	HYGIENE			
	Personnel Hygiene			
	Detailed written hygiene programs for			
2.1	• Clothing?	<input type="checkbox"/> <input type="checkbox"/> <input type="checkbox"/>		2.13
2.2	• Use of washrooms?	<input type="checkbox"/> <input type="checkbox"/> <input type="checkbox"/>		2.13
2.3	• Behavior in production areas?	<input type="checkbox"/> <input type="checkbox"/> <input type="checkbox"/>		2.13
2.4	Precautions against sick personnel or personnel with open wounds in production?	<input type="checkbox"/> <input type="checkbox"/> <input type="checkbox"/>		2.14
	Medical examination:			
2.5	• On recruitment?	<input type="checkbox"/> <input type="checkbox"/> <input type="checkbox"/>		2.15
2.6	• Regular reexaminations?	<input type="checkbox"/> <input type="checkbox"/> <input type="checkbox"/>		2.15
	Duty of notification after			
2.7	• Trips to tropical countries?	<input type="checkbox"/> <input type="checkbox"/> <input type="checkbox"/>		2.15
2.8	• Cases of contagious illness in the family?	<input type="checkbox"/> <input type="checkbox"/> <input type="checkbox"/>		2.15
2.9	Instructions for appropriate working clothes?	<input type="checkbox"/> <input type="checkbox"/> <input type="checkbox"/>		2.16
2.10	Absence of food and drink (chewing gum!) in the working area?	<input type="checkbox"/> <input type="checkbox"/> <input type="checkbox"/>		2.17
2.11	Measures against contact with open product (gloves, etc.)?	<input type="checkbox"/> <input type="checkbox"/> <input type="checkbox"/>		2.18
2.12	Instructions for hand washing in production?	<input type="checkbox"/> <input type="checkbox"/> <input type="checkbox"/>		2.19
2.13	Change of clothes when entering and leaving the production area?	<input type="checkbox"/> <input type="checkbox"/> <input type="checkbox"/>		5.19
2.14	Change rooms and toilets easily within reach?	<input type="checkbox"/> <input type="checkbox"/> <input type="checkbox"/>		3.31
2.15	Toilets and restrooms sufficiently separated from production areas?	<input type="checkbox"/> <input type="checkbox"/> <input type="checkbox"/>		3.30/3.31
2.16	Workshops separate from production areas?	<input type="checkbox"/> <input type="checkbox"/> <input type="checkbox"/>		3.32
2.17	Laboratory animal rooms totally segregated from production rooms?	<input type="checkbox"/> <input type="checkbox"/> <input type="checkbox"/>		3.33
3	WAREHOUSE			
	Rooms, General			
3.1	Suitable for the intended use?	<input type="checkbox"/> <input type="checkbox"/> <input type="checkbox"/>		3
3.2	• Adequate size?	<input type="checkbox"/> <input type="checkbox"/> <input type="checkbox"/>		3
3.3	• Clean?	<input type="checkbox"/> <input type="checkbox"/> <input type="checkbox"/>		3
3.4	Located and designed to exclude external contamination?	<input type="checkbox"/> <input type="checkbox"/> <input type="checkbox"/>		3.1

		Compliance 1 2 3 ^a	Remarks	EU-Guide
3.5	Appropriate level of maintenance?	<input type="checkbox"/> <input type="checkbox"/> <input type="checkbox"/>		3.2
3.6	Maintenance works possible without contamination risk?	<input type="checkbox"/> <input type="checkbox"/> <input type="checkbox"/>		3.2
3.7	Appropriate lighting and air-conditioning?	<input type="checkbox"/> <input type="checkbox"/> <input type="checkbox"/>		3.3
3.8	Recording of temperature and humidity?	<input type="checkbox"/> <input type="checkbox"/> <input type="checkbox"/>		3.3
3.9	Protection against the entry of insects or other animals?	<input type="checkbox"/> <input type="checkbox"/> <input type="checkbox"/>		3.4
3.10	Controlled access for authorized personnel only?	<input type="checkbox"/> <input type="checkbox"/> <input type="checkbox"/>		3.5
	Rooms, Special Requirements			
	Type of warehousing:			
3.11	Separation of goods sufficient?	<input type="checkbox"/> <input type="checkbox"/> <input type="checkbox"/>		3.18
3.12	Provision for different storage temperatures?	<input type="checkbox"/> <input type="checkbox"/> <input type="checkbox"/>		3.19
3.13	Goods receiving zone weather protected?	<input type="checkbox"/> <input type="checkbox"/> <input type="checkbox"/>		3.20
3.14	Cleaning zone for incoming goods?	<input type="checkbox"/> <input type="checkbox"/> <input type="checkbox"/>		3.20
3.15	Separate quarantine area with controlled access?	<input type="checkbox"/> <input type="checkbox"/> <input type="checkbox"/>		3.21
3.16	Separate, protected sampling area?	<input type="checkbox"/> <input type="checkbox"/> <input type="checkbox"/>		3.22
	Separate and safe storage of			
3.17	• Returned goods?	<input type="checkbox"/> <input type="checkbox"/> <input type="checkbox"/>		3.23
3.18	• Rejected goods?	<input type="checkbox"/> <input type="checkbox"/> <input type="checkbox"/>		3.23
3.19	Separate and safe storage of highly active, toxic, or dangerous substances?	<input type="checkbox"/> <input type="checkbox"/> <input type="checkbox"/>		3.24
3.20	Safe storage of narcotics?	<input type="checkbox"/> <input type="checkbox"/> <input type="checkbox"/>		3.24
3.21	Safe storage of printed packaging materials?	<input type="checkbox"/> <input type="checkbox"/> <input type="checkbox"/>		3.25
3.22	Security measurements against theft?	<input type="checkbox"/> <input type="checkbox"/> <input type="checkbox"/>		3.25
3.23	Smoke detectors?	<input type="checkbox"/> <input type="checkbox"/> <input type="checkbox"/>		3.25
3.24	Fire extinguishing system?	<input type="checkbox"/> <input type="checkbox"/> <input type="checkbox"/>		3.25
	Operations			
3.25	Reception, sampling, and labeling according to written procedures?	<input type="checkbox"/> <input type="checkbox"/> <input type="checkbox"/>		5.2
3.26	Is a sampling plan available?	<input type="checkbox"/> <input type="checkbox"/> <input type="checkbox"/>		Suppl. 4
3.27	Cleaning of incoming containers?	<input type="checkbox"/> <input type="checkbox"/> <input type="checkbox"/>		5.3
3.28	Investigation and recording of damaged deliveries?	<input type="checkbox"/> <input type="checkbox"/> <input type="checkbox"/>		5.4
3.29	First In First Out (FIFO) principle?	<input type="checkbox"/> <input type="checkbox"/> <input type="checkbox"/>		5.7
3.30	Inventory system?	<input type="checkbox"/> <input type="checkbox"/> <input type="checkbox"/>		5.8
3.31	Can the location of materials be detected at all times?	<input type="checkbox"/> <input type="checkbox"/> <input type="checkbox"/>		
3.32	Incoming goods: containers and seals intact?	<input type="checkbox"/> <input type="checkbox"/> <input type="checkbox"/>		5.27
3.33	Incoming goods: conformity with bill of delivery?	<input type="checkbox"/> <input type="checkbox"/> <input type="checkbox"/>		5.27
	Labeling of incoming containers with			
3.34	• Internal name and code?	<input type="checkbox"/> <input type="checkbox"/> <input type="checkbox"/>		5.29
3.35	• Allocated batch number?	<input type="checkbox"/> <input type="checkbox"/> <input type="checkbox"/>		5.29
3.36	• Quarantine status?	<input type="checkbox"/> <input type="checkbox"/> <input type="checkbox"/>		5.29
3.37	• Expiry date or reanalysis date?	<input type="checkbox"/> <input type="checkbox"/> <input type="checkbox"/>		5.29
3.38	Identity test for each incoming container?	<input type="checkbox"/> <input type="checkbox"/> <input type="checkbox"/>		5.29
3.39	Are the sampled containers marked?	<input type="checkbox"/> <input type="checkbox"/> <input type="checkbox"/>		5.30
3.40	Are reference samples taken?	<input type="checkbox"/> <input type="checkbox"/> <input type="checkbox"/>		5.30
3.41	Safe storage of printed packaging materials?	<input type="checkbox"/> <input type="checkbox"/> <input type="checkbox"/>		5.41
3.42	Lot tracing of all packaging materials possible?	<input type="checkbox"/> <input type="checkbox"/> <input type="checkbox"/>		5.42
3.43	Are excessive packaging materials destroyed?	<input type="checkbox"/> <input type="checkbox"/> <input type="checkbox"/>		5.43
	Release of starting materials by physical/inventory checks on raw materials, packaging materials, and finished goods:			
Item	Stocks: Physical	Stocks: Inventory	Storage conditions	

		Compliance 1 2 3 ^a	Remarks	EU-Guide
4	DISPENSING/ASSEMBLING			
	Rooms, General			
4.1	Suitable for the intended use?	<input type="checkbox"/> <input type="checkbox"/> <input type="checkbox"/>		3
4.2	• Adequate size?	<input type="checkbox"/> <input type="checkbox"/> <input type="checkbox"/>		3
4.3	• Clean	<input type="checkbox"/> <input type="checkbox"/> <input type="checkbox"/>		3
4.4	Located and designed to exclude external contamination?	<input type="checkbox"/> <input type="checkbox"/> <input type="checkbox"/>		3.1
4.5	Appropriate level of maintenance?	<input type="checkbox"/> <input type="checkbox"/> <input type="checkbox"/>		3.2
4.6	Maintenance works possible without contamination risk?	<input type="checkbox"/> <input type="checkbox"/> <input type="checkbox"/>		3.2
4.7	Appropriate lighting and air-conditioning?	<input type="checkbox"/> <input type="checkbox"/> <input type="checkbox"/>		3.3
4.8	Recording of temperature and humidity?	<input type="checkbox"/> <input type="checkbox"/> <input type="checkbox"/>		
4.9	Protection against the entry of insects or other animals?	<input type="checkbox"/> <input type="checkbox"/> <input type="checkbox"/>		3.4
4.10	Controlled access for authorized personnel only?	<input type="checkbox"/> <input type="checkbox"/> <input type="checkbox"/>		3.5
	Rooms, Special Requirements			
4.11	Segregated from production and warehouse?	<input type="checkbox"/> <input type="checkbox"/> <input type="checkbox"/>		3.13
4.12	Separate weighing cabins?	<input type="checkbox"/> <input type="checkbox"/> <input type="checkbox"/>		3.13
4.13	Separate air handling unit (AHU) for each cabin?	<input type="checkbox"/> <input type="checkbox"/> <input type="checkbox"/>		3.12
	Air pressure gradient from weighing cabin → corridor:			3.3
4.14	Dust-extraction systems available?	<input type="checkbox"/> <input type="checkbox"/> <input type="checkbox"/>		5.11
	Operations			
4.15	Balances regularly calibrated?	<input type="checkbox"/> <input type="checkbox"/> <input type="checkbox"/>		3.41
4.16	Only pharmaceutical raw materials in this area?	<input type="checkbox"/> <input type="checkbox"/> <input type="checkbox"/>		5.17
4.17	Check on remains from previous materials before entering of new materials into a weighing cabin?	<input type="checkbox"/> <input type="checkbox"/> <input type="checkbox"/>		5.9/5.35
4.18	Only one material in one cabin?	<input type="checkbox"/> <input type="checkbox"/> <input type="checkbox"/>		5.9
4.19	Are dispensed materials correctly labeled?	<input type="checkbox"/> <input type="checkbox"/> <input type="checkbox"/>		5.29
4.2	Only released products in the dispensing?	<input type="checkbox"/> <input type="checkbox"/> <input type="checkbox"/>		5.31
4.21	Cleaning SOPs for the dispensing?	<input type="checkbox"/> <input type="checkbox"/> <input type="checkbox"/>		4.28
4.22	Previously dispensed material recorded on weighing protocol?	<input type="checkbox"/> <input type="checkbox"/> <input type="checkbox"/>		4.8
4.23	Safety measures against mix-ups during assembling (e.g., cage pallets)?	<input type="checkbox"/> <input type="checkbox"/> <input type="checkbox"/>		5.32/5.34
5	SOLIDS MANUFACTURING			
	Field of activity:			
	• Granulation	<input type="checkbox"/>		
	• Compression	<input type="checkbox"/>		
	• Encapsulation	<input type="checkbox"/>		
	• Film and sugar coating	<input type="checkbox"/>		
	• Visual inspection (capsules, tablets, etc.)	<input type="checkbox"/>		
	• Premix (human)	<input type="checkbox"/>		
	Rooms, General			
5.1	Suitable for the intended use?	<input type="checkbox"/> <input type="checkbox"/> <input type="checkbox"/>		3
5.2	• Adequate size?	<input type="checkbox"/> <input type="checkbox"/> <input type="checkbox"/>		3
5.3	• Clean?	<input type="checkbox"/> <input type="checkbox"/> <input type="checkbox"/>		3
5.4	Located and designed to exclude external contamination?	<input type="checkbox"/> <input type="checkbox"/> <input type="checkbox"/>		3.1
5.5	Appropriate level of maintenance?	<input type="checkbox"/> <input type="checkbox"/> <input type="checkbox"/>		3.2
5.6	Maintenance works possible without contamination risk?	<input type="checkbox"/> <input type="checkbox"/> <input type="checkbox"/>		3.2
5.7	Appropriate lighting and air-conditioning?	<input type="checkbox"/> <input type="checkbox"/> <input type="checkbox"/>		3.3
5.8	Recording of temperature and humidity?	<input type="checkbox"/> <input type="checkbox"/> <input type="checkbox"/>		
5.9	Protection against the entry of insects or other animals?	<input type="checkbox"/> <input type="checkbox"/> <input type="checkbox"/>		3.4
5.10	Controlled access for authorized personnel only?	<input type="checkbox"/> <input type="checkbox"/> <input type="checkbox"/>		3.5
	Rooms, Special Requirements			
5.11	Separate manufacturing area for penicillins/cephalosporins or highly sensitizing substances?	<input type="checkbox"/> <input type="checkbox"/> <input type="checkbox"/>		3.6
5.12	Only for processing of pharmaceuticals?	<input type="checkbox"/> <input type="checkbox"/> <input type="checkbox"/>		3.6
5.13	Logical flow of materials?	<input type="checkbox"/> <input type="checkbox"/> <input type="checkbox"/>		3.7
5.14	Walls, floors, and ceilings: smooth surface and free of cracks?	<input type="checkbox"/> <input type="checkbox"/> <input type="checkbox"/>		3.8
5.15	Easy cleaning possible?	<input type="checkbox"/> <input type="checkbox"/> <input type="checkbox"/>		3.10

		Compliance 1 2 3 ^a	Remarks	EU-Guide
5.16	Adequate drains with traps and grilles?	<input type="checkbox"/> <input type="checkbox"/> <input type="checkbox"/>		3.11
5.17	Appropriate air-handling system?	<input type="checkbox"/> <input type="checkbox"/> <input type="checkbox"/>		3.12
	Air pressure gradient from working bay → corridor: Classification according to EC guide?			
5.18	Appropriate dust-extraction system?	<input type="checkbox"/> <input type="checkbox"/> <input type="checkbox"/>		3.14
5.19	Appropriate lighting?	<input type="checkbox"/> <input type="checkbox"/> <input type="checkbox"/>		3.16
5.20	Separate rest rooms?	<input type="checkbox"/> <input type="checkbox"/> <input type="checkbox"/>		3.30
5.21	Changing rooms designed to avoid contamination?	<input type="checkbox"/> <input type="checkbox"/> <input type="checkbox"/>		3.31
5.22	Toilets segregated from manufacturing areas?	<input type="checkbox"/> <input type="checkbox"/> <input type="checkbox"/>		3.31
	Equipment			
5.23	Suitable for the intended use?	<input type="checkbox"/> <input type="checkbox"/> <input type="checkbox"/>		3.34
5.24	Well maintained?	<input type="checkbox"/> <input type="checkbox"/> <input type="checkbox"/>		3.34
5.25	Written and validated cleaning procedures?	<input type="checkbox"/> <input type="checkbox"/> <input type="checkbox"/>		3.36
5.26	Maintenance without contamination risk (separate area)?	<input type="checkbox"/> <input type="checkbox"/> <input type="checkbox"/>		3.35
5.27	Equipment in contact with product: suitable materials quality?	<input type="checkbox"/> <input type="checkbox"/> <input type="checkbox"/>		3.39
5.28	Machinery equipped with measuring and control devices?	<input type="checkbox"/> <input type="checkbox"/> <input type="checkbox"/>		3.40
5.29	Calibration at fixed intervals according to written procedures?	<input type="checkbox"/> <input type="checkbox"/> <input type="checkbox"/>		3.41
5.30	Calibration records available?	<input type="checkbox"/> <input type="checkbox"/> <input type="checkbox"/>		3.41
5.31	Contents and flow direction marked on pipes?	<input type="checkbox"/> <input type="checkbox"/> <input type="checkbox"/>		3.42
5.32	Pipes for distilled and demineralized water regularly monitored and sanitized?	<input type="checkbox"/> <input type="checkbox"/> <input type="checkbox"/>		3.43
5.33	Not functioning equipment in the production area (if yes: clearly marked)?	Y N		3.44
5.34	Status of cleanliness indicated?	<input type="checkbox"/> <input type="checkbox"/> <input type="checkbox"/>		5.13
5.35	Previous product indicated?	<input type="checkbox"/> <input type="checkbox"/> <input type="checkbox"/>		5.13
	Operations			
5.36	Are written and validated procedures for all manufacturing steps available?	<input type="checkbox"/> <input type="checkbox"/> <input type="checkbox"/>		5.2
5.37	Are all manufacturing steps recorded with actual parameters?	<input type="checkbox"/> <input type="checkbox"/> <input type="checkbox"/>		5.2
5.38	Check of each single container of the starting materials (contents, weight, and identity)?	<input type="checkbox"/> <input type="checkbox"/> <input type="checkbox"/>		5.3
5.39	Limits for yields?	<input type="checkbox"/> <input type="checkbox"/> <input type="checkbox"/>		5.8
5.40	Only one batch of one product processed?	<input type="checkbox"/> <input type="checkbox"/> <input type="checkbox"/>		5.9
5.41	Protection against microbial contamination?	<input type="checkbox"/> <input type="checkbox"/> <input type="checkbox"/>		5.10
5.42	Appropriate measures against generation of dust (e.g., closed systems)?	<input type="checkbox"/> <input type="checkbox"/> <input type="checkbox"/>		5.11
	Correct labeling of containers, materials, equipment, and rooms with			5.12
5.43	• Product name and batch no?	<input type="checkbox"/> <input type="checkbox"/> <input type="checkbox"/>		5.12
5.44	• Quarantine status?	<input type="checkbox"/> <input type="checkbox"/> <input type="checkbox"/>		5.12
5.45	Deviations from standard procedures recorded and signed by the supervisor?	<input type="checkbox"/> <input type="checkbox"/> <input type="checkbox"/>		5.14
5.46	Special procedures for the production of antibiotics, hormones, etc.?	<input type="checkbox"/> <input type="checkbox"/> <input type="checkbox"/>		5.19
5.47	• Campaign production?	<input type="checkbox"/> <input type="checkbox"/> <input type="checkbox"/>		5.19
5.48	• Special monitoring?	<input type="checkbox"/> <input type="checkbox"/> <input type="checkbox"/>		5.19
5.49	• Validated decontamination procedure?	<input type="checkbox"/> <input type="checkbox"/> <input type="checkbox"/>		5.19
5.50	Double check on weight?	<input type="checkbox"/> <input type="checkbox"/> <input type="checkbox"/>		5.34
5.51	Line clearance before start of production?	<input type="checkbox"/> <input type="checkbox"/> <input type="checkbox"/>		5.35
5.52	Investigation of deviations in yields?	<input type="checkbox"/> <input type="checkbox"/> <input type="checkbox"/>		5.39
5.53	Validated procedures for reworking of rejected batches?	<input type="checkbox"/> <input type="checkbox"/> <input type="checkbox"/>		5.62
5.54	Detailed procedures for the addition of previous batches?	<input type="checkbox"/> <input type="checkbox"/> <input type="checkbox"/>		5.63
5.55	Special release procedure (QA) for those batches?	<input type="checkbox"/> <input type="checkbox"/> <input type="checkbox"/>		5.64
5.56	Use of protective clothing (hair cover, shoes, masks, and gloves)?	<input type="checkbox"/> <input type="checkbox"/> <input type="checkbox"/>		2.16
5.57	Clothing regulation for visitors?	<input type="checkbox"/> <input type="checkbox"/> <input type="checkbox"/>		2.11

		Compliance 1 2 3 ^a	Remarks	EU-Guide
	In-Process Control (IPC)			5.38
	Who performs IPC?			
5.58	Are IPC methods approved by QC?	<input type="checkbox"/> <input type="checkbox"/> <input type="checkbox"/>		6.18
	Performance of IPCs:	During start-up?	Frequency	Automation data recording?
		Yes No		Yes No
	Tablets/Kernels			
5.59	Individual weights	<input type="checkbox"/> <input type="checkbox"/> <input type="checkbox"/>		
5.60	Disintegration	<input type="checkbox"/> <input type="checkbox"/> <input type="checkbox"/>		
5.61	Thickness	<input type="checkbox"/> <input type="checkbox"/> <input type="checkbox"/>		
5.62	Hardness	<input type="checkbox"/> <input type="checkbox"/> <input type="checkbox"/>		
5.63	Friability/Abrasion	<input type="checkbox"/> <input type="checkbox"/> <input type="checkbox"/>		
	Sugar-/Film-Coated Tablets			
5.64	Weights	<input type="checkbox"/> <input type="checkbox"/> <input type="checkbox"/>		
5.65	Disintegration	<input type="checkbox"/> <input type="checkbox"/> <input type="checkbox"/>		
5.66	Residual absolute humidity	<input type="checkbox"/> <input type="checkbox"/> <input type="checkbox"/>		
	Capsules			
5.67	Individual weights	<input type="checkbox"/> <input type="checkbox"/> <input type="checkbox"/>		
5.68	Disintegration	<input type="checkbox"/> <input type="checkbox"/> <input type="checkbox"/>		
	Validation			
5.69	Validation according to fixed procedures?	<input type="checkbox"/> <input type="checkbox"/> <input type="checkbox"/>		5.21
5.70	New procedures released only after validation?	<input type="checkbox"/> <input type="checkbox"/> <input type="checkbox"/>		5.22
	Validation of changes of			
5.71	• Processes?	<input type="checkbox"/> <input type="checkbox"/> <input type="checkbox"/>		5.23
5.72	• Starting materials?	<input type="checkbox"/> <input type="checkbox"/> <input type="checkbox"/>		5.23
5.73	• Equipment?	<input type="checkbox"/> <input type="checkbox"/> <input type="checkbox"/>		5.23
5.74	Revalidation at fixed intervals?	<input type="checkbox"/> <input type="checkbox"/> <input type="checkbox"/>		5.24
5.75	Procedures for the retrospective validation of old procedures?	<input type="checkbox"/> <input type="checkbox"/> <input type="checkbox"/>		
6	LIQUIDS MANUFACTURING			
	Operations carried out:			
	• Dispensing (if different from solid)	<input type="checkbox"/> <input type="checkbox"/> <input type="checkbox"/>		
	• Syrups and suspensions	<input type="checkbox"/> <input type="checkbox"/> <input type="checkbox"/>		
	• Drops	<input type="checkbox"/> <input type="checkbox"/> <input type="checkbox"/>		
	• Ointment manufacture	<input type="checkbox"/> <input type="checkbox"/> <input type="checkbox"/>		
	• Ointment filling	<input type="checkbox"/> <input type="checkbox"/> <input type="checkbox"/>		
	• Ampoule solution manufacture	<input type="checkbox"/> <input type="checkbox"/> <input type="checkbox"/>		
	• Sterile or aseptic ampoule filling	<input type="checkbox"/> <input type="checkbox"/> <input type="checkbox"/>		
	• Sterile freeze drying	<input type="checkbox"/> <input type="checkbox"/> <input type="checkbox"/>		
	• Sterile powder filling	<input type="checkbox"/> <input type="checkbox"/> <input type="checkbox"/>		
	Rooms, General			
6.1	Suitable for the intended use?	<input type="checkbox"/> <input type="checkbox"/> <input type="checkbox"/>		3
6.2	• Adequate size?	<input type="checkbox"/> <input type="checkbox"/> <input type="checkbox"/>		3
6.3	• Clean?	<input type="checkbox"/> <input type="checkbox"/> <input type="checkbox"/>		3
6.4	Located and designed to exclude external contamination?	<input type="checkbox"/> <input type="checkbox"/> <input type="checkbox"/>		3.1
6.5	Appropriate level of maintenance?	<input type="checkbox"/> <input type="checkbox"/> <input type="checkbox"/>		3.2
6.6	Maintenance works possible without contamination risk?	<input type="checkbox"/> <input type="checkbox"/> <input type="checkbox"/>		3.2
6.7	Appropriate lighting and air-conditioning?	<input type="checkbox"/> <input type="checkbox"/> <input type="checkbox"/>		3.3
6.8	Recording of temperature and humidity?	<input type="checkbox"/> <input type="checkbox"/> <input type="checkbox"/>		
6.9	Protection against the entry of insects or other animals?	<input type="checkbox"/> <input type="checkbox"/> <input type="checkbox"/>		3.4
6.10	Controlled access for authorized personnel only?	<input type="checkbox"/> <input type="checkbox"/> <input type="checkbox"/>		3.5
	Rooms, Special Requirements			
6.11	Separate manufacturing area for penicillins/cephalosporins or highly sensitizing substances?	<input type="checkbox"/> <input type="checkbox"/> <input type="checkbox"/>		3.6
6.12	Only for processing of pharmaceuticals?	<input type="checkbox"/> <input type="checkbox"/> <input type="checkbox"/>		3.6
6.13	Logical flow of materials?	<input type="checkbox"/> <input type="checkbox"/> <input type="checkbox"/>		3.7
6.14	Walls, floors, and ceilings: smooth surface and free of cracks?	<input type="checkbox"/> <input type="checkbox"/> <input type="checkbox"/>		3.8

		Compliance 1 2 3 ^a	Remarks	EU-Guide
6.15	Easy cleaning possible?	<input type="checkbox"/> <input type="checkbox"/> <input type="checkbox"/>		3.10
6.16	Adequate drains with traps and grilles?	<input type="checkbox"/> <input type="checkbox"/> <input type="checkbox"/>		3.11
6.17	Appropriate air-handling system with filtered air where open products are exposed to the environment? Air pressure gradient from working bay → corridor: Classification according to EC guide?	<input type="checkbox"/> <input type="checkbox"/> <input type="checkbox"/>		3.12
6.18	Appropriate lighting?	<input type="checkbox"/> <input type="checkbox"/> <input type="checkbox"/>		3.16
6.19	Separate rest rooms?	<input type="checkbox"/> <input type="checkbox"/> <input type="checkbox"/>		3.30
6.20	Changing rooms designed to avoid contamination?	<input type="checkbox"/> <input type="checkbox"/> <input type="checkbox"/>		3.31
6.21	Toilets segregated from manufacturing areas?	<input type="checkbox"/> <input type="checkbox"/> <input type="checkbox"/>		3.31
	Equipment			
6.22	Suitable for the intended use?	<input type="checkbox"/> <input type="checkbox"/> <input type="checkbox"/>		3.34
6.23	Well maintained?	<input type="checkbox"/> <input type="checkbox"/> <input type="checkbox"/>		3.34
6.24	Tanks, containers, pipework, and pumps designed for easy cleaning and sanitation (dead legs!)?	<input type="checkbox"/> <input type="checkbox"/> <input type="checkbox"/>		Suppl. 2
6.25	Written and validated cleaning procedures?	<input type="checkbox"/> <input type="checkbox"/> <input type="checkbox"/>		3.36
6.26	Maintenance without contamination risk (separate area)?	<input type="checkbox"/> <input type="checkbox"/> <input type="checkbox"/>		3.35
6.27	Equipment in contact with product: suitable materials quality?	<input type="checkbox"/> <input type="checkbox"/> <input type="checkbox"/>		3.39
6.28	Machinery equipped with measuring and control devices?	<input type="checkbox"/> <input type="checkbox"/> <input type="checkbox"/>		3.40
6.29	Calibration at fixed intervals according to written procedures?	<input type="checkbox"/> <input type="checkbox"/> <input type="checkbox"/>		3.41
6.30	Calibration records available?	<input type="checkbox"/> <input type="checkbox"/> <input type="checkbox"/>		3.41
6.31	Contents and flow direction marked on pipes?	<input type="checkbox"/> <input type="checkbox"/> <input type="checkbox"/>		3.42
6.32	Pipes for distilled and demineralized water regularly monitored and sanitized?	<input type="checkbox"/> <input type="checkbox"/> <input type="checkbox"/>		3.43
6.33	Not functioning equipment in the production area (if yes: clearly marked)?	Y N		3.44
6.34	Status of cleanliness indicated?	<input type="checkbox"/> <input type="checkbox"/> <input type="checkbox"/>		5.13
6.35	Previous product indicated?	<input type="checkbox"/> <input type="checkbox"/> <input type="checkbox"/>		5.13
	Operations			
6.36	Are written and validated procedures for all manufacturing steps available?	<input type="checkbox"/> <input type="checkbox"/> <input type="checkbox"/>		5.2
6.37	Are all manufacturing steps recorded with actual parameters?	<input type="checkbox"/> <input type="checkbox"/> <input type="checkbox"/>		5.2
6.38	Check of each single container of the starting materials (contents, weight, and identity)?	<input type="checkbox"/> <input type="checkbox"/> <input type="checkbox"/>		5.3
6.39	Limits for yields?	<input type="checkbox"/> <input type="checkbox"/> <input type="checkbox"/>		5.8
6.40	Only one batch of one product processed?	<input type="checkbox"/> <input type="checkbox"/> <input type="checkbox"/>		5.9
6.41	Protection against microbial contamination?	<input type="checkbox"/> <input type="checkbox"/> <input type="checkbox"/>		5.10
	Correct labeling of containers, materials, equipment, and rooms with			5.12
6.42	• Product name and batch no.?	<input type="checkbox"/> <input type="checkbox"/> <input type="checkbox"/>		5.12
6.43	• Quarantine status?	<input type="checkbox"/> <input type="checkbox"/> <input type="checkbox"/>		5.12
6.44	Deviations from standard procedures recorded and signed by the supervisor?	<input type="checkbox"/> <input type="checkbox"/> <input type="checkbox"/>		5.14
6.45	Special procedures for the production of antibiotics, hormones, etc.?	<input type="checkbox"/> <input type="checkbox"/> <input type="checkbox"/>		5.19
6.46	• Campaign production?	<input type="checkbox"/> <input type="checkbox"/> <input type="checkbox"/>		5.19
6.47	• Special monitoring?	<input type="checkbox"/> <input type="checkbox"/> <input type="checkbox"/>		5.19
6.48	• Validated decontamination procedure?	<input type="checkbox"/> <input type="checkbox"/> <input type="checkbox"/>		5.19
6.49	Double check on weight?	<input type="checkbox"/> <input type="checkbox"/> <input type="checkbox"/>		5.34
6.50	Line clearance before start of production?	<input type="checkbox"/> <input type="checkbox"/> <input type="checkbox"/>		5.35
6.51	Investigation of deviations in yields?	<input type="checkbox"/> <input type="checkbox"/> <input type="checkbox"/>		5.39
6.52	Specification of maximum storage time and storage conditions if products are not immediately filled or packaged?	<input type="checkbox"/> <input type="checkbox"/> <input type="checkbox"/>		Suppl. 9
6.53	Validated procedures for reworking of rejected batches?	<input type="checkbox"/> <input type="checkbox"/> <input type="checkbox"/>		5.62
6.54	Detailed procedures for the addition of previous batches?	<input type="checkbox"/> <input type="checkbox"/> <input type="checkbox"/>		5.63
6.55	Special release procedure (QA) for those batches?	<input type="checkbox"/> <input type="checkbox"/> <input type="checkbox"/>		5.64

		Compliance 1 2 3 ^a	Remarks	EU-Guide
6.56	Use of protective clothing (hair cover, shoes, masks, and gloves)?	<input type="checkbox"/> <input type="checkbox"/> <input type="checkbox"/>		2.16
6.57	Clothing regulation for visitors?	<input type="checkbox"/> <input type="checkbox"/> <input type="checkbox"/>		2.11
	Water			
6.58	Loop system for purified water?	<input type="checkbox"/> <input type="checkbox"/> <input type="checkbox"/>		Suppl. 4
6.59	Antimicrobial treatment of purified water?	<input type="checkbox"/> <input type="checkbox"/> <input type="checkbox"/>		Suppl. 4
6.60	Loop system for water for injection?	<input type="checkbox"/> <input type="checkbox"/> <input type="checkbox"/>		Suppl. 4
	Storage temperature of water for injection:			Suppl. 4
6.61	Loop system constructed to avoid dead legs?	<input type="checkbox"/> <input type="checkbox"/> <input type="checkbox"/>		Suppl. 4
6.62	Regular microbiological monitoring?	<input type="checkbox"/> <input type="checkbox"/> <input type="checkbox"/>		Suppl. 4
6.63	Regular endotoxin control?	<input type="checkbox"/> <input type="checkbox"/> <input type="checkbox"/>		Suppl. 4
	Special Requirements for Sterile and Aseptic Products			Suppl.
	Rooms and Equipment			
6.64	Access of staff and materials to clean areas <i>only</i> through air locks?	<input type="checkbox"/> <input type="checkbox"/> <input type="checkbox"/>		1
6.66	Rooms classified according to EC Guide?	<input type="checkbox"/> <input type="checkbox"/> <input type="checkbox"/>		3
	Classification for products to be sterilized:			
6.67	• Solution preparation (EC: class C, with special precautions class D):	Class:		5
6.68	• Filling (EC: under LF in class C):	Class:		5
	Classification for aseptic products:			
6.69	• Handling of starting materials that can be sterile filtered (EC: class C):	Class:		6
6.70	• Handling of starting materials that cannot be sterile filtered (EC: class A in class B):	Class:		6
6.71	• Handling and filling of bulk (EC: class A in Class B):	Class:		6
6.72	All rooms easy to clean and disinfect?	<input type="checkbox"/> <input type="checkbox"/> <input type="checkbox"/>		17
6.73	Doors, windows, frames, lighting, etc. without edges?	<input type="checkbox"/> <input type="checkbox"/> <input type="checkbox"/>		18
6.74	Suspended ceilings (if yes: sealed?)?	<input type="checkbox"/> <input type="checkbox"/> <input type="checkbox"/>		19
6.75	Traps constructed to avoid microbiological contamination?	<input type="checkbox"/> <input type="checkbox"/> <input type="checkbox"/>		21
6.76	Appropriately constructed changing rooms?	<input type="checkbox"/> <input type="checkbox"/> <input type="checkbox"/>		22
6.77	Measures against opening of both doors of air locks?	<input type="checkbox"/> <input type="checkbox"/> <input type="checkbox"/>		23
6.78	Overpressure gradient from cleanest areas to others?	<input type="checkbox"/> <input type="checkbox"/> <input type="checkbox"/>		24
6.79	AHU validated and regularly revalidated?	<input type="checkbox"/> <input type="checkbox"/> <input type="checkbox"/>		25
6.80	Control instruments for pressure gradient?	<input type="checkbox"/> <input type="checkbox"/> <input type="checkbox"/>		26
6.81	Warning system for errors in air supply?	<input type="checkbox"/> <input type="checkbox"/> <input type="checkbox"/>		26
6.82	Recording of pressure gradients?	<input type="checkbox"/> <input type="checkbox"/> <input type="checkbox"/>		26
6.83	Do conveyor belts leave sterile areas?	<input type="checkbox"/> <input type="checkbox"/> <input type="checkbox"/>		28
6.84	Maintenance works outside clean areas possible?	<input type="checkbox"/> <input type="checkbox"/> <input type="checkbox"/>		28
6.85	Cleaning and disinfection procedure after maintenance works?	<input type="checkbox"/> <input type="checkbox"/> <input type="checkbox"/>		29
6.86	Regular revalidation of all equipment and systems?	<input type="checkbox"/> <input type="checkbox"/> <input type="checkbox"/>		30
6.87	Water prepared, circulated, and stored to exclude microbiological contamination?	<input type="checkbox"/> <input type="checkbox"/> <input type="checkbox"/>		31
6.88	Cleaning and disinfection of rooms according to validated SOPs rooms?	<input type="checkbox"/> <input type="checkbox"/> <input type="checkbox"/>		32
	• Disinfection methods?			
6.89	Microbiological monitoring of cleaning and disinfection agents?	<input type="checkbox"/> <input type="checkbox"/> <input type="checkbox"/>		33
6.90	Microbiological monitoring program of production areas?	<input type="checkbox"/> <input type="checkbox"/> <input type="checkbox"/>		35
6.91	Results recorded and considered for the release?	<input type="checkbox"/> <input type="checkbox"/> <input type="checkbox"/>		35
	Personnel and Hygiene			
6.92	Minimal number of personnel in clean areas?	<input type="checkbox"/> <input type="checkbox"/> <input type="checkbox"/>		7
6.93	Special and regular training?	<input type="checkbox"/> <input type="checkbox"/> <input type="checkbox"/>		8
6.94	Regular medical examinations?	<input type="checkbox"/> <input type="checkbox"/> <input type="checkbox"/>		10
6.95	Appropriate clean room clothes (material and design)?	<input type="checkbox"/> <input type="checkbox"/> <input type="checkbox"/>		12
6.96	Protective clothes worn correctly?	<input type="checkbox"/> <input type="checkbox"/> <input type="checkbox"/>		12
6.97	Prohibition of cosmetics, jewelry, and watches?	<input type="checkbox"/> <input type="checkbox"/> <input type="checkbox"/>		13
6.98	New clean room clothes for each working cycle?	<input type="checkbox"/> <input type="checkbox"/> <input type="checkbox"/>		15

		Compliance 1 2 3 ^a	Remarks	EU-Guide
6.99	Appropriate washing and sterilization of clothes? Operations	<input type="checkbox"/> <input type="checkbox"/> <input type="checkbox"/>		16
6.100	Validation (media filling) at regular intervals? Monitoring of water preparation system, frequency:	<input type="checkbox"/> <input type="checkbox"/> <input type="checkbox"/>		38
6.101	• Microbiological:			40
6.102	• Chemical:			40
6.103	• Particles:			40
6.104	• Endotoxins:			40
6.105	Microbiological monitoring of starting materials?	<input type="checkbox"/> <input type="checkbox"/> <input type="checkbox"/>		42
6.106	Maximum storage times defined for sterilized equipment?	<input type="checkbox"/> <input type="checkbox"/> <input type="checkbox"/>		45
6.107	Maximum storage time defined between solution preparation and filtration?	<input type="checkbox"/> <input type="checkbox"/> <input type="checkbox"/>		46
6.108	Material transfer to clean areas through double door autoclaves? Sterilization Processes	<input type="checkbox"/> <input type="checkbox"/> <input type="checkbox"/>		48
6.109	All processes validated?	<input type="checkbox"/> <input type="checkbox"/> <input type="checkbox"/>		50
6.110	Sterilized and nonsterilized materials clearly separated? Trays and boxes clearly labeled with	<input type="checkbox"/> <input type="checkbox"/> <input type="checkbox"/>		54
6.111	• Product name and code	<input type="checkbox"/> <input type="checkbox"/> <input type="checkbox"/>		54
6.112	• Batch no.	<input type="checkbox"/> <input type="checkbox"/> <input type="checkbox"/>		54
6.113	• Status: sterilized or nonsterilized Sterilizers	<input type="checkbox"/> <input type="checkbox"/> <input type="checkbox"/>		54
6.114	Recording of temperature, pressure, and time?	<input type="checkbox"/> <input type="checkbox"/> <input type="checkbox"/>		55
6.115	Coldest point determined?	<input type="checkbox"/> <input type="checkbox"/> <input type="checkbox"/>		55
6.116	Independent counter check probe?	<input type="checkbox"/> <input type="checkbox"/> <input type="checkbox"/>		55
6.117	Heat-up time for each product determined?	<input type="checkbox"/> <input type="checkbox"/> <input type="checkbox"/>		56
6.118	Sterile cooling media?	<input type="checkbox"/> <input type="checkbox"/> <input type="checkbox"/>		57
6.119	Tightness tests for vacuum autoclaves?	<input type="checkbox"/> <input type="checkbox"/> <input type="checkbox"/>		58
6.120	Clean steam for steam autoclaves?	<input type="checkbox"/> <input type="checkbox"/> <input type="checkbox"/>		58
6.121	Circulated air with overpressure?	<input type="checkbox"/> <input type="checkbox"/> <input type="checkbox"/>		61
6.122	Recirculated air: sterile filtered?	<input type="checkbox"/> <input type="checkbox"/> <input type="checkbox"/>		61
6.123	Ethylene oxide autoclaves: humidity, temperature, and time recorded?	<input type="checkbox"/> <input type="checkbox"/> <input type="checkbox"/>		69
6.124	Ethylene oxide autoclaves: use of bioindicators? Filtration	<input type="checkbox"/> <input type="checkbox"/> <input type="checkbox"/>		70
6.125	Double filtration?	<input type="checkbox"/> <input type="checkbox"/> <input type="checkbox"/>		75
6.126	Integrity testing of filters immediately after use?	<input type="checkbox"/> <input type="checkbox"/> <input type="checkbox"/>		77
6.127	Are results a part of the batch protocol?	<input type="checkbox"/> <input type="checkbox"/> <input type="checkbox"/>		77
6.128	Optical control of each single container of ampoules, vials, and infusions? IPC	<input type="checkbox"/> <input type="checkbox"/> <input type="checkbox"/>		82
6.129	Written IPC procedures and SOPs? Particle testing of	<input type="checkbox"/> <input type="checkbox"/> <input type="checkbox"/>		
6.130	• Rooms?	<input type="checkbox"/> <input type="checkbox"/> <input type="checkbox"/>		
6.131	• Primary packaging materials?	<input type="checkbox"/> <input type="checkbox"/> <input type="checkbox"/>		
6.132	• System of warning and action limits? Microbiological monitoring of	<input type="checkbox"/> <input type="checkbox"/> <input type="checkbox"/>		
6.133	• Rooms?			
6.134	• Personnel?			
6.135	• Equipment?			
6.136	Residual O ₂ of ampoules, infusions, and syrups?	<input type="checkbox"/> <input type="checkbox"/> <input type="checkbox"/>		
6.137	Endotoxin testing of water and packaging materials?	<input type="checkbox"/> <input type="checkbox"/> <input type="checkbox"/>		
6.138	Calibration of equipment?	<input type="checkbox"/> <input type="checkbox"/> <input type="checkbox"/>		
6.139	Regular revalidation of equipment?	<input type="checkbox"/> <input type="checkbox"/> <input type="checkbox"/>		
7	PACKAGING Operations carried out:			
	• Blistering	<input type="checkbox"/>		

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• Foil packaging	<input type="checkbox"/>		
• Filling into tablet glasses	<input type="checkbox"/>		
• Effervescent packaging	<input type="checkbox"/>		
• Powder filling	<input type="checkbox"/>		
• Syrup/drops filling	<input type="checkbox"/>		
• Ointment filling	<input type="checkbox"/>		
Rooms			
7.1 Suitable for the intended use?	<input type="checkbox"/> <input type="checkbox"/> <input type="checkbox"/>		3
7.2 • Adequate size?	<input type="checkbox"/> <input type="checkbox"/> <input type="checkbox"/>		3
7.3 • Clean?	<input type="checkbox"/> <input type="checkbox"/> <input type="checkbox"/>		3
7.4 Located and designed to exclude external contamination?	<input type="checkbox"/> <input type="checkbox"/> <input type="checkbox"/>		3.1
7.5 Appropriate level of maintenance?	<input type="checkbox"/> <input type="checkbox"/> <input type="checkbox"/>		3.2
7.6 Maintenance works possible without contamination risk?	<input type="checkbox"/> <input type="checkbox"/> <input type="checkbox"/>		3.2
7.7 Appropriate lighting and air-conditioning?	<input type="checkbox"/> <input type="checkbox"/> <input type="checkbox"/>		3.3
7.8 Recording of temperature and humidity?	<input type="checkbox"/> <input type="checkbox"/> <input type="checkbox"/>		
7.9 Protection against the entry of insects or other animals?	<input type="checkbox"/> <input type="checkbox"/> <input type="checkbox"/>		3.4
7.10 Controlled access for authorized personnel only?	<input type="checkbox"/> <input type="checkbox"/> <input type="checkbox"/>		3.5
7.11 Adequate separation of the packaging lines?	<input type="checkbox"/> <input type="checkbox"/> <input type="checkbox"/>		3.15
Operations			
7.12 Only <i>one</i> product per line?	<input type="checkbox"/> <input type="checkbox"/> <input type="checkbox"/>		5.44
7.13 Check list for clearance before processing a new product/new batch?	<input type="checkbox"/> <input type="checkbox"/> <input type="checkbox"/>		5.45
7.14 Adequate labeling of the lines (product name and code)?	<input type="checkbox"/> <input type="checkbox"/> <input type="checkbox"/>		5.46
7.15 Check of all materials delivered to the line (quantity, identity, conformity with order)?	<input type="checkbox"/> <input type="checkbox"/> <input type="checkbox"/>		5.47
7.16 Cleaning of primary packaging materials?	<input type="checkbox"/> <input type="checkbox"/> <input type="checkbox"/>		5.48
7.17 Immediate labeling after filling?	<input type="checkbox"/> <input type="checkbox"/> <input type="checkbox"/>		5.49
7.18 Careful check of all printing processes (code and expiry date)?	<input type="checkbox"/> <input type="checkbox"/> <input type="checkbox"/>		5.50
7.19 Special safety measures for off-line printing?	<input type="checkbox"/> <input type="checkbox"/> <input type="checkbox"/>		5.51
7.20 Regular checks of all control devices (code reader, counter, etc.)?	<input type="checkbox"/> <input type="checkbox"/> <input type="checkbox"/>		5.52
7.21 Printings clear and durable?	<input type="checkbox"/> <input type="checkbox"/> <input type="checkbox"/>		5.53
7.22 Balancing of printed packaging materials and bulk?	<input type="checkbox"/> <input type="checkbox"/> <input type="checkbox"/>		5.56
7.23 Destruction of excessive coded packaging material after completion of an order?	<input type="checkbox"/> <input type="checkbox"/> <input type="checkbox"/>		5.57
7.24 Are the finished products kept in quarantine until final release?	<input type="checkbox"/> <input type="checkbox"/> <input type="checkbox"/>		5.58
7.25 Appropriate storage after release?	<input type="checkbox"/> <input type="checkbox"/> <input type="checkbox"/>		5.60
IPC			
7.26 Checks on identity of bulk and packaging materials?	<input type="checkbox"/> <input type="checkbox"/> <input type="checkbox"/>		5.47
Regular line checks on			
7.27 • Aspect of the packages?	<input type="checkbox"/> <input type="checkbox"/> <input type="checkbox"/>		5.54a
7.28 • Completeness?	<input type="checkbox"/> <input type="checkbox"/> <input type="checkbox"/>		5.54b
7.29 • Conformity of quantity and quality of materials with packaging order?	<input type="checkbox"/> <input type="checkbox"/> <input type="checkbox"/>		5.54c
7.30 • Correct imprint?	<input type="checkbox"/> <input type="checkbox"/> <input type="checkbox"/>		5.54d
7.31 • Correct function of control devices?	<input type="checkbox"/> <input type="checkbox"/> <input type="checkbox"/>		5.54d
Are the following IPC checks performed?			
7.32 • Leaking	<input type="checkbox"/> <input type="checkbox"/> <input type="checkbox"/>		
7.33 • Release torque of screw caps	<input type="checkbox"/> <input type="checkbox"/> <input type="checkbox"/>		
7.34 • pH, density, drop weight, viscosity, and sedimentation	<input type="checkbox"/> <input type="checkbox"/> <input type="checkbox"/>		
8 DOCUMENTATION			
Specifications			
8.1 Specifications for raw/packaging materials available?	<input type="checkbox"/> <input type="checkbox"/> <input type="checkbox"/>		4.10
• Do they include			
8.2 • Internal name and code	<input type="checkbox"/> <input type="checkbox"/> <input type="checkbox"/>		4.11
8.3 • Name of supplier and/or manufacturer?	<input type="checkbox"/> <input type="checkbox"/> <input type="checkbox"/>		4.11
8.4 • Reference sample (printed packaging material)?	<input type="checkbox"/> <input type="checkbox"/> <input type="checkbox"/>		4.11

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8.5	• Sampling procedure?	<input type="checkbox"/> <input type="checkbox"/> <input type="checkbox"/>		4.11
8.6	• Qualitative/quantitative specifications with limits?	<input type="checkbox"/> <input type="checkbox"/> <input type="checkbox"/>		4.11
8.7	• Storage conditions?	<input type="checkbox"/> <input type="checkbox"/> <input type="checkbox"/>		4.11
8.8	• Maximum storage period?	<input type="checkbox"/> <input type="checkbox"/> <input type="checkbox"/>		4.11
	Goods Receiving?			
8.9	Written procedures for the reception of deliveries?	<input type="checkbox"/> <input type="checkbox"/> <input type="checkbox"/>		4.19
	Do the records of receipt include			
8.10	• Product name on labels and delivery note?	<input type="checkbox"/> <input type="checkbox"/> <input type="checkbox"/>		4.20
8.11	• Internal name and code?	<input type="checkbox"/> <input type="checkbox"/> <input type="checkbox"/>		4.20
8.12	• Receiving date?	<input type="checkbox"/> <input type="checkbox"/> <input type="checkbox"/>		4.20
8.13	• Name of supplier and/or manufacturer?	<input type="checkbox"/> <input type="checkbox"/> <input type="checkbox"/>		4.20
8.14	• Batch number of supplier?	<input type="checkbox"/> <input type="checkbox"/> <input type="checkbox"/>		4.20
8.15	• Total quantity and number of containers?	<input type="checkbox"/> <input type="checkbox"/> <input type="checkbox"/>		4.20
8.16	• Allocated internal batch number?	<input type="checkbox"/> <input type="checkbox"/> <input type="checkbox"/>		4.20
8.17	SOPs for labeling, quarantine, and storage conditions of all incoming goods available?	<input type="checkbox"/> <input type="checkbox"/> <input type="checkbox"/>		4.21
	SOPs include			
8.18	• Authorized sampling personnel?	<input type="checkbox"/> <input type="checkbox"/> <input type="checkbox"/>		4.22
8.19	• Methods, equipment, and quantities?	<input type="checkbox"/> <input type="checkbox"/> <input type="checkbox"/>		4.22
8.2	• Safety measures?	<input type="checkbox"/> <input type="checkbox"/> <input type="checkbox"/>		4.22
	Master Formulae			
8.21	Are master formulae for each product and batch size available?	<input type="checkbox"/> <input type="checkbox"/> <input type="checkbox"/>		4.3
8.22	Is the master formula approved and signed by the authorized persons?	<input type="checkbox"/> <input type="checkbox"/> <input type="checkbox"/>		4.3
	The master formula includes			
8.23	• Product name and code?	<input type="checkbox"/> <input type="checkbox"/> <input type="checkbox"/>		4.14a
8.24	• Description of galenical form, dosage, and batch size?	<input type="checkbox"/> <input type="checkbox"/> <input type="checkbox"/>		4.14b
8.25	• All active ingredients with name, code, and weight?	<input type="checkbox"/> <input type="checkbox"/> <input type="checkbox"/>		4.14c
8.26	• All excipients used during manufacture with name, code, and weight?	<input type="checkbox"/> <input type="checkbox"/> <input type="checkbox"/>		4.14c
8.27	• Yields with limits?	<input type="checkbox"/> <input type="checkbox"/> <input type="checkbox"/>		4.14d
	Does the working procedure include			
8.28	• The production line?	<input type="checkbox"/> <input type="checkbox"/> <input type="checkbox"/>		4.15a
8.29	• Equipment to be used?	<input type="checkbox"/> <input type="checkbox"/> <input type="checkbox"/>		4.15a
8.30	• Reference to methods for cleaning, assembling, and calibration of machines?	<input type="checkbox"/> <input type="checkbox"/> <input type="checkbox"/>		4.15b
8.31	• Detailed stepwise manufacturing prescription?	<input type="checkbox"/> <input type="checkbox"/> <input type="checkbox"/>		4.15c
8.32	• IPCs to be performed with limits?	<input type="checkbox"/> <input type="checkbox"/> <input type="checkbox"/>		4.15d
8.33	• Precautions to be followed?	<input type="checkbox"/> <input type="checkbox"/> <input type="checkbox"/>		4.15e
8.34	Are batch records kept for each batch processed?	<input type="checkbox"/> <input type="checkbox"/> <input type="checkbox"/>		4.17
	Do batch records include			
8.35	• Protocol of line clearance?	<input type="checkbox"/> <input type="checkbox"/> <input type="checkbox"/>		4.17
8.36	• Name of the product and batch no.?	<input type="checkbox"/> <input type="checkbox"/> <input type="checkbox"/>		4.17a
8.37	• Date and time of start and end of production?	<input type="checkbox"/> <input type="checkbox"/> <input type="checkbox"/>		4.17b
8.38	• Name and initials of responsible workers for each step?	<input type="checkbox"/> <input type="checkbox"/> <input type="checkbox"/>		4.17c, d
8.39	• Batch and analytical no. and actual weight of all starting materials?	<input type="checkbox"/> <input type="checkbox"/> <input type="checkbox"/>		4.17e
8.40	• Equipment used?	<input type="checkbox"/> <input type="checkbox"/> <input type="checkbox"/>		4.17f
8.41	• Results of IPCs with initials of person who carries them out?	<input type="checkbox"/> <input type="checkbox"/> <input type="checkbox"/>		4.17g
8.42	• Yields of the relevant manufacturing steps?	<input type="checkbox"/> <input type="checkbox"/> <input type="checkbox"/>		4.17h
8.43	• Detailed notes on problems and process deviations?	<input type="checkbox"/> <input type="checkbox"/> <input type="checkbox"/>		4.17i
8.44	Records on reprocessing of batches?	<input type="checkbox"/> <input type="checkbox"/> <input type="checkbox"/>		
	Packaging Instructions			
8.45	Packaging instructions for each product, package size, and presentation?	<input type="checkbox"/> <input type="checkbox"/> <input type="checkbox"/>		4.16
	Do they include			

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8.46	• Product name?	<input type="checkbox"/> <input type="checkbox"/> <input type="checkbox"/>		4.16a
8.47	• Description of galenic form and strength?	<input type="checkbox"/> <input type="checkbox"/> <input type="checkbox"/>		4.16b
8.48	• Package size?	<input type="checkbox"/> <input type="checkbox"/> <input type="checkbox"/>		4.17c
8.49	• List of all packaging materials with code for a standard batch size?	<input type="checkbox"/> <input type="checkbox"/> <input type="checkbox"/>		4.17d
8.50	• Samples of printed packaging materials?	<input type="checkbox"/> <input type="checkbox"/> <input type="checkbox"/>		4.17e
8.51	• Special precautions?	<input type="checkbox"/> <input type="checkbox"/> <input type="checkbox"/>		4.17f
8.52	• Description of the process and equipment?	<input type="checkbox"/> <input type="checkbox"/> <input type="checkbox"/>		4.17g
8.53	• IPCs to be performed with sampling instruction?	<input type="checkbox"/> <input type="checkbox"/> <input type="checkbox"/>		4.17h
8.54	Are packaging batch records kept for each batch or part batch?	<input type="checkbox"/> <input type="checkbox"/> <input type="checkbox"/>		4.18
	Do the packaging batch records include			
8.55	• Protocol of line clearance?	<input type="checkbox"/> <input type="checkbox"/> <input type="checkbox"/>		4.18
8.56	• Name of the product?	<input type="checkbox"/> <input type="checkbox"/> <input type="checkbox"/>		4.18a
8.57	• Date and time when operations have been performed?	<input type="checkbox"/> <input type="checkbox"/> <input type="checkbox"/>		4.18b
8.58	• Name of the responsible person?	<input type="checkbox"/> <input type="checkbox"/> <input type="checkbox"/>		4.18c
8.59	• Initials of workers carrying out operations?	<input type="checkbox"/> <input type="checkbox"/> <input type="checkbox"/>		4.18d
8.60	• Notes on identity checks and conformity with packaging instructions?	<input type="checkbox"/> <input type="checkbox"/> <input type="checkbox"/>		4.18e
8.61	• Results of IPCs?	<input type="checkbox"/> <input type="checkbox"/> <input type="checkbox"/>		4.18e
8.62	• Details of operations and equipment used?	<input type="checkbox"/> <input type="checkbox"/> <input type="checkbox"/>		4.18f
8.63	• Samples of printed packaging materials with codes (MFD, EXP, batch no., etc.)?	<input type="checkbox"/> <input type="checkbox"/> <input type="checkbox"/>		4.18g
8.64	• Record of problems and process deviations?	<input type="checkbox"/> <input type="checkbox"/> <input type="checkbox"/>		4.18h
8.65	• Quantities of packaging materials delivered, used, destroyed, or returned?	<input type="checkbox"/> <input type="checkbox"/> <input type="checkbox"/>		4.18i
8.66	• Number of packs consumed?	<input type="checkbox"/> <input type="checkbox"/> <input type="checkbox"/>		4.18j
	Testing			
	Do the written testing procedures include			
8.67	• Test methods?	<input type="checkbox"/> <input type="checkbox"/> <input type="checkbox"/>		4.23
8.68	• Equipment for testing?	<input type="checkbox"/> <input type="checkbox"/> <input type="checkbox"/>		4.23
8.69	Tests documented?	<input type="checkbox"/> <input type="checkbox"/> <input type="checkbox"/>		4.23
	Others			
8.70	Procedures for release and rejection of materials and finished products?	<input type="checkbox"/> <input type="checkbox"/> <input type="checkbox"/>		4.24
8.71	Final release by authorized person?	<input type="checkbox"/> <input type="checkbox"/> <input type="checkbox"/>		4.24
8.72	Records about distribution of each batch?	<input type="checkbox"/> <input type="checkbox"/> <input type="checkbox"/>		4.25
	Procedures and protocols about			
8.73	• Validation?	<input type="checkbox"/> <input type="checkbox"/> <input type="checkbox"/>		4.26
8.74	• Set-up and calibration of equipment?	<input type="checkbox"/> <input type="checkbox"/> <input type="checkbox"/>		4.26
8.75	• Maintenance, cleaning, and disinfection?	<input type="checkbox"/> <input type="checkbox"/> <input type="checkbox"/>		4.26
8.76	• Training records?	<input type="checkbox"/> <input type="checkbox"/> <input type="checkbox"/>		4.26
8.77	• Environmental monitoring of production areas?	<input type="checkbox"/> <input type="checkbox"/> <input type="checkbox"/>		4.26
8.78	• Pest control?	<input type="checkbox"/> <input type="checkbox"/> <input type="checkbox"/>		4.26
8.79	• Complaints?	<input type="checkbox"/> <input type="checkbox"/> <input type="checkbox"/>		4.26
8.80	• Recalls?	<input type="checkbox"/> <input type="checkbox"/> <input type="checkbox"/>		4.26
8.81	• Returned goods?	<input type="checkbox"/> <input type="checkbox"/> <input type="checkbox"/>		4.26
8.82	Instructions for use of manufacturing and testing equipment?	<input type="checkbox"/> <input type="checkbox"/> <input type="checkbox"/>		4.27
	Log books for major equipment including date and name of persons who performed			
8.83	• Validation?	<input type="checkbox"/> <input type="checkbox"/> <input type="checkbox"/>		4.28
8.84	• Calibration?	<input type="checkbox"/> <input type="checkbox"/> <input type="checkbox"/>		4.28
8.85	• Maintenance, cleaning, and repair works?	<input type="checkbox"/> <input type="checkbox"/> <input type="checkbox"/>		4.28
8.86	Chronological records of use of major equipment and manufacturing areas?	<input type="checkbox"/> <input type="checkbox"/> <input type="checkbox"/>		4.29
9	QUALITY CONTROL			6
	General Requirements			
9.1	Independent QC department available?	<input type="checkbox"/> <input type="checkbox"/> <input type="checkbox"/>		6.1

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9.2	Head of QC well qualified and sufficiently experienced?	<input type="checkbox"/> <input type="checkbox"/> <input type="checkbox"/>		6.1
9.3	Qualified personnel available?	<input type="checkbox"/> <input type="checkbox"/> <input type="checkbox"/>		2.1
9.4	Organization charts available?	<input type="checkbox"/> <input type="checkbox"/> <input type="checkbox"/>		2.2
9.5	Job descriptions available?	<input type="checkbox"/> <input type="checkbox"/> <input type="checkbox"/>		2.2
9.6	Responsibilities clearly defined?	<input type="checkbox"/> <input type="checkbox"/> <input type="checkbox"/>		2.2
9.7	Continuous training programs for QC staff?	<input type="checkbox"/> <input type="checkbox"/> <input type="checkbox"/>		2.2
9.8	Initial job training for all employees?	<input type="checkbox"/> <input type="checkbox"/> <input type="checkbox"/>		2.9
9.9	Training records?	<input type="checkbox"/> <input type="checkbox"/> <input type="checkbox"/>		
9.10	QC personnel admitted to the production rooms for sampling, etc.?	<input type="checkbox"/> <input type="checkbox"/> <input type="checkbox"/>		
	QC Laboratories			
9.11	Suitable for the intended use?	<input type="checkbox"/> <input type="checkbox"/> <input type="checkbox"/>		3.26
9.12	Laboratories of adequate size?	<input type="checkbox"/> <input type="checkbox"/> <input type="checkbox"/>		3.26
9.13	Appropriate level of maintenance?	<input type="checkbox"/> <input type="checkbox"/> <input type="checkbox"/>		3.1
9.14	Adequate separation from the production area?	<input type="checkbox"/> <input type="checkbox"/> <input type="checkbox"/>		3.26
9.15	Controlled access of authorized personnel only?	<input type="checkbox"/> <input type="checkbox"/> <input type="checkbox"/>		3.5
9.16	Special laboratory to handle biological samples available?	<input type="checkbox"/> <input type="checkbox"/> <input type="checkbox"/>		3.29
9.17	Special laboratory to handle radioactive material available?	<input type="checkbox"/> <input type="checkbox"/> <input type="checkbox"/>		3.29
9.18	Separate recreation rooms for the personnel available?	<input type="checkbox"/> <input type="checkbox"/> <input type="checkbox"/>		3.30
9.19	Animal laboratories present?	<input type="checkbox"/> <input type="checkbox"/> <input type="checkbox"/>		3.33
9.20	Animal laboratories separated from other areas?	<input type="checkbox"/> <input type="checkbox"/> <input type="checkbox"/>		3.33
9.21	Animal laboratories equipped with a separate air-handling system?	<input type="checkbox"/> <input type="checkbox"/> <input type="checkbox"/>		3.33
	QC Documentation			
9.22	Do procedures exist for			
	• Self inspection?	<input type="checkbox"/> <input type="checkbox"/> <input type="checkbox"/>		
	• Release or rejection of products or raw material?	<input type="checkbox"/> <input type="checkbox"/> <input type="checkbox"/>		
	• Product complaints?	<input type="checkbox"/> <input type="checkbox"/> <input type="checkbox"/>		
	• Product recalls?	<input type="checkbox"/> <input type="checkbox"/> <input type="checkbox"/>		
	• Local stability testing?	<input type="checkbox"/> <input type="checkbox"/> <input type="checkbox"/>		
	• Storage of reference samples?	<input type="checkbox"/> <input type="checkbox"/> <input type="checkbox"/>		
	• Validation of analytical procedures?	<input type="checkbox"/> <input type="checkbox"/> <input type="checkbox"/>		
9.23	Specifications available for	<input type="checkbox"/> <input type="checkbox"/> <input type="checkbox"/>		6.7
	• Raw materials?	<input type="checkbox"/> <input type="checkbox"/> <input type="checkbox"/>		
	• Bulk products?	<input type="checkbox"/> <input type="checkbox"/> <input type="checkbox"/>		
	• Packaging materials?	<input type="checkbox"/> <input type="checkbox"/> <input type="checkbox"/>		
9.24	Analytical procedures for every product?	<input type="checkbox"/> <input type="checkbox"/> <input type="checkbox"/>		
9.25	Are Basel methods followed?	<input type="checkbox"/> <input type="checkbox"/> <input type="checkbox"/>		
9.26	Validation of locally developed test methods?	<input type="checkbox"/> <input type="checkbox"/> <input type="checkbox"/>		
9.27	Sampling procedures available for			6.7
	• Raw materials?	<input type="checkbox"/> <input type="checkbox"/> <input type="checkbox"/>		
	• Bulk products?	<input type="checkbox"/> <input type="checkbox"/> <input type="checkbox"/>		
	• Packaging materials?	<input type="checkbox"/> <input type="checkbox"/> <input type="checkbox"/>		
9.28	Suppliers' certificates available?	<input type="checkbox"/> <input type="checkbox"/> <input type="checkbox"/>		6.7
9.29	Calibration program for analytical instruments installed?	<input type="checkbox"/> <input type="checkbox"/> <input type="checkbox"/>		6.7
9.30	Maintenance program for analytical instruments?	<input type="checkbox"/> <input type="checkbox"/> <input type="checkbox"/>		6.7
9.31	Retention system for QC records?	<input type="checkbox"/> <input type="checkbox"/> <input type="checkbox"/>		6.8
9.32	Batch documents stored for expiry + 1 year or 5 years (EEC 75/319, article 22) minimum?	<input type="checkbox"/> <input type="checkbox"/> <input type="checkbox"/>		6.8
9.33	Are original data such as notebooks stored in addition to the batch documents?	<input type="checkbox"/> <input type="checkbox"/> <input type="checkbox"/>		6.10
9.34	Can the original data be traced back easily and quickly from the analytical report number or batch number?	<input type="checkbox"/> <input type="checkbox"/> <input type="checkbox"/>		6.10
9.35	Are trend analyses being performed for			6.9
	• Analytical results?	<input type="checkbox"/> <input type="checkbox"/> <input type="checkbox"/>		
	• Yields?	<input type="checkbox"/> <input type="checkbox"/> <input type="checkbox"/>		
	• Environmental monitoring data?	<input type="checkbox"/> <input type="checkbox"/> <input type="checkbox"/>		

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	Sampling			
9.36	Written procedures for taking samples?	<input type="checkbox"/> <input type="checkbox"/> <input type="checkbox"/>		6.11
9.37	Do procedures define			
	• Method of sampling?	<input type="checkbox"/> <input type="checkbox"/> <input type="checkbox"/>		
	• Necessary equipment?	<input type="checkbox"/> <input type="checkbox"/> <input type="checkbox"/>		
	• Quantity of the sample?	<input type="checkbox"/> <input type="checkbox"/> <input type="checkbox"/>		
	• Subdivision of the sample?	<input type="checkbox"/> <input type="checkbox"/> <input type="checkbox"/>		
	• Sample container?	<input type="checkbox"/> <input type="checkbox"/> <input type="checkbox"/>		
	• Labeling of samples?	<input type="checkbox"/> <input type="checkbox"/> <input type="checkbox"/>		
	• Storage conditions?	<input type="checkbox"/> <input type="checkbox"/> <input type="checkbox"/>		
	• Cleaning and storage of sampling equipment?	<input type="checkbox"/> <input type="checkbox"/> <input type="checkbox"/>		
	• Identification of containers sampled?	<input type="checkbox"/> <input type="checkbox"/> <input type="checkbox"/>		
9.38	Are samples representative of the batch they are taken from (sampling plan)?	<input type="checkbox"/> <input type="checkbox"/> <input type="checkbox"/>		6.12
9.39	Are critical steps being surveilled and validated by additional sampling (e.g., at the beginning or end of a process)?	<input type="checkbox"/> <input type="checkbox"/> <input type="checkbox"/>		6.12
9.40	Sample containers labeled with			6.13
	• Name of the content?	<input type="checkbox"/> <input type="checkbox"/> <input type="checkbox"/>		
	• Batch number?	<input type="checkbox"/> <input type="checkbox"/> <input type="checkbox"/>		
	• Date of sampling?	<input type="checkbox"/> <input type="checkbox"/> <input type="checkbox"/>		
	• Batch containers sampled	<input type="checkbox"/> <input type="checkbox"/> <input type="checkbox"/>		
9.41	Are samples taken by QC/QA?	<input type="checkbox"/> <input type="checkbox"/> <input type="checkbox"/>		
9.42	Reference samples retained for validity +1 year?	<input type="checkbox"/> <input type="checkbox"/> <input type="checkbox"/>		6.14
9.43	Storage of reference samples under the recommended storage conditions?	<input type="checkbox"/> <input type="checkbox"/> <input type="checkbox"/>		6.14
9.44	Finished products stored in the final packaging?	<input type="checkbox"/> <input type="checkbox"/> <input type="checkbox"/>		6.14
9.45	Quantity of the reference sample makes one (better two) complete reanalysis possible?	<input type="checkbox"/> <input type="checkbox"/> <input type="checkbox"/>		6.14
9.46	Sample room secure?	Y N		6.14
9.47	Sample room neatly organized and not overcrowded?	Y N		6.14
	Testing			
9.48	Are the applied analytical methods validated?	<input type="checkbox"/> <input type="checkbox"/> <input type="checkbox"/>		6.15
9.49	Analytical methods in compliance with the registration?	<input type="checkbox"/> <input type="checkbox"/> <input type="checkbox"/>		6.16
9.50	Are all results recorded and checked for correctness?	<input type="checkbox"/> <input type="checkbox"/> <input type="checkbox"/>		6.16
9.51	Are all calculations checked?	<input type="checkbox"/> <input type="checkbox"/> <input type="checkbox"/>		6.16
9.52	Do the testing protocols contain			6.17
	• Name and galenical form of material?	<input type="checkbox"/> <input type="checkbox"/> <input type="checkbox"/>		
	• Batch number?	<input type="checkbox"/> <input type="checkbox"/> <input type="checkbox"/>		
	• Supplier if applicable?	<input type="checkbox"/> <input type="checkbox"/> <input type="checkbox"/>		
	• Specification reference?	<input type="checkbox"/> <input type="checkbox"/> <input type="checkbox"/>		
	• Method reference?	<input type="checkbox"/> <input type="checkbox"/> <input type="checkbox"/>		
	• Analytical results?	<input type="checkbox"/> <input type="checkbox"/> <input type="checkbox"/>		
	• Reference to analytical certificates?	<input type="checkbox"/> <input type="checkbox"/> <input type="checkbox"/>		
	• Date of the analysis?	<input type="checkbox"/> <input type="checkbox"/> <input type="checkbox"/>		
	• Name of the analyst?	<input type="checkbox"/> <input type="checkbox"/> <input type="checkbox"/>		
	• Name of the person verifying the data?	<input type="checkbox"/> <input type="checkbox"/> <input type="checkbox"/>		
	• Statement of release or rejection?	<input type="checkbox"/> <input type="checkbox"/> <input type="checkbox"/>		
	• Date and signature of the release person?	<input type="checkbox"/> <input type="checkbox"/> <input type="checkbox"/>		
9.53	Are all IPC methods in production approved by QC?	<input type="checkbox"/> <input type="checkbox"/> <input type="checkbox"/>		6.18
9.54	Are written methods available for the preparation of reagents and volumetric solutions?	<input type="checkbox"/> <input type="checkbox"/> <input type="checkbox"/>		6.19
9.55	Is a record maintained of standardization of volumetric solutions?	<input type="checkbox"/> <input type="checkbox"/> <input type="checkbox"/>		6.20
9.56	Are reagents for prolonged use labeled with			6.20
	• Date of the preparation?	<input type="checkbox"/> <input type="checkbox"/> <input type="checkbox"/>		
	• Signature of the preparator?	<input type="checkbox"/> <input type="checkbox"/> <input type="checkbox"/>		

		Compliance 1 2 3 ^a	Remarks	EU-Guide
9.57	Are unstable reagents labeled with			6.20
	• Expiry date?	<input type="checkbox"/> <input type="checkbox"/> <input type="checkbox"/>		
	• Storage conditions?	<input type="checkbox"/> <input type="checkbox"/> <input type="checkbox"/>		
9.58	Are volumetric solutions labeled with			6.20
	• The last date of standardization?	<input type="checkbox"/> <input type="checkbox"/> <input type="checkbox"/>		
	• Last current factor?	<input type="checkbox"/> <input type="checkbox"/> <input type="checkbox"/>		
9.59	Are reference standards labeled with			6.21
	• Name and potency?	<input type="checkbox"/> <input type="checkbox"/> <input type="checkbox"/>		
	• Suppliers reference?	<input type="checkbox"/> <input type="checkbox"/> <input type="checkbox"/>		
	• Date of receipt?	<input type="checkbox"/> <input type="checkbox"/> <input type="checkbox"/>		
	• Date of expiry?	<input type="checkbox"/> <input type="checkbox"/> <input type="checkbox"/>		
9.60	Are reference standards stored properly and under the control of a designated person?	<input type="checkbox"/> <input type="checkbox"/> <input type="checkbox"/>		
9.61	Are animals used for testing of components, materials, or products			
	• Quarantined before use?	<input type="checkbox"/> <input type="checkbox"/> <input type="checkbox"/>		
	• Checked for suitability?	<input type="checkbox"/> <input type="checkbox"/> <input type="checkbox"/>		
	• Are records maintained showing the history of their use?	<input type="checkbox"/> <input type="checkbox"/> <input type="checkbox"/>		
10	COMPLAINTS AND PRODUCT RECALLS			8
	Complaints			
10.1	Does a written complaint procedure exist?	<input type="checkbox"/> <input type="checkbox"/> <input type="checkbox"/>		8.1
10.2	Are product complaints carefully reviewed?	<input type="checkbox"/> <input type="checkbox"/> <input type="checkbox"/>		8.2
10.3	Is a person designated to handle complaints and to decide on measures to be taken?	<input type="checkbox"/> <input type="checkbox"/> <input type="checkbox"/>		8.1
10.4	Is each complaint concerning a product recorded with all original details?	<input type="checkbox"/> <input type="checkbox"/> <input type="checkbox"/>		8.3
10.5	Are product complaints thoroughly investigated?	<input type="checkbox"/> <input type="checkbox"/> <input type="checkbox"/>		8.3
10.6	Is a responsible QC person involved in the study?	<input type="checkbox"/> <input type="checkbox"/> <input type="checkbox"/>		8.3
10.7	Is it considered that other batches might be concerned as well?	<input type="checkbox"/> <input type="checkbox"/> <input type="checkbox"/>		8.4
10.8	Are decisions and measures as a result recorded?	<input type="checkbox"/> <input type="checkbox"/> <input type="checkbox"/>		8.5
10.9	Is this record added to the corresponding batch documents?	<input type="checkbox"/> <input type="checkbox"/> <input type="checkbox"/>		8.5
10.10	Are the complaint records regularly revised with respect to specific or recurring problems?	<input type="checkbox"/> <input type="checkbox"/> <input type="checkbox"/>		8.6
10.11	Are the authorities informed of serious quality problems with a product?	<input type="checkbox"/> <input type="checkbox"/> <input type="checkbox"/>		8.7
	Recalls			8.8
10.12	Does a written recall procedure exist?	<input type="checkbox"/> <input type="checkbox"/> <input type="checkbox"/>		8.9
10.13	Is a person nominated responsible for the execution and coordination of a recall?	<input type="checkbox"/> <input type="checkbox"/> <input type="checkbox"/>		8.8
10.14	Is the responsible person independent of the marketing and sales organization?	<input type="checkbox"/> <input type="checkbox"/> <input type="checkbox"/>		8.8
10.15	Are the competent authorities informed of an imminent recall?	<input type="checkbox"/> <input type="checkbox"/> <input type="checkbox"/>		8.11
10.16	Does the person responsible for a recall have access to the distribution records?	<input type="checkbox"/> <input type="checkbox"/> <input type="checkbox"/>		8.12
10.17	Do the distribution records contain sufficient information on customers with			8.12
	• Addresses?	<input type="checkbox"/> <input type="checkbox"/> <input type="checkbox"/>		
	• Phone numbers inside or outside working hours?	<input type="checkbox"/> <input type="checkbox"/> <input type="checkbox"/>		
	• Batches and amounts delivered?	<input type="checkbox"/> <input type="checkbox"/> <input type="checkbox"/>		
	• Medical samples?	<input type="checkbox"/> <input type="checkbox"/> <input type="checkbox"/>		
10.18	Are recalled products stored separately in a secure area?	<input type="checkbox"/> <input type="checkbox"/> <input type="checkbox"/>		8.13
10.19	Is a final record made, including a reconciliation between the delivered and recovered quantities?	<input type="checkbox"/> <input type="checkbox"/> <input type="checkbox"/>		8.14
10.20	Is the effectiveness of the arrangements for recalls checked critically from time to time?	<input type="checkbox"/> <input type="checkbox"/> <input type="checkbox"/>		8.15

		Compliance 1 2 3 ^a	Remarks	EU-Guide
11	SELF-INSPECTION			9
11.1	Does a self-inspection procedure exist that defines frequency and program?	<input type="checkbox"/> <input type="checkbox"/> <input type="checkbox"/>		9.1
11.2	Are self-inspections carried out to check compliance with GMP rules?	<input type="checkbox"/> <input type="checkbox"/> <input type="checkbox"/>		9.1
11.3	Are self-inspections conducted in an independent and detailed way?	<input type="checkbox"/> <input type="checkbox"/> <input type="checkbox"/>		9.2
	by designated competent persons from the company or external experts?	<input type="checkbox"/> <input type="checkbox"/> <input type="checkbox"/>		
11.4	Are self-inspections recorded?	<input type="checkbox"/> <input type="checkbox"/> <input type="checkbox"/>		9.3
11.5	Do reports contain	<input type="checkbox"/> <input type="checkbox"/> <input type="checkbox"/>		9.3
	• The observations made during a self-inspection?			
	• Proposals for corrective measures?	<input type="checkbox"/> <input type="checkbox"/> <input type="checkbox"/>		
11.6	Are actions subsequently taken recorded?	<input type="checkbox"/> <input type="checkbox"/> <input type="checkbox"/>		9.3
12	CONTRACT MANUFACTURE AND ANALYSIS			7
12.1	Is a written contract between contract giver and contract acceptor available?	<input type="checkbox"/> <input type="checkbox"/> <input type="checkbox"/>		7.1
12.2	Are responsibilities and duties clearly defined?	<input type="checkbox"/> <input type="checkbox"/> <input type="checkbox"/>		7
12.3	Are all arrangements in accordance with the marketing authorization of the product concerned?	<input type="checkbox"/> <input type="checkbox"/> <input type="checkbox"/>		7.2
	The Contract Giver			
12.4	Competence of the acceptor to carry out the work successfully and according to GMP assessed?	<input type="checkbox"/> <input type="checkbox"/> <input type="checkbox"/>		7.3
12.5	Acceptor provided with all the information necessary to carry out the contract work?	<input type="checkbox"/> <input type="checkbox"/> <input type="checkbox"/>		7.4
12.6	Acceptor informed of safety aspects?	<input type="checkbox"/> <input type="checkbox"/> <input type="checkbox"/>		7.4
12.7	Conformance of products supplied by the acceptor ensured?	<input type="checkbox"/> <input type="checkbox"/> <input type="checkbox"/>		7.5
12.8	Product released by a qualified person on the acceptor's side?	<input type="checkbox"/> <input type="checkbox"/> <input type="checkbox"/>		7.5
	The Contract Acceptor			
12.9	Does the acceptor have	<input type="checkbox"/> <input type="checkbox"/> <input type="checkbox"/>		7.6
	• Adequate premises and equipment?			
	• Knowledge and experience?	<input type="checkbox"/> <input type="checkbox"/> <input type="checkbox"/>		
	• Competent personnel?	<input type="checkbox"/> <input type="checkbox"/> <input type="checkbox"/>		
	• A manufacturing authorization?	<input type="checkbox"/> <input type="checkbox"/> <input type="checkbox"/>		
12.10	Does the acceptor ensure that all products or materials delivered to him or her are suitable?	<input type="checkbox"/> <input type="checkbox"/> <input type="checkbox"/>		7.7
12.11	There must be no work passed to a third party without the permission of the giver.	<input type="checkbox"/> <input type="checkbox"/> <input type="checkbox"/>		7.8
12.12	If a third party is involved, it must have the necessary manufacturing and analytical information.	<input type="checkbox"/> <input type="checkbox"/> <input type="checkbox"/>		7.8
	The Contract			
12.13	Does the written contract specify the responsibilities?	<input type="checkbox"/> <input type="checkbox"/> <input type="checkbox"/>		7.10
12.14	Have technical aspects been drawn up by competent persons?	<input type="checkbox"/> <input type="checkbox"/> <input type="checkbox"/>		7.10
12.15	Release of material and check for compliance with the marketing authorization defined?	<input type="checkbox"/> <input type="checkbox"/> <input type="checkbox"/>		7.11
12.16	Is it defined who is responsible for			7.12
	• Purchasing of materials?	<input type="checkbox"/> <input type="checkbox"/> <input type="checkbox"/>		
	• IPC controls?	<input type="checkbox"/> <input type="checkbox"/> <input type="checkbox"/>		
	• Testing and release of materials?	<input type="checkbox"/> <input type="checkbox"/> <input type="checkbox"/>		
	• Manufacturing and quality control?	<input type="checkbox"/> <input type="checkbox"/> <input type="checkbox"/>		
	• Sampling?	<input type="checkbox"/> <input type="checkbox"/> <input type="checkbox"/>		
	• Storage of batch documentation?	<input type="checkbox"/> <input type="checkbox"/> <input type="checkbox"/>		
12.17	Are manufacturing, analytical, and distribution records available to the contract giver?	<input type="checkbox"/> <input type="checkbox"/> <input type="checkbox"/>		7.13
12.18	Does the contract permit the giver to visit the facilities of the acceptor?	<input type="checkbox"/> <input type="checkbox"/> <input type="checkbox"/>		7.14
12.19	In the case of contract analysis: Does the contract acceptor understand that he or she is subject to inspection by the competent authorities?	<input type="checkbox"/> <input type="checkbox"/> <input type="checkbox"/>		7.15

		Compliance 1 2 3 ^a	Remarks	EU-Guide 2.7
13	AUDIT OF SUPPLIERS			
13.1	Supplier audits performed for <ul style="list-style-type: none"> • Excipients? • Active substances? • Packaging material? 	<input type="checkbox"/> <input type="checkbox"/> <input type="checkbox"/> <input type="checkbox"/> <input type="checkbox"/> <input type="checkbox"/> <input type="checkbox"/> <input type="checkbox"/> <input type="checkbox"/>		

^a1. Fulfilled or available; 2. partially fulfilled; 3. not fulfilled or not available.

GLOSSARY

Acceptance Criteria: Numerical limits, ranges, or other suitable measures for acceptance of test results.

Active Pharmaceutical Ingredient (API) (or Drug Substance): Any substance or mixture of substances intended to be used in the manufacture of a drug (medicinal) product and that, when used in the production of a drug, becomes an active ingredient of the drug product. Such substances are intended to furnish pharmacological activity or other direct effect in the diagnosis, cure, mitigation, treatment, or prevention of disease or to affect the structure and function of the body.

Air Lock: An enclosed space with two or more doors, which is interposed between two or more rooms, for example, of differing classes of cleanliness, for the purpose of controlling the airflow between those rooms when they need to be entered. An air lock is designed for use either by people or for goods and/or equipment.

API Starting Material: A raw material, intermediate, or API that is used in the production of an API and that is incorporated as a significant structural fragment into the structure of the API. An API Starting Material can be an article of commerce, a material purchased from one or more suppliers under contract or commercial agreement, or produced in-house. API Starting Materials are normally of defined chemical properties and structure.

Authorized Person: The person recognized by the national regulatory authority as having the responsibility for ensuring that each batch of finished product has been manufactured, tested, and approved for release in compliance with the laws and regulations in force in that country.

Batch (or Lot): A specific quantity of material produced in a process or series of processes so that it is expected to be homogeneous within specified limits. In the case of continuous production, a batch may correspond to a defined fraction of the production. The batch size can be defined either by a fixed quantity or by the amount produced in a fixed time interval.

Batch Number (or Lot Number): A unique combination of numbers, letters, and/or symbols that identifies a

batch (or lot) and from which the production and distribution history can be determined.

Batch Records: All documents associated with the manufacture of a batch of bulk product or finished product. They provide a history of each batch of product and of all circumstances pertinent to the quality of the final product.

Bioburden: The level and type (e.g., objectionable or not) of microorganisms that can be present in raw materials, API starting materials, intermediates, or APIs. Bioburden should not be considered contamination unless the levels have been exceeded or defined objectionable organisms have been detected.

Bulk Product: Any product that has completed all processing stages up to, but not including, final packaging.

Calibration: The demonstration that a particular instrument or device produces results within specified limits by comparison with those produced by a reference or traceable standard over an appropriate range of measurements. The set of operations that establish, under specified conditions, the relationship between values indicated by an instrument or system for measuring (especially weighing), recording, and controlling, or the values represented by a material measure, and the corresponding known values of a reference standard. Limits for acceptance of the results of measuring should be established.

Clean Area: An area with defined environmental control of particulate and microbial contamination, constructed, and used in such a way as to reduce the introduction, generation, and retention of contaminants within the area.

Computer System: A group of hardware components and associated software, designed and assembled to perform a specific function or group of functions. A process or operation integrated with a computer system.

Consignment (or Delivery): The quantity of a pharmaceutical(s) made by one manufacturer and supplied at one time in response to a particular request or order. A consignment may comprise one or more packages or containers and may include material belonging to more than one batch.

Contamination: The undesired introduction of impurities of a chemical or microbiological nature, or of foreign matter, into or on to a starting material or

intermediate during production, sampling, packaging or repackaging, and storage or transport.

Contract Manufacturer: A manufacturer performing some aspect of manufacturing on behalf of the original manufacturer.

Critical: Describes a process step, process condition, test requirement, or other relevant parameter or item that must be controlled within predetermined criteria to ensure that the API meets its specification.

Critical Operation: An operation in the manufacturing process that may cause variation in the quality of the pharmaceutical product.

Cross-Contamination: Contamination of a material or product with another material or product. Contamination of a starting material, intermediate product, or finished product with another starting material or product during production.

Deviation: Departure from an approved instruction or established standard.

Drug (Medicinal) Product: The dosage form in the final immediate packaging intended for marketing. (See ICH Guidance Q1A.)

Drug Substance: See Active Pharmaceutical Ingredient.

Expiry Date (or Expiration Date): The date placed on the container/labels of an API designating the time during which the API is expected to remain within established shelf-life specifications if stored under defined conditions, and after which it should not be used.

Finished Product: A finished dosage form that has undergone all stages of manufacture, including packaging in its final container and labeling.

Impurity: Any component present in the intermediate or API that is not the desired entity.

Impurity Profile: A description of the identified and unidentified impurities present in an API.

In-Process Control: Checks performed during production in order to monitor and, if necessary, to adjust the process to ensure that the product conforms to its specifications. The control of the environment or equipment may also be regarded as a part of in-process control.

Intermediate: A material produced during steps of the processing of an API that undergoes further molecular change or purification before it becomes an API. Intermediates may or may not be isolated. Partly processed product that must undergo further manufacturing steps before it becomes a bulk product.

Large-Volume Parenterals: Sterile solutions intended for parenteral application with a volume of 100 mL or more in one container of the finished dosage form.

Lot: See Batch.

Lot Number: See Batch Number.

Manufacture: All operations of receipt of materials, production, packaging, repackaging, labeling, relabeling, quality control, release, storage, and distribution of APIs and related controls.

Manufacturer: A company that carries out operations such as production, packaging, repackaging, labeling, and relabeling of pharmaceuticals.

Marketing Authorization (Product License, Registration Certificate): A legal document issued by the competent drug regulatory authority that establishes the detailed composition and formulation of the product and the pharmacopoeial or other recognized specifications of its ingredients and of the final product itself, and includes details of packaging, labeling, and shelf life.

Master Formula: A document or set of documents specifying the starting materials with their quantities and the packaging materials, together with a description of the procedures and precautions required to produce a specified quantity of a finished product as well as the processing instructions, including the in-process controls.

Master Record: A document or set of documents that serve as a basis for the batch documentation (blank batch record).

Material: A general term used to denote raw materials (starting materials, reagents, and solvents), process aids, intermediates, APIs, and packaging and labeling materials.

Mother Liquor: The residual liquid that remains after the crystallization or isolation processes. A mother liquor may contain unreacted materials, intermediates, levels of the API, and/or impurities. It may be used for further processing.

Packaging: All operations, including filling and labeling, that a bulk product has to undergo in order to become a finished product. Filling of a sterile product under aseptic conditions or a product intended to be terminally sterilized, would not normally be regarded as part of packaging.

Packaging Material: Any material intended to protect an intermediate or API during storage and transport. Any material, including printed material, employed in the packaging of a pharmaceutical, but excluding any outer packaging used for transportation or shipment. Packaging materials are referred to as primary or secondary according to whether or not they are intended to be in direct contact with the product.

Pharmaceutical Product: Any material or product intended for human or veterinary use presented in its finished dosage form or as a starting material for use in such a dosage form, that is subject to control by pharmaceutical legislation in the exporting state and/or the importing state.

Procedure: A documented description of the operations to be performed, the precautions to be taken and measures to be applied directly or indirectly related to the manufacture of an intermediate or API.

Process Aids: Materials, excluding solvents, used as an aid in the manufacture of an intermediate or API that do

not themselves participate in a chemical or biological reaction (e.g., filter aid, activated carbon, and so on).

Process Control: See In-Process Control.

Production: All operations involved in the preparation of a pharmaceutical product, from receipt of materials, through processing, packaging and repackaging, and labeling and relabeling, to completion of the finished product.

Qualification: Action of proving and documenting that equipment or ancillary systems are properly installed, work correctly, and actually lead to the expected results. Qualification is part of validation, but the individual qualification steps alone do not constitute process validation.

Quality Assurance (QA): The sum total of the organized arrangements made with the object of ensuring that all APIs are of the quality required for their intended use and that quality systems are maintained.

Quality Control (QC): Checking or testing that specifications are met.

Quality Unit(s): An organizational unit independent of production that fulfills both Quality Assurance and Quality Control responsibilities. This can be in the form of separate QA and QC units or a single individual or group, depending upon the size and structure of the organization.

Quarantine: The status of starting or packaging materials, intermediates, or bulk or finished products isolated physically or by other effective means while a decision is awaited on their release, rejection, or reprocessing.

Raw Material: A general term used to denote starting materials, reagents, and solvents intended for use in the production of intermediates or APIs.

Reconciliation: A comparison between the theoretical quantity and the actual quantity.

Recovery: The introduction of all or part of previous batches (or of redistilled solvents and similar products) of the required quality into another batch at a defined stage of manufacture. It includes the removal of impurities from waste to obtain a pure substance or the recovery of used materials for a separate use.

Reference Standard, Primary: A substance that has been shown by an extensive set of analytical tests to be authentic material that should be of high purity.

Reference Standard, Secondary: A substance of established quality and purity, as shown by comparison with a primary reference standard, used as a reference standard for routine laboratory analysis.

Reprocessing: Subjecting all or part of a batch or lot of an in-process drug, bulk process intermediate (final biological bulk intermediate), or bulk product of a single batch/lot to a previous step in the validated manufacturing process due to failure to meet predetermined specifications. Reprocessing procedures are foreseen as occasionally necessary for biological

drugs and in such cases, are validated and preapproved as part of the marketing authorization.

Retest Date: The date when a material should be reexamined to ensure that it is still suitable for use.

Reworking: Subjecting an in-process or bulk process intermediate (final biological bulk intermediate) or final product of a single batch to an alternate manufacturing process due to a failure to meet predetermined specifications. Reworking is an unexpected occurrence and is not preapproved as part of the marketing authorization.

Self-Contained Area: Premises that provide complete and total separation of all aspects of an operation, including personnel and equipment movement, with well-established procedures, controls, and monitoring. This includes physical barriers as well as separate airhandling systems, but does not necessarily imply two distinct and separate buildings.

Signature (Signed): See definition for signed.

Signed (Signature): The record of the individual who performed a particular action or review. This record can be initials, a full handwritten signature, a personal seal, or an authenticated and secure electronic signature.

Solvent: An inorganic or organic liquid used as a vehicle for the preparation of solutions or suspensions in the manufacture of an intermediate or API.

Specification: A list of detailed requirements to which the products or materials used or obtained during manufacture have to conform. They serve as a basis for quality evaluation.

Standard Operating Procedure (SOP): An authorized written procedure giving instructions for performing operations not necessarily specific to a given product or material (e.g., equipment operation, maintenance, and cleaning; validation; cleaning of premises and environmental control; sampling and inspection). Certain SOPs may be used to supplement product-specific master and batch production documentation.

Starting Material: Any substance of a defined quality used in the production of a pharmaceutical product, but excluding packaging materials.

Validation: A documented program that provides a high degree of assurance that a specific process, method, or system will consistently produce a result meeting predetermined acceptance criteria. Action of proving, in accordance with the principles of GMP, that any procedure, process, equipment, material, activity, or system actually leads to the expected results (see also Qualification).

Validation Protocol: A written plan stating how validation will be conducted and defining acceptance criteria. For example, the protocol for a manufacturing process identifies processing equipment, critical process parameters/operating ranges, product characteristics, sampling, test data to be collected, number of validation runs, and acceptable test results.

Yield, Expected: The quantity of material or the percentage of theoretical yield anticipated at any appropriate phase of production based on previous laboratory, pilot-scale, or manufacturing data.

Yield, Theoretical: The quantity that would be produced at any appropriate phase of production, based upon the quantity of material to be used, in the absence of any loss or error in actual production.

Appendix B

Excipients			
ALPHA-TOCOPHEROL		1	MG
ALPHA-TOCOPHEROL	SOFT GELATIN	5	MG
ALPHA-TOCOPHEROL ACETATE		2	MG
ALPHA-TOCOPHEROL, DL- 1-(PHENYLAZO)-2-NAPHTHYLAMINE	SOFT GELATIN	0.08	MG
1,4-SORBITAN		0.13	MG
1,4-SORBITAN		24.15	MG
ACACIA		8.86	MG
ACACIA	SUSTAINED ACTION	11.77	MG
ACACIA	EXTENDED RELEASE	16.05	mg
ACACIA SYRUP	SUSTAINED ACTION	69.64	MG
ACETIC ACID	ENTERIC COATED PELLETS	0.36	MG
ACETOPHENONE	SOFT GELATIN	0.01	MG
ACETYLTRIBUTYL CITRATE	COATED	3.5	MG
ACETYLTRIBUTYL CITRATE	DELAYED RELEASE	4.72	MG
ACETYLTRIBUTYL CITRATE		6.57	MG
ACETYLTRIBUTYL CITRATE	ENTERIC COATED PELLETS	7.6	MG
ACETYLTRIBUTYL CITRATE	EXTENDED RELEASE	18.96	MG
ACETYLTRIBUTYL CITRATE	SUSTAINED ACTION	18.98	MG
ACTIVATED CHARCOAL		3.4	MG
ACTIVATED CHARCOAL	SUSTAINED ACTION	5.2	MG
ALGINIC ACID		80	MG
ALUMINUM MONOACETATE	EXTENDED RELEASE	1	MG
ALUMINUM STEARATE	SUSTAINED ACTION	0.4	MG
AMARANTH	SOFT GELATIN	0.092	MG
AMARANTH		0.1	MG
AMARANTH	SUSTAINED ACTION	0.1	MG
AMARANTH	(IMMED./COMP. RELEASE), SOFT GELATIN, PERLE	0.18	MG
AMARANTH	EXTENDED RELEASE	2.9	MG
AMBERLITE		4.86	MG
AMINO BENZOATE SODIUM		0.002	MG
AMMONIA SOLUTION	SUSTAINED ACTION		ADJPH
AMMONIA SOLUTION	DELAYED ACTION	1.5	MG
AMMONIA SOLUTION		6.03	MG
AMMONIO METHACRYLATE COPOLYMER	EXTENDED RELEASE	48.91	MG
AMMONIO METHACRYLATE COPOLYMER TYPE A	SUSTAINED ACTION, HARD GELATIN	4.2	MG
AMMONIO METHACRYLATE COPOLYMER TYPE A	DELAYED ACTION	15.36	MG
AMMONIO METHACRYLATE COPOLYMER TYPE A	SUSTAINED ACTION	25.59	MG
AMMONIO METHACRYLATE COPOLYMER TYPE A	EXTENDED RELEASE	42.4	MG
AMMONIO METHACRYLATE COPOLYMER TYPE B	DELAYED ACTION	35.85	MG
AMMONIO METHACRYLATE COPOLYMER TYPE B	HARD GELATIN	38.51	MG
AMMONIO METHACRYLATE COPOLYMER TYPE B	EXTENDED RELEASE	89.17	MG
AMMONIO METHACRYLATE COPOLYMER TYPE B	SUSTAINED ACTION	91.88	MG
AMMONIO METHACRYLATE COPOLYMER TYPE B		109.56	MG
ANHYDROUS CITRIC ACID	SOFT GELATIN	1.64	MG
ANHYDROUS CITRIC ACID	SOFT GELATIN LIQUID-FILLED	30	MG
ANHYDROUS DIBASIC CALCIUM PHOSPHATE		184.31	MG
ANHYDROUS DIBASIC CALCIUM PHOSPHATE	SUSTAINED ACTION	301.7	MG
ANHYDROUS DIBASIC CALCIUM PHOSPHATE	DELAYED RELEASE	381	MG

(Continued)

Excipients			
ANHYDROUS DIBASIC CALCIUM PHOSPHATE		401	MG
ANHYDROUS LACTOSE	DELAYED RELEASE	40	MG
ANHYDROUS LACTOSE	(IMMED./COMP. RELEASE)	46.06	MG
ANHYDROUS LACTOSE	EXTENDED RELEASE	53.8	MG
ANHYDROUS LACTOSE	COATED, SOFT GELATIN	71.91	MG
ANHYDROUS LACTOSE	ENTERIC COATED PELLETS	117	MG
ANHYDROUS LACTOSE	HARD GELATIN	238.24	MG
ANHYDROUS LACTOSE	SUSTAINED ACTION	300.8	MG
ANHYDROUS LACTOSE		402.5	MG
ANHYDROUS TRISODIUM CITRATE	POWDER, ORAL SUSPENSION	9	MG/5ML
ANIDRISORB 85/70		44	MG
ANIDRISORB 85/70	SOFT GELATIN LIQUID-FILLED	93.4	MG
ANIDRISORB 85/70	SOFT GELATIN	123	MG
ANTIFOAM	SUSTAINED ACTION	0.16	MG
AQUACOAT		3.6	MG
AQUACOAT ECD		9	MG
AQUACOAT ECD-30		13.33	MG
AQUACOAT ECD-30	EXTENDED RELEASE	32.5	MG
ASCORBIC ACID	EXTENDED RELEASE	0.4	MG
ASCORBIC ACID		7	MG
ASCORBYL PALMITATE		12	MG
ASPARTAME		0.8	MG
BENTONITE			ADJPH
BENZYL ALCOHOL	SUSTAINED ACTION	1.23	MG
BENZYL ALCOHOL		15	MG
BETANAPHTHOL		0.3	MG
BLACK INK	SUSTAINED ACTION	0.019	MG
BLACK INK	DELAYED RELEASE	0.57	MG
BUTYLATED HYDROXYANISOLE	GELATIN COATED	0.07	MG
BUTYLATED HYDROXYANISOLE		1	MG
BUTYLATED HYDROXYANISOLE	SOFT GELATIN	1	MG
BUTYLATED HYDROXYTOLUENE	COATED, SOFT GELATIN	0.035	MG
BUTYLATED HYDROXYTOLUENE	SOFT GELATIN	0.25	MG
BUTYLATED HYDROXYTOLUENE		0.38	MG
BUTYLPARABEN		0.002	MG
CALCIUM CARBONATE	SUSTAINED ACTION	4	MG
CALCIUM CARBONATE	HARD GELATIN	62.84	MG
CALCIUM CARBONATE		349.9	MG
CALCIUM CHLORIDE		1.74	MG
CALCIUM HYDROXIDE	DELAYED ACTION	13.56	MG
CALCIUM SILICATE	SOFT GELATIN	1.03	MG
CALCIUM SILICATE		20	MG
CALCIUM STEARATE	HARD GELATIN	1	MG
CALCIUM STEARATE	EXTENDED RELEASE	3.2	MG
CALCIUM STEARATE		21.1	MG
CALCIUM STEARATE	SUSTAINED ACTION	91.9	MG
CALCIUM SULFATE ANHYDROUS		50	MG
CALCIUM SULFATE DIHYDRATE	SUSTAINED ACTION	26.68	MG
CALCIUM SULFATE DIHYDRATE		370	MG
CALCIUM SULFATE HEMIHYDRATE		10	MG
CALCIUM SULFATE, UNSPECIFIED FORM	SUSTAINED ACTION	1.54	MG
CALCIUM SULFATE, UNSPECIFIED FORM		74.68	MG
CANOLA OIL	SOFT GELATIN	165.55	MG
CAPRYLIC/CAPRIC TRIGLYCERIDE/LECITHIN/ ALCOHOL		0.002	MG
CAPRYLOCAPROYL POLYOXYLGLYCERIDES	SOFT GELATIN	905.81	MG

(Continued)

Excipients			
CARBOMER HOMOPOLYMER TYPE A (ALLYL PENTAERYTHRITOL CROSSLINKED)		8	MG
CARBOMER HOMOPOLYMER TYPE B (ALLYL PENTAERYTHRITOL CROSSLINKED)		20	MG
CARBOMER HOMOPOLYMER TYPE B (ALLYL PENTAERYTHRITOL OR ALLYL SUCROSE CROSSLINKED)		14.2	MG
CARBOXYMETHYL STARCH		15	MG
CARBOXYMETHYLCELLULOSE		4.5	MG
CARBOXYMETHYLCELLULOSE	DELAYED ACTION	29.36	MG
CARBOXYMETHYLCELLULOSE CALCIUM	HARD GELATIN	36	MG
CARBOXYMETHYLCELLULOSE CALCIUM		70	MG
CARBOXYMETHYLCELLULOSE SODIUM, UNSPECIFIED FORM	SUSTAINED ACTION	0.47	MG
CARBOXYMETHYLCELLULOSE SODIUM, UNSPECIFIED FORM	DELAYED ACTION, COATED, HARD GELATIN	4	MG
CARBOXYMETHYLCELLULOSE SODIUM, UNSPECIFIED FORM	ENTERIC COATED PELLETS	4.2	MG
CARBOXYMETHYLCELLULOSE SODIUM, UNSPECIFIED FORM		160	MG
CARNAUBA WAX	SUSTAINED ACTION	0.75	MG
CARNAUBA WAX	EXTENDED RELEASE	80.67	MG
CARRAGEENAN	EXTENDED RELEASE	0.3	MG
CARRAGEENAN		2.54	MG
CASTOR OIL		1.04	MG
CASTOR OIL	SUSTAINED ACTION	1.76	MG
CELLABURATE	EXTENDED RELEASE	5.5	MG
CELLACEFATE	SUSTAINED ACTION	9.42	MG
CELLACEFATE	ENTERIC COATED PELLETS	28.2	MG
CELLACEFATE		75.6	MG
CELLULOSE ACETATE		22.15	MG
CELLULOSE MICROCRYSTALLINE/ CARBOXYMETHYLCELLULOSE SODIUM	EXTENDED RELEASE	72	MG
CETOSTEARYL ALCOHOL	EXTENDED RELEASE	9.43	MG
CETYL ALCOHOL	DELAYED RELEASE	2.33	MG
CETYL ALCOHOL	ENTERIC COATED PELLETS	3.07	MG
CETYL ALCOHOL	DELAYED ACTION, COATED	3.255	mg
CETYL ALCOHOL	DELAYED ACTION	12.5	MG
CETYLPYRIDINIUM CHLORIDE	SOFT GELATIN	0.004	MG
CETYLPYRIDINIUM CHLORIDE	SUSTAINED ACTION	0.02	MG
CETYLPYRIDINIUM CHLORIDE		1.5	MG
CITRIC ACID MONOHYDRATE	SOFT GELATIN	1	MG
CITRIC ACID MONOHYDRATE	POWDER, ORAL SUSPENSION	5	MG/5ML
CITRIC ACID MONOHYDRATE	EXTENDED RELEASE	18.8	MG
CITRIC ACID MONOHYDRATE	SUSTAINED ACTION, HARD GELATIN	18.8	MG
CITRIC ACID MONOHYDRATE		119	MG
COLLAGEN, HYDROLYZED		8.77	MG
COMPRESSIBLE SUGAR	SUSTAINED ACTION	75	MG
COMPRESSIBLE SUGAR		270	MG
COPOVIDONE K25-31		5	MG
COPOVIDONE K25-31	COATED PELLETS	11.03	MG
COPOVIDONE K25-31		11.32	MG
COPOVIDONE K25-31	DELAYED RELEASE	33.2	MG
COPOVIDONE K25-31	EXTENDED RELEASE	85.55	MG
COPOVIDONE K25-31		224.4	mg
CORN GLYCERIDES	SOFT GELATIN	344	MG

(Continued)

Excipients			
CORN OIL	SOFT GELATIN	416	MG
CORN OIL		918	MG
CORN OIL PEG-6 ESTERS	SOFT GELATIN	300	MG
CROSCARMELLOSE SODIUM	EXTENDED RELEASE	7.3	MG
CROSCARMELLOSE SODIUM	GELATIN COATED	9	MG
CROSCARMELLOSE SODIUM	ENTERIC COATED PELLETS	20	MG
CROSCARMELLOSE SODIUM	DELAYED ACTION	24	MG
CROSCARMELLOSE SODIUM	COATED PELLETS	24.75	MG
CROSCARMELLOSE SODIUM	(IMMED./COMP. RELEASE), HARD GELATIN	30	MG
CROSCARMELLOSE SODIUM	HARD GELATIN	30	MG
CROSCARMELLOSE SODIUM		65.6	MG
CROSCARMELLOSE SODIUM	SUSTAINED ACTION	65.6	MG
CROSPVIDONE (12 MPA.S AT 5%)	EXTENDED RELEASE	20.54	MG
CROSPVIDONE (15 MPA.S AT 5%)	DELAYED ACTION	6	mg
CROSPVIDONE (15 MPA.S AT 5%)		23.3	MG
CROSPVIDONE (15 MPA.S AT 5%)		56	MG
CROSPVIDONE, UNSPECIFIED	EXTENDED RELEASE	1	MG
CROSPVIDONE, UNSPECIFIED	DELAYED ACTION	5	MG
CROSPVIDONE, UNSPECIFIED	SUSTAINED ACTION	10.71	MG
CROSPVIDONE, UNSPECIFIED	DELAYED RELEASE	13.5	MG
CROSPVIDONE, UNSPECIFIED	COATED, SOFT GELATIN	14	MG
CROSPVIDONE, UNSPECIFIED	(IMMED./COMP. RELEASE), HARD GELATIN	15.91	MG
CROSPVIDONE, UNSPECIFIED		32.4	mg
CROSPVIDONE, UNSPECIFIED	ENTERIC COATED PELLETS	40.5	MG
CROSPVIDONE, UNSPECIFIED	HARD GELATIN	70	MG
CROSPVIDONE, UNSPECIFIED		85	MG
D&C GREEN NO. 5	SUSTAINED ACTION	0.003	MG
D&C RED NO. 22		0.022	MG
D&C RED NO. 27 ALUMINUM LAKE		1.31	MG
D&C RED NO. 28		0.0071	mg
D&C RED NO. 28	HARD GELATIN	0.008	MG
D&C RED NO. 28	(IMMED./COMP. RELEASE)	0.011	MG
D&C RED NO. 28	COATED, SOFT GELATIN	0.057	MG
D&C RED NO. 28	EXTENDED RELEASE	0.06	MG
D&C RED NO. 28	DELAYED RELEASE	0.11	MG
D&C RED NO. 28	DELAYED ACTION	0.21	MG
D&C RED NO. 28		0.22	MG
D&C RED NO. 3 LAKE (DELISTED)		0.005	MG
D&C RED NO. 30		0.1	MG
D&C RED NO. 30 LAKE	ENTERIC COATED PELLETS	0.3	MG
D&C RED NO. 33	SUSTAINED ACTION	0.001	MG
D&C RED NO. 33	EXTENDED RELEASE	0.003	MG
D&C RED NO. 33	SOFT GELATIN LIQUID-FILLED	0.011	MG
D&C RED NO. 33		0.39	MG
D&C RED NO. 33 LAKE		0.005	MG
D&C YELLOW NO. 10	COATED PELLETS	0.015	MG
D&C YELLOW NO. 10	HARD GELATIN	0.05	MG
D&C YELLOW NO. 10	ENTERIC COATED PELLETS	0.053	MG
D&C YELLOW NO. 10	DELAYED ACTION, COATED	0.127	mg
D&C YELLOW NO. 10	DELAYED ACTION	0.14	MG
D&C YELLOW NO. 10	(IMMED./COMP. RELEASE), SOFT GELATIN, PERLE	0.2	MG
D&C YELLOW NO. 10		0.34	MG
D&C YELLOW NO. 10	COATED, SOFT GELATIN	0.36	MG
D&C YELLOW NO. 10	SUSTAINED ACTION	0.49	MG
D&C YELLOW NO. 10	EXTENDED RELEASE	0.64	MG

(Continued)

Excipients			
D&C YELLOW NO. 10	SOFT GELATIN	1.51	MG
D&C YELLOW NO. 10 LAKE	SUSTAINED ACTION	0.28	MG
D&C YELLOW NO. 10—ALUMINUM LAKE	SUSTAINED ACTION	0.068	MG
D&C YELLOW NO. 10—ALUMINUM LAKE	ENTERIC COATED PELLETS	0.3	MG
D&C YELLOW NO. 10—ALUMINUM LAKE		1.2	MG
D&C YELLOW NO. 10—ALUMINUM LAKE	EXTENDED RELEASE	1.24	MG
D&C YELLOW NO. 6 LAKE	ENTERIC COATED PELLETS	0.5	MG
DEXTROSE, UNSPECIFIED FORM	DELAYED ACTION	1.1	MG
DIACETYLATED MONOGLYCERIDES	SUSTAINED ACTION	0.59	MG
DIACETYLATED MONOGLYCERIDES	EXTENDED RELEASE	2.37	MG
DIACETYLATED MONOGLYCERIDES	ENTERIC COATED PELLETS	4	MG
DIATOMACEOUS EARTH		3.4	MG
DIBASIC CALCIUM PHOSPHATE DIHYDRATE	EXTENDED RELEASE	50.6	MG
DIBASIC CALCIUM PHOSPHATE DIHYDRATE		400	MG
DIBASIC POTASSIUM PHOSPHATE		30	MG
DIBUTYL SEBACATE	ENTERIC COATED PELLETS	0.89	MG
DIBUTYL SEBACATE	SUSTAINED ACTION, HARD GELATIN	2.83	MG
DIBUTYL SEBACATE	DELAYED RELEASE	3.02	MG
DIBUTYL SEBACATE	HARD GELATIN	3.83	MG
DIBUTYL SEBACATE	DELAYED ACTION	6.41	MG
DIBUTYL SEBACATE	SUSTAINED ACTION	8.82	MG
DIBUTYL SEBACATE	EXTENDED RELEASE	10	MG
DIBUTYL SEBACATE		15.47	MG
DIETHYL PHTHALATE	EXTENDED RELEASE	9.13	MG
DIETHYL PHTHALATE	SUSTAINED ACTION	9.47	MG
DIETHYL PHTHALATE	ENTERIC COATED PELLETS	12	MG
DIETHYL PHTHALATE	DELAYED ACTION, COATED, HARD GELATIN	15.1	MG
DIETHYL PHTHALATE		20.52	MG
DIMETHICONE		2.2	MG
DIMETHICONE 1000	ENTERIC COATED PELLETS	3.46	MG
DIMETHICONE 350	SUSTAINED ACTION	0.11	MG
DIMETHICONE 350		3.7	MG
DIMETHYLAMINOETHYL METHACRYLATE—BUTYL METHACRYLATE—METHYL METHACRYLATE COPOLYMER	SUSTAINED ACTION	1.63	MG
DIMETHYLAMINOETHYL METHACRYLATE—BUTYL METHACRYLATE—METHYL METHACRYLATE COPOLYMER		4.52	MG
DIMETHYLAMINOETHYL METHACRYLATE—BUTYL METHACRYLATE—METHYL METHACRYLATE COPOLYMER	EXTENDED RELEASE	33.69	MG
DOCUSATE SODIUM	SUSTAINED ACTION	0.001	MG
DOCUSATE SODIUM	HARD GELATIN	0.64	MG
DOCUSATE SODIUM		8.2	MG
DOCUSATE SODIUM/SODIUM BENZOATE	EXTENDED RELEASE	0.14	MG
DOCUSATE SODIUM/SODIUM BENZOATE		85	MG
DYE BLUE LAKE BLEND LB-332		0.56	MG
DYE CHROMA-TONE		29.6	MG
DYE FDC BLUE NO. 40 HT LAKE		0.16	MG
DYE GREEN LAKE BLEND LB-333		0.73	MG
DYE YELLOW NO. 62		5.1	MG
EDETATE CALCIUM DISODIUM		0.27	MG
EDETATE DISODIUM		1	MG
EDETATE DISODIUM	(IMMED./COMP. RELEASE)	1	MG
EDETATE DISODIUM	SOFT GELATIN	1	MG
EDETATE SODIUM	SOFT GELATIN	1	MG

(Continued)

Excipients			
ERYTHROSINE SODIUM ANHYDROUS		0.42	MG
ETHYL ACETATE	SUSTAINED ACTION	382.26	MG
ETHYL ACRYLATE AND METHYL METHACRYLATE COPOLYMER (2:1; 750000 MW)		33.8	MG
ETHYL ACRYLATE AND METHYL METHACRYLATE COPOLYMER (2:1; 750000 MW)	EXTENDED RELEASE	36.72	MG
ETHYL ACRYLATE AND METHYL METHACRYLATE COPOLYMER (2:1; 750000 MW)	ENTERIC COATED PELLETS	93.36	MG
ETHYL ACRYLATE AND METHYL METHACRYLATE COPOLYMER (2:1; 750000 MW)	SUSTAINED ACTION	187.3	MG
ETHYL VANILLIN	COATED, SOFT GELATIN	0.27	MG
ETHYL VANILLIN		0.34	MG
ETHYL VANILLIN	SOFT GELATIN	0.64	MG
ETHYLCELLULOSE (10 MPA.S)		8	MG
ETHYLCELLULOSE (10 MPA.S)	DELAYED ACTION	25.1	MG
ETHYLCELLULOSE (10 MPA.S)		39.39	mg
ETHYLCELLULOSE (10 MPA.S)	EXTENDED RELEASE	137.25	MG
ETHYLCELLULOSE (20 MPA.S)	FILM COATED, EXTENDED RELEASE	31.97	MG
ETHYLCELLULOSE (20 MPA.S)	EXTENDED RELEASE	72.71	MG
ETHYLCELLULOSE (4 MPA.S)		36.67	MG
ETHYLCELLULOSE (45 MPA.S)	EXTENDED RELEASE	12.79	MG
ETHYLCELLULOSE (50 MPA.S)	EXTENDED RELEASE	32.656	MG
ETHYLCELLULOSE (7 MPA.S)	DELAYED ACTION	41.1	MG
ETHYLCELLULOSE (7 MPA.S)		62.13	MG
ETHYLCELLULOSE (7 MPA.S)	EXTENDED RELEASE	72.6	MG
ETHYLCELLULOSE, UNSPECIFIED	DELAYED RELEASE	1.42	MG
ETHYLCELLULOSE, UNSPECIFIED	EXTENDED RELEASE	1.633	MG
ETHYLCELLULOSE, UNSPECIFIED	COATED	16.5	MG
ETHYLCELLULOSE, UNSPECIFIED	ENTERIC COATED PELLETS	18	MG
ETHYLCELLULOSE, UNSPECIFIED	SUSTAINED ACTION	39.2	MG
ETHYLCELLULOSE, UNSPECIFIED	SUSTAINED ACTION, HARD GELATIN	56.6	MG
ETHYLCELLULOSE, UNSPECIFIED		69.97	MG
ETHYLCELLULOSE, UNSPECIFIED	DELAYED ACTION	88.06	MG
ETHYLCELLULOSE, UNSPECIFIED	EXTENDED RELEASE	175.4	MG
ETHYLENE GLYCOL MONOETHYL ETHER		0.009	MG
ETHYLPARABEN SODIUM	SOFT GELATIN	1	MG
EUDRAGIT L 30 D	DELAYED RELEASE	47.21	MG
EUDRAGIT L 30 D		53.792	MG
EUDRAGIT L 30 D	DELAYED ACTION	58.01	MG
EUDRAGIT L 30 D	ENTERIC COATED PELLETS	150	MG
FAT, HARD	SOFT GELATIN	76.5	MG
FATTY ACID ESTERS, SATURATED	ENTERIC COATED PELLETS	0.2	MG
FATTY ACID GLYCERIDES		1.8	MG
FD&C BLUE NO. 1	SOFT GELATIN LIQUID-FILLED	0.024	MG
FD&C BLUE NO. 1	COATED, SOFT GELATIN	0.034	MG
FD&C BLUE NO. 1	DELAYED RELEASE	0.035	MG
FD&C BLUE NO. 1	SOFT GELATIN	0.04	MG
FD&C BLUE NO. 1	(IMMED./COMP. RELEASE)	0.13	MG
FD&C BLUE NO. 1	(IMMED./COMP. RELEASE), COATED, SOFT GELATIN	0.2	MG
FD&C BLUE NO. 1	DELAYED ACTION	0.5	MG
FD&C BLUE NO. 1	EXTENDED RELEASE	0.78	MG

(Continued)

Excipients			
FD&C BLUE NO. 1	SUSTAINED ACTION	0.9	MG
FD&C BLUE NO. 1	HARD GELATIN	3.71	MG
FD&C BLUE NO. 1		4.78	MG
FD&C BLUE NO. 1-ALUMINUM LAKE	SUSTAINED ACTION	0.022	MG
FD&C BLUE NO. 1-ALUMINUM LAKE		0.041	MG
FD&C BLUE NO. 1-ALUMINUM LAKE	ENTERIC COATED PELLETS	4	MG
FD&C BLUE NO. 2	HARD GELATIN	0.011	MG
FD&C BLUE NO. 2	SUSTAINED ACTION	0.03	MG
FD&C BLUE NO. 2	DELAYED ACTION	0.15	MG
FD&C BLUE NO. 2	DELAYED RELEASE	0.21	MG
FD&C BLUE NO. 2		0.34	MG
FD&C BLUE NO. 2	EXTENDED RELEASE	0.44	MG
FD&C BLUE NO. 2—ALUMINUM LAKE		0.22	MG
FD&C BLUE NO. 2—ALUMINUM LAKE	ENTERIC COATED PELLETS	3.5	MG
FD&C GREEN NO. 3	SOFT GELATIN LIQUID-FILLED	0.018	MG
FD&C GREEN NO. 3	SUSTAINED ACTION	0.067	MG
FD&C GREEN NO. 3	EXTENDED RELEASE	0.16	MG
FD&C GREEN NO. 3	SOFT GELATIN	0.17	MG
FD&C GREEN NO. 3	ENTERIC COATED PELLETS	0.22	MG
FD&C GREEN NO. 3	DELAYED ACTION	0.532	mg
FD&C GREEN NO. 3		40	MG
FD&C RED NO. 3	EXTENDED RELEASE	0.047	MG
FD&C RED NO. 3	EXTENDED RELEASE	0.057	mg
FD&C RED NO. 3	SOFT GELATIN	0.22	MG
FD&C RED NO. 3	SUSTAINED ACTION	0.3	MG
FD&C RED NO. 3		1.69	MG
FD&C RED NO. 40	ENTERIC COATED PELLETS	0.001	MG
FD&C RED NO. 40		0.0054	mg
FD&C RED NO. 40	COATED, SOFT GELATIN	0.046	MG
FD&C RED NO. 40	SOFT GELATIN LIQUID-FILLED	0.05	MG
FD&C RED NO. 40	DELAYED ACTION	0.15	MG
FD&C RED NO. 40	HARD GELATIN	0.15	MG
FD&C RED NO. 40	SUSTAINED ACTION	0.2	MG
FD&C RED NO. 40	DELAYED RELEASE	0.26	MG
FD&C RED NO. 40	EXTENDED RELEASE	0.27	MG
FD&C RED NO. 40	SOFT GELATIN	0.52	MG
FD&C RED NO. 40		1.49	MG
FD&C RED NO. 40--ALUMINUM LAKE	SUSTAINED ACTION	0.05	MG
FD&C RED NO. 40--ALUMINUM LAKE	SOFT GELATIN	0.5	MG
FD&C RED NO. 40--ALUMINUM LAKE		0.9	MG
FD&C YELLOW NO. 5	(IMMED./COMP. RELEASE)	0.027	MG
FD&C YELLOW NO. 5	(IMMED./COMP. RELEASE), COATED, SOFT GELATIN	0.26	MG
FD&C YELLOW NO. 5	SUSTAINED ACTION	0.26	MG
FD&C YELLOW NO. 5		652	MG
FD&C YELLOW NO. 5--ALUMINUM LAKE		0.09	MG
FD&C YELLOW NO. 6	HARD GELATIN	0.001	MG
FD&C YELLOW NO. 6	COATED PELLETS	0.002	MG
FD&C YELLOW NO. 6	ENTERIC COATED PELLETS	0.028	MG
FD&C YELLOW NO. 6	EXTENDED RELEASE	0.065	MG
FD&C YELLOW NO. 6	DELAYED ACTION	0.07	MG
FD&C YELLOW NO. 6	EXTENDED RELEASE	0.07	mg
FD&C YELLOW NO. 6	SOFT GELATIN LIQUID-FILLED	0.13	MG
FD&C YELLOW NO. 6	SOFT GELATIN	0.8	MG
FD&C YELLOW NO. 6		0.96	MG
FD&C YELLOW NO. 6	SUSTAINED ACTION	4.59	MG

(Continued)

Excipients			
FD&C YELLOW NO. 6—ALUMINUM LAKE	SUSTAINED ACTION	0.18	MG
FD&C YELLOW NO. 6—ALUMINUM LAKE		0.8	MG
FD&C YELLOW NO. 6—ALUMINUM LAKE	ENTERIC COATED PELLETS	1.25	MG
FD&C YELLOW NO. 6—ALUMINUM LAKE	SOFT GELATIN	0.1	MG
FD&C YELLOW NO. 6—ALUMINUM LAKE	EXTENDED RELEASE	0.3	MG
FD&C YELLOW NO. 6—ALUMINUM LAKE		1.38	MG
FERRIC OXIDE BROWN		0.098	MG
FERRIC OXIDE BROWN	SOFT GELATIN	0.7	MG
FERRIC OXIDE RED	COATED	0.1152	MG
FERRIC OXIDE RED	HARD GELATIN	0.3	MG
FERRIC OXIDE RED	EXTENDED RELEASE	0.5	MG
FERRIC OXIDE RED	DELAYED ACTION	0.55	MG
FERRIC OXIDE RED	EXTENDED RELEASE	0.5998	mg
FERRIC OXIDE RED	ENTERIC COATED PELLETS	2	MG
FERRIC OXIDE RED	SOFT GELATIN	2.28	MG
FERRIC OXIDE RED	DELAYED RELEASE	2.3	MG
FERRIC OXIDE RED		2.64	MG
FERRIC OXIDE YELLOW	COATED	0.0115	MG
FERRIC OXIDE YELLOW	SOFT GELATIN LIQUID-FILLED	0.1	MG
FERRIC OXIDE YELLOW	(IMMED./COMP. RELEASE), HARD GELATIN	0.17	MG
FERRIC OXIDE YELLOW	HARD GELATIN	0.41	MG
FERRIC OXIDE YELLOW	DELAYED RELEASE	0.42	MG
FERRIC OXIDE YELLOW	DELAYED ACTION	0.68	MG
FERRIC OXIDE YELLOW		1.05	MG
FERRIC OXIDE YELLOW	SOFT GELATIN	1.23	MG
FERRIC OXIDE YELLOW	EXTENDED RELEASE	1.67	MG
FERRIC OXIDE YELLOW	SUSTAINED ACTION	3.04	MG
FERROSOFERRIC OXIDE	FILM COATED, EXTENDED RELEASE	0.0093	MG
FERROSOFERRIC OXIDE	COATED, SOFT GELATIN	0.015	MG
FERROSOFERRIC OXIDE	HARD GELATIN	0.02	MG
FERROSOFERRIC OXIDE	COATED	0.0576	MG
FERROSOFERRIC OXIDE	(IMMED./COMP. RELEASE)	0.17	MG
FERROSOFERRIC OXIDE	SOFT GELATIN	0.3	MG
FERROSOFERRIC OXIDE	ENTERIC COATED PELLETS	0.86	MG
FERROSOFERRIC OXIDE	DELAYED RELEASE	0.887	MG
FERROSOFERRIC OXIDE	DELAYED ACTION	0.89	MG
FERROSOFERRIC OXIDE	(IMMED./COMP. RELEASE), SOFT GELATIN	0.93	MG
FERROSOFERRIC OXIDE		0.96	MG
FERROSOFERRIC OXIDE	SUSTAINED ACTION	1.49	MG
FLAVOR COCONUT 41		0.79	MG
FLAVOR STRAWBERRY 052311 AP0551	POWDER, ORAL SUSPENSION	25	MG/5ML
FLUORESC EIN		0.007	MG
FUMARIC ACID	SUSTAINED ACTION	150	MG
GALACTOSE MONOHYDRATE		163.61	MG
GELATIN HARD	DELAYED ACTION	96	MG
GELATIN HARD	HARD GELATIN	107	MG
GELATIN HARD		118	MG
GELATIN HARD	EXTENDED RELEASE	125	MG
GELATIN HYDROLYSATE		17.25	MG
GELATIN TYPE A PORCINE (160 BLOOM)		270	MG
GELATIN TYPE B BOVINE (160 BLOOM)	SOFT GELATIN	92.25	MG
GELATIN TYPE B BOVINE (230 BLOOM)		146.5	MG
GELATIN TYPE B BOVINE (230 BLOOM)		222.6	mg
GELATIN, UNSPECIFIED	(IMMED./COMP. RELEASE)	50.86	MG
GELATIN, UNSPECIFIED	HARD GELATIN	52.75	MG
GELATIN, UNSPECIFIED	DELAYED RELEASE	59.84	MG

(Continued)

Excipients			
GELATIN, UNSPECIFIED	COATED PELLETS	65	MG
GELATIN, UNSPECIFIED	DELAYED RELEASE	78.64	MG
GELATIN, UNSPECIFIED	ENTERIC COATED PELLETS	84.6	MG
GELATIN, UNSPECIFIED	(IMMED./COMP. RELEASE), COATED, SOFT GELATIN	88.97	MG
GELATIN, UNSPECIFIED	FILM COATED, EXTENDED RELEASE	93.4765	MG
GELATIN, UNSPECIFIED	(IMMED./COMP. RELEASE), HARD GELATIN	94.87	MG
GELATIN, UNSPECIFIED	(IMMED./COMP. RELEASE), SOFT GELATIN, PERLE	95.21	MG
GELATIN, UNSPECIFIED	EXTENDED RELEASE	99.4278	mg
GELATIN, UNSPECIFIED	SUSTAINED ACTION, HARD GELATIN	107	MG
GELATIN, UNSPECIFIED	DELAYED ACTION	107.465	mg
GELATIN, UNSPECIFIED	DELAYED ACTION	116.23	MG
GELATIN, UNSPECIFIED	EXTENDED RELEASE	122.87	MG
GELATIN, UNSPECIFIED	COATED, SOFT GELATIN	153.7	MG
GELATIN, UNSPECIFIED	SOFT GELATIN LIQUID-FILLED	194	MG
GELATIN, UNSPECIFIED		194.93	MG
GELATIN, UNSPECIFIED	SUSTAINED ACTION	217.86	MG
GELATIN, UNSPECIFIED		230	MG
GELATIN, UNSPECIFIED		239.963	mg
GELATIN, UNSPECIFIED	(IMMED./COMP. RELEASE), SOFT GELATIN	260.85	MG
GELATIN, UNSPECIFIED	SOFT GELATIN	281.6	MG
GELATIN, UNSPECIFIED		657	MG
GELATIN, UNSPECIFIED	SOFT GELATIN	733	MG
GELUCIRE 33/01	SOFT GELATIN	114	MG
GLUTAMIC ACID HYDROCHLORIDE		30	MG
GLYCERIN	GELATIN COATED	3.37	MG
GLYCERIN	SOFT GELATIN LIQUID-FILLED	40	MG
GLYCERIN	(IMMED./COMP. RELEASE), COATED, SOFT GELATIN	41	MG
GLYCERIN	(IMMED./COMP. RELEASE), SOFT GELATIN, PERLE	43.85	MG
GLYCERIN	COATED, SOFT GELATIN	91.5	MG
GLYCERIN	SUSTAINED ACTION	132.32	MG
GLYCERIN	SOFT GELATIN	204.2	MG
GLYCERIN	(IMMED./COMP. RELEASE), SOFT GELATIN	223.52	MG
GLYCERIN		249.1	MG
GLYCERYL 1-STEARATE		0.53	MG
GLYCERYL CAPRYLOCAPRATE	DELAYED ACTION	4.07	MG
GLYCERYL CAPRYLOCAPRATE	SOFT GELATIN LIQUID-FILLED	139	MG
GLYCERYL CAPRYLOCAPRATE	COATED, SOFT GELATIN	349.47	MG
GLYCERYL CAPRYLOCAPRATE	SOFT GELATIN	349.47	MG
GLYCERYL CAPRYLOCAPRATE		369.47	MG
GLYCERYL CAPRYLOCAPRATE	SOFT GELATIN	765	MG
GLYCERYL DIBEHENATE	HARD GELATIN	2.7	MG
GLYCERYL DIBEHENATE	ENTERIC COATED PELLETS	3.5	MG
GLYCERYL DIBEHENATE		5.7	MG
GLYCERYL DISTEARATE	EXTENDED RELEASE	25.48	MG
GLYCERYL DISTEARATE	SUSTAINED ACTION	39.2	MG
GLYCERYL MONO AND DIPALMITOSTEARATE		2.688	MG
GLYCERYL MONO AND DIPALMITOSTEARATE	DELAYED RELEASE	5.608	mg
GLYCERYL MONO AND DIPALMITOSTEARATE		11.7	mg
GLYCERYL MONOCAPRYLATE		38.34	MG
GLYCERYL MONOCAPRYLATE	GELATIN COATED	62.2	MG
GLYCERYL MONOCAPRYLATE	SOFT GELATIN	400	MG
GLYCERYL MONOCAPRYLOCAPRATE	SOFT GELATIN	374.24	MG

(Continued)

Excipients			
GLYCERYL MONOCAPRYLOCAPRATE	SOFT GELATIN	374.5	MG
GLYCERYL MONOSTEARATE	EXTENDED RELEASE	0.25	MG
GLYCERYL MONOSTEARATE	DELAYED RELEASE	1.745	MG
GLYCERYL MONOSTEARATE	DELAYED ACTION	2.04	MG
GLYCERYL MONOSTEARATE	HARD GELATIN	13.75	MG
GLYCERYL MONOSTEARATE	SUSTAINED ACTION	27	MG
GLYCERYL TRISTEARATE		225	MG
GLYCINE	SOFT GELATIN	6.22	MG
GUAR GUM		3.3	MG
GUAR GUM	POWDER, ORAL SUSPENSION	10	MG/5ML
HIGH DENSITY POLYETHYLENE		2	MG
HYDROCHLORIC ACID	SOFT GELATIN LIQUID-FILLED		ADJPH
HYDROGENATED CASTOR OIL		10	MG
HYDROGENATED CASTOR OIL	EXTENDED RELEASE	24	MG
HYDROGENATED CASTOR OIL	SUSTAINED ACTION	410.82	MG
HYDROGENATED COTTONSEED OIL		15	MG
HYDROGENATED COTTONSEED OIL	EXTENDED RELEASE	39.14	MG
HYDROGENATED COTTONSEED OIL	SUSTAINED ACTION	58	MG
HYDROGENATED SOYBEAN OIL	SOFT GELATIN	15.3	MG
HYDROGENATED SOYBEAN OIL		19.03	MG
HYDROXYETHYL CELLULOSE		2.98	MG
HYDROXYETHYL CELLULOSE (140 MPA.S AT 5%)		20	MG
HYDROXYMETHYL CELLULOSE	SUSTAINED ACTION	1.6	MG
HYDROXYPROPYL CELLULOSE (110000 WAMW)		0.2	MG
HYDROXYPROPYL CELLULOSE (110000 WAMW)	DELAYED RELEASE	5.207	mg
HYDROXYPROPYL CELLULOSE (110000 WAMW)	DELAYED ACTION	9	mg
HYDROXYPROPYL CELLULOSE (110000 WAMW)	EXTENDED RELEASE	19.27	MG
HYDROXYPROPYL CELLULOSE (110000 WAMW)	DELAYED RELEASE	25	MG
HYDROXYPROPYL CELLULOSE (110000 WAMW)	EXTENDED RELEASE	25.2	MG
HYDROXYPROPYL CELLULOSE (110000 WAMW)	DELAYED ACTION	75	MG
HYDROXYPROPYL CELLULOSE (1200000 WAMW)	COATED PELLETS	3.96	MG
HYDROXYPROPYL CELLULOSE (1200000 WAMW)	DELAYED ACTION	12.96	MG
HYDROXYPROPYL CELLULOSE (1200000 WAMW)	DELAYED RELEASE	16	MG
HYDROXYPROPYL CELLULOSE (1200000 WAMW)	ENTERIC COATED PELLETS	41.4	MG
HYDROXYPROPYL CELLULOSE (1200000 WAMW)		41.5	MG
HYDROXYPROPYL CELLULOSE (1200000 WAMW)	SUSTAINED ACTION	41.5	MG
HYDROXYPROPYL CELLULOSE (1200000 WAMW)	HARD GELATIN	71.3	MG
HYDROXYPROPYL CELLULOSE (1200000 WAMW)	EXTENDED RELEASE	214.48	MG
HYDROXYPROPYL CELLULOSE (1600000 WAMW)	DELAYED ACTION	36.23	MG
HYDROXYPROPYL CELLULOSE (70000 WAMW)	DELAYED RELEASE	13.9	MG
HYDROXYPROPYL CELLULOSE (70000 WAMW)	EXTENDED RELEASE	22.58	MG
HYDROXYPROPYL CELLULOSE (90000 WAMW)	DELAYED ACTION	6.73	MG
HYDROXYPROPYL CELLULOSE (90000 WAMW)		9	MG
HYDROXYPROPYL CELLULOSE (90000 WAMW)		9.81	mg
HYDROXYPROPYL CELLULOSE, UNSPECIFIED		3	MG
HYDROXYPROPYL CELLULOSE, UNSPECIFIED	EXTENDED RELEASE	94.29	MG
HYPROMELLOSE 2208 (100 MPA.S)	EXTENDED RELEASE	3	MG
HYPROMELLOSE 2208 (100000 MPA.S)		113.6577	MG
HYPROMELLOSE 2208 (15000 MPA.S)	SUSTAINED ACTION, HARD GELATIN	2.77	MG
HYPROMELLOSE 2208 (15000 MPA.S)		80.25	MG
HYPROMELLOSE 2208 (15000 MPA.S)	DELAYED ACTION	221.5	MG
HYPROMELLOSE 2208 (15000 MPA.S)	SUSTAINED ACTION	336	MG
HYPROMELLOSE 2208 (3 MPA.S)		1.17	MG
HYPROMELLOSE 2208 (3 MPA.S)	SUSTAINED ACTION	7	MG
HYPROMELLOSE 2208 (3 MPA.S)	EXTENDED RELEASE	23.76	MG
HYPROMELLOSE 2208 (4000 MPA.S)	EXTENDED RELEASE	2.4	MG

(Continued)

Excipients			
HYPROMELLOSE 2906 (4000 MPA.S)		3.5	MG
HYPROMELLOSE 2910 (15 MPA.S)	HARD GELATIN	3	MG
HYPROMELLOSE 2910 (15 MPA.S)		5	mg
HYPROMELLOSE 2910 (15 MPA.S)	DELAYED RELEASE	13	MG
HYPROMELLOSE 2910 (15 MPA.S)	EXTENDED RELEASE	13	MG
HYPROMELLOSE 2910 (15 MPA.S)	EXTENDED RELEASE	35.348	mg
HYPROMELLOSE 2910 (15 MPA.S)	DELAYED ACTION	54.4	MG
HYPROMELLOSE 2910 (15000 MPA.S)	HARD GELATIN	2	MG
HYPROMELLOSE 2910 (15000 MPA.S)	SUSTAINED ACTION, HARD GELATIN	4.77	MG
HYPROMELLOSE 2910 (15000 MPA.S)	EXTENDED RELEASE	10.6	MG
HYPROMELLOSE 2910 (15000 MPA.S)	ENTERIC COATED PELLETS	13.82	MG
HYPROMELLOSE 2910 (15000 MPA.S)	SUSTAINED ACTION	21.1	MG
HYPROMELLOSE 2910 (15000 MPA.S)	DELAYED ACTION	33.42	MG
HYPROMELLOSE 2910 (15000 MPA.S)		40.55	MG
HYPROMELLOSE 2910 (3 MPA.S)	HARD GELATIN	3.6	MG
HYPROMELLOSE 2910 (3 MPA.S)	(IMMED./COMP. RELEASE)	24.03	MG
HYPROMELLOSE 2910 (3 MPA.S)		25.78	MG
HYPROMELLOSE 2910 (3 MPA.S)	DELAYED RELEASE	29	MG
HYPROMELLOSE 2910 (3 MPA.S)	EXTENDED RELEASE	29.18	MG
HYPROMELLOSE 2910 (3 MPA.S)	DELAYED ACTION, COATED	32.728	mg
HYPROMELLOSE 2910 (3 MPA.S)	DELAYED ACTION	80.14	MG
HYPROMELLOSE 2910 (4000 MPA.S)	EXTENDED RELEASE	27	MG
HYPROMELLOSE 2910 (5 MPA.S)	DELAYED RELEASE	2.97	MG
HYPROMELLOSE 2910 (5 MPA.S)	HARD GELATIN	4	MG
HYPROMELLOSE 2910 (5 MPA.S)		9	MG
HYPROMELLOSE 2910 (5 MPA.S)	DELAYED RELEASE	19.91	MG
HYPROMELLOSE 2910 (5 MPA.S)	DELAYED ACTION	20.82	MG
HYPROMELLOSE 2910 (5 MPA.S)	DELAYED ACTION	31.53	MG
HYPROMELLOSE 2910 (5 MPA.S)	EXTENDED RELEASE	41.85	MG
HYPROMELLOSE 2910 (5 MPA.S)		150	MG
HYPROMELLOSE 2910 (50 MPA.S)	EXTENDED RELEASE	54	MG
HYPROMELLOSE 2910 (50 MPA.S)		155	mg
HYPROMELLOSE 2910 (6 MPA.S)	SOFT GELATIN LIQUID-FILLED	2.59	MG
HYPROMELLOSE 2910 (6 MPA.S)	EXTENDED RELEASE	9.07	MG
HYPROMELLOSE 2910 (6 MPA.S)	DELAYED ACTION	57.5	MG
HYPROMELLOSE 2910 (6 MPA.S)	DELAYED RELEASE	60.7	MG
HYPROMELLOSE 2910 (6 MPA.S)		150	MG
HYPROMELLOSE ACETATE SUCCINATE	EXTENDED RELEASE	28.34	MG
HYPROMELLOSE ACETATE SUCCINATE		44.6	MG
HYPROMELLOSE ACETATE SUCCINATE	EXTENDED RELEASE	49.36	mg
HYPROMELLOSE ACETATE SUCCINATE	DELAYED ACTION	66.78	MG
HYPROMELLOSE PHTHALATE	COATED PELLETS	13.26	MG
HYPROMELLOSE PHTHALATE	SUSTAINED ACTION	19.63	MG
HYPROMELLOSE PHTHALATE	DELAYED RELEASE	30	MG
HYPROMELLOSE PHTHALATE	EXTENDED RELEASE	46.66	MG
HYPROMELLOSE PHTHALATE	DELAYED ACTION	51.66	MG
HYPROMELLOSE PHTHALATE	DELAYED ACTION	65.1	mg
HYPROMELLOSE PHTHALATE		69.3	MG
HYPROMELLOSE PHTHALATE	ENTERIC COATED PELLETS	144.51	MG
HYPROMELLOSE PHTHALATE (24% PHTHALATE, 55 CST)	DELAYED ACTION	27.51	MG
HYPROMELLOSE PHTHALATE (31% PHTHALATE, 40 CST)	DELAYED RELEASE	18.1	MG
HYPROMELLOSE, UNSPECIFIED	COATED PELLETS	3.32	MG
HYPROMELLOSE, UNSPECIFIED	DELAYED ACTION, COATED, HARD GELATIN	20	MG
HYPROMELLOSE, UNSPECIFIED	ENTERIC COATED PELLETS	64.43	MG

(Continued)

Excipients			
HYPROMELLOSE, UNSPECIFIED	DELAYED ACTION	67.87	MG
HYPROMELLOSE, UNSPECIFIED	HARD GELATIN	73.9	MG
HYPROMELLOSE, UNSPECIFIED	DELAYED RELEASE	96	MG
HYPROMELLOSE, UNSPECIFIED	EXTENDED RELEASE	118	MG
HYPROMELLOSE, UNSPECIFIED	SOFT GELATIN LIQUID-FILLED	118	MG
HYPROMELLOSE, UNSPECIFIED		155	MG
HYPROMELLOSE, UNSPECIFIED	SUSTAINED ACTION	670.04	MG
INDIGOTINDISULFONATE SODIUM		0.68	MG
INK BLACK GG-606		37	MG
INK BLACK SW-9008	EXTENDED RELEASE	0.1	MG
INK BLUE TEKPRINT SB-6018		63.31	MG
INK EDIBLE BLACK		0.25	MG
INK EDIBLE BLUE		1	MG
INK EDIBLE WHITE		0.001	MG
INK RED AND AQUA IMPRINTING GG-827		95	MG
INK RED AND CARAMEL IMPRINTING GG-825		62	MG
INK RED IMPRINTING GG-826		78	MG
INK WHITE A-8154		0.8	MG
ISOPROPYL ALCOHOL	EXTENDED RELEASE	183	MG
ISOPROPYL ALCOHOL	DELAYED RELEASE	300.5	MG
ISOPROPYL ALCOHOL	DELAYED ACTION	347.2	MG
ISOPROPYL ALCOHOL	SUSTAINED ACTION	392.8	MG
KAOLIN	ENTERIC COATED PELLETS	14.61	MG
KAOLIN		90.83	MG
KARION 83 (D-SORBITOL CONTENT 19-25%)		55.79	MG
LACTIC ACID, L-		44	MG
LACTIC ACID, UNSPECIFIED FORM		1.6	MG
LACTIC ACID, UNSPECIFIED FORM	SOFT GELATIN LIQUID-FILLED	44	MG
LACTITOL MONOHYDRATE		235	MG
LACTOSE MONOHYDRATE	DELAYED ACTION, COATED	16	mg
LACTOSE MONOHYDRATE	SUSTAINED ACTION, HARD GELATIN	18.8	MG
LACTOSE MONOHYDRATE	COATED PELLETS	33.09	MG
LACTOSE MONOHYDRATE	DELAYED RELEASE	76.4	MG
LACTOSE MONOHYDRATE	COATED, HARD GELATIN	85	MG
LACTOSE MONOHYDRATE	COATED	99.5	MG
LACTOSE MONOHYDRATE	ENTERIC COATED PELLETS	100	MG
LACTOSE MONOHYDRATE	(IMMED./COMP. RELEASE)	112	MG
LACTOSE MONOHYDRATE	DELAYED ACTION	125.09	MG
LACTOSE MONOHYDRATE	SUSTAINED ACTION	147.6	MG
LACTOSE MONOHYDRATE	(IMMED./COMP. RELEASE), HARD GELATIN	166.77	MG
LACTOSE MONOHYDRATE	COATED, SOFT GELATIN	178.91	MG
LACTOSE MONOHYDRATE	HARD GELATIN	199.5	MG
LACTOSE MONOHYDRATE		430.42	MG
LACTOSE MONOHYDRATE	EXTENDED RELEASE	536.4	MG
LACTOSE, UNSPECIFIED FORM		20	MG
LACTOSE, UNSPECIFIED FORM	HARD GELATIN	25	MG
LACTOSE, UNSPECIFIED FORM	HARD GELATIN	100	MG
LACTOSE, UNSPECIFIED FORM	COATED PELLETS	102.44	MG
LACTOSE, UNSPECIFIED FORM	SOFT GELATIN	115.75	MG
LACTOSE, UNSPECIFIED FORM	SUSTAINED ACTION	120	MG
LACTOSE, UNSPECIFIED FORM	ENTERIC COATED PELLETS	231.84	MG
LACTOSE, UNSPECIFIED FORM		530	MG
LAUROYL POLYOXYLGLYCERIDES		16.58	MG
LAUROYL POLYOXYLGLYCERIDES	HARD GELATIN	218	MG
LAURYL SULFATE		0.15	MG
LECITHIN	COATED, SOFT GELATIN	1	MG

(Continued)

Excipients			
LECITHIN	SOFT GELATIN	1.8	MG
LECITHIN		15	MG
LECITHIN	SOFT GELATIN LIQUID-FILLED	325	MG
LECITHIN, SOYBEAN	EXTENDED RELEASE	0.67	MG
LECITHIN, SOYBEAN		7	MG
LECITHIN, SOYBEAN	SOFT GELATIN	20	MG
LEMON OIL		5	MG
LEMON OIL	SOFT GELATIN	8.5	MG
LEUCINE		0.78	MG
LIGHT GREEN CF YELLOWISH		40	MG
LIGHT MINERAL OIL		0.8	MG
LIGHT MINERAL OIL	ENTERIC COATED PELLETS	14.1	MG
LINOLEOYL MACROGOLGLYCERIDES	SOFT GELATIN	23.8	MG
LOW-SUBSTITUTED HYDROXYPROPYL CELLULOSE (11% HYDROXYPROPYL; 100000 MW)	DELAYED ACTION	2.5	MG
LOW-SUBSTITUTED HYDROXYPROPYL CELLULOSE, UNSPECIFIED	HARD GELATIN	6	MG
LOW-SUBSTITUTED HYDROXYPROPYL CELLULOSE, UNSPECIFIED	ENTERIC COATED PELLETS	20	MG
LOW-SUBSTITUTED HYDROXYPROPYL CELLULOSE, UNSPECIFIED	EXTENDED RELEASE	20	MG
LOW-SUBSTITUTED HYDROXYPROPYL CELLULOSE, UNSPECIFIED		35.63	MG
LOW-SUBSTITUTED HYDROXYPROPYL CELLULOSE, UNSPECIFIED	DELAYED ACTION	40	MG
LUBRITAB		1.5	MG
MAGNESIUM ACETATE		1.48	MG
MAGNESIUM ALUMINUM SILICATE		60	MG
MAGNESIUM CARBONATE	DELAYED RELEASE	20	MG
MAGNESIUM CARBONATE		22.4	MG
MAGNESIUM CARBONATE	ENTERIC COATED PELLETS	22.4	MG
MAGNESIUM CARBONATE	EXTENDED RELEASE	22.4	MG
MAGNESIUM CARBONATE	SUSTAINED ACTION	22.4	MG
MAGNESIUM CARBONATE	DELAYED ACTION	30	MG
MAGNESIUM CITRATE		237	MG
MAGNESIUM OXIDE	ENTERIC COATED PELLETS	1.6	MG
MAGNESIUM OXIDE	DELAYED ACTION	5	MG
MAGNESIUM OXIDE	EXTENDED RELEASE	7.5	MG
MAGNESIUM OXIDE		10	MG
MAGNESIUM OXIDE	DELAYED RELEASE	13.04	MG
MAGNESIUM PALMITOSTEARATE	EXTENDED RELEASE	0.31	MG
MAGNESIUM SILICATE		40	MG
MAGNESIUM STEARATE	COATED PELLETS	0.15	MG
MAGNESIUM STEARATE	GELATIN COATED	1.5	MG
MAGNESIUM STEARATE	(IMMED./COMP. RELEASE)	2.5	MG
MAGNESIUM STEARATE	COATED, SOFT GELATIN	3	MG
MAGNESIUM STEARATE	SOFT GELATIN	9	MG
MAGNESIUM STEARATE		10	MG
MAGNESIUM STEARATE	(IMMED./COMP. RELEASE), HARD GELATIN	10	MG
MAGNESIUM STEARATE	DELAYED RELEASE	10.69	MG
MAGNESIUM STEARATE		28	mg
MAGNESIUM STEARATE	EXTENDED RELEASE	31.8	MG
MAGNESIUM STEARATE	ENTERIC COATED PELLETS	34.87	MG
MAGNESIUM STEARATE	DELAYED ACTION	41.1	MG
MAGNESIUM STEARATE	HARD GELATIN	79.4	MG
MAGNESIUM STEARATE	SUSTAINED ACTION	100	MG

(Continued)

Excipients			
MAGNESIUM SULFATE ANHYDROUS		29.8	MG
MALEIC ACID		2	MG
MALTITOL	SOFT GELATIN	38.7	MG
MALTODEXTRIN	(IMMED./COMP. RELEASE)	75	MG
MALTODEXTRIN		100	MG
MANNITOL	COATED PELLETS	1.75	MG
MANNITOL	EXTENDED RELEASE	6.42	MG
MANNITOL	DELAYED RELEASE	39.1	MG
MANNITOL	SUSTAINED ACTION	56.1	MG
MANNITOL	HARD GELATIN	92	MG
MANNITOL	DELAYED ACTION	109	mg
MANNITOL	DELAYED ACTION	227	MG
MANNITOL	ENTERIC COATED PELLETS	236	MG
MANNITOL	(IMMED./COMP. RELEASE), HARD GELATIN	264.8	MG
MANNITOL		297.2	MG
MEDIUM-CHAIN TRIGLYCERIDES	EXTENDED RELEASE	3.08	MG
MEDIUM-CHAIN TRIGLYCERIDES	SOFT GELATIN LIQUID-FILLED	72.2	MG
MEDIUM-CHAIN TRIGLYCERIDES		249.47	MG
MEDIUM-CHAIN TRIGLYCERIDES	SOFT GELATIN	250	MG
MEGLUMINE	ENTERIC COATED PELLETS	3	MG
MEGLUMINE	DELAYED ACTION	3.5	MG
MEGLUMINE	DELAYED RELEASE	3.5	MG
MEGLUMINE	EXTENDED RELEASE	5	MG
MENTHOL, UNSPECIFIED FORM		0.87	MG
METHACRYLIC ACID	DELAYED RELEASE	34.5	MG
METHACRYLIC ACID - ETHYL ACRYLATE COPOLYMER (1:1) TYPE A	HARD GELATIN	2.2	MG
METHACRYLIC ACID - ETHYL ACRYLATE COPOLYMER (1:1) TYPE A	COATED PELLETS	3.94	MG
METHACRYLIC ACID - ETHYL ACRYLATE COPOLYMER (1:1) TYPE A	SUSTAINED ACTION, HARD GELATIN	10.9	MG
METHACRYLIC ACID - ETHYL ACRYLATE COPOLYMER (1:1) TYPE A		19.07	MG
METHACRYLIC ACID - ETHYL ACRYLATE COPOLYMER (1:1) TYPE A	DELAYED ACTION	39.7	MG
METHACRYLIC ACID - ETHYL ACRYLATE COPOLYMER (1:1) TYPE A	EXTENDED RELEASE	55.58	MG
METHACRYLIC ACID - ETHYL ACRYLATE COPOLYMER (1:1) TYPE A	EXTENDED RELEASE	56.76	MG
METHACRYLIC ACID - ETHYL ACRYLATE COPOLYMER (1:1) TYPE A	SUSTAINED ACTION	57.28	MG
METHACRYLIC ACID - ETHYL ACRYLATE COPOLYMER (1:1) TYPE A	DELAYED RELEASE	58.88	MG
METHACRYLIC ACID - ETHYL ACRYLATE COPOLYMER (1:1) TYPE A	DELAYED ACTION	82.51	MG
METHACRYLIC ACID - ETHYL ACRYLATE COPOLYMER (1:1) TYPE A		93.6	MG
METHACRYLIC ACID - ETHYL ACRYLATE COPOLYMER (1:1) TYPE A	DELAYED ACTION, COATED, HARD GELATIN	100.69	MG
METHACRYLIC ACID - ETHYL ACRYLATE COPOLYMER (1:1) TYPE A	ENTERIC COATED PELLETS	1500	MG
METHACRYLIC ACID - METHYL METHACRYLATE COPOLYMER (1:1)	DELAYED ACTION	6.8	MG
METHACRYLIC ACID - METHYL METHACRYLATE COPOLYMER (1:1)	SUSTAINED ACTION	22.08	MG
METHACRYLIC ACID - METHYL METHACRYLATE COPOLYMER (1:1)	EXTENDED RELEASE	55.58	MG

(Continued)

Excipients			
METHACRYLIC ACID - METHYL METHACRYLATE COPOLYMER (1:1)		57.6	MG
METHACRYLIC ACID - METHYL METHACRYLATE COPOLYMER (1:1)	ENTERIC COATED PELLETS	93.36	MG
METHACRYLIC ACID - METHYL METHACRYLATE COPOLYMER (1:2)	DELAYED RELEASE	17.79	MG
METHACRYLIC ACID - METHYL METHACRYLATE COPOLYMER (1:2)		19.011	MG
METHACRYLIC ACID - METHYL METHACRYLATE COPOLYMER (1:2)	SUSTAINED ACTION	28.38	MG
METHACRYLIC ACID - METHYL METHACRYLATE COPOLYMER (1:2)	EXTENDED RELEASE	47.31	MG
METHACRYLIC ACID - METHYL METHACRYLATE COPOLYMER (1:2)	DELAYED ACTION	50.42	MG
METHACRYLIC ACID - METHYL METHACRYLATE COPOLYMER (1:2)		116.1	mg
METHACRYLIC ACID COPOLYMER	EXTENDED RELEASE	27.35	MG
METHACRYLIC ACID COPOLYMER	SUSTAINED ACTION	44.6	MG
METHACRYLIC ACID COPOLYMER	COATED	62.1	MG
METHACRYLIC ACID COPOLYMER		81.75	MG
METHACRYLIC ACID COPOLYMER	ENTERIC COATED PELLETS	95.88	MG
METHACRYLIC ACID COPOLYMER	DELAYED ACTION	158.69	MG
METHACRYLIC ACID COPOLYMER	DELAYED RELEASE	180.046	MG
METHYL SALICYLATE		16	MG
METHYLATED SPIRITS		0.1	MG
METHYLCELLULOSE, UNSPECIFIED	SUSTAINED ACTION	3.2	MG
METHYLCELLULOSE, UNSPECIFIED		13.5	MG
METHYLCELLULOSE, UNSPECIFIED	EXTENDED RELEASE	18.2	MG
METHYLCELLULOSE, UNSPECIFIED	DELAYED ACTION	92.6	MG
METHYLPARABEN	COATED, SOFT GELATIN	0.21	MG
METHYLPARABEN	(IMMED./COMP. RELEASE), SOFT GELATIN, PERLE	0.32	MG
METHYLPARABEN	SOFT GELATIN	0.68	MG
METHYLPARABEN	SUSTAINED ACTION	0.86	MG
METHYLPARABEN		1	MG
METHYLPARABEN SODIUM		0.27	MG
MICROCRYSTALLINE CELLULOSE	DELAYED ACTION	6	mg
MICROCRYSTALLINE CELLULOSE	ENTERIC COATED PELLETS	14	MG
MICROCRYSTALLINE CELLULOSE	EXTENDED RELEASE	22.96	mg
MICROCRYSTALLINE CELLULOSE	(IMMED./COMP. RELEASE), HARD GELATIN	44.33	MG
MICROCRYSTALLINE CELLULOSE		47.85	MG
MICROCRYSTALLINE CELLULOSE	COATED PELLETS	57.03	MG
MICROCRYSTALLINE CELLULOSE	COATED, SOFT GELATIN	60	MG
MICROCRYSTALLINE CELLULOSE	DELAYED RELEASE	60	MG
MICROCRYSTALLINE CELLULOSE	GELATIN COATED	60.15	MG
MICROCRYSTALLINE CELLULOSE	SOFT GELATIN	105	MG
MICROCRYSTALLINE CELLULOSE	DELAYED ACTION	131.6	MG
MICROCRYSTALLINE CELLULOSE	(IMMED./COMP. RELEASE)	139.1	MG
MICROCRYSTALLINE CELLULOSE	HARD GELATIN	141	MG
MICROCRYSTALLINE CELLULOSE	HARD GELATIN	172.2	MG
MICROCRYSTALLINE CELLULOSE	SUSTAINED ACTION	186	MG
MICROCRYSTALLINE CELLULOSE	EXTENDED RELEASE	239.79	MG
MICROCRYSTALLINE CELLULOSE		256.59	mg
MICROCRYSTALLINE CELLULOSE		282.4	mg
MICROCRYSTALLINE CELLULOSE	SUSTAINED ACTION, HARD GELATIN	282.6	MG
MICROCRYSTALLINE CELLULOSE	EXTENDED RELEASE	306.8	MG

(Continued)

Excipients			
MICROCRYSTALLINE CELLULOSE		363.75	MG
MICROCRYSTALLINE WAX	SUSTAINED ACTION	6	MG
MINERAL OIL	SUSTAINED ACTION	50	MG
MINERAL OIL		60.08	MG
MODIFIED CORN STARCH (1-OCTENYL SUCCINIC ANHYDRIDE)		53.3	MG
MONO AND DIGLYCERIDE	DELAYED ACTION	4	MG
MONO AND DIGLYCERIDE	DELAYED RELEASE	11.4	MG
MONO AND DIGLYCERIDE		369.47	MG
MYRISTIC ACID	EXTENDED RELEASE	215.13	MG
NEUTRAL OIL	SUSTAINED ACTION	240	MG
NON-PAREIL SEEDS	HARD GELATIN	60.3	MG
NON-PAREIL SEEDS	COATED PELLETS	122.19	MG
NON-PAREIL SEEDS	EXTENDED RELEASE	249.9	MG
NON-PAREIL SEEDS	SUSTAINED ACTION	345.45	MG
NON-PAREIL SEEDS		429.6	MG
NON-PAREIL SEEDS BLUE		65	MG
NON-PAREIL SEEDS ORANGE	SUSTAINED ACTION	35	MG
NON-PAREIL SEEDS WHITE		43	MG
OLEIC ACID	SOFT GELATIN	598.6	MG
OPACODE S-1-1666 RED		1	MG
OPACODE S-1-1681 RED		1	MG
OPACODE S-1-17822 BLACK		0.003	MG
OPACODE S-1-17822 BLACK	EXTENDED RELEASE	0.023	MG
OPACODE S-1-17822 BLACK	DELAYED ACTION	0.042	MG
OPACODE S-1-17823 BLACK		0.97	MG
OPACODE S-1-7085 WHITE	SUSTAINED ACTION	0.028	MG
OPACODE S-1-7085 WHITE		0.033	MG
OPACODE S-1-8090 BLACK		0.05	MG
OPACODE S-1-8114 BLACK		0.033	MG
OPACODE S-1-8114 BLACK	SUSTAINED ACTION	0.033	MG
OPACODE S-1-8114 BLACK	EXTENDED RELEASE	0.13	MG
OPACODE S-1-8115 BLACK	SUSTAINED ACTION	0.023	MG
OPACODE S-1-8115 BLACK		0.041	MG
OPACODE S-1-8115 BLACK	EXTENDED RELEASE	0.042	MG
OPACODE S-1-9460HV BROWN		0.15	MG
OPACODE S-19-7014 WHITE	SUSTAINED ACTION	0.5	MG
OPADRY 02G59011 CLEAR	DELAYED ACTION	30	MG
OPADRY 03F12920 YELLOW		5.4	MG
OPADRY 85F19250 CLEAR	EXTENDED RELEASE	7.65	MG
OPADRY II 85F18378 WHITE		25.31	MG
OPADRY II Y-19-19054 CLEAR	COATED PELLETS	1.23	MG
OPADRY II Y-19-19054 CLEAR	SUSTAINED ACTION	45.14	MG
OPADRY II Y-19-7483 CLEAR	SUSTAINED ACTION	5.74	MG
OPADRY II Y-22-7719 WHITE		4.44	MG
OPADRY II YS-1-19025A CLEAR	EXTENDED RELEASE	23.89	MG
OPADRY II YS-1-7006 CLEAR	EXTENDED RELEASE	2.6	MG
OPADRY OY-29020 CLEAR		2.08	MG
OPADRY YS-1-14129 PINK	SUSTAINED ACTION	9	MG
OPADRY YS-1-17274A BEIGE	DELAYED ACTION	1.49	MG
OPADRY YS-1-17274A BEIGE	SUSTAINED ACTION	9.1	MG
OPADRY YS-1-18202A WHITE	DELAYED ACTION	6.25	MG
OPADRY YS-1-19025-A CLEAR	SUSTAINED ACTION	2.76	MG
OPADRY YS-1-7003 WHITE	SUSTAINED ACTION	1.5	MG
OPADRY YS-1-7003 WHITE		7	MG
OPADRY YS-1-7003 WHITE	DELAYED ACTION	10	MG

(Continued)

Excipients			
OPADRY YS-1-7003 WHITE	EXTENDED RELEASE	10.7	MG
OPADRY YS-1-7006 CLEAR	COATED PELLETS	4	MG
OPADRY YS-1-7006 CLEAR		6.25	MG
OPADRY YS-1-7006 CLEAR	SUSTAINED ACTION, HARD GELATIN	11.25	MG
OPADRY YS-1-7006 CLEAR	SUSTAINED ACTION	12.16	MG
OPADRY YS-1-7006 CLEAR	EXTENDED RELEASE	12.9	MG
OPADRY YS-1-7472 CLEAR	EXTENDED RELEASE	50.76	MG
OPADRY YS-1-7552 GREY		7.3	MG
OPADRY YS-1-7706 CLEAR		3.6	MG
OPALUX AS 4151 BLUE		2.76	MG
OPALUX AS 8050-L BLACK		2	MG
OPAQUE WHITE 001		78	MG
OPAQUE WHITE 002		62	MG
OPAQUE WHITE 535		45	MG
OPAQUE WHITE 536		65	MG
OPAQUE WHITE 538		38	MG
OPATINT AD-22901 YELLOW	SOFT GELATIN	4.09	MG
OPATINT DD-18000 WHITE	SOFT GELATIN	3.07	MG
PALM OIL - SOYBEAN OIL, HYDROGENATED		4	MG
PARABENS		0.16	MG
PARAFFIN		34	MG
PARAFFIN	SUSTAINED ACTION	50	MG
PD BASE-1000	SUSTAINED ACTION	225	MG
PEANUT OIL	COATED, SOFT GELATIN	149	MG
PEANUT OIL		313.8	MG
PECTIN	EXTENDED RELEASE	5.45	MG
PEG-32 HYDROGENATED PALM GLYCERIDES	EXTENDED RELEASE	4.17	MG
PEG-8 CAPRYLIC/CAPRIC GLYCERIDES	SOFT GELATIN	70	MG
PEGAPAMODUTIDE		16.77	MG
PEPPERMINT OIL	(IMMED./COMP. RELEASE), SOFT GELATIN	0.6	MG
PEPPERMINT OIL	SOFT GELATIN	1.02	MG
PEPPERMINT OIL		3	MG
PETROLATUM	SUSTAINED ACTION	0.07	MG
POLACRILIN POTASSIUM		23	MG
POLACRILIN POTASSIUM	(IMMED./COMP. RELEASE), HARD GELATIN	45.28	MG
POLOXAMER 188	DELAYED RELEASE	3	MG
POLOXAMER 188	(IMMED./COMP. RELEASE), HARD GELATIN	3.18	MG
POLOXAMER 188	DELAYED ACTION	4	MG
POLOXAMER 188		10	MG
POLOXAMER 188		25.1	mg
POLOXAMER 338	ENTERIC COATED PELLETS	2	MG
POLOXAMER 407	(IMMED./COMP. RELEASE)	1	MG
POLOXAMER 407	ENTERIC COATED PELLETS	5	MG
POLOXAMER 407	EXTENDED RELEASE	8.96	MG
POLOXAMER 407		30	MG
POLOXAMER 407	DELAYED ACTION	40	MG
POLY(METHYL ACRYLATE-CO-METHYL METHACRYLATE-CO-METHACRYLIC ACID 7:3:1; 280000 MW)	EXTENDED RELEASE	44.08	MG
POLYETHYLENE GLYCOL 1450	EXTENDED RELEASE	17	MG
POLYETHYLENE GLYCOL 20000	HARD GELATIN	13.5	MG
POLYETHYLENE GLYCOL 20000		18	MG
POLYETHYLENE GLYCOL 3350	EXTENDED RELEASE	0.67	MG
POLYETHYLENE GLYCOL 3350		27.2	MG
POLYETHYLENE GLYCOL 3350	SOFT GELATIN	76.92	MG
POLYETHYLENE GLYCOL 3350	HARD GELATIN	288	MG

(Continued)

Excipients			
POLYETHYLENE GLYCOL 400	EXTENDED RELEASE	1.7	MG
POLYETHYLENE GLYCOL 400	DELAYED ACTION	2.74	MG
POLYETHYLENE GLYCOL 400	DELAYED RELEASE	2.74	MG
POLYETHYLENE GLYCOL 400	SUSTAINED ACTION, HARD GELATIN	11.32	MG
POLYETHYLENE GLYCOL 400	(IMMED./COMP. RELEASE), SOFT GELATIN, PERLE	50	MG
POLYETHYLENE GLYCOL 400	COATED, SOFT GELATIN	103.55	MG
POLYETHYLENE GLYCOL 400	SOFT GELATIN LIQUID-FILLED	324.5	MG
POLYETHYLENE GLYCOL 400	(IMMED./COMP. RELEASE), SOFT GELATIN	950	MG
POLYETHYLENE GLYCOL 400		960	MG
POLYETHYLENE GLYCOL 400	SOFT GELATIN	1057	MG
POLYETHYLENE GLYCOL 4000	DELAYED RELEASE	1.28	MG
POLYETHYLENE GLYCOL 4000	DELAYED ACTION	2.55	MG
POLYETHYLENE GLYCOL 4000	EXTENDED RELEASE	10.72	MG
POLYETHYLENE GLYCOL 4000	SOFT GELATIN	15	MG
POLYETHYLENE GLYCOL 4000	ENTERIC COATED PELLETS	96.19	MG
POLYETHYLENE GLYCOL 4000		380.5	MG
POLYETHYLENE GLYCOL 4500	EXTENDED RELEASE	10	MG
POLYETHYLENE GLYCOL 600	SOFT GELATIN	324	MG
POLYETHYLENE GLYCOL 600		580.6	MG
POLYETHYLENE GLYCOL 600	SOFT GELATIN LIQUID-FILLED	580.6	MG
POLYETHYLENE GLYCOL 6000	DELAYED RELEASE	1.71	MG
POLYETHYLENE GLYCOL 6000	DELAYED RELEASE	3.471	mg
POLYETHYLENE GLYCOL 6000	DELAYED ACTION	4.43	MG
POLYETHYLENE GLYCOL 6000	SUSTAINED ACTION	17.46	MG
POLYETHYLENE GLYCOL 6000	EXTENDED RELEASE	18.88	MG
POLYETHYLENE GLYCOL 6000	HARD GELATIN	450	MG
POLYETHYLENE GLYCOL 6000		462.5	MG
POLYETHYLENE GLYCOL 8000	SUSTAINED ACTION	1.39	MG
POLYETHYLENE GLYCOL 8000	DELAYED ACTION	1.78	MG
POLYETHYLENE GLYCOL 8000	EXTENDED RELEASE	4.91	MG
POLYETHYLENE GLYCOL 8000	HARD GELATIN	27.8	MG
POLYETHYLENE GLYCOL 8000		35	MG
POLYETHYLENE GLYCOL, UNSPECIFIED		4.8	mg
POLYGLYCERYL-10 OLEATE	SOFT GELATIN	199.9	MG
POLYGLYCERYL-3 OLEATE	GELATIN COATED	0.87	MG
POLYGLYCERYL-3 OLEATE	SOFT GELATIN	330.7	MG
POLYOXYL 35 CASTOR OIL		120	MG
POLYOXYL 35 CASTOR OIL	SOFT GELATIN	599.4	MG
POLYOXYL 40 HYDROGENATED CASTOR OIL		200	MG
POLYOXYL 40 HYDROGENATED CASTOR OIL	SOFT GELATIN	405	MG
POLYOXYL 40 STEARATE	HARD GELATIN	0.6	MG
POLYOXYL 40 STEARATE		7	MG
POLYSORB 85/70/00		72	mg
POLYSORBATE 20		56.25	MG
POLYSORBATE 80	COATED PELLETS	0.053	MG
POLYSORBATE 80	EXTENDED RELEASE	1.56	MG
POLYSORBATE 80	ENTERIC COATED PELLETS	2	MG
POLYSORBATE 80	SUSTAINED ACTION	2.6	MG
POLYSORBATE 80	DELAYED ACTION	3.05	MG
POLYSORBATE 80	DELAYED RELEASE	3.82	MG
POLYSORBATE 80	SOFT GELATIN LIQUID-FILLED	6	MG
POLYSORBATE 80	SOFT GELATIN	80.56	MG
POLYSORBATE 80		418.37	MG
POLYSTYRENE SULFONIC ACID		12	MG
POLYSTYRENE SULFONIC ACID	SUSTAINED ACTION	32	MG

(Continued)

Excipients			
POLYVINYL ACETATE PHTHALATE	DELAYED ACTION	32	MG
POLYVINYLACETAL	SUSTAINED ACTION	5	MG
PONCEAU 3R	SUSTAINED ACTION	0.035	MG
PONCEAU XYLIDINE		0.001	MG
POTASSIUM BICARBONATE	SOFT GELATIN	29	MG
POTASSIUM CARBONATE		27.69	MG
POTASSIUM CHLORIDE	EXTENDED RELEASE	0.4	MG
POTASSIUM CHLORIDE		62	MG
POTASSIUM HYDROXIDE	EXTENDED RELEASE	0.065	MG
POTASSIUM HYDROXIDE	SOFT GELATIN	25.6	MG
POTASSIUM HYDROXIDE	SOFT GELATIN LIQUID-FILLED	25.6	MG
POTASSIUM HYDROXIDE		28.69	MG
POTASSIUM PHOSPHATE, MONOBASIC	ENTERIC COATED PELLETS	17	MG
POVIDONE K25	SUSTAINED ACTION, HARD GELATIN	12.6	MG
POVIDONE K25	SUSTAINED ACTION	17.79	MG
POVIDONE K25		20	MG
POVIDONE K25	EXTENDED RELEASE	21.36	MG
POVIDONE K25		30	mg
POVIDONE K30	COATED, SOFT GELATIN	5	MG
POVIDONE K30	DELAYED RELEASE	8.06	MG
POVIDONE K30	SUSTAINED ACTION	10.05	MG
POVIDONE K30	DELAYED ACTION	12.38	MG
POVIDONE K30		15	MG
POVIDONE K30	(IMMED./COMP. RELEASE), HARD GELATIN	16	MG
POVIDONE K30	SOFT GELATIN LIQUID-FILLED	25.2	MG
POVIDONE K30	SOFT GELATIN	30	MG
POVIDONE K30	SUSTAINED ACTION, HARD GELATIN	41.7	MG
POVIDONE K30		60	MG
POVIDONE K30		61.5	MG
POVIDONE K30	EXTENDED RELEASE	82.18	MG
POVIDONE K90	HARD GELATIN	5.95	MG
POVIDONE K90	DELAYED ACTION	9.29	MG
POVIDONE K90	EXTENDED RELEASE	18.8	MG
POVIDONE K90	SUSTAINED ACTION, HARD GELATIN	18.8	MG
POVIDONE K90	DELAYED RELEASE	22.82	MG
POVIDONE K90		54.81	MG
POVIDONE, UNSPECIFIED	COATED, SOFT GELATIN	6.9	MG
POVIDONE, UNSPECIFIED	DELAYED RELEASE	8.7	MG
POVIDONE, UNSPECIFIED	ENTERIC COATED PELLETS	9.2	MG
POVIDONE, UNSPECIFIED	COATED PELLETS	10.03	MG
POVIDONE, UNSPECIFIED	SUSTAINED ACTION, HARD GELATIN	10.43	MG
POVIDONE, UNSPECIFIED	HARD GELATIN	50	MG
POVIDONE, UNSPECIFIED	SUSTAINED ACTION	72	MG
POVIDONE, UNSPECIFIED	EXTENDED RELEASE	102.73	MG
POVIDONE, UNSPECIFIED		130	MG
POWDERED CELLULOSE	DELAYED ACTION	8.5	MG
POWDERED CELLULOSE	HARD GELATIN	140	MG
POWDERED CELLULOSE		405	MG
PROPYL GALLATE		0.16	MG
PROPYL GALLATE	SOFT GELATIN	2	MG
PROPYLENE GLYCOL	DELAYED ACTION	0.016	MG
PROPYLENE GLYCOL	EXTENDED RELEASE	0.019	MG
PROPYLENE GLYCOL	ENTERIC COATED PELLETS	1.7	MG
PROPYLENE GLYCOL	SUSTAINED ACTION	14.6	MG
PROPYLENE GLYCOL	SOFT GELATIN LIQUID-FILLED	17.7	MG
PROPYLENE GLYCOL		52	MG

(Continued)

Excipients			
PROPYLENE GLYCOL	SOFT GELATIN	148.31	MG
PROPYLPARABEN	COATED, SOFT GELATIN	0.053	MG
PROPYLPARABEN	(IMMED./COMP. RELEASE), SOFT GELATIN, PERLE	0.081	MG
PROPYLPARABEN	SOFT GELATIN	0.12	MG
PROPYLPARABEN		0.21	MG
PROPYLPARABEN	SUSTAINED ACTION	0.22	MG
PROPYLPARABEN SODIUM		0.072	MG
PROPYLPARABEN SODIUM	SOFT GELATIN	0.35	MG
PROSOLV 50	SUSTAINED ACTION, HARD GELATIN	82.5	MG
PROSOLV HD 90		199.11	MG
SACCHARIN SODIUM	SOFT GELATIN	0.51	MG
SACCHARIN SODIUM		2.02	MG
SESAME OIL	SOFT GELATIN	44.4	MG
SESAME OIL		162.5	MG
SHELLAC	DELAYED RELEASE	0.02	MG
SHELLAC	DELAYED ACTION	0.063	MG
SHELLAC	EXTENDED RELEASE	0.0783	MG
SHELLAC	COATED PELLETS	0.43	MG
SHELLAC	ENTERIC COATED PELLETS	29	MG
SHELLAC	SUSTAINED ACTION	75.01	MG
SHELLAC		330.88	MG
SHELLAC P.V.P. SOLUTION NO. 4	SUSTAINED ACTION	87	MG
SILICA DIMETHYL SILYLATE		0.5	MG
SILICON DIOXIDE	DELAYED RELEASE	0.25	MG
SILICON DIOXIDE	COATED, SOFT GELATIN	0.5	MG
SILICON DIOXIDE	DELAYED ACTION, COATED, HARD GELATIN	1.28	MG
SILICON DIOXIDE	SUSTAINED ACTION, HARD GELATIN	1.7	MG
SILICON DIOXIDE	SOFT GELATIN	1.73	MG
SILICON DIOXIDE	(IMMED./COMP. RELEASE)	2.5	MG
SILICON DIOXIDE	DELAYED RELEASE	2.6	MG
SILICON DIOXIDE		4.5	MG
SILICON DIOXIDE	EXTENDED RELEASE	4.6	MG
SILICON DIOXIDE	HARD GELATIN	6	MG
SILICON DIOXIDE	COATED PELLETS	8.61	MG
SILICON DIOXIDE	SOFT GELATIN LIQUID-FILLED	8.8	MG
SILICON DIOXIDE	GELATIN COATED	9	MG
SILICON DIOXIDE	ENTERIC COATED PELLETS	9.64	MG
SILICON DIOXIDE	DELAYED ACTION	13.69	MG
SILICON DIOXIDE	EXTENDED RELEASE	15	MG
SILICON DIOXIDE	POWDER, ORAL SUSPENSION	15	MG/5ML
SILICON DIOXIDE		100	MG
SILICON DIOXIDE	SUSTAINED ACTION	106	MG
SILICONE	SUSTAINED ACTION	0.14	MG
SILICONE	HARD GELATIN	0.42	MG
SILICONE		15	MG
SILICONE EMULSION	SUSTAINED ACTION	0.078	MG
SIMETHICONE	DELAYED RELEASE	0.02	MG
SIMETHICONE	SOFT GELATIN LIQUID-FILLED	0.025	MG
SIMETHICONE	SUSTAINED ACTION	0.062	MG
SIMETHICONE	DELAYED ACTION	0.2	MG
SIMETHICONE	ENTERIC COATED PELLETS	0.61	MG
SIMETHICONE	EXTENDED RELEASE	1	MG
SIMETHICONE		5.7	MG
SIMETHICONE EMULSION		14.4	MG
SIMETHICONE EMULSION	SUSTAINED ACTION	15.63	MG

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Excipients			
SODIUM ALGINATE		80	MG
SODIUM ASCORBATE	(IMMED./COMP. RELEASE)	2	MG
SODIUM ASCORBATE		3	MG
SODIUM BENZOATE	HARD GELATIN	0.11	MG
SODIUM BENZOATE		0.3	MG
SODIUM BENZOATE	POWDER, ORAL SUSPENSION	10	MG/5ML
SODIUM BICARBONATE	DELAYED ACTION	0.36	MG
SODIUM BICARBONATE	HARD GELATIN	2	MG
SODIUM BICARBONATE		108	MG
SODIUM BISULFITE		0.36	MG
SODIUM CARBONATE	SUSTAINED ACTION	6	MG
SODIUM CARBONATE	DELAYED ACTION	10	mg
SODIUM CARBONATE		91.8	MG
SODIUM CELLULOSE		150	MG
SODIUM CHLORIDE		6	MG
SODIUM CHLORIDE	EXTENDED RELEASE	20.72	MG
SODIUM CITRATE, UNSPECIFIED FORM		1	MG
SODIUM HYDROXIDE			ADJ PH
SODIUM HYDROXIDE	COATED PELLETS	0.013	MG
SODIUM HYDROXIDE	COATED	0.08	MG
SODIUM HYDROXIDE	ENTERIC COATED PELLETS	0.083	MG
SODIUM HYDROXIDE	DELAYED ACTION	0.25	MG
SODIUM HYDROXIDE	SOFT GELATIN LIQUID-FILLED	1.5	MG
SODIUM HYDROXIDE		9.88	MG
SODIUM LAURETH-3 SULFATE		3.5	MG
SODIUM LAURYL SULFATE	ENTERIC COATED PELLETS	0.6	MG
SODIUM LAURYL SULFATE	DELAYED ACTION, COATED	1	mg
SODIUM LAURYL SULFATE	DELAYED RELEASE	1	MG
SODIUM LAURYL SULFATE	DELAYED ACTION, COATED, HARD GELATIN	2	MG
SODIUM LAURYL SULFATE	(IMMED./COMP. RELEASE), HARD GELATIN	3.6	MG
SODIUM LAURYL SULFATE	SUSTAINED ACTION, HARD GELATIN	3.75	MG
SODIUM LAURYL SULFATE	DELAYED ACTION	5.98	MG
SODIUM LAURYL SULFATE	SUSTAINED ACTION	9.47	MG
SODIUM LAURYL SULFATE	HARD GELATIN	16.2	MG
SODIUM LAURYL SULFATE	EXTENDED RELEASE	16.67	MG
SODIUM LAURYL SULFATE		25.8	MG
SODIUM LAURYL SULFATE	SOFT GELATIN	96	MG
SODIUM METABISULFITE		0.36	MG
SODIUM PHOSPHATE		36	MG
SODIUM PHOSPHATE, DIBASIC, ANHYDROUS	ENTERIC COATED PELLETS	2	MG
SODIUM PHOSPHATE, DIBASIC, ANHYDROUS	DELAYED RELEASE	4.8	MG
SODIUM PHOSPHATE, DIBASIC, ANHYDROUS		300	MG
SODIUM PHOSPHATE, DIBASIC, DIHYDRATE		0.9	MG
SODIUM PHOSPHATE, DIBASIC, DIHYDRATE	ENTERIC COATED PELLETS	0.9	MG
SODIUM PHOSPHATE, DIBASIC, DIHYDRATE	DELAYED ACTION	2	MG
SODIUM PHOSPHATE, DIBASIC, HEPTAHYDRATE	SUSTAINED ACTION	92	MG
SODIUM PHOSPHATE, DIBASIC, HEPTAHYDRATE		500	MG
SODIUM PHOSPHATE, MONOBASIC, MONOHYDRATE		109.5	MG
SODIUM PHOSPHATE, MONOBASIC, MONOHYDRATE		600	MG
SODIUM PROPIONATE		0.36	MG
SODIUM STARCH GLYCOLATE TYPE A CORN		6	MG
SODIUM STARCH GLYCOLATE TYPE A POTATO	SOFT GELATIN	7.75	MG
SODIUM STARCH GLYCOLATE TYPE A POTATO	DELAYED ACTION	15	MG
SODIUM STARCH GLYCOLATE TYPE A POTATO	DELAYED ACTION, COATED, HARD GELATIN	16	MG
SODIUM STARCH GLYCOLATE TYPE A POTATO	COATED PELLETS	16.71	MG
SODIUM STARCH GLYCOLATE TYPE A POTATO	ENTERIC COATED PELLETS	16.8	MG

(Continued)

Excipients			
SODIUM STARCH GLYCOLATE TYPE A POTATO	DELAYED RELEASE	18.3	MG
SODIUM STARCH GLYCOLATE TYPE A POTATO	SUSTAINED ACTION	18.6	MG
SODIUM STARCH GLYCOLATE TYPE A POTATO	HARD GELATIN	20	MG
SODIUM STARCH GLYCOLATE TYPE A POTATO	EXTENDED RELEASE	30	MG
SODIUM STARCH GLYCOLATE TYPE A POTATO		180	MG
SODIUM STARCH GLYCOLATE TYPE A POTATO		180	MG
SODIUM STARCH GLYCOLATE TYPE B POTATO		26.53	MG
SODIUM STEARYL FUMARATE	HARD GELATIN	2.7	MG
SODIUM STEARYL FUMARATE	DELAYED RELEASE	2.713	mg
SODIUM STEARYL FUMARATE	GELATIN COATED	3	MG
SODIUM STEARYL FUMARATE	DELAYED ACTION	4.92	MG
SODIUM STEARYL FUMARATE	EXTENDED RELEASE	7	MG
SODIUM STEARYL FUMARATE		15	MG
SODIUM THIOSULFATE		20	MG
SORBIC ACID		0.94	MG
SORBIC ACID	SUSTAINED ACTION	0.94	MG
SORBITAN MONOLAURATE		0.08	MG
SORBITAN MONOOLEATE	SUSTAINED ACTION	1.7	MG
SORBITAN MONOOLEATE	SOFT GELATIN LIQUID-FILLED	10	MG
SORBITAN MONOOLEATE		40	MG
SORBITAN MONOOLEATE	SOFT GELATIN	153.9	MG
SORBITOL	SOFT GELATIN	113.6	MG
SORBITOL		185.18	MG
SORBITOL SOLUTION	COATED, SOFT GELATIN	3.11	MG
SORBITOL SOLUTION	SOFT GELATIN	80	MG
SORBITOL SOLUTION		95	MG
SORBITOL SOLUTION	SOFT GELATIN LIQUID-FILLED	97	MG
SORBITOL SPECIAL POLYOL SOLUTION	SOFT GELATIN	28.39	MG
SORBITOL SPECIAL POLYOL SOLUTION		83.52	MG
SORBITOL-GLYCERIN BLEND		61.23	MG
SOYBEAN OIL	SOFT GELATIN LIQUID-FILLED	129.87	MG
SOYBEAN OIL	SOFT GELATIN	227	MG
SOYBEAN OIL	(IMMED./COMP. RELEASE), COATED, SOFT GELATIN	238.59	MG
SOYBEAN OIL		263	MG
STARCH	SOFT GELATIN	15.5	MG
STARCH	COATED, HARD GELATIN	25	MG
STARCH	HARD GELATIN	33.5	MG
STARCH	ENTERIC COATED PELLETS	36.4	MG
STARCH	DELAYED ACTION	43.5	MG
STARCH	SUSTAINED ACTION	65.2	MG
STARCH		605	MG
STARCH 7150		0.44	MG
STARCH 825		217	MG
STARCH 826		237	MG
STARCH, CORN	SUSTAINED ACTION	27	MG
STARCH, CORN	COATED, SOFT GELATIN	27.75	MG
STARCH, CORN	HARD GELATIN	34	MG
STARCH, CORN	DELAYED RELEASE	36	MG
STARCH, CORN	DELAYED ACTION	36.4	MG
STARCH, CORN		59	MG
STARCH, CORN	EXTENDED RELEASE	95.85	MG
STARCH, CORN	(IMMED./COMP. RELEASE)	110	MG
STARCH, CORN		243.24	MG
STARCH, CORN	HARD GELATIN	289.2	MG
STARCH, CORN		600	MG

(Continued)

Excipients			
STARCH, MODIFIED		23	MG
STARCH, PREGELATINIZED	EXTENDED RELEASE	6.56	MG
STARCH, PREGELATINIZED	COATED, SOFT GELATIN	15	MG
STARCH, PREGELATINIZED	(IMMED./COMP. RELEASE), HARD GELATIN	70	MG
STARCH, PREGELATINIZED	HARD GELATIN	128.75	MG
STARCH, PREGELATINIZED	SUSTAINED ACTION	141.75	MG
STARCH, PREGELATINIZED		452.79	MG
STARCH, TAPIOCA		100	MG
STARCH, WHEAT	SUSTAINED ACTION	0.75	MG
STEAR-O-WET M	HARD GELATIN	0.25	MG
STEAR-O-WET M	COATED, SOFT GELATIN	1.5	MG
STEAR-O-WET M	SUSTAINED ACTION	6	MG
STEAR-O-WET M		14	MG
STEARIC ACID	COATED, SOFT GELATIN	3	MG
STEARIC ACID	DELAYED ACTION	3	MG
STEARIC ACID	EXTENDED RELEASE	3.23	MG
STEARIC ACID	HARD GELATIN	15	MG
STEARIC ACID	SUSTAINED ACTION	21	MG
STEARIC ACID		52	MG
STEAROYL POLYOXYLGLYCERIDES		480	MG
STEARYL ALCOHOL	SUSTAINED ACTION	72	MG
SUCCINIC ACID		65	mg
SUCROSE	DELAYED RELEASE	48	MG
SUCROSE	ENTERIC COATED PELLETS	140.76	MG
SUCROSE	DELAYED ACTION	175.14	MG
SUCROSE	EXTENDED RELEASE	396.14	MG
SUCROSE	SUSTAINED ACTION	481.7	MG
SUCROSE		527.43	MG
SUCROSE	POWDER, ORAL SUSPENSION	3041	MG/5ML
SUCROSE LAURATE	GELATIN COATED	30	MG
SUCROSE STEARATE	EXTENDED RELEASE	31.84	MG
SUCROSE STEARATE	SUSTAINED ACTION	44.57	MG
SUCROSE TETRAISOSTEARATE		175.68	MG
SUGAR SPHERES	SUSTAINED ACTION, HARD GELATIN	100.3	MG
SUGAR SPHERES	FILM COATED, EXTENDED RELEASE	150	MG
SUGAR SPHERES	SUSTAINED ACTION	155.94	MG
SUGAR SPHERES	DELAYED RELEASE	160	MG
SUGAR SPHERES	COATED	218	MG
SUGAR SPHERES	ENTERIC COATED PELLETS	225.21	MG
SUGAR SPHERES	DELAYED ACTION	280.12	MG
SUGAR SPHERES		314.13	MG
SUGAR SPHERES	EXTENDED RELEASE	529.49	MG
SURELEASE E-7-7050	ENTERIC COATED PELLETS	28.33	MG
SURELEASE E-7-7050	COATED PELLETS	39.8	MG
SURELEASE E-719010 CLEAR		11.1	MG
SURELEASE E-719010 CLEAR	SUSTAINED ACTION	37.44	MG
SURELEASE E-719010 CLEAR	EXTENDED RELEASE	46.55	MG
TALC	SOFT GELATIN	0.1	MG
TALC	(IMMED./COMP. RELEASE)	0.44	MG
TALC	COATED PELLETS	0.66	MG
TALC	FILM COATED, EXTENDED RELEASE	6.824	MG
TALC	COATED, HARD GELATIN	10	MG
TALC	DELAYED ACTION, COATED, HARD GELATIN	14.93	MG
TALC	SUSTAINED ACTION, HARD GELATIN	16.7	MG
TALC	DELAYED ACTION, COATED	16.94	mg
TALC	COATED	28.45	MG

(Continued)

Excipients			
TALC	ENTERIC COATED PELLETS	28.9	MG
TALC	DELAYED RELEASE	46.63	MG
TALC	SUSTAINED ACTION	122.06	MG
TALC	DELAYED ACTION	130.13	MG
TALC	HARD GELATIN	139.17	MG
TALC	EXTENDED RELEASE	157.6	MG
TALC		284.38	MG
TALC 127	SUSTAINED ACTION	40.88	MG
TALC TRITURATE		1.92	MG
TARTARIC ACID		200	MG
TARTARIC ACID	SUSTAINED ACTION	215.1	MG
TARTARIC ACID	EXTENDED RELEASE	260	MG
TIMING SOLUTION CLEAR N-7	SUSTAINED ACTION	26.2	MG
TITANIUM DIOXIDE	COATED, SOFT GELATIN	0.8	MG
TITANIUM DIOXIDE	(IMMED./COMP. RELEASE), HARD GELATIN	0.96	MG
TITANIUM DIOXIDE	(IMMED./COMP. RELEASE)	0.97	MG
TITANIUM DIOXIDE	HARD GELATIN	1.62	MG
TITANIUM DIOXIDE	EXTENDED RELEASE	2.722	mg
TITANIUM DIOXIDE		2.93	MG
TITANIUM DIOXIDE	ENTERIC COATED PELLETS	4.4	MG
TITANIUM DIOXIDE	SUSTAINED ACTION	5.1	MG
TITANIUM DIOXIDE	(IMMED./COMP. RELEASE), SOFT GELATIN	5.15	MG
TITANIUM DIOXIDE	COATED	5.5224	MG
TITANIUM DIOXIDE	DELAYED ACTION, COATED	5.616	mg
TITANIUM DIOXIDE		5.681	mg
TITANIUM DIOXIDE	DELAYED RELEASE	8.53	MG
TITANIUM DIOXIDE	DELAYED ACTION	16.82	MG
TITANIUM DIOXIDE	SOFT GELATIN	17.93	MG
TOCOPHERSOLAN	SOFT GELATIN	282	MG
TOCOPHERSOLAN		300	MG
TOLUENE	SOFT GELATIN	0.2	MG
TRIACETIN	COATED PELLETS	1.21	MG
TRIACETIN	SUSTAINED ACTION	2.76	MG
TRIACETIN	ENTERIC COATED PELLETS	5.1	MG
TRIACETIN		6.42	MG
TRIACETIN	EXTENDED RELEASE	46.7	MG
TRIBASIC CALCIUM PHOSPHATE		11.01	MG
TRIBASIC CALCIUM PHOSPHATE	SUSTAINED ACTION	32.73	MG
TRIETHYL CITRATE	COATED PELLETS	0.59	MG
TRIETHYL CITRATE	FILM COATED, EXTENDED RELEASE	0.939	MG
TRIETHYL CITRATE	COATED	2.18	MG
TRIETHYL CITRATE	SUSTAINED ACTION, HARD GELATIN	3.3	MG
TRIETHYL CITRATE	HARD GELATIN	4	MG
TRIETHYL CITRATE	SUSTAINED ACTION	7.5	MG
TRIETHYL CITRATE		16	MG
TRIETHYL CITRATE	DELAYED RELEASE	16.7	MG
TRIETHYL CITRATE	DELAYED RELEASE	16.825	mg
TRIETHYL CITRATE	DELAYED ACTION	17.92	MG
TRIETHYL CITRATE	EXTENDED RELEASE	22.23	MG
TRIETHYL CITRATE	ENTERIC COATED PELLETS	45	MG
TRIGLYCERIDE, SYNTHETIC	SOFT GELATIN	160	MG
TROMETHAMINE	SOFT GELATIN	15	MG
URSODIOL		4.32	MG
VANILLIN		0.94	MG
VANILLIN	SUSTAINED ACTION	0.94	MG
VEGETABLE OIL		2	MG

(Continued)

Excipients			
VEGETABLE OIL, HYDROGENATED	SOFT GELATIN LIQUID-FILLED	2.09	MG
VEGETABLE OIL, HYDROGENATED	EXTENDED RELEASE	9	MG
VEGETABLE OIL, HYDROGENATED		82	MG
VEGETABLE OIL, HYDROGENATED	SOFT GELATIN	82.8	MG
VEGETABLE OIL, HYDROGENATED	HARD GELATIN	261	MG
VEGETABLE SHORTENING	SOFT GELATIN LIQUID-FILLED	8.34	MG
VEGETABLE SHORTENING		66.59	MG
WHITE WAX	SUSTAINED ACTION	15.3	MG
WHITE WAX		15.86	MG
WHITE WAX	SOFT GELATIN	18.36	MG
XANTHAN GUM	POWDER, ORAL SUSPENSION	3.75	MG/5ML
YELLOW WAX		2	MG
YELLOW WAX	SOFT GELATIN LIQUID-FILLED	2.09	MG
YELLOW WAX		10	MG
YELLOW WAX	SUSTAINED ACTION	15.79	MG
YELLOW WAX	SOFT GELATIN	16.8	MG
YELLOW WAX	EXTENDED RELEASE	80.67	MG
ZINC STEARATE		2.04	MG



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Appendix C

DISSOLUTION TESTING METHODS

In general, capsule dosage forms tend to float during dissolution testing with the paddle method. In such cases, it is recommended that a few turns of a wire helix around the capsule be used. The inclusion of enzymes in the dissolution media must be considered on case-by-case basis. A Gelatin Capsule Working Group (including participants from the FDA, industry, and the USP) was formed to assess the noncompliance of certain gelatin capsule products with the required dissolution specifications and the potential implications on bioavailability.

The working group recommended the addition of a second tier to the standard USP and new drug and abbreviated new drug applications (NDA/ANDA) dissolution tests: the incorporation of enzyme (pepsin with simulated gastric fluid and pancreatin with simulated intestinal fluid) into the dissolution medium. If the drug product fails the first tier but passes the second tier, the product's performance is acceptable. The two-tier dissolution test is appropriate for all gelatin capsule and gelatin-coated tablets and the phenomenon may have little significance in vivo.

Drug Name	Dosage Form	USP Apparatus	Speed (RPMs)	Medium	Volume (mL)	Recommended Sampling Times (minutes)	Date Updated
Acetaminophen/Butalbital/ Caffeine/Codeine Phosphate	Capsule	II (Paddle)	50	Water (deaerated)	1000	10, 20, 30, 45, and 60	05/15/2014
Acetaminophen/Caffeine/ Dihydrocodeine Bitartrate	Capsule	I (Basket)	100	Water	900	10, 20, 30, 45, and 60	01/03/2007
Acitretin	Capsule			Refer to USP			09/22/2011
Acrivastine/Pseudoephedrine HCl	Capsule	II (Paddle)	50	0.01 N HCl	900	5, 10, 15, and 30	01/12/2004
Acyclovir	Capsule			Refer to USP			01/05/2012
Alectinib HCl	Capsule	II (Paddle) with sinker	100	pH 1.2, Simulated Gastric Fluid without pepsin, containing 4 % Triton X-100 [polyoxyethylene[10]octylphenyl ether]	900	10, 20, 30, 45, 60, 75, and 90	03/17/2016
Altrexamine	Capsule			Refer to USP			01/29/2010
Alvimopan	Capsule	II (Paddle)	50	0.1 N HCl	900	5, 10, 15, 20, 30, and 45	10/21/2010
Amantadine HCl	Capsule			Refer to USP			12/23/2010
Amlodipine Besylate/ Benazepril HCl	Capsule	I (Basket)	100	0.01 N HCl	500	10, 20, 30, 45, and 60	06/20/2007
Amoxicillin	Capsule			Refer to USP			01/31/2013
Amphetamine ER	Capsule	II (Paddle)	50	750 ml of dilute HCl, pH 1.1 for the first 2 hours, then add 200 ml of 200 mM phosphate buffer, and adjust to pH 6 (w/ HCl or NaOH) for the remainder	750 ml of dilute HCl, 200 ml of phosphate buffer	1, 2, 3, 4, and 6 hours	08/17/2006
Amprenavir	Capsule	II (Paddle)	50	0.1 N HCl	900	10, 15, 30, and 45	02/19/2008
Anagrelide HCl	Capsule	I (Basket)	100	0.1 N HCl	900	5, 10, 15, 30, and 45	01/14/2004
Aprepitant	Capsule	II (Paddle)	100	2.2% sodium dodecyl sulfate in distilled water	900	10, 15, 20, 30, and 45	01/20/2006
Aspirin	Capsule			Refer to USP			05/28/2015
Aspirin/Butalbital/Caffeine	Capsule			Refer to USP			06/24/2010
Aspirin/Butalbital/Caffeine/ Codeine Phosphate	Capsule			Refer to USP			08/27/2009
Aspirin/Dipyridamole	Capsule	I (Basket)	100	0.01 N HCl for first hour, 0.1 M Phosphate Buffer, pH 5.5, thereafter	0-1 hrs: 900 mL, 900 mL thereafter	Acid: 10, 20, 30, 45, and 60 min; Buffer: 1, 2, 5, and 7 hours	10/09/2007
Atazanavir Sulfate	Capsule	II (Paddle)	50	0.025 N HCl	1000	10, 20, 30, and 45	01/20/2006
Atomoxetine HCl	Capsule	II (Paddle)	50	0.1 N HCl	1000	10, 20, 30, and 45	12/20/2005
Auranofin	Capsule	II (Paddle)	50	Water (deaerated)	900	10, 20, 30, and 45	01/15/2004
Azithromycin	Capsule			Refer to USP			12/22/2016
Balsalazide Disodium	Capsule	II (Paddle) with sinker	50	pH 6.8 buffer	900	10, 20, 30, and 45	01/26/2006
Benzonate	Capsule			Refer to USP			12/24/2015
Betrixaban	Capsule	II (Paddle) with sinker	75	0.01 N HCl, (with addition of pepsin if gelatin crosslinking is observed)	900	5, 10, 15, 20, and 30	11/16/2017

(Continued)

Drug Name	Dosage Form	USP Apparatus	Speed (RPMs)	Medium	Volume (mL)	Recommended Sampling Times (minutes)	Date Updated
Bexarotene	Capsule	II (Paddle)	50	Tier 1 Medium: 0.5% HDTMA in 0.05 M phosphate buffer, pH 7.5. Tier 2 Medium: 0.5% HDTMA in 0.05 M phosphate buffer, pH 7.5 with 0.05 g/L pancreatin enzyme	900	15, 30, 45, and 60	08/17/2006
Bismuth Subcitrate Potassium/Metronidazole/Tetracycline HCl	Capsule	II (Paddle)	75	Tetracycline and Metronidazole: 0.1 N HCl; Bismuth Subcitrate Potassium: Water	900	5, 15, 20, 30, and 45	10/06/2008
Boceprevir	Capsule	II (Paddle) with sinker	50	50 mM phosphate buffer, pH 6.8 with 0.1% sodium dodecyl sulfate	900	10, 20, 30, 45, 60, and 75	01/31/2013
Budesonide	Capsule	II (Paddle) with sinker	75	Acid stage: 0.1 N HCl; Buffer stage: Phosphate Buffer, pH 7.5	Acid stage: 1000; Buffer stage: 1000	Acid stage: 2 hours; Buffer stage: 0.25, 0.5, 1, 2, 4, 6, and 8 hours	02/25/2015
Cabozantinib S-Malate	Capsule	II (Paddle) with sinker	75	0.01 N HCl with 0.5% Triton X-100 (degassed)	900	5, 10, 15, 20, and 30	06/02/2016
Calcitriol	Capsule			Develop a quantitative rupture test			06/03/2008
Calcium Acetate	Capsule	II (Paddle)	50	Water	900	5, 10, 15, 20, and 30	07/21/2009
Cariprazine HCl	Capsule	I (Basket)	100	Sodium Acetate Buffer, pH 5.0 (degas)	500	5, 10, 15, 20, 30, and 45	06/30/2016
Cefaclor	Capsule			Refer to USP			03/03/2011
Cefadroxil	Capsule			Refer to USP			09/02/2010
Cefdinir	Capsule	II (Paddle)	50	Phosphate Buffer, pH 6.8	900	5, 10, 15, 30, and 45	07/25/2007
Cefixime	Capsule	I (Basket)	100	0.05 M Phosphate Buffer, pH 7.2	900	10, 20, 30, 45, and 60	08/15/2013
Celecoxib	Capsule	II (Paddle)	50 mg, 100 mg and 200 mg; 50 rpm; 400 mg; 75 rpm	Tier 1 Medium: 0.04 M tribasic sodium phosphate (pH 12) with 1% SLS. Tier 2 Initial Medium: 750 mL of simulated gastric fluid, USP (includes pepsin); At 20 minutes, while stirring, add 180 mL of appropriate concentrations of SLS solution (for a final concentration of 1% SLS). Add about 70 mL of 1.2 N NaOH to adjust the pH to 12.	Tier 1: 1000 mL Tier 2: 750 mL (initial) 1000 mL (final)	10, 20, 30, 45, and 60	07/01/2010
Cephalixin	Capsule			Refer to USP			04/02/2009
Ceritinib	Capsule	II (Paddle)	60	0.01 M HCl (degassed)	900		08/27/2015
Cevimeline HCl	Capsule	II (Paddle) with option to use a sinker	50	0.1 N HCl	900	5, 10, 15, and 30	01/26/2006
Chlordiazepoxide HCl/Clidinium Bromide	Capsule			Refer to USP (provide individual unit data).			03/02/2017
Cholic Acid	Capsule	II (Paddle) with sinker	100	Phosphate Buffer, pH 6.8	500 mL for 50 mg capsule; 900 mL for 250 mg capsule	5, 10, 15, 20, and 30	03/17/2016

(Continued)

Drug Name	Dosage Form	USP Apparatus	Speed (RPMs)	Medium	Volume (mL)	Recommended Sampling Times (minutes)	Date Updated
Citalopram Hydrobromide	Capsule	II (Paddle)	50	0.1 N HCl	900	10, 20, 30, and 45	10/06/2008
Clindamycin HCl	Capsule			Refer to USP			09/01/2011
Clomipramine HCl	Capsule			Refer to USP			08/27/2015
Colchicine	Capsule	II (Paddle)	75	Deionized Water with 2% Sodium Lauryl Sulfate (SLS)	500	5, 10, 15, 20, and 30	12/22/2016
Crizotinib	Capsule	I (Basket)	100	0.1N HCl (degassed)	900	5, 10, 15, 30, and 45	04/14/2016
Cycloserine	Capsule			Refer to USP			05/09/2013
Cyclosporine	Capsule			Refer to USP			03/17/2016
Cysteamine Bitartrate	Capsule	I (Basket)	75	0.1 N HCl	900	10, 20, 30, and 45	01/24/2004
Dabigatran Etexilate Mesylate	Capsule	I (Basket) for 75 strength: I (Basket) with modified diameter of 24.5 mm) for 150 mg strength: II (Paddle)	100	0.01 N HCl (pH 2.0)	900	10, 20, 30, and 45	09/22/2011
Dabrafenib Mesylate	Capsule	II (Paddle)	65	0.2% Cetyl trimethylammonium bromide (CTAB) in 0.1N HCl	900	10, 15, 20, 30, and 45	05/28/2015
Danazol	Capsule			Refer to USP			06/18/2007
Dantrolene Sodium	Capsule	I (Basket)	100	0.5% Hyamine 10X in water, adjust to pH 6.8 with 0.1 N KOH or 0.1 N HCl	900	10, 20, 30, 40, and 60	01/27/2004
Demeclocycline HCl	Capsule			Refer to USP			07/25/2007
Dextromethorphan Sulfate	Capsule	I (Basket)	100	pH 1.2, Simulated Gastric Fluid without enzyme	900	5, 10, 15, 20, and 30	01/05/2012
Diclofenac	Capsule	I (Basket)	100	10 mM Citrate Buffer pH 5.5 with 0.05% Sodium Dodecyl Sulfate (SDS)	900	5, 10, 20, 30, and 45	06/25/2015
Diclofenac Potassium	Capsule	II (Paddle)	50	50 mM Phosphate buffer pH 6.8	900	10, 15, 20, 30, and 45	10/21/2010
Dicloxacillin Sodium	Capsule			Refer to USP			06/18/2007
Diphenhydramine HCl/Ibuprofen	Capsule	I (Basket)	100	200 mM Phosphate Buffer, pH 7.2	900	10, 20, 30, and 45	01/14/2008
Disopyramide Phosphate	Capsule			Refer to USP			09/03/2008
Doterilide	Capsule	I (Basket)	100	0.001 M HCL	900	10, 15, 30, and 45	01/20/2006
Doxepin HCl	Capsule			Refer to USP			08/05/2010
Doxercalciferol	Capsule			Develop a quantitative rupture test			06/03/2008
Doxycycline Hyclate	Capsule			Refer to USP			07/14/2008
Dronabinol	Capsule	II (Paddle)	100 and 150	10% Labrasol in Water; (In addition, the USP capsule rupture test should also be conducted)	500	5, 10, 15, 30, 45, 60, and until at least 80% of the labeled content is released	01/31/2007
Droxidopa	Capsule	I (Basket)	100	0.1N HCl	900	5, 10, 15, 20, and 30	05/28/2015

(Continued)

Drug Name	Dosage Form	USP Apparatus	Speed (RPMs)	Medium	Volume (mL)	Recommended Sampling Times (minutes)	Date Updated
Dutasteride/Tamsulosin HCl	Capsule	Dutasteride: II (Paddle) with sinker. Tamsulosin: II (Paddle)	Dutasteride: 75 Tamsulosin: 50	Dutasteride: Tier I: Dissolution Medium: 1% w/v cetyltrimethylammonium bromide (CTAB) in 0.1 N HCl. Tier II: Dissolution Medium: 1% w/v CTAB in 0.1 N HCl with 0.16% w/v pepsin. Tamsulosin: Acid Stage (0-2 hrs): 0.1 N HCl. Buffer stage: Add 250 mL of 0.2 M Sodium Phosphate Tribasic, Dodecahydrate pH 6.8 1% Sodium Lauryl Sulfate in water	Dutasteride: 900. Tamsulosin: Acid stage: 750; Buffer stage: 1000	Dutasteride: 15, 30, 45, and 60 minutes. Tamsulosin: Acid Stage: 2 hours Buffer stage: 0.5, 1, 2, 3, 5, 7, and 10 hours	01/26/2012
Efavirenz	Capsule	II (Paddle) A sinker may be used with justification if necessary.	50		900	15, 30, 45, and 60	03/22/2006
Eliglustat Tartrate	Capsule	II (Paddle) with sinker	75	0.1 N HCl	900	10, 15, 20, 30, and 45	05/28/2015
Emtricitabine	Capsule	II (Paddle)	50	Tier 1: 0.1 N HCl containing Pepsin 750,000 USP units/L. Tier 2 is used after failure of Tier 1 testing	900	10, 20, 30, and 45	12/16/2005
Enzalutamide	Capsule	II (Paddle) with sinker	50	Tier 1 Medium: 0.3% cetyl trimethyl ammonium bromide (CTAB) in 0.1 N HCl; Tier 2 Medium: 0.3% CTAB in 0.1 N HCl containing Pepsin 600,000 USP units/L.	900	10, 15, 20, 30, and 45	05/28/2015
Ergocalciferol	Capsule	II (Paddle)	100	0.5 N NaOH with 10% Triton-X-100	500	15, 30, 45, 60, and 90	08/05/2010
Escitalopram Oxalate	Capsule	II (Paddle)	50	0.1 N HCl	900	10, 20, 30, and 45	10/06/2008
Estramustine Phosphate Sodium	Capsule	I (Basket)	100	Water	900	10, 20, 30, and 45	07/15/2009
Ethinyl Estradiol/Norethindrone Acetate	Capsule	II (Paddle)	100	Phosphate Buffer, pH 6.8, containing 0.07% w/v Triton X 100	900	5, 10, 20, 30, 45, 60, and 75	02/15/2018
Ethosuximide	Capsule			Refer to USP			04/15/2008
Etoposide	Capsule			Refer to USP			06/24/2010
Fenofibrate	Capsule	II (Paddle)	75	Phosphate Buffer w/ 2% Tween 80 and 0.1% pancreatin, pH 6.8	900	15, 30, 45, 60, 90, and 120	02/19/2008
Fenoprofen Calcium	Capsule			Refer to USP			11/25/2008
Fexofenadine HCl	Capsule	II (Paddle)	50	Water (deaired)	900	10, 20, 30, 45, and 60	01/29/2004
Fingolimod	Capsule	I (Basket)	100	0.1 N HCl with 0.2% SDS (sodium dodecyl sulfate)	500	5, 10, 15, 20, and 30	08/15/2013
Flucytosine	Capsule			Refer to USP			06/24/2010
Fluoxetine HCl	Capsule			Refer to USP			09/02/2010
Fluoxetine/Olanzapine	Capsule	II (Paddle)	50	0.1 N HCl	900	10, 20, 30, and 45	08/17/2006
Flutamide	Capsule			Refer to USP			01/31/2013
Fluvastatin Sodium	Capsule			Refer to USP			01/14/2008
Gabapentin	Capsule			Refer to USP			06/03/2008
Ganciclovir	Capsule	II (Paddle)	60	Water (deaired)	900	10, 20, 30, 45, and 60	02/02/2004

(Continued)

Drug Name	Dosage Form	USP Apparatus	Speed (RPMs)	Medium	Volume (mL)	Recommended Sampling Times (minutes)	Date Updated
Hydrochlorothiazide	Capsule	I (Basket)	100	0.1 N HCl	900	10, 20, 30, and 45	02/03/2004
Hydrochlorothiazide/ Triamterene	Capsule			Refer to USP			10/06/2008
Hydroxyurea	Capsule			Refer to USP			09/03/2008
Hydroxyzone Pamoate	Capsule			Refer to USP			04/02/2009
Ibrutinib	Capsule	II (Paddle)	75	3.0% w/v Polysorbate 20 in 50 mM Phosphate Buffer, pH 6.8	900	5, 10, 20, 30, and 45	06/25/2015
Ibuprofen Sodium	Capsule	I (Basket)	150	Phosphate Buffer, pH 7.2	900	5, 10, 15, 20, and 30	06/25/2015
Ibuprofen/Diphenhydramine	Capsule	I (Basket)	100	Phosphate Buffer (200 mM), pH 7.2	900	10, 20, 30, and 45	01/03/2007
Ibuprofen/Pseudoephedrine HCl	Capsule	I (Basket)	150	Tier 1: 0.05 M phosphate buffer, pH 7.2 Tier 2: 0.05 M phosphate buffer, pH 7.2 with NMT 1750 USP protease units/L of I X USP pancreatin	900	10, 20, 30, and 45	03/04/2006
Icosapent Ethyl	Capsule			Develop an in vitro release method using USP IV (Flow-Through Cell), and, if applicable, Apparatus II (Paddle) or any other appropriate pharmacopoeial apparatus, for comparative evaluation by the Agency.			08/15/2013
Imipramine Pamoate	Capsule	I (Basket)	100	0.1 N HCl without pepsin and with 0.3% pepsin (addition of pepsin is recommended only when significant slow dissolution is observed)	900	30, 60, 90, 120, 150, and 180	01/14/2008
Indinavir Sulfate	Capsule	II (Paddle)	50	0.1 M Citrate Buffer, pH 3.8	900	10, 15, 20, and 30	02/04/2004
Indomethacin (40 mg and 20 mg)	Capsule	I (Basket)	100	10 mM citric acid buffer, pH 5.75	750	5, 10, 15, 20, and 30	05/28/2015
Indomethacin (50 and 25 mg)	Capsule			Refer to USP			05/28/2015
Isavuconazonium Sulfate	Capsule	II (Paddle) with sinker	75	Diluted McIlvaine Buffer [12.5 mM disodium hydrogen phosphate solution +6.25 mM citric acid solution] + 0.5 % Sodium Lauryl sulfate (SLS)	900	10, 20, 30, 45, 60, 75, and 90	03/17/2016
Isotretinoin	Capsule	I (Basket, with 20 mesh)	100	0.05 M Potassium Phosphate Buffer, dibasic, pH 7.8, containing 0.5% solid LDAO	900	15, 30, 45, 60, and 90	10/06/2008
Isradipine	Capsule	II (Paddle)	50	0.1% Lauryl Dimethylamine Oxide (LDAO) in water	500	10, 20, 30, 45, and 60	02/25/2004
Itraconazole	Capsule	II (Paddle)	100	SGF without Enzyme	900	10, 20, 30, 45, 60, and 90	02/04/2004
Ixazomib Citrate	Capsule	I (Basket)	100	0.1 N HCl	500	5, 10, 15, 20, and 30	10/20/2016
Ketoprofen	Capsule	II (Paddle)	50	0.05 M Phosphate Buffer pH 7.4	1000	10, 20, 30, and 45	07/25/2007
Lenalidomide	Capsule	II (Paddle)	50	0.01 N HCl	900	10, 15, 20, 30, and 45	04/15/2008
Lenvatinib Mesylate	Capsule	II (Paddle)	50	0.1 N HCl	900	5, 10, 15, 20, and 30	03/17/2016
Linaclootide	Capsule	I (Basket)	50	50 mM Phosphate Buffer, pH 4.5	500	5, 10, 15, 20, and 30	12/24/2015
Lisdexamfetamine Dimesylate	Capsule	II (Paddle)	50	0.1 N HCl	900	5, 10, 15, and 20	10/06/2008
Lithium Carbonate	Capsule			Refer to USP			07/25/2007

(Continued)

Drug Name	Dosage Form	USP Apparatus	Speed (RPMs)	Medium	Volume (mL)	Recommended Sampling Times (minutes)	Date Updated
Lomitapide Mesylate	Capsule	II (Paddle)	50	0.001 N HCl with 0.1% Polysorbate 80	500 mL (5 mg strength); 1000 mL (10 and 20 mg strength)	5, 10, 20, 30, and 45	06/25/2015
Lomustine	Capsule			Develop a dissolution method			12/24/2015
Loperamide HCl	Capsule			Refer to USP			06/25/2015
Mefenamic Acid	Capsule			Refer to USP			12/15/2009
Meloxicam	Capsule	I (Basket)	100	Phosphate Buffer, pH 6.1 with 0.1% Sodium Lauryl Sulfate (SLS)	500 mL (for 5 mg); 1000 mL (for 10 mg)	5, 10, 15, 20, and 30	06/30/2016
Methoxsalen	Capsule			Refer to USP			03/25/2010
Methyltestosterone	Capsule			Refer to USP			07/31/2013
Metronidazole	Capsule	I (Basket)	100	0.1 N HCl	900	10, 20, 30, and 45	02/09/2004
Metronidazole	Capsule			Refer to USP			12/24/2015
Metyrosine	Capsule			Refer to USP			02/15/2018
Midostaurin	Capsule	II (Paddle)	50	Tier 1: Detonized water with 0.5% Polysorbate 20; Tier 2: Detonized water with 0.5% Polysorbate 20 containing pepsin	900	5, 10, 15, 20, 30, and 45	11/02/2017
Miglustat	Capsule	I (Basket)	100	0.1 N HCl	1000	10, 20, 30, and 45	01/03/2007
Miltefosine	Capsule	II (Paddle)	50	0.1 N HCl	750	5, 10, 15, 20, and 30	03/17/2016
Minocycline HCl	Capsule			Refer to USP			04/15/2008
Mycophenolate Mofetil	Capsule	II (Paddle)	40	0.1 N HCl	900	5, 10, 20, and 30	02/10/2004
Nabilone	Capsule	II (Paddle)	50	0.1% Tween 80 solution	1000	15, 30, 45, and 60	07/14/2008
Naproxen Sodium	Capsule	II (Paddle)	75	Sodium Phosphate Buffer, pH 7.4 ± 0.05	900	10, 15, 20, 30, and 45	05/28/2015
Netupitant/Palonosetron HCl	Capsule	II (Paddle)	Netupitant: 100; Palonosetron: 75	Netupitant: 0.07M Phosphate buffer pH 6.8 containing 1% sodium SDS ; Palonosetron: 0.01 N HCl	Netupitant: 900; Palonosetron: 500	Netupitant: 10, 20, 30, 45, 60, and 75; Palonosetron: 5, 10, 15, 20, 30, and 45	09/03/2015
Nicardipine HCl	Capsule	II (Paddle)	50	0.033 M Citric Acid Buffer, pH 4.5	900	10, 20, 30, and 45	02/11/2004
Nifedipine	Capsule			Refer to USP			03/03/2011
Nilotinib Hydrochloride Monohydrate	Capsule	I (Basket)	100	0.1 N HCl	1000	10, 15, 30, and 45	10/30/2009
Nimodipine	Capsule	II (Paddle)	50	0.5% SDS in water	900	10, 20, 30, and 45	04/09/2007
Nintedanib Esylate	Capsule	II (Paddle) with sinker	100	0.1 N HCl	900	10, 15, 20, 30, 45, and 60	09/03/2015
Niraparib	Capsule	II (Paddle) with sinker	50	Tier 1: 0.08M Sodium Acetate Buffer, pH 4.0; Tier 2: 0.08M Sodium Acetate Buffer, pH 4.0 containing pepsin (750,000 units/L)	900	10, 15, 20, 30, 45, and 60	11/02/2017
Nitisinone	Capsule	II (Paddle) with sinker	50	Phosphate Buffer, pH 6.8	1000	5, 10, 15, 20, 30, and 45	11/02/2017
Nitrofurantoin	Capsule			Refer to USP			04/02/2009

(Continued)

Drug Name	Dosage Form	USP Apparatus	Speed (RPMs)	Medium	Volume (mL)	Recommended Sampling Times (minutes)	Date Updated
Nizatidine	Capsule			Refer to USP			01/14/2008
Nortriptyline HCl	Capsule			Refer to USP			09/03/2015
Olaparib	Capsule	II (Paddle)	100	1% Polysorbate 80 in Water	1000	10, 20, 30, 45, and 60	06/02/2016
Olsalazine Sodium	Capsule	I (Basket)	100	Phosphate Buffer, pH 7.5	900	10, 20, 30, and 45	02/12/2004
Omega-3-Acid Ethyl Esters	Capsule			Develop an in vitro release method using USP IV (Flow-Through Cell), and, if applicable, Apparatus II (Paddle) or any other appropriate pharmacopoeial apparatus, for comparative evaluation by the Agency.			03/17/2016
Omeprazole Sodium Bicarbonate	Capsule	II (Paddle)	75	Phosphate Buffer, pH 7.4	900	15, 30, 45, and 60	07/14/2008
Orlistat	Capsule	II (Paddle)	75	3% SLS in 0.5% Sodium Chloride, pH 6.0	900	10, 20, 30, 45, and 60	02/12/2004
Osetamivir Phosphate	Capsule	II (Paddle)	50	0.1 N HCl	900	5, 10, 20, and 30	01/03/2007
Oxycodone HCl	Capsule	II (Paddle)	50	0.1 N HCl	500	5, 10, 15, 20, and 30	05/28/2015
Palbociclib	Capsule	II (Paddle) with sinker	50	0.1 N HCl	900	10, 15, 20, 30, and 45	03/17/2016
Palonosetron HCl	Capsule	II (Paddle)	75	0.1 N HCl	500	10, 15, 30, 45, and 60	08/05/2010
Panobinostat Lactate	Capsule	I (Basket)	100	0.01 N HCl	900	5, 10, 15, 20, and 30	10/20/2016
Paricalcitol	Capsule	I (Basket)	100	4 mg/mL (0.4%) Lauryldimethylamine N-oxide (LDAO)	500	20, 30, 45, 60	06/18/2007
Paromomycin Sulfate	Capsule	I (Basket)	50	0.05 M Phosphate Buffer, pH 6.8	900	5, 10, 15, 20, 30, and 45	02/13/2004
Paroxetine Mesylate	Capsule	II (Paddle) with wire sinker	75	Simulated Gastric Fluid without enzyme, pH 1.2+0.05	900	5, 10, 15, 20, and 30	02/14/2014
Penicillamine	Capsule			Refer to USP			09/03/2008
Pentosan Polysulfate Sodium	Capsule	I (Basket)	50	Water	900	5, 15, 30, 45, and 60	04/15/2008
Phendimetrazine Tartrate	Capsule			Refer to USP			06/10/2009
Phenoxybenzamine HCl	Capsule			Refer to USP			04/10/2008
Phentermine HCl	Capsule			Refer to USP (provide individual unit data).			12/24/2015
Phenytoin Sodium	Capsule			Refer to USP			06/18/2007
Pirfenidone	Capsule	II (Paddle) with sinker	50	Water (Deionized)	1000	5, 10, 15, 20, 30, and 45	09/03/2015
Piroxicam	Capsule			Refer to USP			10/04/2012
Pomalidomide	Capsule	II (Paddle)	50	0.1 N HCl	900	10, 15, 20, 30, and 45	05/28/2015
Pregabalin	Capsule	II (Paddle) with sinker	50	0.06 N HCl	900	10, 20, 30, and 45	01/15/2015
Progesterone	Capsule			Develop a quantitative rupture test			04/08/2010
Quinine Sulfate	Capsule			Refer to USP			01/14/2008
Ramipril	Capsule	II (Paddle)	50	0.1 N HCl	500	10, 20, 30, and 45	02/18/2004
Ranitidine HCl	Capsule	II (Paddle)	50	Water (de-aerated)	900	10, 20, 30, and 45	02/18/2004
Ribavirin	Capsule	I (Basket)	100	Water (de-aerated)	900	10, 20, 30, and 45	02/18/2004
Rifabutin	Capsule			Refer to USP			12/15/2009
Rifampin	Capsule			Refer to USP			06/18/2007
Ritonavir	Capsule			Refer to USP			01/15/2015
Rivastigmine Tartrate	Capsule	II (Paddle)	50	Water (de-aerated)	500	10, 20, 30, and 45	01/03/2007

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Drug Name	Dosage Form	USP Apparatus	Speed (RPMs)	Medium	Volume (mL)	Recommended Sampling Times (minutes)	Date Updated
Saquinavir Mesylate	Capsule			Refer to USP			09/13/2007
Selegiline HCl	Capsule			Refer to USP			01/15/2015
Sevelamer HCl	Capsule			Disintegration Testing in 0.1 N HCl as per USP <701>			04/09/2008
Sibutramine HCl	Capsule	II (Paddle)	50	0.05 M Acetate Buffer, pH 4.0	500	10, 20, 30, 45, and 60	02/25/2004
Sildenafil	Capsule	II (Paddle) with sinker	50	0.1 N HCl	900	5, 10, 15, 20, and 30	06/07/2012
Simeprevir Sodium	Capsule	II (Paddle)	75	0.05 M Phosphate Buffer, pH 6.8 with 1.0% polysorbate 20	900	10, 15, 20, 30, and 45	05/28/2015
Sodium Iodide I-123	Capsule	I (Basket)	100	Water (deaerated)	500	5, 10, 15, and 30	07/14/2008
Sonidegib Phosphate	Capsule	II (Paddle) with sinker	75	Tier I: 0.1 M HCl with 1.0% SDS; Tier II: Stage 1: 850 mL of 0.1 N HCl solution with pepsin [250'000 units/L]. Stage 2: After 10 minutes add 50 mL of 18% SDS in 0.1 N HCl	Tier 1: 900; Tier 2: Stage 1: 850 mL, Stage 2: 900 mL	15, 30, 45, 60, and 90 minutes	03/17/2016
Stavudine	Capsule			Refer to USP			06/18/2007
Succimer	Capsule	II (Paddle)	50	0.01 N Phosphoric Acid	900	10, 20, 30, 45, 60, and 90	02/20/2004
Sunitinib Malate	Capsule	II (Paddle)	50	0.1 N HCl	900	10, 15, 30, and 45	10/30/2009
Tacrolimus	Capsule	II (Paddle)	50	Hydroxypropyl Cellulose Solution (1 in 20,000). Adjust to pH 4.5 by Phosphoric Acid	900	30, 60, 90, and 120	02/20/2004
Tamsulosin HCl	Capsule	II (Paddle)	100	0-2 hours: 0.003% polysorbate 80, pH 1.2 2-8 hours: phosphate buffer, pH 7.2	500	1, 2, 3, 6, 8, and 10 hours	03/26/2007
Tasimelteon	Capsule	II (Paddle) with sinker	50	0.1 N HCl	500	5, 10, 15, 20, and 30	09/03/2015
Temazepam	Capsule			Refer to USP			01/14/2008
Temozolomide	Capsule	I (Basket)	100	Water	500 (for 5 mg); 900 ml (for other strengths)	10, 20, 30, and 45	08/11/2008
Terazosin HCl	Capsule	II (Paddle)	50	Water (deaerated)	900	10, 20, 30, 45, 60, and 90	02/20/2004
Tetracycline HCl	Capsule			Refer to USP			06/24/2010
Thalidomide	Capsule	II (Paddle)	100	1.5% (w/v) SLS (pH 3.0, adj w/ HCl)	900	10, 20, 30, 60, and 90	03/04/2006
Tipranavir	Capsule	II (Paddle)	50	0.05 M phosphate buffer pH 6.8	900	15, 30, 45, and 60	12/03/2007
Tizanidine HCl	Capsule	II (Paddle)	50	0.01 N HCl	500	5, 10, 15, and 30	02/20/2004
Topotecan HCl	Capsule	II (Paddle)	50	Acetate Buffer with 0.15% SDS, pH 4.5	500	5, 10, 20, 30, and 45	04/27/2009
Tretinoin	Capsule	I (Basket)	100	0.5% solid Lauryldimethylamine-oxide (LDAO) in 0.05M Phosphate Buffer, pH 7.8	900	10, 15, 20, 30, and 45	08/05/2010
Triamterene	Capsule			Refer to USP			06/18/2007
Trintine HCl	Capsule			Refer to USP			07/31/2013
Trimipramine Maleate	Capsule	I (Basket)	100	Water (deaerated)	1000	10, 20, 30, 45, 60, and 90	03/04/2006

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Drug Name	Dosage Form	USP Apparatus	Speed (RPMs)	Medium	Volume (mL)	Recommended Sampling Times (minutes)	Date Updated
Valbenazine	Capsule	II (Paddle) with sinker	50	Tier I: 0.1N HCl; Tier 2: 0.1N HCl containing pepsin (750,000 units per 1000 mL)	900	5, 10, 15, 20, and 30	11/02/2017
Valproic Acid	Capsule			Refer to USP			12/15/2009
Valsartan	Capsule	II (Paddle)	50	0.067 M Phosphate Buffer, pH 6.8	1000	10, 20, 30, and 45	12/13/2004
Vancomycin hydrochloride	Capsule			Refer to USP			01/14/2008
Vismodegib	Capsule	II (Paddle)	75	0.01 N HCl with 1.0% sodium lauryl sulfate (SLS)	900	10, 15, 20, 30, and 45	05/28/2015
Vorinostat	Capsule	II (Paddle) with sinker	100	2% Tween 80 in Water	900	5, 15, 30, 45, and 60	09/03/2008
Zaleplon	Capsule	II (Paddle)	75	Deionized Water	900	5, 10, 20, and 30	01/03/2007
Zidovudine	Capsule			Refer to USP			06/18/2007
Zinc Acetate	Capsule	II (Paddle)	50	0.1 N HCl	900	10, 20, 30, and 45	02/19/2004
Ziprasidone HCl	Capsule	II (Paddle)	75	Tier I: 0.05 M Na phosphate buffer, pH 7.5 + 2% SDS (w/w) Tier II: 0.05 M Na phosphate buffer, pH 7.5 (700ml) + 1% pancreatin. After 15 min. incubation, add 200 mL of phosphate buffer containing 9% SDS	900	10, 20, 30, 45, and 60	03/04/2006
Zonisamide	Capsule	II (Paddle)	50	Water (deareated)	900	10, 20, 30, and 45	01/03/2007
Dimethyl Fumarate	Capsule (Delayed Release)	II (Paddle)	100	Acid stage: 0.1 N HCl; Buffer stage: pH 6.8 Phosphate Buffer	Acid stage: 500; Buffer stage: 500	Acid stage: 2 hours; Buffer stage: 5, 10, 20, 30, and 45	06/02/2016
Didanosine	Capsule (Delayed Release Pellets)	I (Basket)	100	Acid stage: 0.1 N HCl; Buffer stage: 0.1 N HCl; 0.2M Tribasic Sodium Phosphate (3:1), pH 6.8	1000	Acid stage: 60, 90 and 120; Buffer stage: 10, 20, 30, 45, and 60	01/26/2004
Esomeprazole Magnesium	Capsule (Delayed Release Pellets)			Refer to USP			08/27/2015
Choline Fenofibrate	Capsule (Delayed Release)	II (Paddle)	50	Acid Stage: 0.05M Sodium Phosphate, pH 3.5 ± 0.05; Buffer Stage: 0.05 M Sodium Phosphate, pH 6.8 ± 0.05	Acid stage: 500; Buffer stage: 900	Acid stage: 120; Buffer stage: 15, 30, 60, 90, 120, 240, and 360	07/01/2010
Cysteamine Bitartrate	Capsule (Delayed Release)	I (Basket)	75	Acid stage: 0.1N HCl; Buffer stage: 0.05 M Sodium Phosphate buffer, pH 6.8	Acid stage: 1000; Buffer stage: 1000	Acid stage: 2 hours; Buffer stage: 5, 10, 15, 20, and 30 minutes	08/27/2015
Dexlansoprazole	Capsule (Delayed Release)	I (Basket)	100	Acid Stage: 0.1 N HCl, Buffer Stage: pH 7.0 Phosphate Buffer with 5 mM SLS	Acid Stage: 500; Buffer stage: 900	Acid Stage: 120; Buffer Stage: 10, 20, 40, 50, 60, 75, 105, and 120	08/05/2010
Divalproex Sodium	Capsule (Delayed Release)			Refer to USP			06/30/2016

(Continued)

Drug Name	Dosage Form	USP Apparatus	Speed (RPMs)	Medium	Volume (mL)	Recommended Sampling Times (minutes)	Date Updated
Doxycycline	Capsule (Delayed Release)	II (Paddle)	75	Dilute HCl, pH 1.1 for 2 hours and then add 200 mL of 0.1 N NaOH in 200 mL Phosphate Buffer. Adjust pH to 6.0 using 2 N HCl and/or 2N NaOH Refer to USP	Acid stage: 750; Buffer stage: 950	1, 2, 2.5, 3, and 4 hours	10/06/2008
Duloxetine HCl	Capsule (Delayed Release)			Refer to USP			03/17/2016
Lansoprazole	Capsule (Delayed Release)			Refer to USP			11/04/2008
Mesalamine	Capsule (Delayed Release)	II (Paddle)	Phase 1 & 2: 100 rpm; Phase 3: 50 rpm	Phase 1: 0.1N HCl (degas); Phase 2: pH 6.0 Buffer (degas); Phase 3: pH 7.2 Buffer	Phase 1: 500; Phase 2: 900; Phase 3: 900	Phase 1: 120; Phase 2: 60; Phase 3: 20, 30, 45, 60, 75, 90, and 120	06/30/2016
Omeprazole	Capsule (Delayed Release)			Refer to USP			06/18/2007
Pancrelipase	Capsule (Delayed Release)			Refer to USP			03/17/2016
Rabeprazole Sodium	Capsule (Delayed Release)	II (Paddle)	Acid Stage: 75 rpm; Buffer Stage: 60 rpm	Acid Stage: 0.1 N HCl; Buffer Stage: 0.05 M Phosphate Buffer, pH 6.8 (After Acid Stage, add 250 mL of 0.2 mol/L trisodium phosphate solution).	Acid Stage: 750; Buffer Stage: 1000	Acid Stage: 120; Buffer Stage: 10, 15, 20, 25, 30, and 45	05/15/2014
Acetazolamide	Capsule (Extended Release)	II (Paddle)	75	Acetate Buffer, pH 4.5 with 2.2% Tween 20	900	1, 2, 5, 7, 9, 12, and 14 hours	01/15/2010
Amphetamine Aspartate/ Amphetamine Sulfate/ Dextroamphetamine Saccharate/ Dextroamphetamine Sulfate	Capsule (Extended Release)	II (Paddle)	50	Dilute HCl, pH 1.1 for first 2 hrs, then add 200 mL of 200 mM Phosphate Buffer and adjust to pH 6.0 for the remainder	0-2 hrs: 750 mL. After 2 hrs: 950 mL	0.5, 1, 2, 3, and 4 hours	07/25/2007
Amphetamine Aspartate/ Amphetamine Sulfate/ Dextroamphetamine Saccharate/ Dextroamphetamine Sulfate [12.5, 25, 37.5, 50 mg]	Capsule (Extended Release)	II (Paddle)	50	Media 1: pH 1.1±0.1, Dilute HCl 2 hours; Media 2: pH 6.0±0.1, Phosphate Buffer 3rd hour; Media 3: pH 7.5±0.1, Phosphate Buffer for the remainder	Media 1: 750 mL; Media 2: 950 mL; Media 3: 1000 mL	0.5, 1, 2, 3, 4, 6, 8, 10, and 12 hours	11/16/2017
Aspirin	Capsule (Extended Release)	II (Paddle) with sinker	100	0.05M Potassium Phosphate Buffer (pH 7.4) with trypsin (.001%w/v) and sodium azide (.025% w/v)	900	1, 2, 3, 6, 9, 12, 16, 22, and 30 hours	10/20/2016
Calcifediol	Capsule (Extended Release)	II (Paddle) with sinker	75	0.5% SDS in 5 mM Sodium Dihydrogenphosphate Monohydrate, pH 6.8	500	1, 2, 4, 6, 8, 10, and 12 hours	10/20/2016
Carbamazepine	Capsule (Extended Release)	II (Paddle)	75	First 4 hours: Dilute Acid, pH 1.1. After 4 hours: Phosphate Buffer, pH 7.5 with 0.1% sodium lauryl sulfate (SLS).	First 4 h: 900. After 4 h: 900	1, 2, 4, 6, 8, 10, and 12 hours	09/01/2011

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Drug Name	Dosage Form	USP Apparatus	Speed (RPMs)	Medium	Volume (mL)	Recommended Sampling Times (minutes)	Date Updated
Carbidopa/Levodopa	Capsule (Extended Release)	I (Basket)	75	Acid stage: Simulated Gastric Fluid [SGF] without enzyme; Buffer stage: pH 7.0, 50 mM Phosphate Buffer	Acid stage: 500 mL [for 23.75/95 mg strength], 900 mL [other strengths]; Buffer stage: 500 mL [for 23.75/95 mg strength], 900 mL [other strengths]	Acid stage: 30, 60, and 120 minutes; Buffer stage: 15, 30, 60, 90, 120, 180, and 240 minutes	04/07/2016
Carvedilol Phosphate	Capsule (Extended Release)	II (Paddle)	100	0.1 N HCl	900	1, 2, 4, 6, 8, 12, 18, and 24 hours	10/31/2013
Carvedilol Phosphate	Capsule (Extended Release)	II (Paddle)	100	0.1 N HCl	900	1, 4, 8, 12, 18, and 24 hours	04/02/2009
Chlorpheniramine Polistirex/ Hydrocodone Polistirex	Capsule (Extended Release)	II (Paddle)	50	Simulated Intestinal Fluid without enzyme	500	1, 4, 12, and 24 hours	11/25/2008
Cyclobenzaprine	Capsule (Extended Release)			Refer to USP			10/20/2016
Dexamethylphenidate HCl	Capsule (Extended Release)	I (Basket)	100	First 2 hours: 0.01 N HCl, Hours 2-10: Phosphate Buffer, pH 6.8	Acid: 500, Buffer: 500	0.5, 1, 2, 4, 6, and 10 hours	01/14/2008
Dextroamphetamine Sulfate	Capsule (Extended Release)	I (Basket)	100	0.1 N HCl	500	1, 4, 8, and 12 hours	11/25/2008
Diltiazem HCl (AB2)	Capsule (Extended Release)			Refer to USP			02/19/2008
Diltiazem HCl (AB3)	Capsule (Extended Release)			Refer to USP			02/19/2008
Diltiazem HCl (AB4)	Capsule (Extended Release)			Refer to USP			02/19/2008
Disopyramide Phosphate	Capsule (Extended Release)			Refer to USP			11/04/2008
Donepezil HCl/Memantine HCl	Capsule (Extended Release)	I (Basket)	100	pH 1.2 NaCl/HCl buffer	900	Donepezil: 5, 10, 15, 20, and 30 minutes; Memantine: 1, 2, 3, 4, 6, 8, 10, and 12 hours	05/28/2015

(Continued)

Drug Name	Dosage Form	USP Apparatus	Speed (RPMs)	Medium	Volume (mL)	Recommended Sampling Times (minutes)	Date Updated
Fluoxetine Maleate	Capsule (Extended Release)	II (Paddle)	50	Phosphate Buffer, pH 6.8	900	1, 2, 4, 6, 8, and 12 hours	01/15/2010
Galantamine HBr	Capsule (Extended Release)	II (Paddle)	50	50 mM potassium dihydrogen phosphate buffer pH 6.5 Comparative dissolution data should also be provided in 900 ml pH 0.1 HCl, pH 4.5 buffer, and water using Apparatus II (Paddle) at 50 RPM.	900	1, 4, 10, and 12 hours	01/20/2006
Hydrocodone Bitartrate	Capsule (Extended Release)	I (Basket)	100	0.05 M Phosphate Buffer, pH 6.8	500	1, 2, 4, 6, 8, 10, and 12 hours	05/28/2015
Indomethacin	Capsule (Extended Release)			Refer to USP			07/25/2007
Isosorbide Dinitrate	Capsule (Extended Release)			Refer to USP			06/25/2015
Levomilnacipran HCl	Capsule (Extended Release)	II (Paddle)	75	Water (de-aerated)	1000	1, 2, 4, 6, 8, 12, 16, 20, and 24 hours	05/28/2015
Memantine HCl	Capsule (Extended Release)	I (Basket)	100	pH 1.2 NaCl/HCl Buffer (degas)	900	1, 2, 3, 4, 6, 8, 10, and 12 hours	03/02/2017
Mesalazine (250 mg and 500 mg)	Capsule (Extended Release)			Refer to USP			06/10/2009
Mesalazine (375 mg)	Capsule (Extended Release)	I (Basket)	100	Acid Stage: 0.1N HCl Buffer stage: Phosphate Buffer, pH 6.8	Acid stage: 750 mL; Buffer stage: 1000 mL	Acid stage: 2 hours; Buffer stage: 0.5, 1, 2, 4, 7, and 9 hours	06/10/2009
Methylphenidate	Capsule (Extended Release)	II (Paddle)	50	Water	500	1, 2, 4, 6, 8, 12 hours and until at least 80% released	04/15/2008
Methylphenidate (BX)	Capsule (Extended Release)	I (Basket)	75	0-2 hrs: 0.01 N HCl. 2-10 hrs: Phosphate Buffer, pH 6.8.	0-2 hrs: 500. 2-10 hrs: 500	0.5, 1, 3, 6, 8, and 10 hours	07/25/2007
Methylphenidate HCl	Capsule (Extended Release)	I (Basket)	100	Acid Stage: (First 2 hours) 0.01 N HCl; Buffer Stage (2-10 hours): Phosphate Buffer, pH 6.0	Acid: 500 mL; Buffer: 500 mL	0.5, 1, 2, 4, 6, and 10 hours	10/20/2016
Minocycline HCl	Capsule (Extended Release)	I (Basket 10 mesh)	100	0.1 N HCl	900	0.25, 0.5, 1.0, 1.5, 2, 3, and 4 hours	06/02/2016

(Continued)

Drug Name	Dosage Form	USP Apparatus	Speed (RPMs)	Medium	Volume (mL)	Recommended Sampling Times (minutes)	Date Updated
Morphine Sulfate	Capsule (Extended Release)			Refer to USP			08/11/2008
Morphine Sulfate (AB2)	Capsule (Extended Release)	II (Paddle)	50	Phosphate Buffer, pH 6.8	900	1, 2, 3, 4, 6, 8, 10, 12, 16, 20, 24 hours	08/14/2014
Morphine Sulfate/Naltrexone HCl	Capsule (Extended Release)	II (Paddle)	50	Acid stage: 0.1 N HCl; Buffer stage: 0.05 Phosphate Buffer, pH 7.5	Acid stage: 500; Buffer stage: 500	Morphine Sulfate: Acid stage: 1 hour; Buffer stage: 1, 3, 5, 8 and 10 hours. Naltrexone HCl: Acid stage: 1 hour; Buffer stage: 1, 12, 24, 48, 73, and 96 hours.	01/26/2012
Naltrexone HCl / Oxycodone HCl	Capsule (Extended Release)			Develop a dissolution method			12/22/2016
Nicardipine HCl	Capsule (Extended Release)	II (Paddle)	50	0.1 N HCl	1000	0.5, 2, and 6 hours	07/14/2008
Oxycodone	Capsule (Extended Release)	I (Basket)	100	22 mM Sodium Acetate buffer, pH 4.5 with 0.03% Tween 20	900	1, 2, 4, 6, 8, 12, 16, 20, and 24 hours	07/28/2016
Phendimetrazine Tartrate	Capsule (Extended Release)	II (Paddle)	50	1 hour - SGF w/o Enzymes; after 1 hour - SIF w/o Enzymes	900	1, 2, 4, 6, and 8 hours	06/10/2009
Phentermine HCl/Topiramate	Capsule (Extended Release)	I (Basket)	100	Water (Deionized and Degassed)	750	Phentermine: 10, 15, 20, 30 and 45; Topiramate: 0.5, 1, 2, 3, 4, 6, and 8 hours	06/06/2013
Potassium Chloride	Capsule (Extended Release)			Refer to USP			12/24/2015
Propafenone HCl	Capsule (Extended Release)			Refer to USP			11/02/2017
Propranolol HCl	Capsule (Extended Release)			Refer to USP			07/25/2007
Theophylline	Capsule (Extended Release)			Refer to USP	900		10/06/2008

(Continued)

Drug Name	Dosage Form	USP Apparatus	Speed (RPMs)	Medium	Volume (mL)	Recommended Sampling Times (minutes)	Date Updated
Tolterodine Tartrate	Capsule (Extended Release)	I (Basket)	100	Phosphate buffer (pH 6.8)	900	1, 3, and 7 hours	06/18/2007
Topiramate	Capsule (Extended Release)	II (Paddle)	50	0.05 M Phosphate Buffer, pH 7.5	750	1, 2, 3, 4, 6, and 8 hours	08/14/2014
Topiramate	Capsule (Extended Release)	I (Basket)	100	50 mM Tris buffer, pH 7.2	900	0.5, 1, 2, 4, 6, and 8 hours	06/25/2015
Tramadol	Capsule (Extended Release)	I (Basket)	100	Water (Deaerated)	900	0.5, 1, 2, 3, 5, 7, 9, 12, 16, 20, and 24 hours	09/03/2015
Tropisium Chloride	Capsule (Extended Release)	II (Paddle) with sinker	50	0.1 N HCl, pH 1.1 for 2 hrs and then add 200 mL of 0.1 N NaOH in 200 mM Phosphate Buffer. Adjust pH to 7.5 using 2 N HCl and/or 2N NaOH	0-2 hrs: 750 mL. After 2 hrs: 950 mL.	2, 3, 4, 6, 8, 12, and 16 hours	07/15/2010
Venlafaxine HCl	Capsule (Extended Release)	I (Basket)	100	Water	900	2, 4, 8, 12, and 20 hours	01/03/2007
Verapamil HCl	Capsule (Extended Release)			Refer to USP			08/27/2015
Cyclosporine (100 mg) (AB1)	Capsule (Liquid filled)	II (Paddle)	75	0.1 N HCl containing 4 mg of N,N-dimethyldodecylamine-N-oxide per mL	1000	10, 20, 30, 45, 60, and 90	01/14/2008
Fenofibrate	Capsule (Micronized)	II (Paddle)	75	0.025 M SLS in water	1000	10, 20, 30, 40, and 60	06/03/2008
Aliskiren Hemifumarate	Capsule (pellet)	I (Basket)	100	0.01 M HCl (degas)	500	5, 10, 15, 20, 30, and 45	02/08/2018
Cetirizine	Capsule (Soft-Gelatin)	II (Paddle)	50	25 mM pH 6.8 phosphate buffer	900	5, 10, 15, 20, 30, and 45	01/15/2015
Dutasteride	Capsule (Soft-Gelatin)	II (Paddle)	50	Tier I: Dissolution Medium: 0.1 N HCl with 2% (w/v) sodium dodecyl sulfate (SDS) (900 mL) Tier II: Dissolution Medium: 0.1 N HCl with pepsin (as per USP) (450 mL) for the first 25 minutes, followed by addition of 0.1 N HCl with SDS (4% w/v) (450 mL) for the remainder of the dissolution test.	900	15, 30, 45, and 60	08/05/2010
Lopinavir/Ritonavir	Capsule (Soft-Gelatin)	II (Paddle)	50	Tier I: 0.05 M Polyoxyethylene 10 Lauryl Ether with 10 mM Sodium Phosphate monobasic (pH 6.8); Tier II: same as above with NMT 1750 USP units/L of Pancreatin	900	10, 15, 30, and 45	06/18/2007

(Continued)

Drug Name	Dosage Form	USP Apparatus	Speed (RPMs)	Medium	Volume (mL)	Recommended Sampling Times (minutes)	Date Updated
Lorazepam	Capsule (Soft-Gelatin)	II (Paddle) with sinker	75	Tier I: 0.1N HCl with 0.1% Tween 20. Tier II: 0.1N HCl with 0.1% Tween 20 with addition of pepsin (as per USP).	900	10, 20, 30, 45, and 60	02/28/2013
Lubiprostone	Capsule (Soft-Gelatin)	II (Paddle)	50	0.1 N HCl/1% HCO-40 (Polyoxyl 40 hydrogenated castor oil)	900	15, 30, 45, 60, 90, and 120	08/19/2010
Ibuprofen	Capsule (Soft-Gelatin/Liquid Fill)	I (Basket)	150	50mM Phosphate Buffer, pH 7.2	900	5, 10, 20, 30, and 45	05/09/2013
Ibuprofen Potassium	Capsule (Soft-Gelatin/Liquid Fill)	I (Basket)	150	Phosphate Buffer, pH 7.2	900	5, 10, 20, and 30	02/04/2004
Topiramate	Capsule (Sprinkle)	II (Paddle)	50	Water (de-aerated)	900	10, 20, 30, 45, and 60	02/19/2004
Amoxicillin/Clarithromycin/Lansoprazole	Capsule/Tablet/Capsule (Copackage)			Refer to USP for monographs of Amoxicillin Capsules, Clarithromycin Tablets and Lansoprazole Delayed-Release Capsules			02/28/2013
Amoxicillin/Clarithromycin/Omeprazole	Capsule/Tablet/Capsule (Copackage)			Refer to USP for monographs of Amoxicillin Capsules, Clarithromycin Tablets and Omeprazole Delayed-Release Capsules			02/28/2013
Procabazine HCl	Capsules	II (Paddle)	50	Water	900	10, 20, 30, 45, and 60	01/14/2008
Ursodiol	Capsules			Refer to USP			07/21/2009
Fluoxetine	Capsules (Delayed Release)			Refer to USP			07/25/2007
Lanthanum Carbonate	Chewable Tablet	Reciprocating Cylinder (Apparatus 3 modified)	10 dpm (dip rate per minute)	0.25 N HCl	900 (modified from the standard apparatus 3 vessel to achieve sink condition)	10, 20, 30, and 45	01/03/2007
Buprenorphine HCl	Film (Buccal)	I (Basket) 100 mL round bottom vessel	100	0.05M NaH ₂ PO ₄ .H ₂ O Phosphate Buffer, pH 4.5	60	10, 15, 20, 30, 45, and 60	10/20/2016
Fentanyl Citrate (0.2 mg, 0.4 mg, 0.6 mg and 0.8 mg)	Film (Buccal)	I (Basket) 100 mL dissolution vessel	100	25-mM Phosphate Buffer, pH 6.4	60	5, 10, 15, 20, 30, and 45	12/15/2009
Fentanyl Citrate (1.2 mg)	Film (Buccal)	I (Basket) 100 mL dissolution vessel	100	25-mM Phosphate Buffer, pH 6.4	100	5, 10, 15, 20, 30, and 45	12/15/2009
Ondansetron	Film (Oral)	V (Paddle over Disk) with a stainless steel disk (120 mesh screens)	50	0.1 N HCl	900	5, 10, 15, 20, and 30	01/26/2012

(Continued)

Drug Name	Dosage Form	USP Apparatus	Speed (RPMs)	Medium	Volume (mL)	Recommended Sampling Times (minutes)	Date Updated
Buprenorphine HCl/ Naloxone HCl	Film (Sublingual)	V (Paddle over Disk) with 56 mm, 40 mesh stainless steel disk.	100	Acetate Buffer, pH 4.0 (12.5mM Sodium acetate trihydrate and 60mM glacial acetic acid. Adjust the pH with glacial acetic acid or ammonium hydroxide).	900	1, 2, 3, 5, 7, and 10	10/31/2013
Ethinyl Estradiol; Norelgestromin	Film, Transdermal	Modified USP Type V (Paddle-over-disk)	50	0.1% Hydroxypropyl-beta-cyclodextrin at 32°C	900	0.25, 0.5, 1, 2, 4, 8, 12, 16, 20, and 24 hours	05/20/2009
Rivastigmine	Film, Transdermal	Modified USP Type VI (Cylinder)	50	0.9 % NaCl at 32° C	500	1, 2, 4, 7, 9, and 12 hours	06/10/2009
Buprenorphine	Film, Transdermal (Extended Release)	VI (Cylinder) with adapter, if needed	50	0.9% Sodium Chloride at 32°C	600	0.5, 1, 2, 4, 6, 8, 12, 16, and 24 hours	05/09/2013
Estradiol (0.014 mg/24 hr)	Film, Transdermal (Extended Release)			Develop a method to characterize in vitro release			10/28/2010
Estradiol (0.025 mg/24 hr, 0.0375 mg/24 hr, 0.05 mg/24 hr, 0.06 mg/24 hr, 0.075 mg/24 hr and 0.1 mg/24 hr)	Film, Transdermal (Extended Release)			Develop a method to characterize in vitro release			10/28/2010
Estradiol (Test 1) (0.025 mg/24 hr, 0.0375 mg/24 hr, 0.05 mg/24 hr, 0.075 mg/24 hr and 0.1 mg/24 hr)	Film, Transdermal (Extended Release)	VI (Cylinder) attach the patch to a disk at the bottom of the cylinder	50	Water at 32 ± 0.5°C	0.025 mg/24 hr and 0.0375 mg/24 hr: 500 mL; 0.05 mg/24 hr, 0.075 mg/24 hr and 0.1 mg/24 hr: 900 mL	1, 2, 4, 6, 8, 10, and 12 hours	10/28/2010
Estradiol (Test 2) (0.05 mg/24 hr and 0.1 mg/24 hr)	Film, Transdermal (Extended Release)	V (Paddle over Disk) with a stainless steel disk	50	Water at 32 ± 0.5°C	900	6, 12, 18, 24, 36, 48, 60, 72, and 96 hours	10/28/2010
Estradiol (Test 3) 0.0375 mg/24 hr, 0.05 mg/24 hr, 0.075 mg/24 hr and 0.1 mg/24 hr)	Film, Transdermal (Extended Release)	VI (Cylinder) attach the patch to the cylinder with double-sided tape	50	Water at 32 ± 0.5°C	0.0375 mg/24 hr: 500 mL; 0.05 mg/24 hr, 0.075 mg/24 hr and 0.1 mg/24 hr: 900 mL	1, 2, 4, 6, 10, 12, 18, 24, and 36 hours	10/31/2013
Granisetron	Film, Transdermal (Extended Release)	VI (Cylinder)	50	80 microL/L phosphoric acid (85%) at 32 ± 0.5°C	1000	2, 6, 12, 24, 36, 48, 60, 72, and 96 hours	03/03/2011
Nicotine	Film, Transdermal (Extended Release)			Refer to USP			01/31/2013

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Drug Name	Dosage Form	USP Apparatus	Speed (RPMs)	Medium	Volume (mL)	Recommended Sampling Times (minutes)	Date Updated
Nitroglycerin	Film, Transdermal (Extended Release)	Modified USP Type V (Paddle-over-disk)	100	Deionized Water at 32° C	900	10, 20, 30, 45, 60, 90, 120, and 180	04/08/2010
Testosterone	Film, Transdermal (Extended Release)	V (Paddle over disk), Paddle 25 mm above the film on the disk.	50	0.1 M sodium chloride containing 2.5% (w/v) of Tween 40 at 32 ± 0.5°C. Delivery surface faces upwards towards the media.	900	1, 3, 5, 7, 11, 16, 20, and 24 hours	06/30/2011
Fosfomylin Tromethamine	For Suspension			Develop a dissolution method			07/20/2017
Diazepam	Gel (Rectal)	II (Paddle)	50	0.05 M Phosphate Buffer, pH 6.8	500	5, 10, 15, 30, and 45	04/02/2009
Dapsone	Gel (Topical)			Develop a method to characterize in vitro release			07/28/2016
Deferasirox	Granule	II (Paddle)	75	Phosphate Buffer, pH 6.8 with 0.5% Tween 20	900	5, 10, 15, 20, and 30	11/02/2017
Montelukast	Granule	I (Basket) (100 mesh)	50	0.5% w/v SDS in Water	900	5, 15, 20, and 30	09/24/2008
Secnidazole	Granule	I (Basket)	50	Phosphate Buffer, pH 6.8	900	0.5, 1, 1.5, 2, 2.5, 3, 3.5, and 4 hours	11/16/2017
Aminosalicylic	Granule (Delayed Release)	II (Paddle)	100	Acid Stage: 0.1 N HCl; Buffer Stage 2: pH 7.5 Phosphate Buffer	1000	Acid Stage: 2 hours; Buffer Stage: 1, 2, 3, and 4 hours	07/14/2008
Erythromycin Ethylsuccinate/ Sulfisoxazole Acetyl	Granules for Oral Suspension			Develop a dissolution method			09/02/2010
Buprenorphine HCl	Implant	II (Paddle)	50	Water	900	1, 4, 12, 24, 36, 48, 72, 96, 120, 144, and 168 hours	07/28/2016
Goserelin Acetate	Implant	Prior to sampling, the jar is removed from incubation and mechanically swirled with digital orbital shaker	Swirl orbit of 50 mm at 205 rpm for 6 seconds	Each implant should be incubated in 50 mL of phosphate buffered saline, pH 7.4, at 39°C (warned overnight before the implants are added), in a 120-mL Wheaton jar.	50	3, 14, 35, 56, and 84 days (10.8 mg strength); 7, 14, 17, 21, and 28 days (3.6 mg strength)	11/04/2008
Dexamethasone	Implant (Intravitreal)	VII (with reciprocating 50 mesh baskets)	30 cycles per min	Phosphate Buffered Saline containing 0.05 g/L sodium dodecyl sulfate at 45 ± 0.5°C	30	12, 24, 48, 72, 96, 120, 144, 168, 192, 216, and 240 hours	10/21/2010
Risperidone	Injectable			Develop a dissolution method using USP IV (Flow-Through Cell), and, if applicable, Apparatus II (Paddle) or any other appropriate method, for comparative evaluation by the Agency		01/15/2010	Risperidone
Verteporfin	Injectable			Develop a method to characterize in vitro release			08/14/2014
Granisetron	Injectable (Extended Release)			Develop a method to characterize in vitro release			12/22/2016

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Drug Name	Dosage Form	USP Apparatus	Speed (RPMs)	Medium	Volume (mL)	Recommended Sampling Times (minutes)	Date Updated
Leuprolide Acetate	Injectable (Extended Release)			Develop a dissolution method using USP IV (Flow-Through Cell), and, if applicable, Apparatus II (Paddle) or any other appropriate method, for comparative evaluation by the Agency		01/15/2010	
Ocreotide Injection	Injectable (Extended Release)			Develop a dissolution method using USP IV (Flow-Through Cell), and, if applicable, Apparatus II (Paddle) or any other appropriate method, for comparative evaluation by the Agency		12/23/2010	
Amphotericin B	Injectable (Liposomal)			Develop a method to characterize in vitro release			07/28/2016
Doxorubicin HCl	Injectable (Liposomal)			Develop a method to characterize in vitro release, starting at pH 6.00 ± 0.05 and at 47°C ± 0.5°C. Replicate for 12 dosage vials		10/04/2012	
Azacitidine	Injectable Suspension			Develop a dissolution method			09/03/2008
Betamethasone Acetate/ Betamethasone Sodium Phosphate	Injectable Suspension	IV (Flow through cell)	Flow @ 8 mL/ min	0.05% SLS, pH 3.0 or Develop an in vitro release method using USP IV (Flow-Through Cell), and, if applicable, Apparatus II (Paddle) or any other appropriate method, for comparative evaluation by the Agency	5, 10, 15, 30, 45, 60, 90, 120, 180, 240, 300, and 360	04/08/2010	
Medroxyprogesterone Acetate	Injectable Suspension	Test 1: IV (Flow through cell), 22.6 mm cell, 13 g of 1 mm beads; Test 2: II (Paddle)	Test 1: 17 mL/ min; Test 2: 50 rpm	Test 1: 0.5 % SDS in water ; Test 2: 0.35 % SDS in water (provide data with both tests)	Test 1: use Open Mode: Test 2: 900 mL	Test 1: 5, 10, 15, 20, 30, 40, 50, 60, 70, 80 and 90; Test 2: 5, 10, 15, 30, 60, 90, 120, 240, 360, 1440, and 2880	10/31/2013
Medroxyprogesterone Acetate (104mg/0.65ml)	Injectable Suspension			Develop a method to characterize in vitro release			02/08/2018
Methylprednisolone Acetate	Injectable Suspension	IV (Flow-Through Cell-Open system)		0.55 % SDS		15, 30, 45, 60, 90, and 120	10/08/2009
Naltrexone	Injectable Suspension			Phosphate buffered saline with 0.02% Tween 20 and 0.02% Sodium azide, pH 7.4 (final osmolality should be 270 ± 20 mOsm), or any other appropriate medium, at 37°C. Develop an in vitro release method using USP IV (Flow-Through Cell), and, if applicable, Apparatus II (Paddle) or any other appropriate method, for comparative evaluation by the Agency			09/01/2011
Triamcinolone Acetonide	Injectable Suspension			Develop a dissolution method using USP IV (Flow-Through Cell), and, if applicable, Apparatus II (Paddle) or any other appropriate method, for comparative evaluation by the Agency			01/15/2010

(Continued)

Drug Name	Dosage Form	USP Apparatus	Speed (RPMs)	Medium	Volume (mL)	Recommended Sampling Times (minutes)	Date Updated
Triptorelin Pamoate	Injectable Suspension	II (Paddle)	200	Water-Methanol (95:5); Reconstitute vial in 2 mL Water for Injection, add to 500 mL medium at 37°C	500	1, 6, 12, 24, 48, and 72 hours	07/14/2008
Ciprofloxacin	Injectable Suspension [Otic]	IV (Flow through cell-closed loop) / 22 mm	Flow @ 6 mL/min	50 mM Acetate Buffer, pH 4.5 @37°C ± 0.5 [use glass beads; sample volume: 100 µl]	480	5, 10, 15, 20, 30, 45, 60, and 75	03/17/2016
Triamcinolone Acetonide	Intra-Articular, For Suspension (Extended Release)	II (Paddle)	75	0.3% SDS in 10 mM phosphate buffer, pH 7.2 + 0.02% sodium azide @35°C	1000	1, 2, 4, 8, 12, 16, 24, 36, 48, 72, 96, and 120 hours	02/08/2018
Paliperidone Palmitate	Intramuscular Suspension (Extended Release)	II (Paddle)	50	0.489% (w/v) Polysorbate 20 in 0.001 N HCl @25.0 °C	900	1.5, 5, 8, 10, 15, 20, 30, and 45	09/01/2011
Paliperidone Palmitate [3-month injection]	Intramuscular Suspension (Extended Release)	II (Paddle)	50	0.489% (w/v) Polysorbate 20 in 0.001 N HCl @25.0 °C	900	5, 30, 60, 90, 120, 180, 240, 300, and 360	03/17/2016
Levonorgestrel	Intrauterine Device			Develop a method to characterize in vitro release			02/14/2014
Clotrimazole	Lozenge			Refer to USP			10/06/2008
Nicotine Polacrilex	Lozenge	I (Basket)	100	Phosphate Buffer, pH 7.4	900	0.5, 1, 2, 3, 6, and 8 hours	12/23/2010
Nicotine Polacrilex	Lozenge (Mini)	III (Reciprocating Cylinder)	20 dpm	Phosphate buffer pH 7.4	250	15, 30, 45, 60, and 90	03/09/2017
Fentanyl Citrate	Lozenges	II (Paddle)	175	0.1 M Phosphate Buffer, pH 4.5	500	5, 10, 20, 30, and 40	05/20/2009
Brimonidine Tartrate/Brinzolamide	Ophthalmic Suspension			Develop a method to characterize in vitro release			01/15/2015
Brinzolamide	Ophthalmic Suspension			Develop a method to characterize in vitro release			09/01/2011
Dexamethasone/Neomycin Sulfate/Polymyxin B Sulfate	Ophthalmic Suspension			Develop a method to characterize in vitro release			02/15/2018
Dexamethasone/Tobramycin	Ophthalmic Suspension			Develop a method to characterize in vitro release			04/02/2009
Loteprednol Etabonate	Ophthalmic Suspension			Develop a method to characterize in vitro release			06/30/2016
Loteprednol Etabonate/Tobramycin	Ophthalmic Suspension			Develop a method to characterize in vitro release			01/31/2013
Nepafenac	Ophthalmic Suspension			Develop a method to characterize in vitro release			08/14/2014

(Continued)

Drug Name	Dosage Form	USP Apparatus	Speed (RPMs)	Medium	Volume (mL)	Recommending Sampling Times (minutes)	Date Updated
Prednisolone Acetate	Ophthalmic Suspension			Develop an in vitro release method			05/15/2014
Rimexolone	Ophthalmic Suspension			Develop a method to characterize in vitro release			06/30/2016
Erythromycin Ethylsuccinate	Oral granule			Develop a dissolution method			06/30/2016
Atovaquone	Oral Suspension			Develop a dissolution method			07/21/2009
Azithromycin	Oral Suspension	II (Paddle)	50	Phosphate buffer, pH 6.0	900	10, 20, 30, and 45	08/17/2006
Ciprofloxacin	Oral Suspension	II (Paddle)	100	0.05 M Acetate Buffer with 0.025% Brij35 (polyoxyethylene lauryl ether), pH 4.5	900	10, 20, 30, and 45	03/25/2010
Clobazam	Oral Suspension	II (Paddle)	75	0.1 N HCl (degassed)	900	5, 10, 15, 20, 25, and 30	07/31/2013
Darunavir Ethanolate	Oral Suspension	II (Paddle)	75	0.05% Polysorbate 20 in 0.05M Phosphate Buffer, pH 6.8	900	5, 10, 15, 20, 30, and 45	06/25/2015
Diazoxide	Oral suspension			Develop a dissolution method			02/14/2014
Fosamprenavir Calcium	Oral Suspension	II (Paddle)	25	10 mM HCl	900	5, 10, 15, and 20	12/03/2007
Griseofulvin	Oral Suspension	II (Paddle)	25 and 50	0.54% Sodium Lauryl Sulfate (SLS) in Water	1000	10, 20, 30, and 45	10/28/2010
Griseofulvin (Microcrystalline)	Oral Suspension	II (Paddle)	25 and 50	0.54% Sodium Lauryl Sulfate (SLS) in Water	1000	10, 20, 30, and 45	10/28/2010
Loperamide HCl	Oral Suspension	II (Paddle)	25	0.01 N HCl	900	10, 20, 30, 45, 60, 75, and 90	06/25/2015
Megestrol Acetate	Oral Suspension			Refer to USP			12/15/2009
Mercaptopurine	Oral Suspension	II (Paddle)	50	0.1N HCl	900	5, 10, 15, 20, and 30	12/24/2015
Nitazoxanide	Oral Suspension	II (Paddle)	100	Phosphate buffer at pH 7.5 with 6% hexadecyltrimethyl ammonium bromide, bath temperature at 25°C	900	10, 20, 30, 45, and 60	10/21/2010
Nystatin	Oral Suspension	II (Paddle)	25, 50 and 75	0.1 % and 0.2% SLS in water	900	5, 10, 20, 30, 45, and 60	10/28/2010
Oseltamivir Phosphate	Oral Suspension	II (Paddle)	25	0.1 N HCl	900	5, 10, 15, 20, and 30	07/15/2009
Posaconazole	Oral Suspension	II (Paddle)	25	0.3% SLS	900	10, 20, 30, and 45	12/03/2007
Sulfisoxazole Acetyl (Pediatric)	Oral Suspension	II (Paddle)	30	1% SLS in 0.1N HCl	900	15, 30, 45, 60, and 90	08/17/2006
Omeprazole	OTC Tablet (Delayed Release)	II (Paddle)	100	Tablets are pre-exposed to 750 ml of 0.1M HCl for 2 hrs and then 250 ml of 0.2M Na3PO4 is added to the medium to give 1000 ml with pH 6.8	Acid stage: 750; Buffer stage: 1000	Acid stage: 120; Buffer stage: 10, 20, 30, 45, and 60	02/28/2013
Omeprazole (Orally Disintegrating)	OTC Tablet (Delayed Release)	II (Paddle)	100	Tablets are pre-exposed to 500 ml of 0.1M HCl for 2 hrs and then 400 ml of 0.235M Na2HP04 is added to the medium. Adjust if necessary with 2 N HCl or 2 N NaOH to a pH of 6.8	Acid stage: 500; Buffer stage: 900	Acid stage: 120; Buffer stage: 10, 20, 30, 45, and 60	02/08/2018

(Continued)

Drug Name	Dosage Form	USP Apparatus	Speed (RPMs)	Medium	Volume (mL)	Recommended Sampling Times (minutes)	Date Updated
Omeprazole Magnesium	OTC Tablet (Delayed Release)	II (Paddle)	100	Tablets are pre-exposed to 300 ml of 0.1M HCL for 2 hrs and then 700 ml of 0.086 M Na2HPO4 is added to the medium containing the capsule to give 1000 ml with pH 6.8	300 ml for the acid stage; 1000 ml for the buffer stage	Sampling started at the buffer stage 10, 20, 30, 45, and 60	01/03/2007
Ciprofloxacin HCl/ Hydrocortisone	Otic Suspension			Develop a method to characterize in vitro release			09/01/2011
Ciprofloxacin/ Dexamethasone	Otic Suspension			Develop a method to characterize in vitro release			03/17/2016
Finafloxacin	Otic Suspension			Develop a method to characterize in vitro release			03/17/2016
Testosterone	Pellet Implant			Develop a dissolution method			11/25/2008
Ritonavir	Powder	I (Basket -100 mesh)	100	0.1 N HCl	900	5, 10, 15, 20, and 30	11/16/2017
Sodium Phenylbutyrate	Powder for Oral	II (Paddle)	75	Simulated Intestinal Fluid	900	15, 30, 45, 60, and 90	04/02/2009
Tenofovir Disoproxil Fumarate	Powder for Oral	II (Paddle)	100	0.2% polysorbate 80 in 0.01 M HCl	900	10, 20, 30, 45, 60, and 75	01/31/2013
Diclofenac Potassium	Powder for Oral Solution	II (Paddle)	75	0.05M phosphate buffer (TriSodium Phosphate Dodecahydrate in 0.1 N HCl and pH adjusted to 6.8)	400	2.5, 5, 7.5, and 10	10/21/2010
Sapropterin Dihydrochloride	Powder for Oral Solution	II (Paddle)	50	0.1 N HCl	900	2.5, 5, 7.5, 10, and 15	06/02/2016
Nelfinavir Mesylate	Powder for Suspension	II (Paddle)	50	0.1 N HCl	900	5, 10, 15, 20, 30, and 45	09/13/2007
Methylphenidate HCl	Powder for Suspension (Extended Release)	II (Paddle)	75	0.4 M Phosphate Buffer, pH 4.5	900	0.25, 0.5, 1, 2, 3, 4, 6, and 8 hours	06/02/2016
Omeprazole Sodium Bicarbonate	Powder for suspension (Immediate Release)	II (Paddle)	50	0.25 mM Sodium Phosphate Buffer, pH 7.4	900	5, 10, 15, and 30	06/20/2007
Mesalamine Enema	Rectal Enema	II (Paddle)	50	Phosphate Buffer, pH 7.2	900	5, 10, 15, and 30	06/18/2007
Prochlorperazine	Rectal Suppository	I (Suppository, dissolution baskets, palmieri type)	100	0.1 N HCl at 38°C	900	10, 20, 30, and 45	08/17/2006
Promethazine HCl	Rectal Suppository			Develop a method to characterize in vitro release			10/20/2016
Triptorelin Pamoate	Sterile Intramuscular Suspension (Extended Release)	II (Paddle)	75	50 mL of methanol to 950 mL of water	950	1, 8, 24, 96, and 168 hours	11/16/2017

(Continued)

Drug Name	Dosage Form	USP Apparatus	Speed (RPMs)	Medium	Volume (mL)	Recommended Sampling Times (minutes)	Date Updated
Aripiprazole	Sterile Intramuscular Suspension (Extended Release)	II (Paddle)	50	0.25% Sodium Dodecyl Sulfate (SDS) Solution	900	10, 15, 30, 60, 120, 180, 240, 300, 360, 420, and 480	06/25/2015
Olanzapine Pamoate	Sterile Intramuscular Suspension (Extended Release)	IV (Flow through cell), 22.6 mm cell	Flow @ 3 mL/min	1% SLS in pH 6.8 Phosphate Buffer	use Open Mode	10, 20, 30, 45, 60, 75, 90, 105, 120, 150, 180, 240, 360, 480, 600, and 720	12/24/2015
Dantrolene Sodium	Sterile Suspension (Intravenous)	II (Paddle)	50	0.5% Benzalkonium Chloride in water	900	0.5, 1, 2, 3, 5, and 10 minutes	03/02/2017
Acetaminophen	Suppository	II (Paddle)	50	Phosphate buffer, pH 5	900	15, 30, 45, 60, and 90	08/17/2006
Mesalamine	Suppository	II (Paddle) with option to use a sinker	75 (for 500 mg) & 125 (for 1000 mg)	For 500 mg strength: 0.2 M Phosphate buffer, pH 7.5 at 37 deg. C For 1000 mg strength: 0.2 M Phosphate buffer, pH 7.5 at 40 deg. C	900	30, 60, 90, 120, and 150	01/30/2006
Miconazole Nitrate	Suppository (Vaginal)	I (Basket)	100	0.45% SLS in water	900	15, 30, 45, and 60	10/08/2009
Terconazole	Suppository (Vaginal)	I (with Palmieri type basket)	100	0.12 N HCl with 1% SLS	900	15, 30, 45, 60, 90, 120, and 150	10/08/2009
Acyclovir	Suspension	II (Paddle)	50	0.1 N HCl	900	10, 20, 30, 45, and 60	02/20/2004
Amoxicillin	Suspension	II (Paddle)	50	Water (degassed)	900	5, 10, 15, 20, 30, and 45	06/06/2013
Amoxicillin/Clavulanate Potassium	Suspension	II (Paddle)	75	Water (de-aerated)	900	5, 10, 15, and 30	01/14/2004
Ampicillin/Ampicillin Trihydrate	Suspension	II (Paddle)	25	Water (de-aerated)	900	5, 10, 15, 20	01/03/2007
Aprepitant	Suspension	II (Paddle)	50	Water (with 1.2% Tween 80)	900	5, 10, 15, and 20	03/17/2016
Carbamazepine	Suspension	II (Paddle)	50	Water (de-aerated)	900	10, 20, 30, 45, and 60	01/20/2004
Cefadroxil	Suspension	II (Paddle)	25	Water	900	5, 10, 15, 30, and 45	07/25/2007
Cefdinir	Suspension	II (Paddle)	50	0.05 M Phosphate buffer, pH 6.8	900	10, 20, 30, and 45	04/09/2007
Cefixime	Suspension	II (Paddle)	50	0.05 M Phosphate buffer, pH 7.2	900	10, 20, 30, and 45	04/09/2007
Cefpodoxime Proxetil	Suspension	II (Paddle)	50	Glycine Buffer (0.04 M) pH 3.0	900	10, 20, 30, and 45	12/20/2005
Cefprozil	Suspension	II (Paddle)	25	Water	900	5, 10, 15, 20, and 30	10/04/2012
Cefprozil Monohydrate	Suspension	II (Paddle)	25	Water (de-aerated)	900	5, 10, 15, and 30	01/21/2004
Ceftibuten Dihydrate	Suspension	II (Paddle)	50	0.05 M Phosphate Buffer, pH 7.0	1000	10, 20, 30, and 45	01/21/2004
Cephalexin	Suspension	II (Paddle)	25	Water	900	5, 10, 20, and 30	07/25/2007
Clarithromycin	Suspension	II (Paddle)	50	0.05 M Phosphate Buffer, pH 6.8	900	10, 20, 30, 45, and 60	01/23/2004
Clozapine	Suspension	II (Paddle)	50	Acetate Buffer (pH 4.0)	900	5, 10, 15, 20, and 30	05/28/2015

(Continued)

Drug Name	Dosage Form	USP Apparatus	Speed (RPMs)	Medium	Volume (mL)	Recommended Sampling Times (minutes)	Date Updated
Doxycycline	Suspension	II (Paddle)	25	0.01 N HCl	900	5, 10, 15, and 20	09/03/2008
Eltrombopag Olamine	Suspension	II (Paddle)	50	50 mM potassium phosphate in water, pH 6.8 with 0.2% polysorbate 80	750	4, 8, 12, 15, and 20	10/20/2016
Erythromycin Ethylsuccinate	Suspension	II (Paddle)	75	Monobasic Sodium Phosphate, pH 6.8 Buffer with 1% SLS Buffer w/ 1% SLS	900	10, 20, 30, 45, and 60	01/27/2004
Famotidine	Suspension	II (Paddle)	25 and 50	0.1 M Phosphate buffer, pH 4.5	900	10, 15, 30, and 45	11/25/2008
Felbamate	Suspension	II (Paddle)	50	Water (de-aerated)	900	5, 10, 15, and 30	01/28/2004
Fexofenadine HCl	Suspension	II (Paddle)	50	0.001 N HCl	900	10, 20, 30, and 45	11/25/2008
Fluconazole (200 mg/5 mL)	Suspension	II (Paddle)	50	Water (de-aerated)	900	10, 20, 30, and 45	01/30/2004
Fluconazole (50 mg/5 mL)	Suspension	II (Paddle)	50	Water (de-aerated)	500	10, 20, 30, and 45	01/30/2004
Hydroxyzine Pamoate	Suspension			Develop a dissolution method			04/02/2009
Ibuprofen	Suspension			Refer to USP			11/04/2008
Ibuprofen/Pseudoephedrine HCl	Suspension	II (Paddle)	50	0.05 M Phosphate Buffer, pH 7.2	900	5, 10, 15, and 30	02/04/2004
Linezolid	Suspension	II (Paddle)	50	0.05 M Phosphate Buffer, pH 6.8	900	10, 20, 30, and 45	01/14/2008
Meloxicam	Suspension	II (Paddle)	25	Phosphate buffer at pH 7.5	900	5, 10, 15, and 30	01/26/2006
Mycophenolate Mofetil	Suspension	II (Paddle)	40	0.1 N HCl	900	5, 10, 20, and 30	02/10/2004
Naproxen	Suspension			Develop a dissolution method			11/16/2017
Nevirapine	Suspension	II (Paddle)	25	0.1 N HCl	900	10, 20, 30, 45, and 60	02/11/2004
Nitisinone	Suspension	II (Paddle)	50	pH 1.2 HCl Buffer (degassed)	1000	10, 15, 20, 30, and 45	12/22/2016
Nitrofurantoin	Suspension	II (Paddle)	50	Phosphate Buffer, pH 7.2	900	15, 30, 60, 120, and 180	04/02/2009
Oxcarbazepine	Suspension	II (Paddle)	75	1% SDS in water	900	10, 20, 30, and 45	02/12/2004
Paroxetine HCl	Suspension	II (Paddle)	100	SGF without enzyme	900	10, 20, 30, and 45	02/13/2004
Perampanel	Suspension	II (Paddle)	50	0.1 N HCl	900 [890 mL 0.1 N HCl + 10 mL perampanel suspension]	5, 10, 15, 20, and 30	12/22/2016
Phenyletoin	Suspension			Refer to USP			06/18/2007
Rufinamide	Suspension	II (Paddle)	50	2.0% SDS (sodium dodecyl sulfate) in water	900	5, 10, 15, 20, and 30	08/15/2013
Sildenafil Citrate	Suspension	II (Paddle)	50	Mellivaine Buffer, pH 5.0	500	5, 10, 15, 20, and 30	05/28/2015
Simvastatin	Suspension	II (Paddle)	50	Phosphate Buffer, pH 7.0, with 0.14% sodium dodecyl sulfate (SDS)	900	10, 15, 20, 30, and 45	12/22/2016
Sucralfate	Suspension	II (Paddle)	75	0.1N HCl/0.067 M KCl, pH 1.0	900	10, 20, 30, and 45	03/04/2006
Sulfamethoxazole/Trimethoprim	Suspension	II (Paddle)	50	1 mL of 0.2 N HCl in water	900	10, 20, 30, 45, 60, and 90	02/25/2004
Voriconazole	Suspension	II (Paddle)	50	0.1 N HCl	900	10, 20, 30, and 45	01/03/2007
Esomeprazole Magnesium	Suspension (Delayed Release)	II (Paddle)	100	Acid stage: 0.1 N HCl; Buffer stage: Sodium Phosphate Buffer, pH 6.8	Acid stage: 300; Buffer stage: 1000	Acid stage: 120; Buffer stage: 10, 20, 30, 45, and 60	09/02/2010
Carbidopa/Levodopa	Suspension (Enteral)	II (Paddle)	25	0.05 M Sodium Acetate Buffer, pH 4.5	500	5, 10, 15, 20, 30, 40, and 60	10/20/2016

(Continued)

Drug Name	Dosage Form	USP Apparatus	Speed (RPMs)	Medium	Volume (mL)	Recommended Sampling Times (minutes)	Date Updated
Amphetamine	Suspension (Extended Release)			Develop a dissolution method			12/22/2016
Azithromycin	Suspension (Extended Release)	II (Paddle)	50	Phosphate Buffer, pH 6.0	900	15, 30, 45, 60, 120, and 180	04/15/2008
Carbinoxamine Maleate	Suspension (Extended Release)	II (Paddle)	50	0.4 M Phosphate Buffer	900 [895 mL 0.4 M Buffer +5 mL Suspension]	0.5, 1, 2, 3, 4, 6, 8, and 12 hours	06/02/2016
Chlorpheniramine Polistirex/ Codeine polistirex	Suspension (Extended Release)	II (Paddle)	50	Acid Stage: 0.1 N HCl; Buffer Stage: pH 6.8 Phosphate Buffer [500 mL 0.1 N HCl for 1 hour, followed by addition of 400 mL of 0.2M NaH ₂ PO ₄ to pH 6.8]	Acid Stage: 500 mL; Buffer Stage: 900 mL	Acid Stage: 1 hour; Buffer Stage: 1, 2, 4, 6, 8, and 12 hours	03/17/2016
Dextromethorphan Polistirex	Suspension (Extended Release)	II (Paddle)	50	0.1 N HCl	500	30, 60, 90, and 180	10/06/2008
Chlorpheniramine Polistirex/ Hydrocodone Polistirex	Suspension, Extended Release Oral Suspension	II (Paddle)	50	Simulated Gastric Fluid (SGF) at 37°C ± 0.5°C	495	1, 2, 3, 6, 8, 12, 16, and 24 hours	06/30/2011
Ibuprofen	Suspension/Drop	II (Paddle)	50	Phosphate Buffer, pH 7.2	900	5, 10, 15, and 20	11/04/2008
Diclofenac Epolamine	Topical patch	V (Paddle over Disk) with a watchdish (a diameter of 6 cm)	50	pH 6.8 phosphate buffer at 32 ± 0.5°C	500	15, 30, 45, 60, 90, 120, and 180	10/21/2010
Lidocaine	Topical Patch	Paddle over Disk (Apparatus 5)	50	Acetic acid/sodium acetate buffer, pH 4.0 at 32°C	500	10, 20, 30, 60, 120, and 180	01/03/2007
Menthol/Methyl Salicylate	Topical Patch	VI (Cylinder)	50	Neutralized phthalate buffered solution (0.2 M potassium biphthalate) with pH of 5.0 at 32 ± 0.5°C	900	10, 20, 30, 60, 120, 150, and 180	01/31/2013
Ciclopirox	Topical Suspension			Develop a method to characterize in vitro release			03/25/2010
Oxybutynin	Trans-dermal	Paddle over Disk (Apparatus 5)	50	Phosphate Buffer, pH 4.5 @ 32oC	900	1, 4, 24 hours	01/03/2007
Clonidine	Transdermal			Refer to USP			02/18/2009

(Continued)

Drug Name	Dosage Form	USP Apparatus	Speed (RPMs)	Medium	Volume (mL)	Recommended Sampling Times (minutes)	Date Updated
Fentanyl	Transdermal	VII (Reciprocating holder)-cylinder.	30 cycles per minute. amplitude of about 2m.	Equipolar mixture of 0.005 M phosphoric acid solution, and 0.005 M sodium phosphate, monobasic monohydrate (pH ~ 2.6), at 32°C. Change the test samples into fresh pre-equilibrated release medium at the time points indicated. Remove the protective liner and place the film onto a piece of nylon netting with adhesive facing the net. Secure the netting and transdermal system using nylon tie wraps at the top and bottom of the cylinder on the holder. The adhesive side faces towards the media	250 mL for the 75 and 100 mcg/hr, 200 mL for the 50 mcg/hr and 150 mL for the 25 and 12.5 mcg/hr dosage strength	0.5, 1, 2, 4, and 24 hours	06/09/2011
Rotigotine	Transdermal	Paddle over Disk (Apparatus 5)	50	Phosphate Buffer, pH 4.5 at 32°C	900	15, 30, 60, 90, 120, 150, and 180	07/15/2009
Scopolamine	Transdermal	Reciprocating disk (Apparatus 7)	Stroke depth: 2-3 cm; 30-60 cycles per minute	Distilled Water	25 x 150 mm test-tubes containing 20 mL	1, 2, 4, 6, 12, 18, 24, 36, 48, and 72 hours	07/15/2009
Selegiline (20 mg/20 cm2 and 30 mg/30 cm2)	Transdermal	Paddle over Disk (Apparatus 5)	50	0.1 M Phosphate buffer, monobasic, pH 5 at 32°C	500	1, 2, 4, 8, 12, 16, 20, and 24 hours	07/15/2009
Selegiline (40 mg/40 cm2)	Transdermal	Rotating Cylinder (Apparatus 6)	50	0.1 M Phosphate buffer, monobasic, pH 5 at 32°C	1000	1, 2, 4, 8, 12, 16, 20, and 24 hours	07/15/2009
Methylphenidate	Transdermal Patch	VI (Cylinder)	50	0.01 N HCl at 32°C	900	0.5, 1.5, 3, 4 hours, and until at least 80% released	04/15/2008
Progesterone	Vaginal Insert	II (Paddle)	50	0.25% sodium dodecyl sulfate (SDS) in DI water	900	5, 10, 15, 20, and 30	10/04/2012
Dinoprostone	Vaginal Insert (Extended Release)	II (Paddle)	50	Deionized Water	500	0.25, 0.5, 1, 2, 2.5, 3, 3.5, 4, and 5 hours	09/01/2011
Estradiol	Vaginal Ring	Incubator shaker	130	0.9% Saline	250	1, 9, 16, 17, 18, 19, 45, days	01/03/2007
Ethinyl Estradiol/ Etonogestrel	Vaginal Ring			Develop a method to characterize in vitro release			01/31/2013
Dinoprostone	Vaginal Suppository			Develop a method to characterize in vitro release			10/04/2012
Estradiol	Vaginal Tablet	I (Basket)	40	Phosphate Buffer, pH 4.75 ± 0.05	500	1, 2, 3, 5, 8, 10, and 12 hours	07/21/2009

Part II

Manufacturing Formulations



Taylor & Francis

Taylor & Francis Group

<http://taylorandfrancis.com>

Uncompressed Solids Formulations

ACEBUTOLOL HYDROCHLORIDE CAPSULES

The capsules are provided in two dosage strengths, which contain 200 or 400 mg of acebutolol as the hydrochloride salt. The inactive ingredients present are D&C Red No. 22, FD&C Blue No. 1, FD&C Yellow No. 6, gelatin, povidone, starch, stearic acid, and titanium dioxide. The 200 mg dosage strength also contains D&C Red No. 28; the 400 mg dosage strength also contains FD&C Red No. 40.

ACECLOFENAC INSTANT GRANULES

Bill of Materials

Scale (mg/ sachet)	Item	Material Name	Qty/1000 Sachet (g)
50.00	1	Aceclofenac	50.00
165.83	2	Orange Flavor	165.83
3292.30	3	Sorbitol	3292.30
169.23	4	Lutrol F 68	169.23
169.23	5	Cremophor RH 40	169.23
QS	6	Deionized water	~2 kg

MANUFACTURING DIRECTIONS

- Granulate items 1 to 3 with a solution of items 4 to 6. Pass through a 0.8 mm screen, dry, and sieve again.
- Fill 3.9 g into sachets corresponding to 50 mg aceclofenac.

ACETAMINOPHEN AND DIPHENHYDRAMINE HYDROCHLORIDE HOT THERAPY SACHETS

Bill of Materials

Scale (mg/ sachet)	Item	Material Name	Qty/1000 Sachets (g)
650.00	1	Acetaminophen (micronized)	650.00
250.00	2	Diphenhydramine hydrochloride	250.00
0.90	3	FD&C Yellow No. 10 Lake	0.90
0.0005	4	FD&C Red No. 40	0.0005
18081.10	5	Castor sugar	18081.10
200.00	6	Aspartame	200.00
250.00	7	Maize starch (dried)	250.00
180.00	8	Citric acid	180.00
38.00	9	Sodium citrate	38.00
200.00	10	Sodium chloride	200.00
240.00	11	Honey flavor (dry)	240.00

100.00	12	Lemon flavor (dry)	100.00
QS	13	Purified water	QS

MANUFACTURING DIRECTIONS

- Mix items 1 and 2 well, then pass through 0.8 mm sieves.
- Mix items 3, 5, and 13 to make a clear solution.
- Add mixture of items 1 and 2 to second step mixture and mix well.
- Add this mixture to item 4 and mix. Take care to avoid lump formation.
- Dry in an oven and maintain a constant temperature.
- Sieve and add items 6 to 12. Mix well. Make sure all the solids added are in fine powder form.
- Fill 20 g of powder into sachets and seal.

ACETAMINOPHEN CAPSULES (500 MG)

Bill of Materials

Scale (mg/ capsule)	Item	Material Name	Qty/1000 Caps (g)
500.00	1	Acetaminophen powder	500.00
30.00	2	Sodium starch glycolate	30.00
1.00	3	Aerosil® 200	1.00
2.00	4	Magnesium stearate	2.00
17.00	5	Starch dried	15.00

MANUFACTURING DIRECTIONS

- Mix all items after passing through 60 mesh screen and mix for 1 hour.
- Fill 550 mg into size 0 capsule.

ACETAMINOPHEN, DOXYLAMINE, AND CAFFEINE EFFERVESCENT

Bill of Materials

Scale (mg/ sachet)	Item	Material Name	Qty/1000 Sachet (g)
500.00	1	Acetaminophen powder	500.00
5.00	2	Doxylamine succinate	5.00
33.00	3	Caffeine (knoll)	33.00
391.00	4	Tartaric acid	391.00
417.00	5	Sodium hydrogen carbonate	417.00
6.00	6	Kollidon® 30	6.00

—	7	Isopropanol (or ethanol)	QS
30.00	8	Sodium citrate	30.00
707.00	9	Sugar	707.00

MANUFACTURING DIRECTIONS

1. Granulate a mixture of items 1 to 5 with a solution of items 6 and 7. Dry at 60°C under vacuum conditions. Sieve and mix with items 8 and 9.
2. Fill 2.1 g into sachets at a maximum 30% of relative atmospheric humidity. If the solvent isopropanol is replaced by water, the granulation should be done in a fluidized bed.

ACETAMINOPHEN INSTANT GRANULES**Bill of Materials**

Scale (mg/g)	Item	Material Name	Qty/kg (g)
166.66	1	Acetaminophen fine powder	166.66
426.64	2	Sucrose fine powder	426.64
300.00	3	Kollidon® CL-M	300.00
23.33	4	Aspartame	23.33
16.66	5	Orange flavor	16.66
16.66	6	Strawberry flavor	16.66
40.00	7	Kollidon 30	40.00
250.00	8	Ethanol 96%	250.00

MANUFACTURING DIRECTIONS

1. Granulate items 1 to 6 with solution made from items 7 and 8 and pass through a 0.8 mm sieve.
2. Fill 1.5 or 3.0 g into sachets (for 250 or 500 mg strength, respectively). The free-flowing granules are well dispersible in cold water. Suspend 1.5 or 3.0 g of the granules (=250 or 500 mg acetaminophen, respectively) in a glass of water.

ACETAMINOPHEN INSTANT GRANULES**Bill of Materials**

Scale (mg/g)	Item	Material Name	Qty/kg (g)
192.30	1	Acetaminophen fine powder	192.30
500.00	2	Sorbitol instant (Merck)	500.00
192.30	3	Kollidon CL-M	192.30
27.00	4	Aspartame	27.00
19.23	5	Orange flavor	19.23
19.23	6	Strawberry flavor	19.23
11.53	7	Sodium citrate	11.53
11.53	8	Citric acid	11.53

30.76	9	Kollidon® 90 F	30.76
192.30	10	Ethanol 96%	192.30

MANUFACTURING DIRECTIONS

1. Granulate items 1 to 8 with a solution made from items 9 and 10 and pass through a 0.8 mm sieve.
2. Fill 1.3 or 2.6 g into sachets (for 250 or 500 mg strength, respectively).
3. The free-flowing granules are well dispersible in cold water. Suspend 1.2 or 2.6 g of the granules (=250 or 500 mg acetaminophen, respectively) in a glass of water.

ACETAMINOPHEN INSTANT GRANULES**Bill of Materials**

Scale (mg/sachet)	Item	Material Name	Qty/1000 Sachet (g)
500.00	1	Acetaminophen fine powder	500.00
1300.00	2	Sorbitol instant (Merck)	1300.00
500.00	3	Lutrol F 127	500.00
30.00	4	Citric acid powder	30.00
30.00	5	Sodium citrate	30.00
80.00	6	Kollidon 90 F	80.00
500.00	7	Ethanol 96%	500.00

MANUFACTURING DIRECTIONS

1. Granulate a mixture of items 1 to 5 in a solution of item 6 in item 7. Fill 2.44 g into sachets (=500 mg acetaminophen).
2. The free-flowing granules are well dispersible in cold water.
3. The taste of the suspension is only slightly bitter (2.44 g in a glass of water).

ACETAMINOPHEN, PSEUDOEPHEDRINE HYDROCHLORIDE, CHLORPHENIRAMINE HOT THERAPY SACHET**Bill of Materials**

Scale (mg/sachet)	Item	Material Name	Qty/1000 Sachets (g)
650.00	1	Acetaminophen micronized	650.00
60.00	2	Pseudoephedrine hydrochloride	60.00
4.00	3	Chlorpheniramine maleate	4.00
1.20	4	Dispersed orange	1.20
18081.10	5	Castor sugar	18081.10
200.00	6	Aspartame	200.00
250.00	7	Cornstarch dried	250.00
180.00	8	Citric acid	180.00
38.00	9	Sodium citrate	38.00

200.00	10	Sodium chloride	200.00
400.00	11	Blood orange dry flavor	400.00
QS	12	Purified water	QS

MANUFACTURING DIRECTIONS

1. Mix items 1 and 2 well, pass through sieves and add to items 3 and 12 premixed and make into a clear solution.
2. Take care to avoid lump formation.
3. Dry in an oven.
4. Sieve and add items 6 to 11. Mix well.
5. Make sure all the solids added are in fine powder form. Fill 20 g powder into sachets and seal.

ACETAMINOPHEN, PSEUDOEPHEDRINE HYDROCHLORIDE HOT THERAPY SACHET**Bill of Materials**

Scale (mg/ sachet)	Item	Material Name	Qty/1000 Sachet (g)
650.00	1	Acetaminophen micronized	650.00
260.00	2	Pseudoephedrine hydrochloride	260.00
0.90	3	FD&C Yellow No. 10 Lake	0.90
18081.10	4	Castor sugar	18081.10
200.00	5	Aspartame	200.00
250.00	6	Cornstarch dried	250.00
180.00	7	Citric acid	180.00
38.00	8	Sodium citrate	38.00
200.00	9	Sodium chloride	200.00
240.00	10	Apple dry flavor	240.00
100.00	11	Cinnamon dry flavor	100.00
QS	12	Purified water	QS

MANUFACTURING DIRECTIONS

1. Mix items 1 and 2 well, pass through sieves and add to items 3 and 12 premixed and make into a clear solution.
2. Take care to avoid lump formation.
3. Dry in an oven.
4. Sieve and add items 6 to 11. Mix well.
5. Make sure all the solids added are in fine powder form. Fill 20 g powder into sachets and seal.

ACETAMINOPHEN SWALLOW CAPSULES**Bill of Materials**

Scale (mg/ capsule)	Item	Material Name	Qty/1000 Caps (g)
325.00	1	Acetaminophen fine powder	325.00
409.50	2	Sodium carbonate fine powder	409.50

13.91	3	Cornstarch	13.91
32.50	4	Starch pregelatinized	32.50
1.30	5	Polyvinylpyrrolidone K25	1.30
0.39	6	Potassium sorbate	0.39
9.75	7	Talc	9.75
3.25	8	Stearic acid	3.25
23.86	9	Ac-Di-Sol®	23.86
QS	10	Water purified	QS

MANUFACTURING DIRECTIONS

1. Sift items 1 to 6 through a 16 mesh sieve into a suitable mixer and granulate with a suitable quantity of item 10 to form a medium/heavy granule.
2. Dry the granules in a suitable oven at 45°C until the water content is <1%.
3. Pass the dried granule through a 12 mesh sieve to produce a white granule (yield 20.250 kg).
4. Fill 819.46 mg in a suitable capsule size.

ACETAZOLAMIDE SUSTAINED-RELEASE CAPSULES

Each sustained-release capsule, for oral administration, contains 500 mg of acetazolamide and the following inactive ingredients: ethyl vanillin, FD&C Blue No. 1, FD&C Yellow No. 6, gelatin, glycerin, microcrystalline cellulose, methylparaben, propylene glycol, propylparaben, silicon dioxide, and sodium lauryl sulfate.

ACETYLCYSTEINE SACHETS**Bill of Materials**

Scale (mg/ sachet)	Item	Material Name	Qty/1000 Sachets (g)
66.66	1	Acetylcysteine BP (200 mg/sachet)	66.66
914.16	2	Sugar (18–60 mesh)	914.16
3.33	3	Saccharin sodium	3.33
0.66	4	Silicon dioxide (colloidal)	0.66
0.16	5	FD&C Yellow dye No. 6	0.16
QS	6	Mandarin flavor (e.g., Naarden)	~13.00 mL

MANUFACTURING DIRECTIONS

1. Load the acetylcysteine and half the amount of sugar and saccharin sodium into a suitable blender and premix for 30 minutes.
2. Sift the premix through a 0.8 mm screen.
3. Load again into the blender.
4. Add the remaining amount of sugar and colloidal silicon dioxide and blend until uniform (typically

this is achieved on the PK processor[®] by heating the envelope to 40°C and mixing until the product cools to 30–35°C).

- Dissolve the dye in 13 mL of distilled water.
- Continue mixing the blended powders and slowly add the solution from step 5 above.
- When addition of the solution is complete, continue massing until the granulation is evenly wetted and colored. If necessary, complete massing by adding additional quantities of distilled water (approximately 1 mL increments).
- Verify that massing is adequate and note the total quantity of added water. Record the total quantity of water added. Do not overmass.
- Spread the wet granules on trays and dry at 50°C until loss on drying (LOD) is NMT 1% (3 hours at 60°C at 5 mm Hg).
- Allow the granules to cool, then sift on an oscillating granulator fitted with 1.18 mm aperture screen.
- Load the granules from step 10 above into a suitable blender, add the flavor, and blend until uniform (15 minutes), passing it through a 1.18 mm screen if necessary.
- Fill into suitable approved sachets at a theoretical fill weight of 3 g per sachet.

ACITRETIN CAPSULES

Acitretin, a retinoid, is available in 10 and 25 mg gelatin capsules for oral administration. Each capsule contains acitretin, microcrystalline cellulose, sodium ascorbate, gelatin, black monogramming ink, and maltodextrin (a mixture of polysaccharides). Gelatin capsule shells contain gelatin, iron oxide (yellow, black, and red), and titanium dioxide. They may also contain benzyl alcohol, carboxymethylcellulose sodium, and edetate calcium disodium.

ACRIVASTINE AND PSEUDOEPHEDRINE HYDROCHLORIDE CAPSULES

Acrivastine and pseudoephedrine hydrochloride is a fixed combination product formulated for oral administration. Acrivastine is an antihistamine and pseudoephedrine is a decongestant. Each capsule contains 8 mg of acrivastine, 60 mg of pseudoephedrine hydrochloride, and the following inactive ingredients: lactose, magnesium stearate, and sodium starch glycolate. The green and white capsule shell consists of gelatin, D&C Yellow No. 10, FD&C Green No. 3, and titanium dioxide. The yellow band around the capsule consists of gelatin and D&C Yellow No. 10. The capsules may contain one or more parabens and are printed with edible black and white inks.

ACRIVASTINE AND PSEUDOEPHEDRINE HYDROCHLORIDE CAPSULES

Bill of Materials

Scale (mg/capsule)	Item	Material Name	Qty/1000 Caps (g)
8.00	1	Acrivastine	8.00
60.00	2	Pseudoephedrine	60.00
440.00	3	Lactose	440.00
5.00	4	Magnesium stearate	5.00

MANUFACTURING DIRECTIONS

- Blend items 1 to 3 after sifting through an 80 mesh screen.
- Pass item 4 through a 100 mesh screen and add to step 1. Blend for 2 minutes.
- Fill 513 mg into size 0 capsules.

ACYCLOVIR CAPSULES

Each capsule contains 200 mg of acyclovir and the inactive ingredients cornstarch, lactose, magnesium stearate, and sodium lauryl sulfate. The capsule shell consists of gelatin, FD&C Blue No. 2, and titanium dioxide. It may contain one or more parabens and is printed with edible black ink.

ACYCLOVIR CAPSULES

Bill of Materials

Scale (mg/capsule)	Item	Material Name	Qty/1000 Caps (g)
200.00	1	Acyclovir, USE acyclovir micronized	212.00
3.00	2	Sodium lauryl sulfate	3.00
20.00	3	Cornstarch	20.00
52.00	4	Lactose monohydrate	52.00
2.00	5	Magnesium stearate	2.00
—	6	Ethanol	60 mL

MANUFACTURING DIRECTIONS

- Load items 1 to 4 in a suitable mixer and mix for 5 minutes with slow chopper speed.
- Add item 6 slowly with mixing at slow speed. Mix and chop for 2 to 3 minutes.
- Check for satisfactory massing. Use additional item 6 if necessary.
- Spread granules to ¼-inch thick layer on paper trays and dry at 50°C for 4 hours to a moisture of not more than (NMT) 1%. Dry further if required after testing.

- Pass the dried granules through a granulator equipped with a 0 mm sieve.
- Pass item 5 through 250 mm sieve and add to step 5. Mix for 3 minutes.
- Use size 1 capsules to fill 289 mg.

ADENOSINE MONOPHOSPHATE TOPICAL POWDER

Bill of Materials

Scale (mg/g)	Item	Material Name	Qty/kg (g)
30.00	1	DBcAMP ^a	30.00
920.00	2	Polyethylene glycol 6000	920.00
30.00	3	Talc	30.00
20.00	4	Colloidal silica Aerosil 200	20.00

^a Sodium N⁶, 2'-O-dibutryladenine-3', 5'-cyclic phosphate.

MANUFACTURING DIRECTIONS

- Pass all items through a 100 mesh sieve and blend.
- Pack in a bottle. Topical powder for treatment of dermatosis.

ALUMINUM ACETATE POWDER

Each powder packet, when dissolved in water and ready for use, provides the active ingredient aluminum acetate, resulting from the reaction of calcium acetate (938 mg) and aluminum sulfate (1191 mg). The resulting astringent solution is buffered to an acid pH.

ALUMINUM HYDROXIDE AND MAGNESIUM CARBONATE DRY SYRUP

Bill of Materials

Scale (mg/g)	Item	Material Name	Qty/kg (g)
200.00	1	Aluminum hydroxide dry gel (Giulini)	200.00
200.00	2	Basic magnesium carbonate	200.00
240.00	3	Kollidon CL-M	240.00
211.50	4	Sorbitol, crystalline	211.50
41.30	5	Orange flavor	41.30
82.60	6	Kollidon 30	82.60
3.30	7	Coconut flavor	3.30
4.13	8	Banana flavor	4.13
4.13	9	Saccharin sodium	4.13
8.26	10	Water	8.26

MANUFACTURING DIRECTIONS

- Granulate mixture of items 1 to 5 with solution of items 6 to 10, pass through a sieve, and dry. Shake 58 g of the granules with 100 mL of water.

AMINOSALICYLIC ACID GRANULES

Delayed-release granule preparation of aminosalicic acid (p-aminosalicylic acid: 4-aminosalicylic acid) for use with other antituberculosis drugs for the treatment of all forms of active tuberculosis due to susceptible strains of tubercle bacilli. The granules are designed for gradual release to avoid high peak levels that are not useful (and perhaps toxic) with bacteriostatic drugs. Aminosalicic acid is rapidly degraded in acid media; the protective acid-resistant outer coating is rapidly dissolved in neutral media such that a mildly acidic food, e.g., orange, apple, tomato juice, yogurt, or applesauce should be consumed to prevent this dissolution. PASER granules are the free base of aminosalicic acid and do *not* contain sodium or sugar. With heat p-aminosalicylic acid is decarboxylated to produce CO₂ and m-aminophenol. If the airtight packets are swollen, storage has been improper. Supply warning: DO NOT USE if packets are swollen or the granules have lost their tan color and are dark brown or purple. The granules are supplied as off-white, tan-colored granules with an average diameter of 1.5 mm and an average content of 60% aminosalicic acid by weight. The acid-resistant outer coating will be completely removed after a few minutes at a neutral pH. The inert ingredients are colloidal silicon dioxide, dibutyl sebacate, hydroxypropyl methylcellulose, methacrylic acid copolymer, microcrystalline cellulose, and talc.

AMLODIPINE BESYLATE AND BENAZEPRIL HYDROCHLORIDE CAPSULES

The capsules are formulated for oral administration with a combination of amlodipine besylate equivalent to 2.5 or 5 mg of amlodipine and 10 or 20 mg of benazepril hydrochloride. The inactive ingredients of the capsules are calcium phosphate, cellulose compounds, colloidal silicon dioxide, crospovidone, gelatin, hydrogenated castor oil, iron oxides, lactose, magnesium stearate, polysorbate 80, silicon dioxide, sodium lauryl sulfate, sodium starch glycolate, starch, talc, and titanium dioxide.

AMLODIPINE BESYLATE AND BENAZEPRIL HYDROCHLORIDE CAPSULES

Bill of Materials

Scale (mg/capsule)	Item	Material Name	Qty/1000 Caps (g)
20.00	1	Benazepril hydrochloride	20.00
32.92	2	Lactose monohydrate	32.92
5.00	3	Pregelatinized starch	5.00

1.00	4	Colloidal silica	1.00
2.00	5	Crospovidone	2.00
10.00	6	Microcrystalline cellulose	10.00
4.00	7	Hydrogenated castor oil	4.00
—	8	Water purified	QS
4.88	9	Hydroxypropyl methylcellulose 2910, 3 cps	4.88
0.12	10	Polysorbate 80	0.12
—	11	Water purified	QS
QS	12	Talc	QS
5.00	13	Amlodipine, USE amlodipine besylate	6.94
124.05	14	Microcrystalline cellulose, Avicel PH102	124.05
63.00	15	Dibasic calcium phosphate	63.00
4.00	16	Sodium starch glycolate	4.00
2.00	17	Magnesium stearate	2.00

MANUFACTURING DIRECTIONS

1. Mill items 1 to 3 and blend together.
2. Add water (item 8) to granulate the blend.
3. Screen the wet granules and dry them in oven.
4. Mill the dried granules and then mill together with items 5 to 7.
5. Screen item 4 and mix in step 4.
6. Compress into a core.
7. Dissolve item 10 in item 11 and add item 9 to it.
8. Coat the core prepared in step 6 using item 12 to dust the cores.
9. Mix items 13 to 16, then blend and screen. Blend again in a separate vessel.
10. Screen item 17 separately and add to step 9.
11. Fill into size 1 hard gelatin capsules the coated cores with 200 mg of the powder in step 10.

AMLODIPINE BESYLATE CAPSULES

Bill of Materials			
Scale (mg/capsule)	Item	Material Name	Qty/1000 Caps (g)
5.00	1	Amlodipine, USE amlodipine besylate	7.00
93.00	2	Microcrystalline cellulose, Avicel PH102	93.00
65.00	3	Dibasic calcium phosphate	65.00
8.00	4	Sodium starch glycolate	8.00
0.50	5	Colloidal silicon dioxide Aerosil 200	0.50
1.50	6	Magnesium stearate	1.50
1	7	Empty hard gelatin shell, size 3	1000.00

MANUFACTURING DIRECTIONS

1. Sift amlodipine besylate, Avicel PH102, dibasic calcium phosphate, and Primojel® through a 0.5 mm sieve and mix well in a mixer.
2. Lubricate the powder mixture in step 1 with magnesium stearate and Aerosil 200 that has been previously sieved. Mix for 2 minutes to get a homogeneous powder.
3. Fill the capsule in the capsule-filling machine to a weight adjusted to provide 5 mg amlodipine per capsule.

AMLODIPINE FREE BASE CAPSULES

Bill of Materials			
Scale (mg/capsule)	Item	Material Name	Qty/1000 Capsules (g)
5.00	1	Amlodipine base	5.00
20.00	2	Predried potato starch	20.00
72.60	3	Microcrystalline cellulose	72.60
0.50	4	Magnesium stearate	0.50

MANUFACTURING DIRECTIONS

1. Sieve the amlodipine base through a 500 micron screen.
2. Sieve the other excipients through an 850 micron screen.
3. Mix all excipients except magnesium stearate in a free fall mixer for 15 minutes at approximately 25 rpm.
4. Add magnesium stearate and the mix powder blend for another 5 minutes at approximately 25 rpm and fill into gelatin capsules.

AMLODIPINE MALEATE CAPSULES

Bill of Materials			
Scale (mg/capsule)	Item	Material Name	Qty/1000 Capsules (g)
6.42	1	Amlodipine maleate	6.42
72.60	2	Microcrystalline cellulose	72.60
20.00	3	Predried potato starch	20.00
0.50	4	Magnesium stearate	0.50

MANUFACTURING DIRECTIONS

1. Sieve the amlodipine maleate through a 500 micron screen. Sieve the other excipients through an 850 micron screen.
2. Mix all excipients except magnesium stearate in a free fall mixer for 15 minutes at approximately 25

- rpm. Check the pH value in 20% aqueous slurry (pH should be around 5.9).
- Add magnesium stearate and mix the powder blend for another 5 minutes at approximately 25 rpm.
 - Fill gelatin capsules to approximately 100 mg weight.

AMOXICILLIN AND BROMHEXINE HYDROCHLORIDE CAPSULES

Bill of Materials

Scale (mg/ capsule)	Item	Material Name	Qty/1000 Caps (g)
250.00	1	Amoxicillin, USE amoxicillin trihydrate	290.00
8.00	2	Bromhexine, USE bromhexine hydrochloride	8.80
34.00	3	Starch dried	34.00
3.00	4	Magnesium stearate	3.00
3.50	5	Aerosil 200	3.50
40.00	6	Talc	40.00
1.00	7	Hard gelatin capsule, size 1	1000.00

MANUFACTURING DIRECTIONS

- Load items 1 and 3 to 6 in a suitable blender and mix for 10 minutes.
- In a separate mixer, add small portion of step 1 and add by geometric dilution item 2 and mix well.
- Sift through 60 mesh screen.
- Fill 398 mg in each capsule.

AMOXICILLIN AND CLAVULANIC ACID POWDER FOR SUSPENSION, 125 MG AND 31.25 MG PER 5 ML (AMOXIL)*

Each capsule, with a royal blue opaque cap and pink opaque body, contains 250 or 500 mg of amoxicillin as the trihydrate. The cap and body of the 250 mg capsule are imprinted with the product name and 250; the cap and body of the 500 mg capsule are imprinted with AMOXIL and 500. The inactive ingredients are D&C Red No. 28, FD&C Blue No. 1, FD&C Red No. 40, gelatin, magnesium stearate, and titanium dioxide.

AMOXICILLIN AND CLAVULANIC ACID POWDER FOR SUSPENSION

Bill of Materials

Scale (mg/g)	Item	Material Name	Qty/kg (g)
19.00	1	Amoxicillin trihydrate	19.00
10.60	2	Potassium clavulanate (eq. clavulanic acid) 1: 1 in syloid	10.60

15.00	3	Aerosil 200	15.00
48.80	4	Mannitol	48.80
0.50	5	Citric acid monohydrate	0.50
1.90	6	Sodium citrate	1.90
1.20	7	Xanthan gum	1.20
2.00	8	Powdered flavor	2.00
0.45	9	Sweetener	0.45

MANUFACTURING DIRECTIONS

- Mix items 1 to 9 after passing through a 60 mesh screen at a temperature of 25°C and RH of NMT 30% in a suitable blender-mixer.
- Fill 5 g in a 30 mL bottle. Reconstitution with water gives 125 mg of item 1 and 31.25 mg of item 2 per 5 mL.

AMOXICILLIN AND CLAVULANATE POTASSIUM FOR SUSPENSION*

The inactive ingredients are powder for oral suspension (i.e., colloidal silicon dioxide, flavorings, succinic acid, xanthan gum, and aspartame) hydroxypropyl methylcellulose, mannitol, silica gel, silicon dioxide, and sodium saccharin.

AMOXICILLIN AND CLAVULANATE POTASSIUM FOR SUSPENSION*

Bill of Materials

Scale (mg/ bottle) (7 g/60 mL)	Item	Material Name	Qty/1000 Bottle (g)
1500.00	1	Amoxicillin trihydrate (equivalent to 1250 g of amoxicillin)	1500.00
393.60	2	Potassium clavulanate	393.60
150.00	3	Xanthan gum	150.00
1800.00	4	Hydroxypropyl methylcellulose dried	1800.00
150.00	5	Saccharin sodium	150.00
300.00	6	Silicon dioxide colloidal	300.00
10.00	7	Succinic acid	10.00
1500.00	8	Silica gel	1500.00
183.60	9	Peach dry flavor	183.60
236.40	10	Strawberry dry flavor	236.40
731.14	11	Lemon dry flavor	731.14

Note: 156 mg/5 mL syrup 60 mL (125 mg amoxicillin and 31.25 mg clavulanic acid.) 6.95 g/60 mL: Each 5 mL of reconstituted syrup contains 156.25 mg of amoxicillin and clavulanic acid.

MANUFACTURING DIRECTIONS

Note: Throughout the process of manufacturing and filling, maintain a relative humidity (RH) of NMT 40%.

I. Preparation of powder mix

- A. Mill 50% of amoxicillin trihydrate, saccharin sodium (dried to NMT 2% moisture by the Karl Fischer method), succinic acid through a 250 mm sieve or using a Fitz mill or equivalent with blades forward. Transfer to a blending mixer and mix for 15 minutes.
- B. Mill remaining amoxicillin trihydrate through a 100 mesh screen using a Fitz mill or equivalent and mix with above screened powders. Mix for 15 minutes.
- C. Mill xanthan gum, hydroxypropyl methylcellulose (dried to NMT 2% moisture dried at 105°C for 2 hours), colloidal silica, and silica gel through a No. 250 mm sieve or using a Fitz mill or equivalent with knives forward. Add to above mixture in step band mix for 15 minutes at medium speed.
- D. Screen all dry flavors through a 250 mm mesh screen and add to above mixture from step C.

II. Finishing

- A. Fill dry powder approximately 7 g in dry 60 mL glass bottles at a fill weight based on the assay of the active constituent.

AMOXICILLIN POWDER FOR SUSPENSION (125 AND 250 MG)

Bill of Materials

Scale (mg/5 mL)	Item	Material Name	Qty/51 (g)
125.00	1	Amoxicillin, USE amoxicillin trihydrate with 8% excess	143.50
1.04	2	Simethicone A	1.04
111.11	3	Castor sugar	111.11
444.44	4	Castor sugar	444.44
2479.86	5	Castor sugar	2479.86
23.33	6	Sodium citrate	23.33
1.67	7	Xanthan gum	1.67
13.33	8	Blood orange dry flavor	13.33
0.74	9	Vanilla dry flavor	0.74
4.44	10	Orange banana dry flavor	4.44
14.44	11	Aerosil 200	14.44

^a After reconstitution.

MANUFACTURING DIRECTIONS

1. Load item 3 and item 2 in a mixer and mix for 2 minutes.
2. Add item 4 and items 6 to 11 and mix for 5 minutes.
3. Pass through a Fitz mill; impact forward at high speed using sieve 24228.

4. In a separate mixer, load item 5 and item 1 and mix well, passing through a sifter.
5. Add to step 3 and mix for 20 minutes.
6. Fill 65 g for 100 mL and 39 g for 60 mL pack size.

AMOXICILLIN TRIHYDRATE CAPSULES (250 AND 500 MG)

Bill of Materials

Scale (mg/capsule)	Item	Material Name	Qty/1000 Caps (g)
500.00	1	Amoxicillin, USE amoxicillin trihydrate	576.00
1.20	2	Aerosil 200	1.20
7.72	3	Magnesium stearate	7.72
8.91	4	Sodium lauryl sulfate	8.91

MANUFACTURING DIRECTIONS

- a. All operations are to be completed at RH 40% to 45% and temperature 20°C to 25°C.
- b. Pass item 1 through a 1 mm sieve in a mixing vessel.
- c. Pass items 2 to 4 after passing through a 250 mm sieve; add one-third portion of item 1 from step 2 and mix for 10 minutes; add another one-third item 1 and mix; and finally, add balance and mix.
- d. Fill 594 mg into size 0 capsules.

AMPICILLIN DRY SYRUP (5% = 500 MG/10 ML)

FORMULATION

Ampicillin trihydrate, 5.0 g; sodium citrate, 5.0 g; citric acid, crystalline, 2.1 g; sodium gluconate, 5.0 g; sorbitol crystalline [10], 40.0 g; Kollidon CL-M [1], 6.0 g; orange flavor, 1.5 g; lemon flavor, 0.5 g; saccharin sodium, 0.4 g.

MANUFACTURING

1. Mix all components and fill into a bottle.

PREPARATION OF THE SUSPENSION FOR ADMINISTRATION

1. To 66 g of the powder, add water to fill to a total volume of 100 mL while shaking well.

AMPICILLIN POWDER FOR SUSPENSION

Bill of Materials

Scale (mg/5 mL)	Item	Material Name	Qty/5 l (g)
125.00	1	Ampicillin, USE ampicillin trihydrate 8% excess	144.25

1.00	2	Simethicone A	1.00
138.90	3	Castor sugar	138.90
27.44	4	Sodium citrate	27.44
7.00	5	Xanthan gum	7.00
15.00	6	Blood orange dry flavor	15.00
0.78	7	Vanilla dry flavor	0.78
7.55	8	Strawberry dry flavor	7.55
10.00	9	Aerosil 200	10.00
138.90	10	Castor sugar	138.90
2747.90	11	Castor sugar	2747.90

MANUFACTURING DIRECTIONS

- All operations should be completed in a RH of 45% to 55% and a temperature of 23°C to 25°C.
- Load items 2 and 3 in a suitable blender and mix for 5 minutes.
- Load items 1 and 4 to 10 in a separate mixer and mix for 5 minutes.
- Add step 2 into step 3 and mix for 10 minutes.
- Add item 11 and mix for 10 minutes.
- Fill 65 g for a 100 mL pack and 39 g for a 60 mL pack. For 250 mg strength, adjust active ingredient and adjust with item 11.

AMPICILLIN TRIHYDRATE CAPSULES

Bill of Materials			
Scale (mg/capsule)	Item	Material Name	Qty/1000 Caps (g)
500.00	1	Ampicillin, USE ampicillin trihydrate compacted	582.13
1.17	2	Aerosil 200	1.17
11.69	3	Magnesium stearate	11.69

MANUFACTURING DIRECTIONS

- Pass item 1 through a 1 mm sieve into a double-cone blender, except approximately 5% of the quantity.
- In a separate container, pass and collect items 2 and 3 through a 250 mm sieve.
- Add the balance of item 1 retained in step 1 into step 2 and blend for 10 minutes; pass through a 900 mm sieve if necessary.
- Add to step 2 and blend for 10 minutes.
- Fill 223.125 mg into size 0 capsules.

AMPICILLIN TRIHYDRATE CAPSULES FOR SUSPENSION

Bill of Materials			
Scale (mg/capsule)	Item	Material Name	Qty/1000 Caps (g)
250.00	1	Ampicillin, USE ampicillin trihydrate	250.00
2.50	2	Magnesium stearate	2.50
—	3	Gelatin capsule, size 2	1000.00

MANUFACTURING DIRECTIONS

- Dry blend ampicillin trihydrate and magnesium stearate in Baker Perkins mixer; bag off into polyethylene-lined drums.
- Fill on Zanasi AZ20 capsule-filling machine. The average fill weight is 295±9 mg; the average total weight is 360 mg. For a 500 mg capsule (size 0 capsules), the average fill weight is 593±15 mg; the average total weight is 690 mg.

AMPICILLIN TRIHYDRATE POWDER FOR SUSPENSION

Bill of Materials			
Scale (mg/bottle) (15 mL)	Item	Material Name	Qty/1000 Bottles (g)
1500.00	1	Ampicillin, USE ampicillin trihydrate (assuming potency 871; adjust amount accordingly)	1722.22
3072.10	2	Sucrose (adjust amount based on item 1 potency)	3072.10
372.53	3	Sodium citrate Dihydrate	372.53
31.93	4	Saccharin sodium	31.93
2.12	5	Acid citric anhydrous	2.12
45.23	6	Sodium carboxymethyl cellulose	45.23
22.61	7	Magnesium aluminum silicate Veegum [®] F	22.61
7.98	8	Dye	7.98
26.60	9	Flavor	26.60
18.00	10	Sodium benzoate	18.00
QS	11	Water purified	400.00

Note: Simethicone 0.15% can be added to reduce foaming during reconstitution. Adjust fill volume for the final size of reconstitution container, such as 60 mL or different strength desired, e.g., 250 mg/5 mL upon reconstitution.

MANUFACTURING DIRECTIONS

Caution: Handle with extreme care. Protect face and hands from amoxicillin because some individuals may be sensitive and reactions may occur.

1. Mixing
 - a. Pass sugar through a 2.38 mm aperture screen using an oscillating granulator.
 - b. Pass the following ingredients through a 595 mm aperture screen in a Fitz mill (high speed, impact forward): Sodium citrate, acid citric, saccharin sodium, carboxymethylcellulose, amoxicillin, and magnesium aluminum silicate.
 - c. Load ingredients from steps A and B in a suitable mixer and mix for 10 minutes until uniform.
 - d. Dissolve yellow dye in approximately 60 g of purified water.
 - e. Mass mixture from step C with dye solution from step D. If necessary, pass wet mass through a 4.76 mm aperture screen. **Caution:** Do not overwet or overmass. Product must remain as wet granules.
 - f. Spread evenly on stainless steel trays. If necessary, pass wet mass through a 4.76 mm aperture screen.
 - g. Oven dry granules at 45°C until LOD is NMT 0.6% (vacuum 60°C, 2 hours).
2. Finishing
 - a. Fill product into suitable containers. Theoretical fill weight is 5.32 g (+3% fill excess) per 15 mL container, requiring approximately 12 mL of water for reconstitution.

ANTIBACTERIAL AND BACTERIAL CULTURE CAPSULES**Bill of Materials**

Scale (mg/capsule)	Item	Material Name	Qty/1000 Caps (g)
125–500 mg	1	Penicillin, cephalosporin, or macrolide	125–500
10–100 million	2	<i>Lactobacillus acidophilus</i> ^a	10–100 B

^a Substitute with *Lactobacillus* spores, 300–600 million; *Streptococcus thermophilus*, 10 million; *Lactobacillus lactis*, 10–500 million; *Streptococcus lactis*, 10 million; *Saccharomyces cerevisiae*, 10 million; lactobacilli, GG 10¹⁰ units. This formulation includes the anti-infective agent, which can be penicillin, a cephalosporin, or a macrolide in doses ranging from 125 to 500 mg per capsule. Also included in the same capsule is a granulation of the bacteria, which is known to be eradicated during the therapy with these antibiotics. The bacteria are coated to protect them from the effect of coadministered antibiotics so that they can last in the intestine for over 3 months, replenishing the lost flora and reducing many of the side effects related to the use of antibiotics.

MANUFACTURING DIRECTIONS

1. Granules of one of the active ingredients (e.g., microorganisms) are first prepared by the following process.

Ingredients Parts by Weight

- Microorganism: 42.86%
- Microcrystalline cellulose: 53.93%
- Magnesium stearate: 1.07%
- Colloidal silicone dioxide: 0.71%
- Cross carmellose sodium: 1.43%
- The granules formed are compressed into a tablet-by-tablet compression machine having a laying facility at a temperature less than 25°C and RH NMT 50%.
- Tablets are transferred to a coating pan for coating using the following formulation.

Ingredients Parts by Weight

- Hydroxypropyl methylcellulose phthalate: 4.37%
- Titanium dioxide: 0.96% Purified talc: 0.19%
- Polyethylene glycol: 0.99%
- Isopropyl alcohol: 34.95%
- Dichloromethane: 58.54%

2. The remaining active ingredient (antibacterial agent) is mixed with excipients and filled into gelatin capsules. Before sealing of capsules the coated tablet containing active ingredients is introduced into capsules. The relative proportion of anti-infective agent and excipients for filling into capsule:

Ingredients Parts by Weight

- Anti-infective agent: 91.94%
- Pregelatinized starch: 6.24%
- Magnesium stearate: 1.44%
- Sodium lauryl sulfate: 0.38%

ANTIFUNGAL FOOT POWDER**Bill of Materials**

Scale (mg/g)	Item	Material Name	Qty/kg (g)
5.00	1	Dichlorobenzyl alcohol (myacide SF)	5.00
5.00	2	Allantoin	5.00
200.00	3	Cornstarch	200.00
790.00	4	Talc	790.00

MANUFACTURING DIRECTIONS

1. Mix all ingredients using the geometric dilution technique.
2. Fill.

ANTIOXIDANT EYE NUTRITION SUPPLEMENT CAPSULES

This is an antioxidant supplement formulated to provide nutritional support for the eye. It contains essential antioxidant vitamins, minerals, and 6 mg of lutein. Each capsule contains ascorbic acid, 60 mg; DL-alpha-tocopheryl acetate, 30 IU; zinc oxide, 15 mg (elemental); cupric oxide, 2 mg (elemental). The inactive ingredients are lactose monohydrate, crospovidone, magnesium stearate, and silicon dioxide.

ASPARTAME GRANULES IN SACHETS

Bill of Materials

Scale (mg/sachet)	Item	Material Name	Qty/1000 Sachet (g)
30.00	1	Aspartame	30.00
2.00	2	Silicon dioxide colloidal	2.00
968.00	3	Cerelose powder No. 60 ^a	1052.00

^a Std. qty. of cerelose powder allows for loss on drying.

MANUFACTURING DIRECTIONS

1. Protect from moisture; 40% RH at 25°C.
2. Oven dry cerelose powder at 50°C overnight until LOD is no more than 3% (3 hours, vacuum at 60°C). Pass dried cerelose powder through 595 µm aperture screen in oscillating granulator.
3. Load the following ingredients in suitable blender: aspartame, half the amount of dried cerelose powder (milled), and silicon dioxide colloidal. Add balance of dried cerelose powder (total amount of dried powder is 968 g/kg) and blend for 15 minutes.
4. Pass blended powders through an 840 mm aperture screen using an oscillating granulator and discharge into polyethylene-lined drums. Fill weight of 1 g/sachet.

ASPARTAME POWDER IN SACHETS

Bill of Materials

Scale (mg/g)	Item	Material Name	Qty/kg (g)
47.50	1	Aspartame	47.50
2.50	2	Silicon dioxide (colloidal)	2.50
950.00	3	Mannitol granules	950.00

MANUFACTURING DIRECTIONS

1. Protect from humidity. Maintain a RH of 40% and a temperature of 25°C.

2. Pass mannitol granules and colloidal silicon dioxide through an 840 µm screen in an oscillating granulator.
3. Load the following ingredients in suitable blender: aspartame, half of the amount of mannitol granules, and colloidal silicon dioxide.
4. Add balance of mannitol granules and blend for 15 minutes.
5. Pass blended powders through an 840 µm screen using an oscillating granulator and discharge into polyethylene-lined drums.
6. Fill weight is 0.8 g/sachet.

ASPIRIN AND CHLORPHENIRAMINE POWDER

The active ingredients are aspirin (650 mg) and chlorpheniramine maleate (4 mg) per powder. The inactive ingredients are fumaric acid, glycine, lactose, potassium chloride, silica, and sodium lauryl sulfate.

ASPIRIN-COATED CRYSTALS

Formulation: aqueous-based polymeric coating solution: hydroxypropylmethylcellulose (HPMC E5) 6%, propylene glycol 1%, FD&C Red No. 3 0.01%, and distilled Water QS to 100.

MANUFACTURING DIRECTIONS

1. Use a standard coating pan and an air suspension 6 in column to coat aspirin crystals of 100 to 200 mesh using top-spray, bottom-spray, and tangential-spray fluid bed coating processes.
2. Place aspirin crystal load in the product container.
3. Fluidize the crystals in an expansion chamber.
4. Locate the spray nozzle low in the expansion chamber so that liquid is applied when the crystals are moving at a higher velocity.
5. This serves to minimize surface wetting and to inhibit agglomeration.
6. Use a filter to separate entrained crystals from the exiting process air stream.
7. Calibrate the pump with coating solution prior to start-up of the coating process.
8. Activate the turbine and heat the process air to 55°C.
9. Start the spray and shake cycle and run continually until the coating solution is completely depleted.
10. Dry the coated aspirin crystal bed for 10 minutes and cool the product to 35°C.
11. Remove and weigh the product and pass through a 20 mesh screen to remove any agglomerates.
12. Aspirin-coated crystals can be used to make tablets or capsules. Tablets are prepared containing five components: 50% by weight aspirin crystals (100–200 mesh) coated previously with 3% to 6% polyvinylpyrrolidone; 25% calcium carbonate buffer, 5% to 15% hydroxypropylmethylcellulose (K100LV) as

the gel forming hydrophilic matrix material; 14.5% to 19.5% microcrystalline cellulose (Avicel PH 101) as the excipient/binder; and 0.5% stearic acid as the hydrophobic lubricant.

13. 650 mg samples are either compressed in $\frac{1}{2}$ inch punches or filled into size 0 capsules.

ASPIRIN AND PHENYLPROPANOLAMINE POWDER

The active ingredients are aspirin (650 mg), phenylpropanolamine hydrochloride (25 mg) per powder, and pseudoephedrine hydrochloride (60 mg) per powder sachet. The inactive ingredients are fumaric acid, glycine, lactose, potassium chloride, silica, and sodium lauryl sulfate.

ASPIRIN MICROENCAPSULATED SUSTAINED-RELEASE CAPSULES

Bill of Materials

Scale (mg/capsule)	Item	Material Name	Qty/1000 Caps (g)
320.00	1	Aspirin	320.00
480.00	2	Gelatin	480.00
QS	3	Water purified	QS
QS	4	Corn oil	QS
QS	5	Petroleum ether	QS
QS	6	Isopropyl alcohol	QS
QS	7	Glutaraldehyde 1%	QS

MANUFACTURING DIRECTIONS

1. Add item 2 to 0.8 L of item 3 and allow the mixture to stand at 25°C for 1 hour while the gelatin hydrates and swells.
2. Heat this preparation to 60°C while stirring at 300 rpm for 30 minutes; heat 0.5 L of distilled water to 60°C and add, and stir the solution at 500 rpm for an additional 5 minutes.
3. Add item 1, as finely powdered aspirin, to the solution while continuing to stir to give a uniform suspension.
4. After 1 minute, pour the warm suspension without delay into 5 L of a rapidly stirred (500 rpm) solution of 20% corn oil in petroleum ether, which has been previously brought to 25°C, and rapidly (i.e., over a period of no more than 5 minutes) cool the resulting emulsion to 5°C while the stirring is continued.
5. Cold (5°C) isopropyl alcohol is then added to dehydrate the gelatin microspheres while the preparation is stirred for another 10 minutes.
6. Collect the microspheres by filtration and wash 3 times with cold (5°C) isopropyl alcohol.
7. Immersed the microspheres in 0.8 L of a 1% solution of glutaraldehyde in cold (5°C) isopropyl alcohol

for 8 hours and then wash 3 times with isopropyl alcohol, collect by filtration, and vacuum dry for 24 hours.

8. Fill the microspheres, which average 300 to 400 μm in diameter, into gelatin capsules for administration as a safer, long-acting analgesic product (800 mg of the microsphere mix, which contains 320 mg of aspirin, is filled into each size 0 capsule). The capsules, when released into the stomach following ingestion, provide for the sustained release of the drug for 1 to 4 hours and also ensure that the drug reaches the gastrointestinal mucosa while in the solution state, instead of the more deleterious solid state that is characteristic of conventional dosage forms of this drug. Physical integrity of the matrix is maintained for 1 to 4 hours after the release of its drug content, after which time the matrix dissolves.

ASPIRIN, SALICYLAMIDE, AND CAFFEINE POWDER

Each powder contains aspirin (650 mg), salicylamide (195 mg), and caffeine (33.3 mg). The inactive ingredients are dioctyl sodium sulfosuccinate, fumaric acid, lactose, and potassium chloride. For arthritis strength powder, the active ingredients in each powder are aspirin (742 mg), salicylamide (222 mg), and caffeine (38 mg). The inactive ingredients are dioctyl sodium sulfosuccinate, fumaric acid, lactose, and potassium chloride.

AZITHROMYCIN SUSPENSION

MANUFACTURING DIRECTIONS

1. Place sucrose (1433.216 g), azithromycin dihydrate (530.784 g), mannitol (1200 g), pregelatinized starch (200 g), and magnesium oxide (280 g) in a blender and blend for 15 minutes.
2. Pass the blend through a sieve and blend for another 15 minutes. To the blend add aspartame (100 g), artificial cherry flavor (8 g), artificial cream flavor (8 g), and artificial strawberry flavor (8 g) and blend the mixture for 10 minutes.
3. To the blend add magnesium stearate (30 g) and further blend the mixture for 5 minutes. Remove the contents of the blender and package for constitution with water.

AZITHROMYCIN CAPSULES

Each capsule contains azithromycin dihydrate equivalent to 250 mg of azithromycin. The capsules are supplied in red opaque hard gelatin capsules (containing FD&C Red No. 40). They also contain the following inactive ingredients: anhydrous lactose, cornstarch, magnesium stearate, and sodium lauryl sulfate.

AZITHROMYCIN CAPSULES

Bill of Materials			
Scale (mg/capsule)	Item	Material Name	Qty/1000 Caps (g)
250.00	1	Azithromycin, USE azithromycin dihydrate ^a	263.00
196.00	2	Anhydrous lactose	196.00
50.00	3	Starch (cornstarch dried)	50.00
9.00	4	Magnesium stearate	9.00
2.00	5	Sodium lauryl sulfate	2.00
—	6	Empty hard gelatin capsules, size 0	1000.00

Note: Weight of one capsule = 520 mg + shell.

^a Considering the potency of the active ingredient is 1000 Mg/mg (anhydrous basis) with water content 5%, the required quantity of azithromycin dihydrate depends on the provided potency.

MANUFACTURING DIRECTIONS

Note: Processing should be done under a controlled room temperature and humidity area. The limits are room temperature: 20°C to 25°C, RH: 40% to 45%.

- Mix items 1 and 2 in a polyethylene bag. Pass through a 500 mm stainless steel sieve. Collect in a stainless steel drum lined with a polyethylene bag.
- Mix items 3 to 5 in a polyethylene bag. Pass through a 250 mm stainless steel sieve. Collect in a polyethylene bag.
- Take a polyethylene bag. Check if there is any leakage. Add the powder mix from steps 1 and 2. Mix manually for 1 minute.
- Unload the powder in a stainless steel drum.
- Check the temperature and RH of the room before beginning encapsulation. The limits are RH: 40% to 45%, temperature: 20°C to 25°C.
- Load the empty capsule shells, size 0, in the hopper.
- Switch the power to "ON." Check the locking of the capsules without powder. The locking length is 21.1 to 21.7 mm.
- Load the powder in the hopper by scoop. Switch the power to "ON." Adjust the fill net weight to 520 mg per capsule. Nominal weight of one capsule: 520 mg + weight of one empty shell (95 mg). Target weight: 520 mg±2% + weight of one empty shell (95 mg).

AZITHROMYCIN CAPSULES

Bill of Materials			
Scale (mg/capsule)	Item	Material Name	Qty/1000 Caps (g)
250.00	1	Azithromycin base, USE azithromycin monohydrate	263.72

149.88	2	Lactose anhydrous	149.88
9.40	3	Magnesium stearate/ Sodium lauryl sulfate (90/10)	9.40

Note: Based on bulk potency of 94.8%, adjust with item 2.

MANUFACTURING DIRECTIONS

- Sift items 1 and 2 through an 80 mesh screen and blend.
- Add item 3 and mix for 3 minutes.
- Fill 470 mg into size 0 capsules.

AZITHROMYCIN CAPSULES AND ORAL SUSPENSION

Capsules contain azithromycin dihydrate equivalent to 250 mg of azithromycin. The capsules are supplied in red opaque hard gelatin capsules (containing FD&C Red No. 40). They also contain the following inactive ingredients: anhydrous lactose, cornstarch, magnesium stearate, and sodium lauryl sulfate. It is also supplied as a powder for oral suspension in bottles containing azithromycin dihydrate powder equivalent to 300, 600, 900, or 1200 mg azithromycin per bottle, and the following inactive ingredients: sucrose; sodium phosphate tribasic anhydrous; hydroxypropyl cellulose; xanthan gum; FD&C Red No. 40; and spray-dried artificial cherry, creme de vanilla, and banana flavors. After constitution, each 5 mL of suspension contains 100 or 200 mg of azithromycin.

AZITHROMYCIN FOR ORAL SUSPENSION

Bill of Materials			
Scale (mg/5 mL)	Item	Material Name	Qty/Bottles (g)
200.00	1	Azithromycin, USE azithromycin dihydrate ^a	1.263
3861.50	2	Castor sugar	23.169
18.00	3	Tribasic sodium phosphate	0.108
15.00	4	Sodium benzoate	0.090
2.50	5	Hydroxypropyl cellulose (Klucel EF)	0.015
2.50	6	Xanthan gum	0.015
15.00	7	Cherry dry flavor	0.090
33.33	8	Vanilla dry flavor	0.200
25.00	9	Banana dry flavor	0.150

^a Considering the potency of the active ingredient is 1000 Mg/mg (anhydrous basis) with water content 5%, the required quantity of azithromycin dihydrate depends on the provided potency.

MANUFACTURING DIRECTIONS

Note: Processing should be done under controlled room temperature and humidity conditions. The limits are room temperature: 20°C to 25°C, RH: 40% to 45%.

1. Dry item 3 at 90°C for 2 hours.
2. Sift item 2 through a Fitz mill, impact forward, medium speed using sieve No. 24228.
3. Collect in a stainless steel drum.
4. Sift 12 g of item 2 (From step 2) and item 1 through 630 μm s.s. sieve in sifter. Load into a drum blender. Mix for 3 minutes.
5. Mix 5 g of item 2 (from step 2), item 3 from step 1, and items 4 to 9 in a polyethylene bag. Sift through 630 mm s.s. sieve in sifter. Collect in a polyethylene bag.
6. Load the powder mix from step 4 into step 3 in a drum blender. Mix for 3 minutes.
7. Load 6.17 g of item 2 (from step 2) into step 5 in a drum blender. Mix for 3 minutes.
8. The fill weight for a 30 mL pack is 25.10 g.

AZITHROMYCIN FOR ORAL SUSPENSION

Bill of Materials

Scale (mg/ bottle)	Item	Material Name	Qty/1000 Bottles (g)
838.57	1	Azithromycin dihydrate	838.57
15487.74	2	Sucrose	15487.74
70.01	3	Sodium phosphate tribasic anhydrous	70.01
26.62	4	Hydroxypropyl cellulose (Klucel EF)	26.62
26.62	5	Xanthan gum (Keltrol)	26.62
0.67	6	FD&C Red No. 40	0.67
59.94	7	Cherry flavor spray-dried artificial No. 11929	59.94
133.28	8	Vanilla flavor artificial No. 11489	133.28
99.96	9	Banana flavor spray-dried artificial No. 15223	99.96

Note: Based on bulk potency of 95.4%, adjust with item 2.

MANUFACTURING DIRECTIONS

1. Sift all ingredients through an 80 mesh screen and mix well.
2. Fill 16.743 g per bottle.
3. To reconstitute, add 0.52 mL/g of dry suspension.

AZITHROMYCIN SACHETS FOR ORAL SUSPENSION

Bill of Materials

Scale (mg/ sachet)	Item	Material Name	Qty/1000 Sachet (g)
1.000	1	Azithromycin base, USE azithromycin dihydrate	1.048

9.707	2	Sucrose	9.707
0.088	3	Sodium phosphate tribasic anhydrous	0.088
0.055	4	Colloidal silicon dioxide	0.055
0.038	5	Cherry flavor spray-dried artificial	0.038
0.064	6	Banana flavor spray-dried artificial	0.064

Note: Based on bulk potency of 95.4% of azithromycin, adjust for potency using item 2.

MANUFACTURING DIRECTIONS

1. Sift items 1 to 4 through an 80 mesh screen into a blender. Blend.
2. Sift items 5 and 6 and add to step 1. Blend.
3. Fill 11 g in one sachet, approximately 3.25 in \times 4 in, polyethylene-lined. To reconstitute, add contents to 60 mL water and stir well.

BALSALAZIDE DISODIUM CAPSULES

Each capsule contains 750 mg of balsalazide disodium. The inactive ingredients are colloidal silicon dioxide and magnesium stearate. The sodium content of each capsule is approximately 86 mg.

BENAZEPRIL HYDROCHLORIDE AND AMLODIPINE BESYLATE CAPSULES

These capsules are a combination of amlodipine besylate and benazepril hydrochloride. The capsules are formulated for oral administration with a combination of amlodipine besylate equivalent to 2.5 or 5 mg of amlodipine and 10 or 20 mg of benazepril hydrochloride. The inactive ingredients of the capsules are calcium phosphate, cellulose compounds, colloidal silicon dioxide, crospovidone, gelatin, hydrogenated castor oil, iron oxides, lactose, magnesium stearate, polysorbate 80, silicon dioxide, sodium lauryl sulfate, sodium starch (potato) glycolate, starch (corn), talc, and titanium dioxide.

BENAZEPRIL HYDROCHLORIDE AND AMLODIPINE BESYLATE CAPSULES

Bill of Materials

Scale (mg/ capsule)	Item	Material Name	Qty/1000 Caps (g)
20.00	1	Benazepril hydrochloride	20.00
32.90	2	Lactose monohydrate	32.90
5.00	3	Pregelatinized starch	5.00
1.00	4	Colloidal silicon dioxide	1.00
2.00	5	Crospovidone	2.00
10.00	6	Microcrystalline cellulose	10.00
4.00	7	Hydrogenated castor oil	4.00

QS	8	Water purified	QS
4.88	9	Hydroxypropyl methylcellulose 2910, 3 cps	4.88
0.19	10	Polysorbate 80	0.19
QS	11	Purified water	QS
QS	12	Talc	QS
5.00	13	Amlodipine, USE amlodipine besylate	6.94
124.05	14	Microcrystalline cellulose	124.05
63.00	15	Calcium phosphate dibasic	63.00
4.00	16	Sodium starch glycolate	4.00
2.00	17	Magnesium stearate	2.00

MANUFACTURING DIRECTIONS

- Benazepril hydrochloride cores are prepared using the following:
 - Mill Items 1 to 3 and blend together and add water to granulate the blend.
 - Screen and oven dry the wet granules. Then, mill the dried granules together with items 5 to 7.
 - Screen item 4 and then mix with the other ingredients. Compress the resulting mixture into a core.
- Coat the resulting cores with a coating solution prepared as follows: dissolve item 10 in the water and add item 9 thereto.
 - Then coat the previously made cores with this solution and dry the wet-coated tablets.
 - Dust the dried tablets with item 12.
- Prepare amlodipine besylate for incorporation into the formulation as follows:
 - Blend together items 13 to 16 and screen and reblend the blended mixture.
 - Separately screen item 17 and then blend with the reblended mixture containing the amlodipine.
- Use No. 1 hard gelatin capsules to encapsulate benazepril hydrochloride containing the coated core along with 200 mg of the amlodipine besylate containing powder per capsule.

BISACODYL COLONIC DELIVERY CAPSULES

Bill of Materials

Scale (mg/capsule)	Item	Material Name	Qty/1000 Caps (g)
210.00	1	Sugar sphere	210.00
5.00	2	Hydroxypropyl methylcellulose	5.00
3.00	3	Bisacodyl micronized	3.00
1.00	4	Hydroxypropyl methylcellulose	1.00
18.00	5	Eudragit® L100-55	18.00

5.00	6	Eudragit S	5.00
4.00	7	Dibutyl phthalate	4.00
8.00	8	Talc	8.00
1.00	9	Red ferric oxide	1.00
2.00	10	Talc	2.00

MANUFACTURING DIRECTIONS

- Micronize bisacodyl in a fluid energy mill using a grinding pressure of 50 psi to produce a powder with 90% of the particles below 10 μm .
- Disperse this in water at a level of 2.7% by weight, with 0.9% by weight of hydroxypropyl methylcellulose (HPMC) as a binding polymer sprayed onto sugar spheres (6.53–6.63 mm diameter) in a perforated pan coater maintaining an outlet airbed temperature of approximately 40°C.
- Barrier coat: Dissolve HMPC in water to produce 4% by weight solution, and coat on the substrates described above in a perforated pan coater maintaining an outlet air/bed temperature of approximately 40°C.
- Inner enteric coat: Dissolve Eudragit L100-55 and dibutyl phthalate in a solution of isopropanol, acetone, and water (37: 9: 1) at levels of 8.0% and 1.6% (total weight percent), respectively. Suspend talc in the solution at a level of 3.3% by weight. Coat the resulting mixture onto the barrier-coated substrates in step 4 in a perforated pan coater maintaining an outlet air/bed temperature of approximately 30°C.
- Outermost enteric coat: Dissolve Eudragit S and dibutyl phthalate in a solution of isopropanol, acetone, and water (37: 9: 1) at levels of 8.0% and 1.6% (total weight percent) respectively. Suspend red ferric oxide and talc in the solution at levels of 1.2% and 2.1% by weight, respectively. Coat the resulting mixture onto the barrier-coated substrates above in a perforated pan coater maintaining an outlet air/bed temperature of approximately 30°C.
- Fill an appropriate theoretical quantity into hard capsules.

BROMPHENIRAMINE AND PSEUDOEPHEDRINE CAPSULES

These capsules are light green and clear, and contain white beads. The extended-release capsule contains brompheniramine maleate (12 mg) and pseudoephedrine hydrochloride (120 mg) in a specially prepared base to provide prolonged action. Alternate strength is 6 mg and 60 mg, respectively. The capsules also contain the following inactive ingredients: calcium stearate, D&C Yellow No. 10, FD&C Blue No. 1, FD&C Yellow No. 6, gelatin, pharmaceutical glaze, starch, sucrose, and talc.

BUDESONIDE CAPSULES**Bill of Materials**

Scale (mg/ capsule)	Item	Material Name	Qty/1000 Caps (g)
1.00	1	Budesonide micronized	1.00
321.00	2	Sugar spheres	321.00
6.60	3	Aquacoat ECD30	6.60
0.50	4	Acetyltributyl citrate	0.50
0.10	5	Polysorbate 80	0.10
17.50	6	Eudragit L100–55	17.50
1.80	7	Triethylcitrate	1.80
8.80	8	Talc	8.80
0.01	9	Antifoam MMS	0.01

MANUFACTURING DIRECTIONS

1. Suspend budesonide (32.2 g) in the Aquacoat ECD30 dispersion (0.70 kg) with the aid of the polysorbate 80 (0.42 g) together with acetyltributyl citrate (15.8 g).
2. Spray the mixture onto sugar spheres (10.2 kg) in a fluid bed apparatus.
3. Then spray enteric coating, consisting of the Eudragit L100–55 dispersion [Eudragit L100–55 (0.558 kg), triethylcitrate (55.8 g), talc (0.279 kg), antifoam MMS (0.44 g), and polysorbate 80 (2.79 g)] on the spheres.
4. Dry the pellets in the fluid bed apparatus, sieve, and fill into hard gelatin capsules.

BUDESONIDE INHALATION POWDER

The inhalation-driven, multidose dry powder inhaler contains only micronized budesonide. Each actuation of container provides 200 µg budesonide per metered dose, which delivers approximately 160 µg budesonide from the mouthpiece (based on in vitro testing at 60 L/min for 2 sec).

BUTALBITAL AND ACETAMINOPHEN CAPSULES

Each capsule contains butalbital (50 mg) and acetaminophen (325 mg). In addition, each capsule may also contain the following inactive ingredients: benzyl alcohol, butylparaben, D&C Red No. 28, D&C Red No. 33, edetate calcium disodium, FD&C Blue No. 1, FD&C Red No. 40, gelatin, methylparaben, propylparaben, silicon dioxide, sodium lauryl sulfate, sodium propionate, and titanium dioxide.

1. Operate the fluid bed sprayer/dryer with the following parameters.
Flow rate: 1.5 mL/min
Inlet air temperature: 25°C
Outlet air temperature: 25°C
Air flap: 35 Atomizer: 2 bar
2. A size 0 capsule after the enteric coating will typically have the following composition.

Preemulsion solution: 0.589 g

Undercoat polymer: 0.027 g

Enteric coat polymer: 0.032 g, 0.648 g

CALCITONIN (SALMON) CAPSULES**Bill of Materials**

Scale (mg/ capsule)	Item	Material Name	Qty/1000 Caps (g)
500 IU	1	Salmon calcitonin	500000 IU
0.048	2	Dimyristoyl phosphatidic acid	0.048
3.44	3	Aprotinina	3.44
3.78	4	Hydroxypropyl cellulose-LF	3.78
3.78	5	Polyoxy-40 stearate	3.78
140.97	6	Polyethylene glycol 400	140.97
15.55	7	Propylene glycol	15.55
8.83	8	Citrate buffer	8.83
31.49	9	Cholesterol	31.49
17.40	10	Tween 80	17.40
63.69	11	Egg yolk lecithin	63.69
19.79	12	D-Alpha-tocopherol	19.79
28.15	13	Glyceryl monooleate	28.15
251.45	14	Isostearic acid	251.45

Note: Human Growth Hormone: 2.6 IU = 1 mg.

^a Aprotinin: 7500 KIU = 1 mg.

MANUFACTURING DIRECTIONS

1. Disperse polyoxy-40 stearate in the solvent mixture of polyethylene glycol 400 and propylene glycol.
2. Separately disperse sodium cholate in the mixture.
3. Then add a water solution containing recombinant human growth hormone, phospholipid, and aprotinin to the solvent mixture from step 1 and adjust the pH 2.5 with the help of buffer.
4. Separately make the lipid solution in another vessel.
5. To the oil solution, add the polyol solution drop-wise while mixing continuously. While mixing, it is suggested that the vessel be ice jacketed to prevent the denaturation of the protein in the formulation.
6. Clear transparent liquid, which is called the pre-emulsion solution, is obtained after approximately 5 minutes of mixing at low speed. An in situ emulsion can be made by mixing any ratio of the preemulsion solution with the simulated intestinal fluid.
7. Fill the preemulsion solution into a size 0 hard gelatin capsule, and seal the capsule with a band of gelatin solution. The banding helps to coat the capsule uniformly.
8. Then coat the capsule with a 10% hydroxypropyl methylcellulose solution as an undercoat. The amount of coat required is sufficient to just enough

cover the capsule uniformly with a thin layer of the polymer coat. Usually, a 3.5% to 4.5% weight gain of the capsules is a good indication of the amount required as an undercoat.

9. Once the capsule is coated with an undercoat, apply an enteric coating. For enteric coating purposes, different polymers such as hydroxypropyl methylcellulose, hydroxypropyl methylcellulose phthalate, and cellulose acetate phthalate are used.
10. Anionic copolymers, which are based on methacrylic acid and methyl methacrylate and are commercially available as Eudragit, are also suitable polymers for enteric coating purposes. Dissolve the polymer in organic solvents such as ethyl alcohol, methyl alcohol, acetone, or isopropyl alcohol. A combination of two solvents can also be used. The amount of enteric coating solution required is 5% to 6% of the weight gain of the capsules from the original weight of the capsules before applying enteric coat. A typical enteric coating solution is made as follows: methacrylic acid and methyl, 10% w/w; methacrylate copolymer (polymer); diethyl butyl phthalate (plasticizer), 2% w/w; acetone, 22% w/w; isopropanol, 66% w/w.
11. Mix acetone and isopropanol. Add the polymer slowly with constant mixing. Once the polymer is dissolved, add the plasticizer slowly and let it dissolve.
12. For size 0 capsules, the above-mentioned enteric coating solution can be sprayed using fluidizing.

CALCITRIOL CAPSULES

It is available as capsules containing 0.25 or 0.50 µg calcitriol, BHA, and BHT as antioxidants. The capsules contain a fractionated triglycerides of palm seed oil. Gelatin capsule shell contains glycerin, methyl, and propylparabens, and sorbitol, with the following dye system: 0.25 µg of FD&C Red No.3, FD&C Yellow No. 6, and titanium dioxide.

CALCIUM CARBONATE MICROENCAPSULATED SUSTAINED-RELEASE CAPSULES

Bill of Materials			
Scale (mg/capsule)	Item	Material Name	Qty/1000 Caps (g)
600.00	1	Calcium carbonate	600.00
900.00	2	Gelatin	900.00
QS	3	Water purified	1.5 l
QS	4	Corn oil	QS
QS	5	Petroleum ether	QS
QS	6	Isopropyl alcohol	QS
QS	7	Glutaraldehyde 1%	QS

MANUFACTURING DIRECTIONS

1. Add item 2 to 1.5 L of item 3 and allow the mixture to stand at 25°C for 1 hour while the gelatin hydrates and swells.
2. Add item 1 to this mixture and heat the preparation to 60°C while stirring at 300 rpm for 30 minutes to effect dissolution of the gelatin and to ensure even suspension of the calcium carbonate. Add additional distilled water, previously heated to 60°C, to bring the total volume to 100°C while the stirring is continued.
3. Slowly pour this preparation into 12 L of a mixture consisting of 20% by volume of corn oil in petroleum ether, which has previously been heated to 60°C while stirring the petroleum ether solution 500 rpm. Cool this preparation to 5°C with continued stirring and continue stirring at 500 rpm for 1 hour after the lower temperature is reached.
4. While stirring of the preparation at 5°C is continued, add 6 L of isopropanol.
5. Collect the solid microspheres by filtration and wash 3 times with isopropyl alcohol.
6. Immerse the capsules in 1.5 L of a 1% solution of glutaraldehyde in isopropyl alcohol for 8 hours at 5°C.
7. Wash the capsules again 3 times with isopropyl alcohol, filter, and vacuum dry for 24 hours.
8. Fill the microspheres, which average between 200 and 300 µm in diameter, into gelatin capsules for administration as a long-acting antacid product (fill 1.5 g of the microsphere mix, which contains 600 mg calcium carbonate, into each size 0 capsule).
9. The microcapsules, when released into the stomach following ingestion, delay the reaction of the calcium carbonate with the acid of the stomach for a useful period of time (between 3 and 6 hours), which provides the patient with sustained antacid protection.
10. Physical integrity of the matrix is maintained from 1 to 4 hours after the release of its drug contents, after which the matrix dissolves through hydrolytic cleavage of its bonds and proteolytic digestion.

CAMPTOTHECIN CAPSULES

Bill of Materials			
Scale (mg/capsule)	Item	Material Name	Qty/1000 Caps (g)
100.00	1	CPT-11	100.00
470.00	2	Polyethylene glycol 13000	470.00
50.00	3	Triacetin	50.00
5.00	4	Polysorbate 80	5.00
QS	5	Capsule shell HPMC	1000.00

MANUFACTURING DIRECTIONS

1. Melt items 2 to 4 and add item 1 and admix thoroughly; allow the mixture cool and solidify.
2. Mill the step 1 mixture into a suitable size and fill into HPMC shell capsules.

CARBAMAZEPINE EXTENDED-RELEASE CAPSULES

The capsule is a multicomponent capsule formulation consisting of three different types of beads: immediate-release beads, extended-release beads, and enteric-release beads. The three bead types are combined in a specific ratio to provide twice daily dosing of carbamazepine. The inactive ingredients are citric acid, colloidal silicon dioxide, lactose monohydrate, microcrystalline cellulose, polyethylene glycol, povidone, sodium lauryl sulfate, talc, triethyl citrate, and other ingredients. The 200 mg capsule shells contain gelatin, FD&C Red No. 3, FD&C Yellow No. 6, yellow iron oxide, FD&C Blue No. 2, and titanium dioxide, and are imprinted with white ink. The 300 mg capsule shells contain gelatin, FD&C Blue No. 2, FD&C Yellow No. 6, red iron oxide, yellow iron oxide, and titanium dioxide, and are imprinted with white ink.

MANUFACTURING DIRECTIONS

This product is made from three types of pellets, one with instant-release profile and two with sustained-release profile; generally, an equal component of each pellet is used but other variations may be used as well.

	Percent	Kilograms
Pellet A: Immediate-Release Component		
Microcrystalline cellulose, N.F. (MCC) (Avicel PH-101/102, Emcocel)	40.0	40.0
Hydroxypropyl methylcellulose (HPMC) (Methocel E5/E50/K5/K50)	2.5	0.025
Croscarmellose, type A, N.F. (Ac-Di-Sol)	2.0	0.020
Sodium lauryl sulfate (SLS)	0.1	0.001
Carbamazepine	55.4	0.554
Total	100.0	1.000
Pellet B: Sustained-Release Component		
Microcrystalline cellulose	30.0	0.300
Hydroxypropyl methylcellulose	5.0	0.050
Sodium monoglycerate	8.0	0.080
Tartaric acid	5.0	0.050
Sodium lauryl sulfate	0.2	0.002
Carbamazepine	51.8	0.518
Total	100.0	1.000
Coating		
Ethacrylic/Methacrylic acid esters (Eudragit RS100)	45.0	0.450
Ethacrylic/Methacrylic acid esters (Eudragit RL100)	45.0	0.450
Propylene glycol	9.0	0.090
Talc	1.0	0.010
Total	100.0	1.000
Pellet C: Delayed-Release Component		

Microcrystalline cellulose	25.0	0.250
Hydroxypropyl methylcellulose phthalate	10.0	0.100
Tartaric acid	10.0	0.100
Sodium monoglycerate	7.5	0.075
Diocetyl sodium sulfosuccinate	0.5	0.005
Carbamazepine	47.0	0.470
Total	100.0	1.000
Coating		
Cellulose acetate phthalate (CAP)	60.0	0.600
Ethylcellulose	25.0	0.250
PEG400	15.0	0.150
Total	100.0	1.000

CEFACTOR CAPSULES**Bill of Materials**

Scale (mg/ capsule)	Item	Material Name	Qty/1000 Caps (g)
250.00	1	Cefaclor	250.00
15.00	2	Starch	15.00
5.00	3	Silicon fluid 350 CS	5.00
4.00	4	Magnesium stearate	4.00

Note: For 500 mg strength, fill proportionally higher quantity.

MANUFACTURING DIRECTIONS

1. Mix cefaclor with silicon fluid and magnesium stearate.
2. Slug and granulate if necessary for flow.
3. Mix with starch powder.
4. Fill into appropriate size 2 capsules. Finish capsules with polishing methods.

CEFDINIR CAPSULES AND ORAL SUSPENSION*

Capsules contain 300 mg cefdinir and the following inactive ingredients: carboxymethylcellulose calcium, polyoxyl 40 stearate, magnesium stearate, and silicon dioxide. The capsule shells contain FD&C Blue No. 1, FD&C Red No. 40, D&C Red No. 28, titanium dioxide, gelatin, and sodium lauryl sulfate. Powder for oral suspension, after reconstitution, contains 125 mg/5 mL cefdinir and the following inactive ingredients: sucrose, citric acid, sodium citrate, sodium benzoate, xanthan gum, guar gum, artificial strawberry and cream flavors, silicon dioxide, and magnesium stearate.

CEFIXIME FOR ORAL SUSPENSION*

Powder for oral suspension, when reconstituted, provides 100 mg/5 mL. The powder for oral suspension is strawberry flavored and contains sodium benzoate, sucrose, and xanthan gum.

CEFPODOXIME PROXETIL FOR ORAL SUSPENSION*

Each 5 mL of oral suspension contains cefpodoxime proxetil equivalent to 50 or 100 mg of cefpodoxime activity after constitution and the following inactive ingredients: artificial flavorings, butylated hydroxy anisole (BHA), carboxymethylcellulose sodium, microcrystalline cellulose, carrageenan, citric acid, colloidal silicon dioxide, croscarmellose sodium, hydroxypropyl cellulose, lactose, maltodextrin, natural flavorings, propylene glycol alginate, sodium citrate, sodium benzoate, starch, sucrose, and vegetable oil.

CEFPROZIL FOR ORAL SUSPENSION*

Cefprozil for oral suspension contains cefprozil equivalent to 125 or 250 mg of anhydrous cefprozil per 5 mL of constituted suspension. In addition, the oral suspension contains the following inactive ingredients: aspartame, cellulose, citric acid, colloidal silicone dioxide, FD&C Red No. 3, flavors (natural and artificial), glycine, polysorbate 80, simethicone, sodium benzoate, sodium carboxymethylcellulose, sodium chloride, and sucrose.

CEFTIBUTEN CAPSULES AND ORAL SUSPENSION*

Capsules contain ceftibuten dihydrate equivalent to 400 mg of ceftibuten. Inactive ingredients include magnesium stearate, microcrystalline cellulose, and sodium starch glycolate. The capsule shell and band contain gelatin, sodium lauryl sulfate, titanium dioxide, and polysorbate 80. The capsule shell may also contain benzyl alcohol, sodium propionate, edetate calcium disodium, butylparaben, propylparaben, and methylparaben. Oral suspension after reconstitution contains ceftibuten dihydrate equivalent to 90 mg of ceftibuten per 5 mL. Oral suspension is cherry flavored and contains the following inactive ingredients: cherry flavoring, polysorbate 80, silicon dioxide, simethicone, sodium benzoate, sucrose (approximately 1 g/5 mL), titanium dioxide, and xanthan gum.

CEFTIBUTEN FOR ORAL SUSPENSION

Bill of Materials

Scale (mg/g)	Item	Material Name	Qty/kg (g)
72.00	1	Ceftibuten trihydrate	72.00
0.40	2	Polysorbate 80	0.40
0.80	3	Simethicone	0.80
16.00	4	Xanthan gum	16.00
10.00	5	Silicone dioxide	10.00
18.00	6	Titanium dioxide	18.00
8.00	7	Sodium benzoate	8.00

3.66	8	Cherry flavor, natural and artificial (microencapsulated)	3.66
QS	9	Sucrose QS to 1 kg	QS

MANUFACTURING DIRECTIONS

Note: This formulation, upon reconstitution, gives a final concentration of 19 mg/mL. For 36 mg/mL, use 144.00 g of item 1 and 4 g of item 7. Adjust quantity of item 1 based on moisture content. The quantity given here is for anhydrous form; adjust with item 9.

1. Pass all items through an 80 mesh screen and blend.
2. Fill into 60 mL bottles at either 5, 7.5, or 15 g, or 120 mL bottles at 25 or 30 g aliquots.

CEFUROXIME FOR ORAL SUSPENSION*

The oral suspension, when reconstituted with water, provides the equivalent of 125 or 250 mg of cefuroxime (as cefuroxime axetil) per 5 mL of suspension. It contains the following inactive ingredients: povidone K30, stearic acid, sucrose, and tutti-frutti flavoring.

CELECOXIB CAPSULES

Bill of Materials

Scale (mg/capsule)	Item	Material Name	Qty/1000 Caps (g)
200.00	1	Celecoxib	200.00
204.00	2	Lactose	204.00
12.00	3	Sodium lauryl sulfate	12.00
7.00	4	Polyvinyl pyrrolidone potassium 30	7.00
—	5	Isopropyl alcohol	45.00 L
6.00	6	Polyvinyl pyrrolidone potassium 30	6.00
6.00	7	Magnesium stearate	6.00
15.00	8	Talc	15.00
50.00	9	Croscarmellose sodium	50.00

MANUFACTURING DIRECTIONS

1. Load items 1 to 3 in a suitable vessel after passing through a 60 mesh screen and mix for 15 minutes.
2. In a separate container, mix and prepare a solution of items 4 and 5.
3. Add step 2 into step 1 and mix, pass the granules through a 2.5 mm sieve, dry the granules at 40°C in an open room or a fluid-bed dryer to moisture of NMT 1%.

- Pass the dried granules through a 30 mesh sieve and recycle through 1.5 mm sieve to size all granules through a 30 mesh screen.
- Pass items 7 to 9 through a 40 mesh screen and add to step 4; mix for 5 to 10 minutes.
- Tap density is NMT 0.80 g/cc; fines are NMT 10%.
- Fill 600 mg into size 0 capsules.

CELECOXIB TABLETS CELEBREX*

Celebrex oral capsules contain 100 and 200 mg of celecoxib. The inactive ingredients in Celebrex capsules include croscarmellose sodium, edible inks, gelatin, lactose monohydrate, magnesium stearate, povidone, sodium lauryl sulfate, and titanium dioxide.

CELLULOSE TRIACETATE LIQUEFIABLE TOPICAL POWDER

Bill of Materials

Scale (mg/capsule)	Item	Material Name	Qty/1000 Caps (g)
120.00	1	Cellulose triacetate	120.00
880.00	2	Dow Corning® 345	880.00

MANUFACTURING DIRECTIONS

- Prepare a liquefiable powder by evaporative spray drying. Use Dow Corning 345, a slightly volatile cyclic silicone liquid, as the porogen.
- Dissolve cellulose triacetate (40 g) in 3000 g of methylene chloride by moderate stirring for 4 hours. To that solution add 270 g of the porogen dissolved in 1000 g of methylene chloride.
- Spray the resulting homogeneous solution at 1000 psi from a 0.0135 in nozzle, downward into a tower 100 cm in diameter and 300 cm tall, through which 1250 L/min of solvent-free air is passing from top to bottom.
- Collect the evaporatively formed powder on a fabric filter spanning the bottom of the tower and pass the solvent-laden air through carbon beds to collect and recover solvent.
- Transfer the product to a steel tray and expose as a 1 cm deep layer in a ventilated hood for 25 minutes to remove residual solvent.
- An analysis should show 12% cellulose triacetate, 88% DC 345, and less than 4 ppm of residual methylene chloride.
- The white powder readily can be dusted onto the feet and made to liquefy and vanish by gentle rubbing.

CEPHALEXIN CAPSULES

Bill of Materials

Scale (mg/capsule)	Item	Material Name	Qty/1000 Caps (g)
500.00	1	Cephalexin, USE cephalexin monohydrate (0–2% excess)	526.31
2.50	2	Magnesium stearate	2.50
QS	3	Cornstarch	600.00

MANUFACTURING DIRECTIONS

- Load magnesium stearate, cornstarch, and one-tenth part of cephalexin into a suitable mixer. Mix well.
- Pass blend from step 1 and balance of cephalexin through an 840 mm aperture screen by hand or with a mechanical shaker.
- Load into a suitable mixer and mix well. Discharge into polyethylene-lined drums.
Note: For slugging, first use 624 mg of magnesium stearate; balance after milling slugs through a 1.2 mm aperture screen in an oscillating granulator.
- Machine fill using either size 00 or size 0 capsules; the theoretical weight of 10 capsules is 6 g. Sort and final clean with sodium chloride.

CEPHALEXIN POWDER FOR ORAL SUSPENSION

Bill of Materials

Scale (mg/5 mL) ^a	Item	Material Name	Qty/51 (g)
125.00	1	Cephalexin, USE cephalexin monohydrate, 1.5% excess	131.50
0.50	2	FD&C No. 6	0.50
10.00	3	Orange flavor	10.00
5.00	4	Vanilla dry flavor	5.00
5.00	5	Raspberry dry flavor	5.00
277.54	6	Castor sugar	277.54
2844.80	7	Castor sugar	2844.80

^a Upon reconstitution as recommended. For 250 mg strength, adjust with items 6 and 7.

MANUFACTURING DIRECTIONS

- Load items 2 to 6 in a suitable mixer and mix for 5 minutes.
- Add item 1 in portions and mix well.
- Pass through a Fitz mill, impact forward at high speed using sieve 24338.
- Collect milled powder in step 3 in a suitable mixer and mix for 10 minutes.

- Pass item 7 through 900 mm sieve, add 15% of quantity to step 4, and mix for 10 minutes.
- Load in a double-cone blender. Add the balance of item 7 from step 5 and mix for 20 minutes.
- Fill appropriate quantity in bottles.

CEPHRADINE CAPSULES

Bill of Materials			
Scale (mg/capsule)	Item	Material Name	Qty/1000 Caps (g)
500.00	1	Cephadrine, USE cephadrine compacted (1000 µg/mg with 5% moisture) ^a	526.00
7.00	2	Magnesium stearate	7.00
8.40	3	Talc	8.40
18.60	4	Lactose monohydrate ^b	18.60

^a Adjust according to potency; taken as 105.2% of label.

^b Adjust according to quantity of item 1.

MANUFACTURING DIRECTIONS

- Process limits are relative humidity: 40% to 45%, temperature: 20°C to 25°C.
- Pass item 1 through 630 µm sieve; crush larger particles in a Frewitt mill using a 1 mm sieve.
- Load approximately half of item 1 from steps 1 and 2 into a mixer.
- Sift items 2 to 4 through a 250 mm sieve in a suitable blender; blend for 5 minutes at slow speed.
- Mix balance of item 1 with product of step 4 and blend for 5 minutes at slow speed.
- Fill 560 mg per capsule.

CEPHRADINE POWDER FOR SUSPENSION

Bill of Materials			
Scale (mg/5 mL)	Item	Material Name	Qty/5 L (g)
125.00	1	Cephadrine, USE cephadrine monohydrate with 10.8% excess ^a	131.50
8.00	2	Sodium citrate	8.00
4.00	3	Citric acid anhydrous	4.00
10.00	4	Guar gum	10.00
5.00	5	Methylcellulose, 15 cps	5.00
2.00	6	Yellow FD&C No. 6	2.00
20.00	7	Blood orange flavor	20.00
10.00	8	Orange banana flavor	10.00
3095.28	9	Castor sugar	3095.28

^a For 250 mg/5 mL, adjust active ingredient and adjust with item 9.

MANUFACTURING DIRECTIONS

- Pass item 9 through a 500 mm sieve for use in later steps.
- Place items 1 to 6 in a mixing vessel and add approximately 10% of item 9 from step 1; mix for 5 minutes.
- Pass the powder mixture in step 2 through a Fitz mill.
- Place 10% of item 9 from step 1 in a separate mixing vessel and add items 7 and 8; blend for 5 minutes.
- Add to step 3 and blend for 5 minutes.
- Pass step 5 through a 500 mm sieve.
- Add item 9 (about 15%) and mix for 5 minutes; transfer to a double-cone blender.
- Add 40% of item 9 and mix for 10 minutes.
- Add the balance of item 9 and mix for another 15 minutes. 10. Fill weight for 100 mL = 66 g; fill weight for 60 mL = 39.60 g.

CEVIMELINE CAPSULES

Each capsule contains 30 mg of active ingredient. The inactive ingredients are lactose monohydrate, hydroxypropyl cellulose, and magnesium stearate.

CEVIMELINE CAPSULES

Bill of Materials			
Scale (mg/capsule)	Item	Material Name	Qty/1000 Caps (g)
30.00	1	Cevimeline	30.00
60.00	2	Hydroxypropyl cellulose	50.00
15.00	3	Sodium carboxymethyl cellulose cross-linked	15.00
189.00	4	Lactose	189.00
6.00	5	Magnesium stearate	6.00

MANUFACTURING DIRECTIONS

- Sift items 1 to 3 through an 80 mesh screen and blend.
- Pass item 5 through a 100 mesh screen and add to step 1 and blend for 3 minutes.
- Fill 300 mg into size 0 capsules.

CHLORDIAZEPOXIDE HYDROCHLORIDE CAPSULES*

It is available as capsules containing 5, 10, or 25 mg chlordiazepoxide HCl. Each capsule also contains cornstarch, lactose, and talc. Gelatin capsule shells may contain methyl and propylparabens and potassium sorbate, with the following dye systems: for 5 mg capsules—FD&C Yellow No. 6 plus D&C Yellow No. 10, and either FD&C Blue No. 1 or FD&C Green No. 3; for 10 mg capsules—FD&C Yellow No. 6 plus D&C

Yellow No. 10, and either FD&C Blue No. 1 plus FD&C Red No. 3 or FD&C Green No. 3 plus FD&C Red No. 40; for 25 mg capsules—D&C Yellow No. 10 and either FD&C Green No. 3 or FD&C Blue No. 1.

CHLORDIAZEPOXIDE HYDROCHLORIDE CAPSULES

Bill of Materials

Scale (mg/ capsule)	Item	Material Name	Qty/1000 Caps (g)
5.00	1	Chlordiazepoxide hydrochloride	5.10
114.00	2	Starch dried	114.00
26.00	3	Dicalcium phosphate	26.00
40.00	4	Talc	40.00

MANUFACTURING DIRECTIONS

1. Load all ingredients in a suitable mixer after passing through a 60 mesh screen and mix for 30 minutes.
2. Fill 185 mg into size 4 capsules.

CHLOROXYLENOL AND CHLORHEXIDINE TOPICAL POWDER

Bill of Materials

Scale (mg/g)	Item	Material Name	Qty/kg (g)
10.00	1	Chloroxylenol	10.00
10.00	2	Chlorhexidine diacetate	10.00
30.00	3	Magnesium-L-lactate	30.00
10.00	4	Allantoin	10.00
100.00	5	Zinc stearate	10.00
840.00	6	Cornstarch	840.00

MANUFACTURING DIRECTIONS

1. Pass all items through a 100 mesh screen and blend.
2. Fill; for use as a topical anti-infective formulation.

CHLORPROMAZINE SUSTAINED- RELEASE CAPSULES*

Each capsule, with opaque orange cap and natural body, contains chlorpromazine hydrochloride as follows: 30 or 75 or 150 mg. Inactive ingredients consist of benzyl alcohol, calcium sulfate, cetylpyridinium chloride, FD&C Yellow No. 6, gelatin, glyceryl distearate, glyceryl monostearate, iron oxide, povidone, silicon dioxide, sodium lauryl sulfate, starch, sucrose, titanium dioxide, wax, and trace amounts of other inactive ingredients.

CIMETIDINE MICROENCAPSULATED SUSTAINED-RELEASE CAPSULES

Bill of Materials

Scale (mg/ capsule)	Item	Material Name	Qty/1000 Caps (g)
275.00	1	Cimetidine	275.00
525.00	2	Sodium alginate	525.00
QS	3	Calcium chloride 2%	QS
QS	4	Poly-L-glycine 0.05%	QS

MANUFACTURING DIRECTIONS

1. Dissolve item 2 in 17.5 L of distilled water at 25°C and add item 1 to this solution with constant mixing.
2. Add this preparation drop-wise to a 2% calcium chloride solution through a small orifice that delivers droplets that are 1 mm in diameter. Collect the spherical beads of cimetidine-containing calcium alginate thus formed by filtration and wash 3 times with distilled water.
3. Immerse the beads in a 0.05% aqueous solution of poly-L-lysine (molecular weight 14000) for 4 hours, then wash again 3 times with distilled water, collected by filtration, and dried under vacuum for 24 hours. Fill the beads thus produced into gelatin capsules (800 mg per capsule, providing a dose of 275 mg of cimetidine).
4. This dosage form for the delivery of cimetidine over an extended time period allows for through-the-night protection for patients who suffer from excess gastric acidity without the high bedtime dose that conventional dosage forms require for this duration of protection. The high bedtime dose otherwise required for such protection is associated with untoward side effects, which are reduced through use of the dosage form described in these directions.

CITRATE EFFERVESCENT POWDER

Bill of Materials

Scale (mg/ tablet)	Item	Material Name	Qty/kg (g)
0.50	1	Oil lemon terpenes	0.50
10.00	2	Lemon flavor (natural microseal)	10.00
QS	3	Alcohol dehydrated (absolute, doubly rectified)	6.50
440.33	4	Sodium bicarbonate	440.33
0.35	5	Saccharin sodium	0.35
157.50	6	Anhydrous sodium citrate	157.50

178.82	7	Anhydrous citric acid (powder)	178.82
222.50	8	Acid tartaric	222.50

MANUFACTURING DIRECTIONS

- All processing should be done in controlled humidity at a maximum relative humidity of 40% at 25°C.
- Sodium citrate and citric acid are anhydrous.
- Dissolve lemon oil in dehydrated alcohol with stirring in a suitable container (do not follow this step if using powdered lemon flavor).
- Sift sodium bicarbonate, if necessary, through a 595 µm screen.
- Load in a suitable mixer and mix for 10 minutes.
- Very slowly add solution from first step to the mixer while mixing; continue mixing for at least 10 minutes and up to a total of 30 minutes, depending on equipment.
- Screen the massed granulation mixture through a 595 µm screen and divide approximately in half.
- Premix saccharin sodium into sodium citrate (and lemon powder, if used) and sift through a 595 µm screen or mill fitted with a 595 µm screen (knives forward, medium speed).
- Sift both citric acid and tartaric acid separately through a 595 µm screen, or mill separately using a comminuting mill with a 595 µm aperture (knives forward, medium speed).
- Load materials into a suitable blender, preferably in the following order: milled tartaric acid, milled citric acid, half of granulation mixture, milled saccharin sodium, sodium citrate, and the remaining granulation mixture.
- Blend for 20 minutes and pack into double plastic bags inside fiber drums.
- Provide silica gel protection to maintain low humidity in drums.
- If blended material is lumpy, pass through a 1.2 mm screen before bagging.

CLINDAMYCIN CAPSULES*

Clindamycin hydrochloride capsules contain clindamycin hydrochloride equivalent to 150 mg of clindamycin. The inactive ingredients are cornstarch, FD&C Blue No. 1, FD&C Yellow No. 5, gelatin, lactose, magnesium stearate, talc, and titanium dioxide.

CLINDAMYCIN CAPSULES (150 MG)**Bill of Materials**

Scale (mg/ capsule)	Item	Material Name	Qty/1000 Caps (g)
150.00	1	Clindamycin, USE clindamycin hydrochloride	163.00

12.00	2	Lactose	12.00
3.00	3	Magnesium stearate	3.00
24.00	4	Talc	24.00
2.00	5	Aerosil 200	2.00
65.00	6	Starch dried	65.00

MANUFACTURING DIRECTIONS

- Pass all items through a 60 mesh screen and mix well for 30 minutes.
- Fill 270 mg in size 2 capsules.

CLOFIBRATE CAPSULES

Each capsule contains 500 mg of clofibrate for oral administration. Capsules also contain the following inactive ingredients: D&C Red No. 28, D&C Red No. 30, D&C Yellow No. 10, FD&C Blue No. 1, FD&C Red No. 28, FD&C Red No. 40, FD&C Yellow No. 6, and gelatin.

CLONIDINE SUSTAINED-RELEASE CAPSULES**Bill of Materials**

Scale (mg/ capsule)	Item	Material Name	Qty/1000 Caps (g)
0.10	1	Clonidine hydrochloride (equivalent to 0.087 mg clonidine base) 100 µm or finer	0.10
70.00	2	Methocel® E4M ^a	70.00
129.90	3	Lactulose ^b	129.90

^a This formulation is intended to provide an 8 hour release pattern; for an extended release pattern of 12 hours, use Methocel® K100M.

^b Cornstarch can be used in place of lactulose.

MANUFACTURING DIRECTIONS

- This is a low-dose product that requires a careful geometric dilution of item 1 with portions of item 3.
- Add the triturate in step 1 in one-half of item 3 and mix well.
- Add item 2 and mix well; add balance of item 3.
- Fill 200 mg in an appropriate capsule size.

CLORAZEPATE DIPOTASSIUM CAPSULES**Bill of Materials**

Scale (mg/ capsule)	Item	Material Name	Qty/1000 Caps (g)
7.50	1	Clorazepate dipotassium	7.50
10.00	2	Potassium carbonate dried	10.00
0.45	3	Silicon dioxide colloidal	0.45

168.00	4	Talc	168.00
QS	5	Sodium chloride granules (for cleaning)	QS

MANUFACTURING DIRECTIONS

Note: Avoid exposing clorazepate to light and moisture; process in low humidity area (46 grains, 35% RH at 76°F).

1. Blending

- Determine LOD (1 hour Brabender or equivalent at 105°C) of potassium carbonate dried (NMT 0.5%), silicon dioxide (NMT 2.5%), and talc (NMT 0.3%).
- Mill while mixing the potassium carbonate and silicon dioxide through a 60 mesh (250 mm aperture) screen using a Fitz mill or a similar mill, impact forward, high speed.
- Premix screened clorazepate with the milled mixture of potassium carbonate and silicon dioxide in a suitable container. Pass the mix through a 40 mesh (420 mm) screen by hand. Clean the screen with a small portion of talc (approximately 0.63 g). Use rubber gloves when handling clorazepate.
- Load about half of the remaining talc into a V-blender or a similar blender. Add the preblend from step C and, finally, the remaining talc. Blend for 30 minutes. Discharge into polyethylene-lined drums, tightly tie, and seal.

2. Filling

- Fill blended material into hard gelatin capsules; fill weight for 10 caps is 1.85 g (± 0.06 g). Sort capsules on sort vibrator, clean with sodium chloride, and store in polyethylene-lined drums.

3. Printing

- Print capsules using edible ink.

COATED SPHEROIDS

Uncoated spheroids (24% w/w vinpocetine)	3.00 kg
Hydroxypropyl methylcellulose 2910, 4000 cps	0.075 kg
Methylene chloride	4.98 kg
Methanol anhydrous	2.96 kg
Eudragit E30D aqueous dispersion	1.00 kg
Calcium stearate	0.03 kg
Simethicone emulsion	0.0025 kg
Water purified	0.50 kg

MANUFACTURING DIRECTIONS

- Blend together vinpocetine hydrochloride (10 kg), microcrystalline cellulose (Avicel-PH-101, 80 kg), and citric acid monohydrate (10 kg) in a

450 L planetary mixer. Add water (100 kg) and run the mixer for 10 minutes until a homogeneous plastic mass is obtained. Extrude the mass under pressure through a perforated cylinder to give cylindrical extrudates of nominally 1 mm in diameter.

- Place the damp extrudates (in batches of 15–20 kg) in a spheronizer in which the rotating disc (diameter 68 cm) is rotated at 300 to 400 rpm. Continue the rotation for 20 minutes and dry the resulting spheroids at 80°C in a fluidized bed drier. Pass the dried spheroids over a 1.2 mm screen and subject those that passed through to a 0.5 mm screen. Discard the over- and undersized spheroids.
- The finished dosage form consists of a hard gelatin capsule containing a powder blend of vinpocetine and two types of spheroids. The formulation particulars are based on 30 mg per capsule, although they can be designed to provide other dosage strengths.
- The vinpocetine powder blend (or first group of spheroids) provides the loading dose (e.g., 5 mg of vinpocetine).
 - Blend the vinpocetine, lactose microcrystalline cellulose, starch, glutamic acid, sodium starch glycolate, talc triturate, and the sodium lauryl sulfate into the PK® blender for 20 minutes with intensifier bar running.
 - Pass the step 1 blend through a Fitz mill using a No. 1B screen, medium speed, knives forward.
 - Return the granulation from step 2 to the PK blender and add the magnesium stearate and blend for 2 minutes without the intensifier bar on.
- The second and third types of spheroids are categorized as
 - pH-sensitive coated spheroids to provide a second dose (pH >6.5) (e.g., 12 mg vinpocetine). Place uncoated spheroids in a fluidized bed coater. Apply the Eudragit S solution using a peristaltic pump. Dry the spheroids.
 - Coated spheroids to provide a third dose 4 to 10 hours post ingestion (e.g., 13 mg vinpocetine). Process for applying undercoat: Place the uncoated spheroids in a fluidized bed coater. Spray Methocel E4MP solution using a peristaltic pump. Dry the spheroids. Process for applying overcoat: Spray Eudragit E30D suspension containing calcium stearate on the Methocel E4MP-coated spheroids using a peristaltic pump. Dry the spheroids.
- Fill capsules with the powder blend, pH-sensitive coated spheroids, and coated spheroids on an encapsulating machine capable of dual filling powders and spheroids.

CROSPROVIDONE WATER-DISPERSIBLE TABLETS

Bill of Materials			
Scale (mg/ tablet)	Item	Material Name	Qty/1000 Tablets (g)
1000.00	1	Crospovidone M (BASF)	1000.00
50.00	2	Aerosil 200	50.00
250.00	3	Sucrose (crystalline)	250.00
5.00	4	Saccharin sodium	5.00
2.00–3.00	5	Flavors	2.00–3.00
380.00	6	Water	380.00
5.00	7	Magnesium stearate	5.00

MANUFACTURING DIRECTIONS

1. Granulate mixture of items 1 to 5 with item 6, dry, and pass through a sieve.
2. Mix the dry granules with item 7 and press with low compression force.
3. The dosage may be increased to 2000 mg crospovidone by increasing the tablet weight to 2600 mg.
4. Compress 1280 mg tablets using 20 mm biplanar punches.

CYANOCOBALAMIN TABLETS

Bill of Materials			
Scale (mg/ tablet)	Item	Material Name	Qty/1000 Tablets (g)
50.00 ig	1	Cyanocobalamin; use gelatin-coated cyanocobalamin (0.1%)	50.00
150.00	2	Ludipress®	150.00
1.50	3	Magnesium stearate	1.50
2.00	4	Sicovit Quinoline Yellow Lake	2.00
3.00	5	Sicovit Yellow Orange Lake	3.00

MANUFACTURING DIRECTIONS

1. Prepare a premix of items 1 and 2 and add to items 3 to 5.
2. Pass through a 0.5 mm sieve and press with low compression force.
3. Compress into 209 mg tablets using 8 mm biplanar punches.

CYCLOSPORIN A CAPSULES

Bill of Materials			
Scale (mg/ capsule)	Item	Material Name	Qty/1000 Caps (g)
100.00	1	Cyclosporin A	100.00
300.00	2	Cremophor RH (or Tween)	300.00

MANUFACTURING DIRECTIONS

1. Mix ingredients and fill into hard gelatin capsules of a type that will not interact with ingredients. Optionally, the composition may contain ethanol 8%, propylene glycol 8%, or polyethylene glycol 300, 30% by weight.

DANTROLENE SODIUM CAPSULES*

It is supplied in capsules of 25, 50, and 100 mg. Each capsule contains the following inactive ingredients: edible black ink, FD&C Yellow No. 6, gelatin, lactose, magnesium stearate, starch, synthetic red iron oxide, synthetic yellow iron oxide, talc, and titanium dioxide.

DEXTROAMPHETAMINE SULFATE CAPSULES*

Each sustained-release capsule is so prepared that an initial dose is released promptly, and the remaining medication is released gradually over a prolonged period. Each capsule containing 5 to 15 mg of active and inactive ingredients consist of cetyl alcohol, D&C Yellow No. 10, dibutyl sebacate, ethylcellulose, FD&C Blue No. 1, FD&C Blue No. 1 Aluminum Lake, FD&C Red No. 40, FD&C Yellow No. 6, gelatin, hydroxypropyl methylcellulose, propylene glycol, povidone, silicon dioxide, sodium lauryl sulfate, sugar spheres, and trace amounts of other inactive ingredients.

DICLOFENAC AND MISOPROSTOL CAPSULES

Bill of Materials			
Scale (mg/ capsule)	Item	Material Name	Qty/1000 Caps (g)
100.00	1	Diclofenac delayed-release beads (47% diclofenac)	214.00
0.20	2	Misoprostol (dilute 1: 100 on HPMC)	20.00
150.00	3	Microcrystalline cellulose	150.00
4.00	4	Stearic acid	4.00
9.00	5	Talc	9.00

MANUFACTURING DIRECTIONS

1. Prepare item 1 beads by spray coating a suspension or solution of diclofenac sodium onto a nonpareil sugar core, together with a binder (e.g., polyvinylpyrrolidone or hydroxypropyl methylcellulose).
2. Coat the beads with a delayed-release coating (e.g., methylmethacrylate, e.g., Eudragit). Mixtures of beads with various levels of coating are used to give the required therapeutic release pattern.
3. In a fluidized-bed apparatus, coat uniform spherical inert sugar sphere cores with a first layer consisting of the compounds, an inert water-soluble polymer, such as hydroxypropyl methylcellulose or hydroxypropyl cellulose, and talc.
4. The second layer consists of an inert water-soluble polymer, such as hydroxypropyl methylcellulose or hydroxypropyl cellulose, talc, and a pigment such as titanium dioxide.
5. The third and enteric coating layer consists of an enteric coating polymer, such as copolymerized methacrylic acid/methacrylic acid methyl esters, a plasticizer, such as triethyl acetate or similar plasticizers, and talc.
6. Apply the layers by conventional fluidized bed coating techniques using aqueous solutions or dispersions. Pseudozero release is obtained by the use of a mixture of beads.
7. The beads in item 1 contain 47% diclofenac, giving a dose per capsule of 75 mg.
8. Fill the mixture of items 1 to 4 into suitable hard gelatin capsules.

DICLOFENAC SPHERONIZED PELLETS FOR SUSTAINED-RELEASE COATING (30%)**Formulation**

Diclofenac sodium, 300 g; Avicel PH101 (5), 438 g; granu-lac 230 (8), 237 g; Kollidon VA64 (1), 25 g; water, approximately 580 g.

MANUFACTURING DIRECTIONS

1. Granulate the mixture (I) in a Diosna granulator with water (II) and press the humid granules through a sieve of 1.5 mm. Form pellets in a spheronizer during 10 minutes with the rotation speed of 380 to 420 rpm. Dry the pellets in a fluidized bed at 70°C.
2. Fill at relative humidity that does not exceed 45% and a temperature of 20°C to 25°C.
3. Calculate exact amount based on quantity of active ingredient in uncoated beads.
4. Fill 192.5 mg based on 100% potency basis.

DICLOFENAC SUSTAINED-RELEASE CAPSULES**Bill of Materials**

Scale (mg/ capsule)	Item	Material Name	Qty/1000 Caps (g)
100.00	1	Diclofenac, USE diclofenac sodium pellets (520 mg/g)	192.50

DICLOFENAC GRANULES

1. Preparation of uncoated granules:
 - a. Mix and pulverize 800 g of diclofenac sodium, 200 g of citric acid, and 200 g of cornstarch.
 - b. Process the fine powders thus prepared to produce spherical granules, using 600 g of purified sucrose that is obtained by shifting through 20 to 28 mesh as a core, while spraying a solution of 25 g of hydroxypropyl cellulose in 475 g of ethyl alcohol.
 - c. Dry the granules for 3 hours at 55°C.
 - d. Pass these dried granules through a 14 mesh screen, followed by passing through a 28 mesh screen. Take the granules that do not go through the 28 mesh screen as uncoated granules A. The formulation of uncoated granules A is as follows.

Component	% by weight
Diclofenac sodium	43.7
Citric acid	11.0
Cornstarch	11.0
Purified sucrose	32.9
Hydroxypropyl cellulose	1.4
Total	100.0

- e. Alternate method of preparing uncoated granules:
 - i. Mix and pulverize 1000 g of diclofenac sodium, 30 g of fumaric acid, and 170 g of cornstarch.
 - ii. Process the fine powders thus produced to produce spherical granules, using 600 g of purified sucrose that is obtained by shifting through a 20 to 28 mesh screen as a core, while spraying a solution of 25 g of hydroxypropyl cellulose in 475 g of ethyl alcohol.
 - iii. Dry the granules are then dried for 3 hours at 55°C.
 - iv. Pass these dried granules through a 14 mesh screen followed by passage through a 28 mesh screen. Take the granules that do not

go through the 28 mesh screen as uncoated granules. The formulation of these uncoated granules B is as follows.

Component	% by weight
Diclofenac sodium	54.8
Fumaric acid	1.6
Cornstarch	9.3
Purified sucrose	32.9
Hydroxypropyl cellulose	1.4
Total	100.0

2. Preparation of long-acting granules:

- Place 600 g of uncoated granules into a coating apparatus with a fluidized bed.
- Spray-coat the granules with 1263 g of a coating liquid having the following composition according to a conventional method to produce long-acting granules. The weight of the coat is approximately 8% of the weight of the uncoated granules.

Component	% by weight
Ethylcellulose	2.7
Polyvinyl pyrrolidone K30	0.9
Talc	0.2
Ethyl alcohol	96.2
Total	100.0

3. Preparation of long-acting granules, alternate method:

- Place 600 g of uncoated granules B into a coating apparatus with a fluidized bed.
- Spray-coat the granules with 1667 g of a coating liquid having the following composition according to a conventional method to produce long-acting granules. The amount of the coat is approximately 20% based on the weight of the uncoated granules.

Component	% by weight
Methacrylic acid copolymer S	6.5
Glycerin fatty acid ester	0.5
Talc	0.2
Ethyl alcohol	92.8
Total	100.0

4. Preparation of long-acting granules having an exterior rapid-releasing layer:

- Mix and pulverize 50.7 g of diclofenac sodium and 149.3 g of cornstarch.
- Process the fine powders thus produced to produce spherical granules, using 500 g of the long-acting granules (step 6) as a core, while spraying a solution of 4 g of hydroxypropyl cellulose in 76 g of ethyl alcohol.
- Dry the granules for 2 hours at 55°C to produce long-acting granules. These granules have an exterior rapid-releasing layer.

DIDANOSINE DELAYED-RELEASE CAPSULES*

The delayed-release capsules, which contain enteric-coated beadlets, are available for oral administration in strengths of 125 mg, 200 mg, 250 mg, and 400 mg of didanosine. The inactive ingredients in the beadlets include carboxymethylcellulose sodium 12, diethyl phthalate, methacrylic acid copolymer, sodium hydroxide, sodium starch glycolate, and talc. The capsule shells contain colloidal silicon dioxide, gelatin, sodium lauryl sulfate, and titanium dioxide. The capsules are imprinted with edible inks.

DIDANOSINE DELAYED-RELEASE CAPSULES ENTERIC-COATED BEADLETS*

Delayed-release capsules, containing enteric-coated beadlets, are available for oral administration in strengths of 125 mg, 200 mg, 250 mg, and 400 mg of didanosine. The inactive ingredients in the beadlets include carboxymethylcellulose sodium 12, diethyl phthalate, methacrylic acid copolymer, sodium hydroxide, sodium starch glycolate, and talc. The capsule shells contain colloidal silicon dioxide, gelatin, sodium lauryl sulfate, and titanium dioxide. The capsules are imprinted with edible inks.

DIDANOSINE FOR ORAL SUSPENSION

The powder for oral solution is supplied for oral administration in single-dose packets containing 100 mg, 167 mg, or 250 mg of didanosine. Packets for each product strength also contain a citrate-phosphate buffer (composed of dibasic sodium phosphate, sodium citrate, and citric acid) and sucrose. Pediatric powder for oral solution is supplied for oral administration in 4 or 8 oz glass bottles containing 2 or 4 g of didanosine respectively. The chemical name for didanosine is 2c, 3c-dideoxyinosine.

DIETHYL TOLUAMIDE TOPICAL POWDER

Bill of Materials

Scale (mg/g)	Item	Material Name	Qty/kg (g)
552.00	1	N, N-diethyl-m-toluamide (DEET)	600.00

368.00	2	2-Octyldodecanol	400.00
QS	3	Methylene chloride	QS
80.00	4	Cellulose triacetate	400.00

MANUFACTURING DIRECTIONS

1. Prepare a liquefiable powder by spray evaporative drying. Prepare a liquid porogen from 60 parts by weight of *N, N*-diethyl-*m*-toluamide (DEET) and 40 parts by weight of 2-octyldodecanol, a heavy secondary alcohol commonly used in cosmetic formulations.
2. Dissolve cellulose triacetate (40 g) in 3000 g of methylene chloride by moderate stirring for 4 hours. To that solution add 460 g of the previously prepared porogen diluted with 1000 g of methylene chloride.
3. Spray the resulting homogeneous solution at 1000 psi from a 0.0135 in nozzle, downward into a tower (100 cm in diameter × 300 cm tall), through which 1250 L/min of solvent-free air is passing from top to bottom.
4. Collect the evaporatively formed powder on a fabric filter spanning the bottom of the tower and pass the solvent-laden air through carbon beds to collect and recover solvent.
5. Transfer the product to a steel tray and expose as a 1 cm deep layer in a ventilated hood for 25 minutes to remove residual solvent. Analysis will show 8% cellulose triacetate, 36.8% octyldodecanol, and 55.2% DEET, with less than 5 ppm or residual methylene chloride.
6. The resulting white powder can be readily dusted onto the skin and made to liquefy and vanish by gentle rubbing without any perceptible grit or stickiness.

DIFLUOROMETHYLORNITHINE-ALPHA CAPSULES

Bill of Materials			
Scale (mg/capsule)	Item	Material Name	Qty/2000 Caps (g)
50.00	1	Rapid-release granules Difluoromethylornithine-alpha (DFMO)	100.00
50.00	2	Microcrystalline cellulose (MCC) Avicel PH101	100.00
QS	3	Water purified	QS
250.00	4	Slow-release granules Difluoromethylornithine-alpha	500.00
250.00	5	Microcrystalline cellulose PH101	500.00
15–25.00	6	Eudragit RS 30D	30–50
QS	7	Triethyl citrate	QS
QS	8	Water purified	QS

MANUFACTURING DIRECTIONS

1. Rapid-release granules: Thoroughly mix DFMO (100 g) and microcrystalline cellulose (MCC, Avicel PH101, 100 g). Add a sufficient amount of water to make a wet mass to the mixture, and subsequently extrude and spheronize the mixture. Screen the pellets (size 14–20 mesh) and dry at 40°C for 24 hours. Polyvinyl pyrrolidone (PVP, 2% by weight of total mass) can optionally be included in the formulation. Increasing PVP will generally lengthen the release profile of the formulation.
2. Slow-release granules: Mix DFMO (500 g), MCC (500 g), and Eudragit (35–50 g). To this mixture add sufficient water to yield a 30% weight suspension. To the suspension add triethylcitrate (10% weight based on dry polymer weight of Eudragit) to yield a dispersion that is wet granulated and dried to remove as much water as possible. Grind the particles into a fine powder.
3. Fill the rapid-release granules (500 g prepared according) and slow-release granules (750 g prepared) after thoroughly mixing.
4. Gastric-release granules: A slow gastric-release granule can be prepared as follows. Thoroughly mix DFMO (600 g), MCC (350 g), and HPC (50 g). To the mixture add sufficient water to make a wet mass. Extrude and then spheronize this mass using procedures well known in the art. Dry the particles and grind.
5. Enteric-release granules: A latex dispersion is prepared as follows. To Eudragit L 30D-55 (1000 g, 15% weight in water) add a plasticizer (15% weight of dry polymer weight in the Eudragit) while mixing for 1 to 24 hours. Plasticizers, such as triethylcitrate, tributylcitrate, acetyl-tributylcitrate, or dibutylsebacate, can be used. To this mixture add talc (50% weight of dry polymer in the Eudragit) or glycerylmonostearate (10% weight of dry polymer in the Eudragit) to form a dispersion. Coat the rapid-release granules in a fluidized bed with the latex dispersion until a 10% to 15% weight increase in granule weight is achieved. Adjust the fluidized bed inlet air temperature to approximately 40°C to 45°C and adjust the outlet air temperature to approximately 30°C to 35°C with a spray rate of about 2 g/min.
6. Slow-release granules: Coat The granules previously prepared with Eudragit L 30D (10–12% weight) or Aquateric (CAP, 10% weight, plasticized with TEC) until a 25% to 30% weight increase in granule weight is achieved.
7. Colorectal-release granules: A dispersion is prepared as follows. To Eudragit S100 (1000 g, 10% weight in water) add a plasticizer (10% weight of dry polymer weight in the Eudragit) while mixing for 1 to 24 hours. Plasticizers such as triethylcitrate, tributylcitrate, acetyl-tributylcitrate, or dibutylsebacate can be

used. To this mixture add talc (50% weight of dry polymer in the Eudragit) to form a dispersion. Coat the rapid-release granules previously prepared in a fluidized bed with this dispersion until a 15% weight increase in granule weight is achieved.

8. Slow-release granules: A mixture is prepared as follows. Plasticize Eudragit RS 30D (1000 g, 15% weight aqueous dispersion, Aquacoat® or Surelease®) with triethylcitrate (TEC, 20% weight of dry polymer in the Eudragit) for 1 to 24 hours. Add talc (50% weight of dry polymer in the Eudragit) with mixing to form the mixture. Coat the rapid-release granules with this mixture until a 10% to 15% weight increase in granule weight is achieved. Coat the coated granules with a Eudragit S100 dispersion as done immediately above until a 10% to 15% weight increase in granule weight is achieved.
9. Sustained-release granules: This procedure employs a double granulation. Thus, mix DFMO (500 g), MCC (500 g), and Eudragit RS 30D (75–100 g). To this mixture add sufficient water to yield a 30% weight suspension. To the suspension add TEC (10% weight based on dry polymer weight of Eudragit) to yield a dispersion that is wet granulated and dried to remove as much water as possible. Grind the granules into a fine powder. To the powder add sufficient water to make a wet mass that will then be extruded, spheronized, dried, ground, and screened (size 14–20 mesh).
10. Gastric-, enteric-, and colorectal-release granules: The following procedure details the preparation of the dosage form. Thoroughly mix rapid gastric-release granules (450 g, prepared previously), rapid enteric-release granules (100 g, prepared previously), and slow colorectal-release granules (450 g, prepared previously). Fill hard gelatin capsules with the mixture.

DILTIAZEM HYDROCHLORIDE EXTENDED-RELEASE CAPSULES*

The extended-release capsules contain diltiazem hydrochloride in extended-release beads in doses of 120 mg, 180 mg, 240 mg, 300 mg, 360 mg, and 420 mg. They also contain microcrystalline cellulose, sucrose stearate, Eudragit, povidone, talc, magnesium stearate, hydroxypropyl methylcellulose, titanium dioxide, polysorbate, simethicone, gelatin, FD&C Blue No. 1, FD&C Red No. 40, D&C Red No. 28, FD&C Green No. 3, black iron oxide, and other solids.

In another formulation, the 120 mg, 180 mg, 240 mg, and 300 mg capsules also contain black iron oxide, ethylcellulose, FD&C Blue No. 1, fumaric acid, gelatin, sucrose, starch, talc, titanium dioxide, white wax, and other ingredients. The 360 mg capsule also contains black iron oxide, diethyl phthalate, FD&C Blue No. 1, gelatin, povidone K17, sodium lauryl sulfate, starch, sucrose, talc, titanium dioxide, and other ingredients.

MANUFACTURING DIRECTIONS

1. The rapid-release pellets of diltiazem can be manufactured by the following procedure: Rotate 2 kg of microgranules composed of sucrose and starch, with a particle size of 0.500 to 0.710 mm, in a trough with a stainless steel basket that is 450 mm in diameter. Spray the rotating mass by means of a membrane-type proportioning pump with 26 g of a 40% strength solution of shellac in ethanol and sprinkle with 80 g of diltiazem with a particle size of 40 to 80 mm.
2. The sustained-release pellets can be manufactured by the following procedure: Put 2 kg of saccharose/starch pellets, having a particle size between 0.500 and 0.710 mm, in rotation in a suitable coating pan. Spray the rotating mass with 27.2 g of an ethanolic solution containing 9.79 g of shellac and 1.09 g of polyvinylpyrrolidone, and add 80 g of diltiazem HCl. Repeat this operation 50 times. Coat these pellets with the same amount of solution of ethylcellulose N100 and talc, respectively, 80 g of 0.5% solution of ethylcellulose N100, and 54 g of talc. Repeat this operation 25 times. The proportion of soluble versus insoluble coating materials can be altered to obtain the best release profile. Test all the formulations for in vitro dissolution, in the range of pH between 1 and 7.5, using the method described in the USP, paddle apparatus.

Alternate methods of preparing coated beads include first preparing beads and then coating them. The plain beads are prepared by

Formula 1

Diltiazem hydrochloride	1120.00 g
Lactose	119.00 g
Microcrystalline cellulose (Avicel pH101)	140.00 g
Povidone K30	21.00 g

After introducing the powders into a planetary mixer and granulating same through the obtained plastic, extrude the mass through a cylinder with 1 mm diameter holes (Alexanderwork). The small cylinders are rounded so as to obtain beads by means of a spheronizer. After drying at 60°C for 12 hours, sift the beads and retain the fraction with size between 0.7 and 1.4 mm. 1179 g of beads is the approximate obtained yield (84%).

Formula 2

Diltiazem HCl	560.00 g
Crodesta F 160	59.50 g
Microcrystalline cellulose (Avicel pH101)	70.00 g
Povidone K30	10.50 g

Introduce the ingredients into a planetary mixer and dry mix for approximately 15 minutes. Thereafter, add 100 mL purified water, and mix for 10 minutes more until a plastic mass is obtained. Extrude this mass through a Fuji Paudal® extruder equipped with a 1 mm screen to obtain “spaghetti.” Use a spheronizer-type calvera to transform the extruded product into beads. After drying for 12 hours on trays in an oven at 60°C, sieve the beads to eliminate the ones with a size larger than 1.4 mm and with a size smaller than 0.7 mm. The amount of beads obtained with sizes between 0.7 and 1.4 mm is approximately 639.1 g (yield 91.3%).

Coat the beads prepared previously coated in a STREA-1 (Aeromatic-Fielder) fluidized bed using the “top spraying” technique, and apply 440 g of coating suspension from the following composition on 500 g of beads. Thereafter, dry the coated beads at 50°C for 16 hours.

Coating Suspension Composition

Ingredient	Quantity
Magnesium stearate	12.50 g
Titanium dioxide	5.00 g
Povidone K30	5.00 g
Eudragit NE30D	620.00 g
Talc	17.50 g
Water	338.00 g
Simethicone	1.00 g
Tween 80	0.80 g

DIPHENHYDRAMINE HYDROCHLORIDE CAPSULES*

Each capsule contains diphenhydramine hydrochloride 25 mg. Each capsule contains lactose and magnesium stearate. The banded capsule shell contains D&C Red No. 28, FD&C Red No. 3, FD&C Red No. 40, FD&C Blue No. 1, gelatin, glyceryl monooleate, and titanium dioxide.

DIPYRIDAMOLE AND ASPIRIN EXTENDED-RELEASE CAPSULES*

This is a combination antiplatelet agent intended for oral administration. Each hard gelatin capsule contains 200 mg of dipyridamole in an extended-release form and 25 mg of aspirin as an immediate-release sugar-coated tablet. In addition, each capsule contains the following inactive ingredients: acacia, aluminum stearate, colloidal silicon dioxide, cornstarch, dimethicone, hydroxypropyl methylcellulose, hydroxypropyl methylcellulose phthalate, lactose monohydrate, methacrylic acid copolymer, microcrystalline cellulose, povidone, stearic acid, sucrose, talc, tartaric acid, titanium dioxide, and triacetin. Each capsule shell contains gelatin, red iron oxide and yellow iron oxide, titanium dioxide, and water.

DIVALPROEX SODIUM CAPSULES*

The sprinkle capsules are for oral administration and contain specially coated particles of divalproex sodium equivalent to 125 mg of valproic acid in hard gelatin capsules. The inactive ingredients in the 125 mg sprinkle capsules are cellulosic polymers, D&C Red No. 28, FD&C Blue No. 1, gelatin, iron oxide, magnesium stearate, silica gel, titanium dioxide, and triethyl citrate.

DIVALPROEX SODIUM COATED PARTICLE CAPSULES

Bill of Materials			
Scale (mg/ capsule)	Item	Material Name	Qty/1000 Caps (g)
125.00	1	Valproic acid, USE divalproex sodium-coated particles	134.50
0.53	2	Magnesium stearate	0.53
1.00	3	Silica gel (Syloid 244)	1.00

MANUFACTURING DIRECTIONS

1. Prepare coated particles of divalproex sodium by coating with ethylcellulose (34.34 mg), triethyl citrate (5.8 mg), and magnesium citrate (35 mg), using a mixture of alcohol and acetone in an air suspension system; screen particles using 20 and 40 mesh screens; particles larger than 20 and smaller than 40 must be reworked.
2. Make the granules by wet granulation of divalproex sodium and silica gel, using alcohol.
3. Collect 20 to 40 mesh granules after drying NMT 50°C to LOD of NMT 0.5%.

DOFETILIDE CAPSULES*

Each capsule contains the following inactive ingredients: microcrystalline cellulose, cornstarch, colloidal silicon dioxide, and magnesium stearate. It is supplied for oral administration in three dosage strengths: 125 µg (0.125 mg) orange and white capsules, 250 µg (0.25 mg) peach capsules, and 500 µg (0.5 mg) peach and white capsules.

DOXEPIN HYDROCHLORIDE CAPSULES*

Inert ingredients for the capsule formulations are hard gelatin capsules (which may contain Blue No. 1, Red No. 3, Red No. 40, Yellow No. 10, and other inert ingredients), magnesium stearate, sodium lauryl sulfate, and starch.

DOXYCYCLINE CAPSULES*

Available as 100 and 50 mg capsules, they contain doxycycline monohydrate equivalent to 100 or 50 mg of doxycycline for oral administration. The inert ingredients are colloidal silicon dioxide, magnesium stearate, microcrystalline cellulose, and sodium starch glycolate.

DOXYCYCLINE HYCLATE CAPSULES*

These capsules contain specially coated pellets of doxycycline hyclate for oral administration. They also contain lactose, microcrystalline cellulose, and povidone. The capsule shell and band contain FD&C Blue No. 1, FD&C Yellow No. 6, D&C Yellow No. 10, gelatin, silicon dioxide, sodium lauryl sulfate, and titanium dioxide.

DOXYCYCLINE HYCLATE CAPSULES

Bill of Materials			
Scale (mg/capsule)	Item	Material Name	Qty/1000 Caps (g)
122.00	1	Doxycycline hyclate (22% excess)	122.00
26.00	2	Microcrystalline cellulose (Avicel PH 102)	26.00
4.00	3	Starch (cornstarch dried)	4.00
0.60	4	Sodium lauryl sulfate	0.60
0.60	5	Colloidal silicon dioxide (Aerosil 200)	0.60
2.00	6	Magnesium stearate	2.00
—	7	Hard gelatin capsules, Size 3	1000.00

MANUFACTURING DIRECTIONS

Note: Processing should be conducted in a controlled room temperature and humidity area. The limits are room temperature 20°C to 27°C, RH 40% to 45%.

- Mix items 1, 2, and 4 in a stainless steel drum. Pass the mixed material through a 500 µm sieve using a sifter. Collect in stainless steel drum.
- Mix items 3, 5, and 6 in a polyethylene bag. Pass the mixed material through a 250 µm sieve using a sifter. Pass two times. Collect in the polyethylene bag and transfer to step 1 in a stainless steel drum.
- Mix the material in a drum mixer for 3 minutes.
- Take a sample for assay and moisture content.
- Load the empty capsule shells (size 3) in the hopper; cap and body are ivory opaque.
- Run the machine and check the locking of shells. Run the machine. Check the fill weight (155 mg) and

locking of the capsules. Collect the filled capsules from polyethylene-lined stainless steel container in silica bags and close tightly.

- Store the containers in a controlled room temperature and humidity area. The limits are RH 45% to 50% at a temperature of 25°C to 27°C.

DOXYCYCLINE HYDROCHLORIDE CAPSULES AND ORAL SUSPENSION*

Inert ingredients in the capsule formulations are hard gelatin capsules (which may contain Blue No. 1 and other inert ingredients; magnesium stearate; microcrystalline cellulose; and sodium lauryl sulfate).

EFAVIRENZ CAPSULES*

This is available as capsules for oral administration containing either 50, 100, or 200 mg of efavirenz as well as the following inactive ingredients: lactose monohydrate, magnesium stearate, sodium lauryl sulfate, and sodium starch glycolate.

The capsule shell contains the following inactive ingredients and dyes: gelatin, sodium lauryl sulfate, titanium dioxide, and yellow iron oxide. The capsule shells may also contain silicon dioxide. The capsules are printed with ink containing carmine 40 blue, FD&C Blue No. 2, and titanium dioxide.

ENALAPRIL MALEATE CAPSULES

Inactive ingredients: magnesium stearate, microcrystalline cellulose, and sodium lauryl sulfate. Inert ingredients for the oral suspension formulation are carboxymethylcellulose sodium, Blue No. 1, methylparaben, microcrystalline cellulose, propylparaben, raspberry flavor, Red No. 28, and simethicone.

ENALAPRIL MALEATE CAPSULES

Bill of Materials			
Scale (mg/capsule)	Item	Material Name	Qty/1000 Caps (g)
10.00	1	Enalapril maleate	10.00
235.00	2	Lactose anhydrous	235.00
1.25	3	Magnesium stearate	1.25

MANUFACTURING DIRECTIONS

- Pass all items through a 60 mesh screen into blender; mix for 10 minutes.
- Fill 250 mg into size 00 capsules.

EPLERENONE CAPSULES

Bill of Materials			
Scale (mg/ capsule)	Item	Material Name	Qty/1000 Capsules (g)
10.00	1	Eplerenone	10.00
306.80	2	Lactose hydrous NF	306.80
60.00	3	Microcrystalline cellulose NF	60.00
10.00	4	Talc	10.00
1.20	5	Croscarmellose sodium NF	1.20
2.00	6	Sodium lauryl sulfate NF	2.00
2.00	7	Colloidal silicon dioxide NF	2.00
1.20	8	Magnesium stearate NF	1.20

* adjust for higher dose fill.

MANUFACTURING DIRECTIONS

- Total capsules fill weight is 400 mg in hard white opaque gelatin capsules

ERYTHROMYCIN AND BROMHEXINE
POWDER FOR SUSPENSION

Bill of Materials			
Scale (mg/5 mL)	Item	Material Name	Qty/l (g)
21.00	1	Sodium carboxymethylcellulose	0.42
6.55	2	Dye red	0.131
4735.00	3	Sugar granular 39075 mesh	94.70
2650.00	4	Sodium citrate dihydrate	53.00
659.00	5	Sodium carboxymethylcellulose high viscosity	13.18
393.50	6	Magnesium aluminum silicate Veegum F	7.87
78.50	7	Saccharin sodium dihydrate	1.57
200.00	8	Erythromycin, USE erythromycin ethylsuccinate ^a citrate washed	123.58
0.80	9	Bromhexine, USE bromhexine hydrochloride	2.10
QS	10	Flavor	3.95
QS	11	Water purified, ca	67 mL

^a Erythromycin ethylsuccinate is factored = $(123.58 \times 850)/\text{potency, } \mu\text{g/g}$.

MANUFACTURING DIRECTIONS

1. Granulation

- Dissolve the sodium carboxymethylcellulose (item 1) and the dye in approximately 67 mL of purified water with heat while stirring. Allow to cool. Ensure that the sodium carboxymethylcellulose is completely in solution.
- Pass sugar cane through a 2.38 mm aperture screen using an oscillating granulator.
- Pass the following through a 1.27 mm aperture or similar screen: sodium CMC (item 5), Veegum F, sodium saccharin, bromhexine HCl, and erythromycin ethylsuccinate. Use a Fitz mill or a similar mill, high speed, impact forward.
- Load the ingredients from steps B and C into the mixer and blend for 30 minutes.
- Mass with the solution from step A. If necessary, add purified water to form a cohesive granule with even color dispersion.
- If necessary, pass the wet mass through a 4.76 mm aperture screen and spread on stainless steel trays.
- Load trays of granulation into the oven and dry at 49°C to LOD of less than 0.5% (60°C/5 mm). *Note:* Stir granulation during drying.
- Allow granulation to cool in low humidity area before passing through a 1.7 mm aperture screen. *Note:* Precooling in a low humidity area prevents condensation when later packed in polyethylene-lined bags.
- Request samples.
- Load part of dry granulation and sodium citrate into a mixer. Slowly add flavor while mixing. Mix for a few minutes. Hand screen through a 1.2 mm aperture screen.
- Load the screened granulation into a suitable blender and add flavor mixture from step j. Mix well (approximately 30 minutes).
- Take samples.
- Discharge blended granulation into tared polyethylene-lined drums; seal and weigh. Store until needed for filling.

2. Finishing

- At filling, weight for a 60 mL bottle should be 22.85 g, weight for a 100 mL bottle should be 39.08 g.

ERYTHROMYCIN AND SULFISOXAZOLE
GRANULES FOR SUSPENSION

Bill of Materials			
Scale (mg/5 mL)	Item	Material Name	Qty/kg (g)
180.63	1	Sodium citrate dihydrate	66.90

600.00	2	Sulfisoxazole, USE sulfisoxazole acetyl	222.30
13.50	3	Sodium carboxymethylcellulose high viscosity	5.00
10.80	4	Magnesium aluminum silicate Veegum F	4.00
5.40	5	Citric acid	2.00
0.54	6	Polaxamer 188 (Pluronic F68)	0.20
200.00	7	Erythromycin, USE erythromycin ethylsuccinate citrate washed ^a (850 µg/mg) 5% excess	75.29
1661.28	8	Sucrose	615.29
QS	9	Water purified	55 mL
7.56	10	Flavor	2.80
3.24	11	Flavor	1.20
10.80	12	Flavor	4.00
2.70	13	Ammonium glycyrrhizinate	1.00

^a Factored according to potency. Adjust with sugar.

MANUFACTURING DIRECTIONS

I. Premixing

Note: This milling step is hazardous. *Caution:* Equipment must be grounded or bonded.

- Mill sodium citrate, sodium carboxymethylcellulose, magnesium aluminum silicate, citric acid, poloxamer, and erythromycin ethylsuccinate through a No. 2 band (1.59 mm aperture) using a Fitz mill or similar mill, at high speed, impact forward.
- Load milled materials from step A into a suitable blender. Mix for 15 minutes.
- Screen the sulfisoxazole acetyl through a 4.76 mm aperture screen and add to the blender. Blend for 15 minutes.
- Discharge blender into polyethylene-lined drums.

II. Granulation

- Load mass mixer with the premix blend. Add the sucrose to the mixer by hand screening through a 2.00 mm aperture screen. Dry mix for not less than 5 minutes.
- QS to mass using approximately 51 mL of purified water.
- Granulate the wet mass through a 5/8-in band (15.88 mm aperture or similar) on a rotary granulator or similar granulator. Spread on paper-lined trays, no more than one scoopful per tray. Place granulation in oven set at 49°C.
- Dry to NMT 0.7% LOD.
- Sift dried granulation through a 1.19 mm aperture screen and grind coarse granulation through

a No. 2 AA band (1.98 mm aperture or similar) in a Fitz mill or a similar mill, medium speed, knives forward into polyethylene-lined drums.

III. Blending

- Load approximately one-half of the granulation from step II-E into a suitable blender.
- Screen flavors and ammonium glycyrrhizinate through a 600 µm aperture screen into a portion of the granulation; mix and add to the blender.
- Add the remaining granulation into the blender. Blend for 20 minutes.
- Dispense mixture into polyethylene-lined drums.

IV. Finishing

- Fill into suitable approved bottles at a theoretical weight of 62.5 g/100 mL, requiring approximately 50 mL of water for reconstitution.

ERYTHROMYCIN DELAYED-RELEASE CAPSULES*

Erythromycin delayed-release capsules contain enteric-coated pellets of erythromycin base for oral administration. Each erythromycin delayed-release capsule contains 250 mg of erythromycin base. The inactive ingredients are cellulosic polymers, citrate ester, D&C Red No. 30, D&C Yellow No. 10, magnesium stearate, and povidone. The capsule shell contains FD&C Blue No. 1, FD&C Red No. 3, gelatin, and titanium dioxide.

ERYTHROMYCIN DELAYED-RELEASE CAPSULES

Bill of Materials			
Scale (mg/ capsule)	Item	Material Name	Qty/1000 Caps (g)
250.00	1	Erythromycin, USE erythromycin 66.7% pellets (label claim is 667 mg/g)	375.00 ^a
—	2	Empty hard gelatin capsules, Size 0	1000.00

^a Quantity of pellets for 1000 capsules will be adjusted based on the pellets' assay results.

MANUFACTURING DIRECTIONS

Note: Processing should be done under controlled room temperature and relative humidity. The limits are room temperature 20°C to 25°C, RH 40% to 45%.

- Load the empty capsule shells (size 0) in the hopper.
- Fill.

ERYTHROMYCIN ETHYLSUCCINATE FOR ORAL SUSPENSION

Bill of Materials

Scale (mg/5 mL)	Item	Material Name	Qty/1 kg (19 units) (g)
125.00	1	Erythromycin ethylsuccinate ^a	55.860
2168.00	2	Sucrose ^b	823.840
250.25	3	Sodium citrate	95.095
2.97	4	Saccharin sodium	1.128
0.27	5	FD&C Red No. 40	0.104
1.43	6	Carmellose sodium (sodium CMC 7 MFD)	0.543
21.45	7	Simethicone emulsion 30% (simethicone M30)	8.151
12.98	8	Xanthan gum	4.932
6.27	9	Cherry dry flavor	2.382
—	10	Purified water	15.200

^a Potency: 850 µg/mg, as is.

^b Sucrose quantity to be adjusted accordingly. The weight of sucrose may be adjusted to compensate for potency variation of erythromycin ethylsuccinate to maintain the standard batch size (1 kg). Fill weight: 52.5 g for 100 mL pack.

MANUFACTURING DIRECTIONS

Precautions: Handle erythromycin ethylsuccinate carefully to avoid any cross-contamination. The processing area must be under controlled room temperature and humidity. The limits are RH: 45% to 55%, temperature: 23°C to 25°C.

1. Preparation of solution: Dissolve item 5 in item 10 (25–30°C). Add item 6 slowly while stirring with stirrer at medium speed until gel is formed. Check the weight; theoretical weight is 15.84 g. If required, adjust with item 10.
2. Dry mixing: Pass item 2 (calculated quantity) through sifter using a 900 µm sieve. Crush the larger crystals of item 2 using a Fitz mill, impact forward, high speed.
3. Load item 2 from step 2 into the mixer and start mixing at high speed. Add item 7 while mixing. Mix for 10 minutes with the mixer and chopper at high speed.
4. Mix items 3, 4, 8, 1, and the mixture from step 3 in a clean, dry stainless steel container using a clean, dry stainless steel scoop.
5. Pass the material through a Fitz mill, impact forward, high speed.
6. Add the milled material to the mixer; mix for 5 minutes with the mixer and chopper at high speed.
7. Scrape down the sides and blades and again mix for 2 minutes with the mixer and chopper at high speed.

8. Wet granulation: Very slowly add the solution from step 1 to step 5 in mixer. Mix at low speed, until a satisfactory mass is obtained. Mix and chop for 1 minute only. Do not overwet the mass.
9. Drying: Dry the wet granules in the fluid-bed dryer at 55°C to reach an LOD of no more than 0.4%.
10. Grinding: Pass the dried granules through a 1 mm sieve using a Frewitt® granulator. Collect in a stainless steel drum.
11. Final mixing: Pass item 9 through 250 µm sieve using a sifter. Collect in a polyethylene-lined bag.
12. Load sieved material from step 8 into the blender.
13. Add sieved flavor (item 9) from step 11 to the blender.
14. Blend the powders for 5 minutes.
15. Unload the blended powder in stainless steel drums.

ERYTHROMYCIN ETHYLSUCCINATE FOR ORAL SUSPENSION (200 MG/5 ML)

Bill of Materials

Scale (mg/5 mL)	Item	Material Name	Qty/1 kg (18 Units) (g)
200.00	1	Erythromycin, USE erythromycin ethylsuccinate ^a	89.3700
1342.00	2	Sucrose	483.1200
880.00	3	Sucrose ^b	316.8000
250.25	4	Sodium citrate	90.0900
2.97	5	Saccharin sodium	1.0692
0.27	6	FD&C Red No. 40	0.0990
1.43	7	Carmellose sodium (Sodium CMC 7 MFD)	0.5148
21.45	8	Simethicone emulsion 30% (simethicone M30)	7.7220
12.98	9	Xanthan gum	4.6728
6.27	10	Cherry dry flavor	2.2572
—	11	Purified water	15.8400

^a Potency: 850 µg/mg, as is.

^b The weight of sucrose may be adjusted to compensate for potency variation of erythromycin ethylsuccinate to maintain the standard batch size (1 kg). Fill weight: 55 g for 100 mL pack.

ERYTHROMYCIN STEARATE FOR ORAL SUSPENSION

Bill of Materials

Scale (mg/ mL)	Item	Material Name	Qty/L (g)
25.00	1	Erythromycin stearate 600 µg/mg, 5% excess	43.75
1.00	2	Methylparaben	1.00
0.20	3	Propylparaben	0.20

10.00	4	Magnesium aluminum silicate	10.00
1.15	5	Sodium carboxymethylcellulose (CMC), low viscosity	1.15
4.00	6	Alcohol 190 proof	4.00
120.00	7	Sodium citrate dihydrate	120.00
0.20	8	Saccharin sodium	0.20
700.00	9	Sugar granular	700.00
0.07	10	Yellow dye	0.07
2.76	11	Chocolate flavor	2.76
0.54	12	Orange flavor	0.54
1.25	13	Sodium lauryl sulfate	1.25
QS	14	Water purified	QS

MANUFACTURING DIRECTIONS

I. Mixing

- A. Place sodium CMC and 40 g of sugar in a mixing drum. (If using alcohol, add it to the drum to wet the mixture and indicate use on the work order.) Roll for 2 hours to blend.
- B. Measure 350 mL of purified water into a jacketed mixing tank and heat the water to 95°C. Maintain at this temperature.
- C. Add methylparaben to the water at 95°C. Stir until completely dissolved.
- D. Add propylparaben to the solution at 95°C. Stir until completely dissolved.
- E. Cool to 60°C and maintain temperature. Stir the solution and slowly sprinkle in Veegum. Stir until Veegum is completely dispersed. Check by passing quantity of the batch through a 350 µm aperture or similar screen and watch for any undissolved residue.
- F. While stirring, add the blended powders from step A slowly to the solution. Stir until completely dissolved. Screen a quantity through a 350 µm aperture or similar screen to check for undissolved sodium CMC.
- G. Maintain the batch at 50°C to 55°C and gradually add the remaining sugar (item 9) with stirring. Stir until completely dissolved. Check for any undissolved sugar by passing a quantity of the bulk through a 350 mm aperture or similar screen.
- H. Dissolve the saccharin sodium in approximately 5 mL of purified water and add the solution to the batch.
- I. While stirring, add the sodium citrate to the batch. Stir under maximum vacuum until completely dissolved. Check by passing a quantity of the bulk through a 350 mm aperture or similar screen.
- J. Dissolve FD&C Yellow No. 6 in approximately 5 mL of purified water and add the solution to the batch. Cool the batch to 30°C (chilled water may be used).
- K. In a separate tank, stir approximately 85 mL of purified water and slowly, taking care to avoid a vortex, add and dissolve sodium lauryl sulfate. When dissolved, gradually sprinkle in the erythromycin stearate and mix into a smooth slurry. Mix for half an hour.
- L. While stirring the batch from step J, slowly add the slurry from step K. Take care not to aerate the batch. Wash thoroughly into the batch with approximately 10 mL of purified water.
- M. With continual stirring, add the flavors (items 11 and 12) to the batch.
- N. Pass the whole batch through a homogenizing mill using a suitable setting such that crystal fracture is minimized. Rinse the mill with purified water and add the rinsing to the batch.
- O. Return the milled batch back into the mixing tank. Gradually increase the application of vacuum as allowed by the level in the tank. Stir under a 28 in Hg vacuum for 1 hour. Adjust the batch volume to 1 L using purified water.
- P. Repeat step O until the volume is constant and specific gravity meets specifications.

ERYTHROMYCIN STEARATE FOR ORAL SUSPENSION

Bill of Materials

Scale (mg/mL)	Item	Material Name	Qty/l (g)
25.00	1	Erythromycin stearate 600 µg/mg, 5% excess	43.75
1.00	2	Methylparaben	1.00
0.20	3	Propylparaben	0.20
2.00	4	Xanthan gum	2.00
120.00	5	Sodium citrate dihydrate	120.00
0.20	6	Saccharin sodium	0.20
100.00	7	Sorbitol solution	100.00
4.50	8	Antifoam emulsion Dow Corning	4.50
0.07	9	Dye yellow	0.07
2.76	10	Flavor chocolate	2.76
700.00	11	Sugar granular	700.00
0.54	12	Flavor orange	0.54
1.25	13	Sodium lauryl sulfate	1.25
QS	14	Water purified	QS

MANUFACTURING DIRECTIONS

I. Mixing

- A. Heat 600 mL of purified water in a jacketed mixing tank to 95°C to 100°C.

- B. Add the methylparaben and propylparaben and mix to dissolve.
- C. Withdraw the following preserved purified water:
1. 200 mL and dissolve the sodium citrate.
 2. 150 mL and dissolve the sodium lauryl sulfate.
 3. 5 mL and dissolve the sodium saccharin and the dye yellow.
- D. In a plastic bag, mix together the xanthan gum and 20 g of sucrose (item 11) for 10 minutes.
- E. Maintaining the batch at 50°C to 60°C while mixing, slowly add the dry mixture from step D until a clear gel is obtained.
- F. Add the sorbitol and mix.
- G. While mixing, slowly add the solution obtained from step C-1.
- H. Add the disperse 380 g of sucrose (item 11) while mixing. Make sure that the temperature will not go over 60°C. Stop heating when all dissolved.
- I. Without producing the vortex, add erythromycin stearate to the solution from step C-2 and continue mixing until smooth slurry is formed. Continue mixing for 15 to 30 minutes and then pass slurry through a homogenizer. Add the anti-foam C to the slurry and mix; rinse the homogenizer with purified water and add the rinsings to the slurry. Mix.
- J. While mixing, add the slurry obtained from step I to the batch; rinse the vessel with 5 mL of purified water and add the rinsings to the batch.
- K. Add and disperse the solution from step C-3 and continue mixing.
- L. Mix under vacuum for 1 hour. Release the vacuum and record the volume. *Caution:* Do not adjust volume at this stage.
- M. Repeat step L until no further volume change is noticed.
- N. Add the flavors (items 10 and 11) and bring to volume with purified water.

15.72	7	Propylene glycol	15.72
8.83	8	Phosphate buffer	8.83
31.49	9	Cholesterol	31.49
17.72	10	Tween 80	17.72
63.68	11	Egg yolk lecithin	63.68
28.15	12	Glyceryl amino oleate	28.15
19.78	13	d-Alpha Tocopherol	19.78
251.42	14	Oleic acid	251.42

^a Erythropoietin: 1000 IU = 8 ig

^b Aprotinin: 7500 KIU = 1 mg

MANUFACTURING DIRECTIONS

1. The overall method is as follows: Slowly disperse the high HLB surfactant polyoxy-40 stearate into the mixture of polyethylene glycol 400 and propylene glycol. Once it dissolves, add hydroxypropyl cellulose as a stabilizer, and slowly disperse into the above mixture. Make a separate solution of the proteinaceous material along with the phospholipid and the protease inhibitor in a portion of the above solvent mixture. The solution can then be added to the PEG/PG mixture at room temperature. The amount of any water is limited to 5% of the polyol solvent. When using the water solution, use citrate buffer to maintain the pH at a point where the protein is most stable. For erythropoietin, the pH can be adjusted to 7.0 to 7.5 with a phosphate buffer. The amount of aqueous buffer solution would still be 5% of the hydrophilic phase. At a pH of 7.0 to 7.5, erythropoietin has its maximum stability. It is known that in formulating proteins, the pH of the formulation should be distant from the iso-electric point of the protein, which would not precipitate the protein from the solution. Separately, mix together the ingredients of the lipid solvent. Under gentle and constant stirring, disperse the polyol solution with the lipid solution.
2. Slowly disperse the surfactant (polyoxy-40 stearate) into a mixture of polyethylene glycol and propylene glycol. Once it is dissolved, add small amounts of hydroxypropyl cellulose and disperse into the same mixture. Dissolve erythropoietin in the phosphate buffer/water/saline, along with aprotinin and dimyristoyl phosphatidyl choline. The aqueous solution is then added to the polyethylene glycol mixture at room temperature. The pH of the solution should be adjusted at 7.5 for maximum stability.
3. In a separate vessel, dissolve all the lipid-liking ingredients in oleic acid. Slowly add cholesterol to achieve faster dissolution. Once both the phases are ready, slowly add the lipid solution to polyol solution while mixing at low speed. Preferably, the vessel should be ice jacketed because mixing produces heat. Once the mixing is achieved, a transparent yellowish-brown preemulsion solution is obtained.

ERYTHROPOIETIN CAPSULES

Bill of Materials

Scale (mg/capsule)	Item	Material Name	Qty/1000 Caps (g)
14000 IU	1	Erythropoietin ^a	140000000IU
0.047	2	Dimyristoyl phosphatidyl choline	0.047
3.42	3	Aprotinin ^b	3.42
3.78	4	Hydroxypropyl cellulose-LF	3.78
3.78	5	Polyoxy-40 stearate Myrj-52 [®]	3.78
141.1	6	Polyethylene glycol 400	141.1

4. Fill the preemulsion solution into a size 0 hard gelatin capsule and seal the capsule with a band of gelatin solution. The banding helps to coat the capsule uniformly.
5. Coat the capsule with a 10% hydroxypropyl methylcellulose solution as an undercoat. The amount of coat required is sufficient just enough to cover the capsule uniformly with a thin layer of the polymer coat. Usually, a 3.5% to 4.5% weight gain of the capsule is a good indication of the amount required as an undercoat.
6. Once the capsule is coated with an undercoat, apply an enteric coating. For enteric coating purposes different polymers such as hydroxypropyl methylcellulose, hydroxypropyl methylcellulose phthalate, and cellulose acetate phthalate are used.
7. Anionic copolymers that are based on methacrylic acid and methyl methacrylate, commercially available as Eudragit, are also suitable polymers for enteric coating purposes. Dissolve the polymer in organic solvents such as ethyl alcohol, methyl alcohol, acetone, isopropyl alcohol. A combination of two solvents can also be used. The amount of enteric coating solution required is 5% to 6% of the weight gain of the capsules from the original weight of the capsules before applying an enteric coat.

A typical enteric coating solution is made as follows: methacrylic acid and Methacrylate copolymer 10% w/w, diethyl butyl phthalate (plasticizer) 2% w/w, acetone 22% w/w, isopropanol 66% w/w.

8. Mix acetone and isopropanol. Add the polymer slowly with constant mixing. Once the polymer is dissolved, add the plasticizer slowly and let it dissolve. For a size 0 capsule, the previously mentioned enteric coating solution can be sprayed using fluidizing bed techniques. The fluid bed sprayer/dryer is operated with the following parameters.

ESOMEPRAZOLE MAGNESIUM CAPSULES*

Each delayed-release capsule contains 20 or 40 mg of esomeprazole (present as 22.3 or 44.5 mg esomeprazole magnesium trihydrate) in the form of enteric-coated pellets with the following inactive ingredients: glyceryl monostearate 40 to 50, hydroxypropyl cellulose, hydroxypropyl methylcellulose, magnesium stearate, methacrylic acid copolymer type C, polysorbate 80, sugar spheres, talc, and triethyl citrate. The capsule shells have the following inactive ingredients: gelatin, FD&C Blue No. 1, FD&C Red No. 40, D&C Red No. 28, titanium dioxide, shellac, ethyl alcohol, isopropyl alcohol, N-butyl alcohol, propylene glycol, sodium hydroxide, polyvinyl pyrrolidone, and D&C Yellow No. 10.

ESTRAMUSTINE PHOSPHATE CAPSULES*

The capsules are white and opaque, each containing estramustine phosphate sodium as the disodium salt monohydrate

that is equivalent to 140 mg estramustine phosphate for oral administration. Each capsule also contains magnesium stearate, silicon dioxide, sodium lauryl sulfate, and talc. Gelatin capsule shells contain titanium dioxide.

ETHOSUXIMIDE CAPSULES*

Each capsule contains 250 mg ethosuximide and the inactive ingredient polyethylene glycol. The capsule contains D&C Yellow No. 10; FD&C Red No. 3, gelatin, glycerin, and sorbitol.

ETODOLAC CAPSULES*

The inactive ingredients in the capsules are cellulose, gelatin, iron oxides, lactose, magnesium stearate, povidone, sodium lauryl sulfate, sodium starch glycolate, and titanium dioxide.

Flow rate: 1.5 mL/min

Inlet air temperature: 25°C

Outlet air temperature: 25°C

Air flap: 35 Atomizer: 2 bar

A size 0 capsule after the enteric coating will typically have the following composition: preemulsion solution: 0.589 g, undercoat polymer: 0.027 g, enteric coat polymer: 0.032 g, 0.648 g.

FELBAMATE FOR ORAL SUSPENSION*

The inactive ingredients for felbamate suspension (600 mg/5 mL) are sorbitol, glycerin, microcrystalline cellulose, carboxy methylcellulose sodium, simethicone, polysorbate 80, methylparaben, saccharin sodium, propylparaben, FD&C Yellow No. 6, FD&C Red No. 40, flavorings, and purified water.

FENOFIBRATE CAPSULES*

Each capsule contains 67, 134, or 200 mg of micronized fenofibrate. Each capsule also contains the following inactive ingredients: crospovidone, iron oxide, lactose, magnesium stearate, pregelatinized starch, sodium lauryl sulfate, and titanium dioxide.

Fenofibrate Capsules

1. According to the preparation example I in Japanese Examined Patent Publication No. Hei 7-14876 (hereinafter referred to "PREPARATION I"), prepare granules via a co-micronizing process of fenofibrate and sodium lauryl sulfate.
2. The formulation used is as follows (in a capsule; total amount: 250 mg): Fenofibrate 200 mg, sodium lauryl sulfate 7 mg, lactose 3 mg, magnesium stearate 3 mg, alpha, modified starch 30 mg, crospovidone 7 mg.
3. Fill the granules thus obtained into size No. 2 capsules.

FENOFIBRATE CAPSULES

Bill of Materials			
Scale (mg/ capsule)	Item	Material Name	Qty/1000 Caps (g)
100.00	1	Fenofibrate micronized (5 mm)	100.00
2.00	2	Sodium lauryl sulfate	2.00
100.00	3	Polyvinylpyrrolidone K 25, 100–400 mm	100.00
QS	4	Water purified	1750.00
114.28	5	Lactose monohydrate, 100–400 mm	114.28

Note: This formulation is expected to provide enhanced bioavailability of item 1, thus the dose may be reduced by 33% for all strengths.

MANUFACTURING DIRECTIONS

1. Examine item 1 using a Coulter® counter to make sure 90% of particles are within the 5 mm range.
2. Add and dissolve item 2 in item 4; item 1 is then added to make a smooth suspension using a high-speed stirrer and then passing it through a high-speed mill.
3. Add item 3 while agitating until it is dissolved and ensure that no agglomerates are present.
4. Pass step 3 through a 350 µm sieve.
5. Separately, item 5 is loaded in a fluid-bed granulator and brought into suspension and the temperature is raised to 40°C.
6. Add step 3 into step 5 gradually at a spraying pressure of 2.1 bar, air throughput of 70 m³/h, air inlet temperature of 45°C, air outlet temperature of 33°C, product temperature of 34°C, and a spraying duration of 3 hours.
7. Fill the granulate thus obtained into a suitable size capsule.

FEXOFENADINE HYDROCHLORIDE CAPSULES*

Each capsule contains 60 mg of fexofenadine hydrochloride and the following excipients: croscarmellose sodium, gelatin, lactose, microcrystalline cellulose, and pregelatinized starch. The printed capsule shell is made from gelatin, iron oxide, silicon dioxide, sodium lauryl sulfate, titanium dioxide, and other ingredients.

FEXOFENADINE HYDROCHLORIDE CAPSULES

Bill of Materials			
Scale (mg/ capsule)	Item	Material Name	Qty/1000 Caps (g)
60.00	1	Fexofenadine hydrochloride ^a	60.00
141.00	2	Microcrystalline cellulose	141.00

141.00	3	Lactose	141.00
40.00	4	Pregelatinized starch	40.00
20.00	5	Croscarmellose sodium	20.00
14.70	6	Gelatin capsules	14.70

^a Particle surface area of 2–4 m²/g.

MANUFACTURING DIRECTIONS

1. Combine fexofenadine hydrochloride (item 1), microcrystalline cellulose (item 2), lactose (item 3), and pregelatinized starch (item 4), and blend in a mixer for 5 minutes.
2. To this mixture, add a solution of gelatin (item 6) in purified water (prepared by adding the gelatin to the water and heating the dispersion with mixing until solution of the gelatin is attained) and continue mixing until a good granulation is formed.
3. Pass the granulation through a 0.375 in screen and dry at 60°C until moisture content of less than 3.0% is achieved as determined by a Computrac moisture balance at 125°C.
4. Mill the dried granulation through a 0.065 in screen.
5. To the granulation, add croscarmellose sodium and mix for approximately 10 minutes.
6. Fill the granulation into size 0 hard gelatin capsules to a total fill weight of 416.7 mg granulation per capsule.

FLUCONAZOLE FOR ORAL SUSPENSION*

The oral suspension contains 350 or 1400 mg of fluconazole and the following inactive ingredients: sucrose, sodium citrate dihydrate, citric acid anhydrous, sodium benzoate, titanium dioxide, colloidal silicon dioxide, xanthan gum, and natural orange flavor. After reconstitution with 24 mL of distilled water or purified water, each milliliter of reconstituted suspension contains 10 or 40 mg of fluconazole.

FLUCYTOSINE CAPSULES*

Each capsule also contains cornstarch, lactose, and talc. Gelatin capsule shells contain parabens (butyl, methyl, propyl) and sodium propionate, with the following dye systems: 250 mg capsules contain black iron oxide, FD&C Blue No. 1, FD&C Yellow No. 6, D&C Yellow No. 10, and titanium dioxide; 500 mg capsules contain black iron oxide and titanium dioxide.

FLUOXETINE CAPSULES

Bill of Materials			
Scale (mg/ capsule)	Item	Material Name	Qty/1000 Caps (g)
20.00	1	Fluoxetine, USE fluoxetine hydrochloride	22.36

80.14	2	Starch (cornstarch)	80.14
10.00	3	Simethicone, USE simethicone M30	35.00
42.00	4	Starch (cornstarch dried)	42.00
0.50	5	Colloidal Silicon dioxide (Aerosil 200)	0.50
1.00	6	Empty hard gelatin capsule, shell size 3	1000.00

MANUFACTURING DIRECTIONS

Note: The processing area must be under controlled room temperature and humidity. The limits are RH 40% to 50%, temperature NMT 27°C.

1. Dry powder mixing
 - A. Sift items 1 and 2 through a stainless steel sieve (630 µm) in a sifter.
 - B. Load the powder mix in the mixer. Mix for 5 minutes at low speed.
2. Wet massing
 - A. Add item 3 suspension into the powder mix while mixing at low speed for 3 minutes. Scrape sides and blades. Mix for another 3 minutes at low speed.
3. Drying and grinding
 - A. Spread the moist mass thinly on stainless steel trays. Break the big lumps if any.
 - B. Dry the mass in oven at 55°C for 10 hours.
 - C. Check LOD (limit between 1.5% and 2.0%). If required, dry further for 1 hour.
 - D. Grind the dried granules through a granulator using a stainless steel sieve (1 mm). Collect in a stainless steel drum.
4. Lubrication
 - A. Sift items 4 and 5 through a stainless steel sieve (500 µm) using a sifter. Collect in a stainless steel drum. Add into the drum blender (step III-D). Mix for 5 minutes.
 - B. Unload the final blend.
5. Take sample for analyzing fluoxetine hydrochloride content in the granules to fill. *Note:* Encapsulation is recommended within 7 days after lubrication.

FLUOXETINE HYDROCHLORIDE CAPSULES

Bill of Materials

Scale (mg/ capsule)	Item	Material Name	Qty/1000 Caps (g)
20.00	1	Fluoxetine, USE fluoxetine hydrochloride	22.40
160.00	2	Talc	160.00
100.00	3	Starch dried	100.00
4.00	4	Magnesium stearate	4.00
1.00	5	Aerosil 200	1.00

MANUFACTURING DIRECTIONS

1. Mix items 1 to 5 in a suitable blender after passing through a 60 mesh screen.
2. Mix for 30 minutes.
3. Fill 350 mg into size 2 capsules.

FLUOXETINE HYDROCHLORIDE INSTANT AND WEEKLY CAPSULES*

Each capsule contains fluoxetine hydrochloride equivalent to 10 mg (32.3 mmol), 20 mg (64.7 mmol), or 40 mg (129.3 mmol) of fluoxetine. The Pulvules also contain starch, gelatin, silicone, titanium dioxide, iron oxide, and optionally other inactive ingredients. The 10 and 20 mg Pulvules also contain FD&C Blue No. 1 and the 40 mg Pulvule also contains FD&C Blue No. 1 and FD&C Yellow No. 6. The capsules intended for weekly administration, a delayed-release formulation, contain enteric-coated pellets of fluoxetine hydrochloride equivalent to 90 mg (291 mmol) of fluoxetine. The capsules also contain FD&C Yellow No. 10, FD&C Blue No. 2, gelatin, hydroxypropyl methylcellulose, hydroxypropyl methylcellulose acetate succinate, sodium lauryl sulfate, sucrose, sugar spheres, talc, titanium dioxide, triethyl citrate, and optionally other inactive ingredients.

FLUTAMIDE CAPSULES*

Each capsule contains 125 mg of flutamide. The inactive ingredients include cornstarch, lactose, magnesium stearate, povidone, and sodium lauryl sulfate. Gelatin capsule shells may also contain benzyl alcohol, butylparaben, colloidal silicon dioxide, edetate calcium disodium, methylparaben, propylparaben, and sodium propionate, as well as the following dye systems: FD&C Blue No. 1, FD&C Red No. 3, FD&C Yellow No. 6, titanium dioxide, and black ink.

FLUTICASONE PROPIONATE AND SALMETEROL XINAFOATE INHALATION POWDER*

This is a combination of fluticasone propionate and salmeterol xinafoate. These are specially designed plastic devices containing a double-foil blister strip of a powder formulation of fluticasone propionate and salmeterol xinafoate intended for oral inhalation only. Each blister on the double-foil strip within the device contains 100, 250, or 500 µg of microfine fluticasone propionate and 72.5 µg of microfine salmeterol xinafoate salt, equivalent to 50 µg of salmeterol base, in 12.5 mg of formulation containing lactose. Each blister contains one complete dose of both medications. After a blister containing the medication is opened by activating the device, the medication is dispersed into the air stream created by the patient inhaling through the mouthpiece. Under standardized in vitro test conditions, it delivers 93, 233, and 465 µg of fluticasone propionate and 45 µg of salmeterol base per blister, respectively, when tested at a flow rate of 60 L/min for 2 seconds.

FLUVASTATIN SODIUM CAPSULES*

This is supplied in capsules containing fluvastatin sodium, equivalent to 20 or 40 mg of fluvastatin, for oral administration. The inactive ingredients in the capsules are gelatin, magnesium stearate, microcrystalline cellulose, pregelatinized starch (corn), red iron oxide, sodium lauryl sulfate, talc, titanium dioxide, and yellow iron oxide. Capsules may also include benzyl alcohol, black iron oxide, butylparaben, carboxymethylcellulose sodium, edetate calcium disodium, methylparaben, propylparaben, silicon dioxide, and sodium propionate.

FLUVASTATIN SODIUM CAPSULES

Bill of Materials			
Scale (mg/capsule)	Item	Material Name	Qty/1000 Caps (g)
20.00	1	Fluvastatin	20.00
62.84	2	Calcium carbonate heavy precipitated	62.84
2.00	3	Sodium bicarbonate	2.00
23.35	4	Microcrystalline cellulose Avicel PH102	23.35
20.95	5	Pregelatinized starch (starch 1500)	20.95
QS	6	Water purified	QS
33.88	7	Microcrystalline cellulose	33.88
20.95	8	Pregelatinized starch	20.95
9.43	9	Talc	9.43
1.05	10	Magnesium stearate	1.05

MANUFACTURING DIRECTIONS

- Mix fluvastatin (item 1), sodium bicarbonate (item 3), calcium carbonate (item 2), microcrystalline cellulose (item 4), and pregelatinized starch (item 5) for 5 minutes and pass the mixture through a 40 mesh screen and blend for another 3 minutes.
- Add water to the mixture while blending for about 4 minutes to form a wet granulation.
- Dry the wet granulation in a fluid bed dryer at 50°C inlet temperature to a loss on drying of 1.59%.
- Pass the dried granules through a 20 mesh screen and blend with the microcrystalline cellulose and pregelatinized starch set-asides (items 7 and 8) for approximately 10 minutes.
- Add talc and magnesium stearate (each prescreened on a 60 mesh bolting cloth) to the mixture while blending for approximately 5 minutes. The resulting composition has a loss on drying of 2.65%.
- Fill a blue opaque capsule with the composition and polish manually with salt.

FORMOTEROL FUMARATE INHALATION POWDER*

This consists of a capsule dosage form containing a dry powder formulation of formoterol fumarate intended for oral inhalation only with the AerolizerJ® inhaler. Each clear, hard gelatin capsule contains a dry powder blend of 12 µg of formoterol fumarate and 25 mg of lactose as a carrier. The active component is formoterol fumarate—a racemate.

FORMOTEROL FUMARATE INHALER CAPSULES*

The inhaler consists of a capsule dosage form containing a dry powder formulation of formoterol fumarate intended for oral inhalation only with the AerolizerJ inhaler. Each clear, hard gelatin capsule contains a dry powder blend of 12 µg of formoterol fumarate and 25 mg of lactose as a carrier.

FOSFOMYCIN TROMETHAMINE SACHETS*

Fosfomycin tromethamine sachet contains fosfomycin tromethamine, a synthetic, broad-spectrum bactericidal antibiotic for oral administration. It is available as a single-dose sachet, which contains white granules consisting of 5.631 g of fosfomycin tromethamine (equivalent to 3 g of fosfomycin) and the following inactive ingredients: mandarin flavor, orange flavor, saccharin, and sucrose.

GABAPENTIN CAPSULES*

Gabapentin capsules are supplied as imprinted hard shell capsules containing 100 mg, 300 mg, and 400 mg of gabapentin. The inactive ingredients for the capsules are lactose, cornstarch, and talc. The 100 mg capsule shell contains gelatin and titanium dioxide. The 300 mg capsule shell contains gelatin, titanium dioxide, and yellow iron oxide. The 400 mg capsule shell contains gelatin, red iron oxide, titanium dioxide, and yellow iron oxide. The imprinting ink contains FD&C Blue No. 2 and titanium dioxide.

GABAPENTIN CAPSULES

Ingredients	Percent (w/w)
Gabapentin (Kemprotec)	75.00%
StarCap 1500® (Colorcon)	24.75%
Magnesium stearate NF (Mallinckrodt)	0.25%
Total:	100.00%
Hard gelatin capsule shell No. 0, White/White	QS

MANUFACTURING DIRECTIONS

- Mix all ingredients.
- Fill 400 mg into size 0 capsule.

GANCICLOVIR CAPSULES*

Each capsule contains 250 or 500 mg ganciclovir, respectively, and the following inactive ingredients: croscarmellose sodium, magnesium stearate, and povidone. Both hard gelatin shells consist of gelatin, titanium dioxide, yellow iron oxide, and FD&C Blue No. 2.

GANCICLOVIR CAPSULES**Bill of Materials**

Scale (mg/capsule)	Item	Material Name	Qty/1000 Caps (g)
250.00	1	Ganciclovir	250.00
3.00	2	Magnesium stearate	3.00
30.00	3	Cornstarch	30.00
116.00	4	Lactose	116.00
4.00	5	Polyvinylpyrrolidone	3.00
QS	6	Methanol	QS

MANUFACTURING DIRECTIONS

1. Granulate items 1, 3, and 4 in a solution of item 5 in item 6.
2. Dry granules, lubricate with item 2, and fill into capsules or tablets.

GEMFIBROZIL CAPSULES**Bill of Materials**

Scale (mg/capsule)	Item	Material Name	Qty/1000 Caps (g)
100.00	1	Gemfibrozil	100.00
248.80	2	Lactose anhydrous ^a	248.80
100.00	3	Cornstarch	100.00
25.00	4	Sodium starch glycolate	25.00
5.00	5	Povidone	5.00
15.00	6	Polysorbate 80	15.00
1.25	7	Colloidal silicon dioxide	1.25
5.00	8	Magnesium stearate	5.00
QS	9	Water purified	QS

^a The quantity of lactose can be reduced to compensate if additional quantities of glycine 12.5 mg and citric acid 2.5 mg are used.

MANUFACTURING DIRECTIONS

1. Use an aqueous wet granulation process whereby the respective active ingredients of lactose, cornstarch, sodium starch glycolate, colloidal silicon dioxide, and povidone are mixed and subsequently granulated with polysorbate dissolved in purified water.
2. Add additional purified water until granules form and no dry powder remains.

3. Dry wet granules at 60°C until the loss on drying is NMT 2%.
4. Mill the dried granules with the sodium starch glycolate, blend, and lubricate with screened magnesium stearate in a twin-shell blender.
5. Fill 500 mg of granules into size 0 capsules.

GLYCOPROTEIN IIA/IIB CAPSULES**Bill of Materials**

Scale (mg/capsule)	Item	Material Name	Qty/1000 Caps (g)
0.23	1	Glycoprotein Iia/Iib	0.23
53.77	2	Lactose anhydrous	53.77
2.70	3	Crospovidone	2.70
1.20	4	Povidone	1.20
1.50	5	Disodium citrate	1.50
0.60	6	Magnesium stearate	0.60
QS	7	Water purified	QS

MANUFACTURING DIRECTIONS

1. Triturate item 1 with item 2 (portion) in a small mixing vessel or mortar.
2. Mix the balance of item 2 and two-thirds of the quantity of item 3 in a shear granulator and add product of step 1 into it with fast mixing.
3. Granulate step 2 using aqueous solution of balance of item 4 and item 5 (9.3% solids in item 7 and pH adjusted to 4 using 1 N-hydrochloric acid).
4. Screen the granulation through an 8 mesh screen and dry in vacuum at 40°C to a moisture content of 0.7%.
5. Blend the granulation with remaining amount of items 3 and 6.
6. Fill 60 mg into size 3 capsules.

GUAIFENESIN SUSTAINED-RELEASE CAPSULES**Bill of Materials**

Scale (mg/capsule)	Item	Material Name	Qty/1000 Caps (g)
150.00	1	Guaifenesin	150.00
26.60	2	Carbopol 934P (B. F. Goodrich)	26.60
172.10	3	PVP C-15(GAF Corporation)	172.10
3.50	4	Talc	3.50
1.80	5	Zinc stearate	1.80

MANUFACTURING DIRECTIONS

1. Combine Carbopol 934P, PVP C-15, talc, and zinc stearate in a mortar and triturate well.

2. Add the guaifenesin to this mixture in the mortar and triturate well until a substantially uniform particulate mixture is achieved.
3. Fill 354 mg of the resulting particulate mixture into size 1 hard gelatin capsule shells.

HERBAL AIDS TREATMENT CAPSULES

Bill of Materials

Scale (mg/capsule)	Item	Material Name	Qty/1000 Caps (g)
32.00	1	<i>Combretum quadrangulare</i>	32.00
20.00	2	<i>Houttuynia cordata</i>	20.00
20.00	3	<i>Mimusops elengi</i>	20.00
20.00	4	<i>Randia siamensis</i>	20.00
308.00	5	<i>Borassus flabellifer</i>	308.00

MANUFACTURING DIRECTIONS

1. First prepare items 1 to 5 by making a powdered form of herbs, extracting them in water or hydroalcoholic solution, and drying the extract.
2. Admix powdered extracts 1 to 5 and fill into a gelatin capsule. Add magnesium stearate 1%, if necessary, to improve flow.

HISTIDINE CAPSULES

Bill of Materials

Scale (mg/capsule)	Item	Material Name	Qty/1000 Caps (g)
250.00	1	Histidine	240.00
QS	2	Lactose	QS

MANUFACTURING DIRECTIONS

1. Mix items 1 and 2 (using desired quantity of item 2 to fit the capsule size chosen) by process of trituration.
2. Fill into appropriate capsule.

HUMAN GROWTH HORMONE CAPSULES

Bill of Materials

Scale (mg/capsule)	Item	Material Name	Qty/1000 Caps (g)
28.00 IU	1	Human growth hormone ^a	28000 IU
0.047	2	Dimyristoyl phosphatidic acid	0.047
3.38	3	Aprotinin ^b	3.38
3.47	4	Sodium cholate	3.47
3.70	5	Polyoxy-23 lauryl ether	3.70

138.60	6	Polyethylene glycol 400	138.60
13.71	7	Propylene glycol	13.71
8.67	8	Water/pH adjuster	8.67
30.92	9	Cholesterol	30.92
17.40	10	Tween 80	17.40
62.53	11	Egg yolk lecithin	62.53
19.43	12	D-alpha-tocopherol	19.43
27.64	13	Sorbitan monooleate	27.64
246.90	14	Isostearic acid	246.90

^a Human growth hormone 2.6 IU = 1 mg.

^b Aprotinin: 7500 KIU = 1 mg.

MANUFACTURING DIRECTIONS

1. Disperse polyoxy-23 lauryl ether (commercially available as BrijTM 35 in the solvent mixture of polyethylene glycol 400 and propylene glycol.
2. Separately disperse sodium cholate in the mixture.
3. Add a water solution containing recombinant human growth hormone, phospholipid, and aprotinin to the solvent mixture from step 1 and adjust the pH to 7.5–7.8 with the help of a phosphate buffer.
4. Separately make the lipid solution in another beaker.
5. To the oil solution, add the polyol solution drop-wise while mixing continuously. While mixing, it is suggested that the vessel be ice jacketed to prevent the denaturation of the protein in the formulation.
6. A clear transparent liquid, which is called the pre-emulsion solution, is obtained after approximately 5 minutes of mixing at low speed. An in situ emulsion can be made by mixing any ratio of the preemulsion solution with the simulated intestinal fluid.
7. Fill the preemulsion solution into a size 0 hard gelatin capsule and seal the capsule with a band of gelatin solution. The banding helps to coat the capsule uniformly.
8. Coat the capsule with a 10% hydroxypropyl methylcellulose solution as an undercoat. The amount of coat required is sufficient just enough to cover the capsule uniformly with a thin layer of the polymer coat. A 3.5% to 4.5% weight gain of the capsule is usually a good indication of the amount required as an undercoat.
9. Once the capsule is coated with an undercoat, apply an enteric coating. For enteric coating purposes, different polymers such as hydroxypropyl methylcellulose, hydroxypropyl methylcellulose phthalate, and cellulose acetate phthalate are used.
10. Anionic copolymers, which are based on methacrylic acid and methyl methacrylate, commercially available as Eudragit, are also suitable polymers for enteric coating purposes. Dissolve the polymer in organic solvents such as ethyl alcohol, methyl alcohol, acetone, and isopropyl alcohol. A combination of two solvents can also be used. The amount of enteric coating solution required is 5% to 6% weight gain of

the capsules from the original weight of the capsules before applying enteric coat. A typical enteric coating solution is made as follows:

Methacrylic acid and methyl methacrylate copolymer 10% w/w

Diethyl butyl phthalate (plasticizer) 2% w/w

Acetone 22% w/w Isopropanol 66% w/w

11. Mix acetone and isopropanol. Add the polymer slowly with constant mixing. Once the polymer is dissolved, add the plasticizer slowly and let it dissolve.
12. For a size 0 capsule, the previously mentioned enteric coating solution can be sprayed using fluidizing bed techniques.

The fluid bed sprayer/dryer is operated with the following parameters:

Flow rate: 1.5 mL/min

Inlet air temperature: 25°C

Outlet air temperature: 25°C

Air flap: 35 Atomizer: 2 bar

1. A size 0 capsule, after the enteric coating, will typically have the following composition: Preemulsion solution: 0.589 g

Undercoat polymer: 0.027 g

Enteric coat polymer: 0.032 g, 0.648 g

HYDROCHLOROTHIAZIDE AND TRIAMTERENE CAPSULES*

This is a combination capsule with an opaque red cap and an opaque white body. It contains hydrochlorothiazide (25 mg) and triamterene (37.5 mg). Inactive ingredients consist of benzyl alcohol, cetylpyridinium chloride, D&C Red No. 33, FD&C Yellow No. 6, gelatin, glycine, lactose, magnesium stearate, microcrystalline cellulose, povidone, polysorbate 80, sodium starch glycolate, titanium dioxide, and trace amounts of other inactive ingredients. These capsules meet Drug Release Test 3 as published in the USP monograph for triamterene and hydrochlorothiazide capsules.

HYDROCHLOROTHIAZIDE CAPSULES*

This is supplied in 12.5 mg capsules for oral use. Each capsule contains the following inactive ingredients: colloidal silicon dioxide, cornstarch, D&C Red No. 28, D&C Yellow No. 10, FD&C Blue No. 1, gelatin, lactose monohydrate, magnesium stearate, titanium dioxide, and other optional ingredients.

HYDROXYZINE PAMOATE CAPSULES AND ORAL SUSPENSION*

The inert ingredients for the capsule formulations are hard gelatin capsules (which may contain FD&C Yellow No. 10, FD&C Green No. 3, FD&C Yellow No. 6, FD&C Red No. 33, and other inert ingredients), magnesium stearate, sodium lauryl sulfate, starch, and sucrose. The inert ingredients for the oral suspension

formulation are carboxymethylcellulose sodium, lemon flavor, propylene glycol, sorbic acid, sorbitol solution, and water.

HYOSCYAMINE SULFATE CAPSULES*

The sustained-release capsules contain 0.375 mg hyoscyamine sulfate in an extended-release formulation designed for oral bid dosage. Each capsule also contains the following inactive ingredients: FD&C Blue No. 1, D&C Red No. 28, FD&C Red No. 40, FD&C Yellow No. 6, gelatin, lactose monohydrate, sodium lauryl sulfate, magnesium stearate, silicon dioxide, titanium dioxide, and other optional ingredients.

IBUPROFEN MICROENCAPSULATED SUSTAINED-RELEASE CAPSULES

Bill of Materials

Scale (mg/capsule)	Item	Material Name	Qty/1000 Caps (g)
450.00	1	Ibuprofen	450.00
450.00	2	Sodium alginate	450.00
4.50 mL	3	Zinc chloride solution 2%	451.00
QS	4	Hydrochloric acid	QS
0.22 mL	5	Glycerin	225.00 mL
—	6	Water purified	22.5 L

MANUFACTURING DIRECTIONS

1. Add a mixture consisting of item 1, previously triturated in 225 mL of glycerin, with rapid stirring to an aqueous solution consisting of 450 g (w/v) of sodium alginate in 22.5 L of purified water.
2. Add this solution to 45 L of a 2% (w/v) zinc chloride solution, which has previously been adjusted to pH 3 by the addition of HCl while the rapid stirring is continued for 10 minutes.
3. Allow the preparation to stand at room temperature for 4 hours, after which collect the drug-entrapped zinc alginate precipitate by filtration, wash 3 times with distilled water, and dry under vacuum for 24 hours.
4. After drying, granulate the residue using minimal amounts of glycerin/water and process into 0.5 mm diameter microspheres by mechanical extrusion and spheronization (Nica Extruder®; Aeromatic Ltd., Bubendorf, Switzerland), into which feed the slightly flexible mass represented by the above residue. This produces a continuous flow of cylindrical extrudate that is 0.5 mm in diameter.
5. This extrudate falls onto the spinning plate of a Nica Spheronizer® (Aeromatic Ltd.), where it is broken into cylinders of approximately 1:1 length: diameter ratio. Interaction between the spinning disc and the wall of the spheronizer then causes the cylinders to be worked into spheres of 0.5 mm diameter.

- Fill the spheres into gelatin capsules (1 g of spheres per size 0 capsule, which represents a total dose of 450 mg of ibuprofen). The capsules of spheres thus produced represent a sustained-release dosage form for analgesic-antipyretic activity with less propensity for gastrointestinal side effects than the conventional tablet form of ibuprofen. Upon ingestion, the spheres begin to release the incorporate drug almost immediately, but begin erosion in 3 to 5 hours. Total erosion time is approximately 8 hours.

IBUPROFEN AND DOMPERIDONE MALEATE CAPSULES

Bill of Materials

Scale (mg/capsule)	Item	Material Name	Qty/1000 Capsules (g)
200.00	1	Ibuprofen	200.00
10.00	2	Domperidone maleate	10.00
100.00	3	Lactose	100.00
20.00	4	Croscarmellose	20.00

MANUFACTURING DIRECTIONS

- Form items 1 to 4 into a homogeneous blend and fill into a conventional hard gelatin capsule containing 200 mg ibuprofen and 10 mg domperidone.

IBUPROFEN AND DOMPERIDONE MALEATE EFFERVESCENT GRANULES

Bill of Materials

Scale (mg/10 g sachet)	Item	Material Name	Qty/kg (g)
20.00	1	Domperidone	2.00
400.00	2	Ibuprofen	40.00
250.00	3	Microcrystalline cellulose	25.00
5120.00	4	Pulverized sugar	512.00
2550.00	5	Malic acid	255.00
770.00	6	Sodium bicarbonate anhydrous	77.00
260.00	7	Sodium carbonate	26.00
10.00	8	Sodium lauryl sulfate	1.00
QS	9	Water	QS

MANUFACTURING DIRECTIONS

- Granulate the domperidone, ibuprofen, microcrystalline cellulose, and sugar with water and then thoroughly dry. Add the remaining ingredients to form a powder mixture.

- Fill 10 g into sachets each containing 400 mg ibuprofen and 20 mg domperidone maleate.

IBUPROFEN SUSTAINED-RELEASE CAPSULES

Bill of Materials

Scale (mg/capsule)	Item	Material Name	Qty/1000 Caps (g)
800.00	1	Ibuprofen	800.00
8.00	2	Aerosil R972	8.00
8.00	3	Beeswax	8.00

MANUFACTURING DIRECTIONS

- Load items 1 and 3 in a jacketed kettle and heat to melt; stir until uniformly melted.
- Add item 2, with stirring, to form a homogeneous suspension. Allow to cool.
- Pass through sieve. If needed, a lubricant may be added to facilitate flow (1% magnesium stearate).
- Fill size 00 capsules.
- The 50% dissolution time is approximately 15 hours.

Given below are guidelines on controlling release rates of ibuprofen using different compositions of excipients. In all instances, melt ibuprofen with the ingredient, allow to congeal, sized, and fill into appropriate size capsules. T_{50} represents time for 50% dissolution. A combination of these granules can be used to provide a wide range of ibuprofen release patterns that are particularly useful in arthritis therapy.

Amount of

	Ibuprofen (% w/w)	Excipient (% w/w)	T50 (hours)
None	100	—	2.9
Arachis oil	90	10	4.1
Beeswax	90	10	>24.0
Beeswax	90 ^a	10	9.5
Colloidal silicon dioxide (Aerosil 200)	99	1	4.7
	97	3	6.6
	95	5	10.0
Colloidal silicon dioxide (Aerosil R972)	99	1	5.9
	95	5	20.5
Croscarmellose sodium (Ac-Di-Sol)	99	1	0.4
	97.5	2.5	0.13
Glycerides	95	5	3.0
(Gelucire 50/13)	90	10	7.4
(Gelucire 50/13)	90 ^a	10	2.9
Liquid paraffin	90	10	4.8
Cornstarch	99	1	3.5

	95	5	1.6
	90	10	0.16
Copolymer (Pluronic F68)	95	5	3.0
PEG 400	90	10	3.5
PEG 4000	90	10	3.3
PEG 6000	90	10	4.2
Polyvinylpyrrolidone (crospovidone)	90	10	4.0
Sodium starch glycolate (Explotab®)	99	1	1.8
	95	5	0.3
Stearic acid	99	1	4.2
	95	5	7.8
	90	10	>24.0
Stearyl alcohol	99	1	10.0
	95	5	14.0
	90	10	>24.0

^a Indicates S(+)-ibuprofen.

IFOSFAMIDE CAPSULES

Bill of Materials			
Scale (mg/capsule)	Item	Material Name	Qty/1000 Caps (g)
250.00	1	Ifosfamide	250.00
83.50	2	Microcrystalline cellulose, Avicel PH105	83.50
1.50	3	Colloidal silicon dioxide	1.50
0.50	4	Magnesium stearate	0.50

MANUFACTURING DIRECTIONS

- Pass items 1 to 3 through a 0.8 mm sieve into a blender.
- Blend for 4 minutes.
- Add item 4, which has been sieved through a 0.8 mm sieve to step 2; mix for another 1 minute.
- Fill 340 mg into size 1 capsules. For a 500 mg capsule, fill 680 mg into size 00 capsules.
- To impart enteric resistance to capsules, coat using a coating suspension. For example, to coat 2500 size 1 capsules containing 250 mg ifosfamide, use 3 kg of suspension containing 1440 g anionic polymerizate of methacrylic acid and methacrylic acid esters with a mean molecular weight of, for example, 150000, to which a conventional softener has been added, 18 g of 1, 2-propandiol, 36 g of magnesium stearate, and 1506 g of isopropanol. The copolymerizate of methacrylic acid and methylmethacrylate that may, for example, be considered is Eudragit® L, particularly in the form of a 12.5% solution in isopropanol (Eudragit® L/12.5%). Copolymerizates for this type are soluble in neutral to weak alkaline medium through salt formation with alkalis.

IMATINIB MESYLATE CAPSULES*

The capsules contain imatinib mesylate equivalent to 100 mg of imatinib free base. The inactive ingredients are colloidal silicon dioxide, crospovidone, magnesium stearate, and microcrystalline cellulose. The capsule shell contains gelatin; iron oxide; red (E172); iron oxide, yellow (E172); and titanium dioxide (E171).

INDINAVIR SULFATE CAPSULES*

Capsules are formulated as a sulfate salt and are available for oral administration in strengths of 100, 200, 333, and 400 mg of indinavir (corresponding to 125, 250, 416.3, and 500 mg indinavir sulfate, respectively). Each capsule also contains the inactive ingredients anhydrous lactose and magnesium stearate. The capsule shell has the following inactive ingredients and dyes: gelatin, titanium dioxide, silicon dioxide, and sodium lauryl sulfate.

INDINAVIR SULFATE CAPSULES

Scale (mg/capsule)	Item	Material Name	Qty/1000 Caps (g)
400.00	1	Indinavir sulfate, USE indinavir sulphate	400.00
7.00	2	Sodium lauryl sulphate	7.00
1.50	3	Colloidal silicon dioxide (Aerosil 200)	1.50
6.50	4	Magnesium stearate	6.50
650.00	5	Lactose monohydrate dense	QS to 650.00
1.00	6	Empty hard gelatin capsule, size 00	1000.00

MANUFACTURING DIRECTIONS

- Sift indinavir sulphate, lactose anhydrous, and Aerosil 200 through a specified sieve.
- Load the sifted powder into a blender and blend well.
- Sift magnesium stearate and sodium lauryl sulphate through a specified sifter.
- Mix product of step 3 with product of step 2 and blend well.
- Encapsulate the powder to get the stated amount of indinavir per capsule.

INDOMETHACIN CAPSULES

MANUFACTURING DIRECTIONS

- Granules (per 100 mg), indomethacin 25 (mg), DL-tryptophan 35, hardened oil (hydrogenate soybean oil) 38, ethyl cellulose, total 100 mg.

2. Load a blender with 750 g of indomethacin, 1050 g of DL-tryptophan, and 1140 g of the hardened oil (hydrogenated soybean oil) and conduct mixing for 10 minutes.
3. Thereafter, add 600 g of an ethanol solution of 10% ethyl cellulose (Ethocel 10CPS) and blend for an additional 10 minutes.
4. Granulate the blend in a rotary granulator equipped with a net (1 mm), dry at 45°C in a tray dryer for 6 hours, and classify on a 12 mesh sieve to make granules.
5. Coat 2500 g of the granules prepared in step 4 with 15% (w/w), based on the granules, of 6% hydroxypropyl methyl cellulose phthalate (HP-55) dissolved in a 1:1 mixture of methylene chloride and ethanol.
6. Mix 300 g of the granules prepared in step 4 and 805 g of the enteric granules obtained in example 4 in a polyethylene bag and filled into No. 2 capsules in such a manner that each capsule contained 110.5 mg of the mixed granules.

INDOMETHACIN CAPSULES*

Capsules for oral administration contain either 25 or 50 mg of indomethacin and the following inactive ingredients: colloidal silicon dioxide, FD&C Blue No. 1, FD&C Red No. 3, gelatin, lactose, lecithin, magnesium stearate, and titanium dioxide. Suspension for oral use contains 25 mg/5 mL of indomethacin, alcohol 1%, and sorbic acid 0.1% added as a preservative. The suspension also contains the following inactive ingredients: anti-foam AF emulsion, flavors, purified water, sodium hydroxide or hydrochloric acid to adjust pH, sorbitol solution, and tragacanth.

INDOMETHACIN CAPSULES

Bill of Materials

Scale (mg/ capsule)	Item	Material Name	Qty/1000 Caps (g)
25.00	1	Indomethacin micronized	26.25
1.00	2	Lecithin (liquid)	1.00
—	3	Trichlorotrifluoroethane	17.00
218.25	4	Lactose monohydrate (dense)	218.25
1.50	5	Colloidal silicon dioxide (Aerosil 200)	1.50
2.00	6	Sodium lauryl sulfate	2.00
1.00	7	Magnesium stearate	1.00
1.00	8	Empty hard gelatin capsule, size 3	1000.00

MANUFACTURING DIRECTIONS

1. Precautions
 - A. The processing area must be under controlled room temperature and humidity. The limits are RH: 40% to 50%, temperature: 21°C to 27°C.
 - B. Trichlorotrifluoroethane is a volatile substance when kept in open air. Always keep in covered containers.
 - C. Do not expose the granules for a long time to light, as discoloration will occur.
 - D. Mix item 2 with item 3 in a clean stainless steel container. Firmly cover to avoid any vaporization.
2. Blending
 - A. Mix item 1 and 0.25 g of item 5 in a drum mixer.
 - B. Sift the “mix” through a 1250 µm sieve using a sifter. Collect in a stainless steel drum and transfer to the mixer.
 - C. Add item 2 solution from step 1 to the item 1 powder in mixer while mixing at high speed. When the addition is over, mix the moist mass at highest speed for 5 minutes.
 - D. Scrape the sides of the mixer and mix at highest speed for 5 minutes.
 - E. Scrape the sides of the mixer again, and then mix at highest speed for 10 minutes.
3. Drying
 - A. Spread the moist mass thinly on stainless steel trays. Break up any big lumps.
 - B. Dry the mass in an oven using only cold air (without temperature) for 6 hours.
4. Sifting
 - A. Sift 168.25 g of item 4 through 630 mm sieve using a sifter. Collect in a stainless steel drum. Keep aside.
5. Mixing
 - A. Mix 50.0 g of item 4, the indomethacin–lecithin mixture (dried) and 1.25 g of item 5 in a drum mixer, for 10 minutes.
 - B. Sift the mixture twice through 630 mm stainless steel sieve using a sifter.
 - C. Use item 4 (approximately 2–4 g) to prevent the clogging of the sifter sieve, if required.
 - D. Load sieved item 4 from step 4 into the blender.
 - E. Add lactose–indomethacin–Aerosil mixture from step 5B to the blender. Mix for 10 minutes.
6. Lubrication
 - A. Sift items 6 and 7 through a 630 mm sieve using a sifter.
 - B. Add to the powder in blender. Mix for 2 minutes.
 - C. Unload the granules in stainless steel drums.
7. Loading of empty shells
 - A. Load the empty capsule shells (size 3) in the hopper.
 - B. Run the machine and check the locking of shells.
8. Filling of powder
 - A. Calculation: A fill weight of one capsule = 250 mg.

INDOMETHACIN CAPSULES (25 MG)**Bill of Materials**

Scale (mg/ capsule)	Item	Material Name	Qty/1000 Caps (g)
25.00	1	Indomethacin	25.00
0.50	2	Lecithin Swiss	0.50
1.25	3	Colloidal silicon dioxide	1.25
1.67	4	Magnesium stearate	1.67
200.00	5	Lactose	200.00
—	6	Chloroform	QS

MANUFACTURING DIRECTIONS

- Mix indomethacin with about one-half of the quantity of lactose and micronize.
- Dissolve lecithin in chloroform and wet this solution with the remaining half of the lactose.
- Dry the chloroform mixture in a drying oven at 4°C for 4 hours.
- Pass the dried granulate through a Fitz mill sieve No. 24228 at a low speed; add the mixture of indomethacin and lactose from step 1; add colloidal silicon dioxide and magnesium stearate and mix for 15 minutes.
- Fill into size 3 capsules as 200 mg \pm 5%. For 50 mg capsules, fill into capsules as 325 mg \pm 5%.

INDOMETHACIN CAPSULES (50 MG)**Bill of Materials**

Scale (mg/ capsule)	Item	Material Name	Qty/1000 Caps (g)
50.00	1	Indomethacin	50.00
1.00	2	Lecithin Swiss	1.00
3.00	3	Colloidal silicon dioxide	3.00
4.00	4	Magnesium stearate	4.00
325.00	5	Lactose	325.00
—	6	Chloroform	QS

MANUFACTURING DIRECTIONS

- Mix indomethacin with about one-half of the quantity of lactose and micronize.
- Dissolve lecithin in chloroform and wet this solution with the remaining half of the lactose.
- Dry the chloroform mixture in a drying oven at 4°C for 4 hours.
- Pass the dried granulate through a Fitz mill sieve No. 24228 at a low speed; add the mixture of indomethacin and lactose from step 1; add colloidal silicon dioxide and magnesium stearate and mix for 15 minutes.

- Fill into size 3 capsules as 200 mg \pm 5%. For 50 mg capsules, fill into capsules as 325 mg \pm 5%.

INDOMETHACIN POWDER FOR HARD GELATIN CAPSULES (160 MG)**Formulation**

Indomethacin, 160 g; Kollidon CL, 320 g; Aerosil 200, QS.

MANUFACTURING DIRECTIONS

- Mix the components for approximately 10 minutes and fill into hard gelatin capsules to obtain 160 mg indomethacin in each capsule.

INDOMETHACIN MICROENCAPSULATED SUSTAINED-RELEASE CAPSULES**Bill of Materials**

Scale (mg/ capsule)	Item	Material Name	Qty/1000 Caps (g)
45.00	1	Indomethacin	45.00
45.00	2	Sodium alginate	45.00
4.50 mL	3	Zinc chloride solution 2%	45 L
QS	4	Hydrochloric acid	QS
0.22	5	Glycerin	22.5 mL
—	6	Water purified	2.25

MANUFACTURING DIRECTIONS

- Add a mixture consisting of item 1 previously triturated in 22.5 mL glycerin with rapid stirring to an aqueous solution consisting of 45.00 g (w/v) of sodium alginate in 2.25 L of purified water.
- Add this solution to 4.5 L of a 2% (w/v) zinc chloride solution, which has previously been adjusted to pH 3 by the addition of HCl, while the rapid stirring is continued for 10 minutes.
- Allow the preparation to stand at room temperature for 4 hours, after which collect the drug-entrapped zinc alginate precipitate by filtration, wash 3 times with distilled water and dry under vacuum for 24 hours.
- After drying, granulate the residue using minimal amounts of glycerin/water and process into 0.5 mm diameter microspheres by mechanical extrusion and spheronization (Nica Extruder; Aeromatic Ltd., Bubendorf, Switzerland), into which feed the slightly flexible mass represented by the above residue. This produces a continuous flow of cylindrical extrudate that is 0.5 mm in diameter.
- This extrudate falls onto the spinning plate of a Nica Spheronizer (Aeromatic Ltd.), where it is broken into

cylinders of approximately 1:1 length:diameter ratio. Interaction between the spinning disc and the wall of the spheronizer then causes the cylinders to be worked into spheres of 0.5 mm in diameter.

6. Fill the spheres into gelatin capsules (100 mg of spheres per size 1 capsule, which represents a total dose of 45.0 mg indomethacin). The capsules of the spheres thus produced represent a sustained-release dosage form for analgesic-antipyretic activity with less propensity for gastrointestinal side effects than the conventional tablet form of indomethacin. Upon ingestion the spheres begin to release the incorporate drug almost immediately, but begin to erode in 3 to 5 hours. Total erosion time is approximately 8 hours.

INDOMETHACIN SUSTAINED-RELEASE CAPSULES

Bill of Materials

Scale (mg/ capsule)	Item	Material Name	Qty/1000 Caps (g)
75.00	1	Indomethacin	75.00
110.20	2	Sucrose	110.20
39.75	3	Cornstarch	39.75
36.20	4	Lactose	36.20
10.95	5	Polyvinylpyrrolidone	10.95
19.65	6	Talc	19.65
5.15	7	Magnesium stearate	5.15
1.10	8	Eudragit L	1.10
2.00	9	Eudragit S	2.00
—	10	Ethyl alcohol	98.55
—	11	Acetone	27.90

MANUFACTURING DIRECTIONS

1. Pellets
 - A. Weigh and mix in a stainless steel mixer suitable quantities of sucrose and cornstarch in the proportion of 3: 1 w/w. Sift through a screen of suitable size to break up possible lumps.
 - B. Transfer the mixture to a stainless steel coating pan and adjust rotary speed between 20 and 30 rpm so as to obtain good tumbling action.
 - C. By means of a suitable spray gun, spray over the powder a quantity of water equal to 15% w/w in very minute drops.
 - D. Place the wet pellets over a thermostatic tray dryer and dry at 37°C to complete evaporation of water.
 - E. Pass the dried pellets through sieves of suitable screens to ensure removal of dust and selection of cores of desirable size.
2. Active pellets
 - A. Dissolve polyvinylpyrrolidone in ethyl alcohol and add indomethacin previously mixed with lactose (No. 3) to it.

- B. Transfer 149.95 kg of neutral pellets obtained from step 1E to a stainless steel coating pan and adjust the rotary speed between 20 and 30 rpm so as to obtain good tumbling action.
 - C. Spray over the neutral pellets the result of step 2A.
 - D. Keep the pan rotating to allow partial evaporation of the solvent.
 - E. Complete evaporation of the solvent by drying the pellets in a thermostat at 35°C for 3 days.
3. Film-coated pellets
 - A. Dissolve Eudragit L and Eudragit S in acetone.
 - B. Transfer the active pellets obtained from step 2E to a stainless steel coating pan and adjust the rotary speed to obtain a good tumbling action.
 - C. Spray the pellets as uniformly as possible with the solution obtained from step 2E.
 - D. Spray the wet pellets with talc and magnesium stearate to prevent agglutination.
 - E. Keep the pan rotating to achieve solidification of the film coating and partial evaporation of the solvent.
 - F. Complete evaporation of the solvent by drying the pellets in a thermostat for 35°C for 3 days.
 4. Blending of pellets
 - A. Transfer the film-coated pellets obtained from step 3F to a stainless steel pan and add a suitable quantity of neutral pellets obtained from step 1E so as to obtain the required dosage.
 - B. Add 0.5% w/w of talc to eliminate electrostatic charges and mix for 30 to 35 minutes.
 5. Filling
 - A. Fill the blended pellets obtained from step 4B into capsules (size 2) at the dose of 300 mg each.

INSULIN CAPSULES

Bill of Materials

Scale (mg/ capsule)	Item	Material Name	Qty/1000 Caps (g)
140.00 IU	1	Insulin ^a	140000 IU
0.047	2	Dimyristyl phosphatidyl choline	0.047
3.39	3	Aprotinin ^b	3.39
3.76	4	Hydroxypropyl cellulose-LF	3.76
3.76	5	Polyoxy-40 stearate Myrj-52	3.76
139.80	6	Polyethylene glycol 400	139.80
15.57	7	Propylene glycol	15.57
8.75	8	Water-citrate buffer for pH adjustment	8.75
31.20	9	Cholesterol	31.20
17.56	10	Tween 80	17.56

63.10	11	Egg yolk lecithin	63.10
27.90	12	Glyceryl amino oleate	27.90
19.60	13	D-alpha-tocopherol	19.60
249.10	14	Oleic acid	249.10

^a Insulin: 26 IU = 1 mg.

^b Aprotinin: 7500 KIU = 1 mg.

Insulin is a biologically active proteinaceous material. Insulin is a polypeptide consisting of 65 amino acids with an approximate molecular weight of 6000. In its preparations, there can be no use of heat or alcohol that can denature it.

MANUFACTURING DIRECTIONS

1. The overall method is as follows: Slowly disperse the surfactant Myrj-52 into the mixture of polyethylene glycol 400 and propylene glycol. Once it dissolves, add hydroxypropyl cellulose as a stabilizer and disperse slowly into the preceding mixture. Make a separate solution of the proteinaceous material along with the phospholipid and the protease inhibitor in a portion of the preceding solvent mixture. Add the solution to the PEG/PG mixture at room temperature. Limit the amount of any water to 5% of the polyol solvent. When using the water solution, use citrate buffer to maintain the pH at a point where the protein is most stable. In this particular example, if insulin is used, it is suggested that the pH be maintained with a citrate buffer at or around 2.5. Separately, mix together the ingredients of the lipid solvent. Under gentle and constant stirring, disperse the polyol solution with the lipid solution.
2. Slowly disperse the surfactant (polyoxy-40 stearate) into a mixture of polyethylene glycol and propylene glycol.
3. Once it is dissolved, add small amounts of hydroxypropyl cellulose and disperse into the same mixture.
4. Dissolve insulin in water and citric acid in water to maintain the pH at 2.5.
5. Add the water solution is added to the polyethylene glycol mixture. In a separate vessel, dissolve all the ingredients of the oil phase in oleic acid.
6. Slowly add cholesterol to achieve faster dissolution.
7. Once both the phases are ready, slowly add the polyol solution to lipid phase while mixing at low speed. The vessel should be preferably ice jacketed because heat may be produced. Once the addition is achieved, a transparent yellowish-brown solution is obtained.
8. Fill the preemulsion solution into a size 0 hard gelatin capsule and seal the capsule with a band of gelatin solution. The banding helps to coat the capsule uniformly.
9. Coat the capsule coated with a 10% hydroxypropyl methylcellulose solution as an undercoat. The amount of coat required is sufficient just enough to

cover the capsule uniformly with a thin layer of the polymer coat. Usually, 3.5% to 4.5% weight gain of the capsule is a good indication of the amount required as an undercoat.

10. Once the capsule is coated with an undercoat, apply an enteric coating. For enteric coating purposes, different polymers such as hydroxypropyl methylcellulose, hydroxypropyl methylcellulose phthalate, and cellulose acetate phthalate are used.
11. Anionic copolymers that are based on methacrylic acid and methyl methacrylate, commercially available as Eudragit, are also suitable polymers for enteric coating purposes. Dissolve the polymer in organic solvents such as ethyl alcohol, methyl alcohol, acetone, and isopropyl alcohol. A combination of two solvents can also be used. The amount of enteric coating solution required is 5% to 6% weight gain of the capsules from the original weight of the capsules before applying the enteric coat. A typical enteric coating solution is made as follows:
 - Methacrylic acid and methyl methacrylate copolymer 10% w/w
 - Diethyl butyl phthalate (plasticizer) 2% w/w
 - Acetone 22% w/w
 - Isopropanol 66% w/w
12. Mix acetone and isopropanol. Add the polymer slowly with constant mixing. Once the polymer is dissolved, add the plasticizer slowly and let it dissolve.
13. For a size 0 capsule, the previously mentioned enteric coating solution can be sprayed using fluidizing bed techniques. The fluid bed sprayer/dryer is operated with the following parameters: Flow rate: 1.5 mL/min, inlet air temperature: 25°C, outlet air temperature: 25°C, air flap: 35, atomizer: 2 bar.
14. A size 0 capsule after the enteric coating will typically have the following composition: Preemulsion solution: 0.589 g, undercoat polymer: 0.027 g, enteric coat polymer: 0.032 g, 0.648 g.

IRON-POLYSACCHARIDE COMPLEX CAPSULES*

Each bead-filled capsule contains 150 mg elemental iron as polysaccharide-iron complex, as cell-contracted akaganeite. Each capsule also contains the following inactive ingredients: D&C Red No. 7, D&C Red No. 28, D&C Yellow No. 10, FD&C Blue No. 1, FD&C Red No. 40, FD&C Yellow No. 6, gelatin, hydrogenated castor oil, polysorbate 80 pharmaceutical glaze, povidone, sodium lauryl sulfate, starch, sucrose, and titanium dioxide. Each capsule may contain silicon dioxide.

ISOMETHEPTENE MUCATE, DICHLORALPHENAZONE, AND ACETAMINOPHEN CAPSULES*

Each red capsule with a pink band contains isometheptene mucate (65 mg), dichloralphenazone (100 mg), and

acetaminophen (325 mg). Capsules contain FD&C Yellow No. 6 as a color additive.

ISOSORBIDE MONONITRATE CAPSULES (20 MG)

Bill of Materials			
Scale (mg/capsule)	Item	Material Name	Qty/1000 Caps (g)
20.00	1	Isosorbide-5-mononitrate	20.00
60.00	2	Lactose	60.00
60.00	3	Sucrose and cornstarch microgranules	60.00
5.85	4	Shellac	5.85
1.20	5	Eudragit L 100	1.20
1.20	6	Eudragit RS 100	1.20
11.75	7	Talc	11.75
—	8	Alcohol	QS
—	9	Acetone	QD

MANUFACTURING DIRECTIONS

- Place neutral microgranules of item 3 in a coating pan.
- Prepare a 40% solution of shellac in alcohol together with item 1.
- Maintain the temperature of microgranules at 25°C ± 5°C. Apply step 2 and dry granules and repeat the process until the entire drug has been incorporated.
- Sieve granules using a 1 mm aperture and dry at 20°C to 30°C for 8 hours.
- Prepare a 12.5% solution of equal parts of items 5 and 6 in acetone. Spray the microgranules from step 4 and incorporate.
- Sieve the microgranules using a 1 mm aperture sieve.
- Dry microgranules at 20°C to 30°C for 8 hours.
- Spray the microgranules with a balance of alcoholic shellac solution, adding talc simultaneously.
- Adjust fill weight of granules based on assay.

ISRADIPINE CAPSULES*

The inactive ingredients are colloidal silicon dioxide, D&C Red No. 7 Calcium Lake, FD&C Red No. 40 (5 mg capsule only), FD&C Yellow No. 6 Aluminum Lake, gelatin, lactose, starch (corn), titanium dioxide, and other optional ingredients. The 2.5 and 5 mg capsules may also contain benzyl alcohol, butylparaben, edetate calcium disodium, methylparaben, propylparaben, and sodium propionate.

ITRACONAZOLE CAPSULES*

The capsules contain 100 mg of itraconazole coated on sugar spheres. The inactive ingredients are gelatin, hydroxypropyl methylcellulose, polyethylene glycol (PEG) 20000, starch,

sucrose, titanium dioxide, FD&C Blue No. 1, FD&C Blue No. 2, D&C Red No. 22, and D&C Red No. 28.

ITRACONAZOLE CAPSULES

Bill of Materials			
Scale (mg/capsule)	Item	Material Name	Qty/1000 Caps (g)
100.00	1	Itraconazole (used as pellets)	100.00
—	2	Empty hard gelatin capsule, size 0	1000
280.00	3	Sugar spheres	280.00
32.00	4	Hydroxypropyl cellulose	32.00
2.00	5	Polyethylene glycol 6000	2.00
30.00	6	Cornstarch	30.00
6.00	7	Titanium dioxide	6.00

MANUFACTURING DIRECTIONS

- Check the assay of pellets to calculate the exact amount needed. Calculate the dose per capsule to fill.
- Load items 1 and 3 to 7 in a suitable blender; mix for 10 minutes.
- Set the capsule-filling machine with empty shells.
- Fill the pellets as per assay.
- Polish the capsules.

KETOPROFEN AND MISOPROSTOL CAPSULES

Bill of Materials			
Scale (mg/capsule)	Item	Material Name	Qty/1000 Caps (g)
100.00	1	Ketoprofen delayed-release beads (40% Ketoprofen)	250.00
0.20	2	Misoprostol (dilute 1: 100 on HPMC)	20.00
160.00	3	Lactose anhydrous	160.00
4.00	4	Hydrogenated vegetable oil	4.00

MANUFACTURING DIRECTIONS

- Prepare item 1 beads by spray coating a suspension or solution of ketoprofen onto a nonpareil sugar core, together with a binder (e.g., polyvinyl pyrrolidone or hydroxypropyl methylcellulose). Coat the beads with a delayed release coating (e.g., methyl methacrylate, for instance, Eudragit). Mixtures of beads with various levels of coating can be used to give the required therapeutic release pattern.
- In a fluidized bed apparatus, coat uniform spherical inert sugar cores with a first layer consisting of the

compounds, an inert water-soluble polymer, such as hydroxypropyl methylcellulose or hydroxypropyl cellulose, and talc. The second layer consists of an inert water-soluble polymer, such as hydroxypropyl methylcellulose or hydroxypropyl cellulose, talc, and a pigment such as titanium dioxide. The third and enteric coating layer consists of an enteric coating polymer such as copolymerized methacrylic acid/methacrylic acid methyl esters, a plasticizer such as triethylacetate or similar plasticizers, and talc. Apply the layers by conventional fluidized bed coating techniques using aqueous solutions or dispersions. Pseudo-zero release is obtained by the use of a mixture of beads.

- The beads in item 1 contain 40% ketoprofen, giving a dose per capsule of 100 mg. Fill the mix of items 1 to 4 into suitable hard gelatin capsules.

KETOPROFEN CAPSULES*

Capsules contain 25 mg, 50 mg, or 75 mg of ketoprofen for oral administration. The inactive ingredients present are D&C Yellow No. 10, FD&C Blue No. 1, FD&C Yellow No. 6, gelatin, lactose, magnesium stearate, and titanium dioxide. The 25 mg dosage strength also contains D&C Red No. 28 and FD&C Red No. 40. Each 100 mg, 150 mg, or 200 mg capsule contains ketoprofen in the form of hundreds of coated pellets. The dissolution of the pellets is pH-dependent, with optimum dissolution occurring at pH 6.5 to 7.5. There is no dissolution at a pH of 1. In addition to the active ingredient, each 100 mg, 150 mg, or 200 mg capsule of Oruvail contains the following inactive ingredients: D&C Red No. 22, D&C Red No. 28, FD&C Blue No. 1, ethyl cellulose, gelatin, shellac, silicon dioxide, sodium lauryl sulfate, starch, sucrose, talc, titanium dioxide, and other optional ingredients. The 100 mg and 150 mg capsules also contain D&C Yellow No. 10 and FD&C Green No. 3.

LANSOPRAZOLE CAPSULES

Bill of Materials

Scale (mg/ capsule)	Item	Material Name	Qty/1000 Caps (g)
30.00	1	Lansoprazole	30.00
93.50	2	Neutral pellets	93.50
22.86	3	Magnesium carbonate	22.86
66.00	4	Sucrose	66.00
37.14	5	Cornstarch	37.14
46.34	6	Hydroxypropyl cellulose	46.34
79.68	7	Eudragit L	79.68
13.68	8	Talc	13.86
4.36	9	Titanium dioxide	4.36
4.36	10	Polyethylene glycol 6000	4.36
1.80	11	Polysorbate 80	1.80
—	12	Water purified	QS

MANUFACTURING DIRECTIONS

- Mix items 1 and 3 to 5 and half of item 6 in a suitable mixer and confirm homogeneity of mixture.
- In a separate mixer, add and dissolve balance of item 6 and dissolve.
- In rotary fluid bed dryer, mix item 2 and incorporate product of step 2 into it.
- Prepare a suspension with item 9 in item 12 and items 8, 10, and 11, and keep agitating until dissolved or well dispersed.
- Add item 7 and mix until well suspended.
- Start spraying it onto the pellets from step 3 after passing the suspension before a fine mill.
- Fill 370 mg into capsules.

LANSOPRAZOLE DELAYED-RELEASE CAPSULES*

Each delayed-release capsule contains enteric-coated granules consisting of lansoprazole (30 mg), hydroxypropyl cellulose, low substituted hydroxypropyl cellulose, colloidal silicon dioxide, magnesium carbonate, methacrylic acid copolymer, starch, talc, sugar sphere, sucrose, polyethylene glycol, poly-sorbate 80, and titanium dioxide. Components of the gelatin capsule include gelatin, titanium dioxide, D&C Red No. 28, FD&C Blue No. 1, and FD&C Red No. 40.

LINCOMYCIN CAPSULES

Bill of Materials

Scale (mg/ capsule)	Item	Material Name	Qty/1000 Caps (g)
500.00	1	Lincomycin, USE lincomycin hydrochloride	560.00
7.00	2	Lactose	7.00
2.00	3	Aerosil 200	2.00
2.00	4	Magnesium stearate	2.00
12.00	5	Sodium starch glycolate	12.00

MANUFACTURING DIRECTIONS

- Mix all items after passing through a 60 mesh screen in a low humidity room (NMT 40%).
- Mix for 30 minutes.
- Fill 590 mg into size 0 capsules.

LINEZOLID ORAL SUSPENSION

The oral suspension is supplied as an orange-flavored granule/powder for constitution into a suspension for oral administration. Following constitution, each 5 mL contains 100 mg of linezolid. The inactive ingredients are sucrose, citric acid, sodium citrate, microcrystalline cellulose and carboxymethylcellulose sodium, aspartame, xanthan gum, mannitol, sodium benzoate, colloidal silicon dioxide, sodium chloride, and flavors. The sodium (Na+) content is 8.52 mg/5 mL (0.4 mEq/5 mL).

LIPASE, AMYLASE, AND PROTEASE CAPSULES

The pancrelipase capsules are orally administered and contain enteric-coated mini-tablets of porcine pancreatic enzyme concentrate, predominantly pancreatic lipase, amylase, and protease. Each capsule contains lipase (12000 USP units), amylase (39000 USP units), and protease (39000 USP units). Other combinations are 18000/58500/58500 or 20000/65000/65000. The capsules contain an amount of pancrelipase equivalent to but NMT 125% of the labeled lipase activity expressed in USP units. The inactive ingredients are hydrogenated castor oil, silicon dioxide, sodium carboxymethylcellulose, magnesium stearate, microcrystalline cellulose, methacrylic acid copolymer (type C), talc, simethicone, triethyl citrate, iron oxides, and titanium oxide.

LITHIUM CARBONATE CAPSULES

Each capsule for oral administration contains lithium carbonate (150 mg, 300 mg, or 600 mg). The capsules contain talc, gelatin, FD&C Red No. 40, titanium dioxide. The imprinting ink contains FD&C Blue No. 2, FD&C Yellow No. 6, FD&C Red No. 40, synthetic black iron oxide, and pharmaceutical glaze.

LOPERAMIDE AND TRIMEBUTINE CAPSULES

Bill of Materials			
Scale (mg/ capsule)	Item	Material Name	Qty/1000 Capsules (g)
2.00	1	Loperamide	2.00
200.00	2	Trimebutine	200.00
122.47	3	Cornstarch	122.47
30.00	4	Talc	30.00
60.00	5	Lactose monohydrate	60.00

MANUFACTURING DIRECTIONS

- Mix and fill into No. 2 size capsule.

LOPINAVIR-RITONAVIR CAPSULES*

This is a coformulation of lopinavir and ritonavir. Capsules are available for oral administration in a strength of 133.3 mg lopinavir and 33.3 mg ritonavir with the following inactive ingredients: FD&C Yellow No. 6, gelatin, glycerin, oleic acid, polyoxyl 35 castor oil, propylene glycol, sorbitol special, titanium dioxide, and water.

LORACARBEF CAPSULES AND ORAL SUSPENSION*

Each Pulvule contains loracarbef equivalent to 200 mg (0.57 mmol) or 400 mg (1.14 mmol) anhydrous loracarbef activity. They also contain cornstarch, dimethicone, FD&C Blue No. 2, gelatin, iron oxides, magnesium stearate, titanium dioxide,

and other inactive optional ingredients. After reconstitution, each 5 mL of Lorabid for oral suspension contains loracarbef equivalent to 100 mg (0.286 mmol) or 200 mg (0.57 mmol) anhydrous loracarbef activity. The suspensions also contain cellulose, FD&C Red No. 40, flavors, methylparaben, propylparaben, simethicone emulsion, sodium carboxymethylcellulose, sucrose, and xanthan gum.

LOXAPINE SUCCINATE CAPSULES

Each capsule for oral administration contains loxapine succinate 6.8 mg, 13.6 mg, 34.0 mg, or 68.1 mg equivalent to 5 mg, 10 mg, 25 mg, or 50 mg of loxapine base, respectively. It also contains the following inactive ingredients: gelatin, silicon dioxide, sodium lauryl sulfate, anhydrous lactose, D&C Yellow No. 10, FD&C Blue No. 1, polacrillin potassium, magnesium stearate, talc, and titanium dioxide. Additionally, the 5 mg capsule contains D&C Red No. 33, the 10 mg capsule contains D&C Red No. 28 and D&C Red No. 33, and the 25 mg capsule contains FD&C Yellow No. 6.

MAGALDRATE INSTANT POWDER OR DRY SYRUP

Bill of Materials			
Scale (mg/ sachet)	Item	Material Name	Qty/1000 Sachets (g)
800.00	1	Magaldrate, USP	800.00
640.00	2	Kollidon CL-M	640.00
200.00	3	Sorbitol (crystalline)	200.00
40.00	4	Orange flavor	40.00
40.00	5	Kollidon 90 F	40.00
4.00	6	Coconut flavor	4.00
4.00	7	Banana flavor	4.00
0.80	8	Saccharine sodium	0.80
QS	9	Water	~280.00 mL

MANUFACTURING DIRECTIONS

- Granulate mixture of items 1 to 4 with solution of items 5 to 9 and pass through a 0.8 mm sieve to obtain free-flowing granules.
- Fill 2 g into sachets or 20 g into a 100 mL flask.
- Instant granules in sachets: Suspend 2 g (=one sachet) in a glass of water (=800 mg magaldrate).

MAGALDRATE INSTANT POWDER OR DRY SYRUP

Bill of Materials			
Scale (mg/ sachet)	item	Material Name	Qty/1000 Sachets (g)
800.00	1	Magaldrate	800.00
640.00	2	Kollidon CL-M	640.00

200.00	3	Sorbitol, crystalline	200.00
40.00	4	Orange flavor	40.00
40.00	5	Kollidon 90 F	40.00
4.00	6	Coconut flavor	4.00
4.00	7	Banana flavor	4.00
0.80	8	Saccharin sodium	0.80
QS	9	Water	~280 mL

MANUFACTURING DIRECTIONS

1. Granulate a mixture of items 1 to 4 with solution of items 5 to 9 and pass through a 0.8 mm sieve to obtain free-flowing granules.
2. Fill 2 g into sachets or 20 g into a 100 mL flask. For instant granules in sachets, suspend 2 g (=1 sachet) in a glass of water (=800 mg magaldrate).

MAGNESIUM OXIDE CAPSULES

Each capsule contains magnesium oxide (140 mg USP [heavy]) or 84.5 mg of elemental magnesium (6.93 mEq).

MEFENAMIC ACID CAPSULES

Mefenamic acid is a member of the fenamate group of nonsteroidal anti-inflammatory drugs (NSAIDs). Each blue-banded ivory capsule contains 250 mg of mefenamic acid for oral administration. Each capsule also contains lactose. The capsule shell and band contain citric acid, D&C Yellow No. 10, FD&C Blue No. 1, FD&C Red No. 3, FD&C Yellow No. 6, gelatin, glycerol monooleate, silicon dioxide, sodium benzoate, sodium lauryl sulfate, and titanium dioxide.

MESALAMINE CAPSULES*

Each capsule contains 250 mg of mesalamine. It also contains the following inactive ingredients: acetylated monoglyceride, castor oil, colloidal silicon dioxide, ethylcellulose, hydroxypropyl methylcellulose, starch, stearic acid, sugar, talc, and white wax. The capsule shell contains D&C Yellow No. 10, FD&C Blue No. 1, FD&C Green No. 3, gelatin, titanium dioxide, and other optional ingredients.

MESALAMINE COLONIC DELIVERY CAPSULES

Bill of Materials

Scale (mg/capsule)	Item	Material Name	Qty/1000 Caps (g)
250.00	1	Mesalamine (5-ASA)	250.00
45.00	2	Lactose	45.00
5.20	3	Polyvinylpyrrolidone	5.20
10.80	4	Sodium starch glycolate	10.80
3.60	5	Magnesium stearate	3.60
36.80	6	Talc	36.80

18.40	7	Eudragit S100	18.40
43.20	8	Eudragit NE 30D	43.20
0.40	9	Antifoam emulsion SE 2	0.40

MANUFACTURING DIRECTIONS

1. Add items 1 and 2 to a blending vessel; mix well.
2. Add item 4 and blend.
3. Prepare an aqueous solution of item 3 and granulate step 2.
4. Dry and compress; reduce size by passing through a 0.5 to 1.2 mm sieve.
5. Load the granules from step 4 into a fluid bed coater and then spray-coat with an aqueous suspension to provide a 20% or 25% dry weight gain based on an uncoated granule weight of a mixture of Eudragit S100 and Eudragit NE 30D (Rohm Pharma GmbH, Darmstadt, Germany) in the ratio of 3:7. Eudragit S100 is a copolymer of methacrylic acid and methylmethacrylate in the ratio of 1:2 in powder form and Eudragit NE 30D is a 30% aqueous dispersion of a copolymer of ethylacrylate and methylmethacrylate in the ratio 2:1.
6. Pack coated granules into size 00 hard gelatin capsules with 400 mg granules per capsule.
7. Spray-coat the capsules with a coating solution of the following formula:
 Eudragit L powder 3 g
 Diethyl phthalate 0.75 mL
 Silicone fluid 200 cs 0.75 mL
 Acetone 100 mL

METHSUXIMIDE CAPSULES*

Each capsule contains 150 or 300 mg methsuximide, as well as starch. The capsule contains colloidal silicon dioxide, D&C Yellow No. 10, FD&C Yellow No. 6, gelatin, and sodium lauryl sulfate.

METHYLPHENIDATE CAPSULES

It contains 20 mg of methylphenidate hydrochloride for oral administration. The extended-release capsules comprise both immediate-release (IR) and extended-release (ER) beads such that 30% of the dose (6 mg) is provided by the IR component and 70% of the dose (14 mg) is provided by the ER component. It also contains the following inert ingredients: sugar spheres, povidone, hydroxypropyl methylcellulose and polyethylene glycol, ethylcellulose aqueous dispersion, dibutyl sebacate, gelatin, titanium dioxide, and FD&C Blue No. 2.

METHYLPHENIDATE CAPSULES

MANUFACTURING DIRECTIONS

1. Methylphenidate HCl (200 g) is slowly added to an aqueous solution (approximately 15% solids) of polyvinylpyrrolidone (10 g povidone K-30) and mixed well.

- About 25 to 30 mesh screened sugar spheres (770 g) were coated with the drug solution in a fluid bed granulator. The drug-containing pellets are dried and a seal coat of Opadry Clear® (20 g) is first applied to produce instant-release or IR beads.
- ER beads are produced by taking IR beads and coating with the dissolution rate controlling polymer. A plasticized ethylcellulose coating is applied to the methylphenidate particles (893 g) by spraying Aquacoat ECD-30® (233 g) and dibutyl sebacate (16.8 g).
- An outer seal coating formulation (20 g) of Opadry® is sprayed onto the coated active particles. The coated particles are cured at 60°C for 12 hours so that polymer particles coalesce to form a smooth membrane on ER beads. The IR and ER beads are then filled into hard gelatin capsules with dual bead-filling hoppers.

METHYLPHENIDATE IMMEDIATE- AND EXTENDED-RELEASE CAPSULES

Bill of Materials

Scale (mg/capsule)	Item	Material Name	Qty/1000 Caps (g)
25.00	1	Methylphenidate	25.00
1.25	2	Polyvinylpyrrolidone K-30	1.25
96.25	3	Sugar spheres 25–30 mesh	96.25
2.25	4	Opacity clear	2.25
29.12	5	Aquacoat ECD-30	29.12
2.10	6	Dibutyl sebacate	2.10
2.25	7	Opadry clear	2.25
—	8	Alcohol	QS

MANUFACTURING DIRECTIONS

- This product consists of two types of beads: IR and ER. The ER beads are formed by further coating of IR beads.
- IR beads are produced by preparing a 15% solution of item 2 in item 8 and adding item 1 to it slowly.
- Load item 3 in a fluid bed granulator and load drug solution in step 2 onto sugar pellets. Dry and apply seal coat of item 4. This completes the process of preparing IR beads.
- Take an appropriate quantity (893 g) of beads in step 3 and apply a coating of item 6 in item 8.
- Apply item 7 seal coat (as 15% aqueous solution), and cure at 60°C for 12 hours for polymer particles to coalesce into a uniform film.
- Fill into gelatin capsules using a 20: 80, 30: 70, or 40: 60 mixture of IR to ER beads. Use equipment that is capable of filling beads simultaneously.

METHYLTESTOSTERONE CAPSULES*

Each capsule contains 10 mg of methyltestosterone. Each capsule, for oral administration, contains 10 mg of methyltestosterone. In addition, each capsule contains the following inactive ingredients: cornstarch, gelatin, FD&C Blue No. 1, FD&C Red No. 40. Each capsule also contains the following inactive ingredients: cornstarch, gelatin, FD&C Blue No. 1, and FD&C Red No. 40.

METOCLOPRAMIDE HYDROCHLORIDE SUSTAINED-RELEASE CAPSULES

Bill of Materials

Scale (mg/capsule)	Item	Material Name	Qty/1000 Caps (g)
20.00	1	Metoclopramide, USE metoclopramide hydrochloride	21.00
183.90	2	Sucrose and cornstarch microgranules, size 20	183.90
0.12	3	Disodium edetate	0.12
0.19	4	Stearic acid	0.19
1.30	5	Methacrylic acid copolymer Eudragit L100	1.30
0.42	6	Cornstarch	0.42
9.07	7	Shellac, bleached wax-free	9.07
15.00	8	Talc	15.00
1.00	9	Gelatin capsules, size 3	1000.00
—	10	Alcohol	QS
—	11	Water purified	11.00

MANUFACTURING DIRECTIONS

- Place the neutral microgranules (item 2) in an appropriate coating pan and rotate the pan.
- In a separate vessel, prepare an alcoholic solution of item 5. Spray in step 1.
- Prepare alcohol solution of item 4 in alcohol and spray into step 2.
- Prepare aqueous solution of item 3 and spray into step 3.
- Mix item 1 with item 6 and add to step 4 alternating with an alcoholic solution of Eudragit until the entire drug has been incorporated.
- Sieve the microgranules.
- Apply aqueous solution of item 3 followed by an alcohol solution of Eudragit L and microgranules dried.
- Apply alcoholic solution of shellac alternating with talc until all shellac solution is used.
- Lubricate and fill into capsules; sieve and dry microgranules.

METYROSINE CAPSULES*

This is supplied as capsules for oral administration. Each capsule contains 250 mg of metyrosine. The inactive ingredients are colloidal silicon dioxide, gelatin, hydroxypropyl cellulose, magnesium stearate, and titanium dioxide. The capsules may also contain any combination of D&C Red No. 33, D&C Yellow No. 10, FD&C Blue No. 1, and FD&C Blue No. 2.

MICONAZOLE NITRATE FOOT AND ITCH POWDER

Spray powder for athlete's foot contains miconazole nitrate 2%. It also contains alcohol SD-40 (10% w/w), isobutane, starch/acrylates/acrylamide copolymer, stearalkonium hectorite, and talc. Spray powder for jock itch contains miconazole nitrate 2%. It also contains alcohol SD-40 (10% w/w), isobutane, stearalkonium hectorite, and talc. Spray deodorant powder contains miconazole nitrate 2%. It also contains isobutane, alcohol SD-40 (10% w/w), talc, starch/acrylates/acrylamide copolymer, stearalkonium hectorite, and fragrance. Powder contains miconazole nitrate 2%. It also contains benzethonium chloride, cornstarch, kaolin, sodium bicarbonate, starch/acrylates/acrylamide copolymer, and zinc oxide.

MIDODRINE CAPSULES

1. Prepare the midodrine controlled-release product by manufacturing one type of pellet, and afterward coat with different types of film coatings. The capsule ends up with three different types of pellets (non-coated pellet, CR-coated pellet, and EC-pellet).
2. Prepare the pellet by the use of an extrusion/spheronization technique.
3. Microcrystalline 2135.0 g, cellulose lactose monohydrate 1207.5 g, carmellose sodium 70.0 g, midodrine hydrochloride 87.50 g, purified water qs to 2000.
4. Mix and wet the above ingredients in a Fielder high shear mixer in which the water is applied by a nozzle.
5. Extrude the wetted mass in a Nica E 140 extruder with a screen size of 600 micron (those pellets which is being used for noncoated pellets and for CR-coating) or 800 micron (those pellets used for EC-coating). The extrudate is spheronized in a laboratory unit for 5 minutes. Dry the pellets in a laboratory scala fluid bed for approximately 75 minutes at 50°C.
6. Screen the dried pellets used for noncoated pellets and for CR-coating through a screen of 700 micron and fractionate the dried pellets used for EC coating with a lower screen of 500 micron and an upper screen of 1000 micron.
7. Do not coat one batch of these pellets because it is for use as an immediate-release unit. The pellets are a part of the content in the capsule.
8. Coat one batch of these pellets with an inner coat and an outer coat in a fluid bed (GPCG3) with a 0.8 mm spray nozzle and a spray pressure of 2.5 bar.

9. Inner coat (batch size 2000 g), hypromellose (viscosity 13.1 5 cps), purified water 1094.0 g, magnesium stearate 2.7 g, talc 26.2 g, polyacrylate dispersion 864.0 g, 30% Eudragit g, talc 40.0 g. In the coating process, apply the following amount of inner and outer coat. The amount of dry matter applied calculated in percentage of the core weight also appears from below. Inner coat: 1788.1 g per 3000.0 g pellets (dry matter: 9% of the core weight). Outer coat: 375.0 g per 3000.0 g pellets (dry matter: 1% of the core weight). Throughout the coating process, maintain the bed temperature substantially in the interval from 20°C to 25°C by adjustment of the liquid flow rate or the inlet temperature. Keep the inlet air temperature at approximately 32°C. After the application of the coatings, cure the coated pellets at a bed temperature of approximately 70°C for 30 minutes. Then screen the pellets through a screen 1 mm. Oversized material is discarded.
10. Coat one batch of these pellets with an EC-coat in a fluid bed (Wurster technique) with a 0.8 mm spray nozzle and a spray pressure of 2.5 bar.

Ingredients	Amount (g/batch size)
Isopropyl alcohol	3852.0
Talc	100.0
Acetyltributyl citrate	99.2
Methacrylic acid/Methyl methacrylate	3948.8
Copolymer	1: 2
Eudragit S	12.5

In the coating process, apply the following amount of the coat. The amount of dry matter applied calculated in percentage of the core weight also appears below. 15517.2 g per 3000 g pellets (dry matter: 45% of the core weight). Throughout the coating process, maintain the bed temperature substantially in the interval from 30°C to 38°C by adjustment of the liquid flow rate or the inlet temperature. Keep the inlet air temperature at approximately 49°C. After the application of the coating, screen the pellets through a screen 1.3 mm. Discard any oversized material.

11. Fill the three different pellets (steps 1, 2, and 3) into capsules: Unit amount (mg) per capsule capsule approx. 76.3 pellets step 1 approx. 50.4 corresponding to 1.25 mg midodrine hydrochloride pellets step 2 approx. 110.6 corresponding to 2.5 mg midodrine hydrochloride pellets step 3 approx. 72.7 corresponding to 0.25 mg midodrine hydrochloride. Total weight of capsule approx. 310 corresponding to 5.0 mg midodrine hydrochloride.

MINERAL POWDER FOR TOPICAL HERPES SIMPLEX

Bill of Materials

Scale (mg/g)	Item	Material Name	Qty/kg (g)
14.00	1	Calcium carbonate	14.00
14.00	2	Sodium carbonate	14.00
14.00	3	Sodium dihydrogen phosphate anhydrous	14.00
80.00	4	Calcium hypochlorite	80.00
818.00	5	Cornstarch	818.00

MANUFACTURING DIRECTIONS

- Mix all ingredients after passing through an 80 mesh screen.
- Pack in bottles.

MINOCYCLINE HYDROCHLORIDE CAPSULES*

Each minocycline hydrochloride capsule for oral administration contains the equivalent of 50 mg, 75 mg, or 100 mg of minocycline. In addition, each capsule contains the following inactive ingredients: magnesium stearate and starch (corn). The 50 mg, 75 mg, and 100 mg capsule shells contain gelatin, silicon dioxide, sodium lauryl sulfate, and titanium dioxide. The 75 and 100 mg capsule shells also contain black iron oxide.

MIXED AMPHETAMINE SALT CAPSULES*

This is a once-daily, extended-release single-entity amphetamine product. It combines the neutral sulfate salts of dextroamphetamine and amphetamine, with the dextro isomer of amphetamine saccharate and *d, l*-amphetamine aspartate monohydrate. The capsule contains two types of drug-containing beads designed to give a double-pulsed delivery of amphetamines, which prolongs the release of amphetamine compared to the conventional immediate-release tablet formulation. Each capsule contains equal quantities of four salts of amphetamine to give a total of 10 mg, 20 mg, or 30 mg of content (total amphetamine base equivalence of 6.3 mg, 12.5 mg, and 18.8 mg): dextroamphetamine saccharate, amphetamine aspartate monohydrate, dextroamphetamine sulfate, amphetamine sulfate. The inactive ingredients in the capsules include gelatin capsules, hydroxypropyl methylcellulose, methacrylic acid copolymer, Opadry beige, sugar spheres, talc, and triethyl citrate. The gelatin capsules contain edible inks, kosher gelatin, and titanium dioxide. The 10 mg capsules also contain FD&C Blue No. 2. The 20 and 30 mg capsules also contain red iron oxide and yellow iron oxide.

MIXED AMPHETAMINE SALTS ENTERIC-RELEASE CAPSULES

Bill of Materials

Item	Material Name	Qty/kg (g)
Immediate-release beads		
1	Amphetamine mixed salts ^a	88.00
2	Nonpareil seeds (30/35 mesh, Paulaur)	6.80
3	Hydroxypropyl methylcellulose E5 premium	0.60
4	Water purified	QS
Enteric-release pellets		
5	Immediate-release beads (see items 1–4)	40.00
6	Eudragit L30-D-55	24.88
7	Triethyl citrate	2.52
8	Talc	2.60
9	Water purified	QS

^a Mixed salts include amphetamine sulfate, amphetamine aspartate, and dextroamphetamine sulfate.

MANUFACTURING DIRECTIONS

- Load item 2 in a fluid-bed processor and fluidize at 60°C.
- Prepare a suspension of item 3 (prepare a 1% solution) and item 1 using item 4; ensure it is free of agglomerates and contains no fines with a yield of at least 98%.
- Apply binder solution to step 1 and load the drug.
- Load item 5 in a fluid bed processor.
- Prepare the coating dispersion using items 6 to 8 in item 9 and mix for at least 30 minutes.
- Spray the coating solution in step 5 onto step 1 until a target level of 20 µm is achieved.
- Dry pellets at 30°C to 35°C for 5 minutes before stopping the processor.
- Fill to contain in each capsule base equivalent 10 mg, 20 mg, and 30 mg (Adderall XR®).

MORPHINE SULFATE CAPSULES*

Each capsule for oral administration contains morphine sulfate 15 or 30 mg. The inactive ingredients are FD&C Blue No. 1, FD&C Blue No. 2, FD&C Red No. 40, FD&C Yellow No. 6, gelatin, hydroxypropyl methylcellulose, lactose, polyethylene glycol, polysorbate 80, polyvinylpyrrolidone, starch, sucrose, titanium dioxide, and other optional ingredients. In addition, the 30 mg capsule contains black iron oxide and D&C Red No. 28.

MORPHINE SULFATE CONTROLLED-RELEASE CAPSULES

Bill of Materials

Scale (mg/capsule)	Item	Material Name	Qty/1000 Caps (g)
40.00	1	Morphine hydrochloride	40.00
40.00	2	Lactose	40.00
20.00	3	Microcrystalline cellulose	20.00
QS	4	Water purified	QS
3.50–5.30	5	Ethyl cellulose	3.50–5.30
2.20–3.40	6	Hydroxypropyl methylcellulose	2.20–3.40
0.60–1.0	7	Triethyl citrate	0.60–1.00
QS	8	Ethanol	QS
QS	9	Methyl isobutyl ketone	QS

MANUFACTURING DIRECTIONS

- Mixing and granulating: Dry mix morphine hydrochloride (40% w/w), lactose (40% w/w), and microcrystalline cellulose (Avicel PH-101) (20% w/w) total 1500 g in a planetary-type mixer (Kenwood Major®) at a low mixing speed (speed adjustment <1) for 10 minutes. Add water (585 g) and granulate the mass for 5 minutes at speed adjustment 2.
- Extrusion: Perform extrusion in a Nica™ E-140 Extruder (Lejus Medical AB, Sweden) through a perforated screen with drilled orifices of 1 mm in diameter. Set the speed of the agitator and the feeder on the lowest values.
- Spheronization: Conduct spheronization in a mamerizer (Ferro Mecano AB, Sweden). Adjust the speed of the Marumerizer™ plate to 450 rpm. The number of spheronization rounds is 5 with about 400 g of wet extrudates on the plates at each run.
- Drying: Perform drying in a fluid bed dryer (Aeromatic AG®, West Germany) at an IN temperature of 50°C. Divide the batch into sub-batches of 600 to 700 g wet particulate cores. Dry each sub-batch for 5 minutes at the air velocity adjustment 20 to obtain individual cores rather than aggregates. Then mix the sub-batches and dry the whole batch at adjustment 12 for 65 minutes. The end OUT temperature is 36°C. The yield of dry cores after drying will be 1437 g and 96% w/w.
- Sieving: Perform sieving using analytical sieves with sieve sizes of 0.71 mm and 1.40 mm, respectively. The yield of dry cores after sieving is 1337 g and 89% w/w. The yields are 96% and 89% w/w after drying and sieving, respectively.
- A sieving analysis before and after abrasion of the cores shows that about 93% of the cores have a size between 0.71 and 1.0 mm. A crushing strength analysis shows that the mean crushing strength of 1

mm particles is 4.71 N. A hardness value at this level makes it possible to coat the particles in small as well as in large equipment.

- Coat morphine hydrochloride cores manufactured as above with controlled-release membranes. Use hydroxypropyl methylcellulose (HPMC) (E5) and ethyl cellulose (EC) (10 cps) as film formers together with triethyl citrate (TEC) as a plasticizer. The coating solution contains 99.5% ethanol and methyl isobutyl ketone (MIBK).
- Perform the coating using a spray coating equipment (Nica™ FB-coater, Sweden). Use a Binks & Bullows spray gun with a J92R liquid nozzle and a J930 air nozzle. Place a net device in the top of the fluidized bed to avoid loss of cores to the cyclone output. Mount the spray gun on a height over the bottom of the bed for 185 minutes. Pump an ethanol/MIBK mixture through the system before the start of the coating and there is consequently liquid present between the pump housing and the spray gun. Load the morphine hydrochloride cores prepared above. Preheat the cores at 55°C with an air velocity of 20 to 25 m³/h for 4 minutes. At the start of the coating, the bed temperature is 32°C to 36°C. Start the coating using the following process parameters: Atomizing pressure 500 kPa, air velocity 85 m³/h, and a solution flow of about 24 mL/min. The registered IN temperature varies between 53°C to 56°C, and the OUT temperature varies between 34°C and 38°C during the coating.
- Sieve the coated spheres through a 1.4 mm sieve and collect spheres with a size less than 1.4 mm.
- Fill the collected spheres into a hard gelatin capsule (white) with a normal weight of 0.17 g (net 108 mg). The mean content of active component in the capsule is between 36 and 44 mg.

MORPHINE SULFATE SUSTAINED-RELEASE CAPSULES*

Each sustained-release capsule contains either 20 mg, 30 mg, 50 mg, 60 mg, or 100 mg of morphine sulfate and the following inactive ingredients that are common to all strengths: hydroxypropyl methylcellulose, ethylcellulose, methacrylic acid copolymer, polyethylene glycol, diethyl phthalate, talc, cornstarch, and sucrose. The 20 mg capsule shell contains gelatin, silicon dioxide, sodium lauryl sulfate, D&C Yellow No. 10, titanium dioxide, and black ink (SW-9009). The 30 mg capsule shell contains gelatin, silicon dioxide, sodium lauryl sulfate, FD&C Red No. 3, FD&C Blue No. 1, titanium dioxide, and black ink (S-1-8114 or S-1-8115).

The 50 mg capsule shell contains gelatin, silicon dioxide, sodium lauryl sulfate, D&C Red No. 28, FD&C Red No. 40, FD&C Blue No. 1, titanium dioxide, and black ink (SW-9009). The 60 mg capsule shell contains gelatin, silicon dioxide, sodium lauryl sulfate, D&C Red No. 28, FD&C Red No. 40,

FD&C Blue No. 1, titanium dioxide, and black ink (S-1-8114 or S-1-8115). The 100 mg capsule shell contains gelatin, silicon dioxide, sodium lauryl sulfate, D&C Yellow No. 10, FD&C Blue No. 1, titanium dioxide, and black ink (SW-9009).

MULTIVITAMIN EFFERVESCENT GRANULES

Bill of Materials			
Scale (mg/ Sachet)	Item	Material Name	Qty/1000 Tabs (g)
2.600	1	Thiamin hydrochloride (BASF)	0.26
3.000	2	Riboflavin (BASF)	0.30
11.000	3	Nicotinamide	1.10
2.500	4	Pyridoxine hydrochloride (BASF)	0.25
15.000	5	Calcium D-pantothenate (BASF)	1.50
200.000	6	Ascorbic acid powder (BASF)	20.00
500.000	7	Citric acid	50.00
1300.000	8	Sucrose	130.00
800.000	9	Fructose	80.00
200.000	10	Kollidon CL-M	20.00
250.000	11	Flavors	25.00
20.000	12	Cyclamate sodium	2.00
1.000	13	Saccharine sodium	0.10
150.000	14	Kollidon VA 64	15.00
350.000	15	Isopropanol	35.00
15.000	16	Vitamin A acetate dry powder 325000 IU/g CWD (BASF)	1.50
8.000	17	Vitamin D ₃ dry powder 100000 IU/g CWD (BASF)	0.80
21.000	18	Vitamin E acetate dry powder 50%	2.10
0.066	19	Cyanocobalamin gelatin coated 0.1% (BASF)	0.66
400.000	20	Sodium bicarbonate	40.00

MANUFACTURING DIRECTIONS

1. Granulate mixture of items 1 to 13 with solution of items 14 and 15; pass through a 0.8 mm sieve, dry well, and mix with items 16 to 20.
2. Fill 4 g into sachets.

MULTIVITAMIN EFFERVESCENT GRANULES

Bill of Materials			
Scale (mg/ Sachet)	Item	Material Name	Qty/1000 Sachet (g)
2.60	1	Thiamin hydrochloride	0.26
3.00	2	Riboflavin	0.30

11.00	3	Nicotinamide	1.10
2.50	4	Pyridoxine hydrochloride	0.25
15.00	5	Calcium D-pantothenate	1.50
200.00	6	Ascorbic acid (powder)	20.00
500.00	7	Citric acid	50.00
1300.00	8	Sucrose	130.00
800.00	9	Fructose	80.00
200.00	10	Kollidon CL-M	20.00
250.00	11	Flavors	25.00
20.00	12	Cyclamate sodium	2.00
1.00	13	Saccharine sodium	0.10
150.00	14	Kollidon VA 64	15.00
350.00	15	Isopropanol	35.00
5000 IU	16	Vitamin A acetate (dry powder; 325000 IU/g CWD)	1.50
800 IU	17	Vitamin D ₃ (dry powder; 100000 IU/g CWD)	0.80
21.00	18	Vitamin E acetate (dry powder; 50%)	2.10
0.0660	19	Cyanocobalamin (gelatin-coated; 0.1%)	0.66
400.00	20	Sodium bicarbonate	40.00

MANUFACTURING DIRECTIONS

1. Granulate mixture of items 1 to 13 with a solution of items 14 and 15.
2. Pass through a 0.8 mm sieve, dry well, and mix with items 16 to 20.
3. Fill 4 g into sachets.

MULTIVITAMIN INSTANT GRANULES

Bill of Materials			
Scale (mg/6 g Sachet)	Item	Material Name	Qty/30 kg (g)
40.00	1	Vitamin A+D dry powder + 50000 IU/g CWD (BASF)	200.00
5.00	2	Thiamine mononitrate (BASF)	26.00
6.00	3	Riboflavin (BASF)	33.00
22.00	4	Nicotinamide	110.00
4.50	5	Pyridoxine hydrochloride (BASF)	22.00
30.00	6	Calcium D-pantothenate (BASF)	150.00
0.013	7	Cyanocobalamin, USE cyanocobalamin 0.1% gelatin coated (BASF)	66.00
230.00	8	Ascorbic acid powder (BASF)	1150.00
42.00	9	Vitamin E acetate dry powder	210.00
4000.00	10	Sucrose, finely ground	20000.00

1000.00	11	Kollidon CL-M	5000.00
200.00	12	Orange flavor	1000.00
400.00	13	Kollidon VA 64	2000.00
—	14	Ethanol or isopropanol	~7 L

MANUFACTURING DIRECTIONS

1. Pass mixture through a 0.8 mm sieve and granulate with solution of items 13 and 14 in the fluidized bed.
2. Fill the granules into sachets. If the technology of a fluidized bed is not available, the dry powders of vitamin A, E, and B₁₂ should be added after the granulation of the other components.
3. Suspend 6 to 12 g (=1 sachet) in a glass of water corresponding to 2 to 4 RDA of vitamins. Double-strength sachet filled at 12 g.

MULTIVITAMIN INSTANT GRANULES

Bill of Materials			
Scale (mg/ sachet)	Item	Material Name	Qty/30 kg (g)
40.00	1	Vitamin A and vitamin D (dry powder + 50000 IU/g CWD)	200.00
5.00	2	Thiamine mononitrate	26.00
6.00	3	Riboflavin	33.00
22.00	4	Nicotinamide	110.00
4.50	5	Pyridoxine hydrochloride	22.00
30.00	6	Calcium D-pantothenate	150.00
0.013	7	Cyanocobalamin; use cyanocobalamin (gelatin-coated, 0.1%)	66.00
230	8	Ascorbic acid powder	1150.00
—	9	Vitamin E acetate dry powder	210.00
4000	10	Sucrose (finely ground)	20000.00
1000	11	Kollidon CL-M	5000.00
200	12	Orange flavor	1000.00
400	13	Kollidon VA 64	2000.00
—	14	Ethanol or isopropanol	~7.00 L

MANUFACTURING DIRECTIONS

1. Pass mixture through a 0.8 mm sieve and granulate with solution of items 13 and 14 in the fluidized bed.
2. Fill 6 to 12 g of the granules into sachets.
3. If the technology of a fluidized bed is not available, the dry powders of vitamins A, E, and B₁₂ should be added after granulation of the other components.
4. Suspend 6 to 12 g (=1 sachet) in a glass of water; corresponds to 2 to 4 RDA of vitamins.

MYCOPHENOLATE MOFETIL CAPSULES AND ORAL SUSPENSION*

The inactive ingredients in 250 mg capsules include croscarmellose sodium, magnesium stearate, povidone (K-90), and pregelatinized starch. The capsule shells contain black iron oxide, FD&C Blue No. 2, gelatin, red iron oxide, silicon dioxide, sodium lauryl sulfate, titanium dioxide, and yellow iron oxide. The inactive ingredients in Cell-Cept oral suspension include aspartame, citric acid anhydrous, colloidal silicon dioxide, methylparaben, mixed fruit flavor, sodium citrate dihydrate, sorbitol, soybean lecithin, and xanthan gum.

NANOPARTICLE POLYMER PARTICLE POWDERS

1. Preparation of polymer nanoparticles of ketorolac: To 900 mg N-isopropyl acrylamide (NIPAAAM), add 100 mL freshly distilled vinyl pyrrolidone (VP) and 50 mL freshly distilled acrylic acid (AA) in 100 mL of water, and 300 mL methylene bis acrylamide (MBA; MBA = 0.049 g/mL) in order to cross-link the polymer chain. Remove the dissolved oxygen by passing nitrogen gas for 30 minutes; then add 50 mL of 0.5% w/v ferrous ammonium sulphate (FAS) and 50 mL saturated ammonium persulfate (APS) solutions to initiate the polymerization reaction. Do the polymerization at 30°C for 24 hours in a nitrogen atmosphere. Dialyze total aqueous solution of polymer overnight using a spectrapore membrane dialysis bag (12 kD cutoff). Freeze the dialyzed aqueous solution of polymeric micelles in liquid nitrogen and lyophilize immediately to obtain dry powder for subsequent use. The yield of micelle nanoparticles is more than 80%. The lyophilized powder is easily redispersible in aqueous buffer; 100 mg of lyophilized powder of polymeric micelles is dispersed in 10 mL of water and is stirred well to disperse the micelles. Dissolve the free acid form of ketorolac in absolute ethanol (ketorolac = 50 mg/mL) and add the alcoholic solution in polymeric micelles slowly with constant stirring. Directly load ketorolac loaded into the hydrophobic core of micelles. Then lyophilize the drug-loaded polymeric micelles to get dry powder for subsequent use.
2. Preparation of polymeric nanoparticles containing indomethacin: In 100 mg of the lyophilized powder of the polymeric micelle nanoparticles, add an alcoholic solution of indomethacin (indomethacin = 33 mg/mL) with constant stirring to get a clear solution of polymeric micelles containing the drug of desired concentration dispersed in aqueous buffer. Maximum 10% w/w of the drug can be dissolved in polymeric micelles at room temperature. Then lyophilize the drug-loaded polymeric micelles to get dry powder for subsequent use.
3. Preparation of polymeric micelles containing nimesulide: In 100 mg of dry powder of polymeric

micelles, add an alcoholic solution of nimesulide (nimesulide = 10 mg/mL) with constant stirring to get a clear solution. Maximum 8% w/w of nimesulide could be dissolved in polymeric micelles at room temperature. Then lyophilize the drug-loaded micelles to get dry powder for subsequent use.

NELFINAVIR MESYLATE ORAL POWDER*

Oral powder is available for oral administration in a 50 mg/g strength (as nelfinavir free base) in bottles. The oral powder also contains the following inactive ingredients: microcrystalline cellulose, maltodextrin, dibasic potassium phosphate, crospovidone, hydroxypropyl methylcellulose, aspartame, sucrose palmitate, and natural and artificial flavors.

NELFINAVIR MESYLATE ORAL POWDER

Bill of Materials			
Scale (mg/g)	Item	Material Name	Qty/kg (g)
50.00	1	Nelfinavir mesylate	50.00
50.00	2	Sodium carboxymethylcellulose	50.00
1.25 mL	3	Syrup	1.25 L
0.10 mL	4	Benzoic acid solution	0.10 L
QS	5	Flavor	QS
QS	6	Dye	QS
QS to 5 mL	7	Purified water	5 L

MANUFACTURING DIRECTIONS

1. Pass the active ingredient through a 45 mesh sieve and mix with the sodium carboxymethylcellulose and syrup to form a smooth paste.
2. Dilute the benzoic acid solution, flavor, and color with a portion of the water and added with stirring. Then add sufficient water to produce the required volume.

NILVADIPINE CAPSULES

Bill of Materials			
Scale (mg/capsule)	Item	Material Name	Qty/1000 Caps (g)
14.00	1	Nilvadipine	14.00
166.00	2	Polyethylene glycol 400	166.00
20.00	3	Hydroxypropyl methylcellulose	10.00

MANUFACTURING DIRECTIONS

1. Add and dissolve item 1 in item 2.
2. Add item 3 and fill 200 mg in a size 4 hard gelatin capsule.

NITROFURANTOIN CAPSULES*

Each capsule contains edible black ink, gelatin, lactose, starch, talc, titanium dioxide, and may contain FD&C Yellow No. 6 and D&C Yellow No. 10. Nitrofurantoin is an antibacterial agent specifically for urinary tract infections. Another formulation of nitrofurantoin capsule is a hard gelatin capsule shell containing the equivalent of 100 mg of nitrofurantoin in the form of 25 mg of nitrofurantoin macrocrystals and 75 mg of nitrofurantoin monohydrate. Inactive ingredients: Each capsule contains carbomer 934P, cornstarch, compressible sugar, D&C Yellow No. 10, edible gray ink, FD&C Blue No. 1, FD&C Red No. 40, gelatin, lactose, magnesium stearate, povidone, talc, and titanium dioxide.

NITROFURANTOIN SUSTAINED-RELEASE CAPSULES

Bill of Materials			
Scale (mg/capsule)	Item	Material Name	Qty/1000 Caps (g)
150.00	1	Nitrofurantoin monohydrate (Norwich Eaton Pharmaceuticals, Inc.)	150.00
17.70	2	Carbopol 934P (B. F. Goodrich)	17.70
181.00	3	PVP C-15 (GAF Corporation)	181.00
3.50	4	Talc	3.50
1.80	5	Zinc stearate	1.80

MANUFACTURING DIRECTIONS

1. Combine Carbopol 934P, PVP C-15 (mean molecular weight of approximately 8000), talc, and zinc stearate in a mortar and triturate well.
2. Add the nitrofurantoin monohydrate to this mixture in the mortar and triturate well until a substantially uniform particulate mixture is achieved.
3. Fill the resulting particulate mixture (354 mg) into size 1 hard gelatin capsule shells.

NIZATIDINE CAPSULES*

Each capsule contains pregelatinized starch, dimethicone, starch, titanium dioxide, yellow iron oxide, 150 mg (0.45 mmol) or 300 mg (0.91 mmol) of nizatidine, and other inactive ingredients. The 150 mg capsule also contains magnesium

stearate and the 300 mg capsule also contains croscarmellose sodium, povidone, red iron oxide, and talc.

NIZATIDINE CAPSULES*

Bill of Materials			
Scale (mg/capsule)	Item	Material Name	Qty/1000 Caps (g)
150.00	1	Nizatidine	150.00
33.70	2	Cornstarch	33.70
15.00	3	Pregelatinized starch (starch 1500)	15.00
0.70	4	Magnesium stearate	0.70
0.60	5	Simethicone	0.60
	6	Empty hard gelatin shell, size 2 (bovine origin)	1000.00

MANUFACTURING DIRECTIONS

1. Add and blend items 1 to 3 in a suitable blender and mix for 20 minutes.
2. Add item 4 and blend for 10 minutes
3. Add item 5 and blend for 4 minutes.
4. Fill 200 mg into hard gelatin capsules.

NYSTATIN POWDER*

Nystatin topical powder is for dermatologic use and contains 100,000 USP nystatin units per gram dispersed in talc.

OMEPRAZOLE AND PIROXICAM CAPSULES

Bill of Materials			
Scale (mg/capsule)	Item	Material Name	Qty/1000 Caps (g)
95.70	1	Omeprazole enteric-coated pellets	95.70
122.70	2	Piroxicam enteric-coated pellets	122.70

MANUFACTURING DIRECTIONS

1. This product requires preparation of enteric-coated pellets of omeprazole and piroxicam separately.
2. Prepare the omeprazole pellets by applying drug solution (in HPMC) on nonpareil sugar beads, applying a separating layer consisting of HPMC alone and then applying an enteric coating that comprises methylacrylic acid copolymer 30% suspension with triethyl citrate, mono- and diglycerides, and polysorbate 80 in purified water. Finally, apply an overcoat.
 - Core material (omeprazole)
 - Magnesium omeprazole: 5.00 kg

- Nonpareil cores: 10.00 kg
- Hydroxypropyl methylcellulose: 0.75 kg
- Water purified: 19.65 kg

Separating layer (omeprazole)

- Core material (acc. to above): 14.60 kg
- Hydroxypropyl cellulose: 1.46 kg
- Talc: 2.5 kg
- Magnesium stearate: 0.21 kg
- Water purified: 29.2 kg

Enteric coating layer (omeprazole)

- Pellets with separate layer (acc. to above): 9.00 kg
- Methacrylic acid copolymer (30% suspension): 15.00 kg
- Triethyl citrate: 1.35 kg
- Mono- and diglycerides: 0.22 kg
- Polysorbate 80: 0.02 kg
- Water purified: 8.8 kg

Overcoating layer (omeprazole)

- Enteric coating layered pellets: 9.0 kg
- Hydroxypropyl methylcellulose: 0.18 kg
- Magnesium stearate: 0.005 kg
- Water purified: 3.6 kg

3. Prepare the piroxicam pellets by a similar method except using a hydroalcoholic solution in the first instance, not using a separating layer, and performing enteric coating using HPMC succinate.

Core material (piroxicam)

- Piroxicam micronized: 35 g
- Sugar seeds: 100 g
- Hydroxypropyl methylcellulose: 6 cps, 25 g

Water purified: 250 g

- Ethanol 99% (w/v): 250 g enteric coating layer (piroxicam)
- Piroxicam pellets (acc. to above): 100 g
- Hydroxypropyl methylcellulose acetate-succinate: 14.38 parts

Triethyl citrate: 2.87 parts

- Sodium lauryl sulphate: 0.43 parts

Talc: 4.32 parts

Water purified: 183.3 parts

4. Coat with a suspension of the preceding composition to give a product with a content of 163 mg/g; suspension layering is performed in fluid bed equipment. Spray micronized piroxicam onto inert nonpareil cores from a water suspension containing the dissolved binder.

OMEPRAZOLE CAPSULES

Bill of Materials			
Scale (mg/capsule)	Item	Material Name	Qty/1000 Capsules (g)
20.00	1	Omeprazole	20.00
5.33	2	Hydroxymethylcellulose	5.33

6.00	3	2910 hydroxypropyl cellulose	6.00
8.00	4	Lactose	8.00
0.64	5	Disodium phosphate anhydrous	0.64
0.50	6	Sodium lauryl sulfate Enteric coating layer	0.50
21.00	7	HPMCAS	21.00
6.00	8	Triethyl citrate	6.00
0.66	9	Sodium lauryl sulfate	0.66
11.00	10	Talc	11.00
1.12	11	Sodium hydroxide	1.12

MANUFACTURING DIRECTIONS

1. First, place sugar spheres 20/25 (700–850 microns, 161.63 mg) in a fluid bed coating chamber equipped with a Wurster bottom-spraying device.
2. Prepare a suspension of the ingredients in water so that the concentration is approximately 20% of total solids in water.
3. Spray this active coating suspension onto the sugar spheres. Then spray a suspension of the enteric coating onto the substrate to form the finished pellets. Place the pellets in capsules.

OMEPRAZOLE CAPSULES

Bill of Materials

Scale (mg/capsule)	Item	Material Name	Qty/1000 Caps (g)
40.00	1	Omeprazole	40.00
68.00	2	Sucrose and cornstarch neutral microgranules, size 26	68.00
4.00	3	Sodium starch glycolate (Explotab)	4.00
6.00	4	Sodium lauryl sulfate	6.00
7.12	5	Polyvidone	7.00
5.96	6	Hydroxypropyl methylcellulose	5.96
36.15	7	Eudragit L30D	36.15
3.62	8	Triethyl citrate	3.62
15.40	9	Talc	15.40
—	10	Alcohol	QS

OMEPRAZOLE DELAYED-RELEASE CAPSULES*

Each delayed-release capsule contains either 10 mg, 20 mg, or 40 mg of omeprazole in the form of enteric-coated granules with the following inactive ingredients: cellulose, disodium hydrogen phosphate, hydroxypropyl cellulose, hydroxypropyl methylcellulose, lactose, mannitol, sodium lauryl sulfate, and other ingredients. The capsule shells have the following inactive ingredients: gelatin NF, FD&C

Blue No. 1, FD&C Red No. 40, D&C Red No. 28, titanium dioxide, synthetic black iron oxide, isopropanol, butyl alcohol, FD&C Blue No. 2, D&C Red No. 7 Calcium Lake, and, in addition, the 10 and 40 mg capsule shells also contain D&C Yellow No. 10.

ORAL REHYDRATION SALT (45 MEQ)

Bill of Materials

Scale (mg/g)	Item	Material Name	Qty/kg (g)
811.90	1	Cerelose powder	811.90
66.57	2	Sodium chloride	66.57
31.82	3	Sodium citrate dihydrate	31.82
70.14	4	Potassium citrate monohydrate/food grade	70.14
19.57	5	Povidone (K 29–32)	19.57
—	6	Alcohol	500.00 mL
—	7	Water purified	50.00 mL

MANUFACTURING DIRECTIONS

1. Mill the dextrose through a 1.2 mm aperture screen or similar on a comminuting mill, medium speed, knives forward.
2. Individually mill the sodium chloride, sodium citrate, and potassium citrate through a 1.2 mm aperture screen on a comminuting mill, medium speed, knives forward. *Note:* Do not mix the milled items until ready to add them to the dextrose.
3. Load the powders from steps above into a suitable mass mixer and mix for 10 minutes. Screen the povidone through a 1.2 mm aperture screen and transfer to the mixer. Mix all the powders for 5 minutes.
4. Mix 500 mL of alcohol with 50 mL of water and slowly add to the mixer while mixing. Continue to mix for 5 to 10 minutes. Do not overwet the mass.
5. Granulate the wet mass through a 4.76 mm aperture screen using an oscillating granulator and spread on stainless steel trays.
6. Dry the granules at 45°C for approximately 16 hours or until loss on drying is less than 0.8%.
7. Turn the granules over after 3 to 4 hours drying.
8. Screen dry granules through an 840 µm aperture screen.
9. Transfer the fine powder to a suitable blender.
10. Pass coarse granules through an 840 µm aperture screen using an oscillating granulator and transfer to the blender. Blend for 5 to 10 minutes.
11. Discharge into polyethylene-lined drums.
12. Fill 3.08 g for 100 mL, 7.70 g for 250 mL, and 30.80 g for 1000 mL of reconstituted solution; prorate weights for different volumes.

ORLISTAT CAPSULES*

Orlistat is available for oral administration in dark blue hard gelatin capsules, with light blue imprinting. Each capsule contains 120 mg of the active ingredient orlistat. The capsules also contain the inactive ingredients microcrystalline cellulose, sodium starch glycolate, sodium lauryl sulfate, povidone, and talc. Each capsule shell contains gelatin, titanium dioxide, and FD&C Blue No. 1, with printing of pharmaceutical glaze, titanium dioxide, and FD&C Blue No. 1 Aluminum Lake.

ORLISTAT CAPSULES**Bill of Materials**

Scale (mg/ capsule)	Item	Material Name	Qty/1000 Caps (g)
120.00	1	Orlistat	120.00
93.60	2	Microcrystalline cellulose	93.60
7.20	3	Sodium starch glycolate	7.20
12.00	4	Polyvinylpyrrolidone	12.00
7.20	5	Sodium lauryl sulfate	7.20

MANUFACTURING DIRECTIONS

1. Dissolve polyvinylpyrrolidone and sodium lauryl sulfate in water.
2. Mix orlistat, microcrystalline cellulose, and sodium starch glycolate for 10 minutes and granulate with the solution of step 1.
3. Dry granules at or below 30°C and pass through a 20 mesh screen.
4. Fill granules into a size 1 hard gelatin capsule.

OSELTAMIVIR PHOSPHATE CAPSULES AND ORAL SUSPENSION*

Oseltamivir phosphate is available as a capsule containing 75 mg oseltamivir for oral use, in the form of oseltamivir phosphate, and as a powder for oral suspension, which when constituted with water as directed contains 12 mg/mL oseltamivir. In addition to the active ingredient, each capsule contains pregelatinized starch, talc, povidone K 30, croscarmellose sodium, and sodium stearyl fumarate. The capsule shell contains gelatin, titanium dioxide, yellow iron oxide, black iron oxide, and red iron oxide. Each capsule is printed with blue ink, which includes FD&C Blue No. 2 as the colorant. In addition to the active ingredient, the powder for oral suspension contains xanthan gum, monosodium citrate, sodium benzoate, sorbitol, saccharin sodium, titanium dioxide, and tutti-frutti flavoring.

OXCARBAZEPINE ORAL SUSPENSION*

The oral suspension contains the following inactive ingredients: Ascorbic acid, dispersible cellulose, ethanol, macrogol

stearate, methyl parahydroxybenzoate, propylene glycol, propyl parahydroxybenzoate, purified water, sodium saccharin, sorbic acid, sorbitol, yellow-plum-lemon aroma.

OXYCODONE HYDROCHLORIDE AND ACETAMINOPHEN CAPSULES

Each capsule contains oxycodone hydrochloride USP 5 mg and acetaminophen 500 mg. Inactive ingredients: Docusate sodium, gelatin, magnesium stearate, sodium benzoate, sodium metabisulfite, cornstarch, FD&C Blue No. 1, FD&C Red No. 3, FD&C Red No. 40, and titanium dioxide.

OXYTETRACYCLINE HYDROCHLORIDE CAPSULES**Bill of Materials**

Scale (mg/ capsule)	Item	Material Name	Qty/1000 Caps (g)
250.00	1	Oxytetracycline, USE oxytetracycline HCl BP 80	275.00
30.00	2	Starch (cornstarch dried)	30.00
1.00	3	Colloidal silicon dioxide (Aerosil 200)	1.00
3.00	4	Magnesium stearate	3.00
3.00	5	Talc (fine powder)	3.00
1	6	Empty hard gelatin capsule, size 1	1000.00

MANUFACTURING DIRECTIONS

Note: The processing area must be under controlled room temperature and humidity. The limits are RH 50% to 55%, temperature 22°C to 27°C.

1. Pass item 1 through a 630 µm sieve using a sifter. Collect in a stainless steel drum.
2. Mix items 5, 3, and 2 in a stainless steel drum. Pass through a 250 µm sieve using a sifter. Collect in a stainless steel drum.
3. Add 66.67 g of sieved item 1 (from step 1) to the drum at step 2 and mix for 5 minutes in a drum blender.
4. Pass the mix through a 630 µm stainless steel sieve using a sifter. Collect in a stainless steel drum.
5. Pass item 4 through a 250 µm sieve using a sifter. Collect in a stainless steel drum.
6. Add 8.0 g of sieved item 1 (from step 1) to the drum at step 4 and mix for 5 minutes by rolling.
7. Pass the mix through a 630 µm stainless steel sieve using a sifter. Collect in stainless steel drum.
8. Load the sieved powders to the blender. Mix for 5 minutes.

9. Unload the powder in stainless steel drum.
10. A fill weight of one capsule is 312 mg.

OXYTETRACYCLINE HYDROCHLORIDE, SULFAMETHIZOLE, AND PHENAZOPYRIDINE HYDROCHLORIDE CAPSULES*

Each capsule contains tetracycline hydrochloride equivalent to 250 mg oxytetracycline, sulfamethizole 250 mg, phenazopyridine hydrochloride 50 mg. Inert ingredients in the formulation are hard gelatin capsules (which may contain FD&C Green No. 3, FD&C Yellow No. 6, D&C Yellow No. 10, and other inert ingredients); magnesium stearate, sodium lauryl sulfate, and starch.

PANCRELIPASE CAPSULES

The delayed-release microsphere capsules for delayed release of pancrelipase, which is of porcine pancreatic origin, contain lipase (5000 USP units), protease (18750 USP units), and amylase 16600 (USP units) or pancrelipase (10000 USP units), protease (37500 USP units), and amylase (33200 USP units) or contain lipase (20000 USP units), protease (75000 USP units), and amylase (66400 USP units). Inactive ingredients include dibutyl phthalate, dimethicone, hydroxypropyl methylcellulose phthalate, light mineral oil, and polyethylene glycol. The capsule shells contain gelatin, red iron oxide, titanium dioxide, and yellow iron oxide. The capsule shell contains FD&C Blue No. 2. In addition, the 10,000 unit capsule shell contains black iron oxide and the imprinting ink contains dimethicone, 2-ethoxyethanol, shellac, soya lecithin, and titanium dioxide.

PANCRELIPASE CAPSULES ENTERIC- COATED MICROSPHERES

Pancrelipase capsules are orally administered capsules containing enteric-coated microspheres of porcine pancreatic enzyme concentrate, predominantly pancreatic lipase, amylase, and protease. The inactive ingredients are povidone, talc, sugar, methacrylic acid copolymer (type C), triethyl citrate, and simethicone emulsion.

PENICILLAMINE CAPSULES*

Capsules of penicillamine for oral administration contain either 125 or 250 mg of penicillamine. Each capsule contains the following inactive ingredients: D&C Yellow No. 10, gelatin, lactose, magnesium stearate, and titanium dioxide. The 125 mg capsule also contains iron oxide.

PENTOSAN POLYSULFATE SODIUM CAPSULES

This is supplied in white opaque hard gelatin capsules containing 100 mg of pentosan polysulfate sodium, microcrystalline cellulose, and magnesium stearate. It is formulated for oral use.

PENTOSTATIN CAPSULES

Bill of Materials

Scale (mg/ capsule)	Item	Material Name	Qty/1000 Caps (g)
5.00	1	Pentostatin	5.00
25.00	2	Gelatin	25.00
100.00	3	Lactose	100.00
2.00	4	Red iron oxide	2.00

MANUFACTURING DIRECTIONS

1. Pass items 1 to 3 through an 80 mesh screen and blend.
2. Add item 4 and mix for 10 minutes.
3. Fill 132 mg in a size 1 capsule.

PH-SENSITIVE COATED SPHEROIDS

Uncoated spheroids (60% w/w propranolol hydrochloride)		3.00 kg
Methacrylic acid copolymer type B Eudragit S		0.75 kg
Triacetin		0.112 kg
Isopropyl alcohol		1.64 kg
Methylene chloride		1.99 kg
Water		0.50 kg
Coated Spheroids		
Uncoated spheroids (60% w/w propranolol hydrochloride)		3.00 kg
Hydroxypropyl methylcellulose 2910, 4000 cps, Methocel		0.075 kg
Methylene chloride		4.98 kg
Methanol anhydrous		2.96
Eudragit E 30D aqueous dispersion		1.00 kg
Calcium stearate		0.03 kg
Simethicone emulsion		0.0025 kg
Water purified		0.50 kg

MANUFACTURING DIRECTIONS

1. The finished dosage form consists of a hard gelatin capsule containing a powder blend of propranolol hydrochloride and two types of spheroids. The formulation particulars are based on 160 mg of propranolol hydrochloride per capsule, although they can be designed to provide other dosage strengths.
2. The propranolol hydrochloride powder blend (or first group of spheroids) provides the loading dose (e.g., 25 mg of propranolol HCl). The second and third types of spheroids are categorized as follows.

- a. Blend together propranolol hydrochloride (60 kg) and microcrystalline cellulose (Avicel-PH101; 40 kg) in a 450 L planetary mixer. Add water (50 kg) and run the mixer for 10 minutes until a homogeneous plastic mass is obtained. Extrude the mass under pressure through a perforated cylinder to give cylindrical extrudates of nominally 1 mm in diameter. Place the damp extrudates (in batches of 15–20 kg) in a spheronizer in which the rotating disc (diameter 68 cm) rotates at 300 to 400 rpm. Continue the rotation for 10 minutes and then dry resulting spheroids at 60°C in a fluidized bed dryer. Pass the dried spheroids over a 1.4 mm screen, and subject those which pass through to a 0.7 mm screen. Discard the over- and undersized spheroids.
 - b. Use pH-sensitive coated spheroids to provide a second dose (pH 6.5) (e.g., 65 mg propranolol HCl). Place uncoated spheroids in a fluidized bed coater. Apply the Eudragit S solution using a peristaltic pump. Dry the spheroids.
 - c. Use coated spheroids to provide a third dose (4–10 hours post ingestion; e.g., 70 mg propranolol HCl). Place the uncoated spheroids in a fluidized bed coater. Spray Methocel E4MP® solution using a peristaltic pump. Dry the spheroids.
3. Process for applying overcoat: Spray Eudragit E 30D suspension containing calcium stearate on the Methocel E4MP coated spheroids using a peristaltic pump.
 4. Dry the spheroids
 5. Fill capsules with the powder blend, pH-sensitive coated spheroids, and coated spheroids on an encapsulating machine capable of dual filling powders and spheroids.

PHENOBARBITAL AND HYOSCYAMINE SULFATE CAPSULES

Each capsule contains phenobarbital (16.2 mg) and hyoscyamine sulfate (0.1037 mg). The inactive ingredients include cornstarch, edible ink, D&C Yellow No. 10 and FD&C Green No. 3, or FD&C Blue No. 1 and FD&C Yellow No. 6, FD&C Blue No. 2 Aluminum Lake, gelatin, lactose, sucrose. Capsules may contain FD&C Red No. 40 and Yellow No. 6 Aluminum Lake.

PHENOXYBENZAMINE HYDROCHLORIDE CAPSULES

Each capsule with a red cap and a red body contains phenoxybenzamine hydrochloride (10 mg). Inactive ingredients consist of benzyl alcohol, cetylpyridinium chloride, D&C Red No. 33, FD&C Red No. 3, FD&C Yellow No. 6, gelatin, lactose, sodium lauryl sulfate, and trace amounts of other inactive ingredients.

PHENTERMINE CAPSULES

Each capsule contains 15 or 30 mg of phentermine as the cationic exchange resin complex. Phentermine is alpha, alpha-dimethyl phenethylamine (phenyl-tertiary-butylamine). The inactive ingredients are D&C Yellow No. 10, dibasic calcium phosphate, FD&C Yellow No. 6, gelatin, iron oxides (15 mg capsules only), lactose, magnesium stearate, and titanium dioxide.

PHENTERMINE HYDROCHLORIDE CAPSULES

It is available as a capsule or tablet containing 37.5 mg of phentermine hydrochloride (equivalent to 30 mg of phentermine base). The capsules contain the following inactive ingredients: cornstarch, gelatin, lactose monohydrate, magnesium stearate, titanium dioxide, black iron oxide, FD&C Blue No. 1, FD&C Red No. 40, and D&C Red No. 33.

PHENYTOIN SODIUM EXTENDED-RELEASE CAPSULES*

Each extended phenytoin sodium capsule contains 30 or 100 mg phenytoin sodium. The capsule also contains lactose, confectioner's sugar, talc, and magnesium stearate. The capsule shell and band contain colloidal silicon dioxide, FD&C Red No. 3, gelatin, glyceryl monooleate, and sodium lauryl sulfate. The 30 mg capsule shell and band also contain citric acid, FD&C Blue No. 1, sodium benzoate, and titanium dioxide. The 100 mg capsule shell and band also contain FD&C Yellow No. 6, purified water, and polyethylene glycol 200. Product in vivo performance is characterized by a slow and extended rate of absorption with peak blood concentrations expected in 4 to 12 hours as contrasted with prompt phenytoin sodium capsules with a rapid rate of absorption with peak blood concentration expected in 1½ to 3 hours.

PIROXICAM AND BETA-CYCLODEXTRIN TOPICAL POWDER

Bill of Materials

Scale (mg/g)	Item	Material Name	Qty/kg (g)
100.00	1	Piroxicam	100.00
900.00	2	Beta-cyclodextrin	900.00

MANUFACTURING DIRECTIONS

1. Screen items 1 and 2 through a 60 mesh screen and feed into the grinding chamber of a high-energy vibration mill together.
2. While maintaining the mill at its minimum vibrational frequency, expose the powders for 15 minutes to a flow of steam by opening a connection valve

between the chamber and a steam reservoir (mixing and activation stage).

3. After this operation, continue the true co-grinding stage for 4 hours.
4. On termination, discharge the product, screen through a 60 mesh screen, and homogenize by mixing.

PIROXICAM CAPSULES

Each maroon and blue capsule contains 10 mg of piroxicam; each maroon capsule contains 20 mg of piroxicam for oral administration. The inactive ingredients in Feldene capsules include FD&C Blue No. 1, FD&C Red No. 3, lactose, magnesium stearate, sodium lauryl sulfate, and starch.

PIROXICAM CAPSULES

Bill of Materials			
Scale (mg/ capsule)	Item	Material Name	Qty/1000 Caps (g)
20.00	1	Piroxicam	20.00
233.23	2	Lactose	233.23
48.75	3	Cornstarch	48.75
1.36	4	Magnesium stearate	1.36
0.15	5	Sodium lauryl sulfate	0.15

Note: For 5 and 10 mg strength, adjust with item 2.

MANUFACTURING DIRECTIONS

1. Mix items 1 to 3 in a suitable blender in a low humidity area.
2. Compress to make slugs; reduce slugs by passing through a No. 20 sieve.
3. Add and blend items 4 and 5 and blend for 10 to 15 minutes.
4. Fill 305 mg into hard gelatin capsules.

PIROXICAM CAPSULES

Bill of Materials			
Scale (mg/ capsule)	Item	Material Name	Qty/1000 Caps (g)
50.00	1	Piroxicam	50.00
124.40	2	Lactose anhydrous	124.40
50.00	3	Cornstarch	50.00
12.50	4	Sodium starch glycolate	12.50
2.50	5	Povidone	2.50
7.50	6	Polysorbate 80	7.50
0.625	7	Colloidal silicon dioxide	0.625
6.25	8	Glycine	6.25
1.25	9	Citric acid	1.25
QS	10	Water purified	QS

MANUFACTURING DIRECTIONS

1. An aqueous wet granulation process is whereby item 1, lactose, cornstarch, sodium starch glycolate, colloidal silicon dioxide, and povidone are mixed and subsequently granulated with polysorbate dissolved in purified water.
2. Add additional purified water until granules form and no dry powder remains.
3. Dissolve glycine and citric acid in the additional purified water.
4. Dry the wet granules at 60°C until loss on drying is NMT 2%.
5. Mill the dried granules with the sodium starch glycolate, blend and lubricate with screened magnesium stearate in a twin-shell blender.
6. Fill 250 mg into size 2 capsules.

POLYETHYLENE GLYCOL 3350 POWDER FOR RECONSTITUTION

Each dose consists of 17 g of polyethylene glycol 3350.

POLYTHIAZIDE CAPSULES

Inert ingredients in the formulations are hard gelatin capsules (which may contain FD&C Blue No. 1, FD&C Green No. 3, FD&C Red No. 3, and other inert ingredients), magnesium stearate, sodium lauryl sulfate, starch, and sucrose.

POTASSIUM CHLORIDE EXTENDED- RELEASE CAPSULES

The extended-release capsules contain microencapsulated potassium chloride 600 and 750 mg, respectively, of potassium chloride USP equivalent to 8 and 10 mEq of potassium. Dispersibility of potassium chloride (KCl) is accomplished by microencapsulation and a dispersing agent. The resultant flow characteristics of the KCl microcapsules and the controlled release of K⁺ ions by the microcapsular membrane are intended to avoid the possibility that excessive amounts of KCl can be localized at any point on the mucosa of the gastrointestinal tract. Each crystal of KCl is microencapsulated by a patented process with an insoluble polymeric coating which functions as a semipermeable membrane; it allows for the controlled release of potassium and chloride ions over an 8 to 10 hour period. Fluids pass through the membrane and gradually dissolve the potassium chloride within the microcapsules. The resulting potassium chloride solution slowly diffuses outward through the membrane. The inactive ingredients present are edible ink, ethylcellulose, FD&C Blue No. 2 Aluminum Lake, FD&C Yellow No. 6, gelatin, magnesium stearate, sodium lauryl sulfate, and titanium dioxide. The capsules may contain FD&C Red No. 40 and Yellow No. 6 Aluminum Lake.

POTASSIUM CHLORIDE FOR ORAL SOLUTION

Natural fruit-flavored potassium chloride for oral solution, USP is an oral potassium supplement offered in individual packets as a powder for reconstitution. Each packet of powder contains potassium 20 mEq and chloride 20 mEq provided by potassium chloride 1.5 g. It is an electrolyte replenisher. Inactive ingredients: FD&C Yellow No. 6, maltodextrin (contains corn derivative), malic acid, saccharin, silica gel, and natural flavoring.

POTASSIUM CHLORIDE MICROENCAPSULATED SUSTAINED-RELEASE CAPSULES

Bill of Materials

Scale (mg/capsule)	Item	Material Name	Qty/1000 Caps (g)
600.00	1	Potassium chloride	600.00
900.00	2	Gelatin	900.00
QS	3	Water purified	1.5 L
QS	4	Corn oil	QS
QS	5	Petroleum ether	QS
QS	6	Isopropyl alcohol	QS
QS	7	Glutaraldehyde 1%	QS

MANUFACTURING DIRECTIONS

1. Add item 2 to 1.5 L of item 3 and allow the mixture to stand at 25°C for 1 hour while the gelatin hydrates and swells.
2. To this mixture add item 1 and heat the preparation to 60°C while stirring at 300 rpm for 30 minutes to effect dissolution of the gelatin and to ensure even suspension of the calcium carbonate. Then add additional distilled water previously heated to 60°C to bring the total volume to 100°C while the stirring is continued.
3. Slowly pour this preparation into 12 L of a mixture consisting of 20% by volume of corn oil in petroleum ether, which has previously been heated to 60°C while the petroleum ether solution is stirred at 500 rpm. Then cool this preparation to 5°C with continued stirring and continue stirring at 500 rpm for 1 hour after the lower temperature is reached.
4. Add isopropanol (6 L) while continuing to stir the preparation at 5°C. Then collect the solid microspheres by filtration and wash 3 times with isopropyl alcohol. Immerse the capsules in 1.5 L of a 1% solution of glutaraldehyde in isopropyl alcohol for 8 hours at 5°C, then wash again 3 times with isopropyl alcohol, filter, and vacuum dry for 24 hours.
5. Fill the microspheres, which average between 200 and 300 µm in diameter, into gelatin capsules for administration as a long-acting antacid product (1.5 g of the microsphere mix, which contains 600 mg

of potassium chloride, are filled into each size 00 capsule). This final dosage form delivers a total dose of 600 mg of KCl, but over a sustained time period of 1 to 4 hours and in such a way that the potassium chloride is in the solution state, rather than the more injurious solid state, when it contacts the gastrointestinal mucosa. Total dissolution of the microspheres occurs from 1 to 5 hours after the drug content is depleted.

POTASSIUM CHLORIDE POWDER (20 MEQ)

Bill of Materials

Scale (g/3 g pack)	Item	Material Name	Qty/kg (g)
1.50	1	Potassium chloride powder	500.00
0.40	2	Calcium cyclamate granules	130.00
4.00 mg	3	Dye yellow	1.33
0.16	4	Malic acid	51.67
0.50	5	Hydrolyzed cereal solids	165.00
—	6	Alcohol anhydrous	90.00
—	7	Water purified	10.00
15.00	8	Silicon dioxide colloidal	15.00
0.25	9	Flavor	81.66
0.20	10	Flavor	65.33

MANUFACTURING DIRECTIONS

1. Pass items 1 to 4 and, if necessary, item 5 through a 686 mm mesh using a comminuting mill with impact forward.
2. Load the materials from step 1 and item 5 in a suitable mixer and mix for 20 minutes.
3. Mix items 6 and 7 separately and add to step 2; mix for 5 minutes or until satisfactory mass is obtained.
4. Spread wet granules on paper-lined trays and dry at 40°C to 60°C to NMT 1.5% loss on drying.
5. Sift granules through an 840 µm aperture and grind through a 1.27 mm aperture.
6. Screen the flavors and, if necessary, item 8 through a 20 mesh screen.
7. Load half the granulation in a blender and add step 6 followed by remainder granules and blend for 20 to 30 minutes.
8. Fill into suitable sachet 3 g.

PRAZOSIN AND POLYTHIAZIDE CAPSULES*

Each 1 mg capsule contains drug equivalent to 1 mg free base. Inert ingredients in the formulations are hard gelatin capsules (which may contain FD&C Blue No. 1, FD&C Red No. 3, FD&C Red No. 28, FD&C Red No. 40, and other inert ingredients), magnesium stearate, sodium lauryl sulfate, starch, and sucrose.

PREDNISOLONE TARGETED-RELEASE CAPSULES**Bill of Materials**

Scale (mg/ capsule)	Item	Material Name	Qty/1000 Caps (g)
10.00	1	Prednisolone	10.00
100.00	2	Succinic acid	100.00
30.00	3	Eudragit E100 (5%)	30.00
100.00	4	Hydroxypropyl methylcellulose acetate succinate	100.00
QS	5	Ethanol	QS
QS	6	Purified water	QS
QS	7	Talc	QS

MANUFACTURING DIRECTIONS

1. Add items 1 and 2 to a suitable mixer and blend well. Fill into a size 2 capsule the core capsule.
2. Spray-coat the core capsule with a 5% by weight solution of Eudragit E100 dissolved in ethanol, in a coating amount of 30 mg/capsule (48% by weight, based on the weight of the used empty hard capsule) as Eudragit E100 to obtain a capsule coated with a low pH-soluble polymer film.
3. Further spray-coat the coated capsule with a coating solution prepared by dissolving item 4 in a mixture of ethanol and water [5: 3 (w/w)] to obtain a 5% by weight item 4 solution and adding thereto talc in an amount of 2.5% by weight, based on the total weight of the 5% item 4 solution, in a coating amount of 100 mg/capsule (159% by weight, based on the weight of the used empty hard capsule) as item 4 by means of an appropriate coater.
4. The formulation described above releases in the lower part of the digestive tract.

PROCARBAZINE HYDROCHLORIDE CAPSULES*

Procarbazine hydrochloride, a hydrazine derivative antineoplastic agent, is available as capsules containing the equivalent of 50 mg of procarbazine as the hydrochloride. Each capsule also contains cornstarch, mannitol, and talc. Gelatin capsule shells contain parabens (methyl and propyl), potassium sorbate, titanium dioxide, FD&C Yellow No. 6, and D&C Yellow No. 10.

PROCHLORPERAZINE SUSTAINED-RELEASE CAPSULES

Spansule sustained-release capsules—each Compazine Spansule is so prepared that an initial dose is released promptly and the remaining medication is released gradually over a prolonged period. Inactive ingredients consist of ammonio methacrylate copolymer, D&C Green No. 5, D&C Yellow No. 10, FD&C Blue No. 1, FD&C Blue No. 1 Aluminum Lake, FD&C Red No. 40, FD&C Yellow No. 6,

gelatin, hydroxypropyl methylcellulose, propylene glycol, silicon dioxide, simethicone emulsion, sodium lauryl sulfate, sorbic acid, sugar spheres, talc, triethyl citrate, and trace amounts of other inactive ingredients.

PROPOXYPHENE HYDROCHLORIDE, CAFFEINE, AND ASPIRIN CAPSULES*

Each capsule contains 65 mg (172.9 mmol) of propoxyphene hydrochloride, 389 mg (2159 mmol) of aspirin, and 32.4 mg (166.8 mmol) of caffeine. It also contains FD&C Red No. 3, FD&C Yellow No. 6, gelatin, glutamic acid hydrochloride, iron oxide, kaolin, silicone, titanium dioxide, and other inactive ingredients.

PROPOXYPHENE HYDROCHLORIDE CAPSULES

Each Pulvule contains 65 mg (172.9 mmol) (No. 365) of propoxyphene hydrochloride. It also contains D&C Red No. 33, FD&C Yellow No. 6, gelatin, magnesium stearate, silicone, starch, titanium dioxide, and other inactive ingredients.

PROPRANOLOL HYDROCHLORIDE AND HYDROCHLOROTHIAZIDE CAPSULES

Each capsule contains propranolol (80 mg) and hydrochlorothiazide (50 mg); alternately, the capsule may contain 120/50 or 160/50 mg, respectively. It contains the following inactive ingredients: calcium carbonate, ethylcellulose, gelatin capsules, hydroxypropyl methylcellulose, lactose, magnesium stearate, microcrystalline cellulose, sodium lauryl sulfate, sodium starch glycolate, titanium dioxide, and D&C Yellow No. 10. In addition, 80/50 mg and 120/50 mg capsules contain D&C Red No. 33; 120/50 and 160/50 mg capsules contain FD&C Blue No. 1 and FD&C Red No. 40.

PROPRANOLOL HYDROCHLORIDE LONG-ACTING CAPSULES*

This is available as 60 mg, 80 mg, 120 mg, and 160 mg capsules. The capsules contain the following inactive ingredients: cellulose, ethylcellulose, gelatin capsules, hydroxypropyl methylcellulose, and titanium dioxide. In addition, Inderal LA® 60 mg, 80 mg, and 120 mg capsules contain D&C Red No. 28 and FD&C Blue No. 1; Inderal LA 160 mg capsules contain FD&C Blue No. 1. These capsules comply with USP Drug Release Test 1.

PROPRANOLOL HYDROCHLORIDE MULTIPLE BEAD CAPSULES**Bill of Materials**

Scale (mg/ capsule)	Item	Material Name	Qty/1000 Caps (g)
160.00	1	Propranolol hydrochloride [total] Powder Blend	160.00

30.00	2	Propranolol hydrochloride powder	30.00
54.00	3	Lactose	54.00
15.00	4	Microcrystalline cellulose	15.00
1.00	5	Magnesium stearate	1.00

PROPRANOLOL HYDROCHLORIDE SUSTAINED-RELEASE CAPSULES

Bill of Materials

Scale (mg/ capsule)	Item	Material Name	Qty/1000 Caps (g)
160.00	1	Propranolol hydrochloride	160.00
128.92	2	Sucrose	128.92
42.97	3	Cornstarch	42.97
22.86	4	Shellac	22.86
35.25	5	Talc	35.25
—	6	Ethyl alcohol	91.44
—	7	Water purified	QS

MANUFACTURING DIRECTIONS

- Neutral pellets
 - Weigh and mix in a stainless steel mixer suitable quantities of sucrose and cornstarch in the proportion of 3: 1 w/w. Sift through a screen of suitable size to break up possible lumps.
 - Transfer the mixture to a stainless steel coating pan and adjust rotary speed between 20 and 30 rpm to obtain a good tumbling action.
 - By means of a suitable spray gun, spray over the powder a quantity of water equal to 15% w/w in very minute drops.
 - Place the wet pellets over a thermostatic tray dryer and dry at 37°C for complete evaporation of water.
 - Pass the dried pellets through sieves of suitable screens to ensure removal of dust and selection of cores of desired size.
- Active pellets
 - Dissolve shellac in ethyl alcohol. To 65% of this solution, add propranolol hydrochloride. (Reserve the remaining 35% of the solution for the film coating.)
 - Transfer 171.89 kg of neutral pellets obtained from step I-E to a stainless steel coating pan and adjust the rotation speed between 20 and 30 rpm so as to obtain good tumbling action.
 - Spray over the neutral pellets the result of step II-A.
 - Keep the pan rotating to allow partial evaporation of the solvent.
 - Complete evaporation of the solvent by drying the pellets in a thermostat at 35°C for 3 days.
- Film-coated pellets

- Transfer the active pellets obtained from step II-E to a stainless steel coating pan and adjust the rotatory speed so as to obtain a good tumbling action.
 - Spray the pellets as uniformly as possible with the alcoholic solution of shellac reserved from step II-A.
 - Spread the wet pellets with talc to prevent agglutination.
 - Keep the pan rotating to achieve solidification of the film coating and partial evaporation of the solvent.
 - Complete evaporation of the solvent by drying the pellets in a thermostat at 35°C for 3 days.
- Blending of pellets
 - Transfer the film-coated pellets obtained from step III-E to a stainless steel pan and add a suitable quantity of neutral pellets obtained from step III-E to obtain the required dosage.
 - Add 0.5% w/w talc to eliminate electrostatic charges and mix for 30 to 35 minutes.
 - Assembly
 - Fill the blended pellets obtained from step IV-B into capsules of size 1 at the weight of 390 mg.

PROPRANOLOL TIMED- AND SUSTAINED-RELEASE CAPSULES

Bill of Materials

Scale (mg/ capsule)	Item	Material Name	Qty/1000 Caps (g)
80.00	1	Propranolol	80.00
4.14	2	Polyvinylpyrrolidone K-30	4.14
55.85	3	Nonpareil sugar beads 25–30 mesh	55.85
2.80	4	Opadry clear	2.80
2.33	5	Ethyl cellulose	2.33
0.23	6	Diethyl phthalate	0.23
—	7	Water purified	QS
—	8	Acetone	QS
9.75	9	Ethyl cellulose	9.75
8.57	10	Hydroxypropyl methylcellulose phthalate	8.57
3.10	11	Diethyl phthalate	3.10

MANUFACTURING DIRECTIONS

- Prepare a solution of item 2 in item 7 and add item 1 slowly; mix well. This is the drug solution.
- In a Glatt fluid bed dryer, load item 3 and coat with step 1 slowly and then dry to less than 2% moisture.
- Apply item 4 coating to dried granules from step 2 to obtain 2% weight gain.

- In a separate vessel, prepare a solution of items 5 and 6 in 98 parts of item 8 and 2 parts of item 7. Spray this inner coating onto step 3.
- Prepare an acetone: water solution of items 9 to 11 and coat on step 4.
- Dry and fill into capsules to yield 80, 120, and 160 mg of item 1. This product provides drug loading of 56% w/w based on core composition corresponding to 45.7% drug based on final time and sustained-release beads.

PROTON PUMP INHIBITOR POWDER FOR RECONSTITUTION FOR ORAL USE

Bill of Materials

Scale (mg/capsule)	Item	Material Name	Qty/1000 Capsules (g)
20.00	1	Omeprazole (or another PPI)	20.00
20.00	2	Calcium acetate	175.00
	3	Calcium glycerophosphate	175.00
	4	Sodium bicarbonate	500.00
	5	Calcium hydroxide	50.00
	6	Glycerin	200.00

Note: This formula can be used for most proton pump inhibitor drugs.

MANUFACTURING DIRECTIONS

- Granulate active drug with items 2 to 6.
- Dry sieve.
- Pack in moisture-resistant container.

PROTON PUMP INHIBITOR POWDER FOR RECONSTITUTION FOR ORAL USE

Bill of Materials

Scale (mg/capsule)	Item	Material Name	Qty/1000 Capsules (g)
10.00	1	Lansoprazole or other PPI equipotent	10.00
200.00	2	Calcium lactate	200.00
200.00	3	Calcium glycerophosphate	200.00
400.00	4	Sodium bicarbonate	400.00
12.00	5	Croscarmellose sodium	12.00
3.00	6	Pregelatinized starch	3.00

Note: This formula can be used for most proton pump inhibitor drugs.

MANUFACTURING DIRECTIONS

- Granulate active drug with items 2 to 6.
- Dry sieve.
- Pack in moisture-resistant container.

PSEUDOEPHEDRINE HYDROCHLORIDE CAPSULES

Bill of Materials

Scale (mg/capsule)	Item	Material Name	Qty/1000 Capsules (g)
24.00	1	Pseudoephedrine hydrochloride	24.00
15.00	2	Hydroxyethylcellulose, NF	15.00
60.00	3	Anhydrous lactose	60.00
1.00	4	Magnesium stearate	1.00

MANUFACTURING DIRECTIONS

- Blend all the ingredients in a twin-shell blender for 10 minutes.
- Fill No. 0 capsules with fill weight of 500 mg using a tamping force of 200 N.

PSEUDOEPHEDRINE HYDROCHLORIDE CAPSULES

- Composition by weight: Pseudoephedrine HCl, USP 60 mg, yellow beeswax 10 to 20 mg, partially hydrogenated vegetable oil 15 to 25 mg, lecithin, NF 2 to 8 mg, colloidal silicon dioxide 2 to 8 mg, soybean oil, USP 150 to 250 mg.
- Fill.

PSEUDOEPHEDRINE AND GUAIFENESIN CAPSULES

Each capsule contains pseudoephedrine hydrochloride 120 mg in a specially prepared base to provide prolonged action and guaifenesin 250 mg designed for immediate release to provide rapid action. Alternate dosing is 60 mg and 300 mg, respectively. The capsules also contain the following inactive ingredients: calcium stearate, FD&C Blue No. 1 (for higher strength identification), gelatin, pharmaceutical glaze, starch, sucrose, talc, and titanium dioxide.

PSEUDOEPHEDRINE HYDROCHLORIDE CAPSULES

Bill of Materials

Scale (mg/capsule)	Item	Material Name	Qty/1000 Caps (g)
24.00	1	Pseudoephedrine hydrochloride	24.00
15.00	2	Hydroxyethylcellulose NF	15.00
60.00	3	Lactose anhydrous	60.00
1.00	4	Magnesium stearate	1.00

MANUFACTURING DIRECTIONS

1. Blend all the ingredients in a twin-shell blender for 10 minutes.
2. Fill size 0 capsules with fill weight of 500 mg using tamping force of 200 N.

PSYLLIUM AND DIOCTYL SODIUM SULFOSUCCINATE POWDER**MANUFACTURING DIRECTIONS**

1. Psyllium husk 5.1 g, dioctyl sodium sulfosuccinate 240 mg.
2. Mill the psyllium husk to a small particle size, no more than 4% on a 100 mesh screen and between 25% and 50% through a 200 mesh screen.
3. Then agglomerate these psyllium particles with maltodextrin and spray on citric acid.
4. Dioctyl calcium sulfosuccinate, dioctyl potassium sulfosuccinate, can be substituted for dioctyl sodium sulfosuccinate, or two or three of these can be combined.
5. Methylcellulose, polycarbophil, calcium polycarbophil, bran, malt soup extract, karaya, guar gum, or mixtures of these can be substituted for the psyllium.
6. The amounts of psyllium and/or dioctyl sulfosuccinate can be varied within the ranges specified herein.

PSYLLIUM AND DOCUSATE SODIUM WAFER

Formulation

Ascorbic acid 0.15%, natural and artificial flavors 1.54%, corn oil 14.80%, cornstarch 1.97%, fructose crystalline 6.82%, lecithin oil 0.99%, molasses granular light 0.39%, oat hull fiber 6.42%, psyllium husk 13.32%, sodium bicarbonate 0.20%, sucrose white granulated 17.40%, table oats 8.89%, water purified USP QS, wheat flour 19.21%, docusate sodium 0.63%, sorbitan tristearin 0.20%.

MANUFACTURING DIRECTIONS

1. In an appropriate mixer, add corn oil and lecithin and mix for 1 minute using low speed. *Note:* Preheat (microwave) lecithin, if necessary.
2. Add psyllium, docusate (which has been coated with the sorbitan tristearin) and mix for 1 minute using low speed.
3. Into a separate bowl, add part of the sucrose, fructose, molasses, and half of the water.
4. Mix for 1 minute using low speed.
5. Add psyllium/oil/lecithin premix and oat fiber.
6. Mix for 1 minute. Add the rest of the water, soda, flavors, ascorbic acid, and starch.
7. Mix for 1 minute at low speed.

8. Add flour to the mixer and mix for 1 minute at low speed.
9. Roll dough into sheets approximately 0.1 in thick.
10. Cut dough into rectangles (approximately 2.5 in length \times 1.6 in width).
11. Place bars on baking trays and bake at 375°C for 10 to 12 minutes.
12. Ethylcellulose, polycarbophil, calcium polycarbophil, bran, malt soup extract, karaya, guar gum, or mixtures of these can be substituted for the psyllium. The amounts of psyllium and/or dioctyl sulfosuccinate can be varied within the ranges specified herein. Dioctyl calcium sulfosuccinate, dioctyl potassium sulfosuccinate, can be substituted for dioctyl sodium sulfosuccinate, or two or three of these can be combined.

PSYLLIUM HUSK GRANULES

1. Stir raw, unmilled psyllium seed husk (2 g) with 0.2 N sodium hydroxide (400 mL) containing sodium borohydride (400 mg) in a nitrogen atmosphere at ambient temperature for 90 minutes.
2. The pH of the solution is from 10 to 11.
3. Pass the solution through a pasteurizer at a temperature of 100°C for a period of 50 seconds.
4. Once pasteurized, centrifuge the mixture for 20 minutes at 23500 \times g.
5. Decant the supernatant from an insoluble fraction that settles out in the centrifuge bottle.
6. Mix the insoluble fraction is mixed with fresh sodium hydroxide/sodium borohydride solution (100 mL) and re-centrifuge for 15 minutes to increase the yield of the soluble fraction.
7. Adjust the pH of the supernatant to 5.5 by the addition of acetic acid at ambient temperature with stirring to form a gel.
8. Dessicate the gel with isopropanol added with high shear mixing.
9. Decant the isopropanol solution from the gel.
10. The solids content of the gel is 30%.
11. Pass the gel material through an extruder and extrude into individual particles with an average particle size of 500 microns.
12. Place the extruded particles in a fluidized bed dryer fitted with a cyclonic airflow screen, such as a Conidur screen.
13. Maintain the air temperature at 80°C.
14. The gel temperature remains below 70°C throughout the drying process.
15. Dry the particles to a powder with 90% of the water being removed.
16. The yield of the gel-forming polysaccharide is 85%.
17. The final compositions comprise the following components by weight: gel-forming 50.0%, polysaccharide sorbitol neosorb p20 48.16%, magnesium

stearate 0.5%, flavorant 0.4%, colorant 0.14%, citric acid 0.8%.

18. The granules can be coated using the coating formulation: Isopropanol 94.5% Eudragit RD100 5%, polyethylene glycol 0.5%.
19. The coated gel-forming polysaccharide particles are dried and combined with the excipients as described above.

RANITIDINE EFFERVESCENT GRANULES*

Granules for oral administration are effervescent formulations of ranitidine; these must be dissolved in water before use. Each packet contains 168 mg of ranitidine HCl equivalent to 150 mg of ranitidine and the following inactive ingredients: aspartame, monosodium citrate anhydrous, povidone, and sodium bicarbonate.

RIBAVIRIN CAPSULES

Capsules consist of a white powder in a white opaque gelatin capsule. Each capsule contains 200 mg of ribavirin and the following inactive ingredients: microcrystalline cellulose, lactose monohydrate, croscarmellose sodium, and magnesium stearate. The capsule shell consists of gelatin and titanium dioxide. The capsule is printed with edible blue pharmaceutical ink, which is made of shellac, anhydrous ethyl alcohol, isopropyl alcohol, *N*-butyl alcohol, propylene glycol, ammonium hydroxide, and FD&C Blue No. 2 Aluminum Lake.

RIFABUTIN CAPSULES

The antimycobacterial agent rifabutin is a semisynthetic ansamycin antibiotic derived from rifamycin S. The capsules contain 150 mg of rifabutin, USP, per capsule, along with the following inactive ingredients: microcrystalline cellulose, magnesium stearate, red iron oxide, silica gel, sodium lauryl sulfate, titanium dioxide, and edible white ink.

RIFAMPICIN CAPSULES

Rifampicin (rifampin) capsules contain 150 or 300 mg of rifampin per capsule. The 150 and 300 mg capsules also contain as inactive ingredients cornstarch, D&C Red No. 28, FD&C Blue No. 1, FD&C Red No. 40, gelatin, magnesium stearate, and titanium dioxide.

RIFAMPIN AND ISONIAZID CAPSULES

This is a combination capsule containing 300 mg of rifampin and 150 mg of isoniazid. The capsules also contain as inactive ingredients colloidal silicon dioxide, FD&C Blue No. 1, FD&C Red No. 40, gelatin, magnesium stearate, sodium starch glycolate, and titanium dioxide.

RIVASTIGMINE TARTRATE CAPSULES*

This is supplied as capsules containing rivastigmine tartrate, equivalent to 1.5 mg, 3 mg, 4.5, and 6 mg of rivastigmine base for oral administration. Inactive ingredients are hydroxypropyl methylcellulose, magnesium stearate, microcrystalline cellulose, and silicon dioxide. Each hard gelatin capsule contains gelatin, titanium dioxide, and red and/or yellow iron oxides.

SALMETEROL XINAFOATE CAPSULES

Bill of Materials			
Scale (mg/capsule)	Item	Material Name	Qty/1000 Caps (g)
2.00	1	Salmeterol xinafoate	2.00
97.00	2	Starch 1500 DC	97.00
1.00	3	Magnesium stearate	1.00

MANUFACTURING DIRECTIONS

1. Blend and fill 100 mg into each capsule.

SALMETEROL XINAFOATE INHALATION POWDER*

It is a specially designed plastic device containing a double-foil blister strip of a powder formulation of salmeterol xinafoate intended for oral inhalation only. Each blister on the double-foil strip within the device contains 50 µg of salmeterol administered as the salmeterol xinafoate salt in 12.5 mg of formulation containing lactose. When a blister containing medication is opened by activating the device, the medication is dispersed into the air stream created when the patient inhales through the mouthpiece.

SALMETEROL XINAFOATE INHALATION POWDER

Bill of Materials			
Scale (mg/capsule)	Item	Material Name	Qty/1000 Caps (g)
0.05	1	Salmeterol xinafoate micronized	0.05
12.50	2	Lactose anhydrous	12.50

SAQUINAVIR MESYLATE CAPSULES*

It is available as light brown and green opaque hard gelatin capsules for oral administration in a 200 mg strength (as saquinavir free base). Each capsule also contains the inactive ingredients: lactose, microcrystalline cellulose, povidone K30, sodium starch glycolate, talc, and magnesium

stearate. Each capsule shell contains gelatin and water with the following dye systems: red iron oxide, yellow iron oxide, black iron oxide, FD&C Blue No. 2, and titanium dioxide. Another formulation contains inactives. Each capsule also contains the inactive ingredients: medium chain mono- and diglycerides, povidone, and DL-alpha-tocopherol. Each capsule shell contains gelatin and glycerol 85% with the following colorants: red iron oxide, yellow iron oxide, and titanium dioxide.

SELEGILINE HYDROCHLORIDE

Each aqua blue capsule contains 5 mg of selegiline hydrochloride. The inactive ingredients are citric acid, lactose, magnesium stearate, and microcrystalline cellulose.

SEVELAMER HYDROCHLORIDE CAPSULES*

Each hard gelatin capsule of Renagel® contains 403 mg of sevelamer hydrochloride on an anhydrous basis. The inactive ingredients are colloidal silicon dioxide and stearic acid. The capsule and imprint contain titanium dioxide and indigo carmine ink.

SIBUTRAMINE HYDROCHLORIDE CAPSULES*

Each capsule contains 5, 10, or 15 mg of sibutramine hydrochloride monohydrate. It also contains as inactive ingredients lactose monohydrate, NF; microcrystalline cellulose, NF; colloidal silicon dioxide, NF; and magnesium stearate, NF in a hard-gelatin capsule [which contains titanium dioxide, USP; gelatin; FD&C Blue No. 2 (5 and 10 mg capsules only); D&C Yellow No. 10 (5 and 15 mg capsules only), and other inactive ingredients].

SIBUTRAMINE HYDROCHLORIDE CAPSULES

Bill of Materials			
Scale (mg/capsule)	Item	Material Name	Qty/1000 Caps (g)
5.00	1	Sibutramine hydrochloride	5.00
78.50	2	Lactose anhydrous	78.50
5.00	3	Polyvinylpyrrolidone	5.00
15.00	4	Cornstarch	15.00
1.50	5	Magnesium stearate	1.50
QS	6	Alcohol	QS

MANUFACTURING DIRECTIONS

- Mix items 1, 2, and 4 and granulate with alcoholic solution of item 3.
- Dry, size, and blend with item 5.
- Fill 105 mg; adjust for higher dose with item 2.

SIMETHICONE INSTANT GRANULES (60 MG AND 120 MG)

Formulation

Simethicone (Abil® 200, Goldschmidt), 10.0 g; cremophor RH 40 [I], 5.0 g; Kollidon VA 64, 3.0 g; ethanol, 40.0 g; sorbitol, crystalline 50.0 g; fructose, 50.0 g; Kollidon CL-M [I], 50.0 g; orange flavor (Dragoco), 0.5 g.

MANUFACTURING DIRECTIONS

- Fill 1 or 2 g into sachets after granulation.

STAVUDINE CAPSULES*

The stavudine capsules are supplied for oral administration in strengths of 15 mg, 20 mg, 30 mg, and 40 mg of stavudine. Each capsule also contains inactive ingredients microcrystalline cellulose, sodium starch glycolate, lactose, and magnesium stearate. The hard gelatin shell consists of gelatin, silicon dioxide, sodium lauryl sulfate, titanium dioxide, and iron oxides.

SUCCIMER CAPSULES*

Each opaque white capsule for oral administration contains beads coated with 100 mg of succimer and is imprinted in black with CHEMET 100®. The inactive ingredients in medicated beads are povidone, sodium starch glycolate, starch, and sucrose. The inactive ingredients in the capsule are gelatin, iron oxide, titanium dioxide, and other ingredients.

SUCRALAFATE GRANULES

Bill of Materials

Scale (mg/sachet) (2 g)	Item	Material Name	Qty/2 kg (g)
1000.00	1	Sucralafate	1000.00
100.00	2	Cornstarch	100.00
240.00	3	Povidone	240.00
QS	4	Lactose, QS to 2000	QS
—	5	Alcohol	QS

MANUFACTURING DIRECTIONS

- Load items 1 and 2 in a fluid bed granulator (e.g., Glatt) and mix for 5 minutes at inlet temperature of 30°C.
- Dissolve item 3 in a separate container in item 5 and spray into step 1 to granulate.
- Dry granules at 50°C until the temperature reaches 30°C.

- Sieve through No. 18.
- Fill 1.9 to 2.1 g per sachet.

SULFAMETHOXAZOLE + TRIMETHOPRIM DRY SYRUP (400 MG + 80 G/10 ML)

Formulation

Sulfamethoxazole, 4 g; trimethoprim, 0.8 g; sorbitol, crystalline [10], 30 g; sodium citrate, 5 g; sodium gluconate, 5 g; Kollidon CL-M [1], 10 g; vanillin, 0.1 g; saccharin sodium, 0.1 g; chocolate flavor, 0.1 g; sodium benzoate, 0.1 g.

MANUFACTURING DIRECTIONS

- Mix all components and sieve for administration. Fill 55 g of the mixture into a 100 mL flask.

TACRINE HYDROCHLORIDE CAPSULES

Each capsule contains tacrine as the hydrochloride. Inactive ingredients are hydrous lactose, magnesium stearate, and microcrystalline cellulose. The hard gelatin capsules contain gelatin, silicon dioxide, sodium lauryl sulfate, and the following dyes: 10 mg; D&C Yellow No. 10, FD&C Green No. 3, titanium dioxide; 20 mg; D&C Yellow No. 10, FD&C Blue No. 1, titanium dioxide; 30 mg; D&C Yellow No. 10, FD&C Blue No. 1, FD&C Red No. 40, titanium dioxide; 40 mg; D&C Yellow No. 10, FD&C Blue No. 1, FD&C Red No. 40, D&C Red No. 28, and titanium dioxide. Each 10 mg, 20 mg, 30 mg, and 40 mg capsule for oral administration contains 12.75 mg, 25.50 mg, 38.25 mg, and 51.00 mg of tacrine hydrochloride respectively.

TACROLIMUS CAPSULES*

Tacrolimus is available for oral administration as capsules (tacrolimus capsules) containing the equivalent of 0.5, 1, or 5 of anhydrous tacrolimus. Inactive ingredients include lactose, hydroxypropyl methylcellulose, croscarmellose sodium, and magnesium stearate. The 0.5 mg capsule shell contains gelatin, titanium dioxide, and ferric oxide; the 1 mg capsule shell contains gelatin and titanium dioxide; and the 5 mg capsule shell contains gelatin, titanium dioxide, and ferric oxide.

TACROLIMUS CAPSULES*

Bill of Materials

Scale (mg/capsule)	Item	Material Name	Qty/1000 Caps (g)
1.00	1	Tacrolimus	1.00
1.00	2	Hydroxypropyl methylcellulose 2910	1.00
QS	3	Ethanol	QS
58.00	4	Lactose	58.00

MANUFACTURING DIRECTIONS

- Mix item 1 with items 2 and 3. Knead the mixture and granulate to pass through sieves to collect particle size 180 to 250 mm; regranulate the other particle size.
- Dry granulation in step 1 at room temperature.
- In a suitable blending vessel, add item 4 and gradually add the step 2 granulation. Mix for 10 minutes and fill into size 0 capsules.

TALC, CROSPROVIDONE, AND STARCH TOPICAL POWDER

Bill of Materials

Scale (mg/g)	Item	Material Name	Qty/kg (g)
100.00	1	Croscarmellose sodium (crospovidone)	100.00
800.00	2	Cornstarch	800.00
100.00	3	Talc	100.00

MANUFACTURING DIRECTIONS

- Mix and fill into bottles.

TAMSULOSIN HYDROCHLORIDE CAPSULES*

Each capsule for oral administration contains tamsulosin HCl 0.4 mg and the following inactive ingredients: methacrylic acid copolymer; microcrystalline cellulose; triacetin; polysorbate 80; sodium lauryl sulfate; calcium stearate; talc; FD&C Blue No. 2; titanium dioxide; ferric oxide; gelatin; and trace amounts of shellac, industrial methylated spirit 74 OP, w-butyl alcohol, isopropyl alcohol, propylene glycol, dimethylpolysiloxane, and black iron oxide (E172).

TAMSULOSIN HYDROCHLORIDE CAPSULES

Bill of Materials

Scale (mg/capsule)	Item	Material Name	Qty/1000 Caps (g)
0.40	1	Tamsulosin hydrochloride	0.40
35.60	2	Crystalline cellulose	35.60
13.32	3	Eudragit L30D-55	13.32
4.00	4	Magnesium stearate	4.00

MANUFACTURING DIRECTIONS

- After sufficiently mixing item 1, crystalline cellulose, and magnesium stearate, add a mixture of Eudragit L30D-55 and 40 mL of water to the aforementioned mixture, and knead the resultant

mixture and granulate by a centrifugal fluidized bed granulator.

- The granules obtained are spheres having particle sizes of 0.1 to 1.5 mm, mainly 0.2 to 1.0 mm.

TEMAZEPAM CAPSULES

Bill of Materials			
Scale (mg/ capsule)	Item	Material Name	Qty/1000 Caps (g)
7.50	1	Temazepam micronized	7.50
7.50	2	Lactose anhydrous	7.50
232.50	3	Lactose anhydrous	232.50
2.50	4	Magnesium stearate	2.50

MANUFACTURING DIRECTIONS

- Process item 1 is processed as follows: Feed white crystalline temazepam having a purity of not less than 98% into an Alpine 160 UPZ mill with a stainless steel pin at a rate of about 40 kg/h using a mill speed of about 11000 rpm to obtain temazepam particles having a specific surface area of 0.65 to 1.1 m²/g area and 95% of the particles having a particle size diameter of less than 65 μm. Make the surface area measurement with the Quantector Gas Flow System and Quantasorb Surface Area Analyser at the temperature of liquid nitrogen (-196°C) using krypton as the absorbent and helium as the carrier gas. Determine the particle diameter with the Malverne Particle Sizer at an obscuration value of 0.2 to 0.25 using a 0.1% Tween 80 solution in water saturated with temazepam in which 1 to 2 g of temazepam sample to be tested has been dispersed. After the feed rate and mill speed of the Alpine mill have been set, monitor them at regular intervals to maintain the required particle size and surface area.
- To prepare hard gelatin capsules containing 7.5 mg of the temazepam processed as in step 1, mix items 1 and 2 in a mill and pass through an 18 mesh screen.
- Pass item 3 through 18 mesh screen and add to step 2.
- Pass item 4 through 18 mesh screen and add to step 3 in a PK Mixer[®] without an intensity bar.
- Mix for 30 minutes using tumbling action only.
- Encapsulate the capsule mix in No. 3 Lock hard gelatin capsules. Each capsule should contain 250 mg of capsule mix and 7.5 mg of temazepam.

TEMOZOLOMIDE CAPSULES*

Each capsule contains 5, 20, 100, or 250 mg of temozolomide. The inactive ingredients for Temodar[®] capsules are lactose anhydrous, colloidal silicon dioxide, sodium starch glycolate, tartaric acid, and stearic acid. The gelatin capsule shells

contain titanium dioxide. The capsules are imprinted with pharmaceutical ink.

TERAZOSIN CAPSULES (1–10 MG) HYTRIN*

Hytrin capsules are supplied in four dosage strengths, containing terazosin hydrochloride equivalent to 1 mg, 2 mg, 5 mg, or 10 mg of terazosin. Hytrin inactive ingredients: 1 mg capsules: gelatin, glycerin, iron oxide, methylparaben, mineral oil, polyethylene glycol, povidone, propylparaben, titanium dioxide, and vanillin; 2 mg capsules: D&C Yellow No. 10, gelatin, glycerin, methylparaben, mineral oil, polyethylene glycol, povidone, propylparaben, titanium dioxide, and vanillin; 5 mg capsules: D&C Red No. 28, FD&C Red No. 40, gelatin, glycerin, methylparaben, mineral oil, polyethylene glycol, povidone, propylparaben, titanium dioxide, and vanillin; 10 mg capsules: FD&C Blue No. 1, gelatin, glycerin, methylparaben, mineral oil, polyethylene glycol, povidone, propylparaben, titanium dioxide, and vanillin.

TERAZOSIN CAPSULES

MANUFACTURING DIRECTIONS

- Prepare capsules containing 5 mg of terazosin by blending the following ingredients in No. 3 gelatin capsules: Terazosin HCL anhydrous 5.471; lactose monohydrate, NF 174.529; microcrystalline cellulose, NF 28.000; crospovidone, NF 14.000; magnesium stearate, NF 3.000; total capsule fill weight 225.000.

TERAZOSIN HYDROCHLORIDE CAPSULES

Bill of Materials			
Scale (mg/ capsule)	Item	Material Name	Qty/1000 Caps (g)
5.000	1	Terazosin hydrochloride anhydrous	5.471
174.529	2	Lactose monohydrate	174.529
28.000	3	Microcrystalline cellulose	28.000
14.000	4	Crospovidone	14.000
3.000	5	Magnesium stearate	3.000

MANUFACTURING DIRECTIONS

- Add and blend all items 1 to 5 in a suitable blender.
- Fill using size 3 capsules; fill weight: 225.00 mg.

TERFENADINE ORAL GRANULES

MANUFACTURING DIRECTIONS

- Slowly mix micronized terfenadine (30 g) and 15 g of the block copolymer wetting agent (Pluronic polyol F-68) in a "V-blender" for about 5 minutes.

2. Add sorbitol instant (300 g) to the mixture and blend for another 5 minutes to form a blend of all three components.
3. Add microcrystalline cellulose (30 g; Avicel CL-611), PVP (50 g, KOLLIDON K-90), maltodextrin (200 g, MALTRIN QD M500), and 375 g of fine granular fructose to the above blend and continue blending for another 10 minutes to form a homogeneous, dry terfenadine composition that is a free-flowing powder.
4. Package the blended dry composition into 2 g sachets as unit doses to provide 60 mg of terfenadine.

TETRACYCLINE HYDROCHLORIDE CAPSULES

Bill of Materials			
Scale (mg/capsule)	Item	Material Name	Qty/1000 Caps (g)
250.00	1	Tetracycline, USE tetracycline	275.00
46.00	2	Lactose monohydrate (dense)	46.00
2.00	3	Colloidal silicon dioxide (Aerosil 200)	2.00
2.00	4	Magnesium stearate	2.00
1.00	5	Empty hard gelatin capsule, size 1	1000.00

MANUFACTURING DIRECTIONS

1. Check the temperature and relative humidity of the room before start of processing. Limits: RH 50% to 55%, temperature: 22°C to 27°C.
2. Pass the items 1, 2, and 3 through a 630 mm sieve using a sifter. Collect in stainless steel drum.
3. Pass item 4 through a 250 mm sieve using a sifter. Collect in polythene bag. Load the sieved powder to the drum (step 1) and mix for 5 minutes using drum mixer.
4. Load the empty capsule shells (size 1) in the hopper.
5. Run the machine and check the locking of shells.
6. Fill weight of one capsule = 325 mg + average weight of one empty shell.

THALIDOMIDE CAPSULES

Thalidomide capsules are available in 50 mg capsules for oral administration. Active ingredient: thalidomide. Inactive ingredients: anhydrous lactose, microcrystalline cellulose, polyvinylpyrrolidone, stearic acid, colloidal anhydrous silica, and gelatin.

THEOPHYLLINE SUSTAINED-RELEASE CAPSULES

Bill of Materials			
Scale (mg/capsule)	Item	Material Name	Qty/1000 Caps (g)
150.00	1	Theophylline anhydrous (B. F. Goodrich)	150.00
26.60	2	Carbopol 934P (GAF Corporation)	26.60
172.10	3	PVP C-15	172.10
3.50	4	Talc	3.50
1.80	5	Zinc stearate	1.80

MANUFACTURING DIRECTIONS

1. Combine Carbopol 934P, PVP C-15 (mean molecular weight of about 8000) talc, and zinc stearate in a mixer and mix.
2. Add theophylline anhydrous to this mixture and mix well to achieve a uniform mixture.
3. Fill the resulting particulate mixture, 354 mg, into size 1 hard gelatin capsule shells.

THIOTHIXENE CAPSULES*

Each capsule contains 1 mg, 2 mg, 5 mg, or 10 mg of thiothixene and the following inactive ingredients: colloidal silicon dioxide, croscarmellose sodium (type A), gelatin, magnesium stearate, microcrystalline cellulose, powdered cellulose, pregelatinized starch, sodium lauryl sulfate, titanium dioxide, and other inactive ingredients. The following coloring agents are employed: 1 mg—FD&C Blue No. 1, D&C Red No. 28, FD&C Red No. 40, FD&C Yellow No. 6; 2 mg—FD&C Blue No. 1, FD&C Red No. 40, FD&C Yellow No. 6, D&C Yellow No. 10; 5 mg—FD&C Blue No. 1, FD&C Red No. 40, FD&C Yellow No. 6; 10 mg—FD&C Blue No. 1, FD&C Red No. 40, FD&C Yellow No. 6.

TIBOLONE CAPSULES

Bill of Materials			
Scale (mg/capsule)	Item	Material Name	Qty/1000 Caps (g)
0.30	1	Tibolone (Org GD 14)	0.30
1.95	2	Hydroxypropyl cellulose	1.95
32.50	3	Cornstarch	32.50
0.32	4	Magnesium stearate	0.32
QS	5	Lactose	QS to 130.00
QS	6	Water purified	QS

MANUFACTURING DIRECTIONS

1. Load in a mixer items 3 and 5 and mix well.
2. Prepare a suspension of items 1 and 2 in item 6 and mix thoroughly; add to step 1 and granulate in a granulator by mixing for 2 to 3 minutes.
3. Dry the sieved wet material for 4 hours in a vacuum dryer at 40°C.
4. Screen the dried granules through a 710 mm sieve in the drum.
5. Load the empty capsule shells (size 1) in the hopper.
6. Run the machine and check the locking of shells.
7. Fill 130 mg into suitable capsules.

TIOTROPIUM INHALATION POWDER

Bill of Materials			
Scale (mg/capsule)	Item	Material Name	Qty/1000 Caps (g)
21.70	1	Tiotropium bromide micronized	21.70
270.00	2	Endothelin antagonist 2	270.00
4708.30	3	Lactose	4708.30

MANUFACTURING DIRECTIONS

1. First prepare item 1 in an inhalable powder form by the following method:
 - a. Place 150 kg of tiotropium bromide in 25.7 kg of water in a suitable reaction vessel.
 - b. Heat the mixture to 80°C–90°C and stir at constant temperature until a clear solution is formed.
 - c. Suspend activated charcoal (0.8 kg) moistened with water in 4.4 kg of water. Add this mixture to the solution containing the tiotropium bromide and risne the resulting mixture with 4.3 kg of water.
 - d. Stir the mixture thus obtained for at least 15 minutes at 80°C–90°C. Then filter through a heated filter into an apparatus preheated to an external temperature of 70°C.
 - e. Rinse the filter with 8.6 kg of water. Cool the contents of the apparatus at 3°C–5°C for every 20 minutes to a temperature of 20°C–25°C.
 - f. Further cool the apparatus to 10°C–15°C using cold water and complete crystallization by stirring for at least another hour.
 - g. Isolate the crystals using a suction filter dryer. Wash the crystals with cold water (10–15°C) and cold acetone (10–15°C).
 - h. Dry the crystals obtained at 25°C in nitrogen current over a period of 2 hours. Yield: 13.4 Hg

of tiotropium bromide monohydrate (86% of theory).

2. Add and mix all items and mix well.
3. Fill 5 g per unit dose.

TOLMETIN SODIUM CAPSULES*

Capsules for oral administration contain tolmetin sodium as the dihydrate in an amount equivalent to 400 mg of tolmetin. Each capsule contains 36 mg (1.568 mEq) of sodium and the following inactive ingredients: gelatin, magnesium stearate, cornstarch, talc, FD&C Red No. 3, FD&C Yellow No. 6, and titanium dioxide.

TOLTERODINE CAPSULES*

Capsules contain 2 or 4 mg of tolterodine tartrate. The inactive ingredients are sucrose, starch, hydroxypropylmethylcellulose, ethylcellulose, medium-chain triglycerides, oleic acid, gelatin, and FD&C Blue No. 2. The 2 mg capsules also contain yellow iron oxide. Both capsule strengths are imprinted with a pharmaceutical grade printing ink that contains shellac, titanium dioxide, propylene glycol, and simethicone.

TOLTERODINE CAPSULES

Bill of Materials			
Scale (mg/capsule)	Item	Material Name	Qty/1000 Caps (g)
2.00	1	Tolterodine	2.00
186.00	2	Lactose anhydrous	186.00
20.00	3	Cornstarch	20.00
15.00	4	Talc	15.00
2.00	5	Magnesium stearate	2.00

Note: For 1 mg strength, adjust with item 2.

MANUFACTURING DIRECTIONS

1. Accordingly mix item 1 with items 2 and 3 and then mill.
2. Mix the resulting mixture with items 4 and 5 and then fill into capsules of appropriate size.

TOPIRAMATE CAPSULES*

Topiramate capsules, sprinkle capsules, are available as 15 and 25 mg sprinkle capsules for oral administration as whole capsules or opened and sprinkled onto soft food. Sprinkle capsules contain topiramate-coated beads in a hard gelatin capsule. The inactive ingredients are sugar spheres (sucrose and starch), povidone, cellulose acetate, gelatin, silicon dioxide, sodium lauryl sulfate, titanium dioxide, and black pharmaceutical ink.

TRETINOIN CAPSULES

This is available in a 10 mg soft gelatin capsule for oral administration. Each capsule also contains beeswax, butylated hydroxyanisole, edetate disodium, hydrogenated soybean oil flakes, hydrogenated vegetable oils, and soybean oil. The gelatin capsule shell contains glycerin, yellow iron oxide, red iron oxide, titanium dioxide, methylparaben, and propylparaben.

TRIAMTERENE AND HYDROCHLOROTHIAZIDE CAPSULES

Bill of Materials

Scale (mg/capsule)	Item	Material Name	Qty/1000 Caps (g)
23.01	1	Triamterene	23.01
15.34	2	Hydrochlorothiazide	15.34
2.50	3	Glycine	2.50
7.50	4	Polysorbate 80	7.50
QS	5	Water purified	QS
QS	6	Isopropyl alcohol	QS
52.15	7	Lactic acid	52.15

MANUFACTURING DIRECTIONS

1. Add and dissolve item 3 in a suitable quantity of item 5.
2. Add items 1 and 2 and prepare a good wet mass.
3. Separately dissolve item 4 in item 6 and add to step 2 until granules are formed.
4. Dry granules in vacuum and mill.
5. Fill into size 4 capsules.

TRIAMTERENE CAPSULES*

Each capsule for oral use, with an opaque red cap and body, contains triamterene, 50 or 100 mg. The inactive ingredients consist of benzyl alcohol, cetylpyridinium chloride, D&C Red No. 33, FD&C Yellow No. 6, gelatin, lactose, magnesium stearate, povidone, sodium lauryl sulfate, titanium dioxide, and trace amounts of other inactive ingredients.

TRICLOSAN AND ZINC FOOT DEODORANT POWDER

Bill of Materials

Scale (mg/g)	Item	Material Name	Qty/1000 Tablets (g)
3.00	1	Triclosan (Irgasan® DP300)	3.00
2.00	2	Zinc undecylenate, USP	2.00
0.20	3	Menthol (crystals), USP	0.20

926.80	4	Talc (powder), USP	926.80
30.00	5	Magnesium stearate	30.00
30.00	6	Cornstarch, NF	30.00
8.00	7	Perfume	8.00

MANUFACTURING DIRECTIONS

1. Pass the following ingredients through a 250 µm screen or similar: Irgasan DP300, zinc undecylenate, magnesium stearate, cornstarch, menthol, and approximately 10% of the total amount of talc.
2. Load materials from first step into a suitable mixer.
3. Mix until uniform.
4. Discharge powder from second step into another suitable mixer.
5. Add and disperse perfume.
6. Mix until uniform.
7. Pass mixture from step above through a 250 µm screen or similar.
8. Load mixture from step 7 into a V-mixer or similar and add balance of talc powder.
9. Mix for 30 minutes or until homogeneous.

TRICLOSAN AND ZINC UNDECYLENATE POWDER

Bill of Materials

Scale (mg/g)	Item	Material Name	Qty/1000 Tabs (g)
3.0	1	Triclosan-Irgasan DP300	3.0
2.0	2	Zinc undecylenate	2.0
0.2	3	Menthol	0.2
926.8	4	Talc	926.8
30.0	5	Magnesium stearate	30.0
30.0	6	Cornstarch	30.0
8.0	7	Perfume	8.0

MANUFACTURING DIRECTIONS

1. Pass the following ingredients through a 250 mm aperture screen or similar screen: Triclosan-Irgasan DP300, zinc undecylenate, magnesium stearate, cornstarch, menthol, and approximately 10% of the total amount of talc.
2. Load materials from step 1 into a suitable mixer. Mix until uniform.
3. Discharge powder from step 2 into another suitable mixer. Add and disperse perfume. Mix until uniform. Pass mixture from step 2 through a 250 mm aperture screen or similar screen. Load mixture from step 2 into a V-mixer or a similar mixer and add balance of talc powder.
4. Mix for 30 minutes or until homogeneous.

TRIENTINE HYDROCHLORIDE CAPSULES*

This is available as 250 mg capsules for oral administration. It contains gelatin, iron oxides, stearic acid, and titanium dioxide as inactive ingredients.

TRIMEBUTINE CAPSULES**Bill of Materials**

Scale (mg/capsule)	Item	Material Name	Qty/1000 Capsules (g)
25%	1	Trimebutine	25%
50%	2	Calcium	50%
7.5%	3	Polycarbophil microcrystalline cellulose (Avicel 102)	7.5%
25%	4	Talc	25%

MANUFACTURING DIRECTIONS

- Mix and fill into No. 2 hard gelating capsule.

TRIMETHOPRIM AND SULFAMETHOXAZOLE ORAL SUSPENSION*

Trimethoprim–sulfamethoxazole is a combination product available in double strength (DS) pediatric suspension for oral administration. Each teaspoonful (5 mL) of the pediatric suspension contains 40 mg trimethoprim and 200 mg sulfamethoxazole in a vehicle containing 0.3% alcohol, edetate disodium, glycerin, microcrystalline cellulose, parabens (methyl and propyl), polysorbate 80, saccharin sodium, simethicone, sorbitol, sucrose, FD&C Yellow No. 6, FD&C Red No. 40, flavors, and water.

TRIMIPRAMINE MALEATE CAPSULES*

Each capsule contains trimipramine maleate equivalent to 25 mg, 50 mg, or 100 mg of trimipramine as the base. The inactive ingredients present are FD&C Blue 1, gelatin, lactose, magnesium stearate, and titanium dioxide. The 25 mg dosage strength also contains D&C Yellow No. 10 and FD&C Yellow No. 6; the 50 mg dosage strength also contains D&C Red No. 28, FD&C Red No. 40, and FD&C Yellow No. 6.

TROLEANDOMYCIN CAPSULES

Inert ingredients in the formulation are hard gelatin capsules (which may contain inert ingredients), lactose, magnesium stearate, sodium lauryl sulfate, and starch.

TYPHOID VACCINE LIVE ORAL CAPSULES

The vaccine strain is grown in fermenters under controlled conditions in a medium containing a digest of yeast extract, an

acid digest of casein, dextrose, and galactose. The bacteria are collected by centrifugation, mixed with a stabilizer containing sucrose, ascorbic and amino acids, and lyophilized. The lyophilized bacteria are mixed with lactose and magnesium stearate and filled into gelatin capsules, which are coated with an organic solution to render them resistant to dissolution in stomach acid. The enteric-coated, salmon/white capsules are then packaged in four-capsule blisters for distribution. The contents of each enteric-coated capsule are

Viable <i>Staphylococcus typhi</i> Ty21^a	2–6 H 109 colony-forming units^a
Nonviable <i>S. typhi</i> Ty21 ^a	5–50 H 109 bacterial cells
Sucrose	26–130 mg
Ascorbic acid	1–5 mg
Amino acid mixture	1.4–7.0 mg
Lactose	100–180 mg
Magnesium stearate	3.6–4.4 mg

^a Vaccine potency (viable cell counts per capsule) is determined by inoculation of agar plates with appropriate dilutions of the vaccine suspended in physiological saline.

VALSARTAN AND HYDROCHLOROTHIAZIDE CAPSULES**Bill of Materials**

Scale (mg/capsule)	Item	Material Name	Qty/1000 Caps (g)
80.00	1	Valsartan	80.00
12.50	2	Hydrochlorothiazide	12.50
1.50	3	Colloidal anhydrous silica Aerosil	1.50
31.50	4	Microcrystalline cellulose Avicel	31.50
20.00	5	Polyvinylpyrrolidone crospovidone	20.00
4.50	6	Magnesium stearate	4.50

MANUFACTURING DIRECTIONS

- Blend the components, except for a portion of the magnesium stearate, in a container mixer.
- Sieve the blended material and preblend for an additional time period in a container mixer. Compact the blended material using a roller compactor by applying a compaction force of 25 to 65 kN and a roller speed of 1.3 to 7.5 rpm.

3. Again sieve the compacted material and add the remaining portion of the magnesium stearate and finally blend in a container mixer.
4. Then fill 150 mg of the homogeneous mixture into capsules or compress for tablets and subsequent coating.

VALSARTAN CAPSULES*

It is available as capsules for oral administration, containing either 80 or 160 mg of valsartan. The inactive ingredients contained in the capsules are cellulose compounds, crospovidone, gelatin, iron oxides, magnesium stearate, povidone, sodium lauryl sulfate, and titanium dioxide.

VALSARTAN CAPSULES

Bill of Materials			
Scale (mg/capsule)	Item	Material Name	Qty/1000 Caps (g)
80.00	1	Valsartan	80.00
1.50	2	Colloidal anhydrous silica Aerosil	1.50
31.50	3	Microcrystalline cellulose Avicel	31.50
20.00	4	Polyvinylpyrrolidone crospovidone	20.00
4.50	5	Magnesium stearate	4.50

MANUFACTURING DIRECTIONS

1. Blend the components, except for a portion of the magnesium stearate, in a container mixer.
2. Sieve the blended material and preblend for an additional period of time in a container mixer. Compact the blended material using a roller compactor by applying a compaction force of 25 to 65 kN and a roller speed of 1.3 to 7.5 rpm.
3. Again sieve the compacted material and add the remaining portion of the magnesium stearate and finally blend in a container mixer.
4. Then fill 138.50 mg of the homogeneous mixture into capsules or compressed for tablets and subsequent coating.

VANCOMYCIN HYDROCHLORIDE CAPSULES*

Each capsule contains vancomycin hydrochloride equivalent to 125 mg (0.08 mmol) or 250 mg (0.17 mmol) vancomycin. The Pulvules also contain FD&C Blue No. 2, gelatin, iron oxide, polyethylene glycol, titanium dioxide, and other inactive ingredients.

VENLAFAXINE CAPSULES

37.5 mg venlafaxine capsule comprises nonpareil seeds

17.26%, venlafaxine HCl 44.24%, sodium alginate 17.91%, talc 5.6%, Kollicoat SR30 D (copolymer of polyvinyl acetate), 12.03%, and purified water in required quantity.

Ingredients	mg/cap
Nonpareil seeds	16.546 Drug coating
Venlafaxine HCl	42.400
Sodium alginate	11.660
Talc	1.930
Titanium dioxide	1.250
Purified water	QS
* Subtotal	73.786 Seal coating
Sodium alginate	5.510
Talc	0.892
Titanium dioxide	0.392
Purified water	QS
* Subtotal	80.580 Functional coating
Kollicoat SR30D	11.535
Propylene glycol	1.154
Talc	2.551
Purified water	QS
*Total	95.82
Does not remain in formulation.	

1. Load the drug on NPS using solution containing Venlafaxine HCl, sodium alginate, talc and titanium dioxide by fluid bed coating technique.
2. Seal coat the drug-coated pellets using solution containing sodium alginate, talc, and titanium dioxide by fluid bed coating technique
3. Functional coat the seal-coated pellets using solution containing Kollicoat SR 30D, propylene glycol, and talc by fluid bed coating technique.

VERAPAMIL HYDROCHLORIDE CAPSULES*

It is available for oral administration as a 360 mg hard gelatin capsule (lavender cap/yellow body), a 240 mg hard gelatin capsule (dark blue cap/yellow body), a 180 mg hard gelatin capsule (light gray cap/yellow body), and a 120 mg hard gelatin capsule (yellow cap/yellow body). These pellet-filled capsules provide a sustained release of the drug in the gastrointestinal tract. In addition to verapamil HCl, the capsule contains the following inactive ingredients: fumaric acid, talc, sugar spheres, povidone, shellac, gelatin, FD&C Red No. 40, yellow iron oxide, titanium dioxide, methylparaben, propylparaben, silicon dioxide, and sodium lauryl sulfate. In addition, the 240 mg and 360 mg capsules contain FD&C Blue No. 1 and D&C Red No. 28; and the 180 mg capsule contains black iron oxide.

VERAPAMIL HYDROCHLORIDE CAPSULES**MANUFACTURING DIRECTIONS**

1. Blend verapamil hydrochloride (30 kg), malic acid (10 kg), and talc (2.4 kg) and pass through a 100 mesh screen using a conventional milling machine.
2. Prepare a polymer suspension containing 5% hydroxypropyl methylcellulose in methanol/methylene chloride 60/40.
3. Place sugar/starch seeds (0.4–0.5 mm, 9 kg) are placed in a standard coating pan and commence rotation.
4. Wet the seeds are wetted with sufficient polymer suspension to dampen them thoroughly and then an amount of the powder blend is dusted on until no more is adhered. This step is repeated until the entire powder blend has been applied.
5. Allow the coated seeds to dry after each application of polymer suspension.
6. When all of the powder has been applied, dry the coated seeds at 40°C–60°C until all of the solvent has been driven off.
7. Prepare a membrane suspension from the following components: two parts by volume 5% hydroxypropyl methylcellulose in methanol/methylene chloride 60/40, eight parts by volume 5% ethylcellulose in methanol/methylene chloride 60/40, and five parts by weight talc.
8. Place the coated seeds, which are prepared previously and which define the active core of the pellets being prepared, in a coating pan and commence rotation. Apply the membrane suspension to the coated seeds in separate coats, each coat corresponding to 10 mL of the membrane suspension per kg of coated seeds. After each coat has been applied, air dry the pellets in the coating pan.
9. After the final coat has been applied, dry the pellets at 40°C–60°C to evaporate all traces of solvent. Prepare rapid-release pellets as used in the controlled absorption pharmaceutical formulation of the invention by forming active cores without the subsequent application of a membrane thereto.

VERAPAMIL HYDROCHLORIDE SUSTAINED-RELEASE CAPSULES

Bill of Materials			
Scale (mg/capsule)	Item	Material Name	Qty/1000 Caps (g)
120.00	1	Verapamil hydrochloride	120.00
20.00	2	Sucrose and cornstarch neutral microgranules	20.00
11.30	3	Shellac, bleached, wax-free	11.30
0.75	4	Eudragit L100	0.75
3.60	5	Eudragit L30D	3.60
1.23	6	Eudragit NE30D	1.23

0.37	7	Diethyl phthalate	0.37
1.60	8	Talc	1.60
—	9	Alcohol	QS
—	10	Acetone	QS
—	11	Water purified	QS

Note: For 240 mg strength, scale to twice the formula.

MANUFACTURING DIRECTIONS

1. Place the neutral microgranules (item 2) in a coating pan and start the pan.
2. Prepare a 20% solution of item 3 in a mixture of acetone and alcohol.
3. Set temperature of step 1 to 25°C ± 5°C. Apply shellac solution alternating with item 1 powder until the entire active ingredient is incorporated.
4. Sieve micro granules through a 0.85 mm aperture. Dry microgranules at 30°C–40°C for 8 hours.
5. Sieve dried microgranules and dry again at 30°C–40°C for 8 hours.
6. Prepare a 15% alcoholic solution of Eudragit L100 and apply with talc; dry and apply until all solution is incorporated.
7. Sieve microgranules using a 1.18 mm aperture sieve.
8. Prepare an aqueous dispersion of item 5 (L30D) and item 7. Apply part of suspension to microgranules together with part of item 8. Allow to dry. Repeat operation until desired dissolution rate is obtained.
9. Sieve microgranules using 1.18 mm sieve and then dry at 30°C–40°C for 12 hours.
10. Prepare aqueous solution of NE30D and item 7, apply in parts with remaining talc, and then dry. Repeat until desired dissolution rate is obtained.
11. Sieve using a 1.18 mm sieve. Dry at 30°C–40°C for 12 hours.
12. Fill appropriate quantity based on assay. Use approximately 158.85 mg for 120 mg strength and 317.70 mg for 240 mg strength.

VINCAMINE CAPSULES

Bill of Materials			
Scale (mg/capsule)	Item	Material Name	Qty/1000 Caps (g)
30.00	1	Vincamine	30.00
17.50	2	Lactose	17.50
166.80	3	Sucrose and cornstarch microgranules, size 20	166.80
3.30	4	Polyvinyl pyrrolidone	3.30
1.30	5	Shellac	1.30
3.60	6	Eudragit L	3.60
7.50	7	Talc	7.50
—	8	Alcohol	QS

MANUFACTURING DIRECTIONS

- Place item 3 in a coating pan and run the pan.
- Prepare solution of item 4 in item 8.
- Add and mix items 1 and 2 in a separate container.
- Heat step 1 to 25°C ± 5°C; apply solution in Step 2 and alternate with powder mixture in step 3 until all of step 3 is incorporated.
- Sieve granules through a 1.18 mm sieve in step 4 and dry at 30°C–40°C for 8 hours.
- Prepare an alcoholic solution of item 5 in item 8 and apply to step 5 until all incorporated.
- Sieve microgranules through a 1.18 mm sieve and dry at 30°C–40°C for 8 hours.
- Prepare a solution of item 6 in item 8 and apply in steps until all solution is incorporated.
- Sieve microgranules through a 1.18 mm sieve and dry at 30°C to 40°C for 8 hours.
- Fill appropriate quantity into capsules, approximately 230 mg.

VINPOCETINE MULTIPLE BEAD CAPSULES**Bill of Materials**

Scale (mg/ capsule)	Item	Material Name	Qty/1000 Caps (g)
160.00	1	Vinpocetine	160.00
		Powder Blend	
5.00	1	Vinpocetine	5.00
0.10	2	Sodium lauryl sulfate	0.10
3.0	3	Sodium starch glycolate	3.00
6.00	4	Glutamic acid	6.00
7.00	5	Cornstarch	7.00
62.00	6	Lactose	62.00
13.00	7	Microcrystalline cellulose	13.00
1.00	8	Magnesium stearate	1.00

VITAMIN B COMPLEX, AMINO ACIDS, AND MAGNESIUM EFFERVESCENT GRANULES (SUGAR-FREE)**Bill of Materials**

Scale (mg/ Tab)	Item	Material Name	Qty/1000 Tabs (g)
2.00	1	Thiamin hydrochloride	2.00
2.00	2	Pyridoxine hydrochloride	2.00
5.00	3	Cyanocobalamin dry powder 0.1%	5.00
20.00	4	L-Glutamine	20.00
10.00	5	Inositol	10.00
10.00	6	Potassium L-aspartate	10.00
500.00	7	DL-carnitine hydrochloride	500.00

350.00	8	Magnesium L-aspartate	350.00
600.00	9	Citric acid, anhydrous	600.00
500.00	10	Sodium bicarbonate	500.00
QS	11	Flavors	QS
50.00	12	Kollidon VA 64	50.00
—	13	Isopropanol	80.00

MANUFACTURING DIRECTIONS

- Mix items 1 to 6, add the mixture of items 7 to 12, granulate mixture of these two combinations with item 13, pass through a 0.8 mm sieve, dry well, and mix.
- Fill 2.1 g of the granules into sachets.

VITAMIN B COMPLEX + AMINO ACID + MAGNESIUM EFFERVESCENT GRANULES (SUGAR-FREE)

(1 RDA of vitamins + 500 mg carnitine + 20 mg glutamine)

Formulation

Thiamin hydrochloride, 2 g; pyridoxine hydrochloride, 2 g; cyanocobalamin dry powder 0.1%, 5 g; L-glutamine, 20 g; inositol, 10 g; potassium L-aspartate, 10 g; DL-carnitine hydrochloride, 500 g; magnesium L-aspartate, 350 g; citric acid, anhydrous, 600 g; sodium bicarbonate, 500 g; flavors, QS; Kollidon VA 64 50 g; isopropanol, 80 g.

MANUFACTURING DIRECTIONS

- Fill 2.1 g of the granules into sachets.

VITAMIN B COMPLEX AND VITAMIN C INSTANT GRANULES**Bill of Materials**

Scale (mg/g)	Item	Material Name	Qty/kg (g)
3.60	1	Thiamine hydrochloride	3.60
5.70	2	Riboflavin phosphate sodium	5.70
45.00	3	Nicotinamide	45.00
4.50	4	Pyridoxine hydrochloride	4.50
15.0	5	Cyanocobalamin (gelatin-coated, 0.1%)	15.00
150.0	6	Ascorbic acid (powder)	150.00
723.00	7	Sucrose	723.00
51.00	8	Kollidon 30	51.00
QS	9	Ethanol	180.00 mL

MANUFACTURING DIRECTIONS

- Mix items 1 to 7, granulate with solution of items 8 and 9, dry, and pass through a 0.8 mm sieve.

- Fill 1 g of the granules into sachets (or 10 g in 100 mL flakes as dry syrup) to produce yellow homogeneous granules dispersible in cold water.
- Approximately 1 g of the granules (=1 sachet) corresponds to two daily vitamin B and vitamin C requirements of adults.
- Because of the high loss of riboflavin phosphate sodium, it should be substituted by riboflavin.

VITAMIN C AND CALCIUM CARBONATE EFFERVESCENT POWDER

Bill of Materials

Scale (mg/ tablet)	Item	Material Name	Qty/1000 Tabs (g)
300.00	1	Calcium, USE calcium carbonate	315.00
450.00	2	Sodium tartaric acid, powder bicarbonate	450.00
600.00	3	Kollidon 30	600.00
35.00	4	Kollidon 30	35.00
200.00	5	Isopropanol	200.00
400.00	6	Sucrose crystalline	400.00
500.00	7	Ascorbic acid, crystalline, with excess	550.00
120.00	8	Kollidon CL	120.00
60.00	9	Polyethylene glycol 6000, powder	60.00

MANUFACTURING DIRECTIONS

- Granulate mixture of items 1 to 3 with solution of items 4 and 5, mix with item 6, and dry.
- Add items 7 to 9 and press with a high compression force at maximum 30% of relative atmospheric humidity.
- Package 2500 mg in aluminum-lined sachet.

ZANAMIVIR POWDER*

It is for administration to the respiratory tract by oral inhalation only. Each disc contains four regularly spaced double-foil blisters with each blister containing a powder mixture of 5 mg of zanamivir and 20 mg of lactose. The contents of each blister are inhaled using a specially designed breath-activated plastic device for inhaling powder called the Diskhaler®. The drug is also administered as aqueous solution (10%) with 0.04% benzalkonium chloride and 0.40% phenylethyl alcohol. In an aqueous cosolvent system, it contains 10% active drug, 0.04% benzalkonium chloride, 10% PEG 400, and 30% propylene glycol (balance purified water). In an aerosol formulation, there is 7.5% active drug, 25.6% propellant 11, and 66.5% propellant 12.

ZANAMIVIR POWDER

Bill of Materials

Scale (mg/ disk)	Item	Material Name	Qty/1000 Disks (g)
5.00	1	Zanamivir	5.00
20.00	2	Lactose anhydrous	20.00

ZIDOVUDINE CAPSULES

Each capsule contains 100 mg of zidovudine and the inactive ingredients cornstarch, magnesium stearate, microcrystalline cellulose, and sodium starch glycolate. The 100 mg empty hard gelatin capsule, printed with edible black ink, consists of black iron oxide, dimethylpolysiloxane, gelatin, pharmaceutical shellac, soya lecithin, and titanium dioxide. The blue band around the capsule consists of gelatin and FD&C Blue No. 2.

ZIDOVUDINE CAPSULES

Bill of Materials

Scale (mg/ capsule)	Item	Material Name	Qty/1000 Caps (g)
100.00	1	Zidovudine (3'-azido-3'-deoxythymidine)	100.00
200.00	2	Lactose	200.00
50.00	3	Cornstarch	50.00
5.00	4	Polyvinylpyrrolidone	5.00
4.00	5	Magnesium stearate	4.00

MANUFACTURING DIRECTIONS

- Sieve items 1 to 4 through an 80 mesh sieve and blend.
- Pass item 5 through a 100 mesh sieve and add to step 1 and blend for 2 minutes.
- Fill 359 mg into capsules.

ZINC OXIDE AND CORNSTARCH POWDER

Cornstarch baby powder combines zinc oxide (10%) with topical starch (cornstarch) for topical application. Also contains fragrance and tribasic calcium phosphate.

ZIPRASIDONE HYDROCHLORIDE CAPSULES*

Capsules are supplied for oral administration in 20 mg, 40 mg, 60 mg, and 80 mg doses. Capsules contain ziprasidone hydrochloride monohydrate, lactose, pregelatinized starch, and magnesium stearate.

ZIPRASIDONE HYDROCHLORIDE CAPSULES

Bill of Materials			
Scale (mg/ capsule)	Item	Material Name	Qty/1000 Caps (g)
20.00	1	Ziprasidone, USE ziprasidone hydrochloride	22.65
66.10	2	Lactose monohydrate	66.10
10.00	3	Pregelatinized cornstarch	10.00
0.75	4	Magnesium stearate	0.75

MANUFACTURING DIRECTIONS

1. Pass items 1 to 3 through an 80 mesh screen and blend.
2. Pass item 4 through a 100 mesh screen and add and blend for 2 minutes.
3. Fill into size 4 capsules (100 mg). For higher strengths, scale up the quantity and size of capsule. The lactose monohydrate weight is adjusted according to small potency changes in the ziprasidone hydrochloride monohydrate to maintain a constant capsule weight.

ZONISAMIDE CAPSULES*

Each capsule contains the labeled amount of zonisamide plus the following inactive ingredients: microcrystalline cellulose, hydrogenated vegetable oil, sodium lauryl sulfate, gelatin, and colorants.

ZONISAMIDE CAPSULES

Bill of Materials			
Scale (mg/ capsule)	Item	Material Name	Qty/1000 Caps (g)
100.00	1	Zonisamide	100.00
35.00	2	Lactose anhydrous	35.00
17.00	3	Cornstarch	17.00
40.00	4	Crystalline cellulose	40.00
6.00	5	Hydroxypropyl cellulose	6.00
1.00	6	Light anhydrous silicic acid	1.00
1.00	7	Magnesium stearate	1.00
QS	8	Water purified	QS

MANUFACTURING DIRECTIONS

1. Blend zonisamide, lactose, cornstarch, and crystalline cellulose and to this add hydroxypropyl cellulose dissolved in water. Knead, dry, and granulate the mixture.
2. To these granules add magnesium stearate and light anhydrous silicic acid and fill the mixture (200 mg) into each capsule.
3. A 20% powder formulation contains zonisamide, 200 g; lactose, 719 g; hydroxypropyl cellulose, 20 g; light anhydrous silicic acid, 1 g. Total, 940 g.
4. Using a high-shear granulator, blend all the preceding components for powder formulation, spray with an ethanolic solution (200 g) containing ethylcellulose (40 g) and hydroxypropyl cellulose (20 g) for granulation, and then make into granules. Dry these granules and regulate in size to give 20% powders.

Part III

Commercial Pharmaceutical Formulations



Taylor & Francis

Taylor & Francis Group

<http://taylorandfrancis.com>

Commercial Pharmaceutical Formulations

- 14 L-Crystalline amino acid formula—700 mg/cap-L-lysine HCL, L-isoleucine, L-glutamine, L-tyrosine, L-threonine, L-alanine, L-leucine, L-histidine, L-arginine HCL, L-aspartic acid, L-valine, L-methionine, L-cystine, L-glutamic acid, glycine, L-phenylalanine, N-acetyl-L-tyrosine, L-serine, L-proline plus ornithine alpha-ketoglutarate, and dipeptides; L-alanyl-L-glutamine and L-glycyl-L-glutamine. 20 L-Crystalline amino acid formula helps reverse negative nitrogen balance. 677 mg. L-Crystalline amino acids including neurotransmitter precursors, sulfur, and branched chain amino acids plus alpha-lipoic acid: L-lysine HCL, L-isoleucine, L-glutamine, L-tyrosine, L-threonine, L-alanine, L-leucine, L-histidine, L-arginine HCL, L-aspartic Acid, L-valine, ornithine alpha-ketoglutarate, L-methionine, L-cystine, L-glutamic acid, glycine, L-phenylalanine, N-acetyl-L-tyrosine, L-serine, L-proline, alpha-lipoic acid
- Adipex-P capsules contain the inactive ingredients cornstarch, gelatin, lactose monohydrate, magnesium stearate, titanium dioxide, black iron oxide, FD&C blue No. 1, FD&C red No. 40, and D&C red No. 33
- Aggrenox® (aspirin/extended-release dipyridamole) is a combination antiplatelet agent intended for oral administration. Each hard gelatin capsule contains 200 mg dipyridamole in an extended-release form and 25 mg aspirin as an immediate-release sugar-coated tablet. In addition, each capsule contains the following inactive ingredients: acacia, aluminum stearate, colloidal silicon dioxide, cornstarch, dimethicone, hypromellose, hypromellose phthalate, lactose monohydrate, methacrylic acid copolymer, microcrystalline cellulose, povidone, stearic acid, sucrose, talc, tartaric acid, titanium dioxide, and triacetin. Each capsule shell contains gelatin, red iron oxide and yellow iron oxide, titanium dioxide, and water
- Amitiza™ (lubiprostone) is available for oral administration in an imprinted, oval, orange soft gelatin capsule containing 24 µg lubiprostone and the following inactive ingredients: medium-chain triglycerides, gelatin, sorbitol, FD&C red No. 40, D&C yellow No. 10, and purified water
- Amnesteem contains isotretinoin, a retinoid, and is available in 10-mg, 20-mg, and 40-mg soft gelatin capsules for oral administration. Each capsule contains yellow wax, butylated hydroxyanisole, edetate disodium, hydrogenated vegetable oil, and soybean oil. Gelatin capsules contain glycerin with the following dye systems: 10 mg—red iron oxide paste and black ink; 20 mg—red iron oxide paste, yellow iron oxide paste, titanium dioxide, and black ink; 40 mg—red iron oxide paste, yellow iron oxide paste, titanium dioxide, and black ink
- Benefiber® is a 100% natural fiber that can be mixed with almost anything. Ingredients: partially hydrolyzed guar gum (a 100% natural fiber). Guar gum is derived from the seed of the cluster bean
- Biaxin for suspension, clarithromycin suspension (clarithromycin for oral suspension, USP), contains 125 or 250 mg of clarithromycin. Each bottle of Biaxin granules contains 1250 mg (50-mL size), 2500 mg (50- and 100-mL sizes), or 5000 mg (100-mL size) of clarithromycin and the following inactive ingredients: carbomer, castor oil, citric acid, hypromellose phthalate, maltodextrin, potassium sorbate, povidone, silicon dioxide, sucrose, xanthan gum, titanium dioxide, and fruit punch flavor
- Brevibloc (esmolol hydrochloride) premixed injection is a clear, colorless to light yellow, sterile, nonpyrogenic isoosmotic solution of esmolol hydrochloride in sodium chloride. 2500-mg, 250-mL single use premixed bag—Each milliliter contains 10 mg esmolol hydrochloride, 5.9 mg sodium chloride, USP, and water for injection, USP, buffered with 2.8 mg sodium acetate trihydrate, USP, and 0.546 mg glacial acetic acid, USP. Sodium hydroxide and/or hydrochloric acid added, as necessary, to adjust pH to 5.0 (4.5–5.5). The calculated osmolarity is 312 mOsmol/L. The 250-mL bag is a nonlatex, non-PVC IntraVia bag with dual PVC ports. The IntraVia bag is manufactured from a specially designed multi-layer plastic (PL 2408). Solutions in contact with the plastic container leach out certain chemical compounds from the plastic in very small amounts; however, biological testing was supportive of the safety of the plastic container materials. 2000-mg, 100-mL single use premixed bag double strength—Each milliliter contains 20 mg esmolol hydrochloride, 4.1 mg sodium chloride, USP, and water for injection, USP, buffered with 2.8 mg sodium acetate trihydrate, USP, and 0.546 mg glacial acetic acid, USP. Sodium hydroxide and/or hydrochloric acid added, as necessary, to adjust pH to 5.0 (4.5–5.5). The calculated osmolarity is 312 mOsmol/L. The 100-mL bag is a nonlatex, non-PVC IntraVia bag with dual PVC ports. The IntraVia bag is manufactured from a specially designed multilayer plastic (PL 2408). Brevibloc injection is a clear, colorless to light yellow, sterile, nonpyrogenic isoosmotic solution of esmolol hydrochloride in sodium chloride. 100-mg, 10-mL single dose vial—Each milliliter contains 10

mg esmolol hydrochloride, 5.9 mg sodium chloride, USP, and water for injection, USP, buffered with 2.8 mg sodium acetate trihydrate, USP, and 0.546 mg glacial acetic acid, USP. Sodium hydroxide and/or hydrochloric acid added, as necessary to adjust pH to 5.0 (4.5–5.5). 100-mg, 5-mL double-strength single-dose vial—Each milliliter contains 20 mg esmolol hydrochloride, 4.1 mg sodium chloride, USP, and water for injection, USP, buffered with 2.8 mg sodium acetate trihydrate, USP, and 0.546 mg glacial acetic acid, USP. Sodium hydroxide and/or hydrochloric acid added, as necessary to adjust pH to 5.0 (4.5–5.5). Brevibloc Concentrate is a clear, colorless to light yellow, sterile nonpyrogenic concentrate. 2500-mg, 10-mL Ampul—Each milliliter contains 250 mg esmolol hydrochloride in 25% propylene glycol, USP, 25% alcohol, USP, and water for injection, USP, buffered with 17.0 mg sodium acetate trihydrate, USP, and 0.00715 mL glacial acetic acid, USP. Sodium hydroxide and/or hydrochloric acid added, as necessary, to adjust pH to 3.5 to 5.5

- Buphenyl[®] (sodium phenylbutyrate) powder for oral, nasogastric, or gastrostomy tube administration contains sodium phenylbutyrate. Each gram of Buphenyl powder contains 0.94 g of sodium phenylbutyrate and the inactive ingredients calcium stearate and colloidal silicon dioxide
- Ceftin for oral suspension when reconstituted with water provides the equivalent of 125 or 250 mg of cefuroxime (as cefuroxime axetil) per 5 mL of suspension. Ceftin for oral suspension contains the inactive ingredients acesulfame potassium, aspartame, povidone K30, stearic acid, sucrose, tutti-frutti flavoring, and xanthan gum
- Cevimeline (30 mg) The pH of a 1% solution ranges from 4.6 to 5.6. Inactive ingredients include lactose monohydrate, hydroxypropyl cellulose, and magnesium stearate
- Chemet (succimer) opaque white capsule for oral administration contains beads coated with 100 mg of succimer and is imprinted black with CHEMET 100. Inactive ingredients in medicated beads are povidone, sodium starch glycolate, starch, and sucrose. Inactive ingredients in capsule are gelatin, iron oxide, titanium dioxide, and other ingredients
- Colace[®] (docusate sodium) active ingredient: Colace capsules 100 mg contains 100 mg of docusate sodium. Inactive ingredients: D&C red No. 33, FD&C red No. 40, FD&C yellow No. 6, gelatin, glycerin, methylparaben, polyethylene glycol 400, propylene glycol, propylparaben, sorbitol, titanium dioxide. Colace capsules 50 mg contains 50 mg of docusate sodium
- Colyte[®] with flavor packs is a colon lavage preparation provided as water-soluble components for solution. In solution this preparation with one flavor pack added delivers the following in grams per liter. Polyethylene glycol 3350 60.00, sodium chloride 1.46, potassium chloride 0.745, sodium bicarbonate 1.68, sodium sulfate 5.68, flavor ingredients 0.805. When dissolved in sufficient water to make 4 L, the final solution contains 125 mEq/L sodium, 10 mEq/L potassium, 20 mEq/L bicarbonate, 80 mEq/L sulfate, 35 mEq/L chloride, and 18 mEq/L polyethylene glycol 3350. The reconstituted solution is isoosmotic and has a mild salty taste. This preparation can be used without the flavor packs and is administered orally or via nasogastric tube. Each orange flavor pack (3.22 g) contains hypromellose, natural and artificial orange powder, saccharin sodium, colloidal silicon dioxide. Each citrus berry flavor pack (3.22 g) contains hypromellose, artificial citrus berry powder, saccharin sodium, colloidal silicon dioxide. Each lemon lime flavor pack (3.22 g) contains hypromellose, natural and artificial lemon lime powder, Prosweet[®] powder natural, saccharin sodium, colloidal silicon dioxide. Each cherry flavor pack (3.22 g) contains hypromellose, artificial cherry powder, saccharin sodium, colloidal silicon dioxide. Each pineapple flavor pack (3.22 g) contains hypromellose, artificial pineapple flavor powder, Magna Sweet[™], saccharin sodium, colloidal silicon dioxide
- Creon[®] 20 capsules are orally administered and contain 497 mg of delayed-release Minimicrospheres[®] of pancrelipase, which is of porcine pancreatic origin. Each Creon 20 capsule contains lipase 20,000 USP units, protease 75,000 USP units, and amylase 66,400 USP units. Inactive ingredients include dibutyl phthalate, dimethicone, hydroxypropylmethylcellulose phthalate, light mineral oil, and polyethylene glycol. The capsule shells contain gelatin, red iron oxide, titanium dioxide, and yellow iron oxide. The capsule imprinting ink contains dimethicone, 2-ethoxyethanol, shellac, soya lecithin, and titanium dioxide. Creon[®] 10 capsules are orally administered and contain 249 mg of delayed-release Minimicrospheres of pancrelipase, which is of porcine pancreatic origin. Each Creon 10 capsule contains lipase 10,000 USP units, protease 37,500 USP units, and amylase 33,200 USP units. Inactive ingredients include dibutyl phthalate, dimethicone, hydroxypropylmethylcellulose phthalate, light mineral oil, and polyethylene glycol. The capsule shells contain black iron oxide, gelatin, red iron oxide, titanium dioxide, and yellow iron oxide. The capsule imprinting ink contains dimethicone, 2-ethoxyethanol, shellac, soya lecithin, and titanium dioxide. Creon[®] 5 capsules are orally administered and contain 124 mg of delayed-release Minimicrospheres of pancrelipase, which is of porcine pancreatic origin. Each Creon 5 capsule contains lipase 5,000 USP units, protease 18,750 USP units, and amylase 16,600 USP units. Inactive ingredients include dibutyl

- phthalate, dimethicone, hydroxypropylmethylcellulose phthalate, light mineral oil, and polyethylene glycol. The capsule shells contain gelatin, red iron oxide, titanium dioxide, yellow iron oxide, and FD & C blue No. 2. The capsule imprinting ink contains dimethicone, 2-ethoxyethanol, shellac, soya lecithin, and titanium dioxide
- Crixivan* (indinavir sulfate) capsules are formulated as a sulfate salt and are available for oral administration in strengths of 100 mg, 200 mg, 333 mg, and 400 mg of indinavir (corresponding to 125 mg, 250 mg, 416.3 mg, and 500 mg indinavir sulfate, respectively). Each capsule also contains the inactive ingredients anhydrous lactose and magnesium stearate. The capsule shell has the following inactive ingredients and dyes: gelatin, titanium dioxide, silicon dioxide, and sodium lauryl sulfate
 - Cuprimine, penicillamine, for oral administration contain either 125 or 250 mg of penicillamine. Each capsule contains the following inactive ingredients: D&C yellow No. 10, gelatin, lactose, magnesium stearate, and titanium dioxide. The 125-mg capsule also contains iron oxide
 - Cymbalta® (duloxetine hydrochloride) capsule contains enteric-coated pellets of 22.4 mg, 33.7 mg, or 67.3 mg of duloxetine hydrochloride equivalent to 20 mg, 30 mg, or 60 mg of duloxetine, respectively. These enteric-coated pellets are designed to prevent degradation of the drug in the acidic environment of the stomach. Inactive ingredients include FD&C blue No. 2, gelatin, hypromellose, hydroxypropylmethylcellulose acetate succinate, sodium lauryl sulfate, sucrose, sugar spheres, talc, titanium dioxide, and triethyl citrate. The 20- and 60-mg capsules also contain iron oxide yellow
 - Dalmane is available as capsules containing 15- or 30-mg flurazepam hydrochloride. Each 15-mg capsule also contains cornstarch, lactose, magnesium stearate, and talc; gelatin capsule shells contain the following dye systems: D&C red No. 28, FD&C red No. 40, FD&C yellow No. 6, and D&C yellow No. 10. Each 30-mg capsule also contains cornstarch, lactose, and magnesium stearate; gelatin capsule shells contain the following dye systems: FD&C blue No. 1, FD&C yellow No. 6, D&C yellow No. 10, and either FD&C red No. 3 or FD&C red No. 40. Flurazepam hydrochloride is chemically 7-chloro-1-[2-(diethylamino)ethyl]-5-(ofluorophenyl)-1,3-dihydro-2 H-1,4-benzodiazepin-2-one dihydrochloride
 - Dantrium (dantrolene sodium) is supplied in capsules of 25 mg, 50 mg, and 100 mg. Inactive ingredients: Each capsule contains edible black ink, FD&C yellow No. 6, gelatin, lactose, magnesium stearate, starch, synthetic iron oxide red, synthetic iron oxide yellow, talc, and titanium dioxide
 - DDS®-Acidophilus is the source of a special strain of *Lactobacillus acidophilus* free of dairy products, corn, soy, and preservatives. Each capsule or tablet contains 1 billion viable DDS-1 *L. acidophilus* at the time of manufacturing. 1 g of powder contains two billion viable DDS-1 *L. acidophilus*
 - Demser* (Metyrosine) capsule contains 250 mg metyrosine. Inactive ingredients are colloidal silicon dioxide, gelatin, hydroxypropyl cellulose, magnesium stearate, titanium dioxide, and FD&C blue 2
 - Depakene (valproic acid) is a carboxylic acid designated as 2-propylpentanoic acid. Depakene capsules and syrup are antiepileptics for oral administration. Each soft elastic capsule contains 250 mg valproic acid. The syrup contains the equivalent of 250 mg valproic acid per 5 mL as the sodium salt. Inactive ingredients 250-mg capsules: corn oil, FD&C yellow No. 6, gelatin, glycerin, iron oxide, methylparaben, propylparaben, and titanium dioxide
 - Detrol LA capsules contain tolterodine tartrate. Detrol LA for oral administration contains 2 or 4 mg of tolterodine tartrate. Inactive ingredients are sucrose, starch, hypromellose, ethylcellulose, medium-chain triglycerides, oleic acid, gelatin, and FD&C blue No. 2. The 2-mg capsules also contain yellow iron oxide. Both capsule strengths are imprinted with a pharmaceutical grade printing ink that contains shellac glaze, titanium dioxide, propylene glycol, and simethicone
 - Dexedrine (dextroamphetamine sulfate) Spansule sustained-release capsule is so prepared that an initial dose is released promptly and the remaining medication is released gradually over a prolonged period. Each capsule, with brown cap and clear body, contains dextroamphetamine sulfate. The 5-mg capsule is imprinted 5 mg and 3512 on the brown cap and is imprinted 5 mg and SB on the clear body. The 10-mg capsule is imprinted 10 mg and 3513 on the brown cap and is imprinted 10 mg and SB on the clear body. The 15-mg capsule is imprinted 15 mg and 3514 on the brown cap and is imprinted 15 mg and SB on the clear body. A narrow bar appears above and below 15 mg and 3514. Product reformulation in 1996 has caused a minor change in the color of the time-released pellets within each capsule. Inactive ingredients now consist of cetyl alcohol, D&C yellow No. 10, dibutyl sebacate, ethylcellulose, FD&C blue No. 1, FD&C blue No. 1 aluminum lake, FD&C red No. 40, FD&C yellow No. 6, gelatin, hypromellose, propylene glycol, povidone, silicon dioxide, sodium lauryl sulfate, sugar spheres, and trace amounts of other inactive ingredients
 - Divalproex sodium is a stable coordination compound comprised of sodium valproate and valproic acid in a 1: 1 molar relationship and formed during the partial neutralization of valproic acid with 0.5 equivalent of sodium hydroxide. Divalproex sodium occurs as a white powder with a characteristic odor. Depakote Sprinkle Capsules are for oral

- administration. Depakote Sprinkle Capsules contain specially coated particles of divalproex sodium equivalent to 125 mg of valproic acid in a hard gelatin capsule. Inactive ingredients 125-mg Depakote Sprinkle Capsules: cellulosic polymers, D&C red No. 28, FD&C blue No. 1, gelatin, iron oxide, magnesium stearate, silica gel, titanium dioxide, and triethyl citrate
- Dyazide capsule for oral use, with opaque red cap and opaque white body, contains hydrochlorothiazide 25 mg and triamterene 37.5 mg. Inactive ingredients consist of benzyl alcohol, cetylpyridinium chloride, D&C red No. 33, FD&C yellow No. 6, gelatin, glycine, lactose, magnesium stearate, microcrystalline cellulose, povidone, polysorbate 80, sodium starch glycolate, titanium dioxide, and trace amounts of other inactive ingredients. Dyazide capsules meet Drug Release Test 3 as published in the USP 23 monograph for triamterene and hydrochlorothiazide capsules
 - Edecrin, ethacrynic acid, is supplied as 25-mg tablets for oral use. The tablets contain the following Inactive ingredients: colloidal silicon dioxide, lactose, magnesium stearate, starch and talc. Intravenous sodium Edecrin (ethacrynate sodium) is a sterile freeze-dried powder and is supplied in a vial containing ethacrynate sodium equivalent to ethacrynic acid 50 mg. Inactive ingredient: Mannitol 62.5 mg
 - EES (erythromycin ethylsuccinate) granules are intended for reconstitution with water. Each 5-mL teaspoonful of reconstituted cherry-flavored suspension contains erythromycin ethylsuccinate equivalent to 200 mg of erythromycin. The pleasant-tasting, fruit-flavored liquids are supplied ready for oral administration. Inactive: EES granules: citric acid, FD&C red No. 3, magnesium aluminum silicate, sodium carboxymethylcellulose, sodium citrate, sucrose, and artificial flavor
 - Effexor XR is an extended-release capsule for oral administration. Effexor XR is formulated as an extended-release capsule for once-a-day oral administration. Drug release is controlled by diffusion through the coating membrane on the spheroids and is not pH dependent. Capsules contain venlafaxine hydrochloride equivalent to 37.5-mg, 75-mg, or 150-mg venlafaxine. Inactive ingredients consist of cellulose, ethylcellulose, gelatin, hypromellose, iron oxide, and titanium dioxide
 - Eldepryl (selegiline hydrochloride) contains 5 mg selegiline hydrochloride. Inactive ingredients are anhydrous citric acid, lactose, magnesium stearate, and microcrystalline cellulose
 - EMCYT (estramustine phosphate sodium) capsules are white and opaque, each containing estramustine phosphate sodium as the disodium salt monohydrate equivalent to 140 mg estramustine phosphate for oral administration. Each capsule also contains magnesium stearate, silicon dioxide, sodium lauryl sulfate, and talc. Gelatin capsule shells contain the pigment titanium dioxide
 - EMEND* (aprepitant) capsule contains either 80 or 125 mg of aprepitant and the following inactive ingredients: sucrose, microcrystalline cellulose, hydroxypropyl cellulose, and sodium lauryl sulfate. The capsule shell excipients are gelatin, titanium dioxide, and may contain sodium lauryl sulfate and silicon dioxide. The 125-mg capsule also contains red ferric oxide and yellow ferric oxide
 - Encora™ is a prescription vitamin and mineral nutritional supplement with essential fatty acids consisting of two capsules and two tablets on each blister card designated for AM and PM oral administration as follows. The AM tablet is an oval-shaped, light pink film-coated tablet containing the following ingredients: calcium (calcium carbonate) 400 mg, vitamin D₃ (cholecalciferol) 200 IU, vitamin C (as Ester-C®) 25 mg, folic acid USP 2 mg, vitamin B₆ (pyridoxine hydrochloride, USP 25 mg. The PM tablet is an oval-shaped, purple film-coated tablet containing the following ingredients: calcium (calcium carbonate) 600 mg, vitamin D₃ (cholecalciferol) 600 IU, vitamin C (as Ester-C) 25 mg, folic acid USP 0.5 mg, vitamin B₆ (pyridoxine hydrochloride, USP) 12.5 mg. The AM and PM capsules are a pink soft gelatin capsule containing the following ingredients: essential fatty acids (omega-3) 650 mg, DHA and EPA 550 mg, alpha-linolenic acid (ALA) 100 mg, linoleic acid (LA) 10 mg, vitamin E (DL-alpha-tocopheryl acetate) 50 IU. Ester-C is a patented pharmaceutical grade material consisting of calcium ascorbate and calcium threonate. Eicosapentaenoic acid (EPA) to docosahexaenoic acid (DHA) ratio is approximately 2.7: 1. Inactive ingredients: Tablets: acacia, butylated hydroxyanisole, butylated hydroxytoluene, colloidal silicon dioxide, cornstarch, croscarmellose sodium, D&C red No. 27 aluminum lake, hydrolyzed gelatin, lecithin, magnesium stearate, microcrystalline cellulose, polyethylene glycol, polyvinyl alcohol, sodium lauryl sulfate, stearic acid, sucrose, talc, titanium dioxide, and vegetable oil. The AM tablet also contains FD&C blue No. 2 aluminum lake. The PM tablet also contains FD&C blue No. 1 aluminum lake. Capsules: D&C red No. 33, ethyl vanillin, FD&C red No. 40, gelatin, glycerine, soybean oil, and titanium dioxide
 - Entocort® EC capsules contains 3 mg of micronized budesonide with the following inactive ingredients: ethylcellulose, acetyltributyl citrate, methacrylic acid copolymer type C, triethyl citrate, antifoam M, polysorbate 80, talc, and sugar spheres. The capsule shells have the following inactive ingredients: gelatin, iron oxide, and titanium dioxide

- EryPed 200 and EryPed Drops (erythromycin ethylsuccinate for oral suspension) when reconstituted with water forms a suspension containing erythromycin ethylsuccinate equivalent to 200 mg erythromycin per 5 mL (teaspoonful) or 100 mg/2.5 mL (dropperful) with an appealing fruit flavor. EryPed 400 when reconstituted with water forms a suspension containing erythromycin ethylsuccinate equivalent to 400 mg of erythromycin per 5 mL (teaspoonful) with an appealing banana flavor. Inactives: EryPed 200, EryPed 400, and EryPed. Drops: Caramel, polysorbate, sodium citrate, sucrose, xanthan gum, and artificial flavors
- Erythromycin delayed-release capsules contain enteric-coated pellets of erythromycin base for oral administration. Each erythromycin delayed-release capsule contains 250 mg of erythromycin base. Inactive ingredients: cellulosic polymers, citrate ester, D&C red No. 30, D&C yellow No. 10, magnesium stearate, and povidone. The capsule shell contains FD&C blue No. 1, FD&C red No. 3, gelatin, and titanium dioxide
- Eskalith contains lithium carbonate, a white, light alkaline powder. Eskalith capsules with opaque gray cap and opaque yellow body are imprinted with the product name ESKALITH and SB and contain lithium carbonate 300 mg. Inactive ingredients consist of benzyl alcohol, cetylpyridinium chloride, D&C yellow No. 10, FD&C green No. 3, FD&C red No. 40, FD&C yellow No. 6, gelatin, lactose, magnesium stearate, povidone, sodium lauryl sulfate, titanium dioxide, and trace amounts of other inactive ingredients
- Eulexin capsules contain flutamide and cornstarch, lactose, magnesium stearate, povidone, and sodium lauryl sulfate. Gelatin capsule shells may also contain benzyl alcohol, butylparaben, colloidal silicon dioxide, edetate calcium disodium, methylparaben, propylparaben, and sodium propionate, and the following dye systems: FD&C blue No. 1, FD&C red No. 3, FD&C yellow No. 6, titanium dioxide, black ink, and other inactive ingredients
- Exelon® (rivastigmine tartrate) capsules contain rivastigmine tartrate, equivalent to 1.5 mg, 3 mg, 4.5 mg, and 6 mg of rivastigmine base for oral administration. Inactive ingredients are hydroxypropyl methylcellulose, magnesium stearate, microcrystalline cellulose, and silicon dioxide. Each hard gelatin capsule contains gelatin, titanium dioxide, and red and/or yellow iron oxides
- Exubera® consists of blisters containing human insulin inhalation powder, which are administered using the Exubera inhaler. Each unit dose blister of Exubera contains a 1- or 3-mg dose of insulin in a homogeneous powder formulation containing sodium citrate (dihydrate), mannitol, glycine, and sodium hydroxide
- Ferrochel® soft gelatin capsule for oral administration contains iron (as Ferrochel ferrous bis-glycinate chelate elemental iron) 70 mg; vitamin C as Ester-C patented pharmaceutical grade material consisting of calcium ascorbate and calcium threonate; ascorbic acid (as calcium ascorbate) 150 mg; threonic acid (as calcium threonate) 2 mg; vitamin B₁₂ (cyanocobalamin) 10 µg; desiccated stomach substance 100 mg. Inactive ingredients: soybean oil, gelatin, glycerine, yellow beeswax, lecithin (unbleached), titanium dioxide, methyl-/propylparaben blend, ethyl vanillin, FD&C red No. 40, FD&C yellow No. 6, FD&C blue No. 1
- Focalin™ XR (dexmethylphenidate hydrochloride) extended-release capsules are an extended-release formulation of dexmethylphenidate with a bimodal release profile. Focalin XR uses the proprietary SODAS® (spheroidal oral drug absorption system) technology. Each bead-filled Focalin XR capsule contains half the dose as immediate-release beads and half as enteric-coated, delayed-release beads, thus providing an immediate release of dexmethylphenidate and a second delayed release of dexmethylphenidate. Focalin XR 5-mg, 10-mg, and 20-mg capsules provide in a single dose the same amount of dexmethylphenidate as dosages of 2.5 mg, 5 mg, or 10 mg of Focalin™ tablets given bid. Inactive ingredients: ammonio methacrylate copolymer, FD&C blue No. 2 (5-mg strength), FDA/E172 yellow iron oxide (10-mg strength), gelatin, ink tan SW-8010, methacrylic acid copolymer, polyethylene glycol, sugar spheres, talc, titanium dioxide, and triethyl citrate
- Foradil® Aerolizer® consists of a capsule dosage form containing a dry powder formulation of Foradil (formoterol fumarate) intended for oral inhalation only with the Aerolizer inhaler. Each clear, hard gelatin capsule contains a dry powder blend of 12 µg of formoterol fumarate and 25 mg of lactose as a carrier
- Geodon® is available as Geodon capsules (ziprasidone hydrochloride) for oral administration and as Geodon for injection (ziprasidone mesylate) for intramuscular injection
- Geodon capsules contain a monohydrochloride, monohydrate salt of ziprasidone. Geodon capsules are supplied for oral administration in 20 mg (blue/white), 40 mg (blue/blue), 60 mg (white/white), and 80 mg (blue/white) capsules. Geodon capsules contain ziprasidone hydrochloride monohydrate, lactose, pregelatinized starch, and magnesium stearate
- Hep-Forte capsule contains vitamin A (palmitate) 1, 200 IU, vitamin E (d-alpha tocopherol) 10 IU, vitamin C (ascorbic acid) 10 mg, folic acid 0.06 mg, vitamin B₁ (thiamine mononitrate) 1 mg, vitamin B₂ (riboflavin) 1 mg, niacinamide 10 mg, vitamin B₆ (pyridoxine HCl) 0.5 mg, vitamin B₁₂ (cobalamin)

- 1 µg, biotin 3.3 µg, pantothenic acid 2 mg, choline bitartrate 21 mg, zinc (zinc sulfate) 2 mg, desiccated liver 194.4 mg, liver concentrate 64.8 mg, liver fraction number 2 64.8 mg, yeast (dried) 64.8 mg, DL-methionine 10 mg, inositol 10 mg. Other ingredients: microcrystalline cellulose, stearic acid, croscarmellose sodium, silicon dioxide, magnesium stearate, titanium dioxide coating
- Hexalen (altretamine) capsules contain 50 mg of altretamine for oral administration. Inert ingredients include lactose, anhydrous and calcium stearate. Altretamine is a white crystalline powder melting at $172^{\circ}\text{C} \pm 1^{\circ}\text{C}$
 - Hytrin (terazosin hydrochloride) is supplied in four dosage strengths containing terazosin hydrochloride equivalent to 1 mg, 2 mg, 5 mg, or 10 mg of terazosin. Inactive ingredients: 1-mg capsules: gelatin, glycerin, iron oxide, methylparaben, mineral oil, polyethylene glycol, povidone, propylparaben, titanium dioxide, and vanillin. 2-mg capsules: D&C yellow No. 10, gelatin, glycerin, methylparaben, mineral oil, polyethylene glycol, povidone, propylparaben, titanium dioxide, and vanillin. 5-mg capsules: D&C red No. 28, FD&C red No. 40, gelatin, glycerin, methylparaben, mineral oil, polyethylene glycol, povidone, propylparaben, titanium dioxide, and vanillin. 10-mg capsules: FD&C blue No. 1, gelatin, glycerin, methylparaben, mineral oil, polyethylene glycol, povidone, propylparaben, titanium dioxide, and vanillin
 - Imodium® (loperamide hydrochloride) is available in 2-mg capsules. The inactive ingredients are lactose, cornstarch, talc, and magnesium stearate. Imodium capsules contain FD&C yellow No. 6
 - Indocin for oral administration contain either 25 or 50 mg of indomethacin and the following inactive ingredients: colloidal silicon dioxide, FD&C blue 1, FD&C red 3, gelatin, lactose, lecithin, magnesium stearate, and titanium dioxide
 - Inspra for oral administration contains 25 or 50 mg of eplerenone and the following inactive ingredients: lactose, microcrystalline cellulose, croscarmellose sodium, hypromellose, sodium lauryl sulfate, talc, magnesium stearate, titanium dioxide, polyethylene glycol, polysorbate 80, and iron oxide yellow, and iron oxide red
 - Kadian® capsules 20 mg, 30 mg, 50 mg, 60 mg and 100 mg contain identical polymer-coated sustained-release pellets of morphine sulfate for oral administration. Each Kadian sustained-release capsule contains either 20 mg, 30 mg, 50 mg, 60 mg, or 100 mg of morphine sulfate USP and the following inactive ingredients common to all strengths: hypromellose, ethylcellulose, methacrylic acid copolymer, polyethylene glycol, diethyl phthalate, talc, cornstarch, and sucrose. The 20-mg capsule shell contains gelatin, silicon dioxide, sodium lauryl sulfate, D&C yellow No. 10, titanium dioxide, and black ink SW-9009. The 30-mg capsule shell contains gelatin, silicon dioxide, sodium lauryl sulfate, FD&C red No. 3, FD&C blue No. 1, titanium dioxide and black ink S-1-8114 or S-1-8115. The 50-mg capsule shell contains gelatin, silicon dioxide, sodium lauryl sulfate, D&C red No. 28, FD&C red No. 40, FD&C blue No. 1, titanium dioxide, and black ink SW-9009. The 60-mg capsule shell contains gelatin, silicon dioxide, sodium lauryl sulfate, D&C red No. 28, FD&C red No. 40, FD&C blue No. 1, titanium dioxide, and black ink S-1-8114 or S-1-8115. The 100-mg capsule shell contains gelatin, silicon dioxide, sodium lauryl sulfate, D&C yellow No. 10, FD&C blue No. 1, titanium dioxide, and black ink SW-9009
 - K-LOR (potassium chloride for oral solution, USP) packet of 20 mEq powder contains potassium 20 mEq and chloride 20 mEq provided by potassium chloride 1.5 g. Inactive ingredients: FD&C yellow No. 6, maltodextrin (contains corn derivative), malic acid, saccharin, silica gel, and natural flavoring
 - Kristalose™ (lactulose) is a synthetic disaccharide in the form of crystals for reconstitution prior to use for oral administration. Each 10 g of lactulose contains less than 0.3 g galactose and lactose as a total sum. The pH range is 3 to 7. Lactulose is a colonic acidifier, which promotes laxation
 - Lanoxicaps (digoxin) is a stable solution of digoxin enclosed within a soft gelatin capsule for oral use. Each capsule contains the labeled amount of digoxin USP dissolved in a solvent comprised of polyethylene glycol 400 USP, 8 percent ethyl alcohol, propylene glycol USP, and purified water USP. Inactive ingredients in the capsule shell include D&C yellow No. 10 (0.1-mg and 0.2-mg capsules), FD&C blue No. 1 (0.2-mg capsule), gelatin, glycerin, methylparaben and propylparaben (added as preservatives), purified water, and sorbitol. Capsules are printed with edible ink
 - Lescol® (fluvastatin sodium) is supplied as extended-release tablets containing fluvastatin sodium, equivalent to 80 mg of fluvastatin, for oral administration. Active ingredient: fluvastatin sodium. Inactive ingredients in capsules: gelatin, magnesium stearate, microcrystalline cellulose, pregelatinized starch (corn), red iron oxide, sodium lauryl sulfate, talc, titanium dioxide, yellow iron oxide, and other ingredients. Capsules may also include benzyl alcohol, black iron oxide, butylparaben, carboxymethylcellulose sodium, edetate calcium disodium, methylparaben, propylparaben, silicon dioxide, and sodium propionate. Inactive ingredients in extended-release tablets: microcrystalline cellulose, hydroxypropyl cellulose, hydroxypropylmethylcellulose, potassium bicarbonate, povidone, magnesium stearate, iron oxide yellow, titanium dioxide, and polyethylene glycol 8000

- Lotrel is a combination of amlodipine besylate and benazepril hydrochloride. The capsules are formulated in four different strengths for oral administration with a combination of amlodipine besylate equivalent to 2.5 mg, 5 mg, or 10 mg of amlodipine, with 10 or 20 mg of benazepril hydrochloride providing for the following available combinations: 2.5/10 mg, 5/10 mg, 5/20 mg, and 10/20 mg. The inactive ingredients of the capsules are calcium phosphate, cellulose compounds, colloidal silicon dioxide, crospovidone, gelatin, hydrogenated castor oil, iron oxides, lactose, magnesium stearate, polysorbate 80, silicon dioxide, sodium lauryl sulfate, sodium starch (potato) glycolate, starch (corn), talc, and titanium dioxide
- Lyrica (pregabalin) capsules are supplied as imprinted hard shell capsules containing 25 mg, 50 mg, 75 mg, 100 mg, 150 mg, 200 mg, 225 mg, and 300 mg of pregabalin, along with lactose monohydrate, cornstarch, and talc as inactive ingredients. The capsule shells contain gelatin and titanium dioxide. In addition, the orange capsule shells contain red iron oxide and the white capsule shells contain sodium lauryl sulfate and colloidal silicon dioxide. Colloidal silicon dioxide is a manufacturing aid that may or may not be present in the capsule shells. The imprinting ink contains shellac, black iron oxide, propylene glycol, and potassium hydroxide
- Marinol[®] capsule, dronabinol, is supplied as round, soft gelatin capsules containing either 2.5 mg, 5 mg, or 10 mg dronabinol. Each Marinol capsule is formulated with the following inactive ingredients: FD&C blue No. 1 (5 mg), FD&C red No. 40 (5 mg), FD&C yellow No. 6 (5 mg and 10 mg), gelatin, glycerin, methylparaben, propylparaben, sesame oil, and titanium dioxide
- Matulane (procarbazine hydrochloride) is available as capsules containing the equivalent of 50 mg procarbazine as the hydrochloride. Each capsule also contains cornstarch, mannitol, and talc. Gelatin capsule shells contain parabens (methyl and propyl), potassium sorbate, titanium dioxide, FD&C yellow No. 6, and D&C yellow No. 10
- Maxair Autohaler (pirbuterol acetate) is a pressurized metered-dose aerosol unit for oral inhalation. It provides a fine-particle suspension of pirbuterol acetate in the propellant mixture of trichloromonofluoromethane and dichlorodifluoromethane with sorbitan trioleate. Each actuation delivers 253 µg of pirbuterol (as pirbuterol acetate) from the valve and 200 µg of pirbuterol (as pirbuterol acetate) from the mouthpiece. The unit is breath-actuated such that the medication is delivered automatically during inspiration without the need for the patient to coordinate actuation with inspiration. Each 14.0 g canister provides 400 inhalations and each 2.8 g canister provides 80 inhalations
- Meridia[®] (sibutramine hydrochloride monohydrate) capsule contains 5 mg, 10 mg, and 15 mg of sibutramine hydrochloride monohydrate. It also contains as inactive ingredients: lactose monohydrate, NF; microcrystalline cellulose, NF; colloidal silicon dioxide, NF; and magnesium stearate, NF in a hard-gelatin capsule [which contains titanium dioxide, USP; gelatin; FD&C blue No. 2 (5- and 10-mg capsules only); D&C yellow No. 10 (5- and 15-mg capsules only), and other inactive ingredients]
- Metadate CD is a central nervous system (CNS) stimulant. The extended-release capsules comprise both immediate-release (IR) and extended-release (ER) beads such that 30% of the dose is provided by the IR component and 70% of the dose is provided by the ER component. Metadate CD is available in three capsule strengths containing 10 mg (3 mg IR; 7 mg ER), 20 mg (6 mg IR; 14 mg ER), or 30 mg (9 mg IR; 21 mg ER) of methylphenidate hydrochloride for oral administration. Metadate CD also contains the following inert ingredients: sugar spheres, povidone, hydroxypropylmethylcellulose and polyethylene glycol, ethylcellulose aqueous dispersion, dibutyl sebacate, gelatin, titanium dioxide, FD&C blue No. 2, FDA/E172 yellow iron oxide (10-mg capsules), FDA/E172 red iron oxide (30-mg capsules)
- Metamucil contains psyllium husk (from the plant *Plantago ovata*). Each dose of Metamucil powder and Metamucil fiber wafers contains approximately 3.4 g of psyllium husk (or 2.4 g of soluble fiber). A listing of ingredients and nutrition information is available in the listing of Metamucil fiber laxative in the Nonprescription Drug section. Metamucil smooth texture sugar-free regular flavor and Metamucil capsules contain no sugar and no artificial sweeteners. Metamucil smooth texture sugar-free orange flavor contains aspartame (phenylalanine content of 25 mg per dose). Metamucil powdered products are gluten-free
- Nalfon[®] (fenoprofen calcium capsules, USP) contain fenoprofen calcium as the dihydrate in an amount equivalent to 200 mg (0.826 mmol) or 300 mg (1.24 mmol) of fenoprofen. The capsules also contain cellulose, gelatin, iron oxides, silicone, titanium dioxide, and other inactive ingredients. The 300-mg capsules also contain D&C yellow No. 10 and FD&C yellow No. 6
- Neurontin[®] (gabapentin) capsules are supplied as imprinted hard shell capsules containing 100 mg, 300 mg, and 400 mg of gabapentin. The inactive ingredients for the capsules are lactose, cornstarch, and talc. The 100-mg capsule shell contains gelatin and titanium dioxide. The 300-mg capsule shell contains gelatin, titanium dioxide, and yellow iron oxide. The 400-mg capsule shell contains gelatin, red iron oxide, titanium dioxide, and yellow iron

- oxide. The imprinting ink contains FD&C blue No. 2 and titanium dioxide
- Nexium[®] (esomeprazole magnesium) delayed-release capsules contain 20 or 40 mg of esomeprazole (present as 22.3 or 44.5 mg esomeprazole magnesium trihydrate) in the form of enteric-coated pellets with the following inactive ingredients: glyceryl monostearate 40–50, hydroxypropyl cellulose, hypromellose, magnesium stearate, methacrylic acid copolymer type C, polysorbate 80, sugar spheres, talc, and triethyl citrate. The capsule shells have the following inactive ingredients: gelatin, FD&C blue No. 1, FD&C red No. 40, D&C red No. 28, titanium dioxide, shellac, ethyl alcohol, isopropyl alcohol, N-butyl alcohol, propylene glycol, sodium hydroxide, polyvinyl pyrrolidone, and D&C yellow No. 10
 - Nimotop[®] (nimodipine) capsules are formulated as soft gelatin capsules for oral administration. Each liquid-filled capsule contains 30 mg of nimodipine in a vehicle of glycerin, peppermint oil, purified water, and polyethylene glycol 400. The soft gelatin capsule shell contains gelatin, glycerin, purified water, and titanium dioxide
 - Norvasc[®] is the besylate salt of amlodipine. Norvasc (amlodipine besylate) tablets are formulated as white tablets equivalent to 2.5 mg, 5 mg, and 10 mg of amlodipine for oral administration. In addition to the active ingredient, amlodipine besylate, each tablet contains the following inactive ingredients: microcrystalline cellulose, dibasic calcium phosphate anhydrous, sodium starch glycolate, and magnesium stearate
 - Norvir (ritonavir) soft gelatin capsules are available for oral administration in a strength of 100 mg ritonavir with the following inactive ingredients: butylated hydroxytoluene, ethanol, gelatin, iron oxide, oleic acid, polyoxyl 35 castor oil, and titanium dioxide
 - Omnicef[®] (cefdinir) capsules contain 300 mg cefdinir and the following inactive ingredients: carboxymethylcellulose calcium, NF; polyoxyl 40 stearate, NF; and magnesium stearate, NF. The capsule shells contain FD&C blue No. 1; FD&C red No. 40; D&C red No. 28; titanium dioxide, NF; gelatin, NF; silicon dioxide, NF; and sodium lauryl sulfate, NF
 - OxyIR[®] oxycodone is 14-hydroxydihydrocodonone, a white odorless crystalline powder which is derived from the opium alkaloid thebaine. OxyIR oral capsules: Each 5 mg of OxyIR capsules contains oxycodone hydrochloride. 5 mg: Inactive ingredients: FD&C blue No. 2, FD&C yellow No. 6, gelatin, hypromellose, maize starch, polyethylene glycol, polysorbate 80, red iron oxide, silicon dioxide, sodium laurel sulfate, sucrose, titanium dioxide, and yellow iron oxide
 - Pentasa (mesalamine) for oral administration is a controlled-release formulation of mesalamine. Each 250-mg capsule contains 250 mg of mesalamine and acetylated monoglyceride, castor oil, colloidal silicon dioxide, ethylcellulose, hydroxypropyl methylcellulose, starch, stearic acid, sugar, talc, and white wax. The capsule shell contains D&C yellow No. 10, FD&C blue No. 1, FD&C green No. 3, gelatin, titanium dioxide, and other ingredients. Each 500-mg capsule contains 500 mg of mesalamine. It also contains the following inactive ingredients: acetylated monoglyceride, castor oil, colloidal silicon dioxide, ethylcellulose, hydroxypropyl methylcellulose, starch, stearic acid, sugar, talc, and white wax. The capsule shell contains FD&C blue No. 1, gelatin, titanium dioxide, and other ingredients
 - Phenytek[®] (phenytoin sodium) capsule (extended phenytoin sodium capsule, USP) for oral administration contains 200 or 300 mg of phenytoin sodium. Each capsule also contains the following inactive ingredients: black iron oxide, colloidal silicon dioxide, D&C yellow no. 10 aluminum lake, FD&C blue No. 1, FD&C blue no. 1 aluminum lake, FD&C blue no. 2 aluminum lake, FD&C red no. 40 aluminum lake, gelatin, hydroxyethyl cellulose, magnesium oxide, magnesium stearate, microcrystalline cellulose, pharmaceutical glaze, povidone, propylene glycol, silicon dioxide, sodium lauryl sulfate and titanium dioxide. Phenytek capsules, 200 mg and 300 mg, meet USP Dissolution Test 3
 - PhosLo Gelcaps (calcium acetate) contains 667 mg calcium acetate, USP [anhydrous; Ca (CH₃COO)₂; MW = 158.17 g] equal to 169 mg (8.45 mEq) calcium, and 10 mg of the inert binder, polyethylene glycol 8000 NF. The gelatin cap and body have the following inactive ingredients: FD&C blue No. 1, D&C red No. 28, titanium dioxide, USP, and gelatin, USP
 - Precare. Each powder-filled capsule for oral administration contains Ferrochel (elemental iron) 80 mg, polysaccharide iron (elemental iron) 70 mg, vitamin C as Ester-C, ascorbic acid (as calcium ascorbate) 60 mg, threonic acid (as calcium threonate) 0.8 mg, folic acid, USP 1 mg, vitamin B₁₂ (cyanocobalamin) 25 µg. Ferrochel (ferrous bisglycinate chelate) is a registered trademark of Albion International, Inc., Clearfield, Utah, and is protected under U. S. Patent Nos. 4, 599, 152 and 4, 830, 716. Ester-C is a patented pharmaceutical grade material consisting of calcium ascorbate and calcium threonate. Ester-C is a licensed trademark of Zila Nutraceuticals, Inc. Inactive ingredients: Magnesium stearate, silicon dioxide, gelatin, titanium dioxide, FD&C red No. 40, D&C red No. 28, FD&C blue No. 1, pharmaceutical glaze
 - Prelief powder: Each one-fourth teaspoon usage of powder is comparable to two tablets. The powder dissolves rapidly in food or nonalcoholic beverages. Tablets are recommended for taking with alcoholic beverages

- Prevacid® (lansoprazole) delayed-release capsules contain the active ingredient, lansoprazole, in the form of enteric-coated granules and are available in two dosage strengths: 15 mg and 30 mg of lansoprazole per capsule. Each delayed-release capsule contains enteric-coated granules consisting of lansoprazole, hydroxypropyl cellulose, low substituted hydroxypropylcellulose, colloidal silicon dioxide, magnesium carbonate, methacrylic acid copolymer, starch, talc, sugar sphere, sucrose, polyethylene glycol, polysorbate 80, and titanium dioxide. Components of the gelatin capsule include gelatin, titanium dioxide, D&C red No. 28, FD&C blue No. 1, FD&C green No. 3, and FD&C red No. 40
- Prevacid NapraPAC™ 375 is a combination package containing Naprosyn 375-mg tablets and Prevacid 15-mg capsules. Prevacid NapraPAC™ 500 is a combination package containing Naprosyn 500-mg tablets and Prevacid 15-mg capsules. Naprosyn tablets contain 250 mg, 375 mg, or 500 mg of naproxen (active ingredient) and croscarmellose sodium, iron oxides, povidone, and magnesium stearate (inactive ingredients). Prevacid capsules contain enteric-coated granules consisting of active ingredient, lansoprazole (15 mg), and inactive ingredients, hydroxypropyl cellulose, low substituted hydroxypropyl cellulose, colloidal silicon dioxide, magnesium carbonate, methacrylic acid copolymer, starch, talc, sugar sphere, sucrose, polyethylene glycol, polysorbate 80, and titanium dioxide. Components of the gelatin capsule include gelatin, titanium dioxide, D&C red No. 28, FD&C blue No. 1, FD&C green No. 3, and FD&C red No. 40 (inactive ingredients)
- Prevpac consists of a daily administration pack containing two Prevacid 30-mg capsules, four amoxicillin 500-mg capsules, USP, and two clarithromycin 500-mg tablets, USP, for oral administration. Prevacid (lansoprazole) delayed-release capsules. Each delayed-release capsule contains enteric-coated granules consisting of lansoprazole (30 mg), hydroxypropyl cellulose, low substituted hydroxypropyl cellulose, colloidal silicon dioxide, magnesium carbonate, methacrylic acid copolymer, starch, talc, sugar sphere, sucrose, polyethylene glycol, polysorbate 80, and titanium dioxide. Components of the gelatin capsule include gelatin, titanium dioxide, D&C red No. 28, FD&C blue No. 1, and FD&C red No. 40. The yellow opaque capsules contain amoxicillin trihydrate equivalent to 500 mg of amoxicillin. Inactive ingredients: Capsule shells—yellow ferric oxide, titanium dioxide, gelatin, black ferric oxide. Capsule contents—cellulose microcrystalline and magnesium stearate
- PrimaCare® is a prescription prenatal/postnatal multivitamin/mineral capsule and tablet combination with essential fatty acids that consists of two dosage forms on each blister card designated as AM and PM, as follows: The AM dose is a dye-free, white soft gelatin capsule containing the following ingredients: essential fatty acids (as OmegaNate™): omega-3 fatty acids 300 mg, linoleic acid 30 mg, linolenic acid 30 mg, vitamin D₃ (cholecalciferol), 170 IU vitamin E (DL-alpha-tocopheryl acetate) 30 IU, calcium (calcium carbonate) 150 mg. The PM dose is a dye-free, oval shaped pink film-coated tablet containing the following ingredients: biotin 35 µg; folic acid, USP I mg; vitamin B₁ (thiamine mononitrate, USP) 3 mg; vitamin B₂ (riboflavin, USP) 3.4 mg; vitamin B₃ (niacinamide) 20 mg; vitamin B₆ (pyridoxine HCl, USP) 50 mg; vitamin B₁₂ (cyanocobalamin) 12 µg; vitamin C (as Ester-C) 100 mg; vitamin D₃ (cholecalciferol) 230 IU; vitamin K 90 µg; pantothenic acid 7 mg; calcium (as CalciPure™ calcium carbonate) 250 mg; chromium 45 µg; copper (cupric oxide) 1.3 mg; iron (as MicroMask® ferrous fumarate) 30 mg; molybdenum 50 µg; selenium 75 µg; zinc (zinc oxide, USP) II mg. Ester-C is a patented pharmaceutical grade material consisting of calcium ascorbate and calcium threonate. Ester-C is a licensed trademark of Zila Nutraceuticals, Inc. Inactive ingredients: Capsule: Natural wax, natural oils, and other ingredients. Dye free. Tablet: Cellulose polymers, flow agents, natural wax, natural oils, flavor, and other ingredients. Dye free
- PrimaCare ONE is a prescription prenatal/postnatal multivitamin/mineral capsule with essential fatty acids. Each purple soft gelatin capsule contains omega-3 fatty acids 300 mg, linoleic acid 30 mg, linolenic acid 30 mg. Folic acid, USP 1 mg; vitamin B₆ (as pyridoxine HCl) 25 mg; vitamin C (as Ester-C) 25 mg; vitamin D₃ (from cholecalciferol) 170 IU; vitamin E (from DL-alpha-tocopheryl acetate) 30 IU; calcium 150 mg; iron (as carbonyl iron) 27 mg. Inactive ingredients: Gelatin, vegetable shortening, glycerin, soybean oil, yellow beeswax, lecithin, titanium dioxide, methylparaben, ethylvanillin, D&C red No. 33, propylparaben, FD&C blue No. 1
- Prograf capsules (tacrolimus capsules) contain the equivalent of 0.5 mg, 1 mg, or 5 mg of anhydrous tacrolimus. Inactive ingredients include lactose, hydroxypropyl methylcellulose, croscarmellose sodium, and magnesium stearate. The 0.5-mg capsule shell contains gelatin, titanium dioxide, and ferric oxide; the 1-mg capsule shell contains gelatin and titanium dioxide; and the 5-mg capsule shell contains gelatin, titanium dioxide, and ferric oxide
- Prometrium® (progesterone, USP) capsules contain micronized progesterone for oral administration. Prometrium capsules are available in multiple strengths to afford dosage flexibility for optimum management. Prometrium capsules contain 100 or 200 mg micronized progesterone. The inactive ingredients for Prometrium capsules 100 mg include peanut oil NF, gelatin NF, glycerin USP, lecithin

- NF, titanium dioxide USP, D&C yellow No. 10, and FD&C red No. 40. The inactive ingredients for Prometrium capsules 200 mg include peanut oil NF, gelatin NF, glycerin USP, lecithin NF, titanium dioxide USP, D&C yellow No. 10, and FD&C yellow No. 6
- Prozac® (fluoxetine hydrochloride) Weekly™ capsules, a delayed-release formulation, contain enteric-coated pellets of fluoxetine hydrochloride equivalent to 90 mg (291 μmol) of fluoxetine. The capsules also contain D&C yellow No. 10, FD&C blue No. 2, gelatin, hypromellose, hydroxypropyl methylcellulose acetate succinate, sodium lauryl sulfate, sucrose, sugar spheres, talc, titanium dioxide, triethyl citrate, and other inactive ingredients
 - Rebetol contains ribavirin. Each capsule consists of a white powder in a white, opaque gelatin capsule. Each capsule contains 200 mg ribavirin and the inactive ingredients microcrystalline cellulose, lactose monohydrate, croscarmellose sodium, and magnesium stearate. The capsule shell consists of gelatin, sodium lauryl sulfate, silicon dioxide, and titanium dioxide. The capsule is printed with edible blue pharmaceutical ink which is made of shellac, anhydrous ethyl alcohol, isopropyl alcohol, *N*-butyl alcohol, propylene glycol, ammonium hydroxide, and FD&C blue No. 2 aluminum lake
 - ReishiMax® GLp is a proprietary, standardized extract of Reishi (*Ganoderma lucidum*) mushroom. ReishiMax supports healthy immune system function by stimulating cell-mediated immunity. Each capsule contains 495 mg of standardized Reishi mushroom extract and 5 mg of Reishi cracked spores standardized to 6% triterpenes and 13.5% polysaccharides
 - Relenza is zanamivir. Rotadisk® contains four regularly spaced double-foil blisters with each blister containing a powder mixture of 5 mg of zanamivir and 20 mg of lactose (which contains milk proteins). The contents of each blister are inhaled using a specially designed breath-activated plastic device for inhaling powder called the Diskhaler. After a Relenza Rotadisk is loaded into the Diskhaler, a blister that contains medication is pierced and the zanamivir is dispersed into the air stream created when the patient inhales through the mouthpiece. The amount of drug delivered to the respiratory tract will depend on patient factors such as inspiratory flow. Under standardized in vitro testing, Relenza Rotadisk delivers 4 mg of zanamivir from the Diskhaler device when tested at a pressure drop of 3 kPa (corresponding to a flow rate of about 62–65 L/minutes) for three seconds
 - Retrovir (zidovudine) capsules are for oral administration. Each capsule contains 100 mg of zidovudine and the inactive ingredients cornstarch, magnesium stearate, microcrystalline cellulose, and sodium starch glycolate. The 100-mg empty hard gelatin capsule, printed with edible black ink, consists of black iron oxide, dimethylpolysiloxane, gelatin, pharmaceutical shellac, soya lecithin, and titanium dioxide. The blue band around the capsule consists of gelatin and FD&C blue No. 2
 - Ritalin LA® (methylphenidate hydrochloride) extended-release capsules are an extended-release formulation of methylphenidate with a bimodal release profile. Ritalin LA uses the proprietary SODAS™ (Spheroidal Oral Drug Absorption System) technology. Each bead-filled Ritalin LA capsule contains half the dose as immediate-release beads and half as enteric-coated, delayed-release beads, thus providing an immediate release of methylphenidate and a second delayed release of methylphenidate. Ritalin LA 10-mg, 20-mg, 30-mg, and 40-mg capsules provide in a single dose the same amount of methylphenidate as dosages of 5 mg, 10 mg, 15 mg, or 20 mg of Ritalin® tablets given bid. Inactive ingredients: ammonio methacrylate copolymer, black iron oxide (10- and 40-mg capsules only), gelatin, methacrylic acid copolymer, polyethylene glycol, red iron oxide (10- and 40-mg capsules only), sugar spheres, talc, titanium dioxide, triethyl citrate, and yellow iron oxide (10-mg, 30-mg, and 40-mg capsules only)
 - Robitussin capsule. Active ingredients (in each capsule): guaifenesin, USP 200 mg, pseudoephedrine HCl USP 30 mg. Inactive ingredients: FD&C green no. 3, gelatin, glycerin, mannitol, pharmaceutical glaze, polyethylene glycol, povidone, propylene glycol, sorbitan, sorbitol, titanium dioxide, water. Active ingredients (in each capsule): acetaminophen, USP 250 mg; dextromethorphan HBr, USP 10 mg; guaifenesin, USP 100 mg; pseudoephedrine HCl, USP 30 mg. Inactive ingredients: D&C yellow no. 10, FD&C red no. 40, fractionated coconut oil, gelatin, glycerin, mannitol, pharmaceutical ink, polyethylene glycol, povidone, propylene glycol, purified water, sorbitol, sorbitol anhydrides. Active ingredients (in each capsule): dextromethorphan HBr, USP 10 mg; guaifenesin, USP 200 mg; pseudoephedrine HCl, USP 30 mg. Inactive ingredients (Capsules): FD&C blue no. 1, FD&C red no. 40, gelatin, glycerin, mannitol, pharmaceutical glaze, polyethylene glycol, povidone, propylene glycol, sorbitan, sorbitol, titanium dioxide, water
 - Senokot™ brand wheat bran, made with 100% natural bran, provides 4.6 g of wheat bran per serving. Ingredients: Orange flavor: fructose, wheat bran, sucrose, gum arabic, citric acid, locust bean gum, natural orange flavor, beta-carotene, xanthan gum. Calories 70, sodium 5 mg, total carbohydrates 16 g, dietary fiber 3 g, soluble fiber 1 g, insoluble fiber 2 g, sugars 12 g, iron 0.6 mg
 - Serevent Diskus (salmeterol xinafoate inhalation powder) is a specially designed plastic inhalation

delivery system containing a double-foil blister strip of a powder formulation of salmeterol xinafoate intended for oral inhalation only. The Diskus[®], which is the delivery component, is an integral part of the drug product. Each blister on the double-foil strip within the unit contains 50 µg of salmeterol administered as the salmeterol xinafoate salt in 12.5 mg of formulation containing lactose (which contains milk proteins). After a blister-containing medication is opened by activating the Diskus, the medication is dispersed into the air stream created by the patient inhaling through the mouthpiece. Under standardized in vitro test conditions, Serevent Diskus delivers 47 µg when tested at a flow rate of 60 L/min for two seconds. In adult patients with obstructive lung disease and severely compromised lung function [mean forced expiratory volume in 1 second (FEV₁) 20–30% of predicted], mean peak inspiratory flow (PIF) through a Diskus was 82.4 L/min (range 46.1–115.3 L/min). The actual amount of drug delivered to the lung will depend on patient factors, such as inspiratory flow profile

- Seromycin[®] (cycloserine capsules, USP) capsule contains cycloserine, 250 mg (2.45 mmol); D&C yellow No. 10; FD&C blue No. 1; FD&C red No. 3; FD&C yellow No. 6; gelatin; iron oxide; talc; titanium dioxide; and other inactive ingredients
- Soriatane (acitretin), a retinoid, is available in 10-mg and 25-mg gelatin capsules for oral administration. Each capsule contains acitretin, microcrystalline cellulose, sodium ascorbate, gelatin, black monogramming ink, and maltodextrin (a mixture of polysaccharides). Gelatin capsule shells contain gelatin, iron oxide (yellow, black, and red), and titanium dioxide. They may also contain benzyl alcohol, carboxymethylcellulose sodium, edetate calcium disodium
- Sutent[®] (atomoxetine HCl) capsule contains atomoxetine HCl equivalent to 10 mg, 18 mg, 25 mg, 40 mg, or 60 mg of atomoxetine. The capsules also contain pregelatinized starch and dimethicone. The capsule shells contain gelatin, sodium lauryl sulfate, and other inactive ingredients. The capsule shells also contain one or more of the following: FD&C blue No. 2, synthetic yellow iron oxide, titanium dioxide. The capsules are imprinted with edible black ink.
- Suprax (cefixime) for oral suspension is a semisynthetic cephalosporin antibiotic for oral administration. After reconstitution each teaspoonful (5 mL) of suspension contains 100 mg of cefixime as the trihydrate. In addition, the suspension contains the following inactive ingredients: strawberry flavor, sodium benzoate, sucrose, colloidal silicon dioxide, and xanthan gum.
- Surmontil (trimipramine maleate) capsules contain trimipramine maleate equivalent to 25 mg, 50 mg, or 100 mg of trimipramine as the base. The inactive ingredients present are black ink, FD&C blue 1, gelatin, lactose, magnesium stearate, and titanium dioxide. The 25-mg dosage strength also contains benzyl alcohol, D&C yellow 10, edetate calcium disodium, FD&C yellow 6, parabens (butyl, propyl, and methyl), sodium lauryl sulfate, and sodium propionate; the 50-mg dosage strength also contains benzyl alcohol, D&C red 28, edetate calcium disodium, FD&C red 40, FD&C yellow 6, parabens (butyl, propyl, and methyl), sodium lauryl sulfate, and sodium propionate
- Sutent[®] (sunitinib malate) capsules are supplied as printed hard shell capsules containing sunitinib malate equivalent to 12.5 mg, 25 mg, or 50 mg of sunitinib together with mannitol, croscarmellose sodium, povidone (K-25), and magnesium stearate as inactive ingredients. The orange gelatin capsule shells contain titanium dioxide and red iron oxide. The caramel gelatin capsule shells also contain yellow iron oxide and black iron oxide. The printing ink contains shellac, propylene glycol, sodium hydroxide, povidone, and titanium dioxide
- Symbyax[®] (olanzapine and fluoxetine HCl capsules) combines two psychotropic agents, olanzapine (the active ingredient in Zyprexa[®] and Zyprexa Zydis[®]) and fluoxetine hydrochloride (the active ingredient in Prozac, Prozac Weekly[™], and Sarafem[®]). Symbyax capsules are available for oral administration in the following strength combinations: 6 mg/25 mg, 6 mg/50 mg, 12 mg/25 mg, 12 mg/50 mg. Each capsule also contains pregelatinized starch, gelatin, dimethicone, titanium dioxide, sodium lauryl sulfate, edible black ink, red iron oxide, yellow iron oxide, and/or black iron oxide
- Targretin[®] (bexarotene) capsule also contains the following inactive ingredients: polyethylene glycol 400, NF; polysorbate 20, NF; povidone, USP; and butylated hydroxyanisole, NF. The capsule shell contains gelatin, NF; sorbitol special-glycerin blend; and titanium dioxide, USP
- TEGREEN[®] is a standardized decaffeinated polyphenol extract of the fresh green tea leaves with proven free radical scavenging and antioxidant properties. Each 250-mg capsule contains a 20:1 extract of green tea leaves (*Camellia sinensis*) standardized to a minimum 97% pure polyphenols including 162 mg catechins, of which 95 mg is EGCg, 37 mg is ECG, and 15 mg is EGC
- Tessalon Perle contains benzonatate, USP 100 mg. Each Tessalon capsule contains benzonatate, USP 200 mg. Tessalon capsules also contain D&C yellow 10, gelatin, glycerin, methylparaben, and propylparaben
- Thalomid[®] (thalidomide) is available in 50-mg, 100-mg, and 200-mg capsules for oral administration. Active ingredient: thalidomide. Inactive ingredients: pregelatinized starch and magnesium stearate. The

- 50-mg capsule shell contains gelatin, titanium dioxide, and black ink. The 100-mg capsule shell contains black iron oxide, yellow iron oxide, titanium dioxide, gelatin, and black ink. The 200-mg capsule shell contains FD&C blue No. 2, titanium dioxide, gelatin, and white ink
- Thiothixene capsule contains 1 mg, 2 mg, 5 mg, or 10 mg of thiothixene and the following inactive ingredients: colloidal silicon dioxide, croscarmellose sodium (type A), gelatin, magnesium stearate, microcrystalline cellulose, powdered cellulose, pregelatinized starch, sodium lauryl sulfate, titanium dioxide, and other inactive ingredients. The following coloring agents are employed: 1 mg—FD&C blue No. 1, D&C red No. 28, FD&C red No. 40, FD&C yellow No. 6; 2 mg—FD&C blue No. 1, FD&C red No. 40, FD&C yellow No. 6, D&C yellow No. 10; 5 mg—FD&C blue No. 1, FD&C red No. 40, FD&C yellow No. 6; 10 mg—FD&C blue No. 1, FD&C red No. 40, FD&C yellow No. 6
 - Tiazac[®] (diltiazem hydrochloride) capsules contain diltiazem hydrochloride in extended-release beads at doses of 120 mg, 180 mg, 240 mg, 300 mg, 360 mg, and 420 mg. Tiazac also contains microcrystalline cellulose NF, sucrose stearate, Eudragit, povidone USP, talc USP, magnesium stearate NF, hypromellose USP, titanium dioxide USP, polysorbate NF, simethicone USP, gelatin NF, FD&C blue No. 1, FD&C red No. 40, D&C red No. 28, FD&C green No. 3, black iron oxide USP, and other solids
 - Toprol-XL, metoprolol succinate tablets, comprises a multiple unit system containing metoprolol succinate in a multitude of controlled-release pellets. Each pellet acts as a separate drug delivery unit and is designed to deliver metoprolol continuously over the dosage interval. The tablets contain 23.75 mg, 47.5 mg, 95 mg, and 190 mg of metoprolol succinate equivalent to 25 mg, 50 mg, 100 mg, and 200 mg of metoprolol tartrate, USP, respectively
 - TriLyte[™] is a white powder for reconstitution containing 420 g polyethylene glycol 3350, 5.72 g sodium bicarbonate, 11.2 g sodium chloride, 1.48 g potassium chloride. Flavor packs, each containing 3.22 g of flavoring ingredients, are attached to the 4-L bottle. When dissolved in water to a volume of 4 L, TriLyte[™] with flavor packs (PEG-3350, sodium chloride, sodium bicarbonate, and potassium chloride for oral solution) is an isosmotic solution, for oral administration, having a pleasant mineral water taste. One flavor pack can be added before reconstitution to flavor the solution. TriLyte[™] with flavor packs is administered orally or via nasogastric tube as a gastrointestinal lavage
 - Verelan[®] PM (verapamil hydrochloride) is available for oral administration as a 100-mg hard gelatin capsule (white opaque cap/amethyst body), a 200-mg hard gelatin capsule (amethyst opaque cap/amethyst body), and as a 300-mg hard gelatin capsule (lavender opaque cap/amethyst body). Verapamil is administered as a racemic mixture of the R and S enantiomers. In addition to verapamil HCl, the Verelan PM capsule contains the following inactive ingredients: D&C red No. 28, FD&C blue No. 1, FD&C red No. 40, fumaric acid, gelatin, povidone, shellac, silicon dioxide, sodium lauryl sulfate, starch, sugar spheres, talc, and titanium dioxide
 - VFEND for oral suspension is a white to off-white powder providing a white to off-white orange-flavored suspension when reconstituted. Bottles containing 45 g powder for oral suspension are intended for reconstitution with water to produce a suspension containing 40 mg/mL voriconazole. The inactive ingredients include colloidal silicon dioxide, titanium dioxide, xanthan gum, sodium citrate dihydrate, sodium benzoate, anhydrous citric acid, natural orange flavor, and sucrose
 - Viracept oral powder is available for oral administration in a 50 mg/g strength (as nelfinavir free base) in bottles. The oral powder also contains the following inactive ingredients: microcrystalline cellulose, maltodextrin, dibasic potassium phosphate, crospovidone, hypromellose, aspartame, sucrose palmitate, and natural and artificial flavor
 - Zavesca[®] (miglustat capsules, 100 mg) is supplied in hard gelatin capsules each containing 100 mg miglustat for oral administration. Each Zavesca 100-mg capsule also contains sodium starch glycolate, povidone (K30), and magnesium stearate. Ingredients in the capsule shell include gelatin and titanium dioxide, and the shells are printed with edible ink consisting of black iron oxide, shellac, soya lecithin, and antifoam
 - Zemplar (Paricalcitol, USP) is available as soft gelatin capsules for oral administration containing 1 µg, 2 µg, or 4 µg of paricalcitol. Each capsule also contains medium-chain triglycerides, alcohol, and butylated hydroxytoluene. The medium-chain triglycerides are fractionated from coconut oil or palm kernel oil. The capsule shell is composed of gelatin, glycerin, titanium dioxide, iron oxide red (2 µg capsules only), iron oxide yellow (2 µg and 4 µg capsules), iron oxide black (1 µg capsules only), and water
 - Zithromax[®] (azithromycin capsules, azithromycin tablets and azithromycin for oral suspension) contain the active ingredient azithromycin. Zithromax capsules contain azithromycin dihydrate equivalent to 250 mg of azithromycin. The capsules are supplied in red opaque hard-gelatin capsules (containing FD&C red No. 40). They also contain the following inactive ingredients: anhydrous lactose, cornstarch, magnesium stearate, and sodium lauryl sulfate

- Zithromax for oral suspension is supplied in a single dose packet containing azithromycin dihydrate equivalent to 1 g azithromycin. It also contains the following inactive ingredients: colloidal silicon dioxide, sodium phosphate tribasic, anhydrous; spray-dried artificial banana flavor, spray-dried artificial cherry flavor, and sucrose
- Zonegran® (zonisamide) capsules containing 25 mg, 50 mg, or 100 mg zonisamide. Each capsule contains the labeled amount of zonisamide plus the following inactive ingredients: microcrystalline cellulose, hydrogenated vegetable oil, sodium lauryl sulfate, gelatin, and colorants



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VOLUME THREE



HANDBOOK OF
PHARMACEUTICAL
MANUFACTURING FORMULATIONS

THIRD EDITION

LIQUID PRODUCTS

Sarfaraz K. Niazi



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Taylor & Francis Group

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To August P. Lemberger

Dean August P. Lemberger passed away in 2010; he gave me my first teaching job at the University of Illinois when I was still working on my thesis. He served as the dean at Illinois and Wisconsin.



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Preface to the Series—Third Edition

I am humbled by the wide praise and acceptance of the last two editions of the *Handbook of Pharmaceutical Formulations*, a six-volume series that found home in the R&D laboratories of just about every pharmaceutical company, both generic and branded, and in the classrooms of pharmaceutical technology; and the regulatory agencies used this treatise to compare the quality of pharmaceutical products. In creating this work, back in 2004, my primary objective was to provide a ready source of safe and scalable generic and new pharmaceutical formulations that take a long time to develop and incur a substantial cost, to enable the availability of affordable medicines.

Each of the six volumes in the series has a structured content. Part I includes regulatory guidance, formulation steps, references to active ingredients and excipients, and a self-audit guidance for cGMP compliance. Chapters of common interest to all volumes are distributed across the six volumes, such as the formulations for coating solutions are presented in Volume 5 (OTC), though they are also pertinent to Volume 1 (Compressed Dosage Forms), and global bioequivalence testing guidelines are provided in Volume 4 (Semisolids), though they apply to all volumes. Part II includes scalable formulations and Part III, where applicable, other general formulations. The appendices include a listing of excipients used in FDA approved products and a cGMP compliance self-testing tool. Whereas the main focus of the guidance provided in the handbook pertains to compliance with FDA requirements, these apply equally to EU requirements, and, as a result, to any global agency.

The third edition also gets several significant additions; now each volume includes a self-audit template, several chapters advising how to stay cGMP compliant, including a listing of most common FDA citations to look out for in the audits, a global regulatory focus and an updated list of excipients and the level of their incorporation in the FDA-approved products. The number of formulations is also increased, and the OTC volume now contains several cosmetic formulations, and the

semisolid product volume also includes details on chewing gum delivery systems.

The updating of formulations is always cumulative as there is little need to remove any formulation provided previously—if it was right then, it shall remain good now. However, a variety of new drug delivery systems have evolved since the second edition was published, so I have included more details on these formulations, although some of these may not be available to practice due to possible limitations on the intellectual property.

As always, I advise the formulators to be aware of any intellectual property infringements as I cannot provide a guarantee to this effect.

Finally, I wish to acknowledge the leaders of the pharmaceutical world, to whom each of the volumes is dedicated. I have made a few changes to those whom the volumes are dedicated, to recognize those who have since passed away; they provided a role model to me and thousands of leaders and students of pharmacy over the decades of their careers. They are gone, but not without leaving an indelible mark on the profession.

I also consider myself fortunate to have the sponsorship and assistance of the great folks at the CRC Press, more particularly Jessica Poile and Hilary LaFoe. The teams at the CRC Press were very kind to put up with my redundant changes to the manuscript and were extremely generous in their advice in balancing the scientific and practical knowledge and, above all, making sure that the book was framed and published in the highest professional presentation. As always, I take responsibility for any mistakes and errors in my writing, and I am always open to suggestions by the readers to make future editions. I can be contacted at niazi@niazi.com.

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Preface to the Series—Second Edition

The science and the art of pharmaceutical formulation keeps evolving as new materials, methods, and machines become readily available to produce more reliable, stable, and release-controlled formulations. At the same time, globalization of sourcing of raw and finished pharmaceuticals brings challenges to regulatory authorities and results in more frequent revisions to the current good manufacturing practices, regulatory approval dossier requirements, and the growing need for cost optimization. Since the publication of the first edition of this book, a lot has changed in all of these areas of importance to pharmaceutical manufacturers. The second edition builds on the dynamic nature of the science and art of formulations and provides an evermore useful handbook that should be highly welcomed by the industry, the regulatory authorities, as well as the teaching institutions.

The first edition of this book was a great success as it brought under one umbrella the myriad of choices available to formulators. The readers were very responsive and communicated with me frequently pointing out to the weaknesses as well as the strengths of the book. The second edition totally revised attempts to achieve these by making major changes to the text, some of which include:

1. Complete, revised errors corrected and subject matter reorganized for easy reference. Whereas this series has six volumes differentiated on the basis of the type of dosage form and a separate inclusion of the U.S. OTC products, ideally the entire collection is needed to benefit from the myriad of topics relating to formulations, regulatory compliance, and dossier preparation.
2. Total number of pages is increased from 1684 to 2726.
3. Total number of formulations is expanded by about 30% with many newly approved formulations.
4. Novel formulations are now provided for a variety of drugs; these data are collected from the massive intellectual property data and suggest toward the future trend of formulations. While some of these formulations may not have been approved in the United States or Europe, these do provide additional choices, particularly for the NDA preparation. As always, it is the responsibility of the manufacturer to assure that the intellectual property rights are not violated.
5. A significant change in this edition is the inclusion of commercial products; while most of this information is culled out from the open source such as the FOIA (<http://www.fda.gov/foi/default.htm>), I have made attempts to reconstruct the critical portions of it based on what I call the generally acceptable standards. The drug companies are advised to assure that any intellectual property rights are not violated

and this applies to all information contained in this book. The freedom of information act (FOIA) is an extremely useful conduit for reliable information and manufacturers are strongly urged to make use of this information. Whereas this information is provided free of charge, the process of obtaining the information may be cumbersome, in which case, commercial sources of these databases can prove useful, particularly for the non-U.S. companies.

6. Also included are the new Good Manufacturing Guidelines (2007) with amendments (2008) for the United States and similar updates for European Union and WHO; it is strongly urged that the companies discontinue using all old documents as there are significant changes in the revised form, and many of them are likely to reduce the cost of GMP compliance.
7. Details on design of clean rooms is a new entry that will be of great use to sterile product manufacturers; whereas the design and flow of personnel and material flow is of critical nature, regulatory agencies view these differently and the manufacturer is advised always to comply with most stringent requirements.
8. Addition of a self-auditing template in each volume of the series. While the cGMP compliance is a complex issue and the requirements diversified across the globe, the basic compliance remains universal. I have chosen the European Union guidelines (as these are more in tune with the ICH) to prepare a self-audit module that I recommend that every manufacturer adopt as a routine to assure GMP compliance. In most instances reading the template by those responsible for compliance with keep them sensitive to the needs of GMP.
9. OTC products cross-referenced in other volumes where appropriate. This was necessary since the regulatory authorities worldwide define this class of drug differently. It is important to iterate that regardless of the prescription or the OTC status of a product, the requirements for compliance with the cGMP apply equally.
10. OTC monograph status is a new section added to the OTC volume and this should allow manufacturers to chose appropriate formulations that may not require a filing with the regulatory agencies; it is important to iterate that an approved OTC monograph includes details of formulation including the types and quantities of active drug and excipients, labeling, and presentation. To qualify the exemption, the manufacturer must comply with the monograph in its entirety. However, subtle modifications that are merely cosmetic in nature and where there is an evidence that

- the modification will not affect the safety and efficacy of the products can be made but require prior approval of the regulatory agencies and generally these approvals are granted.
11. Expanded discussion on critical factors in the manufacturing of formulations provided; from basic shortcuts to smart modifications now extend to all dosage forms. Pharmaceutical compounding is one of the oldest professions and whereas the art of formulations has been relegated to more objective parameters, the art nevertheless remains. An experienced formulator, like an artist, would know what goes with what and why; he avoids the pitfalls and stays with conservative choices. These sections of the book present advice that is time tested, although it may appear random at times; this is intended for experienced formulators.
 12. Expanded details on critical steps in the manufacturing processes provided but to keep the size of the book manageable, and these are included for prototype formulations. The reader is advised to browse through similar formulations to gain more insight. Where multiple formulations are provided for the same drug, it intended to show the variety of possibilities in formulating a drug and whereas it pertains to a single drug, the basic formulation practices can be extended to many drugs of same class or even of diversified classes. Readers have often requested that more details be provided in the Manufacturing Direction sections. Whereas sufficient details are provided, this is restricted to prototype formulations to keep the size of the book manageable and to reduce redundancy.
 13. Addition of a listing of approved excipients and the level allowed by regulatory authorities. This new section allows formulators a clear choice on which excipients to choose; the excipients are reported in each volume pertaining to the formulation type covered. The listing is drawn from the FDA-approved entities. For the developers of an ANDA, it is critical that the level of excipients be kept within the range generally approved to avoid large expense in justifying any unapproved level. The only category for which the listing is not provided separately is the OTC volume since it contains many dosage forms and the reader is referred to dosage form-specific title of the series. The choice of excipients forms keeps increasing with many new choices that can provide many special release characteristics to the dosage forms. Choosing correct excipients is thus a tedious exercise and requires sophisticated multivariate statistical analysis. Whereas the formulator may choose any number of novel or classical components, it is important to know the levels of excipients that are generally allowed in various formulations to reduce the cost of redundant exercises; I have therefore included, as an appendix to each volume, a list of all excipients that are currently approved by the U.S. FDA along their appropriate levels. I suggest that a formulator consult this table before deciding on which level of excipient to use; it does not mean that the excipient cannot be used outside this range but it obviates the need for a validation and lengthy justification studies in the submission of NDAs.
 14. Expanded section on bioequivalence submission was required to highlight the recent changes in these requirements. New entries include a comprehensive listing of bioequivalence protocols in abbreviated form as approved by the U.S. FDA; these descriptions are provided in each volume where pertinent. To receive approval for an ANDA, an applicant must generally demonstrate, among other things, equivalence of the active ingredient, dosage form, strength, route of administration and conditions of use as the listed drug, and that the proposed drug product is bioequivalent to the reference listed drug [21 USC 355(j)(2)(A); 21 CFR 314.94(a)]. Bioequivalent drug products show no significant difference in the rate and extent of absorption of the therapeutic ingredient [21 U.S.C. 355(j)(8); 21 CFR 320.1(e)]. BE studies are undertaken in support of ANDA submissions with the goal of demonstrating BE between a proposed generic drug product and its reference listed drug. The regulations governing BE are provided at 21 CFR in part 320. The U.S. FDA has recently begun to promulgate individual bioequivalence requirements. To streamline the process for making guidance available to the public on how to design product-specific BE studies, the U.S. FDA will be issuing product-specific BE recommendations (www.fda.gov/cder/ogd/index.htm). To make this vital information available, an appendix to each volume includes a summary of all currently approved products by the U.S. FDA where a recommendation on conducting bioequivalence studies is made available by the U.S. FDA. When filing an NDA or an ANDA, the filer is faced with the choice of defending the methods used to justify the bioavailability or bioequivalence data. The U.S. FDA now allows application for waiver of bioequivalence requirement; a new chapter on this topic has been added along with details of the dissolution tests, where applicable, approved for various dosage forms.
 15. Dissolution testing requirements are included for all dosage forms where this testing is required by the FDA. Surrogate testing to prove efficacy and compliance is getting more acceptance at regulatory agencies; in my experience, a well-designed dissolution test is the best measure of continuous compliance. Coupled with chapters on waivers of bioequivalence testing, this information on dissolution testing should be great value to all manufacturers; it is

recommended that manufacturers develop their own in-house specifications, more stringent than those allowed in these listings and the USP.

16. Best-selling products (top 200 prescription products) are identified with an asterisk and a brand name where applicable; in all instances, composition of these products is provided and formulation of generic equivalents. Despite the vast expansion of pharmaceutical sales and shifting of categories of blockbuster drugs, basic drugs affecting gastrointestinal tract, vascular system, and brain remain most widely prescribed.
17. Updated list of approved coloring agents in the United States, Canada, European Union, and Japan is included to allow manufactures to design products for worldwide distribution.
18. Tablet-coating formulations that meet worldwide requirements of color selection are included in the Volume 1 (compressed solids) and Volume 5 (OTC) because these represent the products often coated.
19. Guidelines on preparing regulatory filings are now dispersed throughout the series depending on where

these guidelines are more crucial. However, the reader would, as before, need access to all volumes to benefit from the advice and guidelines provided.

As always, comments and criticism from the readers are welcomed and these can be sent to me at Niazi@pharmsci.com or Niazi@niazi.com. I would try to respond to any inquiries requiring clarification of the information enclosed in these volumes.

I would like to express deep gratitude to Sherri R. Niziolek and Michelle Schmitt-DeBonis at Informa, the publisher of this work, for seeing an immediate value to the readers in publishing the second edition of this book and allowing me enough time to prepare this work. The diligent editing and composing staff at Informa, particularly Joseph Stubenrauch, Baljinder Kaur and others are highly appreciated. Regardless, all errors and omissions remain altogether mine.

In the first edition, I had dedicated each volume to one of my mentors; the second edition continues the dedication to these great teachers.

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Preface to the Series—First Edition

No industry in the world is more highly regulated than the pharmaceutical industry because of the potential threat to a patient's life from the use of pharmaceutical products. The cost of taking a new chemical entity to final regulatory approval is a staggering \$800 million, making the pharmaceutical industry one of the most research-intensive industries in the world. It is anticipated that the industry will spend about \$20 billion on research and development in 2004. Because patent protection on a number of drugs is expiring, the generic drug market is becoming one of the fastest growing segments of the pharmaceutical industry with every major multinational company having a significant presence in this field.

Many stages of new drug development are inherently constrained by time, but the formulation of drugs into desirable dosage forms remains an area where expediency can be practiced by those who have mastered the skills of pharmaceutical formulations. The Handbook of Pharmaceutical Manufacturing Formulations is the first major attempt to consolidate the available knowledge about formulations into a comprehensive and, by nature, rather voluminous presentation.

The book is divided into six volumes based strictly on the type of formulation science involved in the development of these dosage forms: sterile products, compressed solids, uncompressed solids, liquid products, semisolid products, and over-the-counter (OTC) products. Although they may easily fall into one of the other five categories, OTC products are considered separately to comply with the industry norms of separate research divisions for OTC products. Sterile

products require skills related to sterilization of the product, and of less importance is the bioavailability issue, which is an inherent problem of compressed dosage forms. These types of considerations have led to the classification of pharmaceutical products into these six categories. Each volume includes a description of regulatory filing techniques for the formulations described. Also included are regulatory guidelines on complying with current good manufacturing practices (cGMPs) specific to the dosage form and advice is offered on how to scale up the production batches.

It is expected that formulation scientists will use this information to benchmark their internal development protocols and reduce the time required to file by adopting formulae that have survived the test of time. Many of us who have worked in the pharmaceutical industry suffer from a fixed paradigm when it comes to selecting formulations: "Not invented here" perhaps is kept in the back of the minds of many seasoned formulations scientists when they prefer certain platforms for development. It is expected that with a quick review of the formulation possibilities that are made available in this book such scientists would benefit from the experience of others. For teachers of formulation sciences, this series offers a wealth of information. Whether it is selection of a preservative system or the choice of a disintegrant, the series offers many choices to study and consider.

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Preface to the Volume—First Edition

Liquid products, for the purpose of inclusion in this volume, include nonsterile drugs administered by any route in the form of solutions (monomeric and multimeric), suspensions (powder and liquid), drops, extracts, elixirs, tinctures, paints, sprays, colloids, emulsions, aerosols, and other fluid preparations. Sterile liquid products are presented in another volume. Whereas liquid drugs do not share the compression problems of solid dosage forms, the filling problems of powder dosage forms, and the consistency problems of semisolid dosage forms, they do have their own set of considerations in the formulation and manufacturing stages. The considerations of prime importance for liquid drugs include solubility of active drugs, preservation, taste masking, viscosity, flavoring, appearance, and stability (chemical, physical, and microbiological), raw materials, equipment, the compounding procedures (often the order of mixing), and finally the packaging (to allow a stable product to reach patients). Suspensions present a special situation in which even the powder for reconstitution needs to be formulated such that it can be stable after reconstitution; therefore, limited examples are included here.

Chapter 1 in section I (Regulatory and Manufacturing Guidance) describes the practical details in complying with the current good manufacturing practice (cGMP) requirements in liquid manufacturing. This chapter does not address the specific cGMP parameters but deals with the practical aspects as may arise during a U.S. Food and Drug Administration (FDA) inspection. This includes what an FDA inspector would be looking into when auditing a liquid manufacturing facility.

Chapter 2 describes the stability testing of new drugs and dosage forms. Drawn from the most current international conference on harmonization (ICH) guidelines, this chapter describes in detail the protocols used for stability testing not only for new drugs but also for new dosage forms. The chapter is placed in this volume because stability studies are of greater concern in liquid dosage forms; however, keeping in mind the overall perspective of the series of this title, this chapter would apply to all dosage forms. Again, emphasis is placed on the practical aspects, and the reader is referred to official guidelines for the development of complete testing protocols. It is noteworthy that the ICH guidelines divide the world into four zones; the discussion given in this chapter mainly refers to the U.S. and European regions, and again the formulator is referred to the original guideline for full guidance. Stability studies constitute one of the most expensive phases of product development because of their essential time investment. As a result, formulators often prepare a matrix of formulations to condense the development phase, particularly where there are known issues in compatibility, drug interactions, and packaging interactions. The FDA is always very helpful in this phase of study protocols, particularly where a generic drug is involved. It is also a good idea to benchmark the product against the innovator product. However,

one should understand clearly that the FDA is not bound to accept stability data even though it might match that of the innovator product. The reason for this may lie in the improvements made since the innovator product was approved. For example, if a better packaging material that imparts greater safety and shelf life is available, the FDA would like this to be used (not for the purpose of shelf life, but for the safety factors). In recent years, the FDA has placed greater emphasis on the control of active pharmaceutical ingredient (API), particularly if it is sourced from a new manufacturer with a fresh DMF. Obviously, this is one way how the innovator controls the proliferation of generic equivalents. The original patents that pertain to synthesis or manufacturing of the active raw material may have been superseded by improved processes that are not likely to be a part of a later patent application (to protect the trade secret because of double-patenting issues). The innovator often goes on to revise the specifications of the active pharmaceutical ingredient to the detriment of the generic manufacturer. However, my experience tells me that such changes are not necessarily binding on the generic manufacturer, and as long as cGMP compliance in the API is demonstrated and the impurities do not exceed the reference standard (if one is available), there is no need to be concerned about this aspect. However, manufacturers are advised to seek a conference with the FDA should this be a serious concern. At times, the manufacturer changes the finished product specification as the patents expire or reformulates the product under a new patent. A good example of this practice was the reformulation of calcitriol injection by Abbott as its patent came to expiry. The new specifications include a tighter level of heavy metals, but a generic manufacturer should have no problem if the original specifications are met because the product was approvable with those specifications.

Chapter 3 describes the container closure systems; again, this discussion would apply to all dosage forms. It is noteworthy that the regulatory agencies consider containers and packaging systems, all those components that come in contact with the product, protect the product from environment, or are instrumental in the delivery of the product as part of the product definition. Whereas the industry is much attuned to studies of the effects of the API and dosage formulation components, the study of container or closure systems is often left to the end of the study trials. This is an imprudent practice, as it might result in loss of valuable time. The packaging industry generally undergoes faster changes than do the chemical or pharmaceutical industries. New materials, better tolerances, more environmentally friendly materials, and now, with the use of mechanical devices in many dosage forms, appropriate dosing systems emerge routinely. As a rule of thumb, the closure system for a product should be the first criterion selected before development of the dosage form. Switching between a glass and a plastic bottle at a later stage can be a very expensive exercise. Because many of these considerations are drawn

by marketing teams, who may change their product positioning, the formulation team must be appropriately represented in marketing decision conferences. Once a decision has been made about the presentation of a product, the product development team should prepare several alternatives, based on the ease of formulation and the cost of the finished product involved. It should be emphasized at all stages of development that packaging scale-ups require just as much work as does a formulation scale-up or changes. As a result, the FDA provides the scale-up and postapproval change (SUPAC) guidelines for packaging components. Changes in the dimensions of a bottle may expose a large surface of liquid to the gaseous phase in the bottle and thus require a new stability testing exercise. This chapter forms an important reminder to formulators on the need to give consideration to every aspect of the container closure system as part of routine development.

Chapter 4 introduces the area of Preapproval Inspections, a process initiated by the FDA in the wake of the grand scandals in the generic pharmaceutical industry a few years ago. The FDA guidelines now allow “profiling” of companies and list the requirements of Preapproval Inspections when an application has been filed. Whereas the emphasis in this chapter is on “preapproval,” the advice provided here applies to all regulatory inspections. A regulatory inspection can be an arduous exercise if the company has not prepared for it continuously. Preparedness for inspection is not something that can be achieved through a last-minute crash program. This chapter goes into considerable detail on how to create a cGMP culture, how to examine the documentary needs, assignment of responsibility, preparation of validation plan, and above all, the art of presenting the data to the FDA. Also discussed are the analyses of the outcome of inspection. Advice is provided on how to respond to Form 483 issued by the FDA, and the manufacturer is warned of the consequences of failing an inspection. Insight is also provided for foreign manufacturers, for whom a different set of rules may be applied because of the physical constraints of inspection. The inspection guidelines provided apply to both the manufacturers of API as well as to the finished products.

Chapter 5 includes highlights of topics of importance in the formulation of liquid products. However, this chapter is not an all-inclusive guide to formulation. Only highlights of points of concern are presented here, and the formulator is referred to several excellent treatises available on the subject.

Section II contains formulations of liquid products and lists a wide range of products that fall under this classification, as interpreted in the volume. There are three levels at which these formulations are described. First, the Bill of Materials is accompanied by detailed manufacturing directions; second, the manufacturing directions are abbreviated because they are already described in another product of similar nature; and third, only the composition is provided as supplied by the manufacturer. With the wide range of formulations included in this volume, it should be a simple

matter for an experienced formulator to convert these formulations into quantitative Bills of Materials and then to benchmark it against similar formulations to come up with a working formula. The problems incumbent in the formulation of liquid products are highlighted in chapter 5, but these are generic problems, and the formulator should be aware of any specific situations or problems that may arise from time to time. I would like to hear from the formulators about these problems so that they could be included in future editions of this book. Again, the emphasis in this series is on a practical resolution of problems; the theoretical teachings are left to other, more comprehensive works on this topic. The key application of the data provided herein is to allow the formulator to select the ingredients that are reportedly compatible, avoiding need for long-term studies to establish compatibilities.

I am grateful to CRC Press for taking this lead in publishing what is possibly the largest such work in the field of pharmaceutical products. It has been a distinct privilege to know Mr. Stephen Zollo, senior editor at CRC Press. Stephen has done more than any editor can do to encourage an author into completing this work on a timely basis. The editorial assistance provided by CRC Press staff was indeed exemplary, particularly the help given by Erika Dery, Amy Rodriguez, and others. Although much care has gone into correcting errors, any errors remaining are altogether mine. I shall appreciate the readers bringing these to my attention for correction in future editions of this volume (niazi@pharmsci.com).

This volume is dedicated to one of the great educators and a leader in the pharmaceutical profession, August P. Lemberger, who is truly a Wisconsin man. At the University of Wisconsin in Madison, he was an undergraduate and graduate student. He was then a professor, and twice Dean of the School of Pharmacy (1943–44, 1946–52, 1953–69, 1980–91). During the period between 1969 and 1980, he assumed the responsibility of deanship at the University of Illinois, where I was a graduate student. In 1972, he offered me my first teaching job, as an instructor of pharmacy at the University of Illinois, while I was still in graduate school. I was one of the greatest beneficiaries of his kindness and attention. Gus has an unusual ability to put everyone at ease, respect everyone around him, and in the end, come out as a group leader. Whatever little I have accomplished in my life is mostly because of Gus. Many awards, recognitions, and salutations were offered to Gus during his celebrated career. His research contributions included stability studies, suspension, emulsion stabilization, and later in his career, the various aspects of pharmaceutical education. I wish him many years of happy retirement and shuttling back and forth between his homes in Arizona and Wisconsin. Thanks, Gus.

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Part I

Regulatory and Manufacturing Guidance



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1 Manufacturing Considerations in Liquid Formulations

Liquid dosage forms provide an alternative to dosage forms that have to be swallowed. However, as water is the major ingredient in most liquid dosage forms, the stability of active drug in the formulation and microbial contamination are the major concerns, requiring greater attention to quality by design (QbD): product objective (design of experiments [DoE]), production resources (process analytical technology [PAT]), and product acceptability (quality system). Flavoring, sweetening, coloring, and texturing also offer formulation challenges, because “no single correct method exists to solve significant problems of elegance;” they are opportunities, because there are no methods to judge the elegance of the dosage form.

Except for some aqueous acids, water in aqueous solutions is an excellent medium for microbiological growth, such as molds, yeast, and bacteria. Typical microorganisms affecting drug microbiological stability are *Pseudomonas*, *Escherichia coli*, *Salmonella*, and *Staphylococcus*. Deficient methods or an insufficient preservative system may be the principal causes of microbiological contamination in the pharmaceutical liquid manufacturing industry.

Chemical instability reactions appear with or without microbiological contribution through reactions such as hydrolysis, oxidation, isomerization, and epimerization. Interactions between ingredients and between ingredients and container closure materials are established as the principal causes of these reactions.

In most cases, physical instabilities are consequences of previous chemical instabilities. Physical instabilities can arise principally from changes in uniformity of suspensions or emulsions, difficulties related to the dissolution of ingredients, and volume changes. For instance, some cases in which physical stability has been affected are cloudiness, flocculence, film formation, separation of phases, precipitation, crystal formation, droplets of fog forming on the inside of the container, and swelling of the container.

Although commercial oral solution and emulsion dosage forms rarely present bioequivalence issues, some bioequivalence problems have been reported for oral suspensions such as phenytoin.

A microemulsion (ME) is defined as a system of water, oil, and amphiphile that is optically isotropic and a thermodynamically stable liquid solution. This definition therefore excludes aqueous solutions of surfactants (micellar and nonmicellar) without additives or with water-soluble nonelectrolytes as additives; liquid crystalline phases (mesophases); coarse emulsions, including micronized coarse emulsions; and systems that are surfactant free. Table 1.1 shows a comparison of emulsions and MEs.

A ME system can be one of three types, depending on the composition: oil in water (o/w ME), in which water is the continuous medium; water in oil (w/o ME), in which oil is the continuous medium; and water-and-oil bicontinuous ME, in which almost equal amounts of water and oil exist. While the three types are quite different in terms of microstructure, they all have an interfacial amphiphile monolayer separating the oil and water domains.

I. INTRODUCTION

The manufacture and control of oral solutions and oral suspensions present some unusual problems not common to other dosage forms. Although bioequivalency concerns are minimal (except for products in which dissolution is a rate-limiting or absorption-determining step, such as in phenytoin suspension), other issues have frequently led to recalls of liquid products. These include microbiological, potency, and stability problems. In addition, because the population using these oral dosage forms includes newborns, children, and elderly people, who may not be able to take oral solid dosage forms and who may have compromised drug metabolic or other clearance functions, adulterated dosage forms can pose a greater risk if the absorption profiles are significantly altered from the profiles used in the development of drug safety profiles.

II. FACILITIES

The designs of the facilities are largely dependent on the type of products manufactured and the potential for cross-contamination and microbiological contamination. For example, the facilities used for the manufacture of over-the-counter oral products might not require the isolation that a steroid or sulfa product would require. However, the concern for contamination remains, and it is important to isolate processes that generate dust (such as those processes occurring before the addition of solvents). The HVAC (heating, ventilation, and air-conditioning) system should be validated just as required for the processing of potent drugs. Should a manufacturer rely mainly on recirculation rather than filtration or fresh air intake, the efficiency of air filtration must be validated by surface and air sampling. It is advisable not to take any shortcuts in the design of HVAC systems, as it is often very difficult to properly validate a system that is prone to breakdown; in such instances, a fully validated protocol would need stress testing—something that may be more expensive than establishing proper HVAC systems in the first place. However, it is also unnecessary to overdo it in designing the facilities, as once the drug is present in a solution form, cross-contamination

TABLE 1.1
Liquid Excipients Compatible with Hard Gelatin Capsules

Beeswax
Castor oil
Cetostearyl alcohol
Cetyl alcohol
Corn oil
Fractionated coconut oil
Hydrogenated castor oil
Hydrogenated peanut oil
Hydrogenated vegetable oil
Macrogol glycerides
Olive oil
Paraffin oil
Peanut oil
Poloxamers
Polyethylene glycols
Silica dioxide
Silicone oil
Soya oil
Stearic acid
Stearyl alcohol

Source: Adapted from <https://www.capsugel.com/biopharmaceutical-products/liquid-filled-hard-capsules>.

of other products becomes less of a problem. It is, nevertheless, important to protect the drug from other powder sources (such as by maintaining appropriate pressure differentials in various cubicles).

III. EQUIPMENT

Equipment should be of sanitary design. This includes sanitary pumps, valves, flow meters, and other equipment that can be easily sanitized. Ball valves, the packing in pumps, and pockets in flow meters have been identified as sources of contamination. Contamination is an extremely important consideration, particularly when sourcing manufacturing equipment from less developed countries; manufacturers of equipment often offer two grades of equipment: sanitary equipment, and equipment not qualified as sanitary and offered at substantial savings. All manufacturers intending to ship any product subject to U.S. Food and Drug Administration (FDA) inspection must insist on certification that the equipment is of sanitary design.

To facilitate cleaning and sanitization, manufacturing and filling lines should be identified and detailed in drawings and standard operating procedures. Long delivery lines between manufacturing areas and filling areas can be a source of contamination. Special attention should be paid to developing standard operating procedures that clearly establish validated limits for this purpose.

The equipment used for batching and mixing of oral solutions and suspensions is relatively basic. These products are

generally formulated on a weight basis, with the batching tank on load cells, so that a final volume can be made by weight; if you have not done so already, consider converting your systems to a weight basis. Volumetric means, such as using a dipstick or a line on a tank, are not generally as accurate and should be avoided where possible. When volumetric means are chosen, make sure they are properly validated at different temperature conditions and for other factors that might render this practice faulty. In most cases, manufacturers assay samples of the bulk solution or suspension before filling. Much greater variability is found with those batches that have been manufactured volumetrically than with those that have been manufactured by weight. Again, the rule of thumb is to avoid any additional validation if possible.

The design of the batching tank with regard to the location of the bottom discharge valve often presents problems. Ideally, the bottom discharge valve is flush with the bottom of the tank. In some cases, valves—including undesirable ball valves—are several inches to a foot below the bottom of the tank. This is not acceptable. It is possible that in this situation, the drug or preservative may not completely dissolve and may get trapped in the “dead leg” below the tank, with initial samples turning out subpotent. For the manufacture of suspensions, valves should be flush.

Transfer lines are generally hard piped and are easily cleaned and sanitized. In situations where manufacturers use flexible hoses to transfer product, it is not unusual to see these hoses lying on the floor, thus significantly increasing the potential for contamination. Such contamination can occur through operators picking up or handling hoses, and possibly even through operators placing them in transfer or batching tanks after the hoses have been lying on the floor. It is a good practice to store hoses in a way that allows them to drain, rather than coiling them, which may allow moisture to collect and be a potential source of microbial contamination.

Another common problem occurs when manifold or common connections are used, especially in water supply, premix, or raw material supply tanks. Such common connections can be a major source of contamination.

IV. RAW MATERIALS

The physical characteristics, particularly the particle size of the drug substance, are very important for suspensions. As with topical products in which the drug is suspended, particles are usually in the range of very fine to micronized (to <25 microns). For syrup, elixir, or solution dosage forms in which there is nothing suspended, the particle size and physical characteristics of raw materials are not that important. However, they can affect the rate of dissolution of such raw materials in the manufacturing process. Raw materials of a finer particle size may dissolve faster than those of a larger particle size when the product is compounded.

Examples of a few oral suspensions in which a specific and well-defined particle-size specification for the drug substance is important include phenytoin suspension, carbamazepine suspension, trimethoprim and sulfamethoxazole suspension,

and hydrocortisone suspension. It is therefore a good idea to indicate particle size in the raw material specification, even though the particle size is selected only to enhance dissolution, to better validate the manufacturing process while avoiding scale-up problems.

V. COMPOUNDING

In addition to a determination of the final volume (on either a weight or a volume basis) as previously discussed, there are microbiological concerns, and these are well covered in other chapters in this book.

For oral suspensions, there is the additional concern of uniformity, particularly because of the potential for segregation during manufacture and storage of the bulk suspension, during transfer to the filling line, and during filling. It is necessary to establish procedures and time limits for such operations to address the potential for segregation or settling as well as other unexpected effects that may be caused by extended holding or stirring.

For oral solutions and suspensions, the level and control of temperature are important from a microbiological as well as a potency aspect. For those products in which temperature is identified as a critical part of the operation, the batch records must demonstrate compliance using control charts. There are some processes in manufacturing in which heat is used during compounding to control the microbiological levels in the product. For such products, the addition of purified water to make up to final volume, the batch, and the temperatures during processing should be properly documented.

In addition to drug substances, some additives, such as the most commonly used preservatives, parabens, are difficult to dissolve and require heat (often to 80°C). The control and verification of their dissolution during the compounding stage should be established in the method validation. From a potency aspect, the storage of product at high temperatures may increase the level of degradants. Storage limitations (time and temperature) should be justified.

There are also some oral liquids that are sensitive to oxygen and that have been known to undergo degradation. This is particularly true of the phenothiazine class of drugs, such as perphenazine and chlorpromazine. The manufacture of such products might require the removal of oxygen, such as by nitrogen purging. In addition, such products might require storage in sealed tanks rather than in those with loose lids. The manufacturing directions provided in this book are particularly detailed about the purging steps, and these should be closely observed.

Immediate release products are not affected by the steps of hydration and disintegration, but the product may be presented in a suspension form where the release can be modulated. Poorly soluble drugs do not lead to formulations of immediate release. However, in all cases the product must be stable during storage and where it is reconstituted, during the noted time when the product must be used by the patient. The stability considerations render many drugs unqualified as a liquid dosage form.

An immediate release product is also subject to direct taste of the product, further reducing the types of drugs that can be dispensed in a liquid dosage form; in some cases the taste may come from added stabilizers and preservatives.

Liquid formulations often make use of ion resin complexes for immediate release products for drugs that can bind to these resins; the same technology is used to design extended-release products. Ion-resin complexes also render the product more stable chemically.

One difficulty in formulating oral liquid products is masking the taste of drugs while assuring a consistent and desired release profile in a stable structure. All of these attributes are met using ion-resin complexes for acidic and basic drugs that readily ionize at the stable pH of the product.

Ion resin suspension technology offers a potential means of addressing all these concerns. Most drug molecules have basic or acidic functionalities that ionize readily. The ion resin complexes can also be incorporated into a variety of shelf-stable solid oral dosage forms. The bound molecules are released in the gut, where the high ionic strength displaces drug from the resin to make it absorbable based on drug affinity, particle size, and drug–resin ratio. Generally, smaller particles provide a larger surface area and result in faster release. The release rate can be changed by coating the particles to achieve a release time that may last for hours. The coating is generally done using a fluid-bed coating process to apply a semipermeable coating polymer such as ethylcellulose. A coated complex will generally include other components such as flavors, viscosity modulators, and nonionic preservatives when dispensed in a suspension form; the complex may contain multiple drugs that will show different release rates as predicted in the formulation studies.

Drug loading in the resin is done mostly in a batch process with a flow-through column or bed of the resin that is mixed with a solvent, typically water or other polar solvents such as ethanol. Higher drug–resin ratios provide higher loading that can be modulated with change of temperature and pH. The ratios can reach to 40%. The drug–resin complex slurry is centrifuged to remove excess drug and other ions to maintain the load and the complex is washed to remove free salt. The typical loadings for commonly used resins Amberlite IRP69 cation exchange resin and Duolite AP143 anion exchange resin are between 5% and 75% and between 5% and 50% of the exchange capacity, respectively.

VI. MICROBIOLOGICAL QUALITY

Microbiological contamination can present significant health hazards in some oral liquids. For example, some oral liquids, such as nystatin suspension, are used in infants and immunocompromised patients, and microbiological contamination with organisms (such as Gram-negative organisms) is not acceptable. There are other oral liquid preparations, such as antacids, in which *Pseudomonas* sp. contamination is also objectionable. For other oral liquids, such as cough preparations, contamination with *Pseudomonas* sp. might not present the same health hazard. However, the presence of a specific

Pseudomonas sp. may also indicate other plant or raw material contamination and often points to defects in the water systems and environmental breaches; extensive investigations are often required to trace the source of contamination. Obviously, the contamination of any preparation with Gram-negative organisms is not desirable.

In addition to the specific contaminant being objectionable, such contamination would be indicative of a deficient process as well as an inadequate preservative system. For example, the presence of a *Pseudomonas putida* contaminant could also indicate that *P. aeruginosa*, an organism from a similar source, is also present.

Because FDA laboratories typically use more sensitive test methods than industry, samples of oral liquids in which manufacturers report microbiological counts well within limits may be found unacceptable by the federal laboratories. This result requires the sensitivity of testing procedures to be upgraded.

VII. ORAL SUSPENSIONS

Liquid products in which the drug is suspended (not in solution) present some unique manufacturing and control problems. Depending on the viscosity, many suspensions require continuous or periodic agitation during the filling process. If delivery lines are used between the bulk storage tank and the filling equipment, some segregation may occur, particularly if the product is not viscous. Procedures must therefore be established for filling and diagrams established for line setup prior to the filling equipment.

Good manufacturing practice would warrant testing bottles from the beginning, middle, and end of a batch to ensure that segregation has not occurred. Such samples should not be combined for the purpose of analysis. In-process testing for suspensions might also include an assay of a sample from the bulk tank. More important at this stage, however, may be testing for viscosity.

VIII. PRODUCT SPECIFICATIONS

Important specifications for the manufacture of all solutions include assay and microbial limits. Additional important specifications for suspensions include particle size of the suspended drug, viscosity, pH, and in some cases, dissolution. Viscosity can be important, from a processing aspect, to minimize segregation. In addition, viscosity has been shown to be associated with bioequivalency. pH may also have some meaning regarding the effectiveness of preservative systems and may even have an effect on the amount of drug in solution. With regard to dissolution, there are at least three products that have dissolution specifications. These products include phenytoin suspension, carbamazepine suspension, and sulfamethoxazole and trimethoprim suspension. Particle size is also important, and at this point, it would seem that any suspension should have some type of particle-size specification. As with other dosage forms, the underlying data to support specifications should be established.

IX. PROCESS VALIDATION

As with other products, the amount of data needed to support the manufacturing process will vary from product to product. Development (data) should have identified critical phases of the operation, including the predetermined specifications that should be monitored during process validation.

For example, for solutions, the key aspects that should be addressed during validation include ensuring that the drug substance and preservatives are dissolved. Parameters such as heat and time should be measured. In-process assay of the bulk solution during or after compounding according to predetermined limits is also an important aspect of process validation. For solutions that are sensitive to oxygen or light, dissolved oxygen levels would also be an important test. Again, the development data and the protocol should provide limits.

As discussed, the manufacture of suspensions presents additional problems, particularly in the area of uniformity. The development data should address the key compounding and filling steps that ensure uniformity. The protocol should provide for the key in-process and finished product tests along with their specifications. For oral solutions, bioequivalency studies may not always be needed. However, oral suspensions, with the possible exception of some of the over-the-counter antacids, usually require a bioequivalency or clinical study to demonstrate their effectiveness. Comparison of product batches with the biobatch is an important part of the validation process. Make sure there are properly written protocol and process validation reports and, if appropriate, data for comparing full-scale batches with biobatch available during FDA inspection.

X. STABILITY

One area that has presented a number of problems is ensuring the stability of oral liquid products throughout their expiry period. The presence of water or other solvents enhances all reaction rates. Because fluids can contain a certain amount of oxygen, the oxidation reactions are also enhanced, as in the case of vitamins and the phenothiazine class of drugs. Good practice for these classes of drug products should include quantitation of both the active and the primary degradant. There should be well-established specifications for the primary degradant, including methods of quantitation of both the active drug and the degradant.

Because interactions of products with closure systems are possible, liquids and suspensions undergoing stability studies should be stored on their side or inverted to determine whether contact of the drug product with the closure system affects product integrity.

Other problems associated with inadequate closure systems are moisture losses, which can cause the remaining contents to become superpotent, and microbiological contamination.

XI. PACKAGING

Problems in the packaging of oral liquids have included potency (fill) of unit dose products and accurate calibration

of measuring devices such as droppers, which are often provided. For unit dose solution products, the label claim quantity within the limits described should be delivered.

Another problem in the packaging of oral liquids is lack of cleanliness of the containers before filling. Fibers and even insects often appear as debris in containers, particularly in the plastic containers used for many of these products. Many manufacturers receive containers shrink wrapped in plastic to minimize contamination from fiberboard cartons, and many manufacturers use compressed air to clean the containers. Vapors, such as oil vapors, from the compressed air have occasionally been found to present problems, and it is a good practice to use compressed gas from oil-free compressors.

A liquid fill capsule can be useful when manufacturing small batches if limited quantities of active pharmaceutical ingredient (API) are available. Liquid fills also offer improved content uniformity for potent, low-dose compounds and can reduce dust-related problems arising with toxic compounds. Two types of liquid can be filled into hard gelatin capsules: nonaqueous solutions, and suspensions or formulations that become liquid on the application of heat or shear stress. These require hoppers with heating or stirring systems. For those formulations that are liquid at room temperature, the capsule shells need to be sealed after filling to prevent leakage of the contents and sticking of the shells. It is essential to ensure that the liquid is compatible with the shell (Table 1.1).

Soft gelatin capsules are hermetically sealed one-piece capsules containing a liquid or a semisolid fill. Like liquid-filled hard capsules, although the drug is presented in a liquid formulation, it is enclosed within a solid, thus combining the attributes of both. Soft gelatin capsules (softgels) offer a number of advantages, including improved bioavailability, as the drug is presented in a solubilized form, and enhanced drug stability. Consumer preference regarding ease of swallowing, convenience, and taste can improve compliance, and they offer opportunities for product differentiation via color, shape, and size and product line extension. The softgels can be enteric coated for delayed release. They are popular for pharmaceuticals, cosmetics, and nutritional products, but highly water-soluble drugs and aldehydes are not suitable for

encapsulation in softgels. Formulations are tamper evident and can be used for highly potent or toxic drugs. However, they do require specialist manufacture and incur high production costs.

The shell is primarily composed of gelatin, plasticizer, and water (30–40% wet gel), and the fill can be a solution or suspension, liquid, or semisolid. The size of a softgel represents its nominal capacity in minims; for example, a 30 oval softgel can accommodate 30 minims (or 1.848 cm³). Glycerol is the major plasticizer used, although sorbitol and propylene glycol can also be used. Other excipients are dyes, pigments, preservatives, and flavors. Up to 5% sugar can be added to give a chewable quality. The glycerol–gelatin solution is heated and pumped onto two chilled drums to form two separate ribbons (usually 0.02–0.04 in. thick), which form each half of the softgel. The ribbons are lubricated and fed into the filling machine, forcing the gelatin to adopt the contours of the die. The fill is manufactured in a separate process and pumped in, and the softgels are sealed by the application of heat and pressure. Once cut from the ribbon, they are tumble-dried and conditioned at 20% relative humidity.

Fill solvents are selected based on a balance between adequate solubility of the drug and physical stability. Water-miscible solvents such as low-molecular weight PEGs, polysorbates, and small amounts of propylene glycol, ethanol, and glycerin can be used. Water-immiscible solvents include vegetable and aromatic oils; aliphatic, aromatic, and chlorinated hydrocarbons; ethers; esters; and some alcohols. Emulsions, liquids with extremes of pH (≤ 2.5 and ≥ 7.5), and volatile components can cause problems with stability, and drugs that do not have adequate stability in the solvents can be formulated as suspensions. In these instances, the particle size needs to be carefully controlled, and surfactants can be added to promote wetting. Vegicaps soft capsules from Cardinal Health are an alternative to traditional softgels, containing carrageenan and hydroxypropyl starch. As with traditional soft gelatin capsules, the most important packaging and storage criterion is for adequate protection against extremes of relative humidity. The extent of protection required also depends on the fill formulation and on the anticipated storage conditions.



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2 Oral Solutions and Suspensions

I. INTRODUCTION

The manufacture and control of oral solutions and oral suspensions present unique problems to the industry. While bioequivalency concerns are minimal (except for antibiotic suspensions, for example), other issues have led to recalls, including microbiological, potency, and stability problems. Additionally, because the population using these oral dosage forms includes newborn, pediatric, and geriatric patients, who may not be able to take oral solid dosage forms and may be compromised, defective dosage forms can pose an even greater risk than for other patients.

II. FACILITIES

The design of production facilities is largely dependent on the type of products manufactured and the potential for cross-contamination and microbiological contamination. For example, facilities used for the manufacture of over-the-counter (OTC) oral products might not require the isolation that a steroid or sulfa product would require. The manufacturer must establish policies of isolation of processes to minimize contamination. It should be further established whether or not particular drug substances and powdered excipients generate dust, given the method of manufacture used. System design and efficiency of the dust removal system must be considered. A firm's heating, ventilation, and air-conditioning (HVAC) system requires particular attention, especially where potent or highly sensitizing drugs are processed. Some manufacturers recirculate air without adequate filtration. Where air is recirculated, a firm's data must demonstrate the efficiency of air filtration through surface and/or air sampling.

III. EQUIPMENT

Equipment should be of a sanitary design and should include sanitary pumps, valves, flow meters, and other equipment that can be easily sanitized. Ball valves, packing in pumps, and pockets in flow meters have been identified as sources of contamination. In order to facilitate cleaning and sanitization, manufacturing and filling lines should be identified and detailed in drawings and standard operating procedures. In some cases, long delivery lines between manufacturing areas and filling areas have been a source of contamination. The standard operating procedures of many manufacturers have been found to be deficient, particularly with regard to time limitations between batches and for cleaning. Equipment used for batching and mixing of oral solutions and suspensions is relatively basic. Generally, these products are formulated on a weight basis with the batching tank on load cells, so that a final quantity sufficient (QS) can be made by weight.

Volumetric means, such as using a dipstick or line on a tank, have been found to be inaccurate. In most cases, manufacturers will assay samples of the bulk solution or suspension prior to filling. Much greater variability has been found with batches that have been manufactured volumetrically rather than by weight.

The design of the batching tank with regard to the location of the bottom discharge valve also presents problems. Ideally, the bottom discharge valve should be flush with the bottom of the tank. In some cases, valves (including undesirable ball valves) are several inches below the bottom of the tank; in others, the drug or preservative is not completely dissolved and lies in the dead leg below the tank, with initial samples being found to be subpotent. For the manufacture of suspensions, valves should be flush.

With regard to transfer lines, they are generally hard piped and easily cleaned and sanitized. In some cases, manufacturers have used flexible hoses to transfer product, but it is not unusual to find flexible hoses on the floor, thus significantly increasing the potential for contamination. Such contamination can occur when operators pick up or handle the hoses, possibly even placing them in transfer or batching tanks after picking them up from the floor. It is also a good practice to store hoses in a way that allows them to drain rather than coiling them, which may allow moisture to collect and be a potential source of microbial contamination.

Another common problem occurs when a manifold or common connection is used, especially in water supply, pre-mix, or raw material supply tanks. Such common connections have been shown to be a source of contamination.

IV. RAW MATERIALS

Physical characteristics, particularly the particle size of the drug substance, are very important for suspensions. As with topical products in which the drug is suspended, particles are usually very fine to micronize (less than 25 μm). For syrups, elixirs, or solution dosage forms in which nothing is suspended, the particle size and physical characteristics of the raw materials are not that important; however, they can affect the rate of dissolution of such raw materials during the manufacturing process. Raw materials of a finer particle size may dissolve faster than those of a larger particle size when the product is compounded.

V. COMPOUNDING

In addition to a determination of the final volume (QS) as previously discussed, microbiological concerns also exist. For oral suspensions, an additional concern is uniformity, particularly because of the potential for segregation during

the manufacture and storage of the bulk suspension, during transfer to the filling line, and during filling. A manufacturer's data should support storage times and transfer operations. Procedures and time limits for such operations should be established to address the potential for segregation or settling, as well as other unexpected effects that may be caused by extended holding or stirring.

For oral solutions and suspensions, the amount and control of temperature are important from a microbiological as well as a potency aspect. For those products in which temperature is identified as a critical part of the operation, the manufacturer should maintain documentation of temperature, such as by control charts.

Some manufacturers rely on heat during compounding to control the microbiological levels in the product. For such products, the addition of purified water to a final QS, the batch, and the temperatures during processing should be documented and available for review.

In addition to drug substances, some additives, such as parabens, are difficult to dissolve and require heat. The control and monitoring of their dissolution during the compounding stage should be documented. From a potency aspect, the storage of product at high temperatures may increase the level of degradants. Storage limitations (time and temperature) should be justified by manufacturers and are likely to be evaluated during an inspection.

Some oral liquids are sensitive to oxygen and have been known to undergo degradation. This is particularly true of the phenothiazine class of drugs, such as perphenazine and chlorpromazine. The manufacture of such products might require the removal of oxygen, such as by nitrogen purging. Additionally, such products might require storage in sealed tanks rather than in tanks with loose lids. In the OTC category, the entire line of vitamins is subject to degradation if they are not properly protected against oxidation, particularly those products that contain minerals (which might contain highly active trace elements that catalyze the degradation of vitamins).

VI. MICROBIOLOGICAL QUALITY

Microbiological contamination of some oral liquids can present significant health hazards. For example, some oral liquids, such as nystatin suspension, are used for infants and immunocompromised patients, and microbiological contamination with organisms such as Gram-negative organisms is objectionable. For other oral liquid preparations, such as antacids, *Pseudomonas* sp. contamination is also objectionable; however, for some oral liquids, such as cough preparations, contamination with *Pseudomonas* sp. might not present the same health hazard. Obviously, the contamination of any preparation with Gram-negative organisms is not desirable.

In addition to the specific contaminant being objectionable, such contamination would be indicative of a deficient process as well as an inadequate preservative system. The presence of a specific *Pseudomonas* sp. may also indicate that other plant or raw material contaminants could survive the process. For

example, the fact that a *Pseudomonas putida* contaminant is present could indicate that *Pseudomonas aeruginosa*, a similar source organism, could also be present.

VII. ORAL SUSPENSION UNIFORMITY

Liquid products in which the drug is suspended (and not in solution) present manufacturer control problems. Depending upon the viscosity, many suspensions require continuous or periodic agitation during the filling process. If delivery lines are used between the bulk storage tank and the filling equipment, some segregation may occur, particularly if the product is not viscous. Inspectors will review a manufacturer's procedures for filling and diagrams for line setup prior to the filling equipment. Good manufacturing practice would warrant testing bottles from the beginning, middle, and end to ensure that segregation has not occurred. Such samples should not be composited or pooled. In-process testing for suspensions might also include an assay of a sample from the bulk tank. More important, however, may be testing for viscosity.

VIII. PRODUCT SPECIFICATIONS

Important specifications for the manufacture of all solutions include assay and microbial limits. Additional important specifications for suspensions include particle size of the suspended drug, viscosity, pH, and in some cases, dissolution. Maintaining an appropriate viscosity is important from a processing perspective to minimize segregation. Additionally, viscosity has been shown to be associated with bioequivalency. The pH may also have some meaning regarding the effectiveness of preservative systems and may even have an effect on the amount of drug in solution. With regard to dissolution, at least several products have dissolution specifications listed in their U.S. Pharmacopeia (USP) monographs. Particle size is also important, and at this point, it would seem that any suspension should have some type of particle-size specification.

IX. PROCESS VALIDATION

As with other products, the amount of data required to support the manufacturing process will vary from product to product. Development data should identify critical phases of the operation, including the predetermined specifications that should be monitored during process validation. For example, for solutions, the key aspects that should be addressed during validation include assurance that the drug substance and preservatives are dissolved. Parameters such as heat and time should be measured. In-process assay of the bulk solution during and/or after compounding according to predetermined limits is also an important aspect of process validation. For solutions that are sensitive to oxygen and/or light, dissolved oxygen levels would also be an important test. Again, the development data and the protocol should provide limits. The manufacture of suspensions presents additional problems, particularly in the area of uniformity. Again, development

data should address the key compounding and filling steps that ensure uniformity. The protocol should provide for the key in-process and finished product tests, along with their specifications. For oral solutions, bioequivalency studies may not always be needed; however, oral suspensions, with the possible exception of some antacids and OTC products, usually require a bioequivalency or clinical study to demonstrate effectiveness. As with oral solid dosage forms, comparison with the biobatch is an important part of validating the process.

X. STABILITY

One area that has presented a number of problems includes maintaining the stability of oral liquid products throughout their expiry period. Vitamins with fluoride oral liquid products have had a number of recalls because of vitamin degradation. Drugs in the phenothiazine class, such as perphenazine, chlorpromazine, and promethazine, have also shown evidence of instability. Good practice for this class of drug products would include quantitation of both the active and the primary degradant. Dosage form manufacturers should know and have specifications for the primary degradant. These manufacturers' data and validation data for methods used to quantitate both the active drug and the degradant are likely to be reviewed during an inspection. Because interactions of products with closure systems are possible, liquids and suspensions undergoing stability

studies should be stored on their side or inverted in order to determine whether contact of the drug product with the closure system affects product integrity. Moisture losses that can cause the remaining contents to become superpotent and microbiological contamination are other problems associated with inadequate closure systems.

XI. PACKAGING

Problems in the packaging of oral liquids have included the potency (fill) of unit dose products and accurate calibration of the measuring devices, such as droppers, that are often provided. The USP does not provide for dose uniformity testing for oral solutions. Thus, unit-dose solution products should deliver label claims within the limits described in the USP. Inspectors will review a manufacturer's data to ensure uniformity of fill and test procedures to ascertain that unit-dose samples are being tested. Another problem in the packaging of oral liquids is a lack of cleanliness of containers prior to filling. Fibers and even insects have been identified as debris in containers, particularly plastic containers, used for these products. Many manufacturers receive containers shrink wrapped in plastic to minimize contamination from fiberboard cartons. Some manufacturers may utilize compressed air to clean containers, in which case vapors (such as oil vapors) from the compressed air have occasionally been found to present problems.



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3 The FDA Drug Product Surveillance Program

I. BACKGROUND

A primary mission of the Food and Drug Administration (FDA) is to conduct comprehensive regulatory coverage of all aspects of production and distribution of drugs and drug products to ensure that such products meet the 501(a)(2)(B) requirements of the Food, Drugs, and Cosmetics Act. The FDA has developed two basic strategies:

1. Evaluating through factory inspections, including the collection and analysis of associated samples, the conditions and practices under which drugs and drug products are manufactured, packed, tested, and held
2. Monitoring the quality of drugs and drug products through surveillance activities such as sampling and analyzing products in distribution

This compliance program is designed to provide guidance for implementing the former strategy. Products from production and distribution facilities covered under this program are consistently of acceptable quality if the firm is operating in a state of control. The Drug Product Surveillance Program (CP 7356.008) provides guidance for the latter strategy.

II. IMPLEMENTATION

A. OBJECTIVES

The goal of this program's activities is to minimize consumers' exposure to adulterated drug products. Under this program, inspections and investigations, sample collections and analyses, and regulatory or administrative follow-up are made:

- To determine whether inspected firms are operating in compliance with applicable current Good Manufacturing Practices (cGMPs) requirements and, if not, to provide the evidence for actions to prevent adulterated products from entering the market; and, as appropriate, to remove adulterated products from the market and to take action against persons responsible as appropriate
- To provide cGMP assessment, which may be used in efficient determination of acceptability of the firm in the preapproval review of a facility for new drug applications
- To provide input to firms during inspections to improve their compliance with regulations

- To continue the FDA's unique expertise in drug manufacturing in determining the adequacy of cGMP requirements, FDA cGMP regulatory policy, and guidance documents

B. STRATEGY

1. Biennial Inspection of Manufacturing Sites

Drugs and drug products are manufactured using many physical operations to bring together components, containers, and closures into a product that is released for distribution. Activities found in drug firms can be organized into systems that are sets of operations and related activities. Control of all systems helps to ensure that the firm will produce drugs that are safe, have the identity and strength, and meet the quality and purity characteristics as intended.

Biennial inspections (every 2 years) of manufacturing sites, which include repackaging, contract laboratories, etc., help to

- Reduce the risk that adulterated products are reaching the marketplace
- Increase communication between the industry and the Agency
- Provide for timely evaluation of new manufacturing operations in the firm
- Provide for regular feedback from the Agency to individual firms on the continuing status of the firm's GMP compliance

This program applies to all drug manufacturing operations. Currently, not enough FDA resources are available to audit every aspect of cGMP in every manufacturing facility during every inspection visit. Profile classes generalize inspection coverage from a small number of specific products to all the products in that class. This program establishes a systems approach to further generalize inspection coverage from a small number of profile classes to an overall evaluation of the firm. Reporting coverage for every profile class as defined in Field Accomplishment and Compliance Tracking System (FACTS), in each biennial inspection, provides the most broadly resource-efficient approach. Biennial updating of all profile classes will allow cGMP acceptability determinations to be made without delays resulting from revisiting the firm. This will speed the review process in response to compressed time frames for application decisions and in response to provisions of the FDA Modernization Act of 1997 (FDAMA). This will allow preapproval inspections/investigations program

inspections and postapproval audit inspections to focus on the specific issues related to a given application or the firm's ability to keep applications current.

The inspection is defined as audit coverage of two or more systems, with mandatory coverage of the Quality System (see the system definitions in section II.B.3.). Inspection options include different numbers of systems to be covered depending on the purpose of the inspection. Inspecting the minimum number of systems, or more systems as deemed necessary by the regional district of the FDA, will provide the basis for an overall cGMP decision.

2. Inspection of Systems

Inspections of drug manufacturers should be made and reported using the system definitions and organization in this compliance program. Focusing on systems instead of on profile classes will increase efficiency in conducting inspections, because the systems are often applicable to multiple profile classes. One biennial inspection visit will result in a determination of acceptability/nonacceptability for all profile classes. Inspection coverage should be representative of all the profile classes manufactured by the firm. Efficiency will be realized, because multiple visits to a firm will not be needed to cover all profile classes; delays in approval decisions will be avoided, because up-to-date profile class information will be available at all times.

Coverage of a system should be sufficiently detailed, with specific examples selected, so that the system inspection outcome reflects the state of control in that system for every profile class. If a particular system is adequate, it should be adequate for all profile classes manufactured by the firm. For example, the way a firm handles "materials" (i.e., receipt, sampling, testing, acceptance, etc.) should be the same for all profile classes. The investigator should not have to inspect the Material System for each profile class. Likewise, the Production System includes general requirements such as standard operating procedure (SOP) use, charge-in of components, equipment identification, and in-process sampling and testing, which can be evaluated through selection of example products in various profile classes. Under each system, there may be something unique for a particular profile class (e.g., under the Materials System, the production of Water for Injection USP for use in manufacturing. Selecting unique functions within a system will be at the discretion of the lead investigator). Any given inspection need not cover every system (see section III).

Complete inspection of one system may necessitate further follow-up of some items within the activities of another/other system(s) to fully document the findings. However, this coverage neither constitutes nor requires complete coverage of these other systems.

3. A Scheme of Systems for the Manufacture of Drugs and Drug Products

A general scheme of systems for auditing the manufacture of drugs and drug products consists of the following:

1. *Quality System*—This system assures overall compliance with cGMPs and internal procedures and specifications. The system includes the quality control unit and all its review and approval duties (e.g., change control, reprocessing, batch release, annual record review, validation protocols, and reports). It includes all product defect evaluations and evaluation of returned and salvaged drug products. (See the cGMP regulation, 21 CFR 211 subparts B, E, F, G, I, J, and K.)
2. *Facilities and Equipment System*—This system includes the measures and activities that provide an appropriate physical environment and the resources used in the production of the drugs or drug products. It includes the following:
 - a. Buildings and facilities along with maintenance
 - b. Equipment qualifications (installation and operation); equipment calibration and preventative maintenance; and cleaning and validation of cleaning processes, as appropriate process performance qualification will be evaluated as part of the inspection of the overall process validation that is done within the system where the process is employed
 - c. Utilities not intended for incorporation into the product, such as heating, ventilating, and air-conditioning (HVAC), compressed gases, steam, and water systems. (See the cGMP regulation, 21 CFR 211 subparts B, C, D, and J.)
3. *Materials System*—This system includes measures and activities to control finished products, components, including water or gases that are incorporated into the product, containers, and closures. It includes validation of computerized inventory control processes, drug storage, distribution controls, and records. (See the cGMP regulation, 21 CFR 211 subparts B, E, H, and J.)
4. *Production System*—This system includes measures and activities to control the manufacture of drugs and drug products, including batch compounding, dosage form production, in-process sampling and testing, and process validation. It also includes establishing, following, and documenting the performance of approved manufacturing procedures. (See the cGMP regulation, 21 CFR 211 subparts B, F, and J.)
5. *Packaging and Labeling System*—This system includes measures and activities that control the packaging and labeling of drugs and drug products. It includes written procedures, label examination and usage, label storage and issuance, packaging and labeling operations controls, and validation of these operations. (See the cGMP regulation, 21 CFR 211 subparts B, G, and J.)
6. *Laboratory Control System*—This system includes measures and activities related to laboratory procedures, testing, analytical methods development and validation or verification, and the stability program. (See the cGMP regulation, 21 CFR 211 subparts B, I, J, and K.)

The overall theme in devising this scheme of systems was the subchapter structure of the cGMP regulation. Every effort

was made to group whole subchapters together in a rational set of six systems that incorporates the general scheme of pharmaceutical manufacturing operations.

The organization and personnel, including appropriate qualifications and training, employed in any given system are evaluated as part of that system's operation. Production, control, or distribution records required to be maintained by the cGMP regulation and selected for review should be included for inspection audit within the context of each of the previously described systems. Inspections of contract companies should be within the systems for which the products or services are contracted as well as their quality systems.

III. PROGRAM MANAGEMENT INSTRUCTIONS

A. DEFINITIONS

1. Surveillance Inspections

a. *The Full Inspection Option*

The Full Inspection Option is a surveillance or compliance inspection that is meant to provide a broad and deep evaluation of the firm's cGMP. This is done when little or no information is known about a firm's cGMP compliance (e.g., for new firms); or for firms where doubt exists about the cGMP compliance in the firm (e.g., a firm with a history of documented short-lived compliance and recidivism); or as follow-up to previous regulatory actions. Based on findings of objectionable conditions (as listed in section V) in one or more systems—a minimum of two systems must be completed—a Full Inspection may revert to the Abbreviated Inspection Option, with District concurrence (see section III.B.1). During the course of a Full Inspection, verification of Quality System activities may require limited coverage in other systems. The Full Inspection Option normally includes an inspection audit of at least four of the systems, one of which must be the Quality System (the system that includes the responsibility for the annual product reviews).

b. *The Abbreviated Inspection Option*

The Abbreviated Inspection Option is a surveillance or compliance inspection that is meant to provide an efficient update evaluation of a firm's cGMP. The abbreviated inspection provides documentation for continuing a firm in a satisfactory cGMP compliance status. Generally, this is done when a firm has a record of satisfactory cGMP compliance, with no significant recall or product defect or alert incidents, or with little shift in the manufacturing profiles of the firm within the previous two years (see section III.B.2). A full inspection may revert to an abbreviated inspection based on findings of objectionable conditions as listed in section V in one or more systems. The Abbreviated Inspection Option normally includes an inspection audit of at least two of the systems, one of which must be the Quality System (the system that includes the responsibility for the annual product reviews). The District drug program managers should ensure that the optional systems are rotated in successive abbreviated inspections. During the course of an abbreviated inspection, verification of quality

system activities may require limited coverage in other systems. Some firms participate in a limited part of the production of a drug or drug product (e.g., a contract laboratory). Such firms may employ only two of the systems defined. In these cases, the inspection of the two systems comprises inspection of the entire firm; this is considered as the Full Inspection Option.

c. *Selecting Systems for Coverage*

The selection of the system(s) for coverage will be made by the FDA's Regional District Office based on such factors as a given firm's specific operation, history of previous coverage, history of compliance, or other priorities determined by the District Office.

2. Compliance Inspections

Compliance inspections are inspections conducted to evaluate or verify compliance corrective actions after a regulatory action has been taken. First, the coverage given in compliance inspections must be related to the deficient areas and subjected to corrective actions.

In addition, coverage must be given to systems, because a determination must be made on the overall compliance status of the firm after the corrective actions are taken. The firm is expected to address all its operations in its corrective action plan after a previously violative inspection, not just the deficiencies noted in the FDA-483 (inspectional observations). The Full Inspection Option should be used for a compliance inspection, especially if the Abbreviated Inspection Option was used during the violative inspection.

Compliance Inspections include "For Cause Inspections." For Cause Inspections are compliance inspections that are conducted to investigate a specific problem that has come to the attention of some level of the agency. The problems may be indicated in Field Alert Reports (FARs), industry complaints, recalls, indicators of defective products, etc. Coverage of these areas may be assigned under other compliance programs; however, expansion of the coverage to a GMP inspection must be reported under this program. For Cause Inspections may be assigned under this program as the need arises.

3. State of Control

A drug firm is considered to be operating in a "state of control" when it employs conditions and practices that assure compliance with the intent of sections 501(a)(2)(B) of the Act and portions of the cGMP regulations that pertain to its systems. A firm in a state of control produces finished drug products for which there is an adequate level of assurance of quality, strength, identity, and purity. A firm is "out of control" if any one system is out of control. A system is out of control if the quality, identity, strength, and purity of the products resulting from that (those) system(s) cannot be adequately ensured. Documented cGMP deficiencies provide the evidence for concluding that a system is not operating in a state of control. See section V, "Regulatory/Administrative Strategy," for a discussion of compliance actions based on inspection findings demonstrating out-of-control systems/firms.

4. Drug Process

A drug process is a related series of operations that result in the preparation of a drug or drug product. Major operations or steps in a drug process may include mixing, granulation, encapsulation, tableting, chemical synthesis, fermentation, aseptic filling, sterilization, packing, labeling, and testing.

5. Drug Manufacturing Inspection

A Drug Manufacturing Inspection is a factory inspection in which evaluation of two or more systems, including the Quality System, is done to determine whether manufacturing is occurring in a state of control.

B. INSPECTION PLANNING

The Field Office will conduct drug manufacturing inspections and maintain profiles or other monitoring systems, which ensures that each drug firm receives biennial inspectional coverage, as provided for in the strategy.

The District Office is responsible for determining the depth of coverage given to each drug firm. cGMP inspectional coverage shall be sufficient to assess the state of compliance for each firm.

The frequency and depth of inspection should be determined by the statutory obligation, the firm's compliance history, the technology employed, and the characteristics of the products. When a system is inspected, the inspection of that system may be considered applicable to all products that use it. Investigators should select an adequate number and type of products to accomplish coverage of the system. Selection of products should be made so that coverage is representative of the firm's overall abilities to manufacture within cGMP requirements.

Review of new drug application/abbreviated new drug application (NDA/aNDA) files may assist in selecting significant drug processes for coverage in the various systems. Significant drug processes are those that utilize all the systems in the firm very broadly and contain steps with unique or difficult manipulation in the performance of a step. Products posing special manufacturing features (e.g., low-dose products, narrow-therapeutic range drugs, combination drugs, modified release products, etc.) and new products made under an approved drug application should be considered first in selecting products for coverage.

The health significance of certain cGMP deviations may be lower when the drug product involved has no major systemic effect or no dosage limitations, as in products such as calamine lotion or over-the-counter (OTC) medicated shampoos. Such products should be given inspection coverage with appropriate priority.

Inspections for this compliance program may be performed during visits to a firm when operations are being performed for other compliance programs or other investigations.

C. PROFILES

The inspection findings will be used as the basis for updating all profile classes in the profile screen of the FACTS EIR

coversheet that is used to record profile/class determinations. Normally, an inspection under this systems approach will result in the update of all profile classes.

IV. INSPECTIONAL OBSERVATIONS

A. INVESTIGATIONAL OPERATIONS

1. General

Review and use the cGMPs for Finished Pharmaceuticals (21 CFR 210 and 211) to evaluate manufacturing processes. Use the Guides to Inspection published by the Office of Regional Operations for information on technical applications in various manufacturing systems.

The investigator should conduct inspections according to the "Strategy" section in part II of this compliance program. Recognizing that drug firms vary greatly in size and scope, and manufacturing systems are more or less sophisticated, the approach to inspecting each firm should be carefully planned. For example, it may be more appropriate to review the Quality System thoroughly before entering production areas in some firms; in others, the Quality System review should take place concurrently with inspection of another system or systems selected for coverage. The complexity and variability necessitate a flexible inspection approach—one that not only allows the investigator to choose the inspection focus and depth appropriate for a specific firm, but also directs the performance and reporting on the inspection within a framework that will provide for a uniform level of cGMP assessment. Furthermore, this inspection approach provides for fast communication and evaluation of findings.

Inspectional Observations noting cGMP deficiencies should be related to a requirement. Requirements for the manufacture of drug products (dosage forms) are in the cGMP regulation and are amplified by policy in the Compliance Policy Guides or case precedents. cGMP requirements apply to the manufacture of distributed prescription drug products, OTC drug products, approved products, and products not requiring approval, as well as drug products used in clinical trials. The cGMP regulations are not direct requirements for manufacture of active pharmaceutical ingredients (APIs); the regulations should not be referenced as the basis for a GMP deficiency in the manufacture of APIs, but they are guidance for cGMP in API manufacture.

Guidance documents do not establish requirements; they state examples of ways to meet requirements. Guidance documents are not to be referred to as the justification for an inspectional observation. The justification comes from the cGMPs. Current Guides to Inspection and Guidance to Industry documents provide interpretations of requirements, which may assist in the evaluation of the adequacy of cGMP systems.

Current inspectional observation policy as stated in the inspection operations manual (IOM) says that the FDA-483, when issued, should be specific and contain only significant items. For this program, inspection observations should be organized under separate captions by the systems defined in this program. List observations in order of importance within

each system. Where repeated or similar observations are made, they should be consolidated under a unified observation. For those Districts utilizing Turbo EIR, a limited number of observations can be common to more than one system (e.g., organization and personnel, including appropriate qualifications and training). In these instances, put the observation in the first system reported on the FDA-483, and in the text of the EIR, reference the applicability to other systems where appropriate. This should be done to accommodate the structure of Turbo EIR, which allows individual citation once per FDA-483. Refrain from using unsubstantiated conclusions. Do not use the term “inadequate” without explaining why and how. Refer to the policy in the IOM, chapter 5, section 512 and Field Management Directive 120 for further guidance on the content of Inspectional Observations.

Specific specialized inspectional guidance may be provided as attachments to this program, or in requests for inspection, assignments, etc.

2. Inspection Approaches

This program provides two surveillance inspectional options: Abbreviated Inspection Option and Full Inspection Option (see the definitions of the inspection options in part II of this compliance program).

1. *Selecting the Full Inspection Option*—The Full Inspection Option will include inspection of at least four of the systems as listed in part II “Strategy,” one of which must be the Quality System.
 - a. Select the Full Inspection Option for an initial FDA inspection of a facility. A full inspection may revert to the Abbreviated Inspection Option, *with District concurrence*, based on the finding of objectionable conditions as listed in part V in one or more systems (a minimum of two systems must be completed).
 - b. Select the Full Inspection Option when the firm has a history of fluctuating into and out of compliance. To determine whether the firm meets this criterion, the District should utilize all information at its disposal, such as inspection results, results of sample analyses, complaints, drug quality reporting system (DQRS) reports, recalls, etc., and the compliance actions resulting from them or from past inspections. A Full Inspection may revert to the Abbreviated Inspection Option, *with District concurrence*, based on findings of objectionable conditions as listed in part V in one or more systems (a minimum of two systems must be completed).
 - c. Evaluate whether important changes have occurred by comparing current operations against the EIR for the previous full inspection. The following types of changes are typical of those that warrant the Full Inspection Option:
 - Use of new technology requiring new expertise, significant new equipment, or new facilities
2. *Selecting the Abbreviated Inspection Option*—The Abbreviated Inspection Option normally will include inspection audit of at least two systems, one of which must be the Quality System. During the course of an abbreviated inspection, verification of quality system activities may require limited coverage in other systems.
 - a. This option involves an inspection of the manufacturer to maintain surveillance over the firm’s activities and to provide input to the firm on maintaining and improving the GMP level of assurance of quality of its products.
 - b. A full inspection may revert to the Abbreviated Inspection Option, *with District concurrence*, based on findings of objectionable conditions as listed in part V in one or more systems (a minimum of two systems must be completed).
 - c. An abbreviated inspection is adequate for routine coverage and will satisfy the biennial inspectional requirement.
 - a. *Comprehensive Inspection Coverage*
It is not anticipated that full inspections will be conducted every two years. They may be conducted at less frequent intervals, perhaps at every third or fourth inspection cycle. Districts should consider selecting different optional systems for inspection coverage as a cycle of Abbreviated Inspections is carried out to build comprehensive information on the firm’s total manufacturing activities.
3. **System Inspection Coverage**
 - a. *Quality System*
Assessment of the Quality System is two-phased:
 1. The first phase evaluates whether the Quality Control Unit has fulfilled the responsibility to review and approve all procedures related to production, quality control, and quality assurance and ensure the procedures are adequate for their intended use. This also includes the associated record-keeping systems.
 2. The second phase assesses the data collected to identify quality problems and may link to other major systems for inspectional coverage.

For each of the following, the firm should have written and approved procedures and documentation resulting therefrom. The firm’s adherence to written procedures should be verified

through observation whenever possible. These areas are not limited to finished products but may also incorporate components and in-process materials. These areas may indicate deficiencies not only in this system but also in other major systems that would warrant expansion of coverage. All areas under this system should be covered; however, the depth of coverage may vary depending upon inspectional findings:

- *Product reviews*—at least annually; should include information from areas listed in the following as appropriate; batches reviewed for each product are representative of all batches manufactured; trends are identified (refer to 21 CFR 211.180(e))
- *Complaint reviews (quality and medical)*—documented; evaluated; investigated in a timely manner; includes corrective action where appropriate
- *Discrepancy and failure investigations related to manufacturing and testing*—documented; evaluated; investigated in a timely manner; includes corrective action where appropriate
- *Change control*—documented; evaluated; approved; need for revalidation assessed
- *Product improvement projects*—for marketed products
- *Reprocess/rework*—evaluation, review, and approval; impact on validation and stability
- *Returns/salvages*—assessment; investigation expanded where warranted; disposition
- *Rejects*—investigation expanded where warranted; corrective action where appropriate
- *Stability failures*—investigation expanded where warranted; need for field alerts evaluated; disposition
- Quarantine products
- *Validation*—status of required validation/revalidation (e.g., computer, manufacturing process, laboratory methods)
- Training/qualification of employees in quality control unit functions

b. Facilities and Equipment System

For each of the following, the firm should have written and approved procedures and documentation resulting therefrom. The firm's adherence to written procedures should be verified through observation whenever possible. These areas may indicate deficiencies not only in this system but also in other systems that would warrant expansion of coverage. When this system is selected for coverage in addition to the Quality System, all areas listed next should be covered; however, the depth of coverage may vary depending upon inspectional findings:

1. Facilities

- Cleaning and maintenance
- Facility layout and air handling systems for prevention of cross-contamination (e.g., penicillin, beta-lactams, steroids, hormones, cytotoxics, etc.)

- Specifically designed areas for the manufacturing operations performed by the firm to prevent contamination or mix-ups
- General air handling systems
- Control system for implementing changes in the building
- Lighting, potable water, washing and toilet facilities, sewage and refuse disposal
- Sanitation of the building, use of rodenticides, fungicides, insecticides, and cleaning and sanitizing agents

2. Equipment

- Equipment installation and operational qualification where appropriate
- Adequacy of equipment design, size, and location
- Equipment surfaces should not be reactive, additive, or absorptive
- Appropriate use of equipment operation substances (lubricants, coolants, refrigerants, etc.), contacting products, containers, etc.
- Cleaning procedures and cleaning validation
- Controls to prevent contamination, particularly with any pesticides or any other toxic materials, or other drug or nondrug chemicals
- Qualification, calibration, and maintenance of storage equipment, such as refrigerators and freezers, for ensuring that standards, raw materials, and reagents are stored at the proper temperatures
- Equipment qualification, calibration, and maintenance, including computer qualification/validation and security
- Control system for implementing changes in the equipment
- Equipment identification practices (where appropriate)
- Documented investigation into any unexpected discrepancy

c. Materials System

For each of the following, the firm should have written and approved procedures and documentation resulting therefrom. The firm's adherence to written procedures should be verified through observation whenever possible. These areas are not limited to finished products but may also incorporate components and in-process materials. These areas may indicate deficiencies not only in this system but also in other systems that would warrant expansion of coverage. When this system is selected for coverage in addition to the Quality System, all areas listed next should be covered; however, the depth of coverage may vary depending upon inspectional findings:

- Training/qualification of personnel.
- Identification of components, containers, and closures.
- Inventory of components, containers, and closures.
- Storage conditions.

- Storage under quarantine until tested or examined and released.
- Representative samples collected, tested, or examined using appropriate means.
- At least one specific identity test is conducted on each lot of each component.
- A visual identification is conducted on each lot of containers and closures.
- Testing or validation of supplier's test results for components, containers, and closures.
- Rejection of any component, container, or closure not meeting acceptance requirements.
- Investigate fully the firm's procedures for verification of the source of components.
- Appropriate retesting/reexamination of components, containers, and closures.
- First in–first out use of components, containers, and closures.
- Quarantine of rejected materials.
- Water and process gas supply, design, maintenance, validation, and operation.
- Containers and closures should not be additive, reactive, or absorptive to the drug product.
- Control system for implementing changes in the materials handling operations.
- Qualification/validation and security of computerized or automated processes.
- Finished product distribution records by lot.
- Documented investigation into any unexpected discrepancy.

d. *Production System*

For each of the following, the firm should have written and approved procedures and documentation resulting therefrom. The firm's adherence to written procedures should be verified through observation whenever possible. These areas are not limited to finished products but may also incorporate components and in-process materials. These areas may indicate deficiencies not only in this system but also in other systems that would warrant expansion of coverage. When this system is selected for coverage in addition to the Quality System, all areas listed next should be covered; however, the depth of coverage may vary depending upon inspectional findings:

- Training/qualification of personnel
- Control system for implementing changes in processes
- Adequate procedure and practice for charge-in of components
- Formulation/manufacturing at not less than 100%
- Identification of equipment with contents and where appropriate, phase of manufacturing or status
- Validation and verification of cleaning/sterilization/depyrogenation of containers and closures
- Calculation and documentation of actual yields and percentage of theoretical yields
- Contemporaneous and complete batch production documentation

- Establishing time limits for completion of phases of production
- Implementation and documentation of in-process controls, tests, and examinations (e.g., pH, adequacy of mix, weight variation, clarity)
- Justification and consistency of in-process specifications and drug product final specifications
- Prevention of objectionable microorganisms in unsterile drug products
- Adherence to preprocessing procedures (e.g., setup, line clearance, etc.)
- Equipment cleaning and use logs
- Master production and control records
- Batch production and control records
- Process validation, including validation and security of computerized or automated processes
- Change control; the need for revalidation evaluated
- Documented investigation into any unexpected discrepancy

e. *Packaging and Labeling System*

For each of the following, the firm should have written and approved procedures and documentation resulting therefrom. The firm's adherence to written procedures should be verified through observation whenever possible. These areas are not limited only to finished products but may also incorporate components and in-process materials. These areas may indicate deficiencies not only in this system but also in other systems that would warrant expansion of coverage. When this system is selected for coverage in addition to the Quality System, all areas listed next should be covered; however, the depth of coverage may vary depending upon inspectional findings:

- Training/qualification of personnel.
- Acceptance operations for packaging and labeling materials.
- Control system for implementing changes in packaging and labeling operations.
- Adequate storage for labels and labeling, both approved and returned after issued.
- Control of labels that are similar in size, shape, and color for different products.
- Finished product cut labels for immediate containers that are similar in appearance without some type of 100% electronic or visual verification system or the use of dedicated lines.
- Labels are not gang printed unless they are differentiated by size, shape, or color.
- Control of filled unlabeled containers that are later labeled under multiple private labels.
- Adequate packaging records that will include specimens of all labels used.
- Control of issuance of labeling, examination of issued labels, and reconciliation of used labels.
- Examination of the labeled finished product.
- Adequate inspection (proofing) of incoming labeling.

- Use of lot numbers and the destruction of excess labeling bearing lot/control numbers.
- Physical/spatial separation between different labeling and packaging lines.
- Monitoring of printing devices associated with manufacturing lines.
- Line clearance, inspection, and documentation.
- Adequate expiration dates on the label.
- Conformance to tamper-evident packaging (TEP) requirements (see 21CFR 211.132 and Compliance Policy Guide, 7132a.17).
- Validation of packaging and labeling operations, including validation and security of computerized processes.
- Documented investigation into any unexpected discrepancy.

f. Laboratory Control System

For each of the following, the firm should have written and approved procedures and documentation resulting therefrom. The firm's adherence to written procedures should be verified through observation whenever possible. These areas are not limited only to finished products but may also incorporate components and in-process materials. These areas may indicate deficiencies not only in this system but also in other systems that would warrant expansion of coverage. When this system is selected for coverage in addition to the Quality System, all areas listed next should be covered; however, the depth of coverage may vary depending upon inspectional findings:

- Training/qualification of personnel.
- Adequacy of staffing for laboratory operations.
- Adequacy of equipment and facility for intended use.
- Calibration and maintenance programs for analytical instruments and equipment.
- Validation and security of computerized or automated processes.
- Reference standards: source, purity and assay, and tests to establish equivalency to current official reference standards as appropriate.
- System suitability checks on chromatographic systems (e.g., gas chromatography [GC] or high pressure liquid chromatography [HPLC]).
- Specifications, standards, and representative sampling plans.
- Adherence to the written methods of analysis.
- Validation/verification of analytical methods.
- Control system for implementing changes in laboratory operations.
- Required testing is performed on the correct samples.
- Documented investigation into any unexpected discrepancy.
- Complete analytical records from all tests and summaries of results.
- Quality and retention of raw data (e.g., chromatograms and spectra).

- Correlation of result summaries to raw data; presence of unused data.
- Adherence to an adequate Out of Specification (OOS) procedure that includes timely completion of the investigation.
- Adequate reserve samples; documentation of reserve sample examination.
- Stability testing program, including demonstration of stability-indicating capability of the test methods.

4. Sampling

Samples of defective product constitute persuasive evidence that significant cGMP problems exist. Physical samples may be an integral part of a cGMP inspection where control deficiencies are observed. Physical samples should be correlated with observed control deficiencies. Consider consulting your servicing laboratory for guidance on quantity and type of samples (in-process or finished) to be collected. Documentary samples may be submitted when the documentation illustrates the deficiencies better than a physical sample. Districts may elect to collect, but not analyze, physical samples or to collect documentary samples to document cGMP deficiencies. Physical sample analysis is not necessary to document cGMP deficiencies.

When a large number of products have been produced under deficient controls, collect physical or documentary samples of products that have the greatest therapeutic significance, narrow range of toxicity, or low dosage strength. Include samples of products of minimal therapeutic significance only when they illustrate highly significant cGMP deficiencies.

5. Inspection Teams

An inspection team (see IOM 502.4) composed of experts from within the District, other Districts, or Headquarters is encouraged when it provides needed expertise and experience. Contact the ORO/Division of Field Investigations if technical assistance is needed (see also FMD 142). Participation of an analyst (chemist or microbiologist) on an inspection team is also encouraged, especially where laboratory issues are extensive or complex. Contact your Drug Servicing Laboratory or ORO/Division of Field Science.

6. Reporting

The investigator utilizes Subchapter 590 of the IOM for guidance in reporting of inspectional findings. The Summary of Findings should identify systems covered. The body of the report should identify and explain the rationale for inspecting the profile classes covered. Any adverse findings by systems under separate captions should be reported and discussed in full. Additional information should be provided as needed or desired, for example, a description of any significant changes that have occurred since previous inspections.

Reports with specific, specialized information required should be prepared as instructed within the individual assignment/attachment.

V. ANALYTICAL OBSERVATIONS

A. ANALYZING LABORATORIES

1. Routine chemical analyses—all Servicing Laboratories except WEAC.
2. Sterility testing:
Region Examining Laboratory
3. Other microbiological examinations—NRL (for the CE Region), SRL, SAN, and DEN; *Salmonella* Serotyping Laboratory—ARL.
4. Chemical cross-contamination analyses by mass spectrometry (MS)—NRL, SRL, DEN, PRL/NW, and PHI. Non-mass spectrometry laboratories should call one of their own regional MS-capable laboratories or Division of Field Science (HFC-140) to determine the most appropriate lab for the determinations to be performed.
5. Chemical cross-contamination analyses by nuclear magnetic resonance (NMR) spectroscopy—NRL. Non-NMR laboratories should call one of their own regional labs equipped with NMR or Division of Field Science (HFC-140) to determine the most appropriate laboratory for the determinations to be performed.
6. Dissolution testing—NRL, KAN, SRL, SJN, DET, PHI, DEN, PRL/SW, and PRL-NW. Districts without dissolution testing capability should use one of their own regional labs for dissolution testing. Otherwise, call DFS.
7. Antibiotic analyses: ORA Examining Laboratory
Denver District Lab (HFR-SW260): Tetracyclines, erythromycins
Northeast Regional Lab (HFR-NE500): Penicillins, cephalosporins
CDER Examining Laboratory, Office of Testing and Research, Division of Pharmaceutical Analysis (HFD-473): All other antibiotics
8. Bioassays—Division of Testing and Applied Analytical Research, Drug Bioanalysis Branch (HFN-471).
9. Particulate Matter in Injectables—NRL, SRL.
10. Pyrogen/LAL Testing—SRL

B. ANALYSIS

1. Samples must be examined for compliance with applicable specifications as they relate to deficiencies noted during the inspection. The official method should be used for check analyses or, when no official method exists, by other validated procedures.
2. The presence of cross-contamination must be confirmed by a second method. Spectroscopic methods, such as MS, nuclear magnetic resonance (NMR), ultraviolet (UV)-visible, or infrared (IR) are preferred. A second confirmatory method should be employed by different mechanisms than the initial analysis (i.e., ion-pairing vs. conventional reverse phase HPLC).

3. Check Analysis for dissolution rate must be performed by a second dissolution-testing laboratory.
4. Sterility testing methods should be based on current editions of USP and the *Sterility Analytical Manual*. Other microbiological examinations should be based on appropriate sections of USP and *Bacteriological Analytical Manual* (BAM).

VI. REGULATORY/ADMINISTRATIVE STRATEGY

Inspection findings that demonstrate that a firm is not operating in a state of control may be used as evidence for taking appropriate advisory, administrative, or judicial actions.

When the management of the firm is unwilling or unable to provide adequate corrective actions in an appropriate time frame, formal agency regulatory actions will be recommended that are designed to meet the situation encountered.

When deciding the type of action to recommend, the initial decision should be based on the seriousness of the problem and the most effective way to protect consumers. Outstanding instructions in the *Regulatory Procedures Manual* (RPM) should be followed.

The endorsement to the inspection report should point out the actions that have been taken or will be taken and when. All deficiencies noted in inspections/audits under this program must be addressed by stating the firm's corrective actions, accomplished or projected, for each, as established in the discussion with management at the close of the inspection.

All corrective action approaches in domestic firms are monitored and managed by the District Offices. The approaches may range from shutdown of operations, recall of products, conducting testing programs, development of new procedures, or modifications of plants and equipment, to simple immediate corrections of conditions. CDER/DMPQ/CMGB/HFD-325 will assist District Offices as requested.

An inspection report that documents that one or more systems is/are out of control should be classified as Official Action Indicated (OAI). District Offices may issue Warning Letters per RPM to warn firms of violations, to solicit voluntary corrections, and to provide for the initial phase of formal agency regulatory actions.

Issuance of a Warning Letter or taking other regulatory actions pursuant to a surveillance inspection (other than a For Cause Inspection) should result in the classification of all profile classes as unacceptable. Also, the inspection findings will be used as the basis for updating profile classes in FACTS.

The FDA laboratory tests that demonstrate the effects of absent or inadequate cGMPs are strong evidence for supporting regulatory actions. Such evidence development should be considered as an inspection progresses and deficiencies are found; however, the lack of violative physical samples is *not* a barrier to pursuing regulatory or administrative action, provided that cGMP deficiencies have been well documented. Likewise, physical samples found to be in compliance are *not* a barrier to pursuing action under cGMP charges.

Evidence to support significant deficiencies or a trend of deficiencies within a system covered could demonstrate the

failure of a system and should result in consideration of the issuance of a Warning Letter or other regulatory action by the District. When deciding the type of action to recommend, the initial decision should be based on the seriousness or the frequency of the problem. Examples include the following:

Quality System

1. Pattern of failure to review/approve procedures
2. Pattern of failure to document execution of operations as required
3. Pattern of failure to review documentation
4. Pattern of failure to conduct investigations and resolve discrepancies/failures/deviations/complaints
5. Pattern of failure to assess other systems to assure compliance with GMP and SOPs

Facilities and Equipment

1. Contamination with filth, objectionable microorganisms, toxic chemicals or other drug chemicals, or a reasonable potential for contamination, with demonstrated avenues of contamination, such as airborne or through unclean equipment
2. Pattern of failure to validate cleaning procedures for nondedicated equipment; lack of demonstration of effectiveness of cleaning for dedicated equipment
3. Pattern of failure to document investigation of discrepancies
4. Pattern of failure to establish/follow a control system for implementing changes in the equipment
5. Pattern of failure to qualify equipment, including computers

Materials System

1. Release of materials for use or distribution that do not conform to established specifications
2. Pattern of failure to conduct one specific identity test for components
3. Pattern of failure to document investigation of discrepancies
4. Pattern of failure to establish/follow a control system for implementing changes in the materials handling operations
5. Lack of validation of water systems as required depending upon the intended use of the water
6. Lack of validation of computerized processes

Production System

1. Pattern of failure to establish/follow a control system for implementing changes in the production system operations
2. Pattern of failure to document investigation of discrepancies
3. Lack of process validation
4. Lack of validation of computerized processes
5. Pattern of incomplete or missing batch production records
6. Pattern of nonconformance to established in-process controls, tests, and specifications

Packaging and Labeling

1. Pattern of failure to establish/follow a control system for implementing changes in the packaging or labeling operations
2. Pattern of failure to document investigation of discrepancies
3. Lack of validation of computerized processes
4. Lack of control of packaging and labeling operations that may introduce a potential for mislabeling
5. Lack of packaging validation

Laboratory Control System

1. Pattern of failure to establish/follow a control system for implementing changes in the laboratory operations
2. Pattern of failure to document investigation of discrepancies
3. Lack of validation of computerized and/or automated processes
4. Pattern of inadequate sampling practices
5. Lack of validated analytical methods
6. Pattern of failure to follow approved analytical procedures
7. Pattern of failure to follow an adequate OOS procedure
8. Pattern of failure to retain raw data
9. Lack of stability-indicating methods
10. Pattern of failure to follow stability programs

Follow-up to a Warning Letter or other significant regulatory action because of an abbreviated inspection should warrant full inspection coverage as defined in this program.

4 Changes to Approved NDAs and aNDAs

I. INTRODUCTION

The holders of new drug applications (NDAs) and abbreviated new drug applications (aNDAs) can make postapproval changes in accordance with added section 506A of the FDA Modernization Act. There are specific reporting requirements for postapproval changes in components and composition, manufacturing sites, manufacturing process, specifications, package labeling, miscellaneous changes, and multiple related changes. Reporting categories for changes relating to specified biotechnology and specified synthetic biological products regulated by the Center for Drug Evaluation and Research (CDER) are found in the guidance for industry entitled *Changes to an Approved Application for Specified Biotechnology and Specified Synthetic Biological Products* (July 1997). Information specific to products is developed by an applicant to assess the effect of the change on the identity, strength (e.g., assay or content uniformity), quality (e.g., physical, chemical, and biological properties), purity (e.g., impurities and degradation products), or potency (e.g., biological activity, bioavailability, or bioequivalence) of a product as they may relate to the safety or effectiveness of the product. CDER has published guidances, including the SUPAC (scale-up and postapproval changes) guidances that provide recommendations on reporting categories.

II. REPORTING CATEGORIES

Section 506A of the Act provides for four reporting categories that are distinguished in the following paragraphs. A “major change” is a change that has a substantial potential to have an adverse effect on the identity, strength, quality, purity, or potency of a product as these factors may relate to the safety or effectiveness of the product (506A(c)(2)). A major change requires the submission of a supplement and approval by the Food and Drug Administration (FDA) before distribution of the product made using the change (506A(c)(1)). This type of supplement is called, and should be clearly labeled as, a Prior Approval Supplement. An applicant may ask the FDA to expedite its review of a Prior Approval Supplement for public health reasons (e.g., drug shortage) or if a delay in making the change described in the supplement would impose an extraordinary hardship on the applicant. This type of supplement is called, and should be clearly labeled as, a Prior Approval Supplement—Expedited Review Requested. Requests for expedited review based on extraordinary hardship should be reserved for manufacturing changes made necessary by catastrophic events (e.g., fire) or by events that could not be reasonably foreseen and for which the applicant could not plan.

A “moderate change” is a change that has a moderate potential to have an adverse effect on the identity, strength,

quality, purity, or potency of the product as these factors may relate to the safety or effectiveness of the product. There are two types of moderate change. One type of moderate change requires the submission of a supplement to the FDA at least 30 days before the distribution of the product made using the change (506A(d)(3)(B)(i)). This type of supplement is called, and should be clearly labeled as, a Supplement—Changes Being Effected in 30 Days. The product made using a moderate change cannot be distributed if the FDA informs the applicant within 30 days of receipt of the supplement that a Prior Approval Supplement is required (506A(d)(3)(B)(i)). For each change, the supplement must contain information determined by the FDA to be appropriate and must include the information developed by the applicant in assessing the effects of the change (506A(b)). If the FDA informs the applicant within 30 days of receipt of the supplement that information is missing, distribution must be delayed until the supplement has been amended with the missing information. The FDA may identify certain moderate changes for which distribution can occur when the FDA receives the supplement (506A(d)(3)(B)(ii)). This type of supplement is called, and should be clearly labeled as, a Supplement—Changes Being Effected. If, after review, the FDA disapproves a Changes Being Effected in 30 Days Supplement or a Changes Being Effected Supplement, the FDA may order the manufacturer to cease distribution of the drugs that have been made using the disapproved change (506A(d)(3)(B)(iii)).

A “minor change” is a change that has minimal potential to have an adverse effect on the identity, strength, quality, purity, or potency of the product as these factors may relate to the safety or effectiveness of the product. The applicant must describe minor changes in its next annual report (506A(d)(1)(A) and (d)(2)).

An applicant can submit one or more protocols (i.e., comparability protocols) describing tests, validation studies, and acceptable limits to be achieved to demonstrate the absence of an adverse effect from specified types of changes. A comparability protocol can be used to reduce the reporting category for specified changes. A proposed comparability protocol should be submitted as a Prior Approval Supplement if not approved as part of the original application.

III. GENERAL REQUIREMENTS

Other than for editorial changes in previously submitted information (e.g., correction of spelling or typographical errors, or reformatting of batch records), an applicant must notify the FDA about each change in each condition established in an approved application beyond the variations already provided for in the application (506A(a)).

An applicant making a change to an approved application under section 506A of the Act must also conform to other

applicable laws and regulations, including current good manufacturing practice (cGMP) requirements of the Act (21 USC 351(a)(2)(B)) and applicable regulations in Title 21 of the Code of Federal Regulations (e.g., 21 CFR parts 210, 211, 314). For example, manufacturers must comply with relevant cGMP validation and record-keeping requirements and must ensure that relevant records are readily available for examination by authorized FDA personnel during an inspection. A Changes Being Effectuated Supplement for labeling changes must include 12 copies of the final printed labeling (21 CFR 314.50(e)(2)(ii)).

Except for a supplemental application providing for a change in labeling, an applicant should include a statement in a supplemental application or amendment certifying that the required field copy (21 CFR 314.50) of the supplement or amendment has been provided.

IV. ASSESSING THE EFFECT OF MANUFACTURING CHANGES

A. ASSESSMENT OF THE EFFECTS OF THE CHANGE

A drug made with a manufacturing change, whether a major manufacturing change or otherwise, may be distributed only after the holder validates (i.e., assesses) the effects of the change on the identity, strength, quality, purity, and potency of the product as these factors may relate to the safety or effectiveness of the product (506A(b)). For each change, the supplement or annual report must contain information determined by the FDA to be appropriate and must include the information developed by the applicant in assessing the effects of the change (506A(b), (c)(1), (d)(2)(A), and (d)(3)(A)). Recommendations on the type of information that should be included in a supplemental application or annual report are available in guidance documents. If no guidance is available on the type of information that should be submitted to support a change, the applicant is encouraged to contact the appropriate chemistry or microbiology review staff.

1. Conformance to Specifications

An assessment of the effect of a change on the identity, strength, quality, purity, or potency of the drug product should include a determination that the drug substance intermediates, drug substance, in-process materials, or drug product affected by the change conforms to the approved specifications. A “specification” is a quality standard (i.e., tests, analytical procedures, and acceptance criteria) provided in an approved application to confirm the quality of drug substances, drug products, intermediates, raw materials, reagents, and other components, including container closure systems and their components and in-process materials. For the purpose of defining specifications, “acceptance criteria” are numerical limits, ranges, or other criteria for the tests described. Conformance to a specification means that the material, when tested according to the analytical procedures listed in the specification, will meet the listed acceptance criteria.

2. Additional Testing

In addition to confirmation that the material affected by manufacturing changes continues to meet its specification, the applicant should perform additional testing, when appropriate, to assess whether the identity, strength, quality, purity, or potency of the product as these factors may relate to the safety or effectiveness of the product have been or will be affected. The assessment should include, as appropriate, evaluation of any changes in the chemical, physical, microbiological, biological, bioavailability, or stability profiles. This additional assessment could involve testing of the postchange drug product itself or, if appropriate, the component directly affected by the change. The type of additional testing that an applicant should perform would depend on the type of manufacturing change, the type of drug substance or drug product, and the effect of the change on the quality of the product. For example:

- Evaluation of changes in the impurity or degradation product profile could first involve profiling using appropriate chromatographic techniques and then, depending on the observed changes in the impurity profile, toxicology tests to qualify a new impurity or degradant or to qualify an impurity that is above a previously qualified level.
- Evaluation of the hardness or friability of a tablet after changes in formulation or manufacturing procedure.
- Assessment of the effect of a change on bioequivalence when required under 21 CFR part 320 could include, for example, multipoint or multimedia dissolution profiling or an in vivo bioequivalence study.
- Evaluation of extractables from new packaging components or moisture permeability of a new container closure system.

B. EQUIVALENCE

When testing is performed, the applicant should usually assess the extent to which the manufacturing change has affected the identity, strength, quality, purity, or potency of the drug product. Typically, this is accomplished by comparing test results from prechange and postchange material and determining whether the test results are equivalent or not. Simply stated: Is the product made after the change equivalent to the product made before the change? An exception to this general approach is that when bioequivalence should be redocumented for certain aNDA postapproval changes, the comparator should be the reference-listed drug. Equivalence comparisons frequently require a criterion for comparison with calculation of confidence intervals relative to a predetermined equivalence interval. For this reason, as well as for other reasons, “equivalent” does not necessarily mean “identical.” Equivalence may also relate to the maintenance of a quality characteristic (e.g., stability) rather than a single performance of a test.

C. ADVERSE EFFECT

Sometimes, manufacturing changes have an adverse effect on the identity, strength, quality, purity, or potency of the drug product. In many cases, the applicant chooses not to implement these suboptimal manufacturing changes, but sometimes, the applicant wishes to put them into practice. If an assessment concludes that a change has adversely affected the identity, strength, quality, purity, or potency of the drug product, the change should be filed in a Prior Approval Supplement regardless of the recommended reporting category for the change. For example, a type of process change with a recommended filing category of a Supplement—Changes Being Effectuated in 30 Days could cause a new degradant to be formed that requires qualification or identification. However, the applicant's degradation qualification procedures may indicate that there are no safety concerns relating to the new degradant. The applicant should submit this change in a Prior Approval Supplement with appropriate information to support the continued safety and effectiveness of the product. During the review of the Prior Approval Supplement, the FDA will assess the impact of any adverse effect on the product as it may relate to the safety or effectiveness of the product.

V. COMPONENTS AND COMPOSITION

Changes in the qualitative or quantitative formulation, including inactive ingredients, as provided in the approved application, are considered major changes and should be filed in a Prior Approval Supplement unless exempted by regulation or guidance (506A(c)(2)(A)). The deletion or reduction of an ingredient intended to affect only the color of a product may be reported in an annual report. Guidance on changes in components and composition that may be filed in a Changes Being Effectuated Supplement or annual report is not included in this document because of the complexity of these recommendations, but it may be covered in one or more guidance documents describing postapproval changes (e.g., SUPAC documents).

VI. MANUFACTURING SITES

A. GENERAL CONSIDERATIONS

CDER should be notified about a change to a different manufacturing site used by an applicant to manufacture or process drug products, in-process materials, drug substances, or drug substance intermediates; package drug products; label drug products; or test components, drug product containers, closures, packaging materials, in-process materials, or drug products. Sites include those owned by the applicant or contract sites used by an applicant. Testing sites include those performing physical, chemical, biological, and microbiological testing to monitor, accept, or reject materials as well as those performing stability testing. Sites used to label drug products are considered to be those that perform labeling of the drug product's primary or secondary packaging components. Sites performing operations that place identifying

information on the dosage form itself (e.g., ink imprint on a filled capsule) are considered to be facilities that manufacture or process the drug product. The supplement or annual report should identify whether the proposed manufacturing site is an alternative or replacement to those provided for in the approved application.

A move to a different manufacturing site, when it is a type of site routinely subject to FDA inspection, should be filed as a Prior Approval Supplement if the site does not have a satisfactory cGMP inspection for the type of operation being moved. For labeling, secondary packaging, and testing site changes, the potential for adverse effect on the identity, strength, quality, purity, or potency of a product as these factors may relate to the safety or effectiveness of the product is considered to be independent of the type of drug product dosage form or specific type of operation being performed. Therefore, the recommended reporting category for any one of these manufacturing site changes will be the same for all types of drug products and operations. For manufacturing sites used to manufacture or process drug products, in-process materials, drug substances, or drug substance intermediates or perform primary packaging operations, the potential for adverse effect and consequently, the recommended reporting category depend on various factors, such as the type of product and operation being performed. For this reason, recommended reporting categories may differ depending on the type of drug product and operations.

Except for those situations described in Sections VI.B.4, VI.C.1.b, and VI.D.5, moving production operations between buildings at the same manufacturing site or within a building, or having construction activities occur at a manufacturing site, does not have to be reported to CDER. A move to a different manufacturing site that involves other changes (e.g., process or equipment) should be evaluated as a multiple related change (see Section XII) to determine the appropriate reporting category.

B. MAJOR CHANGES (PRIOR APPROVAL SUPPLEMENT)

The following are examples of changes that are considered to have substantial potential to have an adverse effect on the identity, strength, quality, purity, or potency of a product as these factors may relate to the safety or effectiveness of the product:

1. A move to a different manufacturing site, except one used to manufacture or process a drug substance intermediate, when the new manufacturing site has never been inspected by the FDA for the type of operation that is being moved, or the move results in a restart at the new manufacturing site of a type of operation that has been discontinued for more than 2 years.
2. A move to a different manufacturing site, except one used to manufacture or process a drug substance intermediate, when the new manufacturing site has not had a satisfactory cGMP inspection for the type of operation being moved.

3. A move to a different manufacturing site for (1) the manufacture, processing, or primary packaging of drug products when the primary packaging components control the dose delivered to the patient or when the formulation modifies the rate or extent of availability of the drug; or for (2) the manufacture or processing of in-process materials with modified-release characteristics; examples of these types of drug products include modified-release solid oral dosage forms, transdermal systems, liposomal products, depot products, oral and nasal metered-dose inhalers, dry powder inhalers, and nasal spray pumps.
4. Transfer of manufacturing of an aseptically processed sterile drug substance or aseptically processed sterile drug product to a newly constructed or refurbished aseptic processing facility or area or to an existing aseptic processing facility or area that does not manufacture similar (including container types and sizes) approved products; for example, transferring the manufacture of a lyophilized product to an existing aseptic process area where no approved lyophilized products are manufactured or where the approved lyophilized products being manufactured have dissimilar container types or sizes to the product being transferred.
5. Transfer of the manufacture of a finished product sterilized by terminal processes to a newly constructed facility at a different manufacturing site: once this change has been approved, subsequent site changes to the facility for similar product types and processes may be filed as a Supplement—Changes Being Effectuated in 30 Days.

C. MODERATE CHANGES (SUPPLEMENT—CHANGES BEING EFFECTED)

The following are examples of changes that are considered to have a moderate potential to have an adverse effect on the identity, strength, quality, purity, or potency of a product as these factors may relate to the safety or effectiveness of the product.

The following manufacturing site changes (excluding changes relating to drug substance intermediate manufacturing sites) should be filed in a Prior Approval Supplement if the new site does not have a satisfactory cGMP inspection for the type of operation being moved (see sections VI.B.1 and 2):

1. Supplement—Changes Being Effectuated in 30 Days
 - a. A move to a different manufacturing site for the manufacture or processing of any drug product, in-process material, or drug substance that is not otherwise provided for in this guidance
 - b. For aseptically processed sterile drug substance or aseptically processed sterile drug product, a move to an aseptic processing facility or area at the same or different manufacturing site, except as provided for in Section VI.B.4

- c. A move to a different manufacturing site for the primary packaging of (1) any drug product that is not otherwise listed as a major change and of (2) modified-release solid oral dosage-form products
 - d. A move to a different manufacturing site for testing whether (1) the test procedures approved in the application or procedures that have been implemented via an annual report are used, (2) all postapproval commitments made by the applicant relating to the test procedures have been fulfilled (e.g., providing methods validation samples), and (3) the new testing facility has the capability to perform the intended testing
2. Supplement—Changes Being Effectuated
 - a. A move to a different manufacturing site for the manufacture or processing of the final intermediate

D. MINOR CHANGES (ANNUAL REPORT)

The following are examples of changes that are considered to have a minimal potential to have an adverse effect on the identity, strength, quality, purity, or potency of a product as these factors may relate to the safety or effectiveness of the product.

The following manufacturing site changes (excluding changes relating to drug substance intermediate manufacturing sites) should be filed in a Prior Approval Supplement if the new site does not have a satisfactory cGMP inspection for the type of operation being moved (see Sections VI.B.1 and 2):

1. A move to a different manufacturing site for secondary packaging
2. A move to a different manufacturing site for labeling
3. A move to a different manufacturing site for the manufacture or processing of drug substance intermediates, other than the final intermediate
4. A change in the contract sterilization site for packaging components when the process is not materially different from that provided for in the approved application, and the facility has a satisfactory cGMP inspection for the type of operation being performed
5. A transfer of the manufacture of a finished product sterilized by terminal processes to a newly constructed building or existing building at the same manufacturing site
6. A move to a different manufacturing site for the ink imprinting of solid oral dosage-form products

VII. MANUFACTURING PROCESS

A. GENERAL CONSIDERATIONS

The potential for adverse effects on the identity, strength, quality, purity, or potency of a drug product as these factors may relate to the safety or effectiveness of the product depends on the type of manufacturing process and the changes being

instituted for the drug substance or drug product. In some cases, there may be a substantial potential for adverse effect, regardless of direct testing of the drug substance or drug product for conformance with the approved specification. When there is a substantial potential for adverse effects, a change should be filed in a Prior Approval Supplement.

B. MAJOR CHANGES (PRIOR APPROVAL SUPPLEMENT)

The following are examples of changes that are considered to have a substantial potential to have an adverse effect on the identity, strength, quality, purity, or potency of a product as these factors may relate to the safety or effectiveness of the product:

1. Changes that may affect the controlled (or modified) release, metering, or other characteristics (e.g., particle size) of the dose delivered to the patient, including the addition or deletion of a code imprint by embossing, debossing, or engraving on a modified-release solid oral dosage form.
2. Changes that may affect product sterility assurance, including, where appropriate, process changes for sterile drug substances and sterile packaging components, including:
 - Changes in the sterilization method (e.g., gas, dry heat, irradiation); these include changes from sterile filtered or aseptic processing to terminal sterilization, or vice versa.
 - Addition, deletion, or substitution of sterilization steps or procedures for handling sterile materials in an aseptic processing operation.
 - Replacing sterilizers that operate by one set of principles with sterilizers that operate by another principle (e.g., substituting a gravity-displacement steam process with a process using superheated water spray).
 - Addition to an aseptic processing line of new equipment made of different materials (e.g., stainless steel vs. glass, changes between plastics) that will come in contact with sterilized bulk solution or sterile drug components, or deletion of equipment from an aseptic processing line.
 - Replacing a class 100 aseptic fill area with a barrier system or isolator for aseptic filling: once this change has been approved, subsequent process changes for similar product types in the same barrier system or isolator may be filed as a Supplement—Changes Being Effected in 30 Days.
 - Replacement or addition of lyophilization equipment of a different size that uses different operating parameters or lengthens the overall process time.
 - Changes from bioburden-based terminal sterilization to the use of an overkill process, and vice versa.
 - Changes to aseptic processing methods, including scale, that extend the total processing, including bulk storage time, by more than 50% beyond the validated limits in the approved application.

- Changes in sterilizer load configurations that are outside the range of previously validated loads.
 - Changes in materials or pore size rating of filters used in aseptic processing.
3. The following changes for a natural product: changes in the virus or adventitious agent removal or inactivation methods; this is applicable to any material for which such procedures are necessary, including drug substance, drug product, reagents, and excipients.
 4. The following changes for drug substance and drug product: changes in the source material (e.g., microorganism, plant) or cell line.
 5. The following changes for drug substance and drug product: establishment of a new master cell bank or seed.
 6. Any fundamental change in the manufacturing process or technology from that currently used by the applicant, for example:
 - a. Drug product
 - Dry to wet granulation, or vice versa, change from one type of drying process to another (e.g., oven tray, fluid bed, or microwave)
 - b. Drug substance
 - Filtration to centrifugation, or vice versa, change in the route of synthesis of a drug substance
 7. The following changes for drug substance: any process change made after the final intermediate processing step in drug substance manufacture.
 8. Changes in the synthesis or manufacture of the drug substance that may affect its impurity profile or the physical, chemical, or biological properties.
 9. Addition of an ink code imprint or change to or in the ink used for an existing imprint code for a solid oral dosage-form drug product when the ink as changed is not currently used on CDER-approved products.
 10. Establishing a new procedure for reprocessing a batch of drug substance or drug product that fails to meet the approved specification.

C. MODERATE CHANGES (SUPPLEMENT—CHANGES BEING EFFECTED)

The following are examples of changes that are considered to have a moderate potential to have an adverse effect on the identity, strength, quality, purity, or potency of a product as these factors may relate to the safety or effectiveness of the product:

1. Supplement—Changes Being Effected in 30 Days
 - a. For drug products, any change in the process, process parameters, or equipment, except as otherwise provided for in this guidance
 - b. For drug substances, any change in process or process parameters, except as otherwise provided for in this guidance

- c. For natural protein drug substances and drug products:
 - Any change in the process, process parameters, or equipment, except as otherwise provided for in this guidance
 - An increase or decrease in production scale during finishing steps that involves new or different equipment
 - Replacement of equipment with that of similar, but not identical, design and operating principle that does not affect the process methodology or process operating parameters
 - d. For sterile products, drug substances, and components, as appropriate:
 - Changes in dry heat depyrogenation processes for glass container systems for products that are produced by terminal sterilization processes or aseptic processing
 - Changes to filtration parameters for aseptic processing (including flow rate, pressure, time, or volume but not filter materials or pore size rating) that require additional validation studies for the new parameters
 - Filtration process changes that provide for a change from single to dual product sterilizing filters in series, or for repeated filtration of a bulk
 - Changes from one qualified sterilization chamber to another for in-process or terminal sterilization that results in changes to validated operating parameters (time, temperature, F0 [number of equivalent minutes of steam sterilization at 250°F {121°C} delivered to a load {product}], and others)
 - Changes in scale of manufacturing for terminally sterilized products that increase the bulk solution storage time by more than 50% beyond the validated limits in the approved application when bioburden limits are unchanged
 - e. For drug substances, redefinition of an intermediate, excluding the final intermediate, as a starting material
2. Supplement—Changes Being Effected
- a. A change in methods or controls that provides increased assurance that the drug substance or drug product will have the characteristics of identity, strength, purity, or potency that it purports to or is represented to possess
 - b. For sterile drug products, elimination of in-process filtration performed as part of the manufacture of a terminally sterilized product

D. MINOR CHANGES (ANNUAL REPORT)

The following are examples of changes that are considered to have a minimal potential to have an adverse effect on the identity, strength, quality, purity, or potency of a product as

these factors may relate to the safety or effectiveness of the product:

1. For drug products and protein drug substances, changes to equipment of the same design and operating principle or changes in scale, except as otherwise provided for in this guidance (e.g., Section VII.C.1.c; see FDA guidance for industry on the *Submission of Documentation for Sterilization Process Validation in Applications for Human and Veterinary Drug Products* (November 1994))
2. A minor change in an existing code imprint for a dosage form; for example, changing from a numeric to an alphanumeric code
3. Addition of an ink code imprint or a change in the ink used in an existing code imprint for a solid oral dosage-form drug product when the ink is currently used on CDER-approved products
4. Addition or deletion of a code imprint by embossing, debossing, or engraving on a solid dosage-form drug product other than a modified-release dosage form
5. A change in the order of addition of ingredients for solution dosage forms or solutions used in unit operations (e.g., granulation solutions)
6. Changes in scale of manufacturing for terminally sterilized products that increase the bulk solution storage time by no more than 50% beyond the validated limits in the approved application when bioburden limits are unchanged

VIII. SPECIFICATIONS

A. GENERAL CONSIDERATIONS

All changes in specifications from those in the approved application must be submitted in a Prior Approval Supplement unless otherwise exempted by regulation or guidance (506A(c)(2)(A)).

Specifications (i.e., tests, analytical procedures, and acceptance criteria) are the quality standards provided in an approved application to confirm the quality of drug substances, drug products, intermediates, raw materials, reagents, and other components, including container and closure systems and in-process materials. For the purpose of defining specifications, acceptance criteria are numerical limits, ranges, or other criteria for the tests described. Examples of a test, an analytical procedure, and acceptance criteria are an assay, a specific fully described high-pressure liquid chromatography procedure, and 98.0% to 102.0%. The recommendations in this section also apply to specifications associated with sterility assurance that are included in NDA and aNDA submissions. A regulatory analytical procedure is the analytical procedure used to evaluate a defined characteristic of the drug substance or drug product. The analytical procedures in the U.S. Pharmacopeia/National Formulary (USP/NF) are those legally recognized under section 501(b) of the Act as the regulatory analytical procedures for compendial items. The applicant may include in its application

alternative analytical procedures to the approved regulatory procedure for testing the drug substance and drug product. However, for purposes of determining compliance with the Act, the regulatory analytical procedure is used. In Sections B to D that follow, the use of the term “analytical procedure” without a qualifier such as “regulatory” or “alternative” refers to analytical procedures used to test materials other than the drug substance or drug product.

B. MAJOR CHANGES (PRIOR APPROVAL SUPPLEMENT)

The following are examples of changes in specifications that are considered to have a substantial potential to have an adverse effect on the identity, strength, quality, purity, or potency of a product as these factors may relate to the safety or effectiveness of the product:

1. Relaxing an acceptance criterion, except as otherwise provided for in this guidance (e.g., Section VIII.C.1.b)
2. Deleting any part of a specification, except as otherwise provided for in this guidance (e.g., Section VIII.D.2)
3. Establishing a new regulatory analytical procedure
4. A change in a regulatory analytical procedure that does not provide the same or increased assurance of the identity, strength, quality, purity, or potency of the material being tested as the regulatory analytical procedure described in the approved application
5. A change in an analytical procedure used for testing components, packaging components, the final intermediate, in-process materials after the final intermediate, or starting materials introduced after the final intermediate that does not provide the same or increased assurance of the identity, strength, quality, purity, or potency of the material being tested as the analytical procedure described in the approved application, except as otherwise noted; for example, a change from a high-pressure liquid chromatography procedure that distinguishes impurities to one that does not, to another type of analytical procedure (e.g., titrimetric) that does not, or to one that distinguishes impurities but for which the limit of detection or limit of quantitation is higher
6. Relating to testing of raw materials for viruses or adventitious agents (1) relaxing an acceptance criterion, (2) deleting a test, or (3) a change in the analytical procedure that does not provide the same or increased assurance of the identity, strength, quality, purity, or potency of the material being tested as the analytical procedure described in the approved application

C. MODERATE CHANGES (SUPPLEMENT—CHANGES BEING EFFECTED)

The following are examples of changes in specifications that are considered to have a moderate potential to have an adverse effect on the identity, strength, quality, purity, or potency of

a product as these factors may relate to the safety or effectiveness of the product:

1. Supplement—Changes Being Effectuated in 30 Days
 - a. Any change in a regulatory analytical procedure other than editorial or those identified as major changes
 - b. Relaxing an acceptance criterion or deleting a test for raw materials used in drug substance manufacturing, in-process materials before the final intermediate, starting materials introduced before the final drug substance intermediate, or drug substance intermediates (excluding final intermediate), except as provided for in Section VIII.B.6
 - c. A change in an analytical procedure used for testing raw materials used in drug substance manufacturing, in-process materials before the intermediate, starting materials introduced before the final drug substance intermediate, or drug substance intermediates (excluding final intermediate) that does not provide the same or increased assurance of the identity, strength, quality, purity, or potency of the material being tested as the analytical procedure described in the approved application, except as provided for in Section VIII.B.6
 - d. Relaxing an in-process acceptance criterion associated with microbiological monitoring of the production environment, materials, and components that are included in NDA and aNDA submissions; for example, increasing the microbiological alert or action limits for critical processing environments in an aseptic fill facility or increasing the acceptance limit for bioburden in bulk solution intended for filtration and aseptic filling
2. Supplement—Changes Being Effectuated
 - a. An addition to a specification that provides increased assurance that the drug substance or drug product will have the characteristics of identity, strength, purity, or potency that it purports to or is represented to possess; for example, adding a new test and associated analytical procedure and acceptance criterion
 - b. A change in an analytical procedure used for testing components, packaging components, the final intermediate, in-process materials after the final intermediate, or starting materials introduced after the final intermediate that provides the same or increased assurance of the identity, strength, quality, purity, or potency of the material being tested as the analytical procedure described in the approved application

D. MINOR CHANGES (ANNUAL REPORT)

The following are examples of changes in specifications that are considered to have a minimal potential to have an adverse effect on the identity, strength, quality, purity, or potency of a

product as these factors may relate to the safety or effectiveness of the product:

1. Any change in a specification made to comply with an official compendium
2. For drug substance and drug product, the addition, deletion, or revision of an alternative analytical procedure that provides the same or greater level of assurance of the identity, strength, quality, purity, or potency of the material being tested as the analytical procedure described in the approved application
3. Tightening of acceptance criteria
4. A change in an analytical procedure used for testing raw materials used in drug substance synthesis, starting materials introduced before the final drug substance intermediate, in-process materials before the final intermediate, or drug substance intermediates (excluding final intermediate) that provides the same or increased assurance of the identity, strength, quality, purity, or potency of the material being tested as the analytical procedure described in the approved application

IX. PACKAGE

A. GENERAL CONSIDERATIONS

The potential for adverse effect on the identity, strength, quality, purity, or potency of a product as these factors may relate to the safety or effectiveness of the product when making a change to or in the container closure system is generally dependent on the route of administration of the drug product, performance of the container closure system, and likelihood of interaction between the packaging component and the dosage form. In some cases, there may be a substantial potential for adverse effect, regardless of direct product testing for conformance with the approved specification.

A change to or in a packaging component will often result in a new or revised specification for the packaging component. This situation does not have to be considered a multiple related change. Only the reporting category for the packaging change needs to be considered.

B. MAJOR CHANGES (PRIOR APPROVAL SUPPLEMENT)

The following are examples of changes that are considered to have a substantial potential to have an adverse effect on the identity, strength, quality, purity, or potency of a product as these factors may relate to the safety or effectiveness of the product:

1. For liquid (e.g., solution, suspension, elixir) and semisolid (e.g., creams, ointments) dosage forms, a change to or in polymeric materials (e.g., plastic, rubber) of primary packaging components, when the composition of the component as changed has never been used in a CDER-approved product of the same dosage form and same route of administration; for

example, a polymeric material that has been used in a CDER-approved topical ointment would not be considered CDER approved for use with an ophthalmic ointment.

2. For liquid (e.g., solution, suspension, elixir) and semisolid (e.g., creams, ointments) dosage forms in permeable or semipermeable container closure systems, a change to an ink or an adhesive used on the permeable or semipermeable packaging component to one that has never been used in a CDER-approved product of the same dosage form, same route of administration, and same type of permeable or semipermeable packaging component (e.g., low-density polyethylene, polyvinyl chloride).
3. A change in the primary packaging components for any product when the primary packaging components control the dose delivered to the patient (e.g., the valve or actuator of a metered-dose inhaler).
4. For sterile products, any other change that may affect product sterility assurance, such as
 - A change from a glass ampule to a glass vial with an elastomeric closure
 - A change to a flexible container system (bag) from another container system
 - A change to a prefilled syringe dosage form from another container system
 - A change from a single-unit-dose container to a multiple-dose container system
 - Changes that add or delete silicone treatments to container closure systems (such as elastomeric closures or syringe barrels)
 - Changes in the size or shape of a container for a sterile drug product
5. Deletion of a secondary packaging component intended to provide additional protection to the drug product (e.g., carton to protect from light, overwrap to limit transmission of moisture or gases)
6. A change to a new container closure system if the new container closure system does not provide the same or better protective properties than the approved container closure system.

C. MODERATE CHANGES (SUPPLEMENT—CHANGES BEING EFFECTED)

The following are examples of changes that are considered to have a moderate potential to have an adverse effect on the identity, strength, quality, purity, or potency of a product as these factors may relate to the safety or effectiveness of the product:

1. Supplement—Changes Being Effectuated in 30 Days
 - a. A change to or in a container closure system, except as otherwise provided for in this guidance
 - b. Changes in the size or shape of a container for a sterile drug substance

2. Supplement—Changes Being Effected
 - a. A change in the size or shape of a container for a nonsterile drug product, except for solid dosage forms (see Section IX.D.2 regarding solid dosage forms)
 - b. A change in or addition or deletion of a desiccant

D. MINOR CHANGES (ANNUAL REPORT)

The following are examples of changes that are considered to have a minimal potential to have an adverse effect on the identity, strength, quality, purity, or potency of a product as these factors may relate to the safety or effectiveness of the product:

1. A change in the container closure system for a nonsterile drug product, based on a showing of equivalency to the approved system under a protocol approved in the application or published in an official compendium
2. A change in the size or shape of a container containing the same number of dose units for a nonsterile solid dosage form
3. The following changes in the container closure system of solid oral dosage-form products as long as the new package provides the same or better protective properties (e.g., light, moisture) and any new primary packaging component materials have been used in and been in contact with CDER-approved solid oral dosage-form products:
 - Adding or changing a child-resistant closure, changing from a metal to a plastic screw cap, or changing from a plastic to a metal screw cap
 - Changing from one plastic container to another of the same type of plastic (e.g., from a high-density polyethylene container to another high-density polyethylene container)
 - Changes in packaging materials used to control odor (e.g., charcoal packets)
 - Changes in bottle filler (e.g., change in weight of cotton or amount used) without changes in the type of filler (e.g., cotton to rayon)
 - Increasing the wall thickness of the container
 - A change in or addition of a cap liner
 - A change in or addition of a seal (e.g., heat induction seal)
 - A change in an antioxidant, colorant, stabilizer, or mold-releasing agent for production of the container or closure to one that is used at similar levels in the packaging of CDER-approved solid oral dosage-form products
 - A change to a new container closure system when the container closure system is already approved in the NDA or aNDA for other strengths of the product
4. The following changes in the container closure system of nonsterile liquid products, as long as the new package provides the same or better protective properties, and any new primary packaging component materials have been used in and been in contact with CDER-approved liquid products with the same route of administration (i.e., the material in contact with a liquid topical should already have been used with other CDER-approved liquid topical products):
 - Adding or changing a child-resistant closure
 - Changing from a metal to a plastic screw cap
 - Changing from a plastic to a metal screw cap
 - Increasing the wall thickness of the container
 - A change in or addition of a cap liner
 - A change in or addition of a seal (e.g., heat induction seal)
5. A change in the container closure system of unit-dose packaging (e.g., blister packs) for nonsterile solid dosage form-products, as long as the new package provides the same or better protective properties, and any new primary packaging component materials have been used in and been in contact with CDER-approved products of the same type (e.g., solid oral dosage form, rectal suppository).
6. The following changes in the container closure system of nonsterile semisolid products, as long as the new package provides the same or better protective properties, and any new primary packaging component materials have been used in and been in contact with CDER-approved semisolid products:
 - Changes in the closure or cap
 - Increasing the wall thickness of the container
 - A change in or addition of a cap liner
 - A change in or addition of a seal
 - A change in the crimp sealant
7. A change in the flip seal cap color, as long as the cap color is consistent with any established color-coding system for that class of drug products

X. LABELING

A. GENERAL CONSIDERATIONS

A drug product labeling change includes changes in the package insert, package labeling, or container label. An applicant should promptly revise all promotional labeling and drug advertising to make it consistent with any labeling change implemented in accordance with the regulations. All labeling changes for aNDA products must be consistent with section 505(j) of the Act.

B. MAJOR CHANGES (PRIOR APPROVAL SUPPLEMENT)

Any proposed change in the labeling, except those that are designated as moderate or minor changes by regulation or guidance, should be submitted as a Prior Approval Supplement. The following list contains some examples of changes that are currently considered by CDER to fall into this reporting category:

1. Changes based on postmarketing study results, including, but not limited to, labeling changes associated with new indications and usage

2. Change in, or addition of, pharmacoeconomic claims based on clinical studies
3. Changes to the clinical pharmacology or the clinical study section reflecting new or modified data
4. Changes based on data from preclinical studies
5. Revision (expansion or contraction) of population based on data
6. Claims of superiority to another product
7. Change in the labeled storage conditions, unless exempted by regulation or guidance

C. MODERATE CHANGES (SUPPLEMENT—CHANGES BEING EFFECTED)

A Changes Being Effectuated Supplement should be submitted for any labeling change that adds or strengthens a contraindication, warning, precaution, or adverse reaction; adds or strengthens a statement about drug abuse, dependence, psychological effect, or overdose; adds or strengthens an instruction about dosage and administration that is intended to increase the safe use of the product; deletes false, misleading, or unsupported indications for use or claims for effectiveness; or is specifically requested by the FDA. The submission should include 12 copies of final printed labeling. The following list includes some examples of changes that are currently considered by CDER to fall into this reporting category:

1. Addition of an adverse event because of information reported to the applicant or agency.
2. Addition of a precaution arising out of a postmarketing study.
3. Clarification of the administration statement to ensure proper administration of the product.
4. Labeling changes, normally classified as major changes, that the FDA specifically requests be implemented using a Changes Being Effectuated Supplement.

D. MINOR CHANGES (ANNUAL REPORT)

Labeling with editorial or similar minor changes or with a change in the information concerning the description of the drug product or information about how the drug is supplied that does not involve a change in the dosage strength or dosage form should be described in an annual report. The following list includes some examples that are currently considered by CDER to fall into this reporting category:

1. Changes in the layout of the package or container label that are consistent with FDA regulations (e.g., 21 CFR part 201) without a change in the content of the labeling
2. Editorial changes, such as adding a distributor's name
3. Foreign language versions of the labeling, if no change is made to the content of the approved labeling, and a certified translation is included
4. Labeling changes made to comply with an official compendium

XI. MISCELLANEOUS CHANGES

A. MAJOR CHANGES (PRIOR APPROVAL SUPPLEMENT)

The following are examples of changes that are considered to have a substantial potential to have an adverse effect on the identity, strength, quality, purity, or potency of a product as these factors may relate to the safety or effectiveness of the product:

1. Changes requiring completion of studies in accordance with 21 CFR part 320 to demonstrate equivalence of the drug to the drug as manufactured without the change or to a reference-listed drug (506A(c)(2)(B))
2. Addition of a stability protocol or comparability protocol
3. Changes to an approved stability protocol or comparability protocol unless otherwise provided for in this guidance (e.g., VIII.C, VIII.D, XI.C.2)
4. An extension of an expiration dating period based on data obtained under a new or revised stability testing protocol that has not been approved in the application or on full shelf-life data on pilot-scale batches using an approved protocol

B. MODERATE CHANGES (SUPPLEMENT—CHANGES BEING EFFECTED)

The following are examples of changes that are considered to have a moderate potential to have an adverse effect on the identity, strength, quality, purity, or potency of a product as these factors may relate to the safety or effectiveness of the product:

1. Supplement—Changes Being Effectuated in 30 Days
 - a. Reduction of an expiration dating period to provide increased assurance of the identity, strength, quality, purity, or potency of the drug product; extension of an expiration date that has previously been reduced under this provision should be filed in a Supplement—Changes Being Effectuated in 30 Days even if it is based on data obtained under a protocol approved in the application.
2. Supplement—Changes Being Effectuated
 - a. No changes have been identified.

C. MINOR CHANGES (ANNUAL REPORT)

The following are examples of changes that are considered to have a minimal potential to have an adverse effect on the identity, strength, quality, purity, or potency of a product as these factors may relate to the safety or effectiveness of the product:

1. An extension of an expiration dating period based on full shelf-life data on full production batches obtained under a protocol approved in the application

2. Addition of time points to the stability protocol or deletion of time points beyond the approved expiration dating period
3. A change from previously approved stability storage conditions to storage conditions recommended in International Conference on Harmonisation (ICH) guidances
4. Non-USP reference standards:
 - Replacement of an in-house reference standard or reference panel (or panel member) according to procedures in an approved application
 - Tightening of acceptance criteria for existing reference standards to provide greater assurance of product purity and potency

XII. MULTIPLE RELATED CHANGES

Multiple related changes involve various combinations of individual changes. For example, a site change may also involve equipment and manufacturing process changes, or a component and composition change may necessitate a change in a specification. For multiple related changes for which the recommended reporting categories for the individual changes differ, CDER recommends that the filing be in accordance with the most restrictive of those reporting categories recommended for the individual changes. When the multiple related changes all have the same recommended reporting category, CDER recommends that the filing be in accordance with the reporting category for the individual changes. For the purposes of determining the reporting category for moves between buildings, the terms “different manufacturing site” and “same manufacturing site” are defined as follows. Same manufacturing site: The new and old buildings are included under the same drug establishment registration number, and the same FDA district office is responsible for inspecting the operations in both the new and old buildings. Different manufacturing site: The new and old buildings have different drug establishment registration numbers, or different FDA district offices are responsible for inspecting operations in the new and old buildings.

The change to a different manufacturing site should be filed in a Prior Approval Supplement when the new manufacturing site has never been inspected by the FDA for the type of operation being moved, the move results in a restart at the new manufacturing site of a type of operation that has been discontinued for more than 2 years, or the new manufacturing site does not have a satisfactory cGMP inspection for the type of operation being moved.

Examples of postapproval manufacturing site changes and filing consequences include:

- An applicant wants to move the manufacture of an immediate-release tablet to a different manufacturing site that currently manufactures, and has satisfactory cGMP status for, capsules and powders for oral solution. This manufacturing site change should be filed in a Prior Approval Supplement, because the

new manufacturing site does not have a satisfactory cGMP inspection for immediate-release tablets.

- An applicant wants to contract out his or her packaging operations for immediate-release tablets and capsules and modified-release capsules. The potential contract packager has a satisfactory cGMP status for immediate-release and modified-release capsules but has never packaged immediate-release tablets. The packaging site change for the immediate-release tablet products should be filed in a Prior Approval Supplement. The packaging site change for the capsule products should be filed as recommended in Section VI of this guidance for packaging sites with a satisfactory cGMP inspection.
- An applicant wishes to consolidate his or her product testing to a single analytical laboratory at a manufacturing site. This manufacturing site produces various solid oral dosage-form products, has an operational analytical laboratory currently at the site, and has satisfactory cGMP inspections for the manufacturing occurring at the facility. Some of the products that will be tested at the analytical laboratory when the consolidation occurs are not solid oral dosage-form products. Unlike most other production operations, testing laboratories are not inspected on a dosage form/type of drug substance-specific basis. The satisfactory cGMP inspection of the analytical laboratory, which was performed as part of the cGMP inspection for manufacture of the solid oral dosage form products, is considered to apply to all dosage forms, including those not actually produced at the site.

Different reporting categories are proposed for changes to or the addition of certain components based on whether the component/material has been used in and has been in contact with CDER-approved products. Different reporting categories are recommended once CDER has reviewed certain components/materials in association with a product approval, because similar subsequent changes then have a reduced potential to have an adverse effect on the identity, strength, quality, purity, or potency of a product as they may relate to the safety or effectiveness of the product. For example, certain changes in the container closure systems of solid oral dosage-form products may be included in the annual report, as long as the new package provides the same or better protective properties, and any new primary packaging component materials have been used in and been in contact with CDER-approved solid oral dosage-form products (see Section IX.D.3). If the primary packaging component material has not been used in or has not been in contact with CDER-approved solid oral dosage-form products, then submission of the change in an annual report is not recommended. CDER-approved products are considered those subject to an approved NDA or aNDA. When information is not available, an applicant should use reliable sources of information to determine that the component or material has been used in and has been in contact with a CDER-approved

product of the same dosage form and route of administration, as appropriate. The applicant should identify in the supplement or annual report the basis for the conclusion that the component or material is used in a CDER-approved product.

If an applicant cannot confirm that a component or material has been used in and has been in contact with a CDER-approved product of the same dosage form and route of administration, the applicant has the option of filing the change for a single NDA or aNDA using the higher recommended reporting category and after approval, filing similar subsequent changes for other NDAs and aNDAs using the lower recommended reporting category.

GLOSSARY

Acceptance Criteria: Numerical limits, ranges, or other criteria for the tests described.

Active Ingredient/Drug Substance: Any component that is intended to furnish pharmacological activity or other direct effect in the diagnosis, cure, mitigation, treatment, or prevention of a disease, or to affect the structure or any function of the human body, but does not include intermediates used in the synthesis of such ingredients, including those components that may undergo chemical change in the manufacture of the drug product and are present in the drug product in a modified form intended to furnish the specified activity or effect (21 CFR 210.3(b)(7) and 314.3).

Component: Any ingredient intended for use in the manufacture of a drug product, including those that may not appear in such a drug product (21 CFR 210.3(b)(3)).

Container Closure System: The sum of packaging components that together contain and protect the dosage form; this includes primary packaging components and secondary packaging components, if the latter are intended to provide additional protection to the drug product.

Drug Product: A finished dosage form, for example, tablet, capsule, or solution, that contains an active ingredient, generally, but not necessarily, in association with inactive ingredients (21 CFR 210.3(b)(4)).

Final Intermediate: The last compound synthesized before the reaction that produces the drug substance. The final step forming the drug substance must involve covalent bond formation or breakage; ionic bond formation (i.e., making the salt of a compound) does not qualify. As a consequence, when the drug substance is a salt, the precursors to the organic acid or base, rather than the acid or base itself, should be considered the final intermediate.

Inactive Ingredients: Any intended component of the drug product other than an active ingredient.

In-Process Material: Any material fabricated, compounded, blended, or derived by chemical reaction that is produced for, and used in, the preparation of the drug product (21 CFR 210.3(b)(9)). For a drug substance,

in-process materials are considered those materials that are undergoing change (e.g., molecular, physical).

Intermediate: A material produced during steps of the synthesis of a drug substance that must undergo further molecular change before it becomes a drug substance.

Package: The container closure system and labeling, associated components (e.g., dosing cups, droppers, and spoons), and external packaging (e.g., cartons and shrink wrap).

Packaging Component: Any single part of a container closure system.

Primary Packaging Component: A packaging component that is or may be in direct contact with the dosage form.

Reference-Listed Drug: The listed drug identified by the FDA as the drug product on which an applicant relies in seeking approval of its abbreviated application (21 CFR 314.3).

Satisfactory cGMP Inspection: A satisfactory cGMP inspection is an FDA inspection during which no objectionable conditions or practices were found (no action indicated) or an inspection during which objectionable conditions were found, but corrective action is left to the firm to take voluntarily, and the objectionable conditions will not be the subject of further administrative or regulatory actions (voluntary action indicated). Information about the cGMP status of a firm may be obtained by requesting a copy of the Quality Assurance Profile (QAP) from the FDA's Freedom of Information (FOI) Office. The QAP reports information on the cGMP compliance status of firms that manufacture, package, assemble, repack, relabel, or test human drugs, devices, biologics, and veterinary drugs. All FOI requests must be in writing and should follow the instructions found in the reference entitled *A Handbook for Requesting Information and Records from FDA*. An electronic version of this reference is available at the Web site <http://www.fda.gov/opacom/backgrounders/foiah-and.html>

Secondary Packaging Component: A packaging component that is not and will not be in direct contact with the dosage form.

Specifications: The quality standards (i.e., tests, analytical procedures, and acceptance criteria) provided in an approved application to confirm the quality of drug substances, drug products, intermediates, raw materials, reagents, and other components, including container closure systems and in-process materials.

Validate the Effects of the Change: To assess the effect of a manufacturing change on the identity, strength, quality, purity, or potency of a drug as these factors relate to the safety or effectiveness of the drug.

5 Formulation Considerations of Liquid Products

Liquid formulations offer many advantages, from ease in dosing to ease in administration (easy to swallow), and myriad possibilities of innovative drug delivery systems. One of the most desirable features of liquid formulations, particularly the solution forms, is the relatively lower importance of bioavailability considerations, as the drug molecules are already in the dispersed phase, removing many rate-limiting steps in the absorption of drugs. For the purpose of this volume, liquid formulations include formulations that have liquid characteristics, meaning that they can flow, and thus, include clear liquids, suspensions, and extemporaneous powder suspensions (which could easily be classified as uncompressed solids but for the stability considerations post reconstitution, which are common to liquid preparations). However, all the advantages of liquid dosage forms are balanced by the many problems in their formulation. These include stability problems, taste masking needs, phase separations, and so forth, all of which require highly specialized formulation techniques.

I. SOLUBILITY

The amount of active drug dissolved per unit of a solvent or liquid base is a critical parameter subject to many factors, including temperature, presence of electrolytes (salting-out effect), complexation with other components, state of crystallinity (such as amorphous), nature of crystals (inclusion or imperfections), hydration, solvation, and so forth. One of the most important studies conducted on new chemical entities is the study of solubility characteristics, phase conversion, and saturation limits under different conditions. Where the amount of drug is above saturation solubility, an equilibrium is established between the solution (monomolecular dispersion) and undissolved particles (often multimolecular dispersions), the direction and extent of which are governed by many physicochemical factors. Because the absorption of drugs takes place only from a monomolecular dispersion (except in such instances as pinocytosis, etc.), the equilibrium between the two states is critical to drug absorption. A large number of pH-adjusting buffers are used in liquid products to modify the solubility of drugs as well as to provide the optimal pH for drug absorption and drug stability. The dielectric constant of the solvent (or composite dispersion phase) is important in determining the solubility. With available values of dielectric constant, for both pure systems and binary systems, it is easy to project the solubility characteristics of many new drugs. Another factor determining the solubility of drugs is the degree of solubilization in the dispersion phase.

Solubilization is defined as spontaneous passage of poorly water-soluble drugs into an aqueous solution of a detergent,

the mechanism being entrapment of drug molecules in the micelles of a surface-active agent. As a result, many liquid preparations contain surfactants, not only to solubilize but also to “wet” the powders to allow better mixing with the liquid phase. Because the critical micelle concentration of surfactants is highly dependent on the presence of other polar or dielectric molecules, the use of surfactants to solubilize drugs requires extensive compatibility studies. The most common solubilizers used include polyoxyethylene sorbitol, fatty acid esters, polyoxyethylene monoalkyl ethers, sucrose monoesters, lanolin esters and ethers, and so forth.

Complexation with other components of the formulation can give rise to enhanced or reduced solubility. Organic compounds in solution generally tend to associate with each other to some extent, but these are weak bonds, and the complex readily disassociates. Where the drug forms a stronger complex, such as with caffeine or other binders, solubility can be extensively altered. Some polyols are known to disrupt complexes, reducing the solubility. Often, complexation results in loss of active drug or a preservative used in the system, leading to serious stability problems. Examples of complexation include when xanthines, polyvinylpyrrolidone, and so on bind to drugs.

Hydrotropy is defined as an increase in solubility in water caused by the presence of large amounts of additives. It is another type of “solubilization,” except that the solubilizing agent is not necessarily a surfactant. The phenomenon is closer to complexation, but the change in solvent characteristics plays a significant role as well. In general, the quantity of other components must be in the range of 20% to 50% to induce hydrotropy.

II. CHEMICAL MODIFICATION

Many poorly soluble drugs can be made more water soluble by modifying their chemistry, such as by introducing a hydrophilic group on the molecule. Salts and derivatives of poorly soluble drugs are widely used, and modification requires careful selection, because different salts and forms may not have the same chemical stability, and also because the biologic activity may be modified.

III. PRESERVATION

Preservatives are almost always a part of liquid formulations unless there is sufficient preservative efficacy in the formulation itself, such as due to high sugar content, the presence of antimicrobial drugs, or solvents that inhibit growth, such as alcohol. In all instances, a preservative efficacy challenge is needed to

prove adequate protection against the growth of microorganisms during the shelf life and use of the product (such as in the case of reconstituted powder suspensions). A large number of approved preservatives are available, including such universal preservatives as parabens, to protect liquid preparations. Among the acidic group, the most prominent preservatives are phenol, chlorocresol, o-phenylphenol, alkyl esters of parahydroxybenzoic acid, benzoic acid and its salts, boric acid and its salts, and sorbic acid and its salts; neutral preservatives include chlorbutanol, benzyl alcohol, and β -phenylethyl alcohol; mercurial preservatives include thiomersal, phenylmercuric acetate and nitrate, and nitromersol; and quarternary compounds include benzalkonium chloride and cetylpyridinium chloride. The admissible levels of preservatives are defined in the pharmacopoeia. It should be noted that although preservatives provide an essential function, they often cause an unpleasant taste and allergic reactions in some individuals, requiring proper labeling of all products containing preservatives.

IV. SWEETENING AGENTS

Because taste is of prime importance in the administration of liquid products, sweetening agents ranging from sugar to potassium acesulfame are widely used; appropriate warnings are required when using artificial sweetening agents. Often, a combination of sweetening agents is used, in combination with various flavors (which are often included to make the product more palatable), to impart the best taste. When granules for dispersion are being formulated, solid flavors are preferred.

V. FLAVORS

There are four basic sensations: salty, bitter, sweet, and sour. A combination of efforts is required to mask these tastes. For example, menthol and chloroform act as desensitizing agents; a large number of natural and artificial flavors and their combinations are available to mask the bitterness most often found in organic compounds. Most formulators refer the selection of compatible flavors to companies manufacturing these flavors, as they may allow use of their drug master file for the purpose of filing regulatory applications. The formulator is referred to Givaudan (www.givaudan.com/), International Flavors and Fragrances (www.iff.com), and Flavors of North America (www.fonaflavors.com). Detailed information about other companies can be obtained from the National Association of Flavor and Fragrances (www.naffs.org/naffs/public/members.htm). It is noteworthy that as of the end of 2003, all foreign manufacturers of flavors are required to file a registration with the U.S. Food and Drug Administration under the Public Health Security and Bioterrorism Preparedness and Response Act of 2002.

VI. VISCOSITY

Because the flow of liquid for dispensing and dosing is important, appropriate control of viscosity is required to prevent

the liquid from running and at the same time, to allow good dosing control; many thickening agents are available, including carboxymethyl cellulose, methyl cellulose, polyvinylpyrrolidone, and sugar. Because of the significant opportunities available for interacting with salts and other formulation ingredients, the viscosity control should be studied in the final formulation and over the shelf life of the product.

VII. APPEARANCE

The appearance or color of liquid products is often synchronized with the flavors used; for example, green or blue for mint, red for berry, and so forth. Because the amount of dye-stuffs allowed in pharmaceutical products is strongly regulated, this presents problems—especially where there is a need to mask features of a preparation. In some instances, solutions are made to “sparkle” by passing them through a filtration process. Often, adsorbents are used in the liquid preparations to remove fine particles, imparting a greater clarity to solutions. Filtration often presents problems, but with the help now available from major filter manufacturers, most problems can be readily solved. Formulators are urged to consult these commercial suppliers.

VIII. CHEMICAL STABILITY

Drugs are more unstable in solution or liquid dispersion than they are in solid state, because the molecular interactions are more plausible in liquid surroundings.

IX. PHYSICAL STABILITY

Physically stable liquid products are supposed to retain their color, viscosity, clarity, taste, and odor throughout their shelf life; however, the limits of the specifications for physical attributes are often kept flexible to allow for subjective evaluation criteria, which are often involved, and for inevitable, inconsequential changes in the physical characteristics of these products. Ideally, a freshly prepared product is used as the reference standard; alternatively, many companies develop more objective evaluation criteria using instrumental evaluation instead of subjective evaluation. Similarly to chemical stability, physical stability can be significantly altered by the packaging type and design; as a result, the New Drug Application for every product requires a package interaction description; obviously, final stability data are to be developed in the final package form. Although glass bottles are fairly resistant to many products, caps and liners are often not. Even the integrity of the caps needs to be evaluated, applying exact torque in closing the bottles intended for stability evaluation; this is important to prevent any cap breakage that might adversely affect stability.

X. RAW MATERIAL

Raw material specifications are more important in liquid products, as the contaminants can more easily adversely affect the formulation than in solid dosage forms. Also, the many

features of a liquid product are controlled by including several raw materials, such as sweeteners, thickening agents, and so forth, further complicating the matrixing of the formulation at the development stage. The microbial quality of raw materials (both solid and liquid) needs to be critically evaluated. It is noteworthy that several raw materials used in liquid products may fall into the “food” category, and even though one is purchasing pharmaceutical-grade material, newly enacted laws in the United States require all foreign manufacturers to make a complete declaration of the composition of materials. Companies are encouraged to revise their specifications based on this additional information so as to control the quality of raw materials more tightly.

Water is the most common raw material used, and it is recommended that the manufacturer fully comply with the standards of at least purified water for inclusion in the formulation, although there is no requirement. Efforts should be made to provide water as microbially free as possible; this can be readily achieved by installing a loop system in which the incoming water is first subjected to an ultraviolet sterilizer, a carbon filter, a demineralizer, and a 5 μm filter and then sent to a heated tank, from which it is passed again through an ultraviolet sterilizer and then a 0.22 μm filter before bringing it into the product; water coming out of the 5 μm filter can be circulated. When using a loop, it is important to establish methods for draining the dead water in the tap and the loop before using it. Also, make sure that the flow rate of water does not exceed the sterilizing capacity of the ultraviolet systems installed.

XI. MANUFACTURING EQUIPMENT

Fully sanitizable stainless steel 314 or better quality is recommended. Equipment must be cleaned or sterilized; appropriate disinfectants include dilute solutions of hydrogen peroxide, phenol derivatives, and peracetic acid. Equipment lines can be sterilized by using alcohol, boiling water, autoclaving, steam, or dry heat. Where lids are used, be cautious of the condensate, which may be a source of microbial contamination. Operators must conform to all sanitary presentation requirements, including head covering, gloves, and face masks. Use of portable laminar flow hoods to expose ingredients before addition is often desirable.

XII. MANUFACTURING DIRECTIONS

Provided in this volume are hundreds of formulations with manufacturing directions; in some instances, for the sake of brevity, general details are left out that pertain to basic compounding techniques. For example, the order of addition and techniques of adding solutes to a liquid tank can be very important. Flavors are generally added after first mixing them in a smaller volume of the solvent or liquid base and rinsing them with a portion of liquid as well. This also holds for all other additions, particularly those of smaller quantities of ingredients. Proper mixing is validated; however, unlike solid mixing, where overmixing may result in segregation, the problems in

liquid mixing pertain to air entrapment. Appropriate temperature of the liquid phase is often important to ensure that there is no precipitation of the solute added. Classic examples include use of syrup base, which must be heated to bring it to proper viscosity and to allow proper mixing. Parabens, when used as preservatives, must be dissolved in hot water, because the quantity used is small and can be readily lost if complete dissolution is not ensured. In most instances, small quantities of solutes should be predissolved in a smaller quantity of solvent before adding it to the main tank. It is customary to bring the batch to the final volume of weight. Gravimetric adjustments are preferred, as they can be done while taring the vessel. Problems arise when solvents such as alcohol are used, wherein volume contraction and density are subject to temperature changes. Also, formulations are often presented in a volumetric format and require careful conversion calculation, especially where one or two components are used to compensate for the amount of active used (e.g., based on potency factors).

XIII. PACKAGING

Filling of liquid products is determined by their viscosity, surface tension, foam production, and compatibility with filling machine components. Liquids are often filled at a higher temperature to allow better flow. In most instances, some type of piston filling and delivery is used to fill bottles, for which proper control of volume is required. The filling can be done on the basis of fixed volume or on the level of fill in the container. The filling can be accomplished through positive pressure or through a vacuum created in the container. If the latter is used, care should be taken not to lose any volatile components through the vacuum process; proper validation is required. Liquid product exposed to the environment should be protected and filled under a laminar flow hood where possible. All points of contact of product with the environment should be similarly protected; however, once the product has been filled and capped, the bottles can be safely taken to an uncontrolled environment. In most instances, either plastic or aluminum caps are applied to bottles. The liners used in the caps should demonstrate full compatibility with the product, including any adhesive used. Proper torque should be applied to ensure a tight seal. Pilfer-evident packaging, where used, must comply with the regulatory requirements. It is not uncommon for syrups to crystallize out at the edge of the bottles, which the consumer might think a defect. Efforts should be made to formulate products to avoid this type of crystallization; the use of sugar-free formulations is becoming more acceptable and offers a good alternative. However, taste masking without using sugar or liquid glucose remains a challenge. Stability testing in final packaged containers should include trial shipment runs as well to ensure that the caps do not come off or leak during the shipment.

XIV. PARTICLE SIZE AND SHAPE

When suspensions are formulated to provide a stable system, the particle size becomes critical. Flocculated suspensions

also require careful particle-size control either in the process of manufacturing or in the starting material. Equally important is the crystal habit—the outward appearance of an agglomeration of crystals. Crystal structure can be altered during the manufacturing process, particularly if the product is subject to temperature cycling, and this can alter the stability of suspensions.

XV. SUSPENSIONS

Suspensions are manufactured either by a precipitation or by dispersed methods requiring the use of suspending agents, whose characteristics can significantly change because of the presence of other components such as electrolytes.

XVI. EMULSIONS

Heterogeneous systems comprising emulsions offer greater difficulties in manufacturing; not only is a careful calculation of formulation additives such as surfactants required, but also, the manufacturing techniques, such as mixing times, intensity of mixing, and temperature, become critical to the formation of a stable emulsion. Microemulsion manufacturing requires special equipment, and recently, the use of nanoparticles has created a need for highly specialized handling systems. Homogenizers are used to emulsify liquids along with ultrasonifiers and colloid mills. In some instances, spontaneous emulsification is obtained by a careful order of mixing. The choice of emulsifying agent depends on the type of emulsion desired and determined by the use of hydrophilic–lipophilic balance evaluation. The temperature at which an emulsion is formed can often affect the particle size and thus, later, the tendency to coalesce or break. Auxiliary emulsification aids include the use of fine solids. Hydrophilic colloids are commonly used to impart proper viscosity, which enhances the stability of emulsions. However, there is a tendency for viscosity to build up with time in freshly prepared emulsions. The flow characteristics of emulsions are important and are determined by the emulsion's yield value. Consistency in the density character of emulsion is therefore important. Clear emulsions have a lower proportion of internal phase and require solubilization techniques more frequently than do opaque emulsions. The antimicrobial preservatives used in emulsions are selected on the basis of the type of emulsion manufactured (oil-in-water or water-in-oil). Because water is one of the phases often encountered in emulsions, these must be properly preserved. Classical preservatives are used, but care must be exercised not to select preservatives that might interact with surfactants; get adsorbed onto the packaging material, such as plastic bottles, caps, or cap liners; or be lost to a point at which they are rendered inactive. Parabens remain a good choice. The presence of the oil phase also requires the inclusion of antioxidants where necessary, and these may include such examples as gallic acid, propyl gallate, butylated hydroxyanisole, butylated hydroxytoluene (BHT), ascorbic acid, sulfites, l-tocopherol, butylphenol, and so forth. Scaling up emulsion formulations from laboratory scale to manufacturing scales

often presents significant problems related to temperature distribution studies; often, the two phases are mixed at a specific temperature, which may change during the mixing process, and thus, require a certain mixing rate. The stability testing of emulsions is subject to different protocols than those used for other liquid products; for example, higher-temperature studies may cause an emulsion to break, but this may be reflective not of the log-linear effect of temperature but rather, of phase change or inversion. Centrifugation is a common technique to study emulsion stability, and so is the agitation test, which may cause suspended phases to coalesce. Of prime importance in the stability evaluation of emulsions are the phase separation, viscosity changes, changes in light reflection, viscosity, particle size, electrical conductivity, and chemical composition.

EMULSIONS

Pharmaceutical emulsions are used for total parenteral nutrition, for the oral administration of therapeutic agents, and for the rectal administration of antiepileptic agents. Creams, which are also emulsions, are mostly used as external products. The terms *emulsions* and *creams* refer to disperse systems in which one insoluble phase is dispersed as droplets within a second liquid phase. However, the structure of the network within the formulations of the two systems differs considerably.

There are two principal types of emulsions and creams, termed oil in water (o/w) and water in oil (w/o). In the former system, the oil (or internal) phase is dispersed as droplets through the external aqueous phase. Conversely, in w/o emulsions, the internal phase is composed of water droplets, and the external phase is nonaqueous. In addition to these emulsion types, there are furthermore structurally complex types, termed *multiple emulsions*. These are termed water in oil in water (w/o/w) and oil in water in oil (o/w/o) emulsions. However, the pharmaceutical uses of these are extremely limited due to their possible reversion to the parent primary emulsion. For example, an o/w/o emulsion may revert to a w/o emulsion. As the reader will observe later in this chapter, the nature of the excipients and the volume ratio of the two phases used in the formulation of these systems determine both the type and the consistency of the emulsion.

Emulsions and creams, akin to pharmaceutical suspensions, are fundamentally unstable systems, which in the absence of *emulsifying agents* will separate into the two different phases. The emulsifying agents used are principally surface-active agents. O/w emulsions may be administered topically or orally, whereas the use of w/o creams is principally (but not exclusively) limited to formulations designed for topical application.

The characteristics of an acceptable pharmaceutical suspension include the following:

- It should have physical stability (no phase separation).
- The flow properties of the emulsions and creams should enable the formulation to be easily removed

from the container. Furthermore, if the formulation is designed for external application to, for example, the skin, the formulation must be easily spread over the affected area.

- The formulation must be aesthetically and texturally pleasing. If the emulsion is designed for oral application, the flavor must be suitable, whereas if emulsions are to be externally applied, they must have the correct “feel” (termed *texture*).

Pharmaceutical emulsions may be used to deliver drugs that exhibit a low aqueous solubility. For example, in o/w emulsions, the therapeutic agent is dissolved in the internal oil phase. Following oral administration, the oil droplets (and hence, the drug) may then be absorbed using the normal absorption mechanism for oils. Some drugs are more readily absorbed when administered as an emulsion than as other oral comparator formulations.

Pharmaceutical emulsions may be used to mask the taste of therapeutic agents in which the drug is dissolved in the internal phase of an o/w emulsion. The external phase may then be formulated to contain the appropriate sweetening and flavoring agents.

Emulsions may be commonly used to administer oils that may have a therapeutic effect. For example, the cathartic effect of oils, such as liquid paraffin, is enhanced following administration to the patient as droplets within an o/w emulsion. The taste of the oil may be masked using sweetening and flavoring agents. If the therapeutic agent is irritant when applied topically, the irritancy may be reduced by formulation of the drug within the internal phase of an o/w emulsion.

With all of their advantages, emulsions are also thermodynamically unstable and therefore, must be formulated to stabilize the emulsion from separation of the two phases. Following dispersion of an insoluble liquid, for example, an oil into an aqueous phase, the oil phase will adopt a spherical (droplet) shape, as this is the shape associated with the minimum surface area per unit volume. If the droplet contacts a second droplet, coalescence will occur to produce a single droplet of greater diameter, and in so doing, the surface area of the new droplet will be less than the surface areas of the two individual droplets prior to coalescence. This process will continue until there is complete phase separation, that is, two liquid layers occur. An interfacial tension exists at the interface between the two phases due to the imbalance of forces at the interface. For example, at the interface between the two layers, there will be a net attractive force that is directed toward the bulk of each phase due to the imbalance between the cohesive forces (oil–oil and water–water) within each phase and the oil–water attractive forces at the interface. The interfacial tension, therefore, acts both to stabilize the system into two phases and to resist the dispersion of one phase as droplets within the other phase.

Thermodynamically, this situation may be described in terms of the change in the interfacial Gibb’s free energy (DG) and interfacial tension (co/w) between the two phases and the change in surface area of the disperse phase when this is

dispersed, albeit temporarily, as droplets within the external phase (DA) as follows:

$$DG = co/wDA$$

The dispersion of one phase within the other will cause a dramatic increase in the surface area of the interface between the two phases, which in turn, renders the system unstable (due to the increase in the interfacial Gibb’s free energy). The system will therefore attempt to correct this instability; the subsequent coalescence of the droplets reduces the surface area of the interface, thereby reducing DG . In this fashion, the spontaneous coalescence of droplets of the internal phase may be explained. Accepting that a fundamental requirement for the formulation of pharmaceutical emulsions is the dispersal of one internal phase within a second external phase, this relationship provides an insight into one of the mechanisms of stabilization of emulsions by emulsifying agents. As the reader will be aware, surface-active agents lower the interfacial tension and therefore, when present in emulsion systems, will partially negate the destabilizing effects of the increase in surface area of the disperse phase. It is important to note that this is not the only mode of emulsification of these agents.

Hydrophilic polymers are frequently used as emulsion stabilizers in pharmaceutical emulsions. In contrast to surface-active agents, hydrophilic polymers do not exhibit marked effects on the interfacial tension. However, the stabilization effect of these materials is due to their ability to adsorb at the interface between the disperse phase and the external phase to produce *multilayers* that are highly viscoelastic (gel-like) and can therefore withstand applied stresses without appreciable deformation. In so doing, these polymers mechanically prevent coalescence. It should be noted that surface-active agents produce *monomolecular*, not multimolecular, films.

If the chosen hydrophilic polymer is ionic (e.g., gelatin, sodium alginate, or sodium carboxymethyl cellulose), then the multimolecular adsorbed film will be charged and therefore, will exhibit a zeta potential. This may further protect the emulsion droplets from coalescence by offering an electrical repulsion. Furthermore, it would be expected that stearic stabilization of the droplets would occur due to the presence of the adsorbed polymeric layer. In addition, hydrophilic polymers will increase the viscosity of the external phase in an o/w emulsion and, in a similar fashion to suspensions, will affect the sedimentation rate of the droplets. This point is addressed in subsequent sections.

Emulsions may also be stabilized by the addition of finely divided solid particles, if the particles are sufficiently wetted by both the oil and water phases (but preferentially wetted by one of the phases). The particles will accumulate at the interface between the phases, and if the particles show high inter-particulate adhesion (thereby ensuring mechanical robustness to the adsorbed layer), the stability of the emulsion will be greatly enhanced. The type of emulsion produced by this method depends on the preference of the particles for each phase. For example, if the particles are wetted preferentially by the aqueous phase (i.e., the contact angle between the particle and water is less than 90°), an o/w emulsion will

result. Conversely, if the finely divided solid is preferentially wetted by the oil phase, the resulting emulsion will be a w/o emulsion.

Polymers and surface-active agents that are predominantly hydrophilic will form o/w emulsions, whereas predominantly hydrophobic surfactants will form w/o emulsions. Surface-active agents contain both hydrophilic and lipophilic groups, and therefore, it is the relative contributions of these that determine whether the agent is predominantly hydrophilic or lipophilic (hydrophobic). The contribution of these to the overall solubility is commonly referred to as the *hydrophile-lipophile balance* (HLB), a ratio scale that assigns a number to a surface-active agent based on the contributions of the individual groupings on the molecule. This number can then be used when selecting surface-active agents for the formulation of either o/w or w/o emulsions.

The HLB scale runs from circa 1 to 40; the water solubility of the surface-active agent increases as the HLB increases. Surface-active agents exhibiting an HLB between 3 and 6 are used to produce w/o emulsions and are therefore termed *w/o emulsifying agents*. These agents form poor dispersions in water but are soluble in the oil phase. Examples include sorbitan sesquioleate (e.g., Arlacel 83): HLB 3.7, sorbitan monooleate (e.g., Span 80): HLB 4.3, sorbitan monostearate (e.g., Span 60): HLB 4.7, and glyceryl monostearate: HLB 3.8.

Surface-active agents that exhibit an HLB between 6 and 9 form nonstable milky dispersions in water. Examples include sorbitan monopalmitate (e.g., Span 40): HLB 6.7 and sorbitan monolaurate (e.g., Span 20): HLB 8.6.

Surface-active agents exhibiting an HLB between 9 and 16 are used to produce o/w emulsions (termed *o/w emulsifying agents*). These agents form stable milky dispersions in water (HLB 9–10.5), translucent/clear dispersions in water (HLB 10.5–13), or clear solutions (HLB 13–16). Examples include polyoxyethylene sorbitan tristearate (e.g., Tween 65): HLB 10.5, polyoxyethylene sorbitan trioleate (e.g., Tween 85): HLB 11.0, polyoxyethylene sorbitan monostearate (e.g., Tween 60): HLB 10.5, polyoxyethylene sorbitan monooleate (e.g., Tween 80): HLB 15.0, polyoxyethylene sorbitan monopalmitate (e.g., Tween 40): HLB 15.6, and polyoxyethylene sorbitan monolaurate (e.g., Tween 20): HLB 16.7.

The HLB value of ionic surface-active agents is frequently greater than 16.

The type of emulsion produced is affected by the viscosity of both the internal and external phases. If the viscosity is high, the diffusion of the surface-active agent to the droplet surface will be reduced, as viscosity is inversely proportional to the diffusion coefficient of the surface-active agents. Furthermore, the increased viscosity will affect the process of coalescence of the droplets of the external phase. In general, if the viscosity of one phase is preferentially increased, there is a greater chance of that phase being the external phase of the emulsion.

Cracking refers to the complete coalescence of the internal phase, resulting in the separation of the emulsion into two layers, and occurs due to the destruction of the mono/multilayer film at the interface between the droplet and the

external phase. If an emulsion has cracked, it cannot be recovered. This phenomenon may be due to incorrect selection of emulsifying agents and presence of incompatible excipients.

To make a stable emulsion, it is important that excipients do not interact with and destroy the interfacial film of surface-active agents. This will occur if, for example, a cationic surface-active agent (commonly used as a preservative in creams) is added to an emulsion in which the interfacial film of surface-active agents bears an anionic charge (e.g., due to sodium oleate, potassium oleate, or sodium lauryl sulfate). Similarly, if a therapeutic agent or a divalent ion bears an opposite charge to that exhibited by the interfacial film, disruption of the film will occur due to this ionic interaction.

Emulsions are generally unstable at high and low storage temperatures, and microbial growth generally leads to destabilization of the emulsion and is thought to be due to the microorganisms being able to metabolize the surface-active agents.

XVII. POWDER FOR RECONSTITUTION

Whereas, classically, powder forms would fall under solids, they are included in liquids because of the requirements of formulation after the powder is reconstituted. In some instances, preservatives are required to protect the product during use by the patient. It is important to note that the FDA considers this phase of use of the product a part of the product development strategy. The manufacturer must ensure label compliance through the use period, as indicated on the package and under the conditions prescribed, such as keeping it in a refrigerator. Whereas the instructions require the product to be stored in a refrigerator, product development should evaluate a wider range of temperatures, as the temperature inside the consumer's refrigerator may not correspond to the official definition of refrigeration. The method of granulation for the powders intended for resuspension before use is a traditional one, as is used in the preparation of uncompressed or even compressed solids; the difference here is obviously the consideration of the effects of stability on reconstitution, which may require the addition of stabilizers. In general, the method of granulation requires wet massing, screening, drying, and screening again; fluid-bed dryers may be used as well.

XVIII. NASAL SPRAY PRODUCTS

Nasal spray drug products contain therapeutically active ingredients (drug substances) that are dissolved or suspended in solutions or mixtures of excipients (e.g., preservatives, viscosity modifiers, emulsifiers, and buffering agents) in nonpressurized dispensers that deliver a spray containing a metered dose of the active ingredient. The dose can be metered by the spray pump, or can be pre-metered during manufacture. A nasal spray unit can be designed for unit dosing or can discharge up to several hundred metered sprays of formulation containing the drug substance. Nasal sprays are applied to the nasal cavity for local or systemic effects. Although similar in many features to other drug products, some aspects of nasal sprays

may be unique (e.g., formulation, container closure system, manufacturing, stability, controls of critical steps, intermediates, and drug product). These aspects should be considered carefully during the development program, because changes can affect the ability of the product to deliver reproducible doses to patients throughout the product's shelf life. Some of the unique features of nasal sprays are listed here:

- Metering and spray producing (e.g., orifice, nozzle, and jet) pump mechanisms and components are used for reproducible delivery of drug formulation, and these can be constructed of many parts of different design that are precisely controlled in terms of dimensions and composition.
- Energy is required for dispersion of the formulation as a spray. This is typically accomplished by forcing the formulation through the nasal actuator and its orifice.
- The formulation and the container closure system (container, closure, pump, and any protective packaging) collectively constitute the drug product. The design of the container closure system affects the dosing performance of the drug product.
- The concept of classical bioequivalence and bioavailability may not be applicable for all nasal sprays, depending on the intended site of action. The doses administered are typically so small that blood or serum concentrations are generally undetectable by routine analytical procedures.

A. INHALATION SOLUTIONS AND SUSPENSIONS

Inhalation solution and suspension drug products are typically aqueous-based formulations that contain therapeutically active ingredients and can also contain additional excipients. Aqueous-based oral inhalation solutions and suspensions must be sterile (21 CFR 200.51). Inhalation solutions and suspensions are intended for delivery to the lungs by oral inhalation for local or systemic effects and are used with a specified nebulizer. Unit-dose presentation is recommended for these drug products to prevent microbial contamination during use. The container closure system for these drug products consists of the container and closure and can include protective packaging such as foil overwrap.

B. INHALATION SPRAYS

An inhalation spray drug product consists of the formulation and the container closure system. The formulations are typically aqueous based and by definition, do not contain any propellant. Aqueous-based oral inhalation sprays must be sterile (21 CFR 200.51). Inhalation sprays are intended for delivery to the lungs by oral inhalation for local or systemic effects. The products contain therapeutically active ingredients and can also contain additional excipients. The formulation can be in unit-dose or multidose presentations. The use of preservatives or stabilizing agents in inhalation spray formulations is

discouraged. If these excipients are included in a formulation, their use should be justified by assessment in a clinical setting to ensure the safety and tolerability of the drug product. The dose is delivered by the integral pump components of the container closure system to the lungs by oral inhalation for local or systemic effects. The container closure system of these drug products consists of the container, closure, and pump, and it can also include protective packaging. Current container closure system designs for inhalation spray drug products include both premeasured and device-metered presentations using mechanical or power assistance or energy from patient inspiration for production of the spray plume. Premeasured presentations contain previously measured doses or a dose fraction in some types of units (e.g., single or multiple blisters or other cavities) that are subsequently inserted into the device during manufacture or by the patient before use. Typical device-metered units have a reservoir containing formulation sufficient for multiple doses that are delivered as metered sprays by the device itself when activated by the patient. Inhalation spray and nasal spray drug products have many similarities. Many of the characteristics for nasal sprays are also characteristic of inhalation spray drug products. Moreover, the potential wide array of inhalation spray drug product designs with unique characteristics will present a variety of development challenges. Regardless of the design, the most crucial attributes are the reproducibility of the dose, the spray plume, and the particle-/droplet-size distribution, as these parameters can affect the delivery of the drug substance to the intended biological target. Maintaining the reproducibility of these parameters through the expiration dating period and ensuring the sterility of the content and the functionality of the device (e.g., spray mechanism, electronic features, and sensors) through its lifetime under patient-use conditions will probably present the most formidable challenges. Therefore, changes in components of the drug product or changes in the manufacturer or manufacturing process that can affect these parameters should be carefully evaluated for their effect on the safety, clinical effectiveness, and stability of the product. If such changes are made subsequent to the preparation of the batches used in critical clinical, bioequivalence, or primary stability studies, adequate supportive comparative data should be provided to demonstrate equivalency in terms of safety, clinical effectiveness, and stability of the product.

C. PUMP DELIVERY OF NASAL PRODUCTS

A test to assess pump-to-pump reproducibility in terms of drug product performance and to evaluate the delivery from the pump should be performed. The proper performance of the pump should be ensured primarily by the pump manufacturer, who should assemble the pump with parts of precise dimensions. Pump spray weight delivery should be verified by the applicant for the drug product. In general, pump spray weight delivery acceptance criteria should control the weight of individual sprays to within "15% of the target weight" and their USP mean weight to within "10% of the target weight." However, for small-dosage pumps (e.g., 20 mL),

other acceptance criteria may be justified. Acceptance testing for pump delivery on incoming pump lots can substitute for the release testing of pump delivery for the drug product, if justified. However, the acceptance criteria for pump delivery should be included in the drug product specification.

D. SPRAY CONTENT UNIFORMITY FOR NASAL PRODUCTS

The spray discharged from the nasal actuator should be thoroughly analyzed for the drug substance content of multiple sprays from the beginning to the end of an individual container, among containers, and among batches of drug product. This test should provide an overall performance evaluation of a batch, assessing the formulation, the manufacturing process, and the pump. At most, two sprays per determination should be used, except when the number of sprays per minimum dose specified in the product labeling is one. Then, the number of sprays per determination should be one spray. To ensure reproducible in vitro dose collection, the procedure should have controls for actuation parameters (e.g., stroke length and actuation force). The test can be performed with units primed following the instructions in the labeling. The amount of drug substance delivered from the nasal actuator should be expressed both as the actual amount and as a percentage of label claim. This test is designed to demonstrate the uniformity of medication per spray (or minimum dose) consistent with the label claim, discharged from the nasal actuator, of an appropriate number ($n = 10$ from the beginning and $n = 10$ from the end) of containers from a batch. The primary purpose is to ensure spray content uniformity within the same container and among multiple containers of a batch. The following acceptance criteria are recommended, but alternative approaches (e.g., statistical) can be proposed and used if they are demonstrated to provide equal or greater assurance of spray content uniformity. For acceptance of a batch:

- The amount of active ingredient per determination is not outside 80% to 120% of the label claim for more than 2 of 20 determinations (10 from the beginning and 10 from the end) from 10 containers.
- None of the determinations is outside 75% to 125% of the label claim.
- The mean for each of the beginning and end determinations is not outside 85% to 115% of the label claim.

If these acceptance criteria are not met because 3 to 6 of the 20 determinations are outside 80% to 120% of the label claim, but none are outside 75% to 125% of the label claim, and the means for each of the beginning and end determinations are not outside 85% to 115% of the label claim, an additional 20 containers should be sampled for second-tier testing.

For the second-tier testing of a batch, the acceptance criteria are met if

- The amount of active ingredient per determination is not outside 80% to 120% of the label claim for more than 6 of all 60 determinations.

- None of the 60 determinations is outside 75% to 125% of the label claim.
- The mean for each of the beginning and end determinations is not outside 85% to 115% of the label claim.

E. SPRAY PATTERN AND PLUME GEOMETRY OF NASAL PRODUCTS

Characterization of spray pattern and plume geometry is important for evaluating the performance of the pump. Various factors can affect the spray pattern and plume geometry, including the size and shape of the nozzle, the design of the pump, the size of the metering chamber, and the characteristics of the formulation. Spray pattern testing should be performed on a routine basis as a quality control for release of the drug product. However, the characterization of plume geometry typically should be established during the characterization of the product and is not necessarily tested routinely thereafter. The proposed test procedure for spray pattern should be provided in detail to allow duplication by FDA laboratories. For example, in the evaluation of the spray pattern, the spray distance between the nozzle and the collection surface, number of sprays per spray pattern, position and orientation of the collection surface relative to the nozzle, and visualization procedure should be specified. The acceptance criteria for spray pattern should include the shape (e.g., ellipsoid of relative uniform density) as well as the size of the pattern (e.g., no axis is greater than x millimeters, and the ratio of the longest to the shortest axes should lie in a specified range). Data should be provided to demonstrate that the collection distance selected for the spray pattern test will provide the optimal discriminatory capability. Variability in the test can be reduced by the development of a sensitive detection procedure and by providing procedure-specific training to the analyst. Acceptance testing for spray pattern on incoming pump lots can substitute for the release testing of spray pattern for the drug product, if justified (e.g., spray patterns from pumps with drug product formulation and with the proposed simulating media are the same).

However, the 15 acceptance criteria for spray pattern should be included in the drug product specification.

F. DROPLET-SIZE DISTRIBUTION IN NASAL PRODUCTS

For both suspension and solution nasal sprays, the specifications should include an appropriate control for the droplet-size distribution (e.g., three to four cutoff values) of the delivered plume subsequent to spraying under specified experimental and instrumental conditions. If a laser diffraction method is used, droplet-size distribution can be controlled in terms of ranges for the D10, D50, D90, span $(D90 - D10)/D50$, and percentage of droplets less than 10 μm . Appropriate and validated or calibrated droplet-size analytical procedures should be described in sufficient detail to allow accurate assessment by agency laboratories (e.g., apparatus and accessories, calculation theory, correction principles, software version, sample

placement, laser trigger condition, measurement range, and beam width). For solution nasal sprays, acceptance testing for droplet-size distribution on incoming pump lots with placebo formulation can substitute for the release testing of droplet-size distribution for the drug product, if justified (i.e., droplet-size distributions from pumps with drug product formulation and those with the placebo are the same). However, the acceptance criteria for droplet-size distribution should be included in the drug product specification.

G. PARTICLE-SIZE DISTRIBUTION FOR NASAL SUSPENSIONS

For suspension nasal sprays, the specification should include tests and acceptance criteria for the particle-size distribution of the drug substance particles in the formulation. The quantitative procedure should be appropriately validated, if feasible, in terms of its sensitivity and ability to detect shifts that may occur in the distribution. When examining formulations containing suspending agents in the presence of suspended drug substance, when it is demonstrated that the currently available technology cannot be acceptably validated, a qualitative and semiquantitative method for examination of drug and aggregated drug particle-size distribution can be used. Supportive data, along with available validation information, should be submitted. For example, microscopic evaluation can be used, and such an examination can provide information and data on the presence of large particles, changes in morphology of the drug substance particles, extent of agglomerates, and crystal growth.

XIX. EMULSIFICATION AND SOLUBILIZATION

To solubilize insoluble lipophilic or hydrophobic active substances in an aqueous medium, BASF pharmaceutical excipients offer several possibilities and mechanisms. For microemulsions, Cremophor RH 40, Cremophor EL, and Solutol HS 15 act as surface-active solubilizers in water and form the structures of micelles. The micelle that envelops the active substance is so small that it is invisible, or perhaps visible in the form of opalescence. Typical fields of application are oil-soluble vitamins, antimycotics of the miconazole type, mouth disinfectants (e.g., hexiditin), and ethereal oils or fragrances. Solutol HS 15 is recommended for parenteral use of this solubilizing system and has been specially developed for this purpose.

XX. COMPLEXING

The soluble Kollidon products form reversible complexes with many hydrophobic active substances, and clear solutions in

water are thus obtained. This may be affected by the molecular weight. The longer the chains or the higher the K-value of the Kollidon type, the stronger the solubility effect and thus, the greater the solubility that can be obtained by the active substance. In practice, this effect has mostly been exploited for the solubilization of antibiotics in human and veterinary medicine. There are also restrictions on the use of this substance in human parenterals. In many countries, the K-value must not exceed 18, and there is also a restriction on the amount to be used for each dose administered in intramuscular application.

XXI. HYDROPHILIZATION

Active substances can also be solubilized by Lutrol F 68 in addition to the Cremophor and Kollidon products. The mechanism is probably based, for the most part, on the principle of hydrophilization. Micelle formation is certainly of minor significance, if it exists at all.

XXII. STABILIZING SUSPENSIONS

Various BASF pharmaceutical excipients with different functions can be used for stabilizing suspensions. The following groups of products can be offered for stabilizing oral and topical suspensions. Soluble Kollidon products can be used at low concentrations; for example, at 2% to 5%, Kollidon 90 F suffices to stabilize aqueous suspensions. A combination consisting of 2% Kollidon 90 F and 5% to 9% Kollidon CL-M has proved to be an effective system for stabilizing suspensions. Kollidon 30 is also used for this purpose. It can be combined with all conventional suspension stabilizers (thickeners, surfactants, etc.). The use of Kollidon CL-M as a suspension stabilizer has nothing whatever to do with the principle of increasing the viscosity. The addition of 5% to 9% Kollidon CL-M has practically no effect in changing the viscosity, but it strongly reduces the rate of sedimentation and facilitates redispersibility, in particular—an effect that is consistent with the low viscosity. One of the reasons for this Kollidon CL-M effect is its low (bulk) density, which is only half of that of conventional crospovidone (e.g., Kollidon CL). The polyoxamers, Lutrol F 68, and Lutrol F 127, in concentrations of 2% to 5%, expressed in terms of the final weight of the suspension, offer a further opportunity for stabilizing suspensions. They also do not increase viscosity when used in these amounts and can be combined with all other conventional suspension stabilizers.



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6 Container Closure Systems

I. INTRODUCTION

According to the Federal Food, Drug, and Cosmetic Act (the Act), section 501(a)(3), a drug is deemed to be adulterated “if its container is composed, in whole or in part, of any poisonous or deleterious substance which may render the contents injurious to health.” In addition, section 502 of the Act states that a drug is considered misbranded if there are packaging omissions. Also, section 505 of the Act requires a full description of the methods used in, and the facilities and controls used for, the packaging of drugs. Section 505(b)(1)(D) of the Act states that an application shall include a full description of the methods used in the manufacturing, processing, and packaging of such drug. This includes facilities and controls used in the packaging of a drug product.

A. DEFINITIONS

Materials of construction are the substances (e.g., glass, high-density polyethylene [HDPE] resin, or metal) used to manufacture a packaging component. A packaging component is any single part of a container closure system. Typical components are containers (e.g., ampoules, vials, or bottles), container liners (e.g., tube liners), closures (e.g., screw caps or stoppers), closure liners, stopper overseals, container inner seals, administration ports (e.g., on large-volume parenterals), overwraps, administration accessories, and container labels. A primary packaging component is a packaging component that is or may be in direct contact with the dosage form. A secondary packaging component is a packaging component that is not and will not be in direct contact with the dosage form.

A container closure system is the sum of packaging components that together contain and protect the dosage form. This includes primary packaging components and secondary packaging components, if the latter are intended to provide additional protection to the drug product. A packaging system is equivalent to a container closure system.

A package, or market package, is the container closure system and labeling, associated components (e.g., dosing cups, droppers, and spoons), and external packaging (e.g., cartons or shrink-wrap). A market package is the article provided to a pharmacist or retail customer on purchase and does not include packaging used solely for the purpose of shipping such articles.

The term “quality” refers to the physical, chemical, microbiological, biological, bioavailability, and stability attributes that a drug product should maintain if it is to be deemed suitable for therapeutic or diagnostic use. In this guidance, the term is also understood to convey the properties of safety, identity, strength, quality, and purity (see Title 21 Code of Federal Register [CFR] 211.94(a)).

An extraction profile is the analysis (usually by chromatographic means) of extracts obtained from a packaging component. A quantitative extraction profile is one in which the amount of each detected substance is determined.

B. CURRENT GOOD MANUFACTURING PRACTICE, THE CONSUMER PRODUCT SAFETY COMMISSION, AND REQUIREMENTS ON CONTAINERS AND CLOSURES

Current good manufacturing practice requirements for the control of drug product containers and closures are included in 21 CFR Parts 210 and 211. The U.S. Food and Drug Administration (FDA) requirement for tamper-resistant closures is included in 21 CFR 211.132, and the Consumer Product Safety Commission requirements for child-resistant closures are included in 16 CFR 1700.

The United States Pharmacopeial Convention has established requirements for containers, which are described in many of the drug product monographs in the United States Pharmacopeia/National Formulary. For capsules and tablets, these requirements generally relate to the design characteristics of the container (e.g., tight, well closed, or light resistant). For injectable products, materials of construction are also addressed (e.g., “Preserve in single-dose or in multiple-dose containers, preferably of type I glass, protected from light”). These requirements are defined in the “General Notices and Requirements” (Preservation, Packaging, Storage, and Labeling) section of the USP. The requirements for materials of construction are defined in the “General Chapters” of the USP.

C. ADDITIONAL CONSIDERATIONS

The packaging information in the chemistry, manufacturing, and controls (CMC) section of an investigational new drug (IND) application usually includes a brief description of the components, the assembled packaging system, and any precautions needed to ensure the protection and preservation of the drug substance and drug product during their use in the clinical trials.

A contract packager is a firm retained by the applicant to package a drug product. The applicant remains responsible for the quality of the drug product during shipping, storage, and packaging. The information regarding the container closure system used by a contract packager that should be submitted in the CMC section of an application (new drug application [NDA], abbreviated new drug application [aNDA], or biological license application [BLA]), or in a drug master file (DMF) that is referenced in the application, is no different from that which would be submitted if the applicant performed its own packaging operations. If the information is provided in a

DMF, then a copy of the letter of authorization for the DMF should be provided in the application.

II. QUALIFICATION AND QUALITY CONTROL OF PACKAGING COMPONENTS

A packaging system found acceptable for one drug product is not automatically assumed to be appropriate for another. Each application should contain enough information to show that each proposed container closure system and its components are suitable for its intended use.

The type and extent of information that should be provided in an application will depend on the dosage form and the route of administration. For example, the kind of information that should be provided about a packaging system for an injectable dosage form or a drug product for inhalation is often more detailed than that which should be provided about a packaging system for a solid oral dosage form. More detailed information usually should be provided for a liquid-based dosage form than for a powder or a solid, as a liquid-based dosage form is more likely to interact with the packaging components. There is a correlation between the degree of concern regarding the route of administration and the likelihood of packaging component–dosage form interactions for different classes of drug products:

Highest: inhalation, aerosols, sterile powders, and solutions; powders for injections and injection; and inhalation, injectable, powders, and suspensions

High: ophthalmic solutions and suspensions, transdermal ointments and patches, and nasal aerosols and sprays

Low: topical solutions and topical powders; oral tablets and oral suspensions; and topical oral powders (hard and soft and lingual aerosols; gelatin), capsules, oral solutions, and suspensions

“Suitability” refers to the tests and studies used and accepted for the initial qualification of a component, or a container closure system, for its intended use. “Quality control” refers to the tests typically used and accepted to establish that after the application is approved, the components and the container closure system continue to possess the characteristics established in the suitability studies. The subsections on associated components and secondary components describe the tests and studies for establishing suitability and quality control for these types of components. However, the ultimate proof of the suitability of the container closure system and the packaging process is established by full shelf-life stability studies.

Every proposed packaging system should be shown to be suitable for its intended use: It should adequately protect the dosage form, it should be compatible with the dosage form, and it should be composed of materials that are considered safe for use with the dosage form and the route of administration. If the packaging system has a performance feature in addition to containing the product, the assembled container closure system should be shown to function properly.

Information intended to establish suitability may be generated by the applicant, by the supplier of the material of construction or the component, or by a laboratory under contract to either the applicant or the firm. An adequately detailed description of the tests, methods, acceptance criteria, reference standards, and validation information for the studies should be provided. The information may be submitted directly in the application or indirectly by reference to a DMF. If a DMF is used, a letter authorizing reference (i.e., letter of authorization) to the DMF must be included in the application.

A container closure system should provide the dosage form with adequate protection from factors (e.g., temperature and light) that can cause a degradation in the quality of that dosage form over its shelf life. Common causes of such degradation are exposure to light, loss of solvent, exposure to reactive gases (e.g., oxygen), absorption of water vapor, and microbial contamination. A drug product can also suffer an unacceptable loss in quality if it is contaminated by filth.

Not every drug product is susceptible to degradation by all of these factors: not all drug products are light sensitive. Not all tablets are subject to loss of quality caused by absorption of moisture. Sensitivity to oxygen is most commonly found with liquid-based dosage forms. Laboratory studies can be used to determine which of these factors actually have an influence on a particular drug product.

Light protection is typically provided by an opaque or amber-colored container or by an opaque secondary packaging component (e.g., cartons or overwrap). The test for light transmission (USP <661>) is an accepted standard for evaluating the light transmission properties of a container. Situations exist in which solid- and liquid-based oral drug products have been exposed to light during storage because the opaque secondary packaging component was removed, contrary to the approved labeling and the monograph recommendation. A firm, therefore, may want to consider using additional or alternate measures to provide light protection for these drug products when necessary.

Loss of solvent can occur through a permeable barrier (e.g., a polyethylene container wall), through an inadequate seal, or through leakage. Leaks can develop through rough handling or from inadequate contact between the container and the closure (e.g., because of the buildup of pressure during storage). Leaks can also occur in tubes as a result of failure of the crimp seal. Water vapor or reactive gases (e.g., oxygen) may penetrate a container closure system either by passing through a permeable container surface (e.g., the wall of a low-density polyethylene [LDPE] bottle) or by diffusing past a seal. Plastic containers are susceptible to both routes. Although glass containers would seem to offer better protection, because glass is relatively impermeable, glass containers are more effective only if there is a good seal between the container and the closure.

Protection from microbial contamination is provided by maintaining adequate container integrity after the packaging system has been sealed. An adequate and validated procedure should be used for drug product manufacture and packaging.

Packaging components that are compatible with a dosage form will not interact sufficiently to cause unacceptable changes in the quality of either the dosage form or the packaging component. Examples of interactions include loss of potency, caused by absorption or adsorption of the active drug substance, or degradation of the active drug substance, induced by a chemical entity leached from a packaging component; reduction in the concentration of an excipient caused by absorption, adsorption, or leachable-induced degradation; precipitation; changes in drug product pH; discoloration of either the dosage form or the packaging component; or increase in brittleness of the packaging component.

Some interactions between a packaging component and a dosage form will be detected during qualification studies on the container closure system and its components. Others may not show up except in the stability studies. Therefore, any change noted during a stability study that may be attributable to interaction between the dosage form and a packaging component should be investigated, and appropriate action should be taken, regardless of whether the stability study is being conducted for an original application, a supplemental application, or as fulfillment of a commitment to conduct postapproval stability studies.

Packaging components should be constructed of materials that will not leach harmful or undesirable amounts of substances to which a patient will be exposed when being treated with the drug product. This consideration is especially important for those packaging components that may be in direct contact with the dosage form, but it is also applicable to any component from which substances may migrate into the dosage form (e.g., an ink or adhesive). Making the determination that a material of construction used in the manufacture of a packaging component is safe for its intended use is not a simple process, and a standardized approach has not been established. There is, however, a body of experience that supports the use of certain approaches that depend on the route of administration and the likelihood of interactions between the component and the dosage form. For a drug product such as an injection, inhalation, ophthalmic, or transdermal product, a comprehensive study is appropriate. This involves two parts: first, an extraction study on the packaging component to determine which chemical species may migrate into the dosage form (and at what concentration), and second, a toxicological evaluation of those substances that are extracted to determine the safe level of exposure via the label-specified route of administration. This technique is used by the Center for Food Safety and Applied Nutrition to evaluate the safety of substances that are proposed as indirect food additives (e.g., polymers or additives that may be used in packaging for foods).

The approach for toxicological evaluation of the safety of extractables should be based on good scientific principles and should take into account the specific container closure system, drug product formulation, dosage form, route of administration, and dose regimen (chronic or short-term dosing). For many injectable and ophthalmic drug products, data from the Biological Reactivity tests and Elastomeric Closures for

Injections tests will typically be considered sufficient evidence of material safety.

For many solid and liquid oral drug products, an appropriate reference to the indirect food additive regulations (21 CFR 174–186) promulgated by Center for Food Safety and Applied Nutrition for the materials of construction used in the packaging component will typically be considered sufficient. Although these regulations do not specifically apply to materials for packaging drug products, they include purity criteria and limitations pertaining to the use of specific materials for packaging foods that may be acceptable for the evaluation of drug product packaging components. Applicants are cautioned that this approach may not be acceptable for liquid oral dosage forms intended for chronic use.

For drug products that undergo clinical trials, the absence of adverse reactions traceable to the packaging components is considered to be supporting evidence of material safety. Performance of the container closure system refers to its ability to function in the manner for which it was designed. A container closure system is often called on to do more than simply contain the dosage form. When evaluating performance, two major considerations are container closure system functionality and drug delivery.

First, consider container closure system functionality: the container closure system may be designed to improve patient compliance (e.g., a cap that contains a counter), minimize waste (e.g., a two-chamber vial or IV bag), improve ease of use (e.g., a prefilled syringe), or have other functions.

The second consideration is drug delivery: drug delivery refers to the ability of the packaging system to deliver the dosage form in the amount or at the rate described in the package insert. Some examples of a packaging system for which drug delivery aspects are relevant are a prefilled syringe, a transdermal patch, a metered tube, a dropper or spray bottle, a dry powder inhaler, and a metered dose inhaler.

Container closure system functionality or drug delivery is compromised when the packaging system fails to operate as designed. Failure can result from misuse, faulty design, manufacturing defect, improper assembly, or wear and tear during use. Tests and acceptance criteria regarding dosage form delivery and container closure system functionality should be appropriate to the particular dosage form, route of administration, and design features. If there is a special performance function built into the drug product (e.g., a counter cap), it is of importance for any dosage form or route of administration to show that the container closure system performs that function properly.

In addition to providing data to show that a proposed container closure system is suitable for its intended use, an application should also describe the quality control measures that will be used to ensure consistency in the packaging components. These controls are intended to limit unintended postapproval variations in the manufacturing procedures or the materials of construction for a packaging component and to prevent adverse effects on the quality of a dosage form.

Principal consideration is usually given to consistency in physical characteristics and chemical composition. The physical

characteristics of interest include dimensional criteria (e.g., shape, neck finish, wall thickness, and design tolerances), physical parameters critical to the consistent manufacture of a packaging component (e.g., unit weight), and performance characteristics (e.g., metering valve delivery volume or the ease of movement of syringe plungers). Unintended variations in dimensional parameters, if undetected, may affect package permeability, drug delivery performance, or the adequacy of the seal between the container and the closure. Variation in any physical parameter is considered important if it can affect the quality of a dosage form.

The chemical composition of the materials of construction may affect the safety of a packaging component. New materials may result in new substances being extracted into the dosage form or in a change in the amount of known extractables. Chemical composition may also affect the compatibility, functional characteristics, or protective properties of packaging components by changing rheological or other physical properties (e.g., elasticity, resistance to solvents, or gas permeability). A composition change may occur as a result of a change in formulation or a change in a processing aid (e.g., using a different mold release agent) or through the use of a new supplier of a raw material. A change in the supplier of a polymeric material or a substance of biological origin is more likely to bring with it an unexpected composition change than is a change in the supplier of a pure chemical compound, because polymeric and natural materials are often complex mixtures. A composition change may also occur with a change in the manufacturing process, such as the use of different operating conditions (e.g., a significantly different curing temperature), different equipment, or both. A change in formulation is considered a change in the specifications for the packaging component. Changes in the formulation of a packaging component by its manufacturer should be reported to the firm that purchases that component and to any appropriate DMF. The firm that purchases the component should, in turn, report the change to its application as required under 21 CFR 314.70(a) or 601.12. Manufacturers who supply a raw material or an intermediate packaging component should inform their customers of any intended changes to formulations or manufacturing procedures and should update the DMF in advance of implementing such a change. Changes that seem innocuous may have unintended consequences on the dosage form marketed in the affected packaging system.

The use of stability studies for monitoring the consistency of a container closure system in terms of compatibility with the dosage form and the degree of protection provided to the dosage form is accepted. At present, there is no general policy concerning the monitoring of a packaging system and components with regard to safety. One exception involves inhalation drug products, for which batch-to-batch monitoring of the extraction profile for the polymeric and elastomeric components is routine.

“Associated components” are packaging components that are typically intended to deliver the dosage form to the patient but that are not stored in contact with the dosage form for its entire shelf life. These components are packaged separately in the market package and are either attached to the container

on opening or used only when a dose is to be administered. Measuring spoons, dosing cups, measuring syringes, and vaginal delivery tubes are examples of associated components that typically contact the dosage form only during administration. A hand pump or dropper combined into a closure are examples of an associated component that would contact the dosage form from the time the packaging system is opened until the dosing regimen is completed.

The complete and assembled component and its parts should meet suitability criteria appropriate for the drug product and the actual use of the component. Safety and functionality are the most common factors to be established for suitability. The length of time that the associated component and the dosage form are in direct contact should also be taken into consideration when assessing the suitability of an associated component.

Unlike primary and associated packaging components, secondary packaging components are not intended to make contact with the dosage form. Examples are cartons, which are generally constructed of paper or plastic, and overwraps, which may be fabricated from a single layer of plastic or from a laminate made of metal foil, plastic, or paper. A secondary packaging component generally provides one or more of the following additional services:

- Protection from excessive transmission of moisture or solvents into or out of the packaging system
- Protection from excessive transmission of reactive gases (atmospheric oxygen, inert head-space filler gas, or other organic vapors) into or out of the packaging system
- Light protection for the packaging system
- Protection for a packaging system that is flexible or that needs extra protection from rough handling
- Additional measure of microbiological protection (i.e., by maintaining sterility or by protecting the packaging system from microbial intrusion)

When information on a container closure system is submitted in an application, the emphasis would normally be on the primary packaging components. For a secondary packaging component, a brief description will usually suffice unless the component is intended to provide some additional measure of protection to the drug product. In this case, more complete information should be provided, along with data showing that the secondary packaging component actually provides the additional protection.

Because secondary packaging components are not intended to make contact with the dosage form, there is usually less concern regarding the materials from which they are constructed. However, if the packaging system is relatively permeable, the possibility increases that the dosage form could be contaminated by the migration of an ink or adhesive component or from a volatile substance present in the secondary packaging component. (For example, a solution packaged in an LDPE container was found to be contaminated by a volatile constituent of the secondary packaging components that

enclosed it.) In such a case, the secondary packaging component should be considered a potential source of contamination, and the safety of its materials of construction should be taken into consideration.

A. DESCRIPTION

A general description of the entire container closure system should be provided in the CMC section of the application. In addition, the following information should be provided by the applicant for each individual component of the packaging system:

- Identification by product name, product code (if available), name and address of the manufacturer, and a physical description of the packaging component (e.g., type, size, shape, and color).
- Identification of the materials of construction (i.e., plastics, paper, metal, glass, elastomers, coatings, adhesives, and other such materials) by a specific product designation (code name and/or code number) and the source (name of the manufacturer); alternate materials of construction should be indicated; post-consumer recycled plastic should not be used in the manufacture of a primary packaging component, and if it is used for a secondary or associated component, then the safety and compatibility of the material for its intended use should be addressed appropriately.
- Description of any operations or preparations that are performed on a packaging component by the applicant (such as washing, coating, sterilization, or depyrogenation).

B. INFORMATION ABOUT SUITABILITY

To establish safety and to ensure consistency, the complete chemical composition should be provided for every material used in the manufacture of a packaging component. Test results from appropriate qualification and characterization tests should be provided. Adequate information regarding the tests, methods, acceptance criteria, reference standards, and validation information should also be provided.

To address protection, the use of tests for light transmission, moisture permeation, microbial limits, and sterility is generally considered sufficient. Testing for properties other than these (e.g., gas transmission or solvent leakage container integrity) may also be necessary.

To address safety and compatibility, the results of extraction/toxicological evaluation studies should be provided for drug products that are likely to interact with the packaging components and to introduce extracted substances into the patient. For drug products less likely to interact, other tests (e.g., Biological Reactivity test) or information (e.g., appropriate reference to the indirect food additive regulations at 21 CFR 174–186) could be used to address the issue of safety and compatibility. For example, an appropriate reference to an indirect food additive regulation is generally sufficient for a solid oral dosage form product.

To address performance, the results of nonfunctionality tests are considered sufficient if the test and acceptance criteria are appropriate for the intended purpose. Tests described here are typically considered sufficient standards for establishing specified properties and characteristics of specified materials of construction or packaging components. For nonfunctionality tests, an applicant should provide justification for the use of the test, a complete and detailed description of how the test was performed, and an explanation of what the test is intended to establish. If a related test is available, comparative data should be provided using both methods. Supporting data should include a demonstration of the suitability of the test for its intended use and its validation.

Testing on an assembled container closure system is usually performed by the applicant (or a testing laboratory commissioned by the applicant), and the test results are provided in the application. Such tests may include vacuum-leak testing, moisture permeation, and weight loss or media fill. Testing on an individual packaging component is typically performed by the manufacturer of the component and is reported via a DMF (see section V).

The fabricator/manufacturer of a packaging component and the drug product manufacturer who uses this firm share the responsibility for ensuring the quality of packaging components. These firms should have a quality control program in place so that consistent components are produced. The drug product manufacturer must have an inspection program for incoming packaging components and materials (21 CFR 211.22, 211.84, and 211.122). For most drug products, a drug product manufacturer may accept a packaging component lot based on receiving a certificate of analysis (COA) or certificate of certification (COC) from the component supplier and on the performance of an appropriate identification test, provided the supplier's test data are periodically validated (21 CFR 211.84(d)(3)). Acceptance of a packaging component lot based on a supplier's COA or COC may not be appropriate in all cases (e.g., some packaging components for certain inhalation drug products).

The tests and methods used by the applicant for acceptance of each batch of a packaging component that they receive should be described. If a batch is to be accepted based on a supplier's COA or COC, then the procedure for supplier validation should be described. The data from the supplier's COA or COC should clearly indicate that the lot meets the applicant's acceptance criteria. Acceptance criteria for extractables should also be included, if appropriate.

Dimensional and performance criteria should be provided. Dimensional information is frequently provided via a detailed schematic drawing, complete with target dimensions and tolerances, and it may be provided via the packaging component manufacturer's DMF. A separate drawing may not be necessary if the packaging component is part of a larger unit for which a drawing is provided, or if the component is uncomplicated in design (e.g., a cap liner).

Each manufacturer of a packaging component sold to a drug product manufacturer should provide a description of the quality control measures used to maintain consistency of

the physical and chemical characteristics of the component. These measures generally include release criteria (and test methods, if appropriate) and a description of the manufacturing procedure. If the release of the packaging component is based on statistical process control, a complete description of the process (including control criteria) and its validation should be provided.

The description of the manufacturing process is generally brief and should include any operations performed on the packaging component after manufacture but before shipping (e.g., washing, coating, or sterilization). In some cases, it may be desirable for the description to be more detailed and to include in-process controls. This information may be provided via a DMF.

The quality control procedures of the manufacturer of a packaging component may sometimes rely in whole or in part on the quality control procedures of a manufacturer who makes an intermediate packaging component that is used to create the component. If so, each contributor to the final packaging system should provide a description of the quality control measures used to maintain consistency in the physical and chemical characteristics of the separate components and of the assembled packaging system that they provide.

The manufacturer of each material of construction should be prepared to describe the quality control measures used to maintain consistency in the chemical characteristics of their product. This information may be provided via a DMF.

C. STABILITY DATA (PACKAGING CONCERNS)

Stability testing of the drug product should be conducted using the container closure systems proposed in the application. The packaging system used in each stability study should be clearly identified, and the container closure system should be monitored for signs of instability. When appropriate, an evaluation of the packaging system should be included in the stability protocol. Even when a formal test for quality of the packaging system is not performed, the applicant should investigate any observed change in the packaging system used in the stability studies. The observations, results of the investigation, and corrective actions should be included in the stability report. If the corrective action requires a change in an approved container closure system, a supplemental application should be submitted.

D. INHALATION DRUG PRODUCTS

Inhalation drug products include inhalation aerosols (metered dose inhalers); inhalation solutions, suspensions, and sprays (administered via nebulizers); inhalation powders (dry powder inhalers); and nasal sprays. The CMC and preclinical considerations for inhalation drug products are unique in that these drug products are intended for respiratory tract-compromised patients. This is reflected in the level of concern given to the nature of the packaging components that may come in contact with the dosage form or the patient.

E. INJECTION AND OPHTHALMIC DRUG PRODUCTS

These dosage forms share the common attributes that they are generally solutions, emulsions, or suspensions and that all are required to be sterile. Injectable dosage forms represent one of the highest-risk drug products. Any contaminants present (as a result of contact with a packaging component or caused by the packaging system's failure to provide adequate protection) can be rapidly and completely introduced into the patient's general circulation. Although the risk factors associated with ophthalmics are generally considered to be lower than for injectables, any potential for causing harm to the eyes demands caution.

Injectable drug products may be liquids in the form of solutions, emulsions, suspensions, or dry solids that are to be combined with an appropriate vehicle to yield a solution or suspension. Injections are classified as small-volume parenterals if they have a solution volume of 100 mL or less, or as large-volume parenterals if the solution volume exceeds 100 mL. For solids that must be dissolved or dispersed in an appropriate diluent before being injected, the diluent may be in the same container closure system (e.g., a two-part vial) or be part of the same market package (e.g., a kit containing a vial of diluent). A small-volume parenteral may be packaged in a disposable cartridge, a disposable syringe, a vial, an ampoule, or a flexible bag. A large-volume parenteral may be packaged in a vial, a flexible bag, a glass bottle, or in some cases, as a disposable syringe.

Cartridges, syringes, vials, and ampoules are usually composed of type I or II glass or of polypropylene. Flexible bags are typically constructed with multilayered plastic. Stoppers and septa in cartridges, syringes, and vials are typically composed of elastomeric materials. The input (medication) and output (administration) ports for flexible bags may be plastic or elastomeric materials. An overwrap may be used with flexible bags to retard solvent loss and to protect the flexible packaging system from rough handling.

The potential effects of packaging component/dosage form interactions are numerous. Hemolytic effects may result from a decrease in tonicity, and pyrogenic effects may result from the presence of impurities. The potency of the drug product or the concentration of the antimicrobial preservatives may decrease because of adsorption or absorption. A cosolvent system essential to the solubilization of a poorly soluble drug can also serve as a potent extractant of plastic additives. A disposable syringe may be made of plastic, glass, rubber, and metal components, and such multicomponent construction provides a potential for interaction that is greater than when a container consists of a single material.

Injectable drug products require protection from microbial contamination (loss of sterility or added bioburden) and may also need to be protected from light or from exposure to gases (e.g., oxygen). Liquid-based injectables may need to be protected from solvent loss, whereas sterile powders or powders for injection may need to be protected from exposure to water vapor. For elastomeric components, data showing that a component meets the requirements of elastomeric closures for injections will typically be considered sufficient evidence of safety. For plastic components, data from Biological Reactivity tests will typically be

considered sufficient evidence of safety. Whenever possible, the extraction studies should be performed using the drug product. If the extraction properties of the drug product vehicle may reasonably be expected to differ from those of water (e.g., because of high or low pH or a solubilizing excipient), then drug product should be used as the extracting medium. If the drug substance significantly affects extraction characteristics, it may be necessary to perform the extractions using the drug product vehicle. If the total of the extracts significantly exceeds the amount obtained from water extraction, then an extraction profile should be obtained. It may be advisable to obtain a quantitative extraction profile of an elastomeric or plastic packaging component and to compare this periodically with the profile from a new batch of the packaging component. Extractables should be identified whenever possible. For a glass packaging component, data from *Containers: Chemical Resistance—Glass Containers* will typically be considered sufficient evidence of safety and compatibility. In some cases (e.g., for some chelating agents), a glass packaging component may need to meet additional criteria to ensure the absence of significant interactions between the packaging component and the dosage form.

The performance of a syringe is usually addressed by establishing the force to initiate and maintain plunger movement down the barrel and the capability of the syringe to deliver the labeled amount of the drug product.

These drug products are usually solutions marketed in an LDPE bottle with a dropper built into the neck (sometimes referred to as *droptainers*) or ointments marketed in a metal tube with an ophthalmic tip. A few solution products use a glass container because of stability concerns regarding plastic packaging components. Ophthalmic ointments that are reactive toward metal may be packaged in a tube lined with an epoxy or vinyl plastic coating. A large-volume intraocular solution (for irrigation) may be packaged in a glass or polyolefin (polyethylene or polypropylene) container. The American Academy of Ophthalmology recommended to the FDA that a uniform color coding system be established for the caps and labels of all topical ocular medications. An applicant should either follow this system or provide an adequate justification for any deviations from the system.

Although ophthalmic drug products can be considered topical products, they have been grouped here with injectables because they are required to be sterile (21 CFR 200.50(a)(2)) and the descriptive, suitability, and quality control information is typically the same as that for an injectable drug product. Because ophthalmic drug products are applied to the eye, compatibility and safety should also address the container closure system's potential to form substances that irritate the eye or introduce particulate matter into the product (see USP <771> Ophthalmic Ointments).

F. LIQUID-BASED ORAL AND TOPICAL DRUG PRODUCTS AND TOPICAL DELIVERY SYSTEMS

A wide variety of drug products falls into this category. The presence of a liquid phase implies a significant potential for the transfer of materials from a packaging component into the

dosage form. The higher viscosity of semisolid dosage forms and transdermal systems may cause the rate of migration of leachable substances into these dosage forms to be slower than for aqueous solutions. Because of extended contact, the amount of leachables in these drug products may depend more on a leachable material's affinity for the liquid/semisolid phase than on the rate of migration.

Typical liquid-based oral dosage forms are elixirs, emulsions, extracts, fluid extracts, solutions, gels, syrups, spirits, tinctures, aromatic waters, and suspensions. These products are usually nonsterile but may be monitored for changes in bioburden or for the presence of specific microbes. These dosage forms are generally marketed in multiple-unit bottles or in unit-dose or single-use pouches or cups. The dosage form may be used as is or admixed first with a compatible diluent or dispersant. A bottle is usually glass or plastic, often with a screw cap with a liner, and possibly with a tamper-resistant seal or an overcap that is welded to the bottle. The same cap liners and inner seals are sometimes used with solid oral dosage forms. A pouch may be a single-layer plastic or a laminated material. Both bottles and pouches may use an overwrap, which is usually a laminated material. A single-dose cup may be metal or plastic with a heat-sealed lid made of a laminated material.

A liquid-based oral drug product typically needs to be protected from solvent loss, from microbial contamination, and sometimes, from exposure to light or reactive gases (e.g., oxygen). For glass components, data showing that a component meets the requirements of *Containers: Glass Containers* are accepted as sufficient evidence of safety and compatibility. For LDPE components, data from Containers tests are typically considered sufficient evidence of compatibility. The General Chapters do not specifically address safety for polyethylene (HDPE or LDPE), polypropylene, or laminate components. A patient's exposure to substances extracted from a plastic packaging component (e.g., HDPE, LDPE, polypropylene, or laminated components) into a liquid-based oral dosage form is expected to be comparable to a patient's exposure to the same substances through the use of the same material when it is used to package food. On the basis of this assumption, an appropriate reference to the indirect food additive regulations (21 CFR 174–186) is typically considered sufficient to establish safety of the material of construction, provided any limitations specified in the regulations are taken into consideration. This assumption is considered valid for liquid-based oral dosage forms that the patient will take only for a relatively short time (acute dosing regimen). For liquid-based oral drug products that the patient will continue to take for an extended period (i.e., months or years [chronic drug regimen]), a material of construction that meets the requirements for indirect food additives will be considered safe—on that basis alone—only if the patient's exposure to extractables can be expected to be no greater than the exposure through foods or if the length of exposure is supported by toxicological information. For example, if the dosage form is aqueous based and contains little or no cosolvent (or other substance, including the active drug substance, liable to cause greater

extraction of substances from plastic packaging components than would be extracted by water), meeting the requirements of the indirect food additive regulations will usually satisfy the issue of safety.

If the dosage form contains cosolvents (or if, for any reason, it may be expected to extract greater amounts of substances from plastic packaging components than water would), then additional extractable information may be needed to address safety issues. Performance is typically not a factor for liquid-based oral drug products.

Topical dosage forms include aerosols, creams, emulsions, gels, lotions, ointments, pastes, powders, solutions, and suspensions. These dosage forms are generally intended for local (not systemic) effect and are generally applied to the skin or oral mucosal surfaces. Topical products also include some nasal and otic preparations as well as some ophthalmic drug products. Vaginal and rectal drug products may be considered to be topical if they are intended to have a local effect. Some topical drug products are sterile or may be subject to microbial limits. In these cases, additional evaluation may be necessary when determining the appropriate packaging.

A liquid-based topical product typically has a fluid or semisolid consistency and is marketed in a single- or multiple-unit container (e.g., a rigid bottle or jar, a collapsible tube, or a flexible pouch). A powder product may be marketed in a sifter-top container. An antibacterial product may be marketed as part of a sterile dressing; there are also a number of products marketed as a pressurized aerosol or a hand-pumped spray. A rigid bottle or jar is usually made of glass or polypropylene with a screw cap. The same cap liners and inner seals are sometimes used as with solid oral dosage forms. A collapsible tube is usually constructed from metal (or is metal lined), from LDPE, or from a laminated material. Tubes are identified as either blind end or open end. In the former, there is no product contact with the cap on storage. Usually, the size of the tube is controlled by trimming it to an appropriate length for the target fill volume. Fill volume is commonly determined as an in-process measurement using bulk density. Usually, there is no cap liner, although the tube may have a liner. Aluminum tubes usually include a liner. A tube liner is frequently a lacquer or shellac, whose composition should be stated. A tube is closed by folding or crimping the open end. The type of fold (roll or saddle) should be described as well as the type and composition of any sealant. If the tube material is self sealing through the application of heat alone, this should be stated. If the market package includes a separate applicator device, this should be described. Product contact is possible if the applicator is part of the closure, and therefore, an applicator's compatibility with the drug product should be established as appropriate. Dressings consist of dosage form on a bandage material (e.g., absorbent gauze or gauze bandage) within a flexible pouch. The pouch should maintain the sterility and physical stability of the dressing.

Topical aerosols are not intended to be inhaled; therefore, the droplet size of the spray does not need to be carefully controlled, nor is the dose usually metered. The spray may

be used to apply dosage form to the skin (topical aerosol) or mouth (lingual aerosol), and the functionality of the sprayer should be addressed. A topical aerosol may be sterile or may conform to acceptance criteria for microbial limits. The packaging system for a liquid-based topical product should deter solvent loss and should provide protection from light when appropriate. Because these dosage forms may be placed in contact with mucosal membranes or with skin that has been broken or otherwise compromised, the safety of the materials of construction for the packaging components should be evaluated. For solid dosage forms, an appropriate reference to the indirect food additive regulations is typically considered sufficient to establish safety.

Topical delivery systems are self-contained, discrete dosage forms that are designed to deliver drug via intact skin or body surface. There are three types of topical delivery systems: transdermal, ocular, and intrauterine.

Transdermal systems are usually applied to the skin with an adhesive and may be in place for an extended period. Ocular systems are inserted under the lower eyelid, typically for 7 days. Intrauterine systems are held in place without adhesive and may stay in place for a year. A transdermal system usually comprises an outer barrier, a drug reservoir (with or without a rate-controlling membrane), a contact adhesive, and a protective liner. An ocular system usually consists of the drug formulation contained in a rate-controlling membrane. An intrauterine system may be constructed of a plastic material impregnated with active ingredients or a coated metal. It is shaped to remain in place after being inserted in the uterus. Each of these systems is generally marketed in a single-unit soft blister pack or a preformed tray with a preformed cover or overwrap.

Compatibility and safety for topical delivery systems are addressed in the same manner as for topical drug products. Performance and quality control should be addressed for the rate-controlling membrane. Appropriate microbial limits should be established and justified for each delivery system. Microbiological standards are under development; therefore, the review division for a specific application should be consulted.

G. SOLID ORAL DOSAGE FORMS AND POWDERS FOR RECONSTITUTION

The most common solid oral dosage forms are capsules and tablets. For the purpose of this guidance, oral powders and granules for reconstitution are also included in this group.

The risk of interaction between packaging components and a solid oral dosage form is generally recognized to be small. Powders that are reconstituted in their market container, however, have an additional possibility of an interaction between the packaging components and the reconstituting fluid. Although the contact time will be relatively short when compared with the component/dosage form contact time for liquid-based oral dosage forms, it should still be taken into consideration when the compatibility and safety of the container closure system are being evaluated.

A typical container closure system is a plastic (usually HDPE) bottle with a screw-on or snap-off closure and a flexible packaging system, such as a pouch or a blister package. A typical closure consists of a cap—often with a liner—frequently with an inner seal. If used, fillers, desiccants, and other absorbent materials are considered primary packaging components.

The most common forms of flexible packaging are the blister package and the pouch. A blister package usually consists of a lid material and a forming film. The lid material is usually a laminate, which includes a barrier layer (e.g., aluminum foil) with a print primer on one side and a sealing agent (e.g., a heat-sealing lacquer) on the other side.

The sealing agent contacts the dosage form and the forming film. The forming film may be a single film, a coated film, or a laminate. A pouch typically consists of film or laminate that is sealed at the edges by heat or adhesive. Leak testing is usually performed on flexible packages as part of the in-process controls.

Solid oral dosage forms generally need to be protected from the potential adverse effects of water vapor. Protection from light and reactive gases may also be needed. For example, the presence of moisture may affect the decomposition rate of the active drug substance or the dissolution rate of the dosage form. The container should have an intrinsically low rate of water vapor permeation, and the container closure system should establish a seal to protect the drug product. Three standard tests for water vapor permeation have been established by the USP for use with solid oral dosage forms.

1. Polyethylene Containers (USP <661>)

This test is conducted on containers heat sealed with foil laminate; therefore, only the properties of the container are evaluated. The level of protection from water vapor permeation provided by a packaging system marketed with a heat-sealed foil laminate inner seal (up to the time the inner seal is removed) is expected to be approximately the same as that determined by this test. The acceptance criteria are those established in USP <671>.

2. Single-Unit Containers and Unit-Dose Containers for Capsules and Tablets (USP <671>)

This test measures the water vapor permeation of a single-unit or unit-dose container closure system and establishes acceptance criteria for five standards (Class A–E containers).

3. Multiple-Unit Containers for Capsules and Tablets (USP <671>)

This test is intended for drugs being dispensed on prescription, but it has also been applied to the drug product manufacturer's container closure system. If the container closure system has an inner seal, it should be removed before testing. The results from this study reflect the contributions to water vapor permeation through the container and through the seal between the container and the closure.

Acceptance criteria have been established for two standards (tight containers and well-closed containers).

For solid oral dosage forms, a reference to the appropriate indirect food additive regulation for each material of construction is typically considered sufficient evidence of safety. However, for a powder for reconstitution dosage form, reference only to the indirect food additive regulations as evidence of safety for the materials of construction is not recommended. Compatibility for solid oral dosage forms and for powders for reconstitution is typically addressed for plastics and glass by meeting the requirements of the Containers test.

The monographs for Purified Cotton and Purified Rayon USP will typically be considered sufficient standards to establish the safety of these materials as fillers in the packaging of tablets or capsules, with the following caveats: cotton need not meet the monograph requirements for sterility, fiber length, or absorbency; and rayon need not meet the monograph requirements for fiber length or absorbency. Appropriate tests and acceptance criteria for identification and for moisture content should be provided for both cotton and rayon filler. Rayon has been found to be a potential source of dissolution problems for gelatin capsules and gelatin-coated tablets, and this characteristic should be considered when choosing filler. The use of other fillers may be considered with appropriate tests and acceptance criteria. If a desiccant or other absorbent material is used, the composition should be provided (or an appropriate DMF referenced). The component should differ in shape or size from the tablets or capsules with which it is packaged. This will help distinguish between the component and the dosage form. Because these are considered primary packaging components, appropriate tests and acceptance criteria to establish suitability should be provided.

H. OTHER DOSAGE FORMS

The current good manufacturing practice requirements for container closure systems for compressed medical gases are described in 21 CFR 210 and 211. The containers are regulated by the U.S. Department of Transportation. When submitting information for a drug product or dosage form not specifically covered by these sections, a firm should take into consideration the compatibility and safety concerns raised by the route of administration of the drug product and the nature of the dosage form (e.g., solid or liquid based); the kinds of protection the container closure system should provide to the dosage form; and the potential effect of any treatment or handling that may be unique to the drug product in the packaging system. Quality control procedures for each packaging component should ensure the maintenance of the safety and quality of future production batches of the drug product.

III. POSTAPPROVAL PACKAGING CHANGES

For an approved application (NDA, aNDA, or BLA), a change to a container closure system, to a component of the container closure system, to a material of construction for a component, or to a process involving one of these must be reported to the application. The filing requirements are specified under 21 CFR 314.70 (supplements and other changes to an approved

application) for an NDA or aNDA and under 21 CFR 601.12 (changes to an approved application) for a BLA.

IV. TYPE III DRUG MASTER FILES

The responsibility for providing information about packaging components rests foremost with the applicant of an NDA, aNDA, or BLA, or with the sponsor of an IND. This information may be provided to the applicant by the manufacturer of a packaging component or material of construction and may be included directly in the application. Any information that a manufacturer does not wish to share with the applicant or sponsor (i.e., because it is considered proprietary) may be placed in a type III DMF and incorporated into the application by a letter from the manufacturer to the applicant that authorizes reference to the DMF. The letter of authorization should specify the firm to whom authorization is granted, the component or material of construction being described, and where the information or data is located in the file by page number or date of submission. This last item is especially important for files that contain information on multiple components or have several volumes. Information in a type III DMF is not restricted to data of a proprietary nature. DMF holders may include in their files as much or as little information as they choose. In addition, a manufacturer of a packaging component is not required to maintain a type III DMF. Without a DMF, there is no procedure for the FDA to review proprietary information except by submission to the application.

The FDA ordinarily reviews a DMF only in connection with an application (IND, NDA, aNDA, or BLA). If the combined information from the application and the DMF is not adequate to support approval of the application or safety for the IND, then the agency may request additional information from the applicant or the DMF holder, as appropriate.

In the event of a change in the DMF, the holder of a DMF must notify the holder of each application supported by the DMF (21 CFR 314.420(c)). Notice should be provided well before the change is implemented to allow the applicant or sponsor enough time to file a supplement or an amendment to the affected application.

V. BULK CONTAINERS

Drug substances are generally solids, but some are liquids or gases. The container closure system for storage or shipment of a bulk solid drug substance is typically a drum with double LDPE liners that are usually heat sealed or closed with a twist tie. A desiccant may be placed between the bags.

The drum provides protection from light and mechanical strength to protect the liner during shipment and handling. The majority of the protection from air and moisture is provided by the liner. Because LDPE is not a particularly good moisture barrier, a drug substance that is moisture sensitive may need additional protection. An alternative to an LDPE bag is a heat-sealable laminate bag with a comparatively low rate of water vapor transmission.

Qualification of the packaging system is usually based on establishing the compatibility and safety of the liner but may also include characterization for solvent or gas transmission. The container closure system for the storage or shipment of a bulk liquid drug substance is typically plastic, stainless steel, a glass-lined metal container, or an epoxy-lined metal container with a rugged, tamper-resistant closure. Qualification of the container closure system may include characterization for solvent and gas permeation, light transmittance, closure integrity, ruggedness in shipment, protection against microbial contamination through the closure, and compatibility and safety of the packaging components, as appropriate.

The application (or type II DMF) should include a detailed description of the complete container closure system for the bulk drug substance as well as a description of the specific container, closure, all liners, inner seal, and desiccant (if any) and a description of the composition of each component. A reference to the appropriate indirect food additive regulation is typically considered sufficient to establish the safety of the materials of construction. The tests, methods, and criteria for the acceptance and release of each packaging component should be provided. Stability studies to establish a retest period for bulk drug substance in the proposed container closure system should be conducted with fillers or desiccant packs in place (if used). Smaller versions that simulate the actual container closure system may be used.

A container closure system for bulk drug products may be used for storage before packaging or for shipment to repackagers or contract packagers. In all cases, the container closure system should adequately protect the dosage form and should be constructed of materials that are compatible and safe. Container closure systems for on-site storage have generally been considered a current good manufacturing practice issue under 21 CFR 211.65. However, if a firm plans to hold bulk drug products in storage, then the container closure system and the maximum storage time should be described and justified in the application. In addition, stability data should be provided to demonstrate that extended storage in the described containers does not adversely affect the dosage form. Even when the storage time before packaging will be short, a firm should use a container closure system that provides adequate protection and that is manufactured from materials that are compatible and safe for the intended use.

A container closure system for the transportation of bulk drug products to contract packagers should be described in the application. The container closure system should be adequate to protect the dosage form, be constructed with materials that are compatible with product being stored, and be safe for the intended use. The protective properties of the shipping container are verified by the practice of including annual batches of the packaged product in postapproval stability studies.

A container closure system specifically intended for the transportation of a large volume of drug product to a repackager, whether for a solid or a liquid dosage form, is considered a market package. The package should meet the same requirements for protection, compatibility, and safety as a

smaller market package; should be included in the stability studies for application approval and in the long-term stability protocol; and should be fully described in the application. The length of time that the dosage form will spend in the bulk container may be a factor in determining the level of detail of the supporting information. Two examples of a large-volume shipping package are a 10,000-tablet HDPE pail with tamper-evident closure and a 10 L polyethylene terephthalate container with a screw-cap closure with dispenser attachment for a liquid drug product. Both are intended for sale to a mass distribution pharmacy.

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7 Material for Containers

A container for pharmaceutical use is an article that contains or is intended to contain a product and is, or may be, in direct contact with it. The closure is a part of the container.

The container is so designed that the contents may be removed in a manner appropriate to the intended use of the preparation. It provides a varying degree of protection depending on the nature of the product and the hazards of the environment and minimizes the loss of constituents. The container does not interact physically or chemically with the contents in a way that alters their quality beyond the limits tolerated by official requirements.

Single-dose container. A single-dose container holds a quantity of the preparation intended for total or partial use on one occasion only.

Multidose container. A multidose container holds a quantity of the preparation suitable for two or more doses.

Well-closed container. A well-closed container protects the contents from contamination with extraneous solids and liquids and from loss of contents under ordinary conditions of handling, storage, and transport.

Airtight container. An airtight container is impermeable to solids, liquids, and gases under ordinary conditions of handling, storage, and transport. If the container is intended to be opened on more than one occasion, it must be so designed that it remains airtight after reclosure.

Sealed container. A sealed container is a container closed by fusion of the material of the container.

Tamper-proof container. A tamper-proof container is a closed container fitted with a device that reveals irreversibly whether the container has been opened.

Childproof container. A container that is fitted with a closure that prevents opening by children.

I. GLASS CONTAINERS

Glass containers for pharmaceutical use are glass articles intended to come into direct contact with pharmaceutical preparations. Colorless glass is highly transparent in the visible spectrum. Colored glass is obtained by the addition of small amounts of metal oxides, chosen according to the desired spectral absorbance. *Neutral glass* is a borosilicate glass containing significant amounts of boric oxide, aluminum oxide alkali, and/or alkaline earth oxides. Because of its composition, neutral glass has high hydrolytic resistance and high thermal shock resistance. *Soda-lime-silica glass* is a silica glass containing alkali metal oxides, mainly sodium oxide, and alkaline earth oxides, mainly calcium oxide.

Because of its composition, soda-lime-silica glass has only moderate hydrolytic resistance. The hydrolytic stability of glass containers for pharmaceutical use is expressed by the resistance to the release of soluble mineral substances into water under the prescribed conditions of contact between the inner surface of the container or glass grains and water. The hydrolytic resistance is evaluated by titrating released alkali. According to their hydrolytic resistance, glass containers are classified as follows:

1. Type I glass containers: Neutral glass, with high hydrolytic resistance due to the chemical composition of the glass itself.
2. Type II glass containers: Usually of soda-lime-silica glass with high hydrolytic resistance resulting from suitable treatment of the surface.
3. Type III glass containers: Usually of soda-lime-silica glass with only moderate hydrolytic resistance. The following italicized statements constitute general recommendations concerning the type of glass container that may be used for different types of pharmaceutical preparations. The manufacturer of a pharmaceutical product is responsible for ensuring the suitability of the chosen container.

Type I glass containers are suitable for most preparations whether or not for parenteral use. Type II glass containers are suitable for most acidic and neutral, aqueous preparations whether or not for parenteral use. Type III glass containers are in general suitable for nonaqueous preparations for parenteral use, for powders for parenteral use (except for freeze-dried preparations), and for preparations not for parenteral use. Glass containers with hydrolytic resistance higher than that recommended earlier for a particular type of preparation may generally also be used. The container chosen for a given preparation shall be such that the glass material does not release substances in quantities sufficient to affect the stability of the preparation or to present a risk of toxicity. In justified cases, it may be necessary to have detailed information on the glass composition, so that the potential hazards can be assessed. Preparations for parenteral use are normally presented in colorless glass, but colored glass may be used for substances known to be light sensitive. Colorless or colored glass is used for the other pharmaceutical preparations. It is recommended that all glass containers for liquid preparations and for powders for parenteral use permit the visual inspection of the contents. The inner surface of glass containers may be specially treated to improve hydrolytic resistance so as to confer water repellency. The outer surface may also be treated, for example, to reduce friction and to improve resistance to abrasion. The outer treatment is such that it does not

contaminate the inner surface of the container. Except for type I glass containers, glass containers for pharmaceutical preparations are not to be reused. Containers for human blood and blood components must not be reused. Glass containers for pharmaceutical use comply with the relevant test or tests for hydrolytic resistance. When glass containers have non-glass components, the tests apply only to the glass part of the container.

II. NONPLASTICIZED POLY(VINYL CHLORIDE) FOR CONTAINERS FOR NONINJECTABLE AQUEOUS SOLUTIONS

Materials based on nonplasticized poly(vinyl chloride) that comply with the following specifications are suitable for the manufacture of containers for noninjectable aqueous solutions. They may also be used for solid forms for oral administration, and in some cases, subject to special studies on the compatibility of the container with its contents, these materials may be suitable for the preparation of containers for suppositories. They consist of one or more poly(vinyl chloride/vinyl acetate) or of a mixture of poly(vinyl chloride) and poly(vinyl acetate) or of poly(vinyl chloride). They contain not more than 1 ppm of vinyl chloride. The chlorine content expressed in poly(vinyl chloride) is not less than 80%. They may contain not more than 15% of copolymers based on acrylic and/or methacrylic acids and/or their esters, and/or on styrene and/or butadiene. Materials based on nonplasticized poly(vinyl chloride) are produced by polymerization methods, which guarantee a residual vinyl chloride content of less than 1 ppm.

III. POLYETHYLENE TEREPHTHALATE FOR CONTAINERS FOR PREPARATIONS NOT FOR PARENTERAL USE

Polyethylene terephthalate is obtained from the polymerization of terephthalic acid or dimethyl terephthalate with ethylene glycol. Isophthalic acid, dimethyl isophthalate, 1,4-bis(hydroxymethyl)cyclohexane (cyclohexane-1,4-dimethanol), or diethylene glycol may be used in the polymerization. It may contain not more than 0.5% of silica or silicates and coloring matter approved by the competent authority. The manufacturing process is validated to demonstrate that the residual acetaldehyde content is not greater than 10 ppm in the granules.

IV. NONPLASTICIZED POLY(VINYL CHLORIDE) FOR CONTAINERS FOR DRY DOSAGE FORMS FOR ORAL ADMINISTRATION

Materials based on nonplasticized poly(vinyl chloride) for containers for dry dosage forms for oral administration are suitable for the manufacture of sheets or containers. They consist of one or more poly(vinyl chloride/vinyl acetate) or of a mixture of poly(vinyl chloride) and poly(vinyl acetate) or of poly(vinyl chloride). They contain not more than 1 ppm of

vinyl chloride. The chlorine content expressed in poly(vinyl chloride) is not less than 80%. They may contain not more than 15% of copolymers based on acrylic and/or methacrylic acids and/or their esters and/or on styrene and/or butadiene. Materials based on nonplasticized poly(vinyl chloride) are produced by polymerization methods, which guarantee a residual vinyl chloride content of less than 1 ppm.

V. PLASTICIZED POLY(VINYL CHLORIDE) FOR CONTAINERS FOR AQUEOUS SOLUTIONS FOR INTRAVENOUS INFUSION

Materials based on plasticized poly(vinyl chloride) contain not less than 55% of poly(vinyl chloride) and contain various additives in addition to the high-molecular mass polymer obtained by polymerization of vinyl chloride. Materials based on plasticized poly(vinyl chloride) for containers for aqueous solutions for intravenous infusion are defined by the nature and the proportions of the substances used in their manufacture. Materials based on plasticized poly(vinyl chloride) are produced by polymerization methods, which guarantee a residual vinyl chloride content of less than 1 ppm.

VI. POLYETHYLENE TEREPHTHALATE FOR CONTAINERS FOR PREPARATIONS NOT FOR PARENTERAL USE

Polyethylene terephthalate is obtained from the polymerization of terephthalic acid or dimethyl terephthalate with ethylene glycol. Isophthalic acid, dimethyl isophthalate, 1,4-bis(hydroxymethyl)cyclohexane (cyclohexane-1,4-dimethanol), or diethylene glycol may be used in the polymerization. It may contain not more than 0.5% of silica or silicates and coloring matter approved by the competent authority. The manufacturing process is validated to demonstrate that the residual acetaldehyde content is not more than 10 ppm in the granules.

VII. POLYOLEFINS

Polyolefins are obtained by polymerization of ethylene or propylene or by copolymerization of these substances with not more than 25% of higher homologues (C₄–C₁₀) or of carboxylic acids or of esters. Certain materials may be mixtures of polyolefins. A certain number of additives are added to the polymers to optimize their chemical, physical, and mechanical properties to adapt them for the intended use. All these additives are chosen from the following list, which specifies for each product the maximum allowable content. They may contain at most three antioxidants, one or several lubricants or antiblocking agents, and titanium dioxide as an opacifying agent when the material must provide protection from light.

1. Butyl hydroxytoluene (plastic additive 07) (not more than 0.125%)

2. Pentaerythrityl tetrakis[3-(3,5-di-*tert*-butyl-4-hydroxyphenyl)propionate] (plastic additive 09) (not more than 0.3%)
 3. 1,3,5-tris(3,5-di-*tert*-butyl-4-hydroxybenzyl)-*s*-triazine-2,4,6(1*H*,3*H*,5*H*)-trione (plastic additive 13) (not more than 0.3%)
 4. Octadecyl 3-(3,5-di-*tert*-butyl-4-hydroxyphenyl)propionate (plastic additive 11) (not more than 0.3%), ethylene bis[3,3-bis[3-(1,1-dimethylethyl)-4-hydroxyphenyl]butanoate] (plastic additive 08) (not more than 0.3%)
 5. Dioctadecyl disulfide (plastic additive 15) (not more than 0.3%)
 6. 4,4',4''-(2,4,6-trimethylbenzene-1,3,5-triyltrismethylene) trio[2,6-bis(1,1-dimethylethyl)phenol] (plastic additive 10) (not more than 0.3%)
 7. 2,2'-bis(octadecyloxy)-5,5'-spirobi(1,3,2-dioxaphosphinane) (plastic additive 14) (not more than 0.3%)
 8. Didodecyl 3,3'-thiodipropionate (plastic additive 16) (not more than 0.3%)
 9. Dioctadecyl 3,3'-thiodipropionate (plastic additive 17) (not more than 0.3%)
 10. Tris[2,4-bis(1,1-dimethylethyl)phenyl] phosphite (plastic additive 12) (not more than 0.3%)
 11. Plastic additive 18 (not more than 0.1%)
 12. Copolymer of dimethyl succinate and (4-hydroxy-2,2,6,6-tetramethylpiperidin-1-yl)ethanol (plastic additive 22) (not more than 0.3%)
- The total of antioxidant additives 1–12 does not exceed 0.3%—hydrotalcite (not more than 0.5%).
13. Alkanamides (not more than 0.5%)
 14. Alkenamides (not more than 0.5%)
 15. Sodium silicoaluminate (not more than 0.5%)
 16. Silica (not more than 0.5%)
 17. Sodium benzoate (not more than 0.5%)
 18. Fatty acid esters or salts (not more than 0.5%)
 19. Trisodium phosphate (not more than 0.5%)
 20. Liquid paraffin (not more than 0.5%)
 21. Zinc oxide (not more than 0.5%)
 22. Talc (not more than 0.5%)
 23. Magnesium oxide (not more than 0.2%)
 24. Calcium stearate or zinc stearate or a mixture of both (not more than 0.5%)
 25. Titanium dioxide (not more than 4%)

VIII. POLYETHYLENE WITH ADDITIVES FOR CONTAINERS FOR PARENTERAL PREPARATIONS AND FOR OPHTHALMIC PREPARATIONS

Polyethylene with additives is obtained by the polymerization of ethylene under pressure in the presence of a catalyst or by copolymerization of ethylene with not more than 25% of higher alkene homologues (C₃–C₁₀). A certain number of additives are added to the polymers to optimize

their chemical, physical, and mechanical properties to adapt them for the intended use. All these additives are chosen from the following list, which specifies for each product the maximum allowable content. They may contain at most three antioxidants, one or several lubricants or antiblocking agents, and titanium dioxide as an opacifying agent when the material must provide protection from light.

1. Butyl hydroxytoluene (plastic additive 07) (not more than 0.125%)
 2. Pentaerythrityl tetrakis[3-(3,5-di-*tert*-butyl-4-hydroxyphenyl)propionate] (plastic additive 09) (not more than 0.3%)
 3. 1,3,5-tris(3,5-di-*tert*-butyl-4-hydroxybenzyl)-*s*-triazine-2,4,6(1*H*,3*H*,5*H*)-trione (plastic additive 13) (not more than 0.3%)
 4. Octadecyl 3-(3,5-di-*tert*-butyl-4-hydroxyphenyl)propionate (plastic additive 11) (not more than 0.3%)
 5. Ethylene bis[3,3-bis(3-[1,1-dimethylethyl]-4-hydroxyphenyl)butanoate] (plastic additive 08) (not more than 0.3%)
 6. Dioctadecyl disulfide (plastic additive 15) (not more than 0.3%)
 7. 4,4',4''-(2,4,6-trimethylbenzene-1,3,5-triyltrismethylene) tris[2,6-bis(1,1-dimethylethyl)phenol] (plastic additive 10) (not more than 0.3%)
 8. 2,2'-bis(octadecyloxy)-5,5'-spirobi(1,3,2-dioxaphosphinane) (plastic additive 14) (not more than 0.3%)
 9. Didodecyl 3,3'-thiodipropionate (plastic additive 16) (not more than 0.3%)
 10. Dioctadecyl 3,3'-thiodipropionate (plastic additive 17) (not more than 0.3%)
 11. Tris [2,4-bis(1,1-dimethylethyl)phenyl] phosphite (plastic additive 12) (not more than 0.3%)
- The total of antioxidant additives 1–11 does not exceed 0.3%.
12. Hydrotalcite (not more than 0.5%)
 13. Alkanamides (not more than 0.5%)
 14. Alkenamides (not more than 0.5%)
 15. Sodium silicoaluminate (not more than 0.5%)
 16. Silica (not more than 0.5%)
 17. Sodium benzoate (not more than 0.5%)
 18. Fatty acid esters or salts (not more than 0.5%)
 19. Trisodium phosphate (not more than 0.5%)
 20. Liquid paraffin (not more than 0.5%)
 21. Zinc oxide (not more than 0.5%)
 22. Magnesium oxide (not more than 0.2%)
 23. Calcium stearate or zinc stearate or a mixture of both (not more than 0.5%)
 24. Titanium dioxide (not more than 4%) only for materials for containers for ophthalmic use. The supplier of the material must be able to demonstrate that the qualitative and quantitative composition of the type sample is satisfactory for each production batch.

IX. POLYPROPYLENE FOR CONTAINERS AND CLOSURES FOR PARENTERAL PREPARATIONS AND OPHTHALMIC PREPARATIONS

Polypropylene consists of the homopolymer of propylene or of a copolymer of propylene with not more than 25% of ethylene or of a mixture (alloy) of polypropylene with not more than 25% of polyethylene. It may contain additives. A certain number of additives are added to the polymers to optimize their chemical, physical, and mechanical properties to adapt them for the intended use. All these additives are chosen from the following list, which specifies for each product the maximum allowable content. They may contain at most three anti-oxidants, one or several lubricants or antiblocking agents, and titanium dioxide as an opacifying agent when the material must provide protection from light.

1. Butyl hydroxytoluene (plastic additive 07) (not more than 0.125%)
2. Pentaerythrityl tetrakis[3-(3,5-di-*tert*-butyl-4-hydroxyphenyl)propionate] (plastic additive 09) (not more than 0.3%)
3. 1,3,5-tris(3,5-di-*tert*-butyl-4-hydroxybenzyl)-*s*-triazine-2,4,6(1*H*,3*H*,5*H*)-trione (plastic additive 13) (not more than 0.3%)
4. Octadecyl 3-(3,5-di-*tert*-butyl-4-hydroxyphenyl)propionate (plastic additive 11) (not more than 0.3%)
5. Ethylene bis[3,3-bis(3-[1,1-dimethylethyl]-4-hydroxyphenyl)butanoate] (plastic additive 08) (not more than 0.3%)
6. Dioctadecyl disulfide (plastic additive 15) (not more than 0.3%)
7. 2,2',2'',6,6',6''-hexa-*tert*-butyl-4,4',4''-[(2,4,6-trimethyl-1,3,5-benzenetriyl)trismethylene]triphenol (plastic additive 10) (not more than 0.3%)
8. 2,2'-bis(octadecyloxy)-5,5'-spirobi[1,3,2-dioxaphosphinane] (plastic additive 14) (not more than 0.3%)
9. Didodecyl 3,3'-thiodipropionate (plastic additive 16) (not more than 0.3%)
10. Dioctadecyl 3,3'-thiodipropionate (plastic additive 17) (not more than 0.3%)
11. Tris(2,4-di-*tert*-butylphenyl) phosphite (plastic additive 12) (not more than 0.3%)
The total of antioxidant additives 1–11 does not exceed 0.3%.
12. Hydrotalcite (not more than 0.5%)
13. Alkanamides (not more than 0.5%)
14. Alkenamides (not more than 0.5%)
15. Sodium silicoaluminate (not more than 0.5%)
16. Silica (not more than 0.5%), sodium benzoate (not more than 0.5%), fatty acid esters or salts (not more than 0.5%)
17. Trisodium phosphate (not more than 0.5%)
18. Liquid paraffin (not more than 0.5%)

19. Zinc oxide (not more than 0.5%), talc (not more than 0.5%)
20. Magnesium oxide (not more than 0.2%)
21. Calcium stearate or zinc stearate or a mixture of both (not more than 0.5%)
22. Titanium dioxide (not more than 4%) only for materials for containers for ophthalmic use. The supplier of the material must be able to demonstrate that the qualitative and quantitative composition of the type sample is satisfactory for each production batch.

X. POLY(ETHYLENE/VINYL ACETATE) FOR CONTAINERS AND TUBING FOR TOTAL PARENTERAL NUTRITION PREPARATIONS

Poly(ethylene/vinyl acetate), complying with the following requirements, is suitable for the manufacture of containers and tubing for total parenteral nutrition preparations. Poly(ethylene/vinyl acetate) is obtained by copolymerization of mixtures of ethylene and vinyl acetate. This copolymer contains a defined quantity of not more than 25% of vinyl acetate for material to be used for containers and not more than 30% for material to be used for tubing. A certain number of additives are added to the polymers to optimize their chemical, physical, and mechanical properties to adapt them for the intended use. All these additives are chosen from the following list, which specifies for each product the maximum allowable content. Poly(ethylene/vinyl acetate) may contain not more than three of the following antioxidants:

1. Butyl hydroxytoluene (plastic additive 07) (not more than 0.125%)
2. Pentaerythrityl tetrakis[3-(3,5-di-*tert*-butyl-4-hydroxyphenyl)propionate] (plastic additive 09) (not more than 0.2%)
3. Octadecyl 3-(3,5-di-*tert*-butyl-4-hydroxyphenyl)propionate (plastic additive 11) (not more than 0.2%)
4. Tris(2,4-di-*tert*-butylphenyl) phosphite (plastic additive 12) (not more than 0.2%)
5. 2,2',2'',6,6',6''-hexa-*tert*-butyl-4,4',4''-[(2,4,6-trimethyl-1,3,5-benzenetriyl)trismethylene]triphenol (plastic additive 10) (not more than 0.2%). It may also contain
 - a. oleamide (plastic additive 20) (not more than 0.5%)
 - b. erucamide (plastic additive 21) (not more than 0.5%)
 - c. calcium stearate or zinc stearate or a mixture of both (not more than 0.5%)
 - d. calcium carbonate or potassium hydroxide (not more than 0.5% of each)
 - e. colloidal silica (not more than 0.2%). The supplier of the material must be able to demonstrate that the qualitative and quantitative composition of the type sample is satisfactory for each production batch.

XI. PLASTIC CONTAINERS FOR AQUEOUS SOLUTIONS FOR INFUSION

Plastic containers for aqueous solutions for infusion are manufactured from one or more polymers, if necessary with additives. The containers described in this section are not necessarily suitable for emulsions. The polymers most commonly used are polyethylene, polypropylene, and poly(vinyl chloride). The containers may be bags or bottles. They have a site suitable for the attachment of an infusion set designed to ensure a secure connection. They may have a site that allows an injection to be made at the time of use. They usually have a part that allows them to be suspended and that will withstand the tension occurring during use. The containers must withstand the sterilization conditions to which they will be submitted. The design of the container and the method of sterilization chosen are such that all parts of the containers that may be in contact with the infusion are sterilized. The containers are impermeable to microorganisms after closure. The containers are such that after filling, they are resistant to damage from accidental freezing, which may occur during transport of the final preparation. The containers are and remain sufficiently transparent to allow the appearance of the contents to be examined at any time, unless otherwise justified and authorized. The empty containers display no defects that may lead to leakage, and the filled and closed containers show no leakage. For satisfactory storage of some preparations, the container has to be enclosed in a protective envelope. The initial evaluation of storage has then to be carried out using the container enclosed in the envelope.

A plastic container for pharmaceutical use is a plastic article that contains or is intended to contain a pharmaceutical product and is, or may be, in direct contact with it. The closure is a part of the container. Plastic containers and closures for pharmaceutical use are made of materials in which may be included certain additives; these materials do not include in their composition any substance that can be extracted by the contents in such quantities as to alter the efficacy or the stability of the product or to present a risk of toxicity. The most commonly used polymers are polyethylene (with and without additives), polypropylene, poly(vinyl chloride), poly(ethylene terephthalate), and poly(ethylene/vinyl acetate). The nature and amount of the additives are determined by the type of the polymer, the process used to convert the polymer into the container, and the intended purpose of the container. Additives may consist of antioxidants, stabilizers, plasticizers, lubricants, coloring matter, and impact modifiers. Antistatic agents and mold-release agents may be used only for containers for preparations for oral use or for external use for which they are authorized. Acceptable additives are indicated in the type specification for each material described in the *Pharmacopeia*. Other additives may be used provided they are approved in each case by the competent authority responsible for the licensing for sale of the preparation. For selection of a suitable plastic container, it is necessary to know the full manufacturing formula of the plastic, including all materials

added during the formation of the container, so that the potential hazards can be assessed. The plastic container chosen for any particular preparation should be such that

1. The ingredients of the preparation in contact with the plastic material are not significantly adsorbed on its surface and do not significantly migrate into or through the plastic.
2. The plastic material does not release substances in quantities sufficient to affect the stability of the preparation or to present a risk of toxicity. Using material (or materials) selected to satisfy these criteria, a number of identical type samples of the container are made by a well-defined procedure and submitted to practical testing in conditions that reproduce those of the intended use, including, where appropriate, sterilization. To confirm the compatibility of the container and the contents and to ensure that there are no changes detrimental to the quality of the preparation, various tests are carried out, such as verification of the absence of changes in physical characteristics, assessment of any loss or gain through permeation, detection of pH changes, assessment of changes caused by light, chemical tests, and, where appropriate, biological tests. The method of manufacture is such as to ensure reproducibility for subsequent bulk manufacture, and the conditions of manufacture are chosen so as to preclude the possibility of contamination with other plastic materials or their ingredients. The manufacturer of the product must ensure that containers made in production are similar in every respect to the type samples.

For the results of the testing on type samples to remain valid, it is important that

1. There is no change in the composition of the material as defined for the type samples.
2. There is no change in the manufacturing process as defined for the type samples, especially as regards the temperatures to which the plastic material is exposed during conversion or subsequent procedures such as sterilization.
3. Scrap material is not used. Recycling of excess material of well-defined nature and proportions may be permitted after appropriate validation. Subject to satisfactory testing for compatibility of each different combination of container and contents, the materials described in the *Pharmacopeia* are recognized as being suitable for the specific purposes indicated, as defined previously.

XII. STERILE SINGLE-USE PLASTIC SYRINGES

Sterile single-use plastic syringes are medical devices intended for immediate use for the administration of

injectable preparations. They are supplied sterile and pyrogen-free and are not to be resterilized or reused. They consist of a syringe barrel and a piston, which may have an elastomer sealing ring; they may be fitted with a needle, which may be nondetachable. Each syringe is presented with individual protection for maintaining sterility. The barrel of the syringe is sufficiently transparent to permit dosages to be read without difficulty and to allow air bubbles and foreign particles to be discerned. The plastics and elastomer materials of which the barrel and piston are made comply with the appropriate specification or with the requirements of the competent authority. The most commonly used materials are polypropylene and polyethylene. The syringes comply with current standards regarding dimensions and performance. Silicone oil may be applied to the internal wall of the barrel to assist in the smooth operation of the syringe, but there remains no excess capable of contaminating the contents at the time of use. The inks, glues, and adhesives for the marking on the syringe or on the package and where necessary, the assembly of the syringe and its package do not migrate across the walls.

XIII. RUBBER CLOSURES FOR CONTAINERS FOR AQUEOUS PARENTERAL PREPARATIONS, FOR POWDERS, AND FOR FREEZE-DRIED POWDERS

Rubber closures for containers for aqueous parenteral preparations for powders and for freeze-dried powders are made of materials obtained by vulcanization (cross-linking) of macromolecular organic substances (elastomers) with appropriate additives. The specification also applies to closures for containers for powders and freeze-dried products to be dissolved in water immediately before use. The elastomers are produced from natural or synthetic substances by polymerization, polyaddition, or polycondensation. The nature of the principal components and of the various additives (e.g., vulcanizers, accelerators, stabilizers, and pigments) depends on the properties required for the finished article. Rubber closures may be classified into two types: type I closures are those that meet the strictest requirements and are to be preferred; type II closures are those that, having mechanical properties suitable for special uses (e.g., multiple piercing), cannot meet requirements as severe as those for the first category because of their

chemical composition. The closures chosen for use with a particular preparation are such that

1. The components of the preparation in contact with the closure are not adsorbed onto the surface of the closure and do not migrate into or through the closure to an extent sufficient to affect the preparation adversely.
2. The closure does not yield to the preparation substances in quantities sufficient to affect its stability or to present a risk of toxicity. The closures are compatible with the preparation for which they are used throughout its period of validity. The manufacturer of the preparation must obtain from the supplier an assurance that the composition of the closure does not vary, and that it is identical to that of the closure used during compatibility testing. When the supplier informs the manufacturer of the preparation of changes in the composition, compatibility testing must be repeated, totally or partly, depending on the nature of the changes. The closures are washed and may be sterilized before use.

XIV. SILICONE OIL USED AS A LUBRICANT

Silicone oil used as a lubricant is a poly(dimethylsiloxane) obtained by hydrolysis and polycondensation of dichlorodimethylsilane and chlorotrimethylsilane. Different grades exist, which are characterized by a number indicating the nominal viscosity placed after the name. Silicone oils used as lubricants have a degree of polymerization ($n=400-1200$) such that their kinematic viscosities are nominally between 1000 and 30,000 mm² s⁻¹.

XV. SILICONE ELASTOMER FOR CLOSURES AND TUBING

Silicone elastomer complying with the following requirements is suitable for the manufacture of closures and tubing. Silicone elastomer is obtained by cross-linking a linear polysiloxane constructed mainly of dimethylsiloxy units with small quantities of methylvinylsiloxy groups; the chain ends are blocked by trimethylsiloxy or dimethylvinylsiloxy groups. In all cases, appropriate additives are used, such as silica, and sometimes, small quantities of organosilicon additives (α,ω -dihydroxy polydimethylsiloxane).

8 Stability Testing of New Drug Substances and Products

I. INTRODUCTION

A. OBJECTIVES OF THE GUIDELINE

The following guideline is a revised version of the International Conference on Harmonisation (ICH) Q1A guideline and defines the stability data package for a new drug substance or drug product that is sufficient for a registration application within the three regions of the European Commission, Japan, and the United States. It does not seek necessarily to cover the testing for registration in or export to other areas of the world.

The guideline seeks to exemplify the core stability data package for new drug substances and products, but leaves sufficient flexibility to encompass the variety of different practical situations that may be encountered due to specific scientific considerations and characteristics of the materials being evaluated. Alternative approaches can be used when there are scientifically justifiable reasons.

B. SCOPE OF THE GUIDELINE

The guideline addresses the information to be submitted in registration applications for new molecular entities and associated drug products. This guideline does not currently seek to cover the information to be submitted for abbreviated or abridged applications, variations, clinical trial applications, etc.

Specific details of the sampling and testing for particular dosage forms in their proposed container closures are not covered in this guideline.

C. GENERAL PRINCIPLES

The purpose of stability testing is to provide evidence on how the quality of a drug substance or drug product varies with time under the influence of a variety of environmental factors, such as temperature, humidity, and light, and to establish a retest period for the drug substance or a shelf life for the drug product and recommended storage conditions.

The choice of test conditions defined in this guideline is based on an analysis of the effects of climatic conditions in the three regions of the European Commission, Japan, and the United States. The mean kinetic temperature in any part of the world can be derived from climatic data, and the world can be divided into four climatic zones, I to IV. This guideline addresses climatic zones I and II. The principle has been established that stability information generated in any one of the three regions of the European Commission, Japan, and the United States would be mutually acceptable to the other

two regions provided that the information is consistent with this guideline and the labeling is in accordance with national/regional requirements.

II. GUIDELINES

A. DRUG SUBSTANCE

1. General

Information on the stability of the drug substance is an integral part of the systematic approach to stability evaluation.

2. Stress Testing

Stress testing of the drug substance can help identify the likely degradation products, which can in turn help establish the degradation pathways and the intrinsic stability of the molecule and validate the stability-indicating power of the analytical procedures used. The nature of the stress testing will depend on the individual drug substance and the type of drug product involved.

Stress testing is likely to be carried out on a single batch of the drug substance. It should include the effect of temperatures (in 10°C increments [e.g., 50°C, 60°C] above that for accelerated testing), humidity (e.g., 75% RH or greater) where appropriate, oxidation, and photolysis on the drug substance. The testing should also evaluate the susceptibility of the drug substance to hydrolysis across a wide range of pH values when in solution or suspension. Photostability testing should be an integral part of stress testing.

Examining degradation products under stress conditions is useful in establishing degradation pathways and developing and validating suitable analytical procedures. However, it may not be necessary to examine specifically for certain degradation products if it has been demonstrated that they are not formed under accelerated or long-term storage conditions.

Results from these studies will form an integral part of the information provided to regulatory authorities.

3. Selection of Batches

Data from formal stability studies should be provided on at least three primary batches of the drug substance. The batches should be manufactured to a minimum of pilot scale by the same synthetic route as, and using a method of manufacture and procedure that simulates the final process to be used for, production batches. The overall quality of the batches of drug substance placed on formal stability studies should be representative of the quality of the material to be made on a production scale.

Other supporting data can be provided.

4. Container Closure System

The stability studies should be conducted on the drug substance packaged in a container closure system that is the same as or simulates the packaging proposed for storage and distribution.

5. Specification

Specification, which is a list of tests, reference to analytical procedures, and proposed acceptance criteria, is addressed in ICH Q6A and Q6B. In addition, specification for degradation products in a drug substance is discussed in Q3A.

Stability studies should include testing of those attributes of the drug substance that are susceptible to change during storage and are likely to influence quality, safety, and/or efficacy. The testing should cover, as appropriate, the physical, chemical, biological, and microbiological attributes. Validated stability-indicating analytical procedures should be applied. Whether and to what extent replication should be performed will depend on the results from validation studies.

6. Testing Frequency

For long-term studies, the frequency of testing should be sufficient to establish the stability profile of the drug substance. For drug substances with a proposed retest period of at least 12 months, the frequency of testing at the long-term storage condition should normally be every 3 months over the first year, every 6 months over the second year, and annually thereafter through the proposed retest period.

At the accelerated storage condition, a minimum of three time points, including the initial and final time points (e.g., 0, 3, and 6 months), a 6 month study is recommended. Where an expectation (based on development experience) exists that results from accelerated studies are likely to approach significant change criteria, increased testing should be conducted either by adding samples at the final time point or by including a fourth time point in the study design.

When testing at the intermediate storage condition is called for as a result of significant change at the accelerated storage condition, a minimum of four time points, including the initial and final time points (e.g., 0, 6, 9, and 12 months), from a 12 month study is recommended.

7. Storage Conditions

In general, a drug substance should be evaluated under storage conditions (with appropriate tolerances) that test its thermal stability and if applicable, its sensitivity to moisture. The storage conditions and the lengths of studies chosen should be sufficient to cover storage, shipment, and subsequent use.

The long-term testing should cover a minimum of 12 months' duration on at least three primary batches at the time of submission and should be continued for a period of time sufficient to cover the proposed retest period. Additional data accumulated during the assessment period of the registration application should be submitted to the authorities if requested. Data from the accelerated storage condition and, if appropriate, from the intermediate storage condition can be used to

evaluate the effect of short-term excursions outside the label storage conditions (such as might occur during shipping).

Long-term, accelerated, and where appropriate, intermediate storage conditions for drug substances are detailed in the following sections. The general case applies if the drug substance is not specifically covered by a subsequent section. Alternative storage conditions can be used if justified.

a. General Case

Study	Storage condition	Minimum time period covered by data at submission
Long term ^a	25°C ± 2°C/60% RH ± 5% RH or 30°C ± 2°C/65% RH ± 5% RH	12 months
Intermediate ^b	30°C ± 2°C/65% RH ± 5% RH	6 months
Accelerated	40°C ± 2°C/75% RH ± 5% RH	6 months

^a It is up to the applicant to decide whether long-term stability studies are performed at 25°C ± 2°C/60% RH ± 5% RH or 30°C ± 2°C/65% RH ± 5% RH.

^b If 30°C ± 2°C/65% RH ± 5% RH is the long-term condition, there is no intermediate condition.

If long-term studies are conducted at 25°C ± 2°C/60% RH ± 5% RH, and “significant change” occurs at any time during 6 months' testing at the accelerated storage condition, additional testing at the intermediate storage condition should be conducted and evaluated against significant change criteria. Testing at the intermediate storage condition should include all tests unless otherwise justified. The initial application should include a minimum of 6 months' data from a 12 month study at the intermediate storage condition.

“Significant change” for a drug substance is defined as failure to meet its specification.

b. Drug Substances Intended for Storage in a Refrigerator

Study	Storage condition	Minimum time period covered by data at submission
Long term	5°C ± 3°C	12 months
Accelerated	25°C ± 2°C/60% RH ± 5% RH	6 months

Data from refrigerated storage should be assessed according to the evaluation section of this guideline, except where explicitly noted in the following.

If significant change occurs between 3 and 6 months' testing at the accelerated storage condition, the proposed retest period should be based on the real-time data available at the long-term storage condition.

If significant change occurs within the first 3 months' testing at the accelerated storage condition, a discussion should be provided to address the effect of short-term excursions outside the label storage condition; for example, during shipping or handling. This discussion can be supported, if appropriate, by further testing on a single batch of the drug substance for a period shorter than 3 months but with more frequent testing than usual. It is considered unnecessary to continue to test a drug substance through 6 months when a significant change has occurred within the first 3 months.

c. Drug Substances Intended for Storage in a Freezer

Study	Storage condition	Minimum time period covered by data at submission
Long term	-20°C ± 5°C	12 months

For drug substances intended for storage in a freezer, the retest period should be based on the real-time data obtained at the long-term storage condition. In the absence of an accelerated storage condition for drug substances intended to be stored in a freezer, testing on a single batch at an elevated temperature (e.g., 5°C ± 3°C or 25°C ± 2°C) for an appropriate time period should be conducted to address the effect of short-term excursions outside the proposed label storage condition; for example, during shipping or handling.

d. Drug Substances Intended for Storage below -20°C

Drug substances intended for storage below -20°C should be treated on a case-by-case basis.

8. Stability Commitment

When available long-term stability data on primary batches do not cover the proposed retest period granted at the time of approval, a commitment should be made to continue the stability studies post approval to firmly establish the retest period.

Where the submission includes long-term stability data on three production batches covering the proposed retest period, a postapproval commitment is considered unnecessary. Otherwise, one of the following commitments should be made.

1. If the submission includes data from stability studies on at least three production batches, a commitment should be made to continue these studies through the proposed retest period.
2. If the submission includes data from stability studies on fewer than three production batches, a commitment should be made to continue these studies through the proposed retest period and to place additional production batches, to a total of at least three, on long-term stability studies through the proposed retest period.
3. If the submission does not include stability data on production batches, a commitment should be made to place the first three production batches on long-term stability studies through the proposed retest period.

The stability protocol used for long-term studies for the stability commitment should be the same as that for the primary batches unless otherwise scientifically justified.

9. Evaluation

The purpose of the stability study is to establish, based on testing a minimum of three batches of the drug substance and evaluating the stability information (including, as appropriate, results of the physical, chemical, biological, and microbiological tests), a retest period applicable to all future batches of the drug substance manufactured under similar circumstances. The degree of variability of individual batches affects the confidence that a future production batch will remain within specification throughout the assigned retest period.

The data may show so little degradation and so little variability that it is apparent from looking at the data that the requested retest period will be granted. Under these circumstances, it is normally unnecessary to go through the formal statistical analysis. Providing a justification for the omission should be sufficient.

An approach for analyzing the data on a quantitative attribute that is expected to change with time is to determine the time at which the 95% one-sided confidence limit for the mean curve intersects the acceptance criterion. If analysis shows that the batch-to-batch variability is small, it is advantageous to combine the data into one overall estimate. This can be done by first applying appropriate statistical tests (e.g., *p* values for level of significance of rejection of more than 0.25) to the slopes of the regression lines and zero time intercepts for the individual batches. If it is inappropriate to combine data from several batches, the overall retest period should be based on the minimum time a batch can be expected to remain within acceptance criteria.

The nature of any degradation relationship will determine whether the data should be transformed for linear regression analysis. Usually, the relationship can be represented by a linear, quadratic, or cubic function on an arithmetic or logarithmic scale. Statistical methods should be employed to test the goodness of fit of the data on all batches and combined batches (where appropriate) to the assumed degradation line or curve.

Limited extrapolation of the real-time data from the long-term storage condition beyond the observed range to extend the retest period can be undertaken at approval time if justified. This justification should be based on what is known about the mechanism of degradation, the results of testing under accelerated conditions, the goodness of fit of any mathematical model, batch size, existence of supporting stability data, etc. However, this extrapolation assumes that the same degradation relationship will continue to apply beyond the observed data.

Any evaluation should cover not only the assay but also the levels of degradation products and other appropriate attributes.

10. Statements/Labeling

A storage statement should be established for the labeling in accordance with relevant national/regional requirements.

The statement should be based on the stability evaluation of the drug substance. Where applicable, specific instructions should be provided, particularly for drug substances that cannot tolerate freezing. Terms such as “ambient conditions” or “room temperature” should be avoided.

A retest period should be derived from the stability information, and a retest date should be displayed on the container label if appropriate.

B. DRUG PRODUCT

1. General

The design of the formal stability studies for the drug product should be based on knowledge of the behavior and properties of the drug substance and from stability studies on the drug substance and on experience gained from clinical formulation studies. The likely changes on storage and the rationale for the selection of attributes to be tested in the formal stability studies should be stated.

2. Photostability Testing

Photostability testing should be conducted on at least one primary batch of the drug product if appropriate. The standard conditions for photostability testing are described in ICH Q1B.

3. Selection of Batches

Data from stability studies should be provided on at least three primary batches of the drug product. The primary batches should be of the same formulation and packaged in the same container closure system as proposed for marketing. The manufacturing process used for primary batches should simulate that to be applied to production batches and should provide product of the same quality and meeting the same specification as that intended for marketing. Two of the three batches should be at least pilot-scale batches, and the third one can be smaller if justified. Where possible, batches of the drug product should be manufactured using different batches of the drug substance.

Stability studies should be performed on each individual strength and container size of the drug product, unless bracketing or matrixing is applied.

Other supporting data can be provided.

4. Container Closure System

Stability testing should be conducted on the dosage form packaged in the container closure system proposed for marketing (including, as appropriate, any secondary packaging and container label). Any available studies carried out on the drug product outside its immediate container or in other packaging materials can form a useful part of the stress testing of the dosage form or can be considered as supporting information, respectively.

5. Specification

Specification, which is a list of tests, reference to analytical procedures, and proposed acceptance criteria, including the concept of different acceptance criteria for release and shelf

life specifications, is addressed in ICH Q6A and Q6B. In addition, specification for degradation products in a drug product is addressed in Q3B.

Stability studies should include testing of those attributes of the drug product that are susceptible to change during storage and are likely to influence quality, safety, and/or efficacy. The testing should cover, as appropriate, the physical, chemical, biological, and microbiological attributes, preservative content (e.g., antioxidant or antimicrobial preservative), and functionality tests (e.g., for a dose delivery system). Analytical procedures should be fully validated and stability indicating. Whether and to what extent replication should be performed will depend on the results of validation studies.

Shelf-life acceptance criteria should be derived from consideration of all available stability information. It may be appropriate to have justifiable differences between the shelf-life and release acceptance criteria based on the stability evaluation and the changes observed on storage. Any differences between the release and shelf-life acceptance criteria for antimicrobial preservative content should be supported by a validated correlation of chemical content and preservative effectiveness demonstrated during drug development on the product in its final formulation (except for preservative concentration) intended for marketing. A single primary stability batch of the drug product should be tested for antimicrobial preservative effectiveness (in addition to preservative content) at the proposed shelf life for verification purposes, regardless of whether there is a difference between the release and shelf-life acceptance criteria for preservative content.

6. Testing Frequency

For long-term studies, frequency of testing should be sufficient to establish the stability profile of the drug product. For products with a proposed shelf life of at least 12 months, the frequency of testing at the long-term storage condition should normally be every 3 months over the first year, every 6 months over the second year, and annually thereafter through the proposed shelf life.

At the accelerated storage condition, a minimum of three time points, including the initial and final time points (e.g., 0, 3, and 6 months), from a 6 month study is recommended. Where an expectation (based on development experience) exists that results from accelerated testing are likely to approach significant change criteria, increased testing should be conducted either by adding samples at the final time point or by including a fourth time point in the study design.

When testing at the intermediate storage condition is called for as a result of significant change at the accelerated storage condition, a minimum of four time points, including the initial and final time points (e.g., 0, 6, 9, and 12 months), from a 12 month study is recommended.

Reduced designs, that is, matrixing or bracketing, where the testing frequency is reduced or certain factor combinations are not tested at all, can be applied if justified.

7. Storage Conditions

In general, a drug product should be evaluated under storage conditions (with appropriate tolerances) that test its thermal

stability and if applicable, its sensitivity to moisture or potential for solvent loss. The storage conditions and the lengths of studies chosen should be sufficient to cover storage, shipment, and subsequent use.

Stability testing of the drug product after constitution or dilution, if applicable, should be conducted to provide information for the labeling on the preparation, storage condition, and in-use period of the constituted or diluted product. This testing should be performed on the constituted or diluted product through the proposed in-use period on primary batches as part of the formal stability studies at initial and final time points and, if full-shelf life long-term data will not be available before submission, at 12 months or the last time point for which data will be available. In general, this testing need not be repeated on commitment batches.

The long-term testing should cover a minimum of 12 months' duration on at least three primary batches at the time of submission and should be continued for a period of time sufficient to cover the proposed shelf life. Additional data accumulated during the assessment period of the registration application should be submitted to the authorities if requested. Data from the accelerated storage condition and if appropriate, from the intermediate storage condition can be used to evaluate the effect of short-term excursions outside the label storage conditions (such as might occur during shipping).

Long-term, accelerated, and where appropriate, intermediate storage conditions for drug products are detailed in the following sections. The general case applies if the drug product is not specifically covered by a subsequent section. Alternative storage conditions can be used if justified.

a. General Case

Study	Storage condition	Minimum time period covered by data at submission
Long term ^a	25°C ± 2°C/60% RH ± 5% RH or 30°C ± 2°C/65% RH ± 5% RH	12 months
Intermediate ^b	30°C ± 2°C/65% RH ± 5% RH	6 months
Accelerated	40°C ± 2°C/75% RH ± 5% RH	6 months

^a It is up to the applicant to decide whether long-term stability studies are performed at 25°C ± 2°C/60% RH ± 5% RH or 30°C ± 2°C/65% RH ± 5% RH.

^b If 30°C ± 2°C/65% RH ± 5% RH is the long-term condition, there is no intermediate condition.

If long-term studies are conducted at 25°C ± 2°C/60% RH ± 5% RH, and “significant change” occurs at any time during 6 months' testing at the accelerated storage condition, additional testing at the intermediate storage condition should be conducted and evaluated against significant change criteria. The initial application should include a minimum of 6 months' data from a 12 month study at the intermediate storage condition.

In general, “significant change” for a drug product is defined as

1. A 5% change in assay from its initial value or failure to meet the acceptance criteria for potency when using biological or immunological procedures
2. Any degradation product's exceeding its acceptance criterion
3. Failure to meet the acceptance criteria for appearance, physical attributes, and functionality test (e.g., color, phase separation, resuspendibility, caking, hardness, or dose delivery per actuation); however, some changes in physical attributes (e.g., softening of suppositories or melting of creams) may be expected under accelerated conditions; and, as appropriate for the dosage form,
4. Failure to meet the acceptance criterion for pH or
5. Failure to meet the acceptance criteria for dissolution for 12 dosage units.

b. Drug Products Packaged in Impermeable Containers

Sensitivity to moisture or potential for solvent loss is not a concern for drug products packaged in impermeable containers that provide a permanent barrier to the passage of moisture or solvent. Thus, stability studies for products stored in impermeable containers can be conducted under any controlled or ambient humidity condition.

c. Drug Products Packaged in Semipermeable Containers

Aqueous-based products packaged in semipermeable containers should be evaluated for potential water loss in addition to physical, chemical, biological, and microbiological stability. This evaluation can be carried out under conditions of low relative humidity, as discussed in the following. Ultimately, it should be demonstrated that aqueous-based drug products stored in semipermeable containers can withstand low-relative humidity environments.

Other comparable approaches can be developed and reported for nonaqueous, solvent-based products.

Study	Storage condition	Minimum time period covered by data at submission
Long term ^a	25°C ± 2°C/40% RH ± 5% RH or 30°C ± 2°C/35% RH ± 5% RH	12 months
Intermediate ^b	30°C ± 2°C/65% RH ± 5% RH	6 months
Accelerated	40°C ± 2°C/not more than (NMT) 25% RH	6 months

^a It is up to the applicant to decide whether long-term stability studies are performed at 25°C ± 2°C/40% RH ± 5% RH or 30°C ± 2°C/35% RH ± 5% RH.

^b If 30°C ± 2°C/35% RH ± 5% RH is the long-term condition, there is no intermediate condition.

For long-term studies conducted at $25^{\circ}\text{C} \pm 2^{\circ}\text{C}/40\% \text{ RH} \pm 5\% \text{ RH}$, additional testing at the intermediate storage condition should be performed as described under the general case to evaluate the temperature effect at 30°C if significant change other than water loss occurs during the 6 months' testing at the accelerated storage condition. A significant change in water loss alone at the accelerated storage condition does not necessitate testing at the intermediate storage condition. However, data should be provided to demonstrate that the drug product will not have significant water loss throughout the proposed shelf life if stored at 25°C and the reference relative humidity of 40% RH.

A 5% loss in water from its initial value is considered a significant change for a product packaged in a semipermeable container after an equivalent of 3 months' storage at $40^{\circ}\text{C}/\text{NMT } 25\% \text{ RH}$. However, for small containers (1 mL or less) or unit-dose products, a water loss of 5% or more after an equivalent of 3 months' storage at $40^{\circ}\text{C}/\text{NMT } 25\% \text{ RH}$ may be appropriate if justified.

An alternative approach to studying at the reference relative humidity as recommended in the preceding table (for either long-term or accelerated testing) is performing the stability studies under higher relative humidity and deriving the water loss at the reference relative humidity through calculation. This can be achieved by experimentally determining the permeation coefficient for the container closure system or, as shown in the following example, using the calculated ratio of water loss rates between the two humidity conditions at the same temperature. The permeation coefficient for a container closure system can be experimentally determined by using the worst-case scenario (e.g., the most diluted of a series of concentrations) for the proposed drug product.

Example of an approach for determining water loss: For a product in a given container closure system, container size, and fill, an appropriate approach for deriving the water loss rate at the reference relative humidity is to multiply the water loss rate measured at an alternative relative humidity at the same temperature by a water loss rate ratio shown in the following table. A linear water loss rate at the alternative relative humidity over the storage period should be demonstrated.

For example, at a given temperature, for example, 40°C , the calculated water loss rate during storage at NMT 25% RH is the water loss rate measured at 75% RH multiplied by 3, the corresponding water loss rate ratio.

Alternative relative humidity	Reference relative humidity	Ratio of water loss rates at a given temperature
60% RH	25% RH	1.9
60% RH	40% RH	1.5
65% RH	35% RH	1.9
75% RH	25% RH	3.0

Valid water loss rate ratios at relative humidity conditions other than those shown in the table can also be used.

d. Drug Products Intended for Storage in a Refrigerator

Study	Storage condition	Minimum time period covered by data at submission
Long term	$5^{\circ}\text{C} \pm 3^{\circ}\text{C}$	12 months
Accelerated	$25^{\circ}\text{C} \pm 2^{\circ}\text{C}/60\% \text{ RH} \pm 5\% \text{ RH}$	6 months

If the drug product is packaged in a semipermeable container, appropriate information should be provided to assess the extent of water loss.

Data from refrigerated storage should be assessed according to the evaluation section of this guideline except where explicitly noted in the following.

If significant change occurs between 3 and 6 months' testing at the accelerated storage condition, the proposed shelf life should be based on the real-time data available from the long-term storage condition.

If significant change occurs within the first 3 months' testing at the accelerated storage condition, a discussion should be provided to address the effect of short-term excursions outside the label storage condition; for example, during shipment and handling. This discussion can be supported, if appropriate, by further testing on a single batch of the drug product for a period less than 3 months but with more frequent testing than usual. It is considered unnecessary to continue to test a product through 6 months when a significant change has occurred within the first 3 months.

e. Drug Products Intended for Storage in a Freezer

Study	Storage condition	Minimum time period covered by data at submission
Long term	$-20^{\circ}\text{C} \pm 5^{\circ}\text{C}$	12 months

For drug products intended for storage in a freezer, the shelf life should be based on the real-time data obtained at the long-term storage condition. In the absence of an accelerated storage condition for drug products intended to be stored in a freezer, testing on a single batch at an elevated temperature (e.g., $5^{\circ}\text{C} \pm 3^{\circ}\text{C}$ or $25^{\circ}\text{C} \pm 2^{\circ}\text{C}$) for an appropriate time period should be conducted to address the effect of short-term excursions outside the proposed label storage condition.

f. Drug Products Intended for Storage below -20°C

Drug products intended for storage below -20°C should be treated on a case-by-case basis.

8. Stability Commitment

When available long-term stability data on primary batches do not cover the proposed shelf life granted at the time of

approval, a commitment should be made to continue the stability studies post approval to firmly establish the shelf life.

Where the submission includes long-term stability data from three production batches covering the proposed shelf life, a postapproval commitment is considered unnecessary. Otherwise, one of the following commitments should be made.

1. If the submission includes data from stability studies on at least three production batches, a commitment should be made to continue the long-term studies through the proposed shelf life and the accelerated studies for 6 months.
2. If the submission includes data from stability studies on fewer than three production batches, a commitment should be made to continue the long-term studies through the proposed shelf life and the accelerated studies for 6 months, and to place additional production batches, to a total of at least three, on long-term stability studies through the proposed shelf life and on accelerated studies for 6 months.
3. If the submission does not include stability data on production batches, a commitment should be made to place the first three production batches on long-term stability studies through the proposed shelf life and on accelerated studies for 6 months.

The stability protocol used for studies on commitment batches should be the same as that for the primary batches unless otherwise scientifically justified.

Where intermediate testing is called for by a significant change at the accelerated storage condition for the primary batches, testing on the commitment batches can be conducted at either the intermediate or the accelerated storage condition. However, if significant change occurs at the accelerated storage condition on the commitment batches, testing at the intermediate storage condition should also be conducted.

9. Evaluation

A systematic approach should be adopted in the presentation and evaluation of the stability information, which should include, as appropriate, results from the physical, chemical, biological, and microbiological tests, including particular attributes of the dosage form (e.g., dissolution rate for solid oral dosage forms).

The purpose of the stability study is to establish, based on testing a minimum of three batches of the drug product, shelf life and label storage instructions applicable to all future batches of the drug product manufactured and packaged under similar circumstances. The degree of variability of individual batches affects the confidence that a future production batch will remain within specification throughout its shelf life.

Where the data show so little degradation and so little variability that it is apparent from looking at the data that the requested shelf life will be granted, it is normally unnecessary to go through the formal statistical analysis; providing a justification for the omission should be sufficient.

An approach for analyzing data of a quantitative attribute that is expected to change with time is to determine the time

at which the 95% one-sided confidence limit for the mean curve intersects the acceptance criterion. If analysis shows that the batch-to-batch variability is small, it is advantageous to combine the data into one overall estimate. This can be done by first applying appropriate statistical tests (e.g., p values for level of significance of rejection of more than 0.25) to the slopes of the regression lines and zero time intercepts for the individual batches. If it is inappropriate to combine data from several batches, the overall shelf life should be based on the minimum time a batch can be expected to remain within acceptance criteria.

The nature of the degradation relationship will determine whether the data should be transformed for linear regression analysis. Usually, the relationship can be represented by a linear, quadratic, or cubic function on an arithmetic or logarithmic scale. Statistical methods should be employed to test the goodness of fit on all batches and combined batches (where appropriate) to the assumed degradation line or curve.

Limited extrapolation of the real-time data from the long-term storage condition beyond the observed range to extend the shelf life can be undertaken at approval time if justified. This justification should be based on what is known about the mechanisms of degradation, the results of testing under accelerated conditions, the goodness of fit of any mathematical model, batch size, existence of supporting stability data, etc. However, this extrapolation assumes that the same degradation relationship will continue to apply beyond the observed data.

Any evaluation should consider not only the assay but also the degradation products and other appropriate attributes. Where appropriate, attention should be paid to reviewing the adequacy of the mass balance and different stability and degradation performance.

10. Statements/Labeling

A storage statement should be established for the labeling in accordance with relevant national/regional requirements. The statement should be based on the stability evaluation of the drug product. Where applicable, specific instruction should be provided, particularly for drug products that cannot tolerate freezing. Terms such as “ambient conditions” or “room temperature” should be avoided.

There should be a direct link between the label storage statement and the demonstrated stability of the drug product. An expiration date should be displayed on the container label.

GLOSSARY

The following definitions are provided to facilitate interpretation of the guideline.

Accelerated testing: Studies designed to increase the rate of chemical degradation or physical change of a drug substance or drug product by using exaggerated storage conditions as part of the formal stability studies. Data from these studies, in addition to long-term stability studies, can be used to assess longer-term chemical effects at nonaccelerated conditions and to

evaluate the effect of short-term excursions outside the label storage conditions, such as might occur during shipping. Results from accelerated testing studies are not always predictive of physical changes.

Bracketing: The design of a stability schedule such that only samples on the extremes of certain design factors, for example, strength or package size, are tested at all time points as in a full design. The design assumes that the stability of any intermediate level is represented by the stability of the extremes tested. Where a range of strengths is to be tested, bracketing is applicable if the strengths are identical or closely related in composition (e.g., for a tablet range made with different compression weights of a similar basic granulation, or a capsule range made by filling different plug fill weights of the same basic composition into different-size capsule shells). Bracketing can be applied to different container sizes or different fills in the same container closure system.

Climatic zones: The four zones in the world that are distinguished by their characteristic prevalent annual climatic conditions. This is based on the concept described by W. Grimm (*Drugs Made in Germany*, 28:196–202, 1985 and 29:39–47, 1986).

Commitment batches: Production batches of a drug substance or drug product for which the stability studies are initiated or completed post approval through a commitment made in the registration application.

Container closure system: The sum of packaging components that together contain and protect the dosage form. This includes primary packaging components and secondary packaging components, if the latter are intended to provide additional protection to the drug product. A packaging system is equivalent to a container closure system.

Dosage form: A pharmaceutical product type (e.g., tablet, capsule, solution, or cream) that contains a drug substance generally, but not necessarily, in association with excipients.

Drug product: The dosage form in the final immediate packaging intended for marketing.

Drug substance: The unformulated drug substance that may subsequently be formulated with excipients to produce the dosage form.

Excipient: Anything other than the drug substance in the dosage form.

Expiration date: The date placed on the container label of a drug product designating the time prior to which a batch of the product is expected to remain within the approved shelf life specification if stored under defined conditions, and after which it must not be used.

Formal stability studies: Long-term and accelerated (and intermediate) studies undertaken on primary and/or commitment batches according to a prescribed stability protocol to establish or confirm the retest

period of a drug substance or the shelf life of a drug product.

Impermeable containers: Containers that provide a permanent barrier to the passage of gases or solvents; for example, sealed aluminum tubes for semisolids or sealed glass ampoules for solutions.

Intermediate testing: Studies conducted at 30°C/65% RH and designed to moderately increase the rate of chemical degradation or physical changes for a drug substance or drug product intended to be stored long term at 25°C.

Long-term testing: Stability studies under the recommended storage condition for the retest period or shelf life proposed (or approved) for labeling.

Mass balance: The process of adding together the assay value and levels of degradation products to see how closely these add up to 100% of the initial value, with due consideration of the margin of analytical error.

Matrixing: The design of a stability schedule such that a selected subset of the total number of possible samples for all factor combinations is tested at a specified time point. At a subsequent time point, another subset of samples for all factor combinations is tested. The design assumes that the stability of each subset of samples tested represents the stability of all samples at a given time point. The differences in the samples for the same drug product should be identified as, for example, covering different batches, different strengths, different sizes of the same container closure system, and possibly, in some cases, different container closure systems.

Mean kinetic temperature: A single derived temperature that, if maintained over a defined period of time, affords the same thermal challenge to a drug substance or drug product as would be experienced over a range of both higher and lower temperatures for an equivalent defined period. The mean kinetic temperature is higher than the arithmetic mean temperature and takes into account the Arrhenius equation.

When establishing the mean kinetic temperature for a defined period, the formula of J. D. Haynes (*Journal of Pharmaceutical Sciences*, 60:927–929, 1971) can be used.

New molecular entity: An active pharmaceutical substance not previously contained in any drug product registered with the national or regional authority concerned. A new salt, ester, or non-covalent bond derivative of an approved drug substance is considered a new molecular entity for the purpose of stability testing under this guidance.

Pilot-scale batch: A batch of a drug substance or drug product manufactured by a procedure fully representative of and simulating that to be applied to a full production-scale batch. For solid oral dosage forms, a pilot scale is generally, at a minimum, one-tenth of a full production scale or 100,000 tablets or capsules, whichever is the larger.

Primary batch: A batch of a drug substance or drug product used in a formal stability study, from which stability data are submitted in a registration application for the purpose of establishing a retest period or shelf life, respectively. A primary batch of a drug substance should be at least a pilot-scale batch. For a drug product, two of the three batches should be at least pilot-scale batches, and the third batch can be smaller if it is representative with regard to the critical manufacturing steps. However, a primary batch may be a production batch.

Production batch: A batch of a drug substance or drug product manufactured at production scale by using production equipment in a production facility as specified in the application.

Retest date: The date after which samples of the drug substance should be examined to ensure that the material is still in compliance with the specification and thus, suitable for use in the manufacture of a given drug product.

Retest period: The period of time during which the drug substance is expected to remain within its specification and therefore, can be used in the manufacture of a given drug product, provided that the drug substance has been stored under the defined conditions. After this period, a batch of drug substance destined for use in the manufacture of a drug product should be retested for compliance with the specification and then used immediately. A batch of drug substance can be retested multiple times and a different portion of the batch used after each retest, as long as it continues to comply with the specification. For most biotechnological/biological substances known to be labile, it is more appropriate to establish a shelf life than a retest period. The same may be true for certain antibiotics.

Semipermeable containers: Containers that allow the passage of solvent, usually water, while preventing solute loss. The mechanism for solvent transport occurs by absorption into one container surface, diffusion through the bulk of the container material, and desorption from the other surface. Transport is driven by a partial-pressure gradient. Examples of semipermeable containers include plastic bags and semirigid, low-density polyethylene (LDPE) pouches for large-volume parenterals (LVPs), and LDPE ampoules, bottles, and vials.

Shelf life (also referred to as expiration dating period): The time period during which a drug product is expected to remain within the approved shelf-life specification, provided that it is stored under the conditions defined on the container label.

Specification: See Q6A and Q6B.

Specification–Release: The combination of physical, chemical, biological, and microbiological tests and acceptance criteria that determine the suitability of a drug product at the time of its release.

Specification—Shelf life: The combination of physical, chemical, biological, and microbiological tests and acceptance criteria that determine the suitability of

a drug substance throughout its retest period, or that a drug product should meet throughout its shelf life.

Storage condition tolerances: The acceptable variations in temperature and relative humidity of storage facilities for formal stability studies. The equipment should be capable of controlling the storage condition within the ranges defined in this guideline. The actual temperature and humidity (when controlled) should be monitored during stability storage. Short-term spikes caused by opening of doors of the storage facility are accepted as unavoidable. The effect of excursions due to equipment failure should be addressed and reported if judged to affect stability results. Excursions that exceed the defined tolerances for more than 24 hours should be described in the study report and their effect assessed.

Stress testing (drug substance): Studies undertaken to elucidate the intrinsic stability of the drug substance. Such testing is part of the development strategy and is normally carried out under more severe conditions than those used for accelerated testing.

Stress testing (drug product): Studies undertaken to assess the effect of severe conditions on the drug product. Such studies include photostability testing (see ICH Q1B) and specific testing on certain products (e.g., metered dose inhalers, creams, emulsions, refrigerated aqueous liquid products).

Supporting data: Data, other than those from formal stability studies, that support the analytical procedures, the proposed retest period or shelf life, and the label storage statements. Such data include (1) stability data on early synthetic route batches of drug substance, small-scale batches of materials, investigational formulations not proposed for marketing, related formulations, and product presented in containers and closures other than those proposed for marketing, (2) information regarding test results on containers, and (3) other scientific rationales.

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- ICH Q1B: *Stability Testing: Photostability Testing of New Drug Substances and Products*
- ICH Q1C: *Stability Testing of New Dosage Forms*
- ICH Q3A: *Impurities in New Drug Substances*
- ICH Q3B: *Impurities in New Drug Products*
- ICH Q5C: *Quality of Biotechnological Products: Stability Testing of Biotechnological/Biological Products*
- ICH Q6A: *Specifications: Test Procedures and Acceptance Criteria for New Drug Substances and New Drug Products: Chemical Substances (Including Decision Trees)*
- ICH Q6B: *Specifications: Test Procedures and Acceptance Criteria for Biotechnological/Biological Products*



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9 Stability Testing: Photostability Testing of New Drug Substances and Products

I. GENERAL

The ICH Harmonised Tripartite Guideline covering the Stability Testing of New Drug Substances and Products notes that light testing should be an integral part of stress testing.

A. PREAMBLE

The intrinsic photostability characteristics of new drug substances and products should be evaluated to demonstrate that, as appropriate, light exposure does not result in unacceptable change. Normally, photostability testing is carried out on a single batch of material selected as described under Selection of Batches in the Parent Guideline. Under some circumstances, these studies should be repeated if certain variations and changes are made to the product (e.g., formulation or packaging). Whether these studies should be repeated depends on the photostability characteristics determined at the time of initial filing and the type of variation and/or change made.

The guideline primarily addresses the generation of photostability information for submission in Registration Applications for new molecular entities and associated drug products. The guideline does not cover the photostability of drugs after administration (i.e., under conditions of use) and those applications not covered by the Parent Guideline. Alternative approaches may be used if they are scientifically sound and justification is provided.

A systematic approach to photostability testing is recommended, covering, as appropriate, studies such as

- i. Tests on the drug substance
- ii. Tests on the exposed drug product outside the immediate pack, and if necessary,
- iii. Tests on the drug product in the immediate pack, and if necessary,
- iv. Tests on the drug product in the marketing pack

The extent of drug product testing should be established by assessing whether or not acceptable change has occurred at the end of the light exposure testing as described in the decision flow chart for photostability testing of drug products (Figure 9.1). Acceptable change is change within limits justified by the applicant. The formal labeling requirements for photolabile drug substances and drug products are established by national/regional requirements.

B. LIGHT SOURCES

The light sources described in this section may be used for photostability testing. The applicant should either maintain an

appropriate control of temperature to minimize the effect of localized temperature changes or include a dark control in the same environment unless otherwise justified. For both options 1 and 2, a pharmaceutical manufacturer/applicant may rely on the spectral distribution specification of the light source manufacturer.

Option 1

Any light source that is designed to produce an output similar to the D65/ID65 emission standard, such as an artificial daylight fluorescent lamp combining visible and ultraviolet (UV) outputs, xenon, or metal halide lamp. D65 is the internationally recognized standard for outdoor daylight as defined in ISO 10977 (1993). ID65 is the equivalent indoor indirect daylight standard. For a light source emitting significant radiation below 320 nm, an appropriate filter(s) may be fitted to eliminate such radiation.

Option 2

For option 2, the same sample should be exposed to both the cool white fluorescent and the near UV lamp.

1. A cool white fluorescent lamp designed to produce an output similar to that specified in ISO 10977(1993), and
2. A near UV fluorescent lamp having a spectral distribution from 320 nm to 400 nm with a maximum energy emission between 350 nm and 370 nm; a significant proportion of UV should be in both bands of 320 to 360 nm and 360 to 400 nm.

C. PROCEDURE

For confirmatory studies, samples should be exposed to light providing an overall illumination of not less than 1.2 million lux hours and an integrated near UV energy of not less than 200 Wh/m² to allow direct comparisons to be made between the drug substance and the drug product.

Samples may be exposed side-by-side with a validated chemical actinometric system to ensure the specified light exposure is obtained, or for the appropriate duration of time when conditions have been monitored using calibrated radiometers/lux meters. An example of an actinometric procedure is provided in the Annex.

If protected samples (e.g., wrapped in aluminum foil) are used as dark controls to evaluate the contribution of thermally induced change to the total observed change, these should be placed alongside the authentic sample.

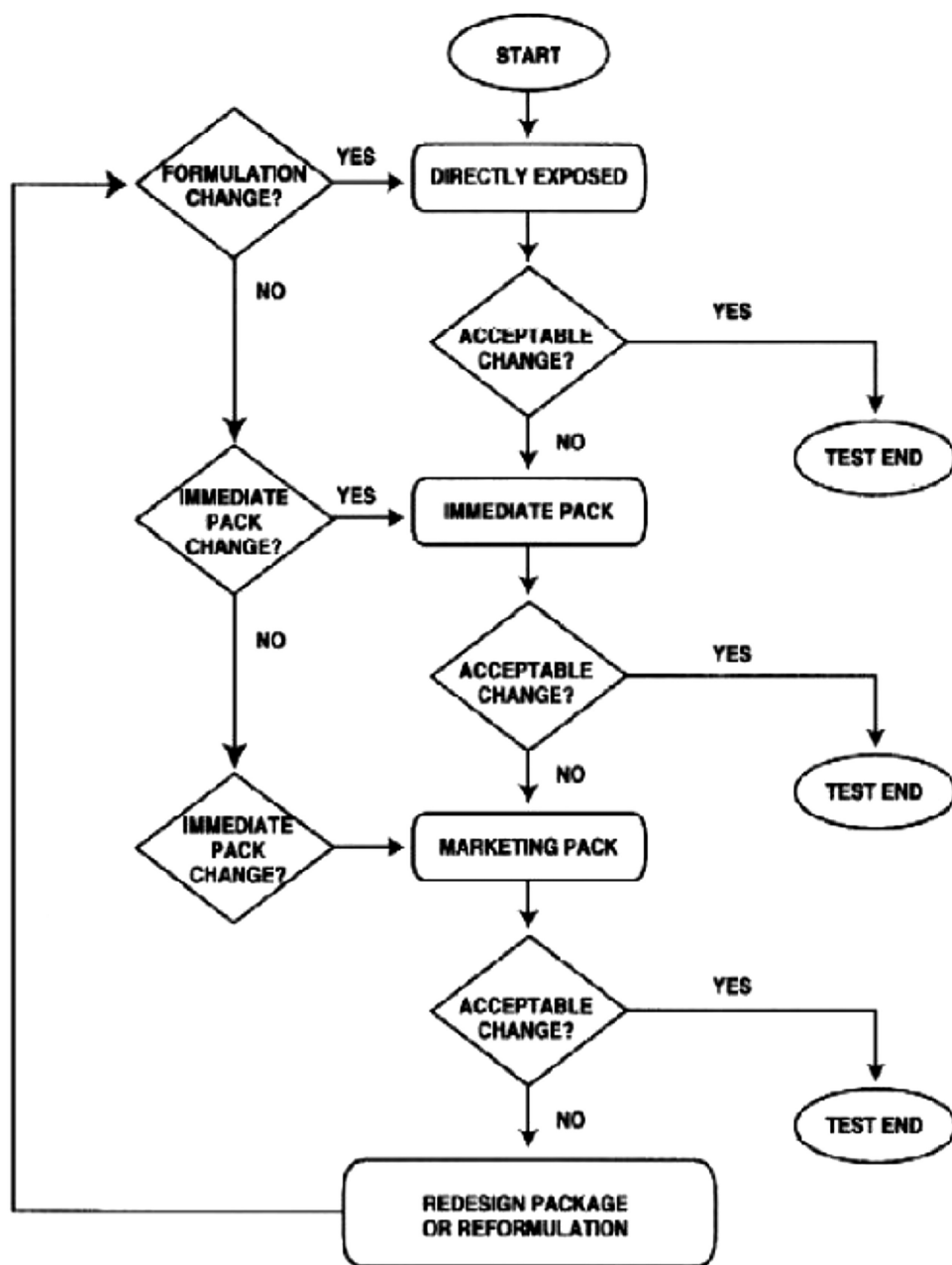


FIGURE 9.1 Decision flow chart for photostability testing of drug products.

II. DRUG SUBSTANCE

For drug substances, photostability testing should consist of two parts: forced degradation testing and confirmatory testing.

The purpose of forced degradation testing studies is to evaluate the overall photosensitivity of the material for method development purposes and/or degradation pathway elucidation. This testing may involve the drug substance alone and/or in simple solutions/suspensions to validate the analytical procedures. In these studies, the samples should be in chemically inert and transparent containers. In these forced degradation studies, a variety of exposure conditions may be used, depending on the photosensitivity of

the drug substance involved and the intensity of the light sources used. For development and validation purposes, it is appropriate to limit exposure and end the studies if extensive decomposition occurs. For photostable materials, studies may be terminated after an appropriate exposure level has been used. The design of these experiments is left to the applicant's discretion, although the exposure levels used should be justified.

Under forcing conditions, decomposition products may be observed that are unlikely to be formed under the conditions used for confirmatory studies. This information may be useful in developing and validating suitable analytical methods. In practice it has been demonstrated that they are not formed in

the confirmatory studies, these degradation products need not be further examined.

Confirmatory studies should then be undertaken to provide the information necessary for handling, packaging, and labeling (see Sections I.C., Procedure, and II.A., Presentation, for information on the design of these studies).

Normally, only one batch of drug substance is tested during the development phase, and then, the photostability characteristics should be confirmed on a single batch selected as described in the Parent Guideline if the drug is clearly photostable or photolabile. If the results of the confirmatory study are equivocal, testing of up to two additional batches should be conducted. Samples should be selected as described in the Parent Guideline.

A. PRESENTATION OF SAMPLES

Care should be taken to ensure that the physical characteristics of the samples under test are taken into account, and efforts should be made, such as cooling and/or placing the samples in sealed containers, to ensure that the effects of changes in physical state, such as sublimation, evaporation, or melting, are minimized. All such precautions should be chosen to provide minimal interference with the exposure of samples under test. Possible interactions between the samples and any material used for containers or for general protection of the sample should also be considered and eliminated wherever not relevant to the test being carried out.

As a direct challenge for samples of solid drug substances, an appropriate amount of sample should be taken and placed in a suitable glass or plastic dish and protected with a suitable transparent cover if considered necessary. Solid drug substances should be spread across the container to give a thickness of typically not more than 3 mL. Drug substances that are liquids should be exposed in chemically inert and transparent containers.

B. ANALYSIS OF SAMPLES

At the end of the exposure period, the samples should be examined for any changes in physical properties (e.g., appearance, clarity, or color of solution) and for assay and degradants by a method suitably validated for products likely to arise from photochemical degradation processes.

Where solid drug substance samples are involved, sampling should ensure that a representative portion is used in individual tests. Similar sampling considerations, such as homogenization of the entire sample, apply to other materials that may not be homogeneous after exposure. The analysis of the exposed sample should be performed concomitantly with that of any protected samples used as dark controls, if these are used in the test.

C. JUDGEMENT OF RESULTS

The forced degradation studies should be designed to provide suitable information to develop and validate test methods

for the confirmatory studies. These test methods should be capable of resolving and detecting photolytic degradants that appear during the confirmatory studies. When evaluating the results of these studies, it is important to recognize that they form part of the stress testing and are not therefore designed to establish qualitative or quantitative limits for change.

The confirmatory studies should identify precautionary measures needed in manufacturing or in formulation of the drug product, and whether light-resistant packaging is needed. When evaluating the results of confirmatory studies to determine whether change due to exposure to light is acceptable, it is important to consider the results from other formal stability studies in order to ensure that the drug will be within justified limits at the time of use (see the relevant ICH Stability and Impurity Guidelines).

III. DRUG PRODUCT

Normally, the studies on drug products should be carried out in a sequential manner, starting with testing the fully exposed product, then progressing as necessary to the product in the immediate pack, and then in the marketing pack. Testing should progress until the results demonstrate that the drug product is adequately protected from exposure to light. The drug product should be exposed to the light conditions described under the procedure in Section I.C.

Normally, only one batch of drug product is tested during the development phase, and then, the photostability characteristics should be confirmed on a single batch selected as described in the Parent Guideline if the product is clearly photostable or photolabile. If the results of the confirmatory study are equivocal, testing of up to two additional batches should be conducted.

For some products, where it has been demonstrated that the immediate pack is completely impenetrable to light, such as aluminum tubes or cans, testing should normally only be conducted on directly exposed drug product.

It may be appropriate to test certain products such as infusion liquids, dermal creams, etc., to support their photostability in use. The extent of this testing should depend on and relate to the directions for use and is left to the applicant's discretion.

The analytical procedures used should be suitably validated.

A. PRESENTATION OF SAMPLES

Care should be taken to ensure that the physical characteristics of the samples under test are taken into account, and efforts, such as cooling and/or placing the samples in sealed containers, should be made to ensure that the effects of changes in physical states, such as sublimation, evaporation, or melting, are minimized. All such precautions should be chosen to provide minimal interference with the irradiation of samples under test. Possible interactions between the samples and any material used for containers or for general protection of the sample should also be considered and eliminated wherever not relevant to the test being carried out.

Where practicable, when testing samples of the drug product outside the primary pack, these should be presented in

a way similar to the conditions mentioned for the drug substance. The samples should be positioned to provide the maximum area of exposure to the light source. For example, tablets, capsules, etc., should be spread in a single layer.

If direct exposure is not practical (e.g., due to oxidation of a product), the sample should be placed in a suitable protective inert transparent container (e.g., quartz).

If testing of the drug product in the immediate container or as marketed is needed, the samples should be placed horizontally or transversely with respect to the light source, which ever provides for the most uniform exposure of the samples. Some adjustment of testing conditions may have to be made when testing large-volume containers (e.g., dispensing packs).

B. ANALYSIS OF SAMPLES

At the end of the exposure period, the samples should be examined for any changes in physical properties (e.g., appearance, clarity or color of solution, dissolution/disintegration for dosage forms such as capsules, etc.) and for assay and degradants by a method suitably validated for products likely to arise from photochemical degradation processes.

When powder samples are involved, sampling should ensure that a representative portion is used in individual tests. For solid oral-dosage form products, testing should be conducted on an appropriately sized composite of, for example, 20 tablets or capsules. Similar sampling considerations, such as homogenization or solubilization of the entire sample, apply to other materials that may not be homogeneous after exposure (e.g., creams, ointments, suspensions, etc.). The analysis of the exposed sample should be performed concomitantly with that of any protected samples used as dark controls if these are used in the test.

C. JUDGEMENT OF RESULTS

Depending on the extent of change, special labeling or packaging may be needed to mitigate exposure to light. When evaluating the results of photostability studies to determine whether change due to exposure to light is acceptable, it is important to consider the results obtained from other formal stability studies in order to ensure that the product will be within proposed specifications during the shelf life (see the relevant ICH Stability and Impurity Guidelines).

IV. ANNEX

A. QUININE CHEMICAL ACTINOMETRY

The following provides details of an actinometric procedure for monitoring exposure to a near UV fluorescent lamp (based on a Food and Drug Administration [FDA]/National Institute of Standards and Technology study). For other light sources/actinometric systems, the same approach may be used, but each actinometric system should be calibrated for the light source used.

Prepare a sufficient quantity of a 2% weight/volume aqueous solution of quinine monohydrochloride dihydrate (if necessary, dissolve by heating).

Option 1

Put 10 mL of the solution into a 20 mL colorless ampoule, seal it hermetically, and use this as the sample. Separately, put 10 mL of the solution into a 20 mL colorless ampoule (see note 1), seal it hermetically, wrap in aluminum foil to protect completely from light, and use this as the control. Expose the sample and control to the light source for an appropriate number of hours. After exposure, determine the absorbances of the sample (AT) and the control (Ao) at 400 nm using a 1 cm path length. Calculate the change in absorbance, $\Delta A = AT - A_o$. The length of exposure should be sufficient to ensure a change in absorbance of at least 0.9.

Option 2

Fill a 1 cm quartz cell and use this as the sample. Separately fill a 1 cm quartz cell, wrap in aluminum foil to protect completely from light, and use this as the control. Expose the sample and control to the light source for an appropriate number of hours. After exposure, determine the absorbances of the sample (AT) and the control (Ao) at 400 nm. Calculate the change in absorbance, $\Delta A = AT - A_o$. The length of exposure should be sufficient to ensure a change in absorbance of at least 0.5.

Alternative packaging configurations may be used if appropriately validated. Alternative validated chemical actinometers may be used.

Note 1: Shape and dimensions (see Japanese Industry Standard [JIS] R3512 [1974] for ampoule specifications [Figure 9.2]).

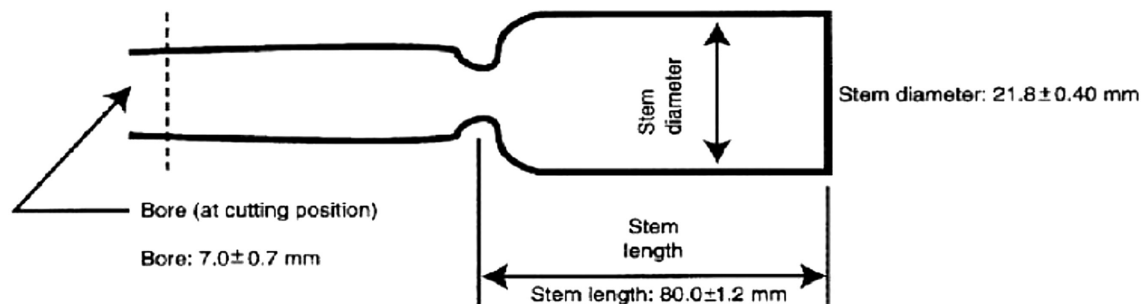


FIGURE 9.2 Shape and dimension specifications for ampoules.

10 Bracketing and Matrixing Designs for Stability Testing of New Drug Substances and Products

I. INTRODUCTION

A. OBJECTIVES OF THE GUIDELINE

This guideline is intended to address recommendations on the application of bracketing and matrixing to stability studies conducted in accordance with principles outlined in the International Conference on Harmonisation (ICH) Q1A(R) Harmonised Tripartite guideline on Stability Testing of New Drug Substances and Products (hereafter referred to as the parent guideline).

B. BACKGROUND

The parent guideline notes that the use of matrixing and bracketing can be applied, if justified, to the testing of new drug substances and products, but provides no further guidance on the subject.

C. SCOPE OF THE GUIDELINE

This document provides guidance on bracketing and matrixing study designs. Specific principles are defined in this guideline for situations in which bracketing or matrixing can be applied. Sample designs are provided for illustrative purposes and should not be considered the only, or the most appropriate, designs in all cases.

II. GUIDELINES

A. GENERAL

A full study design is one in which samples for every combination of all design factors are tested at all time points. A reduced design is one in which samples for every factor combination are not all tested at all time points. A reduced design can be a suitable alternative to a full design when multiple design factors are involved. Any reduced design should have the ability to adequately predict the retest period or shelf life. Before a reduced design is considered, certain assumptions should be assessed and justified. The potential risk should be considered of establishing a shorter retest period or shelf life than could be derived from a full design due to the reduced amount of data collected.

During the course of a reduced design study, a change to full testing or to a less reduced design can be considered if a justification is provided and the principles of full designs

and reduced designs are followed. However, proper adjustments should be made to the statistical analysis, where applicable, to account for the increase in sample size as a result of the change. Once the design is changed, full testing or less reduced testing should be carried out through the remaining time points of the stability study.

B. APPLICABILITY OF REDUCED DESIGNS

Reduced designs can be applied to the formal stability study of most types of drug products, although additional justification should be provided for certain complex drug delivery systems where there are a large number of potential drug–device interactions. For the study of drug substances, matrixing is of limited utility, and bracketing is generally not applicable.

Whether bracketing or matrixing can be applied depends on the circumstances, as discussed in detail in the following. The use of any reduced design should be justified. In certain cases, the condition described in this guideline is sufficient justification for use, while in other cases, additional justification should be provided. The type and level of justification in each of these cases will depend on the available supporting data. Data variability and product stability, as shown by supporting data, should be considered when a matrixing design is applied.

Bracketing and matrixing are reduced designs based on different principles. Therefore, careful consideration and scientific justification should precede the use of bracketing and matrixing together in one design.

C. BRACKETING

As defined in the glossary to the parent guideline, bracketing is the design of a stability schedule such that only samples on the extremes of certain design factors (e.g., strength, container size, and/or fill) are tested at all time points as in a full design. The design assumes that the stability of any intermediate levels is represented by the stability of the extremes tested.

The use of a bracketing design would not be considered appropriate if it cannot be demonstrated that the strengths or container sizes and/or fills selected for testing are indeed the extremes.

1. Design Factors

Design factors are variables (e.g., strength, container size, and/or fill) to be evaluated in a study design for their effect on product stability.

a. Strength

Bracketing can be applied to studies with multiple strengths of identical or closely related formulations. Examples include but are not limited to (1) capsules of different strengths made with different fill plug sizes from the same powder blend, (2) tablets of different strengths manufactured by compressing varying amounts of the same granulation, and (3) oral solutions of different strengths with formulations that differ only in minor excipients (e.g., colorants or flavorings).

With justification, bracketing can be applied to studies with multiple strengths where the relative amounts of drug substance and excipients change in a formulation. Such justification can include a demonstration of comparable stability profiles among the different strengths of clinical or development batches.

In cases where different excipients are used among strengths, bracketing generally should not be applied.

b. Container Closure Sizes and/or Fills

Bracketing can be applied to studies of the same container closure system where either container size or fill varies while the other remains constant. However, if a bracketing design is considered where both container size and fill vary, it should not be assumed that the largest and smallest containers represent the extremes of all packaging configurations. Care should be taken to select the extremes by comparing the various characteristics of the container closure system that may affect product stability. These characteristics include container wall thickness, closure geometry, surface area to volume ratio, headspace to volume ratio, water vapor permeation rate or oxygen permeation rate per dosage unit, or unit fill volume, as appropriate.

With justification, bracketing can be applied to studies for the same container when the closure varies. Justification could include a discussion of the relative permeation rates of the bracketed container closure systems.

2. Design Considerations and Potential Risks

If, after starting the studies, one of the extremes is no longer expected to be marketed, the study design can be maintained to support the bracketed intermediates. A commitment should be provided to carry out stability studies on the marketed extremes post approval.

Before a bracketing design is applied, its effect on the retest period or shelf life estimation should be assessed. If the stability of the extremes is shown to be different, the intermediates should be considered no more stable than the least stable extreme (i.e., the shelf life for the intermediates should not exceed that for the least stable extreme).

3. Design Example

An example of a bracketing design is given in Table 10.1. This example is based on a product available in three strengths and three container sizes. In this example, it should be demonstrated that the 15 and 500 mL high-density polyethylene container sizes truly represent the extremes. The batches for each selected combination should be tested at each time point as in a full design.

TABLE 10.1
Example of a Bracketing Design

	Batch	50 mg			75 mg			100 mg		
		1	2	3	1	2	3	1	2	3
Container size	15 mL	T	T	T				T	T	T
	100 mL									
	500 mL	T	T	T				T	T	T

Key: T = Sample tested.

D. MATRIXING

As defined in the glossary of the parent guideline, matrixing is the design of a stability schedule such that a selected subset of the total number of possible samples for all factor combinations would be tested at a specified time point. At a subsequent time point, another subset of samples for all factor combinations would be tested. The design assumes that the stability of each subset of samples tested represents the stability of all samples at a given time point. The differences in the samples for the same drug product should be identified as, for example, covering different batches, different strengths, different sizes of the same container closure system, and possibly, in some cases, different container closure systems.

When a secondary packaging system contributes to the stability of the drug product, matrixing can be performed across the packaging systems.

Each storage condition should be treated separately under its own matrixing design. Matrixing should not be performed across test attributes. However, alternative matrixing designs for different test attributes can be applied if justified.

1. Design Factors

Matrixing designs can be applied to strengths with identical or closely related formulations. Examples include but are not limited to (1) capsules of different strengths made with different fill plug sizes from the same powder blend, (2) tablets of different strengths manufactured by compressing varying amounts of the same granulation, and (3) oral solutions of different strengths with formulations that differ only in minor excipients (e.g., colorants or flavorings).

Other examples of design factors that can be matrixed include batches made by using the same process and equipment, and container sizes and/or fills in the same container closure system.

With justification, matrixing designs can be applied, for example, to different strengths where the relative amounts of drug substance and excipients change, or where different excipients are used, or to different container closure systems. Justification should generally be based on supporting data. For example, to matrix across two different closures or container closure systems, supporting data could be supplied showing relative moisture vapor transmission rates or similar

protection against light. Alternatively, supporting data could be supplied to show that the drug product is not affected by oxygen, moisture, or light.

2. Design Considerations

A matrixing design should be balanced as far as possible so that each combination of factors is tested to the same extent over the intended duration of the study and through the last time point prior to submission. However, due to the recommended full testing at certain time points, as discussed in the following, it may be difficult to achieve a complete balance in a design where time points are matrixed.

In a design where time points are matrixed, all selected factor combinations should be tested at the initial and final time points, while only certain fractions of the designated combinations should be tested at each intermediate time point. If full long-term data for the proposed shelf life will not be available for review before approval, all selected combinations of batch, strength, container size, and fill, among other things, should also be tested at 12 months or at the last time point prior to submission. In addition, data from at least three time points, including initial, should be available for each selected combination through the first 12 months of the study. For matrixing at an accelerated or intermediate storage condition, care should be taken to ensure that testing occurs at a minimum of three time points, including initial and final, for each selected combination of factors.

When a matrix on design factors is applied, if one strength or container size and/or fill is no longer intended for marketing, stability testing of that strength or container size and/or fill can be continued to support the other strengths or container sizes and/or fills in the design.

3. Design Examples

Examples of matrixing designs on time points for a product in two strengths (S1 and S2) are shown in Table 10.2. The terms *one-half reduction* and *one-third reduction* refer to the reduction strategy initially applied to the full study design. For example, a one-half reduction initially eliminates one in every two time points from the full study design, and a one-third reduction initially removes one in every three. In the examples shown in Table 10.2, the reductions are less than one-half and one-third due to the inclusion of full testing of all factor combinations at some time points. These examples include full testing at the initial, final, and 12 month time points. The ultimate reduction is therefore less than one-half (24/48) or one-third (16/48); it is actually 15/48 or 10/48, respectively.

Additional examples of matrixing designs for a product with three strengths and three container sizes are given in Table 10.3. Table 10.3(A) shows a design with matrixing on time points only, and Table 10.3(B) depicts a design with matrixing on time points and factors. In Table 10.3(A), all combinations of batch, strength, and container size are tested, while in Table 10.3(B), certain combinations of batch, strength, and container size are not tested.

TABLE 10.2
Examples of Matrixing Designs on Time Points for a Product with Two Strengths

Time Point (Months)		0	3	6	9	12	18	24	36	
One-half reduction										
Strength	S1	Batch 1	T	T		T	T		T	T
		Batch 2	T	T		T	T	T		T
		Batch 3	T		T		T	T		T
	S2	Batch 1	T		T		T		T	T
		Batch 2	T	T		T	T	T		T
		Batch 3	T		T		T		T	T
One-third reduction										
Strength	S1	Batch 1	T	T		T			T	T
		Batch 2	T	T	T		T	T		T
		Batch 3	T		T	T	T	T	T	T
	S2	Batch 1	T		T	T	T	T	T	T
		Batch 2	T	T		T	T		T	T
		Batch 3	T	T	T		T	T		T

Key: T=Sample tested.

TABLE 10.3
Examples of Matrixing Designs for a Product with Three Strengths and Three Container Sizes

Strength	S1			S2			S3		
A. Matrixing on time points									
Container size	A	B	C	A	B	C	A	B	C
Batch 1	T1	T2	T3	T2	T3	T1	T3	T1	T2
Batch 2	T2	T3	T1	T3	T1	T2	T1	T2	T3
Batch 3	T3	T1	T2	T1	T2	T3	T2	T3	T1
B. Matrixing on time points and factors									
Container size	A	B	C	A	B	C	A	B	C
Batch 1	T1	T2		T2		T1		T1	T2
Batch 2		T3	T1	T3	T1		T1		T3
Batch 3	T3		T2		T2	T3	T2	T3	
Time point (months)	0	3	6	9	12	18	24	36	
T1	T		T	T	T	T	T	T	
T2	T	T		T	T		T	T	
T3	T	T	T		T	T		T	

S1, S2, and S3 are different strengths. A, B, and C are different container sizes. Key: T=Sample tested.

4. Applicability and Degree of Reduction

The following, although not an exhaustive list, should be considered when a matrixing design is contemplated:

- Knowledge of data variability
- Expected stability of the product

- Availability of supporting data
- Stability differences in the product within a factor or among factors and/or
- Number of factor combinations in the study

In general, a matrixing design is applicable if the supporting data indicate predictable product stability. Matrixing is appropriate when the supporting data exhibit only small variability. However, where the supporting data exhibit moderate variability, a matrixing design should be statistically justified. If the supportive data show large variability, a matrixing design should not be applied.

A statistical justification could be based on an evaluation of the proposed matrixing design with respect to its power to detect differences among factors in the degradation rates or its precision in shelf-life estimation.

If a matrixing design is considered applicable, the degree of reduction that can be made from a full design depends on the number of factor combinations being evaluated. The more factors associated with a product, and the more levels in each factor, the larger the degree of reduction that can be considered. However, any reduced design should have the ability to adequately predict the product shelf life.

5. Potential Risk

Because of the reduced amount of data collected, a matrixing design on factors other than time points generally has less precision in shelf-life estimation and yields a shorter shelf life than the corresponding full design. In addition, such a matrixing design may have insufficient power to detect certain main or interaction effects, thus leading to incorrect pooling of data from different design factors during shelf-life estimation. If there is an excessive reduction in the number of factor combinations tested, and data from the tested factor combinations cannot be pooled to establish a single shelf life, it may be impossible to estimate the shelf lives for the missing factor combinations.

A study design that matrixes on time points only would often have similar ability to that of a full design to detect differences in rates of change among factors and to establish a reliable shelf life. This feature exists because linearity is assumed and because full testing of all factor combinations would still be performed at both the initial time point and the last time point prior to submission.

E. DATA EVALUATION

Stability data from studies in a reduced design should be treated in the same manner as data from full design studies.

11 Evaluation of Stability Data

I. INTRODUCTION

A. OBJECTIVES OF THE GUIDELINE

This guideline is intended to provide recommendations on how to use stability data generated in accordance with the principles detailed in the International Conference on Harmonisation (ICH) guideline “Q1A(R) Stability Testing of New Drug Substances and Products” (hereafter referred to as the parent guideline) to propose a retest period or shelf life in a registration application. This guideline describes when and how extrapolation can be considered when proposing a retest period for a drug substance or a shelf life for a drug product that extends beyond the period covered by “available data from the stability study under the long-term storage condition” (hereafter referred to as *long-term data*).

B. BACKGROUND

The guidance on the evaluation and statistical analysis of stability data provided in the parent guideline is brief in nature and limited in scope. The parent guideline states that regression analysis is an appropriate approach to analyzing quantitative stability data for retest period or shelf-life estimation and recommends that a statistical test for batch poolability be performed using a level of significance of 0.25. However, the parent guideline includes few details and does not cover situations where multiple factors are involved in a full- or reduced-design study.

This guideline is an expansion of the guidance presented in the Evaluation sections of the parent guideline.

C. SCOPE OF THE GUIDELINE

This guideline addresses the evaluation of stability data that should be submitted in registration applications for new molecular entities and associated drug products. The guideline provides recommendations on establishing retest periods and shelf lives for drug substances and drug products intended for storage at or below “room temperature.”* It covers stability studies using single- or multifactor designs and full or reduced designs.

ICH Q6A and Q6B should be consulted for recommendations on the setting and justification of acceptance criteria, and ICH Q1D should be referenced for recommendations on the use of full- versus reduced-design studies.

* Note: The term *room temperature* refers to the general customary environment and should not be inferred to be the storage statement for labeling.

II. GUIDELINES

A. GENERAL PRINCIPLES

The design and execution of formal stability studies should follow the principles outlined in the parent guideline. The purpose of a stability study is to establish, based on testing a minimum of three batches of the drug substance or product, a retest period or shelf life and label storage instructions applicable to all future batches manufactured and packaged under similar circumstances. The degree of variability of individual batches affects the confidence that a future production batch will remain within acceptance criteria throughout its retest period or shelf life.

Although normal manufacturing and analytical variations are to be expected, it is important that the drug product be formulated with the intent to provide 100% of the labeled amount of the drug substance at the time of batch release. If the assay values of the batches used to support the registration application are higher than 100% of label claim at the time of batch release, after taking into account manufacturing and analytical variations, the shelf life proposed in the application can be overestimated. On the other hand, if the assay value of a batch is lower than 100% of label claim at the time of batch release, it might fall below the lower acceptance criterion before the end of the proposed shelf life.

A systematic approach should be adopted in the presentation and evaluation of the stability information. The stability information should include, as appropriate, results from the physical, chemical, biological, and microbiological tests, including those related to particular attributes of the dosage form (e.g., dissolution rate for solid oral dosage forms). The adequacy of the mass balance should be assessed. Factors that can cause an apparent lack of mass balance should be considered, including, for example, the mechanisms of degradation and the stability-indicating capability and inherent variability of the analytical procedures.

The basic concepts of stability data evaluation are the same for single- versus multifactor studies and for full- versus reduced-design studies. Data from formal stability studies and, as appropriate, supporting data should be evaluated to determine the critical quality attributes likely to influence the quality and performance of the drug substance or product. Each attribute should be assessed separately, and an overall assessment should be made of the findings for the purpose of proposing a retest period or shelf life. The retest period or shelf life proposed should not exceed that predicted for any single attribute.

The decision tree in Appendix A outlines a stepwise approach to stability data evaluation and when and how much extrapolation can be considered for a proposed retest period

or shelf life. Appendix B provides (1) information on how to analyze long-term data for appropriate quantitative test attributes from a study with a multifactor, full, or reduced design; (2) information on how to use regression analysis for retest period or shelf-life estimation; and (3) examples of statistical procedures to determine poolability of data from different batches or other factors. Additional guidance can be found in the references listed; however, the examples and references do not cover all applicable statistical approaches.

In general, certain quantitative chemical attributes (e.g., assay, degradation products, and preservative content) for a drug substance or product can be assumed to follow zero-order kinetics during long-term storage (Carstensen, 1977). Data for these attributes are therefore amenable to the type of statistical analysis described in Appendix B, including linear regression and poolability testing. Although the kinetics of other quantitative attributes (e.g., pH and dissolution) is generally not known, the same statistical analysis can be applied if appropriate. Qualitative attributes and microbiological attributes are not amenable to this kind of statistical analysis.

The recommendations on statistical approaches in this guideline are not intended to imply that the use of statistical evaluation is preferred when it can be justified to be unnecessary. However, statistical analysis can be useful in supporting the extrapolation of retest periods or shelf lives in certain situations and can be called for to verify the proposed retest periods or shelf lives in other cases.

B. DATA PRESENTATION

Data for all attributes should be presented in an appropriate format (e.g., tabular, graphical, or narrative), and an evaluation of such data should be included in the application. The values of quantitative attributes at all time points should be reported as measured (e.g., assay as percent of label claim). If a statistical analysis is performed, the procedure used and the assumptions underlying the model should be stated and justified. A tabulated summary of the outcome of statistical analysis and/or graphical presentation of the long-term data should be included.

C. EXTRAPOLATION

Extrapolation is the practice of using a known dataset to infer information about future data. Extrapolation to extend the retest period or shelf life beyond the period covered by long-term data can be proposed in the application, particularly if no significant change is observed at the accelerated condition. Whether extrapolation of stability data is appropriate depends on the extent of knowledge about the change pattern, the goodness of fit of any mathematical model, and the existence of relevant supporting data. Any extrapolation should be performed such that the extended retest period or shelf life will be valid for a future batch released with test results close to the release acceptance criteria.

An extrapolation of stability data assumes that the same change pattern will continue to apply beyond the period covered by long-term data. The correctness of the assumed change pattern is critical when extrapolation is considered. When estimating a regression line or curve to fit the long-term data, the data themselves provide a check on the correctness of the assumed change pattern, and statistical methods can be applied to test the goodness of fit of the data to the assumed line or curve. No such internal check is possible beyond the period covered by long-term data. Thus, a retest period or shelf life granted on the basis of extrapolation should always be verified by additional long-term stability data as soon as these data become available. Care should be taken to include in the protocol for commitment batches a time point that corresponds to the end of the extrapolated retest period or shelf life.

D. DATA EVALUATION FOR RETEST PERIOD OR SHELF-LIFE ESTIMATION FOR DRUG SUBSTANCES OR PRODUCTS INTENDED FOR ROOM-TEMPERATURE STORAGE

A systematic evaluation of the data from formal stability studies should be performed as illustrated in this section. Stability data for each attribute should be assessed sequentially. For drug substances or products intended for storage at room temperature, the assessment should begin with any significant change at the accelerated condition and if appropriate, at the intermediate condition and progress through the trends and variability of the long-term data. The circumstances are delineated under which extrapolation of the retest period or shelf life beyond the period covered by long-term data can be appropriate. A decision tree is provided in Appendix A as an aid.

1. No Significant Change at Accelerated Condition

Where no significant change occurs at the accelerated condition, the retest period or shelf life would depend on the nature of the long-term and accelerated data.

a. Long-Term and Accelerated Data Showing Little or No Change over Time and Little or No Variability

Where the long-term data and accelerated data for an attribute show little or no change over time and little or no variability, it might be apparent that the drug substance or product will remain well within the acceptance criteria for that attribute during the proposed retest period or shelf life. In these circumstances, a statistical analysis is normally considered unnecessary, but justification for the omission should be provided. Justification can include a discussion of the change pattern or lack of change, relevance of the accelerated data, mass balance, and/or other supporting data as described in the parent guideline. Extrapolation of the retest period or shelf life beyond the period covered by long-term data can be proposed. The proposed retest period or shelf life can be up to twice, but should not be more than 12 months beyond, the period covered by long-term data.

b. Long-Term or Accelerated Data Showing Change over Time and/or Variability

If the long-term or accelerated data for an attribute show change over time and/or variability within a factor or among factors, statistical analysis of the long-term data can be useful in establishing a retest period or shelf life. Where there are differences in stability observed among batches or among other factors (e.g., strength, container size, and/or fill) or factor combinations (e.g., strength-by-container size and/or fill) that preclude the combining of data, the proposed retest period or shelf life should not exceed the shortest period supported by any batch, other factor, or factor combination. Alternatively, where the differences are readily attributed to a particular factor (e.g., strength), different shelf lives can be assigned to different levels within the factor (e.g., different strengths). A discussion should be provided to address the cause for the differences and the overall significance of such differences on the product. Extrapolation beyond the period covered by long-term data can be proposed; however, the extent of extrapolation would depend on whether long-term data for the attribute are amenable to statistical analysis.

- *Data not amenable to statistical analysis:* Where long-term data are not amenable to statistical analysis, but relevant supporting data are provided, the proposed retest period or shelf life can be up to one-and-a-half times, but should not be more than 6 months beyond, the period covered by long-term data. Relevant supporting data include satisfactory long-term data from development batches that are (1) made with a closely related formulation to, (2) manufactured on a smaller scale than, or (3) packaged in a container closure system similar to, that of the primary stability batches.
- *Data amenable to statistical analysis:* If long-term data are amenable to statistical analysis, but no analysis is performed, the extent of extrapolation should be the same as when data are not amenable to statistical analysis. However, if a statistical analysis is performed, it can be appropriate to propose a retest period or shelf life of up to twice, but not more than 12 months beyond, the period covered by long-term data, when the proposal is backed by the result of the analysis and relevant supporting data.

2. Significant Change at Accelerated Condition

Where significant change* occurs at the accelerated condition, the retest period or shelf life would depend on the outcome of

* *Note:* The following physical changes can be expected to occur at the accelerated condition and would not be considered significant change that calls for intermediate testing if there is no other significant change:

- Softening of a suppository that is designed to melt at 37 °C, if the melting point is clearly demonstrated
- Failure to meet acceptance criteria for dissolution for 12 units of a gelatin capsule or gel-coated tablet if the failure can be unequivocally attributed to cross-linking

stability testing at the intermediate condition as well as at the long-term condition.

However, if phase separation of a semisolid dosage form occurs at the accelerated condition, testing at the intermediate condition should be performed. Potential interaction effects should also be considered in establishing that there is no other significant change.

a. No Significant Change at Intermediate Condition

If there is no significant change at the intermediate condition, extrapolation beyond the period covered by long-term data can be proposed; however, the extent of extrapolation would depend on whether long-term data for the attribute are amenable to statistical analysis.

- *Data not amenable to statistical analysis:* When the long-term data for an attribute are not amenable to statistical analysis, the proposed retest period or shelf life can be up to 3 months beyond the period covered by long-term data if backed by relevant supporting data.
- *Data amenable to statistical analysis:* When the long-term data for an attribute are amenable to statistical analysis, but no analysis is performed, the extent of extrapolation should be the same as when data are not amenable to statistical analysis. However, if a statistical analysis is performed, the proposed retest period or shelf life can be up to one-and-a-half times, but should not be more than 6 months beyond, the period covered by long-term data when backed by statistical analysis and relevant supporting data.

b. Significant Change at Intermediate Condition

Where significant change occurs at the intermediate condition, the proposed retest period or shelf life should not exceed the period covered by long-term data. In addition, a retest period or shelf life shorter than the period covered by long-term data could be called for.

E. DATA EVALUATION FOR RETEST PERIOD OR SHELF-LIFE ESTIMATION FOR DRUG SUBSTANCES OR PRODUCTS INTENDED FOR STORAGE BELOW ROOM TEMPERATURE

1. Drug Substances or Products Intended for Storage in a Refrigerator

Data from drug substances or products intended to be stored in a refrigerator should be assessed according to the same principles as described in Section D for drug substances or products intended for room-temperature storage except where explicitly noted in the following section. The decision tree in Appendix A can be used as an aid.

a. No Significant Change at Accelerated Condition

Where no significant change occurs at the accelerated condition, extrapolation of the retest period or shelf life beyond the

period covered by long-term data can be proposed based on the principles outlined in subsection 1 of Section D, except that the extent of extrapolation should be more limited.

If the long-term and accelerated data show little change over time and little variability, the proposed retest period or shelf life can be up to one-and-a-half times, but should not be more than 6 months beyond, the period covered by long-term data normally without the support of statistical analysis.

Where the long-term or accelerated data show change over time and/or variability, the proposed retest period or shelf life can be up to 3 months beyond the period covered by long-term data if (1) the long-term data are amenable to statistical analysis, but a statistical analysis is not performed, or (2) the long-term data are not amenable to statistical analysis, but relevant supporting data are provided.

Where the long-term or accelerated data show change over time and/or variability, the proposed retest period or shelf life can be up to one-and-a-half times, but should not be more than 6 months beyond, the period covered by long-term data if (1) the long-term data are amenable to statistical analysis, and a statistical analysis is performed, and (2) the proposal is backed by the result of the analysis and relevant supporting data.

b. Significant Change at Accelerated Condition

If significant change occurs between 3 and 6 months' testing at the accelerated storage condition, the proposed retest period or shelf life should be based on the long-term data. Extrapolation is not considered appropriate. In addition, a retest period or shelf life shorter than the period covered by long-term data could be called for. If the long-term data show variability, verification of the proposed retest period or shelf life by statistical analysis can be appropriate.

If significant change occurs within the first 3 months' testing at the accelerated storage condition, the proposed retest period or shelf life should be based on long-term data. Extrapolation is not considered appropriate. A retest period or shelf life shorter than the period covered by long-term data could be called for. If the long-term data show variability, verification of the proposed retest period or shelf life by statistical analysis can be appropriate. In addition, a discussion should be provided to address the effect of short-term excursions outside the label storage condition (e.g., during shipping or handling). This discussion can be supported, if appropriate, by further testing on a single batch of the drug substance or product at the accelerated condition for a period shorter than 3 months.

2. Drug Substances or Products Intended for Storage in a Freezer

For drug substances or products intended for storage in a freezer, the retest period or shelf life should be based on long-term data. In the absence of an accelerated storage condition for drug substances or products intended to be stored in a freezer, testing on a single batch at an elevated temperature (e.g., $5^{\circ}\text{C} \pm 3^{\circ}\text{C}$ or $25^{\circ}\text{C} \pm 2^{\circ}\text{C}$) for an appropriate time period

should be conducted to address the effect of short-term excursions outside the proposed label storage condition (e.g., during shipping or handling).

3. Drug Substances or Products Intended for Storage below -20°C

For drug substances or products intended for storage below -20°C , the retest period or shelf life should be based on long-term data and should be assessed on a case-by-case basis.

F. GENERAL STATISTICAL APPROACHES

Where applicable, an appropriate statistical method should be employed to analyze the long-term primary stability data in an original application. The purpose of this analysis is to establish, with a high degree of confidence, a retest period or shelf life during which a quantitative attribute will remain within acceptance criteria for all future batches manufactured, packaged, and stored under similar circumstances.

In cases where a statistical analysis was employed to evaluate long-term data due to a change over time and/or variability, the same statistical method should also be used to analyze data from commitment batches to verify or extend the originally approved retest period or shelf life.

Regression analysis is considered an appropriate approach to evaluating the stability data for a quantitative attribute and establishing a retest period or shelf life. The nature of the relationship between an attribute and time will determine whether data should be transformed for linear regression analysis. The relationship can be represented by a linear or nonlinear function on an arithmetic or logarithmic scale. In some cases, a nonlinear regression can better reflect the true relationship.

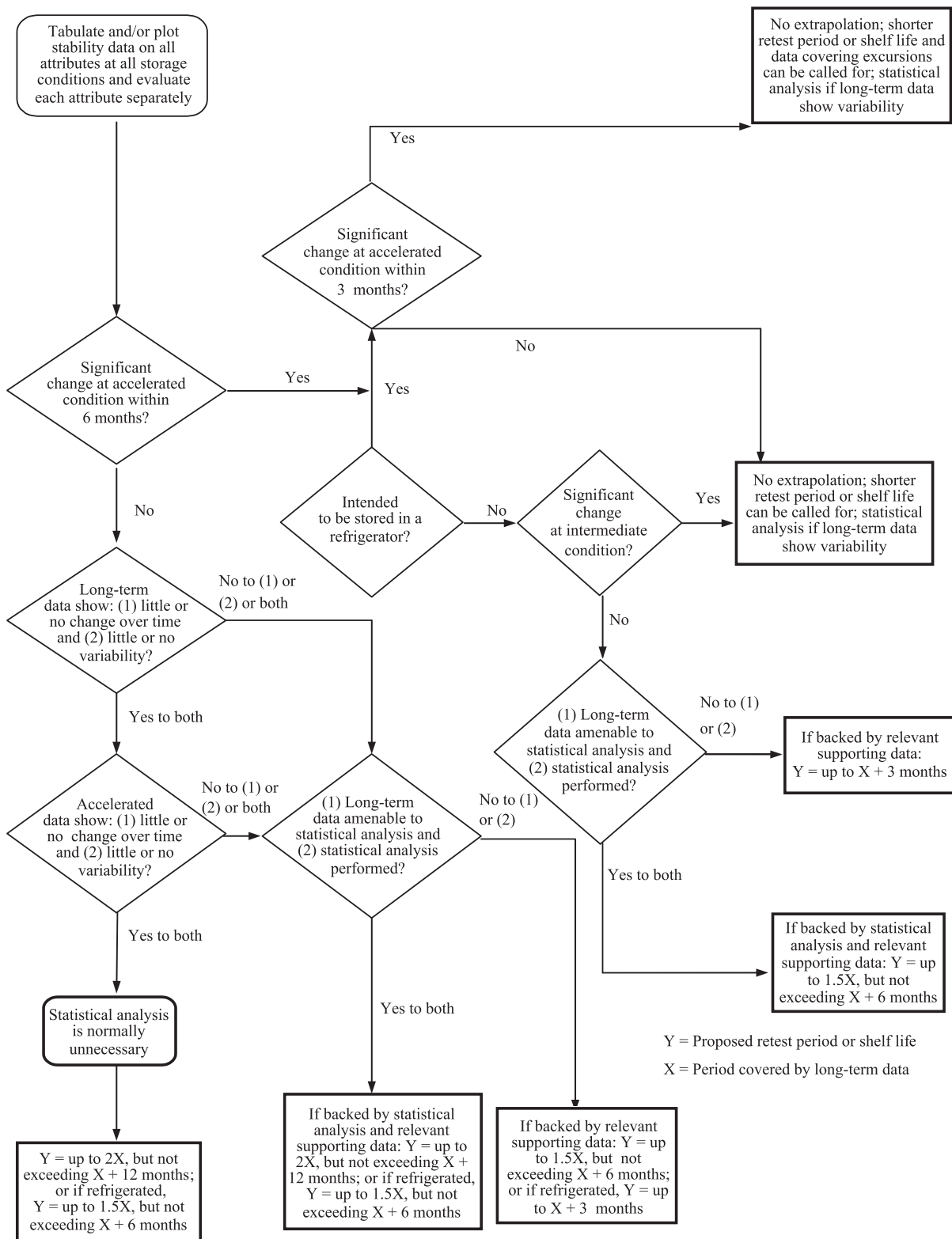
An appropriate approach to retest period or shelf-life estimation is to analyze a quantitative attribute (e.g., assay or degradation products) by determining the earliest time at which the 95% confidence limit for the mean intersects the proposed acceptance criterion.

For an attribute known to decrease with time, the lower one-sided 95% confidence limit should be compared with the acceptance criterion. For an attribute known to increase with time, the upper one-sided 95% confidence limit should be compared with the acceptance criterion. For an attribute that can either increase or decrease, or whose direction of change is not known, two-sided 95% confidence limits should be calculated and compared with the upper and lower acceptance criteria.

The statistical method used for data analysis should take into account the stability study design to provide a valid statistical inference for the estimated retest period or shelf life. The approach described can be used to estimate the retest period or shelf life for a single batch or for multiple batches when the data are combined after an appropriate statistical test. Examples of statistical approaches to the analysis of stability data from single- or multifactor, full- or reduced-design studies are included in Appendix B. References to current literature sources can be found in Appendix B.6.

APPENDICES

APPENDIX A: DECISION TREE FOR DATA EVALUATION FOR RETEST PERIOD OR SHELF-LIFE ESTIMATION FOR DRUG SUBSTANCES OR PRODUCTS (EXCLUDING FROZEN PRODUCTS)



APPENDIX B: EXAMPLES OF STATISTICAL APPROACHES TO STABILITY DATA ANALYSIS

Linear regression, poolability tests, and statistical modeling, described in the following, are examples of statistical methods and procedures that can be used in the analysis of stability data that are amenable to statistical analysis for a quantitative attribute for which there is a proposed acceptance criterion.

B.1. Data Analysis for a Single Batch

In general, the relationship between certain quantitative attributes and time is assumed to be linear (Carstensen, 1977). Figure 11.1 shows the regression line for assay of a drug product with upper and lower acceptance criteria of 105% and 95% of label claim, respectively, with 12 months of long-term data and a proposed shelf life of 24 months. In this example, two-sided 95% confidence limits for the mean are applied, because it is not known ahead of time whether the assay will increase or decrease with time (e.g., in the case of an aqueous-based

product packaged in a semipermeable container). The lower confidence limit intersects the lower acceptance criterion at 30 months, while the upper confidence limit does not intersect with the upper acceptance criterion until later. Therefore, the proposed shelf life of 24 months can be supported by the statistical analysis of the assay, provided the recommendations in Sections D and E are followed.

When data for an attribute with only an upper or a lower acceptance criterion are analyzed, the corresponding one-sided 95% confidence limit for the mean is recommended. Figure 11.2 shows the regression line for a degradation product in a drug product with 12 months of long-term data and a proposed shelf life of 24 months, where the acceptance criterion is not more than 1.4%. The upper one-sided 95% confidence limit for the mean intersects the acceptance criterion at 31 months. Therefore, the proposed shelf life of 24 months can be supported by statistical analysis of the degradation product data, provided the recommendations in Sections D and E are followed.

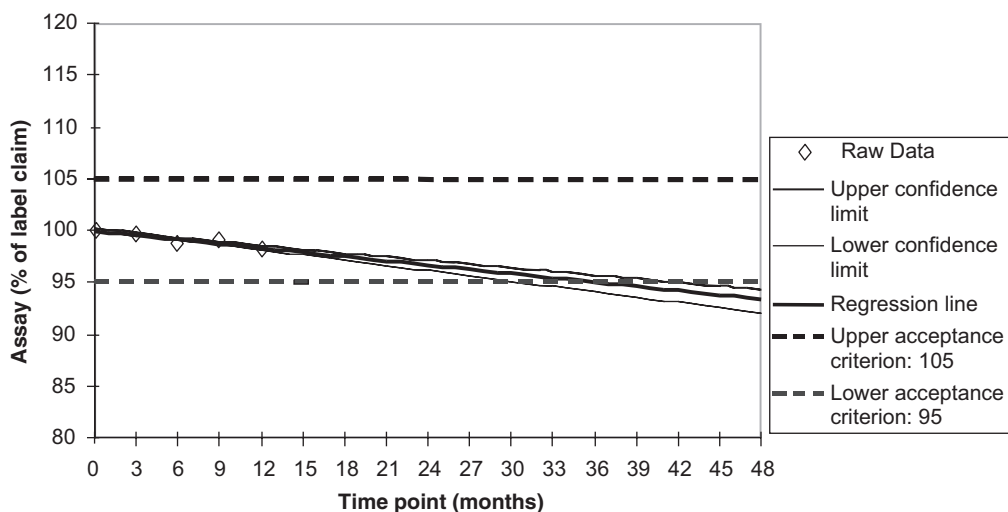


FIGURE 11.1 Shelf-life estimation with upper and lower acceptance criteria based on assay at 25°C/60% RH.

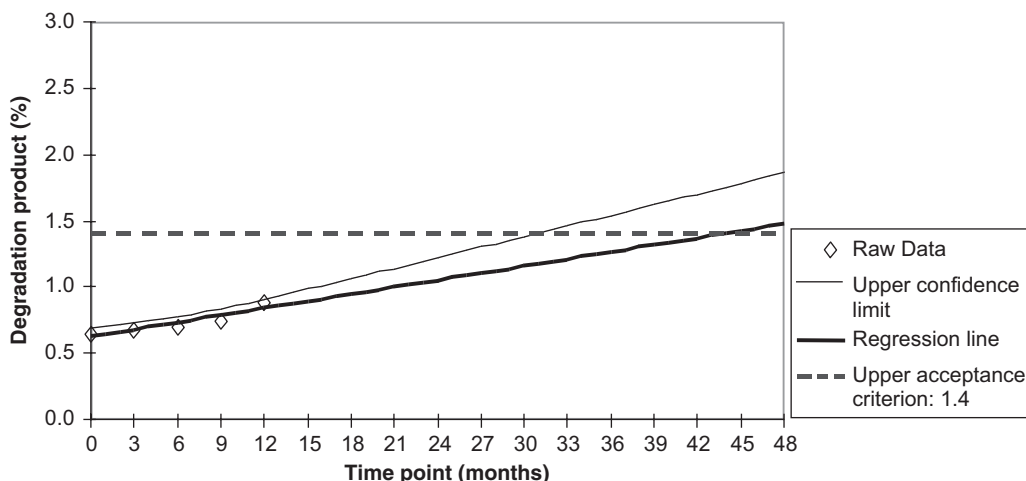


FIGURE 11.2 Shelf-life estimation with upper acceptance criterion based on a degradation product at 25°C/60% RH.

If this approach is used, the mean value of the quantitative attribute (e.g., assay or degradation products) can be expected to remain within the acceptance criteria through the end of the retest period or shelf life at a confidence level of 95%.

The approach described can be used to estimate the retest period or shelf life for a single batch, individual batches, or multiple batches when combined after appropriate statistical tests described in Sections B.2 through B.5.

B.2. Data Analysis for One-Factor, Full-Design Studies

For a drug substance or for a drug product available in a single strength and a single container size and/or fill, the retest period or shelf life is generally estimated based on the stability data from a minimum of three batches. When analyzing data from such one-factor, batch-only, full-design studies, two statistical approaches can be considered:

- The objective of the first approach is to determine whether the data from all batches support the proposed retest period or shelf life.
- The objective of the second approach, testing for poolability, is to determine whether the data from different batches can be combined for an overall estimate of a single retest period or shelf life.

B.2.1. Evaluating Whether All Batches Support the Proposed Retest Period or Shelf Life

The objective of this approach is to evaluate whether the estimated retest periods or shelf lives from all batches are longer than the one proposed. Retest periods or shelf lives for individual batches should first be estimated using the procedure described in Section B.1 with individual intercepts, individual slopes, and the pooled mean square error calculated from all batches. If each batch has an estimated retest period or shelf life longer than that proposed, the proposed retest period or shelf life will generally be considered appropriate, as long as the guidance for extrapolation in Sections D and E is followed. There is generally no need to perform poolability tests or identify the most reduced model. If, however, one or more of the estimated retest periods or shelf lives are shorter than that proposed, poolability tests can be performed to determine whether the batches can be combined to estimate a longer retest period or shelf life.

Alternatively, this approach can be taken during the pooling process described in Section B.2.2. If the regression lines for the batches are found to have a common slope, and the estimated retest periods or shelf lives based on the common slope and individual intercepts are all longer than the proposed retest period or shelf life, there is generally no need to continue to test the intercepts for poolability.

B.2.2. Testing for Poolability of Batches

B.2.2.1. Analysis of Covariance Before pooling the data from several batches to estimate a retest period or shelf life, a preliminary statistical test should be performed to determine whether the regression lines from different batches have a common slope and a common time-zero intercept. Analysis

of covariance (ANCOVA) can be employed, where time is considered the covariate, to test the differences in slopes and intercepts of the regression lines among batches. Each of these tests should be conducted using a significance level of 0.25 to compensate for the expected low power of the design due to the relatively limited sample size in a typical formal stability study.

If the test rejects the hypothesis of equality of slopes (i.e., if there is a significant difference in slopes among batches), it is not considered appropriate to combine the data from all batches. The retest periods or shelf lives for individual batches in the stability study can be estimated by applying the approach described in Section B.1 using individual intercepts and individual slopes and the pooled mean square error calculated from all batches. The shortest estimate among the batches should be chosen as the retest period or shelf life for all batches.

If the test rejects the hypothesis of equality of intercepts but fails to reject that the slopes are equal (i.e., if there is a significant difference in intercepts but no significant difference in slopes among the batches), the data can be combined for the purpose of estimating the common slope. The retest periods or shelf lives for individual batches in the stability study should be estimated by applying the approach described in Section B.1 using the common slope and individual intercepts. The shortest estimate among the batches should be chosen as the retest period or shelf life for all batches.

If the tests for equality of slopes and equality of intercepts do not result in rejection at a level of significance of 0.25 (i.e., if there is no significant difference in slope and intercepts among the batches), the data from all batches can be combined. A single retest period or shelf life can be estimated from the combined data by using the approach described in Section B.1 and applied to all batches. The estimated retest period or shelf life from the combined data is usually longer than that from individual batches, because the width of the confidence limit(s) for the mean will become narrower as the amount of data increases when batches are combined.

The pooling tests described earlier should be performed in a proper order such that the slope terms are tested before the intercept terms. The most reduced model (i.e., individual slopes, common slope with individual intercepts, or common slope with common intercept, as appropriate) can be selected for retest period or shelf-life estimation.

B.2.2.2. Other Methods Statistical procedures other than those described earlier can be used in retest period or shelf-life estimation (Murphy and Weisman, 1990; Ruberg and Stegeman, 1991; Ruberg and Hsu, 1992; Shao and Chow, 1994; Yoshioka et al., 1997). For example, if it is possible to decide in advance the acceptable difference in slope or in mean retest period or shelf life among batches, an appropriate procedure for assessing the equivalence in slope or in mean retest period or shelf life can be used to determine the data poolability. However, such a procedure should be prospectively defined, evaluated, and justified and where appropriate, discussed with the regulatory authority. A simulation study

can be useful, if applicable, to demonstrate that the statistical properties of the alternative procedure selected are appropriate (Chen et al., 1997).

B.3. Data Analysis for Multifactor, Full-Design Studies

The stability of the drug product could differ to a certain degree among different factor combinations in a multifactor, full-design study. Two approaches can be considered when analyzing such data.

- The objective of the first approach is to determine whether the data from all factor combinations support the proposed shelf life.
- The objective of the second approach, testing for poolability, is to determine whether the data from different factor combinations can be combined for an overall estimate of a single shelf life.

B.3.1. Evaluating Whether All Factor Combinations Support the Proposed Shelf Life

The objective of this approach is to evaluate whether the estimated shelf lives from all factor combinations are longer than the one proposed. A statistical model that includes all appropriate factors and factor combinations should be constructed as described in Section B.3.2.2.1, and the shelf life should be estimated for each level of each factor and factor combination.

If all shelf lives estimated by the original model are longer than the proposed shelf life, further model building is considered unnecessary, and the proposed shelf life will generally be appropriate as long as the guidance in Sections D and E is followed. If one or more of the estimated shelf lives fall short of the proposed shelf life, model building as described in Section B.3.2.2.1 can be employed. However, it is considered unnecessary to identify the final model before evaluating whether the data support the proposed shelf life. Shelf lives can be estimated at each stage of the model-building process, and if all shelf lives at any stage are longer than the one proposed, further attempts to reduce the model are considered unnecessary.

This approach can simplify the data analysis of a complicated multifactor stability study compared with the data analysis described in Section B.3.2.2.1.

B.3.2. Testing for Poolability

The stability data from different combinations of factors should not be combined unless supported by statistical tests for poolability.

B.3.2.1. Testing for Poolability of Batch Factor Only If each factor combination is considered separately, the stability data can be tested for poolability of batches only, and the shelf life for each nonbatch factor combination can be estimated separately by applying the procedure described in Section B.2. For example, for a drug product available in two strengths and four container sizes, eight sets of data from the 2×4 strength–size combinations can be analyzed, and eight separate shelf lives should be estimated accordingly.

If a single shelf life is desired, the shortest estimated shelf life among all factor combinations should become the shelf life for the product. However, this approach does not take advantage of the available data from all factor combinations, thus generally resulting in shorter shelf lives than does the approach in Section B.3.2.2.

B.3.2.2. Testing for Poolability of All Factors and Factor Combinations If the stability data are tested for poolability of all factors and factor combinations, and the results show that the data can be combined, a single shelf life longer than that estimated based on individual factor combinations is generally obtainable. The shelf life is longer because the width of the confidence limit(s) for the mean will become narrower as the amount of data increases when batches, strengths, container sizes and/or fills, and so forth are combined.

B.3.2.2.1. Analysis of Covariance Analysis of covariance can be employed to test the difference in slopes and intercepts of the regression lines among factors and factor combinations (Chen et al., 1997; Fairweather et al., 1995). The purpose of the procedure is to determine whether data from multiple factor combinations can be combined for the estimation of a single shelf life.

The full statistical model should include the intercept and slope terms of all main effects and interaction effects and a term reflecting the random error of measurement. If it can be justified that the higher-order interactions are very small, there is generally no need to include these terms in the model. In cases where the analytical results at the initial time point are obtained from the finished dosage form prior to its packaging, the container intercept term can be excluded from the full model, because the results are common among the different container sizes and/or fills.

The tests for poolability should be specified to determine whether there are statistically significant differences among factors and factor combinations. Generally, the pooling tests should be performed in a proper order, such that the slope terms are tested before the intercept terms, and the interaction effects are tested before the main effects. For example, the tests can start with the slope and then the intercept terms of the highest-order interaction, and proceed to the slope and then the intercept terms of the simple main effects. The most reduced model, obtained when all remaining terms are found to be statistically significant, can be used to estimate the shelf lives.

All tests should be conducted using appropriate levels of significance. It is recommended that a significance level of 0.25 be used for batch-related terms and a significance level of 0.05 be used for non-batch-related terms. If the tests for poolability show that the data from different factor combinations can be combined, the shelf life can be estimated according to the procedure described in Section B.1 using the combined data.

If the tests for poolability show that the data from certain factors or factor combinations should not be combined, either of two alternatives can be applied: (1) a separate shelf life can

be estimated for each level of the factors and of the factor combinations remaining in the model; or (2) a single shelf life can be estimated based on the shortest estimated shelf life among all levels of factors and factor combinations remaining in the model.

B.3.2.2.2. Other Methods Alternative statistical procedures to those described earlier can be applied (Murphy and Weisman, 1990; Ruberg and Stegeman, 1991; Ruberg and Hsu, 1992; Shao and Chow, 1994; Yoshioka et al., 1997). For example, an appropriate procedure for assessing the equivalence in slope or in mean shelf life can be used to determine the data poolability. However, such a procedure should be prospectively defined, evaluated, properly justified, and where appropriate, discussed with the regulatory authority. A simulation study can be useful, if applicable, to demonstrate that the statistical properties of the alternative procedure selected are appropriate (Chen et al., 1997).

B.4. Data Analysis for Bracketing Design Studies

The statistical procedures described in Section B.3 can be applied to the analysis of stability data obtained from a bracketing design study. For example, for a drug product available in three strengths (S1, S2, and S3) and three container sizes (P1, P2, and P3) and studied according to a bracketing design where only the two extremes of the container sizes (P1 and P3) are tested, six sets of data from the 3 × 2 strength–size combinations will be obtained. The data can be analyzed separately for each of the six combinations for shelf-life estimation according to section B.3.2.1 or tested for poolability prior to shelf-life estimation according to section B.3.2.2.

The bracketing design assumes that the stability of the intermediate strengths or sizes is represented by the stability at the extremes. If the statistical analysis indicates that the stability of the extreme strengths or sizes is different, the intermediate strengths or sizes should be considered no more stable than the least stable extreme. For example, if P1 from the bracketing design in the previous paragraph is found to be less stable than P3, the shelf life for P2 should not exceed that for P1. No interpolation between P1 and P3 should be considered.

B.5. Data Analysis for Matrixing Design Studies

A matrixing design has only a fraction of the total number of samples tested at any specified time point. Therefore, it is important to ascertain that all factors and factor combinations that can have an impact on shelf-life estimation have been appropriately tested. For a meaningful interpretation of the

study results and shelf-life estimation, certain assumptions should be made and justified. For instance, the assumption that the stability of the samples tested represents the stability of all samples should be valid. In addition, if the design is not balanced, some factors or factor interactions might not be estimable. Furthermore, for different levels of factor combinations to be poolable, it might have to be assumed that the higher-order factor interactions are negligible. Because it is usually impossible to statistically test the assumption that the higher-order terms are negligible, a matrixing design should be used only when it is reasonable to assume that these interactions are indeed very small, based on supporting data.

The statistical procedure described in Section B.3 can be applied to the analysis of stability data obtained from a matrixing design study. The statistical analysis should clearly identify the procedure and assumptions used. For instance, the assumptions underlying the model in which interaction terms are negligible should be stated. If a preliminary test is performed for the purpose of eliminating factor interactions from the model, the procedure used should be provided and justified. The final model on which the estimation of shelf life will be based should be stated. The estimation of shelf life should be performed for each of the terms remaining in the model. The use of a matrixing design can result in an estimated shelf life shorter than that resulting from a full design.

Where bracketing and matrixing are combined in one design, the statistical procedure described in Section B.3 can be applied.

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12 Stability Data Package for Registration Applications in Climatic Zones III and IV

I. INTRODUCTION

A. OBJECTIVES OF THE GUIDELINE

This guideline describes an approach to broader use of the International Conference on Harmonisation (ICH) guideline “Q1A(R) Stability Testing of New Drug Substances and Products” (hereafter referred to as the parent guideline) and outlines the stability data package for a new drug substance or drug product that is considered sufficient for a registration application in territories in Climatic Zones III and IV (Schumacher, 1974; Grimm, 1985).

B. BACKGROUND

The parent guideline describes the stability data package for the ICH tripartite regions (the EU, Japan, and the United States), which are in Climatic Zones I and II. The parent guideline can be followed to generate stability data packages for registration applications in other countries or regions in Zones I and II. For territories in Climatic Zones III and IV, the data package as described in the parent guideline can be considered applicable except for certain storage conditions. An approach for the classification of countries according to Climatic Zones I, II, III, and IV can be found in the literature (Dietz et al., 1993; Grimm, 1998).

The World Health Organization (WHO) has published a guideline “Stability testing of pharmaceutical products containing well-established drug substances in conventional dosage forms” (WHO Technical Report Series, No. 863, Annex 5), updated in the Report of the thirty-seventh meeting of the WHO Expert Committee on Specifications for Pharmaceutical Preparations, Geneva, October 22–26, 2001. The WHO guideline describes stability testing recommendations, including storage conditions for all four climatic zones.

The stability testing recommendations in this guideline are based on the parent guideline and the WHO guideline. To harmonize with the long-term storage conditions for Zones III and IV, the intermediate storage condition in the General Case for Zones I and II in the parent guideline is changed to $30^{\circ}\text{C} \pm 2^{\circ}\text{C}/65\% \text{ RH} \pm 5\% \text{ RH}$. This condition of $30^{\circ}\text{C} \pm 2^{\circ}\text{C}/65\% \text{ RH} \pm 5\% \text{ RH}$ can also be a suitable alternative to $25^{\circ}\text{C} \pm 2^{\circ}\text{C}/60\% \text{ RH} \pm 5\% \text{ RH}$ as the long-term storage condition for Zones I and II.

C. SCOPE OF THE GUIDELINE

This document is an annex to the parent guideline and recommends the long-term storage condition for stability testing of a

new drug substance or drug product for a registration application in territories in Climatic Zones III and IV.

II. GUIDELINES

A. CONTINUITY WITH THE PARENT GUIDELINE

This guideline should be used in conjunction with the parent guideline and subsequently published annexes (Q1B, Q1C, Q1D, Q1E, and Q5C). The recommendations in the parent guideline and annexes should be followed unless specific alternatives are described within this guideline. The following sections of the parent guideline can be considered common to any territory in the world and are not reproduced here.

- Stress testing
- Selection of batches
- Container closure system
- Specification
- Testing frequency
- Storage conditions for drug substance or product in a refrigerator
- Storage conditions for drug substance or product in a freezer
- Stability commitment
- Evaluation
- Statements/labeling

B. STORAGE CONDITIONS

1. General Case

For the “General case” (as described in the parent guideline), the recommended long-term and accelerated storage conditions for Climatic Zones III and IV are shown as follows:

Study	Storage Condition	Minimum Time Period Covered by Data at Submission
Long-term	$30^{\circ}\text{C} \pm 2^{\circ}\text{C}/65\% \text{ RH} \pm 5\% \text{ RH}$	12 months
Accelerated	$40^{\circ}\text{C} \pm 2^{\circ}\text{C}/75\% \text{ RH} \pm 5\% \text{ RH}$	6 months

No intermediate storage condition for stability studies is recommended for Climatic Zones III and IV. Therefore, the intermediate storage condition is not relevant when the principles of retest period or shelf life extrapolation described in Q1E are applied.

2. Aqueous-Based Drug Products Packaged in Semipermeable Containers

For aqueous-based drug products packaged in semipermeable containers (as described in the parent guideline), the recommended long-term and accelerated storage conditions for Climatic Zones III and IV are shown as follows:

Study	Storage Condition	Minimum Time Period Covered by Data at Submission
Long-term	30°C ± 2°C/35% RH ± 5% RH	12 months
Accelerated	40°C ± 2°C/not more than 25% RH ± 5% RH	6 months

As described in the parent guideline, an appropriate approach for deriving the water loss rate at the reference relative humidity is to multiply the water loss rate measured at an alternative relative humidity at the same temperature by a water loss rate ratio (see the following table for examples).

The ratio of water loss rates at a given temperature is calculated by the general formula $(100 - \text{reference\% RH}) / (100 - \text{alternative\% RH})$.

Alternative Relative Humidity	Reference Relative Humidity	Ratio of Water Loss Rates at a Given Temperature
65% RH	35% RH	1.9
75% RH	25% RH	3.0

Valid water loss rate ratios at relative humidity conditions other than those shown in the table can be used. A linear water loss rate at the alternative relative humidity over the storage period should be demonstrated.

3. Tests at Elevated Temperature and/or Extremes of Humidity

Special transportation and climatic conditions outside the storage conditions recommended in this guideline should

be supported by additional data. For example, these data can be obtained from studies on one batch of drug product conducted for up to 3 months at 50°C/ambient humidity to cover extremely hot and dry conditions and at 25°C/80% RH to cover extremely high humidity conditions (Grimm, 1985).

Stability testing at a high humidity condition, for example, 25°C/80% RH, is recommended for solid dosage forms in water vapor-permeable packaging, such as tablets in PVC/aluminum blisters, intended to be marketed in territories with extremely high humidity conditions in Zone IV. However, for solid dosage forms in primary containers designed to provide a barrier to water vapor, for example, aluminum/aluminum blisters, stability testing at a storage condition of extremely high humidity is not considered necessary.

C. ADDITIONAL CONSIDERATIONS

If it cannot be demonstrated that the drug substance or drug product will remain within its acceptance criteria when stored at 30°C ± 2°C/65% RH ± 5% RH for the duration of the proposed retest period or shelf life, the following options should be considered: (1) a reduced retest period or shelf life, (2) a more protective container closure system, or (3) additional cautionary statements in the labeling.

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13 EU Guidelines to Good Manufacturing Practice Medicinal Products for Human and Veterinary Use

I. INTRODUCTION

The pharmaceutical industry of the European Union maintains high standards of quality assurance in the development, manufacture, and control of medicinal products. A system of Marketing Authorizations ensures that all medicinal products are assessed by a competent authority to ensure compliance with contemporary requirements of safety, quality, and efficacy. A system of manufacturing authorizations ensures that all products authorized on the European market are manufactured only by authorized manufacturers, whose activities are regularly inspected by the competent authorities. Manufacturing authorizations are required by all pharmaceutical manufacturers in the European Community whether the products are sold within or outside the Community.

Two directives laying down principles and guidelines of good manufacturing practice (GMP) for medicinal products were adopted by the Commission. Directive 2003/94/EC applies to medicinal products for human use and Directive 91/412/EEC for veterinary use. Detailed guidelines in accordance with those principles are published in the *Guide to Good Manufacturing Practice*, which will be used in assessing applications for manufacturing authorizations and as a basis for inspection of manufacturers of medicinal products.

The principles of GMP and the detailed guidelines are applicable to all operations that require the authorization referred to in Article 40 of Directive 2001/83/EC and in Article 44 of Directive 2001/82/EC, as amended by Directives 2004/27/EC and 2004/28/EC, respectively. They are also relevant for all other large-scale pharmaceutical manufacturing processes, such as that undertaken in hospitals, and for the preparation of products for use in clinical trials.

All member states and the industry agreed that the GMP requirements applicable to the manufacture of veterinary medicinal products are the same as those applicable to the manufacture of medicinal products for human use. Certain detailed adjustments to the GMP guidelines are set out in two annexes specific to veterinary medicinal products and to immunological veterinary medicinal products.

The guide is presented in two parts: basic requirements and specific annexes. Part I covers GMP principles for the manufacture of medicinal products. Part II covers GMP for active substances used as starting materials.

Chapters of Part I on “basic requirements” are headed by principles as defined in Directives 2003/94/EC and 91/412/EEC. Chapter 1 on Quality Management outlines the

fundamental concept of quality assurance as applied to the manufacture of medicinal products. Thereafter, each chapter has a principle outlining the quality assurance objectives of that chapter and a text that provides sufficient detail for manufacturers to be made aware of the essential matters to be considered when implementing the principle.

Part II was newly established on the basis of a guideline developed at the level of the International Conference on Harmonisation (ICH) and published as ICH Q7a on “active pharmaceutical ingredients,” which was implemented as GMP Annex 18 for voluntary application in 2001. According to the revised Article 47 and Article 51, respectively, of the Directive 2001/83/EC and Directive 2001/82/EC, as amended, detailed guidelines on the principles of GMP for active substances used as starting materials shall be adopted and published by the Commission. The former Annex 18 has been replaced by the new Part II of the GMP guide, which has an extended application for both the human and the veterinary sector.

In addition to the general matters of GMP outlined in Parts I and II, a series of annexes providing detail about specific areas of activity is included. For some manufacturing processes, different annexes will apply simultaneously (e.g., annex on sterile preparations and on radiopharmaceuticals and/or on biological medicinal products).

GMP Part I, Chapter 1 on Quality Management has been revised to include aspects of quality risk management within the quality system framework. In future revisions of the guide, the opportunity will be taken to introduce quality risk management elements when appropriate.

The new GMP Annex 20, which corresponds to the ICH Q9 guideline, provides guidance on a systematic approach to quality risk management leading to compliance with GMP and other quality requirements. It includes principles to be used and options for processes, methods, and tools, which may be used when applying a formal quality risk management approach. While the GMP guide is primarily addressed to manufacturers, the ICH Q9 guideline has relevance for other quality guidelines and includes specific sections for regulatory agencies. However, for reasons of coherence and completeness, the ICH Q9 guideline has been transferred completely into GMP Annex 20.

A glossary of some terms used in the guide has been incorporated after the annexes.

The guide is not intended to cover security aspects for the personnel engaged in manufacture. This may be particularly important in the manufacture of certain medicinal products,

such as highly active, biological and radioactive medicinal products. However, those aspects are governed by other provisions of Community or national law.

Throughout the guide, it is assumed that the requirements of the Marketing Authorization relating to the safety, quality, and efficacy of the products are systematically incorporated into all the manufacturing, control, and release for sale arrangements of the holder of the manufacturing authorization.

The manufacture of medicinal products has for many years taken place in accordance with guidelines for GMP, and the manufacture of medicinal products is not governed by European Committee for Standardization (CEN)/International Organization for Standardization (ISO) standards. Harmonized standards as adopted by the European standardization organizations CEN/ISO may be used at industry's discretion as a tool for implementing a quality system in the pharmaceutical sector. The CEN/ISO standards have been considered, but the terminology of these standards has not been implemented in this edition. It is recognized that there are acceptable methods, other than those described in the guide, which are capable of achieving the principles of quality assurance. The guide is not intended to place any restraint upon the development of any new concepts or new technologies that have been validated and that provide a level of quality assurance at least equivalent to those set out in this guide. With its principles, methods, and tools, Annex 20 provides a systematic approach, which may be used to demonstrate such equivalence.

The GMP guide will be regularly revised. Revisions will be made publicly available on the Web site of the European Commission (<http://ec.europa.eu/enterprise/pharmaceuticals/eudralex/homev4.htm>).

PART I: CHAPTER 1: QUALITY MANAGEMENT

Principle

The holder of a manufacturing authorization must manufacture medicinal products so as to ensure that they are fit for their intended use, comply with the requirements of the Marketing Authorization, and do not place patients at risk due to inadequate safety, quality, or efficacy. The attainment of this quality objective is the responsibility of senior management and requires the participation and commitment of staff in many different departments and at all levels within the company, of the company's suppliers, and of the distributors. To achieve the quality objective reliably, there must be a comprehensively designed and correctly implemented system of Quality Assurance incorporating Good Manufacturing Practice, Quality Control, and Quality Risk Management. It should be fully documented and its effectiveness monitored. All parts of the Quality Assurance system should be adequately resourced with competent personnel and suitable and sufficient premises, equipment, and facilities. There are additional legal responsibilities for the holder of the manufacturing authorization and for the Qualified Person(s).

The basic concepts of Quality Assurance, Good Manufacturing Practice, Quality Control, and Quality Risk Management are interrelated. They are described here in order to emphasize their relationships and their fundamental importance to the production and control of medicinal products.

Quality Assurance

1.1 Quality Assurance is a wide-ranging concept, which covers all matters that individually or collectively influence the quality of a product. It is the sum total of the organized arrangements made with the objective of ensuring that medicinal products are of the quality required for their intended use. Quality Assurance therefore incorporates Good Manufacturing Practice plus other factors outside the scope of this guide.

The system of Quality Assurance appropriate for the manufacture of medicinal products should ensure that

- (i) Medicinal products are designed and developed in a way that takes account of the requirements of GMP.
- (ii) Production and control operations are clearly specified and GMP adopted.
- (iii) Managerial responsibilities are clearly specified.
- (iv) Arrangements are made for the manufacture, supply, and use of the correct starting and packaging materials.
- (v) All necessary controls on intermediate products, and any other in-process controls and validations, are carried out.
- (vi) The finished product is correctly processed and checked according to the defined procedures.
- (vii) Medicinal products are not sold or supplied before a Qualified Person has certified that each production batch has been produced and controlled in accordance with the requirements of the Marketing Authorization and any other regulations relevant to the production, control, and release of medicinal products.
- (viii) Satisfactory arrangements exist to ensure, as far as possible, that the medicinal products are stored, distributed, and subsequently, handled so that quality is maintained throughout their shelf life.
- (ix) There is a procedure for Self-Inspection and/or quality audit, which regularly appraises the effectiveness and applicability of the Quality Assurance system.

Good Manufacturing Practice for Medicinal Products (GMP)

1.2 Good Manufacturing Practice is that part of Quality Assurance which ensures that products are consistently produced and controlled to the quality standards appropriate to their intended use and as required by the Marketing Authorization or product specification.

Good Manufacturing Practice is concerned with both production and Quality Control. The basic requirements of GMP are as follows:

- (i) All manufacturing processes are clearly defined, systematically reviewed in the light of experience, and shown to be capable of consistently manufacturing

- medicinal products of the required quality and complying with their specifications.
- (ii) Critical steps of manufacturing processes and significant changes to the process are validated.
 - (iii) All necessary facilities for GMP are provided, including
 - Appropriately qualified and trained personnel
 - Adequate premises and space
 - Suitable equipment and services
 - Correct materials, containers, and labels
 - Approved procedures and instructions; and suitable storage and transport
 - (iv) Instructions and procedures are written in an instructional form in clear and unambiguous language, specifically applicable to the facilities provided.
 - (v) Operators are trained to carry out procedures correctly.
 - (vi) Records are made, manually and/or by recording instruments, during manufacture, which demonstrate that all the steps required by the defined procedures and instructions were in fact taken and that the quantity and quality of the product were as expected. Any significant deviations are fully recorded and investigated.
 - (vii) Records of manufacture, including distribution, which enable the complete history of a batch to be traced, are retained in a comprehensible and accessible form.
 - (viii) The distribution (wholesaling) of the products minimizes any risk to their quality.
 - (ix) A system is available to recall any batch of product from sale or supply.
 - (x) Complaints about marketed products are examined, the causes of quality defects investigated, and appropriate measures taken in respect of the defective products and to prevent reoccurrence.

Quality Control

1.3 Quality Control is that part of Good Manufacturing Practice which is concerned with sampling, specifications, and testing, and with the organization, documentation, and release procedures that ensure that the necessary and relevant tests are actually carried out and that materials are not released for use, or products released for sale or supply, until their quality has been judged to be satisfactory.

The basic requirements of Quality Control are as follows:

- (i) Adequate facilities, trained personnel, and approved procedures are available for sampling, inspecting, and testing starting materials, packaging materials, intermediate, bulk, and finished products, and where appropriate, monitoring environmental conditions for GMP purposes.
- (ii) Samples of starting materials, packaging materials, intermediate products, bulk products, and finished products are taken by personnel and by methods approved by Quality Control.

- (iii) Test methods are validated.
- (iv) Records are made, manually and/or by recording instruments, that demonstrate that all the required sampling, inspecting, and testing procedures were actually carried out. Any deviations are fully recorded and investigated.
- (v) The finished products contain active ingredients complying with the qualitative and quantitative composition of the Marketing Authorization, are of the purity required, and are enclosed within their proper containers and correctly labeled.
- (vi) Records are made of the results of inspection and that the testing of materials, intermediate, bulk, and finished products is formally assessed against specification. Product assessment includes a review and evaluation of relevant production documentation and an assessment of deviations from specified procedures.
- (vii) No batch of product is released for sale or supply prior to certification by a Qualified Person that it is in accordance with the requirements of the relevant authorizations.
- (viii) Sufficient reference samples of starting materials and products are retained to permit future examination of the product if necessary, and the product is retained in its final pack unless exceptionally large packs are produced.

Product Quality Review

1.4 Regular periodic or rolling quality reviews of all licensed medicinal products, including export-only products, should be conducted with the objective of verifying the consistency of the existing process, the appropriateness of current specifications for both starting materials and finished product to highlight any trends, and to identify product and process improvements. Such reviews should normally be conducted and documented annually, taking into account previous reviews, and should include at least

- (i) A review of starting materials including packaging materials used in the product, especially those from new sources
- (ii) A review of critical in-process controls and finished product results
- (iii) A review of all batches that failed to meet established specification(s) and their investigation
- (iv) A review of all significant deviations or nonconformances, their related investigations, and the effectiveness of resultant corrective and preventative actions taken
- (v) A review of all changes carried out to the processes or analytical methods
- (vi) A review of Marketing Authorization variations submitted/granted/refused, including those for third country (export-only) dossiers
- (vii) A review of the results of the stability monitoring program and any adverse trends

- (viii) A review of all quality-related returns, complaints, and recalls and the investigations performed at the time
- (ix) A review of the adequacy of any other previous product, process, or equipment corrective actions
- (x) For new Marketing Authorizations and variations to Marketing Authorizations, a review of postmarketing commitments
- (xi) The qualification status of relevant equipment and utilities; for example, heating, ventilation, and air-conditioning (HVAC), water, and compressed gases
- (xii) A review of any contractual arrangements to ensure that they are up to date

The manufacturer and Marketing Authorization holder should evaluate the results of this review, where different, and an assessment should be made of whether corrective and preventative action or any revalidation should be undertaken. The reasons for such corrective actions should be documented. Agreed corrective and preventative actions should be completed in a timely and effective manner. There should be management procedures for the ongoing management and review of these actions, and the effectiveness of these procedures should be verified during self-inspection. Quality reviews may be grouped by product type, for example, solid dosage forms, liquid dosage forms, and sterile products, where scientifically justified.

Where the Marketing Authorization holder is not the manufacturer, there should be a technical agreement in place between the various parties that defines their respective responsibilities in producing the quality review. The Qualified Person responsible for final batch certification together with the Marketing Authorization holder should ensure that the quality review is performed in a timely manner and is accurate.

Quality Risk Management

1.5 Quality Risk Management is a systematic process for the assessment, control, communication, and review of risks to the quality of the medicinal product. It can be applied both proactively and retrospectively.

1.6 The Quality Risk Management system should ensure that

- The evaluation of the risk to quality is based on scientific knowledge and experience with the process and ultimately, links to the protection of the patient.
- The level of effort, formality, and documentation of the Quality Risk Management process is commensurate with the level of risk.

CHAPTER 2: PERSONNEL

Principle

The establishment and maintenance of a satisfactory system of Quality Assurance and the correct manufacture of medicinal products relies upon people. For this reason, there must be sufficient qualified personnel to carry out all the tasks that are the responsibility of the manufacturer. Individual

responsibilities should be clearly understood by the individuals and recorded. All personnel should be aware of the principles of Good Manufacturing Practice that affect them and receive initial and continuing training, including hygiene instructions, relevant to their needs.

General

2.1 The manufacturer should have an adequate number of personnel with the necessary qualifications and practical experience. The responsibilities placed on any one individual should not be so extensive as to present any risk to quality.

2.2 The manufacturer must have an organization chart. People in responsible positions should have specific duties recorded in written job descriptions and adequate authority to carry out their responsibilities. Their duties may be delegated to designated deputies of a satisfactory qualification level. There should be no gaps or unexplained overlaps in the responsibilities of those personnel concerned with the application of Good Manufacturing Practice.

Key Personnel

2.3 Key Personnel include the head of Production, the head of Quality Control, and if at least one of these persons is not responsible for the duties described in Article 51 of Directive 2001/83/EC, the Qualified Person(s) designated for the purpose. Normally, key posts should be occupied by full-time personnel. The heads of Production and Quality Control must be independent of each other. In large organizations, it may be necessary to delegate some of the functions listed in 2.5, 2.6, and 2.7.

2.4 The duties of the Qualified Person(s) are fully described in Article 51 of Directive 2001/83/EC and can be summarized as follows:

- For medicinal products manufactured within the European Community, a Qualified Person must ensure that each batch has been produced and tested/checked in accordance with the directives and the Marketing Authorization.
- For medicinal products manufactured outside the European Community, a Qualified Person must ensure that each imported batch has undergone, in the importing country, the testing specified in paragraph 1 (b) of Article 51; Article 55 of Directive 2001/82/EC (2). According to Directive 75/319/EEC (now codified Directive 2001/83/EC) and the Ruling (Case 247/81) of the Court of Justice of the European Communities, medicinal products that have been properly controlled in the EU by a Qualified Person do not have to be recontrolled or rechecked in any other member state of the Community.
- A Qualified Person must certify in a register or equivalent document, as operations are carried out and before any release, that each production batch satisfies the provisions of Article 51. The persons responsible for these duties must meet the qualification requirements laid down in Article 493 of the

same Directive, and they shall be permanently and continuously at the disposal of the holder of the manufacturing authorization to carry out their responsibilities. Their responsibilities may be delegated, but only to other Qualified Person(s).

2.5 The head of the Production Department generally has the following responsibilities:

- (i) To ensure that products are produced and stored according to the appropriate documentation in order to obtain the required quality
- (ii) To approve the instructions relating to production operations and to ensure their strict implementation
- (iii) To ensure that the production records are evaluated and signed by an authorized person before they are sent to the Quality Control Department
- (iv) To check the maintenance of his or her department, premises, and equipment
- (v) To ensure that the appropriate validations are done
- (vi) To ensure that the required initial and continuing training of his or her department personnel is carried out and adapted according to need

2.6 The head of the Quality Control Department generally has the following responsibilities:

- (i) To approve or reject, as he or she sees fit, starting materials, packaging materials, and intermediate, bulk and finished products
- (ii) To evaluate batch records
- (iii) To ensure that all necessary testing is carried out
- (iv) To approve specifications, sampling instructions, test methods, and other Quality Control procedures
- (v) To approve and monitor any contract analysts
- (vi) To check the maintenance of his or her department, premises, and equipment
- (vii) To ensure that the appropriate validations are done
- (viii) To ensure that the required initial and continuing training of his or her department personnel is carried out and adapted according to need

Other duties of the Quality Control Department are summarized in Chapter 6.

2.7 The heads of Production and Quality Control generally have some shared, or jointly exercised, responsibilities relating to quality. These may include, subject to any national regulations,

- The authorization of written procedures and other documents, including amendments
- The monitoring and control of the manufacturing environment
- Plant hygiene
- Process validation
- Training
- The approval and monitoring of suppliers of materials

- The approval and monitoring of contract manufacturers
- The designation and monitoring of storage conditions for materials and products
- The retention of records
- The monitoring of compliance with the requirements of Good Manufacturing Practice
- The inspection, investigation, and taking of samples in order to monitor factors that may affect product quality

Training

2.8 The manufacturer should provide training for all the personnel whose duties take them into production areas or into control laboratories (including the technical, maintenance, and cleaning personnel) and for other personnel whose activities could affect the quality of the product.

2.9 Besides the basic training on the theory and practice of Good Manufacturing Practice, newly recruited personnel should receive training appropriate to the duties assigned to them. Continuing training should also be given, and its practical effectiveness should be periodically assessed. Training programs should be available, approved by either the head of Production or the head of Quality Control, as appropriate. Training records should be kept.

2.10 Personnel working in areas where contamination is a hazard, for example, clean areas or areas where highly active, toxic, infectious, or sensitizing materials are handled, should be given specific training.

2.11 Visitors or untrained personnel should, preferably, not be taken into the production and Quality Control areas. If this is unavoidable, they should be given information in advance, particularly about personal hygiene and the prescribed protective clothing. They should be closely supervised.

2.12 The concept of Quality Assurance and all the measures capable of improving its understanding and implementation should be fully discussed during the training sessions.

Personnel Hygiene

2.13 Detailed hygiene programs should be established and adapted to the different needs within the factory. They should include procedures relating to the health, hygiene practices, and clothing of personnel. These procedures should be understood and followed in a very strict way by every person whose duties take him or her into the production and control areas. Hygiene programs should be promoted by management and widely discussed during training sessions.

2.14 All personnel should receive medical examination upon recruitment. It must be the manufacturer's responsibility that there are instructions ensuring that health conditions that can be of relevance to the quality of products come to the manufacturer's knowledge. After the first medical examination, examinations should be carried out when necessary for the work and personal health.

2.15 Steps should be taken to ensure as far as is practicable that no person affected by an infectious disease or having open lesions on the exposed surface of the body is engaged in the manufacture of medicinal products.

2.16 Every person entering the manufacturing areas should wear protective garments appropriate to the operations to be carried out.

2.17 Eating, drinking, chewing, or smoking, or the storage of food, drink, smoking materials, or personal medication, in the production and storage areas should be prohibited. In general, any unhygienic practice within the manufacturing areas or in any other area where the product might be adversely affected should be forbidden.

2.18 Direct contact should be avoided between the operator's hands and the exposed product as well as with any part of the equipment that comes into contact with the products.

2.19 Personnel should be instructed to use the hand-washing facilities.

2.20 Any specific requirements for the manufacture of special groups of products, for example, sterile preparations, are covered in the annexes.

CHAPTER 3: PREMISES AND EQUIPMENT

Principle

Premises and equipment must be located, designed, constructed, adapted, and maintained to suit the operations to be carried out. Their layout and design must aim to minimize the risk of errors and permit effective cleaning and maintenance in order to avoid cross-contamination, the build-up of dust or dirt, and in general, any adverse effect on the quality of products.

Premises

General

3.1 Premises should be situated in an environment that, when considered together with measures to protect the manufacture, presents minimal risk of causing contamination of materials or products.

3.2 Premises should be carefully maintained, ensuring that repair and maintenance operations do not present any hazard to the quality of products. They should be cleaned and where applicable, disinfected according to detailed written procedures.

3.3 Lighting, temperature, humidity, and ventilation should be appropriate and such that they do not adversely affect, directly or indirectly, either the medicinal products during their manufacture and storage or the accurate functioning of equipment.

3.4 Premises should be designed and equipped so as to afford maximum protection against the entry of insects or other animals.

3.5 Steps should be taken in order to prevent the entry of unauthorized people. Production, storage, and Quality Control areas should not be used as a right of way by personnel who do not work in them.

Production Area

3.6 In order to minimize the risk of a serious medical hazard due to cross-contamination, dedicated and self-contained

facilities must be available for the production of particular medicinal products, such as highly sensitizing materials (e.g., penicillins) or biological preparations (e.g., from live microorganisms). The production of certain additional products, such as certain antibiotics, certain hormones, certain cytotoxics, and certain highly active drugs and nonmedicinal products, should not be conducted in the same facilities. For those products, in exceptional cases, the principle of campaign working in the same facilities can be accepted provided that specific precautions are taken and the necessary validations are made. The manufacture of technical poisons, such as pesticides and herbicides, should not be allowed in premises used for the manufacture of medicinal products.

3.7 Premises should preferably be laid out in such a way as to allow the production to take place in areas connected in a logical order corresponding to the sequence of the operations and to the requisite cleanliness levels.

3.8 The adequacy of the working and in-process storage space should permit the orderly and logical positioning of equipment and materials so as to minimize the risk of confusion between different medicinal products or their components, to avoid cross-contamination, and to minimize the risk of omission or wrong application of any of the manufacturing or control steps.

3.9 Where starting and primary packaging materials and intermediate or bulk products are exposed to the environment, interior surfaces (walls, floors, and ceilings) should be smooth and free from cracks and open joints, should not shed particulate matter, and should permit easy and effective cleaning and if necessary, disinfection.

3.10 Pipework, light fittings, ventilation points, and other services should be designed and sited to avoid the creation of recesses that are difficult to clean. As far as possible, for maintenance purposes, they should be accessible from outside the manufacturing areas.

3.11 Drains should be of adequate size and have trapped gullies. Open channels should be avoided where possible, but if necessary, they should be shallow to facilitate cleaning and disinfection.

3.12 Production areas should be effectively ventilated, with air-control facilities (including temperature and where necessary, humidity and filtration) appropriate to the products handled, to the operations undertaken within them, and to the external environment.

3.13 Weighing of starting materials usually should be carried out in a separate weighing room designed for that use.

3.14 In cases where dust is generated (e.g., during sampling, weighing, mixing and processing operations or in the packaging of dry products), specific provisions should be taken to avoid cross-contamination and facilitate cleaning.

3.15 Premises for the packaging of medicinal products should be specifically designed and laid out so as to avoid mix-ups or cross-contamination.

3.16 Production areas should be well lit, particularly where visual controls are carried out.

3.17 In-process controls may be carried out within the production area provided they do not carry any risk to the production.

Storage Areas

3.18 Storage areas should be of sufficient capacity to allow orderly storage of the various categories of materials and products: starting and packaging materials, intermediate, bulk and finished products, and products in quarantine, released, rejected, returned, or recalled.

3.19 Storage areas should be designed or adapted to ensure good storage conditions. In particular, they should be clean and dry and maintained within acceptable temperature limits. Where special storage conditions are required (e.g., temperature or humidity), these should be provided, checked, and monitored.

3.20 Receiving and dispatch bays should protect materials and products from the weather. Reception areas should be designed and equipped to allow containers of incoming materials to be cleaned where necessary before storage.

3.21 Where quarantine status is ensured by storage in separate areas, these areas must be clearly marked and their access restricted to authorized personnel. Any system replacing the physical quarantine should give equivalent security.

3.22 There should normally be a separate sampling area for starting materials. If sampling is performed in the storage area, it should be conducted in such a way as to prevent contamination or cross-contamination.

3.23 Segregated areas should be provided for the storage of rejected, recalled, or returned materials or products.

3.24 Highly active materials or products should be stored in safe and secure areas.

3.25 Printed packaging materials are considered critical to the conformity of the medicinal product, and special attention should be paid to the safe and secure storage of these materials.

Quality Control Areas

3.26 Normally, Quality Control laboratories should be separated from production areas. This is particularly important for laboratories for the control of biologicals, microbiologicals, and radioisotopes, which should also be separated from each other.

3.27 Control laboratories should be designed to suit the operations to be carried out in them. Sufficient space should be given to avoid mix-ups and cross-contamination. There should be adequate suitable storage space for samples and records.

3.28 Separate rooms may be necessary to protect sensitive instruments from vibration, electrical interference, humidity, and so forth.

3.29 Special requirements are needed in laboratories handling particular substances, such as biological or radioactive samples.

Ancillary Areas

3.30 Rest and refreshment rooms should be separate from other areas.

3.31 Facilities for changing clothes, washing, and toilet purposes should be easily accessible and appropriate for the number of users. Toilets should not directly communicate with production or storage areas.

3.32 Maintenance workshops should as far as possible be separated from production areas. Whenever parts and tools

are stored in the production area, they should be kept in rooms or lockers reserved for that use.

3.33 Animal houses should be well isolated from other areas, with separate entrance (animal access) and air-handling facilities.

Equipment

3.34 Manufacturing equipment should be designed, located, and maintained to suit its intended purpose.

3.35 Repair and maintenance operations should not present any hazard to the quality of the products.

3.36 Manufacturing equipment should be designed so that it can be easily and thoroughly cleaned. It should be cleaned according to detailed and written procedures and stored only in a clean and dry condition.

3.37 Washing and cleaning equipment should be chosen and used in such a way as not to be a source of contamination.

3.38 Equipment should be installed in such a way as to prevent any risk of error or of contamination.

3.39 Production equipment should not present any hazard to the products. The parts of the production equipment that come into contact with the product must not be reactive, additive, or absorptive to such an extent that they will affect the quality of the product and thus, present any hazard.

3.40 Balances and measuring equipment of an appropriate range and precision should be available for production and control operations.

3.41 Measuring, weighing, recording, and control equipment should be calibrated and checked at defined intervals by appropriate methods. Adequate records of such tests should be maintained.

3.42 Fixed pipework should be clearly labeled to indicate the contents and where applicable, the direction of flow.

3.43 Distilled, deionized, and where appropriate, other water pipes should be sanitized according to written procedures that detail the action limits for microbiological contamination and the measures to be taken.

3.44 Defective equipment should, if possible, be removed from production and Quality Control areas, or at least be clearly labeled as defective.

CHAPTER 4: DOCUMENTATION

Principle

Good documentation constitutes an essential part of the Quality Assurance system. Clearly written documentation prevents errors from spoken communication and permits tracing of batch history. Specifications, Manufacturing Formulae and instructions, procedures, and records must be free from errors and available in writing. The legibility of documents is of paramount importance.

General

4.1 *Specifications* describe in detail the requirements to which the products or materials used or obtained during manufacture have to conform. They serve as a basis for quality evaluation.

Manufacturing Formulae, Processing, and Packaging Instructions state all the starting materials used and lay down all processing and packaging operations.

Procedures give directions for performing certain operations: for example, cleaning, clothing, environmental control, sampling, testing, and equipment operation.

Records provide a history of each batch of product, including its distribution, and also of all other relevant circumstances pertinent to the quality of the final product.

4.2 Documents should be designed, prepared, reviewed, and distributed with care. They should comply with the relevant parts of the manufacturing and Marketing Authorization dossiers.

4.3 Documents should be approved, signed, and dated by appropriate and authorized persons.

4.4 Documents should have unambiguous contents; the title, nature, and purpose should be clearly stated. They should be laid out in an orderly fashion and be easy to check. Reproduced documents should be clear and legible. The reproduction of working documents from master documents must not allow any error to be introduced through the reproduction process.

4.5 Documents should be regularly reviewed and kept up to date. When a document has been revised, systems should be operated to prevent inadvertent use of superseded documents.

4.6 Documents should not be handwritten, although where documents require the entry of data, these entries may be made in clear, legible, and indelible handwriting. Sufficient space should be provided for such entries.

4.7 Any alteration made to the entry on a document should be signed and dated; the alteration should permit the reading of the original information. Where appropriate, the reason for the alteration should be recorded.

4.8 The records should be made or completed at the time each action is taken and in such a way that all significant activities concerning the manufacture of medicinal products are traceable. They should be retained for at least 1 year after the expiry date of the finished product.

4.9 Data may be recorded by electronic data processing systems or photographic or other reliable means, but detailed procedures relating to the system in use should be available, and the accuracy of the records should be checked. If documentation is handled by electronic data processing methods, only authorized persons should be able to enter or modify data in the computer, and there should be a record of changes and deletions; access should be restricted by passwords or other means, and the result of entry of critical data should be independently checked. Batch records electronically stored should be protected by backup transfer on magnetic tape, microfilm, paper, or other means. It is particularly important that the data are readily available throughout the period of retention.

4.10 There should be appropriately authorized and dated specifications for starting and packaging materials, and finished products; where appropriate, they should be also available for intermediate or bulk products.

Specifications for Starting and Packaging Materials

4.11 Specifications for starting and primary or printed packaging materials should include, if applicable,

- A description of the materials, including
- The designated name and the internal code reference
- The reference, if any, to a pharmacopoeial monograph
- The approved suppliers and if possible, the original producer of the products
- (a) Specimens of printed materials, (b) directions for sampling and testing or reference to procedures, (c) qualitative and quantitative requirements with acceptance limits, and (d) storage conditions and precautions
- The maximum period of storage before reexamination

Specifications for Intermediate and Bulk Products

4.12 Specifications for intermediate and bulk products should be available if these are purchased or dispatched, or if data obtained from intermediate products are used for the evaluation of the finished product. The specifications should be similar to specifications for starting materials or for finished products, as appropriate.

Specifications for Finished Products

4.13 Specifications for finished products should include (a) the designated name of the product and the code reference where applicable; (b) the formula or a reference to it; (c) a description of the pharmaceutical form and package details; (d) directions for sampling and testing or a reference to procedures; (e) the qualitative and quantitative requirements, with the acceptance limits; (f) the storage conditions and any special handling precautions, where applicable; and (g) the shelf life.

Manufacturing Formula and Processing Instructions

Formally authorized Manufacturing Formula and Processing Instructions should exist for each product and batch size to be manufactured. They are often combined in one document.

4.14 The Manufacturing Formula should include (a) the name of the product, with a product reference code relating to its specification; (b) a description of the pharmaceutical form, strength of the product, and batch size; (c) a list of all starting materials to be used, with the amount of each, described using the designated name and a reference that is unique to that material; mention should be made of any substance that may disappear in the course of processing; and (d) a statement of the expected final yield, with the acceptable limits, and of relevant intermediate yields, where applicable.

4.15 The Processing Instructions should include (a) a statement of the processing location and the principal equipment to be used; (b) the methods, or reference to the methods, to be used for preparing the critical equipment (e.g., cleaning, assembling, calibrating, and sterilizing); (c) detailed stepwise processing instructions (e.g., checks on materials, pretreatments, sequence for adding materials, mixing times, and temperatures); (d) the instructions for any in-process controls with their limits; (e) where necessary, the requirements for bulk storage of the products, including the container, labeling, and special storage conditions where applicable; and (f) any special precautions to be observed.

Packaging Instructions

4.16 There should be formally authorized Packaging Instructions for each product, pack size, and type. These should normally include, or have a reference to, the following:

- (a) Name of the product
- (b) Description of its pharmaceutical form, and strength where applicable
- (c) The pack size expressed in terms of the number, weight, or volume of the product in the final container
- (d) A complete list of all the packaging materials required for a standard batch size, including quantities, sizes, and types, with the code or reference number relating to the specifications of each packaging material
- (e) Where appropriate, an example or reproduction of the relevant printed packaging materials, and specimens indicating where to apply batch number references and shelf life of the product
- (f) Special precautions to be observed, including a careful examination of the area and equipment in order to ascertain the line clearance before operations begin
- (g) A description of the packaging operation, including any significant subsidiary operations, and equipment to be used
- (h) Details of in-process controls with instructions for sampling and acceptance limits

Batch Processing Records

4.17 A Batch Processing Record should be kept for each batch processed. It should be based on the relevant parts of the currently approved Manufacturing Formula and Processing Instructions. The method of preparation of such records should be designed to avoid transcription errors. The record should carry the number of the batch being manufactured.

Before any processing begins, there should be recorded checks that the equipment and work station are clear of previous products, documents, or materials not required for the planned process, and that equipment is clean and suitable for use.

During processing, the following information should be recorded at the time each action is taken, and after completion, the record should be dated and signed in agreement by the person responsible for the processing operations:

- (a) The name of the product
- (b) Dates and times of commencement, of significant intermediate stages, and of completion of production
- (c) Name of the person responsible for each stage of production
- (d) Initials of the operator of different significant steps of production and where appropriate, of the person who checked each of these operations (e.g., weighing)
- (e) The batch number and/or analytical control number as well as the quantities of each starting material actually weighed (including the batch number and amount of any recovered or reprocessed material added)
- (f) Any relevant processing operation or event and major equipment used

- (g) A record of the in-process controls, the initials of the person(s) carrying them out, and the results obtained
- (h) The product yield obtained at different and pertinent stages of manufacture
- (i) Notes on special problems, including details, with signed authorization for any deviation from the Manufacturing Formula and Processing Instructions

Batch Packaging Records

4.18 A Batch Packaging Record should be kept for each batch or part batch processed. It should be based on the relevant parts of the Packaging Instructions, and the method of preparation of such records should be designed to avoid transcription errors. The record should carry the batch number and the quantity of bulk product to be packed, as well as the batch number and the planned quantity of finished product that will be obtained.

Before any packaging operation begins, there should be recorded checks that the equipment and work station are clear of previous products, documents, or materials not required for the planned packaging operations, and that equipment is clean and suitable for use.

The following information should be entered at the time each action is taken, and after completion, the record should be dated and signed in agreement by the person(s) responsible for the packaging operations:

- (a) The name of the product
- (b) The date(s) and times of the packaging operations
- (c) The name of the responsible person carrying out the packaging operation
- (d) The initials of the operators of the different significant steps
- (e) Records of checks for identity and conformity with the packaging instructions, including the results of in-process controls
- (f) Details of the packaging operations carried out, including references to equipment and the packaging lines used
- (g) Whenever possible, samples of printed packaging materials used, including specimens of the batch coding, expiry dating, and any additional overprinting
- (h) Notes on any special problems or unusual events, including details, with signed authorization for any deviation from the Manufacturing Formula and Processing Instructions
- (i) The quantities and reference number or identification of all printed packaging materials and bulk product issued, used, destroyed, or returned to stock, and the quantities of obtained product, in order to provide for an adequate reconciliation

Procedures and Records*Receipt*

4.19 There should be written procedures and records for the receipt of each delivery of each starting and primary and printed packaging material.

4.20 The records of the receipts should include (a) the name of the material on the delivery note and the containers; (b) the “in-house” name and/or code of material (if different from a); (c) date of receipt; (d) supplier’s name and if possible, manufacturer’s name; (e) manufacturer’s batch or reference number; (f) total quantity and number of containers received; (g) the batch number assigned after receipt; and (h) any relevant comment (e.g., state of the containers).

4.21 There should be written procedures for the internal labeling, quarantine, and storage of starting materials, packaging materials, and other materials, as appropriate.

Sampling

4.22 There should be written procedures for sampling, which include the person(s) authorized to take samples, the methods and equipment to be used, the amounts to be taken, and any precautions to be observed to avoid contamination of the material or any deterioration in its quality (see Chapter 6, item 13).

Testing

4.23 There should be written procedures for testing materials and products at different stages of manufacture, describing the methods and equipment to be used. The tests performed should be recorded (see Chapter 6, item 17).

Other

4.24 Written release and rejection procedures should be available for materials and products, and in particular, for the release for sale of the finished product by the Qualified Person(s) in accordance with the requirements of Article 51 of Directive 2001/83/EC

4.25 Records should be maintained of the distribution of each batch of a product in order to facilitate the recall of the batch if necessary.

4.26 There should be written procedures and the associated records of actions taken or conclusions reached, where appropriate, for

- Validation
- Equipment assembly and calibration
- Maintenance, cleaning, and sanitation
- Personnel matters, including training, clothing, and hygiene
- Environmental monitoring
- Pest control
- Complaints
- Recalls
- Returns

4.27 Clear operating procedures should be available for major items of manufacturing and test equipment.

4.28 Logbooks should be kept for major or critical equipment, recording, as appropriate, any validations, calibrations, maintenance, cleaning, or repair operations, including the dates and identity of people who carried these operations out.

4.29 Logbooks should also record in chronological order the use of major or critical equipment and the areas where the products have been processed.

CHAPTER 5: PRODUCTION

Principle

Production operations must follow clearly defined procedures; they must comply with the principles of Good Manufacturing Practice in order to obtain products of the requisite quality and be in accordance with the relevant manufacturing and Marketing Authorizations.

General

5.1 Production should be performed and supervised by competent people.

5.2 All handling of materials and products, such as receipt and quarantine, sampling, storage, labeling, dispensing, processing, packaging, and distribution, should be done in accordance with written procedures or instructions and where necessary, recorded.

5.3 All incoming materials should be checked to ensure that the consignment corresponds to the order. Containers should be cleaned where necessary and labeled with the prescribed data.

5.4 Damage to containers and any other problem that might adversely affect the quality of a material should be investigated, recorded, and reported to the Quality Control Department.

5.5 Incoming materials and finished products should be physically or administratively quarantined immediately after receipt or processing until they have been released for use or distribution.

5.6 Intermediate and bulk products purchased as such should be handled on receipt as though they were starting materials.

5.7 All materials and products should be stored under the appropriate conditions established by the manufacturer and in an orderly fashion to permit batch segregation and stock rotation.

5.8 Checks on yields, and reconciliation of quantities, should be carried out as necessary to ensure that there are no discrepancies outside acceptable limits.

5.9 Operations on different products should not be carried out simultaneously or consecutively in the same room unless there is no risk of mix-up or cross-contamination.

5.10 At every stage of processing, products and materials should be protected from microbial and other contamination.

5.11 When working with dry materials and products, special precautions should be taken to prevent the generation and dissemination of dust. This applies particularly to the handling of highly active or sensitizing materials.

5.12 At all times during processing, all materials, bulk containers, major items of equipment, and where appropriate, rooms used should be labeled or otherwise identified with an indication of the product or material being processed, its strength (where applicable), and the batch number. Where

applicable, this indication should also mention the stage of production and batch number.

5.13 Labels applied to containers, equipment, or premises should be clear, unambiguous, and in the company's agreed format. It is often helpful, in addition to the wording on the labels, to use colors to indicate status (e.g., quarantined, accepted, rejected, clean, under processing, etc.).

5.14 Checks should be carried out to ensure that pipelines and other pieces of equipment used for the transportation of products from one area to another are connected in a correct manner.

5.15 Any deviation from instructions or procedures should be avoided as far as possible. If a deviation occurs, it should be approved in writing by a competent person, with the involvement of the Quality Control Department when appropriate.

5.16 Access to production premises should be restricted to authorized personnel.

5.17 Normally, the production of nonmedicinal products should be avoided in areas and with equipment destined for the production of medicinal products.

Prevention of Cross-Contamination in Production

5.18 Contamination of a starting material or of a product by another material or product must be avoided. This risk of accidental cross-contamination arises from the uncontrolled release of dust, gases, vapors, sprays, or organisms from materials and products in process, from residues on equipment, and from operators' clothing. The significance of this risk varies with the type of contaminant and of product being contaminated. Among the most hazardous contaminants are highly sensitizing materials, biological preparations containing living organisms, certain hormones, cytotoxics, and other highly active materials. Products in which contamination is likely to be most significant are those administered by injection and those given in large doses and/or over a long time.

5.19 Cross-contamination should be avoided by appropriate technical or organizational measures: for example, (a) production in segregated areas (required for products such as penicillins, live vaccines, live bacterial preparations, and some other biologicals) or by campaign (separation in time) followed by appropriate cleaning; (b) providing appropriate air locks and air extraction; (c) minimizing the risk of contamination caused by recirculation or reentry of untreated or insufficiently treated air; (d) keeping protective clothing inside areas where products with special risk of cross-contamination are processed; (e) using cleaning and decontamination procedures of known effectiveness, as ineffective cleaning of equipment is a common source of cross-contamination; (f) using "closed systems" of production; and (g) testing for residues and use of cleaning status labels on equipment.

5.20 Measures to prevent cross-contamination and their effectiveness should be checked periodically according to set procedures.

Validation

5.21 Validation studies should reinforce Good Manufacturing Practice and be conducted in accordance with defined procedures. Results and conclusions should be recorded.

5.22 When any new manufacturing formula or method of preparation is adopted, steps should be taken to demonstrate its suitability for routine processing. The defined process, using the materials and equipment specified, should be shown to yield a product consistently of the required quality.

5.23 Significant amendments to the manufacturing process, including any change in equipment or materials, that may affect product quality and/or the reproducibility of the process should be validated.

5.24 Processes and procedures should undergo periodic critical revalidation to ensure that they remain capable of achieving the intended results.

Starting Materials

5.25 The purchase of starting materials is an important operation, which should involve staff who have a particular and thorough knowledge of the suppliers.

5.26 Starting materials should only be purchased from approved suppliers named in the relevant specification and where possible, directly from the producer. It is recommended that the specifications established by the manufacturer for the starting materials be discussed with the suppliers. It is of benefit that all aspects of the production and control of the starting material in question, including handling, labeling, and packaging requirements, as well as complaints and rejection procedures, are discussed with the manufacturer and the supplier.

5.27 For each delivery, the containers should be checked for integrity of package and seal and for correspondence between the delivery note and the supplier's labels.

5.28 If one material delivery is made up of different batches, each batch must be considered as separate for sampling, testing, and release.

5.29 Starting materials in the storage area should be appropriately labeled (see Chapter 5, item 13). Labels should bear at least the following information:

- The designated name of the product and the internal code reference where applicable
- A batch number given at receipt
- Where appropriate, the status of the contents (e.g., in quarantine, on test, released, or rejected)
- Where appropriate, an expiry date or a date beyond which retesting is necessary

When fully computerized storage systems are used, not all the above information need necessarily be in a legible form on the label.

5.30 There should be appropriate procedures or measures to ensure the identity of the contents of each container of starting material. Bulk containers from which samples have been drawn should be identified (see Chapter 6, item 13).

5.31 Only starting materials that have been released by the Quality Control Department and are within their shelf life should be used.

5.32 Starting materials should only be dispensed by designated persons, following a written procedure, to ensure that

the correct materials are accurately weighed or measured into clean and properly labeled containers.

5.33 Each dispensed material and its weight or volume should be independently checked and the check recorded.

5.34 Materials dispensed for each batch should be kept together and conspicuously labeled as such.

Processing Operations: Intermediate and Bulk Products

5.35 Before any processing operation is started, steps should be taken to ensure that the work area and equipment are clean and free from any starting materials, products, product residues, or documents not required for the current operation.

5.36 Intermediate and bulk products should be kept under appropriate conditions.

5.37 Critical processes should be validated (see "Validation" in this chapter).

5.38 Any necessary in-process controls and environmental controls should be carried out and recorded.

5.39 Any significant deviation from the expected yield should be recorded and investigated.

Packaging Materials

5.40 The purchase, handling, and control of primary and printed packaging materials shall be accorded attention similar to that given to starting materials.

5.41 Particular attention should be paid to printed materials. They should be stored in adequately secure conditions to exclude unauthorized access. Cut labels and other loose printed materials should be stored and transported in separate closed containers so as to avoid mix-ups. Packaging materials should be issued for use only by authorized personnel following an approved and documented procedure.

5.42 Each delivery or batch of printed or primary packaging material should be given a specific reference number or identification mark.

5.43 Outdated or obsolete primary packaging material or printed packaging material should be destroyed and this disposal recorded.

Packaging Operations

5.44 When a program for the packaging operations is being set up, particular attention should be given to minimizing the risk of cross-contamination, mix-ups, or substitutions. Different products should not be packaged in close proximity unless there is physical segregation.

5.45 Before packaging operations are begun, steps should be taken to ensure that the work area, packaging lines, printing machines, and other equipment are clean and free from any products, materials, or documents previously used, if these are not required for the current operation. The line clearance should be performed according to an appropriate checklist.

5.46 The name and batch number of the product being handled should be displayed at each packaging station or line.

5.47 All products and packaging materials to be used should be checked on delivery to the packaging department for quantity, identity, and conformity with the Packaging Instructions.

5.48 Containers for filling should be clean before filling. Attention should be given to avoiding and removing any contaminants such as glass fragments and metal particles.

5.49 Normally, filling and sealing should be followed as quickly as possible by labeling. If this is not the case, appropriate procedures should be applied to ensure that no mix-ups or mislabeling can occur.

5.50 The correct performance of any printing operation (e.g., code numbers or expiry dates) to be done separately or in the course of the packaging should be checked and recorded. Attention should be paid to printing by hand, which should be rechecked at regular intervals.

5.51 Special care should be taken when using cut labels and when overprinting is carried out off-line. Rollfeed labels are normally preferable to cut labels in helping to avoid mix-ups.

5.52 Checks should be made to ensure that any electronic code readers, label counters, or similar devices are operating correctly.

5.53 Printed and embossed information on packaging materials should be distinct and resistant to fading or erasing.

5.54 Online control of the product during packaging should include at least checking the following:

- (a) General appearance of the packages
- (b) Whether the packages are complete
- (c) Whether the correct products and packaging materials are used
- (d) Whether any overprinting is correct
- (e) Correct functioning of line monitors

Samples taken away from the packaging line should not be returned.

5.55 Products that have been involved in an unusual event should only be reintroduced into the process after special inspection, investigation, and approval by authorized personnel. A detailed record should be kept of this operation.

5.56 Any significant or unusual discrepancy observed during reconciliation of the amount of bulk product and printed packaging materials and the number of units produced should be investigated and satisfactorily accounted for before release.

5.57 Upon completion of a packaging operation, any unused batch-coded packaging materials should be destroyed and the destruction recorded. A documented procedure should be followed if uncoded printed materials are returned to stock.

Finished Products

5.58 Finished products should be held in quarantine until their final release under conditions established by the manufacturer.

5.59 The evaluation of finished products and documentation that is necessary before the release of product for sale is described in Chapter 6 (Quality Control).

5.60 After release, finished products should be stored as usable stock under conditions established by the manufacturer.

Rejected, Recovered, and Returned Materials

5.61 Rejected materials and products should be clearly marked as such and stored separately in restricted areas. They

should either be returned to the suppliers or where appropriate, reprocessed or destroyed. Whatever action is taken should be approved and recorded by authorized personnel.

5.62 The reprocessing of rejected products should be exceptional. It is only permitted if the quality of the final product is not affected, if the specifications are met, and if it is done in accordance with a defined and authorized procedure after evaluation of the risks involved. A record should be kept of the reprocessing.

5.63 The recovery of all or part of earlier batches that conform to the required quality by incorporation into a batch of the same product at a defined stage of manufacture should be authorized beforehand. This recovery should be carried out in accordance with a defined procedure after evaluation of the risks involved, including any possible effect on shelf life. The recovery should be recorded.

5.64 The need for additional testing of any finished product that has been reprocessed, or into which a recovered product has been incorporated, should be considered by the Quality Control Department.

5.65 Products returned from the market and that have left the control of the manufacturer should be destroyed unless without doubt their quality is satisfactory; they may be considered for resale, relabeling, or recovery in a subsequent batch only after they have been critically assessed by the Quality Control Department in accordance with a written procedure. The nature of the product, any special storage conditions it requires, its condition and history, and the time elapsed since it was issued should all be taken into account in this assessment. Where any doubt arises over the quality of the product, it should not be considered suitable for reissue or reuse, although basic chemical reprocessing to recover active ingredient may be possible. Any action taken should be appropriately recorded.

CHAPTER 6: QUALITY CONTROL

Principle

Quality Control is concerned with sampling, specifications, and testing as well as the organization, documentation, and release procedures that ensure that the necessary and relevant tests are carried out and that materials are not released for use, or products released for sale or supply, until their quality has been judged satisfactory. Quality Control is not confined to laboratory operations but must be involved in all decisions that may concern the quality of the product. The independence of Quality Control from Production is considered fundamental to the satisfactory operation of Quality Control (see also Chapter 1).

General

6.1 Each holder of a manufacturing authorization should have a Quality Control Department. This department should be independent of other departments and under the authority of a person with appropriate qualifications and experience, who has one or several control laboratories at his or her disposal. Adequate resources must be available to ensure that all the

Quality Control arrangements are effectively and reliably carried out.

6.2 The principal duties of the head of Quality Control are summarized in Chapter 2. The Quality Control Department as a whole will also have other duties, such as to establish, validate, and implement all Quality Control procedures, keep the reference samples of materials and products, ensure the correct labeling of containers of materials and products, ensure the monitoring of the stability of the products, participate in the investigation of complaints related to the quality of the product, and so forth. All these operations should be carried out in accordance with written procedures and where necessary, recorded.

6.3 Finished product assessment should embrace all relevant factors, including production conditions, results of in-process testing, a review of manufacturing (including packaging) documentation, compliance with Finished Product Specification, and examination of the final finished pack.

6.4 Quality Control personnel should have access to production areas for sampling and investigation as appropriate.

Good Quality Control Laboratory Practice

6.5 Control laboratory premises and equipment should meet the general and specific requirements for Quality Control areas given in Chapter 3.

6.6 The personnel, premises, and equipment in the laboratories should be appropriate to the tasks imposed by the nature and the scale of the manufacturing operations. The use of outside laboratories, in conformity with the principles detailed in Chapter 7, Contract Analysis, can be accepted for particular reasons, but this should be stated in the Quality Control records.

Documentation

6.7 Laboratory documentation should follow the principles given in Chapter 4. An important part of this documentation deals with Quality Control, and the following details should be readily available to the Quality Control Department:

- Specifications
- Sampling procedures
- testing procedures and records (including analytical worksheets and/or laboratory notebooks)
- Analytical reports and/or certificates
- Data from environmental monitoring, where required
- Validation records of test methods, where applicable
- Procedures for and records of the calibration of instruments and maintenance of equipment

6.8 Any Quality Control documentation relating to a batch record should be retained for 1 year after the expiry date of the batch and at least 5 years after the certification referred to in Article 51(3) of Directive 2001/83/EC.

6.9 For some kinds of data (e.g., analytical test results, yields, and environmental controls), it is recommended that records are kept in a manner permitting trend evaluation.

6.10 In addition to the information that is part of the batch record, other original data such as laboratory notebooks and/or records should be retained and readily available.

Sampling

6.11 The sample taking should be done in accordance with approved written procedures that describe

- The method of sampling
- The equipment to be used
- The amount of the sample to be taken
- Instructions for any required subdivision of the sample
- The type and condition of the sample container to be used
- The identification of containers sampled
- Any special precautions to be observed, especially with regard to the sampling of sterile or noxious materials
- The storage conditions
- Instructions for the cleaning and storage of sampling equipment

6.12 Reference samples should be representative of the batch of materials or products from which they are taken. Other samples may also be taken to monitor the most stressed part of a process (e.g., the beginning or end of a process).

6.13 Sample containers should bear a label indicating the contents, with the batch number, the date of sampling, and the containers from which samples have been drawn.

6.14 Further guidance on reference and retention samples is given in Annex 19.

Testing

6.15 Analytical methods should be validated. All testing operations described in the Marketing Authorization should be carried out according to the approved methods.

6.16 The results obtained should be recorded and checked to make sure that they are consistent with each other. Any calculations should be critically examined.

6.17 The tests performed should be recorded, and the records should include at least the following data: (a) name of the material or product and where applicable, dosage form; (b) batch number and where appropriate, the manufacturer and/or supplier; (c) references to the relevant specifications and testing procedures; (d) test results, including observations and calculations, and reference to any certificates of analysis; (e) dates of testing; (f) initials of the persons who performed the testing; (g) initials of the persons who verified the testing and the calculations, where appropriate; and (h) a clear statement of release or rejection (or other status decision) and the dated signature of the designated responsible person.

6.18 All the in-process controls, including those made in the production area by production personnel, should be performed according to methods approved by Quality Control and the results recorded.

6.19 Special attention should be given to the quality of laboratory reagents, volumetric glassware and solutions,

reference standards, and culture media. They should be prepared in accordance with written procedures.

6.20 Laboratory reagents intended for prolonged use should be marked with the preparation date and the signature of the person who prepared them. The expiry date of unstable reagents and culture media should be indicated on the label, together with specific storage conditions. In addition, for volumetric solutions, the last date of standardization and the last current factor should be indicated.

6.21 Where necessary, the date of receipt of any substance used for testing operations (e.g., reagents and reference standards) should be indicated on the container. Instructions for use and storage should be followed. In certain cases, it may be necessary to carry out an identification test and/or other testing of reagent materials upon receipt or before use.

6.22 Animals used for testing components, materials or products should, where appropriate, be quarantined before use. They should be maintained and controlled in a manner that ensures their suitability for the intended use. They should be identified, and adequate records should be maintained, showing the history of their use.

Ongoing Stability Program

6.23 After marketing, the stability of the medicinal product should be monitored according to a continuous appropriate program that will permit the detection of any stability issue (e.g., changes in levels of impurities or dissolution profile) associated with the formulation in the marketed package.

6.24 The purpose of the ongoing stability program is to monitor the product over its shelf life and to determine that the product remains, and can be expected to remain, within specifications under the labeled storage conditions.

6.25 This mainly applies to the medicinal product in the package in which it is sold, but consideration should also be given to the inclusion in the program of bulk product. For example, when the bulk product is stored for a long period before being packaged and/or shipped from a manufacturing site to a packaging site, the impact on the stability of the packaged product should be evaluated and studied under ambient conditions. In addition, consideration should be given to intermediates that are stored and used over prolonged periods. Stability studies on reconstituted product are performed during product development and need not be monitored on an ongoing basis. However, when relevant, the stability of reconstituted product can also be monitored.

6.26 The ongoing stability program should be described in a written protocol following the general rules of Chapter 4, and the results should be formalized as a report. The equipment used for the ongoing stability program (stability chambers, among others) should be qualified and maintained following the general rules of Chapter 3 and Annex 15.

6.27 The protocol for an ongoing stability program should extend to the end of the shelf-life period and should include, but not be limited to, the following parameters:

- Number of batch(es) per strength and different batch sizes, if applicable
- Relevant physical, chemical, microbiological, and biological test methods

- Acceptance criteria
- Reference to test methods
- Description of the container closure system(s)
- Testing intervals (time points)
- Description of the conditions of storage (standardized ICH conditions for long-term testing, consistent with the product labeling, should be used)
- Other applicable parameters specific to the medicinal product

6.28 The protocol for the ongoing stability program can be different from that of the initial long-term stability study as submitted in the Marketing Authorization dossier provided that this is justified and documented in the protocol (e.g., the frequency of testing, or when updating to ICH recommendations).

6.29 The number of batches and frequency of testing should provide a sufficient amount of data to allow for trend analysis. Unless otherwise justified, at least one batch per year of product manufactured in every strength and every primary packaging type, if relevant, should be included in the stability program (unless none are produced during that year). For products where ongoing stability monitoring would normally require testing using animals, and no appropriate alternative, validated techniques are available, the frequency of testing may take account of a risk–benefit approach. The principle of bracketing and matrixing designs may be applied if scientifically justified in the protocol.

6.30 In certain situations, additional batches should be included in the ongoing stability program. For example, an ongoing stability study should be conducted after any significant change or significant deviation to the process or package. Any reworking, reprocessing, or recovery operation should also be considered for inclusion.

6.31 The results of ongoing stability studies should be made available to key personnel and in particular, to the Qualified Person(s). Where ongoing stability studies are carried out at a site other than the site of manufacture of the bulk or finished product, there should be a written agreement between the parties concerned. The results of ongoing stability studies should be available at the site of manufacture for review by the competent authority.

6.32 Out of specification or significant atypical trends should be investigated. Any confirmed out of specification result, or significant negative trend, should be reported to the relevant competent authorities. The possible impact on batches on the market should be considered in accordance with Chapter 8 of the GMP guide and in consultation with the relevant competent authorities.

6.33. A summary of all the data generated, including any interim conclusions on the program, should be written and maintained. This summary should be subjected to periodic review.

CHAPTER 7: CONTRACT MANUFACTURE AND ANALYSIS

Principle

Contract manufacture and analysis must be correctly defined, agreed, and controlled in order to avoid misunderstandings, which could result in a product or work of unsatisfactory

quality. There must be a written contract between the Contract Giver and the Contract Acceptor, which clearly establishes the duties of each party. The contract must clearly state the way in which the Qualified Person releasing each batch of product for sale exercises his or her full responsibility.

Note: This chapter deals with the responsibilities of manufacturers toward the competent authorities of the member states with respect to the granting of marketing and manufacturing authorizations. It is not intended in any way to affect the respective liability of Contract Acceptors and Contract Givers to consumers; this is governed by other provisions of Community and national law.

General

7.1 There should be a written contract covering the manufacture and/or analysis arranged under contract and any technical arrangements made in connection with it.

7.2 All arrangements for contract manufacture and analysis, including any proposed changes in technical or other arrangements, should be in accordance with the Marketing Authorization for the product concerned.

The Contract Giver

7.3 The Contract Giver is responsible for assessing the competence of the Contract Acceptor to carry out successfully the work required and for ensuring by means of the contract that the principles and guidelines of GMP as interpreted in this guide are followed.

7.4 The Contract Giver should provide the Contract Acceptor with all the information necessary to carry out the contracted operations correctly in accordance with the Marketing Authorization and any other legal requirements. The Contract Giver should ensure that the Contract Acceptor is fully aware of any problems associated with the product or the work that might pose a hazard to his or her premises, equipment, personnel, other materials, or other products.

7.5 The Contract Giver should ensure that all processed products and materials delivered to him or her by the Contract Acceptor comply with their specifications or that the products have been released by a Qualified Person.

The Contract Acceptor

7.6 The Contract Acceptor must have adequate premises and equipment, knowledge and experience, and competent personnel to carry out satisfactorily the work ordered by the Contract Giver. Contract manufacture may be undertaken only by a manufacturer who is the holder of a manufacturing authorization.

7.7 The Contract Acceptor should ensure that all products or materials delivered to him or her are suitable for their intended purpose.

7.8 The Contract Acceptor should not pass to a third party any of the work entrusted to him or her under the contract without the Contract Giver's prior evaluation and approval of the arrangements. Arrangements made between the Contract Acceptor and any third party should ensure that the manufacturing and analytical information is made available in the same way as between the original Contract Giver and Contract Acceptor.

7.9 The Contract Acceptor should refrain from any activity that may adversely affect the quality of the product manufactured and/or analyzed for the Contract Giver.

The Contract

7.10 A contract should be drawn up between the Contract Giver and the Contract Acceptor, which specifies their respective responsibilities relating to the manufacture and control of the product. Technical aspects of the contract should be drawn up by competent persons suitably knowledgeable in pharmaceutical technology, analysis, and Good Manufacturing Practice. All arrangements for manufacture and analysis must be in accordance with the Marketing Authorization and agreed by both parties.

7.11 The contract should specify the way in which the Qualified Person releasing the batch for sale ensures that each batch has been manufactured and checked for compliance with the requirements of Marketing Authorization.

7.12 The contract should describe clearly who is responsible for purchasing materials, testing and releasing materials, undertaking production and Quality Controls, including in-process controls, and who has responsibility for sampling and analysis. In the case of contract analysis, the contract should state whether or not the Contract Acceptor should take samples at the premises of the manufacturer.

7.13 Manufacturing, analytical, and distribution records and reference samples should be kept by, or be available to, the Contract Giver. Any records relevant to assessing the quality of a product in the event of complaints or a suspected defect must be accessible and specified in the defect/recall procedures of the Contract Giver.

7.14 The contract should permit the Contract Giver to visit the facilities of the Contract Acceptor.

7.15 In the case of contract analysis, the Contract Acceptor should understand that he or she is subject to inspection by the competent authorities.

CHAPTER 8: COMPLAINTS AND PRODUCT RECALL

Principle

All complaints and other information concerning potentially defective products must be reviewed carefully according to written procedures. In order to provide for all contingencies, and in accordance with Article 117 of Directive 2001/83/EC and Article 84 of Directive 2001/82/EC, a system should be designed to recall, if necessary, promptly and effectively products known or suspected to be defective from the market.

Complaints

8.1 A person should be designated responsible for handling the complaints and deciding the measures to be taken, together with sufficient supporting staff to assist him or her. If this person is not the Qualified Person, the latter should be made aware of any complaint, investigation, or recall.

8.2 There should be written procedures describing the action to be taken, including the need to consider a recall, in the case of a complaint concerning a possible product defect.

8.3 Any complaint concerning a product defect should be recorded with all the original details and thoroughly investigated. The person responsible for Quality Control should normally be involved in the study of such problems.

8.4 If a product defect is discovered or suspected in a batch, consideration should be given to checking other batches in order to determine whether they are also affected. In particular, other batches that may contain reworks of the defective batch should be investigated.

8.5 All the decisions and measures taken as a result of a complaint should be recorded and referenced to the corresponding batch records.

8.6 Complaints records should be reviewed regularly for any indication of specific or recurring problems requiring attention and possibly the recall of marketed products.

8.7 Special attention should be given to establishing whether a complaint was caused because of counterfeiting.

8.8 The competent authorities should be informed if a manufacturer is considering action following possibly faulty manufacture, product deterioration, detection of counterfeiting, or any other serious quality problems with a product.

Recalls

8.9 A person should be designated as responsible for the execution and coordination of recalls and should be supported by sufficient staff to handle all the aspects of the recalls with the appropriate degree of urgency. This responsible person should normally be independent of the sales and marketing organization. If this person is not the Qualified Person, the latter should be made aware of any recall operation.

8.10 There should be established written procedures, regularly checked and updated when necessary, in order to organize any recall activity.

8.11 Recall operations should be capable of being initiated promptly and at any time.

8.12 All competent authorities of all countries to which products may have been distributed should be informed promptly if products are intended to be recalled because they are, or are suspected of being, defective.

8.13 The distribution records should be readily available to the person(s) responsible for recalls and should contain sufficient information on wholesalers and directly supplied customers (with addresses, phone and/or fax numbers inside and outside working hours, batches, and amounts delivered), including those for exported products and medical samples.

8.14 Recalled products should be identified and stored separately in a secure area while awaiting a decision on their fate.

8.15 The progress of the recall process should be recorded and a final report issued, including a reconciliation between the delivered and recovered quantities of the products.

8.16 The effectiveness of the arrangements for recalls should be evaluated regularly.

14 Impurities: Guideline for Residual Solvents

I. INTRODUCTION

The objective of this guideline is to recommend acceptable amounts for residual solvents in pharmaceuticals for the safety of the patient. The guideline recommends the use of less toxic solvents and describes levels considered to be toxicologically acceptable for some residual solvents.

Residual solvents in pharmaceuticals are defined here as organic volatile chemicals that are used or produced in the manufacture of drug substances or excipients, or in the preparation of drug products. The solvents are not completely removed by practical manufacturing techniques. Appropriate selection of the solvent for the synthesis of a drug substance may enhance the yield or determine characteristics such as crystal form, purity, and solubility. Therefore, the solvent may sometimes be a critical parameter in the synthetic process. This guideline does not address solvents deliberately used as excipients, nor does it address solvates. However, the content of solvents in such products should be evaluated and justified.

Since there is no therapeutic benefit from residual solvents, all residual solvents should be removed to the greatest extent possible to meet product specifications, good manufacturing practices, or other quality-based requirements. Drug products should contain no higher levels of residual solvents than can be supported by safety data. Some solvents that are known to cause unacceptable toxicity (Class 1, Table 14.1) should be avoided in the production of drug substances, excipients, or drug products unless their use can be strongly justified in a risk–benefit assessment. Some solvents associated with less severe toxicity (Class 2, Table 14.2) should be limited in order to protect patients from potential adverse effects. Ideally, less toxic solvents (Class 3, Table 14.3) should be used where practical. The complete list of solvents included in this guideline is given in Appendix 1.

The lists are not exhaustive, and other solvents can be used and later added to the lists. Recommended limits of Class 1 and 2 solvents or classification of solvents may change as new safety data become available. Supporting safety data in a marketing application for a new drug product containing a new solvent may be based on concepts in this guideline or the concept of qualification of impurities as expressed in the guideline for drug substance (Q3A, *Impurities in New Drug Substances*) or drug product (Q3B, *Impurities in New Drug Products*) or all three guidelines.

II. SCOPE OF THE GUIDELINE

Residual solvents in drug substances, excipients, and drug products are within the scope of this guideline. Therefore,

testing should be performed for residual solvents when production or purification processes are known to result in the presence of such solvents. It is only necessary to test for solvents that are used or produced in the manufacture or purification of drug substances, excipients, or drug product. Although manufacturers may choose to test the drug product, a cumulative method may be used to calculate the residual solvent levels in the drug product from the levels in the ingredients used to produce the drug product. If the calculation results in a level equal to or below that recommended in this guideline, no testing of the drug product for residual solvents need be considered. If, however, the calculated level is above the recommended level, the drug product should be tested to ascertain whether the formulation process has reduced the relevant solvent to an acceptable level. Drug product should also be tested if a solvent is used during its manufacture.

This guideline does not apply to potential new drug substances, excipients, or drug products used during the clinical research stages of development, nor does it apply to existing marketed drug products.

The guideline applies to all dosage forms and routes of administration. Higher levels of residual solvents may be acceptable in certain cases, such as short term (30 days or less) or topical application. Justification for these levels should be made on a case-by-case basis.

See Appendix 2 for additional background information related to residual solvents.

III. GENERAL PRINCIPLES

A. CLASSIFICATION OF RESIDUAL SOLVENTS BY RISK ASSESSMENT

The term *tolerable daily intake* (TDI) is used by the International Programme on Chemical Safety (IPCS) to describe exposure limits of toxic chemicals, and *acceptable daily intake* (ADI) is used by the World Health Organization (WHO) and other national and international health authorities and institutes. The new term *permitted daily exposure* (PDE) is defined in the present guideline as a pharmaceutically acceptable intake of residual solvents to avoid confusion due to differing values for ADIs of the same substance.

Residual solvents assessed in this guideline are listed in Appendix 1 by common names and structures. They were

TABLE 14.1
Class 1 Solvents in Pharmaceutical Products (Solvents That Should Be Avoided)

Solvent	Concentration limit (ppm)	Concern
Benzene	2	Carcinogen
Carbon tetrachloride	4	Toxic and environmental hazard
1,2-Dichloroethane	5	Toxic
1,1-Dichloroethene	8	Toxic
1,1,1-Trichloroethane	1500	Environmental hazard

TABLE 14.2
Class 2 Solvents in Pharmaceutical Products

Solvent	PDE (mg/day)	Concentration limit (ppm)
Acetonitrile	4.1	410
Chlorobenzene	3.6	360
Chloroform	0.6	60
Cyclohexane	38.8	3880
1,2-Dichloroethene	18.7	1870
Dichloromethane	6.0	600
1,2-Dimethoxyethane	1.0	100
<i>N,N</i> -Dimethylacetamide	10.9	1090
<i>N,N</i> -Dimethylformamide	8.8	880
1,4-Dioxane	3.8	380
2-Ethoxyethanol	1.6	160
Ethyleneglycol	6.2	620
Formamide	2.2	220
Hexane	2.9	290
Methanol	30.0	3000
2-Methoxyethanol	0.5	50
Methylbutyl ketone	0.5	50
Methylcyclohexane	11.8	1180
<i>N</i> -Methylpyrrolidone	48.4	4840
Nitromethane	0.5	50
Pyridine	2.0	200
Sulfolane	1.6	160
Tetralin	1.0	100
Toluene	8.9	890
1,1,2-Trichloroethene	0.8	80
Xylene ^a	21.7	2170

^a Usually 60% *m*-xylene, 14% *p*-xylene, and 9% *o*-xylene with 17% ethyl benzene.

evaluated for their possible risk to human health and placed into one of three classes as follows:

Class 1 solvents: solvents to be avoided: Known human carcinogens, strongly suspected human carcinogens, and environmental hazards.

Class 2 solvents: solvents to be limited: Nongenotoxic animal carcinogens or possible causative agents of

TABLE 14.3
Class 3 Solvents That Should Be Limited by GMP or Other Quality-Based Requirements

Acetic acid	Heptane
Acetone	Isobutyl acetate
Anisole	Isopropyl acetate
1-Butanol	Methyl acetate
2-Butanol	3-Methyl-1-butanol
Butyl acetate	Methylethyl ketone
<i>tert</i> -Butylmethyl ether	Methylisobutyl ketone
Cumene	2-Methyl-1-propanol
Dimethyl sulfoxide	Pentane
Ethanol	1-Pentanol
Ethyl acetate	1-Propanol
Ethyl ether	2-Propanol
Ethyl formate	Propyl acetate
Formic acid	Tetrahydrofuran

other irreversible toxicity such as neurotoxicity or teratogenicity. Solvents suspected of other significant but reversible toxicity.

Class 3 solvents: solvents with low toxic potential: Solvents with low toxic potential to humans; no health-based exposure limit is needed. Class 3 solvents have PDEs of 50 mg or more per day.

B. METHODS FOR ESTABLISHING EXPOSURE LIMITS

The method used to establish permitted daily exposures for residual solvents is presented in Appendix 3. Summaries of the toxicity data that were used to establish limits are published in *Pharmeuropa*, Vol. 9, No. 1, Supplement, April 1997.

C. OPTIONS FOR DESCRIBING LIMITS OF CLASS 2 SOLVENTS

Two options are available when setting limits for Class 2 solvents.

Option 1: The concentration limits in parts per million stated in Table 14.2 can be used. They were calculated using Equation 14.1 by assuming a product mass of 10 g administered daily.

$$\text{Concentration (ppm)} = \frac{1000 \times \text{PDE}}{\text{dose}} \quad (14.1)$$

Here, PDE is given in terms of milligrams per day, and dose is given in grams per day.

These limits are considered acceptable for all substances, excipients, or products. Therefore, this option may be applied if the daily dose is not known or fixed. If all excipients and drug substances in a formulation meet the limits given in Option 1, then these components may be used in any proportion. No

further calculation is necessary provided the daily dose does not exceed 10 g. Products that are administered in doses greater than 10 g/day should be considered under Option 2.

Option 2: It is not considered necessary for each component of the drug product to comply with the limits given in Option 1. The PDE in terms of milligrams per day as stated in Table 14.2 can be used with the known maximum daily dose and Equation 14.1 to determine the concentration of residual solvent allowed in the drug product. Such limits are considered acceptable provided that it has been demonstrated that the residual solvent has been reduced to the practical minimum. The limits should be realistic in relation to analytical precision, manufacturing capability, and reasonable variation in the manufacturing process, and the limits should reflect contemporary manufacturing standards.

Option 2 may be applied by adding the amounts of a residual solvent present in each of the components of the drug product. The sum of the amounts of solvent per day should be less than that given by the PDE.

Consider an example of the use of Option 1 and Option 2 applied to acetonitrile in a drug product. The permitted daily exposure to acetonitrile is 4.1 mg/day; thus, the Option 1 limit is 410 ppm. The maximum administered daily mass of a drug product is 5.0 g, and the drug product contains two excipients. The composition of the drug product and the calculated maximum content of residual acetonitrile are given in the following table.

Component	Amount in formulation (g)	Acetonitrile content (ppm)	Daily exposure (mg)
Drug substance	0.3	800	0.24
Excipient 1	0.9	400	0.36
Excipient 2	3.8	800	3.04
Drug product	5.0	728	3.64

Excipient 1 meets the Option 1 limit, but the drug substance, excipient 2, and drug product do not meet the Option 1 limit. Nevertheless, the product meets the Option 2 limit of 4.1 mg/day and thus conforms to the recommendations in this guideline.

Consider another example using acetonitrile as residual solvent. The maximum administered daily mass of a drug product is 5.0 g, and the drug product contains two excipients. The composition of the drug product and the calculated maximum content of residual acetonitrile are given in the following table.

Component	Amount in formulation (g)	Acetonitrile content (ppm)	Daily exposure (mg)
Drug substance	0.3	800	0.24
Excipient 1	0.9	2000	1.80
Excipient 2	3.8	800	3.04
Drug Product	5.0	1016	5.08

In this example, the product meets neither the Option 1 nor the Option 2 limit according to this summation. The manufacturer could test the drug product to determine whether the formulation process reduced the level of acetonitrile. If the level of acetonitrile was not reduced during formulation to the allowed limit, then the manufacturer of the drug product should take other steps to reduce the amount of acetonitrile in the drug product. If all these steps fail to reduce the level of residual solvent, in exceptional cases, the manufacturer could provide a summary of efforts made to reduce the solvent level to meet the guideline value and provide a risk–benefit analysis to support allowing the product to be used with residual solvent at a higher level.

D. ANALYTICAL PROCEDURES

Residual solvents are typically determined using chromatographic techniques such as gas chromatography. Any harmonized procedures for determining levels of residual solvents as described in the pharmacopoeias should be used if feasible. Otherwise, manufacturers would be free to select the most appropriate validated analytical procedure for a particular application. If only Class 3 solvents are present, a nonspecific method such as loss on drying may be used.

Validation of methods for residual solvents should conform to International Conference on Harmonisation (ICH) guidelines, *Text on Validation of Analytical Procedures* and *Extension of the ICH Text on Validation of Analytical Procedures*.

E. REPORTING LEVELS OF RESIDUAL SOLVENTS

Manufacturers of pharmaceutical products need certain information about the content of residual solvents in excipients or drug substances in order to meet the criteria of this guideline. The following statements are given as acceptable examples of the information that could be provided from a supplier of excipients or drug substances to a pharmaceutical manufacturer. The supplier might choose one of the following as appropriate:

- Only Class 3 solvents are likely to be present. Loss on drying is less than 0.5%.
- Only Class 2 solvents X, Y, ... are likely to be present. All are below the Option 1 limit. (Here, the supplier would name the Class 2 solvents represented by X, Y, ...)
- Only Class 2 solvents X, Y, ... and Class 3 solvents are likely to be present. Residual Class 2 solvents are below the Option 1 limit, and residual Class 3 solvents are below 0.5%.

If Class 1 solvents are likely to be present, they should be identified and quantified.

“Likely to be present” refers to the solvent used in the final manufacturing step and to solvents that are used in earlier

TABLE 14.4
Solvents for Which No Adequate Toxicological Data Were Found

1,1-Diethoxypropane	Methylisopropyl ketone
1,1-Dimethoxymethane	Methyltetrahydrofuran
2,2-Dimethoxypropane	Petroleum ether
Isooctane	Trichloroacetic acid
Isopropyl ether	Trifluoroacetic acid

manufacturing steps and not removed consistently by a validated process.

If solvents of Class 2 or Class 3 are present at greater than their Option 1 limits or 0.5%, respectively, they should be identified and quantified (Table 14.4).

IV. LIMITS OF RESIDUAL SOLVENTS

A. SOLVENTS TO BE AVOIDED

Solvents in Class 1 should not be employed in the manufacture of drug substances, excipients, and drug products because of their unacceptable toxicity or their deleterious environmental effect. However, if their use is unavoidable in order to produce a drug product with a significant therapeutic advance, then their levels should be restricted as shown in Table 14.1 unless otherwise justified. 1,1,1-Trichloroethane is included in Table 14.1 because it is an environmental hazard. The stated limit of 1500 ppm is based on a review of the safety data.

B. SOLVENTS TO BE LIMITED

Solvents in Table 14.2 should be limited in pharmaceutical products because of their inherent toxicity. PDEs are given to the nearest 0.1 mg/day, and concentrations are given to the nearest 10 ppm. The stated values do not reflect the necessary analytical precision of determination. Precision should be determined as part of the validation of the method.

C. SOLVENTS WITH LOW TOXIC POTENTIAL

Solvents in Class 3 (shown in Table 14.3) may be regarded as less toxic and of lower risk to human health. Class 3 includes no solvent known as a human health hazard at levels normally accepted in pharmaceuticals. However, there are no long-term toxicity or carcinogenicity studies for many of the solvents in Class 3. Available data indicate that they are less toxic in acute or short-term studies and negative in genotoxicity studies. It

is considered that amounts of these residual solvents of 50 mg/day or less (corresponding to 5000 ppm or 0.5% under Option 1) would be acceptable without justification. Higher amounts may also be acceptable provided they are realistic in relation to manufacturing capability and good manufacturing practice.

D. SOLVENTS FOR WHICH NO ADEQUATE TOXICOLOGICAL DATA WERE FOUND

The solvents shown in Table 14.4 may also be of interest to manufacturers of excipients, drug substances, or drug products. However, no adequate toxicological data on which to base a PDE was found. Manufacturers should supply justification for residual levels of these solvents in pharmaceutical products.

GLOSSARY

Genotoxic Carcinogens: Carcinogens that produce cancer by affecting genes or chromosomes.

LOEL: Abbreviation for lowest-observed effect level.

Lowest-Observed Effect Level: The lowest dose of substance in a study or group of studies that produces biologically significant increases in frequency or severity of any effects in the exposed humans or animals.

Modifying Factor: A factor determined by professional judgment of a toxicologist and applied to bioassay data to relate that data safely to humans.

Neurotoxicity: The ability of a substance to cause adverse effects on the nervous system.

NOEL: Abbreviation for no-observed-effect level.

No-Observed-Effect Level: The highest dose of a substance at which there are no biologically significant increases in frequency or severity of any effects in the exposed humans or animals.

PDE: Abbreviation for permitted daily exposure.

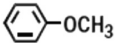


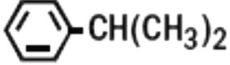


Permitted Daily Exposure: The maximum acceptable intake per day of residual solvent in pharmaceutical products.

Reversible Toxicity: The occurrence of harmful effects that are caused by a substance and which disappear after exposure to the substance ends.

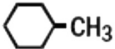


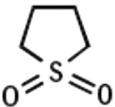

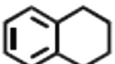
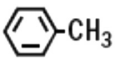
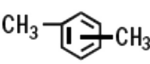
Strongly Suspected Human Carcinogen: A substance for which there is no epidemiological evidence of carcinogenesis, but there are positive genotoxicity data and clear evidence of carcinogenesis in rodents.

Teratogenicity: The occurrence of structural malformations in a developing fetus when a substance is administered during pregnancy.

APPENDIX 1 LIST OF SOLVENTS INCLUDED IN THE GUIDELINE

Solvent	Other names	Structure	Class
Acetic acid	Ethanoic acid	CH ₃ COOH	Class 3
Acetone	2-Propanone Propan-2-one	CH ₃ COCH ₃	Class 3
Acetonitrile		CH ₃ CN	Class 2
Anisole	Methoxybenzene		Class 3
Benzene	Benzol		Class 1
1-Butanol	<i>n</i> -Butyl alcohol Butan-1-ol	CH ₃ (CH ₂) ₃ OH	Class 3
2-Butanol	<i>sec</i> -Butyl alcohol Butan-2-ol	CH ₃ CH ₂ CH(OH)CH ₃	Class 3
Butyl acetate	Acetic acid butyl ester	CH ₃ COO(CH ₂) ₃ CH ₃	Class 3
<i>tert</i> -Butylmethyl ether	2-Methoxy-2-methyl-propane	(CH ₃) ₃ COCH ₃	Class 3
Carbon tetrachloride	Tetrachloromethane	CCl ₄	Class 1
Chlorobenzene			Class 2
Chloroform	Trichloromethane	CHCl ₃	Class 2
Cumene	Isopropylbenzene		Class 3
Cyclohexane	(1-Methyl) ethylbenzene Hexamethylene		Class 2
1,2-Dichloroethane	<i>sym</i> -Dichloroethane Ethylene dichloride Ethylene chloride	CH ₂ ClCH ₂ Cl	Class 1
1,1-Dichloroethene	1,1-Dichloroethylene Vinylidene chloride	H ₂ C=CCl ₂	Class 1
1,2-Dichloroethene	1,2-Dichloroethylene Acetylene dichloride	ClHC=CHCl	Class 2
Dichloromethane	Methylene chloride	CH ₂ Cl ₂	Class 2
1,2-Dimethoxyethane	Ethyleneglycol dimethyl ether Monoglyme Dimethyl Cellosolve	H ₃ COCH ₂ CH ₂ OCH ₃	Class 2
<i>N,N</i> -Dimethylacetamide	DMA	CH ₃ CON(CH ₃) ₂	Class 2
<i>N,N</i> -Dimethylformamide	DMF	HCON(CH ₃) ₂	Class 2
Dimethyl sulfoxide	Methylsulfinylmethane Methyl sulfoxide DMSO	(CH ₃) ₂ SO	Class 3
1,4-Dioxane	<i>p</i> -Dioxane [1,4]Dioxane		Class 2
Ethanol	Ethyl alcohol	CH ₃ CH ₂ OH	Class 3
2-Ethoxyethanol	Cellosolve	CH ₃ CH ₂ OCH ₂ CH ₂ OH	Class 2
Ethyl acetate	Acetic acid ethyl ester	CH ₃ COOCH ₂ CH ₃	Class 3
Ethyleneglycol	1,2-Dihydroxyethane 1,2-Ethandiol	HOCH ₂ CH ₂ OH	Class 2
Ethyl ether	Diethyl ether Ethoxyethane 1,1'-Oxybisethane	CH ₃ CH ₂ OCH ₂ CH ₃	Class 3
Ethyl formate	Formic acid ethyl ester	HCOOCH ₂ CH ₃	Class 3
Formamide	Methanamide	HCONH ₂	Class 2
Formic acid		HCOOH	Class 3

(Continued)

Solvent	Other names	Structure	Class
Heptane	<i>n</i> -Heptane	$\text{CH}_3(\text{CH}_2)_5\text{CH}_3$	Class 3
Hexane	<i>n</i> -Hexane	$\text{CH}_3(\text{CH}_2)_4\text{CH}_3$	Class 2
Isobutyl acetate	Acetic acid isobutyl ester	$\text{CH}_3\text{COOCH}_2\text{CH}(\text{CH}_3)_2$	Class 3
Isopropyl acetate	Acetic acid isopropyl ester	$\text{CH}_3\text{COOCH}(\text{CH}_3)_2$	Class 3
Methanol	Methyl alcohol	CH_3OH	Class 2
2-Methoxyethanol	Methyl Cellosolve	$\text{CH}_3\text{OCH}_2\text{CH}_2\text{OH}$	Class 2
Methyl acetate	Acetic acid methyl ester	$\text{CH}_3\text{COOCH}_3$	Class 3
3-Methyl-1-butanol	Isoamyl alcohol Isopentyl alcohol 3-Methylbutan-1-ol	$(\text{CH}_3)_2\text{CHCH}_2\text{CH}_2\text{OH}$	Class 3
Methylbutyl ketone	2-Hexanone Hexan-2-one	$\text{CH}_3(\text{CH}_2)_3\text{COCH}_3$	Class 2
Methylcyclohexane	Cyclohexylmethane		Class 2
Methylethyl ketone	2-Butanone MEK Butan-2-one	$\text{CH}_3\text{CH}_2\text{COCH}_3$	Class 3
Methylisobutyl ketone	4-Methylpentan-2-one 4-Methyl-2-pentanone MIBK	$\text{CH}_3\text{COCH}_2\text{CH}(\text{CH}_3)_2$	Class 3
2-Methyl-1-propanol	Isobutyl alcohol 2-Methylpropan-1-ol	$(\text{CH}_3)_2\text{CHCH}_2\text{OH}$	Class 3
<i>N</i> -Methylpyrrolidone	1-Methylpyrrolidin-2-one 1-Methyl-2-pyrrolidinone		Class 2
Nitromethane		CH_3NO_2	Class 2
Pentane	<i>n</i> -Pentane	$\text{CH}_3(\text{CH}_2)_3\text{CH}_3$	Class 3
1-Pentanol	Amyl alcohol Pentan-1-ol Pentyl alcohol	$\text{CH}_3(\text{CH}_2)_3\text{CH}_2\text{OH}$	Class 3
1-Propanol	Propan-1-ol Propyl alcohol	$\text{CH}_3\text{CH}_2\text{CH}_2\text{OH}$	Class 3
2-Propanol	Propan-2-ol Isopropyl alcohol	$(\text{CH}_3)_2\text{CHOH}$	Class 3
Propyl acetate	Acetic acid propyl ester	$\text{CH}_3\text{COOCH}_2\text{CH}_2\text{CH}_3$	Class 3
Pyridine			Class 2
Sulfolane	Tetrahydrothiophene 1,1-dioxide		Class 2
Tetrahydrofuran	Tetramethylene oxide Oxacyclopentane		Class 3
Tetralin	1,2,3,4-Tetrahydro-naphthalene		Class 2
Toluene	Methylbenzene		Class 2
1,1,1-Trichloroethane	Methylchloroform	CH_2CCl_3	Class 1
1,1,2-Trichloroethene	Trichloroethene	$\text{HC}(\text{Cl})=\text{CCl}_2$	Class 2
Xylene ^a	Dimethylbenzene		Class 2

Xylol

^a Usually 60% *m*-xylene, 14% *p*-xylene, 9% *o*-xylene with 17% ethyl benzene.

APPENDIX 2 ADDITIONAL BACKGROUND

A2.1 ENVIRONMENTAL REGULATION OF ORGANIC VOLATILE SOLVENTS

Several of the residual solvents frequently used in the production of pharmaceuticals are listed as toxic chemicals in Environmental Health Criteria (EHC) monographs and the Integrated Risk Information System (IRIS). The objectives of such groups as the International Programme on Chemical Safety (IPCS), the United States Environmental Protection Agency (USEPA), and the United States Food and Drug Administration (USFDA) include the determination of acceptable exposure levels. The goal is the protection of human health and maintenance of environmental integrity against the possible deleterious effects of chemicals resulting from long-term environmental exposure. The methods involved in the estimation of maximum safe exposure limits are usually based on long-term studies. When long-term study data are unavailable, shorter-term study data can be used with modification of the approach, such as the use of larger safety factors. The approach described therein relates primarily to long-term or *lifetime exposure of the general population* in the ambient environment; that is, ambient air, food, drinking water, and other media.

A2.2 RESIDUAL SOLVENTS IN PHARMACEUTICALS

Exposure limits in this guideline are established by referring to methodologies and toxicity data described in EHC and IRIS monographs. However, some specific assumptions about residual solvents to be used in the synthesis and formulation of pharmaceutical products should be taken into account in establishing exposure limits. They are as follows:

- (1) Patients (not the general population) use pharmaceuticals to treat their diseases or for prophylaxis to prevent infection or disease.
- (2) The assumption of lifetime patient exposure is not necessary for most pharmaceutical products but may be appropriate as a working hypothesis to reduce risk to human health.
- (3) Residual solvents are unavoidable components in pharmaceutical production and will often be a part of drug products.
- (4) Residual solvents should not exceed recommended levels except in exceptional circumstances.
- (5) Data from toxicological studies that are used to determine acceptable levels for residual solvents should have been generated using appropriate protocols such as those described, for example, by Organisation for Economic Co-operation and Development (OECD), EPA, and the FDA *Red Book*.

APPENDIX 3 METHODS FOR ESTABLISHING EXPOSURE LIMITS

The Gaylor–Kodell method of risk assessment (Gaylor DW, Kodell RL [1980]. Linear interpolation algorithm for low

dose assessment of toxic substance. *J Environ Pathol* 4:305) is appropriate for Class 1 carcinogenic solvents. Only in cases where reliable carcinogenicity data are available should extrapolation by the use of mathematical models be applied to setting exposure limits. Exposure limits for Class 1 solvents could be determined with the use of a large safety factor (i.e., 10,000 to 100,000) with respect to the no-observed-effect level (NOEL). Detection and quantitation of these solvents should be by state-of-the-art analytical techniques.

Acceptable exposure levels in this guideline for Class 2 solvents were established by calculation of PDE values according to the procedures for setting exposure limits in pharmaceuticals (*Pharmacoepial Forum*, November–December 1989) and the method adopted by IPCS for Assessing Human Health Risk of Chemicals (Environmental Health Criteria 170, WHO, 1994). These methods are similar to those used by the USEPA (IRIS) and the USFDA (*Red Book*) and others. The method is outlined here to give a better understanding of the origin of the PDE values. It is not necessary to perform these calculations in order to use the PDE values tabulated in Section IV of this document.

PDE is derived from the NOEL or the lowest-observed-effect level (LOEL) in the most relevant animal study as follows:

$$\text{PDF} = \frac{\text{NOEL} \times \text{Weight Adjustment}}{\text{F1} \times \text{F2} \times \text{F3} \times \text{F4} \times \text{F5}} \quad (14.1)$$

The PDE is derived preferably from a NOEL. If no NOEL is obtained, the LOEL may be used. Modifying factors proposed here, for relating the data to humans, are the same kind of “uncertainty factors” used in EHC (Environmental Health Criteria 170, World Health Organization, Geneva, 1994) and “modifying factors” or “safety factors” in *Pharmacoepial Forum*. The assumption of 100% systemic exposure is used in all calculations regardless of route of administration.

The modifying factors are as follows:

- F1 = A factor to account for extrapolation between species
- F1 = 5 for extrapolation from rats to humans
- F1 = 12 for extrapolation from mice to humans
- F1 = 2 for extrapolation from dogs to humans
- F1 = 2.5 for extrapolation from rabbits to humans
- F1 = 3 for extrapolation from monkeys to humans
- F1 = 10 for extrapolation from other animals to humans

F1 takes into account the comparative surface area:body weight ratios for the species concerned and for humans. Surface area (S) is calculated as

$$S = kM^{0.67} \quad (14.2)$$

where

- M = body mass
- the constant k has been taken to be 10

The body weights used in Equation 14.2 are those shown in Table A3.1.

TABLE A3.1
Values Used in the Calculations in This Document

Rat body weight	425 g	Mouse respiratory volume	43 L/day
Pregnant rat body weight	330 g	Rabbit respiratory volume	1440 L/day
Mouse body weight	28 g	Guinea pig respiratory volume	430 L/day
Pregnant mouse body weight	30 g	Human respiratory volume	28,800 L/day
Guinea pig body weight	500 g	Dog respiratory volume	9000 L/day
Rhesus monkey body weight	2.5 kg	Monkey respiratory volume	1150 L/day
Rabbit body weight (pregnant or not)	4 kg	Mouse water consumption	5 mL/day
Beagle dog body weight	11.5 kg	Rat water consumption	30 mL/day
Rat respiratory volume	290 L/day	Rat food consumption	30 g/day

F2=A factor of 10 to account for variability between individuals

A factor of 10 is generally given for all organic solvents, and 10 is used consistently in this guideline.

F3=A variable factor to account for toxicity studies of short-term exposure

F3=1 for studies that last at least one-half lifetime (1 year for rodents or rabbits; 7 years for cats, dogs, and monkeys)

F3=1 for reproductive studies in which the whole period of organogenesis is covered

F3=2 for a 6 month study in rodents or a 3.5 year study in nonrodents

F3=5 for a 3 month study in rodents or a 2 year study in nonrodents

F3=10 for studies of a shorter duration

In all cases, the higher factor has been used for study durations between the time points; for example, a factor of 2 for a 9 month rodent study.

F4=A factor that may be applied in cases of severe toxicity; for example, nongenotoxic carcinogenicity, neurotoxicity, or teratogenicity. In studies of reproductive toxicity, the following factors are used:

F4=1 for fetal toxicity associated with maternal toxicity

F4=5 for fetal toxicity without maternal toxicity

F4=5 for a teratogenic effect with maternal toxicity

F4=10 for a teratogenic effect without maternal toxicity

F5=A variable factor that may be applied if the NOEL was not established

When only an LOEL is available, a factor of up to 10 could be used depending on the severity of the toxicity.

The weight adjustment assumes an arbitrary adult human body weight for either sex of 50 kg. This relatively low weight provides an additional safety factor against the standard weights of 60 kg or 70 kg that are often used in this type of calculation. It is recognized that some adult patients weigh less than 50 kg; these patients are considered to be accommodated

by the built-in safety factors used to determine a PDE. If the solvent was present in a formulation specifically intended for pediatric use, an adjustment for a lower body weight would be appropriate.

As an example of the application of this equation, consider a toxicity study of acetonitrile in mice that is summarized in *Pharmeuropa*, Vol. 9, No. 1, Supplement, April 1997, page S24. The NOEL is calculated to be 50.7 mg/kg/day. The PDE for acetonitrile in this study is calculated as follows:

$$\text{PDF} = \frac{50.7 \text{ mg kg}^{-1} \text{ day}^{-1} \times 50 \text{ kg}}{12 \times 10 \times 5 \times 1 \times 1} = 4.22 \text{ mg day}^{-1}$$

In this example,

F1=12 to account for the extrapolation from mice to humans

F2=10 to account for differences between individual humans

F3=5 because the duration of the study was only 13 weeks

F4=1 because no severe toxicity was encountered

F5=1 because the NOEL was determined

The equation for an ideal gas, $PV=nRT$, is used to convert concentrations of gases used in inhalation studies from units of parts per million to units of milligrams per liter or milligrams per cubic meter. Consider as an example the rat reproductive toxicity study by inhalation of carbon tetrachloride (molecular weight 153.84) that is summarized in *Pharmeuropa*, Vol. 9, No. 1, Supplement, April 1997, page S9.

$$\begin{aligned} \frac{n}{V} &= \frac{P}{RT} = \frac{300 \times 10^{-6} \text{ atm} \times 153840 \text{ mg mol}^{-1}}{0.082 \text{ L atm K}^{-1} \text{ mol}^{-1} \times 298 \text{ K}} \\ &= \frac{46.15 \text{ mg}}{24.45 \text{ L}} = 1.89 \text{ mg/L} \end{aligned}$$

The relationship $1000 \text{ L} = 1 \text{ m}^3$ is used to convert to milligrams per cubic meter.

15 Electronic Records and Signatures (CFR 21 Part 11 Compliance)

The regulations in 21 CFR part 11 set forth the criteria under which the Agency (Food and Drug Administration [FDA]) considers electronic records, electronic signatures, and handwritten signatures executed to electronic records to be trustworthy, reliable, and generally equivalent to paper records and handwritten signatures executed on paper. This chapter discusses the current revisions as of 1 April 2018 on these compliance issues.

- (a) The regulations in this part set forth the criteria under which the agency considers electronic records, electronic signatures, and handwritten signatures executed to electronic records to be trustworthy, reliable, and generally equivalent to paper records and handwritten signatures executed on paper.
- (b) This part applies to records in electronic form that are created, modified, maintained, archived, retrieved, or transmitted, under any records requirements set forth in agency regulations. This part also applies to electronic records submitted to the agency under requirements of the Federal Food, Drug, and Cosmetic Act and the Public Health Service Act, even if such records are not specifically identified in agency regulations. However, this part does not apply to paper records that are, or have been, transmitted by electronic means.
- (c) Where electronic signatures and their associated electronic records meet the requirements of this part, the agency will consider the electronic signatures to be equivalent to full handwritten signatures, initials, and other general signings as required by agency regulations, unless specifically excepted by regulation(s) effective on or after August 20, 1997.
- (d) Electronic records that meet the requirements of this part may be used in lieu of paper records, in accordance with 11.2, unless paper records are specifically required.
- (e) Computer systems (including hardware and software), controls, and attendant documentation maintained under this part shall be readily available for, and subject to, FDA inspection.
- (f) This part does not apply to records required to be established or maintained by 1.326 through 1.368 of this chapter. Records that satisfy the requirements of part 1, subpart J of this chapter, but that also are required under other applicable statutory provisions or regulations, remain subject to this part.
- (g) This part does not apply to electronic signatures obtained under 101.11(d) of this chapter.
- (h) This part does not apply to electronic signatures obtained under 101.8(d) of this chapter.
- (i) This part does not apply to records required to be established or maintained by part 117 of this chapter. Records that satisfy the requirements of part 117 of this chapter, but that also are required under other applicable statutory provisions or regulations, remain subject to this part.
- (j) This part does not apply to records required to be established or maintained by part 507 of this chapter. Records that satisfy the requirements of part 507 of this chapter, but that also are required under other applicable statutory provisions or regulations, remain subject to this part.
- (k) This part does not apply to records required to be established or maintained by part 112 of this chapter. Records that satisfy the requirements of part 112 of this chapter, but that also are required under other applicable statutory provisions or regulations, remain subject to this part.
- (l) This part does not apply to records required to be established or maintained by subpart L of part 1 of this chapter. Records that satisfy the requirements of subpart L of part 1 of this chapter, but that also are required under other applicable statutory provisions or regulations, remain subject to this part.
- (m) This part does not apply to records required to be established or maintained by subpart M of part 1 of this chapter. Records that satisfy the requirements of subpart M of part 1 of this chapter, but that also are required under other applicable statutory provisions or regulations, remain subject to this part.
- (n) This part does not apply to records required to be established or maintained by subpart O of part 1 of this chapter. Records that satisfy the requirements of subpart O of part 1 of this chapter, but that also are required under other applicable statutory provisions or regulations, remain subject to this part.
- (o) This part does not apply to records required to be established or maintained by part 121 of this chapter. Records that satisfy the requirements of part 121 of this chapter, but that also are required under other applicable statutory provisions or regulations, remain subject to this part.

[62 FR 13464, Mar. 20, 1997, as amended at 69 FR 71655, Dec. 9, 2004; 79 FR 71253, 71291, Dec. 1, 2014; 80 FR 71253, June 19, 2015; 80 FR 56144, 56336, Sept. 17, 2015; 80 FR

74352, 74547, 74667, Nov. 27, 2015; 81 FR 20170, Apr. 6, 2016; 81 FR 34218, May 27, 2016]

SEC. 11.2 IMPLEMENTATION

- (a) For records required to be maintained but not submitted to the agency, persons may use electronic records in lieu of paper records or electronic signatures in lieu of traditional signatures, in whole or in part, provided that the requirements of this part are met.
- (b) For records submitted to the agency, persons may use electronic records in lieu of paper records or electronic signatures in lieu of traditional signatures, in whole or in part, provided that:
 - (1) The requirements of this part are met; and
 - (2) The document or parts of a document to be submitted have been identified in public docket No. 92S-0251 as being the type of submission the agency accepts in electronic form. This docket will identify specifically what types of documents or parts of documents are acceptable for submission in electronic form without paper records and the agency receiving unit(s) (e.g., specific center, office, division, branch) to which such submissions may be made. Documents to agency receiving unit(s) not specified in the public docket will not be considered as official if they are submitted in electronic form; paper forms of such documents will be considered as official and must accompany any electronic records. Persons are expected to consult with the intended agency receiving unit for details on how (e.g., method of transmission, media, file formats, and technical protocols) and whether to proceed with the electronic submission.

SEC. 11.3 DEFINITIONS

- (a) The definitions and interpretations of terms contained in section 201 of the act apply to those terms when used in this part.
- (b) The following definitions of terms also apply to this part:
 - (1) *Act* means the Federal Food, Drug, and Cosmetic Act (secs. 201-903 (21 U.S.C. 321-393)).
 - (2) *Agency* means the Food and Drug Administration.
 - (3) *Biometrics* means a method of verifying an individual's identity based on measurement of the individual's physical feature(s) or repeatable action(s) where those features and/or actions are both unique to that individual and measurable.
 - (4) *Closed system* means an environment in which system access is controlled by persons who are responsible for the content of electronic records that are on the system.

- (5) *Digital signature* means an electronic signature based upon cryptographic methods of originator authentication, computed by using a set of rules and a set of parameters such that the identity of the signer and the integrity of the data can be verified.
- (6) *Electronic record* means any combination of text, graphics, data, audio, pictorial, or other information representation in digital form that is created, modified, maintained, archived, retrieved, or distributed by a computer system.
- (7) *Electronic signature* means a computer data compilation of any symbol or series of symbols executed, adopted, or authorized by an individual to be the legally binding equivalent of the individual's handwritten signature.
- (8) *Handwritten signature* means the scripted name or legal mark of an individual handwritten by that individual and executed or adopted with the present intention to authenticate a writing in a permanent form. The act of signing with a writing or marking instrument such as a pen or stylus is preserved. The scripted name or legal mark, while conventionally applied to paper, may also be applied to other devices that capture the name or mark.
- (9) *Open system* means an environment in which system access is not controlled by persons who are responsible for the content of electronic records that are on the system.

SUBPART B: ELECTRONIC RECORDS

SEC. 11.10 CONTROLS FOR CLOSED SYSTEMS

Persons who use closed systems to create, modify, maintain, or transmit electronic records shall employ procedures and controls designed to ensure the authenticity, integrity, and, when appropriate, the confidentiality of electronic records, and to ensure that the signer cannot readily repudiate the signed record as not genuine. Such procedures and controls shall include the following:

- (a) Validation of systems to ensure accuracy, reliability, consistent intended performance, and the ability to discern invalid or altered records.
- (b) The ability to generate accurate and complete copies of records in both human readable and electronic form suitable for inspection, review, and copying by the agency. Persons should contact the agency if there are any questions regarding the ability of the agency to perform such review and copying of the electronic records.
- (c) Protection of records to enable their accurate and ready retrieval throughout the records retention period.

- (d) Limiting system access to authorized individuals.
- (e) Use of secure, computer-generated, time-stamped audit trails to independently record the date and time of operator entries and actions that create, modify, or delete electronic records. Record changes shall not obscure previously recorded information. Such audit trail documentation shall be retained for a period at least as long as that required for the subject electronic records and shall be available for agency review and copying.
- (f) Use of operational system checks to enforce permitted sequencing of steps and events, as appropriate.
- (g) Use of authority checks to ensure that only authorized individuals can use the system, electronically sign a record, access the operation or computer system input or output device, alter a record, or perform the operation at hand.
- (h) Use of device (e.g., terminal) checks to determine, as appropriate, the validity of the source of data input or operational instruction.
- (i) Determination that persons who develop, maintain, or use electronic record/electronic signature systems have the education, training, and experience to perform their assigned tasks.
- (j) The establishment of, and adherence to, written policies that hold individuals accountable and responsible for actions initiated under their electronic signatures, in order to deter record and signature falsification.
- (k) Use of appropriate controls over systems documentation including:
 - (1) Adequate controls over the distribution of, access to, and use of documentation for system operation and maintenance.
 - (2) Revision and change control procedures to maintain an audit trail that documents time-sequenced development and modification of systems documentation.

SEC. 11.30 CONTROLS FOR OPEN SYSTEMS

Persons who use open systems to create, modify, maintain, or transmit electronic records shall employ procedures and controls designed to ensure the authenticity, integrity, and, as appropriate, the confidentiality of electronic records from the point of their creation to the point of their receipt. Such procedures and controls shall include those identified in 11.10, as appropriate, and additional measures such as document encryption and use of appropriate digital signature standards to ensure, as necessary under the circumstances, record authenticity, integrity, and confidentiality.

SEC. 11.50 SIGNATURE MANIFESTATIONS

- (a) Signed electronic records shall contain information associated with the signing that clearly indicates all of the following:
 - (1) The printed name of the signer;
 - (2) The date and time when the signature was executed; and
 - (3) The meaning (such as review, approval, responsibility, or authorship) associated with the signature.
- (b) The items identified in paragraphs (a)(1), (a)(2), and (a)(3) of this section shall be subject to the same controls as for electronic records and shall be included as part of any human readable form of the electronic record (such as electronic display or printout).

SEC. 11.70 SIGNATURE/RECORD LINKING

Electronic signatures and handwritten signatures executed to electronic records shall be linked to their respective electronic records to ensure that the signatures cannot be excised, copied, or otherwise transferred to falsify an electronic record by ordinary means.

SUBPART C: ELECTRONIC SIGNATURES

SEC. 11.100 GENERAL REQUIREMENTS

- (a) Each electronic signature shall be unique to one individual and shall not be reused by, or reassigned to, anyone else.
- (b) Before an organization establishes, assigns, certifies, or otherwise sanctions an individual's electronic signature, or any element of such electronic signature, the organization shall verify the identity of the individual.
- (c) Persons using electronic signatures shall, prior to or at the time of such use, certify to the agency that the electronic signatures in their system, used on or after August 20, 1997, are intended to be the legally binding equivalent of traditional handwritten signatures.
 - (1) The certification shall be submitted in paper form and signed with a traditional handwritten signature, to the Office of Regional Operations (HFC-100), 5600 Fishers Lane, Rockville, MD 20857.
 - (2) Persons using electronic signatures shall, upon agency request, provide additional certification or testimony that a specific electronic signature is the legally binding equivalent of the signer's handwritten signature.

SEC. 11.200 ELECTRONIC SIGNATURE COMPONENTS AND CONTROLS

- (a) Electronic signatures that are not based upon biometrics shall:
 - (1) Employ at least two distinct identification components such as an identification code and password.

- (i) When an individual executes a series of signings during a single, continuous period of controlled system access, the first signing shall be executed using all electronic signature components; subsequent signings shall be executed using at least one electronic signature component that is only executable by, and designed to be used only by, the individual.
- (ii) When an individual executes one or more signings not performed during a single, continuous period of controlled system access, each signing shall be executed using all of the electronic signature components.
- (2) Be used only by their genuine owners; and
- (3) Be administered and executed to ensure that attempted use of an individual's electronic signature by anyone other than its genuine owner requires collaboration of two or more individuals.
- (b) Electronic signatures based upon biometrics shall be designed to ensure that they cannot be used by anyone other than their genuine owners.

SEC. 11.300 CONTROLS FOR IDENTIFICATION CODES/PASSWORDS

Persons who use electronic signatures based upon use of identification codes in combination with passwords shall employ

controls to ensure their security and integrity. Such controls shall include:

- (a) Maintaining the uniqueness of each combined identification code and password, such that no two individuals have the same combination of identification code and password.
- (b) Ensuring that identification code and password issuances are periodically checked, recalled, or revised (e.g., to cover such events as password aging).
- (c) Following loss management procedures to electronically deauthorize lost, stolen, missing, or otherwise potentially compromised tokens, cards, and other devices that bear or generate identification code or password information, and to issue temporary or permanent replacements using suitable, rigorous controls.
- (d) Use of transaction safeguards to prevent unauthorized use of passwords and/or identification codes, and to detect and report in an immediate and urgent manner any attempts at their unauthorized use to the system security unit, and, as appropriate, to organizational management.
- (e) Initial and periodic testing of devices, such as tokens or cards, that bear or generate identification code or password information to ensure that they function properly and have not been altered in an unauthorized manner.

16 Product-Specific Bioequivalence Testing Protocols

To receive approval for an abbreviated new drug application (aNDA), applicants generally must demonstrate, among other things, that their product has the same active ingredient, dosage form, strength, route of administration, and conditions of use as the listed drug, and that the proposed drug product is bioequivalent to the reference listed drug (21 USC 355(j)(2)(A); 21 CFR 314.94(a)). Bioequivalent drug products show no significant difference in the rate and extent of absorption of the therapeutic ingredient (21 USC 355(j)(8); 21 CFR 320.1(e)). Bioequivalence (BE) studies are undertaken in support of aNDA submissions with the goal of demonstrating BE between a proposed generic drug product and its reference listed drug. The regulations governing BE are provided at 21 CFR in part 320.

The U.S. Food and Drug Administration (FDA) has recently begun to promulgate individual BE requirements. To streamline the process for making guidance available to the public on how to design product-specific BE studies, the U.S. FDA will be issuing product-specific BE recommendations (www.fda.gov/cder/ogd/index.htm). Given in the following are the current recommendations for the products of relevance to this specific volume of the book:

Amoxicillin; Clavulanate Potassium Suspension/

Oral. *Recommended studies:* Three studies. (1) *Type of study:* Fasting. *Design:* Single-dose, two-way crossover in vivo. *Strength:* 600 mg/EQ 42.9 mg (base)/5 mL. *Subjects:* Normal healthy males and females, general population. *Additional comments:* (2) *Type of study:* Fed. *Design:* Single-dose, two-way crossover in vivo. *Strength:* 600 mg/EQ 42.9 mg (base)/5 mL. *Subjects:* Normal healthy males and females, general population. *Additional comments:* (3) *Type of study:* Fasting. *Design:* Single-dose, two-way crossover in vivo. *Strength:* 400 mg/EQ 57 mg (base)/5 mL. *Subjects:* Normal healthy males and females, general population. *Additional comments:* *Analytes to measure:* Amoxicillin and clavulanate potassium in plasma. *Bioequivalence based on (90% confidence interval [CI]):* Amoxicillin and clavulanate potassium. *Waiver request of in vivo testing:* 200 mg/EQ 28.5 mg (base)/5 mL based on (i) acceptable BE studies on the 400 mg/EQ 57 mg (base)/5 mL strength, (ii) proportional similarity of the 200 mg/EQ 28.5 mg (base)/5 mL and 400 mg/EQ 57 mg (base)/5 mL strengths, and (iii) acceptable in vitro dissolution testing of the 200 mg/EQ 28.5 mg (base)/5 mL and 400 mg/EQ 57 mg (base)/5 mL strengths.

Carbamazepine Suspension/Oral. *Recommended studies:* Two studies. (1) *Type of study:* Fasting. *Design:* Single-dose, two-way crossover in vivo. *Strength:* 100 mg/5 mL. *Subjects:* Normal healthy males and females, general population. *Additional comments:* (2) *Type of study:* Fed. *Design:* Single-dose, two-way crossover in vivo. *Strength:* 100 mg/5 mL. *Subjects:* Normal healthy males and females, general population. *Additional comments:* *Analytes to measure (in appropriate biological fluid):* Carbamazepine in plasma. *Bioequivalence based on (90% CI):* Carbamazepine. *Waiver request of in vivo testing:* Not applicable.

Cefixime Suspension/Oral. *Recommended studies:* Two studies. (1) *Type of study:* Fasting. *Design:* Single-dose, two-way crossover in vivo. *Strength:* 200 mg/5 mL. *Subjects:* Normal healthy males and females, general population. *Additional comments:* Females should not be pregnant or lactating, and if applicable, should practice abstinence or contraception during the study. (2) *Type of study:* Fed. *Design:* Single-dose, two-way crossover in vivo. *Strength:* 200 mg/5 mL. *Subjects:* Normal healthy males and females, general population. *Additional comments:* Please see comment above. *Analytes to measure (in appropriate biological fluid):* Cefixime in plasma. *Bioequivalence based on (90% CI):* Cefixime. *Waiver request of in vivo testing:* 100 mg/5 mL based on (i) acceptable BE studies on the 200 mg strength/5 mL strength, (ii) proportional similarity of the formulations across all strengths, and (iii) acceptable in vitro dissolution testing of all strengths. A dosage unit for a suspension is the labeled strength (5 mL). A total of 12 units from 12 different bottles should be used.

Clarithromycin Granules for Suspension/Oral.

Recommended studies: Two studies. (1) *Type of study:* Fasting. *Design:* Single-dose, two-way crossover in vivo. *Strength:* 250 mg/5 mL. *Subjects:* Normal healthy males and females, general population. *Additional comments:* (2) *Type of study:* Fed. *Design:* Single-dose, two-way crossover in vivo. *Strength:* 250 mg/5 mL. *Subjects:* Normal healthy males and females, general population. *Additional comments:* *Analytes to measure:* Clarithromycin in plasma. *Bioequivalence based on (90% CI):* Clarithromycin. *Waiver request of in vivo testing:* 125 mg/5 mL based on (i) acceptable bioequivalence studies on the 250 mg strength/5 mL strength, (ii) proportional similarity of the formulations across

all strengths, and (iii) acceptable in vitro dissolution testing of all strengths.

Deferasirox Tablets for Oral Suspension.

Recommended studies: One study. *Type of study:* Fasting. *Design:* Single-dose, two-way crossover in vivo. *Strength:* 500 mg. *Subjects:* Normal healthy males and females, general population. *Additional comments:* The following passage is reproduced from the Dosage and Administration section of the labeling: Tablets should be completely dispersed by stirring in water, orange juice, or apple juice until a fine suspension is obtained. Doses of <1 g should be dispersed in 3.5 oz of liquid and doses of >1 g in 7.0 oz of liquid. After swallowing the suspension, any residue should be resuspended in a small volume of liquid and swallowed. Tablets should not be chewed or swallowed whole. *Analytes to measure (in appropriate biological fluid):* Deferasirox in plasma. *Bioequivalence based on (90% CI):* Deferasirox. *Waiver request of in vivo testing:* 250 and 125 mg tablets based on (i) acceptable BE studies on the 500 mg strength, (ii) proportionally similar across all strengths, and (iii) acceptable in vitro dissolution testing of all strengths.

Dextromethorphan Polistirex Extended-Release Oral Suspension/Oral.

Recommended studies: Two studies. (1) *Type of study:* Fasting. *Design:* Single-dose, two-way crossover in vivo. *Strength:* 30 mg/5 mL. *Subjects:* Normal healthy males and females, general population. *Additional comments:* (2) *Type of study:* Fed. *Design:* Single-dose, two-way crossover in vivo. *Strength:* 30 mg/5 mL. *Subjects:* Normal healthy males and females, general population. *Additional comments:* *Analytes to measure (in appropriate biological fluid):* Dextromethorphan and its metabolite Dextrorphan in plasma. *Bioequivalence based on (90% CI):* Dextromethorphan. Please submit the metabolite data as supportive evidence of comparable therapeutic outcome. For the metabolite, the following data should be submitted: individual and mean concentrations, individual and mean pharmacokinetic parameters, and geometric means and ratios of means for AUC and C_{max} . *Waiver request of in vivo testing:* Not applicable. A dosage unit for a suspension is the labeled strength (5 mL). A total of 12 units from 12 different bottles should be used. In addition to the method above, for modified-release products, dissolution profiles on 12 dosage units each of test and reference products generated using USP apparatus I at 100 rpm and/or apparatus II at 50 rpm in at least three dissolution media (pH 1.2, 4.5, and 6.8 buffer) should be submitted in the application. Agitation speeds may have to be increased, if appropriate. It is acceptable to add a small amount of surfactant, if necessary. Please include early sampling times of 1, 2, and 4 hours, and continue every 2 hours until at least 80% of the drug is released, to provide

assurance against premature release of drug (dose dumping) from the formulation.

Felbamate Oral Suspension/Oral.

Recommended studies: One study. *Type of study:* Fasting. *Design:* Multiple-dose, two-way steady-state crossover in vivo. *Strength:* 600 mg/5 mL. *Subjects:* Male and nonpregnant female epilepsy patients. *Additional comments:* Please also consider the following additional safety monitoring: (a) If any evidence of bone marrow (hematologic) depression occurs, felbamate treatment should be discontinued and a hematologist consulted to ensure appropriate medical care. (b) Additional criteria for exclusion from the study relative to baseline to be practiced, including (i) twofold increase in the highest, 2 day prestudy seizure frequency, (ii) single, generalized, tonic-clonic seizure if none occurred during pretreatment screening, and/or (iii) significant prolongation of generalized, tonic-clonic seizures. *Analytes to measure:* Felbamate in plasma. (1) Measurements of felbamate are requested on at least two consecutive days immediately prior to pharmacokinetic (PK) analysis days 7 and 14 to confirm steady-state concentrations of felbamate (i.e., additional consecutive measures on days 5, 6, and 12, 13). (2) Because felbamate is rapidly absorbed and reaches a peak plasma concentration within 1 to 3 hours post consumption, please also include blood sampling at 0.25 hours after drug dosing to accurately measure the absorption/distribution phases of the felbamate PK profile. (3) Patients who receive multiples of 600 mg of felbamate per day (1200–4800 mg/day) would be eligible for the study by continuing their established maintenance dose. Because patients will be administered different dosing regimens, the dose needs to be included in the analysis of variance (ANOVA) statistical model. Dose normalization is not advised. (4) No wash-out period is necessary between treatment periods. (5) You are encouraged to submit protocols for the in vivo bioequivalence studies to be conducted at steady state in patients already taking the reference listed drug (RLD) at a therapeutic dose for review prior to initiating the studies. *Bioequivalence based on (90% CI):* Felbamate. *Waiver request of in vivo testing:* Not applicable to all strengths of the test and reference products. A dosage unit for a suspension is the labeled strength (5 mL). A total of 12 units from 12 different bottles should be used.

Fosamprenavir Calcium Suspension/Oral.

Recommended studies: Two studies. (1) *Type of study:* Fasting. *Design:* Single-dose, two-treatment, two-period crossover in vivo. *Strength:* EQ 50 mg Base/mL (Dose=28 mL corresponding to a dose of 1400 mg). *Subjects:* Normal healthy males and females, general population. *Additional comments:* Females should not be pregnant or lactating, and if applicable, should practice abstention

or contraception during the study. Bottle should be shaken well before drug administration. (2) *Type of study*: Fed. *Design*: Single-dose, two-treatment, two-period crossover in vivo. *Strength*: EQ 50 mg Base/mL (Dose=28 mL corresponding to a dose of 1400 mg). *Subjects*: Normal healthy males and females, general population. *Additional comments*: Please see comment above. *Analytes to measure (in appropriate biological fluid)*: Amprenavir, the active metabolite of fosamprenavir, in plasma. *Bioequivalence based on (90% CI)*: Amprenavir. *Waiver request of in vivo testing*: Not applicable.

Ibuprofen and Pseudoephedrine Hydrochloride Suspension/Oral. *Recommended studies*: Two studies. (1) *Type of study*: Fasting. *Design*: Single-dose, two-way crossover in vivo. *Strength*: 100 mg/5 mL and 15 mg/5 mL. *Subjects*: Normal healthy males and females, general population. *Additional comments*: (2) *Type of study*: Fed. *Design*: Single-dose, two-way crossover in vivo. *Strength*: 100 mg/5 mL and 15 mg/5 mL. *Subjects*: Normal healthy males and females, general population. *Additional comments*: *Analytes to measure*: Ibuprofen and pseudoephedrine in plasma. *Bioequivalence based on (90% CI)*: Ibuprofen and pseudoephedrine. *Waiver request of in vivo testing*: Not applicable to all strengths of the test and reference products. A dosage unit for a suspension is the labeled strength (5 mL). A total of 12 units from 12 different bottles should be used.

Meloxicam Suspension/Oral. *Recommended studies*: Two studies. (1) *Type of study*: Fasting. *Design*: Single-dose, two-way crossover in vivo dose and suspension. *Strength*: 5 mL of 7.5 mg/5 mL. *Subjects*: Normal healthy males and females, general population. *Additional comments*: Females should not be pregnant, and if applicable, should practice abstinence or contraception during the study. (2) *Type of study*: Fed. *Design*: Single-dose, two-way crossover in vivo dose and suspension. *Strength*: 5 mL of 7.5 mg/5 mL. *Subjects*: Normal healthy males and females, general population. *Additional comments*: Please see comment above. *Analytes to measure (in appropriate biological fluid)*: Meloxicam in plasma. *Bioequivalence based on (90% CI)*: Meloxicam. *Waiver request of in vivo testing*: Not applicable.

Nelfinavir Mesylate Suspension/Oral. *Recommended studies*: Two studies. (1) *Type of study*: Fasting. *Design*: Single-dose, two-treatment, two-period crossover in vivo. *Strength*: 50 mg/scoopful. *Subjects*: Normal healthy males and females, general population. *Additional comments*: (2) *Type of study*: Fed. *Design*: Single-dose, two-treatment, two-period crossover in vivo. *Strength*: 50 mg/scoopful. *Subjects*: Normal healthy males and females, general population. *Additional comments*: *Analytes to measure (in appropriate biological fluid)*: Nelfinavir in plasma. *Bioequivalence based on (90% CI)*:

Nelfinavir. *Waiver request of in vivo testing*: Not applicable.

Nevirapine Suspension/Oral. *Recommended studies*: Two studies. (1) *Type of study*: Fasting. *Design*: Single-dose, one-period parallel in vivo. *Strength*: 50 mg/5 mL. *Subjects*: Normal healthy males and females, general population. *Additional comments*: Because of safety concerns of severe life-threatening skin reactions and hepatotoxicity, single-dose parallel study designs in normal healthy subjects are recommended. (2) *Type of study*: Fed. *Design*: Single-dose, one-period parallel in vivo. *Strength*: 50 mg/5 mL. *Subjects*: Normal healthy males and females, general population. *Additional comments*: Please see comments above. *Analytes to measure (in appropriate biological fluid)*: Nevirapine in plasma. *Bioequivalence based on (90% CI)*: Nevirapine. *Waiver request of in vivo testing*: Not applicable.

Omeprazole Powder for Suspension/Oral. *Recommended studies*: One study. *Type of study*: Fasting. *Design*: Single-dose, two-treatment, two-period crossover in vivo. *Strength*: 40 mg/packet. *Subjects*: Normal healthy males and females, general population. *Additional comments*: *Analytes to measure*: Omeprazole in plasma. *Bioequivalence based on (90% CI)*: Omeprazole. *Waiver request of in vivo testing*: 20 mg/packet based on (i) acceptable bioequivalence study on the 40 mg strength, (ii) proportional similarity of the formulations across all strengths, and (iii) acceptable in vitro dissolution testing of all strengths. Since omeprazole powder for oral suspension, 20 mg/packet and 40 mg/packet, is subject to two separate New Drug Applications, two separate aNDAs must be submitted. A waiver of in vivo BE testing is available.

Oxcarbazepine Suspension/Oral. *Recommended studies*: Two studies. 1. *Type of study*: Fasting. *Design*: Single-dose, two-treatment, two-period crossover in vivo. *Strength*: 300 mg/5 mL (600 mg dose). *Subjects*: Normal healthy males and females, general population. *Additional comments*: (2) *Type of study*: Fed. *Design*: Single-dose, two-treatment, two-period crossover in vivo. *Strength*: 300 mg/5 mL (600 mg dose). *Subjects*: Normal healthy males and females, general population. *Additional comments*: *Analytes to measure (in appropriate biological fluid)*: Oxcarbazepine and its 10-hydroxy metabolite (monohydroxy derivative [MHD]) in plasma using an achiral assay. *Bioequivalence based on (90% CI)*: Oxcarbazepine. Please submit the metabolite data as supportive evidence of comparable therapeutic outcome. For the metabolite, the following data should be submitted: individual and mean concentrations, individual and mean pharmacokinetic parameters, and geometric means and ratios of means for AUC and C_{max} . *Waiver request of in vivo testing*: Not applicable. Please note that a dosage unit for a suspension

is the labeled strength (5 mL). A total of 12 units from 12 different bottles should be used.

Phenytoin Suspension/Oral. *Recommended studies:* Two studies. (1) *Type of study:* Fasting. *Design:* Single-dose, two-way crossover in vivo. *Strength:* 125 mg/5 mg (dose of 300 mg). *Subjects:* Normal healthy males and females, general population. *Additional comments:* Washout period of at least 14 days. The single-dose studies for fasting and fed can be conducted as single dose, two-treatment, four periods, replicated design. The strength(s) designated in the Orange Book as the RLD should be used in the studies. (2) *Type of study:* Fed. *Design:* Single-dose, two-way crossover in vivo. *Strength:* 125 mg/5 mg (dose of 300 mg). *Subjects:* Normal healthy males and females, general population. *Additional comments:* Please see comments above. *Analytes to measure:* Phenytoin in plasma. *Bioequivalence based on (90% CI):* Phenytoin. *Waiver request of in vivo testing:* Not applicable. Please conduct comparative dissolution testing on 12 dosage units of all strengths of the test and reference products using the USP method. A dosage unit for a suspension is the labeled strength (5 mL). A total of 12 units from 12 different bottles should be used.

Posaconazole Suspension/Oral. *Recommended studies:* Two studies. (1) *Type of study:* Fasting. *Design:* Single-dose, two-treatment, two-period crossover in vivo. *Strength:* 40 mg/mL (dose of 400 mg). *Subjects:* Normal healthy males and females, general population. *Additional comments:* Females must have a negative baseline pregnancy test within 24 hours prior to receiving the drug. Females should not be pregnant or lactating, and if applicable, should practice abstinence or contraception during

the study. (2) *Type of study:* Fed. *Design:* Single-dose, two-treatment, two-period crossover in vivo. *Strength:* 40 mg/mL (dose of 400 mg). *Subjects:* Normal healthy males and females, general population. *Additional comments:* Please see comment above. *Analytes to measure (in appropriate biological fluid):* Posaconazole in plasma. *Bioequivalence based on (90% CI):* Posaconazole. *Waiver request of in vivo testing:* Not applicable for product at this Web site. Please note that a dosage unit for a suspension is the labeled strength (mL). A total of 12 units from 12 different bottles should be used.

Sulfamethoxazole; Trimethoprim Suspension/Oral. *Recommended studies:* One study. *Type of study:* Fasting. *Design:* Single-dose, two-treatment, two-period crossover in vivo. *Strength:* 200 mg/40 mg per 5 mL. *Subjects:* Normal healthy males and females, general population. *Additional comments:* *Analytes to measure (in appropriate biological fluid):* Sulfamethoxazole and trimethoprim in plasma. *Bioequivalence based on (90% CI):* Sulfamethoxazole and trimethoprim. *Waiver request of in vivo testing:* Not applicable.

Voriconazole Suspension/Oral. *Recommended studies:* One study. *Type of study:* Fasting. *Design:* Single-dose, two-way crossover in vivo. *Strength:* 200 mg/5 mL. *Subjects:* Normal healthy males and females, general population. Females should not be pregnant, and if applicable, should practice abstinence or contraception during the study. *Additional comments:* *Analytes to measure (in appropriate biological fluid):* Voriconazole in plasma. *Bioequivalence based on (90% CI):* Voriconazole. *Waiver request of in vivo testing:* Not applicable.

17 Formulation Considerations

1. BACKGROUND

Many liquid formulations are inherently immediate-release products. Placing a native drug directly in a liquid solution for delivery eliminates the hydration and disintegration processes that are required to release drug from a solid dosage form. Extending release for a liquid product may be a significant challenge. An aqueous solution of a water-soluble drug can potentially be incorporated into an immediate-release liquid product. Poorly soluble drugs can be incorporated as a suspension; however, the poor solubility may prevent the achievement of immediate release. Regardless of the dissolved or suspended state, the drug must be stable with respect to oxidation and hydrolysis. Depending on drug properties, it may need to retain a consistent active form or morphology during the product shelf life. Also, the formulation itself must taste acceptable to the patient at the dosing concentration.

Stability and solubility concerns alone prevent the application of many drugs directly into a liquid formulation. The bad taste of most drugs limits successful direct incorporation into a liquid dose form depending on the extent of bad taste and the dose/concentration requirement. Due to these constraints, there is a narrow range of drugs that are directly incorporated into a shelf-stable liquid product.

Successful formulations generally are appropriately pH buffered and flavored, and contain any other required stabilizers, suspending agents, and preservatives to meet the stability and delivery goals.

2. ION RESIN COMPLEXES

Ion resin complexes can potentially be used for immediate-release formulations depending on drug affinity for the ion exchange resin; however, they are particularly useful for extended-release liquid formulations. The stability afforded by the bond to the ion exchange resin, coupled with the ability to control drug release from the resin, offers a platform for extended release from an oral liquid product. The key challenges encountered when formulating shelf-stable oral liquid dosage forms include the following:

- Overcoming the bad taste of the drug
- Achieving a desired release profile
- Maintaining drug stability

Ion resin suspension technology offers a potential means of addressing all these concerns. Most drug molecules have basic or acidic functionalities that ionize readily, or they are relatively polar; thus, they can potentially be bound to an appropriately charged ion exchange resin surface to form an ion resin complex. Once bound and in the absence of significant

competing ions, the drug is effectively immobilized and in some cases, stabilized with respect to some degradation processes. The bad taste of a drug may be reduced significantly by the ionic binding, which reduces drug availability to taste receptors; thus, it can provide an effective means of taste concealing. The ion resin complex produced by binding a drug to the insoluble polymeric matrix of an ion exchange resin may exhibit the taste and odor properties of the ion exchange resin itself, not of the drug.

Ion resin complexes can be incorporated into a variety of shelf-stable solid oral dosage forms in addition to liquids. Upon ingestion, the high ionic strength of the gut displaces drug from the resin to make it bioavailable. This release from the resin is governed by ion exchange equilibria, and as released drug is taken in by the body, the equilibrium shifts toward complete drug bioavailability. Undigested resin passes through the gastrointestinal tract.

Drug release profiles with this technology can be manipulated through a number of variables, including drug affinity factors, particle size, drug:resin ratio, and the application of controlled-release coatings to the drug–resin complexes. Drug molecules with low affinity for the resin typically maintain an immediate-release profile, as they are easily displaced from the resin in the gut. Drug molecules with high affinity for the resin are more difficult to displace from the resin and may naturally exhibit an extended-release profile. Particle size distribution can significantly affect drug release as well. Typically, smaller particles offer more surface area and therefore, faster drug release than larger particles. The ratio of drug:resin used in the preparation of an ion resin complex can also affect the rate of drug release. While drug release can be controlled to a minor extent for both low- and high-affinity materials through resin selection, particle size, drug:resin ratio, and liquid formulation parameters, the addition of a coating is often needed to achieve a target extended-release pattern. Drug release in these systems is regulated primarily by the diffusion rate of competing ions through the applied membrane, which is controlled by the membrane's thickness and porosity. Release rates of up to 12 and 24 h from a liquid suspension format can be achieved with this technology.

3. ION EXCHANGE RESINS

Ion exchange equilibria are governed by the relative affinity and concentrations of competing ions for available exchange sites. A high-affinity ion will easily displace a low-affinity ion. A low-affinity ion at relatively high concentration can effectively displace a high-affinity ion. The same principle is used in ion exchange water softening systems, where high-affinity divalent ions such as calcium are trapped on a cation exchange resin, as they easily displace low-affinity

sodium ions. When the resin is exhausted and primarily in the calcium form, it is regenerated by passing a saturated solution of sodium chloride over it; the high population of sodium ions effectively displaces the higher-affinity calcium ions to return the resin to the sodium form. A strong cation exchange resin (sulfonate-based Amberlite IRP69), weak cation exchange resins (carboxylate-based Amberlite IRP64 and Amberlite IRP88), and a strong anion exchange resin (quaternary ammonium-based Duolite AP143) are available. Approval is also anticipated for a weak anion exchange resin based on a tertiary amine function. Resin selection is based on several factors, including the anionic or cationic character of the drug and its affinity for the resin. Ion affinity can be controlled somewhat on the weak acid resins through pH adjustments, while affinity for the strong acid resin is relatively fixed by the drug's ionic properties. Additional resins under the trade names Indion, Tulsion, Purolite, and Kyron are used in some regulatory markets.

3.1 DRUG LOADING

Drug loading on the resin can potentially be done in a flow-through column or bed of the resin; however, it is more commonly done in a batch process by mixing drug and resin together in an appropriate solvent medium. The solvent is commonly water, but other polar solvent systems such as ethanol may be applicable if needed. As the drug dissolves, the drug ions exchange with the counterion of the resin. Loading efficiency is dependent primarily on the equilibrium of the exchange. For maximum loading, the drug:resin ratio is maximized to force the equilibrium to more complete loading. In addition, multiple loading steps can potentially be used to force additional load. For less than maximum loading, a lower drug:resin ratio can be applied.

Temperature, pH, and choice of solvent for the drug loading process can also be manipulated to maximize drug loading. This process works even for low-solubility drugs, because uptake of the drug by the ion exchange resin allows more drug to dissolve until equilibrium is achieved.

To reduce free drug and competing ion content, the drug-resin complex slurry is typically filtered or centrifuged to remove the liquid portion, which contains the displaced counterions of both the drug and resin and remaining free, dissolved drug. The amount of drug lost to meet high loading requirements can be significant; thus, optimization of this loading and washing process can be a critical economic consideration. Additional washing processes can then be used to remove residual free salt ions and residual free drug. The resulting wet cake is then processed as required by the dosage form. This processing could include direct incorporation into a liquid suspension, drying for incorporation into a solid dosage form, or drying and coating for incorporation into a solid or liquid dosage form. If coated particles are incorporated into a liquid suspension product, it is critical that any swelling associated with rehydration has been adequately addressed in the formulation to minimize or eliminate film coat fracturing.

Dried drug-resin complexes containing up to ~40% drug load can be achieved depending on the exchange capacity, loading process, drug structure, and coating requirements.

Note that the ionic form of the cation exchangers varies. In general, the counterions are weak-affinity ions that are relatively easy to displace with an ionized drug. Although counterion affinities are all relatively low, the affinity of cations for the cation exchange materials is ranked from potassium, with the highest affinity, to sodium, to hydrogen, with the lowest affinity. The potential ramifications of counterion choice may be of minimal importance, but the following factors should be considered:

Resins are highly porous structures with exchange sites throughout the particles, and they shrink or swell in relation to the ionic form. The shrink and swell is related to ion size with the following size order: drug >K⁺ >Na⁺ >H⁺. Hydration level and degree of cross-linking within the resin will also influence the amount of swell.

Drug ions are larger than the original resin counterions; thus, resins will typically swell with drug load.

Drug will not typically load to the full exchange capacity of the resin due to the exchange equilibrium and steric constraints associated with drug ion size. Typical loadings for Amberlite IRP69 cation exchange resin and Duolite AP143 anion exchange resin are between 5 and 75% and between 5 and 50% of the exchange capacity, respectively.

As drug loads, resin and drug counterions remain in the liquid phase. The hydrogen form of the resin will yield an acidic liquid phase.

If coating of the drug-resin complex is required, the shrink and swell inherent in the resin can have a catastrophic effect on the film coat. The amount of shrink or swell and the steps taken to allow for it are vital to the success of the coating process.

3.2 COATING DRUG-RESIN COMPLEXES

Coating of the drug resin complex is typically done with the Wurster (bottom spray) fluid-bed coating process using a semipermeable coating polymer such as ethylcellulose. The Wurster process is described in the Taste Concealing section of this book. Unless another means of adequately overcoming the resin shrink and swell factors is employed, the coating is often applied from a solvent vehicle to take advantage of the added film coat strength associated with film coat morphology and higher polymer molecular weight compared with aqueous latex or pseudo-latex systems. High-modulus films may also be used to stretch as the resin swells.

A shelf-stable ion-resin suspension is composed of drug-loaded resin with a coating (if coating is required) along with flavor, viscosity, suspension, and nonionic preservative agents. These suspensions shift to an equilibrium after preparation, as ion exchange processes continuously occur on the suspended drug-resin complex even if a controlled-release membrane has been applied. Although the coating may slow the rate of equilibration, it will eventually reach an equilibrium

point. The complexity of this equilibration can be significant if multiple drug–resin complexes are incorporated into a single product, as residual high-affinity drug will easily displace low-affinity drug. The exchange of drugs between the two drug–resin complexes and varying film coat requirements for the two drugs can significantly shift the release profiles.

4. CYCLODEXTRINS

Inclusion complexes are structures composed of a guest molecule within a host cavity. The most widely used host structures are cyclodextrins. These cyclic oligosaccharides favor the inclusion of nonhydrophilic substances within their toroidal structures. The first observation of cyclodextrins was recorded in 1891, the microorganisms that naturally produce them were first isolated in ~1906, and the first cyclodextrin structure elucidations were reported in 1936 and 1948–1950. A 1953 German patent has been noted to describe many of the potential benefits of cyclodextrin inclusion complexes for drug formulation. There is a significant amount of published literature on cyclodextrins and their uses, and much of it is somewhat redundant. One of the challenges to a formulator is to assimilate it all in order to extract what may be relevant to a particular application. A condensed overview of cyclodextrin use in relation to oral pharmaceutical formulations is attempted in this section.

Cyclodextrins are composed of (α -1,4)-linked α -D-glucopyranose units. Sizes commonly used in pharmaceutical products contain six (α -cyclodextrin or α -CD), seven (β -cyclodextrin or β -CD), and eight units (γ -cyclodextrin or γ -CD). Several derivatives of these natural cyclodextrins have been synthesized or developed to optimize their utility in various ways. The goals of derivatization generally include

- Improved solubility of the cyclodextrin and its guest–host complex
- An improved fit for the guest molecule
- Addition of functional sites (catalytic or otherwise) on the cyclodextrin surface

Derivatives generally substitute an R group for the H atom of one or more hydroxyl functions in the cyclodextrin and/or create a polymeric structure. Methyl and hydroxypropyl derivatives have been successfully commercialized.

β -Cyclodextrin and its derivatives have received most attention in oral pharmaceuticals, since it is most suitably sized for many drug molecules. United States Pharmacopeia (USP)/National Formulary (NF) monographs exist for β -cyclodextrin (Betadex), γ -cyclodextrin (Cyclodextrin, Gamma), and hydroxypropyl- β -cyclodextrin (Hydroxypropyl Betadex).

The regulatory status of cyclodextrins continues to evolve. Cyclodextrins are sold under several trade names, including Cavamax, Cavasol, Cavitron, Kleptose, and Trappsol.

The full scope of the potential advantages of cyclodextrin inclusion complexes in oral pharmaceutical formulations includes the following:

- Stabilization of unstable compounds
- Reduced volatility of volatile compounds
- Prevention of irritation due to poorly soluble crystalline materials
- Transformation of liquids to a solid crystalline form
- Increased drug dissolution rate and solubility
- Increased bioavailability
- Taste concealing
- Protection of drug from oxidation or polymerization
- Reduced reactivity of incompatible compounds

Cyclodextrins provide a means to solubilize poorly soluble drugs and stabilize reactive drugs for successful incorporation into solution or suspension liquid dosage forms. Cyclodextrin complexes are often 1:1 pairings of a guest molecule within a cyclodextrin ring; however, complexes of one guest with two or more cyclodextrin ring caps can occur. In addition, association of guest molecules with the outer surface of the cyclodextrin can occur.

Cyclodextrin complexes are typically formed in a liquid environment. Depending on the physical characteristics and needs of the formulation, a variety of methods, including solution, coprecipitation, neutralization, slurry, kneading, and grinding processes, have been employed. In general, water is relatively loosely contained in the cyclodextrin cavity due to the relatively hydrophobic internal surface of the cavity and an unfavorable orientation of the water molecules. Less hydrophilic materials of appropriate size displace the water with relative ease to form a more stable complex. Complexes can be isolated by filtration or centrifugation to yield a clear solution of the soluble complex. Spray drying or lyophilization can be used to create a dry complex.

Potential concerns of cyclodextrin:drug inclusion complex application include the possibility of inducing drug polymorphism or cocrystal formation depending on drug properties and the presence of other formulation components.

Drug release from a complex is generally achieved by displacement with large amounts of water or the presence of competing molecules. The contents of the gut provide water and competing molecules. Drug release is also realized by enzymatic degradation of the cyclodextrin structure in the gut.



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18 Pediatric Pharmaceutical EU Legislation

BACKGROUND

Following similar initiatives in the United States that led to the FDAMA (FDA Modernization Act) in 1997, the discussion in Europe to specifically address requirements for drug use in the pediatric population dates back at least to the 1990s, mainly initiated by academic and scientific societies such as the European Society of Developmental Perinatal and Pediatric Pharmacology (ESDP). The basic idea that there is a need to establish a legislative framework with regard to pediatric medicines was one of the outcomes of an expert round table organized by the European Commission at the European Medicines Agency (EMA) in 1997. The European Commission also initiated discussions on the performance of clinical trials in children, mainly in collaboration with the International Conference on Harmonisation (ICH), finally resulting in the ICH guideline “Note for guidance on clinical investigation of medicinal products in the pediatric population” (ICH Topic E11), which entered into force in 2002.

In parallel, at the EMA, the Committee for Proprietary Medicinal Products (CPMP) founded an ad hoc Pediatric Expert Group (PEG). With implementation of Regulation EC no.726/2004, when CPMP was reorganized into the Committee for Medicinal Products for Human Use (CHMP), the PEG was transformed into one of its temporary working parties comprising experts of different areas and also establishing links to other CHMP working parties and the Committee for Orphan Medicinal Products (COMP). The mandate of the PEG was to coordinate activities at the EMA and advise its scientific bodies.

The Council of Health Ministers adopted a resolution addressed to the European Commission in 2000 raising the desire for a legislative proposal on the topic of pediatric medicines with high public health priority. After an extended impact assessment (comprising also economic and social consequences) of such prospective legislation, outlining started with a first draft proposal in 2004. After amendments, agreement was reached in December 2005. The Regulation was adopted by the European Parliament in June 2006, was published in December 2006, and entered into force on January 26, 2007. It comprises Regulation No. 1901/2006 and amending Regulation No. 1902/2006.

THE MAIN POINTS IN THE REGULATION

The Pediatric Regulation sets up a framework governing mandatory requirements and incentives for industry in the development of new medicinal products as well as a series of accompanying measures to facilitate approaches to gain access to and improve the exchange of relevant information on medicinal products in pediatric use. By such means, it

aims to facilitate the development and accessibility of medicinal products for use in the pediatric population and to ensure that medicinal products used in children are subject to ethical research of high standards and are appropriately authorized. In addition, the information available on the use of medicinal products in the various pediatric populations should be improved.

It is also stated that this should be achieved without subjecting the pediatric population to unnecessary clinical trials and without delaying the authorization of medicinal products for older age groups; to the latter aim, measures in children can be deferred, which means that studies in children can be initiated and/or completed after the application for marketing authorization in adults is submitted. Clearly, to avoid delays, compliance with the required early submission of pediatric investigation plan (PIP)/waiver applications is critical. One of the cornerstones of the Regulation is establishing a Pediatric Committee (PDCO) within the EMA composed of one member and one alternate member from each member state of the EU (plus Norway and Iceland); for five countries, these two members should also be the representatives in the CHMP. In addition, the European Commission appoints three members + alternates to represent health professionals and three members + alternates to represent pediatric associations. The member states should coordinate their nominations to ensure that scientific areas relevant to pediatric medicinal product development are well represented.

The PDCO has several roles as defined in the Pediatric Regulation; among these are:

- It has to assess and to agree on the content of PIPs for medicinal products as proposed by industry, including agreement on proposed modifications of such PIPs.
- It can also waive the need for a pediatric development or can agree to defer specific developmental steps for specific medicinal products where deemed appropriate.
- On request, it must assess the compliance of an applicant with the agreed PIP.
- On the request of assessing bodies (CHMP or National Competent Authorities), it can be involved in assessing data generated in accordance with an agreed PIP and can formulate opinions on quality, safety, or efficacy for the use of such products in the pediatric population.
- It should advise and assist scientifically in the elaboration of any documents related to fulfilling this regulation.
- It should establish and keep updated a specific inventory of pediatric medicinal product needs.

- It should advise the EMA and the European Commission on conducting research into medicinal products for pediatric use.
- It should advise and support EMA in establishing a European Network of existing national and European networks, investigators, and centers with expertise in performing studies in the pediatric population.

The regulation has put into force the requirement for an agreed opinion with the PDCO prior to an application for marketing authorization (MAA) for any unauthorized medicinal product for human use (Art. 7). In principle, all pediatric subsets/age ranges have to be covered in a PIP. Such PDCO opinions can include agreements on generating data in trials and/or collecting information in compliance with an agreed PIP (with or without deferrals). For all agreed measures, a compliance check prior to MAA submission has to be performed by the PDCO (or by a National Competent Authority [NCA] for non-centralized route applications). A positive outcome is required for a valid MAA. On the other hand, a PDCO opinion can also contain a product-specific waiver or a class waiver, limiting the obligation to conduct certain pediatric studies.

The need for agreement on a PIP is also given in the case of already authorized medicinal products, which are protected either by a supplementary protection certificate (SPC) or by a patent qualifying for such an SPC (Art. 8). For these products, a PIP is needed if an MAA for a new indication, a new pharmaceutical form, or a new route of administration is planned. Several products are exempted from the need for a PIP, including those submitted via the route of a generic, homeopathic, herbal, or well-established use application.

Opinions on agreed PIPs have to contain measures to assess the quality, safety, and efficacy of a medicinal product in all concerned pediatric subsets. They also need to include timelines and measures to adapt the formulation of the medicinal product to make its use more acceptable, easier, safer, or more effective for relevant subsets of the pediatric population.

Waivers can be granted for part or all of the pediatric population. This has to be based on evidence either that a product is not ineffective or unsafe, that a condition for which the product is intended is probable, or that the specific product would represent a significant therapeutic benefit over existing treatments.

This clearly implies that lack of such evidence would not be a reason for waiving a development. Therefore, such waivers can be very specifically limited to one or more pediatric population subsets and/or conditions. The reason why a waiver is granted is part of the opinion, and this information is also published.

One of the challenges of this regulation in the EU is the early point in time when such a proposal should be submitted. Unless duly justified, this should be not later than upon availability of the human pharmacokinetic data in adults. This should not be misinterpreted as a need to start the pediatric development so early; rather, it should ensure that there is sufficient time to integrate the pediatric plan appropriately into the integral development of a product. The actual timing of

pediatric trials to be performed would then be agreed by also granting deferrals for the planned measures if a delayed initiation or completion for collecting some data seems appropriate. Such early discussion can, for example, depending on the development planned, safeguard sufficient time in elaborating on age-appropriate formulation efforts without generating delays.

Deferrals can be agreed for the initiation or completion of any measures that are included in a pediatric development plan, if scientifically or technically justifiable on grounds related to public health. In practice, this very often will imply that adult data are available prior to initiating pediatric trials. However, also other reasons could be valid justifications for deferring measures; for example, longer recruitment time. Linking deferral timelines to regulatory milestones rather than scientific reasons (e.g. approved marketing authorization in adults) would not be considered an appropriate justification.

The Pediatric Regulation also foresees a specific voluntary procedure for products not covered by Art. 7 or 8. For already marketed off-patent products, a pediatric indication can be claimed by submitting a dossier including documents establishing quality, safety, and efficacy in the pediatric population, including an age-appropriate formulation. Such a Pediatric Use Marketing Authorisation (PUMA, Art. 30) would qualify for 10 year (market and) data protection if performed in compliance with a prior agreed PIP.

IMPLICATIONS

What does this mean in practical terms? A company that has the intention to develop a new drug product, or plans new pharmaceutical forms/routes or new indications for an approved medicinal product still under patent protection, will have to consider whether this product can fulfil a pediatric need, including all subsets/age ranges up to 18 years. And, it will be required to submit this plan to the EMA PDCO to discuss the planned development or argumentation for waiving or deferring measures in this development plan.

The pediatric needs that exist in the planned condition will have to be considered. Such unmet medical needs are determined based on the occurrence of a condition and lack of or limitations in current therapeutic options. It should be kept in mind that this regulation was introduced to counteract the fact that industry only rarely proceeded in this direction voluntarily.

In practice, drug developers still tend to delay PIP submissions, not having decided yet how this development will look or still looking for arguments to support a desired waiver. For example, companies are unsure about the appropriate design of the pediatric study (e.g. what end point could be feasible, or how many children could be recruited). Furthermore, the need to develop a formulation in smaller children might depend on whether this drug will later be used in a specific (lower) age range. But, again, actually it would facilitate planning to have at least a cursory overview of possible later requirements if they are discussed as early as possible. Should later development generate evidence that pediatric needs or

agreed PIP measures are no longer applicable, there is the option to propose changes via a procedure for modification of an agreed PIP at any time and as often as needed. The risks of delayed submission have become evident in many instances, most notably delays of the planned marketing authorization date due to not having agreed a PIP in time. This is partly due to insufficient understanding of the implications of the Pediatric Regulation, especially in drug developers from outside the EU. Often, it also relates to misunderstandings of how pediatric needs are related to a planned condition, which is often artificially narrowed to focus on the population with the highest marketing potential. Such narrowing would not be supported by the Pediatric Regulation, as very often, there are differences in conditions in the pediatric population as compared with adults. Hence, this would compromise the rationale behind the Regulation, the main aim of which is to increase the knowledge and availability of drugs in children based on generated evidence.

Other difficulties often encountered in late submissions are that data already generated (outside an agreed PIP) are insufficient or that opportunities to cover some points are missed. This can result in seemingly redundant requests, which would be against the intention to prevent unnecessary trials. However, a trial with design flaws (e.g., nonvalid end

point, sample size, etc.) cannot be considered as sufficient evidence to justify not repeating a more or less similar trial with appropriate design. Here, the first trial might be considered unethical due to being insufficiently planned. Often, companies are hesitant to include, for example, adolescents in a Phase 2 or 3 development. This could result in a request to include them in a separate trial, which could cause delays before proceeding to younger age groups. Therefore, such decisions should be discussed well in advance to prevent such situations.

It should also be kept in mind that extrapolation of generated data can be used in some cases to supplement the pediatric development. This can, dependent on the medical setting, affect any data from preclinical, efficacy, and safety to dosing and pharmacokinetics. It should also be considered that often, in pediatric settings, fully powered comparative trials might not be feasible. But there are many innovative options for other approaches, which in such cases should be proposed and discussed to find a satisfactory agreement.

In conclusion, while not being an effort that industry will often deliver voluntarily, early involvement of the regulatory bodies will facilitate further planning, and in the case that newly generated evidence would make necessary changes to such a program, this is not hampered by such an approach.



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19 Pediatric Formulations

The Paediatric Regulation highlights in its preamble the problems resulting from the absence of suitably adapted medicinal products and specifically mentions the nonavailability of suitable formulations and routes of administration, as well as the use of magistral or officinal formulations of potentially poor quality. These challenges are best known by the pediatric population themselves, their parents, and the healthcare professionals in their daily struggle to adapt and modify the existing medicinal products in an attempt to benefit from the therapeutic advantage of the product. Crushing, splitting, diluting, or dissolving may significantly affect factors such as dosing accuracy, pharmacokinetic (PK) profile or acceptability, and medication errors may occur when doses are prepared or calculated.

Consequently, the regulation clearly states that the PIP must include a thorough description of any measures to adapt the formulation of the medicinal product so as to make its use more acceptable, easier, safer, or more effective for different subsets of the pediatric population.

There are basically three major factors that decide whether a formulation is suitable for the target age group: the formulation must be acceptable to the age group, it must ensure the right dose, and it must be safe. These three factors should be considered and fulfilled for all relevant age groups for which the product is developed. It is therefore expected that the paediatric investigation plan (PIP) application will include a thorough discussion on the proposed formulations and their suitability for the target age groups, covering aspects of acceptability, dosing accuracy, and safety.

Acceptability implies that a formulation can be easily administered to the relevant age groups and is crucial for optimal adherence and intended effect. It covers a range of aspects such as taste, size, volume, complexity of manipulation, local tolerance, and pain. One of the major hurdles for children taking oral medicines is the tablet and capsule size; large sizes may be appropriate for adults but are definitely less suitable for children. Interestingly, information about the size of existing products is often lacking in the initial PIP applications, which could indicate insufficient awareness of this aspect. Size is particularly important if the tablet or capsule is developed to be swallowed whole due to, for example, film coating for taste masking or modified-release design for optimal absorption profile. For such products, crushing and scoring may significantly affect taste, bioavailability, or PK, and therefore, size is crucial for the overall usefulness of the product. Generally, increased attention toward potentially more child-friendly oral solid formulations such as “mini-tablets” and dispersible and orodispersible tablets is highly needed and welcomed, but to date, this is not an approach frequently seen in PIP applications.

Bad taste of oral liquids is a well-known factor that affects medication adherence in children and could be caused by

both active substance and excipients. Companies are encouraged to explore avenues to avoid poor pediatric acceptability by optimizing taste masking. This could include standard approaches, such as adding sweeteners or flavors, or more sophisticated methods such as microencapsulation. Careful consideration is needed to balance any taste issue with the strength and volume required.

Although significant clinical experience indicates that many children face major challenges in swallowing tablets and oral liquids, more precise knowledge is still limited about taste preferences and which tablet/capsule sizes are appropriate for which age groups. Consequently, to ensure that children in the relevant age group are actually able to take the medicine as intended, data to support and confirm the acceptability and palatability of the product are requested in the PIPs, for example, as a part of the pediatric clinical studies that will be performed. However, it should be emphasized that early focus on the appropriateness of the formulation is important, as a poorly acceptable formulation might indeed affect the outcome of the pediatric trial performed.

In all cases where a more suitable formulation is not feasible, and there is doubt about whether the formulation (and in particular its size) would be appropriate for the pediatric age group, it is important that alternative approaches are explored and outlined. Recommendations regarding opening capsules, dissolving, dispersing, or crushing tablets, or mixing with food can be very useful for children, parents, or healthcare professionals but would require sufficient considerations of the potential impact on the performance of the drug.

The strength of any liquid form will decide the volume to be withdrawn from a container and given to the pediatric. Dosing small children using strengths suitable for adults will often imply volumes that are too small to accurately administer the dose to the child. Dilution steps to solve the problem with small volumes have been shown to significantly increase the risk of calculation and administration errors and should be avoided if possible. Again, suitable devices to enable accurate dosing are essential.

The osmolality of the administered drug should be appropriate for the target age group. Depending on the route of administration, high-osmolality drugs will have to be diluted to reduce the risk of pain, irritation, necrosis, and necrotizing enterocolitis, elements that can be age dependent. However, whenever dilution is necessary, careful consideration must be given to its impact on the stability of the product, on proper instructions for dilution, and on the volume load.

Although excipients are traditionally thought to be inert and safe, several cases have shown that this is not always true, particularly for very young children, in whom a continuously developing organism may give rise to different excipient safety profiles compared with adults. Significant discussions

have been ongoing for solvents such as ethanol and propylene glycol, preservatives such as thiomersal, benzyl alcohol, and parabens, and solubilizers and colorants. The Paediatric Regulation's call for adapted formulations implies that products intended for use in children must have an acceptable safety profile also in terms of excipients. The PIP should therefore include a thorough presentation of the safety data available for the proposed excipients, justifying the excipient exposure taking into account the target age group, route of administration, and duration of treatment. There are, however, sparse data available specifically relevant to children and in particular, to neonates, and it is generally recommended to avoid excipients with potential safety concerns when developing pediatric formulations. Additional safety data, for example, through juvenile animal studies or additional safety monitoring, might be requested by regulators whenever the excipient safety profile is not fully reassuring.

SPECIFIC CONSIDERATIONS ON FORMULATIONS FOR NEONATES

Neonates, and even more so preterm newborns, are the pediatric groups in whom medicinal products are most often used off label. The need for PK, efficacy, and safety data in this population frequently implies separate clinical trials with careful consideration of the sampling scheme, appropriate end points, and disease characteristics. Particular attention should, however, also be paid to whether the formulation is suitable for this pediatric group, ensuring accurate dosing and safe administration.

In many cases, intravenous administration may be the only feasible route of administration to neonates. Appropriate strength will be vital for, on the one hand, sufficient dosing accuracy, often depending on suitable administration and dosing devices such as pumps, and on the other hand, the limitation in volumes acceptable for neonates with fluid restrictions. In clinical practice, data on compatibility with other commonly administered parenteral drugs will often be needed for treatment to be feasible within the available time and volumes. Therefore, where relevant, such data should be included in the development plan. Ten times dosing error is more often seen in neonatal units due to the fact that the doses are very small compared with the total dose in the vial or bag. Consequently, a separate presentation would often be considered necessary.

Intravenous administration may not always be possible or physico-chemically feasible, for example, due to solubility or stability issues; therefore, in some settings, oral administration is considered necessary and appropriate also for neonates. In such cases, administration through feeding tubes may be necessary and sometimes, the only possible way to administer the drug product. Consequently, factors such as adherence to tube material, particle sizes, viscosity, and rinsing volumes are essential for safe and accurate dosing, and the PIP would have to include data on dose recovery and feasibility of administration through the relevant tubes. Indeed, such elements may also be relevant for older children whenever tube feeding is likely in the target pediatric group.

In summary, any adult presentation will rarely be entirely suitable for smaller children, and especially neonates, and a specific formulation or presentation will often be needed to ensure correct dosing and safe use in these lowest age groups.

COLLABORATION ON PEDIATRIC FORMULATIONS

European and worldwide initiatives have been taken during the last years, also related to formulations. The World Health Organization (WHO)'s campaign "Make medicines child size" and the European Initiative for Paediatric Formulation (EuPFI) are two important examples. The Paediatric Committee (PDCO) and the European Medicines Agency (EMA) have regular contact with the Food and Drug Administration (FDA) and with WHO when relevant, and collaboration is established with EuPFI, where EMA has observer status. As part of its focus on pediatric formulations, PDCO has established a subgroup (PDCO's Formulation Working Group [FWG]). Attention is paid to combined quality, safety, and clinical aspects of formulations. The group consists of PDCO members, national quality experts, clinical pharmacy experts, clinicians, and academic experts and collaborates closely with EuPFI and FDA. A systematic approach to PIP quality aspects aims at a broader and more consistent "cross product assessment."

CONCLUSIONS

The Paediatric Regulation aims at better medicines, including more suitable formulations, for children in Europe. Still in its early phase, the focus on medicines properly adapted for the pediatric population will continue.

The importance of early submission of the PIP is valid also for formulation aspects. The final agreed age groups for which the product is intended will inevitably affect the decision on whether the formulation strategy is optimal, and early agreement on the lower age cut-off will be important for rational formulation development. However, at this point of product development, it is most often not clear whether dose will be critical in terms of dose-response, and whether the need for dose titration is foreseen for other reasons, and such aspects could most likely also influence the choice of formulation. It should be emphasized that for proper dose finding in the pediatric population, a certain degree of flexibility in dosing is normally needed, and wide dose banding (often due to existing adult formulations that to a limited degree, would allow dosing flexibility) could compromise the results of the pediatric clinical trials. Therefore, depending on the lower age cut-off for the development plan and/or the properties of the active substance, a preliminary formulation for use in clinical trials that allows dosing flexibility might be needed. Obviously, in addition to dosing accuracy, both acceptability and safety of such formulations should also be carefully considered. The modification of the preliminary formulation into the product intended to be marketed might necessitate bridging studies depending on the active substance and the formulations proposed.

It is important that the pharmaceutical forms developed have a certain degree of robustness in terms of practical handling and ease of administration to make them useful and safe in both in-hospital and homecare settings if applicable. This is particularly relevant for medicines for children, since several different caregivers are often involved in addition to the child itself. Dosing device, presentation, and proper instructions are vital factors to increase adherence and to reduce the risk of medication errors and should be adapted to the target pediatric group.

Companies are indeed encouraged to consider new technology and innovative approaches to meet the need for pediatric-specific formulations. In this context, it is also important to remind drug developers that the need for flexible dosage forms, in terms of both dosing adjustment and flexibility of mode of administration, is significant also in other populations; for example, geriatric patients, patients with feeding tubes, and intensive care. Some of the apparently pediatric-specific factors will be valid also for these settings, and pediatric formulation development should therefore be an early and integrated part of the overall drug development program.

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20 SOP and Specification to Establish Electronic Submission to Regulatory Agencies

1. PURPOSE

The purpose of this document is to instruct the user in regard to the roles and responsibilities with establishment of the electronic Common Technical Document (eCTD) system at COMPANY for submissions to the United States Food and Drug Administration (US-FDA).

2. SCOPE

This procedure impacts Information Technology (IT), Publishing, Regulatory Affairs (RA), and Compliance Departments.

3. DEFINITIONS AND ABBREVIATIONS

List definitions, key terms, and abbreviations used throughout the document along with their meaning. List all definitions in alphabetical order.

CA—Certificate Authority

CDER—Center for Drug Evaluation and Research

CSC—Computer Science Corporation

CTD—Common Technical Document

eCTD—Electronic CTD

ESG—Electronic Submission Gateway

FDA—Food and Drug Administration

HTML—Hypertext Markup Language

ISI Toolbox—A program that works with Adobe Acrobat in the generation and navigation of pdf files compliant with FDA ESG requirements

ISI Writer—A Word template program that assists authors in writing compliant CTD files for submissions

ISI—Image Solutions Inc.

JCE—Java Cryptography Extension

JRE—Java Runtime Environment

pdf—Portable Document Format

PKI—Public Key Infrastructure

Secure e-mail—E-mail that has been setup with an electronic signature to send e-mail communications to and from the FDA for information request and submissions

4. RESPONSIBILITIES

4.1 INFORMATION TECHNOLOGY

- 4.1.1 Provide technical support for e-mail establishment.
- 4.1.2 Provide electronic signature certificate installation.
- 4.1.3 Install software programs.
- 4.1.4 Install updates to the system as an administrator.

4.2 REGULATORY AFFAIRS

- 4.2.1 Establish communication link to FDA for secure e-mail and ESG.
- 4.2.2 Request a pre-assigned application number.
- 4.2.3 Establish the ESG with the FDA.

4.3 PUBLISHING

- 4.3.1 Harmonize all submission word files into a common file format template using ISI Writer.
- 4.3.2 Generate pdf files from the ISI Writer templates using ISI Toolbox.
- 4.3.3 Link pdf files following CDER requirements.
- 4.3.4 Generate eCTD HTML backbone for electronic submission.
- 4.3.5 Check the eCTD HTML file for errors using Global Submit.

5. MATERIALS AND EQUIPMENT

List the materials and equipment required to execute the procedure. If there are not any to list, write Not Applicable.

5.1 MATERIALS

- 5.1.1 ISI Writer, CSC
- 5.1.2 ISI Toolbox
- 5.1.3 eCTD Express, CSC
- 5.1.4 Adobe Acrobat® Professional, current version
- 5.1.5 Global Submit
- 5.1.6 Outlook current version with SPI
- 5.1.7 Internet Explorer or check compatibility

5.2 EQUIPMENT

- 5.2.1 Dedicated computer for ESG with ISI writer and ISI toolbox installed.
- 5.2.2 Computers capable of running ISI writer installed with Word current version.
- 5.2.3 Server with eCTD Express installed.

6. HEALTH AND SAFETY

Not Applicable.

7. PROCEDURE

7.1 SECURE E-MAIL SETUP

- 7.1.1 Apply for a secure e-mail with the FDA by contacting secureemail@fda.hhs.gov. Instructions will arrive from the FDA regarding the process to setup S/MIME encryption.
- 7.1.2 Purchase an outsourced digital certificate through an approved FDA electronic signature CA using PKI conforming to X.509 version 3 standard. COMPANY uses Globalsign as a primary provider.
- 7.1.3 Install the digital certificate following the manufacturer's instructions. See Appendix C in the FDA guidance (<http://www.fda.gov/ForIndustry/ElectronicSubmissionsGateway/ucm334781.htm>).
- 7.1.4 Create a new e-mail "To: Cert-query@fda.hhs.gov" with the Subject: "Keith.Robertson@fda.hhs.gov". Digitally sign the e-mail by opening the options tab and selecting "Sign."
- 7.1.5 Within 5 minutes, a response e-mail will arrive with the proxy certificate public key.
- 7.1.6 Open the e-mail, right click on the "From" field, and select "Add to Outlook Contacts." With the contacts tab open, select the "Certificates button," and click on the properties tab on the right. From the Certificate Properties, click the Trust tab, select "Explicitly trust this Certificate," and click OK.
- 7.1.7 Send an e-mail to Keith.Robertson@fda.hhs.gov confirming that the preceding steps have been completed. The FDA will set up the profile and confirm that the digital signing works.
- 7.1.8 A confirmation e-mail will be sent from the FDA confirming the secure e-mail.

7.2 REQUESTING A PRE-ASSIGNED APPLICATION NUMBER

- 7.2.1 Establish a secure e-mail account.
- 7.2.2 Send one e-mail per application request to cderrappnumrequest@fda.hhs.gov with the information presented in Exhibit I.

7.3 ESG ACCOUNT SETUP

- 7.3.1 Follow Section 7.1 to set up secure e-mail.
- 7.3.2 Apply for a test account by sending an e-mail to esgprep@fda.hhs.gov

- 7.3.3 Submit a "Letter of Non-Repudiation Agreement" to the FDA. See Exhibit II.
- 7.3.4 Locate the COMPANY guidance compliant test submission following CDER guidelines.
- 7.3.5 Locate the COMPANY 2 GB prepared load test submission.
- 7.3.6 Locate your public key for your personal digital certificate.
- 7.3.7 Install JRE and JCE following the instructions on the FDA website (<http://www.fda.gov/ForIndustry/ElectronicSubmissionsGateway/ucm334783.htm>).
- 7.3.8 Once the activation e-mail is received, configure the firewall as detailed within the e-mail.
- 7.3.9 Follow the link within the e-mail from the FDA (step 7.3.2) to access the test account.
- 7.3.10 Follow the instructions on the FDA website for sending a web-based test submission (www.fda.gov/ForIndustry/ElectronicSubmissionsGateway/ucm114659.htm).
- 7.3.11 Send a guidance compliant test submission.
- 7.3.12 Send a connectivity test to CDER with submission type GDUFA_Facility_Registration.
- 7.3.13 Send a load test to "Testing (GWTEST)" center and submission type "Size Test."
- 7.3.14 The FDA will review the test files. Note that this can take up to 2 weeks.
- 7.3.15 An e-mail will be sent detailing the process for registering for a production account.
- 7.3.16 Follow the e-mail (step 7.3.15) to register for a production account. Within 48 hours, the production account will be active. Note that the computer the test account was sent from needs to be the same computer for the production account. This is the dedicated computer for ESG.

8. REFERENCES

Table 8.a: Internal Document References

Document ID	Title
N/A	N/A

Table 8.b: External Document References

Document ID	Title
January 16, 2013	FDA User Guide for ESG (www.fda.gov/ForIndustry/ElectronicSubmissionsGateway/ucm334359.htm)
N/A	Letter of Non-Repudiation Agreement (www.fda.gov/ForIndustry/ElectronicSubmissionsGateway/ucm113964.htm)
N/A	Setting up a WebTrader Account Checklist (www.fda.gov/ForIndustry/ElectronicSubmissionsGateway/ucm114831.htm)
October 7, 2009	Sending a Web-based Test Submission (HTML) (www.fda.gov/ForIndustry/ElectronicSubmissionsGateway/ucm114659.htm)
January 30, 2014	JRE and JCE Installation Instructions (www.fda.gov/ForIndustry/ElectronicSubmissionsGateway/ucm334783.htm)

9. EXHIBITS

EXHIBIT I—PRE-ASSIGNED APPLICATION NUMBER REQUEST

Applicant Information:

Company

Address

Contact Information: <<name>>

Phone

E-mail

Drug Information:

Drug Name: <<drug name>>

Trade Name: <<trade name>>

Dosage Form: <<dose form>>

Indication: <<indication>>

Review Division: Division of <<X>> Products (D<<X>>P),
Center for Drug Evaluation and Research (CDER)

Old Antibiotic: No

EXHIBIT II – SAMPLE LETTERS OF NON-REPUDIATION AGREEMENT

[Company Letterhead]

[Today's Date]

Attention: XXX

CDER, Office of the Director, HFM - 99, Room 200N

1401 Rockville Pike

Rockville, MD 20852

Re: Electronic Signature Certificate Statement

To Whom It May Concern:

Pursuant to Section 11.100 of Title 21 of the Code of Federal Regulations, this is to certify that [Company Name], intends that all electronic signatures executed by our employees, agents, or representatives, located anywhere in the world, are the legally binding equivalent of traditional hand-written signatures.

Sincerely yours,

[Hand-written signature]

[Name of Company Representative]

[Company Representative Title]

10. REVISION HISTORY

Table 10a: Summary of Changes

Version	Approval	DCR #	Author	Summary of Changes
00	Date	####	Name	New procedure to define the roles and responsibilities for the establishment of the eCTD.

11. ICH eCTD SPECIFICATION

11.1 INTRODUCTION

The International Conference for Harmonisation (ICH) M4 Expert Working Group (EWG) has defined the Common Technical Document (CTD). The ICH M2 EWG has defined, in the current document, the specification for the Electronic Common Technical Document (eCTD). The eCTD is defined as an interface for industry to Agency transfer of regulatory information while at the same time taking into consideration the facilitation of the creation, review, life cycle management, and archival of the electronic submission. The eCTD specification lists the criteria that will make an electronic submission technically valid. The focus of the specification is to provide the ability to transfer the registration application electronically from industry to a regulatory authority. Industry to industry and Agency to Agency transfer is not addressed.

The specification is divided into a series of main sections followed by a number of appendices in which detailed technical specifications are given. It will provide a mechanism whereby parts of the specification will be updated or adjusted to agreed new technologies or standards on an independent basis without the necessity of updating it all. This aspect will be covered in the chapter Change Control.

11.2 BACKGROUND

The specification for the eCTD is based upon content defined within the CTD issued by the ICH M4 EWG. The CTD describes the organization of modules, sections, and documents. The structure and level of detail specified in the CTD have been used as the basis for defining the eCTD structure and content, but where appropriate, additional details have been developed within the eCTD specification.

The philosophy of the eCTD is to utilize open standards. Open standards, including proprietary standards, which through their widespread usage can be considered de facto standards, are deemed to be appropriate in general.

11.3 SCOPE

The CTD as defined by the M4 EWG does not cover the full submission that is to be made in a region. It describes only modules 2 to 5, which are common across all regions. It does not describe the content of module 1, the Regional Administrative Information and Prescribing Information, nor does it describe documents that can be submitted as amendments or variations to the initial application.

The value of production of a specification for the creation of an electronic submission based only upon the modules described in the CTD would be limited. Therefore, the M2 EWG has produced a specification for the eCTD that is applicable to all modules of initial registration applications and for other submissions of information throughout the life cycle of the product, such as variations and amendments.

This document describes the parts of the registration application that are common to all regions and some of the life cycle requirements for products. The parts of the registration application that are specific to a region will be covered by regional guidance. However, the backbone has been developed to handle both the regional and the common parts of submissions.

11.4 REQUIREMENTS

The specification is designed to support high-level functional requirements such as the following:

- Copy and paste
- Viewing and printing of documents
- Annotation of documentation
- Facilitating the exporting of information to databases
- Searching within and across applications
- Navigation throughout the eCTD and its subsequent amendments/variations

11.5 CHANGE CONTROL

11.5.1 Introduction

The specification for the eCTD is likely to change with time. Factors that could affect the content of the specification include, but are not limited to,

- Change in the content of the CTD, either through the amendment of information at the same level of detail or by provision of more detailed definition of content and structure
- Change to the regional requirements for applications that are outside the scope of the CTD
- Updating of standards that are already in use within the eCTD
- Identification of new standards that provide additional value for the creation and/or usage of the eCTD
- Identification of new functional requirements
- Experience of use of the eCTD by all parties

The first specification for an eCTD is an ICH M2 Step 4 document. The Specification includes an appendix for the modules of the CTD. Each appendix consists of (or includes) detailed information for the structure and format to be used in preparing a CTD module.

It is understood that technology will continue to evolve at a rapid pace. There could also be changes to the CTD. Information technology capabilities and requirements will also evolve in the pharmaceutical industry and in the regulatory authorities. The change control process described in this section allows the eCTD Specification to be updated to meet new requirements and to take advantage of technology improvements. Each appendix should be updated as needed independently of the remainder of the document.

11.5.2 Process

The eCTD Specification Change Control Board (CCB) is authorized by the ICH Steering Committee to make changes to the eCTD Specification to keep pace with advancing technology. Since the issuance of guidelines is the responsibility of the regulatory authorities, in line with the standards ICH process, the regulatory authorities are the voting members of the CCB. Industry representatives from each of the three regions, and Health Canada as observer, are nonvoting members of the CCB. The position of chair of the CCB rotates on an annual basis among the regulatory authority members.

The three regulatory authorities represented in the ICH M2 Expert Working Group are responsible for initiating changes to the eCTD Specification based on industry or regulatory input. A change can be proposed by any of the regulatory authorities. A group or individual, not a member of an ICH regulatory authority, can propose a change to the specification, including recommendation for experts to be invited, by submitting the proposal to one of the regional regulatory authorities.

The CCB meets on a regular schedule to discuss, evaluate, and agree on proposed changes to the specification. During these meetings, the members of the CCB and other invited parties evaluate the proposed changes. The decision to accept a change to the eCTD Specification is made by a unanimous vote of the regulatory authority representatives.

The agreed changes to the Specification will be published for public comment in each region. Comments are collected and considered by the CCB and will be adopted in modified or unmodified form or rejected. The updated part of the eCTD Specification will be agreed upon and signed by the three regional regulatory authorities and will be published as required in each region. The planned implementation date and transition period for each change in each region are included in the published description of the change. Adopted changes will normally be published on an annual basis except for emergency changes, such as an error in critical metadata, as defined by the CCB, which will be published immediately upon adoption. The CCB will provide guidance that will indicate how existing submissions and those currently undergoing late-stage compilation should be updated.

Regulatory authorities will support submissions described by at least two consecutive versions of the eCTD Specification. The regulatory authority intends to keep all versions of the Specification for as long as needed to process eCTD submissions that are on file with a regulatory authority.

The CCB will establish its meeting schedule at the first meeting of the CCB. The first meeting will be at the same time as the ICH Steering Committee.

11.5.3 Procedure

Change requests should be submitted to a regulatory authority. Change requests received at least 30 days before a

scheduled CCB meeting will be placed on the agenda for that meeting. Change requests received less than 30 days before a CCB meeting will be placed on the agenda for the following meeting.

Change requests should contain as much of the following information as possible:

- A description of the problem that the change is intended to solve.
- The proposed solution(s)—this consists of a description of the solution(s) and the text of the changes to affected documents.
- A detailed description of any testing or research that was done to support the solution(s) being proposed.
- Advice on backward compatibility issues, if any.

The CCB will maintain a public list of requests and the status of each request. New change requests will be posted to the list within 30 days of their receipt.

11.6 APPROACH TO DOCUMENTATION AND USE OF THE eCTD SPECIFICATION

The approach to the management of the Specification for the eCTD is to divide the documentation into a series of independent but related appendices. This will facilitate the maintenance of the Specification, as it will not require that all documentation be updated even for a small change to one part of the Specification. Each appendix can be updated independently as and when required, thus being able to more readily support the currency of the Specification as a whole.



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Appendix 1: Overall Architecture

1.1 GUIDING DESIGN PRINCIPLES

This appendix defines the basic principles that drove the design and architecture of the electronic Common Technical Document (eCTD). Detailed specifications are defined in Appendices 2 and 6.

1.2 BUSINESS MODEL

The business process to be supported can be described as follows:

Industry ↔ Message ↔ Agency

The business process defines specific requirements for the message.

The primary focus of the eCTD is to provide a data interchange message between the industry and agencies. The industry initiates the process by creating the initial submission in terms of an electronic CTD. Throughout the lifecycle of this process, additional information will be submitted to update or modify the information contained in the initial submission; for example, supplements, amendments, variations, etc. The agency can submit acknowledgements, queries, and requests to the industry. These are considered simple messages utilizing electronic mail or other transport formats. The overall architecture of the eCTD is designed to provide a commonly agreed-upon submission and submission structure that imposes minimal restriction on the industry and agencies.

1.3 MODULAR STRUCTURE OF THE eCTD

The structure of the electronic submission in terms of organization and navigation should be consistent with the modular structure of the CTD. The goal of this design principle is to standardize the electronic format of the common parts of the eCTD.

1.4 XML-BASED eCTD

The XML eCTD DTD (Document Type Definition) defines the overall structure of the submission. The purpose of the XML backbone is twofold: (1) to manage metadata for the entire submission and each document within the submission and (2) to constitute a comprehensive table of contents and provide corresponding navigation aids. Metadata on submission level includes information about submitting and receiving organizations, manufacturer, publisher, ID and kind of the submission, and related data items. Examples of metadata at the document level are versioning information, language, descriptive information such as document names, checksums, etc. Details are defined in Appendix 6.

The XML instance of any submission should be created and validated according to the XML eCTD DTD as defined in Appendix 8.

The XML eCTD DTD describes the hierarchical structure according to the CTD as defined by the International Conference on Harmonisation (ICH) M4 expert working group. It includes multiple hierarchical levels depending on the specific module as defined in the CTD. The actual submission can include more hierarchical levels below those defined in the CTD. The XML eCTD instance covers the entire submission including all hierarchical levels and includes references to each individual file.

The submission should include a style sheet that supports presentation of the XML instance and navigation according to the table of contents, and provides access to all documents within the submission. A standard style sheet is defined and provided by the ICH M2 Expert Working Group (EWG). Presentation and navigation via other style sheets on the receiving side should be possible.

The XML eCTD DTD includes a reference for each document to the physical file within the folder structure. The XML eCTD DTD includes attributes for descriptive names of folders and documents.

1.5 MULTIPLE REGION SUPPORT

The scope of each submission is global according to the Common Technical Document, meaning that modules 2 through 5 of a submission are intended for all regions with the exception of selected documents (e.g., in the quality module) that have a regional scope. Module 1 of a submission is regional in nature.

The DTD as defined by the ICH M2 EWG specifies the structure of the common parts of the eCTD, primarily focusing on module 2 through 5. It allows linking to regional DTDs for module 1, which will be defined by the authorities in each region.

1.6 LIFE CYCLE MANAGEMENT

The applicant creates a submission that is stored in a local repository. The applicant submits the initial submission to the agency, which imports the submission into another local repository. The nature and kind of the local repositories are not within the scope of the eCTD. The initial submission should be self-contained, meaning that it includes all documents and no references to other submissions. Regional guidance should be consulted if references to other submissions are needed.

Following the initial submission, the applicant can submit incremental updates such as amendments and variations. Updates can refer to documents in the previous submissions. Updates should be designed in such a way that they can be loaded into the repository by fully preserving the initial or previous submission via version control. The XML backbone

should include metadata identifying the update and providing navigation aids to filter for different submission types.

It is preferred that when a CTD is submitted electronically, the entire submission should be in electronic form, with the

exception of certain regional forms that currently require written signatures. See Appendix 5 for regional requirements. See Appendix 6 for a description of how to submit a CTD containing both paper and electronic components.

Appendix 2: The eCTD Submission

2.1 INTRODUCTION

This appendix specifies the information technology aspect of the electronic Common Technical Document (eCTD) Submission. Informally, the eCTD Submission is a directory structure with files including the XML eCTD instance, reports, data, and other submission information. The eCTD Submission supports multilingual and multi-region aspects.

2.2 THE eCTD SUBMISSION

An eCTD Submission is a collection of data objects that follows the eCTD Specification. The main function of the eCTD Submission is data exchange. Information systems would have to be created to process the eCTD Submission. The biggest benefits are expected when the eCTD Submission is loaded into an information system that supports the review process. However, one can view an eCTD Submission with a Web browser, as it is Web ready. In the Web environment, the eCTD Submission should be usable without processing in at least the following ways:

- Standalone: Viewable with a Web browser
- Network: Loadable into a Web server

The eCTD Submission is composed of the following:

- Directory Structure
- XML eCTD instance
- Content files

2.2.1 DIRECTORY STRUCTURE

The directory structure is a structure of directories and files. There should be a reasonable maximum number of entries (directories and files) per directory. The directory structure should follow the rules in this subsection. The files could be in several formats as specified in the following.

The name of the files and directories are identifiers. They should be short. The file names are not intended to convey metadata, though some meaning in the names helps; that is, no random names.

Names for directories and files are recommended in Appendix 4. Any directory names and file names that are added to the eCTD submission by the applicant should be descriptive and logical.

2.2.2 XML eCTD INSTANCE

The instance is in the submission sequence number directory (see Appendix 6). The submission sequence number directory should contain at least two files and one or more directories. One of the files in the submission sequence directory is the instance,

and the other is the MD5 checksum of the instance. The instance is the starting file for the processing by an XML processor.

The intention is to have links from the instance to leaf files in the eCTD submission as opposed to creating a single XML document that contains the entire eCTD submission. The instance should contain mostly linking facilities to the leaf files. The instance also contains metadata at the leaf level.

2.3 eCTD TEMPLATE

The ICH Web site includes an eCTD template, which is an empty directory structure with a recommended style sheet. It is an illustration of an eCTD Submission, and it is ready to be populated with the applicant data. Appendix 4 defines the directories used to create this template.

2.4 LOGICAL DOCUMENTS AND FILES

A logical document is comprised of one or more CTD table of contents sections that together contain the minimum amount of information to be exchanged. In general, the XML eCTD DTD maps explicitly to the CTD table of contents, but there are exceptions where the XML eCTD DTD maps to the level of use designated by the appropriate ICH CTD Implementation Working Group (IWG) instead. Ideally, a logical document consists of a single physical file. In the event that the physical file exceeds the recommended maximum file size due to graphics, data content, scanned images, or other large-format content, additional files may make up the logical document. Furthermore, if the logical document consists of multiple file formats, then more than one physical file would be needed. An example of such a case would be PDF and XML data that together represent the logical document.

2.5 FORMATS

Formats should be readable at least for as long as this is needed for the regulatory process. This process could be very long; for example, 50 years. This points to neutral formats: formal standard, industrial standard, vendor independent, text-like, etc. The format should be adapted to the type of data. Appendix 7 describes the way in which these files should be constructed.

The list of agreed formats will be updated as technology evolves and new requirements arise. XML will be the preferred format for all types of data.

2.6 COMMON FORMATS

The common formats that can be included in an eCTD Submission are

- Narrative: Portable Document Format (PDF)
- Structured: Extensible Markup Language (XML)

- **Graphic:** Whenever possible, use PDF. When appropriate, or when PDF is not possible, use Joint Photographic Experts Group (JPEG), Portable Network Graphics (PNG), Scalable Vector Graphics (SVG) and Graphics Interchange Format (GIF). Special formats for very high resolutions may be appropriate on a case-by-case basis.

2.7 REGIONAL USE OF OTHER FORMATS

Regulatory authorities and applicants could agree to use other formats regionally; that is, noncommon formats or uses of the common formats in a different way. The use of other formats is discouraged, and the intention is to use the common formats as much as possible. The intention of the use of other formats is for transition.

There are two classes of transition:

- **Legacy Transition:** from the past to the present; that is, old formats to present formats
- **Future Transition:** from the present to the future; that is, from present formats to new formats. The new formats would normally be candidates for common formats.

2.8 LINKS

Links among objects in the eCTD Submission should be relative. The intention is to make the eCTD submission self-contained. All literature references introduced by the applicant should be included in the submission; for secondary references (references to a reference), absolute links to external objects can be used.

One can always point to a file. The capacity to point to a specific location within a file depends on the linking technology. Different formats allow the use of different linking technologies. See Appendix 7.

2.9 PRESENTATION

Presentation is closely associated with formats. To associate a style sheet with a file, usually, one has to use a linking technology. The linking between the style sheet (which could be in a separate file) and a data file should be relative. In addition, there is the dimension of media. One file could have several style sheets; the one used depends on the medium. For example, there could be one presentation for the screen and another for paper.

2.10 CHECKSUMS

The eCTD Submission should contain checksums for each individual file, including a checksum file for the eCTD XML instance. Initially, the MD5 Message-Digest Algorithm (MD5) should be used for this purpose. Including a checksum for each individual file provides a number of benefits, including:

- The integrity of each file can be verified by comparing the checksum submitted with the file and the computed checksum.
- The checksum can be used to verify that the file has not been altered in the historical archive of the regulatory authority. This is especially useful as the files are migrated from one storage medium to another, as in the case of backup to magnetic tape storage.

2.11 ELEMENT TO FILE DIRECTORY MAPPING

Follow these rules:

- The following rules for the file and directories take precedence.
- Add the corresponding extension to the file.
- If needed, use a reasonable abbreviation.

2.12 FILE EXTENSION

All files should have one and only one file extension. The file extension should be used to indicate the format of the file. For example:

hello.pdf	PDF
hello.rtf	RTF

The mappings between formats and extensions are:

Internet Assigned Numbers Authority (IANA) nomenclature

text/css	css
text/html	html or htm
text/xml	xml
application/pdf	pdf
application/rtf	rtf
application/vnd.ms-excel	xls
image/jpeg	jpg
image/png	png
image/gif	gif

Non-IANA nomenclature

DTD	dtd
XPT (SAS)	xpt
XSL	xsl

The eCTD Submission could use formats not registered with IANA.

The presence of a format in this list does not imply that it would be considered an acceptable format. For formats absent from this list, widely used mapping between the formats and the extensions should be used.

Future direction: if a mechanism (e.g., standard) becomes available that associates the formats with file extension, it should be considered for this specification.

2.13 NAME

Name is a token composed of the following characters:

- Letters “a” to “z” [U+0061 to U+007A].
- Digits “0” to “9” [U+0030 to U+0039].
- “-” [HYPHEN-MINUS, U+002D].

The notation “U+” refers to the Unicode [UNICODE] notation.

Correct Names (only the name without the extension):

```

_____
part-b
myfile
hello
_____

```

Incorrect names (only the name without the extension):

```

_____
part a      (“ ”; SPACE is not allowed)
myfile.xml (“.”; FULL STOP is not allowed)
hello:pdf  (“:”; COLON is not allowed)
part_a     (“_”; LOW LINE is not allowed)
_____

```

Directory name is a name.

File name is one name followed by one name separated by a “.” (FULL STOP, U+002E).

Correct file names (with the extension):

```

_____
myfile.pdf
hello.cml
_____

```

Incorrect file names (with the extension):

```

_____
a part.pdf (“ ”; SPACE is not allowed)
hello      (missing extension)
hello.xml (“:”; COLON is not allowed)
_____

```

The maximum length of a directory name or a file name is 64 characters. Only lower-case letters should be used in all file and directory names. The maximum length of a path is 256 characters. For example, “data/module_1/introduction.html” is the path; “introduction.html” is a File Name.

Document Name is the first Name in the File Name: for example, “docname” in the file name “docname.ext”.

CHARACTER ENCODING

The character encoding (charset) in order of preference is

- Unicode UTF-8, Unicode 16 bits [ISO-10646].
- ISO-8859-1 (Latin-1) or appropriate ISO-8859-x; for example, ISO-8859-7 for Greek.

- The appropriate SHIFT_JIS.
- Other character encoding agreed upon regionally by the regulatory authority and applicant.

2.14 REFERENCES

[CML] *Chemical Markup Language*
<http://www.xml-cml.org>

[CSS2] *Cascading Style Sheets, level 2*
<http://www.w3.org/TR/REC-CSS2>

[ECMAScript] *ECMAScript Language Specification*,
 3rd edition. ECMA- 262
<http://www.ecma.ch/ecma1/STAND/ECMA-262.HTM>

[EXCEL] Microsoft Excel
<http://www.microsoft.com/office/excel/default.htm>

[GIF] *Graphics Interchange Format*
<http://tronche.com/computer-graphics/gif/gif89a.html>

[HTML] *HTML 4.01 Specification*
<http://www.w3.org/TR/html4>

[IANA] Internet Assigned Numbers Authority
<http://www.iana.org>

[IMT] Internet Media Types
<http://www.isi.edu/in-notes/iana/assignments/media-types/media-types>

[ISO-10646] Information Technology – Universal Multiple-Octet Coded Character Set (UCS) – Part 1: Architecture and Basic Multilingual Plane, ISO/IEC 10646-1:1993

[ISO-639] *Codes for the representation of names of languages*
 ISO 639:1988.
<http://www.iso.ch/cate/d4766.html>
<http://www.oasis-open.org/cover/iso639a.html>.

[JPEG] Joint Photographic Experts Group
<http://www.jpeg.org/public/wg1n1807.txt>

[MD5] *The MD5 Message-Digest Algorithm*
<http://ietf.org/rfc/rfc1321.txt>

[PDF] *Portable Document Format*
<http://partners.adobe.com/asn/developer/technotes.html#pdfspec>

[PNG] *PNG (Portable Network Graphics) Specification Version 1.0*
<http://www.w3.org/TR/REC-png.html>

[RTF] *Rich Text Format (RTF) Specification, version 1.6*
<http://msdn.microsoft.com/library/specs/rtf/spec.htm>

[SVG] *Scalable Vector Graphics (SVG) 1.0 Specification*
 (work in progress)
<http://www.w3.org/TR/1999/WD-SVG-19991203>

[UNICODE] Unicode Consortium
<http://www.unicode.org>

[XHTML] *XHTML 1.0: The Extensible HyperText Markup Language*

<http://www.w3.org/TR/WD-html-in-xml>

[XML] *Extensible Markup Language (XML) 1.0 (Second Edition)*

<http://www.w3.org/TR/REC-xml.html>

[XSL] *Extensible Stylesheet Language (XSL)*

W3C Candidate Recommendation 21 November 2000 (work in progress)

<http://www.w3.org/TR/WD-xsl>

[XSLT] *XSL Transformations*

<http://www.w3.org/TR/xslt.html>

Appendix 3: General Considerations for the CTD Modules

3.1 INTRODUCTION

Documents that are provided in the different modules should be formatted as defined by the International Conference on Harmonisation (ICH) Common Technical Document (CTD). There should also be consistency in the way navigation aids are provided. Within each document, bookmarks and hyper-text links from the table of contents should be provided to all tables, figures, publications, and appendices.

Hypertext links should be provided throughout the body of these documents to aid efficient navigation to annotations, related sections, publications, appendices, tables, and figures that are not located on the same page. If a list of references is included at the end of a document, there should be hypertext links to the appropriate publication.

Documents should be generated from electronic source documents and not from scanned material, except where access to the source electronic file is not available, or where a signature is required.

3.2 FOLDER AND FILE NAMING CONVENTIONS

A folder and file organization is presented in this specification. This could be used in most cases; however, applicants may modify this specification where appropriate*; for example, to include an additional folder for information where an appropriate folder name is not available in the eCTD specification. It is recommended that applicants maintain folder names listed in this specification. This should not be interpreted to mean that the actual eCTD XML DTD should be changed or altered in any way.

The maximum length of a folder or file name is 64 characters including the extension. Folder or file names should be written in lower case only. All files should have one and only one file extension. The file extension should be used to indicate the format of the file. More details on the naming conventions are given in Appendix 2 and examples in Appendix 4.

Typically, the file name would be the applicant's internal numbering or naming convention for the studies. The following table gives an example of how files could be named.

Description	File Name
Study Report 1	<i>study-report-1.pdf</i>
Study Report 2	<i>study-report-2.pdf</i>
...	...
Study Report n	<i>study-report-n.pdf</i>

* Regulatory authorities should be notified of additions and changes to the folder structure according to regional guidance.

Data listings can be included as part of a study report document or as a separate appendix. An example of such file names follows.

Description	File Name
Study Report 1	<i>study-report-1.pdf</i>
Study Report 1 Data	<i>study-report-1-data.pdf</i>
Study Report 2	<i>study-report-2.pdf</i>
Study Report 2 Data	<i>study-report-2-data.pdf</i>
...	...
Study Report n	<i>study-report-n.pdf</i>
Study Report n Data	<i>study-report-n-data.pdf</i>

Regional requirements can provide for the submission of the data listings as a data file. Reference should be made to regional guidances.

3.3 SCREENSHOTS AND FOLDER HIERARCHY

Screenshots are provided in the following chapters for all modules down to the level of hierarchy as described in this appendix. The representations are in alphabetical order due to the nature of the computer operating system and are therefore not entirely consistent with the sequence of the CTD. In a web browser, the content will appear in the order of the CTD table of contents.

Detailed options on the folders and files are provided in Appendix 4 in case the applicant chooses to submit more granular documents. It is not mandatory to use the full folder hierarchy. Empty directories can be omitted.

3.4 MODULE 1 ADMINISTRATIVE INFORMATION AND PRESCRIBING INFORMATION

The name of the folder for module 1 should be *module-1*.

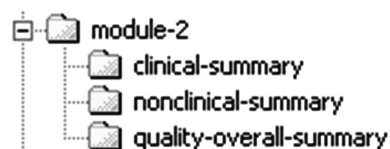
This module contains administrative information that is unique for each region. Regional guidance will provide the specific instructions on how to provide the administrative forms and detailed prescribing information. Please refer to Appendix 5 when preparing module 1.

3.5 MODULE 2 SUMMARIES

The files in this module should be provided as PDF text with the exception of a few embedded images when needed.

The name of the folder for module 2 should be *module-2*. The folders in module 2 should be named as follows.

Section in CTD	Description	Folder Name
2.3	Quality Overall Summary	<i>quality-overall-summary</i>
2.6	Nonclinical Written and Tabulated Summary	<i>nonclinical-summary</i>
2.7	Clinical Summary	<i>clinical-summary</i>



Other sections at this level not listed here can typically be submitted as individual files.

The folder hierarchy for module 2 is presented in the screenshot in Figure 3.1.

FIGURE 3.1 Screenshot of the folder structure of module 2

3.6 MODULE 3 QUALITY

The name of the folder for module 3 should be *module-3*. The folders in module 3 should be named as follows.

Section in CTD	Description	Folder Name
3.2	Body of Data	<i>body-of-data</i>
3.2.S	Drug Substance	<i>drug-substance</i>
3.2.S	Drug Substance [Drug Substance Name] [Manufacturer] ^a	<i>substance-1-manufacturer-1</i>
3.2.S.1	General Information	<i>general-information</i>
3.2.S.2	Manufacture	<i>manufacture</i>
3.2.S.3	Characterisation	<i>characterization</i>
3.2.S.4	Control of Drug Substance	<i>control-drug-substance</i>
3.2.S.4.1	Analytical Procedures	<i>analytical-procedures</i>
3.2.S.4.2	Validation Analytical Procedures	<i>validation-analyt-procedures</i>
3.2.S.7	Stability	<i>stability</i>
3.2.P	Drug Product ^b	<i>drug-product</i>
3.2.P	Product 1	<i>product-1</i>
3.2.P.3	Manufacture	<i>manufacture</i>
3.2.P.4	Control of Excipients	<i>control-excipients</i>
3.2.P.4	Excipient 1	<i>excipient-1</i>
3.2.P.5	Control of Drug Product	<i>control-drug-product</i>
3.2.P.5.1	Analytical Procedures	<i>analytical-procedures</i>
3.2.P.5.2	Validation Analytical Procedures	<i>validation-analyt-procedures</i>
3.2.P.8	Stability	<i>stability</i>
3.2.A	Appendices	<i>appendices</i>
3.2.A.1	Facilities and Equipment	<i>facilities-and-equipment</i>
3.2.A.2	Adventitious Agents Safety Evaluation	<i>adventitious-agents</i>
3.2.A.3	Novel Excipient 1 ^c	<i>novel-excipient-name-1</i>
3.2.R	Regional Information ^d	<i>regional-information</i>
3.3	Literature References	<i>references</i>

^a Each drug substance manufacturer should be placed in a separate subordinate folder. Folders and files should be created for each drug substance-manufacturer section included in the submission in accordance with the hierarchy identified in the following chapters.

^b Each drug product should be placed in a separate subordinate folder. Folders and files should be created for each drug product section included in the submission in accordance with the hierarchy identified in the following chapters. Reference should be made to regional guidance to determine whether the inclusion of multiple products within a single application is considered appropriate.

^c The folder name should include the name of the novel excipient, abbreviated as necessary to remain within the 64 character limit.

^d This folder should be included where regional information is appropriate. Reference should be made to regional guidance for the types of information to be included in this section.

The folder hierarchy for module 3 is presented in the screenshot in Figure 3.2.

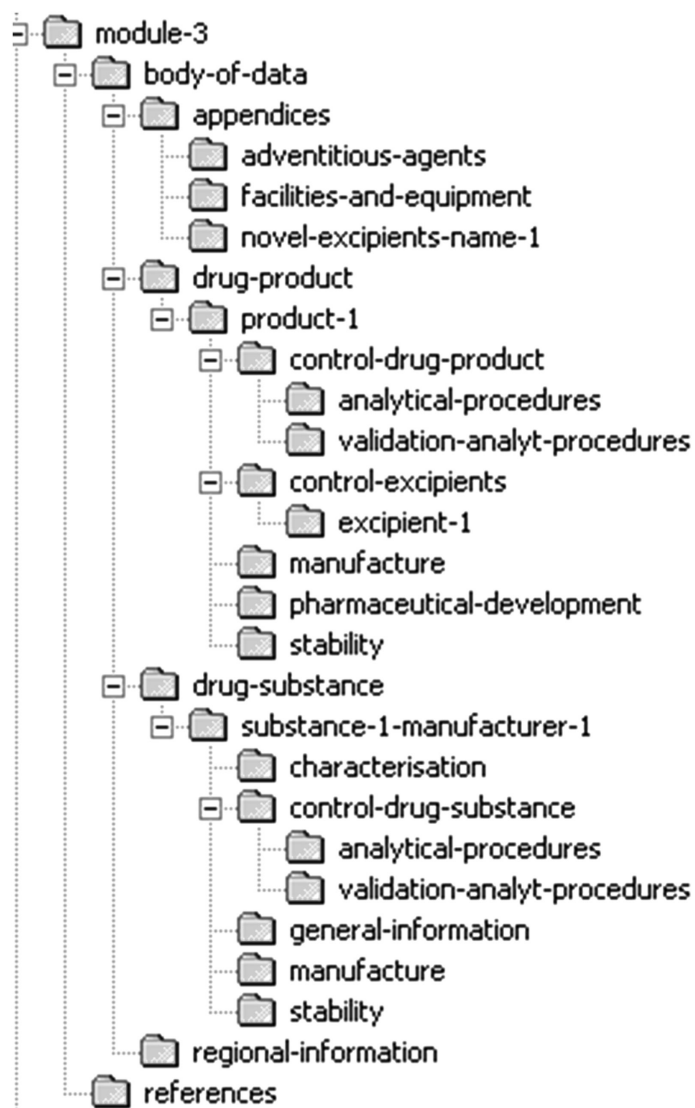


FIGURE 3.2 Screenshot of the folder structure of module 3

3.7 MODULE 4 NONCLINICAL STUDY REPORTS

The name of the folder for module 4 should be *module-4*. The folders in module 4 should be named as follows.

Section in CTD	Description	Folder Name
4.2	Study Reports	<i>study-reports</i>
4.2.1	Pharmacology	<i>pharmacology</i>
4.2.1.1	Primary Pharmacodynamics	<i>Primary-pharmacodynamics</i>
4.2.1.2	Secondary Pharmacodynamics	<i>secondary-pharmacodynamics</i>
4.2.1.3	Safety Pharmacology	<i>safety-pharmacology</i>
4.2.1.4	Pharmacodynamic Drug Interactions	<i>pd-drug-interactions</i>
4.2.2	Pharmacokinetics	<i>pharmacokinetics</i>
4.2.2.1	Analytical Methods and Validation Reports (if separate reports are available)	<i>analyt-methods-validation</i>
4.2.2.2	Absorption	<i>absorption</i>
4.2.2.3	Distribution	<i>distribution</i>
4.2.2.4	Metabolism	<i>metabolism</i>
4.2.2.5	Excretion	<i>excretion</i>
4.2.2.6	Pharmacokinetic Drug Interactions (nonclinical)	<i>pk-drug-interactions</i>
4.2.2.7	Other Pharmacokinetic Studies	<i>other-pk-studies</i>
4.2.3	Toxicology	<i>toxicology</i>
4.2.3.1	Single-Dose Toxicity (in order by species, by route)	<i>single-dose-toxicity</i>
4.2.3.2	Repeat-Dose Toxicity (in order by species, by route, by duration, including supportive toxicokinetic evaluations)	<i>repeat-dose-toxicity</i>
4.2.3.3	Genotoxicity	<i>genotoxicity</i>
4.2.3.3.1	In vitro	<i>in-vitro</i>
4.2.3.3.2	In vivo (including supportive toxicokinetic evaluations)	<i>in-vivo</i>
4.2.3.4	Carcinogenicity (including supportive toxicokinetic evaluations)	<i>carcinogenicity</i>
4.2.3.4.1	Long-term studies (in order by species, including range-finding studies that cannot be appropriately included under repeat-dose toxicity or pharmacokinetics)	<i>long-term-studies</i>
4.2.3.4.2	Short- or medium-term studies (including range-finding studies that cannot be appropriately included under repeat-dose toxicity or pharmacokinetics)	<i>short-medium-term-studies</i>
4.2.3.4.3	Other studies	<i>other-studies</i>
4.2.3.5	Reproductive and Developmental Toxicity (including range-finding studies and supportive toxicokinetic evaluations)	<i>repro-development-toxicity</i>
4.2.3.5.1	Fertility and early embryonic development	<i>fertility-embryonic-develop</i>
4.2.3.5.2	Embryo-fetal development	<i>embryo-fetal-develop</i>
4.2.3.5.3	Prenatal and postnatal development, including maternal function	<i>pre-postnatal-develop</i>
4.2.3.5.4	Studies in which the offspring (juvenile animals) are dosed and/or further evaluated	<i>juvenile</i>
4.2.4	Local Tolerance	<i>local-tolerance</i>
4.2.5	Other Toxicity Studies (if available)	<i>other-toxicity-studies</i>
4.2.5.1	Antigenicity	<i>antigenicity</i>
4.2.5.2	Immunotoxicity	<i>immunotoxicity</i>
4.2.5.3	Mechanistic studies (if not included elsewhere)	<i>mechanistic-studies</i>
4.2.5.4	Dependence	<i>dependence</i>
4.2.5.5	Metabolites	<i>metabolites</i>
4.2.5.6	Impurities	<i>impurities</i>
4.2.5.7	Other	<i>other</i>
4.3	Literature References	<i>references</i>

The folder hierarchy for module 4 is presented in the screenshot in Figure 3.3.

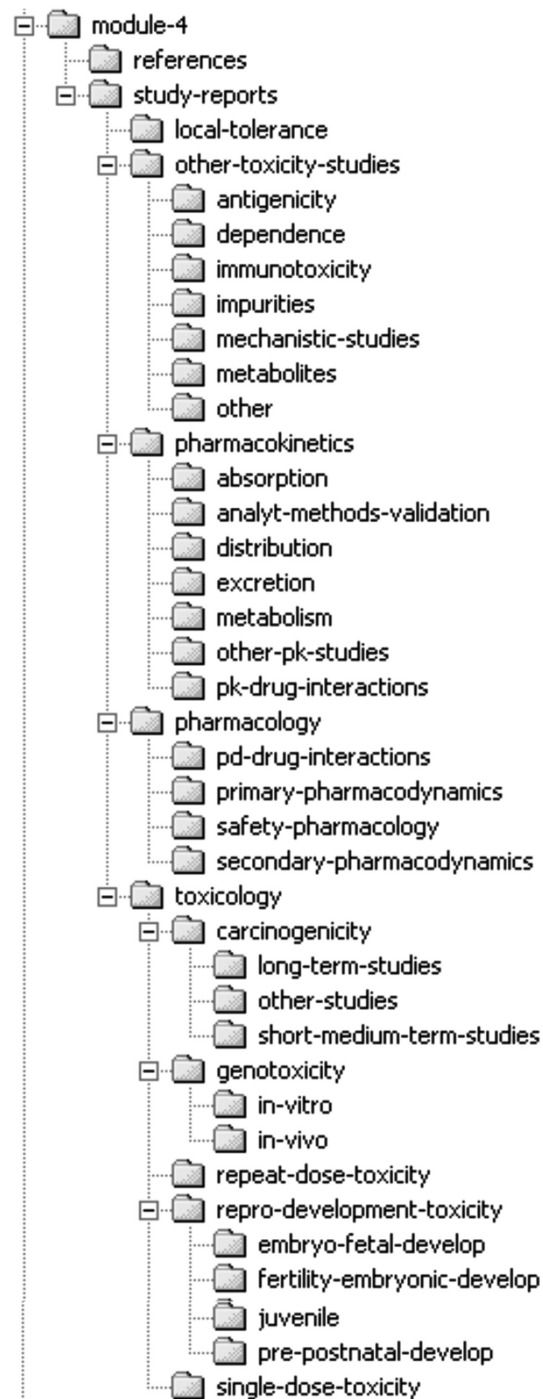


FIGURE 3.3 Screenshot of the folder structure of module 4

3.8 MODULE 5 CLINICAL STUDY REPORTS

The name of the folder for module 5 should be *module-5*. The folders in module 5 should be named as follows.

Section in CTD	Description	Folder Name
5.3	Clinical Study Reports	<i>clinical-study-reports</i>
5.3.1	Reports of Biopharmaceutical Studies	<i>biopharmaceutic-studies</i>
5.3.1.1	Bioavailability (BA) Study Reports	<i>bioavailability</i>
5.3.1.2	Comparative BA and Bioequivalence (BE) Study Reports	<i>comparative-ba-be</i>
5.3.1.3	In vitro–in vivo Correlation Study Reports	<i>in-vitro-in-vivo</i>
5.3.1.4	Reports of Bioanalytical and Analytical Methods for Human Studies	<i>bioanalyt-analyt-methods</i>
5.3.2	Reports of Studies Pertinent to Pharmacokinetics using Human Biomaterials	<i>pk-human-biomaterials</i>
5.3.2.1	Plasma Protein Binding Study Reports	<i>plasma-protein-binding</i>
5.3.2.2	Reports of Hepatic Metabolism and Interaction Studies	<i>hepatic-metab-interactions</i>
5.3.2.3	Reports of Studies Using Other Human Biomaterials	<i>other-human-biomaterials</i>
5.3.3	Reports of Human Pharmacokinetic (PK) Studies	<i>pk-studies</i>
5.3.3.1	Healthy Subject PK and Initial Tolerability Study Reports	<i>healthy-subject-pk</i>
5.3.3.2	Patient PK and Initial Tolerability Study Reports	<i>patient-pk</i>
5.3.3.3	Intrinsic Factor PK Study Reports	<i>intrinsic-factor-pk</i>
5.3.3.4	Extrinsic Factor PK Study Reports	<i>extrinsic-factor-pk</i>
5.3.3.5	Population PK Study Reports	<i>population-pk</i>
5.3.4	Reports of Human Pharmacodynamic (PD) Studies	<i>human-pd-studies</i>
5.3.4.1	Healthy Subject PD and PK/PD Study Reports	<i>healthy-subject-pd</i>
5.3.4.2	Patient PD and PK/PD Study Reports	<i>patient-pd</i>
5.3.5	Reports of Efficacy and Safety Studies	<i>efficacy-safety</i>
	“Indication 1”	<i>indication-1</i>
	“Indication 2”	<i>indication-2</i>
	“Indication 3”	<i>indication-3</i>
5.3.5.1	Study Reports of Controlled Clinical Studies Pertinent to the Claimed Indication	<i>controlled-studies</i>
5.3.5.2	Study Reports of Uncontrolled Clinical Studies	<i>uncontrolled-studies</i>
5.3.5.3	Reports of Analyses of Data from More than One Study	<i>multistudy-analyses</i>
5.3.5.4	Other Study Reports	<i>other-studies</i>
5.3.6	Reports of Postmarketing Experience	<i>postmarketing-experience</i>
5.3.7	Case Report Forms and Individual Patient Listings ^a	<i>crfs-patient-listings</i>
	“Study 1”	<i>study-1</i>
	“Study 2”	<i>study-2</i>
	“Study n”	<i>study-n</i>
5.4	References	<i>references</i>

^a This folder contains as many folders as studies are included. The folders should be named as indicated. The content of the folders should follow regional guidance.

The folder hierarchy for module 5 is presented in the screenshot in Figure 3.4.

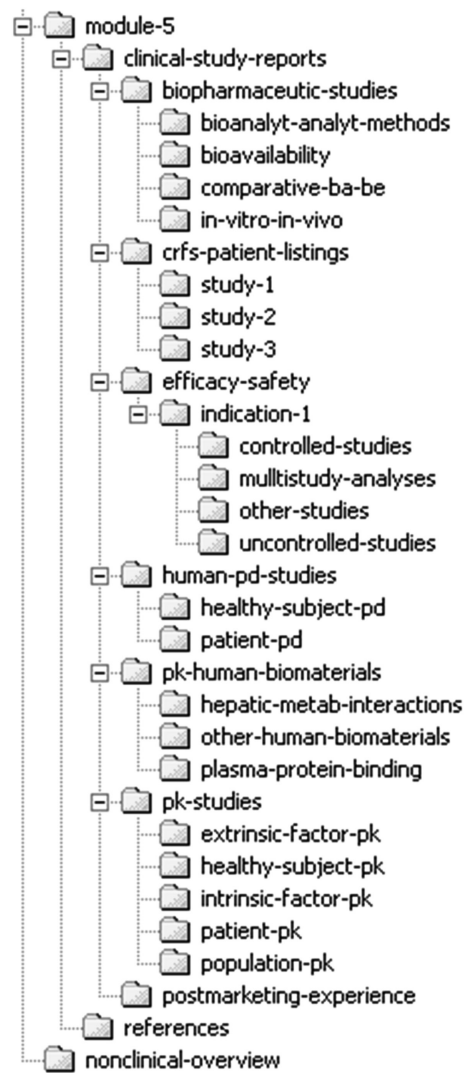


FIGURE 3.4 Screenshot of the folder structure of module 5



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Appendix 4: File Organization for the eCTD

Each item in the file organization table that is included in this appendix includes the following information: the following table covers files that constitute the backbone itself plus necessary additional files to make the submission complete, readable, and processable.

Where file names are presented in italics, typically, applicants would substitute these with file names in accordance with their own naming conventions.

Sequential number	Number	Each item in the table has a unique sequentially assigned reference number. These reference numbers can change with each version of this appendix.
	Number	CTD section number
	Title	CTD title
	Element	Element name in the Backbone
	File/Directory	Full path of the File/Directory. The file extension corresponds to the file type; that is, the “pdf” extension is only illustrative. Refer to Table 6.1, Appendix 6 for details for the head of the path name.
	Comment	Comments
1	Number	
	Title	
	Element	
	File	index.xml
	Comment	This is Backbone
2	Number	
	Title	
	Element	
	File	index-md5.txt
	Comment	The MD5 of the Backbone
3	Number	1
	Title	Administrative Information and Prescribing Information
	Element	m1-administrative-information-and-prescribing-information
	Directory	module-1
	Comment	Only one of the regional directories is needed.
4	Number	
	Title	
	Element	
	Directory	module-1/eu
	Comment	EU directory: In addition to the appropriate regional documents, the regional xml instance should be located in this folder. Refer to regional guidance for details.
5	Number	
	Title	
	Element	
	Directory	module-1/jp
	Comment	Japan directory: In addition to the appropriate regional documents, the regional xml instance should be located in this folder. Refer to regional guidance for details.
6	Number	
	Title	
	Element	
	Directory	module-1/us
	Comment	U.S. directory: In addition to the appropriate regional documents, the regional xml instance should be located in this folder. Refer to regional guidance for details.

(Continued)

APPENDIX 4 (CONTINUED)

7	Number	
	Title	
	Element	
	Directory	module-1/xx
	Comment	xx directory; where xx is a two-character country code from ISO-3166-1.: In addition to the appropriate regional documents, the regional xml instance should be located in this folder. Refer to regional guidance for details.
8	Number	2
	Title	Common Technical Document Summaries
	Element	m2-common-technical-document-summaries
	Directory	module-2
	Comment	
9	Number	2.2
	Title	Introduction
	Element	m2-2-introduction
	File	module-2/introduction.pdf
	Comment	
10	Number	2.3
	Title	Quality Overall Summary
	Element	m2-3-quality-overall-summary
	Directory	module-2/quality-overall-summary
	Comment	
11	Number	2.3
	Title	Introduction
	Element	m2-3-introduction
	File	module-2/quality-overall-summary/introduction.pdf
	Comment	
12	Number	2.3.S
	Title	Drug Substance
	Element	m2-3-s-drug-substance
	File	module-2/quality-overall-summary/drug-substance.pdf
	Comment	Typically, this logical document should consist of a single file. The CTD defines further heading levels, and navigation should be provided within the document to these subheadings.
13	Number	2.3.P
	Title	Drug Product
	Element	m2-3-p-drug-product
	File	module-2/quality-overall-summary/drug-product.pdf
	Comment	Typically, this logical document should consist of a single file. The CTD defines further heading levels, and navigation should be provided within the document to these subheadings.
14	Number	2.3.A
	Title	Appendices
	Element	m2-3-a-appendices
	File	module-2/quality-overall-summary/appendices.pdf
	Comment	Typically, this logical document should consist of a single file. The CTD defines further heading levels, and navigation should be provided within the document to these subheadings.
15	Number	2.3.R
	Title	Regional Information
	Element	m2-3-r-regional-information
	File	module-2/quality-overall-summary/regional-information.pdf
	Comment	
16	Number	2.4
	Title	Nonclinical Overview
	Element	m2-4-nonclinical-overview
	File	module-2/nonclinical-overview.pdf
	Comment	Typically, this logical document should consist of a single file. The CTD defines further heading levels, and navigation should be provided within the document to these subheadings.

(Continued)

APPENDIX 4 (CONTINUED)

17	Number	2.5
	Title	Clinical Overview
	Element	m2-5-clinical-overview
	File	module-2/clinical-overview.pdf
	Comment	Typically, this logical document should consist of a single file. The CTD defines further heading levels, and navigation should be provided within the document to these subheadings.
18	Number	2.6
	Title	Nonclinical Written and Tabulated Summary
	Element	m2-6-nonclinical-written-and-tabulated-summary
	Directory	module-2/nonclinical-summary
	Comment	
19	Number	2.6.1
	Title	Introduction
	Element	m2-6-1-introduction
	File	module-2/nonclinical-summary/introduction.pdf
	Comment	
20	Number	2.6.2
	Title	Pharmacology Written Summary
	Element	m2-6-2-pharmacology-written-summary
	File	module-2/nonclinical-summary/pharmacol-written-summary.pdf
	Comment	Typically, this logical document should consist of a single file. The CTD defines further heading levels, and navigation should be provided within the document to these subheadings.
21	Number	2.6.3
	Title	Pharmacology Tabulated Summary
	Element	m2-6-3-pharmacology-tabulated-summary
	File	module-2/nonclinical-summary/pharmacol-tabulated-summary.pdf
	Comment	Should have further navigation via bookmarks.
22	Number	2.6.4
	Title	Pharmacokinetics Written Summary
	Element	m2-6-4-pharmacokinetics-written-summary
	File	module-2/nonclinical-summary/pharmkin-written-summary.pdf
	Comment	Typically, this logical document should consist of a single file. The CTD defines further heading levels, and navigation should be provided within the document to these subheadings.
23	Number	2.6.5
	Title	Pharmacokinetics Tabulated Summary
	Element	m2-6-5-pharmacokinetics-tabulated-summary
	File	module-2/nonclinical-summary/pharmkin-tabulated-summary.pdf
	Comment	Should have further navigation via bookmarks.
24	Number	2.6.6
	Title	Toxicology Written Summary
	Element	m2-6-6-toxicology-written-summary
	File	module-2/nonclinical-summary/toxicology-written-summary.pdf
	Comment	Typically, this logical document should consist of a single file. The CTD defines further heading levels, and navigation should be provided within the document to these subheadings.
25	Number	2.6.7
	Title	Toxicology Tabulated Summary
	Element	m2-6-7-toxicology-tabulated-summary
	File	module-2/nonclinical-summary/toxicology-tabulated-summary.pdf
	Comment	Should have further navigation via bookmarks.
26	Number	2.7
	Title	Clinical Summary
	Element	m2-7-clinical-summary
	Directory	module-2/clinical-summary
	Comment	

(Continued)

APPENDIX 4 (CONTINUED)

27	Number	2.7.1
	Title	Summary of Biopharmaceutic and Associated Analytical Methods
	Element	m2-7-1-summary-of-biopharmaceutic-and-associated-analytical-methods
	File	module-2/clinical-summary/summary-biopharm.pdf
	Comment	Typically, this logical document should consist of a single file. The CTD defines further heading levels, and navigation should be provided within the document to these subheadings.
28	Number	2.7.2
	Title	Summary of Clinical Pharmacology Studies
	Element	m2-7-2-summary-of-clinical-pharmacology-studies
	File	module-2/clinical-summary/summary-clin-pharm.pdf
	Comment	Typically, this logical document should consist of a single file. The CTD defines further heading levels, and navigation should be provided within the document to these subheadings.
29	Number	2.7.3
	Title	Summary of Clinical Efficacy— <i>Indication</i>
	Element	m2-7-3-summary-of-clinical-efficacy
	File	module-2/clinical-summary/summary-clin-efficacy-indication.pdf
	Comment	The folder name should always include the indication being claimed (abbreviated if appropriate); for example, “summary-clin-efficacy-asthma.” Where there is more than one indication (e.g., asthma and migraine), then the first indication has a folder “summary-clin-efficacy-asthma” and the second “summary-clin-efficacy-migraine.” Typically, this logical document should consist of a single file. The CTD defines further heading levels, and navigation should be provided within the document to these subheadings.
30	Number	2.7.4
	Title	Summary of Clinical Safety
	Element	m2-7-4-summary-of-clinical-safety
	File	module-2/clinical-summary/summary-clin-safety.pdf
	Comment	Typically, this logical document should consist of a single file. The CTD defines further heading levels, and navigation should be provided within the document to these subheadings.
31	Number	2.7.5
	Title	References
	Element	m2-7-5-references
	File	module-2/clinical-summary/references.pdf
	Comment	
32	Number	2.7.6
	Title	Synopses of Individual Studies
	Element	m2-7-6-synopses-of-individual-studies
	File	module-2/clinical-summary/synopses-indiv-studies.pdf
	Comment	These synopses should already be located in the Clinical Study Reports in Module 5 and should not, therefore, be repeated in Module 2. It is considered sufficient to provide hyperlinks to the locations in Module 5.
33	Number	3
	Title	Quality
	Element	m3-quality
	Directory	module-3
	Comment	
34	Number	3.2
	Title	Body of Data
	Element	m3-2-body-of-data
	Directory	module-3/body-of-data
	Comment	
35	Number	3.2.S
	Title	Drug Substance
	Element	m3-2-s-drug-substance
	Directory	module-3/body-of-data/drug-substance
	Comment	

(Continued)

APPENDIX 4 (CONTINUED)

36	Number	3.2.S
	Title	Drug Substance— <i>Drug Substance Name-Manufacturer</i>
	Element	m3-2-s-drug-substance
	Directory	module-3/body-of-data/drug-substance/ <i>substance-1-manufacturer-1</i>
	Comment	The folder name should always include the name of the drug substance (e.g., ranitidine) through the inclusion of the International Non-proprietary Name to give “ranitidine-hydrochloride.” Similarly, for manufacturer, the folder name should always include the name of the manufacturer (e.g., <i>ranitidine-manufacturer-1</i>). Where there is more than one manufacturer, the drug substance folder should be repeated but with an indication of each manufacturer concerned included in the folder name: the first instance, for example, <i>drug-substance-1- manufacturer-1</i> and the second <i>drug-substance-1-manufacturer-2</i> . Where there is more than one drug substance (e.g., ranitidine hydrochloride and cimetidine), then the first drug substance has a folder “ranitidine-hydrochloride” and the second “cimetidine.” In this example, a set of folders can include <i>ranitidine-manufacturer-1</i> <i>ranitidine-manufacturer-2</i> <i>cimetidine-manufacturer-1</i> <i>cimetidine-manufacturer-2</i> Typically, the applicant would include the specific manufacturer(s) (and/or site) in the folder name.
37	Number	3.2.S.1
	Title	General Information
	Element	m3-2-s-1-general-information
	Directory	module-3/body-of-data/drug-substance/ <i>substance-1-manufacturer-1</i> /general-information
	Comment	
38	Number	3.2.S.1.1
	Title	Nomenclature
	Element	m3-2-s-1-1-nomenclature
	File	module-3/body-of-data/drug-substance/ <i>substance-1-manufacturer-1</i> /general-information/nomenclature.pdf
	Comment	
39	Number	3.2.S.1.2
	Title	Structure
	Element	m3-2-s-1-2-structure
	File	module-3/body-of-data/drug-substance/ <i>substance-1-manufacturer-1</i> /general-information/structure.pdf
	Comment	
40	Number	3.2.S.1.3
	Title	General Properties
	Element	m3-2-s-1-3-general-properties
	File	module-3/body-of-data/drug-substance/ <i>substance-1-manufacturer-1</i> /general-information/general-properties.pdf
	Comment	
41	Number	3.2.S.2
	Title	Manufacture
	Element	m3-2-s-2-manufacture
	Directory	module-3/body-of-data/drug-substance/ <i>substance-1-manufacturer-1</i> /manufacture
	Comment	
42	Number	3.2.S.2.1
	Title	Manufacturer(s)
	Element	m3-2-s-2-1-manufacturers
	File	module-3/body-of-data/drug-substance/ <i>substance-1-manufacturer-1</i> /manufacture/manufacturer.pdf
	Comment	For this document, there should be only information regarding one manufacturer.
43	Number	3.2.S.2.2
	Title	Description of Manufacturing Process and Process Controls
	Element	m3-2-s-2-2-description-of-manufacturing-process-and-process-controls
	File	module-3/body-of-data/drug-substance/ <i>substance-1-manufacturer-1</i> /manufacture/manuf-process-and-controls.pdf
	Comment	

(Continued)

APPENDIX 4 (CONTINUED)

44	Number	3.2.S.2.3
	Title	Control of Materials
	Element	m3-2-s-2-3-control-of-materials
	File	module-3/body-of-data/drug-substance/ <i>substance-1-manufacturer-1</i> /manufacture/control-of-materials.pdf
	Comment	
45	Number	3.2.S.2.4
	Title	Controls of Critical Steps and Intermediates
	Element	m3-2-s-2-4-controls-of-critical-steps-and-intermediates
	File	module-3/body-of-data/drug-substance/ <i>substance-1-manufacturer-1</i> /manufacture/control-critical-steps.pdf
	Comment	
46	Number	3.2.S.2.5
	Title	Process Validation and/or Evaluation
	Element	m3-2-s-2-5-process-validation-and-or-evaluation
	File	module-3/body-of-data/drug-substance/ <i>substance-1-manufacturer-1</i> /manufacture/process-validation.pdf
	Comment	
47	Number	3.2.S.2.6
	Title	Manufacturing Process Development
	Element	m3-2-s-2-6-manufacturing-process-development
	File	module-3/body-of-data/drug-substance/ <i>substance-1-manufacturer-1</i> /manufacture/manuf-process-development.pdf
	Comment	
48	Number	3.2.S.3
	Title	Characterization
	Element	m3-2-s-3-characterization
	Directory	module-3/body-of-data/drug-substance/ <i>substance-1-manufacturer-1</i> /characterization
	Comment	
49	Number	3.2.S.3.1
	Title	Elucidation of Structure and Other Characteristics
	Element	m3-2-s-3-1-elucidation-of-structure-and-other-characteristics
	File	module-3/body-of-data/drug-substance/ <i>substance-1-manufacturer-1</i> /characterization/elucidation-of-structure.pdf
	Comment	
50	Number	3.2.S.3.2
	Title	Impurities
	Element	m3-2-s-3-2-impurities
	File	module-3/body-of-data/drug-substance/ <i>substance-1-manufacturer-1</i> /characterization/impurities.pdf
	Comment	
51	Number	3.2.S.4
	Title	Control of Drug Substance
	Element	m3-2-s-4-control-of-drug-substance
	Directory	module-3/body-of-data/drug-substance/ <i>substance-1-manufacturer-1</i> /control-drug-substance
	Comment	
52	Number	3.2.S.4.1
	Title	Specification
	Element	m3-2-s-4-1-specification
	File	module-3/body-of-data/drug-substance/ <i>substance-1-manufacturer-1</i> /control-drug-substance/specification.pdf
	Comment	
53	Number	3.2.S.4.2
	Title	Analytical Procedures
	Element	m3-2-s-4-2-analytical-procedures
	Directory	module-3/body-of-data/drug-substance/ <i>substance-1-manufacturer-1</i> /control-drug-substance/ analytical-procedures
	Comment	For each analytical procedure, a separate file should be provided.
54	Number	3.2.S.4.2.1
	Title	<i>Analytical Procedure-1</i>

(Continued)

APPENDIX 4 (CONTINUED)

	Element	m3-2-s-4-2-analytical-procedures
	File	module-3/body-of-data/drug-substance/ <i>substance-1-manufacturer-1</i> /control-drug-substance/analytical-procedures/ <i>analytical-procedure-1.pdf</i>
	Comment	
55	Number	3.2.S.4.2.2
	Title	<i>Analytical Procedure-2</i>
	Element	m3-2-s-4-2-analytical-procedures
	File	module-3/body-of-data/drug-substance/ <i>substance-1-manufacturer-1</i> /control-drug-substance/analytical-procedures/ <i>analytical-procedure-2.pdf</i>
	Comment	
56	Number	3.2.S.4.2.3
	Title	<i>Analytical Procedure-3</i>
	Element	m3-2-s-4-2-analytical-procedures
	File	module-3/body-of-data/drug-substance/ <i>substance-1-manufacturer-1</i> /control-drug-substance/analytical-procedures/ <i>analytical-procedure-3.pdf</i>
	Comment	
57	Number	3.2.S.4.3
	Title	Validation of Analytical Procedures
	Element	m3-2-s-4-3-validation-of-analytical-procedures
	Directory	module-3/body-of-data/drug-substance/ <i>substance-1-manufacturer-1</i> /control-drug-substance/validation-analyt-procedures
	Comment	For each validation of an analytical procedure, a separate file should be provided.
58	Number	3.2.S.4.3.1
	Title	Validation of Analytical Procedures
	Element	m3-2-s-4-3-validation-of-analytical-procedures
	File	module-3/body-of-data/drug-substance/ <i>substance-1-manufacturer-1</i> /control-drug-substance/validation-analyt-procedures/ <i>validation-analyt-procedure-1.pdf</i>
	Comment	
59	Number	3.2.S.4.3.2
	Title	Validation of Analytical Procedures
	Element	m3-2-s-4-3-validation-of-analytical-procedures
	File	module-3/body-of-data/drug-substance/ <i>substance-1-manufacturer-1</i> /control-drug-substance/validation-analyt-procedures/ <i>validation-analyt-procedure-2.pdf</i>
	Comment	
60	Number	3.2.S.4.3.3
	Title	Validation of Analytical Procedures
	Element	m3-2-s-4-3-validation-of-analytical-procedures
	File	module-3/body-of-data/drug-substance/ <i>substance-1-manufacturer-1</i> /control-drug-substance/validation-analyt-procedures/ <i>validation-analyt-procedure-3.pdf</i>
	Comment	
61	Number	3.2.S.4.4
	Title	Batch Analyses
	Element	m3-2-s-4-4-batch-analyses
	File	module-3/body-of-data/drug-substance/ <i>substance-1-manufacturer-1</i> /control-drug-substance/batch-analyses.pdf
	Comment	
62	Number	3.2.S.4.5
	Title	Justification of Specification
	Element	m3-2-s-4-5-justification-of-specification
	File	module-3/body-of-data/drug-substance/ <i>substance-1-manufacturer-1</i> /control-drug-substance/justification-of-specification.pdf
	Comment	
63	Number	3.2.S.5
	Title	Reference Standards or Materials
	Element	m3-2-s-5-reference-standards-or-materials
	File	module-3/body-of-data/drug-substance/ <i>substance-1-manufacturer-1</i> /reference-standards.pdf

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APPENDIX 4 (CONTINUED)

	Comment	The applicant can decide whether one file is provided that covers all reference standards or individual files are provided for each reference standard. In deciding whether one or more files are appropriate, it should be considered that once a particular approach has been adopted, this should be maintained throughout the life of the dossier.
64	Number	3.2.S.6
	Title	Container Closure System
	Element	m3-2-s-6-container-closure-system
	File	module-3/body-of-data/drug-substance/ <i>substance-1-manufacturer-1</i> /container-closure-system.pdf
	Comment	
65	Number	3.2.S.7
	Title	Stability
	Element	m3-2-s-7-stability
	Directory	module-3/body-of-data/drug-substance/ <i>substance-1-manufacturer-1</i> /stability
	Comment	
66	Number	3.2.S.7.1
	Title	Stability Summary and Conclusions
	Element	m3-2-s-7-1-stability-summary-and-conclusions
	File	module-3/body-of-data/drug-substance/ <i>substance-1-manufacturer-1</i> /stability/stability-summary.pdf
	Comment	
67	Number	3.2.S.7.2
	Title	Post-approval Stability Protocol and Stability Commitment
	Element	m3-2-s-7-2-post-approval-stability-protocol-and-stability-commitment
	File	module-3/body-of-data/drug-substance/ <i>substance-1-manufacturer-1</i> /stability/postapproval-stability.pdf
	Comment	
68	Number	3.2.S.7.3
	Title	Stability Data
	Element	m3-2-s-7-3-stability-data
	File	module-3/body-of-data/drug-substance/ <i>substance-1-manufacturer-1</i> /stability/stability-data.pdf
	Comment	
69	Number	3.2.P
	Title	Drug Product
	Element	m3-2-p-drug-product
	Directory	module-3/body-of-data/drug-product
	Comment	
70	Number	3.2.P
	Title	Drug Product - <i>Name</i>
	Element	m3-2-p-drug-product
	Directory	module-3/body-of-data/drug-product/ <i>product-1</i>
	Comment	The folder name should always include the name of the drug product through inclusion of the name of the form/strength to give, for example, "tablet 5 mg." Where there is more than one drug product (e.g., powder for reconstitution and diluent), then the first drug product has a folder "powder-for-reconstitution" and the second "diluent." Refer to regional guidance for definition of what constitutes a drug product and the acceptability of more than one drug product in an application.
71	Number	3.2.P.1
	Title	Description and Composition of the Drug Product
	Element	m3-2-p-1-description-and-composition-of-the-drug-product
	File	module-3/body-of-data/drug-product/ <i>product-1</i> /description-and-composition.pdf
	Comment	
72	Number	3.2.P.2
	Title	Pharmaceutical Development
	Element	m3-2-p-2-pharmaceutical-development
	Directory	module-3/body-of-data/drug-product/ <i>product-1</i> /pharmaceutical-development
	Comment	
73	Number	3.2.P.2
	Title	Pharmaceutical Development
	Element	m3-2-p-2-pharmaceutical-development

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APPENDIX 4 (CONTINUED)

	File	module-3/body-of-data/drug-product/ <i>product-1</i> /pharmaceutical-development/pharmaceutical-development.pdf
	Comment	A single pdf file covering all subsections can be provided. If the applicant wishes to subdivide the document into its constituent parts as defined in the CTD, they can choose to do so and should utilize the following file names: <ul style="list-style-type: none"> • module-3/body-of-data/drug-product/<i>product-1</i>/pharmaceutical-development/components-drug-product.pdf • module-3/body-of-data/drug-product/<i>product-1</i>/pharmaceutical-development/drug-product.pdf • module-3/body-of-data/drug-product/<i>product-1</i>/pharmaceutical-development/manuf-process-development.pdf • module-3/body-of-data/drug-product/<i>product-1</i>/pharmaceutical-development/container-closure-system.pdf • module-3/body-of-data/drug-product/<i>product-1</i>/pharmaceutical-development/microbiological-attributes.pdf • module-3/body-of-data/drug-product/<i>product-1</i>/pharmaceutical-development/compatibility.pdf In deciding whether one or more files are appropriate, it should be considered that once a particular approach has been adopted, this should be maintained throughout the life of the dossier.
74	Number	3.2.P.3
	Title	Manufacture
	Element	m3-2-p-3-manufacture
	Directory	module-3/body-of-data/drug-product/ <i>product-1</i> /manufacture
	Comment	
75	Number	3.2.P.3.1
	Title	Manufacturer(s)
	Element	m3-2-p-3-1-manufacturers
	File	module-3/body-of-data/drug-product/ <i>product-1</i> /manufacture/manufacturers.pdf
	Comment	
76	Number	3.2.P.3.2
	Title	Batch Formula
	Element	m3-2-p-3-2-batch-formula
	File	module-3/body-of-data/drug-product/ <i>product-1</i> /manufacture/batch-formula.pdf
	Comment	
77	Number	3.2.P.3.3
	Title	Description of Manufacturing Process and Process Controls
	Element	m3-2-p-3-3-description-of-manufacturing-process-and-process-controls
	File	module-3/body-of-data/drug-product/ <i>product-1</i> /manufacture/manuf-process-and-controls.pdf
	Comment	
78	Number	3.2.P.3.4
	Title	Controls of Critical Steps and Intermediates
	Element	m3-2-p-3-4-controls-of-critical-steps-and-intermediates
	File	module-3/body-of-data/drug-product/ <i>product-1</i> /manufacture/control-critical-steps.pdf
	Comment	
79	Number	3.2.P.3.5
	Title	Process Validation and/or Evaluation
	Element	m3-2-p-3-5-process-validation-and-or-evaluation
	File	module-3/body-of-data/drug-product/ <i>product-1</i> /manufacture/process-validation.pdf
	Comment	
80	Number	3.2.P.4
	Title	Control of Excipients
	Element	m3-2-p-4-control-of-excipients
	Directory	module-3/body-of-data/drug-product/ <i>product-1</i> /control-excipients
	Comment	
81	Number	3.2.P.4
	Title	Control of Excipients- <i>Excipient</i>
	Element	m3-2-p-4-control-of-excipients
	Directory	module-3/body-of-data/drug-product/ <i>product-1</i> /control-excipients/ <i>excipient-1</i>
	Comment	For a drug product containing more than one excipient, the information requested for sections P4.1–P4.4 should be provided in its entirety for each excipient.

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APPENDIX 4 (CONTINUED)

82	Number	3.2.P4.1
	Title	Specifications
	Element	m3-2-p-4-1-specifications
	File	module-3/body-of-data/drug-product/ <i>product-1</i> /control-excipients/ <i>excipient-1</i> /specifications.pdf
	Comment	
83	Number	3.2.P4.2
	Title	Analytical Procedures
	Element	m3-2-p-4-2-analytical-procedures
	File	module-3/body-of-data/drug-product/ <i>product-1</i> /control-excipients/ <i>excipient-1</i> /analytical-procedures.pdf
	Comment	
84	Number	3.2.P4.3
	Title	Validation of Analytical Procedures
	Element	m3-2-p-4-3-validation-of-analytical-procedures
	File	module-3/body-of-data/drug-product/ <i>product-1</i> /control-excipients/ <i>excipient-1</i> /validation-analyt-procedures.pdf
	Comment	
85	Number	3.2.P4.4
	Title	Justification of Specifications
	Element	m3-2-p-4-4-justification-of-specifications
	File	module-3/body-of-data/drug-product/ <i>product-1</i> /control-excipients/ <i>excipient-1</i> /justification-of-specification.pdf
	Comment	
86	Number	3.2.P4.5
	Title	Excipients of Human or Animal Origin
	Element	m3-2-p-4-5-excipients-of-human-or-animal-origin
	File	module-3/body-of-data/drug-product/ <i>product-1</i> /control-excipients/excipients-human-animal.pdf
	Comment	
87	Number	3.2.P4.6
	Title	Novel Excipients
	Element	m3-2-p-4-6-novel-excipients
	File	module-3/body-of-data/drug-product/ <i>product-1</i> /control-excipients/novel-excipients.pdf
	Comment	
88	Number	3.2.P5
	Title	Control of Drug Product
	Element	m3-2-p-5-control-of-drug-product
	Directory	module-3/body-of-data/drug-product/ <i>product-1</i> /control-drug-product
	Comment	
89	Number	3.2.P5.1
	Title	Specification(s)
	Element	m3-2-p-5-1-specifications
	File	module-3/body-of-data/drug-product/ <i>product-1</i> /control-drug-product/specifications.pdf
	Comment	
90	Number	3.2.P5.2
	Title	Analytical Procedures
	Element	m3-2-p-5-2-analytical-procedures
	Directory	module-3/body-of-data/drug-product/ <i>product-1</i> /control-drug-product/analytical-procedures
	Comment	For each analytical procedure, a separate file should be provided.
91	Number	3.2.P5.2.1
	Title	<i>Analytical Procedure-1</i>
	Element	m3-2-p-5-2-analytical-procedures
	File	module-3/body-of-data/drug-product/ <i>product-1</i> /control-drug-product/analytical-procedures/ <i>analytical-procedure-1.pdf</i>
	Comment	
92	Number	3.2.P5.2.2
	Title	<i>Analytical Procedure-2</i>
	Element	m3-2-p-5-2-analytical-procedures

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APPENDIX 4 (CONTINUED)

	File	module-3/body-of-data/drug-product/ <i>product-1</i> /control-drug-product/analytical-procedures/ <i>analytical-procedure-2.pdf</i>
	Comment	
93	Number	3.2.P.5.2.3
	Title	<i>Analytical Procedure-3</i>
	Element	m3-2-p-5-2-analytical-procedures
	File	module-3/body-of-data/drug-product/ <i>product-1</i> /control-drug-product/analytical-procedures/ <i>analytical-procedure-3.pdf</i>
	Comment	
94	Number	3.2.P.5.3
	Title	Validation of Analytical Procedures
	Element	m3-2-p-5-3-validation-of-analytical-procedures
	Directory	module-3/body-of-data/drug-product/ <i>product-1</i> /control-drug-product/validation-analyt-procedures
	Comment	For each validation of an analytical procedure, a separate file should be provided.
95	Number	3.2.P.5.3.1
	Title	<i>Validation of Analytical Procedures-1</i>
	Element	m3-2-p-5-3-validation-of-analytical-procedures
	File	module-3/body-of-data/drug-product/ <i>product-1</i> /control-drug-product/validation-analyt-procedures/ <i>validation-analytical-procedures-1.pdf</i>
	Comment	
96	Number	3.2.P.5.3.2
	Title	<i>Validation of Analytical Procedures-2</i>
	Element	m3-2-p-5-3-validation-of-analytical-procedures
	File	module-3/body-of-data/drug-product/ <i>product-1</i> /control-drug-product/validation-analyt-procedures/ <i>validation-analytical-procedures-2.pdf</i>
	Comment	
97	Number	3.2.P.5.3.3
	Title	<i>Validation of Analytical Procedures-3</i>
	Element	m3-2-p-5-3-validation-of-analytical-procedures
	File	module-3/body-of-data/drug-product/ <i>product-1</i> /control-drug-product/validation-analyt-procedures/ <i>validation-analytical-procedures-3.pdf</i>
	Comment	
98	Number	3.2.P.5.4
	Title	Batch Analyses
	Element	m3-2-p-5-4-batch-analyses
	File	module-3/body-of-data/drug-product/ <i>product-1</i> /control-drug-product/batch-analyses.pdf
	Comment	
99	Number	3.2.P.5.5
	Title	Characterization of Impurities
	Element	m3-2-p-5-5-characterization-of-impurities
	File	module-3/body-of-data/drug-product/ <i>product-1</i> /control-drug-product/characterization-impurities.pdf
	Comment	
100	Number	3.2.P.5.6
	Title	Justification of Specifications
	Element	m3-2-p-5-6-justification-of-specifications
	File	module-3/body-of-data/drug-product/ <i>product-1</i> /control-drug-product/justification-of-specification.pdf
	Comment	
101	Number	3.2.P.6
	Title	Reference Standards or Materials
	Element	m3-2-p-6-reference-standards-or-materials
	File	module-3/body-of-data/drug-product/ <i>product-1</i> /reference-standards.pdf
	Comment	The applicant can decide whether one file is provided that covers all reference standards or individual files are provided for each reference standard. In deciding whether one or more files are appropriate, it should be considered that once a particular approach has been adopted, this should be maintained throughout the life of the dossier.

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APPENDIX 4 (CONTINUED)

102	Number	3.2.P.7
	Title	Container Closure System
	Element	m3-2-p-7-container-closure-system
	File	module-3/body-of-data/drug-product/ <i>product-1</i> /container-closure-system.pdf
	Comment	
103	Number	3.2.P.8
	Title	Stability
	Element	m3-2-p-8-stability
	Directory	module-3/body-of-data/drug-product/ <i>product-1</i> /stability
	Comment	
104	Number	3.2.P.8.1
	Title	Stability Summary and Conclusion
	Element	m3-2-p-8-1-stability-summary-and-conclusion
	File	module-3/body-of-data/drug-product/ <i>product-1</i> /stability/stability-summary.pdf
	Comment	
105	Number	3.2.P.8.2
	Title	Post-approval Stability Protocol and Stability Commitment
	Element	m3-2-p-8-2-post-approval-stability-protocol-and-stability-commitment
	File	module-3/body-of-data/drug-product/ <i>product-1</i> /stability/postapproval-stability.pdf
	Comment	
106	Number	3.2.P.8.3
	Title	Stability Data
	Element	m3-2-p-8-3-stability-data
	File	module-3/body-of-data/drug-product/ <i>product-1</i> /stability/stability-data.pdf
	Comment	
107	Number	3.2.A
	Title	Appendices
	Element	m3-2-a-appendices
	Directory	module-3/body-of-data/appendices
	Comment	
108	Number	3.2.A.1
	Title	Facilities and Equipment
	Element	m3-2-a-1-facilities-and-equipment
	Directory	module-3/body-of-data/appendices/facilities-and-equipment
	Comment	Several reports are likely to be included in this appendix. The organization is left to the applicant to define.
109	Number	3.2.A.1.1
	Title	<i>Facilities and Equipment Report 1</i>
	Element	m3-2-a-1-facilities-and-equipment
	File	module-3/body-of-data/appendices/facilities-and-equipment/ <i>facilities-and-equipment-report-1.pdf</i>
	Comment	
110	Number	3.2.A.1.2
	Title	<i>Facilities and Equipment Report 2</i>
	Element	m3-2-a-1-facilities-and-equipment
	File	module-3/body-of-data/appendices/facilities-and-equipment/ <i>facilities-and-equipment-report-2.pdf</i>
	Comment	
111	Number	3.2.A.1.3
	Title	<i>Facilities and Equipment Report 3</i>
	Element	m3-2-a-1-facilities-and-equipment
	File	module-3/body-of-data/appendices/facilities-and-equipment/ <i>facilities-and-equipment-report-3.pdf</i>
	Comment	
112	Number	3.2.A.2
	Title	Adventitious Agents Safety Evaluation
	Element	m3-2-a-2-adventitious-agents-safety-evaluation
	Directory	module-3/body-of-data/appendices/adventitious-agents
	Comment	For nonviral adventitious agents, reports should be placed in this folder. For viral adventitious agents, the following subfolder structure should be used. An example of the file naming convention is given for each folder.

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APPENDIX 4 (CONTINUED)

113	Number	3.2.A.2.1
	Title	<i>Adventitious Agents Safety Evaluation Report 1</i>
	Element	m3-2-a-2-adventitious-agents-safety-evaluation
	File	module-3/body-of-data/appendices/adventitious-agents/ <i>adventitious-agents-report-1.pdf</i>
	Comment	
114	Number	3.2.A.2.2
	Title	<i>Adventitious Agents Safety Evaluation Report 2</i>
	Element	m3-2-a-2-adventitious-agents-safety-evaluation
	File	module-3/body-of-data/appendices/adventitious-agents/ <i>adventitious-agents-report-2.pdf</i>
	Comment	
115	Number	3.2.A.2.3
	Title	<i>Adventitious Agents Safety Evaluation Report 3</i>
	Element	m3-2-a-2-adventitious-agents-safety-evaluation
	File	module-3/body-of-data/appendices/adventitious-agents/ <i>adventitious-agents-report-3.pdf</i>
	Comment	
116	Number	3.2.A.3
	Title	<i>Novel Excipients-Name</i>
	Element	m3-2-a-3-novel-excipients
	Directory	module-3/body-of-data/appendices/ <i>novel-excipients-name-1</i>
	Comment	The name of any novel excipient should be included in the folder name. If there is more than one novel excipient, then each folder should have unique identification through the use of different names; for example, “novel-excipient-name-1” and “novel-excipient-name-2.” The directory/file structure would typically follow that of the drug substance section in Module 3. Refer to regional guidances for the need for such information to be included in the submission directly as opposed to its inclusion in a Drug Master File.
117	Number	3.2.R
	Title	Regional Information
	Element	m3-2-r-regional-information
	Directory	module-3/body-of-data/regional-information
	Comment	
118	Number	3.3
	Title	Literature References
	Element	m3-3-literature-references
	Directory	module-3/references
	Comment	
119	Number	3.3.1
	Title	<i>Reference 1</i>
	Element	m3-3-literature-references
	File	module-3/references/ <i>reference-1.pdf</i>
	Comment	An alternative approach is allowable, whereby a single PDF file includes all references with bookmarks to each individual reference. However, this would mean that the whole file would need to be replaced if any update is made to its components.
120	Number	3.3.2
	Title	<i>Reference 2</i>
	Element	m3-3-literature-references
	File	module-3/references/ <i>reference-2.pdf</i>
	Comment	
121	Number	3.3.3
	Title	<i>Reference 3</i>
	Element	m3-3-literature-references
	File	module-3/references/ <i>reference-3.pdf</i>
	Comment	
122	Number	4
	Title	Nonclinical Study Reports
	Element	m4-nonclinical-study-reports
	Directory	module-4
	Comment	

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APPENDIX 4 (CONTINUED)

123	Number	4.2
	Title	Study Reports
	Element	m4-2-study-reports
	Directory	module-4/study-reports
	Comment	
124	Number	4.2.1
	Title	Pharmacology
	Element	m4-2-1-pharmacology
	Directory	module-4/study-reports/pharmacology
	Comment	
125	Number	4.2.1.1
	Title	Primary Pharmacodynamics
	Element	m4-2-1-1-primary-pharmacodynamics
	Directory	module-4/study-reports/pharmacology/primary-pharmacodynamics
	Comment	
126	Number	4.2.1.1.1
	Title	<i>Study Report 1</i>
	Element	m4-2-1-1-primary-pharmacodynamics
	File	module-4/study-reports/pharmacology/primary-pharmacodynamics/ <i>study-report-1.pdf</i>
	Comment	It is possible to have the additional graphic file(s) inserted directly into the PDF file, thus making management of the file easier. Alternatively, the applicant can choose to manage these independently. This comment is applicable to all study reports in Module 4.
127	Number	4.2.1.1.2
	Title	<i>Study Report 1 Data</i>
	Element	m4-2-1-1-primary-pharmacodynamics
	File	module-4/study-reports/pharmacology/primary-pharmacodynamics/ <i>study-report-1-data.pdf</i>
	Comment	The data listings can be included as part of the study report document or as a separate appendix. This is relevant to all study reports in Module 4. Regional requirements can allow the submission of the data listings as a data file. Refer to regional guidances.
128	Number	4.2.1.1.3
	Title	<i>Study Report 2</i>
	Element	m4-2-1-1-primary-pharmacodynamics
	File	module-4/study-reports/pharmacology/primary-pharmacodynamics/ <i>study-report-2.pdf</i>
	Comment	
129	Number	4.2.1.1.4
	Title	<i>Study Report 2 Data</i>
	Element	m4-2-1-1-primary-pharmacodynamics
	File	module-4/study-reports/pharmacology/primary-pharmacodynamics/ <i>study-report-2-data.pdf</i>
	Comment	
130	Number	4.2.1.1.5
	Title	<i>Study Report 3</i>
	Element	m4-2-1-1-primary-pharmacodynamics
	File	module-4/study-reports/pharmacology/primary-pharmacodynamics/ <i>study-report-3.pdf</i>
	Comment	
131	Number	4.2.1.1.6
	Title	<i>Study Report 3 Data</i>
	Element	m4-2-1-1-primary-pharmacodynamics
	File	module-4/study-reports/pharmacology/primary-pharmacodynamics/ <i>study-report-3-data.pdf</i>
	Comment	
132	Number	4.2.1.2
	Title	Secondary Pharmacodynamics
	Element	m4-2-1-2-secondary-pharmacodynamics
	Directory	module-4/study-reports/pharmacology/secondary-pharmacodynamics
	Comment	
133	Number	4.2.1.2.1
	Title	<i>Study Report 1</i>

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APPENDIX 4 (CONTINUED)

	Element	m4-2-1-2-secondary-pharmacodynamics
	File	module-4/study-reports/pharmacology/secondary-pharmacodynamics/ <i>study-report-1.pdf</i>
	Comment	
134	Number	4.2.1.2.2
	Title	<i>Study Report 1 Data</i>
	Element	m4-2-1-2-secondary-pharmacodynamics
	File	module-4/study-reports/pharmacology/secondary-pharmacodynamics/ <i>study-report-1-data.pdf</i>
	Comment	
135	Number	4.2.1.2.3
	Title	<i>Study Report 2</i>
	Element	m4-2-1-2-secondary-pharmacodynamics
	File	module-4/study-reports/pharmacology/secondary-pharmacodynamics/ <i>study-report-2.pdf</i>
	Comment	
136	Number	4.2.1.2.4
	Title	<i>Study Report 2 Data</i>
	Element	m4-2-1-2-secondary-pharmacodynamics
	File	module-4/study-reports/pharmacology/secondary-pharmacodynamics/ <i>study-report-2-data.pdf</i>
	Comment	
137	Number	4.2.1.2.5
	Title	<i>Study Report 3</i>
	Element	m4-2-1-2-secondary-pharmacodynamics
	File	module-4/study-reports/pharmacology/secondary-pharmacodynamics/ <i>study-report-3.pdf</i>
	Comment	
138	Number	4.2.1.2.6
	Title	<i>Study Report 3 Data</i>
	Element	m4-2-1-2-secondary-pharmacodynamics
	File	module-4/study-reports/pharmacology/secondary-pharmacodynamics/ <i>study-report-3-data.pdf</i>
	Comment	
139	Number	4.2.1.3
	Title	Safety Pharmacology
	Element	m4-2-1-3-safety-pharmacology
	Directory	module-4/study-reports/pharmacology/safety-pharmacology
	Comment	
140	Number	4.2.1.3.1
	Title	<i>Study Report 1</i>
	Element	m4-2-1-3-safety-pharmacology
	File	module-4/study-reports/pharmacology/safety-pharmacology/ <i>study-report-1.pdf</i>
	Comment	
141	Number	4.2.1.3.2
	Title	<i>Study Report 1 Data</i>
	Element	m4-2-1-3-safety-pharmacology
	File	module-4/study-reports/pharmacology/safety-pharmacology/ <i>study-report-1-data.pdf</i>
	Comment	
142	Number	4.2.1.3.3
	Title	<i>Study Report 2</i>
	Element	m4-2-1-3-safety-pharmacology
	File	module-4/study-reports/pharmacology/safety-pharmacology/ <i>study-report-2.pdf</i>
	Comment	
143	Number	4.2.1.3.4
	Title	<i>Study Report 2 Data</i>
	Element	m4-2-1-3-safety-pharmacology
	File	module-4/study-reports/pharmacology/safety-pharmacology/ <i>study-report-2-data.pdf</i>
	Comment	
144	Number	4.2.1.3.5
	Title	<i>Study Report 3</i>
	Element	m4-2-1-3-safety-pharmacology

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APPENDIX 4 (CONTINUED)

	File	module-4/study-reports/pharmacology/safety-pharmacology/study-report-3.pdf
	Comment	
145	Number	4.2.1.3.6
	Title	<i>Study Report 3 Data</i>
	Element	m4-2-1-3-safety-pharmacology
	File	module-4/study-reports/pharmacology/safety-pharmacology/study-report-3-data.pdf
	Comment	
146	Number	4.2.1.4
	Title	Pharmacodynamic Drug Interactions
	Element	m4-2-1-4-pharmacodynamic-drug-interactions
	Directory	module-4/study-reports/pharmacology/pd-drug-interactions
	Comment	
147	Number	4.2.1.4.1
	Title	<i>Study Report 1</i>
	Element	m4-2-1-4-pharmacodynamic-drug-interactions
	File	module-4/study-reports/pharmacology/pd-drug-interactions/study-report-1.pdf
	Comment	
148	Number	4.2.1.4.2
	Title	<i>Study Report 1 Data</i>
	Element	m4-2-1-4-pharmacodynamic-drug-interactions
	File	module-4/study-reports/pharmacology/pd-drug-interactions/study-report-1-data.pdf
	Comment	
149	Number	4.2.1.4.3
	Title	<i>Study Report 2</i>
	Element	m4-2-1-4-pharmacodynamic-drug-interactions
	File	module-4/study-reports/pharmacology/pd-drug-interactions/study-report-2.pdf
	Comment	
150	Number	4.2.1.4.4
	Title	<i>Study Report 2 Data</i>
	Element	m4-2-1-4-pharmacodynamic-drug-interactions
	File	module-4/study-reports/pharmacology/pd-drug-interactions/study-report-2-data.pdf
	Comment	
151	Number	4.2.1.4.5
	Title	<i>Study Report 3</i>
	Element	m4-2-1-4-pharmacodynamic-drug-interactions
	File	module-4/study-reports/pharmacology/pd-drug-interactions/study-report-3.pdf
	Comment	
152	Number	4.2.1.4.6
	Title	<i>Study Report 3 Data</i>
	Element	m4-2-1-4-pharmacodynamic-drug-interactions
	File	module-4/study-reports/pharmacology/pd-drug-interactions/study-report-3-data.pdf
	Comment	
153	Number	4.2.2
	Title	Pharmacokinetics
	Element	m4-2-2-pharmacokinetics
	Directory	module-4/study-reports/pharmacokinetics
	Comment	
154	Number	4.2.2.1
	Title	Analytical Methods and Validation Reports (if separate reports are available)
	Element	m4-2-2-1-analytical-methods-and-validation-reports
	Directory	module-4/study-reports/pharmacokinetics/analyt-methods-validation
	Comment	
155	Number	4.2.2.1.1
	Title	<i>Study Report 1</i>
	Element	m4-2-2-1-analytical-methods-and-validation-reports
	File	module-4/study-reports/pharmacokinetics/analyt-methods-validation/study-report-1.pdf
	Comment	

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156	Number	4.2.2.1.2
	Title	<i>Study Report 1 Data</i>
	Element	m4-2-2-1-analytical-methods-and-validation-reports
	File	module-4/study-reports/pharmacokinetics/analyt-methods-validation/ <i>study-report-1-data.pdf</i>
	Comment	
157	Number	4.2.2.1.3
	Title	<i>Study Report 2</i>
	Element	m4-2-2-1-analytical-methods-and-validation-reports
	File	module-4/study-reports/pharmacokinetics/analyt-methods-validation/ <i>study-report-2.pdf</i>
	Comment	
158	Number	4.2.2.1.4
	Title	<i>Study Report 2 Data</i>
	Element	m4-2-2-1-analytical-methods-and-validation-reports
	File	module-4/study-reports/pharmacokinetics/analyt-methods-validation/ <i>study-report-2-data.pdf</i>
	Comment	
159	Number	4.2.2.1.5
	Title	<i>Study Report 3</i>
	Element	m4-2-2-1-analytical-methods-and-validation-reports
	File	module-4/study-reports/pharmacokinetics/analyt-methods-validation/ <i>study-report-3.pdf</i>
	Comment	
160	Number	4.2.2.1.6
	Title	<i>Study Report 3 Data</i>
	Element	m4-2-2-1-analytical-methods-and-validation-reports
	File	module-4/study-reports/pharmacokinetics/analyt-methods-validation/ <i>study-report-3-data.pdf</i>
	Comment	
161	Number	4.2.2.2
	Title	Absorption
	Element	m4-2-2-2-absorption
	Directory	module-4/study-reports/pharmacokinetics/absorption
	Comment	
162	Number	4.2.2.2.1
	Title	<i>Study Report 1</i>
	Element	m4-2-2-2-absorption
	File	module-4/study-reports/pharmacokinetics/absorption/ <i>study-report-1.pdf</i>
	Comment	
163	Number	4.2.2.2.2
	Title	<i>Study Report 1 Data</i>
	Element	m4-2-2-2-absorption
	File	module-4/study-reports/pharmacokinetics/absorption/ <i>study-report-1-data.pdf</i>
	Comment	
164	Number	4.2.2.2.3
	Title	<i>Study Report 2</i>
	Element	m4-2-2-2-absorption
	File	module-4/study-reports/pharmacokinetics/absorption/ <i>study-report-2.pdf</i>
	Comment	
165	Number	4.2.2.2.4
	Title	<i>Study Report 2 Data</i>
	Element	m4-2-2-2-absorption
	File	module-4/study-reports/pharmacokinetics/absorption/ <i>study-report-2-data.pdf</i>
	Comment	
166	Number	4.2.2.2.5
	Title	<i>Study Report 3</i>
	Element	m4-2-2-2-absorption
	File	module-4/study-reports/pharmacokinetics/absorption/ <i>study-report-3.pdf</i>
	Comment	

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APPENDIX 4 (CONTINUED)

167	Number	4.2.2.2.6
	Title	<i>Study Report 3 Data</i>
	Element	m4-2-2-2-absorption
	File	module-4/study-reports/pharmacokinetics/absorption/ <i>study-report-3-data.pdf</i>
	Comment	
168	Number	4.2.2.3
	Title	Distribution
	Element	m4-2-2-3-distribution
	Directory	module-4/study-reports/pharmacokinetics/distribution
	Comment	
169	Number	4.2.2.3.1
	Title	<i>Study Report 1</i>
	Element	m4-2-2-3-distribution
	File	module-4/study-reports/pharmacokinetics/distribution/ <i>study-report-1.pdf</i>
	Comment	
170	Number	4.2.2.3.2
	Title	<i>Study Report 1 Data</i>
	Element	m4-2-2-3-distribution
	File	module-4/study-reports/pharmacokinetics/distribution/ <i>study-report-1-data.pdf</i>
	Comment	
171	Number	4.2.2.3.3
	Title	<i>Study Report 2</i>
	Element	m4-2-2-3-distribution
	File	module-4/study-reports/pharmacokinetics/distribution/ <i>study-report-2.pdf</i>
	Comment	
172	Number	4.2.2.3.4
	Title	<i>Study Report 2 Data</i>
	Element	m4-2-2-3-distribution
	File	module-4/study-reports/pharmacokinetics/distribution/ <i>study-report-2-data.pdf</i>
	Comment	
173	Number	4.2.2.3.5
	Title	<i>Study Report 3</i>
	Element	m4-2-2-3-distribution
	File	module-4/study-reports/pharmacokinetics/distribution/ <i>study-report-3.pdf</i>
	Comment	
174	Number	4.2.2.3.6
	Title	<i>Study Report 3 Data</i>
	Element	m4-2-2-3-distribution
	File	module-4/study-reports/pharmacokinetics/distribution/ <i>study-report-3-data.pdf</i>
	Comment	
175	Number	4.2.2.4
	Title	Metabolism
	Element	m4-2-2-4-metabolism
	Directory	module-4/study-reports/pharmacokinetics/metabolism
	Comment	
176	Number	4.2.2.4.1
	Title	<i>Study Report 1</i>
	Element	m4-2-2-4-metabolism
	File	module-4/study-reports/pharmacokinetics/metabolism/ <i>study-report-1.pdf</i>
	Comment	
177	Number	4.2.2.4.2
	Title	<i>Study Report 1 Data</i>
	Element	m4-2-2-4-metabolism
	File	module-4/study-reports/pharmacokinetics/metabolism/ <i>study-report-1-data.pdf</i>
	Comment	

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APPENDIX 4 (CONTINUED)

178	Number	4.2.2.4.3
	Title	<i>Study Report 2</i>
	Element	m4-2-2-4-metabolism
	File	module-4/study-reports/pharmacokinetics/metabolism/ <i>study-report-2.pdf</i>
	Comment	
179	Number	4.2.2.4.4
	Title	<i>Study Report 2 Data</i>
	Element	m4-2-2-4-metabolism
	File	module-4/study-reports/pharmacokinetics/metabolism/ <i>study-report-2-data.pdf</i>
	Comment	
180	Number	4.2.2.4.5
	Title	<i>Study Report 3</i>
	Element	m4-2-2-4-metabolism
	File	module-4/study-reports/pharmacokinetics/metabolism/ <i>study-report-3.pdf</i>
	Comment	
181	Number	4.2.2.4.6
	Title	<i>Study Report 3 Data</i>
	Element	m4-2-2-4-metabolism
	File	module-4/study-reports/pharmacokinetics/metabolism/ <i>study-report-3-data.pdf</i>
	Comment	
182	Number	4.2.2.5
	Title	Excretion
	Element	m4-2-2-5-excretion
	Directory	module-4/study-reports/pharmacokinetics/excretion
	Comment	
183	Number	4.2.2.5.1
	Title	<i>Study Report 1</i>
	Element	m4-2-2-5-excretion
	File	module-4/study-reports/pharmacokinetics/excretion/ <i>study-report-1.pdf</i>
	Comment	
184	Number	4.2.2.5.2
	Title	<i>Study Report 1 Data</i>
	Element	m4-2-2-5-excretion
	File	module-4/study-reports/pharmacokinetics/excretion/ <i>study-report-1-data.pdf</i>
	Comment	
185	Number	4.2.2.5.3
	Title	<i>Study Report 2</i>
	Element	m4-2-2-5-excretion
	File	module-4/study-reports/pharmacokinetics/excretion/ <i>study-report-2.pdf</i>
	Comment	
186	Number	4.2.2.5.4
	Title	<i>Study Report 2 Data</i>
	Element	m4-2-2-5-excretion
	File	module-4/study-reports/pharmacokinetics/excretion/ <i>study-report-2-data.pdf</i>
	Comment	
187	Number	4.2.2.5.5
	Title	<i>Study Report 3</i>
	Element	m4-2-2-5-excretion
	File	module-4/study-reports/pharmacokinetics/excretion/ <i>study-report-3.pdf</i>
	Comment	
188	Number	4.2.2.5.6
	Title	<i>Study Report 3 Data</i>
	Element	m4-2-2-5-excretion
	File	module-4/study-reports/pharmacokinetics/excretion/ <i>study-report-3-data.pdf</i>
	Comment	

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APPENDIX 4 (CONTINUED)

189	Number	4.2.2.6
	Title	Pharmacokinetic Drug Interactions (nonclinical)
	Element	m4-2-2-6-pharmacokinetic-drug-interactions
	Directory	module-4/study-reports/pharmacokinetics/pk-drug-interactions
	Comment	
190	Number	4.2.2.6.1
	Title	<i>Study Report 1</i>
	Element	m4-2-2-6-pharmacokinetic-drug-interactions
	File	module-4/study-reports/pharmacokinetics/pk-drug-interactions/ <i>study-report-1.pdf</i>
	Comment	
191	Number	4.2.2.6.2
	Title	<i>Study Report 1 Data</i>
	Element	m4-2-2-6-pharmacokinetic-drug-interactions
	File	module-4/study-reports/pharmacokinetics/pk-drug-interactions/ <i>study-report-1-data.pdf</i>
	Comment	
192	Number	4.2.2.6.3
	Title	<i>Study Report 2</i>
	Element	m4-2-2-6-pharmacokinetic-drug-interactions
	File	module-4/study-reports/pharmacokinetics/pk-drug-interactions/ <i>study-report-2.pdf</i>
	Comment	
193	Number	4.2.2.6.4
	Title	<i>Study Report 2 Data</i>
	Element	m4-2-2-6-pharmacokinetic-drug-interactions
	File	module-4/study-reports/pharmacokinetics/pk-drug-interactions/ <i>study-report-2-data.pdf</i>
	Comment	
194	Number	4.2.2.6.5
	Title	<i>Study Report 3</i>
	Element	m4-2-2-6-pharmacokinetic-drug-interactions
	File	module-4/study-reports/pharmacokinetics/pk-drug-interactions/ <i>study-report-3.pdf</i>
	Comment	
195	Number	4.2.2.6.6
	Title	<i>Study Report 3 Data</i>
	Element	m4-2-2-6-pharmacokinetic-drug-interactions
	File	module-4/study-reports/pharmacokinetics/pk-drug-interactions/ <i>study-report-3-data.pdf</i>
	Comment	
196	Number	4.2.2.7
	Title	Other Pharmacokinetic Studies
	Element	m4-2-2-7-other-pharmacokinetic-studies
	Directory	module-4/study-reports/pharmacokinetics/other-pk-studies
	Comment	
197	Number	4.2.2.7.1
	Title	<i>Study Report 1</i>
	Element	m4-2-2-7-other-pharmacokinetic-studies
	File	module-4/study-reports/pharmacokinetics/other-pk-studies/ <i>study-report-1.pdf</i>
	Comment	
198	Number	4.2.2.7.2
	Title	<i>Study Report 1 Data</i>
	Element	m4-2-2-7-other-pharmacokinetic-studies
	File	module-4/study-reports/pharmacokinetics/other-pk-studies/ <i>study-report-1-data.pdf</i>
	Comment	
199	Number	4.2.2.7.3
	Title	<i>Study Report 2</i>
	Element	m4-2-2-7-other-pharmacokinetic-studies
	File	module-4/study-reports/pharmacokinetics/other-pk-studies/ <i>study-report-2.pdf</i>
	Comment	

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APPENDIX 4 (CONTINUED)

200	Number	4.2.2.7.4
	Title	<i>Study Report 2 Data</i>
	Element	m4-2-2-7-other-pharmacokinetic-studies
	File	module-4/study-reports/pharmacokinetics/other-pk-studies/study-report-2-data.pdf
	Comment	
201	Number	4.2.2.7.5
	Title	<i>Study Report 3</i>
	Element	m4-2-2-7-other-pharmacokinetic-studies
	File	module-4/study-reports/pharmacokinetics/other-pk-studies/study-report-3.pdf
	Comment	
202	Number	4.2.2.7.6
	Title	<i>Study Report 3 Data</i>
	Element	m4-2-2-7-other-pharmacokinetic-studies
	File	module-4/study-reports/pharmacokinetics/other-pk-studies/study-report-3-data.pdf
	Comment	
203	Number	4.2.3
	Title	Toxicology
	Element	m4-2-3-toxicology
	Directory	module-4/study-reports/toxicology
	Comment	
204	Number	4.2.3.1
	Title	Single-Dose Toxicity (in order by species, by route)
	Element	m4-2-3-1-single-dose-toxicity
	Directory	module-4/study-reports/toxicology/single-dose-toxicity
	Comment	
205	Number	4.2.3.1.1
	Title	<i>Study Report 1</i>
	Element	m4-2-3-1-single-dose-toxicity
	File	module-4/study-reports/toxicology/single-dose-toxicity/study-report-1.pdf
	Comment	
206	Number	4.2.3.1.2
	Title	<i>Study Report 1 Data</i>
	Element	m4-2-3-1-single-dose-toxicity
	File	module-4/study-reports/toxicology/single-dose-toxicity/study-report-1-data.pdf
	Comment	
207	Number	4.2.3.1.3
	Title	<i>Study Report 2</i>
	Element	m4-2-3-1-single-dose-toxicity
	File	module-4/study-reports/toxicology/single-dose-toxicity/study-report-2.pdf
	Comment	
208	Number	4.2.3.1.4
	Title	<i>Study Report 2 Data</i>
	Element	m4-2-3-1-single-dose-toxicity
	File	module-4/study-reports/toxicology/single-dose-toxicity/study-report-2-data.pdf
	Comment	
209	Number	4.2.3.1.5
	Title	<i>Study Report 3</i>
	Element	m4-2-3-1-single-dose-toxicity
	File	module-4/study-reports/toxicology/single-dose-toxicity/study-report-3.pdf
	Comment	
210	Number	4.2.3.1.6
	Title	<i>Study Report 3 Data</i>
	Element	m4-2-3-1-single-dose-toxicity
	File	module-4/study-reports/toxicology/single-dose-toxicity/study-report-3-data.pdf
	Comment	

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APPENDIX 4 (CONTINUED)

211	Number	4.2.3.2
	Title	Repeat-Dose Toxicity (in order by species, by route, by duration, including supportive toxicokinetics evaluations)
	Element	m4-2-3-2-repeat-dose-toxicity
	Directory	module-4/study-reports/toxicology/repeat-dose-toxicity
	Comment	
212	Number	4.2.3.2.1
	Title	<i>Study Report 1</i>
	Element	m4-2-3-2-repeat-dose-toxicity
	File	module-4/study-reports/toxicology/repeat-dose-toxicity/study-report-1.pdf
	Comment	
213	Number	4.2.3.2.2
	Title	<i>Study Report 1 Data</i>
	Element	m4-2-3-2-repeat-dose-toxicity
	File	module-4/study-reports/toxicology/repeat-dose-toxicity/study-report-1-data.pdf
	Comment	
214	Number	4.2.3.2.3
	Title	<i>Study Report 2</i>
	Element	m4-2-3-2-repeat-dose-toxicity
	File	module-4/study-reports/toxicology/repeat-dose-toxicity/study-report-2.pdf
	Comment	
215	Number	4.2.3.2.4
	Title	<i>Study Report 2 Data</i>
	Element	m4-2-3-2-repeat-dose-toxicity
	File	module-4/study-reports/toxicology/repeat-dose-toxicity/study-report-2-data.pdf
	Comment	
216	Number	4.2.3.2.5
	Title	<i>Study Report 3</i>
	Element	m4-2-3-2-repeat-dose-toxicity
	File	module-4/study-reports/toxicology/repeat-dose-toxicity/study-report-3.pdf
	Comment	
217	Number	4.2.3.2.6
	Title	<i>Study Report 3 Data</i>
	Element	m4-2-3-2-repeat-dose-toxicity
	File	module-4/study-reports/toxicology/repeat-dose-toxicity/study-report-3-data.pdf
	Comment	
218	Number	4.2.3.3
	Title	Genotoxicity
	Element	m4-2-3-3-genotoxicity
	Directory	module-4/study-reports/toxicology/genotoxicity
	Comment	
219	Number	4.2.3.3.1
	Title	In vitro
	Element	m4-2-3-3-1-in-vitro
	Directory	module-4/study-reports/toxicology/genotoxicity/in-vitro
	Comment	
220	Number	4.2.3.3.1.1
	Title	<i>Study Report 1</i>
	Element	m4-2-3-3-1-in-vitro
	File	module-4/study-reports/toxicology/genotoxicity/in-vitro/study-report-1.pdf
	Comment	
221	Number	4.2.3.3.1.2
	Title	<i>Study Report 1 Data</i>
	Element	m4-2-3-3-1-in-vitro
	File	module-4/study-reports/toxicology/genotoxicity/in-vitro/study-report-1-data.pdf
	Comment	

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APPENDIX 4 (CONTINUED)

222	Number	4.2.3.3.1.3
	Title	<i>Study Report 2</i>
	Element	m4-2-3-3-1-in-vitro
	File	module-4/study-reports/toxicology/genotoxicity/in-vitro/study-report-2.pdf
	Comment	
223	Number	4.2.3.3.1.4
	Title	<i>Study Report 2 Data</i>
	Element	m4-2-3-3-1-in-vitro
	File	module-4/study-reports/toxicology/genotoxicity/in-vitro/study-report-2-data.pdf
	Comment	
224	Number	4.2.3.3.1.5
	Title	<i>Study Report 3</i>
	Element	m4-2-3-3-1-in-vitro
	File	module-4/study-reports/toxicology/genotoxicity/in-vitro/study-report-3.pdf
	Comment	
225	Number	4.2.3.3.1.6
	Title	<i>Study Report 3 Data</i>
	Element	m4-2-3-3-1-in-vitro
	File	module-4/study-reports/toxicology/genotoxicity/in-vitro/study-report-3-data.pdf
	Comment	
226	Number	4.2.3.3.2
	Title	In vivo (including supportive toxicokinetics evaluations)
	Element	m4-2-3-3-2-in-vivo
	Directory	module-4/study-reports/toxicology/genotoxicity/in-vivo
	Comment	
227	Number	4.2.3.3.2.1
	Title	<i>Study Report 1</i>
	Element	m4-2-3-3-2-in-vivo
	File	module-4/study-reports/toxicology/genotoxicity/in-vivo/study-report-1.pdf
	Comment	
228	Number	4.2.3.3.2.2
	Title	<i>Study Report 1 Data</i>
	Element	m4-2-3-3-2-in-vivo
	File	module-4/study-reports/toxicology/genotoxicity/in-vivo/study-report-1-data.pdf
	Comment	
229	Number	4.2.3.3.2.3
	Title	<i>Study Report 2</i>
	Element	m4-2-3-3-2-in-vivo
	File	module-4/study-reports/toxicology/genotoxicity/in-vivo/study-report-2.pdf
	Comment	
230	Number	4.2.3.3.2.4
	Title	<i>Study Report 2 Data</i>
	Element	m4-2-3-3-2-in-vivo
	File	module-4/study-reports/toxicology/genotoxicity/in-vivo/study-report-2-data.pdf
	Comment	
231	Number	4.2.3.3.2.5
	Title	<i>Study Report 3</i>
	Element	m4-2-3-3-2-in-vivo
	File	module-4/study-reports/toxicology/genotoxicity/in-vivo/study-report-3.pdf
	Comment	
232	Number	4.2.3.3.2.6
	Title	<i>Study Report 3 Data</i>
	Element	m4-2-3-3-2-in-vivo
	File	module-4/study-reports/toxicology/genotoxicity/in-vivo/study-report-3-data.pdf
	Comment	

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APPENDIX 4 (CONTINUED)

233	Number	4.2.3.4
	Title	Carcinogenicity (including supportive toxicokinetics evaluations)
	Element	m4-2-3-4-carcinogenicity
	Directory	module-4/study-reports/toxicology/carcinogenicity
	Comment	
234	Number	4.2.3.4.1
	Title	Long-term studies (in order by species, including range-finding studies that cannot be appropriately included under repeat-dose toxicity or pharmacokinetics)
	Element	m4-2-3-4-1-long-term-studies
	Directory	module-4/study-reports/toxicology/carcinogenicity/long-term-studies
	Comment	
235	Number	4.2.3.4.1.1
	Title	<i>Study Report 1</i>
	Element	m4-2-3-4-1-long-term-studies
	File	module-4/study-reports/toxicology/carcinogenicity/long-term-studies/ <i>study-report-1.pdf</i>
	Comment	
236	Number	4.2.3.4.1.2
	Title	<i>Study Report 1 Data</i>
	Element	m4-2-3-4-1-long-term-studies
	File	module-4/study-reports/toxicology/carcinogenicity/long-term-studies/ <i>study-report-1-data.pdf</i>
	Comment	
237	Number	4.2.3.4.1.3
	Title	<i>Study Report 2</i>
	Element	m4-2-3-4-1-long-term-studies
	File	module-4/study-reports/toxicology/carcinogenicity/long-term-studies/ <i>study-report-2.pdf</i>
	Comment	
238	Number	4.2.3.4.1.4
	Title	<i>Study Report 2 Data</i>
	Element	m4-2-3-4-1-long-term-studies
	File	module-4/study-reports/toxicology/carcinogenicity/long-term-studies/ <i>study-report-2-data.pdf</i>
	Comment	
239	Number	4.2.3.4.1.5
	Title	<i>Study Report 3</i>
	Element	m4-2-3-4-1-long-term-studies
	File	module-4/study-reports/toxicology/carcinogenicity/long-term-studies/ <i>study-report-3.pdf</i>
	Comment	
240	Number	4.2.3.4.1.6
	Title	<i>Study Report 3 Data</i>
	Element	m4-2-3-4-1-long-term-studies
	File	module-4/study-reports/toxicology/carcinogenicity/long-term-studies/ <i>study-report-3-data.pdf</i>
	Comment	
241	Number	4.2.3.4.2
	Title	Short- or medium-term studies (including range-finding studies that cannot be appropriately included under repeat-dose toxicity or pharmacokinetics)
	Element	m4-2-3-4-2-short-or-medium-term-studies
	Directory	module-4/study-reports/toxicology/carcinogenicity/short-medium-term-studies
	Comment	
242	Number	4.2.3.4.2.1
	Title	<i>Study Report 1</i>
	Element	m4-2-3-4-2-short-or-medium-term-studies
	File	module-4/study-reports/toxicology/carcinogenicity/short-medium-term-studies/ <i>study-report-1.pdf</i>
	Comment	
243	Number	4.2.3.4.2.2
	Title	<i>Study Report 1 Data</i>
	Element	m4-2-3-4-2-short-or-medium-term-studies
	File	module-4/study-reports/toxicology/carcinogenicity/short-medium-term-studies/ <i>study-report-1-data.pdf</i>
	Comment	

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APPENDIX 4 (CONTINUED)

244	Number	4.2.3.4.2.3
	Title	<i>Study Report 2</i>
	Element	m4-2-3-4-2-short-or-medium-term-studies
	File	module-4/study-reports/toxicology/carcinogenicity/short-medium-term-studies/ <i>study-report-2.pdf</i>
	Comment	
245	Number	4.2.3.4.2.4
	Title	<i>Study Report 2 Data</i>
	Element	m4-2-3-4-2-short-or-medium-term-studies
	File	module-4/study-reports/toxicology/carcinogenicity/short-medium-term-studies/ <i>study-report-2-data.pdf</i>
	Comment	
246	Number	4.2.3.4.2.5
	Title	<i>Study Report 3</i>
	Element	m4-2-3-4-2-short-or-medium-term-studies
	File	module-4/study-reports/toxicology/carcinogenicity/short-medium-term-studies/ <i>study-report-3.pdf</i>
	Comment	
247	Number	4.2.3.4.2.6
	Title	<i>Study Report 3 Data</i>
	Element	m4-2-3-4-2-short-or-medium-term-studies
	File	module-4/study-reports/toxicology/carcinogenicity/short-medium-term-studies/ <i>study-report-3-data.pdf</i>
	Comment	
248	Number	4.2.3.4.3
	Title	Other studies
	Element	m4-2-3-4-3-other-studies
	Directory	module-4/study-reports/toxicology/carcinogenicity/other-studies
	Comment	
249	Number	4.2.3.4.3.1
	Title	<i>Study Report 1</i>
	Element	m4-2-3-4-3-other-studies
	File	module-4/study-reports/toxicology/carcinogenicity/other-studies/ <i>study-report-1.pdf</i>
	Comment	
250	Number	4.2.3.4.3.2
	Title	<i>Study Report 1 Data</i>
	Element	m4-2-3-4-3-other-studies
	File	module-4/study-reports/toxicology/carcinogenicity/other-studies/ <i>study-report-1-data.pdf</i>
	Comment	
251	Number	4.2.3.4.3.3
	Title	<i>Study Report 2</i>
	Element	m4-2-3-4-3-other-studies
	File	module-4/study-reports/toxicology/carcinogenicity/other-studies/ <i>study-report-2.pdf</i>
	Comment	
252	Number	4.2.3.4.3.4
	Title	<i>Study Report 2 Data</i>
	Element	m4-2-3-4-3-other-studies
	File	module-4/study-reports/toxicology/carcinogenicity/other-studies/ <i>study-report-2-data.pdf</i>
	Comment	
253	Number	4.2.3.4.3.5
	Title	<i>Study Report 3</i>
	Element	m4-2-3-4-3-other-studies
	File	module-4/study-reports/toxicology/carcinogenicity/other-studies/ <i>study-report-3.pdf</i>
	Comment	
254	Number	4.2.3.4.3.6
	Title	<i>Study Report 3 Data</i>
	Element	m4-2-3-4-3-other-studies
	File	module-4/study-reports/toxicology/carcinogenicity/other-studies/ <i>study-report-3-data.pdf</i>
	Comment	

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APPENDIX 4 (CONTINUED)

255	Number	4.2.3.5
	Title	Reproductive and Developmental Toxicity (including range-finding studies and supportive toxicokinetics evaluations). (If modified study designs are used, the following subheadings should be modified accordingly.)
	Element	m4-2-3-5-reproductive-and-developmental-toxicity
	Directory	module-4/study-reports/toxicology/repro-development-toxicity
	Comment	
256	Number	4.2.3.5.1
	Title	Fertility and early embryonic development
	Element	m4-2-3-5-1-fertility-and-early-embryonic-development
	Directory	module-4/study-reports/toxicology/repro-development-toxicity/fertility-embryonic-develop
	Comment	
257	Number	4.2.3.5.1.1
	Title	<i>Study Report 1</i>
	Element	m4-2-3-5-1-fertility-and-early-embryonic-development
	File	module-4/study-reports/toxicology/repro-development-toxicity/fertility-embryonic-develop/ <i>study-report-1.pdf</i>
	Comment	
258	Number	4.2.3.5.1.2
	Title	<i>Study Report 1 Data</i>
	Element	m4-2-3-5-1-fertility-and-early-embryonic-development
	File	module-4/study-reports/toxicology/repro-development-toxicity/fertility-embryonic-develop/ <i>study-report-1-data.pdf</i>
	Comment	
259	Number	4.2.3.5.1.3
	Title	<i>Study Report 2</i>
	Element	m4-2-3-5-1-fertility-and-early-embryonic-development
	File	module-4/study-reports/toxicology/repro-development-toxicity/fertility-embryonic-develop/ <i>study-report-2.pdf</i>
	Comment	
260	Number	4.2.3.5.1.4
	Title	<i>Study Report 2 Data</i>
	Element	m4-2-3-5-1-fertility-and-early-embryonic-development
	File	module-4/study-reports/toxicology/repro-development-toxicity/fertility-embryonic-develop/ <i>study-report-2-data.pdf</i>
	Comment	
261	Number	4.2.3.5.1.5
	Title	<i>Study Report 3</i>
	Element	m4-2-3-5-1-fertility-and-early-embryonic-development
	File	module-4/study-reports/toxicology/repro-development-toxicity/fertility-embryonic-develop/ <i>study-report-3.pdf</i>
	Comment	
262	Number	4.2.3.5.1.6
	Title	<i>Study Report 3 Data</i>
	Element	m4-2-3-5-1-fertility-and-early-embryonic-development
	File	module-4/study-reports/toxicology/repro-development-toxicity/fertility-embryonic-develop/ <i>study-report-3-data.pdf</i>
	Comment	
263	Number	4.2.3.5.2
	Title	Embryo-fetal development
	Element	m4-2-3-5-2-embryo-fetal-development
	Directory	module-4/study-reports/toxicology/repro-development-toxicity/embryo-fetal-develop
	Comment	
264	Number	4.2.3.5.2.1
	Title	<i>Study Report 1</i>
	Element	m4-2-3-5-2-embryo-fetal-development
	File	module-4/study-reports/toxicology/repro-development-toxicity/embryo-fetal-develop/ <i>study-report-1.pdf</i>
	Comment	
265	Number	4.2.3.5.2.2
	Title	<i>Study Report 1 Data</i>

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APPENDIX 4 (CONTINUED)

	Element	m4-2-3-5-2-embryo-fetal-development
	File	module-4/study-reports/toxicology/repro-development-toxicity/embryo-fetal-develop/study-report-1-data.pdf
	Comment	
266	Number	4.2.3.5.2.3
	Title	<i>Study Report 2</i>
	Element	m4-2-3-5-2-embryo-fetal-development
	File	module-4/study-reports/toxicology/repro-development-toxicity/embryo-fetal-develop/study-report-2.pdf
	Comment	
267	Number	4.2.3.5.2.4
	Title	<i>Study Report 2 Data</i>
	Element	m4-2-3-5-2-embryo-fetal-development
	File	module-4/study-reports/toxicology/repro-development-toxicity/embryo-fetal-develop/study-report-2-data.pdf
	Comment	
268	Number	4.2.3.5.2.5
	Title	<i>Study Report 3</i>
	Element	m4-2-3-5-2-embryo-fetal-development
	File	module-4/study-reports/toxicology/repro-development-toxicity/embryo-fetal-develop/study-report-3.pdf
	Comment	
269	Number	4.2.3.5.2.6
	Title	<i>Study Report 3 Data</i>
	Element	m4-2-3-5-2-embryo-fetal-development
	File	module-4/study-reports/toxicology/repro-development-toxicity/embryo-fetal-develop/study-report-3-data.pdf
	Comment	
270	Number	4.2.3.5.3
	Title	Prenatal and postnatal development, including maternal function
	Element	m4-2-3-5-3-prenatal-and-postnatal-development-including-maternal-function
	Directory	module-4/study-reports/toxicology/repro-development-toxicity/pre-postnatal-develop
	Comment	
271	Number	4.2.3.5.3.1
	Title	<i>Study Report 1</i>
	Element	m4-2-3-5-3-prenatal-and-postnatal-development-including-maternal-function
	File	module-4/study-reports/toxicology/repro-development-toxicity/pre-postnatal-develop/study-report-1.pdf
	Comment	
272	Number	4.2.3.5.3.2
	Title	<i>Study Report 1 Data</i>
	Element	m4-2-3-5-3-prenatal-and-postnatal-development-including-maternal-function
	File	module-4/study-reports/toxicology/repro-development-toxicity/pre-postnatal-develop/study-report-1-data.pdf
	Comment	
273	Number	4.2.3.5.3.3
	Title	<i>Study Report 2</i>
	Element	m4-2-3-5-3-prenatal-and-postnatal-development-including-maternal-function
	File	module-4/study-reports/toxicology/repro-development-toxicity/pre-postnatal-develop/study-report-2.pdf
	Comment	
274	Number	4.2.3.5.3.4
	Title	<i>Study Report 2 Data</i>
	Element	m4-2-3-5-3-prenatal-and-postnatal-development-including-maternal-function
	File	module-4/study-reports/toxicology/repro-development-toxicity/pre-postnatal-develop/study-report-2-data.pdf
	Comment	
275	Number	4.2.3.5.3.5
	Title	<i>Study Report 3</i>
	Element	m4-2-3-5-3-prenatal-and-postnatal-development-including-maternal-function
	File	module-4/study-reports/toxicology/repro-development-toxicity/pre-postnatal-develop/study-report-3.pdf
	Comment	
276	Number	4.2.3.5.3.6
	Title	<i>Study Report 3 Data</i>
	Element	m4-2-3-5-3-prenatal-and-postnatal-development-including-maternal-function

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APPENDIX 4 (CONTINUED)

	File	module-4/study-reports/toxicology/repro-development-toxicity/pre-postnatal-develop/study-report-3-data.pdf
	Comment	
277	Number	4.2.3.5.4
	Title	Studies in which the offspring (juvenile animals) are dosed and/or further evaluated
	Element	m4-2-3-5-4-studies-in-which-the-offspring-juvenile-animals-are-dosed-and-or-further-evaluated
	Directory	module-4/study-reports/toxicology/repro-development-toxicity/juvenile
	Comment	
278	Number	4.2.3.5.4.1
	Title	<i>Study Report 1</i>
	Element	m4-2-3-5-4-studies-in-which-the-offspring-juvenile-animals-are-dosed-and-or-further-evaluated
	File	module-4/study-reports/toxicology/repro-development-toxicity/juvenile/study-report-1.pdf
	Comment	
279	Number	4.2.3.5.4.2
	Title	<i>Study Report 1 Data</i>
	Element	m4-2-3-5-4-studies-in-which-the-offspring-juvenile-animals-are-dosed-and-or-further-evaluated
	File	module-4/study-reports/toxicology/repro-development-toxicity/juvenile/study-report-1-data.pdf
	Comment	
280	Number	4.2.3.5.4.3
	Title	<i>Study Report 2</i>
	Element	m4-2-3-5-4-studies-in-which-the-offspring-juvenile-animals-are-dosed-and-or-further-evaluated
	File	module-4/study-reports/toxicology/repro-development-toxicity/juvenile/study-report-2.pdf
	Comment	
281	Number	4.2.3.5.4.4
	Title	<i>Study Report 2 Data</i>
	Element	m4-2-3-5-4-studies-in-which-the-offspring-juvenile-animals-are-dosed-and-or-further-evaluated
	File	module-4/study-reports/toxicology/repro-development-toxicity/juvenile/study-report-2-data.pdf
	Comment	
282	Number	4.2.3.5.4.5
	Title	<i>Study Report 3</i>
	Element	m4-2-3-5-4-studies-in-which-the-offspring-juvenile-animals-are-dosed-and-or-further-evaluated
	File	module-4/study-reports/toxicology/repro-development-toxicity/juvenile/study-report-3.pdf
	Comment	
283	Number	4.2.3.5.4.6
	Title	<i>Study Report 3 Data</i>
	Element	m4-2-3-5-4-studies-in-which-the-offspring-juvenile-animals-are-dosed-and-or-further-evaluated
	File	module-4/study-reports/toxicology/repro-development-toxicity/juvenile/study-report-3-data.pdf
	Comment	
284	Number	4.2.4
	Title	Local Tolerance
	Element	m4-2-4-local-tolerance
	Directory	module-4/study-reports/local-tolerance
	Comment	
285	Number	4.2.4.1
	Title	<i>Study Report 1</i>
	Element	m4-2-4-local-tolerance
	File	module-4/study-reports/local-tolerance/study-report-1.pdf
	Comment	
286	Number	4.2.4.2
	Title	<i>Study Report 1 Data</i>
	Element	m4-2-4-local-tolerance
	File	module-4/study-reports/local-tolerance/study-report-1-data.pdf
	Comment	
287	Number	4.2.4.3
	Title	<i>Study Report 2</i>
	Element	m4-2-4-local-tolerance
	File	module-4/study-reports/local-tolerance/study-report-2.pdf
	Comment	

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APPENDIX 4 (CONTINUED)

288	Number	4.2.4.4
	Title	<i>Study Report 2 Data</i>
	Element	m4-2-4-local-tolerance
	File	module-4/study-reports/local-tolerance/ <i>study-report-2-data.pdf</i>
	Comment	
289	Number	4.2.4.5
	Title	<i>Study Report 3</i>
	Element	m4-2-4-local-tolerance
	File	module-4/study-reports/local-tolerance/ <i>study-report-3.pdf</i>
	Comment	
290	Number	4.2.4.6
	Title	<i>Study Report 3 Data</i>
	Element	m4-2-4-local-tolerance
	File	module-4/study-reports/local-tolerance/ <i>study-report-3-data.pdf</i>
	Comment	
291	Number	4.2.5
	Title	Other Toxicity Studies (if available)
	Element	m4-2-5-other-toxicity-studies
	Directory	module-4/study-reports/other-toxicity-studies
	Comment	
292	Number	4.2.5.1
	Title	Antigenicity
	Element	m4-2-5-1-antigenicity
	Directory	module-4/study-reports/other-toxicity-studies/antigenicity
	Comment	
293	Number	4.2.5.1.1
	Title	<i>Study Report 1</i>
	Element	m4-2-5-1-antigenicity
	File	module-4/study-reports/other-toxicity-studies/antigenicity/ <i>study-report-1.pdf</i>
	Comment	
294	Number	4.2.5.1.2
	Title	<i>Study Report 1 Data</i>
	Element	m4-2-5-1-antigenicity
	File	module-4/study-reports/other-toxicity-studies/antigenicity/ <i>study-report-1-data.pdf</i>
	Comment	
295	Number	4.2.5.1.3
	Title	<i>Study Report 2</i>
	Element	m4-2-5-1-antigenicity
	File	module-4/study-reports/other-toxicity-studies/antigenicity/ <i>study-report-2.pdf</i>
	Comment	
296	Number	4.2.5.1.4
	Title	<i>Study Report 2 Data</i>
	Element	m4-2-5-1-antigenicity
	File	module-4/study-reports/other-toxicity-studies/antigenicity/ <i>study-report-2-data.pdf</i>
	Comment	
297	Number	4.2.5.1.5
	Title	<i>Study Report 3</i>
	Element	m4-2-5-1-antigenicity
	File	module-4/study-reports/other-toxicity-studies/antigenicity/ <i>study-report-3.pdf</i>
	Comment	
298	Number	4.2.5.1.6
	Title	<i>Study Report 3 Data</i>
	Element	m4-2-5-1-antigenicity
	File	module-4/study-reports/other-toxicity-studies/antigenicity/ <i>study-report-3-data.pdf</i>
	Comment	

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APPENDIX 4 (CONTINUED)

299	Number	4.2.5.2
	Title	Immunotoxicity
	Element	m4-2-5-2-immunotoxicity
	Directory	module-4/study-reports/other-toxicity-studies/immunotoxicity
	Comment	
300	Number	4.2.5.2.1
	Title	<i>Study Report 1</i>
	Element	m4-2-5-2-immunotoxicity
	File	module-4/study-reports/other-toxicity-studies/immunotoxicity/study-report-1.pdf
	Comment	
301	Number	4.2.5.2.2
	Title	<i>Study Report 1 Data</i>
	Element	m4-2-5-2-immunotoxicity
	File	module-4/study-reports/other-toxicity-studies/immunotoxicity/study-report-1-data.pdf
	Comment	
302	Number	4.2.5.2.3
	Title	<i>Study Report 2</i>
	Element	m4-2-5-2-immunotoxicity
	File	module-4/study-reports/other-toxicity-studies/immunotoxicity/study-report-2.pdf
	Comment	
303	Number	4.2.5.2.4
	Title	<i>Study Report 2 Data</i>
	Element	m4-2-5-2-immunotoxicity
	File	module-4/study-reports/other-toxicity-studies/immunotoxicity/study-report-2-data.pdf
	Comment	
304	Number	4.2.5.2.5
	Title	<i>Study Report 3</i>
	Element	m4-2-5-2-immunotoxicity
	File	module-4/study-reports/other-toxicity-studies/immunotoxicity/study-report-3.pdf
	Comment	
305	Number	4.2.5.2.6
	Title	<i>Study Report 3 Data</i>
	Element	m4-2-5-2-immunotoxicity
	File	module-4/study-reports/other-toxicity-studies/immunotoxicity/study-report-3-data.pdf
	Comment	
306	Number	4.2.5.3
	Title	Mechanistic studies (if not included elsewhere)
	Element	m4-2-5-3-mechanistic-studies
	Directory	module-4/study-reports/other-toxicity-studies/mechanistic-studies
	Comment	
307	Number	4.2.5.3.1
	Title	<i>Study Report 1</i>
	Element	m4-2-5-3-mechanistic-studies
	File	module-4/study-reports/other-toxicity-studies/mechanistic-studies/study-report-1.pdf
	Comment	
308	Number	4.2.5.3.2
	Title	<i>Study Report 1 Data</i>
	Element	m4-2-5-3-mechanistic-studies
	File	module-4/study-reports/other-toxicity-studies/mechanistic-studies/study-report-1-data.pdf
	Comment	
309	Number	4.2.5.3.3
	Title	<i>Study Report 2</i>
	Element	m4-2-5-3-mechanistic-studies
	File	module-4/study-reports/other-toxicity-studies/mechanistic-studies/study-report-2.pdf
	Comment	

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APPENDIX 4 (CONTINUED)

310	Number	4.2.5.3.4
	Title	<i>Study Report 2 Data</i>
	Element	m4-2-5-3-mechanistic-studies
	File	module-4/study-reports/other-toxicity-studies/mechanistic-studies/ <i>study-report-2-data.pdf</i>
	Comment	
311	Number	4.2.5.3.5
	Title	<i>Study Report 3</i>
	Element	m4-2-5-3-mechanistic-studies
	File	module-4/study-reports/other-toxicity-studies/mechanistic-studies/ <i>study-report-3.pdf</i>
	Comment	
312	Number	4.2.5.3.6
	Title	<i>Study Report 3 Data</i>
	Element	m4-2-5-3-mechanistic-studies
	File	module-4/study-reports/other-toxicity-studies/mechanistic-studies/ <i>study-report-3-data.pdf</i>
	Comment	
313	Number	4.2.5.4
	Title	Dependence
	Element	m4-2-5-4-dependence
	Directory	module-4/study-reports/other-toxicity-studies/dependence
	Comment	
314	Number	4.2.5.4.1
	Title	<i>Study Report 1</i>
	Element	m4-2-5-4-dependence
	File	module-4/study-reports/other-toxicity-studies/dependence/ <i>study-report-1.pdf</i>
	Comment	
315	Number	4.2.5.4.2
	Title	<i>Study Report 1 Data</i>
	Element	m4-2-5-4-dependence
	File	module-4/study-reports/other-toxicity-studies/dependence/ <i>study-report-1-data.pdf</i>
	Comment	
316	Number	4.2.5.4.3
	Title	<i>Study Report 2</i>
	Element	m4-2-5-4-dependence
	File	module-4/study-reports/other-toxicity-studies/dependence/ <i>study-report-2.pdf</i>
	Comment	
317	Number	4.2.5.4.4
	Title	<i>Study Report 2 Data</i>
	Element	m4-2-5-4-dependence
	File	module-4/study-reports/other-toxicity-studies/dependence/ <i>study-report-2-data.pdf</i>
	Comment	
318	Number	4.2.5.4.5
	Title	<i>Study Report 3</i>
	Element	m4-2-5-4-dependence
	File	module-4/study-reports/other-toxicity-studies/dependence/ <i>study-report-3.pdf</i>
	Comment	
319	Number	4.2.5.4.6
	Title	<i>Study Report 3 Data</i>
	Element	m4-2-5-4-dependence
	File	module-4/study-reports/other-toxicity-studies/dependence/ <i>study-report-3-data.pdf</i>
	Comment	
320	Number	4.2.5.5
	Title	Metabolites
	Element	m4-2-5-5-metabolites
	Directory	module-4/study-reports/other-toxicity-studies/metabolites
	Comment	

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APPENDIX 4 (CONTINUED)

321	Number	4.2.5.5.1
	Title	<i>Study Report 1</i>
	Element	m4-2-5-5-metabolites
	File	module-4/study-reports/other-toxicity-studies/metabolites/ <i>study-report-1.pdf</i>
	Comment	
322	Number	4.2.5.5.2
	Title	<i>Study Report 1 Data</i>
	Element	m4-2-5-5-metabolites
	File	module-4/study-reports/other-toxicity-studies/metabolites/ <i>study-report-1-data.pdf</i>
	Comment	
323	Number	4.2.5.5.3
	Title	<i>Study Report 2</i>
	Element	m4-2-5-5-metabolites
	File	module-4/study-reports/other-toxicity-studies/metabolites/ <i>study-report-2.pdf</i>
	Comment	
324	Number	4.2.5.5.4
	Title	<i>Study Report 2 Data</i>
	Element	m4-2-5-5-metabolites
	File	module-4/study-reports/other-toxicity-studies/metabolites/ <i>study-report-2-data.pdf</i>
	Comment	
325	Number	4.2.5.5.5
	Title	<i>Study Report 3</i>
	Element	m4-2-5-5-metabolites
	File	module-4/study-reports/other-toxicity-studies/metabolites/ <i>study-report-3.pdf</i>
	Comment	
326	Number	4.2.5.5.6
	Title	<i>Study Report 3 Data</i>
	Element	m4-2-5-5-metabolites
	File	module-4/study-reports/other-toxicity-studies/metabolites/ <i>study-report-3-data.pdf</i>
	Comment	
327	Number	4.2.5.6
	Title	Impurities
	Element	m4-2-5-6-impurities
	Directory	module-4/study-reports/other-toxicity-studies/impurities
	Comment	
328	Number	4.2.5.6.1
	Title	<i>Study Report 1</i>
	Element	m4-2-5-6-impurities
	File	module-4/study-reports/other-toxicity-studies/impurities/ <i>study-report-1.pdf</i>
	Comment	
329	Number	4.2.5.6.2
	Title	<i>Study Report 1 Data</i>
	Element	m4-2-5-6-impurities
	File	module-4/study-reports/other-toxicity-studies/impurities/ <i>study-report-1-data.pdf</i>
	Comment	
330	Number	4.2.5.6.3
	Title	<i>Study Report 2</i>
	Element	m4-2-5-6-impurities
	File	module-4/study-reports/other-toxicity-studies/impurities/ <i>study-report-2.pdf</i>
	Comment	
331	Number	4.2.5.6.4
	Title	<i>Study Report 2 Data</i>
	Element	m4-2-5-6-impurities
	File	module-4/study-reports/other-toxicity-studies/impurities/ <i>study-report-2-data.pdf</i>
	Comment	

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APPENDIX 4 (CONTINUED)

332	Number	4.2.5.6.5
	Title	<i>Study Report 3</i>
	Element	m4-2-5-6-impurities
	File	module-4/study-reports/other-toxicity-studies/impurities/study-report-3.pdf
	Comment	
333	Number	4.2.5.6.6
	Title	<i>Study Report 3 Data</i>
	Element	m4-2-5-6-impurities
	File	module-4/study-reports/other-toxicity-studies/impurities/study-report-3-data.pdf
	Comment	
334	Number	4.2.5.7
	Title	Other
	Element	m4-2-5-7-other
	Directory	module-4/study-reports/other-toxicity-studies/other
	Comment	
335	Number	4.2.5.7.1
	Title	<i>Study Report 1</i>
	Element	m4-2-5-7-other
	File	module-4/study-reports/other-toxicity-studies/other/study-report-1.pdf
	Comment	
336	Number	4.2.5.7.2
	Title	<i>Study Report 1 Data</i>
	Element	m4-2-5-7-other
	File	module-4/study-reports/other-toxicity-studies/other/study-report-1-data.pdf
	Comment	
337	Number	4.2.5.7.3
	Title	<i>Study Report 2</i>
	Element	m4-2-5-7-other
	File	module-4/study-reports/other-toxicity-studies/other/study-report-2.pdf
	Comment	
338	Number	4.2.5.7.4
	Title	<i>Study Report 2 Data</i>
	Element	m4-2-5-7-other
	File	module-4/study-reports/other-toxicity-studies/other/study-report-2-data.pdf
	Comment	
339	Number	4.2.5.7.5
	Title	<i>Study Report 3</i>
	Element	m4-2-5-7-other
	File	module-4/study-reports/other-toxicity-studies/other/study-report-3.pdf
	Comment	
340	Number	4.2.5.7.6
	Title	<i>Study Report 3 Data</i>
	Element	m4-2-5-7-other
	File	module-4/study-reports/other-toxicity-studies/other/study-report-3-data.pdf
	Comment	
341	Number	4.3
	Title	Literature References
	Element	m4-3-literature-references
	Directory	module-4/references
	Comment	
342	Number	4.3.1
	Title	<i>Reference 1</i>
	Element	m4-3-literature-references
	File	module-4/references/reference-1.pdf
	Comment	Applicants can use an alternative approach whereby a single PDF file includes all references with bookmarks to each individual reference. However, this option means that the whole file should be replaced if any update is made to its components.

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APPENDIX 4 (CONTINUED)

343	Number	4.3.2
	Title	<i>Reference 2</i>
	Element	m4-3-literature-references
	File	module-4/references/reference-2.pdf
	Comment	
344	Number	4.3.3
	Title	<i>Reference 3</i>
	Element	m4-3-literature-references
	File	module-4/references/reference-3.pdf
	Comment	
345	Number	5
	Title	Clinical Study Reports
	Element	m5-clinical-study-reports
	Directory	module-5
	Comment	
346	Number	5.2
	Title	Tabular Listing of all Clinical Studies
	Element	m5-2-tabular-listing-of-all-clinical-studies
	File	module-5/tabular-listing.pdf
	Comment	
347	Number	5.3
	Title	Clinical Study Reports
	Element	m5-3-clinical-study-reports
	Directory	module-5/clinical-study-reports
	Comment	
348	Number	5.3.1
	Title	Reports of Biopharmaceutic Studies
	Element	m5-3-1-reports-of-biopharmaceutic-studies
	Directory	module-5/clinical-study-reports/biopharmaceutic-studies
	Comment	
349	Number	5.3.1.1
	Title	Bioavailability (BA) Study Reports
	Element	m5-3-1-1-bioavailability-study-reports
	Directory	module-5/clinical-study-reports/biopharmaceutic-studies/bioavailability
	Comment	
350	Number	5.3.1.1.1
	Title	<i>Study Report 1</i>
	Element	m5-3-1-1-bioavailability-study-reports
	File	module-5/clinical-study-reports/biopharmaceutic-studies/bioavailability/study-report-1.pdf
	Comment	The applicant can choose to submit this logical document as a single file or multiple files. If multiple files are used, they should be organized and named in accordance with the naming of sections of a clinical study report as defined in the ICH E3 guideline. It is possible to have the additional graphic file(s) inserted directly into the PDF file, thus making management of the file easier. Alternatively, the applicant can choose to manage these independently. This comment is applicable to all study reports in Module 5.
351	Number	5.3.1.1.2
	Title	<i>Study Report 2</i>
	Element	m5-3-1-1-bioavailability-study-reports
	File	module-5/clinical-study-reports/biopharmaceutic-studies/bioavailability/study-report-2.pdf
	Comment	
352	Number	5.3.1.1.3
	Title	<i>Study Report 3</i>
	Element	m5-3-1-1-bioavailability-study-reports
	File	module-5/clinical-study-reports/biopharmaceutic-studies/bioavailability/study-report-3.pdf
	Comment	

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APPENDIX 4 (CONTINUED)

353	Number	5.3.1.2
	Title	Comparative BA and Bioequivalence (BE) Study Reports
	Element	m5-3-1-2-comparative-ba-and-bioequivalence-study-reports
	Directory	module-5/clinical-study-reports/biopharmaceutic-studies/comparative-ba-be
	Comment	
354	Number	5.3.1.2.1
	Title	<i>Study Report 1</i>
	Element	m5-3-1-2-comparative-ba-and-bioequivalence-study-reports
	File	module-5/clinical-study-reports/biopharmaceutic-studies/comparative-ba-be/study-report-1.pdf
	Comment	
355	Number	5.3.1.2.2
	Title	<i>Study Report 2</i>
	Element	m5-3-1-2-comparative-ba-and-bioequivalence-study-reports
	File	module-5/clinical-study-reports/biopharmaceutic-studies/comparative-ba-be/study-report-2.pdf
	Comment	
356	Number	5.3.1.2.3
	Title	<i>Study Report 3</i>
	Element	m5-3-1-2-comparative-ba-and-bioequivalence-study-reports
	File	module-5/clinical-study-reports/biopharmaceutic-studies/comparative-ba-be/study-report-3.pdf
	Comment	
357	Number	5.3.1.3
	Title	In vitro–in vivo Correlation Study Reports
	Element	m5-3-1-3-in-vitro-in-vivo-correlation-study-reports
	Directory	module-5/clinical-study-reports/biopharmaceutic-studies/in-vitro-in-vivo
	Comment	
358	Number	5.3.1.3.1
	Title	<i>Study Report 1</i>
	Element	m5-3-1-3-in-vitro-in-vivo-correlation-study-reports
	File	module-5/clinical-study-reports/biopharmaceutic-studies/in-vitro-in-vivo/study-report-1.pdf
	Comment	
359	Number	5.3.1.3.2
	Title	<i>Study Report 2</i>
	Element	m5-3-1-3-in-vitro-in-vivo-correlation-study-reports
	File	module-5/clinical-study-reports/biopharmaceutic-studies/in-vitro-in-vivo/study-report-2.pdf
	Comment	
360	Number	5.3.1.3.3
	Title	<i>Study Report 3</i>
	Element	m5-3-1-3-in-vitro-in-vivo-correlation-study-reports
	File	module-5/clinical-study-reports/biopharmaceutic-studies/in-vitro-in-vivo/study-report-3.pdf
	Comment	
361	Number	5.3.1.4
	Title	Reports of Bioanalytical and Analytical Methods for Human Studies
	Element	m5-3-1-4-reports-of-bioanalytical-and-analytical-methods-for-human-studies
	Directory	module-5/clinical-study-reports/biopharmaceutic-studies/bioanalyt-analyt-methods
	Comment	
362	Number	5.3.1.4.1
	Title	<i>Study Report 1</i>
	Element	m5-3-1-4-reports-of-bioanalytical-and-analytical-methods-for-human-studies
	File	module-5/clinical-study-reports/biopharmaceutic-studies/bioanalyt-analyt-methods/study-report-1.pdf
	Comment	
363	Number	5.3.1.4.2
	Title	<i>Study Report 2</i>
	Element	m5-3-1-4-reports-of-bioanalytical-and-analytical-methods-for-human-studies
	File	module-5/clinical-study-reports/biopharmaceutic-studies/bioanalyt-analyt-methods/study-report-2.pdf
	Comment	

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APPENDIX 4 (CONTINUED)

364	Number	5.3.1.4.3
	Title	<i>Study Report 3</i>
	Element	m5-3-1-4-reports-of-bioanalytical-and-analytical-methods-for-human-studies
	File	module-5/clinical-study-reports/biopharmaceutic-studies/bioanalyt-analyt-methods/ <i>study-report-3.pdf</i>
	Comment	
365	Number	5.3.2
	Title	Reports of Studies Pertinent to Pharmacokinetics using Human Biomaterials
	Element	m5-3-2-reports-of-studies-pertinent-to-pharmacokinetics-using-human-biomaterials
	Directory	module-5/clinical-study-reports/pk-human-biomaterials
	Comment	
366	Number	5.3.2.1
	Title	Plasma Protein Binding Study Reports
	Element	m5-3-2-1-plasma-protein-binding-study-reports
	Directory	module-5/clinical-study-reports/pk-human-biomaterials/plasma-protein-binding
	Comment	
367	Number	5.3.2.1.1
	Title	<i>Study Report 1</i>
	Element	m5-3-2-1-plasma-protein-binding-study-reports
	File	module-5/clinical-study-reports/pk-human-biomaterials/plasma-protein-binding/ <i>study-report-1.pdf</i>
	Comment	
368	Number	5.3.2.1.2
	Title	<i>Study Report 2</i>
	Element	m5-3-2-1-plasma-protein-binding-study-reports
	File	module-5/clinical-study-reports/pk-human-biomaterials/plasma-protein-binding/ <i>study-report-2.pdf</i>
	Comment	
369	Number	5.3.2.1.3
	Title	<i>Study Report 3</i>
	Element	m5-3-2-1-plasma-protein-binding-study-reports
	File	module-5/clinical-study-reports/pk-human-biomaterials/plasma-protein-binding/ <i>study-report-3.pdf</i>
	Comment	
370	Number	5.3.2.2
	Title	Reports of Hepatic Metabolism and Drug Interaction Studies
	Element	m5-3-2-2-reports-of-hepatic-metabolism-and-drug-interaction-studies
	Directory	module-5/clinical-study-reports/pk-human-biomaterials/hepatic-metab-interactions
	Comment	
371	Number	5.3.2.2.1
	Title	<i>Study Report 1</i>
	Element	m5-3-2-2-reports-of-hepatic-metabolism-and-drug-interaction-studies
	File	module-5/clinical-study-reports/pk-human-biomaterials/hepatic-metab-interactions/ <i>study-report-1.pdf</i>
	Comment	
372	Number	5.3.2.2.2
	Title	<i>Study Report 2</i>
	Element	m5-3-2-2-reports-of-hepatic-metabolism-and-drug-interaction-studies
	File	module-5/clinical-study-reports/pk-human-biomaterials/hepatic-metab-interactions/ <i>study-report-2.pdf</i>
	Comment	
373	Number	5.3.2.2.3
	Title	<i>Study Report 3</i>
	Element	m5-3-2-2-reports-of-hepatic-metabolism-and-drug-interaction-studies
	File	module-5/clinical-study-reports/pk-human-biomaterials/hepatic-metab-interactions/ <i>study-report-3.pdf</i>
	Comment	
374	Number	5.3.2.3
	Title	Reports of Studies Using Other Human Biomaterials
	Element	m5-3-2-3-reports-of-studies-using-other-human-biomaterials
	Directory	module-5/clinical-study-reports/pk-human-biomaterials/other-human-biomaterials
	Comment	

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APPENDIX 4 (CONTINUED)

375	Number	5.3.2.3.1
	Title	<i>Study Report 1</i>
	Element	m5-3-2-3-reports-of-studies-using-other-human-biomaterials
	File	module-5/clinical-study-reports/pk-human-biomaterials/other-human-biomaterials/ <i>study-report-1.pdf</i>
	Comment	
376	Number	5.3.2.3.2
	Title	<i>Study Report 2</i>
	Element	m5-3-2-3-reports-of-studies-using-other-human-biomaterials
	File	module-5/clinical-study-reports/pk-human-biomaterials/other-human-biomaterials/ <i>study-report-2.pdf</i>
	Comment	
377	Number	5.3.2.3.3
	Title	<i>Study Report 3</i>
	Element	m5-3-2-3-reports-of-studies-using-other-human-biomaterials
	File	module-5/clinical-study-reports/pk-human-biomaterials/other-human-biomaterials/ <i>study-report-3.pdf</i>
	Comment	
378	Number	5.3.3
	Title	Reports of Human Pharmacokinetic (PK) Studies
	Element	m5-3-3-reports-of-human-pharmacokinetics-pk-studies
	Directory	module-5/clinical-study-reports/pk-studies
	Comment	
379	Number	5.3.3.1
	Title	Healthy Subject PK and Initial Tolerability Study Reports
	Element	m5-3-3-1-healthy-subject-pk-and-initial-tolerability-study-reports
	Directory	module-5/clinical-study-reports/pk-studies/healthy-subject-pk
	Comment	
380	Number	5.3.3.1.1
	Title	<i>Study Report 1</i>
	Element	m5-3-3-1-healthy-subject-pk-and-initial-tolerability-study-reports
	File	module-5/clinical-study-reports/pk-studies/healthy-subject-pk/ <i>study-report-1.pdf</i>
	Comment	
381	Number	5.3.3.1.2
	Title	<i>Study Report 2</i>
	Element	m5-3-3-1-healthy-subject-pk-and-initial-tolerability-study-reports
	File	module-5/clinical-study-reports/pk-studies/healthy-subject-pk/ <i>study-report-2.pdf</i>
	Comment	
382	Number	5.3.3.1.3
	Title	<i>Study Report 3</i>
	Element	m5-3-3-1-healthy-subject-pk-and-initial-tolerability-study-reports
	File	module-5/clinical-study-reports/pk-studies/healthy-subject-pk/ <i>study-report-3.pdf</i>
	Comment	
383	Number	5.3.3.2
	Title	Patient PK and Initial Tolerability Study Reports
	Element	m5-3-3-2-patient-pk-and-initial-tolerability-study-reports
	Directory	module-5/clinical-study-reports/pk-studies/patient-pk
	Comment	
384	Number	5.3.3.2.1
	Title	<i>Study Report 1</i>
	Element	m5-3-3-2-patient-pk-and-initial-tolerability-study-reports
	File	module-5/clinical-study-reports/pk-studies/patient-pk/ <i>study-report-1.pdf</i>
	Comment	
385	Number	5.3.3.2.2
	Title	<i>Study Report 2</i>
	Element	m5-3-3-2-patient-pk-and-initial-tolerability-study-reports
	File	module-5/clinical-study-reports/pk-studies/patient-pk/ <i>study-report-2.pdf</i>
	Comment	

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APPENDIX 4 (CONTINUED)

386	Number	5.3.3.2.3
	Title	<i>Study Report 3</i>
	Element	m5-3-3-2-patient-pk-and-initial-tolerability-study-reports
	File	module-5/clinical-study-reports/pk-studies/patient-pk/ <i>study-report-3.pdf</i>
	Comment	
387	Number	5.3.3.3
	Title	Intrinsic Factor PK Study Reports
	Element	m5-3-3-3-intrinsic-factor-pk-study-reports
	Directory	module-5/clinical-study-reports/pk-studies/intrinsic-factor-pk
	Comment	
388	Number	5.3.3.3.1
	Title	<i>Study Report 1</i>
	Element	m5-3-3-3-intrinsic-factor-pk-study-reports
	File	module-5/clinical-study-reports/pk-studies/intrinsic-factor-pk/ <i>study-report-1.pdf</i>
	Comment	
389	Number	5.3.3.3.2
	Title	<i>Study Report 2</i>
	Element	m5-3-3-3-intrinsic-factor-pk-study-reports
	File	module-5/clinical-study-reports/pk-studies/intrinsic-factor-pk/ <i>study-report-2.pdf</i>
	Comment	
390	Number	5.3.3.3.3
	Title	<i>Study Report 3</i>
	Element	m5-3-3-3-intrinsic-factor-pk-study-reports
	File	module-5/clinical-study-reports/pk-studies/intrinsic-factor-pk/ <i>study-report-3.pdf</i>
	Comment	
391	Number	5.3.3.4
	Title	Extrinsic Factor PK Study Reports
	Element	m5-3-3-4-extrinsic-factor-pk-study-reports
	Directory	module-5/clinical-study-reports/pk-studies/extrinsic-factor-pk
	Comment	
392	Number	5.3.3.4.1
	Title	<i>Study Report 1</i>
	Element	m5-3-3-4-extrinsic-factor-pk-study-reports
	File	module-5/clinical-study-reports/pk-studies/extrinsic-factor-pk/ <i>study-report-1.pdf</i>
	Comment	
393	Number	5.3.3.4.2
	Title	<i>Study Report 2</i>
	Element	m5-3-3-4-extrinsic-factor-pk-study-reports
	File	module-5/clinical-study-reports/pk-studies/extrinsic-factor-pk/ <i>study-report-2.pdf</i>
	Comment	
394	Number	5.3.3.4.3
	Title	<i>Study Report 3</i>
	Element	m5-3-3-4-extrinsic-factor-pk-study-reports
	File	module-5/clinical-study-reports/pk-studies/extrinsic-factor-pk/ <i>study-report-3.pdf</i>
	Comment	
395	Number	5.3.3.5
	Title	Population PK Study Reports
	Element	m5-3-3-5-population-pk-study-reports
	Directory	module-5/clinical-study-reports/pk-studies/population-pk
	Comment	
396	Number	5.3.3.5.1
	Title	<i>Study Report 1</i>
	Element	m5-3-3-5-population-pk-study-reports
	File	module-5/clinical-study-reports/pk-studies/population-pk/ <i>study-report-1.pdf</i>
	Comment	

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APPENDIX 4 (CONTINUED)

397	Number	5.3.3.5.2
	Title	<i>Study Report 2</i>
	Element	m5-3-3-5-population-pk-study-reports
	File	module-5/clinical-study-reports/pk-studies/population-pk/ <i>study-report-2.pdf</i>
	Comment	
398	Number	5.3.3.5.3
	Title	<i>Study Report 3</i>
	Element	m5-3-3-5-population-pk-study-reports
	File	module-5/clinical-study-reports/pk-studies/population-pk/ <i>study-report-3.pdf</i>
	Comment	
399	Number	5.3.4
	Title	Reports of Human Pharmacodynamic (PD) Studies
	Element	m5-3-4-reports-of-human-pharmacodynamics-pd-studies
	Directory	module-5/clinical-study-reports/human-pd-studies
	Comment	
400	Number	5.3.4.1
	Title	Healthy Subject PD and PK/PD Study Reports
	Element	m5-3-4-1-healthy-subject-pd-and-pk-pd-study-reports
	Directory	module-5/clinical-study-reports/human-pd-studies/healthy-subject-pd
	Comment	
401	Number	5.3.4.1.1
	Title	<i>Study Report 1</i>
	Element	m5-3-4-1-healthy-subject-pd-and-pk-pd-study-reports
	File	module-5/clinical-study-reports/human-pd-studies/healthy-subject-pd/ <i>study-report-1.pdf</i>
	Comment	
402	Number	5.3.4.1.2
	Title	<i>Study Report 2</i>
	Element	m5-3-4-1-healthy-subject-pd-and-pk-pd-study-reports
	File	module-5/clinical-study-reports/human-pd-studies/healthy-subject-pd/ <i>study-report-2.pdf</i>
	Comment	
403	Number	5.3.4.1.3
	Title	<i>Study Report 3</i>
	Element	m5-3-4-1-healthy-subject-pd-and-pk-pd-study-reports
	File	module-5/clinical-study-reports/human-pd-studies/healthy-subject-pd/ <i>study-report-3.pdf</i>
	Comment	
404	Number	5.3.4.2
	Title	Patient PD and PK/PD Study Reports
	Element	m5-3-4-2-patient-pd-and-pk-pd-study-reports
	Directory	module-5/clinical-study-reports/human-pd-studies/patient-pd
	Comment	
405	Number	5.3.4.2.1
	Title	<i>Study Report 1</i>
	Element	m5-3-4-2-patient-pd-and-pk-pd-study-reports
	File	module-5/clinical-study-reports/human-pd-studies/patient-pd/ <i>study-report-1.pdf</i>
	Comment	
406	Number	5.3.4.2.2
	Title	<i>Study Report 2</i>
	Element	m5-3-4-2-patient-pd-and-pk-pd-study-reports
	File	module-5/clinical-study-reports/human-pd-studies/patient-pd/ <i>study-report-2.pdf</i>
	Comment	
407	Number	5.3.4.2.3
	Title	<i>Study Report 3</i>
	Element	m5-3-4-2-patient-pd-and-pk-pd-study-reports
	File	module-5/clinical-study-reports/human-pd-studies/patient-pd/ <i>study-report-3.pdf</i>
	Comment	

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APPENDIX 4 (CONTINUED)

408	Number	5.3.5
	Title	Reports of Efficacy and Safety Studies
	Element	m5-3-5-reports-of-efficacy-and-safety-studies
	Directory	module-5/clinical-study-reports/efficacy-safety
	Comment	
409	Number	5.3.5
	Title	Reports of Efficacy and Safety Studies- <i>Indication Name</i>
	Element	m5-3-5-reports-of-efficacy-and-safety-studies
	Directory	module-5/clinical-study-reports/efficacy-safety/ <i>indication-1</i>
	Comment	The folder name should always include the indication being claimed (abbreviated if appropriate); for example, "asthma." Where there is more than one indication (e.g., asthma and migraine), then the first indication has a folder "asthma" and the second "migraine."
410	Number	5.3.5.1
	Title	Study Reports of Controlled Clinical Studies Pertinent to the Claimed Indication
	Element	m5-3-5-1-study-reports-of-controlled-clinical-studies-pertinent-to-the-claimed-indication
	Directory	module-5/clinical-study-reports/efficacy-safety/ <i>indication-1/controlled-studies</i>
	Comment	
411	Number	5.3.5.1.1
	Title	<i>Study Report 1</i>
	Element	m5-3-5-1-study-reports-of-controlled-clinical-studies-pertinent-to-the-claimed-indication
	File	module-5/clinical-study-reports/efficacy-safety/ <i>indication-1/controlled-studies/study-report-1.pdf</i>
	Comment	
412	Number	5.3.5.1.2
	Title	<i>Study Report 2</i>
	Element	m5-3-5-1-study-reports-of-controlled-clinical-studies-pertinent-to-the-claimed-indication
	File	module-5/clinical-study-reports/efficacy-safety/ <i>indication-1/controlled-studies/study-report-2.pdf</i>
	Comment	
413	Number	5.3.5.1.3
	Title	<i>Study Report 3</i>
	Element	m5-3-5-1-study-reports-of-controlled-clinical-studies-pertinent-to-the-claimed-indication
	File	module-5/clinical-study-reports/efficacy-safety/ <i>indication-1/controlled-studies/study-report-3.pdf</i>
	Comment	
414	Number	5.3.5.2
	Title	Study Reports of Uncontrolled Clinical Studies
	Element	m5-3-5-2-study-reports-of-uncontrolled-clinical-studies
	Directory	module-5/clinical-study-reports/efficacy-safety/ <i>indication-1/uncontrolled-studies</i>
	Comment	
415	Number	5.3.5.2.1
	Title	<i>Study Report 1</i>
	Element	m5-3-5-2-study-reports-of-uncontrolled-clinical-studies
	File	module-5/clinical-study-reports/efficacy-safety/ <i>indication-1/uncontrolled-studies/study-report-1.pdf</i>
	Comment	
416	Number	5.3.5.2.2
	Title	<i>Study Report 2</i>
	Element	m5-3-5-2-study-reports-of-uncontrolled-clinical-studies
	File	module-5/clinical-study-reports/efficacy-safety/ <i>indication-1/uncontrolled-studies/study-report-2.pdf</i>
	Comment	
417	Number	5.3.5.2.3
	Title	<i>Study Report 3</i>
	Element	m5-3-5-2-study-reports-of-uncontrolled-clinical-studies
	File	module-5/clinical-study-reports/efficacy-safety/ <i>indication-1/uncontrolled-studies/study-report-3.pdf</i>
	Comment	
418	Number	5.3.5.3
	Title	Reports of Analyses of Data from More than One Study
	Element	m5-3-5-3-reports-of-analyses-of-data-from-more-than-one-study
	Directory	module-5/clinical-study-reports/efficacy-safety/ <i>indication-1/multistudy-analyses</i>
	Comment	

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APPENDIX 4 (CONTINUED)

419	Number	5.3.5.3.1
	Title	<i>Study Report 1</i>
	Element	m5-3-5-3-reports-of-analyses-of-data-from-more-than-one-study
	File	module-5/clinical-study-reports/efficacy-safety/indication-1/multistudy-analyses/study-report-1.pdf
	Comment	
420	Number	5.3.5.3.2
	Title	<i>Study Report 2</i>
	Element	m5-3-5-3-reports-of-analyses-of-data-from-more-than-one-study
	File	module-5/clinical-study-reports/efficacy-safety/indication-1/multistudy-analyses/study-report-2.pdf
	Comment	
421	Number	5.3.5.3.3
	Title	<i>Study Report 3</i>
	Element	m5-3-5-3-reports-of-analyses-of-data-from-more-than-one-study
	File	module-5/clinical-study-reports/efficacy-safety/indication-1/multistudy-analyses/study-report-3.pdf
	Comment	
422	Number	5.3.5.4
	Title	Other Study Reports
	Element	m5-3-5-4-other-study-reports
	Directory	module-5/clinical-study-reports/efficacy-safety/indication-1/other-studies
	Comment	
423	Number	5.3.5.4.1
	Title	<i>Study Report 1</i>
	Element	m5-3-5-4-other-study-reports
	File	module-5/clinical-study-reports/efficacy-safety/indication-1/other-studies/study-report-1.pdf
	Comment	
424	Number	5.3.5.4.2
	Title	<i>Study Report 2</i>
	Element	m5-3-5-4-other-study-reports
	File	module-5/clinical-study-reports/efficacy-safety/indication-1/other-studies/study-report-2.pdf
	Comment	
425	Number	5.3.5.4.3
	Title	<i>Study Report 3</i>
	Element	m5-3-5-4-other-study-reports
	File	module-5/clinical-study-reports/efficacy-safety/indication-1/other-studies/study-report-3.pdf
	Comment	
426	Number	5.3.6
	Title	Reports of Postmarketing Experience
	Element	m5-3-6-reports-of-postmarketing-experience
	Directory	module-5/clinical-study-reports/postmarketing-experience
	Comment	
427	Number	5.3.7
	Title	Case Report Forms and Individual Patient Listings
	Element	m5-3-7-case-report-forms-and-individual-patient-listings
	Directory	module-5/clinical-study-reports/crfs-patient-listings
	Comment	
428	Number	5.3.7.1
	Title	<i>Study 1</i>
	Element	m5-3-7-case-report-forms-and-individual-patient-listings
	Directory	module-5/clinical-study-reports/crfs-patient-listings/study-1
	Comment	
429	Number	5.3.7.1.1
	Title	<i>Document/Dataset 1</i>
	Element	m5-3-7-case-report-forms-and-individual-patient-listings
	File	module-5/clinical-study-reports/crfs-patient-listings/study-1/filename-1.txt
	Comment	The filename and extension should include the description of the file and appropriate file extension according to Appendix 2. Reference should be made to regional guidance for the acceptability of submission of datasets.

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APPENDIX 4 (CONTINUED)

430	Number	5.3.7.1.2
	Title	<i>Document/Dataset 2</i>
	Element	m5-3-7-case-report-forms-and-individual-patient-listings
	File	module-5/clinical-study-reports/crfs-patient-listings/study-1/filename-2.txt
	Comment	
431	Number	5.3.7.1.3
	Title	<i>Document/Dataset 3</i>
	Element	m5-3-7-case-report-forms-and-individual-patient-listings
	File	module-5/clinical-study-reports/crfs-patient-listings/study-1/filename-3.txt
	Comment	
432	Number	5.3.7.2
	Title	<i>Study 2</i>
	Element	m5-3-7-case-report-forms-and-individual-patient-listings
	Directory	module-5/clinical-study-reports/crfs-patient-listings/study-2
	Comment	Define element.
433	Number	5.3.7.2.1
	Title	<i>Document/Dataset 1</i>
	Element	m5-3-7-case-report-forms-and-individual-patient-listings
	File	module-5/clinical-study-reports/crfs-patient-listings/study-2/filename-1.txt
	Comment	
434	Number	5.3.7.2.2
	Title	<i>Document/Dataset 2</i>
	Element	m5-3-7-case-report-forms-and-individual-patient-listings
	File	module-5/clinical-study-reports/crfs-patient-listings/study-2/filename-2.txt
	Comment	
435	Number	5.3.7.2.3
	Title	<i>Document/Dataset 3</i>
	Element	m5-3-7-case-report-forms-and-individual-patient-listings
	File	module-5/clinical-study-reports/crfs-patient-listings/study-2/filename-3.txt
	Comment	
436	Number	5.3.7.3
	Title	<i>Study 3</i>
	Element	m5-3-7-case-report-forms-and-individual-patient-listings
	Directory	module-5/clinical-study-reports/crfs-patient-listings/study-3
	Comment	Define element.
437	Number	5.3.7.3.1
	Title	<i>Document/Dataset 1</i>
	Element	m5-3-7-case-report-forms-and-individual-patient-listings
	File	module-5/clinical-study-reports/crfs-patient-listings/study-3/filename-1.txt
	Comment	
438	Number	5.3.7.3.2
	Title	<i>Document/Dataset 2</i>
	Element	m5-3-7-case-report-forms-and-individual-patient-listings
	File	module-5/clinical-study-reports/crfs-patient-listings/study-3/filename-2.txt
	Comment	
439	Number	5.3.7.3.3
	Title	<i>Document/Dataset 3</i>
	Element	m5-3-7-case-report-forms-and-individual-patient-listings
	File	module-5/clinical-study-reports/crfs-patient-listings/study-3/filename-3.txt
	Comment	
440	Number	5.4
	Title	Literature References
	Element	m5-4-literature-references
	Directory	module-5/references
	Comment	

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APPENDIX 4 (CONTINUED)

441	Number	5.4.1
	Title	<i>Reference 1</i>
	Element	m5-4-literature-references
	File	module-5/references/reference-1.pdf
	Comment	An applicant can use an alternative approach whereby a single PDF file includes all references with bookmarks to each individual reference. However, this option would mean that the whole file should be replaced if any update is made to its components.
442	Number	5.4.2
	Title	<i>Reference 2</i>
	Element	m5-4-literature-references
	File	module-5/references/reference-2.pdf
	Comment	
443	Number	5.4.3
	Title	<i>Reference 3</i>
	Element	m5-4-literature-references
	File	module-5/references/reference-3.pdf
	Comment	
444	Number	
	Title	
	Element	
	Directory	util
	Comment	utilities
445	Number	
	Title	
	Element	
	Directory	util/dtd
	Comment	DTDs
446	Number	
	Title	
	Element	
	File	util/dtd/ich-ectd-1-0.dtd
	Comment	DTD for the instance—the version used to create the eCTD submission must be included.
447	Number	
	Title	
	Element	
	File	util/dtd/eu-regional-1-0.dtd
	Comment	DTD for the EU-specific documentation.
448	Number	
	Title	
	Element	
	File	util/dtd/jp-regional-1-0.dtd
	Comment	DTD for the Japan-specific documentation.
449	Number	
	Title	
	Element	
	File	util/dtd/us-regional-1-0.dtd
	Comment	DTD for the U.S.-specific documentation.
450	Number	
	Title	
	Element	
	File	util/dtd/xx-regional-1-0.dtd
	Comment	DTD for the xx specific documentation, where xx is a two-character country code from ISO-3166-1.
451	Number	
	Title	
	Element	
	Directory	util/style
	Comment	Directory for style sheets—default (ICH) and applicant-specific stylesheets.

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APPENDIX 4 (CONTINUED)

452	Number	
	Title	
	Element	
	File	util/style/ectd-1-0.xsl
	Comment	The specific version of the eCTD stylesheet used by the applicant as a reference during the creation of the submission should be included.

Appendix 5: Region-Specific Information Including Transmission and Receipt

5.1 INTRODUCTION

This section describes region-specific information for content that is not explicitly included in the Common Technical Document and logistical details appropriate for the transmission and receipt of submissions using the electronic Common Technical Document (eCTD).

5.2 REGION-SPECIFIC INFORMATION: MODULE 1

This module contains administrative information that is unique for each region. There will be local requirements for both the content and electronic component of module 1. The eCTD backbone was developed to allow the transfer of this regional information to be included in a regulatory dossier.

Regional guidance will provide the specific instructions on how to provide the administrative forms and detailed prescribing information. Please refer to this information and Appendix 6 when preparing Module 1. Module 1 includes all administrative documents (e.g., forms and certifications) and labeling, including the documents described in regional guidance.

Not all regionally specific documents are included in Module 1. Technical reports required for a specific region should be placed in Modules 2 to 5. These reports should be included in the module most appropriate for the content of the information provided.

Each region provides specific guidance on the format and content of the regional requirements of each module. Table 5.1 provides contact information for each region.

5.3 SUBMISSION ADDRESSES

Submissions should be sent directly to the appropriate regulatory authority. Information needed to send physical media to each regulatory authority is found at the reference location in Table 5.2.

5.4 MEDIA

Regulatory authorities are prepared to accept electronic submissions provided on the media listed in Table 5.3. To optimize processing efficiency, we recommend choosing media with a capacity most appropriate to the size of the submission. Whenever possible, applicants should choose media capable of holding the submission on the smallest number of units. For example, for a submission that has a size of 50 megabytes, use 1 CD-ROM instead of 50 floppy disks.

5.5 COVER LETTER

Applicants should provide a cover letter as a PDF file (cover.pdf). A paper cover letter should also be included with non-electronic portions of the submission (such as forms with signatures or seals, and certifications). The cover letter should include

- A description of the submission, including appropriate regulatory information
- A listing of the sections of the submission filed as paper, electronic, or both paper and electronic
- A description of the electronic submission, including type and number of electronic media, approximate size of the submission, and if appropriate, format used for DLT tapes.
- A statement that the submission is virus free with a description of the software used to check the files for viruses
- The printed contents of the index-md5.txt file as an appendix
- The regulatory and information technology points of contact for the submission

5.6 PREPARING THE MEDIA

CD-ROMs should be packaged carefully to ensure that they arrive in a usable condition. Particularly vulnerable are diskettes and CD-ROM jewel cases shipped in envelopes without bubble-type protective material or stiff backing. The use of a jiffy-type bag by itself to ship media will not provide adequate protection for shipping electronic media.

5.7 TRANSPORT

Secure data exchange over the Internet is the recommended means for transporting submissions. However, until the regulatory authorities can develop secure electronic gateways, submissions should continue to be physically transported by courier or registered mail.

5.8 SECURITY

An MD5 checksum should be included for each physical file in the eCTD. The checksum allows the recipient to verify the integrity of the physical files in the submission. The XML eCTD document type definition (DTD) provides the location of the files, and a tag name contains the checksums.

TABLE 5.1
Electronic Mail Contact for Each Region

Region	Internet Address	Electronic Mail Contact
European Union	www.emea.eu.int	esubmission@emea.eu.int
Food and Drug Administration, United States	www.fda.gov/cber www.fda.gov/cder	Esubprep@cber.fda.gov esub@cder.fda.gov
Ministry of Health, Labour and Welfare, Japan	www.mhlw.go.jp www.nihs.go.jp	e-submission@nihs.go.jp
Health Canada	www.hc-sc.gc.ca/hpb-dgps/therapeut	info@hc-sc.gc.ca

TABLE 5.2
Location

Regulatory Authority	Reference location
European Medicines Agency (EMA), European Union	www.eudra.org/
National agencies	http://heads.medagencies.org
Ministry of Health, Labour and Welfare, Japan	www.mhlw.go.jp www.nihs.go.jp
Food and Drug Administration, United States	www.fda.gov/
Health Canada, Health Protection Branch, Canada	www.hc-sc.gc.ca/hpb-dgps/therapeut

TABLE 5.3
Recommendations for Submission Media

Example Size of Submission	1.1.2. Media and Format	1. Regulatory Authority
Less than 1.4 MB	3.5 inch DOS Formatted Floppy Disks	EU
Less than 10 MB	3.5 inch DOS Formatted Floppy Disks	United States
Less than 650 MB	CD-ROM ISO 9660—Joliet	EU, Japan
Less than 7 GB	CD-ROM ISO 9660—Joliet	Japan, United States, Canada
Greater than 7 GB	Digital Tape—Compaq DLT 20/40 and 10/20 GB format using NT server 4.0 with NT backup or BackupExec	United States
More than 650 MB	DVD	EU, Canada

A checksum of the XML eCTD instance should be included. Applicants should name this checksum file index-md5.txt and include it as a file in the same directory as the XML eCTD instance. Applicants should print the contents of the index-md5.txt file and include the paper copy with the paper cover letter for the submission.

An applicant can provide the eCTD as an encrypted file in accordance with the ICH M2 Recommendation 4.1, if the regulatory body has implemented it. This solution allows the eCTD to be encrypted and transferred over the Internet (if Internet receipt is implemented regionally) or to be encrypted on one of the approved physical media standards. The purpose

of encryption is to protect the privacy of the confidential information and to ensure that it is only available to the authorized receiver. Encryption is always appropriate when the eCTD is sent via the Internet.

Encryption is not considered necessary if the information is sent using a physical medium, although encryption is an option. The applicant should assume all liability for the medium until it is delivered to the regulatory authority.

Applicants should not include any file-level security settings or password protection for individual files in the eCTD. Applicants should allow printing, changes to the document, selecting text and graphics, and adding or changing notes and

form fields. Internal security and access control processes in the regulatory authority should maintain the integrity of the submitted files.

5.9 RECEIPT

Upon arrival at the regulatory authority, the submission is archived according to local regulations. A read-only copy of the submission is then made available to the review

community in the regulatory authority. This is typically done by placing the copy on a network server.

5.10 ACKNOWLEDGMENT

Each regulatory authority should acknowledge the receipt of the eCTD submission according to the policy and procedure of the individual regulatory authority. Applicants should use the address in Table 5.1 to find guidance regarding acknowledgments.



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Appendix 6: The eCTD XML Submission

6.1 BACKGROUND

There are many factors that have influenced the design of the electronic Common Technical Document (eCTD). Some that have had a more significant impact on the design are:

- The submissions should accommodate full regulatory dossiers, supplements, amendments, and variations.
- The submissions should be able to accommodate regional requirements that are represented in regional guidance documents, regulations, and statutes.
- The technology should be extensible so that as technology changes, the new electronic solutions can be accommodated.

The eCTD is designed around the concept of a backbone. The backbone is similar to a container that holds the files that are part of the submission. The backbone is based on an XML Document Type Definition (DTD). There is a close relationship between the logical documents defined in the CTD and entities in the backbone. The backbone will provide the navigation links to the various files and information that make up the submission.

The file that is produced based on the XML eCTD DTD is the eCTD XML instance or XML backbone. The XML backbone allows more than one entry or link to point to the same physical file. This should be done with caution, since it can be more difficult for the regulatory authority to manage the life cycle of that file if there is more than one pointer to the file.

6.2 FILE NAMES AND DIRECTORY STRUCTURE

Recipients of the eCTD should be able to directly navigate through the submission at the folder and file level, that is, without benefit of a customized end user application. The structure of the eCTD and instructions for how to create folder names facilitate this type of navigation.

In order to preserve the navigational linkages that can be present in the documents contained in the eCTD, the directory structure should be preserved by the agencies. The navigational links should be relative links within a module.

Specific folder and file names have been defined in Appendix 4. The top level for the directory structure will vary by region. The identification of the top-level folder uniquely identifies the submission in a region. The submission identification should be used as the folder name in the top-level directory. For example, if the submission number were CTD 123456, the root directory would be named “ctd-123456.” The original submission and subsequent amendments and variations should use the same top-level folder name. Submissions should be differentiated by a subfolder named according to the

sequence number of the submission in that region. Table 6.1 and Figure 6.1 illustrate this naming convention.

The regional administrative xml backbone file, if supplied, should be in the region-specific module-1 folder for each submission. The DTD for the regional xml backbone file should be in the util folder for each submission.

Table 6.2 presents the file locations for the example in Figure 6.1.

6.3 LIFE CYCLE MANAGEMENT

It is important for the recipients of the eCTD to be able to establish the location of the submission in the life cycle of a product.

The eCTD is capable of containing initial submissions, supplements, amendments, and variations. There are no uniform definitions for these terms in the three regions, but amendments and supplements are terms used in the United States. Variations apply in Europe. The variations, supplements, and amendments are used to provide additional information to an original regulatory dossier. For example, if a new manufacturer for the drug substance were being proposed, this would result in submission of an amendment or supplement to the Food and Drug Administration (FDA) and a variation to Europe. When regulatory authorities request additional information, the information is also provided as a variation, supplement, or amendment to the original submission. Therefore, the regulatory agencies should have a way to manage the life cycle for the submission. This function should be provided by each regulatory authority in the form of guidance, which can include regional DTDs and specifications. Each regional DTD should be referenced in the eCTD DTD by the submitter.

The eCTD DTD provides some facilities for life cycle management at the file level. When revisions are sent to a regulatory authority, the new file should be submitted as a leaf element associated with the same tag name as the file being amended or deleted. The “modified-file” attribute of the leaf element should contain the name and relative directory path of the file being amended, replaced, or deleted. This will allow the regulatory authority to accurately locate the original file and update the original file’s status.

6.4 OPERATION ATTRIBUTE

The operation attribute is a key to managing each individual file in a submission. The applicant uses the operation attribute to tell the regulatory authority how the applicant intends the files in the submission to be used. The operation attribute describes the relation between files in subsequent submissions during the life cycle of a medicinal product. In the very first submission, all the files will be new. In the second, third, fourth, etc., submission, all the newly submitted files can have different operation attributes due to having or not having a

TABLE 6.1
Submission Designation

Submission number	Sequence number	Type of submission
ctd-123456	0000	Original submission
ctd-123456	0001	First amendment, supplement, or variation
ctd-123456	0002	Second amendment, supplement, or variation
...		
ctd-123456	nnnn	nth amendment, supplement, or variation

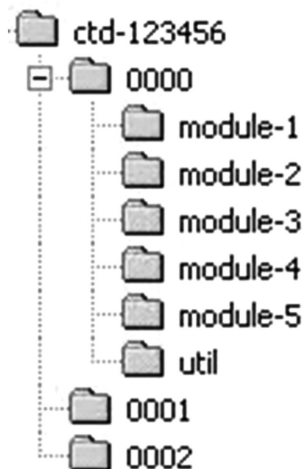


FIGURE 6.1 You should submit the xml backbone as a single file named index.xml, which should be placed in the submission sequence number folder for that submission. In the example shown in Figure 6.1, there should be an index.xml file in folder “0000,” folder “0001,” and folder “0002.” The MD5 checksum file, index-md5.txt, should be in each folder with the corresponding index.xml file. The DTD for index.xml should be in the “util” folder for each submission.

TABLE 6.2
Submission Folder Designation

Submission Folder	Files
ctd-123456/0000	index.xml index-md5.txt
ctd-123456/0000/module-1/us	us-regional.xml
ctd-123456/0000/util	ich-ectd-1-0.dtd us-regional-1-0.dtd
ctd-123456/0001	index.xml index-md5.txt
ctd-123456/0001/module-1/us	us-regional.xml
ctd-123456/0001/util	ich-ectd-1-0.dtd us-regional-1-0.dtd
ctd-123456/0002	index.xml index-md5.txt
ctd-123456/0002/module-1/us	us-regional.xml
ctd-123456/0002/util	ich-ectd-1-0.dtd us-regional-1-0.dtd

relation with previously submitted files. Table 6.3 describes the meaning of each allowed value of the operation attribute.

The following cases show examples of the use of each of the operation attribute values. These examples are not a complete list of all possible situations. Consult the appropriate regulatory authority if you have specific questions about the use of the operation attribute.

Case 1—The first submission of a dossier (Table 6.4).

Case 2—Two submissions. Submission 0000 is the first submission of a dossier. Submission 0001 is a subsequent amendment or variation in which the applicant intends to completely replace the structure.pdf file in submission 0000. The intent is to keep the original structure.pdf for historical purposes but to consider only the contents of the 0001\structure.pdf as relevant to the review. These two submissions could be described as follows (Table 6.5):

- Submission 0000 is the first submission of the file structure.pdf, and this file is the current version of this file.
- Submission 0001, which is submitted at a later time, is the submission of the file structure.pdf, which is now current and replaces the file structure.pdf in submission 0000.

Case 3—Two submissions. Submission 0000 is the first submission of a dossier. Submission 0001 is an amendment or variation where the applicant intends to add new information to the original structure.pdf file, which was submitted in submission 0000. The intent is to have the reviewer consider the contents of both files relevant to the submission. These two submissions could be described as follows (Table 6.6):

- Submission 0000 is the first submission of the file structure.pdf, and this file is the current version of this file.
- Submission 0001, which is submitted at a later time, is the submission of the file structure.pdf, which is the current file but contains information that should be appended to file structure.pdf in submission 0000. Both files should be considered relevant to the review of the dossier.

Case 4—Two submissions. Submission 0000 is the first submission of a dossier. Submission 0001 is an amendment or variation where the applicant intends to delete a file in the previous submission. The intent is to have the reviewer disregard the contents of the original file, possibly because it should not have been submitted with the original dossier. These two submissions could be described as follows (Table 6.7):

- Submission 0000 is the first submission of the file structure.pdf, and this file is the current version of this file.
- Submission 0001, which is submitted at a later time, requests that the file structure.pdf in submission 0000 be deleted and no longer considered relevant to the review of the dossier.

TABLE 6.3
Understanding the Operation Attribute

Operation attribute value	Meaning	What the reviewer might see when using the Agency review software	
		This file	Previous file
New	The file has no relationship with files submitted previously.	Current	
Append	The file itself is new, but due to the relation this file has with a previously submitted file, the attribute is “append.” The append status is linked to a previously submitted file on which this operation has to be executed. The previously submitted file is indicated by the “modified file” attribute of the leaf element.	Current	Current-Appended
Replace	The file itself is new, but due to the relation this file has with a previously submitted file, the attribute is “replace.” The “replace” status is linked to a previously submitted file on which this operation is executed. The previously submitted file is indicated by the “modified file” attribute of the leaf element.	Current	Replaced
Delete	There is no new file submitted in this case. Instead, the leaf has the operation of “delete,” and the “modified-file” attribute identifies the file in a previous submission that is to be considered no longer relevant to the review.		No longer relevant to the review

TABLE 6.4
Submission Case 1


Submission sequence #	File name	Operation	Modified file	Sample logical display in a review tool
0000	0000\..\structure.pdf	New		 structure.pdf (current)

TABLE 6.5
Submission Case 2




Submission sequence #	File name	Operation	Modified file	Sample logical display in a review tool
0000	0000\..\structure.pdf	New		 structure.pdf (current)
0001	0001\..\structure.pdf	Replace	0000\..\structure.pdf	 structure.pdf (replaced)  structure.pdf (current)

TABLE 6.6
Submission Case 3




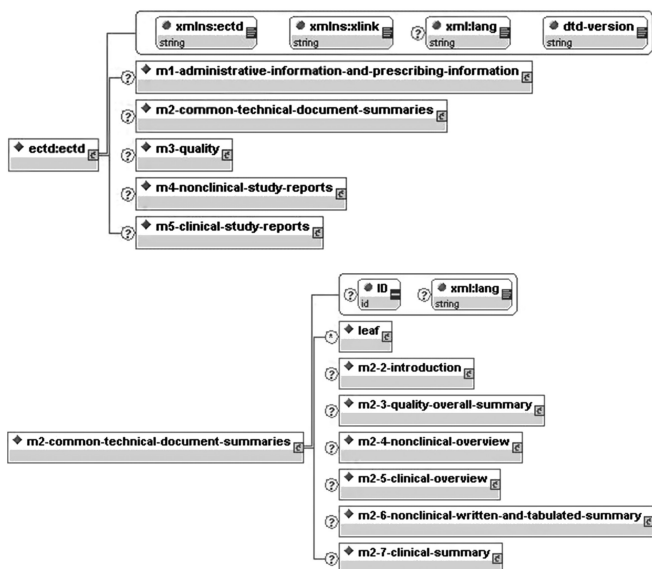
Submission sequence #	File name	Operation	Modified file	Sample logical display in a review tool
0000	0000\..\structure.pdf	New		 structure.pdf (current)
0001	0001\..\structure.pdf	Append	0000\..\structure.pdf	 structure.pdf (current-appended)  structure.pdf (current)

TABLE 6.7
Submission Case 4

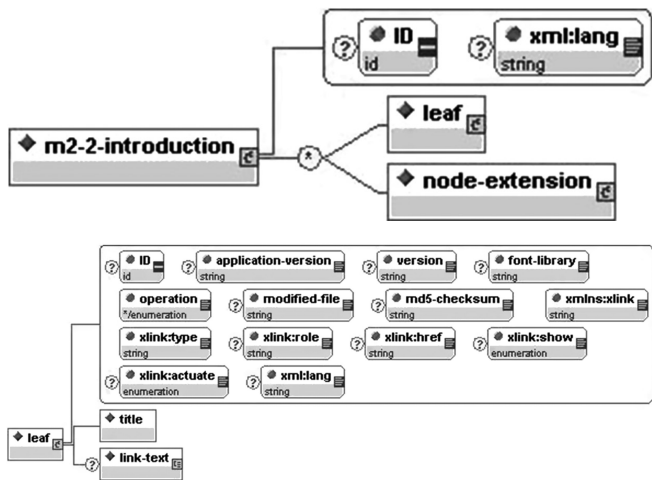
Submission sequence #	File name	Operation	Modified file	Sample logical display in a review tool
0000	0000\..\structure.pdf	New		structure.pdf (current)
0001		Delete	0000\..\structure.pdf	structure.pdf (no longer relevant to the review)

6.5 DTD CONTENT MODEL

The content model of the eCTD is derived from the organization of the CTD. The graphic representation of a portion of the content model is shown below. The content model is hierarchical, starting at the “ectd” and going down to a specific item to be included in the submission. This example shows how the section of the CTD containing summaries is structured.



Once the appropriate tag has been selected, use the <leaf> element and attributes to specify a file in the submission. See “Instructions for preparing the eCTD” in this appendix for details.



6.6 eCTD ELEMENT/ATTRIBUTE INSTRUCTIONS

The eCTD consists of five primary submodules:

- m1-administrative-information-and-prescribing-information
- m2-common-technical-document-summaries
- m3-quality
- m4-nonclinical-study-reports
- m5-clinical-study-reports

Each of the first five submodules is further decomposed into subelements, each with a distinct <tag> that represents a CTD table of contents location. The steps should be completed as shown in the following example, where all files are submitted for modules 1 through 5:

1. You should select a tag element that best corresponds to the CTD table of contents location for a document or file being submitted. For example, select the tag <m2-4-nonclinical-overview> to submit the non-clinical overview document.
2. You should create a child <leaf> element underneath the <m2-4-nonclinical-overview> tag.
3. You should provide the relative location and file name of the actual file containing the nonclinical overview in the “xlink:href” attribute for the <leaf> element.
4. You should provide a descriptive title for the file that contains the nonclinical overview in the <title> element of the <leaf>.
5. You should provide information for the appropriate attributes of the <leaf> element as described in Table 6.3.

Table 6.8 describes each of these elements and attributes in further detail.

6.7 INSTRUCTIONS FOR A SIMPLE NEW SUBMISSION

The following XML fragment demonstrates the submission of a clinical overview of efficacy as a single PDF document.

```
<?xml version = "1.0" encoding = "UTF-8"?>
<!DOCTYPE ectd:ectd SYSTEM "util/dtd/ich-ectd-1-0.dtd">
<ectd:ectd xmlns:ectd = "http://www.ich.org/ectd"
xmlns:xlink = "http://www.w3c.org/1999/xlink">
  <m2-common-technical-document-summaries>
    <m2-5-clinical-overview>
      <m2-5-4-overview-of-efficacy xml:lang = "en">
        <leaf operation = "new" xlink:type =
          "simple" checksum =
            "e854d3002c02a61fe5cbe926fd97b001"
          xlink:href = "module-2/clinical-
            summary/efficacy-overview.pdf"
          application-version = "Acrobat 5">
          <title>Overview of efficacy</title>
        </leaf>
      </m2-5-4-overview-of-efficacy>
    </m2-5-clinical-overview>
  </m2-common-technical-document-summaries>
</ectd:ectd>
```

This submission includes the file “efficacy-overview.pdf” in the relative directory “module-2/clinical-summary” (i.e., the one starting below the Dossier number and submission sequence directories). The file is “new” and has a descriptive name of “Overview of efficacy.”

The regional review application should treat this as a new submission to be associated with the submission identified in CTD module 1, which is region specific.

If this is the first submission for Dossier CTD 123456, all the files in this submission are in the ctd-123456\0000 directory and below.

6.8 INSTRUCTIONS FOR AN AMENDMENT, SUPPLEMENT, OR VARIATION

In the previous example, an efficacy overview was submitted. In this example, it is replaced by an updated version.

To replace a file, add the replacement file <leaf> element under the same tag element as the original file. If this is the second submission for Dossier CTD 123456, all the files in this submission are in the ctd-123456\0001 directory and below.

```
<?xml version = "1.0" encoding = "UTF-8"?>
<!DOCTYPE ectd:ectd SYSTEM "util/dtd/ich-ectd-1-0.dtd">
<ectd:ectd xmlns:ectd = "http://www.ich.org/ectd"
xmlns:xlink = "http://www.w3c.org/1999/xlink">
  <m2-common-technical-document-summaries>
    <m2-5-clinical-overview>
      <m2-5-4-overview-of-efficacy xml:lang = "en">
        <leaf operation = "replace"
          xlink:type = "simple" checksum =
            "e854d3002c02a61fe5cbe926fd973401"
          xlink:href = "module2/clinical-
            summary/efficacy-overview v2.pdf"
          application-version = "Acrobat 5"
          modified-file = "0000/module2/
            clinical-summary/efficacy-overview.pdf">
          <title>Overview of efficacy</title>
        </leaf>
      </m2-5-4-overview-of-efficacy>
    </m2-5-clinical-overview>
  </m2-common-technical-document-summaries>
</ectd:ectd>
```

6.9 INSTRUCTIONS FOR MULTIPLE INDICATIONS*

Multiple therapeutic indications use an additional attribute associated with the <m2-7-3-summary-of-clinical-efficacy> and the <m5-3-5-reports-of-efficacy-and-safety-studies> elements to allow multiple indications to be submitted. Table 6.9 shows the use of these attributes.

Note that the indication attribute is used by the regulatory authority to apply to all the table of contents tags beneath the <m2-7-3-summary-of-clinical-efficacy> and <m5-3-5-reports-of-efficacy-and-safety-studies> tags. This is an example of a section of the instance showing the submission of information about two indications:

```
<?xml version = "1.0" encoding = "UTF-8"?>
<!DOCTYPE ectd:ectd SYSTEM "util/dtd/ich-ectd-1-0.dtd">
<ectd:ectd xmlns:ectd = "http://www.ich.org/ectd"
xmlns:xlink = "http://www.w3c.org/1999/xlink">
  <m2-common-technical-document-summaries>
    <m2-7-clinical-summary>
      <m2-7-3-summary-of-clinical-efficacy
        indication = "pain">
        <leaf operation = "new" xlink:type =
          "simple" xlink:href =
            "module-2/summary-clin-efficacy/
            pain-eff-sum.pdf">
          <title>pain efficacy summary</title>
        </leaf>
      </m2-7-3-summary-of-clinical-efficacy>
      <m2-7-3-summary-of-clinical-efficacy
        indication = "nausea">
        <leaf operation = "new" xlink:type =
          "simple" xlink:href =
            "module-2/summary-clin-efficacy/
            nausea-eff-sum.pdf">
          <title>nausea efficacy summary</title>
        </leaf>
      </m2-7-3-summary-of-clinical-efficacy>
    </m2-7-clinical-summary>
  </m2-common-technical-document-summaries>
  <m5-clinical-study-reports>
    <m5-3-clinical-study-reports>
      <m5-3-5-reports-of-efficacy-and-safety-
        studies indication = "pain">
        <leaf operation = "new" xlink:type =
          "simple" xlink:href =
            "module-5/clinical-study-reports/
            efficacy-safety-pain/pain-sr1.pdf">
          <title>pain study report 1</title>
        </leaf>
      </m5-3-5-reports-of-efficacy-and-safety-studies>
      <m5-3-5-reports-of-efficacy-and-safety-
        studies indication = "nausea">
        <leaf operation = "new" xlink:type =
          "simple" xlink:href =
            "module-5/clinical-study-reports/
            efficacy-safety-nausea/nausea-sr15.pdf">
          <title>nausea study report 15</title>
        </leaf>
      </m5-3-5-reports-of-efficacy-and-safety-studies>
    </m5-3-clinical-study-reports>
  </m5-clinical-study-reports>
</ectd:ectd>
```

* Note that these XML examples are examples only and do not necessarily contain all the elements and attributes that you should use when preparing an eCTD submission.

TABLE 6.8
eCTD Elements

Element	Attribute	Description/Instructions	Example
Any table of contents tag such as <m2-4-nonclinical-overview>		A table of contents tag represents a grouping of one or more files related to a specific section of the CTD.	
		One or more child <leaf> elements can be declared for a parent table of contents tag. It is possible to extend a table of contents tag by providing a <node-extension> element. This can be done at the lowest level of the defined table of contents tags but should be done only when absolutely necessary. See the section “Instructions for extending eCTD tag elements” in this appendix.	
<leaf>	ID	A unique identifier for this location in the XML instance.	
	xml:lang	The primary language used by the files in this entire section of the submission. Use ISO-639 standard language abbreviations.	en
		A leaf corresponds to a file.	
		One or more child leaf elements can be submitted for a parent table of contents tag.	
	application-version	The version of the software application that was used to create this file.	Acrobat 5
	Font-library	The commercial name of the font or font library needed to properly view the submitted file.	
	ID	Unique identifier for this location in the XML instance.	
	checksum	The checksum value for the file being submitted.	e854d3002c02a61fe5cbe926fd97b001
	checksum-type	The checksum algorithm used.	MD5
	modified-file	The name of the file to be modified as indicated in the “operation” attribute. This file name should include the relative path to the file. If no file is being modified, then you should not supply the “modified-file” attribute.	/0000/module-2/clinical-summary/references.pdf
	operation	Indicates the operation to be performed on the “modified-file”. You should select one of the following valid values: new, replace, append, delete. See the section Operation Attribute in this appendix for details on the meaning of these values.	new
	Version	The file submitter’s internal version number or version identification for the report.	V23.5
	xlink:actuate	Not Currently Used.	
	xlink:href	Provide the pointer to the actual file. Use the relative path to the file and the file name.	module-2/clinical-summary/references.pdf
xlink:role	Not Currently Used.		
xlink:show	Not Currently Used.		
xlink:type	Fixed value of “simple.”	simple	
<title>		This element is associated with a “leaf” and provides a description of the file being submitted.	study report 1234
	ID	Unique identifier for this location in the XML instance.	ID050520

TABLE 6.9
Use of Attributes for Multiple Therapeutic Indications

Element	Attribute	Description/Instructions	Example
<m2-7-3-summary-of-clinical-efficacy>	Indication	Name of the indication	pain
<m5-3-5-reports-of-efficacy-and-safety-studies>	Indication	Name of the indication	pain

6.10 INSTRUCTIONS FOR MULTIPLE DRUG SUBSTANCES, MANUFACTURERS, AND PRODUCTS

Multiple drug substances use additional attributes associated with the <m3-2-s-drug-substance> element to allow unique combinations of the drug substance name and manufacturer to be submitted. Table 6.10 shows the use of these attributes.

This is an example of a section of the instance showing the submission of information about two drug substances, one of which is supplied by two manufacturers:

```
<m3-2-body-of-data>
  <m3-2-s-drug-substance substance =
    "acetaminophen" manufacturer = "my
supplier">
  <leaf operation = "new" xlink:type = "simple"
    xlink:href =
      "module-3/body-of-data/drug-
substance/acetaminophen-my-supplier/
acetaminophen.pdf">
    <title>acetaminophen/my supplier data</title>
  </leaf>
</m3-2-s-drug-substance>
<m3-2-s-drug-substance substance =
  "acetaminophen" manufacturer = "bulk
company 2">
  <leaf operation = "new" xlink:type = "simple"
    xlink:href =
      "module-3/body-of-data/drug-
substance/acetaminophen-bulk-
company-2/acetaminophen2.pdf">
    <title>acetaminophen/company 2 data</title>
  </leaf>
</m3-2-s-drug-substance>
<m3-2-s-drug-substance substance = "codeine"
  manufacturer = "drug company 2">
  <leaf operation = "new" xlink:type = "simple"
    xlink:href =
      "module-3/body-of-data/drug-
substance/codeine-drug-company-2/
codeine-quality-data.pdf">
    <title>codeine data</title>
  </leaf>
</m3-2-s-drug-substance>
</m3-2-body-of-data>
```

Multiple drug products use additional attributes associated with the <m3-2-p-drug-product> element to allow unique combinations of the drug product name and dosage form to be submitted. Table 6.11 shows the use of these attributes.

This is an example of a section of the instance showing the submission of information about two drug products:

```
<m3-2-body-of-data>
  <m3-2-p-drug-product product-name = "wonder drug"
    dosageform = "capsules">
  <leaf operation = "new" xlink:type = "simple"
    xlink:href =
      "module-3/body-of-data/drug-product/
wonder-drug/specifications1.pdf">
    <title>wonder drug capsule product
information</title>
  </leaf>
</m3-2-p-drug-product>
  <m3-2-p-drug-product product-name = "wonder drug"
    dosageform = "tablets">
  <leaf operation = "new" xlink:type = "simple"
    xlink:href =
      "module-3/body-of-data/drug-product/
wonder-drug/specifications2.pdf">
    <title>wonder drug tablet product
data</title>
  </leaf>
</m3-2-p-drug-product>
</m3-2-body-of-data>
```

6.11 INSTRUCTIONS FOR EXTENDING XML eCTD DTD ELEMENTS

An applicant can extend the definition of an element by creating node extensions beneath a defined table of contents tag. Using node extensions is discouraged and should only be done when there is no other feasible means to submit information. The child element <node-extension> should be used for each new table of contents node created. The <title> element value is inherited from the parent element. You should follow the following principles when using <node-extension>:

1. You should only extend the lowest level of defined elements. For example, you can extend the <m2-3-r-regional-information> element but not the <m2-3-quality-overall-summary> element, since the latter is not the lowest element defined in the table of contents.
2. Do not extend the element more than one level. For example, you should not extend <node-extension> <title>special-fda-summary</title> </node-extension> with another <node-extension>.

The following is an example of a section of the eCTD instance in which an applicant extends the <m2-3-r-regional-information> to provide specific regional information as requested by a regulatory authority. The title element associated with

TABLE 6.10
Use of Attributes for Multiple Drug Substances

Element	Attribute	Description/Instructions	Example
<m3-2-s-drug-substance>	Substance	Name of one of the drug substances	Acetaminophen
	Manufacturer	Name of the manufacturer of the drug substance	My supplier

TABLE 6.11
Use of Attributes for Multiple Drug Products

Element	Attribute	Description/Instructions	Example
<m3-2-p-drug-product>	Product-name	Name of one of the drug products	Wonder drug
	Dosageform	Name of the dosage form of the drug product	Capsules

the <node-extension> describes the extension. Alternatively, the regional information in this example could have been provided as a <leaf> element under the <m2-3-r-regional-information> element without the use of a “node extension.”

```
<m2-common-technical-document-summaries>
  <m2-3-quality-overall-summary>
    <m2-3-r-regional-information>
      <node-extension>
        <title>special-fda-summary</title>
        <leaf operation = "new" xlink:type =
          "simple" xlink:href =
            "module-2/quality-overall-summary/
              regional/fda/fda-extra-quality-sum.pdf">
          <title> FDA extra quality summary </title>
        </leaf>
      </node-extension>
    </m2-3-r-regional-information>
  </m2-3-quality-overall-summary>
</m2-common-technical-document-summaries>
```

To update a file that has been submitted as an extended node, you should submit the replacement file using exactly the same element and “node extension” information, including the <title> element for the <node-extension>. This makes it possible for the regulatory authority to locate the original file and update its status.

6.12 INSTRUCTIONS FOR SUBMITTING SECTIONS AS PAPER

During the transition to fully electronic submissions of the CTD, some sections can be submitted as paper only. These sections should be identified in the XML eCTD instance by including a PDF file in the instance that describes the content and location of the paper section. For example, the PDF file might consist of only one page with the name of the CTD document and the physical volume number and tab identifier. The <title> element in the XML eCTD instance could indicate that this is a paper submission.

This is an example of the instance showing the submission of a paper efficacy overview document.

```
<m2-5-clinical-overview>
  <m2-5-4-overview-of-efficacy xml:lang = "en">
    <leaf operation = "new" xlink:type =
      "simple" checksum =
        "e854d3002c02a61fe5cbe926fd97b001"
        xlink:href = "module-2/clinical-
          summary/efficacy-overview.pdf"
        application-version = "Acrobat 5">
      <title>Paper Submission </title>
    </leaf>
  </m2-5-4-overview-of-efficacy>
</m2-5-clinical-overview>
```

Appendix 7: Specification for Submission Formats

7.1 INTRODUCTION

This appendix describes the way in which files should be constructed for inclusion in the electronic Common Technical Document (eCTD). The file formats included in this section are those formats that are commonly used in electronic submissions. Other formats can be used according to guidance published in each region.

7.2 PDF

Adobe Portable Document Format (PDF) is a published format created by Adobe Inc. (www.adobe.com). It is not necessary to use a product from Adobe or from any specific company to produce PDF documents. PDF is accepted as a standard for documents defined in this specification. The following recommendations support the creation of PDF files that Agencies can review effectively. For any specification of the Japanese version of Adobe Acrobat, or where Japanese characters will be in the file, please refer to the regional guidance.

To ensure that PDF files can be accessed efficiently, PDF files should be no larger than 50 megabytes. The files should be saved in “optimized,” form.

7.2.1 VERSION

Agencies should be able to read all PDF files with version 4.0 or higher of the Acrobat Reader. Agencies should not need any additional software to read and navigate the PDF files. However, review can be facilitated through the use of Adobe Acrobat, since significantly more functionality is available in this product than with Acrobat Reader.

7.2.2 FONTS

PDF viewing software automatically substitutes a font to display text if the font used to create the text is unavailable on the reviewer’s computer. Font substitution can affect a document’s appearance and structure, and in some cases, it can affect the information conveyed by a document. Agencies cannot guarantee the availability of any fonts except Times New Roman, Arial, Courier, and fonts supported in the Acrobat product set itself. Therefore, all additional fonts used in the PDF files should be embedded to ensure that those fonts will always be available to the reviewer. When embedding fonts, all characters for the font should be embedded, not just a subset of the fonts being used in the document.

One problem associated with embedding fonts is that embedding requires additional computer storage space. Three

techniques to help limit the storage space taken by embedding fonts are

- Limiting the number of fonts used in each document
- Using only True Type or Adobe Type 1 fonts
- Avoiding customized fonts

Resizing a document because the contents are too small to read is inefficient. Times New Roman, 12-point font, the font used for this document, is adequate in size for reading narrative text and should be used whenever possible. It is sometimes tempting to use fonts that are smaller than 12 point in tables and charts, but this should be avoided whenever possible. When choosing a point size for tables, a balance should be made between providing sufficient information on a single page that may facilitate data comparisons for the reviewer and still achieving a point size that remains legible. The corollary of this is that if the point size is made larger, more tables might be necessary, which can complicate data comparisons for a reviewer, since data might now be included in separate tables. Generally, point sizes 9 and 10 are considered acceptable in tables, but smaller point sizes should be avoided.

7.2.3 USE OF COLOR FONTS

The use of a black font color is recommended. Blue font can be used for hypertext links. If a font color other than black is used, light colors that do not print well on grayscale printers should be avoided. Color reproduction can be tested prior to submission by printing sample pages from the document using a grayscale printer. The use of background shadowing should be avoided.

7.2.4 PAGE ORIENTATION

Pages should be properly oriented so that all portrait pages are presented in portrait and all landscape pages are presented in landscape. To achieve this, the page orientation of landscape pages should be set to landscape prior to saving the PDF document in its final form.

7.2.5 PAGE SIZE AND MARGINS

The print area for pages should fit on a sheet of A4 or Letter paper. A sufficient margin (at least 2.5 cm) on the left side of each page should be provided in order to avoid obscuring information if the reviewer subsequently prints and binds the pages for temporary use. For pages in landscape orientation (typically tables and publications), smaller margins are allowable (at least 2.0 cm at the top and 0.8 cm left and right) so as

to allow more information, displayed legibly, on the page (see Section 7.2.3, Fonts). It is acceptable that header and footer information appears within these margins but not so close to the page edge that it may risk being lost upon printing.

7.2.6 SOURCE OF ELECTRONIC DOCUMENT

PDF documents produced by scanning paper documents are usually inferior to those produced from an electronic source document. Scanned documents are more difficult to read and do not allow reviewers to search or copy and paste text for editing. They should be avoided where possible.

7.2.7 METHODS FOR CREATING PDF DOCUMENTS AND IMAGES

The method used for creating PDF documents should produce the best replication of a paper document. To ensure that the paper and PDF version of the document are the same, the document should be printed from the PDF version. Documents that are available only in paper should be scanned at resolutions that will ensure the pages are legible both on the computer screen and when printed. At the same time, the file size should be limited. It is recommended that scanning be undertaken at a resolution of 300 dots per inch (dpi) to balance legibility and file size. The use of grayscale or color is discouraged because of file size. After scanning, resampling to a lower resolution should be avoided.

When PDF files containing images are created, the images should not be downsampled. Downsampling does not preserve all the pixels in the original. For PDF images, one of the following lossless compression techniques should be used:

- For lossless compression of color and grayscale images, use Zip/Flate (one technique with two names). This is specified in Internet RFC 1950 and RFC 1951 (<http://info.internet.isi.edu/in-notes/rfc/files/rfc1950.txt>).
- For lossless compression of black and white images, use the CCITT Group 4 Fax compression technique. It is specified as CCITT recommendations T.6 (1988)—*Facsimile coding schemes and coding control functions for Group 4 facsimile apparatus*.

Paper documents containing hand-written notes should be scanned at 300 dpi. Hand-written notes should be done in black ink for clarity.

For photographs, the image should be obtained with a resolution of 600 dpi. If black and white photos are submitted, 8 bit grayscale images should be considered. If color photos are submitted, 24 bit RGB images should be considered. A captured image should not be subjected to nonuniform scaling (i.e., sizing).

Gels and karyotypes should be scanned directly rather than from photographs. Scanning should be at 600 dpi and 8 bit grayscale depth.

Plotter output graphics should be scanned or captured digitally at 300 dpi.

High-pressure liquid chromatography or similar images should be scanned at 300 dpi.

Applicants should validate the quality of the renditions.

7.2.8 HYPERTEXT LINKING AND BOOKMARKS

Hypertext links and bookmarks are techniques used to improve navigation through PDF documents. Hypertext links can be designated by rectangles using `[thin lines]` or by blue text.

In general, for documents with a table of contents, bookmarks for each item listed in the table of contents should be provided, including all tables, figures, publications, other references, and appendices. These bookmarks are essential for efficient navigation through documents. In general, including a bookmark to the main table of contents for a submission or module is helpful. The bookmark hierarchy should be made identical to the table of contents with no additional bookmark levels beyond those present in the table of contents.

Each additional level increases the need for space to read the bookmarks. The use of no more than four levels in the hierarchy is recommended.

Hypertext links throughout the body of the document to supporting annotations, related sections, references, appendices, tables, or figures that are not located on the same page are helpful and improve navigation efficiency. Relative paths should be used when creating hypertext links to minimize the loss of hyperlink functionality when folders are moved between disk drives. Absolute links that reference specific drives and root directories will no longer work once the submission is loaded onto the Agency's network servers.

When creating bookmarks and hyperlinks, the magnification setting *Inherit Zoom* should be used so that the destination page displays at the same magnification level that the reviewer is using for the rest of the document.

7.2.9 PAGE NUMBERING

If a submission includes more than one document, no additional volume or page numbering is necessary. Only page numbers for individual documents are needed.

It is easier to navigate through an electronic document if the page numbers for the document and the PDF file are the same. To accomplish this, the initial page of the document should be numbered page 1, with no use of Roman numerals or unnumbered pages in the document. If this is not done, Acrobat Reader would include such numbering within its page count and thus, put the Acrobat numbering out of synchrony with the internal document page numbers.

Two exceptions to this rule can occur, details of which can be found in the guidance for the modules of the CTD:

- Firstly, where a document is split because of its size (e.g. >50 MB), under which circumstances the second or subsequent file should be numbered consecutively to that of the first or preceding file

- Secondly, where several small documents with their own internal page numbering have been brought together into a single file, under which circumstances it is not considered necessary to provide additional page numbering, but the start of each subdocument should be bookmarked

7.2.10 DOCUMENT INFORMATION FIELDS

Document information fields should not be used for the common portions of the eCTD, but they may be appropriate for some of the regional documents. Recommendations for the document information fields will be provided in the regional guidance for the specific submission type.

7.2.11 OPEN DIALOG BOX

The open dialog box sets the document view when the file is opened. The initial view of the PDF files should be set as *Bookmarks* and *Page*. If there are no bookmarks, the initial view as *Page* only should be set. The *Magnification* and *Page Layout* should be set as default.

7.2.12 SECURITY

No security settings or password protection for PDF files should be included. Security fields should be set to allow printing, changes to the document, selecting text and graphics, and adding or changing notes and form fields.

7.2.13 INDEXING PDF DOCUMENTS

Full text indices can be used to help find specific documents and/or search for text within documents. When a document or group of documents is indexed, all words and numbers in the file and all information stored in the Document Information fields are stored in special index files that are functionally accessible using the search tools available in Acrobat. Portions of a document that are imaged are not indexed. Even if the document only contains images, the text in the Document Information fields of the file will be indexed.

These full text indices should not be confused with a table of contents. Adobe Acrobat Catalog is one example of a tool that can be used to index PDF documents. Indices should not require extensions or additions to off-the-shelf Acrobat programs.

Further recommendations for full text indices will be provided in regional guidance.

7.2.14 USE OF ADOBE ACROBAT PLUG-INS

It is considered acceptable to use plug-ins to assist in the creation of a submission. However, the review of the submission should not require the use of any plug-ins in addition to those provided with Adobe Acrobat, because Agencies should not be required to archive additional plug-in functionality.

7.3 XML FILES

A working group at the World Wide Web Consortium (W3C) developed XML. It is a nonproprietary language developed to improve on previous markup languages, including standard generalized markup language (SGML) and hypertext markup language (HTML).

Information in an XML file is divided into specific pieces. These pieces are called *objects* or *element types*. The element type identifies the piece of information. For example, the name of the company submitting a registration application in eCTD format for review is identified with the element type <applicant>. All element type names are bracketed using the special characters <>. Inside the XML document, the element type name is placed just prior to the piece of information and after the information. This is called *tagging*. So, in the XML file, the applicant could be tagged as follows <applicant>Worldwide Pharmaceuticals Inc.</applicant>. The / prior to the element type denotes that this is the end of the information about the applicant.

By using a hierarchical structure, XML allows you to relate two or more elements. This is accomplished by nesting one element within another.

Additional information about the element type is provided by attributes. Attributes are placed within the element types and are surrounded by “”. For example, if you wanted to show that the applicant name is presented in the English language, you could add this piece of information as an attribute. This could be represented in the XML file as <applicant XML:LANG=“EN”> Worldwide Pharmaceuticals Inc.</applicant>.

XML files are read by a parser found in Internet browsers. Style sheets provide the browser with the information to create tables, fonts, and colors for display.

The specific names of the element types and attributes as well as the valid syntax, structure, and format for defining the XML elements are included in a file called document type declaration (DTD). If the XML document does not follow the DTD, then the file will not be able to be used properly.

The top three lines of the XML file should include the XML version, the style sheet type and address, and the DTD name and address.

Additional information about the XML standard can be found at the W3C Web site at www.w3c.org.

7.4 SVG FILES

SVG is a language for describing two-dimensional graphics in XML. SVG allows for three types of graphic objects: vector graphic shapes (e.g., paths consisting of straight lines and curves), images, and text. Graphical objects can be grouped, styled, transformed, and composited into previously rendered objects. Text can be in any XML namespace suitable to the application, which enhances the searchability and accessibility of the SVG graphics. The feature set includes nested transformations, clipping paths, alpha masks, filter effects, template objects, and extensibility.

SVG drawings can be dynamic and interactive. The Document Object Model (DOM) for SVG, which includes the full XML DOM, allows straightforward and efficient vector graphics animation via scripting. A rich set of event handlers such as onmouseover and onclick can be assigned to any SVG graphical object. Because of its compatibility and leveraging

of other Web standards, features such as scripting can be done on SVG elements and other XML elements from different namespaces simultaneously within the same Web page.*

The specific use of SVG in a submission should be discussed with the regulatory authority.

* This description of SVG is from the W3C Web page www.w3c.org/graphics/svg

Appendix 8: XML eCTD DTD

```
<!-- eCTD Version 0.96 renamed to version 1.0
Jan 10 - Feb 6, 2002
Added keywords attribute to leaf element
This attribute is expected to be a comma-separated
list of keywords
Removed 3+ level of detail in
m2-4-nonclinical-overview
Removed 4+ level of detail in
m2-6-nonclinical-written-and-tabulated-summary
Removed 3+ level of detail in m2-7-clinical-summary
Removed 3+ level of detail in m2-5-clinical-overview
Changed name of leaf attribute md5-checksum to checksum
Added attribute checksum-type to leaf element
Added attribute manufacturer to
m2-3-p-drug-product and m3-2-p-drug-product
Removed 4+ level of detail in m2-3-quality-overall-summary
Removed 6+ level of detail in
m3-2-p-2-pharmaceutical-development
-->

<!-- eCTD Version 0.95 -->
<!-- Oct 20, 2001 -->
<!-- Changes according to testing feedback -->
<!-- See separate sheet -->

<!-- eCTD Version 0.92 -->
<!-- Changed incorrect m2-7-4-5-3 attribute -->
<!-- Added namespace info to leaf and xref elements -->

<!-- eCTD Version 0.91 -->
<!-- June 4, 2001 -->
<!-- changed m2-7-3 to be 0 or more instead of 0 or 1 -->

<!-- eCTD Version 0.9 -->
<!-- ICH Tokyo Meeting: May 24, 2001 -->

<!ENTITY % att "ID ID #IMPLIED
xml:lang CDATA #IMPLIED " >

<!-- ===== -->
<!-- Top-level element -->
<!-- ===== -->

<!ELEMENT ectd:ectd (m1-administrative-information-
and-prescribing-information?,
m2-common-technical-document-summaries?,
m3-quality?,
m4-nonclinical-study-reports?,
m5-clinical-study-reports?
) >
<!ATTLIST ectd:ectd
xmlns:ectd CDATA #FIXED "http://www.ich.org/ectd"
xmlns:xlink CDATA #FIXED "http://www.w3c.
org/1999/xlink"
xml:lang CDATA #IMPLIED
dtd-version CDATA #FIXED "0.96" >

<!-- ===== -->
<!-- Leaf content -->
<!-- ===== -->

<!ELEMENT leaf (title, link-text?) >
<!ATTLIST leaf
ID ID #IMPLIED
application-version CDATA #IMPLIED
version CDATA #IMPLIED
font-library CDATA #IMPLIED
operation (new | append | replace | delete)
#REQUIRED
modified-file CDATA #IMPLIED
checksum CDATA #IMPLIED
checksum-type CDATA #IMPLIED
keywords CDATA #IMPLIED
xmlns:xlink CDATA #FIXED "http://www.w3c.
org/1999/xlink"
xlink:type CDATA #FIXED "simple"
xlink:role CDATA #IMPLIED
xlink:href CDATA #IMPLIED
xlink:show (new | replace | embed | other |
none) #IMPLIED
xlink:actuate (onLoad | onRequest | other |
none) #IMPLIED
xml:lang CDATA #IMPLIED >

<!ELEMENT title (#PCDATA) >
<!ATTLIST title
ID ID #IMPLIED >

<!ELEMENT link-text (#PCDATA | xref)* >
<!ATTLIST link-text
ID ID #IMPLIED >

<!ELEMENT xref EMPTY >

<!ATTLIST xref
ID ID #IMPLIED
xmlns:xlink CDATA #FIXED "http://www.w3c.
org/1999/xlink"
xlink:type CDATA #FIXED "simple"
xlink:role CDATA #IMPLIED
xlink:title CDATA #REQUIRED
xlink:href CDATA #REQUIRED
xlink:show (new | replace | embed | other |
none) #IMPLIED
xlink:actuate (onLoad | onRequest | other |
none) #IMPLIED>

<!ELEMENT node-extension (title, (leaf | node-extension)+) >
<!ATTLIST node-extension
ID ID #IMPLIED
xml:lang CDATA #IMPLIED >

<!-- ===== -->
<!-- CTD Backbone structures -->
<!-- ===== -->

<!ELEMENT
m1-administrative-information-and-prescribing-
information
(leaf*) >
<!ATTLIST m1-administrative-information-and-
prescribing-information %att; >

<!ELEMENT m2-common-technical-document-summaries
(leaf*,
m2-2-introduction?,
m2-3-quality-overall-summary?,
m2-4-nonclinical-overview?,
m2-5-clinical-overview?,
m2-6-nonclinical-written-and-
tabulated-summary?,
m2-7-clinical-summary?) >
```

```

<!ATTLIST m2-common-technical-document-summaries %att; >
<!ELEMENT m2-2-introduction ((leaf | node-extension)*) >
<!ATTLIST m2-2-introduction %att; >
<!ELEMENT m2-3-quality-overall-summary (leaf*,
    m2-3-introduction?,
    m2-3-s-drug-substance*,
    m2-3-p-drug-product*,
    m2-3-a-appendices?,
    m2-3-r-regional-information?) >
<!ATTLIST m2-3-quality-overall-summary %att; >
<!ELEMENT m2-3-introduction ((leaf | node-extension)*) >
<!ATTLIST m2-3-introduction %att; >
<!ELEMENT m2-3-s-drug-substance ((leaf | node-extension)*) >
<!ATTLIST m2-3-s-drug-substance %att;
    substance CDATA #REQUIRED
    manufacturer CDATA #REQUIRED >
<!ELEMENT m2-3-p-drug-product ((leaf | node-extension)*) >
<!ATTLIST m2-3-p-drug-product %att;
    product-name CDATA #IMPLIED
    dosageform CDATA #IMPLIED
    manufacturer CDATA #IMPLIED >
<!ELEMENT m2-3-a-appendices ((leaf | node-extension)*) >
<!ATTLIST m2-3-a-appendices %att; >
<!ELEMENT m2-3-r-regional-information ((leaf |
    node-extension)*) >
<!ATTLIST m2-3-r-regional-information %att; >
<!ELEMENT m2-4-nonclinical-overview ((leaf |
    node-extension)*) >
<!ATTLIST m2-4-nonclinical-overview %att; >
<!ELEMENT m2-5-clinical-overview ((leaf |
    node-extension)*) >
<!ATTLIST m2-5-clinical-overview %att; >
<!ELEMENT m2-6-nonclinical-written-and-
    tabulated-summary (leaf*,
    m2-6-1-introduction?,
    m2-6-2-pharmacology-written-summary?,
    m2-6-3-pharmacology-tabulated-summary?,
    m2-6-4-pharmacokinetics-written-summary?,
    m2-6-5-pharmacokinetics-tabulated-summary?,
    m2-6-6-toxicology-written-summary?,
    m2-6-7-toxicology-tabulated-
        -summary?) >
<!ATTLIST m2-6-nonclinical-written-and-tabulated-
    summary %att; >
<!ELEMENT m2-6-1-introduction ((leaf |
    node-extension)*) >
<!ATTLIST m2-6-1-introduction %att; >
<!ELEMENT m2-6-2-pharmacology-written-summary ((leaf |
    node-extension)*) >
<!ATTLIST m2-6-2-pharmacology-written-summary %att; >
<!ELEMENT m2-6-3-pharmacology-tabulated-summary ((leaf |
    node-extension)*) >
<!ATTLIST m2-6-3-pharmacology-tabulated-summary %att; >
<!ELEMENT m2-6-4-pharmacokinetics-written-summary ((leaf |
    node-extension)*) >
<!ATTLIST m2-6-4-pharmacokinetics-written-summary %att; >
<!ELEMENT m2-6-5-pharmacokinetics-tabulated-summary
    ((leaf | node-extension)*) >
<!ATTLIST m2-6-5-pharmacokinetics-tabulated-summary %att; >
<!ELEMENT m2-6-6-toxicology-written-summary ((leaf |
    node-extension)*) >
<!ATTLIST m2-6-6-toxicology-written-summary %att; >
<!ELEMENT m2-6-7-toxicology-tabulated-summary ((leaf |
    node-extension)*) >
<!ATTLIST m2-6-7-toxicology-tabulated-summary %att; >
<!ELEMENT m2-7-clinical-summary (leaf*,
    m2-7-1-summary-of-biopharmaceutic-
    and-associated-analytical-methods?,
    m2-7-2-summary-of-clinical-pharmacology-
    studies?,
    m2-7-3-summary-of-clinical-efficacy*,
    m2-7-4-summary-of-clinical-safety?,
    m2-7-5-references?,
    m2-7-6-synopses-of-individual-studies?) >
<!ATTLIST m2-7-clinical-summary %att; >
<!ELEMENT m2-7-1-summary-of-biopharmaceutic-and-
    associated-analytical-methods
    ((leaf | node-extension)*) >
<!ATTLIST m2-7-1-summary-of-biopharmaceutic-and-
    associated-analytical-methods %att; >
<!ELEMENT m2-7-2-summary-of-clinical-pharmacology-
    studies ((leaf | node-extension)*) >
<!ATTLIST m2-7-2-summary-of-clinical-pharmacology-
    studies %att; >
<!ELEMENT m2-7-3-summary-of-clinical-efficacy ((leaf |
    node-extension)*) >
<!ATTLIST m2-7-3-summary-of-clinical-efficacy %att;
    indication CDATA #IMPLIED >
<!ELEMENT m2-7-4-summary-of-clinical-safety ((leaf |
    node-extension)*) >
<!ATTLIST m2-7-4-summary-of-clinical-safety %att; >
<!ELEMENT m2-7-5-references ((leaf | node-extension)*) >
<!ATTLIST m2-7-5-references %att; >
<!ELEMENT m2-7-6-synopses-of-individual-studies ((leaf |
    node-extension)*) >
<!ATTLIST m2-7-6-synopses-of-individual-studies %att; >
<!ELEMENT m3-quality (leaf*,
    m3-2-body-of-data?,
    m3-3-literature-references?) >
<!ATTLIST m3-quality %att; >
<!ELEMENT m3-2-body-of-data (leaf*,
    m3-2-s-drug-substance*,
    m3-2-p-drug-product*,
    m3-2-a-appendices?,
    m3-2-r-regional-information?) >
<!ATTLIST m3-2-body-of-data %att; >
<!ELEMENT m3-2-s-drug-substance (leaf*,
    m3-2-s-1-general-information?,
    m3-2-s-2-manufacture?,
    m3-2-s-3-characterisation?,
    m3-2-s-4-control-of-drug-substance?,
    m3-2-s-5-reference-standards-or-
    materials?,
    m3-2-s-6-container-closure-system?,
    m3-2-s-7-stability?) >
<!ATTLIST m3-2-s-drug-substance %att;
    substance CDATA #REQUIRED
    manufacturer CDATA #REQUIRED >

```

```
<!ELEMENT m3-2-s-1-general-information (leaf*,
    m3-2-s-1-1-nomenclature?,
    m3-2-s-1-2-structure?,
    m3-2-s-1-3-general-properties?) >
<!ATTLIST m3-2-s-1-general-information %att; >

<!ELEMENT m3-2-s-1-1-nomenclature ((leaf |
    node-extension)*) >
<!ATTLIST m3-2-s-1-1-nomenclature %att; >

<!ELEMENT m3-2-s-1-2-structure ((leaf |
    node-extension)*) >
<!ATTLIST m3-2-s-1-2-structure %att; >

<!ELEMENT m3-2-s-1-3-general-properties ((leaf |
    node-extension)*) >
<!ATTLIST m3-2-s-1-3-general-properties %att; >

<!ELEMENT m3-2-s-2-manufacture (leaf*,
    m3-2-s-2-1-manufacturer?,
    m3-2-s-2-2-description-of-manufacturing-
    process-and-process-controls?,
    m3-2-s-2-3-control-of-materials?,
    m3-2-s-2-4-controls-of-critical-steps-and-
    intermediates?,
    m3-2-s-2-5-process-validation-and-or-
    evaluation?,
    m3-2-s-2-6-manufacturing-process-
    development?) >
<!ATTLIST m3-2-s-2-manufacture %att; >

<!ELEMENT m3-2-s-2-1-manufacturer ((leaf |
    node-extension)*) >
<!ATTLIST m3-2-s-2-1-manufacturer %att; >

<!ELEMENT m3-2-s-2-2-description-of-manufacturing-
    process-and-process-controls ((leaf |
    node-extension)*) >
<!ATTLIST m3-2-s-2-2-description-of-manufacturing-
    process-and-process-controls %att; >

<!ELEMENT m3-2-s-2-3-control-of-materials ((leaf |
    node-extension)*) >
<!ATTLIST m3-2-s-2-3-control-of-materials %att; >

<!ELEMENT m3-2-s-2-4-controls-of-critical-steps-and-
    intermediates ((leaf | node-extension)*) >
<!ATTLIST m3-2-s-2-4-controls-of-critical-steps-and-
    intermediates %att; >

<!ELEMENT m3-2-s-2-5-process-validation-and-or-
    evaluation ((leaf | node-extension)*) >
<!ATTLIST m3-2-s-2-5-process-validation-and-or-
    evaluation %att; >

<!ELEMENT m3-2-s-2-6-manufacturing-process-
    development ((leaf | node-extension)*) >
<!ATTLIST m3-2-s-2-6-manufacturing-process-
    development %att; >

<!ELEMENT m3-2-s-3-characterisation (leaf*,
    m3-2-s-3-1-elucidation-of-structure-
    and-other-characteristics?,
    m3-2-s-3-2-impurities?) >
<!ATTLIST m3-2-s-3-characterisation %att; >

<!ELEMENT m3-2-s-3-1-elucidation-of-structure-and-
    other-characteristics
    ((leaf | node-extension)*) >
<!ATTLIST m3-2-s-3-1-elucidation-of-structure-
    and-other-characteristics %att; >

<!ELEMENT m3-2-s-3-2-impurities ((leaf |
    node-extension)*) >

<!ATTLIST m3-2-s-3-2-impurities %att; >

<!ELEMENT m3-2-s-4-control-of-drug-substance (leaf*,
    m3-2-s-4-1-specification?,
    m3-2-s-4-2-analytical-procedures?,
    m3-2-s-4-3-validation-of-analytical-
    procedures?,
    m3-2-s-4-4-batch-analyses?,
    m3-2-s-4-5-justification-of-
    specification?) >
<!ATTLIST m3-2-s-4-control-of-drug-substance %att; >

<!ELEMENT m3-2-s-4-1-specification ((leaf |
    node-extension)*) >
<!ATTLIST m3-2-s-4-1-specification %att; >

<!ELEMENT m3-2-s-4-2-analytical-procedures ((leaf |
    node-extension)*) >
<!ATTLIST m3-2-s-4-2-analytical-procedures %att; >

<!ELEMENT m3-2-s-4-3-validation-of-analytical-
    procedures ((leaf | node-extension)*) >
<!ATTLIST m3-2-s-4-3-validation-of-analytical-
    procedures %att; >

<!ELEMENT m3-2-s-4-4-batch-analyses ((leaf |
    node-extension)*) >
<!ATTLIST m3-2-s-4-4-batch-analyses %att; >

<!ELEMENT m3-2-s-4-5-justification-of-
    specification ((leaf | node-extension)*) >
<!ATTLIST m3-2-s-4-5-justification-of-specification %att; >

<!ELEMENT m3-2-s-5-reference-standards-or-
    materials ((leaf | node-extension)*) >
<!ATTLIST m3-2-s-5-reference-standards-or-materials %att; >

<!ELEMENT m3-2-s-6-container-closure-system ((leaf |
    node-extension)*) >
<!ATTLIST m3-2-s-6-container-closure-system %att; >

<!ELEMENT m3-2-s-7-stability (leaf*,
    m3-2-s-7-1-stability-summary-and-conclusions?,
    m3-2-s-7-2-post-approval-stability-
    protocol-and-stability-commitment?,
    m3-2-s-7-3-stability-data?) >
<!ATTLIST m3-2-s-7-stability %att; >

<!ELEMENT m3-2-s-7-1-stability-summary-and-
    conclusions ((leaf | node-extension)*) >
<!ATTLIST m3-2-s-7-1-stability-summary-and-
    conclusions %att; >

<!ELEMENT m3-2-s-7-2-post-approval-stability-protocol-
    and-stability-commitment
    ((leaf | node-extension)*) >
<!ATTLIST m3-2-s-7-2-post-approval-stability-
    protocol-and-stability-commitment %att; >

<!ELEMENT m3-2-s-7-3-stability-data ((leaf |
    node-extension)*) >
<!ATTLIST m3-2-s-7-3-stability-data %att; >

<!ELEMENT m3-2-p-drug-product (leaf*,
    m3-2-p-1-description-and-composition-
    of-the-drug-product?,
    m3-2-p-2-pharmaceutical-development?,
    m3-2-p-3-manufacture?,
    m3-2-p-4-control-of-excipients*,
    m3-2-p-5-control-of-drug-product?,
    m3-2-p-6-reference-standards-
    or-materials?,
    m3-2-p-7-container-closure-system?,
    m3-2-p-8-stability?) >
```

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<!ATTLIST m3-2-p-drug-product %att;
      product-name CDATA #IMPLIED
      dosageform CDATA #IMPLIED
    >
<!ELEMENT m3-2-p-1-description-and-composition-
  of-the-drug-product
  ((leaf | node-extension)* ) >
<!ATTLIST m3-2-p-1-description-and-composition-of-the-
  drug-product %att; >
<!ELEMENT m3-2-p-2-pharmaceutical-development (leaf*,
  m3-2-p-2-1-components-of-the-drug-product?,
  m3-2-p-2-2-drug-product?,
  m3-2-p-2-3-manufacturing-process-
  development?,
  m3-2-p-2-4-container-closure-system?,
  m3-2-p-2-5-microbiological-attributes?,
  m3-2-p-2-6-compatibility?) >
<!ATTLIST m3-2-p-2-pharmaceutical-development %att; >
<!ELEMENT m3-2-p-2-1-components-of-the-drug-
  product ((leaf | node-extension)* ) >
<!ATTLIST m3-2-p-2-1-components-of-the-drug-product %att; >
<!ELEMENT m3-2-p-2-2-drug-product ((leaf |
  node-extension)* ) >
<!ATTLIST m3-2-p-2-2-drug-product %att; >
<!ELEMENT m3-2-p-2-3-manufacturing-process-
  development ((leaf | node-extension)* ) >
<!ATTLIST m3-2-p-2-3-manufacturing-process-
  development %att; >
<!ELEMENT m3-2-p-2-4-container-closure-system ((leaf |
  node-extension)* ) >
<!ATTLIST m3-2-p-2-4-container-closure-system %att; >
<!ELEMENT m3-2-p-2-5-microbiological-attributes ((leaf |
  node-extension)* ) >
<!ATTLIST m3-2-p-2-5-microbiological-attributes %att; >
<!ELEMENT m3-2-p-2-6-compatibility ((leaf |
  node-extension)* ) >
<!ATTLIST m3-2-p-2-6-compatibility %att; >
<!ELEMENT m3-2-p-3-manufacture (leaf*,
  m3-2-p-3-1-manufacturers?,
  m3-2-p-3-2-batch-formula?,
  m3-2-p-3-3-description-of-manufacturing-
  process-and-process-controls?,
  m3-2-p-3-4-controls-of-critical-steps-
  and-intermediates?,
  m3-2-p-3-5-process-validation-and-
  or-evaluation?) >
<!ATTLIST m3-2-p-3-manufacture %att; >
<!ELEMENT m3-2-p-3-1-manufacturers ((leaf |
  node-extension)* ) >
<!ATTLIST m3-2-p-3-1-manufacturers %att; >
<!ELEMENT m3-2-p-3-2-batch-formula ((leaf |
  node-extension)* ) >
<!ATTLIST m3-2-p-3-2-batch-formula %att; >
<!ELEMENT m3-2-p-3-3-description-of-manufacturing-
  process-and-process-controls
  ((leaf | node-extension)* ) >
<!ATTLIST m3-2-p-3-3-description-of-manufacturing-
  process-and-process-controls %att; >
<!ELEMENT m3-2-p-3-4-controls-of-critical-
  steps-and-intermediates
  ((leaf | node-extension)* ) >
<!ATTLIST m3-2-p-3-4-controls-of-critical-
  steps-and-intermediates %att; >
<!ELEMENT m3-2-p-3-5-process-validation-
  and-or-evaluation
  ((leaf | node-extension)* ) >
<!ATTLIST m3-2-p-3-5-process-validation-
  and-or-evaluation %att; >
<!ELEMENT m3-2-p-4-control-of-excipients (leaf*,
  m3-2-p-4-1-specifications?,
  m3-2-p-4-2-analytical-procedures?,
  m3-2-p-4-3-validation-of-
  analytical-procedures?,
  m3-2-p-4-4-justification-
  of-specifications?,
  m3-2-p-4-5-excipients-of-human-
  or-animal-origin?,
  m3-2-p-4-6-novel-excipients?) >
<!ATTLIST m3-2-p-4-control-of-excipients %att;
  excipient CDATA #IMPLIED >
<!ELEMENT m3-2-p-4-1-specifications ((leaf
  | node-extension)* ) >
<!ATTLIST m3-2-p-4-1-specifications %att; >
<!ELEMENT m3-2-p-4-2-analytical-procedures ((leaf |
  node-extension)* ) >
<!ATTLIST m3-2-p-4-2-analytical-procedures %att; >
<!ELEMENT m3-2-p-4-3-validation-of-analytical-
  procedures ((leaf | node-extension)* ) >
<!ATTLIST m3-2-p-4-3-validation-of-analytical-
  procedures %att; >
<!ELEMENT m3-2-p-4-4-justification-of-
  specifications ((leaf | node-extension)* ) >
<!ATTLIST m3-2-p-4-4-justification-of-specifications %att; >
<!ELEMENT m3-2-p-4-5-excipients-of-human-or-
  animal-origin ((leaf | node-extension)* ) >
<!ATTLIST m3-2-p-4-5-excipients-of-human-or-
  animal-origin %att; >
<!ELEMENT m3-2-p-4-6-novel-excipients ((leaf |
  node-extension)* ) >
<!ATTLIST m3-2-p-4-6-novel-excipients %att; >
<!ELEMENT m3-2-p-5-control-of-drug-product (leaf*,
  m3-2-p-5-1-specifications?,
  m3-2-p-5-2-analytical-procedures?,
  m3-2-p-5-3-validation-of-
  analytical-procedures?,
  m3-2-p-5-4-batch-analyses?,
  m3-2-p-5-5-characterisation-of-
  impurities?,
  m3-2-p-5-6-justification-of-
  specifications?) >
<!ATTLIST m3-2-p-5-control-of-drug-product %att; >
<!ELEMENT m3-2-p-5-1-specifications ((leaf |
  node-extension)* ) >
<!ATTLIST m3-2-p-5-1-specifications %att; >
<!ELEMENT m3-2-p-5-2-analytical-procedures ((leaf
  | node-extension)* ) >
<!ATTLIST m3-2-p-5-2-analytical-procedures %att; >
<!ELEMENT m3-2-p-5-3-validation-of-analytical-
  procedures ((leaf | node-extension)* ) >
<!ATTLIST m3-2-p-5-3-validation-of-analytical-
  procedures %att; >
<!ELEMENT m3-2-p-5-4-batch-analyses ((leaf |
  node-extension)* ) >
<!ATTLIST m3-2-p-5-4-batch-analyses %att; >

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        m4-2-2-pharmacokinetics?,
        m4-2-3-toxicology?,
        m4-2-4-local-tolerance?,
        m4-2-5-other-toxicity-studies?) >
<!ATTLIST m3-2-p-5-5-characterisation-of-impurities %att; >
<!ELEMENT m3-2-p-5-6-justification-of-specifications ((leaf | node-extension)*) >
<!ATTLIST m3-2-p-5-6-justification-of-specifications %att; >
<!ELEMENT m3-2-p-6-reference-standards-or-materials ((leaf | node-extension)*) >
<!ATTLIST m3-2-p-6-reference-standards-or-materials %att; >
<!ELEMENT m3-2-p-7-container-closure-system ((leaf | node-extension)*) >
<!ATTLIST m3-2-p-7-container-closure-system %att; >
<!ELEMENT m3-2-p-8-stability (leaf*,
    m3-2-p-8-1-stability-summary-and-conclusion?,
    m3-2-p-8-2-post-approval-stability-protocol-and-stability-commitment?,
    m3-2-p-8-3-stability-data?) >
<!ATTLIST m3-2-p-8-stability %att; >
<!ELEMENT m3-2-p-8-1-stability-summary-and-conclusion ((leaf | node-extension)*) >
<!ATTLIST m3-2-p-8-1-stability-summary-and-conclusion %att; >
<!ELEMENT m3-2-p-8-2-post-approval-stability-protocol-and-stability-commitment ((leaf | node-extension)*) >
<!ATTLIST m3-2-p-8-2-post-approval-stability-protocol-and-stability-commitment %att; >
<!ELEMENT m3-2-p-8-3-stability-data ((leaf | node-extension)*) >
<!ATTLIST m3-2-p-8-3-stability-data %att; >
<!ELEMENT m3-2-a-appendices (leaf*,
    m3-2-a-1-facilities-and-equipment?,
    m3-2-a-2-adventitious-agents-safety-evaluation?,
    m3-2-a-3-novel-excipients?) >
<!ATTLIST m3-2-a-appendices %att; >
<!ELEMENT m3-2-a-1-facilities-and-equipment ((leaf | node-extension)*) >
<!ATTLIST m3-2-a-1-facilities-and-equipment %att; >
<!ELEMENT m3-2-a-2-adventitious-agents-safety-evaluation ((leaf | node-extension)*) >
<!ATTLIST m3-2-a-2-adventitious-agents-safety-evaluation %att; >
<!ELEMENT m3-2-a-3-novel-excipients ((leaf | node-extension)*) >
<!ATTLIST m3-2-a-3-novel-excipients %att; >
<!ELEMENT m3-2-r-regional-information ((leaf | node-extension)*) >
<!ATTLIST m3-2-r-regional-information %att; >
<!ELEMENT m3-3-literature-references ((leaf | node-extension)*) >
<!ATTLIST m3-3-literature-references %att; >
<!ELEMENT m4-nonclinical-study-reports (leaf*,
    m4-2-study-reports?,
    m4-3-literature-references?) >
<!ATTLIST m4-nonclinical-study-reports %att; >
<!ELEMENT m4-2-study-reports (leaf*,
    m4-2-1-pharmacology?,
    m4-2-2-pharmacokinetics?,
    m4-2-3-toxicology?,
    m4-2-4-local-tolerance?,
    m4-2-5-other-toxicity-studies?) >
<!ATTLIST m4-2-study-reports %att; >
<!ELEMENT m4-2-1-pharmacology (leaf*,
    m4-2-1-1-primary-pharmacodynamics?,
    m4-2-1-2-secondary-pharmacodynamics?,
    m4-2-1-3-safety-pharmacology?,
    m4-2-1-4-pharmacodynamic-drug-interactions?) >
<!ATTLIST m4-2-1-pharmacology %att; >
<!ELEMENT m4-2-1-1-primary-pharmacodynamics ((leaf | node-extension)*) >
<!ATTLIST m4-2-1-1-primary-pharmacodynamics %att; >
<!ELEMENT m4-2-1-2-secondary-pharmacodynamics ((leaf | node-extension)*) >
<!ATTLIST m4-2-1-2-secondary-pharmacodynamics %att; >
<!ELEMENT m4-2-1-3-safety-pharmacology ((leaf | node-extension)*) >
<!ATTLIST m4-2-1-3-safety-pharmacology %att; >
<!ELEMENT m4-2-1-4-pharmacodynamic-drug-interactions ((leaf | node-extension)*) >
<!ATTLIST m4-2-1-4-pharmacodynamic-drug-interactions %att; >
<!ELEMENT m4-2-2-pharmacokinetics (leaf*,
    m4-2-2-1-analytical-methods-and-validation-reports?,
    m4-2-2-2-absorption?,
    m4-2-2-3-distribution?,
    m4-2-2-4-metabolism?,
    m4-2-2-5-excretion?,
    m4-2-2-6-pharmacokinetic-drug-interactions?,
    m4-2-2-7-other-pharmacokinetic-studies?) >
<!ATTLIST m4-2-2-pharmacokinetics %att; >
<!ELEMENT m4-2-2-1-analytical-methods-and-validation-reports ((leaf | node-extension)*) >
<!ATTLIST m4-2-2-1-analytical-methods-and-validation-reports %att; >
<!ELEMENT m4-2-2-2-absorption ((leaf | node-extension)*) >
<!ATTLIST m4-2-2-2-absorption %att; >
<!ELEMENT m4-2-2-3-distribution ((leaf | node-extension)*) >
<!ATTLIST m4-2-2-3-distribution %att; >
<!ELEMENT m4-2-2-4-metabolism ((leaf | node-extension)*) >
<!ATTLIST m4-2-2-4-metabolism %att; >
<!ELEMENT m4-2-2-5-excretion ((leaf | node-extension)*) >
<!ATTLIST m4-2-2-5-excretion %att; >
<!ELEMENT m4-2-2-6-pharmacokinetic-drug-interactions ((leaf | node-extension)*) >
<!ATTLIST m4-2-2-6-pharmacokinetic-drug-interactions %att; >
<!ELEMENT m4-2-2-7-other-pharmacokinetic-studies ((leaf | node-extension)*) >
<!ATTLIST m4-2-2-7-other-pharmacokinetic-studies %att; >

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<!ELEMENT m4-2-3-toxicology (leaf*,
    m4-2-3-1-single-dose-toxicity?,
    m4-2-3-2-repeat-dose-toxicity?,
    m4-2-3-3-genotoxicity?,
    m4-2-3-4-carcinogenicity?,
    m4-2-3-5-reproductive-and-developmental-
    toxicity?) >
<!ATTLIST m4-2-3-toxicology %att; >

<!ELEMENT m4-2-3-1-single-dose-toxicity ((leaf |
    node-extension)*) >
<!ATTLIST m4-2-3-1-single-dose-toxicity %att; >

<!ELEMENT m4-2-3-2-repeat-dose-toxicity ((leaf |
    node-extension)*) >
<!ATTLIST m4-2-3-2-repeat-dose-toxicity %att; >

<!ELEMENT m4-2-3-3-genotoxicity (leaf*,
    m4-2-3-3-1-in-vitro?,
    m4-2-3-3-2-in-vivo?) >
<!ATTLIST m4-2-3-3-genotoxicity %att; >

<!ELEMENT m4-2-3-3-1-in-vitro ((leaf | node-extension)*) >
<!ATTLIST m4-2-3-3-1-in-vitro %att; >

<!ELEMENT m4-2-3-3-2-in-vivo ((leaf | node-extension)*) >
<!ATTLIST m4-2-3-3-2-in-vivo %att; >

<!ELEMENT m4-2-3-4-carcinogenicity (leaf*,
    m4-2-3-4-1-long-term-studies?,
    m4-2-3-4-2-short-or-medium-term-studies?,
    m4-2-3-4-3-other-studies?) >
<!ATTLIST m4-2-3-4-carcinogenicity %att; >

<!ELEMENT m4-2-3-4-1-long-term-studies ((leaf |
    node-extension)*) >
<!ATTLIST m4-2-3-4-1-long-term-studies %att; >

<!ELEMENT m4-2-3-4-2-short-or-medium-term-
    studies ((leaf | node-extension)*) >
<!ATTLIST m4-2-3-4-2-short-or-medium-term-studies %att; >

<!ELEMENT m4-2-3-4-3-other-studies ((leaf |
    node-extension)*) >
<!ATTLIST m4-2-3-4-3-other-studies %att; >

<!ELEMENT m4-2-3-5-reproductive-and-
    developmental-toxicity (leaf*,
    m4-2-3-5-1-fertility-and-early-embryonic-
    development?,
    m4-2-3-5-2-embryo-fetal-development?,
    m4-2-3-5-3-prenatal-and-postnatal-development-
    including-maternal-function?,
    m4-2-3-5-4-studies-in-which-the-offspring-
    juvenile-animals-are-dosed-and-or-further-
    evaluated?) >
<!ATTLIST m4-2-3-5-reproductive-and-developmental-
    toxicity %att; >

<!ELEMENT m4-2-3-5-1-fertility-and-early-embryonic-
    development ((leaf | node-extension)*) >
<!ATTLIST m4-2-3-5-1-fertility-and-early-embryonic-
    development %att; >

<!ELEMENT m4-2-3-5-2-embryo-fetal-development ((leaf |
    node-extension)*) >
<!ATTLIST m4-2-3-5-2-embryo-fetal-development %att; >

<!ELEMENT m4-2-3-5-3-prenatal-and-postnatal-
    development-including-maternal-function
    ((leaf | node-extension)*) >
<!ATTLIST m4-2-3-5-3-prenatal-and-postnatal-
    development-including-maternal-function
    %att; >

<!ELEMENT m4-2-3-5-4-studies-in-which-the-offspring-
    juvenile-animals-are-dosed-and-or-further-
    evaluated ((leaf | node-extension)*) >
<!ATTLIST m4-2-3-5-4-studies-in-which-the-offspring-
    juvenile-animals-are-dosed-and-or-further-
    evaluated %att; >

<!ELEMENT m4-2-4-local-tolerance ((leaf |
    node-extension)*) >
<!ATTLIST m4-2-4-local-tolerance %att; >

<!ELEMENT m4-2-5-other-toxicity-studies (leaf*,
    m4-2-5-1-antigenicity?,
    m4-2-5-2-immunotoxicity?,
    m4-2-5-3-mechanistic-studies?,
    m4-2-5-4-dependence?,
    m4-2-5-5-metabolites?,
    m4-2-5-6-impurities?,
    m4-2-5-7-other?) >
<!ATTLIST m4-2-5-other-toxicity-studies %att; >

<!ELEMENT m4-2-5-1-antigenicity ((leaf |
    node-extension)*) >
<!ATTLIST m4-2-5-1-antigenicity %att; >

<!ELEMENT m4-2-5-2-immunotoxicity ((leaf |
    node-extension)*) >
<!ATTLIST m4-2-5-2-immunotoxicity %att; >

<!ELEMENT m4-2-5-3-mechanistic-studies ((leaf |
    node-extension)*) >
<!ATTLIST m4-2-5-3-mechanistic-studies %att; >

<!ELEMENT m4-2-5-4-dependence ((leaf |
    node-extension)*) >
<!ATTLIST m4-2-5-4-dependence %att; >

<!ELEMENT m4-2-5-5-metabolites ((leaf |
    node-extension)*) >
<!ATTLIST m4-2-5-5-metabolites %att; >

<!ELEMENT m4-2-5-6-impurities ((leaf |
    node-extension)*) >
<!ATTLIST m4-2-5-6-impurities %att; >

<!ELEMENT m4-2-5-7-other ((leaf | node-extension)*) >
<!ATTLIST m4-2-5-7-other %att; >

<!ELEMENT m4-3-literature-references ((leaf |
    node-extension)*) >
<!ATTLIST m4-3-literature-references %att; >

<!ELEMENT m5-clinical-study-reports (leaf*,
    m5-2-tabular-listing-of-all-
    clinical-studies?,
    m5-3-clinical-study-reports?,
    m5-4-literature-references?) >
<!ATTLIST m5-clinical-study-reports %att; >

<!ELEMENT m5-2-tabular-listing-of-all-clinical-
    studies ((leaf | node-extension)*) >
<!ATTLIST m5-2-tabular-listing-of-all-clinical-
    studies %att; >

<!ELEMENT m5-3-clinical-study-reports (leaf*,
    m5-3-1-reports-of-biopharmaceutic-
    studies?,
    m5-3-2-reports-of-studies-pertinent-
    to-pharmacokinetics-using-human-
    biomaterials?,
    m5-3-3-reports-of-human-pharmacokinetics-
    pk-studies?,
    m5-3-4-reports-of-human-pharmacodynamics-
    pd-studies?,

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    m5-3-5-reports-of-efficacy-and-
    safety-studies*,
    m5-3-6-reports-of-postmarketing-
    experience?,
    m5-3-7-case-report-forms-
    and-individual-patient-listings?) >
<!ATTLIST m5-3-clinical-study-reports %att; >

<!ELEMENT m5-3-1-reports-of-biopharmaceutic-
studies (leaf*,
m5-3-1-1-bioavailability-study-reports?,
m5-3-1-2-comparative-ba-and-bioequivalence-
study-reports?,
m5-3-1-3-in-vitro-in-vivo-correlation-
study-reports?,
m5-3-1-4-reports-of-bioanalytical-
and-analytical-methods-for-human-studies?) >
<!ATTLIST m5-3-1-reports-of-biopharmaceutic-studies %att; >

<!ELEMENT m5-3-1-1-bioavailability-study-
reports ((leaf | node-extension)* ) >
<!ATTLIST m5-3-1-1-bioavailability-study-reports %att; >

<!ELEMENT m5-3-1-2-comparative-ba-and-bioequivalence-
study-reports
((leaf | node-extension)* ) >
<!ATTLIST m5-3-1-2-comparative-ba-and-bioequivalence-
study-reports %att; >

<!ELEMENT m5-3-1-3-in-vitro-in-vivo-correlation-
study-reports ((leaf | node-extension)* ) >
<!ATTLIST m5-3-1-3-in-vitro-in-vivo-correlation-
study-reports %att; >

<!ELEMENT m5-3-1-4-reports-of-bioanalytical-and-
analytical-methods-for-human-studies
((leaf | node-extension)* ) >
<!ATTLIST m5-3-1-4-reports-of-bioanalytical-and-
analytical-methods-for-human-studies
%att; >

<!ELEMENT m5-3-2-reports-of-studies-pertinent-
to-pharmacokinetics-using-human-biomaterials
(leaf*,
m5-3-2-1-plasma-protein-binding-study-reports?,
m5-3-2-2-reports-of-hepatic-metabolism-
and-drug-interaction-studies?,
m5-3-2-3-reports-of-studies-using-other-
human-biomaterials?) >
<!ATTLIST m5-3-2-reports-of-studies-pertinent-
to-pharmacokinetics-using-human-biomaterials %att; >

<!ELEMENT m5-3-2-1-plasma-protein-binding-study-
reports ((leaf | node-extension)* ) >
<!ATTLIST m5-3-2-1-plasma-protein-binding-
study-reports %att; >

<!ELEMENT m5-3-2-2-reports-of-hepatic-metabolism-and-
drug-interaction-studies
((leaf | node-extension)* ) >
<!ATTLIST m5-3-2-2-reports-of-hepatic-metabolism-
and-drug-interaction-studies %att; >

<!ELEMENT m5-3-2-3-reports-of-studies-using-
other-human-biomaterials
((leaf | node-extension)* ) >
<!ATTLIST m5-3-2-3-reports-of-studies-using-
other-human-biomaterials %att; >

<!ELEMENT m5-3-3-reports-of-human-pharmacokinetics-
pk-studies
(leaf*,
m5-3-3-1-healthy-subject-pk-and-initial-
tolerability-study-reports?,
m5-3-3-2-patient-pk-and-initial-tolerability-
study-reports?,
m5-3-3-3-intrinsic-factor-pk-study-reports?,
m5-3-3-4-extrinsic-factor-pk-study-reports?,
m5-3-3-5-population-pk-study-reports?) >
<!ATTLIST m5-3-3-reports-of-human-pharmacokinetics-
pk-studies %att; >

<!ELEMENT m5-3-3-1-healthy-subject-pk-and-initial-
tolerability-study-reports
((leaf | node-extension)* ) >
<!ATTLIST m5-3-3-1-healthy-subject-pk-and-initial-
tolerability-study-reports %att; >

<!ELEMENT m5-3-3-2-patient-pk-and-initial-tolerability-
study-reports
((leaf | node-extension)* ) >
<!ATTLIST m5-3-3-2-patient-pk-and-initial-
tolerability-study-reports %att; >

<!ELEMENT m5-3-3-3-intrinsic-factor-pk-
study-reports ((leaf | node-extension)* ) >
<!ATTLIST m5-3-3-3-intrinsic-factor-pk-study-reports %att; >

<!ELEMENT m5-3-3-4-extrinsic-factor-pk-
study-reports ((leaf | node-extension)* ) >
<!ATTLIST m5-3-3-4-extrinsic-factor-pk-study-reports %att; >

<!ELEMENT m5-3-3-5-population-pk-study-reports ((leaf |
node-extension)* ) >
<!ATTLIST m5-3-3-5-population-pk-study-reports %att; >

<!ELEMENT m5-3-4-reports-of-human-pharmacodynamics-pd-studies
(leaf*,
m5-3-4-1-healthy-subject-pd-and-pk-
pd-study-reports?,
m5-3-4-2-patient-pd-and-pk-pd-
study-reports?) >
<!ATTLIST m5-3-4-reports-of-human-pharmacodynamics-
pd-studies %att; >

<!ELEMENT m5-3-4-1-healthy-subject-pd-and-pk-pd-
study-reports
((leaf | node-extension)* ) >
<!ATTLIST m5-3-4-1-healthy-subject-pd-and-pk-pd-
study-reports %att; >

<!ELEMENT m5-3-4-2-patient-pd-and-pk-pd-
study-reports ((leaf | node-extension)* ) >
<!ATTLIST m5-3-4-2-patient-pd-and-pk-pd-study-reports %att; >

<!ELEMENT m5-3-5-reports-of-efficacy-and-safety-
studies (leaf*,
m5-3-5-1-study-reports-of-controlled-
clinical-studies-pertinent-to-the-
claimed-indication?,
m5-3-5-2-study-reports-of-uncontrolled-
clinical-studies?,
m5-3-5-3-reports-of-analyses-of-data-from-
more-than-one-study?,
m5-3-5-4-other-study-reports? ) >
<!ATTLIST m5-3-5-reports-of-efficacy-and-
safety-studies %att;
indication CDATA #IMPLIED >

<!ELEMENT m5-3-5-1-study-reports-of-
controlled-clinical-studies-pertinent-to-
the-claimed-indication ((leaf |
node-extension)* ) >
<!ATTLIST m5-3-5-1-study-reports-of-
controlled-clinical-studies-pertinent-to-
the-claimed-indication %att; >

<!ELEMENT m5-3-5-2-study-reports-of-uncontrolled-
clinical-studies ((leaf | node-extension)* ) >
<!ATTLIST m5-3-5-2-study-reports-of-uncontrolled-
clinical-studies %att; >

```

```
<!ELEMENT m5-3-5-3-reports-of-analyses-of-data-from-  
more-than-one-study  
((leaf | node-extension)*) >  
<!ATTLIST m5-3-5-3-reports-of-analyses-of-  
data-from-more-than-one-study %att; >  
  
<!ELEMENT m5-3-5-4-other-study-reports ((leaf |  
node-extension)*) >  
<!ATTLIST m5-3-5-4-other-study-reports %att; >  
  
<!ELEMENT m5-3-6-reports-of-postmarketing-  
experience ((leaf | node-extension)*) >
```

```
<!ATTLIST m5-3-6-reports-of-postmarketing-experience %att; >  
  
<!ELEMENT m5-3-7-case-report-forms-and-individual-  
patient-listings  
((leaf | node-extension)*) >  
<!ATTLIST m5-3-7-case-report-forms-and-  
individual-patient-listings %att; >  
  
<!ELEMENT m5-4-literature-references ((leaf |  
node-extension)*) >  
<!ATTLIST m5-4-literature-references %att; >
```

Appendix 9: Glossary

The intended content of this section is the definition of terms used in the set of documentation associated with the eCTD.

Architecture: A general term for the design and construction of computer systems, including technical infrastructure, information (data), and applications.

ASCII: American Standard Code for Information Interchange. A specification for representing text as computer-readable information.

Browser: A program that allows the user to read hypertext, to view contents of Web pages, and to navigate from one page to another; for example, Microsoft Internet Explorer and Microsoft Edge, Google Chrome, and Mozilla Firefox.

Common Technical Document (CTD): A harmonized format for a regulatory dossier that is considered acceptable in Japan, Europe, the United States, and Canada.

Decryption: Reversing encryption.

Directory (see also Folder): The operating system method of organizing and providing access to individual files. Also called a Folder.

DTD: Document Type Definition. A hierarchical organization or representation of the information contents of a document utilized by SGML or XML.

eCTD: The electronic format of the ICH Common Technical Document.

Encryption: The process of reversibly confusing text or data using a secret formula.

EWG: Expert Working Group.

Folder (see also Directory): The operating system method of organizing and providing access to individual files. Also called a Directory.

HTML: Hypertext Markup Language. Commonly used to format Web pages.

Hypertext: A system that enables links to be established between specific words or figures in a document to other text, tables, or images, allowing quick access to the linked items (such as on the World Wide Web).

ICH: International Conference on Harmonisation of Technical Requirements for Registration of Pharmaceuticals for Human Use.

Infrastructure: The basic support services for computing: the hardware, operating system, and network on which applications and data are stored and on which the database management systems run.

Internet: The world-wide network of computers for accessing, sending, sharing, and transferring information between sites at different locations. It is uncontrolled and unadministered, and when you connect to the Internet, you actually become a part of it.

ISO: International Standards Organization. Founded in 1946, it is the principal international standards-setting organization.

Leaf: The eCTD DTD XML element that describes the content to be provided. The leaf consists of a file and the metadata associated with that file. Such files are placed in a directory structure that is similar to branches of a tree.

LOGICAL DOCUMENT

One or more CTD table of contents sections that together contain the minimum amount of information to be exchanged. Ideally, this is a single physical file.

M2: Multidisciplinary Group 2 (Electronic Standards for the Transfer of Regulatory Information [ESTRI]) of ICH.

Network: A communication system that connects different computers and enables them to share peripherals such as printers, disk drives, and databases. Users (clients) can access applications and databases connected by the network.

Node Extension: The extension of the definition of an element beneath a defined table of contents tag.

PDF: Portable Document Format. A proprietary (Adobe Inc.) de facto standard for the electronic transfer of documents.

SGML: Standardized Generalized Markup Language. An ISO standard for describing structured information in a platform-independent manner.

Software or Software Application: Computer programs or applications. There are two principle types: system software, for example, a computer operating system or a utility program (sometimes called a driver) for printing, and application software, for example, an accounts package or computer-assisted design (CAD) program.

Standard: A technical specification that addresses a business requirement, has been implemented in viable commercial products, and to the extent practical, complies with recognized standards organizations such as ISO.

Web page: Any page on the World Wide Web. The page usually offers the reader the ability to jump to other topics of interest.

World Wide Web (WWW): Segment of the Internet offering point and click (hypertext) access to information as text, image, or sound on an enormous number of topics from around the world.

XML: Extensible Markup Language. An ISO standard for describing structured information in a platform-independent manner.



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Appendix A: GMP Audit Template

The Guidelines for cGMP Compliance:

- https://ec.europa.eu/health/sites/health/files/files/eudralex/vol-4/vol4-chap1_2013-01_en.pdf
- https://ec.europa.eu/health/sites/health/files/files/eudralex/vol-4/2014-03_chapter_2.pdf
- https://ec.europa.eu/health/sites/health/files/files/eudralex/vol-4/chapter4_01-2011_en.pdf
- https://ec.europa.eu/health/sites/health/files/files/eudralex/vol-4/2014-11_vol4_chapter_6.pdf
- https://ec.europa.eu/health/sites/health/files/files/eudralex/vol-4/2014-08_gmp_chap8.pdf
- https://ec.europa.eu/health/sites/health/files/files/eudralex/vol-4/pdfs-en/cap9_en.pdf
- https://ec.europa.eu/health/sites/health/files/files/eudralex/vol-4/2014-08_gmp_part1.pdf
- https://ec.europa.eu/health/sites/health/files/files/eudralex/vol-4/2011_site_master_file_en.pdf
- http://www.ema.europa.eu/docs/en_GB/document_library/Scientific_guideline/2009/09/WC500002873.pdf
- http://www.ema.europa.eu/docs/en_GB/document_library/Scientific_guideline/2009/09/WC500002871.pdf
- https://ec.europa.eu/health/sites/health/files/files/eudralex/vol-4/mra_batch-certificate_05-2011.pdf
- https://ec.europa.eu/health/sites/health/files/files/gmp/2013_01_28_template.pdf
- http://www.ema.europa.eu/docs/en_GB/document_library/Scientific_guideline/2014/11/WC500177735.pdf
- [http://eur-lex.europa.eu/legal-content/EN/TXT/PDF/?uri=CELEX:52015XC0321\(02\)&from=EN](http://eur-lex.europa.eu/legal-content/EN/TXT/PDF/?uri=CELEX:52015XC0321(02)&from=EN)
- https://ec.europa.eu/health/sites/health/files/files/eudralex/vol-4/template_imp_batch_certification.docx
- https://ec.europa.eu/health/sites/health/files/files/eudralex/vol-4/2008_11_25_gmp-an1_en.pdf
- https://ec.europa.eu/health/sites/health/files/files/eudralex/vol-4/pdfs-en/2018_annex2_en.pdf
- https://ec.europa.eu/health/sites/health/files/files/eudralex/vol-4/annex11_01-2011_en.pdf
- https://ec.europa.eu/health/sites/health/files/files/eudralex/vol-4/2015-10_annex15.pdf
- https://ec.europa.eu/health/sites/health/files/files/eudralex/vol-4/v4_an16_201510_en.pdf
- https://ec.europa.eu/health/sites/health/files/files/eudralex/vol-4/pdfs-en/2018_annex17_en.pdf
- https://ec.europa.eu/health/sites/health/files/files/eudralex/vol-4/pdfs-en/2005_12_14_annex19_en.pdf
- https://ec.europa.eu/health/sites/health/files/files/eudralex/vol-4/pdfs-en/2018_annex17_en.pdf

	Compliance 1 2 3 ^a	Remarks	EU-Guide
1 PERSONNEL			
1.1 Qualified personnel available?	<input type="checkbox"/> <input type="checkbox"/> <input type="checkbox"/>		2.1
1.2 Organization charts available?	<input type="checkbox"/> <input type="checkbox"/> <input type="checkbox"/>		2.2
1.3 Job descriptions available?	<input type="checkbox"/> <input type="checkbox"/> <input type="checkbox"/>		2.2
1.4 Responsibilities clearly defined?	<input type="checkbox"/> <input type="checkbox"/> <input type="checkbox"/>		2.2
Key Personnel			
Responsible persons designated for			
1.5 • Production?	<input type="checkbox"/> <input type="checkbox"/> <input type="checkbox"/>		2.5
1.6 • Quality control?	<input type="checkbox"/> <input type="checkbox"/> <input type="checkbox"/>		2.6
1.7 Are they independent of each other?	<input type="checkbox"/> <input type="checkbox"/> <input type="checkbox"/>		2.3
1.8 Are joint functions clearly defined?	<input type="checkbox"/> <input type="checkbox"/> <input type="checkbox"/>		2.7
1.9 Are the responsible persons working full time?	<input type="checkbox"/> <input type="checkbox"/> <input type="checkbox"/>		2.3
1.10 Do the responsible persons have the appropriate formulation, knowledge, and experience?	<input type="checkbox"/> <input type="checkbox"/> <input type="checkbox"/>		2.1/2.2
1.11 Do the relevant departments have enough personnel?	<input type="checkbox"/> <input type="checkbox"/> <input type="checkbox"/>		2.1
Training			
1.12 Continuous training programs for the production and QC staff?	<input type="checkbox"/> <input type="checkbox"/> <input type="checkbox"/>		2.8
1.13 Initial job training for all employees?	<input type="checkbox"/> <input type="checkbox"/> <input type="checkbox"/>		2.9
1.14 Teaching aids (videos, slides, and brochures) available?	<input type="checkbox"/> <input type="checkbox"/> <input type="checkbox"/>		2.9
1.15 External training courses for the staff?	<input type="checkbox"/> <input type="checkbox"/> <input type="checkbox"/>		2.9
1.16 Training records?	<input type="checkbox"/> <input type="checkbox"/> <input type="checkbox"/>		2.9
1.17 Special training in sensitive areas (sterile production and toxic substances)?	<input type="checkbox"/> <input type="checkbox"/> <input type="checkbox"/>		2.10
1.18 Information for visitors to the manufacturing area?	<input type="checkbox"/> <input type="checkbox"/> <input type="checkbox"/>		2.11
2 HYGIENE			
Personnel Hygiene			
Detailed written hygiene programs for			
2.1 • Clothing?	<input type="checkbox"/> <input type="checkbox"/> <input type="checkbox"/>		2.13
2.2 • Use of washrooms?	<input type="checkbox"/> <input type="checkbox"/> <input type="checkbox"/>		2.13
2.3 • Behavior in production areas?	<input type="checkbox"/> <input type="checkbox"/> <input type="checkbox"/>		2.13
2.4 Precautions against sick personnel or personnel with open wounds in production?	<input type="checkbox"/> <input type="checkbox"/> <input type="checkbox"/>		2.14
Medical examination:			
2.5 • On recruitment?	<input type="checkbox"/> <input type="checkbox"/> <input type="checkbox"/>		2.15
2.6 • Regular reexaminations?	<input type="checkbox"/> <input type="checkbox"/> <input type="checkbox"/>		2.15
Duty of notification after			
2.7 • Trips to tropical countries?	<input type="checkbox"/> <input type="checkbox"/> <input type="checkbox"/>		2.15
2.8 • Cases of contagious illness in the family?	<input type="checkbox"/> <input type="checkbox"/> <input type="checkbox"/>		2.15
2.9 Instructions for appropriate working clothes?	<input type="checkbox"/> <input type="checkbox"/> <input type="checkbox"/>		2.16
2.10 Absence of food and drink (chewing gum!) in the working area?	<input type="checkbox"/> <input type="checkbox"/> <input type="checkbox"/>		2.17
2.11 Measures against contact with open product (gloves etc.)?	<input type="checkbox"/> <input type="checkbox"/> <input type="checkbox"/>		2.18
2.12 Instructions for hand washing in production?	<input type="checkbox"/> <input type="checkbox"/> <input type="checkbox"/>		2.19
2.13 Change of clothes when entering and leaving the production area?	<input type="checkbox"/> <input type="checkbox"/> <input type="checkbox"/>		5.19
2.14 Change rooms and toilets easily within reach?	<input type="checkbox"/> <input type="checkbox"/> <input type="checkbox"/>		3.31
2.15 Toilets and restrooms sufficiently separated from production areas?	<input type="checkbox"/> <input type="checkbox"/> <input type="checkbox"/>		3.30/3.31
2.16 Workshops separate from production areas?	<input type="checkbox"/> <input type="checkbox"/> <input type="checkbox"/>		3.32
2.17 Laboratory animal rooms totally segregated from production rooms?	<input type="checkbox"/> <input type="checkbox"/> <input type="checkbox"/>		3.33
3 WAREHOUSE			
Rooms, General			
3.1 Suitable for the intended use?	<input type="checkbox"/> <input type="checkbox"/> <input type="checkbox"/>		3
3.2 • Adequate size?	<input type="checkbox"/> <input type="checkbox"/> <input type="checkbox"/>		3
3.3 • Clean?	<input type="checkbox"/> <input type="checkbox"/> <input type="checkbox"/>		3

(Continued)

		Compliance 1 2 3 ^a	Remarks	EU-Guide
3.4	Located and designed to exclude external contamination?	<input type="checkbox"/> <input type="checkbox"/> <input type="checkbox"/>		3.1
3.5	Appropriate level of maintenance?	<input type="checkbox"/> <input type="checkbox"/> <input type="checkbox"/>		3.2
3.6	Maintenance works possible without contamination risk?	<input type="checkbox"/> <input type="checkbox"/> <input type="checkbox"/>		3.2
3.7	Appropriate lighting and air-conditioning?	<input type="checkbox"/> <input type="checkbox"/> <input type="checkbox"/>		3.3
3.8	Recording of temperature and humidity?	<input type="checkbox"/> <input type="checkbox"/> <input type="checkbox"/>		3.3
3.9	Protection against the entry of insects or other animals?	<input type="checkbox"/> <input type="checkbox"/> <input type="checkbox"/>		3.4
3.10	Controlled access for authorized personnel only?	<input type="checkbox"/> <input type="checkbox"/> <input type="checkbox"/>		3.5
	Rooms, Special Requirements			
	Type of warehousing:			
3.11	Separation of goods sufficient?	<input type="checkbox"/> <input type="checkbox"/> <input type="checkbox"/>		3.18
3.12	Provision for different storage temperatures?	<input type="checkbox"/> <input type="checkbox"/> <input type="checkbox"/>		3.19
3.13	Goods receiving zone weather protected?	<input type="checkbox"/> <input type="checkbox"/> <input type="checkbox"/>		3.20
3.14	Cleaning zone for incoming goods?	<input type="checkbox"/> <input type="checkbox"/> <input type="checkbox"/>		3.20
3.15	Separate quarantine area with controlled access?	<input type="checkbox"/> <input type="checkbox"/> <input type="checkbox"/>		3.21
3.16	Separate, protected sampling area?	<input type="checkbox"/> <input type="checkbox"/> <input type="checkbox"/>		3.22
	Separate and safe storage of			
3.17	• Returned goods?	<input type="checkbox"/> <input type="checkbox"/> <input type="checkbox"/>		3.23
3.18	• Rejected goods?	<input type="checkbox"/> <input type="checkbox"/> <input type="checkbox"/>		3.23
3.19	Separate and safe storage of highly active, toxic, or dangerous substances?	<input type="checkbox"/> <input type="checkbox"/> <input type="checkbox"/>		3.24
3.20	Safe storage of narcotics?	<input type="checkbox"/> <input type="checkbox"/> <input type="checkbox"/>		3.24
3.21	Safe storage of printed packaging materials?	<input type="checkbox"/> <input type="checkbox"/> <input type="checkbox"/>		3.25
3.22	Security measurements against theft?	<input type="checkbox"/> <input type="checkbox"/> <input type="checkbox"/>		3.25
3.23	Smoke detectors?	<input type="checkbox"/> <input type="checkbox"/> <input type="checkbox"/>		3.25
3.24	Fire extinguishing system?	<input type="checkbox"/> <input type="checkbox"/> <input type="checkbox"/>		3.25
	Operations			
3.25	Reception, sampling, and labeling according to written procedures?	<input type="checkbox"/> <input type="checkbox"/> <input type="checkbox"/>		5.2
3.26	Is a sampling plan available?	<input type="checkbox"/> <input type="checkbox"/> <input type="checkbox"/>		Suppl. 4
3.27	Cleaning of incoming containers?	<input type="checkbox"/> <input type="checkbox"/> <input type="checkbox"/>		5.3
3.28	Investigation and recording of damaged deliveries?	<input type="checkbox"/> <input type="checkbox"/> <input type="checkbox"/>		5.4
3.29	First In First Out (FIFO) principle?	<input type="checkbox"/> <input type="checkbox"/> <input type="checkbox"/>		5.7
3.30	Inventory system?	<input type="checkbox"/> <input type="checkbox"/> <input type="checkbox"/>		5.8
3.31	Can the location of materials be detected at all times?	<input type="checkbox"/> <input type="checkbox"/> <input type="checkbox"/>		
3.32	Incoming goods: containers and seals intact?	<input type="checkbox"/> <input type="checkbox"/> <input type="checkbox"/>		5.27
3.33	Incoming goods: conformity with bill of delivery?	<input type="checkbox"/> <input type="checkbox"/> <input type="checkbox"/>		5.27
	Labeling of incoming containers with			
3.34	• Internal name and code?	<input type="checkbox"/> <input type="checkbox"/> <input type="checkbox"/>		5.29
3.35	• Allocated batch number?	<input type="checkbox"/> <input type="checkbox"/> <input type="checkbox"/>		5.29
3.36	• Quarantine status?	<input type="checkbox"/> <input type="checkbox"/> <input type="checkbox"/>		5.29
3.37	• Expiry date or reanalysis date?	<input type="checkbox"/> <input type="checkbox"/> <input type="checkbox"/>		5.29
3.38	Identity test for each incoming container?	<input type="checkbox"/> <input type="checkbox"/> <input type="checkbox"/>		5.29
3.39	Are the sampled containers marked?	<input type="checkbox"/> <input type="checkbox"/> <input type="checkbox"/>		5.30
3.40	Are reference samples taken?	<input type="checkbox"/> <input type="checkbox"/> <input type="checkbox"/>		5.30
3.41	Safe storage of printed packaging materials?	<input type="checkbox"/> <input type="checkbox"/> <input type="checkbox"/>		5.41
3.42	Lot tracing of all packaging materials possible?	<input type="checkbox"/> <input type="checkbox"/> <input type="checkbox"/>		5.42
3.43	Are excessive packaging materials destroyed?	<input type="checkbox"/> <input type="checkbox"/> <input type="checkbox"/>		5.43
	Release of starting materials by physical/inventory checks on raw materials, packaging materials, and finished goods:			
Item	Stocks: Physical	Stocks: Inventory	Storage conditions	

(Continued)

	Compliance 1 2 3 ^a	Remarks	EU-Guide
4 DISPENSING/ASSEMBLING			
Rooms, General			
4.1 Suitable for the intended use?	<input type="checkbox"/> <input type="checkbox"/> <input type="checkbox"/>		3
4.2 • Adequate size?	<input type="checkbox"/> <input type="checkbox"/> <input type="checkbox"/>		3
4.3 • Clean?	<input type="checkbox"/> <input type="checkbox"/> <input type="checkbox"/>		3
4.4 Located and designed to exclude external contamination?	<input type="checkbox"/> <input type="checkbox"/> <input type="checkbox"/>		3.1
4.5 Appropriate level of maintenance?	<input type="checkbox"/> <input type="checkbox"/> <input type="checkbox"/>		3.2
4.6 Maintenance works possible without contamination risk?	<input type="checkbox"/> <input type="checkbox"/> <input type="checkbox"/>		3.2
4.7 Appropriate lighting and air-conditioning?	<input type="checkbox"/> <input type="checkbox"/> <input type="checkbox"/>		3.3
4.8 Recording of temperature and humidity?	<input type="checkbox"/> <input type="checkbox"/> <input type="checkbox"/>		
4.9 Protection against the entry of insects or other animals?	<input type="checkbox"/> <input type="checkbox"/> <input type="checkbox"/>		3.4
4.10 Controlled access for authorized personnel only?	<input type="checkbox"/> <input type="checkbox"/> <input type="checkbox"/>		3.5
Rooms, Special Requirements			
4.11 Segregated from production and warehouse?	<input type="checkbox"/> <input type="checkbox"/> <input type="checkbox"/>		3.13
4.12 Separate weighing cabins?	<input type="checkbox"/> <input type="checkbox"/> <input type="checkbox"/>		3.13
4.13 Separate air handling unit (AHU) for each cabin?	<input type="checkbox"/> <input type="checkbox"/> <input type="checkbox"/>		3.12
Air pressure gradient from weighing cabin → corridor:			3.3
4.14 Dust extraction systems available?	<input type="checkbox"/> <input type="checkbox"/> <input type="checkbox"/>		5.11
Operations			
4.15 Balances regularly calibrated?	<input type="checkbox"/> <input type="checkbox"/> <input type="checkbox"/>		3.41
4.16 Only pharmaceutical raw materials in this area?	<input type="checkbox"/> <input type="checkbox"/> <input type="checkbox"/>		5.17
4.17 Check on remains from previous materials before entering of new materials into a weighing cabin?	<input type="checkbox"/> <input type="checkbox"/> <input type="checkbox"/>		5.9/5.35
4.18 Only one material in one cabin?	<input type="checkbox"/> <input type="checkbox"/> <input type="checkbox"/>		5.9
4.19 Are dispensed materials correctly labeled?	<input type="checkbox"/> <input type="checkbox"/> <input type="checkbox"/>		5.29
4.20 Only released products in the dispensing?	<input type="checkbox"/> <input type="checkbox"/> <input type="checkbox"/>		5.31
4.21 Cleaning SOPs for the dispensing?	<input type="checkbox"/> <input type="checkbox"/> <input type="checkbox"/>		4.28
4.22 Previously dispensed material recorded on weighing protocol?	<input type="checkbox"/> <input type="checkbox"/> <input type="checkbox"/>		4.8
4.23 Safety measures against mix-ups during assembling (e.g., cage pallets)?	<input type="checkbox"/> <input type="checkbox"/> <input type="checkbox"/>		5.32/5.34
5 SOLIDS MANUFACTURING			
Field of activity:			
• Granulation	<input type="checkbox"/>		
• Compression	<input type="checkbox"/>		
• Encapsulation	<input type="checkbox"/>		
• Film and sugar coating	<input type="checkbox"/>		
• Visual inspection (capsules, tablets, etc.)	<input type="checkbox"/>		
• Premix (human)	<input type="checkbox"/>		
Rooms, General			
5.1 Suitable for the intended use?	<input type="checkbox"/> <input type="checkbox"/> <input type="checkbox"/>		3
5.2 • Adequate size?	<input type="checkbox"/> <input type="checkbox"/> <input type="checkbox"/>		3
5.3 • Clean?	<input type="checkbox"/> <input type="checkbox"/> <input type="checkbox"/>		3
5.4 Located and designed to exclude external contamination?	<input type="checkbox"/> <input type="checkbox"/> <input type="checkbox"/>		3.1
5.5 Appropriate level of maintenance?	<input type="checkbox"/> <input type="checkbox"/> <input type="checkbox"/>		3.2
5.6 Maintenance works possible without contamination risk?	<input type="checkbox"/> <input type="checkbox"/> <input type="checkbox"/>		3.2
5.7 Appropriate lighting and air-conditioning?	<input type="checkbox"/> <input type="checkbox"/> <input type="checkbox"/>		3.3
5.8 Recording of temperature and humidity?	<input type="checkbox"/> <input type="checkbox"/> <input type="checkbox"/>		
5.9 Protection against the entry of insects or other animals?	<input type="checkbox"/> <input type="checkbox"/> <input type="checkbox"/>		3.4
5.10 Controlled access for authorized personnel only?	<input type="checkbox"/> <input type="checkbox"/> <input type="checkbox"/>		3.5
Rooms, special requirements			
5.11 Separate manufacturing area for penicillins/cephalosporins or highly sensitizing substances?	<input type="checkbox"/> <input type="checkbox"/> <input type="checkbox"/>		3.6
5.12 Only for processing of pharmaceuticals?	<input type="checkbox"/> <input type="checkbox"/> <input type="checkbox"/>		3.6
5.13 Logical flow of materials?	<input type="checkbox"/> <input type="checkbox"/> <input type="checkbox"/>		3.7

(Continued)

		Compliance 1 2 3 ^a	Remarks	EU-Guide
5.14	Walls, floors, and ceilings: smooth surface and free of cracks?	<input type="checkbox"/> <input type="checkbox"/> <input type="checkbox"/>		3.8
5.15	Easy cleaning possible?	<input type="checkbox"/> <input type="checkbox"/> <input type="checkbox"/>		3.10
5.16	Adequate drains with traps and grilles?	<input type="checkbox"/> <input type="checkbox"/> <input type="checkbox"/>		3.11
5.17	Appropriate air handling system? Air pressure gradient from working bay → corridor: Classification according to EC guide?	<input type="checkbox"/> <input type="checkbox"/> <input type="checkbox"/>		3.12
5.18	Appropriate dust extraction system?	<input type="checkbox"/> <input type="checkbox"/> <input type="checkbox"/>		3.14
5.19	Appropriate lighting?	<input type="checkbox"/> <input type="checkbox"/> <input type="checkbox"/>		3.16
5.20	Separate rest rooms?	<input type="checkbox"/> <input type="checkbox"/> <input type="checkbox"/>		3.30
5.21	Changing rooms designed to avoid contamination?	<input type="checkbox"/> <input type="checkbox"/> <input type="checkbox"/>		3.31
5.22	Toilets segregated from manufacturing areas?	<input type="checkbox"/> <input type="checkbox"/> <input type="checkbox"/>		3.31
	Equipment			
5.23	Suitable for the intended use?	<input type="checkbox"/> <input type="checkbox"/> <input type="checkbox"/>		3.34
5.24	Well maintained?	<input type="checkbox"/> <input type="checkbox"/> <input type="checkbox"/>		3.34
5.25	Written and validated cleaning procedures?	<input type="checkbox"/> <input type="checkbox"/> <input type="checkbox"/>		3.36
5.26	Maintenance without contamination risk (separate area)?	<input type="checkbox"/> <input type="checkbox"/> <input type="checkbox"/>		3.35
5.27	Equipment in contact with product: suitable materials quality?	<input type="checkbox"/> <input type="checkbox"/> <input type="checkbox"/>		3.39
5.28	Machinery equipped with measuring and control devices?	<input type="checkbox"/> <input type="checkbox"/> <input type="checkbox"/>		3.40
5.29	Calibration at fixed intervals according to written procedures?	<input type="checkbox"/> <input type="checkbox"/> <input type="checkbox"/>		3.41
5.30	Calibration records available?	<input type="checkbox"/> <input type="checkbox"/> <input type="checkbox"/>		3.41
5.31	Contents and flow direction marked on pipes?	<input type="checkbox"/> <input type="checkbox"/> <input type="checkbox"/>		3.42
5.32	Pipes for distilled and demineralized water regularly monitored and sanitized?	<input type="checkbox"/> <input type="checkbox"/> <input type="checkbox"/>		3.43
5.33	Not functioning equipment in the production area (if yes: clearly marked)?	Y N		3.44
5.34	Status of cleanliness indicated?	<input type="checkbox"/> <input type="checkbox"/> <input type="checkbox"/>		5.13
5.35	Previous product indicated?	<input type="checkbox"/> <input type="checkbox"/> <input type="checkbox"/>		5.13
	Operations			
5.36	Are written and validated procedures for all manufacturing steps available?	<input type="checkbox"/> <input type="checkbox"/> <input type="checkbox"/>		5.2
5.37	Are all manufacturing steps recorded with actual parameters?	<input type="checkbox"/> <input type="checkbox"/> <input type="checkbox"/>		5.2
5.38	Check of each single container of the starting materials (contents, weight, and identity)?	<input type="checkbox"/> <input type="checkbox"/> <input type="checkbox"/>		5.3
5.39	Limits for yields?	<input type="checkbox"/> <input type="checkbox"/> <input type="checkbox"/>		5.8
5.40	Only one batch of one product processed?	<input type="checkbox"/> <input type="checkbox"/> <input type="checkbox"/>		5.9
5.41	Protection against microbial contamination?	<input type="checkbox"/> <input type="checkbox"/> <input type="checkbox"/>		5.10
5.42	Appropriate measures against generation of dust (e.g., closed systems)?	<input type="checkbox"/> <input type="checkbox"/> <input type="checkbox"/>		5.11
	Correct labeling of containers, materials, equipment, and rooms with			5.12
5.43	• Product name and batch no.?	<input type="checkbox"/> <input type="checkbox"/> <input type="checkbox"/>		5.12
5.44	• Quarantine status?	<input type="checkbox"/> <input type="checkbox"/> <input type="checkbox"/>		5.12
5.45	Deviations from standard procedures recorded and signed by the supervisor?	<input type="checkbox"/> <input type="checkbox"/> <input type="checkbox"/>		5.14
5.46	Special procedures for the production of antibiotics, hormones, etc.?	<input type="checkbox"/> <input type="checkbox"/> <input type="checkbox"/>		5.19
5.47	• Campaign production?	<input type="checkbox"/> <input type="checkbox"/> <input type="checkbox"/>		5.19
5.48	• Special monitoring?	<input type="checkbox"/> <input type="checkbox"/> <input type="checkbox"/>		5.19
5.49	• Validated decontamination procedure?	<input type="checkbox"/> <input type="checkbox"/> <input type="checkbox"/>		5.19
5.50	Double check on weight?	<input type="checkbox"/> <input type="checkbox"/> <input type="checkbox"/>		5.34
5.51	Line clearance before start of production?	<input type="checkbox"/> <input type="checkbox"/> <input type="checkbox"/>		5.35
5.52	Investigation of deviations in yields?	<input type="checkbox"/> <input type="checkbox"/> <input type="checkbox"/>		5.39

(Continued)

		Compliance 1 2 3 ^a		Remarks	EU-Guide
5.53	Validated procedures for reworking of rejected batches?	<input type="checkbox"/> <input type="checkbox"/> <input type="checkbox"/>			5.62
5.54	Detailed procedures for the addition of previous batches?	<input type="checkbox"/> <input type="checkbox"/> <input type="checkbox"/>			5.63
5.55	Special release procedure (QA) for those batches?	<input type="checkbox"/> <input type="checkbox"/> <input type="checkbox"/>			5.64
5.56	Use of protective clothing (hair cover, shoes, masks, and gloves)?	<input type="checkbox"/> <input type="checkbox"/> <input type="checkbox"/>			2.16
5.57	Clothing regulation for visitors?	<input type="checkbox"/> <input type="checkbox"/> <input type="checkbox"/>			2.11
	In-Process Control (IPC)				5.38
	Who performs IPC?				
5.58	Are IPC methods approved by QC?	<input type="checkbox"/> <input type="checkbox"/> <input type="checkbox"/>			6.18
	Performance of IPCs:	During start-up?		Frequency	Automatic data recording?
		Yes	No		Yes No
	Tablets/Kernels				
5.59	Individual weights	<input type="checkbox"/> <input type="checkbox"/>			<input type="checkbox"/> <input type="checkbox"/>
5.60	Disintegration	<input type="checkbox"/> <input type="checkbox"/>			<input type="checkbox"/> <input type="checkbox"/>
5.61	Thickness	<input type="checkbox"/> <input type="checkbox"/>			<input type="checkbox"/> <input type="checkbox"/>
5.62	Hardness	<input type="checkbox"/> <input type="checkbox"/>			<input type="checkbox"/> <input type="checkbox"/>
5.63	Friability/Abrasion	<input type="checkbox"/> <input type="checkbox"/>			<input type="checkbox"/> <input type="checkbox"/>
	Sugar-/Film-Coated Tablets				
5.64	Weights	<input type="checkbox"/> <input type="checkbox"/>			<input type="checkbox"/> <input type="checkbox"/>
5.65	Disintegration	<input type="checkbox"/> <input type="checkbox"/>			<input type="checkbox"/> <input type="checkbox"/>
5.66	Residual absolute humidity	<input type="checkbox"/> <input type="checkbox"/>			<input type="checkbox"/> <input type="checkbox"/>
	Capsules				
5.67	Individual weights	<input type="checkbox"/> <input type="checkbox"/>			<input type="checkbox"/> <input type="checkbox"/>
5.68	Disintegration	<input type="checkbox"/> <input type="checkbox"/>			<input type="checkbox"/> <input type="checkbox"/>
	Validation				
5.69	Validation according to fixed procedures?	<input type="checkbox"/> <input type="checkbox"/> <input type="checkbox"/>			5.21
5.70	New procedures released only after validation?	<input type="checkbox"/> <input type="checkbox"/> <input type="checkbox"/>			5.22
	Validation of changes of				
5.71	• Processes?	<input type="checkbox"/> <input type="checkbox"/> <input type="checkbox"/>			5.23
5.72	• Starting materials?	<input type="checkbox"/> <input type="checkbox"/> <input type="checkbox"/>			5.23
5.73	• Equipment?	<input type="checkbox"/> <input type="checkbox"/> <input type="checkbox"/>			5.23
5.74	Revalidation at fixed intervals?	<input type="checkbox"/> <input type="checkbox"/> <input type="checkbox"/>			5.24
5.75	Procedures for the retrospective validation of old procedures?	<input type="checkbox"/> <input type="checkbox"/> <input type="checkbox"/>			
6	LIQUIDS MANUFACTURING				
	Operations carried out:				
	• Dispensing (if different from solid)	<input type="checkbox"/> <input type="checkbox"/> <input type="checkbox"/>			
	• Syrups and suspensions	<input type="checkbox"/> <input type="checkbox"/> <input type="checkbox"/>			
	• Drops	<input type="checkbox"/> <input type="checkbox"/> <input type="checkbox"/>			
	• Ointment manufacture	<input type="checkbox"/> <input type="checkbox"/> <input type="checkbox"/>			
	• Ointment filling	<input type="checkbox"/> <input type="checkbox"/> <input type="checkbox"/>			
	• Ampoule solution manufacture	<input type="checkbox"/> <input type="checkbox"/> <input type="checkbox"/>			
	• Sterile or aseptic ampoule filling	<input type="checkbox"/> <input type="checkbox"/> <input type="checkbox"/>			
	• Sterile freeze drying	<input type="checkbox"/> <input type="checkbox"/> <input type="checkbox"/>			
	• Sterile powder filling	<input type="checkbox"/> <input type="checkbox"/> <input type="checkbox"/>			
	Rooms, General				
6.1	Suitable for the intended use?	<input type="checkbox"/> <input type="checkbox"/> <input type="checkbox"/>			3
6.2	• Adequate size?	<input type="checkbox"/> <input type="checkbox"/> <input type="checkbox"/>			3
6.3	• Clean?	<input type="checkbox"/> <input type="checkbox"/> <input type="checkbox"/>			3
6.4	Located and designed to exclude external contamination?	<input type="checkbox"/> <input type="checkbox"/> <input type="checkbox"/>			3.1
6.5	Appropriate level of maintenance?	<input type="checkbox"/> <input type="checkbox"/> <input type="checkbox"/>			3.2
6.6	Maintenance works possible without contamination risk?	<input type="checkbox"/> <input type="checkbox"/> <input type="checkbox"/>			3.2
6.7	Appropriate lighting and air-conditioning?	<input type="checkbox"/> <input type="checkbox"/> <input type="checkbox"/>			3.3
6.8	Recording of temperature and humidity?	<input type="checkbox"/> <input type="checkbox"/> <input type="checkbox"/>			
6.9	Protection against the entry of insects or other animals?	<input type="checkbox"/> <input type="checkbox"/> <input type="checkbox"/>			3.4
6.10	Controlled access for authorized personnel only?	<input type="checkbox"/> <input type="checkbox"/> <input type="checkbox"/>			3.5

(Continued)

	Compliance 1 2 3 ^a	Remarks	EU-Guide
Rooms, Special Requirements			
6.11	Separate manufacturing area for penicillins/cephalosporins or highly sensitizing substances?	<input type="checkbox"/> <input type="checkbox"/> <input type="checkbox"/>	3.6
6.12	Only for processing of pharmaceuticals?	<input type="checkbox"/> <input type="checkbox"/> <input type="checkbox"/>	3.6
6.13	Logical flow of materials?	<input type="checkbox"/> <input type="checkbox"/> <input type="checkbox"/>	3.7
6.14	Walls, floors, and ceilings: smooth surface and free of cracks?	<input type="checkbox"/> <input type="checkbox"/> <input type="checkbox"/>	3.8
6.15	Easy cleaning possible?	<input type="checkbox"/> <input type="checkbox"/> <input type="checkbox"/>	3.10
6.16	Adequate drains with traps and grilles?	<input type="checkbox"/> <input type="checkbox"/> <input type="checkbox"/>	3.11
6.17	Appropriate air-handling system with filtered air where open products are exposed to the environment? Air pressure gradient from working bay → corridor: Classification according to EC guide?	<input type="checkbox"/> <input type="checkbox"/> <input type="checkbox"/>	3.12
6.18	Appropriate lighting?	<input type="checkbox"/> <input type="checkbox"/> <input type="checkbox"/>	3.16
6.19	Separate rest rooms?	<input type="checkbox"/> <input type="checkbox"/> <input type="checkbox"/>	3.30
6.20	Changing rooms designed to avoid contamination?	<input type="checkbox"/> <input type="checkbox"/> <input type="checkbox"/>	3.31
6.21	Toilets segregated from manufacturing areas?	<input type="checkbox"/> <input type="checkbox"/> <input type="checkbox"/>	3.31
Equipment			
6.22	Suitable for the intended use?	<input type="checkbox"/> <input type="checkbox"/> <input type="checkbox"/>	3.34
6.23	Well maintained?	<input type="checkbox"/> <input type="checkbox"/> <input type="checkbox"/>	3.34
6.24	Tanks, containers, pipework, and pumps designed for easy cleaning and sanitation (dead legs!)?	<input type="checkbox"/> <input type="checkbox"/> <input type="checkbox"/>	Suppl. 2
6.25	Written and validated cleaning procedures?	<input type="checkbox"/> <input type="checkbox"/> <input type="checkbox"/>	3.36
6.26	Maintenance without contamination risk (separate area)?	<input type="checkbox"/> <input type="checkbox"/> <input type="checkbox"/>	3.35
6.27	Equipment in contact with product: suitable materials quality?	<input type="checkbox"/> <input type="checkbox"/> <input type="checkbox"/>	3.39
6.28	Machinery equipped with measuring and control devices?	<input type="checkbox"/> <input type="checkbox"/> <input type="checkbox"/>	3.40
6.29	Calibration at fixed intervals according to written procedures?	<input type="checkbox"/> <input type="checkbox"/> <input type="checkbox"/>	3.41
6.30	Calibration records available?	<input type="checkbox"/> <input type="checkbox"/> <input type="checkbox"/>	3.41
6.31	Contents and flow direction marked on pipes?	<input type="checkbox"/> <input type="checkbox"/> <input type="checkbox"/>	3.42
6.32	Pipes for distilled and demineralized water regularly monitored and sanitized?	<input type="checkbox"/> <input type="checkbox"/> <input type="checkbox"/>	3.43
6.33	Not functioning equipment in the production area (if yes: clearly marked)?	Yes No	3.44
6.34	Status of cleanliness indicated?	<input type="checkbox"/> <input type="checkbox"/> <input type="checkbox"/>	5.13
6.35	Previous product indicated?	<input type="checkbox"/> <input type="checkbox"/> <input type="checkbox"/>	5.13
Operations			
6.36	Are written and validated procedures for all manufacturing steps available?	<input type="checkbox"/> <input type="checkbox"/> <input type="checkbox"/>	5.2
6.37	Are all manufacturing steps recorded with actual parameters?	<input type="checkbox"/> <input type="checkbox"/> <input type="checkbox"/>	5.2
6.38	Check of each single container of the starting materials (contents, weight, and identity)?	<input type="checkbox"/> <input type="checkbox"/> <input type="checkbox"/>	5.3
6.39	Limits for yields?	<input type="checkbox"/> <input type="checkbox"/> <input type="checkbox"/>	5.8
6.40	Only one batch of one product processed?	<input type="checkbox"/> <input type="checkbox"/> <input type="checkbox"/>	5.9
6.41	Protection against microbial contamination?	<input type="checkbox"/> <input type="checkbox"/> <input type="checkbox"/>	5.10
	Correct labeling of containers, materials, equipment, and rooms with		5.12
6.42	• Product name and batch no.?	<input type="checkbox"/> <input type="checkbox"/> <input type="checkbox"/>	5.12
6.43	• Quarantine status?	<input type="checkbox"/> <input type="checkbox"/> <input type="checkbox"/>	5.12
6.44	Deviations from standard procedures recorded and signed by the supervisor?	<input type="checkbox"/> <input type="checkbox"/> <input type="checkbox"/>	5.14
6.45	Special procedures for the production of antibiotics, hormones, etc.?	<input type="checkbox"/> <input type="checkbox"/> <input type="checkbox"/>	5.19
6.46	• Campaign production?	<input type="checkbox"/> <input type="checkbox"/> <input type="checkbox"/>	5.19
6.47	• Special monitoring?	<input type="checkbox"/> <input type="checkbox"/> <input type="checkbox"/>	5.19
6.48	• Validated decontamination procedure?	<input type="checkbox"/> <input type="checkbox"/> <input type="checkbox"/>	5.19

(Continued)

		Compliance 1 2 3 ^a	Remarks	EU-Guide
6.49	Double check on weight?	<input type="checkbox"/> <input type="checkbox"/> <input type="checkbox"/>		5.34
6.50	Line clearance before start of production?	<input type="checkbox"/> <input type="checkbox"/> <input type="checkbox"/>		5.35
6.51	Investigation of deviations in yields?	<input type="checkbox"/> <input type="checkbox"/> <input type="checkbox"/>		5.39
6.52	Specification of maximum storage time and storage conditions if products are not immediately filled or packaged?	<input type="checkbox"/> <input type="checkbox"/> <input type="checkbox"/>		Suppl. 9
6.53	Validated procedures for reworking of rejected batches?	<input type="checkbox"/> <input type="checkbox"/> <input type="checkbox"/>		5.62
6.54	Detailed procedures for the addition of previous batches?	<input type="checkbox"/> <input type="checkbox"/> <input type="checkbox"/>		5.63
6.55	Special release procedure (QA) for those batches?	<input type="checkbox"/> <input type="checkbox"/> <input type="checkbox"/>		5.64
6.56	Use of protective clothing (hair cover, shoes, masks, and gloves)?	<input type="checkbox"/> <input type="checkbox"/> <input type="checkbox"/>		2.16
6.57	Clothing regulation for visitors?	<input type="checkbox"/> <input type="checkbox"/> <input type="checkbox"/>		2.11
	Water			
6.58	Loop system for purified water?	<input type="checkbox"/> <input type="checkbox"/> <input type="checkbox"/>		Suppl. 4
6.59	Antimicrobial treatment of purified water?	<input type="checkbox"/> <input type="checkbox"/> <input type="checkbox"/>		Suppl. 4
6.60	Loop system for water for injection? Storage temperature of water for injection:	<input type="checkbox"/> <input type="checkbox"/> <input type="checkbox"/>		Suppl. 4
6.61	Loop system constructed to avoid dead legs?	<input type="checkbox"/> <input type="checkbox"/> <input type="checkbox"/>		Suppl. 4
6.62	Regular microbiological monitoring?	<input type="checkbox"/> <input type="checkbox"/> <input type="checkbox"/>		Suppl. 4
6.63	Regular endotoxin control?	<input type="checkbox"/> <input type="checkbox"/> <input type="checkbox"/>		Suppl. 4
	Special Requirements for Sterile and Aseptic Products			Suppl.
	Rooms and Equipment			
6.64	Access of staff and materials to clean areas <i>only</i> through air locks?	<input type="checkbox"/> <input type="checkbox"/> <input type="checkbox"/>		1
6.66	Rooms classified according to EC Guide? Classification for products to be sterilized:	<input type="checkbox"/> <input type="checkbox"/> <input type="checkbox"/>		3
6.67	• Solution preparation (EC: class C, with special precautions class D):	Class:		5
6.68	• Filling (EC: under LF in class C):	Class:		5
6.69	Classification for aseptic products: • Handling of starting materials that can be sterile filtered (EC: class C):	Class:		6
6.70	• Handling of starting materials that cannot be sterile filtered (EC: class A in class B):	Class:		6
6.71	• Handling and filling of bulk (EC: class A in Class B):	Class:		6
6.72	All rooms easy to clean and disinfect?	<input type="checkbox"/> <input type="checkbox"/> <input type="checkbox"/>		17
6.73	Doors, windows, frames, lighting, etc. without edges?	<input type="checkbox"/> <input type="checkbox"/> <input type="checkbox"/>		18
6.74	Suspended ceilings (if yes: sealed?)?	<input type="checkbox"/> <input type="checkbox"/> <input type="checkbox"/>		19
6.75	Traps constructed to avoid microbiological contamination?	<input type="checkbox"/> <input type="checkbox"/> <input type="checkbox"/>		21
6.76	Appropriately constructed changing rooms?	<input type="checkbox"/> <input type="checkbox"/> <input type="checkbox"/>		22
6.77	Measures against opening of both doors of air locks?	<input type="checkbox"/> <input type="checkbox"/> <input type="checkbox"/>		23
6.78	Overpressure gradient from cleanest areas to others?	<input type="checkbox"/> <input type="checkbox"/> <input type="checkbox"/>		24
6.79	AHU validated and regularly revalidated?	<input type="checkbox"/> <input type="checkbox"/> <input type="checkbox"/>		25
6.80	Control instruments for pressure gradient?	<input type="checkbox"/> <input type="checkbox"/> <input type="checkbox"/>		26
6.81	Warning system for errors in air supply?	<input type="checkbox"/> <input type="checkbox"/> <input type="checkbox"/>		26
6.82	Recording of pressure gradients?	<input type="checkbox"/> <input type="checkbox"/> <input type="checkbox"/>		26
6.83	Do conveyor belts leave sterile areas?	<input type="checkbox"/> <input type="checkbox"/> <input type="checkbox"/>		28
6.84	Maintenance works outside clean areas possible?	<input type="checkbox"/> <input type="checkbox"/> <input type="checkbox"/>		28
6.85	Cleaning and disinfection procedure after maintenance works?	<input type="checkbox"/> <input type="checkbox"/> <input type="checkbox"/>		29
6.86	Regular revalidation of all equipment and systems?	<input type="checkbox"/> <input type="checkbox"/> <input type="checkbox"/>		30
6.87	Water prepared, circulated, and stored to exclude microbiological contamination?	<input type="checkbox"/> <input type="checkbox"/> <input type="checkbox"/>		31
6.88	Cleaning and disinfection of rooms according to validated SOPs? • Disinfection methods?	<input type="checkbox"/> <input type="checkbox"/> <input type="checkbox"/>		32

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		Compliance 1 2 3 ^a	Remarks	EU-Guide
6.89	Microbiological monitoring of cleaning and disinfection agents?	<input type="checkbox"/> <input type="checkbox"/> <input type="checkbox"/>		33
6.90	Microbiological monitoring program of production areas?	<input type="checkbox"/> <input type="checkbox"/> <input type="checkbox"/>		35
6.91	Results recorded and considered for the release?	<input type="checkbox"/> <input type="checkbox"/> <input type="checkbox"/>		35
	Personnel and Hygiene			
6.92	Minimal number of personnel in clean areas?	<input type="checkbox"/> <input type="checkbox"/> <input type="checkbox"/>		7
6.93	Special and regular training?	<input type="checkbox"/> <input type="checkbox"/> <input type="checkbox"/>		8
6.94	Regular medical examinations?	<input type="checkbox"/> <input type="checkbox"/> <input type="checkbox"/>		10
6.95	Appropriate clean room clothes (material and design)?	<input type="checkbox"/> <input type="checkbox"/> <input type="checkbox"/>		12
6.96	Protective clothes worn correctly?	<input type="checkbox"/> <input type="checkbox"/> <input type="checkbox"/>		12
6.97	Prohibition of cosmetics, jewelry, and watches?	<input type="checkbox"/> <input type="checkbox"/> <input type="checkbox"/>		13
6.98	New clean room clothes for each working cycle?	<input type="checkbox"/> <input type="checkbox"/> <input type="checkbox"/>		15
6.99	Appropriate washing and sterilization of clothes?	<input type="checkbox"/> <input type="checkbox"/> <input type="checkbox"/>		16
	Operations			
6.100	Validation (media filling) at regular intervals?	<input type="checkbox"/> <input type="checkbox"/> <input type="checkbox"/>		38
	Monitoring of water preparation system, frequency:			
6.101	• Microbiological:			40
6.102	• Chemical:			40
6.103	• Particles:			40
6.104	• Endotoxins:			40
6.105	Microbiological monitoring of starting materials?	<input type="checkbox"/> <input type="checkbox"/> <input type="checkbox"/>		42
6.106	Maximum storage times defined for sterilized equipment?	<input type="checkbox"/> <input type="checkbox"/> <input type="checkbox"/>		45
6.107	Maximum storage time defined between solution preparation and filtration?	<input type="checkbox"/> <input type="checkbox"/> <input type="checkbox"/>		46
6.108	Material transfer to clean areas through double door autoclaves?	<input type="checkbox"/> <input type="checkbox"/> <input type="checkbox"/>		48
	Sterilization Processes			
6.109	All processes validated?	<input type="checkbox"/> <input type="checkbox"/> <input type="checkbox"/>		50
6.110	Sterilized and nonsterilized materials clearly separated? Trays and boxes clearly labeled with	<input type="checkbox"/> <input type="checkbox"/> <input type="checkbox"/>		54
6.111	• Product name and code	<input type="checkbox"/> <input type="checkbox"/> <input type="checkbox"/>		54
6.112	• Batch no.	<input type="checkbox"/> <input type="checkbox"/> <input type="checkbox"/>		54
6.113	• Status: sterilized or nonsterilized	<input type="checkbox"/> <input type="checkbox"/> <input type="checkbox"/>		54
	Sterilizers			
6.114	• Recording of temperature, pressure, and time?	<input type="checkbox"/> <input type="checkbox"/> <input type="checkbox"/>		55
6.115	• Coldest point determined?	<input type="checkbox"/> <input type="checkbox"/> <input type="checkbox"/>		55
6.116	• Independent counter check probe?	<input type="checkbox"/> <input type="checkbox"/> <input type="checkbox"/>		55
6.117	• Heat-up time for each product determined?	<input type="checkbox"/> <input type="checkbox"/> <input type="checkbox"/>		56
6.118	• Sterile cooling media?	<input type="checkbox"/> <input type="checkbox"/> <input type="checkbox"/>		57
6.119	• Tightness tests for vacuum autoclaves?	<input type="checkbox"/> <input type="checkbox"/> <input type="checkbox"/>		58
6.120	• Clean steam for steam autoclaves?	<input type="checkbox"/> <input type="checkbox"/> <input type="checkbox"/>		58
6.121	• Circulated air with overpressure?	<input type="checkbox"/> <input type="checkbox"/> <input type="checkbox"/>		61
6.122	• Recirculated air: sterile filtered?	<input type="checkbox"/> <input type="checkbox"/> <input type="checkbox"/>		61
6.123	• Ethylene oxide autoclaves: humidity, temperature, and time recorded?	<input type="checkbox"/> <input type="checkbox"/> <input type="checkbox"/>		69
6.124	• Ethylene oxide autoclaves: use of bioindicators?	<input type="checkbox"/> <input type="checkbox"/> <input type="checkbox"/>		70
	Filtration			
6.125	Double filtration?	<input type="checkbox"/> <input type="checkbox"/> <input type="checkbox"/>		75
6.126	Integrity testing of filters immediately after use?	<input type="checkbox"/> <input type="checkbox"/> <input type="checkbox"/>		77
6.127	Are results a part of the batch protocol?	<input type="checkbox"/> <input type="checkbox"/> <input type="checkbox"/>		77
6.128	Optical control of each single container of ampoules, vials, and infusions?	<input type="checkbox"/> <input type="checkbox"/> <input type="checkbox"/>		82
	IPC			
6.129	Written IPC procedures and SOPs? Particle testing of	<input type="checkbox"/> <input type="checkbox"/> <input type="checkbox"/>		
6.130	• Rooms?	<input type="checkbox"/> <input type="checkbox"/> <input type="checkbox"/>		

(Continued)

	Compliance 1 2 3 ^a	Remarks	EU-Guide
6.131	• Primary packaging materials?	<input type="checkbox"/> <input type="checkbox"/> <input type="checkbox"/>	
6.132	• System of warning and action limits?	<input type="checkbox"/> <input type="checkbox"/> <input type="checkbox"/>	
Microbiological monitoring of			
6.133	• Rooms?		
6.134	• Personnel?		
6.135	• Equipment?		
6.136	Residual O ₂ of ampoules, infusions, and syrups?	<input type="checkbox"/> <input type="checkbox"/> <input type="checkbox"/>	
6.137	Endotoxin testing of water and packaging materials?	<input type="checkbox"/> <input type="checkbox"/> <input type="checkbox"/>	
6.138	Calibration of equipment?	<input type="checkbox"/> <input type="checkbox"/> <input type="checkbox"/>	
6.139	Regular revalidation of equipment?	<input type="checkbox"/> <input type="checkbox"/> <input type="checkbox"/>	
7	PACKAGING		
Operations carried out:			
	• Blistering	<input type="checkbox"/>	
	• Foil packaging	<input type="checkbox"/>	
	• Filling into tablet glasses	<input type="checkbox"/>	
	• Effervescent packaging	<input type="checkbox"/>	
	• Powder filling	<input type="checkbox"/>	
	• Syrup/drops filling	<input type="checkbox"/>	
	• Ointment filling	<input type="checkbox"/>	
Rooms			
7.1	Suitable for the intended use?	<input type="checkbox"/> <input type="checkbox"/> <input type="checkbox"/>	3
7.2	• Adequate size?	<input type="checkbox"/> <input type="checkbox"/> <input type="checkbox"/>	3
7.3	• Clean?	<input type="checkbox"/> <input type="checkbox"/> <input type="checkbox"/>	3
7.4	Located and designed to exclude external contamination?	<input type="checkbox"/> <input type="checkbox"/> <input type="checkbox"/>	3.1
7.5	Appropriate level of maintenance?	<input type="checkbox"/> <input type="checkbox"/> <input type="checkbox"/>	3.2
7.6	Maintenance works possible without contamination risk?	<input type="checkbox"/> <input type="checkbox"/> <input type="checkbox"/>	3.2
7.7	Appropriate lighting and air-conditioning?	<input type="checkbox"/> <input type="checkbox"/> <input type="checkbox"/>	3.3
7.8	Recording of temperature and humidity?	<input type="checkbox"/> <input type="checkbox"/> <input type="checkbox"/>	
7.9	Protection against the entry of insects or other animals?	<input type="checkbox"/> <input type="checkbox"/> <input type="checkbox"/>	3.4
7.10	Controlled access for authorized personnel only?	<input type="checkbox"/> <input type="checkbox"/> <input type="checkbox"/>	3.5
7.11	Adequate separation of the packaging lines?	<input type="checkbox"/> <input type="checkbox"/> <input type="checkbox"/>	3.15
Operations			
7.12	Only one product per line?	<input type="checkbox"/> <input type="checkbox"/> <input type="checkbox"/>	5.44
7.13	Check list for clearance before processing a new product/new batch?	<input type="checkbox"/> <input type="checkbox"/> <input type="checkbox"/>	5.45
7.14	Adequate labeling of the lines (product name and code)?	<input type="checkbox"/> <input type="checkbox"/> <input type="checkbox"/>	5.46
7.15	Check of all materials delivered to the line (quantity, identity, conformity with order)?	<input type="checkbox"/> <input type="checkbox"/> <input type="checkbox"/>	5.47
7.16	Cleaning of primary packaging materials?	<input type="checkbox"/> <input type="checkbox"/> <input type="checkbox"/>	5.48
7.17	Immediate labeling after filling?	<input type="checkbox"/> <input type="checkbox"/> <input type="checkbox"/>	5.49
7.18	Careful check of all printing processes (code and expiry date)?	<input type="checkbox"/> <input type="checkbox"/> <input type="checkbox"/>	5.50
7.19	Special safety measures for off-line printing?	<input type="checkbox"/> <input type="checkbox"/> <input type="checkbox"/>	5.51
7.20	Regular checks of all control devices (code reader, counter, etc.)?	<input type="checkbox"/> <input type="checkbox"/> <input type="checkbox"/>	5.52
7.21	Printings clear and durable?	<input type="checkbox"/> <input type="checkbox"/> <input type="checkbox"/>	5.53
7.22	Balancing of printed packaging materials and bulk?	<input type="checkbox"/> <input type="checkbox"/> <input type="checkbox"/>	5.56
7.23	Destruction of excessive coded packaging material after completion of an order?	<input type="checkbox"/> <input type="checkbox"/> <input type="checkbox"/>	5.57
7.24	Are the finished products kept in quarantine until final release?	<input type="checkbox"/> <input type="checkbox"/> <input type="checkbox"/>	5.58
7.25	Appropriate storage after release?	<input type="checkbox"/> <input type="checkbox"/> <input type="checkbox"/>	5.60
IPC			
7.26	Checks on identity of bulk and packaging materials?	<input type="checkbox"/> <input type="checkbox"/> <input type="checkbox"/>	5.47
Regular line checks on			
7.27	• Aspect of the packages?	<input type="checkbox"/> <input type="checkbox"/> <input type="checkbox"/>	5.54a
7.28	• Completeness?	<input type="checkbox"/> <input type="checkbox"/> <input type="checkbox"/>	5.54b

(Continued)

		Compliance 1 2 3 ^a	Remarks	EU-Guide
7.29	• Conformity of quantity and quality of materials with packaging order?	<input type="checkbox"/> <input type="checkbox"/> <input type="checkbox"/>		5.54c
7.30	• Correct imprint?	<input type="checkbox"/> <input type="checkbox"/> <input type="checkbox"/>		5.54d
7.31	• Correct function of control devices?	<input type="checkbox"/> <input type="checkbox"/> <input type="checkbox"/>		5.54d
	Are the following IPC checks performed?			
7.32	• Leaking	<input type="checkbox"/> <input type="checkbox"/> <input type="checkbox"/>		
7.33	• Release torque of screw caps	<input type="checkbox"/> <input type="checkbox"/> <input type="checkbox"/>		
7.34	• pH, density, drop weight, viscosity, and sedimentation	<input type="checkbox"/> <input type="checkbox"/> <input type="checkbox"/>		
8	DOCUMENTATION			
	Specifications			
8.1	Specifications for raw/packaging materials available?	<input type="checkbox"/> <input type="checkbox"/> <input type="checkbox"/>		4.10
	Do they include			
8.2	• internal name and code?	<input type="checkbox"/> <input type="checkbox"/> <input type="checkbox"/>		4.11
8.3	• Name of supplier and/or manufacturer?	<input type="checkbox"/> <input type="checkbox"/> <input type="checkbox"/>		4.11
8.4	• Reference sample (printed packaging material)?	<input type="checkbox"/> <input type="checkbox"/> <input type="checkbox"/>		4.11
8.5	• Sampling procedure?	<input type="checkbox"/> <input type="checkbox"/> <input type="checkbox"/>		4.11
8.6	• Qualitative/quantitative specifications with limits?	<input type="checkbox"/> <input type="checkbox"/> <input type="checkbox"/>		4.11
8.7	• Storage conditions?	<input type="checkbox"/> <input type="checkbox"/> <input type="checkbox"/>		4.11
8.8	• Maximum storage period?	<input type="checkbox"/> <input type="checkbox"/> <input type="checkbox"/>		4.11
	Goods receiving?			
8.9	Written procedures for the reception of deliveries?	<input type="checkbox"/> <input type="checkbox"/> <input type="checkbox"/>		4.19
	Do records of receipt include			
8.10	• Product name on labels and delivery note?	<input type="checkbox"/> <input type="checkbox"/> <input type="checkbox"/>		4.20
8.11	• Internal name and code?	<input type="checkbox"/> <input type="checkbox"/> <input type="checkbox"/>		4.20
8.12	• Receiving date?	<input type="checkbox"/> <input type="checkbox"/> <input type="checkbox"/>		4.20
8.13	• Name of supplier and/or manufacturer?	<input type="checkbox"/> <input type="checkbox"/> <input type="checkbox"/>		4.20
8.14	• Batch number of supplier?	<input type="checkbox"/> <input type="checkbox"/> <input type="checkbox"/>		4.20
8.15	• Total quantity and number of containers?	<input type="checkbox"/> <input type="checkbox"/> <input type="checkbox"/>		4.20
8.16	• Allocated internal batch number?	<input type="checkbox"/> <input type="checkbox"/> <input type="checkbox"/>		4.20
8.17	SOPs for labeling, quarantine, and storage conditions of all incoming goods available?	<input type="checkbox"/> <input type="checkbox"/> <input type="checkbox"/>		4.21
	SOPs include			
8.18	• authorized sampling personnel?	<input type="checkbox"/> <input type="checkbox"/> <input type="checkbox"/>		4.22
8.19	• methods, equipment, and quantities?	<input type="checkbox"/> <input type="checkbox"/> <input type="checkbox"/>		4.22
8.20	• safety measures?	<input type="checkbox"/> <input type="checkbox"/> <input type="checkbox"/>		4.22
	Master Formulae			
8.21	Are master formulae for each product and batch size available?	<input type="checkbox"/> <input type="checkbox"/> <input type="checkbox"/>		4.3
8.22	Is the master formula approved and signed by the authorized persons?	<input type="checkbox"/> <input type="checkbox"/> <input type="checkbox"/>		4.3
	The master formula includes			
8.23	• Product name and code?	<input type="checkbox"/> <input type="checkbox"/> <input type="checkbox"/>		4.14a
8.24	• Description of galenical form, dosage, and batch size?	<input type="checkbox"/> <input type="checkbox"/> <input type="checkbox"/>		4.14b
8.25	• All active ingredients with name, code, and weight?	<input type="checkbox"/> <input type="checkbox"/> <input type="checkbox"/>		4.14c
8.26	• All excipients used during manufacture with name, code, and weight?	<input type="checkbox"/> <input type="checkbox"/> <input type="checkbox"/>		4.14c
8.27	• Yields with limits?	<input type="checkbox"/> <input type="checkbox"/> <input type="checkbox"/>		4.14d
	Does the working procedure include			
8.28	• The production line?	<input type="checkbox"/> <input type="checkbox"/> <input type="checkbox"/>		4.15a
8.29	• Equipment to be used?	<input type="checkbox"/> <input type="checkbox"/> <input type="checkbox"/>		4.15a
8.30	• Reference to methods for cleaning, assembling, and calibration of machines?	<input type="checkbox"/> <input type="checkbox"/> <input type="checkbox"/>		4.15b
8.31	• Detailed stepwise manufacturing prescription?	<input type="checkbox"/> <input type="checkbox"/> <input type="checkbox"/>		4.15c
8.32	• IPCs to be performed with limits?	<input type="checkbox"/> <input type="checkbox"/> <input type="checkbox"/>		4.15d
8.33	• Precautions to be followed?	<input type="checkbox"/> <input type="checkbox"/> <input type="checkbox"/>		4.15e
8.34	• Are batch records kept for each batch processed?	<input type="checkbox"/> <input type="checkbox"/> <input type="checkbox"/>		4.17

(Continued)

	Compliance 1 2 3 ^a	Remarks	EU-Guide
Do batch records include			
8.35	• Protocol of line clearance?	<input type="checkbox"/> <input type="checkbox"/> <input type="checkbox"/>	4.17
8.36	• Name of the product and batch no.?	<input type="checkbox"/> <input type="checkbox"/> <input type="checkbox"/>	4.17a
8.37	• Date and time of start and end of production?	<input type="checkbox"/> <input type="checkbox"/> <input type="checkbox"/>	4.17b
8.38	• Name and initials of responsible workers for each step?	<input type="checkbox"/> <input type="checkbox"/> <input type="checkbox"/>	4.17c, d
8.39	• Batch and analytical no. and actual weight of all starting materials?	<input type="checkbox"/> <input type="checkbox"/> <input type="checkbox"/>	4.17e
8.40	• Equipment used?	<input type="checkbox"/> <input type="checkbox"/> <input type="checkbox"/>	4.17f
8.41	• Results of IPCs with initials of person who carries them out?	<input type="checkbox"/> <input type="checkbox"/> <input type="checkbox"/>	4.17g
8.42	• Yields of the relevant manufacturing steps?	<input type="checkbox"/> <input type="checkbox"/> <input type="checkbox"/>	4.17h
8.43	• Detailed notes on problems and process deviations?	<input type="checkbox"/> <input type="checkbox"/> <input type="checkbox"/>	4.17i
8.44	Records on reprocessing of batches?	<input type="checkbox"/> <input type="checkbox"/> <input type="checkbox"/>	
Packaging Instructions			
8.45	Packaging instructions for each product, package size, and presentation?	<input type="checkbox"/> <input type="checkbox"/> <input type="checkbox"/>	4.16
Do they include			
8.46	• Product name?	<input type="checkbox"/> <input type="checkbox"/> <input type="checkbox"/>	4.16a
8.47	• Description of galenical form and strength?	<input type="checkbox"/> <input type="checkbox"/> <input type="checkbox"/>	4.16b
8.48	• Package size?	<input type="checkbox"/> <input type="checkbox"/> <input type="checkbox"/>	4.17c
8.49	• List of all packaging materials with code for a standard batch size?	<input type="checkbox"/> <input type="checkbox"/> <input type="checkbox"/>	4.17d
8.50	• Samples of printed packaging materials?	<input type="checkbox"/> <input type="checkbox"/> <input type="checkbox"/>	4.17e
8.51	• Special precautions?	<input type="checkbox"/> <input type="checkbox"/> <input type="checkbox"/>	4.17f
8.52	• Description of the process and equipment?	<input type="checkbox"/> <input type="checkbox"/> <input type="checkbox"/>	4.17g
8.53	• IPCs to be performed with sampling instruction?	<input type="checkbox"/> <input type="checkbox"/> <input type="checkbox"/>	4.17h
8.54	Are packaging batch records kept for each batch or part batch?	<input type="checkbox"/> <input type="checkbox"/> <input type="checkbox"/>	4.18
Do the packaging batch records include			
8.55	• Protocol of line clearance?	<input type="checkbox"/> <input type="checkbox"/> <input type="checkbox"/>	4.18
8.56	• Name of the product?	<input type="checkbox"/> <input type="checkbox"/> <input type="checkbox"/>	4.18a
8.57	• Date and time when operations have been performed?	<input type="checkbox"/> <input type="checkbox"/> <input type="checkbox"/>	4.18b
8.58	• Name of the responsible person?	<input type="checkbox"/> <input type="checkbox"/> <input type="checkbox"/>	4.18c
8.59	• Initials of workers carrying out operations?	<input type="checkbox"/> <input type="checkbox"/> <input type="checkbox"/>	4.18d
8.60	• Notes on identity checks and conformity with packaging instructions?	<input type="checkbox"/> <input type="checkbox"/> <input type="checkbox"/>	4.18e
8.61	• Results of IPCs?	<input type="checkbox"/> <input type="checkbox"/> <input type="checkbox"/>	4.18e
8.62	• Details of operations and equipment used?	<input type="checkbox"/> <input type="checkbox"/> <input type="checkbox"/>	4.18f
8.63	• Samples of printed packaging materials with codes (MFD, EXP, batch no., etc.)?	<input type="checkbox"/> <input type="checkbox"/> <input type="checkbox"/>	4.18g
8.64	• Record of problems and process deviations?	<input type="checkbox"/> <input type="checkbox"/> <input type="checkbox"/>	4.18h
8.65	• Quantities of packaging materials delivered, used, destroyed, or returned?	<input type="checkbox"/> <input type="checkbox"/> <input type="checkbox"/>	4.18i
8.66	• No. of packs consumed?	<input type="checkbox"/> <input type="checkbox"/> <input type="checkbox"/>	4.18j
Testing			
Do the written testing procedures include			
8.67	• Test methods?	<input type="checkbox"/> <input type="checkbox"/> <input type="checkbox"/>	4.23
8.68	• Equipment for testing?	<input type="checkbox"/> <input type="checkbox"/> <input type="checkbox"/>	4.23
8.69	Tests documented?	<input type="checkbox"/> <input type="checkbox"/> <input type="checkbox"/>	4.23
Others			
8.70	Procedures for release and rejection of materials and finished products?	<input type="checkbox"/> <input type="checkbox"/> <input type="checkbox"/>	4.24
8.71	Final release by authorized person?	<input type="checkbox"/> <input type="checkbox"/> <input type="checkbox"/>	4.24
8.72	Records about distribution of each batch?	<input type="checkbox"/> <input type="checkbox"/> <input type="checkbox"/>	4.25
Procedures and protocols about			
8.73	• Validation?	<input type="checkbox"/> <input type="checkbox"/> <input type="checkbox"/>	4.26
8.74	• Setup and calibration of equipment?	<input type="checkbox"/> <input type="checkbox"/> <input type="checkbox"/>	4.26
8.75	• Maintenance, cleaning, and disinfection?	<input type="checkbox"/> <input type="checkbox"/> <input type="checkbox"/>	4.26
8.76	• Training records?	<input type="checkbox"/> <input type="checkbox"/> <input type="checkbox"/>	4.26

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		Compliance 1 2 3 ^a	Remarks	EU-Guide
8.77	• Environmental monitoring of production areas?	<input type="checkbox"/> <input type="checkbox"/> <input type="checkbox"/>		4.26
8.78	• Pest control?	<input type="checkbox"/> <input type="checkbox"/> <input type="checkbox"/>		4.26
8.79	• Complaints?	<input type="checkbox"/> <input type="checkbox"/> <input type="checkbox"/>		4.26
8.80	• Recalls?	<input type="checkbox"/> <input type="checkbox"/> <input type="checkbox"/>		4.26
8.81	• Returned goods?	<input type="checkbox"/> <input type="checkbox"/> <input type="checkbox"/>		4.26
8.82	Instructions for use of manufacturing and testing equipment? Log books for major equipment including date and name of persons who performed	<input type="checkbox"/> <input type="checkbox"/> <input type="checkbox"/>		4.27
8.83	• Validation?	<input type="checkbox"/> <input type="checkbox"/> <input type="checkbox"/>		4.28
8.84	• Calibration?	<input type="checkbox"/> <input type="checkbox"/> <input type="checkbox"/>		4.28
8.85	• Maintenance, cleaning, and repair works?	<input type="checkbox"/> <input type="checkbox"/> <input type="checkbox"/>		4.28
8.86	Chronological records of use of major equipment and manufacturing areas?	<input type="checkbox"/> <input type="checkbox"/> <input type="checkbox"/>		4.29
9	QUALITY CONTROL			6
	General Requirements			
9.1	Independent QC department available?	<input type="checkbox"/> <input type="checkbox"/> <input type="checkbox"/>		6.1
9.2	Head of QC well qualified and sufficiently experienced?	<input type="checkbox"/> <input type="checkbox"/> <input type="checkbox"/>		6.1
9.3	Qualified personnel available?	<input type="checkbox"/> <input type="checkbox"/> <input type="checkbox"/>		2.1
9.4	Organization charts available?	<input type="checkbox"/> <input type="checkbox"/> <input type="checkbox"/>		2.2
9.5	Job descriptions available?	<input type="checkbox"/> <input type="checkbox"/> <input type="checkbox"/>		2.2
9.6	Responsibilities clearly defined?	<input type="checkbox"/> <input type="checkbox"/> <input type="checkbox"/>		2.2
9.7	Continuous training programs for QC staff?	<input type="checkbox"/> <input type="checkbox"/> <input type="checkbox"/>		2.2
9.8	Initial job training for all employees?	<input type="checkbox"/> <input type="checkbox"/> <input type="checkbox"/>		2.9
9.9	Training records?	<input type="checkbox"/> <input type="checkbox"/> <input type="checkbox"/>		
9.10	QC personnel admitted to the production rooms for sampling, etc.?	<input type="checkbox"/> <input type="checkbox"/> <input type="checkbox"/>		
	QC Laboratories			
9.11	Suitable for the intended use?	<input type="checkbox"/> <input type="checkbox"/> <input type="checkbox"/>		3.26
9.12	Laboratories of adequate size?	<input type="checkbox"/> <input type="checkbox"/> <input type="checkbox"/>		3.26
9.13	Appropriate level of maintenance?	<input type="checkbox"/> <input type="checkbox"/> <input type="checkbox"/>		3.1
9.14	Adequate separation from the production area?	<input type="checkbox"/> <input type="checkbox"/> <input type="checkbox"/>		3.26
9.15	Controlled access of authorized personnel only?	<input type="checkbox"/> <input type="checkbox"/> <input type="checkbox"/>		3.5
9.16	Special laboratory to handle biological samples available?	<input type="checkbox"/> <input type="checkbox"/> <input type="checkbox"/>		3.29
9.17	Special laboratory to handle radioactive material available?	<input type="checkbox"/> <input type="checkbox"/> <input type="checkbox"/>		3.29
9.18	Separate recreation rooms for the personnel available?	<input type="checkbox"/> <input type="checkbox"/> <input type="checkbox"/>		3.30
9.19	Animal laboratories present?	<input type="checkbox"/> <input type="checkbox"/> <input type="checkbox"/>		3.33
9.20	Animal laboratories separated from other areas?	<input type="checkbox"/> <input type="checkbox"/> <input type="checkbox"/>		3.33
9.21	Animal laboratories equipped with a separate air-handling system?	<input type="checkbox"/> <input type="checkbox"/> <input type="checkbox"/>		3.33
	QC Documentation			
9.22	Do procedures exist for			
	• Self inspection?	<input type="checkbox"/> <input type="checkbox"/> <input type="checkbox"/>		
	• Release or rejection of products or raw material?	<input type="checkbox"/> <input type="checkbox"/> <input type="checkbox"/>		
	• Product complaints?	<input type="checkbox"/> <input type="checkbox"/> <input type="checkbox"/>		
	• Product recalls?	<input type="checkbox"/> <input type="checkbox"/> <input type="checkbox"/>		
	• Local stability testing?	<input type="checkbox"/> <input type="checkbox"/> <input type="checkbox"/>		
	• Storage of reference samples?	<input type="checkbox"/> <input type="checkbox"/> <input type="checkbox"/>		
	• Validation of analytical procedures?	<input type="checkbox"/> <input type="checkbox"/> <input type="checkbox"/>		
9.23	Specifications available for	<input type="checkbox"/> <input type="checkbox"/> <input type="checkbox"/>		6.7
	• Raw materials?	<input type="checkbox"/> <input type="checkbox"/> <input type="checkbox"/>		
	• Bulk products?	<input type="checkbox"/> <input type="checkbox"/> <input type="checkbox"/>		
	• Packaging materials?	<input type="checkbox"/> <input type="checkbox"/> <input type="checkbox"/>		
9.24	Analytical procedures for every product?	<input type="checkbox"/> <input type="checkbox"/> <input type="checkbox"/>		
9.25	Are Basel methods followed?	<input type="checkbox"/> <input type="checkbox"/> <input type="checkbox"/>		
9.26	Validation of locally developed test methods?	<input type="checkbox"/> <input type="checkbox"/> <input type="checkbox"/>		

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		Compliance 1 2 3 ^a	Remarks	EU-Guide
9.27	Sampling procedures available for			6.7
	• Raw materials?	<input type="checkbox"/> <input type="checkbox"/> <input type="checkbox"/>		
	• Bulk products?	<input type="checkbox"/> <input type="checkbox"/> <input type="checkbox"/>		
	• Packaging materials?	<input type="checkbox"/> <input type="checkbox"/> <input type="checkbox"/>		
9.28	Suppliers' certificates available?	<input type="checkbox"/> <input type="checkbox"/> <input type="checkbox"/>		6.7
9.29	Calibration program for analytical instruments installed?	<input type="checkbox"/> <input type="checkbox"/> <input type="checkbox"/>		6.7
9.30	Maintenance program for analytical instruments?	<input type="checkbox"/> <input type="checkbox"/> <input type="checkbox"/>		6.7
9.31	Retention system for QC records?	<input type="checkbox"/> <input type="checkbox"/> <input type="checkbox"/>		6.8
9.32	Batch documents stored for expiry + 1 year or 5 years (EEC 75/319, article 22) minimum?	<input type="checkbox"/> <input type="checkbox"/> <input type="checkbox"/>		6.8
9.33	Are original data such as notebooks stored in addition to the batch documents?	<input type="checkbox"/> <input type="checkbox"/> <input type="checkbox"/>		6.10
9.34	Can the original data be traced back easily and quickly from the analytical report number or batch number?	<input type="checkbox"/> <input type="checkbox"/> <input type="checkbox"/>		6.10
9.35	Are trend analyses being performed for			6.9
	• Analytical results?	<input type="checkbox"/> <input type="checkbox"/> <input type="checkbox"/>		
	• Yields?	<input type="checkbox"/> <input type="checkbox"/> <input type="checkbox"/>		
	• Environmental monitoring data?	<input type="checkbox"/> <input type="checkbox"/> <input type="checkbox"/>		
	Sampling			
9.36	Written procedures for taking samples?	<input type="checkbox"/> <input type="checkbox"/> <input type="checkbox"/>		6.11
9.37	Do procedures define			
	• Method of sampling?	<input type="checkbox"/> <input type="checkbox"/> <input type="checkbox"/>		
	• Necessary equipment?	<input type="checkbox"/> <input type="checkbox"/> <input type="checkbox"/>		
	• Quantity of the sample?	<input type="checkbox"/> <input type="checkbox"/> <input type="checkbox"/>		
	• Subdivision of the sample?	<input type="checkbox"/> <input type="checkbox"/> <input type="checkbox"/>		
	• Sample container?	<input type="checkbox"/> <input type="checkbox"/> <input type="checkbox"/>		
	• Labeling of samples?	<input type="checkbox"/> <input type="checkbox"/> <input type="checkbox"/>		
	• Storage conditions?	<input type="checkbox"/> <input type="checkbox"/> <input type="checkbox"/>		
	• Cleaning and storage of sampling equipment?	<input type="checkbox"/> <input type="checkbox"/> <input type="checkbox"/>		
	• Identification of containers sampled?	<input type="checkbox"/> <input type="checkbox"/> <input type="checkbox"/>		
9.38	Are samples representative of the batch they are taken from (sampling plan)?	<input type="checkbox"/> <input type="checkbox"/> <input type="checkbox"/>		6.12
9.39	Are critical steps being surveilled and validated by additional sampling (e.g., at the beginning or end of a process)?	<input type="checkbox"/> <input type="checkbox"/> <input type="checkbox"/>		6.12
9.40	Sample containers labeled with			6.13
	• Name of the content?	<input type="checkbox"/> <input type="checkbox"/> <input type="checkbox"/>		
	• Batch number?	<input type="checkbox"/> <input type="checkbox"/> <input type="checkbox"/>		
	• Date of sampling?	<input type="checkbox"/> <input type="checkbox"/> <input type="checkbox"/>		
	• Batch containers sampled?	<input type="checkbox"/> <input type="checkbox"/> <input type="checkbox"/>		
9.41	Are samples taken by QC/QA?	<input type="checkbox"/> <input type="checkbox"/> <input type="checkbox"/>		
9.42	Reference samples retained for validity +1 year?	<input type="checkbox"/> <input type="checkbox"/> <input type="checkbox"/>		6.14
9.43	Storage of reference samples under the recommended storage conditions?	<input type="checkbox"/> <input type="checkbox"/> <input type="checkbox"/>		6.14
9.44	Finished products stored in the final packaging?	<input type="checkbox"/> <input type="checkbox"/> <input type="checkbox"/>		6.14
9.45	Quantity of the reference sample makes one (better two) complete reanalysis possible?	<input type="checkbox"/> <input type="checkbox"/> <input type="checkbox"/>		6.14
9.46	Sample room secure?	Yes No		6.14
9.47	Sample room neatly organized and not overcrowded?	Yes No		6.14
	Testing			
9.48	Are the applied analytical methods validated?	<input type="checkbox"/> <input type="checkbox"/> <input type="checkbox"/>		6.15
9.49	Analytical methods in compliance with the registration?	<input type="checkbox"/> <input type="checkbox"/> <input type="checkbox"/>		6.16
9.50	Are all results recorded and checked for correctness?	<input type="checkbox"/> <input type="checkbox"/> <input type="checkbox"/>		6.16
9.51	Are all calculations checked?	<input type="checkbox"/> <input type="checkbox"/> <input type="checkbox"/>		6.16
9.52	Do the testing protocols contain			6.17
	• Name and galenical form of material?	<input type="checkbox"/> <input type="checkbox"/> <input type="checkbox"/>		
	• Batch number?	<input type="checkbox"/> <input type="checkbox"/> <input type="checkbox"/>		

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		Compliance 1 2 3 ^a	Remarks	EU-Guide
10.13	Is a person nominated responsible for the execution and coordination of a recall?	<input type="checkbox"/> <input type="checkbox"/> <input type="checkbox"/>		8.8
10.14	Is the responsible person independent of the marketing and sales organization?	<input type="checkbox"/> <input type="checkbox"/> <input type="checkbox"/>		8.8
10.15	Are the competent authorities informed of an imminent recall?	<input type="checkbox"/> <input type="checkbox"/> <input type="checkbox"/>		8.11
10.16	Does the person responsible for a recall have access to the distribution records?	<input type="checkbox"/> <input type="checkbox"/> <input type="checkbox"/>		8.12
10.17	Do the distribution records contain sufficient information on customers with <ul style="list-style-type: none"> • Addresses? • Phone numbers inside or outside working hours? • Batches and amounts delivered? • Medical samples? 	<input type="checkbox"/> <input type="checkbox"/> <input type="checkbox"/> <input type="checkbox"/> <input type="checkbox"/> <input type="checkbox"/> <input type="checkbox"/> <input type="checkbox"/> <input type="checkbox"/> <input type="checkbox"/> <input type="checkbox"/> <input type="checkbox"/>		8.12
10.18	Are recalled products stored separately in a secure area?	<input type="checkbox"/> <input type="checkbox"/> <input type="checkbox"/>		8.13
10.19	Is a final record made, including a reconciliation between the delivered and recovered quantities?	<input type="checkbox"/> <input type="checkbox"/> <input type="checkbox"/>		8.14
10.20	Is the effectiveness of the arrangements for recalls checked critically from time to time?	<input type="checkbox"/> <input type="checkbox"/> <input type="checkbox"/>		8.15
11	SELF-INSPECTION			9
11.1	Does a self-inspection procedure exist that defines frequency and program?	<input type="checkbox"/> <input type="checkbox"/> <input type="checkbox"/>		9.1
11.2	Are self-inspections carried out to check compliance with GMP rules?	<input type="checkbox"/> <input type="checkbox"/> <input type="checkbox"/>		9.1
11.3	Are self-inspections conducted in an independent and detailed way? by designated competent persons from the company or external experts?	<input type="checkbox"/> <input type="checkbox"/> <input type="checkbox"/> <input type="checkbox"/> <input type="checkbox"/> <input type="checkbox"/>		9.2
11.4	Are self-inspections recorded?	<input type="checkbox"/> <input type="checkbox"/> <input type="checkbox"/>		9.3
11.5	Do reports contain <ul style="list-style-type: none"> • The observations made during a self-inspection? • Proposals for corrective measures? 	<input type="checkbox"/> <input type="checkbox"/> <input type="checkbox"/> <input type="checkbox"/> <input type="checkbox"/> <input type="checkbox"/>		9.3
11.6	Are actions subsequently taken recorded?	<input type="checkbox"/> <input type="checkbox"/> <input type="checkbox"/>		9.3
12	CONTRACT MANUFACTURE AND ANALYSIS			7
12.1	Is a written contract between contract giver and contract acceptor available?	<input type="checkbox"/> <input type="checkbox"/> <input type="checkbox"/>		7.1
12.2	Are responsibilities and duties clearly defined?	<input type="checkbox"/> <input type="checkbox"/> <input type="checkbox"/>		7
12.3	Are all arrangements in accordance with the marketing authorization of the product concerned?	<input type="checkbox"/> <input type="checkbox"/> <input type="checkbox"/>		7.2
	The Contract Giver			
12.4	Competence of the acceptor to carry out the work successfully and according to GMP assessed?	<input type="checkbox"/> <input type="checkbox"/> <input type="checkbox"/>		7.3
12.5	Acceptor provided with all the information necessary to carry out the contract work?	<input type="checkbox"/> <input type="checkbox"/> <input type="checkbox"/>		7.4
12.6	Acceptor informed of safety aspects?	<input type="checkbox"/> <input type="checkbox"/> <input type="checkbox"/>		7.4
12.7	Conformance of products supplied by the acceptor ensured?	<input type="checkbox"/> <input type="checkbox"/> <input type="checkbox"/>		7.5
12.8	Product released by a qualified person on the acceptor's side?	<input type="checkbox"/> <input type="checkbox"/> <input type="checkbox"/>		7.5
	The Contract Acceptor			
12.9	Does the acceptor have <ul style="list-style-type: none"> • Adequate premises and equipment? • Knowledge and experience? • Competent personnel? • A manufacturing authorization? 	<input type="checkbox"/> <input type="checkbox"/> <input type="checkbox"/> <input type="checkbox"/> <input type="checkbox"/> <input type="checkbox"/> <input type="checkbox"/> <input type="checkbox"/> <input type="checkbox"/> <input type="checkbox"/> <input type="checkbox"/> <input type="checkbox"/>		7.6
12.10	Does the acceptor ensure that all products or materials delivered to him or her are suitable?	<input type="checkbox"/> <input type="checkbox"/> <input type="checkbox"/>		7.7

(Continued)

		Compliance 1 2 3 ^a	Remarks	EU-Guide
12.11	There must be no work passed to a third party without the permission of the giver.	<input type="checkbox"/> <input type="checkbox"/> <input type="checkbox"/>		7.8
12.12	If a third party is involved, it must have the necessary manufacturing and analytical information.	<input type="checkbox"/> <input type="checkbox"/> <input type="checkbox"/>		7.8
The Contract				
12.13	Does the written contract specify the responsibilities?	<input type="checkbox"/> <input type="checkbox"/> <input type="checkbox"/>		7.10
12.14	Have technical aspects been drawn up by competent persons?	<input type="checkbox"/> <input type="checkbox"/> <input type="checkbox"/>		7.10
12.15	Release of material and check for compliance with the marketing authorization defined?	<input type="checkbox"/> <input type="checkbox"/> <input type="checkbox"/>		7.11
12.16	Is it defined who is responsible for			7.12
	• Purchasing of materials?	<input type="checkbox"/> <input type="checkbox"/> <input type="checkbox"/>		
	• IPC controls?	<input type="checkbox"/> <input type="checkbox"/> <input type="checkbox"/>		
	• Testing and release of materials?	<input type="checkbox"/> <input type="checkbox"/> <input type="checkbox"/>		
	• Manufacturing and quality control?	<input type="checkbox"/> <input type="checkbox"/> <input type="checkbox"/>		
	• Sampling?	<input type="checkbox"/> <input type="checkbox"/> <input type="checkbox"/>		
	• Storage of batch documentation?	<input type="checkbox"/> <input type="checkbox"/> <input type="checkbox"/>		
12.17	Are manufacturing, analytical, and distribution records available to the contract giver?	<input type="checkbox"/> <input type="checkbox"/> <input type="checkbox"/>		7.13
12.18	Does the contract permit the giver to visit the facilities of the acceptor?	<input type="checkbox"/> <input type="checkbox"/> <input type="checkbox"/>		7.14
12.19	In the case of contract analysis: Does the contract acceptor understand that he or she is subject to inspection by the competent authorities?	<input type="checkbox"/> <input type="checkbox"/> <input type="checkbox"/>		7.15
13	AUDIT OF SUPPLIERS			2.7
13.1	Supplier audits performed for			
	• Excipients?	<input type="checkbox"/> <input type="checkbox"/> <input type="checkbox"/>		
	• Active substances?	<input type="checkbox"/> <input type="checkbox"/> <input type="checkbox"/>		
	• Packaging material?	<input type="checkbox"/> <input type="checkbox"/> <input type="checkbox"/>		

^a 1. Fulfilled or available; 2. partially fulfilled; 3. not fulfilled or not available.

GLOSSARY

Acceptance Criteria: Numerical limits, ranges, or other suitable measures for acceptance of test results.

Active Pharmaceutical Ingredient (API) (or Drug Substance): Any substance or mixture of substances intended to be used in the manufacture of a drug (medicinal) product and that, when used in the production of a drug, becomes an active ingredient of the drug product. Such substances are intended to furnish pharmacological activity or other direct effect in the diagnosis, cure, mitigation, treatment, or prevention of disease or to affect the structure and function of the body.

Air Lock: An enclosed space with two or more doors, which is interposed between two or more rooms, for example, of differing classes of cleanliness, for the purpose of controlling the airflow between those rooms when they need to be entered. An air lock is designed for use either by people or for goods and/or equipment.

API Starting Material: A raw material, intermediate, or API that is used in the production of an API and that is incorporated as a significant structural fragment into

the structure of the API. An API Starting Material can be an article of commerce, a material purchased from one or more suppliers under contract or commercial agreement, or produced in house. API Starting Materials are normally of defined chemical properties and structure.

Authorized Person: The person recognized by the national regulatory authority as having the responsibility for ensuring that each batch of finished product has been manufactured, tested, and approved for release in compliance with the laws and regulations in force in that country.

Batch (or Lot): A specific quantity of material produced in a process or series of processes so that it is expected to be homogeneous within specified limits. In the case of continuous production, a batch may correspond to a defined fraction of the production. The batch size can be defined either by a fixed quantity or by the amount produced in a fixed time interval.

Batch Number (or Lot Number): A unique combination of numbers, letters, and/or symbols that identifies a batch (or lot) and from which the production and distribution history can be determined.

- Batch Records:** All documents associated with the manufacture of a batch of bulk product or finished product. They provide a history of each batch of product and of all circumstances pertinent to the quality of the final product.
- Bioburden:** The level and type (e.g., objectionable or not) of microorganisms that can be present in raw materials, API starting materials, intermediates, or APIs. Bioburden should not be considered contamination unless the levels have been exceeded or defined objectionable organisms have been detected.
- Bulk Product:** Any product that has completed all processing stages up to, but not including, final packaging.
- Calibration:** The demonstration that a particular instrument or device produces results within specified limits by comparison with those produced by a reference or traceable standard over an appropriate range of measurements. The set of operations that establish, under specified conditions, the relationship between values indicated by an instrument or system for measuring (especially weighing), recording, and controlling, or the values represented by a material measure, and the corresponding known values of a reference standard. Limits for acceptance of the results of measuring should be established.
- Clean Area:** An area with defined environmental control of particulate and microbial contamination, constructed and used in such a way as to reduce the introduction, generation, and retention of contaminants within the area.
- Computer System:** A group of hardware components and associated software designed and assembled to perform a specific function or group of functions. A process or operation integrated with a computer system.
- Consignment (or Delivery):** The quantity of a pharmaceutical(s) made by one manufacturer and supplied at one time in response to a particular request or order. A consignment may comprise one or more packages or containers and may include material belonging to more than one batch.
- Contamination:** The undesired introduction of impurities of a chemical or microbiological nature, or of foreign matter, into or on to a starting material or intermediate during production, sampling, packaging or repackaging, and storage or transport.
- Contract Manufacturer:** A manufacturer performing some aspect of manufacturing on behalf of the original manufacturer.
- Critical:** Describes a process step, process condition, test requirement, or other relevant parameter or item that must be controlled within predetermined criteria to ensure that the API meets its specification.
- Critical Operation:** An operation in the manufacturing process that may cause variation in the quality of the pharmaceutical product.
- Cross-Contamination:** Contamination of a material or product with another material or product. Contamination of a starting material, intermediate product, or finished product with another starting material or product during production.
- Deviation:** Departure from an approved instruction or established standard.
- Drug (Medicinal) Product:** The dosage form in the final immediate packaging intended for marketing. (See ICH Guidance Q1A.)
- Drug Substance:** See Active Pharmaceutical Ingredient.
- Expiry Date (or Expiration Date):** The date placed on the container/labels of an API designating the time during which the API is expected to remain within established shelf-life specifications if stored under defined conditions, and after which it should not be used.
- Finished Product:** A finished dosage form that has undergone all stages of manufacture, including packaging in its final container and labeling.
- Impurity:** Any component present in the intermediate or API that is not the desired entity.
- Impurity Profile:** A description of the identified and unidentified impurities present in an API.
- In-Process Control:** Checks performed during production in order to monitor and if necessary, to adjust the process to ensure that the product conforms to its specifications. The control of the environment or equipment may also be regarded as a part of in-process control.
- Intermediate:** A material produced during steps of the processing of an API that undergoes further molecular change or purification before it becomes an API. Intermediates may or may not be isolated. Partly processed product that must undergo further manufacturing steps before it becomes a bulk product.
- Large-Volume Parenterals:** Sterile solutions intended for parenteral application with a volume of 100 mL or more in one container of the finished dosage form.
- Lot:** See Batch.
- Lot Number:** See Batch Number.
- Manufacture:** All operations of receipt of materials, production, packaging, repackaging, labeling, relabeling, quality control, release, storage, and distribution of APIs and related controls.
- Manufacturer:** A company that carries out operations such as production, packaging, repackaging, labeling, and relabeling of pharmaceuticals.
- Marketing Authorization (Product License, Registration Certificate):** A legal document issued by the competent drug regulatory authority that establishes the detailed composition and formulation of the product and the pharmacopoeial or other recognized specifications of its ingredients and of the final product itself, and includes details of packaging, labeling, and shelf life.
- Master Formula:** A document or set of documents specifying the starting materials with their quantities and the packaging materials, together with a description

of the procedures and precautions required to produce a specified quantity of a finished product as well as the processing instructions, including the in-process controls.

Master Record: A document or set of documents that serve as a basis for the batch documentation (blank batch record).

Material: A general term used to denote raw materials (starting materials, reagents, and solvents), process aids, intermediates, APIs, and packaging and labeling materials.

Mother Liquor: The residual liquid that remains after the crystallization or isolation processes. A mother liquor may contain unreacted materials, intermediates, levels of the API, and/or impurities. It may be used for further processing.

Packaging: All operations, including filling and labeling, that a bulk product has to undergo in order to become a finished product. Filling of a sterile product under aseptic conditions, or a product intended to be terminally sterilized, would not normally be regarded as part of packaging.

Packaging Material: Any material intended to protect an intermediate or API during storage and transport. Any material, including printed material, employed in the packaging of a pharmaceutical, but excluding any outer packaging used for transportation or shipment. Packaging materials are referred to as primary or secondary according to whether or not they are intended to be in direct contact with the product.

Pharmaceutical Product: Any material or product intended for human or veterinary use presented in its finished dosage form, or as a starting material for use in such a dosage form, that is subject to control by pharmaceutical legislation in the exporting state and/or the importing state.

Procedure: A documented description of the operations to be performed, the precautions to be taken, and measures to be applied directly or indirectly related to the manufacture of an intermediate or API.

Process Aids: Materials, excluding solvents, used as an aid in the manufacture of an intermediate or API that do not themselves participate in a chemical or biological reaction (e.g., filter aid, activated carbon, and so on).

Process Control: See In-Process Control.

Production: All operations involved in the preparation of a pharmaceutical product, from receipt of materials, through processing, packaging and repackaging, and labeling and relabeling, to completion of the finished product.

Qualification: Action of proving and documenting that equipment or ancillary systems are properly installed, work correctly, and actually lead to the expected results. Qualification is part of validation, but the individual qualification steps alone do not constitute process validation.

Quality Assurance (QA): The sum total of the organized arrangements made with the object of ensuring that all APIs are of the quality required for their intended use and that quality systems are maintained.

Quality Control (QC): Checking or testing that specifications are met.

Quality Unit(s): An organizational unit independent of production that fulfills both Quality Assurance and Quality Control responsibilities. This can be in the form of separate QA and QC units or a single individual or group, depending upon the size and structure of the organization.

Quarantine: The status of starting or packaging materials, intermediates, or bulk or finished products isolated physically or by other effective means while a decision is awaited on their release, rejection, or reprocessing.

Raw Material: A general term used to denote starting materials, reagents, and solvents intended for use in the production of intermediates or APIs.

Reconciliation: A comparison between the theoretical quantity and the actual quantity.

Recovery: The introduction of all or part of previous batches (or of redistilled solvents and similar products) of the required quality into another batch at a defined stage of manufacture. It includes the removal of impurities from waste to obtain a pure substance or the recovery of used materials for a separate use.

Reference Standard, Primary: A substance that has been shown by an extensive set of analytical tests to be authentic material that should be of high purity.

Reference Standard, Secondary: A substance of established quality and purity, as shown by comparison to a primary reference standard, used as a reference standard for routine laboratory analysis.

Reprocessing: Subjecting all or part of a batch or lot of an in-process drug, bulk process intermediate (final biological bulk intermediate), or bulk product of a single batch/lot to a previous step in the validated manufacturing process due to failure to meet predetermined specifications. Reprocessing procedures are foreseen as occasionally necessary for biological drugs and in such cases, are validated and preapproved as part of the marketing authorization.

Retest Date: The date when a material should be reexamined to ensure that it is still suitable for use.

Reworking: Subjecting an in-process or bulk process intermediate (final biological bulk intermediate) or final product of a single batch to an alternate manufacturing process due to a failure to meet predetermined specifications. Reworking is an unexpected occurrence and is not preapproved as part of the marketing authorization.

Self-Contained Area: Premises that provide complete and total separation of all aspects of an operation, including personnel and equipment movement, with well-established procedures, controls, and monitoring.

This includes physical barriers as well as separate air-handling systems but does not necessarily imply two distinct and separate buildings.

Signature (Signed): See definition for signed.

Signed (Signature): The record of the individual who performed a particular action or review. This record can be initials, a full handwritten signature, a personal seal, or an authenticated and secure electronic signature.

Solvent: An inorganic or organic liquid used as a vehicle for the preparation of solutions or suspensions in the manufacture of an intermediate or API.

Specification: A list of detailed requirements with which the products or materials used or obtained during manufacture have to conform. They serve as a basis for quality evaluation.

Standard Operating Procedure (SOP): An authorized written procedure giving instructions for performing operations not necessarily specific to a given product or material (e.g., equipment operation, maintenance, and cleaning; validation; cleaning of premises and environmental control; sampling and inspection). Certain SOPs may be used to supplement product-specific master and batch production documentation.

Starting Material: Any substance of a defined quality used in the production of a pharmaceutical product, but excluding packaging materials.

Validation: A documented program that provides a high degree of assurance that a specific process, method, or system will consistently produce a result meeting predetermined acceptance criteria. Action of proving, in accordance with the principles of GMP, that any procedure, process, equipment, material, activity, or system actually leads to the expected results (see also Qualification).

Validation Protocol: A written plan stating how validation will be conducted and defining acceptance criteria. For example, the protocol for a manufacturing process identifies processing equipment, critical process parameters/operating ranges, product characteristics, sampling, test data to be collected, number of validation runs, and acceptable test results.

Yield, Expected: The quantity of material or the percentage of theoretical yield anticipated at any appropriate phase of production based on previous laboratory, pilot-scale, or manufacturing data.

Yield, Theoretical: The quantity that would be produced at any appropriate phase of production, based upon the quantity of material to be used, in the absence of any loss or error in actual production.

Appendix B: Dissolution Testing

Drug Name	Dosage Form	USP Apparatus	Speed (rpm)	Medium	Volume (mL)	Recommended Sampling Times (min)	Date Updated
Acyclovir	Suspension	II (Paddle)	50	0.1 N HCl	900	10, 20, 30, 45, and 60	February 20, 2004
Amoxicillin/clavulanate potassium	Suspension	II (Paddle)	75	Water (de-aerated)	900	5, 10, 15, and 30	January 14, 2004
Ampicillin/ampicillin trihydrate	Suspension oral, powder	II (Paddle)	25	Water (de-aerated)	900	5, 10, 15, and 20	January 3, 2007
Azithromycin	Suspension oral	II (Paddle)	50	Phosphate buffer, pH 6.0	900	10, 20, 30, and 45	August 17, 2006
Carbamazepine	Suspension	II (Paddle)	50	Water (de-aerated)	900	10, 20, 30, 45, and 60	January 20, 2004
Cefadroxil	Suspension	II (Paddle)	25	Water	900	5, 10, 15, 30, and 45	July 25, 2007
Cefdinir	Suspension	II (Paddle)	50	0.05 M phosphate buffer, pH 6.8	900	10, 20, 30, and 45	April 9, 2007
Cefixime	Suspension	II (Paddle)	50	0.05 M phosphate buffer, pH 7.2	900	10, 20, 30, and 45	April 9, 2007
Cefpodoxime proxetil	Suspension	II (Paddle)	50	0.04 M glycine buffer, pH 3.0	900	10, 20, 30, and 45	December 20, 2005
Cefprozil monohydrate	Suspension	II (Paddle)	25	Water (de-aerated)	900	5, 10, 15, and 30	January 21, 2004
Ceftibuten dihydrate	Suspension	II (Paddle)	50	0.05 M phosphate buffer, pH 7.0	1000	10, 20, 30, and 45	January 21, 2004
Cephalexin	Suspension	II (Paddle)	25	Water	900	5, 10, 20, and 30	July 25, 2007
Clarithromycin	Suspension	II (Paddle)	50	0.05 M phosphate buffer, pH 6.8	900	10, 20, 30, 45, and 60	January 23, 2004
Dextromethorphan polistirex	Suspension	II (Paddle)	50	0.1 N HCl	500	30, 60, 90, and 180	March 4, 2006
Erythromycin ethylsuccinate	Suspension	II (Paddle)	75	Monobasic sodium phosphate, pH 6.8 buffer with 1% SLS buffer	900	10, 20, 30, 45, and 60	January 27, 2004
Felbamate	Suspension	II (Paddle)	50	Water (de-aerated)	900	5, 10, 15, and 30	January 28, 2004
Fluconazole (200 mg/5 mL)	Suspension	II (Paddle)	50	Water (de-aerated)	900	10, 20, 30, and 45	January 30, 2004
Fluconazole (50 mg/5 mL)	Suspension	II (Paddle)	50	Water (de-aerated)	500	10, 20, 30, and 45	January 30, 2004
Fosamprenavir calcium	Suspension oral	II (Paddle)	25	10 mM HCl	900	5, 10, 15, and 20	December 3, 2007
Gritseofulvin	Suspension	II (Paddle)	50	0.54% SLS	1000	10, 20, 30, and 45	April 9, 2007
Ibuprofen/pseudoephedrine HCl	Suspension	II (Paddle)	50	0.05 M phosphate buffer, pH 7.2	900	5, 10, 15, and 30	February 4, 2004
Linezolid	Suspension	II (Paddle)	50	0.05 M phosphate buffer, pH 6.8	900	10, 20, 30, and 45	January 14, 2008
Meloxicam	Suspension	II (Paddle)	25	Phosphate buffer at pH 7.5	900	5, 10, 15, and 30	January 26, 2006
Mesalamine enema	Suspension, enema	II (Paddle)	50	Phosphate buffer, pH 7.2	900	5, 10, 15, and 30	June 18, 2007
Mycophenolate mofetil	Suspension	II (Paddle)	40	0.1 N HCl	900	5, 10, 20, and 30	February 10, 2004
Nevirapine	Suspension	II (Paddle)	25	0.1 N HCl	900	10, 20, 30, 45, and 60	February 11, 2004
Oxcarbazepine	Suspension	II (Paddle)	75	1% SDS in water	900	10, 20, 30, and 45	February 12, 2004
Paroxetine HCl	Suspension	II (Paddle)	100	SGF without enzyme	900	10, 20, 30, and 45	February 13, 2004
Phenyletoin	Suspension	II (Paddle)		Refer to USP			June 18, 2007
Posaconazole	Suspension oral	II (Paddle)	25	0.3% SLS	900	10, 20, 30, and 45	December 3, 2007
Sucralfate	Suspension	II (Paddle)	75	0.1 N HCl/0.067 M KCl, pH 1.0	900	10, 20, 30, and 45	March 4, 2006
Sulfamethoxazole/trimethoprim	Suspension	II (Paddle)	50	1 mL of 0.2 N HCl in water	900	10, 20, 30, 45, 60, and 90	February 25, 2004
Sulfisoxazole acetyl (pediatric)	Suspension oral (pediatric)	II (Paddle)	30	1% SLS in 0.1 N HCl	900	15, 30, 45, 60, and 90	August 17, 2006
Voriconazole	Suspension	II (Paddle)	50	0.1 N HCl	900	10, 20, 30, and 45	January 3, 2007



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Appendix C: Excipients

ACACIA	ORAL	SUSPENSION	1	mg/5 mL
ACESULFAME POTASSIUM	ORAL	SUSPENSION	10	mg/5 mL
ACESULFAME POTASSIUM	ORAL	SUSPENSION, LIQUID	7.5	mg/5 mL
ACETIC ACID	AURICULAR (OTIC)	SUSPENSION		Adjustment of pH (ADJPH)
ACETIC ACID	AURICULAR (OTIC)	SUSPENSION, LIQUID	0.26	%
ACETIC ACID	INTRATRACHEAL	SUSPENSION		ADJPH
ACETIC ACID	ORAL	SYRUP	0.2	%
ACETIC ACID	ORAL	SYRUP		ADJPH
ACETIC ACID	OTIC	SUSPENSION	0.04	%
ALCOHOL	AEROSOL	TOPICAL	2	W/V
ALCOHOL	INHALATION	METERED	95.89	%
ALCOHOL	INHALATION	SPRAY	33	%
ALCOHOL	NASAL		2	%
ALCOHOL	NASAL	METERED	0.7	%
ALCOHOL	ORAL	SYRUP	290	mg/5 mL
ALCOHOL	RECTAL	SUSPENSION	0.35	%
ALCOHOL	RESPIRATORY (INHALATION)	METERED	10	%
ALCOHOL	TOPICAL	SWAB	30	%W/V
ALCOHOL	TOPICAL	SPRAY	10.3	%W/W
ALCOHOL, DENATURED	AEROSOL	TOPICAL	68	W/V
ALCOHOL, DENATURED	TOPICAL		68	%W/W
ALCOHOL, DILUTED	AEROSOL	TOPICAL	68.5	%
ALCOHOL, DILUTED	TOPICAL		68.5	%W/W
ALPHA-TOCOPHEROL, DL-	ORAL	SUSPENSION	0.005	mg/5 mL
ALPHA-TOCOPHEROL, DL-	TOPICAL	FOAM	0.002	%W/W
AMARANTH	ORAL	SYRUP	0.003	%
AMARANTH	ORAL	CONCENTRATE	0.1	mg
AMMONIA SOLUTION	ORAL	SUSPENSION	0.15	mg/1 mL
AMMONIUM CHLORIDE	ORAL	SYRUP	131.68	mg/5 mL
AMMONIUM GLYCYRRHIZATE	ORAL	SUSPENSION	0.6	mg/5 mL
AMMONIUM GLYCYRRHIZATE	ORAL	SYRUP	1.25	mg/5 mL
AMMONIUM GLYCYRRHIZATE	ORAL	GRANULE	3.13	mg
AMMONIUM GLYCYRRHIZATE	ORAL	GRANULE, FOR ORAL SUSPENSION	2.33	mg
AMMONIUM GLYCYRRHIZATE	ORAL	GRANULE, FOR SUSPENSION	60	mg
AMMONYX	TOPICAL	METERED	3	%W/W
ANETHOLE	ORAL	SYRUP	2.3	mg/5 mL
ANHYDROUS CITRIC ACID	AEROSOL	TOPICAL	0.01	W/V
ANHYDROUS CITRIC ACID	ORAL	SUSPENSION	5.1	mg/5 mL
ANHYDROUS CITRIC ACID	ORAL	SUSPENSION	75	mg/5 mL
ANHYDROUS CITRIC ACID	ORAL	SUSPENSION, DROPS	2.5	mg/1 mL
ANHYDROUS CITRIC ACID	ORAL	SUSPENSION, EXTENDED RELEASE	2.25	mg/1 mL
ANHYDROUS CITRIC ACID	ORAL	SYRUP	22.32	mg/5 mL
ANHYDROUS CITRIC ACID	ORAL	SYRUP	0.7	mg/mL
ANHYDROUS CITRIC ACID	ORAL	GRANULE, FOR ORAL SUSPENSION	4.9	mg
ANHYDROUS CITRIC ACID	ORAL	SUSPENSION	5.1	mg/5 mL
ANHYDROUS CITRIC ACID	RECTAL	SUSPENSION	0.11	%
ANHYDROUS CITRIC ACID	RESPIRATORY (INHALATION)	SUSPENSION, FOR INHALATION	0.027	%

(Continued)

APPENDIX C (CONTINUED)

ANHYDROUS CITRIC ACID	TOPICAL		0.01	%W/W
ANHYDROUS CITRIC ACID	TOPICAL	FOAM		ADJPH
ANHYDROUS DEXTROSE	ORAL	GRANULE, FOR ORAL SUSPENSION	2813	mg
ANHYDROUS LACTOSE	ORAL	GRANULE	433	mg
ANHYDROUS LACTOSE	ORAL	GRANULE, FOR RECONSTITUTION	15.69	mg
ANHYDROUS TRISODIUM CITRATE	ORAL	SUSPENSION	7.5	mg/5 mL
ANHYDROUS TRISODIUM CITRATE	ORAL	SYRUP	7.95	mg/5 mL
ANISE OIL	ORAL	SUSPENSION	20	%
APAFLURANE	INHALATION	METERED	6.82	%
APAFLURANE	RESPIRATORY (INHALATION)	METERED	7.46	%
ASCORBIC ACID	INHALATION	METERED	959.5	mg/INH
ASCORBIC ACID	INHALATION	SPRAY	0.11	%
ASCORBIC ACID	ORAL	SUSPENSION	50	mg/5 mL
ASCORBIC ACID	ORAL	SUSPENSION, EXTENDED RELEASE	2.93	mg/5 mL
ASCORBIC ACID	ORAL	SYRUP	29.97	mg/5 mL
ASCORBIC ACID	ORAL	CONCENTRATE	6	mg/1 mL
ASPARTAME	ORAL	SUSPENSION	50	mg/5 mL
ASPARTAME	ORAL	SYRUP	0.63	mg/5 mL
ASPARTAME	ORAL	GRANULE, FOR SUSPENSION	35	mg
BENTONITE	ORAL	SUSPENSION	65	mg/5 mL
BENZALDEHYDE	ORAL	SUSPENSION	0.6	mg/1 mL
BENZALKONIUM CHLORIDE	AURICULAR (OTIC)	SUSPENSION	0.02	%
BENZALKONIUM CHLORIDE	INTRA-ARTICULAR	SUSPENSION	0.01	%
BENZALKONIUM CHLORIDE	INTRAMUSCULAR	SUSPENSION	0.01	%
BENZALKONIUM CHLORIDE	OPHTHALMIC	SUSPENSION	0.025	%
BENZALKONIUM CHLORIDE	OPHTHALMIC	SUSPENSION, DROPS	0.025	%
BENZALKONIUM CHLORIDE	OTIC	SUSPENSION	0.01	%
BENZALKONIUM CHLORIDE	TOPICAL	SUSPENSION	0.003	%W/W
BENZALKONIUM CHLORIDE	TOPICAL	SUSPENSION, DROPS	0.01	%W/W
BENZOIC ACID	ORAL	SUSPENSION	25	mg/5 mL
BENZOIC ACID	ORAL	SYRUP	7.53	mg/5 mL
BENZOIC ACID	ORAL	CONCENTRATE	12.5	mg/5 mL
BENZOIC ACID	RECTAL	SUSPENSION	0.002	%
BENZOIC ACID	TOPICAL	FOAM	0.0004	%W/W
BENZYL ALCOHOL	AURICULAR (OTIC)	SUSPENSION, LIQUID	0.9	%
BENZYL ALCOHOL	INTRA-ARTERIAL	SUSPENSION, INJECTION	9.16	mg/1 mL
BENZYL ALCOHOL	INTRA-SYNOVIAL	SUSPENSION, INJECTION	9.16	mg/1 mL
BENZYL ALCOHOL	INTRALESIONAL	SUSPENSION, INJECTION	0.92	%
BENZYL ALCOHOL	INTRALESIONAL	SUSPENSION, INJECTION	9.16	mg/1 mL
BENZYL ALCOHOL	INTRAMUSCULAR	SUSPENSION, INJECTION	0.92	%W/V
BENZYL ALCOHOL	INTRAMUSCULAR	SUSPENSION, INJECTION	9.16	mg/1 mL
BENZYL ALCOHOL	INTRASYNOVIAL	SUSPENSION, INJECTION	0.92	%
BENZYL ALCOHOL	ORAL	SUSPENSION	100	mg/10 mL
BENZYL ALCOHOL	ORAL	SUSPENSION	52.35	mg/5 mL
BENZYL ALCOHOL	SOFT TISSUE	SUSPENSION, INJECTION	0.92	%
BENZYL ALCOHOL	SOFT TISSUE	SUSPENSION, INJECTION	9.16	mg/1 mL
BENZYL ALCOHOL	TOPICAL	SUSPENSION	1	%W/W
BORIC ACID	OPHTHALMIC	SUSPENSION	1	%
BORIC ACID	OPHTHALMIC	SUSPENSION, DROPS	0.6	%
BORIC ACID	OTIC	SUSPENSION	0.6	%
BORIC ACID	TOPICAL	SUSPENSION	0.3	%W/W
BUTANE	RECTAL	EMULSION	0.45	%

(Continued)

APPENDIX C (CONTINUED)

BUTANE	SUBLINGUAL	METERED	2.2	%
BUTYLATED HYDROXYANISOLE	ORAL	SUSPENSION	2.5	mg/5 mL
BUTYLATED HYDROXYANISOLE	ORAL	SYRUP	0.5	mg/5 mL
BUTYLATED HYDROXYANISOLE	ORAL	CONCENTRATE	0.075	mg/1 mL
BUTYLATED HYDROXYTOLUENE	AEROSOL	TOPICAL	0.1	W/V
BUTYLATED HYDROXYTOLUENE	TOPICAL	SUSPENSION	0.016	%W/W
BUTYLATED HYDROXYTOLUENE	TOPICAL		0.1	%W/W
BUTYLATED HYDROXYTOLUENE	TOPICAL	FOAM	0.1	%
BUTYLPARABEN	ORAL	SUSPENSION	8	mg/5 mL
BUTYLPARABEN	ORAL	SYRUP	0.18	mg/1 G
BUTYLPARABEN	ORAL	DROPS	1	mg/1 mL
CALCIUM ACETATE	ORAL	SYRUP	3	mg/5 mL
CALCIUM CHLORIDE	INTRAVITREAL	SUSPENSION, INJECTION	0.048	%
CALCIUM CHLORIDE	ORAL	CONCENTRATE	0.08	mg/1 mL
CALCIUM HYDROXIDE	ORAL	SUSPENSION		ADJPH
CAPRYLIC/CAPRIC/SUCCINIC TRIGLYCERIDE	SUBLINGUAL	METERED	1.04	%
CAPSICUM OLEORESIN	ORAL	SYRUP	0.053	mg/5 mL
CARAMEL	ORAL	SUSPENSION	111.12	mg/5 mL
CARAMEL	ORAL	SYRUP	207	mg/5 mL
CARAMEL	ORAL	GRANULE	2.5	mg
CARBOMER HOMOPOLYMER TYPE B (ALLYL PENTAERYTHRITOL CROSSLINKED)	OPHTHALMIC	SUSPENSION	0.5	%
CARBOMER HOMOPOLYMER TYPE B (ALLYL PENTAERYTHRITOL CROSSLINKED)	OPHTHALMIC	SUSPENSION, DROPS	0.45	%
CARBOMER HOMOPOLYMER TYPE B (ALLYL PENTAERYTHRITOL CROSSLINKED)	ORAL	SUSPENSION	0.4	%W/W
CARBOMER HOMOPOLYMER TYPE B (ALLYL PENTAERYTHRITOL CROSSLINKED)	ORAL	SUSPENSION	8	mg/5 mL
CARBOMER HOMOPOLYMER TYPE B (ALLYL PENTAERYTHRITOL CROSSLINKED)	ORAL	GRANULE, FOR SUSPENSION	150	mg
CARBOMER HOMOPOLYMER TYPE B (ALLYL PENTAERYTHRITOL OR ALLYL SUCROSE CROSSLINKED)	OPHTHALMIC	SUSPENSION	0.45	%
CARBOMER HOMOPOLYMER TYPE B (ALLYL PENTAERYTHRITOL OR ALLYL SUCROSE CROSSLINKED)	OPHTHALMIC	SUSPENSION, DROPS	0.4	%
CARBOMER HOMOPOLYMER TYPE B (ALLYL PENTAERYTHRITOL OR ALLYL SUCROSE CROSSLINKED)	ORAL	SUSPENSION	50	mg/5 mL
CARBOMER HOMOPOLYMER TYPE B (ALLYL PENTAERYTHRITOL OR ALLYL SUCROSE CROSSLINKED)	RECTAL	ENEMA	0.24	%
CARBOXYMETHYLCELLULOSE	ORAL	SUSPENSION	64	mg/5 mL
CARBOXYMETHYLCELLULOSE SODIUM, UNSPECIFIED FORM			12.85	mg/2.5ML
CARBOXYMETHYLCELLULOSE SODIUM, UNSPECIFIED FORM	ENTERAL	SUSPENSION	29.2	mg/mL
CARBOXYMETHYLCELLULOSE SODIUM, UNSPECIFIED FORM	INTRAVITREAL	SUSPENSION, INJECTION	0.5	%
CARBOXYMETHYLCELLULOSE SODIUM, UNSPECIFIED FORM	ORAL	SUSPENSION	2000	mg/5 mL

(Continued)

APPENDIX C (CONTINUED)

CARBOXYMETHYLCELLULOSE SODIUM, UNSPECIFIED FORM	ORAL	SUSPENSION, DROPS	2.5	mg/2.5 mL
CARBOXYMETHYLCELLULOSE SODIUM, UNSPECIFIED FORM	ORAL	SUSPENSION, SYRUP, SUSTAINED ACTION	0.02	%
CARBOXYMETHYLCELLULOSE SODIUM, UNSPECIFIED FORM	ORAL	SYRUP	50	mg/5 mL
CARBOXYMETHYLCELLULOSE SODIUM, UNSPECIFIED FORM	ORAL	GRANULE	25.7	mg
CARMINE	ORAL	SUSPENSION	50.4	mg/5 mL
CARRAGEENAN	ORAL	GRANULE, FOR RECONSTITUTION	6	mg
CARRAGEENAN	ORAL	GRANULE, FOR SUSPENSION	20.15	mg
CASTOR OIL	ORAL	GRANULE, FOR SUSPENSION	32	mg
CELLULOSE MICROCRYSTALLINE/CARBOXYMETHYLCELLULOSE SODIUM	ORAL	SUSPENSION	150	mg/5 mL
CELLULOSE MICROCRYSTALLINE/CARBOXYMETHYLCELLULOSE SODIUM	ORAL	SUSPENSION, DROPS	13.1	mg/1 mL
CELLULOSE MICROCRYSTALLINE/CARBOXYMETHYLCELLULOSE SODIUM	ORAL	SUSPENSION, LIQUID	150	mg/5 mL
CELLULOSE MICROCRYSTALLINE/CARBOXYMETHYLCELLULOSE SODIUM	ORAL	SUSPENSION, SYRUP, SUSTAINED ACTION	0.15	%
CELLULOSE MICROCRYSTALLINE/CARBOXYMETHYLCELLULOSE SODIUM	ORAL	GRANULE, FOR ORAL SUSPENSION	93.3	mg
CELLULOSE MICROCRYSTALLINE/CARBOXYMETHYLCELLULOSE SODIUM	ORAL	GRANULE, FOR SUSPENSION	50	mg
CETOSTEARYL ALCOHOL	TOPICAL	SUSPENSION	2.5	%W/W
CETOSTEARYL ALCOHOL	TOPICAL	FOAM	0.26	%W/W
CETYL ALCOHOL	AEROSOL	TOPICAL	1.16	W/V
CETYL ALCOHOL	AURICULAR (OTIC)	SUSPENSION	1	%
CETYL ALCOHOL	OPHTHALMIC	SUSPENSION	0.5	%
CETYL ALCOHOL	RECTAL	METERED	0.16	%
CETYL ALCOHOL	RECTAL	EMULSION	0.66	%
CETYL ALCOHOL	TOPICAL	SUSPENSION	2.01	%W/W
CETYL ALCOHOL	TOPICAL		1.16	%W/W
CETYL ALCOHOL	TOPICAL	FOAM	1.17	%
CHERRY JUICE	ORAL	CONCENTRATE	20	mg
CHLOROFORM	ORAL	SYRUP	0.001	mL/mL
CINNAMALDEHYDE	ORAL	SUSPENSION	0.01	%
CINNAMON OIL	ORAL	SUSPENSION	0.2	mg/5 mL
CINNAMON OIL	ORAL	SYRUP	0.05	mg/5 mL
CITRIC ACID MONOHYDRATE	AEROSOL	TOPICAL	0.11	W/V
CITRIC ACID MONOHYDRATE	INHALATION	SUSPENSION, FOR INHALATION	0.003	%
CITRIC ACID MONOHYDRATE	INHALATION	SUSPENSION, FOR INHALATION	0.028	%
CITRIC ACID MONOHYDRATE	INHALATION	METERED	0.002	mg/INH
CITRIC ACID MONOHYDRATE	INTRAMUSCULAR	SUSPENSION, EXTENDED RELEASE	0.75	%
CITRIC ACID MONOHYDRATE	INTRAMUSCULAR	SUSPENSION, INJECTION	0.75	%
CITRIC ACID MONOHYDRATE	OPHTHALMIC	SUSPENSION		ADJPH

(Continued)

APPENDIX C (CONTINUED)

CITRIC ACID MONOHYDRATE	ORAL	SUSPENSION	70.32	mg/5 mL
CITRIC ACID MONOHYDRATE	ORAL	SUSPENSION, DROPS	6	mg/2.5 mL
CITRIC ACID MONOHYDRATE	ORAL	SUSPENSION, FOR INHALATION	0.612	mg/2 mL
CITRIC ACID MONOHYDRATE	ORAL	SUSPENSION, LIQUID	8.98	mg/1 mL
CITRIC ACID MONOHYDRATE	ORAL	SUSPENSION, SUSTAINED ACTION	140.8	mg/1 PKT
CITRIC ACID MONOHYDRATE	ORAL	SUSPENSION, SUSTAINED ACTION	5	mg/5 mL
CITRIC ACID MONOHYDRATE	ORAL	SUSPENSION, SYRUP, SUSTAINED ACTION	60	mg/5 mL
CITRIC ACID MONOHYDRATE	ORAL	SYRUP	60	mg/5 mL
CITRIC ACID MONOHYDRATE	ORAL	DROPS	1.8	mg/1 mL
CITRIC ACID MONOHYDRATE	ORAL	GRANULE	6.25	mg
CITRIC ACID MONOHYDRATE	ORAL	GRANULE, FOR ORAL SUSPENSION	4.9	mg
CITRIC ACID MONOHYDRATE	ORAL	GRANULE, FOR RECONSTITUTION	6	mg
CITRIC ACID MONOHYDRATE	ORAL	GRANULE, FOR SUSPENSION	9.1	mg
CITRIC ACID MONOHYDRATE	ORAL	GRANULE, FOR SUSPENSION	14.1	mg/5 mL
CITRIC ACID MONOHYDRATE	ORAL	CONCENTRATE	7	mg/1 mL
CITRIC ACID MONOHYDRATE	RECTAL	EMULSION	0.29	%
CITRIC ACID MONOHYDRATE	RESPIRATORY (INHALATION)	SUSPENSION, FOR INHALATION	0.85	%W/V
CITRIC ACID MONOHYDRATE	TOPICAL		0.11	%W/W
CITRIC ACID MONOHYDRATE	TOPICAL	FOAM	0.1	%W/W
CLOVE OIL	ORAL	SUSPENSION	0.1	mg/5 mL
COCO DIETHANOLAMIDE	TOPICAL	SUSPENSION	4	%W/W
COCO DIETHANOLAMIDE	TOPICAL	METERED	3	%W/W
COCOA	ORAL	SUSPENSION	246.66	mg/5 mL
COLA NUT	ORAL	CONCENTRATE	247.2	mg/1 mL
COPOVIDONE K25-31	ORAL	SUSPENSION	21.5	mg/5 mL
CORN OIL	ORAL	SUSPENSION	50	%
CORN OIL	ORAL	SUSPENSION	33	mg/15 mL
CORN OIL	ORAL	SUSPENSION, EXTENDED RELEASE	2.56	mg/5 mL
CORN SYRUP	ORAL	SUSPENSION	34.2	%
CORN SYRUP	ORAL	SUSPENSION, LIQUID	2500	mg/5 mL
CORN SYRUP	ORAL	SUSPENSION, SUSTAINED ACTION	1500	mg/5 mL
CORN SYRUP	ORAL	SYRUP	65.78	%
CROSCARMELLOSE SODIUM	ORAL	SUSPENSION	50	mg/5 mL
CROSCARMELLOSE SODIUM	ORAL	GRANULE	16.1	mg
CROSCARMELLOSE SODIUM	ORAL	GRANULE, FOR RECONSTITUTION	143.5	mg
CROSCARMELLOSE SODIUM	ORAL	GRANULE, FOR SUSPENSION	35.3	mg
CROSPVIDONE (15 MPA.S AT 5%)	ORAL	GRANULE, FOR ORAL SUSPENSION	36.3	mg/SACHET
CROSPVIDONE, UNSPECIFIED	ORAL	SUSPENSION	2.5	mg/5 mL
CROSPVIDONE, UNSPECIFIED	ORAL	SUSPENSION, SUSTAINED ACTION	186.8	mg/1 PKT
CROSPVIDONE, UNSPECIFIED	ORAL	GRANULE, FOR ORAL SUSPENSION	75	mg
CYCLOMETHICONE 5	AEROSOL	TOPICAL	2.5	W/V
CYCLOMETHICONE 5	TOPICAL		2.5	%W/W
D&C RED NO. 28	AEROSOL	TOPICAL	0.001	W/V

(Continued)

APPENDIX C (CONTINUED)

D&C RED NO. 28	ORAL	SUSPENSION	2.5	mg/5 mL
D&C RED NO. 28	TOPICAL		0.001	%W/W
D&C RED NO. 30	ORAL	SUSPENSION, EXTENDED RELEASE	1.8	mg/5 mL
D&C RED NO. 30 LAKE	ORAL	SUSPENSION	0.3	mg/5 mL
D&C RED NO. 30 LAKE	ORAL	GRANULE, FOR SUSPENSION	0.85	mg
D&C RED NO. 33	ORAL	SUSPENSION	0.13	mg/5 mL
D&C RED NO. 33	ORAL	SUSPENSION, LIQUID	0.065	mg/5 mL
D&C RED NO. 33	ORAL	SYRUP	0.097	mg
D&C RED NO. 33	ORAL	CONCENTRATE	0.002	%
D&C YELLOW NO. 10	ORAL	SUSPENSION	20	mg/5 mL
D&C YELLOW NO. 10	ORAL	SUSPENSION, EXTENDED RELEASE	0.3	mg/5 mL
D&C YELLOW NO. 10	ORAL	SUSPENSION, LIQUID	0.04	mg/5 mL
D&C YELLOW NO. 10	ORAL	SYRUP	1	mg/5 mL
D&C YELLOW NO. 10	ORAL	CONCENTRATE	0.025	mg/1 mL
D&C YELLOW NO. 10—ALUMINUM LAKE	ORAL	SUSPENSION	1.8	mg/5 mL
DEXTRIN	ORAL	GRANULE, FOR ORAL SOLUTION	22	mg
DEXTROSE, UNSPECIFIED FORM	ORAL	SUSPENSION	500	mg/5 mL
DEXTROSE, UNSPECIFIED FORM	ORAL	SYRUP	1350	mg/5 mL
DEXTROSE, UNSPECIFIED FORM	ORAL	GRANULE, FOR ORAL SUSPENSION	2813	mg
DEXTROSE, UNSPECIFIED FORM	ORAL	CONCENTRATE	40	mg/mL
DIBASIC POTASSIUM PHOSPHATE	ORAL	SUSPENSION	5	mg/1 mL
DIBASIC POTASSIUM PHOSPHATE	ORAL	SYRUP	22	mg/5 mL
DIBUTYL SEBACATE	ORAL	GRANULE, ENTERIC COATED	43.2	mg
DICHLORODIFLUOROMETHANE	INHALATION	METERED	14,700	mg/INH
DICHLORODIFLUOROMETHANE	INHALATION	SPRAY	25	%
DICHLORODIFLUOROMETHANE	INTRAPLEURAL	METERED	26	%
DICHLORODIFLUOROMETHANE	NASAL	METERED	6.12	%
DICHLORODIFLUOROMETHANE	RECTAL	METERED	13.5	%
DICHLOROFLUOROMETHANE	ORAL	METERED	35	%
DICHLOROTETRAFLUROETHANE	INHALATION	METERED	51.12	%
DICHLOROTETRAFLUROETHANE	INHALATION	SPRAY	53.28	%
DICHLOROTETRAFLUROETHANE	NASAL	METERED	0.86	%
DICHLOROTETRAFLUROETHANE	RECTAL	METERED	9	%
DIMETHYLAMINOETHYL METHACRYLATE—BUTYL METHACRYLATE—METHYL METHACRYLATE COPOLYMER	ORAL	SUSPENSION	85	mg/5 mL
DIVINYLBENZENE STYRENE COPOLYMER	OPHTHALMIC	SUSPENSION, DROPS	0.75	%
DOCUSATE SODIUM	ORAL	SUSPENSION	6	mg/5 mL
DOCUSATE SODIUM	ORAL	SUSPENSION, SUSTAINED ACTION	0.77	mg/1 PKT
DYE CARAMEL 105	ORAL	SYRUP	0.26	mg/5 mL
DYE CARAMEL ACID PROOF 100	ORAL	SYRUP	0.048	%
DYE FDC BLUE NO. 10	ORAL	SYRUP	0.004	mg/5 mL
DYE WILD CHERRY 7598	ORAL	SYRUP	0.18	mg/5 mL
EDETATE CALCIUM DISODIUM	ORAL	CONCENTRATE	0.25	mg/1 mL
EDETATE DISODIUM	INHALATION	SUSPENSION, FOR INHALATION	0.01	%
EDETATE DISODIUM	OPHTHALMIC	SUSPENSION	0.13	%
EDETATE DISODIUM	OPHTHALMIC	SUSPENSION, DROPS	0.1	%

(Continued)

APPENDIX C (CONTINUED)

EDETATE DISODIUM	ORAL	SUSPENSION	7.5	mg/5 mL
EDETATE DISODIUM	ORAL	SUSPENSION, DROPS	1	mg/2.5 mL
EDETATE DISODIUM	ORAL	SUSPENSION, EXTENDED RELEASE	10	mg/5 mL
EDETATE DISODIUM	ORAL	SUSPENSION, FOR INHALATION	0.2	mg/2 mL
EDETATE DISODIUM	ORAL	SUSPENSION, SYRUP, SUSTAINED ACTION	0.01	%
EDETATE DISODIUM	ORAL	SYRUP	25	mg/5 mL
EDETATE DISODIUM	ORAL	DROPS	0.05	%W/V
EDETATE DISODIUM	ORAL	CONCENTRATE	3	mg/1 mL
EDETATE DISODIUM	OTIC	SUSPENSION	0.01	%
EDETATE DISODIUM	RECTAL	EMULSION	0.085	%
EDETATE DISODIUM	RECTAL	ENEMA	0.1	%
EDETATE DISODIUM	RESPIRATORY (INHALATION)	SUSPENSION, FOR INHALATION	0.02	%
EDETATE DISODIUM	TOPICAL	SUSPENSION, DROPS	0.1	%W/W
EDETATE SODIUM	OPHTHALMIC	SUSPENSION	0.02	%
EDETIC ACID	AURICULAR (OTIC)	SUSPENSION	0.001	%
EDETIC ACID	TOPICAL	SUSPENSION	0.06	%W/W
EMULSIFYING WAX	RECTAL	METERED	1.5	%
EMULSIFYING WAX	RECTAL	EMULSION	1.32	%
EMULSIFYING WAX	TOPICAL	FOAM	1.05	%W/W
ESSENCE FRITZBRO ORANGE	ORAL	SUSPENSION	0.4	%
ESSENCE LEMON	ORAL	SYRUP	0.05	%
ESSENCE ORANGE	ORAL	SYRUP	0.2	%
ETHYL ACRYLATE AND METHYL METHACRYLATE COPOLYMER (2:1; 750000 MW)	ORAL	GRANULE	273.6	mg/1 PKT
ETHYL BUTYRATE	ORAL	SUSPENSION	1	mg/10 mL
ETHYL MALTOL	ORAL	SUSPENSION, EXTENDED RELEASE	5	mg/5 mL
ETHYL MALTOL	ORAL	SYRUP	30.5	mg/5 mL
ETHYL VANILLIN	ORAL	SUSPENSION	0.08	mg/5 mL
ETHYLCELLULOSE (45 MPA.S)	ORAL	SUSPENSION, EXTENDED RELEASE	8.19	mg/5 mL
ETHYLCELLULOSE, UNSPECIFIED	ORAL	GRANULE, FOR SUSPENSION	85	mg
ETHYLPARABEN	ORAL	SUSPENSION	2	mg/5 mL
ETHYLPARABEN	ORAL	GRANULE, FOR ORAL SOLUTION	0.6	mg
EUCALYPTUS OIL	ORAL	SYRUP	0.014	%
FD&C BLUE NO. 1	ORAL	SUSPENSION	0.075	mg/5 mL
FD&C BLUE NO. 1	ORAL	SUSPENSION, LIQUID	0.045	mg/5 mL
FD&C BLUE NO. 1	ORAL	SYRUP	0.043	mg/5 mL
FD&C GREEN NO. 3	ORAL	SYRUP	3.75	mg/5 mL
FD&C RED NO. 3	ORAL	SUSPENSION	1	mg/5 mL
FD&C RED NO. 3	ORAL	SYRUP	0.15	mg/5 mL
FD&C RED NO. 3	ORAL	DROPS	0.13	mg/2.5 mL
FD&C RED NO. 3	ORAL	GRANULE	0.25	mg
FD&C RED NO. 40	ORAL	SUSPENSION	0.168	mg/5 mL
FD&C RED NO. 40	ORAL	SUSPENSION, DROPS	0.4	mg/2.5 mL
FD&C RED NO. 40	ORAL	SUSPENSION, LIQUID	0.25	mg/5 mL
FD&C RED NO. 40	ORAL	SUSPENSION, SYRUP, SUSTAINED ACTION	0.001	%
FD&C RED NO. 40	ORAL	SYRUP	0.4	%
FD&C RED NO. 40	ORAL	SYRUP	0.23	mg/5 mL

(Continued)

APPENDIX C (CONTINUED)

FD&C RED NO. 40	ORAL	DROPS	0.01	mg/1 mL
FD&C RED NO. 40	ORAL	CONCENTRATE	0.004	%
FD&C RED NO. 40—ALUMINUM LAKE	ORAL	SUSPENSION	2	mg/5 mL
FD&C YELLOW NO. 5	ORAL	SYRUP	0.1	mg
FD&C YELLOW NO. 6	ORAL	SUSPENSION	5	mg/5 mL
FD&C YELLOW NO. 6	ORAL	SUSPENSION, EXTENDED RELEASE	0.12	mg/5 mL
FD&C YELLOW NO. 6	ORAL	SUSPENSION, LIQUID	0.01	%
FD&C YELLOW NO. 6	ORAL	SUSPENSION, SUSTAINED ACTION	0.11	mg/5 mL
FD&C YELLOW NO. 6	ORAL	SYRUP	0.95	%
FD&C YELLOW NO. 6	ORAL	CONCENTRATE	0.03	mg/5 mL
FERRIC OXIDE RED	ORAL	SUSPENSION, SUSTAINED ACTION	2.7	mg/1 PKT
FERRIC OXIDE YELLOW	ORAL	SUSPENSION, SUSTAINED ACTION	0.04	mg/.20684 G
FERRIC OXIDE YELLOW	ORAL	GRANULE, FOR ORAL SUSPENSION	1.8	mg
FERRIC OXIDE YELLOW	ORAL	GRANULE, FOR RECONSTITUTION	0.25	mg
FLAVOR APPLE WATERMELON PFC 9887	ORAL	SYRUP	5.1	mg/5 mL
FLAVOR APRICOT 23067	ORAL	SUSPENSION	0.96	mg/1 mL
FLAVOR APRICOT PEACH	ORAL	SYRUP	0.3	%
FLAVOR BANANA 59256C	ORAL	SYRUP	1.03	mg/5 mL
FLAVOR BANANA 74546	ORAL	SUSPENSION	0.042	%
FLAVOR BANANA SA84	ORAL	SUSPENSION	25	mg/5 mL
FLAVOR BBA-47769	ORAL	DROPS	2.3	mg/1 mL
FLAVOR BERRY CITRUS BLEND 8409	ORAL	CONCENTRATE	0.8	%
FLAVOR BERRY FRUIT PUNCH 135846	ORAL	SUSPENSION, DROPS	2.5	mg/1 mL
FLAVOR BITTERNESS MODIFIER 36734	ORAL	SYRUP	1	mg/1 mL
FLAVOR BITTERNESS MODIFIER 367343	ORAL	SYRUP	0.5	%
FLAVOR BLOOD ORANGE SA	ORAL	SYRUP	0.21	mg/5 mL
FLAVOR BUBBLE GUM 15864	ORAL	SYRUP	1	mg/1 mL
FLAVOR BUBBLE GUM 3266P	ORAL	SYRUP	0.5	mg/1 mL
FLAVOR BUBBLE GUM MC-4938	ORAL	SUSPENSION	18	mg/5 mL
FLAVOR BUBBLE GUM MC-4938	ORAL	SYRUP	0.5	mg/1 mL
FLAVOR BUTTERSCOTCH F-1785	ORAL	SYRUP	7	%
FLAVOR CANDIED SUGAR 510155U	ORAL	SYRUP	7.22	mg/5 mL
FLAVOR CHERI BERI PCD-5580	ORAL	SYRUP	1	%
FLAVOR CHERI-BERI PFC-8580	ORAL	SYRUP	1	%
FLAVOR CHERRY 104613	ORAL	SYRUP	0.01	mg/5 mL
FLAVOR CHERRY 107026	ORAL	SYRUP	0.1	%
FLAVOR CHERRY 11539	ORAL	SUSPENSION	0.005	mg/1 mL
FLAVOR CHERRY 213	ORAL	SYRUP	0.7	mg/1 mL
FLAVOR CHERRY 3321	ORAL	SYRUP	0.03	%
FLAVOR CHERRY 349	ORAL	SUSPENSION	5	mg/5 mL
FLAVOR CHERRY 500910U	ORAL	SUSPENSION	0.04	%
FLAVOR CHERRY 57.679/A	ORAL	SUSPENSION	50	mg/1 mL
FLAVOR CHERRY 590271A	ORAL	SUSPENSION	0.31	mg
FLAVOR CHERRY 594 S.D.	ORAL	SUSPENSION	5	mg/5 mL
FLAVOR CHERRY 594 S.D.	ORAL	GRANULE, FOR RECONSTITUTION	7.5	mg
FLAVOR CHERRY 598384	ORAL	SYRUP	1.4	mg/1 mL
FLAVOR CHERRY 842	ORAL	SYRUP	5	mg/5 mL
FLAVOR CHERRY 8513	ORAL	SYRUP	0.022	%
FLAVOR CHERRY BERI PFC-8573	ORAL	GRANULE	16.7	mg

(Continued)

APPENDIX C (CONTINUED)

FLAVOR CHERRY BERRY F-1194	ORAL	SUSPENSION, LIQUID	20	mg/5 mL
FLAVOR CHERRY CREAM 14850	ORAL	SUSPENSION	3.58	mg/5 mL
FLAVOR CHERRY DP300684	ORAL	SYRUP	17.5	mg/5 mL
FLAVOR CHERRY E.P.MODIFIED 151	ORAL	CONCENTRATE	0.1	%
FLAVOR CHERRY F-232	ORAL	SUSPENSION	0.1	%
FLAVOR CHERRY F-232	ORAL	CONCENTRATE	0.1	%
FLAVOR CHERRY FMC 8513	ORAL	SYRUP	0.08	%
FLAVOR CHERRY FONA 825.662	ORAL	SUSPENSION, LIQUID	10.25	mg/5 mL
FLAVOR CHERRY MARASCHINO S-3531	ORAL	SUSPENSION	56.4	mg/10 mL
FLAVOR CHERRY PFC-9768	ORAL	SYRUP	2	%
FLAVOR CHERRY PFC-9768	ORAL	CONCENTRATE	0.7	%
FLAVOR CHERRY PISTACHIO PFC-8450	ORAL	CONCENTRATE	0.9	%
FLAVOR CHERRY VANILLA COMPOUND A77487	ORAL	SYRUP	0.11	%
FLAVOR CHERRY WIXON 3566	ORAL	SYRUP	10	mg/5 mL
FLAVOR CHERRY WL-1093	ORAL	SYRUP	4	mg/5 mL
FLAVOR CHERRY-ANISE PFC-9758	ORAL	SYRUP	0.53	%
FLAVOR CITRUS FN-7176	ORAL	SUSPENSION	5	mg/5 mL
FLAVOR COUGH SYRUP 134681	ORAL	SYRUP	6	mg/1 mL
FLAVOR COUGH SYRUP 819	ORAL	SYRUP	0.03	%
FLAVOR CREME DE MENTHE 14677	ORAL	SUSPENSION	0.015	mg/5 mL
FLAVOR CREME DE VANILLA 28156	ORAL	SUSPENSION	4	mg/5 mL
FLAVOR CREME DE VANILLA 28156	ORAL	DROPS	1.6	mg/1 mL
FLAVOR E-472	ORAL	CONCENTRATE	0.4	%
FLAVOR F-5397A	ORAL	CONCENTRATE	8	%
FLAVOR F-9843	ORAL	SUSPENSION	0.05	%
FLAVOR FELTON 6-R-9	ORAL	SYRUP	0.03	%
FLAVOR FONA 815.019WC	ORAL	SUSPENSION, LIQUID	10.35	mg/5 mL
FLAVOR FRITZSCHE 46215	ORAL	SYRUP	0.002	mg/5 mL
FLAVOR FRITZSCHE 73959	ORAL	SYRUP	0.5	%
FLAVOR FRUIT 01-10428	ORAL	CONCENTRATE	0.025	%
FLAVOR FRUIT GUM 912	ORAL	SUSPENSION	2.69	mg/5 mL
FLAVOR FRUIT GUM 912	ORAL	GRANULE, FOR RECONSTITUTION	20	mg
FLAVOR FRUIT PUNCH NO. 28140	ORAL	SUSPENSION	37.5	mg/5 mL
FLAVOR FRUIT TAK 20008	ORAL	CONCENTRATE	0.1	%
FLAVOR GRAPE 6175	ORAL	SUSPENSION	10	mg/5 mL
FLAVOR GRAPE 6175	ORAL	SUSPENSION, SYRUP, SUSTAINED ACTION	0.04	%
FLAVOR GRAPE 6175	ORAL	SYRUP	59.7	mg/5 mL
FLAVOR GRAPE F&F 231460	ORAL	SUSPENSION, LIQUID	2.95	mg/5 mL
FLAVOR GRAPE FIRMENICH 587.444	ORAL	SUSPENSION, LIQUID	18.5	mg/5 mL
FLAVOR GRAPE FIRMENICH 597.303/C	ORAL	SUSPENSION, LIQUID	6.65	mg/5 mL
FLAVOR GRAPE GIVAUDAN 433160	ORAL	SUSPENSION, LIQUID	18.5	mg/5 mL
FLAVOR GRAPE MANHEIMER 522463	ORAL	SUSPENSION	25	mg/5 mL
FLAVOR GRAPE NECTOR PFC-8599	ORAL	SYRUP	10	mg/5 mL
FLAVOR GRAPE PFC 8439	ORAL	SYRUP	10.03	mg/5 mL
FLAVOR GRAPE PFC-9711	ORAL	SYRUP	0.075	mg/5 mL
FLAVOR GRAPE PFC-9924	ORAL	SYRUP	9.97	mg/5 mL
FLAVOR GRAPE ST6835/09	ORAL	SYRUP	3.11	mg/5 mL
FLAVOR LEMON	ORAL	SUSPENSION	15	mg/5 mL
FLAVOR LEMON 812	ORAL	SUSPENSION	1.76	mg/1 mL
FLAVOR LEMON FMC-10471	ORAL	SYRUP	0.1	%
FLAVOR LEMON GIVAUDAN 74940-74	ORAL	SUSPENSION, LIQUID	9	%
FLAVOR LEMON MINT FRITZSCHE 54369	ORAL	SYRUP	0.1	%
FLAVOR MANDARIN 15228-71	ORAL	GRANULE, FOR SUSPENSION	70	mg

(Continued)

APPENDIX C (CONTINUED)

FLAVOR MASKING AGENT 141.18074	ORAL	SUSPENSION	11.48	mg/5 mL
FLAVOR MIXED BERRY 807.0246U	ORAL	SUSPENSION	21.5	mg/5 mL
FLAVOR MIXED FRUIT PFC-9970	ORAL	SYRUP	2.35	mg/1 mL
FLAVOR ORANGE 501071 AP0551	ORAL	SUSPENSION	2.4	mg/1 mL
FLAVOR ORANGE 57.458/AP05.51	ORAL	SUSPENSION	1.4	%W/W
FLAVOR ORANGE 607217	ORAL	SYRUP	25	mg/5 mL
FLAVOR ORANGE 74016-71	ORAL	GRANULE, FOR SUSPENSION	70	mg
FLAVOR ORANGE BLOOD SILICIAN FN-12235	ORAL	SUSPENSION, LIQUID	8	mg/5 mL
FLAVOR ORANGE GIVAUDAN 74388-74	ORAL	SUSPENSION, LIQUID	0.02	%
FLAVOR ORANGE NO. 7679	ORAL	SYRUP	0.25	%
FLAVOR ORANGE PFW-730016U	ORAL	SUSPENSION, SUSTAINED ACTION	0.3	%
FLAVOR PEACH 13503584	ORAL	SYRUP	0.31	%
FLAVOR PEACH MINT FRITZSCHE 106109	ORAL	SYRUP	0.1	%
FLAVOR PEACH PINEAPPLE FMC 14258	ORAL	SUSPENSION	0.015	mg/5 mL
FLAVOR PHARMASWEET 10772900	ORAL	SYRUP	10	mg/5 mL
FLAVOR PINEAPPLE N-2766	ORAL	SYRUP	1	%
FLAVOR PUNCH WL-7126	ORAL	SUSPENSION	0.3	%
FLAVOR RASPBERRY	ORAL	SUSPENSION	10	mg/5 mL
FLAVOR RASPBERRY 21028D	ORAL	SYRUP	0.1	%
FLAVOR RASPBERRY 28106	ORAL	SUSPENSION	12.5	mg/5 mL
FLAVOR RASPBERRY 28106	ORAL	DROPS	5	mg/1 mL
FLAVOR RASPBERRY 50776	ORAL	SYRUP	2.5	mg/5 mL
FLAVOR RASPBERRY 8456	ORAL	SYRUP	16.8	mg/5 mL
FLAVOR RASPBERRY 998	ORAL	SUSPENSION	10	mg/5 mL
FLAVOR RASPBERRY 998	ORAL	SYRUP	10	mg/5 mL
FLAVOR RASPBERRY A11693	ORAL	SYRUP	0.015	%
FLAVOR RASPBERRY AROME PFC-9908	ORAL	SYRUP	0.1	%
FLAVOR RASPBERRY CREAM PFC-9950	ORAL	SUSPENSION	20	mg/5 mL
FLAVOR RASPBERRY D9599	ORAL	SUSPENSION	10	mg/5 mL
FLAVOR RASPBERRY F-1784	ORAL	SYRUP	1.4	%
FLAVOR RASPBERRY F-1840	ORAL	SYRUP	0.05	%
FLAVOR RASPBERRY PFC-8407	ORAL	SUSPENSION	20	mg/60 mL
FLAVOR RASPBERRY PFC-8407	RECTAL	SUSPENSION	0.033	%
FLAVOR ROB NV-23027	ORAL	SUSPENSION, LIQUID	15.55	mg/5 mL
FLAVOR STRAWBERRY 5210(FD&D)	ORAL	SYRUP	0.078	%
FLAVOR STRAWBERRY 52312/A	ORAL	SUSPENSION	0.004	%
FLAVOR STRAWBERRY 55058	ORAL	SYRUP	0.1	%
FLAVOR STRAWBERRY 55058	ORAL	CONCENTRATE	0.1	%
FLAVOR STRAWBERRY 55058	ORAL	SYRUP	0.1	%
FLAVOR STRAWBERRY 5951	ORAL	SUSPENSION	5	mg/5 mL
FLAVOR STRAWBERRY 5951	ORAL	DROPS	2	mg/1 mL
FLAVOR STRAWBERRY 9843	ORAL	SYRUP	0.1	%
FLAVOR STRAWBERRY BANANA 24020	ORAL	SUSPENSION	56.1	mg/5 mL
FLAVOR STRAWBERRY PFC-9626	ORAL	SYRUP	15.8	mg/5 mL
FLAVOR STRAWBERRY TRUSIL WINDSOR 2373031	ORAL	SUSPENSION, SUSTAINED ACTION	22.1	mg/5.585 G
FLAVOR SWEET-AM 918.005	ORAL	GRANULE, FOR SUSPENSION	30	mg
FLAVOR SWEETNESS ENHANCER 5401B	ORAL	SYRUP	3	mg/1 mL
FLAVOR TANGERINE FRITZSCHE 51465	ORAL	SYRUP	0.05	%
FLAVOR TETRAROME	ORAL	SUSPENSION	0.5	mg/5 mL
FLAVOR TM 313298	ORAL	SUSPENSION	3.75	mg/5 mL
FLAVOR TPF 135	ORAL	SUSPENSION	0.07	mg/1 mL
FLAVOR TPF 143	ORAL	SUSPENSION	1.3	mg/1 mL

(Continued)

APPENDIX C (CONTINUED)

FLAVOR TROPICAL FRUIT PUNCH N&A 50432	ORAL	SYRUP	5.2	mg/1 mL
FLAVOR TUTTI FRUTTI	ORAL	SUSPENSION	0.46	mg/1 mL
FLAVOR TUTTI FRUTTI 0002028	ORAL	SUSPENSION	17.5	mg/5 mL
FLAVOR TUTTI FRUTTI 51.880/AP05.51	ORAL	SUSPENSION	2.5	mg/5 mL
FLAVOR VANILLA C7984	ORAL	SYRUP	6	mg/5 mL
FLAVOR VANILLA PFC-8541	ORAL	CONCENTRATE	0.01	%
FLAVOR VANILLA PFC-9772	ORAL	CONCENTRATE	1	%
FLAVOR WILD CHERRY 695047U	ORAL	CONCENTRATE	10	mg/1 mL
FLAVOR WILD CHERRY PFC-14783	ORAL	SUSPENSION	0.37	mg/1 mL
FLAVOR WILD CHERRY PFC-14783	ORAL	SYRUP	0.4	%
FLAVOR WILD CHERRY WL-1093	ORAL	SYRUP	12.5	mg/5 mL
FLAVOR YELLOW PLUM LEMON 39K 020	ORAL	SUSPENSION	2.5	mg/5 mL
FRAGRANCE P O FL-147	AEROSOL	TOPICAL	0.27	W/V
FRAGRANCE P O FL-147	TOPICAL		0.27	%W/W
FRAGRANCE P O FL-147	TOPICAL	METERED	0.1	%W/W
FRUCTOSE	ORAL	SUSPENSION	20	mg/1 mL
FRUCTOSE	ORAL	SYRUP	1732.5	mg/5 mL
FUMARIC ACID	ORAL	SUSPENSION	5	mg/1 mL
FUMARIC ACID	ORAL	SYRUP	0.75	mg/5 mL
GELATIN, UNSPECIFIED	INTRA-ARTICULAR	SUSPENSION	0.03	%
GELATIN, UNSPECIFIED	INTRAMUSCULAR	SUSPENSION	0.03	%
GINGER	ORAL	SYRUP	1	%
GLUCONOLACTONE	TOPICAL	METERED	0.25	%W/W
GLYCERIN	AEROSOL	TOPICAL	3	W/V
GLYCERIN	AURICULAR (OTIC)	SUSPENSION	0.05	%
GLYCERIN	OPHTHALMIC	SUSPENSION	2.5	%
GLYCERIN	OPHTHALMIC	SUSPENSION, DROPS	2.5	%
GLYCERIN	ORAL	SUSPENSION	2870.55	mg/5 mL
GLYCERIN	ORAL	SUSPENSION, DROPS	250	mg/2.5 mL
GLYCERIN	ORAL	SUSPENSION, EXTENDED RELEASE	100	mg/1 mL
GLYCERIN	ORAL	SUSPENSION, LIQUID	500	mg/1 mL
GLYCERIN	ORAL	SUSPENSION, SYRUP, SUSTAINED ACTION	2	%
GLYCERIN	ORAL	SYRUP	65	%
GLYCERIN	ORAL	DROPS	100	mg/1 mL
GLYCERIN	ORAL	CONCENTRATE	750	mg/1 mL
GLYCERIN	SUBCUTANEOUS	SUSPENSION	1.6	%
GLYCERIN	SUBCUTANEOUS	SUSPENSION, INJECTION	1.6	%
GLYCERIN	TOPICAL	SUSPENSION	5	%W/W
GLYCERIN	TOPICAL		3	%W/W
GLYCERIN	TOPICAL	SUSPENSION	5	%W/W
GLYCERYL 1-STEARATE	ORAL	GRANULE, FOR ORAL SUSPENSION	0.7	mg
GLYCERYL MONOSTEARATE	AURICULAR (OTIC)	SUSPENSION	0.5	%
GLYCERYL MONOSTEARATE	OPHTHALMIC	SUSPENSION	0.5	%
GLYCERYL MONOSTEARATE	ORAL	GRANULE, FOR ORAL SUSPENSION	1.9	mg
GLYCERYL MONOSTEARATE	TOPICAL	SUSPENSION	1.25	%W/W
GLYCERYL PALMITOSTEARATE	ORAL	SUSPENSION	150	mg/5 mL
GLYCYRRHIZIN	ORAL	SYRUP	1.25	mg/5 mL
GUAR GUM	OPHTHALMIC	SUSPENSION	0.2	%
GUAR GUM	ORAL	SUSPENSION	4.93	mg/5 mL
HIGH FRUCTOSE CORN SYRUP	ORAL	SUSPENSION	1500	mg/5 mL

(Continued)

APPENDIX C (CONTINUED)

HIGH FRUCTOSE CORN SYRUP	ORAL	SUSPENSION, EXTENDED RELEASE	1500	mg/5 mL
HISTIDINE	ORAL	SUSPENSION	5	mg/1 mL
HYDROCARBON	RECTAL	METERED	5.21	%
HYDROCHLORIC ACID	AURICULAR (OTIC)	SUSPENSION	0.044	%
HYDROCHLORIC ACID	INHALATION	METERED	1.72	%
HYDROCHLORIC ACID	INHALATION	SPRAY	1.5	%
HYDROCHLORIC ACID	INTRA-ARTERIAL	SUSPENSION, INJECTION		ADJPH
HYDROCHLORIC ACID	INTRALESIONAL	SUSPENSION, INJECTION		ADJPH
HYDROCHLORIC ACID	INTRAMUSCULAR	SUSPENSION, INJECTION		ADJPH
HYDROCHLORIC ACID	INTRASYNOVIAL	SUSPENSION, INJECTION		ADJPH
HYDROCHLORIC ACID	INTRATRACHEAL	SUSPENSION		ADJPH
HYDROCHLORIC ACID	INTRATYMPANIC	SUSPENSION	0.14	%W/W
HYDROCHLORIC ACID	INTRAVITREAL	SUSPENSION, INJECTION		ADJPH
HYDROCHLORIC ACID	OPHTHALMIC	SUSPENSION		ADJPH
HYDROCHLORIC ACID	OPHTHALMIC	SUSPENSION, DROPS		ADJPH
HYDROCHLORIC ACID	ORAL	SUSPENSION	2	%
HYDROCHLORIC ACID	ORAL	SUSPENSION, EXTENDED RELEASE		ADJPH
HYDROCHLORIC ACID	ORAL	SYRUP	2.03	mg/1 mL
HYDROCHLORIC ACID	ORAL	CONCENTRATE	0.62	%
HYDROCHLORIC ACID	ORAL	SUSPENSION	2	%
HYDROCHLORIC ACID	ORAL	SUSPENSION, EXTENDED RELEASE		ADJPH
HYDROCHLORIC ACID	ORAL	SYRUP	2.03	mg/1 mL
HYDROCHLORIC ACID	OTIC	SUSPENSION		ADJPH
HYDROCHLORIC ACID	SOFT TISSUE	SUSPENSION, INJECTION		ADJPH
HYDROCHLORIC ACID	SUBCUTANEOUS	SUSPENSION		ADJPH
HYDROCHLORIC ACID	SUBCUTANEOUS	SUSPENSION, INJECTION	0.073	%
HYDROGENATED CASTOR OIL	TOPICAL	SUSPENSION	2	%W/W
HYDROGENATED SOYBEAN LECITHIN	AURICULAR (OTIC)	SUSPENSION, LIQUID	0.15	%
HYDROGENATED SOYBEAN LECITHIN	INHALATION	METERED	0.28	%
HYDROXYETHYL CELLULOSE	AURICULAR (OTIC)	SUSPENSION	0.2	%
HYDROXYETHYL CELLULOSE	OPHTHALMIC	SUSPENSION	0.25	%
HYDROXYETHYL CELLULOSE	OPHTHALMIC	SUSPENSION, DROPS	0.35	%
HYDROXYETHYL CELLULOSE	ORAL	SUSPENSION	150	mg/5 mL
HYDROXYETHYL CELLULOSE	ORAL	SYRUP	100	mg/5 mL
HYDROXYETHYL CELLULOSE	OTIC	SUSPENSION	0.2	%
HYDROXYETHYL CELLULOSE	TOPICAL	SUSPENSION	0.69	%W/W
HYDROXYPROPYL CELLULOSE (110,000 weight average molecular weight [WAMW])	ORAL	SUSPENSION	16.65	mg/5 mL
HYDROXYPROPYL CELLULOSE (1,200,000 WAMW)	ORAL	SUSPENSION	20	mg/1 mL
HYDROXYPROPYL CELLULOSE (1,200,000 WAMW)	ORAL	GRANULE	12.5	mg
HYDROXYPROPYL CELLULOSE (1,200,000 WAMW)	ORAL	GRANULE, FOR ORAL SUSPENSION	39	mg
HYDROXYPROPYL CELLULOSE (1,200,000 WAMW)	ORAL	GRANULE, FOR RECONSTITUTION	20	mg
HYDROXYPROPYL CELLULOSE (1,200,000 WAMW)	ORAL	GRANULE, FOR SUSPENSION	31.4	mg
HYDROXYPROPYL CELLULOSE (1,600,000 WAMW)	ORAL	SUSPENSION	10	mg/1 g
HYDROXYPROPYL CELLULOSE (90,000 WAMW)	ORAL	SUSPENSION	6.655	mg/5 mL

(Continued)

APPENDIX C (CONTINUED)

HYDROXYPROPYL CELLULOSE (90,000 WAMW)	ORAL	GRANULE	2.5	mg
HYPROMELLOSE 2208 (100 MPA.S)	ORAL	SUSPENSION	50	mg/5 mL
HYPROMELLOSE 2208 (100 MPA.S)	ORAL	SYRUP	12.5	mg/5 mL
HYPROMELLOSE 2208 (4000 MPA.S)	ORAL	SUSPENSION	15	mg/5 mL
HYPROMELLOSE 2906 (4000 MPA.S)	ORAL	GRANULE, ENTERIC COATED	33.2	mg
HYPROMELLOSE 2910 (15,000 MPA.S)	OPHTHALMIC	SUSPENSION	0.5	%
HYPROMELLOSE 2910 (15,000 MPA.S)	OPHTHALMIC	SUSPENSION, DROPS	0.5	%
HYPROMELLOSE 2910 (15,000 MPA.S)	ORAL	SUSPENSION	5	mg/1 mL
HYPROMELLOSE 2910 (15,000 MPA.S)	ORAL	SUSPENSION, SUSTAINED ACTION	24.08	mg/.20684 G
HYPROMELLOSE 2910 (15,000 MPA.S)	ORAL	SYRUP	5	mg/1 mL
HYPROMELLOSE 2910 (15,000 MPA.S)	ORAL	GRANULE, FOR ORAL SUSPENSION	26.6	mg
HYPROMELLOSE 2910 (3 MPA.S)	ORAL	SUSPENSION	31.25	mg/1 mL
HYPROMELLOSE 2910 (3 MPA.S)	ORAL	GRANULE	10.4	mg
HYPROMELLOSE 2910 (5 MPA.S)	ORAL	SUSPENSION	25	mg/5 mL
HYPROMELLOSE ACETATE SUCCINATE	ORAL	GRANULE	45.8	mg
HYPROMELLOSE PHTHALATE	ORAL	GRANULE, FOR SUSPENSION	302.4	mg
HYPROMELLOSE, UNSPECIFIED	OPHTHALMIC	SUSPENSION	0.5	%
HYPROMELLOSE, UNSPECIFIED	OPHTHALMIC	SUSPENSION, DROPS	0.6	%
HYPROMELLOSE, UNSPECIFIED	ORAL	SUSPENSION	325	mg/5 mL
HYPROMELLOSE, UNSPECIFIED	ORAL	SUSPENSION, LIQUID	5	mg/1 mL
HYPROMELLOSE, UNSPECIFIED	ORAL	SYRUP	180	mg/5 mL
HYPROMELLOSE, UNSPECIFIED	ORAL	DROPS	1.3	mg/1 mL
HYPROMELLOSE, UNSPECIFIED	ORAL	GRANULE, ENTERIC COATED	17.2	mg
HYPROMELLOSE, UNSPECIFIED	ORAL	GRANULE, FOR ORAL SUSPENSION	10.6	mg
INVERT SUGAR	ORAL	SYRUP	77	%
INVERT SYRUP, MEDIUM	ORAL	SYRUP	8500	mg/5 mL
ISOAMYL ACETATE	ORAL	SUSPENSION	1	mg/10 mL
ISOBUTANE	AEROSOL	TOPICAL	82.4	W/V
ISOBUTANE	RECTAL	EMULSION	5.52	%
ISOBUTANE	TOPICAL		82.4	%W/W
ISOBUTANE	TOPICAL	SPRAY	82.39	%W/W
ISOPROPYL ALCOHOL	TOPICAL	METERED	4	%W/W
ISOPROPYL ALCOHOL	TOPICAL	SPRAY	10	%W/W
ISOPROPYL MYRISTATE	AEROSOL	TOPICAL	9.3	W/V
ISOPROPYL MYRISTATE	AURICULAR (OTIC)	SUSPENSION	0.024	%
ISOPROPYL MYRISTATE	TOPICAL		9.3	%W/W
ISOPROPYL PALMITATE	AEROSOL	TOPICAL	7.3	W/V
ISOPROPYL PALMITATE	TOPICAL		7.3	%W/W
ISOPROPYL PALMITATE	TOPICAL	SPRAY	7.3	%W/W
ISOSTEARYL ALCOHOL	TOPICAL	SUSPENSION	2.5	%W/W
KAOLIN	ORAL	SYRUP	1	%
LACTIC ACID, UNSPECIFIED FORM	AEROSOL	TOPICAL	1.05	W/V
LACTIC ACID, UNSPECIFIED FORM	ORAL	CONCENTRATE	0.18	%W/W
LACTIC ACID, UNSPECIFIED FORM	TOPICAL	SUSPENSION	0.7	%W/W
LACTIC ACID, UNSPECIFIED FORM	TOPICAL		1.05	%W/W
LACTIC ACID, UNSPECIFIED FORM	TOPICAL	FOAM	1	%W/W
LACTOSE MONOHYDRATE	ORAL	GRANULE	109.8	mg
LACTOSE MONOHYDRATE	ORAL	GRANULE, FOR ORAL SOLUTION	1691.8	mg/SACHET
LACTOSE, UNSPECIFIED FORM	ORAL	GRANULE	650.75	mg/5 mL
LANOLIN, ETHOXYLATED	TOPICAL	METERED	1.5	%W/W
LAURETH-23	AEROSOL	TOPICAL	0.45	W/V

(Continued)

APPENDIX C (CONTINUED)

LAURETH-23	TOPICAL		0.45	%W/W
LAURIC DIETHANOLAMIDE	TOPICAL	SUSPENSION	0.45	%W/W
LAUROYL SARCOSINE	OPHTHALMIC	SUSPENSION, DROPS	0.03	%
LECITHIN	ORAL	SUSPENSION	110	mg/1 mL
LECITHIN, SOYBEAN	INHALATION	METERED	0.1	%
LECITHIN, SOYBEAN	ORAL	SUSPENSION	2	mg/1 mL
LEMON OIL	ORAL	SUSPENSION	1	mg/10 mL
LEVOMENTHOL	SUBLINGUAL	METERED	0.002	%
LIGHT MINERAL OIL	ORAL	DROPS	36.96	%W/V
MAGNESIUM ALUMINUM SILICATE	ORAL	SUSPENSION	1000	mg/5 mL
MAGNESIUM ALUMINUM SILICATE	ORAL	SYRUP	10	%
MAGNESIUM ALUMINUM SILICATE	ORAL	DROPS	4.15	mg/2.5 mL
MAGNESIUM ALUMINUM SILICATE	ORAL	GRANULE	12.5	mg
MAGNESIUM ALUMINUM SILICATE	ORAL	GRANULE, FOR SUSPENSION	11	mg
MAGNESIUM ALUMINUM SILICATE	ORAL	CONCENTRATE	410	mg/5 mL
MAGNESIUM ALUMINUM SILICATE	RECTAL	SUSPENSION	1.81	%
MAGNESIUM ALUMINUM SILICATE TYPE IIA	ORAL	SUSPENSION	85	mg/5 mL
MAGNESIUM CHLORIDE	AURICULAR (OTIC)	SUSPENSION	0.06	%
MAGNESIUM CHLORIDE	INTRAVITREAL	SUSPENSION, INJECTION	0.03	%
MAGNESIUM STEARATE	ORAL	SUSPENSION	57.56	mg/5 mL
MAGNESIUM STEARATE	ORAL	SUSPENSION, SUSTAINED ACTION	27	mg/1 PKT
MAGNESIUM STEARATE	ORAL	GRANULE	4	mg
MAGNESIUM STEARATE	ORAL	GRANULE, FOR ORAL SUSPENSION	7	mg
MALEIC ACID	ORAL	SYRUP	1.73	mg/5 mL
MALIC ACID	ORAL	SUSPENSION	21	mg/5 mL
MALTITOL	ORAL	SUSPENSION	300	mg/1 mL
MALTITOL	ORAL	SUSPENSION	1350	mg/5 mL
MALTITOL	ORAL	SYRUP	500	mg/1 mL
MALTODEXTRIN	ORAL	SUSPENSION	6.25	mg/5ML
MALTODEXTRIN	ORAL	GRANULE, FOR SUSPENSION	238.1	mg
MALTODEXTRIN	ORAL	SUSPENSION	6.25	mg/5 mL
MALTOL	ORAL	CONCENTRATE	0.1	mg/1 mL
MANDARIN OIL	ORAL	SYRUP	0.01	%
MANNITOL	OPHTHALMIC	SUSPENSION	2.4	%
MANNITOL	OPHTHALMIC	SUSPENSION, DROPS	4	%
MANNITOL	ORAL	SUSPENSION	500	mg/5 mL
MANNITOL	ORAL	SUSPENSION, SUSTAINED ACTION	2464.6	mg/1 PKT
MANNITOL	ORAL	GRANULE	484.2	mg
MANNITOL	ORAL	GRANULE	487.09	mg
MANNITOL	ORAL	GRANULE, FOR ORAL SUSPENSION	193.2	mg
MANNITOL	ORAL	GRANULE, FOR SUSPENSION	500	mg
MANNITOL	SUBCUTANEOUS	SUSPENSION, INJECTION	1.21	%
MANNITOL	TOPICAL	SUSPENSION	0.3	%W/W
MANNITOL	TOPICAL	SUSPENSION, DROPS	1.01	%W/W
MEDICAL ANTIFOAM EMULSION C	ORAL	SUSPENSION	0.016	%
MENTHOL, UNSPECIFIED FORM	INHALATION	METERED	0.05	%
MENTHOL, UNSPECIFIED FORM	INHALATION	SPRAY	0.02	%
MENTHOL, UNSPECIFIED FORM	ORAL	SUSPENSION	0.41	mg/5 mL
MENTHOL, UNSPECIFIED FORM	ORAL	SYRUP	20	%
MENTHOL, UNSPECIFIED FORM	ORAL	CONCENTRATE	0.05	mg/5 mL
METACRESOL	SUBCUTANEOUS	SUSPENSION	0.18	%

(Continued)

APPENDIX C (CONTINUED)

METACRESOL	SUBCUTANEOUS	SUSPENSION, INJECTION	0.22	%
METHACRYLIC ACID–ETHYL ACRYLATE COPOLYMER (1:1) TYPE A	ORAL	GRANULE, FOR ORAL SUSPENSION	38	mg
METHACRYLIC ACID–METHYL METHACRYLATE COPOLYMER (1:1)	ORAL	SUSPENSION	55.74	mg/15 mL
METHACRYLIC ACID COPOLYMER	ORAL	SUSPENSION, SUSTAINED ACTION	69.48	mg/206.84 mg
METHACRYLIC ACID COPOLYMER	ORAL	GRANULE, ENTERIC COATED	430.8	mg
METHIONINE	SUBCUTANEOUS	SUSPENSION, INJECTION	0.23	%
METHYLCELLULOSE, UNSPECIFIED	ORAL	SUSPENSION	1000	mg/10 mL
METHYLPARABEN	AURICULAR (OTIC)	SUSPENSION	0.001	%
METHYLPARABEN	INTRAMUSCULAR	SUSPENSION	0.18	%
METHYLPARABEN	INTRAMUSCULAR	SUSPENSION, INJECTION	0.14	%W/V
METHYLPARABEN	INTRAMUSCULAR	SUSPENSION, INJECTION	1.37	mg/1 mL
METHYLPARABEN	OPHTHALMIC	SUSPENSION	0.05	%
METHYLPARABEN	OPHTHALMIC	SUSPENSION, DROPS	0.05	%
METHYLPARABEN	ORAL	SUSPENSION	6.6	mg/15 mL
METHYLPARABEN	ORAL	SUSPENSION	1000	mg/5 mL
METHYLPARABEN	ORAL	SUSPENSION, EXTENDED RELEASE	1.8	mg/1 mL
METHYLPARABEN	ORAL	SUSPENSION, EXTENDED RELEASE	10	mg/5 mL
METHYLPARABEN	ORAL	SUSPENSION, LIQUID	10	mg/5 mL
METHYLPARABEN	ORAL	SUSPENSION, SUSTAINED ACTION	7.5	mg/5 mL
METHYLPARABEN	ORAL	GRANULE	50	mg
METHYLPARABEN	ORAL	CONCENTRATE	2	mg/1 mL
METHYLPARABEN	RECTAL	SUSPENSION	0.23	%
METHYLPARABEN	RECTAL	METERED	0.09	%
METHYLPARABEN	RECTAL	ENEMA	0.18	%
METHYLPARABEN	SUBCUTANEOUS	SUSPENSION, INJECTION	0.25	%
METHYLPARABEN	TOPICAL	SUSPENSION	0.3	%W/W
METHYLPARABEN SODIUM	ORAL	SUSPENSION	3.43	mg/1 mL
MICROCRYSTALLINE CELLULOSE	ORAL	SUSPENSION	20	mg/1 mL
MICROCRYSTALLINE CELLULOSE	ORAL	SUSPENSION, SUSTAINED ACTION	27.39	mg/206.84 mg
MICROCRYSTALLINE CELLULOSE	ORAL	GRANULE	81.6	mg
MICROCRYSTALLINE CELLULOSE	ORAL	GRANULE, ENTERIC COATED	789.6	mg
MICROCRYSTALLINE CELLULOSE	ORAL	GRANULE, FOR ORAL SUSPENSION	27.39	mg
MICROCRYSTALLINE CELLULOSE	ORAL	GRANULE, FOR RECONSTITUTION	25	mg
MINERAL OIL	AURICULAR (OTIC)	SUSPENSION	0.5	%
MINERAL OIL	AURICULAR (OTIC)	SUSPENSION	0.5	%
MINERAL OIL	OPHTHALMIC	SUSPENSION	0.1	%
MINERAL OIL	TOPICAL	SUSPENSION	82	%W/W
MODIFIED CORN STARCH (1-OCTENYL SUCCINIC ANHYDRIDE)	ORAL	SUSPENSION	115	mg/5 mL
MYRISTYL ALCOHOL	TOPICAL	SUSPENSION	1.05	%W/W
NIPASEPT	ORAL	SYRUP	5	mg/5 mL
NITRIC ACID	INHALATION	METERED	1.67	%
NITRIC ACID	INHALATION	SPRAY	0.8	%
NORFLURANE	AEROSOL	TOPICAL	5.45	%
NORFLURANE	INHALATION	METERED	7.5	%
NORFLURANE	NASAL		5.45	%

(Continued)

APPENDIX C (CONTINUED)

NORFLURANE	ORAL	SUSPENSION, FOR INHALATION	75	mg/1 INH
NORFLURANE	RESPIRATORY (INHALATION)	METERED	89.76	%
OCTYLDODECANOL	TOPICAL	SUSPENSION	2.01	%W/W
OLEIC ACID	INHALATION	METERED	0.27	%
OLEIC ACID	NASAL	METERED	0.13	%
OLEIC ACID	RESPIRATORY (INHALATION)	METERED	0.003	mg/INH
OPADRY II YS-1-19025A CLEAR	ORAL	GRANULE, FOR ORAL SUSPENSION	7.08	mg
OPADRY YS-1-7006 CLEAR	ORAL	GRANULE	7.3	mg
ORANGE	ORAL	SUSPENSION	0.69	mg/15 mL
ORANGE EXTRACT	ORAL	SUSPENSION	60	mg/15 mL
ORANGE OIL	ORAL	SUSPENSION	0.54	mg/1 mL
ORANGE OIL TERPENELESS	ORAL	SUSPENSION	0.26	%
ORANGE OIL TERPENELESS	ORAL	SYRUP	0.001	%
ORANGE PEEL	ORAL	SYRUP	0.18	%
ORVUS K LIQUID	AEROSOL	TOPICAL	39.75	W/V
ORVUS K LIQUID	TOPICAL		39.75	%W/W
PARAFFIN	TOPICAL	FOAM	94.93	%W/W
PEG-75 LANOLIN	AEROSOL	TOPICAL	1.5	W/V
PEG-75 LANOLIN	TOPICAL		1.5	%W/W
PEG-8 LAURATE	TOPICAL	SUSPENSION	0.63	%W/W
PEG-8 STEARATE	ORAL	SUSPENSION	7.5	mg/5 mL
PEG-8 STEARATE	ORAL	CONCENTRATE	25	mg/5 mL
PEPPERMINT OIL	ORAL	SUSPENSION	100	mg/1 mL
PEPPERMINT OIL	ORAL	SYRUP	12	%
PEPPERMINT OIL	ORAL	CONCENTRATE	0.005	%
PEPPERMINT OIL	SUBLINGUAL	METERED	0.022	%
PHENOL	SUBCUTANEOUS	SUSPENSION	0.08	%
PHENOL	SUBCUTANEOUS	SUSPENSION, INJECTION	0.1	%
PHENOL	SUBCUTANEOUS	SUSPENSION, INJECTION	0.15	%
PHENOXYETHANOL	AEROSOL	TOPICAL	1.05	W/V
PHENOXYETHANOL	TOPICAL		1.05	%W/W
PHENYLETHYL ALCOHOL	INTRA-ARTICULAR	SUSPENSION	0.5	%
PHENYLETHYL ALCOHOL	INTRAMUSCULAR	SUSPENSION	0.5	%
PHENYLETHYL ALCOHOL	NASAL	SUSPENSION	0.25	mg/SPR
PINEAPPLE	ORAL	CONCENTRATE	1	mg/1 mL
POLACRILIN POTASSIUM	ORAL	SUSPENSION, LIQUID	20	mg/5 mL
POLOXAMER	ORAL	SUSPENSION	200	mg/5 mL
POLOXAMER 124	ORAL	SUSPENSION	0.09	mg/1 mL
POLOXAMER 188	ORAL	SUSPENSION	25	mg/5 mL
POLOXAMER 188	ORAL	GRANULE, FOR ORAL SOLUTION	26	mg
POLOXAMER 188	ORAL	CONCENTRATE	0.25	mg/1 mL
POLOXAMER 407	INTRATYMPANIC	SUSPENSION	15.1	%W/W
POLOXAMER 407	OPHTHALMIC	SUSPENSION, DROPS	0.1	%
POLOXAMER 407	ORAL	SUSPENSION	2.5	mg/5 mL
POLOXAMER 407	TOPICAL	SUSPENSION, DROPS	0.1	%W/W
POLYCARBOPHIL	OPHTHALMIC	SUSPENSION, DROPS	0.86	%
POLYCARBOPHIL	TOPICAL	SUSPENSION, DROPS	0.86	%W/W
POLYETHYLENE GLYCOL 1000	ORAL	CONCENTRATE	100	mg/1 mL
POLYETHYLENE GLYCOL 1000	RESPIRATORY (INHALATION)	METERED	0.022	%
POLYETHYLENE GLYCOL 3350	INTRA-ARTERIAL	SUSPENSION, INJECTION	29.1	mg/1 mL

(Continued)

APPENDIX C (CONTINUED)

POLYETHYLENE GLYCOL 3350	INTRA-SYNOVIAL	SUSPENSION, INJECTION	29.1	mg/1 mL
POLYETHYLENE GLYCOL 3350	INTRALESIONAL	SUSPENSION, INJECTION	2.91	%
POLYETHYLENE GLYCOL 3350	INTRALESIONAL	SUSPENSION, INJECTION	29.1	mg/1 mL
POLYETHYLENE GLYCOL 3350	INTRAMUSCULAR	SUSPENSION, INJECTION	2.91	%W/V
POLYETHYLENE GLYCOL 3350	INTRAMUSCULAR	SUSPENSION, INJECTION	28.9	mg/1 mL
POLYETHYLENE GLYCOL 3350	INTRAMUSCULAR	SUSPENSION, INJECTION	29.1	mg/1 mL
POLYETHYLENE GLYCOL 3350	INTRAMUSCULAR	SUSPENSION, INJECTION	2.91	%W/V
POLYETHYLENE GLYCOL 3350	INTRASYNOVIAL	SUSPENSION, INJECTION	2.91	%
POLYETHYLENE GLYCOL 3350	ORAL	SUSPENSION	0.12	mg/15 mL
POLYETHYLENE GLYCOL 3350	ORAL	SUSPENSION	45	mg/1 mL
POLYETHYLENE GLYCOL 3350	ORAL	SUSPENSION, EXTENDED RELEASE	9.31	mg/5 mL
POLYETHYLENE GLYCOL 3350	SOFT TISSUE	SUSPENSION, INJECTION	2.91	%
POLYETHYLENE GLYCOL 3350	SOFT TISSUE	SUSPENSION, INJECTION	29.1	mg/1 mL
POLYETHYLENE GLYCOL 3350	SUBCUTANEOUS	SUSPENSION, INJECTION	4.42	%
POLYETHYLENE GLYCOL 400	ORAL	SUSPENSION	250	mg/5 mL
POLYETHYLENE GLYCOL 400	ORAL	SYRUP	74	mg/1 mL
POLYETHYLENE GLYCOL 400	ORAL	CONCENTRATE	600	mg/1 mL
POLYETHYLENE GLYCOL 4000	INTRAMUSCULAR	SUSPENSION	3	%
POLYETHYLENE GLYCOL 4000	INTRAMUSCULAR	SUSPENSION, EXTENDED RELEASE	7.5	%
POLYETHYLENE GLYCOL 4000	INTRAMUSCULAR	SUSPENSION, INJECTION	4.5	%
POLYETHYLENE GLYCOL 4000	INTRAMUSCULAR	SUSPENSION, INJECTION	4.5	%W/V
POLYETHYLENE GLYCOL 4000	ORAL	GRANULE	83	mg/1 PKT
POLYOXYL 20 CETOSTEARYL ETHER	AEROSOL	TOPICAL	5.5	W/V
POLYOXYL 20 CETOSTEARYL ETHER	TOPICAL		5.5	%W/W
POLYOXYL 20 CETOSTEARYL ETHER	TOPICAL	FOAM	0.26	%W/W
POLYOXYL 35 CASTOR OIL	ORAL	SUSPENSION	0.4	mg/1 mL
POLYOXYL 40 STEARATE	AURICULAR (OTIC)	SUSPENSION	1	%
POLYOXYL 40 STEARATE	OPHTHALMIC	SUSPENSION	0.5	%
POLYOXYL 40 STEARATE	ORAL	CONCENTRATE	4	mg
POLYOXYL STEARATE	AURICULAR (OTIC)	SUSPENSION	0.006	%
POLYOXYL STEARATE	RECTAL	EMULSION	1.32	%
POLYSORBATE 20	AURICULAR (OTIC)	SUSPENSION, LIQUID	0.1	%
POLYSORBATE 20	INTRAMUSCULAR	SUSPENSION, EXTENDED RELEASE	1	%
POLYSORBATE 20	INTRAMUSCULAR	SUSPENSION, INJECTION	1.8	%
POLYSORBATE 20	OPHTHALMIC	SUSPENSION	0.05	%
POLYSORBATE 20	ORAL	SUSPENSION	5	mg/1 mL
POLYSORBATE 40	ORAL	SUSPENSION	0.1	%
POLYSORBATE 60	AEROSOL	TOPICAL	0.42	W/V
POLYSORBATE 60	ORAL	SUSPENSION	25	mg/5 mL
POLYSORBATE 60	ORAL	EMULSION	2	%
POLYSORBATE 60	TOPICAL	SUSPENSION	2.85	%W/W
POLYSORBATE 60	TOPICAL		0.42	%W/W
POLYSORBATE 60	TOPICAL	FOAM	0.4	%W/W
POLYSORBATE 80	AURICULAR (OTIC)	SUSPENSION	5	%
POLYSORBATE 80	INHALATION	SUSPENSION, FOR INHALATION	0.02	%
POLYSORBATE 80	INTRA-ARTERIAL	SUSPENSION, INJECTION	1.94	mg/1 mL
POLYSORBATE 80	INTRA-SYNOVIAL	SUSPENSION, INJECTION	9.7	mg
POLYSORBATE 80	INTRALESIONAL	SUSPENSION, INJECTION	0.19	%
POLYSORBATE 80	INTRALESIONAL	SUSPENSION, INJECTION	1.94	mg/1 mL
POLYSORBATE 80	INTRAMUSCULAR	SUSPENSION	0.02	%
POLYSORBATE 80	INTRAMUSCULAR	SUSPENSION, INJECTION	0.24	%W/V
POLYSORBATE 80	INTRAMUSCULAR	SUSPENSION, INJECTION	1.94	mg/1 mL

(Continued)

APPENDIX C (CONTINUED)

POLYSORBATE 80	INTRAMUSCULAR	SUSPENSION, INJECTION	2.41	mg/1 mL
POLYSORBATE 80	INTRASYNOVIAL	SUSPENSION, INJECTION	0.19	%
POLYSORBATE 80	INTRAVITREAL	SUSPENSION, INJECTION	0.015	%
POLYSORBATE 80	OPHTHALMIC	SUSPENSION	0.1	%
POLYSORBATE 80	OPHTHALMIC	SUSPENSION, DROPS	0.1	%
POLYSORBATE 80	ORAL	SUSPENSION	125	mg/5 mL
POLYSORBATE 80	ORAL	SUSPENSION, DROPS	7.5	mg/2.5 mL
POLYSORBATE 80	ORAL	SUSPENSION, EXTENDED RELEASE	10	mg/5 mL
POLYSORBATE 80	ORAL	SUSPENSION, FOR INHALATION	0.4	mg/2 mL
POLYSORBATE 80	ORAL	SUSPENSION, LIQUID	5	mg/5 mL
POLYSORBATE 80	ORAL	SUSPENSION, SUSTAINED ACTION	5	mg
POLYSORBATE 80	ORAL	SUSPENSION, SYRUP, SUSTAINED ACTION	0.06	%
POLYSORBATE 80	ORAL	DROPS	2	mg/1 mL
POLYSORBATE 80	ORAL	GRANULE	20	mg
POLYSORBATE 80	ORAL	GRANULE, FOR ORAL SUSPENSION	5	mg
POLYSORBATE 80	ORAL	CONCENTRATE	1	mg/1 mL
POLYSORBATE 80	RECTAL	ENEMA	0.35	%
POLYSORBATE 80	RESPIRATORY (INHALATION)	SUSPENSION, FOR INHALATION	0.04	%
POLYSORBATE 80	SOFT TISSUE	SUSPENSION, INJECTION	0.19	%
POLYSORBATE 80	SOFT TISSUE	SUSPENSION, INJECTION	1.94	mg/1 mL
POLYSORBATE 80	SUBCUTANEOUS	SUSPENSION, INJECTION	0.46	%
POLYVINYL ACETATE	ORAL	SUSPENSION	6.41	mg/1 mL
POLYVINYL ACETATE	ORAL	SUSPENSION, EXTENDED RELEASE	25.08	mg/5 mL
POLYVINYL ALCOHOL, UNSPECIFIED	AURICULAR (OTIC)	SUSPENSION, LIQUID	0.05	%
POLYVINYL ALCOHOL, UNSPECIFIED	OPHTHALMIC	SUSPENSION	1.4	%
POLYVINYL ALCOHOL, UNSPECIFIED	OPHTHALMIC	SUSPENSION, DROPS	1.4	%
PONCEAU 3R	ORAL	SYRUP	0.001	%
POTASSIUM ACETATE	RECTAL	ENEMA	0.41	%
POTASSIUM CHLORIDE	INTRAVITREAL	SUSPENSION, INJECTION	0.075	%
POTASSIUM CITRATE	AEROSOL	TOPICAL	0.26	W/V
POTASSIUM CITRATE	TOPICAL		0.26	%W/W
POTASSIUM CITRATE	TOPICAL	FOAM		ADJPH
POTASSIUM CITRATE ANHYDROUS	AEROSOL	TOPICAL	0.03	W/V
POTASSIUM CITRATE ANHYDROUS	TOPICAL		0.03	%W/W
POTASSIUM METABISULFITE	RECTAL	ENEMA	0.47	%
POTASSIUM PHOSPHATE, MONOBASIC	OPHTHALMIC	SUSPENSION	0.44	%
POTASSIUM PHOSPHATE, MONOBASIC	ORAL	SUSPENSION	50	mg/5 mL
POTASSIUM PHOSPHATE, MONOBASIC	ORAL	SYRUP	13.66	mg/5 mL
POTASSIUM SORBATE	ORAL	SUSPENSION	0.21	%
POTASSIUM SORBATE	ORAL	SYRUP	0.2	%
POTASSIUM SORBATE	ORAL	GRANULE, FOR SUSPENSION	20	mg
POTASSIUM SORBATE	ORAL	CONCENTRATE	0.1	mg/1 mL
POVIDONE K17	SUBCUTANEOUS	SUSPENSION, INJECTION	0.77	%
POVIDONE K25	ORAL	SUSPENSION, SUSTAINED ACTION	0.27	mg/206.84 mg
POVIDONE K25	ORAL	GRANULE, FOR ORAL SUSPENSION	0.27	mg
POVIDONE K30	OPHTHALMIC	SUSPENSION	0.6	%
POVIDONE K30	ORAL	SUSPENSION	18.5	mg/5 mL

(Continued)

APPENDIX C (CONTINUED)

POVIDONE K30	ORAL	GRANULE	6.7	mg
POVIDONE K30	ORAL	GRANULE	16.4	mg
POVIDONE K30	ORAL	GRANULE	201.63	mg/1 PKT
POVIDONE K90	ORAL	SUSPENSION	0.1	mg/1 mL
POVIDONE K90	ORAL	GRANULE, FOR SUSPENSION	32	mg
POVIDONE, UNSPECIFIED	OPHTHALMIC	SUSPENSION	1.8	%
POVIDONE, UNSPECIFIED	OPHTHALMIC	SUSPENSION, DROPS	1.5	%
POVIDONE, UNSPECIFIED	ORAL	SUSPENSION	1.57	mg/1 mL
POVIDONE, UNSPECIFIED	ORAL	SUSPENSION, EXTENDED RELEASE	5.21	mg/5 mL
POVIDONE, UNSPECIFIED	ORAL	GRANULE	100	mg
POVIDONE, UNSPECIFIED	ORAL	GRANULE, FOR ORAL SUSPENSION	75	mg
POWDERED CELLULOSE	ORAL	SUSPENSION	100	mg/5 mL
PPG-11 STEARYL ETHER	TOPICAL	FOAM	5	%W/W
PPG-15 STEARYL ETHER	TOPICAL	SUSPENSION	16	%W/W
PRIMARY TASTE MODIFIER NO. 29275	ORAL	SYRUP	0.2	%
PRODUCT WAT	AEROSOL	TOPICAL	10.78	W/V
PRODUCT WAT	TOPICAL		10.78	%W/W
PROPANE	RECTAL	EMULSION	0.34	%
PROPYL GALLATE	ORAL	SUSPENSION, EXTENDED RELEASE	1	mg/5 mL
PROPYL GALLATE	ORAL	CONCENTRATE	0.2	mg/1 mL
PROPYLENE GLYCOL	AEROSOL	TOPICAL	19.2	W/V
PROPYLENE GLYCOL	AURICULAR (OTIC)	SUSPENSION	10	%
PROPYLENE GLYCOL	OPHTHALMIC	SUSPENSION	5	%
PROPYLENE GLYCOL	OPHTHALMIC	SUSPENSION, DROPS	1	%
PROPYLENE GLYCOL	ORAL	SUSPENSION	125	mg/5 mL
PROPYLENE GLYCOL	ORAL	SUSPENSION, EXTENDED RELEASE	250	mg/5 mL
PROPYLENE GLYCOL	ORAL	SUSPENSION, LIQUID	250	mg/5 mL
PROPYLENE GLYCOL	ORAL	SUSPENSION, SUSTAINED ACTION	150	mg/5 mL
PROPYLENE GLYCOL	ORAL	DROPS	1.25	mg/1 mL
PROPYLENE GLYCOL	ORAL	CONCENTRATE	700	mg/1 mL
PROPYLENE GLYCOL	RECTAL	SUSPENSION	11.3	%
PROPYLENE GLYCOL	RECTAL	METERED	18	%
PROPYLENE GLYCOL	RECTAL	EMULSION	47.04	%
PROPYLENE GLYCOL	RECTAL	SUSPENSION	11.3	%
PROPYLENE GLYCOL	TOPICAL	SUSPENSION	5.28	%W/W
PROPYLENE GLYCOL	TOPICAL		19.2	%W/W
PROPYLENE GLYCOL	TOPICAL	FOAM	2.1	%W/W
PROPYLENE GLYCOL ALGINATE	ORAL	SUSPENSION	0.015	%
PROPYLENE GLYCOL ALGINATE	ORAL	EMULSION	0.015	%
PROPYLPARABEN	AURICULAR (OTIC)	SUSPENSION	0.001	%
PROPYLPARABEN	INTRAMUSCULAR	SUSPENSION	0.02	%
PROPYLPARABEN	INTRAMUSCULAR	SUSPENSION, INJECTION	0.015	%W/V
PROPYLPARABEN	INTRAMUSCULAR	SUSPENSION, INJECTION	0.15	mg/1 mL
PROPYLPARABEN	OPHTHALMIC	SUSPENSION	0.01	%
PROPYLPARABEN	OPHTHALMIC	SUSPENSION, DROPS	0.01	%
PROPYLPARABEN	ORAL	SUSPENSION	1.95	mg/15 mL
PROPYLPARABEN	ORAL	SUSPENSION	200	mg/5 mL
PROPYLPARABEN	ORAL	SUSPENSION, EXTENDED RELEASE	20	%
PROPYLPARABEN	ORAL	SUSPENSION, LIQUID	3	mg/5 mL

(Continued)

APPENDIX C (CONTINUED)

PROPYLPARABEN	ORAL	SUSPENSION, SUSTAINED ACTION	1.5	mg/5 mL
PROPYLPARABEN	ORAL	SYRUP	200	mg/5 mL
PROPYLPARABEN	ORAL	CONCENTRATE	2.5	mg/5 mL
PROPYLPARABEN	RECTAL	SUSPENSION	0.034	%
PROPYLPARABEN	RECTAL	METERED	0.009	%
PROPYLPARABEN	SUBCUTANEOUS	SUSPENSION, INJECTION	0.023	%
PROPYLPARABEN SODIUM	ORAL	SUSPENSION	0.2	mg/1 mL
PROSWEET	ORAL	SUSPENSION	50	mg/5 mL
PROSWEET 604	ORAL	SYRUP	0.5	%
PROSWEET K	ORAL	SYRUP	4	mg/1 mL
PROTAMINE SULFATE	SUBCUTANEOUS	SUSPENSION	0.028	%
PROTAMINE SULFATE	SUBCUTANEOUS	SUSPENSION, INJECTION	0.028	%
RASPBERRY JUICE	ORAL	SUSPENSION	5	%
ROSIN PARTIALLY DIMERIZED GLYCEROL ESTER	ORAL	SUSPENSION	1	mg/10 mL
SACCHARIN	INHALATION	METERED	0.11	%
SACCHARIN	INHALATION	SPRAY	0.045	%
SACCHARIN	ORAL	SUSPENSION	12.5	mg/5 mL
SACCHARIN	ORAL	SYRUP	3.5	mg/5 mL
SACCHARIN	ORAL	GRANULE, FOR SUSPENSION	16	mg
SACCHARIN CALCIUM	ORAL	SYRUP	0.01	%
SACCHARIN SODIUM	INHALATION	METERED	0.045	%
SACCHARIN SODIUM	ORAL	SUSPENSION	0.2	mg/1 mL
SACCHARIN SODIUM	ORAL	SUSPENSION	20	mg/5 mL
SACCHARIN SODIUM	ORAL	SUSPENSION, LIQUID	2.5	mg/5 mL
SACCHARIN SODIUM	ORAL	SYRUP	50	mg/5 mL
SACCHARIN SODIUM	ORAL	GRANULE, for ORAL SOLUTION	5.5	mg/SACHET
SACCHARIN SODIUM	ORAL	CONCENTRATE	1.5	mg/1 mL
SACCHARIN SODIUM	RECTAL	SUSPENSION	0.036	%
SACCHARIN SODIUM ANHYDROUS	ORAL	SUSPENSION	16.65	mg/5 mL
SACCHARIN SODIUM ANHYDROUS	ORAL	SYRUP	3.96	mg/5 mL
SACCHARIN SODIUM ANHYDROUS	ORAL	EMULSION	0.04	%
SD ALCOHOL 40-2	AEROSOL	TOPICAL	57.65	W/V
SD ALCOHOL 40-2	TOPICAL		57.65	%W/W
SD ALCOHOL 40B	AEROSOL	TOPICAL	56.09	W/V
SD ALCOHOL 40B	TOPICAL		56.09	%W/W
SILICON DIOXIDE	ORAL	SUSPENSION	8	mg/5 mL
SILICON DIOXIDE	ORAL	SUSPENSION	138	mg/5 mL
SILICON DIOXIDE	ORAL	SUSPENSION, SUSTAINED ACTION	21.6	mg/.00577 G
SILICON DIOXIDE	ORAL	GRANULE	100	mg
SILICON DIOXIDE	ORAL	GRANULE, ENTERIC COATED	3.2	mg
SILICON DIOXIDE	ORAL	GRANULE, for ORAL SOLUTION	1.8	mg/SACHET
SILICON DIOXIDE	ORAL	GRANULE, FOR RECONSTITUTION	16.25	mg
SILICON DIOXIDE	ORAL	GRANULE, FOR SUSPENSION	25	mg
SILICONE EMULSION	ORAL	SUSPENSION	5	mg
SIMETHICONE	ORAL	SUSPENSION	90	mg/10 mL
SIMETHICONE	ORAL	SUSPENSION, LIQUID	10	mg/5 mL
SIMETHICONE	ORAL	GRANULE	3.3	mg
SIMETHICONE	ORAL	GRANULE, FOR ORAL SOLUTION	3	mg
SIMETHICONE	TOPICAL	SUSPENSION	0.1	%W/W
SIMETHICONE EMULSION	ORAL	SUSPENSION	0.52	%
SIMETHICONE EMULSION	ORAL	SUSPENSION	0.033	mg/1 mL

(Continued)

APPENDIX C (CONTINUED)

SIMETHICONE EMULSION	ORAL	SUSPENSION, LIQUID	0.5	mg/5 mL
SODIUM ACETATE	AURICULAR (OTIC)	SUSPENSION	0.042	%
SODIUM ACETATE	AURICULAR (OTIC)	SUSPENSION, LIQUID	0.68	%
SODIUM ACETATE	AURICULAR (OTIC)	SUSPENSION	0.042	%
SODIUM ACETATE	INTRAVITREAL	SUSPENSION, INJECTION	0.39	%
SODIUM ACETATE	ORAL	SYRUP	21.45	mg/5 mL
SODIUM ACETATE	OTIC	SUSPENSION	0.03	%
SODIUM ACETATE ANHYDROUS	ORAL	SYRUP	4.1	mg/1 mL
SODIUM ALGINATE	ORAL	SUSPENSION	6.15	mg/5 mL
SODIUM ALGINATE	ORAL	SYRUP	3	mg/1 mL
SODIUM ASCORBATE	ORAL	SUSPENSION, EXTENDED RELEASE	16.4	mg/5 mL
SODIUM BENZOATE	ORAL	SUSPENSION	10	mg/5 mL
SODIUM BENZOATE	ORAL	SUSPENSION, DROPS	2.5	mg/1 mL
SODIUM BENZOATE	ORAL	SUSPENSION, LIQUID	10	mg/5 mL
SODIUM BENZOATE	ORAL	SUSPENSION, SYRUP, SUSTAINED ACTION	0.05	%
SODIUM BENZOATE	ORAL	SYRUP	10	mg/1 mL
SODIUM BENZOATE	ORAL	DROPS	3	mg/1 mL
SODIUM BENZOATE	ORAL	GRANULE, FOR RECONSTITUTION	10	mg
SODIUM BENZOATE	ORAL	GRANULE, FOR SUSPENSION	10	mg
SODIUM BENZOATE	ORAL	CONCENTRATE	2	mg/1 mL
SODIUM BENZOATE	RECTAL	ENEMA	0.1	%
SODIUM BICARBONATE	INTRATRACHEAL	SUSPENSION		ADJPH
SODIUM BICARBONATE	ORAL	SUSPENSION	5	mg/5 mL
SODIUM BISULFATE	ORAL	CONCENTRATE	0.95	mg/1 mL
SODIUM BISULFITE	AURICULAR (OTIC)	SUSPENSION	0.001	%
SODIUM BISULFITE	OPHTHALMIC	SUSPENSION	0.06	%
SODIUM BISULFITE	ORAL	SUSPENSION	2	mg/5 mL
SODIUM BISULFITE	ORAL	CONCENTRATE	0.5	mg/1 mL
SODIUM BORATE	OPHTHALMIC	SUSPENSION, DROPS	0.029	%
SODIUM CARBONATE	ORAL	SUSPENSION, SUSTAINED ACTION	6.5	mg/206.84 mg
SODIUM CARBONATE	ORAL	GRANULE, FOR ORAL SUSPENSION	6.5	mg
SODIUM CHLORIDE	AURICULAR (OTIC)	SUSPENSION	0.86	%
SODIUM CHLORIDE	AURICULAR (OTIC)	SUSPENSION, LIQUID	0.9	%
SODIUM CHLORIDE	INHALATION	SUSPENSION, FOR INHALATION	0.85	%
SODIUM CHLORIDE	INTRA-ARTERIAL	SUSPENSION, INJECTION	1.5	mg/1 mL
SODIUM CHLORIDE	INTRA-ARTICULAR	SUSPENSION	0.48	%
SODIUM CHLORIDE	INTRA-SYNOVIAL	SUSPENSION, INJECTION	1.5	mg/1 mL
SODIUM CHLORIDE	INTRALESIONAL	SUSPENSION, INJECTION	0.22	%
SODIUM CHLORIDE	INTRALESIONAL	SUSPENSION, INJECTION	1.5	mg/1 mL
SODIUM CHLORIDE	INTRAMUSCULAR	SUSPENSION	0.9	%
SODIUM CHLORIDE	INTRAMUSCULAR	SUSPENSION, INJECTION	0.87	%W/V
SODIUM CHLORIDE	INTRAMUSCULAR	SUSPENSION, INJECTION	1.5	mg/1 mL
SODIUM CHLORIDE	INTRAMUSCULAR	SUSPENSION, INJECTION	8.68	mg/1 mL
SODIUM CHLORIDE	INTRASYNOVIAL	SUSPENSION, INJECTION	0.22	%
SODIUM CHLORIDE	INTRATRACHEAL	SUSPENSION	0.76	%
SODIUM CHLORIDE	INTRATYMPANIC	SUSPENSION	0.43	%W/W
SODIUM CHLORIDE	INTRAVITREAL	SUSPENSION, INJECTION	0.55	%
SODIUM CHLORIDE	IV(INFUSION)	SUSPENSION, INJECTION	0.9	%
SODIUM CHLORIDE	OPHTHALMIC	SUSPENSION	0.85	%
SODIUM CHLORIDE	OPHTHALMIC	SUSPENSION, DROPS	0.68	%

(Continued)

APPENDIX C (CONTINUED)

SODIUM CHLORIDE	ORAL	SUSPENSION	20	mg/1 mL
SODIUM CHLORIDE	ORAL	SUSPENSION, FOR INHALATION	17	mg/2 mL
SODIUM CHLORIDE	ORAL	SYRUP	3	mg/1 mL
SODIUM CHLORIDE	ORAL	GRANULE, FOR SUSPENSION	13.5	mg
SODIUM CHLORIDE	OTIC	SUSPENSION	0.53	%
SODIUM CHLORIDE	RECTAL	ENEMA	0.7	%
SODIUM CHLORIDE	RESPIRATORY (INHALATION)	SUSPENSION, FOR INHALATION	0.85	%W/V
SODIUM CHLORIDE	SOFT TISSUE	SUSPENSION, INJECTION	0.22	%
SODIUM CHLORIDE	SOFT TISSUE	SUSPENSION, INJECTION	1.5	mg/1 mL
SODIUM CHLORIDE	SUBCUTANEOUS	SUSPENSION, INJECTION	1.23	%
SODIUM CHLORIDE	TOPICAL	SUSPENSION	0.3	%W/W
SODIUM CHLORIDE	TOPICAL	SUSPENSION, DROPS	5.05	mg/mL
SODIUM CITRATE, UNSPECIFIED FORM	INHALATION	SUSPENSION, FOR INHALATION	0.05	%
SODIUM CITRATE, UNSPECIFIED FORM	OPHTHALMIC	SUSPENSION	0.3	%
SODIUM CITRATE, UNSPECIFIED FORM	OPHTHALMIC	SUSPENSION, DROPS	0.45	%
SODIUM CITRATE, UNSPECIFIED FORM	ORAL	SUSPENSION	7800	mg/5 mL
SODIUM CITRATE, UNSPECIFIED FORM	ORAL	SUSPENSION, EXTENDED RELEASE	13	mg/5 mL
SODIUM CITRATE, UNSPECIFIED FORM	ORAL	SUSPENSION, LIQUID	0.9	mg/5 mL
SODIUM CITRATE, UNSPECIFIED FORM	ORAL	SUSPENSION, SUSTAINED ACTION	23.5	mg/1 PKT
SODIUM CITRATE, UNSPECIFIED FORM	ORAL	SYRUP	25	mg/5 mL
SODIUM CITRATE, UNSPECIFIED FORM	ORAL	DROPS	100	mg/5 mL
SODIUM CITRATE, UNSPECIFIED FORM	ORAL	GRANULE	210.63	mg/5 mL
SODIUM CITRATE, UNSPECIFIED FORM	ORAL	GRANULE, FOR RECONSTITUTION	0.7	mg
SODIUM CITRATE, UNSPECIFIED FORM	ORAL	GRANULE, FOR SUSPENSION	15	mg
SODIUM CITRATE, UNSPECIFIED FORM	ORAL	CONCENTRATE	5.07	mg/1 mL
SODIUM CITRATE, UNSPECIFIED FORM	RESPIRATORY (INHALATION)	SUSPENSION, FOR INHALATION	1	mg/2 mL
SODIUM HYDROXIDE	AURICULAR (OTIC)	SUSPENSION		ADJPH
SODIUM HYDROXIDE	INHALATION	METERED		ADJPH
SODIUM HYDROXIDE	INTRA-ARTERIAL	SUSPENSION, INJECTION		ADJ PH
SODIUM HYDROXIDE	INTRALESIONAL	SUSPENSION, INJECTION		ADJPH
SODIUM HYDROXIDE	INTRAMUSCULAR	SUSPENSION, EXTENDED RELEASE		ADJPH
SODIUM HYDROXIDE	INTRAMUSCULAR	SUSPENSION, INJECTION	0.43	%
SODIUM HYDROXIDE	INTRASYNOVIAL	SUSPENSION, INJECTION		ADJPH
SODIUM HYDROXIDE	INTRATRACHEAL	SUSPENSION		ADJPH
SODIUM HYDROXIDE	INTRAVITREAL	SUSPENSION, INJECTION		ADJPH
SODIUM HYDROXIDE	OPHTHALMIC	SUSPENSION		ADJPH
SODIUM HYDROXIDE	OPHTHALMIC	SUSPENSION, DROPS		ADJPH
SODIUM HYDROXIDE	ORAL	SUSPENSION	8	%
SODIUM HYDROXIDE	ORAL	SYRUP	71	mg/5 mL
SODIUM HYDROXIDE	ORAL	GRANULE, FOR ORAL SUSPENSION		ADJPH
SODIUM HYDROXIDE	ORAL	CONCENTRATE	10	mg/5 mL
SODIUM HYDROXIDE	ORAL	EMULSION		ADJPH
SODIUM HYDROXIDE	OTIC	SUSPENSION		ADJPH
SODIUM HYDROXIDE	RECTAL	ENEMA	0.44	%
SODIUM HYDROXIDE	SOFT TISSUE	SUSPENSION, INJECTION		ADJPH
SODIUM HYDROXIDE	SUBCUTANEOUS	SUSPENSION		ADJPH
SODIUM HYDROXIDE	SUBCUTANEOUS	SUSPENSION, INJECTION	0.073	%

(Continued)

APPENDIX C (CONTINUED)

SODIUM HYDROXIDE	TOPICAL	SWAB		ADJPH
SODIUM HYPOCHLORITE	ORAL	SUSPENSION	3	mg/1 mL
SODIUM LACTATE	ORAL	SUSPENSION	20	mg/1 mL
SODIUM LAUROYL SARCOSINATE	TOPICAL	SUSPENSION	0.75	%W/W
SODIUM LAURYL SULFATE	ORAL	SUSPENSION	1.5	mg/1 mL
SODIUM LAURYL SULFATE	ORAL	SUSPENSION, EXTENDED RELEASE	0.02	mg/1 mL
SODIUM LAURYL SULFATE	ORAL	GRANULE	4.5	mg
SODIUM LAURYL SULFATE	ORAL	GRANULE	6.2	mg
SODIUM METABISULFITE	OPHTHALMIC	SUSPENSION, DROPS	0.1	%
SODIUM METABISULFITE	ORAL	SUSPENSION	5	mg/5 mL
SODIUM METABISULFITE	ORAL	SUSPENSION, EXTENDED RELEASE	5	mg/5 mL
SODIUM METABISULFITE	ORAL	SYRUP	1	mg/1 mL
SODIUM METABISULFITE	ORAL	CONCENTRATE	2	mg/1 mL
SODIUM METABISULFITE	TOPICAL	SUSPENSION	0.3	%W/W
SODIUM PHOSPHATE	OPHTHALMIC	SUSPENSION	0.2	%
SODIUM PHOSPHATE, DIBASIC, ANHYDROUS	INTRA-ARTERIAL	SUSPENSION, INJECTION	1.42	mg/1 mL
SODIUM PHOSPHATE, DIBASIC, ANHYDROUS	INTRALESIONAL	SUSPENSION, INJECTION	1.42	mg/1 mL
SODIUM PHOSPHATE, DIBASIC, ANHYDROUS	INTRAMUSCULAR	SUSPENSION, INJECTION	1.42	mg/1 mL
SODIUM PHOSPHATE, DIBASIC, ANHYDROUS	OPHTHALMIC	SUSPENSION	0.25	%
SODIUM PHOSPHATE, DIBASIC, ANHYDROUS	ORAL	SUSPENSION	33	mg/5 mL
SODIUM PHOSPHATE, DIBASIC, ANHYDROUS	ORAL	SYRUP	3.5	mg/1 mL
SODIUM PHOSPHATE, DIBASIC, ANHYDROUS	SOFT TISSUE	SUSPENSION, INJECTION	1.42	mg/1 mL
SODIUM PHOSPHATE, DIBASIC, DIHYDRATE	ORAL	SUSPENSION	8	mg/1 mL
SODIUM PHOSPHATE, DIBASIC, DIHYDRATE	SUBCUTANEOUS	SUSPENSION, INJECTION	0.13	%
SODIUM PHOSPHATE, DIBASIC, HEPTAHYDRATE	INTRA-ARTICULAR	SUSPENSION	0.35	%
SODIUM PHOSPHATE, DIBASIC, HEPTAHYDRATE	INTRAMUSCULAR	SUSPENSION	0.35	%
SODIUM PHOSPHATE, DIBASIC, HEPTAHYDRATE	OPHTHALMIC	SUSPENSION	0.87	%
SODIUM PHOSPHATE, DIBASIC, HEPTAHYDRATE	OPHTHALMIC	SUSPENSION, DROPS	0.43	%
SODIUM PHOSPHATE, DIBASIC, HEPTAHYDRATE	ORAL	SUSPENSION	62.5	mg/5 mL
SODIUM PHOSPHATE, DIBASIC, HEPTAHYDRATE	ORAL	SYRUP	0.65	mg/1 mL
SODIUM PHOSPHATE, DIBASIC, HEPTAHYDRATE	SUBCUTANEOUS	SUSPENSION	0.38	%
SODIUM PHOSPHATE, DIBASIC, HEPTAHYDRATE	SUBCUTANEOUS	SUSPENSION, INJECTION	0.38	%
SODIUM PHOSPHATE, DIBASIC, UNSPECIFIED FORM	INTRALESIONAL	SUSPENSION, INJECTION	0.14	%
SODIUM PHOSPHATE, DIBASIC, UNSPECIFIED FORM	INTRAMUSCULAR	SUSPENSION, INJECTION	0.14	%W/V
SODIUM PHOSPHATE, DIBASIC, UNSPECIFIED FORM	INTRASYNOVIAL	SUSPENSION, INJECTION	0.14	%

(Continued)

APPENDIX C (CONTINUED)

SODIUM PHOSPHATE, DIBASIC, UNSPECIFIED FORM	OPHTHALMIC	SUSPENSION	0.43	%
SODIUM PHOSPHATE, DIBASIC, UNSPECIFIED FORM	ORAL	SUSPENSION	4.5	mg/1 mL
SODIUM PHOSPHATE, DIBASIC, UNSPECIFIED FORM	ORAL	SYRUP	3.5	mg/1 G
SODIUM PHOSPHATE, DIBASIC, UNSPECIFIED FORM	ORAL	CONCENTRATE	17	mg/5 mL
SODIUM PHOSPHATE, DIBASIC, UNSPECIFIED FORM	SOFT TISSUE	SUSPENSION, INJECTION	0.14	%
SODIUM PHOSPHATE, DIBASIC, UNSPECIFIED FORM	SUBCUTANEOUS	SUSPENSION, INJECTION	0.09	%
SODIUM PHOSPHATE, MONOBASIC, ANHYDROUS	INTRA-ARTERIAL	SUSPENSION, INJECTION	6.8	mg/1 mL
SODIUM PHOSPHATE, MONOBASIC, ANHYDROUS	INTRA-ARTICULAR	SUSPENSION	0.28	%
SODIUM PHOSPHATE, MONOBASIC, ANHYDROUS	INTRAMUSCULAR	SUSPENSION	0.28	%
SODIUM PHOSPHATE, MONOBASIC, ANHYDROUS	INTRAMUSCULAR	SUSPENSION, INJECTION	0.75	%
SODIUM PHOSPHATE, MONOBASIC, ANHYDROUS	OPHTHALMIC	SUSPENSION	0.65	%
SODIUM PHOSPHATE, MONOBASIC, ANHYDROUS	OPHTHALMIC	SUSPENSION, DROPS	0.056	%
SODIUM PHOSPHATE, MONOBASIC, ANHYDROUS	ORAL	SUSPENSION	32.5	mg/5 mL
SODIUM PHOSPHATE, MONOBASIC, ANHYDROUS	ORAL	SYRUP	0.07	%
SODIUM PHOSPHATE, MONOBASIC, DIHYDRATE	ORAL	SUSPENSION	100	mg/5 mL
SODIUM PHOSPHATE, MONOBASIC, MONOHYDRATE	INTRAMUSCULAR	SUSPENSION, INJECTION	0.38	%
SODIUM PHOSPHATE, MONOBASIC, MONOHYDRATE	INTRAMUSCULAR	SUSPENSION, INJECTION	0.38	%W/V
SODIUM PHOSPHATE, MONOBASIC, MONOHYDRATE	OPHTHALMIC	SUSPENSION	0.54	%
SODIUM PHOSPHATE, MONOBASIC, MONOHYDRATE	ORAL	SUSPENSION	37.5	mg/5 mL
SODIUM PHOSPHATE, MONOBASIC, MONOHYDRATE	ORAL	SYRUP	20.6	mg/5 mL
SODIUM PHOSPHATE, MONOBASIC, UNSPECIFIED FORM	INTRALESIONAL	SUSPENSION, INJECTION	0.68	%
SODIUM PHOSPHATE, MONOBASIC, UNSPECIFIED FORM	INTRAMUSCULAR	SUSPENSION, EXTENDED RELEASE	0.6	%
SODIUM PHOSPHATE, MONOBASIC, UNSPECIFIED FORM	INTRAMUSCULAR	SUSPENSION, INJECTION	0.68	%W/V
SODIUM PHOSPHATE, MONOBASIC, UNSPECIFIED FORM	INTRASYNOVIAL	SUSPENSION, INJECTION	0.68	%
SODIUM PHOSPHATE, MONOBASIC, UNSPECIFIED FORM	ORAL	SUSPENSION	32.5	mg/5 mL
SODIUM PHOSPHATE, MONOBASIC, UNSPECIFIED FORM	SOFT TISSUE	SUSPENSION, INJECTION	0.68	%
SODIUM PHOSPHATE, MONOBASIC, UNSPECIFIED FORM	SUBCUTANEOUS	SUSPENSION, INJECTION	0.11	%
SODIUM PHOSPHATE, TRIBASIC	ORAL	GRANULE	1	mg
SODIUM PHOSPHATE, TRIBASIC, ANHYDROUS	ORAL	SUSPENSION	3.5	mg/5 mL

(Continued)

APPENDIX C (CONTINUED)

SODIUM PHOSPHATE, TRIBASIC, ANHYDROUS	ORAL	SUSPENSION	17.504	mg/5 mL
SODIUM PHOSPHATE, TRIBASIC, DODECAHYDRATE	ORAL	GRANULE	5	mg
SODIUM POLYMETAPHOSPHATE	ORAL	SUSPENSION	1	mg/1 mL
SODIUM POLYSTYRENE SULFONATE	ORAL	SUSPENSION	42.071	mg/15 mL
SODIUM PROPIONATE	ORAL	SYRUP	10	mg/5 mL
SODIUM STEARYL FUMARATE	ORAL	GRANULE, FOR ORAL SOLUTION	18.2	mg/SACHET
SODIUM SULFATE	OPHTHALMIC	SUSPENSION	1.2	%
SODIUM SULFATE ANHYDROUS	OPHTHALMIC	SUSPENSION	1.2	%
SODIUM SULFITE	ORAL	CONCENTRATE	0.3	mg/1 mL
SODIUM THIOSULFATE	OPHTHALMIC	SUSPENSION	0.32	%
SODIUM THIOSULFATE	OPHTHALMIC	SUSPENSION, DROPS	0.31	%
SORBIC ACID	ORAL	SUSPENSION	5	mg/5 mL
SORBIC ACID	ORAL	SYRUP	5.01	mg/5 mL
SORBIC ACID	ORAL	CONCENTRATE	0.1	mg/1 mL
SORBITAN MONOLAURATE	AEROSOL	TOPICAL	4.74	W/V
SORBITAN MONOLAURATE	ORAL	SUSPENSION	2.5	mg/5 mL
SORBITAN MONOLAURATE	TOPICAL		4.74	%W/W
SORBITAN MONOSTEARATE	ORAL	SUSPENSION	62.5	mg/5 mL
SORBITAN MONOSTEARATE	TOPICAL	SUSPENSION	2.15	%W/W
SORBITAN TRIOLEATE	INHALATION	METERED	0.069	%
SORBITAN TRIOLEATE	INHALATION	SPRAY	0.86	%
SORBITAN TRIOLEATE	NASAL	METERED	0.018	%
SORBITOL	ORAL	SUSPENSION	184.14	mg/1 mL
SORBITOL	ORAL	SUSPENSION, LIQUID	2000	mg/5 mL
SORBITOL	ORAL	SYRUP	71.2	%
SORBITOL	ORAL	DROPS	30	mg/1 mL
SORBITOL	ORAL	GRANULE, FOR SUSPENSION	28	mg
SORBITOL	ORAL	CONCENTRATE	400	mg/1 mL
SORBITOL	RECTAL	SUSPENSION	33.33	%
SORBITOL SOLUTION	ORAL	SUSPENSION	4012.5	mg/15 mL
SORBITOL SOLUTION	ORAL	SUSPENSION	571.43	mg/1 mL
SORBITOL SOLUTION	ORAL	SUSPENSION	3570	mg/5 mL
SORBITOL SOLUTION	ORAL	SUSPENSION, DROPS	250	mg/1 mL
SORBITOL SOLUTION	ORAL	SUSPENSION, LIQUID	2000	mg/5 mL
SORBITOL SOLUTION	ORAL	SUSPENSION, SYRUP, SUSTAINED ACTION	2	%
SORBITOL SOLUTION	ORAL	SYRUP	4370	mg/5 mL
SORBITOL SOLUTION	ORAL	DROPS	50	mg/1 mL
SORBITOL SOLUTION	ORAL	CONCENTRATE	600	mg/1 mL
SORBITOL SOLUTION	RECTAL	SUSPENSION	46.18	%
SPEARMINT OIL	ORAL	SUSPENSION	0.59	mg/20 mL
SPEARMINT OIL	ORAL	SYRUP	0.4	%
STARCH	ORAL	SUSPENSION, EXTENDED RELEASE	100	mg/5 mL
STARCH, CORN	ORAL	SUSPENSION	72.5	mg/5 mL
STARCH, PREGELATINIZED	ORAL	SUSPENSION	75	mg/5 mL
STARCH, PREGELATINIZED	ORAL	DROPS	12	mg/1 mL
STARCH, PREGELATINIZED	ORAL	SUSPENSION, LIQUID	75	mg/5 mL
STEARETH-10	RECTAL	METERED	0.23	%
STEARETH-40	RECTAL	METERED	1.35	%
STEARIC ACID	TOPICAL	SUSPENSION	1.75	%W/W
STEARYL ALCOHOL	AEROSOL	TOPICAL	0.53	W/V
STEARYL ALCOHOL	TOPICAL	SUSPENSION	2.01	%W/W

(Continued)

APPENDIX C (CONTINUED)

STEARYL ALCOHOL	TOPICAL		0.53	%W/W
STEARYL ALCOHOL	TOPICAL	FOAM	0.5	%
STRAWBERRY	ORAL	SUSPENSION, LIQUID	0.7	mg/1 mL
STRAWBERRY	ORAL	SYRUP	2.5	mg/5 mL
SUCCINIC ACID	ORAL	CONCENTRATE	6	mg/1 mL
SUCRALOSE	ORAL	SUSPENSION	40	mg/5 mL
SUCRALOSE	ORAL	SUSPENSION, EXTENDED RELEASE	2	mg/1 mL
SUCRALOSE	ORAL	SYRUP	1	mg/1 mL
SUCRALOSE	ORAL	GRANULE	5.4	mg
SUCRALOSE	ORAL	GRANULE, FOR ORAL SUSPENSION	2.33	mg
SUCROSE	ORAL	SUSPENSION	44.44	%W/V
SUCROSE	ORAL	SUSPENSION	952.42	mg/5 mL
SUCROSE	ORAL	SUSPENSION	8500	mg/5 mL
SUCROSE	ORAL	SUSPENSION, DROPS	1250	mg/2.5 mL
SUCROSE	ORAL	SUSPENSION, EXTENDED RELEASE	1250	mg/5 mL
SUCROSE	ORAL	SUSPENSION, EXTENDED RELEASE	1350	mg/5 mL
SUCROSE	ORAL	SUSPENSION, LIQUID	1750	mg/5 mL
SUCROSE	ORAL	SUSPENSION, SUSTAINED ACTION	2323.3	mg/1 PKT
SUCROSE	ORAL	SUSPENSION, SUSTAINED ACTION	600	mg/5 mL
SUCROSE	ORAL	SUSPENSION, SYRUP, SUSTAINED ACTION	10	%
SUCROSE	ORAL	SYRUP	67.79	%
SUCROSE	ORAL	SYRUP	85.46	%
SUCROSE	ORAL	DROPS	755.5	mg/2.5 mL
SUCROSE	ORAL	GRANULE	3024.2	mg
SUCROSE	ORAL	GRANULE, FOR ORAL SOLUTION	1774.84	mg/5 mL
SUCROSE	ORAL	GRANULE, FOR ORAL SUSPENSION	11	mg
SUCROSE	ORAL	GRANULE, FOR RECONSTITUTION	2669.8	mg
SUCROSE	ORAL	GRANULE, FOR SUSPENSION	2942.7	mg
SUCROSE	ORAL	CONCENTRATE	4250	mg/5 mL
SUGAR LIQUID TYPE NO. 0	ORAL	SYRUP	75	%
SUGAR SPHERES	ORAL	GRANULE	1880	mg/1 PKT
SUGAR SPHERES	ORAL	GRANULE, FOR ORAL SUSPENSION	30	mg
SUGAR/STARCH INSERT GRANULES	ORAL	SUSPENSION	2252.4	mg/5 mL
SULFURIC ACID	AURICULAR (OTIC)	SUSPENSION	0.023	%
SULFURIC ACID	OPHTHALMIC	SUSPENSION		ADJPH
SURELEASE E-719010 CLEAR	ORAL	GRANULE	29.4	mg
TALC	ORAL	SUSPENSION	0.26	%W/V
TALC	ORAL	SUSPENSION	12.75	mg/5 mL
TALC	ORAL	SUSPENSION, SUSTAINED ACTION	4.14	mg/206.84 mg
TALC	ORAL	GRANULE	321.77	mg/1 PKT
TALC	ORAL	GRANULE, ENTERIC COATED	215.2	mg
TALC	ORAL	GRANULE, FOR ORAL SUSPENSION	34	mg
TALLOW GLYCERIDES	AEROSOL	TOPICAL	2.55	W/V

(Continued)

APPENDIX C (CONTINUED)

TALLOW GLYCERIDES	TOPICAL		2.55	%W/W
TARTARIC ACID	ORAL	SUSPENSION	10	mg/5 mL
THIMEROSAL	AURICULAR (OTIC)	SUSPENSION	0.01	%
THIMEROSAL	OPHTHALMIC	SUSPENSION	1	%
THIMEROSAL	OPHTHALMIC	SUSPENSION, DROPS	1	%
TITANIUM DIOXIDE	ORAL	SUSPENSION	0.37	%W/W
TITANIUM DIOXIDE	ORAL	SUSPENSION, SUSTAINED ACTION	0.37	mg/206.84 mg
TITANIUM DIOXIDE	ORAL	GRANULE, FOR ORAL SUSPENSION	0.37	mg
TITANIUM DIOXIDE	ORAL	GRANULE, FOR SUSPENSION	35.7	mg
TRAGACANTH	ORAL	SUSPENSION	17	mg/5 mL
TRAGACANTH	ORAL	SUSPENSION, EXTENDED RELEASE	17.5	mg/5 mL
TRAGACANTH	ORAL	SUSPENSION, SUSTAINED ACTION	22.5	mg/5 mL
TRIACETIN	ORAL	SUSPENSION	0.32	mg/1 mL
TRIACETIN	ORAL	SUSPENSION, EXTENDED RELEASE	1.18	mg/5 mL
TRIACETIN	ORAL	CONCENTRATE	50	mg/1 mL
TRICHLOROMONOFUOROMETHANE	INHALATION	SPRAY	24.4	%
TRICHLOROMONOFUOROMETHANE	NASAL	METERED	2.37	%
TRICHLOROMONOFUOROMETHANE	ORAL	METERED	65	%
TRIDECETH-10	TOPICAL	METERED	4	%W/W
TRIETHYL CITRATE	ORAL	SUSPENSION	8.27	mg/15 mL
TRIETHYL CITRATE	ORAL	SUSPENSION, SUSTAINED ACTION	6.94	mg/.20684 G
TRIETHYL CITRATE	ORAL	GRANULE, FOR ORAL SUSPENSION	6.94	mg
TRISODIUM CITRATE DIHYDRATE	INHALATION	SUSPENSION, FOR INHALATION	0.005	%
TRISODIUM CITRATE DIHYDRATE	INTRAVITREAL	SUSPENSION, INJECTION	0.17	%
TRISODIUM CITRATE DIHYDRATE	ORAL	SUSPENSION	90	mg/5 mL
TRISODIUM CITRATE DIHYDRATE	ORAL	SUSPENSION, FOR INHALATION	1	mg/2 mL
TRISODIUM CITRATE DIHYDRATE	ORAL	SUSPENSION, LIQUID	2.13	mg/1 mL
TRISODIUM CITRATE DIHYDRATE	ORAL	SYRUP	0.95	%W/W
TRISODIUM CITRATE DIHYDRATE	ORAL	SYRUP	55.24	mg/5 mL
TRISODIUM CITRATE DIHYDRATE	RESPIRATORY (INHALATION)	SUSPENSION, FOR INHALATION	0.1	%
TROLAMINE	RECTAL	METERED		ADJPH
TROMETHAMINE	INTRATRACHEAL	SUSPENSION	0.24	%
TROMETHAMINE	INTRATYMPANIC	SUSPENSION	0.56	%W/W
TROMETHAMINE	OPHTHALMIC	SUSPENSION, DROPS		ADJPH
TYLOXAPOL	AURICULAR (OTIC)	SUSPENSION	0.01	%
TYLOXAPOL	OPHTHALMIC	SUSPENSION	0.3	%
TYLOXAPOL	OPHTHALMIC	SUSPENSION, DROPS	0.3	%
TYLOXAPOL	OTIC	SUSPENSION	0.05	%
TYLOXAPOL	TOPICAL	SUSPENSION	0.025	%W/V
VANILLIN	ORAL	SUSPENSION	1	mg/10 mL
VANILLIN	ORAL	SUSPENSION	5	mg/5 mL
XANTHAN GUM	OPHTHALMIC	SUSPENSION	0.6	%W/V
XANTHAN GUM	ORAL	SUSPENSION	13.75	mg
XANTHAN GUM	ORAL	SUSPENSION	2.18	mg/1 mL
XANTHAN GUM	ORAL	SUSPENSION	1.5	mg/5 mL
XANTHAN GUM	ORAL	SUSPENSION	5	mg/5 mL

(Continued)

APPENDIX C (CONTINUED)

XANTHAN GUM	ORAL	SUSPENSION	32.5	mg/5mL
XANTHAN GUM	ORAL	SUSPENSION, DROPS	2.19	mg/1 mL
XANTHAN GUM	ORAL	SUSPENSION, EXTENDED RELEASE	2.5	mg/1 mL
XANTHAN GUM	ORAL	SUSPENSION, LIQUID	11.5	mg/5 mL
XANTHAN GUM	ORAL	SUSPENSION, SUSTAINED ACTION	186.8	mg/1 PKT
XANTHAN GUM	ORAL	SUSPENSION, SYRUP, SUSTAINED ACTION	0.03	%
XANTHAN GUM	ORAL	DROPS	2.9	mg/1 mL
XANTHAN GUM	ORAL	GRANULE	10	mg/5 mL
XANTHAN GUM	ORAL	GRANULE, FOR ORAL SUSPENSION	75	mg
XANTHAN GUM	RECTAL	SUSPENSION	0.057	%
XANTHAN GUM	RECTAL	ENEMA	0.25	%
XANTHAN GUM	TOPICAL	SUSPENSION	0.11	%W/W
XYLITOL	ORAL	SUSPENSION	333.9	mg/1 mL
ZINC	SUBCUTANEOUS	SUSPENSION, INJECTION	1.09	%
ZINC OXIDE	SUBCUTANEOUS	SUSPENSION, INJECTION	0.003	%

Part II

Manufacturing Formulations



Taylor & Francis

Taylor & Francis Group

<http://taylorandfrancis.com>

Liquid Formulations

ABACAVIR SULFATE ORAL SOLUTION

Ziagen oral solution is for oral administration. One milliliter of Ziagen oral solution contains abacavir sulfate equivalent to 20 mg of abacavir (20 mg/mL) in an aqueous solution and the inactive ingredients artificial strawberry and banana flavors, citric acid (anhydrous), methyl paraben and propyl paraben (added as preservatives), propylene glycol, saccharin sodium, sodium citrate (dihydrate), and sorbitol solution.

Bill of Materials			
Scale (mg/mL)	Item	Material Name	Qty/L (g)
20.00	1	Abacavir; use abacavir hemisulfate	23.40
344.40	2	Sorbitol 70%	344.40
0.30	3	Sodium saccharin	0.30
2.00	4	Strawberry flavor	2.00
2.00	5	Banana flavor	2.00
QS	6	Sodium citrate dihydrate for pH adjustment	10.00
QS	7	Citric acid anhydrous for pH adjustment	7.00
1.50	8	Methylparaben	1.50
0.18	9	Propylparaben	0.18
50.00	10	Propylene glycol	50.00
QS	11	Hydrochloric acid dilute for pH adjustment to 4.0	QS
QS	12	Sodium hydroxide for pH adjustment	QS

MANUFACTURING DIRECTIONS

1. The pH range for this solution is from 3.8 to 4.5.
2. Load 40% of the propylene glycol to an appropriately sized stainless steel vessel, add methylparaben and propylparaben with mixing, and mix until dissolved.
3. Place purified water into a stainless steel manufacturing tank equipped with a suitable mixer to approximately 40% of final batch volume.
4. Add sorbitol solution to the manufacturing tank.
5. While mixing, add item 1 and mix until dissolved.
6. While continuing to mix the solution, the paraben/glycol solution, the remaining propylene glycol, artificial strawberry flavor, artificial banana flavor, saccharin sodium, citric acid anhydrous, and sodium citrate dihydrate are added and mixed until dissolved.
7. Turn off the mixer, bring the solution to a volume of 500 L, and mix until a homogeneous solution is achieved.

8. Measure and adjust pH to 3.8 to 4.5 with sodium hydroxide or hydrochloric acid.
9. Filter the solution through a clarifying filter into an appropriately sized receiving vessel.

ACETAMINOPHEN, CHLORPHENIRAMINE, AND PSEUDOEPHEDRINE SYRUP

Bill of Materials			
Scale (mg/mL)	Item	Material Name	Qty/L (g)
24.00	1	Acetaminophen (fine powder)	24.00
3.00	2	Pseudoephedrine HCl	3.00
0.44	3	Chlorpheniramine maleate (10% excess)	0.49
14.00	4	Ascorbic acid	14.00
2.40	5	Sodium hydroxide	2.40
1.00	6	Edetate disodium (sodium EDTA)	1.00
0.50	7	Saccharin sodium	0.50
2.00	8	Sodium metabisulfite (sodium disulfite)	2.00
80.00	9	Alcohol (ethanol, 95%)	80.00
100.00	10	Propylene glycol	100.00
100.00	11	Sorbitol (70% solution)	100.00
250.00	12	Glycerin (glycerol)	250.00
300.00	13	Sucrose	300.00
0.04	14	Quinoline yellow	0.04
0.25	15	Pineapple flavor	0.25
QS	16	Purified water	QS to 1 L

MANUFACTURING DIRECTIONS

1. Add 200 g of item 16 to the manufacturing vessel and heat to 90°C to 95°C.
2. Add item 13 while mixing at slow speed at a temperature of 90°C to 95°C.
3. Mix for 1 hour at high speed.
4. Add items 10, 11, and 12 to the manufacturing vessel while mixing at high speed. Mix for 10 minutes.
5. Cool the temperature to 50°C while mixing at slow speed.
6. Add 70 g of item 9 to the syrup solution while mixing at slow speed.
7. Load item 1 into the manufacturing vessel while mixing at high speed.
8. Mix for 30 minutes to obtain a clear solution. Check the clarity of the solution.
9. Flush the solution with nitrogen gas for 5 minutes at 1 bar.

10. Add items 2, 4, 6, and 8 to the manufacturing vessel while mixing at slow speed.
11. Dissolve item 3 in 2 g of item 16 (25°C) and check that the solution is complete.
12. Add the solution to the manufacturing vessel while mixing at slow speed.
13. Dissolve item 15 in 10 g of item 9 in a stainless steel container and add to the manufacturing vessel while mixing at slow speed.
14. Dissolve items 5 and 7 in 20 g of item 16 (25°C) and add to the manufacturing vessel while mixing at slow speed.
15. Dissolve item 14 in 2 g of item 16 (25°C).
16. Transfer the color solution to the manufacturing vessel while mixing at slow speed.
17. Rinse the container of color solution with 2 g of item 16 (25°C); then, transfer the rinsings to the manufacturing vessel, and mix for 5 minutes at high speed.
18. Bring the volume up to 1 L with item 16, and finally, mix for 15 to 20 minutes at high speed.
19. Check and record the pH (limit: 5.1–5.2). If required, adjust pH with 10% citric acid or 10% sodium citrate solution.
20. Assemble the filter press with 13.1 T-1000 12 sheets (K 800 14 sheets). Use changeover plate. Wash the filters using purified water (25°C) by passing through filters at 0.2 bar; discard the washings. Filter the syrup at 1.5 bar. Recirculate about 20 to 30 mL syrup.
21. Connect the hose to the manufacturing vessel, and transfer the filtered syrup to the storage vessel.

ACETAMINOPHEN DROPS

Bill of Materials

Scale (mg/mL)	Item	Material Name	Qty/L (g)
739.00	1	Propylene glycol	739.00
90.00	2	Acetaminophen	90.00
17.50	3	Saccharin sodium	17.50
8.75	4	Sodium chloride	8.75
0.05	5	FD&C Red dye No. 40 ^a	0.05
2.50	6	Purified water, USP	2.50
2.00	7	Wild cherry artificial flavor	2.00
65.00	8	Alcohol (ethanol; 190 proof; Nonbeverage), USP	65.00
QS	9	Deionized purified water, USP	QS to 1 L

^a Check for local regulatory allowance to use red dyes.

MANUFACTURING DIRECTIONS

Caution: Ensure that the solution in the tank never exceeds 65°C.

1. Add 739 g of propylene glycol to jacketed mixing tank, and start heating with slow mixing.

2. Dissolve dye in 2.5 mL of purified water, and add to tank while mixing.
3. Rinse container with small amount of purified water, and add to tank.
4. While mixing, add acetaminophen, saccharin sodium, and sodium chloride.
5. Hold at 60 C to 65 C with continued moderate mixing until all are in solution.
6. Force cool to less than 30°C with slow mixing.
7. Blend flavor with alcohol, and add to tank with slow mixing.
8. Add purified water with mixing QS to make 1 L.
9. Mix well with moderate agitation until uniform.
10. Filter through an 8 µm Millipore membrane (or equivalent).

ACETAMINOPHEN ORAL SUSPENSION

Bill of Materials

Scale (mg/5 mL)	Item	Material Name	Qty/L (g)
250.00	1	Acetaminophen (micronized) (2.0% excess)	51.00
2500.00	2	Sucrose	500.00
5.00	3	Methylparaben	1.00
1.50	4	Propylparaben	0.30
0.30	5	Sodium citrate	0.06
35.00	6	Glycerin (glycerol)	7.00
400.00	7	Glycerin (glycerol)	80.00
2000.00	8	Sorbitol (70%)	400.00
10.00	9	Xanthan gum (Keltrol® F)	2.00
0.50	10	Dye	0.10
22.50	11	Flavor	4.50
3.50	12	Strawberry flavor	0.70
—	13	Purified water	QS to 1 L

MANUFACTURING DIRECTIONS

Note: Acetaminophen dispersion should be uniformly mixed. If acetaminophen dispersion is either added to hot syrup base or homogenized for a long time, flocculation may appear. While handling the syrup or mucilage or drug dispersion, the handling loss should not be more than 1%. If it exceeds 1%, a poor suspension may result.

1. Add 180 g of purified water to the mixer, and heat to 90°C.
2. Dissolve items 3 and 4 while mixing.
3. Add and dissolve item 2 while mixing.
4. Cool down to approximately 50°C to 55°C.
5. Add and dissolve item 5 while mixing.
6. Filter the syrup through T-1500 filters washed with purified water.
7. Collect the syrup in a clean stainless steel tank.

8. Disperse item 9 in item 6 in a separate stainless steel container.
9. Add 40 g of hot purified water (90°C) at once while mixing.
10. Mix for 20 minutes to make a homogeneous smooth mucilage.
11. Mix item 7 in 10 g of purified water (25°C) in a separate stainless steel container.
12. Add item 1 while mixing with stirrer.
13. Mix for 25 minutes to make uniform suspension.
14. Add sugar syrup and mucilage to the mixer.
15. Rinse the container of mucilage with 15 g of purified water, and add the rinsings to the mixer.
16. Cool to 25°C while mixing.
17. Add item 1 dispersion to the mixer.
18. Rinse the container of dispersion with 15 g of purified water, and add the rinsings to the mixer.
19. Check the suspension for uniformity of dispersion.
20. Mix for additional 5 minutes at 18 rpm and a vacuum of 0.5 bar, if required.
21. Add item 8 to the mixer, and mix for 10 minutes.
22. Dissolve item 10 in 7 g of purified water, and add to the mixer.
23. Disperse item 11 in 7 g of purified water, and add to the mixer.
24. Add item 12 to the mixer.
25. Add cold purified water (25°C) to bring the volume up to 1 L.
26. Homogenize for 5 minutes at low speed under a vacuum of 0.5 bar, 18 rpm, and temperature of 25°C.
27. Check the dispersion for uniformity.
28. Check the pH (limit: 5.7 ± 0.5 at 25°C). If required, adjust the pH with a 20% solution of citric acid or sodium citrate.
29. Transfer the suspension through a 630 μm sieve to the stainless steel storage tank after mixing for 5 minutes at 18 to 20 rpm at room temperature.

ACETAMINOPHEN RECTAL SOLUTION

Bill of Materials

Scale (mg/mL)	Item	Material Name	Qty/L (g)
QS	1	Vehicle (Pluronic P105 44.21%, propylene glycol 52.635, water 3.16%)	QS to 1 L
50.00	2	Acetaminophen micronized	50.00

MANUFACTURING DIRECTIONS

1. Mill and screen the acetaminophen to further reduce the particle size.
2. Add the acetaminophen into a clean vessel.
3. Add propylene glycol to the vessel.
4. Subsequently, add the poloxamer and water to the vessel. Mix until uniform.

ACETAMINOPHEN SUSPENSION

Bill of Materials

Scale (mg/10 mL)	Item	Material Name	Qty/L (g)
500.00	1	Acetaminophen (powder)	50.00
50.00	2	Citric acid (powder)	5.00
50.00	3	Sodium citrate	5.00
500.00	4	Kollidon® CL-M	50.00
10.00	5	Orange flavor	1.00
3000.00	6	Dextrose	300.00
QS	7	Water	589.00

MANUFACTURING DIRECTIONS

1. Prepare the solution of dextrose in water and add the other solid ingredients with stirring in the following sequence: citric acid, sodium citrate, orange flavor, Kollidon® CL-M, and acetaminophen.
2. A white homogeneous suspension is obtained that is a practically tasteless, stable suspension showing almost no sedimentation over 24 hours and good redispersibility (easily homogenized by shaking two or three times).

ACETAMINOPHEN SYRUP

Bill of Materials

Scale (mg/mL)	Item	Material Name	Qty/L (g)
569.00	1	Sucrose (granulated sugar), NF	569.00
2.00	2	Sodium citrate (dihydrate powder), USP	2.000
1.00	3	Citric acid (anhydrous powder), USP	1.000
1.00	4	Saccharin sodium (powder), USP	1.000
1.00	5	Sodium chloride (powder), USP	1.000
204.00	6	Propylene glycol, USP	204.000
35.00	7	Acetaminophen, USP	35.000
77.11	8	Alcohol (ethanol; 190 proof), USP	77.11
0.12	9	Cherry flavor (artificial), N59456/A	0.120
0.12	10	FD&C Red No. 40	0.100
QS	11	Deionized purified water, USP	400.000
—	12	HyFlo filter aid	QS

MANUFACTURING DIRECTIONS

1. Add 300 mL of purified water to a jacketed stainless steel mixing tank. Start heating.
2. Add sugar with mixing.
3. Heat to 60°C to 65°C and hold. Mix for complete solution.

4. Add, while mixing, sodium citrate, citric acid, saccharin sodium, and sodium chloride. Mix for complete solution.
5. Add propylene glycol with mixing.
6. Add acetaminophen powder with moderate mixing.
7. Continue mixing at 60°C to 65°C for complete solution.
8. Force cool to 25°C to 30°C with slow mixing.
9. Blend cherry flavor with approximately twice its volume of alcohol, and add with mixing.
10. Rinse the container with several portions of alcohol, and add. Mix until uniform.
11. Dissolve red dye in approximately 4 g of slightly warmed (50–60°C) purified water, and add with mixing.
12. Rinse the container twice with approximately 1.5 g purified water, and add. Mix until uniform.
13. Adjust volume to 1 L with purified water. Mix well.
14. Add a small amount of HyFlo filter aid to the mixing tank, and continue to mix slowly while filtering.
15. Filter through press until sparkling clear.
16. Use clarifying pad backed by lint-free filter paper.

ACETAMINOPHEN SYRUP

Bill of Materials			
Scale (mg/mL)	Item	Material Name	Qty/L (g)
50.00	1	Acetaminophen (Merck)	50.00
50.00	2	Sorbitol (crystalline)	50.00
40.00	3	Cyclamate sodium	40.00
1.00	4	Strawberry flavor	1.00
200.00	5	Kollidon® 25	200.00
150.00	6	Glycerol	150.00
200.00	7	1,2-Propylene glycol	200.00
310.00	8	Water	310.00

MANUFACTURING DIRECTIONS

1. First dissolve Kollidon® 25 and then the other solid components in the solvent mixture of glycerol, propylene glycol, and water.
2. The clear solution has a slightly bitter taste.
3. The solution remains clear for more than 1 week at 6°C and for more than 3 months at 25°C and 40°C.
4. The color of the solution changes only a little during 3 months at 25°C and 40°C.
5. To prevent discoloration during storage, 0.2% to 0.5% of cysteine could be added as antioxidant.

ACETAMINOPHEN SYRUP FOR CHILDREN

Bill of Materials			
Scale (mg/mL)	Item	Material Name	Qty/L (g)
25.00	1	Acetaminophen (crystalline)	25.00
300.00	2	Kollidon® 25 or Kollidon® 30	300.00
60.00	3	Glycerol	600.00
40.00	4	Sodium cyclamate	40.00
QS	5	Orange flavor	<1.0
QS	6	Raspberry flavor	2.00
QS	7	Water	575.00

MANUFACTURING DIRECTIONS

1. Dissolve Kollidon® in water, add acetaminophen and cyclamate, heat to 50°C, and stir to obtain a clear solution.
2. Dissolve the flavors, and mix with glycerol.
3. The obtained syrup is a viscous, clear, sweet, and only slightly bitter liquid.

ACETAMINOPHEN SYRUP

Bill of Materials			
Scale (mg/mL)	Item	Material Name	Qty/L (g)
50.0	1	Acetaminophen (Merck)	50.0
50.0	2	Sorbitol, crystalline	50.0
40.0	3	Cyclamate sodium	40.0
1.0	4	Strawberry flavor	1.0
200.0	5	Kollidon® 25	200.0
150.0	6	Glycerol	150.0
200.0	7	1,2-Propylene glycol	200.0
310.0	8	Water	310.0

MANUFACTURING DIRECTIONS

1. Dissolve first Kollidon® 25 and then, the other solid components in the solvent mixture of glycerol, propylene glycol, and water.
2. The result is a clear solution of low viscosity having only a slightly bitter taste. To prevent discoloration during storage, 0.2% to 0.5% cysteine could be added as an antioxidant.

ACETAMINOPHEN SYRUP

Bill of Materials			
Scale (mg/mL)	Item	Material Name	Qty/L (g)
569.00	1	Sucrose (sugar granulated)	569.00
2.00	2	Sodium citrate dihydrate powder	2.000
1.00	3	Acid citric anhydrous powder	1.000
1.00	4	Saccharin sodium powder	1.000
1.00	5	Sodium chloride powder	1.000
204.00	6	Propylene glycol	204.000
35.00	7	Acetaminophen	35.000
77.11	8	Alcohol (ethanol) 190 proof	77.112
0.12	9	Flavor cherry artificial N59456/A	0.1200
0.12	10	Dye Red FD&C N40	0.1200
QS	11	Water purified	400.000
QS	12	Filter aid HyFlo	QS

MANUFACTURING DIRECTIONS

1. Add 300 mL purified water to a jacketed stainless steel mixing tank. Start heating.
2. Add sugar with mixing.
3. Heat to 60°C to 65°C, and hold. Mix for complete solution.
4. Add, while mixing, sodium citrate, citric acid, sodium saccharin, and sodium chloride. Mix for complete solution. Add propylene glycol by mixing.
5. Add acetaminophen powder with moderate mixing. Continue mixing at 60°C to 65°C for complete solution. Force cool to 25°C to 30°C with slow mixing.
6. Blend cherry flavor with approximately twice its volume of alcohol and add with mixing. Rinse the container with several portions of alcohol, and add. Mix until uniform.
7. Dissolve red dye in approximately 4 g of slightly warmed (50–60°C) purified water, and add by mixing. Rinse the container twice with approximately 1.5 g purified water, and add to step 6.
8. Mix until uniform. Adjust volume to 1 L with purified water. Mix well.
9. Add a small amount of HyFlo filter aid to the mixing tank, and continue to mix slowly while filtering.
10. Filter through press until sparkling clear. Use clarifying pad backed by lint-free filter paper.

ACNE SCRUB

Bill of Materials			
Scale (mg/g)	Item	Material Name	Qty/kg (g)
20.00	1	Magnesium aluminum silicate magnabrite HV	20.00
582.00	2	Water	582.00
100.00	3	Propylene glycol	100.00
150.00	4	Mineral oil and acetylated lanolin alcohol	150.00
30.00	5	Glyceryl stearate and PEG-100 stearate	30.00
14.00	6	Myristyl propionate	14.00
100.00	7	PEG-600	100.00
4.00	8	Eucalyptus oil	4.00
QS	9	Preservatives	QS

MANUFACTURING DIRECTIONS

1. Slowly sift item 1 into water, mixing until smooth.
2. Heat to 75°C.
3. Heat items 3 to 6 separately, mix, and heat to 70°C.
4. Add this portion to item 1 dispersion, and mix well until smooth.
5. Add item 7 to mixture, and mix.
6. Finally, add items 8 and 9, and mix until cool.
7. If using parabens, prepare a solution in a portion of water and add before adding item 8 and after allowing parabens to cool to 50°C.

ACYCLOVIR ORAL SUSPENSION**(2% = 200 MG/10 ML)****FORMULATION**

Acyclovir, 2 g; Kollidon® CL-M, 6 g; Kollidon® 30, 3 g; sorbitol, 28 g; citric acid, 0.5 g; preservative, QS; water, 60.5 g.

MANUFACTURING DIRECTIONS

1. Suspend acyclovir and Kollidon® CL-M in the solution of the other components under vigorous stirring.

ACYCLOVIR ORAL SUSPENSION

Scale (mg/5 mL)	Item	Material Name	Qty/L (g)
215.00	1	Acyclovir	43.00
5.00	2	Methylparaben	1.00
1.00	3	Propylparaben	0.20
75.00	4	Microcrystalline cellulose (Avicel™ RC-591)	15.00
750.00	5	Glycerin (glycerol)	150.00
2250.00	6	Sorbitol (70% solution)	450.00
20.00	7	Orange, banana dry flavor	4.00
—	8	Water purified	QS to 1 L

MANUFACTURING DIRECTIONS

1. Disperse item 1 in item 6. Keep stirring for 1 hour.
2. Heat 333.33 g of item 8 in mixer to 90°C to 95°C. Dissolve items 2 and 3 while mixing. Cool to 30°C.
3. Disperse items 4 and 5 in a stainless steel container, and keep stirring for 1 hour.
4. Add step 3 into step 2 at 30°C. Mix and homogenize for 5 minutes at high speed under vacuum 0.5 bar.
5. Add step 1 into step 2, and mix for 5 minutes.
6. Disperse item 7 in 13.33 g of item 8. Add into step 2.
7. Make up the volume with item 8. Finally, homogenize for 5 minutes at high speed under vacuum 0.5 bar.

ACYCLOVIR ORAL SUSPENSION

Bill of Materials			
Scale (mg/mL)	Item	Material Name	Qty/L (g)
20.00	1	Acyclovir	20.00
60.00	2	Kollidon® CL-M	60.00
30.00	3	Kollidon® 30	30.00
28.00	4	Sorbitol	28.00
0.50	5	Citric acid	0.50
QS	6	Preservative	QS
QS	7	Water purified	QS to 1 L

MANUFACTURING DIRECTIONS

1. Suspend item 1 and item 2 in the solution of items 3 through 7.
2. Mix vigorously to suspend.
3. Fill.

ADAPALENE SOLUTION

Differin® solution, containing adapalene, is used for the topical treatment of acne vulgaris. Each milliliter of Differin solution contains adapalene 0.1% (1 mg) in a vehicle consisting of polyethylene glycol 400 and SD alcohol 40-B, 30% (w/v).

Bill of Materials			
Scale (mg/mL)	Item	Material Name	Qty/L (g)
1.00	1	Adapalene	1.00
700.00	2	Polyethylene glycol 400	700.00
QS	3	Alcohol	QS to 1 L

MANUFACTURING DIRECTIONS

1. Add and dissolve item 1, and mix.
2. Place items 1 and 2 in a suitable mixing vessel. Stir.

ALBENDAZOLE ORAL SUSPENSION

Bill of Materials			
Scale (mg/5 mL)	Item	Material Name	Qty/L (g)
100.000	1	Albendazole	20.00
7.500	2	Saccharin sodium	1.50
7.500	3	Potassium sorbate	1.50
550.000	4	Propylene glycol	110.00
15.000	5	Xanthan gum	3.00
2.500	6	Passion fruit flavor 502010A	0.50
7.500	7	Polysorbate 80 (Tween 80)	1.50
2.000	8	Citric acid	0.40
2.500	9	Vanilla dry flavor	0.50
2.500	10	Blood orange dry flavor	0.50
QS to 5 mL	11	Water purified	QS to 1 L

MANUFACTURING DIRECTIONS

This product dispersion should be uniformly mixed and levigated. Xanthan gum dispersion should be uniform and smooth.

1. Disperse items 1 and 6 in 100 g of item 4 in a stainless steel container using a stirrer.
2. Dissolve item 7 in 100 g of item 11 (50–60°C) in a stainless steel container while stirring with the stirrer. Cool to 25°C to 30°C. Add in to step 1 while mixing.
3. Levigate to make smooth slurry, and keep aside for 2 hours.

4. Make slurry of item 5 in 10 g of item 4 in a stainless steel container while stirring with the stirrer. Add 200 g of item 11 (25–30°C) while stirring, and continue stirring for 30 minutes.
5. Dissolve item 8 in 10 g of item 11 (25–30°C) in a stainless steel container using a spatula.
6. Add 500 g of item 11 (25–30°C) into mixer. Dissolve items 2 and 3 while mixing.
7. Add the content from steps 1, 2, and 3 into step 4. Mix and homogenize at 25°C to 30°C, mixer speed 18 rpm, homogenizer high speed, and vacuum 0.4 to 0.6 bar for 10 minutes.
8. Add items 9 and 10 in step 4.
9. Mix and homogenize at 25°C to 30°C, mixer speed 18 rpm, homogenizer at high speed, and vacuum 0.4 to 0.6 bar for 15 minutes.
10. Make up the volume with item 11. Mix for 20 minutes.
11. Check the suspension for homogeneity. Transfer the suspension through a 630 micron sieve to stainless steel storage tank. It is important that you do not store the bulk suspension for more than 48 hours in the storage tank without stirring. Before sending for filling in packaging, stir for at least 30 minutes for uniform dispersion to avoid problems with content uniformity.

ALBENDAZOLE SUSPENSION

Bill of Materials			
Scale (mg/5 mL)	Item	Material Name	Qty/L (g)
200.00	1	Albendazole	40.00
1.25	2	Simethicone	0.24
5.00	3	Tween 80	1.00
15.00	4	Xanthan gum	3.00
1950.00	5	Sucrose	390.00
650.00	6	Sorbitol	130.00
20.00	7	Sodium benzoate	4.00
20.00	8	Potassium sorbate	4.00
3.00	9	Citric acid	0.60
QS	10	Flavor	QS
QS	11	Water purified	QS to 1 L

MANUFACTURING DIRECTIONS

1. Place in a tank 20% of item 11, and heat to 90°C.
2. Add and dissolve item 7; reduce temperature to 40°C, and add item 3.
3. In a separate vessel, add and dissolve item 9 in a portion of item 11.
4. Add step 3 to step 2.

5. In a separate vessel, disperse item 4 in 40% of item 11 at 65°C, and allow to hydrate to make it into a paste. Cool to room temperature.
6. Add to step 5 through a stainless steel filter.
7. In a separate vessel, add and make a paste of items 1 (passed through No. 100 mesh), 3, and 6. Add to step 6.
8. Add item 2. Stir well.
9. Add flavor and item 11 to make up the volume.

ALBUTEROL INHALATION SOLUTION

Each milliliter of Proventil inhalation solution 0.083% contains 0.83 mg albuterol (as 1 mg albuterol sulfate) in an isotonic aqueous solution containing sodium chloride and benzalkonium chloride; sulfuric acid is used to adjust the pH between 3 and 5. The 0.083% solution requires no dilution before administration by nebulization. Proventil inhalation solution 0.083% contains no sulfiting agents. It is supplied in 3 mL high-density polyethylene (HDPE) bottles for unit-dose dispensing. AccuNeb (albuterol sulfate) inhalation solution is supplied in two strengths in unit-dose vials. Each unit-dose vial contains either 0.75 mg of albuterol sulfate (equivalent to 0.63 mg of albuterol) or 1.50 mg of albuterol sulfate (equivalent to 1.25 mg of albuterol) with sodium chloride and sulfuric acid in a 3 mL isotonic, sterile aqueous solution. Sodium chloride is added to adjust the isotonicity of the solution, and sulfuric acid is added to adjust the pH of the solution to 3.5.

ALBUTEROL INHALATION SOLUTION

Bill of Materials			
Scale (mg/mL)	Item	Material Name	Qty/L (g)
1.25	1	(R)-Albuterol; use albuterol sulfate	1.50
27.00	2	Sodium chloride	27.00
QS	3	Sulfuric acid	QS
QS	4	Water purified	QS to 1 L

MANUFACTURING DIRECTIONS

1. Place all items in a suitable stainless steel vessel, and mix. Keep nitrogen flushing throughout and also into item 4 before adding other ingredients.
2. Check and adjust pH, using sulfuric acid, to 3.5.
3. Fill.

ALGINIC ACID + ALUMINUM HYDROXIDE + MAGNESIUM SILICATE TABLETS (500 MG + 100 MG + 25 MG)

Bill of Materials			
Scale (mg/ tablet)	Item	Material Name	Qty/1000 Tablets (g)
500.00	1	Alginic acid	500.00
100.00	2	Aluminum hydroxide dried gel (Giulini)	100.00
25.00	3	Magnesium trisilicate	25.00
170.00	4	Sodium bicarbonate	170.00
160.00	5	Sorbitol crystalline	160.00
627.00	6	Sucrose crystalline	627.00
900.00	7	Ludipress®	900.00
70.00	8	Kollidon® VA 64	70.00
50.00	9	Magnesium stearate	50.00
5.00	10	Vanillin	5.00

MANUFACTURING DIRECTIONS

1. Pass all components through a 0.8 mm sieve. Mix, and press with high-compression force.

ALPHA-BISABOLOL AQUEOUS MOUTHWASH SOLUTION

Bill of Materials			
Scale (mg/g)	Item	Material Name	Qty/kg (g)
2.00.00	1	Alpha-bisabolol, natural (BASF)	2.00
QS	2	Flavor	QS
25.00	3	Cremophor RH 40	25.00
50.00	4	Glycerol	50.00
1.00	5	Saccharin sodium	1.00
QS	6	Preservative	QS
922.00	7	Water	922.00

MANUFACTURING DIRECTIONS

1. Heat mixture of items 1 to 3 to approximately 60°C, and slowly add the warm solution of items 4 to 7 (60°C).
2. The clear, colorless liquid has low viscosity.

ALPHA-BISABOLOL BUCCAL OR TOPICAL SOLUTION

Bill of Materials			
Scale (mg/mL)	Item	Material Name	Qty/L (g)
1.20	1	Alpha-bisabolol (racemic) (BASF)	1.20
10.00	2	Cremophor RH 40	10.00
0.10	3	Butylhydroxytoluene (BHT)	0.10
QS	4	Preservative	QS
990.00	5	Water	990.00

MANUFACTURING DIRECTIONS

Heat mixture of items 1 to 3 to approximately 60°C, stir well, and slowly add the warm solution of items 4 in 5 to obtain a clear solution.

ALPHA-BISABOLOL ETHANOLIC MOUTHWASH SOLUTION

Bill of Materials			
Scale (mg/mL)	Item	Material Name	Qty/L (g)
10.00	1	Alpha-bisabolol, racemic (BASF)	10.00
100.00	2	Flavor	100.00
60.00	3	Cremophor RH 40	60.00
10.00	4	Glycerol	10.00
2.00	5	Saccharin sodium	2.00
818.00	6	Ethanol, 96%	818.00

MANUFACTURING DIRECTIONS

1. Heat mixture of items 1 to 3 to approximately 60°C, and slowly add the warm solution of items 4 to 6.
2. The clear, colorless liquid can be diluted with water.

ALPHA-BISABOLOL MOUTHWASH SOLUTION

Bill of Materials			
Scale (mg/mL)	Item	Material Name	Qty/L (g)
5.00	1	(-)-Alpha-Bisabolol, natural (BASF)	5.00
50.00	2	Lutrol F 127 [1]	50.00
QS	3	Flavor	QS
100.00	4	Propylene glycol (pharma)	100.00
300.00	5	Ethanol 96%	300.00
545.00	6	Water	545.00

MANUFACTURING DIRECTIONS

1. Prepare solution of items 1 through 5, and slowly add the water.
2. The clear, colorless solution should have a pH of 8. Do not adjust.

**ALUMINUM HYDROXIDE +
MAGNESIUM SILICATE CHEWABLE
TABLETS (120 MG + 250 MG)**

Bill of Materials			
Scale (mg/tablet)	Item	Material Name	Qty/1000 Tablets (g)
120.00	1	Aluminum hydroxide dried gel (Giulini)	120.00
250.00	2	Magnesium trisilicate	250.00
232.00	3	Ludipress®	232.00
6.00	4	Aerosil® 200	6.00
6.00	5	Magnesium stearate	6.00
12.00	6	Cyclamate sodium	12.00
1.50	7	Menthol	1.50

MANUFACTURING DIRECTIONS

Mix all components, pass through a 0.8 mm sieve, and press with a compression force of 20 kN at 640 mg.

ALUMINUM CHLORIDE SOLUTION

Aluminum chloride (hexahydrate) 6.25% (w/v) in anhydrous ethyl alcohol (SD alcohol 40) 96% (v/v).

Bill of Materials			
Scale (mg/mL)	Item	Material Name	Qty/L (g)
62.50	1	Aluminum chloride hexahydrate	62.50
QS	2	Alcohol anhydrous	QS to 1 L

MANUFACTURING DIRECTIONS

1. Place items 1 and 2 in a suitable stainless steel container, and mix.
2. Fill.

**ALUMINUM HYDROXIDE AND
MAGNESIUM CARBONATE DRY SYRUP**

Bill of Materials			
Scale (mg/g)	Item	Material Name	Qty/kg (g)
200.00	1	Aluminum hydroxide dry gel (Giulini)	200.00
200.00	2	Basic magnesium carbonate	200.00
240.00	3	Kollidon® CL-M	240.00
211.50	4	Sorbitol (crystalline)	211.50
41.30	5	Orange flavor	41.30
82.60	6	Kollidon® 30	82.60
3.30	7	Coconut flavor	3.30
4.13	8	Banana flavor	4.13
4.13	9	Saccharin sodium	4.13
8.26	10	Water	8.26

MANUFACTURING DIRECTIONS

1. Granulate mixture of items 1 to 5 with solution of items 6 to 10, pass through a sieve, and dry.
2. Shake 58 g of the granules with 100 mL of water.
3. Product remains homogeneous and without sedimentation for more than 24 hours.

**ALUMINUM HYDROXIDE AND MAGNESIUM
HYDROXIDE ANTACID SUSPENSION**

Bill of Materials			
Scale (mg/mL)	Item	Material Name	Qty/L (g)
5.00	1	Purified bentonite (Veegum® HS)	5.00
2.00	2	Xanthan gum (Rhodigel)	2.00
401.00	3	Water	401.00
200.00	4	Sorbitol (70%)	200.00
360.00	5	Aluminum hydroxide gel	360.00
320.00	6	Magnesium hydroxide, USP	320.00
QS	7	Preservative, flavor	QS

MANUFACTURING DIRECTIONS

1. Slowly add a dry blend of item 1 and 2 to item 3, agitating with maximum available shear until a smooth and uniform mix is obtained.
2. Mix items 4 to 6 together in another vessel until uniform, and then add to previous mix.
3. Agitate until uniform.
4. Add item 7, and mix until uniform.

ALUMINUM HYDROXIDE AND MAGNESIUM HYDROXIDE ANTACID SUSPENSION

Bill of Materials

Scale (mg/mL)	Item	Material Name	Qty/L (g)
200.00	1	Magnesium aluminum silicate (Magnabrite S) (5% suspension)	200.00
2.00	2	Methylparaben	2.00
1.00	3	Propylparaben	1.00
0.50	4	Saccharin sodium	0.50
500.00	5	Aluminum hydroxide/magnesium hydroxide fluid gel	500.00
3.00	6	Polysorbate 80	3.00
2.00	7	Flavor	2.00
291.50	8	Deionized water	291.50

MANUFACTURING DIRECTIONS

1. Add the parabens and saccharin to item 1 with stirring until dissolved (may heat to 80°C to dissolve).
2. Add item 5 with mixing.
3. Finally, add items 6 and 7. Mix well.
4. Add item 8 to final volume

ALUMINUM HYDROXIDE AND MAGNESIUM HYDROXIDE SUSPENSION

Bill of Materials

Scale (mg/5 mL)	Item	Material Name	Qty/L (g)
405.000	1	Aluminum hydroxide gel	290.0000
100.000	2	Magnesium hydroxide paste 30%	67.0000
0.210	3	Ammonia solution 25%	0.0420
0.053	4	Ammonia solution 25%	0.0106
10.000	5	Methylparaben	2.0000
0.250	6	Menthol	0.0500
3.000	7	Propylparaben	0.6000
1.000	8	Peppermint oil	0.2000
50.000	9	Propylene glycol	10.0000
1.250	10	Saccharin sodium	0.2500
150.00	11	Sorbitol (70% solution)	30.0000
4.500	12	Sodium hypochlorite 5%	0.9000
1.250	13	Sodium hypochlorite 5%	0.2500
15.000	14	Magnesium aluminum silicate (Veegum HV)	3.0000
—	15	Water purified	QS to 1 L

Note: Quantity of the sodium hypochlorite solution to be adjusted according to the assay.

MANUFACTURING DIRECTIONS

1. Disperse item 14 in 60 g of hot item 15 (70–80°C) in stainless steel vessel using stirrer.
2. Continue stirring for 30 minutes.
3. Transfer the dispersion into mixer (e.g., Krieger) vessel by vacuum and mix for 30 minutes at mixer speed 16/32.
4. Cool down to 30°C. Add 200 g of hot item 15 (70–80°C) into the mixer.
5. Mix and homogenize at 1420 rpm, mixer speed 16/32, vacuum 0.5 bar for 30 minutes.
6. Cool down to 30°C.
7. Add 1 kg of item 15 (70°C) to a suitable vessel, and heat to 85°C to 90°C for 1 hour.
8. Cool to 20°C to 25°C.
9. Mix items 4 and 13, and immediately add to item 15 (20–25°C) in the storage vessel.
10. Mix for 2 minutes. Store in a previously cleaned storage vessel.
11. Load item 2 and 100 g of item 15 (25–30°C) in a stainless steel mixing vessel with lid and stirrer.
12. Mix for 5 minutes at medium speed.
13. Transfer by vacuum into mixer. Load 80 g of item 1 and 80 g of item 15 (25–30°C) from step 12 in a stainless steel mixing vessel with lid and stirrer.
14. Mix for 5 minutes at medium speed. Transfer by vacuum into mixer.
15. Load 80 g of item 1 and 80 g of item 15 (25–30°C) from step 12 in a stainless steel mixing vessel with lid and stirrer. Mix for 5 minutes at medium speed. Transfer by vacuum into mixer.
16. Load 80 g of item 1 and 80 g of item 15 (25–30°C) from step 12 in a stainless steel mixing vessel with lid and stirrer.
17. Mix for 5 minutes at medium speed. Transfer by vacuum into mixer. Load 50 g of item 1 and 50 g of item 15 (25–30°C) from step 12 in a stainless steel mixing vessel with lid and stirrer.
18. Mix for 5 minutes at medium speed. Transfer by vacuum into mixer. Transfer item 11 into mixer by vacuum.
19. Dissolve item 10 in 2 g of item 15 (25–30°C), and transfer into mixer. Mix and homogenize for 30 minutes at 1420 rpm under vacuum 0.5 bar.
20. Dissolve items 5 and 7 in item 9 (50–60°C) by stirring in stainless steel container in a water bath.
21. Dissolve items 8 and 6, and add to parabens–glycol solution.
22. Mix well; add to mixer. Mix, and homogenize for 10 minutes under vacuum 0.5 bar.
23. Mix items 3, 12, and 2 g of item 15, and immediately add to the mixer. Mix for 10 minutes without vacuum.
24. Add cold item 15 to make up the volume up to 1 L. Mix for 15 minutes.
25. Transfer the suspension through 630 micron sieve to the stainless steel storage tank. Final pH 7.5 to 8.0, density 1.04 to 1.06.

ALUMINUM HYDROXIDE AND MAGNESIUM HYDROXIDE SUSPENSION

Bill of Materials

Scale (mg/5 mL)	Item	Material Name	Qty/L (g)
200.00	1	Aluminum hydroxide gel	40.00
80.00	2	Magnesium hydroxide paste 30%	16.00
150.00	3	Sorbitol (70% solution)	30.00
10.00	4	Methylparaben	2.00
1.00	5	Propylparaben	0.20
2.00	6	Saccharin sodium	0.40
15.00	7	Magnesium aluminum silicate (Veegum HV)	3.00
0.20	8	Ammonia solution 25%	0.04
4.50	9	Sodium hypochlorite 5%	0.90
100.00	10	Propylene glycol	20.00
0.75	11	Lemon-mint flavor	0.15
—	12	Water purified	QS to 1 L

MANUFACTURING DIRECTIONS

- See previous entry for manufacturing directions for Aluminum Hydroxide and Magnesium Hydroxide Suspension.

ALUMINUM HYDROXIDE AND MAGNESIUM HYDROXIDE SUSPENSION

Bill of Materials

Scale (mg/mL)	Item	Material Name	Qty/L (g)
5.00	1	Purified bentonite (Veegum HS)	5.00
2.00	2	Xanthan gum (Rhodigel)	2.00
401.00	3	Water	401.00
200.00	4	Sorbitol 70%	200.00
360.00	5	Aluminum hydroxide gel	360.00
320.00	6	Magnesium hydroxide	320.00
QS	7	Preservative, flavor	QS

MANUFACTURING DIRECTIONS

- Add a dry blend of items 1 and 2 to item 3 slowly, agitating with maximum available shear until a smooth and uniform mix is obtained.
- Mix together items 4 to 6 in another vessel until uniform, add to the above mix, and agitate until uniform.
- Add item 7, and mix until uniform.

ALUMINUM HYDROXIDE AND MAGNESIUM HYDROXIDE SUSPENSION

Bill of Materials

Scale (mg/mL)	Item	Material Name	Qty/L (g)
40.0	1	Aluminum hydroxide	40.0
40.0	2	Magnesium hydroxide	40.0
50.0 g	3	Cremophor RH 40	50.0
1.0	4	Silicon oil DC 200 (Serva)	1.0
100.0	5	Kollidon® CL-M	100.0
QS	6	Water	76.9

MANUFACTURING DIRECTIONS

- Mix Cremophor RH 40 well with the silicon oil.
- Add the water and suspend the solid substances.

ALUMINUM HYDROXIDE AND MAGNESIUM HYDROXIDE SUSPENSION

Bill of Materials

Scale (mg/mL)	Item	Material Name	Qty/L (g)
200.0	1	Magnesium aluminum silicate (Magnabrite S) 5% suspension	200.0
2.0	2	Methylparaben	2.0
1.0	3	Propylparaben	1.0
0.5	4	Saccharin sodium	0.5
500.0	5	Aluminum hydroxide–magnesium hydroxide fluid gel	500.0
3.0	6	Polysorbate 80	3.0
2.0	7	Flavor	2.0
291.5	8	Water purified	291.5

MANUFACTURING DIRECTIONS

- Add the parabens and saccharin to item 1 with stirring until dissolved (may heat to 80°C to dissolve).
- Add item 5 with mixing. Finally, add items 6 and 7.
- Mix well.

ALUMINUM HYDROXIDE AND MAGNESIUM HYDROXIDE SUSPENSION

Bill of Materials			
Scale (mg/mL)	Item	Material Name	Qty/L (g)
405.00	1	Aluminum hydroxide gel	290.00
100.00	2	Magnesium hydroxide paste (30%)	67.00
0.21	3	Ammonia solution (25%)	0.04
0.05	4	Ammonia solution (25%)	0.01
10.00	5	Methyl paraben	2.00
0.25	6	Menthol	0.05
3.00	7	Propyl paraben	0.60
1.00	8	Peppermint oil	0.20
50.00	9	Propylene glycol	10.00
1.25	10	Saccharin sodium	0.25
150.00	11	Sorbitol (70% solution)	30.00
4.50	12	Sodium hypochlorite (5%)	0.90
1.25	13	Sodium hypochlorite (5%)	0.25
15.00	14	Magnesium aluminum silicate (Veegum® HV)	3.00
QS	15	Purified water	QS to 1 L

Note: The quantity of the sodium hypochlorite solution should be adjusted according to the assay.

MANUFACTURING DIRECTIONS

- Disperse item 14 in 60 g of hot purified water (70–80°C) in stainless steel vessel using a stirrer. Continue stirring for 30 minutes.
- Transfer the dispersion into mixer (e.g., Krieger) vessel by vacuum, and mix for 30 minutes at 16/32 mixer speed.
- Cool down to 30°C.
- Add 200 g of hot purified water (70–80°C) to the mixer.
- Mix and homogenize at 1420 rpm, mixer speed of 16/32, and vacuum of 0.5 bar for 30 minutes.
- Cool down to 30°C.
- Add 1 kg of purified water (70°C) to a suitable vessel, and heat to 85°C to 90°C for 1 hour.
- Cool to 20°C to 25°C.
- Mix items 13 and 4, and immediately add to purified water (20–25°C) in the storage vessel.
- Mix for 2 minutes. Store in a previously cleaned storage vessel.
- Load item 2 and 100 g of purified water (25–30°C) in a stainless steel mixing vessel with lid and stirrer.
- Mix for 5 minutes at medium speed.
- Transfer by vacuum into mixer.
- Load 80 g of item 1 and 80 g of purified water (25–30°C) from step 12 in a stainless steel mixing vessel with lid and stirrer. Mix for 5 minutes at medium speed. Transfer by vacuum into mixer.

- Load 50 g of item 1 and 50 g of purified water (25–30°C) from step 11 in a stainless steel mixing vessel with lid and stirrer.
- Mix for 5 minutes at medium speed. Transfer by vacuum into mixer.
- Transfer item 11 into mixer by vacuum.
- Dissolve item 10 in 2 g of purified water (25–30°C) and transfer to mixer.
- Mix and homogenize for 30 minutes at 1420 rpm under vacuum of 0.5 bar.
- Dissolve items 5 and 7 in item 9 (50–60°C) by stirring in stainless steel container in a water bath.
- Dissolve items 8 and 6, and add to parabens/glycol solution. Mix well, and add to mixer.
- Mix, and homogenize for 10 minutes under vacuum of 0.5 bar.
- Mix items 12 and 3 and 2 g of purified water, and immediately add to the mixer.
- Mix for 10 minutes without vacuum.
- Add cold purified water to bring the volume up to 1 L. Mix for 15 minutes.
- Transfer the suspension through 630 µm sieve to the stainless steel storage tank. Final pH is 7.5 to 8.0, and density is 1.04 to 1.06.

ALUMINUM HYDROXIDE AND MAGNESIUM HYDROXIDE SUSPENSION

Bill of Materials			
Scale (mg/5 mL)	Item	Material Name	Qty/L (g)
200.00	1	Aluminum hydroxide gel	40.00
80.00	2	Magnesium hydroxide paste (30%)	16.00
150.00	3	Sorbitol (70% solution)	30.00
10.00	4	Methylparaben	2.00
1.00	5	Propylparaben	0.20
2.00	6	Saccharin sodium	0.40
15.00	7	Magnesium aluminum silicate (Veegum® HV)	3.00
0.20	8	Ammonia solution (25%)	0.04
4.50	9	Sodium hypochlorite (5%)	0.90
100.00	10	Propylene glycol	20.00
0.75	11	Lemon-mint flavor	0.15
QS	12	Purified water	QS to 1 L

MANUFACTURING DIRECTIONS

- See previous entry for manufacturing directions for Aluminum Hydroxide and Magnesium Hydroxide Suspension.

ALUMINUM HYDROXIDE AND MAGNESIUM HYDROXIDE SUSPENSION

Bill of Materials

Scale (mg/mL)	Item	Material Name	Qty/L (g)
40.00	1	Aluminum hydroxide	40.00
40.00	2	Magnesium hydroxide	40.00
50.00 g	3	Cremophor RH 40	50.00
1.00	4	Silicon oil DC 200 (Serva)	1.00
100.00	5	Kollidon® CL-M	100.00
QS	6	Water	76.90

MANUFACTURING DIRECTIONS

1. Mix Cremophor RH 40 well with the silicon oil, add the water, and suspend the solid substances.

ALUMINUM HYDROXIDE, MAGNESIUM HYDROXIDE, AND SIMETHICONE SUSPENSION

Bill of Materials

Scale (g/5 mL)	Item	Material Name	Qty/L (g)
215.00	1	Aluminum hydroxide gel	43.00
80.00	2	Magnesium hydroxide paste (30%)	16.00
25.00	3	Simethicone emulsion (simethicone antifoam M30)	18.50
150.00	4	Sorbitol (70% solution)	30.00
0.20	5	Ammonia solution 25%	0.04
10.00	6	Methylparaben	2.00
1.00	7	Propylparaben	0.20
28.00	8	Methylcellulose 4000 (Methocel A4M)	5.60
2.00	9	Saccharin sodium	0.40
4.50	10	Sodium hypochlorite (5%)	0.90
1.00	11	Lemon-mint flavor	0.20
QS	12	Purified water	QS to 1 L

MANUFACTURING DIRECTIONS

See manufacturing directions for Aluminum Hydroxide and Magnesium Hydroxide Suspension.

ALUMINUM HYDROXIDE, MAGNESIUM HYDROXIDE, AND SIMETHICONE SUSPENSION

Bill of Materials

Scale (mg/mL)	Item	Material Name	Qty/L (g)
27.00	1	Simethicone 30%	27.00
30.00	2	Cremophor RH 40	30.00
70.00	3	Water	70.00
80.00	4	Aluminum hydroxide dry gel (Giulini)	80.00
80.00	5	Magnesium hydroxide	80.00
90.00	6	Kollidon® CL-M	90.00
100.00	7	Sorbitol (crystalline)	100.00
4.00	8	Banana flavor	4.00
5.00	9	Coconut flavor	5.00
1.00	10	Saccharin sodium	1.00
QS	11	Water	QS to 1 L
QS	12	Citric acid (to adjust pH)	QS

MANUFACTURING DIRECTIONS

1. Mix Cremophor RH 40 with simethicone, and heat to about 50°C, stirring well.
2. Add warm water.
3. Dissolve the flavors and saccharin in water, and suspend aluminum hydroxide, magnesium hydroxide, and Kollidon® CL-M.
4. Add emulsion of items 1 to 3 to the stirred suspension of items 4 to 11, and adjust the pH to about 9 with item 12, if needed.

ALUMINUM HYDROXIDE, MAGNESIUM HYDROXIDE, AND SIMETHICONE SUSPENSION

Bill of Materials

Scale (mg/5 mL)	Item	Material Name	Qty/L (g)
215.00	1	Aluminum hydroxide gel	43.00
80.00	2	Magnesium hydroxide paste 30%	16.00
25.00	3	Simethicone emulsion (simethicone antifoam M30)	18.50
150.00	4	Sorbitol (70% solution)	30.00
0.20	5	Ammonia solution 25%	0.04
10.00	6	Methylparaben	2.00
1.00	7	Propylparaben	0.20
28.00	8	Methylcellulose 4000 (Methocel A4M)	5.60
2.00	9	Saccharin sodium	0.40
4.50	10	Sodium hypochlorite 5%	0.90
1.00	11	Lemon-mint flavor	0.20
—	12	Water purified	QS to 1 L

MANUFACTURING DIRECTIONS

1. See previous entry for manufacturing directions for Aluminum Hydroxide, Magnesium Hydroxide, and Simethicone Suspension.

ALUMINUM HYDROXIDE, MAGNESIUM HYDROXIDE, AND SIMETHICONE SUSPENSION**Bill of Materials**

Scale (mg/mL)	Item	Material Name	Qty/L (g)
27.00	1	Simethicone 30%	27.00
30.00	2	Cremophor RH 40	30.00
70.00	3	Water	70.00
80.00	4	Aluminum hydroxide dry gel (Giulini)	80.00
80.00	5	Magnesium hydroxide	80.00
90.00	6	Kollidon® CL-M	90.00
100.00	7	Sorbitol, crystalline	100.00
4.00	8	Banana flavor	4.00
5.00	9	Coconut flavor	5.00
1.00	10	Saccharin sodium	1.00
QS	11	Water	QS to 1 L
QS	12	Citric acid to adjust pH to 9	QS

MANUFACTURING DIRECTIONS

1. Mix Cremophor RH 40 with simethicone, and heat to about 50°C, stirring well.
2. Add the warm water.
3. Dissolve the flavors and saccharin in water, and suspend aluminum hydroxide, magnesium hydroxide, and Kollidon® CL-M.
4. Add emulsion of items 1 to 3 to the stirred suspension of items 4 to 11, and adjust pH to about 9 with item 12 if needed.

ALUMINUM HYDROXIDE, MAGNESIUM HYDROXIDE, AND SIMETHICONE TABLETS**Bill of Materials**

Scale (mg/tablet)	Item	Material Name	Qty/1000 Tablets (g)
200.00	1	Aluminum hydroxide gel (dried)	200.00
200.00	2	Magnesium hydroxide powder	200.00
200.00	3	Mannitol	200.00
45.00	4	Sorbitol powder	45.00
65.00	5	Dextrose (glucose) monohydrate	65.00
16.50	6	Povidone (PVP K-30)	16.50
2.50	7	Saccharin sodium	2.50
1.00	8	FD&C Yellow dye No.10 lake	1.00
2.50	9	Mint flavor (dry)	2.50
1.50	10	Lemon flavor (dry)	1.50
25.00	11	Simethicone GS granules	84.00
315.00	12	Dextrates (Emdex®)	315.00
1.00	13	Colloidal silicon dioxide (Aerosil® 200)	1.00
6.00	14	Magnesium stearate	6.00
—	15	Purified water	160.00

MANUFACTURING DIRECTIONS

1. Processing should be done at relative humidity of 50% ± 5% and temperature of 26°C ± 1°C.
2. Dissolve items 4, 5, and 7 in cold purified water (25–30°C) by using stirrer, and then, add item 6 while mixing.
3. Add item 8, and disperse the color completely.
4. Check final weight; if required, adjust with purified water.
5. Load items 1, 2, and 3 into mixer, and mix for 5 minutes using mixer and chopper at high speed.
6. Add binding solution at a rate of 16 to 20 g/min to the dry powders in mixer while mixing at low speed. Mix for 2 to 3 minutes. Scrape the sides, blade, and lid of the mixer.
7. Mix, and chop at low speed for an additional 2 to 3 minutes or until the granules stop flying around the chopper. Add extra purified water if required, and continue mixing until a satisfactory mass is obtained. Record extra quantity of purified water added.
8. Unload the wet mass into clean Aeromatic bowl for drying.
9. Avoid big lump formation, as this leads to nonuniform drying.
10. Dry the wet mass in an Aeromatic fluid bed dryer at 60°C for 90 minutes.
11. After 30 minutes of drying, scrape the semidried granules to break up the lumps to promote uniform drying.
12. Pass the dried granules through a 1.5 mm sieve using a granulator at medium speed. Collect in stainless steel drums.

13. Load the granules into blender.
14. Add items 11 and 12 to stainless steel drum, and mix for 2 minutes using drum mixer; then, load into the blender, and mix along with the granules for 2 minutes.
15. Pass items 9, 10, 13, and 14 through sifter using 250 μm sieve.
16. Load the sieved material into blender, and mix for 2 minutes. Unload into stainless steel drums.
17. Check temperature and humidity of the room before beginning compression.
18. Compress 1.2 g per tablet using 15.8 mm flat punch at relative humidity of $50\% \pm 5\%$ at a temperature of $26^\circ\text{C} \pm 1^\circ\text{C}$.

AMINACRINE HYDROCHLORIDE TOPICAL SOLUTION

Bill of Materials

Scale (mg/mL)	Item	Material Name	Qty/L (g)
1.00	1	Aminacrine hydrochloride	1.00
60.00	2	Thymol	60.00
100.00	3	Glyceryl monostearate	100.00
30.00	4	Cetostearyl alcohol	30.00
20.00	5	Polyoxyl 40 stearate	20.00
100.00	6	Liquid paraffin	100.00
5.00	7	Cetrimide	5.00
1.50	8	Isopropyl alcohol	1.50
QS	9	Water purified	QS to 1 L

MANUFACTURING DIRECTIONS

1. Place item 6 in a suitable stainless steel vessel, and add and dissolve item 1 by heating to 65°C .
2. Place items 3 to 5, 7, and 9 in a separate vessel, and mix.
3. Add preceding items to step 1.
4. On cooling, add items 8 and 2, and mix.
5. Fill.

Aminolevulinic Acid HCl for Topical Solution (20%). Aminolevulinic acid HCl for topical solution, 20%, contains the hydrochloride salt of aminolevulinic acid, an endogenous 5-carbon aminoketone. The stick for topical application is a two-component system consisting of a plastic tube containing two sealed glass ampules and an applicator tip. One ampule contains 1.5 mL of solution vehicle comprising alcohol (ethanol content = 48% v/v), water, laureth-4, isopropyl alcohol, and polyethylene glycol. The other ampule contains 354 mg of aminolevulinic acid hydrochloride as a dry solid. The applicator tube is enclosed in a protective cardboard sleeve and cap. The 20% topical solution is prepared just before the time of use by breaking the ampules and mixing the contents by shaking the stick applicator.

AMOXICILLIN POWDER FOR SUSPENSION

Bill of Materials

Scale (mg/5 mL) ^a	Item	Material Name	Qty/5 L (g)
125.00	1	Amoxicillin; use amoxicillin trihydrate, 8% excess	143.50
1.04	2	Simethicone A	1.04
111.11	3	Caster sugar	111.11
444.44	4	Caster sugar	444.44
2479.86	5	Caster sugar	2479.86
23.33	6	Sodium citrate	23.33
1.67	7	Xanthan gum	1.67
13.33	8	Blood orange dry flavor	13.33
0.74	9	Vanilla dry flavor	0.74
4.44	10	Orange, banana dry flavor	4.44
14.44	11	Aerosil® 200	14.44

^a After reconstitution

MANUFACTURING DIRECTIONS

1. Place items 3 and 2 in a mixer, and mix for 2 minutes.
2. Add item 4 and items 6 to 11, and mix for 5 minutes.
3. Pass through Fitz mill, impact forward at high speed using sieve 24228.
4. In a separate mixer, place items 5 and 1, and mix well, passing through a sifter.
5. Add to step 3, and mix for 20 minutes.
6. Fill 65 g for 100 mL and 39 g for 60 mL pack size.

AMOXICILLIN-CLAVULANATE SYRUP

Bill of Materials

Scale (g/60 mL volume)	Item	Material Name	Qty/kg (g)
1.500	1	Amoxicillin (1.25 g/60 mL); ^a use amoxicillin trihydrate	215.67
0.393	2	Potassium clavulanate (equivalent to clavulanic acid 0.312 g)	56.59
0.150	3	Xanthan gum	21.56
1.800	4	Hydroxypropyl methylcellulose	258.80
0.150	5	Saccharin sodium	21.56
0.300	6	Colloidal silica	43.13
0.010	7	Succinic acid	1.44
1.500	8	Silica gel	215.67
0.180	9	Peach dry flavor	26.39
0.230	10	Strawberry dry flavor	33.99
0.730	11	Lemon dry flavor	105.16

^a 6.955 g/60 mL: 156 mg/5 mL syrup 60 mL (125 mg amoxicillin and 31.25 mg clavulanic acid).

MANUFACTURING DIRECTIONS

Throughout the process of manufacturing and filling, maintain relative humidity of NMT 40%.

1. Mill 50% of amoxicillin trihydrate, saccharin sodium (dried to NMT 2% moisture by Karl Fischer method), and succinic acid through a No. 100 mesh sieve using Fitz mill or equivalent with blades forward.
2. Transfer to a blending mixer, and mix for 15 minutes.
3. Mill remaining amoxicillin trihydrate through a No. 100 mesh using Fitz mill or equivalent, and mix with the screened powders; mix for 15 minutes.
4. Mill xanthan gum and hydroxypropyl methylcellulose (dried to NMT 2% moisture at 105°C for 2 hours), colloidal silica, and silica gel through a No. 100 screen using Fitz mill or equivalent with knives forward. Add to the mixture in step 2, and mix for 15 minutes at medium speed.
5. Screen all dry flavors through a No. 100 mesh screen, and add to this mixture.
6. Fill dry powder approximately 7 g in dry 60 mL glass bottles at a fill weight based on the assay of the active constituent.

AMOXICILLIN-CLAVULANATE SYRUP**Bill of Materials**

Scale (mg/5 mL)	Item	Material Name	Per L/g
400.00	1	Amoxicillin as trihydrate	80.00
57.00	2	Clavulanic acid as potassium salt	11.40
2.69	3	Citric acid	0.54
8.33	4	Sodium citrate	1.67
28.10	5	Microcrystalline cellulose and sodium carboxymethylcellulose	5.62
10.00	6	Xanthan gum	2.00
16.67	7	Colloidal silicon dioxide	3.33
216.60	8	Silicon dioxide	43.32
13.30	9	Strawberry flavor	2.66
15.00	10	Caramel flavor	3.00
6.70	11	Saccharin sodium	1.34
QS	12	Cellulose microcrystallinea	qs

^a Total amount filled per bottle to deliver 12 doses is 15 g for 400 and 600 mg label of amoxicillin; for 200 and 300 mg amoxicillin label, the total fill weight is 12 g; adjust using item 12. Use the preceding method to manufacture the final product.

AMPICILLIN POWDER FOR SUSPENSION**Bill of Materials**

Scale (mg/5 mL)	Item	Material Name	Qty/5 L (g)
125.00	1	Ampicillin; use ampicillin trihydrate, 8% excess	144.25
1.00	2	Simethicone A	1.00
138.90	3	Caster sugar	138.90
27.44	4	Sodium citrate	27.44
7.00	5	Xanthan gum	7.00
15.00	6	Blood orange dry flavor	15.00
0.78	7	Vanilla dry flavor	0.78
7.55	8	Strawberry dry flavor	7.55
10.00	9	Aerosil® 200	10.00
138.90	10	Caster sugar	138.90
2747.90	11	Caster sugar	2747.90

MANUFACTURING DIRECTIONS

1. All operations to be completed in relative humidity 45% to 55% and temperature 23°C to 25°C.
2. Place items 2 and 3 in a suitable blender, and mix for 5 minutes.
3. Place in a separate mixer items 1 and 4 to 10, and mix for 5 minutes.
4. Add step 2 into step 3, and mix for 10 minutes.
5. Add item 11, and mix for 10 minutes.
6. Fill 65 g for 100 mL pack and 39 g for 60 mL pack. For 250 mg strength, adjust active ingredient, and adjust with item 11.

AMPICILLIN POWDER FOR SUSPENSION**Bill of Materials**

Scale (mg/g)	Item	Material Name	Qty/kg (g)
50.00	1	Ampicillin trihydrate	50.00
50.00	2	Sodium citrate	50.00
21.00	3	Citric acid crystalline	21.00
50.00	4	Sodium gluconate	50.00
400.00	5	Sorbitol crystalline	400.00
60.00	6	Kollidon® CL-M	60.00
15.00	7	Orange flavor	15.00
5.00	8	Lemon flavor	5.00
4.00	9	Saccharin sodium	4.00

MANUFACTURING DIRECTIONS

1. Mix all components, and fill appropriate amount.

AMPICILLIN AND CLOXACILLIN OILY SUSPENSION

Bill of Materials

Scale (mg/mL)	Item	Material Name	Qty/L (g)
15.00	1	Ampicillin sodium	15.00
40.00	2	Cloxacillin sodium	40.00
30.00	3	Lutrol F 68	30.00
QS	4	Antioxidant	QS
915.00	5	Castor oil	915.00

MANUFACTURING DIRECTIONS

- Place items 4 and 5 in a suitable stainless steel jacketed vessel; heat to 50°C. Do not overheat, as castor oil may decompose.
- Add and dissolve item 3.
- Add and dissolve items 1 and 2.
- Homogenize and fill.

AMPRENAVIR CAPSULES

Bill of Materials

Scale (mg/mL)	Item	Material Name	Qty/L (g)
150.00	1	Amprenavir	150.00
400.00	2	D-alpha-tocopheryl polyethylene glycol 1000 succinate (TPGS)	400.00
200.50	3	Polyethylene glycol 400	200.50
39.40	4	Polyethylene glycol 400	39.40

MANUFACTURING DIRECTIONS

- Place item 2 in a suitable stainless steel-jacketed vessel, and heat to 50°C until liquefied.
- Add item 3 (90%) at 50°C, and mix until homogeneous solution obtained.
- Increase temperature to 65°C, add item 1, and stir to dissolve.
- Add item 4 and balance of item 2, cool to room temperature, and apply vacuum to remove air entrapped.
- Fill in size 12 oblong, white opaque soft gelatin capsules using a capsule-filling machine.
- Dry the capsule shells to moisture of 3% to 6% water and a shell hardness of 7 to 10 N, and pack in a suitable container.

AMPRENAVIR CAPSULES

The capsules are available for oral administration in strengths of 50 and 150 mg. Each 50 mg capsule contains the inactive ingredients D-alpha-tocopheryl polyethylene glycol 1000

succinate (TPGS), polyethylene glycol 400 (PEG 400) 246.7 mg, and propylene glycol 19 mg. Each 150 mg capsule contains the inactive ingredients TPGS, PEG 400 740 mg, and propylene glycol 57 mg. The capsule shell contains the inactive ingredients D-sorbitol and sorbitans solution, gelatin, glycerin, and titanium dioxide. The soft gelatin capsules are printed with edible red ink. Each 150 mg capsule contains 109 U vitamin E in the form of TPGS. The total amount of vitamin E in the recommended daily adult dose is 1744 U.

AMPRENAVIR ORAL SOLUTION

One milliliter of Agenerase oral solution contains 15 mg of amprenavir in solution and the inactive ingredients acesulfame potassium, artificial grape bubble-gum flavor, citric acid (anhydrous), D-alpha-tocopheryl polyethylene glycol 1000 succinate (TPGS), menthol, natural peppermint flavor, polyethylene glycol 400 (PEG 400) (170 mg), propylene glycol (550 mg), saccharin sodium, sodium chloride, and sodium citrate (dihydrate). Solutions of sodium hydroxide and/or diluted hydrochloric acid may have been added to adjust pH. Each milliliter of Agenerase oral solution contains 46 U vitamin E in the form of TPGS. Propylene glycol is in the formulation to achieve adequate solubility of amprenavir.

ANISE OIL SOLUTION

Bill of Materials

Scale (mg/mL)	Item	Material Name	Qty/L (g)
10.00	1	Anise oil	10.00
17.00	2	Cremophor RH 40	17.00
340.00	3	Ethanol	340.00
QS	4	Preservatives	QS
633.00	5	Water	633.00

MANUFACTURING DIRECTIONS

- Mix the anise oil with Cremophor RH 40, and heat to approximately 65°C.
- Stir vigorously, and slowly add the hot solution of items 3 to 5 to produce a clear or slightly opalescent, colorless liquid.

ANTIPYRINE AND BENZOCAINE ELIXIR

Each milliliter contains antipyrine 54 mg, benzocaine 14 mg, and glycerin anhydrous QS to volume (also contains oxyquinoline sulfate).

ANTISEPTIC WET WIPES

Bill of Materials			
Scale (mg/mL)	Item	Material Name	Qty/L (g)
3.75	1	Cetrimonium bromide	3.75
0.15	2	Chlorhexidine gluconate	0.15
10.0–20.0	3	Polysorbate 20	10.0–20.0
10.0–20.0	4	Glycerin	10.0–20.0
QS	5	Deionized water	QS to 1 L

MANUFACTURING DIRECTIONS

1. Preblend Polysorbate 20 and optionally a perfume.
2. Combine remaining components with stirring, add perfume/Polysorbate 20, and blend.
3. Stir until clear.
4. Package in wipes.

APRACLONIDINE HYDROCHLORIDE OPHTHALMIC SOLUTION

Each milliliter of Iopidine® 0.5% ophthalmic solution contains apraclonidine hydrochloride 5.75 mg equivalent to apraclonidine base 5 mg, benzalkonium chloride 0.01%, sodium chloride, sodium acetate, sodium hydroxide or hydrochloric acid (pH 4.4–7.8), and purified water.

ASCORBIC ACID SOLUTION

Bill of Materials			
Scale (mg/mL)	Item	Material Name	Qty/L (g)
100.00	1	Ascorbic acid	100.00
QS	2	Propylene glycol	QS to 1 L

MANUFACTURING DIRECTIONS

Keep under CO₂ protection at all times. Avoid contact with iron. Use stainless steel or glass-lined equipment only. Propylene glycol must be water white.

1. Load 86.8 g propylene glycol into a glass-lined or suitable stainless steel-jacketed tank. While mixing, heat to 70°C to 80°C. Bubble CO₂ gas into the propylene glycol from the bottom of the tank.
2. Add and dissolve the ascorbic acid into the propylene glycol with a minimum of stirring under CO₂ protection.
3. When the ascorbic acid is in solution, immediately cool to approximately 25°C while continuing to mix. Also, while cooling, change CO₂ addition from tank bottom to tank top.

4. QS to 1 L using propylene glycol, and mix for at least 10 minutes.
5. Use a prefilter pad and a lint-free filter paper, E&D No. 950 or its equivalent; alternatively, a Sparkler filter (or equivalent) may be used.
6. Recirculate the product through the filter press until sparkling clear.
7. Flush a suitable storage tank with CO₂ gas, and continue CO₂ gas protection while product is being collected.
8. Filter the product into the storage tank, and hold under CO₂ protection.
9. Flush headspace of storage tank with CO₂ gas protection.

ATOVAQUONE SUSPENSION

Mepron suspension is a formulation of microfine particles of atovaquone. The atovaquone particles, reduced in size to facilitate absorption, are significantly smaller than those in the previously marketed tablet formulation. Mepron suspension is for oral administration and is bright yellow with a citrus flavor. Each teaspoonful (5 mL) contains 750 mg of atovaquone and the inactive ingredients benzyl alcohol, flavor, poloxamer 188, purified water, saccharin sodium, and xanthan gum.

ATOVAQUONE SUSPENSION

Bill of Materials			
Scale (mg/mL)	Item	Material Name	Qty/L (g)
150.00	1	Atovaquone microfluidized ^a	150.00
5.00	2	Poloxamer 188	5.00
10.00	3	Benzyl alcohol	10.00
QS	4	Water purified	QS to 1 L

^a Preparation of microfluidized particles of atovaquone: 600 mL of a mixture consisting of 2.5% w/v atovaquone in 0.25% w/v aqueous Celacol M2500 and passed through fluidizer such as model 120B Microfluidizer connected to a 90 psi pneumatic supply and adjusted to produce a fluid pressure of 15,000 psi. Recirculate continuously through the interaction chamber for at least 45 minutes (65–77 passes) to achieve particle size lower than 3 microns.

MANUFACTURING DIRECTIONS

1. Place items 4 and 3 in a suitable stainless steel vessel, and mix well.
2. Add and mix item 2 with gentle mixing.
3. Add gradually item 1 and mix; pass through homogenizer.

AZELASTINE HYDROCHLORIDE NASAL SPRAY

Astelinal nasal spray contains 0.1% azelastine hydrochloride in an aqueous solution at pH 6.8 ± 0.3. It also contains benzalkonium chloride (125 µg/mL), edetate disodium (EDTA),

hydroxypropyl methylcellulose, citric acid, dibasic sodium phosphate, sodium chloride, and purified water.

AZELASTINE HYDROCHLORIDE NASAL SPRAY

Bill of Materials			
Scale (mg/mL)	Item	Material Name	Qty/L (g)
1.00	1	Azelastine hydrochloride	1.00
0.50	2	Edetic acid disodium dehydrate	0.50
6.80	3	Sodium chloride	6.80
0.125	4	Benzalkonium chloride	0.125
0.44	5	Citric acid	0.44
6.48	6	Sodium monohydrogen phosphate·12H ₂ O	6.48
1.00	7	Hydroxypropyl methylcellulose–Methocel E4M	1.00
QS	8	Water purified	QS to 1 L

MANUFACTURING DIRECTIONS

- Place 90% of item 8 in a suitable stainless steel vessel.
- Dissolve, in the following order, azelastine hydrochloride, edetic acid, sodium chloride, benzalkonium chloride, citric acid, and sodium monohydrogen phosphate, and mix well.
- Bring to volume with item 8.
- Pass the solution through a membrane filter of pore size 0.22 microns.
- The filtrate has a pH value of 6.8 ± 0.3 .
- Fill in plastic bottles that are closed with a conventional spray insert or into plastic or glass bottles that are closed with a conventional pump sprayer. In the latter case, pumps with nasal spray inserts are, for example, used that spray approximately 0.14 mL of solution per actuation. In this manner, 0.14 mg of azelastine hydrochloride is sprayed into the nose per actuation in the form of the solution.

AZITHROMYCIN SUSPENSION

Bill of Materials			
Scale (mg/5 mL)	Item	Material Name	Qty/L (g)
200.0000	1	Azithromycin; use azithromycin dehydrate	69.30
4.4100	2	Sucrose	883.00
0.0650	3	Sodium phosphate 12 hydrate	13.00
0.0075	4	Xanthan gum	1.50
0.0200	5	Sodium cyclamate	4.00
0.0200	6	Sodium saccharin	2.00
0.0250	7	Glycamil	5.00
0.5000	8	Starch pregelatinized	100.00
0.0200	9	Flavor	4.00
0.0550	10	Flavor	11.00
0.0400	11	Flavor	8.00
0.7500	12	Sorbitol 70%	150.00
0.7500	13	Propylene glycol	140.00
0.0075	14	Methyl paraben	1.50
0.0015	15	Propyl paraben	0.30
QS	16	Water purified	QS to 1 L

MANUFACTURING DIRECTIONS

- Place in a suitable stainless steel double-cone blender sucrose, sodium phosphate, xanthan gum, sodium cyclamate, sodium saccharin, glycamil, and starch pregelatinized.
- Mix for 15 minutes.
- Mill the mixture in step 2 using a hammer mill (hammer forward) equipped with a 2-mm screen at high speed.
- Place into a double-cone mixer the mixture from step 3 and add azithromycin and flavors.
- Mix for 15 minutes.
- Fill 11.01 g per bottle. The bottle must be reconstituted with 10 mL of the diluent (see step below) to obtain 16.5 mL of suspension with concentration of 200 mg/5 mL.
- Prepare the diluent by first dissolving items 14 and 15 in item 13 at 69°C to 70°C, then mix with items 12 and 16.

AZITHROMYCIN SUSPENSION**Bill of Materials**

Scale (mg/mL)	Item	Material Name	Qty/L (g)
50.00	1	Azithromycin dihydrate	50.00
50.00	2	Sodium citrate	50.00
20.00	3	Citric acid	20.00
600.00	4	Sucrose	600.00
90.00	5	Kollidon® CL-M	90.00
5.00	6	Cremonophor RH 40	5.00
2.00	7	Chocolate flavor	2.00
100.00	8	Water purified	100.00
QS	9	Water purified	QS to 1 L

MANUFACTURING DIRECTIONS

- Charge items 1 to 5 in a suitable mixing vessel, and mix.
- In a separate vessel, add and mix items 6 to 8, and add to step 1. Mix.
- Bring to volume. Homogenize and fill.

AZULENE SOLUTION**Bill of Materials**

Scale (mg/mL)	Item	Material Name	Qty/L (g)
10.00	1	Azulene	10.00
30.00	2	Cremonophor RF 40	30.00
QS	3	Water purified	QS to 1 L

MANUFACTURING DIRECTIONS

- Charge items 1 and 2 in a suitable mixing vessel, and heat to 60°C.
- In a separate vessel, heat item 3 to 60°C, and then add to step 1. Mix well for a clear solution.

AZULENE SOLUTION (1%)**MANUFACTURING DIRECTIONS**

- Mix 1 g azulene and 3 g Cremonophor RH 40, and heat to approximately 60°C.
- Slowly add the water (60°C) to 100 mL, and cool to room temperature.

BARIUM SULFATE ORAL SUSPENSION**Bill of Materials**

Scale (mg/mL)	Item	Material Name	Qty/L (g)
230.00	1	Barium sulfate	230.00
11.50	2	Kollidon® 90F	11.50
0.92	3	Carboxymethylcellulose sodium	0.92
0.70	4	Sodium bisulfite	0.70
QS	5	Preservatives	QS
QS	6	Water purified	QS to 1 L

MANUFACTURING DIRECTIONS

- Charge 90% of item 6 in a suitable jacketed vessel.
- Add and mix preservatives and item 3. Mix well. Allow to hydrate.
- Add item 2, and mix well until clear solution is obtained.
- Add item 1, and mix to a smooth suspension. Homogenize if necessary.

BECLOMETHASONE DIPROPIONATE INHALATION AEROSOL

It is a pressurized, metered-dose aerosol intended for oral inhalation only. Each unit contains a solution of beclomethasone dipropionate in propellant HFA-134a (1,1,1,2-tetrafluoroethane) and ethanol. The 40 µg strength delivers 40 µg of beclomethasone dipropionate from the actuator and 50 µg from the valve. The 80 µg strength delivers 80 µg of beclomethasone dipropionate from the actuator and 100 µg from the valve. It is a metered-dose manual-pump spray unit containing a suspension of beclomethasone dipropionate monohydrate equivalent to 0.084% w/w beclomethasone dipropionate in an aqueous medium containing microcrystalline cellulose, carboxymethylcellulose sodium, dextrose, benzalkonium chloride, polysorbate 80, and phenylethyl alcohol. The suspension is formulated at a target pH of 6.4 with a range of 5.5 to 6.8 over its shelf life.

BECLOMETHASONE DIPROPIONATE INHALATION AEROSOL**Bill of Materials**

Scale (µg/mg)	Item	Material Name	Qty/kg (g)
1.60	1	Beclomethasone dipropionate	1.60
35.20	2	Ethanol	35.20
0.16	3	Oleic acid	0.16
960.00	4	HFA 227	960.00

MANUFACTURING DIRECTIONS

1. Load beclomethasone dipropionate into a pressure addition vessel and dissolve with stirring in ethanol in which oleic acid has been previously dissolved.
2. After sealing and evacuation of step 1, add item 4, which has previously been aerated with carbon dioxide and adjusted to a pressure of 6.5 bar (20°C) in another pressure vessel with stirring. The solution obtained is dispensed into aluminum containers sealed with metered valves by means of the pressure-filling technique (e.g., units from Pamasol W. Maeder, Pfaffikon, Switzerland).

BECLOMETHASONE DIPROPIONATE AND SALBUTAMOL SULFATE NASAL SPRAY**MANUFACTURING DIRECTIONS**

1. Dissolve 15.6 g beclomethasone dipropionate in 811 g ethanol, which contains 3 g oleic acid.
2. Mix the clear solution with 7.3 kg HFA 227.
3. Add the mixture obtained to 9.4 g of initially introduced salbutamol sulfate and adequately homogenized.
4. After conclusion of the homogenization, dilute the mixture with 2 kg HFA 227 that has been aerated with carbon dioxide and adjusted to a pressure of 5 bar (20°C), diluted, and finally homogenized.
5. Dispense the finished preparation into aluminum containers sealed with metering valves by means of the pressure-filling technique.

BENZETHONIUM CHLORIDE SOLUTION

Benzethonium chloride 1%, water, amphoteric 2, aloe vera gel, DMDM hydantoin, and citric acid.

BENZETHONIUM CHLORIDE AND BENZOCAINE TOPICAL ANESTHETIC

Benzethonium chloride 0.2%, benzocaine 20%; inactive ingredients: acetulan, aloe vera oil, menthol, methyl paraben, *N*-butane/P152a (65:35), PEG 400, monolaurate, and polysorbate 85.

BENZOCAINE AND TETRACAINE TOPICAL SOLUTION**Bill of Materials**

Scale (g/100 mL)	Item	Material Name	Qty/L (g)
14.00	1	Benzocaine	140.00
2.00	2	Butyl aminobenzoate	20.00
2.00	3	Tetracaine hydrochloride	20.00
0.50	4	Benzalkonium chloride	5.00
0.005	5	Cetyl dimethyl ethyl ammonium bromide	0.05
QS	6	Water purified	QS to 1 L

BENZYL BENZOATE SOLUTION**Bill of Materials**

Scale (mg/mL)	Item	Material Name	Qty/L (g)
100.00	1	Benzyl benzoate	100.00
220.00	2	Cremophor RH 40	220.00
410.00	3	Ethanol (96%)	410.00
270.00	4	Water	270.00

MANUFACTURING DIRECTIONS

1. Heat the mixture of benzyl benzoate and Cremophor RH 40 to approximately 60°C.
2. Stir strongly, and slowly add the water.
3. Finally, add the ethanol to produce a clear, colorless liquid.

BETA-ESTRADIOL VAGINAL SOLUTION**Bill of Materials**

Scale (mg/mL)	Item	Material Name	Qty/L (g)
QS	1	Vehicle (Pluronic P105 45%, propylene glycol 48%, water 7%)	QS to 1 L
0.10	2	Beta-estradiol	0.10
QS	3	Perfumes	QS

MANUFACTURING DIRECTIONS

1. Add the beta-estradiol and propylene glycol into a clean vessel.
2. Subsequently, add the poloxamer and water to the vessel.
3. Mix until uniform.

BETAMETHASONE SYRUP

Celestone syrup contains 0.6 mg betamethasone in each 5 mL. The inactive ingredients for celestone syrup include alcohol; cellulose, powdered; citric acid, anhydrous; FD&C Red No. 40; FD&C Yellow No. 6; flavor cherry artificial 13506457 IFF; flavor orange natural terpeneless 73502530 IFF; propylene glycol; sodium benzoate; sodium chloride; sorbitol solution; sugar, granulated; and water, purified.

BISMUTH CARBONATE SUSPENSION**Bill of Materials**

Scale (mg/mL)	Item	Material Name	Qty/L (g)
266.66 mg	1	Light kaolin	266.66
8.30 mg	2	Pectin	8.30
6.70 mg	3	Bismuth carbonate	6.70
9.40 mg	4	Cellulose (microcrystalline; Avicel™ RC-591)	9.40
1.40 mg	5	Methylparaben	1.40
0.20 mg	6	Saccharin sodium	0.20
0.40 mg	7	Aspartame	0.40
40.00 mL	8	Sorbitol	40.00 mL
5.00 mL	9	Ethanol	5.00 mL
QS	10	Deionized water	QS to 1 L

MANUFACTURING DIRECTIONS

1. Dissolve item 2 in hot water.
2. Disperse item 1 in 75 mL of item 10 at room temperature.
3. With constant agitation, add item 3, and continue stirring.
4. Mix, and cool to room temperature.
5. Disperse item 4 in item 10, and add it to the batch.
6. Dissolve item 2 in item 1 dispersion, and add to the batch.
7. Dissolve items 6 and 7 in water, and add to the batch.
8. Add flavor, color, and water to volume.
9. Pass through homogenizer or colloid mill if necessary.

BISMUTH SUBSALICYLATE SUSPENSION**Bill of Materials**

Scale (mg/mL)	Item	Material Name	Qty/L (g)
15.00	1	Magnesium aluminum silicate (Magnabrite K)	15.00
1.50	2	Methylcellulose	1.50
910.00	3	Deionized water	910.00
0.50	4	Saccharin sodium	0.50
30.00	5	Bismuth subsalicylate	30.00
4.00	6	Salicylic acid	4.00
10.00	7	Sodium salicylate	10.00
29.00	8	Ethanol	29.00
QS	9	Preservatives	QS
QS	10	Colorings	QS

MANUFACTURING DIRECTIONS

1. Dry blend items 1 and 2, and slowly add them to item 3, agitating until smooth.
2. Add items 4 to 7 to this dispersion, gradually mixing well each time.
3. Finally, add items 8 to 10 to smooth mix.

BROMAZEPAM DROPS**Bill of Materials**

Scale (mg/mL)	Item	Material Name	Qty/L (g)
2.50	1	Bromazepam	2.50
5.00	2	Saccharin sodium	5.00
0.10	3	Sequestrene disodium	0.10
5.00	4	Flavor	5.00
25.00	5	Flavor	25.00
QS	6	Sodium hydroxide for pH adjustment	QS
50.00	7	Water purified	50.00
QS	8	Propylene glycol	QS to 1 L

MANUFACTURING DIRECTIONS

1. Place item 8 in a suitable stainless steel mixing vessel, and while stirring, add item 3 and dissolve.
2. Add item 7, and stir continuously. Add item 2 and then item 1, and stir to dissolve.
3. Add flavors and mix.
4. Check and adjust pH to 5, if necessary, using item 5.
5. Make up volume with item 8.

BROMHEXINE HYDROCHLORIDE SYRUP

Bill of Materials			
Scale (mg/5 mL)	Item	Material Name	Qty/L (g)
4.00	1	Bromhexine HCl	0.80
1000.00	2	Glycerin (glycerol)	200.00
10.00	3	Benzoic acid	2.00
1.70	4	All fruits flavor	0.34
5.00	5	Tartaric acid	1.00
151.58	6	Alcohol (ethanol, 95%)	30.31
2857.00	7	Sorbitol (70% solution)	571.40
10.00	8	Sodium carboxymethyl cellulose (sodium CMC)	2.00
0.72	9	Sodium hydroxide pellets	0.14
QS	10	Purified water	QS to 1 L

MANUFACTURING DIRECTIONS

1. Add 250 g of item 10 to the manufacturing vessel, and heat to 65°C to 70°C.
2. Add 20 g of item 2 in a separate stainless steel container, and mix item 8 using an Ekato stirrer, carefully avoiding lump formation.
3. Transfer the slurry to the manufacturing vessel, and continue mixing to make a clear mucilage. Avoid air entrapment.
4. Cool to 30°C while mixing at slow speed. Transfer the mucilage to container.
5. Load 100 g of item 2 to the manufacturing vessel.
6. Add item 6 in a separate stainless steel container, and dissolve item 3 using stirrer.
7. Add 60 g of item 2 to the container while mixing at slow speed.
8. Add and dissolve item 1 to the container while mixing at slow speed. Avoid splashing of the solution. Ensure bromhexine is dissolved completely.
9. Add item 4 to the container, and mix well.
10. Transfer the solution to the manufacturing vessel while mixing at high speed.
11. Rinse the container with 20 g of item 2, and transfer the rinsing to the manufacturing vessel while mixing.
12. Rinse the container with 20 g of item 10, and transfer the rinsing to the manufacturing vessel while mixing.
13. Add 15 g of item 10 in a separate stainless steel container.
14. Dissolve item 5 using a stirrer, and transfer it to the manufacturing vessel while mixing. Check for clarity of the solution in the manufacturing vessel. The solution must be clear without any undissolved particles of the drug.

15. Add item 7 to the manufacturing vessel while mixing at high speed.
16. Transfer the cooled mucilage of item 8 to the manufacturing vessel used in step 15 while mixing at slow speed.
17. Check and record the pH of the solution (limit: 3.3–3.6).
18. Dissolve item 9 in 5 g of cooled item 10 (30°C) in a separate stainless steel container.
19. Adjust the pH of the syrup in the manufacturing vessel using the sodium hydroxide solution.
20. Add sodium hydroxide solution, small portions at a time. Mix well, and check the pH after every addition. Adjust the pH to 3.5 (limit: 3.3–3.6).
21. Bring the volume up to 1 L with item 10, and finally, mix for 15 to 20 minutes at high speed.
22. Check and record the pH (limit: 3.3–3.6).
23. Filter the syrup at 1.5 bar.
24. Recirculate.

BROMHEXINE HYDROCHLORIDE SYRUP—ALCOHOL FREE

Bill of Materials			
Scale (mg/5 mL)	Item	Material Name	Qty/L (g)
4.00	1	Bromhexine HCl	0.80
1000.00	2	Glycerin (glycerol)	200.00
12.00	3	Sodium benzoate	2.40
1.70	4	All fruit flavor	0.34
17.00	5	Tartaric acid	3.40
2250.00	6	Sorbitol (70% solution)	450.00
10.00	7	Sodium carboxymethyl cellulose (sodium CMC)	2.00
QS	8	Purified water	QS to 1 L

MANUFACTURING DIRECTIONS

1. Add 240 g of item 8 (25°C) to the manufacturing vessel.
2. Add item 5, and mix for 20 minutes at high speed.
3. Load 180 g of item 2 into the manufacturing vessel, and mix for 3 minutes.
4. Add item 1 to the manufacturing vessel, and mix for 30 minutes at high speed.
5. Add 20 g of item 2 in a suitable vessel, and levigate item 7 using stirrer, carefully avoiding lump formation.
6. Add 40 g of item 8 (70°C) to the stainless steel container while mixing to make a clear mucilage; mix for 15 minutes. Avoid air entrapment.
7. Cool down to 25°C to 30°C while mixing at slow speed.

8. Transfer the mucilage to the manufacturing vessel.
9. Rinse the vessel with 10 g of item 8, and transfer to the manufacturing vessel.
10. Mix at slow speed for 20 minutes.
11. Transfer item 6 to the manufacturing vessel while mixing. Mix at low speed for 5 minutes.
12. Add 20 g of item 8 (25°C) in a separate stainless steel container, and dissolve item 3 using an Ekato stirrer until a clear solution is obtained.
13. Transfer this solution to the manufacturing vessel, and mix at low speed for 3 minutes.
14. Add item 4 to the manufacturing vessel, and mix at low speed for 3 minutes.
15. Record the pH of the solution (limit: 3.3–3.7). Adjust the pH of the solution with 10% solution of sodium hydroxide, if required.
16. Make the volume up to 1 L with item 8 (25°C), and finally, mix for 15 to 20 minutes at high speed.
17. Filter the syrup at 1.5 bar.
18. Recirculate.

BROMHEXINE HYDROCHLORIDE SYRUP

Bill of Materials

Scale (mg/5 mL)	Item	Material Name	Qty/L (g)
4.00	1	Bromhexine HCl	0.80
1000.00	2	Glycerin (glycerol)	200.00
10.00	3	Benzoic acid	2.00
1.00	4	All fruits flavor	0.34
50.00	5	Tartaric acid	1.00
151.50	6	Alcohol (ethanol 95%)	30.31
2857.00	7	Sorbitol (70% solution)	571.40
10.00	8	Carboxymethylcellulose sodium (sodium CMC)	2.00
0.70	9	Sodium hydroxide pellets	0.14
QS	10	Water purified	QS to 1 L

MANUFACTURING DIRECTIONS

1. Add 250 g of item 10 to a suitable stainless steel manufacturing vessel, and heat to 65°C to 70°C.
2. Add 20 g of item 2 in a separate stainless steel container, and mix item 8 using Ekato stirrer, carefully avoiding lump formation.
3. Transfer the slurry to the manufacturing vessel while continuing to mix to make a clear mucilage. Avoid air entrapment.
4. Cool down to 30°C while mixing at slow speed.
5. Transfer the mucilage to container. Load 100 g of item 2 to the manufacturing vessel.
6. Add item 6 in a separate stainless steel container, and dissolve item 3 using stirrer.
7. Add 60 g of item 2 to the container while mixing at slow speed.

8. Add and dissolve item 1 to the container while mixing at slow speed. Avoid splashing of the solution. Check that bromhexine is dissolved completely.
9. Add item 4 to the container, and mix well. Transfer the solution to the manufacturing vessel while mixing at high speed.
10. Rinse the container with 20 g of item 2, and transfer the rinsings to the manufacturing vessel while mixing.
11. Rinse the container with 20 g of item 10, and transfer the rinsings to the manufacturing vessel while mixing. Add 15 g of item 10 in a separate stainless steel container, dissolve item 5 using stirrer, and transfer to the manufacturing vessel while mixing.
12. Check clarity of the solution in manufacturing vessel. The solution must be clear without any undissolved particles of the drug.
13. Add item 7 to the manufacturing vessel while mixing at high speed.
14. Transfer the cooled mucilage of item 8 to the manufacturing vessel used in step 13 while mixing at slow speed.
15. Check and record the pH of the solution (limit: 3.3–3.6).
16. Dissolve item 9 in 5 g of cooled item 10 (30°C) in a separate stainless steel container.
17. Adjust the pH of the syrup in manufacturing vessel using the sodium hydroxide solution. Add sodium hydroxide solution in small portions at a time. Mix well, and check the pH after every addition. Adjust the pH to 3.5 (limit: 3.3–3.6).
18. Make up the volume to 1 L with item 10, and finally, mix for 15 to 20 minutes at high speed. Check and record the pH (limit: 3.3–3.6). Filter the syrup at 1.5 bar. Recirculate.

BUDESONIDE INHALER

Bill of Materials

Scale (mg/g)	Item	Material Name	Qty/kg (g)
20.00	1	Budesonide	20.00
1190.00	2	Oleic acid	1190.00
1372.00	3	Trichloromonofluoromethane (propellant 11)	1372.00
2745.00	4	Dichlorodifluoromethane (propellant 12)	2745.00
1373.00	5	Dichlorotetrafluoroethane (propellant 114)	1373.00

MANUFACTURING DIRECTIONS

1. Mix oleic acid in trichloromonofluoromethane in a suitable mixer.
2. Suspend budesonide in step 1 while mixing. Homogenize for 10 minutes.

- On quality control release, fill the suspension 2.582 g in aluminum containers.
- Crimp the valve, and pressurize with the mixture of dichlorodifluoromethane and dichlorotetrafluoromethane, 4.118 g per container.

BUTAMIRATE CITRATE SYRUP

Bill of Materials			
Scale (mg/5 mL)	Item	Material Name	Qty/5 L (g)
4.00	1	Butamirate citrate	4.00
12.50	2	Citric acid monohydrate	12.50
1750.00	3	Sorbitol	1750.00
1250.00	4	Glycerin	1250.00
6.25	5	Saccharin sodium	6.25
5.00	6	Sodium benzoate	5.00
10.00	7	Lemon flavor	10.00
QS	8	Sodium hydroxide	2.50
QS	9	Water purified	QS to 5 L

MANUFACTURING DIRECTIONS

- Dissolve items 2 to 4 in item 9 (90%).
- Add and dissolve item 1.
- Add items 5 to 7.
- Add item 8.
- Bring to volume.

CAFFEINE CITRATE ORAL SOLUTION

Bill of Materials			
Scale (mg/mL)	Item	Material Name	Qty/L (g)
10.00	1	Caffeine; use caffeine citrate	20.00
5.00	2	Citric acid monohydrate	5.00
8.30	3	Sodium citrate monohydrate	8.30
QS	4	Water purified	QS to 1 L

MANUFACTURING DIRECTIONS

- Dissolve item 1 in a solution of items 2 and 3 in item 4.
- Adjust pH to 4.7.

CALCIPOTRIENE SOLUTION

Dovonex[®] (calcipotriene solution) scalp solution 0.005% is a colorless topical solution containing 0.005% calcipotriene in a vehicle of isopropanol (51% v/v), propylene glycol, hydroxypropyl cellulose, sodium citrate menthol, and water.

CALCITONIN NASAL SPRAY

Calcitonin-salmon, 2200 U/mL (corresponding to 200 U/0.09 mL actuation), sodium chloride, benzalkonium chloride, nitrogen, hydrochloric acid (added as necessary to adjust pH), and purified water.

CALCITONIN NASAL SPRAY

Bill of Materials			
Scale (mg/mL)	Item	Material Name	Qty/L (g)
0.1375	1	Salmon calcitonin, 10% excess	0.152
7.500	2	Sodium chloride	7.500
0.100	3	Benzalkonium chloride	0.100
QS	4	Hydrochloric acid (1 N) to adjust pH	QS
QS	5	Water purified	QS to 1 L

MANUFACTURING DIRECTIONS

- Charge items 1 (90%), 2, and 3 in a suitable stainless steel mixing vessel under protection of nitrogen gas, and mix well.
- Measure and adjust pH to 3.7 using item 4.
- Filter through 0.20 micron filter.
- Add balance of item 1 in item 5 to step 3. Mix.
- Fill into a spray nasal dispenser with a solution volume of 2 mL. The composition comprises approximately 550 MRC units active ingredient per milliliter, and the applicator delivers a quantity comprising 55 units per actuation.

CALCIUM CARBONATE AND GUAR GUM SUSPENSION

Bill of Materials			
Scale (mg/5 mL)	Item	Material Name	Qty/L (g)
400.000	1	Calcium carbonate	80.000
3935.000	2	Water purified	787.000
1000.000	3	Sorbitol solution (70%)	200.000
13.000	4	Xanthan gum	2.600
5.000	5	Hydroxyethyl cellulose	1.000
120.000	6	Magnesium hydroxide	24.000
25.000	7	Flavor strawberry	5.000
1.425	8	Saccharin sodium	0.285
100.000	9	Guar gum	20.000

^a Powder flavor is used; can change according to requirement.

MANUFACTURING DIRECTIONS

This is a preservative-free formula; shelf-life stability is achieved by maintaining the pH of the suspension above 9 through the addition of magnesium hydroxide. The absence of preservatives makes it a more palatable formula but requires extra care in the manufacturing process. Rigidly control the microbial specification of all ingredients. Thoroughly clean all equipment, and rinse with 1% sodium hypochlorite solution before use. Finally, rinse with purified water.

1. In a clean vessel, heat item 2 to 90°C, and maintain for 20 minutes. Cool to room temperature.
2. In approximately 90% of the quantity of item 2, add item 3 to step 1, and mix well. Set aside the balance of quantity of item 2 for bringing to volume the suspension in step 8.
3. Add by sprinkling items 3, 4, and 9, gradually mixing aggressively to ensure fine dispersion; the powders may be passed through an appropriate sieve to break any lumps.
4. Mix for 30 minutes.
5. Add and mix item 1 for 15 minutes after passing through a fine mesh to break any lumps.
6. Add item 6 after passing through 100 mesh screen, and mix for 15 minutes.
7. Add flavor and sweetener, and stir for another 15 minutes. Bring to volume (if necessary), and mix for 10 minutes.
8. Check the pH of suspension to 9 and above. Add small quantity of magnesium hydroxide if needed to bring pH to above 9.
9. Heat the suspension in a covered container for 30 minutes at 68°C (maintain 68°C for 30 minutes); this is a pasteurizing step to reduce microbial load.
10. Fill in clean bottles tested for microbial contamination.

CALCIUM IODIDE AND ASCORBIC ACID SYRUP

Bill of Materials

Scale (mg/mL)	Item	Material Name	Qty/L (g)
311.60	1	Glucose liquid (corn syrup)	311.60
53.90	2	Glycerin (96%)	53.90
30.00	3	Anhydrous calcium iodide; use calcium iodide solution 27% w/w	111.11
1.00	4	Ascorbic acid (white powder)	1.00
485.30	5	Sucrose (granulated sugar)	485.30
0.80	6	Saccharin sodium (powder) ^a	0.80
8.00	7	Sodium cyclamate (XIII powder)	8.00
1.31	8	Honey artificial flavor, AU-73	1.31
0.33	9	Floral mint artificial flavor	0.33
51.53	10	Alcohol (ethanol; 190 proof)	51.53
0.60	11	Isoproterenol sulfate (powder)	0.60
0.05	12	FD&C Yellow dye No. 5	
0.25	13	Caramel (acid proof)	0.25
QS	14	Water purified	~344.0 mL

^a Use 1.2 g of saccharin to replace cyclamate; adjust balance with sucrose.

MANUFACTURING DIRECTIONS

Isoproterenol is toxic; wear a dust mask, and avoid contact. The product is sensitive to oxidation. Manufacture under N₂ protection, and protect product from light and heat; all water must be boiled, cooled, and gassed with nitrogen.

1. Load glucose and glycerin into a suitable mixing tank.
2. Add 187 mL purified water to tank with mixing.
3. Begin bubbling N₂ protection for the balance of the process.
4. Add and dissolve saccharin sodium and sodium cyclamate, if used, with mixing.
5. Add calcium iodide to the tank with good mixing.
6. Add and dissolve ascorbic acid and sugar.
7. Dissolve the flavors in alcohol, and add with mixing to the main batch.
8. Dissolve isoproterenol in 10 to 13 mL of water, and add with mixing to the main batch.
9. Dissolve dye in 3.5 mL purified water, and add solution to tank with mixing. (*Note:* Dye may be deleted.) Add caramel with mixing to main batch.
10. Move N₂ source from the bottom to the top of the tank.
11. Turn off mixer.
12. Allow to stand overnight under N₂ protection to let entrapped gases escape.
13. QS to 1 L. Mix for 1 hour.
14. Filter and circulate product through a suitable filter press until sparkling clear.

CARBAMAZEPINE ORAL SUSPENSION 2%**FORMULATION**

Carbamazepine (Flavine), 2 g; 1,2-propylene glycol, 20 g; Kollidon® 90F, 3 g; saccharin sodium, 0.1 g; sodium citrate, 1 g; sorbitol, crystalline, 25 g; Kollidon® CL-M, 7 g; water, 41.9 g.

MANUFACTURING DIRECTIONS

1. Stir the mixture of carbamazepine and propylene glycol for at least 2 hours.
2. Add Kollidon® 90F, saccharin, sodium citrate, and the water, and stir again until these components are dissolved.
3. Dissolve sorbitol in this mixture, and add Kollidon® CL-M to the well-stirred suspension to obtain a homogeneous suspension.

CARBETAPENTANE TANNATE AND CHLORPHENIRAMINE SUSPENSION**Bill of Materials**

Scale (mg/5 mL)	Item	Material Name	Qty/5 L (g)
30.00	1	Carbetapentane tannate	30.00
4.00	2	Chlorpheniramine tannate	4.00
50.00	3	Pectin medium viscosity	50.00
1000.00	4	Kaolin colloidal powder	1000.00
35.00	5	Magnesium aluminum silicate	35.00
10.00	6	Benzoic acid	10.00
2.50	7	Methyl paraben	2.50
1000.00	8	Sucrose	1000.00
0.75	9	Saccharin sodium	0.75
225.00	10	Glycerin	225.00
0.91	11	Flavor black currant imitation	0.91
2.28	12	Flavor strawberry with other flavors	2.28
0.45	13	Purpose shade "R" dye	0.45
0.80	14	FD&C Red No. 3 dye	0.80
0.30	15	FD&C Yellow No. 5	0.30
3.17	16	Sodium hydroxide solution 50%	3.17
	17	Purified water, deionized	QS to 5 mL

MANUFACTURING DIRECTIONS

1. Combine items 1 to 5 and mix thoroughly.
2. Add water to make a slurry.
3. Add items 7 to 16, mix vigorously using purified water, and QS to final volume.

CARNITINE AND COENZYME Q SOLUTION**Bill of Materials**

Scale (mg/mL)	Item	Material Name	Qty/L (g)
1.00	1	Coenzyme Q10	1.00
1.00	2	Lutrol E 400	1.00
4.00	3	Cremophor RH 40	4.00
QS	4	Preservative	QS
QS	5	Water	QS to 1 L
40.00	6	Carnitine	40.00

MANUFACTURING DIRECTIONS

1. Heat the mixture of items 1 to 5 to 60°C, and stir well.
2. Add and dissolve item 6 after cooling to room temperature.

CEFACTOR SUSPENSION**Bill of Materials**

Scale (mg/5 mL)	Item	Material Name	Qty/L (g)
250.00	1	Cefaclor	50.00
5.00	2	Emulsion silicone 30%	1.00
7.50	3	Xanthan gum	1.50
10.00	4	Starch modified	2.00
4.00	5	Erythrosine aluminum lake	0.80
20.00	6	Flavor	4.00
0.75	7	Sodium lauryl sulfate	0.15
3.00	8	Methylcellulose	0.60
2960.00	9	Sucrose	592.00

Note: For 125 mg dose, adjust the final quantity with sucrose.

CEFADROXIL MONOHYDRATE ORAL SUSPENSION

Duricef for oral suspension contains the following inactive ingredients: FD&C Yellow No. 6, flavors (natural and artificial), polysorbate 80, sodium benzoate, sucrose, and xanthan gum.

CEFPODOXIME PROXETIL ORAL SUSPENSION

Each 5 mL of Vantin oral suspension contains cefpodoxime proxetil equivalent to 50 or 100 mg of cefpodoxime activity after constitution and the following inactive ingredients: artificial flavorings, butylated hydroxyanisole, carboxymethylcellulose sodium, microcrystalline cellulose, carrageenan, citric acid, colloidal silicon dioxide, croscarmellose sodium, hydroxypropyl cellulose, lactose, maltodextrin, natural

flavorings, propylene glycol alginate, sodium citrate, sodium benzoate, starch, sucrose, and vegetable oil.

CEFPODOXIME PROXETIL ORAL SUSPENSION

Bill of Materials			
Scale (mg/g)	Item	Material Name	Qty/kg (g)
100.00	1	Cefpodoxime proxetil with excess	123.50
563.75	2	Sucrose	563.75
290.00	3	D-Mannitol	290.00
1.25	4	Saccharin sodium	1.25
20.00	5	Hydroxypropyl cellulose	20.00
0.50	6	Dye Yellow No. 5	0.50
1.00	7	Ethylenediamine tetraacetate disodium	1.00
QS	8	Orange essence	QS
QS	9	Water purified	QS

MANUFACTURING DIRECTIONS

- Place item 1, sucrose, D-mannitol, saccharin sodium, and disodium ethylenediamine tetraacetate in an agitating granulator.
- Granulate the mixture by agitation while spraying it with a binder of hydroxypropyl cellulose and Yellow No. 5 in water.
- Pass wet mass through a 42 mesh screen in an extrusion granulator.
- Dry the granules in a fluidized-bed granulator.
- Spray the granules with orange essence.
- Dry granules further in the fluid-bed dryer.
- Pass granules through 30 mesh sieve, and fill.

When purified water is added to the resulting dry syrup at a concentration of item 1 of 49.4 mg/mL, the dry syrup rapidly dissolves in it to give a clear orange solution.

CEFPODOXIME PROXETIL FOR ORAL SUSPENSION

Each 5 mL of Vantin oral suspension contains cefpodoxime proxetil equivalent to 50 or 100 mg of cefpodoxime activity after constitution and the following inactive ingredients: artificial flavorings, butylated hydroxyanisole, carboxymethylcellulose sodium, microcrystalline cellulose, carrageenan, citric acid, colloidal silicon dioxide, croscarmellose sodium, hydroxypropyl cellulose, lactose, maltodextrin, natural flavorings, propylene glycol alginate, sodium citrate, sodium benzoate, starch, sucrose, and vegetable oil.

Ceftin for oral suspension, when reconstituted with water, provides the equivalent of 125 or 250 mg of cefuroxime (as cefuroxime axetil) per 5 mL of suspension. Ceftin for oral

suspension contains the inactive ingredients polyvinylpyrrolidone K30, stearic acid, sucrose, and tutti-frutti flavoring.

CEFUROXIME AXETIL SUSPENSION

Bill of Materials			
Scale (mg/mL)	Item	Material Name	Qty/L (g)
25.00	1	R-Cefuroxime axetil	25.00
0.40 mL	2	Sorbitol solution 70%	0.40 L
20.00	3	Saccharin	20.00
QS	4	Water purified	QS to 1 L

MANUFACTURING DIRECTIONS

- Place the sorbitol solution and 20% of item 5 in a mixing vessel.
- Add item 1, and mix vigorously to form a suspension.
- Add items 3 and any flavors, if needed, and mix.
- Bring to volume.
- Fill.

CETIRIZINE HYDROCHLORIDE SYRUP

Bill of Materials			
Scale (mg/5 mL)	Item	Material Name	Qty/L (g)
5.00	1	Cetirizine hydrochloride	1.03
1750.00	2	Lycosin 80/55	350.00
600.00	3	Sorbitol 70%	120.00
5.00	4	Sodium citrate	1.00
300.00	5	Propylene glycol	60.00
4.50	6	Methyl paraben	0.90
0.50	7	Propyl paraben	0.10
3.75	8	Saccharin sodium	0.75
10.00	9	Flavor raspberry	2.00
QS	10	Water purified	QS to 1 L

MANUFACTURING DIRECTIONS

- Charge 30% of item 10 in a stainless steel jacketed kettle and heat to 90°C to 95°C.
- Add and dissolve items 6 and 7; cool to 40°C.
- Add to preceding step item 4 and item 8, and mix to dissolve.
- Add items 2, 3, and 5, and mix to dissolve.
- In a separate vessel, place 30% of item 10 and add to it item 1, mix to dissolve, and then add to step 4.
- Add flavor(s), and bring to volume with item 10.

CHLOPHEDIANOL, IPECAC, EPHEDRINE, AMMONIUM CHLORIDE, CARBINOXAMINE, AND BALSAM TOLU SYRUP

Bill of Materials			
Scale (mg/ tablet)	Item	Material Name	Qty/L (g)
0.001 mL	1	Ipecac fluid extract	1.00 mL
5.00	2	Chlophedianol hydrochloride	5.00
1.32	3	Ephedrine hydrochloride (powder)	1.32
8.80	4	Ammonium chloride (reagent- grade granules)	8.80
0.80	5	Carbinoxamine maleate	0.80
0.90	6	Methylparaben	0.90
0.10	7	Propylparaben	0.10
6.25	8	Balsam of Tolu (eq. aqueous extract)	6.25
2.66	9	Saccharin sodium (dihydrate powder)	2.66
319.22	10	Sucrose (granulated sugar)	319.22
238.33	11	Glucose liquid (corn syrup)	238.33
83.93	12	Sorbitol solution (calculate as 70% sorbitol crystals)	83.93
40.00	13	Alcohol	40.00
166.67 mcg	14	FD&C Red dye (Amaranth E123)	166.67 mg
0.80	15	Raspberry flavor	0.80
100.00	16	Propylene glycol	100.00
QS	17	HyFlo filter aid	0.50
QS	18	Water purified	~450.00 mL

MANUFACTURING DIRECTIONS

- Charge balsam of Tolu and 25 mL of water in a steam bath.
- Raise the temperature, stirring continuously to mix water with the balsam.
- Boil for half an hour, and allow to decant while cooling.
- Discard extracted balsam of Tolu.
- Filter the supernatant liquid through filter paper, and store apart.
- Charge 150 mL water in a jacketed mixing tank, and heat to boiling.
- Add and dissolve parabens with mixing.
- Add and dissolve sugar with constant mixing.
- Heat to 70°C to 75°C.
- Once sugar is dissolved, add glucose, sorbitol, and saccharin sodium. Mix well until dissolved.
- Dissolve ammonium chloride in 28 mL water.
- Add to mixing tank.
- Add extract of balsam of Tolu from first step with mixing. Mix well, and cool to 25°C to 30°C.

- Add and dissolve ephedrine and carbinoxamine in 20 mL water, and add to mixing tank. Mix well.
- Add and dissolve chlophedianol in 50 g of propylene glycol, and add to mixing tank.
- Add balance of propylene glycol to mixing tank.
- Add and dissolve Ipecac fluid extract and raspberry flavor in alcohol.
- Add to mixing tank.
- Dissolve dye in 5 mL water, and add to tank with continuous mixing.
- Rinse container with 5 mL of water, and add rinsings.
- Adjust to volume with purified water.
- Add HyFlo filter aid to syrup, and mix well.
- Recirculate through filter press or equivalent until sparkling clear.

CHLOPHEDIANOL, IPECAC, EPHEDRINE, AMMONIUM CHLORIDE, CARBINOXAMINE, AND BALSAM TOLU SYRUP

Bill of Materials			
Scale (mg/ml)	Item	Material Name	Qty/L (g)
0.001 mL	1	Ipecac fluid extract	1.000 mL
5.000	2	Chlophedianol hydrochloride	5.000
1.320	3	Ephedrine hydrochloride	1.320
8.800	4	Ammonium chloride	8.800
0.800	5	Carbinoxamine maleate	0.800
0.900	6	Methylparaben	0.900
0.100	7	Propylparaben	0.100
6.250	8	Balsam, tolu (aqueous extract)	6.250
2.660	9	Saccharin sodium powder dihydrate	2.660
319.220	10	Sucrose (sugar, granulated)	319.22
238.330	11	Glucose liquid (corn syrup)	238.33
83.933	12	Sorbitol solution 70%	83.93
40.000	13	Alcohol (ethanol)	40.000
166.670	14	Dye red	0.160
0.800	15	Flavor	0.800
100.000	16	Propylene glycol	100.000
QS	17	Filter aid HyFlo	0.500
QS	18	Water purified	~450.000 mL

MANUFACTURING DIRECTIONS

- Charge balsam tolu and 25 mL of water in a steam bath.
- Raise the temperature, stirring continuously, to mix water with balsam. Boil for half an hour, and allow decanting while cooling. Discard extracted balsam tolu. Filter the supernatant liquid through filter paper, and store apart.
- Charge 150 mL water in a jacketed mixing tank; heat to boiling.

- Add and dissolve parabens with mixing. Add and dissolve sugar with constant mixing. Heat to 70°C to 75°C.
- Once sugar is dissolved, add glucose, sorbitol, and saccharin sodium.
- Mix well until dissolved.
- Dissolve ammonium chloride in 28 mL water. Add to mixing tank.
- Add extract balsam tolu with mixing.
- Mix well, and cool to 25°C to 30°C. Add and dissolve ephedrine and carbinoxamine in 20 mL water, and add to mixing tank. Mix well.
- Add and dissolve chlophedianol in 50 g of propylene glycol, and add to mixing tank. Add balance of propylene glycol to mixing tank.
- Add and dissolve Ipecac fluid extract and flavor raspberry in alcohol. Add to mixing tank. Dissolve dye in 5 mL water; add to tank with continuous mixing.
- Rinse container with 5 mL of water, and add rinsings.
- Adjust to volume with purified water.
- Add filter aid HyFlo to syrup and mix well.
- Recirculate through filter press or equivalent until sparkling clear.

CHLORAMPHENICOL PALMITATE ORAL OR TOPICAL EMULSION (2.5% = 250 mg/10 mL)

FORMULATION

- Chloramphenicol palmitate, 2.5 g; Lutrol E 400, 4 g; Cremophor RH 40, 4 g
- Sucrose, crystalline, 40 g; water, 40 g
- Water, add 100 mL

MANUFACTURING DIRECTIONS

- Mix components I at 70°C to obtain a clear solution.
- Cool to 40°C, and add this solution slowly to the well-stirred solution II.
- Fill up with III to 100 mL.

CHLORAMPHENICOL PALMITATE ORAL OR TOPICAL EMULSION (5% = 500 mg/10 mL)

FORMULATION

- Chloramphenicol palmitate, 5 g; Lutrol E400, 6 g; Cremophor RH 40, 4 g
- Sucrose, crystalline, 40 g; preservative, QS; water, 45 g

MANUFACTURING DIRECTIONS

- Mix components I at 70°C to obtain a clear solution, and cool to approximately 40°C.
- Add the warm solution II slowly to the well-stirred solution I.

CHLORAMPHENICOL OPHTHALMIC SOLUTION

Bill of Materials

Scale (mg/mL)	Item	Material Name	Qty/L (g)
30.00	1	Chloramphenicol	30.00
150.00	2	Kollidon® 25	150.00
QS	3	Preservatives	QS
QS	4	Water purified	QS to 1 L

MANUFACTURING DIRECTIONS

- Charge 90% of item 4 in a stainless steel jacketed vessel and heat to 90°C to 95°C.
- Add and dissolve preservatives.
- Add and dissolve item 2.
- Add and stir item 1 until a clear solution is obtained.
- Optionally add 0.2% to 0.5% cysteine as antioxidant to prevent discoloration of item 2.

CHLORAMPHENICOL PALMITATE ORAL OR TOPICAL EMULSION

Bill of Materials

Scale (mg/mL)	Item	Material Name	Qty/L (g)
25.00	1	Chloramphenicol palmitate	25.00
40.00	2	Lutrol E 400	40.00
40.00	3	Cremophor RH 40	40.00
400.00	4	Sucrose	400.00
400.00	5	Water purified	400.00
QS	6	Water purified	QS to 1 L

Bill of Materials

Scale (mg/mL)	Item	Material Name	Qty/L (g)
50.00	1	Chloramphenicol palmitate	50.00
60.00	2	Lutrol E 400	60.00
40.00	3	Cremophor RH 40	40.00
400.00	4	Sucrose	400.00
450.00	5	Water purified	450.00
QS	6	Water purified	QS to 1 L

MANUFACTURING DIRECTIONS

- Charge items 1 to 3 in a suitable stainless steel jacketed vessel. Heat to 70°C to obtain a clear solution.
- Cool to 40°C.
- In a separate vessel, add and dissolve items 4 and 5, and then add this solution to step 2.
- Bring to volume with item 6. Mix.

CHLORHEXIDINE GEL**Bill of Materials**

Scale (mg/g)	Item	Material Name	Qty/kg (g)
20.00	1	Chlorhexidine diacetate	20.00
300.00	2	1,2-Propylene glycol (pharma)	300.00
220.00	3	Lutrol F 127	220.00
460.00	4	Water	460.00

MANUFACTURING DIRECTIONS

1. Dissolve chlorhexidine diacetate in propylene glycol at >70°C.
2. Stir well, and slowly add Lutrol F 127 and water.
3. Maintain the temperature until the air bubbles escape.
4. A clear, colorless gel is obtained.

CHLORPHENIRAMINE MALEATE SYRUP**Bill of Materials**

Scale (mg/5 mL)	Item	Material Name	Qty/L (g)
2.00	1	Chlorpheniramine maleate	0.40
3000.00	2	Sucrose	600.00
4.50	3	Methylparaben	0.90
1.50	4	Propylparaben	0.30
1.00	5	Citric acid (monohydrate)	0.20
2.40	6	Sodium citrate	0.48
2.00	7	Green banana flavor	0.40
—	8	Purified water	QS to 1 L

MANUFACTURING DIRECTIONS

1. Add 500 g of purified water to the manufacturing vessel, and heat to 95°C to 98°C.
2. Add items 3 and 4 while mixing to dissolve at high speed.
3. Mix for 5 minutes.
4. Add item 2 while mixing at slow speed.
5. Maintain a temperature of 95°C to 98°C.
6. Mix for 1 hour at high speed.
7. Cool down to 30°C while mixing at slow speed.
8. Dissolve items 5 and 6 in 20 g of cooled purified water (25°C).
9. Transfer the solution to the manufacturing vessel while mixing at high speed.
10. Mix for 2 minutes.
11. Add 8 g of cold purified water (25–30°C) in a separate container, and dissolve item 1 by using stirrer.
12. Mix for 10 minutes, and transfer to the manufacturing vessel.

13. Rinse the container with 2 g of cooled purified water (25°C), and transfer the rinsings to the manufacturing vessel while mixing at high speed.
14. Add item 7 to the manufacturing vessel while mixing.
15. Mix for 10 minutes at high speed.
16. Bring the volume up to 1 L with purified water, and finally, mix for 15 to 20 minutes at high speed.
17. Check and record the pH (limit: 5.0–5.2 at 25°C).
18. If required, adjust pH with 10% citric acid or 10% sodium citrate solution.
19. Filter the syrup at 1.5 bar.
20. Bubble the syrup with nitrogen gas.

CHLOROXYLENOL SURGICAL SCRUB

Chloroxylenol 3% and cocamidopropyl PG-dimonium chloride phosphate 3%. Inactive ingredients: water, sodium lauryl sulfate, cocamide DEA, propylene glycol, cocamidopropyl betaine, citric acid, tetrasodium EDTA, aloe vera gel, hydrolyzed animal protein, and D&C Yellow No. 10. In addition, chloroxylenol 5%, terpineol 10%, absolute alcohol 20%, soft potassium soap 8.5%, and caramel 25% and lemon oil QS in a water base.

CICLOPIROX TOPICAL SOLUTION

Each gram of Penlac nail lacquer (ciclopirox) topical solution, 8%, contains 80 mg ciclopirox in a solution base consisting of ethyl acetate, isopropyl alcohol, and butyl monoester of poly(methylvinyl ether/maleic acid) in isopropyl alcohol. Ethyl acetate and isopropyl alcohol are solvents that vaporize after application.

Bill of Materials

Scale (mg/mL)	Item	Material Name	Qty/L (g)
80.00	1	Ciclopirox	80.00
330.00	2	Ethyl acetate	330.00
300.00	3	Butyl monoester of poly(methylvinyl ether/maleic acid) in isopropyl alcohol (50%)	300.00
QS	4	Isopropyl alcohol	QS to 1 L

MANUFACTURING DIRECTIONS

1. Place item 4 in a suitable stainless steel vessel in an explosion-proof room.
2. Add item 2 and item 3 in a separate vessel, mix, and add to step 1.
3. Add item 1 and mix; seal immediately.

CIMETIDINE SYRUP

Bill of Materials			
Scale (mg/5 mL)	Item	Material Name	Qty/L(g)
200.00	1	Cimetidine; use cimetidine hydrochloride	45.80
0.161 mL	2	Alcohol	32.50 mL
5.000	3	Methylparaben	1.00
1.000	4	Propylparaben	0.20
20.000	5	Pluronic F68	4.00
0.500 mL	6	Propylene glycol	100.00 mL
20.000	7	Saccharin sodium	4.00
15.000	8	Sodium chloride	3.00
27.000	9	Disodium hydrogen phosphate	5.40
0.500 mL	10	Sorbitol solution 70%	100.00 mL
2.070 g	11	Sucrose	414.00
0.050	11	Yellow dye	0.01
0.0014	12	Flavor	0.28 mL
0.0014	13	Flavor	0.28 mL
2.000	14	Sweetener additional	0.40
QS	15	Water purified	QS to 1 L

MANUFACTURING DIRECTIONS

- Place items 3 and 4 in a stainless steel vessel, and add 70% item 15; heat to 80°C to 90°C to dissolve.
- In a separate vessel, add and mix items 5 through 11.
- Add step 2 to step 1.
- Add and dissolve remaining items, and mix.
- Fill.

CIPROFLOXACIN HYDROCHLORIDE AND HYDROCORTISONE OTIC SUSPENSION

Ciprofloxacin hydrochloride and hydrocortisone otic suspension contains the synthetic broad-spectrum antibacterial agent ciprofloxacin hydrochloride, combined with the anti-inflammatory corticosteroid hydrocortisone, in a preserved, nonsterile suspension for otic use. Each milliliter contains ciprofloxacin hydrochloride (equivalent to 2 mg ciprofloxacin), 10 mg hydrocortisone, and 9 mg benzyl alcohol as a preservative. The inactive ingredients are polyvinyl alcohol, sodium chloride, sodium acetate, glacial acetic acid, phospholipon 90HB (modified lecithin), polysorbate, and purified water. Sodium hydroxide or hydrochloric acid may be added for adjustment of pH.

Bill of Materials

Scale (mg/mL)	Item	Material Name	Qty/L (g)
2.00	1	Ciprofloxacin (use ciprofloxacin hydrochloride)	2.33
10.00	2	Hydrocortisone	10.00
1.00	3	Polysorbate 80	1.00
20.00	4	Polyvinyl alcohol	20.00
1.50	5	Phospholipon 90H (lecithin)	1.50
9.00	6	Benzyl alcohol	9.00
7.00	7	Acetic acid glacial	7.00
4.10	8	Sodium acetate trihydrate	4.10
9.00	9	Sodium chloride	9.00
QS	10	Hydrochloric acid 1 N for pH adjustment	QS
QS	11	Sodium hydroxide 1 N for pH adjustment	QS
QS	12	Water purified	QS to 1 L

MANUFACTURING DIRECTIONS

- Use well-passivated stainless steel vessels; use only sodium vapor lamps or yellow light in the manufacturing area. Avoid forming foam during transfer of liquids.
- Place approximately 1 L of item 12 in a suitable vessel, heat to 90°C to 95°C, and then cool to 20°C to 25°C under a nitrogen environment and hold for later use for premixing, rinsing, and final volume makeup.
- To 50% of volume of item 11, add item 4 at 90°C to 95°C.
- Add and mix item 5 while maintaining nitrogen blanket cover. Cool to 40°C to 50°C.
- Add and mix item 6 and cool to 20°C to 25°C.
- In a separate vessel, mix acetic acid, sodium chloride, and sodium acetate trihydrate in approximately 10% of item 12 as prepared in step 1.
- In a separate vessel, place item 2 and item 3 and 30% of item 12, mix, and then pass through a micronizing chamber.
- Add to step 6, and mix well.
- Add item 1, 20% of item 12, and portions of item 7 to a separate vessel, and then add to the main batch.
- Bring to volume.
- Adjust pH to 4.75 using item 10 or 11 as needed. Fill.

CISAPRIDE SUSPENSION

Bill of Materials			
Scale (mg/5 mL)	Item	Material Name	Qty/L (g)
5.00	1	Cisapride; use cisapride monohydrate	1.04
9.00	2	Methylparaben	1.80
1.00	3	Propylparaben	0.20
1000.00	4	Sucrose	200.00
50.00	5	Microcrystalline cellulose (Avicel™ RC 591)	10.00
12.50	6	Methylcellulose 4000	2.50
5.00	7	Sodium chloride	1.00
2.50	8	Polysorbate 80 (Tween 80)	0.50
2.50	9	All fruit flavor	0.50
—	10	Water purified	QS to 1 L

MANUFACTURING DIRECTIONS

Cisapride dispersion should be uniformly mixed or levigated. Avicel™ RC-591 and methylcellulose dispersion should be uniform and smooth.

- Mix item 8 in 100 g of item 10 (35–40°C) in a stainless steel vessel, using stirrer. Add item 1 and mix to make smooth dispersion and keep aside. Check the smoothness of dispersion.
- Add 185 g of item 10 to a suitable mixer, and heat to 90°C to 95°C. Dissolve items 2 and 3 while mixing. Add and dissolve item 4 while mixing.
- Cool down to approximately 50°C to 55°C.
- Filter the syrup through T-1500 filter pads (8–10) washed with purified water. Collect the syrup in clean stainless steel tank. Avoid any loss of syrup quantity.
- Disperse item 6 in 150 g of hot item 10 (70–80°C) in mixer while mixing.
- Mix and homogenize at temperature 70°C to 80°C, mixer speed 18 rpm, homogenizer high speed, and vacuum 0.4 to 0.6 bar for 5 minutes.
- Cool down to 25°C to 30°C with continuous mixing. Check the smoothness of dispersion.
- Disperse item 5 in 250 g of item 10 (25–30°C) in stainless steel vessel, using stirrer. Keep on stirring for 30 minutes to make smooth dispersion. Check the smoothness of dispersion.
- Transfer syrup to mixer. Transfer Avicel™ mucilage to mixer.
- Mix at high homogenizer speed and under vacuum for 5 minutes.
- Dissolve item 7 in 10 g of item 10, and add to mixer while mixing. Add drug dispersion to mixer.
- Rinse the drug container with 40 g of item 10, and add the rinsings to mixer.
- Add item 9 to mixer while mixing.
- Add item 10 up to final volume 1 L.
- Finally, mix and homogenize for 5 minutes at mixer speed 18 rpm, homogenizer at high speed, and vacuum 0.4 to 0.6 bar.
- Check the suspension for homogeneity. Transfer the suspension through 630 micron sieve to the stainless steel storage tank, previously sanitized.

CITALOPRAM HYDROBROMIDE ORAL SOLUTION

Celexa oral solution contains citalopram HBr equivalent to 2 mg/mL citalopram base. It also contains the following inactive ingredients: sorbitol, purified water, propylene glycol, methyl paraben, natural peppermint flavor, and propyl paraben.

CLARITHROMYCIN SUSPENSION, 125 mg/5 ml RECONSTITUTED

Bill of Materials		
Item	Material Name	Qty/kg (g)
1	Clarithromycin	35.47
2	Carbopol 974P	21.28
3	Polyvinylpyrrolidone K90	4.96
4	Water purified	145 mL
5	Hydroxypropyl methylcellulose phthalate HP-55	43.17
6	Castor oil	4.56
7	Acetone, approximate	172 mL
8	Ethanol, approximate	164 mL
9	Potassium sorbate	5.96
10	Sucrose	600.80
11	Maltodextrin	67.58
12	Water purified	10 mL
13	Xanthan gum	1.08
14	Flavor dry	10.14
15	Silicon dioxide	1.42
16	Citric acid	1.20
17	Titanium dioxide	10.14
18	Maltodextrin	13.50
19	Sucrose	QS to 1 kg

MANUFACTURING DIRECTIONS

- This product requires coated clarithromycin granules. Add polyvinylpyrrolidone to water and mix.
- Use water to granulate a blend of clarithromycin and Carbopol 974P.
- Dry granules at 70°C until loss on drying is NMT 5%.
- Collect fraction between 177 and 420 microns.
- Regranulate smaller particles to meet this range.
- Blend the reggranulate from step 5.

7. Prepare coating solution by adding ethanol, acetone, hydroxypropyl methylcellulose phthalate, and castor oil in a mixing vessel; mix until solution is clear.
8. Coat granules in step 6 in a particle coater, and dry to loss on drying of NMT 5%.
9. Sift coated granules, and retain the fraction between 149 and 590 microns.
10. In a separate vessel, dissolve potassium sorbate in purified water.
11. Blend sucrose and the maltodextrin until a homogeneous mix is achieved.
12. Granulate the step 11 mixture with step 10.
13. Dry the granulation until loss on drying is NMT 1%.
14. Mill dried granules, and blend.
15. Mix to clarithromycin-coated granules in appropriate quantity, add silicon dioxide, and blend. Fill appropriate quantity.
16. Reconstitute 3.13 g to yield 125 mg/5 mL solution.

CLINDAMYCIN PHOSPHATE TOPICAL SOLUTION

Cleocin T topical solution and Cleocin T topical lotion contain clindamycin phosphate at a concentration equivalent to 10 mg clindamycin per milliliter. Cleocin T topical gel contains clindamycin phosphate at a concentration equivalent to 10 mg clindamycin per gram. Each Cleocin T topical solution pledget applicator contains approximately 1 mL of topical solution. Clindamycin phosphate is a water-soluble ester of the semi-synthetic antibiotic produced by a 7(S)-chlorosubstitution of the 7(R)-hydroxyl group of the parent antibiotic lincomycin. The solution contains isopropyl alcohol 50% v/v, propylene glycol, and water.

CLOTRIMAZOLE TOPICAL SOLUTION

Bill of Materials			
Scale (mg/mL)	Item	Material Name	Qty/L (g)
30.00	1	Clotrimazole	30.00
300.00	2	Cremophor RH 40	300.00
QS	3	Preservatives	QS
340.00	4	Alcohol	340.00
330.00	5	Water purified	QS to 1 L

MANUFACTURING DIRECTIONS

1. Place items 1 and 2 in a stainless steel jacketed mixing vessel. Heat to 60°C, and mix well.
2. In a separate vessel, place items 3 to 5 at 90°C, and add to step 1.
3. Mix well, and fill.

CLOTRIMAZOLE TOPICAL SOLUTION (3%)

FORMULATION

- I. Clotrimazole, 3 g; Cremophor RH 40, 30 g
- II. Preservative, QS; ethanol 96%, 34 g; water, 33 g

MANUFACTURING

Dissolve clotrimazole in Cremophor RH 40 at approximately 60°C, stir strongly, and slowly add the hot solution II.

CODEINE PHOSPHATE AND ACETAMINOPHEN ELIXIR

Each 5 mL of elixir contains codeine phosphate 12 mg, acetaminophen 120 mg, alcohol 7%, citric acid, propylene glycol, sodium benzoate, saccharin sodium, sucrose, natural and artificial flavors, and FD&C Yellow No. 6.

COLISTIN SULFATE, NEOMYCIN, THONZONIUM BROMIDE, AND HYDROCORTISONE OTIC SUSPENSION

Cortisporin-TC otic suspension with neomycin and hydrocortisone (colistin sulfate–neomycin sulfate–thonzonium bromide–hydrocortisone acetate otic suspension) is a sterile aqueous suspension containing in each milliliter: colistin base activity, 3 mg (as the sulfate); neomycin base activity, 3.3 mg (as the sulfate); hydrocortisone acetate, 10 mg (1%); thonzonium bromide, 0.5 mg (0.05%); polysorbate 80, acetic acid, and sodium acetate in a buffered aqueous vehicle. Thimerosal (mercury derivative), 0.002%, is added as a preservative. The suspension is a nonviscous liquid, buffered at pH 5, for instillation into the canal of the external ear or direct application to the affected aural skin.

COTRIMOXAZOLE ORAL SUSPENSION**Bill of Materials**

Scale (mg/mL)	Item	Material Name	Qty/L (g)
40.00	1	Trimethoprim micronized (98% particles less than 50 microns)	8.00
200.00	2	Sulfamethoxazole powder (100% particles less than 50 microns)	40.00
20.00	3	Magnesium aluminum silicate (Veegum HV)	4.00
22.50	4	Carboxymethylcellulose sodium	4.50
350.00	5	Glycerin	70.00
400.00	6	Propylene glycol	80.00
5.00	7	Polyvinylpyrrolidone (polyvinylpyrrolidone K-30)	1.00
20.00	8	Polysorbate 80	4.00
12.50	9	Colloidal silicon dioxide (Aerosil® 200)	2.50
375.00	10	Sorbitol (70% solution)	75.00
5.00	11	Saccharin sodium	1.00
3.00	12	Citric acid	0.60
2200.00	13	Sucrose	440.00
5.00	14	Methylparaben	1.00
1.50	15	Propylparaben	0.30
0.035	16	Raspberry red color	0.007
0.025	17	FD&C Red No. 40	0.005
5.00	18	Banana flavor	1.00
5.00	19	Apricot flavor	1.00
—	20	Water purified	QS to 1 L

MANUFACTURING DIRECTIONS

- Disperse item 4 in item 5 in a stainless steel vessel, using stirrer. Check that the dispersion is even.
- Disperse item 3 in the dispersion of items 4 and 5 (sodium CMC-glycerol) at step 1, using stirrer. Check that the final dispersion is even.
- Add 100 g of hot item 20 (75–85°C) to the dispersion at step 2 while stirring to make the mucilage. Mix for 30 minutes using stirrer.
- Keep aside the mucilage, for hydration, overnight in a well-closed container.
- Add item 6 in a stainless steel container, and mix items 2 and 1 while mixing, using stirrer to make homogeneous slurry.
- Add 100 g of cold item 20 (25–30°C) in a stainless steel container, and dissolve item 7 to make a clear solution. Add item 8 while mixing to make a clear solution; then add item 9 while mixing at slow speed.
- Transfer the mix from step 6 to the slurry of sulfa-trimethoprim step 3 while mixing.
- Mix for 30 minutes.
- Add item 10 to the slurry. Mix for 10 minutes.
- Add 250 g of item 20 in mixer, and heat to 90°C to 95°C.
- Add items 14 and 15 while mixing to dissolve; homogenize at high speed for 2 minutes.
- Add item 13 to the parabens solution at step 11. Mix well to dissolve completely.
- Cool down to 30°C.
- Filter the syrup through T-1500 filters using filter press. (Wash the filters with cooled item 20 approximately 100 mL before use.) Collect the filtered syrup in stainless steel containers.
- Wash the mixer with item 20.
- Load items 4 and 3 (CMC-Veegum) mucilage from step 2 to the mixer. Homogenize while mixing for 2 minutes at high speed under vacuum 0.4 to 0.6 bar, mixer speed 20 rpm, and temperature 25°C. Check the suspension for uniformity.
- Load the sulfa-trimethoprim slurry from step 5 to the mixer. Homogenize while mixing for 10 minutes at high speed under vacuum 0.4 to 0.6 bar, mixer speed 20 rpm, and temperature 25°C. Check the suspension for uniformity.
- Transfer the sugar syrup from step 7 to the mixer. Homogenize while mixing for 2 minutes at high speed under vacuum 0.4 to 0.6 bar, mixer speed 20 rpm, and temperature 25°C. Check the suspension for uniformity.
- Dissolve item 12 in 4 g of cooled item 20, and transfer to the mixer while mixing.
- Dissolve item 11 in 10 g of cooled item 20, and transfer to the mixer while mixing.
- Dissolve items 16 and 17 and FD&C Red No. 40 in 1 g of cooled item 20, and transfer to the mixer while mixing.
- Mix items 18 and 19, and transfer to the mixer while mixing.
- Add cold item 20 to make up the volume to 1 L.
- Set the mixer on high speed, 20 rpm, manual mode, vacuum 0.4 to 0.6 bar, and temperature 25°C. Mix for 15 minutes.
- Check and record the pH (limit: 5.5–5.8) at 25°C. If required, adjust pH with 10% citric acid or 10% sodium citrate solution.
- Transfer the suspension through 630 micron sieve to the stainless steel storage tank, previously sanitized by 70% ethanol.

CROMOLYN SODIUM NASAL SPRAY

Each milliliter of NasalCrom nasal spray contains 40 mg of cromolyn sodium in purified water with 0.01% benzalkonium chloride to preserve and 0.01% EDTA to stabilize the solution. Each metered spray releases the same amount of medicine, 5.2 mg cromolyn sodium.

CROMOLYN SODIUM ORAL CONCENTRATE

Each 5 mL ampule of oral concentrate contains 100 mg cromolyn sodium in purified water. It is an unpreserved, colorless

solution supplied in a low-density polyethylene plastic unit-dose ampule with 8 ampules per foil pouch.

CROSPROVIDONE ORAL SUSPENSION (2000 MG/10 ML)

FORMULATION

Kollidon® CL-M, 20 g; sorbitol, crystalline, 10 g; Kollidon® 90F, 2 g; preservatives, QS; flavor, QS; water, add 100 mL.

MANUFACTURING DIRECTIONS

Dissolve sorbitol, Kollidon® 90F, the preservatives, and the flavors in the water; add Kollidon® CL-M; and homogenize by shaking.

CYCLOSPORIN ORAL SOLUTION

Cyclosporin oral solution: Each milliliter contains cyclosporin 100 mg and alcohol 12.5% by volume dissolved in an olive oil, Labrafil M 1944CS (polyoxyethylated oleic glycerides), vehicle that must be further diluted with milk, chocolate milk, or orange juice before oral administration.

Bill of Materials

Scale (mg/mL)	Item	Material Name	Qty/L (g)
100.00	1	Cyclosporin	100.00
125.00	2	Alcohol	125.00
532.00	3	Olive oil	532.00
242.50	4	Labrafil M 1944CS	242.50

MANUFACTURING DIRECTIONS

- Place items 2 to 4 in a mixing vessel, and stir well.
- Homogenize step 1.
- Add item 1, and homogenize again.
- Fill.

CYCLOSPORIN SOFT GELATIN CAPSULES

Cyclosporin capsules are available in 25 and 100 mg strengths. Each 25 or 100 mg capsule contains cyclosporin 25 mg and alcohol 12.7% by volume. Inactive ingredients: corn oil, gelatin, glycerol, Labrafil M 2125CS (polyoxyethylated glycolized glycerides), red iron oxide, sorbitol, titanium dioxide, and other ingredients.

DESMOPRESSIN ACETATE NASAL SPRAY

Desmopressin acetate is a synthetic analog of the natural pituitary hormone 8-arginine vasopressin, an antidiuretic hormone affecting renal water conservation. It contains 1.5 mg/mL desmopressin acetate in an aqueous solution adjusted with hydrochloric acid to pH 4; chlorobutanol (5 mg) and sodium

chloride (9 mg) are the inactive ingredients. The compression pump delivers 0.1 mL (150 µg) of solution per spray; 2.5 mL bottle.

DEXAMETHASONE ELIXIR

Dexamethasone elixir contains 0.5 mg of dexamethasone in each 5 mL. Benzoic acid, 0.1%, is added as a preservative. It also contains alcohol 5%. Inactive ingredients are FD&C Red No. 40, flavors, glycerin, purified water, and sodium saccharin.

DEXTROMETHORPHAN AND CHLORPHENIRAMINE MALEATE SOLUTION

Bill of Materials

Scale (mg/mg)	Item	Material Name	Qty/kg (g)
14.70	1	Dextromethorphan base	14.70
2.60	2	Chlorpheniramine maleate	
QS	2	Vehicle (Pluronic F 127 55.67%, ethanol 26.55%, and water 17.79%)	QS to 1 kg
3.00	3	Sodium saccharin	3.00
QS	4	Flavors and colors (menthol, eucalyptus oil, and benzocaine)	QS
0.50	5	Monoammonium glycyrrhizinate	0.50

MANUFACTURING DIRECTIONS

- Mill and screen the menthol and benzocaine to reduce the product particle size.
- Add the menthol, benzocaine, sodium saccharin, and monoammonium glycyrrhizinate into a clean vessel.
- Add eucalyptus oil and ethanol to the vessel.
- Subsequently, add the poloxamer and water to the vessel. Mix until uniform.

DEXTROMETHORPHAN, PSEUDOEPHEDRINE, AND CHLORPHENIRAMINE MALEATE SYRUP

Bill of Materials

Scale (mg/mL)	Item	Material Name	Qty/L (g)
2.00	1	Dextromethorphan hydrobromide	2.00
4.00	2	D-Pseudoephedrine hydrochloride	4.00
0.40	3	Chlorpheniramine maleate	0.40
25.00	4	Sorbitol syrup	25.00
0.20	5	Saccharin sodium	0.20
3.00	6	Hydroxyethyl cellulose (Natrosol®)	3.00
2.50	7	Sodium benzoate	2.50
1.05	8	Banana flavor	1.05
1.10	9	Custard flavor	1.10
1.20	10	Trisodium citrate dihydrate (powder)	1.20
QS	11	Deionized water	QS 1 L

MANUFACTURING DIRECTIONS

- In a suitable stainless steel vessel, combine sorbitol syrup, hydroxyethyl cellulose, and deionized water; mix well.
- Add sodium benzoate, and stir again for 5 minutes.
- After obtaining a clear solution, stir the hydroxyethyl cellulose suspension, rinse the container with deionized water, and transfer the rinsings to the vessel.
- Heat the vessel to 40°C to 50°C and stir the mix for 1 hour.
- After 1 hour, a clear gel without lumps is obtained.
- Dilute the gel with sorbitol syrup, and cool to 30°C.
- In a separate vessel, add deionized water and heat while stirring to 50°C.
- After reaching this temperature, dissolve, in this order, dextromethorphan hydrobromide, chlorpheniramine maleate, and pseudoephedrine hydrochloride and saccharin sodium.
- Cool the solution to 25°C.
- In a suitable stainless steel container, add deionized water, and while stirring, dissolve trisodium citrate under 0.6 bar vacuum and high speed.
- Transfer the active substance solution to the syrup vehicle.
- Rinse the vessel twice with deionized water.
- Add while stirring (low) the custard and banana flavors.
- Mix for 10 minutes.
- Then, while stirring, add the solution from previous step; keep stirring for 15 minutes at moderate speed.
- Stop stirring, and check pH (5.9–6.2); adjust with 10% trisodium citrate solution; after each addition, where necessary, stir for 5 minutes before recording pH again.

- Finally, make up the volume with deionized water, and stir once more for 15 minutes under vacuum (0.6 bar) at moderate speed.
- Stop stirring, and remove vacuum; check final volume once more.
- Filter the clear syrup under compressed air pressure, first through a filter of 330 µm and then through a 20 µm filter of propylene type.

DEXTROMETHORPHAN LIQUID

Bill of Materials

Scale (mg/mL)	Item	Material Name	Qty/L (g)
22.00	1	Dextromethorphan base	22.00
QS	2	Vehicle (Pluronic 33.56%, ethanol 10.51%, water 13.42%, propylene glycol 42.51%) ^a	QS to 1 L
1.00	3	Sodium metabisulfite	1.00
1.00	4	Disodium EDTA	1.00
4.00	5	Sodium saccharin	4.00
1.50	6	Monoammonium glycyrrhizinate	1.50
5.00	7	Acesulfame	5.00
14.00	8	Flavor	14.00

^a Alternate vehicle composition: Pluronic F 27 29.08%, ethanol 10.51%, water 24.61%, propylene glycol 35.80%. Second alternate vehicle: Pluronic F127 40.27%, ethanol 10.51%, water 13.42%, propylene glycol 35.80%.

MANUFACTURING DIRECTIONS

- Add propylene glycol and poloxamer to a clean vessel (main mix).
- While stirring, heat the mixture as appropriate to sufficiently melt the poloxamer.
- Once a uniform solution is obtained, remove from heat source, and continue mixing.
- In a separate vessel (alcohol premix), add alcohol, dextromethorphan base, and monoammonium glycyrrhizinate, and mix until uniform.
- In another vessel (water premix), add water, EDTA, sodium saccharin, acesulfame, and sodium metabisulfite. Mix until all materials are dissolved.
- Add the alcohol-containing premix to the main mixing vessel containing the poloxamer.
- Mix until uniform.
- While stirring, add the water-containing premix to the main vessel, and continue to mix until uniform.
- Add desired flavor component, and mix until uniform.
- The preparation has a viscosity of approximately 0.67 Pa seconds and a triggered viscosity ratio at a 50% dilution with water of 10.5. If using alternate vehicle composition (see table footnote), the preparation has a viscosity of approximately 0.97 Pa seconds and a triggered viscosity ratio at a 50% dilution with

water of 4.95. If using the second alternate vehicle, the preparation has a viscosity of approximately 2.14 Pa seconds and a triggered viscosity ratio at a 50% dilution.

DEXTROMETHORPHAN LIQUID

Bill of Materials			
Scale (mg/mL)	Item	Material Name	Qty/L (g)
QS	1	Vehicle (Pluraflo 1220 40.90%, ethanol 10.22%, propylene glycol 46.83%, anhydrous glycerin 2.05%)	QS to 1 L
22.00	2	Dextromethorphan base	22.00
QS	3	Flavors	QS

MANUFACTURING DIRECTIONS

1. Weigh the dextromethorphan into a clean vessel, add the ethanol, and begin mixing.
2. Add propylene glycol, and mix until uniform and clear.
3. Add Pluraflo and mix. Add glycerin, and mix until uniform.
4. Add desired flavor component, and mix until uniform.

DEXTROMETHORPHAN, PSEUDOEPHEDRINE, AND CHLORPHENIRAMINE MALEATE SYRUP

Bill of Materials			
Scale (mg/tablet)	Item	Material Name	Qty/L (g)
20.00	1	Dextromethorphan hydrobromide	20.00
40.00	2	D-Pseudoephedrine hydrochloride	40.00
4.00	3	Chlorpheniramine maleate	4.00
250.00	4	Sorbitol syrup	250.00
2.00	5	Saccharin sodium	2.00
30.00	6	Hydroxyethyl cellulose (Natrosol HHY)	30.00
25.00	7	Sodium benzoate	25.00
10.50	8	Banana flavor	10.50
11.00	9	Custard flavor	11.00
12.00	10	Trisodium citrate dihydrate powder	12.00
QS	11	Water purified	QS

MANUFACTURING DIRECTIONS

1. In a suitable vessel, add sorbitol syrup, hydroxyethyl cellulose, and purified water; mix well.

2. Add sodium benzoate, and stir again for 5 minutes.
3. After obtaining clear solution, put under stirring hydroxyethyl cellulose suspension, rinse the container with purified water, and transfer the rinsings to the vessel.
4. Heat the vessel to 40°C to 50°C, and keep the mix stirring for 1 hour.
5. After 1 hour, a clear gel without lumps is obtained.
6. The gel is then diluted with sorbitol syrup and cooled to 30°C.
7. In a separate vessel, add purified water, and heat under stirring to 50°C.
8. After reaching this temperature, dissolve sequentially dextromethorphan hydrobromide, chlorpheniramine maleate and pseudoephedrine hydrochloride, and saccharin sodium.
9. Cool the solution to 25°C.

DEXTROMETHORPHAN SOLUTION

10. In a suitable stainless steel container, add purified water, and under stirring, dissolve trisodium citrate under 0.6 bar and high speed.
11. The active substance solution from step 10 is transferred to the syrup vehicle.
12. The vessel is rinsed twice with purified water.
13. In the larger vessel, add under stirring (low) the custard flavor and banana flavor, and mix for 10 minutes.
14. Then, under stirring, add the solution from step 13; keep stirring for 15 minutes at moderate speed.
15. Stop stirring, and check pH (5.9–6.2); adjust with 10% trisodium citrate solution; after each addition, where necessary, stir for 5 minutes before recording pH again.
16. Finally, make up the volume with purified water, and stir once more for 15 minutes under vacuum (0.6 bar) at moderate speed. Stop stirring and vacuum; check final volume once more.
17. Clear syrup is filtered under compressed air pressure first through a filter of 330 microns and then through a 20 micron filter of propylene type.

Bill of Materials			
Scale mg/mg	Item	Material Name	Qty/kg (g)
14.70	1	Dextromethorphan base	14.70
QS	2	Vehicle (Pluronic F 127 55.51%, ethanol 26.48%, and water 18.01%)	QS to 1 kg
3.00	3	Sodium saccharin	3.00
QS	4	Flavors and colors	QS
0.50	5	Monoammonium glycyrrhizinate	0.50

MANUFACTURING DIRECTIONS

1. Add the dextromethorphan base, sodium saccharin, and monoammonium glycyrrhizinate into a clean vessel.
2. Add ethanol and then the poloxamer and water. Mix until clear and uniform.
3. Good pourable formula.

Dextrose, Levulose, and Phosphoric Acid Solution Emetrol is an oral solution containing balanced amounts of dextrose (glucose) and levulose (fructose) and phosphoric acid with controlled hydrogen ion concentration. Available in original lemon-mint or cherry flavor. Each 5 mL teaspoonful contains dextrose (glucose), 1.87 g; levulose (fructose), 1.87 g; phosphoric acid, 21.5 mg; glycerin; methyl paraben; purified water; and D&C Yellow No. 10 and natural lemon-mint flavor in lemon-mint Emetrol, and FD&C Red No. 40 and artificial cherry flavor in cherry Emetrol.

DIAZEPAM RECTAL SOLUTION**aBill of Materials**

Scale (mg/2.5 mL)	Item	Material Name	Qty/L (g)
10.00	1	Diazepam	4.00
2.50	2	Benzoic acid	1.00
250.00	3	Alcohol	100.00
1000.00	4	Propylene glycol	400.00
122.50	5	Sodium benzoate	49.00
37.50	6	Benzyl alcohol	19.00
QS	7	Water purified	QS to 1 L

MANUFACTURING DIRECTIONS

1. Dissolve benzoic acid in absolute alcohol previously warmed to 35°C.
2. Add diazepam to step 1; stir to dissolve.
3. Separately mix together polypropylene glycol and benzyl alcohol.
4. Separately dissolve sodium benzoate in one-fourth quantity of purified water, and filter through a 0.6 µm Millipore filter.
5. Under heavy stirring, mix together steps 2 and 3.
6. Bring to volume with water under stirring, and filter through a 0.22 µm Millipore filter.
7. Fill solution into rectal tubes; fill volume 2.9 mL.

DICLOFENAC ORAL SOLUTION**Bill of Materials**

Scale (mg/mL)	Item	Material Name	Qty/L (g)
15.00	1	Diclofenac sodium	15.00
25.00	2	Kollidon® 30	25.00
5.00	3	Cremophor RH 40	5.00
400.00	4	Sucrose crystalline	400.00
QS	5	Water purified	QS to 1 L

MANUFACTURING DIRECTIONS

1. Dissolve items 2 to 5 in a suitable stainless steel vessel.
2. Add item 1, and dissolve.
3. Fill.

DIDANOSINE FOR ORAL SOLUTION

Videx buffered powder for oral solution is supplied for oral administration in single-dose packets containing 100, 167, or 250 mg of didanosine. Packets of each product strength also contain a citrate-phosphate buffer (composed of dibasic sodium phosphate, sodium citrate, and citric acid) and sucrose.

DIGOXIN ELIXIR PEDIATRIC

This is a stable solution of digoxin specially formulated for oral use in infants and children. Each milliliter contains 50 µg (0.05 mg) digoxin. The lime-flavored elixir contains the inactive ingredients alcohol 10%, methyl paraben 0.1% (added as a preservative), citric acid, D&C Green No. 5, D&C Yellow No. 10, flavor, propylene glycol, sodium phosphate, and sucrose. Each package is supplied with a specially calibrated dropper to facilitate the administration of accurate dosage even in premature infants. Starting at 0.2 mL, this 1 mL dropper is marked in divisions of 0.1 mL, each corresponding to 5 µg (0.005 mg) digoxin.

DIHYDROERGOTAMINE MESYLATE DROPS**Bill of Materials**

Scale (mg/mL)	Item	Material Name	Qty/L (g)
2.00	1	Dihydroergotamine mesylate, 10% excess	2.20
153.00	2	Glycerin	153.00
48.25	3	Alcohol	48.25
QS	4	Methanesulfonic acid	QS
QS	5	Sodium hydroxide	QS
QS	6	Water purified	QS to 1 L
QS	7	Nitrogen gas	QS

MANUFACTURING DIRECTIONS

The product is highly susceptible to oxidation and should be manufactured under continuous bubbling and cover of nitrogen; the oxygen level should be below 10 ppm at all times; the nitrogen gas used should be filtered through a 0.45 micron membrane filter; also, protect product from light; all tubing used for transferring product should be of stainless steel, Teflon, or silicon.

1. Heat sufficient quantity of item 6 to 95°C, and hold for 1 hour. Begin bubbling nitrogen for 1 hour; cool slowly to 22°C while continuing to bubble nitrogen.
2. Place glycerin in another suitable glass-lined or stainless steel container.
3. In another stainless steel container, place alcohol, and bubble it with nitrogen for more than 2 hours.
4. Check oxygen levels in step 1 to less than 1 ppm.
5. Flush a suitable tank with nitrogen, transfer approximately 700 mL of purified water from previous step, and begin bubbling nitrogen.
6. Add approximately 40 mL of purified water from step 4 to step 2, and bubble nitrogen again for 1 hour; do not discontinue bubbling throughout manufacturing process.
7. Weigh the alcohol container, add 49 g of alcohol to water in preceding step, and stir.
8. Dilute approximately 0.03 mL of methanesulfonic acid with purified water to make a 20% solution; measure pH, and adjust to 3.25.
9. Add item 1 to batch, and stir until completely dissolved.
10. Add glycerin/water mixture to the batch, and adjust volume to 995 mL.
11. Dissolve 4 g of sodium hydroxide in 100 mL purified water, and use this solution to adjust pH of step 10 to 3.75; stir for 1 minute, and recirculate for at least 5 minutes.
12. Adjust the volume to 1 L with item 6.
13. Filter through previously sterilized 0.22 micron filter, and fill in presterilized amber-colored bottle with nitrogen flushing.

DIPHENHYDRAMINE AND AMMONIUM CHLORIDE SYRUP**Bill of Materials**

Scale (mg/5 mL)	Item	Material Name	Qty/L (g)
131.50	1	Ammonium chloride	26.30
15.00	2	Caramel	5.00
11.00	3	Citric acid	2.20
13.50	4	Diphenhydramine hydrochloride	2.70
200.00	5	Alcohol	40.00
318.00	6	Glycerin	63.60
1.10	7	Menthol	0.22
5.00	8	Flavor	1.00
9.80	9	Saccharin sodium	1.96
12.00	10	Sodium benzoate	2.40
2750.00	11	Sugar	550.00
QS	12	Water purified	QS to 1 L

MANUFACTURING DIRECTIONS

1. Place one-half of item 12 in a suitable stainless steel mixing vessel, heat to 90°C to 95°C, and add and mix item 11. Mix for 1 hour at 90°C to 95°C.
2. Cool to room temperature.
3. In separate vessels, place 100 mL of item 12 in each, and mix items 3, 4, and 10 separately. Then, mix them all together, and stir well.
4. Add item 6 to step 2, and mix well.
5. In 100 mL of water, dissolve item 4, and add to step 4.
6. Dissolve item 2 in 100 mL of water, and add to step 5.
7. In a separate vessel, place item 5, and add and mix items 7 and 8.
8. Add step 7 into step 6, and make up volume.

DIPHENHYDRAMINE HYDROCHLORIDE LIQUID**Bill of Materials**

Scale (mg/5 mL)	Item	Material Name	Qty/L (g)
12.50	1	Diphenhydramine hydrochloride	2.50
1000.00	2	Lycasin 80/55	200.00
12.00	3	Sodium benzoate	2.40
4.40	4	Citric acid monohydrate	0.88
7.60	5	Sodium citrate	1.52
5.00	6	Saccharin sodium	1.00
250.00	7	Propylene glycol	50.00
1.25	8	Menthol	1.25
5.00	9	Flavor	1.00
QS	10	Water purified	QS to 1 L

MANUFACTURING DIRECTIONS

1. Place 600 mL of item 10 in a stainless steel vessel, and bring to boil; cool to 40°C to 50°C.
2. Add and mix items 2 to 4, and stir to dissolve; mix for another 10 minutes.
3. In a separate vessel, place 100 mL of item 10, and add and mix item 6.
4. In a separate vessel, place 100 mL of item 10, and add and mix item 1. Add to step 1.
5. Add steps 2 and 3 to step 1, and mix well.
6. Add item 2, and mix again.
7. In a separate vessel, add and mix item 7 to 9. Add to step 6, and make up volume.
8. Fill.

DORNASE-ALPHA INHALATION SOLUTION

Each Pulmozyme single-use ampule will deliver 2.5 mL of the solution to the nebulizer bowl. The aqueous solution contains 1.0 mg/mL dornase alfa, 0.15 mg/mL calcium chloride dihydrate, and 8.77 mg/mL sodium chloride. The solution contains no preservative. The nominal pH of the solution is 6.3.

DOXERCALCIFEROL CAPSULES

Doxercalciferol, the active ingredient in Hectorol, is a synthetic vitamin D analog that undergoes metabolic activation in vivo to form 1(alpha),25-dihydroxyvitamin D₂ (1(alpha),25-(OH)₂D₂), a naturally occurring biologically active form of vitamin D₂. Hectorol is available as soft gelatin capsules containing 2.5 µg doxercalciferol. Each capsule also contains fractionated triglyceride of coconut oil, ethanol, and butylated hydroxyanisole. The capsule shells contain gelatin, glycerin, titanium dioxide, and D&C Yellow No. 10.

DYPHYLLINE, GUAIFENESIN ELIXIR

Each 15 mL (one tablespoonful) of elixir contains dyphylline 100 mg, guaifenesin 100 mg, alcohol (by volume) 17%, citric acid, FD&C Yellow No. 6, flavor (artificial), purified water, saccharin sodium, sodium citrate, and sucrose.

ELECTROLYTE LAVAGE SOLUTION

Bill of Materials			
Scale (mg/mL)	Item	Material Name	Qty/L (g)
60.00	1	Polyethylene glycol 3350	60.00
1.46	2	Sodium chloride	1.46
0.75	3	Potassium chloride	0.75
1.68	4	Sodium bicarbonate	1.68
5.68	5	Sodium sulfate	5.68
0.81	6	Flavor	0.81

MANUFACTURING DIRECTIONS

The values given in the BOM pertain to solution on reconstitution of one flavor pack. When dissolved in sufficient water to make 4 L, the final solution contains 125 mEq/L sodium, 10 mEq/L potassium, 20 mEq/L bicarbonate, 80 mEq/L sulfate, 35 mEq/L chloride, and 18 mEq/L polyethylene glycol 3350. The reconstituted solution is isoosmotic and has a mild, salty taste. Colyte flavor packs are available in citrus berry, lemon lime, cherry, and pineapple. This preparation can be used without the Colyte flavor packs and is administered orally or via a nasogastric tube. Each citrus berry flavor pack (3.22 g) contains hydroxypropyl methylcellulose 2910, citrus berry powder, saccharin sodium, and colloidal silicon dioxide. Each lemon lime flavor pack (3.22 g) contains lemonlime NTA powder, hydroxypropyl methylcellulose 2910, Prosweet® powder natural, saccharin sodium, and colloidal silicon dioxide. Each cherry flavor pack (3.22 g) contains hydroxypropyl methylcellulose 2910, artificial cherry powder, saccharin sodium, and colloidal silicon dioxide. Each pineapple flavor pack (3.22 g) contains hydroxypropyl methylcellulose 2910, pineapple flavor powder, Magnasweet, saccharin sodium, and colloidal silicon dioxide.

EPLERENONE SOLUTION

Bill of Materials			
Scale (mg/L or mL/L)	Item	Material Name	Quantity mg or mL/L
2.50 mg	1	Eplerenone	2.50 mg/L
200 mL	2	Ethanol	200 mL
100 mL	3	Propylene glycol	100 mL
100 mL	4	Glycerol 70%	100 mL
QS	5	Water	QS

ERYTHROMYCIN DROPS

Bill of Materials			
Scale (mg/2.5 mL)	Item	Material Name	Qty/kg (g)
	1	Sodium carboxymethyl cellulose	0.41
	2	Dye Red FD&C No. 3	0.13
	3	Sucrose	796.81
	4	Sodium citrate dihydrate	52.60
	5	Sodium carboxymethyl cellulose	13.10
	6	Magnesium aluminum silicate type IB Veegum F	7.90
	7	Water purified	66 mL
100.00	8	Erythromycin; use erythromycin ethylsuccinate citrate, washed (850 µg/mg)	123.50
	9	Flavor	3.94

MANUFACTURING DIRECTIONS

Erythromycin ethylsuccinate (item 9) is factored in based on the potency used in the Bill of Materials. Excess of up to 5% erythromycin may be included. The weight of sugar (item 3) is adjusted to compensate for potency variation and excess of the erythromycin ethylsuccinate to maintain the standard quantity at 1000 g.

1. Dissolve the sodium carboxymethyl cellulose (item 1) and the dye (if used) in 50 mL hot purified water. Stir until the sodium carboxymethyl cellulose is completely in solution. Allow to cool before using.
2. Screen the sucrose through a 2 mm aperture screen into a mixer.
3. Mill the remaining ingredients, with the exception of the flavor, through a 1-B band (1.27 mm aperture or similar) or 0 band (686 micron aperture or similar) with impact forward at high speed, or screen through a 840 micron aperture screen.
4. Load the milled or screened ingredients into the mixer with the screened sucrose, and dry blend for not less than 5 minutes.
5. Mass with the solution from step 1 and QS using purified water, if necessary. Mixer must not be stopped, and the sides must be scraped down several times during the massing operation to minimize the presence of white particles in the final granulation. Do not allow massed granules to stand.
6. Screen the wet mass through a 16 mm aperture mesh (hammer mill) or a 4 mm aperture screen (oscillating granulator), and spread evenly onto trays.
7. Dry granules in an oven at between 49°C and 55°C to NMT 1.0% loss on drying (15 minutes Brabender, or equivalent, at 105°C), or loss on drying at 60°C at 5 mm of mercury for 3 hours.
8. Screen the cooled, dried granules through a 1.19 mm aperture screen, and grind coarsely through 2-AA band (1.98 mm aperture, or similar), medium speed, knives forward, or screen through a 1.4 mm aperture screen on an oscillating granulator. Protect granules from excessive exposure to moisture.
9. Screen the flavor through a 600 micron aperture screen with an equal portion of granulation.
10. Fill into suitable approved bottles at the theoretical fill weight.

ERYTHROMYCIN TOPICAL SOLUTION**Bill of Materials**

Scale (mg/mL)	Item	Material Name	Qty/L (g)
500.00	1	Polyethylene glycol 400	100.00
20.00	2	Erythromycin; use erythromycin base, 15% excess	25.55
0.32	3	Acetone	65.40 mL
77% (v/v)	4	Alcohol	840.00 mL
QS	5	Nitrogen gas	QS

MANUFACTURING DIRECTIONS

Product is sensitive to moisture. Every effort should be made to avoid exposure or incorporation of moisture into the product, because the stability of the final product is affected. Check mixing tank to make sure it is clean and dry. Mixing tank must be purged with nitrogen gas, as directed, at the start of and during manufacture to replace most of the air in the mixing tank and to reduce the possibility of fire or explosion if there should be a spark.

Transfer and filling hose lines must be approved for use with solvents.

1. Place polyethylene glycol 400 into a suitable nitrogen-purged tank; keep nitrogen cover and purging on.
2. Add and mix acetone.
3. Add item 2 (quantity adjusted for potency) and mix.
4. Turn the agitator, sample, and adjust volume.

ESTRADIOL NASAL SPRAY**MANUFACTURING DIRECTIONS**

1. Place 2.6 g of estradiol into a pressure-addition vessel, and dissolve with stirring in 405.6 g of ethanol in which 0.26 g of oleic acid has previously been dissolved.
2. After sealing and evacuation thereof, add, with stirring, 6.7 kg of HFA 134a (propellant) that has previously been aerated with carbon dioxide and adjusted to a pressure of at most 6.5 bar (20°C) in another pressure-addition vessel.
3. Dispense the formulation obtained into aluminum containers sealed with metering valves by means of the pressure-filling technique.

ETHCHLORVYNOL GELATIN CAPSULE (200 MG)

Bill of Materials			
Scale (mg/ capsule)	Item	Material Name	Qty/1000 capsules (g)
200.00	1	Ethchlorvynol	200.00
150.00	2	Polyethylene glycol 400	150.00
211.00	3	Gelatin colored opaque	211.00
—	4	Acetone, approximate ^a	86.00

^a Used for cleaning purposes only and not present in final product.

MANUFACTURING DIRECTIONS

Polyethylene glycol should be weighed into clean, dry, light-resistant containers and sealed under nitrogen protection. Bulk container should be flushed with nitrogen and resealed.

1. Mix ethchlorvynol, polyethylene glycol 400, and glycerin (if used) in an open stainless steel drum until uniform.
2. Cover with loose-fitting polyethylene cover, permitting gas to escape. Fumes will discolor metal. Retest if held for more than 1 month before encapsulating.
3. Mix gelatin to uniform consistency with minimal introduction of air. Encapsulate using the drug mixture into 1000 capsules using gelatin mass red opaque and 6.6 m size die roll.
4. Dry 3 days in a drying room at 20°C to 22°C and 22% to 33% relative humidity or lower.
5. Inspect and remove culls. Optionally, wash with acetone, or rinse twice with methylene chloride if used in place of acetone.
6. Finishing: fill.

EUCALYPTOL SOLUTION

Bill of Materials			
Scale (mg/mL)	Item	Material Name	Qty/L (g)
80.00	1	Eucalyptol	80.00
40.00	2	Cremophor RH 40	40.00
QS	3	Preservative	QS
QS	4	Water	QS to 1 L

MANUFACTURING DIRECTIONS

1. Mix eucalyptol and Cremophor at 65°C, stir well, and slowly add the warm solution of item 3 to produce a clear or slightly opalescent, colorless liquid.

EUCALYPTOL SOLUTION (8%)**FORMULATION**

- I. Eucalyptol, 8 g; Cremophor RH 40, 4 g
- II. Preservative, QS; water, add 100 mL

MANUFACTURING DIRECTIONS

1. Mix eucalyptol and Cremophor at 65°C, stir well, and add slowly the warm solution II.

EUCALYPTUS AND MINT EMULSION

Bill of Materials			
Scale (mg/mL)	Item	Material Name	Qty/L (g)
427.50	1	Distilled water	427.50
375.00	2	Eucalyptamint	375.00
70.00	3	Sodium stearyl lactylate (Pationic® SSL)	70.00
35.00	4	PEG-20 hydrogenated lanolin (Supersat ANS4)	35.00
17.50	5	Ritasynt IP	17.50
80.00	6	Cetearyl alcohol, polysorbate 60, PEG-15 stearate, and steareth-20 (Ritachol 1000)	80.00

MANUFACTURING DIRECTIONS

1. Heat item 1 to 71°C.
2. Combine rest of the ingredients in another container, and heat to 71°C.
3. Slowly add water at 71°C, and mix for 1 hour.
4. Cool the mixture to 35°C to 45°C and fill.

FENTANYL CITRATE NASAL SPRAY

1. Charge 2.6 g of fentanyl citrate into a pressure addition vessel, and dissolve with stirring in 405.6 g of ethanol in which 0.26 g of oleic acid has previously been dissolved.
2. After sealing and evacuation thereof, 6.7 kg of HFA 134a, which has previously been aerated with carbon dioxide and adjusted to a pressure of at most 6.5 bar (20°C) in another pressure addition vessel, is added with stirring.
3. The formulation obtained is dispensed into aluminum containers sealed with metering valves by means of the pressure-filling technique.

FERROUS SULFATE ORAL SOLUTION

Bill of Materials			
Scale (mg/5 mL)	Item	Material Name	Qty/L (g)
75.00 ^a	1	Ferrous sulfate	125.00
294.00	2	Sucrose	490.00
147.00	3	Maltitol solution (Lycasin 80/55)	245.00
0.30	4	Citric acid (monohydrate)	0.50
0.90	5	Citric acid (monohydrate)	1.50
0.060	6	FD&C Yellow No. 6 (sunset yellow FCF)	1.00
3.120	7	Guarana flavor 12144-33	5.20
0.33	8	Potassium sorbate	0.55
0.30	9	Saccharin sodium	0.50
—	10	Water purified	QS to 1 L

^a Equivalent to 15 mg iron (Fe).

MANUFACTURING DIRECTIONS

Bubble nitrogen throughout the process. Check and record pH of item 10 (limit: 5.0–6.5).

1. Collect 166.67 g of item 10 in mixer.
2. Heat to 90°C to 95°C for 10 minutes.
3. Add item 8. Stir to dissolve to a clear solution.
4. Add item 2. Stir to dissolve to a clear solution.
5. Add item 3. Stir for 10 minutes, and cool to 30°C to 35°C.
6. Dissolve item 4 in 10 g of item 10 (30–35°C), and add to first step.
7. Dissolve item 9 in 10 g of item 10 (30–35°C), and add to first step.
8. Dissolve item 5 in 273.33 g of item 10 (30–35°C). Then, add item 1 to the clear solution, and dissolve slowly without aeration.
9. Add to mixer at first step.
10. Dissolve item 6 in 10 g of item 10 (25–30°C), and add to first step.
11. Add item 7 to first step. Mix at low speed for 10 minutes.
12. Make volume up to 1 L with item 10.
13. Check and record pH. Target pH: 2.20 (limit: between 1.95 and 5.15).
14. Filter the drops with recirculation.
15. Transfer the filtered drops into storage vessel under nitrogen blanket.
16. Use nitrogen blanket in the tank throughout the storage and filling period.

FERROUS SULFATE ORAL SYRUP

Bill of Materials			
Scale (mg/5 mL)	Item	Material Name	Qty/L (g)
200.000 ^a	1	Ferrous sulfate	40.000
3350.000	2	Sucrose	670.000
750.000	3	Maltitol solution (Lycasin 80/55)	150.000
4.166	4	Citric acid (monohydrate)	0.83
8.334	5	Citric acid (monohydrate)	1.667
0.500	6	Color	0.100
15.500	7	Flavor	3.100
—	8	Water purified	QS to 1 L

^a Equivalent to 40 mg elemental iron.

MANUFACTURING DIRECTIONS

Bubble nitrogen throughout the process.

1. Heat 300 g of item 8 to 95°C.
2. Add item 2 while stirring at low speed.
3. Dissolve to clear solution by stirring at 95°C.
4. Add item 3. Stir at low speed, and cool to 25°C to 30°C.
5. Dissolve item 4 in 17 g of item 8, and add to first step.
6. Dissolve item 5 in 180 g of item 8 in a separate stainless steel container. Then, add item 1 to the clear solution, and dissolve slowly without aeration.
7. Add to first step.
8. Dissolve item 6 in 16 g of item 8, and add to first step.
9. Add item 7 to first step. Mix at low speed for 10 minutes.
10. Make volume up to 1 L with item 8. Check and record pH (limit: between 2 and 5). Filter the syrup at 1.5 bar.
11. Recirculate approximately 100 to 150 mL of syrup.
12. Use nitrogen blanket in the tank throughout the storage period.

FIR NEEDLE OIL SOLUTION

Bill of Materials			
Scale (mg/mL)	Item	Material Name	Qty/L (g)
30.00	1	Fir needle oil (Frey & Lau)	30.00
50.00	2	Camphora	50.00
60.00	3	Cremophor RH 40	60.00
403.00	4	Ethanol (96%)	403.00
457.00	5	Water	457.00

MANUFACTURING DIRECTIONS

1. Mix the active ingredients with Cremophor RH 40, and heat to 50°C to 60°C.
2. Add the ethanol to the well-stirred solution; then, slowly add the warm water to produce a clear or slightly opalescent liquid.
3. The amount of Cremophor RH 40 required depends on the type of fir needle oil.

FLUCONAZOLE ORAL SUSPENSION

Diflucan for oral suspension contains 350 or 1400 mg of fluconazole and the following inactive ingredients: sucrose, sodium citrate dihydrate, citric acid anhydrous, sodium benzoate, titanium dioxide, colloidal silicon dioxide, xanthan gum, and natural orange flavor. After reconstitution with 24 mL of distilled water or purified water, each milliliter of reconstituted suspension contains 10 or 40 mg of fluconazole.

FLUNISOLIDE SPRAY

Nasarel is a metered-dose manual-pump spray unit containing 0.025% w/w flunisolide in an aqueous medium containing benzalkonium chloride, butylated hydroxytoluene, citric acid, EDTA, polyethylene glycol 400, polysorbate 20, propylene glycol, sodium citrate dihydrate, sorbitol, and purified water. Sodium hydroxide or hydrochloric acid may be added to adjust the pH to approximately 5.2. It contains no fluorocarbons. Each 25 mL spray bottle contains 6.25 mg of flunisolide.

FLUOCINONIDE TOPICAL SOLUTION

Lidex topical solution contains fluocinonide 0.5 mg/mL in a solution of alcohol (35%), citric acid, diisopropyl adipate, and propylene glycol. In this formulation, the active ingredient is totally in solution.

FLUOROURACIL SOLUTION

Efudex solution consists of 2% or 5% fluorouracil on a weight/weight basis, compounded with propylene glycol, tris(hydroxymethyl)aminomethane, hydroxypropyl cellulose, parabens (methyl and propyl), and disodium edetate.

FLUOROURACIL TOPICAL SOLUTION

Fluoroplex 1% topical solution contains fluorouracil 1%, propylene glycol, sodium hydroxide or hydrochloric acid to adjust the pH, and purified water.

FLUTICASONE SUSPENSION SPRAY**MANUFACTURING DIRECTIONS**

1. Weigh 2 g of fluticasone propionate and 0.02 g delta-tocopherol into a pressure-addition vessel.

2. After sealing and evacuation of the addition vessel, add, with stirring, 1.5 kg of HFA 134a that has previously been aerated with carbon dioxide and adjusted to a pressure of 4.5 bar (20°C) in another pressure addition vessel.
3. Dispense the suspension obtained into aluminum containers sealed with metering valves by means of the pressure-filling technique.

FOOT BATH

Bill of Materials			
Scale (mg/mL)	Item	Material Name	Qty/L (g)
200.00	1	Polysorbate 20	200.00
2.50	2	Menthol	2.50
10.00	3	α-Bisabolol	10.00
20.00	4	Disodium undecylenamido MEA-sulfosuccinate	20.00
20.00	5	Perfume (menthol compatible)	20.00
QS	6	Deionized water	QS to 1 L
QS	7	Preservative, color	QS

MANUFACTURING DIRECTIONS

1. Predissolve menthol, alpha-bisabolol, and perfume in Polysorbate 20.
2. Add mixture to the water phase while stirring.
3. Stir until homogeneous, and then fill.

FUROSEMIDE SYRUP

Bill of Materials			
Scale (mg/5 mL)	Item	Material Name	Qty/L (g)
5.00	1	Furosemide, 5% excess	1.05
9.00	2	Methylparaben	1.80
1.00	3	Propylparaben	0.20
1500.00	4	Sorbitol 70%	300.00
500.00	5	Glycerin	100.00
500.00	6	Propylene glycol	100.00
0.50	7	FD&C Yellow No. 6	0.10
2.50	8	Orange flavor	0.50
QS	9	Sodium hydroxide	0.44
QS	10	Water purified	QS to 1 L

MANUFACTURING DIRECTIONS

1. Place 20% of item 10 to a suitable stainless steel jacketed vessel.
2. Add items 2 and 3, and heat to 90°C to 95°C to dissolve. Cool to 40°C after complete dissolution.
3. In a separate vessel, place items 4, 5, and 6, and mix well.

4. Dissolve item 9 in a portion of item 10 in a separate vessel.
5. Add item 1 to step 4, and mix well.
6. In a separate vessel, dissolve item 7 in a portion of item 10.
7. Add to step 6.
8. Add step 2 to step 7.
9. Add item 8, and mix well.
10. Fill.

GABAPENTIN ORAL SOLUTION

Gabapentin solution contains 250 mg/5 mL of gabapentin. The inactive ingredients for the oral solution are glycerin, xylitol, purified water, and artificial cool strawberry anise flavor.

GALANTAMINE HYDROBROMIDE ORAL SOLUTION

Reminyl is available as a 4 mg/mL galantamine hydrobromide oral solution. The inactive ingredients for this solution are methyl parahydroxybenzoate, propylparahydroxybenzoate, sodium saccharin, sodium hydroxide, and purified water.

GLUCOSE, FRUCTOSE, AND PHOSPHORIC ACID ANTIEMETIC SOLUTION

Emetrol is an oral solution containing balanced amounts of dextrose (glucose) and levulose (fructose) and phosphoric acid with controlled hydrogen ion concentration. Available in original lemon-mint or cherry flavor. Each 5 mL teaspoonful contains dextrose (glucose), 1.87 g; levulose (fructose), 1.87 g; phosphoric acid, 21.5 mg; glycerin; methyl paraben; purified water; D&C Yellow No. 10; natural lemon-mint flavor in lemon-mint Emetrol; and FD&C Red No. 40 and artificial cherry flavor in cherry Emetrol.

GLYCOL FOAM, NONAQUEOUS

Bill of Materials			
Scale (mg/g)	Item	Material Name	Qty/kg (g)
40.00	1	Polawax A31	4.00
710.00	2	Propylene glycol	71.00
150.00	3	Ethanol DEB100	15.00

MANUFACTURING DIRECTIONS

1. Dissolve Polawax in propylene glycol/ethanol.
2. Pack into containers, and pressurize.
3. Ethanol may be omitted if desired.
4. In aerosol pack, 90% concentrate and 10% propellant 12/114 may be used.

5. Propylene glycol is a suitable vehicle for glycol-soluble medicaments.
6. This formulation provides a mousse for such a system.

GRAMICIDIN OPHTHALMIC SOLUTION

Bill of Materials			
Scale (mg/mL)	Item	Material Name	Qty/L (g)
130.00	1	Gramicidin	130.00
1.00	2	Cremophor RH 40	1.00
10.00	3	Alcohol	10.00
QS	4	Preservatives	QS
QS	5	Water purified	QS to 1 L

MANUFACTURING DIRECTIONS

1. Place items 1 and 2 in a suitable mixing and jacketed vessel; heat to 65°C, and mix.
2. Cool to room temperature.
3. In a separate vessel, add and mix items 3 to 5.
4. Add to step 2. Mix and fill.

GUAIFENESIN, PSEUDOEPHEDRINE, CARBINOXAMINE, AND CHLOPHEDIANOL DROPS

Bill of Materials			
Scale (mg/mL)	Item	Material Name	Qty/L (g)
20.00	1	Guaifenesin	20.00
400.00	2	Sucrose	400.00
240.00	3	Glucose liquid	240.00
120.00	4	Sorbitol solution	120.00
3.00	5	Saccharin sodium powder dihydrate	3.00
2.50	6	Sodium benzoate powder	2.50
30.00	7	Pseudoephedrine hydrochloride	30.00
1.00	8	Carbinoxamine maleate	1.00
6.60	9	Chlophedianol hydrochloride	6.60
105.00	10	Dye red E123 (Amaranth)	105.00 mg
3.75 mcg	11	Dye blue FD&C No. 1	3.75 mg
QS	12	Acid hydrochloric	QS
50.00 mcg	13	Menthol crystals	50.0 mg
2.75	14	Flavors	2.75
65.00 mcg	15	Oil orange terpeneless No. 54125	65.00 mg
5.66	16	Alcohol 190 proof (10% ex)	5.92
0.52 g	17	Filter aid HyFlo	0.52
420.00 g	18	Water purified, distilled approximate	420.00

MANUFACTURING DIRECTIONS

1. Place 260 mL purified water into a suitable tank.
2. Begin heating water to 70°C to 80°C while adding guaifenesin and sucrose with stirring.
3. Continue stirring to dissolve ingredients.
4. Remove heat, and add glucose liquid and sorbitol to solution from step 3 with stirring.
5. Add saccharin sodium, sodium benzoate, pseudoephedrine hydrochloride, carbinoxamine maleate, and chlorpheniramine hydrochloride to solution from step 4. Stir well to dissolve all ingredients.
6. Dissolve dye red E123 and FD&C No. 1 in 10 mL warm, purified water.
7. Add dye solution to solution from step 6 with stirring. Cool solution to 30°C to 35°C.
8. QS to 975 mL using purified water and mix well.
9. Adjust to pH 4.25 (range 4.0–4.5) with hydrochloric acid (ca. 0.65 g/L of drops).
10. Stir well after each addition of acid. Dissolve menthol, flavors, and orange oil in alcohol; add mixture to solution from preceding step with good stirring.
11. Stir the solution slowly for 2 hours.
12. Allow to stand overnight to cool and remove entrapped air.
13. QS to 1 L with purified water, and stir well.
14. Add filter aid HyFlo to solution, and mix well.
15. Recirculate through filter press or equivalent until sparkling clean.

HALOPERIDOL ORAL LIQUID**Bill of Materials**

Scale (mg/mL)	Item	Material Name	Qty/L (g)
2.00	1	Haloperidol	2.00
11.00	2	Lactic acid	11.00
0.20	3	Propylparaben	0.20
1.90	4	Methylparaben	1.90
QS	5	Sodium hydroxide for pH adjustment, approximate	0.24
QS	6	Water purified, approximate	990.00 mL
QS	7	Nitrogen gas	QS
QS	8	Lactic acid	QS

MANUFACTURING DIRECTIONS

1. Charge approximately 700 mL of water into a suitable mixing tank. Add and dissolve lactic acid with stirring; while mixing, add haloperidol. Mix until complete solution (approximately 15 minutes).
2. Charge 240 mL of water into a separate container, and heat to boiling. Add and dissolve methyl- and propylparabens. Mix until complete solution. Add this solution to step 1 solution.

3. Check pH. If necessary, adjust to pH 2.75 (range: 2.5–3.0) with 2% sodium hydroxide. Continue mixing for 10 minutes after addition of sodium hydroxide. Record pH and amount of sodium hydroxide added. Lactic acid (No. 8) may also be used to adjust pH.
4. QS to 1 L with water, and mix well.
5. Filter solution through 8 micron membrane filter (or similar) into a suitable container under nitrogen protection.
6. Fill under nitrogen.

HEPARIN NASAL SPRAY

Charge 5 g of heparin into a pressure-addition vessel, and suspend with stirring 50 g of ethanol in which 0.25 g of lecithin has previously been dissolved. After sealing and evacuation thereof, 1.5 kg of HFA 227 that has previously been aerated with carbon dioxide and adjusted to a pressure of 4.5 bar (20°C) in another pressure addition vessel is added with stirring and homogenized. The suspension obtained is dispensed into aluminum containers sealed with metering valves by means of the pressure-filling technique.

HYDROCODONE BITARTRATE ELIXIR

Each 5 mL contains hydrocodone bitartrate 2.5 mg, acetaminophen 167 mg, and 7% alcohol. In addition, the liquid contains the following inactive ingredients: citric acid anhydrous, ethyl maltol, glycerin, methyl paraben, propylene glycol, propyl paraben, purified water, saccharin sodium, sorbitol solution, sucrose, D&C Yellow No. 10 and FD&C Yellow No. 6 as coloring, and natural and artificial flavoring.

Hydrocodone Polistirex Extended-Release Suspension: Each teaspoonful (5 mL) of Tussionex Pennkinetic extended-release suspension contains hydrocodone polistirex equivalent to 10 mg of hydrocodone bitartrate and chlorpheniramine polistirex equivalent to 8 mg of chlorpheniramine maleate Tussionex. Inactive ingredients: ascorbic acid, D&C Yellow No. 10, ethylcellulose, FD&C Yellow No. 6, flavor, high-fructose corn syrup, methylparaben, polyethylene glycol 3350, polysorbate 80, pregelatinized starch, propylene glycol, propylparaben, purified water, sucrose, vegetable oil, and xanthan gum.

Hydromorphone Hydrochloride Oral Liquid: Hydromorphone hydrochloride, a hydrogenated ketone of morphine, is a narcotic analgesic. Each 5 mL (one teaspoon) contains 5 mg of hydromorphone hydrochloride. In addition, other ingredients include purified water, methylparaben, propylparaben, sucrose, and glycerin. It may contain traces of sodium bisulfite.

HYDROXYZINE PAMOATE ORAL SUSPENSION

Hydroxyzine pamoate 25 mg/5 mL; inert ingredients for the oral suspension formulation are carboxymethylcellulose sodium, lemon flavor, propylene glycol, sorbic acid, sorbitol solution, and water.

HYOSCINE BUTYLBROMIDE SYRUP

Bill of Materials			
Scale (mg/5 mL)	Item	Material Name	Qty/L (g)
5.00	1	Hyoscine butylbromide	1.00
3300.00	2	Sugar	660.00
5.00	3	Methyl paraben	1.00
1.50	4	Propyl paraben	0.30
962.50	5	Sorbitol 70%	19.30
10.00	6	Sodium saccharin	2.00
35.00	7	Sodium chloride	7.00
0.70	8	Citric acid monohydrate	0.14
0.75	9	Sodium citrate	0.15
10.00	10	Flavor	2.00
5.00	11	Flavor	1.00
5.00	12	Flavor	1.00
QS	13	Water purified	QS to 1 L

MANUFACTURING DIRECTIONS

1. In a suitable stainless steel container, place 300 mL item 13, and heat to 90°C to 95°C.
2. Add and dissolve items 3 and 4.
3. Add item 2, and dissolve.
4. Add item 5, and dissolve. Cool to room temperature
5. In 10 mL item 13, add and dissolve items 6 and 7, and add to step 4.
6. In 10 mL item 13, add and dissolve item 8, and add to step 4.
7. In 10 mL item 13, add and dissolve item 7, and add to step 4.
8. In 20 mL item 13, add and dissolve item 1, and add to step 4.
9. Add flavors.
10. Make up volume, and fill.

HYOSCYAMINE SULFATE ELIXIR

Levsin elixir contains 0.125 mg hyoscyamine sulfate per 5 mL with 20% alcohol for oral administration. Levsin elixir also contains, as inactive ingredients, FD&C Red No. 40, FD&C Yellow No. 6, flavor, glycerin, purified water, sorbitol solution, and sucrose.

IBUPROFEN TOPICAL SOLUTION

Bill of Materials			
Scale (mg/mL)	Item	Material Name	Qty/L (g)
QS	1	Vehicle (Pluronic P105 63.16%, ethanol 18.95%, water 17.89%)	QS to 1 L
50.00	2	Ibuprofen	50.00

MANUFACTURING DIRECTIONS

1. Screen the ibuprofen to reduce the particle size.
2. Add the ibuprofen into a clean vessel.
3. Add ethanol to the vessel.
4. Subsequently, add the poloxamer and water to the vessel.
5. Mix until uniform.

IBUPROFEN PEDIATRIC SUSPENSION

Bill of Materials			
Scale (mg/5 mL)	Item	Material Name	Qty/L (g)
100.00	1	Ibuprofen, low density ^a	20.00
3000.00	2	Sucrose	600.00
10.00	3	Sodium benzoate	2.00
5.00	4	Saccharin sodium	1.00
5.00	5	Edetate disodium (sodium EDTA)	1.00
500.00	6	Glycerin (glycerol)	100.00
500.00	7	Sorbitol (70% solution)	100.00
10.00	8	Xanthan gum (Keltrol-F)	2.00
20.00	9	Microcrystalline cellulose (Avicel™ RC591)	4.00
5.00	10	Polysorbate 80 (Tween 80)	1.00
8.50	11	Citric acid	1.70
1.35	12	FD&C Red No. 40	0.27
7.50	13	Mixed fruits flavor	1.50
5.00	14	Strawberry flavor	1.00
QS	15	Purified water	QS to 1 L

^a Meets USP criteria with the following additional requirements: 100% particle size below 50 µm and tapped density of 0.3 to 0.4 g/mL.

MANUFACTURING DIRECTIONS

1. Heat 302 g of item 15 to 90°C, and dissolve item 2 while mixing in mixer.
2. Cool to approximately 50°C.
3. Add items 3, 5, 4, 11, and 7 to mixer while mixing, and dissolve.
4. Filter the syrup through Seitz Supra 2600 filters in clean stainless steel tank.
5. In a clean stainless steel vessel, dissolve item 10 in 35 g of item 15 (40°C).
6. Add item 1 slowly while mixing with stirrer.
7. Mix for 30 minutes to make uniform dispersion. *Caution:* Avoid excessive foaming.
8. Disperse items 8 and 9 in item 6 in a clean and dry stainless steel container using stirrer.
9. Add 75 g of hot item 15 (70–90°C) at once while mixing.
10. Mix for 20 minutes to make a homogeneous smooth mucilage.
11. Add approximately 500 g syrup, ibuprofen dispersion, and mucilage to the mixer.

12. Rinse the containers of ibuprofen dispersion and mucilage with 50 g of item 15 (40°C).
13. Add the rinsings to the mixer.
14. Set the mixer: temperature, 25°C; speed, 18 rpm; and manual mode vacuum at 0.5 bar.
15. Mix for 3 minutes at low homogenizer speed.
16. Mix for 2 minutes at homogenizer high speed. Check the suspension for uniformity of dispersion.
17. Homogenize for additional 3 minutes at high speed, if required.
18. Add the balance of the syrup (approximately 507.6 g) from previous step to the mixer.
19. In a separate container, dissolve item 12 in 6 g of cooled item 15 (40°C), and transfer to the mixer.
20. Add items 13 and 14 to the mixer.
21. Set the mixer: temperature, 25°C; speed, 18 rpm; manual mode vacuum at 0.5 bar.
22. Mix for 15 minutes.
23. Mix for 5 minutes at homogenizer low speed.
24. Mix for 5 minutes at homogenizer high speed.
25. Check the suspension for uniformity.
26. Adjust the final volume to 1 L by using purified water.

1. Place 144 mL of purified water into a mixing tank.
2. Heat to 95°C to 100°C, and add parabens with strong agitation.
3. Add sorbitol solution and citric acid (item 4) while mixing.
4. Bring solution to 90°C while mixing.
5. Cool the solution while mixing to 60°C to 65°C, and hold at this temperature with CO₂ or nitrogen gas bubbling into it.
6. CO₂ gas protection is continued for the remainder of the manufacturing process.
7. Add ferrous sulfate, and dissolve while mixing, holding at 60°C to 65°C.
8. Cool to 25°C with mixing.
9. Add sodium metabisulfite, and dissolve while mixing.
10. Avoid vortex formation.
11. Dissolve dye in 2 mL of freshly boiled purified water, and add to the tank. Mix.
12. Dissolve the guarana flavor in alcohol, add to the tank, and mix.
13. Check pH (range: 1.8–2.2). Adjust if necessary with a solution of 10% sodium hydroxide or a solution of 10% citric acid.
14. Make up to volume with freshly boiled purified water, and mix.
15. Readjust to volume if necessary with freshly boiled purified water, and mix.
16. Add HyFlo filter aid, and mix. Filter through press until clear.
17. Bubble CO₂ or nitrogen gas into the clear filtrate for 5 minutes; then seal tank, and hold product under CO₂ or nitrogen protection.

IRON INFANT DROPS

Bill of Materials

Scale (mg/mL)	Item	Material Name	Qty/L (g)
0.18	1	Propylparaben	0.18
0.022	2	Methylparaben	0.02
1000.00	3	Sorbitol solution	1.00 kg
4.00	4	Citric acid (hydrous powder)	4.00
125.00	5	Iron sulfate	125.00
0.106	6	Sodium metabisulfite	0.10
0.50	7	Guarana flavor (artificial)	0.50
20.00	8	Alcohol (ethanol)	900.14
0.14	9	Dye	0.14
QS	9	Sodium hydroxide	QS
QS	10	Citric acid (powder)	1 QS
QS	11	Purified water	QS to 1 L
QS	12	HyFlo filter aid	1.00
QS	13	Liquid nitrogen	QS
QS	14	Carbon dioxide gas	QS

MANUFACTURING DIRECTIONS

The product is susceptible to oxidation. No effort should be spared to protect it from atmospheric air. Maintain CO₂ or nitrogen atmosphere where indicated. The product must be manufactured and held in a glass-lined or stainless steel tank. Product waiting to be filled should be either in a closed tank with a CO₂ atmosphere or in an open tank covered with polyethylene sheeting taped tightly with a constant slow stream of CO₂ gas flowing into the tank headspace. Avoid vortex formation throughout processing.

IRON POLYSTYRENE AND VITAMIN C SYRUP

Bill of Materials

Scale (mg/mL)	Item	Material Name	Qty/L (g)
125.00	1	Glycerin	125.00
1.40	2	Methylparaben	1.40
0.16	3	Propylparaben	0.16
79.61	4	Sorbitol; use sorbitol solution	364.33
3.30	5	Xanthan gum	3.30
10.00	6	Sucrose (granulated)	100.00
0.20	7	Saccharin (insoluble)	2.00
105.00	8	Elemental iron; use iron polystyrene sulfonate	530.31
50.00	9	Ascorbic acid, USP (35% excess)	61.95
0.10	10	Flavor	1.00 mL
0.10	11	Flavor (artificial guarana)	1.00 mL
QS	12	Sodium hydroxide	QS
QS	13	Dye	2.00
9.50	14	Distilled purified water	~95.00 mL
10.00	15	Sorbitol solution	~10.00

MANUFACTURING DIRECTIONS

1. Add glycerin (item 1) to the tank.
2. Commence heating with agitation.
3. Add and disperse parabens.
4. Continue heating to 70°C to 80°C, and mix until solution is complete.
5. Force cool to 30°C; then add and disperse xanthan gum (item 5).
6. Add sorbitol solution (item 4) and 80 mL of purified water (item 14), and heat with mixing to 60°C to 70°C until the xanthan gum is fully dissolved.
7. Add and disperse saccharin and sugar (items 6 and 7).
8. Mix at 60°C to 70°C until dispersion is complete.
9. Force cool to 25°C to 30°C with continuous mixing.
10. Commence N₂ gas protection, and maintain for the remainder of the manufacturing process.
11. Add and disperse ascorbic acid.
12. Continue mixing for 30 minutes at 25°C to 30°C.
13. *Note:* Use suitable stainless steel high-powered stirrer.
14. Mix the iron polystyrene sulfonate milled slurry in the original epoxy-lined drums under N₂ gas protection until uniform.
15. Add the slurry to the main batch, and mix for 30 minutes at 25°C to 30°C.
16. *Note:* Avoid scraping the epoxy lining of the steel drum while mixing and use a plastic or rubber scraper to assist in complete transfer of the mixed slurry. Add and disperse the flavors. Mix well.
17. Check and record pH. Adjust pH using a 20% sodium hydroxide solution (1 g in 5 mL water) to a value of 3 (range: 2.8–3.2).
18. Dissolve the dye in 5 to 7 mL of water at 40°C to 45°C by stirring for 10 minutes.
19. Add this solution to the main batch through a 420 µm screen with mixing.
20. Rinse container with 2 to 3 mL water at 40°C to 45°C, and add to bulk through a 420 µm screen.
21. Continue to mix under vacuum until mixture is uniform.
22. Pass the suspension through the colloid mill at a gap setting of 100 to 150 µm.
23. Adjust the flow rate such that the temperature rise of the suspension does not exceed 10°C.
24. Collect the milled suspension in a stainless steel jacketed tank with vacuum.
25. Mix at 25°C to 30°C under vacuum until a uniform suspension is achieved.
26. Flush the bulk suspension with nitrogen, and seal.
27. Hold at 25°C to 30°C.

IBUPROFEN SOLUTION**Bill of Materials**

Scale (mg/mL)	Item	Material Name	Qty/L (g)
20.00	1	Ibuprofen	20.00
200.00	2	Cremophor RH 40	200.00
QS	3	Preservatives	QS
QS	4	Water purified	QS to 1 L

MANUFACTURING DIRECTIONS

1. In a suitable stainless steel jacketed vessel, add and suspend item 1 in item 2 by heating it to 60°C.
2. In a separate vessel, add items 3 and 4, heat to 90°C to 95°C to dissolve preservatives, and add to step 1.
3. Mix and fill.

IBUPROFEN SUSPENSION**Bill of Materials**

Scale (mg/mL)	Item	Material Name	Qty/L (g)
40.00	1	Ibuprofen	40.00
250.00	2	Sucrose	250.00
80.00	3	Kollidon® CL-M	80.00
20.00	4	Kollidon® 90F	20.00
20.00	5	Sodium citrate	20.00
QS	6	Water purified	QS to 1 L

MANUFACTURING DIRECTIONS

1. Charge items 2 and 4 to 6 (40%) in a suitable mixer.
2. Add and suspend item 3.
3. Add and disperse item 1. Homogenize if necessary.
4. Bring to volume with item 6. Mix and fill.

IBUPROFEN SUSPENSION, SUGAR FREE**Bill of Materials**

Scale (mg/mL)	Item	Material Name	Qty/L (g)
40.00	1	Ibuprofen	40.00
10.00	2	Cremophor RH 40	100.00
50.00	3	Lutrol F 68	50.00
QS	4	Preservatives	QS
QS	5	Water purified	QS to 1 L

MANUFACTURING DIRECTIONS

1. Dissolve Lutrol F 68 and the preservatives in purified water.

2. In a separate vessel, add and mix items 1 and 2.
3. Add to step 1.
4. Homogenize if necessary.
5. Bring to volume with item 5. Mix and fill.

IBUPROFEN AND DOMPERIDONE MALEATE SUSPENSION

Bill of Materials

Scale (mg/5 mL)	Item	Material Name	Qty/L (g)
200.00	1	Ibuprofen	40.00
20.00	2	Domperidone maleate	4.00
2.50	3	Colloidal cellulose	0.50
15.00	4	Glycerin	3.00
10.00	5	Sorbitol	2.00
1.00	6	Kaolin	0.20
1.00	7	Polysorbate 80	0.20
QS	8	Water	QS

MANUFACTURING DIRECTIONS

1. Add item 7 to the water, followed by the addition of glycerin with stirring.
2. Add the domperidone and ibuprofen and the colloidal cellulose, sorbitol, and kaolin (as thickeners) with continued stirring until a satisfactory suspension is formed.

INSULIN INHALATION SPRAY

Bill of Materials

Scale (mg/mL)	Item	Material Name	Qty/L (g)
10.00	1	Insulin	10.00
9.00	2	Brij 98	9.00
10.00	3	Sodium lauryl sulfate	10.00
200.00	4	Alcohol, anhydrous	200.00
QS	5	HFA 134a (1,1,1,2-tetrafluoroethane)	QS to 1 L

MANUFACTURING DIRECTIONS

1. Weigh insulin in a clean glass container, dissolve in acid buffer, and titrate to a pH of 7 with Tris buffer.
2. Add Brij 98 and sodium lauryl sulfate to the insulin solution to form a homogeneous solution.
3. Lyophilize and suspend dried particles in a nonaqueous suspension medium of ethanol, and then mix with hydrofluoroalkane (HFA) 134a.
4. Fill the formulation in a pressure-resistant container fitted with a metering valve.

IPRATROPIUM BROMIDE INHALATION SOLUTION

Atrovent inhalation solution is administered by oral inhalation with the aid of a nebulizer. It contains ipratropium bromide 0.02% (anhydrous basis) in a sterile, isotonic saline solution, pH adjusted to 3.4 (3–4) with hydrochloric acid.

IPRATROPIUM BROMIDE NASAL SPRAY

Atrovent (ipratropium bromide) nasal spray 0.03% is a metered-dose manual-pump spray unit that delivers 21 μg (70 μL) ipratropium bromide per spray on an anhydrous basis in an isotonic, aqueous solution with pH adjusted to 4.7. It also contains benzalkonium chloride, EDTA, sodium chloride, sodium hydroxide, hydrochloric acid, and purified water. Each bottle contains 165 or 345 sprays.

MANUFACTURING DIRECTIONS

1. 2.25 g of micronized ipratropium bromide and 11.25 g of micronized salbutamol are weighed into a pressure addition vessel.
2. After sealing and evacuation thereof, 10.5 kg of HFA 227 that has previously been aerated with carbon dioxide and adjusted to a pressure of 6.25 bar (20°C) in another pressure addition vessel is added.
3. After homogenization of this mixture, the suspension obtained is dispensed into aluminum containers sealed with metering valves by means of the pressure-filling technique.

ISOPROTERENOL SULFATE AND CALCIUM IODIDE SYRUP

MANUFACTURING DIRECTIONS

Bill of Materials			
Scale (mg/5 mL)	Item	Material Name	Qty/L (g)
1.569	1	Glucose liquid	311.60
269.500	2	Glycerin	53.90
150.000	3	Calcium iodide anhydrous; use calcium iodide solution 27%	111.11
5.000	4	Ascorbic acid	1.00
2.428	5	Sucrose	485.30
4.000	6	Saccharin sodium	0.80
5.000	7	Sodium cyclamate	1.00
6.550	8	Flavor honey	1.31
1.660	9	Flavor mint	0.33
0.260	10	Alcohol 190 proof	51.53
3.000	11	Isoproterenol sulfate	0.60
0.250	12	Dye yellow	0.05
1.250	13	Caramel	0.25
QS	14	Water purified	QS to 1 L

MANUFACTURING DIRECTIONS

1. Charge in a stainless steel tank items 1, 2, 5, 6, 7, and 10 and 90% of item 14. Mix well; heat if necessary.
2. In a separate vessel, add and dissolve items 4, 8, 9, 12, and 13 in item 14; mix well, and add to step 1.
3. Add remaining items, mix, and bring to volume. Fill.

ISOTRETINOIN CAPSULES

Isotretinoin, a retinoid, is available in 10, 20, and 40 mg soft gelatin capsules for oral administration. Each capsule also contains beeswax, butylated hydroxyanisole, EDTA, hydrogenated soybean oil flakes, hydrogenated vegetable oil, and soybean oil. Gelatin capsules contain glycerin and parabens (methyl and propyl) with the following dye systems: 10 mg, iron oxide (red) and titanium dioxide; 20 mg, FD&C Red No. 3, FD&C Blue No. 1, and titanium dioxide; 40 mg, FD&C Yellow No. 6, D&C Yellow No. 10, and titanium dioxide. Chemically, isotretinoin is 13-cis-retinoic acid and is related to both retinoic acid and retinol (vitamin A). It is a yellow-orange to orange crystalline powder with a molecular weight of 300.44.

ITRACONAZOLE ORAL SOLUTION

Itraconazole oral solution contains 10 mg of itraconazole per milliliter, solubilized by hydroxypropyl-(beta)-cyclodextrin (400 mg/mL) as a molecular inclusion complex. The solution is clear and yellowish in color with a target pH of 2. Other ingredients are hydrochloric acid, propylene glycol, purified

water, sodium hydroxide, sodium saccharin, sorbitol, cherry flavor 1, cherry flavor 2, and caramel flavor.

KAOLIN, PECTIN, AND ALUMINUM HYDROXIDE SUSPENSION

Bill of Materials			
Scale (mg/5 mL)	Item	Material Name	Qty/L (g)
147.600	1	Sodium methylparaben	4.92
6.720	2	Sodium propylparaben	0.69
36.000	3	Magnesium aluminum silicate type IA	1.20
5832.000	4	Kaolin (powder)	194.40
130.000	5	Pectin	4.33
120.000	6	Sodium CMC (premium, low viscosity)	4.00
210.000	7	Cyclamate calcium	7.00
21.00	8	Saccharin calcium (powder)	0.70
15.375	9	Flavor	0.51
1.234	10	Flavor	41.13
QS	11	Distilled purified water (approx.)	QS
QS	12	Citric acid (anhydrous powder)	QS
QS	13	Water purified, distilled	QS
QS	14	Acid citric anhydrous powder	QS
63.300	15	Aluminum hydroxide	12.72

MANUFACTURING DIRECTIONS

1. Charge 600 mL of water into a suitable jacketed mixing tank.
2. Add methylparaben and propylparaben to the tank, and heat to 90°C to 95°C.
3. Cool to 70°C, add the magnesium aluminum silicate, and mix for 30 minutes or until evenly dispersed.
4. Hold temperature at 70°C.
5. Add kaolin with constant mixing at 70°C until evenly dispersed.
6. Add pectin and mix for 2 hours, maintaining the temperature of 70°C.
7. Add sodium CMC premium low viscosity and mix for at least 30 minutes, maintaining the temperature at 70°C. Cool to 60°C, and hold at this temperature.
8. Add aluminum hydroxide gel, and mix under vacuum.
9. Add in order cyclamate calcium and saccharin calcium and mix thoroughly for 20 minutes. While mixing, cool to room temperature, and allow to stand overnight to hydrate.
10. After overnight standing (minimum 12 hours), mix for 30 minutes.
11. Add and mix flavors. Check and record pH (range: 4.5–7.5). If pH is more than 7.5, adjust with a 60% solution of citric acid to the desired pH.

12. Add water to 1 L, and mix thoroughly for 3 hours.
13. Strain product through muslin cloth into holding tanks, and cover.

KAOLIN–PECTIN SUSPENSION

Bill of Materials			
Scale (mg/5 mL)	Item	Material Name	Qty/L (g)
147.60	1	Sodium methylparaben	4.92
6.72	2	Sodium propylparaben	0.224
36.00	3	Magnesium aluminum silicate type IA (Veegum)	1.20
5.832 g	4	Kaolin powder	194.40
130.00	5	Pectin	4.33
120.00	6	Sodium CMC premium low viscosity	4.00
210.00	7	Cyclamate calcium	7.00
21.00	8	Saccharin calcium powder	0.70
15.37	9	Flavor	0.51
1.23	10	Flavor	41.13
QS	11	Water purified approximate	QS
QS	12	Acid citric anhydrous powder	QS

MANUFACTURING DIRECTIONS

1. Charge 600 mL of water into a suitable jacketed mixing tank.
2. Add the methylparaben and propylparaben to the tank, and heat to 90°C to 95°C.
3. Cool to 70°C, add the magnesium aluminum silicate, and mix for 30 minutes or until evenly dispersed.
4. Hold temperature at 70°C.
5. Add kaolin with constant mixing at 70°C until evenly dispersed.
6. Add pectin, and mix for 2 hours, maintaining the temperature of 70°C.
7. Add the sodium CMC premium low viscosity and mix for at least 30 minutes, maintaining the temperature at 70°C.
8. Cool to 60°C, and hold at this temperature. Add in order cyclamate calcium and saccharin calcium, and mix thoroughly for 20 minutes.
9. While mixing, cool to room temperature, and allow to stand overnight to hydrate. After overnight standing (minimum 12 hours), mix for 30 minutes.
10. Mix while adding the flavors.
11. Check and record pH (range: 4.5–7.5). If pH is above 7.5, adjust with a 60% solution of citric acid to the desired pH.
12. Add water to 1 L, and mix thoroughly for 3 hours. Strain product through muslin cloth into holding tanks, and cover.

KAOLIN–PECTIN SUSPENSION

Bill of Materials			
Scale (mg/5 mL)	Item	Material Name	Qty/L (g)
147.60	1	Sodium methylparaben	4.920
6.72	2	Sodium propylparaben	0.220
36.00	3	Magnesium aluminum silicate Type IA (Veegum)	1.200
486.60	4	Kaolin powder	0.190
43.40	5	Pectin	4.330
120.00	6	Sodium CMC premium low viscosity	4.000
210.00	7	Cyclamate calcium	7.000
21.00	8	Saccharin calcium	0.700
15.37	9	Flavor	0.510
1.23	10	Flavor	0.041

MANUFACTURING DIRECTIONS

1. Charge 600 mL of water into a suitable jacketed mixing tank.
2. Add the methylparaben and propylparaben to the tank, and heat to 90°C to 95°C.
3. Cool to 70°C, add the magnesium aluminum silicate, and mix for 30 minutes or until evenly dispersed.
4. Hold temperature at 70°C.
5. Add kaolin with constant mixing at 70°C until evenly dispersed.
6. Add pectin, and mix for 2 hours, maintaining a temperature of 70°C.
7. Add the premium low-viscosity sodium CMC and mix for at least 30 minutes, maintaining a temperature of 70°C.
8. Cool to 60°C, and hold at this temperature.
9. Add, in order, cyclamate calcium and saccharin calcium, and mix thoroughly for 20 minutes.
10. While mixing, cool to room temperature, and allow to stand overnight to hydrate.
11. After overnight standing (minimum 12 hours), mix for 30 minutes.
12. Add flavors while mixing.
13. Check and record pH (range: 4.5–7.5). If pH is more than 7.5, adjust with a 60% solution of citric acid to the desired pH.
14. Add water to 1 L, and mix thoroughly for 3 hours.
15. Strain product through muslin cloth into holding tanks, and cover.

KETOPROFEN TOPICAL SOLUTION**Bill of Materials**

Scale (mg/mL)	Item	Material Name	Qty/L (g)
QS	1	Vehicle (pluronic F 127 56.12%, ethanol 30.61, water 13.27%)	QS to 1 L
20.00	2	Ketoprofen	20.00
QS	3	Perfumes	QS

MANUFACTURING DIRECTIONS

1. Screen the ketoprofen to reduce the particle size.
2. Add the ketoprofen into a clean vessel.
3. Add ethanol to the vessel.
4. Subsequently, add poloxamer and water to the vessel.
5. Mix until uniform.

KETOTIFEN SYRUP**Bill of Materials**

Scale (mg/mL)	Item	Material Name	Qty/L (g)
0.20	1	Ketotifen hydrogen fumarate with excess	0.27
0.10	2	Flavor	0.10
0.17	3	Propylparaben	0.17
0.33	4	Methylparaben	0.33
2.10	5	Citric acid anhydrous	2.10
3.20	6	Disodium hydrogen phosphate anhydrous	3.20
20.00	7	Ethanol	20.00
300.00	8	Sucrose	300.00
350.00	9	Sorbitol	350.00
QS	10	Water purified	QS to 1 L

MANUFACTURING DIRECTIONS

1. Take 1.5 L of purified water, heat to 90°C to 95°C, allow to cool down to 30°C, and bubble with nitrogen gas. Keep for batch preparation.
2. Dissolve the parabens in 1 L of ethanol in a separate vessel, and stir until the solution is completely clear. Add citric acid, disodium hydrogen phosphate anhydrous, sucrose, and sorbitol, and stir slowly to dissolve until clear solution is obtained. Cool to room temperature.
3. In a separate container, dissolve ketotifen hydrogen fumarate in ethanol until clear.
4. Add the flavor to the alcoholic solution of ketotifen, and dissolve.
5. Add the alcoholic mixture slowly to the syrup while stirring at room temperature, avoiding entrapment of air.

6. Pass the syrup through 100 mesh screen and then through filter press until sparkling clear.

LAMIVUDINE ORAL SOLUTION

Epivir oral solution is for oral administration. One milliliter of Epivir oral solution contains 10 mg lamivudine (10 mg/mL) in an aqueous solution and the inactive ingredients artificial strawberry and banana flavors, citric acid (anhydrous), methylparaben, propylene glycol, propylparaben, sodium citrate (dihydrate), and sucrose.

One milliliter of Epivir-HBV oral solution contains 5 mg of lamivudine (5 mg/mL) in an aqueous solution and the inactive ingredients artificial strawberry and banana flavors, citric acid (anhydrous), methylparaben, propylene glycol, propylparaben, sodium citrate (dihydrate), and sucrose.

LEVALBUTEROL HYDROCHLORIDE INHALATION SOLUTION

Xopenex (levalbuterol HCl) inhalation solution is supplied in unit-dose vials and requires no dilution before administration by nebulization. Each 3 mL unit-dose vial contains either

0.63 mg of levalbuterol (as 0.73 mg of levalbuterol HCl) or 1.25 mg of levalbuterol (as 1.44 mg of levalbuterol HCl), sodium chloride to adjust tonicity, and sulfuric acid to adjust the pH to 4.0 (3.3–4.5).

LEVOCARNITINE ORAL SOLUTION

Each 118 mL container of Carnitor (levocarnitine) oral solution contains 1 g of levocarnitine/10 mL. It also contains artificial cherry flavor, D,L-malic acid, purified water, and sucrose syrup. Methylparaben and propylparaben are added as preservatives. The pH is approximately 5.

LINEZOLID FOR ORAL SUSPENSION

Zyvox for oral suspension is supplied as an orange-flavored granule/powder for constitution into a suspension for oral administration. Following constitution, each 5 mL contains 100 mg of linezolid. Inactive ingredients are sucrose, citric acid, sodium citrate, microcrystalline cellulose and carboxymethylcellulose sodium, aspartame, xanthan gum, mannitol, sodium benzoate, colloidal silicon dioxide, sodium chloride, and flavors.

LITHIUM CARBONATE SOLUTION

Each 5 mL of syrup for oral administration contains lithium ion (Li⁺) 8 mEq (equivalent to amount of lithium in 300 mg of lithium carbonate) and alcohol 0.3% v/v.

LITHIUM CITRATE SYRUP

Each 5 mL of syrup for oral administration contains lithium ion 8 mEq (equivalent to amount of lithium in 300 mg of lithium carbonate) and alcohol 0.3% v/v. Lithium citrate syrup is a palatable oral dosage form of lithium ion. Lithium citrate is prepared in solution from lithium hydroxide and citric acid in a ratio approximating dilithium citrate.

LOMUSTINE NASAL SPRAY

MANUFACTURING DIRECTIONS

1. Place 112.5 g of micronized lomustine into a pressure addition vessel.
2. After sealing and evacuation thereof, add 10.5 kg of HFA 227 that has been aerated with carbon dioxide and adjusted to a pressure of 4.5 bar (20°C) in another pressure addition vessel, in which 312 g of ethanol has been initially introduced.
3. After homogenization of this mixture, dispense the formulation obtained into aluminum containers sealed with metering valves by means of the pressure-filling technique.

LORACARBEF FOR ORAL SUSPENSION

After reconstitution, each 5 mL of Lorabid for oral suspension contains loracarbef equivalent to 100 (0.286 mmol) or 200 mg (0.57 mmol) anhydrous loracarbef activity. The suspensions also contain cellulose, FD&C Red No. 40, flavors, methylparaben, propylparaben, simethicone emulsion, sodium carboxymethylcellulose, sucrose, and xanthan gum.

LORATADINE SYRUP

Bill of Materials

Scale (mg/5 mL)	Item	Material Name	Qty/L (g)
5.00	1	Loratadine	1.00
3000.00	2	Sucrose	600.00
10.00	3	Sodium benzoate	2.00
2.50	4	Saccharin sodium	0.50
12.50	5	Citric acid (monohydrate)	2.50
250.00	6	Glycerin (glycerol)	50.00
765.00	7	Propylene glycol	153.00
6.87	8	Hydrochloric acid 37% (concentrated)	1.51
6.25	9	All fruit flavor	1.25
1.50	10	Raspberry flavor	0.30
—	11	Water purified	QS to 1 L

MANUFACTURING DIRECTIONS

Hydrochloric acid (concentrated) is very corrosive. Care should be taken during handling. Rubber gloves and protective goggles should be worn during dispensing and manufacturing.

1. Add 380 g of item 11 to a stainless steel manufacturing vessel, and heat to 90°C to 95°C.
2. Add item 2 while mixing at slow speed at a temperature of 90°C to 95°C. Cool to 50°C.
3. Add items 3 to 6 in order while mixing at low speed at 50°C. Mix for 15 minutes at low speed. Cool to 30°C.
4. Take 13.53 g of item 11 in a stainless steel container. Add item 8 carefully. Add hydrochloric acid solution quantity 13.675 g to the manufacturing vessel. Adjust the pH between 2.3 and 2.4. If required, add the additional quantity and record. Discard the remaining quantity. Mix for 5 minutes.
5. Dissolve item 1 in 145 g of item 7 in a stainless steel drum while stirring. Add to the manufacturing vessel.
6. Rinse the stainless steel drum with 8 g of item 7. Transfer to manufacturing vessel.
7. Add items 9 and 10 into manufacturing vessel. Mix for 5 minutes at low speed.
8. Make up the volume to 1 L with item 11.
9. Filter and fill.

MAFENIDE ACETATE TOPICAL SOLUTION

Sulfamylon for 5% topical solution is provided in packets containing 50 g of sterile mafenide acetate to be reconstituted in 1000 mL of sterile water for irrigation or 0.9% sodium chloride irrigation. After mixing, the solution contains 5% w/v of mafenide acetate. The solution is an antimicrobial preparation suitable for topical administration.

MAGALDRATE INSTANT POWDER FOR DRY SYRUP

Bill of Materials

Scale (mg/sachet)	Item	Material Name	Qty/1000 Sachets (g)
800.00	1	Magaldrate	800.00
640.00	2	Kollidon® CL-M	640.00
200.00	3	Sorbitol, crystalline	200.00
40.00	4	Orange flavor	40.00
40.00	5	Kollidon® 90F	40.00
4.00	6	Coconut flavor	4.00
4.00	7	Banana flavor	4.00
0.80	8	Saccharin sodium	0.80
QS	9	Water	~ 280 mL

MANUFACTURING DIRECTIONS

1. Granulate mixture 1 to 4 with solution of items 5 to 9, and pass through a 0.8 mm sieve to obtain free-flowing granules.
2. Fill 2 g in sachets or 20 g in a 100 mL flask. Instant granules in sachets: Suspend 2 g (1 sachet) in a glass of water (800 mg magaldrate).

MAGALDRATE SUSPENSION

Bill of Materials			
Scale (mg/mL)	Item	Material Name	Qty/L (g)
100.00	1	Magaldrate USP	100.00
80.00	2	Kollidon® CL-M	80.00
20.00	3	Kollidon® 90F	20.00
10.00	4	Orange flavor	10.00
0.50	5	Coconut flavor	0.50
0.80	6	Banana flavor	0.80
0.20	7	Saccharin sodium	0.20
QS	8	Preservatives	QS
QS	9	Water	QS to 1 L

MANUFACTURING DIRECTIONS

1. Dissolve or suspend all the solids in water under aseptic conditions; pH should be approximately 9.

MAGALDRATE WITH SIMETHICONE SUSPENSION**Bill of Materials**

Scale (mg/5 mL)	Item	Material Name	Qty/L (g)
QS	1	Distilled purified water	285.00 mL
9.00	2	Methylparaben	1.80
1.00	3	Propylparaben	0.20
5.00	4	Benzoic acid	1.00
3.75	5	Saccharin sodium (dihydrate powder)	0.75
400.00	6	Magaldrate (wet cake; 18–20%)	400.00
1.00 g	7	Sorbitol solution (70%)	260.00
12.50	8	Silicon dioxide (colloidal) (International)	2.50
QS	9	Citric acid (hydrous powder)	QS
200.00	10	Dimethyl polysiloxane emulsion (30%)	40.00
0.005 mL	11	Flavor	1.00 mL
1.26 g	12	Glycerin	252.00
25.00 g	13	Potassium citrate monohydrate	5.00
13.30	14	Xanthan gum	2.66

MANUFACTURING DIRECTIONS

This product is highly prone to microbial contamination. All equipment coming into contact with the product should be treated with a freshly prepared sodium hypochlorite solution (100 ppm) made with freshly boiled and cooled-down water on the day of use. Bottles and caps should also be so treated. Freshly boiled and cooled deionized water should be used for rinsing.

1. Charge 285 mL purified water into a suitable jacketed tank, and heat to 90°C to 95°C.
2. Add and dissolve parabens, benzoic acid, saccharin sodium, and potassium citrate.
3. While maintaining temperature at 85°C to 90°C, add, in small quantities, half the quantity of magaldrate cake or powder, if used, and disperse well.
4. Adjust speed of the agitator and homogenizer to ensure effective mixing and to maintain free mobility of the suspension. Add sorbitol solution and mix well.
5. Raise the temperature, if necessary, maintaining temperature at 85°C to 90°C.
6. Add in small quantities the remaining half of the magaldrate cake or powder, and disperse well.
7. Mix for 1 hour, and then remove heat. (Adjust speed of the agitator and homogenizer to maintain the mobility of suspension.) Separately blend colloidal silicon dioxide with xanthan gum, and disperse the blend in glycerin with constant mixing.
8. While maintaining temperature at 85°C to 95°C, add and disperse the suspension from the previous step to the main tank, and mix well.
9. Avoid lump formation at any stage.
10. Cool to room temperature.
11. Add dimethyl polysiloxane emulsion, and mix well.
12. Add flavor, and mix well.
13. Dissolve citric acid in twice the quantity of purified water, and adjust pH if necessary.
14. Check and record pH (range: 7.5–8.0). Add purified water to volume, and mix well for a minimum of 30 minutes.
15. Filter through a 180 µm aperture nylon cloth, and store in a suitable tank.

MAGALDRATE WITH SIMETHICONE SUSPENSION

Bill of Materials

Scale (mg/5 mL)	Item	Material Name	Qty/L (g)
QS	1	Water purified	QS to 1 L
9.00	2	Methylparaben	1.80
1.00	3	Propylparaben	0.20
5.00	4	Acid benzoic	1.00
3.75	5	Saccharin sodium powder dihydrate	0.75
2.00 g	6	Magaldrate wet cake (18 to 20%)	400.00
1.00 g	7	Sorbitol solution	260.00
12.50	8	Silicon dioxide colloidal (international)	2.50
QS	9	Acid citric powder hydrous	QS
200.00	10	Dimethyl polysiloxane emulsion (30%)	40.00
0.005 mL	11	Flavor	1.000 mL
1.26 g	12	Glycerin	252.00
25.00 g	13	Potassium citrate monohydrate	5.00
13.30	14	Xanthan gum	2.66

MANUFACTURING DIRECTIONS

This product is highly prone to microbial contamination. All equipment coming into contact with the product should be treated with a freshly prepared sodium hypochlorite solution (100 ppm) made with freshly boiled and cooled town water on the day of use. Bottles and caps should also be so treated. Freshly boiled and cooled purified water should be used for rinsing.

1. Charge 285 mL purified water into a suitable jacketed tank, and heat to 90°C to 95°C.
2. Add and dissolve parabens, acid benzoic, saccharin sodium, and potassium citrate.
3. While maintaining temperature at 85°C to 90°C, add, in small quantities, half the quantity of magaldrate cake or powder, if used, and disperse well. (Adjust the speed of agitator and of the homogenizer to ensure effective mixing and to maintain free mobility of the suspension.)
4. Add sorbitol solution and mix well. Raise the temperature, if necessary, maintaining temperature at 85°C to 90°C.
5. Add, in small quantities, the remaining half of magaldrate cake or powder, and disperse well. Mix for 1 hour, and then remove heat. (Adjust the speed of the agitator and of the homogenizer to maintain the mobility of suspension.)

6. Separately blend silicon dioxide colloidal with xanthan gum, and disperse the blend in glycerin with constant mixing.
7. While maintaining temperature at 85°C to 95°C, add and disperse the suspension from previous step to the main tank, and mix well. Avoid lump formation at any stage. Cool to room temperature.
8. Add dimethyl polysiloxane emulsion, and mix well.
9. Add flavor and mix well. Dissolve acid citric in twice the quantity of purified water, and adjust pH if necessary. Check and record pH (range: 7.5–8.0).
10. Add purified water to volume, and mix well for a minimum of 30 minutes.
11. Filter through a 180 micron aperture nylon cloth, and store in a suitable tank.

MEBENDAZOLE ORAL SUSPENSION

Bill of Materials

Scale (mg/5 mL)	Item	Material Name	Qty/L (g)
102.00	1	Mebendazole ^a	20.40
10.00	2	Methylparaben	2.00
1.00	3	Propylparaben	0.20
750.00	4	Propylene glycol	150.00
8.25	5	Sodium citrate	1.65
7.50	6	Saccharin sodium	1.50
0.55	7	Citric acid (monohydrate)	0.11
52.50	8	Microcrystalline cellulose	10.50
25.00	9	Carboxymethylcellulose sodium	5.00
7.50	10	Polysorbate 80	1.50
12.50	11	All fruits flavor	2.50
—	12	Water purified	QS to 1 L

^a 2 mg/5 mL mebendazole added as an extra to compensate the loss on drying and assay of the material.

MANUFACTURING DIRECTIONS

1. Load 300 g of item 12 (25–30°C) in mixer. In it dissolve items 5, 6, and 7 while stirring at a speed of 18 rpm.
2. Dissolve items 2 and 3 in 30 g of item 4 (45°C) in a stainless steel container while stirring by stirrer.
3. Cool to 25°C to 30°C.
4. Add the paraben solution into step 1 while mixing.
5. Disperse item 8 in 200 g of item 12 (25–30°C) in a stainless steel container while stirring by stirrer. Keep aside for 1 hour for complete hydration.
6. Disperse item 9 in 100 g of item 12 (70°C) in a stainless steel container while stirring by stirrer.
7. Cool to 25°C to 30°C. Keep aside for 1 hour for complete gelation. Cooling is necessary for gelation.

8. Dissolve item 10 in 20 g of item 12 (50°C) in a stainless steel container while stirring by stirrer.
9. Cool to 30°C. Add 120 g of item 4 while mixing.
10. Disperse item 1 while mixing. Keep aside for complete levitation.
11. Add the microcrystalline cellulose dispersion and sodium CMC dispersion from step 3 and step 4 into mixer in step 1. Mix and homogenize at mixer speed 18 rpm, homogenizer low speed, and vacuum 0.4 to 0.6 bar for 10 minutes.
12. Add the mebendazole dispersion from step 5 into mixer in step 1. Mix and homogenize at mixer speed 18 rpm, homogenizer low speed, and vacuum 0.4 to 0.6 bar for 10 minutes.
13. Add item 11 into step 6. Make up the volume to 1 L with item 12. Mix at a speed of 18 rpm for 5 minutes.
14. Check the suspension for homogeneity. Transfer the suspension through 630 micron sieve to stainless steel storage tank, previously sanitized by 70% ethanol.

MEBENDAZOLE SUSPENSION

Bill of Materials

Scale (mg/mL)	Item	Material Name	Qty/L (g)
20.00	1	Mebendazole	20.00
30.00	2	Lutrol F 127	30.00
1.80	3	Methylparaben	1.80
0.20	4	Propylparaben	0.20
QS	5	Water purified	QS

MANUFACTURING DIRECTIONS

1. Place 80% of item 5 in a stainless steel jacketed vessel. Heat to 90°C to 95°C.
2. Add items 3 and 4, and stir to dissolve.
3. Cool to 40°C, and add item 2. Stir to dissolve completely.
4. Add item 1 and mix well. Homogenize if necessary.

MEGESTROL ACETATE ORAL SUSPENSION

Bill of Materials

Scale (mg/mL)	Item	Material Name	Qty/L (g)
40.00	1	Megestrol acetate	40.00
100.00	2	Glycerin	100.00
100.00	3	Sorbitol	100.00
0.30	4	Polysorbate 90	0.30
2.20	5	Xanthan gum	2.20
2.00	6	Sodium benzoate	2.00
0.60	7	Sodium citrate	0.60
50.00	8	Sucrose	50.00
0.80	9	Lemon flavor	0.80
QS	10	Water purified	QS to 1 L

Megace oral suspension is supplied as an oral suspension containing 40 mg of micronized megestrol acetate per milliliter. Megace oral suspension contains the following inactive ingredients: alcohol (maximum of 0.06% v/v from flavor), citric acid, lemon-lime flavor, polyethylene glycol, polysorbate 80, purified water, sodium benzoate, sodium citrate, sucrose, and xanthan gum.

MANUFACTURING DIRECTIONS

1. Place glycerol, sorbitol, and polysorbate in a suitable container. Mix well.
2. Place xanthan gum in a separate vessel with item 10, and allow overnight hydration.
3. Add sodium citrate, sucrose, sodium benzoate, and flavor to step 1, and then add step 2 to step 1.
4. Pass the gum slurry through a screen.
5. Add megestrol acetate, and pass the suspension through a colloid mill or homogenizer to provide a uniform oral suspension.

MENTHOL AND BENZOCAINE SOLUTION

Bill of Materials

Scale (mg/mg)	Item	Material Name	Qty/kg (g)
QS	1	Vehicle (Pluronic F 108 56.79%, ethanol 21.69%, water 21.52%)	QS to 1 kg
10.00	2	Menthol	10.00
20.00	3	Benzocaine	20.00
0.05	4	Eucalyptus oil	0.05
1.00	5	Sodium saccharin	1.00
0.50	6	Monoammonium glycyrrhizinate	0.50
QS	7	Flavors and colors	QS

MANUFACTURING DIRECTIONS

1. Mill and screen the menthol and benzocaine to reduce the product particle size.
2. Add the menthol, benzocaine, sodium saccharin, and monoammonium glycyrrhizinate into a clean vessel.
3. Add eucalyptus oil and ethanol to the vessel.
4. Subsequently, add the poloxamer and water to the vessel.
5. Mix until uniform.

MENTHOL MOUTHWASH

Bill of Materials			
Scale (mg/mL)	Item	Material Name	Qty/L (g)
10.00	1	Menthol	10.00
10.00	2	Eucalyptus oil	10.00
40.00	3	Cremophor RH 40	40.00
4.50	4	Saccharin sodium	4.50
2.00	5	Sodium citrate	2.00
5.00	6	Citric acid	5.00
50.00	7	Lutrol F 127	50.00
67.00	8	Ethanol 96%	67.00
QS	9	Sicovit colorant	QS
801.00	10	Water	801.00

MANUFACTURING DIRECTIONS

1. Mix components 1 to 3, and heat to approximately 60°C.
2. Prepare solution of items 4 to 10, heat to approximately 60°C, and add it slowly to the well-stirred mixture of items 1 to 3.
3. Clear, colored liquids having a fresh mint taste are the desired result.

MESALAMINE RECTAL SUSPENSION ENEMA

The active ingredient in rectal suspension enema, a disposable (60 mL) unit, is mesalamine, also known as 5-aminosalicylic acid. Each rectal suspension enema unit contains 4 g of mesalamine. In addition to mesalamine, the preparation contains the inactive ingredients carbomer 934P, EDTA, potassium acetate, potassium metabisulfite, purified water, and xanthan gum. Sodium benzoate is added as a preservative. The disposable unit consists of an applicator tip protected by a polyethylene cover and lubricated with white petrolatum. The unit has a one-way valve to prevent backflow of the dispensed product.

MESALAMINE RECTAL SUSPENSION

Each rectal suspension enema unit contains 4 g of mesalamine. In addition to mesalamine, the preparation contains the inactive ingredients carbomer 934P, EDTA, potassium

acetate, potassium metabisulfite, purified water, and xanthan gum. Sodium benzoate is added as a preservative.

METFORMIN LIQUID

Bill of Materials			
Scale (mg/mL)	Item	Material Name	Qty/L (g)
100.00	1	Metformin hydrochloride	100.00
400.00	2	Xylitol	400.00
5.00	3	Potassium bicarbonate	5.00
1.20	4	Potassium sorbate	1.20
2.75	5	Sodium saccharin	2.75
0.004 mL	6	Hydrochloric acid	4.00 mL
2.75	7	Wild cherry flavor	2.75
QS	8	Water purified	QS to 1 L

MANUFACTURING DIRECTIONS

1. Under continuous stirring, add potassium bicarbonate and metformin hydrochloride to purified water, and dissolve to get a clear solution.
2. Add hydrochloric acid solution as a dilute solution (approximately 1 M) to the mixture of the previous step. This results in carbon dioxide gas formation (effervescent gas).
3. Add xylitol at a temperature of NMT 31°C, and stir to get a clear solution.
4. Continue stirring, and add artificial cherry flavor and saccharin.
5. Adjust the pH to a range of 4.6 to 4.9 using dilute solution of hydrochloric acid (if required).
6. Make up the volume, filter through clarifying grade filter, and fill in approved container.

METOCLOPRAMIDE ORAL SOLUTION

Bill of Materials			
Scale (mg/mL)	Item	Material Name	Qty/L (g)
4.00	1	Metoclopramide HCl, 10% excess	4.40
0.76	2	Saccharin sodium	0.76
1.00	3	Sorbic acid	1.00
1.48	4	Sodium metabisulfite (sodium disulfite)	1.48
0.10	5	Polyoxyl 35 castor oil (Cremophor EL)	0.10
5.20	6	Sodium citrate	5.20
8.52	7	Citric acid (monohydrate)	8.52
—	8	Water purified	QS to 1 L

MANUFACTURING DIRECTIONS

1. Load 80 g of item 8 to the mixer, and heat to 90°C to 95°C.
2. Dissolve items 2 and 3 while stirring. Mix for 15 minutes at high speed to get clear solution.
3. Cool the temperature to 25°C.
4. Transfer the solution to drops manufacturing vessel.
5. Add item 5 to the drops manufacturing vessel at step 4 while stirring to dissolve.
6. Add 8 g of item 8 (25°C) in a separate container, dissolve items 6 and 7 using stirrer, and transfer to the drops manufacturing vessel at step 5.
7. Add item 4 to the drops manufacturing vessel at step 6 while mixing.
8. Add 5 g of item 8 (25°C) in a separate container, and dissolve item 1 using stirrer.
9. Transfer this solution to the drops manufacturing vessel at step 7 while mixing.
10. Check and record the pH (limit: 3.4–3.6).
11. Adjust the pH if required using 5% aqueous solution of citric acid or sodium citrate.
12. Make up the volume to 1 L with item 8 (25°C).
13. Assemble the membrane filter of 0.2 µm. Filter the solution, and collect the filtrate in clean HDPE containers.

METOCLOPRAMIDE SYRUP**Bill of Materials**

Scale (mg/5 mL)	Item	Material Name	Qty/L (g)
30.00	1	Hydroxyethyl cellulose	6.00
4.00	2	Methylparaben	0.80
1.00	3	Propylparaben	0.20
5.00	4	Sorbic acid	1.00
14.25	5	Citric acid (monohydrate)	2.85
4.60	6	Sodium citrate	0.92
7.50	7	Saccharin sodium	1.50
5.00	8	Metoclopramide HCl (14% excess)	1.14
40.00	9	Alcohol (ethanol 95%)	8.00
25.00	10	Propylene glycol	5.00
6.50	11	Flavor	1.30
10.00	12	Caramel	2.00
0.50	13	Flavor	0.10
—	14	Water purified	QS to 1 L

MANUFACTURING DIRECTIONS

1. Add 200 g of item 14 to the mixer, and heat to 90°C.
2. Sprinkle item 1 slowly while mixing at 20 rpm in manual mode. Check that item 1 is dispersed completely without forming lumps.
3. Start the homogenizer at high speed with recirculation, vacuum 0.4 bar.

4. Homogenize for 15 minutes at high speed. Cool to approximately 60°C.
5. Add 200 g of item 14 in a storage container.
6. Transfer the homogenized mucilage to the storage container (step 5).
7. Add 500 g of item 14 to the syrup vessel, and heat to 90°C.
8. Add items 2, 3, and 4 to the syrup vessel, and mix at high speed for 15 minutes to dissolve. Start cooling until temperature reaches 50°C to 60°C.
9. Withdraw a portion of the solution, and check that it is clear and colorless.
10. Transfer the mucilage to the syrup vessel, and mix at high speed for 15 minutes. Start cooling, and cool to 30°C.
11. Add 20 g of item 4 (25°C) in a separate container, dissolve items 5 and 6 by using stirrer, and add solution to the manufacturing vessel.
12. Add 10 g of item 14 (25°C) in a separate container, dissolve item 7 by using stirrer, and add solution to the manufacturing vessel.
13. Withdraw a portion of the solution, and check that it is clear and colorless.
14. Add 10 g of item 14 (25°C) in a separate container, dissolve item 8 by using stirrer, and add solution to the manufacturing vessel.
15. Rinse the container with 5 g of item 14 cooled to 25°C, and transfer the rinsings to the syrup vessel. Mix at high speed for 20 minutes.
16. Withdraw a portion of the solution, and check that it is clear and colorless.
17. Mix items 9 and 10 in a clean stainless steel container. Add items 11, 12, and 13, and mix well manually.
18. Transfer the solution to the manufacturing vessel, and mix for 15 minutes at high speed.
19. Make up the volume to 1 L with item 14 (25°C), and finally, mix for 20 minutes at high speed.
20. Check and record the color and pH (limit: 2.9–3.1). Color should be clear to faint yellow.
21. Suspend 1 g of the filter aid in 40 g of cooled item 14 (25°C), and stir well. Allow the filter aid to settle. Decant off the water.
22. Transfer the washed filter aid to the syrup vessel while mixing. Mix for 30 minutes at high speed.
23. Assemble the filter press.
24. Wash the filters using approximately 250 L purified water (25°C) by passing through filters at 0.2 bar.
25. Filter the syrup at 1 bar. Recirculate approximately 100 to 150 mL syrup.
26. Transfer the filtered syrup to the storage vessel.

METRONIDAZOLE SUSPENSION

Bill of Materials			
Scale (mg/5 mL)	Item	Material Name	Qty/L (g)
125.00	1	Metronidazole (use metronidazole benzoate)	40.20
7.50	2	Methylparaben	1.50
1.00	3	Propylparaben	0.20
2500.00	4	Sucrose	500.00
7.50	5	Saccharin sodium	1.50
8.75	6	Sodium phosphate monobasic	1.75
8.75	7	Sodium phosphate dibasic	1.75
40.00	8	Magnesium aluminum silicate	8.00
30.00	9	Microcrystalline cellulose	6.00
650.00	10	Propylene glycol	130.00
7.50	11	Lemon flavor	1.50
7.50	12	Bergamot flavor	1.50
—	13	Water purified	QS to 1 L

Note: For 200 mg/5 mL strength, use 64.400 g of metronidazole benzoate.

MANUFACTURING DIRECTIONS

- Disperse item 1 in item 10 in a stainless steel vessel, using stirrer. Make smooth slurry, and keep aside for use later.
- Add 186 g of item 13 to a vessel, and heat to 90°C to 95°C. Dissolve items 2 and 3 while mixing.
- Add and dissolve item 4 while mixing at a temperature of 90°C to 95°C.
- Cool down to 50°C to 55°C.
- In a stainless steel container, dissolve item 5 in 4 g of item 13, and add to the vessel while mixing.
- Filter the syrup. Collect the syrup in stainless steel tank.
- Disperse item 8 in 120 g of hot item 13 (70–75°C) in stainless steel vessel using stirrer. Keep on stirring for 30 minutes. Transfer the dispersion into mixer by vacuum.
- Mix and homogenize at temperature 70°C to 80°C, mixer speed 18 rpm, homogenizer at high speed, and vacuum 0.4 to 0.6 bar for 10 minutes.
- Cool down to 25°C to 30°C.
- Disperse item 9 in 120 g of item 13 in stainless steel vessel using stirrer. Keep on stirring for 30 minutes to make smooth dispersion.
- Transfer the filtered syrup from step 7, and transfer microcrystalline cellulose mucilage from step 4 to mixer. Set the mixer to 25°C to 30°C, 18 rpm, high speed, and vacuum 0.4 to 0.6 bar.
- Mix, and homogenize for 10 minutes.
- Dissolve items 6 and 7 in 12 g of item 13, and add to mixer while mixing.
- Add metronidazole benzoate and propylene glycol dispersion (step 1) to mixer.
- Rinse the drug container with 10 g of item 13, and add the rinsings to mixer to avoid loss.
- Add items 11 and 12 to mixer. Make up the volume to 1 L with item 13.
- Mix, and homogenize for 20 minutes at high speed, vacuum 0.4 to 0.6 bar. Check the suspension for homogeneity. Transfer the suspension through 630 micron sieve to stainless steel storage tank, previously sanitized by 70% ethanol.
- Do not store the bulk suspension more than 48 hours in the storage tank without stirring. Before filling, stir not less than 30 minutes for uniform dispersion to avoid problems of content uniformity.

MINERAL AND MULTIVITAMIN SYRUP

Bill of Materials			
Scale (mg/mL)	Item	Material Name	Qty/L (g)
6.65	1	Hypophosphorous acid	6.655
16.47	2	Calcium hypophosphite	16.47
31.68	3	Calcium lactate (powder)	31.68
1.00	4	Methylparaben	1.00
0.20	5	Propylparaben	0.20
1.00	6	Benzoic acid	1.00
150.00	7	Sucrose (granular)	150.00
5.20	8	Ferrous gluconate	5.20
2.00	9	Niacinamide (5% excess)	2.10
0.328	10	Riboflavin-5-phosphate sodium	0.33
1.00	11	D-Pantothenyl alcohol (dexpanthenol; 20% excess)	1.20
0.60 µg	12	Vitamin B ₁₂ (cyanocobalamin) (35% excess)	0.81 mg
0.20	13	Pyridoxine hydrochloride	0.20
0.30	14	Thiamine hydrochloride (regular powder) (55% excess)	0.46
4.782	15	Flavor, raspberry blend	4.78
1.945	16	Flavor, chocolate	1.945
0.642	17	Orange oil (terpeneless, No. 54125)	0.64
0.21	18	Lime oil, distilled	0.215
4.28	19	Alcohol	4.28
2.50	20	Saccharin sodium	2.50
10.00	21	Ascorbic acid (white powder/EP) (45% excess)	14.50
3.00	22	Caramel (acid proof)	3.00
2.00	23	Anhydrous citric acid	2.00
10.0 µg	24	Butylated hydroxyanisole (BHA)	10.00 mg
3.39	25	Corn oil	3.39
0.40	26	Vitamin A palmitate (1.5 MM U/g) (40% excess)	0.56
0.08	27	Viosterol in corn oil (syn. oleovitamin D; 1000 mg/g) (40% excess)	0.112
1.5 g	28	Acacia (special grade)	1.50
0.127	29	Sodium lauryl sulfate (acetone-washed)	0.127
171.00	30	Deionized, purified water	~171
QS	31	Glucose liquid (corn syrup)	QS to 1 L

MANUFACTURING DIRECTIONS

Do not expose this preparation to direct sunlight during manufacturing. Riboflavin is sensitive to light.

1. Add 83.7 mL purified water to a stainless steel jacketed tank.
2. Add calcium hypophosphite, calcium lactate, the parabens, and benzoic acid.
3. Heat mixture to 60°C with agitation.
4. Shut off mixer, and wash tank until free of all powders with 25.9 mL purified water.
5. Heat to and maintain a maximum temperature of 100°C until solution is complete. Do not agitate. Avoid loss of water through evaporation; cover opening of tank.
6. After solution occurs, take sample from bottom of tank, and examine for clarity. Solution must be clear.
7. Add hypophosphorous acid (if used) with mixing.
8. Turn off heat, add 222 g glucose, and start agitator. (*Caution:* Use CO₂ cover throughout; wherever water is used, it should be CO₂-saturated water.) Dissolve ferrous gluconate in 7.4 mL water CO₂-saturated by heating.
9. Add 278 g glucose with mixing. Add and dissolve sugar.
10. Allow solution to cool to 35°C, and mix well.
11. To 29.6 mL water, add and dissolve nicotinamide, riboflavin, D-pantothenyl alcohol, vitamin B₁₂, pyridoxine, and thiamine. Mix until solution is complete, and add to tank. Dissolve by heat if necessary.
12. Place raspberry blend flavor and chocolate flavor into tank; place saccharin into tank, and mix until dissolved.
13. Charge ascorbic acid into tank. Mix well.
14. Charge caramel into tank, and mix well.
15. Dissolve citric acid in 3 mL water, and add.
16. Heat corn oil to 50°C to 60°C, and add and dissolve BHA. Ensure the BHA is completely dissolved before continuing.
17. Cool to room temperature. While cooling oil mixture, saturate with CO₂, and maintain heavy CO₂ coverage for balance of operation.
18. Set aside a small amount of this mixture as a rinse for the vitamin A and viosterol containers in previous step.
19. Add vitamin A palmitate and viosterol to the cool corn oil mixture, rinsing the containers with the oil reserved in step 17
20. Add the rinse to the bulk. Mix well.
21. Add the acacia to the oil mixture with good mixing.
22. Dissolve sodium lauryl sulfate in 3 mL CO₂-saturated purified water. To avoid excessive foaming, do not bubble CO₂ gas through the water/sodium lauryl sulfate solution.
23. Add the sodium lauryl sulfate solution to the oil mixture, and stir to a thick creamy emulsion.
24. Add 7.56 g glucose to the emulsion with mixing.
25. Blend 13.33 mL CO₂-saturated purified water with 77.04 g glucose, and add emulsion with stirring.
26. Recycle primary emulsion back into holding tank while setting mill.
27. Homogenize until all oil globules are less than 8 μm in diameter using colloid mill with a very fine setting. Do not change mill setting after removing sample unless samples are unacceptable.
28. Add primary emulsion to syrup solution with mixing; add glucose QS to 965 mL, and mix well. Allow to stand overnight to vent entrapped air.
29. Adjust the volume to 1 L using glucose or glucose and CO₂-saturated water.
30. Strain through 149 μm aperture or similar screen into clean reserve tank, and recheck volume.

MINOXIDIL SOLUTION

Minoxidil 5% w/v; alcohol, 30% v/v; propylene glycol, 50% v/v; and purified water.

MINT-MENTHOL MOUTHWASH**Bill of Materials**

Scale (mg/mL)	Item	Material Name	Qty/L (g)
20.00	1	Mint oil	20.00
0.40	2	Menthol	0.40
0.90	3	Eucalyptus oil	0.90
10.00	4	Alpha-bisabolol (BASF)	10.00
0.60	5	Thymian oil	0.60
40.00	6	Cremophor RH 40	40.00
4.50	7	Saccharin sodium	4.50
2.00	8	Sodium citrate	2.00
5.00	9	Citric acid	5.00
0.20	10	Sodium fluoride	0.20
50.00	11	Glycerol	50.00
50.00	12	Lutrol F 127	50.00
0.60	13	Salicylic acid	0.60
1.00	14	Benzoic acid	1.00
175.00	15	Sorbitol, crystalline	175.00
216.00	16	Ethanol 96%	216.00
QS	17	Sicovit colorant	QS
QS	18	Water	48.4

MANUFACTURING DIRECTIONS

1. Mix components 1 to 6, and heat to approximately 60°C.
2. Prepare solution of items 7 to 18, and heat to approximately 60°C.
3. Add this solution slowly to the well-stirred mixture of items 1 to 6. The result is a clear, colored liquid having a fresh mint taste.

MINT OIL SOLUTION

Bill of Materials			
Scale (mg/mL)	Item	Material Name	Qty/L (g)
35.00	1	Peppermint oil	35.00
138.00	2	Cremophor RH 40	138.00
520.00	3	Ethanol 96%	520.00
QS	4	Water	307.00

MANUFACTURING DIRECTIONS

1. Mix the peppermint oil with Cremophor RH 40, stir well, and slowly add ethanol and water.
2. Clear, colorless liquid is of low viscosity.

MOMETASONE FUROATE NASAL SPRAY

Nasonex nasal spray, 50 µg, is a metered-dose manual-pump spray unit containing an aqueous suspension of mometasone furoate monohydrate equivalent to 0.05% w/w mometasone furoate, calculated on the anhydrous basis, in an aqueous medium containing glycerin, microcrystalline cellulose and carboxymethylcellulose sodium, sodium citrate, 0.25% w/w phenylethyl alcohol, citric acid, benzalkonium chloride, and polysorbate 80. The pH is between 4.3 and 4.9.

MONOSULFIRAM SOLUTION

Bill of Materials			
Scale (% w/w)	Item	Material Name	Qty/kg (g)
25.00	1	Monosulfiram	250.00
10.00	2	Dispersol	100.00
QS	3	Methylated spirit	QS to 1 kg

MANUFACTURING DIRECTIONS

1. Liquefy item 1 by warming to 40 C.
2. Place item 3 in a suitable dry stainless steel mixing vessel.
3. Add item 2 to step 2, and then add item 1 with constant stirring until clear solution obtained.
4. Filter through a suitable clarifying filter.

MULTIVITAMIN AND CALCIUM SYRUP

Bill of Materials			
Scale (mg/g)	Item	Material Name	Qty/100 g (mg)
0.10	1	Vitamin A palmitate	10.00
0.50 µg	2	Vitamin D 40 million IU/g	0.05
1.00	3	Vitamin E acetate, BASF	100.00
0.02	4	Butylhydroxytoluene	2.00
45.00	5	Cremophor RH 40	4.50 g
100.00	6	Water	10.00 g
450.00	7	Saccharose	45.00 g
2.00	8	Methylparaben	200.00
0.80	9	Citric acid	80.00
96.00	10	Glycerol	9.60 g
0.70	11	Calcium gluconate	70.00
250.00	12	Water	25.00 g
0.15	13	Thiamine hydrochloride, BASF	15.00
0.15	14	Riboflavin 5'-phosphate sodium	15.00
0.55	15	Nicotinamide	55.00
0.15	16	Pyridoxine hydrochloride	15.00
3.00	17	Ascorbic acid, crystalline	300.00
1.00	18	Sorbic acid	100.00
50.00	19	Propylene glycol (Pharma)	5.00 g

MANUFACTURING DIRECTIONS

1. Heat items 1 to 5 and item 6 separately to approximately 60°C, and mix slowly, stirring well to obtain a clear solution.
2. Dissolve items 7 to 9 in the hot solution of items 10 to 12 to obtain a clear solution.
3. Mix all the solutions upon cooling, and add solutions of items 13 to 19; adjust the pH value to 4.0 to 4.1.
4. Pass nitrogen through the solution for 10 minutes, and fill in bottles under nitrogen cover.

MULTIVITAMIN AND MINERAL SYRUP

Bill of Materials			
Scale (mg/ tablet)	Item	Material Name	Qty/L (g)
6.65	1	Hypophosphorous acid (50% pure)	6.655
16.47	2	Calcium hypophosphite	16.47
31.68	3	Calcium lactate (powder)	31.68
1.00	4	Methylparaben	1.00
0.20	5	Propylparaben	200.00 mg
1.00	6	Acid benzoic	1.00
150.00	7	Sucrose	150.00
5.20	8	Ferrous gluconate	5.20
2.00	9	Niacinamide (white powder) (5% excess)	2.10
0.32	10	Riboflavin-5-phosphate sodium	328.77 mg
1.00	11	D-Pantothenyl alcohol (dexpantenol; 20% excess)	1.20
0.00060	12	Vitamin B ₁₂ (cyanocobalamin; 35% excess)	810.00 µg
0.20	13	Pyridoxine hydrochloride	200.00 mg
0.30	14	Thiamine hydrochloride (powder, regular) (55% excess)	465.00 mg
4.78	15	Flavor, raspberry blend	4.782
1.94	16	Flavor, chocolate	1.945
0.64	17	Orange oil, terpeneless No. 54125	642.00 mg
0.21	18	Lime oil (distilled)	214.975 mg
4.28	19	Alcohol (ethanol, 190 proof)	4.28
2.50	20	Saccharin sodium	2.50
10.00	21	Acid ascorbic (45% excess)	14.50
3.00	22	Caramel (acid proof)	3.00
2.00	23	Anhydrous citric acid	2.00
0.0010	24	Butylated hydroxyanisole (BHA)	10.0 mg
3.39	25	Corn oil	3.39
0.56	26	Vitamin A palmitate (1.5 MM UA/g) (40% excess)	560.00 mg
0.08	27	Viosterol in corn oil (syn. oleovitamin D; 1000 mD/g; D ₃ in arachis oil) (40% excess)	112.00 mg
1.50	28	Acacia	1.50
0.12	29	Sodium lauryl sulfate (acetone washed)	127.41 mg
171.00	30	Purified water	~171
QS	31	Glucose liquid	QS to 1 L

MANUFACTURING DIRECTIONS

Do not expose this preparation to direct sunlight during manufacturing. Riboflavin is sensitive to light.

1. Add 83.7 mL of purified water to a stainless steel jacketed tank.
2. Add calcium hypophosphite, calcium lactate, parabens, and benzoic acid.

3. Heat mixture to 60°C with agitation.
4. Shut off mixer, and wash tank free of all powders with 25.9 mL purified water.
5. Heat to and maintain a maximum temperature of 100°C until solution is complete. Do not agitate. Avoid loss of water through evaporation. Cover opening of tank. After solution occurs, take sample from bottom of tank, and examine for clarity. Solution must be clear.
6. Add acid hypophosphorous (if used) with mixing.
7. Turn off heat, add 222 g glucose, and start agitator. (*Caution:* Use CO₂ cover throughout; wherever water is used, it should be CO₂-saturated water.) Dissolve ferrous gluconate in 7.4 mL water CO₂ saturated by heating.
8. Add 278 g glucose with mixing. Add and dissolve sugar.
9. Allow solution to cool to 35°C and mix well.
10. To 29.6 mL water, add and dissolve nicotinamide, riboflavin, D-pantothenyl alcohol, vitamin B₁₂, pyridoxine, and thiamine.
11. Mix until solution is complete and add to tank. Dissolve by heat, if necessary.
12. Place raspberry blend flavor and chocolate flavor into tank.
13. Place saccharin into tank and mix until dissolved.
14. Place ascorbic acid into tank and mix well.
15. Place caramel into tank and mix well.
16. Dissolve citric acid in 3 mL water and add this solution to above.
17. Heat corn oil to 50°C to 60°C and add and dissolve BHA. Ensure the BHA is completely dissolved before continuing.
18. Cool to room temperature. While cooling oil mixture, saturate with CO₂ and maintain heavy CO₂ coverage for balance of operation.
19. Set aside a small amount of this mixture as a rinse for the vitamin A and viosterol containers in previous step.
20. Add vitamin A palmitate and viosterol to the cool corn oil mixture, rinsing the containers with the oil reserved earlier.
21. Add the rinse to the bulk and mix well.
22. Add the acacia to the oil mixture with good mixing.
23. Dissolve sodium lauryl sulfate in 3 mL CO₂-saturated purified water. To avoid excessive foaming, do not bubble CO₂ gas through the water/sodium lauryl sulfate solution.
24. Add the sodium lauryl sulfate solution to the oil mixture and stir to a thick creamy emulsion.
25. Add 7.56 g glucose to the emulsion with mixing.
26. Blend 13.33 mL CO₂-saturated purified water with 77.04 g glucose and add emulsion with stirring.
27. Recycle primary emulsion back into the holding tank while setting mill.
28. Homogenize until all oil globules are less than 8 µm indiameter using colloid mill with a very fine

setting. After setting mill, sample. Do not change mill setting after removing sample unless samples are unacceptable.

29. Add primary emulsion to syrup solution with mixing; add glucose QS to 965 mL and mix well.
30. Allow to stand overnight to vent entrapped air. Adjust the volume to 1 L using glucose or glucose and CO₂ saturated water.
31. Strain through 149 μm aperture or similar screen into clean reserve tank and recheck volume.

MULTIVITAMIN DROPS

Bill of Materials			
Scale (mg/g)	Item	Material Name	Qty/kg (g)
13600 IU	1	Vitamin A palmitate (1.7 MM IU/g)	8.00
5200 IU	2	Vitamin D3 (40 MM IU/g)	0.13
5.00	3	Vitamin E acetate	5.00
150.0	4	Cremophor EL (or Cremophor RH 40)	150.00
2.00	5	Parabens (Methyl and propyl)	2.00
525.00	6	Water purified	525.00
4.00	7	Thiamine hydrochloride	4.00
2.00	8	Riboflavin 5-phosphate sodium	2.00
2.00	9	Pyridoxine hydrochloride	2.00
2.00	10	Nicotinamide	2.00
0.20	11	Sodium bisulfite	0.20
200.00	12	Propylene glycol	200.00
QS	13	Water purified	10.00
QS	14	Hydrochloric acid	QS

MANUFACTURING DIRECTIONS

1. Heat mixture of items 1 to 4 to approximately 60°C; stir strongly and slowly add solution of items 5 and 6 (60°C).
2. To the obtained clear solution, add solution of items 7 to 13.
3. Adjust the pH with item 14 to approximately 4 and QS to volume.

MULTIVITAMIN INFANT DROPS

Bill of Materials			
Scale (mg/mL)	Item	Material Name	Qty/L (g)
1125 IU	1	Vitamin A palmitate (1.7 mm IU/g) (50% excess)	1.324
416 IU	2	Vitamin D (40 mm IU/g) (cholecalciferol, 25% excess)	0.013
5.00	3	Vitamin E (oily; α-tocopheryl acetate)	5.00
52.50	4	Ascorbic acid (50% excess)	52.50
0.375	5	Thiamine hydrochloride (50% excess)	0.75
0.40	6	Pyridoxine hydrochloride	0.40
8.00	7	Nicotinamide	8.00
0.00125	8	Cyanocobalamin (50% excess)	0.0025
0.82	9	Riboflavin sodium phosphate (5% excess as riboflavin)	0.865
2.50	10	Poloxyl 20 cetostearyl ether (Cetomacrogol 1000)	2.50
12.50	11	Polysorbate 80 (Tween 80)	12.50
0.50	12	Edetate disodium (sodium EDTA)	0.50
3.75	13	Sodium hydroxide	3.75
0.25	14	Saccharin sodium	0.25
300.00	15	Glycerin (glycerol)	300.00
500.00	16	Sorbitol (70% solution)	500.00
50.00	17	Propylene glycol	50.00
1.50	18	Flavor	1.50
3.00	19	Flavor	3.00
1.50	20	Flavor	1.50
—	21	Purified water	QS to 1 L

MANUFACTURING DIRECTIONS

The product is a microemulsion and thermolabile. The temperature of solution must not exceed 25°C at the time of processing. Store bulk at temperature 15°C to 20°C under nitrogen protection to avoid discoloration and precipitation. Period of storage should not exceed 48 hours prior to filling in the bottle.

1. Check and record pH of item 21 (limit: 5.0–6.5) and collect 250 g of it in manufacturing vessel. Heat to 90°C to 95°C for 10 minutes, then cool to 20°C to 25°C.
2. Bubble nitrogen gas into cooled item 21 for 20 minutes.
3. Load 200 g of item 21 from first step to the manufacturing vessel.
4. Bubble nitrogen gas during all stages of the process.
5. Charge items 4 to 9 and 12 to 14 one by one to the manufacturing vessel while mixing.
6. Check that all materials are dissolved completely. Solution should be clear.

7. Add item 11 in a separate stainless steel container and heat to 45°C.
8. Mix items 1, 2, 3, and 10 one by one.
9. Mix for 1 hour at slow speed.
10. Add oil phase preparation to the aqueous phase at a rate of 2 mL/min while mixing; keep on bubbling nitrogen gas throughout the process.
11. Add items 15 and 16 to the manufacturing vessel one by one while mixing.
12. Keep on bubbling nitrogen gas throughout the process.
13. Add items 18 to 20 in item 17 and add to the manufacturing vessel while mixing.
14. Adjust the volume to 1 L using nitrogen-bubbled item 21.
15. Mix for 10 minutes at slow speed without aeration.
16. Check pH (limit: 3.7–4.5).
17. Filter the product at 1.5 bar.
18. Recirculate approximately 100 to 150 mL of product.
19. Transfer the filtered product to the storage vessel under a nitrogen blanket.

MULTIVITAMIN INFANT DROPS

Bill of Materials

Scale (mg/0.6 mL)	Item	Material Name	Qty/L
675.00	1	Glycerin, USP (96%)	675.00 g
10.00	2	Nicotinamide niacinamide (white powder) (5% excess)	17.50 g
2.74	3	Riboflavin-51-phosphate sodium (0% excess)	2.74 g
0.50	4	Methyl paraben (powder)	500.00 mg
1.00	5	Benzoic acid	1.00 g
2.10	6	Saccharin sodium (powder)	2.10 g
1.50	7	Thiamine HCl (45% excess)	3.625 g
0.60	8	Pyridoxine HCl	833.34 mg
50.00	9	Ascorbic acid (white powder) (20% excess)	100.00 g
0.257	10	Orange oil terpeneless No. 54125	257.789 mg
0.095	11	Alcohol (ethanol)	95.50 mg
80.00	12	Polysorbate 80	80.00 g
0.186	13	Butylated hydroxyanisole	186.92 mg
400 IU	14	Vitamin D viosterol in corn oil (oleovitamin D) (25% excess)	833.34 mg
5000 IU	15	Vitamin A; use vitamin A palmitate (1500000 AU/g) (50% excess)	16.66 g
QS	16	Purified water	329 g
QS	17	Carbon dioxide gas	QS

^a Excess includes 20% manufacturing loss and 30% stability excess.

MANUFACTURING DIRECTIONS

Use carbon dioxide cover at all time and use stainless steel 316 or higher resistant equipment.

1. Add 300 mL of purified water and the glycerin into a suitable jacketed tank. Start mixing.
2. Add, in this order, nicotinamide, riboflavin-5-phosphate sodium, Aspetoform M, benzoic acid, and saccharin sodium.
3. Continue mixing for balance of process.
4. Heat to 90°C to 100°C to dissolve ingredients.
5. In a separate tank, boil at least 15 mL of purified water for at least 15 minutes.
6. Cool while bubbling CO₂ gas into it and hold at 30°C or lower for use later for making up the volume.
7. Start cooling the main tank. When the temperature reaches 50°C to 60°C, start bubbling CO₂ gas through the solution from the bottom of the tank.
8. Continue cooling to 25°C. Continue the CO₂ gas protection for the balance of the process.
9. Add and dissolve thiamine HCl, pyridoxine HCl, and ascorbic acid.
10. Dissolve orange oil in alcohol and add.
11. Load approximately 5.25 g of polysorbate 80 into a separate stainless steel container.
12. Heat to 50°C to 60°C; add the butylated hydroxyanisole and dissolve with mixing. Remove heat.
13. Add remaining polysorbate 80 into the container, setting aside a sufficient quantity for rinsing the vitamin containers.
14. Bubble in CO₂ gas while mixing slowly. Stop mixing.
15. Add viosterol and vitamin A palmitate.
16. Rinse bottles with remaining polysorbate 80 and drain.
17. Mix slowly for at least 30 minutes or longer, if necessary, to provide a clear solution. Continue to bubble CO₂ gas for the entire mixing period.
18. Change CO₂ gas protection on main mixing tank to the top to prevent excessive foaming upon addition of polysorbate 80 solution.
19. Add polysorbate 80 solution to the main tank from the bottom of the tank to the top to prevent excessive foaming. Stop mixing.
20. If the volume is less than 1000 mL, adjust the volume with CO₂-saturated purified water made above to 1000 mL; mix for at least 1 hour.
21. In a separate tank, boil at least 115 mL of purified water for at least 15 minutes.
22. Cool while bubbling CO₂ gas into it, and hold at 30°C or lower for use later. Stop mixing.
23. Allow to stand for at least 4 hours to eliminate entrapped CO₂ gas.
24. Readjust volume to 1000 mL with CO₂-saturated purified water; mix for at least 1 hour. Stop mixing.

25. Filter through lint-free paper and do not use filter aids.
26. Recirculate product back to mixing tank until clear.
27. Flush storage tank with CO₂ gas and continue CO₂ gasprotection until product has been filled.
28. Average intake dose is 0.60 mL.

MULTIVITAMIN MINERAL SYRUP

Bill of Materials			
Scale (mg/mL)	Item	Material Name	Qty/L (g)
6.65	1	Acid hypophosphorous (50% pure)	6.65
16.47	2	Calcium hypophosphite	16.47
31.68	3	Calcium lactate (powder)	31.68
1.00	4	Methyl paraben	1.00
0.20	5	Propyl paraben	200.00 mg
1.00	6	Benzoic acid	1.00
150.00	7	Sucrose (granular)	150.00
5.20	8	Ferrous gluconate	5.20
2.00	9	Niacinamide (5% excess)	2.10
0.32	10	Riboflavin-5-phosphate sodium	328.77 mg
1.00	11	D-Pantothenyl alcohol (dexpantenol) (20% excess)	1.20
0.60	12	Vitamin B12 (cyanocobalamin) (35% excess)	810.00 µg
0.20	13	Pyridoxine hydrochloride	200.00 mg
0.30	14	Thiamine hydrochloride (regular powder) (55% excess)	465.00 mg
4.78	15	Flavor	4.78
1.94	16	Flavor	1.94
0.64	17	Orange oil, terpeneless	642.00 mg
0.21	18	Lime oil, distilled	214.97 mg
4.28	19	Alcohol (190 proof)	4.28
2.50	20	Saccharin sodium	2.50
14.50	21	Acid ascorbic (white powder/EP) (45% excess)	14.50
3.00	22	Caramel (acid proof)	3.00
2.00	23	Anhydrous citric acid (powder/EP)	2.00
0.01	24	Butylated hydroxyanisole (BHA)	10.00 mg
3.39	25	Corn oil	3.39
0.40	26	Vitamin A palmitate (TN, 1.5 MM UA/g) (40% excess)	560.00 mg
0.08	27	Viosterol in corn oil (syn. oleovitamin D; 1000 mD/g; D3 in arachis oil) (40% excess)	112.00 mg
1.50	28	Acacia	1.50
0.12	29	Sodium lauryl sulfate (acetone washed)	127.41 mg
171.00	30	Deionized, purified water	171.00
QS	31	Glucose liquid	QS to 1 L

MANUFACTURING DIRECTIONS

Do not expose this preparation during manufacturing to direct sunlight. Riboflavin is sensitive to light.

1. Add 83.7 mL of purified water to a stainless steel jacketed tank.
2. Add calcium hypophosphite, calcium lactate, parabens, and benzoic acid.
3. Heat mixture to 60°C with agitation.
4. Shut off mixer and wash tank free of all powders with 25.9 mL purified water.
5. Heat mixture to and maintain a maximum temperature of 100°C until solution is complete. Do not agitate. Avoid loss of water through evaporation. Cover opening of tank.
6. After solution occurs, take sample from bottom of tank and examine for clarity. Solution must be clear.
7. Add acid hypophosphorous (if used) with mixing.
8. Turn off heat, add 222 g glucose, and start agitator. (*Caution:* Use CO₂ cover throughout; wherever water is used, it should be CO₂-saturated water.) Dissolve ferrous gluconate in 7.4 mL water CO₂ saturated by heating.
9. Add 278 g glucose with mixing. Add and dissolve sugar.
10. Allow solution to cool to 35°C and mix well.
11. To 29.6 mL water, add and dissolve nicotinamide, riboflavin, D-pantothenyl alcohol, vitamin B₁₂, pyridoxine, and thiamine. Mix until solution is complete, and add to tank. Dissolve by heat if necessary.
12. Charge flavors into tank.
13. Charge saccharin into tank, and mix until dissolved.
14. Charge ascorbic acid into tank, and mix well.
15. Charge caramel into tank, and mix well, Dissolve citric acid in 3 mL water and add to tank.
16. Heat corn oil to 50°C to 60°C, and add and dissolve BHA. Ensure the BHA is completely dissolved before continuing.
17. Cool to room temperature. While cooling oil mixture, saturate with CO₂, and maintain heavy CO₂ coverage for balance of operation.
18. Set aside a small amount of this mixture as a rinse for the vitamin A and viosterol containers.
19. Add vitamin A palmitate TN and viosterol to the cool corn oil mixture, rinsing the containers with the reserved oil.
20. Add the rinse to the bulk. Mix well.
21. Add the acacia to the oil mixture with good mixing.
22. Dissolve sodium lauryl sulfate in 3 mL CO₂-saturated purified water.
23. To avoid excessive foaming, do not bubble CO₂ gas through the water/sodium lauryl sulfate solution.
24. Add the sodium lauryl sulfate solution to the oil mixture, and stir to a thick creamy emulsion.
25. Add 7.56 g glucose to the emulsion with mixing.

26. Blend 13.33 mL CO₂-saturated purified water with 77.04 g glucose, and add emulsion with stirring.
27. Recycle primary emulsion back into holding tank while setting mill.
28. Homogenize until all oil globules are less than 8 μm in diameter using colloid mill with a very fine setting.
29. Add primary emulsion to syrup solution with mixing; add glucose QS to 965 mL, and mix well.
30. Allow to stand overnight to vent entrapped air.
31. Adjust the volume to 1 L using glucose or glucose and CO₂-saturated water.
32. Strain through 149 μm aperture or similar screen into clean reserve tank, and recheck volume.
33. Seal tank under heavy CO₂ until filled.

MULTIVITAMIN SYRUP

Bill of Materials

Scale (mg/mL)	Item	Material Name	Qty/100 mL
170.00 IU	1	Vitamin A palmitate (1.7 U/g)	10.00
2.00 IU	2	Vitamin D (40 million IU/g)	0.05
1.00	3	Vitamin E acetate	100.00
0.02	4	Butylhydroxytoluene	2.00
45.00	5	Cremophor RH 40	4.50 g
100.00	6	Water	10.00 g
450.00	7	Saccharose	45.00 g
2.00	8	Methylparaben	200.00
0.08	9	Citric acid	80.00
9.60	10	Glycerol	9.60 g
250.00	11	Water	25.00 g
0.15	12	Thiamine hydrochloride	15.00
0.15	13	Riboflavin 51-phosphate sodium	15.00
0.55	14	Nicotinamide	55.00
0.15	15	Pyridoxine hydrochloride	15.00
3.00	16	Ascorbic acid (crystalline)	300.00
1.00	17	Sorbic acid	100.00
5.00	18	Propylene glycol (pharma)	5.00 g

MANUFACTURING DIRECTIONS

1. Mix items 1 through 5, and heat to 60°C.
2. Separately heat item 2 to approximately 60°C.
3. Mix these two solutions slowly, stirring well to obtain a clear solution.
4. Dissolve items 7 to 9 in the hot solution of items 10 and 11 to obtain a clear solution.
5. Add to solution from step 4.
6. Add items 12 to 18, and adjust the pH to 4.0 to 4.2.
7. Pass nitrogen through the solution for 10 minutes, and fill under nitrogen cover. Provides 1 to 2 RDA/20 mL.

MULTIVITAMIN SYRUP

Bill of Materials

Scale (mg/mL)	Item	Material Name	Qty/100 mL (mg)
0.17	1	Vitamin A palmitate (1.7 MM IU/g)	17.00
0.001	2	Vitamin D3 (40 MM IU/g)	0.10
0.01	3	Butylhydroxytoluene	1.00
30.00	4	Cremophor RH 40	3.00 g
1.00	5	Parabens	100.00
170.00	6	Water	17.00 g
0.50	7	Thiamine hydrochloride	50.00
0.20	8	Riboflavin phosphate sodium	20.00
0.20	9	Pyridoxine hydrochloride	20.00
2.50	10	Ascorbic acid (crystalline)	250.00
50.00	11	Water	5.00 g
—	12	Sugar syrup	Add 100 mL

MANUFACTURING DIRECTIONS

1. Heat mixture of items 1 to 4 to approximately 65°C.
2. Stir well, and very slowly add item 6 to warm solution (65°C).
3. Mix with solution of items 7 to 11, and add item 12 to make up the volume. *Note:* Parabens are generally a 1:10 ratio of methyl- and propylparaben.

MULTIVITAMIN WITH FLUORIDE INFANT DROPS**Bill of Materials**

Scale (mg/mL)	Item	Material Name	Qty/L (g)
8.00	1	Niacin; use niacinamide (5% excess)	8.332
0.60	2	Riboflavin, USP; use riboflavin-5 ¹ -phosphate sodium (2% excess)	0.83
0.50	3	Methylparaben	0.50
1.00	4	Benzoic acid	1.00
5000 IU	5	Vitamin E; use D- α -tocopheryl PEG-1000 succinate (20% excess)	13.826
400 IU	6	Vitamin D; use viosterol in corn oil (syn. oleovitamin D) (25% excess)	0.522
1500 IU (0.45)	7	Vitamin A palmitate (synthetic A palmitate, 1 MM U/g), USP	1.44
35.00	8	Ascorbic acid (white powder), USP (33% excess)	46.55
0.50	9	Thiamine hydrochloride (44% excess)	0.72
0.40	10	Pyridoxine; use pyridoxine hydrochloride	0.486
0.25	11	Fluoride; use sodium fluoride (powder)	0.5526
4.013	12	Caramel (acid proof)	4.013
0.257	13	Orange oil terpeneless	0.257
QS	14	Alcohol (ethanol; 190 proof)	10.00 mL
QS	15	Distilled purified water	QS
QS	16	Acid hydrochloric	QS
QS	17	Sodium hydroxide	QS
QS	18	Carbon dioxide gas	QS

MANUFACTURING DIRECTIONS

Use only stainless steel tanks, and minimize vortex formation to prevent aeration. Product attacks glass, so avoid contact with glass.

- Charge 350 mL of purified water into the stainless steel jacketed main tank.
- Start mixing.
- Add, in this order, niacinamide, riboflavin, sodium fluoride, methylparaben, and benzoic acid.
- Rinse the interior walls of the tank with approximately 16 mL purified water.
- Continue mixing for the balance of the process.
- Heat the main tank to 95°C to dissolve ingredients.
- When the solution is complete, cool below 85°C (range: 80–90°C).
- The main tank will have to be heated to 85°C for this step.
- Add vitamin E to another tank, if necessary, by heating vitamin E container.

- Melt vitamin E in the tank.
- Add viosterol and vitamin A, and heat to 60°C to 65°C with mixing.
- Start bubbling in CO₂.
- Mix slowly for 10 minutes or longer to produce a clear solution.
- Start CO₂ gas protection on the main mixing tank, and continue for the balance of the process.
- With the main batch at 85°C to 90°C, add the solution of vitamins E, D, and A at 60°C to 65°C with mixing.
- The addition may cause the temperature of the main batch to drop below the specified range, so readjust to 85°C to 90°C.
- Mix and maintain at this temperature until solution is complete, after which cool to below 30°C.
- Add the glycerin with mixing.
- Adjust the temperature to 25°C \pm 5°C, and maintain at this temperature before proceeding.
- Add and dissolve with mixing, in this order, ascorbic acid, thiamine, pyridoxine, and caramel.
- Rinse the caramel container with approximately 3 mL of water, and add the rinsings.
- Rinse the tank inner walls and mixer shaft with approximately 3 mL water.
- Dissolve the orange oil with mixing in the alcohol, and add to the solution.
- Continue mixing for at least 30 minutes to ensure a homogeneous product.
- Stop mixing, and take pH (range: 3.1–3.3). If necessary, adjust with 10% sodium hydroxide or 10% hydrochloric acid, prepared by adding 1 mL hydrochloric acid (reagent-grade) with 3.3 mL purified water. Mix.
- Stop mixing, and allow to stand for at least 4 hours to eliminate entrapped CO₂ gas.
- In a properly cleaned separate tank, boil at least 65 mL of purified water for at least 15 minutes.
- Cool while bubbling CO₂ into it, and hold at 30°C.
- Adjust pH to the range of 3.1 to 3.3.
- Filter using a lint-free paper; do not use filter aids.
- Recirculate product back to main mixing tank until clear.
- Flush a storage tank with CO₂ for at least 10 minutes with the CO₂ valve completely open.
- Filter product into this storage tank.
- Fill under CO₂ cover.

MULTIVITAMIN DROPS

Bill of Materials			
Scale (mg/g)	Item	Material Name	Qty/kg (g)
8.00	1	Vitamin A palmitate 1.7 mm U/g (BASF)	8.00
0.130	2	Vitamin D ₃ 40 mm U/g	0.130
5.00	3	Vitamin E acetate (BASF)	5.00
150.0	4	Cremophor EL (or Cremophor RH 40)	150.00
2.00	5	Parabens	2.00
525.00	6	Water	525.00
4.00	7	Thiamine hydrochloride (BASF)	4.00
2.00	8	Riboflavin 5-phosphate sodium	2.00
2.00	9	Pyridoxine hydrochloride (BASF)	2.00
2.00	10	Nicotinamide	2.00
0.20	11	Sodium bisulfite	0.20
200.00	12	Propylene glycol	200.00
QS	13	Water	10.00 g
QS	14	Hydrochloric acid	QS

MANUFACTURING DIRECTIONS

1. Heat mixture of items 1 to 4 to approximately 60°C, and stir strongly.
2. Slowly add solution of items 5 and 6 at 60°C.
3. To the obtained clear solution, add solution of items 7 to 13.
4. Adjust the pH, with item 14, to approximately 4.
5. Bring to volume.

MULTIVITAMIN SYRUP

Bill of Materials			
Scale (mg/mL)	Item	Material Name	Qty/100 mL (g)
0.170	1	Vitamin A palmitate 1.7 MM U/g (BASF)	17.0 mg
0.001	2	Vitamin D ₃ 40 MM U/g	0.1 mg
0.010	3	Butylhydroxytoluene	1.0 mg
30.000	4	Cremophor RH 40	3.00
1.000	5	Parabens	0.10
170.000	6	Water	17.00
0.500	7	Thiamine hydrochloride (BASF)	0.05
0.200	8	Riboflavin phosphate sodium	0.02
0.200	9	Pyridoxine hydrochloride (BASF)	0.02
2.500	10	Ascorbic acid, crystalline (BASF)	0.25
50.000	11	Water	5
QS	12	Sugar syrup	QS to 100 mL

MANUFACTURING DIRECTIONS

1. Heat mixture of items 1 to 4 to about 65°C, and stir well.
2. Add item 6 very slowly to the warm solution (65°C).
3. Mix with solution of items 7 to 11, and add item 12 to make up the volume. Parabens are generally a 1:10 ratio of methyl and propyl paraben.

MULTIVITAMIN SYRUP

Bill of Materials			
Scale (mg/mL)	Item	Material Name	Qty/100 mL (g)
170.00 U	1	Vitamin A palmitate 1.7 MM U/g (BASF)	0.010
2.00 U	2	Vitamin D 40 MM U/g	0.05 mg
1.00	3	Vitamin E acetate (BASF)	0.10
0.020	4	Butylhydroxytoluene	0.0020
45.0	5	Cremophor RH 40	4.50
100.00	6	Water	10.00
450.00	7	Saccharose	45.00
2.00	8	Methylparaben	0.20
0.080	9	Citric acid	0.080
9.60	10	Glycerol	9.60
250.00	11	Water	25.00
0.150	12	Thiamine hydrochloride (BASF)	0.015
0.150	13	Riboflavin 5'-phosphate sodium	0.015
0.55	14	Nicotinamide	0.055
0.150	15	Pyridoxine hydrochloride (BASF)	0.015
3.00	16	Ascorbic acid, crystalline (BASF)	0.30
1.00	17	Sorbic acid	0.10
5.00	18	Propylene glycol	5.00

MANUFACTURING DIRECTIONS

1. Heat items 1 to 5 and item 2 separately to approximately 60°C, and mix slowly with stirring to obtain a clear solution.
2. Dissolve items 7 to 9 in the hot solution of items 10 and 11 to obtain a clear solution.
3. Mix the cool solutions, and then add items 12 to 18, and adjust the pH value to 4.0 to 4.2.
4. Pass nitrogen for 10 min through the solution, and fill under nitrogen cover. Provides 1 to 2 RDA/20 mL.

MULTIVITAMIN WITH FLUORIDE—INFANT DROPS

Bill of Materials

Scale (mg/mL)	Item	Material Name	Qty/1000 L (g)
8.00	1	Niacin; use niacinamide, 5% excess	8.33
0.60	3	Riboflavin; use riboflavin-5'-phosphate sodium 2% excess	0.84
0.50 g	5	Methylparaben	0.50
1.00	6	Acid benzoic	1.00
5000 U	7	Vitamin E; use D-alpha tocopheryl polyethylene glycol 1000 succinate, 20% excess	13.82
400 U	9	Vitamin D; use viosterol in corn oil (synthetic oleovitamin D, 25% excess)	0.52
1500 U (0.45 mg)	11	Vitamin A palmitate synthetic A palmitate 1 MM U/g	1.44
35.00	14	Acid, ascorbic white powder, 33% excess	46.55
0.50	15	Thiamine hydrochloride, 44% excess	0.72
0.40	16	Pyridoxine; use pyridoxine hydrochloride	0.48
0.25	18	Fluoride; use sodium fluoride powder	0.55
4.01	20	Caramel acid proof	4.01
0.26	21	Oil orange terpenes	0.25
0.00001 mL	22	Alcohol, ethanol, 190 proof	0.101 mL
QS	23	Water purified, distilled	QS
QS	24	Acid hydrochloric	QS
QS	25	Sodium hydroxide	QS
QS	26	Carbon dioxide gas	QS

MANUFACTURING DIRECTIONS

Use only stainless steel tanks; minimize vortex formation to prevent aeration. Product attacks glass; avoid contact with glass.

- Charge 350 mL of purified water into the stainless steel jacketed main tank.
- Start mixing. Add, in order, niacinamide, riboflavin, sodium fluoride, methylparaben, and benzoic acid.
- Rinse the interior walls of tank with approximately 16 mL purified water.
- Continue mixing for the balance of the process.
- Heat the main tank to 95°C to dissolve ingredients. When the solution is complete, cool below 85°C (range 80–90°C).
- Add vitamin E to another tank, if necessary, by heating vitamin E container. Melt vitamin E in the tank.

- Add viosterol and vitamin A, and heat to 60°C to 65°C with mixing.
- Start bubbling in CO₂. Mix slowly for 10 minutes or longer to produce a clear solution. Start CO₂ gas protection on the main mixing tank, and continue for the balance of the process.
- With the main batch at 85°C to 90°C, add the solution of vitamins E, D, and A at 60°C to 65°C with mixing. The addition may cause the temperature of the main batch to drop below the specified range; readjust to 85°C to 90°C.
- Mix, and maintain at this temperature until solution is complete, after which cool to below 30°C. Add the glycerin with mixing. Adjust the temperature to the 25°C to 5°C range, and maintain at this temperature before proceeding.
- Add and dissolve with mixing in the following order: ascorbic acid, thiamine, pyridoxine, and caramel. Rinse the caramel container with approximately 3 mL of water, and add the rinsings.
- Rinse the tank inner walls and mixer shaft with approximately 3 mL water.
- Dissolve the orange oil with mixing in the alcohol, and add to solution.
- Continue mixing for at least 30 minutes to ensure a homogeneous product.
- Stop mixing, and take pH (range: 3.1–3.3). If necessary, adjust with 10% sodium hydroxide or 10% hydrochloric acid (prepared by adding 1 mL hydrochloric acid, reagent grade, with 3.3 mL purified water). Mix.
- Stop mixing, and allow to stand for at least 4 hours to eliminate entrapped CO₂ gas.
- In a separate tank, properly cleaned, boil at least 65 mL of purified water for at least 15 minutes, cool while bubbling CO₂ into it, hold at 30°C, and adjust pH in the range 3.1 to 3.3.
- Filter using a lint-free paper; do not use filter aids.
- Recirculate product back to main mixing tank until clear. Flush a storage tank with CO₂ for at least 10 minutes with the CO₂ valve completely open.
- Filter product into this storage tank. Fill under carbon dioxide cover.

NAFARELIN ACETATE NASAL SOLUTION

Synarel nasal solution contains nafarelin acetate (2 mg/mL, content expressed as nafarelin base) in a solution of benzalkonium chloride, glacial acetic acid, sodium nitride, polysorbate 80, aroma, and water. The solution is isotonic with a pH of 7. It contains no chlorofluorocarbons.

NAPROXEN SUSPENSION

Naprosyn (naproxen) suspension for oral administration contains 125 mg/5 mL of naproxen in a vehicle containing sucrose, magnesium aluminum silicate, sorbitol solution, and

sodium chloride (30 mg/5 mL, 1.5 mEq), methylparaben, fumaric acid, FD&C Yellow No. 6, imitation pineapple flavor, imitation orange flavor, and purified water. The pH of the suspension ranges from 2.2 to 3.7.

NEVIRAPINE SUSPENSION

Viramune oral suspension is for oral administration. Each 5 mL of Viramune suspension contains 50 mg nevirapine (as nevirapine hemihydrate). The suspension also contains the following excipients: carbomer 934P, methylparaben, propylparaben, sorbitol, sucrose, polysorbate 80, sodium hydroxide, and water.

NICOTINE SPRAY

Each 10 mL spray bottle contains 100 mg nicotine (10 mg/mL) in an inactive vehicle containing disodium phosphate, sodium dihydrogen phosphate, citric acid, methylparaben, propylparaben, EDTA, sodium chlorhydroxide or hydrochloric acid (to adjust pH), sorbitol, and purified water.

NIMESULIDE SUSPENSION

Bill of Materials			
Scale (mg/mL)	Item	Material Name	Qty/L (g)
10.00	1	Nimesulide	10.00
400.00	2	Sucrose	400.00
49.00	3	Propylene glycol	49.00
1.00	4	Methylparaben	1.00
0.20	5	Propylparaben	0.20
2.80	6	Sodium benzoate	2.80
0.20	7	Disodium edetate	0.20
0.50	8	Sodium citrate	0.50
0.10 mL	9	Sorbitol solution 70%	100 mL
4.00	10	Carboxymethyl cellulose sodium	4.00
2.00	11	Aerosil™ 200	2.00
3.30	12	Citric acid	3.30
1.00	13	Hydroxypropyl methylcellulose	1.00
0.48	14	Simethicone emulsion	0.48
QS	15	Flavor	QS
QS	16	Water purified	QS to 1 L

MANUFACTURING DIRECTIONS

1. In a suitable stainless steel container, heat item 16 to 70°C.
2. Add and dissolve sodium benzoate, disodium edetate, and sodium citrate.
3. Filter through a filter press.
4. Add sugar till completely dissolved.
5. Filter again through a filter press.

6. In a separate container, place propylene glycol and sorbitol solution. Add carboxymethyl cellulose and Aerosil™, homogenize, and store for a few hours.
7. Add and mix in step 5 hydroxypropyl methylcellulose and simethicone emulsion.
8. Add item 1, and make a slurry in step 6.
9. Add step 7 into step 4, and make up the volume with item 16.

NIMODIPINE CAPSULES

Each liquid-filled capsule contains 30 mg nimodipine in a vehicle of glycerin, peppermint oil, purified water, and polyethylene glycol 400. The soft gelatin capsule shell contains gelatin, glycerin, purified water, and titanium dioxide.

NITROGLYCERIN LINGUAL SPRAY

Nitrolingual pumpspray (nitroglycerin lingual spray 400 µg) is a metered-dose spray containing nitroglycerin. This product delivers nitroglycerin (400 µg per spray, 75 or 200 metered sprays) in the form of spray droplets onto or under the tongue. Inactive ingredients are medium-chain triglycerides, dehydrated alcohol, medium-chain partial glycerides, and peppermint oil.

NOREPHEDRINE SYRUP

Bill of Materials			
Scale (mg/mL)	Item	Material Name	Qty/L (g)
40.00	1	DL-Norephedrine hydrochloride	40.00
10.00	2	Parabens	10.00
50.00	3	Saccharin sodium	50.00
30.00	4	Kollidon® 90F	30.00
500.00	5	Sorbitol solution	500.00
460.00	6	Water	460.00

MANUFACTURING DIRECTIONS

1. Dissolve the parabens in the hot water (90–95°C).
2. Add the sorbitol, cool to room temperature, and dissolve the other components.
3. To prevent discoloration of Kollidon® in the solution during storage, 0.1% to 0.5% of cysteine could be added as antioxidant.
4. Flavors should be added to adjust the required taste.

NOREPHEDRINE SYRUP**Bill of Materials**

Scale (mg/mL)	Item	Material Name	Qty/L (g)
40.00	1	DL-norephedrine hydrochloride	40.00
4.00	2	Parabens	4.00
5.00	3	Saccharin sodium	5.00
3.00	4	Kollidon® 90F	3.00
500.00	5	Sorbitol solution	500.00
460.00	6	Water	460.00

MANUFACTURING DIRECTIONS

1. Dissolve the parabens in the hot water, add the sorbitol, cool to room temperature, and dissolve the other components.
2. To prevent discoloration of Kollidon® in the solution during storage, 0.1% to 0.5% cysteine could be added as an antioxidant.
3. Flavors should be added to adjust the taste as needed.

NYSTATIN ORAL SUSPENSION**Bill of Materials**

Scale (mg/mL)	Item	Material Name	Qty/L (g)
21.05	1	Nystatin microfine (particle size not less than 90% below 45 (nm), 100% below 80 (im; based on potency of 5500 U/g anhydrous; adjust accordingly; 10% overage)	21.050
600.00	2	Sucrose	600.000
1.80	3	Methyl paraben	1.8000
0.20	4	Propyl paraben	0.2000
150.00	5	Sorbitol (70% solution)	150.000
5.00	6	Microcrystalline cellulose	5.000
10.00	7	Glycerin	10.000
2.00	8	Carboxymethylcellulose sodium	2.000
2.00	9	Polysorbate 80	2.000
50.00	10	Glycerin	50.000
2.50	11	Saccharin sodium	2.500
2.00	12	Flavor	2.000
30.00	13	Alcohol (ethanol 95%)	30.000
QS	14	Sodium hydroxide	0.174
QS	15	Hydrochloric acid (37%)	0.296
—	16	Water purified	QS to 1 L

MANUFACTURING DIRECTIONS

1. Add 200 g of item 16 (90–95°C) into mixer, and heat to 90°C to 95°C. Dissolve items 3 and 4 while mixing. Add and dissolve item 2 while mixing at a speed of 18 rpm.
2. Cool down to approximately 50°C to 55°C.

3. Filter the syrup. Collect the syrup in a clean stainless steel tank. Avoid any loss of syrup. Clean the mixer.
4. Transfer the sugar syrup from the stainless steel tank into the mixer.
5. Add 100 g of item 5 into mixer while mixing.
6. Disperse item 6 in the mixture of 50 g of item 16 (25–30°C) and 50 g of item 5 in a stainless steel drum while mixing with stirrer.
7. Disperse item 8 in item 7 in a stainless steel drum while mixing with stirrer. Add 30 g of item 16 (90°C) to the solution. Stir until it becomes clear. Cool to 30°C.
8. Transfer the dispersion from step 3 and 4 into mixer.
9. Mix and homogenize under vacuum 0.4 to 0.6 bar for 10 minutes.
10. Stop homogenizer, and keep continuously mixing.
11. Dissolve item 9 in 50 g of item 16 (50°C) in a stainless steel container while mixing by stirrer.
12. Add item 10 into it. Disperse item 1 while stirring by stirrer. Cool to 30°C.
13. Add the drug dispersion into mixer while mixing.
14. Dissolve item 11 in 15 g of item 16 (25–30°C) in a stainless steel container while stirring by stirrer. Add to mixer while mixing.
15. Add items 12 and 13 into mixer while mixing.
16. Homogenize high speed and vacuum 0.4 to 0.6 bar. Mix and homogenize for 10 minutes.
17. Dissolve item 14 in 7 g of item 16 in a stainless steel container. Add slowly into the mixer while mixing.
18. Dissolve item 15 carefully in 7 g of item 16 in a stainless steel container. Slowly add the required quantity into mixer to adjust the pH between 6.8 and 7.1.
19. Make up the volume with item 16 to 1 L. Mix for 5 minutes.

NYSTATIN SUSPENSION**Bill of Materials**

Scale (mg/mL)	Item	Material Name	Qty/L (g)
22.50	1	Nystatin	22.50
57.50	2	Kollidon® CL-M	57.50
20.00	3	Kollidon® 90F	20.00
248.00	4	Sorbitol	248.00
5.00	5	Citric acid	5.00
QS	6	Water purified	QS to 1 L

MANUFACTURING DIRECTIONS

1. Charge items 1, 2, and 4 in a suitable stainless steel vessel, and suspend in item 6; mix well.
2. Add item 3 slowly while stirring and in small portions, and then follow up with vigorous stirring to obtain smooth suspension. Homogenize if necessary.
3. Fill.

OFLOXACIN OTIC SOLUTION

Floxin otic contains 0.3% (3 mg/mL) ofloxacin with benzalkonium chloride (0.0025%), sodium chloride (0.9%), and water for injection. Hydrochloric acid and sodium hydroxide are added to adjust the pH to 6.5 ± 0.5 .

Bill of Materials			
Scale (mg/mL)	Item	Material Name	Qty/L (g)
3.00	1	Oflxacin	3.00
QS	2	Vehicle (Pluraflo 1220 45.48%, ethanol 5.05%, propylene glycol 41.23%, anhydrous glycerin 8.24)	QS to 1 L
QS	3	Perfumes	QS

MANUFACTURING DIRECTIONS

1. Add propylene glycol, Pluraflo, glycerin, and ethanol to a clean vessel.
2. While stirring, add ofloxacin. Stir until a clear solution is obtained.
3. Add perfume, and mix until uniform.

OMEPRAZOLE SOLUTION

Bill of Materials			
Scale (mg/mL)	Item	Material Name	Qty/L (g)
20.00	1	Omeprazole free base	20.00
QS	2	Vehicle (Pluronic F127 34.07%, ethanol 10.43%, propylene glycol 42.18%)	1.00 L
1.00	3	Sodium metabisulfite	1.00
1.00	4	Disodium EDTA	1.00
2.50	5	Sodium saccharin	2.50
1.10	6	Monoammonium glycyrrhizinate	1.10
3.50	7	Acesulfame	3.50
QS	8	Flavor	QS

MANUFACTURING DIRECTIONS

1. Add propylene glycol and poloxamer to a clean vessel (main mix).
2. While stirring, heat the mixture as appropriate to sufficiently melt the poloxamer.
3. Once a uniform solution is obtained, remove from heat source, and continue mixing.

4. In a separate vessel (alcohol premix), add alcohol, omeprazole base, and monoammonium glycyrrhizinate, and mix until uniform. In another vessel (water premix), add water, EDTA, sodium saccharin, acesulfame, and sodium metabisulfite.
5. Mix until all materials are dissolved.
6. Add the alcohol-containing premix to the main mixing vessel containing the poloxamer.
7. Mix until uniform.
8. While stirring, add the water-containing premix to the main vessel, and continue to mix until uniform.
9. Subsequently, add desired flavor component, and mix until uniform.

ONDANSETRON HYDROCHLORIDE DIHYDRATE ORAL SOLUTION

Each 5 mL of Zofran oral solution contains 5 mg of ondansetron HCl dihydrate equivalent to 4 mg of ondansetron. Zofran oral solution contains the inactive ingredients citric acid anhydrous, purified water, sodium benzoate, sodium citrate, sorbitol, and strawberry flavor.

ORCIPRENALINE SULFATE AND CLOBUTINOL HYDROCHLORIDE SYRUP

Bill of Materials			
Scale (mg/5 mL)	Item	Material Name	Qty/L (g)
10.00	1	Natrosol 250 M	2.00
5.00	2	Sodium benzoate	1.00
10.00	3	Saccharin sodium	2.00
35.00	4	Ammonium chloride	7.00
26.24	5	Citric acid	5.25
4.00	6	Sodium citrate	0.80
2500.00	7	Sorbitol 70%	500.00
500.00	8	Glycerin	100.00
5.00	9	Orciprenaline sulfate, 5% excess	1.05
20.00	10	Clobutinol hydrochloride	4.20
40.40	11	Alcohol	8.00
0.20	12	Anise oil	0.04
QS	13	Water purified	QS to 1 L

MANUFACTURING DIRECTIONS

1. In a suitable stainless steel mixing vessel, place 250 mL of item 13, and heat to 70°C to 75°C . Add item 1, and mix well; cool to room temperature.
2. In 10 mL of item 13, add and dissolve item 2 and 3, and add to step 2.
3. In 20 mL of item 13, add and dissolve item 4, and add to preceding step.

4. In a separate vessel, add items 50 mL of item 13 and item 8, and mix well; add to step 4.
5. In 50 mL of item 13, add item 10, mix well, and add to step 5.
6. In 50 mL of item 13, add item 9, mix well, and add to step 6.
7. Adjust pH to 3.1 to 3.2 using item 5.
8. Filter through 100 micron filter and then through filter pads.
9. Make up volume, and fill.

OXITROPIUM AND FORMOTEROL NASAL SPRAY

1. Place 4.5 g of micronized oxitropium bromide and 0.675 g of micronized formoterol fumarate into a pressure addition vessel.
2. After sealing and evacuation thereof, add 10.5 kg of HFA 227, which has previously been aerated with carbon dioxide and adjusted to a pressure of 6.25 bar (20°C) in another pressure addition vessel.
3. After homogenization of this mixture, dispense the suspension obtained into aluminum containers sealed with metering valves by means of the pressure-filling technique.

Oxycodone Hydrochloride Oral Concentrate Solution: Each 1 mL of Oxyfast concentrate solution contains oxycodone hydrochloride, 20 mg citric acid, FD&C Yellow No. 10, sodium benzoate, sodium citrate, sodium saccharine, and water.

Oxymetazoline Hydrochloride Congestion Nasal Spray: Each milliliter of Afrin severe congestion nasal spray contains oxymetazoline hydrochloride 0.05%. It also contains benzalkonium chloride, benzyl alcohol, camphor, EDTA, eucalyptol, menthol, polysorbate 80, propylene glycol, sodium phosphate dibasic, sodium phosphate monobasic, and water.

OXYMETAZOLINE HYDROCHLORIDE NASAL SOLUTION

Bill of Materials			
Scale (g/100 mL)	Item	Material Name	Qty/L (g)
0.025	1	Oxymetazoline hydrochloride	0.25
0.03	2	Benzalkonium chloride (50% solution)	0.30
0.05	3	Disodium edetate (sodium EDTA)	0.50
0.025	4	Sodium hydroxide (1 N solution)	0.25
1.02	5	Monobasic sodium phosphate	10.20
2.80	6	Dibasic sodium phosphate	28.00
—	7	Water purified	QS to 1 L

MANUFACTURING DIRECTIONS

Oxymetazoline hydrochloride is toxic. There is a risk of serious intoxication if inhaled or swallowed. This product is a colorless, odorless, membrane-filtered solution. Thus, make sure that the receiving tank for the filtered solution is cleaned and free of any contamination.

1. Heat 1 kg of item 7 to 85°C to 90°C in the manufacturing vessel. Hold the temperature at 85°C to 90°C for 30 minutes.
2. Cool item 7 to 30°C, and transfer into mobile tank.
3. Add 900 g of cold item 7 (from step 2) into manufacturing vessel.
4. Dissolve items 1 to 6 one by one while mixing in manufacturing vessel containing cold item 7.
5. After completion of addition, mix for 20 more minutes.
6. Make up the volume to 1 L with cold item 7, and finally, mix for 20 minutes.
7. Check and record the pH (limit: 6.8 ± 0.1).
8. Filter the solution through Sartorius prefilter and membrane filter 0.2 µm into receiving tanks.

OXYMETAZOLINE MOISTURIZING NASAL SPRAY

Each milliliter of Afrin extra moisturizing nasal spray contains oxymetazoline hydrochloride, 0.05%. It also contains benzalkonium chloride, EDTA, glycerin, polyethylene glycol, polyvinylpyrrolidone, propylene glycol, sodium phosphate dibasic, sodium phosphate monobasic, and water.

OXYMETAZOLINE NASAL SPRAY

Each milliliter of Afrin original nasal spray and pump mist contains oxymetazoline hydrochloride 0.05%. It also contains benzalkonium chloride, EDTA, polyethylene glycol, polyvinylpyrrolidone, propylene glycol, sodium phosphate dibasic, sodium phosphate monobasic, and water.

OXYMETAZOLINE SINUS NASAL SPRAY

Each milliliter of Afrin sinus nasal spray contains oxymetazoline hydrochloride 0.05%. It also contains benzalkonium chloride, benzyl alcohol, camphor, EDTA, eucalyptol, menthol, polysorbate 80, propylene glycol, sodium phosphate dibasic, sodium phosphate monobasic, and water.

OXYMETAZOLINE NASAL SOLUTION

Bill of Materials			
Scale (mg/mL)	Item	Material Name	Qty/L (g)
QS	1	Vehicle (Pluronic F127 40.27%, ethanol 26.18%, water 33.55%)	QS to 1 L
0.50	2	Oxymetazoline	0.50
1.50	3	Tyloxapol	1.50
0.40	4	Dibasic sodium phosphate	0.40
1.30	5	Monobasic potassium phosphate	1.30
0.40	6	Benzalkonium chloride	0.40
2.60	7	Chlorhexidine gluconate	2.60
0.10	8	Disodium EDTA	0.10

MANUFACTURING DIRECTIONS

1. Add the dibasic sodium phosphate, monobasic potassium phosphate, disodium EDTA, benzalkonium chloride, and oxymetazoline HCl to a clean vessel.
2. Add tyloxapol, chlorhexidine gluconate, and ethanol to the vessel.
3. Subsequently, add the poloxamer and water to the vessel.
4. Mix until uniform.

PEPTIDE TOPICAL LIQUID

FORMULATION

Peptide such as thymic fraction 5, glycerin 44.5, propylene glycol 44.9, methyl nicotinate 0.1, water 50, polysorbate 80, 0.5% by weight.

PHENIRAMINE MALEATE SYRUP

Bill of Materials			
Scale (mg/5 mL)	Item	Material Name	Qty/L (g)
15.00	1	Pheniramine maleate	3.00
2980.00	2	Sugar	596.00
5.40	3	Methylparaben	1.08
0.60	4	Propylparaben	0.11
0.60	5	Citric acid monohydrate	0.11
1.50	6	Sodium citrate	0.30
3.50	7	Flavor	0.70
QS	8	Water purified	QS to 1 L

MANUFACTURING DIRECTIONS

1. Charge 700 mL item 8 in a suitable mixing vessel, and heat to 90°C to 95°C.
2. Add and mix item 2.

3. Add items 3 and 4, and mix to dissolve.
4. In separate vessels in approximately 100 mL item 8, add and dissolve items 5 to 7 and item 1 separately.
5. Add the two mixtures in step 3 to step 2 at room temperature.
6. Make up the volume.

PHENOBARBITAL, HYOSCYAMINE SULFATE, ATROPINE SULFATE, AND SCOPOLAMINE HYDROBROMIDE ELIXIR

Each 5 mL (teaspoonful) of elixir (23% alcohol) contains phenobarbital 16.2 mg, hyoscyamine sulfate 0.1037 mg, atropine sulfate 0.0194 mg, and scopolamine hydrobromide 0.0065 mg; D&C Yellow No. 10, FD&C Blue No. 1, FD&C Yellow No. 6, flavors, glucose, saccharin sodium, and water.

PHENYLEPHRINE TANNATE AND CHLORPHENIRAMINE TANNATE PEDIATRIC SUSPENSION

Rynatan® pediatric suspension is an antihistamine/nasal decongestant combination available for oral administration as a suspension. Each 5 mL (one teaspoonful) of the slate purple-colored, natural strawberry, artificial currant-flavored suspension contains phenylephrine tannate 5 mg, chlorpheniramine tannate 4.5 mg, benzoic acid, FD&C Blue No. 1, FD&C Red No. 3, FD&C Red No. 40, FD&C Yellow No. 5, flavors (natural and artificial), glycerin, kaolin, magnesium aluminum silicate, methylparaben, pectin, purified water, saccharin sodium, and sucrose.

PHENYLEPHRINE TANNATE AND PYRILAMINE TANNATE SUSPENSION

RYNA-12 S suspension is an antihistamine/nasal decongestant combination available for oral administration as a suspension. Each 5 mL (one teaspoonful) of the pink-colored, natural strawberry, artificial currant-flavored suspension contains phenylephrine tannate 5 mg, pyrilamine tannate 30 mg, benzoic acid, FD&C Red No. 3, flavors (natural and artificial), glycerin, kaolin, magnesium aluminum silicate, methylparaben, pectin, purified water, saccharin sodium, and sucrose.

**PHENYLPROPANOLAMINE,
CHLORPHENIRAMINE, DEXTROMETHORPHAN,
AND VITAMIN C SYRUP**

Bill of Materials

Scale (mg/mL)	Item	Material Name	Qty/L (g)
150.00	1	Polyethylene glycol 400	150.00
21.66	2	Acetaminophen	21.66
0.075 mL	3	Glycerin	75.000 mL
0.35 mL	4	Sorbitol solution	350.000 mL
1.00	6	Acid, benzoic	1.00
1.75	7	Saccharin sodium, powder, dihydrate	1.75
0.91	8	Phenylpropanolamine hydrochloride	0.92
0.065	9	Chlorpheniramine maleate (10% excess)	0.073
0.66	10	Dextromethorphan hydrobromide	0.67
20.00	11	Sodium CMC premium low viscosity	0.02
70.00	12	Dye	0.070
6.00	13	Dye	0.006
5.00	14	Ascorbic acid; use sodium ascorbate fine powder	5.62
0.50	15	Flavor orange	0.50
0.25	16	Flavor orange	0.25
QS	17	Carbon dioxide gas	QS
QS	18	Water purified	QS to 1 L

MANUFACTURING DIRECTIONS

- In a covered stainless steel container, heat 500 mL water to boiling. Boil for 30 minutes.
- Turn off the heat, and while keeping the container covered, cool the water to 30°C while purging it with carbon dioxide.
- Keep this water in a covered container blanketed with carbon dioxide gas, and use where indicated.
- Transfer to the main stainless steel mixing tank the polyethylene glycol 400, cover, start bubbling CO₂ gas, and then while mixing, slowly heat to 60°C to 65°C. Maintain at this temperature.
- While mixing, add and dissolve the acetaminophen. Maintain the temperature and CO₂ protection.
- When all the acetaminophen has dissolved, add, while mixing, the glycerin and sorbitol.
- Continue mixing while maintaining the temperature and CO₂ gas protection until used later. Do not allow the temperature to go above 65°C. During this mixing period, remove samples through the bottom valve of the mixing tank, and inspect for clarity. Return samples to the mixing tank.
- Continue mixing and sampling until absolutely clear.

- In a separate stainless steel mixing tank, add 300 mL water, cover, and then heat to 90°C.
- While maintaining at this temperature, start bubbling CO₂ gas and then while mixing, add and dissolve successively the benzoic acid, saccharin sodium, and phenylpropanolamine hydrobromide.
- Continue mixing until all have dissolved. Reduce the temperature to 60°C to 65°C while mixing. Do not force cool.
- To the solution in the main mixing tank, add, while mixing and bubbling CO₂ gas, the solution from the preceding step. Rinse the container with two lots of 5 mL carbon dioxide-saturated water, and add the rinsings to the batch while mixing.
- Continue mixing for 15 minutes while maintaining the temperature at 60°C to 65°C and CO₂ gas protection.
- While mixing the batch, sprinkle on the sodium CMC.
- Continue mixing until all the sodium CMC has been dispersed. Check to be sure there are no undissolved lumps.
- Add CO₂-saturated water from step 15 and mix while cooling the batch to 30°C. Dissolve the dyes in 10 mL carbon dioxide-saturated water, and then add to the batch with mixing.
- Rinse the container with two lots of 5 mL of the same water, and add the rinsings to the batch. Mix until a homogeneously colored batch is formed.
- Stop bubbling in CO₂ gas, but maintain CO₂ protection of the tank headspace. In a stainless steel container, dissolve the sodium ascorbate in 25 mL carbon dioxide-saturated water, taking care to minimize exposure of the solution to air or light.
- Mix all solutions, add rinsings where necessary, and continue mixing for 15 minutes.
- Add the flavors, complete the batch to 1 L with carbon dioxide-saturated water, and mix well for 1 hour.
- Stop mixing, saturate the headspace with CO₂, and leave overnight to release any entrapped air.

**PHENYLPROPANOLAMINE,
CHLORPHENIRAMINE, DEXTROMETHORPHAN,
AND VITAMIN C SYRUP**

Bill of Materials

Scale (mg/mL)	Item	Material Name	Qty/L (g)
150.00	1	PEG-400 (low color), NF	150.00
21.66	2	Acetaminophen, USP	21.66
0.075 mL	3	Glycerin, USP (96%)	75.00 mL
0.35 mL	4	Sorbitol; use sorbitol solution, USP	350.00 mL
1.00	5	Benzoic acid, USP	1.00
1.75	6	Saccharin sodium (dihydrate powder), USP	1.75
0.91	7	Phenylpropanolamine hydrochloride, USP	916.70 mg
0.06	8	Chlorpheniramine maleate, USP (plus 10% manufacturing)	73.30 mg
0.66	9	Dextromethorphan hydrobromide, USP	667.00 mg
20.00	10	Sodium CMC (premium low viscosity)	20.00
70.00	11	Dye	70.00 mg
6.00	12	Dye	6.00 mg
5.00	13	Ascorbic acid; use sodium ascorbate (fine powder)	5.62
0.50	14	Flavor, orange	500.00 mg
0.25	15	Flavor, orange	250.00 mg
QS	16	Carbon dioxide gas	QS
QS	17	Purified water, USP	QS to 1 L

MANUFACTURING DIRECTIONS

Manufacture under complete CO₂ protection. Bubble the CO₂ gas through the solution from the bottom of the tank.

If excessive foaming occurs, change CO₂ gas protection from the bottom to the top of the tank. Minimize vortex formation while mixing to prevent aeration of the product.

1. In a covered stainless steel container, heat 500 mL of water to boiling. Boil for 30 minutes.
2. Turn off the heat; while keeping the container covered, cool the water to 30°C while purging the water with CO₂.
3. Keep this water in a covered container blanketed with CO₂ gas, and use where indicated.
4. Transfer the PEG-400 to the main stainless steel mixing tank, and cover.
5. Start bubbling CO₂ gas; while mixing, slowly heat to 60°C to 65°C. Maintain at this temperature.
6. While mixing, add and dissolve the acetaminophen. Maintain the temperature and CO₂ protection.
7. When all the acetaminophen has dissolved, add, while mixing, the glycerin and sorbitol.

8. Continue mixing while maintaining the temperature and CO₂ gas protection until mixture is used later.
9. Do not allow the temperature to go above 65°C.
10. During this mixing period, remove samples through the bottom valve of the mixing tank, and inspect for clarity; return samples to the mixing tank. Continue mixing and sampling until absolutely clear.
11. In a separate stainless steel mixing tank, heat 300 mL of water, covered, to 90°C.
12. While maintaining at this temperature, start bubbling CO₂ gas.
13. While mixing, add and dissolve successively the benzoic acid, saccharin sodium, and phenylpropanolamine hydrobromide. Continue mixing until all have dissolved.
14. Reduce the temperature to 60°C to 65°C while mixing. Do not force-cool.
15. Add the solution from preceding step to the solution in the main mixing tank while mixing and bubbling CO₂ gas.
16. Rinse the container with two lots of 5 mL of CO₂-saturated water, and add the rinsings to the batch while mixing.
17. Continue mixing for 15 minutes while maintaining the temperature at 60°C to 65°C and under CO₂ gas protection.
18. While mixing the batch, sprinkle on the sodium CMC.
19. Continue mixing until all the sodium CMC has been dispersed.
20. Check on the absence of any undissolved lumps.
21. Add CO₂-saturated water from step 3 to 900 mL, and mix while cooling the batch to 30°C.
22. Dissolve the dyes in 10 mL of CO₂-saturated water, and then add to the batch with mixing.
23. Rinse the container with two lots of 5 mL of the same water, and add the rinsings to the batch.
24. Mix until a homogeneously colored batch is formed.
25. Stop bubbling in CO₂ gas, but maintain CO₂ protection of the tank headspace.
26. In a stainless steel container, dissolve the sodium ascorbate in 25 mL of CO₂-saturated water, taking care to minimize exposure of the solution to air or light.
27. Mix all solutions, add rinsings where necessary, and continue mixing for 15 minutes.
28. Add the flavors, complete the batch to 1 L with CO₂ saturated water, and mix well for 1 hour.
29. Stop mixing, saturate the headspace with CO₂, and leave overnight to release any entrapped air.

PHENYLPROPANOLAMINE CONTROLLED-RELEASE CAPSULES

Bill of Materials			
Scale (mg/mL)	Item	Material Name	Qty/L (g)
33.00	1	Phenylpropanolamine	33.00
QS	2	Vehicle (Pluraflon 1220 70.12%, ethanol 2.26%, anhydrous glycerin 16.35%)	QS to 1 L
1.00	3	Sodium metabisulfite	1.00
1.00	4	Disodium EDTA	1.00

MANUFACTURING DIRECTIONS

1. Add alcohol, propylene glycol, EDTA, sodium metabisulfite, and phenylpropanolamine to a clean vessel, and begin mixing.
2. Subsequently, add Pluraflon and glycerin to the vessel.
3. Mix until uniform.
4. This liquid may be filled into hard gelatin capsules that are then banded to prevent leakage, or it may be used as the fill for a soft elastic gelatin capsule. One capsule is made to contain 0.75 mL of the liquid and, taken three times daily, provides controlled release of the phenylpropanolamine active. After swallowing, the gelatin shell dissolves in the gastrointestinal tract, and the liquid fill immediately transforms into a slow-dissolving gel that provides controlled release of the phenylpropanolamine.

PHENYTOIN SUSPENSION

Each teaspoonful of suspension contains 125 mg phenytoin, with maximum alcohol content not greater than 0.6%. It also contains carboxymethylcellulose sodium; citric acid, anhydrous; flavors; glycerin; magnesium aluminum silicate; polysorbate 40; purified water; sodium benzoate; sucrose; vanillin; and FD&C Yellow No. 6.

PHENYTOIN SUSPENSION

Bill of Materials			
Scale (mg/mL)	Item	Material Name	Qty/L (g)
50.00	1	Phenytoin	50.00
80.00	2	Kollidon® CL-M	80.00
10.00	3	Kollidon® 90F	10.00
QS	4	Preservative	QS
QS	5	Water purified	QS to 1 L

MANUFACTURING DIRECTIONS

1. Charge in a suitable stainless steel-jacketed vessel item 5, and heat to 90°C to 95°C.
2. Add and dissolve preservatives (e.g., parabens). Stir to complete solution.
3. Cool to 40°C.
4. Add item 3, and dissolve.
5. Add item 2, and suspend.
6. Add item 1, and suspend. Homogenize if necessary.
7. Fill.

PIPENZOLATE METHYL BROMIDE AND PHENOBARBITAL DROPS

Bill of Materials			
Scale (mg/5 mL)	Item	Material Name	Qty/L (g)
20.00	1	Pipenzolate methyl bromide	4.00
30.00	2	Phenobarbital	6.00
350.00	3	Alcohol	70.00
1000.00	4	Propylene glycol	200.00
450.00	5	Propylene glycol	90.00
33.00	6	Sodium saccharin	6.66
2500.00	7	Glycerin	500.00
5.00	8	Peppermint oil	1.00
1.65	9	Flavor	0.33
1.65	10	Flavor	0.33
0.20	11	Dye	0.04
10.00	12	Sodium citrate	2.00
17.70	13	Citric acid monohydrate	3.54
QS	14	Water purified	QS to 1 L

MANUFACTURING DIRECTIONS

1. Charge 150 mL item 14 in a suitable stainless steel vessel, heat to 90°C for 1 hour, and then cool to room temperature.
2. Add items 1, 6, 11, 12, and 13, and mix well.
3. In a separate vessel, place items 4 and 7, and mix well for 10 minutes.
4. In a separate vessel, place items 2, 3, 5, flavors, and item 7, and mix well.
5. Add step 4 to step 3, and mix well.
6. Add step 5 to step 1, make up volume, and mix well.
7. Fill.

PODOFILOX SOLUTION

Condylox is the brand name of podofilox, an antimetabolic drug that can be chemically synthesized or purified from the plant families *Coniferae* and *Berberidaceae* (e.g., species of *Juniperus* and *Podophyllum*). Condylox 0.5% solution is formulated for topical administration. Each milliliter of solution

contains 5 mg of podofilox in a vehicle containing lactic acid and sodium lactate in alcohol 95%.

POLIDOCANOL WOUND SPRAY

Bill of Materials			
Scale (mg/g)	Item	Material Name	Qty/kg (g)
5.00	1	Polidocanol	5.00
50.00	2	Kollidon® VA 64	50.00
50.00	3	Ethocel® 20	50.00
20.00	4	Lutrol E 400	20.00
675.00	5	Ethyl acetate	675.00
200.00	6	Isopropanol	200.00

MANUFACTURING DIRECTIONS

1. Dissolve the items 1 to 4 in the solvent mixture of items 5 and 6.
2. Fill the solution into spray cans with the necessary quantity of propellant (e. g., propane/butane) or in a mechanical pump bottle.

POLYVINYLPIRROLIDONE–IODINE GARGLE SOLUTION

Bill of Materials			
Scale (mg/mL)	Item	Material Name	Qty/L (g)
10.00	1	Polyvinylpyrrolidone–iodine, powder, 35% excess	13.500
10.00	2	Glycerin (96%)	10.000
QS	3	Water purified	QS to 1 L

MANUFACTURING DIRECTIONS

Wear gloves and mask during all phases of manufacturing and filling. Do not keep the lid of the manufacturing or storage tank open unless necessary, as iodine may be liberated.

1. Add 600 mL purified water to a suitable stainless steel manufacturing tank.
2. Add polyvinylpyrrolidone–iodine powder slowly to first step (with continuous stirring).
3. Stir for 30 minutes or until a clear brown solution is obtained.
4. Add glycerin to the manufacturing tank. Stir until uniform solution is obtained.
5. Make up volume to 1 L with purified water, and mix well for 5 minutes.
6. Check pH (range: 2–4). Filter the solution through a 100 mesh nylon cloth, and transfer to a stainless steel storage tank.

7. Keep the storage tank tightly closed.

POLYVINYLPIRROLIDONE–IODINE GARGLE SOLUTION CONCENTRATE

Bill of Materials			
Scale (mg/mL)	Item	Material Name	Qty/L (g)
100.00	1	Polyvinylpyrrolidone–Iodine 30/06	100.00
10.00	2	Propylene glycol (pharma)	10.00
90.00	3	Ethanol 96%	90.00
800.00	4	Water	800.00

MANUFACTURING DIRECTIONS

1. Dissolve the polyvinylpyrrolidone–iodine in the solvent mixture.
2. Brown transparent liquid: Dilute 10 mL of the concentrate with approximately 100 mL water before use.

POLYVINYLPIRROLIDONE–IODINE LIQUID SPRAY

Bill of Materials			
Scale (mg/g)	Item	Material Name	Qty/kg (g)
100.00	1	Polyvinylpyrrolidone–Iodine 30/06	100.00
150.00	2	Kollidon® VA 64	150.00
750.00	3	<i>n</i> -Propanol	750.00
750.00	4	Ethanol	750.00

MANUFACTURING DIRECTIONS

1. Dissolve Kollidon® VA 64 in the mixture of solvents.
2. Slowly add polyvinylpyrrolidone–iodine to the well-stirred solution.
3. Fill in aerosol cans with propellants such as propane and butane or with manual valves.

POLYVINYLPIRROLIDONE–IODINE MOUTHWASH

Bill of Materials

Scale (mg/g)	Item	Material Name	Qty/kg (g)
100.0	1	Polyvinylpyrrolidone–Iodine	100.0
5.0	2	Sodium saccharin	5.0
2.0	3	Menthol	2.0
0.5	4	Oil aniseed	0.5
0.5	5	Eucalyptus oil	0.5
160.0	6	Polyethylene glycol 400	160.0
300.0	7	Ethanol	300.0
440.0	8	Water purified	440.0

MANUFACTURING DIRECTIONS

1. Dissolve polyvinylpyrrolidone–iodine powder and sodium saccharin in 440 g water to obtain a clear solution.
2. In a separate container, add alcohol, and mix and dissolve aniseed oil, eucalyptus oil, menthol, and polyethylene glycol 400 to obtain a clear solution.
3. Add solution from step 1 and mix with stirring. Package in HDPE plastic bottles.

POLYVINYLPIRROLIDONE–IODINE MOUTHWASH AND GARGLE SOLUTION CONCENTRATE

Bill of Materials

Scale (mg/g)	Item	Material Name	Qty/kg (g)
75.00	1	Polyvinylpyrrolidone–Iodine 30/06	75.00
5.00	2	Saccharin sodium	5.00
150.00	3	Water	150.00
2.00	4	Menthol	2.00
1.00	5	Anise oil + eucalyptus oil, 1+1	1.00
150.00	6	Lutrol E 400	150.00
500.00	7	Ethanol 96%	500.00

MANUFACTURING DIRECTIONS

1. Dissolve polyvinylpyrrolidone–iodine and saccharin in water, and mix with solution of items 4 to 7.
2. A brown, transparent liquid having a fresh odor is formed.
3. Dilute 10 to 20 mL with a glass of water. A brown liquid is obtained having a fresh taste.

POLYVINYLPIRROLIDONE–IODINE SCRUB

Bill of Materials

Scale (mg/mL)	Item	Material Name	Qty/L (g)
75.00	1	Polyvinylpyrrolidone–iodine, powder, 40% excess	105.000
250.00	2	Sodium lauryl sulfate	250.000
35.00	3	Lauric diethanolamide	35.000
QS	4	Water purified, distilled	QS to 1 L

MANUFACTURING DIRECTIONS

1. Add 600 mL purified water to a suitable stainless steel manufacturing tank.
2. Add, by sprinkling, sodium lauryl sulfate in the manufacturing tank.
3. Continue to mix slowly under vacuum, and begin to heat until product temperature is 70°C.
4. Continue to mix vigorously under vacuum at 65°C to 70°C for 15 minutes or until completely dissolved. Do not add detergent quickly, as a gel may form that is difficult to dissolve. Stop mixer, release vacuum, and open tank.
5. Add and disperse the previously broken lauric diethanolamide in the warmed solution in preceding step.
6. Maintain vacuum, and then mix vigorously for 30 minutes at 65°C to 70°C or until completely dissolved.
7. Slowly cool under vacuum to room temperature with slow mixing. Do not force cool with cold water; otherwise, the mixture will adhere to the walls of the manufacturing tank.
8. When temperature reaches 30°C, release vacuum, and open tank.
9. While mixing slowly, add polyvinylpyrrolidone–iodine in small portions.
10. Rinse the container of polyvinylpyrrolidone–iodine with 150 mL purified water, and add to the main tank. Do not keep the lid of the manufacturing or storage tank open unless necessary, as iodine may be liberated.
11. Mix under vacuum until a clear, reddish-brown solution is obtained.
12. Make volume to 1 L with purified water, and mix well under vacuum for at least 15 minutes to ensure product uniformity and to deaerate the product.
13. Stop mixing, release the vacuum, and open the tank.
14. Check and record pH (range: 3–6).
15. Filter the solution through 100 mesh nylon cloth.

POLYVINYLPIRROLIDONE–IODINE SOLUTION**Bill of Materials**

Scale (mg/g)	Item	Material Name	Qty/kg (g)
100.00	1	Polyvinylpyrrolidone–iodine 30/06	100.00
0.230	2	Texapon K 12	0.230
1.40	3	Sodium biphosphate	1.40
0.30	4	Sodium citrate	0.30
20.80	5	Sodium hydroxide solution, 1 M	20.80
10.00	6	Glycerol	10.00
864.20	7	Water	864.20

MANUFACTURING DIRECTIONS

1. Dissolve Texapon K 12 in solution of items 3 to 7.
2. Slowly add polyvinylpyrrolidone–iodine to the well-stirred solution. This creates a brown, transparent liquid having a pH of 4.5.

POLYVINYLPIRROLIDONE–IODINE SOLUTION**Bill of Materials**

Scale (mg/g)	Item	Material Name	Qty/kg (g)
100.00	1	Polyvinylpyrrolidone–Iodine 30/06	100.00
10.00	2	Natrosol® HR 250	10.00
2.00	3	Lutrol F 127	2.00
32.00	4	Sodium hydroxide, 1 M solution	32.00
856.00	5	Water	856.00

MANUFACTURING DIRECTIONS

1. Dissolve Lutrol F 127 and then Natrosol® in the water.
2. As soon as both are dissolved, slowly add the polyvinylpyrrolidone–iodine to the well-stirred solution.
3. Adjust the pH with the sodium hydroxide solution to about 3.5.

POLYVINYLPIRROLIDONE–IODINE SOLUTION**Bill of Materials**

Scale (mg/g)	Item	Material Name	Qty/kg (g)
20.00	1	Tylose M 300	20.00
2.00	2	Texapon K 12	2.00
595.00	3	Citric acid solution 0.1 M	595.00
283.00	4	Sodium biphosphate solution 0.2 M	283.00

MANUFACTURING DIRECTIONS

1. Dissolve Tylose M 300 in the mixture of the citric acid and sodium biphosphate solutions.
2. Add Texapon, and slowly dissolve the polyvinylpyrrolidone–iodine. This creates a brown, clear solution having a desirable viscosity and a pH of 3 to 4.

POLYVINYLPIRROLIDONE–IODINE SOLUTION**Bill of Materials**

Scale (mg/g)	Item	Material Name	Qty/kg (g)
100.00	1	Polyvinylpyrrolidone–iodine 30/06	100.00
3.00	2	Lutrol F 127	3.00
5.00	3	Lutrol E 400	5.00
432.00	4	Citric acid 0.1 M solution	432.00
460.00	5	Na ₂ HPO ₄ ·12H ₂ O 0.2 M solution	460.00

MANUFACTURING DIRECTIONS

1. Dissolve the polyvinylpyrrolidone–iodine (and Lutrol F 127) in the mixture of the buffer solutions (and Lutrol E 400).
2. A brown, clear solution is formed that has a low viscosity and a pH of about 4.5.
3. Items 2 and 3 can be deleted and compensated with item 5.

POLYVINYLPIRROLIDONE–IODINE SOLUTION**Bill of Materials**

Scale (mg/mL)	Item	Material Name	Qty/1000 Tabs. (g)
100.00	1	Polyvinylpyrrolidone–iodine powder, 35% excess	135.00
9.318	2	Acid, citric, anhydrous, powder	9.318
14.62	3	Sodium phosphate, dibasic, anhydrous	14.62
QS	4	Water purified, distilled	QS to 1 L

MANUFACTURING DIRECTIONS

1. Add 600 mL purified water to a suitable stainless steel manufacturing tank. With gentle stirring, add citric acid into the purified water in the manufacturing tank.
2. Stir for 10 minutes or until completely dissolved. During this mixing period, remove samples from the bottom valve of the manufacturing tank, and inspect for clarity.
3. Return samples to the manufacturing tank.

4. Continue mixing and sampling until the solution is completely clear.
5. With gentle stirring, add sodium phosphate, dibasic, into the solution. Stir for 10 minutes or until completely dissolved. During this mixing period, remove samples from the bottom valve of the manufacturing tank, and inspect for clarity. Return samples to the manufacturing tank.
6. Continue mixing and sampling until the solution is completely clear. Make up volume to 1 L with purified water, and mix well for 5 minutes.
7. Check and record pH (range: 4.8–5.2). Filter the solution through a 100 mesh nylon cloth.
8. Transfer into a suitable stainless steel storage tank, and keep tightly closed. This solution should be freshly prepared, and should not be stored for more than 24 hours.
9. Dissolve polyvinylpyrrolidone–iodine in about 600 mL citric acid–phosphate buffer (pH 5) solution (made previously) in a suitable stainless steel mixing tank.
10. Stir evenly for 10 minutes or until a clear, brown solution is obtained. Make up volume to 1 L with citric acid–phosphate buffer solution.
11. Mix well for 10 minutes.
12. Check and record pH (range: 3.0–4.5).
13. Filter the solution through a 100 mesh nylon cloth.
14. Transfer into a suitable stainless steel storage tank, and keep tightly closed.

POLYVINYLPIRROLIDONE– IODINE SURGICAL SCRUB

Bill of Materials

Scale (mg/g)	Item	Material Name	Qty/kg (g)
75.00	1	Polyvinylpyrrolidone–Iodine 30/06	75.00
250.00	3	Lutensit AES	250.00
40.00	4	Monoamide 150 MAW	40.00
QS	6	Floral bouquet	QS
635.00	7	Water	635.00

MANUFACTURING DIRECTIONS

1. Dissolve monoamide in hot water, and cool to room temperature.
2. Dissolve polyvinylpyrrolidone–iodine.
3. Add Lutensit to form a brown, clear, viscous solution.

POLYVINYLPIRROLIDONE–IODINE SURGICAL SCRUB

Bill of Materials

Scale (mg/g)	Item	Material Name	Qty/kg (g)
75.00	1	Polyvinylpyrrolidone–Iodine 30/06	75.00
250.00	2	Neutronyx S 60	250.00
40.00	3	Super Amide L 9	40.00
QS	4	Floral bouquet	QS
635.00	5	Water	635.00

MANUFACTURING DIRECTIONS

1. Dissolve Super Amide in hot water, and then cool.
2. Dissolve polyvinylpyrrolidone–iodine, and add Neutronyx.
3. A brown, clear viscous solution is formed, with pH of about 3.4.

POLYVINYLPIRROLIDONE–IODINE VAGINAL DOUCHE CONCENTRATE

Bill of Materials

Scale (mg/g)	Item	Material Name	Qty/kg (g)
100.00	1	Polyvinylpyrrolidone-iodine 30/06	100.00
5.00	2	Lutrol E 400	5.00
3.00	3	Lutrol F 127	3.00
432.00	4	Citric acid, 0.1 M solution	432.00
460.00	5	Na ₂ HPO ₄ ·12H ₂ O, 0.2 M solution	460.00

MANUFACTURING DIRECTIONS

1. Dissolve polyvinylpyrrolidone–iodine and Lutrol F 127 in the mixture of the buffer solutions with Lutrol E 400.
2. A brown, clear solution is created having a low viscosity and a pH of about 4.3.

POLYVINYLPIRROLIDONE– IODINE VISCOUS SOLUTION

Bill of Materials

Scale (mg/g)	Item	Material Name	Qty/kg (g)
10.00	1	Polyvinylpyrrolidone–Iodine 30/06	10.00
15.00	2	Natrosol HR 250	15.00
QS	3	Buffer	QS
QS	4	Water	975.00

MANUFACTURING DIRECTIONS

1. Dissolve polyvinylpyrrolidone–iodine and Natrosol in the well-stirred water.
2. Clear, brown, viscous liquid (viscosity [Brookfield] of 7500 mPas) is obtained.

POLYVINYLPIRROLIDONE– IODINE MOUTHWASH

Bill of Materials

Scale (mg/g)	Item	Material Name	Qty/kg (g)
100.00	1	Polyvinylpyrrolidone (PVP)–Iodine	100.00
5.00	2	Saccharin sodium	5.00
2.00	3	Menthol	2.00
0.50	4	Aniseed oil	0.50
0.50	5	Eucalyptus oil	0.50
160.00	6	PEG-400	160.00
300.00	7	Ethanol	300.00
QS	8	Purified water	QS to 1 kg

MANUFACTURING DIRECTIONS

1. Dissolve PVP–iodine powder and saccharin sodium in 440 g of water to obtain a clear solution.
2. In a separate container, add alcohol.
3. Mix and dissolve aniseed oil, eucalyptus oil, menthol, and PEG-400 to obtain a clear solution.
4. QS with water.
5. Add solution from step 4 and mix with stirring.
6. Package in HDPE plastic bottles.

POVIDONE–IODINE CONCENTRATES FOR BROILERS AND CATTLE

Bill of Materials

Scale (mg/g)	Item	Material Name	Qty/kg (g)
200.00	1	Polyvinylpyrrolidone (PVP)–Iodine 30/06	200.00
50.00	2	Texapon® K 12	50.00
50.00	3	Cremophor NP 14	50.00
73.00	4	Tartaric acid	73.00
43.00	5	Sulfuric acid, diluted	43.00
100.00	6	Ethanol 96%	100.00
QS	7	Water	QS to 1 kg

MANUFACTURING DIRECTIONS

1. Dissolve surfactant items 2 and 3 in solution of items 4 to 7, and slowly add PVP–iodine.
2. Brown, transparent liquids having a pH of about 1 is obtained.
3. Dilute about 3 mL of the concentrate with 1 L of water prior to use.

POVIDONE–IODINE FOAM SPRAY

Bill of Materials

Scale (mg/g)	Item	Material Name	Qty/kg (g)
100.00	1	Polyvinylpyrrolidone (PVP)–Iodine 30/06	100.00
0.10	2	Cremophor A 25	0.10
QS	3	Water	QS to 1 kg

MANUFACTURING DIRECTIONS

1. Dissolve PVP–iodine in the solution of Cremophor A 25 in water.
2. Fill the aerosol cans with 90 parts of this solution and 10 parts of propane plus 1 part butane.

POVIDONE–IODINE GARGLE

Bill of Materials

Scale (mg/mL)	Item	Material Name	Qty/L (g)
10.00	1	Polyvinylpyrrolidone–Iodine (powder) (35% excess)	13.50
10.00	2	Glycerin, USP (96%)	10.00
—	3	Purified water, USP	QS to 1 L

MANUFACTURING DIRECTIONS

Wear gloves and mask during all phases of manufacturing and filling. Do not keep the lid of the manufacturing or storage tank open unless necessary, as iodine may be liberated.

1. Add 600 mL purified water to a suitable stainless steel manufacturing tank.
2. Slowly add povidone–iodine powder to the water (with continuous stirring).
3. Stir for 30 minutes or until a clear, brown solution is obtained.
4. Add glycerin to the manufacturing tank.
5. Stir until uniform solution is obtained.
6. Make up volume to 1 L with purified water, and mix well for 5 minutes.
7. Check pH (range: 2.0–4.0).
8. Filter the solution through a 100 mesh nylon cloth, and transfer to a stainless steel storage tank.
9. Keep the storage tank tightly closed.

POVIDONE–IODINE GARGLE SOLUTION CONCENTRATE**Bill of Materials**

Scale (mg/mL)	Item	Material Name	Qty/L (g)
100.00	1	Polyvinylpyrrolidone (PVP)–Iodine 30/06	100.00
10.00	2	Propylene glycol	10.00
90.00	3	Ethanol (96%)	90.00
800.00	4	Water	800.00

MANUFACTURING DIRECTIONS

1. Dissolve the PVP–iodine in the solvent mixture to produce a brown transparent liquid.
2. Dilute 10 mL of the concentrate with approximately 100 mL of water prior to use.

POVIDONE–IODINE LIQUID SPRAY**Bill of Materials**

Scale (mg/g)	Item	Material Name	Qty/kg (g)
100.00	1	Polyvinylpyrrolidone (PVP)–Iodine 30/06	100.00
150.00	2	Kollidon® VA 64	150.00
750.00	3	<i>n</i> -Propanol	750.00
750.00	4	Ethanol	750.00

MANUFACTURING DIRECTIONS

1. Dissolve Kollidon® VA 64 in the mixture of solvents, and slowly add PVP–iodine to the well-stirred solution.
2. Fill in aerosol cans with propellants such as propane and butane or with manual valves.

POVIDONE–IODINE MOUTHWASH AND GARGLE SOLUTION CONCENTRATE**Bill of Materials**

Scale (mg/g)	Item	Material Name	Qty/kg (g)
75.00	1	Polyvinylpyrrolidone (PVP)–Iodine 30/06	75.00
5.00	2	Saccharin sodium	5.00
150.00	3	Water	150.00
2.00	4	Menthol	2.00
1.00	5	Anise oil + eucalyptus oil (1+1)	1.00
150.00	6	Lutrol E 400	150.00
500.00	7	Ethanol (96%)	500.00

POVIDONE–IODINE POWDER SPRAY**Bill of Materials**

Scale (mg/g)	Item	Material Name	Qty/kg (g)
250.00	1	Polyvinylpyrrolidone (PVP)–Iodine 30/06	250.00
250.00	2	Maize PO ₄ aerosol	250.00
15.00	3	Isopropyl myristate	15.00
100.00	4	Dow Corning® 344 fluid	100.00
500.00	5	Pentane	500.00
220.00	6	Propane + butane (1+3)	220.00

MANUFACTURING DIRECTIONS

1. Suspend PVP–iodine and maize PO₄ aerosol in the liquid mixture of items 3 to 5.
2. Fill in aerosol cans with the propellants.

POVIDONE–IODINE PUMP SPRAY

Bill of Materials			
Scale (mg/g)	Item	Material Name	Qty/kg (g)
10.00	1	Polyvinylpyrrolidone (PVP)–Iodine 30/06	10.00
100.00	2	Water	100.00
1.00	3	Potassium iodide	1.00
100.00	4	Xylitol	100.00
787.50	5	Propylene glycol	787.50
1.00	6	Menthol (crystalline)	1.00
0.50	7	Peppermint oil (double rectified)	0.50

MANUFACTURING DIRECTIONS

1. Dissolve potassium iodide in water, warm up to 40°C, and dissolve xylitol.
2. At room temperature, dilute with propylene glycol, dissolve PVP–iodine, and add flavors to produce a clear, brown liquid with a sweet, refreshing taste.

POVIDONE–IODINE SHAMPOO

Bill of Materials			
Scale (mg/g)	Item	Material Name	Qty/kg (g)
75.00	1	Polyvinylpyrrolidone (PVP)–Iodine 30/06	75.00
250.00	2	Neutronyx® S 60	250.00
40.00	3	Super Amide® L9	40.00
5.0–7.0	4	Natrosol® 250 HR	5.0–7.0
—	5	Water	QS to 1 kg

MANUFACTURING DIRECTIONS

1. Dissolve Super Amide® and Natrosol® in hot water (about 60°C); then, dissolve PVP–iodine.
2. After cooling, incorporate Neutronyx®.
3. A brown, clear solution is obtained.
4. The viscosity can be changed by modification of the amount of Natrosol® 250 HR.

POVIDONE–IODINE SOLUTION

Bill of Materials			
Scale (mg/mL)	Item	Material Name	Qty/L (g)
100.00	1	Povidone–Iodine powder (35% excess)	135.00
9.318	2	Anhydrous citric acid (powder)	9.318
14.62	3	Anhydrous sodium phosphate (dibasic)	14.62
QS	4	Purified water	QS to 1 L

MANUFACTURING DIRECTIONS

Wear gloves and mask during all phases of manufacturing and filling. Do not keep the lid of the manufacturing or storage tank open unless necessary, as iodine may be liberated.

1. Citric acid–phosphate buffer solution (pH 5): Add 600 mL purified water to a suitable stainless steel manufacturing tank.
2. With gentle stirring, add citric acid to the purified water in the manufacturing tank.
3. Stir for 10 minutes or until completely dissolved.
4. During this mixing period, remove samples from the bottom valve of the manufacturing tank, and inspect for clarity.
5. Return samples to the manufacturing tank.
6. Continue mixing and sampling until the solution is completely clear.
7. With gentle stirring, add dibasic sodium phosphate to the solution.
8. Stir for 10 minutes or until completely dissolved.
9. During this mixing period, remove samples from the bottom valve of the manufacturing tank, and inspect for clarity.
10. Return samples to the manufacturing tank.
11. Continue mixing and sampling until the solution is completely clear.
12. Make up volume to 1 L with purified water, and mix well for 5 minutes.
13. Check and record pH (range: 4.8–5.2).
14. Filter the solution through a 100 mesh nylon cloth.
15. Transfer into a suitable stainless steel storage tank, and keep tightly closed.
16. This solution should be freshly prepared and should not be stored for more than 24 hours.
17. Preparation of solution: Dissolve povidone–iodine in approximately 600 mL of citric acid/phosphate buffer (pH 5) solution in a suitable stainless steel mixing tank.
18. Stir evenly for 10 minutes or until a clear brown solution is obtained.
19. Make up volume to 1 L with citric acid/phosphate buffer solution.

20. Mix well for 10 minutes.
21. Check and record pH (range: 3.0–4.5).
22. Filter the solution through a 100 mesh nylon cloth.
23. Transfer into a suitable stainless steel storage tank, and keep it tightly closed.

POVIDONE–IODINE SOLUTION

Bill of Materials			
Scale (mg/g)	Item	Material Name	Qty/kg (g)
100.00	1	Polyvinylpyrrolidone (PVP)–Iodine 30/06	100.00
3.00	2	Lutrol F 127	3.00
5.00	3	Lutrol E 400	5.00
432.00	4	Citric acid (0.1 M solution)	432.00
460.00	5	Na ₂ HPO ₄ ·12H ₂ O (0.2 M solution)	460.00

MANUFACTURING DIRECTIONS

1. Dissolve the PVP–iodine (and Lutrol F 127) in the mixture of buffer solutions (and Lutrol E 400).
2. Brown clear solutions having a low viscosity and pH of approximately 4.5.
3. Items 2 and 3 can be deleted and compensated with item 5.

POVIDONE–IODINE SOLUTION

Bill of Materials			
Scale (mg/g)	Item	Material Name	Qty/kg (g)
100.00	1	Polyvinylpyrrolidone (PVP)–Iodine 30/06	100.00
0.23	2	Texapon® K 12	0.23
1.40	3	Sodium biphosphate	1.40
0.30	4	Sodium citrate	0.30
20.80	5	Sodium hydroxide (1 M solution)	20.80
10.00	6	Glycerol	10.00
QS	7	Water	QS to 1 kg

MANUFACTURING DIRECTIONS

1. Dissolve Texapon K 12 in solution of items 3 to 7, and slowly add PVP–iodine to the well-stirred solution.
2. The brown, transparent liquid has a pH of 4.5.

POVIDONE–IODINE SOLUTION

Bill of Materials			
Scale (mg/g)	Item	Material Name	Qty/kg (g)
100.00	1	Polyvinylpyrrolidone (PVP)–Iodine 30/06	100.00
10.00	2	Natrosol® HR 250	10.00
2.00	3	Lutrol F 127	2.00
32.00	4	Sodium hydroxide (1 M solution)	32.00
QS	5	Water	QS to 1 kg

MANUFACTURING DIRECTIONS

1. Dissolve Lutrol F 127 and then Natrosol® in the water.
2. As soon as both are dissolved, slowly add the PVP–iodine to the well-stirred solution.
3. Adjust the pH with the sodium hydroxide solution to approximately 3.5.

POVIDONE–IODINE SOLUTION

Bill of Materials			
Scale (mg/g)	Item	Material Name	Qty/kg (g)
20.00	1	Tylose® M 300	20.00
2.00	2	Texapon® K 12	2.00
595.00	3	Citric acid (0.1 M solution)	595.00
283.00	4	Sodium biphosphate (0.2 M solution)	283.00

MANUFACTURING DIRECTIONS

1. Dissolve Tylose® M 300 in the mixture of the citric acid and sodium biphosphate solutions.
2. Add Texapon®, and slowly dissolve the PVP–iodine.
3. The brown, clear solution has a pH of 3 to 4.

POVIDONE–IODINE SCRUB

Bill of Materials			
Scale (mg/mL)	Item	Material Name	Qty/L (g)
75.00	1	Polyvinylpyrrolidone–Iodine (powder) (40% excess)	105.00
250.00	2	Sodium lauryl sulfate	250.00
35.00	3	Lauric diethanolamide	35.00
—	4	Distilled purified water, USP	QS to 1 L

MANUFACTURING DIRECTIONS

1. Add 600 mL purified water to a suitable stainless steel manufacturing tank.
2. Add, by sprinkling, the sodium lauryl sulfate to the manufacturing tank.
3. Continue to mix slowly under vacuum, and begin to heat until product temperature is 70°C.
4. Continue to mix vigorously under vacuum at 65°C to 70°C for 15 minutes or until completely dissolved.
5. (*Note:* Do not add detergent quickly, as a gel may form that is difficult to dissolve.) Stop mixer, release vacuum, and open tank.
6. Add and disperse the previously broken lauric diethanolamide in the warmed solution from the previous step.
7. Maintain vacuum, and mix vigorously for 30 minutes at 65°C to 70°C or until completely dissolved.
8. Slowly cool under vacuum to room temperature with slow mixing. (*Note:* Do not force cool with cold water; otherwise, the mixture will adhere to the walls of the manufacturing tank.) When temperature reaches 30°C, release vacuum, and open tank.
9. While mixing slowly, add povidone–iodine in small portions.
10. Rinse the container of povidone–iodine with 150 mL purified water, and add to the main tank. (*Note:* Do not keep the lid of the manufacturing or storage tank open unless necessary, as iodine may be liberated.) Mix under vacuum until a clear reddish-brown solution is obtained.
11. Make volume up to 1 L with purified water, and mix well under vacuum for at least 15 minutes to ensure product uniformity and to deaerate the product.
12. Stop mixing, release the vacuum, and then open the tank.
13. Check and record pH (range: 3–6).
14. Filter the solution through 100 mesh nylon cloth.

POVIDONE–IODINE SURGICAL SCRUB**Bill of Materials**

Scale (mg/g)	Item	Material Name	Qty/kg (g)
75.00	1	Polyvinylpyrrolidone (PVP)–Iodine 30/06	75.00
250.00	2	Neutronyx® S 60	250.00
40.00	3	Super Amide® L9	40.00
QS	4	Floral bouquet	QS
QS	5	Water	QS to 1 kg

MANUFACTURING DIRECTIONS

1. Dissolve Super Amide® in hot water, cool, dissolve PVP–iodine, and add Neutronyx® to produce a brown, clear viscous solution with pH of approximately 3.4.

POVIDONE–IODINE SURGICAL SCRUB**Bill of Materials**

Scale (mg/g)	Item	Material Name	Qty/kg (g)
75.00	1	Polyvinylpyrrolidone (PVP)–Iodine 30/06	75.00
250.00	2	Lutensit® AES	250.00
40.00	3	Monoamide® 150 MAW	40.00
QS	4	Floral bouquet	QS
QS	5	Water	QS to 1 kg

MANUFACTURING DIRECTIONS

1. Dissolve Monoamide® in hot water, cool, dissolve PVP–iodine, and add Lutensit® to produce a brown, clear, viscous solution.

POVIDONE–IODINE VAGINAL DOUCHE CONCENTRATE**Bill of Materials**

Scale (mg/g)	Item	Material Name	Qty/kg (g)
100.00	1	Polyvinylpyrrolidone (PVP)–Iodine 30/06	100.00
5.00	2	Lutrol E 400	5.00
3.00	3	Lutrol F 127	3.00
432.00	4	Citric acid (0.1 M solution)	432.00
460.00	5	Na ₂ HPO ₄ ·12H ₂ O (0.2 M solution)	460.00

MANUFACTURING DIRECTIONS

1. Dissolve PVP–iodine and Lutrol F 127 in the mixture of buffer solutions with Lutrol E 400.
2. The brown, clear solution has a low viscosity and pH of approximately 4.3.

POVIDONE–IODINE VISCOUS SOLUTION

Bill of Materials

Scale (mg/g)	Item	Material Name	Qty/kg (g)
10.00	1	Polyvinylpyrrolidone (PVP)–Iodine 30/06	10.00
15.00	2	Natrosol® HR 250	15.00
QS	3	Buffer	QS
QS	4	Water	975.00

MANUFACTURING DIRECTIONS

1. Dissolve PVP–iodine and Natrosol® in the well-stirred buffered solution in water to produce a clear brown viscous liquid.
2. Viscosity (Brookfield) is 7500 mPa.

PREDNISONE ORAL SOLUTION

Each 5 mL oral solution contains prednisolone 5 mg and alcohol 5% or 30%. Inactive ingredients include alcohol, citric acid, disodium edetate, fructose, hydrochloric acid, maltol, peppermint oil, polysorbate 80, propylene glycol, saccharin sodium, sodium benzoate, vanilla flavor, and water. Prednisone 30% alcohol solution contains citric acid, poloxamer 188, propylene glycol, and water.

PREDNISOLONE SODIUM PHOSPHATE ORAL SOLUTION

Pediapred (prednisolone sodium phosphate) oral solution is a dye-free, colorless to light-straw-colored, raspberry-flavored solution. Each 5 mL (teaspoonful) of Pediapred contains 6.7 mg prednisolone sodium phosphate (5 mg prednisolone base) in a palatable, aqueous vehicle.

PREDNISOLONE SYRUP

The syrup contains 15 or 5 mg prednisolone in each 5 mL. Benzoic acid 0.1% is added as a preservative. The syrup also contains alcohol 5%, citric acid, edetate disodium, glycerin, propylene glycol, purified water, sodium saccharin, sucrose, artificial wild cherry flavor, and FD&C Blue No. 1 and Red No. 40.

PROGESTERONE CAPSULES

Progesterone capsules contain micronized progesterone for oral administration. Capsules are available in multiple strengths to afford dosage flexibility for optimum management. Capsules contain 100 or 200 mg micronized progesterone. The inactive ingredients for 100 mg capsules include peanut oil, gelatin, glycerin, lecithin, titanium dioxide, D&C Yellow No. 10, and FD&C Red No. 40. The inactive

ingredients for 200 mg capsules include peanut oil, gelatin, glycerin, lecithin, titanium dioxide, D&C Yellow No. 10, and FD&C Yellow No. 6.

PROMETHAZINE AND CODEINE SYRUP

Each teaspoon (5 mL) of Phenergan VC with codeine contains 10 mg codeine phosphate (*Warning*—this may be habit forming), 6.25 mg promethazine hydrochloride, and 5 mg phenylephrine hydrochloride in a flavored syrup base with a pH between 4.8 and 5.4; alcohol, 7%. The inactive ingredients present are artificial and natural flavors, citric acid, D&C Red No. 33, FD&C Yellow No. 6, glycerin, saccharin sodium, sodium benzoate, sodium citrate, sodium propionate, water, and other ingredients.

PROMETHAZINE AND DEXTROMETHORPHAN SYRUP

Each teaspoon (5 mL) of Phenergan with dextromethorphan contains 6.25 mg promethazine hydrochloride and 15 mg dextromethorphan hydrobromide in a flavored syrup base with a pH between 4.7 and 5.2; alcohol, 7%. The inactive ingredients present are artificial and natural flavors, citric acid, D&C Yellow 10, FD&C Yellow 6, glycerin, saccharin sodium, sodium benzoate, sodium citrate, sodium propionate, water, and other ingredients.

PROMETHAZINE HYDROCHLORIDE SYRUP

Each teaspoon (5 mL) of Phenergan syrup plain contains 6.25 mg promethazine hydrochloride in a flavored syrup base with a pH between 4.7 and 5.2; alcohol, 7%. The inactive ingredients present are artificial and natural flavors, citric acid, D&C Red No. 33, D&C Yellow No. 10, FD&C Blue No. 1, FD&C Yellow No. 6, glycerin, saccharin sodium, sodium benzoate, sodium citrate, sodium propionate, water, and other ingredients. Each teaspoon (5 mL) of Phenergan syrup fortis contains 25 mg promethazine hydrochloride in a flavored syrup base with a pH between 5.0 and 5.5; alcohol, 1.5%. The inactive ingredients present are artificial and natural flavors, citric acid, saccharin sodium, sodium benzoate, sodium propionate, water, and other ingredients.

PROMETHAZINE HYDROCHLORIDE SYRUP

Bill of Materials

Scale (mg/mL)	Item	Material Name	Qty/L (g)
1.00	1	Promethazine HCl (5% excess)	1.05
675.00	2	Sucrose	675.00
1.00	3	Citric acid (monohydrate)	1.00
2.40	4	Sodium citrate	2.40
0.50	5	Ascorbic acid	0.50
0.25	6	Sodium metabisulfite (sodium disulfite)	0.25
0.25	7	Anhydrous sodium sulfite	0.25
50.00	8	Alcohol (ethanol, 95%)	50.00
0.15	9	Flavor	0.15
0.30	10	Flavor	0.30
0.50	11	Polysorbate 80 (Tween 80)	0.50
0.15	12	Caramel color	0.15
QS	13	Purified water	QS to 1 L

MANUFACTURING DIRECTIONS

Promethazine hydrochloride undergoes thermal and photochemical oxidation. Protect from light, heat, and oxygen as practicable. Avoid vortex or overmixing to avoid air entrapment. Use nitrogen gas whenever necessary to expel air.

1. Add 400 g of item 13 to the manufacturing vessel, and heat to 90°C to 95°C.
2. Add item 2 while mixing at slow speed.
3. After addition of item 2, mix for 30 minutes at high speed and a temperature of 90°C to 95°C.
4. Cool down to 30°C to 35°C while mixing at low speed.
5. Add items 3 and 4 to the manufacturing vessel while mixing, and mix until dissolved.
6. Add items 6 and 7 to the manufacturing vessel while mixing, and mix until dissolved.
7. Add item 5 to the manufacturing vessel while mixing, and mix until dissolved.
8. Mix items 9 and 10 with items 8 and 11 in a separate container by using stirrer.
9. Mix for 10 minutes, and add to the manufacturing vessel while mixing.
10. Add 8 g cold purified water (25–30°C) to a separate container, and dissolve item 12 by using stirrer.
11. Mix for 10 minutes, and add to the manufacturing vessel while mixing.
12. Start flushing the syrup with nitrogen gas pressure at 20 to 40 psi.
13. Add 10 g of cold purified water (cooled and flushed with N₂ gas) in a separate container with lid.
14. Pass nitrogen gas at 20 to 40 psi pressure for 15 minutes.
15. Dissolve item 1 in nitrogen-flushed cold purified water (25–30°C) by using stirrer.

16. Mix for 10 minutes, and add to the manufacturing vessel while mixing. Do not produce vortex.
17. Bring volume up to 1 L with nitrogen-flushed purified water.
18. Continue flushing nitrogen gas at 20 to 40 psi pressure for 30 minutes while mixing at slow speed.
19. Check and record the pH (limit: 4.5–5.5). If required, adjust pH with 10% citric acid or 10% sodium citrate solution.
20. Filter the syrup at 1.5 bar.
21. Recirculate approximately 20 to 30 mL syrup.
22. Transfer the filtered syrup to the storage vessel.
23. Flush with nitrogen gas, and seal the tank.

PROMETHAZINE RECTAL SOLUTION

Bill of Materials

Scale (mg/mL)	Item	Material Name	Qty/L (g)
QS	1	Pluronic L62	QS to 1 L
2.50	2	Promethazine hydrochloride	2.50

MANUFACTURING DIRECTIONS

1. Mill and screen the promethazine hydrochloride to reduce particle size.
2. Add the poloxamer and the promethazine hydrochloride into a clean vessel.
3. Mix until uniform.

PROMETHAZINE RECTAL SOLUTION

Bill of Materials

Scale (mg/mL)	Item	Material Name	Qty/L (g)
QS	1	Pluronic L62	QS to 1 L
10.00	2	Carbopol 974	10.00
2.50	3	Promethazine hydrochloride	2.50

MANUFACTURING DIRECTIONS

1. Mill the promethazine hydrochloride to reduce particle size.
2. Sieve the carbomer and promethazine hydrochloride, and add to a clean vessel.
3. Add the poloxamer. Mix until uniform.

PSEUDOEPHEDRINE HYDROCHLORIDE SYRUP

Bill of Materials			
Scale (mg/mL)	Item	Material Name	Qty/L (g)
6.00	1	Pseudoephedrine HCl (3.0% excess)	6.18
600.00	2	Sucrose	600.00
100.00	3	Glycerin (glycerol)	100.00
100.00	4	Sorbitol (70% solution)	100.00
15.00	5	Propylene glycol	15.00
1.00	6	Methylparaben	1.00
0.30	7	Propylparaben	0.30
0.50	8	Saccharin sodium	0.50
0.02	9	Dye (if needed)	0.02
0.05	10	Menthol	0.05
0.13	11	Citric acid	0.13
1.15	12	Sodium citrate	1.15
QS	13	Purified water	QS to 1 L

MANUFACTURING DIRECTIONS

1. Add 390 g of purified water to the manufacturing vessel, and heat to 90°C to 95°C.
2. Add items 6 and 7 while mixing to dissolve at high speed.
3. Add item 2 while mixing at slow speed at a temperature of 90°C to 95°C.
4. Mix for 1 hour at high speed.
5. Cool down to 50°C while mixing at slow speed.
6. Dissolve items 8 and 12 in 10 g of item 13, and add to the manufacturing vessel while mixing at high speed.
7. Dissolve item 11 in 10 g purified water, and add to the manufacturing vessel while mixing at high speed.
8. Load items 4 and 3 into the manufacturing vessel using a transfer pump while mixing at high speed.
9. Mix for 5 minutes.
10. Cool down to 30°C while mixing at slow speed.
11. Add 20 g of item 13 (30°C) in a separate container, and dissolve item 1 by using stirrer.
12. Mix for 10 minutes, and add to the manufacturing vessel while mixing at high speed.
13. Add 6 g of item 13 in a separate container, and dissolve item 9 manually.
14. Add color to the manufacturing vessel while mixing at high speed.
15. Dissolve item 10 in item 5.
16. Add this flavor mixture to the manufacturing vessel while mixing at high speed.
17. Bring the volume up to 1 L with item 13, and finally mix, for 15 to 20 minutes at high speed.
18. Check and record the pH (limit: 5.5–6.5 at 25°C).
19. If required, adjust pH with 20% citric acid or 20% sodium citrate solution.
20. Filter the syrup at 1.5 bar.

21. Recirculate approximately 100 to 150 mL syrup.

PSEUDOEPHEDRINE HYDROCHLORIDE AND CARBINOXAMINE MALEATE ORAL DROPS

Bill of Materials			
Scale (mg/mL)	Item	Material Name	Qty/L (g)
500.00	1	Sucrose	500.00
300.00	2	Glucose liquid	300.00
150.00	3	Glycerin (96%)	150.00
30.00	4	D-Pseudoephedrine hydrochloride	30.00
1.00	5	Carbinoxamine maleate	1.00
4.00	6	Saccharin sodium (powder)	4.00
2.50	7	Sodium benzoate (powder)	2.50
1.25	8	Flavor	1.25
0.03	9	Dye	0.03
0.03	10	Dye	0.03
QS	11	Hydrochloric acid reagent-grade bottles	QS
QS	12	HyFlo filter aid	1.32
QS	13	Purified water	455.00
QS	14	Sodium hydroxide for pH adjustment	QS

MANUFACTURING DIRECTIONS

1. Charge 315 mL of deionized water into a suitable tank.
2. Begin heating water to 60°C to 70°C while adding sucrose with stirring.
3. Stir until sugar is dissolved.
4. Remove heat.
5. Add glucose liquid and 125 g of glycerin in this step.
6. Add and dissolve D-pseudoephedrine hydrochloride, carbinoxamine maleate, saccharin sodium, and sodium benzoate with mixing.
7. Cool solution to 30°C to 35°C.
8. Mix flavor with 25 g of glycerin.
9. (*Note:* Temperature of syrup must not be higher than 35°C.) Dissolve dyes, if used, in 5 mL of deionized water, and add to syrup with mixing.
10. Adjust to pH 4.25 (range: 4.0–4.5), if necessary, with hydrochloric acid or sodium hydroxide.
11. QS to 1 L with deionized water, and mix well.
12. Allow product to stand overnight to let entrapped air escape.
13. Readjust volume to 1 L with deionized water.
14. Add and mix 1.320 g of HyFlo filter aid to the product.
15. Circulate through a press.
16. Filter into tank for filling.

PSEUDOEPHEDRINE AND CARBINOXAMINE DROPS

Bill of Materials

Scale (mg/mL)	Item	Material Name	Qty/L (g)
500.00	1	Sucrose	500.00
300.00	2	Glucose liquid	300.00
150.00	3	Glycerin	150.00
30.00	4	Pseudoephedrine hydrochloride	30.00
1.00	5	Carbinoxamine maleate	1.00
4.00	6	Saccharine sodium	4.00
2.50	7	Sodium benzoate	2.50
1.25	8	Flavor blackcurrant	1.25
0.032	9	Dye red	0.032
0.036	10	Dye yellow	0.036
QS	11	Hydrochloric acid, to adjust pH	QS
1.32	12	Filter aid HyFlo	1.32
QS	13	Water purified	QS to 1 L
QS	14	Sodium hydroxide, to adjust pH	QS

MANUFACTURING DIRECTIONS

- Charge 315 mL purified water into a suitable tank.
- Begin heating water to 60°C to 70°C while adding sugar with stirring. Stir until sugar is dissolved.
- Remove heat. Add glucose liquid and 40 g sorbitol solution with mixing. Hold balance of sorbitol for step 6.
- Add and dissolve D-pseudoephedrine HCl, carbinoxamine maleate, saccharin sodium, and sodium benzoate with mixing.
- Cool solution to 30°C to 35°C.
- Mix flavors with balance of sorbitol, and add to syrup.
- Add glycerin. Temperature of syrup must not be higher than 35°C.
- Dissolve dyes, if used, in 5 mL purified water and add to syrup with mixing. Adjust to pH 4.25 (range: 4.0–4.5), if necessary, with hydrochloric acid or sodium hydroxide.
- QS to 1 L with purified water, and mix well.
- Allow product to stand overnight to let entrapped air escape. Readjust volume to 1 L.
- Add and mix 1.32 g of filter aid HyFlo to the product. Circulate through a press until sparkling clear.
- Filter into tank for filling. Fill into suitable approved containers.

PSEUDOEPHEDRINE HYDROCHLORIDE SYRUP

Bill of Materials

Scale (mg/mL)	Item	Material Name	Qty/L (g)
6.00	1	Pseudoephedrine HCl, 3% excess	6.18
600.00	2	Sucrose	600.00
100.00	3	Glycerin (glycerol)	100.00
100.00	4	Sorbitol (70% solution)	100.00
15.00	5	Propylene glycol	15.00
1.00	6	Methylparaben	1.00
0.30	7	Propylparaben	0.30
0.50	8	Saccharin sodium	0.50
0.02	9	Dye (if needed)	0.02
0.05	10	Menthol	0.05
0.132	11	Citric acid	0.13
1.150	12	Sodium citrate	1.15
—	13	Water purified	QS to 1 L

MANUFACTURING DIRECTIONS

- Add 390 g of item 13 to the manufacturing vessel, and heat to 90°C to 95°C.
- Add items 6 and 7 while mixing to dissolve at high speed.
- Add item 2 while mixing at slow speed. Temperature 90°C to 95°C.
- Mix for 1 hour at high speed. Cool down to 50°C while mixing at slow speed.
- Dissolve items 8 and 12 in 10 g of item 13, and add to the manufacturing vessel while mixing at high speed.
- Dissolve item 11 in 10 g of item 13, and add to the manufacturing vessel while mixing at high speed. Load items 4 and 3 into the manufacturing vessel using transfer pump while mixing at high speed.
- Mix for 5 minutes. Cool down to 30°C while mixing at slow speed.
- Add 20 g of item 13 (30°C) in a separate container, and dissolve item 1 by using stirrer.
- Mix for 10 minutes, and add to the manufacturing vessel while mixing at high speed. Add 6 g of item 13 in a separate container, and dissolve item 9 manually.
- Add color to the manufacturing vessel while mixing at high speed.
- Dissolve item 10 in item 5. Add this flavor mixture to the manufacturing vessel while mixing at high speed. Make up the volume to 1 L with item 13, and finally, mix for 15 to 20 minutes at high speed.
- Check and record the pH (limit: 5.5–6.5 at 25°C).
- If required, adjust pH with 20% citric acid or 20% sodium citrate solution.
- Filter the syrup at 1.5 bar. Recirculate about 100 to 150 mL syrup.

RIBAVIRIN INHALATION SOLUTION

Virazole is a brand name for ribavirin, a synthetic nucleoside with antiviral activity. Virazole for inhalation solution is a sterile, lyophilized powder to be reconstituted for aerosol administration. Each 100 mL glass vial contains 6 g ribavirin, and when reconstituted to the recommended volume of 300 mL with sterile water for injection or sterile water for inhalation (no preservatives added), contains 20 mg of ribavirin per milliliter, with a pH of approximately 5.5. Aerosolization is to be carried out in a small particle aerosol generator (SPAG-2) nebulizer only.

RISPERIDONE ORAL SOLUTION

Risperdal is available as a 1 mg/mL oral solution. The inactive ingredients for this solution are tartaric acid, benzoic acid, sodium hydroxide, and purified water.

RITONAVIR CAPSULES

Norvir soft gelatin capsules are available for oral administration in a strength of 100 mg ritonavir with the following inactive ingredients: butylated hydroxytoluene, ethanol, gelatin, iron oxide, oleic acid, polyoxyl 35 castor oil, and titanium dioxide.

RITONAVIR ORAL SOLUTION

Norvir oral solution is available for oral administration as 80 mg/mL ritonavir in a peppermint and caramel-flavored vehicle. Each 8 oz bottle contains 19.2 g ritonavir. Norvir oral solution also contains ethanol, water, polyoxyl 35 castor oil, propylene glycol, anhydrous citric acid to adjust pH, saccharin sodium, peppermint oil, creamy caramel flavoring, and FD&C Yellow No. 6.

RITONAVIR AND LOPINAVIR ORAL SOLUTION

Kaletra oral solution is available for oral administration as 80 mg lopinavir and 20 mg ritonavir per milliliter with the following inactive ingredients: acesulfame potassium, alcohol, artificial cotton candy flavor, citric acid, glycerin, high-fructose corn syrup, Magnasweet-110 flavor, menthol, natural and artificial vanilla flavor, peppermint oil, polyoxyl 40 hydrogenated castor oil, polyvinylpyrrolidone, propylene glycol, saccharin sodium, sodium chloride, sodium citrate, and water.

RIVASTIGMINE TARTRATE ORAL SOLUTION

Exelon oral solution is supplied as a solution containing rivastigmine tartrate, equivalent to 2 mg/mL rivastigmine base for oral administration. Inactive ingredients are citric acid, D&C Yellow No. 10, purified water, sodium benzoate, and sodium citrate.

SALBUTAMOL AEROSOL

Bill of Materials			
Scale (mg/g)	Item	Material Name	Qty/1000 units (g)
1.17	1	Salbutamol, 10% excess	26.40
0.11	2	Oleic acid, 10% excess	2.64
277.61	3	Trichloromonofluoromethane	5664.00
721.09	4	Dichlorodifluoromethane	14,700.00

MANUFACTURING DIRECTIONS

1. Filter approximately 5 kg of the trichloromonofluoromethane and the oleic acid through a suitable 0.2 micron filter into a stainless steel concentrate container.
2. Slowly add the salbutamol to the solution in step 1, and mix for about 15 minutes.
3. Filter most of the remaining trichloromonofluoromethane through a suitable 0.2 micron filter into the suspension holding tank.
4. Add the slurry from step 2 to the holding tank.
5. Rinse the concentrate container with filtered trichloromonofluoromethane, and add the rinses to the holding tank.
6. Make up the final mass of 5.693 kg with filtered trichloromonofluoromethane.
7. Mix for 5 minutes. Sample (to determine nonvolatile matter, range: 0.49–0.53 w/w).
8. Fill 5.7 g of suspension into a clean aluminum vial, and immediately crimp on the metering valve. Pressure fill, through metering valve, sufficient dichlorodifluoromethane to produce a final fill weight of 20.4 g. Check-weigh each aerosol to ensure that the fill weight is in the range of 20 to 20.8 g. At the start of manufacture, fill the vials, and apply nonmetering valves. Pressure-test these vials using a special gauge adaptor to ensure the correct propellant mix is being used. The internal pressure measured at 22°C should be 50 to 60 psi.
9. Store the filled aerosols for a period of 2 weeks, and check the weight again.
10. Test each aerosol by actuation to ensure correct operation.

SALBUTAMOL SYRUP SUGAR FREE

Bill of Materials			
Scale (mg/5 mL)	Item	Material Name	Qty/L (g)
20.75	1	Citric acid (monohydrate)	4.15
10.00	2	Sodium benzoate	2.00
6.25	3	Sodium citrate	1.25
3.75	4	Saccharin sodium	0.75
2.00	5	Salbutamol sulfate, 20% excess	0.48
5.00	6	Sodium chloride	1.00
5.00	7	Strawberry flavor	1.00
10.00	8	Tangerine flavor	2.00
15.00	9	Hydroxypropyl methylcellulose (Methocel E4M)	3.00
—	10	Water purified	QS to 1 L

MANUFACTURING DIRECTIONS

1. Add 700 g of item 10 to the manufacturing vessel, and heat to 70°C.
2. Add item 9 slowly while mixing at low speed. Mix for 30 minutes.
3. Cool down to 25°C with continuous mixing at low speed.
4. Add 20 g of item 10 (25°C) in a separate stainless steel container, dissolve items 3, 4, and 6, and add to the manufacturing vessel.
5. Add 20 g of item 10 (25°C) in a separate container, dissolve item 1, and add to the manufacturing vessel.
6. Add 20 g of item 10 (25°C) in a separate container, dissolve item 2, and add to the manufacturing vessel.
7. Add 20 g of item 10 (25°C) in a separate container, dissolve item 5 and add to the manufacturing vessel.
8. Add items 7 and 8 to the manufacturing vessel while mixing.
9. Make up the volume to 1 L with item 10 (25°C), and finally, mix for 20 minutes at high speed.
10. Assemble the Seitz filter press, and wash the filters using about 250 L purified water (25°C) by passing through filters at 0.2 bar.
11. Filter the syrup at 1.5 bar. Recirculate about 30 to 40 mL syrup.
12. Transfer the filtered syrup to the storage vessel.

SALBUTAMOL SYRUP

Bill of Materials			
Scale (mg/5 mL)	Item	Material Name	Qty/L (g)
2.00	1	Salbutamol sulfate (20%)	0.480
2500.00	2	Sucrose	500.000
5.00	3	Methylparaben	1.000
1.00	4	Propylparaben	0.20
5.00	5	Citric acid (monohydrate)	1.00
2.80	6	Sodium citrate	0.57
1000.00	7	Sorbitol (70% solution)	200.00
1.10	8	Flavor	0.22
1.10	9	Flavor	0.22
50.00	10	Propylene glycol	10.00
—	11	Water purified	QS to 1 L

MANUFACTURING DIRECTIONS

1. See previous formulation.

SALICYLIC ACID COLLODION

Salicylic acid 17% w/w, alcohol, 26.3% w/w, t-butyl alcohol, denatonium benzoate, flexible collodion, and propylene glycol dipelargonate.

SALMETEROL XINAFOATE INHALATION AEROSOL

Salmeterol xinafoate inhalation aerosol contains salmeterol xinafoate as the racemic form of the 1-hydroxy-2-naphthoic acid salt of salmeterol. It is a pressurized, metered-dose aerosol unit for oral inhalation. It contains a microcrystalline suspension of salmeterol xinafoate in a mixture of two chlorofluorocarbon propellants (trichlorofluoromethane and dichlorodifluoromethane) with lecithin. 36.25 µg of salmeterol xinafoate is equivalent to 25 µg of salmeterol base. Each actuation delivers 25 µg of salmeterol base (as salmeterol xinafoate) from the valve and 21 µg of salmeterol base (as salmeterol xinafoate) from the actuator. Each 6.5 g canister provides 60 inhalations, and each 13 g canister provides 120 inhalations.

SALMETEROL XINAFOATE INHALATION AEROSOL

Bill of Materials			
Scale (mg/ application)	Item	Material Name	Qty/1000 applications (g)
0.25	1	Salmeterol (used as salmeterol xinafoate)	0.250
7.28	2	Miglyol 829 (caprylic/capric diglycerol succinate)	7.280
0.15	3	Peppermint oil	0.150
0.18	4	Menthol	0.180
113.00	5	<i>n</i> -Butane	QS to 113.000

MANUFACTURING DIRECTIONS

1. Transfer Miglyol 829 by pumping from the released and tared container into mixing vessel.
2. After pumping Miglyol 829, set the propeller with optimum circulation and revolution to ensure no air entrapment.
3. Weigh out required amount of salmeterol xinafoate, and transfer directly into mixing vessel while mixing slowly.
4. Keep the preparation under stirring without interruption or change in rpm.
5. Dissolve menthol in peppermint oil at 25°C by slow stirring in another mixing vessel. Continue stirring until the solution becomes clear.
6. Transfer the clean menthol solution (step 5) into step 4 while stirring at the set speed. Continue stirring for 1 hour.
7. Store the base solution in aluminum can with polyethylene stopper and screw cap.

SCOPOLAMINE NASAL SPRAY

Charge 2.6 g scopolamine into a pressure addition vessel, and dissolve with stirring in 405.6 g ethanol in which 1.26 g of oleic acid has previously been dissolved. After sealing and evacuation thereof, 6.7 kg of HFA 134a that has previously been aerated with carbon dioxide and adjusted to a pressure of 8 bar in another pressure addition vessel is added by stirring. The solution obtained is dispensed into aluminum containers sealed with metering valves by means of the pressure-filling technique.

SELENIUM SULFIDE SHAMPOO WITH CONDITIONER

Bill of Materials			
Scale (mg/mL)	Item	Material Name	Qty/L (g)
10.00	1	Selenium sulfide	10.00
2.00	2	Methylparaben	2.00
10.00	3	Magnesium aluminum silicate type IIA	10.00
20.00	4	Titanium	20.00
0.17	5	Dye	0.17
230.00	6	Sodium alkyl ether sulfate/sulfonate	230.00
30.00	7	Cocamide DEA surfactant	30.00
40.00	8	Cocoamphocarboxyglycinate	40.00
10.00	9	Hydrolyzed protein	10.00
4.00	10	Perfume	4.00
QS	11	Citric acid	QS
QS	12	Sodium chloride	QS
QS	13	Deionized purified water	QS to 1 L

Note: Item 11 is used for pH adjustment, if necessary, and item 12 is used for viscosity adjustment, if necessary.

MANUFACTURING DIRECTIONS

1. Selenium sulfide is toxic; handle carefully, and use approved respiratory protection.
2. Add 7 mL purified water to an appropriate mill containing full-charge alumina grinding cylinder media.
3. Add selenium sulfide.
4. Seal the mill, and agitate for approximately 10 minutes to wet down the powdered material.
5. Recycle for approximately 5 minutes with the pump set at 1040 mmHg.
6. Stop agitation.
7. If necessary, add purified water (25–30°C) to nearly cover the grinding media.
8. Seal the mill, and recirculate the slurry for 1 to 2 hours with the pump set to obtain the required particle size specifications for the selenium sulfide.
9. Load 250 mL of purified water into a suitable jacketed mixing tank, and heat to 60°C to 70°C.
10. With good stirring, add and dissolve methyl paraben.
11. Slowly add and disperse the magnesium aluminum silicate. Continue mixing until fairly smooth.
12. Stop mixing, and allow to hydrate for 1 hour.
13. Add and disperse titanium dioxide.
14. Mix for 30 minutes.
15. With good stirring, add the selenium sulfide slurry, and rinse the mill with purified water.
16. Mix for 30 minutes.
17. Stop mixing, and add sodium lauryl ether sulfate/sulfonate.
18. Mix slowly for 5 minutes.

19. Add cocamide DEA.
20. Mix slowly for approximately 3 minutes.
21. Add coco-amphocarboxyglycinate.
22. Mix slowly for 30 minutes.
23. Separately dissolve hydrolyzed protein (hydrogel) in 4 mL of purified water, and mix until uniform.
24. Add solution from step 23 to the tank, and mix until uniform.
25. Add perfume, and mix for 1 minute.
26. Dissolve dye in 2 mL warm purified water (50–60°C), and add to mixing tank.
27. Mix until uniform.
28. Check and record pH; adjust to 4.5 to 5.0, if necessary, using citric acid. Record amount of citric acid used and the adjusted pH.
29. Add purified water QS to 980 mL, and mix for 30 minutes.
30. Check and record viscosity.
31. If necessary, adjust by adding sodium chloride.
32. Deaerate by slow stirring under vacuum or use of a suitable deaerator.
33. Mix for 1 hour.

SERTRALINE HYDROCHLORIDE ORAL CONCENTRATE

Sertraline hydrochloride is a selective serotonin reuptake inhibitor for oral administration. It is chemically unrelated to other selective serotonin reuptake inhibitors or tricyclic, tetracyclic, or other available antidepressant agents. It is supplied in a multidose 60 mL bottle. Each milliliter of solution contains sertraline hydrochloride equivalent to 20 mg of sertraline. The solution contains the following inactive ingredients: glycerin, alcohol (12%), menthol, and butylated hydroxytoluene. The oral concentrate must be diluted before administration.

SERTRALINE HYDROCHLORIDE SOLUTION

Zoloft oral concentrate is available in a multidose 60 mL bottle. Each milliliter of solution contains sertraline hydrochloride equivalent to 20 mg of sertraline. The solution contains the following inactive ingredients: glycerin, alcohol (12%), menthol, and butylated hydroxytoluene.

SIMETHICONE DROPS

Bill of Materials			
Scale (mg/mL)	Item	Material Name	Qty/L (g)
144.00	1	Simethicone emulsion 30% (Simethicone Antifoam M30) ^a	144.00
60.00	2	Polyethylene glycol (PEG 6000)	60.00
1.50	3	Xanthan gum (Keltrol F)	1.50
1.50	4	Methylcellulose 4000 (Methocel A4M)	1.50
1.50	5	Potassium sorbate	1.50
1.20	6	Methylparaben	1.20
0.20	7	Propylparaben	0.20
1.500	8	Saccharin sodium	1.50
0.80	9	Banana green flavor	0.80
1.02	10	Citric acid (monohydrate)	1.02
0.24	11	Sodium citrate powder	0.24
—	12	Water purified	QS to 1 L

^a Equivalent to 43.2 mg of simethicone.

MANUFACTURING DIRECTIONS

1. Load 240 g of item 12 in mixer. Heat to 90°C to 95°C. Dissolve items 6 and 7 by mixing with recirculation for 5 minutes.
2. Load item 2 in mixer. Mix to clear solution at 90°C to 95°C for 5 minutes under vacuum 0.4 to 0.6 bar.
3. Cool down to 25°C to 30°C. Take the PEG–paraben solution out of the mixer, and keep in a stainless steel container.
4. Load 512 g of item 12 in mixer. Heat to 90°C to 95°C, and then cool to 65°C to 70°C.
5. Take out 208 g of item 12 (65–70°C) from the mixer in a stainless steel container. Disperse item 3 by continuous stirring by mixer.
6. Disperse item 4 in mixer containing item 12 at 65°C to 70°C (step 4) while mixing and homogenizing at high speed for 5 minutes under vacuum 0.4 to 0.6 bar.
7. Cool to 20°C to 25°C with continuous mixing and recirculation.
8. Add PEG–paraben solution from step 3 to mixer while mixing at speed 18 rpm.
9. Add item 3 mucilage from step 5 to mixer while mixing at speed 18 rpm.
10. Homogenize at high speed under vacuum 0.4 to 0.6 bar for 5 minutes while mixing.
11. Dissolve items 5 and 8 in 12 g of item 12 in a stainless steel container, and add to mixer while mixing.
12. Add item 1 to the mixer while mixing.
13. Rinse the container of item 1 (step 12) with 12 g of item 12, and add the rinsings to the mixer.
14. Add item 9 to the mixer while mixing.

15. Mix and homogenize at low speed under vacuum 0.4 to 0.6 bar for 5 minutes.
16. pH is a critical factor for simethicone emulsion. Limit is between 4.4 and 4.6. Carefully adjust the pH.
17. Add item 12 (25–30°C) to make up the volume to 1°L.
18. Mix at slow speed under vacuum 0.4 to 0.6 bar for 5 minutes.
19. Filter the bulk through 630 micron sieve in a clean stainless steel storage tank.

SIROLIMUS SOLUTION

Sirolimus is an immunosuppressive agent. Sirolimus is a macrocyclic lactone produced by *Streptomyces hygroscopicus*. It is available for administration as an oral solution containing 1 mg/mL sirolimus; the inactive ingredients include phosphatidylcholine, propylene glycol, mono- and diglycerides, ethanol, soy fatty acids, ascorbyl palmitate, and polysorbate 80. The oral solution contains 1.5% to 2.5% ethanol.

SODIUM CHLORIDE NASAL DROPS

Bill of Materials			
Scale (mg/mL)	Item	Material Name	Qty/L (g)
90.00	1	Sodium chloride	90.00
3.00	2	Benzalkonium chloride solution 5%	3.00
QS	3	Water purified	QS to 1 L

MANUFACTURING DIRECTIONS

1. Charge 50% item 1 in a suitable stainless steel container, and heat to 85°C to 90°C.
2. Add and dissolve item 2 at room temperature.
3. Add item 1, and make up volume.

STAVUDINE FOR ORAL SUSPENSION

Zerit (stavudine) for oral solution is supplied as a dye-free, fruit-flavored powder in bottles with child-resistant closures providing 200 mL of 1 mg/mL stavudine solution on constitution with water per label instructions. The powder for oral solution contains the following inactive ingredients: methylparaben, propylparaben, sodium carboxymethylcellulose, sucrose, and antifoaming and flavoring agents.

SUCRALFATE SUSPENSION

Carafate suspension for oral administration contains 1 g sucralfate per 10 mL. Carafate suspension also contains colloidal silicon dioxide, FD&C Red No. 40, flavor, glycerin,

methylcellulose, methylparaben, microcrystalline cellulose, purified water, simethicone, and sorbitol solution.

Bill of Materials			
Scale (mg/5 mL)	Item	Material Name	Qty/L (g)
1000.00	1	Sucralfate	200.00
5.00	2	Methylparaben	1.00
1.50	3	Propylparaben	0.30
1500.00	4	Sorbitol 70%	300.00
2.50	5	Saccharin sodium	0.50
20.00	6	Natrosol 250M	4.00
30.00	7	Avicel™ HC 591	6.00
20.00	8	Sodium phosphate dibasic	4.00
7.50	9	Sodium phosphate monobasic	1.50
1.00	10	Lemon flavor	0.20
QS	11	Water purified	QS to 1 L

MANUFACTURING DIRECTIONS

1. Place 40% of item 11 in a stainless steel jacketed vessel, and heat to 90°C to 95°C.
2. Add items 2 and 3, and mix to dissolve. Cool to 40°C.
3. Place item 11 and item 6 in a separate vessel at 70°C to 80°C, and stir for 30 minutes.
4. Add and disperse item 7 in step 3.
5. Transfer to step 1, and mix to disperse.
6. In a separate vessel, add and mix item 4 with items 1 and 11.
7. Add to step 6.
8. Add flavor, and bring to volume.

SULFACETAMIDE SODIUM AND SULFUR CLEANSER AND SUSPENSION

Each gram of Plexion (sodium sulfacetamide 10% and sulfur 5%) cleanser contains 100 mg sodium sulfacetamide and 50 mg sulfur in a cleanser base containing water, sodium methyl oleylaurate, sodium cocoyl isethionate, disodium oleamido MEA sulfosuccinate, cetyl alcohol, glyceryl stearate and PEG-100 stearate, stearyl alcohol, PEG-55 propylene glycol oleate, magnesium aluminum silicate, methylparaben, disodium EDTA, butylated hydroxytoluene, sodium thiosulfate, fragrance, xanthan gum, and propylparaben. Each gram of Plexion (sodium sulfacetamide 10% and sulfur 5%) topical suspension contains 100 mg sodium sulfacetamide and 50 mg sulfur in a topical suspension containing water, propylene glycol, isopropyl myristate, light mineral oil, polysorbate 60, sorbitan monostearate, cetyl alcohol, hydrogenated cocoglycerides, stearyl alcohol, fragrances, benzyl alcohol, glyceryl stearate and PEG-100 stearate, dimethicone, zinc ricinoleate, xanthan gum, disodium EDTA, and sodium thiosulfate.

SULFADIAZINE AND TRIMETHOPRIM VETERINARY ORAL SUSPENSION

Bill of Materials

Scale (mg/mL)	Item	Material Name	Qty/L (g)
400.00	1	Sulfadiazine	400.00
80.00	2	Trimethoprim	80.00
50.00	3	Sodium hydroxide	50.00
20.00	4	Kollidon® CL-M	20.00
QS	5	Water purified	QS to 1 L

MANUFACTURING DIRECTIONS

- Place item 3 into a stainless steel vessel along with item 5. Mix and dissolve.
- Add and suspend item 4. Mix well.
- Add and suspend items 1 and 2. Homogenize if necessary.
- Fill.

SULFAMETHOXAZOLE AND TRIMETHOPRIM SUSPENSION

Bill of Materials

Scale (mg/5 mL)	Item	Material Name	Qty/L (g)
200.00	1	Sulfamethoxazole	40.00
40.00	2	Trimethoprim	8.00
2.50	3	Carrageenan (Hydrogel 843T)	0.50
18.75	4	Tragacanth	3.75
2.50	5	Saccharin sodium dihydrate	0.50
0.625	6	Anise oil	0.125
3.125	7	Methylparaben	0.625
2.70	8	Propylparaben	0.54
2.17	9	Alcohol dehydrated	0.435
2914.00	10	Sorbitol solution	582.80
403.75	11	Glycerin	80.75
QS	12	Water purified	QS to 1 L

MANUFACTURING DIRECTIONS

- Add and disperse Hydrogel 843T in approximately 8 mL purified water.
- Heat 30 mL purified water to 100°C, and add to dispersion from step 1 with mixing.
- Let stand overnight.
- Load trimethoprim and 7 g sulfamethoxazole into a suitable mixer. Blend.
- Moisten blend with approximately 25 mL water.
- Spread mass as small pancakes onto oven trays, and dry at 50°C for approximately 14 hours.
- Retain balance of sulfamethoxazole for later use.

- While mixing, add 75 mL water. Mix until homogeneous.
- Charge approximately 350 mL water into a suitable stainless steel mixing tank. Add and dissolve saccharin with mixing.
- Add tragacanth, and continue mixing for 4 hours.
- Separately add and dissolve the following ingredients in alcohol: methyl paraben, propyl paraben, and anise oil.
- Add solution from preceding step and sorbitol to the preparation from step 1. Mix for 3 hours, and let stand overnight.
- Add gel from previous step with mixing. Mix for approximately 15 minutes.
- Pass trimethoprim/sulfamethoxazole mass from step 4 and balance of sulfamethoxazole through a 595 micron aperture screen in Fitz mill, knives forward, medium speed, and slowly add to main tank with continuous agitation.
- Add glycerin to main tank with mixing.
- Pass the whole batch through a colloid mill until particle size and homogeneity meet specifications. Rinse mill and other equipment with purified water. Add the rinsings to the batch, and mix.
- If necessary, deaerate the product mixing under vacuum (ca. 20–25 in. of mercury). Release vacuum, and check volume.
- Bring to volume with water, and mix.
- Stir the suspension until homogeneous. Fill while stirring.

Bill of Materials

Scale (mg/mL)	Item	Material Name	Qty/L (g)
80.00	1	Sulfamethoxazole	80.00
16.00	2	Trimethoprim	16.00
30.00	3	Kollidon® CL-M	30.00
100.00	4	Sucrose	100.00
QS	5	Water purified	QS to 1 L
2.00	6	Vanillin	2.00
2.00	7	Flavor chocolate	2.00

MANUFACTURING DIRECTIONS

- Place items 4 and 5 in a suitable stainless steel jacketed vessel. Heat to dissolve.
- Cool to 40°C.
- Add, after passing through 200 mesh sieve, items 1 to 3 into step 2. Mix to dissolve.
- Add flavors. Mix and fill.

SULFAMETHOXAZOLE AND TRIMETHOPRIM SUSPENSION

Bill of Materials

Scale (mg/mL)	Item	Material Name	Qty/L (g)
80.00	1	Sulfamethoxazole	80.00
16.00	2	Trimethoprim	16.00
50.00	3	Sucrose	5.00
30.00	4	Lutrol F 127 or Lutrol F 68	30.00
QS	5	Water purified	QS to 1 L
QS	6	Vanillin	QS
QS	7	Flavor chocolate	QS

MANUFACTURING DIRECTIONS

- Place items 3 and 4 in a suitable stainless steel jacketed vessel. Heat to dissolve.
- Cool to 40°C.
- Add, after passing through a 200 mesh sieve, items 1, 2, and 4 into step 2. Mix to dissolve.
- Add item 5, flavors, if used. Mix and fill.

SULFATHIAZOLE VETERINARY ORAL SOLUTION

Bill of Materials

Scale (mg/mL)	Item	Material Name	Qty/L (g)
8.00	1	Sulfathiazole	8.00
225.00	2	Kollidon® 25	225.00
QS	3	Preservative	QS
QS	4	Water purified	QS to 1 L

MANUFACTURING DIRECTIONS

- Charge item 4 in a suitable stainless steel jacketed vessel. Heat to 70°C.
- Add and disperse item 2.
- Add and dissolve item 1 to a clear solution.
- Filter, if necessary, and fill.
- Optionally, an antioxidant such as 0.02% sodium bisulfite or 0.5% cysteine may be added if necessary.

SULFIDOXINE SOLUTION

Bill of Materials

Scale (mg/mL)	Item	Material Name	Qty/L (g)
20.00	1	Sulfadoxine	20.00
680.00	2	Lutrol E 400	680.00
QS	3	Preservatives	QS
QS	4	Water purified	QS to 1 L

MANUFACTURING DIRECTIONS

- Place items 1 and 2 in a suitable stainless steel jacketed vessel. Heat to 60°C, and mix.
- In a separate vessel, place item 4, heat to 90°C to 95°C, and then add item 3 (e.g., parabens) and dissolve. Cool to 40°C.
- Add step 2 into step 1. Mix to clear solution.

SULFIDOXINE AND PYRIMETHAMINE SUSPENSION

Bill of Materials

Scale (mg/mL)	Item	Material Name	Qty/L (g)
2.70	1	Tylose	2.70
1.00	2	Methylparaben	1.00
0.20	3	Propylparaben	0.20
600.00	4	Sugar	600.00
0.15	5	Sodium hydroxide	0.15
6.00	6	Trisodium citrate dehydrate	6.00
2.00	7	Benzoic acid	2.00
100.00	8	Sorbitol syrup	100.00
4.00	9	Tween 80	4.00
100.00	10	Sulfadoxine micronized	100.00
5.00	11	Pyrimethamine	5.00
0.20	12	Flavor	0.20
0.20	13	Flavor	0.20
0.20	14	Flavor	0.20
QS	15	Water purified	QS to 1 L

MANUFACTURING DIRECTIONS

- Boil a suitable quantity of item 15, cool down to 70°C, and add and dissolve items 2 and 3.
- Add item 1 and dissolve in item 15 in a separate container and then add to step 1.
- In a separate container, add and dissolve sodium hydroxide, sodium citrate, and benzoic acid in item 15, and add to step 1.
- Add and mix sorbitol with Tween 60 and item 10, stir for 15 minutes, and add to preceding step.
- Add item 11 to preceding step, and mix to dissolve.
- Add flavors, and bring to volume.

SUMATRIPTAN NASAL SPRAY

Each Imitrex nasal spray contains 5 or 20 mg of sumatriptan in a 100 μ L unit-dose aqueous buffered solution containing monobasic potassium phosphate, anhydrous dibasic sodium phosphate, sulfuric acid, sodium hydroxide, and purified water. The pH of the solution is approximately 5.5. The osmolality of the solution is 372 or 742 mOsmol for the 5 and 20 mg Imitrex nasal spray, respectively.

MANUFACTURING DIRECTIONS

- Charge 2.6 g of sumatriptan into a pressure addition vessel, and dissolve with stirring in 405.6 g ethanol in which 0.26 g oleic acid has previously been dissolved.
- After closing and evacuation thereof, 6.7 kg HFA 134a that has previously been aerated with carbon dioxide and adjusted to a pressure of 7 bar (20°C) in another pressure addition vessel is added with stirring.
- The preparation obtained is dispensed into aluminum containers sealed with metering valves by means of the pressure-filling technique.

TERFENADINE ORAL SUSPENSION

Bill of Materials

Scale (mg/5 mL)	Item	Material Name	Qty/L (g)
30.00	1	Terfenadine, 8% excess	6.48
2250.00	2	Sucrose	450.00
7.50	3	Sodium methylparaben	1.50
2.500	4	Sodium propylparaben	0.50
300.00	5	Propylene glycol	60.00
15.00	6	Polysorbate 80 (Tween 80)	3.00
50.00	7	Benzyl alcohol	10.00
0.24	8	Anise oil	0.048
15.00	9	Magnesium aluminum silicate (Veegum HV)	3.00
125.00	10	Glycerin	25.00
18.74	11	Carboxymethylcellulose sodium	3.74
0.76	12	Citric acid (monohydrate)	0.15
—	13	Water purified	QS to 1 L

MANUFACTURING DIRECTIONS

- Add 240 g of item 13 to the mixer, and heat to 90°C. Add and dissolve item 2 while mixing.
- Add and dissolve items 3 and 4 in the mixer at step 1 while mixing at speed 18 to 20 rpm for 15 minutes.
- Cool down to about 50°C to 55°C.
- Filter the syrup.
- Collect the syrup in clean stainless steel tank.
- Clean mixer with item 13, and transfer the filtered syrup from step 4. Maintain temperature at 35°C.

- Add 80 g of item 13 (70°C) in a separate stainless steel container, and disperse item 9 by using stirrer. Keep aside for 1 hour for hydration.
- Add item 10 in a separate stainless steel container, and disperse item 11 while mixing with stirrer.
- Add 80 g of item 13 (70°C) while mixing. Make a gel, and keep aside.
- Add 160 g of item 13 (60°C) in a separate stainless steel container.
- Dissolve item 6. Avoid foam formation. Add item 1 slowly while mixing at slow speed. Add item 5 while mixing at slow speed. Keep the solution aside.
- Transfer items 1, 9, and 11 dispersions from steps 3, 4, and 5, respectively, to the mixer.
- Mix at speed 18 rpm for 10 minutes.
- Mix item 8 in item 7, and add to the mixer. Mix for 2 minutes.
- Dissolve item 12 in 3.2 g of item 13, and add to the mixer. Mix for 2 minutes.
- Add cold item 13 (25°C) to make up the volume to 1 L.
- Homogenize for 10 minutes at high speed under vacuum 0.5 bar, 18 to 20 rpm, and temperature 25°C.
- Check the dispersion for uniformity.
- Check the pH (limit: 8–9 at 25°C). If required, adjust the pH with 20% solution of citric acid or sodium citrate.
- Filter the suspension through a 500 micron sieve to storage tank.

TERFENADINE SUSPENSION

Bill of Materials

Scale (mg/mL)	Item	Material Name	Qty/L (g)
12.00	1	Terfenadine	12.00
30.00	2	Lutrol F 127	30.00
36.00	3	Cremophor RH 40	36.00
QS	4	Preservatives	QS
QS	5	Water purified	QS to 1 L

MANUFACTURING DIRECTIONS

- Place item 5 in a suitable stainless steel jacketed vessel, and heat to 40°C.
- Add and dissolve item 2 and 3 in step 1.
- While stirring, add item 1, and suspend.
- Homogenize if necessary, and fill.

THEOPHYLLINE SODIUM GLYCINATE ELIXIR

Bill of Materials

Scale (mg/5 mL)	Item	Material Name	Qty/L (g)
125.00	1	Theophylline sodium glycinate ^a	25.00
4000.00	2	Sucrose	800.00
7.50	3	Sodium benzoate	1.50
0.75	4	Saccharin sodium	0.15
0.025	5	FD&C Red No. 40	0.005
1.00	6	Flavor	0.20
QS	7	Water purified	QS to 1 L

^a 125 mg theophylline sodium glycinate is equivalent to 60 mg theophylline hydrate.

MANUFACTURING DIRECTIONS

1. Add 400 g of item 7 to the manufacturing vessel, and heat to 95°C to 98°C. Add items 3 and 4 to dissolve. Mix for 10 minutes at low speed.
2. Add item 2 while mixing at low speed, temperature 95°C to 98°C. When addition is over, mix for 30 minutes at high speed.
3. Cool to 30°C while mixing at low speed.
4. Add 50 g of item 7 (25–30°C) in a separate container, and dissolve item 1 by using stirrer. Mix for 10 minutes, and transfer to the manufacturing vessel at step 3.
5. Rinse the container (step 3) with 1 g of item 7 (25–30°C), and transfer the rinsings to the manufacturing vessel while mixing at low speed.
6. Dissolve item 5 in 1 g of item 7 in a stainless steel container with slow stirring by stirrer. Transfer to the manufacturing vessel while mixing at low speed.
7. Add item 6 to the manufacturing vessel step 4 while mixing. Mix for 10 minutes at low speed.
8. Make up the volume to 1 L with item 7, and finally, mix for 5 to 10 minutes at high speed.
9. Check and record the pH (limit: 8.5–9.0 at 25°C).
10. Filtration: Assemble the filter press. Wash the filters using about 1 L of purified water (25°C) by passing through filters at 0.2 bar. Filter the syrup at 1.5 bar. Recirculate about 20 to 30 mL syrup.
11. Transfer the filtered syrup to the storage vessel.

THIABENDAZOLE SUSPENSION

Mintezol (thiabendazole) is an anthelmintic provided as a suspension, containing 500 mg thiabendazole per 5 mL. The suspension also contains sorbic acid 0.1% added as a preservative. Inactive ingredients in the tablets are acacia, calcium phosphate, flavors, lactose, magnesium stearate, mannitol, methylcellulose, and sodium saccharin. Inactive ingredients

in the suspension are an antifoam agent, flavors, polysorbate, purified water, sorbitol solution, and tragacanth.

THIOTHIXENE ORAL CONCENTRATE

Ingredients are thiothixene (2–30 mg/30 mL), alcohol, cherry flavor, dextrose, passion fruit flavor, sorbitol solution, and water.

TIMOLOL MALEATE OPHTHALMIC DROPS

Bill of Materials

Scale (mg/mL)	Item	Material Name	Qty/L (g)
2.50	1	Timolol maleate	2.50
QS	2	Vehicle (Pluraflo 1220 92.37%, ethanol 2.11%, anhydrous glycerin 5.16%)	QS to 1 L

MANUFACTURING DIRECTIONS

1. Add timolol. Cover tightly, and stir until a clear solution is obtained.
2. Add glycerin, ethanol, and Pluraflo to a clean vessel.

TOLNAFTATE FOOT CARE MICROEMULSION

Bill of Materials

Scale (mg/g)	Item	Material Name	Qty/kg (g)
155.00	1	Ethoxydiglycol	155.00
130.00	2	Polyglyceryl-6 dioleate	130.00
450.00	3	PEG-8 caprylic/capric glycerides	450.00
10.00	4	Tolnaftate	10.00
100.00	5	Water purified	100.00
50.00	6	Apricot kernel oil PEG-6 esters	50.00
100.00	7	Caprylic/Capric triglycerides	100.00
5.00	8	Chlorocresol	5.00

MANUFACTURING DIRECTIONS

1. Mix items 1 to 3, and dissolve item 4 in this mixture.
2. Add items 5 to 8, and mix until uniform.

TOLU BALSAM COUGH SYRUP

Bill of Materials

Scale (mg/mL)	Item	Material Name	Qty/L (g)
11.03	1	Tolu balsam tincture	11.03
2.50	2	Magnesium carbonate	2.50
15.00	3	Sucrose	15.00
QS	4	Water purified	90.000 mL
0.77	5	Methylparaben	0.77
0.086	6	Propylparaben	0.086
514.36	7	Sucrose	0.51
129.24	8	Glycerin (96%)	0.13
2.00	9	Dextromethorphan hydrobromide	2.00
1.00	10	Ephedrine HCl ^a	1.00
8.00	11	Ammonium chloride	8.00
0.40	12	Chlorpheniramine maleate	0.40
1.00	13	Phenylephrine hydrochloride	1.00
333.32	14	Glucose liquid	0.33
0.35	15	Flavor	0.35
0.15	16	Flavor	0.15
1.02	17	Ipecac fluid extract	1.01
8.57	18	Alcohol ^b	8.57
0.0375	19	Dye	0.037
QS	20	Acid hydrochloric	QS
QS	21	Water purified	QS to 1 L

^a May be deleted.

^b Tolu balsam tincture contains 80% alcohol. Use this item optionally to dissolve flavors.

MANUFACTURING DIRECTIONS

- Charge tolu balsam tincture into mixing tank, and add magnesium carbonate.
- Mix well to suspend.
- Add sugar (item 3) with mixing. Add 90 mL purified water (item 4), and mix thoroughly.
- Allow to set for 1 hour.
- Mix periodically while circulating through filter.
- Solution must be brilliantly clear. Filter, and save for next part.
- Charge 210.5 mL purified water (item 21) into suitable tank.
- Add and dissolve parabens M and P with heat 90°C to 95°C and mixing.
- Add and dissolve sugar (item 7) with mixing.
- Heat if necessary. Add glycerin, continue agitation, and cool to room temperature. Add filtrate from preceding step to cooled syrup.
- Add and dissolve the following ingredients with mixing: dextromethorphan HBr, ephedrine HCl (if used), ammonium chloride, chlorpheniramine maleate, and phenylephrine HCl.
- Add glucose. Mix well. Add and dissolve in alcohol: flavors and ipecac fluid extract.

- Add to tank, or in a separate container add flavors and ipecac extract to 10 mL glucose liquid, and mix. Add this to the main mixture.
- Rinse the container with a further 5 mL glucose liquid, and add the rinsings to the mixture.
- Add the remaining glucose liquid. Mix well.
- Dissolve in 1.75 mL purified water, and add.
- Check pH (range: 4–5). Adjust to pH 4 to 5 with hydrochloric acid.
- Make the volume to 1 L with purified water.
- Filter until sparkling clear. Add 0.5 g Hyflo® to mixing tank, mixing until uniform.
- Filter into tank for filling.

Bill of Materials

Scale (mg/mL)	Item	Material Name	Qty/L (g)
11.03	1	Tolu balsam tincture	11.03
2.50	2	Magnesium carbonate (powder)	2.50
15.00	3	Sucrose (granulated sugar)	15.00
QS	4	Purified water	90.00 mL
0.77	5	Methylparaben	0.77
0.086	6	Propylparaben	0.086
514.36	7	Sucrose (granulated sugar)	514.36
129.24	8	Glycerin (96%)	129.24
2.00	9	Dextromethorphan hydrobromide	2.00
1.00	10	Ephedrine HCl (powder)	1.00
8.00	11	Ammonium chloride	8.00
0.40	12	Chlorpheniramine maleate	0.40
1.00	13	Phenylephrine HCl	1.00
333.32	14	Glucose (liquid)	333.32
0.35	15	Flavor	0.35
0.15	16	Flavor	0.15
1.01	17	Ipecac (fluid extract)	1.01
8.57	18	Alcohol (ethanol, 190 proof)	8.57
0.037	19	Dye	0.037
QS	20	Hydrochloric acid (reagent-grade bottles)	QS
QS	21	Purified water	212.00 mL

MANUFACTURING DIRECTIONS

- Charge tolu balsam tincture into mixing tank, and add magnesium carbonate.
- Mix well to suspend.
- Add sugar (item 3) with mixing.
- Add 90 mL purified water (item 4), and mix thoroughly.
- Allow to set for 1 hour.
- Mix periodically while circulating through Shriver filter (or equivalent).
- Solution must be brilliantly clear.
- Filter, and save for next part.
- Charge 210.5 mL purified water (item 21) into suitable tank.
- Add and dissolve parabens with heat (90–95°C) and mixing.

11. Add and dissolve sugar (item 7) with mixing. Heat if necessary.
12. Add glycerin, continue agitation, and cool to room temperature.
13. To cooled syrup, add filtrate from previous step.
14. Add and dissolve the following ingredients with mixing: dextromethorphan hydrobromide, ephedrine HCl, ammonium chloride, chlorpheniramine maleate, and phenylephrine HCl.
15. Add glucose. Mix well.
16. Add and dissolve flavors and Ipecac fluid extract in 190 proof alcohol.
17. To the tank or in a separate container, add flavors and Ipecac extract to 10 mL of glucose liquid, and mix.
18. Add this mixture to the main mixture.
19. Rinse the container with a further 5 mL of liquid glucose, and add the rinsings to the mixture.
20. Add the remaining liquid glucose. Mix well.
21. Dissolve in 1.75 mL purified water, and add.
22. Check pH (range: 4–5).
23. Use hydrochloric acid to adjust pH to 4 to 5, with 4.5 being optimum (~0.3 mL HCl per liter of syrup).
24. QS to 1 L with purified water.
25. Filter until sparkling clear.
26. Add a suitable filter aid, and mix until uniform.
27. Filter into tank for filling.

TRETINOIN SOLUTION (50 MG/100 G)

FORMULATION

- I. Tretinoin (BASF), 0.05 g; Cremophor RH 40, 14.0 g; propylene glycol, 15.0 g; butylhydroxytoluene, 0.05 g; alpha-bisabolol nat. (BASF), 0.1 g
- II. Water, 70.0 g; parabens/sorbic acid, QS

MANUFACTURING DIRECTIONS

1. Heat mixture I to 40°C to 50°C to obtain a clear solution.
2. Introduce this warm solution slowly into solution II. It forms a clear yellow solution.

TRETINOIN SOLUTION

Bill of Materials

Scale (mg/mL)	Item	Material Name	Qty/L (g)
0.50	1	Tretinoin (BASF)	0.50
140.00	2	Cremophor RH 40	140.00
150.00	3	Propylene glycol	150.00
0.50	4	Butylated hydroxytoluene	0.50
1.00	5	Alpha bisabolol natural (BASF)	1.00
QS	6	Water purified	QS to 1 L
QS	7	Parabens	QS
QS	8	Sorbic acid	QS

MANUFACTURING DIRECTIONS

1. Charge items 1 to 5 in a suitable stainless steel jacketed vessel. Heat to 40°C to 50°C to obtain a clear solution.
2. In a separate jacketed vessel, place item 6, and heat to 90°C to 95°C.
3. Add and dissolve items 7 and 8. Cool to 40°C.
4. Add step 3 into step 1.
5. Mix to clear solution.
6. Filter if necessary, and fill.

TRIAMCINOLONE ACETONIDE NASAL SPRAY

Tri-Nasal spray is a metered-dose manual-spray pump in an amber polyethylene terephthalate bottle with 0.05% w/v triamcinolone acetonide in a solution containing citric acid, EDTA, polyethylene glycol 3350, propylene glycol, purified water, sodium citrate, and 0.01% benzalkonium chloride as a preservative. Tri-Nasal Spray pH is 5.3.

MANUFACTURING DIRECTIONS

Dissolve 20 g triamcinolone acetonide in 1.5 kg ethanol. The solution is dispensed into open aluminum containers, and these are sealed with suitable metering valves. The containers are filled by means of the pressure-filling technique with a total of 4 kg HFA 227 that has been aerated with carbon dioxide and adjusted to a pressure of 5 bar (20°C).

TRICLOSAN ORAL SOLUTION

Bill of Materials			
Scale (mg/mL)	Item	Material Name	Qty/L (g)
QS	1	Vehicle (Pluronic F108 55.80%, ethanol 21.30%, water 22.90%)	QS to 1 L
2.80	2	Triclosan monophosphate	2.80
10.00	3	Menthol	10.00
1.00	4	Sodium saccharin	1.00
0.50	5	Monosodium glycyrrhizinate	0.50
QS	6	Flavors and colors	QS

MANUFACTURING DIRECTIONS

1. Mill and screen the menthol and triclosan monophosphate to reduce particle size.
2. Add the menthol, triclosan monophosphate, sodium saccharin, and monoammonium glycyrrhizinate into a clean vessel.
3. Add propylene glycol to the vessel.
4. Subsequently, add the poloxamer and water to the vessel.
5. Mix until uniform.

TRIPROLIDINE AND PSEUDOEPHEDRINE HYDROCHLORIDE SYRUP

Bill of Materials			
Scale (mg/mL)	Item	Material Name	Qty/L (g)
0.25	1	Tripolidine HCl, 4.8% excess	0.26
6.00	2	Pseudoephedrine HCl, 3.0% excess	6.18
600.00	3	Sucrose	600.00
100.00	4	Glycerin (glycerol)	100.00
100.00	5	Sorbitol (70% solution)	100.00
15.00	6	Propylene glycol	15.00
1.00	7	Methylparaben	1.00
0.30	8	Propylparaben	0.30
0.50	9	Saccharin sodium	0.50
0.04	10	Quinoline yellow	0.04
0.05	11	Menthol	0.05
0.25	12	Raspberry flavor	0.25
1.15	13	Sodium citrate	1.15
QS	14	Water purified	QS to 1 L

MANUFACTURING DIRECTIONS

1. Add 400 g of item 14 to the manufacturing vessel, and heat to 90°C to 95°C.
2. Add items 7 and 8 while mixing to dissolve at high speed.
3. Add item 3 while mixing at slow speed. Temperature 90°C to 95°C.
4. Mix for 1 hour at high speed. Cool down to 50°C while mixing at slow speed.

5. Add items 9 and 13 to the manufacturing vessel while mixing at high speed.
6. Load items 5 and 4 into the manufacturing vessel using transfer pump while mixing at high speed.
7. Add 20 g of cold item 14 (30°C) in a separate container, and dissolve items 1 and 2 by using stirrer.
8. Mix for 10 minutes, and add to the manufacturing vessel while mixing at high speed.
9. Add 1 g of item 14 in a separate container, and dissolve item 10 manually.
10. Add color to the manufacturing vessel while mixing at high speed. Dissolve item 11 in item 12. Then, add item 6 to it. Add this flavor mixture to the manufacturing vessel while mixing at high speed.
11. Make up the volume to 1 L with item 14, and finally, mix for 15 to 20 minutes at high speed.
12. Check and record the pH (limit: 5.8–6.8 at 25°C).
13. If required, adjust pH with 20% citric acid or 20% sodium citrate solution.
14. Filter the syrup at 1.5 bar. Recirculate about 20 to 30 mL syrup.

TULOButEROL SYRUP

Bill of Materials			
Scale (mg/5 mL)	Item	Material Name	Qty/L (g)
1.00	1	Tulobuterol hydrochloride	0.20
5.00	2	Water purified	100.00 mL
3.75	3	Glycerin	75.00 mL
0.03	4	Methylparaben	0.60
0.0075	5	Propylparaben	0.15
QS	6	Red dye	25.00 mg
QS	7	Flavor	5.00
QS	8	Sorbitol (70%)	QS to 1 L

MANUFACTURING DIRECTIONS

1. Heat 50 mL water to approximately 80°C and 95°C in a suitable vessel.
2. Add the methylparaben and propylparaben. Rinse the containers with some of the remaining water if necessary. Stir until dissolved, maintaining temperature at about 80°C.
3. Warm about 340 mL sorbitol solution to 40°C and 55°C in a suitable vessel.
4. Transfer the warm sorbitol to the final mixing vessel and add the hot paraben solution from step 2, stirring continuously. Rinse paraben solution container with 5 mL hot water, and add to the bulk.
5. Dissolve tulobuterol and dye in about 25 mL remaining water, rinsing the containers with some of the remaining water if necessary.
6. Add the solution from the preceding step to the final vessel, mixing continuously. It is important to ensure

all of the colored solution is transferred. Rinse the container with a portion of the remaining water.

7. Add the glycerol and flavor to the bulk solution. Rinse the glycerol container with the remaining water, and add to the bulk. Make up to volume with the sorbitol solution.
8. Mix gently until a uniform syrup is obtained, avoiding incorporation of air bubbles.
9. If necessary, circulate through a filter press until sparkling clear.
10. Pass filtered clear syrup into a suitable holding tank.

TOLNAFTATE FOOT CARE MICROEMULSION

Bill of Materials

Scale (mg/g)	Item	Material Name	Qty/kg (g)
155.00	1	Ethoxydiglycol	155.00
130.00	2	Polyglyceryl-6 dioleate	130.00
450.00	3	PEG-8 caprylic/capric glycerides	450.00
10.00	4	Tolnaftate	10.00
100.00	5	Deionized water	100.00
50.00	6	Apricot kernel oil PEG-6 esters	50.00
100.00	7	Caprylic/Capric triglycerides	100.00
5.00	8	Chlorocresol	5.00

MANUFACTURING DIRECTIONS

1. Mix items 1 to 3, and dissolve item 4 in this mixture.
2. Add items 5 to 8, and mix until uniform.

TRIPROLIDINE AND PSEUDOEPHEDRINE HYDROCHLORIDE SYRUP

Bill of Materials

Scale (mg/mL)	Item	Material Name	Qty/L (g)
0.25	1	Triprolidine HCl (4.8% excess)	0.26
6.00	2	Pseudoephedrine HCl (3.0% excess)	6.18
600.00	3	Sucrose	600.00
100.00	4	Glycerin (glycerol)	100.00
100.00	5	Sorbitol (70% solution)	100.00
15.00	6	Propylene glycol	15.00
1.00	7	Methylparaben	1.00
0.30	8	Propylparaben	0.30
0.50	9	Saccharin sodium	0.50
0.04	10	Quinoline yellow	0.04
0.05	11	Menthol	0.05
0.25	12	Raspberry flavor	0.25
1.15	13	Sodium citrate	1.15
QS	14	Purified water	QS to 1 L

MANUFACTURING DIRECTIONS

1. Add 400 g of purified water to the manufacturing vessel, and heat to 90°C to 95°C.
2. Add items 7 and 8 while mixing to dissolve at high speed.
3. Add item 3 while mixing at slow speed (temperature: 90–95°C).
4. Mix for 1 hour at high speed.
5. Cool down to 50°C while mixing at slow speed.
6. Add items 9 and 13 to the manufacturing vessel while mixing at high speed.
7. Load items 5 and 4 into the manufacturing vessel using a transfer pump while mixing at high speed.
8. Add 20 g of cold purified water (30°C) in a separate container, and dissolve items 1 and 2 by using stirrer.
9. Mix for 10 minutes, and add to the manufacturing vessel while mixing at high speed.
10. Add 1 g of purified water in a separate container, and manually dissolve item 10.
11. Add color to the manufacturing vessel while mixing at high speed.
12. Dissolve item 11 in item 12, and then add item 6.
13. Add this flavor mixture to the manufacturing vessel while mixing at high speed.
14. Bring the volume up to 1 L with item 14, and finally, mix for 15 to 20 minutes at high speed.
15. Check and record the pH (limit: 5.8–6.8 at 25°C).
16. If required, adjust pH with 20% citric acid or 20% sodium citrate solution.
17. Filter the syrup at 1.5 bar.
18. Recirculate about 20 to 30 mL syrup.

UNDECYLENIC ACID AND CHLOROXYLENOL SOLUTION

This is an antifungal solution for topical use containing 25% undecylenic acid and 3% chloroxylenol as its active ingredients in a penetrating oil base. Available in 1 oz bottles with special brush applicator.

UREA PEROXIDE EAR DROPS

Bill of Materials

Scale (mg/g)	Item	Material Name	Qty/kg (g)
65.00	1	Urea peroxide (40% excess)	91.00
15.00	2	Sodium citrate (dihydrate, powder)	15.00
5.00	3	Polysorbate 20 (Tween 20)	5.00
2.50	4	Tartaric acid (12663)	2.50
QS	5	Anhydrous glycerin	QS
QS	6	Nitrogen	QS

MANUFACTURING DIRECTIONS

1. Add 500 mL of glycerin into a suitable tank.
2. Start mixing at slow speed, and heat the contents to 70°C to 75°C.
3. Flood tank with nitrogen, increase mixing speed, and slowly add sodium citrate.
4. Add tartaric acid.
5. Mix for at least 30 minutes or until dissolved.
6. Maintain the temperature at 70°C to 75°C.
7. When sodium citrate is completely dissolved, cool to 25°C to 30°C with constant mixing.
8. Prepare urea peroxide by breaking up lumps and screening to remove large particles.
9. Wear gloves.
10. Add an additional 250 to 300 mL of glycerin into tank.
11. Add urea peroxide slowly to prevent lumping while mixing constantly.
12. Mix at high speed after addition.
13. Add polysorbate 20 with constant mixing, and QS to final volume with glycerin.
14. Mix for at least 30 minutes and until solution is clear.
15. Pass solution through an approximately No. 100 mesh (150 µm or similar) screen, and collect in clean, dry carboys. (The filter support screen in a Millipore holder may be used for filtering; the solution is too viscous to flow through a membrane or any cellulosic filter.)

VALPROIC ACID CAPSULES

Valproic acid is a carboxylic acid designated as 2-propylpentanoic acid. It is also known as dipropylacetic acid. Capsules and syrup are antiepileptics for oral administration. Each soft elastic capsule contains 250 mg valproic acid. Inactive ingredients for the 250 mg capsules are corn oil, FD&C Yellow No. 6, gelatin, glycerin, iron oxide, methylparaben, propylparaben, and titanium dioxide.

VALPROIC ACID SYRUP

Valproic acid is a carboxylic acid designated as 2-propylpentanoic acid. It is also known as dipropylacetic acid. Capsules and syrup are antiepileptics for oral administration. The syrup contains the equivalent of 250 mg valproic acid per 5 mL as the sodium salt. Inactive ingredients are FD&C Red No. 40, glycerin, methylparaben, propylparaben, sorbitol, sucrose, water, and natural and artificial flavors.

**VANCOMYCIN HYDROCHLORIDE
ORAL SOLUTION**

Vancocin HCl for oral solution contains vancomycin hydrochloride equivalent to 10 g (6.7 mmol) or 1 g (0.67 mmol) vancomycin. Calcium disodium edetate, equivalent to 0.2 mg edetate per gram of vancomycin, is added at the time of

manufacture. The 10 g bottle may contain up to 40 mg of ethanol per gram of vancomycin.

VITAMIN A AND VITAMIN D INFANT DROPS**Bill of Materials**

Scale (mg/mL)	Item	Material Name	Qty/L (g)
1500 IU	1	Vitamin A palmitate (1.7 MM IU/g) (50% excess)	1.323
400 IU	2	Vitamin D (40 MM IU/g) (Cholecalciferol) (25% excess)	0.012
10.00	3	Polysorbate 80 (Tween 80)	10.00
0.88	4	Vitamin E (oily; α -tocopheryl acetate)	0.88
0.50	5	Edetate disodium (sodium EDTA)	0.50
1.00	6	Ascorbic acid	1.00
0.10	7	Saccharin sodium	0.10
600.00	8	Glycerin (glycerol)	600.00
100.00	9	Sorbitol (70% solution)	100.00
50.00	10	Propylene glycol	50.00
1.00	11	Flavor	1.00
1.50	12	Flavor	1.50
QS	13	Dye	QS
QS	14	Dye	QS
—	15	Purified water	QS to 1 L

MANUFACTURING DIRECTIONS

1. This product is a microemulsion and thermolabile preparation. The temperature of solution must not exceed 25°C at the time of processing. Store bulk at a temperature of 15°C to 20°C under nitrogen protection. Period of storage should not exceed 48 hours prior to filling in the bottle.
2. Collect 200 g of purified water in a melting vessel.
3. Heat to 90°C to 95°C for 10 minutes, and then cool to 20°C to 25°C.
4. Bubble nitrogen gas into purified water for 20 minutes.
5. Load 100 g of purified water into the manufacturing vessel.
6. Bubble nitrogen gas during all stages of the processing.
7. Add items 5, 6, and 7 one by one to the manufacturing vessel while mixing.
8. Check that all materials are dissolved completely.
9. Add items 8 and 9 and 20 g of item 10 one by one to the manufacturing vessel while mixing at slow speed.
10. Mix for 5 minutes.
11. Avoid aeration.
12. Add item 3 in a stainless steel container.
13. Mix items 1, 2, and 4 one by one using a stirrer.

14. Mix for 1 hour at slow speed.
15. Avoid aeration.
16. Add the oil phase to the aqueous phase in the manufacturing vessel at a rate of 4 mL/min while mixing; keep on bubbling nitrogen gas throughout the process.
17. Dissolve items 11 and 12 in 30 g of item 10 in a stainless steel container by slow stirring.
18. Add to manufacturing vessel while mixing.
19. Dissolve items 14 and 13 in 40 g of purified water (25–30°C) in a stainless steel container with slow stirring.
20. Add to manufacturing vessel while mixing.
21. Adjust the volume to 1.0 L with cooled purified water.
22. Check and record the volume and pH (limit: 2.5–4.8).
23. Filter the solution through a prefilter and 0.2 µm membrane filter into the receiving tank.
24. Bubble with nitrogen gas for 15 minutes.
25. Store the solution with a nitrogen blanket.

VITAMIN A AND D INFANT DROPS

Bill of Materials			
Scale (mg/g)	Item	Material Name	Qty/L (g)
30,000 IU	1	Vitamin A palmitate (1.7 MM IU/g)	1.90
3000 IU	2	Vitamin D3 (40 MM IU/g)	7.50 mg
12.00	3	Cremophor (relative humidity, 40%)	12.00
0.30	4	Butylhydroxytoluene	0.30
10.00	5	Lutrol E 400	10.00
0.80	6	Paraben	0.80
0.20	7	Sorbic acid	0.20
QS	8	Water	QS to 1 L

MANUFACTURING DIRECTIONS

1. Heat mixture of items 1 to 5 and solution of items 6 to 8 to about 65°C, and add this slowly to the well-stirred mixture of items 1 to 5.
2. Clear or slightly opalescent yellow liquid is obtained.

VITAMIN A AND VITAMIN D3 DROPS

Bill of Materials			
Scale (mg/g)	Item	Material Name	Qty/L (g)
30,000 U	1	Vitamin A palmitate 1.7 million U/g	1.90
3000 U	2	Vitamin D3 40 million U/g	7.5 mg
12.0	3	Cremophor RH 40	12.00
0.3	4	Butylhydroxytoluene	0.30
10.0	5	Lutrol E 400	10.00
0.8	6	Parabens (propyl and methyl)	0.80
0.2	7	Sorbic acid	0.20
74.8	8	Water purified	74.80

MANUFACTURING DIRECTIONS

1. Heat mixture of items 1 to 5 and solution of items 6 to 8 to about 65°C.
2. Add this slowly to the well-stirred mixture of items 1 to 5. Yellow clear or slightly opalescent liquid is obtained.

VITAMIN A AND VITAMIN D3 ORAL SOLUTION

Bill of Materials			
Scale (mg/mL)	Item	Material Name	Qty/L (mg)
1000 IU	1	Vitamin A palmitate (1.7 MM IU/g)	60.00
100 IU	2	Vitamin D3 (40 MM IU/g)	0.30
0.002	3	Butylhydroxytoluene	0.20
3.00	4	Cremophor EL or Cremophor (relative humidity, 40%)	3.00 g
QS	5	Preservative	QS
QS	6	Flavor	QS
QS	7	Water	QS to 1 L

MANUFACTURING DIRECTIONS

1. Heat mixture of items 1 to 4 to about 65°C, stir well, and slowly add the hot solution of item 5 (65°C).
2. Cool to room temperature and add item 6 to obtain a clear, yellow liquid.

VITAMIN A AND VITAMIN D3 SYRUP

Bill of Materials			
Scale (mg/mL)	Item	Material Name	Qty/L (g)
30,000 IU	1	Vitamin A palmitate (1.7 MM IU/g)	19.00
10,000 IU	2	Vitamin D3 (40 MM IU/g)	0.25
70.00 mg	3	Cremophor (relative humidity, 40%)	7.00
QS	4	Sugar syrup (50%)	QS to 1 L

MANUFACTURING DIRECTIONS

1. Heat mixture of items 1 to 3 to approximately 45°C, stir well, and slowly add item 4 to obtain a clear, yellow liquid (pH 6.2).

VITAMIN A AND VITAMIN E DROPS

Bill of Materials			
Scale (mg/mL)	Item	Material Name	Qty/L (g)
25,000 U	1	Vitamin A palmitate 1.7 million U/g	15.00
50.00	2	Vitamin E acetate	50.00
210.00	3	Cremophor RH 40 ^a	210.00
QS	4	Preservative	QS
QS	5	Water purified	QS to 1 L

^a The quantity is reduced by 1.0 g if DL-alpha-tocopherol is also added at 1.0 g level in the formulation.

MANUFACTURING DIRECTIONS

1. Mix the vitamins with Cremophor RH 40 (and DL-alpha-tocopherol, if used) at 60°C.
2. Add solution of preservatives (at 37°C) slowly, with stirring. Clear, yellow, viscous liquids are formed.

VITAMIN A AND VITAMIN E DROPS

Bill of Materials			
Scale (mg/mL)	Item	Material Name	Qty/L (g)
5000 U	1	Vitamin A palmitate 1.7 million U/g	3.33
50.00	2	Vitamin E acetate	60.00
150.00	3	Cremophor RH 40	150.00
150.00	4	Alcohol	150.00
QS	5	Water purified	QS to 1 L

MANUFACTURING DIRECTIONS

1. Heat mixture of items 1 to 3 to about 65°C. Stir well.
2. Slowly add the mixture of items 4 and 5. Color is yellow, and clarity should be clear (turbidity units: 25 FTU). It must be tested to see whether the ethanol concentration has a sufficient preservative efficiency. The addition of butylhydroxytoluene as antioxidant is recommended.

VITAMIN A AND VITAMIN E DROPS

Bill of Materials			
Scale (mg/mL)	Item	Material Name	Qty/L
25,000 IU	1	Vitamin A palmitate (1.7 Mio IU/g)	1.50
50.00	2	Vitamin E acetate	5.00
210.00	3	Cremophor (relative humidity, 40%) ^a	21.00
QS	5	Preservative	QS
QS	6	Water	71.50

^a The quantity is reduced by 1.0 g if 1.0 g of D,L- α -tocopherol is also added in the formulation.

MANUFACTURING DIRECTIONS

1. Mix the vitamins with Cremophor (and D,L- α -tocopherol, if used) at 60°C.
2. Add solution of preservatives (at 37°C) slowly, with stirring to produce clear, yellow, viscous liquids.

VITAMIN A CONCENTRATE, WATER-MISCIBLE

Bill of Materials			
Scale (mg/mL)	Item	Material Name	Qty/L (g)
100,000 U	1	Vitamin A palmitate 1.7 million U/g	65.00
2.00	2	Butylhydroxytoluene	2.00
210.00	3	Cremophor RH 40	210.00
QS	4	Preservative	QS
QS	5	Water purified	QS to 1 L

MANUFACTURING DIRECTIONS

1. Heat the mixture of items 1 to 3 to about 65°C. Stir well.
2. Add very slowly the warm solution of items 4 and 5 (65°C). Clear, yellow liquid, miscible with water, is formed.

VITAMIN A DROPS

Bill of Materials			
Scale (mg/mL)	Item	Material Name	Qty/1000 Tablets (g)
50,000 IU	1	Vitamin A palmitate (1.7 Mio IU/g)	3.00
110.00	2	Cremophor (relative humidity, 40%)	11.00
1.00	3	Butylhydroxytoluene	0.10
QS	4	Water	85.90

MANUFACTURING DIRECTIONS

1. Heat the mixture of items 1 to 3 to about 65°C. Stir well.
2. Slowly add the hot water (65°C) to obtain a clear or slightly opalescent yellow solution of low viscosity.
3. Lutrol E 400 can be added at a level of 5% (compensated for by item 4).

VITAMIN A DROPS**MANUFACTURING DIRECTIONS**

1. Heat the mixture of items 1 to 3 to approximately 65°C. Stir well.
2. Slowly add the hot water (65°C). The solution should be yellow and clear or slightly opalescent and of low viscosity. Lutrol E 400 can be added at a level of 5%, compensated by item 4.

VITAMIN B COMPLEX SYRUP

Bill of Materials			
Scale (mg/mL)	Item	Material Name	Qty/L (g)
0.66	1	Dexpanthenol	0.66
4.40	2	Nicotinamide	4.44
0.22	3	Pyridoxine HCl	0.22
0.60	4	Riboflavin-5-phosphate sodium	0.60
1.50	5	Thiamine HCl	1.50
350.00	6	Sorbitol (70% solution)	350.00
11.20	7	Propylene glycol	11.20
0.84	8	Methylparaben	0.84
0.16	9	Propylparaben	0.16
550.00	10	Maltitol solution (Lycasin 80/55)	550.00
0.15	11	Edetate disodium (sodium EDTA)	0.15
3.72	12	Citric acid (monohydrate)	3.72
3.72	13	Sodium citrate	3.72
2.50	14	Sodium benzoate	2.50
0.50	15	Saccharin sodium	0.50
150.00	16	Glycerin (glycerol)	150.00
1.50	17	Flavor	1.50
1.00	18	Flavor	1.00
—	19	Water purified	QS to 1 L

MANUFACTURING DIRECTIONS

1. Load items 6, 10, and 16 in a suitable manufacturing vessel, and mix for 5 minutes.
2. Dissolve items 8 and 9 in item 7 in a stainless steel container.
3. Put the whole container in hot water (60–70°C), and stir to dissolve.
4. Add the clear solution to mixer.
5. Dissolve items 11 and 12 in 40 g of item 19 in a stainless steel container.
6. Add the clear solution to mixer.
7. Dissolve items 13, 14, and 15 in 50 g of item 19 in a stainless steel container. Add the clear solution to mixer, and mix for 5 minutes.
8. Dissolve item 1 in 10 g of item 19 in a stainless steel container.
9. Add the clear solution to mixer. Dissolve items 3 and 5 in 10 g of item 19 in a stainless steel container. Add the clear solution to mixer.
10. Dissolve items 2 and 4 in 30 g of item 19 in a stainless steel container.
11. Add the clear yellow solution to mixer, and mix for 5 minutes.
12. Add items 17 and 18 to mixer. Make up the volume up to 1 L with item 19, and finally, mix for 15 to 20 minutes.
13. Check and record the pH (limit: 4.4–4.8 at 25°C). If required, adjust pH with 20% citric acid or 20% sodium citrate solution.
14. Filter the syrup at 1.5 bar. Recirculate about 200 to 300 mL syrup.
15. Transfer the filtered syrup to the storage vessel, flushing with nitrogen gas. Store the syrup under nitrogen blanket NMT 2 days before filling.

VITAMIN B COMPLEX SYRUP

Bill of Materials			
Scale (mg/mL)	Item	Material Name	Qty/L (g)
0.60	1	Thiamine hydrochloride (BASF)	0.60
0.55	2	Riboflavin 5-phosphate sodium	0.55
2.50	3	Nicotinamide	2.50
12.00	4	Dexpanthenol (BASF)	12.00
0.55	5	Pyridoxine hydrochloride	5.50
2.00	6	Sorbic acid	20.00
0.050	7	EDTA sodium	0.50
2.25	8	Vanillin	22.50
465.00	9	Sucrose	465.00
25.00	10	Kollidon® 25	25.00
90.00	11	Glycerin	90.00
100.00	12	Propylene glycol	100.00
QS	13	Water purified	QS to 1 L

MANUFACTURING DIRECTIONS

1. Charge glycerin, propylene glycol, and purified water in a suitable stainless steel jacketed vessel. Heat to 65°C.
2. Add and dissolve sucrose in step 1.
3. Cool to room temperature.
4. Add and dissolve all other items.
5. Filter if necessary. Fill.

VITAMIN B COMPLEX AND VITAMIN C SYRUP

Bill of Materials			
Scale (mg/mL)	Item	Material Name	Qty/L (g)
0.150	1	Thiamine hydrochloride	0.15
0.15	2	Riboflavin phosphate sodium	0.15
0.70	3	Nicotinamide	0.70
0.035	4	Dexpanthenol	0.035
0.15	5	Pyridoxine hydrochloride	0.15
2.25	6	Ascorbic acid, crystalline	2.25
0.28	7	Orange aroma	0.28
0.56	8	EDTA sodium	0.56
186.50	9	Propylene glycol (pharma) + water (2:1)	186.50
0.15	10	Parabens	0.155
84.30	11	Sorbitol, crystalline	84.30
562.50	12	Sucrose, crystalline	562.50
QS	13	Water purified	QS to 1 L

MANUFACTURING DIRECTIONS

1. Dissolve items 1 to 8 in item 2.
2. Prepare solution of items 10 to 13 by heating, cool, and mix with solution balance of formulation.
3. Adjust to pH 4.2 to 4.5. Adjust volume with item 13; use more if necessary. Use nitrogen as inert gas during packaging.

VITAMIN B COMPLEX (WITHOUT B12) SYRUP

Bill of Materials			
Scale (mg/mL)	Item	Material Name	Qty/L (g)
570.00	1	Sucrose	570.00
70.00	2	Glycerin	70.00
3.72	3	Citric acid (monohydrate)	3.72
1.00	4	Edetate disodium (sodium EDTA)	1.00
0.90	5	Calcium pantothenate, 10% excess	1.00
5.70	6	Sodium citrate	5.70
0.84	7	Methylparaben	0.84
0.18	8	Propylparaben	0.18
1.90	9	Benzoic acid	1.90
1.14	10	Strawberry flavor manefils	1.14
9.60	11	Alcohol	9.60
1.50	12	Thiamine HCl, 50% excess	1.50
0.20	13	Pyridoxine hydrochloride, 10% excess	0.22
4.00	14	Nicotinamide, 10% excess	4.40
0.30	15	Riboflavin sodium phosphate, 50% excess	0.60
—	16	Water purified	QS to 1 L

MANUFACTURING DIRECTIONS

1. Flush with nitrogen gas (purity 99.95%).
2. Add 400 g of item 16 to the manufacturing vessel, and heat to 90°C to 95°C.
3. Add item 1 while mixing at low speed. After addition of item 1, mix for 30 to 35 minutes at high speed and temperature 90°C to 95°C.
4. Cool to 40°C while mixing at low speed.
5. Disperse 1 g filter aid in 10 g cooled item 16 (25–30°C) in a stainless steel container to prepare a slurry.
6. Add the slurry to syrup in syrup vessel. Mix for 15 minutes at high speed.
7. Filter the syrup at 1.5 bar.
8. Recirculate about 40 to 60 mL syrup.
9. Transfer the filtered syrup to the storage vessel. Recharge the filtered syrup to the manufacturing vessel. Start mixing.
10. Add item 2 to the syrup vessel while mixing at high speed.
11. Add item 3 to the syrup vessel while mixing to dissolve at high speed.
12. Dissolve item 4 in 6 g of cooled item 16 (25–30°C), and add to the syrup vessel while mixing at high speed.
13. Dissolve item 5 in 6 g of cooled item 16, and add to the syrup vessel while mixing at high speed for 30 minutes.

14. Dissolve item 6 in 10 g of cooled item 16 (25–30°C), and add to the syrup vessel while mixing at high speed.
15. Dissolve items 7, 8, 9, and 10 in item 11 in a stainless steel container, and add to the syrup vessel while mixing at high speed for 15 minutes.
16. Dissolve items 12 and 13 in 6 g of cooled item 16 (25–30°C) in a separate stainless steel container, and add to the syrup vessel while mixing at high speed.
17. Rinse the container with 1 g of cooled item 16 (25–30°C), and add the rinsing to the syrup vessel while mixing at high speed.
18. Flush the vessel with nitrogen gas purity 99.95% for 15 minutes.
19. Dissolve item 14 in 9 g of cooled item 16 in a separate stainless steel container, and add to the syrup vessel while mixing at high speed.
20. Rinse the container with 1 g of cooled item 16 (25–30°C), and add the rinsing to the syrup vessel while mixing at high speed.
21. Dissolve item 15 in 4 g of cooled item 16 (25–30°C) in a separate stainless steel container, and add to the syrup vessel while mixing at high speed.
22. Rinse the container with 1 g of cooled item 16, and add the rinsings to the syrup vessel while mixing at high speed.
23. Make up the volume to 1 L with cooled item 16 (25–30°C), and finally, mix for 15 minutes at high speed.
24. Check and record the pH (limit: 4.3–4.7 at 25°C).
25. If required, adjust pH with 10% solution of citric acid or sodium citrate.
26. Flush the syrup with nitrogen gas purity 99.95% for 15 minutes.
27. Close the tank. Hold the syrup for 12 hours. Filter the syrup at 1.5 bar. Recirculate about 40 to 60 mL syrup.
28. Transfer the filtered syrup to the storage vessel.

VITAMIN B COMPLEX, A, C, D, AND CALCIUM DROPS

Bill of Materials

Scale (mg/mL)	Item	Material Name	Qty/L (g)
675.00	1	Glycerin	675.00
16.66	2	Niacinamide powder white	16.66
2.739	3	Riboflavin-51-phosphate sodium, 3% excess	2.822
0.500	4	Methyl paraben	0.500
1.0	5	Acid benzoic	1.00
105.0	6	Saccharin sodium powder	105.00
73.360	7	Calcium chloride granules (dihydrate)	73.36
28.785	8	Ferrous gluconate	28.78
2.25	9	Thiamine HCl powder regular, 35% excess	3.37
1.000	10	Pyridoxine hydrochloride	1.00
83.33	11	Acid ascorbic white powder, 35% excess	112.50
0.258	12	Oil orange terpeneless	0.25
0.081	13	Alcohol	0.081
80.00	14	Polysorbate 80	80.00
0.167	15	Butylated hydroxyanisole	0.16
0.666	16	Viosterol in corn oil (synthetic oleovitamin D USP 1000 mD/g), 25% excess	0.83
0.056	17	Vitamin A palmitate 1,500,000 U/g	0.056
10.000	18	Caramel acid proof	10.00
QS	19	Water purified	QS to 1 L

MANUFACTURING DIRECTIONS

Product must not stand more than 1 week before filling. Avoid unnecessary exposure of product to light, air, and heat. Manufacture and store product under complete CO₂ protection. Avoid vigorous mixing.

1. Charge glycerin and 210 mL purified water into a stainless steel jacketed tank.
2. Add with mixing in the following order: niacinamide, riboflavin, ascorbic acid.
3. Continue mixing, heat to 95°C to 100°C, and hold to completely dissolve the ingredients.
4. Add, in portions, calcium chloride, and stir until complete solution.
5. Continue mixing and cool to 70°C to 75°C. Add with mixing and dissolve ferrous gluconate at 70°C to 75°C. Check for absence of nondissolved material.
6. Check volume, and if necessary, replace the purified water lost by heating with additional purified water, previously boiled, QS to 750 mL.
7. Cool with mixing to room temperature 25°C to 30°C while bubbling CO₂ gas through. Continue the CO₂ gas bubbling for balance of process.

8. Add and dissolve each ingredient in the order named: thiamine HCl, pyridoxine HCl, and ascorbic acid. Dissolve oil orange in ethyl alcohol and add with stirring.
9. Heat polysorbate 80 to 50°C to 60°C, and hold for approximately 10 minutes with slow mixing.
10. Add and dissolve butylated hydroxyanisole.
11. Mix slowly, and saturate with CO₂ while cooling to 25°C to 30°C.
12. Add and dissolve viosterol in corn oil and vitamin A palmitate, riboflavin-51-phosphate sodium, methylparaben, benzoic acid, and saccharin sodium, mixing well with CO₂ gas blowing.
13. Add polysorbate solution to main batch, and mix thoroughly. Rinse container with a portion of main batch.
14. Heat 50 mL purified water to 35°C to 40°C while bubbling CO₂ gas through.
15. Add caramel color. Mix well until uniform.
16. Add to main batch. Rinse container with a small quantity of purified water that has been previously saturated with CO₂ gas.
17. Add to main batch. Add purified water that has been previously saturated with CO₂ gas.
18. Bring to volume.
19. Filter without using filter aid. Cycle to achieve clarity. Keep carbon dioxide cover.

VITAMIN B COMPLEX AND IRON SYRUP

Bill of Materials

Scale (mg/mL)	Item	Material Name	Qty/L (g)
910.00	1	Sorbitol solution	910.00
0.019	2	Propylparaben	0.019
0.170	3	Methylparaben	0.170
1.500	4	Niacinamide powder white	1.500
0.300	5	Riboflavin	0.300
103.600	6	Propylene glycol	103.60
126.400	7	Glycerin	126.40
26.132	8	Iron sulfate granular	26.13
0.0375	9	Dye	0.037
0.250	10	Pyridoxine hydrochloride	0.25
1.200	11	Saccharin sodium powder dihydrate	1.20
22.000	12	Sodium cyclamate powder	22.00
30.000	13	Acid ascorbic white powder	30.00
0.800 g	14	Sodium bicarbonate	0.80
0.360	15	Thiamine hydrochloride powder regular	0.36
0.625	16	D-Pantothenyl alcohol (dexpantenol FCC)	0.62
0.0020	17	Vitamin B ₁₂ (cyanocobalamin)	0.002
0.007	18	Flavor	0.700 mL
QS	19	Water purified	QS to 1 L
QS	20	Filter aid HyFlo	QS
QS	21	Acid hydrochloric	QS
QS	22	Sodium hydroxide	QS

MANUFACTURING DIRECTIONS

1. Manufacture under complete CO₂ protection.
2. Load 780 g (portion of item 2) of sorbitol solution into a stainless steel jacketed tank. Remaining sorbitol to be used later.
3. Add parabens (unless added previously), niacinamide, and riboflavin to the sorbitol or glucose solution.
4. Heat solution to 85°C to 90°C, and mix until the ingredients are dissolved.
5. Remove heat. While mixing, cool the main solution to 50°C to 60°C.
6. Hold at this temperature while bubbling CO₂ into it. CO₂ protection is continued for the remainder of the manufacturing procedure.
7. Heat 50 mL purified water to boiling, and bubble CO₂ into it while cooling to 55°C.
8. Add and dissolve, with mixing, iron sulfate with 30 mL purified water at 55°C. Use CO₂ protection.
9. Warm the solution to 50°C to 55°C while mixing to dissolve. Then, add the solution slowly, with good mixing, to the solution.
10. The addition in step 9 should be made as soon as possible to prevent oxidation. Add the pyridoxine, saccharin sodium, and sodium cyclamate, and mix until dissolved.
11. Cool the solution to 30°C. Add the ascorbic acid with good stirring to 78 g of reserved sorbitol; make a slurry. Use a container that has plenty of headspace.
12. Add the sodium bicarbonate slowly in small portions to the ascorbic acid slurry with stirring until all the powder has been added and most of the foaming has stopped.
13. Add this slurry slowly to the solution from the preceding step with vigorous mixing until a uniform solution results.
14. Rinse the mixing container with 22 g of the reserved sorbitol, and add to the product with stirring.
15. Add and dissolve thiamine hydrochloride with mixing. If necessary, warm the D-pantothenyl alcohol until liquefied, and add it to the 0.5 mL CO₂-saturated purified water.
16. Use an additional 0.5 mL CO₂-saturated purified water to thoroughly rinse the container of D-pantothenyl alcohol, and add this to the D-pantothenyl alcohol solution.
17. Mix the D-pantothenyl alcohol solution thoroughly until homogeneously dispersed.
18. Add the D-pantothenyl alcohol solution to the main solution with mixing. Use an additional 0.5 mL CO₂-saturated purified water to rinse out the container in which the D-pantothenyl alcohol solution is made, and add to the product with mixing.
19. Dissolve vitamin B₁₂ in 0.5 mL purified water to make a clear solution, and add this solution to the product with good mixing.

20. Dissolve the flavor in 10 g of propylene glycol, reserved from earlier step, with good stirring. Add this solution to the product with good mixing. Check pH (range: 3.0–3.3). Adjust, if necessary, with a solution of 10% sodium hydroxide or 10% hydrochloric acid depending on the test results.
21. Adjust the volume of the product with the remaining 30 g of the sorbitol solution, or if necessary, purified water, to 1 L.
22. Mix for 1 hour. Allow to stand overnight to eliminate entrapped CO₂ gas. Readjust volume to 1 L with purified water. Mix for 1 hour. Filter by adding HyFlo filter aid and mixing it, followed by passing through filter press. Do not allow temperature to exceed 30°C. Bubble CO₂ gas into clear filtrate for 5 minutes. Then, seal tank and hold product under CO₂ protection.

VITAMIN B COMPLEX AND VITAMIN C SYRUP

Bill of Materials

Scale (mg/mL)	Item	Material Name	Qty/L (g)
0.15	1	Thiamine hydrochloride	0.15
0.15	2	Riboflavin phosphate sodium	0.15
0.70	3	Nicotinamide	0.70
0.035	4	Dexpanthenol	0.035
0.150	5	Pyridoxine hydrochloride	0.15
2.25	6	Ascorbic acid (crystalline)	2.25
0.28	7	Orange aroma	0.28
0.56	8	EDTA sodium	0.56
186.50	9	Propylene glycol (Pharma) + water (2:1)	186.50
0.15	10	Paraben	0.15
84.30	11	Sorbitol (crystalline)	84.30
562.50	12	Sucrose (crystalline)	562.50
42.00	13	Water	42.00

MANUFACTURING DIRECTIONS

1. Dissolve items 1 to 8 in item 2.
2. Prepare a solution of items 10 to 13 by heating.
3. Cool, and mix with solution of the balance of the formulation.
4. Adjust to a pH of 4.2 to 4.5.
5. Adjust volume with water; use more if necessary.
6. Use nitrogen as an inert gas during packaging.

VITAMIN B COMPLEX, A, C, AND D SYRUP

Bill of Materials

Scale (mg/mL)	Item	Material Name	Qty/L (g)
60.00	1	Sucrose	60.00
51.00	2	Methyl paraben	51.00
0.20	3	Propyl paraben	0.20
1.00	4	Edetate disodium	1.00
10.00	5	Ascorbic acid, 50% excess	15.00
0.80	6	Sodium hydroxide	0.80
4.00	7	Nicotinamide, 5% excess	4.20
0.40	8	Riboflavin sodium phosphate, 8% excess	0.43
1.00	9	Thiamine hydrochloride, 50% excess	1.50
1.20	10	Pyridoxine hydrochloride, 10% excess	1.32
0.50	11	Monosodium glutamate	0.50
1.26 µg	12	Cyanocobalamin, 50% excess	0.0018
150.00	13	Propylene glycol	150.00
1000.0 U	14	Vitamin A palmitate 1.75 million U/g, 54% excess	0.88
100.0 U	15	Cholecalciferol 40 million U/g, 52% excess	0.0038
13.20	16	Polysorbate 80	13.20
2.50	17	Polyoxyl 20 cetostearyl ether	2.50
0.30	18	Lemon oil terpeneless	0.30
0.84	19	Strawberry oil composed	0.84
—	20	Purified water	QS to 1 L

MANUFACTURING DIRECTIONS

This product is an aqueous solution of water-soluble vitamins with oily vitamin A palmitate and cholecalciferol solubilized in water using the surfactant system of Tween 80 and cetomacrogol. This syrup is a solubilized oil surfactant system and is liable to heat and rate of mixing. The temperature of the solution must not exceed 30°C at the time of final mixing.

The final mixing must be in continuous manner without any interruption. For the preparation of oily phase, the container must be dry.

1. Before start of batch, cool approximately 80 mL purified water, and flush with nitrogen gas (purity 99.95%). Use this water for making solutions and for adjusting the volume.
2. Add 420 g of item 20 to the manufacturing vessel, and heat to 90°C to 95°C.
3. Add items 2 and 3 while mixing to dissolve.
4. Add item 1 while mixing at slow speed. After addition of item 1, mix for 30 to 35 minutes at high speed, temperature 90°C to 95°C. Cool to 25°C to 30°C while mixing at low speed.
5. Bubble nitrogen gas for 10 minutes. Add item 4 to the syrup while mixing at high speed to dissolve.

6. Add item 5 to the syrup while mixing at high speed to dissolve.
7. Add 4 g of item 20 (25°C) in a separate container, and dissolve item 6 by using stirrer.
8. Transfer the cooled item 6 solution to the syrup tank while mixing at high speed. Mix for 15 minutes.
9. Check pH of the syrup (limit: 3.75–3.85). Add items 7 to 11 one by one to the syrup in manufacturing vessel while mixing at high speed to dissolve.
10. Mix for 10 minutes. Add 6 g of cold item 20 (25°C) in a separate container, and dissolve item 12.
11. Add to the manufacturing vessel while mixing at high speed. Rinse the container with cooled item 20, about 2 mL, transfer the rinsings to the syrup-manufacturing vessel, and mix well at high speed.
12. Add item 13 to the manufacturing vessel while mixing at high speed.
13. Warm item 14 to 70°C in a separate stainless steel container in water bath.
14. Warm item 16 to 70°C, and mix well with item 14 under nitrogen atmosphere.
15. Add item 15 while mixing. Melt item 17 in stainless steel container, and add with stirring to mix well.
16. Cool to 30°C while mixing under nitrogen atmosphere.
17. Add items 18 and 19 to the oily phase solution, and mix for 15 minutes at high speed.
18. Check and record the volume of oily phase. Start mixing, and continue mixing. Mixing must be continuous.
19. Start the addition of oily phase solution in a thin stream. Do not stop mixing during addition of oily phase. After the addition is over, mix for a further 15 minutes at high speed.
20. Rinse the oily phase vessel with a sufficient quantity of syrup from the syrup vessel. Transfer the rinsings to the syrup vessel.
21. Make up the volume to 1 L with cooled item 20 (25°C), and finally, mix for 20 minutes at high speed.
22. Check and record the pH (limit: 3.75–3.85 at 25°C). Filter the syrup at 1.5 bar. Recirculate about 40 to 60 mL syrup.

VITAMIN B COMPLEX, VITAMIN A, VITAMIN C, VITAMIN D, AND VITAMIN E PEDIATRIC DROPS

Bill of Materials

Scale (mg/mL)	Item	Material Name	Qty/L (g)
8333 IU	1	Vitamin A palmitate (1.7 M IU/g) (50% excess)	7.35
666 IU	2	Vitamin D (40 M IU/g) (cholecalciferol)	0.021
75.00	3	Polysorbate 80 (Tween 80)	75.00
0.005	4	Lemon oil terpeneless	0.50
0.88	5	Vitamin E (oily) (α -tocopheryl acetate)	0.88
0.50	6	Edetate disodium (sodium EDTA)	0.50
83.33	7	Ascorbic acid (30% excess)	108.33
1.00	8	Saccharin sodium	1.00
2.50	9	Thiamine hydrochloride (50% excess)	3.75
16.66	10	Nicotinamide (5% excess)	17.50
0.833	11	Pyridoxine hydrochloride (5.6% excess)	0.88
2.00	12	Riboflavin sodium phosphate (7.9% excess as riboflavin)	2.16
700.00	13	Glycerin (glycerol)	700.00
250.00	14	Purified water	250.00

MANUFACTURING DIRECTIONS

1. This product is a microemulsion and is a thermolabile preparation.
2. The temperature of the solution must not exceed 25°C at the time of processing.
3. Add 200 g of purified water to the manufacturing vessel.
4. Bubble nitrogen gas during all stages of the process.
5. Place items 6 to 12 one by one into the manufacturing vessel while mixing.
6. Check that all materials are dissolved completely.
7. Load item 13 into the manufacturing vessel while mixing at slow speed.
8. Mix for 5 minutes.
9. Add item 3 in a separate stainless steel container.
10. Mix items 1, 2, 4, and 5 one by one using stirrer.
11. Mix for 1 hour at slow speed.
12. Add oil-phase preparation to the aqueous phase at a rate of 4 mL/min while mixing at slow speed, and continue nitrogen gas bubbling throughout the process.
13. Rinse the oil-phase container with 50 g of nitrogen-bubbled and cooled purified water, and transfer the rinsings to the manufacturing vessel.
14. Adjust the volume to 1 L using nitrogen-bubbled purified water.
15. Mix for 15 minutes at slow speed.

16. Check and record the volume and pH (limit: pH 2.8–4.2).
17. Filter the solution through a Sartorius prefilter and 0.2 µm membrane filter into receiving tank.
18. Bubble with nitrogen gas for 15 minutes.

VITAMIN B COMPLEX, VITAMIN C, AND IRON SYRUP

Bill of Materials			
Scale (mg/mL)	Item	Material Name	Qty/L (g)
QS	1	Glucose (liquid), NF	QS to 1 L
225.00	2	Purified water, USP	225.00
0.30	3	Methylparaben	0.30
1.00	4	Acid benzoic, USP	1.00
5.00	5	Alcohol (ethanol; 190 proof, nonbeverage), USP	5.00
10.00	6	Nicotinamide niacinamide (white powder), USP	10.00
10.00	7	Riboflavin; use riboflavin 5 phosphate sodium	1.64
2.00	8	Pyridoxine hydrochloride, USP	2.00
20.00	9	Ascorbic acid (white powder), USP	20.00
0.03	10	Dye	0.03
0.02	11	Dye	0.02
2.00	12	Thiamine hydrochloride (powder, regular), USP with excess	2.40
2.00	13	D-pantothenyl alcohol with excess	2.50
2.00 µg	14	Vitamin B ₁₂ (cyanocobalamin, USP) with excess	3.40 mg
200.00	15	Sucrose, NF	200.00
0.028 mL	16	Flavor	2.80 mL
QS	17	Hydrochloric acid	2.00 mL
QS	18	Carbon dioxide gas	QS

MANUFACTURING DIRECTIONS

1. This preparation is susceptible to oxidation and must be protected from air and sunlight at all times.
2. Carbon dioxide must be used extensively to prevent oxygen from reacting with the materials.
3. All purified water must be boiled prior to use for 10 minutes and cooled under CO₂ protection.
4. Place 100 mL of purified water into a suitably sized stainless steel tank.
5. Add the riboflavin, nicotinamide, benzoic acid, and paraben.
6. Rinse the tank down with 10 mL purified water, seal, and heat with mixing to 95°C.
7. Continue mixing and heating for 15 minutes until solution is complete.
8. Commence cooling with continuous mixing.

9. When the solution has cooled to 50 to 70°C, add and dissolve the sugar.
10. Commence CO₂ protection when the temperature reaches 40°C.
11. Slurry the ascorbic acid in 75 or 110 mL of CO₂-saturated purified water (use the smaller quantity only if using a total of 225 mL water), and add to bulk solution when temperature has reached 25°C to 35°C.
12. Rinse the ascorbic acid vessel with 10 mL purified water, and add rinsings to bulk.
13. Mix for at least 30 minutes.
14. Dissolve thiamine and pyridoxine in 20 mL CO₂-saturated purified water, and add to bulk solution at 25 to 35°C.
15. Add 10 mL CO₂-saturated purified water to the D-pantothenyl alcohol, and warm on a water bath until solution is complete.
16. Add vitamin B₁₂, and mix until dissolved.
17. Add and dissolve dyes.
18. Add this solution to the bulk solution, and mix thoroughly.
19. Mix flavor with 95% of alcohol, and add to the bulk solution.
20. Rinse the container with the remaining alcohol, and add to the bulk with vigorous agitation.
21. Check pH (range: 3.0–3.3).
22. Use hydrochloric acid to adjust if necessary.
23. Adjust the final volume with liquid glucose.
24. Filter through suitable medium until clear and bright.

VITAMIN C DROPS

Bill of Materials			
Scale (mg/mL)	Item	Material Name	Qty/L (g)
100.00	1	Ascorbic acid (white powder), USP	100.00
979.00	2	Propylene glycol, USP	979.00

MANUFACTURING DIRECTIONS

1. Keep under CO₂ protection at all times. Avoid contact with iron. Use stainless steel or glass-lined equipment only.
2. Load 868 g propylene glycol into a glass-lined or suitable stainless steel jacketed tank.
3. While mixing, heat to 70 to 80°C.
4. Bubble CO₂ gas into the propylene glycol from the bottom of the tank.
5. Add and dissolve the ascorbic acid into the propylene glycol with a minimum of stirring under CO₂ protection.
6. When the ascorbic acid is in solution, immediately cool to approximately 25°C while continuing to mix.

- Also, while cooling, change adding CO₂ from the bottom of the tank to adding it at the top of the tank.
- QS to 1 L, using propylene glycol, and mix for at least 10 minutes.
- Use a prefilter pad and a lint-free filter paper; recirculate the product through the filter press until sparkling clear.

VITAMIN E AND BENZOCAINE SOLUTION

Bill of Materials

Scale (mg/mL)	Item	Material Name	Qty/L (g)
50.00	1	Vitamin E acetate	50.00
20.00	2	Benzocaine	20.00
50.00	3	Lutrol F 127	50.00
250.00	4	Cremophor (relative humidity, 40%)	250.00
2.00	5	Sorbic acid	2.00
628.00	6	Water	628.00

MANUFACTURING DIRECTIONS

- Dissolve sorbic acid and benzocaine in water at 60°C. Slowly add the heated mixture of vitamin E acetate and Cremophor at a relative humidity of 40% and temperature of 60°C to 65°C.
- Cool the clear solution to about 5°C, and dissolve Lutrol F 127 to obtain a clear, colorless viscous liquid.

VITAMIN E CONCENTRATE, WATER-MISCIBLE

Bill of Materials

Scale (mg/mL)	Item	Material Name	Qty/L (g)
105.00	1	Vitamin E acetate	105.00
250.00	2	Cremophor (relative humidity, 40%)	250.00
QS	3	Preservative	QS
QS	4	Water	QS to 1 L

MANUFACTURING DIRECTIONS

- Heat the mixture of items 1 and 2 and solution of item 3 in item 4 separately to about 65°C.
- Slowly add to the well-stirred solution to obtain a clear, colorless liquid that is miscible with water.

VITAMIN E DROPS

Bill of Materials

Scale (mg/mL)	Item	Material Name	Qty/L (g)
50.00	1	Vitamin E acetate	50.00
160.00	2	Cremophor (relative humidity, 40%)	160.00
QS	3	Preservative	QS
QS	4	Water	QS to 1 L

MANUFACTURING DIRECTIONS

- Heat mixture of items 1 and 2 and solution of item 3 in 4 to about 65°C.
- Add them slowly to obtain a clear or lightly opalescent, colorless liquid.

VITAMIN E SOFT GEL CAPSULES

Bill of Materials

Scale (mg/capsule)	Item	Material Name	Qty/1000 Capsules (g)
400.00	1	Vitamin E preparation, USP	400.00
25.00	2	Soybean oil, USP	25.00
QS	3	Gelatin mass (clear)	QS

MANUFACTURING DIRECTIONS

- Weigh items 1 and 2, and transfer into a suitable stainless steel container, mix for a minimum of 1 hour, screen, and transfer to tanks through a No. 80 to No. 100 mesh stainless sieve.
- Encapsulate 425 mg of mixture into size 7.5 oval capsules using clear gelatin mass.

VITAMIN E SOLUTION WITH ETHANOL

Bill of Materials

Scale (mg/tablet)	Item	Material Name	Qty/L (g)
0.10	1	Vitamin E acetate	0.10
4.00–5.00	2	Cremophor, EL	4.00–5.00
570.00	3	Water	570.00
380.00	4	Ethanol (96%)	380.00

MANUFACTURING DIRECTIONS

- Heat mixture of item 1 and 2 to about 60°C. Stir well.

- Slowly add the warm solvent mixture of items 3 and 4 to obtain a clear, colorless liquid of low viscosity.

XYLOMETAZOLINE HYDROCHLORIDE NASAL SOLUTION

Bill of Materials			
Scale (g/100 mL)	Item	Material Name	Qty/L (g)
0.100	1	Xylometazoline HCl	1.00
0.100	2	Disodium edetate (sodium EDTA)	1.00
0.700	3	Sodium chloride	7.00
0.030	4	Benzalkonium chloride (50% solution)	0.30
0.285	5	Monobasic sodium phosphate	2.85
0.306	6	Dibasic sodium phosphate	3.06
—	7	Water purified	QS to 1 L

MANUFACTURING DIRECTIONS

This product is a colorless membrane-filtered solution; therefore, ensure that the storage tanks for solution are cleaned and free of any contamination. Use freshly boiled and cooled purified water for the manufacturing. Prepare approximately 2 L of freshly boiled and cooled purified water, and store in a clean stainless steel storage vessel.

- Add 800 g of item 7 (20–25°C) to the manufacturing vessel.
- Dissolve items 2 to 6 one by one in step 1 while mixing for 10 minutes. Check the clarity of the solution.
- Dissolve item 1 in 100 g of item 7 (25–30°C) in a stainless steel container, and add to the manufacturing vessel.
- Rinse the drug container with 20 g of item 7, and add the rinsings to the manufacturing vessel.
- Make the volume up to 1 L with item 7 (20–25°C), and finally, mix for 5 minutes.
- Check and record the pH at 25°C (limit: 6.3 ± 0.2).
- Check the cleanliness of the storage tank. Filter the solution through a prefilter and membrane filter, 0.2 micron, into the storage tank. Recirculate first 200 to 300 mL solution.
- Store the filtered solution in tightly closed stainless steel storage tank. Do not store more than 24 hours in stainless steel storage tank after manufacturing.

XYLOMETAZOLINE HYDROCHLORIDE CHILDREN'S NASAL SOLUTION

Bill of Materials			
Scale (g/100 mL)	Item	Material Name	Qty/L (g)
0.05	1	Xylometazoline hydrochloride	0.50
0.10	2	Disodium edetate (Sodium EDTA)	1.00
0.70	3	Sodium chloride	7.00
0.30	4	Benzalkonium chloride (50% solution)	0.30
0.28	5	Monobasic sodium phosphate	2.85
0.30	6	Dibasic sodium phosphate	3.06
—	7	Water purified	QS to 1 L

MANUFACTURING DIRECTIONS

- See previous preparation.

ZINC PYRITHIONE SHAMPOO

Bill of Materials			
Scale (mg/g)	Item	Material Name	Qty/1000 Tablets (g)
547.50	1	Deionized water	547.50
7.50	2	Hydroxyethyl cellulose	7.50
347.00	3	TEA-lauryl sulfate	347.00
43.00	4	PEG-20 lanolin alcohol ether	43.00
20.00	5	Glycol stearate	20.00
15.00	6	Cocamide MEA	15.00
10.00	7	Zinc pyrithione (48%)	20.00
QS	8	Fragrance, preservative	QS

MANUFACTURING DIRECTIONS

- Add item 2 to the water, and mix.
- In a separate vessel, combine items 3 to 5, heat to 80°C, and mix.
- Cool to 50°C.
- Add items 6 and 7, and mix.
- Add this mixture to mixture of item 2.
- Cool to 40°C, and add item 8.



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PART III

Commercial Pharmaceutical Products



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Commercial Pharmaceutical Products

Abilify (aripiprazole) 1 mg/mL oral solution. The inactive ingredients for this solution include fructose, glycerin, DL-lactic acid, methylparaben, propylene glycol, propylparaben, sodium hydroxide, sucrose, and purified water. The oral solution is flavored with natural orange cream and other natural flavors.

Accuzyme spray contains papain, USP (6.5×10^5 USP units of activity based on Lot I0C389 per gram of spray) and urea, USP 10% in a base composed of anhydrous lactose, cetearyl alcohol and ceteth-20 phosphate and dicetyl phosphate, fragrance, glycerin, methylparaben, mineral oil, potassium phosphate monobasic, propylparaben, purified water, and sodium hydroxide.

Aerobid (flunisolide) inhaler is delivered in a metered-dose aerosol system containing a microcrystalline suspension of flunisolide as the hemihydrate in propellants (trichloromonofluoromethane, dichlorodifluoromethane, and dichlorotetrafluoroethane) with sorbitan trioleate as a dispersing agent. AEROBID-M also contains menthol as a flavoring agent. Each activation delivers approximately 250 μg of flunisolide to the patient. One Aerobid Inhaler System is designed to deliver at least 100 metered inhalations.

Alupent[®] (metaproterenol sulfate USP) Inhalation Aerosol containing 75 mg of metaproterenol sulfate as micronized powder is sufficient medication for 100 inhalations. The Alupent Inhalation Aerosol containing 150 mg of metaproterenol sulfate as micronized powder is sufficient medication for 200 inhalations. Each metered dose delivers through the mouthpiece 0.65 mg of metaproterenol sulfate (each milliliter contains 15 mg). The inert ingredients are dichlorodifluoromethane, dichlorotetrafluoroethane, and trichloromonofluoromethane as propellants, and sorbitan trioleate.

Astelin[®] (azelastine hydrochloride) Nasal Spray, 137 micrograms (μg), contains 0.1% azelastine hydrochloride in an aqueous solution at pH 6.8 ± 0.3 . It also contains benzalkonium chloride (125 $\mu\text{g}/\text{mL}$), edetate disodium, hypromellose, citric acid, dibasic sodium phosphate, sodium chloride, and purified water.

Avar[™] Cleanser (sodium sulfacetamide 10% and sulfur 5%) in each gram contains 100 mg of sodium sulfacetamide and 50 mg of colloidal sulfur in a mild aqueous-based cleansing vehicle containing purified water USP, sodium magnesium silicate, sodium thiosulfate, propylene glycol, sodium lauryl sulfate, cetyl alcohol, stearyl alcohol, phenoxyethanol, and fragrance.

Beconase AQ Nasal Spray. Beclomethasone dipropionate monohydrate, the active component of Beconase AQ Nasal Spray, is in a metered-dose, manual pump spray unit containing a microcrystalline suspension of beclomethasone dipropionate, monohydrate equivalent to 42 μg of beclomethasone dipropionate, calculated on the dried basis, in an aqueous medium containing microcrystalline cellulose,

carboxymethylcellulose sodium, dextrose, benzalkonium chloride, polysorbate 80, and 0.25% v/w phenylethyl alcohol. The pH through expiry is 5.0 to 6.8.

Celexa[®] (citalopram HBr) oral solution contains citalopram HBr equivalent to 2 mg/mL citalopram base. It also contains the following inactive ingredients: sorbitol, purified water, propylene glycol, methylparaben, natural peppermint flavor, and propylparaben.

Clarinet Syrup is a clear orange-colored liquid containing 0.5 mg/1 mL desloratadine. The syrup contains the following inactive ingredients: propylene glycol USP, sorbitol solution USP, citric acid (anhydrous) USP, sodium citrate dihydrate USP, sodium benzoate NF, disodium edetate USP, and purified water USP. It also contains granulated sugar, natural and artificial flavor for bubble gum, and FDC Yellow #6 dye.

Clindets[®] (Clindamycin Phosphate Pledgets) contain clindamycin phosphate, USP at a concentration equivalent to 10 mg clindamycin per milliliter in a vehicle of isopropyl alcohol 52% v/v, propylene glycol, and water. Each Clindets[®] pledget applicator contains approximately 1 mL of Clindamycin Phosphate Topical Solution. Clindamycin Phosphate Topical Solution has a pH range between 4.0 and 7.0.

Clobex[®] (clobetasol propionate) Spray, 0.05%, contains clobetasol propionate, a synthetic fluorinated corticosteroid, for topical use. Each gram of CLOBEX[®] (clobetasol propionate) Spray, 0.05% contains 0.5 mg of clobetasol propionate in a vehicle base composed of alcohol, isopropyl myristate, sodium lauryl sulfate, and undecylenic acid.

Clobex[®] (clobetasol propionate) Shampoo, 0.05%, contains clobetasol propionate, a synthetic fluorinated corticosteroid, for topical dermatologic use. Each milliliter of CLOBEX[®] (clobetasol propionate) Shampoo, 0.05%, contains clobetasol propionate, 0.05%, in a shampoo base consisting of alcohol, citric acid, coco-betaine, polyquaternium-10, purified water, sodium citrate, and sodium lauryl sulfate. Each gram of CLOBEX[®] (clobetasol propionate) Lotion, 0.05%, contains 0.5 mg of clobetasol propionate in a vehicle base composed of hypromellose, propylene glycol, mineral oil, polyoxyethylene glycol 300 isostearate, carbomer 1342, sodium hydroxide, and purified water.

Colace[®] Syrup (docusate sodium) (in each tablespoonful [15 mL]): docusate sodium 60 mg. Inactive ingredients: citric acid, D&C Red No. 33, FD&C Red No. 40, flavors, glycerin, propylene glycol, purified water, sodium citrate, sodium saccharin, and sorbitol. Colace[®] Liquid 1% Solution: each mL contains 10 mg of docusate sodium.

Custodiol[®] contains 0.8766 g sodium chloride; 0.6710 g potassium chloride; 0.1842 g potassium hydrogen 2-ketoglutarate; 0.8132 g magnesium chloride-6H₂O; 3.7733 g histidine HCl-H₂O; 27.9289 g histidine; 0.4085 g tryptophan; 5.4651 g mannitol; 0.0022 g calcium chloride-2H₂O in sterile water for injection. Anion: Cl -50 mVal. Physical properties: pH

7.02–7.20 at 25 °C (77 ° F) (pH 7.4–7.45 at 4 °C [39.2 °F]). Osmolality: 310 mOsmol/kg.

Depacon solution, valproate sodium, is the sodium salt of valproic acid designated as sodium 2-propylpentanoate. It is available in 5 mL single-dose vials for intravenous injection. Each milliliter contains valproate sodium equivalent to 100 mg valproic acid, edetate disodium 0.40 mg, and water for injection to volume. The pH is adjusted to 7.6 with sodium hydroxide and/or hydrochloric acid. The solution is clear and colorless.

Depakene Syrup (valproic acid): FD&C Red No. 40, glycerin, methylparaben, propylparaben, sorbitol, sucrose, water, and natural and artificial flavors.

Dextromethorphan–Pseudoephedrine. Active ingredients: each 0.8 mL contains: 2.5 mg dextromethorphan hydrobromide, USP; 7.5 mg pseudoephedrine hydrochloride, USP. Inactive ingredients: citric acid, flavors, glycerin, high-fructose corn syrup, maltol, menthol, polyethylene glycol, propylene glycol, sodium benzoate, sorbitol, sucrose, and water.

Dilaudid Oral Liquid (hydromorphone hydrochloride). Each 5 mL (1 teaspoon) of Dilaudid Oral Liquid contains 5 mg of hydromorphone hydrochloride. In addition, other ingredients include purified water, methylparaben, propylparaben, sucrose, and glycerin. Dilaudid Oral Liquid may contain traces of sodium metabisulfite.

Diuril (Chlorothiazide) Oral Suspension. Diuril contains 250 mg of chlorothiazide per 5 mL, alcohol 0.5 percent, with methylparaben 0.12 percent, propylparaben 0.02 percent, and benzoic acid 0.1 percent added as preservatives. The inactive ingredients are D&C Yellow No. 10, flavors, glycerin, purified water, sodium saccharin, sucrose, and tragacanth.

Dovonex[®] (calcipotriene solution) Scalp Solution, 0.005%, is a colorless topical solution containing 0.005% calcipotriene in a vehicle of isopropanol (51% v/v), propylene glycol, hydroxypropyl cellulose, sodium citrate, menthol, and water.

Ferrochel[®] (elemental iron) 70 mg. Each soft gelatin capsule for oral administration contains, ferrous fumarate (elemental iron) 81 mg, vitamin C as Ester-C[®], ascorbic acid (as calcium ascorbate) 60 mg, threonic acid (as calcium threonate) 0.8 mg, folic acid, USP 1 mg, and vitamin B12 (cyanocobalamin) 10 µg. Ferrochel[®] (ferrous bis-glycinate chelate) is a registered trademark of Albion International, Inc., Clearfield, Utah, and is protected under U.S. Patent Nos. 4, 599, 152 and 4, 830, 716. Ester-C[®] is a patented pharmaceutical-grade material consisting of calcium ascorbate and calcium threonate. Ester-C[®] is a licensed trademark of Zila Nutraceuticals, Inc. Inactive ingredients: soybean oil, gelatin, glycerin, lecithin (unbleached), yellow beeswax, titanium dioxide, methyl paraben, ethyl vanillin, FD&C Yellow No. 6, FD&C Red No. 40, propyl paraben, and FD&C Blue No. 1.

E.E.S. (erythromycin ethylsuccinate) is an ester of erythromycin suitable for oral administration. E.E.S 200 Liquid: Each 5 mL teaspoonful of fruit-flavored suspension contains erythromycin ethylsuccinate equivalent to 200 mg of erythromycin. E.E.S. 400 Liquid: Each 5 mL teaspoonful of orange-flavored suspension contains erythromycin ethylsuccinate equivalent

to 400 mg of erythromycin. Inactive ingredients: E.E.S. 200 Liquid: FD&C Red No. 40, methylparaben, polysorbate 60, propylparaben, sodium citrate, sucrose, water, xanthan gum, and natural and artificial flavors; E.E.S. 400 Liquid: D&C Yellow No. 10, FD&C Yellow No. 6, methylparaben, polysorbate 60, propylparaben, sodium citrate, sucrose, water, xanthan gum, and natural and artificial flavors.

Efudex Solutions and Cream are topical preparations containing the fluorinated pyrimidine 5-fluorouracil. Efudex Solution consists of 2% or 5% fluorouracil on a weight/weight basis, compounded with propylene glycol, tris (hydroxymethyl) aminomethane, hydroxypropyl cellulose, parabens (methyl and propyl), and disodium edetate.

Epinephrine Inhalation. Active ingredient: (in each inhalation) epinephrine 0.22 mg. Inactive ingredients: ascorbic acid, dehydrated alcohol (34%), dichlorodifluoromethane (CFC 12), dichlorotetrafluoroethane (CFC 114), hydrochloric acid, nitric acid, and purified water.

Epivir (also known as 3TC) is lamivudine, a white to off-white crystalline solid with a solubility of approximately 70 mg/mL in water at 20 °C. Epivir Oral Solution is for oral administration. One milliliter (1 mL) of Epivir Oral Solution contains 10 mg of lamivudine (10 mg/mL) in an aqueous solution and the inactive ingredients artificial strawberry and banana flavors, citric acid (anhydrous), methylparaben, propylene glycol, propylparaben, sodium citrate (dihydrate), and sucrose (200 mg).

Epivir-HBV is lamivudine, a white to off-white crystalline solid with a solubility of approximately 70 mg/mL in water at 20 °C. Epivir-HBV Oral Solution is for oral administration. One milliliter (1 mL) of Epivir-HBV Oral Solution contains 5 mg of lamivudine (5 mg/mL) in an aqueous solution and the inactive ingredients artificial strawberry and banana flavors, citric acid (anhydrous), methylparaben, propylene glycol, propylparaben, sodium citrate (dihydrate), and sucrose (200 mg).

Exelon[®] (rivastigmine tartrate) Oral Solution is supplied as a solution containing rivastigmine tartrate, equivalent to 2 mg/mL of rivastigmine base for oral administration. Inactive ingredients are citric acid, D&C Yellow No. 10, purified water, sodium benzoate, and sodium citrate.

Fleet[®] Phospho-soda[®] EZ-Prep[™] contains: active ingredients: each 15 mL contains monobasic sodium phosphate monohydrate 7.2 g and dibasic sodium phosphate heptahydrate 2.7 g.

Flovent HFA 44 µg Inhalation Aerosol, Flovent HFA 110 µg Inhalation Aerosol, and Flovent HFA 220 µg Inhalation Aerosol contain fluticasone propionate. Flovent HFA 44 µg Inhalation Aerosol, Flovent HFA 110 µg Inhalation Aerosol, and FLOVENT HFA 220 µg Inhalation Aerosol are pressurized, metered-dose aerosol units intended for oral inhalation only. Each unit contains a microcrystalline suspension of fluticasone propionate (micronized) in propellant HFA-134a (1,1,1,2-tetrafluoroethane). It contains no other excipients. Each 10.6 g canister (44 µg) and each 12 g canister (110 and 220 µg) provides 120 inhalations. Flovent HFA should be primed before using for the first time by releasing four test sprays into the air away from the face, shaking well before

each spray. In cases where the inhaler has not been used for more than 7 days, or when it has been dropped, prime the inhaler again by shaking well and releasing one test spray into the air away from the face. This product does not contain any chlorofluorocarbon (CFC) as the propellant. Under standardized in vitro test conditions, Flovent Diskus 50 µg delivers 46 µg of fluticasone propionate when tested at a flow rate of 60 L/min for 2 seconds. In adult patients with obstructive lung disease and severely compromised lung function (mean forced expiratory volume in 1 second [FEV₁] 20% to 30% of predicted), mean peak inspiratory flow (PIF) through a Diskus[®] is 82.4 L/min (range, 46.1 to 115.3 L/min). In children with asthma 4 and 8 years old, mean PIF through Flovent Diskus is 70 and 104 L/min, respectively (range, 48 to 123 L/min).

Flumadine[®] (rimantadine hydrochloride) (5 mL) of the syrup contains 50 mg of rimantadine hydrochloride in a dye-free, aqueous solution containing citric acid, parabens (methyl and propyl), saccharin sodium, sorbitol, and flavors.

Fluticasone propionate, Flonase Nasal Spray. Flonase Nasal Spray, 50 µg, is an aqueous suspension of microfine fluticasone propionate for topical administration to the nasal mucosa by means of a metering, atomizing spray pump. Flonase Nasal Spray also contains microcrystalline cellulose and carboxymethylcellulose sodium, dextrose, 0.02% w/w benzalkonium chloride, polysorbate 80, and 0.25% w/w phenylethyl alcohol, and has a pH between 5 and 7. It is necessary to prime the pump before first use or after a period of nonuse (1 week or more). After initial priming (six actuations), each actuation delivers 50 µg of fluticasone propionate in 100 mg of formulation through the nasal adapter. Each 16 g bottle of Flonase Nasal Spray provides 120 metered sprays. After 120 metered sprays, the amount of fluticasone propionate delivered per actuation may not be consistent, and the unit should be discarded.

Fosamax (alendronate sodium) oral solution contains 91.35 mg of alendronate monosodium salt trihydrate, which is the molar equivalent to 70 mg of free acid. Each bottle also contains the following inactive ingredients: sodium citrate dihydrate and citric acid anhydrous as buffering agents, sodium saccharin, artificial raspberry flavor, and purified water. Added as preservatives are sodium propylparaben 0.0225% and sodium butylparaben 0.0075%.

Frotical (Calcitonin) calcitonin-salmon (rDNA origin) Nasal Spray is provided in a 3.7 mL fill glass bottle as a solution for intranasal administration with sufficient medication for at least 30 doses. Each spray delivers 200 international units calcitonin-salmon in a volume of 0.09 mL. Active ingredient: Calcitonin-salmon 2200 international units/mL, corresponding to 200 international units per actuation (0.09 mL). Inactive ingredients: sodium chloride USP, citric acid USP, phenylethyl alcohol USP, benzyl alcohol NF, polysorbate 80 NF, hydrochloric acid NF or sodium hydroxide NF (added as necessary to adjust pH), and purified water USP.

Gengraf[®] (cyclosporine capsules, USP [MODIFIED]) is a modified oral formulation of cyclosporine that forms an aqueous dispersion in an aqueous environment. Gengraf[®] Capsules (cyclosporine capsules, USP [MODIFIED]) are

available in 25 mg and 100 mg strengths. Each 25 mg capsule contains cyclosporine, 25 mg; alcohol, USP, absolute, 12.8% v/v (10.1% wt/vol.). Each 100 mg capsule contains cyclosporine, 100 mg; alcohol, USP, absolute, 12.8% v/v (10.1% wt/vol.). Inactive ingredients: FD&C Blue No. 2, gelatin NF, polyethylene glycol NF, polyoxyl 35 castor oil NF, polysorbate 80 NF, propylene glycol USP, sorbitan monooleate NF, and titanium dioxide.

Gets The Dry Out[®] and Visine[®] Pure Tears Portables Preservative Free Lubricant Eye. Glycerin 0.2%, hypromellose 0.2%, and polyethylene glycol 400 1%.

Gordochom containing 25% undecylenic Acid and 3% chloroxylenol as its active ingredients in a penetrating oil base.

Guaifenesin. Active ingredient: (in each 5 mL tsp) Guaifenesin, USP 100 mg. Inactive ingredients: caramel, citric acid, FD&C Red No. 40, flavors, glucose, glycerin, high-fructose corn syrup, menthol, saccharin sodium, sodium benzoate, and water.

Hydroquinone USP 4% also contains avobenzone, cetareth-20, cetostearyl alcohol, citric acid, diethylaminoethyl stearate, dimethicone, edetate disodium, glyceryl dilaurate, glyceryl monostearate, glyceryl stearate, PEG-100 stearate, hydroxyethyl cellulose, methylparaben, octyldodecyl stearoyl stearate, octinoxate, oxybenzone, polysorbate 80, propylene glycol, propyl gallate, propylparaben, purified water, Quaternium-26, sodium metabisulfite, sodium PCA, squalane, ubiquinone, stearyl alcohol, water, glycerin, and *Rumex occidentalis* extract.

Ibuprofen. Active ingredient: (in each 5 mL) Ibuprofen 100 mg. Inactive ingredients: (Fruit Flavor) artificial flavors, carboxymethylcellulose sodium, citric acid, edetate disodium, FD&C Red No. 40, glycerin, microcrystalline cellulose, polysorbate 80, purified water, sodium benzoate, sorbitol solution, sucrose, and xanthan gum. Inactive ingredients: (Grape Flavor) acetic acid, artificial flavor, butylated hydroxytoluene, carboxymethylcellulose sodium, citric acid, edetate disodium, FD&C Blue No. 1, FD&C Red No. 40, glycerin, microcrystalline cellulose, polysorbate 80, propylene glycol, purified water, sodium benzoate, sorbitol solution, sucrose, and xanthan gum. Inactive ingredients: (Blue Raspberry Flavor) carboxymethylcellulose sodium, citric acid, edetate disodium, FD&C Blue no. 1, glycerin, microcrystalline cellulose, natural and artificial flavors, polysorbate 80, propylene glycol, purified water, sodium benzoate, sodium citrate, sorbitol solution, sucrose, and xanthan gum.

ibuprofen. Ibuprofen 200 mg. Inactives: FD&C Green no. 3, gelatin, light mineral oil, pharmaceutical ink, polyethylene glycol, potassium hydroxide, purified water, sorbitan, and sorbitol.

Ibuprofen Liquid Gel. Active ingredients (in each LiquiGel): Solubilized ibuprofen equal to 200 mg ibuprofen (present as the free acid and potassium salt); pseudoephedrine HCl 30 mg. Inactive ingredients (liqui-gels): D&C Yellow No. 10, FD&C Red No. 40, fractionated coconut oil, gelatin, pharmaceutical ink, polyethylene glycol, potassium hydroxide, purified water, sorbitan, and sorbitol.

Imitrex (sumatriptan) Nasal Spray contains sumatriptan. Each Imitrex Nasal Spray contains 5 or 20 mg of sumatriptan in a 100 μ L unit dose aqueous buffered solution containing monobasic potassium phosphate NF, anhydrous dibasic sodium phosphate USP, sulfuric acid NF, sodium hydroxide NF, and purified water USP. The pH of the solution is approximately 5.5. The osmolality of the solution is 372 or 742 mOsmol for the 5 and 20 mg Imitrex Nasal Spray, respectively.

Indocin Suspension for oral use contains 25 mg of indomethacin per 5 mL, alcohol 1%, and sorbic acid 0.1% added as a preservative and the following inactive ingredients: anti-foam AF emulsion, flavors, purified water, sodium hydroxide or hydrochloric acid to adjust pH, sorbitol solution, and tragacanth.

Iron Protein Succinylate is a proprietary stabilized iron compound. The iron is wrapped in a casein protective layer, which allows the iron to pass through the stomach to the intestinal tract for immediate, safe, and efficacious absorption. Ferrets IPS liquid is for use as a dietary supplement. Each 1 mL contain: 2.67 mg iron. Serving Size: 15 mL; amount per 15 mL, iron 40 mg (from Iron Protein Succinylate). Other ingredients: Purified water, sorbitol solution, propylene glycol, casein (milk protein), strawberry flavor, sodium hydroxide, methylparaben sodium, propylparaben sodium, and saccharin sodium.

Kaletra (lopinavir/ritonavir) oral solution is available for oral administration as 80 mg lopinavir and 20 mg ritonavir per milliliter with the following inactive ingredients: acesulfame potassium, alcohol, artificial cotton candy flavor, citric acid, glycerin, high-fructose corn syrup, Magnasweet-110 flavor, menthol, natural and artificial vanilla flavor, peppermint oil, polyoxyl 40 hydrogenated castor oil, povidone, propylene glycol, saccharin sodium, sodium chloride, sodium citrate, and water.

Kaopectate[®]. Each 15 mL of Kaopectate[®] Anti-Diarrheal contains bismuth subsalicylate 262 mg, contributing 130 mg total salicylates. Kaopectate[®] Anti-Diarrheal is low sodium, with each 15 mL tablespoonful containing 10 mg sodium. Extra Strength Kaopectate[®]. Each 15 mL of Extra Strength Kaopectate[®] Anti-Diarrheal contains bismuth subsalicylate 525 mg, contributing 236 mg total salicylates. Extra Strength Kaopectate[®] is low sodium. Each 15 mL tablespoonful contains sodium 11 mg.

Keppra[®] oral solution contains 100 mg of levetiracetam per milliliter. Inactive ingredients: ammonium glycyrrhizinate, citric acid monohydrate, glycerin, maltitol solution, methylparaben, potassium acesulfame, propylparaben, purified water, sodium citrate dihydrate, and natural and artificial flavor.

Lexapro[®] (escitalopram oxalate) oral solution contains escitalopram oxalate equivalent to 1 mg/mL escitalopram base. It also contains the following inactive ingredients: sorbitol, purified water, citric acid, sodium citrate, malic acid, glycerin, propylene glycol, methylparaben, propylparaben, and natural peppermint flavor.

Loprox[®] (ciclopirox) Shampoo 1% contains the synthetic antifungal agent ciclopirox. Each gram (equivalent to 0.96

mL) of LOPROX Shampoo contains 10 mg ciclopirox in a shampoo base consisting of purified water USP, sodium laureth sulfate, disodium laureth sulfosuccinate, sodium chloride USP, and laureth-2. Loprox Shampoo is a colorless, translucent solution.

Loratadine. Active ingredient (in each 5 mL teaspoon): Loratadine, 5 mg. Inactive ingredients: artificial flavor, citric acid, glycerin, propylene glycol, purified water, sodium benzoate, and sucrose.

Lortab Elixir. Hydrocodone bitartrate and acetaminophen is supplied in liquid form for oral administration. It is affected by light. Lortab elixir contains per 5 mL, hydrocodone bitartrate 2.5 mg; acetaminophen 167 mg; alcohol 7%. In addition, the liquid contains the following inactive ingredients: citric acid anhydrous, ethyl maltol, glycerin, methylparaben, propylene glycol, propylparaben, purified water, saccharin sodium, sorbitol solution, and sucrose, with D&C Yellow No. 10 and FD&C Yellow No. 6 as coloring and natural and artificial flavoring.

Lotrimin Topical Solution contains 10 mg clotrimazole, USP in a nonaqueous vehicle of PEG 400 NF.

Marinol[®] Dronabinol Capsules for oral administration. Marinol[®] Capsules are supplied as round, soft gelatin capsules containing either 2.5 mg, 5 mg, or 10 mg dronabinol. Each Marinol[®] Capsule is formulated with the following inactive ingredients: FD&C Blue No. 1 (5 mg), FD&C Red No. 40 (5 mg), FD&C Yellow No. 6 (5 mg and 10 mg), gelatin, glycerin, methylparaben, propylparaben, sesame oil, and titanium dioxide.

Megace[®] ES (megestrol acetate) oral suspension contains megestrol acetate. Megace[®] ES (megestrol acetate) is a concentrated formula supplied as an oral suspension containing 125 mg of megestrol acetate per milliliter. Megace[®] ES (megestrol acetate) oral suspension contains the following inactive ingredients: alcohol (max 0.06% v/v from flavor), artificial lime flavor, citric acid monohydrate, docusate sodium, hydroxypropyl methylcellulose (hypromellose), natural and artificial lemon flavor, purified water, sodium benzoate, sodium citrate dihydrate, and sucrose.

Mepron (atovaquone) Suspension is a formulation of microfine particles of atovaquone. The atovaquone particles, reduced in size to facilitate absorption, are significantly smaller than those in the previously marketed tablet formulation. Mepron Suspension is for oral administration and is bright yellow with a citrus flavor. Each teaspoonful (5 mL) contains 750 mg of atovaquone and the inactive ingredients benzyl alcohol, flavor, poloxamer 188, purified water, saccharin sodium, and xanthan gum.

Miacalcin[®] (calcitonin-salmon) Nasal Spray is provided in a 3.7 mL fill glass bottle as a solution for nasal administration. This is sufficient medication for at least 30 doses. Active ingredient: calcitonin-salmon, 2200 IU per mL (corresponding to 200 IU per 0.09 mL actuation). Inactive ingredients: sodium chloride, benzalkonium chloride, hydrochloric acid (added as necessary to adjust pH), and purified water.

Migranal[®] is ergotamine hydrogenated in the 9,10 position as the mesylate salt. Migranal[®] (dihydroergotamine mesylate,

USP) Nasal Spray is provided for intranasal administration as a clear, colorless to faintly yellow solution in an amber glass vial containing dihydroergotamine mesylate, USP, 4.0 mg; caffeine, anhydrous, USP, 10.0 mg; dextrose, anhydrous, USP, 50.0 mg; carbon dioxide, USP, QS; purified water, USP, QS 1.0 mL.

Namenda® (memantine hydrochloride) oral solution contains memantine hydrochloride in a strength equivalent to 2 mg of memantine hydrochloride in each milliliter. The oral solution also contains the following inactive ingredients: sorbitol solution (70%), methylparaben, propylparaben, propylene glycol, glycerin, natural peppermint flavor #104, citric acid, sodium citrate, and purified water.

Nasacort® HFA Nasal Aerosol contains triamcinolone acetonide. Nasacort HFA Nasal Aerosol is a metered-dose aerosol unit containing a microcrystalline suspension of triamcinolone acetonide in tetrafluoroethane (HFA-134a) and dehydrated alcohol USP 0.7% w/w. Each canister contains 15 mg of triamcinolone acetonide.

Nasonex Nasal Spray, 50 µg mometasone furoate monohydrate, is a metered-dose, manual pump spray unit containing an aqueous suspension of mometasone furoate monohydrate equivalent to 0.05% w/w mometasone furoate calculated on the anhydrous basis, in an aqueous medium containing glycerin, microcrystalline cellulose and carboxymethylcellulose sodium, sodium citrate, citric acid, benzalkonium chloride, and polysorbate 80. The pH is between 4.3 and 4.9. After initial priming (10 actuations), each actuation of the pump delivers a metered spray containing 100 mg of suspension containing mometasone furoate monohydrate equivalent to 50 µg of mometasone furoate calculated on the anhydrous basis. Each bottle of Nasonex Nasal Spray, 50 µg, provides 120 sprays.

Neoral® is an oral formulation of cyclosporine that immediately forms a microemulsion in an aqueous environment. Neoral® Soft Gelatin Capsules (cyclosporine capsules, USP) Modified are available in 25 mg and 100 mg strengths. Each 25 mg capsule contains cyclosporine 25 mg; alcohol, USP dehydrated 11.9% v/v (9.5% wt/vol.). Each 100 mg capsule contains cyclosporine 100 mg; alcohol, USP dehydrated 11.9% v/v (9.5% wt/vol.). Inactive ingredients: Corn oil-mono-triglycerides, polyoxyl 40 hydrogenated castor oil NF, DL-(alpha)-tocopherol USP, gelatin NF, glycerol, iron oxide black, propylene glycol USP, titanium dioxide USP, carmine, and other ingredients.

Neoral® Oral Solution (cyclosporine oral solution, USP) modified is available in 50 mL bottles. Each milliliter contains cyclosporine 100 mg/mL; alcohol, USP dehydrated 11.9% v/v (9.5% wt/vol.). Inactive Ingredients: Corn oil-mono-triglycerides, polyoxyl 40 hydrogenated castor oil NF, DL-(alpha)-tocopherol USP, and propylene glycol USP.

Neurontin® (gabapentin) Oral Solution containing 250 mg/5 mL of gabapentin. The inactive ingredients for the oral solution are glycerin, xylitol, purified water, and artificial cool strawberry anise flavor.

Nicotrol® Inhaler (nicotine inhalation system) consists of a mouthpiece and a plastic cartridge delivering 4 mg of

nicotine from a porous plug containing 10 mg nicotine. The cartridge is inserted into the mouthpiece prior to use. Nicotine is the active ingredient; inactive components of the product are menthol and a porous plug, which are pharmacologically inactive. Nicotine is released when air is inhaled through the inhaler.

Nicotrol® NS (nicotine nasal spray) is an aqueous solution of nicotine intended for administration as a metered spray to the nasal mucosa. Each 10 mL spray bottle contains 100 mg nicotine (10 mg/mL) in an inactive vehicle containing disodium phosphate, sodium dihydrogen phosphate, citric acid, methylparaben, propylparaben, edetate disodium, sodium chloride, polysorbate 80, aroma, and water. The solution is isotonic with a pH of 7. It contains no chlorofluorocarbons. After priming of the delivery system for NICOTROL NS, each actuation of the unit delivers a metered-dose spray containing approximately 0.5 mg of nicotine. The size of the droplets produced by the unit is in excess of 8 microns. One NICOTROL NS unit delivers approximately 200 applications.

Nitrolingual® Pumpspray (nitroglycerin lingual spray 400 µg) is a metered dose spray containing nitroglycerin. This product delivers nitroglycerin (400 µg per spray, 60 or 200 metered sprays) in the form of spray droplets onto or under the tongue. Inactive ingredients: medium-chain triglycerides, dehydrated alcohol, medium-chain partial glycerides, and peppermint oil.

Norvir (ritonavir) oral solution also contains ethanol, water, polyoxyl 35 castor oil, propylene glycol, anhydrous citric acid to adjust pH, saccharin sodium, peppermint oil, creamy caramel flavoring, and FD&C Yellow No. 6.

Omnicef® (cefdinir) for oral suspension after reconstitution contains 125 mg cefdinir per 5 mL or 250 mg cefdinir per 5 mL and the following inactive ingredients: sucrose, NF; citric acid, USP; sodium citrate, USP; sodium benzoate, NF; xanthan gum, NF; guar gum, NF; artificial strawberry and cream flavors; silicon dioxide, NF; and magnesium stearate, NF.

Oxsoralen-Ultra Lotion. Each milliliter of Oxsoralen Lotion contains 10 mg methoxsalen in an inert vehicle containing alcohol (71% v/v), propylene glycol, acetone, and purified water.

Oxyfast® Oral Concentrate Solution. Each 1 mL of Oxyfast Concentrate Solution contains oxycodone hydrochloride 20 mg. Inactive ingredients: citric acid, D&C Yellow No. 10, sodium benzoate, sodium citrate, sodium saccharin, and water.

Panafil Spray contains papain, USP (not less than 405,900 units of activity based on Lot IOC389 per gram of spray); urea, USP 10%; and chlorophyllin copper complex sodium, USP 0.5% in a base composed of anhydrous lactose, cetaryl alcohol and ceteth-20 phosphate and dicetyl phosphate, glycerin, methylparaben, mineral oil, propylparaben, purified water, and sodium hydroxide.

Paxil CR (paroxetine hydrochloride) Suspension for Oral Administration: Each 5 mL of orange-colored, orange-flavored liquid contains paroxetine hydrochloride equivalent to paroxetine, 10 mg. Inactive ingredients consist of polacrillin

potassium, microcrystalline cellulose, propylene glycol, glycerin, sorbitol, methylparaben, propylparaben, sodium citrate dihydrate, citric acid anhydrate, sodium saccharin, flavorings, FD&C Yellow No. 6, and simethicone emulsion, USP.

Pediapred (prednisolone sodium phosphate, USP) Oral Solution is a dye-free, colorless to light straw-colored, raspberry-flavored solution. Each 5 mL (teaspoonful) of Pediapred contains 6.7 mg prednisolone sodium phosphate (5 mg prednisolone base) in a palatable, aqueous vehicle. Pediapred also contains dibasic sodium phosphate, edetate disodium, methylparaben, purified water, sodium biphosphate, sorbitol, and natural and artificial raspberry flavor.

Penlac[®] Nail Lacquer (ciclopirox) Topical Solution, 8%, contains a synthetic antifungal agent, ciclopirox. It is intended for topical use on fingernails and toenails and immediately adjacent skin. Each gram of Penlac[®] Nail Lacquer (ciclopirox) Topical Solution, 8%, contains 80 mg ciclopirox in a solution base consisting of ethyl acetate, NF; isopropyl alcohol, USP; and butyl monoester of poly(methylvinyl ether/maleic acid) in isopropyl alcohol. Ethyl acetate and isopropyl alcohol are solvents that vaporize after application. Penlac[®] Nail Lacquer (ciclopirox) Topical Solution, 8%, is a clear, colorless to slightly yellowish solution.

Plexion. Sodium sulfacetamide. Each gram of Plexion[®] (sodium sulfacetamide USP 10% and sulfur USP 5%) Cleanser contains 100 mg of sodium sulfacetamide USP and 50 mg of sulfur USP in a cleanser base containing purified water USP, sodium methyl oleylaurate, sodium cocoyl isethionate, disodium oleamido MEA sulfosuccinate, cetyl alcohol NF, glyceryl stearate (and) PEG-100 stearate, stearyl alcohol NF, PEG-55 propylene glycol oleate, magnesium aluminum silicate, methylparaben NF, edetate disodium USP, butylated hydroxytoluene, sodium thiosulfate USP, fragrance, xanthan gum NF, and propylparaben NF. Each cloth of Plexion[®] (sodium sulfacetamide USP 10% and sulfur USP 5%) Cleansing Cloths is coated with a cleanser-based formulation. Each gram of this cleanser-based formulation contains 100 mg of sodium sulfacetamide USP and 50 mg of sulfur USP. The cleanser base consists of purified water USP, sodium methyl oleylaurate, sodium cocoyl isethionate, disodium laureth sulfosuccinate (and) sodium lauryl sulfoacetate, disodium oleamido MEA sulfosuccinate, glycerin USP, sorbitan monooleate NF, glyceryl stearate (and) PEG-100 stearate, stearyl alcohol NF, propylene glycol (and) PEG-55 propylene glycol oleate, cetyl alcohol NF, edetate disodium USP, methylparaben NF, PEG-150 pentaerythrityl tetrastearate, butylated hydroxytoluene NF, sodium thiosulfate USP, *Aloe vera* gel decolorized, allantoin, alpha bisabolol natural, fragrance, and propylparaben NF. Each gram of Plexion SCT[®] (sodium sulfacetamide USP 10% and sulfur USP 5%) contains 100 mg of sodium sulfacetamide USP and 50 mg of sulfur USP in a cream containing purified water USP, kaolin USP, glyceryl stearate (and) PEG-100 stearate, witch hazel USP, silicon dioxide, magnesium aluminum silicate, benzyl alcohol NF, water (and) propylene glycol (and) *Quillaia saponaria* extract, xanthan gum NF, sodium thiosulfate USP, and fragrance. Each gram of Plexion[®] (sodium sulfacetamide USP

10% and sulfur USP 5%) Topical Suspension contains 100 mg of sodium sulfacetamide USP and 50 mg of sulfur USP in a suspension containing purified water USP, propylene glycol USP, isopropyl myristate NF, light mineral oil NF, polysorbate 60, sorbitan monostearate NF, cetyl alcohol NF, hydrogenated coco-glycerides, stearyl alcohol NF, fragrances, benzyl alcohol NF, glyceryl stearate (and) PEG-100 stearate, dimethicone NF, zinc ricinoleate, xanthan gum NF, edetate disodium USP, and sodium thiosulfate USP.

Prevacid for Delayed-Release Oral Suspension is composed of the active ingredient, lansoprazole, in the form of enteric-coated granules and also contains inactive granules. The packets contain lansoprazole granules that are identical to those contained in Prevacid Delayed-Release Capsules and are available in 15 mg and 30 mg strengths. Inactive granules are composed of the following ingredients: confectioner's sugar, mannitol, docusate sodium, ferric oxide, colloidal silicon dioxide, xanthan gum, crospovidone, citric acid, sodium citrate, magnesium stearate, and artificial strawberry flavor. The lansoprazole granules and inactive granules, present in unit dose packets, are constituted with water to form a suspension and consumed orally.

Proventil HFA (albuterol sulfate) Inhalation Aerosol contains a microcrystalline suspension of albuterol sulfate in propellant HFA-134a (1,1,1,2-tetrafluoroethane), ethanol, and oleic acid. Each actuation delivers 120 µg albuterol sulfate, USP from the valve and 108 µg albuterol sulfate, USP from the mouthpiece (equivalent to 90 µg of albuterol base from the mouthpiece). Each canister provides 200 inhalations.

Proventil Inhalation Solution contains albuterol sulfate. Proventil Inhalation Solution is a clear, colorless to light yellow solution and requires no dilution before administration by nebulization. Each milliliter of Proventil Inhalation Solution 0.083% contains 0.83 mg of albuterol (as 1.0 mg of albuterol sulfate) in an isotonic aqueous solution containing sodium chloride. Sulfuric acid may be added to adjust pH (3–5). Proventil Inhalation Solution contains no sulfiting agents or preservatives.

Prozac[®] (fluoxetine hydrochloride) oral solution contains fluoxetine hydrochloride equivalent to 20 mg/5 mL (64.7 µmol) of fluoxetine. It also contains alcohol 0.23%, benzoic acid, flavoring agent, glycerin, purified water, and sucrose.

Rapamune[®] (sirolimus) is available for administration as an oral solution containing 1 mg/mL sirolimus. The inactive ingredients in Rapamune[®] Oral Solution are Phosal 50 PG[®] (phosphatidylcholine, propylene glycol, mono- and diglycerides, ethanol, and soy fatty acids. Oral Solution contains 1.5%–2.5% ethanol.

Retrovir (zidovudine) Syrup is for oral administration. Each teaspoonful (5 mL) of Retrovir Syrup contains 50 mg of zidovudine and the inactive ingredients sodium benzoate 0.2% (added as a preservative), citric acid, flavors, glycerin, and liquid sucrose. Sodium hydroxide may be added to adjust pH.

Rhinocort Aqua Nasal Spray (Budesonide) is an unscented, metered-dose, manual-pump spray formulation containing a micronized suspension of budesonide in an aqueous medium.

Microcrystalline cellulose and carboxymethyl cellulose sodium, dextrose anhydrous, polysorbate 80, disodium edetate, potassium sorbate, and purified water are contained in this medium; hydrochloric acid is added to adjust the pH to a target of 4.5. Rhinocort Aqua Nasal Spray delivers 32 µg of budesonide per spray. Each bottle of Rhinocort Aqua Nasal Spray 32 µg contains 120 metered sprays after initial priming.

Robitussin CF. Active ingredients: (in each 5 mL tsp Robitussin CF) dextromethorphan HBr, USP 10 mg, guaifenesin, USP 100 mg, and pseudoephedrine HCl, USP 30 mg; (in each 2.5 mL Robitussin Cough & Cold Infant Drops) dextromethorphan HBr, USP 5 mg, guaifenesin, USP 100 mg, and pseudoephedrine HCl, USP 15 mg; (in each 5 mL tsp Robitussin DM, Robitussin Sugar Free Cough) dextromethorphan HBr, USP 10 mg and guaifenesin, USP 100 mg; (in each 2.5 mL Robitussin DM Infant Drops) dextromethorphan HBr, USP 5 mg, guaifenesin, USP 100 mg, and pseudoephedrine HCl, USP 30 mg. Inactive ingredients (Robitussin DM): citric acid, FD&C Red No. 40, flavors, glucose, glycerin, high-fructose corn syrup, menthol, saccharin sodium, sodium benzoate, and water. Inactive ingredients (Robitussin Sugar Free Cough): acesulfame potassium, citric acid, flavors, glycerin, methylparaben, polyethylene glycol, povidone, propylene glycol, saccharin sodium, sodium benzoate, and water. Inactive ingredients (Robitussin DM Infant Drops): citric acid, FD&C Red No. 40, flavors, glycerin, high-fructose corn syrup, maltitol, maltol, polyethylene glycol, povidone, propylene glycol, saccharin sodium, sodium benzoate, sodium chloride, sodium citrate, and water. Robitussin DM Infant Drops in 1 fl oz bottles: active ingredients (in each 5 mL tsp): Guaifenesin, USP 100 mg; inactive ingredients: citric acid, FD&C Red No. 40, flavors, glucose, glycerin, high-fructose corn syrup, maltol, menthol, propylene glycol, saccharin sodium, sodium benzoate, and water. Active ingredients (in each 5 mL tsp): chlorpheniramine maleate, USP 1 mg, dextromethorphan HBr, USP 7.5 mg, and pseudoephedrine HCl, USP 15 mg; inactive ingredients: citric acid, FD&C Red No. 40, glycerin, high-fructose corn syrup, natural and artificial flavors, propylene glycol, purified water, saccharin sodium, sodium benzoate, sodium chloride, and sodium citrate. Active ingredients (in each drop): Natural Honey Center and Honey Lemon Tea: Menthol, USP 5 mg; Honey Citrus and Almond with Natural Honey Center: Menthol, USP 2.5 mg; inactive ingredients: Natural Honey Center: caramel, corn syrup, glycerin, high-fructose corn syrup, honey, natural herbal flavor, sorbitol, and sucrose; Honey Lemon Tea: caramel, citric acid, corn syrup, honey, natural flavor, sucrose, and tea extract; Honey Citrus: citric acid, corn syrup, flavors, honey, and sucrose; Almond with Natural Honey Center: caramel, corn syrup, glycerin, honey, natural almond flavor, natural anise flavor, natural coriander flavor, natural fennel flavor, natural honey flavor and other natural flavors, sorbitol, and sucrose. Inactive ingredients: citric acid, D&C Red No. 33, FD&C Yellow No. 6, flavor, glycerin, high-fructose corn syrup, polyethylene glycol, purified water, sodium benzoate, sodium citrate, sorbitol solution, and sucralose. Active ingredient (in each

drop): Menthol Eucalyptus: Menthol, USP 10 mg; Cherry and Honey-Lemon: Menthol, USP 5 mg. Active ingredients (in each 5 mL tsp): acetaminophen, USP 160 mg, chlorpheniramine maleate, USP 1 mg, dextromethorphan HBr, USP 5 mg, and pseudoephedrine HCl, USP 15 mg. Inactive ingredients: Menthol Eucalyptus: corn syrup, eucalyptus oil, flavor, and sucrose; Cherry: corn syrup, FD&C Red No. 40, flavor, methylparaben, propylparaben, sodium benzoate, and sucrose; Honey-Lemon: citric acid, corn syrup, D&C Yellow No. 10, FD&C Yellow No. 6, honey, lemon oil, methylparaben, povidone, propylparaben, sodium benzoate, and sucrose.

Sandimmune® Oral Solution (cyclosporine oral solution, USP) is available in 50 mL bottles. Each milliliter contains cyclosporine, USP 100 mg and alcohol, Ph. Helv. 12.5% by volume dissolved in an olive oil, Ph. Helv./Labrafil M 1944 CS (polyoxyethylated oleic glycerides) vehicle, which must be further diluted with milk, chocolate milk, or orange juice before oral administration.

Sandimmune® Soft Gelatin Capsules (cyclosporine capsules, USP) are available in 25 mg and 100 mg strengths. Each 25 mg capsule contains cyclosporine, USP 25 mg and alcohol, USP dehydrated max 12.7% by volume. Each 100 mg capsule contains cyclosporine, USP 100 mg and alcohol, USP dehydrated max 12.7% by volume. Inactive ingredients: corn oil, gelatin, glycerol, Labrafil M 2125 CS (polyoxyethylated glycolized glycerides), red iron oxide (25 mg and 100 mg capsule only), sorbitol, titanium dioxide, and other ingredients.

Sulfamylon® For 5% Topical Solution is provided in packets containing 50 g of sterile mafenide acetate to be reconstituted in 1000 mL of sterile water for irrigation, USP or 0.9% sodium chloride irrigation, USP. After mixing, the solution contains 5% w/v of mafenide acetate. The solution is an antimicrobial preparation suitable for topical administration. The solution is not for injection. The reconstituted solution may be held for up to 28 days after preparation if stored in unopened containers.

Suprane® (desflurane, USP), a nonflammable liquid administered via vaporizer, is a general inhalation anesthetic. Desflurane is a colorless, volatile liquid below 22.8 °C. Desflurane does not corrode stainless steel, brass, aluminum, anodized aluminum, nickel plated brass, copper, or beryllium.

Tahitian Noni® Juice is reconstituted *Morinda citrifolia* fruit juice from pure juice puree from French Polynesia, natural grape juice concentrate, natural blueberry juice concentrate, and natural flavors. Not made from dried or powdered *Morinda citrifolia*.

Triaz® (benzoyl peroxide) 3%, 6%, and 9% Gels, Triaz® (benzoyl peroxide) 3%, 6%, and 9% Cleansers, and Triaz® (benzoyl peroxide) 3%, 6%, and 9% Pads are topical, gel-based, benzoyl peroxide-containing preparations for use in the treatment of acne vulgaris. Triaz 3% Gel contains benzoyl peroxide USP 3% as the active ingredient in a gel-based formulation consisting of purified water USP, C12-15 alkyl benzoate, glycerin USP, cetearyl alcohol, polyacrylamide (and) C13-14 isoparaffin (and) laureth-7, glyceryl stearate (and) PEG-100 stearate, steareth-2, steareth-20, dimethicone,

glycolic acid, zinc lactate, lactic acid USP, edetate disodium USP, and sodium hydroxide NF.

Trileptal® (oxcarbazepine) is available as a 300 mg/5 mL (60 mg/mL) oral suspension containing the following inactive ingredients: ascorbic acid; dispersible cellulose; ethanol; macrogol stearate; methyl parahydroxybenzoate; propylene glycol; propyl parahydroxybenzoate; purified water; sodium saccharin; sorbic acid; sorbitol; and yellow-plum-lemon aroma.

Tussionex. Each teaspoonful (5 mL) of Tussionex Pennkinetic Extended-Release Suspension contains hydrocodone polistirex equivalent to 10 mg of hydrocodone bitartrate and chlorpheniramine polistirex equivalent to 8 mg of chlorpheniramine maleate. Hydrocodone Polistirex: sulfonated styrene-divinylbenzene copolymer complex with 4,5(alpha)-epoxy-3-methoxy-17-methylmorphinan-6-one. Chlorpheniramine Polistirex: sulfonated styrene-divinylbenzene copolymer complex with 2-[p-chloro-(alpha)-[2-(dimethylamino)ethyl]-benzyl]pyridine. Inactive ingredients: ascorbic acid, D&C Yellow No. 10, ethylcellulose, FD&C Yellow No. 6, flavor, high-fructose corn syrup, methylparaben, polyethylene glycol 3350, polysorbate 80, pregelatinized starch, propylene glycol, propylparaben, purified water, sucrose, vegetable oil, and xanthan gum.

Witch Hazel 50%. Inactive ingredients: *Aloe barbadensis* gel, capryl/capramidopropyl betaine, citric acid, diazolidinyl urea, glycerin, methylparaben, propylene glycol, propylparaben, sodium citrate, and water.

Zmax (azithromycin extended release) for oral suspension contains the active ingredient azithromycin (as azithromycin dihydrate). Zmax is a single-dose, extended-release

formulation of microspheres for oral suspension containing azithromycin (as azithromycin dihydrate) and the following excipients: glyceryl behenate, poloxamer 407, sucrose, sodium phosphate tribasic anhydrous, magnesium hydroxide, hydroxypropyl cellulose, xanthan gum, colloidal silicon dioxide, titanium dioxide, artificial cherry flavor, and artificial banana flavor. Each bottle contains azithromycin dihydrate equivalent to 2.0 g of azithromycin. It is constituted with 60 mL of water, and the entire contents are administered orally as a single dose.

Zoloft oral concentrate is available in a multidose 60 mL bottle. Each milliliter of solution contains sertraline hydrochloride equivalent to 20 mg of sertraline. The solution contains the following inactive ingredients: glycerin, alcohol (12%), menthol, and butylated hydroxytoluene (BHT).

Zomig® (zolmitriptan) Nasal Spray contains zolmitriptan and is supplied as a clear to pale yellow solution of zolmitriptan, buffered to pH 5.0. Each ZOMIG Nasal Spray contains 5 mg of zolmitriptan in a 100 µL unit dose aqueous buffered solution containing citric acid anhydrous USP, disodium phosphate dodecahydrate USP, and purified water USP. ZOMIG Nasal Spray is hypertonic. The osmolarity of ZOMIG Nasal Spray 5 mg is 420 to 470 mOsmol.

Zyrtec syrup is a colorless to slightly yellow syrup containing cetirizine hydrochloride at a concentration of 1 mg/mL (5 mg/5 mL) for oral administration. The pH is between 4 and 5. The inactive ingredients of the syrup are banana flavor; glacial acetic acid; glycerin; grape flavor; methylparaben; propylene glycol; propylparaben; sodium acetate; sugar syrup; and water.

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THIRD EDITION

SEMISOLID PRODUCTS

Sarfaraz K. Niazi

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To the memory of Dr. Norman L. Farnsworth

Dr. Norman L. Farnsworth passed away in 2011; a leader in research, a fine and kind human, and my teacher and colleague, who taught me the value of persistence—he created remarkable changes in the science of pharmacognosy.



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Preface to the Series—Third Edition

I am humbled by the wide praise and acceptance of the last two editions of the *Handbook of Pharmaceutical Formulations*, a six-volume series that found home in the R&D laboratories of just about every pharmaceutical company, both generic and branded, and in the classrooms of pharmaceutical technology; and the regulatory agencies used this treatise to compare the quality of pharmaceutical products. In creating this work, back in 2004, my primary objective was to provide a ready source of safe and scalable generic and new pharmaceutical formulations that take a long time to develop and incur a substantial cost, to enable the availability of affordable medicines.

Each of the six volumes in the series has a structured content. Part I includes regulatory guidance, formulation steps, references to active ingredients and excipients, and a self-audit guidance for cGMP compliance. Chapters of common interest to all volumes are distributed across the six volumes, such as the formulations for coating solutions are presented in Volume 5 (OTC), though they are also pertinent to Volume 1 (Compressed Dosage Forms), and global bioequivalence testing guidelines are provided in Volume 4 (Semisolids), though they apply to all volumes. Part II includes scalable formulations and Part III, where applicable, other general formulations. The appendices include a listing of excipients used in FDA approved products and a cGMP compliance self-testing tool. Whereas the main focus of the guidance provided in the handbook pertains to compliance with FDA requirements, these apply equally to EU requirements, and, as a result, to any global agency.

The third edition also gets several significant additions; now each volume includes a self-audit template, several chapters advising how to stay cGMP compliant, including a listing of most common FDA citations to look out for in the audits, a global regulatory focus and an updated list of excipients and the level of their incorporation in the FDA-approved products. The number of formulations is also increased, and the OTC

volume now contains several cosmetic formulations, and the semisolid product volume also includes details on chewing gum delivery systems.

The updating of formulations is always cumulative as there is little need to remove any formulation provided previously—if it was right then, it shall remain good now. However, a variety of new drug delivery systems have evolved since the second edition was published, so I have included more details on these formulations, although some of these may not be available to practice due to possible limitations on the intellectual property.

As always, I advise the formulators to be aware of any intellectual property infringements as I cannot provide a guarantee to this effect.

Finally, I wish to acknowledge the leaders of the pharmaceutical world, to whom each of the volumes is dedicated. I have made a few changes to those whom the volumes are dedicated, to recognize those who have since passed away; they provided a role model to me and thousands of leaders and students of pharmacy over the decades of their careers. They are gone, but not without leaving an indelible mark on the profession.

I also consider myself fortunate to have the sponsorship and assistance of the great folks at the CRC Press, more particularly Jessica Poile and Hilary LaFoe. The teams at the CRC Press were very kind to put up with my redundant changes to the manuscript and were extremely generous in their advice in balancing the scientific and practical knowledge and, above all, making sure that the book was framed and published in the highest professional presentation. As always, I take responsibility for any mistakes and errors in my writing, and I am always open to suggestions by the readers to make future editions. I can be contacted at niazi@niazi.com.

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Preface to the Series—Second Edition

The science and the art of pharmaceutical formulation keeps evolving as new materials, methods, and machines become readily available to produce more reliable, stable, and release-controlled formulations. At the same time, globalization of sourcing of raw and finished pharmaceuticals brings challenges to regulatory authorities and results in more frequent revisions to the current good manufacturing practices, regulatory approval dossier requirements, and the growing need for cost optimization. Since the publication of the first edition of this book, a lot has changed in all of these areas of importance to pharmaceutical manufacturers. The second edition builds on the dynamic nature of the science and art of formulations and provides an evermore useful handbook that should be highly welcomed by the industry, the regulatory authorities, as well as the teaching institutions.

The first edition of this book was a great success as it brought under one umbrella the myriad of choices available to formulators. The readers were very responsive and communicated with me frequently pointing out to the weaknesses as well as the strengths of the book. The second edition totally revised attempts to achieve these by making major changes to the text, some of which include:

1. Complete, revised errors corrected and subject matter reorganized for easy reference. Whereas this series has six volumes differentiated on the basis of the type of dosage form and a separate inclusion of the U.S. OTC products, ideally the entire collection is needed to benefit from the myriad of topics relating to formulations, regulatory compliance, and dossier preparation.
2. Total number of pages is increased from 1684 to 2726.
3. Total number of formulations is expanded by about 30% with many newly approved formulations.
4. Novel formulations are now provided for a variety of drugs; these data are collected from the massive intellectual property data and suggest toward the future trend of formulations. While some of these formulations may not have been approved in the United States or Europe, these do provide additional choices, particularly for the NDA preparation. As always, it is the responsibility of the manufacturer to assure that the intellectual property rights are not violated.
5. A significant change in this edition is the inclusion of commercial products; while most of this information is culled out from the open source such as the FOIA (<http://www.fda.gov/foi/default.htm>), I have made attempts to reconstruct the critical portions of it based on what I call the generally acceptable standards. The drug companies are advised to assure that any intellectual property rights are not violated

and this applies to all information contained in this book. The freedom of information act (FOIA) is an extremely useful conduit for reliable information and manufacturers are strongly urged to make use of this information. Whereas this information is provided free of charge, the process of obtaining the information may be cumbersome, in which case, commercial sources of these databases can prove useful, particularly for the non-U.S. companies.

6. Also included are the new Good Manufacturing Guidelines (2007) with amendments (2008) for the United States and similar updates for European Union and WHO; it is strongly urged that the companies discontinue using all old documents as there are significant changes in the revised form, and many of them are likely to reduce the cost of GMP compliance.
7. Details on design of clean rooms is a new entry that will be of great use to sterile product manufacturers; whereas the design and flow of personnel and material flow is of critical nature, regulatory agencies view these differently and the manufacturer is advised always to comply with most stringent requirements.
8. Addition of a self-auditing template in each volume of the series. While the cGMP compliance is a complex issue and the requirements diversified across the globe, the basic compliance remains universal. I have chosen the European Union guidelines (as these are more in tune with the ICH) to prepare a self-audit module that I recommend that every manufacturer adopt as a routine to assure GMP compliance. In most instances reading the template by those responsible for compliance with keep them sensitive to the needs of GMP.
9. OTC products cross-referenced in other volumes where appropriate. This was necessary since the regulatory authorities worldwide define this class of drug differently. It is important to iterate that regardless of the prescription or the OTC status of a product, the requirements for compliance with the cGMP apply equally.
10. OTC monograph status is a new section added to the OTC volume and this should allow manufacturers to chose appropriate formulations that may not require a filing with the regulatory agencies; it is important to iterate that an approved OTC monograph includes details of formulation including the types and quantities of active drug and excipients, labeling, and presentation. To qualify the exemption, the manufacturer must comply with the monograph in its entirety. However, subtle modifications that are merely cosmetic in nature and where there is an evidence that

the modification will not affect the safety and efficacy of the products can be made but require prior approval of the regulatory agencies and generally these approvals are granted.

11. Expanded discussion on critical factors in the manufacturing of formulations provided; from basic shortcuts to smart modifications now extend to all dosage forms. Pharmaceutical compounding is one of the oldest professions and whereas the art of formulations has been relegated to more objective parameters, the art nevertheless remains. An experienced formulator, like an artist, would know what goes with what and why; he avoids the pitfalls and stays with conservative choices. These sections of the book present advice that is time tested, although it may appear random at times; this is intended for experienced formulators.
12. Expanded details on critical steps in the manufacturing processes provided but to keep the size of the book manageable, and these are included for prototype formulations. The reader is advised to browse through similar formulations to gain more insight. Where multiple formulations are provided for the same drug, it intended to show the variety of possibilities in formulating a drug and whereas it pertains to a single drug, the basic formulation practices can be extended to many drugs of same class or even of diversified classes. Readers have often requested that more details be provided in the Manufacturing Direction sections. Whereas sufficient details are provided, this is restricted to prototype formulations to keep the size of the book manageable and to reduce redundancy.
13. Addition of a listing of approved excipients and the level allowed by regulatory authorities. This new section allows formulators a clear choice on which excipients to choose; the excipients are reported in each volume pertaining to the formulation type covered. The listing is drawn from the FDA-approved entities. For the developers of an ANDA, it is critical that the level of excipients be kept within the range generally approved to avoid large expense in justifying any unapproved level. The only category for which the listing is not provided separately is the OTC volume since it contains many dosage forms and the reader is referred to dosage form-specific title of the series. The choice of excipients forms keeps increasing with many new choices that can provide many special release characteristics to the dosage forms. Choosing correct excipients is thus a tedious exercise and requires sophisticated multivariate statistical analysis. Whereas the formulator may choose any number of novel or classical components, it is important to know the levels of excipients that are generally allowed in various formulations to reduce the cost of redundant exercises; I have therefore included, as an appendix to each volume, a list of all excipients that are currently approved by the U.S. FDA along their appropriate levels. I suggest that a formula-tor consult this table before deciding on which level of excipient to use; it does not mean that the excipient cannot be used outside this range but it obviates the need for a validation and lengthy justification studies in the submission of NDAs.
14. Expanded section on bioequivalence submission was required to highlight the recent changes in these requirements. New entries include a comprehensive listing of bioequivalence protocols in abbreviated form as approved by the U.S. FDA; these descriptions are provided in each volume where pertinent. To receive approval for an ANDA, an applicant must generally demonstrate, among other things, equivalence of the active ingredient, dosage form, strength, route of administration and conditions of use as the listed drug, and that the proposed drug product is bioequivalent to the reference listed drug [21 USC 355(j)(2)(A); 21 CFR 314.94(a)]. Bioequivalent drug products show no significant difference in the rate and extent of absorption of the therapeutic ingredient [21 U.S.C. 355(j)(8); 21 CFR 320.1(e)]. BE studies are undertaken in support of ANDA submissions with the goal of demonstrating BE between a proposed generic drug product and its reference listed drug. The regulations governing BE are provided at 21 CFR in part 320. The U.S. FDA has recently begun to promulgate individual bioequivalence requirements. To streamline the process for making guidance available to the public on how to design product-specific BE studies, the U.S. FDA will be issuing product-specific BE recommendations (www.fda.gov/cder/ogd/index.htm). To make this vital information available, an appendix to each volume includes a summary of all currently approved products by the U.S. FDA where a recommendation on conducting bioequivalence studies is made available by the U.S. FDA. When filing an NDA or an ANDA, the filer is faced with the choice of defending the methods used to justify the bioavailability or bioequivalence data. The U.S. FDA now allows application for waiver of bioequivalence requirement; a new chapter on this topic has been added along with details of the dissolution tests, where applicable, approved for various dosage forms.
15. Dissolution testing requirements are included for all dosage forms where this testing is required by the FDA. Surrogate testing to prove efficacy and compliance is getting more acceptance at regulatory agencies; in my experience, a well-designed dissolution test is the best measure of continuous compliance. Coupled with chapters on waivers of bioequivalence testing, this information on dissolution testing should be great value to all manufacturers; it is recommended that manufacturers develop their own in-house specifications, more stringent than those allowed in these listings and the USP.

16. Best-selling products (top 200 prescription products) are identified with an asterisk and a brand name where applicable; in all instances, composition of these products is provided and formulation of generic equivalents. Despite the vast expansion of pharmaceutical sales and shifting of categories of blockbuster drugs, basic drugs affecting gastrointestinal tract, vascular system, and brain remain most widely prescribed.
17. Updated list of approved coloring agents in the United States, Canada, European Union, and Japan is included to allow manufactures to design products for worldwide distribution.
18. Tablet-coating formulations that meet worldwide requirements of color selection are included in the Volume 1 (compressed solids) and Volume 5 (OTC) because these represent the products often coated.
19. Guidelines on preparing regulatory filings are now dispersed throughout the series depending on where these guidelines are more crucial. However, the reader would, as before, need access to all volumes to benefit from the advice and guidelines provided.

As always, comments and criticism from the readers are welcomed and these can be sent to me at Niazi@pharmsci.com or Niazi@niazi.com. I would try to respond to any inquiries requiring clarification of the information enclosed in these volumes.

I would like to express deep gratitude to Sherri R. Niziolek and Michelle Schmitt-DeBonis at Informa, the publisher of this work, for seeing an immediate value to the readers in publishing the second edition of this book and allowing me enough time to prepare this work. The diligent editing and composing staff at Informa, particularly Joseph Stubenrauch, Baljinder Kaur and others are highly appreciated. Regardless, all errors and omissions remain altogether mine.

In the first edition, I had dedicated each volume to one of my mentors; the second edition continues the dedication to these great teachers.

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Preface to the Series—First Edition

No industry in the world is more highly regulated than the pharmaceutical industry because of potential threat to a patient's life from the use of pharmaceutical products. The cost of taking a new chemical entity (amortized over the cost of all molecules racing) to final regulatory approval is a staggering \$800 million, making the pharmaceutical industry one of the most research-intensive industries in the world. In the year 2004, it is anticipated that the industry will spend about \$20 billion on research and development. The generic market of drugs as the new entities come off patent is one of the fastest growing segments of the pharmaceutical industry, with every major multinational company having a significant presence in this field.

Whereas many stages of new drug development are inherently constrained with time, the formulation of drugs into desirable dosage forms remains an area where expediency can be practiced with appropriate knowledge by those who have mastered the skills of pharmaceutical formulations. The Handbook of Pharmaceutical Manufacturing Formulations is the first major attempt to consolidate the available knowledge about formulations in a comprehensive, and by nature a rather voluminous, presentation.

The book is divided into six volumes, based strictly on the type of formulation science involved in the development of these dosage forms: sterile products, compressed solids, uncompressed solids, liquid products, semisolid products, and OTC products. The separation of OTC products, even though they may easily fall into one of the other five categories, is made to comply with the industry norms of separate research divisions for OTC products. Sterile products require skills related to sterilization of product, and of less importance is the bioavailability issue, which is an inherent problem of

compressed dosage forms. These types of considerations have led to the classification of products into these six categories.

Each volume includes a description of regulatory filing techniques for the formulations described. Also included are the current regulatory guidelines on cGMP compliance specific to the dosage form. Advice is offered on how to scale up the production batches.

It is expected that formulation scientists will use this information to benchmark their internal development protocols and cut the race to file short by adopting formulae that have survived the test of time. Many of us who have worked in the pharmaceutical industry suffer from a close paradigm when it comes to selecting formulations—"not invented here" perhaps reigns in the mind of many seasoned formulations scientists subconsciously when they prefer to choose only a certain platform for development. It is expected that with the quick review of possibilities available to formulate made available in this book, scientists will benefit from the experience of others.

For the teachers of formulation sciences, this series offers a wealth of information. Whether it is a selection of a preservative system or the choice of a disintegrant, the series offers a wide choice to study and rationalize.

Many have assisted me in the development of this work that has taken years to compile, and I thank scores of my graduate students and colleagues for their help. A work of this size cannot be produced without errors, although I hope that these errors do not distract the reader from the utility of the book. I would sincerely appreciate if readers point out these mistakes for corrections in future editions.

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Preface to the Volume—First Edition

The semisolid drugs category is composed of ointments, creams, gels, suppositories, and special topical dosage forms. They share many common attributes of consistency, presentation, preservation requirement, and the route of administration, mainly topical. As a result, grouping them together for the purpose of defining common formulation practices and problems is justified. The topical dosage forms present unique opportunities to design novel drug delivery systems such as patches and other transdermal systems. Some of these are described in the volume, but the reader is referred to specific patents issued, wherein greater details are readily obtainable. In selecting the formulations, I have tried to provide representative techniques and technologies involved in the preparation of semisolid products; for example, I have included a significant number of what is called “base” formulation, a formulation that can easily carry a drug, depending on the proportion involved. Obviously, considerations such as incompatibility of the drug with the ingredients is of pivotal importance; these base formulations of stable emulsions provide a good starting point in the development of new products or even when a different topical consistency is desired. I have also made an effort to highlight those formulations that are currently approved in the United States and provide them as they appear in the Physicians Desk Reference, where possible. Obviously, where the formulations are straightforward, I have chosen to only give the composition or mere identification of ingredients to conserve space for those formulations that need more elaborate description.

The regulatory agencies impose certain specific requirements on the formulation and efficacy determination of drugs contained in these formulations. For example, the cGMP factors, scale-up and postapproval changes, and dermatological testing for irritation or photosensitivity are some of the specified elements.

In this volume, we present over 350 formulations and, in keeping with the tradition in other volumes, a chapter on formulation-related matters. In the regulatory section, we offer a difficult area of compliance, changes to approved new drug applications (NDAs), and abbreviated new drug applications (ANDAs), particularly with reference to semisolid drugs. The stability considerations, particularly the evolving guidelines of the International Conference on Harmonization (ICH), are detailed in this volume, with particular reference to stability-testing requirements in postapproval stages. Unique to this category is the dermal testing of products, including photosensitivity-testing requirements that are still evolving. It is noteworthy that much of the regulatory discussion presented here is drawn from the requirements of the U.S. Food and Drug Administration (FDA) and the harmonized guidelines with the ICH listings. Although it is likely that some of the requirements and recommendations made here might change, it is unlikely that the basic thrust in establishing these guidelines will change. As always, the applicants are highly encouraged

to communicate with the FDA on the changes made to these guidelines and especially for any significant changes made to compliance requirements. The Web site of the FDA, <http://www.fda.gov>, is very comprehensive and continuously evolving; pay special attention to the withdrawal and finalization of guidelines provided. Of particular importance is the listing of new and withdrawn guidelines (<http://www.fda.gov/cder/guidance/New-Revised-Withdrawn.PDF>), which should be reviewed periodically.

Chapter 1 provides details on how to handle changes made to approved NDAs or ANDAs; this is a significant topic for continued compliance with the cGMP requirements but, unfortunately, the one that is most easily misunderstood or misconstrued. For example, at what level of change should the FDA be informed, either before making a change or after? What happens if a change is made inadvertently and later discovered; how to report this change? Years of experience teaches me that a manufacturer can never be too careful in avoiding a 483 issuance when it comes to changes made to NDAs or ANDAs. The situation gets extremely complex when there are multiple dosage forms, for which the requirements may be different.

Chapter 2 gets into details of changes made pursuant to discussion in chapter 1 when it comes to semisolid drugs. A more detailed description of level of changes is described here, and advice is provided on when to conduct a regulatory review.

Chapter 3 continues the themes developed in the first two chapters and applies to changes made to equipment. This is a topic of special interest to the FDA because in the processing of semisolid products, the equipment plays a pivotal role. The mixing of drugs within the base media is highly affected by the process and mechanism of mixing used. Also, because of the nature of product manufactured, often the cleaning and validation of equipment become serious issues.

Chapter 4 is a comprehensive review of the present thinking of the regulatory authorities on how the stability studies should be designed and conducted and how the data should be interpreted; the induction of ICH guidelines and an attempt to streamline the requirements of testing new drug products have resulted in much dispute when it comes to global marketing of products. Should the stability testing be done at all environmental regional standards, or is it possible to extrapolate these data based on accelerated stability testing? These are some of the questions answered in this chapter, wherein the FDA and ICH guidelines are merged.

Chapter 5 extends the discussion on stability-testing protocols to retest periods and elaborates on the procedures used for continued testing of products.

Chapter 6 introduces a topic of great importance in the development of semisolid, and particularly dermal, products: skin irritation and sensitization studies. Whereas the standard test protocols have almost become universal in their

nature, it is always advised that these should be agreed on, most appropriately in a pre-investigational new drug application (IND) filing. Established in 1988, the Office of Drug Evaluation IV (ODE IV) Pre-IND Consultation Program is designed to facilitate and foster informal early communications between the divisions of ODE IV and potential sponsors of new therapeutics for the treatment of bacterial infections, HIV, opportunistic infections, transplant rejection, and other diseases. The program is intended to serve sponsors of all drug products that may be submitted to any division within ODE IV, including but not limited to drugs for the treatment of life-threatening illnesses [21 CFR 312.82(a)]. Pre-IND advice may be requested for issues related to drug development plans; data needed to support the rationale for testing a drug in humans; the design of nonclinical pharmacology, toxicology, and drug activity studies; data requirements for an IND application; and regulatory requirements for demonstrating safety and efficacy. Included among the ODE IV Pre-IND Program activities are coordination of all Pre-IND interactions with the FDA Topical Microbicide Working Group.

Chapter 7 deals with the topic of photosensitivity caused by drugs; photosafety is a serious issue in the development of topical products. It is worth noting here that certain classes of drugs such as quinolone antibiotics are generally regarded unsafe without thorough testing for photosensitivity. Does photosensitivity correlate with carcinogenicity? These are questions of importance to the regulatory authorities. Chapter 8 includes a variety of topics related to formulation of semisolid drugs, from cGMP considerations to packaging and validation issues; these topics are collated for their particular importance, but the discussions provided are not comprehensive, and the reader is referred to standard texts on formulation theories, particularly where establishing a preservative system is required.

I am grateful to CRC Press for taking this lead in publishing what is possibly the largest such work in the field of pharmaceutical manufacturing. It has been a distinct privilege to have known Mr. Stephen Zollo, the Senior Editor at CRC Press, for years. Stephen has done more than any editor can to encourage me into completing this work on a timely basis. The editorial assistance provided by CRC Press staff was indeed exemplary, particularly the help given by Erika Dery, Naomi Lynch, and others. Although much care has gone into correcting errors, any errors remaining are altogether mine. I shall appreciate the readers bringing these to my attention for correction in future editions of this volume (niazi@pharmsci.com).

This volume is dedicated to John G. Wagner, the John G. Searle Professor Emeritus of Pharmaceutics in the College of Pharmacy and Professor Emeritus of Pharmacology in the Medical School, who passed away recently. Born in Weston, Ontario, Canada, in 1921, Wagner served in the Canada Air Force during World War II and then worked as a research scientist for the Upjohn Co. from 1953 to 1968, joining the University of Medicine in 1968. Wagner was the author of two books and coauthor of more than 340 articles. Throughout his life he received numerous awards, including the American Pharmaceutical Association (APhA) Ebert Prize, 1961; Academy Fellow of the APhA Academy of Pharmaceutical Sciences, 1969; the Centennial Achievement Award, Ohio State University, 1970; the Host-Madsen Medal, Federation Internationale Pharmaceutique, 1972; Outstanding Leadership and Research Award, Delta Chapter of Phi Lambda Epsilon, 1983; AAPS Fellow, American Association of Pharmaceutical Scientists, 1986; and Distinguished Professor, Michigan Association of Governing Boards, 1988. Following retirement, Wagner worked as a consultant to Upjohn, Schering Corp., Warner-Lambert/Parke-Davis, the Food and Drug Administration, and others. John Wagner became famous with the publication of his book, *Biopharmaceutics and Relevant Pharmacokinetics*; he then followed with other books on the subject of pharmacokinetics. This was the time, in the early 1970s, when the discipline of mathematical pharmacokinetics was in its infancy; its creation spearheaded by such giants as Sid Riegelman, Milo Gibaldi, and Gerhard Levy. John took the lead in infusing complex mathematics to the resolution of pharmacokinetic modeling approach; his savvy of introducing Laplace transforms to all kinetics problems bears well in my mind. I never found it difficult to get lost somewhere in the long chain of mathematical transformations; John could easily make any model mathematically awesome. I met John several times when I had invited him to speak at the institutions where I was working to frequent meetings at the Academy of Pharmaceutical Science. John was a slim, trim man who spoke with a comparably lean choice of words. He was indeed a leader, a remarkable educator, and someone who left many indelible impressions on the students in his era—including me.

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About the Author



Sarfaraz K. Niazi has been teaching and conducting research in the pharmaceutical industry for over 40 years. He has authored hundreds of scientific papers, scores of textbooks, handbooks and literary books on the topics of pharmaceutical formulation, biopharmaceutics, pharmacokinetics, bioprocessing, recombinant engineering, as well as poetry and philosophy.

He is also an inventor with 100+ patents in the fields of bioprocessing, technology, drug and dosage form delivery systems; he is also licensed to practice law before the U.S. Patent and Trademark Office. He has formulated hundreds of products ranging from the most popular consumer products to complex generics and biotechnology-derived products. Dr. Niazi advises regulatory agencies and the pharmaceutical industry internationally on making safe and effective drugs affordable (www.pharmsci.com). He can be contacted at niazi@niazi.com.

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Part I

Regulatory and Manufacturing Guidance



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1 Waiver of In Vivo Bioequivalence Study

I. INTRODUCTION

Bioavailability (BA) and bioequivalence (BE) studies are expensive to conduct, and given the need for a multitude of these studies in the development of an NDA or ANDA, there had always existed a need to justify these needs on scientific grounds. This is particularly important for the generic drug industry since the generic competitors must keep their cost of regulatory approval to as low a level as possible. Recently, guidelines have emerged that would allow waiver of both BA and BE studies in some situations. There are also provisions available for the sponsor to challenge the requirement, and if the basic criteria set are met, there is a very good possibility of receiving waivers. These waivers are intended to apply to the following:

- Subsequent in vivo BA or BE studies of formulations after the initial establishment of the in vivo BA of immediate-release (IR) dosage forms during the IND period.
- In vivo BE studies of IR dosage forms in ANDAs. Regulations at 21 CFR part 320 address the requirements for BA and BE data for approval of drug applications and supplemental applications.

Provision for waivers of in vivo BA/BE studies (biowaivers) under certain conditions is provided at 21 CFR 320.22.

Waiver for bioequivalence testing therefore becomes a topic of great interest worldwide. Several consortiums have debated this topic for years, and a consensus has begun to develop on this topic. A large number of policy documents address this topic including the published FDA and ICH guidelines, Health Canada's Guideline on Preparation of DIN Submissions, WHO document (1999) entitled "Marketing Authorization of Pharmaceutical Products with Special Reference to Multisource (Generic) Products: a Manual for Drug Regulatory Authorities, Multisource (Generic) Pharmaceutical Products: Guidelines on Registration Requirements to Establish Interchangeability," Note for Guidance on the Investigation of Bioavailability and Bioequivalence, Committee for Proprietary Medicinal Products (CPMP), 26 July 2001 (CPMP/EWP/QWP/98), and Pan-American Network on Regulatory Harmonization: Bioavailability and Bioequivalence working group 2004.

The requirement for the in vivo bioequivalence study may be waived for certain generic products [21 USC 360 b (n) (1) (E)]. Categories of products which may be eligible for waivers include, but are not limited to, the following:

- Parenteral solutions intended for injection by the intravenous, subcutaneous, or intramuscular routes of administration

- Oral solutions or other solubilized forms
- Topically applied solutions intended for local therapeutic effects. Other topically applied dosage forms intended for local therapeutic effects for nonfood animals only
- Inhalant volatile anesthetic solutions

This guidance provides recommendations for sponsors of investigational new drug applications (INDs), new drug applications (NDAs), abbreviated new drug applications (ANDAs), and supplements to these applications that wish to request a waiver of in vivo BA or BE studies for IR solid oral dosage forms. These waivers apply to

1. Subsequent in vivo BA or BE studies of formulations after the initial establishment of the in vivo BA of IR dosage forms during the IND period and
2. In vivo BE studies of IR dosage forms in ANDAs

Regulations at 21 CFR Part 320 address the requirements for BA and BE data for approval of drug applications and supplemental applications. Provision for waivers of in vivo BA/BE studies (biowaivers) under certain conditions is provided at 21 CFR 320.22. This guidance explains when biowaivers can be requested for IR solid oral dosage forms based on an approach termed the biopharmaceutics classification system (BCS).

II. THE BIOPHARMACEUTICALS CLASSIFICATION SYSTEM

The BCS is a scientific framework for classifying drug substances based on their aqueous solubility and intestinal permeability. When combined with the dissolution of the drug product, the BCS takes into account three major factors that govern the rate and extent of drug absorption from IR solid oral dosage forms: Dissolution, solubility, and intestinal permeability. According to the BCS, drug substances are classified as follows:

- Class 1: High solubility—high permeability
- Class 2: Low solubility—high permeability
- Class 3: High solubility—low permeability
- Class 4: Low solubility—low permeability

In addition, IR solid oral dosage forms are categorized as having rapid or slow dissolution. Within this framework, when certain criteria are met, the BCS can be used as a drug development tool to help sponsors justify requests for biowaivers. There are several factors that affect classification of drugs in different classes. Table 1.1 expands this classification to include a more detailed description including the effect of transporter efflux factors.

TABLE 1.1

The Biopharmaceutics Classification System (BCS) as Defined by the FDA and Modified by Recent Findings

	High Solubility (e.g., When the Highest dose Strength is Soluble in 250 mL or Less of Aqueous Media Over a pH Range of 1–7.5 at 37°C)	Low Solubility
High permeability (e.g., absorption >90% compared to intravenous dose) (drug + metabolite)	<p>Class 1: (generally about 8% of new leads)</p> <ul style="list-style-type: none"> • High solubility • High permeability • Rapid dissolution for biowaiver • Route of elimination: Metabolism, extensive • Transporter effects: Minimal <p>Examples: Abacavir; Acetaminophen; Acyclovir^b; Amiloride^{S,1}; Amitriptyline^{S,1}; Antipyrine; Atropine; Buspirone^C; Caffeine; Captopril; Chloroquine^{S,1}; Chlorpheniramine; Cyclophosphamide; Desipramine; Diazepam; Diltiazem^{S,1}; diphenhydramine; Disopyramide; Doxepin; oxytetracycline; Enalapril; Ephedrine; Ergonovine; Ethambutol; Ethinyl estradiol; Fluoxetine¹; Glucose; Imipramine¹; Ketoprofen; Ketorolac; Labetalol; Levodopa^S; Levofloxacin^S; Lidocaine¹; Lomefloxacin; Meperidine; Metoprolol; Metronidazole; Midazolam^{S,1}; Minocycline; Misoprostol; Nifedipine^S; Phenobarbital; Phenylalanine; Prednisolone; Primaquine^S; Promazine; Propranolol¹; Quinidine^{S,1}; Rosiglitazone; Salicylic acid; Theophylline; Valproic acid; Verapamil¹; Zidovudine</p>	<p>Class 2:</p> <ul style="list-style-type: none"> • Low solubility • High permeability • Route of elimination: Metabolism, extensive. • Transporter: Efflux transporter effects predominant <p>Examples: Amiodarone¹; Atorvastatin^{S,1}; Azithromycin^{S,1}; Carbamazepine^{S,1}; Carvedilol; Chlorpromazine¹; Ciprofloxacin^S; Cisapride^S; Cyclosporine^{S,1}; Danazol; Dapsone; Diclofenac; Diflunisal; Digoxin^S; Erythromycin^{S,1}; Flurbiprofen; Glipizide; Glyburide^{S,1}; Griseofulvin; Ibuprofen; Indinavir^S; Indomethacin; Itraconazole^{S,1}; Ketoconazole¹; Lansoprazole¹; Lovastatin^{S,1}; Mebendazole; Naproxen; Nelfinavir^{S,1}; Ofloxacin; Oxaprozin; Phenazopyridine; Phenytoin^S; Piroxicam; Raloxifene^S; Ritonavir^{S,1}; Saquinavir^{S,1}; Saquinavir^{S,1}; Sirolimus^S; Spironolactone¹; Tacrolimus^{S,1}; Talinolol^S; Tamoxifen¹; Terfenadine¹; Warfarin</p>
Low permeability	<p>Class 3:</p> <ul style="list-style-type: none"> • High solubility • Low permeability • Route of elimination: Renal and/or biliary elimination of unchanged drug; metabolism poor • Transporter: Absorptive effects predominant <p>Examples: Acyclovir; Amiloride^{S,1}; Amoxicillin^{S,1}; Atenolol; Atropine; Bidisomide; Bisphosphonates; Captopril; Cefazolin; Cetirizine; Cimetidine^S; Ciprofloxacin^S; Cloxacillin; Dicloxacillin^S; Erythromycin^{S,1}; Famotidine; Fexofenadine^S; Folinic acid; Furosemide; Ganciclovir; Hydrochlorothiazide; Lisinopril; Metformin; Methotrexate; Nadolol; Penicillins; Pravastatin^S; Ranitidine^S; Tetracycline; Trimethoprim^S; Valsartan; Zalcitabine</p>	<p>Class 4:</p> <ul style="list-style-type: none"> • Low solubility • Low permeability • Route of elimination: Renal and/or biliary elimination of unchanged drug; metabolism poor • Transporter: Absorptive and efflux transporters can be predominant <p>Examples: Amphotericin B; Chlorothiazide; Chlorthalidone; Ciprofloxacin^S; Colistin; Furosemide; Hydrochlorothiazide; Mebendazole; Methotrexate; Neomycin</p>

Note: The compounds listed in *italics* are those falling in more than one category by different authors, which could be a result of the definition of the experimental conditions. The compounds listed in **bold** are primarily CYP3A substrates where metabolism accounts for more than 70% of the elimination; superscript I and/or S indicate P-gp inhibitors and/or substrate, respectively. The Class 1 and Class 2 compounds are eliminated primarily via metabolism, whereas Class 3 and Class 4 compounds are primarily eliminated unchanged into the urine and bile.

Observed *in vivo* differences in the rate and extent of absorption of a drug from two pharmaceutically equivalent solid oral products may be due to differences in drug dissolution *in vivo*. However, when the *in vivo* dissolution of an IR solid oral dosage form is rapid in relation to gastric emptying and the drug has high permeability, the rate and extent of drug absorption is unlikely to be dependent on drug dissolution and/or gastrointestinal transit time. Under such circumstances, demonstration of *in vivo* BA or BE may not be necessary for drug products containing Class 1 drug substances, as long as the inactive ingredients used in the dosage form do not significantly affect absorption of the active ingredients. The BCS approach outlined in this guidance can be used to justify biowaivers for *highly soluble* and *highly permeable* drug substances (i.e., Class 1) in IR solid oral dosage forms that exhibit *rapid in vitro dissolution* using the recommended test methods [21 CFR 320.22(e)].

Several generalizations can be made about the interplay of transporters and the BCS classification.

- a. Transporter effects are minimal for Class 1 compounds. The high permeability/high solubility of such compounds allows high concentrations in the gut to saturate any transporter, both efflux and absorptive. Class 1 compounds may be substrates for both uptake and efflux transporters *in vitro* in cellular systems under the right conditions [e.g., midazolam and nifedipine are substrates for P-glycoprotein (P-gp)], but transporter effects will not be important clinically. It is therefore possible that some compounds that should be considered Class 1 in terms of drug absorption and disposition are not Class 1 in BCS due to the requirement of good solubility and rapid dissolution at low pH values. Such pH effects would not be limiting *in vivo* where absorption takes place from the intestine. Examples of this include the NSAIDs diclofenac, diflunisal, flurbiprofen, indomethacin, naproxen, and piroxicam; warfarin is almost completely bioavailable. In contrast, ofloxacin is listed as Class 2 because of its low solubility at pH 7.5.
- b. Efflux transporter effects will predominate for Class 2 compounds. The high permeability of these compounds will allow ready access into the gut membranes, and uptake transporters will have no effect on absorption, but the low solubility will limit the concentrations coming into the enterocytes, thereby preventing saturation of the efflux transporters. Consequently, efflux transporters will affect the extent of oral bioavailability and the rate of absorption of Class 2 compounds.
- c. Transporter-enzyme interplay in the intestines will be important primarily for Class 2 compounds that are substrates for CYP3A and Phase 2 conjugation enzymes. For such compounds, intestinal uptake transporters will generally be unimportant due to the rapid permeation of the drug molecule into the enterocytes as a function of their high lipid solubility. That is, absorption of Class 2 compounds is primarily passive and a function of lipophilicity. However, because of the low solubility of these compounds, there will be little opportunity to saturate apical efflux transporters and intestinal enzymes such as cytochrome P450 3A4 (CYP3A4) and UDP-glucuronosyltransferases (UGTs). Thus, changes in transporter expression and inhibition or induction of efflux transporters will cause changes in intestinal metabolism of drugs that are substrates for the intestinal metabolic enzymes. Note the large number of Class 2 compounds in Table 1.1 that are primarily substrates for CYP3A (compounds listed in bold) as well as substrates or inhibitors of the efflux transporter P-gp (indicated by superscripts S and I, respectively). Work in our laboratory has characterized this interplay in the absorptive process for the investigational cysteine protease inhibitor K77 (28,32) and sirolimus (29), substrates for CYP3A and P-gp, and more recently for raloxifene (33), a substrate for UGTs and P-gp.
- d. Absorptive transporter effects will predominate for Class 3 compounds. For Class 3 compounds, sufficient drug will be available in the gut lumen due to good solubility, but an absorptive transporter will be necessary to overcome the poor permeability characteristics of these compounds. However, intestinal apical efflux transporters may also be important for the absorption of such compounds when sufficient enterocyte penetration is achieved via an uptake transporter.

Table 1.2 lists model drugs suggested for use in establishing suitability of a permeability method. The permeability of these compounds was determined based on data available to the FDA. Potential *internal standards* (IS) and *efflux pump substrates* (ES) are also identified.

For application of the BCS, an apparent passive transport mechanism can be assumed when one of the following conditions is satisfied:

- A linear (pharmacokinetic) relationship between the dose (e.g., relevant clinical dose range) and measures of BA (area under the concentration–time curve) of a drug is demonstrated in humans.
- Lack of dependence of the measured *in vivo* or *in situ* permeability is demonstrated in an animal model on initial drug concentration (e.g., 0.01, 0.1, and 1 times the highest dose strength dissolved in 250 mL) in the perfusion fluid.
- Lack of dependence of the measured *in vitro* permeability on initial drug concentration (e.g., 0.01, 0.1, and 1 times the highest dose strength dissolved in 250 mL) is demonstrated in donor fluid and transport direction (e.g., no statistically significant difference in the rate of transport between the apical-to-basolateral and basolateral-to-apical direction for the drug concentrations selected) using a suitable *in vitro* cell culture method that has been shown to express known efflux transporters (e.g., P-gp).

TABLE 1.2
Model Drugs to Establish Permeability of Drugs

Drug	Permeability Class
Antipyrine	High (potential IS candidate)
Caffeine	High
Carbamazepine	High
Fluvastatin	High
Ketoprofen	High
Metoprolol	High (potential IS candidate)
Naproxen	High
Propranolol	High
Theophylline	High
Verapamil	High (potential ES candidate)
Amoxicillin	Low
Atenolol	Low
Furosemide	Low
Hydrochlorothiazide	Low
Mannitol	Low (potential IS candidate)
Methyldopa	Low
Polyethylene glycol (400)	Low
Polyethylene glycol (1000)	Low
Polyethylene glycol (4000)	Low (zero permeability marker)
Ranitidine	Low

To demonstrate suitability of a permeability method intended for application of the BCS, a rank-order relationship between test permeability values and the extent of drug absorption data in human subjects should be established using a sufficient number of model drugs. For in vivo intestinal perfusion studies in humans, six model drugs are recommended. For in vivo or in situ intestinal perfusion studies in animals and for in vitro cell culture methods, 20 model drugs are recommended. Depending on study variability, a sufficient number of subjects, animals, excised tissue samples, or cell monolayers should be used in a study to provide a reliable estimate of drug permeability. This relationship should allow precise differentiation between drug substances of low- and high-intestinal permeability attributes.

For demonstration of suitability of a method, model drugs should represent a range of low (e.g., <50%), moderate (e.g., 50–89%), and high ($\geq 90\%$) absorption. Sponsors may select compounds from the list of drugs and/or chemicals provided in Attachment A, or they may choose to select other drugs for which there is information available on mechanism of absorption and reliable estimates of the extent of drug absorption in humans.

After demonstrating suitability of a method and maintaining the same study protocol, it is not necessary to retest all selected model drugs for subsequent studies intended to classify a drug substance. Instead, a low- and a high-permeability model drug should be used as IS (i.e., included in the perfusion fluid or donor fluid along with the test drug substance). These two IS are in addition to the fluid volume marker (or a zero-permeability compound such as Polyethylene glycol 4000) that is included in certain types of perfusion techniques (e.g.,

closed loop techniques). The choice of IS should be based on compatibility with the test drug substance (i.e., they should not exhibit any significant physical, chemical, or permeation interactions). When it is not feasible to follow this protocol, the permeability of IS should be determined in the same subjects, animals, tissues, or monolayers, following evaluation of the test drug substance. The permeability values of the two IS should not differ significantly between different tests, including those conducted to demonstrate suitability of the method. At the end of an in situ or in vitro test, the amount of drug in the membrane should be determined.

For a given test method with set conditions, selection of a high-permeability internal standard with permeability in close proximity to the low-/high-permeability class boundary may facilitate classification of a test drug substance. For instance, a test drug substance may be determined to be highly permeable when its permeability value is equal to or greater than that of the selected internal standard with high permeability.

The recommended methods for determining solubility, permeability, and in vitro dissolution are discussed below.

A. SOLUBILITY

The solubility class boundary is based on the highest dose strength of an IR product that is the subject of a biowaiver request. A drug substance is considered *highly soluble* when the highest dose strength is soluble in 250 mL or less of aqueous media over the pH range of 1 to 7.5. The volume estimate of 250 mL is derived from typical BE study protocols that prescribe administration of a drug product to fasting human volunteers with a glass (about 8 ounces) of water.

B. PERMEABILITY

The permeability class boundary is based indirectly on the extent of absorption (fraction of dose absorbed, not systemic BA) of a drug substance in humans and directly on measurements of the rate of mass transfer across human intestinal membrane. Alternatively, nonhuman systems capable of predicting the extent of drug absorption in humans can be used (e.g., in vitro epithelial cell culture methods). In the absence of evidence suggesting instability in the gastrointestinal tract, a drug substance is considered *highly permeable* when the extent of absorption in humans is determined to be 90% or more of an administered dose based on a mass balance determination or in comparison to an intravenous reference dose.

C. DISSOLUTION

In this guidance, an IR drug product is considered *rapidly dissolving* when no less than 85% of the labeled amount of the drug substance dissolves within 30 min, using U.S. Pharmacopoeia (USP) Apparatus I at 100 rpm (or Apparatus II at 50 rpm) in a volume of 900 mL or less in each of the following media:

1. 0.1 N HCl or Simulated Gastric Fluid USP without enzymes
2. A pH 4.5 buffer
3. A pH 6.8 buffer or Simulated Intestinal Fluid USP without enzymes

III. METHODOLOGY FOR CLASSIFYING A DRUG SUBSTANCE AND FOR DETERMINING THE DISSOLUTION CHARACTERISTICS OF A DRUG PRODUCT

The following approaches are recommended for classifying a drug substance and determining the dissolution characteristics of an IR drug product according to the BCS.

A. DETERMINING DRUG SUBSTANCE SOLUBILITY CLASS

An objective of the BCS approach is to determine the equilibrium solubility of a drug substance under physiological pH conditions. The pH-solubility profile of the test drug substance should be determined at $37 \pm 1^\circ\text{C}$ in aqueous media with a pH in the range of 1 to 7.5. A sufficient number of pH conditions should be evaluated to accurately define the pH-solubility profile. The number of pH conditions for a solubility determination can be based on the ionization characteristics of the test drug substance. For example, when the pKa of a drug is in the range of 3 to 5, solubility should be determined at $\text{pH}=\text{pKa}$, $\text{pH}=\text{pKa} + 1$, $\text{pH}=\text{pKa} - 1$, and at $\text{pH}=1$ and 7.5. A minimum of three replicate determinations of solubility in each pH condition is recommended. Depending on study variability, additional replication may be necessary to provide a reliable estimate of solubility. Standard buffer solutions described in the USP are considered appropriate for use in solubility studies. If these buffers are not suitable for physical or chemical reasons, other buffer solutions can be used. Solution pH should be verified after addition of the drug substance to a buffer. Methods other than the traditional shake-flask method, such as acid or base titration methods, can also be used with justification to support the ability of such methods to predict equilibrium solubility of the test drug substance. Concentration of the drug substance in selected buffers (or pH conditions) should be determined using a validated stability-indicating assay that can distinguish the drug substance from its degradation products (www.fda.gov/cder/guidance/P147_9604#P147_9604). If degradation of the drug substance is observed as a function of buffer composition or pH, it should be reported along with other stability data recommended in Section III.B.3.

The solubility class should be determined by calculating the volume of an aqueous medium sufficient to dissolve the highest dose strength in the pH range of 1 to 7.5. A drug substance should be classified as highly soluble when the highest dose strength is soluble in ≤ 250 mL of aqueous media over the pH range of 1 to 7.5.

B. DETERMINING DRUG SUBSTANCE PERMEABILITY CLASS

The permeability class of a drug substance can be determined in human subjects using mass balance, absolute BA, or intestinal perfusion approaches. Recommended methods not involving human subjects include in vivo or in situ intestinal perfusion in a suitable animal model (e.g., rats), in vitro permeability methods using excised intestinal tissues, or monolayers of suitable epithelial cells. In many cases, a single method may be sufficient (e.g., when the absolute BA is 90% or more, or when 90% or more of the administered drug is recovered in urine). When a single method fails to conclusively demonstrate a permeability classification, two different methods may be advisable. Chemical structure or certain physicochemical attributes of a drug substance (e.g., partition coefficient in suitable systems) can provide useful information about its permeability characteristics. Sponsors may wish to consider use of such information to further support a classification.

1. Pharmacokinetic Studies in Humans

a. Mass Balance Studies

Pharmacokinetic mass balance studies using unlabeled, stable isotopes or a radiolabeled drug substance can be used to document the extent of absorption of a drug. Depending on the variability of the studies, a sufficient number of subjects should be enrolled to provide a reliable estimate of extent of absorption. Because this method can provide highly variable estimates of drug absorption for many drugs, other methods described below may be preferable.

b. Absolute Bioavailability Studies

Oral BA determination using intravenous administration as a reference can be used. Depending on the variability of the studies, a sufficient number of subjects should be enrolled in a study to provide a reliable estimate of the extent of absorption. When the absolute BA of a drug is shown to be 90% or more, additional data to document drug stability in the gastrointestinal fluid is not necessary.

The following methods can be used to determine the permeability of a drug substance from the gastrointestinal tract: (1) in vivo intestinal perfusion studies in humans, (2) in vivo or in situ intestinal perfusion studies using suitable animal models, (3) in vitro permeation studies using excised human or animal intestinal tissues, or (4) in vitro permeation studies across a monolayer of cultured epithelial cells.

In vivo or in situ animal models and in vitro methods, such as those using cultured monolayers of animal or human epithelial cells, are considered appropriate for passively transported drugs. The observed low permeability of some drug substances in humans could be caused by efflux of drugs via membrane transporters such as P-gp. When the efflux transporters are absent in these models, or their degree of expression is low compared to that in humans, there may be a greater likelihood of misclassification of permeability class for a drug subject to efflux compared to a drug transported passively. Expression of known transporters in selected study systems

should be characterized. Functional expression of efflux systems (e.g., P-gp) can be demonstrated with techniques such as bidirectional transport studies, demonstrating a higher rate of transport in the basolateral-to-apical direction as compared to apical-to-basolateral direction using selected model drugs or chemicals at concentrations that do not saturate the efflux system (e.g., cyclosporin A, vinblastine, rhodamine 123). An acceptance criterion for intestinal efflux that should be present in a test system cannot be set at this time. Instead, this guidance recommends limiting the use of nonhuman permeability test methods for drug substances that are transported by passive mechanisms. Pharmacokinetic studies on dose linearity or proportionality may provide useful information for evaluating the relevance of observed *in vitro* efflux of a drug. For example, there may be fewer concerns associated with the use of *in vitro* methods for a drug that has a higher rate of transport in the basolateral-to-apical direction at low drug concentrations but exhibits linear pharmacokinetics in humans.

Poor absorption or permeation is more likely when there are more than five H-bond donors, ten H-bond acceptors, the molecular weight is greater than 500, and the calculated Log P is greater than 5. This is also often referred to as Rule of 5 of Lipinski. However, Lipinski specifically states that the Rule of 5 only holds for compounds that are *not* substrates for active transporters. Since almost all drugs are substrates for some transporter, much remains to be studied about Lipinski's rule. In addition, unless a drug molecule can passively gain intracellular access, it is not possible to simply investigate whether the molecule is a substrate for efflux transporters.

2. Intestinal Permeability Methods

The following methods can be used to determine the permeability of a drug substance from the gastrointestinal tract:

1. *In vivo* intestinal perfusion studies in humans
2. *In vivo* or *in situ* intestinal perfusion studies using suitable animal models
3. *In vitro* permeation studies using excised human or animal intestinal tissues
4. *In vitro* permeation studies across a monolayer of cultured epithelial cells

In vivo or *in situ* animal models and *in vitro* methods, such as those using cultured monolayers of animal or human epithelial cells, are considered appropriate for passively transported drugs. The observed low permeability of some drug substances in humans could be caused by efflux of drugs via membrane transporters such as P-gp. When the efflux transporters are absent in these models, or their degree of expression is low compared with that in humans, there may be a greater likelihood of misclassification of permeability class for a drug subject to efflux compared with a drug transported passively. Expression of known transporters in selected study systems should be characterized. Functional expression of efflux systems (e.g., P-gp) can be demonstrated with techniques such as bidirectional transport studies, demonstrating

a higher rate of transport in the basolateral-to-apical direction as compared with apical-to-basolateral direction using selected model drugs or chemicals at concentrations that do not saturate the efflux system (e.g., cyclosporin A, vinblastine, rhodamine 123). An acceptance criterion for intestinal efflux that should be present in a test system cannot be set at this time. Instead, this guidance recommends limiting the use of nonhuman permeability test methods for drug substances that are transported by passive mechanisms. Pharmacokinetic studies on dose linearity or proportionality may provide useful information for evaluating the relevance of observed *in vitro* efflux of a drug. For example, there may be fewer concerns associated with the use of *in vitro* methods for a drug that has a higher rate of transport in the basolateral-to-apical direction at low drug concentrations but exhibits linear pharmacokinetics in humans.

For application of the BCS, an apparent passive transport mechanism can be assumed when one of the following conditions is satisfied:

- A linear (pharmacokinetic) relationship between the dose (e.g., relevant clinical dose range) and measures of BA (area under the concentration–time curve, AUC) of a drug is demonstrated in humans.
- Lack of dependence of the measured *in vivo* or *in situ* permeability is demonstrated in an animal model on initial drug concentration (e.g., 0.01, 0.1, and 1 H the highest dose strength dissolved in 250 mL) in the perfusion fluid.
- Lack of dependence of the measured *in vitro* permeability on initial drug concentration (e.g., 0.01, 0.1, and 1 H the highest dose strength dissolved in 250 mL) is demonstrated in donor fluid and transport direction (e.g., no statistically significant difference in the rate of transport between the apical-to-basolateral and basolateral-to-apical direction for the drug concentrations selected), using a suitable *in vitro* cell culture method that has been shown to express known efflux transporters (e.g., P-gp).

To demonstrate suitability of a permeability method intended for application of the BCS, a rank-order relationship between test permeability values and the extent of drug absorption data in human subjects should be established using a sufficient number of model drugs. For *in vivo* intestinal perfusion studies in humans, six model drugs are recommended. For *in vivo* or *in situ* intestinal perfusion studies in animals and for *in vitro* cell culture methods, 20 model drugs are recommended. Depending on study variability, a sufficient number of subjects, animals, excised tissue samples, or cell monolayers should be used in a study to provide a reliable estimate of drug permeability. This relationship should allow precise differentiation between drug substances of low- and high-intestinal permeability attributes.

Given below is a description of various approaches available to study permeability characteristics.

a. *Surrogate Methods*

As the U.S. FDA has begun accepting recommendations for waiver of bioequivalence requirement, protocols that prove extremely expensive in the drug development cycle, there is a greater need to develop surrogate models that one day may prove useful in securing waivers for all classes of drugs. Generally, the methods available currently show that the complexity of assay is directly proportional to its correlation with absorption of drugs in humans. Studies that correlated Log P with human absorption profile and the suitability of lead candidates are elaborated in Chapter 4. In this chapter, we will examine more complex assay systems. Data from both complex biological and artificial permeation assays can provide valuable information regarding the absorption of a drug.

Drug transport across epithelial cell barriers, especially the human small intestine, is difficult to predict. The intestinal epithelial cell barrier is a sophisticated organ that has evolved over hundreds of millions of years to become a “smart,” effective, and selective xenobiotic screen. Nevertheless, there is large interindividual variability in the intestinal transport of drugs. Genetic variability in key proteins is believed to be causal. There is a pressing need to better understand the key processes and how the system components interact at the molecular, cellular, and tissue level to control drug transport and determine drug absorption in the small intestine.

Is it feasible to construct an *in silico* framework to represent the drug absorption in the small intestine at the cellular level in concert with the update molecular biochemical mechanism? This new generation of models and computational tools might integrate the available and emerging information at different levels to better account for and predict observed experimental results. Predicting aqueous solubility with *in silico* tools is a key drug property. It is, however, difficult to measure accurately, especially for poorly soluble compounds, and thus numerous *in silico* models have been developed for its prediction. Some *in silico* models can predict aqueous solubility of simple, uncharged organic chemicals reasonably well; however, solubility prediction for charged species and drug-like chemicals is not very accurate. However, extrapolating solubility data to intestinal absorption from pharmacokinetic and physicochemical data and elucidating crucial parameters for absorption and the potential for improvement of bioavailability are important at the pre-formulation stages.

The poor oral bioavailability of drugs is generally assumed to be due to physicochemical problems, which result in poor solubility in the gastrointestinal tract (GI tract) or difficulty in diffusion through the small intestine epithelial membrane. Furthermore, the biochemical process also contributes to oral bioavailability. The *in vitro* cell culture models of the intestinal epithelial cell barrier have evolved to become widely used experimental devices.

The permeability assay uses an artificial membrane composed of hexadecane. The automated systems comprise a multiwell system.

b. *Parallel Artificial Membrane Permeability Analysis*

Early drug discovery ADME assays, such as fast Caco-2 screens (see below), can help in rejecting test compounds that lack good pharmaceutical profiles. A cost-effective, high-throughput method—parallel artificial membrane permeability analysis (PAMPA)—that uses a phospholipid artificial membrane that models passive transport of epithelial cells is becoming increasingly popular. The PAMPA assay uses a range of lipid components that model a variety of different plasma membranes. The support membrane is 0.45 μm Hydrophobic Polyvinylidene Fluoride, 130 μm thick, and the artificial membrane is lecithin in dodecane; recommended incubation time is 16 to 24 hours. The permeability and PAMPA assays as described above are robust and reproducible assays for determining passive, transcellular compound permeability. Permeability and PAMPA are automation compatible, relatively fast (4–16 hours), inexpensive, and straightforward, and their results correlate with human drug absorption values from published methods. The PAMPA assay provides the benefits of a more biologically relevant system. It is also possible to tailor the lipophilic constituents so that they mimic specific membranes such as the blood–brain barrier. Optimization of incubation time, lipid mixture, and lipid concentration will also enhance the assay’s ability to predict compound permeability.

Modifications of permeability and PAMPA systems have been reported, for example, using the pION PAMPA Evolution 96 System with double-sink and gut-box (www.pion-inc.com/products.htm) as a new surrogate assay that predicts the gastrointestinal tract absorption of candidate drug molecules at different pH conditions. Using Beckman Coulter’s Biomek® FX Single Bridge Laboratory Automation Workstation PAMPA Assay System that features a 30-minute incubation time and an on-deck integrated Gut-Box™ and a SpectraMax® microplate spectrophotometer, the permeability coefficients of drug standards with diverse physicochemical properties can be compared from both PAMPA and Caco-2 assays automated using the Biomek FX Workstation.

These automated assays can be used for high-throughput ADME screening in early drug discovery. The Double-Sink PAMPA permeability assay mimics *in vivo* conditions by the use of a chemical sink in the acceptor wells and pH gradient in the donor wells. The use of the pION Gut-Box integrated on the deck has shortened the PAMPA assay incubation time to 30 min. The permeability coefficient and rank order correlate well with data obtained using the *in vitro* Caco-2 assay and *in vivo* permeability properties measured in rat intestinal perfusions.

c. *Caco-2 Drug Transport Assays*

Drug absorption generally occurs either through passive transcellular or paracellular diffusion, active carrier transport, or active efflux mechanisms. Several methods have been developed to aid in the understanding of the absorption of new lead compounds. The most common ones use an immortalized cell line (e.g., Caco-2, MDCK, etc.) to mimic the intestinal epithelium. These *in vitro* models provide more predictive

permeability information than artificial membrane systems (i.e., PAMPA and permeability assays, described above) based on the cells' ability to promote (active transport) or resist (efflux) transport. Various *in vitro* methods are listed in U.S. FDA guidelines, acceptable to evaluate the permeability of a drug substance, including monolayer of suitable epithelial cells, and one such epithelial cell line that has been widely used as a model system of intestinal permeability is the Caco-2 cell line.

The kinetics of intestinal drug absorption, permeation enhancement, chemical moiety structure–permeability relationships, dissolution testing, *in vitro/in vivo* correlation, bioequivalence, and the development of novel polymeric materials are closely associated with the concept of Caco-2. Since most drugs are known to absorb via intestines without using cellular pumps, passive permeability models came into the limelight. In a typical Caco-2 experiment, a monolayer of cells is grown on a filter separating two stacked micro well plates. The permeability of drugs through the cells is determined after the introduction of a drug on one side of the filter. The entire process is automated, and when used in conjunction with chromatography and/or mass spectroscopy detection, it enables any drug's permeability to be determined. The method requires careful sample analysis to calculate permeability correctly. Limitations of Caco-2 experiments are the 21 days to prepare a stable monolayer, as well as the stringent storage conditions; however, tight-junction formation prior to use is the better choice. The villus in the small intestine contains more than one cell type, the Caco-2 cell line does not produce the mucus as observed in the small intestine, and no P-450 metabolizing enzyme activity has been found in the Caco-2 cell line. Test compound solubility may pose a problem in Caco-2 assays because of the assay conditions. Finally, Caco-2 cells also contain endogenous transporter and efflux systems, the latter of which works against the permeability process and can complicate data interpretation for some drugs.

The Caco-2 cell line is heterogeneous and is derived from a human colorectal adenocarcinoma. Caco-2 cells are used as *in vitro* permeability models to predict human intestinal absorption because they exhibit many features of absorptive intestinal cells. This includes their ability to spontaneously differentiate into polarized enterocytes that express high levels of brush border hydrolases and form well-developed junctional complexes. Consequently, it becomes possible to determine whether passage is transcellular or paracellular based on a compound's transport rate. Caco-2 cells also express a variety of transport systems including dipeptide transporters and P-gp. Because of these features, drug permeability in Caco-2 cells correlates well with human oral absorption, making Caco-2 an ideal *in vitro* permeability model. Additional information can be gained on metabolism and potential drug–drug interactions as the drug undergoes transcellular diffusion through the Caco-2 transport model.

Although accurate and well researched, the Caco-2 cell model requires a high investment of time and resources. Depending on a number of factors, including initial seeding density, culturing conditions, and passage number, Caco-2

cells can take as many as 20 days to reach confluence and achieve full differentiation. During this 20-day period, they require manual or automated exchange of media as frequently as every other day. The transport assays consume valuable drug compounds and normally require expensive, post-transport sample analyses (e.g., LC/MS). Therefore, the use of the Caco-2 transport model in a high-throughput laboratory setting is only possible if the platform is robust, automation compatible, reproducible, and provides high-quality data that correlate well with established methodologies.

The Millipore MultiScreen® Caco-2 assay system is a reliable 96-well platform for predicting human oral absorption of drug compounds (using Caco-2 cells or other cell lines whose drug transport properties have been well characterized). The MultiScreen system format is automation compatible and is designed to offer more cost effective, higher-throughput screening of drugs than a 24-well system. The MultiScreen Caco-2 assay system exhibits good uniformity of cell growth and drug permeability across all 96 wells and low variability between production lots. The plate design supports the use of lower volumes of expensive media and reduced amounts of test compounds. Using the MultiScreen Caco-2 assay system, standard drug compounds are successfully categorized as either “high” or “low” permeable, as defined by FDA, and the permeability data correlate well with established human absorption values.

Historically, it has been shown that a sigmoidal relationship exists between drug absorption rates as measured with the *in vitro* Caco-2 model and human absorption. Caco-2 cells are heterogeneous, and their properties in final culture may differ based on the selection pressures of a particular laboratory. Direct comparison of compound permeability rates between laboratories is not possible unless the same Caco-2 cells and conditions are used. Therefore, transport rates and permeability classification ranges of specific drugs are expected to vary between reported studies. Most important is the ability to successfully classify compounds as low-, medium-, or high-permeable drugs and produce transport results that correlate to established human absorption values.

Several modifications of Caco-2 cell model have been tested; for example, CYP3A4-Transfected Caco-2 cells are also used to define the biochemical absorption barriers. Oral bioavailability and intestinal drug absorption can be significantly limited by metabolizing enzymes and efflux transporters in the gut. The most prevalent oxidative drug-metabolizing enzyme present in the intestine is CYP3A4. Currently, more than 50% of the drugs on the market metabolized by P450 enzymes are metabolized by CYP3A4. Oral absorption of CYP3A4 substrates can also be limited by the multidrug resistance transporter P-gp, because there is extensive substrate overlap between these two proteins. P-gp is an ATP-dependent transporter on the apical plasma membrane of enterocytes that functions to limit the entry of drugs into the cell. There is significant interaction between CYP3A4 and P-gp in the intestine. Although Caco-2 cells express a variety of uptake and efflux transporters found in the human intestine, a major drawback to the use of Caco-2 cells is that

they lack CYP3A4. As such, no data regarding the importance of intestinal metabolism on limiting drug absorption can be obtained from normal Caco-2 cells. Caco-2 cells pretreated with 1, 25-dihydroxyvitamin-D₃ (vitamin D₃) express higher levels of CYP3A4 compared with Caco-2 but still underestimate the amount of CYP3A4 in the human intestine. CYP3A4-transfected Caco-2 cells that P-gp can enhance drug metabolism and significantly decrease intestinal drug absorption.

d. Animal Model Testing

Whereas the quantity of substance available at the pre-formulation stages is generally small, in some instances, early animal testing for absorption potential is needed, particularly if the solid form of the new drug offers many options such as amorphous forms, solvates, and so forth. The absorption models used in animals are well described and will not be discussed here. Establishing good in vitro–in vivo correlation at this stage proves useful because of limited access to sufficient compound to run the entire absorption profiles. The “in vitro in vivo correlation” (IVIVC) analysis can be made extensive, or general conclusions drawn from limited studies; the choice depends on the amount of compound available and the nature or robustness of correlation observed.

e. In Vitro–In Vivo Correlation

The selection of a drug candidate marks the most crucial stage in the lifecycle of drug development. Such selection is primarily based on the drug “developability” criteria, which include physicochemical properties of the drug and the results obtained from preliminary studies involving several in vitro systems and in vivo animal models, which address efficacy and toxicity issues. During this stage, exploring the relationship between in vitro and in vivo properties of the drug in animal models provides an idea about the feasibility of the drug delivery system for a given drug. In such correlations, study designs including study of more than one formulation of the modified-release dosage forms and a rank order of release (fast/slow) of the formulations should be incorporated. Even though the formulations and methods used at this stage are not optimal, they prompt better design and development efforts in the future.

There are four levels of IVIVC that have been described in the FDA guidance, which include levels A, B, C, and multiple C.

- **Level A Correlation:** This correlation represents a point-to-point relationship between in vitro dissolution and in vivo dissolution (input/absorption rate). Level A IVIVC is also viewed as a predictive model for the relationship between the entire in vitro release time course and entire in vivo response time course. In general, correlations are linear at this level. Although a concern regarding acceptable nonlinear correlation has been addressed, no formal guidance on the nonlinear IVIVC has been established. Level A correlation is the most informative and very useful from a regulatory perspective.

- **Level B Correlation:** In level B correlation, the mean in vivo dissolution or mean residence time is compared to the mean in vitro dissolution time by using statistical moment analytical methods. This type of correlation uses all of the in vitro and in vivo data; thus, it is not considered as a point-to-point correlation. This is of limited interest and use because more than one kind of plasma curve produces similar mean residence time.
- **Level C Correlation:** This correlation describes a relationship between the amount of drug dissolved (e.g., % dissolved at 1 hour) at one time point and one pharmacokinetic parameter (e.g., either AUC or C_{max}). Level C correlation is considered the lowest correlation level as it does not reflect the complete shape of the plasma concentration time curve. Similarly, a multiple level C correlation relates one or more pharmacokinetic parameters to the percent drug dissolved at several time points of the dissolution profile and thus may be more useful. Level B and C correlations can be useful in early formulation development, including selecting the appropriate excipients, to optimize manufacturing processes, for quality control purposes, and to characterize the release patterns of newly formulated immediate-release and modified-release products relative to the reference.

The most basic IVIVC models are expressed as a simple linear equation between the in vivo drug absorption and in vitro drug dissolved (released).

Several commercial software programs are available to study IVIVC, for example, PDx-IVIVC (www.globomaxservice.com/pdxivivc.htm), which is a comprehensive IVIVC software program that performs deconvolution, calculating the fraction or percentage of drug absorbed and correlating it with in vitro fraction or percentage dissolved data. It also allows level C correlations (single or multiple) wherein a single-point relationship between a dissolution parameter, for example, percent dissolved in 4 hours, and a pharmacokinetic parameter (e.g., AUC, C_{max} , T_{max}) is determined. A successful IVIVC model can be developed if in vitro dissolution is the rate-limiting step in the sequence of events leading to appearance of the drug in the systemic circulation following oral or other routes of administration. Thus, the dissolution test can be used as a surrogate for bioequivalence studies (involving human subjects) if the developed IVIVC is predictive of in vivo performance of the product.

3. Instability in the Gastrointestinal Tract

Determining the extent of absorption in humans based on mass balance studies using total radioactivity in urine does not take into consideration the extent of degradation of a drug in the gastrointestinal fluid before intestinal membrane permeation. In addition, some methods for determining permeability could be based on loss or clearance of a drug from fluids perfused into the human or animal gastrointestinal tract either

in vivo or in situ. Documenting the fact that drug loss from the gastrointestinal tract arises from intestinal membrane permeation, instead of a degradation process, will help establish permeability. Stability in the gastrointestinal tract may be documented using gastric and intestinal fluids obtained from human subjects. Drug solutions in these fluids should be incubated at 37°C for a period that is representative of in vivo drug contact with these fluids (e.g., 1 hour in gastric fluid and 3 hours in intestinal fluid). Drug concentrations should then be determined using a validated stability-indicating assay method. Significant degradation (>5%) of a drug in this protocol could suggest potential instability. Obtaining gastrointestinal fluids from human subjects requires intubation and may be difficult in some cases. Use of gastrointestinal fluids from suitable animal models or simulated fluids such as Gastric and Intestinal Fluids USP can be substituted when properly justified.

C. DETERMINING DRUG PRODUCT DISSOLUTION CHARACTERISTICS AND DISSOLUTION PROFILE SIMILARITY

Dissolution testing should be carried out in USP Apparatus I at 100 rpm or Apparatus II at 50 rpm using 900 mL of the following dissolution media (www.fda.gov/cder/guidance/P192_20127#P192_20127):

1. NHCl or Simulated Gastric Fluid USP without enzymes
2. A pH 4.5 buffer
3. A pH 6.8 buffer or Simulated Intestinal Fluid USP without enzymes

For capsules and tablets with gelatin coating, Simulated Gastric and Intestinal Fluids USP (with enzymes) can be used.

Dissolution testing apparatus used in this evaluation should conform to the requirements in USP (<711> Dissolution). Selection of the dissolution testing apparatus (USP Apparatus I or II) during drug development should be based on a comparison of in vitro dissolution and in vivo pharmacokinetic data available for the product. The USP Apparatus I (*basket method*) is generally preferred for capsules and products that tend to float, and USP Apparatus II (*paddle method*) is generally preferred for tablets. For some tablet dosage forms, in vitro (but not in vivo) dissolution may be slow due to the manner in which the disintegrated product settles at the bottom of a dissolution vessel. In such situations, USP Apparatus I may be preferred over Apparatus II. If the testing conditions need to be modified to better reflect rapid in vivo dissolution (e.g., use of a different rotating speed), such modifications can be justified by comparing in vitro dissolution with in vivo absorption data (e.g., a relative BA study using a simple aqueous solution as the reference product).

A minimum of 12 dosage units of a drug product should be evaluated to support a biowaiver request. Samples should be collected at a sufficient number of intervals to characterize the dissolution profile of the drug product (e.g., 10, 15, 20, and 30 min).

When comparing the test and reference products, dissolution profiles should be compared using a similarity factor (f_2). The similarity factor is a logarithmic reciprocal square root transformation of the sum of squared error and is a measurement of the similarity in the percent (%) of dissolution between the two curves.

$$f_2 = 50 \times \log \left\{ \left[1 + (1/n)_{t=i^n} (R_t - T_t)^2 \right] - 0.5 \times 100 \right\}$$

Two dissolution profiles are considered similar when the f_2 value is >50. To allow the use of mean data, the coefficient of variation should not be more than 20% at the earlier time points (e.g., 10 min) and should not be more than 10% at other time points. Note that when both test and reference products dissolve 85% or more of the label amount of the drug in >15 min using all three dissolution media recommended previously, the profile comparison with an f_2 test is unnecessary.

IV. ADDITIONAL CONSIDERATIONS FOR REQUESTING A BIOWAIVER

When requesting a BCS-based waiver for in vivo BA/BE studies for IR solid oral dosage forms, applicants should note that the following factors could affect their request or the documentation of their request.

A. EXCIPIENTS

Excipients can sometimes affect the rate and extent of drug absorption. In general, using excipients that are currently in FDA-approved IR solid oral dosage forms will not affect the rate or extent of absorption of a highly soluble and highly permeable drug substance that is formulated in a rapidly dissolving IR product. To support a biowaiver request, the quantity of excipients in the IR drug product should be consistent with the intended function (e.g., lubricant). When new excipients or atypically large amounts of commonly used excipients are included in an IR solid dosage form, additional information documenting the absence of an impact on the BA of the drug may be requested by the FDA. Such information can be provided with a relative BA study using a simple aqueous solution as the reference product. Large quantities of certain excipients, such as surfactants (e.g., polysorbate 80) and sweeteners (e.g., mannitol or sorbitol) may be problematic, and sponsors are encouraged to contact the review division when this is a factor.

B. PRODRUGS

Permeability of prodrugs will depend on the mechanism and (anatomical) site of conversion to the drug substance. When it is demonstrated that the prodrug-to-drug conversion occurs predominantly after intestinal membrane permeation, the permeability of the prodrug should be measured. When this conversion occurs prior to intestinal permeation, the permeability of the drug should be determined. Dissolution and

pH-solubility data on both prodrugs and drugs can be relevant. Sponsors may wish to consult with appropriate review staff before applying the BCS approach to IR products containing prodrugs.

C. EXCEPTIONS

BCS-based biowaivers are not applicable for the following.

1. Narrow Therapeutic Range Drugs

This guidance defines narrow therapeutic range drug products (www.fda.gov/cder/guidance/P223_24901#P223_24901) as those containing certain drug substances that are subject to therapeutic drug concentration or pharmacodynamic monitoring or where product labeling indicates a narrow therapeutic range designation. Examples include digoxin, lithium, phenytoin, theophylline, and warfarin. Because not all drugs subject to therapeutic drug concentration or pharmacodynamic monitoring are narrow therapeutic range drugs, sponsors should contact the appropriate review division to determine whether a drug should be considered as having a narrow therapeutic range.

2. Products Designed to Be Absorbed in the Oral Cavity

A request for a waiver of in vivo BA/BE studies based on the BCS is not appropriate for dosage forms intended for absorption in the oral cavity (e.g., sublingual or buccal tablets).

V. REGULATORY APPLICATIONS OF THE BCS

A. INDs/NDAs

Evidence demonstrating in vivo BA or information to permit the FDA to waive this evidence must be included in NDAs [21 CFR 320.21(a)]. A specific objective is to establish in vivo performance of the dosage form used in the clinical studies that provided primary evidence of efficacy and safety. The sponsor may wish to determine the relative BA of an IR solid oral dosage form by comparison with an oral solution, suspension, or intravenous injection [21 CFR 320.25 (d)(2) and 320.25 (d)(3)]. The BA of the clinical trial dosage form should be optimized during the IND period.

Once the in vivo BA of a formulation is established during the IND period, waivers of subsequent in vivo BE studies, following major changes in components, composition, or method of manufacture [e.g., similar to SUPAC-IR level 3 changes (www.fda.gov/cder/guidance/P239_26745#P239_26745)], may be possible using the BCS. BCS-based biowaivers are applicable to the to-be-marketed formulation when changes in components, composition, or method of manufacture occur to the clinical trial formulation, as long as the dosage forms have rapid and similar in vitro dissolution profiles (see Sections II and III). This approach is useful only when the drug substance is highly soluble and highly permeable (BCS Class 1), and the formulations pre- and post-change are *pharmaceutical equivalents* [under the definition at 21 CFR 320.1 (c)].

BCS-based biowaivers are intended only for BE studies. They do not apply to food effect BA studies or other pharmacokinetic studies.

B. ANDAs

BCS-based biowaivers can be requested for rapidly dissolving IR test products containing highly soluble and highly permeable drug substances, provided that the reference-listed drug product is also rapidly dissolving and the test product exhibits similar dissolution profiles to the reference-listed drug product (see Sections II and III). This approach is useful when the test and reference dosage forms are pharmaceutical equivalents. The choice of dissolution apparatus (USP Apparatus I or II) should be the same as that established for the reference-listed drug product.

C. POST-APPROVAL CHANGES

BCS-based biowaivers can be requested for significant post-approval changes (e.g., level 3 changes in components and composition) to a rapidly dissolving IR product containing a highly soluble, highly permeable drug substance, provided that dissolution remains rapid for the post-change product and both pre- and post-change products exhibit similar dissolution profiles (see Sections II and III). This approach is useful only when the drug products pre- and post-change are pharmaceutical equivalents.

VI. DATA TO SUPPORT A REQUEST FOR BIOWAIVERS

The drug substance for which a waiver is being requested should be highly soluble and highly permeable. Sponsors requesting biowaivers based on the BCS should submit the following information to the FDA for review by the Office of Clinical Pharmacology and Biopharmaceutics (for NDAs) or Office of Generic Drugs, Division of Bioequivalence (for aNDAs).

A. DATA SUPPORTING HIGH SOLUBILITY

Data supporting high solubility of the test drug substance should be developed (see Section III.A). The following information should be included in the application:

- A description of test methods, including information on analytical method and composition of the buffer solutions
- Information on chemical structure, molecular weight, nature of the drug substance (acid, base, amphoteric, or neutral), and dissociation constants [pKa(s)]
- Test results (mean, standard deviation, and coefficient of variation) summarized in a table under solution pH, drug solubility (e.g., mg/mL), and volume of media required to dissolve the highest dose strength
- A graphic representation of mean pH-solubility profile

B. DATA SUPPORTING HIGH PERMEABILITY

Data supporting high permeability of the test drug substance should be developed (see Section III.B). The following information should be included in the application:

- For human pharmacokinetic studies, information on study design and methods used along with the pharmacokinetic data.
- For direct permeability methods, information supporting the suitability of a selected method that encompasses a description of the study method, criteria for selection of human subjects, animals, or epithelial cell line, drug concentrations in the donor fluid, description of the analytical method, method used to calculate extent of absorption or permeability, and, where appropriate, information on efflux potential (e.g., bidirectional transport data).
- A list of selected model drugs along with data on extent of absorption in humans (mean, standard deviation, and coefficient of variation) used to establish suitability of a method, permeability values for each model drug (mean, standard deviation, and coefficient of variation), permeability class of each model drug, and a plot of the extent of absorption as a function of permeability (mean, standard deviation, or 95% confidence interval) with identification of the low- and high-permeability class boundary and selected internal standard. Information to support high permeability of a test drug substance should include permeability data on the test drug substance, the IS (mean, standard deviation, and coefficient of variation), stability information, data supporting passive transport mechanism where appropriate, and methods used to establish high permeability of the test drug substance.

C. DATA SUPPORTING RAPID AND SIMILAR DISSOLUTION

For submission of a biowaiver request, an IR product should be rapidly dissolving. Data supporting rapid dissolution attributes of the test and reference products should be developed (see Section III.C). The following information should be included in the application:

- A brief description of the IR products used for dissolution testing, including information on batch or lot number, expiration date, dimensions, strength, and weight.
- Dissolution data obtained with 12 individual units of the test and reference products using recommended test methods in Section III.C. The percentage of labeled claims dissolved at each specified testing interval should be reported for each individual dosage unit. The mean percent (%) dissolved, range (highest and lowest) of dissolution, and coefficient of variation (relative standard

deviation) should be tabulated. A graphic representation of the mean dissolution profiles for the test and reference products in the three media should also be included.

- Data supporting similarity in dissolution profiles between the test and reference products in each of the three media, using the f_2 metric.

D. ADDITIONAL INFORMATION

The manufacturing process used to make the test product should be described briefly to provide information on the method of manufacture (e.g., wet granulation vs. direct compression). A list of excipients used, the amount used, and their intended functions should be provided. Excipients used in the test product should have been used previously in FDA-approved IR solid oral dosage forms. When requesting a BCS-based waiver for in vivo BA/BE studies for IR solid oral dosage forms, applicants should note that the following factors can affect their request or the documentation of their request:

1. Excipients

Excipients can sometimes affect the rate and extent of drug absorption. In general, using excipients that are currently in FDA-approved IR solid oral dosage forms will not affect the rate or extent of absorption of a highly soluble and highly permeable drug substance that is formulated in a rapidly dissolving IR product. To support a biowaiver request, the quantity of excipients in the IR drug product should be consistent with the intended function (e.g., lubricant). When new excipients or atypically large amounts of commonly used excipients are included in an IR solid dosage form, additional information documenting the absence of an impact on BA of the drug may be requested by the agency. Such information can be provided with a relative BA study using a simple aqueous solution as the reference product. Large quantities of certain excipients, such as surfactants (e.g., polysorbate 80) and sweeteners (e.g., mannitol or sorbitol), may be problematic, and sponsors are encouraged to contact the review division when this is a factor.

2. Prodrugs

Permeability of prodrugs will depend on the mechanism and (anatomical) site of conversion to the drug substance. When the prodrug-to-drug conversion is shown to occur predominantly after intestinal membrane permeation, the permeability of the prodrug should be measured. When this conversion occurs prior to intestinal permeation, the permeability of the drug should be determined. Dissolution and pH-solubility data on both prodrug and drug can be relevant. Sponsors may wish to consult with appropriate review staff before applying the BCS approach to IR products containing prodrugs.

3. Exceptions

BCS-based biowaivers are not applicable for the following.

a. *Narrow Therapeutic Range Drugs*

The narrow therapeutic range drug products are defined as those containing certain drug substances that are subject to therapeutic drug concentration or pharmacodynamic monitoring, and/or where product labeling indicates a narrow therapeutic range designation. Examples include digoxin, lithium, phenytoin, theophylline, and warfarin. Because not all drugs subject to therapeutic drug concentration or pharmacodynamic monitoring are narrow therapeutic range drugs, sponsors should contact the appropriate review division to determine whether a drug should be considered to have a narrow therapeutic range.

b. *Products Designed to Be Absorbed in the Oral Cavity*

A request for a waiver of in vivo BA/BE studies based on the BCS is not appropriate for dosage forms intended for absorption in the oral cavity (e.g., sublingual or buccal tablets).

Fast-dissolving/-disintegrating tablets (FDDTs) disintegrate and/or dissolve rapidly in the saliva without the need for water and are thus of importance for patients who cannot or will not swallow. Some tablets are designed to dissolve in saliva remarkably fast, within a few seconds, and are true fast-dissolving tablets. Others contain agents to enhance the rate of tablet disintegration in the oral cavity and are more appropriately termed fast-disintegrating tablets, as they may take up to a minute to completely disintegrate. These tablets, if absorbed through the buccal cavity, avoid the first pass liver metabolism, and claims have been made for improvement of bioavailability using these platforms. Some of the key formulation considerations for FDDTs include the need to mask the taste and the most obvious method to do so is to include sweeteners and flavors; however, these are not a sufficient means for taste-masking many bitter drugs. Thus, most of the FDDT technologies incorporate unique forms of taste masking including adsorption onto or complexation with carriers and spray coating of drug particles. Frequently, the active drug powder is coated, and the coating does not completely dissolve until the drug has been swallowed. Drugs that are particle coated are more appropriately termed fast disintegrating due to the delayed release of the active molecule until they are swallowed. Additionally, effervescence is a physical method of taste masking used in some of the FDDTs. Details about the technology are sketchy as they pertain mostly to proprietary methods.

Currently, four fast-dissolving/-disintegrating technologies have reached the U.S. market: Zydis® (R.P. Scherer, Inc., Basking Ridge, NJ), WOWTAB™ (Yamanouchi Pharma Technologies, Inc., Palo Alto, CA), and OraSolv® and DuraSolv® (Cima Labs, Inc., Brooklyn Park, MN). Three others are available outside the United States: FlashDose® (Fuisz Technologies, Ltd., Chantilly, VA), Flashtab® (Prographarm Group, Saint Cloud, France), and OraQuick™ (KV Pharmaceutical Co., Inc., St. Louis, MO). Examples of products available in the United States include:

Zydis Products

Claritin Reditab: Micronized loratadine (10 mg), citric acid, gelatin, mannitol, mint flavor
 Feldene Melt: Piroxicam (10 or 20 mg), gelatin, mannitol, aspartame, citric anhydrous
 Maxalt-MLT: Rizatriptan (5 or 10 mg), gelatin, mannitol, aspartame, peppermint flavor
 Pepcid RPD: Famotidine (20 or 40 mg), gelatin, mannitol, aspartame
 Zyprexa Zydis: Olanzapine (5, 10, 15, or 20 mg), gelatin, mannitol, aspartame, methylparaben sodium, propylparaben sodium
 Zofran ODT: Ondansetron (4 or 8 mg), aspartame, gelatin, mannitol, methylparaben sodium, propylparaben sodium, strawberry flavor
 Dimetapp Quick Dissolve Children's Cold and Allergy Tablets (OTC)

OraSolv Products

Remeron Soltab: Mirtazepine (15, 30, or 45 mg), aspartame, citric acid, crospovidone, hydroxypropyl methylcellulose, magnesium stearate, mannitol, microcrystalline cellulose, polymethacrylate, povidone, sodium bicarbonate, starch, sucrose, orange flavor
 Tempra FirstTabs: Acetaminophen (80 or 160 mg), inactive ingredients including mannitol (currently available in Canada)
 Triaminic Softchew (OTC)

DuraSolv Products

NuLev: Hyoscyamine sulfate (0.125 mg), aspartame, colloidal silicon dioxide, crospovidone, mint flavoring, magnesium stearate, mannitol, microcrystalline cellulose
 Zomig ZMT: Zolmitriptan (2.5 mg), mannitol, microcrystalline cellulose, crospovidone, aspartame, sodium bicarbonate, citric acid, anhydrous, colloidal silicon dioxide, magnesium stearate, orange flavor

WOWTAB Products

Benadryl Allergy & Sinus Fastmelt (OTC)
 Children's Benadryl Allergy & Cold Fastmelt (OTC)
 Most FDDTs lack the mechanical strength common to traditional tablets. Many products are very lightweight and fragile requiring them to be individually packaged. Because of the formulation of FDDTs, they are also more susceptible to degradation via temperature and humidity.

Animal Products In general, the generic product being considered for a waiver contains the same active and inactive ingredients in the same dosage form and concentration and has the same pH and physicochemical characteristics as an approved pioneer product. However, the CVM will consider bioequivalence waivers for nonfood animal topical products

with certain differences in the inactive ingredients of the pioneer and generic products.

If a waiver of the *in vivo* bioequivalence and/or the tissue residue study/studies is granted for a food animal drug product, then the withdrawal period established for the pioneer product will be assigned to the generic product. Sponsors may apply for waivers of *in vivo* bioequivalence studies prior to submission of the ANADAs (Abbreviated New Drug Applications).

Species Selection A bioequivalence study generally should be conducted for each species for which the pioneer product is approved on the label, with the exception of “minor” species [as defined in section 514.1 (d) (1) of Title 21 of the Code of Federal Regulations] on the label.

Subject Characteristics Ordinarily, studies should be conducted with healthy animals representative of the species, class, gender, and physiological maturity for which the drug is approved. The bioequivalence study may be conducted with a single gender for which the pioneer product is approved, unless there is a known interaction of formulation with gender. An attempt should be made to restrict the weight of the test animals to a narrow range in order to maintain the same total dose across study subjects. The animals should not receive any medication prior to testing for a period of 2 weeks or more, depending upon the biological half-life of the ancillary drug.

Human Food Safety Considerations The toxicology and tolerance developed for the pioneer animal drug are applied to generic copies of the drug. The CVM has concluded that in addition to a bioequivalence study, a tissue residue depletion study should be conducted for approval of a generic animal drug product in a food-producing species. Two drug products may have the same plasma disposition profile at the concentrations used to assess product bioequivalence but may have very different tissue disposition kinetics when followed out to the withdrawal time for the pioneer product. Therefore, to show the withdrawal period at which residues of the generic product will be consistent with the tolerance for the pioneer product, a tissue residue depletion study is necessary.

The results of a bioequivalence study or tissue residue depletion study in one animal species cannot generally be extrapolated to another species. Possible species differences in drug partitioning or binding in tissues could magnify a small difference in the rate or extent of drug absorbed into a large difference in marker residue concentrations in the target tissue. Therefore, for a pioneer product labeled for more than one food-producing species, a bioequivalence study and a tissue residue depletion study will generally be requested for each major food-producing species on the label.

A traditional withdrawal study, as described in CVM’s guidance number 3, “General Principles for Evaluating the Safety of Compounds Used in Food-Producing Animals,” is considered the best design for collecting data useful for the

calculation of a preslaughter withdrawal period for drugs used in food-producing animals. In the traditional withdrawal study, 20 animals are divided into four or five groups of four to five animals each. Groups of animals are slaughtered at carefully preselected time points following the last administration of the test product, and the edible tissues are collected for residue analysis. A statistical tolerance limit approach is used to determine when, with 95% confidence, 99% of treated animals would have tissue residues below the codified limits.

For purposes of calculating a withdrawal period for a generic animal drug, only the generic product would be tested (i.e., not the pioneer product), and only the marker residue in the target tissue would be analyzed. Other study designs will be considered on a case-by-case basis. Sponsors are encouraged to submit the proposed tissue residue depletion protocol for CVM concurrence before proceeding with the withdrawal study.

The generic animal drug will be assigned the withdrawal time supported by the residue depletion data or the withdrawal time currently assigned to the pioneer product, whichever is the longer.

The generic animal drug sponsor may request a shorter withdrawal period for the generic product by supplementing the ANADA and providing tissue residue data necessary to support the shorter withdrawal period request. Such a supplement will be reviewed under the agency’s policy for Category II supplements. For a Category II supplement, a reevaluation of the safety (or effectiveness) data in the parent application (i.e., the pioneer NADA) may be required [21 CFR 514.106 (b) (2)]. The CVM will ordinarily approve a request for a shorter withdrawal period when the residue data are adequate and when no other human food safety concerns for the drug are evident.

Under 21 CFR 514.1(b)(7), applications are required to include a description of practicable methods for determining the quantity, if any, of the new animal drug in or on food, and any substance formed in or on food because of its use, and the proposed tolerance or withdrawal period or other use restrictions to ensure that the proposed use of the drug will be safe. For certain drug products, a tissue residue depletion study is not needed to ensure that residues of the test product will be consistent with the codified drug tolerance at the withdrawal time assigned to the reference product. These include but may not be limited to products for which a waiver of *in vivo* bioequivalence testing is granted and products for which the assay method used in the blood level bioequivalence study is sensitive enough to measure blood levels of the drug for the entire withdrawal period assigned to the reference product. Other requests for waiver of the tissue residue study will be considered on a case-by-case basis.

CVM will not request that the assay methodology used to determine the withdrawal period for the generic product be more rigorous than the approved methodology used to determine the existing withdrawal period for the pioneer product. If an analytical method other than the approved method of analysis is used, the generic sponsor should provide data comparing the alternate method to the approved method.

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- SUPAC-MR: Modified Release Solid Oral Dosage Forms Scale-Up and Post approval Changes: Chemistry, Manufacturing, and Controls; In vitro Dissolution Testing and In vivo Bioequivalence Documenta, posted at <http://www.fda.gov/cder/guidance/1214f1.pdf>
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2 Quality Risk Management

I. INTRODUCTION

Risk management principles are effectively used in many areas of business and government including finance, insurance, occupational safety, public health, pharmacovigilance, and by agencies regulating these industries. Although there are some examples of the use of *quality risk management* in the pharmaceutical industry today, they are limited and do not represent the full contributions that risk management has to offer. In addition, the importance of *quality systems* has been recognized in the pharmaceutical industry, and it is becoming evident that quality risk management is a valuable component of an effective quality system.

It is commonly understood that *risk* is defined as the combination of the probability of occurrence of *harm* and the *severity* of that harm. However, achieving a shared understanding of the application of risk management among diverse *stakeholders* is difficult because each stakeholder might perceive different potential harms, place a different probability on each harm occurring, and attribute different severities to each harm. In relation to pharmaceuticals, although there are a variety of stakeholders, including patients and medical practitioners as well as government and industry, the protection of the patient by managing the risk to quality should be considered of prime importance.

The manufacturing and use of a drug (medicinal) product, including its components, necessarily entail some degree of risk. The risk to its quality is just one component of the overall risk. It is important to understand that product *quality* should be maintained throughout the *product lifecycle* such that the attributes that are important to the quality of the drug (medicinal) product remain consistent with those used in the clinical studies. An effective quality risk management approach can further ensure the high quality of the drug (medicinal) product to the patient by providing a proactive means to identify and control potential quality issues during development and manufacturing. Additionally, use of quality risk management can improve the decision making if a quality problem arises. Effective quality risk management can facilitate better and more informed decisions, can provide regulators with greater assurance of a company's ability to deal with potential risks, and can beneficially affect the extent and level of direct regulatory oversight.

The purpose of this document is to offer a systematic approach to quality risk management. It serves as a foundation or resource document that is independent of, yet supports, other ICH quality documents and complements existing quality practices, requirements, standards, and guidelines within the pharmaceutical industry and regulatory environment. It specifically provides guidance on the principles and some of the tools of quality risk management that can enable more effective and consistent risk-based decisions, both by

regulators and by industry, regarding the quality of drug substances and drug (medicinal) products across the product lifecycle. It is not intended to create any new expectations beyond the current regulatory requirements.

It is neither always appropriate nor always necessary to use a formal risk management process (using recognized tools and/or internal procedures, e.g., standard operating procedures). The use of informal risk management processes (using empirical tools and/or internal procedures) can also be considered acceptable. Appropriate use of quality risk management can facilitate but does not obviate industry's obligation to comply with regulatory requirements and does not replace appropriate communications between industry and regulators.

II. SCOPE

This guideline provides principles and examples of tools for quality risk management that can be applied to different aspects of pharmaceutical quality. These aspects include development, manufacturing, distribution, and the inspection and submission/review processes throughout the lifecycle of drug substances, drug (medicinal) products, biological, and biotechnological products (including the use of raw materials, solvents, excipients, packaging, and labeling materials in drug (medicinal) products, biological, and biotechnological products).

III. PRINCIPLES OF QUALITY RISK MANAGEMENT

Two primary principles of quality risk management are as follows:

- The evaluation of the risk to quality should be based on scientific knowledge and ultimately link to the protection of the patient.
- The level of effort, formality, and documentation of the quality risk management process should be commensurate with the level of risk.

IV. GENERAL QUALITY RISK MANAGEMENT PROCESS

Quality risk management is a systematic process for the assessment, control, communication, and review of risks to the quality of the drug (medicinal) product across the product lifecycle. A model for quality risk management is outlined in Figure 2.1. Other models could be used. The emphasis on each component of the framework might differ from case to case, but a robust process will incorporate consideration of all

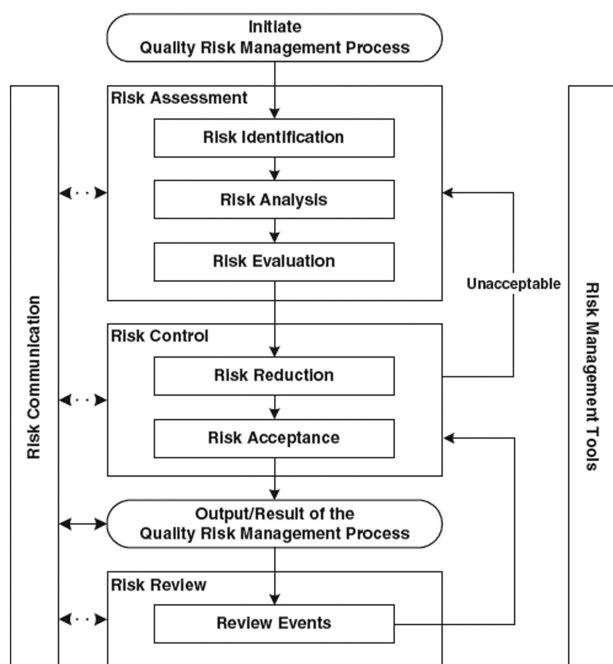


FIGURE 2.1 Overview of a typical quality risk management process.

the elements at a level of detail that is commensurate with the specific risk.

Decision nodes are not shown in Figure 2.1, because decisions can occur at any point in the process. These decisions might be to return to the previous step and seek further information, to adjust the risk models, or even to terminate the risk management process based upon information that supports such a decision. *Note:* “Unacceptable” in the flowchart does not only refer to statutory, legislative, or regulatory requirements but also to the need to revisit the risk assessment process.

A. RESPONSIBILITIES

Quality risk management activities are usually, but not always, undertaken by interdisciplinary teams. When teams are formed, they should include experts from the appropriate areas (e.g., quality unit, business development, engineering, regulatory affairs, production operations, sales and marketing, legal, statistics, and clinical) in addition to individuals who are knowledgeable about the quality risk management process.

Decision makers *should*

- Take responsibility for coordinating quality risk management across various functions and departments of their organization and
- Assure that a quality risk management process is defined, deployed, and reviewed and that adequate resources are available

B. INITIATING A QUALITY RISK MANAGEMENT PROCESS

Quality risk management should include systematic processes designed to coordinate, facilitate, and improve science-based

decision making with respect to risk. Possible steps used to initiate and plan a quality risk management process might include the following:

- Define the problem and/or risk question, including pertinent assumptions identifying the potential for risk.
- Assemble background information and/or data on the potential hazard, harm, or human health impact relevant to the risk assessment.
- Identify a leader and necessary resources.
- Specify a timeline, deliverables, and appropriate level of decision making for the risk management process.

C. RISK ASSESSMENT

Risk assessment consists of the identification of hazards and the analysis and evaluation of risks associated with exposure to those hazards (as defined below). Quality risk assessments begin with a well-defined problem description or risk question. When the risk in question is well defined, an appropriate risk management tool (see examples in Section V) and the types of information needed to address the risk question will be more readily identifiable. As an aid to clearly defining the risk(s) for risk assessment purposes, three fundamental questions are often helpful:

1. What might go wrong?
2. What is the likelihood (probability) it will go wrong?
3. What are the consequences (severity)?

Risk identification is a systematic use of information to identify hazards referring to the risk question or problem description. Information can include historical data, theoretical analysis, informed opinions, and the concerns of stakeholders. Risk identification addresses the “What might go wrong?” question, including identifying the possible consequences. This provides the basis for further steps in the quality risk management process.

Risk analysis is the estimation of the risk associated with the identified hazards. It is the qualitative or quantitative process of linking the likelihood of occurrence and severity of harms. In some risk management tools, the ability to detect the harm (detectability) also factors in the estimation of risk.

Risk evaluation compares the identified and analyzed risk against given risk criteria. Risk evaluations consider the strength of evidence for all three of the fundamental questions.

In doing an effective risk assessment, the robustness of the data set is important because it determines the quality of the output. Revealing assumptions and reasonable sources of uncertainty will enhance confidence in this output and/or help identify its limitations. Uncertainty is due to combination of incomplete knowledge about a process and its expected or unexpected variability. Typical sources of uncertainty include gaps in knowledge, gaps in pharmaceutical science and process understanding, sources of harm (e.g., failure modes of a process, sources of variability), and probability of detection of problems.

The output of a risk assessment is either a quantitative estimate of risk or a qualitative description of a range of risk. When risk is expressed quantitatively, a numerical probability is used. Alternatively, risk can be expressed using qualitative descriptors, such as “high,” “medium,” or “low,” which should be defined in as much detail as possible. Sometimes a “risk score” is used to further define descriptors in risk ranking. In quantitative risk assessments, a risk estimate provides the likelihood of a specific consequence, given a set of risk-generating circumstances. Thus, quantitative risk estimation is useful for one particular consequence at a time. Alternatively, some risk management tools use a relative risk measure to combine multiple levels of severity and probability into an overall estimate of relative risk. The intermediate steps within a scoring process can sometimes employ quantitative risk estimation.

D. RISK CONTROL

Risk control includes decision making to reduce and/or accept risks. The purpose of risk control is to reduce the risk to an acceptable level. The amount of effort used for risk control should be proportional to the significance of the risk. Decision makers might use different processes, including benefit–cost analysis, for understanding the optimal level of risk control.

Risk control might focus on the following questions:

- Is the risk above an acceptable level?
- What can be done to reduce or eliminate risks?
- What is the appropriate balance among benefits, risks, and resources?
- Are new risks introduced as a result of the identified risks being controlled?

Risk reduction focuses on processes for mitigation or avoidance of quality risk when it exceeds a specified (acceptable) level (see Figure 2.1). Risk reduction might include actions taken to mitigate the severity and probability of harm. Processes that improve the detectability of hazards and quality risks might also be used as part of a risk control strategy. The implementation of risk reduction measures can introduce new risks into the system or increase the significance of other existing risks. Hence, it might be appropriate to revisit the risk assessment to identify and evaluate any possible change in risk after implementing a risk reduction process.

Risk acceptance is a decision to accept risk. Risk acceptance can be a formal decision to accept the residual risk, or it can be a passive decision in which residual risks are not specified. For some types of harms, even the best quality risk management practices might not entirely eliminate risk. In these circumstances, it might be agreed that an appropriate quality risk management strategy has been applied and that quality risk is reduced to a specified (acceptable) level. This (specified) acceptable level will depend on many parameters and should be decided on a case-by-case basis.

E. RISK COMMUNICATION

Risk communication is the sharing of information about risk and risk management between the decision makers and others. Parties can communicate at any stage of the risk management process (see Figure 2.1: Dashed arrows). The output/result of the quality risk management process should be appropriately communicated and documented (see Figure 2.1: Solid arrows). Communications might include those among interested parties, for example, regulators and industry, industry and the patient, within a company, industry or regulatory authority, etc. The included information might relate to the existence, nature, form, probability, severity, acceptability, control, treatment, detectability, or other aspects of risks to quality. Communication need not be carried out for each and every risk acceptance. Between the industry and regulatory authorities, communication concerning quality risk management decisions might be effected through existing channels as specified in regulations and guidances.

F. RISK REVIEW

Risk management should be an ongoing part of the quality management process. A mechanism to review or monitor events should be implemented.

The output/results of the risk management process should be reviewed to take into account new knowledge and experience. Once a quality risk management process has been initiated, that process should continue to be used for events that might impact the original quality risk management decision, whether these events are planned (e.g., results of product review, inspections, audits, change control) or unplanned (e.g., root cause from failure investigations, recall). The frequency of any review should be based upon the level of risk. Risk review might include reconsideration of risk acceptance decisions (Section D).

V. RISK MANAGEMENT METHODOLOGY

Quality risk management supports a scientific and practical approach to decision making. It provides documented, transparent, and reproducible methods to accomplish steps of the quality risk management process based on current knowledge about assessing the probability, severity, and sometimes detectability of the risk.

Traditionally, risks to quality have been assessed and managed in a variety of informal ways (empirical and/or internal procedures) based on, for example, compilation of observations, trends, and other information. Such approaches continue to provide useful information that might support topics such as handling of complaints, quality defects, deviations, and allocation of resources.

Additionally, the pharmaceutical industry and regulators can assess and manage risk using recognized risk management tools and/or internal procedures (e.g., standard operating procedures). Below is a non-exhaustive list of some of these tools (further details in Annex I and Chapter 8):

- Basic risk management facilitation methods (flow-charts, check sheets, etc.)
- Failure Mode Effects Analysis (FMEA)
- Failure Mode, Effects, and Criticality Analysis (FMECA)
- Fault Tree Analysis (FTA)
- Hazard Analysis and Critical Control Points (HACCP)
- Hazard Operability Analysis (HAZOP)
- Preliminary Hazard Analysis (PHA)
- Risk ranking and filtering
- Supporting statistical tools

It might be appropriate to adapt these tools for use in specific areas pertaining to drug substance and drug (medicinal) product quality. Quality risk management methods and the supporting statistical tools can be used in combination (e.g., Probabilistic Risk Assessment). Combined use provides flexibility that can facilitate the application of quality risk management principles.

The degree of rigor and formality of quality risk management should reflect available knowledge and be commensurate with the complexity and/or criticality of the issue to be addressed.

VI. INTEGRATION OF QUALITY RISK MANAGEMENT INTO INDUSTRY AND REGULATORY OPERATIONS

Quality risk management is a process that supports science-based and practical decisions when integrated into quality systems (see Annex II). As outlined in the introduction, appropriate use of quality risk management does not obviate industry's obligation to comply with regulatory requirements. However, effective quality risk management can facilitate better and more informed decisions, can provide regulators with greater assurance of a company's ability to deal with potential risks, and might affect the extent and level of direct regulatory oversight. In addition, quality risk management can facilitate better use of resources by all parties.

Training of both industry and regulatory personnel in quality risk management processes provides for greater understanding of decision-making processes and builds confidence in quality risk management outcomes.

Quality risk management should be integrated into existing operations and documented appropriately. Annex II provides examples of situations in which the use of the quality risk management process might provide information that could then be used in a variety of pharmaceutical operations. These examples are provided for illustrative purposes only and should not be considered a definitive or exhaustive list. These examples are not intended to create any new expectations beyond the requirements laid out in the current regulations.

Examples for industry and regulatory operations (see Annex II):

- Quality management
Examples for industry operations and activities (see Annex II):
- Development
- Facility, equipment, and utilities
- Materials management
- Production
- Laboratory control and stability testing
- Packaging and labeling
Examples for regulatory operations (see Annex II):
- Inspection and assessment activities

While regulatory decisions will continue to be taken on a regional basis, a common understanding and application of quality risk management principles could facilitate mutual confidence and promote more consistent decisions among regulators on the basis of the same information. This collaboration could be important in the development of policies and guidelines that integrate and support quality risk management practices.

GLOSSARY

Decision Maker(s): Person(s) with the competence and authority to make appropriate and timely quality risk management decisions.

Detectability: The ability to discover or determine the existence, presence, or fact of a hazard.

Harm: Damage to health, including the damage that can occur from loss of product quality or availability.

Hazard: The potential source of harm (ISO/IEC Guide 51).

Product Lifecycle: All phases in the life of the product from the initial development through marketing until the product's discontinuation.

Quality: The degree to which a set of inherent properties of a product, system, or process fulfills requirements [see ICH Q6A definition specifically for "quality" of drug substance and drug (medicinal) products].

Quality Risk Management: A systematic process for the assessment, control, communication, and review of risks to the quality of the drug (medicinal) product across the product lifecycle.

Quality System: The sum of all aspects of a system that implements quality policy and ensures that quality objectives are met.

Requirements: The explicit or implicit needs or expectations of the patients or their surrogates (e.g., healthcare professionals, regulators, and legislators). In this document, "requirements" refers not only to statutory, legislative, or regulatory requirements but also to such needs and expectations.

Risk: The combination of the probability of occurrence of harm and the severity of that harm (ISO/IEC Guide 51).

Risk Acceptance: The decision to accept risk (ISO Guide 73).

Risk Analysis: The estimation of the risk associated with the identified hazards.

Risk Assessment: A systematic process of organizing information to support a risk decision to be made

within a risk management process. It consists of the identification of hazards and the analysis and evaluation of risks associated with exposure to those hazards.

Risk Communication: The sharing of information about risk and risk management between the decision maker and other stakeholders.

Risk Control: Actions implementing risk management decisions (ISO Guide 73).

Risk Evaluation: The comparison of the estimated risk to given risk criteria using a quantitative or qualitative scale to determine the significance of the risk.

Risk Identification: The systematic use of information to identify potential sources of harm (hazards) referring to the risk question or problem description.

Risk Management: The systematic application of quality management policies, procedures, and practices to the tasks of assessing, controlling, communicating, and reviewing risk.

Risk Reduction: Actions taken to lessen the probability of occurrence of harm and the severity of that harm.

Risk Review: Review or monitoring of output/results of the risk management process considering (if appropriate) new knowledge and experience about the risk.

Severity: A measure of the possible consequences of a hazard.

Stakeholder: Any individual, group, or organization that can affect, be affected by, or perceive itself to be affected by a risk. Decision makers might also be stakeholders. For the purposes of this guideline, the primary stakeholders are the patient, healthcare professional, regulatory authority, and industry.

Trend: A statistical term referring to the direction or rate of change of a variable(s).

ANNEX I: RISK MANAGEMENT METHODS AND TOOLS

The purpose of this annex is to provide a general overview of and references for some of the primary tools that might be used in quality risk management by industry and regulators. The references are included as an aid to gain more knowledge and detail about the particular tool. This is not an exhaustive list. It is important to note that no one tool or set of tools is applicable to every situation in which a quality risk management procedure is used.

I.1 BASIC RISK MANAGEMENT FACILITATION METHODS

Some of the simple techniques that are commonly used to structure risk management by organizing data and facilitating decision making are as follows:

- Flowcharts
- Check Sheets
- Process Mapping
- Cause and Effect Diagrams (also called an Ishikawa diagram or fish bone diagram)

I.2 FAILURE MODE EFFECTS ANALYSIS

FMEA (see IEC 60812) provides for an evaluation of potential failure modes for processes and their likely effect on outcomes and/or product performance. Once failure modes are established, risk reduction can be used to eliminate, contain, reduce, or control the potential failures. FMEA relies on product and process understanding. FMEA methodically breaks down the analysis of complex processes into manageable steps. It is a powerful tool for summarizing the important modes of failure, factors causing these failures, and the likely effects of these failures.

Potential Areas of Use(s)

FMEA can be used to prioritize risks and monitor the effectiveness of risk control activities.

FMEA can be applied to equipment and facilities and might be used to analyze a manufacturing operation and its effect on product or process. It identifies elements/operations within the system that render it vulnerable. The output/results of FMEA can be used as a basis for design or further analysis or to guide resource deployment.

I.3 FAILURE MODE, EFFECTS, AND CRITICALITY ANALYSIS

FMEA might be extended to incorporate an investigation of the degree of severity of the consequences, their respective probabilities of occurrence, and their detectability, thereby becoming a Failure Mode Effect and Criticality Analysis (FMECA; see IEC 60812). In order for such an analysis to be performed, the product or process specifications should be established. FMECA can identify places where additional preventive actions might be appropriate to minimize risks.

Potential Areas of Use(s)

FMECA application in the pharmaceutical industry should mostly be used for failures and risks associated with manufacturing processes; however, it is not limited to this application. The output of an FMECA is a relative risk “score” for each failure mode, which is used to rank the modes on a relative risk basis.

I.4 FAULT TREE ANALYSIS

The FTA tool (see IEC 61025) is an approach that assumes failure of the functionality of a product or process. This tool evaluates system (or subsystem) failures one at a time but can combine multiple causes of failure by identifying causal chains. The results are represented pictorially in the form of a tree of fault modes. At each level in the tree, combinations of fault modes are described with logical operators (AND, OR, etc.). FTA relies on the experts’ process understanding to identify causal factors.

Potential Areas of Use(s)

FTA can be used to establish the pathway to the root cause of the failure. FTA can be used to investigate complaints or deviations in order to fully understand their root cause and to

ensure that intended improvements will fully resolve the issue and not lead to other issues (i.e., solve one problem yet cause a different problem). FTA is an effective tool for evaluating how multiple factors affect a given issue. The output of an FTA includes a visual representation of failure modes. It is useful both for risk assessment and in developing monitoring programs.

1.5 HAZARD ANALYSIS AND CRITICAL CONTROL POINTS

HACCP is a systematic, proactive, and preventive tool for assuring product quality, reliability, and safety (see WHO Technical Report Series No 908, 2003, Annex 7). It is a structured approach that applies technical and scientific principles to analyze, evaluate, prevent, and control the risk or adverse consequence(s) of hazard(s) due to the design, development, production, and use of products.

HACCP consists of the following seven steps:

- (1) Conduct a hazard analysis, and identify preventive measures for each step of the process.
- (2) Determine the critical control points.
- (3) Establish critical limits.
- (4) Establish a system to monitor the critical control points.
- (5) Establish the corrective action to be taken when monitoring indicates that the critical control points are not in a state of control.
- (6) Establish system to verify that the HACCP system is working effectively.
- (7) Establish a record-keeping system.

Potential Areas of Use(s)

HACCP might be used to identify and manage risks associated with physical, chemical, and biological hazards (including microbiological contamination). HACCP is most useful when product and process understanding is sufficiently comprehensive to support identification of critical control points. The output of a HACCP analysis is risk management information that facilitates monitoring of critical points not only in the manufacturing process but also in other lifecycle phases.

1.6 HAZARD OPERABILITY ANALYSIS

HAZOP (see IEC 61882) is based on a theory that assumes that risk events are caused by deviations from the design or operating intentions. It is a systematic brainstorming technique for identifying hazards using so-called “guide-words.” “Guide-words” (e.g., No, More, Other Than, Part of, etc.) are applied to relevant parameters (e.g., contamination, temperature) to help identify potential deviations from normal use or design intentions. It often uses a team of people with expertise covering the design of the process or product and its application.

Potential Areas of Use(s)

HAZOP can be applied to manufacturing processes, including outsourced production and formulation as well as the

upstream suppliers, equipment, and facilities for drug substances and drug (medicinal) products. It has also been used primarily in the pharmaceutical industry for evaluating process safety hazards. As is the case with HACCP, the output of a HAZOP analysis is a list of critical operations for risk management. This facilitates regular monitoring of critical points in the manufacturing process.

1.7 PRELIMINARY HAZARD ANALYSIS

PHA is a tool of analysis based on applying prior experience or knowledge of a hazard or failure to identify future hazards, hazardous situations, and events that might cause harm, as well as to estimate their probability of occurrence for a given activity, facility, product, or system. The tool consists of (1) the identification of the possibilities that the risk event happens, (2) the qualitative evaluation of the extent of possible injury or damage to health that could result, (3) a relative ranking of the hazard using a combination of severity and likelihood of occurrence, and (4) the identification of possible remedial measures.

Potential Areas of Use(s)

PHA might be useful when analyzing existing systems or prioritizing hazards where circumstances prevent a more extensive technique from being used. It can be used for product, process, and facility design as well as to evaluate the types of hazards for the general product type, then the product class, and finally the specific product. PHA is most commonly used early in the development of a project when there is little information on design details or operating procedures; thus, it will often be a precursor to further studies. Typically, hazards identified in the PHA are further assessed with other risk management tools such as those in this section.

1.8 RISK RANKING AND FILTERING

Risk ranking and filtering is a tool for comparing and ranking risks. Risk ranking of complex systems typically requires evaluation of multiple diverse quantitative and qualitative factors for each risk. The tool involves breaking down a basic risk question into as many components as needed to capture factors involved in the risk. These factors are combined into a single relative risk score that can then be used for ranking risks. “Filters,” in the form of weighting factors or cut-offs for risk scores, can be used to scale or fit the risk ranking to management or policy objectives.

Potential Areas of Use(s)

Risk ranking and filtering can be used to prioritize manufacturing sites for inspection/audit by regulators or industry. Risk ranking methods are particularly helpful in situations in which the portfolio of risks and the underlying consequences to be managed are diverse and difficult to compare using a single tool. Risk ranking is useful when management needs to evaluate both quantitatively assessed and qualitatively assessed risks within the same organizational framework.

1.9 SUPPORTING STATISTICAL TOOLS

Statistical tools can support and facilitate quality risk management. They can enable effective data assessment, aid in determining the significance of the data set(s), and facilitate more reliable decision making. A listing of some of the principal statistical tools commonly used in the pharmaceutical industry is provided below:

- Control Charts, for example
 - Acceptance Control Charts (see ISO 7966)
 - Control Charts with Arithmetic Average and Warning Limits (see ISO 7873)
 - Cumulative Sum Charts (see ISO 7871)
 - Shewhart Control Charts (see ISO 8258)
 - Weighted Moving Average
- Design of Experiments
- Histograms
- Pareto Charts
- Process Capability Analysis

ANNEX II: POTENTIAL APPLICATIONS FOR QUALITY RISK MANAGEMENT

This annex is intended to identify potential uses of quality risk management principles and tools by industry and regulators. However, the selection of particular risk management tools is completely dependent upon specific facts and circumstances.

These examples are provided for illustrative purposes and only suggest potential uses of quality risk management. This annex is not intended to create any new expectations beyond the current regulatory requirements.

II.1 QUALITY RISK MANAGEMENT AS PART OF INTEGRATED QUALITY MANAGEMENT

Documentation

To review current interpretations and application of regulatory expectations.

To determine the desirability of and/or develop the content for SOPs, guidelines, etc.

Training and Education

To determine the appropriateness of initial and/or ongoing training sessions based on education, experience, and working habits of staff, as well as on a periodic assessment of previous training (e.g., its effectiveness).

To identify the training, experience, qualifications, and physical abilities that allow personnel to perform an operation reliably and with no adverse impact on the quality of the product.

Quality Defects

To provide the basis for identifying, evaluating, and communicating the potential quality impact of a suspected quality defect, complaint, trend, deviation, investigation, out of specification result, etc.

To facilitate risk communications and determine appropriate action to address significant product defects, in conjunction with regulatory authorities (e.g., recall).

Auditing/Inspection

To define the frequency and scope of audits, both internal and external, taking into account factors such as

- Existing legal requirements
- Overall compliance status and history of the company or facility
- Robustness of a company's quality risk management activities
- Complexity of the site
- Complexity of the manufacturing process
- Complexity of the product and its therapeutic significance
- Number and significance of quality defects (e.g., recall)
- Results of previous audits/inspections
- Major changes of building, equipment, processes, key personnel
- Experience with manufacturing of a product (e.g., frequency, volume, number of batches)
- Test results of official control laboratories

Periodic Review

To select, evaluate, and interpret trend results of data within the product quality review.

To interpret monitoring data (e.g., to support an assessment of the appropriateness of revalidation or changes in sampling).

Change Management/Change Control

To manage changes based on knowledge and information accumulated in pharmaceutical development and during manufacturing.

To evaluate the impact of the changes on the availability of the final product.

To evaluate the impact on product quality of changes to the facility, equipment, material, manufacturing process, or technical transfers.

To determine appropriate actions preceding the implementation of a change, for example, additional testing, (re)qualification, (re)validation, or communication with regulators.

Continual Improvement

To facilitate continual improvement in processes throughout the product lifecycle.

II.2 QUALITY RISK MANAGEMENT AS PART OF REGULATORY OPERATIONS

Inspection and Assessment Activities

To assist with resource allocation including, for example, inspection planning and frequency, and inspection and assessment intensity (see "Auditing" section in Annex II.1).

To evaluate the significance of, for example, quality defects, potential recalls, and inspectional findings.

To determine the appropriateness and type of post-inspection regulatory follow-up.

To evaluate information submitted by industry including pharmaceutical development information.

To evaluate impact of proposed variations or changes.

To identify risks which should be communicated between inspectors and assessors to facilitate better understanding of how risks can be or are controlled [e.g., parametric release, Process Analytical Technology (PAT)].

II.3 QUALITY RISK MANAGEMENT AS PART OF DEVELOPMENT

To design a quality product and its manufacturing process to consistently deliver the intended performance of the product (see ICH Q8).

To enhance knowledge of product performance over a wide range of material attributes (e.g., particle size distribution, moisture content, flow properties), processing options, and process parameters.

To assess the critical attributes of raw materials, solvents, active pharmaceutical ingredient (API) starting materials, APIs, excipients, or packaging materials.

To establish appropriate specifications, identify critical process parameters, and establish manufacturing controls (e.g., using information from pharmaceutical development studies regarding the clinical significance of quality attributes and the ability to control them during processing).

To decrease variability of quality attributes:

- Reduce product and material defects and
- Reduce manufacturing defects

To assess the need for additional studies (e.g., bioequivalence, stability) relating to scale up and technology transfer.

To make use of the “design space” concept (see ICH Q8).

II.4 QUALITY RISK MANAGEMENT FOR FACILITIES, EQUIPMENT, AND UTILITIES

Design of Facility/Equipment

To determine appropriate zones when designing buildings and facilities, for example,

- Flow of material and personnel
- Minimize contamination
- Pest control measures
- Prevention of mix-ups
- Open vs. closed equipment
- Clean rooms vs. isolator technologies
- Dedicated or segregated facilities/equipment

To determine appropriate product contact materials for equipment and containers (e.g., selection of stainless-steel grade, gaskets, lubricants).

To determine appropriate utilities [e.g., steam, gases, power source, compressed air, HVAC (heating, ventilation, and air conditioning), water].

To determine appropriate preventive maintenance for associated equipment (e.g., inventory of necessary spare parts).

Hygiene Aspects in Facilities

To protect the product from environmental hazards, including chemical, microbiological, and physical hazards (e.g., determining appropriate clothing and gowning, hygiene concerns).

To protect the environment (e.g., personnel, potential for cross-contamination) from hazards related to the product being manufactured.

Qualification of Facility/Equipment/Utilities

To determine the scope and extent of qualification of facilities, buildings, and production equipment and/or laboratory instruments (including proper calibration methods).

Cleaning of Equipment and Environmental Control

To differentiate efforts and decisions based on the intended use (e.g., multi- vs. single-purpose, batch vs. continuous production).

To determine acceptable (specified) cleaning validation limits.

Calibration/Preventive Maintenance

To set appropriate calibration and maintenance schedules.

Computer Systems and Computer-Controlled Equipment

To select the design of computer hardware and software (e.g., modular, structured, fault tolerance).

To determine the extent of validation, for example,

- Identification of critical performance parameters
- Selection of the requirements and design
- Code review
- The extent of testing and test methods and
- Reliability of electronic records and signatures

II.5. QUALITY RISK MANAGEMENT AS PART OF MATERIALS MANAGEMENT

Assessment and Evaluation of Suppliers and Contract Manufacturers

To provide a comprehensive evaluation of suppliers and contract manufacturers (e.g., auditing, supplier quality agreements).

Starting Material

To assess differences and possible quality risks associated with variability in starting materials (e.g., age, route of synthesis).

Use of Materials

To determine whether it is appropriate to use material under quarantine (e.g., for further internal processing).

To determine appropriateness of reprocessing, reworking, use of returned goods.

Storage, Logistics, and Distribution Conditions

To assess the adequacy of arrangements to ensure maintenance of appropriate storage and transport conditions (e.g., temperature, humidity, container design).

To determine the effect on product quality of discrepancies in storage or transport conditions (e.g., cold chain management) in conjunction with other ICH guidelines.

To maintain infrastructure (e.g., capacity to ensure proper shipping conditions, interim storage, handling of hazardous materials and controlled substances, customs clearance).

To provide information for ensuring the availability of pharmaceuticals (e.g., ranking risks to the supply chain).

II.6. QUALITY RISK MANAGEMENT AS PART OF PRODUCTION

Validation

To identify the scope and extent of verification, qualification, and validation activities (e.g., analytical methods, processes, equipment, and cleaning methods).

To determine the extent for follow-up activities (e.g., sampling, monitoring, and revalidation).

To distinguish between critical and noncritical process steps to facilitate design of a validation study.

In-Process Sampling and Testing

To evaluate the frequency and extent of in-process control testing (e.g., to justify reduced testing under conditions of proven control).

To evaluate and justify the use of PAT in conjunction with parametric and real-time release.

Production Planning

To determine appropriate production planning (e.g., dedicated, campaign, and concurrent production process sequences).

II.7. QUALITY RISK MANAGEMENT AS PART OF LABORATORY CONTROL AND STABILITY STUDIES

Out of Specification Results

To identify potential root causes and corrective actions during the investigation of out of specification results.

Retest Period/Expiration Date

To evaluate adequacy of storage and testing of intermediates, excipients, and starting materials.

II.8. QUALITY RISK MANAGEMENT AS PART OF PACKAGING AND LABELING

Design of Packages

To design the secondary package for the protection of primary packaged product (e.g., to ensure product authenticity, label legibility).

Selection of Container Closure System

To determine the critical parameters of the container closure system.

Label Controls

To design label control procedures based on the potential for mix-ups involving different product labels, including different versions of the same label.

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3 Pharmaceutical Quality System

I. PHARMACEUTICAL QUALITY SYSTEM

A. INTRODUCTION

This document establishes a new ICH tripartite guideline describing a model for an effective *quality* management system for the pharmaceutical industry, referred to as the *Pharmaceutical Quality System*. Throughout this guideline, the term “pharmaceutical quality system” refers to the ICH Q10 model.

ICH Q10 describes one comprehensive model for an effective pharmaceutical quality system that is based on International Standards Organization (ISO) quality concepts, includes applicable Good Manufacturing Practice (GMP) regulations, and complements ICH Q8 “Pharmaceutical Development” and ICH Q9 “Quality Risk Management.” ICH Q10 is a model for a pharmaceutical quality system that can be implemented throughout the different stages of a product lifecycle. Much of the content of ICH Q10 applicable to manufacturing sites is currently specified by regional GMP requirements. ICH Q10 is not intended to create any new expectations beyond current regulatory requirements. Consequently, the content of ICH Q10 that is additional to current regional GMP requirements is optional.

ICH Q10 demonstrates industry and regulatory authorities’ support of an effective pharmaceutical quality system to enhance the quality and availability of medicines around the world in the interest of public health. Implementation of ICH Q10 throughout the product lifecycle should facilitate *innovation* and *continual improvement* and strengthen the link between pharmaceutical development and manufacturing activities.

B. SCOPE

This guideline applies to the systems supporting the development and manufacture of pharmaceutical drug substances (i.e., API) and drug products, including biotechnology and biological products, throughout the product lifecycle.

The elements of ICH Q10 should be applied in a manner that is appropriate and proportionate to each of the product lifecycle stages, recognizing the differences among and the different goals of each stage (see Section 3).

For the purposes of this guideline, the product lifecycle includes the following technical activities for new and existing products:

- Pharmaceutical development:
 - Drug substance development
 - Formulation development (including container/closure system)
 - Manufacture of investigational products

- Delivery system development (where relevant)
- Manufacturing process development and scale-up
- Analytical method development
- Technology transfer:
 - New product transfers during development through manufacturing
 - Transfers within or between manufacturing and testing sites for marketed products
- Commercial manufacturing:
 - Acquisition and control of materials
 - Provision of facilities, utilities, and equipment
 - Production (including packaging and labeling)
 - Quality control and assurance
 - Release
 - Storage
 - Distribution (excluding wholesaler activities)
- Product discontinuation:
 - Retention of documentation
 - Sample retention
 - Continued product assessment and reporting

C. RELATIONSHIP OF ICH Q10 TO REGIONAL GMP REQUIREMENTS, ISO STANDARDS, AND ICH Q7

Regional GMP requirements, the ICH Q7 Guideline, “Good Manufacturing Practice Guide for Active Pharmaceutical Ingredients,” and ISO quality management system guidelines form the foundation for ICH Q10. To meet the objectives described below, ICH Q10 augments GMPs by describing specific quality system elements and management responsibilities. ICH Q10 provides a harmonized model for a pharmaceutical quality system throughout the lifecycle of a product and is intended to be used together with regional GMP requirements.

The regional GMPs do not explicitly address all stages of the product lifecycle (e.g., development). The quality system elements and management responsibilities described in this guideline are intended to encourage the use of science- and risk-based approaches at each lifecycle stage, thereby promoting continual improvement across the entire product lifecycle.

D. RELATIONSHIP OF ICH Q10 TO REGULATORY APPROACHES

Regulatory approaches for a specific product or manufacturing facility should be commensurate with the level of product and process understanding, the results of *quality risk management*, and the effectiveness of the pharmaceutical quality system. When implemented, the effectiveness of the

pharmaceutical quality system can normally be evaluated during a regulatory inspection at the manufacturing site. Potential opportunities to enhance science- and risk-based regulatory approaches are identified in Annex 1. Regulatory processes will be determined by region.

E. ICH Q10 OBJECTIVES

Implementation of the Q10 model should result in achievement of three main objectives, which complement or enhance regional GMP requirements.

1. Achieve Product Realization

To establish, implement, and maintain a system that allows the delivery of products with the quality attributes appropriate to meet the needs of patients, health care professionals, regulatory authorities (including compliance with approved regulatory filings), and other internal and external customers.

2. Establish and Maintain a State of Control

To develop and use effective monitoring and control systems for process performance and product quality, thereby providing assurance of continued suitability and *capability of processes*. Quality risk management can be useful in identifying the monitoring and control systems.

3. Facilitate Continual Improvement

To identify and implement appropriate product quality improvements, process improvements, variability reduction, innovations, and pharmaceutical quality system enhancements, thereby increasing the ability to fulfil quality needs consistently. Quality risk management can be useful for identifying and prioritizing areas for continual improvement.

F. ENABLERS: KNOWLEDGE MANAGEMENT AND QUALITY RISK MANAGEMENT

Use of *knowledge management* and quality risk management will enable a company to implement ICH Q10 effectively and successfully. These enablers will facilitate achievement of the objectives described in section IE above by providing the means for science- and risk-based decisions related to product quality.

1. Knowledge Management

Product and process knowledge should be managed from development through the commercial life of the product up to and including product discontinuation. For example, development activities using scientific approaches provide knowledge for product and process understanding. Knowledge management is a systematic approach to acquiring, analyzing, storing, and disseminating information related to products, manufacturing processes, and components. Sources of knowledge include, but are not limited to, prior knowledge (public domain or internally documented), pharmaceutical development studies, technology transfer activities, process validation studies over the product lifecycle, manufacturing

experience, innovation, continual improvement, and *change management* activities.

2. Quality Risk Management

Quality risk management is integral to an effective pharmaceutical quality system. It can provide a proactive approach to identifying, scientifically evaluating, and controlling potential risks to quality. It facilitates continual improvement of process performance and product quality throughout the product lifecycle. ICH Q9 provides principles and examples of tools for quality risk management that can be applied to different aspects of pharmaceutical quality.

G. DESIGN AND CONTENT CONSIDERATIONS

- (a) The design, organization, and documentation of the pharmaceutical quality system should be well structured and clear to facilitate common understanding and consistent application.
- (b) The elements of ICH Q10 should be applied in a manner that is appropriate and proportionate to each of the product lifecycle stages, recognizing the different goals and knowledge available for each stage.
- (c) The size and complexity of the company's activities should be taken into consideration when developing a new pharmaceutical quality system or modifying an existing one. The design of the pharmaceutical quality system should incorporate appropriate risk management principles. While some aspects of the pharmaceutical quality system can be company-wide and others site-specific, the effectiveness of the pharmaceutical quality system is normally demonstrated at the site level.
- (d) The pharmaceutical quality system should include appropriate processes, resources, and responsibilities to provide assurance of the quality of *outsourced activities* and purchased materials.
- (e) Management responsibilities, as described in Section 2, should be identified within the pharmaceutical quality system.
- (f) The pharmaceutical quality system should include the following elements, as described in Section 3: Process performance and product quality monitoring, *corrective* and *preventive action*, change management, and management review.
- (g) *Performance indicators*, as described in Section 4, should be identified and used to monitor the effectiveness of processes within the pharmaceutical quality system.

H. QUALITY MANUAL

A *Quality Manual* or equivalent documentation approach should be established and should contain the description of the pharmaceutical quality system. The description should include

- (a) The *quality policy* (see Section 2).
- (b) The scope of the pharmaceutical quality system.
- (c) Identification of the pharmaceutical quality system processes, as well as their sequences, linkages, and interdependencies. Process maps and flow charts can be useful tools to facilitate depicting pharmaceutical quality system processes in a visual manner.
- (d) Management responsibilities within the pharmaceutical quality system (see Section 2).

II. MANAGEMENT RESPONSIBILITY

Leadership is essential to establish and maintain a company-wide commitment to quality and for the performance of the pharmaceutical quality system.

A. MANAGEMENT COMMITMENT

- (a) *Senior management* has the ultimate responsibility to ensure an effective pharmaceutical quality system is in place to achieve the *quality objectives* and that roles, responsibilities, and authorities are defined, communicated, and implemented throughout the company.
- (b) Management should
 - (1) Participate in the design, implementation, monitoring, and maintenance of an effective pharmaceutical quality system.
 - (2) Demonstrate strong and visible support for the pharmaceutical quality system and ensure its implementation throughout their organization.
 - (3) Ensure a timely and effective communication and escalation process exists to raise quality issues to the appropriate levels of management.
 - (4) Define individual and collective roles, responsibilities, authorities, and interrelationships of all organizational units related to the pharmaceutical quality system. Ensure these interactions are communicated and understood at all levels of the organization. An independent quality unit/structure with authority to fulfil certain pharmaceutical quality system responsibilities is required by regional regulations.
 - (5) Conduct management reviews of process performance and product quality and of the pharmaceutical quality system.
 - (6) Advocate continual improvement.
 - (7) Commit appropriate resources.

B. QUALITY POLICY

- (a) Senior management should establish a quality policy that describes the overall intentions and direction of the company related to quality.
- (b) The quality policy should include an expectation to comply with applicable regulatory requirements and should facilitate continual improvement of the pharmaceutical quality system.

- (c) The quality policy should be communicated to and understood by personnel at all levels in the company.
- (d) The quality policy should be reviewed periodically for continuing effectiveness.

C. QUALITY PLANNING

- (a) Senior management should ensure the quality objectives needed to implement the quality policy are defined and communicated.
- (b) Quality objectives should be supported by all relevant levels of the company.
- (c) Quality objectives should align with the company's strategies and be consistent with the quality policy.
- (d) Management should provide the appropriate resources and training to achieve the quality objectives.
- (e) Performance indicators that measure progress against quality objectives should be established, monitored, communicated regularly, and acted upon as appropriate as described in Section 4.1 of this document.

D. RESOURCE MANAGEMENT

- (a) Management should determine and provide adequate and appropriate resources (human, financial, materials, facilities, and equipment) to implement and maintain the pharmaceutical quality system and continually improve its effectiveness.
- (b) Management should ensure that resources are appropriately applied to a specific product, process, or site.

E. INTERNAL COMMUNICATION

- (a) Management should ensure appropriate communication processes are established and implemented within the organization.
- (b) Communications processes should ensure the flow of appropriate information between all levels of the company.
- (c) Communication processes should ensure the appropriate and timely escalation of certain product quality and pharmaceutical quality system issues.

F. MANAGEMENT REVIEW

- (a) Senior management should be responsible for pharmaceutical quality system governance through management review to ensure its continuing suitability and effectiveness.
- (b) Management should assess the conclusions of periodic reviews of process performance and product quality and of the pharmaceutical quality system, as described in Sections 3 and 4.

G. MANAGEMENT OF OUTSOURCED ACTIVITIES AND PURCHASED MATERIALS

The pharmaceutical quality system, including the management responsibilities described in this section, extends to the control and review of any outsourced activities and quality of purchased materials. The pharmaceutical company is ultimately responsible to ensure processes are in place to assure the control of outsourced activities and quality of purchased materials. These processes should incorporate quality risk management and include

- (a) Assessing, prior to outsourcing operations or selecting material suppliers, the suitability and competence of the other party to carry out the activity or provide the material using a defined supply chain (e.g., audits, material evaluations, qualification).
- (b) Defining the responsibilities and communication processes for quality-related activities of the involved parties. For outsourced activities, this should be included in a written agreement between the contract giver and contract acceptor.
- (c) Monitoring and review of the performance of the contract acceptor or the quality of the material from the provider and the identification and implementation of any needed improvements.
- (d) Monitoring incoming ingredients and materials to ensure they are from approved sources using the agreed supply chain.

H. MANAGEMENT OF CHANGE IN PRODUCT OWNERSHIP

When product ownership changes (e.g., through acquisitions), management should consider the complexity of this and ensure:

- (a) The ongoing responsibilities are defined for each company involved.
- (b) The necessary information is transferred.

III. CONTINUAL IMPROVEMENT OF PROCESS PERFORMANCE AND PRODUCT QUALITY

This section describes the lifecycle stage goals and the four specific pharmaceutical quality system elements that augment regional requirements to achieve the ICH Q10 objectives, as defined in section IE. It does not restate all regional GMP requirements.

A. LIFECYCLE STAGE GOALS

The goals of each product lifecycle stage are described below.

1. Pharmaceutical Development

The goal of pharmaceutical development activities is to design a product and its manufacturing process to consistently deliver the intended performance and meet the needs of

patients and health care professionals, and regulatory authorities' and internal customers' requirements. Approaches to pharmaceutical development are described in ICH Q8. The results of exploratory and clinical development studies, while outside the scope of this guidance, are inputs to pharmaceutical development.

2. Technology Transfer

The goal of technology transfer activities is to transfer product and process knowledge between development and manufacturing and within or between manufacturing sites to achieve product realization. This knowledge forms the basis for the manufacturing process, *control strategy*, process validation approach, and ongoing continual improvement.

3. Commercial Manufacturing

The goals of manufacturing activities include achieving product realization, establishing and maintaining a state of control, and facilitating continual improvement. The pharmaceutical quality system should assure that the desired product quality is routinely met, suitable process performance is achieved, the set of controls is appropriate, improvement opportunities are identified and evaluated, and the body of knowledge is continually expanded.

4. Product Discontinuation

The goal of product discontinuation activities is to manage the terminal stage of the product lifecycle effectively. For product discontinuation, a predefined approach should be used to manage activities such as retention of documentation and samples and continued product assessment (e.g., complaint handling and stability) and reporting in accordance with regulatory requirements.

B. PHARMACEUTICAL QUALITY SYSTEM ELEMENTS

The elements described below might be required in part under regional GMP regulations. However, the Q10 model's intent is to enhance these elements in order to promote the lifecycle approach to product quality. These four elements are:

- Process performance and product quality monitoring system
- Corrective action *and* preventive action (*CAPA*) system
- Change management system
- Management review of process performance and product quality

These elements should be applied in a manner that is appropriate and proportionate to each of the product lifecycle stages, recognizing the differences among, and the different goals of, each stage. Throughout the product lifecycle, companies are encouraged to evaluate opportunities for innovative approaches to improve product quality.

Each element is followed by a table of example applications of the element to the stages of the pharmaceutical lifecycle.

1. Process Performance and Product Quality Monitoring System

Pharmaceutical companies should plan and execute a system for the monitoring of process performance and product quality to ensure a state of control is maintained. An effective monitoring system provides assurance of the continued capability of processes and controls to produce a product of desired quality and to identify areas for continual improvement. The process performance and product quality monitoring system should (Table 3.1):

- (a) Use quality risk management to establish the control strategy. This can include parameters and attributes related to drug substance and drug product materials and components, facility and equipment operating conditions, in-process controls, finished product specifications, and the associated methods and frequency of monitoring and control. The control strategy should facilitate timely *feedback/feedforward* and appropriate corrective action and preventive action.
- (b) Provide the tools for measurement and analysis of parameters and attributes identified in the control strategy (e.g., data management and statistical tools).
- (c) Analyze parameters and attributes identified in the control strategy to verify continued operation within a state of control.
- (d) Identify sources of variation affecting process performance and product quality for potential continual improvement activities to reduce or control variation.
- (e) Include feedback on product quality from both internal and external sources, for example, complaints,

product rejections, nonconformances, recalls, deviations, audits and regulatory inspections, and findings.

- (f) Provide knowledge to enhance process understanding, enrich the *design space* (where established), and enable innovative approaches to process validation.

2. Corrective Action and Preventive Action System

The pharmaceutical company should have a system for implementing corrective actions and preventive actions resulting from the investigation of complaints, product rejections, nonconformances, recalls, deviations, audits, regulatory inspections and findings, and trends from process performance, and product quality monitoring. A structured approach to the investigation process should be used with the objective of determining the root cause. The level of effort, formality, and documentation of the investigation should be commensurate with the level of risk, in line with ICH Q9. CAPA methodology should result in product and process improvements and enhanced product and process understanding (Table 3.2).

3. Change Management System

Innovation, continual improvement, the outputs of process performance, and product quality monitoring and CAPA drive change. In order to evaluate, approve, and implement these changes properly, a company should have an effective change management system. There is generally a difference in formality of change management processes prior to the initial regulatory submission and after submission, where changes to the regulatory filing might be required under regional requirements (Table 3.3).

The change management system ensures continual improvement is undertaken in a timely and effective manner.

TABLE 3.1

Application of Process Performance and Product Quality Monitoring System throughout the Product Lifecycle

Pharmaceutical Development	Technology Transfer	Commercial Manufacturing	Product Discontinuation
Process and product knowledge generated and process and product monitoring conducted throughout development can be used to establish a control strategy for manufacturing.	Monitoring during scale-up activities can provide a preliminary indication of process performance and the successful integration into manufacturing. Knowledge obtained during transfer and scale-up activities can be useful in further developing the control strategy.	A well-defined system for process performance and product quality monitoring should be applied to assure performance within a state of control and to identify improvement areas.	Once manufacturing ceases, monitoring such as stability testing should continue to completion of the studies. Appropriate action on marketed product should continue to be executed according to regional regulations.

TABLE 3.2

Application of Corrective Action and Preventive Action System throughout the Product Lifecycle

Pharmaceutical Development	Technology Transfer	Commercial Manufacturing	Product Discontinuation
Product or process variability is explored. CAPA methodology is useful where corrective actions and preventive actions are incorporated into the iterative design and development process.	CAPA can be used as an effective system for feedback, feedforward, and continual improvement.	CAPA should be used, and the effectiveness of the actions should be evaluated.	CAPA should continue after the product is discontinued. The impact on product remaining on the market should be considered as well as other products, which might be impacted.

TABLE 3.3
Application of Change Management System throughout the Product Lifecycle

Pharmaceutical Development	Technology Transfer	Commercial Manufacturing	Product Discontinuation
Change is an inherent part of the development process and should be documented; the formality of the change management process should be consistent with the stage of pharmaceutical development.	The change management system should provide management and documentation of adjustments made to the process during technology transfer activities.	A formal change management system should be in place for commercial manufacturing. Oversight by the quality unit should provide assurance of appropriate science- and risk-based assessments.	Any changes after product discontinuation should go through an appropriate change management system.

It should provide a high degree of assurance that there are no unintended consequences of the change.

The change management system should include the following, as appropriate for the stage of the lifecycle:

- (a) Quality risk management should be utilized to evaluate proposed changes. The level of effort and formality of the evaluation should be commensurate with the level of risk.
- (b) Proposed changes should be evaluated relative to the marketing authorization, including design space, where established, and/or current product and process understanding. There should be an assessment to determine whether a change to the regulatory filing is required under regional requirements. As stated in ICH Q8, working within the design space is not considered a change (from a regulatory filing perspective). However, from a pharmaceutical quality system standpoint, all changes should be evaluated by a company's change management system.
- (c) Proposed changes should be evaluated by expert teams contributing the appropriate expertise and knowledge from relevant areas (e.g., Pharmaceutical Development, Manufacturing, Quality, Regulatory Affairs, and Medical), to ensure the change is technically justified. Prospective evaluation criteria for a proposed change should be set.
- (d) After implementation, an evaluation of the change should be undertaken to confirm the change

objectives were achieved and that there was no deleterious impact on product quality.

4. Management Review of Process Performance and Product Quality

Management review should provide assurance that process performance and product quality are managed over the lifecycle. Depending on the size and complexity of the company, management review can be a series of reviews at various levels of management and should include a timely and effective communication and escalation process to raise appropriate quality issues to senior levels of management for review (Table 3.4).

- (a) The management review system should include
 - (1) The results of regulatory inspections and findings, audits and other assessments, and commitments made to regulatory authorities.
 - (2) Periodic quality reviews, that can include
 - (i) Measures of customer satisfaction such as product quality complaints and recalls
 - (ii) Conclusions of process performance and product quality monitoring and
 - (iii) The effectiveness of process and product changes including those arising from corrective action and preventive actions
 - (3) Any follow-up actions from previous management reviews.
- (b) The management review system should identify appropriate actions, such as:

TABLE 3.4
Application of Management Review of Process Performance and Product Quality throughout the Product Lifecycle

Pharmaceutical Development	Technology Transfer	Commercial Manufacturing	Product Discontinuation
Aspects of management review can be performed to ensure adequacy of the product and process design.	Aspects of management review should be performed to ensure the developed product and process can be manufactured at commercial scale.	Management review should be a structured system, as described above, and should support continual improvement.	Management review should include such items as product stability and product quality complaints.

- (1) Improvements to manufacturing processes and products
- (2) Provision, training, and/or realignment of resources
- (3) Capture and dissemination of knowledge

IV. CONTINUAL IMPROVEMENT OF THE PHARMACEUTICAL QUALITY SYSTEM

This section describes activities that should be conducted to manage and continually improve the pharmaceutical quality system.

A. MANAGEMENT REVIEW OF THE PHARMACEUTICAL QUALITY SYSTEM

Management should have a formal process for reviewing the pharmaceutical quality system on a periodic basis. The review should include

- (a) Measurement of achievement of pharmaceutical quality system objectives
- (b) Assessment of performance indicators that can be used to monitor the effectiveness of processes within the pharmaceutical quality system, such as:
 - (1) Complaint, deviation, CAPA, and change management processes
 - (2) Feedback on outsourced activities
 - (3) Self-assessment processes including risk assessments, trending, and audits
 - (4) External assessments such as regulatory inspections and findings and customer audits

B. MONITORING OF INTERNAL AND EXTERNAL FACTORS IMPACTING THE PHARMACEUTICAL QUALITY SYSTEM

Factors monitored by management can include

- (a) Emerging regulations, guidance, and quality issues that can impact the pharmaceutical quality system
- (b) Innovations that might enhance the pharmaceutical quality system
- (c) Changes in business environment and objectives
- (d) Changes in product ownership

C. OUTCOMES OF MANAGEMENT REVIEW AND MONITORING

The outcome of management review of the pharmaceutical quality system and monitoring of internal and external factors can include

- (a) Improvements to the pharmaceutical quality system and related processes
- (b) Allocation or reallocation of resources and/or personnel training
- (c) Revisions to quality policy and quality objectives
- (d) Documentation and timely and effective communication of the results of the management review and actions, including escalation of appropriate issues to senior management

GLOSSARY

ICH and ISO definitions are used in ICH Q10 where they exist. For the purpose of ICH Q10, where the words “requirement,” “requirements,” or “necessary” appear in an ISO definition, they do not necessarily reflect a regulatory requirement. The source of the definition is identified in parentheses after the definition. Where no appropriate ICH or ISO definition was available, an ICH Q10 definition was developed.

Capability of a Process: Ability of a process to realize a product that will fulfil the requirements of that product. The concept of process capability can also be defined in statistical terms. (ISO 9000:2005)

Change Management: A systematic approach to proposing, evaluating, approving, implementing, and reviewing changes. (ICH Q10)

Continual Improvement: Recurring activity to increase the ability to fulfil requirements. (ISO 9000:2005)

Control Strategy: A planned set of controls, derived from current product and process understanding, that assures process performance and product quality. The controls can include parameters and attributes related to drug substance and drug product materials and components, facility and equipment operating conditions, in-process controls, finished product specifications, and the associated methods and frequency of monitoring and control. (ICH Q10)

Corrective Action: Action to eliminate the cause of a detected nonconformity or other undesirable situation. *Note:* Corrective action is taken to prevent recurrence whereas preventive action is taken to prevent occurrence. (ISO 9000:2005)

Design Space: The multidimensional combination and interaction of input variables (e.g., material attributes) and process parameters that have been demonstrated to provide assurance of quality. (ICH Q8)

Enabler: A tool or process which provides the means to achieve an objective. (ICH Q10)

Feedback: The modification or control of a process or system by its results or effects.

Feedforward: The modification or control of a process using its anticipated results or effects. (Oxford Dictionary of English. Oxford University Press; 2003)

Feedback/feedforward can be applied technically in process control strategies and conceptually in quality management. (ICH Q10)

Innovation: The introduction of new technologies or methodologies. (ICH Q10)

Knowledge Management: Systematic approach to acquiring, analyzing, storing, and disseminating information related to products, manufacturing processes, and components. (ICH Q10)

Outsourced Activities: Activities conducted by a contract acceptor under a written agreement with a contract giver. (ICH Q10)

Performance Indicators: Measurable values used to quantify quality objectives to reflect the performance of an organization, process, or system, also known as “performance metrics” in some regions. (ICH Q10)

Pharmaceutical Quality System (PQS): Management system to direct and control a pharmaceutical company with regard to quality. (ICH Q10 based upon ISO 9000:2005)

Preventive Action: Action to eliminate the cause of a potential nonconformity or other undesirable potential situation. *Note:* Preventive action is taken to prevent occurrence whereas corrective action is taken to prevent recurrence. (ISO 9000:2005)

Product Realization: Achievement of a product with the quality attributes appropriate to meet the needs of patients, health care professionals, and regulatory authorities (including compliance with marketing authorization) and internal customers’ requirements. (ICH Q10)

Quality: The degree to which a set of inherent properties of a product, system, or process fulfils requirements. (ICH Q9)

Quality Manual: Document specifying the quality management system of an organization. (ISO 9000:2005)

Quality Objectives: A means to translate the quality policy and strategies into measurable activities. (ICH Q10)

Quality Planning: Part of quality management focused on setting quality objectives and specifying necessary operational processes and related resources to fulfil the quality objectives. (ISO 9000:2005)

Quality Policy: Overall intentions and direction of an organization related to quality as formally expressed by senior management. (ISO 9000:2005)

Quality Risk Management: A systematic process for the assessment, control, communication, and review of risks to the quality of the drug (medicinal) product across the product lifecycle. (ICH Q9)

Senior Management: Person(s) who direct and control a company or site at the highest levels with the authority and responsibility to mobilize resources within the company or site. (ICH Q10 based in part on ISO 9000:2005)

State of Control: A condition in which the set of controls consistently provides assurance of continued process performance and product quality. (ICH Q10)

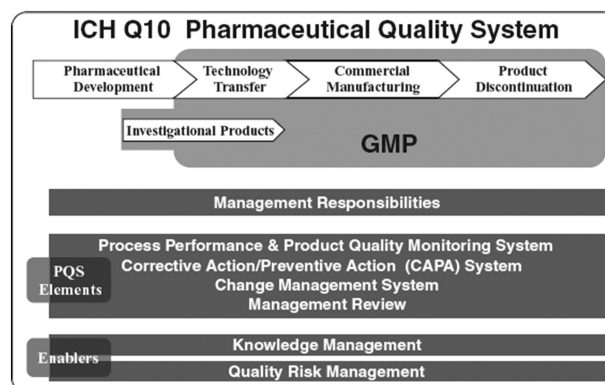
ANNEX 1

Potential Opportunities to Enhance Science- and Risk-Based Regulatory Approaches^a

Scenario	Potential Opportunity
1. Comply with GMPs	Compliance-status quo
2. Demonstrate effective pharmaceutical quality system, including effective use of quality risk management principles (e.g., ICH Q9 and ICH Q10)	Opportunity to <ul style="list-style-type: none"> Increase use of risk-based approaches for regulatory inspections
3. Demonstrate product and process understanding, including effective use of quality risk management principles (e.g., ICH Q8 and ICH Q9)	Opportunity to <ul style="list-style-type: none"> Facilitate science-based pharmaceutical quality assessment Enable innovative approaches to process validation and Establish real-time release mechanisms
4. Demonstrate effective pharmaceutical quality system and product and process understanding, including the use of quality risk management principles (e.g., ICH Q8, ICH Q9, and ICH Q10)	Opportunity to <ul style="list-style-type: none"> Increase use of risk-based approaches for regulatory inspections Facilitate science-based pharmaceutical quality assessment Optimize science- and risk-based post-approval change processes to maximize benefits from innovation and continual improvement Enable innovative approaches to process validation and Establish real-time release mechanisms

^a Note: This annex reflects potential opportunities to enhance regulatory approaches. The actual regulatory process will be determined by region.

ANNEX 2



This diagram illustrates the major features of the ICH Q10 pharmaceutical quality system (PQS) model. The PQS covers the entire lifecycle of a product including pharmaceutical development, technology transfer, commercial manufacturing, and product discontinuation as illustrated by the upper portion of the diagram. The PQS augments regional GMPs

as illustrated in the diagram. The diagram also illustrates that regional GMPs apply to the manufacture of investigational products.

The next horizontal bar illustrates the importance of management responsibilities explained in Section 2 to all stages of the product lifecycle. The following horizontal bar lists the PQS elements, which serve as the major pillars under the PQS model. These elements should be applied appropriately and

proportionally to each lifecycle stage recognizing opportunities to identify areas for continual improvement.

The bottom set of horizontal bars illustrates the enablers: Knowledge management and quality risk management, which are applicable throughout the lifecycle stages. These enablers support the PQS goals of achieving product realization, establishing and maintaining a state of control, and facilitating continual improvement.



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4 Pharmaceutical Development

I. INTRODUCTION

Where a company chooses to apply quality by design and quality risk management (ICH Q9, Quality Risk Management), linked to an appropriate pharmaceutical quality system, then opportunities arise to enhance science- and risk-based regulatory approaches, which is the subject of this chapter.

A. APPROACHES TO PHARMACEUTICAL DEVELOPMENT

In all cases, the product should be designed to meet patients' needs and the intended product performance. Strategies for product development vary from company to company and from product to product. The approach to, and extent of, development can also vary and should be outlined in the submission. An applicant might choose either an empirical approach or a more systematic approach to product development. An illustration of the potential contrasts of these approaches is shown in Appendix 1. A more systematic approach to development (also defined as quality by design) can include, for example, incorporation of prior knowledge, results of studies using design of experiments, use of quality risk management, and use of knowledge management (see ICH Q10) throughout the lifecycle of the product. Such a systematic approach can enhance the process to achieve quality and help the regulators to better understand a company's strategy. Product and process understanding can be updated with the knowledge gained over the product lifecycle.

A greater understanding of the product and its manufacturing process can create a basis for more flexible regulatory approaches. The degree of regulatory flexibility is predicated on the level of relevant scientific knowledge provided in the registration application. It is the knowledge gained and submitted to the authorities, and not the volume of data collected, that forms the basis for science- and risk-based submissions and regulatory evaluations. Nevertheless, appropriate data demonstrating that this knowledge is based on sound scientific principles should be presented with each application.

Pharmaceutical development should include, at a minimum, the following elements:

- Defining the target product profile as it relates to quality, safety, and efficacy, considering for example, the route of administration, dosage form, bioavailability, dosage, and stability
- Identifying critical quality attributes (CQAs) of the drug product, so that those product characteristics having an impact on product quality can be studied and controlled
- Determining the quality attributes of the drug substance, excipients etc., and selecting the type and amount of excipients to deliver drug product of the desired quality

- Selecting an appropriate manufacturing process
- Identifying a control strategy

An enhanced, quality by design approach to product development would additionally include the following elements:

- A systematic evaluation, understanding, and refining of the formulation and manufacturing process, including
 - Identifying, through, for example, prior knowledge, experimentation, and risk assessment, the material attributes and process parameters that can have an effect on product CQAs.
 - Determining the functional relationships that link material attributes and process parameters to product CQAs
- Using the enhanced process understanding in combination with quality risk management to establish an appropriate control strategy, which can, for example, include a proposal for design space(s) and/or real-time release.

As a result, this more systematic approach could facilitate continual improvement and innovation throughout the product lifecycle (see ICH Q10 Pharmaceutical Quality System).

II. ELEMENTS OF PHARMACEUTICAL DEVELOPMENT

The section that follows elaborates, by means of description and example, possible approaches to gaining a more systematic, enhanced understanding of the product and process under development. The examples given are purely illustrative and are not intended to create new regulatory requirements.

A. TARGET PRODUCT PROFILE

A target product profile is a prospective and dynamic summary of the quality characteristics of a drug product that ideally will be achieved to ensure that the desired quality, and hence the safety and efficacy, of a drug product is realized. The target product profile forms the basis of design for the development of the product.

Considerations for the target product profile should include

- Dosage form and route of administration
- Dosage form strength(s)
- Therapeutic moiety release or delivery and pharmacokinetic characteristics (e.g., dissolution; aerodynamic performance) appropriate to the drug product dosage form being developed
- Drug product quality criteria (e.g., sterility, purity) appropriate for the intended marketed product

B. CRITICAL QUALITY ATTRIBUTES

A CQA is a physical, chemical, biological, or microbiological property or characteristic that should be within an appropriate limit, range, or distribution to ensure the desired product quality. CQAs are generally associated with the drug substance, excipients, intermediates, and drug product.

Drug product CQAs include the properties that impart the desired quality, safety, and efficacy. CQAs of solid oral dosage forms are typically those aspects affecting product purity, potency, stability, and drug release. CQAs for other delivery systems can additionally include more product-specific aspects, such as aerodynamic properties for inhaled products, sterility for parenterals, and adhesive force for transdermal patches. For drug substances or intermediates, the CQAs can additionally include those properties (e.g., particle size distribution, bulk density) that affect downstream processability.

Drug product CQAs are used to guide the product and process development. Potential drug product CQAs can be identified from the target product profile and/or prior knowledge. The list of potential CQAs can be modified when the formulation and manufacturing process are selected and as product knowledge and process understanding increase. Quality risk management can be used to prioritize the list of potential CQAs for subsequent evaluation. Relevant CQAs can be identified by an iterative process of quality risk management and experimentation that assesses the extent to which their variation can have an impact on the quality of the drug product.

C. LINKING MATERIAL ATTRIBUTES AND PROCESS PARAMETERS TO CQAs—RISK ASSESSMENT

Risk assessment is a valuable science-based process used in quality risk management (see ICH Q9) that can aid in identifying which material attributes and process parameters have an effect on product CQAs. While the risk assessment is typically performed early in the pharmaceutical development, it can be helpful to repeat the risk assessment as information and greater knowledge become available.

Risk assessment tools can be used to identify and rank parameters (e.g., operational, equipment, input material) with potential to have an impact on product quality based on prior knowledge and initial experimental data. For an illustrative example, see Appendix 2. The initial list of potential parameters can be quite extensive but is likely to be narrowed as process understanding is increased. The list can be refined further through experimentation to determine the significance of individual variables and potential interactions. Once the significant parameters are identified, they can be further studied (e.g., through a combination of design of experiments, mathematical models, or studies that lead to mechanistic understanding) to achieve a higher level of process understanding.

D. DESIGN SPACE

The linkage between the process inputs (input variables and process parameters) and the critical quality attributes can be described in the design space.

1. Selection of Variables

The risk assessment and process development experiments described in Section 2.3 can not only lead to an understanding of the linkage and effect of process inputs on product CQAs but also help identify the variables and their ranges within which consistent quality can be achieved. These input variables can thus be selected for inclusion in the design space.

An explanation should be provided in the application to describe what variables were considered, how they affect the process and product quality, and which parameters were included or excluded in the design space. An input variable or process parameter need not be included in the design space if it has no effect on delivering CQAs when the input variable or parameter is varied over the full potential range of operation. The control of these variables would be under GMP. However, the knowledge gained from studies should be described in the submission.

2. Defining and Describing a Design Space in a Submission

A design space can be defined in terms of ranges of input variables or parameters or through more complex mathematical relationships. It is possible to define a design space as a time-dependent function (e.g., temperature and pressure cycle of a lyophilization cycle) or as a combination of variables such as principal components of a multivariate model. Scaling factors can also be included if the design space is intended to span multiple operational scales. Analysis of historical data can provide the basis for establishing a design space. Regardless of how a design space is developed, it is expected that operation within the design space will result in a product meeting the defined quality attributes.

Examples of different potential approaches to presentation of a design space are presented in Appendix 2.

3. Unit Operation Design Space(s)

The applicant can choose to establish independent design spaces for one or more unit operations or to establish a single design space that spans multiple operations. While a separate design space for each unit operation is often simpler to develop, a design space that spans the entire process can provide more operational flexibility. For example, in the case of a drug product that undergoes degradation in solution before lyophilization, the design space to control the extent of degradation (e.g., concentration, time, temperature) could be expressed for each unit operation or as a sum over all unit operations.

4. Relationship of Design Space to Scale and Equipment

When defining a design space, the applicant should keep in mind the type of operational flexibility desired. A design

space can be developed at small scale or pilot scale. The applicant should justify the relevance of a design space developed at small or pilot scale to the proposed production-scale manufacturing process and discuss the potential risks in the scale-up operation.

If the applicant wishes the design space to be applicable to multiple operational scales, the design space should be described in terms of relevant scale-independent parameters. For example, if a product was determined to be shear sensitive in a mixing operation, the design space could include shear rate, rather than agitation rate. Dimensionless numbers and/or models for scaling also can be included as part of the design space description.

The creation of a design space can be helpful for technology transfer or site changes. The subsequent regulatory processes will be region-specific.

5. Design Space vs. Proven Acceptable Ranges

A combination of proven acceptable ranges does not constitute a design space. However, proven acceptable ranges based on univariate experimentation can provide some knowledge about the process.

6. Design Space and Edge of Failure

It can be helpful to know where edges of failure could be or to determine potential failure modes. However, it is not an essential part of establishing a design space.

E. CONTROL STRATEGY

A control strategy is designed to consistently ensure product quality.

The elements of the control strategy discussed in Section P.2 of the dossier should describe and justify how in-process controls and the controls of input materials (drug substance and excipients), container closure system, intermediates, and end products contribute to the final product quality. These controls should be based on product, formulation, and process understanding and should include, at a minimum, control of the critical parameters and attributes.

A comprehensive pharmaceutical development approach will generate process and formulation understanding that identifies sources of variability. Critical sources of variability that can lead to product failures should be identified, appropriately understood, and managed or controlled. Understanding sources of variability and their impact on downstream processes or processing, intermediate products, and finished product quality can provide flexibility for shifting of controls upstream and minimize the need for end product testing. This process understanding, in combination with quality risk management (see ICH Q9), will support the control of process parameters so that the variability of raw materials can be compensated for in an adaptable process to deliver consistent product quality.

This process understanding enables an alternative, manufacturing paradigm where the variability of input materials

might not need to be tightly constrained. Instead, it can be possible to design an adaptive process step (a step that is responsive to the input materials) to ensure consistent product quality.

Enhanced understanding of product performance can justify the use of surrogate tests or support real-time release in lieu of end-product testing. For example, disintegration could serve as a surrogate for dissolution for fast-disintegrating solid forms with highly soluble drug substances. Unit dose uniformity performed in-process [e.g., using weight variation coupled with near infrared (NIR) assay] can enable real-time release and provide an increased level of quality assurance compared to the traditional end-product testing using compendial content uniformity standards.

Elements of a control strategy can include, but are not limited to, the following:

- Control of input material attributes (e.g., drug substance, excipients, primary packaging materials) based on an understanding of their impact on processability or product quality
- Product specification(s)
- Controls for unit operations that have an impact on downstream processing or end-product quality (e.g., the impact of drying on degradation, particle size distribution of the granulate on dissolution)
- In-process or real-time release in lieu of end-product testing
- A monitoring program (e.g., full product testing at regular intervals) for verifying multivariate prediction models

A control strategy can include redundant or alternative elements, if justified. For example, one element of the control strategy could rely on end-product testing, whereas an additional or alternative element could depend on real-time release using process analytical technology (PAT). The use of these alternative elements should be described in the submission.

Adoption of the principles in this guideline can support the justification of alternative approaches to the setting of specification attributes and acceptance criteria as described in Q6A and Q6B.

F. PRODUCT LIFECYCLE MANAGEMENT AND CONTINUAL IMPROVEMENT

Throughout the product lifecycle, companies have opportunities to evaluate innovative approaches to improve product quality (see ICH Q10).

For example, once approved, a design space provides the applicant flexibility to optimize and adjust a process as managed under their quality system. A design space is not necessarily static in nature and should be periodically reassessed to ensure that the process is working as anticipated to deliver product quality attributes. For certain design spaces using mathematical models (e.g., chemometrics models of NIR)

periodic maintenance could be essential to ensure the models' performance (e.g., checking calibration) or to update the model based upon additional data. Expansion, reduction, or redefinition of the design space could be desired upon gaining additional process information.

III. SUBMISSION OF PHARMACEUTICAL DEVELOPMENT AND RELATED INFORMATION IN CTD FORMATS

Pharmaceutical development information is submitted in Section P.2 of the Common Technical Document (CTD). Other information resulting from pharmaceutical development studies could be accommodated by the CTD format in a number of different ways, and some specific suggestions are provided below. Certain aspects (e.g., product lifecycle management, continual improvement) of this guidance are handled under the applicant's pharmaceutical quality system (see ICH Q10) and need not be submitted in the registration application.

A. QUALITY RISK MANAGEMENT AND PRODUCT AND PROCESS DEVELOPMENT

Quality risk management can be used at many different stages during product and process development and manufacturing implementation. The assessments used to guide and justify development decisions can be included in the relevant sections of P.2. For example, risk analyses and functional relationships linking material attributes to product CQAs can be included in P.2.1, P.2.2, and P.2.3. Risk analyses linking the design of the manufacturing process to product quality can be included in P.2.3.

B. DESIGN SPACE

As an element of the proposed manufacturing process, the design space(s) can be described in the section of the application that includes the description of the manufacturing process and process controls (P.3.3). If appropriate, additional information can be provided in the section of the application that addresses the controls of critical steps and intermediates (P.3.4). The relationship of the design space(s) to the overall control strategy can be explained in the section of the application that includes the justification of the drug product specification (P.5.6). The product and manufacturing process development sections of the application (P.2.1, P.2.2, and P.2.3) are appropriate places to summarize and describe product and process development studies that provide the basis for the design space(s).

C. CONTROL STRATEGY

The section of the application that includes the justification of the drug product specification (P.5.6) is a good place to summarize the control strategy. The summary

should be clear about the various roles played by different components of the control strategy. However, detailed information about input material controls and process controls should still be provided in the appropriate CTD format sections [e.g., drug substance section (S), control of excipients (P.4), description of manufacturing process and process controls (P.3.3), controls of critical steps and intermediates (P.3.4)].

D. DRUG SUBSTANCE RELATED INFORMATION

If drug substance CQAs have the potential to affect the CQAs or manufacturing process of the drug product, some discussion of drug substance CQAs can be appropriate in the pharmaceutical development section of the application (e.g., P.2.1).

GLOSSARY

Control Strategy: A planned set of controls, derived from current product and process understanding, that assures process performance and product quality. The controls can include parameters and attributes related to drug substance and drug product materials and components, facility and equipment operating conditions, in-process controls, finished product specifications, and the associated methods and frequency of monitoring and control (ICH Q10).

Critical Quality Attribute (CQA): A physical, chemical, biological, or microbiological property or characteristic that should be within an appropriate limit, range, or distribution to ensure the desired product quality.

Critical Process Parameter: A process parameter whose variability has an impact on a critical quality attribute and therefore should be monitored or controlled to ensure the process produces the desired quality.

Edge of Failure: The boundary to a variable or parameter, beyond which the relevant quality attributes or specification cannot be met.

Proven Acceptable Range: A characterized range of a process parameter for which operation within this range, while keeping other parameters constant, will result in producing a material meeting relevant quality criteria.

Quality by Design: A systematic approach to development that begins with predefined objectives and emphasizes product and process understanding and process control, based on sound science and quality risk management.

Real-time release: The ability to evaluate and ensure the acceptable quality of in-process and/or final product based on process data, which typically include a valid combination of assessed material attributes and process controls.

APPENDIX 1: DIFFERING APPROACHES TO PHARMACEUTICAL DEVELOPMENT^a

Aspect	Minimal Approach	Enhanced, Quality by Design Approach
Overall pharmaceutical development	<ul style="list-style-type: none"> Mainly empirical Developmental research often conducted one variable at a time 	<ul style="list-style-type: none"> Systematic, relating mechanistic understanding of input material attributes and process parameters to drug product CQAs Multivariate experiments to understand product and process Establishment of design space PAT tools utilized
Manufacturing process	<ul style="list-style-type: none"> Fixed Validation primarily based on initial full-scale batches Focus on optimization and reproducibility 	<ul style="list-style-type: none"> Adjustable within design space Lifecycle approach to validation and, ideally, continuous process verification Focus on control strategy and robustness Use of statistical process control methods
Process controls	<ul style="list-style-type: none"> In-process tests primarily for go/no-go decisions Off-line analysis 	<ul style="list-style-type: none"> PAT tools utilized with appropriate feedforward and feedback controls Process operations tracked and trended to support continual improvement efforts post-approval
Product specifications	<ul style="list-style-type: none"> Primary means of control Based on batch data available at time of registration 	<ul style="list-style-type: none"> Part of the overall quality control strategy Based on desired product performance with relevant supportive data
Control strategy	<ul style="list-style-type: none"> Drug product quality controlled primarily by intermediate and end-product testing 	<ul style="list-style-type: none"> Drug product quality ensured by risk-based control strategy for well-understood product and process Quality controls shifted upstream, with the possibility of real-time release or reduced end-product testing
Lifecycle management	<ul style="list-style-type: none"> Reactive (i.e., problem solving and corrective action) 	<ul style="list-style-type: none"> Preventive action Continual improvement facilitated

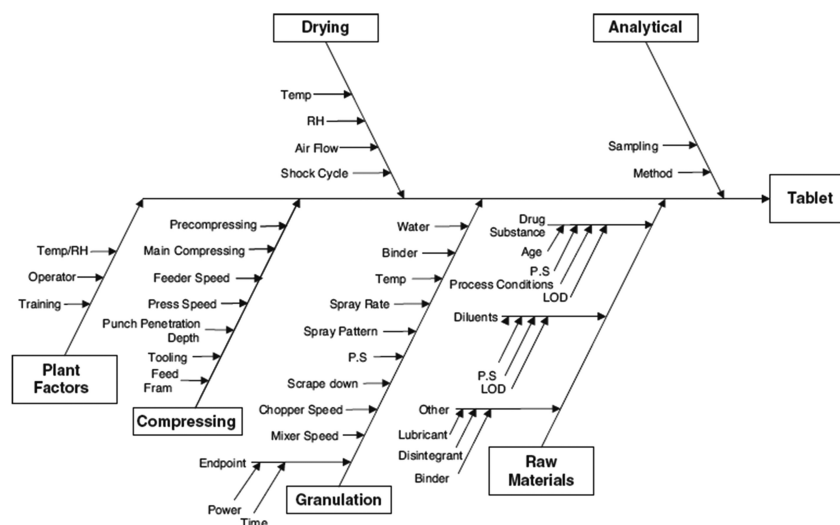
^a Note: This table is intended only to illustrate some potential contrasts between what might be considered a minimal approach and an enhanced approach regarding different aspects of pharmaceutical development and lifecycle management. It is not intended to specifically define the approach. Current practices in the pharmaceutical industry vary and typically lie between these approaches.

APPENDIX 2: ILLUSTRATIVE EXAMPLES

EXAMPLE OF USE OF A RISK ASSESSMENT TOOL

For example, a cross-functional team of experts could work together to develop an Ishikawa (fishbone) diagram that identifies all potential variables which can have an impact on the desired quality attribute. The team could then rank the variables based on probability, severity, and detectability using failure mode effect analysis (FMEA) or similar tools based

on prior knowledge and initial experimental data. Design of experiments or other experimental approaches could then be used to evaluate the impact of the higher ranked variables, to gain greater understanding of the process, and to develop a proper control strategy.



Ishikawa Diagram

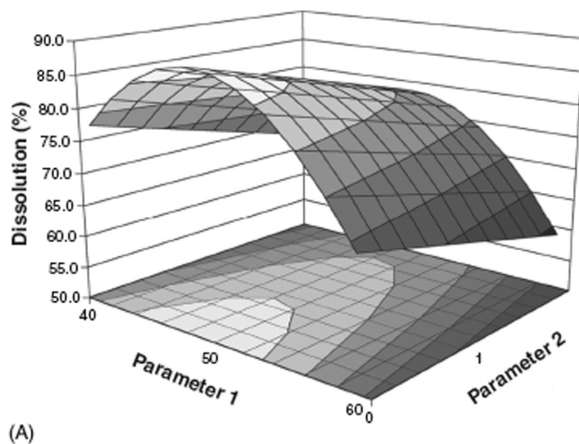
EXAMPLE OF DEPICTION OF INTERACTIONS

Figure 4.1 depicts the effect of interactions, or lack thereof, between three process parameters on the level of degradation product Y. The figure shows a series of two-dimensional plots showing the effect of interactions among three process parameters (initial moisture content, temperature, mean particle size) of the drying operation of a granulate (drug product intermediate) on degradation product Y. The relative slopes of the lines or curves within a plot indicate if interaction is present. In this example, initial moisture content and temperature are interacting, but initial moisture content and mean particle size are not, nor are temperature and mean particle size.

ILLUSTRATIVE EXAMPLES OF PRESENTATION OF DESIGN SPACE

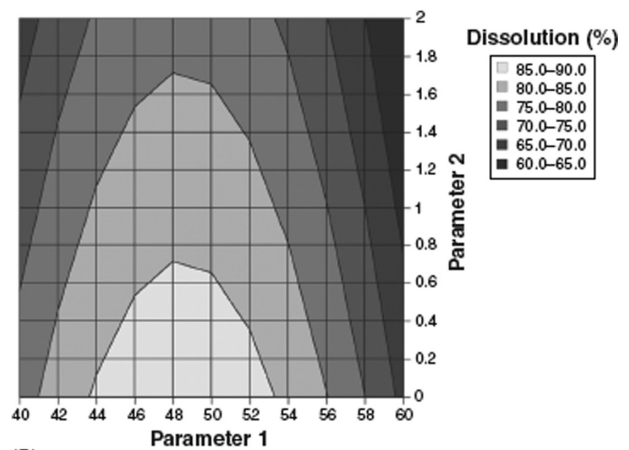
Where multiple parameters are involved, the design space can be presented for two parameters, in a manner similar to the examples shown above, at different values (e.g., high, middle, low) within the range of the third parameter, the fourth parameter, and so on. A stacked plot of these design spaces can be considered, if appropriate (see Figures 4.1, 4.2 and 4.3).

- Parameter 1 has a range of 41 to 56.
- Parameter 2 has a lower limit of 0 and an upper limit that is a function of parameter.
- Parameter 1 has a range of 44 to 53.
- Parameter 2 has a range of 0 to 1.1.

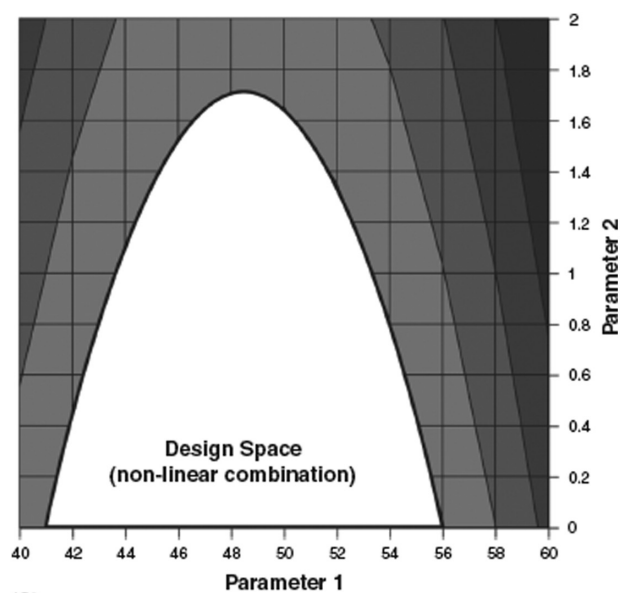


(A)

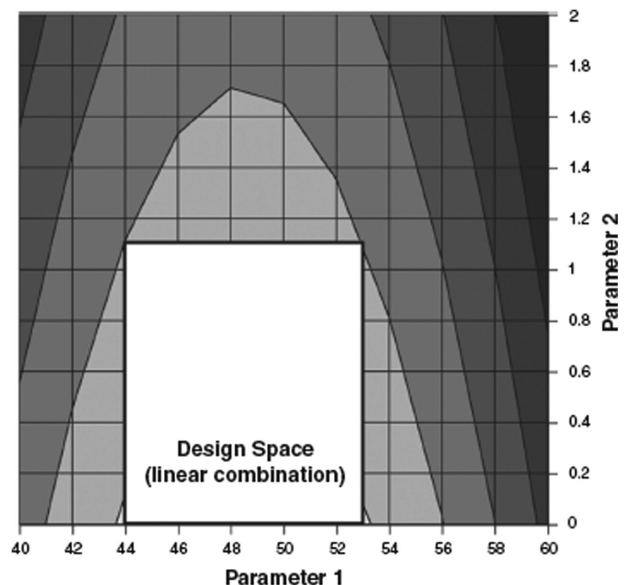
FIGURE 4.1 Design space described with the aid of response surface plot (A) or contour plot (B) and defined by nonlinear (C) or linear combination (D) of process parameter ranges. In this example, the effects of the two parameters are additive, but the two parameters do not interact. (A) Response surface plot of dissolution as a function of two parameters of a granulation operation. Dissolution above 80% is desired. (B) Contour plot of dissolution from Example 1A. (C) Design space for granulation parameters, defined by a nonlinear combination of their ranges, that delivers satisfactory dissolution (i.e., >80%). In this example, the design space can be optionally expressed by equations that describe the boundaries, that is. (D) Design space for granulation parameters, defined by a linear combination of their ranges, that delivers satisfactory dissolution (i.e., >80%). This design space is a subset of the nonlinear design space from Example 1C and can be optionally expressed as shown in Figure 4.2.



(B)



(C)



(D)

FIGURE 4.1 (Continued)

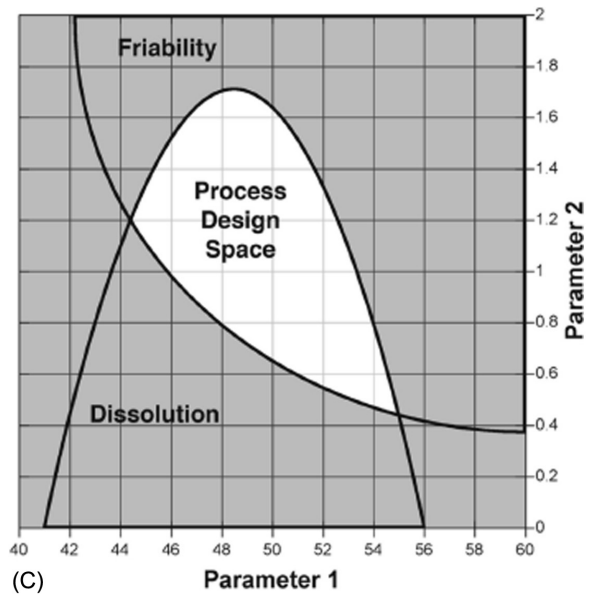
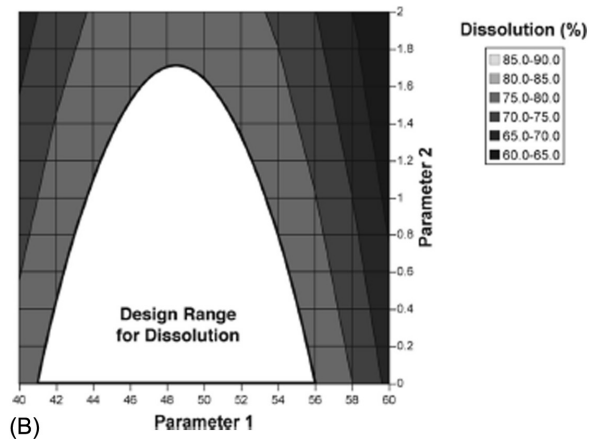
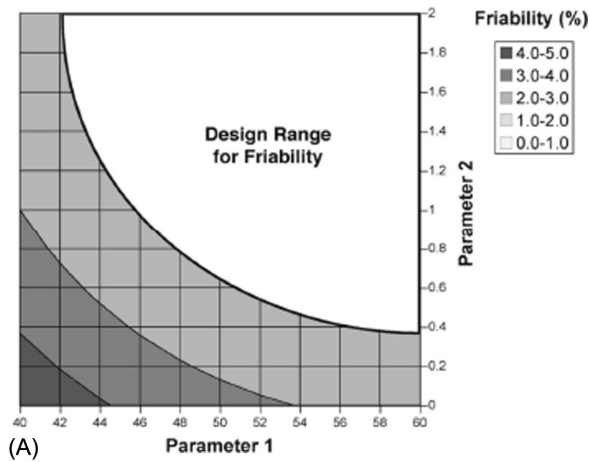


FIGURE 4.2 Design space determined from the common region of successful operating ranges for multiple CQAs. The relations of two CQAs, that is, friability and dissolution, to two process parameters of a granulation operation are shown in (A) and (B). (C) The overlap of these regions and the maximum ranges of the potential design space. (A) Contour plot of friability as a function of parameters 1 and 2. (B) Contour plot of dissolution as a function of parameters 1 and 2. (C) Potential process design space, comprised of the overlap region of design ranges for friability and or dissolution.

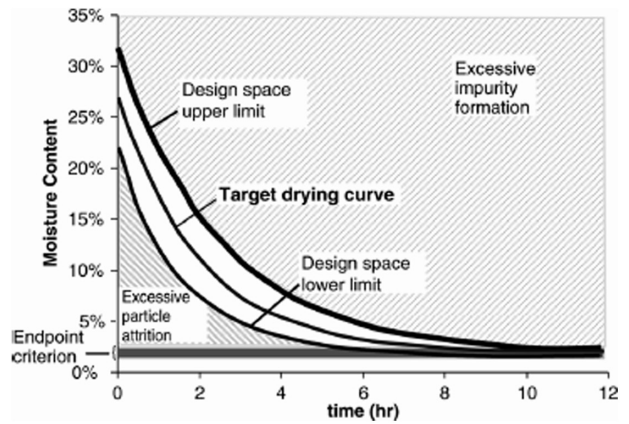


FIGURE 4.3 The design space for a drying operation that is dependent upon the path of temperature and/or pressure over time. The end point for moisture content is 1–2%. Operating above the upper limit of the design space can cause excessive impurity formation, while operating below the lower limit of the design space can result in excessive particle attrition.



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5 Scale-Up and Post-Approval Changes for Nonsterile Semisolid Dosage Forms: Manufacturing Equipment

To ensure continuing product quality and performance characteristics of the semisolid topical formulations, regulatory approvals are required for changes to

1. Components or composition
2. Manufacturing (process and equipment)
3. Scale-up/scale-down of manufacture and
4. Site of manufacture of a semisolid formulation during the post-approval period

It is important to define

1. The levels of change
2. Recommended chemistry, manufacturing, and controls tests to support each level of change
3. Recommended in vitro release tests or in vivo bioequivalence tests to support each level of change
4. Documentation to support the change

The effect that scale-up and post-approval changes may have on the stability of the drug product should be evaluated. For general guidance on conducting stability studies, see the FDA *Guideline for Submitting Documentation for the Stability of Human Drugs and Biologics*. For scale-up and post-approval changes submissions, the following points should also be considered:

- A. In most cases, except those involving scale-up, stability data from pilot scale batches will be acceptable to support the proposed change.
- B. Where stability data show a trend toward potency loss or degradant increase under accelerated conditions, it is recommended that historical accelerated stability data from a representative pre-change batch be submitted for comparison. It is also recommended that under these circumstances, all available long-term data on test batches from ongoing studies be provided in the supplement. Submission of historical accelerated and available long-term data would facilitate review and approval of the supplement.
- C. A commitment should be included to conduct long-term stability studies through the expiration dating period, according to the approved protocol, on either the first or first three (see below for details) production batches and to report the results in subsequent annual reports.

Definition of level 1 changes are those that are unlikely to have any detectable effect on formulation quality and performance. Examples:

- A. Deletion or partial deletion of an ingredient intended to affect the color, fragrance, or flavor of the drug product.
- B. Any change in an excipient up to 5% of approved amount of that excipient. The total additive effect of all excipient changes should not be more than 5%. Changes in the composition should be based on the approved target composition and not on previous level 1 changes in the composition. A change in diluent (q.s. excipient) caused by component and composition changes in excipient may be made and is excluded from the 5% change limit.
- C. Change in a supplier of a structure-forming excipient that is primarily a single chemical entity (purity 95%) or change in a supplier or technical grade of any other excipient.

Level 2 changes are defined as those that could have a significant effect on formulation quality and performance. Examples:

- A. Changes of >5% and <10% of approved amount of an individual excipient; the total additive effect of all excipient changes should not be more than 10%.
- B. Changes in the composition should be based on the approved target composition and not on previous level 1 or level 2 changes in the composition.
- C. Changes in diluent (q.s. excipient) caused by component and composition changes in excipients are acceptable and are excluded from the 10% change limit.
- D. Change in supplier of a structure-forming excipient not covered under level 1.
- E. Change in the technical grade of structure-forming excipient.
- F. Change in particle size distribution of the drug substance if the drug is in suspension.

Level 3 changes are defined as those that are likely to have a significant effect on formulation quality and performance. Examples:

- A. Any qualitative and quantitative changes in an excipient beyond the ranges noted in level 2 change.
- B. Change in crystalline form of the drug substance, if the drug is in suspension.

I. PRESERVATIVE

For semisolid products, any change in the preservative may affect the quality of the product. If any quantitative or qualitative changes are made in the formulation, additional testing should be performed. No in vitro release documentation or in vivo bio-equivalence documentation is needed for preservative changes.

II. MANUFACTURING CHANGES

Manufacturing changes may affect both equipment used in the manufacturing process and the process itself. A level 1 change is a change from nonautomated or nonmechanical equipment to automated or mechanical equipment to transfer ingredients or a change to alternative equipment of the same design and operating principles. A level 2 change is a change in equipment to a different design or different operating principles or a change in type of mixing equipment, such as high shear to low shear and vice versa. No level 3 changes are anticipated in this category.

III. PROCESS

Level 1 changes include changes such as rate of mixing, mixing times, operating speeds, and holding times within approved application ranges, in addition to the order of addition of components (excluding actives) to either the oil or water phase. Level 2 changes include changes such as rate of mixing, mixing times, rate of cooling, operating speeds, and holding times outside approved application ranges for all dosage forms in addition to any changes in the process of combining the phases. No level 3 changes are anticipated in this category.

A. BATCH SIZE (SCALE-UP OR SCALE-DOWN)

The minimum batch size for the NDA pivotal clinical trial batch or the ANDA/AADA biobatch is at least 100 kg or 10% of a production batch, whichever is larger. All scale changes should be properly validated and may be inspected by appropriate agency personnel. Level 1 changes in batch size are those up to and including a factor of ten times the size of the pivotal clinical trial or biobatch, where the equipment used to produce the test batch or batches is of the same design and operating principles, the batch or batches are manufactured in full compliance with current good manufacturing practice (cGMPs), and the same standard operating procedures (SOPs) and controls, as well as the same formulation and manufacturing procedures, are used on the test batch or batches and on the full-scale production batch or batches. Level 2 changes in batch size are those from beyond a factor of ten times the size of the pivotal clinical trial or biobatch, where the equipment used to produce the test batch or batches is of the same design and operating principles, the batch or batches is manufactured in full compliance with cGMPs, and the same SOPs and controls, as well as the same formulation and manufacturing procedures, are used on the test batch or batches and on the full-scale production batch or batches. No level 3 changes are anticipated in this category.

IV. MANUFACTURING SITE

Manufacturing site changes consist of changes in location in the site of manufacture, packaging and filling operations, or testing for both company-owned and contract manufacturing facilities, and they do not include any other level 2 or 3 changes, for example, changes in scale, manufacturing (including process or equipment), and components or composition. New manufacturing locations should have had a satisfactory cGMP inspection within the past 2 years. A stand-alone analytical testing laboratory site change may be submitted as a Changes Being Effectuated Supplement if the new facility has a current and satisfactory cGMP compliance profile with the FDA for the type of testing operation in question. The supplement should contain a commitment to use the same test methods employed in the approved application, written certification from the testing laboratory stating that they are in conformance with cGMPs, and a full description of the testing to be performed by the testing laboratory. If the facility has not received a satisfactory cGMP inspection for the type of testing involved, a prior approval supplement is recommended. No stability data are needed for a change in a stand-alone analytical facility. Level 1 changes consist of site changes within a single facility where the same equipment, SOPs, environmental conditions (e.g., temperature and humidity) and controls, and personnel common to both manufacturing sites are used and where no changes are made to the manufacturing batch records, except for administrative information and the location of the facility. "Common" is defined as employees already working on the campus who have suitable experience with the manufacturing process.

Level 2 changes consist of site changes within a contiguous campus or between facilities in adjacent city blocks, where similar equipment, SOPs, environmental conditions (e.g., temperature and humidity) and controls, and personnel common to both manufacturing sites are used and where no changes are made to the manufacturing batch records, except for administrative information and the location of the facility. Level 3 changes consist of a site change in manufacturing site to a different campus. A different campus is defined as one that is not on the same original contiguous site or where the facilities are not in adjacent city blocks. To qualify as a level 3 change, similar equipment, SOPs, environmental conditions, and controls should be used in the manufacturing process at the new site. Changes should not be made to the manufacturing batch records except when consistent with other level 1 changes. Administrative information, location, and language translation may be revised as needed. Any change to a new contract manufacturer also constitutes a level 3 change.

MANUFACTURING EQUIPMENT

I. INTRODUCTION

Any equipment changes should be validated in accordance with cGMPs. The resulting data will be subject to examination by field investigators during routine GMP inspections.

The information here is presented in broad categories of unit operation (particle size reduction or separation, mixing, emulsification, deaeration, transfer, and packaging).

Under scale-up and post-approval changes (semisolid) (SUPAC-SS), equipment within the same class and subclass are considered to have the same design and operating principle. For example, a change from a planetary mixer from manufacturer A to another planetary mixer from manufacturer B would not represent a change in design or operating principle and would be considered the same.

A change from equipment in one class to equipment in a different class would usually be considered a change in design and operating principle. For example, a change from a planetary mixer to a dispersator mixer demonstrates a change in operating principle from low-shear convection mixing to high-shear convection mixing. These types of equipment would be considered different under SUPAC-SS.

Applicants should carefully consider and evaluate on a case-by-case basis changes in equipment that are in the same class but different subclasses. In many situations, these changes in equipment would be considered similar. For example, in Section III, Mixing, under the convection mixers, low shear, a change from an impeller mixer (subclass) to a planetary mixer (subclass) represents a change within a class and between subclasses. Provided the manufacturing process with the new equipment is validated, this change would likely not need a Changes Being Effectuated Supplement. At the time of such a change the applicant should have available the scientific data and rationale used to make this determination. It is up to the applicant to determine the filing category.

II. PARTICLE SIZE REDUCTION AND SEPARATION

A. DEFINITIONS

1. Unit Operations

a. Particle Size Reduction

Particle size reduction is the mechanical process of breaking particles into smaller pieces via one or more size-reduction mechanisms. The mechanical process used is generally referred to as milling.

i. Particle A particle is either a discrete crystal or a grouping of crystals, generally known as an agglomerate.

ii. Particle Size Reduction Mechanisms

- Impact—particle size reduction caused by applying an instantaneous force perpendicular to the particle or agglomerate surface; the force can result from particle-to-particle or particle-to-mill surface collision.
- Attrition—particle size reduction by applying force parallel to the particle surface.
- Compression—particle size reduction by applying a force slowly (as compared with impact) to the particle surface toward the center of the particle.

- Cutting—particle size reduction by applying a shearing force to a material.

b. Particle Separation

Particle separation is particle size classification according to particle size alone.

2. Operating Principles

a. Fluid Energy Milling

Fluid energy milling is particle size reduction by high speed particle-to-particle impact or attrition (also known as micronizing).

b. Impact Milling

Particle size reduction by high-speed mechanical impact or impact with other particles (also known as milling, pulverizing, or comminuting) is known as impact milling.

c. Cutting

Cutting is particle size reduction by mechanical shearing.

d. Compression Milling

Particle size reduction by compression stress and shear between two surfaces is known as compression milling.

e. Screening

Particle size reduction by mechanically induced attrition through a screen (commonly referred to as milling or deagglomeration) is called screening.

f. Tumble Milling

Tumble milling is particle size reduction by attrition, using grinding media.

g. Separating

Particle segregation based on size alone, without any significant particle size reduction (commonly referred to as screening or bolting), is also known as separating.

B. EQUIPMENT CLASSIFICATIONS

1. Fluid Energy Mills

Fluid energy mill subclasses have no moving parts and primarily differ in the configuration or shape of their chambers, nozzles, and classifiers.

- Fixed target
- Fluidized bed
- Loop or oval
- Moving target
- Opposed jet
- Opposed jet with dynamic classifier
- Tangential jet

2. Impact Mills

Impact mill subclasses primarily differ in the configuration of the grinding heads, chamber grinding liners (if any), and classifiers.

- Cage
- Hammer air swept
- Hammer conventional
- Pin or disc

3. Cutting Mills

Although cutting mills can differ in whether the knives are movable or fixed, and in classifier configuration, no cutting mill subclasses have been identified.

4. Compression Mills

Although compression mills, also known as roller mills, can differ in whether one or both surfaces move, no compression mill subclasses have been identified.

5. Screening Mills

Screening mill subclasses primarily differ in the rotating element.

- Oscillating bar
- Rotating impeller
- Rotating screen

6. Tumbling Mills

Tumbling mill subclasses primarily differ in the grinding media used and whether the mill is vibrated.

- Ball media
- Rod media
- Vibrating

7. Separators

Separator subclasses primarily differ in the mechanical means used to induce particle movement.

- Centrifugal
- Vibratory or shaker

Note that if a single piece of equipment is capable of performing multiple discrete unit operations, it has been evaluated solely for its ability to affect particle size or separation.

III. MIXING

A. DEFINITIONS

1. Unit Operation

Mixing is the reorientation of particles relative to one another to achieve uniformity or randomness. This process can include wetting of solids by a liquid phase, dispersion of discrete particles, or deagglomeration into a continuous phase. Heating and cooling via indirect conduction may be used in this operation to facilitate phase mixing or stabilization.

2. Operating Principles

a. Convection Mixing, Low Shear

Convection mixing, low shear, is a mixing process with a repeated pattern of cycling material from top to bottom

in which dispersion occurs under low power per unit mass through rotating low shear forces.

b. Convection Mixing, High Shear

Convection mixing, high shear, is a mixing process with a repeated pattern of cycling material from top to bottom in which dispersion occurs under high power per unit mass through rotating high shear forces.

c. Roller Mixing (Milling)

Also known as milling, roller mixing is a mixing process by high mechanical shearing action where compression stress is achieved by passing material between a series of rotating rolls. This is commonly referred to as compression or roller milling.

d. Static Mixing

In static mixing, material passes through a tube with stationary baffles. The mixer is generally used in conjunction with an in-line pump.

B. EQUIPMENT CLASSIFICATION

1. Convection Mixers, Low Shear

This group of mixers normally operates under low-shear conditions and is broken down by impeller design and movement. Design can also include a jacketed vessel to facilitate heat transfer.

- Anchor or sweepgate
- Impeller
- Planetary

2. Convection Mixers, High Shear

These mixers normally operate only under high-shear conditions. Subclasses are differentiated by how the high shear is introduced into the material, such as by a dispersator with serrated blades or homogenizer with rotor stator.

- Dispersator
- Rotor stator

3. Roller Mixers (Mills)

No roller mixer subclasses have been identified.

4. Static Mixers

No static mixer subclasses have been identified.

Note that if a single piece of equipment is capable of performing multiple discrete unit operations, it has been evaluated solely for its ability to mix materials.

5. Low-Shear Emulsifiers

Although low-shear emulsification equipment (mechanical stirrers or impellers) can differ in the type of fluid flow imparted to the mixture (axial-flow propeller or radial-flow turbines), no subclasses have been defined.

IV. TRANSFER

A. DEFINITIONS

1. Unit Operation

Transfer is the controlled movement or transfer of materials from one location to another.

2. Operating Principles

a. Passive

Passive transfer is the movement of materials across a non-mechanically induced pressure gradient, usually through a conduit or pipe.

b. Active

The movement of materials across a mechanically induced pressure gradient, usually through conduit or pipe, is known as active transfer.

B. EQUIPMENT CLASSIFICATION

1. Low Shear

Equipment used for active or passive material transfer, with a low degree of induced shear, is classified as “low-shear” equipment:

- Diaphragm
- Gravity
- Peristaltic
- Piston
- Pneumatic
- Rotating lobe
- Screw or helical screw

2. High Shear

Active or mechanical material transfer with a high degree of induced shear is performed by what is known as “high-shear” equipment:

- Centrifugal or turbine
- Piston
- Rotating gear

A single piece of equipment can be placed in either a low- or high-shear class, depending on its operating parameters. If a single piece of equipment is capable of performing multiple discrete unit operations, the unit has been evaluated solely for its ability to transfer materials.

V. PACKAGING

A. DEFINITIONS

1. Unit Operation

a. Holding

The process of storing product after completion of manufacturing process and before filling final primary packs is known as holding.

b. Transfer

Transfer is the process of relocating bulk finished product from holding to filling equipment using pipe, hose, pumps, or other associated components.

c. Filling

Filling is the delivery of target weight or volume of bulk finished product to primary pack containers.

d. Sealing

A device or process for closing or sealing primary pack containers, known collectively as sealing, follows the filling process.

2. Operating Principles

a. Holding

The storage of liquid, semisolids, or product materials in a vessel that may or may not have temperature control or agitation is called holding.

b. Transfer

The controlled movement or transfer of materials from one location to another is known as transfer.

c. Filling

Filling operating principles involve several associated subprinciples. The primary package can be precleaned to remove particulates or other materials by the use of ionized air, vacuum, or inversion. A holding vessel equipped with an auger, gravity, or pressure material feeding system should be used. The vessel may or may not be able to control temperature or agitation. Actual filling of the dosage form into primary containers can involve a metering system based on an auger, gear, orifice, peristaltic, or piston pump. A headspace blanketing system can also be used.

d. Sealing

Primary packages can be sealed using a variety of methods, including conducted heat and electromagnetic (induction or microwave) or mechanical manipulation (crimping or torquing).

B. EQUIPMENT CLASSIFICATION

1. Holders

Although holding vessels can differ in their geometry and ability to control temperature or agitation, their primary differences are based on how materials are fed. Feeding devices include the following:

- Auger
- Gravity
- Pneumatic (nitrogen, air, etc.)

2. Fillers

The primary differences in filling equipment are based on how materials are metered. Different varieties of filling equipment include the following:

- Auger
- Gear pump

- Orifice
- Peristaltic pump
- Piston

3. Sealers

The differences in primary container sealing are based on how energy is transferred or applied. Energy transfer can be accomplished via the following:

- Heat
- Induction
- Microwave
- Mechanical or crimping
- Torque

6 Good Manufacturing Practice Guide for Active Pharmaceutical Ingredients

1. INTRODUCTION

1.1. OBJECTIVE

This document (Guide) is intended to provide guidance regarding good manufacturing practice (GMP) for the manufacturing of active pharmaceutical ingredients (APIs) under an appropriate system for managing quality. It is also intended to help ensure that APIs meet the requirements for quality and purity that they purport or are represented to possess.

In this Guide “manufacturing” is defined to include all operations of receipt of materials, production, packaging, repackaging, labeling, relabeling, quality control (QC), release, storage and distribution of APIs, and the related controls. In this Guide the term “should” indicates recommendations that are expected to apply unless shown to be inapplicable or replaced by an alternative demonstrated to provide at least an equivalent level of quality assurance (QA). For the purposes of this Guide, the terms “current good manufacturing practices” and “good manufacturing practices” are equivalent.

The Guide as a whole does not cover safety aspects for the personnel engaged in the manufacture, nor aspects of protection of the environment. These controls are inherent responsibilities of the manufacturer and are governed by national laws.

This Guide is not intended to define registration/filing requirements or modify pharmacopoeial requirements. This Guide does not affect the ability of the responsible regulatory agency to establish specific registration/filing requirements regarding APIs within the context of marketing/manufacturing authorizations or drug applications. All commitments in registration/filing documents must be met.

1.2. REGULATORY APPLICABILITY

Within the world community, materials may vary as to the legal classification as an API. When a material is classified as an API in the region or country in which it is manufactured or used in a drug product, it should be manufactured according to this Guide.

1.3. SCOPE

This Guide applies to the manufacture of APIs for use in human drug (medicinal) products. It applies to the manufacture of sterile APIs only up to the point immediately prior to the APIs being rendered sterile. The sterilization and aseptic processing of sterile APIs are not covered by this guidance but should be performed in accordance with GMP guidelines for drug (medicinal) products as defined by local authorities.

This Guide covers APIs that are manufactured by chemical synthesis, extraction, cell culture/fermentation, by recovery from natural sources, or by any combination of these processes. Specific guidance for APIs manufactured by cell culture/fermentation is described in Section 18.

This Guide excludes all vaccines, whole cells, whole blood and plasma, blood and plasma derivatives (plasma fractionation), and gene therapy APIs. However, it does include APIs that are produced using blood or plasma as raw materials. Note that cell substrates (mammalian, plant, insect or microbial cells, tissue or animal sources including transgenic animals) and early process steps may be subject to GMP but are not covered by this Guide. In addition, the Guide does not apply to medical gases, bulk-packaged drug (medicinal) products, and manufacturing/control aspects specific to radiopharmaceuticals.

Section 19 contains guidance that only applies to the manufacture of APIs used in the production of drug (medicinal) products specifically for clinical trials (investigational medicinal products).

An “API Starting Material” is a raw material, intermediate, or an API that is used in the production of an API and that is incorporated as a significant structural fragment into the structure of the API. An API Starting Material can be an article of commerce, a material purchased from one or more suppliers under contract or commercial agreement, or produced in-house. API Starting Materials normally have defined chemical properties and structure.

The company should designate and document the rationale for the point at which production of the API begins. For synthetic processes, this is known as the point at which “API Starting Materials” are entered into the process. For other processes (e.g., fermentation, extraction, purification), this rationale should be established on a case-by-case basis. Table 6.1 gives guidance on the point at which the API Starting Material is normally introduced into the process.

From this point on, appropriate GMP as defined in this Guide should be applied to these intermediate and/or API manufacturing steps. This would include the validation of critical process steps determined to impact the quality of the API. However, it should be noted that the fact that a company chooses to validate a process step does not necessarily define that step as critical.

The guidance in this document would normally be applied to the steps shown in gray in Table 6.1. It does not imply that all steps shown should be completed. The stringency of GMP in API manufacturing should increase as the process proceeds from early API steps to final steps, purification, and packaging. Physical processing of APIs, such as granulation, coating, or

TABLE 6.1
Application of This Guide to API Manufacturing

Type of Manufacturing		Application of This Guide to Steps (Shown in Gray) Used in This Type of Manufacturing			
		Introduction of the API Starting Material into process	Production of intermediate(s)	Isolation and purification	Physical processing and packaging
Chemical manufacturing	Production of the API Starting Material	Introduction of the API Starting Material into process	Production of intermediate(s)	Isolation and purification	Physical processing and packaging
API derived from animal sources	Collection of organ, fluid, or tissue	Cutting, mixing, and/or initial processing	Introduction of the API Starting Material into process	Isolation and purification	Physical processing and packaging
API extracted from plant sources	Collection of plants	Cutting and initial extraction(s)	Introduction of the API Starting Material into process	Isolation and purification	Physical processing and packaging
Herbal extracts used as API	Collection of plants	Cutting and initial extraction		Further extraction	Physical processing and packaging
API consisting of comminuted or powdered herbs	Collection of plants and/or cultivation and harvesting	Cutting/comminuting			Physical processing and packaging
Biotechnology: Fermentation/cell culture	Establishment of master cell bank and working cell bank	Maintenance of working cell bank	Cell culture and/or fermentation	Isolation and purification	Physical processing and packaging
“Classical” fermentation to produce an API	Establishment of cell bank	Maintenance of the cell bank	Introduction of the cells into fermentation	Isolation and purification	Physical processing and packaging

Increasing GMP requirements



physical manipulation of particle size (e.g., milling, micronizing), should be conducted at least to the standards of this Guide.

This GMP Guide does not apply to steps prior to the introduction of the defined “API Starting Material.”

2. QUALITY MANAGEMENT

2.1. PRINCIPLES

- 2.10 Quality should be the responsibility of all persons involved in manufacturing.
- 2.11 Each manufacturer should establish, document, and implement an effective system for managing quality that involves the active participation of management and appropriate manufacturing personnel.
- 2.12 The system for managing quality should encompass the organizational structure, procedures, processes, and resources, as well as activities necessary to ensure confidence that the API will meet its intended specifications for quality and purity. All quality-related activities should be defined and documented.
- 2.13 There should be a quality unit(s) that is independent of production and that fulfills both QA and QC responsibilities. This can be in the form of separate QA and QC units or a single individual or group, depending upon the size and structure of the organization.

- 2.14 The persons authorized to release intermediates and APIs should be specified.
- 2.15 All quality-related activities should be recorded at the time they are performed.
- 2.16 Any deviation from established procedures should be documented and explained. Critical deviations should be investigated, and the investigation and its conclusions should be documented.
- 2.17 No materials should be released or used before the satisfactory completion of evaluation by the quality unit(s) unless there are appropriate systems in place to allow for such use (e.g., release under quarantine as described in Section 10.20 or the use of raw materials or intermediates pending completion of evaluation).
- 2.18 Procedures should exist for notifying responsible management in a timely manner of regulatory inspections, serious GMP deficiencies, product defects, and related actions (e.g., quality-related complaints, recalls, regulatory actions, etc.).

2.2. RESPONSIBILITIES OF THE QUALITY UNIT(S)

- 2.20 The quality unit(s) should be involved in all quality-related matters.
- 2.21 The quality unit(s) should review and approve all appropriate quality-related documents.

- 2.22 The main responsibilities of the independent quality unit(s) should not be delegated. These responsibilities should be described in writing and should include but not necessarily be limited to
 - 1. Releasing or rejecting all APIs. Releasing or rejecting intermediates for use outside the control of the manufacturing company.
 - 2. Establishing a system to release or reject raw materials, intermediates, packaging, and labeling materials.
 - 3. Reviewing completed batch production and laboratory control records of critical process steps before release of the API for distribution.
 - 4. Making sure that critical deviations are investigated and resolved.
 - 5. Approving all specifications and master production instructions.
 - 6. Approving all procedures impacting the quality of intermediates or APIs.
 - 7. Making sure that internal audits (self-inspections) are performed.
 - 8. Approving intermediate and API contract manufacturers.
 - 9. Approving changes that potentially impact intermediate or API quality.
 - 10. Reviewing and approving validation protocols and reports.
 - 11. Making sure that quality-related complaints are investigated and resolved.
 - 12. Making sure that effective systems are used for maintaining and calibrating critical equipment.
 - 13. Making sure that materials are appropriately tested and the results are reported.
 - 14. Making sure that there are stability data to support retest or expiry dates and storage conditions on APIs and/or intermediates where appropriate.
 - 15. Performing product quality reviews (as defined in Section 2.5).

2.3. RESPONSIBILITY FOR PRODUCTION ACTIVITIES

- The responsibility for production activities should be described in writing and should include but not necessarily be limited to
 - 1. Preparing, reviewing, approving, and distributing the instructions for the production of intermediates or APIs according to written procedures.
 - 2. Producing APIs and, when appropriate, intermediates according to preapproved instructions.
 - 3. Reviewing all production batch records and ensuring that these are completed and signed.
 - 4. Making sure that all production deviations are reported and evaluated and that critical deviations are investigated and the conclusions are recorded.
 - 5. Making sure that production facilities are clean and when appropriate disinfected.

- 6. Making sure that the necessary calibrations are performed and records kept.
- 7. Making sure that the premises and equipment are maintained and records kept.
- 8. Making sure that validation protocols and reports are reviewed and approved.
- 9. Evaluating proposed changes in product, process, or equipment.
- 10. Making sure that new and, when appropriate, modified facilities and equipment are qualified.

2.4. INTERNAL AUDITS (SELF-INSPECTION)

- 2.40 In order to verify compliance with the principles of GMP for APIs, regular internal audits should be performed in accordance with an approved schedule.
- 2.41 Audit findings and corrective actions should be documented and brought to the attention of responsible management of the firm. Agreed corrective actions should be completed in a timely and effective manner.

2.5. PRODUCT QUALITY REVIEW

- 2.50 Regular quality reviews of APIs should be conducted with the objective of verifying the consistency of the process. Such reviews should normally be conducted and documented annually and should include at least
 - A review of critical in-process control and critical API test results
 - A review of all batches that failed to meet established specification(s)
 - A review of all critical deviations or nonconformances and related investigations
 - A review of any changes carried out to the processes or analytical methods
 - A review of results of the stability monitoring program
 - A review of all quality-related returns, complaints, and recalls and
 - A review of adequacy of corrective actions
- 2.51 The results of this review should be evaluated and an assessment made of whether corrective action or any revalidation should be undertaken. Reasons for such corrective action should be documented. Agreed corrective actions should be completed in a timely and effective manner.

3. PERSONNEL

3.1. PERSONNEL QUALIFICATIONS

- 3.10 There should be an adequate number of personnel qualified by appropriate education, training, and/or experience to perform and supervise the manufacture of intermediates and APIs.

- 3.11 The responsibilities of all personnel engaged in the manufacture of intermediates and APIs should be specified in writing.
- 3.12 Training should be regularly conducted by qualified individuals and should cover, at a minimum, the particular operations that the employee performs and GMP as it relates to the employee's functions. Records of training should be maintained. Training should be periodically assessed.

3.2. PERSONNEL HYGIENE

- 3.20 Personnel should practice good sanitation and health habits.
- 3.21 Personnel should wear clean clothing suitable for the manufacturing activity with which they are involved, and this clothing should be changed when appropriate. Additional protective apparel, such as head, face, hand, and arm coverings, should be worn when necessary, to protect intermediates and APIs from contamination.
- 3.22 Personnel should avoid direct contact with intermediates or APIs.
- 3.23 Smoking, eating, drinking, chewing, and the storage of food should be restricted to certain designated areas separate from the manufacturing areas.
- 3.24 Personnel suffering from an infectious disease or having open lesions on the exposed surface of the body should not engage in activities that could result in compromising the quality of APIs. Any person shown at any time (either by medical examination or supervisory observation) to have an apparent illness or open lesions should be excluded from activities where the health condition could adversely affect the quality of the APIs until the condition is corrected or qualified medical personnel determine that the person's inclusion would not jeopardize the safety or quality of the APIs.

3.3. CONSULTANTS

- 3.30 Consultants advising on the manufacture and control of intermediates or APIs should have sufficient education, training, and experience, or any combination thereof, to advise on the subject for which they are retained.
- 3.31 Records should be maintained stating the name, address, qualifications, and type of service provided by these consultants.

4. BUILDINGS AND FACILITIES

4.1. DESIGN AND CONSTRUCTION

- 4.10 Buildings and facilities used in the manufacture of intermediates and APIs should be located, designed, and constructed to facilitate cleaning, maintenance,

and operations as appropriate to the type and stage of manufacture. Facilities should also be designed to minimize potential contamination. Where microbiological specifications have been established for the intermediate or API, facilities should also be designed to limit exposure to objectionable microbiological contaminants as appropriate.

- 4.11 Buildings and facilities should have adequate space for the orderly placement of equipment and materials to prevent mix-ups and contamination.
- 4.12 Where the equipment itself (e.g., closed or contained systems) provides adequate protection of the material, such equipment can be located outdoors.
- 4.13 The flow of materials and personnel through the building or facilities should be designed to prevent mix-ups or contamination.
- 4.14 There should be defined areas or other control systems for the following activities:
 - Receipt, identification, sampling, and quarantine of incoming materials, pending release or rejection
 - Quarantine before release or rejection of intermediates and APIs
 - Sampling of intermediates and APIs
 - Holding rejected materials before further disposition (e.g., return, reprocessing, or destruction)
 - Storage of released materials
 - Production operations
 - Packaging and labeling operations
 - Laboratory operations
- 4.15 Adequate, clean washing and toilet facilities should be provided for personnel. These washing facilities should be equipped with hot and cold water as appropriate, soap or detergent, air driers or single service towels. The washing and toilet facilities should be separate from, but easily accessible to, manufacturing areas. Adequate facilities for showering and/or changing clothes should be provided, when appropriate.
- 4.16 Laboratory areas/operations should normally be separated from production areas. Some laboratory areas, in particular those used for in-process controls, can be located in production areas, provided the operations of the production process do not adversely affect the accuracy of the laboratory measurements and the laboratory and its operations do not adversely affect the production process or intermediate or API.

4.2. UTILITIES

- 4.20 All utilities that could impact on product quality (e.g., steam, gases, compressed air, and heating, ventilation and air conditioning) should be qualified and appropriately monitored, and action should be taken when limits are exceeded. Drawings for these utility systems should be available.
- 4.21 Adequate ventilation, air filtration, and exhaust systems should be provided, where appropriate.

These systems should be designed and constructed to minimize risks of contamination and cross-contamination and should include equipment for control of air pressure, microorganisms (if appropriate), dust, humidity, and temperature, as appropriate to the stage of manufacture. Particular attention should be given to areas where APIs are exposed to the environment.

- 4.22 If air is recirculated to production areas, appropriate measures should be taken to control risks of contamination and cross-contamination.
- 4.23 Permanently installed pipework should be appropriately identified. This can be accomplished by identifying individual lines, documentation, computer control systems, or alternative means. Pipework should be located to avoid risks of contamination of the intermediate or API.
- 4.24 Drains should be of adequate size and should be provided with an air break or a suitable device to prevent back-siphonage, when appropriate.

4.3. WATER

- 4.30 Water used in the manufacture of APIs should be demonstrated to be suitable for its intended use.
- 4.31 Unless otherwise justified, process water should, at a minimum, meet World Health Organization (WHO) guidelines for drinking (potable) water quality.
- 4.32 If drinking (potable) water is insufficient to assure API quality, and tighter chemical and/or microbiological water quality specifications are called for, appropriate specifications for physical/chemical attributes, total microbial counts, objectionable organisms, and/or endotoxins should be established.
- 4.33 Where water used in the process is treated by the manufacturer to achieve a defined quality, the treatment process should be validated and monitored with appropriate action limits.
- 4.34 Where the manufacturer of a nonsterile API either intends or claims that it is suitable for use in further processing to produce a sterile drug (medicinal) product, water used in the final isolation and purification steps should be monitored and controlled for total microbial counts, objectionable organisms, and endotoxins.

4.4. CONTAINMENT

- 4.40 Dedicated production areas, which can include facilities, air handling equipment, and/or process equipment, should be employed in the production of highly sensitizing materials, such as penicillins or cephalosporins.
- 4.41 Dedicated production areas should also be considered when material of an infectious nature or

high pharmacological activity or toxicity is involved (e.g., certain steroids or cytotoxic anticancer agents) unless validated inactivation and/or cleaning procedures are established and maintained.

- 4.42 Appropriate measures should be established and implemented to prevent cross-contamination from personnel, materials, etc. moving from one dedicated area to another.
- 4.43 Any production activities (including weighing, milling, or packaging) of highly toxic nonpharmaceutical materials such as herbicides and pesticides should not be conducted using the buildings and/or equipment being used for the production of APIs. Handling and storage of these highly toxic nonpharmaceutical materials should be separate from APIs.

4.5. LIGHTING

- 4.50 Adequate lighting should be provided in all areas to facilitate cleaning, maintenance, and proper operations.

4.6. SEWAGE AND REFUSE

- 4.60 Sewage, refuse, and other waste (e.g., solids, liquids, or gaseous by-products from manufacturing) in and from buildings and the immediate surrounding area should be disposed of in a safe, timely, and sanitary manner. Containers and/or pipes for waste material should be clearly identified.

4.7. SANITATION AND MAINTENANCE

- 4.70 Buildings used in the manufacture of intermediates and APIs should be properly maintained and repaired and kept in a clean condition.
- 4.71 Written procedures should be established assigning responsibility for sanitation and describing the cleaning schedules, methods, equipment, and materials to be used in cleaning buildings and facilities.
- 4.72 When necessary, written procedures should also be established for the use of suitable rodenticides, insecticides, fungicides, fumigating agents, and cleaning and sanitizing agents to prevent the contamination of equipment, raw materials, packaging/labeling materials, intermediates, and APIs.

5. PROCESS EQUIPMENT

5.1. DESIGN AND CONSTRUCTION

- 5.10 Equipment used in the manufacture of intermediates and APIs should be of appropriate design and adequate size and suitably located for its intended use, cleaning, sanitization (where appropriate), and maintenance.

- 5.11 Equipment should be constructed so that surfaces that contact raw materials, intermediates, or APIs do not alter the quality of the intermediates and APIs beyond the official or other established specifications.
- 5.12 Production equipment should only be used within its qualified operating range.
- 5.13 Major equipment (e.g., reactors, storage containers) and permanently installed processing lines used during the production of an intermediate or API should be appropriately identified.
- 5.14 Any substances associated with the operation of equipment, such as lubricants, heating fluids, or coolants, should not contact intermediates or APIs so as to alter their quality beyond the official or other established specifications. Any deviations from this should be evaluated to ensure that there are no detrimental effects upon the fitness for purpose of the material. Wherever possible, food-grade lubricants and oils should be used.
- 5.15 Closed or contained equipment should be used whenever appropriate. Where open equipment is used, or equipment is opened, appropriate precautions should be taken to minimize the risk of contamination.
- 5.16 A set of current drawings should be maintained for equipment and critical installations (e.g., instrumentation and utility systems).

5.2. EQUIPMENT MAINTENANCE AND CLEANING

- 5.20 Schedules and procedures (including assignment of responsibility) should be established for the preventative maintenance of equipment.
- 5.21 Written procedures should be established for cleaning of equipment and its subsequent release for use in the manufacture of intermediates and APIs. Cleaning procedures should contain sufficient details to enable operators to clean each type of equipment in a reproducible and effective manner. These procedures should include
 - Assignment of responsibility for cleaning of equipment
 - Cleaning schedules, including, where appropriate, sanitizing schedules
 - A complete description of the methods and materials, including dilution of cleaning agents used to clean equipment
 - When appropriate, instructions for disassembling and reassembling each article of equipment to ensure proper cleaning
 - Instructions for the removal or obliteration of previous batch identification
 - Instructions for the protection of clean equipment from contamination prior to use
 - Inspection of equipment for cleanliness immediately before use, if practical and
 - Establishing the maximum time that may elapse between the completion of processing and equipment cleaning, when appropriate

- 5.22 Equipment and utensils should be cleaned, stored, and, where appropriate, sanitized or sterilized to prevent contamination or carryover of a material that would alter the quality of the intermediate or API beyond the official or other established specifications.
- 5.23 Where equipment is assigned to continuous production or campaign production of successive batches of the same intermediate or API, equipment should be cleaned at appropriate intervals to prevent buildup and carryover of contaminants (e.g., degradants or objectionable levels of microorganisms).
- 5.24 Nondedicated equipment should be cleaned between production of different materials to prevent cross-contamination.
- 5.25 Acceptance criteria for residues and the choice of cleaning procedures and cleaning agents should be defined and justified.
- 5.26 Equipment should be identified as to its contents and its cleanliness status by appropriate means.

5.3. CALIBRATION

- 5.30 Control, weighing, measuring, monitoring, and test equipment that is critical for assuring the quality of intermediates or APIs should be calibrated according to written procedures and an established schedule.
- 5.31 Equipment calibrations should be performed using standards traceable to certified standards, if existing.
- 5.32 Records of these calibrations should be maintained.
- 5.33 The current calibration status of critical equipment should be known and verifiable.
- 5.34 Instruments that do not meet calibration criteria should not be used.
- 5.35 Deviations from approved standards of calibration on critical instruments should be investigated to determine if these could have had an impact on the quality of the intermediate(s) or API(s) manufactured using this equipment since the last successful calibration.

5.4. COMPUTERIZED SYSTEMS

- 5.40 GMP-related computerized systems should be validated. The depth and scope of validation depends on the diversity, complexity, and criticality of the computerized application.
- 5.41 Appropriate installation qualification and operational qualification should demonstrate the suitability of computer hardware and software to perform assigned tasks.

- 5.42 Commercially available software that has been qualified does not require the same level of testing. If an existing system was not validated at time of installation, a retrospective validation could be conducted if appropriate documentation is available.
- 5.43 Computerized systems should have sufficient controls to prevent unauthorized access or changes to data. There should be controls to prevent omissions in data (e.g., system turned off and data not captured). There should be a record of any data change made, the previous entry, who made the change, and when the change was made.
- 5.44 Written procedures should be available for the operation and maintenance of computerized systems.
- 5.45 Where critical data are being entered manually, there should be an additional check on the accuracy of the entry. This can be done by a second operator or by the system itself.
- 5.46 Incidents related to computerized systems that could affect the quality of intermediates or APIs or the reliability of records or test results should be recorded and investigated.
- 5.47 Changes to the computerized system should be made according to a change procedure and should be formally authorized, documented, and tested. Records should be kept of all changes, including modifications and enhancements made to the hardware, software, and any other critical component of the system. These records should demonstrate that the system is maintained in a validated state.
- 5.48 If system breakdowns or failures would result in the permanent loss of records, a backup system should be provided. A means of ensuring data protection should be established for all computerized systems.
- 5.49 Data can be recorded by a second means in addition to the computer system.

6. DOCUMENTATION AND RECORDS

6.1. DOCUMENTATION SYSTEM AND SPECIFICATIONS

- 6.10 All documents related to the manufacture of intermediates or APIs should be prepared, reviewed, approved, and distributed according to written procedures. Such documents can be in paper or electronic form.
- 6.11 The issuance, revision, superseding, and withdrawal of all documents should be controlled with maintenance of revision histories.
- 6.12 A procedure should be established for retaining all appropriate documents (e.g., development history reports, scale-up reports, technical transfer reports, process validation reports, training records, production records, control records, and distribution records). The retention periods for these documents should be specified.
- 6.13 All production, control, and distribution records should be retained for at least 1 year after the expiry

date of the batch. For APIs with retest dates, records should be retained for at least 3 years after the batch is completely distributed.

- 6.14 When entries are made in records, these should be made indelibly in spaces provided for such entries, directly after performing the activities, and should identify the person making the entry. Corrections to entries should be dated and signed and leave the original entry still readable.
- 6.15 During the retention period, originals or copies of records should be readily available at the establishment where the activities described in such records occurred. Records that can be promptly retrieved from another location by electronic or other means are acceptable.
- 6.16 Specifications, instructions, procedures, and records can be retained either as originals or as true copies such as photocopies, microfilm, microfiche, or other accurate reproductions of the original records. Where reduction techniques such as microfilming or electronic records are used, suitable retrieval equipment and a means to produce a hard copy should be readily available.
- 6.17 Specifications should be established and documented for raw materials, intermediates where necessary, APIs, and labeling and packaging materials. In addition, specifications may be appropriate for certain other materials, such as process aids, gaskets, or other materials used during the production of intermediates or APIs that could critically impact on quality. Acceptance criteria should be established and documented for in-process controls.
- 6.18 If electronic signatures are used on documents, they should be authenticated and secure.

6.2. EQUIPMENT CLEANING AND USE RECORD

- 6.20 Records of major equipment use, cleaning, sanitization and/or sterilization, and maintenance should show the date, time (if appropriate), product, and batch number of each batch processed in the equipment, and the person who performed the cleaning and maintenance.
- 6.21 If equipment is dedicated to manufacturing one intermediate or API, then individual equipment records are not necessary if batches of the intermediate or API follow in traceable sequence. In cases where dedicated equipment is employed, the records of cleaning, maintenance, and use can be part of the batch record or maintained separately.

6.3. RECORDS OF RAW MATERIALS, INTERMEDIATES, API LABELING AND PACKAGING MATERIALS

- 6.30 Records should be maintained including
 - The name of the manufacturer, identity, and quantity of each shipment of each batch of raw

materials, intermediates, or labeling and packaging materials for APIs; the name of the supplier; the supplier's control number(s), if known, or other identification number; the number allocated on receipt; and the date of receipt

- The results of any test or examination performed and the conclusions derived from this
 - Records tracing the use of materials
 - Documentation of the examination and review of API labeling and packaging materials for conformity with established specifications and
 - The final decision regarding rejected raw materials, intermediates, or API labeling and packaging materials
- 6.31 Master (approved) labels should be maintained for comparison to issued labels.

6.4. MASTER PRODUCTION INSTRUCTIONS (MASTER PRODUCTION AND CONTROL RECORDS)

- 6.40 To ensure uniformity from batch to batch, master production instructions for each intermediate and API should be prepared, dated, and signed by one person and independently checked, dated, and signed by a person in the quality unit(s).
- 6.41 Master production instructions should include
 - The name of the intermediate or API being manufactured and an identifying document reference code, if applicable.
 - A complete list of raw materials and intermediates designated by names or codes sufficiently specific to identify any special quality characteristics.
 - An accurate statement of the quantity or ratio of each raw material or intermediate to be used, including the unit of measure. Where the quantity is not fixed, the calculation for each batch size or rate of production should be included. Variations to quantities should be included where they are justified.
 - The production location and major production equipment to be used.
 - Detailed production instructions, including the
 - Sequences to be followed
 - Ranges of process parameters to be used
 - Sampling instructions and in-process controls with their acceptance criteria, where appropriate
 - Time limits for completion of individual processing steps and/or the total process, where appropriate and
 - Expected yield ranges at appropriate phases of processing or time
 - Where appropriate, special notations and precautions to be followed or cross-references to these.
 - The instructions for storage of the intermediate or API to assure its suitability for use, including

the labeling and packaging materials and special storage conditions with time limits, where appropriate.

6.5. BATCH PRODUCTION RECORDS (BATCH PRODUCTION AND CONTROL RECORDS)

- 6.50 Batch production records should be prepared for each intermediate and API and should include complete information relating to the production and control of each batch. The batch production record should be checked before issuance to assure that it is the correct version and a legible accurate reproduction of the appropriate master production instruction. If the batch production record is produced from a separate part of the master document, that document should include a reference to the current master production instruction being used.
- 6.51 These records should be numbered with a unique batch or identification number, dated, and signed when issued. In continuous production, the product code together with the date and time can serve as the unique identifier until the final number is allocated.
- 6.52 Documentation of completion of each significant step in the batch production records (batch production and control records) should include
 - Dates and, when appropriate, times
 - Identity of major equipment (e.g., reactors, driers, mills, etc.) used
 - Specific identification of each batch, including weights, measures, and batch numbers of raw materials, intermediates, or any reprocessed materials used during manufacturing
 - Actual results recorded for critical process parameters
 - Any sampling performed
 - Signatures of the persons performing and directly supervising or checking each critical step in the operation
 - In-process and laboratory test results
 - Actual yield at appropriate phases or times
 - Description of packaging and label for intermediate or API
 - Representative label of API or intermediate if made commercially available
 - Any deviation noted, its evaluation, investigation conducted (if appropriate), or reference to that investigation if stored separately and
 - Results of release testing
- 6.53 Written procedures should be established and followed for investigating critical deviations or the failure of a batch of intermediate or API to meet specifications. The investigation should extend to other batches that may have been associated with the specific failure or deviation.

6.6. LABORATORY CONTROL RECORDS

- 6.60 Laboratory control records should include complete data derived from all tests conducted to ensure compliance with established specifications and standards, including examinations and assays, as follows:
 - A description of samples received for testing, including the material name or source, batch number or other distinctive code, date sample was taken, and, where appropriate, the quantity and date the sample was received for testing.
 - A statement of or reference to each test method used.
 - A statement of the weight or measure of sample used for each test as described by the method; data on or cross-reference to the preparation and testing of reference standards, reagents, and standard solutions.
 - A complete record of all raw data generated during each test, in addition to graphs, charts, and spectra from laboratory instrumentation, properly identified to show the specific material and batch tested.
 - A record of all calculations performed in connection with the test, including, for example, units of measure, conversion factors, and equivalency factors.
 - A statement of the test results and how they compare with established acceptance criteria.
 - The signature of the person who performed each test and the date(s) the tests were performed.
 - The date and signature of a second person showing that the original records have been reviewed for accuracy, completeness, and compliance with established standards.
- 6.61 Complete records should also be maintained for
 - Any modifications to an established analytical method
 - Periodic calibration of laboratory instruments, apparatus, gauges, and recording devices
 - All stability testing performed on APIs and
 - Out-of-specification (OOS) investigations

6.7. BATCH PRODUCTION RECORD REVIEW

- 6.70 Written procedures should be established and followed for the review and approval of batch production and laboratory control records, including packaging and labeling, to determine compliance of the intermediate or API with established specifications before a batch is released or distributed.
- 6.71 Batch production and laboratory control records of critical process steps should be reviewed and approved by the quality unit(s) before an API batch is released or distributed. Production and laboratory control records of noncritical process steps can be reviewed by qualified production personnel

or other units following procedures approved by the quality unit(s).

- 6.72 All deviation, investigation, and OOS reports should be reviewed as part of the batch record review before the batch is released.
- 6.73 The quality unit(s) can delegate to the production unit the responsibility and authority for release of intermediates, except for those shipped outside the control of the manufacturing company.

7. MATERIALS MANAGEMENT

7.1. GENERAL CONTROLS

- 7.10 There should be written procedures describing the receipt, identification, quarantine, storage, handling, sampling, testing, and approval or rejection of materials.
- 7.11 Manufacturers of intermediates and/or APIs should have a system for evaluating the suppliers of critical materials.
- 7.12 Materials should be purchased against an agreed specification, from a supplier or suppliers approved by the quality unit(s).
- 7.13 If the supplier of a critical material is not the manufacturer of that material, the name and address of that manufacturer should be known by the intermediate and/or API manufacturer.
- 7.14 Changing the source of supply of critical raw materials should be treated according to Section 13, Change Control.

7.2. RECEIPT AND QUARANTINE

- 7.20 Upon receipt and before acceptance, each container or grouping of containers of materials should be examined visually for correct labeling (including correlation between the name used by the supplier and the in-house name, if these are different), container damage, broken seals, and evidence of tampering or contamination. Materials should be held under quarantine until they have been sampled, examined, or tested as appropriate and released for use.
- 7.21 Before incoming materials are mixed with existing stocks (e.g., solvents or stocks in silos), they should be identified as correct, tested, if appropriate, and released. Procedures should be available to prevent discharging incoming materials wrongly into the existing stock.
- 7.22 If bulk deliveries are made in nondedicated tankers, there should be assurance of no cross-contamination from the tanker. Means of providing this assurance could include one or more of the following:
 - Certificate of cleaning
 - Testing for trace impurities
 - Audit of the supplier
- 7.23 Large storage containers, and their attendant manifolds, filling and discharge lines should be appropriately identified.

- 7.24 Each container or grouping of containers (batches) of materials should be assigned and identified with a distinctive code, batch, or receipt number. This number should be used in recording the disposition of each batch. A system should be in place to identify the status of each batch.

7.3. SAMPLING AND TESTING OF INCOMING PRODUCTION MATERIALS

- 7.30 At least one test to verify the identity of each batch of material should be conducted, with the exception of the materials described below in Section 7.32. A supplier's Certificate of Analysis can be used in place of performing other tests, provided that the manufacturer has a system in place to evaluate suppliers.
- 7.31 Supplier approval should include an evaluation that provides adequate evidence (e.g., past quality history) that the manufacturer can consistently provide material meeting specifications. Full analyses should be conducted on at least three batches before reducing in-house testing. However, as a minimum, a full analysis should be performed at appropriate intervals and compared with the Certificates of Analysis. Reliability of Certificates of Analysis should be checked at regular intervals.
- 7.32 Processing aids, hazardous or highly toxic raw materials, other special materials, or materials transferred to another unit within the company's control do not need to be tested if the manufacturer's Certificate of Analysis is obtained, showing that these raw materials conform to established specifications. Visual examination of containers, labels, and recording of batch numbers should help in establishing the identity of these materials. The lack of on-site testing for these materials should be justified and documented.
- 7.33 Samples should be representative of the batch of material from which they are taken. Sampling methods should specify the number of containers to be sampled, which part of the container to sample, and the amount of material to be taken from each container. The number of containers to sample and the sample size should be based upon a sampling plan that takes into consideration the criticality of the material, material variability, past quality history of the supplier, and the quantity needed for analysis.
- 7.34 Sampling should be conducted at defined locations and by procedures designed to prevent contamination of the material sampled and contamination of other materials.
- 7.35 Containers from which samples are withdrawn should be opened carefully and subsequently reclosed. They should be marked to indicate that a sample has been taken.

7.4. STORAGE

- 7.40 Materials should be handled and stored in a manner to prevent degradation, contamination, and cross-contamination.
- 7.41 Materials stored in fiber drums, bags, or boxes should be stored off the floor and, when appropriate, suitably spaced to permit cleaning and inspection.
- 7.42 Materials should be stored under conditions and for a period that have no adverse effect on their quality and should normally be controlled so that the oldest stock is used first.
- 7.43 Certain materials in suitable containers can be stored outdoors, provided identifying labels remain legible and containers are appropriately cleaned before opening and use.
- 7.44 Rejected materials should be identified and controlled under a quarantine system designed to prevent their unauthorized use in manufacturing.

7.5. REEVALUATION

- 7.50 Materials should be reevaluated as appropriate to determine their suitability for use (e.g., after prolonged storage or exposure to heat or humidity).

8. PRODUCTION AND IN-PROCESS CONTROLS

8.1. PRODUCTION OPERATIONS

- 8.10 Raw materials for intermediate and API manufacturing should be weighed or measured under appropriate conditions that do not affect their suitability for use. Weighing and measuring devices should be of suitable accuracy for the intended use.
- 8.11 If a material is subdivided for later use in production operations, the container receiving the material should be suitable and should be so identified that the following information is available:
 - Material name and/or item code
 - Receiving or control number
 - Weight or measure of material in the new container and
 - Reevaluation or retest date if appropriate
- 8.12 Critical weighing, measuring, or subdividing operations should be witnessed or subjected to an equivalent control. Prior to use, production personnel should verify that the materials are those specified in the batch record for the intended intermediate or API.
- 8.13 Other critical activities should be witnessed or subjected to an equivalent control.
- 8.14 Actual yields should be compared with expected yields at designated steps in the production process. Expected yields with appropriate ranges should be established based on previous laboratory, pilot scale, or manufacturing data. Deviations in yield associated with critical process steps should be investigated to

determine their impact or potential impact on the resulting quality of affected batches.

- 8.15 Any deviation should be documented and explained. Any critical deviation should be investigated.
- 8.16 The processing status of major units of equipment should be indicated either on the individual units of equipment or by appropriate documentation, computer control systems, or alternative means.
- 8.17 Materials to be reprocessed or reworked should be appropriately controlled to prevent unauthorized use.

8.2. TIME LIMITS

- 8.20 If time limits are specified in the master production instruction (see Section 6.41), these time limits should be met to ensure the quality of intermediates and APIs. Deviations should be documented and evaluated. Time limits may be inappropriate when processing to a target value (e.g., pH adjustment, hydrogenation, drying to predetermined specification) because completion of reactions or processing steps are determined by in-process sampling and testing.
- 8.21 Intermediates held for further processing should be stored under appropriate conditions to ensure their suitability for use.

8.3. IN-PROCESS SAMPLING AND CONTROLS

- 8.30 Written procedures should be established to monitor the progress and control the performance of processing steps that cause variability in the quality characteristics of intermediates and APIs. In-process controls and their acceptance criteria should be defined based on the information gained during the development stage or historical data.
- 8.31 The acceptance criteria and type and extent of testing can depend on the nature of the intermediate or API being manufactured, the reaction or process step being conducted, and the degree to which the process introduces variability in the product's quality. Less stringent in-process controls may be appropriate in early processing steps, whereas tighter controls may be appropriate for later processing steps (e.g., isolation and purification steps).
- 8.32 Critical in-process controls (and critical process monitoring), including the control points and methods, should be stated in writing and approved by the quality unit(s).
- 8.33 In-process controls can be performed by qualified production department personnel and the process adjusted without prior quality unit(s) approval if the adjustments are made within preestablished limits approved by the quality unit(s). All tests and results should be fully documented as part of the batch record.

- 8.34 Written procedures should describe the sampling methods for in-process materials, intermediates, and APIs. Sampling plans and procedures should be based on scientifically sound sampling practices.
- 8.35 In-process sampling should be conducted using procedures designed to prevent contamination of the sampled material and other intermediates or APIs. Procedures should be established to ensure the integrity of samples after collection.
- 8.36 OOS investigations are not normally needed for in-process tests that are performed for the purpose of monitoring and/or adjusting the process.

8.4. BLENDING BATCHES OF INTERMEDIATES OR APIs

- 8.40 For the purpose of this document, blending is defined as the process of combining materials within the same specification to produce a homogeneous intermediate or API. In-process mixing of fractions from single batches (e.g., collecting several centrifuge loads from a single crystallization batch) or combining fractions from several batches for further processing is considered to be part of the production process and is not considered to be blending.
- 8.41 OOS batches should not be blended with other batches for the purpose of meeting specifications. Each batch incorporated into the blend should have been manufactured using an established process and should have been individually tested and found to meet appropriate specifications prior to blending.
- 8.42 Acceptable blending operations include but are not limited to
 - Blending of small batches to increase batch size and
 - Blending of tailings (i.e., relatively small quantities of isolated material) from batches of the same intermediate or API to form a single batch
- 8.43 Blending processes should be adequately controlled and documented, and the blended batch should be tested for conformance to established specifications where appropriate.
- 8.44 The batch record of the blending process should allow traceability back to the individual batches that make up the blend.
- 8.45 Where physical attributes of the API are critical (e.g., APIs intended for use in solid oral dosage forms or suspensions), blending operations should be validated to show homogeneity of the combined batch. Validation should include testing of critical attributes (e.g., particle size distribution, bulk density, and tap density) that may be affected by the blending process.
- 8.46 If the blending could adversely affect stability, stability testing of the final blended batches should be performed.

- 8.47 The expiry or retest date of the blended batch should be based on the manufacturing date of the oldest tailings or batch in the blend.

8.5. CONTAMINATION CONTROL

- 8.50 Residual materials can be carried over into successive batches of the same intermediate or API if there is adequate control. Examples include residue adhering to the wall of a micronizer, residual layer of damp crystals remaining in a centrifuge bowl after discharge, and incomplete discharge of fluids or crystals from a processing vessel upon transfer of the material to the next step in the process. Such carry-over should not result in the carryover of degradants or microbial contamination that may adversely alter the established API impurity profile.
- 8.51 Production operations should be conducted in a manner that will prevent contamination of intermediates or APIs by other materials.
- 8.52 Precautions to avoid contamination should be taken when APIs are handled after purification.

9. PACKAGING AND IDENTIFICATION LABELING OF APIs AND INTERMEDIATES

9.1. GENERAL

- 9.10 There should be written procedures describing the receipt, identification, quarantine, sampling, examination and/or testing and release, and handling of packaging and labeling materials.
- 9.11 Packaging and labeling materials should conform to established specifications. Those that do not comply with such specifications should be rejected to prevent their use in operations for which they are unsuitable.
- 9.12 Records should be maintained for each shipment of labels and packaging materials showing receipt, examination, or testing, and whether accepted or rejected.

9.2. PACKAGING MATERIALS

- 9.20 Containers should provide adequate protection against deterioration or contamination of the intermediate or API that may occur during transportation and recommended storage.
- 9.21 Containers should be clean and, where indicated by the nature of the intermediate or API, sanitized to ensure that they are suitable for their intended use. These containers should not be reactive, additive, or absorptive so as to alter the quality of the intermediate or API beyond the specified limits.
- 9.22 If containers are reused, they should be cleaned in accordance with documented procedures and all previous labels should be removed or defaced.

9.3. LABEL ISSUANCE AND CONTROL

- 9.30 Access to the label storage areas should be limited to authorized personnel.
- 9.31 Procedures should be used to reconcile the quantities of labels issued, used, and returned and to evaluate discrepancies found between the number of containers labeled and the number of labels issued. Such discrepancies should be investigated, and the investigation should be approved by the quality unit(s).
- 9.32 All excess labels bearing batch numbers or other batch-related printing should be destroyed. Returned labels should be maintained and stored in a manner that prevents mix-ups and provides proper identification.
- 9.33 Obsolete and outdated labels should be destroyed.
- 9.34 Printing devices used to print labels for packaging operations should be controlled to ensure that all imprinting conforms to the print specified in the batch production record.
- 9.35 Printed labels issued for a batch should be carefully examined for proper identity and conformity to specifications in the master production record. The results of this examination should be documented.
- 9.36 A printed label representative of those used should be included in the batch production record.

9.4. PACKAGING AND LABELING OPERATIONS

- 9.40 There should be documented procedures designed to ensure that correct packaging materials and labels are used.
- 9.41 Labeling operations should be designed to prevent mix-ups. There should be physical or spatial separation from operations involving other intermediates or APIs.
- 9.42 Labels used on containers of intermediates or APIs should indicate the name or identifying code, the batch number of the product, and storage conditions, when such information is critical to assure the quality of intermediate or API.
- 9.43 If the intermediate or API is intended to be transferred outside the control of the manufacturer's material management system, the name and address of the manufacturer, quantity of contents, and special transport conditions and any special legal requirements should also be included on the label. For intermediates or APIs with an expiry date, the expiry date should be indicated on the label and Certificate of Analysis. For intermediates or APIs with a retest date, the retest date should be indicated on the label and/or Certificate of Analysis.
- 9.44 Packaging and labeling facilities should be inspected immediately before use to ensure that all materials not needed for the next packaging operation have been removed. This examination should

be documented in the batch production records, the facility log, or other documentation system.

- 9.45 Packaged and labeled intermediates or APIs should be examined to ensure that containers and packages in the batch have the correct label. This examination should be part of the packaging operation. Results of these examinations should be recorded in the batch production or control records.
- 9.46 Intermediate or API containers that are transported outside of the manufacturer's control should be sealed in a manner such that, if the seal is breached or missing, the recipient will be alerted to the possibility that the contents may have been altered.

10. STORAGE AND DISTRIBUTION

10.1. WAREHOUSING PROCEDURES

- 10.10 Facilities should be available for the storage of all materials under appropriate conditions (e.g., controlled temperature and humidity when necessary). Records should be maintained of these conditions if they are critical for the maintenance of material characteristics.
- 10.11 Unless there is an alternative system to prevent the unintentional or unauthorized use of quarantined, rejected, returned, or recalled materials, separate storage areas should be assigned for their temporary storage until the decision as to their future use has been taken.

10.2. DISTRIBUTION PROCEDURES

- 10.20 APIs and intermediates should only be released for distribution to third parties after they have been released by the quality unit(s). APIs and intermediates can be transferred under quarantine to another unit under the company's control when authorized by the quality unit(s) and if appropriate controls and documentation are in place.
- 10.21 APIs and intermediates should be transported in a manner that does not adversely affect their quality.
- 10.22 Special transport or storage conditions for an API or intermediate should be stated on the label.
- 10.23 The manufacturer should ensure that the contract acceptor (contractor) for transportation of the API or intermediate knows and follows the appropriate transport and storage conditions.
- 10.24 A system should be in place by which the distribution of each batch of intermediate and/or API can be readily determined to permit its recall.

11. LABORATORY CONTROLS

11.1. GENERAL CONTROLS

- 11.10 The independent quality unit(s) should have at its disposal adequate laboratory facilities.

- 11.11 There should be documented procedures describing sampling, testing, approval or rejection of materials, and recording and storage of laboratory data. Laboratory records should be maintained in accordance with Section 6.6.
- 11.12 All specifications, sampling plans, and test procedures should be scientifically sound and appropriate to ensure that raw materials, intermediates, APIs, and labels and packaging materials conform to established standards of quality and/or purity. Specifications and test procedures should be consistent with those included in the registration/filing. There can be specifications in addition to those in the registration/filing. Specifications, sampling plans, and test procedures, including changes to them, should be drafted by the appropriate organizational unit and reviewed and approved by the quality unit(s).
- 11.13 Appropriate specifications should be established for APIs in accordance with accepted standards and consistent with the manufacturing process. The specifications should include a control of the impurities (e.g., organic impurities, inorganic impurities, and residual solvents). If the API has a specification for microbiological purity, appropriate action limits for total microbial counts and objectionable organisms should be established and met. If the API has a specification for endotoxins, appropriate action limits should be established and met.
- 11.14 Laboratory controls should be followed and documented at the time of performance. Any departures from the above described procedures should be documented and explained.
- 11.15 Any OOS result obtained should be investigated and documented according to a procedure. This procedure should require analysis of the data, assessment of whether a significant problem exists, allocation of the tasks for corrective actions, and conclusions. Any resampling and/or retesting after OOS results should be performed according to a documented procedure.
- 11.16 Reagents and standard solutions should be prepared and labeled following written procedures. "Use by" dates should be applied as appropriate for analytical reagents or standard solutions.
- 11.17 Primary reference standards should be obtained as appropriate for the manufacture of APIs. The source of each primary reference standard should be documented. Records should be maintained of each primary reference standard's storage and use in accordance with the supplier's recommendations. Primary reference standards obtained from an officially recognized source are normally used without testing if stored under conditions consistent with the supplier's recommendations.
- 11.18 Where a primary reference standard is not available from an officially recognized source, an

“in-house primary standard” should be established. Appropriate testing should be performed to establish fully the identity and purity of the primary reference standard. Appropriate documentation of this testing should be maintained.

- 11.19 Secondary reference standards should be appropriately prepared, identified, tested, approved, and stored. The suitability of each batch of secondary reference standard should be determined prior to first use by comparing against a primary reference standard. Each batch of secondary reference standard should be periodically requalified in accordance with a written protocol.

11.2. TESTING OF INTERMEDIATES AND APIs

- 11.20 For each batch of intermediate and API, appropriate laboratory tests should be conducted to determine conformance to specifications.
- 11.21 An impurity profile describing the identified and unidentified impurities present in a typical batch produced by a specific controlled production process should normally be established for each API. The impurity profile should include the identity or some qualitative analytical designation (e.g., retention time), the range of each impurity observed, and classification of each identified impurity (e.g., inorganic, organic, solvent). The impurity profile is normally dependent upon the production process and origin of the API. Impurity profiles are normally not necessary for APIs from herbal or animal tissue origin. Biotechnology considerations are covered in ICH Guideline Q6B.
- 11.22 The impurity profile should be compared at appropriate intervals against the impurity profile in the regulatory submission or compared against historical data in order to detect changes to the API resulting from modifications in raw materials, equipment operating parameters, or the production process.
- 11.23 Appropriate microbiological tests should be conducted on each batch of intermediate and API where microbial quality is specified.

11.3. VALIDATION OF ANALYTICAL PROCEDURES (SEE SECTION 12)

11.4. CERTIFICATES OF ANALYSIS

- 11.40 Authentic Certificates of Analysis should be issued for each batch of intermediate or API on request.
- 11.41 Information on the name of the intermediate or API including where appropriate its grade, the batch number, and the date of release should be provided on the Certificate of Analysis. For intermediates or APIs with an expiry date, the expiry date should be

provided on the label and Certificate of Analysis. For intermediates or APIs with a retest date, the retest date should be indicated on the label and/or Certificate of Analysis.

- 11.42 The Certificate should list each test performed in accordance with compendial or customer requirements, including the acceptance limits and the numerical results obtained (if test results are numerical).
- 11.43 Certificates should be dated and signed by authorized personnel of the quality unit(s) and should show the name, address, and telephone number of the original manufacturer. Where the analysis has been carried out by a repacker or reprocessor, the Certificate of Analysis should show the name, address, and telephone number of the repacker/reprocessor and a reference to the name of the original manufacturer.
- 11.44 If new Certificates are issued by or on behalf of repackers/reprocessors, agents, or brokers, these Certificates should show the name, address, and telephone number of the laboratory that performed the analysis. They should also contain a reference to the name and address of the original manufacturer and to the original batch Certificate, a copy of which should be attached.

11.5. STABILITY MONITORING OF APIs

- 11.50 A documented, ongoing testing program should be designed to monitor the stability characteristics of APIs, and the results should be used to confirm appropriate storage conditions and retest or expiry dates.
- 11.51 The test procedures used in stability testing should be validated and be stability indicating.
- 11.52 Stability samples should be stored in containers that simulate the market container. For example, if the API is marketed in bags within fiber drums, stability samples can be packaged in bags of the same material and in smaller-scale drums of similar or identical material composition to the market drums.
- 11.53 Normally the first three commercial production batches should be placed on the stability monitoring program to confirm the retest or expiry date. However, where data from previous studies show that the API is expected to remain stable for at least 2 years, fewer than three batches can be used.
- 11.54 Thereafter, at least one batch per year of API manufactured (unless none is produced that year) should be added to the stability monitoring program and tested at least annually to confirm the stability.
- 11.55 For APIs with short shelf lives, testing should be done more frequently. For example, for those biotechnological/biological and other APIs with shelf lives of 1 year or less, stability samples should be

obtained and should be tested monthly for the first 3 months and at 3-month intervals after that. When data exist that confirm that the stability of the API is not compromised, elimination of specific test intervals (e.g., 9-month testing) can be considered.

- 11.56 Where appropriate, the stability storage conditions should be consistent with the ICH guidelines on stability.

11.6. EXPIRY AND RETEST DATING

- 11.60 When an intermediate is intended to be transferred outside the control of the manufacturer's material management system and an expiry or retest date is assigned, supporting stability information should be available (e.g., published data, test results).
- 11.61 An API expiry or retest date should be based on an evaluation of data derived from stability studies. Common practice is to use a retest date, not an expiration date.
- 11.62 Preliminary API expiry or retest dates can be based on pilot scale batches if the pilot batches employ a method of manufacture and procedure that simulates the final process to be used on a commercial manufacturing scale and the quality of the API represents the material to be made on a commercial scale.
- 11.63 A representative sample should be taken for the purpose of performing a retest.

11.7. RESERVE/RETENTION SAMPLES

- 11.70 The packaging and holding of reserve samples is for the purpose of potential future evaluation of the quality of batches of API and not for future stability testing purposes.
- 11.71 Appropriately identified reserve samples of each API batch should be retained for 1 year after the expiry date of the batch assigned by the manufacturer, or for 3 years after distribution of the batch, whichever is the longer. For APIs with retest dates, similar reserve samples should be retained for 3 years after the batch is completely distributed by the manufacturer.
- 11.72 The reserve sample should be stored in the same packaging system in which the API is stored or in one that is equivalent to or more protective than the marketed packaging system. Sufficient quantities should be retained to conduct at least two full compendial analyses or, when there is no pharmacopoeial monograph, two full specification analyses.

12. VALIDATION

12.1. VALIDATION POLICY

- 12.10 The company's overall policy, intentions, and approach to validation, including the validation of

production processes, cleaning procedures, analytical methods, in-process control test procedures, computerized systems, and persons responsible for design, review, approval, and documentation of each validation phase, should be documented.

- 12.11 The critical parameters/attributes should normally be identified during the development stage or from historical data, and the ranges necessary for the reproducible operation should be defined. This should include:
 - Defining the API in terms of its critical product attributes
 - Identifying process parameters that could affect the critical quality attributes of the API and
 - Determining the range for each critical process parameter expected to be used during routine manufacturing and process control
- 12.12 Validation should extend to those operations determined to be critical to the quality and purity of the API.

12.2. VALIDATION DOCUMENTATION

- 12.20 A written validation protocol should be established that specifies how validation of a particular process will be conducted. The protocol should be reviewed and approved by the quality unit(s) and other designated units.
- 12.21 The validation protocol should specify critical process steps and acceptance criteria as well as the type of validation to be conducted (e.g., retrospective, prospective, concurrent) and the number of process runs.
- 12.22 A validation report that cross-references the validation protocol should be prepared, summarizing the results obtained, commenting on any deviations observed, and drawing the appropriate conclusions, including recommending changes to correct deficiencies.
- 12.23 Any variations from the validation protocol should be documented with appropriate justification.

12.3. QUALIFICATION

- 12.30 Before starting process validation activities, appropriate qualification of critical equipment and ancillary systems should be completed. Qualification is usually carried out by conducting the following activities, individually or combined:
 - Design Qualification (DQ): Documented verification that the proposed design of the facilities, equipment, or systems is suitable for the intended purpose.
 - Installation Qualification (IQ): Documented verification that the equipment or systems, as installed or modified, comply with the approved design, the manufacturer's recommendations, and/or user requirements.

- Operational Qualification (OQ): Documented verification that the equipment or systems, as installed or modified, perform as intended throughout the anticipated operating ranges.
- Performance Qualification (PQ): Documented verification that the equipment and ancillary systems, as connected together, can perform effectively and reproducibly based on the approved process method and specifications.

12.4. APPROACHES TO PROCESS VALIDATION

- 12.40 Process validation is the documented evidence that the process, operated within established parameters, can perform effectively and reproducibly to produce an intermediate or API meeting its predetermined specifications and quality attributes.
- 12.41 There are three approaches to validation. Prospective validation is the preferred approach, but there are exceptions where the other approaches can be used. These approaches and their applicability are listed below.
- 12.42 Prospective validation should normally be performed for all API processes as defined in Section 12.12. Prospective validation performed on an API process should be completed before the commercial distribution of the final drug product manufactured from that API.
- 12.43 Concurrent validation can be conducted when data from replicate production runs are unavailable because only a limited number of API batches have been produced, API batches are produced infrequently, or API batches are produced by a validated process that has been modified. Prior to the completion of concurrent validation, batches can be released and used in final drug product for commercial distribution based on thorough monitoring and testing of the API batches.
- 12.44 An exception can be made for retrospective validation for well-established processes that have been used without significant changes to API quality due to changes in raw materials, equipment, systems, facilities, or the production process. This validation approach may be used where
 - (1) Critical quality attributes and critical process parameters have been identified.
 - (2) Appropriate in-process acceptance criteria and controls have been established.
 - (3) There have not been significant process/product failures attributable to causes other than operator error or equipment failures unrelated to equipment suitability.
 - (4) Impurity profiles have been established for the existing API.
- 12.45 Batches selected for retrospective validation should be representative of all batches made during the review period, including any batches that

failed to meet specifications, and should be sufficient in number to demonstrate process consistency. Retained samples can be tested to obtain data to retrospectively validate the process.

12.5. PROCESS VALIDATION PROGRAM

- 12.50 The number of process runs for validation should depend on the complexity of the process or the magnitude of the process change being considered. For prospective and concurrent validation, three consecutive successful production batches should be used as a guide, but there may be situations where additional process runs are warranted to prove consistency of the process (e.g., complex API processes or API processes with prolonged completion times). For retrospective validation, generally data from 10 to 30 consecutive batches should be examined to assess process consistency, but fewer batches can be examined if justified.
- 12.51 Critical process parameters should be controlled and monitored during process validation studies. Process parameters unrelated to quality, such as variables controlled to minimize energy consumption or equipment use, need not be included in the process validation.
- 12.52 Process validation should confirm that the impurity profile for each API is within the limits specified. The impurity profile should be comparable to or better than historical data and, where applicable, the profile determined during process development or for batches used for pivotal clinical and toxicological studies.

12.6. PERIODIC REVIEW OF VALIDATED SYSTEMS

- 12.60 Systems and processes should be periodically evaluated to verify that they are still operating in a valid manner. Where no significant changes have been made to the system or process, and a quality review confirms that the system or process is consistently producing material meeting its specifications, there is normally no need for revalidation.

12.7. CLEANING VALIDATION

- 12.70 Cleaning procedures should normally be validated. In general, cleaning validation should be directed to situations or process steps where contamination or carryover of materials poses the greatest risk to API quality. For example, in early production it may be unnecessary to validate equipment cleaning procedures where residues are removed by subsequent purification steps.
- 12.71 Validation of cleaning procedures should reflect actual equipment usage patterns. If various APIs or intermediates are manufactured in the same

equipment and the equipment is cleaned by the same process, a representative intermediate or API can be selected for cleaning validation. This selection should be based on the solubility and difficulty of cleaning and the calculation of residue limits based on potency, toxicity, and stability.

- 12.72 The cleaning validation protocol should describe the equipment to be cleaned, procedures, materials, acceptable cleaning levels, parameters to be monitored and controlled, and analytical methods. The protocol should also indicate the type of samples to be obtained and how they are collected and labeled.
- 12.73 Sampling should include swabbing, rinsing, or alternative methods (e.g., direct extraction), as appropriate, to detect both insoluble and soluble residues. The sampling methods used should be capable of quantitatively measuring levels of residues remaining on the equipment surfaces after cleaning. Swab sampling may be impractical when product contact surfaces are not easily accessible due to equipment design and/or process limitations (e.g., inner surfaces of hoses, transfer pipes, reactor tanks with small ports or handling toxic materials, and small intricate equipment such as micronizers and microfluidizers).
- 12.74 Validated analytical methods having sensitivity to detect residues or contaminants should be used. The detection limit for each analytical method should be sufficiently sensitive to detect the established acceptable level of the residue or contaminant. The method's attainable recovery level should be established. Residue limits should be practical, achievable, verifiable, and based on the most deleterious residue. Limits can be established based on the minimum known pharmacological, toxicological, or physiological activity of the API or its most deleterious component.
- 12.75 Equipment cleaning/sanitization studies should address microbiological and endotoxin contamination for those processes where there is a need to reduce total microbiological count or endotoxins in the API or other processes where such contamination could be of concern (e.g., nonsterile APIs used to manufacture sterile products).
- 12.76 Cleaning procedures should be monitored at appropriate intervals after validation to ensure that these procedures are effective when used during routine production. Equipment cleanliness can be monitored by analytical testing and visual examination, where feasible. Visual inspection can allow detection of gross contamination concentrated in small areas that could otherwise go undetected by sampling and/or analysis.

12.8. VALIDATION OF ANALYTICAL METHODS

- 12.80 Analytical methods should be validated unless the method employed is included in the relevant

pharmacopoeia or other recognized standard reference. The suitability of all testing methods used should nonetheless be verified under actual conditions of use and documented.

- 12.81 Methods should be validated to include consideration of characteristics included within the ICH guidelines on validation of analytical methods. The degree of analytical validation performed should reflect the purpose of the analysis and the stage of the API production process.
- 12.82 Appropriate qualification of analytical equipment should be considered before starting validation of analytical methods.
- 12.83 Complete records should be maintained of any modification of a validated analytical method. Such records should include the reason for the modification and appropriate data to verify that the modification produces results that are as accurate and reliable as the established method.

13. CHANGE CONTROL

- 13.10 A formal change control system should be established to evaluate all changes that may affect the production and control of the intermediate or API.
- 13.11 Written procedures should provide for the identification, documentation, appropriate review, and approval of changes in raw materials, specifications, analytical methods, facilities, support systems, equipment (including computer hardware), processing steps, labeling and packaging materials, and computer software.
- 13.12 Any proposals for GMP relevant changes should be drafted, reviewed, and approved by the appropriate organizational units and reviewed and approved by the quality unit(s).
- 13.13 The potential impact of the proposed change on the quality of the intermediate or API should be evaluated. Classification procedure may help in determining the level of testing, validation, and documentation needed to justify changes to a validated process. Changes can be classified (e.g., as minor or major) depending on the nature and extent of the changes and the effects these changes may impart on the process. Scientific judgment should determine what additional testing and validation studies are appropriate to justify a change in a validated process.
- 13.14 When implementing approved changes, measures should be taken to ensure that all documents affected by the changes are revised.
- 13.15 After the change has been implemented, there should be an evaluation of the first batches produced or tested under the change.
- 13.16 The potential for critical changes to affect established retest or expiry dates should be evaluated. If necessary, samples of the intermediate or

API produced by the modified process can be placed on an accelerated stability program and/or can be added to the stability monitoring program.

- 13.17 Current dosage form manufacturers should be notified of changes from established production and process control procedures that can impact the quality of the API.

14. REJECTION AND REUSE OF MATERIALS

14.1. REJECTION

- 14.10 Intermediates and APIs failing to meet established specifications should be identified as such and quarantined. These intermediates or APIs can be reprocessed or reworked as described below. The final disposition of rejected materials should be recorded.

14.2. REPROCESSING

- 14.20 Introducing an intermediate or API, including one that does not conform to standards or specifications, back into the process and reprocessing by repeating a crystallization step or other appropriate chemical or physical manipulation steps (e.g., distillation, filtration, chromatography, milling) that are part of the established manufacturing process is generally considered acceptable. However, if such reprocessing is used for a majority of batches, such reprocessing should be included as part of the standard manufacturing process.
- 14.21 Continuation of a process step after an in-process control test has shown that the step is incomplete is considered to be part of the normal process. This is not considered to be reprocessing.
- 14.22 Introducing unreacted material back into a process and repeating a chemical reaction is considered to be reprocessing unless it is part of the established process. Such reprocessing should be preceded by careful evaluation to ensure that the quality of the intermediate or API is not adversely impacted due to the potential formation of byproducts and overreacted materials.

14.3. REWORKING

- 14.30 Before a decision is taken to rework batches that do not conform to established standards or specifications, an investigation into the reason for non-conformance should be performed.
- 14.31 Batches that have been reworked should be subjected to appropriate evaluation, testing, stability testing if warranted, and documentation to show that the reworked product is of equivalent quality to that produced by the original process. Concurrent validation is often the appropriate validation approach for rework procedures. This allows a protocol to define

the rework procedure, how it will be carried out, and the expected results. If there is only one batch to be reworked, then a report can be written and the batch released once it is found to be acceptable.

- 14.32 Procedures should provide for comparing the impurity profile of each reworked batch against batches manufactured by the established process. Where routine analytical methods are inadequate to characterize the reworked batch, additional methods should be used.

14.4. RECOVERY OF MATERIALS AND SOLVENTS

- 14.40 Recovery (e.g., from mother liquor or filtrates) of reactants, intermediates, or the API is considered acceptable, provided that approved procedures exist for the recovery and the recovered materials meet specifications suitable for their intended use.
- 14.41 Solvents can be recovered and reused in the same processes or in different processes, provided that the recovery procedures are controlled and monitored to ensure that solvents meet appropriate standards before reuse or comingling with other approved materials.
- 14.42 Fresh and recovered solvents and reagents can be combined if adequate testing has shown their suitability for all manufacturing processes in which they may be used.
- 14.43 The use of recovered solvents, mother liquors, and other recovered materials should be adequately documented.

14.5. RETURNS

- 14.50 Returned intermediates or APIs should be identified as such and quarantined.
- 14.51 If the conditions under which returned intermediates or APIs have been stored or shipped before or during their return or the condition of their containers casts doubt on their quality, the returned intermediates or APIs should be reprocessed, reworked, or destroyed, as appropriate.
- 14.52 Records of returned intermediates or APIs should be maintained. For each return, documentation should include the following:
 - Name and address of the consignee
 - Intermediate or API, batch number, and quantity returned
 - Reason for return
 - Use or disposal of the returned intermediate or API

15. COMPLAINTS AND RECALLS

- 15.10 All quality-related complaints, whether received orally or in writing, should be recorded and investigated according to a written procedure.
- 15.11 Complaint records should include

- Name and address of complainant
 - Name (and, where appropriate, title) and phone number of person submitting the complaint
 - Complaint nature (including name and batch number of the API)
 - Date complaint is received
 - Action initially taken (including dates and identity of person taking the action)
 - Any follow-up action taken
 - Response provided to the originator of complaint (including date response sent) and
 - Final decision on intermediate or API batch or lot
- 15.12 Records of complaints should be retained in order to evaluate trends, product-related frequencies, and severity with a view to taking additional and, if appropriate, immediate corrective action.
 - 15.13 There should be a written procedure that defines the circumstances under which a recall of an intermediate or API should be considered.
 - 15.14 The recall procedure should designate who should be involved in evaluating the information, how a recall should be initiated, who should be informed about the recall, and how the recalled material should be treated.
 - 15.15 In the event of a serious or potentially life-threatening situation, local, national, and/or international authorities should be informed and their advice sought.

16. CONTRACT MANUFACTURERS (INCLUDING LABORATORIES)

- 16.10 All contract manufacturers (including laboratories) should comply with the GMP defined in this Guide. Special consideration should be given to the prevention of cross-contamination and to maintaining traceability.
- 16.11 Contract manufacturers (including laboratories) should be evaluated by the contract giver to ensure GMP compliance of the specific operations occurring at the contract sites.
- 16.12 There should be a written and approved contract or formal agreement between the contract giver and the contract acceptor that defines in detail the GMP responsibilities, including the quality measures, of each party.
- 16.13 The contract should permit the contract giver to audit the contract acceptor's facilities for compliance with GMP.
- 16.14 Where subcontracting is allowed, the contract acceptor should not pass to a third party any of the work entrusted to him under the contract without the contract giver's prior evaluation and approval of the arrangements.
- 16.15 Manufacturing and laboratory records should be kept at the site where the activity occurs and be readily available.

- 16.16 Changes in the process, equipment, test methods, specifications, or other contractual requirements should not be made unless the contract giver is informed and approves the changes.

17. AGENTS, BROKERS, TRADERS, DISTRIBUTORS, REPACKERS, AND RELABELERS

17.1. APPLICABILITY

- 17.10 This section applies to any party other than the original manufacturer who may trade and/or take possession, repack, relabel, manipulate, distribute, or store an API or intermediate.
- 17.11 All agents, brokers, traders, distributors, repackers, and relabelers should comply with GMP as defined in this Guide.

17.2. TRACEABILITY OF DISTRIBUTED APIs AND INTERMEDIATES

- 17.20 Agents, brokers, traders, distributors, repackers, or relabelers should maintain complete traceability of APIs and intermediates that they distribute. Documents that should be retained and available include the following:
 - Identity of original manufacturer
 - Address of original manufacturer
 - Purchase orders
 - Bills of lading (transportation documentation)
 - Receipt documents
 - Name or designation of API or intermediate
 - Manufacturer's batch number
 - Transportation and distribution records
 - All authentic Certificates of Analysis, including those of the original manufacturer
 - Retest or expiry date

17.3. QUALITY MANAGEMENT

- 17.30 Agents, brokers, traders, distributors, repackers, or relabelers should establish, document, and implement an effective system of managing quality, as specified in Section 2.

17.4. REPACKAGING, RELABELING, AND HOLDING OF APIs AND INTERMEDIATES

- 17.40 Repackaging, relabeling, and holding of APIs and intermediates should be performed under appropriate GMP controls, as stipulated in this Guide, to avoid mix-ups and loss of API or intermediate identity or purity.
- 17.41 Repackaging should be conducted under appropriate environmental conditions to avoid contamination and cross-contamination.

17.5. STABILITY

- 17.50 Stability studies to justify assigned expiration or retest dates should be conducted if the API or intermediate is repackaged in a different type of container than that used by the API or intermediate manufacturer.

17.6. TRANSFER OF INFORMATION

- 17.60 Agents, brokers, distributors, repackers, or relabelers should transfer all quality or regulatory information received from an API or intermediate manufacturer to the customer and from the customer to the API or intermediate manufacturer.
- 17.61 The agent, broker, trader, distributor, repacker, or relabeler who supplies the API or intermediate to the customer should provide the name of the original API or intermediate manufacturer and the batch number(s) supplied.
- 17.62 The agent should also provide the identity of the original API or intermediate manufacturer to regulatory authorities upon request. The original manufacturer can respond to the regulatory authority directly or through its authorized agents, depending on the legal relationship between the authorized agents and the original API or intermediate manufacturer. (In this context “authorized” refers to authorized by the manufacturer.)
- 17.63 The specific guidance for Certificates of Analysis included in Section 11.4 should be met.

17.7. HANDLING OF COMPLAINTS AND RECALLS

- 17.70 Agents, brokers, traders, distributors, repackers, or relabelers should maintain records of complaints and recalls, as specified in Section 15, for all complaints and recalls that come to their attention.
- 17.71 If the situation warrants, the agents, brokers, traders, distributors, repackers, or relabelers should review the complaint with the original API or intermediate manufacturer in order to determine whether any further action, either with other customers who may have received this API or intermediate or with the regulatory authority, or both, should be initiated. The investigation into the cause for the complaint or recall should be conducted and documented by the appropriate party.
- 17.72 Where a complaint is referred to the original API or intermediate manufacturer, the record maintained by the agents, brokers, traders, distributors, repackers, or relabelers should include any response received from the original API or intermediate manufacturer (including date and information provided).

17.8. HANDLING OF RETURNS

- 17.80 Returns should be handled as specified in Section 14.52. The agents, brokers, traders, distributors, repackers, or relabelers should maintain documentation of returned APIs and intermediates.

18. SPECIFIC GUIDANCE FOR APIS MANUFACTURED BY CELL CULTURE/FERMENTATION

18.1. GENERAL

- 18.10 Section 18 is intended to address specific controls for APIs or intermediates manufactured by cell culture or fermentation using natural or recombinant organisms and that have not been covered adequately in the previous sections. It is not intended to be a stand-alone section. In general, the GMP principles in the other sections of this document apply. Note that the principles of fermentation for “classical” processes for production of small molecules and for processes using recombinant and nonrecombinant organisms for production of proteins and/or polypeptides are the same, although the degree of control will differ. Where practical, this section will address these differences. In general, the degree of control for biotechnological processes used to produce proteins and polypeptides is greater than that for classical fermentation processes.
- 18.11 The term “biotechnological process” (biotech) refers to the use of cells or organisms that have been generated or modified by recombinant DNA, hybridoma, or other technology to produce APIs. The APIs produced by biotechnological processes normally consist of high molecular weight substances, such as proteins and polypeptides, for which specific guidance is given in this section. Certain APIs of low molecular weight, such as antibiotics, amino acids, vitamins, and carbohydrates, can also be produced by recombinant DNA technology. The level of control for these types of APIs is similar to that employed for classical fermentation.
- 18.12 The term “classical fermentation” refers to processes that use microorganisms existing in nature and/or modified by conventional methods (e.g., irradiation or chemical mutagenesis) to produce APIs. APIs produced by “classical fermentation” are normally low molecular weight products such as antibiotics, amino acids, vitamins, and carbohydrates.
- 18.13 Production of APIs or intermediates from cell culture or fermentation involves biological processes such as cultivation of cells or extraction and purification of material from living organisms. Note that there may be additional process steps, such as physicochemical modification, that are part of the manufacturing process. The raw materials used (media, buffer components) may provide the potential for growth of microbiological contaminants. Depending on the source, method of preparation, and the intended use of the API or intermediate, control of bioburden, viral contamination, and/or endotoxins during manufacturing and monitoring of the process at appropriate stages may be necessary.

- 18.14 Appropriate controls should be established at all stages of manufacturing to assure intermediate and/or API quality. While this Guide starts at the cell culture/fermentation step, prior steps (e.g., cell banking) should be performed under appropriate process controls. This Guide covers cell culture/fermentation from the point at which a vial of the cell bank is retrieved for use in manufacturing.
- 18.15 Appropriate equipment and environmental controls should be used to minimize the risk of contamination. The acceptance criteria for quality of the environment and the frequency of monitoring should depend on the step in production and the production conditions (open, closed, or contained systems).
- 18.16 In general, process controls should take into account:
 - Maintenance of the working cell bank (where appropriate)
 - Proper inoculation and expansion of the culture
 - Control of the critical operating parameters during fermentation/cell culture
 - Monitoring of the process for cell growth, viability (for most cell culture processes), and productivity where appropriate
 - Harvest and purification procedures that remove cells, cellular debris, and media components while protecting the intermediate or API from contamination (particularly of a microbiological nature) and from loss of quality
 - Monitoring of bioburden and, where needed, endotoxin levels at appropriate stages of production and
 - *Viral safety concerns as described in ICH Guideline Q5A Quality of Biotechnological Products: Viral Safety Evaluation of Biotechnology Products Derived from Cell Lines of Human or Animal Origin*
- 18.17 Where appropriate, the removal of media components, host cell proteins, other process-related impurities, product-related impurities, and contaminants should be demonstrated.

18.2. CELL BANK MAINTENANCE AND RECORD KEEPING

- 18.20 Access to cell banks should be limited to authorized personnel.
- 18.21 Cell banks should be maintained under storage conditions designed to maintain viability and prevent contamination.
- 18.22 Records of the use of the vials from the cell banks and storage conditions should be maintained.
- 18.23 Where appropriate, cell banks should be periodically monitored to determine suitability for use.
- 18.24 See *ICH Guideline Q5D Quality of Biotechnological Products: Derivation and Characterization of Cell Substrates Used for Production of Biotechnological/Biological Products for a more complete discussion of cell banking.*

18.3. CELL CULTURE/FERMENTATION

- 18.30 Where aseptic addition of cell substrates, media, buffers, and gases is needed, closed or contained systems should be used where possible. If the inoculation of the initial vessel or subsequent transfers or additions (media, buffers) are performed in open vessels, there should be controls and procedures in place to minimize the risk of contamination.
- 18.31 Where the quality of the API can be affected by microbial contamination, manipulations using open vessels should be performed in a biosafety cabinet or similarly controlled environment.
- 18.32 Personnel should be appropriately gowned and take special precautions handling the cultures.
- 18.33 Critical operating parameters (e.g., temperature, pH, agitation rates, addition of gases, pressure) should be monitored to ensure consistency with the established process. Cell growth, viability (for most cell culture processes), and, where appropriate, productivity should also be monitored. Critical parameters will vary from one process to another, and for classical fermentation, certain parameters (cell viability, for example) may not need to be monitored.
- 18.34 Cell culture equipment should be cleaned and sterilized after use. As appropriate, fermentation equipment should be cleaned, and sanitized or sterilized.
- 18.35 Culture media should be sterilized before use when appropriate to protect the quality of the API.
- 18.36 There should be appropriate procedures in place to detect contamination and determine the course of action to be taken. This should include procedures to determine the impact of the contamination on the product and those to decontaminate the equipment and return it to a condition to be used in subsequent batches. Foreign organisms observed during fermentation processes should be identified as appropriate, and the effect of their presence on product quality should be assessed, if necessary. The results of such assessments should be taken into consideration in the disposition of the material produced.
- 18.37 Records of contamination events should be maintained.
- 18.38 Shared (multiproduct) equipment may warrant additional testing after cleaning between product campaigns, as appropriate, to minimize the risk of cross-contamination.

18.4. HARVESTING, ISOLATION, AND PURIFICATION

- 18.40 Harvesting steps, either to remove cells or cellular components or to collect cellular components after disruption, should be performed in equipment and areas designed to minimize the risk of contamination.
- 18.41 Harvest and purification procedures that remove or inactivate the producing organism, cellular debris,

and media components (while minimizing degradation, contamination, and loss of quality) should be adequate to ensure that the intermediate or API is recovered with consistent quality.

- 18.42 All equipment should be properly cleaned and, as appropriate, sanitized after use. Multiple successive batching without cleaning can be used if intermediate or API quality is not compromised.
- 18.43 If open systems are used, purification should be performed under environmental conditions appropriate for the preservation of product quality.
- 18.44 Additional controls, such as the use of dedicated chromatography resins or additional testing, may be appropriate if equipment is to be used for multiple products.

18.5. VIRAL REMOVAL/INACTIVATION STEPS

- 18.50 *See the ICH Guideline Q5A Quality of Biotechnological Products: Viral Safety Evaluation of Biotechnology Products Derived from Cell Lines of Human or Animal Origin for more specific information.*
- 18.51 Viral removal and viral inactivation steps are critical processing steps for some processes and should be performed within their validated parameters.
- 18.52 Appropriate precautions should be taken to prevent potential viral contamination from previral to post-viral removal/inactivation steps. Therefore, open processing should be performed in areas that are separate from other processing activities and have separate air handling units.
- 18.53 The same equipment is not normally used for different purification steps. However, if the same equipment is to be used, the equipment should be appropriately cleaned and sanitized before reuse. Appropriate precautions should be taken to prevent potential virus carryover (e.g., through equipment or environment) from previous steps.

19. APIs FOR USE IN CLINICAL TRIALS

19.1. GENERAL

- 19.10 Not all the controls in the previous sections of this Guide are appropriate for the manufacture of a new API for investigational use during its development. Section 19 provides specific guidance unique to these circumstances.
- 19.11 The controls used in the manufacture of APIs for use in clinical trials should be consistent with the stage of development of the drug product incorporating the API. Process and test procedures should be flexible to provide for changes as knowledge of the process increases and clinical testing of a drug product progresses from preclinical stages through

clinical stages. Once drug development reaches the stage where the API is produced for use in drug products intended for clinical trials, manufacturers should ensure that APIs are manufactured in suitable facilities using appropriate production and control procedures to ensure the quality of the API.

19.2. QUALITY

- 19.20 Appropriate GMP concepts should be applied in the production of APIs for use in clinical trials with a suitable mechanism of approval of each batch.
- 19.21 A quality unit(s) independent from production should be established for the approval or rejection of each batch of API for use in clinical trials.
- 19.22 Some of the testing functions commonly performed by the quality unit(s) can be performed within other organizational units.
- 19.23 Quality measures should include a system for testing of raw materials, packaging materials, intermediates, and APIs.
- 19.24 Process and quality problems should be evaluated.
- 19.25 Labeling for APIs intended for use in clinical trials should be appropriately controlled and should identify the material as being for investigational use.

19.3. EQUIPMENT AND FACILITIES

- 19.30 During all phases of clinical development, including the use of small-scale facilities or laboratories to manufacture batches of APIs for use in clinical trials, procedures should be in place to ensure that equipment is calibrated, clean, and suitable for its intended use.
- 19.31 Procedures for the use of facilities should ensure that materials are handled in a manner that minimizes the risk of contamination and cross-contamination.

19.4. CONTROL OF RAW MATERIALS

- 19.40 Raw materials used in production of APIs for use in clinical trials should be evaluated by testing or received with a supplier's analysis and subjected to identity testing. When a material is considered hazardous, a supplier's analysis should suffice.
- 19.41 In some instances, the suitability of a raw material can be determined before use based on acceptability in small-scale reactions (i.e., use testing) rather than on analytical testing alone.

19.5. PRODUCTION

- 19.50 The production of APIs for use in clinical trials should be documented in laboratory notebooks, batch records, or by other appropriate means. These

documents should include information on the use of production materials, equipment, processing, and scientific observations.

- 19.51 Expected yields can be more variable and less defined than the expected yields used in commercial processes. Investigations into yield variations are not expected.

19.6. VALIDATION

- 19.60 Process validation for the production of APIs for use in clinical trials is normally inappropriate, where a single API batch is produced or where process changes during API development make batch replication difficult or inexact. The combination of controls, calibration, and, where appropriate, equipment qualification assures API quality during this development phase.
- 19.61 Process validation should be conducted in accordance with Section 12 when batches are produced for commercial use, even when such batches are produced on a pilot or small scale.

19.7. CHANGES

- 19.70 Changes are expected during development, as knowledge is gained and the production is scaled up. Every change in the production, specifications, or test procedures should be adequately recorded.

19.8. LABORATORY CONTROLS

- 19.80 While analytical methods performed to evaluate a batch of API for clinical trials may not yet be validated, they should be scientifically sound.
- 19.81 A system for retaining reserve samples of all batches should be in place. This system should ensure that a sufficient quantity of each reserve sample is retained for an appropriate length of time after approval, termination, or discontinuation of an application.
- 19.82 Expiry and retest dating as defined in Section 11.6 applies to existing APIs used in clinical trials. For new APIs, Section 11.6 does not normally apply in early stages of clinical trials.

19.9. DOCUMENTATION

- 19.90 A system should be in place to ensure that information gained during the development and the manufacture of APIs for use in clinical trials is documented and available.
- 19.91 The development and implementation of the analytical methods used to support the release of a batch of API for use in clinical trials should be appropriately documented.

- 19.92 A system for retaining production and control records and documents should be used. This system should ensure that records and documents are retained for an appropriate length of time after the approval, termination, or discontinuation of an application.

GLOSSARY

Acceptance Criteria: Numerical limits, ranges, or other suitable measures for acceptance of test results.

Active Pharmaceutical Ingredient (API) (or Drug Substance): Any substance or mixture of substances intended to be used in the manufacture of a drug (medicinal) product and that, when used in the production of a drug, becomes an active ingredient of the drug product. Such substances are intended to furnish pharmacological activity or other direct effect in the diagnosis, cure, mitigation, treatment, or prevention of disease or to affect the structure and function of the body.

API Starting Material: A raw material, intermediate, or an API that is used in the production of an API and that is incorporated as a significant structural fragment into the structure of the API. An API Starting Material can be an article of commerce, a material purchased from one or more suppliers under contract or commercial agreement, or produced in-house. API Starting Materials are normally of defined chemical properties and structure.

Batch (or Lot): A specific quantity of material produced in a process or series of processes so that it is expected to be homogeneous within specified limits. In the case of continuous production, a batch may correspond to a defined fraction of the production. The batch size can be defined either by a fixed quantity or by the amount produced in a fixed time interval.

Batch Number (or Lot Number): A unique combination of numbers, letters, and/or symbols that identifies a batch (or lot) and from which the production and distribution history can be determined.

Bioburden: The level and type (e.g., objectionable or not) of microorganisms that can be present in raw materials, API Starting Materials, intermediates, or APIs. Bioburden should not be considered contamination unless the levels have been exceeded or defined objectionable organisms have been detected.

Calibration: The demonstration that a particular instrument or device produces results within specified limits by comparison with those produced by a reference or traceable standard over an appropriate range of measurements.

Computer System: A group of hardware components and associated software, designed and assembled to perform a specific function or group of functions.

Computerized System: A process or operation integrated with a computer system.

- Contamination:** The undesired introduction of impurities of a chemical or microbiological nature, or of foreign matter, into or onto a raw material, intermediate, or API during production, sampling, packaging or repackaging, storage or transport.
- Contract Manufacturer:** A manufacturer performing some aspect of manufacturing on behalf of the original manufacturer.
- Critical:** Describes a process step, process condition, test requirement, or other relevant parameter or item that must be controlled within predetermined criteria to ensure that the API meets its specification.
- Cross-Contamination:** Contamination of a material or product with another material or product.
- Deviation:** Departure from an approved instruction or established standard.
- Drug (Medicinal) Product:** The dosage form in the final immediate packaging intended for marketing (Reference Q1A).
- Drug Substance:** See Active Pharmaceutical Ingredient.
- Expiry Date (or Expiration Date):** The date placed on the container/labels of an API designating the time during which the API is expected to remain within established shelf life specifications if stored under defined conditions and after which it should not be used.
- Impurity:** Any component present in the intermediate or API that is not the desired entity.
- Impurity Profile:** A description of the identified and unidentified impurities present in an API.
- In-Process Control (or Process Control):** Checks performed during production in order to monitor and, if appropriate, to adjust the process and/or to ensure that the intermediate or API conforms to its specifications.
- Intermediate:** A material produced during steps of the processing of an API that undergoes further molecular change or purification before it becomes an API. Intermediates may or may not be isolated. (*Note:* This Guide only addresses those intermediates produced after the point that the company has defined as the point at which the production of the API begins.)
- Lot:** See Batch.
- Lot Number:** See Batch Number.
- Manufacture:** All operations of receipt of materials, production, packaging, repackaging, labeling, relabeling, quality control, release, storage, and distribution of APIs and related controls.
- Material:** A general term used to denote raw materials (starting materials, reagents, solvents), process aids, intermediates, APIs, and packaging and labeling materials.
- Mother Liquor:** The residual liquid which remains after the crystallization or isolation processes. A mother liquor may contain unreacted materials, intermediates, levels of the API, and/or impurities. It may be used for further processing.
- Packaging Material:** Any material intended to protect an intermediate or API during storage and transport.
- Procedure:** A documented description of the operations to be performed, the precautions to be taken, and measures to be applied directly or indirectly related to the manufacture of an intermediate or API.
- Process Aids:** Materials, excluding solvents, used as an aid in the manufacture of an intermediate or API that do not themselves participate in a chemical or biological reaction (e.g., filter aid, activated carbon, etc.).
- Process Control:** See In-Process Control.
- Production:** All operations involved in the preparation of an API from receipt of materials through processing and packaging of the API.
- Qualification:** Action of proving and documenting that equipment or ancillary systems are properly installed, work correctly, and actually lead to the expected results. Qualification is part of validation, but the individual qualification steps alone do not constitute process validation.
- Quality Assurance (QA):** The sum total of the organized arrangements made with the object of ensuring that all APIs are of the quality required for their intended use and that quality systems are maintained.
- Quality Control (QC):** Checking or testing that specifications are met.
- Quality Unit(s):** An organizational unit independent of production which fulfills both Quality Assurance and Quality Control responsibilities. This can be in the form of separate QA and QC units or a single individual or group, depending upon the size and structure of the organization.
- Quarantine:** The status of materials isolated physically or by other effective means pending a decision on their subsequent approval or rejection.
- Raw Material:** A general term used to denote starting materials, reagents, and solvents intended for use in the production of intermediates or APIs.
- Reference Standard, Primary:** A substance that has been shown by an extensive set of analytical tests to be authentic material that should be of high purity. This standard can be (1) obtained from an officially recognized source, (2) prepared by independent synthesis, (3) obtained from existing production material of high purity, or (4) prepared by further purification of existing production material.
- Reference Standard, Secondary:** A substance of established quality and purity, as shown by comparison to a primary reference standard, used as a reference standard for routine laboratory analysis.
- Reprocessing:** Introducing an intermediate or API, including one that does not conform to standards or specifications, back into the process and repeating a crystallization step or other appropriate chemical or physical manipulation steps (e.g., distillation, filtration, chromatography, milling) that are part of the established manufacturing process. Continuation of a process

step after an in-process control test has shown that the step is incomplete if considered to be part of the normal process and not reprocessing.

Retest Date: The date when a material should be reexamined to ensure that it is still suitable for use.

Reworking: Subjecting an intermediate or API that does not conform to standards or specifications to one or more processing steps that are different from the established manufacturing process to obtain acceptable quality intermediate or API (e.g., recrystallizing with a different solvent).

Signature (signed): See definition for signed.

Signed (signature): The record of the individual who performed a particular action or review. This record can be initials, full handwritten signature, personal seal, or authenticated and secure electronic signature.

Solvent: An inorganic or organic liquid used as a vehicle for the preparation of solutions or suspensions in the manufacture of an intermediate or API.

Specification: A list of tests, references to analytical procedures, and appropriate acceptance criteria that are numerical limits, ranges, or other criteria for the test described. It establishes the set of criteria to which a material should conform to be considered acceptable

for its intended use. “Conformance to specification” means that the material, when tested according to the listed analytical procedures, will meet the listed acceptance criteria.

Validation: A documented program that provides a high degree of assurance that a specific process, method, or system will consistently produce a result meeting predetermined acceptance criteria.

Validation Protocol: A written plan stating how validation will be conducted and defining acceptance criteria. For example, the protocol for a manufacturing process identifies processing equipment, critical process parameters/operating ranges, product characteristics, sampling, test data to be collected, number of validation runs, and acceptable test results.

Yield, Expected: The quantity of material or the percentage of theoretical yield anticipated at any appropriate phase of production based on previous laboratory, pilot scale, or manufacturing data.

Yield, Theoretical: The quantity that would be produced at any appropriate phase of production, based upon the quantity of material to be used, in the absence of any loss or error in actual production.



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7 Validation of Analytical Procedures

I. INTRODUCTION

The objective of validation of an analytical procedure is to demonstrate that it is suitable for its intended purpose. A tabular summation of the characteristics applicable to identification, control of impurities, and assay procedures is included. Other analytical procedures may be considered in future additions to this document.

II. TYPES OF ANALYTICAL PROCEDURES TO BE VALIDATED

The four most common types of analytical procedures are as follows:

- Identification tests
- Quantitative tests for impurities' content
- Limit tests for the control of impurities
- Quantitative tests of the active moiety in samples of drug substance or drug product or other selected component(s) in the drug product

Although there are many other analytical procedures, such as dissolution testing for drug products or particle size determination for drug substance, these have not been addressed in the initial text on validation of analytical procedures. Validation of these additional analytical procedures is equally important to those listed herein and may be addressed in subsequent documents.

A brief description of the types of tests considered in this document is provided below.

- Identification tests are intended to ensure the identity of an analyte in a sample. This is normally achieved by comparison of a property of the sample (e.g., spectrum, chromatographic behavior, chemical reactivity, etc.) to that of a reference standard.
- Testing for impurities can be either a quantitative test or a limit test for the impurity in a sample. Either test is intended to accurately reflect the purity characteristics of the sample. Different validation characteristics are required for a quantitative test than for a limit test.
- Assay procedures are intended to measure the analyte present in a given sample. In the context

of this document, the assay represents a quantitative measurement of the major component(s) in the drug substance. For the drug product, similar validation characteristics also apply when assaying for the active or other selected component(s). The same validation characteristics may also apply to assays associated with other analytical procedures (e.g., dissolution).

The objective of the analytical procedure should be clearly understood since this will govern the validation characteristics which need to be evaluated. Typical validation characteristics which should be considered are listed below:

Accuracy
Precision
Repeatability
Intermediate Precision
Specificity
Detection Limit
Quantitation Limit
Linearity
Range

Each of these validation characteristics is defined in the attached Glossary. The table lists those validation characteristics regarded as the most important for the validation of different types of analytical procedures. This list should be considered typical for the analytical procedures cited, but occasional exceptions should be dealt with on a case-by-case basis. It should be noted that robustness is not listed in the table but should be considered at an appropriate stage in the development of the analytical procedure.

Furthermore revalidation may be necessary in the following circumstances:

- Changes in the synthesis of the drug substance
- Changes in the composition of the finished product and
- Changes in the analytical procedure

The degree of revalidation required depends on the nature of the changes. Certain other changes may require validation as well.

Type of Analytical Procedure Characteristics	Identification	Testing for Impurities Quantitation Limit		Assay-Dissolution (Measurement Only)—Content/Potency
		+	-	
Accuracy	-	+	-	+
Precision				
Repeatability	-	+	-	+
Intermediate Precision	-	+ ^a	-	+ ^a
Specificity ^b	+	+	+	+
Detection Limit	-	- ^c	+	-
Quantitation Limit	-	+	-	-
Linearity	-	+	-	+
Range	-	+	-	+

- Signifies that this characteristic is not normally evaluated
+ Signifies that this characteristic is normally evaluated
^a In cases where reproducibility (see Glossary) has been performed, Intermediate Precision is not needed.
^b Lack of specificity of one analytical procedure could be compensated by other supporting analytical procedure(s).
^c May be needed in some cases.

VALIDATION METHODOLOGY OVERVIEW

I. INTRODUCTION

All relevant data collected during validation and formulae used for calculating validation characteristics should be submitted and discussed as appropriate.

Approaches other than those set forth in this guideline may be applicable and acceptable. It is the responsibility of the applicant to choose the validation procedure and protocol most suitable for their product. However, it is important to remember that the main objective of validation of an analytical procedure is to demonstrate that the procedure is suitable for its intended purpose. Because of their complex nature, analytical procedures for biological and biotechnological products in some cases may be approached differently than in this document.

Well-characterized reference materials, with documented purity, should be used throughout the validation study. The degree of purity necessary depends on the intended use.

In accordance with the parent document, and for the sake of clarity, this document considers the various validation characteristics in distinct sections. The arrangement of these sections reflects the process by which an analytical procedure may be developed and evaluated.

In practice, it is usually possible to design the experimental work such that the appropriate validation characteristics can be considered simultaneously to provide a sound, overall knowledge of the capabilities of the analytical procedure, for instance, specificity, linearity, range, accuracy, and precision.

II. SPECIFICITY

An investigation of specificity should be conducted during the validation of identification tests, the determination of impurities, and the assay. The procedures used to demonstrate specificity will depend on the intended objective of the analytical procedure.

It is not always possible to demonstrate that an analytical procedure is specific for a particular analyte (complete discrimination). In this case, a combination of two or more analytical procedures is recommended to achieve the necessary level of discrimination.

A. IDENTIFICATION

Suitable identification tests should be able to discriminate between compounds of closely related structures, which are likely to be present. The discrimination of a procedure may be confirmed by obtaining positive results (perhaps by comparison with a known reference material) from samples containing the analyte, coupled with negative results from samples, which do not contain the analyte. In addition, the identification test may be applied to materials structurally similar to or closely related to the analyte to confirm that a positive response is not obtained. The choice of such potentially interfering materials should be based on sound scientific judgment with a consideration of the interferences that could occur.

B. ASSAY AND IMPURITY TEST(S)

For chromatographic procedures, representative chromatograms should be used to demonstrate specificity, and individual components should be appropriately labeled. Similar considerations should be given to other separation techniques.

Critical separations in chromatography should be investigated at an appropriate level. For critical separations, specificity can be demonstrated by the resolution of the two components, which elute closest to each other.

In cases where a nonspecific assay is used, other supporting analytical procedures should be used to demonstrate overall specificity. For example, where a titration is adopted to assay the drug substance for release, the combination of the assay and a suitable test for impurities can be used.

The approach is similar for both assay and impurity tests.

1. Impurities Are Available

For the assay, this should involve demonstration of the discrimination of the analyte in the presence of impurities and/or excipients; practically, this can be done by spiking pure substances (drug substance or drug product) with appropriate levels of impurities and/or excipients and demonstrating that the assay result is unaffected by the presence of these materials (by comparison with the assay result obtained on unspiked samples).

For the impurity test, the discrimination may be established by spiking drug substance or drug product with appropriate levels of impurities and demonstrating the separation of

these impurities individually and/or from other components in the sample matrix.

2. Impurities Are Not Available

If impurity or degradation product standards are unavailable, specificity may be demonstrated by comparing the test results of samples containing impurities or degradation products to a second well-characterized procedure, for example, pharmacopoeial method or other validated analytical procedure (independent procedure). As appropriate, this should include samples stored under relevant stress conditions: Light, heat, humidity, acid/base hydrolysis, and oxidation.

- For the assay, the two results should be compared.
- For the impurity tests, the impurity profiles should be compared.

Peak purity tests may be useful to show that the analyte chromatographic peak is not attributable to more than one component (e.g., diode array, mass spectrometry).

III. LINEARITY

A linear relationship should be evaluated across the range (see Section 3) of the analytical procedure. It may be demonstrated directly on the drug substance (by dilution of a standard stock solution) and/or separate weighings of synthetic mixtures of the drug product components, using the proposed procedure. The latter aspect can be studied during investigation of the range.

Linearity should be evaluated by visual inspection of a plot of signals as a function of analyte concentration or content. If there is a linear relationship, test results should be evaluated by appropriate statistical methods, for example, by calculation of a regression line by the method of least squares. In some cases, to obtain linearity between assays and sample concentrations, the test data may need to be subjected to a mathematical transformation prior to the regression analysis. Data from the regression line itself may be helpful to provide mathematical estimates of the degree of linearity.

The correlation coefficient, y-intercept, slope of the regression line, and residual sum of squares should be submitted. A plot of the data should be included. In addition, an analysis of the deviation of the actual data points from the regression line may also be helpful for evaluating linearity.

Some analytical procedures, such as immunoassays, do not demonstrate linearity after any transformation. In this case, the analytical response should be described by an appropriate function of the concentration (amount) of an analyte in a sample.

For the establishment of linearity, a minimum of five concentrations is recommended. Other approaches should be justified.

IV. RANGE

The specified range is normally derived from linearity studies and depends on the intended application of the procedure. It is established by confirming that the analytical procedure

provides an acceptable degree of linearity, accuracy, and precision when applied to samples containing amounts of analyte within or at the extremes of the specified range of the analytical procedure.

The following minimum specified ranges should be considered:

- For the assay of a drug substance or a finished (drug) product: Normally from 80% to 120% of the test concentration.
- For content uniformity, covering a minimum of 70% to 130% of the test concentration, unless a wider more appropriate range, based on the nature of the dosage form (e.g., metered dose inhalers), is justified.
- For dissolution testing: $\pm 20\%$ over the specified range.

For example, if the specifications for a controlled released product cover a region from 20%, after 1 hour, up to 90%, after 24 hours, the validated range would be 0% to 110% of the label claim.

- For the determination of an impurity: From the reporting level of an impurity to 120% of the specification.
- For impurities known to be unusually potent or to produce toxic or unexpected pharmacological effects, the detection/quantitation limit should be commensurate with the level at which the impurities must be controlled.
Note: For validation of impurity test procedures carried out during development, it may be necessary to consider the range around a suggested (probable) limit.
- If assay and purity are performed together as one test and only a 100% standard is used, linearity should cover the range from the reporting level of the impurities¹ to 120% of the assay specification.

V. ACCURACY

Accuracy should be established across the specified range of the analytical procedure.

A. ASSAY

1. Drug Substance

Several methods of determining accuracy are available:

- (a) Application of an analytical procedure to an analyte of known purity (e.g., reference material).
- (b) Comparison of the results of the proposed analytical procedure with those of a second well-characterized procedure, the accuracy of which is stated and/or defined [independent procedure, see Assay and Impurity Test(s)].
- (c) Accuracy may be inferred once precision, linearity, and specificity have been established.

2. Drug Product

Several methods for determining accuracy are available:

1. Application of the analytical procedure to synthetic mixtures of the drug product components to which known quantities of the drug substance to be analyzed have been added.
2. In cases where it is impossible to obtain samples of all drug product components, it may be acceptable either to add known quantities of the analyte to the drug product or to compare the results obtained from a second, well-characterized procedure, the accuracy of which is stated and/or defined [independent procedure, see Assay and Impurity Test(s)].
3. Accuracy may be inferred once precision, linearity, and specificity have been established.

B. IMPURITIES (QUANTITATION)

Accuracy should be assessed on samples (drug substance/drug product) spiked with known amounts of impurities.

In cases where it is impossible to obtain samples of certain impurities and/or degradation products, it is considered acceptable to compare results obtained by an independent procedure [see Assay and Impurity Test(s)]. The response factor of the drug substance can be used.

It should be clear how the individual or total impurities are to be determined, for example, weight/weight or area percent, in all cases with respect to the major analyte.

C. RECOMMENDED DATA

Accuracy should be assessed using a minimum of nine determinations over a minimum of three concentration levels covering the specified range (e.g., three concentrations/three replicates each of the total analytical procedure).

Accuracy should be reported as percent recovery by the assay of known added amount of analyte in the sample or as the difference between the mean and the accepted true value together with the confidence intervals.

VI. PRECISION

Validation of tests for assay and for quantitative determination of impurities includes an investigation of precision.

A. REPEATABILITY

Repeatability should be assessed using:

- (a) A minimum of nine determinations covering the specified range for the procedure (e.g., three concentrations/three replicates each) or
- (b) A minimum of six determinations at 100% of the test concentration

B. INTERMEDIATE PRECISION

The extent to which intermediate precision should be established depends on the circumstances under which the

procedure is intended to be used. The applicant should establish the effects of random events on the precision of the analytical procedure. Typical variations to be studied include days, analysts, equipment, etc. It is not considered necessary to study these effects individually. The use of an experimental design (matrix) is encouraged.

C. REPRODUCIBILITY

Reproducibility is assessed by means of an interlaboratory trial. Reproducibility should be considered in case of the standardization of an analytical procedure, for instance, for inclusion of procedures in pharmacopoeias. These data are not part of the marketing authorization dossier.

D. RECOMMENDED DATA

The standard deviation, relative standard deviation (coefficient of variation), and confidence interval should be reported for each type of precision investigated.

VII. DETECTION LIMIT

Several approaches for determining the detection limit are possible, depending on whether the procedure is a non-instrumental or instrumental. Approaches other than those listed below may be acceptable.

A. BASED ON VISUAL EVALUATION

Visual evaluation may be used for non-instrumental methods but may also be used with instrumental methods.

The detection limit is determined by the analysis of samples with known concentrations of analyte and by establishing the minimum level at which the analyte can be reliably detected.

B. BASED ON SIGNAL-TO-NOISE

This approach can only be applied to analytical procedures which exhibit baseline noise.

Determination of the signal-to-noise ratio is performed by comparing measured signals from samples with known low concentrations of analyte with those of blank samples and establishing the minimum concentration at which the analyte can be reliably detected. A signal-to-noise ratio between 3 or 2:1 is generally considered acceptable for estimating the detection limit.

C. BASED ON THE STANDARD DEVIATION OF THE RESPONSE AND THE SLOPE

The detection limit (*DL*) may be expressed as:

$$DL = \frac{3.3\tilde{A}}{S}$$

where,

σ = the standard deviation of the response

S = the slope of the calibration curve

The slope S may be estimated from the calibration curve of the analyte. The estimate of σ may be carried out in a variety of ways, for example:

1. Based on the Standard Deviation of the Blank

Measurement of the magnitude of analytical background response is performed by analyzing an appropriate number of blank samples and calculating the standard deviation of these responses.

2. Based on the Calibration Curve

A specific calibration curve should be studied using samples containing an analyte in the range of DL . The residual standard deviation of a regression line or the standard deviation of y-intercepts of regression lines may be used as the standard deviation.

D. RECOMMENDED DATA

The detection limit and the method used for determining the detection limit should be presented. If DL is determined based on visual evaluation or based on the signal-to-noise ratio, the presentation of the relevant chromatograms is considered acceptable for justification.

In cases where an estimated value for the detection limit is obtained by calculation or extrapolation, this estimate may subsequently be validated by the independent analysis of a suitable number of samples known to be near or prepared at the detection limit.

VIII. QUANTITATION LIMIT

Several approaches for determining the quantitation limit are possible, depending on whether the procedure is a non-instrumental or instrumental. Approaches other than those listed below may be acceptable.

A. BASED ON VISUAL EVALUATION

Visual evaluation may be used for non-instrumental methods but may also be used with instrumental methods.

The quantitation limit is generally determined by the analysis of samples with known concentrations of analyte and by establishing the minimum level at which the analyte can be quantified with acceptable accuracy and precision.

B. BASED ON SIGNAL-TO-NOISE APPROACH

This approach can only be applied to analytical procedures that exhibit baseline noise.

Determination of the signal-to-noise ratio is performed by comparing measured signals from samples with known low concentrations of analyte with those of blank samples and by

establishing the minimum concentration at which the analyte can be reliably quantified. A typical signal-to-noise ratio is 10:1.

C. BASED ON THE STANDARD DEVIATION OF THE RESPONSE AND THE SLOPE

The quantitation limit (QL) may be expressed as:

$$QL = \frac{10\tilde{\sigma}}{S}$$

where

σ = the standard deviation of the response

S = the slope of the calibration curve

The slope S may be estimated from the calibration curve of the analyte. The estimate of σ may be carried out in a variety of ways, for example:

1. Based on Standard Deviation of the Blank

Measurement of the magnitude of analytical background response is performed by analyzing an appropriate number of blank samples and calculating the standard deviation of these responses.

2. Based on the Calibration Curve

A specific calibration curve should be studied using samples containing an analyte in the range of QL . The residual standard deviation of a regression line or the standard deviation of y-intercepts of regression lines may be used as the standard deviation.

D. RECOMMENDED DATA

The quantitation limit and the method used for determining the quantitation limit should be presented.

The limit should be subsequently validated by the analysis of a suitable number of samples known to be near or prepared at the quantitation limit.

IX. ROBUSTNESS

The evaluation of robustness should be considered during the development phase and depends on the type of procedure under study. It should show the reliability of an analysis with respect to deliberate variations in method parameters.

If measurements are susceptible to variations in analytical conditions, the analytical conditions should be suitably controlled or a precautionary statement should be included in the procedure. One consequence of the evaluation of robustness should be that a series of system suitability parameters (e.g., resolution test) is established to ensure that the validity of the analytical procedure is maintained whenever used.

Examples of typical variations are:

- Stability of analytical solutions
- Extraction time

In the case of liquid chromatography, examples of typical variations are:

- Influence of variations of pH in a mobile phase
- Influence of variations in mobile phase composition
- Different columns (different lots and/or suppliers)
- Temperature
- Flow rate

In the case of gas chromatography, examples of typical variations are:

- Different columns (different lots and/or suppliers)
- Temperature
- Flow rate

X. SYSTEM SUITABILITY TESTING

System suitability testing is an integral part of many analytical procedures. The tests are based on the concept that the equipment, electronics, analytical operations, and samples to be analyzed constitute an integral system that can be evaluated as such. System suitability test parameters to be established for a particular procedure depend on the type of procedure being validated. See pharmacopoeias for additional information.

20. FDA Bioanalytical Method Validation Guidance for Industry

A. INTRODUCTION

This guidance helps sponsors of investigational new drug applications (INDs) or applicants of new drug applications (NDAs), abbreviated new drug applications (ANDAs), biologic license applications (BLAs), and supplements validate bioanalytical methods used in human clinical pharmacology, bioavailability (BA), and bioequivalence (BE) studies that require pharmacokinetic, toxicokinetic, or biomarker concentration evaluation. This guidance can also inform the development of bioanalytical methods used for nonclinical studies that require toxicokinetic or biomarker concentration data. For studies related to the veterinary drug approval process such as investigational new animal drug applications (INADs), new animal drug applications (NADAs), and abbreviated new animal drug applications (ANADAs), this guidance may apply to blood and urine BA, BE, and pharmacokinetic studies.

The information in this guidance applies to bioanalytical procedures such as chromatographic assays (CCs) and ligand binding assays (LBAs) that quantitatively determine the levels of drugs, their metabolites, therapeutic proteins, and biomarkers in biological matrices such as blood, serum, plasma, urine, and tissue such as skin.

This final guidance incorporates public comments to the revised draft published in 2013 and provides recommendations for the development, validation, and in-study use of bioanalytical methods. The recommendations can be modified

with justification, depending on the specific type of bioanalytical method. This guidance reflects advances in science and technology related to validating bioanalytical methods.

In general, FDA's guidance documents do not establish legally enforceable responsibilities. Instead, guidances describe the Agency's current thinking on a topic and should be viewed only as recommendations, unless specific regulatory or statutory requirements are cited. The use of the word *should* in Agency guidances means that something is suggested or recommended but not required.

B. BACKGROUND

The 2001 guidance for industry on *Bioanalytical Method Validation* was originally based on the deliberations of two workshops described in publications entitled:

- *Analytical Methods Validation: Bioavailability, Bioequivalence, and Pharmacokinetic Studies*¹
- *Bioanalytical Methods Validation: A Revisit With a Decade of Progress*²

Additional workshops, summarized in the following publications, have informed subsequent revisions (e.g., the 2013 draft guidance for industry entitled *Bioanalytical Method Validation*³):

- *Quantitative Bioanalytical Methods Validation and Implementation: Best Practices for Chromatographic and Ligand Binding Assays*⁴
- *The AAPS/FDA Workshop on Incurred Sample Reanalysis*⁵
- *The AAPS Workshop on Crystal City V—Quantitative Bioanalytical Method Validation and Implementation: 2013 Revised FDA Guidance*⁶

Validated analytical methods for the quantitative evaluation of analytes (i.e., drugs, including biologic products, and their metabolites) and biomarkers in a given biological matrix (e.g., blood, plasma, serum, or urine) are critical for the successful conduct of nonclinical, biopharmaceutics, and clinical pharmacology studies. These validated methods provide critical data to support the safety and effectiveness of drugs and biologic products. Validating the analytical method ensures that the data are reliable by addressing certain key questions, including:

- Does the method measure the intended analyte? For example, does anything interfere with the measurement, and is the method specific or selective for the analyte?
- What is the variability associated with these measurements? For example, what are the accuracy and precision of the method?
- What is the range in measurements that provide reliable data? For example, what is the sensitivity of the method [e.g., what is the lower limit of quantitation

(LLOQ) of the method, and what is the upper limit of quantitation the method (ULOQ)?]

- How do sample collection, handling, and storage affect the reliability of the data from the bioanalytical method? For example, what steps need to be followed while collecting samples? Do the samples need to be frozen during shipping? What temperatures are required to store the samples, and how long can the samples be stored?

When changes are made to a validated method, the sponsor should conduct additional validation (i.e., partial or cross validation).

The fit-for-purpose (FFP) concept states that the level of validation should be appropriate for the intended purpose of the study. The key questions listed above should be evaluated relative to the stage of drug development. Pivotal studies submitted in an NDA, BLA, or ANDA that require regulatory decision making for approval, safety, or labeling, such as BE or pharmacokinetic studies, should include bioanalytical methods that are fully validated. Exploratory methods that would not be used to support regulatory decision making (e.g., candidate selection) may not require such stringent validation. This FFP concept applies to drugs, their metabolites, and biomarkers.

The analytical laboratory conducting toxicology studies for regulatory submissions should adhere to 21 CFR 58, Good Laboratory Practices (GLPs).⁷ The bioanalytical method for human BA, BE, and pharmacokinetic studies must meet the criteria specified in 21 CFR 320 Bioequivalence and Bioavailability Requirements (i.e., 21 CFR 320.29).

The following sections discuss the development, validation, and in-study use of bioanalytical methods and how best to document validation methods and results. Refer to the Glossary for the definitions of assay parameters and analytical terms used in this guidance.

C. BIOANALYTICAL METHOD DEVELOPMENT AND VALIDATION

1. Guiding Principles

The purpose of bioanalytical method development is to define the design, operating conditions, limitations, and suitability of the method for its intended purpose and to ensure that the method is optimized for validation.

Before the development of a bioanalytical method, the sponsor should understand the analyte of interest (e.g., determine the physicochemical properties of the drug, in vitro and in vivo metabolism, and protein binding) and consider aspects of any prior analytical methods that may be applicable.

The elements and acceptance criteria of method development and validation are summarized in Table 7.1. Table 7.2 describes how the sponsor should document the development and validation of the bioanalytical assay and where it should be stored or submitted.

Method development involves optimizing the procedures and conditions involved with extracting and detecting the analyte. Method development includes the optimization of the following bioanalytical parameters (which are discussed in greater detail in Section III.B) to ensure that the method is suitable for validation:

- Reference standards
- Critical reagents
- Calibration curve
- Quality control samples (QCs)
- Selectivity and specificity
- Sensitivity
- Accuracy
- Precision
- Recovery
- Stability of the analyte in the matrix

Bioanalytical method development does not require extensive record keeping or notation. However, the sponsor should record the changes to procedures as well as any issues and their resolutions during development of the bioanalytical method to provide a rationale for any changes during the development of the method.

Bioanalytical method validation proves that the optimized method is suited to the analysis of the study samples. The sponsor should:

- Conduct a full validation of any new bioanalytical method for the analysis of a new drug entity, its metabolite(s), or biomarkers.
- Conduct a full validation for any revisions to an existing validated method that adds a metabolite or an additional analyte.
- Establish a detailed, written description [e.g., protocol, study plan, and/or standard operating procedure (SOP)] for the bioanalytical method before initiating validation. The description should identify procedures that control critical parameters in the method (e.g., environmental, matrix, procedural variables) from the time of collection of the samples to the time of analysis to minimize their effects on the measurement of the analyte in the matrix.
- Document and report (in the method validation report) all experiments used to make claims or draw conclusions about the validity of the method.
- Validate the measurement of each analyte in the biological matrix. The specific recommendations and acceptance criteria for each bioanalytical parameter are listed in Table 7.1.

2. Bioanalytical Parameters of CCs and LBAs

The bioanalytical parameters applicable to CCs and LBAs are discussed below. Issues unique to either CCs or LBAs are specifically identified.

1. Reference Standards and Critical Reagents

The sponsor should appropriately characterize and document (e.g., determine the identity, purity, and stability) all reference standards and critical reagents, such as antibodies, labeled analytes, and matrices, and store them under defined conditions.

a. Reference Standards The purity of reference standards used to prepare calibrators and QCs can affect the study data. Therefore, the sponsor should use authenticated analytical reference standards with known identities and purities to prepare solutions of known concentrations. The reference standard should be identical to the analyte; however, when this scenario is not possible, the sponsor can use an established chemical form (e.g., free base, free acid, or salt) of known purity.

The sponsor should provide the Certificates of Analysis (CoA), including the source, lot number, and expiration date [with the exception of United States Pharmacopeia (USP) standards] for commercially available reference standards. For internally or externally generated reference standards that do not have a CoA, the sponsor should provide evidence of the standard's identity and purity in addition to the source and the lot number. When using expired reference standards, the sponsor should provide an updated CoA or re-establish the identity and purity of the standard. If the reference standard expires, the sponsor should not make stock solutions with this lot of standard unless the standard's purity is re-established. For internal standards (ISs), the sponsor does not have to provide a CoA or evidence of purity if it demonstrates that the IS is suitable for the specific use (e.g., lack of interference with an analyte).

b. Critical Reagents The sponsor should appropriately characterize and document (i.e., determine the identity, purity, and stability) the critical reagents, including—but not limited to—any reference standards, antibodies, labeled analytes, and matrices.

Assay validation is important when there are changes to the critical reagents, such as lot-to-lot changes or switches to another reagent. For example, if there are changes to the labeled analytes, detector reagents, or antibodies, the sponsor should:

- Evaluate binding and re-optimize assays
- Verify performance with a standard curve and QCs
- Evaluate cross-reactivities

2. Calibration Curve

During method development, the sponsor should choose the quantitation range of the assay and the concentrations of the calibration standards on the basis of the concentration range expected in a particular study. For LBAs, in addition to the calibration standards, anchor points outside the range of quantification can facilitate the fitting of the curve. Anchor points should not be used as part of the acceptance criteria

for the run. For most LBAs, calibration (standard) curves are inherently nonlinear, and in general, more calibration standards are needed to define the fit over the calibration curve range for LBAs than for CCs. In addition, the response–error relationship for LBA standard curves is a variable function of the mean response (i.e., heteroscedasticity).

The sponsor should use the simplest model that adequately describes the concentration–response relationship, as well as an appropriate weighting scheme and regression equation. For LBAs, the concentration–response relationship is most often fitted to a four- or five-parameter logistic model, although other models can be assessed.

When the method is validated, the calibration curve should be continuous and reproducible. The sponsor should prepare the calibration standards in the same biological matrix as the samples in the intended study. Study samples may contain more than one analyte. The sponsor should generate a calibration curve for each analyte in the sample. When surrogate matrices are necessary, the sponsor should justify and validate the calibration curves.

The requirements for the calibration curve, including the LLOQ, ULOQ, as well as the acceptance criteria are listed in Table 7.1.

3. Quality Control Samples

Quality controls are used to assess the precision and accuracy of an assay and the stability of the samples. Sponsors should prepare QCs in the same matrix as the study samples to be assayed with the validated method. Freshly prepared QCs are recommended for precision and accuracy analyses during method development, as stability data are generally not available at this time.

During method validation, QCs evaluate the performance of a method and the stability of an analyte. Performance QCs are included in validation runs to determine the precision and accuracy of the method (see Section III.B). Stability QCs evaluate the stability of an analyte under various stress conditions (refer to Section III.B for the selection of QC concentrations).

The sponsor should prepare any calibration standards and QCs from separate stock solutions. However, if the sponsor can demonstrate the precision and accuracy in one validation run using calibrators and QCs prepared from separate stock solutions, then the sponsor can use calibrators and QCs prepared from the same stock solution in subsequent runs. The sponsor should make up calibrators and QCs in lots of blank matrix that is free of interference or matrix effects.

4. Selectivity and Specificity

During method development, the sponsor should verify that the substance being measured is the intended analyte to minimize or avoid interference. Selectivity of the method is routinely demonstrated by analyzing blank samples of the appropriate biological matrix (e.g., plasma) from multiple sources. Depending on the intended use of the assay, the impact of hemolyzed samples, lipemic samples, or samples from special populations can be included in the selectivity

assessment. When using liquid chromatography/mass spectrometry (LC/MS) methods, the sponsor or applicant should determine the effects of the matrix on ion suppression, ion enhancement, or extraction efficiency. Internal standards should be assessed to avoid interference with the analyte. Potential interfering substances in a biological matrix include endogenous matrix components such as metabolites, decomposition products—and from the actual study—concomitant medications and other xenobiotics. If a stabilizer or enzyme inhibitor is used during sample collection, the sponsor should evaluate the potential for interference on the quantitation of the analyte. Sponsors should make a scientific judgment about the need to assess these (and any other) potential interferences during method development.

During validation, the sponsor should confirm that the assay is free of potential interfering substances including endogenous matrix components, metabolites, anticipated concomitant medications, etc. If the study sample contains more than one analyte and the analytes are intended to be quantified by different methods, the sponsor should test each method for interference from the other analyte.

The sponsor should analyze blank samples of the appropriate biological matrix (e.g., plasma) from at least six (for CCs) or ten (for LBAs) individual sources. The sponsor should ensure that there are no matrix effects throughout the application of the method. Refer to Table 7.1 for details of selectivity and specificity requirements and acceptance criteria.

For LBAs, it is important to investigate any interference originating from structurally or physiologically similar analytes (i.e., exogenous interference) or matrix effects (i.e., endogenous interference). Investigating exogenous interference involves determining the cross-reactivity of molecules that could potentially interfere with the binding interaction, including molecules structurally related to the drug, any metabolites, concomitant medications (and their significant metabolites), or endogenous matrix components. The sponsor should evaluate each factor individually and in combination with the analyte of interest to determine its ability to cause interference. Matrix effects evaluation involves comparing calibration curves in multiple sources of the biological matrix against a calibration curve in the matrix for parallelism (serial dilution of incurred samples) and nonspecific binding. The sponsor should eliminate or minimize any significant interference. If such attempts are unsuccessful, the sponsor could consider the development of an orthogonal method to eliminate or minimize the interference.

Carryover between samples can occur in analytical methods. The sponsor should eliminate any carryover during method development. If carryover cannot be eliminated, the sponsor should assess the impact of any carryover during method validation on the accuracy of the study sample concentrations.

5. Sensitivity

The LLOQ defines the method sensitivity and should be determined during method development. The method should

be developed and validated such that it will be able to meet the requirements necessary for the intended study samples. The LLOQ evaluation can be done separately or as part of the precision and accuracy assessment for the calibration range. The specific recommendations to validate sensitivity are listed in Table 7.1.

6. Accuracy, Precision, and Recovery

Evaluating the accuracy and precision across the quantitation range during method development is essential to determine whether the method is ready for validation and involves analyzing replicate QCs at multiple concentrations across the assay range. Specifically, the sponsor should evaluate the performance at the LLOQ, low, mid, and high QCs (and the ULOQ for LBAs) to determine if the method is suitable to analyze study samples.

Method validation experiments for estimating accuracy and precision should include a minimum of three (for CCs) or six (for LBAs) independent runs [i.e., accuracy and precision (A & P) runs; see Table 7.1] conducted over several days. Each A & P run should include a calibration curve and multiple QC concentrations that are analyzed in replicates. The sponsor should determine the accuracy and precision of the method based on the performance of the QC in the A & P runs. The specific validation requirements for accuracy and precision and A & P runs are listed in Table 7.1. The sponsor should use freshly prepared calibrators and QCs in all A & P runs. Use of freshly prepared QCs in all A & P runs is preferred; however, if this is not possible, the sponsor should use freshly prepared QCs in one or more A & P runs.

The sponsor should optimize the recovery of the analyte to ensure that the extraction is efficient and reproducible. Recovery need not be 100%, but the extent of the recovery of an analyte and of the ISs should be consistent and reproducible. The sponsor should perform recovery experiments by comparing the analytical results of extracted samples with corresponding extracts of blanks spiked with the analyte post-extraction (i.e., to represent 100% recovery).

Recovery evaluation is not necessary for LBAs unless sample extraction is involved. Recovery experiments should be performed as described in Table 7.1.

7. Stability

During method development, the sponsor should determine the chemical stability of the analyte in a given matrix, including the effects of sample collection, handling, and storage of the analyte. The sponsor should assess autosampler, benchtop, processed or extracted samples, freeze-thaw, stock solution, and long-term stability of the analyte. The sponsor should assess the stability in the same matrix as that intended for in-study samples; however, when the matrix is rare, the sponsor can explore the use of suitable surrogate matrices.

For drugs administered as fixed combinations, or part of a specific drug regimen, the stability of the analyte should be assessed in the presence of the other drug. The sponsor should also consider the stability of the analyte in the

presence of other co-medications that are known to be regularly administered to patients for the indication of the drug under development.

Depending on the analyte as well as the sample collection and assay conditions, evaluating the stability of the analyte in whole blood during method development can be useful. For example, a drug can be unstable in whole blood or adsorb to cellular components during collection.

During validation, stability evaluations should cover the expected sample conditions before receipt at the analytical site (e.g., at the clinical site, during shipment, and at all other secondary sites) as well as during receipt and analysis at the analytical site. Validation of drug stability in a biological fluid is a function of the storage conditions, the physicochemical properties of the drug, the matrix, and the container system. The stability of an analyte in a particular matrix and container system is relevant only to that matrix and container system and should not be extrapolated to other matrices and container systems.

If the storage conditions changed or the sample analysis occurred outside of the validated storage condition, the stability should be re-established under these new conditions. Stability testing of the analyte in whole blood should be revalidated if necessary (e.g., if the analytes are unstable during blood collection). The specific recommendations and acceptance criteria for stability are listed in Table 7.1.

Matrix-related stability experiments should compare stability QCs against freshly prepared calibration curves and freshly prepared QCs. Although the use of freshly prepared calibrators and QCs is the preferred approach, in some cases (e.g., for macromolecules), it may be necessary to freeze them overnight. In such cases, the sponsor should provide valid justification and demonstrate the freeze-thaw stability.

All stability determinations (see list below) should use a set of samples prepared from a freshly made stock solution of the analyte in the appropriate analyte-free, interference-free biological matrix.

- **Autosampler stability:** The sponsor should demonstrate the stability of extracts in the autosampler only if the autosampler storage conditions are different or not covered by extract (processed sample) stability.
- **Bench-top stability:** The sponsor should determine the stability of samples under the laboratory handling conditions that are expected for the study samples (e.g., the stability of samples maintained at room temperature or stored in an ice bucket).
- **Extract (or processed sample) stability:** The sponsor should assess the stability of processed samples, including the residence time in the autosampler against freshly prepared calibrators.
- **Freeze-thaw stability:** The sponsor should assess the stability of the sample after a minimum of three freeze-thaw cycles. QC samples should be thawed and analyzed according to the same procedures as the study samples. QC samples should be frozen for

at least 12 hours between cycles. Freeze-thaw stability QCs should be compared to freshly prepared calibration curves and QCs.

- **Long-term stability:** The sponsor should determine the long-term stability of the sample over a period of time equal to or exceeding the time between the date of first sample collection and the date of last sample analysis. The storage temperatures studied should be the same as those used to store study samples. Long-term stability QCs should be compared to freshly prepared calibration curves and QCs. Determination of stability at -20°C would cover stability at colder temperatures.
- **Stock solution stability:** Stock solutions should not be made from reference materials that are about to expire unless the purity of the analyte in the stock solutions is re-established. When the stock solution exists in a different state (e.g., solution vs. solid) or in a different buffer composition (which is generally the case for macromolecules) from the certified reference standard, the sponsor should generate stability data on stock solutions to justify the duration of stock solution storage stability.

8. Dilution Effects

If the method measures diluted samples, the integrity of the dilution should be monitored during validation by diluting QC samples above the ULOQ with like matrix to bring to within quantitation range, and the accuracy and precision of these diluted QCs should be demonstrated. Dilutions used during the validation should mimic the expected dilutions in the study. The prozone effect should be demonstrated in LBAs. Refer to the specific recommendations and acceptance criteria in Table 7.1.

9. Partial and Cross Validations

The following section defines other types of methods validation.

a. Partial Validation

Partial validations evaluate modifications of already validated bioanalytical methods. Partial validation can range from as little as one intra-assay accuracy and precision determination to a nearly full validation. Raw data on partial validations should be retained at the analytical site for inspection when requested. Typical bioanalytical method modifications or changes that fall into this category include, but are not limited to, the following:

- Bioanalytical method transfers between laboratories
- Changes in analytical methodology (e.g., a change in detection systems)
- Changes in sample processing procedures
- Changes in sample volume (e.g., the smaller volume of pediatric samples)
- Changes in instruments and/or software platforms

- Extensions of the assay range
- Changes in the anticoagulant (but not changes in the counter-ion) in harvesting biological fluids (e.g., heparin to EDTA)
- Changes in the matrix within species (e.g., switching from human plasma to human blood) or changes to the species within the matrix (e.g., switching from rat plasma to mouse plasma)
- Changes to the matrices (e.g., cerebrospinal fluid)
- Demonstrating the selectivity of an analyte in the presence of concomitant medications
- Changes in LBA critical reagents (e.g., lot-to-lot changes, changes in reagents)

b. Cross Validation

Cross validation is a comparison of validation parameters of two or more bioanalytical methods or techniques that are used to generate data within the same study or across different studies.

Also, cross validation is necessary when sample analyses within a single study are conducted at more than one site or more than one laboratory. In such cases, cross validation with shared matrix QCs and non-pooled subject samples should be conducted at each site or laboratory to establish interlaboratory reliability. Pooled incurred samples can be used when insufficient volume exists. An SOP or validation plan should define the criteria a priori.

3. Validated Methods: Expectations of In-Study Analysis and Reporting

This section describes the expectations for the use of a validated bioanalytical method for routine drug analysis. The specific recommendations and acceptance criteria are listed in Table 7.1.

- If system suitability is assessed, a specific SOP should be used. System suitability, including apparatus conditioning and instrument performance, should be determined using samples that are independent of the current study calibrators, QCs, and study samples. Records of system suitability should be maintained and available for audits.
- Calibration curves and QCs should be included in all analytical runs (see Table 7.1 for details). The QCs should cover the expected study sample concentration range.
- Typically, the same curve fitting, weighting, and goodness-of-fit determined during validation should be used for the calibration curve within the study. Changes in the response–function relationship between the validation and study sample analyses indicate potential problems. A SOP should be developed a priori to address such issues.
- Total QCs should number at least 5% of the total samples analyzed or be at least six in number (low-, mid-, and high-QCs, in duplicate), whichever is greater (see Table 7.1 for details). Duplicate low-, mid-, and high-QCs should be used on all distinct processing batches within a run.
- If the study sample concentrations are clustered in a narrow range of the standard curve, additional QCs should be added to cover the sample range. If the additional QC concentrations are not bracketed by QCs validated before the study, the accuracy and precision of the additional QCs should be demonstrated before continuing with the analysis. If the partial validation is acceptable, samples that have already been analyzed do not require reanalysis.
- The QCs should be interspersed with study samples during processing and analysis.
- In each analytical run, the lack of analyte interference at the LLOQ should be confirmed (see Table 7.1 for Selectivity and Sensitivity).
- The analytical run fails if the calibration and/or QC acceptance criteria are not met (see Table 7.1).
- QC results (including outliers) from analytical runs that meet the acceptance criteria should be included in the estimation of accuracy and precision during the study's sample analysis. The QC results from all analytical runs (passed and failed) should be reported, but QC results from failed runs need not be included as part of the estimation of accuracy and precision.
- If the bioanalytical method necessitates separation of the overall analytical run into distinct processing batches (e.g., groups of samples processed at distinctly different times or by different analysts), each distinct batch should process duplicate QCs at all levels (e.g., low, middle, high) along with the study samples. Examples might include when the number of samples exceeds the capacity of a 96-well plate or when a solid phase extraction manifold cannot accommodate all samples. See Table 7.1 for what constitutes an acceptable run based on QC acceptance criteria. A distinct batch or batches in an analytical run may be rejected when it fails to meet QC acceptance criteria, but the remaining batches may pass provided that the analytical run meets the overall QC acceptance criteria.
- Study samples with concentrations listed below the LLOQ should be reported as below the LLOQ (BQL). Study samples with concentrations above the ULOQ should be diluted and re-analyzed, or the standard curve should be extended and revalidated.
- Study sample dilutions should use the same matrix (e.g., human plasma to human plasma).
- Assays of all study samples of an analyte in a biological matrix should be completed within the time period for which stability data are available. If sample handling conditions are changed or exceed

validated stability data, then the stability of the sample should be established at the new conditions.

- For CCs, the IS response should be monitored for variability. An SOP should be developed a priori to address issues with IS variability.
- Drift should be monitored, and its impact on the accuracy of the estimated unknown sample concentrations, if any, should be addressed (e.g., the impact of drift on the accuracy of interspersed QCs).
- All study samples from a subject should be analyzed in a single run, especially for studies designed with repeated measures from individual subjects (e.g., crossover or sequential design required for BE studies). If other approaches are taken, the sponsor or applicant should justify the approach and take steps to minimize the variability between periods.
- Carryover, if any, should be monitored, and its impact on the quantitation of study samples should be addressed.
- Incurred sample reanalysis (ISR) should be performed (see Section IV, Table 7.1 and Table 7.2).
- An SOP or guideline describing the reasons for a repeat analysis should be established a priori. Repeat analysis is acceptable only for assignable causes (e.g., the samples are above the ULOQ, there are sample processing errors, there is an equipment failure, the chromatography is poor). The SOP should include the acceptance criteria for reanalysis, and the sponsor or applicant should report final values. The specific recommendations are described in Table 7.1 and Table 7.2. The rationale, approach, and all data for the repeat analysis and reporting should be clearly documented.
- For study samples involving multiple analytes, a valid result for one analyte should not be rejected because of another analyte failing the acceptance criteria.
- If a unique or disproportionately high concentration of a metabolite is discovered in human studies, a fully validated assay may need to be developed for the metabolite, depending upon its activity (refer to the FDA guidance for industry entitled *Safety Testing of Drug Metabolites*).⁸
- An SOP or guideline for sample data re-integration for CCs should be established a priori. This SOP or guideline should define the criteria for re-integration and how the re-integration will be performed. The rationale for the re-integration should be clearly described and documented. Audit trails should be maintained. Original and re-integrated data should be documented and reported.

D. INCURRED SAMPLE REANALYSIS

ISR is a necessary component of bioanalytical method validation and verifies the reliability of the reported study sample

analyte concentrations. ISR is conducted by repeating the analysis of a subset of subject or patient samples from a given study in separate runs, preferably during the study, to critically support the precision and accuracy measurements established with the QCs. The original and repeat analyses should be conducted using the same bioanalytical method procedures. If a bulk frozen calibration curve was used for the original analysis, then it is acceptable to use a frozen curve for the ISR evaluation. The calibration curve, QCs, and study samples for the ISR evaluation should be extracted or processed separately from those used in the original runs. Incurred samples should not be pooled. ISR should be conducted in all studies submitted in an NDA, BLA, or ANDA that provide pivotal data for the approval or labeling of the product, regardless of the matrix. For instance, ISR is expected for all in vivo human BE studies in ANDAs or all pivotal pharmacokinetic, pharmacodynamic, and biomarker studies in NDAs or BLAs. For non-clinical safety studies, the performing laboratory should conduct ISR at least once for each method and species. Table 7.1 lists the sample requirements and acceptance criteria for ISR. Written SOPs should be established for the conduct of ISR and to guide an investigation in the event of ISR failure to resolve the lack of reproducibility. All aspects of ISR evaluations should be documented to allow reconstruction of the study, as well as guide any investigations (see Table 7.2).

The percentage difference of the results between the original study and the repeat study is determined with the following equation:

$$\left[\frac{(\text{Repeat} - \text{Original}) * 100}{\text{Mean}} \right]$$

E. ADDITIONAL ISSUES

1. Endogenous Compounds

For analytes that are also endogenous compounds, the accuracy of the measurement of the analytes poses a challenge when the assay cannot distinguish between the therapeutic agent and the endogenous counterpart. In such situations, the following approaches are recommended to validate and monitor assay performance. Other approaches, if justified by scientific principles, can also be considered.

- The biological matrix used to prepare calibration standards should be the same as the study samples and free of the endogenous analyte. To address the suitability of using an analyte-free biological matrix, the matrix should be demonstrated to have: (1) no measurable endogenous analyte; and (2) no matrix effect or interference when compared to the biological matrix. The use of alternate matrices (e.g., buffers, dialyzed serum) for the preparation of calibration standards should be justified. The QCs should be prepared by spiking known quantities of the analyte in the same biological matrix as the study samples. The endogenous concentrations of

the analyte in the biological matrix should be evaluated before QC preparation (e.g., by replicate analysis). The concentrations for the QCs should account for the endogenous concentrations in the biological matrix (i.e., additive) and be representative of the expected study concentrations.

- Parallelism should be evaluated for assays for endogenous compounds.

2. Biomarkers

The recommendations in this guidance only pertain to the validation of assays to measure *in vivo* biomarker concentrations in biological matrices such as blood or urine. Considerable effort also goes into defining the biological function of biomarkers, and confusion may arise regarding terminology (e.g., biomarker method validation vs. biomarker qualification).

Biomarkers are increasingly used to assess the effects of new drugs and therapeutic biological products in patient populations. Because of the important roles biomarkers can play in evaluating the safety, activity, or effectiveness of a new medical product, it is critical to ensure the integrity of the data generated by assays used to measure them. Biomarkers can be used for a wide variety of purposes during drug development; therefore, an FFP approach should be used when determining the appropriate extent of method validation. When biomarker data will be used to support regulatory decision making, such as the pivotal determination of safety and/or effectiveness or to support dosing instructions in product labeling, the assay should be fully validated.

For assays intended to support early drug development (e.g., candidate selection, go-no-go decisions, proof-of-concept), the sponsor should incorporate the extent of method validation they deem appropriate.

Method validation for biomarker assays should address the same questions as method validation for drug assays. The accuracy, precision, sensitivity, selectivity, parallelism, range, reproducibility, and stability of a biomarker assay are important characteristics that define the method. The approach used for drug assays should be the starting point for validation of biomarker assays, although the FDA realizes that some characteristics may not apply or that different considerations may need to be addressed.

3. Diagnostic Kits

Diagnostic kits are sometimes co-developed with new drug or therapeutic biological products as analytical methods that are used during the development of new drugs and therapeutic biologics. The recommendations in this section of the guidance do not apply to commercial diagnostic kits intended for point-of-care patient diagnosis (e.g., companion diagnostic kits), which are addressed in the following CDRH guidance documents:

- *Principles for Co-development of an In Vitro Companion Diagnostic Device with a Therapeutic Product*⁹
- *In Vitro Companion Diagnostic Devices*

However, when commercial diagnostic kits are repurposed as analytical methods to measure the concentrations of drugs, therapeutic biologics, or biomarkers in development, the FDA has the following recommendations:

- LBA kits with various detection platforms are sometimes used to determine analyte concentrations in pharmacokinetic or pharmacodynamic studies when the reported results must exhibit sufficient precision and accuracy. Because such kits are generally developed for use as clinical diagnostic tools, their suitability for use in such studies should be demonstrated.
- Diagnostic kit validation data provided by the manufacturer may not ensure that the kit method is reliable for drug development purposes. In such situations, the performance of diagnostic kits should be assessed in the facility conducting the sample analysis.

Validation considerations for kit assays include, but are not limited to, the following examples:

- Site-specific validation should be performed. The specificity, accuracy, precision, and stability of the assay should be demonstrated under actual conditions of use. Modifications from kit processing instructions should be completely validated.
- Kits that use sparse calibration standards (e.g., one- or two-point calibration curves) should include in-house validation experiments to establish the calibration curve with a sufficient number of standards across the calibration range as specified in Table 7.1.
- Actual QC concentrations should be known. Concentrations of QCs expressed as ranges are not sufficient for quantitative applications. In such cases, QCs with known concentrations should be prepared and used, independent of the kit-supplied QCs.
- Standards and QCs should be prepared in the same matrix as the subject samples. Kits with standards and QCs prepared in a matrix different from the subject samples should be justified, and appropriate cross-validation experiments should be performed. Refer to Section V.A of this guidance for additional discussion.
- If the analyte source (i.e., reference standard) in the kit differs from that of the subject samples (e.g., the sample is a protein isoform of the reference standard), testing should evaluate differences in assay performance of the kit reagents.
- If multiple kit lots are used within a study, lot-to-lot variability and comparability should be addressed for any critical reagents.

- Individual batches using multiple assay plates (e.g., 96-well ELISA plates) should include sufficient replicate QCs on each plate to monitor the accuracy of the assay. Acceptance criteria should be established for the individual plates and the overall analytical run (refer to Table 7.1 and Section III.B).

4. Bridging Data from Multiple Bioanalytical Technologies

The FDA encourages the development and use of new bioanalytical technologies. However, the use of two different bioanalytical technologies for the development of a drug may generate data for the same product that could be difficult to interpret. This outcome can occur when one platform generates drug concentrations that differ from another platform. Therefore, when a new platform is used in the development of a drug, the data it produces should be bridged to that of the other method. This is best accomplished by assessing the output of both methods with a set of incurred samples (a minimum of 20 samples). In cases where one method produces data with a constant bias relative to the other, concentrations can be mathematically transformed by that factor to allow for appropriate study interpretation. Sponsors are encouraged to seek feedback from the appropriate FDA review division early in drug development. The use of two methods for BE studies in ANDAs is discouraged.

5. Dried Blood Spots

Dried blood spot (DBS) technology has been under development for several years. The benefits of DBS include reduced blood sample volumes collected for drug analysis as well as ease of collection, storage, and transportation. Additional validation of this sampling approach is essential before using DBS in regulatory studies. This validation should address, at a minimum, the effects of the following issues: storage and handling temperatures, homogeneity of sample spotting, hematocrit, stability, carryover, and reproducibility, including ISR. Correlative studies with traditional sampling should be conducted during drug development. Sponsors are encouraged to seek feedback from the appropriate FDA review division early in drug development.

F. DOCUMENTATION

General and specific SOPs and good record keeping are essential to a properly validated analytical method. The data

generated for bioanalytical method development and/or validation should be documented and available for data audit and inspection. Documentation at the analytical site and for submission to the FDA is described in Table 7.2.

All relevant documentation necessary for reconstructing the study as it was conducted and reported should be maintained in a secure environment. Relevant documentation includes, but is not limited to, source data, protocols and reports, records supporting procedural, operational, and environmental concerns, and correspondence records between all involved parties.

Regardless of the documentation format (i.e., paper or electronic), records should be contemporaneous with the event, and subsequent alterations should not obscure the original data. The basis for changing or reprocessing data should be documented with sufficient detail, and the original record should be maintained.

1. Summary Information

Summary information should include the following items:

- A summary of assay methods used for each study protocol should be included. Each summary should provide the protocol number, the protocol title, the assay type, the assay method identification code, the bioanalytical report code, and the effective date of the method.
- For each analyte, a summary table of all the relevant method validation reports should be provided, including partial validation and cross validation reports. The table should include the assay method identification code, the type of assay, the reason for the new method or additional validation (e.g., to lower the limit of quantification), and the dates of final reports. Changes made to the method should be clearly identified.
- A summary table cross-referencing multiple identification codes should be provided when an assay has different codes for the assay method, the validation reports, and the bioanalytical reports.

2. Documentation for Method Validation and Bioanalytical Reports

Refer to Table 7.2 for the FDA's recommended documentation for method validation and bioanalytical reports.

G. APPENDIX

TABLE 7.1

Recommendations and Acceptance Criteria for Bioanalytical Method Validation and In-Study Conduct (Refer to Sections III.A and III.B for Additional Information)

Parameters	Validation Recommendations		In-Study Analysis Recommendations
	Chromatographic Assays (CCs)	Ligand Binding Assays (LBAs)	
Calibration Curve	<p>Elements:</p> <ul style="list-style-type: none"> A blank (no analyte, no IS), a zero calibrator (blank plus IS), and at least six, non-zero calibrator levels covering the quantitation range, including LLOQ in every run. All blanks and calibrators should be in the same matrix as the study samples. The concentration–response relationship should be fit with the simplest regression model. <p>Acceptance Criteria:</p> <ul style="list-style-type: none"> Non-zero calibrators should be $\pm 15\%$ of nominal (theoretical) concentrations, except at LLOQ where the calibrator should be $\pm 20\%$ of the nominal concentrations in each validation run. 75% and a minimum of six non-zero calibrator levels should meet the above criteria in each validation run. 	<p>Elements:</p> <ul style="list-style-type: none"> A blank and at least six, non-zero calibrator levels covering the quantitation range, including LLOQ per validation run. Calibration curves are usually run in duplicate. Additional calibrators may be used as anchor points. All blanks and calibrators should be in the same matrix as the study samples. The concentration–response relationship is usually fit with a four- or five-parameter logistic model. Other models may be acceptable with justification. <p>Acceptance Criteria:</p> <ul style="list-style-type: none"> Non-zero calibrators should be $\pm 20\%$ of nominal (theoretical) concentrations, except at LLOQ and ULOQ where the calibrator should be $\pm 25\%$ of the nominal concentrations in each validation run. 75% and a minimum of six non-zero calibrator levels should meet the above criteria in each validation run. Anchor points should not be included in the curve fit. 	<p>Elements:</p> <ul style="list-style-type: none"> A blank, a zero, and at least six (in duplicate for LBAs) non-zero calibrator levels covering the expected range, including LLOQ per analytical run. All blanks and calibrators should be in the same matrix as the study samples. The in-study analysis should use the same regression model as used in validation. <p>Acceptance Criteria:</p> <ul style="list-style-type: none"> CC: Non-zero calibrators should be $\pm 15\%$, except at LLOQ where the calibrator should be $\pm 20\%$ of nominal concentrations in each run. LBA: Non-zero calibrators should be $\pm 20\%$, except at LLOQ and ULOQ where the calibrator should be $\pm 25\%$ of nominal concentrations in each run. CC and LBA: 75% and a minimum of six non-zero calibrator levels should meet the above criteria in each run.
Quality Controls (QC)	<p>Only data points that fail to meet acceptance criteria may be excluded. Exclusion should not change the model used.</p> <p>Elements:</p> <ul style="list-style-type: none"> For A & P Runs: Four QCs, including LLOQ, low (L: defined as three times the LLOQ), mid (M: defined as mid-range), and high (H: defined as high-range) from at least five replicates in at least three runs. For Other Validation Runs: L, M, and H QCs in duplicates. <p>Acceptance Criteria:</p> <ul style="list-style-type: none"> Refer to A & P Runs, Other Validation Runs, and Stability Evaluations. 	<p>Elements:</p> <ul style="list-style-type: none"> For A& P Runs: Five QCs, including LLOQ, L, M, H, and ULOQ from at least three replicates in at least six runs. For Other Validation Runs: L, M, and H QCs in duplicates. <p>Acceptance Criteria:</p> <ul style="list-style-type: none"> Refer to A & P Runs, Other Validation Runs, and Stability Evaluations. 	<p>Elements:</p> <ul style="list-style-type: none"> \geq three QC levels (L, M, and H) and \geq two replicates per QC level in each analytical run. Total QCs should be 5% of unknown samples or \geq six, whichever number is greater. If the analytical runs consist of distinct processing batches, the QC acceptance criteria should be applied for the whole run and for each distinct batch within the runs. <p>Acceptance Criteria:</p> <ul style="list-style-type: none"> CC: $\geq 67\%$ of QCs should be $\pm 15\%$ of the nominal, and $\geq 50\%$ of QCs per level should be $\pm 15\%$ of their nominal. LBA: $\geq 67\%$ of QCs should be $\pm 20\%$ of the nominal, and $\geq 50\%$ of QCs per level should be $\pm 20\%$ of their nominal.

(Continued)

TABLE 7.1 (CONTINUED)

Recommendations and Acceptance Criteria for Bioanalytical Method Validation and In-Study Conduct (Refer to Sections III.A and III.B for Additional Information)

Parameters	Validation Recommendations		
	Chromatographic Assays (CCs)	Ligand Binding Assays (LBAs)	In-Study Analysis Recommendations
Selectivity	Elements: <ul style="list-style-type: none"> Analyze blank samples of the appropriate biological matrix from at least six individual sources. 	Elements: <ul style="list-style-type: none"> Investigate parallelism (for endogenous products). Conduct an analysis of blank samples in the matrix from \geq ten individual sources. 	CC Acceptance Criteria: <ul style="list-style-type: none"> In each analytical run, the blank and zero calibrators should be free of interference at the retention times of the analyte and the internal standard. In each analytical run, the internal standard response in the blank should not exceed 5% of average internal standard response of the calibrators and QCs.
	Acceptance Criteria: <ul style="list-style-type: none"> Blank and zero calibrators should be free of interference at the retention times of the analyte(s) and the IS. Spiked samples should be $\pm 20\%$ LLOQ. The IS response in the blank should not exceed 5% of the average IS responses of the calibrators and QCs. 	Acceptance Criteria: <ul style="list-style-type: none"> For $\geq 80\%$ of sources, unspiked matrix should be BQL, and spiked samples should be $\pm 25\%$ at LLOQ, and $\pm 20\%$ at H QC. 	LBA Acceptance Criteria: <ul style="list-style-type: none"> The blank should be free of interference for the analyte. Parallelism should be conducted if not done during validation.
Specificity	Elements: <ul style="list-style-type: none"> The method specificity should be assessed for interference by cross-reacting molecules, concomitant medications, bio-transformed species, etc. 	Elements: <ul style="list-style-type: none"> The method specificity should be assessed for interference by cross-reacting molecules, concomitant medications, bio-transformed species, etc. Potential interfering materials should be added to calibration curves in buffer. 	Elements: <ul style="list-style-type: none"> Check as needed.
	Acceptance Criteria: <ul style="list-style-type: none"> See Selectivity above. 	Acceptance Criteria: <ul style="list-style-type: none"> QCs should meet $\pm 20\%$, or 25% at the LLOQ and ULOQ. 	
Carryover	Elements: <ul style="list-style-type: none"> The impact of carryover on the accuracy of the study sample concentrations should be assessed. 	Not applicable	Elements: <ul style="list-style-type: none"> Carryover, if any, should be monitored, and its impact on the quantitation of study samples should be addressed.
	Acceptance Criteria: <ul style="list-style-type: none"> Carryover should not exceed 20% of LLOQ. 		Acceptance Criteria: <ul style="list-style-type: none"> Carryover should not exceed 20% of LLOQ.
Sensitivity	Elements: <ul style="list-style-type: none"> The lowest nonzero standard on the calibration curve defines the sensitivity (LLOQ). 	Elements: <ul style="list-style-type: none"> The lowest nonzero standard on the calibration curve defines the sensitivity (LLOQ). 	Acceptance Criteria: <ul style="list-style-type: none"> In each analytical run: <ul style="list-style-type: none"> The analyte response at the LLOQ should be \geq five times the analyte response of the zero calibrator (CC). The A & P for CC should be $\pm 20\%$ of nominal concentration. The A & P for LBA should be $\pm 25\%$ of nominal concentration. If the above criteria are not met, the next higher calibrator can be selected as the new LLOQ or the next lower point if the ULOQ fails (provided the resulting calibration curve meets acceptance criteria) and does not change the calibration model.
	Acceptance Criteria: <ul style="list-style-type: none"> The analyte response at the LLOQ should be \geq five times the analyte response of the zero calibrator. The accuracy should be $\pm 20\%$ of nominal concentration (from \geq five replicates in at least three runs). The precision should be $\pm 20\%$ CV (from \geq five replicates in at least three runs). 	Acceptance Criteria: <ul style="list-style-type: none"> The accuracy should be $\pm 25\%$ of the nominal concentration (from \geq three replicates in at least six runs). The precision should be $\pm 25\%$ CV (from \geq three replicates in at least six runs). The total error should be $\leq 40\%$. 	

(Continued)

TABLE 7.1 (CONTINUED)

Recommendations and Acceptance Criteria for Bioanalytical Method Validation and In-Study Conduct (Refer to Sections III.A and III.B for Additional Information)

Parameters	Validation Recommendations		In-Study Analysis Recommendations
	Chromatographic Assays (CCs)	Ligand Binding Assays (LBAs)	
Accuracy and Precision (A & P)	Elements: <ul style="list-style-type: none"> A & P should be established with at least three independent A & P runs, four QC levels per run (LLOQ, L, M, H QC), and \geq five replicates per QC level. A & P Run Acceptance Criteria: <ul style="list-style-type: none"> The run should meet the calibration curve acceptance criteria and include the LLOQ calibrator. This run has no QC acceptance criteria. Accuracy: Within-run and between runs: <ul style="list-style-type: none"> $\pm 15\%$ of nominal concentrations; except $\pm 20\%$ at LLOQ. Precision: Within-run and between runs: <ul style="list-style-type: none"> $\pm 15\%$ CV, except $\pm 20\%$ CV at LLOQ. Total Error: <ul style="list-style-type: none"> Not applicable. 	Elements: <ul style="list-style-type: none"> A & P should be established with at least six independent A & P runs, five QC levels per run (LLOQ, L, M, H, ULOQ QC), and \geq three replicates per QC level. A & P Run Acceptance Criteria: <ul style="list-style-type: none"> The run should meet the calibration acceptance criteria and include the LLOQ calibrator. This run has no QC acceptance criteria. Accuracy: Within-run and between runs: <ul style="list-style-type: none"> $\pm 20\%$ of nominal concentrations; except $\pm 25\%$ at LLOQ, ULOQ. Precision: Within-run and between runs: <ul style="list-style-type: none"> $\pm 20\%$ CV, except $\pm 25\%$ at LLOQ, ULOQ. Total Error: <ul style="list-style-type: none"> QCs should be $\pm 30\%$, except at LLOQ, ULOQ $\pm 40\%$. 	Elements: <ul style="list-style-type: none"> Not applicable Accuracy: Between runs: <ul style="list-style-type: none"> CC: $\pm 15\%$ of nominal concentrations. LBA: $\pm 20\%$ of nominal concentrations. Precision: Between runs: <ul style="list-style-type: none"> CC: $\pm 15\%$ CV. LBA: $\pm 20\%$ CV. Total Error: <ul style="list-style-type: none"> Not applicable.
	Other Validation Runs	Elements: <ul style="list-style-type: none"> \geq three QC levels (L, M, H) in at least duplicates in each run. Run Acceptance Criteria: <ul style="list-style-type: none"> Meet the calibration acceptance criteria $\geq 67\%$ of QCs should be $\pm 15\%$ of the nominal (theoretical) values, $\geq 50\%$ of QCs per level should be $\pm 15\%$ of their nominal concentrations. 	Elements: <ul style="list-style-type: none"> \geq three QC levels (L, M, H) in at least duplicates in each run. Run Acceptance Criteria: <ul style="list-style-type: none"> Meet the calibration acceptance criteria $\geq 67\%$ of QCs should be $\pm 20\%$ of the nominal (theoretical) values, and $\geq 50\%$ of QCs per level should be $\pm 20\%$ of their nominal concentrations.
Recovery	Elements: <ul style="list-style-type: none"> Extracted samples at L, M, and H QC concentrations vs. extracts of blanks spiked with the analyte post extraction (at L, M, and H). 	Elements: <ul style="list-style-type: none"> Need to be demonstrated only if extraction is involved. 	
Stability	Elements: <ul style="list-style-type: none"> For auto-sampler, bench-top, extract, freeze-thaw, stock solution, and long-term stability, per format least three replicates at L and H QC concentrations. Acceptance Criteria: <ul style="list-style-type: none"> The accuracy (% nominal) at each level should be $\pm 15\%$. 	Elements: <ul style="list-style-type: none"> For auto-sampler, bench-top, extract, freeze-thaw, stock solution/reagent, and long-term stability, perform at least three replicates at L and H QC concentrations. Acceptance Criteria: <ul style="list-style-type: none"> The accuracy (% nominal) at each level should be $\pm 20\%$. 	Elements: <ul style="list-style-type: none"> Update stability parameters (e.g., long-term) as needed.

(Continued)

TABLE 7.1 (CONTINUED)

Recommendations and Acceptance Criteria for Bioanalytical Method Validation and In-Study Conduct (Refer to Sections III.A and III.B for Additional Information)

Parameters	Validation Recommendations		
	Chromatographic Assays (CCs)	Ligand Binding Assays (LBAs)	In-Study Analysis Recommendations
Dilution	Elements: <ul style="list-style-type: none"> • QCs for planned dilutions, five replicates per dilution factor: • Accuracy: $\pm 15\%$ of nominal concentrations. • Precision: $\pm 15\%$ CV. 	Elements: <ul style="list-style-type: none"> • QCs for planned dilutions. • Demonstrate dilution linearity. • Demonstrate lack of prozone effect, i.e., increasing analyte concentration results in no change or decreased signals compared to the preceding concentration. • Five replicates per dilution factor: • Accuracy: $\pm 20\%$ of nominal concentrations. • Precision: $\pm 20\%$ CV. 	Elements: <ul style="list-style-type: none"> • Dilution QC (if not a validated pre-study). Acceptance Criteria: <ul style="list-style-type: none"> • Same as described under “QCs” above.
Incurred Sample Reanalysis (ISR)	<ul style="list-style-type: none"> • Not applicable. 	<ul style="list-style-type: none"> • Not applicable. 	Elements: <ul style="list-style-type: none"> • Sample size: • 10% reanalysis of the first 1000 samples, and • 5% reanalysis of the remaining samples. • Sample selection: • Around C_{max} and in the elimination phase. Acceptance Criteria: <ul style="list-style-type: none"> • CC: 67% should be $\pm 20\%$ of the mean. • LBA: 67% should be $\pm 30\%$ of the mean.
Repeat Analysis	<ul style="list-style-type: none"> • No reanalysis of individual calibrators and QCs is permitted. 	<ul style="list-style-type: none"> • No reanalysis of individual calibrators and QCs is permitted. 	<ul style="list-style-type: none"> • Reanalysis should be based on reasons described in a pre-existing SOP. • No reanalysis of calibrators and QCs. • At least the same number of replicates for repeats as originally tested. • No confirmatory repeats for BE studies.

TABLE 7.2

Documentation and Reporting (Refer to Sections III.B and VI for Additional Information)

Items	Documentation at the Analytical Site	Validation Report ^a	Analytical Study Report ^a
System Suitability	<ul style="list-style-type: none"> • Dates, times, QCs, or samples used for suitability testing 	<ul style="list-style-type: none"> • Not applicable 	<ul style="list-style-type: none"> • Not applicable
Synopsis	<ul style="list-style-type: none"> • Not applicable 	<ul style="list-style-type: none"> • Synopsis of method development (e.g., evolution of methods with multiple revisions, unique aspects) • Overall summary information 	<ul style="list-style-type: none"> • Not applicable
Reference Standards and Critical Reagents	<ul style="list-style-type: none"> • Certificate of Analysis (CoA) or purity, stability/expiration data, batch number, and manufacturer • Log records of receipt, use, and storage • If expired, recertified CoA or retest of purity and identity with retest dates • Internal standard CoA, purity or demonstration of suitability 	<ul style="list-style-type: none"> • Batch/lot number, purity, and expiration (see Appendix VII, Table 7.4) • If expired, purity and stability at the time of use and retest dates 	<ul style="list-style-type: none"> • Batch/lot number, purity, and expiration (see Appendix VII, Table 7.4) • If expired, purity and stability at the time of use and retest dates

(Continued)

TABLE 7.2 (CONTINUED)

Documentation and Reporting (Refer to Sections III.B and VI for Additional Information)

Items	Documentation at the Analytical Site	Validation Report ^a	Analytical Study Report ^a
Stock Solutions	<ul style="list-style-type: none"> Log records of preparation, and use Storage location and condition 	<ul style="list-style-type: none"> Brief description of preparation Preparation dates Stock solution stability Storage conditions 	<ul style="list-style-type: none"> Brief description of preparation Preparation dates Stock solution stability Storage conditions
Blank Matrix	<ul style="list-style-type: none"> Records of matrix descriptions, receipt dates, and storage Records of interference checks Matrix effect results 	<ul style="list-style-type: none"> Description, lot number, receipt dates Description of interference check Matrix effect results 	<ul style="list-style-type: none"> Description, lot number, receipt dates Description of interference check
Calibrators and QCs	<ul style="list-style-type: none"> Records of preparation Record of storage (e.g., in/out dates, temperatures) 	<ul style="list-style-type: none"> Brief description of preparation Preparation dates Storage conditions 	<ul style="list-style-type: none"> Brief description of preparation Preparation dates Storage conditions
SOPs	<p>SOPs for all aspects of analysis, such as:</p> <ul style="list-style-type: none"> Method/procedure (validation/analytical) Acceptance criteria (e.g., run, calibration curve, QCs) Instrumentation Reanalysis ISR Record of changes to SOP (change, date, reason, etc.) 	<ul style="list-style-type: none"> A detailed description of the assay procedure 	<ul style="list-style-type: none"> Not applicable
Sample Tracking	<ul style="list-style-type: none"> Study sample receipt and condition on receipt Temperature during shipment Sample inventory and reasons for missing samples Location of storage Tracking logs of QC, calibrators, and study samples Freezer logs for QC, calibrators, and study samples entry and exit 	<ul style="list-style-type: none"> Storage condition and location of QCs and calibrators 	<ul style="list-style-type: none"> Dates of receipt of shipments and contents Sample condition on receipt Analytical site storage condition and location Total duration of sample storage Any deviations from planned storage conditions
Analysis	<ul style="list-style-type: none"> Documentation and data for system suitability checks Instrument use log, including dates of analysis for each run Sample extraction logs, including documentation of processing of calibrators, QCs, and study samples for each run, including dates of extraction Identity of QC and calibrator lots, and study samples in each run Documentation of instrument settings and maintenance 100% of run summary sheets of passed and failed runs, including calibration curve, regression, weighting function, analyte and IS response, response ratio, integration type 100% e-chromatograms of original and re-integrations from passed and fail runs Laboratory information management system (LIMS) Validation information, including documentation and data for: 	<ul style="list-style-type: none"> Table of all runs (including failed runs), instrument ID, and analysis dates Tables of calibrator concentration and response functions results of all runs with accuracy and precision Tables of within- and between-run QC results (from accuracy and precision runs) Interference/matrix effect, sensitivity, carryover, dilution, recovery Bench-top, freeze-thaw, long-term, extract, and stock solution stability Stability QC storage and handling conditions (dates, duration, temperature, etc.) Partial/cross-validation, if applicable Append separate report for additional validation, if any Include total error for LBA methods 	<ul style="list-style-type: none"> Table of all runs, status (pass and fail), reason for failure, instrument ID, and analysis dates (see Appendix VII, Table 7.4). Table of calibrator concentration and response function results of all runs (pass and fail) with accuracy and precision Table of QC results of all runs (pass and fail) with accuracy and precision results of the QC samples and between-run accuracy and precision results from successful runs Table of re-injected runs with results from original and re-injected runs and reason(s) for reinjection QC graphs trend analysis encouraged Study concentration results table

(Continued)

TABLE 7.2 (CONTINUED)

Documentation and Reporting (Refer to Sections III.B and VI for Additional Information)

Items	Documentation at the Analytical Site	Validation Report ^a	Analytical Study Report ^a
Chromatograms and Re-integration	<ul style="list-style-type: none"> • Selectivity, sensitivity, precision and accuracy, carryover, dilution, recovery, matrix effect • Bench-top, freeze-thaw, long-term, and extract stability • Cross/partial validations, if applicable • Electronic audit trail: Original and re-integration • Reason for re-integration • Mode of re-integration 	<ul style="list-style-type: none"> • Representative chromatograms (original and re-integration) • Reason for re-integration 	<ul style="list-style-type: none"> • Chromatograms from 20% of serially selected subjects for BE studies in ANDAs • Randomly selected chromatograms from 5% of studies submitted in NDAs and BLAs • Original and re-integrated chromatograms and initial and repeat integration results for BE studies • Reason for re-integration • SOP for re-integration
Deviations from Procedures	<ul style="list-style-type: none"> • Contemporaneous documentation of deviations/ unexpected events • Investigation of unexpected events • Impact assessment • ISR failure investigations 	<ul style="list-style-type: none"> • Description of deviations • Impact on study results • Description and supporting data of significant investigations 	<ul style="list-style-type: none"> • Description of deviations • Impact on study results • Description and supporting data of significant investigations
Repeat Analysis	<ul style="list-style-type: none"> • SOP for reanalysis (refer to Analysis) • 100% of repeat data • Contemporaneous records of reason for repeats 	<ul style="list-style-type: none"> • Not applicable 	<ul style="list-style-type: none"> • Table of sample IDs, reason for re-assay, original and re-assay values, reason for reported values, and run IDs and percent difference between original and re-assay values • Reanalysis SOP
ISR	<ul style="list-style-type: none"> • SOP for ISR • ISR data: Run IDs, run summary sheets, chromatograms or other electronic instrument data files • Document ISR failure investigations, if any 	<ul style="list-style-type: none"> • Not applicable 	<ul style="list-style-type: none"> • SOP for ISR • ISR data table (original, reanalysis, percent difference, percent passed) • ISR failure investigations, if any
Communication	<ul style="list-style-type: none"> • Between involved parties (sponsor, contract research organizations (CROs), and consultants) related to study/assay 	<ul style="list-style-type: none"> • Not applicable 	<ul style="list-style-type: none"> • Not applicable

^a The FDA expects the sponsor to maintain data at the analytical site to support summary data submitted in Validation and Analytical Study Reports.

TABLE 7.3

Example of an Overall Summary Table for a Method Validation Report^a or a Clinical Study Report (This Table Contains Fictitious Information, Which Serves Illustrative Purposes Only) and Report Format Examples Are Pertinent for Applications to Either CDER or CVM. Summary Tables Should Be Included in Module 2 of the eCTD

Items	Results	Hyperlink ^b	Comments
Methodology	LC/MS/MS	01-SOP-001	
Method Validation Report (MVR) number	MVR-001	MVR-001	
Biological matrix	Human plasma	MVR-001	
Anticoagulant (if applicable)	EDTA	MVR-001	
Calibration curve range	XXX–YYY ng/mL	Summary tables 001MVR-01/CC Tables Report text 001MVR-01/CCText	
Analyte of interest	Compound A	NA	
Internal standard	Compound A internal standard	NA	
Inter-run accuracy (for each QC concentration)	Low QC (AA ng/mL): X% Medium QC (e.g., BB ng/mL): Y% High QC (e.g., CC ng/mL): Z%	Summary tables 001MVR-01/APTables Report text 001MVR-01/APText	
Inter-run precision (for each QC concentration)	Low QC (AA ng/mL): X% Medium QC (BB ng/mL): Y% High QC (CC ng/mL): Z%		
Dilution integrity (specify dilution factors, QC concentrations, and matrices that were evaluated)	Dilution QC: CC ng/mL (dilution factor: X) Accuracy: Y% Precision: Z%	Summary tables 001MVR-01/DILTables Report text 001MVR-01/DILText	
Selectivity	<20% of the lower limit of quantification (LLOQ) -list drugs tested	Summary tables 001MVR-01/SELTables Report text 001MVR-01/SELText	
Short-term or bench- top temperature stability	Demonstrated for X hours at Y°C	Summary tables 001MVR-01/STSTables Report text 001MVR-01/STSText	
Long-term stability	Demonstrated for X days at Y°C	Summary tables 001MVR-01/LTSTables Report text 001MVR-01/LTSText	
Freeze-thaw stability	Demonstrated for Y cycles at Z°C	Summary tables 001MVR-01/FTSTables Report text 001MVR-01/FTSText	
Stock solution stability	Demonstrated for X weeks at Y°C	Summary tables 001MVR-01/SSSTables Report text 001MVR-01/SSSText	
Processed sample stability	Demonstrated for Y hours at Z°C	Summary tables 001MVR-01/PSSTables Report text 001MVR-01/PSSText	

(Continued)

TABLE 7.3 (CONTINUED)

Example of an Overall Summary Table for a Method Validation Report^a or a Clinical Study Report (This Table Contains Fictitious Information, Which Serves Illustrative Purposes Only) and Report Format Examples Are Pertinent for Applications to Either CDER or CVM. Summary Tables Should Be Included in Module 2 of the eCTD

Items	Results	Hyperlink ^b	Comments
ISR	>67% of samples acceptable	Summary tables 001MVR-01/ISRTables Report text 001MVR-01/ISRText	
Recovery: Extraction efficiency	Summary tables 001MVR-01/EXTTables Report text 001MVR-01/EXTText		
Matrix effects	Summary tables 001MVR-01/MATTables Report text 001MVR-01/MATText		

^a Failed method validation experiments should be listed, and data may be requested.

^b For eCTD submissions, a hyperlink should be provided for the summary tables and report text.

TABLE 7.4

Example of Summary Analytical Runs for a Bioanalytical Study Report^a (This Table Contains Fictitious Information, Which Serves Illustrative Purposes Only). Sponsors and Applicants Should Provide a Table Summarizing Both the Failed and Accepted Runs for Each Study. Clinical Study XXYY-0032456

Analytical run*	Batch Number within Analytical Run	Dates of Analysis	Results (Accepted/Rejected)	Hyperlink ^b	Comments (e.g., Information on Runs that Failed)
001-100-01	Not applicable	MM/DD/YY	Rejected	Summary tables for calibration curve standards and QCs 001BR-01/01CALTables 001BR-01/01QCTables Report text 001BR-01/01CALText 001BR-01/01QCText Raw Data 001BR-01/01CALData 001BR-01/01QCData	001BR-01/01Failure 67% of the QCs passed; however both QCs that exceeded $\pm 15\%$ were at the low QC concentration. The follow-up investigation concluded that the LC/MS/MS instrument required a recalibration.
001-100-02	Not applicable	MM/DD/YY	Accepted	Summary tables for calibration curve standards and QCs 001BR-01/02CALTables 001BR-01/02QCTables Report text 001BR-01/02CALText 001BR-01/02QCText Raw Data 001BR-01/02CALData 001BR-01/02QCData	This is the reanalysis of the samples from run 001-100-01.

^a If multiple batches are analyzed within an analytical run, each batch should be separately evaluated to determine if the batch meets acceptance criteria.

^b For eCTD submissions, a hyperlink should be provided for the summary tables, report text, and raw data.

GLOSSARY

- Accuracy:** The accuracy of an analytical procedure expresses the closeness of agreement between the value, which is accepted either as a conventional true value or an accepted reference value and the value found. This is sometimes termed trueness.
- Accuracy:** Accuracy is the degree of closeness of the determined value to the nominal or known true value under prescribed conditions. Accuracy is also sometimes termed trueness.
- Analyte:** An analyte is the specific chemical moiety being measured; it can be an intact drug, a biomolecule or its derivative, a metabolite, or a degradation product in a biologic matrix.
- Analytical Procedure:** The analytical procedure refers to the way of performing the analysis. It should describe in detail the steps necessary to perform each analytical test. This may include but is not limited to the sample, the reference standard and the reagents preparations, use of the apparatus, generation of the calibration curve, use of the formulae for the calculation, etc.
- Analytical run:** An analytical run is a complete set of analytical and study samples with an appropriate number of standards and QCs for their validation. Several runs can be completed in one day, or one run may take several days to complete.
- Assay (content or potency):** To provide an exact result, which allows an accurate statement on the content or potency of the analyte in a sample.
- Autosampler stability:** Autosampler stability is the stability of the analyte in the processed sample under the conditions in the autosampler.
- Batch:** For purposes of this guidance, a batch is a number of unknown samples from one or more patients in a study and QCs that are processed at one time.
- Bench-top stability:** Bench-top stability is the stability of an analyte in a matrix under conditions of sample handling during sample processing.
- Between run:** Between run refers to the distinct period between or among several analytical or validation runs.
- Biological matrix:** A biological matrix is discrete material of biological origin that can be sampled and processed in a reproducible manner. Examples are blood, serum, plasma, urine, feces, cerebrospinal fluid, saliva, sputum, and various discrete tissues.
- Blank:** A blank is a sample of a biological matrix to which no analytes have been added that is used to assess the selectivity of the bioanalytical method.
- Calibration curve:** The calibration curve—also known as the standard curve—is the relationship between the instrument response and the calibration standards within the intended quantitation range.
- Calibrators/Calibration standards:** Calibrators, or calibration standards, refer to a biological matrix to which a known amount of analyte has been added. Calibration standards are used to construct calibration curves from which the concentrations of analytes in QC samples and in-study samples are determined.
- Carryover:** Carryover is the appearance of an analyte in a sample from a preceding sample.
- Critical reagents:** Critical reagents are requisite components of an assay, which include antibodies, labeled analytes, matrices, etc.
- Detection Limit:** The detection limit of an individual analytical procedure is the lowest amount of analyte in a sample, which can be detected but not necessarily quantitated as an exact value.
- Dilutional linearity:** Dilutional linearity demonstrates the accurate measurement of concentrations of spiked samples (i.e., QCs) exceeding the quantitation range when serially diluted to within the quantitative assay range.
- Extract stability:** Extract stability assesses the degradation of the processed sample relative to the starting material.
- Extract:** An extract is a sample treated to remove impurities or interfering substances (also known as a processed sample).
- Freeze-thaw stability:** Freeze-thaw stability refers to the stability of the analyte in the matrix upon freezing and thawing.
- Freshly prepared:** Freshly prepared refers to QC sample preparation (i.e., spiked) on the day of the experiment, not frozen before use.
- Full validation:** Full validation refers to the establishment of all validation parameters that apply to sample analysis for the bioanalytical method for each analyte.
- Heteroscedasticity:** Heteroscedasticity occurs when the variance of a response is not constant but changes with the response.
- Hook effect:** The hook effect occurs when increasing analyte concentrations result in no change or decreased signals when compared to the preceding concentration.
- Identification:** To ensure the identity of an analyte.
- Incurred sample reanalysis (ISR):** ISR is the repeated measurement of an analyte's concentration from study samples to demonstrate reproducibility. Incurred samples: Incurred samples are study samples or samples from subjects or patients who were dosed.
- Interference:** Interference refers to the action of sample components, including structurally similar analytes, metabolites, impurities, degradants, or matrix components, that may impact quantitation of the analyte of interest. Refer to Selectivity and Matrix effect for further information.
- Intermediate Precision:** Intermediate precision expresses within-laboratories variations: Different days, different analysts, different equipment, etc.
- Internal standard (IS):** ISs are test compounds (e.g., structurally similar analogs, stable isotope labeled compounds) added to both calibration standards and

samples at known and constant concentrations to facilitate quantification of the target analyte(s).

Lack of specificity: Lack of specificity of an individual analytical procedure may be compensated by other supporting analytical procedure(s).

Linearity: The linearity of an analytical procedure is its ability (within a given range) to obtain test results, which are directly proportional to the concentration (amount) of analyte in the sample.

Long-term stability: Long-term stability assesses the degradation of an analyte in the matrix relative to the starting material after periods of frozen storage.

Lower limit of quantification (LLOQ): The LLOQ is the lowest amount of an analyte that can be quantitatively determined with acceptable precision and accuracy.

Matrix effect: The matrix effect is a direct or indirect alteration or interference in response because of the presence of unintended analytes (for analysis) or other interfering substances in the sample.

Method: A method is a comprehensive description of all procedures used in the collection, storage, and analysis of samples.

Nominal concentration: The nominal concentration is the actual or intended concentration of the calibrator or quality control samples.

Non-zero calibrator: A non-zero calibrator is a calibrator to which the internal standard is added.

Parallelism: Parallelism demonstrates that the serially diluted incurred sample response curve is parallel to the calibration curve. Parallelism is a performance characteristic that can detect potential matrix effects and interactions between critical reagents in an assay.

Precision: The precision of an analytical procedure expresses the closeness of agreement (degree of scatter) between a series of measurements obtained from multiple sampling of the same homogeneous sample under the prescribed conditions. Precision may be considered at three levels: Repeatability, intermediate precision, and reproducibility. Precision should be investigated using homogeneous, authentic samples. However, if it is not possible to obtain a homogeneous sample, it may be investigated using artificially prepared samples or a sample solution. The precision of an analytical procedure is usually expressed as the variance, standard deviation, or coefficient of variation of a series of measurements. Precision is the closeness of agreement (i.e., degree of scatter) among a series of measurements obtained from multiple sampling of the same homogenous sample under the prescribed conditions.

Processed sample: A processed sample is the final extract (before instrumental analysis) of a sample that has been subjected to various manipulations (e.g., extraction, dilution, concentration).

Processing batch: A processing batch is a group of unknown samples from one or more study subjects, calibrators, and a set of QCs that are subjected to the analytical methodology together.

Prozone: The prozone is an effect observed when increasing analyte concentrations result in either no change or decreased detector response when compared to the preceding concentration (also see the Hook effect).

Purity Tests: To ensure that all the analytical procedures performed allow an accurate statement of the content of impurities of an analyte, that is, related substances test, heavy metals, residual solvents content, etc.

Quality control sample (QC): A QC is a biological matrix with a known quantity of analyte that is used to monitor the performance of a bioanalytical method and to assess the integrity and validity of the results of study samples analyzed in an individual run.

Quantification range: The quantification range is the range of concentrations, including the ULOQ and the LLOQ, that can be reliably and reproducibly quantified with accuracy and precision with a concentration–response relationship.

Quantitation Limit: The Quantitation Limit of an individual analytical procedure is the lowest amount of analyte in a sample, which can be quantitatively determined with suitable precision and accuracy. The Quantitation Limit is a parameter of quantitative assays for low levels of compounds in sample matrices and is used particularly for the determination of impurities and/or degradation products.

Range: The range of an analytical procedure is the interval between the upper and lower concentration (amounts) of analyte in the sample (including these concentrations) for which it has been demonstrated that the analytical procedure has a suitable level of precision, accuracy, and linearity.

Reproducibility: Reproducibility is the precision between two laboratories. It also represents the precision of the method under the same operating conditions over a short period of time.

Recovery: Recovery refers to the extraction efficiency of an analytical process, reported as a percentage of the known amount of an analyte carried through the sample extraction and processing steps of the method.

Reference standard: A reference standard is a chemical substance of known purity and identity which is used to prepare calibration standards and quality controls. Three types of reference standards are usually used: (1) certified (e.g., USP compendial standards), (2) commercially supplied, and (3) custom-synthesized.

Re-integration: Re-integration is a reanalysis of the chromatographic peak.

Repeatability: Repeatability expresses the precision under the same operating conditions over a short interval of time. Repeatability is also termed intra-assay precision.

Reproducibility: Reproducibility expresses the precision between laboratories (collaborative studies, usually applied to standardization of methodology).

Response function: Response function is the mathematical expression that describes the relationship between known sample concentrations and the response of the instrument (also refer to Calibration curve).

Robustness: The robustness of an analytical procedure is a measure of its capacity to remain unaffected by small but deliberate variations in method parameters and provides an indication of its reliability during normal usage.

Sample: A sample is a generic term encompassing controls, blanks, unknowns, and processed samples.

Selectivity: Selectivity is the extent to which the method can determine a particular compound in the analyzed matrices without interference from matrix components.

Sensitivity: Sensitivity is defined as the lowest analyte concentration in the matrix that can be measured with acceptable accuracy and precision (i.e., LLOQ).

Specificity: Specificity is the ability to assess unequivocally the analyte in the presence of components, which may be expected to be present. Typically, these might include impurities, degradants, matrix, etc. Specificity is the ability of the method to assess, unequivocally, the analyte in the presence of other components that are expected to be present (e.g., impurities, degradation products, matrix components, etc.).

Spiked samples: A spiked sample is a general term that refers to calibrators (calibration standards) and quality controls.

Stability: Stability is a measure of the intactness an analyte (lack of degradation) in a given matrix under specific storage and use conditions relative to the starting material for given time intervals.

Standard curve: Refer to Calibration curve.

Stock solution: A stock solution refers to an analyte in a solvent or mixture of solvents at a known concentration, which is used to prepare calibrators or QCs.

Study samples: Study samples refer to samples from subjects or patients enrolled in a study.

System suitability: System suitability is a determination of instrument performance (e.g., sensitivity and chromatographic retention) by analyzing a set of reference standards before the analytical run.

Total error: Total error is the sum of the absolute value of the errors in accuracy (%) and precision (%). Total error is reported as percent (%) error.

Unknown: An unknown is a biological sample that is the subject of the analysis.

Upper limit of quantification (ULOQ): The ULOQ is the highest amount of an analyte in a sample that can be quantitatively determined with precision and accuracy.

Within-run: Within-run refers to the time period during a single analytical or validation run.

Zero calibrator: A zero calibrator is a blank sample to which the internal standard is added.

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2. Shah VP, Midha KK, Findlay JW, et al. (2000) Bioanalytical methods validation: A revisit with a decade of progress. *Pharm Res* 17:1551–1557.
3. When final, this guidance will represent the FDA's current thinking on this topic. For the most recent version of a guidance, check the FDA Drugs guidance Web page at <http://www.fda.gov/Drugs/GuidanceComplianceRegulatoryInformation/Guidances/default.htm>
4. ViswanathanCT, SurendraB, BoothB, et al. (2007) Quantitative bioanalytical methods validation and implementation: Best practices for chromatographic and ligand binding assays. *Pharm Res* 24:1962–1973.
5. Fast DM, Kelley M, Viswanathan CT, et al. (2009) Workshop report and follow-up—AAPS workshop on current topics in GLP bioanalysis: Assay reproducibility for incurred samples—Implications of crystal city recommendations. *AAPS J* 11:238–241.
6. Booth B, Arnold ME, DeSilva B, et al. (2015). Workshop Report.
7. For the Center for Veterinary Medicine, all BE studies are subject to Good Laboratory Practices.
8. This guidance is available on the Internet at <http://www.fda.gov/Drugs/default.htm> under Guidances (Drugs).
9. For the most recent version of a guidance, check the FDA Drugs guidance Web page at <http://www.fda.gov/Drugs/GuidanceComplianceRegulatoryInformation/Guidances/default.htm>



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8 Bioequivalence Testing of Topical Drugs

For topical dermatologic drug products, PK measurements in blood, plasma, and/or urine are usually not feasible to document BE because topical dermatologic products generally do not produce measurable concentrations in extracutaneous biological fluids. The BE determination for these products is thus often based on PD or clinical studies. An additional approach is to document BE through reliance on measurement of the active moiety(ies) in the stratum corneum. This approach is termed dermatopharmacokinetics (DPK). Although measurement of the active moiety(ies) in blood or urine is not regarded as an acceptable measurement of BE for dermatologic drug products, it may be used to measure systemic exposure.

I. INACTIVE INGREDIENTS

During the investigational new drug (IND) process for an NDA, the safety of inactive ingredients in a topical drug product should be documented by specific studies or may be based on a prior history of successful use in the same amount administered via the same route of administration in an approved product. The requisite safety studies to establish the safety of a new excipient during the investigational new drug (IND) process should be discussed with appropriate review staff at the FDA. For an ANDA, the safety of inactive ingredients in an ANDA can be based on a prior history of successful use in an NDA or ANDA. If the inactive ingredients in an ANDA are not the same as the reference listed drug, the applicant should demonstrate to the agency that the changes(s) do not affect the safety and/or efficacy of the proposed drug product. In some instances, a comparative bioavailability study will satisfy this recommendation. If preclinical or clinical studies are needed to demonstrate the safety of inactive ingredient(s) in the generic drug product, the ANDA may not be approved. In this circumstance, the applicant may wish to resubmit their application as an NDA under the provisions of 505(b)(1) or (b)(2) of the act.

II. WAIVER OF BIOEQUIVALENCE

In accordance with 21 CFR 314.94(a)(9)(v), generally, the test (generic) product intended for topical use must contain the same inactive ingredients as the RLD. For all topical drug products intended for marketing under an abbreviated application, documentation of in vivo bioequivalence is required under 21 CFR 320.21(b). For a topical solution drug product, in vivo bioequivalence may be waived if the inactive ingredients in the product are qualitatively identical and quantitatively essentially the same compared to the listed drug. In this setting, quantitatively *essentially the same* means that the amount/concentration of the inactive ingredient(s) in the test product cannot differ by more than $\pm 5\%$ of the amount/

concentration of the listed drug. Where a test solution differs qualitatively or quantitatively from the listed drug, in vivo BE may be waived, provided the sponsor submits evidence that the difference does not affect safety and/or efficacy of the product at the time a waiver is requested.

III. BIOEQUIVALENCE APPROACHES

Comparative clinical trials are generally difficult to perform, highly variable, and insensitive. For these reasons, other approaches, such as DPK or pharmacodynamic (PD), may be used for BE determination.

A. DERMATOPHARMACOKINETIC APPROACHES

The DPK approach is comparable to a blood, plasma, urine PK approach applied to the stratum corneum. DPK encompasses drug concentration measurements with respect to time and provides information on drug uptake, apparent steady-state levels, and drug elimination from the stratum corneum based on a stratum corneum concentration–time curve.

When applied to diseased skin, topical drug products induce one or more therapeutic responses, where onset, duration, and magnitude depend on the relative efficiency of three sequential processes, namely, (1) the release of the drug from the dosage form, (2) penetration of the drug through the skin barrier, and (3) generation of the desired pharmacological effect. Because topical products deliver the drug directly to or near the intended site of action, measurement of the drug uptake into and drug elimination from the stratum corneum can provide a DPK means of assessing the BE of two topical drug products. Presumably, two formulations that produce comparable stratum corneum concentration–time curves may be BE, just as two oral formulations are judged BE if they produce comparable plasma concentration–time curves. Even though the target site for topical dermatologic drug products in some instances may not be the stratum corneum, the topical drug must still pass through the stratum corneum, except in instances of damage, to reach deeper sites of action. In certain instances, the stratum corneum itself is the site of action. For example, in fungal infections of the skin, fungi reside in the stratum corneum, and therefore DPK measurement of an antifungal drug in the stratum corneum represents direct measurement of drug concentration at the site of action. In instances where the stratum corneum is disrupted or damaged, in vitro drug release may provide additional information toward the BE assessment. In this context, the drug release rate may reflect drug delivery directly to the dermal skin site without passage through the stratum corneum. For antiacne drug products, target sites are the hair follicles and sebaceous glands. In this setting, the drug diffuses through the stratum corneum, epidermis, and

dermis to reach the site of action. The drug may also follow follicular pathways to reach the sites of action. The extent of follicular penetration depends on the particle size of the active ingredient if it is in the form of a suspension. Under these circumstances, the DPK approach is still expected to be applicable because studies indicate a positive correlation between the stratum corneum and follicular concentrations. Although the exact mechanism of action for some dermatologic drugs is unclear, the DPK approach may still be useful as a measure of BE because it has been demonstrated that the stratum corneum functions as a reservoir, and stratum corneum concentration is a predictor of the amount of drug absorbed.

For reasons thus cited, DPK principles should be generally applicable to all topical dermatologic drug products including antifungal, antiviral, antiacne, antibiotic, corticosteroid, and vaginally applied drug products. The DPK approach can thus be the primary means to document BA/BE. Additional information, such as comparative *in vitro* release data and particle size distribution of the active ingredient between the RLD and the test product, may provide additional supportive information. Generally, BE determinations using DPK studies are performed in healthy subjects because skin where disease is present demonstrates high variability and changes over time. Use of healthy subjects is consistent with similar use in BE studies for oral drug products.

A DPK approach is not generally applicable (1) when a single application of the dermatologic preparation damages the stratum corneum, (2) for otic preparations except when the product is intended for otic inflammation of the skin, and (3) for ophthalmic preparations because the cornea is structurally different from the stratum corneum. The following three sections of the guidance provide general procedures for conducting a BA/BE study using DPK methodology.

1. Performance and Validation of the Skin Stripping Technique

DPK studies should include validation of both analytical methods and the technique of skin stripping. Since the DPK approach involves two components of validation (sampling and analytical method), overall DPK variability may be greater than with other methodologies. For analytical methods, levels of accuracy, precision, sensitivity, specificity, and reproducibility should be documented according to established procedures. Although the forearm, back, thigh, or other part of the body can be used for skin stripping studies, most studies are conducted on the forearm, for reasons of convenience. Care should be taken to avoid any damage with physical, mechanical, or chemical irritants (e.g., soaps, detergents, agents). Usual hydration and environmental conditions should be maintained. After washing prior to treatment, sufficient time, preferably 2 hours, should be allowed to normalize the skin surface. Detailed and workable standard operating procedures (SOPs) for area and amount of drug application, excess drug removal, and skin stripping methodology should be developed. The product's stability during the course of the study should be established. If the product is unstable, the rate and extent of degradation *in situ* over the

period should be determined accurately so that a correction factor may be applied. Skin on both left and right arms of healthy subjects may be used to provide eight or more sites per arm. The size of the skin stripping area is important to allow collection of a sufficient drug in a sample to achieve adequate analytical detectability. Inter- and intra-arm variability should be assessed, and the treatment sites should be randomized appropriately. If a sponsor or applicant is using multiple investigators to conduct a single study, the reproducibility of skin stripping data between the investigators should be established. Either of the following approaches are recommended:

- A dose–response relationship between the drug concentration in the applied dosage form and the drug concentration in the stratum corneum should be established using the skin stripping method. A DPK dose–response relationship is analogous to a dose proportionality study performed with solid oral dosage forms. This type of study can be readily performed using three different strengths of the formulations. These can be marketed or specially manufactured products. Alternatively, a solution of the active drug representing three concentrations can be prepared for this purpose. Amount of drug in the stratum corneum at the end of a specified time interval, such as 3 hours, can provide a dose–response relationship.
- The skin stripping method should be capable of detecting differences of $\pm 25\%$ in the strength of a product. This can be determined by applying different concentrations (e.g., 75%, 100%, 125%) of a test dosage form such as a simple solution to the skin surface for a specified exposure time such as 3 hours, executing the skin stripping method, and performing the appropriate statistical tests comparing the strength applied to the measured drug concentration in the stratum corneum.

Using the reference product, the approximate minimum time required for drug to reach saturation level in the stratum corneum should be determined. This study establishes the time point at which the elimination phase of the study may be initiated.

The drug concentration–time profile may vary with the drug, the drug potency class, formulation, subject, sites of application, circadian rhythm, ambient temperature, and humidity. These factors should be considered and controlled as necessary.

Circadian rhythms may be present and may affect the measurement of skin stripping drug concentration if the drug is also an endogenous chemical (e.g., corticosteroid or retinoic acid). In such circumstances, the baseline concentration of the endogenous compound should be measured over time from sites where no drug product has been applied.

IV. SAMPLE PILOT STUDY

The reference drug product is randomly applied to eight sites on one forearm, with skin stripping performed at incremental

times after application (e.g., 15, 30, 60, and 180 minutes) (see Figure 8.1). One site is used for each time point. Four additional sites at 180 minutes on the same arm should be assessed to provide a total of five replicates for the same time point. An additional site with no application of a drug product should be sampled as a control, yielding a total of nine sampling sites. The contralateral forearm may be used to assess dose–response and sensitivity relationships by applying at least three concentrations of the drug product or simple drug solution for 180 minutes in duplicates. Two additional applications of the reference drug product on the same arm should be tested for 180 minutes as well to provide additional information about inter- and intra-arm variability and reproducibility. A control site with no drug application should also be included for a total of nine sites on the contralateral arm. The pilot study should be carried out in at least six subjects. Stratum corneum samples are removed according to procedures described below and analyzed for drug concentration. Standard procedures should be followed in all elements of the study and should be carried through all subsequent studies.

A. DPK BIOEQUIVALENCE STUDY PROTOCOL

1. Protocol and Subject Selection

Healthy volunteers with no history of previous skin disease or atopic dermatitis and with healthy, homogeneous forearm (or other) skin areas sufficient to accommodate at least eight treatment and measurement sites (time points) should be recruited. The number of subjects to be entered may be

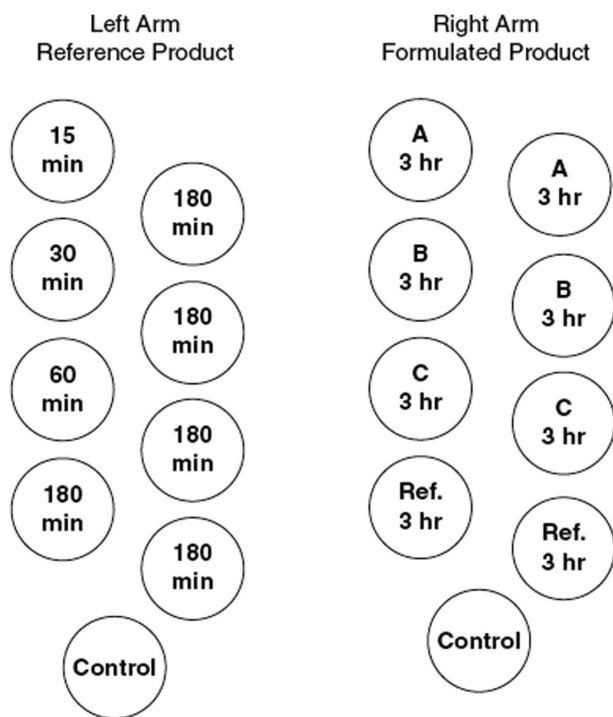


FIGURE 8.1 Schematic for drug application and removal sites for pilot study. (A) to (C) represent three concentrations of the drug product or drug solution.

obtained from power calculations using intra- and intersubject variability from the pilot study. Because skin stripping is highly sensitive to specific study site factors, care should be taken to perfecting the technique and enrolling a sufficient number of subjects. The following study design is based on a crossover study design, where the crossover occurs at the same time using both arms of a single subject. A crossover design in which subjects are studied on two different occasions may also be employed. If this design is employed, at least 28 days should be allowed to rejuvenate the harvested stratum corneum.

2. Application and Removal of Test and Reference Products

The treatment areas are marked using a template without disturbing or injuring the stratum corneum/skin. The size of the treatment area will depend on multiple factors including drug strength, analytical sensitivity, the extent of drug diffusion, and exposure time. The stratum corneum is highly sensitive to certain environmental factors. To avoid bias and to remain within the limits of experimental convenience and accuracy, the treatment sites and arms should be randomized. Uptake, steady-state, and elimination phases, as described in more detail below, may be randomized between the right and left arms in a subject. Exposure time points in each phase may be randomized among various sites on each arm. The test and reference products for a particular exposure time point may be applied on adjacent sites to minimize differences. Test and reference products should be applied concurrently on the same subjects according to a SOP that has been previously developed and validated. The pre-marked sites are treated with predetermined amounts of the products (e.g., 5 mg/sq cm) and covered with a nonocclusive guard. Occlusion is used only if recommended in product labeling. Removal of the drug product is performed according to SOPs at the designated time points, using multiple cotton swabs or Q-tips with care to avoid stratum corneum damage. In case of certain oily preparations such as ointments, washing the area with a mild soap may be needed before skin stripping. If washing is carried out, it should be part of an SOP.

3. Sites and Duration of Application

The BE study should include measurements of drug uptake into the stratum corneum and drug elimination from skin. Each of these elements is important to establish bioavailability and/or bioequivalence of two products, and each may be affected by the excipients present in the product. A minimum of eight sites should be employed to assess uptake/elimination from each product. The time to reach steady state in the stratum corneum should be used to determine timing of samples. For example, if the drug reaches steady state in 3 hours, 0.25, 0.5, 1, and 3 hours posttreatment may be selected to determine uptake, and 4, 6, 8, and 24 hours may be used to assess elimination. A *zero* time point (control site away from test sites) on each subject should be selected to provide baseline data. If the test/reference drug products are studied on both forearms, randomly selected sites on one arm may

be designated to measure drug uptake/steady state. Sites on the contralateral arm may then be designated to measure drug elimination. During drug uptake, both the excess drug removal and stratum corneum stripping times are the same so that the stratum corneum stripping immediately follows the removal of the excess drug. In the elimination phase, the excess drug is removed from the sites at the steady-state time point, and the stratum corneum is harvested at succeeding times over 24 hours to provide an estimate of an elimination phase (see Figure 8.2).

4. Collection of Sample

Skin stripping proceeds first with the removal of the first one to two layers of stratum corneum with two adhesive tapes strip/disc applications, using a commercially available product (e.g., D-Squame, Transpore). These first two tape strips contain the generally unabsorbed, as opposed to penetrated or absorbed, drug and therefore should be analyzed separately from the rest of the tape strips. The remaining stratum corneum layers from each site are stripped at the designated time intervals. This is achieved by stripping the site with an additional ten adhesive tape strips. All ten tape strips obtained from a given time point are combined and extracted, with drug content determined using a validated analytical method. The values are generally expressed as amounts/area (e.g., ng/cm) to maintain uniformity in reported values. Data may be computed to obtain full drug concentration–time profiles, $C_{\max-ss}$, $T_{\max-ss}$, and AUCs for the test and reference products.

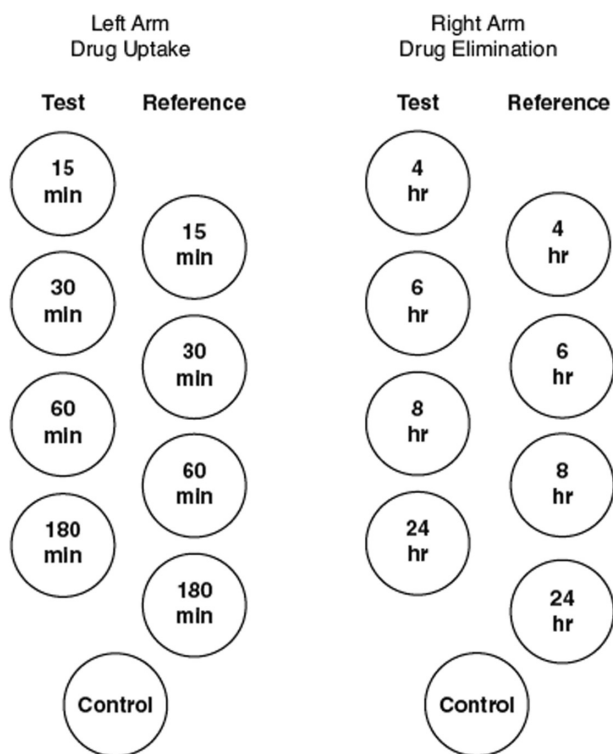


FIGURE 8.2 Schematic for drug uptake and drug elimination for bioequivalence study.

5. Procedure for Skin Stripping

The general test procedures in either the pilot study or the pivotal BA/BE study are summarized below.

To assess drug uptake:

- Apply the test and/or reference drug products concurrently at multiple sites.
- After an appropriate interval, remove the excess drug from a specific site by wiping three times lightly with a tissue or cotton swab.
- Using information from the pilot study, determine the appropriate times of sample collection to assess drug uptake.
- Repeat the application of adhesive tape two times, using uniform pressure, discarding these first two tape strips.
- Continue stripping at the same site to collect ten more stratum corneum samples.
- Care should be taken to avoid contamination with other sites.
- Repeat the procedure for each site at other designated time points.
- Extract the drug from the combined ten skin stripplings, and determine the concentration using a validated analytical method.
- Express the results as amount of drug per square cm treatment area of the adhesive tape.

To assess drug elimination:

- Apply the test and reference drug product concurrently at multiple sites chosen based on the results of the pilot study. Allow sufficient exposure period to reach apparent steady-state level.
- Remove any excess drug from the skin surface as described previously, including the first two skin stripplings.
- Collect skin stripping samples using ten successive tape strips at time intervals based on the pilot study and analyze them for drug content.

B. METRICS AND STATISTICAL ANALYSES

A plot of stratum corneum drug concentration vs. a time profile should be constructed to yield stratum corneum metrics of C_{\max} , T_{\max} , and AUC. The two one-sided hypotheses at the $p=0.05$ level of significance should be tested for AUC and C_{\max} by constructing the 90% confidence interval (CI) for the ratio between the test and reference averages. Individual subject parameters, as well as summary statistics (average, standard deviation, coefficient of variation, 90% CI) should be reported. For the test product to be BE, the 90% CI for the ratio of means (population geometric means based on log-transformed data) of test and reference treatments should fall within 80% to 125% for

AUC and 70% to 143% for C_{max} . Alternate approaches in the calculation of metrics and statistics are acceptable with justification.

V. PHARMACODYNAMIC APPROACHES

Sometimes topically applied dermatologic drug products produce direct/indirect PD responses that may be useful to measure BE. For example, topically applied corticosteroids produce a vasoconstrictor effect that results in skin blanching. This PD response has been correlated with corticosteroid potency and efficacy. Based on this PD response, FDA issued a guidance entitled *Topical Dermatological Corticosteroids: In Vivo Bioequivalence* (June, 1995). The guidance recommends that a pilot study be conducted to assess the dose-response characteristics of the corticosteroid followed by a formal study to assess BE. Topically applied retinoid produces transepidermal water loss that may be used as a PD measure to assess BE.

A. IN VITRO RELEASE APPROACHES (LOWER STRENGTH)

Usually, only one strength of a topical dermatologic drug product is available although sometimes two or, rarely, three strengths may be marketed. When multiple strengths are available, a standard practice is to create lower strengths by altering the percentage of active ingredients without otherwise changing the formulation or its manufacturing process. Topical dermatologic drug products usually contain relatively small amounts of the active drug substance, usually $\leq 5\%$ and frequently $\leq 1\%$. In this setting, changes in the active ingredient may have little impact on the overall formulation.

Safety and efficacy should be documented for all strengths of topical drug products in the NDA submissions. Using some of the approaches suggested in this guidance, BA may also be documented for the highest strength. For lower strengths, where documentation of BA is considered important, this guidance suggests that in vitro release may be performed. Similarly, for an ANDA, when bioequivalence has been documented for the highest strength, in vitro release may also be used to waive in vivo studies to assess bioequivalence between these lower strengths and the corresponding strengths of the RLD. If this approach suggests bioinequivalence, further studies may be important.

To support the BE of lower strengths in an ANDA, the following conditions are important.

- Formulations of the two strengths should differ only in the concentration of the active ingredient and equivalent amount of the diluent.
- No differences should exist in manufacturing process and equipment between the two strengths.
- For an ANDA, the RLD should be marketed at both higher and lower strengths.

- For an ANDA, the higher strength of the test product should be BE to the higher strength of RLD.

In vitro drug release rate studies should be measured under the same test conditions for all strengths of both the test and RLD products. The in vitro release rate should be compared between (1) the RLD at both the higher (RHS) and lower strengths (RLS), and (2) the test (generic) products at both higher (THS) and lower strengths (TLS). Using the in vitro release rate, the following ratios and comparisons should be made:

Release rate of RHS/Release rate of RLS \approx Release rate of THS/Release rate of TLS

The ratio of the release rates of the two strengths of the test products should be about the same as the ratio of the release rate of reference products, that is:

(Release rate of RHS \times Release rate of TLS)/Release rate of RLS \times Release rate of THS ≈ 1 . Using appropriate statistical methods, the standard BE interval (80–120) for a lower strength comparison of test and reference products should be used.

After approval, a sponsor may wish to develop an intermediate strength of a topical dermatologic drug product when two strengths have been approved and are in the marketplace. In this case, the in vitro release rate of the intermediate strength should fall between the in vitro release rates of the upper and lower strengths. Modifications of the approach described in this section of the guidance can thus be applied, providing all strengths differ only in the amount of active ingredient and do not differ in manufacturing processes and equipment.

B. IN VITRO RELEASE: EXTENSION OF THE METHODOLOGY

Drug release from semisolid formulations is a property of the dosage form. Current scientific consensus is that in vitro release is an acceptable regulatory measure to signal inequivalence in the presence of certain formulation and manufacturing changes. With suitable validation, in vitro release may be used to assess batch-to-batch quality, replacing a series of tests that in the aggregate assess product quality and drug release (e.g., particle size determination, viscosity, and rheology). Because topical dosage forms are complex dosage forms, manufacturers should optimize the in vitro release test procedure for their product in a manner analogous to the use of in vitro dissolution to assess the quality of extended-release products from batch to batch. In addition, in vitro release might be used in a sponsor-specific comparability protocol to allow more extensive post-approval changes in formulation and/or manufacturing, provided that BE between two products representing the extremes of the formulation and manufacturing changes have been shown to be bioequivalent, using approaches recommended earlier in this document.

C. SYSTEMIC EXPOSURE STUDIES

To ensure safety, and, when appropriate, comparable safety, information on systemic exposure is important for certain types of topical dermatologic drug products, such as retinoid and high-potency corticosteroids. The degree of systemic

exposure for the majority of topical dermatologic drug products may be determined via standard in vivo blood, plasma, or urine PK techniques. For corticosteroids, an in vivo assessment of the HPA axis suppression test may provide the information. For other topical dermatologic drug products, such tests may not be needed.

9 Active Pharmaceutical Ingredients: GMP Compliance and Inspection

COMPLIANCE

I. INTRODUCTION

The U.S. FDA has recently updated a program in its Compliance Program Guidance Manual chapter on Drug Quality Assurance, entitled *Active Pharmaceutical Ingredient (API) Process Inspection* (Compliance Program). The program has been updated to address API compliance with the adulteration provisions of the Federal Food, Drug, and Cosmetic Act (the Act) in light of FDA's efforts as part of its "Pharmaceutical cGMPs for the 21st Century" initiative. Among other things, the revised program elaborates on the Agency's current risk-based, systems approach to inspections as it applies to the manufacture of APIs and incorporates an ICH-developed guidance document, ICH Q7A, to clarify appropriate good manufacturing practice requirements for APIs.

Active pharmaceutical ingredients, colloquially referred to as "APIs," are considered adulterated

if it is a drug and the methods used in, or the facilities or controls used for, its manufacture, processing, packing, or holding do not conform to or are not operated or administered in conformity with current good manufacturing practice to assure that such drug meets the requirements of ... safety and has the identity and strength, and meets the quality and purity characteristics, which it purports or is represented to possess.

The act does not distinguish between APIs and drug products regarding what constitutes adulteration or current good manufacturing practices (cGMPs). However, FDA has delineated the two. FDA has promulgated regulations establishing the cGMPs for finished pharmaceuticals, found in 21 CFR Parts 210 and 211, but has not developed similar regulations specifically for APIs or drug components.

FDA has consistently maintained that the concepts provided for finished pharmaceutical cGMPs in parts 210 and 211 are valid and applicable "in concept" when considering API manufacturing. Among the several concepts described in the finished pharmaceutical cGMPs are the ideas of building quality into the drug by using suitable equipment and employing appropriate personnel, establishing and implementing adequate written procedures and controls to assure that the processes and controls used in manufacturing are valid, and ensuring drug stability throughout the product's intended use period.

Although the concepts are universal, as FDA contends, the processes used in the manufacture of APIs and drug products

are not. In fact, the process characteristics of an API and a drug product are fundamentally different. API processing includes chemical and biological processing, including synthesis, fermentation, extraction, and purification, while drug product processing includes physical processing, such as granulating, dissolving, mixing, and compressing. Because of this difference, API and drug product processing employ distinct facilities, equipment, and processes. This process distinction results in differences in process water quality, in process controls, process validation, reprocessing and rework, and recovery of materials and solvents. To help illuminate what constitutes cGMPs for APIs, FDA has adopted as part of the Compliance Program an internationally harmonized guidance, *ICH Q7A, Good Manufacturing Practice Guidance for Active Pharmaceutical Ingredients*, which was developed under the auspices of the International Conference on Harmonization of Technical Requirements for Registration of Pharmaceuticals for Human Use (ICH) and which specifically addresses the distinctive attributes of API processes.

As FDA reminds in the Compliance Program, the guidance represents its current thinking on cGMPs for APIs. Moreover, the Compliance Program adopts the ICH Q7A definition of "active pharmaceutical ingredient," which is defined under the guidance as

any substance or mixture of substances intended to be used in the manufacture of a drug product and that, when used in the production of a drug, becomes an active ingredient in the drug product. Such substances are intended to furnish pharmacological activity or other direct effect in the diagnosis, cure, mitigation, treatment or prevention of disease, or to affect the structure and function of the body.

The adoption and incorporation of ICH Q7A into the Compliance Program reaffirms FDA's abandonment of its March 1998 Draft Guidance to Industry on *Manufacturing, Processing, or Holding Active Pharmaceutical Ingredients*, even though the document has not been officially withdrawn. Thus, ICH Q7A is essential to the Compliance Program; not only does it establish the definition FDA applies to determine what constitutes an API, but it also serves as the guidelines to FDA for inspecting the processes of API manufacturers. Nevertheless, even given ICH Q7A's importance to the Compliance Program, FDA openly acknowledges there are approaches not considered in ICH Q7A that may meet the cGMP requirements for API manufacture and that such approaches may be used if they satisfy the underlying statutory requirement. This position reflects FDA's current "science-based" policies.

II. FDA'S RISK-BASED, SYSTEMS APPROACH TO API INSPECTIONS

FDA maintains the goal of conducting inspections of API firms once every 2 years. In addition, the Center for Drug Evaluation and Research supplements this biennial target by providing additional API firms for inspection pursuant to Agency performance goals. FDA applies a risk-based strategy in inspecting these API manufacturers. This means that the frequency and depth of coverage of inspections is expected to reflect the relative risks associated with a firm's operations, including elements such as the firm's compliance history, the types of technology employed by the firm, and the intended use of the finished API. The risk-based approach allows the agency to adjust the regulatory scrutiny in a given circumstance to a level proportionate to the public health risks involved, to apply a uniform approach to the regulatory inspection process, and to place resources into the most useful and needed inspections.

The Compliance Program defines FDA's systems-based approach for the conduct of API inspections, which has been customized to evaluate API processes rather than drug product processes. Inspections of API facilities include an audit of two or more "systems," which are described generally by FDA as:

Quality System: The Quality System assures overall compliance with cGMPs and a company's internal procedures and specification.

Facilities and Equipment System: The Facilities and Equipment System comprises the physical environment and resources used to produce APIs.

Materials System: The Materials System includes the mechanisms by which starting materials, intermediates, and containers are controlled, including validation of computerized control processes, storage, and distribution controls.

Production System: The Production System is the scheme for controlling the manufacture of APIs; this includes in-process sampling/testing and process validation.

Packaging and Labeling System: The Packaging and Labeling System consists of elements that control the packaging and labeling of intermediates and APIs.

Laboratory Control System: The Laboratory Control System is the system used to direct laboratory procedures, testing, analytical methods development, and methods validation or verification, as well as the stability program.

These six areas of measures and activities form the basis of FDA's general regulatory inspection scheme.

As noted, every inspection of an API facility conducted by FDA includes a review of the Quality System. FDA will also apply its risk-based approach and select one or more additional systems for review. By reviewing at least two of the six systems, FDA believes it can adequately assess the overall "health" of the manufacturing practices utilized by the firm

and forms an opinion of overall cGMP compliance based solely on the systems reviewed. FDA considers the inspection of the Quality System and the other selected systems to be applicable to each API product using the system and encourages inspectors to select a sufficient number and type of APIs to adequately review the system's coverage. The selected APIs are intended and expected to be representative of the firm's overall cGMP capabilities.

THE QUALITY SYSTEM

During each inspection, FDA will scrutinize an API manufacturer's Quality System, an assessment FDA views as having two phases. First, the inspector will evaluate whether the Quality Unit has fulfilled its responsibility to review and approve all procedures related to production, quality assurance, and quality controls and whether the procedures are adequate to fulfill their stated purpose, including associated record-keeping systems. Second, the inspector will assess the data collected pursuant to these specified procedures to identify quality problems. The Quality System evaluation may trigger a review of other major systems that were not otherwise slated for inspection.

Under the Compliance Program, an inspector is instructed to review and assess specified written and approved procedures and corresponding documentation resulting from implementation of the specified procedures that characterize an API manufacturer's Quality System. These include procedures and data regarding:

- The adequacy of staffing, as well as the training and qualification of employees in quality control functions
- The conduct of periodic quality reviews and complaint reviews
- Any discrepancy and failure investigations related to manufacturing and testing
- Batches manufactured since last inspection (to appraise any rejections or conversions)
- Change control
- Returns and salvages
- Rejects
- Reprocessing/reworking events
- Recalls
- The system for raw material release
- Stability failures and
- The status of validation activities

MANUFACTURING FACILITY INSPECTION

PART I—BACKGROUND

GENERAL

APIs are subject to the adulteration provisions of Section 501(a)(2)(B) of the act, which requires all drugs to be manufactured in conformance with cGMP. No distinction is made between an API and a finished pharmaceutical in the act,

and the failure of either to comply with cGMP constitutes a violation of the act. FDA has not promulgated cGMP regulations specifically for APIs or drug components (as we have for finished pharmaceuticals). Thus, the use of “cGMP” in this document refers to the requirements of the act rather than the requirements of 21CFR Parts 210 and 211 regulations for finished pharmaceuticals.

FDA has long recognized that the cGMP requirements in the good manufacturing practice regulations for finished pharmaceuticals (21 CFR Parts 210 and 211) are valid and applicable in concept to API manufacturing. These concepts include, among others, building quality into the drug by using suitable equipment and employing appropriately qualified and trained personnel, establishing adequate written procedures and controls designed to assure manufacturing processes and controls are valid, establishing a system of in-process material and final drug tests, and ensuring stability of drugs for their intended period of use. In 2001, FDA adopted an internationally harmonized guidance to industry on API cGMPs in conjunction with regulatory partners in the International Conference on Harmonization of Technical Requirements for Registration of Pharmaceuticals for Human Use (ICH). This guidance is ICH Q7A, *Good Manufacturing Practice Guidance for Active Pharmaceutical Ingredients*. ICH Q7A represents the FDA’s current thinking on cGMPs for APIs. Thus, API and related manufacturing and testing facilities that follow this guidance generally will be considered to comply with the statutory cGMP requirement. However, alternate approaches may be used if such approaches satisfy the requirements of Section 501(a)(2)(B) of the act as long as the approach ensures that the API meets its purported or represented purity, identity, and quality characteristics.

The term “active pharmaceutical ingredient” (API) is used in this program consistent with the meaning of this term as defined in ICH Q7A. An active pharmaceutical ingredient is defined in ICH Q7A as

any substance or mixture of substances intended to be used in the manufacture of a drug product and that, when used in the production of a drug, becomes an active ingredient in the drug product. Such substances are intended to furnish pharmacological activity or other direct effect in the diagnosis, cure, mitigation, treatment or prevention of disease or to affect the structure and function of the body.

Currently, other terms are also used by FDA and industry to mean an API. “Drug substance” and “bulk pharmaceutical chemical” (BPC) are the terms commonly used to mean API and, for BPC, inactive ingredients. The use of these terms to describe active ingredients may be considered equivalent to the term used here, API.

FDA expects API manufacturers to apply cGMPs to the API process beginning with the use of starting materials, and to validate critical process steps that impact the quality and purity of the final API. Controls over material quality are expected to increase as the process approaches the final API. The level of control needed is highly dependent on the manufacturing process and increases throughout the process as it

proceeds from early intermediate steps to final isolation and purification steps. The appropriate level of control depends on the risk or criticality associated with each specific process step.

ICH Q7A contains general guidance to industry on the extent and application of cGMP for manufacturing APIs under an appropriate system for managing quality. It is also intended to help ensure that APIs meet the quality and purity characteristics that they purport or are represented to possess. ICH Q7A is to be used as a guideline for inspecting API manufacturers and related facilities. If an investigator believes that a particular practice conforming to this guidance is believed to be deficient, the investigator or district should consult with CDER DMPQ before making an observation that is in conflict with ICH Q7A. A firm may also use alternate approaches to those described in ICH Q7A.

API manufacturers must register, and APIs in commercial distribution must be listed under Section 510(g) of the act unless exempted under 21 CFR 207.10. Foreign drug manufacturers are also required to register and list all drugs imported or offered for import into the United States. Refer to 21 CFR 207.40 for additional information on establishment registration and drug listing requirements for foreign drug facilities.

The inspection guidance in this program is structured for the efficient use of resources planned for routine surveillance coverage of API manufacturing facilities, recognizing that in-depth coverage of all systems and all processes is not feasible for all firms on a biennial basis. It also provides for follow-up compliance coverage as needed.

SCOPE OF APIs COVERED BY THIS PROGRAM

An API process is a related series of operations which result in the preparation of an active pharmaceutical ingredient. Major operations or steps in an API process may include multistep chemical synthesis and fermentation, purification, crystallization, drying, milling, packing, labeling, and testing.

Some drugs processed similarly to an API may in fact be bulk finished product and subject to the requirements of 21 CFR Parts 210 and 211. If the drug material will not undergo further processing or compounding after its synthesis/fermentation/extraction but is merely repackaged into market containers, it is a bulk finished product. However, investigators should use this program as guidance when covering the synthesis/fermentation processes that result in such APIs rather than the program for dosage forms (CP 7356.002).

This program does not cover all vaccines, whole cells, whole blood and plasma, blood and plasma derivatives (plasma fractionation), and gene therapy APIs as these drugs are regulated under the jurisdiction of the Center for Biologics Evaluation and Research.

The following APIs are to be inspected using CP7256.002M, Inspections of Licensed Biological Therapeutic Drug Products:

- Biotechnology-derived APIs, including those expressed from mammalian or bacterial cell cultures
- Polypeptides

Neither this Compliance Program nor ICH Q7A will provide guidance on the sterilization and aseptic processing of sterile APIs (see Q7A Section 1.3). Investigators are to use the finished product regulations (21 CFR 210 and 211) as guidance and follow CP 7356.002A, *Sterile Drug Process Inspections*, when inspecting the sterile processing of APIs labeled as sterile. Investigators are also to use FDA guidance on aseptic processing, *Sterile Drug Products Produced by Aseptic Processing—Current Good Manufacturing Practice*, 2004, in evaluating aseptic processing conditions for sterile APIs.

PART II—IMPLEMENTATION

OBJECTIVE

The primary objective of this Compliance Program is to provide comprehensive cGMP inspectional coverage of the domestic and foreign API industry in all profile classes (i.e., types of API manufacturing processes) to determine whether a manufacturer is operating in a state of control. An API manufacturer is considered to be operating in a state of control when it employs conditions and practices that assure compliance with the intent of Section 501(a)(2)(B) of the act. A firm in a state of control produces APIs for which there is an adequate level of assurance of quality, identity, and purity.

A firm is not in a sufficient state of control if any one system, as defined in this program, is found to be significantly noncompliant with cGMPs, such that the quality, identity, and purity of the API resulting from that system cannot be adequately assured. Documented cGMP deficiencies provide the evidence for concluding that a system is not operating in a state of control. See Part V, *Regulatory/Administrative Strategy*, for a discussion of compliance actions based on inspection findings demonstrating that a system(s) is not in a state of control.

Profile classes generalize inspection coverage from a small number of specific APIs to all APIs in that class. This program establishes a systems approach to further generalize inspection coverage from a small number of profile classes to an overall evaluation of the firm. This allows for preapproval program inspections to focus on the specific issues related to a given application and improves the review process by providing timely and efficient support for application decisions.

Inspection of API manufacturers should be conducted and reported using the system definitions and organization in this Compliance Program. Focusing on systems, rather than just profile classes, will increase efficiency in conducting inspections because the systems are often applicable to multiple profile classes. An inspection under this program is profileable and will result in a determination of acceptability/non-acceptability for all API profile classes. Inspection coverage should be representative of all API profile classes manufactured by the firm. All other profile classes should be covered under the main program CP 7356.002, or related program circular, as appropriate.

PROGRAM MANAGEMENT INSTRUCTIONS

The Field will conduct API manufacturing inspections and maintain profiles or other monitoring systems with the goal that each API firm will receive biennial inspectional coverage. CDER will also identify firms for inspection coverage under this program to fulfill CDER and agency annual performance goals and as part of an initiative to ensure risk-based prioritization of inspection coverage.

Unless specifically directed by CDER, the District Office is responsible for determining the frequency and depth of coverage given to each API firm consistent with this Compliance Program's instructions. cGMP inspectional coverage under this program shall be sufficient to assess the state of compliance for each firm.

An inspection under this program is defined as audit coverage of two or more systems (the "systems" are defined below in this section and are consistent with the main program, 7356.002), with mandatory coverage of the Quality System. Inspecting at least two systems (i.e., the Quality System and one other system) will provide the basis for an overall cGMP decision.

Coverage of a system should be sufficiently detailed, with specific examples selected, so that the system inspection outcome reflects the state of control in that system for every profile class. If a particular representative system is adequate, it should be adequate for all profile classes manufactured by the firm.

If an API selected for inspection coverage is associated with a unique processing or control function in a system not chosen for coverage, you may cover the unique function for that API. In doing so, you need not give full coverage to that system. For example, if an API chosen for coverage uses high purity water alone in its manufacture, you may inspect the water purification system without having to give full inspection coverage of the Materials System.

In some circumstances, it may not be possible to generalize certain deficiencies in a system to all API profile classes. If so, the unaffected profile classes may be considered acceptable if found otherwise acceptable.

Selecting unique functions within a system will be at the discretion of the investigator. Any given inspection need not cover every system.

Complete inspection of one system may necessitate further follow-up of some aspects of another system to fully document the findings. However, this coverage does not constitute nor require complete coverage of the other system.

A general scheme of systems for auditing the manufacture of API consists of the following:

1. *Quality System* assures overall compliance with cGMPs and internal procedures and specifications.
2. *Facilities and Equipment System* includes activities which provide an appropriate physical environment and resources used in the production of APIs.
3. *Materials System* includes measures and activities to control starting materials, intermediates, and containers. It includes validation of computerized and

inventory control processes, storage, and distribution controls.

4. *Production System* includes measures and activities to control the manufacture of APIs, including in-process sampling and testing, and process validation.
5. *Packaging and Labeling System* includes measures and activities that control the packaging and labeling of intermediates and APIs.
6. *Laboratory Control System* includes measures and activities related to laboratory procedures, testing, analytical methods development and methods validation or verification, and the stability program.

Detailed inspection coverage guidance under these systems is given in Appendix A of this program.

INSPECTION PLANNING

This program is intended to provide for a risk-based inspection strategy. Inspection depth should therefore reflect appropriate risks associated with a particular firm's operations, such as the firm's compliance history, the technology employed, the labeled and purported characteristics, and the intended use in the finished product, if known, of the APIs.

When a system is inspected, the inspection of that system may be considered applicable to all API products which use it. Investigators should select an adequate number and type of APIs to accomplish coverage of the system. APIs selected for coverage should be representative of the firm's overall abilities in manufacturing within cGMPs. (A profile classification scheme is used to categorize APIs by the nature of their processing, as described below.)

Profile class codes or APIs selected for coverage are to be representative of all APIs processed at the firm being inspected. Profile class codes may also be grouped by similarity, such that coverage of one profile class is sufficient to demonstrate cGMP conditions for another profile class. For example, inspecting a CSS API could amount to surrogate coverage of CSN. Similarly, inspecting a CBI could amount to surrogate coverage of other profile classes, such as CFN, CFS, and perhaps CEX.

The public health significance of certain cGMP deviations may be lower when the API is intended for a dosage form that has no dosage limitation, such as in products like calamine lotion or some OTC-medicated shampoos. Such APIs should be given inspection coverage of reduced depth and intensity.

PROFILE CLASSES

The inspection findings will be used as the basis for updating all profile classes in the profile screen of the FACTS EIR coversheet that is used to record profile/class determinations. *Normally, an inspection under this system approach will result in all profile classes being updated.* Effective with this program circular is a list of profile class codes that are used to report the processes covered during API inspections. These are as follows:

Profile Class	Full Description
CSN	Non-sterile API by chemical synthesis
CSS	Sterile API by chemical synthesis
CFN	Non-sterile API by fermentation
CFS	Sterile API by fermentation
CEX	Plant/animal extraction API
CTL	Control testing laboratory
CTX	Testing laboratory plus manufacturer
CRU	Crude bulk not elsewhere classified (CRU of bulk intermediates, and contract micronizers)

TYPES OF INSPECTIONS

There are two basic types of inspections: Surveillance and compliance. Surveillance inspections are conducted on a routine basis to satisfy FDA's responsibilities to inspect drug manufacturing facilities. Compliance inspections are conducted in response to violative surveillance inspections and when a need arises to inspect a facility for-cause.

This program follows the approach in the main Compliance Program, 7356.002. There are two alternate approaches to inspect a facility to satisfy FDA inspection obligations; these are termed "Full Inspection" and "Abbreviated Inspection." These are described in Part III, *Inspectional*, of this program.

PART III—INSPECTIONAL

Inspections of API manufacturers, whether foreign or domestic, should be conducted by experienced investigators with education and/or training particularly in fermentation (see also 7356.002M for additional inspection guidance) and chemical synthesis manufacturing methods. Use of chemists and/or microbiologists during API inspections is recommended, particularly for evaluating laboratory operations (e.g., analytical methods evaluation, analytical data, laboratory procedures, and instrumentation), analytical review of methods used to establish impurity profiles, fermentation manufacturing processes, and complex multistep chemical synthesis processes.

Investigators conducting API inspections must understand the basic differences between the processes used for the production of APIs and those used for finished dosage forms. APIs are usually produced by chemical synthesis or by cell culture and extraction. Thus, the production of APIs typically involves significant changes of starting materials or intermediates by various chemical, physical, and biological processing steps. The ultimate objective in API processing generally is to achieve a pure compound of certain identity, whereas the ultimate objective of finished dosage form manufacturing generally is to achieve the uniform distribution of an API among many dosing units designed to deliver a precise amount of API to a specific area of the body.

Since manufacturers of APIs are often referenced in many drug applications, each inspection should cover representative APIs when covering the systems selected (e.g., if inspecting the Production System for a site making an API

by fermentation and another by synthesis, the inspection should include physical inspection and audit a sampling of records for both types of processing). This strategy, together with the classification of all profile classes upon completion of the inspection, will maximize the use of agency resources and avoid repeated visits to the same manufacturing site to cover different API profile classes referenced in subsequent applications. Any inspection of an API manufacturer should be recorded as a cGMP qualifying inspection.

Inspections should cover any specific APIs referenced in the assignment and any other representative APIs not inspected in the last 2 years. For foreign API firms, investigators should cover only APIs intended to be marketed or already marketed in the United States.

APIs selected for coverage should include those that are referenced in drug applications, are therapeutically significant, are intended for use in parenteral drug products, are difficult to manufacture, or are documented as having past compliance problems. However, this does not preclude the selection of less therapeutically significant APIs to evaluate specific APIs (or profile classes) not previously given in-depth coverage at the facility.

Investigators conducting API inspections should understand the general inspection strategy set forth in this program. Recognizing that API firms vary greatly in size, diversity of operations, and quality assurance systems, investigators should carefully plan their inspectional strategy at each firm. Further guidance on preparing an inspection strategy appears later.

Investigators should also review the firm's rationale for the point at which cGMPs begin, which is expected to vary by type of process (e.g., synthetic, fermentation, extraction, purification).

For an API inspection that is initiated by a preapproval assignment, CP 7346.832, Pre-Approval Inspections/Investigations, inspection time should be reported under the appropriate program assignment codes referenced in both Compliance Programs based on the actual time spent in each program.

INSPECTION APPROACHES

This program provides two surveillance inspectional options:

Full Inspection Option and Abbreviated Inspection Option

Either option may satisfy the biennial inspection requirement.

FULL INSPECTION OPTION

The full inspection option is a surveillance or compliance inspection which is meant to provide a broad and in-depth evaluation of the firm's conformity to cGMPs. The full inspection option is an inspection of at least four of the six systems as listed in Part II and Appendix A of this program, one of which must be the Quality System.

A FULL INSPECTION IS APPROPRIATE

- a. For an initial FDA inspection of a facility, or after a significant change in management or organizational procedures, such as might occur after a change in ownership.
- b. For a firm with a history of noncompliance or a recidivist firm whose ability to comply is short-lived. To determine if the firm meets this criterion, the District should use all information at its disposal, such as current and past inspection findings, results of sample analyses, complaints, recalls, and compliance actions.
- c. To evaluate if important changes have occurred in the firm's state of control by comparing current operations against the EIR for the previous Full Inspection (e.g., by conducting a Full Inspection at every fourth inspection cycle.) In addition to changes in management or ownership, the following types of changes are typical of those that warrant the full inspection option:
 1. New potential for cross-contamination arising through changes in processing or type of APIs using that equipment
 2. Use of new technology requiring new expertise, significant equipment changes and/or additions, or new facilities
- d. When District management or CDER specifically requests this option.
- e. To follow up on a Warning Letter or other regulatory action.

ABBREVIATED INSPECTION OPTION

The abbreviated inspection option is a surveillance or compliance inspection which is meant to provide an efficient update evaluation of the firm's conformity to cGMPs. A satisfactory Abbreviated Inspection will provide documentation for continuing a firm in an acceptable cGMP compliance status. The abbreviated inspection option is an inspection audit of at least two systems but not more than three systems, one of which must be the Quality System. During the course of an Abbreviated Inspection, verification of Quality System activities may require limited coverage in other systems.

An Abbreviated Inspection is appropriate when the full inspection option is not warranted, including the following:

- To maintain surveillance over a historically compliant firm's activities and to provide input to the firm on maintaining and improving the cGMP level of assurance of quality of its APIs.
- When an intended Full Inspection finds objectionable conditions as listed in Part V of this program in one or more systems (a minimum of two systems must be completed) and District management and, as necessary, CDER Office of Compliance, concurs with reducing inspection coverage in order to expedite the issuance of a Warning Letter to correct violations.

COMPLIANCE INSPECTIONS

Compliance inspections are inspections done “for-cause” and to evaluate or verify corrective actions after a regulatory action has been taken. The coverage given in compliance inspections must be related to the areas found deficient and subjected to corrective actions.

In addition, coverage must be given to other systems because a determination must be made on the overall compliance status of the firm after the corrective actions are taken. The firm is expected to address all of its operations in its corrective action plan after a previously violative inspection, not just the deficiencies noted in the FDA-483. The full inspection option should be used for a compliance inspection, especially if the abbreviated inspection option was used during the violative inspection.

Compliance inspections include “For-Cause Inspections.” For-Cause Inspections are for the purpose of investigating a specific problem that has come to the attention of the agency and may not result in the coverage of systems as described in this program. The problem may be identified by a complaint, recall, or other indicator of defective API or poorly controlled process. Coverage of these problems may be assigned under other Compliance Programs or PACs; however, expansion of the coverage to a cGMP inspection is to be reported under this program. For-Cause Inspections may be assigned under this program as the need arises.

SELECTING SYSTEMS FOR COVERAGE

A complete description of each system and the areas for coverage are in Appendix A of this program. The selection of the system(s) for coverage and the relative depth or intensity of audit coverage should take into consideration the relative significance of a particular system for the firm’s specific operating conditions, history of previous coverage, and history of cGMP compliance. It is expected that a Full Inspection will not be conducted every two years at most firms. Districts should select different systems for inspection coverage as a cycle of Abbreviated Inspections is carried out to build comprehensive information on the firm’s total manufacturing activities over time.

PREPARING THE INSPECTION STRATEGY

This guidance is in addition to that given in the *Investigations Operations Manual*.

1. Select two or more, as appropriate, systems for inspection coverage as guided by this program (see Inspection Approaches above). Appendix A contains a detailed description of the inspection coverage to be given each system when selected for inspection.
2. Select significant APIs for inspection coverage, if not specified in the assignment. Significant APIs are those which use all the systems in the firm very broadly and/or use special manufacturing features, e.g., complex chemical synthesis, highly sensitizing material, material of an infectious nature, or a

new chemical entity made under an approved drug application. Review the firm’s FACTS listing, Drug Master Files (DMF), or A/NDA files.

3. If a CDER product or cGMP/regulatory reviewer (compliance officer) is assigned to participate as a member of the inspection team, the lead investigator is to brief them on the intended inspection strategy and explain their supporting role and responsibilities for the inspection. The lead investigator should consult the reviewer on any specific A/NDA Chemistry, Manufacturing and Controls issues (whether premarket or postmarket) to be covered during the inspection.
4. Review the impurity profile for each API process to be covered during the inspection and compare these to the impurity profiles submitted in the application or DMF, if filed. (Investigators and Chemists should be particularly familiar with USP <1086> Impurities in Official Articles.) If the impurity profile has not been filed to CDER, review the guidance on establishing impurity profiles in ICH Q3A and Q3C.
5. Review any compendia monographs for the APIs to be inspected to verify conformity, as appropriate.
6. Before or during the inspection, determine if the firm has made process changes by comparing current operations against the EIR for the previous inspection. Also compare the current operations with those filed in the DMF or the drug application to determine whether the firm is complying with commitments made to the agency. (See also CP 7346.832 for conducting a preapproval inspection of an API.) The following changes are typical of those that would warrant extensive coverage during the inspection:
 - a. New potential for cross-contamination arising through changes in API processes or product-type lines, to include processing numerous APIs of varying toxicity in common equipment and/or facilities.
 - b. Use of new technology requiring new expertise, significantly new equipment, or new facilities.
 - c. Changes in starting materials, intermediates, equipment, facilities, support systems, processing steps, packaging materials, or computer software, particularly those that are not referenced in the DMF or application.
7. For foreign firms, Division of Field Investigations (DFI) will assist investigators in obtaining file information from the appropriate CDER reviewing division or compliance unit. Investigators may also request background information about the site assigned for inspection directly from the U.S. Agent before the initiation of the inspection.

SPECIAL INSPECTION REPORTING INSTRUCTIONS

Investigators should describe in the EIR their inspection coverage and findings in sufficient detail for further agency evaluation of the firm’s state of control and conformance to cGMPs.

ICH Q7A may be used as a guideline in describing coverage and any findings and deficiencies observed. However, do not reference specific ICH Q7A sections in the FDA 483 observations or in the EIR. The FDA 483, if issued, is to be organized into sections for each of the systems covered. In addition to the *Investigations Operations Manual* format and information reporting requirements, all EIRs of API manufacturers must include

1. A list of APIs manufactured (or categories of drugs, if many) along with the general manufacturing process for each (e.g., chemical synthesis, fermentation, extraction of botanical material)
2. For foreign API manufacturers, the names, titles, complete mailing address, telephone and fax number of the firm's U.S. Agent
3. For foreign API manufacturers, a report of all APIs imported into the United States in the last 2 years, their consignees, and an estimate of the frequency and quantity of shipments to these consignees
4. A description of each of the systems selected for coverage, (i.e., areas, processes, and operations), what was covered, who was interviewed, and what manufacturing activities were taking place during the inspection
5. An explanation of the choice of APIs selected for coverage and
6. Any significant changes to a firm's packaging, labeling, product line, or processes, particularly those changes not properly filed, submitted, or reported in a DMF or A/NDA

SPECIAL INSTRUCTIONS FOR FOREIGN DRUG INSPECTIONS

The DFI schedules foreign inspections, makes travel arrangements for inspection teams, and resolves logistical problems. CDER's Office of Compliance, Foreign Inspection Team (FIT), receives and reviews all foreign establishment inspection reports, receives and reviews all foreign firms' responses to an FDA 483, and handles all correspondence regarding inspection outcomes with foreign firms. CDER/FIT maintains the complete file for each foreign drug facility.

Investigators should instruct management at foreign firms to submit their original written response to an FDA 483 directly to CDER's Office of Compliance, with a copy to the investigator. The original response with appropriate documentation should be submitted to the following address:

Food and Drug Administration
Foreign Inspection Team, HFD-325
Division of Manufacturing and Product Quality
Center for Drug Evaluation and Research
11919 Rockville Pike
Rockville, Maryland 20852-2784
USA

Investigators and analysts are to submit their written comments to a foreign firm's response to their issued FDA 483

directly to CDER's FIT as soon as possible. After appropriate district office review and endorsement, all foreign establishment inspection reports will be promptly forwarded to FIT for review and final classification.

FIT will draft and coordinate the issuance of Warning Letters, Untitled Letters, and other correspondence to foreign firms. FIT will also recommend automatic detention of foreign firms/APIs, make recommendations to review units, and request follow-up inspections, as appropriate.

PART IV—ANALYTICAL

API samples collected by the investigator for the purpose of evaluating quality are to be submitted to the appropriate servicing laboratory. A list of each analyzing laboratory for API testing is maintained in Compliance Program Guidance 7356.002 and 7346.832. However, it should be noted that physical API samples are not required to support regulatory or administrative action against a violative firm or drug.

Forensic Chemistry Center (FCC) will request profile (also called "forensic" and "fingerprint") samples of both foreign and domestic source APIs directly from the manufacturer. Investigators are to collect API samples for profile analysis only upon specific request for collection from FCC. Such requests will be made through DFI. If an investigator is instructed to collect a profile sample, FCC will provide specific instructions as to method and amount of collection and shipping. FCC contact information is in Part VI, *Program Contacts*.

Prior to each foreign API site inspection, DFI will provide FCC with the inspection dates, the investigator's name, firm's name, address, telephone number, fax number, FEI number, any related product and application numbers, and the name of the contact person. FCC will then directly request a sample from the firm as needed. FCC may contact the investigator to request their collection of any specific information. The inspection dates will provide FCC information so they can access FACTS to obtain the EIR coversheet.

FCC is responsible for API profile sample collection and analysis and will provide periodic reports of such analysis and assist CDER in evaluating this program's effectiveness.

PART V—REGULATORY/ ADMINISTRATIVE STRATEGY

An inspection report that documents that one or more systems is out of control should be classified OAI (Office Action Indicated). Districts may recommend the issuance of a Warning Letter in accordance with the RPM (Regulatory Procedure Manual). Normally, the issuance of a Warning Letter or the taking of other regulatory or administrative action should result in a classification of all profile classes as unacceptable. A CDER disapproval of a recommendation for Warning Letter or other regulatory action should result in a classification of all profile classes as acceptable.

A Warning Letter with a cGMP charge [i.e., 501(a)(2)(B) adulteration] involving a domestic API manufacturer requires

CDER review and concurrence before issuance. See and follow FDA *Regulatory Procedures Manual* procedures for clearing Warning Letters and Untitled Letters.

A recommendation for regulatory action for API cGMP deficiencies is to cite the statute [501(a)(2)(B) or United States Code, 21 USC 351(a)(2)(B)] and not the finished pharmaceutical regulations at 21CFR 210 and 211. A recommendation should also not cite to ICH Q7A but may use ICH Q7A as a guideline in describing the deficiencies observed. Any regulatory action based upon cGMP noncompliance for APIs should demonstrate how the observed deviations could or did result in actual or potential defects or risk to contamination. In evaluating whether to recommend regulatory or administrative action, consider the critical attributes of the API, its therapeutic significance, and its intended use in finished drug product manufacturing.

Evidence that supports a significant deficiency or pattern of deficiencies within a system may demonstrate the failure of a system. A failure of a system puts all drugs at risk and is to be promptly corrected. The following lists the deficiencies that should result in a recommendation for regulatory action to CDER; other deficiencies may also warrant regulatory action:

1. Contamination of APIs with filth, objectionable microorganisms, toxic chemicals, or significant amounts of other types of chemicals, or a reasonable potential for such contamination because of a finding of a demonstrated route of contamination. (Facilities and Equipment System; Production System)
2. Failure to show that API batches conform to established specifications, such as NDA, USP, customer specifications, and label claims. See also Compliance Policy Guide (CPG) 7132.05. (Quality System)
3. Failure to comply with commitments in drug applications, including DMFs, which should be accurate and current with respect to all required information, such as manufacturing process, impurity profiles (if filed), and other specifications or procedures associated with the manufacture of the API. (Quality System)
4. Distribution of an API that does not conform to established specifications. (Quality System)
5. Deliberate blending of API batches to dilute or hide filth or other noxious contaminants or blending to disguise a critical quality defect in an attempt to obtain a batch that meets its specifications. (Production System)
6. Failure to demonstrate that water, including validation of the process water purification system, and any other solvents used in the final step of the API process are chemically and microbiologically suitable for their intended use and do not adversely alter the quality of the API. (Materials System)
7. Lack of adequate validation of critical steps in the API process, particularly concerning final separation and purification of the API or when there is evidence that an API process is not adequately controlled. Lack of adequate control may be indicated by repeated batch failures or wide variation in final yields as compared to process average over time. See also the revised CPG 7132c.08, *Process Validation Requirements for Drug Products and Active Pharmaceutical Ingredients Subject to Pre-Market Approval*. (Quality System; Production System)
8. Implementation of retrospective process validation for an existing API process when the process has changed significantly, when the firm lacks impurity profile data, or when there is evidence of repeated batch failures due to process variability. (Quality System; Production System)
9. Failure to establish an impurity profile for each API process. FDA expects manufacturers to establish complete impurity profiles for each API as part of the process validation effort. This includes collecting data on (1) actual and potential organic impurities that may arise during synthesis, purification, and storage of the API; (2) inorganic impurities that may derive from the API process; and (3) organic and inorganic solvents used during the manufacturing process that are known to carry over to the API. Impurity profile testing of each batch or after a specified number of batches may detect new impurities that may appear because of a deliberate or non-deliberate change in the API manufacturing process. (Laboratory Control System)
10. Failure to show that a reprocessed batch complies with all established standards, specifications, and characteristics. (Quality System; Laboratory Control System)
11. Failure to test for residues of organic/inorganic solvents used during manufacturing that may carry over to the API using analytical procedures with appropriate levels of sensitivity. (Laboratory Control System)
12. Failure to have a formal process change control system in place to evaluate changes in starting materials, facilities, support systems, equipment, processing steps, and packaging materials that may affect the quality of APIs. (All systems)
13. Failure to maintain batch and quality control records. (Quality System)
14. Incomplete stability studies to establish API stability for the intended period of use, and/or failure to conduct forced degradation studies on APIs to isolate, identify, and quantify potential degradants that may arise during storage. (Laboratory Control System)
15. Use of laboratory test methods that are inadequate or have not been validated or the use of an inadequately qualified or untraceable reference standard. (Laboratory Control System)
16. Packaging and labeling in such a way that introduces a significant risk of mislabeling. (Packaging and Labeling System)

PART VI—REFERENCES, ATTACHMENTS, AND PROGRAM CONTACTS

BIBLIOGRAPHY

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- ICH Q3C Impurities: Residual Solvents, <http://www.fda.gov/cder/guidance/Q3Cfinal.htm>, issued December 24, 1997, posted December 30, 1997; Q3C Tables and List, <http://www.fda.gov/cder/guidance/Q3CT>, posted November 12, 2003; Appendix 4, http://www.fda.gov/cder/guidance/q3c_app4.pdf; Appendix 5, http://www.fda.gov/cder/guidance/q3c_app5.pdf; and Appendix 6, http://www.fda.gov/cder/guidance/q3c_app6.pdf (Appendices were issued with the Q3C draft guidance documents).

PART VII—CENTER RESPONSIBILITIES

Center responsibilities are as described in Drug Manufacturing Inspections Compliance Program Guidance 7356.002 and Pre-Approval Inspection/Investigations Compliance Program Guidance 7346.832.

APPENDIX A: DESCRIPTION OF EACH SYSTEM AND AREAS OF COVERAGE

Quality System

Assessment of the Quality System has two phases. The first phase is to evaluate whether the Quality Unit has fulfilled the

responsibility to review and approve all procedures related to production, quality control, and quality assurance and assure the procedures are adequate for their intended use. This also includes the associated recordkeeping systems. The second phase is to assess the data collected to identify quality problems and may link to other major systems for inspectional coverage.

For each of the following bulleted items, the firm should have written and approved procedures and documentation resulting therefrom. The firm's adherence to written procedures should be verified through observation whenever possible. These areas are not limited to the final APIs only but may also include starting materials and intermediates. These areas may indicate deficiencies not only in this system but also in other systems that would warrant expansion of coverage. All areas under this system should be covered; however, the actual depth of coverage may vary from the planned inspection strategy depending upon inspectional findings.

- Adequacy of staffing to ensure fulfillment of quality unit duties.
- Periodic quality reviews as described in ICH Q7A Section 2.5, *Product Quality Review*; inspection audit coverage should include API types that are representative of manufacturing at this site; inspection audit should also examine some batch and data records associated with each API quality review to verify that the firm's review was sufficiently complete; and audit should confirm that the firm has identified any trends and has corrected or mitigated sources of unacceptable variation.
- Complaint reviews (quality and medical): Documented, evaluated, and investigated in a timely manner, and also these include corrective action where appropriate. Determine whether pattern of complaints and records of internal rejection or reprocessing/reworking of API batches warrant expanding the inspection.
- Discrepancy and failure investigations related to manufacturing and testing: Documented, evaluated, critical deviations investigated in a timely manner and expanded to include any related APIs and material, and also these include corrective action where appropriate.
- Change control (including "process improvements"): Documented, evaluated, approved, and need for revalidation assessed.
- Returns/salvages: Assessment, investigation expanded where warranted, and final disposition.
- Rejects: Investigation expanded where warranted and corrective action where appropriate.
- System to release raw materials.
- Batches manufactured since last inspection to evaluate any rejections or conversions (i.e., from drug to nondrug use) due to processing problems.
- Reprocessing and/or reworking events are properly approved and evaluated for impact on material quality.

- Recalls (including any attempt to recover distributed API not meeting its specifications or purported quality), determine cause, and corrective actions taken.
- Stability failures: Investigation expanded where warranted and disposition. Determine if stability data supports API retest or expiry dates and storage conditions.
- Validation: Status of validation/revalidation activities (e.g., computer, manufacturing process, laboratory methods), such as reviews and approvals of validation protocols and reports.
- Training/qualification of employees in quality control unit functions.

ICH Q7A references for Quality System are as follows:

- Section 2, Quality Management
- Section 13, Change Control
- Section 14, Rejection and Reuse of Materials
- Section 15, Complaints and Recalls
- Section 16, Contract Manufacturers (including laboratories)

FACILITIES AND EQUIPMENT SYSTEM

For each of the following, the firm should have written and approved procedures and documentation resulting therefrom. The firm's adherence to written procedures should be verified through observation whenever possible. These areas may indicate deficiencies not only in this system but also in other systems that would warrant expansion of coverage. When this system is selected for coverage in addition to the Quality System, all areas listed below should be covered; however, the actual depth of coverage may vary from the planned inspection strategy depending upon inspectional findings.

1. Facilities

- Cleaning and maintenance
- Facility layout, flow of materials and personnel for prevention of cross-contamination, including from processing of nondrug materials
- Dedicated areas or containment controls for highly sensitizing materials (e.g., penicillin, β -lactams, steroids, hormones, and cytotoxics)
- Utilities such as steam, gas, compressed air, heating, ventilation, and air-conditioning should be qualified and appropriately monitored (*note*: this system includes only those utilities whose output is not intended to be incorporated into the API, such as water used in cooling-/heating-jacketed vessels)
- Lighting, sewage and refuse disposal, washing, and toilet facilities
- Control system for implementing changes in the building
- Sanitation of the building including use of rodenticides, fungicides, insecticides, cleaning, and sanitizing agents
- Training and qualification of personnel

2. Process Equipment

- Equipment installation, operational, performance qualification where appropriate.
- Appropriate design, adequate size, and suitably located for its intended use.
- Equipment surfaces should not be reactive, additive, or absorptive of materials under process so as to alter their quality.
- Equipment (e.g., reactors, storage containers) and permanently installed processing lines should be appropriately identified.
- Substances associated with the operation of equipment (e.g., lubricants, heating fluids, or coolants) should not come into contact with starting materials, intermediates, final APIs, and containers.
- Cleaning procedures and cleaning validation and sanitization studies should be reviewed to verify that residues, microbial, and, when appropriate, endotoxin contamination are removed to below scientifically appropriate levels.
- Calibrations using standards traceable to certified standards, preferably NIST, USP, or counterpart, recognized national government standard-setting authority.
- Equipment qualification, calibration, and maintenance, including computer qualification/validation and security.
- Control system for implementing changes in the equipment.
- Documentation of any discrepancy (a critical discrepancy investigation is covered under the Quality System).
- Training and qualification of personnel.

ICH Q7A references for Facilities and Equipment System are as follows:

- Section 4, Buildings and Facilities
- Section 5, Process Equipment
- Section 6, Documentation and Records

MATERIALS SYSTEM

For each of the following, the firm should have written and approved procedures and documentation resulting therefrom. The firm's adherence to written procedures should be verified through observation whenever possible. These areas are not limited to the final API only but may also incorporate starting materials and intermediates. These areas may indicate deficiencies not only in this system but also in other systems that would warrant expansion of coverage. When this system is selected for coverage in addition to the Quality System, all areas listed below should be covered; however, the actual depth of coverage may vary from the planned inspection strategy depending upon inspectional findings.

- Training/qualification of personnel.
- Identification of starting materials and containers.

- Storage conditions.
- Holding of all material and APIs, including reprocessed material, under quarantine until tested or examined and released.
- Representative samples are collected, tested, or examined using appropriate means and against appropriate specifications.
- A system for evaluating the suppliers of critical materials.
- Rejection of any starting material, intermediate, or container not meeting acceptance requirement.
- Appropriate retesting/reexamination of starting materials, intermediates, or containers.
- First-in/first-out use of materials and containers.
- Quarantine and timely disposition of rejected materials.
- Suitability of process water used in the manufacture of API, including as appropriate the water system design, maintenance, validation, and operation.
- Suitability of process gas used in the manufacture of API (e.g., gas use to sparge a reactor), including as appropriate the gas system design, maintenance, validation, and operation.
- Containers and closures should not be additive, reactive, or absorptive.
- Control system for implementing changes.
- Qualification/validation and security of computerized or automated process.
- Finished API distribution records by batch.
- Documentation of any discrepancy (a critical discrepancy investigation is covered under the Quality System).

ICH Q7A references for Materials System are as follows:

- Section 7, Materials Management
- Section 10, Storage and Distribution
- Section 4.3, Water
- Section 6, Documentation and Records

PRODUCTION SYSTEM

For each of the following, the firm should have written and approved procedures and documentation resulting therefrom. The firm's adherence to written procedures should be verified through observation whenever possible. These areas are not limited to the final API only but may also incorporate starting materials and intermediates. These areas may indicate deficiencies not only in this system but also in other systems that would warrant expansion of coverage. When this system is selected for coverage in addition to the Quality System, all areas listed below should be covered; however, the actual depth of coverage may vary from the planned inspection strategy depending upon inspectional findings.

- Training/qualification of personnel.
- Establishment, adherence, and documented performance of approved manufacturing procedures.

- Control system for implementing changes to process.
- Controls over critical activities and operations.
- Documentation and investigation of critical deviations.
- Actual yields compared with expected yields at designated steps.
- Where appropriate established time limits for completion of phases of production.
- Appropriate identification of major equipment used in production of intermediates and API.
- Justification and consistency of intermediate specifications and API specification.
- Implementation and documentation of process controls, testing, and examinations (e.g., pH, temperature, purity, actual yields, clarity).
- In-process sampling should be conducted using procedures designed to prevent contamination of the sampled material.
- Recovery (e.g., from mother liquor or filtrates) of reactants; approved procedures and recovered materials meet specifications suitable for their intended use.
- Solvents can be recovered and reused in the same processes or in different processes provided that solvents meet appropriate standards before reuse or commingling.
- API micronization on multiuse equipment and the precautions taken by the firm to prevent or minimize the potential for cross-contamination.
- Process validation, including validation and security of computerized or automated process.
- Master batch production and control records.
- Batch production and control records.
- Documentation of any discrepancy (a critical discrepancy investigation is covered under the Quality System).

ICH Q7A references for Production System are as follows:

- Section 6, Documentation and Records
- Section 8, Production and In-Process Controls
- Section 12, Validation
- Section 18, Specific Guidance for APIs Manufactured by Cell Culture/Fermentation

See also 7356.0002M for additional inspection guidance on fermentation, extraction, and purification processes.

PACKAGING AND LABELING SYSTEM

For each of the following, the firm should have written and approved procedures and documentation resulting therefrom. The firm's adherence to written procedures should be verified through observation whenever possible. These areas are not limited to the final API only but may also incorporate starting materials and intermediates. These areas may indicate deficiencies not only in this system but also in other systems that

would warrant expansion of coverage. When this system is selected for coverage in addition to the Quality System, all areas listed below should be covered; however, the actual depth of coverage may vary from the planned inspection strategy depending upon inspectional findings.

- Training/qualification of personnel
- Acceptance operations for packaging and labeling materials
- Control system for implementing changes in packaging and labeling operations
- Adequate storage for labels and labeling, both approved and returned after issued
- Control of labels which are similar in size, shape, and color for different APIs
- Adequate packaging records that will include specimens of all labels used
- Control of issuance of labeling, examination of issued labels, and reconciliation of used labels
- Examination of the labeled finished APIs
- Adequate inspection (proofing) of incoming labeling
- Use of lot numbers, destruction of excess labeling bearing lot/control numbers
- Adequate separation and controls when labeling more than one batch at a time
- Adequate expiration or retest dates on the label
- Validation of packaging and labeling operations including validation and security of computerized process
- Documentation of any discrepancy (a critical discrepancy investigation is covered under the Quality System)

ICH Q7A references for Packaging and Labeling System are as follows:

- Section 9, Packaging and Identification Labeling of APIs and Intermediates
- Section 17, Agents, Brokers, Traders, Distributors, Repackers, and Relabellers (applies to the handling of APIs after original site of manufacture and before receipt by the dosage manufacturer)

LABORATORY CONTROL SYSTEM

For each of the following, the firm should have written and approved procedures and documentation resulting therefrom. The firm's adherence to written procedures should be verified through observation whenever possible. These areas are not limited to the final API only but may also incorporate starting materials and intermediates. These areas may indicate deficiencies not only in this system but also in other systems that would warrant expansion of coverage. When this system is selected for coverage in addition to the Quality System, all areas listed below should be covered; however, the actual depth of coverage may vary from the planned inspection strategy depending upon inspectional findings.

- Training/qualification of personnel.
- Adequacy of staffing for laboratory operations.
- Adequacy of equipment and facility for intended use.
- Calibration and maintenance programs for analytical instruments and equipment.
- Validation and security of computerized or automated processes.
- Reference standards: Source, purity and assay, and tests to establish equivalency to current official reference standards as appropriate.
- System suitability checks on chromatographic systems.
- Specifications, standards, and representative sampling plans.
- Validation/verification of analytical methods.
- Required testing is performed on the correct samples and by the approved or filed methods or equivalent methods.
- Documentation of any discrepancy (a critical discrepancy investigation is covered under the Quality System).
- Complete analytical records from all tests and summaries of results.
- Quality and retention of raw data (e.g., chromatograms and spectra).
- Correlation of result summaries to raw data; presence and disposition of unused data.
- Adherence to an adequate out of specification procedure, which includes timely completion of the investigation.
- Test methods for establishing a complete impurity profile for each API process (*note*: impurity profiles are often process related).
- Adequate reserve samples; documentation of reserve samples' examination.
- Stability testing program, including demonstration of stability-indicating capability of the test methods.

ICH Q7A references for Laboratory System are as follows:

- Section 11, Laboratory Controls
- Section 6, Documentation and Records
- Section 12, Validation

ICH Q7A Sections 3, Personnel, and 6, Documentation and Records, apply to all systems. Section 19, APIs for Use in Clinical Trials, applies to APIs intended for the production of dosages solely for use in a clinical trial.

The organization and personnel, including appropriate qualifications and training, employed in any given system, will be evaluated as part of that system's operation. Production, control, or distribution records are required to maintain cGMPs and those selected for review should be included for inspection audit within the context of each of the above systems. Inspection of contract companies should be within the system for which the intermediate or API or service is contracted and also include evaluation of their Quality System.

GLOSSARY

Acceptance Criteria: Numerical limits, ranges, or other suitable measures for acceptance of test results.

Active Pharmaceutical Ingredient (API) (or Drug Substance): Any substance or mixture of substances intended to be used in the manufacture of a drug (medicinal) product and that, when used in the production of a drug, becomes an active ingredient of the drug product. Such substances are intended to furnish pharmacological activity or other direct effect in the diagnosis, cure, mitigation, treatment, or prevention of disease or to affect the structure and function of the body.

Air lock: An enclosed space with two or more doors, which is interposed between two or more rooms, for example, of differing classes of cleanliness, for the purpose of controlling the airflow between those rooms when they need to be entered. An air lock is designed for use either by people or for goods and/or equipment.

API: Active Pharmaceutical Ingredient.

API Starting Material: A raw material, intermediate, or an API that is used in the production of an API and that is incorporated as a significant structural fragment into the structure of the API. An API Starting Material can be an article of commerce, a material purchased from one or more suppliers under contract or commercial agreement, or produced in-house. API Starting Materials are normally of defined chemical properties and structure.

Authorized Person: The person recognized by the national regulatory authority as having the responsibility for ensuring that each batch of finished product has been manufactured, tested, and approved for release in compliance with the laws and regulations in force in that country.

Batch (or Lot): A specific quantity of material produced in a process or series of processes so that it is expected to be homogeneous within specified limits. In the case of continuous production, a batch may correspond to a defined fraction of the production. The batch size can be defined either by a fixed quantity or by the amount produced in a fixed time interval. A defined quantity of starting material, packaging material, or product processed in a single process or series of processes so that it is expected to be homogeneous. It may sometimes be necessary to divide a batch into a number of sub-batches, which are later brought together to form a final homogeneous batch. In the case of terminal sterilization, the batch size is determined by the capacity of the autoclave. In continuous manufacture, the batch must correspond to a defined fraction of the production, characterized by its intended homogeneity. The batch size can be defined either as a fixed quantity or as the amount produced in a fixed time interval.

Batch Number (or Lot Number): A unique combination of numbers, letters, and/or symbols that identifies a batch (or lot) and from which the production and distribution history can be determined. A distinctive combination of numbers and/or letters which uniquely identifies a batch on the labels, its batch records, and corresponding Certificates of Analysis, and so forth.

Batch Records: All documents associated with the manufacture of a batch of bulk product or finished product. They provide a history of each batch of product and of all circumstances pertinent to the quality of the final product.

Bioburden: The level and type (e.g., objectionable or not) of microorganisms that can be present in raw materials, API starting materials, intermediates, or APIs. Bioburden should not be considered contamination unless the levels have been exceeded or defined objectionable organisms have been detected.

Bulk Product: Any product that has completed all processing stages up to, but not including, final packaging.

Calibration: The demonstration that a particular instrument or device produces results within specified limits by comparison with those produced by a reference or traceable standard over an appropriate range of measurements. The set of operations that establish, under specified conditions, the relationship between values indicated by an instrument or system for measuring (especially weighing), recording, and controlling, or the values represented by a material measure, and the corresponding known values of a reference standard. Limits for acceptance of the results of measuring should be established.

CDER: Center for Drug Evaluation and Research, FDA.

Clean Area: An area with defined environmental control of particulate and microbial contamination, constructed and used in such a way as to reduce the introduction, generation, and retention of contaminants within the area.

Computer System: A group of hardware components and associated software, designed and assembled to perform a specific function or group of functions. A process or operation integrated with a computer system.

Consignment (or Delivery): The quantity of a pharmaceutical(s), made by one manufacturer and supplied at one time in response to a particular request or order. A consignment may comprise one or more packages or containers and may include material belonging to more than one batch.

Contamination: The undesired introduction of impurities of a chemical or microbiological nature, or of foreign matter, into or onto a raw material, intermediate, or API during production, sampling, packaging or repackaging, storage, or transport.

- Contract Manufacturer:** A manufacturer performing some aspect of manufacturing on behalf of the original manufacturer.
- Critical:** Describes a process step, process condition, test requirement, or other relevant parameter or item that must be controlled within predetermined criteria to ensure that the API meets its specification.
- Critical Operation:** An operation in the manufacturing process that may cause variation in the quality of the pharmaceutical product.
- Cross-Contamination:** Contamination of a material or product with another material or product. Contamination of a starting material, intermediate product, or finished product with another starting material or product during production.
- Deviation:** Departure from an approved instruction or established standard.
- DMPQ:** Division of Manufacturing and Product Quality, FDA.
- Drug (Medicinal) Product:** The dosage form in the final immediate packaging intended for marketing. (Reference Q1A)
- Drug Substance:** See Active Pharmaceutical Ingredient.
- EES:** Establishment Evaluation System.
- EIR:** Establishment Inspection Report.
- Expiry Date (or Expiration Date):** The date placed on the container/labels of an API designating the time during which the API is expected to remain within established shelf life specifications if stored under defined conditions, and after which it should not be used.
- FCC:** Forensic Chemistry Center.
- FEI:** Federal Employment Identification.
- Finished Product:** A finished dosage form that has undergone all stages of manufacture, including packaging in its final container and labeling.
- Impurity:** Any component present in the intermediate or API that is not the desired entity.
- Impurity Profile:** A description of the identified and unidentified impurities present in an API.
- In-Process Control:** Checks performed during production in order to monitor and, if necessary, to adjust the process to ensure that the product conforms to its specifications. The control of the environment or equipment may also be regarded as a part of in-process control.
- Intermediate:** A material produced during steps of the processing of an API that undergoes further molecular change or purification before it becomes an API. Intermediates may or may not be isolated. Partly processed product that must undergo further manufacturing steps before it becomes a bulk product.
- Large-Volume Parenterals:** Sterile solutions intended for parenteral application with a volume of 100 mL or more in one container of the finished dosage form.
- Lot:** See Batch.
- Lot Number:** See Batch Number.
- Manufacturer:** A company that carries out operations such as production, packaging, repackaging, labeling, and relabeling of pharmaceuticals.
- Marketing Authorization (Product License, Registration Certificate):** A legal document issued by the competent drug regulatory authority that establishes the detailed composition and formulation of the product and the pharmacopoeial or other recognized specifications of its ingredients and of the final product itself and includes details of packaging, labeling, and shelf life.
- Master Formula:** A document or set of documents specifying the starting materials with their quantities and the packaging materials, together with a description of the procedures and precautions required to produce a specified quantity of a finished product as well as the processing instructions, including the in-process controls.
- Master Record:** A document or set of documents that serves as a basis for the batch documentation (blank batch record).
- Material:** A general term used to denote raw materials (starting materials, reagents, and solvents), process aids, intermediates, APIs, and packaging and labeling materials.
- Mother Liquor:** The residual liquid which remains after the crystallization or isolation processes. A mother liquor may contain unreacted materials, intermediates, levels of the API, and/or impurities. It may be used for further processing.
- OAI:** Office Action Indicated.
- Packaging:** All operations, including filling and labeling, that a bulk product has to undergo in order to become a finished product. Filling of a sterile product under aseptic conditions or a product intended to be terminally sterilized would not normally be regarded as part of packaging.
- Packaging Material:** Any material intended to protect an intermediate or API during storage and transport. Any material, including printed material, employed in the packaging of a pharmaceutical but excluding any outer packaging used for transportation or shipment. Packaging materials are referred to as primary or secondary according to whether or not they are intended to be in direct contact with the product.
- PACS:** Picture archiving and communication systems.
- Pharmaceutical Product:** Any material or product intended for human or veterinary use presented in its finished dosage form or as a starting material for use in such a dosage form, that is subject to control by pharmaceutical legislation in the exporting state and/or the importing state.

- Procedure:** A documented description of the operations to be performed, the precautions to be taken, and measures to be applied directly or indirectly related to the manufacture of an intermediate or API.
- Process Aids:** Materials, excluding solvents, used as an aid in the manufacture of an intermediate or API that do not themselves participate in a chemical or biological reaction (e.g., filter aid, activated carbon).
- Process Control:** See In-Process Control.
- Production:** All operations involved in the preparation of a pharmaceutical product, from receipt of materials, through processing, packaging and repackaging, labeling and relabeling, to completion of the finished product.
- Qualification:** Action of proving and documenting that equipment or ancillary systems are properly installed, work correctly, and actually lead to the expected results. Qualification is part of validation, but the individual qualification steps alone do not constitute process validation.
- Quality Assurance (QA):** The sum total of the organized arrangements made with the object of ensuring that all APIs are of the quality required for their intended use and that quality systems are maintained.
- Quality Control (QC):** Checking or testing that specifications are met.
- Quality Unit(s):** An organizational unit independent of production which fulfills both Quality Assurance and Quality Control responsibilities. This can be in the form of separate QA and QC units or a single individual or group, depending upon the size and structure of the organization.
- Quarantine:** The status of starting or packaging materials, intermediates, or bulk or finished products isolated physically or by other effective means while a decision is awaited on their release, rejection, or reprocessing.
- Raw Material:** A general term used to denote starting materials, reagents, and solvents intended for use in the production of intermediates or APIs.
- Reconciliation:** A comparison between the theoretical quantity and the actual quantity.
- Recovery:** The introduction of all or part of previous batches (or of redistilled solvents and similar products) of the required quality into another batch at a defined stage of manufacture. It includes the removal of impurities from waste to obtain a pure substance or the recovery of used materials for a separate use.
- Reference Standard, Primary:** A substance that has been shown by an extensive set of analytical tests to be authentic material that should be of high purity.
- Reference Standard, Secondary:** A substance of established quality and purity, as shown by comparison to a primary reference standard, used as a reference standard for routine laboratory analysis.
- Reprocessing:** Subjecting all or part of a batch or lot of an in-process drug, bulk process intermediate (final biological bulk intermediate), or bulk product of a single batch/lot to a previous step in the validated manufacturing process due to failure to meet predetermined specifications. Reprocessing procedures are foreseen as occasionally necessary for biological drugs and, in such cases, are validated and preapproved as part of the marketing authorization.
- Retest Date:** The date when a material should be reexamined to ensure that it is still suitable for use.
- Reworking:** Subjecting an in-process or bulk process intermediate (final biological bulk intermediate) or final product of a single batch to an alternate manufacturing process due to a failure to meet predetermined specifications. Reworking is an unexpected occurrence and is not preapproved as part of the marketing authorization.
- Self-Contained Area:** Premises which provide complete and total separation of all aspects of an operation, including personnel and equipment movement, with well-established procedures, controls, and monitoring. This includes physical barriers as well as separate air-handling systems but does not necessarily imply two distinct and separate buildings.
- Signature (Signed):** See definition for Signed.
- Signed (Signature):** The record of the individual who performed a particular action or review. This record can be initials, full handwritten signature, personal seal, or authenticated and secure electronic signature.
- Solvent:** An inorganic or organic liquid used as a vehicle for the preparation of solutions or suspensions in the manufacture of an intermediate or API.
- Specification:** A list of detailed requirements with which the products or materials used or obtained during manufacture have to conform. They serve as a basis for quality evaluation.
- Standard Operating Procedure (SOP):** An authorized written procedure giving instructions for performing operations not necessarily specific to a given product or material (e.g., equipment operation, maintenance, and cleaning; validation; cleaning of premises and environmental control; sampling and inspection). Certain SOPs may be used to supplement product-specific master and batch production documentation.
- Starting Material:** Any substance of a defined quality used in the production of a pharmaceutical product but excluding packaging materials.
- Validation:** A documented program that provides a high degree of assurance that a specific process, method, or system will consistently produce a result meeting predetermined acceptance criteria. Action of proving, in accordance with the principles of GMP, that any procedure, process, equipment, material,

activity, or system actually leads to the expected results (see also Qualification).

Validation Protocol: A written plan stating how validation will be conducted and defining acceptance criteria. For example, the protocol for a manufacturing process identifies processing equipment, critical process parameters/operating ranges, product characteristics, sampling, test data to be collected, number of validation runs, and acceptable test results.

Yield, Expected: The quantity of material or the percentage of theoretical yield anticipated at any appropriate phase of production based on previous laboratory, pilot-scale, or manufacturing data.

Yield, Theoretical: The quantity that would be produced at any appropriate phase of production, based upon the quantity of material to be used, in the absence of any loss or error in actual production.



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10 Test Procedures and Acceptance Criteria for New Chemical Drug Substances and Drug Products

I. INTRODUCTION

A. OBJECTIVE OF THE GUIDELINE

This guideline is intended to assist to the extent possible, in the establishment of a single set of global specifications for new drug substances and new drug products. It provides guidance on the setting and justification of acceptance criteria and the selection of test procedures for new drug substances of synthetic chemical origin, and new drug products produced from them, which have not been registered previously in the United States, the European Union, or Japan.

B. BACKGROUND

A specification is defined as a list of tests, references to analytical procedures, and appropriate acceptance criteria, which are numerical limits, ranges, or other criteria for the tests described. It establishes the set of criteria to which a drug substance or drug product should conform to be considered acceptable for its intended use. "Conformance to specifications" means that the drug substance and/or drug product, when tested according to the listed analytical procedures, will meet the listed acceptance criteria. Specifications are critical quality standards that are proposed and justified by the manufacturer and approved by regulatory authorities as conditions of approval.

Specifications are one part of a total control strategy for the drug substance and drug product designed to ensure product quality and consistency. Other parts of this strategy include thorough product characterization during development, upon which specifications are based, and adherence to Good Manufacturing Practices, for example, suitable facilities, a validated manufacturing process, validated test procedure, raw material testing, in-process testing, stability testing, etc.

Specifications are chosen to confirm the quality of the drug substance and drug product rather than to establish full characterization and should focus on those characteristics found to be useful in ensuring the safety and efficacy of the drug substance and drug product.

C. SCOPE OF THE GUIDELINE

The quality of drug substances and drug products is determined by their design, development, in-process controls,

GMP controls, and process validation and by specifications applied to them throughout development and manufacture. This guideline addresses specifications, that is, those tests, procedures, and acceptance criteria which play a major role in assuring the quality of the new drug substance and new drug product at release and during shelf life. Specifications are an important component of quality assurance but are not its only component. All of the considerations listed above are necessary to ensure consistent production of drug substances and drug products of high quality.

This guideline addresses only the marketing approval of new drug products (including combination products) and, where applicable, new drug substances; it does not address drug substances or drug products during the clinical research stages of drug development. This guideline may be applicable to synthetic and semisynthetic antibiotics and synthetic peptides of low molecular weight; however, it is not sufficient to adequately describe specifications of higher molecular weight peptides and polypeptides and biotechnological/biological products. The ICH guideline *Specifications: Test Procedures and Acceptance Criteria for Biotechnological/Biological Products* addresses guideline specifications, tests, and procedures for biotechnological/biological products. Radiopharmaceuticals, products of fermentation, oligonucleotides, herbal products, and crude products of animal or plant origin are similarly not covered.

Guidance is provided with regard to acceptance criteria, which should be established for all new drug substances and new drug products, that is, universal acceptance criteria, and those that are considered specific to individual drug substances and/or dosage forms. This guideline should not be considered all encompassing. New analytical technologies, and modifications to existing technology, are continually being developed. Such technologies should be used when justified.

Dosage forms addressed in this guideline include solid oral dosage forms, liquid oral dosage forms, and parenterals (small and large volume). This is not meant to be an all-inclusive list or to limit the number of dosage forms to which this guideline applies. The dosage forms presented serve as models, which may be applicable to other dosage forms, which have not been discussed. The extended application of the concepts in this guideline to other dosage forms, for example, to inhalation dosage forms (powders, solutions, etc.), to topical formulations (creams, ointments, gels), and to transdermal systems, is encouraged.

II. GENERAL CONCEPTS

The following concepts are important in the development and setting of harmonized specifications. They are not universally applicable, but each should be considered in particular circumstances. This guideline presents a brief definition of each concept and an indication of the circumstances under which it may be applicable. Generally, proposals to implement these concepts should be justified by the applicant and approved by the appropriate regulatory authority before being put into effect.

A. PERIODIC OR SKIP TESTING

Periodic or skip testing is the performance of specified tests at release on preselected batches and/or at predetermined intervals, rather than on a batch-to-batch basis with the understanding that those batches not being tested still must meet all acceptance criteria established for that product. This represents a less than full schedule of testing and should therefore be justified and presented to and approved by the regulatory authority prior to implementation. This concept may be applicable to, for example, residual solvents and microbiological testing, for solid oral dosage forms. It is recognized that only limited data may be available at the time of submission of an application (see Section 2.5). This concept should therefore generally be implemented post-approval. When tested, any failure to meet acceptance criteria established for the periodic test should be handled by proper notification of the appropriate regulatory authority(ies). If these data demonstrate a need to restore routine testing, then batch-by-batch release testing should be reinstated.

B. RELEASE VS. SHELF LIFE ACCEPTANCE CRITERIA

The concept of different acceptance criteria for release vs. shelf-life specifications applies to drug products only; it pertains to the establishment of more restrictive criteria for the release of a drug product than are applied to the shelf life. Examples where this may be applicable include assay and impurity (degradation product) levels. In Japan and the United States, this concept may only be applicable to in-house criteria and not to the regulatory release criteria. Thus, in these regions, the regulatory acceptance criteria are the same from release throughout shelf life; however, an applicant may choose to have tighter in-house limits at the time of release to provide increased assurance to the applicant that the product will remain within the regulatory acceptance criterion throughout its shelf life. In the European Union, there is a regulatory requirement for distinct specifications for release and for shelf life where different.

C. IN-PROCESS TESTS

In-process tests, as presented in this guideline, are tests which may be performed during the manufacture of either the drug substance or drug product, rather than as part of the formal battery of tests which are conducted prior to release.

In-process tests, which are only used for the purpose of adjusting process parameters within an operating range, for example, hardness and friability of tablet cores which will be coated and individual tablet weights, are not included in the specification.

Certain tests conducted during the manufacturing process, where the acceptance criterion is identical to or tighter than the release requirement, (e.g., pH of a solution) may be sufficient to satisfy specification requirements when the test is included in the specification. However, this approach should be validated to show that test results or product performance characteristics do not change from the in-process stage to finished product.

D. DESIGN AND DEVELOPMENT CONSIDERATIONS

The experience and data accumulated during the development of a new drug substance or product should form the basis for the setting of specifications. It may be possible to propose excluding or replacing certain tests on this basis. Some examples are:

- Microbiological testing for drug substances and solid dosage forms which have been shown during development not to support microbial viability or growth (see Decision Trees 6 and 8).
- Extractables from product containers where it has been reproducibly shown that either no extractables are found in the drug product or the levels meet accepted standards for safety.
- Particle size testing may fall into this category, may be performed as an in-process test, or may be performed as a release test, depending on its relevance to product performance.
- Dissolution testing for immediate release solid oral drug products made from highly water-soluble drug substances may be replaced by disintegration testing, if these products have been demonstrated during development to have consistently rapid drug-release characteristics [see Decision Trees 7(1) through 7(2)].

E. LIMITED DATA AVAILABLE AT FILING

It is recognized that only a limited amount of data may be available at the time of filing, which can influence the process of setting acceptance criteria. As a result, it may be necessary to propose revised acceptance criteria as additional experience is gained with the manufacture of a particular drug substance or drug product (e.g., acceptance limits for a specific impurity). The basis for the acceptance criteria at the time of filing should necessarily focus on safety and efficacy.

When only limited data are available, the initially approved tests and acceptance criteria should be reviewed as more information is collected, with a view towards possible modification. This could involve loosening, as well as tightening, acceptance criteria as appropriate.

F. PARAMETRIC RELEASE

Parametric release can be used as an operational alternative to routine release testing for the drug product in certain cases when approved by the regulatory authority. Sterility testing for terminally sterilized drug products is one example. In this case, the release of each batch is based on satisfactory results from monitoring specific parameters, for example, temperature, pressure, and time during the terminal sterilization phase(s) of drug product manufacturing. These parameters can generally be more accurately controlled and measured, so that they are more reliable in predicting sterility assurance than is end-product sterility testing. Appropriate laboratory tests (e.g., chemical or physical indicator) may be included in the parametric release program. It is important to note that the sterilization process should be adequately validated before parametric release is proposed, and maintenance of a validated state should be demonstrated by revalidation at established intervals. When parametric release is performed, the attribute which is indirectly controlled (e.g., sterility), together with a reference to the associated test procedure, still should be included in the specifications.

G. ALTERNATIVE PROCEDURES

Alternative procedures are those which may be used to measure an attribute when such procedures control the quality of the drug substance or drug product to an extent that is comparable or superior to the official procedure. Example: For tablets that have been shown not to degrade during manufacture, it may be permissible to use a spectrophotometric procedure for release as opposed to the official procedure, which is chromatographic. However, the chromatographic procedure should still be used to demonstrate compliance with the acceptance criteria during the shelf life of the product.

H. PHARMACOPOEIAL TESTS AND ACCEPTANCE CRITERIA

References to certain procedures are found in pharmacopoeias in each region. Wherever they are appropriate, pharmacopoeial procedures should be utilized. Whereas differences in pharmacopoeial procedures and/or acceptance criteria have existed among the regions, a harmonized specification is possible only if the procedures and acceptance criteria defined are acceptable to regulatory authorities in all regions.

The full utility of this guideline is dependent on the successful completion of harmonization of pharmacopoeial procedures for several attributes commonly considered in the specification for new drug substances or new drug products. The Pharmacopoeial Discussion Group (PDG) of the European Pharmacopoeia, the Japanese Pharmacopoeia, and the United States Pharmacopoeia has expressed a commitment to achieving harmonization of the procedures in a timely fashion.

Where harmonization has been achieved, an appropriate reference to the harmonized procedure and acceptance criteria is considered acceptable for a specification in all three

regions. For example, after harmonization sterility data generated using the JP procedure, as well as the JP procedure itself and its acceptance criteria, are considered acceptable for registration in all three regions. To signify the harmonized status of these procedures, the pharmacopoeias have agreed to include a statement in their respective texts which indicates that the procedures and acceptance criteria from all three pharmacopoeias are considered equivalent and are, therefore, interchangeable.

Since the overall value of this guideline is linked to the extent of harmonization of the analytical procedures and acceptance criteria of the pharmacopoeias, it is agreed by the members of the Q6A expert working group that none of the three pharmacopoeias should change a harmonized monograph unilaterally. According to the PDG procedure for the revision of harmonized monographs and chapters, "no pharmacopoeia shall revise unilaterally any monograph or chapter after sign-off or after publication."

I. EVOLVING TECHNOLOGIES

New analytical technologies, and modifications to existing technology, are continually being developed. Such technologies should be used when they are considered to offer additional assurance of quality or are otherwise justified.

J. IMPACT OF DRUG SUBSTANCE ON DRUG PRODUCT SPECIFICATIONS

In general, it should not be necessary to test the drug product for quality attributes uniquely associated with the drug substance. For example, it is normally not considered necessary to test the drug product for synthesis impurities, which are controlled in the drug substance and are not degradation products. Refer to the ICH guideline *Impurities in New Drug Products* for detailed information.

K. REFERENCE STANDARD

A reference standard, or reference material, is a substance prepared for use as the standard in an assay, identification, or purity test. It should have a quality appropriate to its use. It is often characterized and evaluated for its intended purpose by additional procedures other than those used in routine testing. For new drug substance reference standards intended for use in assays, the impurities should be adequately identified and/or controlled, and purity should be measured by a quantitative procedure.

III. GUIDELINES

A. SPECIFICATIONS: DEFINITION AND JUSTIFICATION

1. Definition of Specifications

A specification is defined as a list of tests, references to analytical procedures, and appropriate acceptance criteria, which are numerical limits, ranges, or other criteria for the tests

described. It establishes the set of criteria to which a new drug substance or new drug product should conform to be considered acceptable for its intended use. "Conformance to specifications" means that the drug substance and/or drug product, when tested according to the listed analytical procedures, will meet the listed acceptance criteria. Specifications are critical quality standards that are proposed and justified by the manufacturer and approved by regulatory authorities as conditions of approval.

It is possible that, in addition to release tests, a specification may list in-process tests as defined below, periodic (skip) tests, and other tests, which are not always conducted on a batch-by-batch basis. In such cases, the applicant should specify which tests are routinely conducted batch-by-batch and which tests are not, with an indication and justification of the actual testing frequency. In this situation, the drug substance and/or drug product should meet the acceptance criteria if tested.

It should be noted that changes in the specification after approval of the application may need prior approval by the regulatory authority.

2. Justification of Specifications

When a specification is first proposed, justification should be presented for each procedure and each acceptance criterion included. The justification should refer to relevant development data, pharmacopoeial standards, test data for drug substances and drug products used in toxicology and clinical studies, and results from accelerated and long-term stability studies, as appropriate. Additionally, a reasonable range of expected analytical and manufacturing variability should be considered. It is important to consider all of this information.

Approaches other than those set forth in this guideline may be applicable and acceptable. The applicant should justify alternative approaches. Such justification should be based on data derived from the new drug substance synthesis and/or the new drug product manufacturing process. This justification may consider theoretical tolerances for a given procedure or acceptance criterion, but the actual results obtained should form the primary basis for whatever approach is taken.

Test results from stability and scale-up/validation batches, with emphasis on the primary stability batches, should be considered in setting and justifying specifications. If multiple manufacturing sites are planned, it may be valuable to consider data from these sites in establishing the initial tests and acceptance criteria. This is particularly true when there is limited initial experience with the manufacture of the drug substance or drug product at any particular site. If data from a single representative manufacturing site are used in setting tests and acceptance criteria, product manufactured at all sites should still comply with these criteria.

Presentation of test results in graphic format may be helpful in justifying individual acceptance criteria, particularly for assay values and impurity levels. Data from development work should be included in such a presentation, along with stability data available for new drug substance or new drug product batches manufactured by the proposed commercial

processes. Justification for proposing exclusion of a test from the specification should be based on development data and on process validation data (where appropriate).

B. UNIVERSAL TESTS/CRITERIA

Implementation of the recommendations in the following section should take into account the ICH guidelines *Text on Validation of Analytical Procedures* and *Validation of Analytical Procedures: Methodology*.

1. New Drug Substances

The following tests and acceptance criteria are considered generally applicable to all new drug substances.

- (a) *Description*: A qualitative statement about the state (e.g., solid, liquid) and color of the new drug substance. If any of these characteristics change during storage, this change should be investigated and appropriate action taken.
- (b) *Identification*: Identification testing should optimally be able to discriminate between compounds of closely related structure which are likely to be present. Identification tests should be specific for the new drug substance, for example, infrared spectroscopy. Identification solely by a single chromatographic retention time, for example, is not regarded as being specific. However, the use of two chromatographic procedures, where the separation is based on different principles or a combination of tests into a single procedure, such as HPLC/UV diode array, HPLC/MS, or GC/MS, is generally acceptable. If the new drug substance is a salt, identification testing should be specific for the individual ions. An identification test that is specific for the salt itself should suffice.

New drug substances, which are optically active may also need specific identification testing or performance of a chiral assay. Please refer to 3.3.1.d) in this guideline for further discussion of this topic.

- (c) *Assay*: A specific, stability-indicating procedure should be included to determine the content of the new drug substance. In many cases, it is possible to employ the same procedure (e.g., HPLC) for both assay of the new drug substance and quantitation of impurities.

In cases where use of a nonspecific assay is justified, other supporting analytical procedures should be used to achieve overall specificity. For example, where titration is adopted to assay the drug substance, the combination of the assay and a suitable test for impurities should be used.
- (d) *Impurities*: Organic and inorganic impurities and residual solvents are included in this category. Refer to the ICH guidelines *Impurities in New Drug Substances* and *Residual Solvents in Pharmaceuticals* for detailed information.

Decision Tree 1 addresses the extrapolation of meaningful limits on impurities from the body of data generated during development. At the time of filing, it is unlikely that sufficient data will be available to assess process consistency. Therefore, it is considered inappropriate to establish acceptance criteria, which tightly encompass the batch data at the time of filing (see Section 2.5).

2. New Drug Products

The following tests and acceptance criteria are considered generally applicable to all new drug products:

- (a) *Description*: A qualitative description of the dosage form should be provided (e.g., size, shape, and color). If any of these characteristics change during manufacture or storage, this change should be investigated and appropriate action taken. The acceptance criteria should include the final acceptable appearance. If color changes during storage, a quantitative procedure may be appropriate.
- (b) *Identification*: Identification testing should establish the identity of the new drug substance(s) in the new drug product and should be able to discriminate between compounds of closely related structure which are likely to be present. Identity tests should be specific for the new drug substance, for example, infrared spectroscopy. Identification solely by a single chromatographic retention time, for example, is not regarded as being specific. However, the use of two chromatographic procedures, where the separation is based on different principles, or combination of tests into a single procedure, such as HPLC/UV diode array, HPLC/MS, or GC/MS, is generally acceptable.
- (c) *Assay*: A specific, stability-indicating assay to determine strength (content) should be included for all new drug products. In many cases, it is possible to employ the same procedure (e.g., HPLC) for both assay of the new drug substance and quantitation of impurities. Results of content uniformity testing for new drug products can be used for quantitation of drug product strength, if the methods used for content uniformity are also appropriate as assays.

In cases where use of a nonspecific assay is justified, other supporting analytical procedures should be used to achieve overall specificity. For example, where titration is adopted to assay the drug substance for release, the combination of the assay and a suitable test for impurities can be used. A specific procedure should be used when there is evidence of excipient interference with the nonspecific assay.

- (d) *Impurities*: Organic and inorganic impurities (degradation products) and residual solvents are included in this category. Refer to the ICH guidelines *Impurities in New Drug Products* and *Residual Solvents in Pharmaceuticals* for detailed information.

Organic impurities arising from degradation of the new drug substance and impurities that arise during the manufacturing process for the drug product should be monitored in the new drug product. Acceptance limits should be stated for individual specified degradation products, which may include both identified and unidentified degradation products as appropriate, and total degradation products. Process impurities from the new drug substance synthesis are normally controlled during drug substance testing and therefore are not included in the total impurities limit. However, when a synthesis impurity is also a degradation product, its level should be monitored and included in the total degradation product limit. When it has been conclusively demonstrated via appropriate analytical methodology that the drug substance does not degrade in the specific formulation, and under the specific storage conditions proposed in the new drug application, degradation product testing may be reduced or eliminated upon approval by the regulatory authorities.

Decision Tree 2 addresses the extrapolation of meaningful limits on degradation products from the body of data generated during development. At the time of filing, it is unlikely that sufficient data will be available to assess process consistency. Therefore, it is considered inappropriate to establish acceptance criteria which tightly encompass the batch data at the time of filing (see Section 2.5).

C. SPECIFIC TESTS/CRITERIA

In addition to the universal tests listed above, the following tests may be considered on a case by case basis for drug substances and/or drug products. Individual tests/criteria should be included in the specification when the tests have an impact on the quality of the drug substance and drug product for batch control. Tests other than those listed below may be needed in particular situations or as new information becomes available.

1. New Drug Substances

- (a) *Physicochemical properties*: These are properties such as pH of an aqueous solution, melting point/range, and refractive index. The procedures used for the measurement of these properties are usually unique and do not need much elaboration, for example, capillary melting point, Abbé refractometry. The tests performed in this category should be determined by the physical nature of the new drug substance and by its intended use.
- (b) *Particle size*: For some new drug substances intended for use in solid or suspension drug products, particle size can have a significant effect on dissolution rates, bioavailability, and/or stability. In such instances, testing for particle size distribution should be carried out using an appropriate procedure, and acceptance criteria should be provided.

Decision Tree 3 provides additional guidance on when particle size testing should be considered.

- (c) *Polymorphic forms:* Some new drug substances exist in different crystalline forms, which differ in their physical properties. Polymorphism may also include solvation or hydration products (also known as pseudopolymorphs) and amorphous forms. Differences in these forms could, in some cases, affect the quality or performance of the new drug products. In cases where differences exist which have been shown to affect drug product performance, bioavailability, or stability, then the appropriate solid state should be specified.

Physicochemical measurements and techniques are commonly used to determine whether multiple forms exist. Examples of these procedures are: Melting point (including hot-stage microscopy), solid state IR, X-ray powder diffraction, thermal analysis procedures (such as DSC, TGA, and DTA), Raman spectroscopy, optical microscopy, and solid-state NMR.

Decision Trees 4(1) through 4(3) provide additional guidance on when, and how, polymorphic forms should be monitored and controlled.

Note: These decision trees should be followed sequentially. Trees 1 and 2 consider whether polymorphism is exhibited by the drug substance and whether the different polymorphic forms can affect performance of the drug product. Tree 3 should only be applied when polymorphism has been demonstrated for the drug substance and shown to affect these properties. Tree 3 considers the potential for change in polymorphic forms in the drug product and whether such a change has any effect on product performance.

It is generally technically very difficult to measure polymorphic changes in drug products. A surrogate test (e.g., dissolution) [see Decision Tree 4(3)] can generally be used to monitor product performance, and polymorph content should only be used as a test and acceptance criterion of last resort.

- (d) *Tests for chiral new drug substances:* Where a new drug substance is predominantly one enantiomer, the opposite enantiomer is excluded from the qualification and identification thresholds given in the ICH guidelines on *Impurities in New Drug Substances* and *Impurities in New Drug Products* because of practical difficulties in quantifying it at those levels. However, that impurity in the chiral new drug substance and the resulting new drug product(s) should otherwise be treated according to the principles established in those guidelines.

Decision Tree 5 summarizes when and if chiral identity tests, impurity tests, and assays may be needed for both new drug substances and new drug products, according to the following concepts:

Drug substance: Impurities. For chiral drug substances, which are developed as a single enantiomer, control of the other enantiomer should be considered in the same manner as for other impurities. However, technical limitations may preclude the same limits of quantification or qualification from being applied. Assurance of control also could be given by appropriate testing of a starting material or intermediate, with suitable justification.

Assay. An enantioselective determination of the drug substance should be part of the specification. It is considered acceptable for this to be achieved either through use of a chiral assay procedure or by the combination of an achiral assay together with appropriate methods of controlling the enantiomeric impurity.

Identity. For a drug substance developed as a single enantiomer, the identity test(s) should be capable of distinguishing both enantiomers and the racemic mixture. For a racemic drug substance, there are generally two situations where a stereospecific identity test is appropriate for release/acceptance testing: (1) where there is a significant possibility that the enantiomer might be substituted for the racemate, or (2) when there is evidence that preferential crystallization may lead to unintentional production of a nonracemic mixture.

Drug product: Degradation products. Control of the other enantiomer in a drug product is considered necessary unless racemization has been shown to be insignificant during manufacture of the dosage form and on storage.

Assay: An achiral assay may be sufficient where racemization has been shown to be insignificant during manufacture of the dosage form and on storage. Otherwise a chiral assay should be used, or alternatively, the combination of an achiral assay plus a validated procedure to control the presence of the opposite enantiomer may be used.

Identity: A stereospecific identity test is not generally needed in the drug product release specification. When racemization is insignificant during manufacture of the dosage form and on storage, stereospecific identity testing is more appropriately addressed as part of the drug substance specification. When racemization in the dosage form is a concern, chiral assay or enantiomeric impurity testing of the drug product will serve to verify identity.

- (e) *Water content:* This test is important in cases where the new drug substance is known to be hygroscopic or degraded by moisture or when the drug substance is known to be a stoichiometric hydrate. The acceptance criteria may be justified with data on the effects

of hydration or moisture absorption. In some cases, a loss on drying procedure may be considered adequate; however, a detection procedure that is specific for water (e.g., Karl Fischer titration) is preferred.

- (f) *Inorganic impurities*: The need for inclusion of tests and acceptance criteria for inorganic impurities (e.g., catalysts) should be studied during development and based on knowledge of the manufacturing process. Procedures and acceptance criteria for sulfated ash/residue on ignition should follow pharmacopoeial precedents; other inorganic impurities may be determined by other appropriate procedures, for example, atomic absorption spectroscopy.
- (g) *Microbial limits*: There may be a need to specify the total count of aerobic microorganisms, the total count of yeasts and molds, and the absence of specific objectionable bacteria (e.g., *Staphylococcus aureus*, *Escherichia coli*, *Salmonella*, *Pseudomonas aeruginosa*). These should be suitably determined using pharmacopoeial procedures. The type of microbial test(s) and acceptance criteria should be based on the nature of the drug substance, method of manufacture, and the intended use of the drug product. For example, sterility testing may be appropriate for drug substances manufactured as sterile, and endotoxin testing may be appropriate for drug substances used to formulate an injectable drug product.

Decision Tree 6 provides additional guidance on when microbial limits should be included.

2. New Drug Products

Additional tests and acceptance criteria generally should be included for particular new drug products. The following selection presents a representative sample of both the drug products and the types of tests and acceptance criteria, which may be appropriate. The specific dosage forms addressed include solid oral drug products, liquid oral drug products, and parenterals (small and large volume). Application of the concepts in this guideline to other dosage forms is encouraged. Note that issues related to optically active drug substances and to solid-state considerations for drug products are discussed in Part 3.3.1. of this guideline.

a. General Considerations

The following tests are applicable to tablets (coated and uncoated) and hard capsules. One or more of these tests may also be applicable to soft capsules and granules.

- (a) *Dissolution*: The specification for solid oral dosage forms normally includes a test to measure release of drug substance from the drug product. Single-point measurements are normally considered to be suitable for immediate-release dosage forms. For modified-release dosage forms, appropriate test conditions and sampling procedures should be established. For example, multiple time point sampling should be performed for extended-release dosage forms, and

two-stage testing (using different media in succession or in parallel, as appropriate) may be appropriate for delayed-release dosage forms. In these cases, it is important to consider the populations of individuals who will be taking the drug product (e.g., achlorhydric elderly) when designing the tests and acceptance criteria. In some cases [see Section 3.3.2.1 b) Disintegration], dissolution testing may be replaced by disintegration testing [see Decision Tree 7 (1)].

For immediate-release drug products where changes in dissolution rate have been demonstrated to significantly affect bioavailability, it is desirable to develop test conditions which can distinguish batches with unacceptable bioavailability. If changes in formulation or process variables significantly affect dissolution and such changes are not controlled by another aspect of the specification, it may also be appropriate to adopt dissolution test conditions which can distinguish these changes [see Decision Tree 7(2)].

Where dissolution significantly affects bioavailability, the acceptance criteria should be set to reject batches with *unacceptable* bioavailability. Otherwise, test conditions and acceptance criteria should be established which pass clinically acceptable batches [see Decision Tree 7(2)]. For extended-release drug products, in vitro/in vivo correlation may be used to establish acceptance criteria when human bioavailability data are available for formulations exhibiting different release rates. Where such data are not available, and drug release cannot be shown to be independent of in vitro test conditions, then acceptance criteria should be established on the basis of available batch data. Normally, the permitted variability in mean release rate at any given time point should not exceed a total numerical difference of $\pm 10\%$ of the labeled content of drug substance (i.e., a total variability of 20%: A requirement of $50\% \pm 10\%$ thus means an acceptable range from 40% to 60%), unless a wider range is supported by a bioequivalency study [see Decision Tree 7(3)].

- (b) *Disintegration*: For rapidly dissolving (dissolution $>80\%$ in 15 minutes at pH 1.2, 4.0, and 6.8) products containing drugs which are highly soluble throughout the physiological range (dose/solubility volume <250 mL from pH 1.2 to 6.8), disintegration may be substituted for dissolution. Disintegration testing is most appropriate when a relationship to dissolution has been established or when disintegration is shown to be more discriminating than dissolution. In such cases, dissolution testing may not be necessary. It is expected that development information will be provided to support the robustness of the formulation and manufacturing process with respect to the selection of dissolution vs. disintegration testing [see Decision Tree 7(1)].

- (c) *Hardness/friability*: It is normally appropriate to perform hardness and/or friability testing as an in-process control (see Section 2.3). Under these circumstances, it is normally not necessary to include these attributes in the specification. If the characteristics of hardness and friability have a critical impact on drug product quality (e.g., chewable tablets), acceptance criteria should be included in the specification.
- (d) *Uniformity of dosage units*: This term includes both the mass of the dosage form and the content of the active substance in the dosage form; a pharmacopoeial procedure should be used. In general, the specification should include one or the other but not both. If appropriate, these tests may be performed in-process; the acceptance criteria should be included in the specification. When weight variation is applied for new drug products exceeding the threshold value to allow testing uniformity by weight variation, applicants should verify during drug development that the homogeneity of the product is adequate.
- (e) *Water content*: A test for water content should be included when appropriate. The acceptance criteria may be justified with data on the effects of hydration or water absorption on the drug product. In some cases, a loss on drying procedure may be considered adequate; however, a detection procedure which is specific for water (e.g., Karl Fischer titration) is preferred.
- (f) *Microbial limits*: Microbial limit testing is seen as an attribute of Good Manufacturing Practice, as well as of quality assurance. In general, it is advisable to test the drug product unless its components are tested before manufacture and the manufacturing process is known, through validation studies, not to carry a significant risk of microbial contamination or proliferation. It should be noted that, whereas this guideline does not directly address excipients, the principles discussed here may be applicable to excipients as well as to new drug products. Skip testing may be an appropriate approach in both cases where permissible. (See Decision Tree 6 for microbial testing of excipients.)

Acceptance criteria should be set for the total count of aerobic microorganisms, the total count of yeasts and molds, and the absence of specific objectionable bacteria (e.g., *S. aureus*, *E. coli*, *Salmonella*, *Pseudomonas aeruginosa*). These should be determined by suitable procedures, using pharmacopoeial procedures, and at a sampling frequency or time point in manufacture which is justified by data and experience. The type of microbial test(s) and acceptance criteria should be based on the nature of the drug substance, method of manufacture, and the intended use of the drug product. With acceptable scientific justification, it should be possible to propose no microbial limit testing for solid oral dosage forms.

Decision Tree 8 provides additional guidance on the use of microbial limits testing.

b. Oral Liquids

One or more of the following specific tests will normally be applicable to oral liquids and to powders intended for reconstitution as oral liquids.

- (a) *Uniformity of dosage units*: This term includes both the mass of the dosage form and the content of the active substance in the dosage form; a pharmacopoeial procedure should be used. In general, the specification should include one or the other but not both. When weight variation is applied for new drug products exceeding the threshold value to allow testing uniformity by weight variation, applicants should verify during drug development that the homogeneity of the product is adequate. If appropriate, tests may be performed in-process; however, the acceptance criteria should be included in the specification. This concept may be applied to both single-dose and multiple-dose packages.

The dosage unit is considered to be the typical dose taken by the patient. If the actual unit dose, as taken by the patient, is controlled, it may either be measured directly or calculated, based on the total measured weight or volume of drug divided by the total number of doses expected. If dispensing equipment (such as medicine droppers or dropper tips for bottles) is an integral part of the packaging, this equipment should be used to measure the dose. Otherwise, a standard volume measure should be used. The dispensing equipment to be used is normally determined during development.

For powders for reconstitution, uniformity of mass testing is generally considered acceptable.

- (b) *pH*: Acceptance criteria for pH should be provided where applicable and the proposed range justified.
- (c) *Microbial limits*: Microbial limit testing is seen as an attribute of Good Manufacturing Practice, as well as of quality assurance. In general, it is advisable to test the drug product unless its components are tested before manufacture and the manufacturing process is known, through validation studies, not to carry a significant risk of microbial contamination or proliferation. It should be noted that, whereas this guideline does not directly address excipients, the principles discussed here may be applicable to excipients as well as to new drug products. Skip testing may be an appropriate approach in both cases where permissible. With acceptable scientific justification, it may be possible to propose no microbial limit testing for powders intended for reconstitution as oral liquids.

Acceptance criteria should be set for the total count of aerobic microorganisms, total count of yeasts and molds, and the absence of specific objectionable bacteria

(e.g., *S. aureus*, *E. coli*, *Salmonella*, *Pseudomonas aeruginosa*). These should be determined by suitable procedures, using pharmacopoeial procedures, and at a sampling frequency or time point in manufacture which is justified by data and experience.

Decision Tree 8 provides additional guidance on the use of microbial limits testing.

- (d) *Antimicrobial preservative content*: For oral liquids needing an antimicrobial preservative, acceptance criteria for preservative content should be established. Acceptance criteria for preservative content should be based upon the levels of antimicrobial preservative necessary to maintain microbiological quality of the product at all stages throughout its proposed usage and shelf life. The lowest specified concentration of antimicrobial preservative should be demonstrated to be effective in controlling microorganisms by using a pharmacopoeial antimicrobial preservative effectiveness test.

Testing for antimicrobial preservative content should normally be performed at release. Under certain circumstances, in-process testing may suffice in lieu of release testing. When antimicrobial preservative content testing is performed as an in-process test, the acceptance criteria should remain part of the specification.

Antimicrobial preservative effectiveness should be demonstrated during development, during scale-up, and throughout the shelf life (e.g., in stability testing: See the ICH guideline, *Stability Testing of New Drug Substances and Products*), although chemical testing for preservative content is the attribute normally included in the specification.

- (e) *Antioxidant preservative content*: Release testing for antioxidant content should normally be performed. Under certain circumstances, where justified by developmental and stability data, shelf-life testing may be unnecessary, and in-process testing may suffice in lieu of release testing where permitted. When antioxidant content testing is performed as an in-process test, the acceptance criteria should remain part of the specification. If only release testing is performed, this decision should be reinvestigated whenever either the manufacturing procedure or the container/closure system changes.
- (f) *Extractables*: Generally, where development and stability data show evidence that extractables from the container/closure systems are consistently below levels that are demonstrated to be acceptable and safe, elimination of this test can normally be accepted. This should be reinvestigated if the container/closure system or formulation changes.

Where data demonstrate the need, tests and acceptance criteria for extractables from the container/closure system components (e.g., rubber stopper, cap liner, plastic bottle, etc.) are considered appropriate

for oral solutions packaged in non-glass systems or in glass containers with non-glass closures. The container/closure components should be listed and data collected for these components as early in the development process as possible.

- (g) *Alcohol content*: Where it is declared quantitatively on the label in accordance with pertinent regulations, the alcohol content should be specified. It may be assayed or calculated.
- (h) *Dissolution*: In addition to the attributes recommended immediately above, it may be appropriate (e.g., insoluble drug substance) to include dissolution testing and acceptance criteria for oral suspensions and dry powder products for resuspension. Dissolution testing should be performed at release. This test may be performed as an in-process test when justified by product development data. The testing apparatus, media, and conditions should be pharmacopoeial, if possible, or otherwise justified. Dissolution procedures using either pharmacopoeial or non-pharmacopoeial apparatus and conditions should be validated.

Single-point measurements are normally considered suitable for immediate-release dosage forms. Multiple-point sampling, at appropriate intervals, should be performed for modified-release dosage forms. Acceptance criteria should be set based on the observed range of variation and should take into account the dissolution profiles of the batches that showed acceptable performance in vivo. Developmental data should be considered when determining the need for either a dissolution procedure or a particle size distribution procedure.

- (i) *Particle size distribution*: Quantitative acceptance criteria and a procedure for determination of particle size distribution may be appropriate for oral suspensions. Developmental data should be considered when determining the need for either a dissolution procedure or a particle size distribution procedure for these formulations.

Particle size distribution testing should be performed at release. It may be performed as an in-process test when justified by product development data. If these products have been demonstrated during development to have consistently rapid drug-release characteristics, exclusion of a particle size distribution test from the specification may be proposed.

Particle size distribution testing may also be proposed in place of dissolution testing; justification should be provided. The acceptance criteria should include acceptable particle size distribution in terms of the percent of total particles in given size ranges. The mean, upper, and/or lower particle size limits should be well defined.

Acceptance criteria should be set based on the observed range of variation and should take into account the dissolution profiles of the batches that

showed acceptable performance in vivo, as well as the intended use of the product. The potential for particle growth should be investigated during product development; the acceptance criteria should take the results of these studies into account.

- (j) *Redispersibility*: For oral suspensions, which settle on storage (produce sediment), acceptance criteria for redispersibility may be appropriate. Shaking may be an appropriate procedure.

The procedure (mechanical or manual) should be indicated. Time required to achieve resuspension by the indicated procedure should be clearly defined. Data generated during product development may be sufficient to justify skip lot testing, or elimination of this attribute from the specification may be proposed.

- (k) *Rheological properties*: For relatively viscous solutions or suspensions, it may be appropriate to include rheological properties (viscosity/specific gravity) in the specification. The test and acceptance criteria should be stated. Data generated during product development may be sufficient to justify skip lot testing, or elimination of this attribute from the specification may be proposed.
- (l) *Reconstitution time*: Acceptance criteria for reconstitution time should be provided for dry powder products, which require reconstitution. The choice of diluent should be justified. Data generated during product development may be sufficient to justify skip lot testing, or elimination of this attribute from the specification may be proposed.
- (m) *Water content*: For oral products requiring reconstitution, a test and acceptance criterion for water content should be proposed when appropriate. Loss on drying is generally considered sufficient if the effect of absorbed moisture vs. water of hydration has been adequately characterized during the development of the product. In certain cases, a more specific procedure (e.g., Karl Fischer titration) may be preferable.

c. Parenteral Drug Products

The following tests may be applicable to parenteral drug products.

- (a) *Uniformity of dosage units*: This term includes both the mass of the dosage form and the content of the active substance in the dosage form; a pharmacopoeial procedure should be used. In general, the specification should one or the other but not both and is applicable to powders for reconstitution. When weight variation is applied for new drug products exceeding the threshold value to allow testing uniformity by weight variation, applicants should verify during drug development that the homogeneity of the product is adequate.

If appropriate (see Section 2.3), these tests may be performed in-process; the acceptance criteria

should be included in the specification. This test may be applied to both single-dose and multiple-dose packages.

For powders for reconstitution, uniformity of mass testing is generally considered acceptable.

- (b) *pH*: Acceptance criteria for pH should be provided where applicable and the proposed range justified.
- (c) *Sterility*: All parenteral products should have a test procedure and acceptance criterion for evaluation of sterility. Where data generated during development and validation justify parametric release, this approach may be proposed for terminally sterilized drug products (see Section 2.6).
- (d) *Endotoxins/pyrogens*: A test procedure and acceptance criterion for endotoxins, using a procedure such as the limulus amoebocyte lysate test, should be included in the specification. Pyrogenicity testing may be proposed as an alternative to endotoxin testing where justified.
- (e) *Particulate matter*: Parenteral products should have appropriate acceptance criteria for particulate matter. This will normally include acceptance criteria for visible particulates and/or clarity of solution, as well as for subvisible particulates as appropriate.
- (f) *Water content*: For nonaqueous parenterals, and for parenteral products for reconstitution, a test procedure and acceptance criterion for water content should be proposed when appropriate. Loss on drying is generally considered sufficient for parenteral products, if the effect of absorbed moisture vs. water of hydration has been adequately characterized during development. In certain cases a more specific procedure (e.g., Karl Fischer titration) may be preferred.
- (g) *Antimicrobial preservative content*: For parenteral products needing an antimicrobial preservative, acceptance criteria for preservative content should be established. Acceptance criteria for preservative content should be based upon the levels of antimicrobial preservative necessary to maintain microbiological quality of the product at all stages throughout its proposed usage and shelf life. The lowest specified concentration of antimicrobial preservative should be demonstrated to be effective in controlling microorganisms by using a pharmacopoeial antimicrobial preservative effectiveness test.

Testing for antimicrobial preservative content should normally be performed at release. Under certain circumstances, in-process testing may suffice in lieu of release testing where permitted. When antimicrobial preservative content testing is performed as an in-process test, the acceptance criteria should remain part of the specification.

Antimicrobial preservative effectiveness should be demonstrated during development, during scaleup, and throughout the shelf life (e.g., in stability testing: See the ICH guideline, *Stability Testing*

of *New Drug Substances and Products*), although chemical testing for preservative content is the attribute normally included in the specification.

- (h) *Antioxidant preservative content*: Release testing for antioxidant content should normally be performed. Under certain circumstances, where justified by developmental and stability data, shelf-life testing may be unnecessary and in-process testing may suffice in lieu of release testing. When antioxidant content testing is performed as an in-process test, the acceptance criteria should remain part of the specification. If only release testing is performed, this decision should be reinvestigated whenever either the manufacturing procedure or the container/closure system changes.
- (i) *Extractables*: Control of extractables from container/closure systems is considered significantly more important for parenteral products than for oral liquids. However, where development and stability data show evidence that extractables are consistently below the levels that are demonstrated to be acceptable and safe, elimination of this test can normally be accepted. This should be reinvestigated if the container/closure system or formulation changes.

Where data demonstrate the need, acceptance criteria for extractables from the container/closure components are considered appropriate for parenteral products packaged in non-glass systems or in glass containers with elastomeric closures. This testing may be performed at release only, where justified by data obtained during development. The container/closure system components (e.g., rubber stopper, etc.) should be listed, and data collected for these components as early in the development process as possible.

- (j) *Functionality testing of delivery systems*: Parenteral formulations packaged in prefilled syringes, autoinjector cartridges, or the equivalent should have test procedures and acceptance criteria related to the functionality of the delivery system. These may include control of syringeability, pressure, and seal integrity (leakage), and/or parameters such as tip cap removal force, piston release force, piston travel force, and power injector function force. Under certain circumstances these tests may be performed in process. Data generated during product development may be sufficient to justify skip lot testing or elimination of some or all attributes from the specification.
- (k) *Osmolarity*: When the tonicity of a product is declared in its labeling, appropriate control of its osmolarity should be performed. Data generated during development and validation may be sufficient to justify performance of this procedure as an in-process control, skip lot testing, or direct calculation of this attribute.
- (l) *Particle size distribution*: Quantitative acceptance criteria and a procedure for determination of particle size distribution may be appropriate for

injectable suspensions. Developmental data should be considered when determining the need for either a dissolution procedure or a particle size distribution procedure.

Particle size distribution testing should be performed at release. It may be performed as an in-process test when justified by product development data. If the product has been demonstrated during development to have consistently rapid drug release characteristics, exclusion of particle size controls from the specification may be proposed.

Particle size distribution testing may also be proposed in place of dissolution testing, when development studies demonstrate that particle size is the primary factor influencing dissolution; justification should be provided. The acceptance criteria should include acceptable particle size distribution in terms of the percent of total particles in given size ranges. The mean, upper, and/or lower particle size limits should be well defined.

Acceptance criteria should be set based on the observed range of variation and should take into account the dissolution profiles of the batches that showed acceptable performance in vivo and the intended use of the product. The potential for particle growth should be investigated during product development; the acceptance criteria should take the results of these studies into account.

- (m) *Redispersibility*: For injectable suspensions, which settle on storage (produce sediment), acceptance criteria for redispersibility may be appropriate. Shaking may be an appropriate procedure. The procedure (mechanical or manual) should be indicated. Time required to achieve resuspension by the indicated procedure should be clearly defined. Data generated during product development may be sufficient to justify skip lot testing, or elimination of this attribute from the specification may be proposed.
- (n) *Reconstitution time*: Acceptance criteria for reconstitution time should be provided for all parenteral products which require reconstitution. The choice of diluent should be justified. Data generated during product development and process validation may be sufficient to justify skip lot testing or elimination of this attribute from the specification for rapidly dissolving products.

GLOSSARY

The following definitions are presented for the purpose of this guideline.

Acceptance Criteria: Numerical limits, ranges, or other suitable measures for acceptance of the results of analytical procedures.

Chiral: Not superimposable with its mirror image, as applied to molecules, conformations, and macroscopic

objects, such as crystals. The term has been extended to samples of substances whose molecules are chiral, even if the macroscopic assembly of such molecules is racemic.

Combination Product: A drug product which contains more than one drug substance.

Degradation Product: A molecule resulting from a chemical change in the drug molecule brought about over time and/or by the action of, for example, light, temperature, pH, water, or by reaction with an excipient and/or the immediate container/closure system. Also called decomposition product.

Delayed Release: Release of a drug (or drugs) at a time other than immediately following oral administration.

Enantiomers: Compounds with the same molecular formula as the drug substance which differ in the spatial arrangement of atoms within the molecule and are nonsuperimposable mirror images.

Extended Release: Products which are formulated to make the drug available over an extended period after administration.

Highly Water-Soluble Drugs: Drugs with a dose/solubility volume of less than or equal to 250 mL over a pH range of 1.2 to 6.8. (e.g., Compound A has as its lowest solubility at $37^{\circ}\text{C} \pm 0.5^{\circ}\text{C}$, 1.0 mg/mL at pH 6.8, and is available in 100-, 200-, and 400-mg strengths. This drug would be considered a low solubility drug as its dose/solubility volume is greater than 250 mL ($400 \text{ mg}/1.0 \text{ mg/mL} = 400 \text{ mL}$).

Immediate Release: Allows the drug to dissolve in the gastrointestinal contents, with no intention of delaying or prolonging the dissolution or absorption of the drug.

Impurity: (1) Any component of the new drug substance which is not the chemical entity defined as the new drug substance. (2) Any component of the drug product which is not the chemical entity defined as the drug substance or an excipient in the drug product.

Identified Impurity: An impurity for which a structural characterization has been achieved.

In-Process Tests: Tests which may be performed during the manufacture of either the drug substance or drug product, rather than as part of the formal battery of tests which are conducted prior to release.

Modified Release: Dosage forms whose drug-release characteristics of time course and/or location are chosen to accomplish therapeutic or convenience objectives not offered by conventional dosage forms such as a solution or an immediate release dosage form. Modified release solid oral dosage forms include both delayed and extended release drug products.

New Drug Product: A pharmaceutical product type, for example, tablet, capsule, solution, cream, etc., which has not previously been registered in a region or Member State and which contains a drug ingredient generally, but not necessarily, in association with excipients.

New Drug Substance: The designated therapeutic moiety which has not previously been registered in a region or Member State (also referred to as a new molecular entity or new chemical entity). It may be a complex, simple ester, or salt of a previously approved drug substance.

Polymorphism: The occurrence of different crystalline forms of the same drug substance. This may include solvation or hydration products (also known as pseudopolymorphs) and amorphous forms.

Quality: The suitability of either a drug substance or drug product for its intended use. This term includes such attributes as the identity, strength, and purity.

Racemate: A composite (solid, liquid, gaseous, or in solution) of equimolar quantities of two enantiomeric species. It is devoid of optical activity.

Rapidly Dissolving Products: An immediate release solid oral drug product is considered rapidly dissolving when not less than 80% of the label amount of the drug substance dissolves within 15 minutes in each of the following media: (1) pH 1.2, (2) pH 4.0, and (3) pH 6.8.

Reagent: A substance, other than a starting material or solvent, which is used in the manufacture of a new drug substance.

Solvent: An inorganic or an organic liquid used as a vehicle for the preparation of solutions or suspensions in the synthesis of a new drug substance or the manufacture of a new drug product.

Specification: A list of tests, references to analytical procedures, and appropriate acceptance criteria, which are numerical limits, ranges, or other criteria for the tests described. It establishes the set of criteria to which a drug substance or drug product should conform to be considered acceptable for its intended use. "Conformance to specifications" means that the drug substance and/or drug product, when tested according to the listed analytical procedures, will meet the listed acceptance criteria. Specifications are critical quality standards that are proposed and justified by the manufacturer and approved by regulatory authorities.

Specific Test: A test which is considered to be applicable to particular new drug substances or particular new drug products depending on their specific properties and/or intended use.

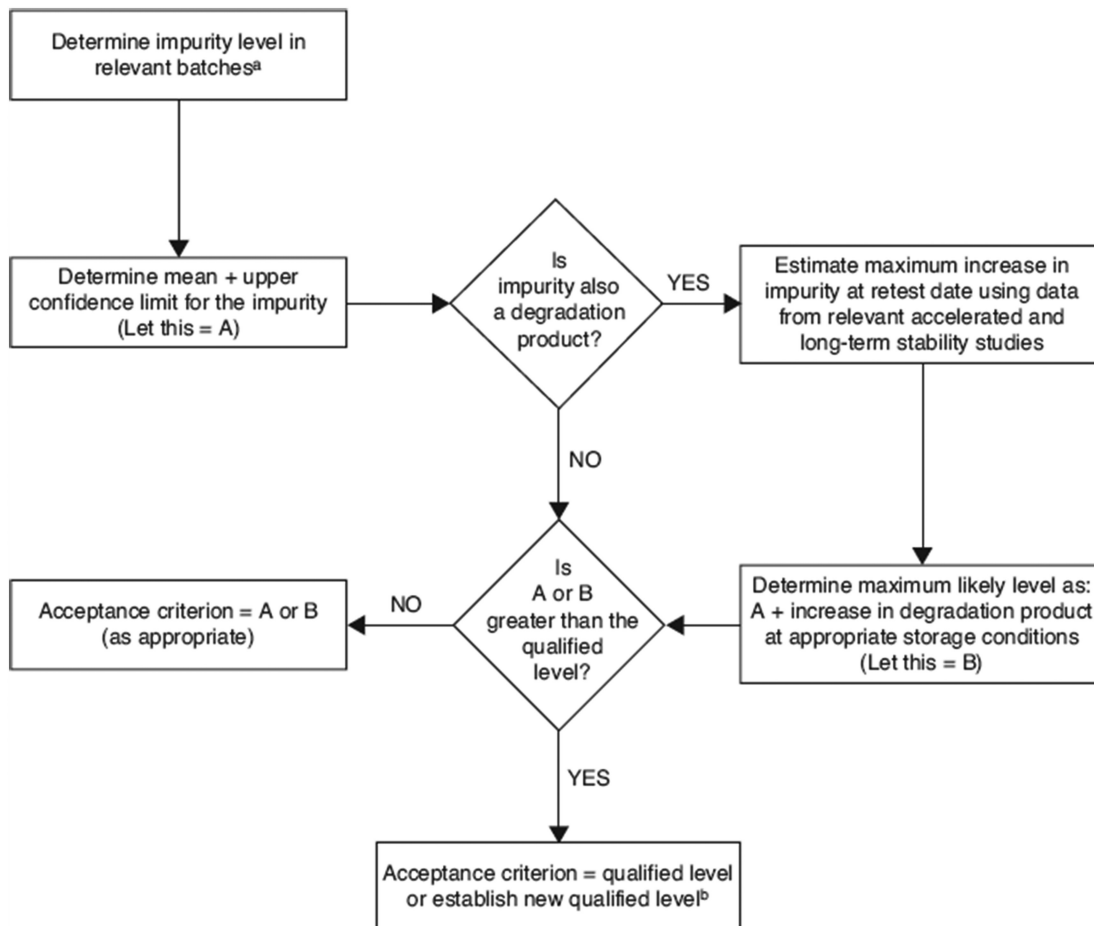
Specified Impurity: An identified or unidentified impurity that is selected for inclusion in the new drug substance or new drug product specification and is individually listed and limited in order to assure the quality of the new drug substance or new drug product.

Unidentified Impurity: An impurity which is defined solely by qualitative analytical properties, (e.g., chromatographic retention time).

Universal Test: A test which is considered to be potentially applicable to all new drug substances or all new drug products, for example, appearance, identification, assay, and impurity tests.

IV. ATTACHMENTS

Decision Tree #1 Establishing Acceptance Criterion for a Specified Impurity in a New Drug Substance

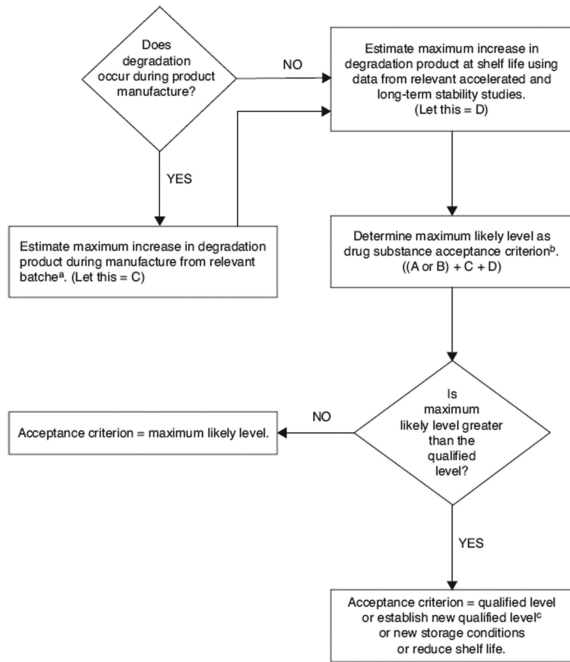


^a Relevant batches are those from development, pilot, and scale-up studies.

^b Refer to ICH guideline on *Impurities in New Drug Substances*.

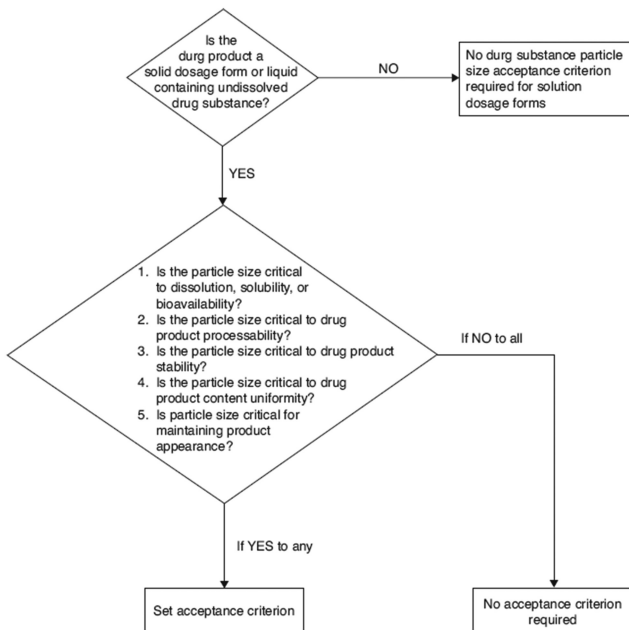
Definition: Upper confidence limit=three times the standard deviation of batch analysis data.

Decision Tree #2 Establishing Acceptance Criterion for a Degradation Product in a New Drug Product



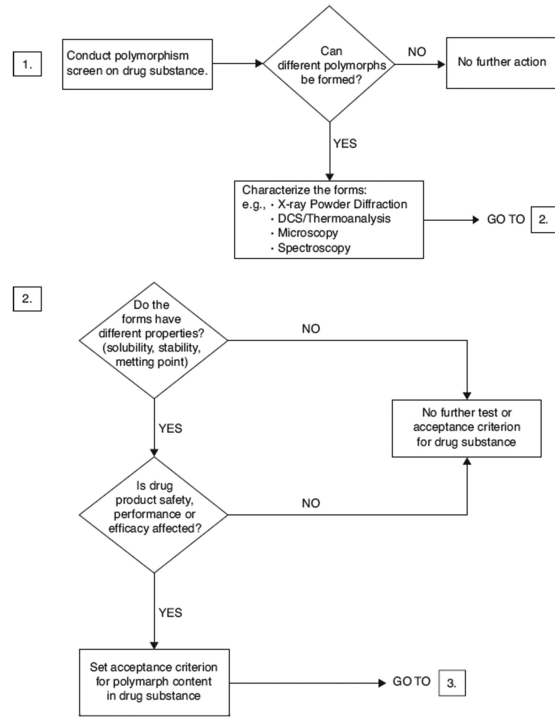
- a Relevant batches are those from development, pilot, and scale-up studies.
- b Refer to Decision Tree 1 for information regarding A and B.
- c Refer to ICH guideline on *Impurities in New Drug Products*.

Decision Tree #3 Setting Acceptance Criteria for Drug Substance Particle Size Distribution



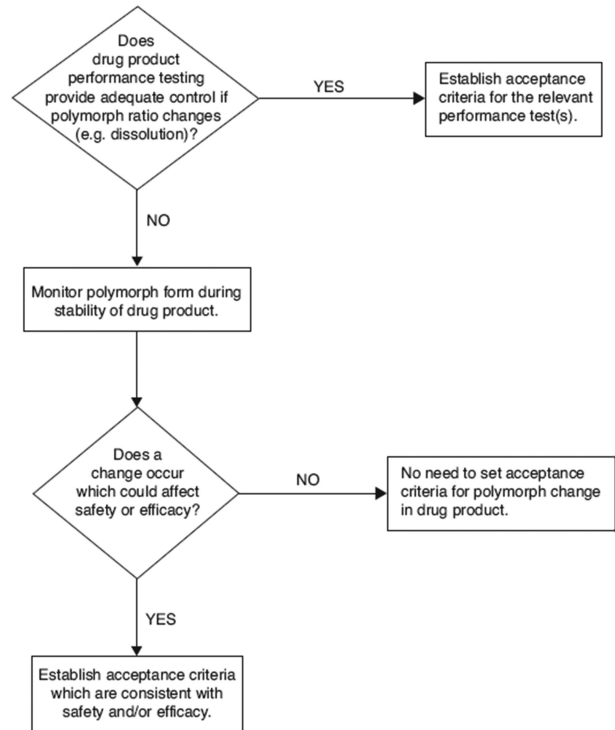
Decision Tree #4 Investigating the Need to set Acceptance Criteria for Polymorphism in Drug Substances and Drug Products

Drug Substances

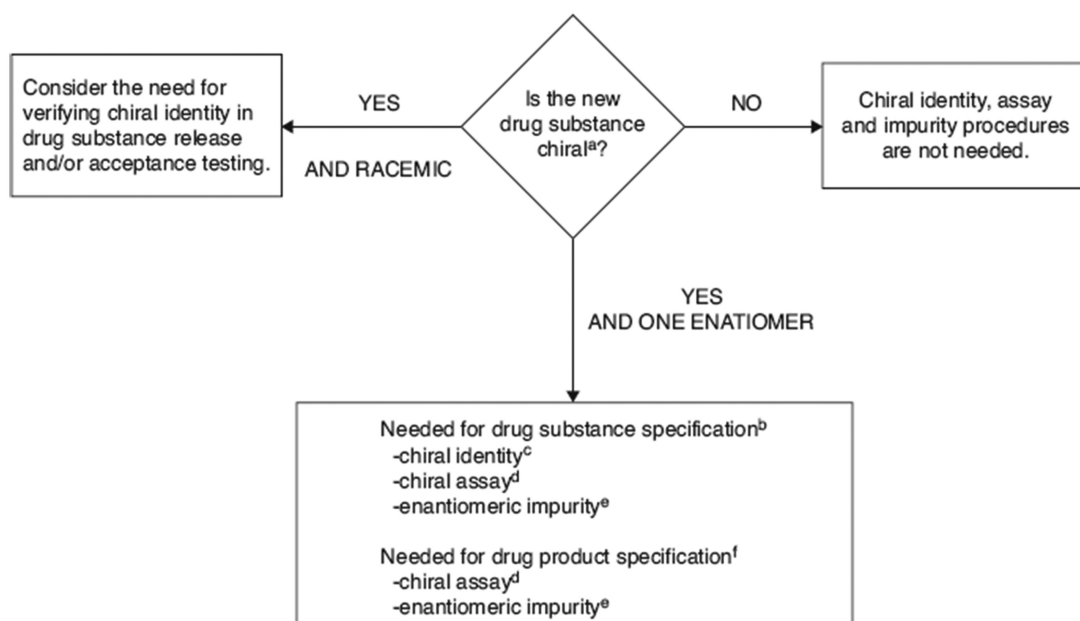


Drug Product—Solid Dosage Form or Liquid Containing Undissolved Drug Substance

N.B.: Undertake the following processes only technically possible to measure polymorph content in the drug product.



Decision Tree #5 Establishing Identity, Assay, and Enantiomeric Impurity Procedures for Chiral New Drug Substances and New Drug Products Containing Chiral Drug Substances



^a Chiral substances of natural origin are not addressed in this guideline.

^b As with other impurities arising in and from raw materials used in drug substance synthesis, control of chiral quality could be established alternatively by applying limits to appropriate starting materials or intermediates when justified from developmental studies. This essentially will be the case when there are multiple chiral centers (e.g., three or more) or when control as a step prior to production of the final drug substance is desirable.

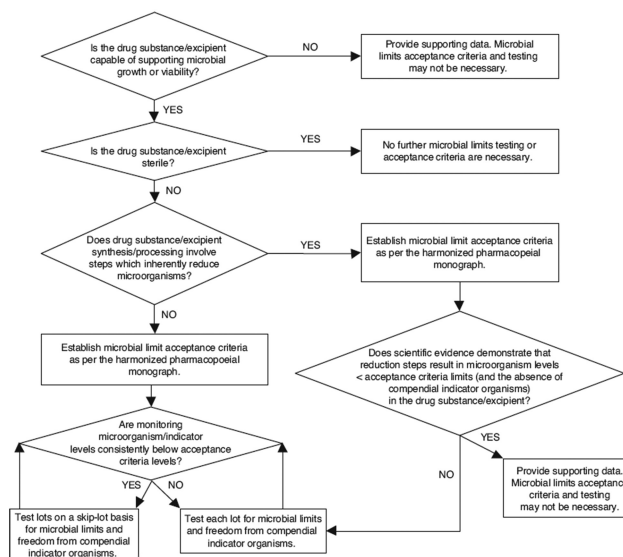
^c A chiral assay or an enantiomeric impurity procedure may be acceptable in lieu of a chiral identity procedure.

^d An achiral assay combined with a method for controlling the opposite enantiomer is acceptable in lieu of a chiral assay.

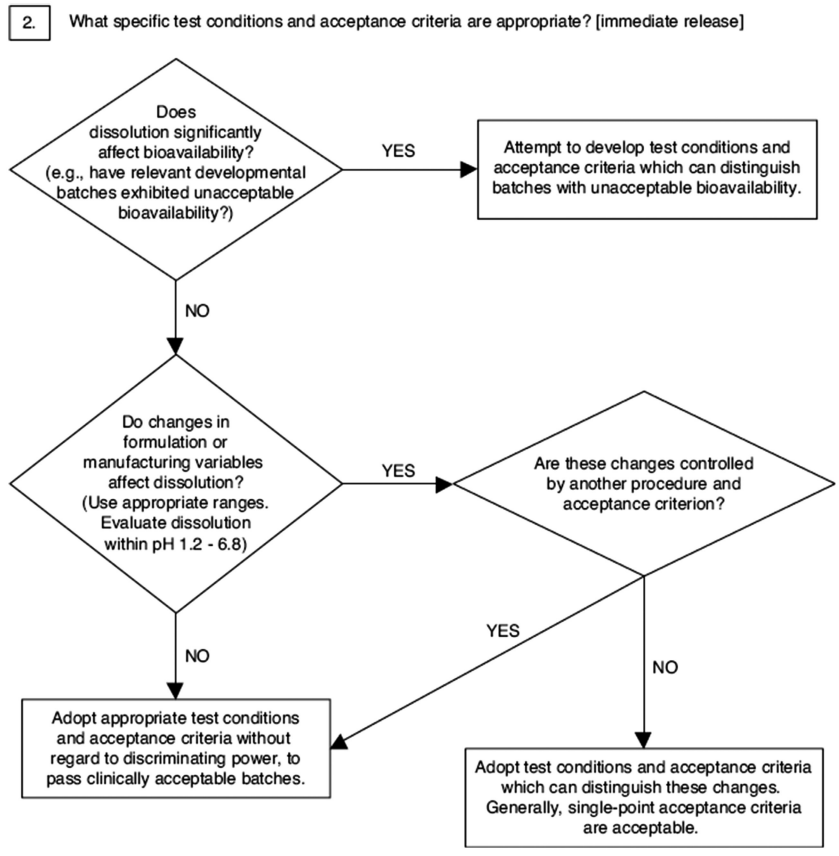
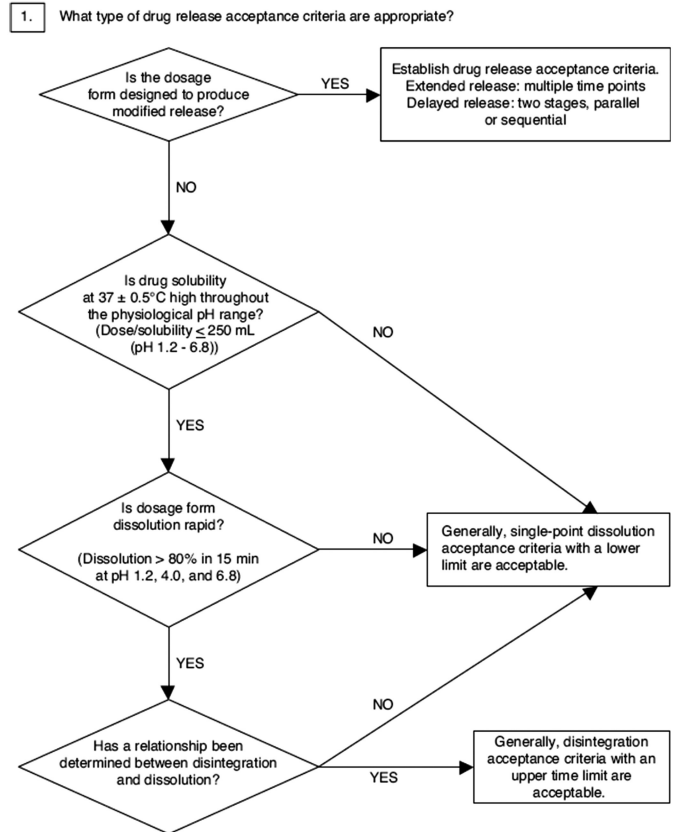
^e The level of the opposite enantiomer of the drug substance may be derived from chiral assay data or from a separate procedure.

^f Stereospecific testing of drug product may not be necessary if racemization has been demonstrated to be insignificant during drug product manufacture and during storage of the finished dosage form.

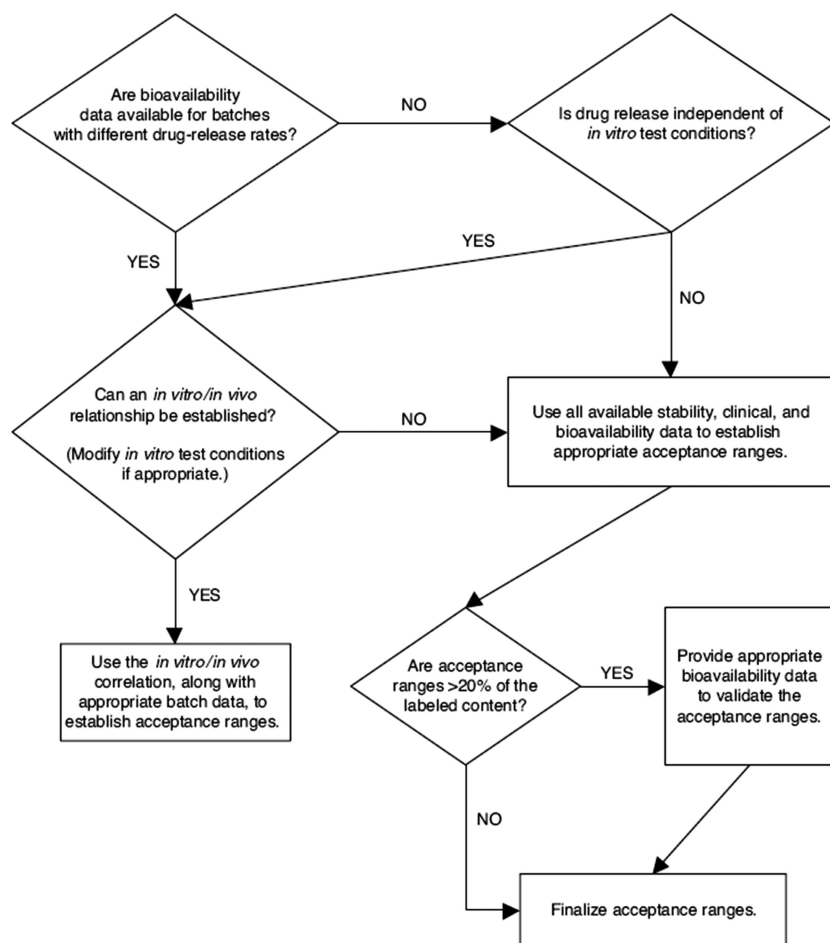
Decision Tree #6 Microbiological Quality Attributes of Drug Substance and Excipients



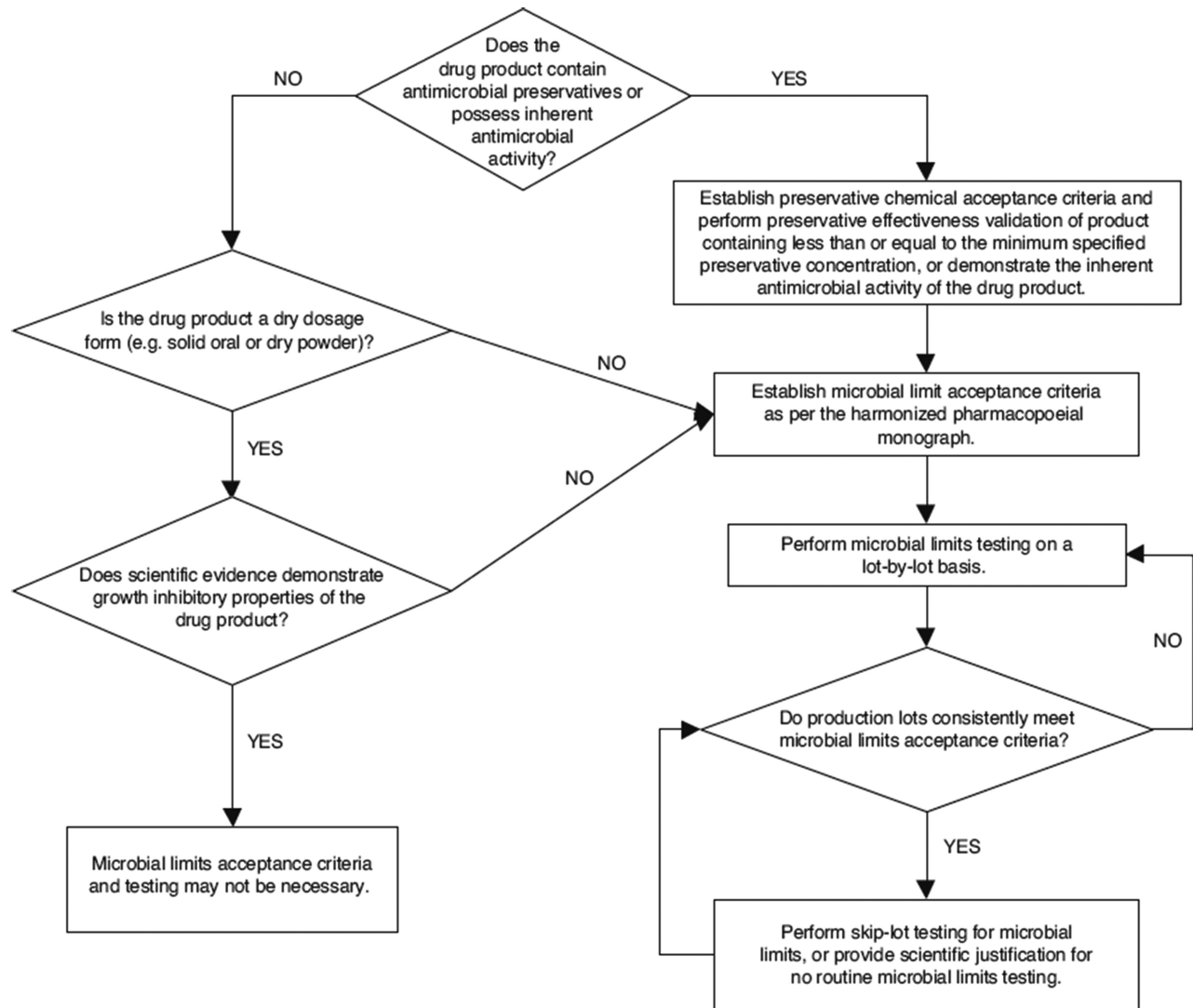
Decision Trees #7 Setting Acceptance Criteria for Drug Product Dissolution



3. What are appropriate acceptance ranges? [extended release]



Decision Tree #8 Microbiological Attributes of Nonsterile Drug Products



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11 Topical Testing of Transdermal Drug Products

To fully evaluate the equivalence of a transdermal product for an abbreviated new drug application to a reference-listed drug, skin irritation and sensitization should be assessed because the condition of the skin may affect the absorption of a drug from a transdermal system. More severe skin irritation may affect the efficacy or safety of the product.

Transdermal products have properties that may lead to skin irritation or sensitization. The delivery system, or the system in conjunction with the drug substance, may cause these reactions. In the development of transdermal products, dermatologic adverse events are evaluated primarily with animal studies and safety evaluations in the context of large clinical trials generally associated with the submission of new drug applications. Separate skin irritation and skin sensitization studies also are used for this purpose. These latter studies are designed to detect irritation and sensitization under conditions of maximal stress and may be used during the assessment of transdermal drug products for abbreviated new drug applications.

I. STUDY DESIGNS

Recommended designs for skin irritation and skin sensitization studies for the comparative evaluation of transdermal drug products for an abbreviated new drug application are delineated below. Other proposals for studies may be suggested, but potential applicants are advised to consult the Office of Generic Drugs about alternative study designs before the initiation of such a study.

A. RECOMMENDATIONS FOR A CUMULATIVE SKIN IRRITATION STUDY

1. Sample Size

The sample size should be 30 subjects.

2. Exclusion Criteria

Dermatologic disease that might interfere with the evaluation of test site reaction should be grounds for exclusion.

3. Duration of Study

The study should last for 22 days.

4. Study Design

The study should be a randomized, controlled, repeat patch test study that compares the test patch with the innovator patch. Placebo patches (transdermal patch without active drug substance) or high- and low-irritancy controls (e.g., sodium lauryl sulfate 0.1% and 0.9% saline) can be included as additional test arms.

5. Patch Application

Each subject applies one of each of the patches to be tested. Test sites should be randomized among patients. Patches should be applied for 23 hours (± 1 hour) daily for 21 days to the same skin site. At each patch removal, the site should be evaluated for reaction and the patch reapplied.

Application of a test patch should be discontinued at a site if predefined serious reactions occur at the site of repeated applications. Application at a different site may subsequently be initiated.

6. Evaluations

Scoring of skin reactions and patch adherence should be performed by a trained and blinded observer at each patch removal, using an appropriate scale.

Dermal reactions should be scored on a scale that describes the amount of erythema, edema, and other features indicative of irritations. (See Appendix A for an example of a scoring system that can be used.) The percentage adherence of the transdermal patches should be assessed using a five-point scale (see Appendix B).

7. Data Presentation and Analysis

Individual daily observations should be provided, as well as a tabulation that presents the percentage of subjects with each grade of skin reaction and degree of patch adherence on each study day. The mean cumulative irritation score, the total cumulative irritation score, and the number of days until sufficient irritation occurred to preclude patch application for all the study subjects should be calculated for each test product, and a statistical analysis of the comparative results should be performed (see Appendix C).

B. RECOMMENDATIONS FOR A SKIN SENSITIZATION STUDY (MODIFIED DRAIZE TEST)

1. Sample Size

Two hundred subjects should be sampled.

2. Exclusion Criteria

Exclusion criteria include

- a. Dermatologic disease that might interfere with the evaluation of the test site reactions and
- b. Use of systemic or topical analgesics or antihistamines within 72 hours of study enrollment or systemic or topical corticosteroids within 3 weeks of study enrollment

3. Duration of Study

The study should last for 6 weeks.

4. Study Design

The study should be a randomized, controlled study on three test products: The test transdermal patch, the innovator patch, and the placebo patch (transdermal patch without the active drug substance).

5. Patch Application

Test sites should be randomized among patients. The study is divided into three sequential periods.

a. Induction Phase

Applications of the test materials should be made to the same skin sites three times weekly for 3 weeks, for a total of nine applications. The patches should remain in place for 48 hours on weekdays and for 72 hours on weekends. Scoring of skin reactions and patch adherence should be performed by a trained and blinded observer at each patch removal, using an appropriate scale.

Dermal reactions should be scored on a scale that describes the amount of erythema, edema, and other features indicative of irritation. (See Appendix A for an example of a scoring system that can be used.) The percentage adherence of the transdermal patches should be assessed using a five-point scale (see Appendix B).

b. Rest Phase

The induction phase is followed by a rest phase of 2 weeks, during which no applications are made.

c. Challenge Phase

The patches should be applied to new skin sites for 48 hours. Evaluation of skin reactions should be made by a trained blinded observer at 30 minutes and at 24, 48, and 72 hours after patch removal. (See Appendix A for an example of a scoring system that can be used.)

6. Data Presentation and Analysis

The individual daily observations should be provided, as well as a tabulation of the percentage of subjects with each grade of skin reaction and degree of patch adherence on each study day. The mean cumulative irritation score and the total cumulative irritation score for all the study subjects should be calculated for each test product, and a statistical analysis of the comparative results should be performed.

A narrative description of each reaction in the challenge phase should be provided, together with the opinion of the investigator as to whether such reactions are felt to be indicative of contact sensitization.

C. COMBINED STUDIES

Alternatively, the cumulative skin irritation study and the skin sensitization study can be combined into a single study. The

study design would be identical to that described for the skin sensitization study (see Section I.B), except that patch application during the induction phase should be daily for 23 hours (± 1 hour) each day over 21 days.

APPENDIX A: SKIN IRRITATION SCORING SYSTEMS

The following scoring system for irritation or sensitization reactions is included as an example of a scoring system that can be used for these studies. Other validated scoring systems can be used in quantifying skin reactions. The inclusion of this system should not be interpreted as an endorsement of the system by the agency. It is provided as an example only.

1. Dermal response:
 - 0 = no evidence of irritation
 - 1 = minimal erythema, barely perceptible
 - 2 = definite erythema, readily visible; minimal edema or minimal papular response
 - 3 = erythema and papules
 - 4 = definite edema
 - 5 = erythema, edema, and papules
 - 6 = vesicular eruption
 - 7 = strong reaction spreading beyond test site
2. Other effects:
 - A = slight glazed appearance
 - B = marked glazing
 - C = glazing with peeling and cracking
 - D = glazing with fissures
 - E = film of dried serous exudate covering all or part of the patch site
 - F = small petechial erosions or scabs

APPENDIX B: ADHESION SCORE

The following scoring system is included as an example of a scoring system that can be used for this type of study. Other validated scoring systems may be equally effective in quantifying comparative adhesion of transdermal systems. The inclusion of this system is not to be interpreted as an endorsement of the system by the agency. It is provided as an example only.

An estimate of the adherence of the transdermal system will be rated as follows:

- 0 $\geq 90\%$ adhered (essentially no lift off the skin)
- 1 $\geq 75\%$ to $< 90\%$ adhered (some edges only lifting off the skin)
- 2 $\geq 50\%$ to $< 75\%$ adhered (less than half of the system lifting off the skin)
- 3 $\geq 50\%$ adhered but not detached (more than half the system lifting off the skin without falling off)
- 4 = patch detached (patch completely off the skin)

APPENDIX C: STATISTICS

To be considered equivalent for a particular response, the average response for the generic (μ_T) should be between 80% and 125% of the average response for the innovator (μ_R). It is recommended that the response of the generic be equivalent to or better than the innovator. This implies a one-sided test.

For a variable for which low scores are better, such as mean irritation score or total cumulative irritation score, the hypotheses would be

$$H_0 : \mu_T / \mu_R > 1.25$$

$$H_1 : \mu_T / \mu_R \geq 1.25$$

which (assuming that $\mu_R > 0$) implies

$$H_0 : \mu_T - 1.25\mu_R \geq 0$$

$$H_1 : \mu_T - 1.25\mu_R < 0$$

The null hypothesis H_0 will be rejected when the upper limit of the 90% confidence interval (that is, the 95% upper confidence bound) for the quantity $\mu_T - 1.25\mu_R$ is less than or equal to zero.

For a variable for which high values are better, such as time to removal score, the hypotheses would be

$$H_0 : \mu_T / \mu_R < 0.80$$

$$H_1 : \mu_T / \mu_R \geq 0.80$$

which (assuming that $\mu_R > 0$) implies

$$H_0 : \mu_T - 0.80\mu_R < 0$$

$$H_1 : \mu_T - 0.80\mu_R \geq 0$$

The null hypothesis H_0 will be rejected in this case when the lower limit of the 90% confidence interval (i.e., the 95% lower confidence bound) for the quantity $\mu_T - 0.80\mu_R$ is greater than or equal to zero.

In either case, if the null hypothesis H_0 is rejected, the generic should be considered equivalent to or better than the innovator.

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12 Impurities Profiling

Drug Substance

I. PREAMBLE

This document is intended to provide guidance for registration applications on the content and qualification of impurities in new drug substances produced by chemical syntheses and not previously registered in a region or member state. It is not intended to apply to new drug substances used during the clinical research stage of development. The following types of drug substances are not covered in this guideline: Biological/biotechnological, peptide, oligonucleotide, radiopharmaceutical, fermentation product and semisynthetic products derived therefrom, herbal products, and crude products of animal or plant origin.

Impurities in new drug substances are addressed from the following two perspectives:

Chemistry Aspects include classification and identification of impurities, report generation, listing of impurities in specifications, and a brief discussion of analytical procedures.

Safety Aspects include specific guidance for qualifying those impurities that were not present, or were present at substantially lower levels, in batches of a new drug substance used in safety and clinical studies.

II. CLASSIFICATION OF IMPURITIES

Impurities can be classified into the following categories:

- Organic impurities (process and drug related)
- Inorganic impurities
- Residual solvents

Organic impurities can arise during the manufacturing process and/or storage of the new drug substance. They can be identified or unidentified, volatile or nonvolatile, and include

- Starting materials
- Byproducts
- Intermediates
- Degradation products
- Reagents, ligands, and catalysts

Inorganic impurities can result from the manufacturing process. They are normally known and identified and include

- Reagents, ligands, and catalysts
- Heavy metals or other residual metals

- Inorganic salts
- Other materials (e.g., filter aids, charcoal)

Solvents are inorganic or organic liquids used as vehicles for the preparation of solutions or suspensions in the synthesis of a new drug substance. Since these are generally of known toxicity, the selection of appropriate controls is easily accomplished (see ICH guideline Q3C on residual solvents).

Excluded from this document are (1) extraneous contaminants that should not occur in new drug substances and are more appropriately addressed as GMP issues, (2) polymorphic forms, and (3) enantiomeric impurities.

III. RATIONALE FOR THE REPORTING AND CONTROL OF IMPURITIES

A. ORGANIC IMPURITIES

The applicant should summarize the actual and potential impurities most likely to arise during the synthesis, purification, and storage of the new drug substance. This summary should be based on sound scientific appraisal of the chemical reactions involved in the synthesis, impurities associated with raw materials that could contribute to the impurity profile of the new drug substance, and possible degradation products. This discussion can be limited to those impurities that might reasonably be expected based on knowledge of the chemical reactions and conditions involved.

In addition, the applicant should summarize the laboratory studies conducted to detect impurities in the new drug substance. This summary should include test results of batches manufactured during the development process and batches from the proposed commercial process, as well as the results of stress testing (see ICH guideline Q1A on stability) used to identify potential impurities arising during storage. The impurity profile of the drug substance batches intended for marketing should be compared with those used in development and any differences discussed.

The studies conducted to characterize the structure of actual impurities present in the new drug substance at a level greater than (>) the identification threshold given in Attachment 1 (e.g., calculated using the response factor of the drug substance) should be described. Note that any impurity at a level greater than (>) the identification threshold in any batch manufactured by the proposed commercial process should be identified. In addition, any degradation product observed in stability studies at recommended storage conditions at a level greater than (>) the identification threshold

should be identified. When identification of an impurity is not feasible, a summary of the laboratory studies demonstrating the unsuccessful effort should be included in the application. Where attempts have been made to identify impurities present at levels of not more than (<) the identification thresholds, it is useful also to report the results of these studies.

Identification of impurities present at an apparent level of not more than (\leq) the identification threshold is generally not considered necessary. However, analytical procedures should be developed for those potential impurities that are expected to be unusually potent, producing toxic or pharmacological effects at a level not more than (\leq) the identification threshold. All impurities should be qualified as described later in this guideline.

B. INORGANIC IMPURITIES

Inorganic impurities are normally detected and quantified using pharmacopoeial or other appropriate procedures. Carryover of catalysts to the new drug substance should be evaluated during development. The need for inclusion or exclusion of inorganic impurities in the new drug substance specification should be discussed. Acceptance criteria should be based on pharmacopoeial standards or known safety data.

C. SOLVENTS

The control of residues of the solvents used in the manufacturing process for the new drug substance should be discussed and presented according to the ICH Q3C *Guideline for Residual Solvents*.

IV. ANALYTICAL PROCEDURES

The registration application should include documented evidence that the analytical procedures are validated and suitable for the detection and quantification of impurities (see ICH Q2A and Q2B guidelines for analytical validation). Technical factors (e.g., manufacturing capability and control methodology) can be considered as part of the justification for selection of alternative thresholds based on manufacturing experience with the proposed commercial process. The use of two decimal places for thresholds (see Attachment 1) does not necessarily reflect the precision of the analytical procedure used for routine quality control purposes. Thus, the use of lower precision techniques (e.g., thin-layer chromatography) can be acceptable where justified and appropriately validated. Differences in the analytical procedures used during development and those proposed for the commercial product should be discussed in the registration application.

The quantitation limit for the analytical procedure should be not more than (\leq) the reporting threshold.

Organic impurity levels can be measured by a variety of techniques, including those that compare an analytical response for an impurity to that of an appropriate reference standard or to the response of the new drug substance itself. Reference standards used in the analytical procedures for control of impurities should be evaluated and characterized

according to their intended uses. The drug substance can be used as a standard to estimate the levels of impurities. In cases where the response factors of the drug substance and the relevant impurity are not close, this practice can still be appropriate, provided a correction factor is applied or the impurities are, in fact, being overestimated. Acceptance criteria and analytical procedures used to estimate identified or unidentified impurities can be based on analytical assumptions (e.g., equivalent detector response). These assumptions should be discussed in the registration application.

V. REPORTING IMPURITY CONTENT OF BATCHES

Analytical results should be provided in the application for all batches of the new drug substance used for clinical, safety, and stability testing, as well as for batches representative of the proposed commercial process. Quantitative results should be presented numerically and not in general terms such as “complies,” “meets limit,” etc. Any impurity at a level greater than (>) the reporting threshold (see Attachment 1) and total impurities observed in these batches of the new drug substance should be reported with the analytical procedures indicated. Below 1.0%, the results should be reported to two decimal places (e.g., 0.06%, 0.13%); at and above 1.0%, the results should be reported to one decimal place (e.g., 1.3%). Results should be rounded using conventional rules (see Attachment 2). A tabulation (e.g., spreadsheet) of the data is recommended. Impurities should be designated by code number or by an appropriate descriptor, for example, retention time. If a higher reporting threshold is proposed, it should be fully justified. All impurities at a level greater than (>) the reporting threshold should be summed and reported as total impurities.

When analytical procedures change during development, reported results should be linked to the procedure used, with appropriate validation information provided. Representative chromatograms should be provided. Chromatograms of representative batches from analytical validation studies showing separation and detectability of impurities (e.g., on spiked samples), along with any other impurity tests routinely performed, can serve as the representative impurity profiles. The applicant should ensure that complete impurity profiles (e.g., chromatograms) of individual batches are available, if requested.

A tabulation should be provided that links the specific new drug substance batch to each safety study and each clinical study in which the new drug substance has been used.

For each batch of the new drug substance, the report should include

- Batch identity and size
- Date of manufacture
- Site of manufacture
- Manufacturing process
- Impurity content, individual and total
- Use of batches
- Reference to analytical procedure used

VI. LISTING OF IMPURITIES IN SPECIFICATIONS

The specification for a new drug substance should include a list of impurities. Stability studies, chemical development studies, and routine batch analyses can be used to predict those impurities likely to occur in the commercial product. The selection of impurities in the new drug substance specification should be based on the impurities found in batches manufactured by the proposed commercial process. Those individual impurities with specific acceptance criteria included in the specification for the new drug substance are referred to as “specified impurities” in this guideline. Specified impurities can be identified or unidentified.

A rationale for the inclusion or exclusion of impurities in the specification should be presented. This rationale should include a discussion of the impurity profiles observed in the safety and clinical development batches, together with a consideration of the impurity profile of batches manufactured by the proposed commercial process. Specified identified impurities should be included along with specified unidentified impurities estimated to be present at a level greater than (>) the identification threshold given in Attachment 1. For impurities known to be unusually potent or to produce toxic or unexpected pharmacological effects, the quantitation/detection limit of the analytical procedures should be commensurate with the level at which the impurities should be controlled. For unidentified impurities, the procedure used and assumptions made in establishing the level of the impurity should be clearly stated. Specified, unidentified impurities should be referred to by an appropriate qualitative analytical descriptive label (e.g., “unidentified A,” “unidentified with relative retention of 0.9”). A general acceptance criterion of not more than (\leq) the identification threshold (Attachment 1) for any unspecified impurity and an acceptance criterion for total impurities should be included.

Acceptance criteria should be set no higher than the level that can be justified by safety data and should be consistent with the level achievable by the manufacturing process and the analytical capability. Where there is no safety concern, impurity acceptance criteria should be based on data generated on batches of the new drug substance manufactured by the proposed commercial process, allowing sufficient latitude to deal with normal manufacturing and analytical variation and the stability characteristics of the new drug substance. Although normal manufacturing variations are expected, significant variation in batch-to-batch impurity levels can indicate that the manufacturing process of the new drug substance is not adequately controlled and validated (see ICH Q6A guideline on specifications, Decision Tree No. 1, for establishing an acceptance criterion for a specified impurity in a new drug substance). The use of two decimal places for thresholds (see Attachment 1) does not necessarily indicate the precision of the acceptance criteria for specified impurities and total impurities.

In summary, the new drug substance specification should include, where applicable, the following list of impurities:

Organic Impurities

- Each specified identified impurity
- Each specified unidentified impurity
- Any unspecified impurity with an acceptance criterion of not more than (\leq) the identification threshold
- Total impurities

Residual Solvents

Inorganic Impurities

VII. QUALIFICATION OF IMPURITIES

Qualification is the process of acquiring and evaluating data that establishes the biological safety of an individual impurity or a given impurity profile at the level(s) specified. The applicant should provide a rationale for establishing impurity acceptance criterion that includes safety considerations. The level of any impurity present in a new drug substance that has been adequately tested in safety and/or clinical studies would be considered qualified. Impurities that are also significant metabolites present in animal and/or human studies are generally considered qualified. A level of a qualified impurity higher than that present in a new drug substance can also be justified based on an analysis of the actual amount of impurity administered in previous relevant safety studies.

If data are unavailable to qualify the proposed acceptance criterion of an impurity, studies to obtain such data can be appropriate when the usual qualification thresholds given in Attachment 1 are exceeded.

Higher or lower thresholds for qualification of impurities can be appropriate for some individual drugs based on scientific rationale and level of concern, including drug class effects and clinical experience. For example, qualification can be especially important when there is evidence that such impurities in certain drugs or therapeutic classes have previously been associated with adverse reactions in patients. In these instances, a lower qualification threshold can be appropriate. Conversely, a higher qualification threshold can be appropriate for individual drugs when the level of concern for safety is less than usual based on similar considerations (e.g., patient population, drug class effects, clinical considerations). Proposals for alternative thresholds would be considered on a case-by-case basis.

The “Decision Tree for Identification and Qualification” (Attachment 3) describes considerations for the qualification of impurities when thresholds are exceeded. In some cases, decreasing the level of impurity to not more than the threshold can be simpler than providing safety data. Alternatively, adequate data could be available in the scientific literature to qualify an impurity. If neither is the case, additional safety testing should be considered. The studies considered appropriate to qualify an impurity will depend on a number of factors, including the patient population, daily dose, and route and duration of drug administration. Such studies can be conducted on the new drug substance containing the impurities to be controlled, although studies using isolated impurities can sometimes be appropriate.

Although this guideline is not intended to apply during the clinical research stage of development, in the later stages of development the thresholds in this guideline can be useful in evaluating new impurities observed in drug substance batches prepared by the proposed commercial process. Any new impurity observed in later stages of development should be identified if its level is greater than (>) the identification threshold given in Attachment 1 (see the “Decision Tree for Identification and Qualification” in Attachment 3). Similarly, the qualification of the impurity should be considered if its level is greater than (>) the qualification threshold given in Attachment 1. Safety assessment studies to qualify an impurity should compare the new drug substance containing a representative amount of the new impurity with previously qualified material. Safety assessment studies using a sample of the isolated impurity can also be considered.

GLOSSARY

Chemical Development Studies: Studies conducted to scale up, optimize, and validate the manufacturing process for a new drug substance.

Enantiomeric Impurity: A compound with the same molecular formula as the drug substance that differs in the spatial arrangement of atoms within the molecule and is a nonsuperimposable mirror image.

Extraneous Contaminant: An impurity arising from any source extraneous to the manufacturing process.

Herbal Products: Medicinal products containing, exclusively, plant material and/or vegetable drug preparations as active ingredients. In some traditions, materials of inorganic or animal origin can also be present.

Identified Impurity: An impurity for which a structural characterization has been achieved.

Identification Threshold: A limit above (>) which an impurity should be identified.

Impurity: Any component of the new drug substance that is not the chemical entity defined as the new drug substance.

Impurity Profile: A description of the identified and unidentified impurities present in a new drug substance.

Intermediate: A material produced during steps of the synthesis of a new drug substance that undergoes further chemical transformation before it becomes a new drug substance.

Ligand: An agent with a strong affinity to a metal ion.

New Drug Substance: The designated therapeutic moiety that has not been previously registered in a region or member state (also referred to as a new molecular entity or new chemical entity). It can be a complex, simple ester, or salt of a previously approved drug substance.

Polymorphic Forms: Different crystalline forms of the same drug substance. These can include solvation

or hydration products (also known as pseudopolymorphs) and amorphous forms.

Potential Impurity: An impurity that theoretically can arise during manufacture or storage. It may or may not actually appear in the new drug substance.

Qualification: The process of acquiring and evaluating data that establishes the biological safety of an individual impurity or a given impurity profile at the level(s) specified.

Qualification Threshold: A limit above (>) which an impurity should be qualified.

Reagent: A substance other than a starting material, intermediate, or solvent that is used in the manufacture of a new drug substance.

Reporting Threshold: A limit above (>) which an impurity should be reported. Reporting threshold is the same as reporting level in Q2B.

Solvent: An inorganic or an organic liquid used as a vehicle for the preparation of solutions or suspensions in the synthesis of a new drug substance.

Specified Impurity: An impurity that is individually listed and limited with a specific acceptance criterion in the new drug substance specification. A specified impurity can be either identified or unidentified.

Starting Material: A material used in the synthesis of a new drug substance that is incorporated as an element into the structure of an intermediate and/or of the new drug substance. Starting materials are normally commercially available and of defined chemical and physical properties and structure.

Unidentified Impurity: An impurity for which a structural characterization has not been achieved and that is defined solely by qualitative analytical properties (e.g., chromatographic retention time).

Unspecified Impurity: An impurity that is limited by a general acceptance criterion, but not individually listed with its own specific acceptance criterion, in the new drug substance specification.

ATTACHMENT 1: THRESHOLDS

Maximum	Reporting	Identification	Qualification
Daily Dose ^a	Threshold ^{b,c}	Threshold ^c	Threshold ^c
≤2 g/day	0.05%	0.10% or 1.0 mg/day intake (whichever is lower)	0.15% or 1.0 mg/day intake (whichever is lower)
>2 g/day	0.03%	0.05%	0.05%

^a The amount of drug substance administered per day.

^b Higher reporting thresholds should be scientifically justified.

^c Lower thresholds can be appropriate if the impurity is unusually toxic.

ATTACHMENT 2: ILLUSTRATION OF REPORTING IMPURITY RESULTS FOR IDENTIFICATION AND QUALIFICATION IN AN APPLICATION

The attachment is only illustrative and is not intended to serve as template for how results on impurities should be presented in an application file. Normally raw data are not presented.

Example 1: 0.5 g Maximum Daily Dose

Reporting threshold=0.05%

Identification threshold=0.10%

Qualification threshold=0.15%

"Raw" Result (%)	Reported Result (%) Reporting Threshold=0.05%	Calculated Total Daily Intake (TDI) (mg) of the Impurity (Rounded Result in mg)	Action	
			Identification (Threshold 0.10% Exceeded?)	Qualification (Threshold 0.15% Exceeded?)
0.044	Not reported	0.2	None	None
0.0963	0.10	0.5	None	None
0.12	0.12 ^a	0.6	Yes	None ^a
0.1649	0.16 ^a	0.8	Yes	Yes ^a

Example 2: 0.8 g Maximum Daily Dose

Reporting threshold=0.05%

Identification threshold=0.10%

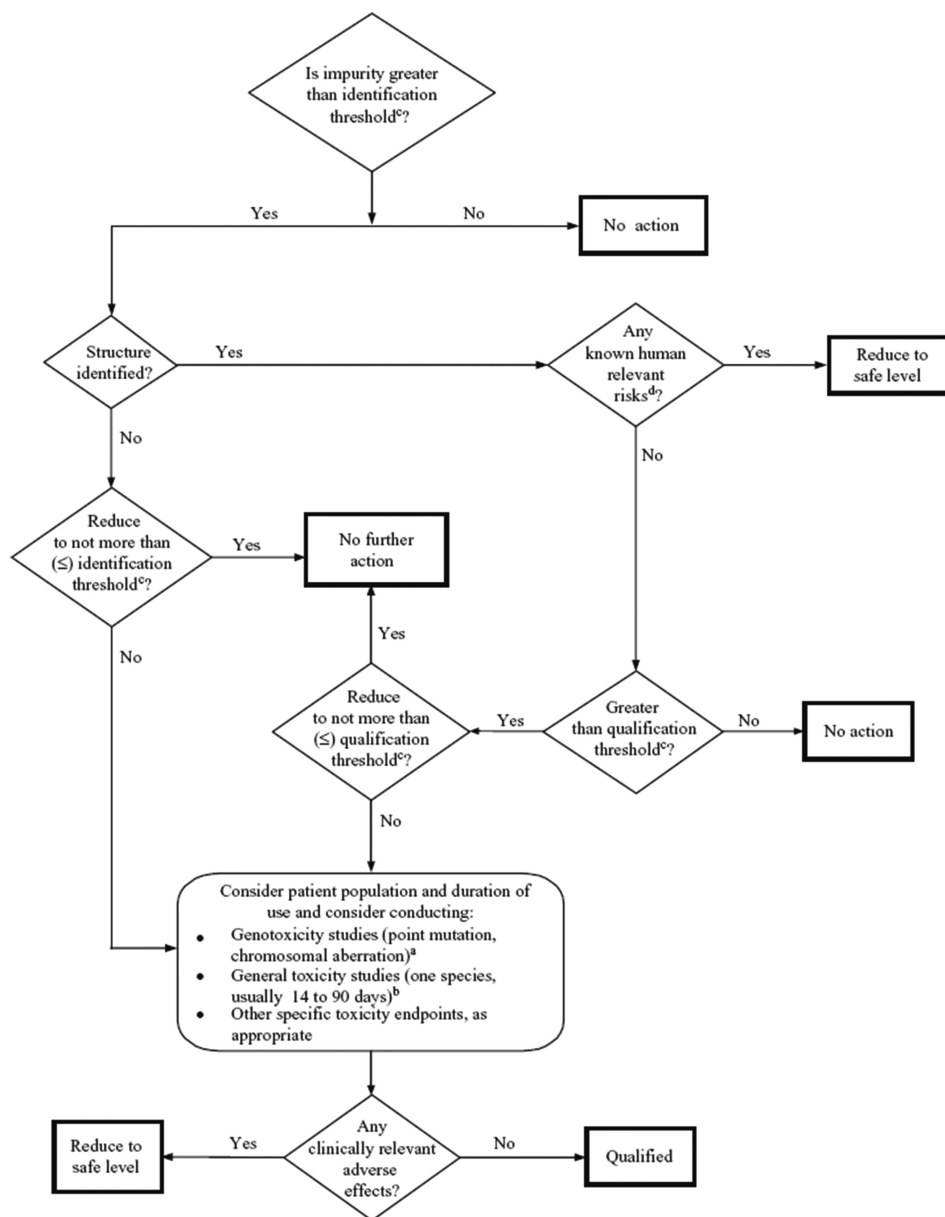
Qualification threshold=1.0 mg TDI

"Raw" Result (%)	Reported Result (%) Reporting Threshold=0.05%	Calculated Total Daily Intake (TDI) (mg) of the Impurity (Rounded Result in mg)	Action	
			Identification (Threshold 0.10% Exceeded?)	Qualification (Threshold 1.0 mg TDI Exceeded?)
0.066	0.07	0.6	None	None
0.124	0.12	1.0	Yes	None ^{a,b}
0.143	0.14	1.1	Yes	Yes ^a

^a After identification, if the response factor is determined to differ significantly from the original assumptions, it may be appropriate to remeasure the actual amount of the impurity present and reevaluate against the qualification threshold (see Attachment 1).

^b To verify if a threshold is exceeded, a reported result has to be evaluated against the thresholds as follows: When the threshold is described in %, the reported result rounded to the same decimal place as the threshold should be compared directly to the threshold. When the threshold is described in TDI, the reported result should be converted to TDI, rounded to the same decimal place as the threshold, and compared to the threshold. For example, the amount of impurity at 0.12% level corresponds to a TDI of 0.96 mg (absolute amount), which is then rounded up to 1.0 mg; so the qualification threshold expressed in TDI (1.0 mg) is not exceeded.

ATTACHMENT 3: DECISION TREE FOR IDENTIFICATION AND QUALIFICATION



^a If considered desirable, a minimum screen (e.g., genotoxic potential) should be conducted. A study to detect point mutations and to detect chromosomal aberrations, both in vitro, is considered an appropriate minimum screen.

^b If general toxicity studies are desirable, one or more studies should be designed to allow comparison of unqualified to qualified material. The study duration should be based on available relevant information and performed in the species most likely to maximize the potential to detect the toxicity of an impurity. On a case-by-case basis, single-dose studies can be appropriate, especially for single-dose drugs. In general, a minimum duration of 14 days and a maximum duration of 90 days would be considered appropriate.

^c Lower thresholds can be appropriate if the impurity is unusually toxic.

^d For example, do known safety data for this impurity or its structural class preclude human exposure at the concentration present?

13 Impurities in New Drug Products

I. INTRODUCTION

A. OBJECTIVE OF THE GUIDELINE

This document provides guidance for registration applications on the content and qualification of impurities in new drug products produced from chemically synthesized new drug substances not previously registered in a region or member state.

B. BACKGROUND

This guideline is complementary to the ICH Q3A(R) guideline *Impurities in New Drug Substances*, which should be consulted for basic principles. The ICH Q3C guideline *Residual Solvents* should also be consulted, if appropriate.

C. SCOPE OF THE GUIDELINE

This guideline addresses only those impurities in new drug products classified as degradation products of the drug substance or reaction products of the drug substance with an excipient and/or immediate container closure system (collectively referred to as “degradation products” in this guideline). Generally, impurities present in the new drug substance need not be monitored or specified in the new drug product unless they are also degradation products (see ICH Q6A guideline on specifications).

Impurities arising from excipients present in the new drug product or extracted or leached from the container closure system are not covered by this guideline. This guideline also does not apply to new drug products used during the clinical research stages of development. The following types of products are not covered in this guideline: Biological/biotechnological products, peptides, oligonucleotides, radiopharmaceuticals, fermentation products and semisynthetic products derived therefrom, herbal products, and crude products of animal or plant origin. Also excluded from this document are (1) extraneous contaminants that should not occur in new drug products and are more appropriately addressed as good manufacturing practice (GMP) issues, (2) polymorphic forms, and (3) enantiomeric impurities.

II. RATIONALE FOR THE REPORTING AND CONTROL OF DEGRADATION PRODUCTS

The applicant should summarize the degradation products observed during manufacture and/or stability studies of the new drug product. This summary should be based on sound scientific appraisal of potential degradation pathways in the new drug product and impurities arising from the interaction

with excipients and/or the immediate container closure system. In addition, the applicant should summarize any laboratory studies conducted to detect degradation products in the new drug product. This summary should also include test results of batches manufactured during the development process and batches representative of the proposed commercial process. A rationale should be provided for exclusion of those impurities that are not degradation products (e.g., process impurities from the drug substance and impurities arising from excipients). The impurity profiles of the batches representative of the proposed commercial process should be compared with the profiles of batches used in development and any differences discussed.

Any degradation product observed in stability studies conducted at the recommended storage condition should be identified when present at a level greater than ($>$) the identification thresholds given in Attachment 1. When identification of a degradation product is not feasible, a summary of the laboratory studies demonstrating the unsuccessful efforts to identify it should be included in the registration application.

Degradation products present at a level of not more than (\leq) the identification threshold generally would not need to be identified. However, analytical procedures should be developed for those degradation products that are suspected to be unusually potent, producing toxic or significant pharmacological effects at levels not more than (\leq) the identification threshold. In unusual circumstances, technical factors (e.g., manufacturing capability, a low drug substance to excipient ratio, or the use of excipients that are crude products of animal or plant origin) can be considered as part of the justification for selection of alternative thresholds based upon manufacturing experience with the proposed commercial process.

III. ANALYTICAL PROCEDURES

The registration application should include documented evidence that the analytical procedures have been validated and are suitable for the detection and quantitation of degradation products (see ICH Q2A and Q2B guidelines on analytical validation). In particular, analytical procedures should be validated to demonstrate specificity for the specified and unspecified degradation products. As appropriate, this validation should include samples stored under relevant stress conditions: Light, heat, humidity, acid/base hydrolysis, and oxidation. When an analytical procedure reveals the presence of other peaks in addition to those of the degradation products (e.g., the drug substance, impurities arising from the synthesis of the drug substance, excipients and impurities arising from the excipients), these peaks should be labeled in the chromatograms and their origin(s) discussed in the validation documentation.

The quantitation limit for the analytical procedure should be not more than (\leq) the reporting threshold.

Degradation product levels can be measured by a variety of techniques, including those that compare an analytical response for a degradation product to that of an appropriate reference standard or to the response of the new drug substance itself. Reference standards used in the analytical procedures for control of degradation products should be evaluated and characterized according to their intended uses. The drug substance can be used to estimate the levels of degradation products. In cases where the response factors are not close, this practice can still be used if a correction factor is applied or the degradation products are, in fact, being overestimated. Acceptance criteria and analytical procedures, used to estimate identified or unidentified degradation products, are often based on analytical assumptions (e.g., equivalent detector response). These assumptions should be discussed in the registration application.

Differences between the analytical procedures used during development and those proposed for the commercial product should also be discussed.

IV. REPORTING DEGRADATION PRODUCTS CONTENT OF BATCHES

Analytical results should be provided in the registration application for all relevant batches of the new drug product used for clinical, safety, and stability testing, as well as batches that are representative of the proposed commercial process. Quantitative results should be presented numerically and not in general terms such as “complies,” “meets limit,” etc. Any degradation product at a level greater than ($>$) the reporting threshold (see Attachment 1), and total degradation products observed in the relevant batches of the new drug product, should be reported with the analytical procedures indicated. Below 1.0%, the results should be reported to the number of decimal places (e.g., 0.06%) in the applicable reporting threshold; at and above 1.0%, the results should be reported to one decimal place (e.g., 1.3%). Results should be rounded using conventional rules (see Attachment 2). A tabulation (e.g., spreadsheet) of the data is recommended. Degradation products should be designated by code number or by an appropriate descriptor, for example, retention time. If a higher reporting threshold is proposed, it should be fully justified. All degradation products at a level greater than ($>$) the reporting threshold should be summed and reported as total degradation products.

Chromatograms with peaks labeled (or equivalent data if other analytical procedures are used) from representative batches, including chromatograms from analytical procedure validation studies and from long-term and accelerated stability studies, should be provided. The applicant should ensure that complete degradation product profiles (e.g., chromatograms) of individual batches are available, if requested.

For each batch of the new drug product described in the registration application, the documentation should include

- Batch identity, strength, and size
- Date of manufacture
- Site of manufacture
- Manufacturing process
- Immediate container closure
- Degradation product content, individual and total
- Use of batch (e.g., clinical studies, stability studies)
- Reference to analytical procedure used
- Batch number of the drug substance used in the new drug product
- Storage conditions for stability studies

V. LISTING OF DEGRADATION PRODUCTS IN SPECIFICATIONS

The specification for a new drug product should include a list of degradation products expected to occur during manufacture of the commercial product and under recommended storage conditions. Stability studies, knowledge of degradation pathways, product development studies, and laboratory studies should be used to characterize the degradation profile. The selection of degradation products in the new drug product specification should be based on the degradation products found in batches manufactured by the proposed commercial process. Those individual degradation products with specific acceptance criteria included in the specification for the new drug product are referred to as “specified degradation products” in this guideline. Specified degradation products can be identified or unidentified. A rationale for the inclusion or exclusion of degradation products in the specification should be presented. This rationale should include a discussion of the degradation profiles observed in the safety and clinical development batches and in stability studies, together with a consideration of the degradation profile of batches manufactured by the proposed commercial process. Specified identified degradation products should be included along with specified unidentified degradation products estimated to be present at a level greater than ($>$) the identification threshold given in Attachment 1. For degradation products known to be unusually potent or to produce toxic or unexpected pharmacological effects, the quantitation/detection limit of the analytical procedures should be commensurate with the level at which the degradation products should be controlled. For unidentified degradation products, the procedure used and assumptions made in establishing the level of the degradation product should be clearly stated. Specified unidentified degradation products should be referred to by an appropriate qualitative analytical descriptive label (e.g., “unidentified A,” “unidentified with relative retention of 0.9”). A general acceptance criterion of not more than (\leq) the identification threshold (Attachment 1) for any unspecified degradation product and an acceptance criterion for total degradation products should also be included.

For a given degradation product, its acceptance criterion should be established by taking into account its acceptance criterion in the drug substance (if applicable), its qualified

level, its increase during stability studies, and the proposed shelf life and recommended storage conditions for the new drug product. Furthermore, each acceptance criterion should be set no higher than the qualified level of the given degradation product.

Where there is no safety concern, degradation product acceptance criteria should be based on data generated from batches of the new drug product manufactured by the proposed commercial process, allowing sufficient latitude to deal with normal manufacturing and analytical variation and the stability characteristics of the new drug product. Although normal manufacturing variations are expected, significant variation in batch-to-batch degradation product levels can indicate that the manufacturing process of the new drug product is not adequately controlled and validated (see ICH Q6A guideline on specifications, Decision Tree No. 2, for establishing an acceptance criterion for a specified degradation product in a new drug product).

In this guideline, the use of two decimal places for thresholds (see Attachment 1) does not necessarily indicate the precision of the acceptance criteria for specified degradation products and total degradation products.

In summary, the new drug product specification should include, where applicable, the following list of degradation products:

- Each specified identified degradation product
- Each specified unidentified degradation product
- Any unspecified degradation product with an acceptance criterion of not more than (\leq) the identification threshold
- Total degradation products

VI. QUALIFICATION OF DEGRADATION PRODUCTS

Qualification is the process of acquiring and evaluating data that establishes the biological safety of an individual degradation product or a given degradation profile at the level(s) specified. The applicant should provide a rationale for establishing degradation product acceptance criteria that includes safety considerations. The level of any degradation product present in a new drug product that has been adequately tested in safety and/or clinical studies would be considered qualified. Therefore, it is useful to include any available information on the actual content of degradation products in the relevant batches at the time of use in safety and/or clinical studies. Degradation products that are also significant metabolites present in animal and/or human studies are generally considered qualified. Degradation products could be considered qualified at levels higher than those administered in safety studies based on a comparison between actual doses given in the safety studies and the intended dose of the new drug product. Justification of such higher levels should include consideration of factors such as (1) the amount of degradation product administered in previous safety and/or clinical

studies and found to be safe, (2) the increase in the amount of the degradation product, and (3) other safety factors, as appropriate.

If the qualification thresholds given in Attachment 1 are exceeded and data are unavailable to qualify the proposed acceptance criterion of a degradation product, additional studies to obtain such data can be appropriate (see Attachment 3).

Higher or lower thresholds for qualification of degradation products can be appropriate for some individual new drug products based on scientific rationale and level of concern, including drug class effects and clinical experience. For example, qualification can be especially important when there is evidence that such degradation products in certain new drug products or therapeutic classes have previously been associated with adverse reactions in patients. In these instances, a lower qualification threshold can be appropriate. Conversely, a higher qualification threshold can be appropriate for individual new drug products when the level of concern for safety is less than usual based on similar considerations (e.g., patient population, drug class effects, and clinical considerations). Proposals for alternative thresholds would be considered on a case-by-case basis.

The “Decision Tree for Identification and Qualification of a Degradation Product” (Attachment 3) describes considerations for the qualification of degradation products when thresholds are exceeded. In some cases, reducing the level of degradation product (e.g., use of a more protective container closure or modified storage conditions) to not more than (\leq) the threshold can be simpler than providing safety data. Alternatively, adequate data could be available in the scientific literature to qualify a degradation product. If neither is the case, additional safety testing should be considered. The studies considered appropriate to qualify a degradation product will depend on a number of factors, including the patient population, daily dose, and route and duration of new drug product administration. Such studies can be conducted on the new drug product or substance containing the degradation products to be controlled, although studies using isolated degradation products can sometimes be appropriate.

Although this guideline is not intended to apply during the clinical research stage of development, in the later stages of development the thresholds in this guideline can be useful in evaluating new degradation products observed in new drug product batches prepared by the proposed commercial process. Any new degradation product observed in later stages of development should be identified (see the “Decision Tree for Identification and Qualification of a Degradation Product” in Attachment 3) if its level is greater than ($>$) the identification threshold given in Attachment 1. Similarly, qualification of the degradation product should be considered if its level is greater than ($>$) the qualification threshold given in Attachment 1.

Safety studies should provide a comparison of results of safety testing of the new drug product or drug substance containing a representative level of the degradation product with previously qualified material, although studies using the isolated degradation products can also be considered.

GLOSSARY

Degradation Product: An impurity resulting from a chemical change in the drug substance brought about during manufacture and/or storage of the new drug product by the effect of, for example, light, temperature, pH, water, or by reaction with an excipient and/or the immediate container closure system.

Degradation Profile: A description of the degradation products observed in the drug substance or drug product.

Development Studies: Studies conducted to scale up, optimize, and validate the manufacturing process for a drug product.

Identification Threshold: A limit above (>) which a degradation product should be identified.

Identified Degradation Product: A degradation product for which a structural characterization has been achieved.

Impurity: Any component of the new drug product that is not the drug substance or an excipient in the drug product.

Impurity Profile: A description of the identified and unidentified impurities present in a drug product.

New Drug Substance: The designated therapeutic moiety that has not been previously registered in a region or member state (also referred to as a new molecular entity or new chemical entity). It can be a complex, simple ester, or salt of a previously approved substance.

Qualification: The process of acquiring and evaluating data that establishes the biological safety of an individual degradation product or a given degradation profile at the level(s) specified.

Qualification Threshold: A limit above (>) which a degradation product should be qualified.

Reporting Threshold: A limit above (>) which a degradation product should be reported.

Specified Degradation Product: A degradation product that is individually listed and limited with a specific acceptance criterion in the new drug product specification. A specified degradation product can be either identified or unidentified.

Unidentified Degradation Product: A degradation product for which a structural characterization has not been achieved and that is defined solely by qualitative analytical properties (e.g., chromatographic retention time).

Unspecified Degradation Product: A degradation product that is limited by a general acceptance criterion, but not individually listed with its own specific acceptance criterion, in the new drug product specification.

ATTACHMENT 1: THRESHOLDS FOR DEGRADATION PRODUCTS IN NEW DRUG PRODUCTS

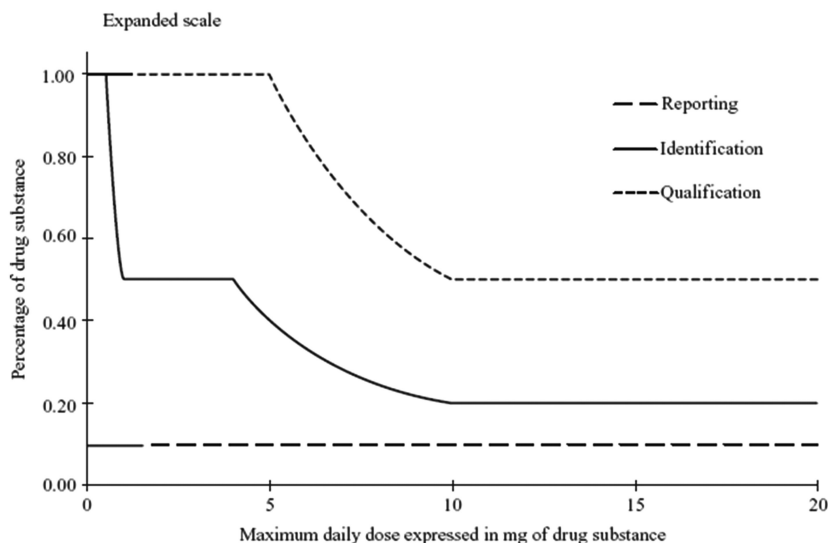
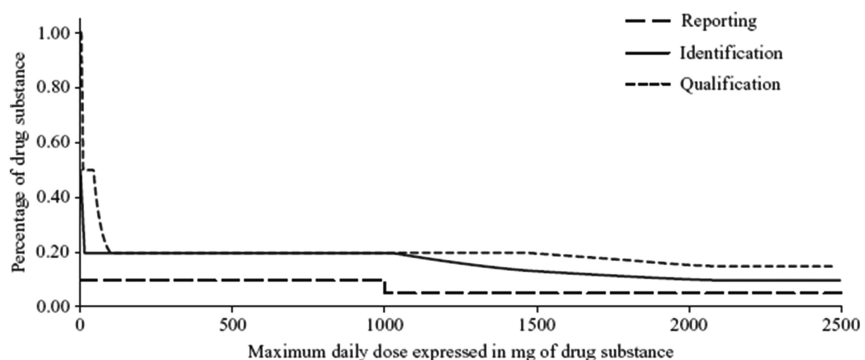
Maximum Daily Dose ^a	Threshold ^{b,c}
Reporting thresholds	
≤1 g	0.1%
>1 g	0.05%
Identification thresholds	
<1 mg	1.0% or 5 µg TDI, whichever is lower
1 mg–10 mg	0.5% or 20 µg TDI, whichever is lower
>10 mg–2 g	0.2% or 2 mg TDI, whichever is lower
>2 g	0.10%
Qualification thresholds	
<10 mg	1.0% or 50 µg TDI, whichever is lower
10 mg–100 mg	0.5% or 200 µg TDI, whichever is lower
>100 mg–2 g	0.2% or 3 mg TDI, whichever is lower
>2 g	0.15%

^a The amount of drug substance administered per day.

^b Thresholds for degradation products are expressed either as a percentage of the drug substance or as total daily intake (TDI) of the degradation product. Lower thresholds can be appropriate if the degradation product is unusually toxic.

^c Higher thresholds should be scientifically justified.

Illustration of Thresholds for Reporting, Identification, and Qualification of Degradation Products in New Drug Products as a Function of Maximum Daily Dose^a



^a Note: Actual threshold values should be taken from the preceding table in this attachment.

ATTACHMENT 2: ILLUSTRATION OF REPORTING DEGRADATION PRODUCT RESULTS FOR IDENTIFICATION AND QUALIFICATION IN AN APPLICATION

The attachment is only illustrative and is not intended to serve as a template of how results on degradation products should be presented in an application file. Normally raw data are not provided.

Example 1: 50 mg Maximum Daily Dose

Reporting threshold: 0.1%
 Identification threshold: 0.2%
 Qualification threshold: 200 µg

"Raw" Result (%)	Reported Result (%) Reporting Threshold=0.05%	Total Daily Intake (TDI) of the Degradation Product (Rounded Result in µg)	Identification Threshold 0.2% Exceeded?	Action Qualification Threshold 200 µg TDI Exceeded?
0.04	Not reported	20	None	None
0.2143	0.2	100	None	None
0.349	0.3 ^a	150	Yes	None ^a
0.550	0.6 ^a	300	Yes	Yes ^a

Example 2:1.9 g Maximum Daily Dose

Reporting threshold: 0.05%

Identification threshold: 2 mg

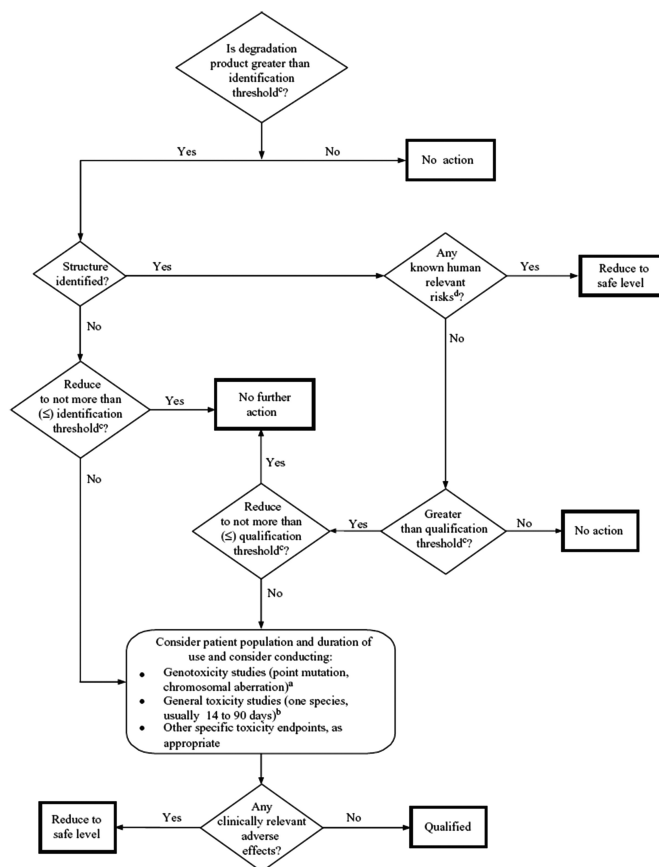
Qualification threshold: 3 mg

"Raw" Result (%)	Reported Result (%) Reporting Threshold=0.05%	Total Daily Intake (TDI) of the Degradation Product (Rounded Result in mg)	Identification Threshold 2 mg TDI Exceeded?	Action Qualification Threshold 3 mg TDI Exceeded?
0.049	Not reported	1	None	None
0.079	0.08	2	None	None
0.183	0.18 ^a	3	Yes	None ^{a,b}
0.192	0.19 ^a	4	Yes	Yes ^a

^a After identification, if the response factor is determined to differ significantly from the original assumptions, it can be appropriate to remeasure the actual amount of the degradation product present and reevaluate against the qualification threshold (see Attachment 1).

^b To verify if a threshold is exceeded, a reported result has to be evaluated against the thresholds as follows: When the threshold is described in %, the reported result rounded to the same decimal place as the threshold should be compared directly to the threshold. When the threshold is described in TDI, the reported result should be converted to TDI, rounded to the same decimal place as the threshold, and compared to the threshold, for example, an amount of 0.18% degradation level corresponds to a TDI of 3.4 mg impurity (absolute amount), which is then rounded down to 3 mg; so the qualification threshold expressed in TDI (3 mg) is not exceeded.

ATTACHMENT 3: DECISION TREE FOR IDENTIFICATION AND QUALIFICATION OF A DEGRADATION PRODUCT



^a If considered desirable, a minimum screen (e.g., genotoxic potential) should be conducted. A study to detect point mutations and to detect chromosomal aberrations, both in vitro, is considered an appropriate minimum screen.

- ^b If general toxicity studies are desirable, one or more studies should be designed to allow comparison of unqualified to qualified material. The study duration should be based on available relevant information and performed in the species most likely to maximize the potential to detect the toxicity of a degradation product. On a case-by-case basis, single-dose studies can be appropriate, especially for single-dose drugs. In general, a minimum duration of 14 days and a maximum duration of 90 days would be considered appropriate.
- ^c Lower thresholds can be appropriate if the degradation product is unusually toxic.
- ^d For example, do known safety data for this degradation product or its structural class preclude human exposure at the concentration present?



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14 Formulation Factors in Semisolid Dosage Forms

The subjects covered here are generally applicable to all forms of topical drug products, including those that are intended to be sterile. The topics given below address several problem areas that may be encountered in the production of semisolid drug products (including transdermal products) including their potency, active ingredient uniformity, physical characteristics, microbial purity, and chemical purity.

I. POTENCY UNIFORMITY

Active ingredient solubility and particle size are generally important ingredient characteristics that need to be controlled to ensure potency uniformity in many topical drug products such as emulsions, creams, and ointments. Crystalline form is also important where the active ingredient is dispersed as a solid phase in either the oil or water phase of an emulsion, cream, or ointment.

It is important that active ingredient solubility in the carrier vehicle be known and quantified at the manufacturing step in which the ingredient is added to the liquid phase. The development data should adequately demonstrate such solubility and its validation.

Substances that are very soluble, as is frequently the case with ointments, would be expected to present less of a problem than if the drug substance were to be suspended, as is the case with creams. If the drug substance is soluble, then potency uniformity would be based largely on adequate distribution of the component throughout the mix.

If the active ingredient is insoluble in the vehicle, then in addition to ensuring uniformity of distribution in the mix, potency uniformity depends on control of particle size and use of a validated mixing process. Particle size can also affect the activity of the drug substance because the smaller the particle size, the greater its surface area, which may influence its activity. Particle size also affects the degree to which the product may be physically irritating when applied; in general, smaller particles are less irritating.

Production controls should be implemented that account for the solubility characteristics of the drug substance; inadequate controls can adversely affect product potency, efficacy, and safety. For example, in one instance, residual water remaining in the manufacturing vessel, used to produce an ophthalmic ointment, resulted in partial solubilization and subsequent recrystallization of the drug substance; the substance recrystallized in a larger particle size than expected and thereby raised questions about the product efficacy.

In addition to ingredient solubility and particle size, other physical characteristics and specifications for both ingredients and finished products are important.

II. EQUIPMENT AND PRODUCTION CONTROL

A. MIXERS

There are many different kinds of mixers used in the manufacture of topical products. It is important that the design of a given mixer is appropriate for the type of topical product being mixed. One important aspect of mixer design is how well the internal walls of the mixer are scraped during the mixing process. This can present some problems with stainless steel mixers because scraper blades should be flexible enough to remove interior material, yet not rigid enough to damage the mixer itself. In general, good design of a stainless-steel mixer includes blades that are made of some hard plastic, such as Teflon®, which facilitates scrapping of the mixer walls without damaging the mixer.

If the internal walls of the mixer are not adequately scraped during mixing and the residual material becomes part of the batch, the result may be nonuniformity. Such nonuniformity may occur, for example, if operators use handheld spatulas to scrape the walls of the mixer.

Another mixer design concern is the presence of “dead spots” where quantities of the formula are stationary and not subject to mixing. Where such dead spots exist, there should be adequate procedures for recirculation or nonuse of the cream or ointment removed from the dead spots in the tank.

B. FILLING AND PACKAGING

Suspension products often require constant mixing of the bulk suspension during filling to maintain uniformity. When validating a suspension manufacturing process, determine how to ensure that the product remains homogeneous during the filling process and establish the data that support the adequacy of the firm’s process. When the batch size is large and the bulk suspension is in large tanks, determine how the low levels of bulk suspension near the end of the filling process are handled. If the bulk suspension drops below a level, can this be adequately mixed? This question must be answered. If the residual material is transferred to a smaller tank, how is the consistency in hand mixing assured? The adequacy of the process for dealing with residual material should be demonstrated.

C. PROCESS TEMPERATURE CONTROL

Typically, heat is applied in the manufacture of topical products to facilitate mixing or filling operations. Heat may also be generated by the action of high-energy mixers. It is important

to control the temperature within spec parameters, not only to facilitate those operations but also to ensure that product stability is not adversely affected. Excessive temperatures may cause physical or chemical degradation of the drug product, vehicle, active ingredient or ingredients, or preservatives. Furthermore, excessive temperatures may cause insoluble ingredients to dissolve, reprecipitate, or change particle size or crystalline form.

Temperature control is also important where microbial quality of the product is a concern. The processing of topical products at higher temperatures can destroy some of the objectionable microorganisms that may be present. However, elevated temperatures may also promote incubation of microorganisms.

Temperature uniformity within a mixer should be controlled. In addressing temperature uniformity, one should consider the complex interaction among vat size, mixer speed, blade design, viscosity of contents, and rate of heat transfer. Where temperature control is critical, use of recording thermometers to continuously monitor and document temperature measurements is preferred to frequent manual checks. Where temperature control is not critical, it may be adequate to manually monitor and document temperatures periodically by use of handheld thermometers.

III. CLEANING VALIDATION

It is current good manufacturing practice for a manufacturer to establish and follow written standard operating procedures to clean production equipment in a manner that precludes contamination of current and future batches. This is especially critical where contamination may present direct safety concerns, as with a potent drug such as a steroid (e.g., cortisone, and estrogen), antibiotic, or sulfa drug, where there are hypersensitivity concerns.

The insolubility of some excipients and active substance used in the manufacture of topical products makes some equipment, such as mixing vessels, pipes, and plastic hoses, difficult to clean. Often piping and transfer lines are inaccessible to direct physical cleaning. Some firms address this problem by dedicating lines and hoses to specific products or product classes.

It is therefore important that the following considerations be adequately addressed in a cleaning validation protocol and in the procedures that are established for production batches.

A. DETAILED CLEANING PROCEDURES

Cleaning procedures should be detailed and provide specific understandable instructions. The procedure should identify equipment, cleaning methods, solvents and detergents approved for use, inspection and release mechanisms, and documentation. For some of the more complex systems, such as clean-in-place systems, it is usually necessary both to provide a level of detail that includes drawings and to provide provision to label valves. The time that may elapse from completion of a manufacturing operation to initiation

of equipment cleaning should also be stated where excessive delay may affect the adequacy of the established cleaning procedure. For example, residual product may dry and become more difficult to clean.

B. SAMPLING PLAN FOR CONTAMINANTS

As part of the validation of the cleaning method, the cleaned surface is sampled for the presence of residues. Sampling should be made by an appropriate method, selected on the basis of factors such as equipment and solubility of residues. For example, representative swabbing of surfaces is often used, especially in areas that are hard to clean or where the residue is relatively insoluble. Analysis of rinse solutions for residues has also been shown to be of value where the residue is soluble or difficult to access for direct swabbing. Both methods are useful when there is a direct measurement of the residual substance. However, it is unacceptable to test rinse solutions (such as purified water) for conformance to the purity specifications for those solutions instead of testing directly for the presence of possible residues.

C. EQUIPMENT RESIDUE LIMITS

Because of improved technology, analytical methods are becoming much more sensitive and capable of determining very low levels of residues. Thus, it is important to establish appropriate limits on levels of post-equipment-cleaning residues. Such limits must be safe, practical, achievable, and verifiable and must ensure that residues remaining in the equipment will not cause the quality of subsequent batches to be altered beyond established product specifications. The rationale for residue limits should be established. Because surface residues will not be uniform, it should be recognized that a detected residue level may not represent the maximum amount that may be present. This is particularly true when surface sampling by swabs is performed on equipment.

IV. MICROBIOLOGICAL

A. CONTROLS (NONSTERILE TOPICALS)

The extent of microbiological controls needed for a given topical product will depend on the nature of the product, the use of the product, and the potential hazard to users posed by microbial contamination. This concept is reflected in the current good manufacturing practice regulations at 21 CFR 211.113(a) (Control of Microbiological Contamination) and in the U.S. Pharmacopeia (USP). It is therefore vital that manufacturers assess the health hazard of all organisms isolated from the product.

1. Deionized Water Systems for Purified Water

The microbiological control of deionized water systems used to produce purified water is important. Deionizers are usually excellent breeding areas for microorganisms. The microbial population tends to increase as the length of time between

deionizer service periods increases. Other factors that influence microbial growth include flow rates, temperature, surface area of resin beds, and, of course, the microbial quality of the feed water. These factors should be considered in assessing the suitability of deionizing systems where microbial integrity of the product incorporating the purified water is significant. There should be a suitable routine water monitoring program and a program of other controls as necessary.

It is not necessary to assess and monitor the suitability of a deionizer by relying solely on representations of the deionizer manufacturer. Specifically, product quality could be compromised if a deionizer is serviced at intervals based not on validation studies but, rather, on the “recharge” indicator built into the unit. Unfortunately, such indicators are not triggered by microbial population but, rather, are typically triggered by measures of electrical conductivity or resistance. If a unit is infrequently used, sufficient time could elapse between recharging and sanitizing to allow the microbial population to increase significantly.

Pre-use validation of deionizing systems used to produce purified water should include consideration of such factors as microbial quality of feed water (and residual chlorine levels of feed water where applicable), surface area of ion-exchange resin beds, temperature range of water during processing, operational range of flow rates, recirculation systems to minimize intermittent use and low flow, frequency of use, quality of regenerant chemicals, and frequency and method of sanitization.

A monitoring program used to control deionizing systems should include established water quality and conductivity monitoring intervals, measurement of conditions and quality at significant stages through the deionizer (influent, post-cation, post-anion, post-mixed bed, etc.), microbial conditions of the bed, and specific methods of microbial testing. Frequency of monitoring should be based on the firm’s experience with the systems.

Other methods of controlling deionizing systems include establishment of water-quality specifications and corresponding action levels, remedial action when microbial levels are exceeded, documentation of regeneration, and a description of sanitization and sterilization procedures for piping, filters, and so forth.

2. Microbiological Specifications and Test Methods

Microbiological specifications and microbial test methods for each topical product should be well-established to ensure that they are consistent with any described in the relevant application or USP. In general, product specifications should cover the total number of organisms permitted, as well as specific organisms that must not be present. These specifications must be based on use of specified sampling and analytical procedures. Where appropriate, the specifications should describe action levels where additional sampling or speciation of organisms is necessary.

Manufacturers must demonstrate that the test methods and specifications are appropriate for their intended purpose. Where possible, firms should use methods that isolate

and identify organisms that may present a hazard to the user under the intended use. It should be noted that the USP does not state methods that are specific for water-insoluble topical products.

One test deficiency to be aware of is inadequate dispersment of a cream or ointment on microbial test plates. Firms may claim to follow USP procedures, yet in actual practice they may not disperse product over the test plate, resulting in inhibited growth as a result of concentrated preservative in the non-dispersed inoculate. The spread technique is critical, and the firm should document that the personnel performing the technique have been adequately trained and are capable of performing the task. Validation of the spread-plate technique is particularly important when the product has a potential antimicrobial affect.

In assessing the significance of microbial contamination of a topical product, both the identification of the isolated organisms and the number of organisms found are significant. For example, the presence of a high number of organisms may indicate that the manufacturing process, component quality, or container integrity may be deficient. Although high numbers of nonpathogenic organisms may not pose a health hazard, they may affect product efficacy and physical/chemical stability. Inconsistent batch-to-batch microbial levels may indicate some process or control failure in the batch. The batch release evaluation should extend to both organism identification and numbers and, if limits are exceeded, there should be an investigation into the cause.

B. PRESERVATIVE ACTIVITY

Manufacturing controls necessary to maintain the anti-microbiological effectiveness of preservatives should be evaluated. For example, for those products that separate on standing, there should be data available that show the continued effectiveness of the preservative throughout the product’s shelf life.

For preservative-containing products, finished product testing must ensure that the specified level of preservative is present before release. In addition, preservative effectiveness must be monitored as part of the final ongoing stability program. This can be accomplished through analysis for the level of preservative previously shown to be effective or through appropriate microbiological challenge at testing intervals.

For concepts relating to sterility assurance and bioburden controls on the manufacture of sterile topicals, see the *Guideline on Sterile Drug Products Produced by Aseptic Processing*.

V. CHANGE CONTROL

As with other dosage forms, it is important to carefully control how changes are made in the production of topical products. The procedures should be able to support changes that represent departures from approved and validated manufacturing processes. There should be written change control procedures that have been reviewed and approved by the quality-control unit. The procedures should provide for full description of

the proposed change, the purpose of the change, and controls to ensure that the change will not adversely alter product safety and efficacy. Factors to consider include potency or bioactivity, uniformity, particle size (if the active ingredient is suspended), viscosity, chemical and physical stability, and microbiological quality.

Of particular concern are the effects that formulation and process changes may have on the therapeutic activity and uniformity of the product. For example, changes in vehicle can affect absorption, and processing changes can alter the solubility and microbiological quality of the product.

VI. TRANSDERMAL TOPICAL PRODUCTS

The manufacturing of topical transdermal products (patches) has many problems in scale-up and validation. Problems analogous to production of topical creams or ointments include uniformity of the drug substance and particle size in the bulk gel or ointment. Uniformity and particle size are particularly significant when the drug substance is suspended or partially suspended in the vehicle. Viscosity also needs control because it can affect the absorption of the drug; the dissolution test is important in this regard. Other areas that need special inspectional attention are assembly and packaging of the patch, including adhesion, package integrity (regarding pinholes), and controls to ensure that a dose is present in each unit.

Because of the many quality parameters that must be considered in the manufacture and control of a transdermal dosage form, scale-up may be considerably more difficult than for many other dosage forms. Therefore, special attention should be given to evaluating the adequacy of the process validation efforts. As with other dosage forms, process validation must be based on multiple lots, typically at least three consecutive successful batches. Summary data should be augmented by comparison with selected data contained in supporting batch records, particularly where the data appear unusually uniform or disparate. Given the complexities associated with this dosage form, the tolerances or variances may be broader than for other dosage forms. In addition, batches may not be entirely problem free. Nevertheless, there should be adequate rationale for the tolerances and production experiences, based on appropriate developmental efforts and investigation of problems.

A. GENERAL CONSIDERATIONS

In general, semisolid dosage forms are complex formulations having complex structural elements. Often they are composed of two phases (oil and water), one of which is a continuous (external) phase, and the other of which is a dispersed (internal) phase. The active ingredient is often dissolved in one phase, although occasionally the drug is not fully soluble in the system and is dispersed in one or both phases, thus creating a three-phase system. The physical properties of the dosage form depend on various factors, including the size of the dispersed particles, the interfacial tension between the phases, the partition coefficient of the active ingredient between the

phases, and the product rheology. These factors combine to determine the release characteristics of the drug as well as other characteristics, such as viscosity.

For a true solution, the order in which solutes are added to the solvent is usually unimportant. The same cannot be said for dispersed formulations, however, because depending on at which phase a particulate substance is added, dispersed matter can distribute differently. In a typical manufacturing process, the critical points are generally the initial separation of a one-phase system into two phases and the point at which the active ingredient is added. Because the solubility of each added ingredient is important for determining whether a mixture is visually a single homogeneous phase, such data, possibly supported by optical microscopy, should usually be available for review. This is particularly important for solutes added to the formulation at a concentration near or exceeding that of their solubility at any temperature to which the product may be exposed. Variations in the manufacturing procedure that occur after either of these events are likely to be critical to the characteristics of the finished product. This is especially true of any process intended to increase the degree of dispersion through reducing droplet or particle size (e.g., homogenization). Aging of the finished bulk formulation before packaging is critical and should be specifically addressed in process validation studies.

B. THE ROLE OF IN VITRO RELEASE TESTING

The key parameter for any drug product is its efficacy as demonstrated in controlled clinical trials. The time and expense associated with such trials make them unsuitable as routine quality control methods. Therefore, in vitro surrogate tests are often used to ensure that product quality and performance are maintained over time and in the presence of change. A variety of physical and chemical tests commonly performed on semisolid products and their components (e.g., solubility, particle size and crystalline form of the active component, viscosity, and homogeneity of the product) have historically provided reasonable evidence of consistent performance. More recently, in vitro release testing has shown promise as a means to comprehensively ensure consistent delivery of the active component or components from semisolid products. An in vitro release rate can reflect the combined effect of several physical and chemical parameters, including solubility and particle size of the active ingredient and rheological properties of the dosage form. In most cases, in vitro release rate is a useful test to assess product sameness between pre-change and post-change products. However, there may be instances in which it is not suitable for this purpose. In such cases, other physical and chemical tests to be used as measures of sameness should be proposed and discussed with the agency. With any test, the metrics and statistical approaches to documentation of "sameness" in quality attributes should be considered. The evidence available at this time for the in vitro–in vivo correlation of release tests for semisolid dosage forms is not as convincing as that for in vitro dissolution as a surrogate for in vivo bioavailability of solid oral dosage forms. Therefore,

the FDA's current position concerning *in vitro* release testing is as follows:

- a. *In vitro* release testing is a useful test to assess product sameness under certain scale-up and post-approval changes for semisolid products.
- b. The development and validation of an *in vitro* release test are not required for approval of an NDA, ANDA, or AADA, nor is the *in vitro* release test required as a routine batch-to-batch quality control test.
- c. *In vitro* release testing alone is not a surrogate test for *in vivo* bioavailability or bioequivalence.
- d. The *in vitro* release rate should not be used for comparing different formulations across manufacturers.

In vitro release is one of several standard methods that can be used to characterize performance characteristics of a finished topical dosage form, that is, semisolids such as creams, gels, and ointments. Important changes in the characteristics of a drug product formula or the thermodynamic properties of the drug or drugs it contains should show up as a difference in drug release. Release is theoretically proportional to the square root of time when the formulation in question is in control of the release process because the release is from a receding boundary. *In vitro* release method for topical dosage forms is based on an open chamber diffusion cell system such as a Franz cell system, fitted usually with a synthetic membrane. The test product is placed on the upper side of the membrane in the open donor chamber of the diffusion cell, and a sampling fluid is placed on the other side of the membrane in a receptor cell. Diffusion of drug from the topical product to and across the membrane is monitored by assay of sequentially collected samples of the receptor fluid. The *in vitro* release methodology should be appropriately validated. Sample collection can be automated. Aliquots removed from the receptor phase can be analyzed for drug content by high-pressure liquid chromatography or other analytical methodology. A plot of the amount of drug released per unit area (mcg/cm) against the square root of time yields a straight line, the slope of which represents the release rate. This release rate measure is formulation specific and can be used to monitor product quality. The release rate of the biobatch or currently manufactured batch should be compared with the release rate of the product prepared after a change, as defined in this guidance.

C. IN VIVO BIOEQUIVALENCE STUDIES

The design of *in vivo* bioequivalence studies for semisolid dosage forms varies depending on the pharmacological activity of the drug and dosage form. A brief general discussion of such tests follows. The objective is to document the bioequivalence of the drug product for which the manufacture has been changed, as defined in this guidance, compared with the drug product manufactured before the change or with the reference-listed drug. The study design is dependent on the nature of the active drug. The bioequivalence study can be a

comparative skin-blanching study as in glucocorticoids (FDA, 1995) or a comparative clinical trial or any other appropriate validated bioequivalence study (e.g., dermatopharmacokinetic study) for the topical dermatological drug product. The assay methodology selected should ensure specificity, accuracy, interday and intraday precision, linearity of standard curves, and adequate sensitivity, recovery, and stability of the samples under the storage and handling conditions associated with the analytical method.

VII. CHEWING GUM

Chewing gum can deliver either pharmaceuticals or nutrients and are known as medicated chewing gum (MCG) and non-MCG. MCG is supposed to act as an extended release dosage form that provides a continuous release of medicine contained. The first MCG was launched in 1924 in United States of America which was called Aspergum®, but an admission of chewing gum as a drug delivery system did not advance until nicotine chewing gum was released at the market. The most important patent on the use of chewing gum involves delivery of bitter drugs by incorporating a release of carbon dioxide that anesthetizes taste buds (US Patent 4639368A, 1985, Niazi inventor). There is a monograph in European Pharmacopoeia (EP) that defines MCG, but the term “chewing gum” was first listed in guidelines as a pharmaceutical dosage form in 1991 and approved by the commission of European communities.

Table 14.1 lists a few examples of the types of MCG marketed.

The ability of chewing gums to release active ingredients into the oral cavity, their steady and rapid action, and capability of both systemic and local delivery make it appropriate for extensive use in food and pharmaceutical industries. Advantages of medicated chewing gums as drug delivery system include:

- Increased rate of effectiveness rather than other oral delivery systems
- Removal of gum at any time, therefore termination of drug delivery
- Reduced risk of overdosing if it's whole swallowed
- Requires no water to drink
- Protection of the susceptible drugs contained from chemical or enzymatic attack in gastrointestinal (GI) tract
- Both systemic and local drug delivery
- High acceptance by children and teenagers
- Low first-pass effect so reduced dose is formulated in chewing gum compared to other oral delivery systems
- Good for rapid delivery
- Fewer side effects
- Reduced risk of intolerance to gastric mucosa
- Good stability against light, oxygen, and moisture[20]
- Annihilation of xerostomia and help tasting and swallowing in people with dry mouth
- Reduced pains and difficulties in swallowing following tonsillectomy

TABLE 14.1
Therapeutic Use and Examples of MCGs

Component	Function and Proportion	Example
Water-Soluble Bulk Portion		
<i>Bulk Sweeteners</i>		
Sugar sweeteners	30–60%, saccharide-coating components	Sucrose, dextrose, maltose, dextrin, dried invert sugar, fructose, levulose, galactose, corn syrup
Sugarless sweeteners		Sorbitol, mannitol, xylitol, hydrogenated starch hydrolysate, maltitol
High-intensity artificial sweetener	0.02–8%	Sucralose, aspartame, salts of acesulfame, alitame, saccharin
Flavoring agent	0.01–1%	Essential oils, synthetic flavors, mixture (citrus oils, fruit essences, peppermint oil, spearmint oil, clove oil, oil of wintergreen and anise)
Softener (plasticizer)	0.5–15%, regulating the cohesiveness and modifying the texture	Glycerin, lecithin, aqueous sweetener solutions, sorbitol, hydrogenated starch hydrolysate, corn syrup, tallow, cocoa butter, glycerol monostearate, glycerol triacetate, fatty acid (palmitic, stearic, olic...)
Emulsifier	15–45%, dispersing immiscible compounds	Mono-, di-, tri-, stearyl acetate, lactic esters
Colorants (FD&C type dye and lake)	0.1%	Fruit and vegetable extracts, titanium oxide
Antioxidant	0.02% of the gum base	Ascorbic acid, tocopherol, butylhydroxytoluene
Anti-tack agent	0.2–0.6%, something that helps chewing gum not adhere to denture fillings and natural teeth	Slip-agent can be used for this purpose which may be comprised of a-cellulose and vegetable proteins
Anti-caking agent	0.5–2%, preventing agglomeration	Alkaline metal phosphate, malto dextrin Precipitated silicon dioxide, solid carbon dioxide
Water-Insoluble Gum Base		
<i>Elastomers</i>		
Natural	15–45%, provides elasticity and cohesiveness	Smoked or liquid latex, guayule, jelutong, lechi-caspi, perilio, sorva, rosadinha, chicle, massaranduba balata, massaranduba chocolate, nispero
Synthetic		Polyisobutylene, isobutylene, -isoprene copolymer, styrene-butadiene copolymers, polyvinyl acetate
Rubber/fat/resin phase (elastomeric plasticizer)	15%, softening elastomeric material	Estrugums: Glycerol esters, pentaerythritol esters of rosins (hydrogenated dimerized and polymerized rosins) Synthetic: Terpene resins
Filler/texturizer	Up to 50%, modifying the texture of gum base	Magnesium and calcium carbonate, ground limestone, silicate types, clay, alumina, talc, titanium oxide, mono-, di-, tri-calcium phosphate, cellulose polymers
Wax (the base may be wax-free)		

- Improves work performance and cognitive function
- Fast bowel recovery after GI surgery
- Reduced hypoglycemic shocks in people taking anti-diabetic drugs
- Stimulates alertness through increased blood flow to brain
- Helps reduce food cravings

Disadvantages of medicated chewing gums:

- Disappearing of drug in oral cavity following salivary dilution
- Different release profiles because of chewing style differences
- Short time of administration due to eating, speaking, and drinking

- Allergic reaction to artificial sweeteners
- Continuous stress on jaws that may cause temporomandibular joint disorder
- Teeth decay through being coated by sugar
- Masseter problems
- Stomach irritations, aches, gastric ulcer through continuous swallowing of saliva and even flatulence because of presence of sorbitol in some formulations
- Risk of choking by swallowing gum in under-aged children

COMPOSITION

Medicated chewing gums are gums made with a tasteless masticatory gum base that consists of natural or synthetic elastomers. They include excipients such as fillers, softeners, and

sweetening and flavoring agents. Natural gum bases include chicle and smoked natural rubber and are permitted in formulations by the FDA, but modern gum bases are mostly synthetic in origin and approved bases include styrene-butadiene rubber, polyethylene, and polyvinylacetate. Gum base usually forms about 40% of the gum but can comprise up to 65% and is a complex mixture, insoluble in saliva, comprising mainly of elastomer, plasticizers, waxes, lipids, and emulsifiers (see Table 14.2). It will also contain an adjuvant such as talc to modify the texture of the gum and low quantities of additional excipients including colorants and antioxidants such as butylated hydroxyanisole. Elastomers control the gummy texture while the plasticizers and texture agents regulate the cohesiveness of the product. The lipid and waxes melt in the mouth to provide a cooling, lubricating feeling while the juicy feel of the gum texture is from the emulsifiers. The choice and formulation of gum base will affect the release of active ingredient and the texture, stability, and method of manufacture of the product.

The remaining ingredients in the chewing gum itself include drug, sweeteners, softeners, and flavoring and coloring agents. A typical chewing gum formulation is shown in Table 14.3. The sugar is for sweetening the product while the corn syrup keeps the gum fresh and flexible. Softeners or fillers are included to help blend the ingredients and retain moisture. Sugar-free gum has sorbitol, mannitol, aspartame, or saccharin instead of sugar. Optimized chewing gum formulations will require tailoring for each individual product. For example, nicotine-containing gums are formulated with the nicotine within an ion-exchange resin and pH-modifying carbonates and/or bicarbonates to increase the percentage of the drug in its free base form in saliva.

Manufacture of Chewing Gum

The majority of chewing gum delivery systems today are manufactured using conventional gum processes. The gum base is softened or melted and placed in a kettle mixer where sweeteners, syrups, active ingredients, and other excipients are added at a defined time. The gum is then sent to a series of rollers that form it into a thin, wide ribbon. During this process, a light coating of an anti-sticking agent can be added (e.g., magnesium stearate, calcium carbonate, or finely powdered sugar or sugar substitute). Finally, the gum is cut to the

desired size and cooled at a carefully controlled temperature and humidity.

As the heating process involved in conventional methods may limit the applicability of the process for formulation of thermally labile drugs, directly compressible, free-flowing powdered gums such as Pharmagum (SPI Pharma) and MedGumBase (Gumbase Co) have been proposed to simplify the process. These formulations can be compacted into a gum tablet using a conventional tablet press and have the potential to simplify the manufacture, facilitating inclusion of a wider range of drugs.

Fusion Method

The first step of a typical process for manufacturing chewing gum is to melt and soften the gum base at about 60°C and place it in a kettle mixer, in which blades soften the base; then other ingredients such as sugar, glycerin, sweeteners, and taste-masking agent are added to the softened base, lately the flavoring agent is added in the mixing procedure at 40°C, then cooling and rolling steps would be done, and the rolled chewing gum would then be cut into pieces of desired shapes and sizes. To make a coated gum tablet, a coating agent should be sprayed to form a uniform surface.

The second type of this method is somewhat different: The primary step of preparation is to set up a mixer (the mixer could be sigma blade or other types of mixers); if a sugar-containing gum is needed, the first step is to add corn syrup to the mixer, and then finely powdered sugar is added gradually. Sugar, used in this step, could be powdered sucrose, dextrose, fructose, corn syrup solids, or combination of them.

After adding these sweeteners, plasticizers are added to modify the texture and regulate the cohesiveness. Glycerin is the most preferable plasticizer used. Other components specified in Table 14.3 could be added to the matrix according to required characteristics, such as fillers, colorants, and flavorings. But it is recommended that flavors be added to the matrix at the end of procedures when the gum base is totally and completely homogenized because most flavors are relatively volatile.

After matrix preparation and completely mixing, the commercially prepared particles of gum base are added to the chamber all at once.

One other method to provide a chewing gum with desired taste, color, and flavor is to mix gum base with favorable and

TABLE 14.2
Typical Formulation of Gum Base

Ingredient	Weight (%)	Example
Elastomer	10	Styrene-butadiene rubber
Plasticizer	30	Rosin esters
Texture agent/filler	35	Calcium carbonate
Wax	15	Paraffin wax
Lipid	7	Soya oil
Emulsifier	3	Lecithin
Miscellaneous	1	Colorant, antioxidant

TABLE 14.3
Example Chewing Gum Formulations

Ingredient (%)	Sugar Gum	Sugar-Free Gum
Gum base	19.4	25.0
Corn syrup	19.8	—
Sorbitol, 70%	—	15.0
Sugar	59.7	—
Glycerin	0.5	6.5
Sorbitol	—	52.3
Flavor	0.6	1.2

suitable sweeteners, corn syrups, starches, flavoring agents, and colorants and then refrigerate and cool it by a freezer apparatus or by contacting with a coolant like carbon dioxide to a temperature below -15°C . This is then crushed and pulverized with a cutter or grinding apparatus to obtain minute particles; then these finely ground particles are heated to a temperature which makes them adhere to each other and form a slick and uniform bulk with consistent texture and low specific gravity. If the fragments are such that they do not self-adhere, low pressure would be applied manually or mechanically before they are warmed to the normal room temperature to thereby promote self-adhesion.

The cooling and grinding steps can be combined by cooling the grinding apparatus. After the grinding step, we can let the coolant (if used) evaporate and disappear from our desired composition.

The minute particles may be coated by edible substances or premixed with powdery materials.

For tableting, compressing punches may be needed, but an anti-adherent agent should be applied to avoid sticking to surfaces of punches.

Direct Compression

A new technology to make a chewing gum tablet is direct compression and tableting with a high-speed standard machine. As explained in a patent, this way of forming chewing gum tablets provides a quickly dissociable chewing gum, but after a few seconds of chewing, particles adhere together to form a uniform and homogenous mass. In this method, we need a granulating agent, most preferably sorbitol which can also act as a sweetener. A lubricant such as magnesium stearate, talc, stearic acid, hydrogenated vegetable oils, and sodium stearyl fumarate is added to formulation before tableting. The first step of this method is dry mixing of gum base, granulating agent, and at least one processing material; then the active ingredient, sweeteners, and other necessary ingredients are added to the formulation in a free-flowing form; then the chewing gum is directly compressed into tablets. In the first step, the temperature should not rise higher than the melting point of the gum base. After obtaining a uniform and slick mass, the temperature would be lowered to add other ingredients.

Evaluation Tests

Content uniformity

Ten MCGs are selected randomly then their contents are measured; if each single content is between 85% and 115% of average content, it will comply with the test, but if one single preparation is out of this range the preparation will not comply with the test.[1]

Mass uniformity

Twenty MCGs are selected randomly and weighed; not more than two single masses should vary from the average mass.

Dissolution test

Mastication devices are designed to simulate human chewing behavior.

Release of Drug

Factors affecting the release of medicament from chewing gum can be divided into three groups: The physicochemical properties of the drug, the gum properties, and chew-related factors, including rate and frequency. Drugs can be incorporated into gums as solids or liquids. For most pharmaceuticals, aqueous solubility of the drug will be a major factor affecting the release rate. In order for drugs to be released, the gum would need to become hydrated; the drugs can then dissolve and diffuse through the gum base under the action of chewing.

For treatment of local conditions, a release period less than 1 h may be desirable, but a faster release may be required if a rapid onset of action is required for a systemically absorbed formulation. There are a number of strategies that can be undertaken in order to achieve the desired release rate. Decreasing the amount of the gum base will enhance the release of lipophilic drugs and addition of excipients designed to promote release can also be considered. Release can be sustained using, for example, ion-exchange resins as described for nicotine-containing gums. Changes in gum texture as a consequence of changes in excipient levels provide a further challenge to controlling the release of drugs. A quantitative measure of gum texture during the process is possible using texture analysis techniques.

15 Pediatric Research Equity Act (PREA) Compliance

INTRODUCTION

PREA amends the Federal Food, Drug, and Cosmetic Act (the Act) by adding Section 505B (21 U.S.C. 355B). PREA requires the conduct of pediatric studies for certain drug and biological products.² Specifically, PREA requires new drug applications (NDAs) and biologics licensing applications (BLAs) (or supplements to applications) for a new active ingredient, new indication, new dosage form, new dosing regimen, or new route of administration to contain a pediatric assessment unless the applicant has obtained a waiver or deferral [see Section 505B(a) of the Act]. It also authorizes FDA to require holders of applications for previously approved marketed drugs and biological products who are not seeking approval for one of the changes enumerated above (hereinafter “marketed drugs and biological products”) to submit a pediatric assessment under certain circumstances [see Section 505B(b) of the Act].

Although PREA applies to both new applications (or supplements to applications) and already marketed drugs and biological products, this guidance will only provide recommendations on NDAs and BLAs (or supplements to an already approved application) for drugs and biological products under Section 505B(a) of the Act. Issues under Section 505B(b) of the Act related to already marketed drug and biological products for which the sponsor is not seeking one of the enumerated changes may be addressed in future guidance.

This guidance addresses the pediatric assessment,³ the pediatric plan (see Section V.A), waivers and deferrals, compliance issues, and pediatric exclusivity provisions.

FDA’s guidance documents, including this guidance, do not establish legally enforceable responsibilities. Instead, guidances describe the Agency’s current thinking on a topic and should be viewed only as recommendations, unless specific regulatory or statutory requirements are cited. The use of the word *should* in Agency guidances means that something is suggested or recommended but not required.

I. BACKGROUND

On December 3, 2003, the Pediatric Research Equity Act (PREA) was signed into law. PREA is the most recent of more than a decade of legislative and regulatory attempts to address the lack of pediatric use information in drug product labeling. In PREA, Congress codified many of the elements of the Pediatric Rule, a final rule issued by FDA on December 2, 1998 (63 FR 66632) and suspended by court order on October 17, 2002.⁴

Under the Pediatric Rule, approval actions taken or applications submitted on or after April 1, 1999, for changes in active ingredient, indication, dosage form, dosing regimen, or route of administration were required to include pediatric assessments for indications for which sponsors were receiving or seeking approval in adults, unless the requirement was waived or deferred.

The Pediatric Rule was designed to work in conjunction with the *pediatric exclusivity* provision of Section 505A of the Act (21 U.S.C. 355a), an incentive signed into law to encourage sponsors or holders of approved applications to voluntarily perform the pediatric studies described in a Written Request⁵ issued by FDA, in order to qualify for an additional 6 months of marketing exclusivity.

On January 4, 2002, the Best Pharmaceuticals for Children Act (BPCA) (Public Law 107-109) was enacted. The BPCA reauthorized and amended the pediatric exclusivity incentive program of Section 505A and created new mechanisms for funding pediatric studies that sponsors or holders of approved applications declined to conduct voluntarily. On April 24, 2002, FDA issued an advance notice of proposed rulemaking (ANPRM) soliciting comments on the most appropriate ways to update the Pediatric Rule in a manner consistent with other mechanisms for obtaining studies created by the BPCA.

On October 17, 2002, the U.S. District Court for the District of Columbia held that FDA had exceeded its statutory authority when issuing the Pediatric Rule, and the court suspended its implementation and enjoined its enforcement [*Association of Am. Physicians & Surgeons, Inc. v. FDA*, 226 F. Supp. 2d 204 (D. D.C. 2002)]. When the Court enjoined FDA from enforcing the Pediatric Rule in October 2002, the ANPRM was also rendered obsolete.

As noted above, PREA codified elements of the suspended Pediatric Rule and attempted to fill gaps left by the Pediatric Rule’s suspension.

II. OVERVIEW—REQUIREMENTS OF PREA

A. PREA STATUTORY REQUIREMENTS

PREA requires all applications (or supplements to an application) submitted under Section 505 of the Act (21 U.S.C. 355) or Section 351 of the Public Health Service Act (PHSA) (42 U.S.C. 262) for a new active ingredient, new indication, new dosage form, new dosing regimen, or new route of administration to contain a pediatric assessment unless the applicant has obtained a waiver or deferral (Section 505B(a) of the Act). It also authorizes FDA to require holders of approved NDAs

and BLAs for marketed drugs and biological products to conduct pediatric studies under certain circumstances (Section 505B(b) of the Act).

In general, PREA applies only to those drugs and biological products developed for diseases and/or conditions that occur in both the adult and pediatric populations. Products intended for pediatric-specific indications will be subject to the requirements of PREA only if they are initially developed for a subset of the relevant pediatric population.

B. SCOPE OF REQUIREMENTS

1. Applications Affected by PREA

Because Section 4(b) of PREA makes the legislation retroactive, all approved applications for new active ingredients, new indications, new dosage forms, new dosing regimens, and new routes of administration submitted on or after April 1, 1999 (including those approved when the Pediatric Rule was suspended), are subject to PREA. Under PREA, holders of such approved applications that did not previously include pediatric assessments, waivers, or deferrals must submit their pediatric assessments or requests for waiver or deferral [Section 4(b)(2)(B) of PREA]. If a waiver request is denied and/or studies are deferred, FDA will require the applicable studies as post-marketing studies. (For additional information on applicable deferral dates, see Section IV.B and Attachment C.)

2. Orphan Drugs

PREA states, “Unless the Secretary requires otherwise by regulation, this section does not apply to any drug for an indication for which orphan designation has been granted under section 526.” FDA has not issued regulations applying PREA to orphan-designated indications. Thus, submission of a pediatric assessment is not required for an application to market a product for an orphan-designated indication, and waivers are not needed at this time. However, if only one indication for a product has orphan designation, a pediatric assessment may still be required for any applications to market that same product for the non-orphan indication(s).

3. Generic Drugs Under 505(j) of the Act [21 U.S.C. 355(j)]

Because PREA applies only to applications (or supplements to applications) for a new active ingredient, new indication, new dosage form, new dosing regimen, or new route of administration, and because an abbreviated new drug application (ANDA) submitted under Section 505(j) of the Act for a duplicate version of a previously approved drug product does not involve such changes, PREA does not impose pediatric assessment requirements on ANDAs for generic drugs. However, ANDAs submitted under an approved suitability petition under Section 505(j)(2)(C) of the Act for changes in dosage form, route of administration, or new active ingredient in combination products are subject to the pediatric assessment requirements that PREA imposes. If clinical studies are required under PREA for a product submitted under an

approved suitability petition and a waiver is not granted, that application is no longer eligible for approval under an ANDA.

Because PREA is retroactive, all approved and pending ANDAs submitted on or after April 1, 1999 (when the Pediatric Rule became effective) and prior to December 3, 2003 (when PREA was enacted) under suitability petitions for changes in dosage form, route of administration, or active ingredient in combination products are subject to PREA. Although some ANDAs submitted under suitability petitions after April 1, 1999, and prior to December 3, 2003, would not have been approved as ANDAs had PREA been in effect at the time of approval, PREA’s retroactivity does not require FDA to revoke those previous approvals. Instead, as with NDAs and BLAs, holders of approved and pending ANDAs submitted under suitability petitions between April 1, 1999 and December 3, 2003, who have not already obtained waivers, must submit post-approval pediatric studies or a request for a waiver or deferral of the pediatric assessment requirement [Section 505B(a)(2) of the Act]. If a waiver request is denied for a product already submitted or approved in an ANDA based upon a suitability petition during this time frame, FDA will require the applicable studies as post-marketing studies.

III. THE PEDIATRIC ASSESSMENT

A. WHAT IS THE PEDIATRIC ASSESSMENT? [SECTION 505B(A)(2) OF THE ACT]

Under PREA, the pediatric assessment contains data gathered from pediatric studies using appropriate formulations for each age group for which the assessment is required and other data that are adequate to:

- Assess the safety and effectiveness of the drug or the biological product for the claimed indications in all relevant pediatric subpopulations
- Support dosing and administration for each pediatric subpopulation for which the drug or the biological product has been assessed to be safe and effective

B. WHEN TO SUBMIT THE PEDIATRIC ASSESSMENT IN COMPLIANCE WITH PREA

Under PREA, a pediatric assessment must be submitted at the time an application for a new active ingredient, new indication, new dosage form, new dosing regimen, or new route of administration is submitted to the Agency, unless the requirement for the assessment has been deferred or waived. If a deferral has been granted, the pediatric assessment will be due on or before the date specified by the Agency [Section 505B(a)(3) of the Act].

As noted above, PREA is retroactive and requires pediatric assessments for all applications submitted between April 1, 1999, and the present. To address potential gaps in pediatric information for applications approved between April 1, 1999, and the present resulting from, among other things, the suspension of the Pediatric Rule in October 2002, PREA

provides for waivers or deferrals in cases where pediatric study requirements were never addressed and for extensions of certain deferrals issued previously under the Pediatric Rule (see Attachment C for chart of deferral dates under PREA).

If an application previously was granted a waiver of pediatric studies under the Pediatric Rule, the waiver will continue to apply under PREA [Section 4(b)(2)(A) of PREA].

C. WHAT TYPES OF DATA ARE SUBMITTED AS PART OF THE PEDIATRIC ASSESSMENT?

The data submitted under PREA will depend on the nature of the application, what is known about the product in pediatric populations, and the underlying disease or condition being treated. PREA does not require applicants to conduct separate safety and effectiveness studies in pediatric patients in every case. PREA states:

If the course of the disease and the effects of the drug are sufficiently similar in adults and pediatric patients, the Secretary may conclude that pediatric effectiveness can be extrapolated from adequate and well-controlled studies in adults, usually supplemented with other information obtained in pediatric patients, such as pharmacokinetic studies. [Section 505B(a)(2)(B)(i) of the Act]

If extrapolation from adult effectiveness data is inappropriate, adequate and well-controlled efficacy studies in the pediatric population may nevertheless be required. Additional information, such as dosing and safety data, could also be important to support pediatric labeling decisions.

PREA further provides, “A study may not be needed in each pediatric age group if data from one age group can be extrapolated to another age group” [Section 505B(a)(2)(B)(ii) of the Act].

Whether or not pediatric studies in more than one age group are necessary depends on expected therapeutic benefit and use in each age group and on whether safety and effectiveness data from one age group can be extrapolated to other age groups. As with the use of adult data, the extrapolation may be supplemented with data to define dosing and safety for the relevant age groups.

Applicants should contact the appropriate review division to discuss the types of pediatric studies needed to complete their pediatric assessments.

IV. THE PEDIATRIC PLAN AND SUBMISSIONS

A. WHEN TO DEVELOP A PEDIATRIC PLAN

A pediatric plan is a statement of intent that outlines the pediatric studies (e.g., pharmacokinetics/pharmacodynamics, safety, efficacy) that the applicant plans to conduct. The plan should also address the development of an age-appropriate formulation. Furthermore, it should address whether and, if so, under what grounds, the applicant plans to request a waiver of deferral under PREA. Applicants are encouraged to submit their pediatric plans to the Agency as early as possible

in the drug development process and to discuss these plans with the Agency at critical points in the development process for a particular drug or biologic.

Early consultation and discussions are particularly important for products intended for life-threatening or severely debilitating illnesses. For these products, FDA encourages applicants to discuss the pediatric plan at pre-investigational new drug (pre-IND) meetings and end-of-phase meetings. For products for life-threatening diseases, the review division will provide its best judgment at the end-of-phase-1 meetings on whether pediatric studies will be required under PREA and, if so, whether the submission will be deferred until after approval. In general, studies of drugs or biological products for diseases that are life-threatening or severely debilitating in pediatric patients and that lack adequate therapy could begin earlier than studies of other products because the urgency of the need for the products may justify early trials despite the relative lack of safety and effectiveness information.

For products that are not intended for treatment of life-threatening or severely debilitating illnesses, applicants are encouraged to submit and discuss the pediatric plan no later than the end-of-phase-2 meeting. Information to support any planned request for a waiver or deferral of pediatric studies also should be submitted as part of the background package for this meeting. The review division will provide its best judgment about (1) the pediatric assessment that will be required for the product, (2) whether its submission can be deferred, and (3) if deferred, the date studies will be due. In addition, if relevant, FDA encourages applicants to include a discussion of their intent to qualify for and the studies needed to earn pediatric exclusivity (see Section VIII for a discussion of PREA and pediatric exclusivity).

When a decision to waive or defer pediatric studies is made at key meetings, the minutes from those meetings reflecting the decision generally will be provided to applicants for their records. Alternatively, a separate letter may be sent to the applicant conveying FDA’s decision to either waive or defer the pediatric assessment. If a deferral of studies is granted at the time of the meeting, a due date for submission generally will also be included in the meeting minutes or separate letter.

B. WHAT AGES TO COVER IN A PEDIATRIC PLAN

PREA requires, unless waived or deferred, the submission of a pediatric assessment for certain applications for the claimed indications in all relevant pediatric populations. As discussed in Section VI, PREA authorized FDA to waive assessments when: 1) the drug or biological product does not represent a meaningful therapeutic benefit over existing therapies for pediatric patients and 2) is not likely to be used in a substantial number of pediatric patients [Section 505B(a)(4)(A)(iii) of the Act]. Thus, PREA requires the pediatric assessment to evaluate safety and effectiveness for the claimed indication(s) for each age group in which the drug or biological product is expected to provide a meaningful therapeutic benefit over existing therapies for pediatric patients or is likely to be used in a substantial number⁷ of pediatric patients.

Under PREA, a drug or biological product is considered to represent a *meaningful therapeutic benefit* over existing therapies if FDA estimates that (1) “if approved, the drug or biological product would represent a significant improvement in the treatment, diagnosis, or prevention of disease, compared with marketed products adequately labeled for that use in the relevant pediatric population,” or (2) “the drug or biological product is in a class of products or for an indication for which there is a need for additional options” [Section 505B(c) of the Act].

Improvement over marketed products might be demonstrated by showing (1) evidence of increased effectiveness in treatment, prevention, or diagnosis of disease; (2) elimination or substantial reduction of a treatment-limiting drug reaction; (3) enhancement of compliance; or (4) safety and effectiveness in a new subpopulation for which marketed products are not currently labeled.

The BPCA defines “pediatric studies” or “studies” to include studies in all “pediatric age groups (including neonates in appropriate cases)” in which a drug is anticipated to be used [Section 505A(a) of the Act]. For purposes of satisfying the requirements of PREA, the appropriate age ranges to be studied may vary, depending on the pharmacology of the drug or biological product, the manifestations of the disease in various age groups, and the ability to measure the response to therapy. In general, however, the pediatric population includes patients age “birth to 16 years, including age groups often called neonates, infants, children, and adolescents” [21 CFR 201.57(f)(9)].

The complex medical state of neonates and infants makes it critical to evaluate drugs specifically for their use. The Agency is also aware that trials in neonates and infants pose special ethical issues. FDA generally will require studies in neonates and infants under PREA if the drug represents an important advancement and use in these age groups for the approved indication is anticipated. However, it is possible that partial waivers for these specific age groups might be appropriate under certain circumstances when “necessary studies are impossible or highly impracticable,” or when “there is evidence strongly suggesting that the drug or biologic product would be ineffective or unsafe in that age group” [Section 505B(a)(4)(B)(i) and (ii) of the Act].

C. MUST THE SPONSOR DEVELOP A PEDIATRIC FORMULATION?

PREA requires pediatric assessments to be gathered “using appropriate formulations for each age group for which the assessment is required” [Section 505B(a)(2)(A) of the Act]. Under PREA, applicants must submit requests for approval of the pediatric formulation used in their pediatric studies, and failure to submit such a request may render the product misbranded [Section 505B(d) of the Act]. FDA interprets the language “request for approval of a pediatric formulation” to mean that applicants must submit an application or supplemental application for any not previously approved formulation(s) used to conduct their pediatric studies. Where appropriate,

applicants may need to begin the development of a pediatric formulation before initiation of pediatric clinical trials.

PREA does, however, specifically authorize FDA to waive the requirement for pediatric studies in one or more age groups requiring a pediatric formulation if the applicant certifies and FDA finds that “the applicant can demonstrate that reasonable attempts to produce a pediatric formulation necessary for that age group have failed” [Section 505B(a)(4)(B)(iv) of the Act].

This exception is limited to the pediatric groups requiring that formulation [Section 505B(a)(4)(C)]. FDA believes that this partial waiver provision will generally apply to situations where the applicant can demonstrate that unusually difficult technological problems prevented the development of a pediatric formulation. In certain cases, the Agency may seek appropriate external expert opinion (e.g., from an advisory committee) to assess whether a waiver should be granted (see Sections VI.A and B for more detailed information on waivers).

D. WHEN TO INITIATE PEDIATRIC STUDIES

As discussed in Section V.A, applicants may initiate pediatric studies of drugs and biologics for life-threatening diseases for which adequate treatment is not available earlier in development than might occur for less serious diseases. The medical need for these products may justify early pediatric trials despite a relative lack of safety and effectiveness data. In some cases, pediatric studies of a drug or biological product for a life-threatening disease may begin as early as phase 1 or phase 2, when the initial safety data in adults become available.

The Agency recognizes that in certain cases scientific and ethical considerations will dictate that pediatric studies should not begin until after approval of the drug or biological product for use in adults—for example, where a product has not shown any benefit over other adequately labeled products in the class, the therapeutic benefit is likely to be low, or the risks of exposing pediatric patients to the new product may not be justified until after the product’s safety profile is well established in adults after initial marketing.

The Agency recommends that for products with a narrow therapeutic index, the nature of the disease in the pediatric population to be studied and the context in which the drug will be used should factor into the decision on when to initiate the studies in the affected pediatric patient population. For example, studies for an oncology drug product with a narrow therapeutic index might be conducted in children with a life-threatening cancer at an earlier stage in the drug development process than studies for a new aminoglycoside antimicrobial used to treat acute pyelonephritis infections in children. In the latter case, there are several therapeutic options available, so the investigational drug would likely be studied in children after the approval in adults for this condition.

E. WHAT INFORMATION MUST BE SUBMITTED TO FDA

Pediatric studies of drugs conducted under an investigational new drug application (IND) are subject to the rules governing

INDs, including the content and format requirements of 21 CFR 312.23 and the IND safety and annual reporting requirements described in 21 CFR 312.32 and 312.33, respectively.

- When study reports are submitted as part of an application or supplement to an application, the content and format must meet the relevant general requirements for submission (see 21 CFR 314.50 for NDA requirements and 21 CFR 601.2 for BLA requirements).

V. WAIVERS AND DEFERRALS

A. WHAT IS A WAIVER?

PREA authorizes FDA to waive the requirement to submit the pediatric assessment, based on established criteria, for some or all pediatric age groups. FDA can grant a full or partial waiver of the requirements on its own initiative or at the request of an applicant. If an applicant requests a waiver, the applicant should provide written justification for the waiver and evidence to support the request.

B. HOW TO APPLY FOR A WAIVER

1. Criteria for Full Waiver [Section 505B(a)(4)(A) of the Act]

On FDA's initiative or at the request of an applicant, FDA will grant a full waiver of the requirement to submit pediatric assessments if the applicant certifies and FDA finds one or more of the following:

- Necessary studies are impossible or highly impracticable (because, for example, the number of patients is so small or the patients are geographically dispersed) [Section 505B(a)(4)(A)(i) of the Act].

Another example is a drug or biological product for an indication that has extremely limited applicability to pediatric patients because the pathophysiology of these diseases occurs for the most part in the adult population. FDA would be likely to grant a waiver for studies on products developed for the treatment of these conditions without requiring applicants to provide additional evidence of impossibility or impracticality. For a list of adult-related conditions that may be candidates for a disease-specific waiver, see Attachment A, Sample Waiver Request Form.

- There is evidence strongly suggesting that the drug or biological product would be ineffective or unsafe in all pediatric age groups [Section 505B(a)(4)(A)(ii) of the Act].

If a waiver is granted based upon evidence that the drug is unsafe or ineffective in pediatric populations, the applicant must include this information in the labeling for the drug or biological product [Section 505B(a)(4)(D) of the Act].

- The drug or biological product (1) does not represent a meaningful therapeutic benefit over existing therapies for pediatric patients and (2) is not likely to be used in a substantial number of pediatric patients [Section 505B(a)(4)(A)(iii) of the Act].

2. Criteria for Partial Waiver [Section 505B(a)(4)(B) of the Act]

On its own initiative or at the request of an applicant, FDA will grant a partial waiver of the requirement to submit pediatric assessments for a drug or biological product with respect to a specific pediatric age group, if the applicant certifies and FDA finds evidence of one or more of the following:

- Necessary studies are impossible or highly impracticable (because, for example, the number of patients in that age group is so small or patients in that age group are geographically dispersed) [Section 505B(a)(4)(B)(i) of the Act].
- There is evidence strongly suggesting that the drug or biological product would be ineffective or unsafe in that age group [Section 505B(a)(4)(B)(ii) of the Act]. If a partial waiver is granted based on evidence that the drug is unsafe or ineffective in pediatric populations, the applicant must include this information in the labeling for the drug or biological product [Section 505B(a)(4)(D) of the Act].
- The drug or biological product (1) does not represent a meaningful therapeutic benefit over existing therapies for pediatric patients in that age group and (2) is not likely to be used by a substantial number of pediatric patients in that age group [Section 505B(a)(4)(B)(iii) of the Act].
- The applicant can demonstrate that reasonable attempts to produce a pediatric formulation for that age group have failed [Section 505B(a)(4)(B)(iv) of the Act]. If a waiver is granted on the basis that it is not possible to develop a pediatric formulation, the waiver shall cover only the pediatric groups requiring that formulation [Section 505B(a)(4)(C) of the Act].

3. Information in a Waiver Request

As noted in Section V, discussions with FDA on developing pediatric plans and initiating pediatric studies should occur early in the drug development process. If an applicant believes a full or partial waiver of the pediatric studies requirement is warranted, FDA strongly encourages the applicant to request the waiver at the earliest appropriate time. This guidance includes a sample Waiver Request to assist applicants in providing sufficient information for FDA to determine whether to grant a waiver request (Attachment A). However, the information necessary to support any particular waiver will be determined on a case-by-case basis.

To request a waiver, we recommend an applicant provide:

- Product name, applicant name, and indication
- Age group(s) included in waiver request

- Statutory reason(s) for requesting a waiver, including reference to the applicable statutory authority [i.e., one of 2(a)–(d) in Attachment A]
- Evidence that the request meets the statutory reason(s) for waiver of pediatric assessment requirements
- Applicant Certification

4. Waiver Decision

The Agency will grant a waiver request if FDA determines that any of the criteria for a waiver enumerated in the statute have been met. As noted above, if a full or partial waiver is granted “because there is evidence that a drug or biological product would be ineffective or unsafe in pediatric populations, this information shall be included in the labeling for the drug or biological product” [Section 505B(a)(4)(D) of the Act].

As discussed in Section V, for waivers agreed to at the end-of-phase-2 meetings, the meeting minutes will document the waiver of pediatric assessment requirements. Full or partial waiver documentation (meeting minutes or a letter from FDA) should be submitted in the Clinical Data Section of the NDA or BLA and noted in Form FDA-356h under the “Pediatric Use” part of item 8 and also under item 20, “Other.” Under “Other,” the applicant should identify the location (volume and page number) of the waiver documentation in the NDA or BLA submission.

Decisions to waive the requirement for submission of pediatric assessments that are made early in the pre-approval development period (e.g., end-of-phase-1 or end-of-phase-2 meetings) reflect the Agency’s best judgment at that time. If, prior to approval, the Agency becomes aware of new or additional scientific information that affects the criteria on which the waiver decision was based, the Agency may reconsider its earlier decision. A waiver decision becomes final once issued in the approval letter for an NDA, BLA, or supplement.

C. WHAT IS A DEFERRAL?

A deferral acknowledges that a pediatric assessment is required but permits the applicant to submit the pediatric assessment after the submission of an NDA, BLA, or supplemental NDA or BLA. On its own initiative or at the request of an applicant, FDA may defer the submission of some or all of the pediatric studies until a specified date after approval of the drug or issuance of the license for a biological product for adult use [Section 505B(a)(3) of the Act].

D. HOW TO APPLY FOR A DEFERRAL

1. Criteria for Deferral [Section 505B(a)(3) of the Act]

FDA may defer the timing of submission of some or all required pediatric studies if it finds one or more of the following:

- The drug or biological product is ready for approval for use in adults before pediatric studies are complete [Section 505B(a)(3)(A)(i) of the Act].

- Pediatric studies should be delayed until additional safety or effectiveness data have been collected [Section 505B(a)(3)(A)(ii) of the Act].

OR

- There is another appropriate reason for deferral [Section 505B(a)(3)(A)(iii) of the Act] (e.g., development of a pediatric formulation is not complete).

In addition, to obtain a deferral the applicant must submit certification of the reason(s) for deferring the assessments, a description of the planned or ongoing studies, and evidence that the studies are being conducted or will be conducted with due diligence and at the earliest possible time [Section 505B(a)(3)(B)(i)–(iii) of the Act].

2. Information in a Deferral Request

FDA has provided a sample deferral request checklist to assist applicants in providing sufficient information for FDA to determine whether to grant a deferral request (Attachment B). To request a deferral, we recommend an applicant provide:

- Product name, applicant name, and indication
- Age group(s) included in deferral request
- Where deferral is only requested for certain age groups, reason(s) for not including entire pediatric population in deferral request (e.g., studies have already been completed in other age groups and need not be deferred)
- Reason(s) for requesting a deferral
- Evidence justifying that the proposed product meets the criteria for deferral of the pediatric assessment requirement
- Description of planned or ongoing studies
- Evidence that planned or ongoing studies are proceeding
- Projected date for the submission of the pediatric assessment (deferral date)
- Applicant certification

3. Deferral Decision

The decision to defer and the deferral date will be determined on a case-by-case basis. Considerations used in determining whether and how long to defer submission of the pediatric assessment may include:

- The need for the drug or biologic in pediatric patients
- Availability of sufficient safety data to initiate pediatric trials
- The nature and extent of pediatric data needed to support pediatric labeling
- The existence of substantiated difficulties in enrolling patients
- Evidence of technical problems in developing pediatric formulations

As discussed in Section V.A, the meeting minutes or a separate letter will document the deferral of pediatric assessments agreed to at the end-of-phase-2 meetings. For a deferral granted during the pre-approval development period, it is possible that FDA may reevaluate the length of the deferral closer to the time of approval, taking into account any new information obtained while the product was in development and information reviewed in the NDA or BLA. The pediatric assessments deferred under PREA are required post-marketing studies subject to the annual status reporting and information disclosure provisions of 21 CFR 314.81(b)(2)(vii)(a) and (b) and 21 CFR 601.70.

VI. COMPLIANCE WITH PREA

If a pediatric assessment or a request for approval of a pediatric formulation is not submitted by an applicant in accordance with the statutory requirements, the drug or biological product may be considered misbranded solely because of that failure and subject to relevant enforcement action [Section 505B(d)(1) of the Act]. The failure to submit a pediatric assessment or request for waiver or deferral will not be the basis for withdrawing approval of a drug under Section 505(e) of the Act or the revocation of a license for a biological product under Section 351 of the PHSA [Section 505B(d)(2) of the Act]. However, the Agency could bring injunction or seizure proceedings if a product is found to be misbranded under these provisions.⁸

VII. PREA AND PEDIATRIC EXCLUSIVITY

It is the Agency's policy to offer applicants the opportunity to qualify for *pediatric exclusivity* under Section 505A of the Act for studies required and conducted under PREA. Under that policy, however, FDA will not issue a Written Request for or grant pediatric exclusivity for studies that have been submitted to the Agency before the Written Request is issued. Therefore, an applicant seeking to qualify for pediatric exclusivity should obtain a Written Request for studies from FDA before submitting the pediatric studies to satisfy PREA. [Note that for marketed drugs and biological products, the Agency is required to issue a Written Request prior to requiring studies under PREA (Section 505B(b)(3) of the Act).] To qualify for pediatric exclusivity, the pediatric studies conducted to satisfy the requirements of PREA must also satisfy all of the requirements for pediatric exclusivity under Section 505A of the Act [see Sections 505A(d) and 505A(h) of the Act].

In addition, there is a noteworthy distinction between the scope of the studies requested under the pediatric exclusivity provisions and what is required under PREA. For pediatric exclusivity under the Act, FDA's authority to issue a Written Request extends to the use of an active moiety for all indications that occur in the pediatric population, regardless of whether the indications have been previously approved in adults or approval for those indications is being sought in adults [see Section 505A(a), which refers only to "information relating to the use of a new drug in the pediatric population"]. Under PREA, on the other hand, a pediatric assessment is

required only on those indications included in the pending application [Section 505B(a), which addresses "the safety and effectiveness of the drug or biological product for the claimed indications"]. To learn more about eligibility for pediatric exclusivity, applicants should consult the guidance for industry entitled *Qualifying for Pediatric Exclusivity Under Section 505A of the Federal Food, Drug, and Cosmetic Act*⁹ or should contact the relevant review division.

VIII. ADDITIONAL INFORMATION

A. ADDITIONAL INFORMATION CONCERNING PREA

General information about complying with PREA can be obtained from the Division of Pediatric Drug Development (DPDD), 301-594-7337 or 301-827-7777, e-mail pdit@cder.fda.gov.

Additional pediatric information is available at www.fda.gov/cder/pediatric.

Specific information about the types of pediatric studies that must be conducted and requirements for submission of assessments for your drug product can be obtained from the appropriate review division.

B. ADDITIONAL INFORMATION CONCERNING PEDIATRIC EXCLUSIVITY

General information and the latest statistical information regarding pediatric exclusivity are located at www.fda.gov/cder/pediatric. You can also refer to the guidance for industry on *Qualifying for Pediatric Exclusivity Under Section 505A of the Federal Food, Drug, and Cosmetic Act*.

ATTACHMENT A—SAMPLE WAIVER REQUEST

Product name:

IND/NDA/BLA number (as applicable):

Applicant:

Indications(s):

(NOTE: If drug is approved for or you are seeking approval for more than one indication, address the following for each indication.)

1. Identify pediatric age group(s) included in your waiver request.
2. With regard to each age group for which a waiver is sought, state the reason(s) for waiving pediatric assessment requirements with reference to applicable statutory authority (i.e., one of the options (a)–(d) listed below—choose all that apply):
 - (a) Studies are impossible or highly impractical (because, for example, the number of pediatric patients is so small or geographically dispersed). If applicable, please check from the following list of adult-related conditions that may qualify the drug product for disease-specific waivers:

<input type="checkbox"/> Age-related macular degeneration	<input type="checkbox"/> Basal cell and squamous cell cancer
<input type="checkbox"/> Alzheimer's disease	<input type="checkbox"/> Breast cancer
<input type="checkbox"/> Amyotrophic lateral sclerosis	<input type="checkbox"/> Colorectal cancer
<input type="checkbox"/> Arteriosclerosis	<input type="checkbox"/> Endometrial cancer
<input type="checkbox"/> Infertility	<input type="checkbox"/> Hairy cell cancer
<input type="checkbox"/> Menopause symptoms	<input type="checkbox"/> Lung cancer (small cell and non-small cell)
<input type="checkbox"/> Osteoarthritis	<input type="checkbox"/> Oropharynx cancers (squamous cell)
<input type="checkbox"/> Parkinson's disease	<input type="checkbox"/> Ovarian cancer (non-germ cell)
	<input type="checkbox"/> Pancreatic cancer
<input type="checkbox"/> Other (please state and justify)	<input type="checkbox"/> Prostate cancer
	<input type="checkbox"/> Renal cell cancer
	<input type="checkbox"/> Uterine cancer

- (b) The product would be ineffective or unsafe in one or more of the pediatric age group(s) for which a waiver is being requested.
- (c) The product fails to represent a meaningful therapeutic benefit over existing therapies for pediatric patients **and** is unlikely to be used in a substantial number of all pediatric age groups or the pediatric age group(s) for which a waiver is being requested.
- (d) Reasonable attempts to produce a pediatric formulation for one or more of the pediatric age group(s) for which the waiver is being requested have failed. Please document previous attempts to make a pediatric formulation, and describe reason for failure.
3. Provide evidence that the statutory reason(s) for waiver of pediatric studies have been met [not necessary if a 2(a) category is checked].
4. Applicant certification.

ATTACHMENT B—SAMPLE DEFERRAL REQUEST

Product name:

IND/NDA/BLA number (as applicable):

Applicant:

Indications(s):

(NOTE: If drug is approved for or you are seeking approval for more than one indication, address the following for each indication.)

1. What pediatric age group(s) are included in your deferral request?
2. Reason(s) for requesting deferral of pediatric studies (address each age group separately and for each age group—choose all that apply):

- (a) Adult studies completed and ready for approval
- (b) Additional post-marketing safety data needed (describe)
- (c) Nature and extent of pediatric data needed (explain)
- (d) Evidence provided of technological problems with development of a pediatric formulation
- (e) Difficulty in enrolling pediatric patients (provide documentation)
- (f) Other (specify)

3. What pediatric age group(s) is/are not included in your deferral request?
4. Reason(s) for not including the pediatric age group(s) listed in number 3 in the deferral request (address each excluded age group separately and for each such age group—choose all that apply):
 - (a) Adequate pediatric labeling exists
 - (b) Studies completed in the specified age group
 - (c) Requesting a waiver
 - (d) Currently conducting pediatric studies that will be submitted with application
 - (e) Other (specify)
5. Has a pediatric plan been submitted to the Agency?
 - If so, provide date submitted.
 - If not, provide projected date pediatric plan is to be submitted.
6. Suggested deferred date for submission of studies.

ATTACHMENT C—COMPLIANCE DATES FOR APPLICATIONS SUBJECT TO PREA

Categories of Application	Expected Date of Compliance
Application or supplement submitted between 4/1/99 and 12/3/03, no waiver or deferral was granted and no studies were submitted	Immediate unless FDA specifies later date
Application or supplement submitted between 4/1/99 and 10/17/02, studies were deferred to a date after 4/1/99, but no studies were submitted	Deferral date + 411 days
Application or supplement submitted between 10/17/02 and 12/3/03 and approved after 12/3/03, studies were deferred	Immediate unless later date is specified in deferral letter
Applications submitted after 12/3/03, studies were deferred	Date specified in deferral letter

16 Global Regulatory Guidance on Bioequivalence Testing

BACKGROUND

Although the current bioequivalence guidelines and recommendations of the major regional and national health authorities show a fair degree of consistency, a number of outstanding bioequivalence issues and concerns remain to be resolved. The most obvious of these controversial issues, such as the bioequivalence acceptance limits for NTI drugs and HVDs/HVDPs, the use of metabolites for bioequivalence assessment, conditions to grant biowaivers, are not always dealt with in the same way by the various health authorities. Global harmonization should therefore be the next logical step in the continuing process to improve the bioequivalence guidelines as a means to guarantee safe and efficacious drug products for the consumer in all parts of the world. Global harmonization efforts by the International Conference on Harmonization (ICH) and the WHO should be stepped up in collaboration with the regulatory agencies of the Western world as more nations throughout the world have come to rely on low-cost, good-quality multi-source (generic) pharmaceutical products to provide lower healthcare costs without sacrificing important public health goals. However, as pointed out above, consensus on a number of bioequivalence issues has not been reached at this point in time amongst international regulatory agencies. In addition, differing levels of commitment and resources by the various countries and regions constitute another formidable barrier that has to be overcome to harmonize bioequivalence approaches to ensure development of optimally performing and affordable drug products for use by health practitioners and patients in the global community.

Due to significant recognition of the BA/bioequivalence concept all over the world, tremendous advancements have been made by the FDA as well as various national, international, and supra national regulatory authorities. In parallel, pharmaceutical industry and academia are also contributing in the area of assessment of bioequivalence. Currently available approaches to determine bioequivalence of generic products are largely standardized due to discussion and consensus reached among various stakeholders at numerous national and international meetings, conferences, and workshops (e.g., American Association of Pharmaceutical Scientists, Federation Internationale Pharmaceutique). Thus the currently available excellent scientific and regulatory guidance documents are due to the combined efforts of industry, academia, and regulatory scientists.

GLOBAL DIVERSITY

The adaptation of the bioequivalence concept worldwide for years has enabled the production and approval of quality generic products through profound scientific, technical, and regulatory advances (especially through replicate designs, application of BCS, scaled average BE) by various approaches to assess BE for various complex and special groups of drugs. This continuing success story of bioequivalence is based on the contribution to efficacy, safety, and quality by international regulatory authorities, pharma industry researchers, academic researchers, and indeed the efforts from ICH, WHO, and various international conferences. However, a lot remains to be done, especially to promote global harmonization of bioequivalence approaches, which should focus on uniformity, standardization of nomenclature, agreement on general concepts, alternative approaches for locally acting drug products, choice of test procedures, outlier challenge, consideration of bioequivalence criteria and objectives, all of which reflect regulatory decision-making standards, as well as ensuring product quality over time for both innovator and generic drugs. To achieve these objectives efforts should continue from international health organizations, pharmaceutical industries, researchers, and regulatory authorities to understand and to develop more efficient and scientifically valid approaches to assess BE and develop generic drugs in a cost-effective manner.

The magnitude of regulatory influence is often dictated by the availability of resources, expertise, and lack of regulation or its implementation. Thus there is a greater need to harmonize the regulatory environment globally for bioequivalence assessment as far as practicable so that the drug product marketed in different parts and regions of the world would have optimum drug product quality in terms of interchangeability. In recent years, some significant progress has been made towards harmonization; in addition some regulatory authorities are also in the process of cooperating with their counterparts from other countries to harmonize the regulatory requirements while streamlining their own regulatory requirements.

Tremendous work towards harmonization was initiated and completed by some organizations, especially the ICH and the World Health Organization (WHO). ICH is a consortium of regulatory authorities from Europe, Japan, and the United States which has focused primarily on developing guidelines

for standardizing and harmonizing the regulatory requirements, mainly for aspects of chemistry and manufacturing control, safety, and efficacy of new drug product quality. In addition, it has developed specific documents for the content and format of drug product dossiers. It has not yet focused on harmonizing the requirements for approval of generic equivalents. On the other hand, the WHO has made remarkable progress specifically in developing international consensus on the regulatory requirements for assessing bioequivalence for marketing authorization of multi-source pharmaceutical products for interchangeability, selection of comparator product for bioequivalence assessment, and other related regulatory documents. Apart from the ICH and WHO other European and Asian organizations (national and international) are actively involved in harmonization efforts for assessing of bioequivalence and improving the quality of pharmaceutical products globally.

GLOBAL AGENCIES

Every country now has its own individual regulatory authority as well as regulatory guidance for bioequivalence studies, and the regulatory environment of the respective country of marketing influences the magnitude of assessment of bioequivalence of drug product. The regulatory authorities of various countries and international organizations are listed and briefly described in Table 16.1.

GENERAL ASSESSMENT OF BIOEQUIVALENCE

The global paradigm for the assessment of bioequivalence of different drug products remains based on the fundamental assumption that two products are equivalent when the rate and extent of absorption of the test/generic drug does not show a significant difference from the rate and extent of absorption of the reference/brand drug under similar experimental conditions as defined. Global agencies classify bioequivalence studies in the descending order of preference as:

1. Pharmacokinetic endpoint studies
2. Pharmacodynamic endpoint studies
3. Clinical endpoint studies
4. In vitro endpoint studies

PHARMACOKINETIC ENDPOINT STUDIES

These studies are most widely preferred to assess bioequivalence for drug products, where drug level can be determined in an easily accessible biological fluid (such as plasma, blood, urine) and drug level is correlated with the clinical effect. The statutory definition of BA and bioequivalence, expressed in rate and extent of absorption of the active moiety or ingredient to the site of action, emphasizes the use of pharmacokinetic measures to indicate release of the drug substance from the drug product with absorption into the systemic circulation. Regulatory guidance recommends that measures of systemic exposure be used to reflect clinically

important differences between test and reference products in BA and bioequivalence studies. These measures include (i) total exposure AUC_{0-t} or $AUC_{0-\infty}$ for single-dose studies and $AUC_{0-\tau}$ for steady-state studies, (ii) peak exposure (C_{max}), and (iii) early exposure (partial AUC to peak time of the reference product of an immediate-release drug product). Reliance on systemic exposure measures will reflect comparable rate and extent of absorption, which, in turn, will achieve the underlying goal of assuring comparable therapeutic effects. Single-dose studies to document bioequivalence were preferred because they are generally more sensitive in assessing in vivo release of the drug substance from the drug product when compared to multiple-dose studies. Table 16.4 describes the general pharmacokinetic parameters (primary and secondary) for single-dose, multiple-dose, and urinary data.

The following are the circumstances that demand multiple-dose study/steady-state pharmacokinetics:

- Dose- or time-dependent pharmacokinetics
- For modified-release products for which the fluctuation in plasma concentration over a dosage interval at steady state needs to be assessed
- If problems of sensitivity preclude sufficiently precise plasma concentration measurements after single-dose administration
- If the intra-individual variability in the plasma concentration or disposition precludes the possibility of demonstrating bioequivalence in a reasonably sized single-dose study and this variability is reduced at steady state
- When a single-dose study cannot be conducted in healthy volunteers due to tolerability reasons, and a single-dose study is not feasible in patients
- If the medicine has a long terminal half-life, and blood concentrations after a single dose cannot be followed for a sufficient time
- For those medicines that induce their own metabolism or show large intra-individual variability
- For combination products for which the ratio of plasma concentration of the individual substances is important
- If the medicine is likely to accumulate in the body
- For enteric-coated preparations in which the coating is innovative

Under normal circumstances, blood should be the biological fluid sampled to measure drug concentrations. Most drugs may be measured in serum or plasma; however, in some drugs, whole blood (e.g., tacrolimus) may be more appropriate for analysis. If the blood concentrations are too minute to be detected and a substantial amount (40%) of the drug is eliminated unchanged in the urine, the urine may serve as the biological fluid to be sampled (e.g., alendronic acid).

Table 16.2 shows lists the primary pharmacokinetic parameters used in bioavailability and bioequivalence studies.

TABLE 16.1
Global Regulatory Agencies and Organizations

Country	Agency	Web Address
Armenia	Scientific Center of Drug and Medical Technologies Expertise (SCDMTE)	www.pharm.am/
ASEAN	Association of Southeast Asian Nations Consultative Committee for Standards and Quality	www.aseansec.org/
Australia	Therapeutic Goods Administration (TGA)	www.tga.gov.au/
Belgium	Pharmaceutical Inspectorate	http://afigp.fgov.be/
Brazil	National Health Surveillance Agency (ANVISA)	www.anvisa.gov.br/
Bulgaria	Drug Agency	www.bda.bg/
Canada	Health Canada	www.hc-sc.gc.ca/
China, People's Republic of	National Institute for the Control of Pharmaceutical and Biological Products	www.nicbp.org.cn/cmsweb/
Colombia	Instituto Nacional de Vigilancia de Medicamentos y Alimentos (INVIMA)	http://web.invima.gov.co/
Czech Republic	State Institute for Drug Control	www.sukl.cz/
Europe	European Medicines Agency (EMA)	www.ema.europa.eu/
European Union	European Commission and EMEA	www.ema.europa.eu/
Fiji	Ministry of Health	www.health.gov.fj/
Finland	National Agency for Medicines	www.nam.fi/
France	Agence Française de Sécurité Sanitaire des Produits de Santé (AFSSAPS)	www.afssaps.fr/
Germany	Federal Institute for Drugs and Medical Devices	www.bfarm.de/
Japan	Global GMP Harmonization	www.nihs.go.jp/drug/section3/hiyama070518-3.pdf
Global Harmonization Task Force	GHTF	www.ghrf.org/
Greece	National Organization for Medicines	www.eof.gr/
Hong Kong	Department of Health	www.dh.gov.hk/
Iceland	Icelandic Medicines Agency (IMA)	www.imca.is/
India	Central Drugs Standard Control Organization (CDSCO)	http://cdsco.nic.in/
Indonesia	Ministry of Health	www.depkes.go.id/
International Conference on Harmonization	ICH	www.ich.org/
Ireland	Health Products Regulatory Authority (HPRA)	www.hpra.ie
Israel	Ministry of Health	www.health.gov.il/
Italy	National Institute of Health	www.iss.it/
Japan	Pharmaceuticals and Medical Devices Agency (PMDA)	www.pmda.go.jp/
Kenya	Ministry of Health	www.publichealth.go.ke/
Korea	Korea Food and Drug Administration (KFDA)	www.kfda.go.kr/
Malaysia	National Pharmaceutical Control Bureau	http://portal.bpfk.gov.my/
Mexico	Ministry of Health	www.salud.gob.mx/
Namibia	Ministry of Health and Social Services	www.healthforall.net/grnmhss/
Netherlands	Medicines Evaluation Board	www.cbg-meb.nl/
New Zealand	Medicines and Medical Devices Safety Authority (MEDSAFE)	www.medsafe.govt.nz/
Norway	Norwegian Medicines Agency	www.legemiddelverket.no/
Poland	Drug Institute	www.il.waw.pl/
Saudi Arabia	Ministry of Health	www.moh.gov.sa/
Singapore	Health Sciences Authority (HSA)	www.hsa.gov.sg
South Africa	Medicines Control Council (MCC)	www.mccza.com/
Spain	Spanish Drug Agency	www.msc.es/
Sri Lanka	Ministry of Health	www.health.gov.lk/
Sweden	Medical Products Agency	www.lakemedelsverket.se/
Switzerland	Swiss Agency for Therapeutic Products	www.swissmedic.ch/
Taiwan	Department of Health (DOH)	www.doh.gov.tw/
Tanzania	Ministry of Health	www.tanzania.go.tz/

(Continued)

TABLE 16.1 (CONTINUED)
Global Regulatory Agencies and Organizations

Country	Agency	Web Address
United Arab Emirates	Federal Department of Pharmacies	www.uae.gov.ae/
United Kingdom	Medicines and Healthcare products Regulatory Agency (MHRA)	www.mhra.gov.uk/
United States	U.S. Food and Drug Administration (FDA)	www.fda.gov/
World Health Organization	WHO	www.who.int/
Zimbabwe	Ministry of Health and Child Welfare	www.gta.gov.zw/health.html

TABLE 16.2
Primary Pharmacokinetic Parameters Used in Bioavailability and Bioequivalence Testing

Study Type	Primary Parameters	Secondary Parameters
Single-dose	C_{\max} , AUC_{0-t} , $AUC_{0-\infty}$	T_{\max} , $AUC\%$ extrapolation, MRT, Kel, $t_{1/2}$
Steady-State	$C_{\max}(ss)$, $C_{\min}(ss)$, $AUC_{0-\tau}$	$T_{\min}(ss)$, $T_{\max}(ss)$, % swing, % fluctuation
Urinary-based	$Ae(0-t)$, $Ae(0-\infty)$, R_{\max}	T_{lag}

PHARMACODYNAMIC ENDPOINT STUDIES

Pharmacokinetic studies measure systemic exposure but are generally inappropriate to document local delivery BA and bioequivalence. In such cases, BA may be measured, and bioequivalence may be established, based on a pharmacodynamic study, providing an appropriate pharmacodynamic endpoint is available. Pharmacodynamic evaluation is measurement of the effect on a pathophysiological process, such as a function of time, after administration of two different products to serve as a basis for bioequivalence assessment. Regulatory authorities request justification from the applicant for the use of pharmacodynamic effects/parameters for the establishment of bioequivalence criteria. These studies generally become necessary under two conditions: (1) if the drug and/or metabolite(s) in plasma or urine cannot be analyzed quantitatively with sufficient accuracy and sensitivity; (2) if drug concentration measurement cannot be used as surrogate endpoints for the demonstration of efficacy and safety of the particular pharmaceutical product. The other important specifications for pharmacodynamic studies include (i) a dose–response relationship should be demonstrated; (ii) sufficient measurements should be taken to provide an appropriate pharmacodynamic response profile; (iii) the complete dose–effect curve should remain below the maximum physiological response; (iv) all pharmacodynamic measurements/methods should be validated for specificity, accuracy, and reproducibility. Examples of these pharmacodynamic studies include locally acting drug products and oral inhalation drug products, such as metered dose inhalers and dry powder inhalers, and topically applied dermatologic drug products, such as creams and ointments. Bronchodilator drug products, such as albuterol metered dose inhalers, produce relaxation of smooth muscle of the airways. For these drug products, a pharmacodynamic endpoint, based either on increase in

forced expiratory volume in one second (FEV) or on measurement of PD_{20} or PC_{20} (the dose or concentration, respectively, of a challenge agent), is clinically relevant and may be used for BA and bioequivalence studies.

CLINICAL ENDPOINT STUDIES OR COMPARATIVE CLINICAL TRIALS

In the absence of pharmacokinetic and pharmacodynamic approaches, adequate and well-controlled clinical trials may be used to establish bioequivalence. Several international regulatory authorities provide general information about the conduct of clinical studies to establish bioequivalence.

IN VITRO ENDPOINT STUDIES

More recently, a Biopharmaceutics Classification System (BCS) has categorized drug substances as having either high or low solubility and permeability and drug products as exhibiting rapid dissolution. According to this approach, drug substances may be classified into four primary groups:

1. Highly soluble and highly permeable
2. Highly permeable and poorly soluble
3. Highly soluble and poorly permeable
4. Poorly soluble and poorly permeable

Using this BCS approach, a highly permeable, highly soluble drug substance formulated into a rapidly dissolving drug product may need only in vitro dissolution studies to establish bioequivalence. In addition, in vitro approaches to document bioequivalence for drugs with no known bioavailability problems and approved before 1962 remain acceptable as per FDA regulations. Dissolution tests can also be used to reduce the number of in vivo studies in other circumstances and to

(i) assess batch-to-batch quality and support batch release; (ii) provide process control and quality assurance; and (iii) assess the need for further bioequivalence studies relative to minor post-approval changes, where they function as a signal of bioequivalence.

DESIGN AND ANALYSIS

The general considerations for the advancement of conducting bioequivalence studies are:

- Study design and protocol
- Bioanalysis
- Selection of appropriate analyte(s)
- Bioequivalence metrics and data treatment
- Statistical approaches and analysis
- Acceptance criteria for bioequivalence

STUDY DESIGN

Successfully determining the bioequivalence of generic drugs to their respective reference drugs depends mostly on design and managing the conduct of the study such that the highest quality samples are obtained. Some regulatory authorities provide specific information on reference-listed drugs to be used to demonstrate bioequivalence (see Table 16.3).

Attention should also be paid to sizing the study properly (to achieve sufficient statistical power to demonstrate bioequivalence); enrolling subjects as per relevant inclusion and exclusion criteria; ensuring that the appropriate overall design (simple two-period crossover, replicate design to gain direct information on within-subject variability for both test and reference product or parallel design) can adequately address the question at hand; standardization of the environmental conditions (such as, fasting, fed, ambulatory, supine); and ensuring that good clinical practices are strictly adhered to and documented. All of these should be planned a priori and embodied in the overall protocol and study plan for the smooth execution of bioequivalence studies.

Generally the study design and number of studies (single-dose and/or multiple-dose and/or fasting and/or fed) depend on the RLD or reference listed drug product, physicochemical properties of the drug, its pharmacokinetic properties, and proportionality in composition with justification along with respective regulatory guidance and specifications. Table 16.4

describes various study designs generally used for bioequivalence studies.

Genetic variations among ethnic and/or racial backgrounds can alter the drug disposition (e.g., white persons who predominantly express less P-glycoprotein in intestinal epithelial cells than black persons) and thus lead to potential sources of variability in pharmacokinetic parameters apart from geographical, food habits, and metabolic variations. For bioequivalence studies, these problems will be minimized using crossover designs, and hence US and Europe regulatory agencies (but not Japan, Korea, and Mexico, for example) are accepting bioequivalence studies from other countries also, as these factors mostly do not have much effect on test and reference products. Bioequivalence studies should be generally performed on a healthy population unless safety warrants (patient population should be preferred if the risk associated with the drug is higher in healthy populations, e.g., anticancer drugs) as they facilitate the provision of adequate information to detect formulation differences and allow extrapolation of this information to populations for which the brand drug is approved.

The regulatory specifications on strength to be investigated, demographics, sample size, number of studies required, fasting and/or fed requirements, standardization of experimental conditions (fluid intake, posture, and physical activity), add-on design, and sampling and washout criteria are briefly described in Tables 16.5 to 16.12.

As a result of random variation or a larger than expected relative difference, there is no guarantee that the sample size as calculated will pass the standards. If the study is run with the appropriate size and the standards are not met, the sponsor may add more subjects, and this approach is generally referred to as an “add-on” study (see Table 16.11).

BIOANALYSIS

In a general prospective of BA/bioequivalence studies, bioanalysis should be the subsequent step following clinical operations of the study, and it should be executed with strict adherence to good laboratory practices, standard operating procedures, and specific regulatory requirements. Bioanalysis is a term generally used to describe the quantitative measurement of a compound (drug) or its metabolite in biological fluids, primarily blood, plasma, serum, urine, or tissue extracts. Bioanalysis typically consists of two important components: (1) sample preparation and (2) detection of

TABLE 16.3
Agencies Providing Specific Information on Drugs to Conduct Bioequivalence Studies

Country	URL
USA	www.accessdata.fda.gov/scripts/cder/drugsatfda/index.cfm www.fda.gov/Drugs/GuidanceComplianceRegulatoryInformation/Guidances/ucm075214.htm
Canada	http://webprod.hc-sc.gc.ca/dpd-bdpp/index-eng.jsp
Europe	www.medicines.org.uk/EMC/browsedocuments.aspx
Australia	www.ebs.tga.gov.au/

TABLE 16.4
Brief Description of Bioavailability and Bioequivalence Testing Designs

Design	Significance	Advantages	Disadvantages
Crossover	<ul style="list-style-type: none"> When intra-subject CV (approx. 15%) is usually substantially smaller than that inter-subject CV (approx. 30%) Generally recommended by all regulatory authorities 	<ul style="list-style-type: none"> Since the treatments are compared on the same subject, the inter-subject variability does not contribute to the error variability Subject randomization causes unbiased determination of treatment effects Large information based on minimum sample size Straightforward statistical analysis 	<ul style="list-style-type: none"> Carryover effects and period effects are possible due to inappropriate wash-out period Long duration Possibility of more drop outs leads to insufficient power Not suitable for long half-life drugs Not optimal for studies in patients and highly variable drugs
Parallel	<ul style="list-style-type: none"> If the drug has a very long terminal elimination half-life Duration of the washout time for the two-period crossover study is so long (if >1 month) If the intra-subject CV is higher with crossover design 	<ul style="list-style-type: none"> Design is simple and robust Drop outs will be comparatively less Duration of the study is less than crossover study Study with patients is possible Straightforward statistical analysis 	<ul style="list-style-type: none"> Subjects cannot serve as their own controls for intra-subject comparisons Large sample size is required Lower statistical power than crossover Phenotyping mandatory for drugs showing polymorphism
Replicate	<ul style="list-style-type: none"> Useful for highly variable drugs (intra-subject CV \geq 30%) 	<ul style="list-style-type: none"> Allows comparisons of within-subject variances for the test and reference products Indicates whether a test product exhibits higher or lower within-subject variability in the bioavailability measures when compared to the reference product Provides more information about the intrinsic factors underlying formulation performance Reduces the number of subjects needed in the bioequivalence study The number of subjects required to demonstrate bioequivalence can be reduced by up to about 50% Design increases the power of the study when the variability in the systemic exposure of the test drug and formulation is high 	<ul style="list-style-type: none"> Involves larger volume of blood withdrawn from each subject Longer duration of the entire study Increased possibility of subject drop outs Expensive
Variance balanced design	<ul style="list-style-type: none"> For more than two formulations Desirable to estimate the pairwise effects with the same degree of precision 	<ul style="list-style-type: none"> Allows the choice between two additional candidate test formulations Comparison of test formulation with several reference formulations Standard design for the establishment of dose proportionality 	<ul style="list-style-type: none"> Statistical analysis is more complicated (especially when drop-out rate is high) May need measures against multiplicity (increasing the sample size)

the desired compound using a validated method. Excellent scientific and regulatory guidance documents are available that outline the requirements for a fully validated method. The application of validated methodology presupposes that the most appropriate analyte is monitored to attest to the question of bioequivalence.

SELECTION OF APPROPRIATE ANALYTE(S)

Each regulatory authority has its own specifications for selection of an appropriate analyte to be measured as well

as consideration for bioequivalence. Most commonly, the investigator should consult the relevant regulatory agency for guidance on a particular therapeutic agent. The general considerations are discussed in the following sections.

PARENT DRUG VS. METABOLITE(S)

Bioequivalence based on test/reference comparisons of pharmacokinetic measures serves two purposes: (1) to act as a surrogate for therapeutic equivalence, (2) to provide in vivo evidence of pharmaceutical quality. The overall objective of

TABLE 16.5
Brief Description of the Criteria on Strength to Be Investigated in Bioequivalence Studies

Country	Linear Pharmacokinetics	Nonlinear Pharmacokinetics
Europe and Australia	<p>General: The bioequivalence study should in general be conducted at the highest strength</p> <p>Highly soluble drug and any safety concern: Lower strength acceptable</p> <p>Problems of sensitivity of the analytical method: Highest strength acceptable</p>	<p>For drugs with nonlinear pharmacokinetics characterized by a more than proportional increase in AUC with increasing dose over the therapeutic dose range, the bioequivalence study should in general be conducted at the highest strength. As for drugs with linear pharmacokinetics a lower strength may be justified if the highest strength cannot be administered to healthy volunteers for safety/tolerability reasons. Likewise a higher dose may be used in case of sensitivity problems of the analytical method in line with the recommendations given for products with linear pharmacokinetics above.</p> <p>For drugs with a less than proportional increase in AUC with increasing dose over the therapeutic dose range, bioequivalence should in most cases be established both at the highest strength and at the lowest strength (or strength in the linear range), i.e., in this situation two bioequivalence studies are needed.</p> <p>If the nonlinearity is not caused by limited solubility but is due to, e.g., saturation of uptake transporters and provided that (a) the same manufacturing process is used; (b) qualitative composition of the different strengths is the same; (c) compositions of the strengths are quantitatively proportional; (d) appropriate in vitro dissolution data should confirm the adequacy of waiving additional in vivo bioequivalence testing and the test and reference products do not contain any excipients that may affect gastrointestinal motility or transport protein, it is sufficient to demonstrate bioequivalence of proteins at the lowest strength (or strength in the linear range).</p>
United States	<p>Reference listed drug in the Orange Book; usually the highest strength if formulations are proportionally similar.</p> <p>For an ANDA, conducting an in vivo study on a strength that is not the highest may be appropriate for reasons of safety, subject to approval by the Division of Bioequivalence, Office of Generic Drugs and provided that the following conditions are met: (a) linear elimination kinetics has been shown over the therapeutic dose range; (b) the higher strengths of the test and reference products are proportionally similar to their corresponding lower strength; (c) comparative dissolution testing on the higher strength of the test and reference products is submitted and found to be appropriate.</p>	Not specified
Saudi Arabia	<p>For conventional solid oral drug products, in vivo bioequivalence studies are conducted on the highest strength. This requirement for the lower strengths can be waived provided: (a) in vivo bioequivalence is demonstrated on the highest strengths; (b) in vitro dissolution testing is acceptable; and (c) the formulations for the lower strengths are proportionally similar to the strength which has undergone in vivo bioequivalence testing (i.e., the ratio of active ingredients and excipients between the strengths is essentially the same).</p>	Not specified
Canada	Generally use strength with largest sensitivity to identify differences in formulation. Reference product is: (1) a drug product that has been issued a notice of compliance pursuant to Section C.08.004 of the Food and Drug Regulations and is currently marketed in Canada by the innovator, or (2) a drug product acceptable to the Director.	
Asia	Test products in an application for a generic product are normally compared with the corresponding dosage form of an innovator medicinal product (reference product). The choice of reference product should be justified by the applicant and agreed upon by the regulatory authority. If the innovator product is not available, an alternative comparator product approved by the drug regulatory authority of the country can be used.	
New Zealand	When the drug product is the first market entry of that type of dosage form, the reference product should normally be the innovator's prompt-release formulation. The comparison should be between a single dose of the drug formulation and doses of the prompt-release formulation which it is intended to replace.	
Korea	Reference drug product is an approved drug product (or an approved imported drug product) the safety and efficacy of which have been established or recognized by the Commissioner of the KFDA.	

TABLE 16.6
Regulatory Criteria on Subject Demographics for Bioequivalence Studies

Country	Sex	Age (Years)	Body Mass Index (BMI) (kg/m ²)
India	Male or female	Healthy adult volunteers	Not specified
Asia	Either sex	18–55	18–30; Asians: 18–25
United States	Both sexes	>18	Not specified
Europe	Either sex	>18	18.5–30
Canada	Both sexes	18–55	Height/weight ratio for healthy volunteer subjects should be within 15% of the normal range
Australia	Either sex	18–55	Accepted normal BMI
South Africa	Either sex	18–55	Accepted normal BMI or within 15% of the ideal body mass or any other recognized reference
Russia	Both sexes	19–45	Weight of body does not fall outside the limits $\pm 15\%$ on Kettle total-height index
Korea	Healthy adult	19–55	Not specified
Japan	Healthy adult	Not specified	Not specified
People's Republic of China	Both sexes	18–40	Standard weight range
Mexico	Avoiding pharmacokinetic differences between sexes is well documented; volunteers of just one sex must be included	18–55	Weight 10% from the ideal weight
Saudi Arabia	If females are included in the study, the effects of gender differences and menstrual cycle (if applicable) are examined statistically	18–50	Within 15% of ideal body weight, height, and body build
New Zealand	Both sexes	Age range prior to the onset of age-related physiological changes (usually 18–60)	Average weight (e.g., within $\pm 15\%$ of their ideal weight as given in the current Metropolitan Life Insurance Company Height and Mass Tables)

bioequivalence is to ensure that generic products have efficacy and safety characteristics similar to those of the corresponding reference product. For the most part, traditional bioequivalence studies have been carried out on the basis of measurement of only the parent drug in body fluids such as plasma or serum. In some cases, however, monitoring a metabolite, or the parent and metabolite(s), may be more appropriate. A number of reasons for use of metabolite data have been put forward, such as (i) the parent is an inactive prodrug, (ii) plasma concentrations of the parent drug are too low to monitor because of inadequate assay sensitivity, (iii) the parent drug is metabolized rapidly to an active metabolite, and (iv) the parent drug and a metabolite both have therapeutic activities but the metabolite is present in higher concentrations when the parent drug is rapidly and extensively metabolized such that only metabolite(s) data are available.

ENANTIOMERS VS. RACEMATES

For BA/bioequivalence studies, measurement of both enantiomers may be important. For bioequivalence studies,

measurement of the racemate using an achiral assay has been recommended, without measurement of individual enantiomers except when (i) the enantiomers exhibit different pharmacodynamic characteristics; (ii) the enantiomers exhibit different pharmacokinetics; (iii) the primary activity resides with the minor enantiomers; and (iv) nonlinear absorption is present (as expressed by a change in the enantiomers concentration ratio with change in the input rate of the drug) for at least one of the enantiomers.

DRUG PRODUCTS WITH COMPLEX MIXTURES

Certain drug products may contain complex drug substances, i.e., active moiety or active ingredient(s), which are mixtures of multiple synthetic and/or natural source components. Some or all of the components of these complex drug substances may not be characterized by chemical structure and/or biological activity. In this circumstance, BA and bioequivalence studies may be based on selected markers of peak and total exposure.

TABLE 16.7
Regulatory Criteria on Sample Size for Bioequivalence Studies

Country	Minimum	Sample Size Specifications
India	Should not be <16 unless justified for ethical reasons	The number of subjects required for a study should be statistically significant and should be sufficient to allow for possible withdrawals or removals (drop outs) from the study
Asia	Should not be <12	The number of subjects required is determined by (a) the error variance associated with the primary characteristic to be studied as estimated from a pilot experiment, from previous studies or from published data; (b) the significance level desired; (c) the expected deviation from the reference product compatible with bioequivalence (delta, i.e., percentage difference from 100%); and (d) the required power
United States	12	A sufficient number of subjects should complete the study to achieve adequate power for a statistical assessment
Europe	Should not be <12	The number of subjects to be included in the study should be based on an appropriate sample size calculation
Canada	12	(a) Obtain an estimate of the intra-subject Cv from the literature or from a pilot study; (b) choose one of Figures 3.1 through 3.3 (mentioned in bioequivalence guidance document) by determining which one has the closest rounded-up Cv to that estimated in (a), above; (c) choose an expected true ratio of test over reference means (usually 100%) and move up the graph to the 0.90 probability of acceptance; (d) a linear extrapolation between given sample sizes is adequate. This sample size calculation must be provided in the study protocol. More subjects than the sample size calculation required should be recruited into the study. This strategy allows for possible drop outs and withdrawals
Australia	Should not be <16 unless justified	Same as that of Asian guidelines
South Africa	Should not be <12 (general); 20 subjects (for modified release oral dosage forms)	The number of subjects should be justified on the basis of providing at least 80% power of meeting the acceptance criteria; alternatively, the sample size can be calculated using appropriate power equations, which should be presented in the protocol
Russia	18	In quantity sufficient for ensuring statistical significance of study. Thus capacity of the statistical test for bioequivalence study must be supported at a level of not less than 80% for revealing 20% distinctions between comparison parameter
Korea	12	The number of subjects should meet the requirements for statistical validity. The number of subjects can be determined based on the characteristics of the active component of the pertinent drug product
Japan	20	A sufficient number of subjects for assessing bioequivalence should be included. If bioequivalence cannot be demonstrated because of an insufficient number, an add-on subject study can be performed using not less than half the number of subjects in the initial study. A sample size of 20 (n = 10/group) for the initial study and pooled size of 30 for initial plus add-on subject study may suffice if test and reference products are equivalent in dissolution and similar in average AUC and C _{max}
Saudi Arabia	A number of subjects of less than 24 may be accepted (with a minimum of 12 subjects) when statistically justifiable	Generally recommends a number of 24 normal healthy subjects. Should enroll a number of subjects sufficient to ensure adequate statistical results, which is based on the power function of the parametric statistical test procedure applied. The number of subjects should be determined using appropriate methods taking into account the error variance associated with the primary parameters to be studied (as estimated for a pilot experiment, from previous studies or from published data), the significance level desired ($\alpha = 0.05$), and the deviation from the reference product compatible with bioequivalence ($\pm 20\%$) and compatible with safety and efficacy
New Zealand	12	The number of subjects should provide the study with a sufficient statistical power (usually $\geq 80\%$) to detect the allowed difference (usually 20%) between the test and reference medicines for AUC and C _{max} This number (n) may, in many cases, be estimated in advance from published or pilot study data using formulae If the calculated number of subjects appears to be higher than is ethically justifiable, it may be necessary to accept a statistical power which is less than desirable. Normally it is not practical to use more than about 40 subjects in a bioavailability study
Mexico	Sample size must not be <24 subjects considering both sequences, or it must meet the requirement related to a difference to be detected of $\pm 20\%$ for the reference product's mean, associated with a type-i error (*) of 0.05 and a minimal potency of (1-*) of 0.8 for this kind of design. A sample size of <24 subjects must be scientifically justified	
Brazil	The number of healthy volunteers shall at all times assure an adequate statistical power to guarantee reliability of bioequivalence study results	

TABLE 16.8
Regulatory Criteria on Number of Studies Required for Conducting Bioequivalence Studies

Country	Immediate-Release Formulations	Modified-Release Formulations
India	Generally a single-dose, nonreplicate, fasting study Food-effect studies are required (1) when it is recommended that the study drug should be taken with food (as would be in routine clinical practice); (2) when fasting-state studies make assessment of C_{max} and T_{max} difficult. If multiple-study design is important, appropriate dosage administered and sampling be carried out to document attainment of steady state	Should conduct fasting as well as food-effect studies. If multiple-study design is important, appropriate dosage administered and sampling carried out to document attainment of steady state
United States	Generally two studies: <ul style="list-style-type: none"> • A single-dose, nonreplicate fasting study • A food-effect, nonreplicate study Food effect study can be excepted in the following cases: (1) When both test product and RLD are rapidly dissolving, have similar dissolution profiles, and contain a drug substance with high solubility and high permeability (BCS Class I); or (2) when the dosage and administration section of the RLD label states that the product should be taken only on an empty stomach; or (3) when the RLD label does not make any statements about the effect of food on absorption or administration. If food effect is mentioned in the RLD label and if multiple-study design is important, appropriate dosage administered and sampling be carried out to document attainment of steady state	Should conduct fasting as well as food-effect studies. If multiple-study design is important, appropriate dosage administered and sampling be carried out to document attainment of steady state
Europe and Australia	Generally a single-dose, nonreplicate, fasting study Food-effect studies are required if the Summary of Product Characteristics of the reference product contains specific recommendations in relation to food interaction	Should conduct fasting, food-effect as well as steady-state studies
Canada	Generally comparative BA studies conducted in the fasting state Fed study is acceptable if there is a documented serious safety risk to subjects from single-dose administration of the drug or drug product in the absence of food; then an appropriately designed study conducted in the presence of only a quantity of food sufficient to prevent the toxicity may be acceptable for purposes of bioequivalence assessment. For complicated IR formulations (narrow therapeutic range drugs, highly toxic drugs, and nonlinear drugs): both fasted and fed studies	Usual requirement is for both fasted and fed studies. If multiple-study design is important, appropriate dosage administered and sampling be carried out to document attainment of steady state
South Africa	Should be done under fasting conditions unless food effects affect bioavailability of drug or reference product dosage recommended	Both fed and fasted studies are required. If multiple-study design is important, it should be carried out as per regulatory specifications
Korea	Generally a single-dose, nonreplicate, fasting study	Should conduct fasting, food-effect as well as steady-state studies
Japan	Both fasting as well as food-effect studies	Should conduct fasting, food-effect as well as steady-state studies
Saudi Arabia	Generally a single-dose, nonreplicate, fasting study is required. Food-effect studies are required (1) if there is documented evidence of effect of food on drug absorption, (2) the drug is recommended to be administered with food, (3) the drug may produce gastric irritation under fasting conditions, thus may be taken with food	Should conduct fasting as well as food-effect studies
New Zealand	Generally a single-dose fasting study is required Fed study is required when it is recommended that the drug be given with food, or fasted studies make assessment of C_{max} and T_{max} difficult	Should conduct fasting as well as food-effect studies. Steady-state studies are generally required if the drugs are likely to accumulate along with single-dose studies

BIOEQUIVALENCE METRICS AND DATA TREATMENT

The most frequent data treatment involves analysis of variance using a suitable program such as SAS® (Statistical Analysis System, SAS Institute, Cary, NC) or WinNonlin® (Pharsight Corporation, St. Louis, MO) so that contributions from subject, period, product/formulation, and interactions between these can be examined. Geometric mean ratios and log-transformed

data are examined to test the hypothesis that the 90% confidence interval of extent (AUC_{0-t}) and $AUC_{0-\infty}$ and the maximum concentration (C_{max}) fall within the acceptance limits of 80% to 125%. More recently, other data treatments have been popular, which include partial area measurements and exposure metrics including C_{max}/AUC , especially with highly variable drugs (HVDs) and with drugs having a long terminal $t_{1/2}$, specialized dosage forms, and/or whose time to C_{max} is considered important (e.g., certain analgesics). In all of these

TABLE 16.9
Regulatory Criteria for Conducting Fasting and Fed Bioequivalence Studies

Country	Fasting Requirements	Fed-Study Requirement
India	Overnight fast (at least 10 h), with a subsequent fast of 4 h following dosing. For multiple-dose fasting studies, when an evening dose must be given, 2 h before and after the dosing	950–1000 kcal of high-fat breakfast approximately 15 min before dosing (at least 50% of calories must come from fat, 15–20% from proteins and rest from carbohydrates) The vast ethnic and cultural restrictions of the Indian subcontinent preclude the recommendation of a single standard high fat; in this case protocol should specify the appropriate and suitable diet
United States	Following an overnight fast of at least 10 h, with a subsequent fast of 4 h post dose	A high-fat (approximately 50% of total caloric content of the meal), high-calorie (approximately 800–1000 calories) meal is recommended. This test meal should derive approximately 150, 250, and 500–600 calories from protein, carbohydrate, and fat, respectively. The caloric breakdown of the test meal should be provided in the study report. If the caloric breakdown of the meal is significantly different from the one described above, a scientific rationale is required for this difference Following an overnight fast of at least 10 h, subjects should start the recommended meal 30 min prior to dosing. Study subjects should eat this meal in 30 min or less; however, the drug product should be administered 30 min after start of the meal
Europe and Australia	Should fast for at least 8 h prior to dosing, unless otherwise justified, and no food is allowed for at least 4 h post dose	The composition of the meal is recommended to be according to the SPC of the originator product. If no specific recommendation is given in the originator SPC, the meal should be a high-fat (approximately 50% of total caloric content of the meal) and high-calorie (approximately 800 to 1000 kcal) meal. This test meal should derive approximately 150, 250, and 500–600 kcal from protein, carbohydrate, and fat, respectively. The composition of the meal should be described in terms of protein, carbohydrate, and fat content (specified in grams, calories, and relative caloric content (%))
Canada	Following an overnight fast of at least 10 h, with a subsequent fast of 4 h post dose	Should be a representative meal in which sufficient food is given to allow potential perturbation of systemic BA of the drug from the drug product. The sponsor should justify the choice of meal and relate the specific components and timing of food administration Example: Two eggs fried in butter, two strips of bacon, two slices of toast with butter, 120 g of hash browns, and 240 mL of whole milk
South Africa	Fasting prior to dosing and after dosing should be standardized	Use of high-calorie and high-fat meals is recommended
Korea	Should be fasted for at least 10 h before and up to 4 h after the drug administration	High-fat diet should be taken within 20 min in at least a 10-h fasting state. The drug products should be administered 30 min after the meal starts
Saudi Arabia	Following an overnight fast of at least 10 h, with a subsequent fast of 4 h post dose	A high-fat (approximately 50% of total caloric content of the meal), high-calorie (approximately 1000 calories) breakfast. Alternative meals with equivalent nutritional content can be used
New Zealand	After an overnight fast of at least 10 h, with a subsequent fast of 2–4 h following dose administration	The meal should contain approximately 30–40 g of fat

cases, the objective has been to err on the side of protecting the consumer while at times increasing risk to the manufacturer. Hence, over the last 15 years, considerable debate has occurred globally about the fundamental scientific rationale used to establish bioequivalence for some of these “special” cases, in an effort to solve these issues associated with harmonization of drug equivalence approaches.

STATISTICAL APPROACHES

Considerable debate has ensued over the past 20 years on statistical testing and bioequivalence studies. After protracted, wide-ranging, and in-depth discussion among various experts from different locations, specific statistical regulatory guidance is available to investigators conducting bioequivalence

studies. The various pharmacokinetic parameters derived from the plasma concentration–time curve are subjected to ANOVA in which the variance is partitioned into components according to subjects, periods, and treatments. The classical null hypothesis test is the hypothesis of equal means, $H_0: \mu_T = \mu_R$ (i.e., products are bioequivalent), where μ_T and μ_R represent the expected mean bioavailabilities of the test and reference products, respectively. The alternate hypothesis therefore is $H_1: \mu_T \neq \mu_R$ (i.e., products are bioinequivalent).

The detection of the difference becomes simply a function of sample size, and since the probable magnitude of the difference is the critical factor, this gives rise to two anomalies: (i) a large difference between two formulations which is nevertheless not statistically significant if error variability is high and/or sample size not large enough, (ii) a small difference,

TABLE 16.10
Regulatory Criteria on Fluid Intake, Posture, and Physical Activity for Bioequivalence Studies

Country	Fluid Intake	Posture and Physical Activity
India	Standardization of fluid intake and physical activity is required, and it should be stated in protocol	
United States	Subjects should be administered the drug product with 240 mL (8 fluid ounces) of water; water is allowed as desired except for 1 h before and 1 h after the drug administration	Standardized
Asia, Europe, and Australia	The drug products should be administered with a standardized volume of fluid (at least 150 mL). Prior to and during each study phase, subjects should be allowed water as desired except for 1 hour before and after drug administration	As the bioavailability of an active moiety from a dosage form could be dependent upon gastrointestinal transit times, and regional blood flows, posture and physical activity may need to be standardized
Canada	On the morning of the study, up to 250 mL of water may be permitted up to 2 h before drug administration. The dose should be taken with water of a standard volume (e.g., 150 mL) and at a standard temperature. Two hours after drug administration, 250 mL of xanthine-free fluids is permitted	For most drugs, subjects should not be allowed to recline until at least 2 h after drug ingestion. Physical activity and posture should be standardized as much as possible to limit effects on gastrointestinal blood flow and motility. The same pattern of posture and activity should be maintained for each study day
South Africa	The volume of fluid administered at the time of dosing should be constant (e.g., 200 mL); fluids taken after dosing should also be standardized	Should be standardized
Korea	Drug products should be administered with 240 mL of water; drinking water 1 h before and after the administration of drug products is not allowed	Subjects should not be in a supine position at least 2 h after the administration of drug products and should maintain a posture and do only activities that would minimize the effects on their gastrointestinal blood flow rate and motility
Saudi Arabia	The test or reference products should be administered with about 8 fluid ounces (240 mL) of water; water allowed as desired except for 1 h before and after drug administration	Appropriate restrictions on fluid intake and physical activities should be made
New Zealand	The quantity, type, and timing of food and fluid taken concurrently with the medicine should be stated and should be controlled	Standardization of posture and physical activity is important. Subjects should not be allowed to recline until at least 2 h after oral administration of the medicine

TABLE 16.11
Regulatory “Add-On Criteria” for Conducting Bioequivalence Studies

Country	Add-On Criteria
Europe and Australia	It is acceptable to use a two-stage approach when attempting to demonstrate bioequivalence. An initial group of subjects can be treated and their data analyzed. If bioequivalence has not been demonstrated an additional group can be recruited and the results from both groups combined in a final analysis. If this approach is adopted appropriate steps must be taken to preserve the overall type I error of the experiment and the stopping criteria should be clearly defined prior to the study. The analysis of the first-stage data should be treated as an interim analysis and both analyses conducted at adjusted significance levels
South Africa	If the bioequivalence study was performed with the appropriate size but bioequivalence cannot be demonstrated because of a result of a larger than expected random variation or a relative difference, an add-on subject study can be performed using not less than half the number of subjects in the initial study. Combining is acceptable only if the same protocol was used and preparations from the same batches were used. Add-on designs must be carried out strictly according to the study protocol and standard operating procedures and must be given appropriate statistical treatment, including consideration of consumer risk
Canada	As a result of random variation or a larger than expected relative difference, there is no guarantee that the sample size as calculated will pass the standards. If the study is run with the appropriate size and the standards are not met, the sponsor may add more subjects (a minimum of 12). The same protocol should be used (i.e., same formulations, same lots, same blood sampling times, a minimum number of 12 subjects). The choice to use this strategy, as with all designs, should be declared and justified a priori. The level of confidence should be adjusted using the Bonferroni procedure. The t-value should be that for $P = 0.025$ instead of 0.05
Japan	Also for add-on study an additional ten subjects are recommended along with initial subjects

TABLE 16.12
Regulatory Criteria on Sampling and Washout Period for Conducting Bioequivalence Studies

Country	Sampling Criteria	Washout Criteria
India	<p><i>Blood sampling</i></p> <p>Should be extended to at least three elimination half-lives; at least three sampling points during absorption phase, three–four at the projected T_{max}, and four points during elimination phase; sampling should be continued for a sufficient period to ensure that AUC_{0-t} to $AUC_{0-\infty}$ is only a small percentage (normally <20%) of the total AUC. Truncated AUC is undesirable except in the presence of enterohepatic recycling</p>	Adequate and ideally it should be \geq five half-lives of the moieties to be measured
United States	<p><i>Urinary sampling</i></p> <p>Collect urine samples for seven or more half-lives. Blood samples should be drawn at appropriate times to describe the absorption, distribution, and elimination phases of the drug; 12–18 samples, including a pre-dose sample, should be collected per subject per dose; should continue for at least three or more terminal half-lives of the drug</p>	An adequate washout period (e.g., more than five half-lives of the moieties to be measured)
Europe	<p><i>Single-dose blood sampling</i></p> <p>Sufficient sampling is required; frequent sampling around predicted T_{max}; avoid C_{max} for the first point; accommodate reliable estimate (AUC_{0-t}) covers at least 80% of $AUC_{0-\infty}$; at least three–four points during the terminal log-linear phase; AUC truncated at 72 h (AUC_{0-72h}) may be used as an alternative to AUC_{0-t}, or comparison of extent of exposure</p> <p><i>Multiple-dose blood sampling</i></p> <p>Pre-dose sample should be taken immediately before (within 5 min) dosing, and the last sample is recommended to be taken within 10 min of the nominal time for the dosage interval to ensure an accurate determination of $AUC_{0-\tau}$</p> <p><i>Urinary sampling</i></p> <p>Urine should normally be collected over no less than three times the terminal elimination half-life</p>	Sufficient washout period (usually at least five terminal half-lives)
Australia	<p><i>Single-dose blood sampling</i></p> <p>Should provide adequate estimation of C_{max}; cover plasma concentration time curve long enough to provide a reliable estimation of the extent of absorption; three–four samples during the terminal log-linear phase. AUC truncated at 72 h is permitted for long half-life drugs</p> <p><i>Multiple-dose blood sampling</i></p> <p>When differences between morning and evening or nightly dosing are known, sampling should be carried out over a full 24-h cycle</p>	Adequate washout period
Canada	<p><i>Blood sampling</i></p> <p>Sampling should be sufficient to account for at least 80% of the known $AUC_{0-\infty}$, C_{max}, and terminal disposition; three times the terminal half-life of the drug; 12–18 samples should be collected per each subject per dose; four or more points be determined during the terminal log-linear phase</p> <p><i>Urine sampling</i></p> <p>Urine should be collected over no less than three times the terminal elimination half-life. For a 24-h study, sampling times of 0–2, 2–4, 4–8, 8–12, and 12–24 h are usually appropriate.</p>	Normally should be not less than ten times the mean terminal half-life of the drug. Normally, the interval between study days should not exceed 3–4 weeks
South Africa	<p><i>Blood sampling</i></p> <p>Sampling should be sufficient to account for at least 80% of the known $AUC_{0-\infty}$, C_{max}; collecting at least three–four samples above the LOQ during the terminal log-linear phase; sampling period is approximately three terminal half-lives of the drug; AUC truncated at 72 h is permitted for long half-life drugs; 12–18 samples should be collected per each subject per dose; at least three–four samples above LOQ should be obtained during the terminal log-linear phase</p> <p><i>Urine sampling</i></p> <p>Sufficient urine should be collected over an extended period and generally no less than seven times the terminal elimination half-life; for a 24-h study, sampling times of 0–2, 2–4, 4–8, 8–12, and 12–24 h post dose are usually appropriate</p>	Adequate washout period
Korea	<p><i>Blood sampling</i></p> <p>Sampling should be sufficient to estimate all the required parameters for BA; cover three or more times the terminal half-life; at least two points before T_{max}; sufficient to account for at least 80% of the known $AUC_{0-\infty}$; number of blood samples should be >12; AUC truncated at 72 h is permitted for long half-life drugs</p> <p><i>Urine sampling</i></p> <p>Adequate number of urine samples should be covered to estimate the amount and excretory rate</p>	Adequate and should be > five times the half-life of the active ingredients

(Continued)

TABLE 16.12 (CONTINUED)

Regulatory Criteria on Sampling and Washout Period for Conducting Bioequivalence Studies

Country	Sampling Criteria	Washout Criteria
Saudi Arabia	Sufficient samples are collected to estimate all the required parameters during absorption and elimination for bioequivalence assessment. A sampling period extending to at least four–five terminal elimination half-lives of the drug or four–five the longest half-life of the pertinent analyte (if more than one analyte) is usually sufficient	An adequate washout period (e.g., more than five half-lives of the moieties to be measured)
New Zealand	<p><i>Single-dose blood sampling</i></p> <p>Sampling should be sufficient to account for at least 80% of the known $AUC_{0-\infty}$; should extend to at least three elimination half-lives of the drug; truncated AUC is undesirable except in unavoidable circumstances like the presence of enterohepatic recycling</p> <p><i>Multiple-dose blood sampling</i></p> <p>Sampling should be carried out over a full 24-h cycle so that any effects of circadian rhythms may be detected, unless these rhythms can be argued not to have practical significance</p> <p><i>Urine sampling</i></p> <p>Adequate number of urine samples should be covered to estimate the amount and excretory rate. For a 24-h study, sampling times of 0–2, 2–4, 4–8, 8–12, and 12–24 h are usually appropriate. Where urinary excretion is measured in a single-dose study it is necessary to collect urine for seven or more half-lives</p>	An adequate washout period (at least three times the dominating half-life)

probably of no therapeutic importance whatsoever, which is shown to be statistically significant if error variability is minimal and/or sample size adequately large.

The first case suggests a lack of sensitivity in the analysis and the second an excess of it. Consequently, any practice that increases the variability of the study (sloppy design, assay variability, and within-formulation variability) would reduce the chances of finding a significant difference and hence improve the chances of concluding bioequivalence. The FDA therefore recognized that a finding of no statistical significance in the first case was not necessarily evidence of bioequivalence and consequently asked for a retrospective examination of the power of the test of null hypothesis.

Adequate statistical approaches should be considered to establish the bioequivalence of generic product to that of reference product. Much worldwide discussion and interaction has focused on facilitating the appropriate statistical approaches to establish interchangeability between generic drug and reference drug. The pertinent statistical approaches include (i) study power; (ii) 75/75 rule; and (iii) 90% confidence interval.

STUDY POWER

The conduct of a bioequivalence study should require some prior knowledge of the performance of the products (generic and brand drugs) in the human body so that an appropriate number of test subjects can be enrolled and provide adequate power to test the hypothesis with a reasonable likelihood (i.e., at least 80%) that the two products are indeed bioequivalent. In fact, the alternative hypothesis that two products (generic and brand drugs) are statistically different leads to the conclusion that they are not bioequivalent. The two criteria considered most important to understand are the inherent variability of the drug and the geometric mean ratio between the test and reference product. Both of these parameters can

be determined through the conduct of a pilot study ($n = 6-12$) to determine the proper sample size required for the pivotal study to establish bioequivalence as well as to minimize the possibility of undersizing the study.

75/75 RULE

This approach was the first application wherein individual BE (IBE) was being tested. The biomedical community felt that unless the change in the biological system was greater than 20% to 25%, it would really not pose a significant clinical risk of invalidating the use of one therapeutic strategy vs. another. This formed the basis for the 75/75 rule, which states that two products are equivalent if, and only if, at least 75% of the individuals being tested had ratios (of the various pharmacokinetic parameters obtained from the individual results) between the 75% and 125% limits and the study conducted has the statistical power to detect a 20% difference between the two products. This approach was sound until the arrival of the 90% confidence interval. Later the 75/75 rule lost most of its appeal when it was noted that both the test and reference products each have their own variability, and, therefore, a 90% confidence interval approach was more appropriate for giving some consideration to the differential variability between the test and reference products.

90% CONFIDENCE INTERVAL

Westlake was the first to suggest the use of confidence intervals as a BE test to evaluate whether the mean amount of drug absorbed using the test formulation was close to the mean amount absorbed of the reference product. Subsequently, in July 1992, the guidance on *Statistical Procedures for Bioequivalence Studies Using a Standard Two-treatment Crossover Design* was released by the FDA. It was revised

studies to give adequate statistical power to meet FDA BE limits, and thus designing BE studies for HVDs is challenging. Consequently development of generic products for HVDs is a major concern for the generic drugs industry. Major regulatory agencies also considered different approaches for evaluating bioequivalence of highly variable drugs. From 2004 onward the FDA started looking for alternative approaches to resolve this issue and eventually found that replicate crossover design and scaled average BE provides a good approach for evaluating the bioequivalence of highly variable drugs and drug products as it would effectively decrease sample size, without increasing patient risk. Recently the FDA has issued *Method for Statistical Analysis Using the Reference-Scaled Average Bioequivalence Approach for Progesterone Capsules*, which clearly states how to perform statistical analysis for HVDs, such as progesterone, using the replicate crossover design and reference-scaled ABE approach (more information is available at: www.fda.gov/downloads/Drugs/GuidanceComplianceRegulatoryInformation/Guidances/UCM209294.pdf). The various regulatory agency acceptance criteria for HVDs are given in Table 16.14.

FOR NARROW THERAPEUTIC INDEX DRUGS (NTIDs)

NTIDs can be defined as drugs that require therapeutic drug concentration or pharmacodynamic monitoring and/or drugs for which drug product labeling indicates a narrow therapeutic range designation. Perhaps tighter restrictions on these drugs would aid in the establishment of truly bioequivalent drug products within this class. Thus, additional testing and controls may be needed to ensure the quality of these drug products. The regulatory acceptance criterion for

NTIDs is given in Table 16.14. A list of these drugs is provided in Table 16.15.

THE WORLD HEALTH ORGANIZATION GUIDELINES

The WHO Interchangeability Requirement includes providing a bioequivalence study report that comprises, in the case of multi-source (generic) preparations, a bioequivalence study based on the WHO guidelines. Bioequivalence data are required from all oral preparations except aqueous solutions at the time of administration. Orally or parenterally administered aqueous solutions will be assessed by chemical-pharmaceutical characteristics only. Also, a bioequivalence study is required from preparations indicated for serious conditions requiring assured therapeutic response. All compounds in the present list correspond to this characteristic. Instead of a bioequivalence trial, a comparative clinical trial using clinical or pharmacodynamic endpoints can be presented. These endpoints should be justified and validated for the compound, and the trial should be designed to show equivalence. A trial showing the absence of significant difference cannot be accepted.

The bioequivalence study report should contain at least the following items:

- Description of study design. The most appropriate study type is a two-period randomized crossover study. If other study types were used (e.g., parallel group design), these should be justified by the applicant. In general, a single-dose study with a sufficiently long period for blood samples collection is acceptable.

TABLE 16.14
Regulatory Bioequivalence Acceptance Criteria for Special Class Drugs

Country	Highly Variable Drugs 90% Confidence Interval Log-Transformed Data		Narrow Therapeutic Index Drugs 90% Confidence Interval Log-Transformed Data	
	C_{max}	AUC	C_{max}	AUC_{0-t}
Asia	The interval must be prospectively defined, e.g., 0.75–1.33 and justified for addressing in particular any safety or efficacy concerns for patients switched between formulations	In rare cases a wider acceptance range may be acceptable if it is based on sound clinical justification	Acceptance interval may need to be tightened	Acceptance interval may need to be tightened
United States	GMR (80–125) 95% upper bound for $(\mu_T - \mu_R)/\delta^2$ WR # 0.7976 (using scaled average approach)	GMR (80–125) 95% upper bound or $(\mu_T - \mu_R)/\delta^2$ WR # 0.7976 (using scaled average approach)	80–125	80–125
Europe	–	–	90.00–111.11	90.00–111.11
Canada	GMR (80–125)	GMR (80–125) 90% CI (80–125)	–	–
Saudi Arabia	75–133	Wider acceptance range may be acceptable, and this should be justified clinically	90–111	–
Japan	–	–	90.00–111.11	90.00–111.11

TABLE 16.15
Narrow Therapeutic Index Drugs (FDA)

Aminophylline Tablets, ER Tablets	Carbamazepine Tablets, Oral Suspension
Clindamycin Hydrochloride Capsules	Clonidine Hydrochloride Tablets
Clonidine Transdermal Patches	Dyphylline Tablets
Disopyramide Phosphate Capsules, ER Capsules	Ethinyl Estradiol/Progestin Oral Contraceptive Tablets
Guanethidine Sulfate Tablets	Isoetharine Mesylate Inhalation Aerosol
Isoproterenol Sulfate Tablets	Lithium Carbonate Capsules, Tablets, ER Tablets
Metaproterenol Sulfate Tablets	Minoxidil Tablets
Oxtriphylline Tablets, DR Tablets, ER Tablets	Phenytoin, Sodium Capsules (Prompt or Extended), Oral Suspension
Prazosin Hydrochloride Capsules	Primidone Tablets, Oral Suspension
Procainamide Hydrochloride, Capsules, Tablets, ER Tablets	Quinidine Sulfate Capsules, Tablets, ER Tablets
Quinidine Gluconate Tablets, ER Tablets	Theophylline Capsules, ER Capsules, Tablets, ER Tablets
Valproic Acid Capsules, Syrup	Divalproex, Sodium DR Capsules, DR Tablets
Warfarin, Sodium Tablets	

- Information about investigators, study site, study dates.
- Data about preparations used: Manufacturer, place of manufacture, batch number.
- The reference preparation in a bioequivalence study should be a well-known preparation used in most countries of the world. The best acceptable reference is the innovator preparation or product from the WHO list of international comparator products if listed.
- Characterization of study subjects. A bioequivalence study should be normally performed in healthy volunteers. If patients were used, the applicant should justify this. The number of subjects should not be smaller than 12. The study report should contain inclusion and exclusion criteria and listing of demographic data of all subjects.
- Description of study procedures. Administration of test products, meals, times of blood sampling, or urine collection periods should be described in the clinical report.
- Description and validation of drug determination methods in investigated material.
- Analytical method should be validated over the measured drug concentration range. Validation should contain methodology and results of sensitivity, specificity, accuracy, precision, and repeatability determination.
- All measured drug concentrations should be presented.
- Calculation methodology of pharmacokinetic parameters. Non-compartmental analysis is preferred. If modeled parameters were used, these models should be validated for the compound. All measured and calculated pharmacokinetic parameters should be presented in the report.
- Description of statistical methodology and results of statistical calculations. Statistical calculations

should be based on the equivalence evaluation. The statistical method of choice is the two one-sided test procedure and the calculation of 90% confidence intervals of the test/reference.

- The main parameters to assess the bioequivalence are area under the plasma concentration–time curve (AUC) and maximum concentrations (C_{max}) ratios.
- The 90% confidence interval for the AUC ratio should lie within a bioequivalence range of 80–125%. In some specific cases of drugs with a narrow therapeutic range the acceptance range may need to be tightened.
- The 90% confidence interval for the C_{max} ratio should lie within a bioequivalence range of 80–125%. In some specific cases of drugs with a narrow therapeutic range the acceptance range may need to be tightened. In certain cases for drugs with an inherently high intra-subject variability, a wider acceptance range (e.g., 75–133%) may be acceptable. The range used must be defined prospectively and should be justified, taking into account safety and efficacy considerations.
- Summary of pharmacology, toxicology, and efficacy of the product. In case of products containing new active ingredients and new combinations of active ingredients, provide full information on safety and efficacy as defined in guidelines by the European Union, the U.S. Food and Drug Administration, or the Japanese Ministry of Health and Welfare.

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17 Bioavailability and Bioequivalence Studies Submitted in NDAs or INDs—General Considerations

I. INTRODUCTION

This FDA guidance provides recommendations to sponsors and/or applicants planning to include bioavailability (BA) and bioequivalence (BE) information for drug products in investigational new drug applications (INDs), new drug applications (NDAs), and NDA supplements (referred to as the NDA BA and BE Draft FDA guidance).¹ This FDA guidance contains advice on how to meet the BA and BE requirements set forth in 21 CFR Part 320 as they apply to dosage forms intended for oral administration.² The FDA guidance may also be applicable to non-orally administered drug products when reliance on systemic exposure measures is suitable to document BA and BE (e.g., transdermal delivery systems and certain rectal and nasal drug products).³ The FDA guidance should be helpful for applicants conducting BA and BE studies during the IND period for an NDA and also for applicants conducting BE studies during the post-approval period for certain changes to drug products that are the subject of an NDA.⁴ This FDA guidance document is not intended to provide recommendations on studies conducted in support of demonstrating comparability or biosimilarity for biological products licensed under Section 351 of the Public Health Service Act.⁵

When finalized, this FDA guidance will revise and replace the parts of FDA's March 2003 FDA guidance for industry on *Bioavailability and Bioequivalence Studies for Orally Administered Drug Products – General Considerations* (the March 2003 BA and BE FDA guidance) relating to BA and BE studies for INDs, NDAs, and NDA supplements.⁶ Since the March 2003 BA and BE FDA guidance was issued, FDA has determined that providing information on BA and BE studies in separate FDA guidance according to application type will be beneficial to sponsors and applicants. Thus, FDA is issuing this NDA BA and BE Draft FDA guidance and, as previously noted, has issued the ANDA BE Draft FDA guidance for ANDA and ANDA supplements.⁷

We recognize that this FDA guidance cannot address every issue pertaining to the assessment of BA or BE studies for INDs and NDAs, so we suggest sponsors and applicants contact the appropriate review division for FDA guidance on specific questions not addressed by this FDA guidance.

FDA's FDA guidance documents, including this FDA guidance, do not establish legally enforceable responsibilities. Instead, FDA guidance documents describe the Agency's current thinking on a topic and should be viewed only as recommendations, unless specific regulatory or statutory

requirements are cited. The use of the word *should* in Agency FDA guidance documents means that something is suggested or recommended but not required.

II. BACKGROUND

BA assessment of formulations is a component of new drug development. The approaches of evaluating BA and BE discussed in this FDA guidance are designed to aid FDA evaluation of the safety and effectiveness of a product that is the subject of an IND, NDA, or NDA supplement. In this endeavor, we use the totality of information available in the submission, which includes, among other things, information gathered using the principles of BE, exposure–response evaluations, and clinical trial results. The evaluation of BE in the generic drug context, by contrast, is used to support a determination that a generic product may be substituted for its reference listed drug and involves consideration of different types of data permitted in an ANDA. Accordingly, the approaches discussed in this FDA guidance may differ from similar discussions of BE in the ANDA BE Draft FDA guidance. For example, this NDA BA and BE Draft FDA guidance recommends assessment of the effect of food on BA using the approaches set forth in FDA's 2002 FDA guidance for industry on *Food-Effect Bioavailability and Fed Bioequivalence Studies* (the 2002 Food-Effect FDA guidance). Fasting BE studies generally are sufficient, given the totality of information we consider in evaluating INDs, NDAs, or NDA supplements. In contrast, we recommend in the ANDA BE Draft FDA guidance fed and fasting BE studies that will provide specific information to support a demonstration of BE under Section 505(j) of the FD&C Act and, in turn, to support substitutability. Even though the ANDA BE Draft FDA guidance revises and replaces the parts of the 2002 Food-Effect FDA guidance pertaining to ANDAs and ANDA supplements, this NDA BA and BE Draft FDA guidance does not replace the 2002 Food-Effect FDA guidance relating to studies for INDs, NDAs, and NDA supplements.⁸

A. GENERAL

Studies to measure BA and/or establish BE of a product are important elements in support of INDs, NDAs, and NDA supplements. *Bioavailability* means the rate and extent to which the active ingredient or active moiety is absorbed from a drug product and becomes available at the site of action [21 CFR

320.1(a)]. BA data provide an estimate of the fraction of the drug absorbed, as well as providing information related to the pharmacokinetics of the drug.

Bioequivalence means the absence of a significant difference in the rate and extent to which the active ingredient or active moiety in pharmaceutical equivalents or pharmaceutical alternatives become available at the site of drug action when administered at the same molar dose under similar conditions in an appropriately designed study [21 CFR 320.1(e)]. Studies to establish BE between two products are important for certain formulation or manufacturing changes occurring during the drug development and post-approval stages. In BE studies, the exposure profile of a test drug product is compared to that of a reference drug product.

B. BIOAVAILABILITY

BA for a given formulation provides an estimate of the relative fraction of the orally administered dose that is absorbed into the systemic circulation. BA for orally administered drug products can be documented by comparing a systemic exposure profile to that of a suitable reference product. A profile can be generated by measuring the concentration of active ingredients and/or active moieties over time and, when appropriate, active metabolites over time in samples collected from the systemic circulation. Systemic exposure profiles reflect both release of the drug substance from the drug product and a series of possible pre-systemic/systemic actions on the drug substance after its release from the drug product.

FDA's regulations at 21 CFR 320.25 set forth guidelines for in vivo BA studies. As provided in this regulation, the reference product for BA studies should be a solution, suspension, or intravenous (IV) dosage form [21 CFR 320.25(d)(2) and (3)]. The purpose of conducting a BA study with an oral solution as a reference is to assess the impact of formulation on BA. Conducting a BA study with an IV reference enables assessment of the impact of route of administration on BA and defines the absolute BA of the drug released from the drug product.

C. BIOEQUIVALENCE

As noted previously, both BA and BE focus on the release of a drug substance from a drug product and subsequent absorption into systemic circulation. As a result, we recommend that approaches to determining BE generally follow approaches similar to those used for BA. Demonstrating BE involves a more formal comparative test that uses specific references with specified criteria for comparisons and predetermined BE limits for such criteria.

1. Preapproval Changes

BE documentation can be useful during the IND period to compare (1) early and late clinical trial formulations; (2) formulations used in clinical trials and stability studies, if different; (3) clinical trial formulations and to-be-marketed drug products, if different; and (4) product strength equivalence, as

appropriate. In each comparison, the new formulation, formulation produced by the new method of manufacture, or new strength is the candidate or test product, and the prior formulation, prior method of manufacture, or prior strength is the reference product. The decision to document BE during drug development is generally left to the judgment of the sponsor, using the principles of relevant FDA guidance (in this FDA guidance, see Sections II.C.2, Post-Approval Changes, and III.D, In Vitro Studies) to determine when changes in components, composition, and/or method of manufacture suggest that further in vitro and/or in vivo studies be performed.

2. Post-Approval Changes

In the presence of certain major changes in components, composition, manufacturing site, and/or method of manufacture after approval, FDA recommends that in vivo BE be demonstrated for the drug product after the change in comparison to the drug product before the change. Under Section 506A(c)(2) of the Federal Food, Drug, and Cosmetic Act (FD&C Act) [21 U.S.C. 356a(c)(2)], certain post-approval changes that require completion of studies must be submitted in a supplement and approved by FDA before distributing a drug product made with the change.

Information on the types of recommended in vitro dissolution and in vivo BE studies for immediate-release and modified-release drug products approved as NDAs for specified post-approval changes is provided in the following FDA guidance:

- *SUPAC-IR: Immediate Release Solid Oral Dosage Forms: Scale-Up and Post-approval Changes: Chemistry, Manufacturing, and Control; In Vitro Dissolution Testing, and In Vivo Bioequivalence Documentation*
- *SUPAC-MR: Modified Release Solid Oral Dosage Forms: Scale-Up and Post-approval Changes: Chemistry, Manufacturing, and Controls, In Vitro Dissolution Testing, and In Vivo Bioequivalence Documentation*

3. BE Considerations

BE studies are usually conducted using a crossover design. For such studies, intrasubject variability should be considered when determining the study sample size. In cases when a parallel design is necessary to evaluate BE, consideration should be given to total variability, including inter-subject variability instead of just intrasubject variability.

A test product might fail to demonstrate bioequivalence because it has measures of rate and/or extent of absorption compared to the reference product outside acceptable higher or lower limits. For example, when the test product results in a systemic exposure that is significantly higher than that of the reference product, the concern is the typically limited experience from a safety standpoint for higher systemic concentrations. When the test product has a systemic exposure that is significantly lower than that of the reference product, the concern is potentially a lack of therapeutic efficacy of the test product.

When the variability of the test product is greater than the reference product, the concern relates to both safety and efficacy, because it may suggest that the performance of the test product is not comparable to the reference product, and the test product may be too variable to be clinically useful.

When BE is not demonstrated, the sponsor should demonstrate that the differences in rate and extent of absorption do not significantly affect the safety and efficacy based on available dose–response or concentration–response data. In the absence of this evidence, failure to demonstrate BE may suggest that the test product should be reformulated, or the method of manufacture for the test product should be changed, or additional safety or efficacy data may be needed for the test product. In some cases, conclusions of BE based on the peak drug concentration (C_{\max}) and area under the plasma concentration–time curve (AUC) between the test product and the reference product may be insufficient to demonstrate that there is no difference in safety or efficacy if the systemic concentration–time profiles of the test product and the reference product are different [e.g., time to reach peak drug concentration (T_{\max}) is different]. For example, differences in the shape of the systemic concentration profile between the test and reference products could imply that the test product may not produce the same clinical response as the reference product. In such cases, additional data analysis (e.g., partial AUCs), exposure–response evaluation, or clinical studies may be recommended to evaluate the BE of the two products.

III. METHODS TO DOCUMENT BA AND BE

Under FDA's regulations, applicants must use the most accurate, sensitive, and reproducible method available to demonstrate BA or BE of a product [21 CFR 320.24(a)]. As noted in 21 CFR 320.24, several in vivo and in vitro methods can be used to measure BA and to establish BE. These include, in general order of preference, pharmacokinetic (PK) studies, in vitro tests predictive of human in vivo BA (in vitro–in vivo correlation), pharmacodynamic (PD) studies, studies with clinical benefit endpoints, and other in vitro studies. In addition, where in vivo data are appropriate to demonstrate BA, our regulations provide guidelines on specific types of in vivo BA studies (see 21 CFR 320.25 through 320.29). This FDA guidance predominantly focuses on the use of PK studies to document BA or BE.

A. PHARMACOKINETIC STUDIES

1. General Considerations

FDA's regulations generally define BA and BE in terms of rate and extent of absorption of the active ingredient or moiety to the site of action.⁹ For in vivo studies, the regulations also provide for use of PK measures in an accessible biological matrix such as blood, plasma, and/or serum to indicate release of the drug substance from the drug product into the systemic circulation.¹⁰ BA and BE frequently rely on PK measures such as AUC to assess extent of systemic exposure and C_{\max} and T_{\max} to assess rate of systemic absorption. PK-based comparisons

to describe relative BA or make BE determinations are predicated on an understanding that measuring the active moiety or ingredient at the site of action is generally not possible and on an assumption that some relationship exists between the efficacy/safety and concentration of the active moiety and/or its important metabolite(s) in the systemic circulation. A typical study is conducted as a crossover study. The crossover design reduces variability caused by patient-specific factors, thereby increasing the ability to discern differences because of formulation.

2. Pilot Study

If the sponsor chooses, a pilot study in a small number of subjects can be carried out before proceeding with a full-scale BA or BE study. The pilot study can be used to validate analytical methodology, assess PK variability, determine sample size to achieve adequate power, optimize sample collection time intervals, and determine the length of the washout period needed between treatments. For example, for conventional immediate-release products, careful timing of initial samples may avoid a subsequent finding in a full-scale study that the first sample collection occurs after the C_{\max} . For modified-release products, a pilot study can help determine the sampling schedule needed to assess lag time and dose dumping. The results of a pilot study can be used as the sole basis to document BA or BE provided the study's design and execution are suitable and a sufficient number of subjects have completed the study.

3. Full-Scale Study

General recommendations for a standard BA or BE study based on PK measurements are provided in Appendix A. Non-replicate crossover study designs are recommended for BA and BE studies of immediate-release and modified-release dosage forms. However, sponsors and/or applicants have the option of using replicate designs for BE studies.

Replicate crossover designs are used to allow estimation of (1) within-subject variance for the reference product or for both the test and reference products, and (2) the subject by formulation interaction variance component. This design accounts for the inter-occasion variability that may confound the interpretation of a BE study as compared to a non-replicate crossover approach. The recommended method of analysis for non-replicate or replicate studies to evaluate BE is average BE, as discussed in Section IV. Recommendations for conducting and evaluating replicate study designs can be found in the FDA guidance for industry *Statistical Approaches to Establishing Bioequivalence*.

4. Study Population

Subjects recruited for BA or BE studies should be 18 years of age or older and capable of giving informed consent. In general, BA and BE studies should be conducted in healthy volunteers if the product can be safely administered to this population. A study in healthy volunteers is likely to produce less PK variability compared with that in patients with potentially confounding factors such as underlying and/

or concomitant disease and concomitant medications. Male and female subjects should be enrolled in BA and BE studies unless there is a specific reason to exclude one sex. Such exclusions could be related to the drug product being indicated in only one sex or a greater potential for adverse reactions in one sex compared to the other. For example, oral contraceptives are evaluated in female subjects because the indication is specific to females. If a drug has the potential to be a teratogen, the drug product should be evaluated in male subjects.

Female subjects enrolled in the study should not be pregnant at the beginning of the study and should not become pregnant during the study. In some instances (e.g., when safety considerations preclude use of healthy subjects), it may be necessary to evaluate BA and BE in patients for whom the drug product is intended. In this situation, sponsors and/or applicants should attempt to enroll patients whose disease process is expected to be stable for the duration of the study.

5. Single-Dose and Multiple-Dose (Steady-State) Testing

This FDA guidance generally recommends single-dose PK studies to assess BA and BE because they are generally more sensitive than steady-state studies in assessing rate and extent of release of the drug substance from the drug product into the systemic circulation.

FDA's regulations at 21 CFR 320.27 provide guidelines on the design of a multiple-dose in vivo BA study. This regulation also identifies instances in which multiple-dose BA studies may be required:

- i. There is a difference in the rate of absorption but not in the extent of absorption.
- ii. There is excessive variability in bioavailability from subject to subject.
- iii. The concentration of the active drug ingredient or therapeutic moiety, or its metabolite(s), in the blood resulting from a single dose is too low for accurate determination by the analytical method.
- iv. The drug product is an extended-release dosage form.¹¹

We recommend that if a multiple-dose study design is performed, appropriate dosage administration and sampling be carried out to document attainment of steady state.

6. Bioanalytical Methodology

We recommend that sponsors ensure that bioanalytical methods for BA and BE studies be accurate, precise, specific, sensitive, and reproducible. A separate FDA guidance, *Bioanalytical Method Validation*, is available to assist sponsors in validating bioanalytical methods.¹²

7. Administration Under Fasted/Fed Conditions

The BA or BE study should be conducted under fasting conditions (after an overnight fast of at least 10 hours) except when tolerability issues are anticipated with fasting. In these cases, we recommend that applicants conduct only a fed study. A

separate FDA guidance, *Food-Effect Bioavailability and Fed Bioequivalence Studies*, is available to assist sponsors.

8. Moieties to Be Measured

The active ingredient that is released from the dosage form or its active moiety and, when appropriate, its active metabolites¹³ should be measured in biological fluids collected in BA studies.

Measurement of the active ingredient or the active moiety, rather than metabolites, is generally recommended for BE studies because the concentration–time profile of the active ingredient or the active moiety is more sensitive to changes in formulation performance than that of the metabolite, which is more reflective of metabolite formation, distribution, and elimination. The following are instances when an active metabolite(s) should be measured.

- Measurement of a metabolite(s) is necessary when the active ingredient or the active moiety concentrations are too low to allow reliable analytical measurement in blood, plasma, or serum. In this case, the metabolite should be measured in lieu of the active ingredient or active moiety. We recommend that the confidence interval approach be applied to the metabolite data obtained from these studies.
- Measurement of a metabolite(s) is necessary in addition to the active ingredient or active moiety if the metabolite is formed by pre-systemic metabolism and contributes meaningfully to efficacy and/or safety. The confidence interval approach should be used for all moieties measured. However, the BE criteria are only generally applied to the active ingredient or active moiety. Sponsors should contact the appropriate review division to determine which moieties should be measured.

9. Pharmacokinetic Measures of Systemic Exposure

This FDA guidance recommends that systemic exposure measures be used to evaluate BA and BE. Exposure measures are defined relative to peak, partial, and total portions of the plasma, serum, or blood concentration–time profile, as described here:

- Peak Exposure

We recommend that peak exposure be assessed by measuring the C_{\max} obtained directly from the systemic drug concentration data without interpolation. The T_{\max} can provide important information about the rate of absorption. The first point of a concentration–time curve based on blood and/or plasma measurements is sometimes the highest concentration, which raises a question about the measurement of true C_{\max} because of insufficient early sampling times. A carefully conducted pilot study may help to avoid this problem. Collection of an early time point between 5 and 15 minutes after dosing followed by additional sample collections (e.g., two to five) in the first hour after dosing may be sufficient to assess early peak

concentrations. If this sampling approach is followed, we consider the data to be adequate, even when the highest observed concentration occurs at the first time point.

- Total Exposure (Extent of Absorption)

For single-dose studies, we recommend that the measurement of total exposure be:

- Area under the plasma, serum, or blood concentration time curve from time zero to time t (AUC_{0-t}), where t is the last time point with a measurable concentration.
- Area under the plasma, serum, or blood concentration time curve from time zero to time infinity ($AUC_{0-\infty}$), where $AUC_{0-\infty} = AUC_{0-t} + C_t/\lambda_z$. C_t is the last measurable drug concentration, and λ_z is the terminal or elimination rate constant calculated according to an appropriate method.
- For drugs with a long half-life, truncated AUC can be used (see Section VII.D, Long-Half-Life Drugs).

For steady-state studies, we recommend that the measurement of total exposure be the area under the plasma, serum, or blood concentration–time curve from time zero to time τ over a dosing interval at steady state ($AUC_{0-\tau}$), where τ is the length of the dosing interval.

- Partial Exposure

For orally administered drug products, BA and BE can generally be demonstrated by measurements of peak and total exposure. For certain classes of drugs and under certain circumstances (e.g., to assess onset of an analgesic effect), an evaluation of the partial exposure could be used to support the performance of different formulations by providing further evidence of therapeutic effect. This FDA guidance recommends the use of partial AUC as a partial exposure measure. The time to truncate the partial area should be related to a clinically relevant PD measure. We also recommend that sufficient quantifiable samples be collected to allow adequate estimation of the partial area. For questions on the suitability of the PD measure or use of partial exposure in general, we recommend that sponsors and/or applicants consult the appropriate review division.

10. Comparison of PK Measures in BE Studies

An equivalence approach is recommended for BE comparisons. The recommended approach relies on (1) a criterion to allow the comparison, (2) a confidence interval for the criterion, and (3) a BE limit. Log-transformation of exposure measures before statistical analysis is recommended. This FDA guidance recommends use of an average BE criterion to compare systemic exposure measures for replicate and non-replicate BE studies of both immediate- and modified-release products. For additional information on data analysis, refer to Appendix A and to the FDA guidance for industry on *Statistical Approaches to Establishing Bioequivalence*.

B. OTHER APPROACHES TO SUPPORT BA/BE

In certain circumstances, other approaches are recommended to support a demonstration of BA/BE. Below are some general considerations regarding these other approaches. Sponsors should consult FDA's guidance for industry for additional information on these methods as well.¹⁴

1. In Vitro Tests Predictive of Human In Vivo BA

In vitro–in vivo correlation (IVIVC) is an approach to describe the relationship between an in vitro attribute of a dosage form (e.g., the rate or extent of drug release) and a relevant in vivo response (e.g., plasma drug concentration or amount of drug absorbed). This model relationship facilitates the rational development and evaluation of extended-release dosage forms. Once an IVIVC is validated, the in vitro test serves as a surrogate for BA and/or BE testing, as well as a tool for formulation screening and setting of the dissolution/drug-release acceptance criteria.

Specifically, in vitro dissolution/drug-release characterization is encouraged for all extended-release product formulations investigated (including prototype formulations), particularly if in vivo absorption characteristics are being defined for the different product formulations. Such efforts may enable the establishment of an IVIVC. When an IVIVC or association is established [21 CFR 320.24(b)(1)(ii)], the in vitro test can serve not only as a quality control specification for the manufacturing process but also as an indicator of how the product will perform in vivo.

Additional information on the development and validation of an IVIVC can be found in the FDA guidance for industry *Extended Release Oral Dosage Forms: Development, Evaluation, and Application of In Vitro/In Vivo Correlations*.

2. Pharmacodynamic Studies

PD studies are not recommended for orally administered drug products when the drug is absorbed into systemic circulation and a PK approach can be used to assess systemic exposure and evaluate BA or BE. PK endpoints are preferred because they are generally the most accurate, sensitive, and reproducible approach. However, in instances where a PK endpoint is not possible, a well-justified PD endpoint can be used to demonstrate BA or BE.

3. Comparative Clinical Studies

Clinical endpoints can be used in limited circumstances, for example, for orally administered drug products when the measurement of the active ingredients or active moieties in an accessible biological fluid (PK approach) or PD approach is not possible. Because these circumstances do not occur very often, use of this approach is expected to be rare.

4. In Vitro Studies

Under certain circumstances, BA and BE can be evaluated using in vitro approaches (e.g., dissolution/drug-release testing) during the preapproval and post-approval phases [see 21 CFR 320.24(b)(5) and (6)]. For example, for orally

administered drugs that are highly soluble and highly permeable, and for which the drug product is rapidly dissolving, documentation of BE using an in vitro approach (dissolution/drug-release studies) may be appropriate based on the Biopharmaceutics Classification System.¹⁵

The following FDA guidance documents provide recommendations on the development of dissolution methodology, setting specifications, and the regulatory applications of dissolution testing:

- *Dissolution Testing of Immediate-Release Solid Oral Dosage Forms*
- *Extended-Release Oral Dosage Forms: Development, Evaluation, and Application of In Vitro/In Vivo Correlations*

In addition, we recommend that sponsors consult other FDA guidance for additional information on when in vitro data may be appropriate to demonstrate BA or BE of a product.

IV. DOCUMENTING BA AND BE FOR VARIOUS DOSAGE FORMS

This section summarizes the recommendations for documenting BA and BE studies based on the specific dosage forms and whether these evaluations occur preapproval or post-approval.

A. SOLUTIONS AND OTHER SOLUBILIZED DOSAGE FORMS

For oral solutions, elixirs, syrups, tinctures, or other solubilized forms, in vivo BA and/or BE are generally self-evident, and a requirement of in vivo data for a product may be waived [21 CFR 320.22(b)(3)]. In such instances, the applicant would be deemed to have complied with and fulfilled any requirement for in vivo data.¹⁶ Although a comparative study is not necessary, characterization of the pharmacokinetics of the drug is required [21 CFR 314.50(d)(3)]. In addition, in vivo BE studies that compare different solution formulations are waived based on the assumptions that release of drug substance from the drug product is self-evident and that the solutions do not contain any excipients that significantly affect drug absorption. However, there are certain excipients that may alter the BA (e.g., sorbitol may reduce the BA of drugs, and vitamin E may enhance the BA) in amounts sometimes used in oral liquid dosage forms. In this case, evaluation of in vivo BA and/or BE may be required.

B. IMMEDIATE-RELEASE PRODUCTS

Included in this discussion are capsules, tablets (including conventional, buccal, chewable, orally disintegrating, and sublingual dosage forms), and suspensions.

1. Preapproval Changes

For BA and BE studies, we recommend a single-dose, fast-ing study be performed. Under certain circumstances, multiple-dose BA studies (see Section III.A.5) and/or food-effect

studies may be necessary (see the FDA guidance for industry *Food-Effect Bioavailability and Fed Bioequivalence*). Unconventional dosage forms (buccal, chewable, orally disintegrating, and sublingual dosage forms) should be administered according to intended label use/instructions. In addition, a BA study may be needed with the unconventional dosage form swallowed intact to assess the impact of accidental swallowing of the intact product. Sampling should adequately capture the T_{max} and C_{max} in addition to total exposure.

We recommend that in vitro dissolution be evaluated for all orally administered products. In vitro dissolution test conditions could be the same or different for unconventional compared to conventional dosage forms. If differences in dissolution data exist, they should be discussed with the appropriate review division.

2. Post-Approval Changes

Information on the types of in vitro dissolution and in vivo BE studies needed for approved immediate-release drug products when post-approval changes are made is provided in an FDA guidance for industry entitled *SUPAC-IR: Immediate Release Solid Oral Dosage Forms Scale-Up and Post-approval Changes: Chemistry, Manufacturing, and Controls, In Vitro Dissolution Testing, and In Vivo Bioequivalence Documentation*. We recommend that for post-approval changes, the in vitro or in vivo comparison be made between the post-change and pre-change products.

C. MODIFIED-RELEASE PRODUCTS

Modified-release (MR) products include extended-release (controlled-release, sustained-release)¹⁷ and delayed-release products.

Extended-release (ER) products are dosage forms that are designed to extend or prolong the release of active ingredient or active moiety from the drug product and may allow a reduction in dosing frequency as compared to when the drug is administered in an immediate-release (IR) dosage form. These drug products can be developed to reduce fluctuations in plasma concentrations when compared to an IR product. ER products can be capsules, tablets, granules, pellets, or suspensions.

Delayed-release (DR) drug products are dosage forms that release active ingredient or active moiety at a time later than immediately after administration (i.e., these drug products exhibit a lag time in quantifiable plasma concentrations). Typically, coatings (e.g., enteric coatings) are used to delay the release of the drug substance until the dosage form has passed through the acidic medium of the stomach. Generally, DR products are treated as IR products. However, if the DR product has complex release characteristics, the relevant review division should be contacted for additional FDA guidance.

If the drug product is an ER product, the following recommendations apply.

1. Preapproval: BA and BE Studies

FDA's regulations at 21 CFR 320.25(f) address the purpose of a BA study for an extended-release product, which is to determine if certain delineated conditions are met.¹⁸ This regulation

also provides that “the reference material(s) for such a bioavailability study shall be chosen to permit an appropriate scientific evaluation of the extended release claims made for the drug product.”¹⁹ Appropriate reference products may include

- (1) a solution or suspension of the active drug ingredient or therapeutic moiety, (2) a currently marketed non-controlled-release drug product containing the same active drug ingredient or therapeutic moiety and administered according to the dosage recommendations in the labeling of the non-controlled release drug product, and (3) a currently marketed ER drug product subject to an approved full NDA containing the same active drug ingredient or therapeutic moiety and administered according to the dosage recommendations in the labeling of currently marketed ER product.²⁰

In general, the PK profile of the ER product may not match that of the approved IR product (e.g., T_{max} is different) or, in some cases, to another ER product. In such a case, establishing similar PK profiles using C_{max} and AUC may not be sufficient to show that the ER product is bioequivalent to the IR product. Thus, additional safety or efficacy studies or PK/PD assessments may be recommended. This FDA guidance recommends that the following BA studies and food-effect BA studies be conducted for an ER drug product submitted as an NDA for the scenarios described below:

New ER formulation comparison to an already approved IR product

- For drugs with linear pharmacokinetics over the therapeutic dose range: A fasting study should be conducted comparing the ER product administered as a single dose at the highest strength to the IR reference administered over the least common time interval to achieve equivalent total dose as for the ER product.²¹ If for safety reasons the highest strength cannot be used, a lower strength may be acceptable.
- For drugs with nonlinear pharmacokinetics over the therapeutic dose range: At a minimum, a single dose of the highest and lowest strengths of the ER product should be compared to their corresponding IR references administered over the ER dosing interval. If the relative BA of intermediate ER strengths cannot be inferred based on the above studies, a single-dose fasting study for the intermediate strength(s) of the ER product should be compared to the corresponding IR reference administered over the ER dosing interval.
- When the ER strengths are not proportionally similar in composition, a single-dose fasting dosage strength equivalence assessment study²² or a dosage strength proportionality study²³ for the ER product should be conducted.
- A single-dose food-effect study should be conducted on the highest ER strength (see the 2002 Food-Effect FDA guidance).

- A steady-state study should be conducted on the highest strength of the ER product compared to an approved IR reference dosed to achieve equivalent total dose as for the ER product.

New ER product (ER_{new}) comparison to an approved ER product (ER_{old}) with a different dosing interval (i.e., where ER_{new} and ER_{old} have unequal dosing intervals)

- The recommendations are the same as outlined in the previous section (development of a new ER formulation given an already approved IR product) except for the choice of the reference product. In this case, the reference product could be either the approved ER_{old} or IR product.

New ER product (ER_{new}) comparison to an approved ER product (ER_{old}) with the same dosing interval

- A single-dose fasting BE study on the highest strength of the ER_{new} product compared to the ER_{old} product. If ER_{new} and ER_{old} are of different strength, then comparison of ER_{new} vs. ER_{old} should be made based on dose using the highest strengths.
- A single-dose, food-effect study should be conducted on the highest ER_{new} strength.
- When the ER_{new} strengths are not proportionally similar in composition, a single-dose fasting dosage strength equivalence assessment study or a dosage strength proportionality study²⁴ for the ER_{new} product should be conducted.
- In some cases, BE between the new and old ER products may not be sufficient to ensure that there is no difference in safety or efficacy if the PK profiles of the two ER products do not match (e.g., T_{max} is different). Additional data analysis or clinical studies may be needed to ensure that the two products are clinically equivalent.

2. Post-Approval Changes

Information on the types of in vitro dissolution and in vivo BE studies for ER drug products approved in the presence of specific post-approval changes are provided in an FDA guidance for industry *SUPAC-MR: Modified Release Solid Oral Dosage Forms: Scale-Up and Post-approval Changes: Chemistry, Manufacturing, and Controls; In Vitro Dissolution Testing, and In Vivo Bioequivalence Documentation*. We recommend that for post-approval changes, the in vitro or in vivo comparison be made between the post-change and pre-change products.

D. BATCH SIZE

For pivotal BE studies, the test batch should be representative of the production batches. Therefore, the size of the test batch should be at least 10% of the planned production batch size or a minimum of 100,000 units, whichever is larger.

V. ADDITIONAL INFORMATION ON IN VITRO APPROACHES

A. IN VITRO STUDIES CONDUCTED IN SUPPORT OF A WAIVER OF AN IN VIVO BA OR BE DATA REQUIREMENT

As discussed above, FDA's regulations contemplate that if in vivo BA or BE data are required for a product, a sponsor may seek a waiver of that requirement under certain circumstances.²⁵

For example, in some instances, in vivo BA or BE is self-evident based on certain characteristics of the drug product [21 CFR 320.22(b)], and therefore, any in vivo data requirement has been deemed to have been met. In other delineated circumstances, an in vivo BA or BE data requirement may be waived, and in vitro data may be accepted in lieu of in vivo data [21 CFR 320.22(d)]. For example, an in vivo data requirement may be waived for different strengths of an immediate-release drug product under 21 CFR 320.22(d)(2) when (1) the drug product is in the same dosage form, but in a different strength; (2) this different strength is proportionally similar in its active and inactive ingredients to another drug product for which the same manufacturer has obtained approval; and (3) the new strength meets an appropriate in vitro test as outlined in the regulation.²⁶ In addition, for waiving higher strengths, linearity of the pharmacokinetics over the therapeutic dose range should be demonstrated.

This FDA guidance defines *proportionally similar* in the following ways:

- All active and inactive ingredients are in exactly the same proportion between different strengths (e.g., a tablet of 50-mg strength has all the inactive ingredients, at exactly half the quantities of a tablet of 100-mg strength and twice those of a tablet of 25-mg strength).
- For high-potency drug substances (where the amount of active drug substance in the dosage form is relatively low), (1) the total weight of the dosage form remains nearly the same for all strengths (within $\pm 10\%$ of the total weight of the strength on which a BE was performed), (2) the same inactive ingredients are used for all strengths, and (3) the change in any strength is obtained by altering the amount of the active ingredients and one or more of the inactive ingredients.
- Bilayer tablets are considered to be one formulation even though they consist of two separate layers with different compositions. In assessing the proportional similarity of the different strengths, all components of both layers should be proportionally similar. The fact that only one layer is proportionally similar and the other is not clearly indicates that the products (whole tablet) are not proportionally similar. This is relevant because there can be interactions between the different tablet layers, which can differ across different strengths because of the different size of the layers and the varying amounts of excipients present in each layer.

Exceptions to the above definitions may be possible if adequate justification is provided and discussed with the appropriate review division.

B. IN VITRO STUDIES CONDUCTED IN SUPPORT OF DEMONSTRATING BA OR BE

FDA may determine that in vitro data are the most accurate, sensitive, and reproducible method to demonstrate BA or BE in other contexts [21 CFR 320.24(b)(5) and (6)].²⁷ Below we provide additional FDA guidance on the conduct of such studies.

1. Immediate-Release Formulations (Capsules, Tablets, and Suspensions)

In vitro data can be used to compare formulations of drug products under certain circumstances. If an applicant seeks to demonstrate the BA or BE of immediate-release formulations for capsules, tablets, and suspensions using in vitro data, FDA recommends that sponsors generate dissolution profiles for all strengths using an appropriate dissolution method. If the dissolution results indicate that the dissolution characteristics of the product are not dependent on the pH and product strength, dissolution profiles in one medium are usually sufficient to support demonstrating BE. Otherwise, dissolution data in at least three media (e.g., pH 1.2, 4.5, and 6.8) are recommended. The f_2 test should be used to compare profiles from the different strengths of the product (see FDA guidance for industry, *Dissolution Testing of Immediate Release Solid Oral Dosage Forms*). An f_2 value ≥ 50 indicates a sufficiently similar dissolution profile to support a biowaiver. For an f_2 value < 50 , discussion with the appropriate review division is recommended to determine whether an in vivo study is needed. The f_2 approach is not suitable for rapidly dissolving drug products (e.g., $\geq 85\%$ dissolved in 15 minutes or less).

- *Over-Encapsulation of Clinical Trial Formulations*

During the course of drug development, sponsors sometimes have to blind the formulations that they use in the clinical trials. In certain situations, the only difference between the to-be-marketed and clinical trial formulations is that the dosage form is put into a capsule. This over-encapsulation is done mainly for blinding purposes. It may be possible to support bioequivalence of the to-be-marketed and clinical trial formulations using in vitro data only, provided that no other excipients are added to the capsule and the dissolution profiles are comparable in three media: pH 1.2, pH 4.5, and pH 6.8.

- *Scale-up and Post-Approval Changes*

Certain formulation changes in components and composition, scale-up, manufacturing site, manufacturing process, or equipment can be made post-approval. Depending on the possible impact of the manufacturing change on the release of the active ingredient from the formulation and its BA, certain manufacturing changes for IR products can be approved based solely on similarity of the dissolution profiles between

the post-change and pre-change formulations. Information on recommendations for using in vitro dissolution and in vivo BE studies for immediate-release drug products in such circumstances is provided in FDA's FDA guidance for industry on *SUPAC IR: Immediate-Release Solid Oral Dosage Forms: Scale-Up and Post-Approval Changes: Chemistry, Manufacturing, and Controls; In Vitro Dissolution Testing, and In Vivo Bioequivalence Documentation*. The same principles described in the FDA guidance can be applied to pre-approval changes in which the to-be-marketed formulation differs from the clinical trial formulation.

2. Modified-Release Formulations

The use of in vitro data may be acceptable for modified-release drug products for which specific post-approval changes are sought. This use of data are delineated in the FDA guidance for industry *SUPAC-MR: Modified Release Solid Oral Dosage Forms: Scale-Up and Post-approval Changes: Chemistry, Manufacturing, and Controls; In Vitro Dissolution Testing, and In Vivo Bioequivalence Documentation*. The same principles described in the FDA guidance may also apply to pre-approval changes. Additional considerations for use of in vitro data are described below.

- *Beaded Capsules: Lower/Higher Strength*

For ER beaded capsules where the strength differs only in the number of beads containing the active moiety, a single-dose, fasting BA or BE study, as appropriate, should be carried out on the highest strength. In vivo BA or BE of one or more lower strengths can be demonstrated based on dissolution profile comparisons, with an in vivo BA or BE study only on the highest strength (unless safety reasons preclude the administration of the highest strength to healthy volunteers). The dissolution profiles for each strength should be generated using the recommended dissolution method. If the dissolution method has not been finalized, dissolution profiles should be generated in at least three media (e.g., pH 1.2, 4.5, and 6.8). In vivo BE studies for higher strengths may not be necessary based on (1) clinical safety and/or efficacy data on the proposed dose and the need for the higher strength, (2) linearity of pharmacokinetics over the therapeutic dose range, and (3) the same dissolution procedures being used for all strengths with similar dissolution results. The f_2 test can be used to demonstrate similar profiles among the different strengths of the product.

- *MR Dosage Forms: Lower Strength*

For MR dosage forms, when the drug product is in the same dosage form but in a different strength and when (1) the drug exhibits linear pharmacokinetics, (2) the various strengths are proportionally similar in their active and inactive ingredients,²⁸ and (3) the drug-release mechanism is the same, an in vivo BA or BE determination of one or more lower strengths can be demonstrated based on dissolution profile comparisons, with an in vivo BA or BE study only on the highest

strength. The dissolution profiles for each strength should be generated using the recommended dissolution method. If the dissolution method has not been finalized, dissolution profiles should be generated in at least three media (e.g., pH 1.2, pH 4.5, and pH 6.8). The dissolution profile should be generated on the test and reference products of all strengths using the same dissolution test conditions.

VI. SPECIAL TOPICS

A. ALCOHOLIC BEVERAGE EFFECTS ON MR DRUG PRODUCTS

The consumption of alcoholic beverages may affect the release of a drug substance from an MR formulation. The formulation may lose its MR characteristics, leading to more rapid drug release and altered systemic exposure. This more rapid drug release may have deleterious effects on the drug's safety and/or efficacy.

In vitro assessments of the drug release from the drug product using media with various alcohol concentrations should be conducted. Based on the results of the in vitro assessments, an in vivo BA study of the drug product when administered with alcohol may be needed.

B. ENANTIOMERS VS. RACEMATES

During development of a racemic drug product, the racemate should be measured in BA studies. It may also be important to measure the individual enantiomers of the racemate to characterize the pharmacokinetics of the enantiomers. For the development of a specific enantiomer, chiral inversion should be assessed.

Measurement of the racemate using an achiral assay is recommended for BE studies. Measurement of individual enantiomers in BE studies is recommended only when all of the following conditions are met: (1) the enantiomers exhibit different PD characteristics, (2) the enantiomers exhibit different PK characteristics, (3) primary efficacy and safety activity resides with the minor enantiomer, and (4) nonlinear absorption is present (as expressed by a change in the enantiomer concentration ratio with change in the input rate of the drug) for at least one of the enantiomers. In such cases, we recommend that BE criteria be applied to the enantiomers separately.

C. DRUG PRODUCTS WITH COMPLEX MIXTURES AS THE ACTIVE INGREDIENTS

Certain drug products may contain complex drug substances (i.e., active moieties or active ingredients that are mixtures of multiple synthetic and/or natural source components). Some or all of the components of these complex drug substances may not be fully characterized with regard to chemical structure and/or biological activity. Quantification of all active or potentially active components in BA and BE studies may not be possible. In such cases, we recommend that BA and BE studies be based on a select number of components.

Criteria for component selection typically include the amount of the moiety in the dosage form, plasma or blood levels of the moiety, and biological activity of the moiety. When PK approaches are infeasible to assess rate and extent of absorption of a drug substance from a drug product, PD, clinical, or in vitro approaches may be appropriate.

D. LONG-HALF-LIFE DRUGS

In a BA or PK study involving an IR oral product with a long half-life (≥ 24 hours), adequate characterization of the half-life should include blood sampling over a long period of time. For BA or BE determination of a drug product containing a drug with a long half-life, a non-replicate, single-dose, crossover study can be conducted, provided an adequate washout period is used. If the crossover study is problematic, a study with a parallel design can be used. For either a crossover or parallel study, we recommend that the sample collection time be adequate to ensure completion of gastrointestinal transit (approximately 2 to 3 days) of the drug product and absorption of the drug substance. C_{\max} and a suitably truncated AUC can be used to characterize peak and total drug exposure, respectively. For drugs that demonstrate low intrasubject variability in distribution and clearance, a truncated AUC (e.g., $AUC_{0-72\text{hr}}$) can be used in place of AUC_{0-t} or $AUC_{0-\infty}$. For drugs that demonstrate high intrasubject variability in distribution and clearance, AUC truncation should not be used. In such cases, we recommend that sponsors and/or applicants consult the appropriate review division.

E. ORALLY ADMINISTERED DRUGS INTENDED FOR LOCAL ACTION

Documentation of BA and BE when the drug substance produces its effects by local action in the gastrointestinal tract can be achieved either by using pharmacokinetics, an acceptable PD end point, clinical efficacy and safety studies, and/or suitably designed and validated in vitro studies, as appropriate. For such cases, we recommend that sponsors and/or applicants consult the appropriate review division. Additional safety studies may also be recommended to characterize the local safety of the product. The in vitro studies should reflect important clinical effects or should be more sensitive to changes in product performance compared to a clinical study. To ensure comparable safety, additional studies with and without food may help in understanding the degree of systemic exposure that occurs following administration of a drug product intended for local action in the gastrointestinal tract.

F. COMBINATION/CO-ADMINISTERED DRUG PRODUCTS

Two or more active ingredients can be formulated as a single drug product, which is referred to as a combination drug product. Generally, the purpose of an in vivo BA study involving a combination drug product is to compare the rate and extent of absorption of each active drug ingredient or therapeutic moiety in the combination drug product to the rate and extent of

absorption of each active drug ingredient or therapeutic moiety administered concurrently in separate single-ingredient preparations [21 CFR 320.25(g)].

For the purpose of defining BA or determining BE when required, this FDA guidance recommends that the following studies be conducted for a combination drug product:

- A two-treatment, single-dose, fasting study of the combination drug product versus single-ingredient drug products administered concurrently as a single treatment or an approved combination product containing the same active ingredients. This study should use the highest strength of the combination product with matching doses of individual drug products.
- Certain alternative study designs may also be acceptable depending on the specific situation. For instance, in the case of a combination product consisting of two components, a three-treatment study design comparing the combination drug product vs. single-ingredient drug products administered separately may be appropriate.
- A single-dose, food-effect study on the combination drug product.

BE studies for the combination product should include the measurement of systemic concentrations of each active ingredient. The confidence interval approach should be applied to each measured entity of the combination drug product and its reference product.

In specific cases, drug products are given in combination (not co-formulated) with the objective of increasing the exposure of one of the drugs (subject drug). The second drug is not intended to have a therapeutic effect and is given only to increase the systemic exposure of the subject drug. When both the subject and second drug are new molecular entities, the BA of each should be assessed separately. If a BE study is needed for the subject drug for any reason, the subject drug should be administered with the second drug for both test and reference products. The corresponding PK results, including confidence intervals for BE criteria, should be applied to the subject drug. It is not necessary to measure the concentrations of the second drug. BE studies that are needed for the second drug should be conducted only with the second drug; the subject drug is not dosed with the second drug. When the combination includes a new molecular entity and an approved product, only the BA of the new molecular entity should be assessed. It is assumed that the BA of the approved product has been previously evaluated.

G. ENDOGENOUS SUBSTANCES

Drug products can be developed that contain compounds that are endogenous to humans (e.g., testosterone). When the endogenous compounds are identical to the drug that is being administered, determining the amount of drug released from the dosage form and absorbed by each subject is difficult. In most cases, it is important to measure and approximate

the baseline endogenous levels of the compound in blood (plasma) and subtract these levels from the total concentrations measured from each subject after the drug product is administered. In this way, an estimate of actual drug availability from the drug product can be achieved, and therefore BA and BE can be assessed. Endogenous substances may have homeostatic processes that affect their production and therefore impact their systemic concentrations. To reduce the complication of these homeostatic processes and to potentially avoid the need for baseline correction, an alternative approach might be to enroll patients in BA and BE studies with low or no production of the endogenous substances instead of healthy volunteers.

Baseline concentrations of the endogenous substance produced by the body are measured in the time period prior to study drug administration. Depending on the proposed indication, subtraction of the time-averaged baseline or time-matched baseline from the post-dose concentration for each subject may be recommended. When the endogenous levels are influenced by diet, strict control of the dietary intake of the compound prior to and during the study may also be appropriate. To achieve a stable baseline, subjects should be housed at the clinic for a sufficient time prior to the study and served standardized meals with similar content of the compound to that of the meals served on the PK sampling day.

In either case, baseline concentrations should be determined for each dosing period, and baseline corrections should be period-specific. If a negative plasma concentration value results after baseline correction, this should be set to 0 prior to calculating the baseline-corrected AUC. Pharmacokinetics and statistical analysis should be performed on both uncorrected and corrected data as appropriate. Because of the complexities associated with endogenous compounds, we recommend that sponsors and/or applicants contact the appropriate review division for additional FDA guidance.

H. DRUG PRODUCTS WITH HIGH INTRASUBJECT VARIABILITY

In addition to the traditional approach and the use of average BE using replicate designs, the use of a reference-scaled BE approach using a replicate design can be considered. This approach should be reserved for drugs that demonstrate a high intrasubject variability ($\geq 30\%$). The reference-scaled average BE approach adjusts the BE limits of highly variable drugs by scaling to the within-subject variability of the reference product in the study and imposes a limit of 0.8 to 1.25 on the geometric mean ratio.²⁹ The appropriate review division should be consulted when planning the use of the reference-scaled BE approach.

APPENDIX A: GENERAL STUDY DESIGN AND DATA HANDLING

The following general approaches are recommended, recognizing that the elements can be adjusted for certain drug substances and drug products.

STUDY CONDUCT

- The BA or BE study should be conducted under fasting conditions (after an overnight fast of at least 10 hours). If the BA or BE study needs to be conducted with food, a separate FDA guidance *Food-Effect Bioavailability and Fed Bioequivalence Studies* is available to assist sponsors.
- The test and reference products should be administered with about 8 ounces (240 milliliters) of water to an appropriate number of subjects.
- Generally, the highest marketed strength should be administered as a single unit. If warranted, to achieve sufficient bioanalytical sensitivity multiple units of the highest strength can be administered, provided the total single dose remains within the labeled dose range and the total dose is safe for administration to the study subjects.
- An adequate washout period (e.g., $>$ half-lives of the moieties to be measured) should separate each treatment.
- The lot numbers of both test and reference listed products and the expiration date for the reference product should be stated. We recommend that the assayed drug content of the test product batch not differ from the reference product by more than $\pm 5\%$. The sponsor should include a statement of the composition of the test product and, if possible, a side-by-side comparison of the compositions of test and reference listed products. In accordance with 21 CFR 320.38, and 21 CFR 320.63, samples of the test and reference listed product must be retained for at least 5 years. For additional information, please refer to the FDA guidance for industry on *Handling and Retention of Bioavailability and Bioequivalence Testing Samples*.
- Before and during each study phase, we recommend that subjects (1) be allowed water as desired except for 1 hour before and after drug administration, (2) be provided standard meals no less than 4 hours after drug administration, and (3) abstain from alcohol for 24 hours before each study period and until after the last sample from each period is collected.

SAMPLE COLLECTION AND SAMPLING TIMES

- We recommend that under normal circumstances, blood, rather than urine or tissue, be used.

In most cases, drug or metabolites are measured in serum or plasma. However, in certain cases, such as when an assay of sufficient sensitivity cannot be developed for plasma, whole blood may be more appropriate for analysis. We recommend that blood samples be drawn at appropriate times to describe the absorption, distribution, and elimination phases of the drug. For most drugs we recommend that 12 to 18 samples, including a pre-dose sample, be collected per subject per dose.

This sampling should continue for at least three or more terminal elimination half-lives of the drug to capture 90% of the relevant AUC. For multiple-dose studies, sampling should occur across the dose interval and include the beginning and the end of the interval. The exact timing for sample collection depends on the nature of the drug and the rate of input from the administered dosage form. The sample collection should be spaced in such a way that the maximum concentration (C_{\max}) of the drug in the blood and terminal elimination rate constant (λ_z) can be estimated accurately.

Three or more samples should be obtained during the terminal log-linear phase to obtain an accurate estimate of λ_z from linear regression. We recommend recording the actual clock time when samples are drawn, as well as the elapsed time related to drug administration.

SUBJECTS WITH PRE-DOSE PLASMA CONCENTRATIONS

- If the pre-dose concentration is $\leq 5\%$ of C_{\max} value in that subject, the subject's data without any adjustments can be included in all PK measurements and calculations. We recommend that if the pre-dose value is $>5\%$ of C_{\max} , the subject should be dropped from all PK evaluations. The subject data should be reported, and the subject should be included in safety evaluations.

DATA DELETION BECAUSE OF VOMITING

- We recommend that data from subjects who experience emesis during the course of a study for immediate-release products be deleted from statistical analysis if vomiting occurs at or before two times median T_{\max} . For modified-release products, subjects who experience emesis at any time during the labeled dosing interval should not be included in PK analysis.

DATA SUBMISSION AND ANALYSIS

The following PK information is recommended for submission:

- Plasma concentrations and time points.
- Subject, period, sequence, treatment.
- Inter-subject, intrasubject, and/or total variability, if available.
- For single-dose studies: AUC_{0-t} , $AUC_{0-\infty}$, C_{\max} , T_{\max} , λ_z , and $t_{1/2}$.
- For steady-state studies: $AUC_{0-\tau}$, $C_{\max,ss}$, T_{\max} , $C_{\min,ss}$ (lowest concentration in a dosing interval), C_{trough} (concentration at the end of the dosing interval), $C_{\text{av,ss}}$ (average concentration during a dosing interval), degree of fluctuation $[(C_{\max} - C_{\min})/C_{\text{av,ss}}]$, swing $[(C_{\max,ss} - C_{\min,ss})/C_{\min,ss}]$. C_{trough} should be measured for several dosing intervals to assess whether steady-state was achieved.

- In addition to the above information, clearance and volume of distribution should be reported for BA studies.

In addition, we recommend that the following statistical information be provided for AUC_{0-t} , $AUC_{0-\infty}$, and C_{\max} :

- Geometric means
- Arithmetic means
- Geometric mean ratios
- 90% confidence intervals (CI)

We also recommend that logarithmic transformation be provided for measures used for BE demonstration. An FDA guidance for industry, *Statistical Approaches to Establishing Bioequivalence*, is available.

ROUNDING OFF OF CONFIDENCE INTERVAL VALUES

We recommend that applicants *not round off* CI values; therefore, to pass a CI limit of 80% to 125%, the value should be at least 80.00% and not more than 125.00%.

NOTES

1. This FDA guidance was developed by the Office of Clinical Pharmacology, Office of Translational Sciences, and the Office of New Drugs Quality Assessment, Office of Pharmaceutical Science, in the Center for Drug Evaluation and Research (CDER) at the U.S. Food and Drug Administration (FDA).
2. BA and BE information for drug products in abbreviated new drug applications (ANDAs) and ANDA supplements are not the subject of this FDA guidance. FDA has issued a separate draft FDA guidance on this topic entitled *Bioequivalence Studies with Pharmacokinetic Endpoints for Drugs Submitted Under an ANDA* (December 2013) (ANDA BE Draft FDA guidance). The ANDA BE Draft FDA guidance, when finalized, will represent FDA's current thinking on this topic. Many FDA guidance are referenced throughout this document. The FDA guidance referred to in this footnote, as well as others referenced throughout the remainder of the document, can be found on the FDA Drugs FDA guidance Web page at www.fda.gov/Drugs/FDAguidanceComplianceRegulatoryInformation/FDAguidance/default.htm. We update FDA guidance periodically. To make sure you have the most recent version of an FDA guidance, check the FDA Drugs FDA guidance Web page.
3. These dosage forms include tablets, capsules, solutions, suspensions, conventional/immediate-release drug products, and modified (extended, delayed)-release drug products.
4. *Bioequivalence* is a statutory term reflected in the Federal Food, Drug, and Cosmetic Act (FD&C Act) in Section 505(j) [21 U.S.C. 355(j)], which requires ANDA applicants to demonstrate, among other things, that the proposed generic product is bioequivalent to its reference listed drug. Section 505(j)(2)(A)(iv) of the FD&C Act; see also Section 505(j)(8) of the FD&C Act. There is no similar statutory requirement for an NDA applicant either under Section 505(b)(1) or (b)(2) of the FD&C Act to demonstrate bioequivalence of its proposed product to another product. As a scientific matter, however,

- the same or a similar showing of the bioavailability of two products in the NDA context may be needed for the purposes of evaluating the safety or effectiveness of a product. For ease of the reader, we refer to such evaluations of the relative bioavailability for two or more products as an evaluation of bioequivalence in this FDA guidance.
5. For information on these types of studies, see FDA's Drugs FDA guidance Web page. See footnote #2 for information on accessing this Web page.
 6. Revisions to the March 2003 BA and BE FDA guidance include (1) expansion of the section on modified-release products, (2) addition of a section on concomitant administration of drug products and combination drug products, (3) addition of a section on alcoholic beverage effects on modified-release dosage forms, (4) addition of an endogenous substance section, (5) addition of a section on drug products with high intra-subject variability, and (6) removal of references to BE studies conducted for ANDAs. The FDA guidance also makes other revisions for clarification.
 7. See footnote #2
 8. Accordingly, the FDA is revising the 2002 Food-Effect FDA guidance.
 9. 21 CFR 320.1(a) and (e).
 10. See, e.g., 21 CFR 320.24(b)(1)(i). If serial measurements of the drug or its metabolites in plasma, serum, or blood cannot be accomplished, then measurement of urinary excretion can be used.
 11. 21 CFR 320.27(a)(3).
 12. See also 21 CFR 320.29.
 13. See 21 CFR 320.24(b)(1)(i).
 14. See footnote #2.
 15. See the FDA guidance for industry on *Waiver of In Vivo Bioavailability and Bioequivalence Studies for Immediate-Release Solid Oral Dosage Forms Based on a Biopharmaceutics Classification System*. This document provides complementary information on the Biopharmaceutics Classification System (BCS).
 16. See 21 CFR 320.22(b)(3).
 17. For the purpose of this FDA guidance, the terms *extended*, *controlled*, and *sustained* are used interchangeably.
 18. 21 CFR 320.25(f)(1).
 19. 21 CFR 320.25(f)(2).
 20. 21 CFR 320.25(f)(2)(i), (ii), and (iv). We recommend that a sponsor seeking to use as a reference product "a currently marketed extended release drug product subject to an approved full new drug application containing the same active drug ingredient or therapeutic moiety and administered according to the dosage recommendations in the labeling proposed for the extended release drug product," under 21 CFR 320.25(f)(2)(iii), consult with the Agency before commencing such a study.
 21. For example, when a 150-milligram (mg) ER product administered once daily (QD) is being developed that gives an approved 50-mg IR reference product administered three times a day (TID) or a 75-mg product administered two times a day (BID), a comparison of the 150-mg ER product administered as a single dose could be compared to either the 50-mg IR reference product administered TID or 75-mg IR reference product administered BID. In this case, the least common time interval is 24 hours.
 22. If three strengths, 10 mg, 25 mg, and 50 mg, are being developed for a new ER dosage form, the dosage strength equivalence study should be conducted using 5×10 mg, 2×25 mg, and 1×50 mg to achieve constancy of dose.
 23. If three strengths, 10 mg, 25 mg, and 50 mg, are being developed for a new ER dosage form, the dosage strength proportionality study should be conducted using 1×10 mg, 1×25 mg, and 1×50 mg to achieve constancy of dose, and the dosage strength proportionality study should be conducted using 1×10 mg, 1×25 mg, and 1×50 mg.
 24. 21 CFR 320.21(b) (giving applicants the option of submitting information that "would permit FDA to waive the submission of evidence demonstrating in vivo bioequivalence") and 320.21(f) (requiring that the information submitted in support of a waiver request "shall meet the criteria set forth in § 320.22").
 25. 21 CFR 320.21(b) (giving applicants the option of submitting information that "would permit FDA to waive the submission of evidence demonstrating in vivo bioequivalence") and 320.21(f) (requiring that the information submitted in support of a waiver request "shall meet the criteria set forth in § 320.22").
 26. See also 21 CFR 322.22(d)(3) and (4) for additional bases for waiver. Also, FDA, for good cause, may waive a requirement for the submission of evidence of in vivo bioavailability or bioequivalence if waiver is compatible with the protection of the public health. For full NDAs, FDA may defer a requirement for the submission of evidence of in vivo bioavailability if deferral is compatible with the protection of the public health [21 CFR 320.22(e)].
 27. In such instances, no waiver under 21 CFR 320.21 and 320.22 is necessary.
 28. If the formulations of all the strengths are not compositionally proportional, in vitro data can be submitted for the middle strength(s) if the following data are acceptable: (1) BA or BE data, as appropriate, for both the highest and the lowest strengths, and (2) in vitro multimedia dissolution comparison profiles using f_2 evaluation.
 29. For general principles of the reference-scaled approach, refer to Davit B, Conner D. Reference-Scaled Average Bioequivalence Approach. In: Kanfer I, Shargel L, Eds. *Generic Drug Product Development*.



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18 FDA 483 Observations

FDA's Office of Regulatory Affairs (ORA) is the lead office for all field activities, including inspections and enforcement. During an inspection, ORA investigators may observe conditions they deem to be objectionable. These observations are listed on an FDA Form 483 when, in an investigator's judgment, the observed conditions or practices indicate that an FDA-regulated product may be in violation of FDA's requirements.

The Product and Program Areas where the FDA inspects include the following categories; the numbers indicate the 483s issued for each category in the fiscal year 2017:

- Biologics: 115
- Drugs: 694
- Devices: 1030
- Human Tissue for Transplantation: 61
- Radiological Health: 31
- Parts 1240 and 1250: 75
- Foods (includes Dietary Supplements): 2662
- Veterinary Medicine: 244

The formulations provided in this book should meet all current cGMP requirements, along with the lists of approved excipients and the level of excipients used in the FDA-approved products. However, assuring that the products are manufactured in a cGMP environment is pivotal to marketing success. In providing a summary of the 483s issued by the FDA, it becomes very clear that most of these violations are avoidable, as they pertain to maintaining a documentation system appropriate for the purpose.

Table 18.1 lists the type of citations issued by the FDA for the inspection of drug manufacturing facilities. The description only includes a general category observation; the actual 483 will provide details of how the FDA reached the conclusion.

Part 211 Current Good Manufacturing Practice for Finished Pharmaceuticals

Subpart A—General Provisions

- § 211.1—Scope
- § 211.3—Definitions

Subpart B—Organization and Personnel

- § 211.22—Responsibilities of quality control unit
- § 211.25—Personnel qualifications
- § 211.28—Personnel responsibilities
- § 211.34—Consultants

Subpart C—Buildings and Facilities

- § 211.42—Design and construction features
- § 211.44—Lighting
- § 211.46—Ventilation, air filtration, air heating and cooling
- § 211.48—Plumbing
- § 211.50—Sewage and refuse
- § 211.52—Washing and toilet facilities
- § 211.56—Sanitation
- § 211.58—Maintenance

Subpart D—Equipment

- § 211.63—Equipment design, size, and location
- § 211.65—Equipment construction
- § 211.67—Equipment cleaning and maintenance
- § 211.68—Automatic, mechanical, and electronic equipment
- § 211.72—Filters

Subpart E—Control of Components and Drug Product Containers and Closures

- § 211.80—General requirements
- § 211.82—Receipt and storage of untested components, drug product containers, and closures
- § 211.84—Testing and approval or rejection of components, drug product containers, and closures
- § 211.86—Use of approved components, drug product containers, and closures
- § 211.87—Retesting of approved components, drug product containers, and closures
- § 211.89—Rejected components, drug product containers, and closures
- § 211.94—Drug product containers and closures

Subpart F—Production and Process Controls

- § 211.100—Written procedures; deviations
- § 211.101—Charge-in of components
- § 211.103—Calculation of yield
- § 211.105—Equipment identification
- § 211.110—Sampling and testing of in-process materials and drug products
- § 211.111—Time limitations on production
- § 211.113—Control of microbiological contamination
- § 211.115—Reprocessing

Subpart G—Packaging and Labeling Control

- § 211.122—Materials examination and usage criteria
- § 211.125—Labeling issuance
- § 211.130—Packaging and labeling operations
- § 211.132—Tamper-evident packaging requirements for over-the-counter (OTC) human drug products
- § 211.134—Drug product inspection
- § 211.137—Expiration dating

Subpart H—Holding and Distribution

- § 211.142—Warehousing procedures
- § 211.150—Distribution procedures

Subpart I—Laboratory Controls

- § 211.160—General requirements
- § 211.165—Testing and release for distribution
- § 211.166—Stability testing
- § 211.167—Special testing requirements

§ 211.170—Reserve samples

§ 211.173—Laboratory animals

§ 211.176—Penicillin contamination

Subpart J—Records and Reports

- § 211.180—General requirements
- § 211.182—Equipment cleaning and use log
- § 211.184—Component, drug product container, closure, and labeling records
- § 211.186—Master production and control records
- § 211.188—Batch production and control records
- § 211.192—Production record review
- § 211.194—Laboratory records
- § 211.196—Distribution records
- § 211.198—Complaint files

Subpart K—Returned and Salvaged Drug Products

- § 211.204—Returned drug products
- § 211.208—Drug product salvaging

TABLE 18.1**Year 2017 FDA 483 Citations Issued for Inspection of Facilities Manufacturing Drugs**

Reference Number	Short Description	Long Description	Frequency
21 CFR 211.22(d)	Procedures not in writing, fully followed	The responsibilities and procedures applicable to the quality control unit are not [in writing] [fully followed].	185
21 CFR 211.160(b)	Scientifically sound laboratory controls	Laboratory controls do not include the establishment of scientifically sound and appropriate [specifications] [standards] [sampling plans] [test procedures] designed to assure that [components] [drug product containers] [closures] [in-process materials] [labeling] [drug products] conform to appropriate standards of identity, strength, quality, and purity.	124
21 CFR 211.192	Investigations of discrepancies, failures	There is a failure to thoroughly review [any unexplained discrepancy] [the failure of a batch or any of its components to meet any of its specifications] whether or not the batch has been already distributed.	100
21 CFR 211.100(a)	Absence of written procedures	There are no written procedures for production and process controls designed to assure that the drug products have the identity, strength, quality, and purity they purport or are represented to possess.	91
21 CFR 211.67(b)	Written procedures not established/followed	Written procedures are not [established] [followed] for the cleaning and maintenance of equipment, including utensils, used in the manufacture, processing, packing, or holding of a drug product.	68
21 CFR 211.165(a)	Testing and release for distribution	Testing and release of drug product for distribution do not include appropriate laboratory determination of satisfactory conformance to the [final specifications] [identity and strength of each active ingredient] prior to release.	64
21 CFR 211.68(b)	Computer control of master formula records	Appropriate controls are not exercised over computers or related systems to assure that changes in master production and control records or other records are instituted only by authorized personnel.	62

(Continued)

TABLE 18.1 (CONTINUED)
Year 2017 FDA 483 Citations Issued for Inspection of Facilities Manufacturing Drugs

Reference Number	Short Description	Long Description	Frequency
21 CFR 211.113(b)	Procedures for sterile drug products	Procedures designed to prevent microbiological contamination of drug products purporting to be sterile are not [established] [written] [followed].	62
21 CFR 211.68(a)	Calibration/inspection/checking not done	Routine [calibration] [inspection] [checking] of [automatic] [mechanical] [electronic] equipment is not performed according to a written program designed to assure proper performance.	61
21 CFR 211.166(a)	Lack of written stability program	There is no written testing program designed to assess the stability characteristics of drug products.	61
21 CFR 211.110(a)	Control procedures to monitor and validate performance	Control procedures are not established which [monitor the output] [validate the performance] of those manufacturing processes that may be responsible for causing variability in the characteristics of in-process material and the drug product.	56
21 CFR 211.67(a)	Cleaning/sanitizing/maintenance	Equipment and utensils are not [cleaned] [maintained] [sanitized] at appropriate intervals to prevent [malfunctions] [contamination] that would alter the safety, identity, strength, quality, or purity of the drug product.	54
21 CFR 211.25(a)	Training—operations, GMPs, written procedures	Employees are not given training in [the particular operations they perform as part of their function] [current good manufacturing practices] [written procedures required by current good manufacturing practice regulations].	53
21 CFR 211.188	Prepared for each batch, include complete information	Batch production and control records [are not prepared for each batch of drug product produced] [do not include complete information relating to the production and control of each batch].	51
21 CFR 211.165(e)	Test methods	The [accuracy] [sensitivity] [specificity] [reproducibility] of test methods have not been [established] [documented].	50
21 CFR 211.42(c)(10)(iv)	Environmental monitoring system	Aseptic processing areas are deficient regarding the system for monitoring environmental conditions.	47
21 CFR 211.63	Equipment design, size, and location	Equipment used in the manufacture, processing, packing, or holding of drug products is not [of appropriate design] [of adequate size] [suitably located] to facilitate operations for its [intended use] [cleaning and maintenance].	44
21 CFR 211.100(b)	SOPs not followed/documentated	Written production and process control procedures are not [followed in the execution of production and process control functions] [documented at the time of performance].	43
21 CFR 211.180(e)(2)	Items to cover on annual reviews	Written procedures are not [established] [followed] for evaluations done at least annually and including provisions for a review of [complaints] [recalls] [returned or salvaged drug products] [investigations conducted for each drug product].	41
21 CFR 211.194(a)	Complete test data included in records	Laboratory records do not include complete data derived from all tests, examinations, and assay necessary to assure compliance with established specifications and standards. Specifically, ***	39
21 CFR 211.42(c)(10)(v)	Cleaning system	Aseptic processing areas are deficient regarding the system for cleaning and disinfecting the [room] [equipment] to produce aseptic conditions.	38
21 CFR 211.22(a)	Lack of quality control unit	There is no quality control unit.	38
21 CFR 211.198(a)	Complaint handling procedure	Procedures describing the handling of written and oral complaints related to drug products are [not written or followed] [deficiently written or followed].	37

(Continued)

TABLE 18.1 (CONTINUED)
Year 2017 FDA 483 Citations Issued for Inspection of Facilities Manufacturing Drugs

Reference Number	Short Description	Long Description	Frequency
21 CFR 211.113(a)	Procedures for non-sterile drug products	Procedures designed to prevent objectionable microorganisms in drug products not required to be sterile are not [established] [written] [followed].	33
21 CFR 211.25(a)	Training, education, experience overall	Employees engaged in the [manufacture] [processing] [packing] [holding] of a drug product lack the [education] [training] [experience] required to perform their assigned functions.	31
21 CFR 211.113(b)	Validation lacking for sterile drug products	Procedures designed to prevent microbiological contamination of drug products purporting to be sterile do not include [adequate] validation of the sterilization process.	30
21 CFR 211.25(a)	GMP training frequency	GMP training is not conducted [on a continuing basis] [with sufficient frequency] to assure that employees remain familiar with cGMP requirements applicable to them.	29
21 CFR 211.192	Written record of investigation incomplete	Written records of investigations into [unexplained discrepancies] [the failure of a batch or any of its components to meet specifications] do not [always] include the conclusions and follow-up.	29
21 CFR 211.160(a)	Following/documenting laboratory controls	Established [specifications] [standards] [sampling plans] [test procedures] [laboratory control mechanisms] are not [followed] [documented at the time of performance].	28
21 CFR 211.165(b)	Microbiological testing	Each batch of drug product required to be free of objectionable microorganisms is not tested through appropriate laboratory testing.	27
21 CFR 211.188(b)	Batch production and batch control record requirements	The batch production and control records are deficient in that they do not include documentation of the accomplishment of each significant step in [manufacturing] [processing] [packing] [holding].	26
21 CFR 211.160(b)(4)	Calibration—at intervals, written program, remedial action	The calibration of [instruments] [apparatus] [gauges] [recording devices] is not done at suitable intervals [in accordance with an established written program] [with provisions for remedial action in the event accuracy and/or precision limits are not met].	26
21 CFR 211.192	Quality control unit review of records	Drug product production and control records are not [reviewed] [approved] by the quality control unit to determine compliance with all established, approved written procedures before a batch is released or distributed.	25
21 CFR 211.194(a)(4)	Complete test data	Laboratory records are deficient in that they do not include a complete record of all data obtained during testing.	25
21 CFR 211.142(b)	Storage under appropriate conditions	Drug products are not stored under appropriate conditions of [temperature] [humidity] [light] so that their identity, strength, quality, and purity are not affected.	25
21 CFR 211.198(a)	Procedures to be written and followed	Procedures describing the handling of all written and oral complaints regarding a drug product are not [established] [written] [followed].	25
21 CFR 211.111	Establishment of time limitations	Time limits are not established when appropriate for the completion of each production phase to assure the quality of the drug product.	24
21 CFR 211.58	Buildings not maintained in good state of repair	Buildings used in the [manufacturing] [processing] [packing] [holding] of a drug product are not maintained in a good state of repair.	24
21 CFR 211.160(a)	Deviations from laboratory control requirements	Deviations from written [specifications] [standards] [sampling plans] [test procedures] [laboratory mechanisms] are not [recorded] [justified].	23
21 CFR 211.84(d)(2)	Establish reliability of supplier's CoA	Establishment of the reliability of the component supplier's report of analyses is deficient in that the test results are not appropriately validated at appropriate intervals.	22

(Continued)

TABLE 18.1 (CONTINUED)
Year 2017 FDA 483 Citations Issued for Inspection of Facilities Manufacturing Drugs

Reference Number	Short Description	Long Description	Frequency
21 CFR 211.180(e)	Records reviewed annually	Records are not maintained so that data therein can be reviewed at least annually to evaluate the quality standards of each drug product to determine the need for changes in specifications or manufacturing or control procedures.	22
21 CFR 211.100(b)	Procedure deviations recorded and justified	Deviations from written production and process control procedures are not [recorded] [justified].	22
21 CFR 211.84(d)(2)	Reports of analysis (components)	Reports of analysis from component suppliers are accepted in lieu of testing each component for conformity with all appropriate written specifications, without [performing at least one specific identity test on each component] [establishing the reliability of the supplier's analyses through appropriate validation of the supplier's test results at appropriate intervals].	22
21 CFR 211.160(a)	Lab controls established, including changes	The establishment of [specifications] [standards] [sampling plans] [test procedures] [laboratory control mechanisms], including any changes thereto, are not [drafted by the appropriate organizational unit] [reviewed and approved by the quality control unit].	21
21 CFR 211.182	Written records kept in individual logs	Written records of major equipment [cleaning] [maintenance] [use] are not included in individual equipment logs.	21
21 CFR 211.170(b)	Annual visual exams of drug products	Reserve samples from representative sample lots or batches of drug products selected by acceptable statistical procedures are not examined visually at least once a year for evidence of deterioration.	21
21 CFR 211.192	No written record of investigation	Written records are not [always] made of investigations into [unexplained discrepancies] [the failure of a batch or any of its components to meet specifications].	20
21 CFR 314.80(b)	Failure to develop written procedures	Written procedures have not been developed for the [surveillance] [receipt] [evaluation] [reporting to FDA] of post-marketing adverse drug experiences.	20
21 CFR 211.192	Extent of discrepancy, failure investigations	Investigations of [an unexplained discrepancy] [a failure of a batch or any of its components to meet any of its specifications] did not extend to [other batches of the same drug product] [other drug products that may have been associated with the specific failure or discrepancy].	19
21 CFR 211.100(a)	Changes to procedures not reviewed, approved	Changes to written procedures are not [drafted, reviewed, and approved by the appropriate organizational unit] [reviewed and approved by the quality control unit].	19
21 CFR 211.22(a)	Authority lacking to review records, investigate errors	The quality control unit lacks authority to [review production records to assure that no errors have occurred] [fully investigate errors that have occurred].	18
21 CFR 211.80(a)	Procedures to be in writing	Written procedures are lacking which describe in sufficient detail the [receipt] [identification] [storage] [handling] [sampling] [testing] [approval] [rejection] of [components] [drug product containers] [closures].	18
21 CFR 211.166(a)	Written program not followed	The written stability testing program is not followed.	18
21 CFR 211.122(a)	Written procedures describing in detail	There is a lack of written procedures describing in sufficient detail the [receipt] [identification] [storage] [handling] [sampling] [examination] [testing] of labeling and packaging materials.	17
21 CFR 211.125(a)	Strict control not exercised over labeling issued	Strict control is not exercised over labeling issued for use in drug product labeling operations.	17
21 CFR 211.56(b)	Written sanitation procedures lacking	There is a lack of written procedures [assigning responsibility] [providing cleaning schedules] [describing in sufficient detail the methods, equipment, and materials to be used] for sanitation.	17

(Continued)

TABLE 18.1 (CONTINUED)
Year 2017 FDA 483 Citations Issued for Inspection of Facilities Manufacturing Drugs

Reference Number	Short Description	Long Description	Frequency
21 CFR 211.28(a)	Clothing appropriate for duties performed	Clothing of personnel engaged in the [manufacturing] [processing] [packing] [holding] of drug products is not appropriate for the duties they perform.	16
21 CFR 211.84(d)(1)	Component identity verification	Drug product component testing is deficient in that at least one specific test to verify the identity of each component is not performed.	16
21 CFR 211.130	Procedures are written and followed	Procedures designed to assure that correct [labels] [labeling] [packaging materials] are used for drug products are not [written] [followed].	15
21 CFR 211.180(e)(1)	Review of representative number of batches	Written procedures are not [established] [followed] for evaluations conducted at least annually to review records associated with a representative number of batches, whether approved or rejected.	15
21 CFR 211.46(b)	Equipment for environmental control	Equipment for adequate control over [air pressure] [micro-organisms] [dust] [humidity] [temperature] is not provided when appropriate for the manufacture, processing, packing, or holding of a drug product.	15
21 CFR 211.137(a)	Expiration date lacking	Drug products do not bear an expiration date determined by appropriate stability data to assure they meet applicable standards of identity, strength, quality, and purity at the time of use.	14
21 CFR 211.103	Actual vs. theoretical yields not determined	Actual yield and percentages of theoretical yield are not determined at the conclusion of each appropriate phase of [manufacturing] [processing] [packaging] [holding] of the drug product.	13
21 CFR 211.166(a)(3)	Valid stability test methods	The written stability program for drug products does not include [reliable] [meaningful] [specific] test methods.	13
21 CFR 211.56(a)	Sanitation—buildings not clean, free of infestation	Buildings used in the manufacture, processing, packing, or holding of drug products are not [maintained in a clean and sanitary condition] [free of infestation by rodents, birds, insects, and other vermin].	13
21 CFR 211.67(b)	Written procedures fail to include	Written procedures for cleaning and maintenance fail to include [assignment of responsibility] [maintenance and cleaning schedules] [description in sufficient detail of methods, equipment, and materials used] [description in sufficient detail of the methods of disassembling and reassembling equipment as necessary to assure proper cleaning and maintenance] [instructions for removal or obliteration of previous batch identification] [instructions for protection of clean equipment from contamination prior to use] [parameters relevant to the operation].	13
21 CFR 211.80(a)	Written procedures not followed	Written procedures are not followed for the [receipt] [identification] [storage] [handling] [sampling] [testing] [approval] [rejection] of [components] [drug product containers] [closures].	13
21 CFR 211.142	Written warehousing procedures established/ followed	Procedures describing the warehousing of drug products are not [established] [followed].	13
21 CFR 314.80(c)(1)(i)	Late submission of 15-day report	Not all adverse drug experiences that are both serious and unexpected have been reported to FDA within 15 calendar days of initial receipt of the information.	13
21 CFR 211.22(a)	Approve or reject components, products	The quality control unit lacks the responsibility and authority to [approve] [reject] all [components] [drug product containers] [closures] [in process materials] [packaging material] [labeling] [drug products].	12

(Continued)

TABLE 18.1 (CONTINUED)
Year 2017 FDA 483 Citations Issued for Inspection of Facilities Manufacturing Drugs

Reference Number	Short Description	Long Description	Frequency
21 CFR 211.80(b)	Handling and storage to prevent contamination	There was a failure to handle and store [components] [drug product containers] [closures] at all times in a manner to prevent contamination.	12
21 CFR 211.42(c)	Defined areas of adequate size for operations	The [separate or defined areas] [control systems] necessary to prevent contamination or mix-ups are deficient.	11
21 CFR 211.84(d)(1)	Identity testing of each component	The identity of each component of a drug product is not verified by conducting at least one test to verify the identity, using specific identity tests if they exist.	11
21 CFR 211.165(f)	Failing drug products not rejected	Drug products failing to meet established [standards] [specifications] [quality control criteria] are not rejected.	11
21 CFR 211.160(b)(4)	Establishment of calibration procedures	Procedures describing the calibration of instruments, apparatus, gauges, and recording devices are [not written or followed] [deficiently written or followed].	11
21 CFR 211.22(c)	Approve or reject procedures or specs	The quality control unit lacks responsibility to [approve] [reject] all procedures or specifications impacting on the [identity] [strength] [quality] [purity] of drug products.	10
21 CFR 211.67(c)	Cleaning/maintenance records not kept	Records are not kept for the [maintenance] [cleaning] [sanitizing] [inspection] of equipment.	10
21 CFR 211.42(c)(10)(iii)	Air supply	Aseptic processing areas are deficient regarding air supply that is filtered through high-efficiency particulate air filters under positive pressure.	10
21 CFR 211.188(a)	Accurate reproduction	The batch production and control records are deficient in that they are not [an accurate reproduction of the appropriate master production or control record] [checked for accuracy, dated, and signed].	10
21 CFR 211.186(b)(9)	Manufacturing instructions and specifications	The master production and control records are deficient in that they do not include complete [manufacturing] [control] [instructions] [sampling] [testing] [procedures] [specifications] [special notations] [precautions].	10
FDCA 503B(a)(10)	Drug product label, outsourcer facility	The labels of your outsourcing facility's drug products are deficient.	10
21 CFR 211.42(a)	Buildings of suitable size, construction, location	Buildings used in the manufacture, processing, packing, or holding of a drug product do not have the suitable [size] [construction] [location] to facilitate cleaning, maintenance, and proper operations.	9
21 CFR 211.42(c)(1)	Incoming material area	Separate or defined areas to prevent contamination or mix-ups are deficient regarding operations related to the receipt, identification, storage, and withholding from use of [components] [drug product containers] [closures] [labeling] pending sampling, testing, or examination by the quality control unit before release for manufacturing or packaging.	9
21 CFR 211.105(b)	Distinctive ID or code not recorded in batch record	The batch records do not record the distinctive [identification number] [code] [name of equipment] to identify major equipment to show the specific equipment used in the manufacture of a batch of a drug product.	9
21 CFR 211.150(b)	Distribution recall system	The distribution system is deficient in that each lot of drug product cannot be readily determined to facilitate its recall if necessary. Specifically, ***	9
21 CFR 211.165(c)	Sampling and testing plans not described	Sampling and testing plans for drug products are not described in written procedures which include the [method of sampling] [number of units per batch to be tested].	9
21 CFR 211.166(b)	Adequate number of batches on stability	An adequate number of batches of each drug product are not tested [nor are records of such data maintained] to determine an appropriate expiration date.	9

(Continued)

TABLE 18.1 (CONTINUED)
Year 2017 FDA 483 Citations Issued for Inspection of Facilities Manufacturing Drugs

Reference Number	Short Description	Long Description	Frequency
21 CFR 211.167(a)	Sterility/pyrogen-free testing	Each batch of drug product purporting to be [sterile] [pyrogen-free] is not laboratory tested to determine conformance to such requirements.	9
21 CFR 211.186(a)	Signature and checking of records—two persons	The master production and control records for each batch size of drug product are not [prepared, dated, and signed by one person with a full handwritten signature] [independently checked, dated, and signed by a second person].	9
21 CFR 211.110(a)	Written in-process control procedures	Written procedures are not [established] [followed] that describe the [in-process controls] [tests] [examinations] to be conducted on appropriate samples of in-process materials of each batch.	9
21 CFR 211.160(b)(3)	Acceptance of drug products	Determinations of conformance to appropriate written specifications for acceptance are [not made] [deficient] for drug products.	9
21 CFR 211.22(a)	Contract drug products—lack of responsibility	The quality control unit lacks responsibility for approving or rejecting drug products [manufactured] [processed] [packed] [held] under contract by another company.	8
21 CFR 211.101(d)	Component addition checked by second person	Each component is not added to a batch by one person and verified by a second person.	8
21 CFR 211.87	Retest of approved components/containers/closures	Approved [components] [drug product containers] [closures] are not retested or reexamined as appropriate for identity, strength, quality, and purity after [storage for long periods] [exposure to conditions that might have an adverse effect] with subsequent approval or rejection by the quality control unit.	8
21 CFR 211.84(a)	Components withheld from use pending release	Each lot of [components] [drug product containers] [closures] is not withheld from use until the lot has been sampled, tested, examined, and released by the quality control unit.	8
21 CFR 211.180(c)	Records not made readily available to FDA	Records associated with drug product [components] [containers] [closures] [labeling] [production] [control] [distribution] and within the retention period for such records were not made readily available for authorized inspection.	8
21 CFR 211.186(a)	Written procedures followed	Procedures for the preparation of master production and control records are not [described in a written procedure] [followed].	8
21 CFR 211.198(b)(2)	Complaint investigation/follow-up findings	Complaint records are deficient in that they do not include the findings of the [investigation] [follow-up].	8
21 CFR 211.84(d)(2)	Component identification test	Specific identification tests are not conducted on components that have been accepted based on the supplier's report of analysis.	8
21 CFR 211.89	Quarantine of rejected components et al.	Rejected [components] [drug product containers] [closures] are not controlled under a quarantine system designed to prevent their use in manufacturing or processing operations for which they are unsuitable.	8
21 CFR 211.68(b)	Backup data not assured as exact and complete	Backup data are not assured as [exact] [complete] [secure from alteration, erasure, or loss] through keeping hard copy or alternate systems.	8
21 CFR 211.166(a)	Results not used for expiration dates, storage cond.	Results of stability testing are not used in determining [appropriate storage conditions] [expiration dates].	8
21 CFR 211.198(b)(2)	Written record of complaint to include findings, follow-up	Written records of investigation of a drug complaint do not include [the findings of the investigation] [the follow-up].	8

(Continued)

TABLE 18.1 (CONTINUED)
Year 2017 FDA 483 Citations Issued for Inspection of Facilities Manufacturing Drugs

Reference Number	Short Description	Long Description	Frequency
21 CFR 211.186(b)(9)	Complete instructions, procedures, specifications et al.	Master production and control records lack [complete manufacturing and control instructions] [sampling and testing procedures] [specifications] [special notations] [precautions to be followed].	8
21 CFR 314.81(b)(1)(ii)	Failure to meet specifications	An NDA-Field Alert Report was not submitted within three working days of receipt of information concerning a failure of one or more distributed batches of a drug to meet the specifications established for it in the application.	8
21 CFR 211.68(b)	Backup file not maintained	Failure to maintain a backup file of data entered into the computer or related system.	7
21 CFR 211.68(a)	Written calibration/inspection records not kept	Records of the [calibration checks] [inspections] of automatic, mechanical, or electronic equipment, including computers or related systems, are not maintained.	7
21 CFR 211.125(f)	Procedures written and followed	Procedures describing in sufficient detail the controls employed for the issuance of labeling are not [written] [followed].	7
21 CFR 211.84(e)	Rejecting when specifications not met	Failure to reject any lot of [components] [drug product containers] [closures] that did not meet the appropriate written specifications for identity, strength, quality, and purity.	7
21 CFR 211.167(a)	Sterility/pyrogens—test methods written, followed	Test procedures relative to appropriate laboratory testing for [sterility] [pyrogens] are not [written] [followed].	7
21 CFR 211.194(d)	Laboratory equipment calibration records	Laboratory records do not include complete records of the periodic calibration of laboratory [instruments] [apparatus] [gauges] [recording devices].	7
21 CFR 211.194(a)(8)	Identification of person performing review of lab records	Laboratory records are deficient in that they do not include the [initials] [signature] of the second person reviewing the record for accuracy.	7
21 CFR 211.198(a)	Reporting of adverse drug experience to FDA	Written procedures describing the handling of all written and oral complaints do not include provisions for review to determine whether the complaint represents a serious and unexpected adverse drug experience which is required to be reported to the Food and Drug Administration.	7
21 CFR 211.194(a)(8)	Second person sign off	Laboratory records do not include the initials or signature of a second person showing that the original records have been reviewed for [accuracy] [completeness] [compliance with established standards].	7
21 CFR 314.81(b)(2)	Timely submission	An annual report was not submitted [each year] [within 60 days of the anniversary date of U.S. approval of the application] to the FDA division responsible for reviewing the application.	7
21 CFR 211.25(b)	Supervisor training/education/experience	Individuals responsible for supervising the [manufacture] [processing] [packing] [holding] of a drug product lack the [education] [training] [experience] to perform their assigned functions in such a manner as to assure the drug product has the safety, identity, strength, quality, and purity that it purports or is represented to possess.	6
21 CFR 211.68(b)	Input/output verification	Input to and output from [the computer] [related systems of formulas] [records or data] are not checked for accuracy.	6
21 CFR 211.42(c)(5)	Mfg/processing operations area	Separate or defined areas to prevent contamination or mix-ups are deficient regarding the manufacturing and processing operations.	6

(Continued)

TABLE 18.1 (CONTINUED)
Year 2017 FDA 483 Citations Issued for Inspection of Facilities Manufacturing Drugs

Reference Number	Short Description	Long Description	Frequency
21 CFR 211.42(c)(10)(vi)	Equipment to control conditions	Aseptic processing areas are deficient regarding systems for maintaining any equipment used to control the aseptic conditions.	6
21 CFR 211.84(d)(2)	Component written specification	Component testing is deficient in that each component is not tested for conformity with all appropriate written specifications for purity, strength, and quality. Specifically, ***	6
21 CFR 211.52	Washing and toilet facilities are deficient	Washing and toilet facilities lack [hot and cold water] [soap or detergent] [air driers or single-service towels] [cleanliness].	6
21 CFR 211.100(a)	Approval and review of procedures	Written procedures are not [drafted, reviewed, and approved by the appropriate organizational units] [reviewed and approved by the quality control unit].	6
21 CFR 211.160(b)(3)	Drug product sample	Drug product samples are not [representative of the entire batch] [properly identified].	6
21 CFR 211.160(b)(4)	Written calibration procedures	Written calibration procedures for instruments, apparatus, gauges, and recording devices are deficient in that they do not include specific [directions] [schedules] [limits for accuracy and precision] [provisions for remedial action if limits are not met].	6
21 CFR 211.165(d)	Acceptance criteria for sampling and testing	Acceptance criteria for the sampling and testing conducted by the quality control unit are not adequate to assure that batches of drug products meet [each appropriate specification] [appropriate statistical quality control criteria] as a condition for their approval and release.	6
21 CFR 211.170(b)	Reserve samples identified, representative, stored	Reserve drug product samples are not [appropriately identified] [representative of each lot or batch of drug product] [retained and stored under conditions consistent with product labeling].	6
21 CFR 211.194(a)(2)	Suitability of testing methods verified	The suitability of all testing methods is not verified under actual conditions of use.	6
21 CFR 211.194(a)(5)	Calculations performed are in the records	Laboratory records do not include a record of all calculations performed in connection with the test.	6
21 CFR 211.42(b)	Adequate space lacking to prevent mix-ups and contamination	The building lacks adequate space for the orderly placement of equipment and materials to prevent mix-ups between [different components] [drug product containers] [closures] [labeling] [in-process materials] [drug products] and to prevent contamination.	6
21 CFR 211.22(b)	Adequate lab facilities not available	Adequate lab facilities for testing and approval or rejection of [components] [drug product containers] [closures] [packaging materials] [in-process materials] [drug products] are not available to the quality control unit.	5
21 CFR 211.42(c)(7)	Quarantined drug products area	Separate or defined areas to prevent contamination or mix-ups are deficient regarding operations related to the quarantine storage of drug products prior to release.	5
21 CFR 211.194(c)	Testing and standardization of standards et al.	Laboratory records do not include complete records of any testing and standardization of laboratory [reference standards] [reagents] [standard solutions].	5
21 CFR 211.110(c)	In-process materials characteristics testing	In-process materials are not tested for [identity] [strength] [quality] [purity] and approved or rejected by the quality control unit [during the production process] [after storage for long periods].	5
21 CFR 211.150(b)	Recall facilitation	A system by which the distribution of each lot of drug product can be readily determined to facilitate its recall if necessary has not been established.	5

(Continued)

TABLE 18.1 (CONTINUED)
Year 2017 FDA 483 Citations Issued for Inspection of Facilities Manufacturing Drugs

Reference Number	Short Description	Long Description	Frequency
21 CFR 211.160(b)(4)	Instruments, apparatus, et al. not meeting specs	The use of [instruments] [apparatus] [gauges] [recording devices] not meeting established specifications was observed.	5
21 CFR 211.188(b)(12)	Investigations made into any unexplained discrepancy	Batch production and control records do not include the results of any investigation made into any unexplained discrepancy, whether or not the batch of drug product had already been distributed.	5
21 CFR 211.198(a)	Complaints reviewed by quality control unit	Written procedures describing the handling of complaints do not include provisions for [review by the quality control unit of any complaint involving the possible failure of a drug product to meet any of its specifications] [a determination as to the need for an investigation of any unexplained discrepancy] [explaining the reasons for the failure of the batch or any of its components to meet specifications].	5
21 CFR 211.194(a)(4)	Data secured in course of each test	Laboratory records do not include a complete record of all data secured in the course of each test, including all [graphs] [charts] [spectra] from laboratory instrumentation, properly identified to show the [specific component] [drug product container] [closure] [in-process material] [lot tested] [drug product tested].	5
21 CFR 314.80(c)(2)	Late submission of annual safety reports	Not all annual periodic adverse drug experience reports have been submitted within 60 days of the anniversary date of the approval of the application.	5
21 CFR 211.25(c)	Inadequate number of personnel	The number of qualified personnel is inadequate to [perform] [supervise] the [manufacture] [processing] [packing] [holding] of each drug product.	4
21 CFR 211.28(a)	Protective apparel not worn	Protective apparel is not worn as necessary to protect drug products from contamination.	4
21 CFR 211.42(c)(10)	Aseptic processing area	Separate or defined areas to prevent contamination or mix-ups are deficient regarding operations related to aseptic processing of drug products.	4
21 CFR 211.42(c)(10)(i)	Floors, walls, ceiling surfaces	Aseptic processing areas are deficient in that [floors] [walls] [ceilings] are not smooth and/or hard surfaces that are easily cleanable.	4
21 CFR 211.134(a)	Correct labels during finishing operations	Packaged and labeled products are not examined during finishing operations to provide assurance that containers and packages in the lot have the correct label.	4
21 CFR 211.134(c)	Examinations documented	The results of the examination of the packaged and labeled products were not documented in the batch production or control records.	4
21 CFR 211.142(a)	Quarantine—actual practice	Drug products are not quarantined before being released by the quality control unit.	4
21 CFR 211.82(b)	Quarantine storage of components	Incoming [components] [drug product containers] [closures] are not stored under quarantine until they have been tested or examined, as appropriate, and released.	4
21 CFR 211.84(b)	Representative samples	Representative samples are not taken of each shipment of each lot of [components] [drug product containers] [closures] for testing or examination.	4
21 CFR 211.84(d)(3)	Establish reliability of supplier's CoFA	Establishment of the reliability of the [container] [closure] supplier's report of analyses is deficient in that the test results are not appropriately validated at appropriate intervals.	4
21 CFR 211.94(b)	Protection from external factors	Container closure systems do not provide adequate protection against foreseeable external factors in storage and use that can cause deterioration or contamination of the drug product.	4

(Continued)

TABLE 18.1 (CONTINUED)
Year 2017 FDA 483 Citations Issued for Inspection of Facilities Manufacturing Drugs

Reference Number	Short Description	Long Description	Frequency
21 CFR 211.94(c)	Containers and closures clean, sterilized, pyrogen-free	Drug product [containers] [closures] were not [clean] [sterilized and processed to remove pyrogenic properties] to assure that they are suitable for their intended use.	4
21 CFR 211.166(a)(4)	Testing in same container—closure system	The written stability program does not assure testing of the drug product in the same container-closure system as that in which the drug product is marketed.	4
21 CFR 211.166(c)(1)	Homeopathic drugs, assessment of stability	There is no written assessment of stability of homeopathic drug products based at least on [testing or examination of the drug product for compatibility of the ingredients] [marketing experience with the drug product to indicate that there is no degradation of the product for the normal or expected period of use].	4
21 CFR 211.182	Specific information required in individual logs	Individual equipment logs do not show [time] [date] [product] [lot number of each batch processed].	4
21 CFR 211.184(c)	Individual inventory record	Records fail to include an individual inventory record of each [component] [reconciliation of the use of each component] [drug product container] [drug product closure] with sufficient information to allow determination of any associated batch or lot of drug product.	4
21 CFR 211.188(b)(8)	Labeling control records and label copies	The batch production and control records are deficient in that they do not include [complete labeling control records] [specimen] [copy] of labeling.	4
21 CFR 211.196	Distribution record requirements	Distribution records do not contain the [name and strength of the drug product] [description of dosage form] [name and address of consignee] [date and quantity shipped] [lot or control number of drug product].	4
21 CFR 211.194(a)(2)	Laboratory test method verification	Verification of the suitability of the testing methods is deficient in that they are not [performed under actual conditions of use] [documented on the laboratory records].	4
21 CFR 211.101(b)	Measured components for manufacturing	Components for drug product manufacturing are not [weighed] [measured] [subdivided as appropriate].	4
21 CFR 211.105(a)	Identification of containers, lines, equipment	All [compounding and storage containers] [processing lines] [major equipment] used during the production of a batch of drug product is not properly identified at all times to indicate [contents] [the phase of processing of the batch].	4
21 CFR 211.160(b)(2)	In-process sample representation/identification	In-process samples are not [representative] [properly identified].	4
21 CFR 211.84(d)(2)	Testing each component for conformity with specs	Each component is not tested for conformity with all appropriate written specifications for purity, strength, and quality.	4
21 CFR 211.150	Written distribution procedure	Written distribution procedures are not [established] [followed].	4
21 CFR 211.170(b)	Reserve drug product sample quantity—all tests	The reserve sample of drug product does not consist of at least twice the quantity necessary to perform all the required tests of drug product.	4
21 CFR 314.80(c)(2)	Interval	Periodic reports of non-alert adverse drug experiences have not been submitted [quarterly for an application which was approved less than three years ago] [yearly for an application which was approved three or more years ago].	4
21 CFR 314.80(c)(2)	Late submission of quarterly safety reports	Not all quarterly periodic adverse drug experience reports have been submitted within 30 days of the close of the quarter.	4
21 CFR 212.30(b)	Equipment not clean	You did not implement procedures to ensure that all your equipment is clean.	4

(Continued)

TABLE 18.1 (CONTINUED)
Year 2017 FDA 483 Citations Issued for Inspection of Facilities Manufacturing Drugs

Reference Number	Short Description	Long Description	Frequency
21 CFR 211.67(b)(2)	Cleaning SOPs/schedules	Procedures for the cleaning and maintenance of equipment are deficient regarding maintenance and cleaning schedules, including, where appropriate, sanitizing schedules.	3
21 CFR 211.67(b)(3)	Cleaning SOPs/instructions	Procedures for the cleaning and maintenance of equipment are deficient regarding sufficient detail of the methods, equipment, and materials used in the cleaning and maintenance operation, and the methods of disassembly and reassembling equipment as necessary to assure proper cleaning and maintenance.	3
21 CFR 211.67(b)(5)	Cleaning SOPs/equipment protection	Procedures for the cleaning and maintenance of equipment are deficient regarding the protection of clean equipment from contamination prior to use.	3
21 CFR 211.42(c)(2)	Rejected material area	Separate or defined areas to prevent contamination or mix-ups are deficient regarding operations related to the holding of rejected [components] [drug product containers] [closures] [labeling] before disposition.	3
21 CFR 211.42(c)(9)	Control/lab operations area	Separate or defined areas to prevent contamination or mix-ups are deficient regarding laboratory controls and operations.	3
21 CFR 211.122(a)	Sampling/testing of labeling/packaging materials	Labeling and packaging materials are not [representatively sampled] [examined] [tested] upon receipt and before use in packaging and labeling of a drug product.	3
21 CFR 211.122(d)	Label storage access limited to authorized personnel	Access to the storage area for labels and labeling materials is not limited to authorized personnel.	3
21 CFR 211.122(e)	Destruction of obsolete labeling	Obsolete or outdated labels, labeling, and packaging materials are not destroyed.	3
21 CFR 211.130(c)	Lot or control number assigned	The drug product is not identified with a lot or control number that permits the determination of the history of the manufacture and control of the batch.	3
21 CFR 211.180(b)	Record maintenance 1 year (except exempt OTC)	All records of [production] [control] [distribution] [components] [drug product containers] [closures] [labeling] associated with a batch of drug product were not maintained at least one (1) year after the expiration date.	3
21 CFR 211.166(a)(1)	Sample size—test intervals	The written stability program for drug products does not include [sample size] [test intervals] based on statistical criteria for each attribute examined to assure valid estimates of stability.	3
21 CFR 211.166(b)	Accelerated stability studies	Accelerated stability studies, combined with basic stability information, used to support tentative expiration dates are not supported with ongoing full shelf-life studies.	3
21 CFR 211.188(b)(3)	Identification of components and in-process materials	The batch production and control records are deficient in that they do not include specific identification of each [batch of component] [in-process material] used.	3
21 CFR 211.198(a)	Adverse drug experience	Complaint procedures are deficient in that they do not include provisions that allow for the review to determine if the complaints represent [serious] [unexpected adverse drug experiences] which are required to be reported to FDA.	3
21 CFR 211.46(c)	Air filtration system lacking in production area	The production area air supply lacks an appropriate air filtration system.	3
21 CFR 211.46(c)	Exhaust systems inadequate to control air contamination	Adequate exhaust systems or other systems to control contaminants are lacking in areas where air contamination occurs during production.	3
21 CFR 211.48(a)	Plumbing system defects	The plumbing system contains defects that could contribute to the contamination of drug products.	3

(Continued)

TABLE 18.1 (CONTINUED)
Year 2017 FDA 483 Citations Issued for Inspection of Facilities Manufacturing Drugs

Reference Number	Short Description	Long Description	Frequency
21 CFR 211.56(c)	Written procedures lacking for use of pesticides, etc.	Written procedures are lacking for the use of [rodenticides] [insecticides] [fungicides] [fumigating agents] [cleaning and sanitizing agents] designed to prevent the contamination of [equipment] [components] [drug product containers] [closures] [packaging, labeling materials] [drug products].	3
21 CFR 211.101(d)	Verification of component addition	Each component is not added to the batch by one person and verified by a second person.	3
21 CFR 211.204	Returned drug procedures in writing and followed	Procedures describing the [holding] [testing] [reprocessing] of returned drug products are not [in writing] [followed].	3
21 CFR 211.80(d)	Status of each lot identified	Each lot of [components] [drug product containers] [closures] was not appropriately identified as to its status in terms of being quarantined, approved, or rejected.	3
21 CFR 211.160(b)(3)	Drug products—samples representative, identified properly	Samples taken of drug products for determination of conformance to written specifications are not [representative] [properly identified].	3
21 CFR 211.188(a)	Accurate reproduction included	Batch production and control records for each batch of drug product produced do not include an accurate reproduction of the appropriate master production or control record which was checked for accuracy, dated, and signed.	3
21 CFR 211.188(b)(7)	Actual yield, % of theoretical yield	The batch production and control records do not include a statement of the [actual yield] [percentage of theoretical yield] at appropriate stages of processing for each batch of drug product produced.	3
21 CFR 211.186(b)(7)	Theoretical yield statement including percentages	Master production and control records lack a statement of theoretical yield [including the maximum and minimum percentages of theoretical yield beyond which investigation is required].	3
21 CFR 211.186(b)(8)	Description of containers, labels, et al.	Master production and control records lack [a description of the drug product containers, closures, and packaging materials] [a specimen or copy of each label and all other labeling] [the signatures and dates entered by the person or persons responsible for the approval of labeling].	3
21 CFR 211.194(a)(2)	Reference and method not stated	Laboratory records of methods of testing used do not [indicate the method] [provide the reference] when employing methods in [recognized standard references] [an approved new drug application and the referenced method is not modified].	3
21 CFR 212.30(a)	Prevention of contamination	Your facilities are not adequate to ensure the prevention of contamination of [equipment] [product] by [substances] [personnel] [environmental conditions] that could reasonably be expected to have an adverse effect on product quality.	3
21 CFR 212.20(d)	Determination need for investigation	When errors occurred or a production batch failed to meet specifications, you did not [determine the need for an investigation] [conduct an investigation] [take appropriate corrective actions] when necessary.	3
21 CFR 212.60(b)	Testing procedures—conformance to standards	Each laboratory did not have testing procedures which are designed to ensure that [components] [in-process materials] [PET drug products] conform to appropriate standards including established standards of identity, strength, quality, and purity.	3
FDCA 503B(a)(10)	Container label, outsourcer facility	The container labels of your outsourcing facility's drug products are deficient.	3

(Continued)

TABLE 18.1 (CONTINUED)
Year 2017 FDA 483 Citations Issued for Inspection of Facilities Manufacturing Drugs

Reference Number	Short Description	Long Description	Frequency
21 CFR 211.42(b)	Product flow through building is inadequate	The flow of [components] [drug product containers] [closures] [labeling] [in-process materials] [drug products] though the building is not designed to prevent contamination.	2
21 CFR 211.101(c)	Weighing/measuring/subdividing supervision	Component [weighing] [measuring] [subdividing] operations are not adequately supervised.	2
21 CFR 211.115(a)	Reprocessing procedures not written or followed	Procedures prescribing a system for reprocessing batches to ensure that the reprocessed batches will conform with all established standards, specifications, and characteristics are not [written] [followed].	2
21 CFR 211.125(d)	Destruction of excess labels with lot numbers	Excess labeling bearing lot or control numbers is not destroyed.	2
21 CFR 211.137(d)	Expiration date location on labeling	Drug product expiration dates do not appear on the labeling in the manner prescribed by regulations.	2
21 CFR 211.80(d)	Disposition recorded by lot identification	The distinctive code for each lot of [components] [drug product containers] [closures] is not used in recording the disposition of each lot.	2
21 CFR 211.80(d)	Identification of each lot in each shipment	Each lot in each shipment received was not identified with a distinctive code for each container or grouping of containers for [components] [drug product containers] [closures].	2
21 CFR 211.82(a)	Examination on receipt, before acceptance	Each container or grouping of containers of [components] [drug product containers] [closures] is not examined visually upon receipt and before acceptance for [appropriate labeling as to contents] [container damage] [broken seals] [contamination].	2
21 CFR 211.84(b)	Representative samples criteria	The [number of containers to be sampled] [amount of material taken from each container] is not based upon appropriate criteria.	2
21 CFR 211.84(c)(2)	Appropriate opening of component containers	The containers of components or drug product containers or closures which are sampled are not opened in a manner to prevent [contamination of their contents] [contamination of other components] [contamination of other drug product containers] [contamination of other closures].	2
21 CFR 211.94(a)	Reactive/additive/absorptive containers/closures	Drug product containers or closures are [reactive] [additive] [absorptive] so as to alter the safety, identity, strength, quality, and purity of the drug beyond the official or established requirements.	2
21 CFR 211.166(a)(2)	Stability sample storage conditions described	The written stability program for drug products does not describe the storage conditions for samples retained for testing.	2
21 CFR 211.180(e)(2)	Review of problem drugs	The procedures for the annual quality standards record evaluation are deficient in that they do not address a review of [complaint] [recall] [returned drug product] [salvaged drug product] [investigation] records for each drug product.	2
21 CFR 211.182	Personnel dating/signing equipment log	The persons [performing] [double-checking] the cleaning and maintenance are not [dating] [signing or initialing] the equipment cleaning and use log.	2
21 CFR 211.194(e)	Stability testing records not included	Laboratory records do not include complete records of all stability testing performed.	2
21 CFR 211.44	Adequate lighting not provided	Adequate lighting is not provided in all areas.	2
21 CFR 211.56(a)	Trash and organic waste timely disposal	There is no provision to hold and dispose of [trash] [organic waste matter] in a timely and sanitary manner.	2

(Continued)

TABLE 18.1 (CONTINUED)
Year 2017 FDA 483 Citations Issued for Inspection of Facilities Manufacturing Drugs

Reference Number	Short Description	Long Description	Frequency
21 CFR 211.84(c)(4)	Composite sample top/middle/bottom	Sampling procedures are deficient regarding compositing for testing of samples collected from the top, middle, and bottom of the component container.	2
21 CFR 211.110(a)(3)	Mixing adequacy	The in-process control procedures were deficient in that they did not include an examination of the adequacy of mixing to assure uniformity and homogeneity.	2
21 CFR 211.110(b)	In-process materials specifications	In-process specifications are not [consistent with drug product final specifications] [derived from previous acceptable process average and process variability estimates where possible] [determined by the application of suitable statistical procedures where appropriate].	2
21 CFR 211.160(b)(1)	Specification description of sample/testing	The specifications for components, drug product containers or closures, and labeling are deficient in that they do not include a description of the [sampling plan] [testing procedures].	2
21 CFR 211.160(b)(4)	Test devices not meeting specifications	Test devices are deficient in that [instruments] [apparatus] [gauges] [recording devices] not meeting established specifications are used.	2
21 CFR 211.170(a)	Active ingredient retained sample kept	A sample which is representative of each lot in each shipment of each active ingredient is not [appropriately identified] [retained].	2
21 CFR 211.204	Record information inclusions	Records of returned drug products do not include the [name] [labeled potency] [lot, control, or batch number] [reason for return] [quantity] [date of disposition] [ultimate disposition].	2
21 CFR 211.84(d)(3)	Certificates of testing (containers, closures)	Certificates of testing of [containers] [closures] are accepted in lieu of testing without [a visual identification] [establishing the reliability of the supplier's test results through appropriate validation of the test results at appropriate intervals].	2
21 CFR 211.101(b)	Identification of new containers	For components removed from the original containers, the new container fails to be identified with [component name or item code] [receiving or control number] [weight or measure] [batch for which component was dispensed including product name, strength, and lot number].	2
21 CFR 211.160(b)(1)	Sampling and testing procedures described	Written specifications for laboratory controls do not include a description of the [sampling] [testing] procedures used.	2
21 CFR 211.160(b)(1)	Samples (various types) representative, identified properly	Samples taken to determine conformance to appropriate written specifications for the acceptance of each lot within each shipment of [components] [drug product containers] [closures] [labeling] are not [representative] [adequately identified].	2
21 CFR 211.160(b)(2)	In-process samples representative, identified properly	Samples taken of in-process materials for determination of conformance to specifications are not [representative] [properly identified].	2
21 CFR 211.165(d)	Acceptance/rejection levels	The statistical quality control criteria fail to include appropriate [acceptance levels] [rejection levels].	2
21 CFR 211.170(b)(1)	Retention time of reserve samples, in general	You did not retain reserve samples for drug products for one year after the expiration dates of the drug products.	2
21 CFR 211.188(b)(8)	Labeling control records including specimens or copies	Batch production and control records do not include complete labeling control records, including specimens or copies of all labeling used for each batch of drug product produced.	2
21 CFR 211.188(b)(5)	In-process and laboratory control results	Batch production and control records do not include [in-process] [laboratory control] results for each batch of drug product produced.	2

(Continued)

TABLE 18.1 (CONTINUED)
Year 2017 FDA 483 Citations Issued for Inspection of Facilities Manufacturing Drugs

Reference Number	Short Description	Long Description	Frequency
21 CFR 211.188(b)(3)	Identification of each component or in-process material	Batch production and control records do not include the specific identification of each batch of [component] [in-process material] used for each batch of drug product produced.	2
21 CFR 211.194(b)	Test method modification records do not include	Records maintained of any modification of an established method employed in testing do not include [the reason for the modification] [the data to verify that the modification produced results that are at least as accurate and reliable for the material being tested as the established method].	2
21 CFR 314.80(b)	Failure to review ADE information	Adverse drug experience information obtained or otherwise received from any source was not [promptly] reviewed, including information from [commercial marketing experience] [post-marketing clinical investigations] [post-marketing epidemiological/surveillance activities] [reports in the scientific literature] [unpublished scientific papers].	2
21 CFR 314.80(c)(2)	Failure to report non-alert ADEs	Individual ADEs which were not reported to FDA in a post-marketing 15-day alert have not been included in a periodic safety report.	2
21 CFR 314.80(c)(2)(ii)(A)	Incomplete periodic safety report	You failed to submit a periodic report containing [a narrative summary and analysis of the ADE information for the reporting interval in the report] [an analysis of the post marketing 15-day Alert Reports submitted during the reporting interval] [a history of actions taken since the last report because of adverse drug experiences] [an index with a line listing of your patient identification code and adverse reaction term(s) for all ICSRs you submitted for the reporting interval].	2
21 CFR 314.80(j)	Failure to maintain records	You failed to maintain for a period of 10 years records of all adverse drug experiences known to you, including raw data and any correspondence.	2
21 CFR 212.50	Adequate controls (general)	Your firm lacks adequate production and process controls to ensure the consistent production of a PET drug that meets the applicable standards of identity, strength, quality, and purity.	2
21 CFR 212.50(b)	Records to document all steps	You did not have master production and control records that document all steps in the PET drug production process.	2
21 CFR 212.60(f)	Lab written procedures	Laboratory written procedures are not [established] [followed] to ensure that the lab equipment is routinely [calibrated] [inspected] [checked] [maintained].	2
21 CFR 212.60(g)(3)	Record of all test data	Laboratory records did not contain a complete record of all data obtained in the course of each test.	2
21 CFR 361.1(c)(2)	Signatures of RDRC Chairman	The RDRC Chairman did not sign all [applications] [minutes] [reports] of the committee.	2
21 CFR 361.1(c)(2)	Numerical votes not in the minutes of any RDRC meetings	The minutes of an RDRC meeting did not include the numerical results of votes on protocols involving use in human subjects.	2
21 CFR 361.1(f)(1)	Packaging, labeling—Rx only	The label of a radioactive drug prepared, packaged, distributed, and primarily intended for use in the RDRC research project did not bear the statement “Rx only.”	2
21 CFR 211.28(b)	Habits of good sanitation and health	Production personnel were not practicing good sanitation and health habits.	1
21 CFR 211.67(b)(6)	Cleaning SOP/inspection	Procedures for the cleaning and maintenance of equipment are deficient regarding inspection of the equipment for cleanliness immediately before use.	1

(Continued)

TABLE 18.1 (CONTINUED)

Year 2017 FDA 483 Citations Issued for Inspection of Facilities Manufacturing Drugs

Reference Number	Short Description	Long Description	Frequency
21 CFR 211.42(d)	Penicillin processing area not kept separate	The operations relating to the [manufacture] [processing] [packing] of penicillin are not performed in facilities separate from those used for other drug products for human use. Specifically, ***	1
21 CFR 211.101(a)	Batches formulated to less than 100%	Written production and control procedures include batches formulated with the intent to provide less than 100% of the labeled or established amount of active ingredient.	1
21 CFR 211.42(c)(3)	Released material area	Separate or defined areas to prevent contamination or mix-ups are deficient regarding operations related to the storage of released [components] [drug product containers] [closures] [labeling].	1
21 CFR 211.42(c)(8)	Released drug products area	Separate or defined areas to prevent contamination or mix-ups are deficient regarding operations related to the storage of drug products after release.	1
21 CFR 211.115(b)	Reprocessing/quality control unit	Reprocessing was performed without the [review] [approval] of the quality control unit.	1
21 CFR 211.122(d)	Labels and labeling stored separately	Labels and other labeling materials are not stored separately with suitable identification for each different drug product, strength, dosage form, or quantity of contents.	1
21 CFR 211.125(b)	Examination of issued labels	Labeling materials issued for a batch were not carefully examined for identity and conformity to the labeling specified in the master or batch production records.	1
21 CFR 211.125(c)	Label reconciliation discrepancies evaluation/investigation	Discrepancies found outside preset limits when reconciling the quantities of labeling issued, used, and returned were not [evaluated] [investigated].	1
21 CFR 211.130(a)	Prevention of cross-contamination, mix-ups	There is insufficient physical or spatial separation from operations and other drug products to prevent mix-ups and cross-contamination.	1
21 CFR 211.130(b)	Unlabeled filled containers controls	Filled drug product containers which are set aside and held in an unlabeled condition are not [identified] [handled] to preclude mislabeling of individual containers, lots, or portions of lots.	1
21 CFR 211.130(e)	Packaging line inspection before use	Inspection of the [packaging] [labeling] facilities immediately before use is not done to assure that all drug products have been removed from previous operations.	1
21 CFR 211.130(e)	Packaging line inspection after use	Inspection of the [packaging] [labeling] facilities is not done after use to assure that materials not suitable for subsequent operations have been removed.	1
21 CFR 211.86	Rotation of components/containers/closures	There is a lack of rotation so that the oldest approved stock of [components] [drug product containers] [closures] is used first.	1
21 CFR 211.150(a)	Distribution of oldest approved drugs	The oldest approved stock of drug products is not distributed first and there is no justification for this practice. Specifically, ***	1
21 CFR 211.80(c)	Storage off floor, spaced suitably	Bagged or boxed components of drug product [containers] [closures] are not [stored off the floor] [suitably spaced to allow cleaning and inspection].	1
21 CFR 211.84(c)(4)	Top/middle/bottom container sampling	Sampling procedures are deficient regarding sampling components from the top, middle, and bottom of container.	1
21 CFR 211.84(c)(6)	Identifying containers sampled	Markings of containers from which samples have been taken are deficient in that they do not show that samples have been removed from them. Specifically, ***	1

(Continued)

TABLE 18.1 (CONTINUED)
Year 2017 FDA 483 Citations Issued for Inspection of Facilities Manufacturing Drugs

Reference Number	Short Description	Long Description	Frequency
21 CFR 211.84(d)(3)	Container/closure written test procedure	Drug product container and closure test procedures are deficient in that [containers] [closures] are not tested for conformance in accordance with appropriate written procedures. Specifically, ***	1
21 CFR 211.84(d)(6)	Objectionable microbiological contamination	Each lot of a [component] [drug product containers] [closures] liable to objectionable microbiological contamination is deficiently subjected to microbiological tests before use. Specifically, ***	1
21 CFR 211.165(f)	Reprocessed drug products not meeting acceptance criteria	Reprocessed drug material or product has not met appropriate [standards] [specifications] [relevant criteria] prior to acceptance and use.	1
21 CFR 211.167(c)	Controlled release test methods written, followed	Test procedures describing the testing of controlled release dosage form drug product are not [written] [followed].	1
21 CFR 211.180(e)(1)	Representative number of batches for annual review	The procedures for the annual quality standards record evaluation are deficient in that they do not address a review of a representative number of [approved] [rejected] batches.	1
21 CFR 211.180(f)	Responsible firm officials notified in writing	Procedures are not established which are designed to assure that the responsible officials of the firm, if they are not personally involved in or immediately aware of such actions, are notified in writing of [investigations conducted] [recalls] [reports of inspectional observations issued by FDA] [any regulatory actions brought by FDA relating to good manufacturing practices].	1
21 CFR 211.182	Chronological order of equipment log entries	The entries in the equipment cleaning and use logs are not in chronological order.	1
21 CFR 211.184(b)	Component test records	The [component] [drug product container] [closure] [labeling] records do not include the [results of tests or examinations performed] [the conclusions derived from tests or examinations performed].	1
21 CFR 211.184(d)	Labeling: Documentation of exam and review	There is no documentation of the examination and review of labels and labeling for conformity with [established specifications] [the assigning of a lot or control number].	1
21 CFR 211.184(e)	Records of disposition of rejected material	Records do not include the disposition of rejected [components] [drug product containers] [closures] [labeling].	1
21 CFR 211.188(b)(5)	In-process and laboratory control results	The batch production and control records are deficient in that they do not include [in-process] [laboratory] control results.	1
21 CFR 211.188(b)(6)	Documentation of packaging and labeling area inspections	The batch production and control records are deficient in that they do not include documentation of the inspection of the [packaging] [labeling] area before and after use.	1
21 CFR 211.188(b)(11)	Identification of persons performing significant steps	The batch production and control records are deficient in that they do not include identification of persons [performing] [supervising] [checking] each significant step in the operation.	1
21 CFR 211.188(b)(12)	Documentation of batch investigations	The batch production and control records are deficient in that they do not include documentation of batch investigations performed.	1
21 CFR 211.208	No records maintained	No records are maintained for salvaged drug products.	1
21 CFR 211.194(a)(1)	Description and identification of samples	Laboratory records are deficient in that they do not include a [description and identification of the sample received] [quantity] [lot number] [date sample taken] [date sample received for testing].	1
21 CFR 211.194(a)(5)	Testing calculations	Laboratory records are deficient in that they do not include all calculations performed during testing.	1

(Continued)

TABLE 18.1 (CONTINUED)
Year 2017 FDA 483 Citations Issued for Inspection of Facilities Manufacturing Drugs

Reference Number	Short Description	Long Description	Frequency
21 CFR 211.194(a)(7)	Identification of person performing the testing	Laboratory records are deficient in that they do not include the [initials] [signature] of the person performing the tests and the dates the tests were performed.	1
21 CFR 211.198(a)	Quality control review	Complaint procedures are deficient in that they do not include provisions that allow for the review and determination of an investigation by the quality control unit.	1
21 CFR 211.198(b)(1)	Complaint record required information	Complaint records are deficient in that they do not include the known [name and strength of the drug product] [lot number] [name of complainant] [nature of complaint] [reply to complainant].	1
21 CFR 211.198(b)(3)	Reason for not conducting complaint investigation	Complaint records are deficient in that they do not document the reason and the individual making the decision not to conduct a complaint investigation.	1
21 CFR 211.65(a)	Equipment construction—reactive surfaces	Equipment surfaces that contact [components] [in-process materials] [drug products] are reactive, additive, or absorptive so as to alter the safety, identity, strength, quality, or purity of the drug product beyond the official or other established requirements.	1
21 CFR 211.46(a)	Adequate ventilation not provided	Adequate ventilation is not provided.	1
21 CFR 211.50	Sewage and refuse disposal in safe manner	Disposal of [sewage] [trash] [refuse] from the [building] [immediate premises] is not done in a safe and sanitary manner.	1
21 CFR 211.101(b)(4)	Subdivided component/container/finished drug	Containers holding subdivided components for drug product manufacturing are deficiently identified in that they lack the batch for which component was dispensed, including its name, strength, and lot number.	1
21 CFR 211.132(b)(1)	OTC products requiring tamper-evident packaging	OTC products packaged for retail sale which are not specifically excluded from the requirement for tamper-evident packaging are not sold in tamper-evident packages.	1
21 CFR 211.160(b)(1)	Determination of conformance	Determinations of conformance to appropriate written specifications for acceptance are deficient in that they are not made for each lot within each shipment of [components] [drug product containers] [closures] [labeling] used in the manufacture, processing, packing, or holding of drug products.	1
21 CFR 211.160(b)(2)	Acceptance of in-process materials	Determinations of conformance to appropriate written specifications for acceptance are [not made] [deficient] for in-process materials.	1
21 CFR 211.170(a)	Reserve sample quantity—active ingredients only	The reserve sample of active ingredient does not consist of at least twice the quantity necessary for all tests required to determine whether the active ingredient meets its established specifications.	1
21 CFR 211.56(b)	Written sanitation procedures not followed	Written procedures for sanitation are not followed.	1
21 CFR 211.68(b)	Written record not kept of program and validation data	A written record of the program along with appropriate validation data has not been maintained in situations where backup data is eliminated by computerization or other automated processes.	1
21 CFR 211.84(c)(4)	Compositing of sub-samples	Components which must be sampled from top, middle, and bottom of the container are not kept separate but instead are composited for testing.	1
21 CFR 211.84(d)(3)	Testing containers and closures conformity with specs	Containers and closures are not tested for conformance with all appropriate written procedures.	1

(Continued)

TABLE 18.1 (CONTINUED)
Year 2017 FDA 483 Citations Issued for Inspection of Facilities Manufacturing Drugs

Reference Number	Short Description	Long Description	Frequency
21 CFR 211.101(d)	Component release checked by second person	Each container of component dispensed to manufacturing is not examined by a second person to assure that [the component was released by the quality control unit] [the weight or measure is correct as stated in the batch records] [the containers are properly identified].	1
21 CFR 211.115(a)	Reprocessing procedures lack steps to be taken	Reprocessing procedures lack the steps to be taken to ensure that reprocessed batches will conform with all established standards, specifications, and characteristics.	1
21 CFR 211.110(b)	In-process materials specifications testing	Examination and testing of samples are not done to assure that in-process materials conform to specifications.	1
21 CFR 211.110(a)	Control procedures fail to include the following	Control procedures fail to include [tablet or capsule weight variation] [disintegration time] [adequacy of mixing to assure uniformity and homogeneity] [dissolution time and rate] [clarity, completeness, or pH of solutions].	1
21 CFR 211.122(c)	Records fail to include	Records kept for each different labeling and packaging material shipment fail to include [the receipt] [results of examination or testing] [a statement of whether the shipment was accepted or rejected].	1
21 CFR 211.122(a)	Written procedures not followed	Written procedures for the [receipt] [identification] [storage] [handling] [sampling] [examination] [testing] of packaging and labeling materials are not followed.	1
21 CFR 211.130(e)	Packaging line inspection documentation	Results of inspection of packaging and labeling facilities are not documented in the batch production records.	1
21 CFR 211.142(a)	Quarantine—written procedures	Written procedures for the warehousing of drug products do not include quarantine of drug products before release by the quality control unit.	1
21 CFR 211.160(b)(3)	Drug products—sampling procedures/specifications	Laboratory controls do not include a determination of conformance to [written descriptions of sampling procedures] [appropriate specifications] for drug products.	1
21 CFR 211.170(b)(3)	Retention time for exempt OTC drug products	You did not retain reserve samples for OTC drug products which were exempt from bearing an expiration date for 3 years after the lots or batches of drug products were distributed.	1
21 CFR 211.176	Failing to test for penicillin cross-contamination	Non-penicillin drug products were not tested for the presence of penicillin, when a reasonable possibility existed that a non-penicillin drug product has been exposed to a cross-contamination with penicillin.	1
21 CFR 211.188(b)(11)	Identification of persons involved, each significant step	Batch production and control records do not include the identification of the persons [performing] [directly supervising] [checking] each significant step in the operation, for each batch of drug product produced.	1
21 CFR 211.188(b)(6)	Inspection of packaging and labeling area	Batch production and control records do not include results of the inspection of the packaging and labeling area [before] [after] use for each batch of drug product produced.	1
21 CFR 211.198(b)(3)	Determination not to conduct investigation of complaint	The written record did not include the [reason an investigation was found not to be necessary] [name of the responsible person making the determination not to conduct an investigation] when an investigation into [unexplained discrepancies] [the failure of a batch or any of its components to meet specifications] was not conducted.	1
21 CFR 211.198(b)(1)	Written complaint record must include	Written complaint records do not include, where known, [the name and strength of the drug product] [lot number] [name of complainant] [nature of complaint] [reply to complainant].	1

(Continued)

TABLE 18.1 (CONTINUED)
Year 2017 FDA 483 Citations Issued for Inspection of Facilities Manufacturing Drugs

Reference Number	Short Description	Long Description	Frequency
21 CFR 211.194(a)(1)	Sample identification and other information	Laboratory records do not include [a description of the sample received for testing] [the source or location from where the sample was obtained] [the quantity of the sample] [the lot number or other distinctive code of the sample] [the date the sample was taken] [the date the sample was received for testing].	1
21 CFR 211.204	Returned drug products with doubt cast as to safety et al.	Returned drug products held, stored, or shipped before or during their return under conditions which cast doubt on their safety, identity, strength, quality, or purity are not [destroyed] [subjected to examination, testing, or other investigation to prove the drug products do meet all the necessary parameters].	1
21 CFR 314.80(c)	[NDA prod] Fail to submit report in approved electronic format	You did not submit adverse drug experience information in electronic format.	1
21 CFR 314.80(c)(1)(iii)	Non-applicant reports to applicant	You, as a non-applicant, elected to submit to the applicant (rather than to FDA) all reports of adverse drug experiences that were both serious and unexpected. However, you did not submit each report to the applicant [within 5 calendar days of your receipt of the information].	1
21 CFR 314.81(b)(2)(iv)(b)	Mfg and control changes not requiring a supplemental app.	An annual report did not include a full description of the manufacturing and control changes not requiring a supplemental application, listed by date in the order in which they were implemented.	1
21 CFR 314.81(b)(2)	Form FDA 2252	A [completed] Form FDA 2252 (Transmittal of Periodic Reports for Drugs for Human Use) was not submitted with an annual report.	1
FDCA 760(b)(1)	No label copy submitted with AE report (non-Rx drug)	Copies of labels from on or within the retail package of a non-prescription drug did not accompany serious drug event report.	1
FDCA 760(c)(1)	Timing of AE report submission (non-RX drugs)	An adverse event report for a nonprescription drug was not submitted to the Secretary of HHS within 15 business days of receipt of the report.	1
FDCA 760(c)(2)	New medical information, timing of submission (non-Rx drugs)	An adverse event report for a nonprescription drug was not submitted to the Secretary of HHS within 15 business days of receipt of the report.	1
21 CFR 314.80(c)(1)(iii)	Non-applicant reports directly to FDA	You, as a non-applicant electing not to submit to the applicant all reports of serious and unexpected adverse drug experiences, failed to submit all reports directly to FDA within 15 calendar days of your receipt of the adverse drug experience information.	1
21 CFR 310.305(a)	Failure to develop written procedures	Written procedures have not been developed for the [surveillance] [receipt] [evaluation] [reporting to FDA] of post-marketing adverse drug experiences.	1
21 CFR 212.20(b)	Examine, approve, or reject	You did not approve or reject [components] [containers] [closures] [in-process materials] [packaging materials] [labeling] [finished dosage forms] in a manner that ensures compliance with procedures and specifications affecting the identity, strength, quality, or purity of a PET drug.	1
21 CFR 212.20(e)	Written QA procedures established, followed	You did not [establish] [follow] written quality assurance procedures.	1
21 CFR 212.40(c)	Designation of incoming lots	You did not designate each incoming lot of [components] [containers] [closures] as quarantined, accepted, or rejected.	1
21 CFR 212.40(c)	Use of reliable suppliers	You did not use a reliable supplier as a source of each lot of [component] [container] [closure].	1

(Continued)

TABLE 18.1 (CONTINUED)
Year 2017 FDA 483 Citations Issued for Inspection of Facilities Manufacturing Drugs

Reference Number	Short Description	Long Description	Frequency
21 CFR 212.60(c)	Analytical methods	Your laboratory analytical methods [are not suitable for their intended use] [are not sufficiently sensitive] [are not sufficiently specific] [are not accurate] [are not reproducible].	1
21 CFR 212.60(e)	Equipment	All equipment used to perform the testing is not [suitable for its intended purposes] [capable of producing valid results].	1
21 CFR 212.70(b)	Compendial test procedure	You did not first [verify] [document] that an established compendial test procedure works under the conditions of actual use.	1
21 CFR 212.71(b)	Documentation of non-conforming product investigation	You did not document [the results of the investigation] [what happened to the rejected PET drug product] for a PET drug product that did not meet specifications.	1
21 CFR 361.1(c)(2)	Representation of the required fields of expertise	The RDRC met without having the appropriate representation of the required fields of expertise.	1
21 CFR 361.1(c)(2)	RDRC has not kept minutes of its meetings	The RDRC did not keep minutes for each of its meetings.	1
21 CFR 361.1(c)(2)	RDRC did not meet at least once each quarter	The RDRC did not meet at least once each quarter in which research activity was authorized or conducted.	1
21 CFR 361.1(c)(3)	FDA research proposals not reported +30 subjects	The RDRC did not [immediately] report to FDA a research proposal that involves exposure of more than thirty (30) subjects.	1
21 CFR 361.1(f)(2)	Label—for research use	The label of a radioactive drug prepared, packaged, distributed, and primarily intended for use in the RDRC research project did not bear a statement that the drug is to be administered in compliance with radioactive drug research use.	1
21 CFR 361.1(f)(4)	Label—established name, quantity active ingredient	The label of a radioactive drug prepared, packaged, distributed, and primarily intended for use in the RDRC research project did not bear the established name and quantity of each active ingredient.	1
21 CFR 361.1(f)(5)	Label—radioactivity, amount	The label of a radioactive drug prepared, packaged, distributed, and primarily intended for use in the RDRC research project did not bear the [name and half-life of the radionuclide] [total quantity of radioactivity in the drug product's immediate container] [amount of radioactivity per unit volume or unit mass at a designated referenced time].	1
21 CFR 361.1(f)(9)	Label—name, address manufacturer	The label of a radioactive drug prepared, packaged, distributed, and primarily intended for use in the RDRC research project did not bear the [name] [address] of the manufacturer, packer, or distributor.	1
21 CFR 361.1(f)(11)	Label—parenteral drug, sterile	The label of a radioactive parenteral drug prepared, packaged, distributed, and primarily intended for use in the RDRC research project did not bear a statement as to whether the contents are sterile.	1
21 CFR 314.80(c)(2)(ii)(B)	Late submission of an ICSR	You failed to submit an ICSR for the reporting period [within 30 days of the close of the quarter] [within 60 days of the anniversary date of the approval of the application].	1
21 CFR 314.80(g)(1)	Failure to submit electronic format safety report	Not all safety report submissions were made in an electronic format.	1



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19 WHO Good Manufacturing Guidelines

QUALITY MANAGEMENT IN THE DRUG INDUSTRY: PHILOSOPHY AND ESSENTIAL ELEMENTS

The WHO provides GMP guidelines and also offers a program of GMP compliance certification. One of the most valuable documents is the WHO Technical Report 908, which is available at http://whqlibdoc.who.int/trs/WHO_TRS_908.pdf#page=46. In addition, the WHO offers many very useful GMP training programs (http://healthtech.who.int/pq/trainingresources/pq_pres/gmptraining/GMPBasicTraining.htm) that can be of great benefit to companies who may not have access to the inspections by the U.S. FDA or EMEA. It is important to know that the U.S. FDA inspection triggers only when there is an application pending for marketing authorization in the United States, whereas the European as well as the WHO GMP audits can be invited otherwise.

To assure that the interpretation of the WHO guidelines is properly understood, an appendix to this guideline includes the glossary of terms used.

Also included at the end of the chapter is a description of the various types of inspections that the WHO offers. It is important to know that WHO will offer inspections regardless of the status of marketing authorization applications; most manufacturers will request these inspections in anticipation of participation in the WHO Essential Drugs Program and register as certified suppliers, which will qualify the manufacturer to bid on various WHO-sponsored drug purchase programs.

In the drug industry at large, quality management is usually defined as the aspect of management function that determines and implements the “quality policy,” that is, the overall intention and direction of an organization regarding quality, as formally expressed and authorized by top management. The basic elements of quality management are as follows:

- An appropriate infrastructure or “quality system,” encompassing the organizational structure, procedures, processes, and resources.
- Systematic actions necessary to ensure adequate confidence that a product (or service) will satisfy given requirements for quality. The totality of these actions is termed “quality assurance.”

Within an organization, quality assurance serves as a management tool. In contractual situations, quality assurance also serves to generate confidence in the supplier.

The concepts of quality assurance, GMP, and quality control are interrelated aspects of quality management. They are described here in order to emphasize their relationship and their fundamental importance to the production and control of pharmaceutical products.

1. QUALITY ASSURANCE

- 1.1 Principle. “Quality assurance” is a wide-ranging concept covering all matters that individually or collectively influence the quality of a product. It is the totality of the arrangements made with the object of ensuring that pharmaceutical products are of the quality required for their intended use. Quality assurance therefore incorporates GMP and other factors, including those outside the scope of this guide such as product design and development.
- 1.2 The system of quality assurance appropriate to the manufacture of pharmaceutical products should ensure that
 - (a) Pharmaceutical products are designed and developed in a way that takes account of the requirements of GMP and other associated codes such as those of good laboratory practice (GLP) and good clinical practice (GCP).
 - (b) Production and control operations are clearly specified in a written form and GMP requirements are adopted.
 - (c) Managerial responsibilities are clearly specified in job descriptions.
 - (d) Arrangements are made for the manufacture, supply, and use of the correct starting and packaging materials.
 - (e) All necessary controls on starting materials, intermediate products, and bulk products and other in-process controls, calibrations, and validations are carried out.
 - (f) The finished product is correctly processed and checked, according to the defined procedures.
 - (g) Pharmaceutical products are not sold or supplied before the authorized persons (see also Sections 9.11 and 9.12) have certified that each production batch has been produced and controlled in accordance with the requirements of the marketing authorization and any other regulations relevant to the production, control, and release of pharmaceutical products.
 - (h) Satisfactory arrangements exist to ensure, as far as possible, that the pharmaceutical products are stored by the manufacturer, distributed, and subsequently handled so that quality is maintained throughout their shelf life.
 - (i) There is a procedure for self-inspection and/or quality audit that regularly appraises the effectiveness and applicability of the quality assurance system

- (j) Deviations are reported, investigated, and recorded
 - (k) There is a system for approving changes that may have an impact on product quality.
 - (l) Regular evaluations of the quality of pharmaceutical products should be conducted with the objective of verifying the consistency of the process and ensuring its continuous improvement.
- 1.3 The manufacturer must assume responsibility for the quality of the pharmaceutical products to ensure that they are fit for their intended use, comply with the requirements of the marketing authorization, and do not place patients at risk due to inadequate safety, quality, or efficacy. The attainment of this quality objective is the responsibility of senior management and requires the participation and commitment of staff in many different departments and at all levels within the company, the company's suppliers, and the distributors. To achieve the quality objective reliably, there must be a comprehensively designed and correctly implemented system of quality assurance incorporating GMP and quality control. It should be fully documented and its effectiveness monitored. All parts of the quality assurance system should be adequately staffed with competent personnel and should have suitable and sufficient premises, equipment, and facilities.

2. GMPs FOR PHARMACEUTICAL PRODUCTS

- 2.1 Good manufacturing practice is that part of quality assurance which ensures that products are consistently produced and controlled to the quality standards appropriate to their intended use and as required by the marketing authorization. GMPs are aimed primarily at diminishing the risks inherent in any pharmaceutical production. Such risks are essentially of two types: Cross-contamination (in particular of unexpected contaminants) and mix-ups (confusion) caused by, for example, false labels being put on containers. Under GMP
- (a) All manufacturing processes are clearly defined, systematically reviewed in the light of experience, and shown to be capable of consistently manufacturing pharmaceutical products of the required quality that comply with their specifications.
 - (b) Qualification and validation are performed.
 - (c) All necessary resources are provided, including
 - (i) Appropriately qualified and trained personnel
 - (ii) Adequate premises and space
 - (iii) Suitable equipment and services
 - (iv) Appropriate materials, containers, and labels
 - (v) Approved procedures and instructions
 - (vi) Suitable storage and transport and
 - (vii) Adequate personnel, laboratories, and equipment for in-process controls
- (d) Instructions and procedures are written in clear and unambiguous language, specifically applicable to the facilities provided.
 - (e) Operators are trained to carry out procedures correctly.
 - (f) Records are made (manually and/or by recording instruments) during manufacture to show that all the steps required by the defined procedures and instructions have, in fact, been taken and that the quantity and quality of the product are as expected; any significant deviations are fully recorded and investigated.
 - (g) Records covering manufacture and distribution, which enable the complete history of a batch to be traced, are retained in a comprehensible and accessible form.
 - (h) The proper storage and distribution of the products minimize any risk to their quality.
 - (i) A system is available to recall any batch of product from sale or supply.
 - (j) Complaints about marketed products are examined, the causes of quality defects investigated, and appropriate measures taken in respect of the defective products to prevent recurrence.

3. SANITATION AND HYGIENE

- 3.1 A high level of sanitation and hygiene should be practiced in every aspect of the manufacture of drug products. The scope of sanitation and hygiene covers personnel, premises, equipment and apparatus, production materials and containers, products for cleaning and disinfection, and anything that could become a source of contamination to the product. Potential sources of contamination should be eliminated through an integrated comprehensive program of sanitation and hygiene. (For personal hygiene see Section 11, and for sanitation see Section 12, "Premises.")

4. QUALIFICATION AND VALIDATION

- 4.1 In accordance with GMP, each pharmaceutical company should identify what qualification and validation work is required to prove that the critical aspects of their particular operation are controlled.
- 4.2 The key elements of a qualification and validation program of a company should be clearly defined and documented in a validation master plan.
- 4.3 Qualification and validation should establish and provide documentary evidence that
- (a) The premises, supporting utilities, equipment, and processes have been designed in

accordance with the requirements for GMP (design qualification or DQ).

- (b) The premises, supporting utilities, and equipment have been built and installed in compliance with their design specifications (installation qualification or IQ).
 - (c) The premises, supporting utilities, and equipment operate in accordance with their design specifications (operational qualification or OQ).
 - (d) A specific process will consistently produce a product meeting its predetermined specifications and quality attributes (process validation, or PV, also called performance qualification, or PQ).
- 4.4 Any aspect of operation, including significant changes to the premises, facilities, equipment, or processes, which may affect the quality of the product, directly or indirectly, should be qualified and validated.
 - 4.5 Qualification and validation should not be considered as one-off exercises. An ongoing program should follow their first implementation and should be based on an annual review.
 - 4.6 The commitment to maintain continued validation status should be stated in the relevant company documentation, such as the quality manual or validation master plan.
 - 4.7 The responsibility of performing validation should be clearly defined.
 - 4.8 Validation studies are an essential part of GMP and should be conducted in accordance with predefined and approved protocols.
 - 4.9 A written report summarizing the results recorded and the conclusions reached should be prepared and stored.
 - 4.10 Processes and procedures should be established on the basis of the results of the validation performed.
 - 4.11 It is of critical importance that particular attention is paid to the validation of analytical test methods, automated systems, and cleaning procedures.

5. COMPLAINTS

- 5.1 Principle. All complaints and other information concerning potentially defective products should be carefully reviewed according to written procedures and the corrective action should be taken.
- 5.2 A person responsible for handling the complaints and deciding the measures to be taken should be designated, together with sufficient supporting staff to assist him or her. If this person is different from the authorized person, the latter should be made aware of any complaint, investigation, or recall.
- 5.3 There should be written procedures describing the action to be taken, including the need to consider a recall, in the case of a complaint concerning a possible product defect.

- 5.4 Special attention should be given to establish whether a complaint was caused because of counterfeiting.
- 5.5 Any complaint concerning a product defect should be recorded with all the original details and thoroughly investigated. The person responsible for quality control should normally be involved in the review of such investigations.
- 5.6 If a product defect is discovered or suspected in a batch, consideration should be given to whether other batches should be checked in order to determine whether they are also affected. In particular, other batches that may contain reprocessed product from the defective batch should be investigated.
- 5.7 Where necessary, appropriate follow-up action, possibly including product recall, should be taken after investigation and evaluation of the complaint.
- 5.8 All decisions made and measures taken as a result of a complaint should be recorded and referenced to the corresponding batch records.
- 5.9 Complaints records should be regularly reviewed for any indication of specific or recurring problems that require attention and might justify the recall of marketed products.
- 5.10 The competent authorities should be informed if a manufacturer is considering action following possibly faulty manufacture, product deterioration, counterfeiting, or any other serious quality problems with a product.

6. PRODUCT RECALLS

- 6.1 Principle. There should be a system to recall from the market, promptly and effectively, products known or suspected to be defective.
- 6.2 The authorized person should be responsible for the execution and coordination of recalls. He or she should have sufficient staff to handle all aspects of the recalls with the appropriate degree of urgency.
- 6.3 There should be established written procedures, which are regularly reviewed and updated, for the organization of any recall activity. Recall operations should be capable of being initiated promptly down to the required level in the distribution chain.
- 6.4 An instruction should be included in the written procedures to store recalled products in a secure segregated area while their fate is decided.
- 6.5 All competent authorities of all countries to which a given product has been distributed should be promptly informed of any intention to recall the product because it is, or is suspected of being, defective.
- 6.6 The distribution records should be readily available to the authorized person, and they should contain sufficient information on wholesalers and directly supplied customers (including, for exported

products, those who have received samples for clinical tests and medical samples) to permit an effective recall.

- 6.7 The progress of the recall process should be monitored and recorded. Records should include the disposition of the product. A final report should be issued, including a reconciliation between the delivered and recovered quantities of the products.
- 6.8 The effectiveness of the arrangements for recalls should be tested and evaluated from time to time.

7. CONTRACT PRODUCTION AND ANALYSIS

- 7.1 Principle. Contract production and analysis must be correctly defined, agreed, and controlled in order to avoid misunderstandings that could result in a product or work or analysis of unsatisfactory quality.

General

- 7.2 All arrangements for contract manufacture and analysis, including any proposed changes in technical or other arrangements, should be in accordance with the marketing authorization for the product concerned.
- 7.3 The contract should permit the contract giver to audit the facilities of the contract acceptor.
- 7.4 In the case of contract analysis, the final approval for release must be given by the authorized person.

The Contract Giver

- 7.5 The contract giver is responsible for assessing the competence of the contract acceptor in successfully carrying out the work or tests required, for approval for contract activities, and for ensuring by means of the contract that the principles of GMP described in this guide are followed.
- 7.6 The contract giver should provide the contract acceptor with all the information necessary to carry out the contracted operations correctly in accordance with the marketing authorization and any other legal requirements. The contract giver should ensure that the contract acceptor is fully aware of any problems associated with the product, work, or tests that might pose a hazard to premises, equipment, personnel, other materials, or other products.
- 7.7 The contract giver should ensure that all processed products and materials delivered by the contract acceptor comply with their specifications or that the product has been released by the authorized person.

The Contract Acceptor

- 7.8 The contract acceptor must have adequate premises, equipment, knowledge, and experience and competent personnel to carry out satisfactorily the work ordered by the contract giver. Contract manufacture may be undertaken only by a manufacturer who holds a manufacturing authorization.

- 7.9 The contract acceptor should not pass to a third party any of the work entrusted to him or her under the contract without the contract giver's prior evaluation and approval of the arrangements. Arrangements made between the contract acceptor and any third party should ensure that the manufacturing and analytical information is made available in the same way as between the original contract giver and contract acceptor.
- 7.10 The contract acceptor should refrain from any activity that may adversely affect the quality of the product manufactured and/or analyzed for the contract giver.

The Contract

- 7.11 There must be a written contract between the contract giver and the contract acceptor which clearly establishes the responsibilities of each party.
- 7.12 The contract must clearly state the way in which the authorized person, in releasing each batch of product for sale or issuing the Certificate of Analysis, exercises his or her full responsibility and ensures that each batch has been manufactured in, and checked for, compliance with the requirements of the marketing authorization.
- 7.13 Technical aspects of the contract should be drawn up by competent persons suitably knowledgeable in pharmaceutical technology, analysis, and GMP.
- 7.14 All arrangements for production and analysis must be in accordance with the marketing authorization and agreed by both parties.
- 7.15 The contract should describe clearly who is responsible for purchasing, testing, and releasing materials and for undertaking production and quality controls, including in-process controls, and who has responsibility for sampling and analysis. In the case of contract analysis, the contract should state whether or not the contract acceptor should take samples at the premises of the manufacturer.
- 7.16 Manufacturing, analytical, distribution records, and reference samples should be kept by, or be available to, the contract giver. Any records relevant to assessing the quality of a product in the event of complaints or a suspected defect must be accessible and specified in the defect/recall procedures of the contract giver.
- 7.17 The contract should describe the handling of starting materials, intermediate and bulk products, and finished products if they are rejected. It should also describe the procedure to be followed if the contract analysis shows that the tested product must be rejected.

8. SELF-INSPECTION AND QUALITY AUDITS

- 8.1 Principle. The purpose of self-inspection is to evaluate the manufacturer's compliance with GMP in all aspects of production and quality control. The self-inspection program should be designed to detect any shortcomings in the implementation of

GMP and to recommend the necessary corrective actions. Self-inspections should be performed routinely, and may be, in addition, performed on special occasions, for example, in the case of product recalls or repeated rejections, or when an inspection by the health authorities is announced. The team responsible for self-inspection should consist of personnel who can evaluate the implementation of GMP objectively. All recommendations for corrective action should be implemented. The procedure for self-inspection should be documented, and there should be an effective follow-up program.

Items for Self-Inspection

- 8.2 Written instructions for self-inspection should be established to provide a minimum and uniform standard of requirements. These may include questionnaires on GMP requirements covering at least the following items:
- Personnel
 - Premises including personnel facilities
 - Maintenance of buildings and equipment
 - Storage of starting materials and finished products
 - Equipment
 - Production and in-process controls
 - Quality control
 - Documentation
 - Sanitation and hygiene
 - Validation and revalidation programs
 - Calibration of instruments or measurement systems
 - Recall procedures
 - Complaints management
 - Labels control
 - Results of previous self-inspections and any corrective steps taken

Self-Inspection Team

- 8.3 Management should appoint a self-inspection team consisting of experts in their respective fields and familiar with GMP. The members of the team may be appointed from inside or outside the company.

Frequency of Self-Inspection

- 8.4 The frequency at which self-inspections are conducted may depend on company requirements but should preferably be at least once a year. The frequency should be stated in the procedure.

Self-Inspection Report

- 8.5 A report should be made at the completion of a self-inspection. The report should include
- Self-inspection results
 - Evaluation and conclusions and
 - Recommended corrective actions

Follow-Up Action

- 8.6 There should be an effective follow-up program. The company management should evaluate both the self-inspection report and the corrective actions as necessary.

Quality Audit

- 8.7 It may be useful to supplement self-inspections with a quality audit. A quality audit consists of an examination and assessment of all or part of a quality system with the specific purpose of improving it. A quality audit is usually conducted by outside or independent specialists or a team designated by the management for this purpose. Such audits may also be extended to suppliers and contractors (see Section 7, "Contract Production and Analysis").

Suppliers' Audits and Approval

- 8.8 The person responsible for quality control should have responsibility together with other relevant departments for approving suppliers who can reliably supply starting and packaging materials that meet established specifications.
- 8.9 Before suppliers are approved and included in the approved suppliers' list or specifications, they should be evaluated. The evaluation should take into account a supplier's history and the nature of the materials to be supplied. If an audit is required, it should determine the supplier's ability to conform with GMP standards.

9. PERSONNEL

- 9.1 Principle. The establishment and maintenance of a satisfactory system of quality assurance and the correct manufacture and control of pharmaceutical products and active ingredients rely upon people. For this reason, there must be sufficient qualified personnel to carry out all the tasks for which the manufacturer is responsible. Individual responsibilities should be clearly defined and understood by the persons concerned and recorded as written descriptions.

General

- 9.2 The manufacturer should have an adequate number of personnel with the necessary qualifications and practical experience. The responsibilities placed on any one individual should not be so extensive so as to present any risk to quality.
- 9.3 All responsible staff should have their specific duties recorded in written descriptions and adequate authority to carry out their responsibilities. Their duties may be delegated to designated deputies of a satisfactory qualification level. There should be

no gaps or unexplained overlaps in the responsibilities of personnel concerned with the application of GMP. The manufacturer should have an organization chart.

- 9.4 All personnel should be aware of the principles of GMP that affect them and receive initial and continuing training, including hygiene instructions, relevant to their needs. All personnel should be motivated to support the establishment and maintenance of high-quality standards.
- 9.5 Steps should be taken to prevent unauthorized people from entering production, storage, and quality control areas. Personnel who do not work in these areas should not use them as a passageway.

Key Personnel

- 9.6 Key personnel include the head of production, the head of quality control, and the authorized person. Normally, key posts should be occupied by full-time personnel. The heads of production and quality control should be independent of each other. In large organizations, it may be necessary to delegate some of the functions; however, the responsibility cannot be delegated.
- 9.7 Key personnel responsible for supervising the manufacture and quality control of pharmaceutical products should possess the qualifications of a scientific education and practical experience required by national legislation. Their education should include the study of an appropriate combination of
- Chemistry (analytical or organic) or biochemistry
 - Chemical engineering
 - Microbiology
 - Pharmaceutical sciences and technology
 - Pharmacology and toxicology
 - Physiology and
 - Other related sciences

They should also have adequate practical experience in the manufacture and quality assurance of pharmaceutical products. In order to gain such experience, a preparatory period may be required, during which they should exercise their duties under professional guidance. The scientific education and practical experience of experts should be such as to enable them to exercise independent professional judgment, based on the application of scientific principles and understanding to the practical problems encountered in the manufacture and quality control of pharmaceutical products.

- 9.8 The heads of the production and quality control generally have some shared, or jointly exercised, responsibilities relating to quality. These may include, depending on national regulations,
- To ensure that products are produced and stored according to the appropriate documentation in order to obtain the required quality

- To approve the instructions relating to production operations, including the in-process controls, and to ensure their strict implementation
- To ensure that the production records are evaluated and signed by a designated person
- To check the maintenance of the department, premises, and equipment
- To ensure that the appropriate process validations and calibrations of control equipment are performed and recorded and the reports made available and
- To ensure that the required initial and continuing training of production personnel is carried out and adapted according to need

- 9.9 The head of the production generally has the following responsibilities:

- Authorization of written procedures and other documents, including amendments
- Monitoring and control of the manufacturing environment
- Plant hygiene
- Process validation and calibration of analytical apparatus
- Training, including the application and principles of quality assurance
- Approval and monitoring of suppliers of materials
- Approval and monitoring of contract manufacturers
- Designation and monitoring of storage conditions for materials and products
- Performance and evaluation of in-process controls
- Retention of records
- Monitoring of compliance with GMP requirements and
- Inspection, investigation, and taking of samples in order to monitor factors that may affect product quality

- 9.10 The head of the quality control generally has the following responsibilities:

- To approve or reject starting materials, packaging materials, and intermediate, bulk, and finished products in relation to their specifications
- To evaluate batch records
- To ensure that all necessary testing is carried out
- To approve sampling instructions, specifications, test methods, and other quality control procedures
- To approve and monitor analyses carried out under contract
- To check the maintenance of the department, premises, and equipment
- To ensure that the appropriate validations, including those of analytical procedures, and calibrations of control equipment are carried out and

- (h) To ensure that the required initial and continuing training of quality control personnel is carried out and adapted according to need

Other duties of the quality control are summarized in Sections 17.3 and 17.4.

- 9.11 The authorized person is responsible for compliance with technical or regulatory requirements related to the quality of finished products and the approval of the release of the finished product for sale.
- 9.12 The authorized person will also be involved in other activities, including
- (a) Implementation (and, when needed, establishment) of the quality system
 - (b) Participation in the development of the company's quality manual
 - (c) Supervision of the regular internal audits or self-inspections
 - (d) Oversight of the quality control department
 - (e) Participation in external audit (vendor audit) and
 - (f) Participation in validation programs
- 9.13 The function of the approval of the release of a finished batch or a product can be delegated to a designated person with appropriate qualifications and experience who will release the product in accordance with an approved procedure. This is normally done by quality assurance by means of batch review.
- 9.14 The person responsible for approving a batch for release should always ensure that the following requirements have been met:
- (a) The marketing authorization and the manufacturing authorization requirements for the product have been met for the batch concerned.
 - (b) The principles and guidelines of GMP, as laid down in the guidelines published by WHO, have been followed.
 - (c) The principal manufacturing and testing processes have been validated, if different.
 - (d) All the necessary checks and tests have been performed and account taken of the production conditions and manufacturing records.
 - (e) Any planned changes or deviations in manufacturing or quality control have been notified in accordance with a well-defined reporting system before any product is released. Such changes may need notification to, and approval by, the drug regulatory authority.
 - (f) Any additional sampling, inspection, tests, and checks have been carried out or initiated, as appropriate, to cover planned changes and deviations.
 - (g) All necessary production and quality control documentation has been completed and endorsed by supervisors trained in appropriate disciplines.

- (h) Appropriate audits, self-inspections, and spot-checks are carried out by experienced and trained staff.

- (i) Approval has been given by the head of quality control.

- (j) All relevant factors have been considered, including any not specifically associated with the output batch directly under review (e.g., subdivision of output batches from a common input, factors associated with continuous production runs).

10. TRAINING

- 10.1 The manufacturer should provide training in accordance with a written program for all personnel whose duties take them into manufacturing areas or into control laboratories (including the technical, maintenance, and cleaning personnel) and for other personnel as required.
- 10.2 Besides basic training on the theory and practice of GMP, newly recruited personnel should receive training appropriate to the duties assigned to them. Continuing training should also be given and its practical effectiveness periodically assessed. Approved training programs should be available. Training records should be kept.
- 10.3 Personnel working in areas where contamination is a hazard, for example, clean areas or areas where highly active, toxic, infectious, or sensitizing materials are handled, should be given specific training.
- 10.4 The concept of quality assurance and all the measures which aid its understanding and implementation should be fully discussed during the training sessions.
- 10.5 Visitors or untrained personnel should preferably not be taken into the production and quality control areas. If this is unavoidable, they should be given relevant information in advance (particularly about personal hygiene) and the prescribed protective clothing. They should be closely supervised.
- 10.6 Consultant and contract staff should be qualified for the services they provide. Evidence of this should be included in the training records.

11. PERSONAL HYGIENE

- 11.1 All personnel, prior to and during employment, as appropriate, should undergo health examinations. Personnel conducting visual inspections should also undergo periodic eye examinations.
- 11.2 All personnel should be trained in the practices of personal hygiene. A high level of personal hygiene should be observed by all those concerned with manufacturing processes. In particular, personnel should be instructed to wash their hands before entering production areas. Signs to this effect should be posted and instructions observed.

- 11.3 Any person shown at any time to have an apparent illness or open lesions that may adversely affect the quality of products should not be allowed to handle starting materials, packaging materials, in-process materials, or drug products until the condition is no longer judged to be a risk.
- 11.4 All employees should be instructed and encouraged to report to their immediate supervisor any conditions (relating to plant, equipment, or personnel) that they consider may adversely affect the products.
- 11.5 Direct contact should be avoided between the operator's hands and starting materials, primary packaging materials, and intermediate or bulk product.
- 11.6 To ensure protection of the product from contamination, personnel should wear clean body coverings appropriate to the duties they perform, including appropriate hair covering. Used clothes, if reusable, should be stored in separate closed containers until properly laundered and, if necessary, disinfected or sterilized.
- 11.7 Smoking, eating, drinking, chewing, and keeping plants, food, drink, smoking material, and personal medicines should not be permitted in production, laboratory, and storage areas or in any other areas where they might adversely influence product quality.
- 11.8 Personal hygiene procedures including the use of protective clothing should apply to all persons entering production areas, whether they are temporary or fulltime employees or nonemployees, for example, contractors' employees, visitors, senior managers, and inspectors.

12. PREMISES

- 12.1 Principle. Premises must be located, designed, constructed, adapted, and maintained to suit the operations to be carried out.

General

- 12.2 The layout and design of premises must aim to minimize the risk of errors and permit effective cleaning and maintenance in order to avoid cross-contamination, build-up of dust or dirt, and, in general, any adverse effect on the quality of products.
- 12.3 Where dust is generated (e.g., during sampling, weighing, mixing and processing operations, packaging of powder), measures should be taken to avoid cross-contamination and facilitate cleaning.
- 12.4 Premises should be situated in an environment that, when considered together with measures to protect the manufacturing process, presents minimum risk of causing any contamination of materials or products.
- 12.5 Premises used for the manufacture of finished products should be suitably designed and constructed to facilitate good sanitation.

- 12.6 Premises should be carefully maintained, and it should be ensured that repair and maintenance operations do not present any hazard to the quality of products.
- 12.7 Premises should be cleaned and, where applicable, disinfected according to detailed written procedures. Records should be maintained.
- 12.8 Electrical supply, lighting, temperature, humidity, and ventilation should be appropriate and such that they do not adversely affect, directly or indirectly, either the pharmaceutical products during their manufacture and storage or the accurate functioning of equipment.
- 12.9 Premises should be designed and equipped so as to afford maximum protection against the entry of insects, birds, or other animals. There should be a procedure for rodent and pest control.
- 12.10 12.10. Premises should be designed to ensure the logical flow of materials and personnel.

Ancillary Areas

- 12.11 Rest and refreshment rooms should be separate from manufacturing and control areas.
- 12.12 Facilities for changing and storing clothes and for washing and toilet purposes should be easily accessible and appropriate for the number of users. Toilets should not communicate directly with production or storage areas.
- 12.13 Maintenance workshops should if possible be separated from production areas. Whenever parts and tools are stored in the production area, they should be kept in rooms or lockers reserved for that use.
- 12.14 Animal housing should be well isolated from other areas, with separate entrance (animal access) and air-handling facilities.

Storage Areas

- 12.15 Storage areas should be of sufficient capacity to allow orderly storage of the various categories of materials and products with proper separation and segregation: Starting and packaging materials; intermediates, bulk, and finished products; products in quarantine; and released, rejected, returned, or recalled products.
- 12.16 Storage areas should be designed or adapted to ensure good storage conditions. In particular, they should be clean, dry, sufficiently lit, and maintained within acceptable temperature limits. Where special storage conditions are required (e.g., temperature, humidity), these should be provided, controlled, monitored, and recorded where appropriate.
- 12.17 Receiving and dispatch bays should be separated and protect materials and products from the weather. Receiving areas should be designed and equipped to allow containers of incoming materials to be cleaned if necessary before storage.

- 12.18 Where quarantine status is ensured by storage in separate areas, these areas must be clearly marked and their access restricted to authorized personnel. Any system replacing the physical quarantine should give equivalent security.
- 12.19 Segregation should be provided for the storage of rejected, recalled, or returned materials or products.
- 12.20 Highly active and radioactive materials, narcotics, other dangerous drugs, and substances presenting special risks of abuse, fire, or explosion should be stored in safe and secure areas.
- 12.21 Printed packaging materials are considered critical to the conformity of the pharmaceutical product to its labeling and special attention should be paid to sampling and the safe and secure storage of these materials.
- 12.22 There should normally be a separate sampling area for starting materials. (If sampling is performed in the storage area, it should be conducted in such a way as to prevent contamination or cross-contamination.)

Weighing Areas

- 12.23 The weighing of starting materials and the estimation of yield by weighing should be carried out in separate weighing areas designed for that use, for example, with provisions for dust control. Such areas may be part of either storage or production areas.

Production Areas

- 12.24 In order to minimize the risk of a serious medical hazard due to cross-contamination, dedicated and self-contained facilities must be available for the production of particular pharmaceutical products, such as highly sensitizing materials (e.g., penicillins) or biological preparations (e.g., live microorganisms). The production of certain other highly active products, such as some antibiotics, hormones, cytotoxic substances, and certain non-pharmaceutical products, should not be conducted in the same facilities. In exceptional cases, the principle of campaign working in the same facilities can be accepted provided that specific precautions are taken and the necessary validations (including cleaning validation) are made. The manufacture of technical poisons, such as pesticides and herbicides, should not be allowed in premises used for the manufacture of pharmaceutical products.
- 12.25 Premises should preferably be laid out in such a way as to allow the production to take place in areas connected in a logical order corresponding to the sequence of the operations and to the requisite cleanliness levels.
- 12.26 The adequacy of the working and in-process storage space should permit the orderly and logical positioning of equipment and materials so as to

minimize the risk of confusion between different pharmaceutical products or their components, to avoid cross-contamination, and to minimize the risk of omission or wrong application of any of the manufacturing or control steps.

- 12.27 Where starting and primary packaging materials and intermediate or bulk products are exposed to the environment, interior surfaces (walls, floors, and ceilings) should be smooth and free from cracks and open joints, should not shed particulate matter, and should permit easy and effective cleaning and, if necessary, disinfection.
- 12.28 Pipework, light fittings, ventilation points, and other services should be designed and sited to avoid the creation of recesses that are difficult to clean. As far as possible, for maintenance purposes, they should be accessible from outside the manufacturing areas.
- 12.29 Drains should be of adequate size and designed and equipped to prevent backflow. Open channels should be avoided where possible, but if they are necessary they should be shallow to facilitate cleaning and disinfection.
- 12.30 Production areas should be effectively ventilated, with air-control facilities (including filtration of air to a sufficient level to prevent contamination and cross-contamination, as well as control of temperature and, where necessary, humidity) appropriate to the products handled, to the operations undertaken, and to the external environment. These areas should be regularly monitored during both production and nonproduction periods to ensure compliance with their design specifications.
- 12.31 Premises for the packaging of pharmaceutical products should be specifically designed and laid out so as to avoid mix-ups or cross-contamination.
- 12.32 Production areas should be well lit, particularly where visual online controls are carried out.

Quality Control Areas

- 12.33 Quality control laboratories should be separated from production areas. Areas where biological, microbiological, or radioisotope test methods are employed should be separated from each other.
- 12.34 Quality control laboratories should be designed to suit the operations to be carried out in them. Sufficient space should be given to avoid mix-ups and cross-contamination. There should be adequate suitable storage space for samples, reference standards (if necessary, with cooling), solvents, reagents, and records.
- 12.35 The design of the laboratories should take into account the suitability of construction materials, prevention of fumes, and ventilation. There should be separate air supply to laboratories and production areas. Separate air-handling units and other provisions are needed for biological, microbiological, and radioisotope laboratories.

- 12.36 A separate room may be needed for instruments to protect them against electrical interference, vibration, contact with excessive moisture, and other external factors or where it is necessary to isolate the instruments.

13. EQUIPMENT

- 13.1 Equipment must be located, designed, constructed, adapted, and maintained to suit the operations to be carried out. The layout and design of equipment must aim to minimize the risk of errors and permit effective cleaning and maintenance in order to avoid cross-contamination, build-up of dust or dirt, and, in general, any adverse effect on the quality of products.
- 13.2 Equipment should be installed in such a way as to minimize any risk of error or of contamination.
- 13.3 Fixed pipework should be clearly labeled to indicate the contents and, where applicable, the direction of flow.
- 13.4 All service piping and devices should be adequately marked and special attention paid to the provision of noninterchangeable connections or adaptors for dangerous gases and liquids.
- 13.5 Balances and other measuring equipment of an appropriate range and precision should be available for production and control operations and should be calibrated on a scheduled basis.
- 13.6 Production equipment should be thoroughly cleaned on a scheduled basis.
- 13.7 Laboratory equipment and instruments should be suited to the testing procedures undertaken.
- 13.8 Washing, cleaning, and drying equipment should be chosen and used so as not to be a source of contamination.
- 13.9 Production equipment should not present any hazard to the products. The parts of the production equipment that come into contact with the product must not be reactive, additive, or absorptive to an extent that would affect the quality of the product.
- 13.10 Defective equipment should be removed from production and quality control areas. If this is not possible, it should be clearly labeled as defective to prevent use.
- 13.11 Closed equipment should be used whenever appropriate. Where open equipment is used or equipment is opened, precautions should be taken to minimize contamination.
- 13.12 Nondedicated equipment should be cleaned according to validated cleaning procedures between production of different pharmaceutical products to prevent cross-contamination.
- 13.13 Current drawings of critical equipment and support systems should be maintained.

14. MATERIALS

- 14.1 Principle. The main objective of a pharmaceutical plant is to produce finished products for patients' use from a combination of materials (starting and packaging).
- 14.2 Materials include starting materials, packaging materials, gases, solvents, process aids, reagents, and labeling materials.

General

- 14.3 No materials used for operations such as cleaning, lubrication of equipment, and pest control should come into direct contact with the product. Where possible, such materials should be of a suitable grade (e.g., food grade) to minimize health risks.
- 14.4 All incoming materials and finished products should be quarantined immediately after receipt or processing, until they are released for use or distribution.
- 14.5 All materials and products should be stored under the appropriate conditions established by the manufacturer and in an orderly fashion to permit batch segregation and stock rotation by a first-expire, first-out rule.
- 14.6 Water used in the manufacture of pharmaceutical products should be suitable for its intended use.

Starting Materials

- 14.7 The purchase of starting materials is an important operation that should involve staff who have a particular and thorough knowledge of the products and suppliers.
- 14.8 Starting materials should be purchased only from approved suppliers and, where possible, directly from the producer. It is also recommended that the specifications established by the manufacturer for the starting materials be discussed with the suppliers. It is of benefit that all critical aspects of the production and control of the starting material in question, including handling, labeling, and packaging requirements as well as complaints and rejection procedures, are contractually agreed between the manufacturer and the supplier.
- 14.9 For each consignment, the containers should be checked for at least integrity of package and seal and for correspondence between the order, the delivery note, and the supplier's labels.
- 14.10 All incoming materials should be checked to ensure that the consignment corresponds to the order. Containers should be cleaned where necessary and labeled, if required, with the prescribed information. Where additional labels are attached to containers, the original information should not be lost.
- 14.11 Damage to containers and any other problem that might adversely affect the quality of a material

should be recorded and reported to the quality control department and investigated.

- 14.12 If one delivery of material is made up of different batches, each batch must be considered as separate for sampling, testing, and release.
- 14.13 Starting materials in the storage area should be appropriately labeled. Labels should bear at least the following information:
- (a) The designated name of the product and the internal code reference where applicable
 - (b) The batch number given by the supplier and, on receipt, the control or batch number given by the manufacturer, if any, documented so as to ensure traceability
 - (c) The status of the contents (e.g., on quarantine, on test, released, rejected, returned, recalled) and
 - (d) Where appropriate, an expiry date or a date beyond which retesting is necessary

When fully validated computerized storage systems are used, not all of the above information need be in a legible form on the label.

- 14.14 There should be appropriate procedures or measures to ensure the identity of the contents of each container of starting material. Bulk containers from which samples have been drawn should be identified.
- 14.15 Only starting materials released by the quality control department and within their shelf life should be used.
- 14.16 Starting materials should be dispensed only by designated persons, following a written procedure, to ensure that the correct materials are accurately weighed or measured into clean and properly labeled containers.
- 14.17 Each dispensed material and its weight or volume should be independently checked and the check recorded.
- 14.18 Materials dispensed for each batch of the final product should be kept together and conspicuously labeled as such.

Packaging Materials

- 14.19 The purchase, handling, and control of primary and printed packaging materials should be as for starting materials.
- 14.20 Particular attention should be paid to printed packaging materials. They should be stored in secure conditions so as to exclude the possibility of unauthorized access. Roll-feed labels should be used wherever possible. Cut labels and other loose printed materials should be stored and transported in separate closed containers so as to avoid mix-ups. Packaging materials should be issued for use only by designated personnel following an approved and documented procedure.

- 14.21 Each delivery or batch of printed or primary packaging material should be given a specific reference number or identification mark.
- 14.22 Outdated or obsolete primary packaging material or printed packaging material should be destroyed and its disposal recorded.
- 14.23 All products and packaging materials to be used should be checked on delivery to the packaging department for quantity, identity, and conformity with the packaging instructions.

Intermediate and Bulk Products

- 14.24 Intermediate and bulk products should be kept under appropriate conditions.
- 14.25 Intermediate and bulk products purchased as such should be handled on receipt as though they were starting materials.

Finished Products

- 14.26 Finished products should be held in quarantine until their final release, after which they should be stored as usable stock under conditions established by the manufacturer.
- 14.27 The evaluation of finished products and the documentation necessary for release of a product for sale are described in Section 17, "Good Practices in Quality Control."

Rejected, Recovered, Reprocessed, and Reworked Materials

- 14.28 Rejected materials and products should be clearly marked as such and stored separately in restricted areas. They should either be returned to the suppliers or, where appropriate, reprocessed or destroyed in a timely manner. Whatever action is taken should be approved by authorized personnel and recorded.
- 14.29 The reworking or recovery of rejected products should be exceptional. It is permitted only if the quality of the final product is not affected, if the specifications are met, and if it is done in accordance with a defined and authorized procedure after evaluation of the risks involved. A record should be kept of the reworking or recovery. A reworked batch should be given a new batch number.
- 14.30 The introduction of all or part of earlier batches, conforming to the required quality, into a batch of the same product at a defined stage of manufacture should be authorized beforehand. This recovery should be carried out in accordance with a defined procedure after evaluation of the risks involved, including any possible effect on shelf life. The recovery should be recorded.
- 14.31 The need for additional testing of any finished product that has been reprocessed, reworked, or into which a recovered product has been incorporated should be considered by the quality control department.

Recalled Products

- 14.32 Recalled products should be identified and stored separately in a secure area until a decision is taken on their fate. The decision should be made as soon as possible.

Returned Goods

- 14.33 Products returned from the market should be destroyed unless it is certain that their quality is satisfactory; in such cases they may be considered for resale or relabeling or alternative action taken only after they have been critically assessed by the quality control function in accordance with a written procedure. The nature of the product, any special storage conditions it requires, its condition and history, and the time elapsed since it was issued should all be taken into account in this assessment. Where any doubt arises over the quality of the product, it should not be considered suitable for reissue or reuse. Any action taken should be appropriately recorded.

Reagents and Culture Media

- 14.34 There should be records for the receipt and preparation of reagents and culture media.
- 14.35 Reagents made up in the laboratory should be prepared according to written procedures and appropriately labeled. The label should indicate the concentration, standardization factor, shelf life, the date when re-standardization is due, and the storage conditions. The label should be signed and dated by the person preparing the reagent.
- 14.36 Both positive and negative controls should be applied to verify the suitability of culture media each time they are prepared and used. The size of the inoculum used in positive controls should be appropriate to the sensitivity required.

Reference Standards

- 14.37 Whenever official reference standards exist, these should preferably be used.
- 14.38 Official reference standards should be used only for the purpose described in the appropriate monograph.
- 14.39 Reference standards prepared by the producer should be tested, released, and stored in the same way as official standards. They should be kept under the responsibility of a designated person in a secure area.
- 14.40 Secondary or working standards may be established by the application of appropriate tests and checks at regular intervals to ensure standardization.
- 14.41 Reference standards should be properly labeled with at least the following information:
- Name of the material
 - Batch or lot number and control number
 - Date of preparation

- Shelf life
- Potency and
- Storage conditions

- 14.42 All in-house reference standards should be standardized against an official reference standard, when available, initially and at regular intervals thereafter.
- 14.43 All reference standards should be stored and used in a manner that will not adversely affect their quality.

Waste Materials

- 14.44 Provision should be made for the proper and safe storage of waste materials awaiting disposal. Toxic substances and flammable materials should be stored in suitably designed, separate, enclosed cupboards, as required by national legislation.
- 14.45 Waste material should not be allowed to accumulate. It should be collected in suitable receptacles for removal to collection points outside the buildings and disposed of safely and in a sanitary manner at regular and frequent intervals.

Miscellaneous

- 14.46 Rodenticides, insecticides, fumigating agents, and sanitizing materials should not be permitted to contaminate equipment, starting materials, packaging materials, in-process materials, or finished products.

15. DOCUMENTATION

- 15.1 Principle. Good documentation is an essential part of the quality assurance system and, as such, should exist for all aspects of GMP. Its aims are to define the specifications and procedures for all materials and methods of manufacture and control, to ensure that all personnel concerned with manufacture know what to do and when to do it, to ensure that authorized persons have all the information necessary to decide whether or not to release a batch of a drug for sale, to ensure the existence of documented evidence, traceability, and to provide records and an audit trail that will permit investigation. It ensures the availability of the data needed for validation, review, and statistical analysis. The design and use of documents depend upon the manufacturer. In some cases, some or all of the documents described below may be brought together, but they will usually be separate.

General

- 15.2 Documents should be designed, prepared, reviewed, and distributed with care. They should comply with the relevant parts of the manufacturing and marketing authorizations.
- 15.3 Documents should be approved, signed, and dated by the appropriate responsible persons. No

document should be changed without authorization and approval.

- 15.4 Documents should have unambiguous contents: The title, nature, and purpose should be clearly stated. They should be laid out in an orderly fashion and be easy to check. Reproduced documents should be clear and legible. The reproduction of working documents from master documents must not allow any error to be introduced through the reproduction process.
- 15.5 Documents should be regularly reviewed and kept up-to-date. When a document has been revised, a system should exist to prevent inadvertent use of the superseded version. Superseded documents should be retained for a specific period of time.
- 15.6 Where documents require the entry of data, these entries should be clear, legible, and indelible. Sufficient space should be provided for such entries.
- 15.7 Any alteration made to a document should be signed and dated; the alteration should permit the reading of the original information. Where appropriate, the reason for the alteration should be recorded.
- 15.8 Records should be made or completed when any action is taken and in such a way that all significant activities concerning the manufacture of pharmaceutical products are traceable. Records should be retained for at least one year after the expiry date of the finished product.
- 15.9 Data (and records for storage) may be recorded by electronic data-processing systems or by photographic or other reliable means. Master formulae and detailed standard operating procedures relating to the system in use should be available, and the accuracy of the records should be checked. If documentation is handled by electronic data-processing methods, only authorized persons should be able to enter or modify data in the computer, and there should be a record of changes and deletions; access should be restricted by passwords or other means, and the entry of critical data should be independently checked. Batch records stored electronically should be protected by back-up transfer on magnetic tape, microfilm, paper printouts, or other means. It is particularly important that, during the period of retention, the data are readily available.

Documents Required

Labels

- 15.10 Labels applied to containers, equipment, or premises should be clear, unambiguous, and in the company's agreed format. It is often helpful in addition to the wording on the labels to use colors to indicate status (e.g., quarantined, accepted, rejected, clean).
- 15.11 All finished drug products should be identified by labeling, as required by the national legislation, bearing at least the following information:
- The name of the drug product

- A list of the active ingredients (if applicable, with the INNs), showing the amount of each present and a statement of the net contents (e.g., number of dosage units, weight, volume)
 - The batch number assigned by the manufacturer
 - The expiry date in an uncoded form
 - Any special storage conditions or handling precautions that may be necessary
 - Directions for use, and warnings and precautions that may be necessary and
 - The name and address of the manufacturer or the company or the person responsible for placing the product on the market
- 15.12 For reference standards, the label and/or accompanying document should indicate potency or concentration, date of manufacture, expiry date, date the closure is first opened, storage conditions, and control number, as appropriate.

Specifications and Testing Procedures

- 15.13 Testing procedures described in documents should be validated in the context of available facilities and equipment before they are adopted for routine testing.
- 15.14 There should be appropriately authorized and dated specifications, including tests on identity, content, purity, and quality, for starting and packaging materials and for finished products; where appropriate, they should also be available for intermediate or bulk products. Specifications for water, solvents, and reagents (e.g., acids and bases) used in production should be included.
- 15.15 Each specification should be approved, signed, and dated and maintained by quality control, quality assurance unit, or documentation center. Specifications for starting materials, intermediates, and bulk, finished products, and packaging materials are referred to in Sections 15.18–15.21.
- 15.16 Periodic revisions of the specifications may be necessary to comply with new editions of the national pharmacopoeia or other official compendia.
- 15.17 Pharmacopoeias, reference standards, reference spectra, and other reference materials should be available in the quality control laboratory.

Specifications for Starting and Packaging Materials

- 15.18 Specifications for starting, primary, and printed packaging materials should provide, if applicable, a description of the materials, including
- The designated name (if applicable, the INN) and internal code reference
 - The reference, if any, to a pharmacopoeial monograph and
 - Qualitative and quantitative requirements with acceptance limits

Depending on the company's practice, other data may be added to the specification, such as

- (a) The supplier and the original producer of the materials
- (b) A specimen of printed materials
- (c) Directions for sampling and testing, or a reference to procedures
- (d) Storage conditions and precautions and
- (e) The maximum period of storage before reexamination

Packaging material should conform to specifications and should be compatible with the material and/or with the drug product it contains. The material should be examined for compliance with the specification and for defects as well as for the correctness of identity markings.

- 15.19 Documents describing testing procedures should state the required frequency for re-assaying each starting material, as determined by its stability.

Specifications for Intermediate and Bulk Products

- 15.20 Specifications for intermediate and bulk products should be available. The specifications should be similar to specifications for starting materials or for finished products, as appropriate.

Specifications for Finished Products

- 15.21 Specifications for finished products should include
- (a) The designated name of the product and the code reference, where applicable
 - (b) The designated name(s) of the active ingredient(s) [if applicable, with the INN(s)]
 - (c) The formula or a reference to the formula
 - (d) A description of the dosage form and package details
 - (e) Directions for sampling and testing or a reference to procedures
 - (f) The qualitative and quantitative requirements, with acceptance limits
 - (g) The storage conditions and precautions, where applicable, and
 - (h) The shelf life

Master Formulae

- 15.22 A formally authorized master formula should exist for each product and batch size to be manufactured.

- 15.23 The master formula should include
- (a) The name of the product, with a product reference code relating to its specification;
 - (b) A description of the dosage form, strength of the product, and batch size;
 - (c) A list of all starting materials to be used (if applicable, with the INNs), with the amount of each, described using the designated name and a reference that is unique to that material (mention should be made of any substance that may disappear in the course of processing)

- (d) A statement of the expected final yield with the acceptable limits, and of relevant intermediate yields, where applicable
- (e) A statement of the processing location and the principal equipment to be used
- (f) The methods, or reference to the methods, to be used for preparing and operating the critical equipment, for example, cleaning (especially after a change in product), assembling, calibrating, sterilizing, use
- (g) Detailed stepwise processing instructions (e.g., checks on materials, pretreatments, sequence for adding materials, mixing times, temperatures)
- (h) The instructions for any in-process controls with their limits
- (i) Where necessary, the requirements for storage of the products, including the container, the labeling, and any special storage conditions, and
- (j) Any special precautions to be observed

Packaging Instructions

- 15.24 Formally authorized packaging instructions should exist for each product, pack size, and type. These should normally include, or make reference to,
- (a) The name of the product
 - (b) A description of its pharmaceutical form, strength, and, where applicable, method of application
 - (c) The pack size expressed in terms of the number, weight, or volume of the product in the final container
 - (d) A complete list of all the packaging materials required for a standard batch size, including quantities, sizes, and types, with the code or reference number relating to the specifications for each packaging material
 - (e) Where appropriate, an example or reproduction of the relevant printed packaging materials and specimens, indicating where the batch number and expiry date of the product have been marked
 - (f) Special precautions to be observed, including a careful examination of the packaging area and equipment in order to ascertain the line clearance before and after packaging operations
 - (g) A description of the packaging operation, including any significant subsidiary operations, and equipment to be used and
 - (h) Details of in-process controls with instructions for sampling and acceptance limits

Batch Processing Records

- 15.25 A batch processing record should be kept for each batch processed. It should be based on the relevant

parts of the currently approved specifications on the record. The method of preparation of such records should be designed to avoid errors. (Copying or validated computer programs are recommended. Transcribing from approved documents should be avoided.)

- 15.26 Before any processing begins, a check should be made that the equipment and workstation are clear of previous products, documents, or materials not required for the planned process and that the equipment is clean and suitable for use. This check should be recorded.
- 15.27 During processing, the following information should be recorded at the time each action is taken, and after completion the record should be dated and signed by the person responsible for the processing operations:
- The name of the product
 - The number of the batch being manufactured
 - Dates and times of commencement, of significant intermediate stages, and of completion of production
 - The name of the person responsible for each stage of production
 - The initials of the operator(s) of different significant steps of production and, where appropriate, of the person(s) who checked each of these operations (e.g., weighing)
 - The batch number and/or analytical control number and the quantity of each starting material actually weighed (including the batch number and amount of any recovered or reprocessed material added)
 - Any relevant processing operation or event and the major equipment used
 - The in-process controls performed, the initials of the person(s) carrying them out, and the results obtained
 - The amount of product obtained at different and pertinent stages of manufacture (yield), together with comments or explanations for significant deviations from the expected yield and
 - Notes on special problems including details, with signed authorization for any deviation from the master formula

Batch Packaging Records

- 15.28 A batch packaging record should be kept for each batch or part batch processed. It should be based on the relevant parts of the approved packaging instructions, and the method of preparing such records should be designed to avoid errors. (Copying or validated computer programs are recommended. Transcribing from approved documents should be avoided.)

- 15.29 Before any packaging operation begins, checks should be made that the equipment and workstation are clear of previous products, documents, or materials not required for the planned packaging operations and that equipment is clean and suitable for use. These checks should be recorded.

- 15.30 The following information should be recorded at the time each action is taken, and the date and the person responsible should be clearly identified by signature or electronic password:

- The name of the product, the batch number, and the quantity of bulk product to be packed, as well as the batch number and the planned quantity of finished product that will be obtained, the quantity actually obtained, and the reconciliation
- The date(s) and time(s) of the packaging operations
- The name of the responsible person carrying out the packaging operation
- The initials of the operators of the different significant steps
- The checks made for identity and conformity with the packaging instructions, including the results of in-process controls
- Details of the packaging operations carried out, including references to equipment and the packaging lines used, and, when necessary, the instructions for keeping the product unpacked or a record of returning product that has not been packaged to the storage area
- Whenever possible, samples of the printed packaging materials used, including specimens bearing the approval for the printing of and regular check (where appropriate) of the batch number, expiry date, and any additional overprinting
- Notes on any special problems, including details of any deviation from the packaging instructions, with written authorization by an appropriate person
- The quantities and reference number or identification of all printed packaging materials and bulk product issued, used, destroyed, or returned to stock and the quantities of product obtained to permit an adequate reconciliation

Standard Operating Procedures and Records

- 15.31 Standard operating procedures and associated records of actions taken or, where appropriate, conclusions reached should be available for
- Equipment assembly and validation
 - Analytical apparatus and calibration
 - Maintenance, cleaning, and sanitization

- (d) Personnel matters including qualification, training, clothing, and hygiene
 - (e) Environmental monitoring
 - (f) Pest control
 - (g) Complaints
 - (h) Recalls and
 - (i) Returns
- 15.32 There should be standard operating procedures and records for the receipt of each delivery of starting material and primary and printed packaging material.
- 15.33 The records of the receipts should include
- (a) The name of the material on the delivery note and the containers
 - (b) The “in-house” name and/or code of the material if different from (a)
 - (c) The date of receipt
 - (d) The supplier’s name and, if possible, manufacturer’s name
 - (e) The manufacturer’s batch or reference number
 - (f) The total quantity and number of containers received
 - (g) The batch number assigned after receipt and
 - (h) Any relevant comment (e.g., state of the containers)
- 15.34 There should be standard operating procedures for the internal labeling, quarantine, and storage of starting materials, packaging materials, and other materials, as appropriate.
- 15.35 Standard operating procedures should be available for each instrument and piece of equipment (e.g., use, calibration, cleaning, maintenance) and placed in close proximity to the equipment.
- 15.36 There should be standard operating procedures for sampling, which specify the person(s) authorized to take samples.
- 15.37 The sampling instructions should include
- (a) The method of sampling and the sampling plan
 - (b) The equipment to be used
 - (c) Any precautions to be observed to avoid contamination of the material or any deterioration in its quality
 - (d) The amount(s) of sample(s) to be taken
 - (e) Instructions for any required subdivision of the sample
 - (f) The type of sample container(s) to be used, and whether they are for aseptic sampling or for normal sampling, and labeling and
 - (g) Any specific precautions to be observed, especially in regard to the sampling of sterile or noxious material
- 15.38 There should be a standard operating procedure describing the details of the batch (lot) numbering system, with the objective of ensuring that each batch of intermediate, bulk, or finished product is identified with a specific batch number.
- 15.39 The standard operating procedures for batch numbering that are applied to the processing stage and to the respective packaging stage should be related to each other.
- 15.40 The standard operating procedure for batch numbering should ensure that the same batch numbers will not be used repeatedly; this applies also to reprocessing.
- 15.41 Batch-number allocation should be immediately recorded, for example, in a logbook. The record should include at least the date of allocation, product identity, and size of batch.
- 15.42 There should be written procedures for testing materials and products at different stages of manufacture, describing the methods and equipment to be used. The tests performed should be recorded.
- 15.43 Analysis records should include at least the following data:
- (a) The name of the material or product and, where applicable, dosage form
 - (b) The batch number and, where appropriate, the manufacturer and/or supplier
 - (c) References to the relevant specifications and testing procedures
 - (d) Test results, including observations and calculations, and reference to any specifications (limits)
 - (e) Date(s) and reference number(s) of testing
 - (f) The initials of the persons who performed the testing
 - (g) The date and initials of the persons who verified the testing and the calculations, where appropriate, and
 - (h) A clear statement of release or rejection (or other status decision) and the dated signature of the designated responsible person
- 15.44 Written release and rejection procedures should be available for materials and products and in particular for the release for sale of the finished product by an authorized person.
- 15.45 Records should be maintained of the distribution of each batch of a product in order, for example, to facilitate the recall of the batch if necessary.
- 15.46 Records should be kept for major and critical equipment, as appropriate, of any validations, calibrations, maintenance, cleaning, or repair operations, including dates and the identities of the people who carried these operations out.
- 15.47 The use of major and critical equipment and the areas where products have been processed should be appropriately recorded in chronological order.
- 15.48 There should be written procedures assigning responsibility for cleaning and sanitation and describing in sufficient detail the cleaning schedules, methods, equipment, and materials to be used, and facilities and equipment to be cleaned. Such written procedures should be followed.

16. GOOD PRACTICES IN PRODUCTION

16.1 Principle. Production operations must follow clearly defined procedures in accordance with manufacturing and marketing authorizations, with the objective of obtaining products of the requisite quality.

General

16.2 All handling of materials and products, such as receipt and cleaning, quarantine, sampling, storage, labeling, dispensing, processing, packaging, and distribution, should be done in accordance with written procedures or instructions and, where necessary, recorded.

16.3 Any deviation from instructions or procedures should be avoided as far as possible. If deviations occur, they should be done in accordance with an approved procedure. The authorization of the deviation should be approved in writing by a designated person, with the involvement of the quality control department, when appropriate.

16.4 Checks on yields and reconciliation of quantities should be carried out as necessary to ensure that there are no discrepancies outside acceptable limits.

16.5 Operations on different products should not be carried out simultaneously or consecutively in the same room or area unless there is no risk of mix-up or cross-contamination.

16.6 At all times during processing, all materials, bulk containers, major items of equipment, and where appropriate, the rooms and packaging lines being used should be labeled or otherwise identified with an indication of the product or material being processed, its strength (where applicable), and the batch number. Where applicable, this indication should also mention the stage of production. In some cases, it may be useful to record also the name of the previous product that has been processed.

16.7 Access to production premises should be restricted to authorized personnel.

16.8 Normally, nonmedicinal products should not be produced in areas or with equipment destined for the production of pharmaceutical products.

16.9 In-process controls are usually performed within the production area. The performance of such in-process controls should not have any negative effect on the quality of the product or another product (e.g., cross-contamination or mix-up).

Prevention of Cross-Contamination and Bacterial Contamination During Production

16.10 When dry materials and products are used in production, special precautions should be taken to prevent the generation and dissemination of dust. Provision should be made for proper air control (e.g., supply and extraction of air of suitable quality).

16.11 Contamination of a starting material or of a product by another material or product must be avoided. This risk of accidental cross-contamination arises from the uncontrolled release of dust, gases, particles, vapors, sprays, or organisms from materials and products in process; from residues on equipment; from intruding insects; and from operators' clothing, skin, etc. The significance of this risk varies with the type of contaminant and of the product being contaminated. Among the most hazardous contaminants are highly sensitizing materials, biological preparations such as living organisms, certain hormones, cytotoxic substances, and other highly active materials. Products in which contamination is likely to be most significant are those administered by injection or applied to open wounds and those given in large doses and/or over a long time.

16.12 Cross-contamination should be avoided by taking appropriate technical or organizational measures, for example,

(a) Carrying out production in dedicated and self-contained areas (which may be required for products such as penicillins, live vaccines, live bacterial preparations, and certain other biologicals)

(b) Conducting campaign production (separation in time) followed by appropriate cleaning in accordance with a validated cleaning procedure

(c) Providing appropriately designed airlocks, pressure differentials, and air supply and extraction systems

(d) Minimizing the risk of contamination caused by recirculation or reentry of untreated or insufficiently treated air

(e) Wearing protective clothing where products or materials are handled

(f) Using cleaning and decontamination procedures of known effectiveness

(g) Using a "closed system" in production

(h) Testing for residues and

(i) Using cleanliness status labels on equipment

16.13 Measures to prevent cross-contamination and their effectiveness should be checked periodically according to standard operating procedures.

16.14 Production areas where susceptible products are processed should undergo periodic environmental monitoring (e.g., for microbiological monitoring and particulate matter where appropriate).

Processing Operations

16.15 Before any processing operation is started, steps should be taken to ensure that the work area and equipment are clean and free from any starting materials, products, product residues, labels, or documents not required for the current operation.

- 16.16 Any necessary in-process controls and environmental controls should be carried out and recorded.
- 16.17 Means should be instituted of indicating failures of equipment or of services (e.g., water, gas) to equipment. Defective equipment should be withdrawn from use until the defect has been rectified. After use, production equipment should be cleaned without delay according to detailed written procedures and stored under clean and dry conditions in a separate area or in a manner that will prevent contamination.
- 16.18 Time limits for storage of equipment after cleaning and before use should be stated and based on data.
- 16.19 Containers for filling should be cleaned before filling. Attention should be given to avoiding and removing any contaminants such as glass fragments and metal particles.
- 16.20 Any significant deviation from the expected yield should be recorded and investigated.
- 16.21 Checks should be carried out to ensure that pipelines and other pieces of equipment used for the transportation of products from one area to another are connected in a correct manner.
- 16.22 Pipes used for conveying distilled or deionized water and, where appropriate, other water pipes should be sanitized and stored according to written procedures that detail the action limits for microbiological contamination and the measures to be taken.
- 16.23 Measuring, weighing, recording, and control equipment and instruments should be serviced and calibrated at prespecified intervals and records maintained. To ensure satisfactory functioning, instruments should be checked daily or prior to use for performing analytical tests. The date of calibration and servicing and the date when recalibration is due should be clearly indicated, preferably on a label attached to the instrument.
- 16.24 Repair and maintenance operations should not present any hazard to the quality of the products.
- clearance should be performed according to an appropriate procedure and checklist, and recorded.
- 16.27 The name and batch number of the product being handled should be displayed at each packaging station or line.
- 16.28 Normally, filling and sealing should be followed as quickly as possible by labeling. If labeling is delayed, appropriate procedures should be applied to ensure that no mix-ups or mislabeling could occur.
- 16.29 The correct performance of any printing (e.g., of code numbers or expiry dates) done separately or in the course of the packaging should be checked and recorded. Attention should be paid to printing by hand, which should be rechecked at regular intervals.
- 16.30 Special care should be taken when cut labels are used and when overprinting is carried out off-line, and in hand-packaging operations. Roll-feed labels are normally preferable to cut labels in helping to avoid mix-ups. Online verification of all labels by automated electronic means can be helpful in preventing mix-ups, but checks should be made to ensure that any electronic code readers, label counters, or similar devices are operating correctly. When labels are attached manually, in-process control checks should be performed more frequently.
- 16.31 Printed and embossed information on packaging materials should be distinct and resistant to fading or erasing.
- 16.32 Regular online control of the product during packaging should include at least checks on
- The general appearance of the packages
 - Whether the packages are complete
 - Whether the correct products and packaging materials are used
 - Whether any overprinting is correct and
 - The correct functioning of line monitors
- Samples taken away from the packaging line should not be returned.
- 16.33 Products that have been involved in an unusual event during packaging should be reintroduced into the process only after special inspection, investigation, and approval by authorized personnel. A detailed record should be kept of this operation.
- 16.34 Any significant or unusual discrepancy observed during reconciliation of the amount of bulk product and printed packaging materials and the number of units produced should be investigated, satisfactorily accounted for, and recorded before release.
- 16.35 Upon completion of a packaging operation, any unused batch-coded packaging materials should be destroyed and the destruction recorded. A documented procedure requiring checks to be performed before returning unused materials should

Packaging Operations

- 16.25 When the program for packaging operations is being set up, particular attention should be given to minimizing the risk of cross-contamination, mix-ups, or substitutions. Different products should not be packaged in close proximity unless there is physical segregation or an alternative system that will provide equal assurance.
- 16.26 Before packaging operations are begun, steps should be taken to ensure that the work area, packaging lines, printing machines, and other equipment are clean and free from any products, materials, or documents used previously and which are not required for the current operation. The line

be followed if uncoded printed materials are returned to stock.

17. GOOD PRACTICES IN QUALITY CONTROL

- 17.1 Quality control is the part of GMP concerned with sampling, specifications, and testing, and with the organization, documentation, and release procedures which ensure that the necessary and relevant tests are actually carried out and that materials are not released for use, nor products released for sale or supply, until their quality has been judged to be satisfactory. Quality control is not confined to laboratory operations but must be involved in all decisions concerning the quality of the product.
- 17.2 The independence of quality control from production is considered fundamental.
- 17.3 Each manufacturer (the holder of a manufacturing authorization) should have a quality control function. The quality control function should be independent of other departments and under the authority of a person with appropriate qualification and experience, who has one or several control laboratories at his or her disposal. Adequate resources must be available to ensure that all the quality control arrangements are effectively and reliably carried out. The basic requirements for quality control are as follows:
- (a) Adequate facilities, trained personnel, and approved procedures must be available for sampling, inspecting, and testing starting materials, packaging materials, and intermediate, bulk, and finished products, and where appropriate for monitoring environmental conditions for GMP purposes.
 - (b) Samples of starting materials, packaging materials, intermediate products, bulk products, and finished products must be taken by methods and personnel approved of by the quality control department.
 - (c) Qualification and validation must be performed.
 - (d) Records must be made (manually and/or by recording instruments) demonstrating that all the required sampling, inspecting, and testing procedures have actually been carried out and that any deviations have been fully recorded and investigated.
 - (e) The finished products must contain ingredients complying with the qualitative and quantitative composition of the product described in the marketing authorization; the ingredients must be of the required purity, in their proper container, and correctly labeled.
 - (f) Records must be made of the results of inspecting and testing the materials and intermediate,

bulk, and finished products against specifications; product assessment must include a review and evaluation of the relevant production documentation and an assessment of deviations from specified procedures.

- (g) No batch of product is to be released for sale or supply prior to certification by the authorized person(s) that it is in accordance with the requirements of the marketing authorization. In certain countries, by law, the batch release is a task of the authorized person from production together with the authorized person from quality control.
 - (h) Sufficient samples of starting materials and products must be retained to permit future examination of the product if necessary; the retained product must be kept in its final pack unless the pack is exceptionally large.
- 17.4 Quality control as a whole will also have other duties, such as to establish, validate, and implement all quality control procedures; to evaluate, maintain, and store the reference standards for substances; to ensure the correct labeling of containers of materials and products; to ensure that the stability of the active pharmaceutical ingredients and products is monitored; to participate in the investigation of complaints related to the quality of the product; and to participate in environmental monitoring. All these operations should be carried out in accordance with written procedures and, where necessary, recorded.
- 17.5 Assessment of finished products should embrace all relevant factors, including the production conditions, the results of in-process testing, the manufacturing (including packaging) documentation, compliance with the specification for the finished product, and an examination of the finished pack.
- 17.6 Quality control personnel must have access to production areas for sampling and investigation as appropriate.

Control of Starting Materials and Intermediate, Bulk, and Finished Products

- 17.7 All tests should follow the instructions given in the relevant written test procedure for each material or product. The result should be checked by the supervisor before the material or product is released or rejected.
- 17.8 Samples should be representative of the batches of material from which they are taken in accordance with the approved written procedure.
- 17.9 Sampling should be carried out so as to avoid contamination or other adverse effects on quality. The containers that have been sampled should be

marked accordingly and carefully resealed after sampling.

- 17.10 Care should be taken during sampling to guard against contamination or mix-up of, or by, the material being sampled. All sampling equipment that comes into contact with the material should be clean. Some particularly hazardous or potent materials may require special precautions.
- 17.11 Sampling equipment should be cleaned and, if necessary, sterilized before and after each use and stored separately from other laboratory equipment.
- 17.12 Each sample container should bear a label indicating
- The name of the sampled material
 - The batch or lot number
 - The number of the container from which the sample has been taken
 - The number of the sample
 - The signature of the person who has taken the sample and
 - The date of sampling
- 17.13 Out-of-specification results obtained during testing of materials or products should be investigated in accordance with an approved procedure. Records should be maintained.

Test Requirements

Starting and Packaging Materials

- 17.14 Before releasing a starting or packaging material for use, the quality control manager should ensure that the materials have been tested for conformity with specifications for identity, strength, purity, and other quality parameters.
- 17.15 An identity test should be conducted on a sample from each container of starting material (see also Section 14.14).
- 17.16 Each batch (lot) of printed packaging materials must be examined following receipt.
- 17.17 In lieu of testing by the manufacturer, a Certificate of Analysis may be accepted from the supplier, provided that the manufacturer establishes the reliability of the supplier's analysis through appropriate periodic validation of the supplier's test results (see Sections 8.8 and 8.9) and through on-site audits of the supplier's capabilities. (This does not affect Section 17.15.) Certificates must be originals (not photocopies) or otherwise have their authenticity assured. Certificates must contain at least the following information:
- Identification (name and address) of the issuing supplier
 - Signature of the competent official, and statement of his or her qualifications
 - The name of the material tested
 - The batch number of the material tested
 - The specifications and methods used
 - The test results obtained and
 - The date of testing

In-Process Control

- 17.18 In-process control records should be maintained and form a part of the batch records (see Section 15.25).

Finished Products

- 17.19 For each batch of drug product, there should be an appropriate laboratory determination of satisfactory conformity to its finished product specification prior to release.
- 17.20 Products failing to meet the established specifications or any other relevant quality criteria should be rejected.

Batch Record Review

- 17.21 Production and quality control records should be reviewed as part of the approval process of batch release. Any divergence or failure of a batch to meet its specifications should be thoroughly investigated. The investigation should, if necessary, extend to other batches of the same product and other products that may have been associated with the specific failure or discrepancy. A written record of the investigation should be made and should include the conclusion and follow-up action.
- 17.22 Retention samples from each batch of finished product should be kept for at least one year after the expiry date. Finished products should usually be kept in their final packaging and stored under the recommended conditions. If exceptionally large packages are produced, smaller samples might be stored in appropriate containers. Samples of active starting materials should be retained for at least 1 year beyond the expiry date of the corresponding finished product. Other starting materials (other than solvents, gases, and water) should be retained for a minimum of two years if their stability allows. Retention samples of materials and products should be of a size sufficient to permit at least two full reexaminations.

Stability Studies

- 17.23 Quality control should evaluate the quality and stability of finished pharmaceutical products and, when necessary, of starting materials and intermediate products.
- 17.24 Quality control should establish expiry dates and shelf-life specifications on the basis of stability tests related to storage conditions.
- 17.25 A written program for ongoing stability determination should be developed and implemented to include elements such as
- A complete description of the drug involved in the study
 - The complete set of testing parameters and methods, describing all tests for potency, purity, and physical characteristics and documented evidence that these tests indicate stability

- (c) Provision for the inclusion of a sufficient number of batches;
 - (d) The testing schedule for each drug
 - (e) Provision for special storage conditions
 - (f) Provision for adequate sample retention and
 - (g) A summary of all the data generated, including the evaluation and the conclusions of the study
- 17.26 Stability should be determined prior to marketing and following any significant changes in processes, equipment, packaging materials, etc.

WHO INSPECTIONS SUMMARY

- Types of GMP Inspection
 - Routine inspection
 - Concise inspection
 - Follow-up inspection
 - Special inspection
 - Quality systems review
- Routine Inspection
 - Full inspection of all components of GMP
 - Newly established manufacturer
 - Renewal of a license
 - Changes:
 - New product or product lines
 - Modifications to manufacturing methods
 - Key personnel, premises, or equipment
 - History of noncompliance with GMP
 - Not inspected in the last 3–5 years
- Concise Inspection
 - Consistent record of compliance with GMP
 - Focus on limited number of GMP requirements
 - Selected as indicators
 - Identify significant changes
 - Indicate attitude toward GMP
 - Noncompliance
 - Should trigger comprehensive inspection
- Follow-up Inspection
 - Reassessment or reinspection
 - Monitor result of corrective actions
 - 6 weeks to 6 months after initial inspection
 - Nature of defects
 - Work undertaken
 - Specific GMP requirements
 - Not observed
 - Not adequately implemented
- Special Inspection
 - Spot-check focusing on
 - One product, a group of related products
 - Specific operations, for example, mixing, labeling
 - Complaints or recalls
 - Adverse drug reactions
 - Marketing approval or export certificate
 - Information or investigation
 - Specific information
 - Advice on regulatory requirements
- Quality Systems Review
 - Assess the quality assurance (QA) system
 - Description of the QA system (e.g., manual)
 - Policy and standards to be observed
 - Management structure
 - Implementation
 - Procedures
 - Quality standards set for products
 - Correctly defined manufacturing processes
 - Records kept
 - QC and QA functions are performed
- Frequency of Inspections
 - Depends on type of inspection
 - Inspectorate resources (e.g., workload, number of inspectors)
 - New facilities—before licensed
 - All companies—regular schedule
 - Ideally annual
 - Large companies
 - Several visits over a period, for example, 5 years
 - Validity of manufacturing license or GMP certificate
- Duration of Inspections
 - Depends on type of inspection
 - Inspectorate resources (e.g., workload, number of inspectors)
 - Size of the company
 - Purpose of the visit
 - Days to weeks
 - Number of inspectors
 - Including specialist support
- Announced and Unannounced Inspections
 - Announced
 - Comprehensive inspection
 - Unannounced
 - Routine inspection (depending on country policy)
 - Concise inspection
 - Follow-up inspection
 - Special inspection
- Regulatory Actions
 - Based on national regulations
 - Correction of unsatisfactory situations
 - Closing down of a factory
 - Withholding of authorizations
 - Product recall
- Group Session
 - The inspectorate received a complaint that an injectable product [water for injection (WFI), 10 mL ampoule] is possibly contaminated with microorganisms. You have to organize an inspection of the company in question.
 - What type of inspection would be performed?
 - Will the inspection be announced or unannounced?
 - Who will be part of the inspection team?
 - What will you consider in preparation for the inspection?

- Possible Issues
 - Purpose of the inspection
 - Notification (or not) of the company in advance
 - Makeup of the team
 - Program for the inspection
 - Sterility test, leak test, and visual inspection
 - Validation and qualification
 - Documentation review

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Appendix A

GMP AUDIT TEMPLATE

The Guidelines for cGMP Compliance:

- https://ec.europa.eu/health/sites/health/files/files/eudralex/vol-4/vol4-chap1_2013-01_en.pdf
- https://ec.europa.eu/health/sites/health/files/files/eudralex/vol-4/2014-03_chapter_2.pdf
- https://ec.europa.eu/health/sites/health/files/files/eudralex/vol-4/chapter4_01-2011_en.pdf
- https://ec.europa.eu/health/sites/health/files/files/eudralex/vol-4/2014-11_vol4_chapter_6.pdf
- https://ec.europa.eu/health/sites/health/files/files/eudralex/vol-4/2014-08_gmp_chap8.pdf
- https://ec.europa.eu/health/sites/health/files/files/eudralex/vol-4/pdfs-en/cap9_en.pdf
- https://ec.europa.eu/health/sites/health/files/files/eudralex/vol-4/2014-08_gmp_part1.pdf
- https://ec.europa.eu/health/sites/health/files/files/eudralex/vol-4/2011_site_master_file_en.pdf
- http://www.ema.europa.eu/docs/en_GB/document_library/Scientific_guideline/2009/09/WC500002873.pdf
- http://www.ema.europa.eu/docs/en_GB/document_library/Scientific_guideline/2009/09/WC500002871.pdf
- https://ec.europa.eu/health/sites/health/files/files/eudralex/vol-4/mra_batch-certificate_05-2011.pdf
- https://ec.europa.eu/health/sites/health/files/files/gmp/2013_01_28_template.pdf
- http://www.ema.europa.eu/docs/en_GB/document_library/Scientific_guideline/2014/11/WC500177735.pdf
- [http://eur-lex.europa.eu/legal-content/EN/TXT/PDF/?uri=CELEX:52015XC0321\(02\)&from=EN](http://eur-lex.europa.eu/legal-content/EN/TXT/PDF/?uri=CELEX:52015XC0321(02)&from=EN)
- https://ec.europa.eu/health/sites/health/files/files/eudralex/vol-4/template_imp_batch_certification.docx
- https://ec.europa.eu/health/sites/health/files/files/eudralex/vol-4/2008_11_25_gmp-an1_en.pdf
- https://ec.europa.eu/health/sites/health/files/files/eudralex/vol-4/pdfs-en/2018_annex2_en.pdf
- https://ec.europa.eu/health/sites/health/files/files/eudralex/vol-4/annex11_01-2011_en.pdf
- https://ec.europa.eu/health/sites/health/files/files/eudralex/vol-4/2015-10_annex15.pdf
- https://ec.europa.eu/health/sites/health/files/files/eudralex/vol-4/v4_an16_201510_en.pdf
- https://ec.europa.eu/health/sites/health/files/files/eudralex/vol-4/pdfs-en/2018_annex17_en.pdf
- https://ec.europa.eu/health/sites/health/files/files/eudralex/vol-4/pdfs-en/2005_12_14_annex19_en.pdf
- https://ec.europa.eu/health/sites/health/files/files/eudralex/vol-4/pdfs-en/2018_annex17_en.pdf

		Compliance 1 2 3 ^a	Remarks	EU-Guide
1	PERSONNEL			
1.1	Qualified personnel available?	<input type="checkbox"/> <input type="checkbox"/> <input type="checkbox"/>		2.1
1.2	Organization charts available?	<input type="checkbox"/> <input type="checkbox"/> <input type="checkbox"/>		2.2
1.3	Job descriptions available?	<input type="checkbox"/> <input type="checkbox"/> <input type="checkbox"/>		2.2
1.4	Responsibilities clearly defined?	<input type="checkbox"/> <input type="checkbox"/> <input type="checkbox"/>		2.2
	Key Personnel			
	Responsible persons designated for			
1.5	• Production?	<input type="checkbox"/> <input type="checkbox"/> <input type="checkbox"/>		2.5
1.6	• Quality control?	<input type="checkbox"/> <input type="checkbox"/> <input type="checkbox"/>		2.6
1.7	Are they independent of each other?	<input type="checkbox"/> <input type="checkbox"/> <input type="checkbox"/>		2.3
1.8	Are joint functions clearly defined?	<input type="checkbox"/> <input type="checkbox"/> <input type="checkbox"/>		2.7
1.9	Are the responsible persons working full time?	<input type="checkbox"/> <input type="checkbox"/> <input type="checkbox"/>		2.3
1.10	Do the responsible persons have the appropriate formulation, knowledge, and experience?	<input type="checkbox"/> <input type="checkbox"/> <input type="checkbox"/>		2.1/2.2
1.11	Do the relevant departments have enough personnel?	<input type="checkbox"/> <input type="checkbox"/> <input type="checkbox"/>		2.1
	Training			
1.12	Continuous training programs for the production and QC staff?	<input type="checkbox"/> <input type="checkbox"/> <input type="checkbox"/>		2.8
1.13	Initial job training for all employees?	<input type="checkbox"/> <input type="checkbox"/> <input type="checkbox"/>		2.9
1.14	Teaching aids (videos, slides, and brochures) available?	<input type="checkbox"/> <input type="checkbox"/> <input type="checkbox"/>		2.9
1.15	External training courses for the staff?	<input type="checkbox"/> <input type="checkbox"/> <input type="checkbox"/>		2.9
1.16	Training records?	<input type="checkbox"/> <input type="checkbox"/> <input type="checkbox"/>		2.9
1.17	Special training in sensitive areas (sterile production and toxic substances)?	<input type="checkbox"/> <input type="checkbox"/> <input type="checkbox"/>		2.10
1.18	Information for visitors to the manufacturing area?	<input type="checkbox"/> <input type="checkbox"/> <input type="checkbox"/>		2.11
2	HYGIENE			
	Personnel Hygiene			
	Detailed written hygiene programs for			
2.1	• Clothing?	<input type="checkbox"/> <input type="checkbox"/> <input type="checkbox"/>		2.13
2.2	• Use of washrooms?	<input type="checkbox"/> <input type="checkbox"/> <input type="checkbox"/>		2.13
2.3	• Behavior in production areas?	<input type="checkbox"/> <input type="checkbox"/> <input type="checkbox"/>		2.13
2.4	Precautions against sick personnel or personnel with open wounds in production?	<input type="checkbox"/> <input type="checkbox"/> <input type="checkbox"/>		2.14
	Medical examination:			
2.5	• On recruitment?	<input type="checkbox"/> <input type="checkbox"/> <input type="checkbox"/>		2.15
2.6	• Regular reexaminations?	<input type="checkbox"/> <input type="checkbox"/> <input type="checkbox"/>		2.15
	Duty of notification after			
2.7	• Trips to tropical countries?	<input type="checkbox"/> <input type="checkbox"/> <input type="checkbox"/>		2.15
2.8	• Cases of contagious illness in the family?	<input type="checkbox"/> <input type="checkbox"/> <input type="checkbox"/>		2.15
2.9	Instructions for appropriate working clothes?	<input type="checkbox"/> <input type="checkbox"/> <input type="checkbox"/>		2.16
2.10	Absence of food and drink (chewing gum!) in the working area?	<input type="checkbox"/> <input type="checkbox"/> <input type="checkbox"/>		2.17
2.11	Measures against contact with open product (gloves etc.)?	<input type="checkbox"/> <input type="checkbox"/> <input type="checkbox"/>		2.18
2.12	Instructions for hand washing in production?	<input type="checkbox"/> <input type="checkbox"/> <input type="checkbox"/>		2.19
2.13	Change of clothes when entering and leaving the production area?	<input type="checkbox"/> <input type="checkbox"/> <input type="checkbox"/>		5.19
2.14	Change rooms and toilets easily within reach?	<input type="checkbox"/> <input type="checkbox"/> <input type="checkbox"/>		3.31
2.15	Toilets and restrooms sufficiently separated from production areas?	<input type="checkbox"/> <input type="checkbox"/> <input type="checkbox"/>		3.30/3.31
2.16	Workshops separate from production areas?	<input type="checkbox"/> <input type="checkbox"/> <input type="checkbox"/>		3.32
2.17	Laboratory animal rooms totally segregated from production rooms?	<input type="checkbox"/> <input type="checkbox"/> <input type="checkbox"/>		3.33
3	WAREHOUSE			
	Rooms, General			
3.1	Suitable for the intended use?	<input type="checkbox"/> <input type="checkbox"/> <input type="checkbox"/>		3
3.2	• Adequate size?	<input type="checkbox"/> <input type="checkbox"/> <input type="checkbox"/>		3
3.3	• Clean?	<input type="checkbox"/> <input type="checkbox"/> <input type="checkbox"/>		3
3.4	Located and designed to exclude external contamination?	<input type="checkbox"/> <input type="checkbox"/> <input type="checkbox"/>		3.1
3.5	Appropriate level of maintenance?	<input type="checkbox"/> <input type="checkbox"/> <input type="checkbox"/>		3.2

(Continued)

		Compliance 1 2 3 ^a			Remarks	EU-Guide
3.6	Maintenance works possible without contamination risk?	<input type="checkbox"/>	<input type="checkbox"/>	<input type="checkbox"/>		3.2
3.7	Appropriate lighting and air-conditioning?	<input type="checkbox"/>	<input type="checkbox"/>	<input type="checkbox"/>		3.3
3.8	Recording of temperature and humidity?	<input type="checkbox"/>	<input type="checkbox"/>	<input type="checkbox"/>		3.3
3.9	Protection against the entry of insects or other animals?	<input type="checkbox"/>	<input type="checkbox"/>	<input type="checkbox"/>		3.4
3.10	Controlled access for authorized personnel only?	<input type="checkbox"/>	<input type="checkbox"/>	<input type="checkbox"/>		3.5
Rooms, Special Requirements						
Type of warehousing:						
3.11	Separation of goods sufficient?	<input type="checkbox"/>	<input type="checkbox"/>	<input type="checkbox"/>		3.18
3.12	Provision for different storage temperatures?	<input type="checkbox"/>	<input type="checkbox"/>	<input type="checkbox"/>		3.19
3.13	Goods receiving zone weather protected?	<input type="checkbox"/>	<input type="checkbox"/>	<input type="checkbox"/>		3.20
3.14	Cleaning zone for incoming goods?	<input type="checkbox"/>	<input type="checkbox"/>	<input type="checkbox"/>		3.20
3.15	Separate quarantine area with controlled access?	<input type="checkbox"/>	<input type="checkbox"/>	<input type="checkbox"/>		3.21
3.16	Separate, protected sampling area?	<input type="checkbox"/>	<input type="checkbox"/>	<input type="checkbox"/>		3.22
Separate and safe storage of						
3.17	• Returned goods?	<input type="checkbox"/>	<input type="checkbox"/>	<input type="checkbox"/>		3.23
3.18	• Rejected goods?	<input type="checkbox"/>	<input type="checkbox"/>	<input type="checkbox"/>		3.23
3.19	Separate and safe storage of highly active, toxic, or dangerous substances?	<input type="checkbox"/>	<input type="checkbox"/>	<input type="checkbox"/>		3.24
3.20	Safe storage of narcotics?	<input type="checkbox"/>	<input type="checkbox"/>	<input type="checkbox"/>		3.24
3.21	Safe storage of printed packaging materials?	<input type="checkbox"/>	<input type="checkbox"/>	<input type="checkbox"/>		3.25
3.22	Security measurements against theft?	<input type="checkbox"/>	<input type="checkbox"/>	<input type="checkbox"/>		3.25
3.23	Smoke detectors?	<input type="checkbox"/>	<input type="checkbox"/>	<input type="checkbox"/>		3.25
3.24	Fire extinguishing system?	<input type="checkbox"/>	<input type="checkbox"/>	<input type="checkbox"/>		3.25
Operations						
3.25	Reception, sampling, and labeling according to written procedures?	<input type="checkbox"/>	<input type="checkbox"/>	<input type="checkbox"/>		5.2
3.26	Is a sampling plan available?	<input type="checkbox"/>	<input type="checkbox"/>	<input type="checkbox"/>		Suppl. 4
3.27	Cleaning of incoming containers?	<input type="checkbox"/>	<input type="checkbox"/>	<input type="checkbox"/>		5.3
3.28	Investigation and recording of damaged deliveries?	<input type="checkbox"/>	<input type="checkbox"/>	<input type="checkbox"/>		5.4
3.29	First In First Out (FIFO) principle?	<input type="checkbox"/>	<input type="checkbox"/>	<input type="checkbox"/>		5.7
3.30	Inventory system?	<input type="checkbox"/>	<input type="checkbox"/>	<input type="checkbox"/>		5.8
3.31	Can the location of materials be detected at all times?	<input type="checkbox"/>	<input type="checkbox"/>	<input type="checkbox"/>		
3.32	Incoming goods: containers and seals intact?	<input type="checkbox"/>	<input type="checkbox"/>	<input type="checkbox"/>		5.27
3.33	Incoming goods: conformity with bill of delivery?	<input type="checkbox"/>	<input type="checkbox"/>	<input type="checkbox"/>		5.27
Labeling of incoming containers with						
3.34	• Internal name and code?	<input type="checkbox"/>	<input type="checkbox"/>	<input type="checkbox"/>		5.29
3.35	• Allocated batch number?	<input type="checkbox"/>	<input type="checkbox"/>	<input type="checkbox"/>		5.29
3.36	• Quarantine status?	<input type="checkbox"/>	<input type="checkbox"/>	<input type="checkbox"/>		5.29
3.37	• Expiry date or reanalysis date?	<input type="checkbox"/>	<input type="checkbox"/>	<input type="checkbox"/>		5.29
3.38	Identity test for each incoming container?	<input type="checkbox"/>	<input type="checkbox"/>	<input type="checkbox"/>		5.29
3.39	Are the sampled containers marked?	<input type="checkbox"/>	<input type="checkbox"/>	<input type="checkbox"/>		5.30
3.40	Are reference samples taken?	<input type="checkbox"/>	<input type="checkbox"/>	<input type="checkbox"/>		5.30
3.41	Safe storage of printed packaging materials?	<input type="checkbox"/>	<input type="checkbox"/>	<input type="checkbox"/>		5.41
3.42	Lot tracing of all packaging materials possible?	<input type="checkbox"/>	<input type="checkbox"/>	<input type="checkbox"/>		5.42
3.43	Are excessive packaging materials destroyed?	<input type="checkbox"/>	<input type="checkbox"/>	<input type="checkbox"/>		5.43
Release of starting materials by physical/inventory checks on raw materials, packaging materials, and finished goods:						
Item	Stocks: Physical		Stocks: Inventory		Storage conditions	

(Continued)

		Compliance 1 2 3 ^a	Remarks	EU-Guide
4	DISPENSING/ASSEMBLING			
	Rooms, General			
4.1	Suitable for the intended use?	<input type="checkbox"/> <input type="checkbox"/> <input type="checkbox"/>		3
4.2	• Adequate size?	<input type="checkbox"/> <input type="checkbox"/> <input type="checkbox"/>		3
4.3	• Clean?	<input type="checkbox"/> <input type="checkbox"/> <input type="checkbox"/>		3
4.4	Located and designed to exclude external contamination?	<input type="checkbox"/> <input type="checkbox"/> <input type="checkbox"/>		3.1
4.5	Appropriate level of maintenance?	<input type="checkbox"/> <input type="checkbox"/> <input type="checkbox"/>		3.2
4.6	Maintenance works possible without contamination risk?	<input type="checkbox"/> <input type="checkbox"/> <input type="checkbox"/>		3.2
4.7	Appropriate lighting and air-conditioning?	<input type="checkbox"/> <input type="checkbox"/> <input type="checkbox"/>		3.3
4.8	Recording of temperature and humidity?	<input type="checkbox"/> <input type="checkbox"/> <input type="checkbox"/>		
4.9	Protection against the entry of insects or other animals?	<input type="checkbox"/> <input type="checkbox"/> <input type="checkbox"/>		3.4
4.10	Controlled access for authorized personnel only?	<input type="checkbox"/> <input type="checkbox"/> <input type="checkbox"/>		3.5
	Rooms, Special Requirements			
4.11	Segregated from production and warehouse?	<input type="checkbox"/> <input type="checkbox"/> <input type="checkbox"/>		3.13
4.12	Separate weighing cabins?	<input type="checkbox"/> <input type="checkbox"/> <input type="checkbox"/>		3.13
4.13	Separate air handling unit (AHU) for each cabin?	<input type="checkbox"/> <input type="checkbox"/> <input type="checkbox"/>		3.12
	Air pressure gradient from weighing cabin → corridor:			3.3
4.14	Dust extraction systems available?	<input type="checkbox"/> <input type="checkbox"/> <input type="checkbox"/>		5.11
	Operations			
4.15	Balances regularly calibrated?	<input type="checkbox"/> <input type="checkbox"/> <input type="checkbox"/>		3.41
4.16	Only pharmaceutical raw materials in this area?	<input type="checkbox"/> <input type="checkbox"/> <input type="checkbox"/>		5.17
4.17	Check on remains from previous materials before entering of new materials into a weighing cabin?	<input type="checkbox"/> <input type="checkbox"/> <input type="checkbox"/>		5.9/5.35
4.18	Only one material in one cabin?	<input type="checkbox"/> <input type="checkbox"/> <input type="checkbox"/>		5.9
4.19	Are dispensed materials correctly labeled?	<input type="checkbox"/> <input type="checkbox"/> <input type="checkbox"/>		5.29
4.20	Only released products in the dispensing?	<input type="checkbox"/> <input type="checkbox"/> <input type="checkbox"/>		5.31
4.21	Cleaning SOPs for the dispensing?	<input type="checkbox"/> <input type="checkbox"/> <input type="checkbox"/>		4.28
4.22	Previously dispensed material recorded on weighing protocol?	<input type="checkbox"/> <input type="checkbox"/> <input type="checkbox"/>		4.8
4.23	Safety measures against mix-ups during assembling (e.g., cage pallets)?	<input type="checkbox"/> <input type="checkbox"/> <input type="checkbox"/>		5.32/5.34
5	SOLIDS MANUFACTURING			
	Field of activity:			
	• Granulation	<input type="checkbox"/>		
	• Compression	<input type="checkbox"/>		
	• Encapsulation	<input type="checkbox"/>		
	• Film and sugar coating	<input type="checkbox"/>		
	• Visual inspection (capsules, tablets, etc.)	<input type="checkbox"/>		
	• Premix (human)	<input type="checkbox"/>		
	Rooms, General			
5.1	Suitable for the intended use?	<input type="checkbox"/> <input type="checkbox"/> <input type="checkbox"/>		3
5.2	• Adequate size?	<input type="checkbox"/> <input type="checkbox"/> <input type="checkbox"/>		3
5.3	• Clean?	<input type="checkbox"/> <input type="checkbox"/> <input type="checkbox"/>		3
5.4	Located and designed to exclude external contamination?	<input type="checkbox"/> <input type="checkbox"/> <input type="checkbox"/>		3.1
5.5	Appropriate level of maintenance?	<input type="checkbox"/> <input type="checkbox"/> <input type="checkbox"/>		3.2
5.6	Maintenance works possible without contamination risk?	<input type="checkbox"/> <input type="checkbox"/> <input type="checkbox"/>		3.2
5.7	Appropriate lighting and air-conditioning?	<input type="checkbox"/> <input type="checkbox"/> <input type="checkbox"/>		3.3
5.8	Recording of temperature and humidity?	<input type="checkbox"/> <input type="checkbox"/> <input type="checkbox"/>		
5.9	Protection against the entry of insects or other animals?	<input type="checkbox"/> <input type="checkbox"/> <input type="checkbox"/>		3.4
5.10	Controlled access for authorized personnel only?	<input type="checkbox"/> <input type="checkbox"/> <input type="checkbox"/>		3.5
	Rooms, Special Requirements			
5.11	Separate manufacturing area for penicillins/cephalosporins or highly sensitizing substances?	<input type="checkbox"/> <input type="checkbox"/> <input type="checkbox"/>		3.6
5.12	Only for processing of pharmaceuticals?	<input type="checkbox"/> <input type="checkbox"/> <input type="checkbox"/>		3.6
5.13	Logical flow of materials?	<input type="checkbox"/> <input type="checkbox"/> <input type="checkbox"/>		3.7
5.14	Walls, floors, and ceilings: smooth surface and free of cracks?	<input type="checkbox"/> <input type="checkbox"/> <input type="checkbox"/>		3.8
5.15	Easy cleaning possible?	<input type="checkbox"/> <input type="checkbox"/> <input type="checkbox"/>		3.10

(Continued)

		Compliance 1 2 3 ^a	Remarks	EU-Guide
5.16	Adequate drains with traps and grilles?	<input type="checkbox"/> <input type="checkbox"/> <input type="checkbox"/>		3.11
5.17	Appropriate air handling system? Air pressure gradient from working bay → corridor: Classification according to EC guide?	<input type="checkbox"/> <input type="checkbox"/> <input type="checkbox"/>		3.12
5.18	Appropriate dust extraction system?	<input type="checkbox"/> <input type="checkbox"/> <input type="checkbox"/>		3.14
5.19	Appropriate lighting?	<input type="checkbox"/> <input type="checkbox"/> <input type="checkbox"/>		3.16
5.20	Separate rest rooms?	<input type="checkbox"/> <input type="checkbox"/> <input type="checkbox"/>		3.30
5.21	Changing rooms designed to avoid contamination?	<input type="checkbox"/> <input type="checkbox"/> <input type="checkbox"/>		3.31
5.22	Toilets segregated from manufacturing areas?	<input type="checkbox"/> <input type="checkbox"/> <input type="checkbox"/>		3.31
	Equipment			
5.23	Suitable for the intended use?	<input type="checkbox"/> <input type="checkbox"/> <input type="checkbox"/>		3.34
5.24	Well maintained?	<input type="checkbox"/> <input type="checkbox"/> <input type="checkbox"/>		3.34
5.25	Written and validated cleaning procedures?	<input type="checkbox"/> <input type="checkbox"/> <input type="checkbox"/>		3.36
5.26	Maintenance without contamination risk (separate area)?	<input type="checkbox"/> <input type="checkbox"/> <input type="checkbox"/>		3.35
5.27	Equipment in contact with product: suitable materials quality?	<input type="checkbox"/> <input type="checkbox"/> <input type="checkbox"/>		3.39
5.28	Machinery equipped with measuring and control devices?	<input type="checkbox"/> <input type="checkbox"/> <input type="checkbox"/>		3.40
5.29	Calibration at fixed intervals according to written procedures?	<input type="checkbox"/> <input type="checkbox"/> <input type="checkbox"/>		3.41
5.30	Calibration records available?	<input type="checkbox"/> <input type="checkbox"/> <input type="checkbox"/>		3.41
5.31	Contents and flow direction marked on pipes?	<input type="checkbox"/> <input type="checkbox"/> <input type="checkbox"/>		3.42
5.32	Pipes for distilled and demineralized water regularly monitored and sanitized?	<input type="checkbox"/> <input type="checkbox"/> <input type="checkbox"/>		3.43
5.33	Not functioning equipment in the production area (if yes: clearly marked)?	Y N		3.44
5.34	Status of cleanliness indicated?	<input type="checkbox"/> <input type="checkbox"/> <input type="checkbox"/>		5.13
5.35	Previous product indicated?	<input type="checkbox"/> <input type="checkbox"/> <input type="checkbox"/>		5.13
	Operations			
5.36	Are written and validated procedures for all manufacturing steps available?	<input type="checkbox"/> <input type="checkbox"/> <input type="checkbox"/>		5.2
5.37	Are all manufacturing steps recorded with actual parameters?	<input type="checkbox"/> <input type="checkbox"/> <input type="checkbox"/>		5.2
5.38	Check of each single container of the starting materials (contents, weight, and identity)?	<input type="checkbox"/> <input type="checkbox"/> <input type="checkbox"/>		5.3
5.39	Limits for yields?	<input type="checkbox"/> <input type="checkbox"/> <input type="checkbox"/>		5.8
5.40	Only one batch of one product processed?	<input type="checkbox"/> <input type="checkbox"/> <input type="checkbox"/>		5.9
5.41	Protection against microbial contamination?	<input type="checkbox"/> <input type="checkbox"/> <input type="checkbox"/>		5.10
5.42	Appropriate measures against generation of dust (e.g., closed systems)? Correct labeling of containers, materials, equipment, and rooms with	<input type="checkbox"/> <input type="checkbox"/> <input type="checkbox"/>		5.12
5.43	• Product name and batch no.?	<input type="checkbox"/> <input type="checkbox"/> <input type="checkbox"/>		5.12
5.44	• Quarantine status?	<input type="checkbox"/> <input type="checkbox"/> <input type="checkbox"/>		5.12
5.45	Deviations from standard procedures recorded and signed by the supervisor?	<input type="checkbox"/> <input type="checkbox"/> <input type="checkbox"/>		5.14
5.46	Special procedures for the production of antibiotics, hormones, etc.?	<input type="checkbox"/> <input type="checkbox"/> <input type="checkbox"/>		5.19
5.47	• Campaign production?	<input type="checkbox"/> <input type="checkbox"/> <input type="checkbox"/>		5.19
5.48	• Special monitoring?	<input type="checkbox"/> <input type="checkbox"/> <input type="checkbox"/>		5.19
5.49	• Validated decontamination procedure?	<input type="checkbox"/> <input type="checkbox"/> <input type="checkbox"/>		5.19
5.50	Double check on weight?	<input type="checkbox"/> <input type="checkbox"/> <input type="checkbox"/>		5.34
5.51	Line clearance before start of production?	<input type="checkbox"/> <input type="checkbox"/> <input type="checkbox"/>		5.35
5.52	Investigation of deviations in yields?	<input type="checkbox"/> <input type="checkbox"/> <input type="checkbox"/>		5.39
5.53	Validated procedures for reworking of rejected batches?	<input type="checkbox"/> <input type="checkbox"/> <input type="checkbox"/>		5.62
5.54	Detailed procedures for the addition of previous batches?	<input type="checkbox"/> <input type="checkbox"/> <input type="checkbox"/>		5.63
5.55	Special release procedure (QA) for those batches?	<input type="checkbox"/> <input type="checkbox"/> <input type="checkbox"/>		5.64
5.56	Use of protective clothing (hair cover, shoes, masks, and gloves)?	<input type="checkbox"/> <input type="checkbox"/> <input type="checkbox"/>		2.16
5.57	Clothing regulation for visitors?	<input type="checkbox"/> <input type="checkbox"/> <input type="checkbox"/>		2.11
	In-Process Control (IPC)			5.38
	Who performs IPC?			

(Continued)

		Compliance 1 2 3 ^a	Remarks	EU-Guide
6.15	Easy cleaning possible?	<input type="checkbox"/> <input type="checkbox"/> <input type="checkbox"/>		3.10
6.16	Adequate drains with traps and grilles?	<input type="checkbox"/> <input type="checkbox"/> <input type="checkbox"/>		3.11
6.17	Appropriate air-handling system with filtered air where open products are exposed to the environment? Air pressure gradient from working bay → corridor: Classification according to EC guide?	<input type="checkbox"/> <input type="checkbox"/> <input type="checkbox"/>		3.12
6.18	Appropriate lighting?	<input type="checkbox"/> <input type="checkbox"/> <input type="checkbox"/>		3.16
6.19	Separate rest rooms?	<input type="checkbox"/> <input type="checkbox"/> <input type="checkbox"/>		3.30
6.20	Changing rooms designed to avoid contamination?	<input type="checkbox"/> <input type="checkbox"/> <input type="checkbox"/>		3.31
6.21	Toilets segregated from manufacturing areas?	<input type="checkbox"/> <input type="checkbox"/> <input type="checkbox"/>		3.31
	Equipment			
6.22	Suitable for the intended use?	<input type="checkbox"/> <input type="checkbox"/> <input type="checkbox"/>		3.34
6.23	Well maintained?	<input type="checkbox"/> <input type="checkbox"/> <input type="checkbox"/>		3.34
6.24	Tanks, containers, pipework, and pumps designed for easy cleaning and sanitation (dead legs!)?	<input type="checkbox"/> <input type="checkbox"/> <input type="checkbox"/>		Suppl. 2
6.25	Written and validated cleaning procedures?	<input type="checkbox"/> <input type="checkbox"/> <input type="checkbox"/>		3.36
6.26	Maintenance without contamination risk (separate area)?	<input type="checkbox"/> <input type="checkbox"/> <input type="checkbox"/>		3.35
6.27	Equipment in contact with product: suitable materials quality?	<input type="checkbox"/> <input type="checkbox"/> <input type="checkbox"/>		3.39
6.28	Machinery equipped with measuring and control devices?	<input type="checkbox"/> <input type="checkbox"/> <input type="checkbox"/>		3.40
6.29	Calibration at fixed intervals according to written procedures?	<input type="checkbox"/> <input type="checkbox"/> <input type="checkbox"/>		3.41
6.30	Calibration records available?	<input type="checkbox"/> <input type="checkbox"/> <input type="checkbox"/>		3.41
6.31	Contents and flow direction marked on pipes?	<input type="checkbox"/> <input type="checkbox"/> <input type="checkbox"/>		3.42
6.32	Pipes for distilled and demineralized water regularly monitored and sanitized?	<input type="checkbox"/> <input type="checkbox"/> <input type="checkbox"/>		3.43
6.33	Not functioning equipment in the production area (if yes: clearly marked)?	Y N		3.44
6.34	Status of cleanliness indicated?	<input type="checkbox"/> <input type="checkbox"/> <input type="checkbox"/>		5.13
6.35	Previous product indicated?	<input type="checkbox"/> <input type="checkbox"/> <input type="checkbox"/>		5.13
	Operations			
6.36	Are written and validated procedures for all manufacturing steps available?	<input type="checkbox"/> <input type="checkbox"/> <input type="checkbox"/>		5.2
6.37	Are all manufacturing steps recorded with actual parameters?	<input type="checkbox"/> <input type="checkbox"/> <input type="checkbox"/>		5.2
6.38	Check of each single container of the starting materials (contents, weight, and identity)?	<input type="checkbox"/> <input type="checkbox"/> <input type="checkbox"/>		5.3
6.39	Limits for yields?	<input type="checkbox"/> <input type="checkbox"/> <input type="checkbox"/>		5.8
6.40	Only one batch of one product processed?	<input type="checkbox"/> <input type="checkbox"/> <input type="checkbox"/>		5.9
6.41	Protection against microbial contamination? Correct labeling of containers, materials, equipment, and rooms with	<input type="checkbox"/> <input type="checkbox"/> <input type="checkbox"/>		5.10
6.42	• Product name and batch no.?	<input type="checkbox"/> <input type="checkbox"/> <input type="checkbox"/>		5.12
6.43	• Quarantine status?	<input type="checkbox"/> <input type="checkbox"/> <input type="checkbox"/>		5.12
6.44	Deviations from standard procedures recorded and signed by the supervisor?	<input type="checkbox"/> <input type="checkbox"/> <input type="checkbox"/>		5.14
6.45	Special procedures for the production of antibiotics, hormones, etc.?	<input type="checkbox"/> <input type="checkbox"/> <input type="checkbox"/>		5.19
6.46	• Campaign production?	<input type="checkbox"/> <input type="checkbox"/> <input type="checkbox"/>		5.19
6.47	• Special monitoring?	<input type="checkbox"/> <input type="checkbox"/> <input type="checkbox"/>		5.19
6.48	• Validated decontamination procedure?	<input type="checkbox"/> <input type="checkbox"/> <input type="checkbox"/>		5.19
6.49	Double check on weight?	<input type="checkbox"/> <input type="checkbox"/> <input type="checkbox"/>		5.34
6.50	Line clearance before start of production?	<input type="checkbox"/> <input type="checkbox"/> <input type="checkbox"/>		5.35
6.51	Investigation of deviations in yields?	<input type="checkbox"/> <input type="checkbox"/> <input type="checkbox"/>		5.39
6.52	Specification of maximum storage time and storage conditions if products are not immediately filled or packaged?	<input type="checkbox"/> <input type="checkbox"/> <input type="checkbox"/>		Suppl. 9
6.53	Validated procedures for reworking of rejected batches?	<input type="checkbox"/> <input type="checkbox"/> <input type="checkbox"/>		5.62
6.54	Detailed procedures for the addition of previous batches?	<input type="checkbox"/> <input type="checkbox"/> <input type="checkbox"/>		5.63
6.55	Special release procedure (QA) for those batches?	<input type="checkbox"/> <input type="checkbox"/> <input type="checkbox"/>		5.64
6.56	Use of protective clothing (hair cover, shoes, masks, and gloves)?	<input type="checkbox"/> <input type="checkbox"/> <input type="checkbox"/>		2.16
6.57	Clothing regulation for visitors?	<input type="checkbox"/> <input type="checkbox"/> <input type="checkbox"/>		2.11

(Continued)

		Compliance 1 2 3 ^a	Remarks	EU-Guide
Water				
6.58	Loop system for purified water?	<input type="checkbox"/> <input type="checkbox"/> <input type="checkbox"/>		Suppl. 4
6.59	Antimicrobial treatment of purified water?	<input type="checkbox"/> <input type="checkbox"/> <input type="checkbox"/>		Suppl. 4
6.60	Loop system for water for injection?	<input type="checkbox"/> <input type="checkbox"/> <input type="checkbox"/>		Suppl. 4
	Storage temperature of water for injection:			Suppl. 4
6.61	Loop system constructed to avoid dead legs?	<input type="checkbox"/> <input type="checkbox"/> <input type="checkbox"/>		Suppl. 4
6.62	Regular microbiological monitoring?	<input type="checkbox"/> <input type="checkbox"/> <input type="checkbox"/>		Suppl. 4
6.63	Regular endotoxin control?	<input type="checkbox"/> <input type="checkbox"/> <input type="checkbox"/>		Suppl. 4
Special Requirements for Sterile and Aseptic Products				
Rooms and Equipment				
6.64	Access of staff and materials to clean areas <i>only</i> through air locks?	<input type="checkbox"/> <input type="checkbox"/> <input type="checkbox"/>		1
6.66	Rooms classified according to EC Guide?	<input type="checkbox"/> <input type="checkbox"/> <input type="checkbox"/>		3
	Classification for products to be sterilized:			
6.67	• Solution preparation (EC: class C, with special precautions class D):	Class:		5
6.68	• Filling (EC: under LF in class C):	Class:		5
	Classification for aseptic products:			
6.69	• Handling of starting materials that can be sterile filtered (EC: class C):	Class:		6
6.70	• Handling of starting materials that cannot be sterile filtered (EC: class A in class B):	Class:		6
6.71	• Handling and filling of bulk (EC: class A in Class B):	Class:		6
6.72	All rooms easy to clean and disinfect?	<input type="checkbox"/> <input type="checkbox"/> <input type="checkbox"/>		17
6.73	Doors, windows, frames, lighting, etc. without edges?	<input type="checkbox"/> <input type="checkbox"/> <input type="checkbox"/>		18
6.74	Suspended ceilings (if yes: sealed?)?	<input type="checkbox"/> <input type="checkbox"/> <input type="checkbox"/>		19
6.75	Traps constructed to avoid microbiological contamination?	<input type="checkbox"/> <input type="checkbox"/> <input type="checkbox"/>		21
6.76	Appropriately constructed changing rooms?	<input type="checkbox"/> <input type="checkbox"/> <input type="checkbox"/>		22
6.77	Measures against opening of both doors of air locks?	<input type="checkbox"/> <input type="checkbox"/> <input type="checkbox"/>		23
6.78	Overpressure gradient from cleanest areas to others?	<input type="checkbox"/> <input type="checkbox"/> <input type="checkbox"/>		24
6.79	AHU validated and regularly revalidated?	<input type="checkbox"/> <input type="checkbox"/> <input type="checkbox"/>		25
6.80	Control instruments for pressure gradient?	<input type="checkbox"/> <input type="checkbox"/> <input type="checkbox"/>		26
6.81	Warning system for errors in air supply?	<input type="checkbox"/> <input type="checkbox"/> <input type="checkbox"/>		26
6.82	Recording of pressure gradients?	<input type="checkbox"/> <input type="checkbox"/> <input type="checkbox"/>		26
6.83	Do conveyor belts leave sterile areas?	<input type="checkbox"/> <input type="checkbox"/> <input type="checkbox"/>		28
6.84	Maintenance works outside clean areas possible?	<input type="checkbox"/> <input type="checkbox"/> <input type="checkbox"/>		28
6.85	Cleaning and disinfection procedure after maintenance works?	<input type="checkbox"/> <input type="checkbox"/> <input type="checkbox"/>		29
6.86	Regular revalidation of all equipment and systems?	<input type="checkbox"/> <input type="checkbox"/> <input type="checkbox"/>		30
6.87	Water prepared, circulated, and stored to exclude microbiological contamination?	<input type="checkbox"/> <input type="checkbox"/> <input type="checkbox"/>		31
6.88	Cleaning and disinfection of rooms according to validated SOPs?	<input type="checkbox"/> <input type="checkbox"/> <input type="checkbox"/>		32
	• Disinfection methods?			
6.89	Microbiological monitoring of cleaning and disinfection agents?	<input type="checkbox"/> <input type="checkbox"/> <input type="checkbox"/>		33
6.90	Microbiological monitoring program of production areas?	<input type="checkbox"/> <input type="checkbox"/> <input type="checkbox"/>		35
6.91	Results recorded and considered for the release?	<input type="checkbox"/> <input type="checkbox"/> <input type="checkbox"/>		35
Personnel and Hygiene				
6.92	Minimal number of personnel in clean areas?	<input type="checkbox"/> <input type="checkbox"/> <input type="checkbox"/>		7
6.93	Special and regular training?	<input type="checkbox"/> <input type="checkbox"/> <input type="checkbox"/>		8
6.94	Regular medical examinations?	<input type="checkbox"/> <input type="checkbox"/> <input type="checkbox"/>		10
6.95	Appropriate clean room clothes (material and design)?	<input type="checkbox"/> <input type="checkbox"/> <input type="checkbox"/>		12
6.96	Protective clothes worn correctly?	<input type="checkbox"/> <input type="checkbox"/> <input type="checkbox"/>		12
6.97	Prohibition of cosmetics, jewelry, and watches?	<input type="checkbox"/> <input type="checkbox"/> <input type="checkbox"/>		13
6.98	New clean room clothes for each working cycle?	<input type="checkbox"/> <input type="checkbox"/> <input type="checkbox"/>		15
6.99	Appropriate washing and sterilization of clothes?	<input type="checkbox"/> <input type="checkbox"/> <input type="checkbox"/>		16
Operations				
6.100	Validation (media filling) at regular intervals?	<input type="checkbox"/> <input type="checkbox"/> <input type="checkbox"/>		38

(Continued)

	Compliance 1 2 3 ^a	Remarks	EU-Guide
• Effervescent packaging	<input type="checkbox"/>		
• Powder filling	<input type="checkbox"/>		
• Syrup/drops filling	<input type="checkbox"/>		
• Ointment filling	<input type="checkbox"/>		
Rooms			
7.1 Suitable for the intended use?	<input type="checkbox"/> <input type="checkbox"/> <input type="checkbox"/>		3
7.2 • Adequate size?	<input type="checkbox"/> <input type="checkbox"/> <input type="checkbox"/>		3
7.3 • Clean?	<input type="checkbox"/> <input type="checkbox"/> <input type="checkbox"/>		3
7.4 Located and designed to exclude external contamination?	<input type="checkbox"/> <input type="checkbox"/> <input type="checkbox"/>		3.1
7.5 Appropriate level of maintenance?	<input type="checkbox"/> <input type="checkbox"/> <input type="checkbox"/>		3.2
7.6 Maintenance works possible without contamination risk?	<input type="checkbox"/> <input type="checkbox"/> <input type="checkbox"/>		3.2
7.7 Appropriate lighting and air-conditioning?	<input type="checkbox"/> <input type="checkbox"/> <input type="checkbox"/>		3.3
7.8 Recording of temperature and humidity?	<input type="checkbox"/> <input type="checkbox"/> <input type="checkbox"/>		
7.9 Protection against the entry of insects or other animals?	<input type="checkbox"/> <input type="checkbox"/> <input type="checkbox"/>		3.4
7.10 Controlled access for authorized personnel only?	<input type="checkbox"/> <input type="checkbox"/> <input type="checkbox"/>		3.5
7.11 Adequate separation of the packaging lines?	<input type="checkbox"/> <input type="checkbox"/> <input type="checkbox"/>		3.15
Operations			
7.12 Only <i>one</i> product per line?	<input type="checkbox"/> <input type="checkbox"/> <input type="checkbox"/>		5.44
7.13 Check list for clearance before processing a new product/new batch?	<input type="checkbox"/> <input type="checkbox"/> <input type="checkbox"/>		5.45
7.14 Adequate labeling of the lines (product name and code)?	<input type="checkbox"/> <input type="checkbox"/> <input type="checkbox"/>		5.46
7.15 Check of all materials delivered to the line (quantity, identity, conformity with order)?	<input type="checkbox"/> <input type="checkbox"/> <input type="checkbox"/>		5.47
7.16 Cleaning of primary packaging materials?	<input type="checkbox"/> <input type="checkbox"/> <input type="checkbox"/>		5.48
7.17 Immediate labeling after filling?	<input type="checkbox"/> <input type="checkbox"/> <input type="checkbox"/>		5.49
7.18 Careful check of all printing processes (code and expiry date)?	<input type="checkbox"/> <input type="checkbox"/> <input type="checkbox"/>		5.50
7.19 Special safety measures for off-line printing?	<input type="checkbox"/> <input type="checkbox"/> <input type="checkbox"/>		5.51
7.20 Regular checks of all control devices (code reader, counter, etc.)?	<input type="checkbox"/> <input type="checkbox"/> <input type="checkbox"/>		5.52
7.21 Printings clear and durable?	<input type="checkbox"/> <input type="checkbox"/> <input type="checkbox"/>		5.53
7.22 Balancing of printed packaging materials and bulk?	<input type="checkbox"/> <input type="checkbox"/> <input type="checkbox"/>		5.56
7.23 Destruction of excessive coded packaging material after completion of an order?	<input type="checkbox"/> <input type="checkbox"/> <input type="checkbox"/>		5.57
7.24 Are the finished products kept in quarantine until final release?	<input type="checkbox"/> <input type="checkbox"/> <input type="checkbox"/>		5.58
7.25 Appropriate storage after release?	<input type="checkbox"/> <input type="checkbox"/> <input type="checkbox"/>		5.60
IPC			
7.26 Checks on identity of bulk and packaging materials?	<input type="checkbox"/> <input type="checkbox"/> <input type="checkbox"/>		5.47
Regular line checks on			
7.27 • Aspect of the packages?	<input type="checkbox"/> <input type="checkbox"/> <input type="checkbox"/>		5.54a
7.28 • Completeness?	<input type="checkbox"/> <input type="checkbox"/> <input type="checkbox"/>		5.54b
7.29 • Conformity of quantity and quality of materials with packaging order?	<input type="checkbox"/> <input type="checkbox"/> <input type="checkbox"/>		5.54c
7.30 • Correct imprint?	<input type="checkbox"/> <input type="checkbox"/> <input type="checkbox"/>		5.54d
7.31 • Correct function of control devices?	<input type="checkbox"/> <input type="checkbox"/> <input type="checkbox"/>		5.54d
Are the following IPC checks performed?			
7.32 • Leaking	<input type="checkbox"/> <input type="checkbox"/> <input type="checkbox"/>		
7.33 • Release torque of screw caps	<input type="checkbox"/> <input type="checkbox"/> <input type="checkbox"/>		
7.34 • pH, density, drop weight, viscosity, and sedimentation	<input type="checkbox"/> <input type="checkbox"/> <input type="checkbox"/>		
8 DOCUMENTATION			
Specifications			
8.1 Specifications for raw/packaging materials available?	<input type="checkbox"/> <input type="checkbox"/> <input type="checkbox"/>		4.10
Do they include			
8.2 • Internal name and code?	<input type="checkbox"/> <input type="checkbox"/> <input type="checkbox"/>		4.11
8.3 • Name of supplier and/or manufacturer?	<input type="checkbox"/> <input type="checkbox"/> <input type="checkbox"/>		4.11
8.4 • Reference sample (printed packaging material)?	<input type="checkbox"/> <input type="checkbox"/> <input type="checkbox"/>		4.11
8.5 • Sampling procedure?	<input type="checkbox"/> <input type="checkbox"/> <input type="checkbox"/>		4.11

(Continued)

		Compliance 1 2 3 ^a	Remarks	EU-Guide
8.6	• Qualitative/quantitative specifications with limits?	<input type="checkbox"/> <input type="checkbox"/> <input type="checkbox"/>		4.11
8.7	• Storage conditions?	<input type="checkbox"/> <input type="checkbox"/> <input type="checkbox"/>		4.11
8.8	• Maximum storage period?	<input type="checkbox"/> <input type="checkbox"/> <input type="checkbox"/>		4.11
Goods Receiving				
8.9	Written procedures for the reception of deliveries?	<input type="checkbox"/> <input type="checkbox"/> <input type="checkbox"/>		4.19
Do the records of receipt include				
8.10	• Product name on labels and delivery note?	<input type="checkbox"/> <input type="checkbox"/> <input type="checkbox"/>		4.20
8.11	• Internal name and code?	<input type="checkbox"/> <input type="checkbox"/> <input type="checkbox"/>		4.20
8.12	• Receiving date?	<input type="checkbox"/> <input type="checkbox"/> <input type="checkbox"/>		4.20
8.13	• Name of supplier and/or manufacturer?	<input type="checkbox"/> <input type="checkbox"/> <input type="checkbox"/>		4.20
8.14	• Batch number of supplier?	<input type="checkbox"/> <input type="checkbox"/> <input type="checkbox"/>		4.20
8.15	• Total quantity and number of containers?	<input type="checkbox"/> <input type="checkbox"/> <input type="checkbox"/>		4.20
8.16	• Allocated internal batch number?	<input type="checkbox"/> <input type="checkbox"/> <input type="checkbox"/>		4.20
8.17	SOPs for labeling, quarantine, and storage conditions of all incoming goods available?	<input type="checkbox"/> <input type="checkbox"/> <input type="checkbox"/>		4.21
SOPs include				
8.18	• authorized sampling personnel?	<input type="checkbox"/> <input type="checkbox"/> <input type="checkbox"/>		4.22
8.19	• methods, equipment, and quantities?	<input type="checkbox"/> <input type="checkbox"/> <input type="checkbox"/>		4.22
8.20	• safety measures?	<input type="checkbox"/> <input type="checkbox"/> <input type="checkbox"/>		4.22
Master Formulae				
8.21	Are master formulae for each product and batch size available?	<input type="checkbox"/> <input type="checkbox"/> <input type="checkbox"/>		4.3
8.22	Is the master formula approved and signed by the authorized persons?	<input type="checkbox"/> <input type="checkbox"/> <input type="checkbox"/>		4.3
The master formula includes				
8.23	• Product name and code?	<input type="checkbox"/> <input type="checkbox"/> <input type="checkbox"/>		4.14a
8.24	• Description of galenical form, dosage, and batch size?	<input type="checkbox"/> <input type="checkbox"/> <input type="checkbox"/>		4.14b
8.25	• All active ingredients with name, code, and weight?	<input type="checkbox"/> <input type="checkbox"/> <input type="checkbox"/>		4.14c
8.26	• All excipients used during manufacture with name, code, and weight?	<input type="checkbox"/> <input type="checkbox"/> <input type="checkbox"/>		4.14c
8.27	• Yields with limits?	<input type="checkbox"/> <input type="checkbox"/> <input type="checkbox"/>		4.14d
Does the working procedure include				
8.28	• The production line?	<input type="checkbox"/> <input type="checkbox"/> <input type="checkbox"/>		4.15a
8.29	• Equipment to be used?	<input type="checkbox"/> <input type="checkbox"/> <input type="checkbox"/>		4.15a
8.30	• Reference to methods for cleaning, assembling, and calibration of machines?	<input type="checkbox"/> <input type="checkbox"/> <input type="checkbox"/>		4.15b
8.31	• Detailed stepwise manufacturing prescription?	<input type="checkbox"/> <input type="checkbox"/> <input type="checkbox"/>		4.15c
8.32	• IPCs to be performed with limits?	<input type="checkbox"/> <input type="checkbox"/> <input type="checkbox"/>		4.15d
8.33	• Precautions to be followed?	<input type="checkbox"/> <input type="checkbox"/> <input type="checkbox"/>		4.15e
8.34	Are batch records kept for each batch processed?	<input type="checkbox"/> <input type="checkbox"/> <input type="checkbox"/>		4.17
Do batch records include				
8.35	• Protocol of line clearance?	<input type="checkbox"/> <input type="checkbox"/> <input type="checkbox"/>		4.17
8.36	• Name of the product and batch no.?	<input type="checkbox"/> <input type="checkbox"/> <input type="checkbox"/>		4.17a
8.37	• Date and time of start and end of production?	<input type="checkbox"/> <input type="checkbox"/> <input type="checkbox"/>		4.17b
8.38	• Name and initials of responsible workers for each step?	<input type="checkbox"/> <input type="checkbox"/> <input type="checkbox"/>		4.17c, d
8.39	• Batch and analytical no. and actual weight of all starting materials?	<input type="checkbox"/> <input type="checkbox"/> <input type="checkbox"/>		4.17e
8.40	• Equipment used?	<input type="checkbox"/> <input type="checkbox"/> <input type="checkbox"/>		4.17f
8.41	• Results of IPCs with initials of person who carries them out?	<input type="checkbox"/> <input type="checkbox"/> <input type="checkbox"/>		4.17g
8.42	• Yields of the relevant manufacturing steps?	<input type="checkbox"/> <input type="checkbox"/> <input type="checkbox"/>		4.17h
8.43	• Detailed notes on problems and process deviations?	<input type="checkbox"/> <input type="checkbox"/> <input type="checkbox"/>		4.17i
8.44	Records on reprocessing of batches?	<input type="checkbox"/> <input type="checkbox"/> <input type="checkbox"/>		
Packaging Instructions				
8.45	Packaging instructions for each product, package size, and presentation?	<input type="checkbox"/> <input type="checkbox"/> <input type="checkbox"/>		4.16
Do they include				
8.46	• Product name?	<input type="checkbox"/> <input type="checkbox"/> <input type="checkbox"/>		4.16a

(Continued)

		Compliance 1 2 3 ^a	Remarks	EU-Guide
8.47	• Description of galenical form and strength?	<input type="checkbox"/> <input type="checkbox"/> <input type="checkbox"/>		4.16b
8.48	• Package size?	<input type="checkbox"/> <input type="checkbox"/> <input type="checkbox"/>		4.17c
8.49	• List of all packaging materials with code for a standard batch size?	<input type="checkbox"/> <input type="checkbox"/> <input type="checkbox"/>		4.17d
8.50	• Samples of printed packaging materials?	<input type="checkbox"/> <input type="checkbox"/> <input type="checkbox"/>		4.17e
8.51	• Special precautions?	<input type="checkbox"/> <input type="checkbox"/> <input type="checkbox"/>		4.17f
8.52	• Description of the process and equipment?	<input type="checkbox"/> <input type="checkbox"/> <input type="checkbox"/>		4.17g
8.53	• IPCs to be performed with sampling instruction?	<input type="checkbox"/> <input type="checkbox"/> <input type="checkbox"/>		4.17h
8.54	Are packaging batch records kept for each batch or part batch? Do the packaging batch records include	<input type="checkbox"/> <input type="checkbox"/> <input type="checkbox"/>		4.18
8.55	• Protocol of line clearance?	<input type="checkbox"/> <input type="checkbox"/> <input type="checkbox"/>		4.18
8.56	• Name of the product?	<input type="checkbox"/> <input type="checkbox"/> <input type="checkbox"/>		4.18a
8.57	• Date and time when operations have been performed?	<input type="checkbox"/> <input type="checkbox"/> <input type="checkbox"/>		4.18b
8.58	• Name of the responsible person?	<input type="checkbox"/> <input type="checkbox"/> <input type="checkbox"/>		4.18c
8.59	• Initials of workers carrying out operations?	<input type="checkbox"/> <input type="checkbox"/> <input type="checkbox"/>		4.18d
8.60	• Notes on identity checks and conformity with packaging instructions?	<input type="checkbox"/> <input type="checkbox"/> <input type="checkbox"/>		4.18e
8.61	• Results of IPCs?	<input type="checkbox"/> <input type="checkbox"/> <input type="checkbox"/>		4.18e
8.62	• Details of operations and equipment used?	<input type="checkbox"/> <input type="checkbox"/> <input type="checkbox"/>		4.18f
8.63	• Samples of printed packaging materials with codes (MFD, EXP, batch no., etc.)?	<input type="checkbox"/> <input type="checkbox"/> <input type="checkbox"/>		4.18g
8.64	• Record of problems and process deviations?	<input type="checkbox"/> <input type="checkbox"/> <input type="checkbox"/>		4.18h
8.65	• Quantities of packaging materials delivered, used, destroyed, or returned?	<input type="checkbox"/> <input type="checkbox"/> <input type="checkbox"/>		4.18i
8.66	• No. of packs consumed?	<input type="checkbox"/> <input type="checkbox"/> <input type="checkbox"/>		4.18j
	Testing			
	Do the written testing procedures include			
8.67	• Test methods?	<input type="checkbox"/> <input type="checkbox"/> <input type="checkbox"/>		4.23
8.68	• Equipment for testing?	<input type="checkbox"/> <input type="checkbox"/> <input type="checkbox"/>		4.23
8.69	Tests documented?	<input type="checkbox"/> <input type="checkbox"/> <input type="checkbox"/>		4.23
	Others			
8.70	Procedures for release and rejection of materials and finished products?	<input type="checkbox"/> <input type="checkbox"/> <input type="checkbox"/>		4.24
8.71	Final release by authorized person?	<input type="checkbox"/> <input type="checkbox"/> <input type="checkbox"/>		4.24
8.72	Records about distribution of each batch?	<input type="checkbox"/> <input type="checkbox"/> <input type="checkbox"/>		4.25
	Procedures and protocols about			
8.73	• Validation?	<input type="checkbox"/> <input type="checkbox"/> <input type="checkbox"/>		4.26
8.74	• Setup and calibration of equipment?	<input type="checkbox"/> <input type="checkbox"/> <input type="checkbox"/>		4.26
8.75	• Maintenance, cleaning, and disinfection?	<input type="checkbox"/> <input type="checkbox"/> <input type="checkbox"/>		4.26
8.76	• Training records?	<input type="checkbox"/> <input type="checkbox"/> <input type="checkbox"/>		4.26
8.77	• Environmental monitoring of production areas?	<input type="checkbox"/> <input type="checkbox"/> <input type="checkbox"/>		4.26
8.78	• Pest control?	<input type="checkbox"/> <input type="checkbox"/> <input type="checkbox"/>		4.26
8.79	• Complaints?	<input type="checkbox"/> <input type="checkbox"/> <input type="checkbox"/>		4.26
8.80	• Recalls?	<input type="checkbox"/> <input type="checkbox"/> <input type="checkbox"/>		4.26
8.81	• Returned goods?	<input type="checkbox"/> <input type="checkbox"/> <input type="checkbox"/>		4.26
8.82	Instructions for use of manufacturing and testing equipment? Log books for major equipment including date and name of persons who performed	<input type="checkbox"/> <input type="checkbox"/> <input type="checkbox"/>		4.27
8.83	• Validation?	<input type="checkbox"/> <input type="checkbox"/> <input type="checkbox"/>		4.28
8.84	• Calibration?	<input type="checkbox"/> <input type="checkbox"/> <input type="checkbox"/>		4.28
8.85	• Maintenance, cleaning, and repair works?	<input type="checkbox"/> <input type="checkbox"/> <input type="checkbox"/>		4.28
8.86	Chronological records of use of major equipment and manufacturing areas?	<input type="checkbox"/> <input type="checkbox"/> <input type="checkbox"/>		4.29
9	QUALITY CONTROL			6
	General Requirements			
9.1	Independent QC department available?	<input type="checkbox"/> <input type="checkbox"/> <input type="checkbox"/>		6.1
9.2	Head of QC well qualified and sufficiently experienced?	<input type="checkbox"/> <input type="checkbox"/> <input type="checkbox"/>		6.1

(Continued)

		Compliance 1 2 3 ^a	Remarks	EU-Guide
9.3	Qualified personnel available?	<input type="checkbox"/> <input type="checkbox"/> <input type="checkbox"/>		2.1
9.4	Organization charts available?	<input type="checkbox"/> <input type="checkbox"/> <input type="checkbox"/>		2.2
9.5	Job descriptions available?	<input type="checkbox"/> <input type="checkbox"/> <input type="checkbox"/>		2.2
9.6	Responsibilities clearly defined?	<input type="checkbox"/> <input type="checkbox"/> <input type="checkbox"/>		2.2
9.7	Continuous training programs for QC staff?	<input type="checkbox"/> <input type="checkbox"/> <input type="checkbox"/>		2.2
9.8	Initial job training for all employees?	<input type="checkbox"/> <input type="checkbox"/> <input type="checkbox"/>		2.9
9.9	Training records?	<input type="checkbox"/> <input type="checkbox"/> <input type="checkbox"/>		
9.10	QC personnel admitted to the production rooms for sampling, etc.?	<input type="checkbox"/> <input type="checkbox"/> <input type="checkbox"/>		
	QC Laboratories			
9.11	Suitable for the intended use?	<input type="checkbox"/> <input type="checkbox"/> <input type="checkbox"/>		3.26
9.12	Laboratories of adequate size?	<input type="checkbox"/> <input type="checkbox"/> <input type="checkbox"/>		3.26
9.13	Appropriate level of maintenance?	<input type="checkbox"/> <input type="checkbox"/> <input type="checkbox"/>		3.1
9.14	Adequate separation from the production area?	<input type="checkbox"/> <input type="checkbox"/> <input type="checkbox"/>		3.26
9.15	Controlled access of authorized personnel only?	<input type="checkbox"/> <input type="checkbox"/> <input type="checkbox"/>		3.5
9.16	Special laboratory to handle biological samples available?	<input type="checkbox"/> <input type="checkbox"/> <input type="checkbox"/>		3.29
9.17	Special laboratory to handle radioactive material available?	<input type="checkbox"/> <input type="checkbox"/> <input type="checkbox"/>		3.29
9.18	Separate recreation rooms for the personnel available?	<input type="checkbox"/> <input type="checkbox"/> <input type="checkbox"/>		3.30
9.19	Animal laboratories present?	<input type="checkbox"/> <input type="checkbox"/> <input type="checkbox"/>		3.33
9.20	Animal laboratories separated from other areas?	<input type="checkbox"/> <input type="checkbox"/> <input type="checkbox"/>		3.33
9.21	Animal laboratories equipped with a separate air-handling system?	<input type="checkbox"/> <input type="checkbox"/> <input type="checkbox"/>		3.33
	QC Documentation			
9.22	Do procedures exist for			
	• Self inspection?	<input type="checkbox"/> <input type="checkbox"/> <input type="checkbox"/>		
	• Release or rejection of products or raw material?	<input type="checkbox"/> <input type="checkbox"/> <input type="checkbox"/>		
	• Product complaints?	<input type="checkbox"/> <input type="checkbox"/> <input type="checkbox"/>		
	• Product recalls?	<input type="checkbox"/> <input type="checkbox"/> <input type="checkbox"/>		
	• Local stability testing?	<input type="checkbox"/> <input type="checkbox"/> <input type="checkbox"/>		
	• Storage of reference samples?	<input type="checkbox"/> <input type="checkbox"/> <input type="checkbox"/>		
	• Validation of analytical procedures?	<input type="checkbox"/> <input type="checkbox"/> <input type="checkbox"/>		
9.23	Specifications available for	<input type="checkbox"/> <input type="checkbox"/> <input type="checkbox"/>		6.7
	• Raw materials?	<input type="checkbox"/> <input type="checkbox"/> <input type="checkbox"/>		
	• Bulk products?	<input type="checkbox"/> <input type="checkbox"/> <input type="checkbox"/>		
	• Packaging materials?	<input type="checkbox"/> <input type="checkbox"/> <input type="checkbox"/>		
9.24	Analytical procedures for every product?	<input type="checkbox"/> <input type="checkbox"/> <input type="checkbox"/>		
9.25	Are Basel methods followed?	<input type="checkbox"/> <input type="checkbox"/> <input type="checkbox"/>		
9.26	Validation of locally developed test methods?	<input type="checkbox"/> <input type="checkbox"/> <input type="checkbox"/>		
9.27	Sampling procedures available for			6.7
	• Raw materials?	<input type="checkbox"/> <input type="checkbox"/> <input type="checkbox"/>		
	• Bulk products?	<input type="checkbox"/> <input type="checkbox"/> <input type="checkbox"/>		
	• Packaging materials?	<input type="checkbox"/> <input type="checkbox"/> <input type="checkbox"/>		
9.28	Suppliers' certificates available?	<input type="checkbox"/> <input type="checkbox"/> <input type="checkbox"/>		6.7
9.29	Calibration program for analytical instruments installed?	<input type="checkbox"/> <input type="checkbox"/> <input type="checkbox"/>		6.7
9.30	Maintenance program for analytical instruments?	<input type="checkbox"/> <input type="checkbox"/> <input type="checkbox"/>		6.7
9.31	Retention system for QC records?	<input type="checkbox"/> <input type="checkbox"/> <input type="checkbox"/>		6.8
9.32	Batch documents stored for expiry + 1 year or 5 years (EEC 75/319, article 22) minimum?	<input type="checkbox"/> <input type="checkbox"/> <input type="checkbox"/>		6.8
9.33	Are original data such as notebooks stored in addition to the batch documents?	<input type="checkbox"/> <input type="checkbox"/> <input type="checkbox"/>		6.10
9.34	Can the original data be traced back easily and quickly from the analytical report number or batch number?	<input type="checkbox"/> <input type="checkbox"/> <input type="checkbox"/>		6.10

(Continued)

		Compliance 1 2 3 ^a	Remarks	EU-Guide
9.35	Are trend analyses being performed for			6.9
	• Analytical results?	<input type="checkbox"/> <input type="checkbox"/> <input type="checkbox"/>		
	• Yields?	<input type="checkbox"/> <input type="checkbox"/> <input type="checkbox"/>		
	• Environmental monitoring data?	<input type="checkbox"/> <input type="checkbox"/> <input type="checkbox"/>		
	Sampling			
9.36	Written procedures for taking samples?	<input type="checkbox"/> <input type="checkbox"/> <input type="checkbox"/>		6.11
9.37	Do procedures define			
	• Method of sampling?	<input type="checkbox"/> <input type="checkbox"/> <input type="checkbox"/>		
	• Necessary equipment?	<input type="checkbox"/> <input type="checkbox"/> <input type="checkbox"/>		
	• Quantity of the sample?	<input type="checkbox"/> <input type="checkbox"/> <input type="checkbox"/>		
	• Subdivision of the sample?	<input type="checkbox"/> <input type="checkbox"/> <input type="checkbox"/>		
	• Sample container?	<input type="checkbox"/> <input type="checkbox"/> <input type="checkbox"/>		
	• Labeling of samples?	<input type="checkbox"/> <input type="checkbox"/> <input type="checkbox"/>		
	• Storage conditions?	<input type="checkbox"/> <input type="checkbox"/> <input type="checkbox"/>		
	• Cleaning and storage of sampling equipment?	<input type="checkbox"/> <input type="checkbox"/> <input type="checkbox"/>		
	• Identification of containers sampled?	<input type="checkbox"/> <input type="checkbox"/> <input type="checkbox"/>		
9.38	Are samples representative of the batch they are taken from (sampling plan)?	<input type="checkbox"/> <input type="checkbox"/> <input type="checkbox"/>		6.12
9.39	Are critical steps being surveilled and validated by additional sampling (e.g., at the beginning or end of a process)?	<input type="checkbox"/> <input type="checkbox"/> <input type="checkbox"/>		6.12
9.40	Sample containers labeled with			6.13
	• Name of the content?	<input type="checkbox"/> <input type="checkbox"/> <input type="checkbox"/>		
	• Batch number?	<input type="checkbox"/> <input type="checkbox"/> <input type="checkbox"/>		
	• Date of sampling?	<input type="checkbox"/> <input type="checkbox"/> <input type="checkbox"/>		
	• Batch containers sampled?	<input type="checkbox"/> <input type="checkbox"/> <input type="checkbox"/>		
9.41	Are samples taken by QC/QA?	<input type="checkbox"/> <input type="checkbox"/> <input type="checkbox"/>		
9.42	Reference samples retained for validity +1 year?	<input type="checkbox"/> <input type="checkbox"/> <input type="checkbox"/>		6.14
9.43	Storage of reference samples under the recommended storage conditions?	<input type="checkbox"/> <input type="checkbox"/> <input type="checkbox"/>		6.14
9.44	Finished products stored in the final packaging?	<input type="checkbox"/> <input type="checkbox"/> <input type="checkbox"/>		6.14
9.45	Quantity of the reference sample makes one (better two) complete reanalysis possible?	<input type="checkbox"/> <input type="checkbox"/> <input type="checkbox"/>		6.14
9.46	Sample room secure?	Yes No		6.14
9.47	Sample room neatly organized and not overcrowded?	Yes No		6.14
	Testing			
9.48	Are the applied analytical methods validated?	<input type="checkbox"/> <input type="checkbox"/> <input type="checkbox"/>		6.15
9.49	Analytical methods in compliance with the registration?	<input type="checkbox"/> <input type="checkbox"/> <input type="checkbox"/>		6.16
9.50	Are all results recorded and checked for correctness?	<input type="checkbox"/> <input type="checkbox"/> <input type="checkbox"/>		6.16
9.51	Are all calculations checked?	<input type="checkbox"/> <input type="checkbox"/> <input type="checkbox"/>		6.16
9.52	Do the testing protocols contain			6.17
	• Name and galenical form of material?	<input type="checkbox"/> <input type="checkbox"/> <input type="checkbox"/>		
	• Batch number?	<input type="checkbox"/> <input type="checkbox"/> <input type="checkbox"/>		
	• Supplier if applicable?	<input type="checkbox"/> <input type="checkbox"/> <input type="checkbox"/>		
	• Specification reference?	<input type="checkbox"/> <input type="checkbox"/> <input type="checkbox"/>		
	• Method reference?	<input type="checkbox"/> <input type="checkbox"/> <input type="checkbox"/>		
	• Analytical results?	<input type="checkbox"/> <input type="checkbox"/> <input type="checkbox"/>		
	• Reference to analytical certificates?	<input type="checkbox"/> <input type="checkbox"/> <input type="checkbox"/>		
	• Date of the analysis?	<input type="checkbox"/> <input type="checkbox"/> <input type="checkbox"/>		
	• Name of the analyst?	<input type="checkbox"/> <input type="checkbox"/> <input type="checkbox"/>		
	• Name of the person verifying the data?	<input type="checkbox"/> <input type="checkbox"/> <input type="checkbox"/>		
	• Statement of release or rejection?	<input type="checkbox"/> <input type="checkbox"/> <input type="checkbox"/>		
	• Date and signature of the release person?	<input type="checkbox"/> <input type="checkbox"/> <input type="checkbox"/>		
9.53	Are all IPC methods in production approved by QC?	<input type="checkbox"/> <input type="checkbox"/> <input type="checkbox"/>		6.18
9.54	Are written methods available for the preparation of reagents and volumetric solutions?	<input type="checkbox"/> <input type="checkbox"/> <input type="checkbox"/>		6.19
9.55	Is a record maintained of standardization of volumetric solutions?	<input type="checkbox"/> <input type="checkbox"/> <input type="checkbox"/>		6.2

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		Compliance 1 2 3 ^a	Remarks	EU-Guide
9.56	Are reagents for prolonged use labeled with			6.20
	• Date of the preparation?	<input type="checkbox"/> <input type="checkbox"/> <input type="checkbox"/>		
	• Signature of the preparator?	<input type="checkbox"/> <input type="checkbox"/> <input type="checkbox"/>		
9.57	Are unstable reagents labeled with			6.20
	• Expiry date?	<input type="checkbox"/> <input type="checkbox"/> <input type="checkbox"/>		
	• Storage conditions?	<input type="checkbox"/> <input type="checkbox"/> <input type="checkbox"/>		
9.58	Are volumetric solutions labeled with			6.20
	• The last date of standardization?	<input type="checkbox"/> <input type="checkbox"/> <input type="checkbox"/>		
	• Last current factor?	<input type="checkbox"/> <input type="checkbox"/> <input type="checkbox"/>		
9.59	Are reference standards labeled with			6.21
	• Name and potency?	<input type="checkbox"/> <input type="checkbox"/> <input type="checkbox"/>		
	• Supplier's reference?	<input type="checkbox"/> <input type="checkbox"/> <input type="checkbox"/>		
	• Date of receipt?	<input type="checkbox"/> <input type="checkbox"/> <input type="checkbox"/>		
	• Date of expiry?	<input type="checkbox"/> <input type="checkbox"/> <input type="checkbox"/>		
9.60	Are reference standards stored properly and under the control of a designated person?	<input type="checkbox"/> <input type="checkbox"/> <input type="checkbox"/>		
9.61	Are animals used for testing of components, materials, or products			
	• Quarantined before use?	<input type="checkbox"/> <input type="checkbox"/> <input type="checkbox"/>		
	• Checked for suitability?	<input type="checkbox"/> <input type="checkbox"/> <input type="checkbox"/>		
	• Are records maintained showing the history of their use?	<input type="checkbox"/> <input type="checkbox"/> <input type="checkbox"/>		
10	COMPLAINTS AND PRODUCT RECALLS			8
	Complaints			
10.1	Does a written complaint procedure exist?	<input type="checkbox"/> <input type="checkbox"/> <input type="checkbox"/>		8.1
10.2	Are product complaints carefully reviewed?	<input type="checkbox"/> <input type="checkbox"/> <input type="checkbox"/>		8.2
10.3	Is a person designated to handle complaints and to decide on measures to be taken?	<input type="checkbox"/> <input type="checkbox"/> <input type="checkbox"/>		8.1
10.4	Is each complaint concerning a product recorded with all original details?	<input type="checkbox"/> <input type="checkbox"/> <input type="checkbox"/>		8.3
10.5	Are product complaints thoroughly investigated?	<input type="checkbox"/> <input type="checkbox"/> <input type="checkbox"/>		8.3
10.6	Is a responsible QC person involved in the study?	<input type="checkbox"/> <input type="checkbox"/> <input type="checkbox"/>		8.3
10.7	Is it considered that other batches might be concerned as well?	<input type="checkbox"/> <input type="checkbox"/> <input type="checkbox"/>		8.4
10.8	Are decisions and measures as a result recorded?	<input type="checkbox"/> <input type="checkbox"/> <input type="checkbox"/>		8.5
10.9	Is this record added to the corresponding batch documents?	<input type="checkbox"/> <input type="checkbox"/> <input type="checkbox"/>		8.5
10.10	Are the complaint records regularly revised with respect to specific or recurring problems?	<input type="checkbox"/> <input type="checkbox"/> <input type="checkbox"/>		8.6
10.11	Are the authorities informed of serious quality problems with a product?	<input type="checkbox"/> <input type="checkbox"/> <input type="checkbox"/>		8.7
	Recalls			8.8
10.12	Does a written recall procedure exist?	<input type="checkbox"/> <input type="checkbox"/> <input type="checkbox"/>		8.9
10.13	Is a person nominated responsible for the execution and coordination of a recall?	<input type="checkbox"/> <input type="checkbox"/> <input type="checkbox"/>		8.8
10.14	Is the responsible person independent of the marketing and sales organization?	<input type="checkbox"/> <input type="checkbox"/> <input type="checkbox"/>		8.8
10.15	Are the competent authorities informed of an imminent recall?	<input type="checkbox"/> <input type="checkbox"/> <input type="checkbox"/>		8.11
10.16	Does the person responsible for a recall have access to the distribution records?	<input type="checkbox"/> <input type="checkbox"/> <input type="checkbox"/>		8.12
10.17	Do the distribution records contain sufficient information on customers with	<input type="checkbox"/> <input type="checkbox"/> <input type="checkbox"/>		8.12
	• Addresses?			
	• Phone numbers inside or outside working hours?	<input type="checkbox"/> <input type="checkbox"/> <input type="checkbox"/>		
	• Batches and amounts delivered?	<input type="checkbox"/> <input type="checkbox"/> <input type="checkbox"/>		
	• Medical samples?	<input type="checkbox"/> <input type="checkbox"/> <input type="checkbox"/>		
10.18	Are recalled products stored separately in a secure area?	<input type="checkbox"/> <input type="checkbox"/> <input type="checkbox"/>		8.13
10.19	Is a final record made, including a reconciliation between the delivered and recovered quantities?	<input type="checkbox"/> <input type="checkbox"/> <input type="checkbox"/>		8.14

(Continued)

		Compliance 1 2 3 ^a	Remarks	EU-Guide
10.20	Is the effectiveness of the arrangements for recalls checked critically from time to time?	<input type="checkbox"/> <input type="checkbox"/> <input type="checkbox"/>		8.15
11	SELF-INSPECTION			9
11.1	Does a self-inspection procedure exist that defines frequency and program?	<input type="checkbox"/> <input type="checkbox"/> <input type="checkbox"/>		9.1
11.2	Are self-inspections carried out to check compliance with GMP rules?	<input type="checkbox"/> <input type="checkbox"/> <input type="checkbox"/>		9.1
11.3	Are self-inspections conducted in an independent and detailed way? by designated competent persons from the company or external experts?	<input type="checkbox"/> <input type="checkbox"/> <input type="checkbox"/> <input type="checkbox"/> <input type="checkbox"/> <input type="checkbox"/>		9.2
11.4	Are self-inspections recorded?	<input type="checkbox"/> <input type="checkbox"/> <input type="checkbox"/>		9.3
11.5	Do reports contain <ul style="list-style-type: none"> The observations made during a self-inspection? Proposals for corrective measures? 	<input type="checkbox"/> <input type="checkbox"/> <input type="checkbox"/> <input type="checkbox"/> <input type="checkbox"/> <input type="checkbox"/>		9.3
11.6	Are actions subsequently taken recorded?	<input type="checkbox"/> <input type="checkbox"/> <input type="checkbox"/>		9.3
12	CONTRACT MANUFACTURE AND ANALYSIS			7
12.1	Is a written contract between contract giver and contract acceptor available?	<input type="checkbox"/> <input type="checkbox"/> <input type="checkbox"/>		7.1
12.2	Are responsibilities and duties clearly defined?	<input type="checkbox"/> <input type="checkbox"/> <input type="checkbox"/>		7
12.3	Are all arrangements in accordance with the marketing authorization of the product concerned?	<input type="checkbox"/> <input type="checkbox"/> <input type="checkbox"/>		7.2
	The Contract Giver			
12.4	Competence of the acceptor to carry out the work successfully and according to GMP assessed?	<input type="checkbox"/> <input type="checkbox"/> <input type="checkbox"/>		7.3
12.5	Acceptor provided with all the information necessary to carry out the contract work?	<input type="checkbox"/> <input type="checkbox"/> <input type="checkbox"/>		7.4
12.6	Acceptor informed of safety aspects?	<input type="checkbox"/> <input type="checkbox"/> <input type="checkbox"/>		7.4
12.7	Conformance of products supplied by the acceptor ensured?	<input type="checkbox"/> <input type="checkbox"/> <input type="checkbox"/>		7.5
12.8	Product released by a qualified person on the acceptor's side?	<input type="checkbox"/> <input type="checkbox"/> <input type="checkbox"/>		7.5
	The Contract Acceptor			
12.9	Does the acceptor have <ul style="list-style-type: none"> Adequate premises and equipment? Knowledge and experience? Competent personnel? A manufacturing authorization? 	<input type="checkbox"/> <input type="checkbox"/> <input type="checkbox"/> <input type="checkbox"/> <input type="checkbox"/> <input type="checkbox"/> <input type="checkbox"/> <input type="checkbox"/> <input type="checkbox"/> <input type="checkbox"/> <input type="checkbox"/> <input type="checkbox"/>		7.6
12.10	Does the acceptor ensure that all products or materials delivered to him or her are suitable?	<input type="checkbox"/> <input type="checkbox"/> <input type="checkbox"/>		7.7
12.11	There must be no work passed to a third party without the permission of the giver.	<input type="checkbox"/> <input type="checkbox"/> <input type="checkbox"/>		7.8
12.12	If a third party is involved, it must have the necessary manufacturing and analytical information.	<input type="checkbox"/> <input type="checkbox"/> <input type="checkbox"/>		7.8
	The Contract			
12.13	Does the written contract specify the responsibilities?	<input type="checkbox"/> <input type="checkbox"/> <input type="checkbox"/>		7.10
12.14	Have technical aspects been drawn up by competent persons?	<input type="checkbox"/> <input type="checkbox"/> <input type="checkbox"/>		7.10
12.15	Release of material and check for compliance with the marketing authorization defined?	<input type="checkbox"/> <input type="checkbox"/> <input type="checkbox"/>		7.11
12.16	Is it defined who is responsible for <ul style="list-style-type: none"> Purchasing of materials? IPC controls? Testing and release of materials? Manufacturing and quality control? Sampling? Storage of batch documentation? 	<input type="checkbox"/> <input type="checkbox"/> <input type="checkbox"/> <input type="checkbox"/> <input type="checkbox"/> <input type="checkbox"/> <input type="checkbox"/> <input type="checkbox"/> <input type="checkbox"/> <input type="checkbox"/> <input type="checkbox"/> <input type="checkbox"/> <input type="checkbox"/> <input type="checkbox"/> <input type="checkbox"/> <input type="checkbox"/> <input type="checkbox"/> <input type="checkbox"/>		7.12
12.17	Are manufacturing, analytical, and distribution records available to the contract giver?	<input type="checkbox"/> <input type="checkbox"/> <input type="checkbox"/>		7.13

(Continued)

		Compliance 1 2 3 ^a	Remarks	EU-Guide
12.18	Does the contract permit the giver to visit the facilities of the acceptor?	<input type="checkbox"/> <input type="checkbox"/> <input type="checkbox"/>		7.14
12.19	In the case of contract analysis: Does the contract acceptor understand that he or she is subject to inspection by the competent authorities?	<input type="checkbox"/> <input type="checkbox"/> <input type="checkbox"/>		7.15
13	AUDIT OF SUPPLIERS			2.7
13.1	Supplier audits performed for	<input type="checkbox"/> <input type="checkbox"/> <input type="checkbox"/>		
	• Excipients?	<input type="checkbox"/> <input type="checkbox"/> <input type="checkbox"/>		
	• Active substances?	<input type="checkbox"/> <input type="checkbox"/> <input type="checkbox"/>		
	• Packaging material?	<input type="checkbox"/> <input type="checkbox"/> <input type="checkbox"/>		

^a 1. Fulfilled or available; 2. partially fulfilled; 3. not fulfilled or not available.

GLOSSARY

Acceptance Criteria: Numerical limits, ranges, or other suitable measures for acceptance of test results.

Active Pharmaceutical Ingredient (API) (or Drug Substance): Any substance or mixture of substances intended to be used in the manufacture of a drug (medicinal) product and that, when used in the production of a drug, becomes an active ingredient of the drug product. Such substances are intended to furnish pharmacological activity or other direct effect in the diagnosis, cure, mitigation, treatment, or prevention of disease or to affect the structure and function of the body.

Air Lock: An enclosed space with two or more doors, which is interposed between two or more rooms, for example, of differing classes of cleanliness, for the purpose of controlling the airflow between those rooms when they need to be entered. An air lock is designed for use either by people or for goods and/or equipment.

API Starting Material: A raw material, intermediate, or API that is used in the production of an API and that is incorporated as a significant structural fragment into the structure of the API. An API Starting Material can be an article of commerce, a material purchased from one or more suppliers under contract or commercial agreement, or produced in house. API Starting Materials are normally of defined chemical properties and structure.

Authorized Person: The person recognized by the national regulatory authority as having the responsibility for ensuring that each batch of finished product has been manufactured, tested, and approved for release in compliance with the laws and regulations in force in that country.

Batch (or Lot): A specific quantity of material produced in a process or series of processes so that it is expected to be homogeneous within specified limits. In the case of continuous production, a batch may correspond to a defined fraction of the production. The batch size

can be defined either by a fixed quantity or by the amount produced in a fixed time interval.

Batch Number (or Lot Number): A unique combination of numbers, letters, and/or symbols that identifies a batch (or lot) and from which the production and distribution history can be determined. .

Batch Records: All documents associated with the manufacture of a batch of bulk product or finished product. They provide a history of each batch of product and of all circumstances pertinent to the quality of the final product.

Bioburden: The level and type (e.g., objectionable or not) of microorganisms that can be present in raw materials, API starting materials, intermediates, or APIs. Bioburden should not be considered contamination unless the levels have been exceeded or defined objectionable organisms have been detected.

Bulk Product: Any product that has completed all processing stages up to, but not including, final packaging.

Calibration: The demonstration that a particular instrument or device produces results within specified limits by comparison with those produced by a reference or traceable standard over an appropriate range of measurements. The set of operations that establish, under specified conditions, the relationship between values indicated by an instrument or system for measuring (especially weighing), recording, and controlling, or the values represented by a material measure, and the corresponding known values of a reference standard. Limits for acceptance of the results of measuring should be established.

Clean Area: An area with defined environmental control of particulate and microbial contamination, constructed and used in such a way as to reduce the introduction, generation, and retention of contaminants within the area.

Computer System: A group of hardware components and associated software designed and assembled to perform a specific function or group of functions. A process or operation integrated with a computer system.

- Consignment (or Delivery):** The quantity of a pharmaceutical(s) made by one manufacturer and supplied at one time in response to a particular request or order. A consignment may comprise one or more packages or containers and may include material belonging to more than one batch.
- Contamination:** The undesired introduction of impurities of a chemical or microbiological nature, or of foreign matter, into or on to a starting material or intermediate during production, sampling, packaging or repackaging, and storage or transport.
- Contract Manufacturer:** A manufacturer performing some aspect of manufacturing on behalf of the original manufacturer.
- Critical:** Describes a process step, process condition, test requirement, or other relevant parameter or item that must be controlled within predetermined criteria to ensure that the API meets its specification.
- Critical Operation:** An operation in the manufacturing process that may cause variation in the quality of the pharmaceutical product.
- Cross-Contamination:** Contamination of a material or product with another material or product. Contamination of a starting material, intermediate product, or finished product with another starting material or product during production.
- Deviation:** Departure from an approved instruction or established standard.
- Drug (Medicinal) Product:** The dosage form in the final immediate packaging intended for marketing. (See ICH Guidance Q1A.)
- Drug Substance:** See Active Pharmaceutical Ingredient.
- Expiry Date (or Expiration Date):** The date placed on the container/labels of an API designating the time during which the API is expected to remain within established shelf-life specifications if stored under defined conditions, and after which it should not be used.
- Finished Product:** A finished dosage form that has undergone all stages of manufacture, including packaging in its final container and labeling.
- Impurity:** Any component present in the intermediate or API that is not the desired entity.
- Impurity Profile:** A description of the identified and unidentified impurities present in an API.
- In-Process Control:** Checks performed during production in order to monitor and if necessary, to adjust the process to ensure that the product conforms to its specifications. The control of the environment or equipment may also be regarded as a part of in-process control.
- Intermediate:** A material produced during steps of the processing of an API that undergoes further molecular change or purification before it becomes an API. Intermediates may or may not be isolated. Partly processed product that must undergo further manufacturing steps before it becomes a bulk product.
- Large-Volume Parenterals:** Sterile solutions intended for parenteral application with a volume of 100 mL or more in one container of the finished dosage form.
- Lot:** See Batch.
- Lot Number:** See Batch Number.
- Manufacture:** All operations of receipt of materials, production, packaging, repackaging, labeling, relabeling, quality control, release, storage, and distribution of APIs and related controls.
- Manufacturer:** A company that carries out operations such as production, packaging, repackaging, labeling, and relabeling of pharmaceuticals.
- Marketing Authorization (Product License, Registration Certificate):** A legal document issued by the competent drug regulatory authority that establishes the detailed composition and formulation of the product and the pharmacopoeial or other recognized specifications of its ingredients and of the final product itself, and includes details of packaging, labeling, and shelf life.
- Master Formula:** A document or set of documents specifying the starting materials with their quantities and the packaging materials, together with a description of the procedures and precautions required to produce a specified quantity of a finished product as well as the processing instructions, including the in-process controls.
- Master Record:** A document or set of documents that serve as a basis for the batch documentation (blank batch record).
- Material:** A general term used to denote raw materials (starting materials, reagents, and solvents), process aids, intermediates, APIs, and packaging and labeling materials.
- Mother Liquor:** The residual liquid that remains after the crystallization or isolation processes. A mother liquor may contain unreacted materials, intermediates, levels of the API, and/or impurities. It may be used for further processing.
- Packaging:** All operations, including filling and labeling, that a bulk product has to undergo in order to become a finished product. Filling of a sterile product under aseptic conditions, or a product intended to be terminally sterilized, would not normally be regarded as part of packaging.
- Packaging Material:** Any material intended to protect an intermediate or API during storage and transport. Any material, including printed material, employed in the packaging of a pharmaceutical, but excluding any outer packaging used for transportation or shipment. Packaging materials are referred to as primary or secondary according to whether or not they are intended to be in direct contact with the product.
- Pharmaceutical Product:** Any material or product intended for human or veterinary use presented in its finished dosage form, or as a starting material for use in such a dosage form, that is subject to control by

pharmaceutical legislation in the exporting state and/or the importing state.

Procedure: A documented description of the operations to be performed, the precautions to be taken, and measures to be applied directly or indirectly related to the manufacture of an intermediate or API.

Process Aids: Materials, excluding solvents, used as an aid in the manufacture of an intermediate or API that do not themselves participate in a chemical or biological reaction (e.g., filter aid, activated carbon, and so on).

Process Control: See In-Process Control.

Production: All operations involved in the preparation of a pharmaceutical product, from receipt of materials, through processing, packaging and repackaging, and labeling and relabeling, to completion of the finished product.

Qualification: Action of proving and documenting that equipment or ancillary systems are properly installed, work correctly, and actually lead to the expected results. Qualification is part of validation, but the individual qualification steps alone do not constitute process validation.

Quality Assurance (QA): The sum total of the organized arrangements made with the object of ensuring that all APIs are of the quality required for their intended use and that quality systems are maintained.

Quality Control (QC): Checking or testing that specifications are met.

Quality Unit(s): An organizational unit independent of production that fulfills both Quality Assurance and Quality Control responsibilities. This can be in the form of separate QA and QC units or a single individual or group, depending upon the size and structure of the organization.

Quarantine: The status of starting or packaging materials, intermediates, or bulk or finished products isolated physically or by other effective means while a decision is awaited on their release, rejection, or reprocessing.

Raw Material: A general term used to denote starting materials, reagents, and solvents intended for use in the production of intermediates or APIs.

Reconciliation: A comparison between the theoretical quantity and the actual quantity.

Recovery: The introduction of all or part of previous batches (or of redistilled solvents and similar products) of the required quality into another batch at a defined stage of manufacture. It includes the removal of impurities from waste to obtain a pure substance or the recovery of used materials for a separate use.

Reference Standard, Primary: A substance that has been shown by an extensive set of analytical tests to be authentic material that should be of high purity.

Reference Standard, Secondary: A substance of established quality and purity, as shown by comparison to a

primary reference standard, used as a reference standard for routine laboratory analysis.

Reprocessing: Subjecting all or part of a batch or lot of an in-process drug, bulk process intermediate (final biological bulk intermediate), or bulk product of a single batch/lot to a previous step in the validated manufacturing process due to failure to meet predetermined specifications. Reprocessing procedures are foreseen as occasionally necessary for biological drugs and in such cases, are validated and preapproved as part of the marketing authorization.

Retest Date: The date when a material should be reexamined to ensure that it is still suitable for use.

Reworking: Subjecting an in-process or bulk process intermediate (final biological bulk intermediate) or final product of a single batch to an alternate manufacturing process due to a failure to meet predetermined specifications. Reworking is an unexpected occurrence and is not preapproved as part of the marketing authorization.

Self-Contained Area: Premises that provide complete and total separation of all aspects of an operation, including personnel and equipment movement, with well-established procedures, controls, and monitoring. This includes physical barriers as well as separate air-handling systems but does not necessarily imply two distinct and separate buildings.

Signature (Signed): See definition for signed.

Signed (Signature): The record of the individual who performed a particular action or review. This record can be initials, a full handwritten signature, a personal seal, or an authenticated and secure electronic signature.

Solvent: An inorganic or organic liquid used as a vehicle for the preparation of solutions or suspensions in the manufacture of an intermediate or API.

Specification: A list of detailed requirements with which the products or materials used or obtained during manufacture have to conform. They serve as a basis for quality evaluation.

Standard Operating Procedure (SOP): An authorized written procedure giving instructions for performing operations not necessarily specific to a given product or material (e.g., equipment operation, maintenance, and cleaning; validation; cleaning of premises and environmental control; sampling and inspection). Certain SOPs may be used to supplement product-specific master and batch production documentation.

Starting Material: Any substance of a defined quality used in the production of a pharmaceutical product, but excluding packaging materials.

Validation: A documented program that provides a high degree of assurance that a specific process, method, or system will consistently produce a result meeting predetermined acceptance criteria. Action of proving, in accordance with the principles of GMP, that any procedure, process, equipment, material,

activity, or system actually leads to the expected results (see also Qualification).

Validation Protocol: A written plan stating how validation will be conducted and defining acceptance criteria. For example, the protocol for a manufacturing process identifies processing equipment, critical process parameters/operating ranges, product characteristics, sampling, test data to be collected, number of validation runs, and acceptable test results.

Yield, Expected: The quantity of material or the percentage of theoretical yield anticipated at any appropriate phase of production based on previous laboratory, pilot-scale, or manufacturing data.

Yield, Theoretical: The quantity that would be produced at any appropriate phase of production, based upon the quantity of material to be used, in the absence of any loss or error in actual production.

Appendix B

DISSOLUTION TESTING OF SEMISOLID DOSAGE FORMS

Drug Name	Dosage Form	USP Apparatus	Speed (RPMs)	Medium	Volume (mL)	Recommended Sampling Times (min)	Date Updated
Prochlorperazine	Suppository	I (Suppository, dissolution baskets, Palmieri type)	100	0.1 N HCl at 38°C	900	10, 20, 30, and 45	08/17/2006
Acetaminophen	Suppository	II (Paddle)	50	Phosphate buffer, pH 5	900	15, 30, 45, 60, and 90	08/17/2006
Mesalamine	Suppository	II (Paddle) with option to use a sinker	75 (for 500 mg) and 125 (for 1000 mg)	For 500-mg strength: 0.2 M phosphate buffer, pH 7.5 at 37°C; For 1000-mg strength: 0.2 M phosphate buffer, pH 7.5 at 40°C	900	30, 60, 90, 120, and 150	01/30/2006



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Appendix C

APPROVED INGREDIENTS

Ingredient	Route	Dosage FoRM	Quantity	Unit
1,2,6-HEXANETRIOL	TOPICAL		0.05	%W/W
1,2,6-HEXANETRIOL	TOPICAL	EMULSION, CREAM	7.5	%
1,3-DIMETHYLOL-5,5-DIMETHYL-HYDANTOIN	TOPICAL	LOTION	46.4	%
2-AMINO-2-METHYL-1-PROPANOL	TOPICAL	LOTION	0.3	%
2-AMINO-2-METHYL-1-PROPANOL	TOPICAL	EMULSION, CREAM	1	%
2-ETHYLHEXYL SALICYLATE	TRANSDERMAL	SPRAY	68.85	%
ACACIA	BUCCAL	UM	7.07	MG
ACACIA	BUCCAL	GUM, CHEWING	14	MG
ACESULFAME	BUCCAL	GUM, CHEWING	2.4	MG
ACESULFAME POTASSIUM			1.6	MG
ACESULFAME POTASSIUM			1.5	MG
ACESULFAME POTASSIUM			3	MG
ACESULFAME POTASSIUM	BUCCAL	GUM	2.35	MG
ACESULFAME POTASSIUM	BUCCAL	GUM, CHEWING	7.35	MG
ACETIC ACID			0.025	%
ACETONE	TOPICAL	LOTION	10	%
ACRYLATES COPOLYMER		EMULSION, SUSTAINED RELEASE	13.6	%W/W
ACRYLATES COPOLYMER			382.22	MG
ACRYLATES COPOLYMER	EMULSION, SUSTAINED RELEASE	TOPICAL	13.6	%W/W
ACRYLATES COPOLYMER	TOPICAL	GEL	10	%
ACRYLATES COPOLYMER	TOPICAL	EMULSION, CREAM	13.6	%
ACRYLATES COPOLYMER	TOPICAL	GEL	0.8	%W/W
ACRYLATES COPOLYMER	TRANSDERMAL	FILM, CONTROLLED RELEASE	382.22	MG
ACRYLIC ACID-ISOOCTYL ACRYLATE COPOLYMER			56.4	MG
ACRYLIC ACID/ISOOCTYLACRYLATE COPOLYMER	TRANSDERMAL	FILM, CONTROLLED RELEASE	24.5	MG
ACRYLIC ADHESIVE 788			10.17	MG
ACRYLIC ADHESIVE 788			20.08	MG
ADCOTE 72A103			16	MG
ADCOTE 72A103	TRANSDERMAL	PATCH, CONTROLLED RELEASE	3.99	MG
ADCOTE 72A103	TRANSDERMAL	FILM, CONTROLLED RELEASE	16	MG
ADHESIVE TAPE	TRANSDERMAL	FILM, CONTROLLED RELEASE	127.85	MG
AEROTEX RESIN 3730			1.9	MG
AEROTEX RESIN 3730	TRANSDERMAL	FILM, CONTROLLED RELEASE	1.9	MG
ALCOHOL			60.43	%W/W
ALCOHOL			358.7	MG
ALCOHOL	RECTAL	GEL	22.45	%
ALCOHOL	TOPICAL	LOTION	80.5	%
ALCOHOL	TOPICAL	GEL	84.95	%

(Continued)

Ingredient	Route	Dosage FoRM	Quantity	Unit
ALCOHOL	TOPICAL	GEL	94.78	%W/W
ALCOHOL	TRANSDERMAL	GEL	74.1	%
ALCOHOL	TRANSDERMAL	FILM, CONTROLLED RELEASE	358.7	MG
ALCOHOL	TRANSDERMAL	GEL	74.1	%
ALCOHOL	TRANSDERMAL	GEL	65.8	%W/W
ALCOHOL	TRANSDERMAL	GEL, METERED	73.5	%
ALCOHOL, DEHYDRATED	TOPICAL	LOTION	8.8	%
ALCOHOL, DEHYDRATED	TOPICAL	GEL	94.7808	%
ALCOHOL, DEHYDRATED	TRANSDERMAL	GEL	46.28	%
ALCOHOL, DEHYDRATED	TRANSDERMAL	FILM, CONTROLLED RELEASE	250	MG
ALCOHOL, DENATURED			1.8	%
ALCOHOL, DENATURED	TOPICAL	LOTION	25	%
ALCOHOL, DENATURED	TOPICAL	GEL	96.9385	%
ALCOHOL, DENATURED	TOPICAL	GEL	96.94	%W/W
ALGELDRATE			5	%W/W
ALLANTOIN			2	%
ALLANTOIN	TOPICAL	GEL	0.2	%
ALLANTOIN	TOPICAL	EMULSION, CREAM	1	%
ALLANTOIN	TOPICAL	GEL	0.2	%W/W
ALLANTOIN	VAGINAL	EMULSION, CREAM	2	%
ALMOND OIL	TOPICAL	EMULSION, CREAM	2	%
ALPHA-TERPINEOL	TOPICAL	LOTION	11	%
ALPHA-TOCOPHEROL	TOPICAL	OINTMENT	0.002	%
ALPHA-TOCOPHEROL	BUCCAL	FILM	0.07	MG
ALPHA-TOCOPHEROL ACETATE	BUCCAL	FILM	0.088	MG
ALPHA-TOCOPHEROL ACETATE, DL-			0.002	%W/W
ALUMINUM ACETATE			0.009	%W/W
ALUMINUM ACETATE	TOPICAL	EMULSION, CREAM	0.0001	%
ALUMINUM ACETATE	TOPICAL	LOTION	10	%
ALUMINUM DIACETATE	RECTAL	SUPPOSITORY	75	MG
ALUMINUM HYDROXIDE			0.25	%W/W
ALUMINUM HYDROXIDE			0.25	%W/W
ALUMINUM HYDROXIDE		TOPICAL	0.25	%W/W
ALUMINUM HYDROXIDE		TOPICAL	0.25	%W/W
ALUMINUM HYDROXIDE			5	%W/W
ALUMINUM HYDROXIDE	TOPICAL	EMULSION, CREAM	5	%
ALUMINUM HYDROXIDE GEL	TOPICAL	EMULSION, CREAM	5	%
ALUMINUM HYDROXIDE GEL F 500	TOPICAL	EMULSION, CREAM	2	%
ALUMINUM HYDROXIDE GEL F 5000	TOPICAL	EMULSION, CREAM	3	%
ALUMINUM MONOSTEARATE			0.01	%W/W
ALUMINUM MONOSTEARATE	TOPICAL	EMULSION, CREAM	0.01	%
ALUMINUM POLYESTER			9.78	MG
ALUMINUM POLYESTER			81	MG
ALUMINUM POLYESTER	TRANSDERMAL	FILM, CONTROLLED RELEASE	81	MG
ALUMINUM POTASSIUM SULFATE	VAGINAL	SUPPOSITORY	17.2	MG
ALUMINUM STARCH OCTENYLSUCCINATE			10	%W/W
ALUMINUM STARCH OCTENYLSUCCINATE	TOPICAL	EMULSION, CREAM	10	%
ALUMINUM STEARATE			0.01	%W/W
ALUMINUM STEARATE	TOPICAL	EMULSION, CREAM	0.01	%
ALUMINUM STEARATE	TOPICAL	OINTMENT	0.01	%
ALUMINUM SULFATE			0.015	%W/W
ALUMINUM SULFATE		TOPICAL	0.015	%W/W
ALUMINUM SULFATE	TOPICAL	EMULSION, CREAM	0.131	%

(Continued)

Ingredient	Route	Dosage FoRM	Quantity	Unit
ALUMINUM SULFATE ANHYDROUS			0.13	%W/W
AMERCHOL C			0.1	%W/W
AMERCHOL-CAB	TOPICAL	OINTMENT	10	%
AMINOMETHYLPROPANOL			1	%W/W
AMMONIA SOLUTION			5.72	%W/W
AMMONIA SOLUTION	TOPICAL	GEL	1.13	%W/W
AMMONIA SOLUTION	TOPICAL	GEL	1.2	%W/W
AMMONIA SOLUTION	TOPICAL	GEL		ADJPH
AMMONIUM GLYCYRRHIZATE			0.3	MG
AMMONIUM HYDROXIDE	TOPICAL	EMULSION, CREAM	5.72	%
AMMONIUM LAURYL SULFATE			39.75	%W/W
AMMONYX	TOPICAL	SPONGE	37500	MG
AMPHOTERIC-9			0.66	%W/W
AMPHOTERIC-9	TOPICAL	EMULSION, CREAM	0.66	%
ANHYDROUS CITRIC ACID			0.01	%W/W
ANHYDROUS CITRIC ACID		EMULSION, SUSTAINED RELEASE	0.18	%W/W
ANHYDROUS CITRIC ACID		TOPICAL	0.01	%W/W
ANHYDROUS CITRIC ACID			5.56	MG
ANHYDROUS CITRIC ACID			2.96	MG
ANHYDROUS CITRIC ACID			0.08	%W/W
ANHYDROUS CITRIC ACID			0.05	%W/W
ANHYDROUS CITRIC ACID	BUCCAL	FILM	1.04	MG
ANHYDROUS CITRIC ACID	EMULSION, SUSTAINED RELEASE	TOPICAL	0.18	%W/W
ANHYDROUS CITRIC ACID	TOPICAL	GEL	0.05	%W/W
ANHYDROUS TRISODIUM CITRATE		EMULSION, SUSTAINED RELEASE	0.12	%W/W
ANHYDROUS TRISODIUM CITRATE			1.34	MG
ANHYDROUS TRISODIUM CITRATE			0.28	%W/W
ANHYDROUS TRISODIUM CITRATE	EMULSION, SUSTAINED RELEASE	TOPICAL	0.12	%W/W
ANOXID SBN			0.16	%W/W
ANOXID SBN	TOPICAL	EMULSION, CREAM	0.1562	%
ANTIFOAM	TOPICAL	LOTION	0.031	%
APRICOT KERNEL OIL PEG-6 ESTERS			2.94	%W/W
APRICOT KERNEL OIL PEG-6 ESTERS			2.94	%
APRICOT KERNEL OIL PEG-6 ESTERS	TOPICAL	EMULSION, CREAM	2.94	%
APRICOT KERNEL OIL PEG-6 ESTERS	VAGINAL	EMULSION, CREAM	2.94	%
AQUAPHOR			1	%W/W
AQUAPHOR	TOPICAL	EMULSION, CREAM	1	%
ARLACEL			5.5	%W/W
ARLACEL			1.5	%W/W
ARLACEL	TOPICAL	EMULSION, CREAM	1.5	%
ARLATONE 289	TOPICAL	EMULSION, CREAM	1.9	%
ASCORBIC ACID	RECTAL	SUPPOSITORY	3	MG
ASCORBIC ACID	TOPICAL	GEL	0.3	%
ASCORBIC ACID	TOPICAL	GEL	0.3	%W/W
ASCORBYL PALMITATE			0.02	%W/W
ASCORBYL PALMITATE	RECTAL	SUPPOSITORY	5.6	MG
ASCORBYL PALMITATE	TOPICAL	EMULSION, CREAM	0.02	%
BALSAM, CANADA	TOPICAL	LOTION	0.5	%
BALSAM, PERU	RECTAL	SUPPOSITORY	100	MG
BARIUM SULFATE	VAGINAL	DRUG DELIVERY SYSTEM	5.9	MG
BEE SWAX	TOPICAL	EMULSION, CREAM	5	%

(Continued)

Ingredient	Route	Dosage FoRM	Quantity	Unit
BEESWAX	TOPICAL	OINTMENT	20	%
BEESWAX, SYNTHETIC			3.5	%W/W
BEESWAX, SYNTHETIC		TOPICAL	3.5	%W/W
BEESWAX, SYNTHETIC			3.5	%W/W
BEESWAX, SYNTHETIC	TOPICAL	EMULSION, CREAM	3.5	%
BENTONITE			9.86	MG
BENTONITE	TOPICAL	LOTION	5	%
BENTONITE	TRANSDERMAL	PATCH, CONTROLLED RELEASE	2.47	MG
BENTONITE	TRANSDERMAL	FILM, CONTROLLED RELEASE	9.86	MG
BENTONITE	VAGINAL	SUPPOSITORY	288.1	MG
BENZALKONIUM CHLORIDE	OPHTHALMIC	GEL	0.008	%
BENZALKONIUM CHLORIDE	OPHTHALMIC	GEL	0.008	%
BENZALKONIUM CHLORIDE	TOPICAL	LOTION	0.1	%
BENZOIC ACID			0.2	%W/W
BENZOIC ACID		EMULSION, SUSTAINED RELEASE	0.19	%W/W
BENZOIC ACID		TOPICAL	0.2	%W/W
BENZOIC ACID			0.1	%W/W
BENZOIC ACID			0.25	%W/W
BENZOIC ACID			0.1	%
BENZOIC ACID			0.25	%
BENZOIC ACID	EMULSION, SUSTAINED RELEASE	TOPICAL	0.19	%W/W
BENZOIC ACID	RECTAL	GEL	0.28	%
BENZOIC ACID	TOPICAL	GEL	0.1	%
BENZOIC ACID	TOPICAL	LOTION	0.2	%
BENZOIC ACID	TOPICAL	EMULSION, CREAM	0.25	%
BENZOIC ACID	TOPICAL	GEL	0.1	%W/W
BENZOIC ACID	VAGINAL	EMULSION, CREAM	0.25	%
BENZOIC ACID	VAGINAL	SPONGE	3	MG
BENZOIC ACID	VAGINAL	CREAM, EMULSION, SUSTAINED RELEASE	0.19	%
BENZYL ALCOHOL			2	%W/W
BENZYL ALCOHOL			2.3	%W/W
BENZYL ALCOHOL		AUGMENTED	1	%W/W
BENZYL ALCOHOL		EMULSION, SUSTAINED RELEASE	2	%W/W
BENZYL ALCOHOL		TOPICAL	2	%W/W
BENZYL ALCOHOL		TOPICAL	2.3	%W/W
BENZYL ALCOHOL			2.7	%W/W
BENZYL ALCOHOL			1	%
BENZYL ALCOHOL	AUGMENTED	TOPICAL	1	%W/W
BENZYL ALCOHOL	EMULSION, SUSTAINED RELEASE	TOPICAL	2	%W/W
BENZYL ALCOHOL	RECTAL	GEL	3.1	%
BENZYL ALCOHOL	TOPICAL	CREAM, AUGMENTED	1	%
BENZYL ALCOHOL	TOPICAL	CREAM, EMULSION, SUSTAINED RELEASE	1	%
BENZYL ALCOHOL	TOPICAL	LOTION	1.3	%
BENZYL ALCOHOL	TOPICAL	OINTMENT	2.2	%
BENZYL ALCOHOL	TOPICAL	EMULSION, CREAM	2.7	%
BENZYL ALCOHOL	TOPICAL	GEL	50	%
BENZYL ALCOHOL	TOPICAL	GEL	2	%W/W
BENZYL ALCOHOL	VAGINAL	CREAM, AUGMENTED	1	%

(Continued)

Ingredient	Route	Dosage FoRM	Quantity	Unit
BENZYL ALCOHOL	VAGINAL	EMULSION, CREAM	1	%
BENZYL ALCOHOL	VAGINAL	CREAM, AUGMENTED	1	%
BENZYL ALCOHOL	VAGINAL	CREAM, EMULSION, SUSTAINED RELEASE	1	%
BETADEX	TOPICAL	GEL	1	%
BETADEX	TOPICAL	GEL	1	%W/W
BISMUTH SUBGALLATE	RECTAL	SUPPOSITORY	115	MG
BORIC ACID			1.3	%W/W
BORIC ACID	OPHTHALMIC	GEL	0.5	%
BORIC ACID	OPHTHALMIC	GEL	0.5	%
BUTYL ALCOHOL, TERTIARY	TOPICAL	GEL	0.1186	%
BUTYL STEARATE			3.68	%W/W
BUTYL STEARATE	TOPICAL	EMULSION, CREAM	3.7	%
BUTYLATED HYDROXYANISOLE			0.0052	%W/W
BUTYLATED HYDROXYANISOLE		TOPICAL	0.0052	%W/W
BUTYLATED HYDROXYANISOLE			0.08	MG
BUTYLATED HYDROXYANISOLE			5.2	%W/W
BUTYLATED HYDROXYANISOLE			0.03	%
BUTYLATED HYDROXYANISOLE	RECTAL	SUPPOSITORY	0.213	MG
BUTYLATED HYDROXYANISOLE	TOPICAL	OINTMENT	0.005	%
BUTYLATED HYDROXYANISOLE	TOPICAL	GEL	0.05	%
BUTYLATED HYDROXYANISOLE	TOPICAL	EMULSION, CREAM	0.1	%
BUTYLATED HYDROXYANISOLE	TOPICAL	GEL	0.05	%W/W
BUTYLATED HYDROXYANISOLE	VAGINAL	OINTMENT	0.02	%
BUTYLATED HYDROXYANISOLE	VAGINAL	EMULSION, CREAM	0.125	%
BUTYLATED HYDROXYANISOLE	VAGINAL	SUPPOSITORY	1	MG
BUTYLATED HYDROXYTOLUENE			0.05	%W/W
BUTYLATED HYDROXYTOLUENE		AUGMENTED	0.2	%W/W
BUTYLATED HYDROXYTOLUENE		EMULSION, SUSTAINED RELEASE	0.1	%W/W
BUTYLATED HYDROXYTOLUENE		TOPICAL	0.05	%W/W
BUTYLATED HYDROXYTOLUENE			0.06	MG
BUTYLATED HYDROXYTOLUENE			0.1	%W/W
BUTYLATED HYDROXYTOLUENE			0.1	%W/W
BUTYLATED HYDROXYTOLUENE			0.05	%
BUTYLATED HYDROXYTOLUENE	AUGMENTED	TOPICAL	0.2	%W/W
BUTYLATED HYDROXYTOLUENE	BUCCAL	GUM, CHEWING	0.21	MG
BUTYLATED HYDROXYTOLUENE	EMULSION, SUSTAINED RELEASE	TOPICAL	0.1	%W/W
BUTYLATED HYDROXYTOLUENE	RECTAL	SUPPOSITORY	0.213	MG
BUTYLATED HYDROXYTOLUENE	TOPICAL	LOTION	0.02	%
BUTYLATED HYDROXYTOLUENE	TOPICAL	OINTMENT	0.025	%
BUTYLATED HYDROXYTOLUENE	TOPICAL	CREAM, AUGMENTED	0.05	%
BUTYLATED HYDROXYTOLUENE	TOPICAL	CREAM, EMULSION, SUSTAINED RELEASE	0.1	%
BUTYLATED HYDROXYTOLUENE	TOPICAL	EMULSION, CREAM	0.1	%
BUTYLATED HYDROXYTOLUENE	TOPICAL	GEL	2	%
BUTYLATED HYDROXYTOLUENE	TOPICAL	GEL	0.1	%W/W
BUTYLATED HYDROXYTOLUENE	TRANSDERMAL	GEL	0.05	%
BUTYLATED HYDROXYTOLUENE	VAGINAL	EMULSION, CREAM	0.05	%
BUTYLENE GLYCOL			8.12	MG
BUTYLENE GLYCOL	TRANSDERMAL	PATCH, CONTROLLED RELEASE	2.03	MG
BUTYLENE GLYCOL	TRANSDERMAL	FILM, CONTROLLED RELEASE	8.12	MG

(Continued)

Ingredient	Route	Dosage FoRM	Quantity	Unit
BUTYLPARABEN		EMULSION, SUSTAINED RELEASE	0.05	%W/W
BUTYLPARABEN			0.4	%W/W
BUTYLPARABEN	EMULSION, SUSTAINED RELEASE	TOPICAL	0.05	%W/W
BUTYLPARABEN	TOPICAL	LOTION	0.15	%
BUTYLPARABEN	TOPICAL	EMULSION, CREAM	0.4	%
C13-14 ISOPARAFFIN/LAURETH-7/ POLYACRYLAMIDE	TOPICAL	GEL	5	%W/W
CALCIUM ACETATE			0.002	%W/W
CALCIUM ACETATE		TOPICAL	0.002	%W/W
CALCIUM ACETATE			0.092	%W/W
CALCIUM ACETATE	TOPICAL	EMULSION, CREAM	0.092	%
CALCIUM CARBONATE			4.83	MG
CALCIUM CARBONATE	BUCCAL	GUM, CHEWING	145.7	MG
CALCIUM CHLORIDE	TOPICAL	EMULSION, CREAM	0.25	%
CALCIUM HYDROXIDE	TOPICAL	EMULSION, CREAM	1.4	%
CAPRYLIC/CAPRIC TRIGLYCERIDE	TOPICAL	CREAM, EMULSION, SUSTAINED RELEASE	10	%
CAPRYLIC/CAPRIC TRIGLYCERIDE	TOPICAL	EMULSION, CREAM	10.8	%
CAPRYLIC/CAPRIC/STEARIC TRIGLYCERIDE	TOPICAL	OINTMENT	70	%
CARAMEL			0.26	%W/W
CARAMEL	TOPICAL	EMULSION, CREAM	0.26	%
CARBOMER 1342	TOPICAL	CREAM, AUGMENTED	0.2	%
CARBOMER 1342	TOPICAL	CREAM, EMULSION, SUSTAINED RELEASE	0.2	%
CARBOMER 1342	TOPICAL	LOTION	0.2	%
CARBOMER 1342	TOPICAL	EMULSION, LOTION	0.3	%
CARBOMER 1342	TRANSDERMAL	GEL	1.5	%
CARBOMER 1342	TRANSDERMAL	FILM, CONTROLLED RELEASE	24.3	MG
CARBOMER 1382	TOPICAL	GEL	0.9	%W/W
CARBOMER 934	TOPICAL	LOTION	0.5	%
CARBOMER 934	TOPICAL	OINTMENT	0.5	%
CARBOMER 934	TOPICAL	EMULSION, CREAM	1	%
CARBOMER 934	TOPICAL	GEL	1.498	%
CARBOMER 934	VAGINAL	GEL	2	%
CARBOMER 934P	TOPICAL	LOTION	0.56	%
CARBOMER 934P	TOPICAL	CREAM, AUGMENTED	1	%
CARBOMER 934P	TOPICAL	CREAM, EMULSION, SUSTAINED RELEASE	1	%
CARBOMER 934P	TOPICAL	EMULSION, CREAM	1	%
CARBOMER 934P	TOPICAL	GEL	2	%
CARBOMER 934P	VAGINAL	GEL	2	%
CARBOMER 940	TOPICAL	EMULSION, CREAM	0.6	%
CARBOMER 940	TOPICAL	CREAM, AUGMENTED	1	%
CARBOMER 940	TOPICAL	OINTMENT, AUGMENTED	2.25	%
CARBOMER 940	TOPICAL	GEL	3.5	%
CARBOMER 940	TOPICAL	LOTION	58	%
CARBOMER 940	TRANSDERMAL	GEL	1.2	%
CARBOMER 941	TOPICAL	LOTION	0.15	%
CARBOMER 941	TOPICAL	GEL	0.2	%
CARBOMER 974P	TOPICAL	GEL	0.8	%
CARBOMER 980	TOPICAL	GEL	0.85	%
CARBOMER 980	TOPICAL	EMULSION, CREAM	1.2	%

(Continued)

Ingredient	Route	Dosage FoRM	Quantity	Unit
CARBOMER 980	TRANSDERMAL	GEL	7.5	%
CARBOMER 981	TOPICAL	GEL	0.85	%
CARBOMER COPOLYMER TYPE A (ALLYL PENTAERYTHRITOL CROSSLINKED)			0.2	%W/W
CARBOMER COPOLYMER TYPE A (ALLYL PENTAERYTHRITOL CROSSLINKED)		TOPICAL	0.2	%W/W
CARBOMER COPOLYMER TYPE B (ALLYL PENTAERYTHRITOL CROSSLINKED)		AUGMENTED	0.2	%W/W
CARBOMER COPOLYMER TYPE B (ALLYL PENTAERYTHRITOL CROSSLINKED)		EMULSION, SUSTAINED RELEASE	0.2	%W/V
CARBOMER COPOLYMER TYPE B (ALLYL PENTAERYTHRITOL CROSSLINKED)			0.2	%W/W
CARBOMER COPOLYMER TYPE B (ALLYL PENTAERYTHRITOL CROSSLINKED)			0.3	%W/W
CARBOMER COPOLYMER TYPE B (ALLYL PENTAERYTHRITOL CROSSLINKED)			24.3	MG
CARBOMER COPOLYMER TYPE B (ALLYL PENTAERYTHRITOL CROSSLINKED)	AUGMENTED	TOPICAL	0.2	%W/W
CARBOMER COPOLYMER TYPE B (ALLYL PENTAERYTHRITOL CROSSLINKED)	EMULSION, SUSTAINED RELEASE	TOPICAL	0.2	%W/V
CARBOMER COPOLYMER TYPE B (ALLYL PENTAERYTHRITOL CROSSLINKED)	TOPICAL	GEL	0.8	%W/W
CARBOMER COPOLYMER TYPE B (ALLYL PENTAERYTHRITOL CROSSLINKED)	TRANSDERMAL	GEL	0.3	%W/W
CARBOMER HOMOPOLYMER TYPE A (ALLYL PENTAERYTHRITOL CROSSLINKED)	TOPICAL	GEL	0.85	%W/W
CARBOMER HOMOPOLYMER TYPE B (ALLYL PENTAERYTHRITOL CROSSLINKED)			1.2	%W/W
CARBOMER HOMOPOLYMER TYPE B (ALLYL PENTAERYTHRITOL CROSSLINKED)		TOPICAL	1.2	%W/W
CARBOMER HOMOPOLYMER TYPE B (ALLYL PENTAERYTHRITOL CROSSLINKED)			1	%W/W
CARBOMER HOMOPOLYMER TYPE B (ALLYL PENTAERYTHRITOL CROSSLINKED)	OPHTHALMIC	GEL	0.48	%
CARBOMER HOMOPOLYMER TYPE B (ALLYL PENTAERYTHRITOL CROSSLINKED)	OPHTHALMIC	GEL	0.48	%
CARBOMER HOMOPOLYMER TYPE B (ALLYL PENTAERYTHRITOL OR ALLYL SUCROSE CROSSLINKED)		AUGMENTED	1	%W/V
CARBOMER HOMOPOLYMER TYPE B (ALLYL PENTAERYTHRITOL OR ALLYL SUCROSE CROSSLINKED)		EMULSION, SUSTAINED RELEASE	1	%W/V
CARBOMER HOMOPOLYMER TYPE B (ALLYL PENTAERYTHRITOL OR ALLYL SUCROSE CROSSLINKED)			0.5	%W/W
CARBOMER HOMOPOLYMER TYPE B (ALLYL PENTAERYTHRITOL OR ALLYL SUCROSE CROSSLINKED)			1	%W/W
CARBOMER HOMOPOLYMER TYPE B (ALLYL PENTAERYTHRITOL OR ALLYL SUCROSE CROSSLINKED)	AUGMENTED	TOPICAL	1	%W/V
CARBOMER HOMOPOLYMER TYPE B (ALLYL PENTAERYTHRITOL OR ALLYL SUCROSE CROSSLINKED)	EMULSION, SUSTAINED RELEASE	TOPICAL	1	%W/V
CARBOMER HOMOPOLYMER TYPE B (ALLYL PENTAERYTHRITOL OR ALLYL SUCROSE CROSSLINKED)	TOPICAL	GEL	1.51	%W/W

(Continued)

Ingredient	Route	Dosage FoRM	Quantity	Unit
CARBOMER HOMOPOLYMER TYPE B (ALLYL PENTAERYTHRITOL OR ALLYL SUCROSE CROSSLINKED)	VAGINAL	GEL	2	%W/W
CARBOMER HOMOPOLYMER TYPE C (ALLYL PENTAERYTHRITOL CROSSLINKED)			1.2	%W/W
CARBOMER HOMOPOLYMER TYPE C (ALLYL PENTAERYTHRITOL CROSSLINKED)			1.2	%W/W
CARBOMER HOMOPOLYMER TYPE C (ALLYL PENTAERYTHRITOL CROSSLINKED)		AUGMENTED	1	%W/W
CARBOMER HOMOPOLYMER TYPE C (ALLYL PENTAERYTHRITOL CROSSLINKED)		EMULSION, SUSTAINED RELEASE	1.2	%W/W
CARBOMER HOMOPOLYMER TYPE C (ALLYL PENTAERYTHRITOL CROSSLINKED)		TOPICAL	1.2	%W/W
CARBOMER HOMOPOLYMER TYPE C (ALLYL PENTAERYTHRITOL CROSSLINKED)		TOPICAL	1.2	%W/W
CARBOMER HOMOPOLYMER TYPE C (ALLYL PENTAERYTHRITOL CROSSLINKED)			0.6	%W/W
CARBOMER HOMOPOLYMER TYPE C (ALLYL PENTAERYTHRITOL CROSSLINKED)			1.2	%W/W
CARBOMER HOMOPOLYMER TYPE C (ALLYL PENTAERYTHRITOL CROSSLINKED)	AUGMENTED	TOPICAL	1	%W/W
CARBOMER HOMOPOLYMER TYPE C (ALLYL PENTAERYTHRITOL CROSSLINKED)	EMULSION, SUSTAINED RELEASE	TOPICAL	1.2	%W/W
CARBOMER HOMOPOLYMER TYPE C (ALLYL PENTAERYTHRITOL CROSSLINKED)	OPHTHALMIC	GEL	4	%
CARBOMER HOMOPOLYMER TYPE C (ALLYL PENTAERYTHRITOL CROSSLINKED)	OPHTHALMIC	GEL	4	%
CARBOMER HOMOPOLYMER TYPE C (ALLYL PENTAERYTHRITOL CROSSLINKED)	TOPICAL	GEL	0.7	%W/W
CARBOMER HOMOPOLYMER TYPE C (ALLYL PENTAERYTHRITOL CROSSLINKED)	TOPICAL	GEL	0.78	%W/W
CARBOMER HOMOPOLYMER TYPE C (ALLYL PENTAERYTHRITOL CROSSLINKED)	TOPICAL	GEL	1.6	%W/W
CARBOMER HOMOPOLYMER TYPE C (ALLYL PENTAERYTHRITOL CROSSLINKED)	TOPICAL	GEL	2	%W/W
CARBOMER HOMOPOLYMER TYPE C (ALLYL PENTAERYTHRITOL CROSSLINKED)	TOPICAL	GEL	3.5	%W/W
CARBOMER HOMOPOLYMER TYPE C (ALLYL PENTAERYTHRITOL CROSSLINKED)	TRANSDERMAL	GEL	1.5	%W/W
CARBOMER HOMOPOLYMER TYPE C (ALLYL PENTAERYTHRITOL CROSSLINKED)	TRANSDERMAL	GEL	1.5	%W/W
CARBOMER HOMOPOLYMER TYPE C (ALLYL PENTAERYTHRITOL CROSSLINKED)	TRANSDERMAL	GEL, METERED	1	%
CARBOXY VINYL COPOLYMER	TOPICAL	GEL	30	%
CARBOXYMETHYLCELLULOSE	BUCCAL	FILM	10.95	MG
CARBOXYMETHYLCELLULOSE	TOPICAL	PATCH	6.14	MG
CARBOXYMETHYLCELLULOSE SODIUM	TOPICAL	JELLY	3.5	%
CARBOXYMETHYLCELLULOSE SODIUM (0.7 CARBOXYMETHYL SUBSTITUTION PER SACCHARIDE; 38 MPA.S AT 2%)	BUCCAL	FILM	17.64	MG
CARBOXYMETHYLCELLULOSE SODIUM, UNSPECIFIED FORM			0.4	%
CARBOXYMETHYLCELLULOSE SODIUM, UNSPECIFIED FORM			0.2	%
CARBOXYMETHYLCELLULOSE SODIUM, UNSPECIFIED FORM			700	MG
CARBOXPOLYMETHYLENE	TOPICAL	LOTION	0.3	%

(Continued)

Ingredient	Route	Dosage FoRM	Quantity	Unit
CARBOXYPOLYMETHYLENE	TOPICAL	GEL	1	%
CARBOXYPOLYMETHYLENE	TOPICAL	GEL	1	%W/W
CARNAUBA WAX	BUCCAL	GUM, CHEWING	0.55	MG
CARRAGEENAN			33	MG
CARRAGEENAN	TOPICAL	LOTION	0.5	%
CARRAGEENAN	TRANSDERMAL	FILM, CONTROLLED RELEASE	33	MG
CARRAGEENAN SALT	TOPICAL	LOTION	0.271	%
CASTOR OIL	NASAL	GEL	107	MG/SPR
CASTOR OIL	TOPICAL	EMULSION, CREAM	12.5	%
CASTOR OIL	TOPICAL	OINTMENT	14.9	%
CERASYNT-SE	RECTAL	SUPPOSITORY	35	MG
CERASYNT-SE	TOPICAL	LOTION	3	%
CERESIN			7	%
CERESIN	TOPICAL	OINTMENT	7.31	%
CERESIN	VAGINAL	CREAM	7	%W/W
CETEARETH-12			5	%W/W
CETEARETH-12			5	%W/W
CETEARETH-12	TOPICAL	EMULSION, CREAM	5	%
CETEARETH-15			1.25	%W/W
CETEARETH-15	TOPICAL	EMULSION, CREAM	1.5	%
CETEARETH-30		AUGMENTED	1	%W/W
CETEARETH-30		EMULSION, SUSTAINED RELEASE	3	%W/W
CETEARETH-30			3	%W/W
CETEARETH-30	AUGMENTED	TOPICAL	1	%W/W
CETEARETH-30	EMULSION, SUSTAINED RELEASE	TOPICAL	3	%W/W
CETEARETH-30	TOPICAL	CREAM, AUGMENTED	1	%
CETEARETH-30	TOPICAL	LOTION	2.3	%
CETEARETH-30	TOPICAL	EMULSION, CREAM	3	%
CETEARYL ALCOHOL	TOPICAL	OINTMENT	1.2	%
CETEARYL ALCOHOL	TOPICAL	LOTION	4	%
CETEARYL ALCOHOL	TOPICAL	EMULSION, LOTION	5	%
CETEARYL ALCOHOL	TOPICAL	EMULSION, CREAM	12	%
CETEARYL ALCOHOL	VAGINAL	CREAM, AUGMENTED	10	%
CETEARYL ALCOHOL	VAGINAL	EMULSION, CREAM	12	%
CETEARYL ALCOHOL/CETEARETH-20			0.5	%W/W
CETEARYL ALCOHOL/CETEARETH-20			2	%W/W
CETEARYL ALCOHOL/CETEARETH-20		AUGMENTED	4.72	%W/W
CETEARYL ALCOHOL/CETEARETH-20		TOPICAL	0.5	%W/W
CETEARYL ALCOHOL/CETEARETH-20		TOPICAL	2	%W/W
CETEARYL ALCOHOL/CETEARETH-20			8	%W/W
CETEARYL ALCOHOL/CETEARETH-20	AUGMENTED	TOPICAL	4.72	%W/W
CETEARYL ALCOHOL/CETEARETH-20	TOPICAL	CREAM, AUGMENTED	4.72	%
CETEARYL ALCOHOL/CETEARETH-20	TOPICAL	EMULSION, CREAM	8	%
CETEARYL ETHYLHEXANOATE			1	%W/W
CETEARYL ETHYLHEXANOATE		TOPICAL	1	%W/W
CETEARYL ETHYLHEXANOATE			3	%W/W
CETEARYL OCTANOATE	TOPICAL	EMULSION, CREAM	3	%
CETETH-10	TOPICAL	LOTION	2.5	%
CETETH-2			2.5	%W/W
CETETH-2	TOPICAL	LOTION	0.8	%
CETETH-2	TOPICAL	EMULSION, CREAM	2.5	%
CETETH-20			15	%W/W
CETETH-20		AUGMENTED	6	%W/W

(Continued)

Ingredient	Route	Dosage FoRM	Quantity	Unit
CETETH-20		EMULSION, SUSTAINED RELEASE	3	%W/W
CETETH-20		TOPICAL	15	%W/W
CETETH-20			4.01	%W/W
CETETH-20			3	%W/W
CETETH-20			1	%W/W
CETETH-20	AUGMENTED	TOPICAL	6	%W/W
CETETH-20	EMULSION, SUSTAINED RELEASE	TOPICAL	3	%W/W
CETETH-20	TOPICAL	EMULSION, LOTION	1	%
CETETH-20	TOPICAL	LOTION	2	%
CETETH-20	TOPICAL	EMULSION, CREAM	4.005	%
CETETH-20	TOPICAL	CREAM, AUGMENTED	6	%
CETETH-23			2	%W/W
CETETH-23			2	%W/W
CETETH-23	TOPICAL	EMULSION, CREAM	2	%
CETOSTEARYL ALCOHOL			12	%W/W
CETOSTEARYL ALCOHOL		AUGMENTED	8	%W/W
CETOSTEARYL ALCOHOL		EMULSION, SUSTAINED RELEASE	11.5	%W/W
CETOSTEARYL ALCOHOL		TOPICAL	12	%W/W
CETOSTEARYL ALCOHOL			1.09	%W/W
CETOSTEARYL ALCOHOL			11.4	%W/W
CETOSTEARYL ALCOHOL			6	%W/W
CETOSTEARYL ALCOHOL			5	%W/W
CETOSTEARYL ALCOHOL			12	%
CETOSTEARYL ALCOHOL	AUGMENTED	TOPICAL	8	%W/W
CETOSTEARYL ALCOHOL	EMULSION, SUSTAINED RELEASE	TOPICAL	11.5	%W/W
CETOSTEARYL ALCOHOL	VAGINAL	CREAM, AUGMENTED	10	%
CETRIMONIUM CHLORIDE	TOPICAL	LOTION	0.2	%
CETYL ALCOHOL			6	%W/W
CETYL ALCOHOL			6.7	%W/W
CETYL ALCOHOL		AUGMENTED	4	%W/W
CETYL ALCOHOL		EMULSION, SUSTAINED RELEASE	7.2	%W/W
CETYL ALCOHOL		TOPICAL	6	%W/W
CETYL ALCOHOL		TOPICAL	6.7	%W/W
CETYL ALCOHOL			3.23	%W/W
CETYL ALCOHOL			12	%W/W
CETYL ALCOHOL			0.75	%
CETYL ALCOHOL			4	%
CETYL ALCOHOL	AUGMENTED	TOPICAL	4	%W/W
CETYL ALCOHOL	EMULSION, SUSTAINED RELEASE	TOPICAL	7.2	%W/W
CETYL ALCOHOL	TOPICAL	CREAM, AUGMENTED	4	%
CETYL ALCOHOL	TOPICAL	CREAM, EMULSION, SUSTAINED RELEASE	6	%
CETYL ALCOHOL	TOPICAL	OINTMENT	7	%
CETYL ALCOHOL	TOPICAL	EMULSION, CREAM	12	%
CETYL ALCOHOL	TOPICAL	LOTION	68.4	%
CETYL ALCOHOL	VAGINAL	EMULSION, CREAM	15	%
CETYL ALCOHOL	VAGINAL	CREAM, EMULSION, SUSTAINED RELEASE	5	%
CETYL ESTERS	TOPICAL	LOTION	3	%
CETYL ESTERS	TOPICAL	EMULSION, CREAM	10.3	%

(Continued)

Ingredient	Route	Dosage FoRM	Quantity	Unit
CETYL ESTERS	VAGINAL	CREAM, AUGMENTED	3	%
CETYL ESTERS	VAGINAL	EMULSION, CREAM	3	%
CETYL ESTERS WAX			2	%W/W
CETYL ESTERS WAX		TOPICAL	2	%W/W
CETYL ESTERS WAX			10.3	%W/W
CETYL ESTERS WAX			3	%
CETYL ESTERS WAX	VAGINAL	CREAM, AUGMENTED	3	%
CETYL ESTERS WAX	VAGINAL	CREAM, EMULSION, SUSTAINED RELEASE	9	%
CETYL PALMITATE			0.35	%W/W
CETYL PALMITATE		TOPICAL	0.35	%W/W
CETYL PALMITATE			0.31	%W/W
CETYL PALMITATE			3.3	%
CETYL PALMITATE	TOPICAL	EMULSION, CREAM	9.45	%
CETYL PALMITATE	VAGINAL	EMULSION, CREAM	3.3	%
CETYLPYRIDINIUM CHLORIDE	IONTOPHORESIS	DRUG DELIVERY SYSTEM	1.2	MG
CETYLPYRIDINIUM CHLORIDE	TRANSDERMAL	DRUG DELIVERY SYSTEM	1.2	MG
CHEMODERM 6401B		EMULSION, SUSTAINED RELEASE	0.1	%W/W
CHEMODERM 6401B	EMULSION, SUSTAINED RELEASE	TOPICAL	0.1	%W/W
CHEMODERM 6401B	TOPICAL	CREAM, EMULSION, SUSTAINED RELEASE	0.1	%
CHLOROCRESOL			0.075	%W/W
CHLOROCRESOL		AUGMENTED	0.1	%W/W
CHLOROCRESOL		TOPICAL	0.075	%W/W
CHLOROCRESOL			0.75	%W/W
CHLOROCRESOL	AUGMENTED	TOPICAL	0.1	%W/W
CHLOROCRESOL	TOPICAL	CREAM, AUGMENTED	0.1	%
CHLOROCRESOL	TOPICAL	EMULSION, CREAM	0.75	%
CHLOROXYLENOL			0.15	%W/W
CHLOROXYLENOL	TOPICAL	EMULSION, CREAM	0.15	%
CHOLESTEROL			1	%W/W
CHOLESTEROL			0.5	%
CHOLESTEROL	TOPICAL	EMULSION, CREAM	1	%
CHOLESTEROL	TOPICAL	LOTION	1.5	%
CHOLESTEROL	TOPICAL	OINTMENT	5	%
CHOLESTEROL	VAGINAL	EMULSION, CREAM	0.5	%
CHOLETH	VAGINAL	EMULSION, CREAM	1	%
CHOLETH-24			5	%W/W
CITRIC ACID	IONTOPHORESIS	SOLUTION	0.02	%
CITRIC ACID	IONTOPHORESIS	PATCH, CONTROLLED RELEASE	0.2	MG
CITRIC ACID	IONTOPHORESIS	DRUG DELIVERY SYSTEM	1.4	MG
CITRIC ACID	TOPICAL	OINTMENT	0.012	%
CITRIC ACID	TOPICAL	CREAM, AUGMENTED	0.05	%
CITRIC ACID	TOPICAL	GEL	0.05	%
CITRIC ACID	TOPICAL	PATCH, CONTROLLED RELEASE	0.2	MG
CITRIC ACID	TOPICAL	LOTION	0.85	%
CITRIC ACID	TOPICAL	EMULSION, CREAM	5	%
CITRIC ACID	TRANSDERMAL	DRUG DELIVERY SYSTEM	1.4	MG

(Continued)

Ingredient	Route	Dosage FoRM	Quantity	Unit
CITRIC ACID	VAGINAL	SPONGE	7.5	MG
CITRIC ACID MONOHYDRATE			0.03	%W/W
CITRIC ACID MONOHYDRATE			0.05	%W/W
CITRIC ACID MONOHYDRATE			0.05	%W/W
CITRIC ACID MONOHYDRATE			0.055	%W/W
CITRIC ACID MONOHYDRATE		AUGMENTED	0.05	%W/W
CITRIC ACID MONOHYDRATE		EMULSION, SUSTAINED RELEASE	0.1	%W/W
CITRIC ACID MONOHYDRATE		TOPICAL	0.03	%W/W
CITRIC ACID MONOHYDRATE		TOPICAL	0.05	%W/W
CITRIC ACID MONOHYDRATE		TOPICAL	0.05	%W/W
CITRIC ACID MONOHYDRATE		TOPICAL	0.055	%W/W
CITRIC ACID MONOHYDRATE			0.11	%W/W
CITRIC ACID MONOHYDRATE			0.11	%W/W
CITRIC ACID MONOHYDRATE			0.44	%W/W
CITRIC ACID MONOHYDRATE			0.18	%W/W
CITRIC ACID MONOHYDRATE			0.05	%W/W
CITRIC ACID MONOHYDRATE			0.49	%
CITRIC ACID MONOHYDRATE	AUGMENTED	TOPICAL	0.05	%W/W
CITRIC ACID MONOHYDRATE	BUCCAL	FILM	0.69	MG
CITRIC ACID MONOHYDRATE	EMULSION, SUSTAINED RELEASE	TOPICAL	0.1	%W/W
CITRIC ACID MONOHYDRATE	TOPICAL	EMULSION, CREAM	0.05	%
CITRIC ACID MONOHYDRATE	TOPICAL	GEL	0.1	%
CITRIC ACID MONOHYDRATE	TOPICAL	GEL	0.56	%W/W
CITRIC ACID MONOHYDRATE	VAGINAL	EMULSION, CREAM	0.494	%
CITRIC ACID, HYDROUS	TOPICAL	EMULSION, LOTION	0.05	%
CITRIC ACID, HYDROUS	TOPICAL	EMULSION, CREAM	0.1	%
COCAMIDE DIETHANOLAMINE	TOPICAL	EMULSION, CREAM	4	%
COCAMIDE DIETHANOLAMINE	TOPICAL	SPONGE	20.2	MG
COCO DIETHANOLAMIDE			4	%W/W
COCO-CAPRYLATE/CAPRATE	TOPICAL	GEL	2.5	%W/W
COCOA BUTTER	RECTAL	SUPPOSITORY	2070.6	MG
COCOA BUTTER	TOPICAL	LOTION	0.1	%
COCONUT OIL	TOPICAL	EMULSION, CREAM	6	%
COCONUT OIL	TOPICAL	OINTMENT	25	%
COCONUT OIL, FRACTIONED	TOPICAL	OINTMENT	0.02	%
COCONUT OIL/PALM KERNEL OIL GLYCERIDES, HYDROGENATED	RECTAL	SUPPOSITORY	1734.9	MG
COCONUT OIL/PALM KERNEL OIL GLYCERIDES, HYDROGENATED	VAGINAL	SUPPOSITORY	2375	MG
COLLAGEN	TOPICAL	GEL	0.024	%
COLLAGEN	TOPICAL	GEL	0.024	%W/W
CROSPVIDONE	TOPICAL	LOTION	0.185	%
CROSPVIDONE	VAGINAL	SUPPOSITORY	116.1	MG
CROSPVIDONE, UNSPECIFIED			1	%W/W
CROSPVIDONE, UNSPECIFIED			3.63	MG
CROSPVIDONE, UNSPECIFIED			60	MG
CROSPVIDONE, UNSPECIFIED			47.5	MG
CYCLOMETHICONE			5	%W/W
CYCLOMETHICONE		AUGMENTED	7.6	%W/W
CYCLOMETHICONE		TOPICAL	5	%W/W
CYCLOMETHICONE			5.26	%W/W
CYCLOMETHICONE			13	%W/W
CYCLOMETHICONE	AUGMENTED	TOPICAL	7.6	%W/W
CYCLOMETHICONE	TOPICAL	LOTION	4	%

(Continued)

Ingredient	Route	Dosage FoRM	Quantity	Unit
CYCLOMETHICONE	TOPICAL	CREAM, AUGMENTED	7.6	%
CYCLOMETHICONE	TOPICAL	EMULSION, CREAM	13	%
CYCLOMETHICONE 5		AUGMENTED	13	%W/W
CYCLOMETHICONE 5	AUGMENTED	TOPICAL	13	%W/W
CYCLOMETHICONE/DIMETHICONE COPOLYOL	TOPICAL	GEL	2.3	%
CYCLOMETHICONE/DIMETHICONE COPOLYOL	TOPICAL	GEL	2.3	%W/W
D&C YELLOW NO. 10			0.003	%
D&C YELLOW NO. 10	BUCCAL	GUM, CHEWING	1.01	MG
D&C YELLOW NO. 10	ORAL	GUM, CHEWING	0.1	MG
D&C YELLOW NO. 10	RECTAL	SUPPOSITORY	0.11	MG
D&C YELLOW NO. 10	TOPICAL	GEL	0.001	%
D&C YELLOW NO. 10	TOPICAL	GEL	0.001	%W/W
D&C YELLOW NO. 10 ALUMINUM LAKE	BUCCAL	GUM	2.4	MG
D&C YELLOW NO. 10 ALUMINUM LAKE	BUCCAL	GUM, CHEWING	1.9	MG
DAUBERT 1-5 PESTR (MATTE) 164Z			507.5	MG
DAUBERT 1-5 PESTR (MATTE) 164Z	TRANSDERMAL	FILM, CONTROLLED RELEASE	507.5	MG
DEHYDAG WAX SX			8.5	%W/W
DEHYDROACETIC ACID	TOPICAL	LOTION	11.6	%
DEHYMULS E	TOPICAL	OINTMENT	7.5	%
DENATONIUM BENZOATE	TOPICAL	GEL	0.0006	%
DENATONIUM BENZOATE	TOPICAL	GEL	0.001	%W/W
DEXTRIN			0.03	%W/W
DEXTRIN		TOPICAL	0.03	%W/W
DEXTRIN			0.029	%W/W
DEXTRIN	TOPICAL	EMULSION, CREAM	0.029	%
DIAZOLIDINYL UREA		EMULSION, SUSTAINED RELEASE	0.2	%W/W
DIAZOLIDINYL UREA			0.2	%W/W
DIAZOLIDINYL UREA	EMULSION, SUSTAINED RELEASE	TOPICAL	0.2	%W/W
DIAZOLIDINYLUREA	TOPICAL	CREAM, EMULSION, SUSTAINED RELEASE	0.2	%
DIAZOLIDINYLUREA	TOPICAL	EMULSION, CREAM	0.3	%
DICHLOROBENZYL ALCOHOL		EMULSION, SUSTAINED RELEASE	0.1	%W/W
DICHLOROBENZYL ALCOHOL	EMULSION, SUSTAINED RELEASE	TOPICAL	0.1	%W/W
DICHLOROBENZYL ALCOHOL	TOPICAL	EMULSION, CREAM	0.1	%
DICHLORODIFLUOROMETHANE			8	%W/W
DICHLORODIFLUOROMETHANE			20	%
DICHLOROTETRAFLUOROETHANE			80	%
DIETHANOLAMINE			0.3	%W/W
DIETHANOLAMINE	TOPICAL	EMULSION, CREAM	0.3	%
DIETHYLAMINOETHYL STEARAMIDE PHOSPHATE			0.54	%
DIETHYLENE GLYCOL MONOETHYL ETHER			15	%W/W
DIETHYLENE GLYCOL MONOETHYL ETHER		EMULSION, SUSTAINED RELEASE	15	%W/W
DIETHYLENE GLYCOL MONOETHYL ETHER		TOPICAL	15	%W/W
DIETHYLENE GLYCOL MONOETHYL ETHER			15	%W/W
DIETHYLENE GLYCOL MONOETHYL ETHER	EMULSION, SUSTAINED RELEASE	TOPICAL	15	%W/W
DIETHYLENE GLYCOL MONOETHYL ETHER	TOPICAL	GEL	25	%
DIETHYLENE GLYCOL MONOETHYL ETHER	TOPICAL	GEL	49.91	%W/W
DIETHYLENE GLYCOL MONOETHYL ETHER	TOPICAL	GEL	250	MG
DIETHYLENE GLYCOL MONOETHYL ETHER	TRANSDERMAL	GEL	5	%

(Continued)

Ingredient	Route	Dosage FoRM	Quantity	Unit
DIETHYLENE GLYCOL MONOETHYL ETHER	TRANSDERMAL	GEL	5	%
DIHYDROXYALUMINUM AMINOACETATE			32.2	MG
DIISOPROPANOLAMINE			0.12	%W/W
DIISOPROPANOLAMINE		EMULSION, SUSTAINED RELEASE	0.12	%W/W
DIISOPROPANOLAMINE		TOPICAL	0.12	%W/W
DIISOPROPANOLAMINE			0.12	%W/W
DIISOPROPANOLAMINE	EMULSION, SUSTAINED RELEASE	TOPICAL	0.12	%W/W
DIISOPROPANOLAMINE	TOPICAL	EMULSION, CREAM	0.12	%
DIISOPROPANOLAMINE	TOPICAL	GEL	0.2	%
DIISOPROPANOLAMINE	TOPICAL	GEL	1.5	%W/W
DIISOPROPYL ADIPATE			5	%W/W
DIISOPROPYL ADIPATE	TOPICAL	LOTION	20	%
DIISOPROPYL ADIPATE	TRANSDERMAL	GEL	1.5	%
DIMETHICONE			0.95	%W/W
DIMETHICONE			5	%W/W
DIMETHICONE			564	MG
DIMETHICONE 100	TOPICAL	GEL	0.1	%W/W
DIMETHICONE 20		AUGMENTED	0.5	%W/W
DIMETHICONE 20	AUGMENTED	TOPICAL	0.5	%W/W
DIMETHICONE 350			0.8	%W/W
DIMETHICONE 350		TOPICAL	0.8	%W/W
DIMETHICONE 350			1	%W/W
DIMETHICONE 350	TOPICAL	EMULSION, CREAM	1	%
DIMETHICONE 360	TOPICAL	EMULSION, CREAM	5	%
DIMETHICONE 360	TRANSDERMAL	FILM, CONTROLLED RELEASE	564	MG
DIMETHICONE COPOLYOL	TOPICAL	GEL	1	%
DIMETHICONOL/TRIMETHYLSILOXYSILICATE CROSSPOLYMER (40/60 W/W; 1000000 PA.S)			70.6	MG
DIMETHICONOL/TRIMETHYLSILOXYSILICATE CROSSPOLYMER (40/60 W/W; 1000000 PA.S)			57.14	MG
DIMETHICONOL/TRIMETHYLSILOXYSILICATE CROSSPOLYMER (40/60 W/W; 1000000 PA.S)			164	MG/PATCH
DIMETHICONOL/TRIMETHYLSILOXYSILICATE CROSSPOLYMER (45/55 W/W; 100000 PA.S)			114.7	MG
DIMETHYL ISOSORBIDE			5.44	%W/W
DIMETHYL ISOSORBIDE			15	%W/W
DIMETHYL ISOSORBIDE	TOPICAL	EMULSION, CREAM	15	%
DIMETHYL SULFOXIDE	TOPICAL	DRESSING	16.5	MG
DIOCTYLPHTHALATE	TRANSDERMAL	FILM, CONTROLLED RELEASE	600.12	MG
DIPROPYLENE GLYCOL			5.37	MG
DIPROPYLENE GLYCOL			12	MG
DIPROPYLENE GLYCOL			1.58	MG
DIPROPYLENE GLYCOL	TRANSDERMAL	PATCH, CONTROLLED RELEASE	0.218	MG
DIPROPYLENE GLYCOL	TRANSDERMAL	FILM, CONTROLLED RELEASE	12	MG
DISODIUM LAURETH SULFOSUCCINATE	TOPICAL	GEL	0.04	%
DISODIUM LAURETH SULFOSUCCINATE	TOPICAL	GEL	0.04	%W/W
DISODIUM LAURYL SULFOSUCCINATE	TOPICAL	GEL	0.04	%W/W
DL-GLUTAMIC ACID	VAGINAL	EMULSION, CREAM	0.1	%
DL-LIMONENE	TOPICAL	LOTION	10	%
DL-TARTARIC ACID	RECTAL	SUPPOSITORY	21.5	MG

(Continued)

Ingredient	Route	Dosage FoRM	Quantity	Unit
DL-TARTARIC ACID	VAGINAL	SUPPOSITORY	32.3	MG
DOCUSATE SODIUM	TOPICAL	GEL	3	%
DOCUSATE SODIUM	TOPICAL	GEL	0.2	%W/W
DURO-TAK 387-2516			37.4	MG
DURO-TAK 80-1196			172	MG
DURO-TAK 80-1196	TRANSDERMAL	FILM, CONTROLLED RELEASE	172	MG
DURO-TAK 87-2194			208.28	MG
DURO-TAK 87-2287			537.7	MG
DURO-TAK 87-2287			121.1	MG
DURO-TAK 87-2194	TRANSDERMAL	FILM, CONTROLLED RELEASE	208.28	MG
DURO-TAK 87-2287	PERCUTANEOUS	PATCH, CONTROLLED RELEASE	165	CM
DURO-TAK 87-2287	TRANSDERMAL	FILM, CONTROLLED RELEASE	121.1	MG
DURO-TAK 87-2296	TRANSDERMAL	PATCH, CONTROLLED RELEASE	43	MG
DURO-TAK 87-2888	TRANSDERMAL	PATCH	175.9	MG
DYE BROWN LAKE BLEND	BUCCAL	GUM, CHEWING	0.17	MG
DYE FDC BROWN R LB-56069	BUCCAL	GUM, CHEWING	0.14	MG
EDAMINE	TOPICAL	EMULSION, CREAM	0.18	%
EDETATE CALCIUM DISODIUM	URETERAL	SOLUTION	0.01	%
EDETATE CALCIUM DISODIUM	URETHRAL	SOLUTION	0.01	%
EDETATE DISODIUM			0.05	%W/W
EDETATE DISODIUM		AUGMENTED	0.1	%W/W
EDETATE DISODIUM		EMULSION, SUSTAINED RELEASE	0.05	%W/W
EDETATE DISODIUM		TOPICAL	0.05	%W/W
EDETATE DISODIUM			0.11	%W/W
EDETATE DISODIUM			0.05	%W/W
EDETATE DISODIUM			0.1	%W/W
EDETATE DISODIUM			0.05	%
EDETATE DISODIUM			14	MG
EDETATE DISODIUM	AUGMENTED	TOPICAL	0.1	%W/W
EDETATE DISODIUM	EMULSION, SUSTAINED RELEASE	TOPICAL	0.05	%W/W
EDETATE DISODIUM	IONTOPHORESIS	PATCH, CONTROLLED RELEASE	0.1	MG
EDETATE DISODIUM	OPHTHALMIC	GEL	0.055	%
EDETATE DISODIUM	OPHTHALMIC	GEL	0.055	%
EDETATE DISODIUM	TOPICAL	OINTMENT	0.0065	%
EDETATE DISODIUM	TOPICAL	CREAM, EMULSION, SUSTAINED RELEASE	0.05	%
EDETATE DISODIUM	TOPICAL	CREAM, AUGMENTED	0.1	%
EDETATE DISODIUM	TOPICAL	LOTION	0.1	%
EDETATE DISODIUM	TOPICAL	PATCH, CONTROLLED RELEASE	0.1	MG
EDETATE DISODIUM	TOPICAL	GEL	0.17	%
EDETATE DISODIUM	TOPICAL	EMULSION, CREAM	1	%
EDETATE DISODIUM	TOPICAL	GEL	0.17	%W/W
EDETATE DISODIUM	TRANSDERMAL	GEL	0.06	%
EDETATE DISODIUM	TRANSDERMAL	GEL	0.06	%
EDETATE DISODIUM	VAGINAL	EMULSION, CREAM	0.05	%
EDETATE DISODIUM	VAGINAL	GEL	0.05	%
EDETATE DISODIUM	VAGINAL	CREAM	0.05	%W/W

(Continued)

Ingredient	Route	Dosage FoRM	Quantity	Unit
EDETATE DISODIUM	VAGINAL	CREAM, EMULSION, SUSTAINED RELEASE	0.05	%
EDETATE DISODIUM	VAGINAL	GEL	0.05	%
EDETATE DISODIUM ANHYDROUS			0.02	%W/W
EDETATE DISODIUM ANHYDROUS		TOPICAL	0.02	%W/W
EDETATE DISODIUM ANHYDROUS	VAGINAL	CREAM	0.05	%W/W
EDETATE SODIUM	TOPICAL	LOTION	0.05	%
EDETIC ACID	RECTAL	SUPPOSITORY	1.7	MG
EDETIC ACID	TOPICAL	LOTION	0.11	%
EMULSIFYING WAX			12	%W/W
EMULSIFYING WAX			12	%W/W
EMULSIFYING WAX		TOPICAL	12	%W/W
EMULSIFYING WAX		TOPICAL	12	%W/W
EMULSIFYING WAX			24.8	%W/W
ENTSUFON SODIUM			40.3	%W/W
ERYTHRITOL			10.35	MG
ESSENCE BOUQUET 9200	TOPICAL	LOTION	0.2	%
ETHYL ACETATE			36138	MG
ETHYL ACETATE	TRANSDERMAL	FILM, CONTROLLED RELEASE	36138	MG
ETHYL OLEATE			8.64	MG
ETHYL OLEATE	TRANSDERMAL	FILM, CONTROLLED RELEASE	8.64	MG
ETHYLCELLULOSE	TOPICAL	PATCH	2.53	MG
ETHYLCELLULOSE	TRANSDERMAL	FILM, CONTROLLED RELEASE	80.4	MG
ETHYLCELLULOSE, UNSPECIFIED			80.4	MG
ETHYLENE VINYL ACETATE COPOLYMER	TRANSDERMAL	FILM, CONTROLLED RELEASE	735	MG
ETHYLENE VINYLACETATE COPOLYMER, 28% VINYLACETATE	VAGINAL	SPONGE	1677	MG
ETHYLENE VINYLACETATE COPOLYMER, 9% VINYLACETATE	VAGINAL	SPONGE	197	MG
ETHYLENE-PROPYLENE COPOLYMER			31.67	MG
ETHYLENE-PROPYLENE COPOLYMER	TRANSDERMAL	FILM, CONTROLLED RELEASE	31.67	MG
ETHYLENE-VINYL ACETATE COPOLYMERS			11.65	MG
ETHYLENE-VINYL ACETATE COPOLYMERS			735	MG
ETHYLENEDIAMINE			0.18	%W/W
ETHYLENEDIAMINE DIHYDROCHLORIDE			0.25	%W/W
ETHYLENEDIAMINE DIHYDROCHLORIDE	TOPICAL	EMULSION, CREAM	0.25	%
ETHYLHEXYL HYDROXYSTEARATE		EMULSION, SUSTAINED RELEASE	12	%W/W
ETHYLHEXYL HYDROXYSTEARATE			12	%W/W
ETHYLHEXYL HYDROXYSTEARATE	EMULSION, SUSTAINED RELEASE	TOPICAL	12	%W/W
FAT, HARD	RECTAL	SUPPOSITORY	1920	MG
FATTY ACID PENTAERYTHRIOL ESTER	TOPICAL	OINTMENT	1	%
FD&C BLUE NO. 1			0.036	MG
FD&C GREEN NO. 3			0.003	%
FD&C GREEN NO. 3	RECTAL	SUPPOSITORY	0.015	MG
FD&C RED NO. 4	TOPICAL	LOTION	0.0007	%
FD&C RED NO. 40	BUCCAL	GUM, CHEWING	2	MG
FD&C RED NO. 40	TOPICAL	SPONGE	50	MG
FD&C YELLOW NO. 10	TOPICAL	LOTION	0.0008	%
FD&C YELLOW NO. 5			0.004	%W/W

(Continued)

Ingredient	Route	Dosage FoRM	Quantity	Unit
FD&C YELLOW NO. 5			0.004	%
FD&C YELLOW NO. 5	TOPICAL	EMULSION, CREAM	0.004	%
FD&C YELLOW NO. 5	VAGINAL	EMULSION, CREAM	0.004	%
FD&C YELLOW NO. 6			0.02	MG
FD&C YELLOW NO. 6	TOPICAL	GEL	0.0013	%
FD&C YELLOW NO. 6	TOPICAL	LOTION	0.0016	%
FD&C YELLOW NO. 6	TOPICAL	GEL	0.001	%W/W
FERRIC OXIDE	TOPICAL	LOTION	0.15	%
FERRIC OXIDE RED	BUCCAL	FILM	0.03	MG
FERRIC OXIDE YELLOW	BUCCAL	FILM	0.27	MG
FLAVOR CINNAMON	BUCCAL	GUM, CHEWING	26	MG
FLAVOR CINNAMON SD 516	BUCCAL	GUM, CHEWING	9.6	MG
FLAVOR CINNAMON VEKO 3726	BUCCAL	GUM, CHEWING	27	MG
FLAVOR CITRUS/FRUIT FREEZE 1100609500	BUCCAL	GUM, CHEWING	2.25	MG
FLAVOR DF-1530			0.77	%
FLAVOR FRUIT 84.6422	BUCCAL	GUM, CHEWING	11	MG
FLAVOR HAVERSTROO ZD 49284	BUCCAL	GUM, CHEWING	11	MG
FLAVOR LEMON LIME			6	MG
FLAVOR LEMON LIME SD 935			4.5	MG
FLAVOR MENTHOL VERALOCK	BUCCAL	GUM, CHEWING	3.84	MG
FLAVOR MINT 287	BUCCAL	GUM	27.03	MG
FLAVOR MINT 287	BUCCAL	GUM, CHEWING	28	MG
FORMALDEHYDE			0.27	%W/W
FORMALDEHYDE	TOPICAL	EMULSION, CREAM	0.27	%
FORMALDEHYDE SOLUTION			0.27	%W/W
FORMALDEHYDE SOLUTION	TOPICAL	EMULSION, CREAM	0.27	%
FRAGRANCE 6.007	TOPICAL	LOTION	0.2	%
FRAGRANCE 9128-Y			0.07	%W/W
FRAGRANCE 9128-Y	TOPICAL	EMULSION, CREAM	0.07	%
FRAGRANCE 93498G	TOPICAL	LOTION	0.0069	%
FRAGRANCE CHEMODERM 6401-B		AUGMENTED	0.25	%W/W
FRAGRANCE CHEMODERM 6401-B	AUGMENTED	TOPICAL	0.25	%W/W
FRAGRANCE CHEMODERM 6401-B	TOPICAL	CREAM, AUGMENTED	0.25	%
FRAGRANCE CHEMODERM 6411	TOPICAL	EMULSION, CREAM	0.1	%
FRAGRANCE CS-28197	TOPICAL	EMULSION, CREAM	0.1	%
FRAGRANCE GIVAUDAN ESS 9090/1 C	TOPICAL	SPONGE	1.01	MG
FRAGRANCE NJ-1085	TOPICAL	LOTION	0.1	%
FRAGRANCE P O FL-147			0.27	%W/W
FRAGRANCE PERA DERM D	TOPICAL	LOTION	0.12	%
FRAGRANCE RBD-9819			0.1	%W/W
FRAGRANCE RBD-9819			0.06	%W/W
FRAGRANCE RBD-9819	TOPICAL	LOTION	0.084	%
FRAGRANCE RBD-9819	TOPICAL	EMULSION, CREAM	0.125	%
FRAGRANCE UNGERER N5195	TOPICAL	LOTION	8.1	%
GELATIN, UNSPECIFIED	BUCCAL	GUM, CHEWING	4.27	MG
GLUCONOLACTONE	TOPICAL	SPONGE	2500	MG
GLUTAMIC ACID, DL-			0.1	%
GLYCERIN			1.5	%W/W
GLYCERIN			4	%W/W
GLYCERIN			5	%W/W
GLYCERIN			20	%W/W
GLYCERIN		AUGMENTED	4	%W/W
GLYCERIN		EMULSION, SUSTAINED RELEASE	3	%W/W
GLYCERIN		TOPICAL	1.5	%W/W
GLYCERIN		TOPICAL	4	%W/W

(Continued)

Ingredient	Route	Dosage FoRM	Quantity	Unit
GLYCERIN		TOPICAL	5	%W/W
GLYCERIN		TOPICAL	20	%W/W
GLYCERIN			2.11	%W/W
GLYCERIN			20	%W/W
GLYCERIN			6	%W/W
GLYCERIN			5	%W/W
GLYCERIN			2520	MG
GLYCERIN			306.2	MG
GLYCERIN	AUGMENTED	TOPICAL	4	%W/W
GLYCERIN	BUCCAL	GUM, CHEWING	28.8	MG
GLYCERIN	EMULSION, SUSTAINED RELEASE	TOPICAL	3	%W/W
GLYCERIN	IONTOPHORESIS	PATCH, CONTROLLED RELEASE	168.1	MG
GLYCERIN	OPHTHALMIC	GEL	0.88	%
GLYCERIN	OPHTHALMIC	GEL	0.88	%
GLYCERIN	RECTAL	SUPPOSITORY	128	MG
GLYCERIN	TOPICAL	CREAM, EMULSION, SUSTAINED RELEASE	2	%
GLYCERIN	TOPICAL	CREAM, AUGMENTED	4	%
GLYCERIN	TOPICAL	EMULSION, CREAM	20	%
GLYCERIN	TOPICAL	GEL	20	%
GLYCERIN	TOPICAL	LOTION	50	%
GLYCERIN	TOPICAL	PATCH, CONTROLLED RELEASE	168.1	MG
GLYCERIN	TOPICAL	GEL	20	%W/W
GLYCERIN	TRANSDERMAL	GEL	25	%
GLYCERIN	TRANSDERMAL	FILM, CONTROLLED RELEASE	306.2	MG
GLYCERIN	TRANSDERMAL	GEL	1.3	%W/W
GLYCERIN	TRANSDERMAL	GEL	5	%W/W
GLYCERIN	VAGINAL	EMULSION, CREAM	5	%
GLYCERIN	VAGINAL	GEL	14.51	%
GLYCERIN	VAGINAL	SUPPOSITORY	227.9	MG
GLYCERIN	VAGINAL	CREAM, EMULSION, SUSTAINED RELEASE	17	%W/W
GLYCERIN	VAGINAL	GEL	14.51	%
GLYCERYL CITRATE	TOPICAL	EMULSION, CREAM	0.05	%
GLYCERYL ISOSTEARATE		EMULSION, SUSTAINED RELEASE	2.7	%W/W
GLYCERYL ISOSTEARATE			2	%W/W
GLYCERYL ISOSTEARATE			2.7	%
GLYCERYL ISOSTEARATE	EMULSION, SUSTAINED RELEASE	TOPICAL	2.7	%W/W
GLYCERYL ISOSTEARATE	TOPICAL	EMULSION, CREAM	2	%
GLYCERYL ISOSTEARATE	VAGINAL	EMULSION, CREAM	2.7	%
GLYCERYL ISOSTEARATE	VAGINAL	CREAM, EMULSION, SUSTAINED RELEASE	2.7	%W/W
GLYCERYL LAURATE			0.83	MG
GLYCERYL LAURATE	TRANSDERMAL	FILM, CONTROLLED RELEASE	0.36	MG
GLYCERYL MONO AND DIPALMITOSTEARATE			7	%W/W
GLYCERYL MONO AND DIPALMITOSTEARATE			13.5	%W/W
GLYCERYL MONO AND DIPALMITOSTEARATE			9.5	%W/W
GLYCERYL MONO AND DIPALMITOSTEARATE		TOPICAL	7	%W/W
GLYCERYL MONO AND DIPALMITOSTEARATE		TOPICAL	13.5	%W/W

(Continued)

Ingredient	Route	Dosage FoRM	Quantity	Unit
GLYCERYL MONO AND DIPALMITOSTEARATE		TOPICAL	9.5	%W/W
GLYCERYL MONO AND DIPALMITOSTEARATE	VAGINAL	CREAM	4	%W/W
GLYCERYL MONOCITRATE			0.05	%W/W
GLYCERYL MONOSTEARATE			7	%W/W
GLYCERYL MONOSTEARATE		EMULSION, SUSTAINED RELEASE	8.5	%W/W
GLYCERYL MONOSTEARATE		TOPICAL	7	%W/W
GLYCERYL MONOSTEARATE			20	%W/W
GLYCERYL MONOSTEARATE			17	%
GLYCERYL MONOSTEARATE	EMULSION, SUSTAINED RELEASE	TOPICAL	8.5	%W/W
GLYCERYL MONOSTEARATE	VAGINAL	CREAM, EMULSION, SUSTAINED RELEASE	1	%W/W
GLYCERYL OLEATE		AUGMENTED	3.5	%W/W
GLYCERYL OLEATE			18.8	MG
GLYCERYL OLEATE	AUGMENTED	TOPICAL	3.5	%W/W
GLYCERYL OLEATE	TOPICAL	CREAM, AUGMENTED	3.5	%
GLYCERYL OLEATE	TRANSDERMAL	FILM, CONTROLLED RELEASE	18.8	MG
GLYCERYL OLEATE/PROPYLENE GLYCOL		AUGMENTED	3	%W/W
GLYCERYL OLEATE/PROPYLENE GLYCOL			3	%W/W
GLYCERYL OLEATE/PROPYLENE GLYCOL	AUGMENTED	TOPICAL	3	%W/W
GLYCERYL OLEATE/PROPYLENE GLYCOL	TOPICAL	CREAM, AUGMENTED	3	%
GLYCERYL OLEATE/PROPYLENE GLYCOL	TOPICAL	EMULSION, CREAM	3	%
GLYCERYL OLEATE/PROPYLENE GLYCOL	TOPICAL	OINTMENT	10	%
GLYCERYL PALMITATE	TOPICAL	EMULSION, CREAM	18	%
GLYCERYL STEARATE	RECTAL	SUPPOSITORY	32.3	MG
GLYCERYL STEARATE	TOPICAL	OINTMENT	5	%
GLYCERYL STEARATE	TOPICAL	LOTION	11.5	%
GLYCERYL STEARATE	TOPICAL	EMULSION, CREAM	20	%
GLYCERYL STEARATE	VAGINAL	EMULSION, CREAM	17	%
GLYCERYL STEARATE SE			4	%W/W
GLYCERYL STEARATE SE	TOPICAL	LOTION	0.5	%
GLYCERYL STEARATE SE	TOPICAL	EMULSION, CREAM	7	%
GLYCERYL STEARATE-LAURETH-23	TOPICAL	EMULSION, CREAM	0.7	%
GLYCERYL STEARATE/PEG STEARATE			6	%W/W
GLYCERYL STEARATE/PEG STEARATE		TOPICAL	6	%W/W
GLYCERYL STEARATE/PEG STEARATE	RECTAL	SUPPOSITORY	36.85	MG
GLYCERYL STEARATE/PEG-100 STEARATE			7.5	%W/W
GLYCERYL STEARATE/PEG-100 STEARATE		AUGMENTED	7.08	%W/W
GLYCERYL STEARATE/PEG-100 STEARATE		EMULSION, SUSTAINED RELEASE	5	%W/W
GLYCERYL STEARATE/PEG-100 STEARATE		TOPICAL	7.5	%W/W
GLYCERYL STEARATE/PEG-100 STEARATE			7.5	%W/W
GLYCERYL STEARATE/PEG-100 STEARATE	AUGMENTED	TOPICAL	7.08	%W/W
GLYCERYL STEARATE/PEG-100 STEARATE	EMULSION, SUSTAINED RELEASE	TOPICAL	5	%W/W
GLYCERYL STEARATE/PEG-100 STEARATE	TOPICAL	CREAM, AUGMENTED	7.08	%
GLYCERYL STEARATE/PEG-100 STEARATE	TOPICAL	LOTION	7.1	%
GLYCERYL STEARATE/PEG-100 STEARATE	TOPICAL	EMULSION, CREAM	7.5	%
GLYCERYL STEARATE/PEG-40 STEARATE	RECTAL	SUPPOSITORY	35	MG
GLYCOL STEARATE			1	%W/W
GLYCOL STEARATE		TOPICAL	1	%W/W
GLYCOL STEARATE			1	%W/W
GLYCOL STEARATE	TOPICAL	EMULSION, CREAM	1	%
GUM BASE, CHEWING	BUCCAL	GUM	691.2	MG

(Continued)

Ingredient	Route	Dosage FoRM	Quantity	Unit
GUM BASE, CHEWING	BUCCAL	GUM, CHEWING	729.6	MG
HAIR CONDITIONER (18N195-1M)	TOPICAL	LOTION	78.8	%
HERBACOL	TOPICAL	SPONGE	964	MG
HEXYLENE GLYCOL			12	%W/W
HEXYLENE GLYCOL	TOPICAL	GEL	2	%
HEXYLENE GLYCOL	TOPICAL	EMULSION, CREAM	12	%
HEXYLENE GLYCOL	TOPICAL	OINTMENT	12	%
HEXYLENE GLYCOL	TOPICAL	GEL	2	%W/W
HIGH DENSITY POLYETHYLENE			54.5	%W/W
HIGH DENSITY POLYETHYLENE			85	MG
HIGH DENSITY POLYETHYLENE	TOPICAL	GEL	26	%W/W
HYALURONATE SODIUM	TOPICAL	GEL	2.5	%
HYALURONATE SODIUM	TOPICAL	GEL	2.5	%W/W
HYDROCHLORIC ACID			0.033	%W/W
HYDROCHLORIC ACID		TOPICAL	0.033	%W/W
HYDROCHLORIC ACID			0.01	%W/W
HYDROCHLORIC ACID	OPHTHALMIC	GEL		ADJPH
HYDROCHLORIC ACID	OPHTHALMIC	GEL		ADJPH
HYDROCHLORIC ACID	TOPICAL	EMULSION, CREAM	0.34	%
HYDROCHLORIC ACID	TOPICAL	GEL	0.5	%W/W
HYDROCHLORIC ACID	TOPICAL	GEL		ADJPH
HYDROCHLORIC ACID	TRANSDERMAL	GEL	0.024	%
HYDROGENATED PALM OIL	VAGINAL	GEL	1.13	%
HYDROGENATED PALM/PALM KERNEL OIL PEG-6 ESTERS			5	%W/W
HYDROGENATED PALM/PALM KERNEL OIL PEG-6 ESTERS	TOPICAL	EMULSION, CREAM	5	%
HYDROGENATED POLYBUTENE 635-690			142.99	MG
HYDROGENATED STARCH HYDROLYSATE			6.02	MG
HYDROQUINONE	VAGINAL	CREAM	0.02	%W/W
HYDROXYETHYL CELLULOSE			20	MG
HYDROXYETHYL CELLULOSE	BUCCAL	FILM	54.92	MG
HYDROXYETHYL CELLULOSE	BUCCAL	FILM	39.88	MG
HYDROXYETHYL CELLULOSE	TOPICAL	LOTION	0.8	%
HYDROXYETHYL CELLULOSE	TOPICAL	GEL	1.25	%
HYDROXYETHYL CELLULOSE	TOPICAL	SPONGE	9.09	MG
HYDROXYETHYL CELLULOSE	TOPICAL	GEL	1.75	%W/W
HYDROXYETHYL CELLULOSE	TRANSDERMAL	FILM, CONTROLLED RELEASE	20	MG
HYDROXYOCTACOSANYL HYDROXYSTEARATE		AUGMENTED	5	%W/W
HYDROXYOCTACOSANYL HYDROXYSTEARATE	AUGMENTED	TOPICAL	5	%W/W
HYDROXYOCTACOSANYL HYDROXYSTEARATE	TOPICAL	CREAM, AUGMENTED	5	%
HYDROXYPROPYL CELLULOSE	TOPICAL	LOTION	0.54	%
HYDROXYPROPYL CELLULOSE	TOPICAL	LOTION, AUGMENTED	0.54	%
HYDROXYPROPYL CELLULOSE	TOPICAL	PATCH	1.26	MG
HYDROXYPROPYL CELLULOSE	TOPICAL	GEL	4	%
HYDROXYPROPYL CELLULOSE	TRANSDERMAL	FILM, CONTROLLED RELEASE	19	MG
HYDROXYPROPYL CELLULOSE (110000 WAMW)	BUCCAL	FILM	18.92	MG
HYDROXYPROPYL CELLULOSE (1200000 WAMW)			19	MG
HYDROXYPROPYL CELLULOSE (1200000 WAMW)	BUCCAL	FILM	100.04	MG
HYDROXYPROPYL CELLULOSE (1200000 WAMW)	BUCCAL	FILM	72.91	MG
HYDROXYPROPYL CELLULOSE (1200000 WAMW)	BUCCAL	GUM	13.92	MG
HYDROXYPROPYL CELLULOSE (1200000 WAMW)	BUCCAL	GUM, CHEWING	27.92	MG
HYDROXYPROPYL CELLULOSE (1200000 WAMW)	TOPICAL	GEL	4	%W/W
HYDROXYPROPYL CELLULOSE (1200000 WAMW)	TRANSDERMAL	GEL	1.5	%

(Continued)

Ingredient	Route	Dosage FoRM	Quantity	Unit
HYDROXYPROPYL CELLULOSE (1600000 WAMW)	TOPICAL	GEL	2.5	%W/W
HYDROXYPROPYL CELLULOSE (1600000 WAMW)	TRANSDERMAL	GEL	2	%W/W
HYDROXYPROPYL METHYLCELLULOSE 2208	VAGINAL	EMULSION, CREAM	0.3	%
HYPROMELLOSE 2208 (4000 MPA.S)			0.3	%
HYPROMELLOSE 2208 (4000 MPA.S)	VAGINAL	CREAM	0.3	%W/W
HYPROMELLOSE 2906 (4000 MPA.S)	BUCCAL	GUM, CHEWING	14	MG
HYPROMELLOSE 2910 (15 MPA.S)			15.53	MG
HYPROMELLOSE 2910 (15 MPA.S)			2.11	MG
HYPROMELLOSE 2910 (15 MPA.S)			3.42	MG
HYPROMELLOSE 2910 (4000 MPA.S)	TOPICAL	JELLY	2.28	%W/W
HYPROMELLOSE 2910 (5 MPA.S)			2.34	MG/STRIP
HYPROMELLOSE, UNSPECIFIED			33.5	MG
HYPROMELLOSE, UNSPECIFIED			0.1	%W/W
HYPROMELLOSE, UNSPECIFIED			0.1	%W/W
HYPROMELLOSE, UNSPECIFIED	OPHTHALMIC	GEL	2.25	%
HYPROMELLOSE, UNSPECIFIED	OPHTHALMIC	GEL	2.25	%
HYPROMELLOSE, UNSPECIFIED	RECTAL	GEL	8.7	%
HYPROMELLOSE, UNSPECIFIED	TOPICAL	JELLY	2.5	%W/W
HYPROMELLOSE, UNSPECIFIED	URETHRAL	JELLY	2.3	%
HYPROMELLOSE, UNSPECIFIED	VAGINAL	GEL	3.5	%
IMIDUREA			0.3	%W/W
IMIDUREA		TOPICAL	0.3	%W/W
IMIDUREA			0.4	%W/W
IMIDUREA			0.14	%W/W
IMIDUREA	TOPICAL	EMULSION, LOTION	0.14	%
IMIDUREA	TOPICAL	LOTION	0.2	%
IMIDUREA	TOPICAL	EMULSION, CREAM	0.4	%
INK/POLYETHYLENE TEREPHTHALATE/ALUMINUM/ POLYETHYLENE/SODIUM POLYMETHACRYLATE/ ETHYLENE VINYLACETATE COPOLYMER			264	MG/PATCH
IRISH MOSS EXTRACT	TOPICAL	LOTION	0.3	%
ISOPROPYL ALCOHOL			6.5	%W/W
ISOPROPYL ALCOHOL		TOPICAL	6.5	%W/W
ISOPROPYL ALCOHOL	TOPICAL	GEL	20	%
ISOPROPYL ALCOHOL	TOPICAL	LOTION, AUGMENTED	30	%
ISOPROPYL ALCOHOL	TOPICAL	SPONGE	56.1	ML
ISOPROPYL ALCOHOL	TOPICAL	LOTION	99.57	%
ISOPROPYL ALCOHOL	TOPICAL	GEL	30	%W/W
ISOPROPYL ISOSTEARATE		EMULSION, SUSTAINED RELEASE	3	%W/W
ISOPROPYL ISOSTEARATE			3	%W/W
ISOPROPYL ISOSTEARATE	EMULSION, SUSTAINED RELEASE	TOPICAL	3	%W/W
ISOPROPYL ISOSTEARATE	TOPICAL	CREAM, EMULSION, SUSTAINED RELEASE	3	%
ISOPROPYL ISOSTEARATE	TOPICAL	EMULSION, CREAM	3	%
ISOPROPYL MYRISTATE			10	%W/W
ISOPROPYL MYRISTATE		AUGMENTED	8	%W/W
ISOPROPYL MYRISTATE		EMULSION, SUSTAINED RELEASE	10	%W/W
ISOPROPYL MYRISTATE		TOPICAL	10	%W/W
ISOPROPYL MYRISTATE			7.9	%W/W
ISOPROPYL MYRISTATE			15	%W/W
ISOPROPYL MYRISTATE			1	%W/W
ISOPROPYL MYRISTATE			1	%
ISOPROPYL MYRISTATE			58.08	MG

(Continued)

Ingredient	Route	Dosage FoRM	Quantity	Unit
ISOPROPYL MYRISTATE			20.4	MG
ISOPROPYL MYRISTATE	AUGMENTED	TOPICAL	8	%W/W
ISOPROPYL MYRISTATE	EMULSION, SUSTAINED RELEASE	TOPICAL	10	%W/W
ISOPROPYL MYRISTATE	TOPICAL	EMULSION, LOTION	1	%
ISOPROPYL MYRISTATE	TOPICAL	LOTION	2	%
ISOPROPYL MYRISTATE	TOPICAL	EMULSION, CREAM	10	%
ISOPROPYL MYRISTATE	TOPICAL	GEL	10	%
ISOPROPYL MYRISTATE	TOPICAL	OINTMENT	35	%
ISOPROPYL MYRISTATE	TOPICAL	GEL	10	%W/W
ISOPROPYL MYRISTATE	TRANSDERMAL	GEL	0.86	%
ISOPROPYL MYRISTATE	TRANSDERMAL	GEL	1	%W/W
ISOPROPYL MYRISTATE	TRANSDERMAL	GEL, METERED	1	%
ISOPROPYL MYRISTATE	VAGINAL	EMULSION, CREAM	5	%
ISOPROPYL MYRISTATE	VAGINAL	CREAM, EMULSION, SUSTAINED RELEASE	1	%
ISOPROPYL PALMITATE			3.2	%W/W
ISOPROPYL PALMITATE			3.8	%W/W
ISOPROPYL PALMITATE			4.5	%W/W
ISOPROPYL PALMITATE		AUGMENTED	4	%W/W
ISOPROPYL PALMITATE		EMULSION, SUSTAINED RELEASE	1.8	%W/W
ISOPROPYL PALMITATE		TOPICAL	3.2	%W/W
ISOPROPYL PALMITATE		TOPICAL	3.8	%W/W
ISOPROPYL PALMITATE		TOPICAL	4.5	%W/W
ISOPROPYL PALMITATE			5	%W/W
ISOPROPYL PALMITATE			1.81	MG
ISOPROPYL PALMITATE			187.5	MG
ISOPROPYL PALMITATE	AUGMENTED	TOPICAL	4	%W/W
ISOPROPYL PALMITATE	EMULSION, SUSTAINED RELEASE	TOPICAL	1.8	%W/W
ISOPROPYL PALMITATE	TOPICAL	CREAM, EMULSION, SUSTAINED RELEASE	1.8	%
ISOPROPYL PALMITATE	TOPICAL	LOTION	3.9	%
ISOPROPYL PALMITATE	TOPICAL	EMULSION, CREAM	5.5	%
ISOPROPYL PALMITATE	TOPICAL	GEL	0.001	%W/W
ISOPROPYL PALMITATE	TRANSDERMAL	FILM, CONTROLLED RELEASE	187.5	MG
ISOSTEARIC ACID		EMULSION, SUSTAINED RELEASE	25	%W/W
ISOSTEARIC ACID			25	%W/W
ISOSTEARIC ACID	EMULSION, SUSTAINED RELEASE	TOPICAL	25	%W/W
ISOSTEARIC ACID	TOPICAL	EMULSION, CREAM	2.5	%
ISOSTEARIC ACID	TRANSDERMAL	GEL	0.2	%
ISOSTEARYL ALCOHOL			3	%W/W
ISOSTEARYL ALCOHOL	TOPICAL	EMULSION, CREAM	3	%
ISOSTEARYL ALCOHOL	TOPICAL	LOTION	25	%
KATHON CG	TOPICAL	CREAM, EMULSION, SUSTAINED RELEASE	0.05	%
KATHON CG	TOPICAL	EMULSION, CREAM	0.05	%
KATHON CG II	TOPICAL	EMULSION, CREAM	0.05	%
LACTIC ACID	TOPICAL	EMULSION, CREAM	1	%
LACTIC ACID	TOPICAL	LOTION	5.7	%
LACTIC ACID	TOPICAL	GEL	6.07	%
LACTIC ACID	VAGINAL	EMULSION, CREAM	0.81	%

(Continued)

Ingredient	Route	Dosage FoRM	Quantity	Unit
LACTIC ACID, UNSPECIFIED FORM			0.005	%W/W
LACTIC ACID, UNSPECIFIED FORM			0.015	%W/W
LACTIC ACID, UNSPECIFIED FORM			0.05	%W/W
LACTIC ACID, UNSPECIFIED FORM				ADJ PH
LACTIC ACID, UNSPECIFIED FORM		TOPICAL	0.005	%W/W
LACTIC ACID, UNSPECIFIED FORM		TOPICAL	0.015	%W/W
LACTIC ACID, UNSPECIFIED FORM		TOPICAL	0.05	%W/W
LACTIC ACID, UNSPECIFIED FORM		TOPICAL		ADJ PH
LACTIC ACID, UNSPECIFIED FORM			1.05	%W/W
LACTIC ACID, UNSPECIFIED FORM			1	%W/W
LACTIC ACID, UNSPECIFIED FORM			0.81	%
LACTIC ACID, UNSPECIFIED FORM	TOPICAL	GEL	6.07	%W/W
LACTOSE	TRANSDERMAL	OINTMENT	18.9	%
LACTOSE	TRANSDERMAL	FILM, CONTROLLED RELEASE	675	MG
LACTOSE	VAGINAL	EMULSION, CREAM	3	%
LACTOSE, UNSPECIFIED FORM			3	%
LACTOSE, UNSPECIFIED FORM			675	MG
LANOLIN			2	%W/W
LANOLIN			2	%
LANOLIN	TOPICAL	EMULSION, CREAM	2	%
LANOLIN	TOPICAL	OINTMENT	2	%
LANOLIN	TOPICAL	LOTION	2.5	%
LANOLIN	VAGINAL	EMULSION, CREAM	2	%
LANOLIN ALCOHOL—MINERAL OIL			5	%W/W
LANOLIN ALCOHOL—MINERAL OIL	TOPICAL	EMULSION, CREAM	5	%
LANOLIN ALCOHOL—MINERAL OIL	TOPICAL	LOTION	11	%
LANOLIN ALCOHOLS			3.48	%W/W
LANOLIN ALCOHOLS		AUGMENTED	8	%W/W
LANOLIN ALCOHOLS		EMULSION, SUSTAINED RELEASE	3	%W/W
LANOLIN ALCOHOLS		TOPICAL	3.48	%W/W
LANOLIN ALCOHOLS			6	%W/W
LANOLIN ALCOHOLS	AUGMENTED	TOPICAL	8	%W/W
LANOLIN ALCOHOLS	EMULSION, SUSTAINED RELEASE	TOPICAL	3	%W/W
LANOLIN ALCOHOLS	TOPICAL	OINTMENT	3.01	%
LANOLIN ALCOHOLS	TOPICAL	EMULSION, CREAM	6	%
LANOLIN OIL			1	%W/W
LANOLIN OIL			2	%W/W
LANOLIN OIL		TOPICAL	1	%W/W
LANOLIN OIL		TOPICAL	2	%W/W
LANOLIN, ANHYDROUS	TOPICAL	EMULSION, CREAM	2	%
LANOLIN, ANHYDROUS	TRANSDERMAL	OINTMENT	35	%
LANOLIN, ANHYDROUS	VAGINAL	EMULSION, CREAM	0.2	%
LANOLIN, HYDROGENATED	TOPICAL	OINTMENT	10	%
LAURETH-23			0.45	%W/W
LAURETH-23			1.08	%W/W
LAURETH-4			1.1	%W/W
LAURETH-4	TOPICAL	EMULSION, CREAM	1.1	%
LAURETH-4	TOPICAL	LOTION	3	%
LAURETH-4	TOPICAL	GEL	3	%W/W
LAURIC DIETHANOLAMIDE			15	%W/W
LAURIC DIETHANOLAMIDE	TOPICAL	LOTION	1.7	%
LAURIC MYRISTIC DIETHANOLAMIDE	TOPICAL	LOTION	0.54	%
LAURYL LACTATE			12	MG

(Continued)

Ingredient	Route	Dosage FoRM	Quantity	Unit
LAVENDER OIL	TOPICAL	GEL	0.1	%W/W
LECITHIN			1	%
LECITHIN			9.86	MG
LECITHIN	RECTAL	SUPPOSITORY	6.5	MG
LECITHIN	TOPICAL	GEL	1	%
LECITHIN	TOPICAL	GEL	1	%W/W
LECITHIN	TRANSDERMAL	FILM, CONTROLLED RELEASE	9.86	MG
LECITHIN	VAGINAL	EMULSION, CREAM	1	%
LECITHIN UNBLEACHED			0.81	%
LECITHIN, SOYBEAN			1	%
LECITHIN, SOYBEAN	VAGINAL	EMULSION, CREAM	0.33	%
LEMON OIL	TOPICAL	GEL	1	%
LEMON OIL	TOPICAL	GEL	0.05	%W/W
LEVOMENTHOL	BUCCAL	GUM	9.2	MG
LEVOMENTHOL	BUCCAL	GUM, CHEWING	4.4	MG
LIGHT MINERAL OIL			1	%W/W
LIGHT MINERAL OIL		AUGMENTED	25	%W/W
LIGHT MINERAL OIL		TOPICAL	1	%W/W
LIGHT MINERAL OIL			5	%W/W
LIGHT MINERAL OIL			6	%W/W
LIGHT MINERAL OIL			20	%W/W
LIGHT MINERAL OIL			18	%W/W
LIGHT MINERAL OIL			162	MG
LIGHT MINERAL OIL			26.4	MG
LIGHT MINERAL OIL	AUGMENTED	TOPICAL	25	%W/W
LIGHT MINERAL OIL	TOPICAL	LOTION	16	%
LIGHT MINERAL OIL	TOPICAL	EMULSION, CREAM	20	%
LIGHT MINERAL OIL	TOPICAL	OINTMENT	23	%
LIGHT MINERAL OIL	TOPICAL	CREAM, AUGMENTED	25	%
LIGHT MINERAL OIL	TRANSDERMAL	FILM, CONTROLLED RELEASE	162	MG
LIGHT MINERAL OIL	VAGINAL	CREAM, EMULSION, SUSTAINED RELEASE	7	%
LIMONENE, (+)-	TOPICAL	GEL	0.5	%W/W
LIPOCOL SC-15			1	%W/W
LIPOCOL SC-15	TOPICAL	EMULSION, CREAM	1	%
MAGNESIUM ALUMINUM SILICATE		AUGMENTED	3	%W/W
MAGNESIUM ALUMINUM SILICATE			1.5	%W/W
MAGNESIUM ALUMINUM SILICATE	AUGMENTED	TOPICAL	3	%W/W
MAGNESIUM ALUMINUM SILICATE	TOPICAL	EMULSION, CREAM	1.5	%
MAGNESIUM ALUMINUM SILICATE	TOPICAL	LOTION	1.5	%
MAGNESIUM ALUMINUM SILICATE	VAGINAL	OINTMENT	5	%
MAGNESIUM ALUMINUM SILICATE HYDRATE	TOPICAL	EMULSION, CREAM	1.5	%
MAGNESIUM ALUMINUM SILICATE HYDRATE	TOPICAL	CREAM, AUGMENTED	3	%
MAGNESIUM ALUMINUM SILICATE HYDRATE	VAGINAL	OINTMENT	5.39	%
MAGNESIUM OXIDE	BUCCAL	GUM	7.2	MG
MAGNESIUM OXIDE	BUCCAL	GUM, CHEWING	7.2	MG
MAGNESIUM STEARATE			0.001	%W/W
MAGNESIUM STEARATE	TOPICAL	EMULSION, CREAM	0.0008	%
MAGNESIUM STEARATE	VAGINAL	SPONGE	0.85	MG
MALTITOL			7.33	MG
MALTITOL	BUCCAL	GUM, CHEWING	209.2	MG
MALTODEXTRIN			3.2	MG
MANNITOL	BUCCAL	GUM, CHEWING	37.11	MG
MANNITOL	OPHTHALMIC	GEL	5	%

(Continued)

Ingredient	Route	Dosage FoRM	Quantity	Unit
MANNITOL	OPHTHALMIC	GEL	5	%
MAPROFIX	TOPICAL	EMULSION, CREAM	2	%
MEDICAL ADHESIVE MODIFIED S-15	TRANSDERMAL	FILM, CONTROLLED RELEASE	164	MG
MEDICAL ANTIFORM A-F EMULSION			0.1	%W/W
MEDICAL ANTIFORM A-F EMULSION	TOPICAL	EMULSION, CREAM	0.1	%
MEDIUM-CHAIN TRIGLYCERIDES			2.5	%W/W
MEDIUM-CHAIN TRIGLYCERIDES		AUGMENTED	8	%W/W
MEDIUM-CHAIN TRIGLYCERIDES		EMULSION, SUSTAINED RELEASE	1.37	%W/V
MEDIUM-CHAIN TRIGLYCERIDES		EMULSION, SUSTAINED RELEASE	10	%W/W
MEDIUM-CHAIN TRIGLYCERIDES		TOPICAL	2.5	%W/W
MEDIUM-CHAIN TRIGLYCERIDES			10.87	%W/W
MEDIUM-CHAIN TRIGLYCERIDES			10.8	%W/W
MEDIUM-CHAIN TRIGLYCERIDES	AUGMENTED	TOPICAL	8	%W/W
MEDIUM-CHAIN TRIGLYCERIDES	EMULSION, SUSTAINED RELEASE	TOPICAL	1.37	%W/V
MEDIUM-CHAIN TRIGLYCERIDES	EMULSION, SUSTAINED RELEASE	TOPICAL	10	%W/W
MEDIUM-CHAIN TRIGLYCERIDES	TOPICAL	GEL	10	%W/W
MEGLUMINE	URETERAL	SOLUTION	7.238	%
MENTHOL	TOPICAL	LOTION	0.05	%
MENTHOL, UNSPECIFIED FORM	BUCCAL	GUM, CHEWING	21	MG
METHOXY PEG-16		EMULSION, SUSTAINED RELEASE	18	%W/W
METHOXY PEG-16	EMULSION, SUSTAINED RELEASE	TOPICAL	18	%W/W
METHOXY PEG-22/DODECYL GLYCOL COPOLYMER		AUGMENTED	5	%W/W
METHOXY PEG-22/DODECYL GLYCOL COPOLYMER	AUGMENTED	TOPICAL	5	%W/W
METHOXPOLYOXYETHYLENE GLYCOL 350	TOPICAL	GEL	20	%
METHYL ALCOHOL	TRANSDERMAL	FILM, CONTROLLED RELEASE	4015	MG
METHYL GLUCETH-10		AUGMENTED	5	%W/W
METHYL GLUCETH-10	AUGMENTED	TOPICAL	5	%W/W
METHYL GLUCETH-10	TOPICAL	CREAM, AUGMENTED	5	%
METHYL GLUCETH-20		EMULSION, SUSTAINED RELEASE	13.6	%W/W
METHYL GLUCETH-20			5	%W/W
METHYL GLUCETH-20	EMULSION, SUSTAINED RELEASE	TOPICAL	13.6	%W/W
METHYL GLUCETH-20	TOPICAL	EMULSION, CREAM	5	%
METHYL GLUCETH-20 SESQUISTEARATE	TOPICAL	EMULSION, CREAM	3.5	%
METHYL GLUCOSE SESQUISTEARATE		AUGMENTED	3.5	%W/W
METHYL GLUCOSE SESQUISTEARATE			3.5	%W/W
METHYL GLUCOSE SESQUISTEARATE	AUGMENTED	TOPICAL	3.5	%W/W
METHYL GLUCOSE SESQUISTEARATE	TOPICAL	EMULSION, CREAM	3.5	%
METHYL LAURATE			17.6	MG
METHYL LAURATE	TRANSDERMAL	FILM, CONTROLLED RELEASE	17.6	MG
METHYL LAURATE	TRANSDERMAL	GEL	0.25	%
METHYL SALICYLATE	TOPICAL	GEL	1	%
METHYL SALICYLATE	TOPICAL	GEL	0.05	%W/W
METHYL STEARATE			1	%W/W
METHYL STEARATE			1	%
METHYL STEARATE	TOPICAL	EMULSION, CREAM	1	%

(Continued)

Ingredient	Route	Dosage FoRM	Quantity	Unit
METHYL STEARATE	VAGINAL	EMULSION, CREAM	1	%
METHYL STEARATE	VAGINAL	CREAM, EMULSION, SUSTAINED RELEASE	1.5	%
METHYLCELLULOSE	TOPICAL	EMULSION, CREAM	1.3	%
METHYLCELLULOSE	TOPICAL	LOTION	1.5	%
METHYLCELLULOSE, UNSPECIFIED			0.11	%W/W
METHYLCELLULOSE, UNSPECIFIED			1.3	%W/W
METHYLCHLOROISOTHIAZOLINONE/ METHYLISOTHIAZOLINONE MIXTURE		EMULSION, SUSTAINED RELEASE	0.05	%W/W
METHYLCHLOROISOTHIAZOLINONE/ METHYLISOTHIAZOLINONE MIXTURE			0.05	%W/W
METHYLCHLOROISOTHIAZOLINONE/ METHYLISOTHIAZOLINONE MIXTURE	EMULSION, SUSTAINED RELEASE	TOPICAL	0.05	%W/W
METHYLPARABEN			0.15	%W/W
METHYLPARABEN			0.2	%W/W
METHYLPARABEN		AUGMENTED	0.2	%W/W
METHYLPARABEN		EMULSION, SUSTAINED RELEASE	0.2	%W/W
METHYLPARABEN		TOPICAL	0.15	%W/W
METHYLPARABEN		TOPICAL	0.2	%W/W
METHYLPARABEN			0.2	%W/W
METHYLPARABEN			0.11	%W/W
METHYLPARABEN			0.5	%W/W
METHYLPARABEN			0.17	%W/W
METHYLPARABEN			0.1	%
METHYLPARABEN			0.2	%
METHYLPARABEN			14	MG
METHYLPARABEN	AUGMENTED	TOPICAL	0.2	%W/W
METHYLPARABEN	BUCCAL	FILM	1	MG
METHYLPARABEN	BUCCAL	FILM	0.71	MG
METHYLPARABEN	EMULSION, SUSTAINED RELEASE	TOPICAL	0.2	%W/W
METHYLPARABEN	IONTOPHORESIS	SOLUTION	0.1	%
METHYLPARABEN	TOPICAL	EMULSION, LOTION	0.17	%
METHYLPARABEN	TOPICAL	CREAM, AUGMENTED	0.2	%
METHYLPARABEN	TOPICAL	CREAM, EMULSION, SUSTAINED RELEASE	0.2	%
METHYLPARABEN	TOPICAL	OINTMENT	0.2	%
METHYLPARABEN	TOPICAL	GEL	0.3	%
METHYLPARABEN	TOPICAL	PATCH	0.35	MG
METHYLPARABEN	TOPICAL	LOTION	15	%
METHYLPARABEN	TOPICAL	EMULSION, CREAM	18	%
METHYLPARABEN	TOPICAL	JELLY	70	%
METHYLPARABEN	TOPICAL	GEL	0.3	%W/W
METHYLPARABEN	TOPICAL	JELLY	0.07	%W/V
METHYLPARABEN	URETHRAL	INJECTION	0.18	%
METHYLPARABEN	URETHRAL	JELLY	0.07	%
METHYLPARABEN	VAGINAL	GEL	0.08	%
METHYLPARABEN	VAGINAL	EMULSION, CREAM	0.2	%
METHYLPARABEN	VAGINAL	CREAM	0.2	%W/W
METHYLPARABEN	VAGINAL	CREAM, EMULSION, SUSTAINED RELEASE	0.18	%
METHYLPARABEN	VAGINAL	GEL	0.08	%
MICROCRYSTALLINE WAX		EMULSION, SUSTAINED RELEASE	0.45	%W/W
MICROCRYSTALLINE WAX			0.45	%

(Continued)

Ingredient	Route	Dosage FoRM	Quantity	Unit
MICROCRYSTALLINE WAX	EMULSION, SUSTAINED RELEASE	TOPICAL	0.45	%W/W
MICROCRYSTALLINE WAX	TOPICAL	OINTMENT	30	%
MICROCRYSTALLINE WAX	VAGINAL	EMULSION, CREAM	0.45	%
MICROCRYSTALLINE WAX	VAGINAL	CREAM, EMULSION, SUSTAINED RELEASE	0.45	%W/W
MINERAL OIL			23.63	%W/W
MINERAL OIL		AUGMENTED	50.62	%W/W
MINERAL OIL		EMULSION, SUSTAINED RELEASE	50.6	%W/W
MINERAL OIL		TOPICAL	23.63	%W/W
MINERAL OIL			40	%W/W
MINERAL OIL			20	%W/W
MINERAL OIL			15	%
MINERAL OIL			11.42	MG
MINERAL OIL			34	MG
MINERAL OIL	AUGMENTED	TOPICAL	50.62	%W/W
MINERAL OIL	EMULSION, SUSTAINED RELEASE	TOPICAL	50.6	%W/W
MINERAL OIL	TOPICAL	LOTION	19.4796	%
MINERAL OIL	TOPICAL	EMULSION, LOTION	20	%
MINERAL OIL	TOPICAL	CREAM, EMULSION, SUSTAINED RELEASE	23.63	%
MINERAL OIL	TOPICAL	EMULSION, CREAM	40	%
MINERAL OIL	TOPICAL	CREAM, AUGMENTED	50.618	%
MINERAL OIL	TOPICAL	OINTMENT	95	%
MINERAL OIL	TOPICAL	GEL	2.5	%W/W
MINERAL OIL	TRANSDERMAL	PATCH, CONTROLLED RELEASE	1.52	MG
MINERAL OIL	TRANSDERMAL	FILM, CONTROLLED RELEASE	11.8	MG
MINERAL OIL	VAGINAL	GEL	4.725	%
MINERAL OIL	VAGINAL	EMULSION, CREAM	15	%
MINERAL OIL	VAGINAL	CREAM, EMULSION, SUSTAINED RELEASE	7	%
MINERAL OIL	VAGINAL	GEL	4.73	%
MONO AND DIGLYCERIDE			13	%W/W
MONO AND DIGLYCERIDE		AUGMENTED	1	%W/W
MONO AND DIGLYCERIDE		TOPICAL	13	%W/W
MONO AND DIGLYCERIDE			0.54	%W/W
MONO AND DIGLYCERIDE	AUGMENTED	TOPICAL	1	%W/W
MYRISTYL ALCOHOL			3	%W/W
MYRISTYL ALCOHOL	TOPICAL	LOTION	1	%
MYRISTYL ALCOHOL	TOPICAL	EMULSION, CREAM	3	%
MYRISTYL LACTATE	TOPICAL	LOTION	92.8	%
N-3-CHLOROALLYL-METHENAMINE CHLORIDE	TOPICAL	EMULSION, CREAM	0.1	%
NIACINAMIDE	TOPICAL	GEL	1.25	%
NIACINAMIDE	TOPICAL	GEL	1.25	%W/W
NITRIC ACID				ADJPH
NONOXYNOL-15	TOPICAL	SPONGE	50.5	MG
OCTADECENE-1/MALEIC ACID COPOLYMER	TOPICAL	LOTION	2	%
OCTOXYNOL-9	TOPICAL	GEL	0.012	%
OCTOXYNOL-9	TOPICAL	GEL	0.12	%W/W
OCTYL HYDROXYSTEARATE	TOPICAL	EMULSION, CREAM	12	%
OCTYLDODECANOL			12	%W/W
OCTYLDODECANOL			13.5	%

(Continued)

Ingredient	Route	Dosage FoRM	Quantity	Unit
OCTYLDODECANOL			32.44	MG
OCTYLDODECANOL			253.4	MG
OCTYLDODECANOL	TOPICAL	LOTION	3.3	%
OCTYLDODECANOL	TOPICAL	GEL	10	%
OCTYLDODECANOL	TOPICAL	EMULSION, CREAM	12	%
OCTYLDODECANOL	TOPICAL	GEL	10	%W/W
OCTYLDODECANOL	TRANSDERMAL	FILM, CONTROLLED RELEASE	253.4	MG
OCTYLDODECANOL	VAGINAL	CREAM, AUGMENTED	13.5	%
OCTYLDODECANOL	VAGINAL	EMULSION, CREAM	13.5	%
OCTYLDODECANOL	VAGINAL	CREAM, AUGMENTED	13.5	%
OLEIC ACID			25	%W/W
OLEIC ACID			22	MG
OLEIC ACID	TOPICAL	GEL	2.5	%W/W
OLEIC ACID	TRANSDERMAL	PATCH, CONTROLLED RELEASE	5.51	MG
OLEIC ACID	TRANSDERMAL	FILM, CONTROLLED RELEASE	22	MG
OLEYL ALCOHOL		AUGMENTED	10	%W/W
OLEYL ALCOHOL			10	%W/W
OLEYL ALCOHOL			6.11	MG
OLEYL ALCOHOL			6.03	MG
OLEYL ALCOHOL			7.45	MG
OLEYL ALCOHOL	AUGMENTED	TOPICAL	10	%W/W
OLEYL ALCOHOL	TOPICAL	OINTMENT	5	%
OLEYL ALCOHOL	TOPICAL	EMULSION, CREAM	10	%
OLEYL ALCOHOL	TRANSDERMAL	GEL	1.5	%
OLEYL OLEATE	TOPICAL	OINTMENT	2.55	%
OLEYL POLYETHYLENE GLYCOL GLYCERIDE	NASAL	GEL	4	%
OLIVE OIL		AUGMENTED	27.75	%W/W
OLIVE OIL	AUGMENTED	TOPICAL	27.75	%W/W
PALM OIL, HYDROGENATED	VAGINAL	GEL	1.125	%
PARAFFIN			2.5	%W/W
PARAFFIN		TOPICAL	2.5	%W/W
PARAFFIN			2	%W/W
PARAFFIN	TOPICAL	EMULSION, CREAM	4.5	%
PARAFFIN	TOPICAL	OINTMENT	68.995	%
PARAFFIN, WHITE SOFT	TOPICAL	EMULSION, CREAM	15	%
PARFUM CREME 45/3	TOPICAL	GEL	0.1	%W/W
PEANUT OIL		AUGMENTED	10	%W/W
PEANUT OIL			9	%
PEANUT OIL	AUGMENTED	TOPICAL	10	%W/W
PEANUT OIL	TOPICAL	EMULSION, CREAM	3	%
PEANUT OIL	VAGINAL	EMULSION, CREAM	9	%
PEG 6-32 STEARATE/GLYCOL STEARATE			19.6	%W/W
PEG 6-32 STEARATE/GLYCOL STEARATE			19.6	%
PEG 6-32 STEARATE/GLYCOL STEARATE	TOPICAL	EMULSION, CREAM	19.6	%
PEG 6-32 STEARATE/GLYCOL STEARATE	VAGINAL	EMULSION, CREAM	19.6	%
PEG-100 STEARATE		AUGMENTED	0.5	%W/W
PEG-100 STEARATE			2.1	%W/W
PEG-100 STEARATE	AUGMENTED	TOPICAL	0.5	%W/W
PEG-120 GLYCERYL STEARATE			5	%W/W
PEG-120 GLYCERYL STEARATE			2	%
PEG-2 STEARATE			1	%W/W
PEG-20 METHYL GLUCOSE SESQUISTEARATE		AUGMENTED	3.5	%W/W
PEG-20 METHYL GLUCOSE SESQUISTEARATE			3.5	%W/W

(Continued)

Ingredient	Route	Dosage FoRM	Quantity	Unit
PEG-20 METHYL GLUCOSE SESQUISTEARATE	AUGMENTED	TOPICAL	3.5	%W/W
PEG-22 METHYL ETHER/DODECYL GLYCOL COPOLYMER	TOPICAL	CREAM, AUGMENTED	5	%
PEG-25 PROPYLENE GLYCOL STEARATE	TOPICAL	EMULSION, CREAM	2.5	%
PEG-45/DODECYL GLYCOL COPOLYMER		AUGMENTED	3	%W/W
PEG-45/DODECYL GLYCOL COPOLYMER	AUGMENTED	TOPICAL	3	%W/W
PEG-45/DODECYL GLYCOL COPOLYMER	TOPICAL	CREAM, AUGMENTED	3	%
PEG-5 OLEATE			3.05	%W/W
PEG-5 OLEATE			3	%
PEG-50 STEARATE			2	%W/W
PEG-6 ISOSTEARATE			2	%W/W
PEG-60 HYDROGENATED CASTOR OIL			1.9	%W/W
PEG-60 HYDROGENATED CASTOR OIL	TOPICAL	GEL	1.9	%W/W
PEG-7 METHYL ETHER	TOPICAL	GEL	20	%W/W
PEG-75 LANOLIN			1.5	%W/W
PEG-8 STEARATE			2	%W/W
PEG-8 STEARATE			5	%W/W
PEG-8 STEARATE		TOPICAL	2	%W/W
PEG-8 STEARATE		TOPICAL	5	%W/W
PEG-8 STEARATE			6.66	%W/W
PEG/PPG-18/18 DIMETHICONE	TOPICAL	GEL	1	%W/W
PEGLICOL-5-OLEATE	TOPICAL	EMULSION, CREAM	3.05	%
PEGLICOL-5-OLEATE	VAGINAL	EMULSION, CREAM	3	%
PEGOXOL 7 STEARATE			20	%W/W
PEGOXOL 7 STEARATE		TOPICAL	20	%W/W
PEGOXOL 7 STEARATE			22	%W/W
PEGOXOL 7 STEARATE			20	%
PEGOXOL 7 STEARATE	TOPICAL	EMULSION, CREAM	22	%
PEGOXOL 7 STEARATE	VAGINAL	EMULSION, CREAM	20	%
PENTADECALACTONE	TRANSDERMAL	GEL	40	%
PENTADECALACTONE	TRANSDERMAL	GEL	8	%
PENTADECALACTONE	TRANSDERMAL	GEL	8	%W/W
PENTAERYTHRITOL COCOATE	TOPICAL	OINTMENT	1	%
PEPPERMINT OIL	BUCCAL	FILM	0.51	MG
PEPPERMINT OIL	BUCCAL	FILM	0.85	MG
PEPPERMINT OIL	BUCCAL	GUM, CHEWING	31	MG
PEPPERMINT OIL	ORAL	GUM, CHEWING	15	MG
PERFUME GD 5604			0.12	%W/W
PERFUME GD 5604	TOPICAL	EMULSION, CREAM	0.12	%
PERFUME TANA 90/42 SCBA	TOPICAL	LOTION	0.075	%
PETROLATUM			26.5	%W/W
PETROLATUM			45.93	%W/W
PETROLATUM		AUGMENTED	26	%W/W
PETROLATUM		EMULSION, SUSTAINED RELEASE	42	%W/W
PETROLATUM		TOPICAL	26.5	%W/W
PETROLATUM		TOPICAL	45.93	%W/W
PETROLATUM			5.3	%W/W
PETROLATUM			7.9	%W/W
PETROLATUM			58.2	%W/W
PETROLATUM			42	%W/W
PETROLATUM			2.7	%
PETROLATUM	AUGMENTED	TOPICAL	26	%W/W
PETROLATUM	EMULSION, SUSTAINED RELEASE	TOPICAL	42	%W/W
PETROLATUM	TOPICAL	LOTION	2.5	%

(Continued)

Ingredient	Route	Dosage FoRM	Quantity	Unit
PETROLATUM	TOPICAL	EMULSION, CREAM	16.43	%
PETROLATUM	TOPICAL	OINTMENT	99.98	%
PETROLATUM, WHITE	TOPICAL	LOTION	15	%
PETROLATUM, WHITE	TOPICAL	CREAM, AUGMENTED	26	%
PETROLATUM, WHITE	TOPICAL	EMULSION, CREAM	58.2	%
PETROLATUM, WHITE	TOPICAL	OINTMENT, AUGMENTED	81.936	%
PETROLATUM, WHITE	TOPICAL	OINTMENT	99.98	%
PETROLATUM, WHITE	TRANSDERMAL	OINTMENT	29	%
PETROLATUM, WHITE	VAGINAL	OINTMENT	88.49	%
PETROLEUM DISTILLATES	TOPICAL	EMULSION, CREAM	6	%
PHENONIP	IONTOPHORESIS	PATCH, CONTROLLED RELEASE	0.23	MG
PHENONIP	TOPICAL	PATCH, CONTROLLED RELEASE	0.23	MG
PHENONIP	TOPICAL	GEL	0.035	%W/W
PHENOXYETHANOL		AUGMENTED	1	%W/W
PHENOXYETHANOL		EMULSION, SUSTAINED RELEASE	0.5	%W/W
PHENOXYETHANOL			1.05	%W/W
PHENOXYETHANOL			0.7	%W/W
PHENOXYETHANOL	AUGMENTED	TOPICAL	1	%W/W
PHENOXYETHANOL	EMULSION, SUSTAINED RELEASE	TOPICAL	0.5	%W/W
PHENOXYETHANOL	TOPICAL	CREAM, AUGMENTED	0.5	%
PHENOXYETHANOL	TOPICAL	EMULSION, CREAM	0.5	%
PHENOXYETHANOL	TOPICAL	GEL	0.7	%
PHENOXYETHANOL	TOPICAL	LOTION	0.7	%
PHENOXYETHANOL	TOPICAL	GEL	0.7	%W/W
PHENYLMERCURIC ACETATE			0.01	%W/W
PHENYLMERCURIC ACETATE			0.01	%
PHENYLMERCURIC ACETATE	TOPICAL	EMULSION, CREAM	0.01	%
PHENYLMERCURIC ACETATE	VAGINAL	EMULSION, CREAM	0.01	%
PHOSPHOLIPON 90G	VAGINAL	EMULSION, CREAM	1	%
PHOSPHORIC ACID		AUGMENTED	0.02	%W/W
PHOSPHORIC ACID		EMULSION, SUSTAINED RELEASE	0.002	%W/W
PHOSPHORIC ACID			0.1	%W/W
PHOSPHORIC ACID			0.8	%
PHOSPHORIC ACID	AUGMENTED	TOPICAL	0.02	%W/W
PHOSPHORIC ACID	EMULSION, SUSTAINED RELEASE	TOPICAL	0.002	%W/W
PHOSPHORIC ACID	TOPICAL	OINTMENT	0.004	%
PHOSPHORIC ACID	TOPICAL	LOTION, AUGMENTED	0.012	%
PHOSPHORIC ACID	TOPICAL	LOTION	0.1	%
PHOSPHORIC ACID	TOPICAL	EMULSION, CREAM	0.5	%
PHOSPHORIC ACID	VAGINAL	EMULSION, CREAM	0.8	%
PINE NEEDLE OIL	TOPICAL	LOTION	0.25	%
PLASTIBASE-50 W	TOPICAL	OINTMENT	99.95	%
POLACRILIN	IONTOPHORESIS	DRUG DELIVERY SYSTEM	1.1	MG
POLACRILIN	TRANSDERMAL	DRUG DELIVERY SYSTEM	1.1	MG
POLOXAMER 124	TOPICAL	GEL	0.2	%
POLOXAMER 124	TOPICAL	GEL	0.2	%W/W
POLOXAMER 182	TOPICAL	GEL	0.2	%

(Continued)

Ingredient	Route	Dosage FoRM	Quantity	Unit
POLOXAMER 182	TOPICAL	GEL	0.2	%W/W
POLOXAMER 188			1	%W/W
POLOXAMER 188	PERIODONTAL	GEL	5.5	%
POLOXAMER 188	TOPICAL	EMULSION, CREAM	0.0126	%
POLOXAMER 188	TOPICAL	GEL	5.5	%
POLOXAMER 407			1	%W/W
POLOXAMER 407	PERIODONTAL	GEL	15.5	%
POLOXAMER 407	TOPICAL	EMULSION, CREAM	1	%
POLOXAMER 407	TOPICAL	GEL	15.5	%
POLOXAMER 407	TOPICAL	GEL	0.2	%W/W
POLYCARBOPHIL	BUCCAL	FILM	2.06	MG
POLYCARBOPHIL	BUCCAL	FILM	1.37	MG
POLYCARBOPHIL	OPHTHALMIC	GEL	0.38	%
POLYCARBOPHIL	OPHTHALMIC	GEL	0.38	%
POLYCARBOPHIL	TOPICAL	PATCH	3.54	MG
POLYCARBOPHIL	VAGINAL	GEL	2.25	%
POLYCARBOPHIL	VAGINAL	GEL	2.25	%
POLYESTER			24	MG
POLYESTER	TRANSDERMAL	FILM, CONTROLLED RELEASE	24	MG
POLYESTER—FLUORO CHEMICAL RELEASING AGENT	TRANSDERMAL	FILM, CONTROLLED RELEASE	393	MG
POLYESTER FLUOROCARBON DIACRYLATE	TRANSDERMAL	FILM, CONTROLLED RELEASE	566	MG
POLYESTER POLYAMINE COPOLYMER			6.67	MG
POLYESTER POLYAMINE COPOLYMER	TRANSDERMAL	FILM, CONTROLLED RELEASE	6.6668	MG
POLYETHYLENE	TOPICAL	OINTMENT	9	%
POLYETHYLENE	TOPICAL	GEL	26	%
POLYETHYLENE	TRANSDERMAL	FILM, CONTROLLED RELEASE	85	MG
POLYETHYLENE	VAGINAL	SUPPOSITORY	3321.2	MG
POLYETHYLENE GLYCOL 1000			0.5	%W/W
POLYETHYLENE GLYCOL 1000	RECTAL	SUPPOSITORY	1625000	MG
POLYETHYLENE GLYCOL 1000	TOPICAL	EMULSION, CREAM	7.2	%
POLYETHYLENE GLYCOL 1000	TRANSDERMAL	GEL	0.5	%
POLYETHYLENE GLYCOL 1450	URETHRAL	SUPPOSITORY	9.75	MG
POLYETHYLENE GLYCOL 1500			5	%
POLYETHYLENE GLYCOL 1500	TOPICAL	OINTMENT	5	%
POLYETHYLENE GLYCOL 1540	TOPICAL	OINTMENT	38	%
POLYETHYLENE GLYCOL 200	TOPICAL	OINTMENT	39	%
POLYETHYLENE GLYCOL 300	TOPICAL	OINTMENT	57	%
POLYETHYLENE GLYCOL 3350			4.6	%W/W
POLYETHYLENE GLYCOL 3350		TOPICAL	4.6	%W/W
POLYETHYLENE GLYCOL 3350	RECTAL	SUPPOSITORY	1425.96	MG
POLYETHYLENE GLYCOL 3350	TOPICAL	OINTMENT	40	%
POLYETHYLENE GLYCOL 400			1.5	%W/W
POLYETHYLENE GLYCOL 400		EMULSION, SUSTAINED RELEASE	7.5	%W/W
POLYETHYLENE GLYCOL 400		TOPICAL	1.5	%W/W
POLYETHYLENE GLYCOL 400			4.76	MG
POLYETHYLENE GLYCOL 400			7.5	%W/W
POLYETHYLENE GLYCOL 400	EMULSION, SUSTAINED RELEASE	TOPICAL	7.5	%W/W
POLYETHYLENE GLYCOL 400	TOPICAL	EMULSION, CREAM	7.5	%
POLYETHYLENE GLYCOL 400	TOPICAL	LOTION	12	%

(Continued)

Ingredient	Route	Dosage FoRM	Quantity	Unit
POLYETHYLENE GLYCOL 400	TOPICAL	GEL	45	%
POLYETHYLENE GLYCOL 400	TOPICAL	OINTMENT	65	%
POLYETHYLENE GLYCOL 400	TOPICAL	GEL	45	%W/W
POLYETHYLENE GLYCOL 4000			0.5	%
POLYETHYLENE GLYCOL 4000	RECTAL	SUPPOSITORY	1269	MG
POLYETHYLENE GLYCOL 4000	TOPICAL	OINTMENT	84	%
POLYETHYLENE GLYCOL 4000	VAGINAL	EMULSION, CREAM	0.5	%
POLYETHYLENE GLYCOL 540	TOPICAL	OINTMENT	76.5	%
POLYETHYLENE GLYCOL 6000			5	%W/W
POLYETHYLENE GLYCOL 6000	RECTAL	SUPPOSITORY	128	MG
POLYETHYLENE GLYCOL 6000	TOPICAL	OINTMENT	1	%
POLYETHYLENE GLYCOL 8000			4.6	%W/W
POLYETHYLENE GLYCOL 8000		EMULSION, SUSTAINED RELEASE	4	%W/W
POLYETHYLENE GLYCOL 8000		TOPICAL	4.6	%W/W
POLYETHYLENE GLYCOL 8000			12.2	MG
POLYETHYLENE GLYCOL 8000			5	%W/W
POLYETHYLENE GLYCOL 8000	EMULSION, SUSTAINED RELEASE	TOPICAL	4	%W/W
POLYETHYLENE GLYCOL 8000	RECTAL	SUPPOSITORY	52	MG
POLYETHYLENE GLYCOL 8000	TOPICAL	EMULSION, CREAM	11	%
POLYETHYLENE GLYCOL, UNSPECIFIED			43.96	MG
POLYETHYLENE OXIDE 100000			12.04	MG
POLYETHYLENE OXIDE 200000			27.1	MG
POLYETHYLENE OXIDE 900000			4.82	MG
POLYGLYCERYL-3 OLEATE		EMULSION, SUSTAINED RELEASE	2.7	%W/W
POLYGLYCERYL-3 OLEATE		EMULSION, SUSTAINED RELEASE	2.7	%W/W
POLYGLYCERYL-3 OLEATE			2.7	%
POLYGLYCERYL-3 OLEATE	EMULSION, SUSTAINED RELEASE	TOPICAL	2.7	%W/W
POLYGLYCERYL-3 OLEATE	EMULSION, SUSTAINED RELEASE	TOPICAL	2.7	%W/W
POLYGLYCERYL-3 OLEATE	VAGINAL	EMULSION, CREAM	2.7	%
POLYGLYCERYL-4 OLEATE			2.71	%
POLYGLYCERYL-4 OLEATE	VAGINAL	EMULSION, CREAM	2.71	%
POLYISOBUTYLENE			16.83	MG
POLYISOBUTYLENE			615.4	MG
POLYISOBUTYLENE	TRANSDERMAL	PATCH, CONTROLLED RELEASE	10.5	MG
POLYISOBUTYLENE	TRANSDERMAL	FILM, CONTROLLED RELEASE	119	MG
POLYISOBUTYLENE (1100000 MW)			69	MG
POLYISOBUTYLENE (2300 MW)			121.68	MG
POLYISOBUTYLENE (35000 MW)			86	MG
POLYISOBUTYLENE (55000 MW)			238.44	MG
POLYISOBUTYLENE (800000 MW)			159	MG
POLYISOBUTYLENE 1200,000	TRANSDERMAL	FILM, CONTROLLED RELEASE	69	MG
POLYISOBUTYLENE 35,000	TRANSDERMAL	FILM, CONTROLLED RELEASE	86	MG
POLYISOBUTYLENE/POLYBUTENE ADHESIVE			221.25	MG
POLYOLS			65.82	%
POLYOXYETHYLENE ALCOHOLS			7.5	%W/W
POLYOXYETHYLENE ALCOHOLS	TOPICAL	EMULSION, CREAM	9	%

(Continued)

Ingredient	Route	Dosage FoRM	Quantity	Unit
POLYOXYETHYLENE FATTY ACID ESTERS			1.9	%W/W
POLYOXYETHYLENE FATTY ACID ESTERS	TOPICAL	EMULSION, CREAM	1.9	%
POLYOXYL 100 GLYCERYL STEARATE	TOPICAL	EMULSION, CREAM	5	%
POLYOXYL 100 GLYCERYL STEARATE	VAGINAL	EMULSION, CREAM	2	%
POLYOXYL 100 STEARATE	TOPICAL	LOTION	1	%
POLYOXYL 100 STEARATE	TOPICAL	EMULSION, CREAM	2.1	%
POLYOXYL 2 STEARATE	TOPICAL	EMULSION, CREAM	1	%
POLYOXYL 20 CETOSTEARYL ETHER			2.5	%W/W
POLYOXYL 20 CETOSTEARYL ETHER		AUGMENTED	3	%W/W
POLYOXYL 20 CETOSTEARYL ETHER		EMULSION, SUSTAINED RELEASE	6	%W/W
POLYOXYL 20 CETOSTEARYL ETHER		TOPICAL	2.5	%W/W
POLYOXYL 20 CETOSTEARYL ETHER			4.74	%W/W
POLYOXYL 20 CETOSTEARYL ETHER			2	%W/W
POLYOXYL 20 CETOSTEARYL ETHER	AUGMENTED	TOPICAL	3	%W/W
POLYOXYL 20 CETOSTEARYL ETHER	EMULSION, SUSTAINED RELEASE	TOPICAL	6	%W/W
POLYOXYL 20 CETOSTEARYL ETHER	TOPICAL	EMULSION, CREAM	10	%
POLYOXYL 20 CETOSTEARYL ETHER	TOPICAL	GEL	1.3	%W/W
POLYOXYL 20 CETOSTEARYL ETHER	TOPICAL	GEL	2	%W/W
POLYOXYL 4 DILAURATE	TOPICAL	LOTION	2	%
POLYOXYL 40 HYDROGENATED CASTOR OIL			1	%W/W
POLYOXYL 40 HYDROGENATED CASTOR OIL	TOPICAL	EMULSION, CREAM	1	%
POLYOXYL 40 STEARATE			8	%W/W
POLYOXYL 40 STEARATE		EMULSION, SUSTAINED RELEASE	5.25	%W/W
POLYOXYL 40 STEARATE		TOPICAL	8	%W/W
POLYOXYL 40 STEARATE			1.08	%W/W
POLYOXYL 40 STEARATE			8.8	%W/W
POLYOXYL 40 STEARATE	EMULSION, SUSTAINED RELEASE	TOPICAL	5.25	%W/W
POLYOXYL 40 STEARATE	TOPICAL	LOTION	5.1	%
POLYOXYL 40 STEARATE	TOPICAL	EMULSION, CREAM	8.8	%
POLYOXYL 400 STEARATE	TOPICAL	EMULSION, CREAM	8	%
POLYOXYL 50 STEARATE	TOPICAL	EMULSION, CREAM	2	%
POLYOXYL 6 AND POLYOXYL 32 PALMITOSTEARATE			20	%W/W
POLYOXYL 6 AND POLYOXYL 32 PALMITOSTEARATE	TOPICAL	EMULSION, CREAM	20	%
POLYOXYL 6 ISOSTEARATE	TOPICAL	EMULSION, LOTION	2	%
POLYOXYL 60 HYDROGENATED CASTOR OIL	TOPICAL	EMULSION, CREAM	1.9	%
POLYOXYL 8 STEARATE	TOPICAL	EMULSION, CREAM	8	%
POLYOXYL GLYCERYL OLEATE			3	%W/W
POLYOXYL GLYCERYL OLEATE		TOPICAL	3	%W/W
POLYOXYL GLYCERYL STEARATE			5	%W/W
POLYOXYL GLYCERYL STEARATE	TOPICAL	LOTION	1.5	%
POLYOXYL GLYCERYL STEARATE	TOPICAL	EMULSION, CREAM	5	%
POLYOXYL PALMITATE	VAGINAL	SUPPOSITORY	276	MG
POLYOXYL STEARATE			1.4	%W/W
POLYOXYL STEARATE		TOPICAL	1.4	%W/W
POLYOXYL STEARATE			20	%W/W
POLYOXYL STEARATE	TOPICAL	LOTION	2	%
POLYOXYL STEARATE	TOPICAL	EMULSION, CREAM	20	%
POLYPROPYLENE			13.5	MG
POLYPROPYLENE	TRANSDERMAL	FILM, CONTROLLED RELEASE	13.5	MG

(Continued)

Ingredient	Route	Dosage FoRM	Quantity	Unit
POLYSORBATE 20			2	%W/W
POLYSORBATE 20			3.7	%W/W
POLYSORBATE 20			0.8	%W/W
POLYSORBATE 20	TOPICAL	EMULSION, CREAM	0.8	%
POLYSORBATE 20	TOPICAL	LOTION	7.8	%
POLYSORBATE 20	TOPICAL	GEL	5	%W/W
POLYSORBATE 40			3	%W/W
POLYSORBATE 40	TOPICAL	GEL	0.2	%
POLYSORBATE 40	TOPICAL	LOTION	3	%
POLYSORBATE 40	TOPICAL	EMULSION, CREAM	6	%
POLYSORBATE 40	TOPICAL	GEL	0.2	%W/W
POLYSORBATE 60			2.15	%W/W
POLYSORBATE 60			6	%W/W
POLYSORBATE 60		AUGMENTED	3	%W/W
POLYSORBATE 60		EMULSION, SUSTAINED RELEASE	5	%W/W
POLYSORBATE 60		AUGMENTED	1.5	%
POLYSORBATE 60		EMULSION, SUSTAINED RELEASE	5	%
POLYSORBATE 60		TOPICAL	2.15	%W/W
POLYSORBATE 60		TOPICAL	6	%W/W
POLYSORBATE 60			0.42	%W/W
POLYSORBATE 60			6.1	%W/W
POLYSORBATE 60			5	%
POLYSORBATE 60	AUGMENTED	TOPICAL	3	%W/W
POLYSORBATE 60	AUGMENTED	TOPICAL	1.5	%
POLYSORBATE 60	BUCCAL	GUM, CHEWING	0.23	MG
POLYSORBATE 60	EMULSION, SUSTAINED RELEASE	TOPICAL	5	%W/W
POLYSORBATE 60	EMULSION, SUSTAINED RELEASE	TOPICAL	5	%
POLYSORBATE 60	TOPICAL	LOTION	5	%
POLYSORBATE 60	TOPICAL	EMULSION, CREAM	8	%
POLYSORBATE 60	VAGINAL	CREAM, AUGMENTED	1.5	%
POLYSORBATE 60	VAGINAL	EMULSION, CREAM	7.5	%
POLYSORBATE 65	TOPICAL	OINTMENT	5	%
POLYSORBATE 80		AUGMENTED	15	%W/W
POLYSORBATE 80		EMULSION, SUSTAINED RELEASE	5	%W/W
POLYSORBATE 80			0.001	%W/W
POLYSORBATE 80		TOPICAL	0.001	%W/W
POLYSORBATE 80			2.5	%W/W
POLYSORBATE 80			0.98	%W/W
POLYSORBATE 80			4.5	%W/W
POLYSORBATE 80			0.4	%
POLYSORBATE 80	AUGMENTED	TOPICAL	15	%W/W
POLYSORBATE 80	EMULSION, SUSTAINED RELEASE	TOPICAL	5	%W/W
POLYSORBATE 80	RECTAL	SUPPOSITORY	72.15	MG
POLYSORBATE 80	TOPICAL	OINTMENT	0.1	%
POLYSORBATE 80	TOPICAL	EMULSION, CREAM	5	%
POLYSORBATE 80	TOPICAL	GEL	8.5	%
POLYSORBATE 80	TOPICAL	LOTION	9.4	%
POLYSORBATE 80	TOPICAL	GEL	8.5	%W/W
POLYSORBATE 80	VAGINAL	EMULSION, CREAM	0.5	%
POLYSORBATE 80	VAGINAL	SUPPOSITORY	28	MG

(Continued)

Ingredient	Route	Dosage FoRM	Quantity	Unit
POLYVINYL ACETATE			16	MG
POLYVINYL ACETATE	TRANSDERMAL	PATCH, CONTROLLED RELEASE	3.99	MG
POLYVINYL ACETATE	TRANSDERMAL	FILM, CONTROLLED RELEASE	16	MG
POLYVINYL ALCOHOL	IONTOPHORESIS	DRUG DELIVERY SYSTEM	119	MG
POLYVINYL ALCOHOL	TOPICAL	LOTION	2.5	%
POLYVINYL ALCOHOL	TOPICAL	PATCH	25.2	MG
POLYVINYL ALCOHOL	TRANSDERMAL	DRUG DELIVERY SYSTEM	119	MG
POLYVINYL ALCOHOL, UNSPECIFIED			0.5	%
POLYVINYL CHLORIDE-POLYVINYL ACETATE COPOLYMER			899.88	MG
POLYVINYL CHLORIDE-POLYVINYL ACETATE COPOLYMER	TRANSDERMAL	FILM, CONTROLLED RELEASE	899.88	MG
POTASSIUM CITRATE			0.17	%W/W
POTASSIUM HYDROXIDE		EMULSION, SUSTAINED RELEASE	0.045	%W/W
POTASSIUM HYDROXIDE			0.5	%W/W
POTASSIUM HYDROXIDE			0.5	%
POTASSIUM HYDROXIDE	EMULSION, SUSTAINED RELEASE	TOPICAL	0.045	%W/W
POTASSIUM HYDROXIDE	TOPICAL	EMULSION, CREAM	0.5	%
POTASSIUM HYDROXIDE	TOPICAL	GEL	0.5	%W/W
POTASSIUM HYDROXIDE	VAGINAL	EMULSION, CREAM	0.5	%
POTASSIUM HYDROXIDE	VAGINAL	CREAM, EMULSION, SUSTAINED RELEASE	0.045	%
POTASSIUM SORBATE			0.09	%W/W
POTASSIUM SORBATE			0.2	%W/W
POTASSIUM SORBATE		TOPICAL	0.09	%W/W
POTASSIUM SORBATE		TOPICAL	0.2	%W/W
POTASSIUM SORBATE			0.2	%W/W
POTASSIUM SORBATE	TOPICAL	LOTION	0.2	%
POTASSIUM SORBATE	TOPICAL	EMULSION, CREAM	2.7	%
POVIDONE HYDROGEL	IONTOPHORESIS	PATCH, CONTROLLED RELEASE	506.5	MG
POVIDONE HYDROGEL	TOPICAL	PATCH, CONTROLLED RELEASE	506.5	MG
POVIDONE K29-32	TRANSDERMAL	FILM, CONTROLLED RELEASE	7.266	MG
POVIDONE K30			2.3	MG
POVIDONE K30			2.3	MG
POVIDONE K30			1.9	%W/W
POVIDONE K30			5.11	MG
POVIDONE K30			7.27	MG
POVIDONE, UNSPECIFIED			9.69	MG
POVIDONE/EICOSENE COPOLYMER			1	%W/W
POVIDONE/EICOSENE COPOLYMER	TOPICAL	LOTION	1	%
PPG-12/SMDI COPOLYMER			1	%W/W
PPG-12/SMDI COPOLYMER	TOPICAL	EMULSION, CREAM	10	%
PPG-12/SMDI COPOLYMER	TOPICAL	GEL	10	%
PPG-15 STEARYL ETHER	TOPICAL	GEL	2	%
PPG-15 STEARYL ETHER	TOPICAL	OINTMENT	15	%
PPG-15 STEARYL ETHER	TOPICAL	GEL	2	%W/W

(Continued)

Ingredient	Route	Dosage FoRM	Quantity	Unit
PPG-20 METHYL GLUCOSE ETHER DISTEARATE	TOPICAL	GEL	4.75	%
PPG-20 METHYL GLUCOSE ETHER DISTEARATE	TOPICAL	GEL	4.75	%W/W
PPG-26 OLEATE			4	%W/W
PPG-26 OLEATE	TOPICAL	EMULSION, CREAM	4	%
PROMALGEN TYPE G	TOPICAL	LOTION	1.5	%
PROMULGEN D			4	%W/W
PROMULGEN D	TOPICAL	LOTION	3.5	%
PROMULGEN G			7	%W/W
PROMULGEN G	TOPICAL	LOTION	2.16	%
PROPYL GALLATE	TOPICAL	OINTMENT	0.015	%
PROPYL GALLATE	TOPICAL	GEL	0.05	%
PROPYL GALLATE	TOPICAL	GEL	0.05	%W/W
PROPYLENE CARBONATE	TOPICAL	OINTMENT	5	%
PROPYLENE GLYCOL			71.08	%W/W
PROPYLENE GLYCOL			11.5	%W/W
PROPYLENE GLYCOL			50	%W/W
PROPYLENE GLYCOL		AUGMENTED	30	%W/W
PROPYLENE GLYCOL		TOPICAL	71.08	%W/W
PROPYLENE GLYCOL		TOPICAL	11.5	%W/W
PROPYLENE GLYCOL		TOPICAL	50	%W/W
PROPYLENE GLYCOL			8	%W/W
PROPYLENE GLYCOL			21.05	%W/W
PROPYLENE GLYCOL			71.08	%W/W
PROPYLENE GLYCOL			47.5	%W/W
PROPYLENE GLYCOL			6.4	%
PROPYLENE GLYCOL			20	%
PROPYLENE GLYCOL			700	MG
PROPYLENE GLYCOL			58.13	MG
PROPYLENE GLYCOL	AUGMENTED	TOPICAL	30	%W/W
PROPYLENE GLYCOL	BUCCAL	FILM	1.48	MG
PROPYLENE GLYCOL	BUCCAL	FILM	1.02	MG
PROPYLENE GLYCOL	OPHTHALMIC	GEL	0.44	%
PROPYLENE GLYCOL	OPHTHALMIC	GEL	0.44	%
PROPYLENE GLYCOL	RECTAL	GEL	82.88	%
PROPYLENE GLYCOL	TOPICAL	PATCH	0.44	MG
PROPYLENE GLYCOL	TOPICAL	CREAM, AUGMENTED	8	%
PROPYLENE GLYCOL	TOPICAL	LOTION, AUGMENTED	30	%
PROPYLENE GLYCOL	TOPICAL	OINTMENT	38	%
PROPYLENE GLYCOL	TOPICAL	EMULSION, LOTION	47.5	%
PROPYLENE GLYCOL	TOPICAL	LOTION	50.9	%
PROPYLENE GLYCOL	TOPICAL	OINTMENT, AUGMENTED	65	%
PROPYLENE GLYCOL	TOPICAL	EMULSION, CREAM	71.08	%
PROPYLENE GLYCOL	TOPICAL	GEL	98.09	%
PROPYLENE GLYCOL	TOPICAL	GEL	4	%W/W
PROPYLENE GLYCOL	TOPICAL	GEL	98.09	%W/W
PROPYLENE GLYCOL	TRANSDERMAL	GEL	25	%
PROPYLENE GLYCOL	TRANSDERMAL	FILM, CONTROLLED RELEASE	58.13	MG
PROPYLENE GLYCOL	TRANSDERMAL	GEL	20	%
PROPYLENE GLYCOL	TRANSDERMAL	GEL	5	%W/W
PROPYLENE GLYCOL	VAGINAL	GEL	3	%
PROPYLENE GLYCOL	VAGINAL	EMULSION, CREAM	20	%
PROPYLENE GLYCOL	VAGINAL	SUPPOSITORY	252	MG
PROPYLENE GLYCOL	VAGINAL	CREAM	10	%
PROPYLENE GLYCOL	VAGINAL	CREAM	10	%W/W

(Continued)

Ingredient	Route	Dosage FoRM	Quantity	Unit
PROPYLENE GLYCOL	VAGINAL	CREAM, EMULSION, SUSTAINED RELEASE	20	%
PROPYLENE GLYCOL	VAGINAL	GEL	3	%
PROPYLENE GLYCOL DIACETATE			10	%W/W
PROPYLENE GLYCOL DIACETATE	TOPICAL	EMULSION, CREAM	10	%
PROPYLENE GLYCOL DICAPRYLATE			10	%W/W
PROPYLENE GLYCOL DICAPRYLATE			10	%W/W
PROPYLENE GLYCOL DICAPRYLATE	TOPICAL	EMULSION, CREAM	10	%
PROPYLENE GLYCOL MONOPALMITOSTEARATE			9.3	%W/W
PROPYLENE GLYCOL MONOPALMITOSTEARATE			7	%
PROPYLENE GLYCOL MONOPALMITOSTEARATE	VAGINAL	CREAM, EMULSION, SUSTAINED RELEASE	7	%
PROPYLENE GLYCOL MONOSTEARATE			8	%W/W
PROPYLENE GLYCOL MONOSTEARATE	TOPICAL	OINTMENT, AUGMENTED	2	%
PROPYLENE GLYCOL MONOSTEARATE	TOPICAL	LOTION	4.69	%
PROPYLENE GLYCOL MONOSTEARATE	TOPICAL	OINTMENT	8	%
PROPYLENE GLYCOL MONOSTEARATE	TOPICAL	EMULSION, CREAM	9.3	%
PROPYLENE GLYCOL MONOSTEARATE	VAGINAL	EMULSION, CREAM	7	%
PROPYLENE GLYCOL PALMITOSTEARATE	TOPICAL	OINTMENT	5	%
PROPYLPARABEN			0.15	%W/W
PROPYLPARABEN			0.4	%W/W
PROPYLPARABEN		AUGMENTED	0.1	%W/W
PROPYLPARABEN		EMULSION, SUSTAINED RELEASE	0.1	%W/W
PROPYLPARABEN		TOPICAL	0.15	%W/W
PROPYLPARABEN		TOPICAL	0.4	%W/W
PROPYLPARABEN			0.06	%W/W
PROPYLPARABEN			0.011	%W/W
PROPYLPARABEN			5.25	%W/W
PROPYLPARABEN			0.1	%W/W
PROPYLPARABEN			0.06	%W/W
PROPYLPARABEN			0.1	%
PROPYLPARABEN	AUGMENTED	TOPICAL	0.1	%W/W
PROPYLPARABEN	BUCCAL	FILM	0.22	MG
PROPYLPARABEN	BUCCAL	FILM	0.18	MG
PROPYLPARABEN	EMULSION, SUSTAINED RELEASE	TOPICAL	0.1	%W/W
PROPYLPARABEN	TOPICAL	PATCH	0.02	MG
PROPYLPARABEN	TOPICAL	CREAM, AUGMENTED	0.032	%
PROPYLPARABEN	TOPICAL	EMULSION, LOTION	0.06	%
PROPYLPARABEN	TOPICAL	GEL	0.08	%
PROPYLPARABEN	TOPICAL	CREAM, EMULSION, SUSTAINED RELEASE	0.1	%
PROPYLPARABEN	TOPICAL	OINTMENT	0.2	%
PROPYLPARABEN	TOPICAL	EMULSION, CREAM	1	%
PROPYLPARABEN	TOPICAL	LOTION	10	%
PROPYLPARABEN	TOPICAL	JELLY	30	%
PROPYLPARABEN	TOPICAL	GEL	0.05	%W/W
PROPYLPARABEN	TOPICAL	JELLY	0.03	%W/V
PROPYLPARABEN	URETHRAL	INJECTION	0.02	%
PROPYLPARABEN	URETHRAL	JELLY	0.03	%
PROPYLPARABEN	VAGINAL	GEL	0.02	%
PROPYLPARABEN	VAGINAL	EMULSION, CREAM	0.1	%
PROPYLPARABEN	VAGINAL	CREAM, EMULSION, SUSTAINED RELEASE	0.05	%

(Continued)

Ingredient	Route	Dosage FoRM	Quantity	Unit
PROPYLPARABEN	VAGINAL	GEL	0.02	%
PROTEIN HYDROLYSATE	TOPICAL	LOTION	0.39	%
QUATERNIUM-15		AUGMENTED	0.1	%W/W
QUATERNIUM-15			0.02	%W/W
QUATERNIUM-15	AUGMENTED	TOPICAL	0.1	%W/W
QUATERNIUM-15	TOPICAL	EMULSION, CREAM	0.02	%
QUATERNIUM-15	TOPICAL	CREAM, AUGMENTED	0.1	%
QUATERNIUM-15	TOPICAL	LOTION	0.2	%
QUATERNIUM-15 CIS-FORM			0.1	%W/W
RA-2397			142.2	MG
RA-2397	TRANSDERMAL	FILM, CONTROLLED RELEASE	142.2	MG
RA-3011			142.2	MG
RA-3011	TRANSDERMAL	FILM, CONTROLLED RELEASE	142.2	MG
RHODAMINE B			0.001	%W/W
SACCHARIN	TOPICAL	OINTMENT	0.5	%
SACCHARIN SODIUM			0.3	%
SACCHARIN SODIUM	BUCCAL	FILM	2.99	MG
SACCHARIN SODIUM	BUCCAL	FILM	0.57	MG
SAFFLOWER OIL	TOPICAL	LOTION	3	%
SCOTCHPAK 1022			904.92	MG
SCOTCHPAK 1109	TRANSDERMAL	FILM, CONTROLLED RELEASE	115.71	MG
SCOTCHPAK 9739 BACKING FILM PET/EVA	TRANSDERMAL	PATCH	211.8	MG
SD ALCOHOL 40			46	%W/W
SD ALCOHOL 40-2	TOPICAL	GEL	97.5	%W/W
SD ALCOHOL 40-2	TOPICAL	GEL	97.5	%
SD ALCOHOL 40B			56.09	%W/W
SEPINEO P 600	TOPICAL	GEL	4	%W/W
SHEA BUTTER		AUGMENTED	2	%W/W
SHEA BUTTER	AUGMENTED	TOPICAL	2	%W/W
SILICON			0.4	%W/W
SILICON	TOPICAL	EMULSION, CREAM	0.4	%
SILICON	TOPICAL	LOTION	92.5	%
SILICON DIOXIDE			0.6	MG
SILICON DIOXIDE			19	%
SILICON DIOXIDE			1.01	%
SILICON DIOXIDE			49	MG
SILICON DIOXIDE	ENDOCERVICAL	GEL	8	%
SILICON DIOXIDE	NASAL	GEL	4	%
SILICON DIOXIDE	TOPICAL	GEL	0.25	%
SILICON DIOXIDE	TOPICAL	GEL	0.25	%W/W
SILICON DIOXIDE	VAGINAL	EMULSION, CREAM	1	%
SILICON DIOXIDE	VAGINAL	CREAM, EMULSION, SUSTAINED RELEASE	0.75	%W/W
SILICON DIOXIDE, COLLOIDAL	RECTAL	SUPPOSITORY	14	MG
SILICON DIOXIDE, COLLOIDAL	TRANSDERMAL	FILM, CONTROLLED RELEASE	49	MG
SILICON DIOXIDE, COLLOIDAL	VAGINAL	EMULSION, CREAM	1.01	%
SILICONE			353.51	MG
SILICONE	TRANSDERMAL	FILM, CONTROLLED RELEASE	353.51	MG
SILICONE	VAGINAL	DRUG DELIVERY SYSTEM	8.7	MG

(Continued)

Ingredient	Route	Dosage FoRM	Quantity	Unit
SILICONE ADHESIVE 4102	PERCUTANEOUS	PATCH, CONTROLLED RELEASE	165	CMS
SILICONE ADHESIVE 4102	TRANSDERMAL	FILM, CONTROLLED RELEASE	228.23	MG
SILICONE EMULSION	TOPICAL	LOTION	0.5	%
SILICONE/POLYESTER FILM STRIP			873	MG
SILICONE/POLYESTER FILM STRIP	TRANSDERMAL	PATCH	485.2	MG
SILICONE/POLYESTER FILM STRIP	TRANSDERMAL	FILM, CONTROLLED RELEASE	873	MG
SIMETHICONE			0.2	%W/W
SIMETHICONE	TOPICAL	LOTION	0.5	%
SIMETHICONE	TOPICAL	EMULSION, CREAM	1	%
SIMETHICONE EMULSION			0.2	%W/W
SIMETHICONE EMULSION			0.2	%W/W
SIMETHICONE EMULSION		TOPICAL	0.2	%W/W
SIMETHICONE EMULSION		TOPICAL	0.2	%W/W
SIMETHICONE EMULSION			0.2	%W/W
SIMETHICONE EMULSION	TOPICAL	EMULSION, CREAM	0.2	%
SIPON LS 20NP			38	%W/W
SODIUM ACETATE, ANHYDROUS	TOPICAL	EMULSION, CREAM	0.02	%
SODIUM BENZOATE			0.08	%
SODIUM BENZOATE			0.2	%W/W
SODIUM BENZOATE			0.2	%W/W
SODIUM BENZOATE	BUCCAL	FILM	0.96	MG
SODIUM BENZOATE	BUCCAL	FILM	0.69	MG
SODIUM BENZOATE	RECTAL	GEL	2.54	%
SODIUM BENZOATE	TOPICAL	EMULSION, CREAM	0.2	%
SODIUM BENZOATE	TOPICAL	PATCH	0.44	MG
SODIUM BENZOATE	TOPICAL	GEL	0.24	%W/W
SODIUM BICARBONATE			0.6	MG
SODIUM BICARBONATE	BUCCAL	GUM	7.2	MG
SODIUM BICARBONATE	BUCCAL	GUM, CHEWING	25	MG
SODIUM BICARBONATE	ORAL	GUM, CHEWING	15	MG
SODIUM BISULFITE			0.3	%W/W
SODIUM BISULFITE	IONTOPHORESIS	SOLUTION	0.055	%
SODIUM BISULFITE	TOPICAL	LOTION	0.22	%
SODIUM BISULFITE	TOPICAL	EMULSION, CREAM	0.3	%
SODIUM CARBONATE	BUCCAL	GUM	14.4	MG
SODIUM CARBONATE	BUCCAL	GUM, CHEWING	30	MG
SODIUM CARBONATE	ORAL	GUM, CHEWING	10	MG
SODIUM CETEARYL SULFATE	TOPICAL	EMULSION, CREAM	1	%
SODIUM CETOSTEARYL SULFATE			1	%W/W
SODIUM CHLORIDE	IONTOPHORESIS	DRUG DELIVERY SYSTEM	0.6	MG
SODIUM CHLORIDE	IONTOPHORESIS	SOLUTION	0.6	%
SODIUM CHLORIDE	IONTOPHORESIS	PATCH, CONTROLLED RELEASE	3.1	MG
SODIUM CHLORIDE	OPHTHALMIC	GEL	0.9	%
SODIUM CHLORIDE	OPHTHALMIC	GEL	0.9	%
SODIUM CHLORIDE	RECTAL	SUPPOSITORY	52.5	MG
SODIUM CHLORIDE	TOPICAL	LOTION	0.27	%
SODIUM CHLORIDE	TOPICAL	EMULSION, CREAM	0.5	%
SODIUM CHLORIDE	TOPICAL	PATCH, CONTROLLED RELEASE	3.1	MG
SODIUM CHLORIDE	TOPICAL	GEL	0.18	%W/W

(Continued)

Ingredient	Route	Dosage FoRM	Quantity	Unit
SODIUM CHLORIDE	TRANSDERMAL	DRUG DELIVERY SYSTEM	0.6	MG
SODIUM CITRATE	IONTOPHORESIS	DRUG DELIVERY SYSTEM	2.2	MG
SODIUM CITRATE	TOPICAL	EMULSION, LOTION	0.08	%
SODIUM CITRATE	TOPICAL	EMULSION, CREAM	0.319	%
SODIUM CITRATE	TRANSDERMAL	DRUG DELIVERY SYSTEM	2.2	MG
SODIUM CITRATE	VAGINAL	SPONGE	7.6	MG
SODIUM CITRATE, ANHYDROUS	TOPICAL	EMULSION, CREAM	0.28	%
SODIUM CITRATE, UNSPECIFIED FORM			0.12	%W/W
SODIUM CITRATE, UNSPECIFIED FORM			0.12	%W/W
SODIUM CITRATE, UNSPECIFIED FORM		TOPICAL	0.12	%W/W
SODIUM CITRATE, UNSPECIFIED FORM		TOPICAL	0.12	%W/W
SODIUM CITRATE, UNSPECIFIED FORM			0.25	%W/W
SODIUM CITRATE, UNSPECIFIED FORM			0.12	%W/W
SODIUM CITRATE, UNSPECIFIED FORM			0.08	%W/W
SODIUM HYDROXIDE			0.55	%W/W
SODIUM HYDROXIDE		AUGMENTED	2.72	%W/V
SODIUM HYDROXIDE		EMULSION, SUSTAINED RELEASE	2.72	%W/V
SODIUM HYDROXIDE		TOPICAL	0.55	%W/W
SODIUM HYDROXIDE			1.84	MG
SODIUM HYDROXIDE			0.2	%W/W
SODIUM HYDROXIDE				ADJPH
SODIUM HYDROXIDE			0.52	%W/W
SODIUM HYDROXIDE				ADJ PH
SODIUM HYDROXIDE			0.022	%W/W
SODIUM HYDROXIDE			0.19	%
SODIUM HYDROXIDE			0.85	MG
SODIUM HYDROXIDE	AUGMENTED	TOPICAL	2.72	%W/V
SODIUM HYDROXIDE	BUCCAL	FILM	0.09	MG
SODIUM HYDROXIDE	BUCCAL	FILM	1.18	MG
SODIUM HYDROXIDE	EMULSION, SUSTAINED RELEASE	TOPICAL	2.72	%W/V
SODIUM HYDROXIDE	IONTOPHORESIS	DRUG DELIVERY SYSTEM	4.2	MG
SODIUM HYDROXIDE	OPHTHALMIC	GEL	1.69	%
SODIUM HYDROXIDE	OPHTHALMIC	GEL	1.69	%
SODIUM HYDROXIDE	TOPICAL	JELLY	0.0134	%
SODIUM HYDROXIDE	TOPICAL	EMULSION, LOTION	0.022	%
SODIUM HYDROXIDE	TOPICAL	OINTMENT, AUGMENTED	0.106	%
SODIUM HYDROXIDE	TOPICAL	EMULSION, CREAM	0.52	%
SODIUM HYDROXIDE	TOPICAL	LOTION	2.6	%
SODIUM HYDROXIDE	TOPICAL	CREAM, AUGMENTED	2.72	%
SODIUM HYDROXIDE	TOPICAL	CREAM, EMULSION, SUSTAINED RELEASE	2.72	%
SODIUM HYDROXIDE	TOPICAL	GEL	10	%
SODIUM HYDROXIDE	TOPICAL	GEL	3.2	%W/W
SODIUM HYDROXIDE	TOPICAL	GEL	0.0684	ADJ PH
SODIUM HYDROXIDE	TRANSDERMAL	FILM, CONTROLLED RELEASE	0.85	MG
SODIUM HYDROXIDE	TRANSDERMAL	DRUG DELIVERY SYSTEM	4.2	MG
SODIUM HYDROXIDE	TRANSDERMAL	GEL	4.72	%

(Continued)

Ingredient	Route	Dosage FoRM	Quantity	Unit
SODIUM HYDROXIDE	TRANSDERMAL	GEL	4.72	%
SODIUM HYDROXIDE	TRANSDERMAL	GEL		ADJ PH
SODIUM HYDROXIDE	TRANSDERMAL	GEL, METERED	7	%
SODIUM HYDROXIDE	VAGINAL	EMULSION, CREAM	0.1881	%
SODIUM HYDROXIDE	VAGINAL	GEL	0.25	%
SODIUM HYDROXIDE	VAGINAL	CREAM, EMULSION, SUSTAINED RELEASE	0.025	%
SODIUM HYDROXIDE	VAGINAL	GEL	0.25	%
SODIUM LACTATE	TOPICAL	GEL	0.77	%
SODIUM LACTATE	TOPICAL	GEL	0.77	%W/W
SODIUM LAURETH-5 SULFATE	TOPICAL	EMULSION, CREAM	1	%
SODIUM LAUROYL SARCOSINATE	TOPICAL	LOTION	7.5	%
SODIUM LAURYL SULFATE			0.75	%W/W
SODIUM LAURYL SULFATE		TOPICAL	0.75	%W/W
SODIUM LAURYL SULFATE			1.47	%
SODIUM LAURYL SULFATE			2.5	%W/W
SODIUM LAURYL SULFATE			0.33	%W/W
SODIUM LAURYL SULFATE	TOPICAL	LOTION	0.5	%
SODIUM LAURYL SULFATE	TOPICAL	OINTMENT	1	%
SODIUM LAURYL SULFATE	TOPICAL	EMULSION, CREAM	2.5	%
SODIUM LAURYL SULFATE	TOPICAL	GEL	0.05	%W/W
SODIUM LAURYL SULFATE	VAGINAL	EMULSION, CREAM	0.333	%
SODIUM LAURYL SULFATE	VAGINAL	CREAM	0.3	%W/W
SODIUM LAURYL SULFATE	VAGINAL	CREAM, EMULSION, SUSTAINED RELEASE	0.3	%W/W
SODIUM METABISULFITE		AUGMENTED	0.2	%W/W
SODIUM METABISULFITE	AUGMENTED	TOPICAL	0.2	%W/W
SODIUM METABISULFITE	IONTOPHORESIS	SOLUTION	0.05	%
SODIUM METABISULFITE	IONTOPHORESIS	PATCH, CONTROLLED RELEASE	0.5	MG
SODIUM METABISULFITE	TOPICAL	EMULSION, CREAM	0.03	%
SODIUM METABISULFITE	TOPICAL	CREAM, AUGMENTED	0.2	%
SODIUM METABISULFITE	TOPICAL	PATCH, CONTROLLED RELEASE	0.5	MG
SODIUM METABISULFITE	VAGINAL	SPONGE	1.5	MG
SODIUM PHOSPHATE	TOPICAL	OINTMENT	0.15	%
SODIUM PHOSPHATE, DIBASIC	TOPICAL	EMULSION, CREAM	0.2	%
SODIUM PHOSPHATE, DIBASIC, ANHYDROUS			0.054	MG
SODIUM PHOSPHATE, DIBASIC, ANHYDROUS			4.066	MG
SODIUM PHOSPHATE, DIBASIC, ANHYDROUS			0.09	%W/W
SODIUM PHOSPHATE, DIBASIC, ANHYDROUS			0.36	%W/W
SODIUM PHOSPHATE, DIBASIC, ANHYDROUS	BUCCAL	FILM	0.35	MG
SODIUM PHOSPHATE, DIBASIC, ANHYDROUS	TOPICAL	OINTMENT	0.026	%
SODIUM PHOSPHATE, DIBASIC, ANHYDROUS	TOPICAL	LOTION	0.1	%
SODIUM PHOSPHATE, DIBASIC, ANHYDROUS	TOPICAL	EMULSION, CREAM	0.36	%
SODIUM PHOSPHATE, DIBASIC, ANHYDROUS	VAGINAL	CREAM, EMULSION, SUSTAINED RELEASE	0.09	%
SODIUM PHOSPHATE, DIBASIC, DIHYDRATE			0.25	%W/W
SODIUM PHOSPHATE, DIBASIC, DIHYDRATE	TOPICAL	EMULSION, CREAM	0.25	%
SODIUM PHOSPHATE, DIBASIC, DIHYDRATE	TOPICAL	GEL	0.003	%W/W
SODIUM PHOSPHATE, DIBASIC, HEPTAHYDRATE		EMULSION, SUSTAINED RELEASE	0.2	%W/W
SODIUM PHOSPHATE, DIBASIC, HEPTAHYDRATE			0.34	%W/W
SODIUM PHOSPHATE, DIBASIC, HEPTAHYDRATE	EMULSION, SUSTAINED RELEASE	TOPICAL	0.2	%W/W
SODIUM PHOSPHATE, DIBASIC, HEPTAHYDRATE	TOPICAL	OINTMENT	0.15	%

(Continued)

Ingredient	Route	Dosage FoRM	Quantity	Unit
SODIUM PHOSPHATE, DIBASIC, HEPTAHYDRATE	TOPICAL	EMULSION, CREAM	0.39	%
SODIUM PHOSPHATE, DIBASIC, HEPTAHYDRATE	TOPICAL	LOTION	1.59	%
SODIUM PHOSPHATE, DIBASIC, HEPTAHYDRATE	URETHRAL	INJECTION	2	%
SODIUM PHOSPHATE, DIBASIC, UNSPECIFIED FORM			0.1	%W/W
SODIUM PHOSPHATE, MONOBASIC	IONTOPHORESIS	PATCH, CONTROLLED RELEASE	14.2	MG
SODIUM PHOSPHATE, MONOBASIC	TOPICAL	LOTION, AUGMENTED	0.2	%
SODIUM PHOSPHATE, MONOBASIC	TOPICAL	EMULSION, CREAM	0.265	%
SODIUM PHOSPHATE, MONOBASIC	TOPICAL	LOTION	0.3	%
SODIUM PHOSPHATE, MONOBASIC	TOPICAL	PATCH, CONTROLLED RELEASE	14.2	MG
SODIUM PHOSPHATE, MONOBASIC, ANHYDROUS			0.3	%W/W
SODIUM PHOSPHATE, MONOBASIC, ANHYDROUS				ADJPH
SODIUM PHOSPHATE, MONOBASIC, ANHYDROUS	BUCCAL	FILM	1.09	MG
SODIUM PHOSPHATE, MONOBASIC, ANHYDROUS	TOPICAL	EMULSION, CREAM	0.5	%
SODIUM PHOSPHATE, MONOBASIC, ANHYDROUS	TOPICAL	LOTION	0.6	%
SODIUM PHOSPHATE, MONOBASIC, ANHYDROUS	TOPICAL	GEL	0.3	%W/W
SODIUM PHOSPHATE, MONOBASIC, DIHYDRATE	TOPICAL	GEL	0.022	%W/W
SODIUM PHOSPHATE, MONOBASIC, MONOHYDRATE			0.3	%W/W
SODIUM PHOSPHATE, MONOBASIC, MONOHYDRATE	TOPICAL	LOTION, AUGMENTED	0.15	%
SODIUM PHOSPHATE, MONOBASIC, MONOHYDRATE	TOPICAL	LOTION	0.2	%
SODIUM PHOSPHATE, MONOBASIC, MONOHYDRATE	TOPICAL	EMULSION, CREAM	0.3	%
SODIUM PHOSPHATE, MONOBASIC, UNSPECIFIED FORM		AUGMENTED	0.2	%W/W
SODIUM PHOSPHATE, MONOBASIC, UNSPECIFIED FORM		EMULSION, SUSTAINED RELEASE	0.27	%W/W
SODIUM PHOSPHATE, MONOBASIC, UNSPECIFIED FORM			0.27	%W/W
SODIUM PHOSPHATE, MONOBASIC, UNSPECIFIED FORM	AUGMENTED	TOPICAL	0.2	%W/W
SODIUM PHOSPHATE, MONOBASIC, UNSPECIFIED FORM	BUCCAL	FILM	0.47	MG
SODIUM PHOSPHATE, MONOBASIC, UNSPECIFIED FORM	BUCCAL	FILM	0.76	MG
SODIUM PHOSPHATE, MONOBASIC, UNSPECIFIED FORM	EMULSION, SUSTAINED RELEASE	TOPICAL	0.27	%W/W
SODIUM PHOSPHATE, TRIBASIC	BUCCAL	FILM	0.76	MG
SODIUM POLYACRYLATE (2500000 MW)			700	MG
SODIUM PYRROLIDONE CARBOXYLATE	TOPICAL	LOTION	5.2	%
SODIUM SULFITE			0.2	%W/W
SODIUM SULFITE	TOPICAL	EMULSION, CREAM	0.2	%
SODIUM SULFOSUCCINATED UNDECYCLenic MONOALKYLOLAMIDE	TOPICAL	LOTION	0.1	%
SODIUM THIOSULFATE			0.1	%W/W
SODIUM THIOSULFATE		AUGMENTED	0.1	%W/V
SODIUM THIOSULFATE		EMULSION, SUSTAINED RELEASE	0.1	%W/V
SODIUM THIOSULFATE		TOPICAL	0.1	%W/W
SODIUM THIOSULFATE	AUGMENTED	TOPICAL	0.1	%W/V
SODIUM THIOSULFATE	EMULSION, SUSTAINED RELEASE	TOPICAL	0.1	%W/V
SODIUM THIOSULFATE	TOPICAL	CREAM, AUGMENTED	0.1	%

(Continued)

Ingredient	Route	Dosage FoRM	Quantity	Unit
SODIUM THIOSULFATE	TOPICAL	CREAM, EMULSION, SUSTAINED RELEASE	0.1	%
SORBIC ACID			0.07	%W/W
SORBIC ACID			0.1	%W/W
SORBIC ACID		EMULSION, SUSTAINED RELEASE	0.2	%W/W
SORBIC ACID		TOPICAL	0.07	%W/W
SORBIC ACID		TOPICAL	0.1	%W/W
SORBIC ACID			0.15	%W/W
SORBIC ACID			0.75	%W/W
SORBIC ACID	EMULSION, SUSTAINED RELEASE	TOPICAL	0.2	%W/W
SORBIC ACID	TOPICAL	OINTMENT	0.1	%
SORBIC ACID	TOPICAL	GEL	0.175	%
SORBIC ACID	TOPICAL	LOTION	0.2	%
SORBIC ACID	TOPICAL	EMULSION, CREAM	2.7	%
SORBIC ACID	TOPICAL	GEL	0.18	%W/W
SORBIC ACID	VAGINAL	GEL	0.09	%
SORBIC ACID	VAGINAL	SPONGE	6	MG
SORBIC ACID	VAGINAL	GEL	0.09	%
SORBITAN MONOLAURATE			4.74	%W/W
SORBITAN MONOLAURATE	TOPICAL	GEL	1	%W/W
SORBITAN MONOOLEATE			0.4	%W/W
SORBITAN MONOOLEATE		AUGMENTED	0.2	%W/V
SORBITAN MONOOLEATE		EMULSION, SUSTAINED RELEASE	3.5	%W/W
SORBITAN MONOOLEATE		TOPICAL	0.4	%W/W
SORBITAN MONOOLEATE			2.5	%W/W
SORBITAN MONOOLEATE			3.5	%W/W
SORBITAN MONOOLEATE	AUGMENTED	TOPICAL	0.2	%W/V
SORBITAN MONOOLEATE	EMULSION, SUSTAINED RELEASE	TOPICAL	3.5	%W/W
SORBITAN MONOOLEATE	RECTAL	SUPPOSITORY	22	MG
SORBITAN MONOOLEATE	TOPICAL	CREAM, AUGMENTED	0.2	%
SORBITAN MONOOLEATE	TOPICAL	CREAM, EMULSION, SUSTAINED RELEASE	0.2	%
SORBITAN MONOOLEATE	TOPICAL	EMULSION, CREAM	3.5	%
SORBITAN MONOOLEATE	TOPICAL	LOTION	7	%
SORBITAN MONOOLEATE	TOPICAL	GEL	0.2	%W/W
SORBITAN MONOPALMITATE			2	%W/W
SORBITAN MONOPALMITATE	TOPICAL	LOTION	1	%
SORBITAN MONOPALMITATE	TOPICAL	EMULSION, CREAM	2	%
SORBITAN MONOPALMITATE	TOPICAL	PATCH	10.5	MG
SORBITAN MONOSTEARATE			1.95	%W/W
SORBITAN MONOSTEARATE			8	%W/W
SORBITAN MONOSTEARATE		AUGMENTED	2	%W/W
SORBITAN MONOSTEARATE		EMULSION, SUSTAINED RELEASE	5	%W/W
SORBITAN MONOSTEARATE		TOPICAL	1.95	%W/W
SORBITAN MONOSTEARATE		TOPICAL	8	%W/W
SORBITAN MONOSTEARATE			6	%W/W
SORBITAN MONOSTEARATE			5	%
SORBITAN MONOSTEARATE	AUGMENTED	TOPICAL	2	%W/W
SORBITAN MONOSTEARATE	EMULSION, SUSTAINED RELEASE	TOPICAL	5	%W/W
SORBITAN MONOSTEARATE	TOPICAL	LOTION	2.5	%

(Continued)

Ingredient	Route	Dosage FoRM	Quantity	Unit
SORBITAN MONOSTEARATE	TOPICAL	EMULSION, CREAM	8	%
SORBITAN MONOSTEARATE	VAGINAL	CREAM, AUGMENTED	2	%
SORBITAN MONOSTEARATE	VAGINAL	EMULSION, CREAM	5	%
SORBITAN MONOSTEARATE	VAGINAL	CREAM, AUGMENTED	2	%
SORBITAN SESQUIOLEATE	TOPICAL	OINTMENT	2	%
SORBITAN TRISTEARATE		AUGMENTED	0.5	%W/W
SORBITAN TRISTEARATE	AUGMENTED	TOPICAL	0.5	%W/W
SORBITOL			10	%W/W
SORBITOL		TOPICAL	10	%W/W
SORBITOL			7	%W/W
SORBITOL			5	%W/W
SORBITOL	BUCCAL	GUM, CHEWING	257	MG
SORBITOL	ORAL	GUM, CHEWING	257	MG
SORBITOL	TOPICAL	EMULSION, CREAM	67.52	%
SORBITOL SOLUTION			3.25	%W/W
SORBITOL SOLUTION			13.5	%W/W
SORBITOL SOLUTION			17.5	MG/1G
SORBITOL SOLUTION		AUGMENTED	15	%W/W
SORBITOL SOLUTION		EMULSION, SUSTAINED RELEASE	36.8	%W/W
SORBITOL SOLUTION		TOPICAL	3.25	%W/W
SORBITOL SOLUTION		TOPICAL	13.5	%W/W
SORBITOL SOLUTION		TOPICAL	17.5	MG/1G
SORBITOL SOLUTION			25	%W/W
SORBITOL SOLUTION			36.8	%
SORBITOL SOLUTION			2800	MG
SORBITOL SOLUTION	AUGMENTED	TOPICAL	15	%W/W
SORBITOL SOLUTION	BUCCAL	GUM, CHEWING	45	MG
SORBITOL SOLUTION	EMULSION, SUSTAINED RELEASE	TOPICAL	36.8	%W/W
SORBITOL SOLUTION	ORAL	GUM, CHEWING	45	MG
SORBITOL SOLUTION	TOPICAL	OINTMENT	1.5	%
SORBITOL SOLUTION	TOPICAL	LOTION	5	%
SORBITOL SOLUTION	TOPICAL	CREAM, AUGMENTED	15	%
SORBITOL SOLUTION	TOPICAL	EMULSION, CREAM	25	%
SORBITOL SOLUTION	VAGINAL	EMULSION, CREAM	36.8	%
SORBITOL SOLUTION	VAGINAL	CREAM, EMULSION, SUSTAINED RELEASE	36.8	%W/W
SOYBEAN			3	%W/W
SOYBEAN OIL	TOPICAL	LOTION	50.2	%
SPERMACEI			11	%W/W
SPERMACEI			3	%
SPERMACEI	TOPICAL	EMULSION, CREAM	11	%
SPERMACEI	VAGINAL	EMULSION, CREAM	3	%
SQUALANE		AUGMENTED	6	%W/W
SQUALANE			6	%W/W
SQUALANE	AUGMENTED	TOPICAL	6	%W/W
SQUALANE	TOPICAL	EMULSION, CREAM	6	%
STEARAMONIOUM CHLORIDE	TOPICAL	LOTION	3.15	%
STEARAMIDOETHYL DIETHYLAMINE			2.5	%
STEARAMIDOETHYL DIETHYLAMINE	TOPICAL	EMULSION, CREAM	0.6	%
STEARAMIDOETHYL DIETHYLAMINE	VAGINAL	EMULSION, CREAM	2.5	%
STEARETH-100			0.35	%W/W
STEARETH-100	TOPICAL	EMULSION, CREAM	0.35	%
STEARETH-100	TOPICAL	OINTMENT	0.6	%

(Continued)

Ingredient	Route	Dosage FoRM	Quantity	Unit
STEARETH-2		EMULSION, SUSTAINED RELEASE	0.85	%W/W
STEARETH-2			4.5	%W/W
STEARETH-2	EMULSION, SUSTAINED RELEASE	TOPICAL	0.85	%W/W
STEARETH-2	TOPICAL	LOTION	0.4	%
STEARETH-2	TOPICAL	CREAM, EMULSION, SUSTAINED RELEASE	0.85	%
STEARETH-2	TOPICAL	EMULSION, CREAM	4.5	%
STEARETH-2	TOPICAL	OINTMENT	5	%
STEARETH-20		EMULSION, SUSTAINED RELEASE	4.15	%W/W
STEARETH-20	EMULSION, SUSTAINED RELEASE	TOPICAL	4.15	%W/W
STEARETH-20	TOPICAL	CREAM, EMULSION, SUSTAINED RELEASE	4.15	%
STEARETH-21		EMULSION, SUSTAINED RELEASE	2.5	%W/W
STEARETH-21			3	%W/W
STEARETH-21	EMULSION, SUSTAINED RELEASE	TOPICAL	2.5	%W/W
STEARETH-21	TOPICAL	CREAM, EMULSION, SUSTAINED RELEASE	2.5	%
STEARETH-21	TOPICAL	EMULSION, CREAM	3	%
STEARETH-21	TOPICAL	LOTION	3	%
STEARETH-40			0.92	%W/W
STEARETH-40			12	%W/W
STEARIC ACID		AUGMENTED	3	%W/W
STEARIC ACID		EMULSION, SUSTAINED RELEASE	16	%W/W
STEARIC ACID			8	%W/W
STEARIC ACID			22.5	%W/W
STEARIC ACID			5	%W/W
STEARIC ACID			4	%
STEARIC ACID			14	%
STEARIC ACID	AUGMENTED	TOPICAL	3	%W/W
STEARIC ACID	EMULSION, SUSTAINED RELEASE	TOPICAL	16	%W/W
STEARIC ACID	TOPICAL	CREAM, AUGMENTED	3	%
STEARIC ACID	TOPICAL	CREAM, EMULSION, SUSTAINED RELEASE	4	%
STEARIC ACID	TOPICAL	OINTMENT	15	%
STEARIC ACID	TOPICAL	LOTION	20	%
STEARIC ACID	TOPICAL	EMULSION, CREAM	22.6	%
STEARIC ACID	VAGINAL	EMULSION, CREAM	14	%
STEAROXYTRIMETHYLSILANE		AUGMENTED	1	%W/W
STEAROXYTRIMETHYLSILANE	AUGMENTED	TOPICAL	1	%W/W
STEAROXYTRIMETHYLSILANE	TOPICAL	CREAM, AUGMENTED	1	%
STEAROYL POLYOXYLGLYCERIDES			7.5	%W/W
STEAROYL POLYOXYLGLYCERIDES		TOPICAL	7.5	%W/W
STEARTRIMONIUM HYDROLYZED ANIMAL COLLAGEN	TOPICAL	LOTION	0.5	%
STEARYL ALCOHOL			3.8	%W/W
STEARYL ALCOHOL			13	%W/W
STEARYL ALCOHOL		AUGMENTED	4	%W/W

(Continued)

Ingredient	Route	Dosage FoRM	Quantity	Unit
STEARYL ALCOHOL		EMULSION, SUSTAINED RELEASE	15	%W/W
STEARYL ALCOHOL		TOPICAL	3.8	%W/W
STEARYL ALCOHOL		TOPICAL	13	%W/W
STEARYL ALCOHOL			1	%W/W
STEARYL ALCOHOL			30	%W/W
STEARYL ALCOHOL			42.5	%W/W
STEARYL ALCOHOL	AUGMENTED	TOPICAL	4	%W/W
STEARYL ALCOHOL	EMULSION, SUSTAINED RELEASE	TOPICAL	15	%W/W
STEARYL ALCOHOL	TOPICAL	CREAM, EMULSION, SUSTAINED RELEASE	3	%
STEARYL ALCOHOL	TOPICAL	CREAM, AUGMENTED	4	%
STEARYL ALCOHOL	TOPICAL	OINTMENT	8	%
STEARYL ALCOHOL	TOPICAL	LOTION	12	%
STEARYL ALCOHOL	TOPICAL	EMULSION, CREAM	30	%
STEARYL ALCOHOL	VAGINAL	EMULSION, CREAM	42.5	%
STEARYL ALCOHOL	VAGINAL	CREAM	7	%W/W
STEARYL ALCOHOL	VAGINAL	CREAM, EMULSION, SUSTAINED RELEASE	8.4	%W/W
STEARYL CITRATE	TOPICAL	OINTMENT	0.75	%
SUCRALOSE			5.5	MG
SUCRALOSE	BUCCAL	GUM, CHEWING	3.5	MG
SUCROSE	TOPICAL	OINTMENT	20	%
SUCROSE DISTEARATE			5	%W/W
SUCROSE DISTEARATE	TOPICAL	EMULSION, CREAM	5	%
SULFACETAMIDE SODIUM			3.01	%W/W
T-BUTYLHYDROQUINONE	VAGINAL	EMULSION, CREAM	0.02	%
TALC	TOPICAL	LOTION	7.28	%
TALC	TOPICAL	OINTMENT	8.27	%
TALLOW GLYCERIDES			2.55	%W/W
TALLOW GLYCERIDES			2.78	%W/W
TALLOW GLYCERIDES	TOPICAL	EMULSION, CREAM	2.78	%
TARTARIC ACID				ADJPH
TEGACID			16	%W/W
TENOX			0.025	%W/W
TENOX	TOPICAL	EMULSION, CREAM	0.025	%
TENOX	TOPICAL	OINTMENT	0.025	%
TENOX-2	TOPICAL	OINTMENT	0.025	%
TERT-BUTYL ALCOHOL	TOPICAL	GEL	0.12	%W/W
TERT-BUTYLHYDROQUINONE			0.02	%
TERT-BUTYLHYDROQUINONE	VAGINAL	CREAM	0.02	%W/W
THIMEROSAL	TOPICAL	EMULSION, CREAM	0.005	%
THIMEROSAL	TOPICAL	OINTMENT	0.04	%
TITANIUM DIOXIDE			0.25	%W/W
TITANIUM DIOXIDE			1	%W/W
TITANIUM DIOXIDE			1.66	MG
TITANIUM DIOXIDE			2.78	MG
TITANIUM DIOXIDE		TOPICAL	0.25	%W/W
TITANIUM DIOXIDE		TOPICAL	1	%W/W
TITANIUM DIOXIDE			0.6	MG
TITANIUM DIOXIDE			2	%W/W
TITANIUM DIOXIDE	BUCCAL	GUM	7.58	MG
TITANIUM DIOXIDE	BUCCAL	GUM, CHEWING	7.58	MG
TITANIUM DIOXIDE	TOPICAL	EMULSION, CREAM	2	%
TITANIUM DIOXIDE	TOPICAL	OINTMENT	5	%

(Continued)

Ingredient	Route	Dosage FoRM	Quantity	Unit
TITANIUM DIOXIDE	TOPICAL	GEL	0.063	%W/W
TOCOPHEROL	TOPICAL	OINTMENT	0.002	%
TRIACETIN	TRANSDERMAL	PATCH	22.1	MG
TRIETHANOLAMINE LAURYL SULFATE			10.78	%W/W
TRIETHANOLAMINE LAURYL SULFATE			0.13	%W/W
TRIGLYCERIDES, MEDIUM CHAIN	TOPICAL	GEL	1	%
TRIGLYCERIDES, MEDIUM CHAIN	TOPICAL	CREAM, AUGMENTED	1.37	%
TRIGLYCERIDES, MEDIUM CHAIN	TOPICAL	CREAM, EMULSION, SUSTAINED RELEASE	1.37	%
TRIGLYCERIDES, MEDIUM CHAIN	TOPICAL	EMULSION, CREAM	15	%
TRIHIDROXYSTEARIN	TOPICAL	OINTMENT	3	%
TRILANETH-4 PHOSPHATE	TOPICAL	OINTMENT	1.9	%
TRILAURETH-4 PHOSPHATE	TOPICAL	OINTMENT	4.7	%
TRIMETHYLSILYL TREATED DIMETHICONOL/ TRIMETHYLSILOXYSILICATE CROSSPOLYMER (35/65 W/W; 5000000 PA.S)			171.17	MG
TRIMETHYLSILYL TREATED DIMETHICONOL/ TRIMETHYLSILOXYSILICATE CROSSPOLYMER (40/60 W/W; 5000000 PA.S)			228.23	MG
TRISODIUM CITRATE DIHYDRATE			0.08	%W/W
TRISODIUM CITRATE DIHYDRATE		TOPICAL	0.08	%W/W
TRISODIUM CITRATE DIHYDRATE			3.62	MG
TRISODIUM CITRATE DIHYDRATE			0.1	%W/W
TRISODIUM CITRATE DIHYDRATE	TOPICAL	LOTION	0.32	%
TRISODIUM CITRATE DIHYDRATE	TOPICAL	GEL	0.14	%W/W
TROLAMINE		AUGMENTED	1	%W/W
TROLAMINE		EMULSION, SUSTAINED RELEASE	0.4	%W/W
TROLAMINE			0.5	%W/W
TROLAMINE			2.6	%W/W
TROLAMINE			1	%W/W
TROLAMINE			0.75	%
TROLAMINE	AUGMENTED	TOPICAL	1	%W/W
TROLAMINE	EMULSION, SUSTAINED RELEASE	TOPICAL	0.4	%W/W
TROLAMINE	TOPICAL	EMULSION, CREAM	1	%
TROLAMINE	TOPICAL	GEL	1	%
TROLAMINE	TOPICAL	LOTION	31.7	%
TROLAMINE	TOPICAL	GEL	1	%W/W
TROLAMINE	TRANSDERMAL	GEL	0.35	%
TROLAMINE	TRANSDERMAL	GEL	0.35	%
TROLAMINE	VAGINAL	EMULSION, CREAM	0.75	%
TROLAMINE LAURYL SULFATE	TOPICAL	EMULSION, CREAM	0.13	%
TROMETHAMINE	TOPICAL	GEL	0.8	%
TROMETHAMINE	TOPICAL	GEL	0.8	%W/W
TROMETHAMINE	TRANSDERMAL	GEL	0.5	%
TROMETHAMINE	TRANSDERMAL	GEL	0.1	%W/W
TROMETHAMINE	URETHRAL	SOLUTION	0.121	%
TYLOXAPOL	OPHTHALMIC	GEL	0.05	%
TYLOXAPOL	OPHTHALMIC	GEL	0.05	%
UNION 76 AMSCO-RES 6038			5.7	MG
UNION 76 AMSCO-RES 6038	TRANSDERMAL	FILM, CONTROLLED RELEASE	5.7	MG
UREA			0.64	%
UREA	VAGINAL	EMULSION, CREAM	0.64	%
VEGETABLE OIL			3.5	%W/W

(Continued)

Ingredient	Route	Dosage FoRM	Quantity	Unit
VEGETABLE OIL	BUCCAL	GUM, CHEWING	14.4	MG
VEGETABLE OIL	TOPICAL	EMULSION, CREAM	3.5	%
VEGETABLE OIL GLYCERIDE, HYDROGENATED	RECTAL	SUPPOSITORY	870	MG
VEGETABLE OIL, HYDROGENATED	RECTAL	SUPPOSITORY	2026.5	MG
VEGETABLE OIL, HYDROGENATED	TOPICAL	EMULSION, CREAM	72	%
VEGETABLE OIL, HYDROGENATED	VAGINAL	EMULSION, CREAM	72	%
VEGETABLE OIL, HYDROGENATED	VAGINAL	SUPPOSITORY	2400	MG
VISCARIN	TOPICAL	LOTION	1	%
VISCOSE/COTTON			84	MG
VISCOSE/COTTON	TRANSDERMAL	FILM, CONTROLLED RELEASE	84	MG
WAX, DEHYDAG	TOPICAL	EMULSION, CREAM	8.5	%
WAX, EMULSIFYING	TOPICAL	OINTMENT	1.5	%
WAX, EMULSIFYING	TOPICAL	LOTION	4	%
WAX, EMULSIFYING	TOPICAL	EMULSION, CREAM	24.8	%
WAX, WHITE	RECTAL	SUPPOSITORY	265	MG
WAX, WHITE	TOPICAL	EMULSION, CREAM	6	%
WAX, WHITE	TOPICAL	OINTMENT, AUGMENTED	6.75	%
WAX, WHITE	TOPICAL	OINTMENT	7.3	%
WAX, WHITE	TOPICAL	CREAM, AUGMENTED	10	%
WAX, WHITE	VAGINAL	EMULSION, CREAM	2	%
WECOBEE FS			67.2	%W/W
WECOBEE FS			1495	MG
WECOBEE FS	VAGINAL	SUPPOSITORY	1700	MG
WHITE CERESIN WAX	VAGINAL	EMULSION, CREAM	7	%
WHITE WAX			1	%W/W
WHITE WAX			1.5	%W/W
WHITE WAX			1.25	%W/W
WHITE WAX		AUGMENTED	10	%W/W
WHITE WAX		TOPICAL	1	%W/W
WHITE WAX		TOPICAL	1.5	%W/W
WHITE WAX		TOPICAL	1.25	%W/W
WHITE WAX			6	%W/W
WHITE WAX			2	%
WHITE WAX	AUGMENTED	TOPICAL	10	%W/W
XANTHAN GUM		AUGMENTED	0.22	%W/W
XANTHAN GUM		EMULSION, SUSTAINED RELEASE	0.75	%W/W
XANTHAN GUM			0.3	MG
XANTHAN GUM			0.27	%W/W
XANTHAN GUM			0.75	%W/W
XANTHAN GUM	AUGMENTED	TOPICAL	0.22	%W/W
XANTHAN GUM	EMULSION, SUSTAINED RELEASE	TOPICAL	0.75	%W/W
XANTHAN GUM	TOPICAL	LOTION	0.18	%
XANTHAN GUM	TOPICAL	CREAM, AUGMENTED	0.215	%
XANTHAN GUM	TOPICAL	CREAM, EMULSION, SUSTAINED RELEASE	0.3	%
XANTHAN GUM	TOPICAL	EMULSION, CREAM	0.75	%
XANTHAN GUM	TOPICAL	GEL	2.85	%W/W
XYLITOL			4.76	MG
XYLITOL	BUCCAL	GUM	492.01	MG

(Continued)

Ingredient	Route	Dosage FoRM	Quantity	Unit
XYLITOL	BUCCAL	GUM, CHEWING	506.13	MG
YELLOW WAX			5	%W/W
YELLOW WAX	VAGINAL	CREAM, EMULSION, SUSTAINED RELEASE	10	MG/GM
ZINC ACETATE	TOPICAL	LOTION	1.2	%
ZINC OXIDE	RECTAL	SUPPOSITORY	375	MG
ZINC STEARATE			6	%W/W



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Part II

Manufacturing Formulations



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Semisolid Formulations

ACECLOFENAC GEL CREAM

Bill of Materials			
Scale (mg/g)	Item	Material Name	Qty/kg Tablets (g)
1.5	1	Aceclofenac	1.5
9.9	2	Miglyol® 812 (Dynamit-Nobel)	9.9
4.9	3	Lutrol E 400	4.9
64.0	4	Deionized water	64.0
19.7	5	Lutrol F 127	19.7

MANUFACTURING DIRECTIONS

1. Mix item 1 with water and cool to approximately 5°C.
2. Add slowly Lutrol F 127 and continue stirring until it is dissolved.
3. Maintain cool until the air bubbles escape. A milky, firm gel is obtained.

ACETAMINOPHEN SUPPOSITORIES

Bill of Materials			
Scale (mg/suppository)	Item	Material Name	Qty/1000 Suppositories (g)
80.00	1	Acetaminophen (micronized)	80.00
836.80	2	Hard fat (Suppocire AM®)	836.80
3.20	3	Sorbitan monostearate (Crill-3)	3.20

MANUFACTURING DIRECTIONS

1. Fill weight is 920 mg/suppository. Stir the molten suppository mass throughout the storage period and during manufacturing and filling to avoid sedimentation of the active drug.
2. Load items 2 and 3 into the fat-melting vessel and heat to 50°C ± 3°C.
3. Transfer the molten mass to a mixer through filter sieves.
4. Set the temperature at 45°C ± 2°C.

5. Load item 1 into the mixer containing molten item 2.
6. Carefully mix the powder with molten item 2 for 20 minutes at 10 rpm, at a temperature of 45°C ± 2°C, and at a vacuum of 0.4 to 0.5 bar, then homogenize for 10 minutes at low speed.
7. Continue mixing at 10 rpm.
8. Heat the storage vessel and set the temperature at 45°C ± 2°C.
9. Transfer the molten mass from the mixer to the storage vessel.
10. Hold the mass at 45°C ± 2°C, with continuous mixing at low speed.

ACETAMINOPHEN SUPPOSITORIES

Bill of Materials			
Scale (mg/suppository)	Item	Material Name	Qty/1000 Suppositories (g)
125.00	1	Acetaminophen micronized, 5% excess	131.25
785.54	2	Suppocire AM	785.54
3.21	3	Crill-3	3.21

MANUFACTURING DIRECTIONS

1. Load item 2 in the fat-melting vessel and heat to 60°C.
2. Transfer about one-third of step 1 to a Becomix vessel through filter sieves. Set the temperature to 60°C.
3. Add item 3 to step 2. Mix at 10 rpm and homogenize at speed I for 15 minutes at 60°C under vacuum of 0.4 to 0.6 bar to dissolve.
4. Cool down to 50°C to 55°C.
5. Load item 1 in step 4 and mix at 10 rpm and homogenize at speed I for 10 minutes maintaining the temperature of 50°C to 55°C under vacuum as above to make a smooth slurry.
6. Transfer balance quantity of item 2 from step 1 into step 5 through filter sieve, set the temperature at 50°C and speed at 10 rpm, homogenize at speed II and under vacuum for 10 minutes.
7. Transfer into storage vessel and set temperature at 45°C.
8. Fill 920 mg in a suppository mold.

ACETAMINOPHEN SUPPOSITORIES

Bill of Materials			
Scale (mg/ suppository)	Item	Material Name	Qty/1000 Suppositories (g)
150.00	1	Acetaminophen (fine powder), excess	150.00
20.00	2	Aerosil® 200	20.00
1290.00	3	Lutrol E 1500	1290.00
554.00	4	Lutrol E 4000	554.00

MANUFACTURING DIRECTIONS

1. Melt the mixture of items 1 and 2 in a mixture of items 3 and 4.
2. Fill the molten mass in suppository molds.
3. Average weight is 2 g.

ACETAMINOPHEN SUPPOSITORIES

Bill of Materials			
Scale (mg/ suppository)	Item	Material Name	Qty/1000 Suppositories (g)
500.00	1	Acetaminophen (fine powder)	500.00
100.00	2	Lutrol E 400	100.00
600.00	3	Lutrol E 1500	600.00
800.00	4	Lutrol E 4000	800.00

MANUFACTURING DIRECTIONS

1. Fill weight is 2.09 g. Melt items 2 through 4 and add and dispense item 1.
2. Fill the molten mass in suppository molds.

ACETAMINOPHEN SUPPOSITORIES

Bill of Materials			
Scale (mg/ suppository)	Item	Material Name	Qty/1000 Suppositories (g)
250.00	1	Acetaminophen micronized, 5% excess	252.50
1137.50	2	Suppocire AM	1137.50

MANUFACTURING DIRECTIONS

1. Load item 2 in the fat-melting vessel and heat to 60°C.
2. Transfer step 1 to a Becomix vessel through filter sieves; set the temperature to 60°C.

3. Cool down to 50°C to 55°C and apply vacuum 0.4 to 0.6 bar.
4. Load item 1 and mix at 10 rpm and homogenize at speed I for 10 minutes, maintaining the temperature of 50°C to 55°C under vacuum as above to make a smooth slurry.
5. Transfer into storage vessel and set temperature at 45°C.
6. Fill 1390 mg in a suppository mold.

ACETAMINOPHEN SUPPOSITORIES

Bill of Materials			
Scale (mg/ suppository)	Item	Material Name	Qty/1000 Suppositories (g)
500.00	1	Acetaminophen micronized, 5% excess	525.00
1137.50	2	Suppocire AM	1137.50

MANUFACTURING DIRECTIONS

1. Load item 2 in the fat-melting vessel and heat to 60°C.
2. Transfer step 1 to a Becomix vessel through filter sieves. Set the temperature to 60°C.
3. Cool down to 50°C to 55°C and apply vacuum 0.4 to 0.66 bar.
4. Load item 1 and mix at 10 rpm and homogenize at speed I for 10 minutes maintaining the temperature of 50°C to 55°C under vacuum as above to make a smooth slurry.
5. Transfer into storage vessel and set temperature at 45°C.
6. Fill 1390 mg in a suppository mold.

ACETYLSALICYLIC ACID SUPPOSITORIES

Bill of Materials			
Scale (mg/ suppository)	Item	Material Name	Qty/1000 Suppositories (g)
100.00	1	Acetylsalicylic acid	100.00
400.00	2	Suppocire AM	400.00

MANUFACTURING DIRECTIONS

1. Heat item 2 to 50°C.
2. Allow to cool to 40°C and add item 1 while stirring with a turbine mixer.
3. Continue mixing and cooling and pour into molds at 35°C that were previously chilled to 0° to 5°C. Remove suppositories from molds after 7 minutes.
4. Fill to appropriate weight for strength desired.

ACNE COVER CREAM

Bill of Materials			
Scale (mg/g)	Item	Material Name	Qty/kg (g)
37.00	1	Glyceryl stearate S/E	37.00
46.00	2	Mineral oil/lanolin alcohol (liquid base CB3939)	46.00
9.00	3	Polawax GP2000	9.00
18.00	4	Stearic acid	18.00
QS	5	Deionized water	QS
36.00	6	Propylene glycol	36.00
2.00	7	Carboxymethylcellulose (CMC-7HF)	2.00
9.00	8	Magnesium aluminum silicate (regular) Veegum®	9.00
9.00	9	Triethanolamine (99%)	9.00
120.00	10	Titanium dioxide	120.00
QS	11	Iron oxides	QS
50.00	12	Actives	50.00
QS	13	Perfume, preservative	QS

MANUFACTURING DIRECTIONS

1. Disperse CMC in propylene glycol and triethanolamine and add warm water (60–65° C) while stirring, until the gum is hydrated.
2. Add Veegum and stir until hydrated.
3. Heat oil phase to 60°C to 65°C.
4. Add water phase to oil phase while stirring.
5. Add pigments and stir to cool, adding the actives at 30°C.
6. Homogenize using suitable equipment.
7. Fill. (*Note:* Active ingredients may be added as required to this base formula.)

ACNE TREATMENT CREAM

Bill of Materials			
Scale (mg/g)	Item	Material Name	Qty/kg (g)
20.00	1	Polychol 10 (Laneth-10)	20.00
5.00	2	Lanolin alcohols (Super Hartolan)	5.00
55.00	3	Cetyl alcohol C90	55.00
60.00	4	Polawax, NF	60.00
14.00	5	Sulfur	14.00
QS	6	Deionized water	QS
40.00	7	Veegum (regular)	40.00
20.00	8	Propylene glycol	20.00
20.00	9	Resorcinol	20.00
QS	10	Perfume, preservative	QS

MANUFACTURING DIRECTIONS

1. Hydrate Veegum in water.
2. Add rest of the water-phase ingredients and heat to 70°C.
3. Heat oil phase to 70°C.
4. Disperse sulfur in the oil phase.
5. Add oil phase to water phase while stirring.
6. Stir to cool. Fill.

ACYCLOVIR CREAM

Bill of Materials			
Scale (g/100 g)	Item	Material Name	Qty/kg (g)
5.00	1	Acyclovir: Use acyclovir micronized	52.00
5.20	2	Acyclovir: Use acyclovir micronized	52.00
1.63	3	Polyoxyl 20 cetostearyl ether (cetomacrogol 1000)	16.35
20.40	4	Propylene glycol	204.00
17.00	5	Propylene glycol	170.00
9.65	6	Petrolatum (white soft paraffin)	96.50
6.50	7	Cetostearyl alcohol	65.00
3.50	8	Mineral oil (liquid paraffin)	35.00
36.50	9	Purified water	365.00

MANUFACTURING DIRECTIONS

1. Oil phase
 - a. Load items 5 to 7 in fat-melting vessel and melt at 70°C. Maintain temperature at 70°C ± 2°C.
2. Aqueous phase
 - a. Heat item 8 in mixer at 90°C. Cool down to 70°C. Add item 2 in item 8 at 70°C and stir to dissolve.
 - b. Add item 4 to mixer (step 2b) and mix. Maintain temperature at 70°C ± 2°C.
3. Cream phase
 - a. Add oil phase through stainless-steel filter to aqueous phase in mixer while mixing at 10 to 12 rpm, manual mode, and temperature 70°C ± 2°C.
 - b. Homogenize at low speed with mixing 10 to 12 rpm, vacuum 0.4 to 0.6 bar, temperature 70°C ± 2°C for 10 minutes.
 - c. Cool down to 50°C with mixing.
4. Drug phase
 - a. Heat 169 g of item 3 at 50°C in water bath.
 - b. Disperse item 1 in item 3 (step 4a) with the help of homogenizer. Homogenize two times with homogenizer (gap setting 1) to make smooth dispersion. Dispersion should be smooth with no gritty particles.

- c. Add the drug phase from step 4b to cream base at step 3.3 in mixer.
 - d. Rinse the homogenizer and the container with 35 g of item 3 (50°C) and add the rinsing to cream base in mixer.
5. Final mixing
- a. Homogenize at high speed for 15 minutes at a temperature of 45°C with continuous mixing at 10 to 12 rpm.
 - b. Cool down to 25°C to 30°C with continuous mixing.
 - c. Unload in stainless-steel drum lined with polythene bag.

ACYCLOVIR OINTMENT

Bill of Materials			
Scale (g/100 g)	Item	Material Name	Qty/kg (g)
5.000	1	Acyclovir micronized (4% excess)	52.00
28.000	2	Polyethylene glycol 3350	280.00
41.800	3	Polyethylene glycol 400	418.00
25.000	4	Propylene glycol	250.00

MANUFACTURING DIRECTIONS

1. Oil phase
 - a. Heat items 2 and 3 to 70°C ± 2°C in mixer to melt. Cool down to 45°C with mixing.
2. Drug dispersion
 - a. Disperse item 1 in 200 g of item 4 at 50°C in a water bath with the help of homogenizer. The drug dispersion should be smooth with no gritty particles.
 - b. Add the drug dispersion to mixer at step 1.
 - c. Rinse the container with 50 g of item 4 at 50°C and add the rinsing to mixer.
3. Final mixing
 - a. Homogenize at high speed with mixing under vacuum 0.4 to 0.6 bar at 45°C ± 2°C for 30 minutes.
 - b. Cool down to 25° to 30°C with continuous mixing.
 - c. Unload in stainless-steel drum lined with polythene bag.

ADAPALENE CREAM

Adapalene cream, 0.1%, contains adapalene, 0.1%, in an aqueous cream emulsion consisting of carbomer 934P, cyclomethicone, edetate disodium, glycerin, methyl glucose sesquistearate, methyl paraben, PEG-20 methyl glucose sesquistearate, phenoxyethanol, propyl paraben, purified water, squalane, and trolamine.

ALCLOMETASONE DIPROPIONATE CREAM AND OINTMENT

Each gram of cream contains 0.5 mg of alclometasone dipropionate in a hydrophilic, emollient cream base of propylene glycol, white petrolatum, cetaryl alcohol, glyceryl stearate, PEG-100 stearate, ceteth-20, monobasic sodium phosphate, chlorocresol, phosphoric acid, and purified water. Each gram of ointment contains 0.5 mg of alclometasone dipropionate in an ointment base of hexylene glycol, white wax, propylene glycol stearate, and white petrolatum.

ALOE VERA GEL

Bill of Materials			
Scale (mg/g)	Item	Material Name	Qty/kg (g)
4.0	1	Aloe vera extract 200X	4.0
50.0	2	Propylene glycol	50.0
QS	3	Preservative	QS
736.0	4	Water	736.0
11.0	5	Cremophor RH 40	11.0
QS	6	Perfume	QS
200.0	7	Lutrol F 127	200.0

MANUFACTURING DIRECTIONS

1. Prepare solutions items 1 to 4 and items 5 and 6 separately and add second to first mixture.
2. Cool this mixture to < 10°C (or heat to 70–80°C) and dissolve item 7. Maintain the temperature until the air bubbles escape and the appearance is clear. Viscosity should be approximately 60 Pa, pH approximately 5.5 (20–25°C) in the storage vessel.
3. Mix for 2 minutes. Store in a clean storage vessel.

ALUM CREAM

Bill of Materials			
Scale (g/100 g)	Item	Material Name	Qty/kg (g)
4.00	1	Cetostearyl alcohol	40.00
5.00	2	Octyldodecanol	50.00
4.00	3	Lanolin alcohol	40.00
2.00	4	Ethoxylated castor oil	20.00
2.00	5	White petrolatum	20.00
6.50	6	Alum (aluminum potassium sulfate, 12 H ₂ O)	65.00
2.50	7	Cetylpyridinium ammonium chloride	25.00
95.00	8	Water purified	740.00

MANUFACTURING DIRECTIONS

1. Heat cetostearyl alcohol, ethoxylated castor oil, lanolin alcohol, octyldodecanol, and white petrolatum that are weighed and mixed in the ratio defined above to 60°C.
2. Dissolve alum and item 7 in water at room temperature and then the solution is heated to 62°C.
3. Combine both phases in an ointment mixer and homogenize by stirring.
4. While stirring, cool the cream to approximately 30°C and supplement its weight with purified water.
5. Homogenize the cream again by stirring and then fill into an electrolyte-resistant storage bottle

6-AMINONICOTINAMIDE OINTMENT**MANUFACTURING DIRECTIONS**

1. Dissolve 0.1 g 6-Aminonicotinamide in 3.6 mL of 0.22 N HCl and 6.3 mL water.
2. Admix the solution thus obtained with commercially available USP grade hydrophilic ointment (90 g) to a uniform consistency.
3. Store the ointment thus prepared preferably in opaque jars at room temperature.

6-AMINONICOTINIC ACID METHYL ESTER OINTMENT**MANUFACTURING DIRECTIONS**

1. Dissolve 1 g 6-Aminonicotinic acid methyl ester in anhydrous ethanol (9 mL) and admix the solution with white petrolatum USP grade (54 g) and liquid petrolatum USP grade (36 g) to a uniform consistency.
2. This ointment also may be stored in opaque jars at room temperature.

6-AMINONICOTINIC ACID OINTMENT**MANUFACTURING DIRECTIONS**

1. Dissolve 1 g 6-Aminonicotinic acid in 7 mL of 1 N HCl and 2mL of water.
2. Admix the solution with USP grade hydrophilic ointment (90 g) to a uniform consistency.
3. The ointment thus prepared may also be stored in opaque jars at room temperature.

AMINACRINE HYDROCHLORIDE CREAM**Bill of Materials**

Scale (g/100 g)	Item	Material Name	Qty/kg (g)
0.10	1	Aminacrine hydrochloride	1.00
5.00 mg	2	Thymol	50.00 mg
9.50	3	Glyceryl monostearate	95.00
3.20	4	Cetostearyl alcohol	32.00
1.90	5	Polyoxyl 40 stearate	19.00
10.00	6	Liquid paraffin	100.00
0.45	7	Cetrimide	4.50
QS	8	Isopropyl alcohol	1.30 L
QS	9	Perfume	QS
QS	10	Water purified	QS to 1 kg

MANUFACTURING DIRECTIONS

1. Load items 3 to 5 and half of item 6 into a suitable mixing vessel. Heat to 60°C and mix well.
2. Prepare slurry of item 1 in the balance of item 6 and add to step 1 slowly at 60°C under constant stirring.
3. Heat item 10 to 60°C and add to step 2 with stirring to form an emulsion.
4. Cool down to 45°C and add perfume. Continue to mix to cool down to room temperature.
5. Fill in appropriate containers.

AMOXICILLIN LOTION**Bill of Materials**

Scale (g/100 g)	Item	Material Name	Qty/kg (g)
7.00	1	Ethoxylated cetyl stearyl alcohol	70.00
0.75	2	Cetyl alcohol	7.50
5.00	3	Isopropyl myristate	50.00
0.10	4	Butylated hydroxyanisole	1.00
0.25	5	Polyoxyl 40 stearate	2.50
71.80	6	Water purified	718.00
3.00	7	Propylene glycol	30.00
10.00	8	Acetone	100.00
0.10	9	Dioctyl sodium sulfosuccinate	1.00
2.00	10	Amoxicillin	20.00

AMPICILLIN LOTION

Bill of Materials			
Scale (g/100 g)	Item	Material Name	Qty/kg (g)
7.00	1	Ethoxylated cetyl stearyl alcohol	70.00
0.75	2	Cetyl alcohol	7.50
5.00	3	Isopropyl myristate	50.00
0.10	4	Butylated hydroxyanisole	1.00
0.25	5	Polyoxyl 40 stearate	2.50
71.80	6	Water purified	718.00
3.00	7	Propylene glycol	30.00
10.00	8	Acetone	100.00
0.10	9	Diocetyl sodium sulfosuccinate	1.00
2.00	10	Ampicillin	20.00

ANALGESIC CLEAR GEL

Bill of Materials			
Scale (mg/g)	Item	Material Name	Qty/kg (g)
25.00	1	Hydroxypropyl cellulose	25.00
QS	2	Deionized water	QS to 1 kg
400.00	3	Ethanol DEB 100	400.00
100.00	4	Menthol	100.00
150.00	5	Methyl salicylate	150.00
25.00	6	DEA-oleath-3-phosphate	25.00

MANUFACTURING DIRECTIONS

1. Hydrate hydroxypropyl cellulose in water at 60°C to 65°C.
2. Stir to cool.
3. Add ethanol.
4. Add remaining ingredients and stir until homogeneous.

ANALGESIC CREAM

Bill of Materials			
Scale (mg/g)	Item	Material Name	Qty/kg (g)
130.00	1	Methyl salicylate	130.00
60.00	2	Menthol	60.00
20.00	3	Eucalyptus oil	20.00
5.00	4	Lanolin	5.00
1.00	5	Chloroxyleneol	1.00
150.00	6	Glyceryl stearate and PEG-100 stearate	150.00
73.00	7	Cetearyl alcohol	73.00
70.00	8	Glyceryl stearate	70.00
QS	9	Deionized water	QS to 1 kg
QS	10	Preservative, color	QS

MANUFACTURING DIRECTIONS

1. Heat oil and water phases separately to 70°C.
2. Add water phase to oil phase while stirring. Stir to cool.
3. Fill at 30°C.

ANALGESIC LOTION

Bill of Materials			
Scale (mg/g)	Item	Material Name	Qty/kg (g)
150.00	1	Methyl salicylate	150.00
70.00	2	Menthol	70.00
10.00	3	Lanolin oil	10.00
30.00	4	PEG-40 stearate	30.00
20.00	5	Glyceryl stearate	20.00
QS	6	Deionized water	QS
1.50	7	Carbopol® 980	1.50
10.00	8	Potassium hydroxide (10% aqueous solution)	10.00
QS	9	Preservative, color	QS

MANUFACTURING DIRECTIONS

1. Heat oil and water phases (except potassium hydroxide) separately to 65°C to 70°C.
2. Add water phase to oil phase while stirring.
3. Add potassium hydroxide solution to neutralize.
4. Stir to cool.
5. Fill at 30°C.

ANTHRALIN CREAM

Anthralin cream, 1% USP, is a smooth, yellow cream acid, sodium hydroxide, and purified water. For topical containing 1% anthralin USP in an aqueous cream base dermatological use only.

ANTIACNE GEL

Bill of Materials			
Scale (mg/g)	Item	Material Name	Qty/kg (g)
422.00	1	Witch hazel (distilled, 14% alcohol)	422.00
5.00	2	Salicylic acid	5.00
5.00	3	Aloe vera gel	5.00
10.00	4	Sorbitol	10.00
500.00	5	Polyglycerylmethacrylate	500.00
10.00	6	Propylene glycol	10.00
0.80	7	Methyl paraben	0.80
0.20	8	Propyl paraben	0.20

MANUFACTURING DIRECTIONS

1. Premix items 1 to 4.
2. Add item 5 with low-shear mixing until homogeneous.
3. Mix together items 6 to 8 and add them to the formulation.

ANTIFUNGAL FOOT POWDER

Bill of Materials			
Scale (mg/g)	Item	Material Name	Qty/kg (g)
5.00	1	Dichlorobenzyl alcohol (Myacide SF)	5.00
5.00	2	Allantoin	5.00
200.00	3	Cornstarch	200.00
790.00	4	Talc	790.00

MANUFACTURING DIRECTIONS

1. Mix all ingredients using geometric dilution technique.

ANTIFUNGAL TOPICAL CREAM

Bill of Materials			
Scale (g/100 g)	Item	Material Name	Qty/kg (g)
39.00	1	Urea ^a	390.00
0.15	2	Carbopol 940	1.50
5.94	3	Petrolatum	59.40
12.06	4	Mineral oil	120.60
1.875	5	Glyceryl stearate	187.50
0.626	6	Cetyl alcohol	6.26
3.00	7	Propylene glycol	30.00
0.05	8	Xanthan gum	0.50
0.15	9	Trolamine	1.50
1.00–5.00	10	Antifungal compound ^a	10.00–50.00

^a Adjust quantity of urea for the quantity of antifungal compound; this formula is for 1% level of antifungal added.

ANTISEPTIC CREAM

Bill of Materials			
Scale (mg/g)	Item	Material Name	Qty/kg (g)
50.00	1	Polawax GP200	50.00
10.00	2	Lanolin	10.00
150.00	3	Mineral oil (70 cS)	150.00
70.00	4	Cetearyl alcohol	70.00
30.00	5	Dimethicone	30.00
QS	6	Deionized water	QS to 1 kg
5.00	7	Cetrimonium bromide	5.00
0.50	8	Chlorhexidine gluconate	0.50
QS	9	Perfume, preservative, color	QS

MANUFACTURING DIRECTIONS

1. Heat oil and water phases to 65°C.
2. Add water phase to oil phase while stirring.
3. Stir to cool.
4. Fill.

ANTISEPTIC LOTION

Bill of Materials			
Scale (mg/g)	Item	Material Name	Qty/kg (g)
30.00	1	Cetearyl alcohol and ceteareth-20	30.00
50.00	2	Mineral oil (70 cS)	50.00
2.00	3	Lanolin alcohol	2.00
QS	4	Deionized water	QS to 1 kg
5.00	5	Cetrimonium bromide (as 40% cetrimide solution BP)	5.00
20.00	6	Glycerin	20.00
QS	7	Perfume, preservative, color	QS

MANUFACTURING DIRECTIONS

1. Heat oil and water phases separately to 70°C.
2. Add water phase to oil phase while stirring.
3. Stir to cool.
4. Fill at 30°C.

ANTISEPTIC LOTION

Bill of Materials			
Scale (mg/g)	Item	Material Name	Qty/kg (g)
30.00	1	Cetearyl alcohol and cetareth-20	30.00
45.00	2	Mineral oil (70 cS)	45.00
25.00	3	Stearyl alcohol	25.00
10.00	4	Lanolin	10.00
5.00	5	Polysorbate 60	5.00
15.00	6	Laneth-15	15.00
QS	7	Deionized water	QS to 1 kg
5.00	8	Cetrimonium bromide (as 40% cetrimide solution BP)	5.00
20.00	9	Glycerin	20.00
QS	10	Perfume, preservative, color	QS

MANUFACTURING DIRECTIONS

1. Heat oil and water phases separately to 70°C.
2. Add water phase to oil phase while stirring.
3. Stir to cool.
4. Fill at 30°C.

ARGININE AND OLEORESIN CAPSICUM CREAM

Active ingredients: L-arginine and oleoresin capsicum. Other ingredients: Water, choline chloride, sodium chloride, magnesium chloride, white oil, glyceryl stearate SE, squalane, cetyl alcohol, propylene glycol stearate SE, wheat germ oil, glyceryl stearate, isopropyl myristate, stearyl stearate, polysorbate-60, propylene glycol, oleic acid, tocopheryl acetate, collagen, sorbitan stearate, vitamins A and D, triethanolamine, aloe vera extract, imidazolidinyl urea, oleoresin capsicum, methyl paraben, propyl paraben, BHA.

ARGININE CREAM

Active ingredient: L-arginine. Other ingredients: Water, choline chloride, sodium chloride, magnesium chloride, white oil, glyceryl stearate SE, squalane, cetyl alcohol, propylene glycol stearate SE, wheat germ oil, glyceryl stearate, isopropyl myristate, stearyl stearate, polysorbate-60, propylene glycol, oleic acid, tocopheryl acetate, collagen, sorbitan stearate, vitamins A and D, triethanolamine, aloe vera extract, imidazolidinyl urea, methyl paraben, propyl paraben, BHA.

ARGININE-ASPARTATE CREAM

Bill of Materials			
Scale (g/100 g)	Item	Material Name	Qty/kg (g)
3.50	1	Cetostearyl alcohol	35.00
40.00	2	Squalane	400.00
3.00	3	Beeswax	30.00
5.00	4	Reduced lanolin	50.00
0.30	5	Ethyl p-oxybenzoate	3.00
2.00	6	Polyoxyethylene (20 mol) sorbitan monopalmitate	20.00
2.00	7	Monoglyceride stearate	20.00
0.50	8	Sodium N-stearoyl glutamate	5.00
1.00	9	2-Hydroxy-4-methoxy benzophenone	10.00
2.00	10	Retinol acetate	20.00
0.05	11	Evening primrose oil	0.50
0.03	12	Perfume	0.30
0.01	13	L-Arginine-L-aspartate	0.10
5.00	14	1,3-Butylene glycol	50.00
5.00	15	Polyethylene glycol 1500	50.00
QS	16	Water purified	QS to 1 kg

MANUFACTURING DIRECTIONS

1. Place items 1 to 12 in a heating vessel and dissolve and mix.
2. In another vessel, prepare a solution of items 13 to 16 heated to 75°C with stirring.
3. Add step 2 into step 1 and homogenize to reduce the size of emulsified particles.
4. Cool rapidly to produce a cream.

ATROPINE OPHTHALMIC OINTMENT

Bill of Materials			
Scale (g/100 g)	Item	Material Name	Qty/kg (g)
1.00	1	Atropine sulfate	10.00
5.00	2	Liquid paraffin	50.00
5.00	3	Cetostearyl alcohol	50.00
5.00	4	Hard paraffin	50.00
84.00	5	Soft paraffin	840.00

MANUFACTURING DIRECTIONS

1. Load items 2 to 5 in a melting vessel. Heat to 145°C and keep it at this temperature for 45 minutes.
2. Allow to cool to room temperature.
3. In a separate vessel, dissolve item 1 in 200 mL of water for injection and add to step 1 under aseptic conditions.
4. Fill and sterilize in tubes (gamma radiation).

AZELAIC ACID CREAM AND GEL

Azelaic acid cream, 20%, contains azelaic acid, a naturally occurring saturated dicarboxylic acid. Each gram contains azelaic acid (0.2 g, 20% w/w). Inactive ingredients: Cetearyl octanoate, glycerin, glyceryl stearate, cetearyl alcohol, cetyl palmitate, cocoglycerides, PEG-5 glyceryl stearate, propylene glycol, and purified water. Benzoic acid is present as a preservative. Azelaic acid in a gel form is manufactured by the following method: Benzoic acid and EDTA are dissolved in usual concentrations in 60 to 70 parts of water. Then a mixture of 1 part midchain triglycerides and 1.5 parts polysorbate 80 is added and homogenized while being stirred (preemulsion). One part lecithin is introduced into twelve parts propylene glycol. The solution that is produced is stirred into the preemulsion and homogenized. After 1 part polyacrylic acid is added, 15 parts azelaic acid are added. Sodium hydroxide is used to neutralize the carbomer to form the gel.

BABY CREAM, BENZALKONIUM CHLORIDE, AND ZINC OXIDE

Bill of Materials

Scale (mg/g)	Item	Material Name	Qty/kg (g)
0.002 mL	1	Benzalkonium chloride solution	2.30 mL
85.00 mg	2	Zinc oxide (powder)	85.00
100.00 mg	3	Polawax (emulsifying, nonionic wax)	100.00
16.00 mg	4	Alcohol cetostearyl	16.00
4.00 mg	5	Lanolin (acetylated/ anhydrous, regular)	4.00
80.00 mg	6	Glycerin (96%)	80.00
10.00 mg	7	Oil (neutral, vegetable triglycerides mixture; Miglyol)	10.00
0.50 mg	8	Propyl paraben (Aseptiform(tm) P)	0.50
1.00 mg	9	Methyl paraben (Aseptiform(tm) M)	1.00
0.80 mL	10	Purified water	QS to 800.00 mL
0.24 mg	11	Perfume (Diabolo 110.388/B)	0.24

MANUFACTURING DIRECTIONS

Avoid mixing air into emulsion. Emulsify under vacuum to minimize air entrapment. Use jacketed tank with vacuum with high-speed agitator (adjustable, slow-speed, anchor type with Teflon sweep blades).

1. If necessary, mill zinc oxide in a Fitz mill or similar device (impact forward, maximum speed), fitted with a 250 µm screen.
2. Repeat 3 times.

3. Heat 800 mL of water to 75°C in a steam-jacketed mixing tank and dissolve methyl paraben.
4. Maintain temperature at 75°C.
5. Disperse milled zinc oxide in solution of previous step.
6. Maintain temperature at 75°C.
7. Dissolve benzalkonium chloride and glycerin in solution and maintain temperature at 75°C.
8. In a separate steam-jacketed tank, add Polawax, cetostearyl alcohol, acetylated lanolin, oil, and propyl paraben. Carefully melt at 70°C.
9. Adjust the turbomixer of the steam-jacketed tank containing the aqueous phase to maximum speed, keeping the temperature at 75°C.
10. Slowly add the oil phase to the aqueous phase.
11. Generate as much vacuum as possible and maintain it for the rest of the process.
12. Circulate cold water to allow for a very slow temperature decrease (down to 60°C).
13. Stop the turbomixer and set the anchor-type agitator at minimum speed until 40°C to 45°C is reached.
14. The temperature decrease must be very slow.
15. Break the vacuum and add perfume to cream with anchor-type agitator set at slow speed.
16. Continue to mix until the perfume is completely dispersed.

BABY LOTION

Bill of Materials

Scale (mg/mL)	Item	Material Name	Qty/L
50.00	1	Alcohol (ethanol; natural cosmetic grade)	50.00 g
50.00	2	Propylene glycol	50.00 g
0.80	3	Ethoxylated nonyl phenol	0.80 g
0.005	4	FD&C Red Dye No. 40	5.70 mg
0.41	5	FD&C blue dye No. 1	0.41 g
0.70	6	FD&C yellow dye No. 5	0.70 g
0.40	7	Perfume essence (Nelandia)	0.40 g
QS	8	Hydrochloric acid (reagent-grade bottles)	~0.01 g
QS	9	Purified water	QS to 1.00 L

MANUFACTURING DIRECTIONS

Use 316 or more resistant-grade stainless-steel tank.

1. Place approximately 800 mL of purified water in main mixing tank.
2. Add alcohol and propylene glycol and mix for 5 minutes.
3. Separately dissolve each dye in sufficient water to obtain 0.5% dye solutions.

4. Add color solutions to main tank and mix.
5. Rinse containers with small portions of purified water and add rinsings.
6. Dissolve perfume essence in ethoxylated nonyl phenol.
7. Add solution from previous step to main tank and mix for 5 minutes.
8. Determine pH of solution and adjust if necessary with 5% hydrochloric acid solution.
9. Mix well. pH should be 5.7 to 5.9.
10. QS to 1 L with purified water.

BABY LOTION

Bill of Materials

Scale (mg/ mL)	Item	Material Name	Qty/L (g)
50.00	1	Alcohol	50.0
50.00	2	Propylene glycol	50.0
0.80	3	Ethoxylated nonyl phenol	0.80
0.57	4	Dye red FD&C No. 40	0.57
0.41	5	Dye blue FD&C No. 1	0.41
0.70	6	Dye yellow FD&C No. 5	0.70
0.40	7	Perfume essence nelandia	0.40
QS	8	Acid hydrochloric reagent grade bottles	~0.012
QS	9	Water purified	QS to 1 L

MANUFACTURING DIRECTIONS

1. Use 316 or more resistant grade stainless-steel tank.
2. Place approximately 800 mL of purified water in main mixing tank.
3. Add alcohol and propylene glycol and mix for 5 minutes. Separately dissolve each dye in sufficient water to obtain 0.5% dye solutions.
4. Add color solutions to main tank and mix. Rinse containers with small portions of purified water and add rinsings.
5. Dissolve perfume essence nelandia in ethoxylated nonyl phenol.
6. Add solution from step above to main tank and mix for 5 minutes.
7. Determine pH of solution and adjust if necessary with 5% hydrochloric acid solution.
8. Mix well (pH 5.7–5.9). QS to 1 L with purified water.

BABY SHAMPOO

Bill of Materials

Scale (mg/g)	Item	Material Name	Qty/kg
250.00	1	Sodium alkyl ether sulfate/ sulfonate	250.00 g
30.00	2	Monateric CAB surfactant	30.00 g
30.00	3	Cocamide DEA surfactant (Synotol CN 90)	30.00 g
1.00	4	Methyl paraben	1.00 g
0.52	5	Anhydrous citric acid	0.52 g
0.003	6	FD&C yellow dye No. 6	3.50 mg
0.01	7	FD&C yellow dye No. 5	15.00 mg
4.00	8	Ethoxylated nonyl phenol	4.00 g
3.00	9	Perfume I	3.00 g
1.00	10	Perfume II	1.00 g
8.50	11	Sodium chloride	8.50 g
QS	12	Purified water	QS to 1.00 kg

MANUFACTURING DIRECTIONS

Use 315 or more resistant-grade stainless-steel tank.

1. Add approximately 270 g of purified water to the main mixing tank.
2. With slow agitation add cocamide DEA surfactant.
3. Add and dissolve methyl paraben and mix for approximately 10 minutes.
4. Add the following ingredients to tank: Sodium alkyl sulfate/sodium alkyl ether sulfate/sulfonate, monateric CAB surfactant, and approximately 280 g of purified water.
5. Mix for 15 minutes until complete solution is obtained.
6. With constant stirring, slowly add citric acid (10% solution) until a pH of 6.9 to 7.1 is maintained constantly for 5 minutes after the last addition of the citric acid solution.
7. Separately dissolve FD&C yellow dyes No. 6 and 5 (if used) in sufficient purified water.
8. Add dye solution from step above to main tank and mix.
9. Rinse containers with a small portion of purified water and add rinsings.
10. Separately mix ethoxylated nonyl phenol with perfumes (perfume available from Firmenich; Plainsboro, NJ) and add to main mixing tank.
11. Rinse container with purified water and add rinsing.
12. Mix until completely dissolved.
13. Slowly add in small portions sodium chloride to adjust the viscosity to between 1500 and 3500 cps.
14. Mix for 15 minutes.
15. If necessary, QS to 1 kg with purified water.

BACITRACIN ZINC AND POLYMYXIN B SULFATE OPHTHALMIC OINTMENT

The bacitracin zinc and polymyxin B sulfate ophthalmic ointment USP is a sterile antimicrobial ointment formulated for ophthalmic use. Bacitracin zinc is the zinc salt of bacitracin, a mixture of related cyclic polypeptides (mainly bacitracin A) produced by the growth of an organism of the licheniformis group of *Bacillus subtilis* var. Tracy. It has a potency of not less than 40 bacitracin units per milligram. Polymyxin B sulfate is the sulfate salt of polymyxin B1 and B2, which are produced by the growth of *Bacillus polymyxa* (Prazmowski) Migula (family Bacillaceae). It has a potency of not less than 6000 polymyxin B units per milligram, calculated on an anhydrous basis. Each gram contains the following actives: Bacitracin zinc equal to 500 bacitracin units and polymyxin B sulfate equal to 10,000 polymyxin B units. Inactives: White petrolatum and mineral oil.

BASE CREAM

Bill of Materials

Scale (g/100 g)	Item	Material Name	Qty/kg (g)
7.0	1	Cetyl stearyl alcohol	70.00
1.5	2	Cremophor A 6	15.00
1.5	3	Cremophor A 25	15.00
12.0	4	Liquid paraffin	120.00
0.2	5	Paraben(s)	2.00
67.8–69.7	6	Water	678–697
8.0	7	Propylene glycol	80.00
0.1–2.0	8	Active ingredient	1–2.00

MANUFACTURING DIRECTIONS

1. Heat a mixture of items 1 to 5 and the water separately to approximately 80°C.
2. With rigorous stirring, add the water to the obtained solution.
3. Heat items 7 and 8 until the active ingredient is dissolved, mix with aqueous solution, and continue to stir during cooling to room temperature.
4. This white basic cream can be readily used for active ingredients soluble in 1, 2-propylene glycol.

BASE OINTMENT

Bill of Materials

Scale (g/100 g)	Item	Material Name	Qty/kg (g)
2.50	1	Propylene glycol	25.00
2.50	2	Triacetin	25.00
57.00	3	Mineral oil	570.00
35.00	4	Microcrystalline wax	350.00
3.00	5	Propylene glycol stearate	30.00
0.05	6	Citric acid	0.50

MANUFACTURING DIRECTIONS

1. The mineral oil, microcrystalline wax, and propylene glycol stearate are melted together by heating to 75°C to 85°C and mixed, thus creating the oleaginous phase.
2. The citric acid, if used, is dissolved in the triacetin by stirring and using heat is necessary.
3. If used optionally, add the propylene glycol to the triacetin and mix.
4. After cooling the oleaginous phase to approximately 55°C, add the triacetin solution to the oleaginous phase while mixing. Mixing should be of sufficient intensity to disperse the triacetin finely and uniformly.
5. Continue mixing while cooling the ointment to 30°C or lower.

BASE OINTMENT

Bill of Materials

Scale (g/100 g)	Item	Material Name	Qty/kg (g)
10.00	1	Triacetin	100.00
30.00	2	Lanolin alcohol and petrolatum (Amerchol CAB)	300.00
1.00	3	Cholesterol	10.00
59.00	4	White petrolatum	590.00

MANUFACTURING DIRECTIONS

1. Melt together Amerchol CAB, white petrolatum, and cholesterol by heating to 75°C to 85°C and mix to form the oleaginous phase.
2. After cooling the oleaginous phase to approximately 45°C, add the triacetin to the oleaginous phase while mixing. Mixing should be of sufficient intensity to disperse the triacetin finely and uniformly.
3. Continue mixing while cooling the ointment to 30°C or lower.

BASE OINTMENT

Bill of Materials			
Scale (g/100 g)	Item	Material Name	Qty/kg (g)
5.00	1	Triacetin	50.00
25.00	2	Dimethicone (1000 cS)	250.00
61.50	3	White petrolatum	615.00
5.00	4	Microcrystalline wax	50.00
1.00	5	Cholesterol	10.00
2.50	6	Sucrose distearate	25.00

MANUFACTURING DIRECTIONS

- To make the oleaginous phase, melt white petrolatum, sucrose distearate, cholesterol, and microcrystalline wax at 75°C to 85°C.
- Add dimethicone and mix. After cooling the oleaginous phase to approximately 55° C, add the triacetin to the oleaginous phase while mixing. Mixing should be of sufficient intensity to disperse the triacetin finely and uniformly.
- Continue mixing while cooling the ointment to 30° C or lower.

BASE OINTMENT

Bill of Materials			
Scale (g/100 g)	Item	Material Name	Qty/kg (g)
5.00	1	Liquid paraffin	50.00
10.00	2	White paraffin	100.00
30.00	3	Glycerin	300.00
8.00	4	Cetostearyl alcohol	80.00
0.30	5	Methyl paraben	3.00
3.60	6	Polyoxyethylene sorbitan monostearate	36.00
2.00	7	Glyceryl monostearate	20.00
QS	8	Water purified	QS to 1 kg

MANUFACTURING DIRECTIONS

- Preparation of water phase
 - Add purified water, polysorbate 60, and glycerin with agitation to a melting kettle.
 - Heat the contents to 61°C to 65°C.
 - Add methyl paraben and mix the composition to dissolve while maintaining temperature.
- Preparation of oil phase
 - In a suitable vessel, place liquid paraffin, cetostearyl alcohol, white petrolatum, glycerol monostearate, and white beeswax and mix continuously while heating to 71°C to 75°C.

- Mixing of phases
 - The mixture of step 2 is transferred to step 1 kettle with the water phase maintained less than 300 mbar vacuum.
 - With mixing and keeping the temperature at 61°C to 65°C, draw the oil phase into the water phase.
 - Mix for 15 minutes with agitation and vacuum at 300 mbar and 61°C to 65°C.
 - While mixing and under vacuum, allow the mixture to cool gradually to room temperature.
- Fill in appropriate container.

BECAPLERMIN GEL (0.01%)

The gel contains becaplermin, a recombinant human platelet-derived growth factor for topical administration. Becaplermin is produced by recombinant DNA technology by insertion of the gene for the B chain of platelet-derived growth factor into the yeast *Saccharomyces cerevisiae*. Becaplermin has a molecular weight of approximately 25 kDa and is a homodimer composed of two identical polypeptide chains that are bound together by disulfide bonds. The gel is a nonsterile, low-bioburden, preserved, sodium carboxymethylcellulose-based topical gel containing the active ingredient becaplermin and the following inactive ingredients: Sodium chloride, sodium acetate trihydrate, glacial acetic acid, water for injection, and methyl paraben, propyl paraben, and M-cresol as preservatives, and L-lysine hydrochloride as a stabilizer. Each gram of gel contains 100 g of becaplermin.

BENZALKONIUM CHLORIDE AND ZINC OXIDE CREAM

Bill of Materials			
Scale (mg/g)	Item	Material Name	Qty/kg (g)
0.0023 mL	1	Benzalkonium chloride solution	2.3 mL
85.0	2	Zinc oxide USP powder	85.0
100.0	3	Wax emulsifying nonionic (Polawax®)	100.0
16.0	4	Alcohol cetostearyl	16.0
4.0	5	Lanolin acetylated/ anhydrous USP regular	4.0
80.0	6	Glycerin USP (96%)	80.0
10.0	7	Oil-neutral vegetable triglycerides mixture Miglyol	10.0
0.5	8	Propyl paraben NF (Aseptoform P)	0.5
1.0	9	Methyl paraben NF (Aseptoform M)	1.0
0.80 mL	10	Purified water	QS to 800.0 mL
0.24	11	Perfume diabolio 110.388/B	0.24 g

MANUFACTURING DIRECTIONS

1. Avoid mixing air into emulsion. Emulsify under vacuum to minimize air entrapment. Use jacketed tank with vacuum with high-speed agitator and an adjustable slow-speed anchor type with Teflon sweep blades.
2. If necessary, mill zinc oxide in a Fitz mill or similar impactforward, maximum-speed mill, fitted with a 250 µm aperture screen. Repeat three times. Heat 800 mL of water to 75°C in a steam-jacketed mixing tank and dissolve methyl paraben.
3. Maintain temperature at 75°C. Disperse milled zinc oxide in solution of step above. Maintain temperature at 75°C.
4. Dissolve benzalkonium chloride and glycerin in solution. Maintain temperature at 75°C.
5. In a separate steam-jacketed tank, add Polawax, cetostearyl alcohol, acetylated lanolin, oil-neutral vegetable triglycerides mixture, and propyl paraben and carefully melt at 70°C.
6. Adjust the turbomixer of the steam-jacketed tank containing the aqueous phase to maximum speed, keeping the temperature at 75°C. Slowly add the oil phase into the aqueous phase. Generate as much vacuum as possible and maintain it for the rest of the process.
7. Circulate cold water to allow for a very slow temperature decrease (down to 60°C). Stop turbomixer and put the anchor-type agitator at minimum speed until 40°C to 45°C is reached. The temperature decrease must be very slow.
8. Break the vacuum and add perfume to cream with anchor-type agitator at slow speed.
9. Continue to mix until the perfume is completely dispersed.

**BENZALKONIUM CHLORIDE
CONTRACEPTIVE GEL****Bill of Materials**

Scale (mg/g)	Item	Material Name	Qty/kg (g)
50.00	1	PEG-6, PEG-32, and glycol stearate (Tefose® 63)	50.00
30.00	2	Apricot kernel oil PEG-6 esters (Labrafil® M 1944 CS)	30.00
816.00	3	Deionized water	816.00
80.00	4	Hydroxyethyl cellulose	80.00
24.00	5	Benzalkonium chloride (50 wt% in water)	24.00

MANUFACTURING DIRECTIONS

1. Mix items 3 and 4 at room temperature.
2. Heat to 75°C and add items 1 and 2 while stirring.
3. Cool with gentle stirring to 30°C, then add item 5 and stir.

BENZOCAINE CREAM**Bill of Materials**

Scale (mg/g)	Item	Material Name	Qty/kg (g)
180.00	1	Trilane-4 phosphate and glyceryl stearate and PEG-2 stearate	180.00
20.00	2	Hydrogenated palm/kernel oil PEG-6 esters	20.00
80.00	3	Mineral oil	80.00
0.30	4	Sodium methyl paraben	0.30
0.70	5	Sorbic acid	0.70
646.70	6	Deionized water	646.70
10.00	7	Benzocaine	10.00
10.00	8	Butamben	10.00
2.00	9	Menthol	2.00
0.30	10	Resorcinol	0.30
50.00	11	Ethoxydiglycol	50.00

MANUFACTURING DIRECTIONS

1. Dissolve items 7 to 10 in item 11.
2. Mix and heat items 1 to 6 to 75°C. Allow to cool slowly with constant stirring. At 35°C, add this to mixture above.
3. Homogenize if necessary.

**BENZOYL PEROXIDE AND
ALPHA-BISABOLOL GEL****Bill of Materials**

Scale (mg/g)	Item	Material Name	Qty/kg (g)
2.00	1	Alpha-bisabolol, natural (BASF)	2.00
60.00	2	Propylene glycol	60.00
100.00	3	Triethanolamine	100.00
30.00	4	Cremophor RH 40	30.00
30.00	5	Kollidon 30	30.00
408.00	6	Water	408.00
10.00	7	Carbopol 940	10.00
400.00	8	Water	400.00
50.00	9	Benzoyl peroxide	50.00

MANUFACTURING DIRECTIONS

1. Prepare suspension of items 7 and 8, then let swell for 1 hour.
2. Add this suspension to the well-stirred solution of items 1 to 5.
3. Add item 9 to create a colorless transparent gel.

BENZOYL PEROXIDE ANTIACNE MICROEMULSION**Bill of Materials**

Scale (mg/g)	Item	Material Name	Qty/kg (g)
470.00	1	Ethoxydiglycol (Transcutol®)	470.00
250.00	2	PEG-8 caprylic/Capric glycerides (Labrasol®)	250.00
150.00	3	Dipelargonate propylene glycol (DPPG)	150.00
80.00	4	Benzoyl peroxide	80.00
50.00	5	Propylene glycol laurate (Lauroglycol®)	50.00

MANUFACTURING DIRECTIONS

1. Mix items 1 to 3.
2. Dissolve item 4 in this mixture with mixing for 1.5 to 2.0 hours.
3. Add item 5 to mixture and mix until uniform emulsion is obtained.

BENZOYL PEROXIDE CREAM**Bill of Materials**

Scale (mg/g)	Item	Material Name	Qty/1000 Tablets (g)
460.50	1	Deionized water	460.50
5.00	2	Carbomer 940	5.00
10.00	3	Hydroxypropylmethylcellulose, medium viscosity	10.00
137.50	4	Deionized water	137.50
70.00	5	Purified bentonite (Polargel NF)	70.00
2.00	6	Methyl paraben	2.00
1.00	7	Propyl paraben	1.00
20.00	8	Glyceryl stearate	20.00
60.00	9	Propylene glycol	60.00
20.00	10	Polyethylene glycol 600	20.00
20.00	11	Myristyl propionate	20.00
50.00	12	Dimethicone	50.00
70.00	13	Purified bentonite (Polargel NF)	70.00
10.00	14	Titanium dioxide	10.00
100.00	15	Benzoyl peroxide 70%	100.00

MANUFACTURING DIRECTIONS

1. Sift carbomer 940 into vortex in water; when completely dispersed, sift in item 3.
2. Add parabens with stirring and heat (to 80°C at least) until dissolved.
3. Add glyceryl stearate.
4. Blend items 10 to 13 in propylene glycol in order and mix well. With the addition of Polargel, allow 15 minutes of mixing to complete hydration.
5. Blend propylene glycol portion into the first part. Finally, add benzoyl peroxide and titanium dioxide to the mixture and mill.

BENZOYL PEROXIDE GEL**Bill of Materials**

Scale (mg/g)	Item	Material Name	Qty/kg (g)
2.50	1	Acrylates/C10–30 alkyl acrylate crosspolymer	2.50
4.00	2	Carbopol 980	4.00
QS	3	Deionized water	QS to 1 kg
40.00	4	Isopropyl myristate	40.00
10.00	5	Cetyl alcohol	10.00
20.00	6	Glyceryl stearate	20.00
50.00	7	Sodium hydroxide 0.5 M	50.00
15.00	8	Deionized water	15.00
50.00	9	Benzoyl peroxide	50.00
50.00	10	PEG-600	50.00
QS	11	Perfume, preservative	QS

MANUFACTURING DIRECTIONS

1. Hydrate Carbopol and pemulen in warm water, 60°C. When fully hydrated, heat to 70°C.
2. Heat oil phase to 70°C. Add water phase to oil phase while stirring.
3. Add sodium hydroxide and continue stirring. Combine benzoyl peroxide, PEG-600, and water (item 8) and add to the emulsion.
4. At 35°C, homogenize with caution, using suitable equipment.

BENZOYL PEROXIDE LOTION

The cleansing lotions contain benzoyl peroxide, 4% and 8% respectively, in a lathering vehicle containing purified water, cetyl alcohol, citric acid, dimethyl isosorbide, docusate sodium, hydroxypropylmethylcellulose, laureth-12, magnesium aluminum silicate, propylene glycol, sodium hydroxide, sodium lauryl sulfoacetate, and sodium octoxynol-2 ethane sulfonate.

BENZOYL PEROXIDE LOTION

Bill of Materials			
Scale (mg/g)	Item	Material Name	Qty/kg (g)
40.00	1	Purified bentonite (Polargel NF)	40.00
10.00	2	Hydroxypropylmethylcellulose	10.00
522.20	3	Water	522.20
190.00	4	Water	190.00
2.00	5	Methyl paraben	2.00
2.00	6	Propyl paraben	2.00
20.00	7	Glyceryl stearate	20.00
60.00	8	Propylene glycol	60.00
20.00	9	Myristyl propionate	20.00
5.00	10	Dimethicone	5.00
QS	11	Iron oxides	QS
10.00	12	Titanium dioxide	10.00
100.00	13	Benzoyl peroxide 77%	100.00

MANUFACTURING DIRECTIONS

- Sift the Polargel NF into water with rapid mixing. Allow to hydrate for 15 minutes.
- Pass through coarse sieve, add item 2, and mix until all lumps are removed.
- Add parabens to the water with stirring and heat to 90°C to dissolve parabens.
- Add items 4 to 10 and mix well and then add these to the item 2 part. Mix well again. Finally, add items 11 to 13 and mix.
- Mill it and fill.

BETAMETHASONE AND CINCHOCAINE SUPPOSITORIES

Bill of Materials			
Scale (mg/suppository)	Item	Material Name	Qty/1000 Suppositories (g)
1.00	1	Betamethasone valerate	1.00
1.00	2	Cinchocaine hydrochloride	1.00
1798.00	3	Witepsol W 45®	1798.00

MANUFACTURING DIRECTIONS

- Charge item 3 in the fat-melting vessel and heat to 55°C; transfer molten mass to Becomix through stainless-steel sieve. Set the temperature at 50°C.
- Add items 1 and 2, mix well at 50°C, and mix for 20 minutes.
- Homogenize at 0.6 bar vacuum and 50°C.
- Transfer to storage at 40°C.
- Fill suppository mold.

BETAMETHASONE AND NEOMYCIN GEL CREAM

Bill of Materials			
Scale (mg/g)	Item	Material Name	Qty/kg (g)
1.30	1	Betamethasone valerate	0.13
6.50	2	Neomycin sulfate	0.65
150.00	3	Lutrol E 400	15.00
100.00	4	Miglyol 812	10.00
200.00	5	Lutrol F 127	20.00
QS	6	Water	QS to 1 kg

MANUFACTURING DIRECTIONS

- Dissolve betamethasone valerate in a mixture of Lutrol E 400 and Miglyol 812.
- Dissolve Lutrol F127 and neomycin sulfate in water at 5°C to 10°C.
- Mix both solutions.
- Maintain cool temperature until the air bubbles disappear. A milky-white soft gel cream is obtained.

BETAMETHASONE AND SALICYLIC ACID LOTION

Bill of Materials			
Scale (g/100 g)	Item	Material Name	Qty/kg (g)
0.10	1	Betamethasone dipropionate micronized, 5% excess ^a	1.05
1.90	2	Salicylic acid	19.00
0.032	3	Disodium edetate	0.32
0.55	4	Hydroxypropylmethylcellulose	5.50
0.55	5	Sodium hydroxide	5.50
40.00	6	Isopropyl alcohol	400.00
QS	7	Water purified	QS to 1 kg

^a Adjust quantity on the basis of assay.

MANUFACTURING DIRECTIONS

- Charge about half of item 7 into a suitable vessel and slowly add item 4 with vigorous mixing.
- Use item 7 to rinse the container for item 4 and add rinsings to the mixing vessel.
- In 10% of the amount of item 6, add and dissolve item 1 in a separate vessel and then add an additional 20% of item 6 and mix well until completely dissolved.
- Add 10% of item 7 in a separate vessel and add and dissolve item 5 into it.
- Add 10% of item 7 in a separate vessel and add and dissolve item 3 into it.
- Add 20% of item 7 in a separate vessel and add and dissolve item 2 into it.

7. Add 50% of item 6 to step 4 and mix slowly for 15 minutes. Add to this vessel step 3 and step 5 and mix vigorously.
8. Use item 7 to rinse all vessels and add rinsings.
9. Check pH to 4.8 to 5.3 and adjust if necessary.
10. Add step 1 to this and mix.
11. Fill in appropriate containers.

BETAMETHASONE CREAM

Bill of Materials

Scale (mg/g)	Item	Material Name	Qty/kg (g)
70.00	1	Cetyl stearyl alcohol	70.00
15.00	2	Cremophor A 6	15.00
15.00	3	Cremophor A 25	15.00
12.00	4	Liquid paraffin	12.00
2.00	5	Paraben(s)	2.00
697.00	6	Water	697.00
80.00	7	Propylene glycol	80.00
1.00	8	Betamethasone	1.00

MANUFACTURING DIRECTIONS

1. Heat the mixture of items 1 to 5 and item 6 separately to approximately 80°C.
2. Add together with rigorous stirring.
3. Heat items 7 and 8 until the active ingredient is dissolved.
4. Mix with above mixture and continue to stir to cool to room temperature to produce white cream.

BETAMETHASONE CREAM

Bill of Materials

Scale (mg/g)	Item	Material Name	Qty/kg (g)
70.00	1	Cetyl stearyl alcohol	70.00
15.00	2	Cremophor A 6	15.00
15.00	3	Cremophor A 25	15.00
12.00	4	Liquid paraffin	120.00
2.00	5	Paraben(s)	2.00
697.00	6	Water	697.00
80.00	7	Propylene glycol	80.00
1.00	8	Betamethasone	1.00

MANUFACTURING DIRECTIONS

1. Heat a mixture of items 1 to 5 and item 6 separately to approximately 80°C.
2. Add together with rigorous stirring.
3. Heat items 7 and 8 until the active ingredient is dissolved, mix with above mixture, and continue to stir to cool to room temperature. This creates a white cream.

BETAMETHASONE DIPROPIONATE CREAM, LOTION, AND OINTMENT

Each gram of cream, 0.05%, contains 0.643 mg betamethasone dipropionate USP (equivalent to 0.5 mg betamethasone) in a hydrophilic emollient cream consisting of purified water USP, mineral oil USP, white petrolatum USP, cetareth-30, cetearyl alcohol 70/30 (7.2%), sodium phosphate monobasic monohydrate R, and phosphoric acid NF, with chlorocresol and propylene glycol USP as preservatives. It may also contain sodium hydroxide R to adjust pH to approximately 5. Each gram of lotion, 0.05%, w/w contains 0.643 mg betamethasone dipropionate USP (equivalent to 0.5 mg betamethasone) in a lotion base of isopropyl alcohol USP (39.25%) and purified water USP and is slightly thickened with carbomer 974P; the pH is adjusted to approximately 4.7 with sodium hydroxide R. Each gram of lotion, 0.05%, contains 0.643 mg betamethasone dipropionate USP (equivalent to 0.5 mg betamethasone) in a lotion base of purified water USP, isopropyl alcohol USP (30%), hydroxypropyl cellulose NF, propylene glycol USP, and sodium phosphate monobasic monohydrate R, with phosphoric acid NF used to adjust the pH to 4.5. Each gram of ointment, 0.05%, contains 0.643 mg betamethasone dipropionate USP (equivalent to 0.5 mg betamethasone) in an ointment base of mineral oil USP and white petrolatum USP.

BETAMETHASONE DIPROPIONATE OINTMENT

Bill of Materials

Scale (g/100 g)	Item	Material Name	Qty/kg (g)
0.064	1	Betamethasone dipropionate	0.64
2.50	2	Propylene glycol stearate	25.00
3.50	3	Triacetin	35.00
0.05	4	Citric acid	0.50
35.00	5	Microcrystalline wax	350.00
58.88	6	Mineral oil	588.80

MANUFACTURING DIRECTIONS

1. Dissolve betamethasone dipropionate and citric acid in the triacetin with mixing and heat to 35°C if needed.
2. Melt microcrystalline wax, propylene glycol stearate, and mineral oil together by heating to 75°C to 85°C while stirring to make the oleaginous phase.
3. After cooling the oleaginous phase to approximately 55°C, add the triacetin solution while mixing to make a homogenous dispersion. Mixing should be of sufficient intensity to disperse the triacetin solution finely and uniformly.
4. Continue mixing while cooling at room temperature.

BETAMETHASONE GEL**Bill of Materials**

Scale (mg/g)	Item	Material Name	Qty/kg (g)
1.00	1	Betamethasone valerate	1.00
100.00	2	Ethanol (96%)	100.00
200.00	3	Propylene glycol	200.00
220.00	4	Lutrol F 127	220.00
QS	5	Water QS	470.00

MANUFACTURING DIRECTIONS

1. Prepare a solution of items 1 to 3 at room temperature and a solution of items 4 and 5 at approximately 6°C (or at >70°C).
2. Mix both solutions.
3. Maintain the temperature until the air bubbles disappear.
4. A certain amount of propylene glycol could be substituted by water. The obtained gel is clear and colorless.

BETAMETHASONE OPHTHALMIC OINTMENT**Bill of Materials**

Scale (g/100 g)	Item	Material Name	Qty/kg (g)
1.00	1	Betamethasone sulfate	10.00
5.00	2	Liquid paraffin	50.00
5.00	3	Cetostearyl alcohol	50.00
5.00	4	Hard paraffin	50.00
84.00	5	Soft paraffin	840.00

MANUFACTURING DIRECTIONS

1. Load items 2 to 5 in a melting vessel. Heat to 145°C and keep it at this temperature for 45 minutes.
2. Allow to cool to room temperature.
3. In a separate vessel, dissolve item 1 in 200 mL of water for injection and add to step 1 under aseptic condition.
4. Fill and sterilize in tubes.

BETAMETHASONE VALERATE AND CINCHOCAINE OINTMENT**Bill of Materials**

Scale (mg/g)	Item	Material Name	Qty/kg (g)
5.00	1	Cinchocaine hydrochloride	5.00
1.00	2	Betamethasone valerate	1.00
75.00	3	Hydrogenated castor oil	75.00
400.00	4	Eutenol G (2-octyldodecanol)	400.00
75.00	5	PEG-400 monoricinoleate	75.00
0.08	6	Lavender oil	0.08
443.00	7	Castor oil	443.00

MANUFACTURING DIRECTIONS

1. Charge items 3, 4, 5, and 7 in a melting vessel and heat to 85°C. Melt to a clear solution and cool down to 65°C. Transfer to Becomix.
2. Mix in Becomix at 65°C under vacuum. Cool down to 50°C.
3. Add items 1 and 2 in a small portion of the melt from step 2 in a separate vessel and homogenize and then add to step 3.
4. Add item 6 at 30°C and mix for 10 minutes.
5. Transfer to storage vessel and fill.

BETAMETHASONE VALERATE CREAM**Bill of Materials**

Scale (g/100 g)	Item	Material Name	Qty/kg (g)
0.10	1	Betamethasone valerate (34% excess)	1.34
2.00	2	Polyoxyl 20 cetostearyl ether (Cetomacrogol 1000)	20.00
8.00	3	Cetostearyl alcohol	80.00
0.10	4	Methyl paraben	1.00
0.034	5	Propyl paraben	0.34
0.10	6	Chlorocresol	1.00
6.00	7	Mineral oil (liquid paraffin)	60.00
0.29	8	Monobasic sodium phosphate	2.90
17.80	9	Petrolatum (soft white paraffin)	178.00
66.00	10	Purified water	660.00

MANUFACTURING DIRECTIONS

1. Heat item 10 to 90°C in a mixer.
2. Dissolve items 4 and 5 (parabens) to a clear solution by stirring.

3. Dissolve 3 g of item 2 in the parabens solution while stirring.
4. Dissolve items 6 and 8 in the parabens solution while stirring.
5. Set the mixer at a temperature of 65°C to 70°C and speed at 8 rpm. Use manual mode.
6. Load 17 g of items 2, 3, and 9 and 45 g of item 7 in a fat-melting vessel.
7. Heat to 70°C to 75°C while stirring. Maintain temperature at 65°C to 75°C.
8. Mix item 1 in 10 g of item 7 in a stainless-steel container.
9. Homogenize for 10 minutes to make a smooth slurry.
10. Check the temperature of the aqueous phase in the mixer (should be 65–70°C).
11. Check the temperature of the fatty phase in the fat-melting vessel (should be 65–70°C).
12. Set the mixer speed 8 rpm and vacuum at 0.4 to 0.6 bar.
13. Transfer the fatty phase to the aqueous phase in mixer vessel through filter under vacuum, while mixing.
14. Start the homogenizer at high speed. Homogenize for 10 minutes.
15. Check and record the pH of cream (limit: 4.5–5.2 at 30°C).
16. Cool the temperature to 50°C while mixing. Release the vacuum.
17. Take out 400 g of the cream into the stainless-steel vessel and set aside.
18. Add slurry from earlier step to the remaining cream base in mixer.
19. Rinse the container of slurry using 5 g of item 7 and transfer the rinsing to the mixer.
20. Homogenize for 10 minutes at high speed (mixer speed 8 rpm).
21. Load 400 g cream from step above to the mixer.
22. Set the mixer in manual mode at 8 rpm and a vacuum of 0.4 to 0.6 bar.
23. Homogenize at high speed with recirculation, temperature 25°C. Homogenize for 10 minutes with recirculation, stop the homogenizer, and continue mixing to produce a white, homogeneous cream of pH 4.5 to 5.2 at 30°C.

BETAMETHASONE VALERATE FOAM

Each gram of foam contains 1.2 mg betamethasone valerate USP in a hydroalcoholic, thermolabile foam. The foam also contains cetyl alcohol, citric acid, ethanol (60.4%), polysorbate 60, potassium citrate, propylene glycol, purified water, and stearyl alcohol and is dispensed from an aluminum can pressurized with a hydrocarbon propellant (propane/butane).

BETAMETHASONE VALERATE OINTMENT

Bill of Materials

Scale (g/100 g)	Item	Material Name	Qty/kg (g)
0.100	1	Betamethasone, USE: Betamethasone valerate	1.300
84.870	2	Petrolatum (white soft paraffin)	848.700
15.000	3	Mineral oil (liquid paraffin)	150.000

MANUFACTURING DIRECTIONS

1. Melt item 2 in a fat-melting vessel at 75°C. While mixing, do not overheat.
2. Maintain temperature of the molten mass in the melting vessel at 60°C to 65°C.
3. Start the steam on the mixer vessel and set the temperature at 60°C.
4. Transfer 160 g of the molten mass at 60°C to the mixer vessel. Retain the rest of the quantity in the fat-melting vessel.
5. Start mixing in the mixer vessel at medium speed with vacuum between 0.4 and 0.6 bar until obtaining an actual temperature of 40°C to 45°C.
6. Maintain the temperature of mixer vessel at 40°C to 45°C. Add item 1 to 80 g of item 3 and homogenize for 3 minutes, using homogenizer. Keep the slurry aside.
7. Rinse the homogenizer and container with 70 g of item 3. Transfer item 1 slurry from step above and the rinsing from previous step to the mixer vessel. Start mixing under vacuum 0.4 to 0.6 bar for 15 minutes. Temperature should be maintained at 40°C to 45°C.
8. Transfer the rest of the quantity of molten mass (temperature 60°C) into mixer vessel slowly, continuing to mix for 5 minutes after each addition. At the end of addition, mix a further 10 minutes under vacuum 0.4 to 0.6 bar.
9. Homogenize for 5 minutes at high speed under vacuum 0.4 to 0.6 bar.
10. Cool the ointment to 30°C to 35°C while stirring under a vacuum of 0.4 to 0.6 bar.

BETAMETHASONE VALERATE OINTMENT

Bill of Materials			
Scale (g/100 g)	Item	Material Name	Qty/kg (g)
0.100	1	Betamethasone USE betamethasone valerate with 10% excess	1.34
0.020	2	Vitamin E oily	0.20
79.34	3	White soft paraffin	793.40
3.00	4	Cetostearyl alcohol	20.00
2.50	5	Cetmacrogol 1000	25.00
15.00	6	Liquid paraffin	150.00

MANUFACTURING DIRECTIONS

- Melt item 3 in a fat-melting vessel at 60°C, add items 4 and 5, and mix until clear.
- Transfer to Becomix at 60°C. Mix at 9 rpm under vacuum of 0.4 to 0.6 bar. Cool to 40°C to 45°C.
- Add items 1, 2, and 6 to a stainless-steel container and homogenize for 3 minutes. Transfer slurry to step 2.
- Mix under vacuum at 40°C to 45°C.
- Transfer to storage vessel and fill.

BIFONAZOLE CREAM (1%)**FORMULATION**

- Cetyl stearyl alcohol, 7.0 g, Cremophor A 6 (I), 1.5 g, Cremophor A 25 (I), 1.5 g, liquid paraffin, 12.0 g, paraben(s), 0.2 g
- Water, 68.8 g
- Propylene glycol (I), 8 g, bifonazole, 1 g

MANUFACTURING DIRECTIONS

Heat the mixture I and the water II separately to approximately 80°C. Add the water II to the obtained solution I with rigorous stirring. Heat III until the active ingredient is dissolved, mix with I/II, and continue to stir during cooling to room temperature.

This formulation could be used for other active ingredients too.

BISACODYL SUPPOSITORIES

Bill of Materials			
Scale (mg/suppository)	Item	Material Name	Qty/1000 Suppositories (g)
5.000	1	Bisacodyl (micronized) ^a 2% excess	5.10
447.500	2	Hard fat (Witepsol E 76 [®])	447.50
447.500	3	Hard fat (Witepsol W 45)	447.50

^a 100% particles should be less than 70 m. Fill weight: 1800 mg/suppository

MANUFACTURING DIRECTIONS

- The molten suppository mass must be kept stirred throughout the storage period during manufacturing and during filling to avoid the sedimentation of active drug. The active ingredient causes skin irritation, which vanishes after sometime without having after effects. Avoid dust formation during processing. In particular, protect eyes and mucous membranes.
- Load items 2 and 3 in the fat-melting vessel and heat to 50°C ± 3°C.
- Transfer the molten mass to mixer through filter sieves. Set the temperature at 40°C ± 2°C. Load item 1 to the mixer containing the molten mass. Carefully mix the powder with the molten mass.
- Set the mixer at temperature 40°C ± 2°C, speed 10 rpm (manual mode), and mix for 20 minutes. Set the mixer at temperature 40°C ± 2°C, speed 10 rpm (manual mode), vacuum 0.6 bar.
- Homogenize at low speed while mixing for 10 minutes. Homogenize at high speed while mixing for 3 minutes.
- Continue mixing of the mass under vacuum in mixer.
- Heat the storage vessel, set the temperature at 40°C ± 2°C.
- Transfer the molten mass from mixer to the storage vessel. Hold the mass at 40°C ± 2°C while mixing continuously at low speed.
- Fill weight is 900 mg/suppository, but use a fill weight of 1.8 g for 10 mg suppositories.

BISACODYL SUPPOSITORIES

Bill of Materials			
Scale (mg/suppository)	Item	Material Name	Qty/1000 Suppositories (g)
10.00	1	Bisacodyl (micronized) 2% excess	10.02
895.00	2	Witepsol E 76	895.00
895.00	3	Witepsol W 45	895.00

MANUFACTURING DIRECTIONS

1. Charge items 2 and 3 to a melting vessel, heat to 50°C, transfer to Becomix through filter sieve. Set temperature to 40°C.
2. Charge item 1 and mix carefully. Set temperature to 40°C, speed 10 rpm for 20 minutes.
3. Homogenize for 3 minutes. Continue mixing under vacuum.
4. Transfer to storage vessel and fill.

**BISCARBOXYCHROMONYLOXY
PROPANOL OINTMENT****Bill of Materials**

Scale (g/100 g)	Item	Material Name	Qty/kg (g)
4.00	1	Disodium 1,3-bis(2-carboxychromonyloxy) propan-2-ol (micronized)	40.00
76.80	2	Yellow soft paraffin	768.00
9.60	3	Liquid paraffin	96.00
9.60	4	Lanolin acetylated (Modulan R)	96.00

MANUFACTURING DIRECTIONS

1. Slowly add the disodium salt of 1,3-bis(2-carboxychromon-5-yloxy) propan-2-ol in small portions, with vigorous mixing, to a small portion of the pre-heated and sterilized components of the ointment base at 90°C.
2. When the addition is complete, continue mixing for a further 15 minutes and then sterilize the concentrated dispersion by heating at 150°C for 1 hour.
3. Then add the concentrated dispersion to a homogenizer heated at 80°C to 100°C and slowly add the remaining components of the ointment basis with continuous blending.
4. When this addition is complete, blend the molten ointment for a further 15 minutes and then cool to a temperature of 58°C to 62°C.
5. Then fill the ointment in presterilized eye ointment tubes, which are crimped and allowed to cool to room temperature.

BLEACHING AND ANTIMICROBIAL DENTIFRICE**MANUFACTURING DIRECTIONS**

Weight percentage: Hydrogen peroxide (50%), 10.00; carbamide peroxide, 14.00; sodium fluoride, 0.38; Pecogel S-2120 (VP/Dimethacolyacrylate is an inclusion complex polymer to retard the solubility of emulsified bleaching actives. It is obtained from Phoenix Chemical, Inc.),

0.50; hydroxyethyl cellulose, 0.50; triethanolamine, 0.30; water purified, 10.00; glycerin, 10.75; tetrafluoroethylene (Teflon), 50.58; sodium lauryl sulfate, 1.25; sodium saccharine, 0.18; sodium citrate, 0.20; citric acid, 0.20; triclosan, 0.06; flavor, 1.10.

BREAST CARE CREAM**Bill of Materials**

Scale (mg/g)	Item	Material Name	Qty/kg (g)
20.00	1	Polysorbate 60	20.00
70.00	2	Cetyl alcohol	70.00
60.00	3	Mineral oil 70cS	60.00
40.00	4	Glyceryl stearate	40.00
QS	5	Deionized water	QS
QS	6	Preservative	QS

MANUFACTURING DIRECTIONS

1. Heat oil and water phases separately at 65°C to 70°C.
2. Add water phase to oil phase while stirring. Stir to cool.
3. Fill at 20°C. Only food-grade materials should be used in this preparation. Do not use unapproved preservatives.

BUDESONIDE CREAM**Bill of Materials**

Scale (mg/g)	Item	Material Name	Qty/kg (g)
0.25	1	Budesonide	0.25
30.00	2	Polyoxy 40 stearate	30.00
80.00	3	Stearyl alcohol	80.00
150.00	4	Liquid paraffin	150.00
30.00	5	White soft paraffin	30.00
0.10	6	Ethylene diamine tetraacetate	0.10
3.00	7	Carbopol 934	3.00
0.67	8	Sodium hydroxide	0.67
0.70	9	Sodium methyl paraben	0.70
0.30	10	Sodium propyl paraben	0.30
QS	11	Water purified	685.00

MANUFACTURING DIRECTIONS

1. Melt white soft paraffin, stearyl alcohol, and polyoxyl 40 stearate in the fat-melting vessel at 70°C to 75°C.
2. Heat the purified water in the manufacturing vessel to a temperature of 80°C to 90°C. Disperse Carbopol 934 in the heated water. Homogenize the dispersion to obtain clear gel.

- Dissolve item 6, sodium methyl paraben, sodium propyl paraben, and sodium hydroxide in purified water. Transfer this solution to the clear gel from step 2 in the manufacturing vessel and homogenize well.
- Transfer the fat phase (70–75°C) into the manufacturing vessel containing aqueous phase (70–75°C) while mixing. Homogenize under vacuum for few minutes.
- Disperse budesonide with liquid paraffin in a stainless-steel container at 40°C to 45°C and transfer this dispersion to the manufacturing vessel from step 4 at temperature 40°C to 45°C; mix and homogenize under vacuum to obtain a smooth, homogeneous cream and the stated amount of budesonide per 100 g.
- Cool the cream to 25°C to 30°C while stirring continuously.

BUDESONIDE OINTMENT

Bill of Materials			
Scale (mg/g)	Item	Material Name	Qty/kg (g)
0.25	1	Budesonide	0.25
369.75	2	Liquid paraffin	369.75
450.00	3	Hard paraffin	450.00
150.00	4	White wax	150.00
30.00	5	Hydrogenated castor oil	30.00

MANUFACTURING DIRECTIONS

- Melt hard paraffin, white wax, and hydrogenated castor oil in the fat-melting vessel at 100°C and maintain this temperature for 20 minutes. Then transfer this melted mass to the manufacturing vessel preheated to 85°C through 0.150 mm. Cool to 33°C while stirring.
- Disperse budesonide with liquid paraffin at 33°C; use homogenizer to get homogeneous suspension.
- Transfer the dispersion from step 2 to the ointment base from step 1 in the manufacturing vessel while stirring. Homogenize well to obtain a homogeneous ointment containing the stated amount of budesonide per 100 g ointment.
- Filling in the tube is performed in an aseptic area at 33°C.

BUPRENORPHINE HYDROCHLORIDE SUPPOSITORY

MANUFACTURING DIRECTIONS

- Propylene glycol, 10 g; polyethylene glycol 400, 10 g; polyethylene glycol 1000, 30 g; polyethylene glycol 6000, 50 g; buprenorphine hydrochloride, 43.2 mg.

- After mixing propylene glycol and polyethylene glycol 400, blend and dissolve buprenorphine hydrochloride, and blend the mixture with the separately heated and dissolved polyethylene glycol 1000 and 6000.
- Place the combined mixture into a container for suppository. Cool and let solidify to obtain a suppository of buprenorphine hydrochloride (suppository weight 1.5 g/piece, each containing 0.6 mg of buprenorphine).

BURN CREAM

Bill of Materials			
Scale (mg/g)	Item	Material Name	Qty/kg (g)
120.00	1	Glyceryl stearate SE (monthybase)	120.00
80.00	2	Octyldodecyl myristate (MOD)	80.00
20.00	3	Apricot kernel oil PEG-6 esters (Labrafil M 1944 CS)	20.00
0.50	4	Sodium methyl paraben	0.50
0.50	5	Sodium propyl paraben	0.50
0.50	6	Sorbic acid	0.50
767.50	7	Deionized water	767.50
10.00	8	Avocado oil	10.00
1.00	9	Fragrance	1.00

MANUFACTURING DIRECTIONS

- Mix and heat items 1 to 7 to 75°C. Cool slowly with stirring.
- At 30°C, add item 8 and then item 9.

BURN CREAM

Bill of Materials			
Scale (mg/g)	Item	Material Name	Qty/kg (g)
15.00	1	Magnesium aluminum silicate (Veegum)	15.00
568.00	2	Deionized water	568.00
30.00	3	Propylene glycol	30.00
2.00	4	Dimethicone emulsion	2.00
100.00	5	Mineral oil, light	100.00
170.00	6	Acetylated lanolin alcohol	170.00
50.00	7	Benzocaine USP	50.00
30.00	8	C-18-C36 acid	30.00
120.00	9	Glyceryl stearate and PEG-100 stearate	120.00
5.00	10	Polysorbate 60	5.00
QS	11	Preservatives	QS

MANUFACTURING DIRECTIONS

1. Add item 1 to water slowly, agitating with extensive shear force until smooth.
2. Add items 3 and 4 to the mixture and heat to 75°C to 80°C. Mix and heat items 5 to 11, keeping item 7 suspended to 75°C to 80°C. Mix the two parts while cooling. Pour and fill at 40°C.

BUTENAFINE HYDROCHLORIDE CREAM

Butenafine cream, 1%, contains the synthetic antifungal agent butenafine hydrochloride. Each gram of cream, 1%, contains 10 mg of butenafine hydrochloride in a white cream base of purified water USP, propylene glycol dicaprylate, glycerin USP, cetyl alcohol NF, glyceryl monostearate SE, white petrolatum USP, stearic acid NF, polyoxyethylene cetyl ether, benzyl alcohol NF, diethanolamine NF, and sodium benzoate NF.

BUTESIN PICRATE AND METAPHEN OINTMENT**Bill of Materials**

Scale (mg/g)	Item	Material Name	Qty/kg (g)
6.48	1	Lanolin anhydrous	6.48
0.219	2	Metaphen chloride powder	0.219
QS	3	Acetone	0.96
8.80	4	Sodium borate	8.80
2.48	5	Potassium chloride	2.48
QS	6	Water purified	253.70
115.00	7	Beeswax white	115.00
80.00	8	Wax ceresin white	80.00
510.00	9	Mineral oil	510.00
10.00	10	Butyl aminobenzoate (Butesin) picrate powder	10.00
13.31	11	2-Ethoxyethanol (Cellosolve)	13.31

MANUFACTURING DIRECTIONS

1. Melt lanolin in vacuum flask and heat to 45°C to 60°C. Use sufficient acetone to completely dissolve metaphen chloride. Add metaphen solution to melted lanolin and mix thoroughly. Use vacuum to remove all acetone.
2. Dissolve borax and potassium chloride in the purified water at 85°C to 90°C.
3. Melt beeswax, ceresin wax, and mineral oil and strain into ointment mixing tub at 95°C.
4. Add prepared base (step 1) to melted oil-wax mixture (step 4).
5. Add borax-potassium chloride solution (step 2) to oil-wax mixture with constant stirring.
6. Mix for 1 hour.
7. Dissolve Butesin picrate in warm (50°C) Cellosolve and filter. Hold solution at 50°C for use in following step.

8. Adjust temperature of mass from step 5 to 50°C (this temperature is important).
9. Add Butesin picrate solution (at 50°C) to mass (at 50°C), with constant stirring.
10. Mix for several hours. Circulate cold water in jacket overnight.
11. Mill to smooth ointment and fill suitable containers.

BUTESIN PICRATE OINTMENT**Bill of Materials**

Scale (mg/g)	Item	Material Name	Qty/kg (g)
249.40	1	Water purified	249.40
8.85	2	Sodium borate powder	8.85
2.47	3	Potassium chloride	2.47
1.00	4	Methyl paraben	1.00
1.00	5	Propyl paraben	1.00
6.65	6	Lanolin anhydrous	6.65
114.60	7	Beeswax white	114.60
79.82	8	Wax ceresin white	79.82
405.30	9	Oil mineral light	405.30
119.90	10	Oil-neutral vegetable triglycerides mixture: Miglyol 812; Neobee M-5	119.90
10.00	11	Butyl aminobenzoate picrate (butesin picrate), 11% excess	11.10

MANUFACTURING DIRECTIONS

1. Place purified water into a suitable steam tank and begin heating to 85°C to 90°C.
2. Add borax and potassium chloride and mix until dissolved (at 85–90°C).
3. Add parabens to above solution and mix for at least 15 minutes (at 85–90°C) or until dissolution.
4. Melt lanolin, beeswax, ceresin wax, and mineral oil into a suitable equipment. Heat mixture to 90°C to 95°C. Mix until uniform.
5. Filter the melted waxes from step 4 through a 74 µm aperture SS screen into a suitable mixing tank.
6. Heat waxes to 90°C to 95°C while mixing slowly.
7. Filter approximately 6.3 mL of borax-potassium-paraben solution (at 85–90°C) from step 2 slowly through a 74 µm aperture SS screen into the wax-oil mixture from step 5. *Caution:* Slow the addition of water solution if the product shows tendency to bubble over the side of the equipment.
8. While mixing, slowly pass the remaining borax-potassium-paraben solution (at 85–90°C) from step 2 through a 74 µm aperture SS screen into the wax-oil mixture from step 5. See caution above.
9. If necessary, adjust batch temperature to 85°C to 90°C and maintain temperature of batch at 85°C to 90°C while mixing for 60 minutes (range 60–75 minutes).

10. Add Neobee M-5 oil to a clean suitable SS container and start heating to 72°C (70–74°C). Add and dissolve the butyl aminobenzoate picrate while mixing and maintaining temperature at 72°C (70–74°C).
11. Reduce main batch temperature to 70°C (68–72°C) while continuing mixing slowly.
12. Filter Neobee M-5 oil-butyl picrate solution at 72°C (70–74°C) through a 74 µm aperture SS screen into the main batch, mixing and maintaining temperature at 70°C (68–72°C).
13. Continue mixing and maintain main batch temperature at 70°C (68–72°C) for 15 to 30 minutes.
14. While mixing slowly cool the main batch to 40°C to 45°C. Maintain 40°C to 45°C temperature and continue mixing for at least 10 minutes. *Note:* Use 35°C (30–40°C) water for cooling. Do not force cool with cold water.
15. Set cooling water to 20°C (range 18–25°C) and continue cooling batch to 25°C to 30°C while mixing. When batch reaches 25°C to 30°C, stop mixing. The product is ready for milling. *Note:* The cooling water temperature must not drop below 18°C.
16. Pump product to roller mill and mill at high speed to a smooth uniform consistency.
17. Collect product in suitable bulk containers.
18. Fill in suitable containers. Theoretical tube fill weight: 30 g, minimum 28.35 g. If product does not flow freely, heat the water in hopper jacket to a maximum of 40°C.

BUTOCONAZOLE NITRATE VAGINAL CREAM

The butoconazole nitrate vaginal cream, 2%, contains butoconazole nitrate, 2%, in a cream of edetate disodium, glyceryl monoisostearate, methyl paraben, mineral oil, polyglyceryl-3 oleate, propylene glycol, propyl paraben, colloidal silicon dioxide, sorbitol solution, purified water, and microcrystalline wax. Another formulation contains inactive ingredients cetyl alcohol, glyceryl stearate and PEG-100 stearate, methyl paraben and propyl paraben (preservatives), mineral oil, polysorbate 60, propylene glycol, sorbitan monostearate, stearyl alcohol, and water (purified).

CALAMINE AND DIPHENHYDRAMINE HYDROCHLORIDE LOTION

Bill of Materials

Scale (g/100 g)	Item	Material Name	Qty/kg (g)
8.00	1	Calamine	80.00
1.00	2	Diphenhydramine hydrochloride	10.00
0.10	3	Camphor	1.00
2.40	4	Alcohol	24.00
70.00	5	Water purified	700.00
2.70	6	Carboxymethylcellulose	27.00
7.00	7	Zinc oxide	70.00
2.00	8	Water purified	20.00
0.06	9	Ferric oxide yellow	0.60
1.00	10	Zinc oxide	10.00
1.00	11	Glycerin	10.00
1.50	12	Glycerin	15.00
0.12	13	Ferric oxide red	1.20
QS	14	Perfume	QS
QS	15	Water purified	QS to 1 kg

MANUFACTURING DIRECTIONS

1. Hydrate item 6 in item 5 and disperse item 7 in the suspension.
2. Mix the ferric oxides in items 10 and 11, homogenize, and add to step 1.
3. Dissolve item 2 in item 15 at 75°C, dissolve camphor and perfume in alcohol, and add to step 2.
4. Add item 12 and blend well.
5. QS to volume with item 15.

CALAMINE AND PRAMOXINE HYDROCHLORIDE LOTION

Active ingredients are calamine, 8%, and pramoxine hydrochloride, 1%. Inactive ingredients include caladryl lotion, alcohol USP, camphor, diazolidinyl urea, fragrance, hydroxypropylmethylcellulose, methyl paraben, oil of lavender, oil of rosemary, polysorbate 80, propylene glycol, propyl paraben, purified water, and xanthan gum.

CALAMINE CREAM

Bill of Materials			
Scale (mg/g)	Item	Material Name	Qty/kg (g)
80.00	1	Polawax GP200	80.00
10.00	2	Polysorbate 60	10.00
50.00	3	Caprylic/Capric triglyceride	50.00
QS	4	Deionized water	QS to 1 kg
100.00	5	Witch hazel distillate	100.00
50.00	6	Glycerin	50.00
20.00	7	Zinc oxide	20.00
20.00	8	Calamine	20.00
QS	9	Preservative, color	QS

MANUFACTURING DIRECTIONS

1. Heat oil and water phases separately to 65°C to 70°C.
2. Add water phase to oil phase while stirring.
3. Add zinc oxide and calamine under high shear. Stir to cool.

CALAMINE CREAM

Bill of Materials			
Scale (mg/g)	Item	Material Name	Qty/kg (g)
20.00	1	Microcrystalline cellulose (Avicel RC-591)	20.00
100.00	2	Glycerin	100.00
1.80	3	Methyl paraben	1.80
0.20	4	Propyl paraben	0.20
100.00	5	Glyceryl stearate and PEG-100 stearate	100.00
25.00	6	Cetyl alcohol	25.00
50.00	7	Zinc oxide	50.00
50.00	8	Calamine	50.00
653.00	9	Distilled water	653.00

MANUFACTURING DIRECTIONS

1. Mix item 2 with item 9 and heat to 75°C.
2. Add items 3 and 4. Mix until dissolved using a shearing mixer.
3. Maintain temperature at 75°C and gradually add item 1. Continue mixing at 75°C for 15 minutes or until item 1 is homogeneously dispersed. Mix well.
4. When temperature drops to 60°C to 65°C, gradually add items 7 and 8. Mix well until powders are homogeneously dispersed.
5. Pass through homogenizer if necessary. Adjust theoretical weight with warm distilled water and continue mixing until the cream congeals.

CALAMINE LOTION

Bill of Materials			
Scale (mg/g)	Item	Material Name	Qty/1000 Tablets (g)
78.30	1	Calamine	78.30
78.30	2	Zinc oxide	78.30
19.60	3	Glycerin	19.60
230.80	4	Deionized water	230.80
558.00	5	Calcium hydroxide solution	558.00
34.40	6	Purified bentonite (Polargel NF)	34.40
0.60	7	Carboxymethylcellulose	0.60

MANUFACTURING DIRECTIONS

1. Prepare a saturated item 5 solution using 3 g of item 5 in 1000 mL purified water, mixing vigorously for 1 hour.
2. Decant the clear supernatant liquid for use in the formula.
3. Add the balance of water. Add item 6 and item 7 to the above solution with rapid mixing for 15 minutes.
4. In a separate vessel, blend items 1 and 2.
5. Add item 3 and mix until uniform. Begin adding the aqueous solution with mixing until it is blended into a lotion.

CALCIPOTRIENE CREAM

Calcipotriene cream, 0.005%, contains calcipotriene monohydrate, a synthetic vitamin D3 derivative, for topical dermatological use. The cream contains calcipotriene monohydrate equivalent to 50 g/g anhydrous calcipotriene in a cream base of cetaryl alcohol, ceteth-20, diazolidinyl urea, dichlorobenzyl alcohol, dibasic sodium phosphate, edetate disodium, glycerin, mineral oil, petrolatum, and water.

CALCIPOTRIENE CREAM

Bill of Materials			
Scale (g/100 g)	Item	Material Name	Qty/kg (g)
1.00 mg	1	Calcipotriene	10.00 mg
1.00	2	Almond oil	10.00
40.00	3	Mineral oil	400.00
20.00	4	Self-emulsifying beeswax	200.00
QS	5	Water purified	QS to 1 kg

MANUFACTURING DIRECTIONS

1. Add and dissolve item 1 in item 2.
2. Add to this solution item 3 and item 4.

3. Heat the mixture to liquefy at 70°C.
4. In a separate vessel, heat item 5 to 80°C and add to step 3.
5. Mix well and then homogenize.
6. Cool and fill.

CALCIUM CARBONATE OINTMENT

FORMULATION

Calcium carbonate, 250 mg; magnesium hydroxide, 200 mg; aluminum hydroxide, 225 mg; dibucaine (1% in petrolatum), 100 mg; anhydrous lanolin, 28.35 g; hydrophilic ointment, 28.35 g; petrolatum, 10 g; water, 5 mL.

1. Calcium carbonate, magnesium hydroxide, and the aluminum hydroxide are substantially insoluble in water. To assist in the dispersion of these components in the base carrier material, form a paste therefrom by adding a little water at a time to form a relatively homogeneous dispersion thereof.
2. Then add dibucaine (1%), which is provided in a petrolatum base, with mixing to obtain a smooth homogeneous mixture.
3. Then mix anhydrous lanolin and hydrophilic ointment to provide a homogeneous composition; then blend with the dispersion of calcium carbonate, magnesium hydroxide, aluminum hydroxide, and dibucaine.
4. Mix the entire composition thoroughly to ensure a homogeneous dispersion of all of the ingredients.
5. Calcium carbonate and magnesium hydroxide provide a relatively rapid neutralization of the area under treatment. Aluminum hydroxide, however, provides a slower, longer-lasting neutralization in addition to a mild astringent effect. The 1% dibucaine hydrochloride dispersed in petrolatum is used for its analgesic or anesthetic effect and the amount may be varied to increase or decrease the anesthetic effect depending on the condition being treated. Anhydrous lanolin and hydrophilic ointment are utilized to provide a base for the composition, which facilitates its application and retention in the area of treatment.

CAMPBOR, EUCALYPTUS OIL, AND MENTHOL OINTMENT

Camphor, eucalyptus oil, and menthol ointment contains camphor, 5.2%, eucalyptus oil, 1.2%, and menthol, 2.8%. Inactive ingredients are carbomer 954, cedar leaf oil, cetyl alcohol, cetyl palmitate, cyclomethicone copolyol, dimethicone copolyol, dimethicone, ethylene diamine tetraacetate, glycerin, imidazolidinyl urea, isopropyl palmitate, methyl paraben, nutmeg oil, PEG-100 stearate, propyl paraben, purified water, sodium hydroxide, stearic acid, stearyl alcohol, thymol, titanium dioxide, turpentine oil.

CARBAMAZEPINE CREAM

Bill of Materials

Scale (g/100 g)	Item	Material Name	Qty/kg (g)
1.00	1	Carbamazepine	10.00
50.00	2	Propylene glycol	500.00
5.00	3	Cetostearyl alcohol	50.00
1.00	4	Sodium lauryl sulfate	10.00
43.00	5	Water purified	430.00

MANUFACTURING DIRECTIONS

1. Prepare an oil-in-water emulsion to form an elegant cream. Dissolve carbamazepine in pure powder form in propylene glycol (e.g., up to approximately 95%). Alternatives for the aqueous phase include alcohol, such as ethanol or isopropanol, with a thickener added, for example, carbomer 934 or 940.
2. The oil phase preferably includes mineral oil, petrolatum, cetyl alcohol, or stearyl alcohol. Emulsifiers such as polysorbate 80, sorbitan monostearate, or others known in the art may be used. Buffering agents, antioxidants, and chelating agents may be added to improve the characteristics of the formulation.

CARBAMAZEPINE GEL

Bill of Materials

Scale (g/100 g)	Item	Material Name	Qty/kg (g)
5.00	1	Carbamazepine	50.00
93.00	2	Propylene glycol	930.00
2.00	3	Carbopol 934	20.00
QS	4	Sodium hydroxide (to neutralize item 3)	QS

CARBAMAZEPINE OINTMENT

Bill of Materials

Scale (g/100 g)	Item	Material Name	Qty/kg (g)
3.00	1	Carbamazepine	30.00
5.00	2	Mineral oil	50.00
92.00	3	Petrolatum	920.00

MANUFACTURING DIRECTIONS

1. Micronize carbamazepine to provide particles with a size distribution primarily below 10 μm .
2. Add item 1 to mineral oil to form a finely dispersed suspension. Homogenize.
3. Add and mix item 3 and homogenize again.

CARBAMIDE PEROXIDE CHEWING GUM**FORMULATION**

Gum base, 26.25 g; calcium carbonate, 3.75 g; sorbitol, 28.05 g; mannitol, 7.50 g; maltitol, 21.62 g; glycerin, 1.00 g; flavorant, 3.15 g; gum arabic, 1.16 g; titanium dioxide, 0.17 g; wax candellia, 0.03 g; sodium stearate/sodium palmitate 50%, each 3.00 g; tripolyphosphate sweetener, 0.82 g; Imwitor 370, 1.00 g; carbamide peroxide, 3.00 g.

MANUFACTURING DIRECTIONS

1. Heat the gum base to sufficiently soften the base without adversely affecting the physical and chemical makeup of the base.
2. Then add the molten gum base and the filler to a mixing kettle.
3. Add the sugar alcohols, glycerin, flavor, high-intensity sweetener, and stain-removing agent carbamide peroxide last with mixing to obtain a homogenous mixture.
4. Then discharge the mixture from the mixing kettle and roll and scori into a desired piece size by conventional techniques.

2-CARBAMOYLPIRAZINAMIDE OINTMENT**MANUFACTURING DIRECTIONS**

1. Dissolve 2-Carbamoylpyrazinamide, also known as 2, 3-pyrazinedicarboxamide, 1 g, in 5 L of water and 4 mL of acetone.
2. Admix the solution with USP grade hydrophilic ointment (90 g) to a uniform consistency.
3. Store the ointment thus prepared in opaque jars at room temperature.

CASTOR OIL OINTMENT**Bill of Materials**

Scale (g/100 g)	Item	Material Name	Qty/kg (g)
68.80	1	Castor oil	688.00
10.00	2	Hydrogenated castor oil	100.00
8.70	3	Balsam Peru oil	87.00
0.018	4	Trypsin	0.180
QS	5	Safflower oil	QS to 1 kg

MANUFACTURING DIRECTIONS

This is an enzymatic wound debrider.

1. Disperse the aluminum/magnesium hydroxide stearate in the castor oil.
2. Add the hydrogenated castor oil while mixing with a high-shear mixer.
3. Continue mixing until a semisolid forms.
4. Then blend the remaining ingredients with the semisolid until homogeneous mixing appears.

CEFACTOR AND BENZOYL PEROXIDE GEL**Bill of Materials**

Scale (g/100 g)	Item	Material Name	Qty/kg (g)
3.00	1	Cefaclor	30.00
5.00	2	Benzoyl peroxide	50.00
92.00	3	Gel carrier or vehicle	920.00
QS	4	Alcohol 70%	QS
QS	5	Citric acid for pH adjustment	QS

MANUFACTURING DIRECTIONS

1. To a first container, add the benzoyl peroxide and the gel carrier or vehicle ingredients (approximately 5 g of benzoyl peroxide and approximately 89 g of gel carrier or vehicle).
2. To a second container, add powdered cefaclor (approximately 3 g of cefaclor) and dissolve in item 4 and add to step 1.
3. Adjust pH using citric acid.

CEFACLOR AND BENZOYL PEROXIDE LOTION**Bill of Materials**

Scale (g/100 g)	Item	Material Name	Qty/kg (g)
7.00	1	Ethoxylated cetyl stearyl alcohol	70.00
0.75	2	Cetyl alcohol	7.50
5.00	3	Isostearyl neopentanoate	50.00
0.10	4	Butylated hydroxyanisole	1.00
0.25	5	Polyoxyl 40 stearate	2.50
66.80	6	Water purified	668.00
3.00	7	Propylene glycol	30.00
5.00	8	Benzoyl peroxide micronized	50.00
10.00	9	Acetone	100.00
0.10	10	Dioctyl sodium sulfosuccinate	1.00
2.00	11	Cefaclor	20.00

CETRIMIDE ANTISEPTIC CREAM**Bill of Materials**

Scale (mg/g)	Item	Material Name	Qty/kg (g)
50.00	1	Cetearyl alcohol and cetrimonium bromide	50.00
75.00	2	White petroleum jelly	75.00
60.00	3	Mineral oil 70 cS	60.00
QS	4	Deionized water	QS to 1 kg
QS	5	Perfume, preservative, color	QS

MANUFACTURING DIRECTIONS

1. Heat oil and water phases separately to 60°C to 65°C.
2. Add the water phase to the oil phase while stirring.
3. Stir to cool.

CETRIMONIUM BROMIDE CREAM**Bill of Materials**

Scale (mg/g)	Item	Material Name	Qty/kg (g)
50.00	1	Cetearyl alcohol and cetrimonium bromide	50.00
75.00	2	White petroleum jelly	75.00
60.00	3	Mineral oil (70 cS)	60.00
QS	4	Deionized water	QS to 1 kg
QS	5	Perfume, preservative, color	QS

MANUFACTURING DIRECTIONS

1. Heat oil and water phases separately to 60°C to 65°C.
2. Add water phase to oil phase while stirring. Stir to cool.

CHLORAMPHENICOL OPHTHALMIC OINTMENT

Each gram of ophthalmic ointment, 1%, contains 10 mg chloramphenicol in a special base of liquid petrolatum and polyethylene. It contains no preservatives. Another formulation contains active ingredient chloramphenicol, 11%.

CHLORHEXIDINE AND CETRIMONIUM BROMIDE CREAM**Bill of Materials**

Scale (mg/g)	Item	Material Name	Qty/kg (g)
50.00	1	Polawax GP200	50.00
10.00	2	Lanolin	10.00
150.00	3	Mineral oil 70 cS	150.00
70.00	4	Cetearyl alcohol	70.00
30.00	5	Dimethicone	30.00
QS	6	Deionized water	QS to 1 kg
5.00	7	Cetrimonium bromide	5.00
0.50	8	Chlorhexidine gluconate	0.50
QS	9	Perfume, preservative, color	QS

MANUFACTURING DIRECTIONS

1. Heat oil (items 1–5) and water (items 6–9) phases to 65°C.
2. Add water phase to oil phase while stirring.
3. Stir to cool. Fill.

CHLORHEXIDINE GEL**Bill of Materials**

Scale (mg/g)	Item	Material Name	Qty/kg (g)
20.00	1	Chlorhexidine diacetate	20.00
300.00	2	1,2-Propylene glycol pharma	300.00
220.00	3	Lutrol F 127	220.00
460.00	4	Water	460.00

MANUFACTURING DIRECTIONS

1. Dissolve chlorhexidine diacetate in propylene glycol at >70°C, stir well, and slowly add Lutrol F 127 and water.
2. Maintain the temperature until the air bubbles escape. A clear, colorless gel is obtained.

CHLORPROMAZINE SUPPOSITORIES

Each suppository contains chlorpromazine (25 or 100 mg), glycerin, glyceryl monopalmitate, glyceryl monostearate (10 mg/g), and preservative chlorobutanol (chloral derivative), 0.5% (5 mg/g), and inactives white petrolatum, mineral oil, polyoxyl 40 stearate, polyethylene glycol 300 and petrolatum and lanolin alcohol, hydrogenated coconut oil fatty acids, and hydrogenated palm kernel oil fatty acids.

CICLOPIROX CREAM, LOTION, AND GEL

Cream, 0.77%, and lotion, 0.77%, are for topical use. Each gram of cream contains 7.70 mg ciclopirox (as ciclopirox olamine) in a water-miscible vanishing cream base consisting of purified water USP, cetyl alcohol NF, mineral oil USP, octyl-dodecanol NF, stearyl alcohol NF, cocamide DEA, polysorbate 60 NF, myristyl alcohol NF, sorbitan monostearate NF, lactic acid USP, and benzyl alcohol NF (1%) as preservative. Each gram of lotion contains 7.70 mg ciclopirox (as ciclopirox olamine) in a water-miscible lotion base consisting of purified water USP, cocamide DEA, octyldodecanol NF, mineral oil USP, stearyl alcohol.

CICLOPIROX NAIL VARNISH

NF, cetyl alcohol NF, polysorbate 60 NF, myristyl alcohol NF, sorbitan monostearate NF, lactic acid USP, and benzyl alcohol NF (1%) as preservative. Cream and lotion contain a synthetic, broad-spectrum antifungal agent ciclopirox (as ciclopirox olamine). Each gram of gel contains 7.70 mg ciclopirox in a gel consisting of purified water USP, isopropyl alcohol USP, octyldodecanol NF, dimethicone copolyol 190, carbomer 980, sodium hydroxide NF, and docusate sodium USP.

CICLOPIROX NAIL VARNISH

Bill of Materials			
Scale (g/100 g)	Item	Material Name	Qty/kg (g)
57.50	1	Isopropyl alcohol	575.00
33.00	2	Ethyl acetate	330.00
3.80	3	Polyvinyl butyral	38.00
3.10	4	Cellulose nitrate	31.00
0.60	5	Dibutyl phthalate	6.00
2.00	6	Ciclopirox	20.00

MANUFACTURING DIRECTIONS

- Mix all items to a uniform mixture. Pigments may be added to color the varnish.
- Prepare a thixotropic paste by slowly stirring ten parts of an organically modified montmorillonite (e.g., bentone 27) into 80 parts toluene and subsequently

adding eight parts wetting agent (e.g., anti-terra-U) and two parts methanol. Also prepare a clear varnish by dissolving 22 parts butanol-moist collodion cotton (e.g., type E 510) and eight parts toluene sulfonamide resin (e.g., santolite MS 80) in a mixture of three parts dibutyl phthalate, 20 parts ethyl acetate, ten parts butyl acetate, seven parts ethyl alcohol, and 30 parts toluene; also process 40 parts DC ROT No. 7 calcium varnish (e.g., color pigment C 19021) and 60 parts dibutyl phthalate to give a color paste with a particle size of less than 1 μm .

- To prepare the pigmented nail varnish, disperse 12 parts thixotropic paste and 0.8 parts antisepting agent (e.g., MPA 2000 X) in 83.7 parts clear varnish, during which operation a temperature of at least 38°C is to be reached; then dissolve one part 1-hydroxy-4-methyl-6-(2,4,4-trimethylpentyl)-2-pyridone in the thixotropic clear varnish and stir in 2.5 parts color paste. Filter the finished nail varnish through a 70 μm sieve.

CIPROFLOXACIN HYDROCHLORIDE OPTHALMIC OINTMENT

The ciprofloxacin hydrochloride ophthalmic ointment consists of synthetic, sterile, multiple-dose antimicrobials for topical ophthalmic use. Each gram of ophthalmic ointment contains active ingredients ciprofloxacin HCl, 3.33 mg equivalent to 3 mg base. Inactive ingredients are mineral oil and white petrolatum.

CLINDAMYCIN GEL

Bill of Materials			
Scale (g/100 g)	Item	Material Name	Qty/kg (g)
1.00	1	Clindamycin USE clindamycin phosphate	11.90
0.15	2	Methyl paraben	1.50
0.20	3	Carbopol 941	2.00
15.00	4	Propylene glycol 400	50.00
5.00	5	Polyethylene glycol	50.00
QS	6	Sodium hydroxide 10% solution for pH adjustment	QS
QS	7	Water purified	QS to 1 kg

MANUFACTURING DIRECTIONS

The viscosity of this composition is approximately 1000 cP.

- Weigh approximately 90% of the purified water into a stainless-steel kettle.
- Add the propylene glycol 400 and polyethylene glycol. Stir with a propeller mixer.

- At room temperature, add methyl paraben to step 1 with continued stirring. Mix until dissolved.
- While continuing to mix, add clindamycin phosphate to step 2. Mix until dissolved.
- While continuing to mix, add Carbopol 941 slowly to step above. Avoiding clumping.
- Mix vigorously at room temperature until a uniform and lump-free dispersion is achieved.
- While mixing, add sufficient sodium hydroxide, 10% solution, to achieve a pH of 5.3 to 5.7. Mix until uniform.
- Add the remaining water to make 100% and mix until uniform. Please note that a commercial preparation contains an additional component, allantoin.

CLINDAMYCIN LOTION AND GEL

The topical lotion contains clindamycin phosphate USP at a concentration equivalent to 10 mg clindamycin per milliliter. The lotion contains cetostearyl alcohol (2.5%), glycerin, glyceryl stearate SE (with potassium monostearate), isostearyl alcohol (2.5%), methyl paraben (0.3%), sodium lauroyl sarcosinate, stearic acid, and purified water. Topical gel contains clindamycin phosphate USP at a concentration equivalent to 10 mg clindamycin per gram. The gel contains allantoin, carbomer 934P, methyl paraben, polyethylene glycol 400, propylene glycol, sodium hydroxide, and purified water.

CLINDAMYCIN PHOSPHATE SUPPOSITORY

MANUFACTURING DIRECTIONS

- Melt 29 kg of Witepsol H 32[®] hard fat NF base in a manufacturing kettle by heating to and maintaining at 40°C.
- Using a preheated filter, transfer 26.614 kg of the molten base to a second manufacturing vessel equipped with a homogenizing mixer.
- Add 1.386 kg of clindamycin phosphate, equivalent to 1.12 kg of clindamycin free base, to the kettle and mix and homogenize to obtain a uniform dispersion.
- Transfer the drug dispersion to a jacketed kettle and transport to the form/fill/seal suppository machine.
- While maintaining mixing and a temperature of 40°C, form the drug dispersion into 2.5 g suppositories using the automated form/fill/seal equipment. The final batch size is 11,200 units.

CLINDAMYCIN PHOSPHATE TOPICAL GEL

The topical gel also contains benzoyl peroxide for topical use. Each gram of topical gel contains, as dispensed, 10 mg (1%) clindamycin as phosphate and 50 mg (5%) benzoyl peroxide in a base of carbomer, sodium hydroxide, dioctyl sodium sulfosuccinate, and purified water.

CLINDAMYCIN PHOSPHATE VAGINAL CREAM

Vaginal cream, 2%, is a semisolid white cream that contains 2% clindamycin phosphate USP at a concentration equivalent to 20 g clindamycin per gram. The pH of the cream is between 3 and 6. The cream also contains benzyl alcohol, cetostearyl alcohol, cetyl palmitate, mineral oil, polysorbate 60, propylene glycol, purified water, sorbitan monostearate, and stearic acid. Each applicatorful of 5 g of vaginal cream contains approximately 100 mg of clindamycin phosphate.

CLINDAMYCIN PHOSPHATE VAGINAL SUPPOSITORY

Each 2.5-g suppository contains clindamycin phosphate equivalent to 100 mg clindamycin in a base consisting of a mixture of glycerides of saturated fatty acids.

CLOBETASOL PROPIONATE CREAM

Bill of Materials

Scale (g/100 g)	Item	Material Name	Qty/kg (g)
0.050	1	Clobetasol propionate (5% excess)	0.525
44.500	2	Propylene glycol	445.000
0.050	3	Sodium citrate	0.500
0.050	4	Citric acid	0.500
5.000	5	Glyceryl monostearate A/S	50.000
4.000	6	Cetostearyl alcohol	40.000
0.600	7	White wax (beeswax bleached)	6.000
0.075	8	Chlorocresol	0.750
1.000	9	Glyceryl monostearate SE	10.000
7.000	10	Propylene glycol	70.000
2.675	11	Propylene glycol	26.750
35.000	12	Purified water	350.000

MANUFACTURING DIRECTIONS

- Aqueous phase
 - Heat item 12 to 90°C in mixer. Bring down the temperature to 60°C. Dissolve all ingredients to a clear solution. Maintain temperature at 60°C.
 - Filter through a polyester cloth. Check the weight. Clean the manufacturing vessel with item 12. Adjust the weight with item 12, if required. Record the quantity of extra item 12.
 - Transfer again to manufacturing vessel. Maintain temperature at 60°C.
- Oil phase
 - Melt items 5 to 9 in melting vessel at 70°C to 75°C while stirring. Cool to 60°C. Maintain temperature at 60°C.

3. Dispersed phase
 - a. Transfer the oil phase to aqueous phase in the manufacturing vessel through mesh by vacuum while stirring at manual mode 10 rpm, temperature 60°C. Mix at 10 rpm for 10 minutes at 60°C. Homogenize at high speed under vacuum 0.4 bar for 5 minutes at temperature 60°C. Cool down the temperature to 50°C while mixing at 10 rpm.
4. Drug phase
 - a. Mix item 1 in item 10 in a water bath at 50°C
 - b. Cool to 30°C while mixing at 10 rpm, auto mode under vacuum 0.4 bar, mixing time 20 minutes until a clear solution is obtained. A homogenizer may be used.
 - c. Add to dispersed phase from step 4b. Rinse with item 11 and add to dispersed phase at step 3a. Mix and homogenize under vacuum 0.4 bar for 5 minutes, high speed, 10 rpm, temperature 50°C.
 - d. Unload the cream in stainless-steel drum and fill.

CLOBETASOL PROPIONATE CREAM, OINTMENT, AND GEL

Cream contains clobetasol propionate 0.5 mg/g in a cream base of propylene glycol, glyceryl monostearate, cetostearyl alcohol, glyceryl stearate, PEG-100 stearate, white wax, chlorocresol, sodium citrate, citric acid monohydrate, and purified water. Ointment contains clobetasol propionate 0.5 mg/g in a base of propylene glycol, sorbitan sesquioleate, and white petrolatum. Gel contains clobetasol propionate 0.5 mg/g in a base of propylene glycol, carbomer 934P, sodium hydroxide, and purified water.

CLOBETASOL PROPIONATE OINTMENT GEL

Bill of Materials			
Scale (g/100 g)	Item	Material Name	Qty/kg (g)
0.050	1	Clobetasol propionate (5% excess)	0.525
94.460	2	Petrolatum (white soft paraffin)	944.600
0.500	3	Sorbitan sesquioleate (Arlacel 83)	5.000
4.000	4	Propylene glycol	40.000
0.500	5	Propylene glycol	5.000

MANUFACTURING DIRECTIONS

1. Melt items 2 and 3 in a fat-melting vessel at temperature 75°C while mixing.

2. Start heating mixer vessel to 75°C. Transfer molten items 2 and 3 to mixer through stainless-steel mesh under vacuum 0.4 to 0.6 bar. Start mixer at 10 rpm manual mode.
3. Cool down to 50°C.
4. In a water bath (temperature 60°C), dissolve item 1 in item 4 using homogenizer for 5 minutes. Add this to mixer with stirring.
5. Rinse with item 5 and add to mixer at temperature 50°C.
6. Start homogenizer under vacuum 0.4 to 0.6 bar while stirring at 10 rpm high speed for 10 minutes.
7. Cool down the temperature to 30°C, 10 rpm, auto mode, vacuum 0.4 to 0.6 bar.
8. Transfer the ointment to a stainless-steel container. Fill.

CLOTRIMAZOLE AND BETAMETHASONE CREAM AND LOTION

Each gram of cream contains 10 mg clotrimazole and 0.643 mg betamethasone dipropionate (equivalent to 0.5 mg betamethasone) in a hydrophilic cream consisting of purified water, mineral oil, white petrolatum, cetearyl alcohol 70/30, cetareth-30, propylene glycol, sodium phosphate monobasic monohydrate, and phosphoric acid, with benzyl alcohol as preservative. Each gram of lotion contains 10 mg clotrimazole and 0.643 mg betamethasone dipropionate (equivalent to 0.5 mg betamethasone) in a hydrophilic base of purified water, mineral oil, white petrolatum, cetearyl alcohol 70/30, cetareth-30, propylene glycol, sodium phosphate monobasic monohydrate, and phosphoric acid, with benzyl alcohol as a preservative. Lotion may also contain sodium hydroxide.

CLOTRIMAZOLE CREAM

Bill of Materials			
Scale (g/100 g)	Item	Material Name	Qty/kg (g)
7.00	1	Cetyl stearyl alcohol	70.00
1.50	2	Cremophor A6	15.00
1.50	3	Cremophor A25	15.00
12.00	4	Liquid paraffin	120.00
0.20	5	Methyl and propyl parabens	2.00
68.80	6	Water purified	688.00
8.00	7	Propylene glycol	80.00
1.00	8	Clotrimazole	1.00

MANUFACTURING DIRECTIONS

1. Heat the mixture of items 1 to 5 and item 6 separately to approximately 80°C.
2. Add item 6 to the obtained solution step 1 mixture of items 1 to 5 with rigorous stirring.

- Heat items 7 and 8 until the active ingredient is dissolved, mix with step 2, and continue to stir during cooling to room temperature.

CLOTRIMAZOLE LOTION

Each gram of lotion contains 10 mg clotrimazole USP dispersed in an emulsion vehicle composed of benzyl alcohol NF (1%), cetearyl alcohol 70/30 (3.7%), cetyl esters wax NF, octyldodecanol NF, polysorbate 60 NF, sodium phosphate dibasic anhydrous R, sodium phosphate monobasic monohydrate USP, sorbitan monostearate NF, and purified water USP.

CLOTRIMAZOLE LOTION

Bill of Materials			
Scale (mg/g)	Item	Material Name	Qty/kg (g)
40.00	1	Clotrimazole	40.00
50.00	2	White petrolatum	50.00
20.00	3	Mineral oil	60.00
24.00	4	Cetearyl alcohol	72.00
22.50	5	Ceteth-20	22.50
10.00	6	Benzyl alcohol	10.00
100.00	7	Propylene glycol	100.00
0.35	8	Sodium phosphate dibasic anhydrous	0.35
5.00	9	Sodium phosphate monobasic monohydrate	5.00
QS	10	Water purified	QS to 1 kg

MANUFACTURING DIRECTIONS

- Heat 75% of the water to 70°C in a suitable vessel. Add the monobasic sodium phosphate monohydrate, anhydrous dibasic sodium phosphate, propylene glycol, and benzyl alcohol to the vessel with agitation, maintaining the temperature at 70°C.
- In a separate vessel, melt the petrolatum and heat to 70°C.
- Add the mineral oil and mix. Add the cetearyl alcohol and 95% of the ceteth-20. Mix and maintain at 70°C.
- Combine the contents of the two vessels with agitation, maintaining at 70°C.
- Cool to 38°C with agitation.
- In a separate vessel, dissolve the remaining ceteth-20 in the remaining water at 65°C with agitation.
- Cool to room temperature and slurry the clotrimazole with vigorous agitation until smooth uniform slurry is obtained.
- Add the slurry to the previous emulsion mixture and agitate while cooling to room temperature.

CLOTRIMAZOLE VAGINAL CREAM

The vaginal cream's active ingredient is clotrimazole 2% (100 mg per applicator). The inactive ingredients are benzyl alcohol, cetearyl alcohol, cetyl esters wax, octyldodecanol, polysorbate 60, purified water, and sorbitan monostearate.

CLOTRIMAZOLE VAGINAL CREAM

Bill of Materials			
Scale (mg/g)	Item	Material Name	Qty/kg (g)
40.00	1	Clotrimazole	40.00
150.00	2	White petrolatum	150.00
60.00	3	Mineral oil	60.00
72.00	4	Cetearyl alcohol	72.00
22.50	5	Ceteth-20	22.50
10.00	6	Benzyl alcohol	10.00
100.00	7	Propylene glycol	100.00
0.35	8	Sodium phosphate dibasic anhydrous	0.35
5.00	9	Sodium phosphate monobasic monohydrate	5.00
QS	10	Water purified	QS to 1 kg

MANUFACTURING DIRECTIONS

- Heat 75% of the water to 70°C in a suitable vessel. Add the monobasic sodium phosphate monohydrate, anhydrous dibasic sodium phosphate, propylene glycol, and benzyl alcohol to the vessel with agitation, maintaining the temperature at 70°C.
- In a separate vessel, melt the petrolatum and heat to 70°C.
- Add the mineral oil and mix. Add the cetearyl alcohol and 95% of the ceteth-20. Mix and maintain at 70°C.
- Combine the contents of the two vessels with agitation, maintaining at 70°C.
- Cool to 38°C with agitation.
- In a separate vessel, dissolve the remaining ceteth-20 in the remaining water at 65°C with agitation.
- Cool to room temperature and slurry the clotrimazole with vigorous agitation until smooth uniform slurry is obtained.
- Add the slurry to the previous emulsion mixture and agitate while cooling to room temperature.

CLOTRIMAZOLE VAGINAL CREAM INSERTS

Each clotrimazole vaginal insert contains 100 mg clotrimazole with inactive ingredients benzyl alcohol, cetostearyl alcohol, cetyl esters wax, octyldodecanol, polysorbate 60, purified water, and sorbitan monostearate. The inserts are made of cornstarch, lactose, magnesium stearate, and povidone.

CLOTRIMAZOLE AND CLINDAMYCIN CREAM**Bill of Materials**

Scale (mg/g)	Item	Material Name	Qty/kg (g)
20.00	1	Clotrimazole	20.00
4.00	2	Clindamycin base USE clindamycin hydrochloride	4.54
20.00	3	Sorbitan monostearate	20.00
30.00	4	Tween 60	30.00
130.46	5	Paraffin viscous	130.46
100.00	6	Cetyl stearyl alcohol	100.00
10.00	7	Benzyl alcohol	10.00
670.00	8	Water purified	670.00

MANUFACTURING DIRECTIONS

1. One application unit is equivalent to 5 g. This comprises 100 mg clotrimazole and 20 mg clindamycin.
2. Add and dissolve items 1 and 2 in items 7 and 8 in a blender.
3. Add and dissolve remaining items in a separate blender and heat to 40°C.
4. Add into step 2 with vigorous mixing to form a cream base.

CLOTRIMAZOLE AND CLINDAMYCIN SUPPOSITORIES**Bill of Materials**

Scale (mg/suppository)	Item	Material Name	Qty/1000 Suppositories (g)
100.00	1	Clotrimazole	100.00
20.00	2	Clindamycin base USE clindamycin hydrochloride	22.70
77.30	3	Calcium lactate pentahydrate	77.30
250.00	4	Gelatin	250.00
250.00	5	Water purified	250.00
1250.00	6	Glycerol	1250.00

MANUFACTURING DIRECTIONS

1. Dissolve items 1 and 2 in item 5.
2. Heat item 4 in item 6 in a separate vessel and add item 3.
3. Mix well and add to step 1.
4. Fill suppository 2 g each.

CLOTRIMAZOLE AND CLINDAMYCIN SUPPOSITORIES**Bill of Materials**

Scale (mg/suppository)	Item	Material Name	Qty/1000 Suppositories (g)
100.00	1	Clotrimazole	100.00
20.00	2	Clindamycin base USE clindamycin hydrochloride	22.70
77.30	3	Calcium lactate pentahydrate	77.30
1000.00	4	Macrogol 400	1000.00
800.00	5	Macrogol 6000	800.00
200.00	6	Lactic acid	200.00

MANUFACTURING DIRECTIONS

1. Add and mix all ingredients.
2. Heat to 70°C and mix well.
3. Cool to 40°C and fill.

COAL TAR AND ALLANTOIN CREAM**Bill of Materials**

Scale (mg/g)	Item	Material Name	Qty/kg (g)
40.00	1	Lanolin alcohol	40.00
50.00	2	White petroleum jelly	50.00
120.00	3	Paraffin wax 140F	120.00
300.00	4	Mineral oil 70 cS	300.00
20.00	5	Coal tar	20.00
2.50	6	Allantoin	2.50
QS	7	Deionized water	QS to 1 kg
QS	8	Preservative	QS

MANUFACTURING DIRECTIONS

1. Heat oil and water phases separately to 70°C.
2. Slowly add water phase in increments to the oil phase.
3. Allow each addition time to be fully incorporated.
4. Stir to cool. Fill just above melting point. Further homogenization may improve stability before filling.

COAL TAR AND ALLANTOIN CREAM

Bill of Materials			
Scale (mg/g)	Item	Material Name	Qty/kg (g)
160.00	1	Stearic acid	16.00
60.00	2	Oleyl alcohol	6.00
20.00	3	Lanolin	2.00
20.00	4	Coal tar	2.00
6.00	5	Triethanolamine 99%	0.60
2.50	6	Allantoin	0.25
QS	7	Deionized water	QS to 1 kg
QS	8	Preservative	QS

MANUFACTURING DIRECTIONS

1. Heat water (items 7 and 8) and oil phases (all other items) separately to 80°C.
2. Add water phase to oil phase while stirring. Stir to cool.
3. Fill at 40°C. May homogenize.

COAL TAR CREAM

The active ingredient in coal tar cream is 5% coal tar solution USP, equivalent to 0.8% coal tar. Inactive ingredients include acetylated lanolin alcohol, alcohol (4.7%), carbomer-934P, ceteth-2, ceteth-16, cetyl acetate, cetyl alcohol, D&C; red no. 28, fragrance, glyceryl tribehenate, laneth-16, lanolin alcohol, laureth-23, methyl gluceth-20, methylchloroisothiazolinone, methylisothiazolinone, mineral oil, octyldodecanol, oleth-16, petrolatum, potassium hydroxide, purified water, steareth-16, stearyl alcohol, titanium dioxide.

COLLAGENASE OINTMENT

Collagenase ointment is a sterile enzymatic debriding ointment that contains 250 collagenase units per gram of white petrolatum USP. The enzyme collagenase is derived from the fermentation by *Clostridium histolyticum*. It possesses the unique ability to digest collagen in necrotic tissue exclusively from natural sources, occurring as the sodium salts of water-soluble estrogen sulfates blend to represent the average composition of material derived from pregnant mares' urine. It contains estrone, equilin, and 17 (alpha)-dihydroequilin, together with smaller amounts of 17 (alpha)-estradiol, equilenin, and 17 (alpha)-dihydroequilin as salts of their sulfate esters.

CONJUGATED ESTROGENS VAGINAL CREAM

Each gram of conjugated estrogens vaginal cream contains 0.625 mg conjugated estrogens USP in a nonliquefying base containing cetyl esters wax, cetyl alcohol, white wax, glyceryl monostearate, propylene glycol monostearate, methyl stearate, benzyl alcohol, sodium lauryl sulfate, glycerin, and mineral oil. It is applied intravaginally.

CYANOCOBALAMIN GEL

Cyanocobalamin gel for intranasal administration is a solution of cyanocobalamin USP (vitamin B12) for administration as a metered gel to the nasal mucosa. Each bottle of gel contains 2.3 mL of a 500 µg/0.1 mL gel solution of cyanocobalamin with methylcellulose, sodium citrate, citric acid, glycerin, and benzalkonium chloride in purified water. The gel solution has a pH between 4.5 and 5.5. After initial priming, each metered gel delivers an average of 500 µg of cyanocobalamin, and the 2.3 mL of gel contained in the bottle will deliver eight doses.

DBcAMP OINTMENT

Bill of Materials			
Scale (g/100 g)	Item	Material Name	Qty/kg (g)
3.00	1	DBcAMP	35.10
68.49	2	Polyethylene glycol 400	684.90
28.00	3	Polyethylene glycol 4000	280.00

MANUFACTURING DIRECTIONS

1. In a glass-lined melting vessel, place 90% of item 3 and item 2 and melt at 70°C to 80°C.
2. Transfer to a homogenizer and cool to 50°C.
3. Prepare a dispersion of item 1 in balance of item 3 in a separate vessel and add to step 2.
4. Rinse the container with item 2 and add rinsings.
5. Mix at 50°C. Cool and fill.

DESONIDE CREAM, OINTMENT, AND LOTION

Cream 0.05%, ointment 0.05%, and lotion 0.05% contain desonide. Each gram of cream contains 0.5 mg of desonide in a base of purified water, emulsifying wax, propylene glycol, stearic acid, isopropyl palmitate, synthetic beeswax, polysorbate 60, potassium sorbate, sorbic acid, propyl gallate, citric acid, and sodium hydroxide. Each gram of ointment contains 0.5 mg of desonide in a base of mineral oil and polyethylene. Each gram of lotion contains 0.5 mg of desonide in a base of sodium lauryl sulfate, light mineral oil, cetyl alcohol, stearyl alcohol, propylene glycol, methyl paraben, propyl paraben, sorbitan monostearate, glyceryl stearate SE, edetate sodium, and purified water and may contain citric acid or sodium hydroxide for pH adjustment.

DESOXIMETASONE EMOLLIENT CREAM, GEL, AND OINTMENT

Desoximetasone emollient cream 0.25%, desoximetasone gel 0.05%, desoximetasone ointment 0.25%, and desoximetasone emollient cream 0.05% contain the active synthetic corticosteroid desoximetasone. Each gram of emollient cream

0.25% contains 2.5 mg desoximetasone in an emollient cream consisting of white petrolatum USP, purified water USP, isopropyl myristate NF, lanolin alcohols NF, mineral oil USP, cetostearyl alcohol NF, aluminum stearate, and magnesium stearate. Each gram of gel 0.05% contains 0.5 mg desoximetasone in a gel consisting of purified water USP, SD alcohol 40 (20% w/w), isopropyl myristate NF, carbomer 940, trolamine NF, edetate disodium USP, and docusate sodium USP. Each gram of ointment 0.25% contains 2.5 mg of desoximetasone in a base consisting of white petrolatum USP, propylene glycol USP, sorbitan sesquioleate, beeswax, fatty alcohol citrate, fatty acid pentaerythritol ester, aluminum stearate, citric acid, and butylated hydroxyanisole. Each gram of emollient cream 0.05% contains 0.5 mg desoximetasone in an emollient cream consisting of white petrolatum USP, purified water USP, isopropyl myristate NF, lanolin alcohols NF, mineral oil USP, cetostearyl alcohol NF, aluminum stearate, edetate disodium USP, lactic acid USP, and magnesium stearate.

DEXAMETHASONE SODIUM PHOSPHATE OINTMENT

Sterile ophthalmic ointment dexamethasone sodium phosphate is a topical steroid ointment containing dexamethasone sodium phosphate equivalent to 0.5 mg (0.05%) dexamethasone phosphate in each gram. Inactive ingredients are white petrolatum and mineral oil.

DEXPANTHENOL CREAM

Bill of Materials

Scale (g/100 g)	Item	Material Name	Qty/kg (g)
5.00	1	Dexpanthenol	50.00
10.00	2	White soft paraffin	100.00
5.00	3	Cetostearyl alcohol	50.00
2.00	4	Lanolin anhydrous	20.00
10.00	5	Liquid paraffin	100.00
11.00	6	Propylene glycol	110.00
0.15	7	Methyl paraben	1.50
0.05	8	Propyl paraben	0.50
1.00	9	Tween 60	10.00
1.00	10	Simethicone M30	10.00
0.072	11	Lavender oil	0.072
0.028	12	Rose oil perfume	0.28
64.70	13	Water purified	647.00

MANUFACTURING DIRECTIONS

- Place items 2 to 5 in a melting vessel and heat to 70°C.
- Combine portion of item 13 (at 70°C), item 1, and item 9 and heat to 70°C and mix for 10 minutes.
- In a separate container add and dissolve items 7 and 8 in item 6 at 70°C and add to step 2.

- Add step 1 into step 3. Mix under vacuum and at 70°C for 20 minutes.
- Cool to 35°C to 40°C and add item 10. Mix again under vacuum.
- Add items 11 and 12 and mix (without vacuum) and cool down to 25°C.
- Transfer to storage vessel and fill.

DEXPANTHENOL GEL CREAM

Bill of Materials

Scale (mg/g)	Item	Material Name	Qty/kg (g)
50.00	1	Dexpanthenol (BASF)	50.00
100.00	2	Liquid paraffin	100.00
150.00	3	Lutrol E 400	150.00
180.00	4	Lutrol F 127	180.00
QS	5	Water	QS to 1 kg

MANUFACTURING DIRECTIONS

- Dissolve dexpanthenol and Lutrol E 400 in water, add liquid paraffin, and stir, heating to 60°C to 70°C.
- Slowly add Lutrol F 127 and stir until it is dissolved.
- Cool to room temperature, stirring continuously until the air bubbles disappear.

DICLOFENAC DIETHYLAMINE GEL

Bill of Materials

Scale (g/100 g)	Item	Material Name	Qty/kg (g)
1.00	1	Diclofenac diethylamine, 10% excess	11.00
1.20	2	Carbopol 934P	12.00
23.00	3	Isopropyl alcohol	230.00
5.00	4	Propylene glycol	50.00
2.50	5	Liquid paraffin	25.00
2.50	6	Cetiol LC	25.00
2.00	7	Cetomacrogol 1000	20.00
0.90	8	Diethylamine	9.00
0.028	9	Perfume	0.28
0.072	10	Perfume	0.72
68.00	11	Water purified	680.00

MANUFACTURING DIRECTIONS

- Place 90% of item 11 in a mixing vessel, heat to 80°C, stir to produce vortex, and add item 2 to disperse after passing through 1 mm sieve. Mix for 5 minutes, avoiding foam.
- Transfer step 1 into Becomix and maintain temperature at 70°C.

- Combine items 5 to 7 in a separate vessel, melt at 70°C, transfer to step 2.
- Mix at speed II under vacuum of 0.4 to 0.6 bar for 5 minutes at 10 rpm.
- Cool down to 30°C.
- Add and dissolve item 8 in item 11 separately and then add to step 5 and mix for 10 minutes.
- Dissolve item 1 in items 3 and 4 separately and transfer to step 6 through a cloth filter. Mix for 20 minutes.
- Homogenize at speed I for 5 minutes under vacuum at 10 rpm.
- Add perfumes and mix for 5 minutes.
- Fill in appropriate containers.

DICLOFENAC DIETHYLAMMONIUM GEL

Bill of Materials

Scale (mg/g)	Item	Material Name	Qty/kg (g)
QS	1	Water purified	465.53
500.00	2	Alcohol 190 proof	500.00
2.00	3	Menthol	2.00
10.00	4	Diclofenac USE diclofenac diethylammonium	12.47
8.00	5	Carbopol 940	8.00
12.00	6	Trolamine	12.00

MANUFACTURING DIRECTIONS

- Place purified water and alcohol in a 316-grade stainless-steel mixing tank.
- Add menthol crystals to the alcohol–water mixture. Mix for 5 minutes or until completely dissolved.
- Add diclofenac diethylammonium to the mixing tank. Mix for 10 minutes or until completely dissolved.
- While mixing, sprinkle in carbomer. Continue mixing slowly at intervals for 1 to 2 hours or until carbomer swells completely in the hydroalcoholic solution.
- Add trolamine and mix for 10 minutes or until gel forms.
- Fill into suitable lined collapsible aluminum tube.

DICLOFENAC SODIUM SUPPOSITORIES

Bill of Materials

Scale (mg/suppository)	Item	Material Name	Qty/1000 Suppositories (g)
12.50	1	Diclofenac sodium micronized, 1% excess	12.62
530.32	2	Suppocire CM	530.32
353.00	3	Suppocire AS2X	353.00
2.90	4	Crill 3	2.90
1.15	5	Aerosil 200	1.15

MANUFACTURING DIRECTIONS

- Load items 2 to 4 in the fat-melting vessel and heat to 55°C.
- Transfer to a mixing vessel through filter sieves. Set the temperature to 50°C.
- Add item 1 and 5 to step 2. Mix at 10 rpm and homogenize at speed I for 15 minutes at 0.6 bar vacuum.
- Cool down to 50°C to 55°C.
- Transfer into storage vessel and set temperature at 50°C.
- Fill 900 mg in a suppository mold.

DICLOFENAC SODIUM SUPPOSITORIES

Bill of Materials

Scale (mg/suppository)	Item	Material Name	Qty/1000 Suppositories (g)
25.00	1	Diclofenac sodium micronized, 1% excess	25.25
522.70	2	Suppocire CM	522.70
348.00	3	Suppocire AS2X	348.00
2.90	4	Crill 3	2.90
1.15	5	Aerosil 200	1.15

MANUFACTURING DIRECTIONS

- Load items 2 to 4 in the fat-melting vessel and heat to 55°C.
- Transfer to a Becomix vessel through filter sieves. Set the temperature to 50°C.
- Add items 1 and 5 to step 2. Mix at 10 rpm and homogenize at speed I for 15 minutes at 0.6 bar vacuum.
- Cool down to 50°C to 55°C.
- Transfer into storage vessel and set temperature at 50°C.
- Fill 900 mg in a suppository mold.

DICLOFENAC SODIUM SUPPOSITORIES

Bill of Materials			
Scale (mg/ suppository)	Item	Material Name	Qty/1000 Suppositories (g)
50.00	1	Diclofenac sodium micronized, 1% excess	50.50
1045.40	2	Suppocire CM	1045.40
696.00	3	Suppocire AS2X	696.00
5.80	4	Crill 3	5.80
2.30	5	Aerosil 200	2.30

MANUFACTURING DIRECTIONS

1. Load items 2 to 4 in the fat-melting vessel and heat to 55°C.
2. Transfer to a mixing vessel through filter sieves. Set the temperature to 50°C.
3. Add items 1 and 5 to step 2. Mix at 10 rpm and homogenize at speed I for 15 minutes at 0.6 bar vacuum.
4. Cool down to 50°C to 55°C.
5. Transfer into storage vessel and set temperature at 50°C.
6. Fill 1800 mg in a suppository mold.

DICLOFENAC SODIUM SUPPOSITORIES

Bill of Materials			
Scale (mg/ suppository)	Item	Material Name	Qty/1000 Suppositories (g)
100.00	1	Diclofenac sodium micronized, 1% excess	101.00
1015.00	2	Suppocire CM	1015.00
675.00	3	Suppocire AS2X	675.00
6.00	4	Crill 3	6.00
2.50	5	Aerosil 200	2.50

MANUFACTURING DIRECTIONS

1. Load items 2 to 4 in the fat-melting vessel and heat to 55°C.
2. Transfer to a mixing vessel through filter sieves. Set the temperature to 50°C.
3. Add items 1 and 5 to step 2. Mix at 10 rpm and homogenize at speed I for 15 minutes at 0.6 bar vacuum.
4. Cool down to 50°C to 55°C.
5. Transfer into storage vessel and set temperature at 50°C.
6. Fill 1800 mg in a suppository mold.

DICHLOROBENZYL ALCOHOL TOOTH GEL

Bill of Materials			
Scale (g/100 g)	Item	Material Name	Qty/kg (g)
1.00	1	2,4-dichlorobenzyl alcohol (Myacid)	10.00
2.00	2	Sodium carboxymethylcellulose ^a	20.00
QS	3	Water purified	QS to 1 kg

^a To obtain thicker gel, the quantity can be increased to 4.00.

MANUFACTURING DIRECTIONS

1. Disperse item 2 in item 3 heated to 70°C.
2. Cool and add item and mix well.
3. Cool to 40°C and fill.

DIENESTROL VAGINAL CREAM

The active ingredient in dienestrol vaginal cream is dienestrol 0.01%. It is compounded in a cream base suitable for intra-vaginal use only. The cream base is composed of glyceryl monostearate, peanut oil, glycerin, benzoic acid, glutamic acid, butylated hydroxyanisole, citric acid, sodium hydroxide, and water. The pH is approximately 4.3. Available in 2.75-oz (78-g) tubes with or without a measured dose applicator.

DIETHYLAMINE SALICYLATE CREAM

Bill of Materials			
Scale (g/100 g)	Item	Material Name	Qty/kg (g)
22.50	1	White soft paraffin	225.00
12.50	2	Glyceryl monostearate	125.00
5.00	3	Criss-3 (span 60)	50.00
0.10	4	Vitamin E oily	1.00
45.24	5	Water purified	452.40
0.71	6	Sodium phosphate monobasic	7.10
0.13	7	Sodium hydroxide pellets	1.30
0.10	8	Sodium disulfide pure	1.00
0.166	9	Sodium ethylene diamine tetraacetate	1.66
12.00	10	Diethylamine salicylate	120.00
0.12	11	Menthol	1.20
0.50	12	Chlorbutol	5.00
0.30	13	Lavender oil	3.00
0.40	14	Glycerin	4.00
0.20	15	Methyl paraben	2.00
0.12	16	Propyl paraben	1.20

MANUFACTURING DIRECTIONS

1. Charge, one by one, items 1 to 4 to a melting vessel at 75°C to 79°C. Hold molten fat at 70°C with continuous stirring at low speed.
2. In a separate vessel, heat 90% of item 5 to 90°C, add, and dissolve parabens by stirring. Cool to 65°C to 70°C.
3. In a separate vessel, take the balance of item 5 and sodium hydroxide pellets and sodium phosphate monobasic and dissolve.
4. Transfer step 3 to the paraben solution and mix for 5 to 10 minutes at slow speed and at 65°C to 70°C.
5. Cool to 25°C. Check and adjust pH 6.8 to 7.2. Add items 8 to 10 and mix to dissolve at 50°C.
6. Filter solution through polyester cloth and keep aside at 50°C.
7. Set Becomix temperature to 70°C, 10 rpm, and vacuum 6 bar.
8. Transfer molten fat at 70°C after passing through a stainless-steel filter to step above while mixing.
9. Homogenize at slow speed for 10 minutes. Temperature 65°C to 70°C.
10. Set Becomix to 50°C and transfer diethylamine salicylate solution to the cream at 50°C while stirring.
11. Continue mixing and add chlorbutol, menthol, lavender oil, and glycerin at 40°C. (Menthol and chlorbutol first dissolve in a separate container.)
12. Homogenize for 10 minutes under vacuum.
13. Cool to 25°C, transfer to storage vessel, fill.

DIFLORASONE DIACETATE CREAM AND OINTMENT

Each gram of cream contains 0.5 mg diflorasone diacetate in a cream base. Each gram of cream contains 0.5 mg diflorasone diacetate in a hydrophilic vanishing cream base of propylene glycol, stearyl alcohol, cetyl alcohol, sorbitan monostearate, polysorbate 60, mineral oil, and purified water. Each gram of ointment contains 0.5 mg diflorasone diacetate in an ointment base. Emollient ointment contains diflorasone diacetate in an emollient occlusive base consisting of polyoxypropylene 15-stearyl ether, stearic acid, lanolin alcohol, and white petrolatum.

DIMETHICONE AND ZINC OXIDE OINTMENT

Active ingredients in dimethicone and zinc oxide ointment are dimethicone, 1%, and zinc oxide, 10%. Inactive ingredients include aloe extract, benzyl alcohol, cod liver oil (contains vitamins A and D), fragrance, glyceryl oleate, light mineral

oil, ozokerite, paraffin, propylene glycol, sorbitol, synthetic beeswax, and water.

DINOPROSTONE CERVICAL GEL

Dinoprostone is the naturally occurring form of prostaglandin E₂ (PGE₂). The active constituent of gel is dinoprostone 0.5 mg/3 g (2.5 mL gel); other constituents are colloidal silicon dioxide NF (240 mg/3 g) and triacetin USP (2760 mg/3 g).

DINOPROSTONE VAGINAL INSERT AND SUPPOSITORIES

Dinoprostone vaginal insert is a thin, flat polymeric slab that is rectangular with rounded corners, contained within the pouch of a knitted polyester retrieval system, an integral part of which is a long tape. Each slab is buff colored and semi-transparent and contains 10 mg of dinoprostone. The hydrogel insert is contained within the pouch of an off-white knitted polyester retrieval system designed to aid retrieval at the end of the dosing interval. The finished product is a controlled-release formulation that has been found to release dinoprostone in vivo at a rate of approximately 0.3 mg/h. Each insert contains 10 mg of dinoprostone in 241 mg of a cross-linked polyethylene oxide/urethane polymer that is a semiopaque, beige-colored, flat rectangular slab measuring 29 mm×9.5 mm×0.8 mm in thickness. The insert and its retrieval system, made of polyester yarn, are nontoxic, and when placed in a moist environment they absorb water, swell, and release dinoprostone. The insert contains 10 mg dinoprostone. The product is wound and enclosed in an aluminum sleeve that is contained in an aluminum-polyethylene pack. Vaginal suppositories are available. Each suppository contains 20 mg of dinoprostone in a mixture of glycerides of fatty acids.

DIPHENHYDRAMINE HYDROCHLORIDE AND ZINC ACETATE OINTMENT

Diphenhydramine hydrochloride and zinc acetate ointment contain diphenhydramine hydrochloride 1% and zinc acetate 0.1%. The extra-strength formulation is diphenhydramine hydrochloride 2% and zinc acetate 0.1%. Inactive ingredients include cetyl alcohol, diazolidinyl urea, methyl paraben, polyethylene glycol monostearate 1000, propylene glycol, propyl paraben, and purified water.

DOCOSANOL LOTION

Docosanol, 10%, is a cold sore/fever blister treatment. Inactive ingredients include benzyl alcohol, light mineral oil, propylene glycol, purified water, sucrose distearate, and sucrose stearate.

ECONAZOLE NITRATE AND BENZOYL PEROXIDE CREAM

Bill of Materials			
Scale (mg/g)	Item	Material Name	Qty/kg (g)
200.00	1	PEG-6 and PEG-32 and glyceryl stearate (Tefose 63)	200.00
30.00	2	Mineral oil	30.00
30.00	3	Apricot kernel oil PEG-6 esters (Labrafil M 1944)	30.00
0.50	4	Sorbic acid	0.50
0.50	5	Sodium methyl paraben	0.50
724.00	6	Deionized water	724.00
5.00	7	Benzoyl peroxide	5.00
10.00	8	Econazole nitrate	10.00

MANUFACTURING DIRECTIONS

- Mix and heat items 1 to 6 together and bring temperature to 75°C.
- Allow to cool while stirring. Add items 7 and 8 at 30°C and mix well until uniform.

ECONAZOLE NITRATE AND BENZOYL PEROXIDE LOTION

Bill of Materials			
Scale (mg/g)	Item	Material Name	Qty/kg (g)
50.00	1	PEG-6 stearate and cetech-20 and steareth-20 (Tefose 2000)	50.00
30.00	2	Mineral oil	30.00
20.00	3	Cetyl alcohol	20.00
0.70	4	Sodium methyl paraben	0.70
0.30	5	Sorbic acid	0.30
884.00	6	Deionized water	884.00
5.00	7	Benzoyl peroxide	5.00
10.00	8	Econazole nitrate	10.00

MANUFACTURING DIRECTIONS

- Mix and heat items 1 to 3 together and bring temperature to 75°C.
- Allow to cool while stirring. Mix items 4 to 6 and add to above while stirring.
- Cool with stirring. Add items 7 and 8 at 30°C while stirring.

EFLORNITHINE HYDROCHLORIDE CREAM

The cream contains 13.9% (139 mg/g) anhydrous eflornithine hydrochloride as eflornithine hydrochloride monohydrate (150 mg/g). Other ingredients include cetareth-20, cetaryl alcohol, dimethicone, glyceryl stearate, methyl paraben, mineral oil, PEG-100 stearate, phenoxyethanol, propyl paraben, stearyl alcohol, and water.

ENZYME EXTRACT OINTMENT

Bill of Materials			
Scale (g/100 g)	Item	Material Name	Qty/kg (g)
50.00	1	Fumed silica	500.00
18.50	2	Enzyme extract ^a	185.00
0.20	3	Methyl paraben	2.00
0.50	4	Propyl paraben	5.00
0.03	5	Bromopal	0.30
0.02	6	Fragrance	0.20
QS	7	Water purified	QS to 1 kg

^a This is a generic formula to incorporate proteins, tissue components, or enzyme extracts (in powder form).

ERYTHROMYCIN AND NEOMYCIN OINTMENT

Bill of Materials			
Scale (mg/g)	Item	Material Name	Qty/kg (g)
10.00	1	Erythromycin-base fine powder 10% excess (900 µg/mg potency) ^a	12.22
3.50	2	Neomycin-base USE neomycin sulfate (200 Waksman units/mg potency) ^a	5.00
100.00	3	Mineral oil light	100.00
QS	4	Petrolatum white	QS to 1 kg

^a Adjust petrolatum weight to compensate for change in weight of erythromycin base and neomycin.

MANUFACTURING DIRECTIONS

- Heat petrolatum and mineral oil in a steam kettle to 115°C and maintain temperature for at least 3 hours.
- Strain into mixing tank and cool to 40°C to 45°C.
- Reserve portion of petrolatum–oil mixture for step 5.
- Mix erythromycin and neomycin with 95 g of base and stir until thoroughly dispersed.
- Run through a 200 mesh (74 µm aperture) screen on Homoloid mill directly into main portion of petrolatum–oil mixture.

- Rinse mill with reserved petrolatum–oil mixture from step 3.
- Mix 2 hours before cooling. Cool slowly to avoid condensation.
- Fill into suitable approved containers.

ERYTHROMYCIN GEL

Bill of Materials

Scale (g/100 g)	Item	Material Name	Qty/kg (g)
1.00	1	Erythromycin base	10.00
20.00	2	Lutrol E 400	200.00
20.00	3	Propylene glycol	200.00
20.00	4	Lutrol F 127	200.00
39.00	5	Water purified	390.00

MANUFACTURING DIRECTIONS

- Heat solution of items 1 to 3 to approximately 70°C.
- Dissolve item 4, mix with item 5, and cool when the air bubbles escape.

ERYTHROMYCIN OINTMENT

Bill of Materials

Scale (mg/g)	Item	Material Name	Qty/kg (g)
10.00	1	Erythromycin powder 850 µg/mg, 10% excess ^a	12.94
100.00	2	Mineral oil light	100.00
QS	3	Petrolatum white	QS to 1 kg

^a Adjust petrolatum weight to compensate for change in weight of erythromycin base calculated from its potency.

MANUFACTURING DIRECTIONS

- Heat petrolatum and mineral oil in a steam kettle to 115°C and maintain temperature for at least 3 hours.
- Strain into mixing tank and cool to 40°C to 45°C.
- Reserve portion of petrolatum–oil mixture for step 6.
- Mix erythromycin with 78 g of base and stir until thoroughly dispersed.
- Run through a 200 mesh (74 µm aperture) screen on Homoloid mill directly into main portion of petrolatum–oil mixture.
- Rinse mill with reserved petrolatum–oil mixture from step 3.
- Mix 2 hours before cooling. Cool slowly to avoid condensation.
- Fill into suitable approved containers.

ERYTHROMYCIN OINTMENT

Bill of Materials

Scale (g/100 g)	Item	Material Name	Qty/kg (g)
23.75	1	Isostearyl benzoate	237.50
23.85	2	Bis (2-ethylhexyl) maleate	238.50
10.00	3	Cyclomethicone	100.00
5.00	4	Stearyl alcohol	50.00
10.00	5	Starch	100.00
10.00	6	Microcrystalline cellulose	100.00
15.00	7	Ethylene/vinyl copolymer	150.00
0.10	8	Propyl paraben	1.00
0.10	9	Butylparaben	1.00
0.10	10	Fragrance	1.00
2.00	11	Erythromycin	21.00

MANUFACTURING DIRECTIONS

- Blend items 1 to 4 in a high-shear mixer.
- Add balance ingredients and mix well.
- Fill.

ESTRADIOL AND NORETHINDRONE ACETATE TRANSDERMAL SYSTEM

The estradiol/norethindrone acetate transdermal system is an adhesive-based matrix transdermal patch designed to release both estradiol and norethindrone acetate, a progestational agent, continuously on application to intact skin. The patch is an alcohol-free, adhesive-based matrix transdermal drug delivery system comprising three layers. Proceeding from the visible surface toward the surface attached to the skin, these layers are a backing, an adhesive layer, and a protective liner. The adhesive matrix containing estradiol and norethindrone acetate is applied to an adhesive backing of polyester/ethylene vinyl acetate laminate film on one side and is protected on the other side by a transparent fluoropolymer-coated release liner. The transparent release liner must be removed before the system can be used. Each system is enclosed in a heat-sealed pouch. The active components of the system are estradiol USP and norethindrone acetate USP. The remaining components of the system are pharmacologically inactive: A silicone and acrylic-based multipolymeric adhesive, povidone USP, oleic acid NF, and dipropylene glycol.

ESTRADIOL TRANSDERMAL SYSTEM

Estradiol transdermal system is designed to deliver 17 (beta)-estradiol continuously and consistently for more than a 3- or 4-day interval on application to intact skin. Three strengths of Alora systems are available, having nominal in vivo delivery of 0.05, 0.075, and 0.1 mg estradiol per day through skin of average permeability (interindividual variation in skin

permeability is approximately 20%). Alora systems have contact surface areas of 18, 27, and 36 cm² and contain 1.5, 2.3, and 3.0 mg of estradiol USP respectively. The composition of the systems per unit active surface area is identical. Estradiol USP 17 (beta)-estradiol is a white crystalline powder that is chemically described as estra-1,3,5(10)-triene-3,17(beta)-diol, has an empirical formula of C₁₈H₂₄O₂, and has a molecular weight of 272.37. The delivery system consists of three layers. Proceeding from the polyethylene backing film, the adhesive matrix drug reservoir that is in contact with the skin consists of estradiol USP and sorbitan monooleate dissolved in an acrylic adhesive matrix. The polyester overlapped release liner protects the adhesive matrix during storage and is removed before application of the system to the skin.

MANUFACTURING DIRECTIONS

Estradiol-containing matrices are prepared by mixing acrylic adhesive (National Starch Durotac 1194), sorbitan monooleate (Arlacel 80), and estradiol at a ratio of 80-*X*/(20/*X*), where *X* is the proportion (wt%) of estradiol. The matrix contains 25 estradiol (8% estradiol was saturated) for optimal permeation.

ESTRADIOL VAGINAL CREAM

Each gram of estradiol vaginal cream USP 0.01% contains 0.1 mg estradiol in a nonliquefying base containing purified water, propylene glycol, stearyl alcohol, white ceresin wax, mono- and diglycerides, hydroxypropylmethylcellulose, 2208 (4000 CPS; CPS refers to centipoise, a designation of viscosity) sodium lauryl sulfate, methyl paraben, edetate disodium, and tertiary butylhydroquinone. Tubes contain 1.5 oz (42.5 g), with a calibrated plastic applicator for delivery of 1, 2, 3, or 4 g. Each gram of estradiol vaginal cream USP 0.01% contains 0.1 mg estradiol in a nonliquefying base containing purified water, propylene glycol, stearyl alcohol, white ceresin wax, mono- and diglycerides, hydroxypropylmethylcellulose, 2208 (4000 CPS) sodium lauryl sulfate, methyl paraben, edetate disodium, and tertiary butylhydroquinone.

ESTRADIOL VAGINAL CREAM

Bill of Materials

Scale (g/100 g)	Item	Material Name	Qty/10 kg (g)
7.00	1	Stearyl alcohol	700.00
4.00	2	Glyceryl monostearate (nonemulsifying)	400.00
7.00	3	Ceresin wax 160	700.00
0.02	4	Monotertiary butylhydroquinone	2.00
0.01	5	17-Beta-estradiol	1.00
10.00	6	Propylene glycol	1000.00
0.15	7	Methyl paraben	15.00
0.30	8	Hydroxypropylmethylcellulose 4000 CPS	30.00
0.05	9	Disodium edetate	5.00
0.30	10	Sodium lauryl sulfate	30.00
71.77	11	Water purified	7177.00

MANUFACTURING DIRECTIONS

1. Prepare a nonaqueous phase premix by thoroughly mixing stearyl alcohol (700 g), glyceryl monostearate, nonself-emulsifying (400 g), white ceresin wax 160 (160 signifies the approximate melting point in degrees Fahrenheit, 700 g), and monotertiary butylhydroquinone (2 g) while heating to 75°C.
2. Continue mixing with heating until all solids are dissolved and then add 17-beta-estradiol (1 g dry weight). Then continue the mixing until this phase is in the form of a clear solution, at which point hold at 75°C for later use.
3. Mix propylene glycol (1000 g) and methyl paraben (15 g) together until all solids are dissolved. Add hydroxypropylmethylcellulose 4000 CPS (CPS refers to centipoise, a designation of viscosity, 30 g) to the propylene glycol solution and disperse; then add this resulting mixture to an aqueous solution of disodium edetate (5 g) and sodium lauryl sulfate (30 g) in 7117 g purified water. Heat this mixture and hold at 75°C while stirring to facilitate the formation of an oil-in-water emulsion.
4. Then add the hot nonaqueous phase premix, prepared earlier, to this hot aqueous phase slowly while mixing with an appropriate mixer. If the equipment used permits moisture loss, water may be added during this step to compensate for the loss.
5. Allow the resultant hot emulsion to cool to 60°C, at which point thoroughly homogenize using a recirculating homogenizer, homomixer, or other suitable equipment to provide a particle size reduction to a range of 5 to 20 μm for most particles.

6. Pass the fluid emulsion, still at 60°C, through a No. 100 to No. 200 stainless-steel or nylon screen into a vessel equipped for slow stirring.
7. Then cool the emulsion under vacuum while using slow sweep stirring until the temperature reaches 25°C.

ETHYLENEDIAMINE TETRACETATE OINTMENT

Bill of Materials

Scale (g/100 g)	Item	Material Name	Qty/kg (g)
5.00	1	Liquid paraffin	50.00
10.00	2	White paraffin	100.00
30.00	3	Glycerin	300.00
8.00	4	Cetostearyl alcohol	80.00
0.30	5	Methyl paraben	3.00
3.60	6	Polyoxyethylene sorbitan monostearate	36.00
2.00	7	Glyceryl monostearate	20.00
QS	8	Water purified	QS to 1 kg
1.00	9	Ethylene diamine tetraacetate	10.00

MANUFACTURING DIRECTIONS

1. Water phase:
 - a. Charge purified water, polysorbate 60, and glycerin with agitation in a melting kettle.
 - b. Heat the contents to 61°C to 65°C.
 - c. Add methyl paraben and mix the composition to dissolve while maintaining temperature.
2. Oil phase:
 - a. In a suitable vessel, place liquid paraffin, cetostearyl alcohol, white petrolatum, glycerol monostearate, and white beeswax and mix continuously while heating to 71°C to 75°C.
3. Mixing of phases:
 - a. Transfer the mixture of step 2 to the step 1 kettle, with the water phase maintained at less than 300 mbar vacuum.
 - b. Add EDTA and dissolve.
 - c. With mixing and keeping the temperature at 61°C to 65°C, draw the oil phase into the water phase.
 - d. Mix for 15 minutes with agitation and vacuum at 300 mbar and 61°C to 65°C.
 - e. While mixing and under vacuum, allow the mixture to cool gradually to room temperature.
4. Fill in appropriate container.

EUCALYPTUS AND MINT OINTMENT

Bill of Materials

Scale (mg/g)	Item	Material Name	Qty/kg (g)
160.00	1	Menthol	160.00
40.00	2	Eucalyptus	40.00
800.00	3	Anhydrous lanolin, USP	800.00

MANUFACTURING DIRECTIONS

1. Mix lanolin until melted (approximately at 50°C), add remaining ingredients, and mix for 1 hour.
2. Fill hot.

FOOT FRESHENER CREAM

Bill of Materials

Scale (mg/tablet)	Item	Material Name	Qty/L (g)
30.00	1	Alcohol and cetareth-20 (Cosmowax® EM5483)	30.00
30.00	2	Isopropyl myristate (Crodamol® IPM)	30.00
5.00	3	Cetyl esters (Crodamol® SS)	5.00
20.00	4	Oleyl alcohol	20.00
5.00	5	Propylene glycol	5.00
5.00	6	Carbopol 980	5.00
QS	7	Deionized water	QS to 1 L
300.00	8	Ethanol (DEB100)	300.00
2.00	9	Triclosan (Irgasan® DP300)	2.00
0.50	10	Menthol	0.50
4.00	11	Triethanolamine 99 (to give pH 6 to 7)	~4.00

MANUFACTURING DIRECTIONS

1. Preblend ethanol, Irgasan, and menthol and warm to 50°C.
2. Heat water and oil phases separately to 70°C.
3. Add the water phase to the oil phase while stirring.
4. Stir to cool, adding the preblend at 60°C. Adjust pH.

FOOT MOUSSE

Bill of Materials			
Scale (mg/g)	Item	Material Name	Qty/kg (g)
300.00	1	Ethanol (DEB100)	300.00
1.00	2	Menthol	1.00
QS	3	Deionized water	QS
20.00	4	Undecylenamide DEA and diethanolamine	20.00
5.00	5	Cetrimonium bromide	5.00
10.00	6	PEG-75 and water	10.00
QS	7	Perfume, preservative, color	QS

MANUFACTURING DIRECTIONS

1. Dissolve menthol in ethanol.
2. Add remaining ingredients.
3. Pack into mechanical mousse applicator.

FLUOCINONIDE CREAM

Bill of Materials			
Scale (g/100 g)	Item	Material Name	Qty/kg (g)
0.05	1	Fluocinonide	0.50
7.00	2	Crotamiton	70.00
10.00	3	Liquid paraffin	100.00
1.00	4	Polyoxyethylene lauryl ether	10.00
20.00	5	Carboxyvinyl polymer	200.00
1.20	6	Disodium edetate	12.00
4.68	7	Triethanolamine	46.80
QS	8	Water purified	QS to 1 kg

MANUFACTURING DIRECTIONS

1. Dissolve fluocinonide (50 mg) in crotamiton (7 g) with warming and thereto add liquid paraffin (10 g), propylene glycol (10 g), polyoxyethylene lauryl ether (1 g), a 4% aqueous solution of carboxyvinyl polymer (20 g), purified water (47 g), and a 1% aqueous solution of disodium edetate (1.2 g).
2. Heat the mixture until approximately 70°C to 80°C and then add a 2% aqueous solution of triethanolamine (4.68 g) to it with stirring and then add further purified water until the amount becomes 100 g.
3. Stir the mixture well and then cool to give a creamy preparation having a viscosity of 65,000 centipoises and a pH of 4.47.

FLUOCINONIDE CREAM, OINTMENT, AND GEL

The cream contains fluocinonide 0.5 mg/g in a specially formulated cream base consisting of citric acid, 1,2,6-hexanetriol, polyethylene glycol 8000, propylene glycol, and stearyl alcohol. This white cream vehicle is greaseless, nonstaining, anhydrous, and completely water miscible. The base provides emollient and hydrophilic properties. In this formulation, the active ingredient is totally in solution. The cream contains fluocinonide 0.5 mg/g in a water-washable aqueous emollient base of cetyl alcohol, citric acid, mineral oil, polysorbate 60, propylene glycol, sorbitan monostearate, stearyl alcohol, and water (purified). Another strength of cream contains fluocinolone acetate 0.25 mg/g in a water-washable aqueous base of butylated hydroxytoluene, cetyl alcohol, citric acid, edetate disodium, methyl paraben and propyl paraben (preservatives), mineral oil, polyoxyl 20 cetostearyl ether, propylene glycol, simethicone, stearyl alcohol, water (purified), and white wax. The gel contains fluocinonide 0.5 mg/g in a specially formulated gel base consisting of carbomer 940, edetate disodium, propyl gallate, propylene glycol, sodium hydroxide or hydrochloric acid (to adjust the pH), and water (purified). This clear, colorless thixotropic vehicle is grease-less, nonstaining, and completely water miscible. In this formulation, the active ingredient is totally in solution. The ointment contains fluocinonide 0.5 mg/g in a specially formulated ointment base consisting of glyceryl monostearate, white petrolatum, propylene carbonate, propylene glycol, and white wax. It provides the occlusive and emollient effects desirable in an ointment. In this formulation, the active ingredient is totally in solution. In another formulation, the ointment contains fluocinolone acetate 0.25 mg/g in a white petroleum USP vehicle.

FLUOROMETHOLONE OPHTHALMIC OINTMENT

The fluorometholone ophthalmic ointment, 0.1%, contains active ingredients fluorometholone 0.1% and the preservative phenylmercuric acetate (0.0008%). Inactives are white petrolatum, mineral oil, and petrolatum and lanolin alcohol.

FLUOROURACIL CREAM

Fluorouracil cream, 0.5%, contains fluorouracil for topical dermatologic use. Cream contains 0.5% fluorouracil, with 0.35% being incorporated into a patented porous microsphere (Microsponge®) composed of methyl methacrylate/glycol dimethacrylate crosspolymer and dimethicone. The cream formulation contains the following other inactive ingredients: Carbomer 940, dimethicone, glycerin, methyl gluceth-20, methyl methacrylate/glycol dimethacrylate crosspolymer, methyl paraben, octyl hydroxy stearate, polyethylene glycol 400, polysorbate 80, propylene glycol, propyl paraben, purified water, sorbitan monooleate, stearic acid, and trolamine.

The 5% cream contains fluorouracil in a vanishing cream base consisting of white petrolatum, stearyl alcohol, propylene glycol, polysorbate 60, and parabens (methyl and propyl).

The 1% topical cream contains inactive ingredients benzyl alcohol, emulsifying wax, mineral oil, isopropyl myristate, sodium hydroxide, and purified water.

FLURANDRENOLIDE LOTION

Each milliliter of lotion contains 0.5 mg (1.145 mol) (0.05%) flurandrenolide in an oil-in-water emulsion base composed of glycerin, cetyl alcohol, stearic acid, glyceryl monostearate, mineral oil, polyoxyl 40 stearate, menthol, benzyl alcohol, and purified water.

FLURANDRENOLIDE TOPICAL FILM

Bill of Materials

Scale (g/100 g)	Item	Material Name	Qty/kg (g)
0.10	1	Flurandrenolide	1.00
9.00	2	Polyvinyl alcohol	90.00
11.00	3	Polyvinylpyrrolidone (PVP)	110.00
9.00	4	Glycerin	90.00
10.00	5	Alcohol	100.00
2.00	6	Benzyl alcohol	20.00
3.00	7	Propylene glycol	30.00
0.02	8	Disodium edetate	0.20
0.10	9	Citric acid	1.00
QS	10	Water purified	QS to 1 kg

MANUFACTURING DIRECTIONS

1. Add and dissolve flurandrenolide in propylene glycol, glycerin, and ethyl alcohol.
2. Dissolve all the remaining items (including some water) separately and add to step 1.
3. Mix thoroughly and make up the volume.
4. Spread the formulation manually or with an applicator. On evaporation of the solvents including water more than a period of 20 to 30 minutes, a continuous medicated adherent film of approximately 0.05- to 0.15 mm (average 0.08 mm) thickness is formed. After 18 to 24 hours or another desirable time span, the film is removed with water or is peeled.

FLUTICASONE OINTMENT

Fluticasone ointment, 0.005%, contains fluticasone propionate. Each gram of ointment contains fluticasone propionate 0.05 mg in a base of propylene glycol, sorbitan sesquioleate, microcrystalline wax, and liquid paraffin.

FLUTICASONE PROPIONATE OINTMENT

Bill of Materials

Scale (mg/g)	Item	Material Name	Qty/kg (g)
0.05	1	Fluticasone propionate	0.05
87.00	2	Propylene glycol	87.00
21.00	3	Sorbitan sesquioleate	21.00
200.00	4	Liquid paraffin	200.00
180.00	5	Microcrystalline wax	180.00
481.95	6	White soft paraffin	481.95
30.00	7	Hard paraffin	30.00

MANUFACTURING DIRECTIONS

1. Melt microcrystalline wax, hard paraffin, and sorbitan sesquioleate in a fat-melting vessel at 70°C to 75°C while mixing. Add liquid paraffin and mix well.
2. Transfer the mixture in step 1 to the manufacturing vessel through stainless-steel filter. Mix and homogenize for 10 minutes under vacuum at 0.5 bar. Cool the mixture to 40°C to 45°C.
3. Disperse fluticasone propionate in propylene glycol, mix, and homogenize at a temperature of 40°C to 45°C.
4. Transfer the drug mixture from step 3 into the manufacturing vessel from step 2 while mixing. Mix and homogenize for 10 minutes under vacuum at 0.5 bar to obtain uniform homogeneous ointment to contain label amount of fluticasone propionate per gram.
5. Cool to a temperature of 25°C to 30°C with continuous stirring.
6. Fill the ointment into the tube.

FLUTICASONE PROPIONATE CREAM

Each gram of cream contains fluticasone propionate 0.5 mg in a base of propylene glycol, mineral oil, cetostearyl alcohol, ceteth-20, isopropyl myristate, dibasic sodium phosphate, citric acid, purified water, and imidurea as preservative.

FLUTICASONE PROPIONATE CREAM

Bill of Materials

Scale (mg/g)	Item	Material Name	Qty/kg (g)
0.50	1	Fluticasone propionate	0.50
40.00	2	Propylene glycol	40.00
100.00	3	Liquid paraffin	100.00
70.70	4	Cetostearyl alcohol	70.70
40.00	5	Cetomacrogol 1000	40.00
50.00	6	Isopropyl myristate	50.00
4.80	7	Dibasic sodium phosphate	4.80
1.50	8	Citric acid monohydrate	1.50
2.50	9	Imidurea	2.50
690.00	10	Purified water	690.00

MANUFACTURING DIRECTIONS

1. Melt cetostearyl alcohol and cetomacrogol 1000 in a fat-melting vessel at 70°C. Add liquid paraffin and isopropyl myristate and mix well. Hold the temperature between 60°C and 70°C.
2. Add purified water to the manufacturing vessel and heat to 70°C to 80°C.
3. Dissolve dibasic sodium phosphate, citric acid, and imidurea in purified water. Hold the temperature between 60°C and 70°C.
4. Transfer the fat phase of step 1 through a stainless-steel filter to the manufacturing vessel while stirring at a temperature of 60°C to 70°C. Mix and homogenize for 10 minutes under vacuum at 0.5 bar. Cool the mixture to 40°C to 45°C.
5. Disperse fluticasone propionate in propylene glycol at a temperature of 40°C to 45°C.
6. Transfer the drug mixture of step 5 into step 4 to the manufacturing vessel while mixing. Mix and homogenize for 10 minutes under vacuum at 0.5 bar to obtain a uniform homogenous cream to contain labeled amount of drug per gram.
7. Cool the cream to a temperature of 25°C to 30°C with continuous stirring.
8. Transfer into stainless-steel storage container with product identification label.

FOLIC ACID SUPPOSITORY**MANUFACTURING DIRECTIONS**

1. Folic acid, 0.2%; allantoin, 0.5%; protein hydrolysate, 0.8%; lactose, 8.0%; lactic acid, 1.0%; magnesium sulfate, 1.0%; sodium chloride, 2.0%; polyoxyethylene glycol 1540, 66.5%; polyoxyethylene sorbitan monolaurate, 15.0%; polyoxyethylene sorbitan monostearate, 5%.
2. After mixing folic acid with an adequate amount of lactose, successively add the remainder of lactose, magnesium sulfate, and sodium chloride while stirring.
3. Mix rotein hydrolysate immediately with the powder mixture before preparing the suspension.
4. Simultaneously, after melting the polyoxyethylene glycol and polyoxyethylene glycol fatty acid esters and reaching a temperature of 60°C, mix lactic acid with the melt, suspend the powder mixture in the liquid suppository base containing lactic acid, then homogenize the mass in a colloid mill.
5. At a temperature of approximately 55°C, fill the mass into cooled moulds.
6. The percentages given above refer to suppositories weighing 3.5 to 4.0 g each.

6-FORMYLAMINONICOTINAMIDE OINTMENT AND LOTION**MANUFACTURING DIRECTIONS**

1. Ointment: Dissolve 6-Formylaminonicotinamide 0.1 g in 5 mL of water and 4.9 mL of ethanol. Admix the solution with hydrophilic ointment USP grade (90 g) to a uniform consistency. This ointment also may be stored in opaque jars at room temperature.
2. Lotion: Dissolve 6-Aminonicotinamide 0.2 g in 7.2 mL of 0.2 N HCl and admix the solution with 92.6 g of a water-in-oil lotion prepared from mineral oil, cottonseed oil, isopropyl palmitate, and water with a surfactant such as sorbitan sesquioleate. The ingredients in said water-in-oil lotion are present for example in 10:10:5:70:5 parts by weight respectively. Store the lotion thus prepared in a plastic squeeze bottle.
3. Fill the cream into the tube.

FOSCARNET CREAM

Bill of Materials			
Scale (mg/100 g)	Item	Material Name	Qty/kg (mg)
3.00	1	Trisodium phosphonoformate hexahydrate (foscarnet sodium)	30.00
4.40	2	Polyoxyethylene fatty acid ester	44.00
2.00	3	Cetyl alcohol	20.00
2.00	4	Stearic acid	20.00
2.00	5	Liquid paraffin	20.00
2.00	6	Propylene glycol	20.00
1.50	7	Glycerin	15.00
0.07	8	Methyl paraben	0.70
0.03	9	Propyl paraben	0.30
QS	10	Water purified	QS to 1 kg

GAMMA BENZENE HEXACHLORIDE LOTION

Bill of Materials			
Scale (g/100 g)	Item	Material Name	Qty/kg (g)
1.00	1	Gamma benzene hexachloride, 1% excess	10.10
2.00	2	Emulsifying wax	20.00
5.00	3	Xylene	50.00
0.50	4	Cetomacrogol 1000	5.00
10.00	5	Liquid paraffin	100.00
72.00	6	Water purified	720.00

MANUFACTURING DIRECTIONS

- Heat items 2, 4, and 5 to 95°C and pass through a stainless-steel sieve.
- Heat water to 65°C and add to step 1.
- Dissolve item 1 in item 3 with stirring and add to step 2 at 35°C.
- Adjust pH to 7.5 to 8.0 if necessary and mix for 2 hours.

GENTAMICIN SULFATE CREAM**Bill of Materials**

Scale (g/100 g)	Item	Material Name	Qty/kg (g)
0.100	1	Gentamycin USE gentamycin sulfate ^a	1.82
15.000	2	Petrolatum (white soft paraffin)	150.00
1.800	3	Polyoxyl 20 cetostearyl ether (cetomacrogol 1000)	18.00
7.200	4	Cetostearyl alcohol	72.00
0.100	5	Chlorocresol	1.00
6.000	6	Mineral oil (liquid paraffin)	60.00
0.300	7	Monobasic sodium phosphate	3.00
69.417	8	Purified water	694.17

^a Considering the potency of the gentamicin sulfate is 700 µg/mg (anhydrous basis) with 15.0% water content. Quantity of gentamicin sulfate per batch will vary according to the actual potency. Required quantity should be calculated as below. Quantity of gentamicin sulfate required per batch is based on potency.

MANUFACTURING DIRECTIONS

- Fat phase: Load items 2 to 6 in a fat-melting vessel. Heat to 70°C. Stir to melt. Hold the molten fat at 70°C while stirring at low speed in the fat-melting vessel.
- Aqueous phase: Set the mixer at temperature 70°C. Heat 608 g of item 8 to 70°C in mixer.
- Cream preparation: Transfer the molten fat at 70°C from step 1 into mixer through a stainless-steel filter while mixing at speed 10 rpm, vacuum 0.6 bar.
- When the transfer is over, start the homogenizer at low speed. Homogenize for 10 minutes with recirculation. Temperature, 65°C to 70°C.
- Stop the homogenizer, set the mixer at temperature 50°C, speed 10 rpm (manual mode), and vacuum 0.6 bar. Cool the cream to 50°C.
- Drug phase: Dissolve items 7 and 1 in 86.17 g of item 8 in a stainless-steel container while mixing with a stirrer. Hold the temperature at 50°C.
- Transfer the drug solution from step 4 to the cream phase in mixer at 50°C while mixing.

- Start the homogenizer at high speed, mixer speed 10 rpm. Mix and homogenize for 10 minutes under vacuum 0.6 bar.
- While homogenization is in progress, set the temperature at 25°C so that the cream temperature shall not increase. Continue mixing at 10 rpm under vacuum 0.6 bar until the temperature reaches 25°C.
- When the cream is cooled to 25°C, unload the cream in stainless-steel container and fill.

GENTAMICIN SULFATE OINTMENT

Each gram of ointment contains gentamicin sulfate USP (equivalent to 3 mg gentamicin) in a base of white petrolatum, with methyl paraben (0.5 mg) and propyl paraben (0.1 mg) as preservatives. Active ingredients are gentamicin sulfate equivalent to 0.3% gentamicin base, prednisolone acetate 0.6%, and the preservative (chloral derivative) 0.5%. Inactives are white petrolatum, mineral oil, petrolatum and lanolin alcohol, and purified water.

GENTAMICIN SULFATE OINTMENT**Bill of Materials**

Scale (g/100 g)	Item	Material Name	Qty/kg (g)
0.100	1	Gentamycin sulfate (100% excess)	2.00
0.400	2	Cetostearyl alcohol	4.00
0.100	3	Polyoxyl 20 cetostearyl ether (cetomacrogol 1000)	1.00
1.500	4	Mineral oil (liquid paraffin)	15.00
1.000	5	Mineral oil (liquid paraffin)	10.00
96.600	6	Petrolatum (white soft paraffin)	966.00
0.200	7	Purified water	2.00

MANUFACTURING DIRECTIONS

- Melt items 2, 3, and 5 at 70°C in a small container using water bath. Put the melt under homogenizer (keep homogenizer warm to avoid losses caused by sticking).
- Dissolve item 1 in item 7 and heat to 50°C in water bath. Add step 2 to step 1 and homogenize for 2 to 3 minutes using homogenizer. Maintain the temperature around 50°C.
- Load item 6 in a fat-melting vessel while stirring at 70°C. Transfer the molten mass through filter to mixer and cool it down to 50°C. Note that the mixer should be warmed before the transfer starts to avoid sticking on the wall. Add step 2 to the step 3 while stirring. Maintain temperature at around 50°C.

- Rinse the homogenizer with warm item 4 and transfer the rinsing to the mixer.
- Mix and homogenize for 10 minutes at low speed, mixer speed 10 to 12 rpm, vacuum 0.4 to 0.6 bar, and temperature 50°C.
- Cool the ointment to 30°C to 35°C with stirring under vacuum 0.4 to 0.6 bar.
- Transfer the ointment to stainless-steel drum and fill.

GLYCERIN SUPPOSITORIES

Bill of Materials			
Scale (mg/ suppository)	Item	Material Name	Qty/1000 Suppositories (g)
1800.00	1	Glycerin (glycerol)	1800.00
178.00	2	Sodium stearate	178.00
99.00	3	Purified water	99.00

MANUFACTURING DIRECTIONS

- The suppository mass is manufactured at a temperature of 120°C. Care must be taken to see that molten suppository mass does not accidentally spill on the person. The inside of the vessel should not be touched with a bare hand, as it is at a temperature of 120°C. Sodium stearate powder is light and fluffy. Avoid inhaling the dust.
- Load item 1 into the mixer and heat to 120°C ± 2°C while stirring at low speed.
- Load item 2 to the mixer containing item 1. Mix until complete solubilization occurs. Cool to 105°C ± 2°C.
- Add item 3 slowly to the mixer containing mass while stirring. Mix for 20 minutes. Immediately transfer the hot mass to the heated storage vessel or heated vessel of suppository filling machine.
- Check the temperature; it should be 105°C ± 2°C. Fill weight: 2077 mg/suppository.

GLYCERIN SUPPOSITORIES

Bill of Materials			
Scale (mg/ suppository)	Item	Material Name	Qty/1000 Suppositories (g)
900.00	1	Glycerin (glycerol) excess 0.06%	900.50
89.00	2	Sodium stearate	89.00
49.50	3	Purified water	49.50

MANUFACTURING DIRECTIONS

See above; fill weight: 1039 mg/suppository.

GLYCOLIC ACID CREAM

Bill of Materials			
Scale (g/100 g)	Item	Material Name	Qty/kg (g)
3.00	1	Polyoxyethylene (40) stearate	30.00
2.00	2	Polyoxyethylene (200) sorbitan monooleate	20.00
8.00	3	Glycerol monostearate	80.00
2.00	4	Lanolin	20.00
1.00	5	Mineral oil	10.00
49.00	6	Water purified	490.00
5.00	7	Propylene glycol	50.00
3.00	8	Sorbitol	30.00
1.00	9	Carbopol 940	10.00
10.00	10	Glycolic acid	100.00
16.00	11	Triisopropanolamine	160.00

MANUFACTURING DIRECTIONS

- Heat items 1 to 5 in a stainless-steel container to 80°C.
- In a separate container, heat items 6 to 9 to 80°C.
- Add step 2 to step 1 with agitation.
- After the mixture is congealed, add glycolic acid and triisopropanolamine.
- Continue agitation until a uniform consistency is obtained. The pH of the cream is 3.8.

GRAMICIDIN, NEOMYCIN, NYSTATIN, AND TRIAMCINOLONE OINTMENT

Bill of Materials			
Scale (g/100 g)	Item	Material Name	Qty/kg (g)
0.025	1	Gramicidin	0.025
10.00	2	Liquid paraffin	10.00
0.48	3	Neomycin sulfate	0.48
2.72	4	Nystatin micronized	2.72
1.00	5	Syncrowax	1.00
0.105	6	Triamcinolone acetone micronized	0.105
86.72	7	White soft paraffin	86.72

MANUFACTURING DIRECTIONS

- Charge items 5 and 7 in a melting vessel and heat to 70°C to melt. Transfer to Becomix through stainless-steel filters and cool to 40°C while mixing.
- Add items 2 (half quantity) and 4 to a separate vessel and disperse using a spatula. Homogenize twice with

fine-gap setting to make smooth dispersion and add this dispersion to step 1.

- Charge items 1, 2 (balance quantity), 3, and 6 in a separate stainless-steel vessel and homogenize to a smooth dispersion until there are no lumps.
- Transfer to step 2.
- Rinse homogenizer with liquid paraffin and add rinsings.
- Homogenize the final mixture under a vacuum of 0.4 to 6 bar at 10 rpm and set temperature to 28°C to 30°C.
- Mix until ointment is smooth, transfer to a stainless-steel vessel, and fill.

HALOBETASOL PROPIONATE CREAM AND OINTMENT

Each gram of cream contains 0.5 mg/g of halobetasol propionate in a cream base of cetyl alcohol, glycerin, isopropyl isostearate, isopropyl palmitate, steareth-21, diazolidinyl urea, methylchloroisothiazolinone, methylisothiazolinone, and water. Each gram of ointment contains 0.5 mg/g of halobetasol propionate in a base of aluminum stearate, beeswax, pentaerythritol cocoate, petrolatum, propylene glycol, sorbitan sesquioleate, and stearyl citrate.

HEMORRHOID CREAM

Bill of Materials			
Scale (mg/g)	Item	Material Name	Qty/kg (g)
20.00	1	Lanolin alcohol (Ivarlan 3310)	20.00
448.00	2	Petrolatum	448.00
450.00	3	Petrolatum amber	450.00
30.00	4	Shark liver oil	30.00
10.00	5	Live yeast cell derivative (Bodyne's TRF)	10.00
10.00	6	Deionized water	10.00
20.90	7	Lanolin	20.90
1.00	8	Thyme oil	1.00
0.10	9	Phenyl mercuric nitrate	0.10

MANUFACTURING DIRECTIONS

- Mix and heat items 1 to 4 to 70°C, cool to 50°C, and hold.
- Separately combine items 5 to 7 and heat to 40°C and mix until homogenous dispersion is achieved; with rapid mixing add this mixture to previous mixture. Mix again and cool to 40°C. Add items 8 and 9.
- Continue mixing while cooling to 35°C.

HEPARIN GEL CREAM

Bill of Materials			
Scale (g/100 g)	Item	Material Name	Qty/kg (g)
0.186	1	Heparin sodium	1.86
15.00	2	Lutrol E 400	150.00
10.00	3	Liquid paraffin	100.00
23.00	4	Lutrol F 127	230.00
QS	5	Water purified	QS to 1 kg

MANUFACTURING DIRECTIONS

- Dissolve heparin sodium in water. Add Lutrol E 400 and liquid paraffin.
- Stir and cool to 6°C. Add Lutrol F 127 slowly and stir until it is dissolved.
- Heat to room temperature when the air bubbles escape.

HEXACHLOROPHENE CREAM

Bill of Materials			
Scale (mg/g)	Item	Material Name	Qty/kg (g)
45.80	1	Olive oil, low acidity	45.80
45.00	2	Diglycol stearate S	45.00
5.00	3	Cetyl alcohol	5.00
5.00	4	Lanolin anhydrous	5.00
5.00	5	Petrolatum white	5.00
1.03	6	Polysorbate 40	1.03
5.00	7	Hexachlorophene	5.00
0.10	8	Simethicone	0.10
50.00	9	Glycerin	50.00
1.00	10	Methyl paraben	1.00
10.00	11	Sodium borate	10.00
1.30	12	Sodium lauryl sulfate	1.30
1.76	13	Perfume	1.76
2.00	14	Menthol	2.00
14.02	15	Alcohol	14.02
QS	16	Water purified	779.0 mL

MANUFACTURING DIRECTIONS

- Strain olive oil through voile cloth or equivalent into a suitable stainless-steel jacketed tank.
- Add diglycol stearate. While heating oil–stearate mix, add cetyl alcohol, lanolin, petrolatum, and polysorbate 40 with mixing. Mix until all are dissolved and temperature of mixture reaches 65°C to 70°C.
- Add and dissolve hexachlorophene in the oil mix, then add and disperse the simethicone.

4. Start heating another jacketed tank as 820 mL of purified water is added to it. Add and dissolve glycerin, methyl paraben, and borax as purified water is added and as solution is heated to 65°C to 70°C.
5. Stop mixer, add sodium lauryl sulfate, and continue mixing under vacuum.
6. Reserve 4 mL of solution from step 5 in a separate container to rinse equipment in step 2.
7. While both solutions are at 65°C to 70°C, form the primary emulsion by pumping the aqueous solution from step 5 into the oil mixture from step 3 and QS to 200 mL with vigorous agitation.
8. Homogenize primary emulsion through a Troy Mill, or similar device, into the balance of aqueous solution, mixing continually under vacuum. Rinse pump, mill, tank, and lines with reserved solution from step 6. Note that the primary emulsion should be strained through voile cloth or equivalent before being run through the Troy mill.
9. Cool emulsion to 40°C to 50°C with agitation under vacuum.
10. Dissolve perfume and menthol in the alcohol and add.
11. Using purified water, QS to 1 liter.
12. Continue mixing and cooling to 25°C.
13. Fill.

HYDROCORTISONE ACETATE AND PRAMOXINE HYDROCHLORIDE CREAM AND LOTION

The cream contains hydrocortisone acetate, 1% or 2.5%, and pramoxine HCl, 1%, in a hydrophilic cream base containing stearic acid, cetyl alcohol, Aquaphor, isopropyl palmitate, polyoxyl-40 stearate, propylene glycol, potassium sorbate, sorbic acid, triethanolamine lauryl sulfate, and water. The lotion 2.5% contains hydrocortisone acetate, 2.5%, and pramoxine hydrochloride, 1%, in a hydrophilic lotion base containing stearic acid, cetyl alcohol, forlan-L, glycerin, triethanolamine, polyoxyl 40 stearate, diisopropyl adipate, povidone, silicone, potassium sorbate, sorbic acid, and purified water. Topical corticosteroids are anti-inflammatory and antipruritic agents. Other formulations include cream, which contains hydrocortisone acetate, 1% or 2.5%, and pramoxine HCl, 1%, in a hydrophilic base containing stearic acid, cetyl alcohol, Aquaphor, isopropyl palmitate, polyoxyl 40 stearate, propylene glycol, potassium sorbate, sorbic acid, triethanolamine lauryl sulfate, and water; lotion, which contains hydrocortisone acetate, 1% or 2.5%, and pramoxine HCl, 1%, in a base containing forlan-L, cetyl alcohol, stearic acid, diisopropyl adipate, polyoxyl 40 stearate, silicone, triethanolamine, glycerin, polyvinylpyrrolidone, potassium sorbate, sorbic acid, and water; and ointment, which contains hydrocortisone

acetate, 1% or 2.5%, and pramoxine HCl, 1%, in an emollient ointment base containing sorbitan sesquioleate, water, Aquaphor, and white petrolatum.

HYDROCORTISONE ACETATE SUPPOSITORIES

Each Anusol-HC 25 mg suppository contains 25 mg hydrocortisone acetate in a hydrogenated cocoglyceride base.

HYDROCORTISONE AND NITROFURAZONE CREAM

Bill of Materials

Scale (g/100 g)	Item	Material Name	Qty/kg (g)
0.20	1	Nitrofurazone, 4% excess	2.08
1.00	2	Hydrocortisone acetate, 5% excess	10.50
7.20	3	Cetostearyl alcohol	72.00
1.80	4	Cetomacrogol 1000	18.00
6.00	5	Liquid paraffin	60.00
15.00	6	White soft paraffin	150.00
1.00	7	Propylene glycol	10.00
0.020	8	Chlorocresol	0.20
69.00	9	Water purified	690.00

MANUFACTURING DIRECTIONS

1. Place items 3, 4, 5 (90%), and 6 in a melting vessel after passing through stainless-steel sieve and heat to melt.
2. In a separate vessel, heat two-thirds of item 9 to 50°C and dissolve item 8 in it. Add to step 1.
3. Add and mix item 1 with item 5 (balance) and add to step 2.
4. Dissolve item 2 in balance of item 9 and a portion of item 5 in a separate vessel and homogenize. Add to step 3 with stirring. Mix for several hours.
5. Fill.

HYDROCORTISONE BUTYRATE CREAM AND OINTMENT

The cream, ointment, and topical solution contain the topical corticosteroid hydrocortisone butyrate. Each gram of cream contains 1 mg hydrocortisone butyrate in a hydrophilic base consisting of cetostearyl alcohol, ceteth-20, mineral oil, white petrolatum, citric acid, sodium citrate, propyl paraben and butylparaben (preservatives), and purified water. Each gram of ointment contains 1 mg of hydrocortisone butyrate in a base consisting of mineral oil and polyethylene.

HYDROCORTISONE CREAM

Bill of Materials			
Scale (g/100 g)	Item	Material Name	Qty/kg (g)
1.000	1	Hydrocortisone, micronized (3% excess)	10.30
6.000	2	Propylene glycol	60.00
0.100	3	Chlorocresol	1.00
5.000	4	Mineral oil (liquid paraffin)	50.00
2.000	5	Polyoxyl 20 cetostearyl ether (cetomacrogol 1000)	20.00
8.000	6	Cetostearyl alcohol	80.00
18.000	7	Petrolatum (white soft paraffin)	180.00
0.290	8	Monobasic sodium phosphate	2.90
0.035	9	Propyl paraben	0.35
0.100	10	Methyl paraben	1.00
59.600	11	Purified water	596.00

MANUFACTURING DIRECTIONS

1. Load 10 g of item 5 and items 4, 6, and 7 in a fat-melting vessel.
2. Heat to 70°C to 75°C while stirring. Cool down the temperature to 65°C.
3. Maintain temperature at 65°C to 70°C.
4. Heat item 11 to 90°C in mixer. Dissolve items 9 and 10 to a clear solution by stirring. Cool down the temperature to 65°C. Maintain temperature at 65°C to 70°C.
5. Add 10 g of item 5 and items 3 and 8 to the parabens solution to dissolve.
6. Mix for 10 to 15 minutes. Maintain temperature at 65°C to 70°C.
7. Transfer the oil phase to the aqueous phase in a mixer vessel through mesh by vacuum while stirring at manual mode, 10 rpm, temperature 60°C.
8. Homogenize at high speed, temperature 60°C, vacuum 0.4 bar, 10 minutes.
9. Cool down temperature to 45°C. Mix item 1 in 48g of item 2 in a separate container at 45°C using homogenizer to make slurry.
10. Add to the dispersed phase while mixing at 10 rpm and temperature 45°C.
11. Rinse the container with 12 g of item 2 and add to the dispersed phase.
12. Mix and homogenize under vacuum 0.4 bar for 10 minutes, low speed, 10 rpm, temperature 45°C.
13. Cool down the temperature to 30°C while mixing at 10 rpm, auto mode, under vacuum 0.4 bar.

HYDROCORTISONE CREAM

Bill of Materials			
Scale (mg/g)	Item	Material Name	Qty/kg (g)
70.00	1	Cetyl stearyl alcohol	70.00
15.00	2	Cremophor A 6	15.00
15.00	3	Cremophor A 25	15.00
120.00	4	Liquid paraffin	120.00
2.00	5	Paraben	2.00
688.00	6	Water	688.00
80.00	7	Propylene glycol	80.00
10.00	8	Hydrocortisone	10.00

MANUFACTURING DIRECTIONS

1. Heat the mixture of items 1 to 5 and the water separately to approximately 80°C.
2. Add the water to the obtained solution of items 1 to 5 with rigorous stirring.
3. Heat items 7 and 8 until the active ingredient is dissolved, mix with product of step 2, and continue to stir during cooling to room temperature. White cream.

HYDROCORTISONE CREAM

Bill of Materials			
Scale (mg/100 g)	Item	Material Name	Qty/kg (g)
1.00	1	Hydrocortisone, micronized	10.00
6.00	2	Propylene glycol	60.00
0.10	3	Chlorocresol	1.00
5.00	4	Liquid paraffin	5.00
2.00	5	Cetomacrogol 1000	20.00
8.00	6	Cetostearyl alcohol	80.00
18.00	7	Soft white paraffin	180.00
0.29	8	Sodium phosphate monobasic	2.90
0.035	9	Propyl paraben	0.35
0.10	10	Methyl paraben	1.00
59.60	11	Deionized water	596.00

MANUFACTURING DIRECTIONS

1. Load items 4 to 7 in a fat-melting vessel (the oily phase; use only half of item 5) and heat to 70°C to 75°C while stirring.
2. Cool down temperature to 65°C and maintain within the range of 65°C to 70°C.
3. In a Becomix vessel, heat item 11 to 90°C.
4. Add and dissolve items 9 and 10 in step 3. Cool down to 65°C and maintain temperature between 65°C and 70°C.

5. Add item 3, balance of item 5, and item 8 and dissolve by mixing for 10 to 15 minutes at 65°C to 70°C.
6. Transfer the oil phase from step 2 into step 5 through vacuum transfer while stirring at manual 10 rpm and temperature of 60°C.
7. Homogenize at speed II at 60°C and vacuum of 0.4 bar for 10 minutes. Cool down to 45°C.
8. In a separate vessel, place items 1 and 2 at 45°C using Ultra-Turrax homogenizer to make a slurry.
9. Add step 8 into step 7 at 10 rpm and 45°C. Rinse container with item 2 and add to mix for 10 minutes at speed II.
10. Cool down to 30°C while mixing at 10 rpm auto mode and under vacuum of 0.4 bar.
11. Fill appropriate quantity into collapsible tubes.

HYDROCORTISONE CREAM AND OINTMENT

For the 1% cream, the inactive ingredients are aloe vera, benzyl alcohol, cetareth-20, cetearyl alcohol, cetyl palmitate, glycerin, isopropyl myristate, isostearyl neopentanoate, methyl paraben, and purified water. For the 1% ointment, they are butylparaben, cholesterol, methyl paraben, microcrystalline wax, mineral oil, and white petrolatum. The 0.5% cream includes aloe vera, butylparaben, cetyl palmitate, glyceryl stearate, methyl paraben, polyethylene glycol, stearamido ethyl diethylamine, and purified water. The intensive therapy cream includes cetyl alcohol, citric acid, glyceryl stearate, isopropyl myristate, methyl paraben, polyoxyl 40 stearate, polysorbate 60, propylene glycol, propyl paraben, purified water, sodium citrate, sorbic acid, sorbitan monostearate, stearyl alcohol, and white wax. Another formulation of cream with aloe contains the active ingredient hydrocortisone 1% and the inactive ingredients aloe barbadensis gel, aluminum sulfate, calcium acetate, cetearyl alcohol, glycerin, light mineral oil, maltodextrin, methyl paraben, potato dextrin, propyl paraben, purified water, sodium cetearyl sulfate, sodium lauryl sulfate, white petrolatum, and white wax. Hydrocortisone 0.5% ointment comprises active ingredient hydrocortisone, 0.5%, and inactive ingredients aloe barbadensis extract and white petrolatum. Hydrocortisone 0.5% cream includes aloe barbadensis gel, aluminum sulfate, calcium acetate, cetearyl alcohol, glycerin, light mineral oil, maltodextrin, methyl paraben, potato dextrin, propyl paraben, purified water, sodium cetearyl sulfate, sodium lauryl sulfate, white petrolatum, and white wax.

HYDROCORTISONE GEL

Bill of Materials

Scale (mg/g)	Item	Material Name	Qty/kg (g)
10.00	1	Hydrocortisone acetate	10.00
100.00	2	Lutrol E 400	100.00
50.00	3	Cremophor RH 40	50.00
5.00	4	Carbopol 940 (Goodrich)	5.00
495.00	5	Water	495.00
QS	6	Preservative	QS
260.00	7	Water	260.00
8.00	8	Triethanolamine	8.00
QS	9	Water	7.20

MANUFACTURING DIRECTIONS

1. Suspend item 1 in a mixture of items 2 and 3 at 70°C that contains item 6.
2. Add item 8 and continue to stir until the gel is cooled to room temperature.

HYDROCORTISONE GEL

Bill of Materials

Scale (mg/g)	Item	Material Name	Qty/kg (g)
10.00	1	Hydrocortisone acetate	10.00
150.00	2	Cremophor A 25	150.00
20.00	3	Cremophor RH 40	20.00
QS	4	Preservative	QS
640.00	5	Water	640.00

MANUFACTURING DIRECTIONS

1. Suspend item 1 in the mixture of items 2 and 3 at 70°C.
2. Prepare solution of item 4, heat item 5 to 70°C and add slowly to the hot mixture item 4.
3. Continue to stir until the gel is cool (clear, colorless gel).

HYDROCORTISONE GEL

Bill of Materials

Scale (mg/g)	Item	Material Name	Qty/kg (g)
5.00	1	Hydrocortisone acetate	5.00
60.00	2	Cremophor RH 40	60.00
9.00	3	Triethanolamine	9.00
76.00	4	Water	76.00
600.00	5	Ethanol 96%	600.00
5.00	6	Carbopol 940 (Goodrich)	5.00
245.00	7	Water	245.00

MANUFACTURING DIRECTIONS

1. Prepare solution of items 6 and 7 and mix slowly with solution of items 1 to 5.
2. Form a clear, colorless gel.

HYDROCORTISONE OINTMENT**Bill of Materials**

Scale (mg/100 g)	Item	Material Name	Qty/kg (g)
1.00	1	Hydrocortisone micronized 6% excess	10.60
91.50	2	White soft paraffin	915.00
7.00	3	Liquid paraffin	70.00
0.50	4	Sorbitan sesquioleate (Arlacel 83)	5.00

MANUFACTURING DIRECTIONS

1. Charge items 2 and 4 in a melting vessel and melt at 75°C.
2. Transfer to preheated Becomix at 75°C through stainless-steel mesh under 0.4 to 0.6 bar vacuum.
3. Start mixing at 10 rpm manual mode. Cool to 50°C.
4. In a separate vessel, disperse item 1 in item 3, using a spatula, in a water bath maintained at 60°C. Homogenize for 6 minutes using Ultra-Turrax homogenizer.
5. Add to step 3 while mixing.
6. Rinse with item 3 and add and mix.
7. Homogenize dispersion under vacuum at 0.4 to 0.6 bar at 10 rpm.
8. Cool down to 30°C while mixing.
9. Transfer to storage vessel.
10. Fill appropriate quantity at a suitable temperature.

HYDROGEN PEROXIDE AND CARBAMIDE PEROXIDE BLEACHING ORAL DENTIFRICE**MANUFACTURING DIRECTIONS**

1. Gel composition as weight percent contains sodium fluoride, 0.32 (0.14 w/v fluoride ion); Carbopol 974 P-NF, 1.25; sorbitol (70% soln), 10.00; glycerin, 10.00; carbamide peroxide, 14.00; sodium lauryl sulfate, 1.50; sodium saccharine, 0.20; flavor, 1.25; FD&C yellow 5, 0.15; FD&C red 40, 0.05; water purified, 29.60.
2. Paste composition in weight percent contains sodium fluoride, 0.32; hydrogen peroxide (50% solution), 10.00; Carbopol 943, 0.51; sorbitol (70% soln), 5.18; glycerin, 5.18; sodium lauryl sulfate, 1.50; sodium saccharine, 0.20; flavor, 1.25; polytetrafluoroethylene (Teflon), 52.00; water purified, 29.86.

3. Both phases (steps 1 and 2) are neutralized to a pH of approximately 5.5 and 6.5 with freshly prepared 10% sodium hydroxide and the stripe composition to the main composition is approximately 15:100.
4. The above hydrogen peroxide/carbamide peroxide blend composition is effective and stable when used topically for bleaching tooth surfaces.
5. When extruded from the tube container, the gel composition will be in the form of one or more stripes enclosed in the periphery of the toothpaste surrounded by the paste composition.
6. The gel and the paste composition must be of sufficiently heavy viscosities to prevent migration (bleeding) of the colored gel into the white paste composition.

HYDROGEN PEROXIDE BLEACHING DENTIFRICE PASTE**MANUFACTURING DIRECTIONS**

1. To 50 g purified water, add 1.5 g of emulsifier Carbopol 934/polyvinylpyrrolidone in 75:25 ratio and dissolve with gradual stirring.
2. To the mixture, add 20 mL of hydrogen peroxide (50%) and mix for additional 5 to 10 minutes.
3. Then adjust the acid composition between pH 5.5 and 6.5 with 10% NaOH.
4. The composition thickens to a gel and set aside.
5. In a separate vessel, add 210 g of methyl methacrylate crosspolymer GMX-0610 obtained from Perspore Corp.
6. In another separate vessel, continuous phase of the invention is prepared comprising the following ingredients: Weight% sodium fluoride, 1.05; propylene glycol, 24.10; sodium lauryl sulfate, 5.04; water, 43.40; vinyl pyrrolidone/acrylic acid*, 1.02; hydroxyethyl cellulose, 2.01; glycerin, 18.85; sodium saccharine, 0.47; flavor, 2.76; sodium benzoate, 0.55; benzoic acid, 0.06; sodium EDTA, 0.14; sodium hydroxide, (10% solution) 0.55*; dry blend copolymer containing 25% vinyl pyrrolidone and 75% Carbopol.
7. The vinyl pyrrolidone in the mixture delays the solubility of the emulsion further than Carbopol alone.
8. After the bleaching composition (step 1) has been prepared to desired consistency, add 50 g of this composition to 50 g of the water insoluble abrasive suspension (step 2) and disperse the intimate mixture of the two immiscible phases in each other and then, with the aid of the colloidal mill, agitate until extremely fine homogeneous dispersion is obtained.
9. Then add 100 g of the dispersion so obtained to 50 g of the continuous phase (step 3) and mix the two phases in a colloidal mill, and the resultant composition comprises the discontinuous phases (step 1) dispersed homogeneously throughout the continuous phase (step 2) and (step 3) of the present invention.
10. The final formulation is as follows expressed as weight in percentage: purified water, 15.75; methyl

methacrylate crosspolymer GMX-0610, 53.71; hydrogen peroxide, 10.00; Carbopol 934, 0.37; hydroxyethyl cellulose, 0.73; sodium fluoride, 0.38 (0.17% F ions); sodium lauryl sulfate, 1.83; propylene glycol, 8.75; glycerin, 6.84; sodium saccharine, 0.17; sodium benzoate, 0.20; benzoic acid, 0.02; sodium EDTA, 0.05; flavor, 1.00; sodium hydroxide (10%) QS pH 6.5, 0.20.

11. Carbopol in this composition sufficiently retards the dissolution of the emulsified hydrogen peroxide to allow the abrasive agent methyl methacrylate crosspolymer GMX-0610 to remove the dental plaque and pellicles from the enamel surface and thus allow the bleaching active hydrogen peroxide to diffuse through the plaque-free enamel with ease.

HYDROGEN PEROXIDE OINTMENT

Bill of Materials

Scale (g/100 g)	Item	Material Name	Qty/kg (g)
5.00	1	Liquid paraffin	50.00
10.00	2	White paraffin	100.00
30.00	3	Glycerin	300.00
8.00	4	Cetostearyl alcohol	80.00
0.30	5	Methyl paraben	3.00
3.60	6	Polyoxyethylene sorbitan monostearate	36.00
2.00	7	Glyceryl monostearate	20.00
QS	8	Water purified	QS to 1 kg
8.00	9	Hydrogen peroxide ^a	80.00

^a Hydrogen peroxide, at different strengths, is used as an anti-infective in the oral cavity or topically for minor wounds.

MANUFACTURING DIRECTIONS

1. Preparation of water phase:
 - a. Charge purified water, polysorbate 60, and glycerin with agitation in a melting kettle.
 - b. Heat the contents to 61°C to 65°C.
 - c. Add methyl paraben and mix the composition to dissolve while maintaining temperature.
2. Preparation of oil phase:
 - a. In a suitable vessel, place liquid paraffin, cetostearyl alcohol, white petrolatum, glycerol monostearate, and white beeswax and mix continuously while heating to 71°C to 75°C.
3. Mixing of phases:
 - a. Transfer the mixture of step 2 to the step 1 kettle, with the water phase maintained under 300-mbar vacuum.
 - b. Add hydrogen peroxide and dissolve.
 - c. With mixing and keeping the temperature at 61°C to 65°C, draw the oil phase into the water phase.
 - d. Mix for 15 minutes with agitation and vacuum at 300 mbar and 61°C to 65°C.

- e. While mixing and under vacuum, allow the mixture to cool gradually to room temperature.
4. Fill in appropriate container.

HYDROPHILIC OINTMENT USP

Bill of Materials

Scale (g/100 g)	Item	Material Name	Qty/kg (g)
0.0250	1	Methyl paraben	0.250
0.015	2	Propyl paraben	0.15
1.00	3	Sodium lauryl sulfate	10.00
12.00	4	Propylene glycol	120.00
25.00	5	Stearyl alcohol	250.00
25.00	6	White petrolatum	250.00
37.00	7	Water purified	370.00

MANUFACTURING DIRECTIONS

1. Melt the stearyl alcohol and the white petrolatum on a steam bath and warm to approximately 75°C.
2. Dissolve the other ingredients in the purified water and warm to approximately 75°C.
3. Mix all ingredients together and stir until the mixture congeals.

HYDROQUINONE CREAM

Bill of Materials

Scale (g/100 g)	Item	Material Name	Qty/kg (g)
1.50	1	Ascorbyl palmitate	15.00
1.00	2	Tocopherol acetate	10.00
2.00	3	Linoleic acid	20.00
3.00	4	Safflower oil	30.00
4.00	5	Oleyl alcohol	40.00
1.00	6	Jobba oil	10.00
8.00	7	SDA 40 anhydrous alcohol	80.00
0.50	8	Benzyl alcohol	5.00
0.50	9	Butylated hydroxyanisole	5.00
0.15	10	Sodium bisulfite	1.50
3.00	11	Petrolatum	30.00
5.00	12	PEG-4 diheptanoate	50.00
4.00	13	Glyceryl stearate SE	40.00
1.80	14	Cetyl alcohol	18.00
2.00	15	Polyacrylamide and C13-14 isoparaffin and laureth-7	20.00
0.20	16	Hydroxyethyl cellulose	2.00
QS	17	Water purified	QS
4.00	18	Hydroquinone	40.00
QS	19	Fragrance	QS

MANUFACTURING DIRECTIONS

1. Charge linoleic acid, safflower oil, jojoba oil, petrolatum, behenyl erucate, and cetyl alcohol and heat to 70°C.
2. Add tocopherol to above just before adding the rest of the ingredients (see below).
3. Heat item 15 to 70°C and add and dissolve item 18. Add and disperse item 16.
4. In a separate vessel, add item 1 and BHA and heat to 45°C. Dissolve items 5, 7, and 8 and heat to 45°C. Add sodium bisulfite. Stir to dissolve.
5. Add step 2 to step 1 in a homogenizer and then during homogenization add step 4 and also add tocopherol. Homogenize well.
6. Add items 18 and 19 and mix well. Cool to 35°C and fill.

HYDROQUINONE CREAM AND GEL

Each gram of 4% cream contains 40 mg of hydroquinone USP in a vanishing cream base of purified water USP, stearic acid NF, propylene glycol USP, polyoxyl 40 stearate NF, polyoxyethylene (25) propylene glycol stearate, glycerol monostearate, light mineral oil NF, squalane NF, propyl paraben NF, and sodium metabisulfite NF. The sunblocking 4% cream contains 40 mg hydroquinone USP in a tinted sunblocking-cream base of purified water USP, stearic acid NF, talc USP, polyoxyl 40 stearate NF, polyoxyethylene (25) propylene glycol stearate, propylene glycol USP, glycerol monostearate, iron oxides, light mineral oil NF, squalane NF, edetate disodium USP, sodium metabisulfite NF, and potassium sorbate NF. In another formulation, each gram of 4% cream contains 40 mg hydroquinone USP, 80 mg padimate O USP, 30 mg dioxybenzone USP, and 20 mg oxybenzone USP in a vanishing cream base of purified water USP, glycerol monostearate and polyoxyethylene stearate, ootylododecyl stearyl stearate, glyceryl dilaurate, quaternium-26, cetearyl alcohol and cetareth-20, stearyl alcohol NF, propylene glycol USP, diethylaminoethyl stearate, polydimethylsiloxane, polysorbate 80 NF, lactic acid USP, ascorbic acid USP, hydroxyethyl cellulose, quaternium-14 and myristalkonium chloride, edetate disodium USP, and sodium metabisulfite NF. Each gram of 4% gel contains 40 mg hydroquinone USP, 50 mg padimate O USP, and 30 mg dioxybenzone USP in a hydroalcoholic base of alcohol USP, purified water USP, propylene glycol USP, entprol, carbomer 940, edetate disodium USP, and sodium metabisulfite NF.

HYDROQUINONE GEL**Bill of Materials**

Scale (g/100 g)	Item	Material Name	Qty/kg (g)
1.50	1	Ascorbyl palmitate	15.00
1.00	2	Tocopherol acetate	10.00
4.00	3	Linoleic acid	40.00
17.75	4	Safflower oil	177.50
12.00	5	Oleyl alcohol	120.00
12.00	6	SDA 40 anhydrous alcohol	120.00
0.50	7	Benzyl alcohol	5.00
0.50	8	Butylated hydroxyanisole	5.00
16.60	9	Cyclomethicone	166.00
0.15	10	Sodium bisulfite	1.50
2.00	11	Sorbitol laurate	20.00
5.00	12	C18-C36 acid glyco ester	50.00
5.00	13	Tribehenin	50.00
7.50	14	Petrolatum	75.00
15.00	15	Behenyl erucate	150.00
4.00	16	Hydroquinone	40.00
QS	17	Fragrance	QS

MANUFACTURING DIRECTIONS

1. Place ascorbyl palmitate and butylated hydroxyanisole in a suitable vessel and dissolve in oleyl alcohol, SDA anhydrous alcohol, and benzyl alcohol. Heat to 45°C.
1. Add sodium bisulfite and mix while keeping it covered. Keep it aside.
3. In a separate vessel, place items 11 to 16 and heat to 70°C.
4. Cool to 55°C and then add tocopherol acetate, linoleic acid, and safflower oil.
5. Add step 4 into step 2 while mixing to minimize air entrapment.
6. Add item 16 and mix well. Add item 17 and mix well.
7. Cool to 30°C and fill.

IBUPROFEN AND DOMPERIDONE MALEATE SUPPOSITORY**Bill of Materials**

Scale (mg/suppository)	Item	Material Name	Qty/1000 Suppositories (g)
22.50	1	Domperidone maleate	22.50
600.00	2	Ibuprofen	600.00
120.00	3	Polysorbate 60	120.00
1800.00	4	Witepsol H 185	1800.00

MANUFACTURING DIRECTIONS

1. Disperse the polysorbate in the molten Witepsol; then add the ibuprofen and domperidone.
2. Then inject the mixture into molds to produce a suppository shape and cool to ambient temperature.
3. The suppository contains 600 mg ibuprofen and 22.5 mg domperidone maleate.

IBUPROFEN CREAM**Bill of Materials**

Scale (g/100 g)	Item	Material Name	Qty/kg (g)
5.00	1	Ibuprofen	50.00
24.00	2	Glyceryl stearate and PEG-75 stearate (Gelot 64)	240.00
5.00	3	Labrafil M 1944	50.00
3.00	4	Octyldodecyl myristate	30.00
0.07	5	Sodium methyl paraben	0.70
0.03	6	Sorbic acid	0.30
1.00	7	Stearic acid	10.00
15.00	8	Ethoxydiglycol (Transcutol)	150.00
0.150	9	Lavender oil	1.50
46.75	10	Water purified	467.50

MANUFACTURING DIRECTIONS

1. Place item 9 in Becomix and heat to 80°C. Add items 2 to 7 one by one and mix for 20 minutes.
2. Homogenize at speed I under vacuum. Cool to 25°C.
3. In a separate container, place items 1, 8, and 9. Dissolve and filter through polyester filter.
4. Add step 3 into step 2.
5. Mix well and fill.

IBUPROFEN GEL**Bill of Materials**

Scale (g/100 g)	Item	Material Name	Qty/kg (g)
5.00	1	Ibuprofen	50.00
10.00	2	Alcohol	100.00
20.00	3	Propylene glycol	200.00
22.00	4	Lutrol F 127	220.00
QS	5	Preservatives	QS
43.00	6	Water purified	430.00

MANUFACTURING DIRECTIONS

1. Heat solution of items 1 to 3 to 70°C to 80°C.
2. Dissolve item 4 and cool.

3. Add solution of item 5.
4. Fill.

IBUPROFEN GEL**Bill of Materials**

Scale (g/100 g)	Item	Material Name	Qty/kg (g)
5.00	1	Ibuprofen	50.00
10.00	2	Alcohol	100.00
10.00	3	Propylene glycol	100.00
15.00	4	Lutrol F 127	150.00
1.00	5	Isopropyl myristate	10.00
QS	6	Preservatives	QS
59.00	7	Water purified	590.00

MANUFACTURING DIRECTIONS

The addition of item 5 to the formulation makes the product less sticky and is preferred.

1. Heat solution of items 1 to 3 to 70°C to 80°C.
2. Dissolve items 4 and 5 and cool. Add solution of item 6.
3. Fill.

IBUPROFEN GEL**Bill of Materials**

Scale (g/100 g)	Item	Material Name	Qty/kg (g)
5.00	1	Ibuprofen	51.00
27.35	2	Propylene glycol	273.50
10.00	3	Isopropyl alcohol	100.00
5.00	4	Isopropyl alcohol	50.00
0.10	5	Potassium sorbate	1.00
2.50	6	Carbopol 940	25.00
0.20	7	Sodium methyl paraben	2.00
0.0025	8	FD&C red No. 40	0.025
22.50	9	Ethoxydiglycol (Transcutol)	225.00
0.150	10	Lavender oil	1.50
27.09	11	Water purified	270.90

MANUFACTURING DIRECTIONS

1. Place and mix items 2,3, and 11 in a stainless-steel vessel.
1. Add and dissolve item 5 in step 1 by stirring.
2. Add and dissolve item 6 in step 1 after passing through a stainless-steel sieve.
3. Mix and homogenize suspension.

4. Dissolve item 7 in item 11 and add to step 4.
6. Add and dissolve item 8 in item 11 separately and add to step 5.
7. Place item 2 in a separate vessel, dissolve, and add to step 7.
8. Combine items 9 and 10 in a separate container, mix, and transfer to step 8.
9. Mix thoroughly, transfer to storage vessel, and fill.

IBUPROFEN GEL CREAM

Bill of Materials

Scale (g/100 g)	Item	Material Name	Qty/kg (g)
5.00	1	Ibuprofen	50.00
12.00	2	Propylene glycol	120.00
12.00	3	Isopropyl alcohol	120.00
12.00	4	Lutrol F 127	120.00
44.00	5	Water purified	440.00
15.00	6	Nonionic hydrophilic cream: DAB 1996	150.00

IBUPROFEN GEL CREAM

Bill of Materials

Scale (g/100 g)	Item	Material Name	Qty/kg (g)
5.00	1	Polysorbate 60	50.00
10.00	2	Cetyl stearyl alcohol	100.00
10.00	3	Glycerin	100.00
25.00	4	White petrolatum	250.00
50.00	5	Water purified	500.00

MANUFACTURING DIRECTIONS

1. Prepare solution of items 1 to 3 and cool to approximately 8°C. Dissolve item 4 in items 5 and 6.
2. Maintain cool until the air bubbles escape.

IBUPROFEN GEL CREAM

Bill of Materials

Scale (g/100 g)	Item	Material Name	Qty/kg (g)
5.00	1	Ibuprofen	50.00
24.00	2	Glyceryl stearate and PEG-75 stearate (Gelot 64)	240.00
5.00	3	Labrafil M 1944	50.00
3.00	4	Octyldodecyl myristate	30.00
0.07	5	Sodium methyl paraben	0.70
0.03	6	Sorbic acid	0.30
1.00	7	Stearic acid	10.00
15.00	8	Ethoxydiglycol (Transcutol)	150.00
0.150	9	Lavender oil	1.50
46.75	10	Water purified	467.50

MANUFACTURING DIRECTIONS

1. Place item 9 in Becomix and heat to 80°C. Add items 2 to 7 one by one and mix for 20 minutes.
2. Homogenize at speed I under vacuum. Cool to 25°C.
3. In a separate container, combine items 1, 8, and 9; dissolve and filter through polyester filter.
4. Add step 3 into step 2. Mix well and fill.

IMIQUIMOD CREAM

Each gram of the 5% cream contains 50 mg of imiquimod in an off-white oil-in-water vanishing cream base consisting of isostearic acid, cetyl alcohol, stearyl alcohol, white petrolatum, polysorbate 60, sorbitan monostearate, glycerin, xanthan gum, purified water, benzyl alcohol, methyl paraben, and propyl paraben.

INDOMETHACIN GEL

Bill of Materials

Scale (g/100 g)	Item	Material Name	Qty/kg (g)
1.00	1	Indomethacin	10.00
10.00	2	Cremophor RH 40	100.00
15.00	3	Lutrol F 127	150.00
74.00	4	Water purified	740.00

MANUFACTURING DIRECTIONS

1. Dissolve indomethacin in Cremophor RH 40 at 60°C to 70°C.
2. Add the water slowly (60–70°C), stir the mixture well, and dissolve Lutrol F 127.
3. Cool to room temperature.
4. Fill.

INDOMETHACIN GEL

Bill of Materials			
Scale (g/100 g)	Item	Material Name	Qty/kg (g)
1.00	1	Indomethacin	10.00
20.00	2	Propylene glycol	200.00
20.00	3	Lutrol E 400	200.00
21.00	4	Lutrol F 127	210.00
38.00	5	Water purified	380.00

MANUFACTURING DIRECTIONS

1. Heat solution of items 1 to 3 to approximately 70°C.
2. Dissolve item 4 with stirring for approximately 30 minutes.
3. Add and mix item 5 and cool to form a yellow gel.
4. Fill.

INDOMETHACIN GEL

Bill of Materials			
Scale (g/100 g)	Item	Material Name	Qty/kg (g)
1.00	1	Indomethacin	10.00
15.00	2	Alcohol	150.00
22.00	3	Lutrol E 400	220.00
23.00	4	Lutrol F 127	230.00
39.00	5	Water purified	390.00

INDOMETHACIN SUPPOSITORIES

The suppositories for rectal use contain 50 mg of indomethacin and the following inactive ingredients: Butylated hydroxyanisole, butylated hydroxytoluene, edetic acid, glycerin, polyethylene glycol 3350, polyethylene glycol 8000, and sodium chloride.

Bill of Materials			
Scale (mg/suppository)	Item	Material Name	Qty/1000 Suppositories (g)
100.00	1	Indomethacin	100.00
0.082	2	Butylated hydroxyanisole	0.082
0.082	3	Butylated hydroxytoluene	0.082
0.163	4	Edetic acid	0.163
128.00	5	Glycerin	128.00
128.00	6	Polyethylene glycol 6000	128.00
1630.00	7	Polyethylene glycol 4000	1630.00

MANUFACTURING DIRECTIONS

1. Charge the polyethylene glycol 6000, polyethylene glycol 4000 (16.3 kg), and glycerol to the Becomix machine.
2. Heat to 70°C to melt, stir until homogenous, and cool to 60°C to 65°C.
3. Maintain temperature at 60°C to 65°C. Apply a head of nitrogen gas to hopper, then add the parabens to the hopper.
4. Stir until dissolved.
5. Charge indomethacin slowly to hopper while stirring. Stir until completely dissolved. A clear yellow melt is produced.
6. Charge edetic acid to the hopper and stir for 15 minutes to disperse it (material does not dissolve), then cool to 55°C to 60°C.
7. Stir the mixture for 30 minutes, maintaining the temperature at 55°C to 60°C, then commence filling into molds at filling limits 1.581 g to 1.679 g.

INDOMETHACIN SUPPOSITORIES

Bill of Materials			
Scale (mg/suppository)	Item	Material Name	Qty/1000 Suppositories (g)
50.00	1	Indomethacin	50.00
8.30 l/g	2	Butyl hydroxytoluene	8.30 mg
141.00	3	Lutrol E 4000	141.00
14.00	4	Lutrol E 6000	14.00
16.30 l/g	5	EDTA	16.30 mg
3.00	6	Water purified	3.00

MANUFACTURING DIRECTIONS

1. Prepare solution of items 5 and 6.
2. Mix with the melted mixture of items 1 to 4 and fill into the molds of suppositories. Fill 1.6 g/suppository.

INSECT BITE CREAM

Bill of Materials			
Scale (mg/g)	Item	Material Name	Qty/kg (g)
180.00	1	Trilaneth-4 phosphate, glyceryl stearate, and PEG-2 stearate	180.00
20.00	2	Hydrogenated palm/kernel oil PEG-6 esters	20.00
80.00	3	Mineral oil	80.00
0.30	4	Sodium methyl paraben	0.30
0.70	5	Sorbic acid	0.70
646.70	6	Deionized water	646.70
10.00	7	Benzocaine	10.00
10.00	8	Butamben	10.00
2.00	9	Menthol	2.00
0.30	10	Resorcinol	0.30
50.00	11	Ethoxydiglycol	50.00

MANUFACTURING DIRECTIONS

1. Dissolve items 7 to 10 in item 11.
2. Mix and heat items 1 to 6 to 75°C.
3. Allow to cool slowly with constant stirring.
4. At 35°C add this to previous mixture.
5. Homogenize if necessary.

KERATOLYTIC CREAM

Bill of Materials			
Scale (mg/10 g)	Item	Material Name	Qty/kg (g)
150.00	1	Polawax (self-emulsifying wax)	15.00
150.00	2	PPG-2 myristyl ether propionate (Crodamol PMP)	15.00
50.00	3	Sorbitol isostearate	5.00
35.00	4	Safflower oil, super-refined	3.50
20.00	5	Avocado oil, super-refined	2.00
20.00	6	Cetyl palmitate	2.00
50.00	7	Salicylic acid	5.00
1.50	8	Propyl paraben	0.15
1.00	9	Butylated hydroxyanisole	0.10
487.50	10	Deionized water	48.75
10.00	11	Sodium borate	1.00
3.00	12	Methyl paraben	0.30
2.00	13	Imidazolidinyl urea	0.20
20.00	14	Hydrolyzed collagen + hyaluronic acid (Cromoist HTA)	2.00

MANUFACTURING DIRECTIONS

1. Dissolve item 7 in item 2 with mixing and heating to 70°C.
2. Add balance of items 1 to 9 and mix with heat to 80°C and mix items 10 to 13 together separately and heat to 80°C.
3. Add this mixture to the first mixture with mixing and cool to 40°C.
4. Add item 14 with mixing and cool to the desired fill temperature.
5. Adjust pH if necessary to 3 to 4 with 10% triethanol-amine solution.

KETOCONAZOLE CREAM

The ketoconazole 2% cream contains the broad-spectrum synthetic antifungal agent ketoconazole, 2%, formulated in an aqueous cream vehicle consisting of propylene glycol, stearyl and cetyl alcohols, sorbitan monostearate, polysorbate 60, isopropyl myristate, sodium sulfite anhydrous, polysorbate 80, and purified water.

KETOCONAZOLE CREAM

Bill of Materials			
Scale (g/100 g)	Item	Material Name	Qty/kg (g)
2.00	1	Ketoconazole micronized	20.00
20.00	2	Propylene glycol	200.00
8.00	3	Stearyl alcohol	80.00
2.00	4	Cetyl alcohol	20.00
2.00	5	Span 60	20.00
1.50	6	Tween 60	15.00
1.00	7	Isopropyl myristate	10.00
0.20	8	Sodium sulfite anhydrous	2.00
0.10	9	Tween 80	1.00
QS	10	Water purified	QS to 1 kg

MANUFACTURING DIRECTIONS

1. Place items 3 to 5 in a steam-jacketed kettle. Heat to 75°C and then begin stirring to ensure complete melting. Maintain temperature, keep stirring.
2. Combine items 2 and 10 in a separate heating vessel and heat to 75°C. Add item 6 and stir, preferably under vacuum of 0.5 bar to avoid frothing and add to step 1, passing through a 100 mesh screen by a pump. Rinse with item 10 and add rinsings.
3. Stir for 1 hour. Cool to 40°C while stirring.
4. In a separate vessel, add 10% of item 10 and item 1 to make a slurry, heat to 40°C, and pass through colloid mill after adding another 10% of item 10.

- Separately dissolve in 5% of item 10, item 8, and add to step above. Mix for 30 minutes.
- Pass again through colloid mill and add to step 3, mix, and pass again through colloid mill.
- Fill in appropriate containers.

KOJIC DIPALMITATE CREAM

Bill of Materials

Scale (g/100 g)	Item	Material Name	Qty/kg (g)
0.20	1	Kojic dipalmitate	2.00
6.00	2	Finetex TN	60.00
3.00	3	Bernel FAO	30.00
2.00	4	CarboSil M-5 (fumed silica)	20.00
0.30	5	Microtitanium dioxide	3.00
0.50	6	Lecithin Z-3	5.00
5.00	7	Bentone TN (hectorite compound)	50.00
2.00	8	Mineral oil	20.00
8.00	9	Isopropyl myristate	80.00
0.08	10	Fragrance	0.80

MANUFACTURING DIRECTIONS

- Heat the Kojic dipalmitate, Finetex, FAO, bentone, and isopropyl myristate to 70°C in a jacketed kettle.
- Transfer to a homogenizer mill.
- Slowly add, with high-shear agitation, the CarboSil and the microtitanium dioxide.
- Mill and cool to 45°C to 50°C.
- Add, with milling, the remaining ingredients except the fragrance and SD alcohol. Cool with milling (and cooling jacket if needed) to 25°C to 30°C.
- Add, with mixing, the fragrance and alcohol. Package immediately.

LACTIC ACID CREAM

The cream is a formulation of 12% lactic acid neutralized with ammonium hydroxide, as ammonium lactate, with a pH of 4.4 to 5.4. The cream also contains cetyl alcohol, glycerin, glyceryl stearate, laureth-4, light mineral oil, magnesium aluminum silicate, methylcellulose, methyl and propyl parabens, PEG-100 stearate, polyoxyl 40 stearate, propylene glycol, and water. Lactic acid is a racemic mixture of 2-hydroxypropionic acid.

LANOLIN CREAM

Bill of Materials

Scale (mg/g)	Item	Material Name	Qty/kg (g)
60.00	1	Stearic acid	60.00
145.00	2	White petrolatum jelly	145.00
116.00	3	Mineral oil 25 cS	116.00
10.00	4	Lanolin	10.00
20.00	5	Cetearyl alcohol	20.00
QS	6	Deionized water	QS to 1 kg
14.00	7	Triethanolamine 99%	14.00
QS	8	Perfume, preservative, color	QS

MANUFACTURING DIRECTIONS

- Heat oil and water phases separately to 70°C.
- Add water phase to oil phase while stirring. Stir to cool, adding triethanolamine at 60°C and perfuming at 40°C to 50°C.
- This cream serves as a base for drugs as well. Triethanolamine may be omitted, because it gives a higher pH.

LIDOCAINE ADHESIVE SYSTEM GEL

Bill of Materials

Scale (g/100 g)	Item	Material Name	Qty/kg (g)
8.00	1	Lidocaine base	80.00
5.00	2	Dipropylene glycol	50.00
8.00	3	Lecithin 60% in propylene glycol	80.00
10.00	4	Karaya gum	100.00
2.00	5	Bentonite (Polargel) ^a	20.00
0.10	6	Zinc oxide	1.00
6.00	7	Glycerin	60.00

^a Optional ingredients.

MANUFACTURING DIRECTIONS

- Blend the lidocaine base, the propylene glycol, lecithin, and glycerin at approximately 70°C to 90°C until the entire drug is dissolved.
- Cool the solution to 20°C to 35°C before adding the karaya gum and clay.
- Once the karaya gum and clay are added, apply the final composition to a suitable backing material such as a nonwoven polyester film (e.g., the film sold under the trademark Sontara 8100, manufactured by DuPont de Nemours, EI and Co, Wilmington, DE) and warm to approximately 100°C to accelerate the formation of the gel into its final, finite form.

LIDOCAINE AND PRILOCAINE TOPICAL ADHESIVE SYSTEM CREAM

Lidocaine, 2.5%, and prilocaine, 2.5%, are emulsions in which the oil phase is a eutectic mixture of lidocaine and prilocaine in a ratio of 1:1 by weight. This eutectic mixture has a melting point below room temperature; therefore, both local anesthetics exist as liquid oil rather than as crystals. It is also packaged in the anesthetic disc, which is a single-dose unit contained within an occlusive dressing. The anesthetic disc is composed of a laminate backing, an absorbent cellulose disc, and an adhesive tape ring. The disc contains 1 g of emulsion, the active contact surface being approximately 10 cm². The surface area of the entire anesthetic disc is approximately 40 cm².

LIDOCAINE AND TRIBENOSIDE CREAM

Bill of Materials

Scale (g/100 g)	Item	Material Name	Qty/kg (g)
5.00	1	Tribenoside	50.00
2.00	2	Lidocaine hydrochloride	20.00
5.00	3	Cetyl alcohol	50.00
9.00	4	Stearic acid	90.00
10.00	5	Liquid paraffin	100.00
2.00	6	Isopropyl palmitate	20.00
4.45	7	Cetomacrogol 1000	44.50
1.55	8	Crill 3	15.50
0.180	9	Methyl paraben	1.80
0.05	10	Propyl paraben	0.50
6.00	11	Sorbitol 70% solution	60.00
54.80	12	Water purified	548.00

MANUFACTURING DIRECTIONS

- Mix and dissolve items 9 and 10 in portion of item 12 at 90°C.
- Place item 11 into Becomix and heat to 60°C.
- Add item 2 to step 3 and dissolve, maintaining temperature at 60°C.
- Place items 3, 4, 7, and 8 in a melting vessel and melt at 70°C. Cool to 55°C.
- Add items 1, 5, and 6 to a fat-melting vessel and melt at 60°C.
- Transfer step 5 to step 4 and mix well. Cool down to 25°C.
- Transfer to storage vessel and fill.

LIDOCAINE AND TRIBENOSIDE OINTMENT

Bill of Materials

Scale (mg/g)	Item	Material Name	Qty/kg (g)
5.00	1	Tribenoside	5.00
2.00	2	Lidocaine	2.00
79.20	3	White soft paraffin	79.20
0.30	4	Hard paraffin	0.30
3.50	5	Microcrystalline wax	3.50

MANUFACTURING DIRECTIONS

- Place items 3 to 5 in a melting vessel and heat to 70°C to melt, transfer to Becomix, and maintain 40°C to 45°C.
- In a portion of the melt above, add items 1 and 2 in a separate vessel and homogenize for 5 minutes. Transfer to step 1 using the melt to rinse and adding rinsings.
- Allow to cool to 40°C. Transfer to storage vessel and fill.

LIDOCAINE AND TRIBENOSIDE SUPPOSITORIES

Bill of Materials

Scale (mg/suppository)	Item	Material Name	Qty/1000 Suppositories (g)
40.00	1	Lidocaine	40.00
400.00	2	Tribenoside	400.00
281.00	3	Witepsol E 85	281.00
1124.60	4	Witepsol W 35	1124.60
4.40	5	Miglyol 812 N	4.40

MANUFACTURING DIRECTIONS

- Load items 3 and 4 in a fat-melting vessel and heat to 50°C. Transfer molten material to Becomix through filter sieves, keeping a small portion on the side.
- Add items 1, 2, and 4 to product of step 1, rinsing the container of item 2 with the molten portions kept aside in step 1.
- Mix for 20 minutes at 10 rpm (manual), temperature 50°C, homogenize at speed II for 4 minutes under 0.6 bar vacuum. Check for clarity; if not clear, homogenize again.
- Set the temperature to 39°C and mix at 10 rpm.
- Fill 1850 mg in suppository molds.

LIDOCAINE ANORECTAL CREAM

Anorectal cream (lidocaine 5%) is a topical anesthetic cream. Each gram of anorectal cream contains lidocaine 50 mg, benzyl alcohol, carbomer 940, cholesterol, hydrogenated lecithin, isopropyl myristate, polysorbate 80, propylene glycol, triethanolamine, vitamin E acetate, and water.

LIDOCAINE, EUGENOL, AND MENTHOL DENTAL OINTMENT

Bill of Materials

Scale (mg/g)	Item	Material Name	Qty/kg (g)
55.2	1	Beeswax white	55.2
150.0	2	Lanolin anhydrous	150.0
723.7	3	Petrolatum	723.7
40.0	4	Lidocaine base	40.0
1.2	5	Saccharin sodium powder	1.2
QS	6	Water purified	3.0 mL
1.0	7	Eugenol	1.0
5.0	8	Menthol	5.0
0.8	9	Oil peppermint	0.8
20.16	10	Metaphen ointment base	20.16

MANUFACTURING DIRECTIONS

1. Melt beeswax white, lanolin, and petrolatum white together at 70°C to 80°C and strain into a suitable container.
2. Do not heat above 70°C to 80°C.
3. Melt Lidocaine base and strain into the container while mixing.
4. Dissolve the sodium saccharin in purified water heated to 70°C. Add to the container while mixing. Cool down to 45°C to 50°C while mixing.
5. Liquefy eugenol, menthol, and peppermint oil together by mixing all three items.
6. Warm gently to 35°C to 40°C if necessary. Strain into the container while mixing. Gently melt metaphen ointment base and strain into the container while mixing.
7. Mix thoroughly until congealed.

LIDOCAINE GEL

Bill of Materials

Scale (mg/g)	Item	Material Name	Qty/1000 Tablets (g)
20.00	1	Lidocaine hydrochloride	2
560.00	2	Water	56
200.00	3	Propylene glycol pharma	20
220.00	4	Lutrol F 127	22

MANUFACTURING DIRECTIONS

1. Prepare solution of items 1 to 3 at room temperature, heat to 70°C or cool to 6°C, and slowly add item 4 to the well-stirred solution until it is dissolved.
2. Maintain the temperature until the air bubbles escape. A clear, colorless gel is obtained.

LIDOCAINE GEL

Bill of Materials

Scale (mg/g)	Item	Material Name	Qty/1000 Tablets (g)
50.00	1	Lidocaine hydrochloride	5
500.00	2	Water	50
150.00	3	Propylene glycol pharma	15
100.00	4	Liquid paraffin	10
200.00	5	Lutrol F 127	20

MANUFACTURING DIRECTIONS

1. Prepare solution of items 1 to 3 at room temperature and mix with item 4.
2. Heat to 70°C or cool to 6°C and slowly add item 5 to the well-stirred solution until it is dissolved. Maintain cool until the air bubbles escape. A gel cream is obtained.

LIDOCAINE OINTMENT

Bill of Materials

Scale (g/100 g)	Item	Material Name	Qty/kg (g)
5.00	1	Lidocaine base	50.00
28.00	2	Polyethylene glycol (PEG-3350)	280.00
40.00	3	Polyethylene glycol (PEG-400)	400.00
25.00	4	Propylene glycol	250.00
2.00	5	Purified water	20.00

MANUFACTURING DIRECTIONS

1. Load items 2 and 3 into a fat-melting vessel and heat to 70°C.
2. Cool to 40°C while stirring at slow speed (10–12 rpm).
3. Maintain the temperature between 40°C and 45°C under continuous stirring.
4. Heat 200 g of item 4 to 40°C to 45°C in a stainless-steel container.

5. Dissolve item 1 by stirring with stirrer. Add item 5 with continuous stirring.
6. Maintain the temperature between 40°C and 45°C with continuous stirring.
7. Filter through cloth filter. Transfer the drug solution into mixer previously set with temperature at 40°C to 45°C.
8. Rinse the stainless-steel container with 50 g of item 4.
9. Add the rinsing into mixer. Transfer the molten mass from the fat-melting vessel at 40°C through a stainless-steel filter to the mixer containing the drug solution while mixing at 10 to 12 rpm.
10. When the transfer is over, start the homogenizer at low speed, vacuum 0.6 bar, with stirrer speed at 10 rpm (manual mode).
11. Mix and homogenize for 10 minutes with recirculation at temperature 40°C to 45°C.
12. Stop the homogenizer, set the mixer at temperature 25°C, with stirrer speed at 10 rpm (manual mode).
13. Cool the cream to 25°C. When the ointment is cooled to 25°C, unload the ointment in stainless-steel container.

LINDANE LOTION

Lindane lotion USP, 1%, is an ectoparasiticide and ovicide effective against *Sarcoptes scabiei* (scabies). In addition to the active ingredient, lindane, it contains glycerol monostearate, cetyl alcohol, stearic acid, trolamine, carrageenan, 2-amino-2-methyl-1-propanol, methyl paraben, butyl paraben, perfume, and water to form a nongreasy lotion, which is the highly purified gamma isomer of 1,2,3,4,5,6-hexachlorocyclohexane. Cream spreads easily and can be washed off readily with water. It has a slight acetic odor. Each gram of cream contains mafenide acetate equivalent to 85 mg of the base. The cream vehicle consists of cetyl alcohol, stearyl alcohol, cetyl esters wax, polyoxyl 40 stearate, polyoxyl 8 stearate, glycerin, and water, with methyl paraben, propyl paraben, sodium metabisulfite, and edetate disodium as preservatives.

MAFENIDE ACETATE CREAM

The cream is a soft, white, nonstaining, water-miscible antiinfective cream for topical administration to burn wounds.

MALATHION LOTION

The lotion contains 0.005 g of malathion per milliliter in a vehicle of isopropyl alcohol (78%), terpineol, dipentene, and pine needle oil.

MANDELIC ACID CREAM

Bill of Materials

Scale (g/100 g)	Item	Material Name	Qty/kg (g)
2.00	1	Polyoxyethylene (40) stearate	20.00
1.00	2	Polyoxyethylene (20) sorbitan monooleate	10.00
5.00	3	Glycerol monostearate	50.00
3.00	4	Beeswax	30.00
2.00	5	Mineral oil	20.00
71.00	6	Water purified	710.00
5.00	7	Propylene glycol	50.00
0.50	8	Carbopol 934	5.00
5.00	9	DL-mandelic acid	50.00
1.7 mL	10	Ammonium hydroxide concentrated	17.00 mL

MANUFACTURING DIRECTIONS

1. Heat items 1 to 5 in a stainless-steel container to 80°C.
1. In a separate container, heat items 6 to 8 to 80°C.
2. Add step 2 to step 1 with agitation.
4. After the mixture is congealed, add mandelic acid and ammonium hydroxide.
5. Continue agitation until a uniform consistency is obtained. The pH of the cream is 4.

MEDICATED FOOT CREAM

Bill of Materials

Scale (mg/g)	Item	Material Name	Qty/kg (g)
5.00	1	Lanolin	5.00
90.00	2	Stearic acid	90.00
5.00	3	Cetyl alcohol	5.00
40.00	4	Isopropyl palmitate	40.00
10.00	5	Oleyl alcohol	10.00
20.00	6	Mineral oil and lanolin alcohol (liquid base CB3929)	20.00
7.50	7	Oil of wintergreen	7.50
3.00	8	Oil of thyme	3.00
5.00	9	Oil of pine	5.00
5.00	10	Menthol	5.00
5.00	11	Camphor	5.00
QS	12	Deionized water	QS to 1 kg
80.00	13	Glycerin	80.00
18.00	14	Triethanolamine 99%	18.00
QS	15	Preservative, color	QS

MANUFACTURING DIRECTIONS

1. Heat oil and water phases separately at 65°C to 70°C.
2. Add water phase to oil phase while stirring.
3. Add the triethanolamine drop-wise.
4. Stir to cool.

This product can be used as a disinfecting and soothing cream for the feet.

MENTHOL, METHYL SALICYLATE, AND MENTHOL CREAM AND OINTMENT

This cream and ointment contain menthol in an alcohol base gel, combinations of methyl salicylate, and menthol in cream and ointment bases, as well as a combination of methyl salicylate, menthol, and camphor in a nongreasy cream base; all are suitable for topical application. The varieties include the ointment (methyl salicylate, 18.3%; menthol, 16%), the cream (methyl salicylate, 15%; menthol, 10%), an arthritis formula cream (methyl salicylate, 30%; menthol, 8%), an ultrastrength pain-relieving cream (methyl salicylate, 30%; menthol, 10%; camphor, 4%), vanishing gel (2.5% menthol), and cream (10% menthol) with a fresh scent.

MERCURIC OXIDE OINTMENT**MANUFACTURING DIRECTIONS**

1. Prepare an oleaginous ointment composition containing yellow mercuric oxide as its active ingredient using the following ingredients in the relative weight percentages indicated: White petrolatum USP, 54.55; mineral oil NF, 31.50; microcrystalline wax, 5.00; stearic acid NF, 0.40; boric acid NF, 2.50; yellow mercuric oxide, 1.05; wheat germ oil, 5.00.
2. Charge the white petrolatum, mineral oil, microcrystalline wax, and stearic acid NF into a suitably sized No. 316 stainless-steel tank with an agitator. Heat the ointment base while mixing to 80°C to 85°C until the base is completely melted.
3. Then filter the ointment base through a 0.22 µm membrane-filtering unit into the main No. 316 stainless-steel mixing tank.
4. When the ointment base has cooled down to approximately 45°C, withdraw a portion of the base into a stainless-steel container.
5. Then add the boric acid (sterilized) to the base and disperse with the aid of a homomixer for 10 minutes.
6. Then add the yellow mercuric oxide (sterilized) to the mixture and disperse for at least 30 minutes until a homogeneous slurry is achieved.
7. Add the slurry to the main ointment batch and mix until the batch is homogeneous and free of lumps. Then cool the batch to approximately 28°C and add the filtered wheat germ oil thereto. Mix the resulting ointment for approximately 15 minutes until homogeneous.

MESALAMINE SUPPOSITORY

The rectal suppository contains 500 mg of mesalamine in a base of hard fat NF.

METHOTREXATE CATAPLASMS**Bill of Materials**

Scale (g/100 g)	Item	Material Name	Qty/kg (g)
5.00	1	Diisopropanolamine	50.00
3.00	2	Methotrexate	30.00
10.00	3	Polysodium acrylate	100.00
10.00	4	Gelatin	100.00
30.00	5	Glycerin	300.00
QS	6	Water purified	QS to 1 kg

MANUFACTURING DIRECTIONS

1. Mix diisopropanolamine and methotrexate with a portion of purified water.
2. Mix the resulting aqueous mixture with an aqueous solution of the corresponding base components (polysodium acrylate, gelatin, and glycerin) in the remaining portion of the purified water.
3. Cast the mass in step 2 on a release sheet; apply a nonwoven fabric backing to a surface of the mass.

METHOTREXATE CREAM**Bill of Materials**

Scale (g/100 g)	Item	Material Name	Qty/kg (g)
7.00	1	Stearic acid	70.00
0.50	2	Behenyl alcohol	5.00
7.00	3	Squalene	70.00
2.00	4	Polyethylene glycol monostearate	20.00
5.00	5	Glyceryl monostearate (self-emulsifying type)	50.00
0.10	6	Butyl hydroxybenzoate	1.00
0.10	7	Methyl hydroxybenzoate	2.00
5.00	8	1,3-Butylene glycol	50.00
3.00	9	Methotrexate	30.00
5.00	10	Diisopropanolamine ^a	50.00
QS	11	Water purified	QS to 1 kg

^a May be omitted.

MANUFACTURING DIRECTIONS

1. Mix diisopropanolamine and methotrexate with a portion of purified water.

- Mix the resulting aqueous mixture under heat with a liquid mixture of stearic acid, behenyl alcohol, squalane, polyethylene glycol stearate, glyceryl monostearate acid, and butyl parahydroxybenzoate and also with an aqueous mixture of methyl parahydroxybenzoate, 1,3-butylene glycol, and the remaining portion of the purified water.
- Cool the resulting mass whereby the cream is obtained.

METHOTREXATE GEL

Bill of Materials

Scale (g/100 g)	Item	Material Name	Qty/kg (g)
92.00	1	Hydrocarbon gel	920.00
5.00	2	Diisopropanolamine	50.00
3.00	3	Methotrexate	30.00

MANUFACTURING DIRECTIONS

- Mix diisopropanolamine and methotrexate and stir with gelled hydrocarbon gel, whereby the ointment is obtained. An alternate formulation mixes methotrexate directly into gel with item 2.

METHOTREXATE LOTION

Bill of Materials

Scale (g/100 g)	Item	Material Name	Qty/kg (g)
1.00	1	Stearic acid	10.00
0.50	2	Behenyl alcohol	5.00
1.00	3	Polyoxyethylene sorbitan monooleate	10.00
1.00	4	Glyceryl monostearate (self-emulsifying type)	10.00
0.10	5	Butyl hydroxybenzoate	1.00
0.10	6	Methyl hydroxybenzoate	2.00
5.00	7	1,3-Butylene glycol	50.00
1.00	8	Carboxyvinyl polymer	10.00
3.00	9	Methotrexate	30.00
5.00	10	Diisopropanolamine ^a	50.00
QS	11	Water purified	QS to 1 kg

^a May be omitted.

MANUFACTURING DIRECTIONS

- Mix diisopropanolamine and methotrexate with a portion of purified water.
- Mix the resulting aqueous mixture under heat with a liquid mixture of stearic acid, behenyl alcohol,

polyoxyethylene sorbitan monostearate, glyceryl monostearate, and butyl parahydroxybenzoate and also with an aqueous mixture of methyl parahydroxybenzoate, 1,3-butylene glycol, and another portion of the purified water.

- Cool the resulting mixture to room temperature and mix with a water-base dispersion of carboxyvinyl polymer in the remaining water, whereby the lotion is obtained.

METHOXSALEN LOTION

Each milliliter of lotion contains 10 mg methoxsalen in an inert vehicle containing alcohol (71% v/v), propylene glycol, acetone, and purified water.

METHYL SALICYLATE AND MENTHOL CREAM

Bill of Materials

Scale (mg/g)	Item	Material Name	Qty/kg (g)
130.00	1	Methyl salicylate	130.00
60.00	2	Menthol	60.00
20.00	3	Eucalyptus oil	20.00
5.00	4	Lanolin	5.00
1.00	5	Chloroxyleneol	1.00
150.00	6	Glyceryl stearate and PEG-100 stearate	150.00
73.00	7	Cetearyl alcohol	73.00
70.00	8	Glyceryl stearate	70.00
QS	9	Deionized water	QS to 1 kg
QS	10	Preservative, color	QS

MANUFACTURING DIRECTIONS

- Heat oil and water phases separately to 70°C.
- Add water phase to oil phase while stirring. Stir to cool.
- Fill at 30°C.

METHYL SALICYLATE AND MENTHOL LOTION

Bill of Materials

Scale (mg/g)	Item	Material Name	Qty/kg (g)
110.00	1	Methyl salicylate	110.00
50.00	2	Menthol	50.00
200.00	3	Lutrol E 400	200.00
60.00	4	Cremophor RH 40	60.00
70.00	5	Propylene glycol pharma	70.00
320.00	6	Lutrol F 127	320.00
190.00	7	Water	190.00

MANUFACTURING DIRECTIONS

1. Dissolve item 6 in solution of items 1 to 5 and mix with item 7.
2. The clear gel can be diluted with water. Because of the high concentration of the active ingredients and of Lutrol F127, the consistency of the colorless clear gel is extremely hard. By reducing the concentration of the active ingredients, the amount of Lutrol F 127 could be reduced too, and the consistency of the gel will be normal.

METHYL SALICYLATE AND MENTHOL LOTION**Bill of Materials**

Scale (mg/g)	Item	Material Name	Qty/kg (g)
150.00	1	Methyl salicylate	150.00
70.00	2	Menthol	70.00
10.00	3	Lanolin oil	10.00
30.00	4	PEG-40 stearate	30.00
20.00	5	Glyceryl stearate	20.00
QS	6	Deionized water	QS
1.50	7	Carbopol 980	1.50
10.00	8	Potassium hydroxide (10% aqueous solution)	10.00
QS	9	Preservative, color	QS

MANUFACTURING DIRECTIONS

1. Heat oil and water phases (except potassium hydroxide) separately to 65°C to 70°C.
2. Add water phase to oil phase while stirring. Add potassium hydroxide solution to neutralize.
3. Stir to cool. Fill at 30°C.

METHYL SALICYLATE AND MENTHOL OINTMENT**Bill of Materials**

Scale (mg/g)	Item	Material Name	Qty/kg (g)
150.00	1	Polawax	150.00
100.00	2	Methyl salicylate	100.00
50.00	3	Menthol	50.00
100.00	4	Mineral oil 70 cS	100.00
QS	5	Deionized water	QS to 1 kg
QS	6	Preservative, color	QS

MANUFACTURING DIRECTIONS

1. Heat oil and water phases separately to 70°C.
2. Add water to oil phase while stirring. Stir to cool. Fill at 30°C.

METHYL SALICYLATE CLEAR GEL**Bill of Materials**

Scale (mg/g)	Item	Material Name	Qty/kg (g)
25.00	1	Hydroxypropyl cellulose	25.00
QS	2	Deionized water	QS to 1 kg
400.00	3	Ethanol DEB 100	400.00
100.00	4	Menthol	100.00
150.00	5	Methyl salicylate	150.00
25.00	6	DEA-oleth-3-phosphate	25.00

MANUFACTURING DIRECTIONS

1. Hydrate hydroxypropyl cellulose in water at 60°C to 65°C.
2. Stir to cool. Add ethanol.
3. Add remaining ingredients and stir until homogenous.

METHYL SALICYLATE CREAM**Bill of Materials**

Scale (mg/g)	Item	Material Name	Qty/kg (g)
30.00	1	Tromethamine magnesium aluminum silicate (Veegum PRO)	30.00
30.00	2	Hydroxypropyl cellulose	30.00
350.00	3	Deionized water	350.00
350.00	4	Ethanol	350.00
40.00	5	Cocoyl sarcosine (Vanseal CS)	40.00
25.00	6	Oleath-10	25.00
25.00	7	PEG-25 hydrogenated castor oil	25.00
50.00	8	Isopropyl myristate	50.00
20.00	9	Triethanolamine	20.00
5.00	10	Camphor	5.00
5.00	11	Menthol	5.00
2.00	12	Eucalyptus oil	2.00
65.00	13	Methyl salicylate	65.00
QS	14	Preservatives	QS

MANUFACTURING DIRECTIONS

1. Dry blend items 1 and 2 and slowly add them to items 2 and 4, agitating to ensure homogenous dispersion.
2. Combine items 5 to 9 separately and items 10 to 14 separately and mix them together. Add this mixture to the first mix and then mix until uniform.

METHYL SALICYLATE CREAM

Bill of Materials			
Scale (mg/g)	Item	Material Name	Qty/kg (g)
15.00	1	Magnesium aluminum silicate (Veegum)	1.50
547.00	2	Deionized water	54.70
2.00	3	Simethicone emulsion	0.20
30.00	4	Propylene glycol	3.00
150.00	5	Methyl salicylate	15.00
50.00	6	Menthol	5.00
6.00	7	Polysorbate	0.60
50.00	8	C18-C36 acid	5.00
150.00	9	Glyceryl stearate and PEG-100 stearate	15.00
QS	10	Preservatives	QS

MANUFACTURING DIRECTIONS

1. Add item 1 slowly to water and mix vigorously to smooth dispersion.
2. Add items 3 and 4, mixing one at a time. Heat to 75°C to 80°C.
3. Separately mix and heat items 5 to 9 to 75°C to 80°C and add the two parts while mixing. Cool while mixing and add item 10 at 40°C.

METHYL SALICYLATE HEAT RUB LOTION

Bill of Materials			
Scale (mg/mL)	Item	Material Name	Qty/L (g)
25.00	1	PPG-5-Cetech-10-phosphate (Crodafos SG)	25.00
40.00	2	Emulsifying wax, NF (Polawax)	40.00
45.00	3	PPG-1 cetyl ether (Procetyl 10)	45.00
10.00	4	Menthol	10.00
10.00	5	Camphor	10.00
75.00	6	Methyl salicylate	75.00
30.00	7	Glycerin	30.00
10.00	8	Gelatin, NF (Crodyne BY-19)	10.00
3.00	9	Diethanolamine	3.00
742.00	10	Deionized water	742.00
10.00	11	Propylene glycol, diazolidinyl urea, methyl paraben, and propyl paraben	10.00

MANUFACTURING DIRECTIONS

1. Premix items 4, 5, and 6 with item 3.
2. When completely dissolved, add items 1 and 2 and heat to 75°C to 80°C.
3. Dissolve item 8 in water and add items 7 and 9.
4. Heat to 80°C. Slowly add this part to previous part using good mechanical mixing.
5. Allow to cool while mixing to 40°C and then add item 11.
6. Cool to 30°C and fill.

METHYL SALICYLATE LOTION

Bill of Materials			
Scale (mg/mL)	Item	Material Name	Qty/L (g)
25.00	1	PPG-5-cetech-10-phosphate (Crodafos SG)	25.00
40.00	2	Emulsifying wax NF (Polawax)	40.00
45.00	3	PPG-1 cetyl ether (Procetyl 10)	45.00
10.00	4	Menthol	10.00
10.00	5	Camphor	10.00
75.00	6	Methyl salicylate	75.00
30.00	7	Glycerin	30.00
10.00	8	Gelatin (Crodyne BY-19)	10.00
3.00	9	Diethanolamine	3.00
742.00	10	Deionized water	742.00
10.00	11	Propylene glycol, diazolidinyl urea, methyl paraben, and propyl paraben	10.00

MANUFACTURING DIRECTIONS

1. Premix items 4, 5, and 6 with item 3.
2. When completely dissolved, add items 1 and 2 and heat to 75°C to 80°C.
3. Dissolve item 8 in water and add items 7 and 9.
4. Heat to 80°C. Add this part to previous part slowly, using good mechanical mixing.
5. Allow to cool while mixing to 40°C and then add item 11. Cool to 30°C and fill.

METHYL SALICYLATE, THYME, PINE, AND MENTHOL FOOT CREAM

Bill of Materials

Scale (mg/g)	Item	Material Name	Qty/kg (g)
5.00	1	Lanolin	5.00
90.00	2	Stearic acid	90.00
5.00	3	Cetyl alcohol	5.00
40.00	4	Isopropyl palmitate	40.00
10.00	5	Oleyl alcohol	10.00
20.00	6	Mineral oil and lanolin alcohol (liquid base CB3929)	20.00
7.50	7	Oil of wintergreen	7.50
3.00	8	Oil of thyme	3.00
5.00	9	Oil of pine	5.00
5.00	10	Menthol	5.00
5.00	11	Camphor	5.00
QS	12	Deionized water	QS to 1 kg
80.00	13	Glycerin	8.00
18.00	14	Triethanolamine 99%	1.80
QS	15	Preservative, color	QS

MANUFACTURING DIRECTIONS

1. Heat oil and water phases separately at 65°C to 70°C.
2. Add water phase to oil phase while stirring. Add the triethanolamine dropwise.
3. Stir to cool. This product can be used as a disinfectant and soothing cream for the feet.

METOCLOPRAMIDE SUPPOSITORIES

Bill of Materials

Scale (mg/suppository)	Item	Material Name	Qty/1000 Suppositories (g)
005.00	1	Metoclopramide (5.0% excess)	5.25
894.75	2	Hard fat (Suppocire AM)	894.75
QS	3	Ethanol 95% ^a	35.00

^a To be evaporated during manufacturing process.

MANUFACTURING DIRECTIONS

1. Load item 2 in the fat-melting vessel and heat to 65°C ± 2°C.
2. Transfer the molten mass in a stainless-steel container through clean polyester cloths.
3. Wash the mixer with purified water (65°C ± 2°C). Set the temperature to 65°C ± 2°C. Transfer the molten mass to the mixer.

4. Heat 32.5 g of item 3 in a stainless-steel container using a water bath at 65°C ± 2°C.
5. Dissolve item 1 in hot item 3 (step 4) by a stirrer. Maintain temperature at 65°C.
6. Add the ethanol–drug solution to the molten suppository base in mixer at 65°C ± 2°C while mixing.
7. Wash the drug container with 2.5 g of hot item 3 (65°C ± 2°C) and add the rinsing to the mixer while mixing.
8. Set the mixer under vacuum with air circulation. Maintain temperature at 50°C ± 2°C, mixing 10 rpm manual mode. Homogenize under vacuum with air circulation at temperature 50°C ± 2°C for 1 hour 45 minutes.
9. After completion of evaporation, continue the mixing of the mass under vacuum 0.4 to 0.6 bar while cooling it to 40°C ± 2°C.
10. Heat the storage vessel; set temperature at 40°C ± 2°C.
11. Transfer the molten mass from the mixer to the storage vessel.
12. Hold the molten mass 40°C ± 2°C while mixing continuously at low speed.
13. Fill 900 mg/suppository.

METOCLOPRAMIDE SUPPOSITORIES

Bill of Materials

Scale (mg/suppository)	Item	Material Name	Qty/1000 Suppositories (g)
10.00	1	Metoclopramide (5% excess)	10.50
1339.50	2	Hard fat (Suppocire AM)	1339.50
QS	3	Ethanol 95% ^a	62.00

^a To be evaporated during manufacturing process.

MANUFACTURING DIRECTIONS

1. Load item 2 in the fat-melting vessel and heat to 65°C ± 2°C.
2. Transfer the molten mass in a stainless-steel container through clean polyester cloths.
3. Wash the mixer with purified water (65°C ± 2°C). Set the temperature to 65°C ± 2°C. Transfer the molten mass to the mixer.
4. Heat 57 g of item 3 in a stainless-steel container using a water bath at 65°C ± 2°C.
5. Dissolve item 1 in hot item 3 (step 4) by a stirrer. Maintain temperature at 65°C.
6. Add the ethanol–drug solution to the molten suppository base in the mixer at 65°C ± 2°C while mixing.
7. Wash the drug container with 5 g of hot item 3 (65°C ± 2°C) and add the rinsing to the mixer while mixing.

8. Set the mixer under vacuum with air circulation. Maintain temperature at $50^{\circ}\text{C} \pm 2^{\circ}\text{C}$, mix, homogenize under vacuum with air circulation at temperature $50^{\circ}\text{C} \pm 2^{\circ}\text{C}$ for 1 hour 45 minutes.
9. After completion of evaporation, continue the mixing of the mass under vacuum 0.4 to 0.6 bar while cooling to $40^{\circ}\text{C} \pm 2^{\circ}\text{C}$.
10. Heat the storage vessel, set temperature at $40^{\circ} \pm 2^{\circ}\text{C}$.
11. Transfer the molten mass from mixer to the storage vessel.
12. Hold the molten mass at $40^{\circ}\text{C} \pm 2^{\circ}\text{C}$ while mixing continuously at low speed.
13. Fill 1350 mg/suppository.

METOCLOPRAMIDE SUPPOSITORIES

Bill of Materials

Scale (mg/ suppository)	Item	Material Name	Qty/1000 Suppositories (g)
20.00	1	Metoclopramide (5% excess)	21.00
1779.00	2	Hard fat (Suppocire AM)	1779.00
QS	3	Ethanol 95% ^a	90.00

^a To be evaporated during manufacturing process.

MANUFACTURING DIRECTIONS

Fill weight: 1800 mg/suppository.

Precaution: The molten suppository mass must be kept under stirring throughout the storage period, during manufacturing, and during filling to avoid the sedimentation of the active drug.

1. Load item 2 in the fat-melting vessel and heat to $65^{\circ}\text{C} \pm 2^{\circ}\text{C}$.
2. Transfer the molten mass in a stainless-steel container through clean polyester cloths.
3. Wash the mixer with purified water ($65 \pm 2^{\circ}\text{C}$). Set the temperature to $65^{\circ}\text{C} \pm 2^{\circ}\text{C}$. Transfer the molten mass to the mixer.
4. Heat 82.5 g of item 3 in a stainless-steel container using a water bath at $65^{\circ}\text{C} \pm 2^{\circ}\text{C}$.
5. Dissolve item 1 in hot item 3 (step 4) by a stirrer. Maintain temperature at 65°C .
6. Add the ethanol–drug solution to the molten suppository base in the mixer at $65^{\circ}\text{C} \pm 2^{\circ}\text{C}$ while mixing.
7. Wash the drug container with 7.5 g of hot item 3 ($65^{\circ}\text{C} \pm 2^{\circ}\text{C}$) and add the rinsing to the mixer while mixing.

8. Set the mixer under vacuum with air circulation. Maintain temperature at $50^{\circ}\text{C} \pm 2^{\circ}\text{C}$, homogenize under vacuum with air circulation at temperature $50^{\circ}\text{C} \pm 2^{\circ}\text{C}$ for 1 hour 45 minutes.
9. After completion of evaporation, continue the mixing of the mass under vacuum 0.4 to 0.6 bar while cooling to $40^{\circ}\text{C} \pm 2^{\circ}\text{C}$.
10. Heat the storage vessel, set temperature at $40^{\circ}\text{C} \pm 2^{\circ}\text{C}$.
11. Transfer the molten mass from mixer to the storage vessel.
12. Hold the molten mass at $40^{\circ}\text{C} \pm 2^{\circ}\text{C}$ while mixing continuously at low speed.
13. Fill 1800 mg/suppository.

METOCLOPRAMIDE SUPPOSITORIES

Bill of Materials

Scale (mg/ suppository)	Item	Material Name	Qty/1000 Suppositories (g)
10.00	1	Metoclopramide base 5% excess	10.50
1339.00	2	Suppocire AM	1339.00
QS	3	Alcohol	QS

MANUFACTURING DIRECTIONS

1. Add and melt item 2 in a melting vessel at 65°C . Transfer to mixing vessel through filter sieve at 65°C .
2. Heat item 3 to 65°C in a separate vessel and add item 1 to dissolve. Add to step 1.
3. Set mixing vessel under vacuum with air circulation and at 50°C . Homogenize at speed II.
4. Completely evaporate alcohol and continue to mix at 0.4 to 0.6 bar and cool down to 40°C .
5. Fill suppository mold.

METRONIDAZOLE CREAM

The topical cream contains metronidazole USP at a concentration of 7.5 mg/g (0.75%) in an emollient cream consisting of emulsifying wax, sorbitol solution, glycerin, isopropyl palmitate, benzyl alcohol, lactic acid or sodium hydroxide to adjust pH, and purified water. Metronidazole is a member of the imidazole class of antibacterial agents and is classified therapeutically as an antiprotozoal and antibacterial agent. For metronidazole cream, 1%, each gram contains 10 mg micronized metronidazole USP in a base of purified water USP, stearic acid NF, glyceryl monostearate NF, glycerin USP, methyl paraben NF, trolamine NF, and propyl paraben NF.

METRONIDAZOLE GEL SOLUTION

Bill of Materials			
Scale (g/100 g)	Item	Material Name	Qty/kg (g)
1.00	1	Metronidazole	10.00
5.00	2	Hydroxy-beta-cyclodextrin	50.00
0.15	3	Methyl paraben	1.50
0.03	4	Propyl paraben	0.30
5.00	5	Glycerin	50.00
1.50	6	Hydroxyethyl cellulose	15.00
0.05	7	Disodium edetate	0.50
QS	8	Water purified	QS to 1 kg

METRONIDAZOLE LOTION

Metronidazole lotion contains metronidazole USP at a concentration of 7.5 mg/g (0.75% w/w) in a lotion consisting of benzyl alcohol, carbomer 941, cyclomethicone, glycerin, glyceryl stearate, light mineral oil, PEG-100 stearate, polyethylene glycol 400, potassium sorbate, purified water, steareth-21, stearyl alcohol, and sodium hydroxide or lactic acid to adjust pH. Metronidazole is an imidazole and is classified therapeutically as an antiprotozoal and antibacterial agent.

METRONIDAZOLE VAGINAL GEL

Bill of Materials			
Scale (g/100 g)	Item	Material Name	Qty/kg (g)
1.20	1	Metronidazole	1.20
21.00	2	Lutrol F 127	21.00
40.00	3	Lutrol E 400	40.00
37.80	4	Water purified	37.80

MANUFACTURING DIRECTIONS

1. Heat mixture of items 1 to 3 to 70°C to 80°C and slowly add the water heated to approximately 70°C.
2. Maintain the temperature until the air bubbles disappear.

MICONAZOLE CREAM

Bill of Materials			
Scale (g/100 g)	Item	Material Name	Qty/kg (g)
7.00	1	Cetostearyl alcohol	70.00
1.50	2	Cremophor A6	15.00
1.50	3	Cremophor A 25	15.00
12.00	4	Liquid paraffin	120.00
0.10	5	Parabens mixture	1.00
67.80	6	Water purified	678.00
8.00	7	Propylene glycol	80.00
2.00	8	Miconazole nitrate	20.00

MANUFACTURING DIRECTIONS

1. Heat the mixture of items 1 to 5 and the water separately to approximately 80°C.
2. Add the water to the obtained solution with rigorous stirring.
3. Heat items 7 and 8 until the active ingredient is dissolved, mix with step 2, and continue to stir during cooling to room temperature.

MICONAZOLE MOUTH GEL

Bill of Materials			
Scale (g/100 g)	Item	Material Name	Qty/kg (g)
2.00	1	Miconazole nitrate	20.00
0.10	2	Orange flavor	1.00
20.00	3	Lutrol F 127	200.00
10.00	4	Cremophor RH 40	100.00
10.00	5	Propylene glycol	100.00
5.00	6	Kollidon 90F	50.00
0.30	7	Saccharin sodium	3.00
52.60	8	Water purified	526.00

MANUFACTURING DIRECTIONS

1. Dissolve items 1 and 2 in the molten mixture of items 3 and 4.
2. Heat solution of items 6 to 8 to 90°C and mix slowly with step 1.
3. Let cool to room temperature when the air bubbles have escaped.

MICONAZOLE NITRATE CREAM**Bill of Materials**

Scale (mg/g)	Item	Material Name	Qty/kg (g)
21.00	1	Miconazole nitrate (5% excess)	21.00
200.0	2	Tefose 63	200.0
30.00	3	Labrafil M ^a	30.00
30.00	4	Mineral oil (liquid paraffin)	30.00
0.05	5	Butylated hydroxyanisole	0.05
2.00	6	Benzoic acid	2.00
720.00	7	Purified water	720.00

^a Synonyms: Labrafil M 1944 CS, oleoyl macrogolglycerides, apricot kernel oil PEG-6 complex.

MANUFACTURING DIRECTIONS

- Melt items 2, 3, and 4 (fatty phase) in fat-melting vessel.
- Heat to 65°C to 70°C.
- Disperse items 5 and 1 in the fatty phase while mixing at high speed for 20 minutes.
- Add item 7 to the mixer and heat to 80°C to 90°C.
- Dissolve item 6 and cool down to 65°C to 70°C.
- Transfer the fatty phase to the mixer with vacuum at 0.2 to 0.3 bar.
- Start cooling down while mixing at 10 rpm and homogenize at high speed for 20 minutes, then cool down to 25°C to 28°C while mixing at a vacuum of 0.2 to 0.3 bar (65–45°C) or 0.5 to 0.7 bar (45–25°C).

MICONAZOLE NITRATE VAGINAL SUPPOSITORIES**Bill of Materials**

Scale (mg/ovule)	Item	Material Name	Qty/1000 Ovules (g)
200.00	1	Miconazole nitrate micronized	200.00
1250.00	2	Hard fat (Witepsol H 37)	1250.00
1250.00	3	Hard fat (Witepsol H 35 [®])	1250.00

MANUFACTURING DIRECTIONS

Fill weight: 2700 mg/ovule. The following are additional requirements: All particle sizes must be below 30 µm and 60% to 80% must be less than 20 µm.

Precaution: The molten suppository mass must be kept under stirring throughout the storage period, during the manufacturing, and during filling to avoid the sedimentation of the active drug. Check the molten witepsols for phase separation

by draining approximately 18mL to 37 mL of molten witepsols in a glass beaker.

- Load items 2 and 3 in the fat-melting vessel and heat to 50°C ± 3°C.
- Check the molten mass for phase separation.
- Transfer the molten mass to the mixer through filter sieves. Set the temperature at 40°C ± 2°C.
- Load item 1 to the mixer containing molten Witepsol (items 2 and 3).
- Carefully mix the powder with the Witepsol melt.
- Set the mixer at temperature 40°C ± 2°C, speed 10 rpm (manual mode), and mix for 10 minutes.
- Set the mixer at temperature 40°C ± 2°C, speed 10 rpm (manual mode), vacuum 0.6 bar.
- Homogenize at low speed while mixing for 5 minutes.
- Homogenize at high speed while mixing for 3 minutes.
- Continue mixing of the mass under vacuum in mixer.
- Heat the storage vessel, set the temperature at 40°C ± 2°C.
- Transfer the molten mass from the mixer to the storage vessel.
- Hold the mass at 40°C ± 2°C, while mixing continuously at low speed. Fill.

MICONAZOLE NITRATE VAGINAL SUPPOSITORIES (400 MG)**Bill of Materials**

Scale (mg/ovule)	Item	Material Name	Qty/1000 Ovules (g)
400.00	1	Miconazole nitrate micronized	200.00
1150.00	2	Hard fat (Witepsol H 37)	1250.00
1150.00	3	Hard fat (Witepsol H 35)	1250.00

MANUFACTURING DIRECTIONS

- Load items 2 and 3 in the fat-melting vessel and heat to 50°C ± 3°C.
- Check the molten mass for phase separation.
- Transfer the molten mass to the mixer through filter sieves. Set the temperature at 40°C ± 2°C.
- Load item 1 to the mixer containing molten Witepsol (items 2 and 3).
- Carefully mix the powder with the Witepsol melt.
- Set the mixer at temperature 40°C ± 2°C, speed 10 rpm (manual mode), and mix for 10 minutes.
- Set the mixer at temperature 40°C ± 2°C, mix under vacuum 0.6 bar.
- Homogenize at low speed while mixing for 5 minutes.

9. Homogenize at high speed while mixing for 3 minutes.
10. Continue mixing of the mass under vacuum in mixer.
11. Heat the storage vessel, set the temperature at $40^{\circ}\text{C} \pm 2^{\circ}\text{C}$.
12. Transfer the molten mass from the mixer to the storage vessel.
13. Hold the mass at $40^{\circ}\text{C} \pm 2^{\circ}\text{C}$ while mixing continuously at low speed.
14. Fill 2700 mg.

MINOXIDIL GEL

Bill of Materials

Scale (mg/g)	Item	Material Name	Qty/kg (g)
20	1	Minoxidil	20
5	2	Carbopol 934	5
5	3	Propylene glycol	5
3	4	Ethanol	3
QS	5	Triethanolamine	QS
2	6	Water	2

MANUFACTURING DIRECTIONS

1. Dissolve minoxidil in the mixture of ethanol: propylene glycol:water in 50:30:20 proportion, adjust pH to 7.4 with triethanolamine, and gel the solution by adding 0.5% Carbopol 934 with constant stirring at 900 rpm to 1000 rpm.

MINOXIDIL GEL

Bill of Materials

Scale (mg/g)	Item	Material Name	Qty/kg (g)
20	1	Minoxidil	20
40	2	HPMC	40
5	3	Propylene glycol	5
3	4	Ethanol	3
QS	5	Triethanolamine	QS
2	6	Water	2
40	7	HPC	40

MANUFACTURING DIRECTIONS

1. Dissolve minoxidil in the mixture of ethanol: propylene glycol:water in 50:30:20 proportion, adjust pH to 7.4 with triethanolamine, and gel the solution by adding 4% HPMC and 4% HPC with constant stirring at 900 rpm to 1000 rpm.

MINOXIDIL GEL

Bill of Materials

Scale (mg/g)	Item	Material Name	Qty/kg (g)
20	1	Minoxidil	20
60	2	HPMC	60
5	3	Propylene glycol	5
3	4	Ethanol	3
QS	5	Triethanolamine	QS
2	6	Water	2

MANUFACTURING DIRECTIONS

1. Dissolve minoxidil in the mixture of ethanol: propylene glycol:water in 50:30:20 proportion, adjust pH to 7.4 with triethanolamine, and gel the solution by adding 6% HPMC with constant stirring at 900 rpm to 1000 rpm.

MINOXIDIL GEL

Bill of Materials

Scale (mg/g)	Item	Material Name	Qty/kg (g)
20	1	Minoxidil	20
80	2	HPC	80
5	3	Propylene glycol	5
3	4	Ethanol	3
QS	5	Triethanolamine	QS
2	6	Water	2

MANUFACTURING DIRECTIONS

1. Dissolve minoxidil in the mixture of ethanol: propylene glycol:water in 50:30:20 proportion, adjust pH to 7.4 with triethanolamine, and gel the solution by adding 8% HPC with constant stirring at 900 rpm to 1000 rpm.

MOMETASONE FUROATE CREAM

Bill of Materials			
Scale (g/100 g)	Item	Material Name	Qty/kg (g)
0.20	1	Mometasone furoate micronized	2.00
40.00	2	Isopropyl alcohol	400.00
0.15	3	Hydroxypropyl cellulose	1.50
0.226	4	Sodium acid phosphate	0.226
30.00	5	Propylene glycol	300.00
QS	6	Water purified	QS to 1 kg
QS	7	Phosphoric acid to adjust pH (10% w/v solution)	QS

MANUFACTURING DIRECTIONS

- Place item 2 in a suitable vessel, add item 1, and mix for 25 minutes to dissolve completely.
- Add item 3 slowly to step 1 and mix for 15 minutes to disperse evenly.
- In a separate vessel, dissolve item 4 in a suitable quantity of item 6 and add to step above and mix for 10 minutes. Circulate cold water in the jacket to aid in gel formation.
- Add item 5 to step above and mix until uniform.
- Check and adjust the pH to 4.5 ± 0.2 with 10% w/v phosphoric acid solution. Mix the batch for at least 2 hours for pH adjustment and check the final pH.
- Adjust the volume; pass through a 100 mesh screen.
- Fill in a suitable container.

MOMETASONE FUROATE LOTION

Each gram of cream, 0.1%, contains 1 mg mometasone furoate in a cream base of hexylene glycol, phosphoric acid, propylene glycol stearate, stearyl alcohol and cetareth-20, titanium dioxide, aluminum starch octenylsuccinate, white wax, white petrolatum, and purified water. Each gram of ointment, 0.1%, contains 1 mg mometasone furoate in an ointment base of hexylene glycol, phosphoric acid, propylene glycol stearate, white wax, white petrolatum, and purified water. Each gram of lotion, 0.1%, contains 1 mg of mometasone furoate in a lotion base of isopropyl alcohol (40%), propylene glycol, hydroxypropyl cellulose, sodium phosphate, and water. It may also contain phosphoric acid and sodium hydroxide used to adjust the pH to approximately 4.5.

MONOBENZONE CREAM

Each gram of benoquin cream contains 200 mg monobenzone USP in a water-washable base consisting of purified water USP, cetyl alcohol NF, propylene glycol USP, sodium lauryl sulfate NF, and white wax NF.

MULTIVITAMIN ORAL GEL VETERINARY

Bill of Materials			
Scale (mg/g)	Item	Material Name	Qty/kg (g)
18,700 IU	1	Vitamin A palmitate 1.7 million IU/g (BASF)	1.10
1.06	2	Vitamin E acetate (BASF)	10.60
0.50	3	Butyl hydroxytoluene	500.00
20.00	4	Cremophor RH 40	20.00
725.00	5	Water	725.00
0.35	6	Thiamine hydrochloride (BASF)	3.55
0.03	7	Riboflavin (BASF)	0.35
0.17	8	Pyridoxine hydrochloride (BASF)	1.77
0.03	9	Cyanocobalamin gelatin coated 1%	0.35
0.35	10	Nicotinamide	3.53
0.03	11	Folic acid	0.35
0.35	12	Dexpanthenol (BASF)	3.53
0.30	13	EDTA sodium	3.00
0.43	14	Ferrous sulfate (7 H ₂ O)	4.38
0.63	15	Manganese chloride (4 H ₂ O)	6.38
0.11	16	Potassium iodide	1.15
50.00	17	Kollidon 90 F	50.00
100.00	18	Lutrol F 127	100.00
100.00	19	Lutrol F 127	100.00

MANUFACTURING DIRECTIONS

- Heat mixture of items 1 to 4 to approximately 60°C to obtain a clear solution and slowly add the water (item 5) to the well-stirred solution.
- Dissolve items 6 to 16 and item 17 separately in this mixed solution at room temperature, cool to approximately 6°C, add item 19, and stir until all Lutrol F 127 is dissolved.
- Maintain the cool temperature until the air bubbles have escaped.

MULTIVITAMIN ORAL GEL WITH LINOLEIC AND LINOLENIC ACID

Bill of Materials

Scale (mg/mL)	Item	Material Name	Qty/100 mL (g)
0.050	1	Evening primrose oil (EPO Pure, Prima Rosa/SA)	5.0 mL
0.30	2	Vitamin A palmitate 1.7 million IU/g (BASF)	0.30
0.190	3	Vitamin E acetate (BASF)	0.19
0.00150	4	Vitamin D ₃ 40 million IU/g	150 µg
200.00	5	Cremophor RH 40	20.0
550.00	6	Water	55.0
0.030	7	Thiamine hydrochloride (BASF)	0.03
0.030	8	Riboflavin (BASF)	0.03
0.150	9	Pyridoxine hydrochloride (BASF)	0.15
0.001	10	Cyanocobalamin, crystalline	10 µg
0.001	11	Calcium D-pantothenate (BASF)	0.10
0.005	12	Nicotinamide	0.50
10.00	13	Ascorbic acid, crystalline (BASF)	1.0
140.00	14	Lutrol F 127	14.0
50.00	15	Lutrol F 127	5.0

MANUFACTURING DIRECTIONS

1. Prepare mixture of items 1 to 5 and heat to approximately 65°C.
2. Add the warm water (item 6 at 65°C) slowly to the well-stirred mixture as before.
3. Dissolve items 7 to 14 at 20°C to 25°C in this clear solution.
4. Cool the obtained solution to approximately 5°C and dissolve the rest of Lutrol F 127 item 15.
5. Maintain the cool temperature until the air bubbles have escaped.
6. A clear yellow gel was obtained. 5 mL of evening primrose oil epopure contains 3.5 g linoleic acid and 0.45 g gamma-linolenic acid.

MUPIROCIN CALCIUM CREAM

Mupirocin calcium cream 2% contains the dihydrate crystalline calcium hemisalt of the antibiotic mupirocin. Cream is a white cream that contains 2.15% w/w mupirocin calcium (equivalent to 2.0% mupirocin free acid) in an

oil-and-water-based emulsion. The inactive ingredients are benzyl alcohol, cetomacrogol 1000, cetyl alcohol, mineral oil, phenoxyethanol, purified water, stearyl alcohol, and xanthan gum.

MUPIROCIN OINTMENT

Bill of Materials

Scale (g/100 g)	Item	Material Name	Qty/kg (g)
2.00	1	Mupirocin crystalline USE mupirocin calcium dihydrate equivalent	20.00
1.00	2	Hydrocortisone	10.00
87.30	3	White soft paraffin	873.00
4.85	4	Softisan 649	48.50

MANUFACTURING DIRECTIONS

1. Heat appropriate proportions of white soft paraffin and Softisan 649 together to meet at 60°C to 70°C.
2. Mix thoroughly.
3. Allow to cool with stirring to room temperature.
4. Add items 2 and 3 with stirring.
5. Pass ointment through a mill (such as triple roller mill).

MUPIROCIN OINTMENT

Each gram of mupirocin ointment, 2%, contains 20 mg mupirocin in a bland water-miscible ointment base (polyethylene glycol ointment NF) consisting of polyethylene glycol 400 and polyethylene glycol 3350. Mupirocin is a naturally occurring antibiotic. The nasal ointment, 2%, contains the dihydrate crystalline calcium hemisalt of the antibiotic mupirocin. It is a white to off-white ointment that contains 2.15% w/w mupirocin calcium (equivalent to 2% pure mupirocin free acid) in a soft, white ointment base. The inactive ingredients are paraffin and a mixture of glycerin esters (Softisan®).

NAFTIFINE HYDROCHLORIDE CREAM

The cream, 1%, contains the synthetic, broad-spectrum antifungal agent naftifine hydrochloride. It is for topical use only. The active ingredient is naftifine hydrochloride, 1%; the inactive ingredients are benzyl alcohol, cetyl alcohol, cetyl esters wax, isopropyl myristate, polysorbate 60, purified water, sodium hydroxide, sorbitan monostearate, and stearyl alcohol. Hydrochloric acid may be added to adjust pH.

NAFTIFINE HYDROCHLORIDE CREAM

Bill of Materials

Scale (g/100 g)	Item	Material Name	Qty/kg (g)
39.00	1	Urea	390.00
0.15	2	Carbopol 940	1.50
5.94	3	Petrolatum	59.40
12.06	4	Mineral oil	120.60
1.875	5	Glyceryl stearate	187.50
0.626	6	Cetyl alcohol	6.26
3.00	7	Propylene glycol	30.00
0.05	8	Xanthan gum	0.50
0.15	9	Trolamine	1.50
1.00	10	Naftifine hydrochloride ^a	10.00

^a This formulation can serve as a generic formula for topical antifungals.

NANOXYNOL SUPPOSITORY WITH BACTERIAL CULTURE

Bill of Materials

Scale (mg/suppository)	Item	Material Name	Qty/1000 Suppositories (g)
125.00	1	Benzalkonium chloride or methyl benzethonium chloride	125.00
110.00	2	Imidiazolidinyl urea	110.00
11.00	3	Diazolidinyl urea	11.00
400.00	4	Hydroxypropylmethylcellulose	400.00
200.00	5	Microcrystalline cellulose	200.00
100.00	6	Ascorbic acid	100.00
110.00	7	Nanoxynol 9	110.00
QS	8	Lactic acid for pH adjustment	QS
1 million	9	Encapsulated lactobacilli (bacteria) ^a	1 billion
30.00	10	Magnesium stearate	30.00
30.00	11	Silicon dioxide	30.00
30.00	12	Lactose	30.00
QS	13	Sterile normal saline	QS

^a Encapsulation methods: Viable lyophilized lactobacilli bacteria that have been lyophilized after the removal of the media are used for encapsulation. The organisms are grown to log phase in nutrient media. The removal of the nutrient media is done by centrifugation at 14,000 g at 0°C to 4°C and then washing with sterile, balanced salts and 5% glucose solution at least three times after the initial centrifugation. The bacteria are then “snap frozen” with liquid nitrogen and lyophilized under high vacuum. The freshly obtained, washed, and lyophilized bacteria are suspended in 10 mL of 5% glucose saline solution in such volume so as to obtain a heavy suspension of bacteria that contains between 1 and 10 billion organisms per milliliter at 0°C to 4°C. The suspension of bacteria is rapidly, but gently, stirred while 0.2 mL to 0.4 mL of sodium alginate solution (1.5% weight by

volume) is added. The above mixture is then transferred into a 4-L round-bottom flask by using a nitrogen stream through a sheathed 14-gauge needle. The 4-L round-bottom flask was previously washed with a 5% albumin solution and, thereafter, heated for at least 10 hours at 65°C, and the needle and the tubing used in the process have also been treated this way. Thereafter, the above mixture is forced through a 30-gauge multibeveled needle under pressure, using a large syringe and nitrogen stream. Very small droplets are generated at the end of the needle, which are dried by the nitrogen and airstream around the 30-gauge needle, and the droplets are collected in an aqueous solution of 1.3% to 2% calcium chloride, where they gel. Thereafter, they are washed at least three times with 0.08% to 0.13% 2-(N-cyclohexyl-amino) ethanesulfonic acid (CHES) solution and 1.0% to 1.5% calcium chloride solution. The gelled droplets or little spheres are further washed with at least a fivefold excess of the 0.1% CHES 1.1% calcium chloride and normal saline solution. The resultant spheres are then “snap frozen” in liquid nitrogen and then lyophilized. After these steps, the encapsulated organisms can be used in the formulation below.

MANUFACTURING DIRECTIONS

1. Add the benzalkonium chloride or methylbenzethonium chloride, imidiazolidinyl urea, and diazolidinyl urea slowly, while thoroughly stirring, to a suspension of hydroxypropylmethylcellulose and microcrystalline cellulose in a sterile normal saline solution (quantity sufficient to make a thick paste) at 35°C to 37°C.
2. Slowly lower the pH to approximately 6.0 to 6.3 with reagent grade lactic acid. (This step binds the antimicrobials to the “cellulose” excipients.)
3. Stir the suspension for 2 hours and then slowly add ascorbic acid that was dissolved in approximately 10 mL to 15 mL sterile saline with gentle stirring.
4. The material is, at this point, a very thick paste. Now add spermicide (Nonoxynol 9) and thoroughly mix. After this step, perform the process at 0°C to 4°C.
5. Then lower the pH of the mixture to 4.3 to 4.5 with reagent-grade lactic acid.
6. Then add freshly obtained encapsulated lactobacilli bacteria to achieve a final concentration of at least 1 million viable bacteria per suppository. (In as much as the goal is to achieve a final concentration of at least 1 million viable bacteria per suppository, a four- to sixfold excess of bacteria are usually added because some loss of the viability occurs during the various mixing processes. This means that approximately 500 mg of the encapsulated bacteria are usually added.) It is important to mix these organisms not only thoroughly to ensure uniformity but also quickly because moisture adversely affects the viability of the organisms.
7. Rapid and thorough mixing can be done, for example, by spreading the paste in a thin layer on a sterile glass plate and then using a replicator to spread the bacteria evenly over the paste.

8. Add magnesium stearate and silicon dioxide, with or without lactose.
9. After the materials are thoroughly mixed at 0°C to 4°C, press them into a mold and dry in a desiccating jar under vacuum at 0°C to 4°C. [Drying at room temperature (25°C) or at higher temperatures decreases the number of viable bacteria.]
10. Then seal the suppositories in air- and moisture-proof containers until used. During storage they should be protected from moisture and extreme temperatures to ensure the viability of the lactobacilli.

NEOMYCIN AND BACITRACIN OINTMENT

Bill of Materials

Scale (g/100 g)	Item	Material Name	Qty/kg (g)
50,000 IU	1	Bacitracin zinc, 8% excess (69 IU/mg)	7.80
0.50	2	Neomycin sulfate, 8% excess	5.40
85.00	3	White soft paraffin	850.00
5.00	4	Hard paraffin	50.00
10.00	5	Liquid paraffin	100.00
0.10	6	Edetate disodium	1.00

MANUFACTURING DIRECTIONS

1. Place items 3 and 4 and half of item 5 in a melting vessel and heat to 100°C; bubble nitrogen gas to remove moisture and reduce oxygen load.
2. In a separate vessel, place balance of item 5 and mix items 1 and 2 to make a paste.
3. Add step 2 to step 1 and mix at 30°C for 2 hours.

NEOMYCIN GEL

Bill of Materials

Scale (mg/g)	Item	Material Name	Qty/kg (g)
0.50	1	Neomycin sulfate	0.50
50.00	2	Propylene glycol	50.00
5.00	3	Parabens	5.00
200.00	4	Lutrol F 127	200.00
745.00	5	Water	745.00

MANUFACTURING DIRECTIONS

1. Dissolve the parabens and Lutrol F 127 in water heated to approximately 80°C.
2. Add the propylene glycol and dissolve neomycin sulfate.

3. Either cool to room temperature when the air bubbles escape or dissolve parabens in hot water, cool to 5°C to 10°C, dissolve Lutrol F 127, add propylene glycol, and dissolve neomycin sulfate.
4. Maintain the cool temperature until the air bubbles have escaped.

NEOMYCIN, POLYMYXIN B SULFATE, AND BACITRACIN ZINC OPHTHALMIC OINTMENT

The neomycin and polymyxin B sulfates and bacitracin zinc ophthalmic ointment is a sterile antimicrobial ointment for ophthalmic use. Each gram contains neomycin sulfate equivalent to 3.5 mg neomycin base, polymyxin B sulfate equivalent to 10,000 polymyxin B units, bacitracin zinc equivalent to 400 bacitracin units, and white petrolatum, QS.

NICOTINE POLYMER GEL

Bill of Materials

Scale (g/100 g)	Item	Material Name	Qty/kg (g)
66.70	1	N-30 PVP	667.00
28.60	2	Lauryl methacrylate	286.00
5.00	3	Sodium stearate	50.00
1.25	4	Hydrogen peroxide (30%)	12.50
QS	5	Water purified	QS to 1 kg

MANUFACTURING DIRECTIONS

1. Carry out the emulsion copolymerization of 66.7 parts N-30 vinyl pyrrolidone and 28.6 parts lauryl methacrylate in 200 parts water containing five parts sodium stearate and 1.25 parts 30% hydrogen peroxide as catalyst.
2. Heat the mixture with stirring and carry out the polymerization at 75°C for approximately 10 hours. The conversion is approximately 92%.
3. Spray dry the emulsion at approximately 210°C to yield a fine off-white powder.
4. The nitrogen content of the copolymer is 8.6%, indicating an item 1 content of 68%.
5. Prepare a gel base by vigorously mixing the following ingredients (in parts by weight): Copolymer prepared above, 6.75; propylene glycol, hydroxypropyl cellulose, isopropyl myristate, stearic acid, cetyl alcohol, fumed silica, 12.45; and ethanol, 80.80. The resultant gel has a viscosity of 12,000 cps and a specific gravity of 0.8.
6. To 40 g of the above gel, add 140 mg nicotine. Mix thoroughly to obtain a composition containing 3.5 mg/g (2.8 mg/mL).

NITROFURAZONE CREAM

Bill of Materials			
Scale (g/100 g)	Item	Material Name	Qty/kg (g)
0.20	1	Nitrofurazone, 4% excess	2.08
7.20	2	Cetostearyl alcohol	72.00
1.80	3	Cetomacrogol 1000	18.00
6.00	4	Liquid paraffin	60.00
15.00	5	White soft paraffin	150.00
1.00	6	Propylene glycol	10.00
0.020	7	Chlorocresol	0.20
69.00	8	Water purified	690.00

MANUFACTURING DIRECTIONS

- Place items 3, 4, 5 (90%), and 6 in a melting vessel after passing it through a stainless-steel sieve and heat to melt. In a separate vessel, heat two-thirds of item 9 to 50°C and dissolve item 8 in it. Add to step 1.
- Add and mix item 1 with item 5 (balance) and add to step 2.
- Fill.

NONDETERGENT NEUTRAL DRY SKIN CREAM

Bill of Materials			
Scale (mg/g)	Item	Material Name	Qty/kg (g)
60.00	1	Stearic acid	60.00
145.00	2	White petrolatum jelly	145.00
116.00	3	Mineral oil (25 cS)	116.00
10.00	4	Lanolin	10.00
20.00	5	Cetearyl alcohol	20.00
QS	6	Deionized water	QS to 1 kg
14.00	7	Triethanolamine (99%)	14.00
QS	8	Perfume, preservative, color	QS

MANUFACTURING DIRECTIONS

- Heat oil and water phases separately to 70°C.
- Add water phase to oil phase while stirring.
- Stir to cool, adding triethanolamine at 60°C and perfuming at 40°C to 50°C.
- This cream serves as a base for drugs as well.
- Triethanolamine may be omitted, because it gives a higher pH.

NYSTATIN CREAM

Bill of Materials			
Scale (mg/g)	Item	Material Name	Qty/kg (g)
80.00	1	Cetostearyl alcohol	80.00
20.00	2	Polyoxyl 20 cetostearyl ether (Cetomacrogol 1000)	20.00
80.00	3	Mineral oil (liquid paraffin)	80.00
2.00	4	Methyl paraben	2.00
100,000 IU	5	Nystatin microfina ^a (30% excess) 5420 IU/mg	24.00
1.00	6	Propyl paraben	1.00
100.00	7	Propylene glycol	100.00
4.86	8	Dibasic sodium phosphate	4.86
2.36	9	Monobasic sodium phosphate	2.36
180.00	10	Petrolatum (soft white paraffin)	180.00
506.00	11	Purified water	506.00

^a Particle size NLT 90% less than 45 pm and 100% less than 80 pm.

MANUFACTURING DIRECTIONS

- Add item 3 to the fat-melting vessel.
- Heat to 70°C while stirring.
- Add items 1, 2, and 10 to the fat-melting vessel while stirring.
- Mix well and maintain the temperature at 65°C to 70°C.
- Load 466 g of item 11 and item 7 into mixer and heat to 90°C.
- Add items 4 and 6 to dissolve while stirring on manual mode.
- Mix for 15 minutes at 10 rpm.
- Cool to 65°C to 70°C.
- Add items 8 and 7 to the parabens solution to dissolve.
- Mix for 5 to 10 minutes at 10 rpm.
- Maintain temperature at 65°C to 70°C.
- Take a sample of approximately 0.40 mL from mixer and cool to 25°C.
- Check the pH (6.3–7.0).
- Withdraw 80 g of preservative/buffer solution from mixer at 65°C to 70°C in a stainless-steel container.
- Cool the solution in stainless-steel container to 30°C to 35°C.
- Disperse item 5 carefully using a spatula.
- Homogenize using homogenizer to make a smooth dispersion.
- Transfer the molten fat to the mixer containing the preservative/buffer solution through a stainless-steel sieve by vacuum at 0.6 bar while mixing at 10 rpm in manual mode at a temperature of 65°C.

19. Homogenize and mix the cream for 10 minutes at low speed (10 rpm, manual mode) and vacuum of 0.6 bar.
20. Cool to 40°C ± 5°C.
21. Transfer the 104 g of drug phase (35°C ± 5°C) to the mixer while mixing.
22. Rinse the stainless-steel container of the drug phase with 40 g of item 11 (25–35°C) and transfer to the mixer while mixing.
23. Rinse the homogenizer and the container with item 11 and transfer the rinsing to the mixer.
24. Mix for 5 minutes.
25. Set the mixer at a mixing speed of 10 rpm (manual mode) and the homogenizer at low speed with a vacuum of 6 bar.
26. Mix and homogenize for 15 minutes.
27. Cool to 30°C with mixer speed of 10 rpm and vacuum of 6 bar.
28. Transfer the cream to a stainless-steel drum.

NYSTATIN OINTMENT

Bill of Materials

Scale mg/g	Item	Material Name	Qty/kg (g)
21.05	1	Nystatin microfina ^a	21.05
22.00	2	Cetostearyl alcohol	22.00
8.00	3	Paraffin (hard paraffin)	8.00
100.00	4	Mineral oil (liquid paraffin)	100.00
848.95	5	Petrolatum (white soft paraffin)	848.95

^a Actual quantity to be calculated as per the actual potency; adjust with soft paraffin. Meets the current USP requirements with following additional requirement: Particle size not less than 90% less than 45 µm, 100% less than 80 µm.

MANUFACTURING DIRECTIONS

1. Melt items 2, 3, and 5 at 70°C in a fat-melting vessel.
2. Disperse item 1 in 80 g of item 4 in a separate stainless-steel container by using a spatula.
3. Pass the dispersion through homogenizer twice, then transfer the dispersion to mixer.
4. Rinse the homogenizer and container with 20 g of item 4 and transfer the rinsings to the mixer.
5. Homogenize the dispersion at high speed for 15 minutes. Set the mixer at 40°C to 45°C.
6. Transfer the molten mass from the fat-melting vessel to the mixer at 45°C to 50°C.
7. Mix for 10 minutes at manual mode and 10 minutes at auto mode at 12 rpm and vacuum 0.4 to 0.6 bar.
8. Homogenize at high speed for 10 minutes with recirculation. Mix until the temperature of the ointment reaches 28°C to 30°C.
9. Transfer the ointment to a stainless-steel drum. Keep tightly closed.

NYSTATIN, NEOMYCIN SULFATE, GRAMICIDIN, AND TRIAMCINOLONE ACETONIDE CREAM

Bill of Materials

Scale (mg/g)	Item	Material Name	Qty/kg (g)
22.96	1	Nystatin microfina ^a	22.96
4.43	2	Neomycin sulfate ^b	4.43
0.28	3	Gramicidin ^c	0.28
1.00	4	Triamcinolone acetonide micronized	1.00
80.00	5	Cetostearyl alcohol	80.00
20.00	6	Polyoxyl 20 cetostearyl ether (cetomacrogol 1000)	20.00
80.00	7	Mineral oil (liquid paraffin)	80.00
2.00	8	Methyl paraben	2.00
1.00	9	Propyl paraben	1.00
60.00	10	Propylene glycol	60.00
4.86	11	Dibasic sodium phosphate	4.86
2.36	12	Monobasic sodium phosphate	2.36
180.00	13	Petrolatum (white soft paraffin)	180.00
531.86	14	Purified water	531.86

^a Actual quantity to be calculated as per the actual potency. Difference in quantity to be adjusted by purified water. Meets current USP requirements with the following additional requirement: Particle size NLT 90% less than 45 µm, 100% less than 80 µm.

^b Meets the current USP requirements with the following additional requirement: Particle size 99% less than 20 µm, 75% less than 10 µm.

^c Meets the current USP requirements with the following additional requirement: Particle size 98% less than 50 µm.

MANUFACTURING DIRECTIONS

1. Load items 5, 6, 7, and 13 in a fat-melting vessel and heat to 70°C. Stir to melt. Maintain temperature 70°C to 75°C. Heat 420 g of item 14 to 90°C in mixer.
2. Dissolve items 8 and 9 by stirring. Mix for 15 minutes at 10 to 12 rpm.
3. Cool to 65°C to 70°C. Dissolve items 11 and 12 in 71.86 g of item 14 at 40°C to 45°C in a stainless-steel drum.
4. Check the pH limit 6.3 to 7.0 (at 25°C).
5. Dissolve item 2 into 79.08 g phosphate solution. The solution should be clear.
6. Disperse item 1 in the neomycin–phosphate solution above.
7. Homogenize twice to make a smooth dispersion. The dispersion should be smooth with no lumps.
8. Add 50 g of item 10 in a separate stainless-steel container and heat to 40°C to 45°C, then dissolve item 3 by using homogenizer. The solution should be clear. Disperse item 4 in the clear solution of gramicidin–propylene glycol by using the homogenizer. Homogenize until there are no lumps.

9. Maintain temperature at 40°C to 45°C.
10. Transfer the melt from the step above to the mixer through a stainless-steel sieve while mixing at temperature 65°C.
11. Homogenize at high speed for 10 to 12 minutes at 60°C to 65°C, vacuum 0.6 bar. Scrape the sides and blade. Cool down to 50°C. Transfer the homogenized dispersion from the mixer.
12. Rinse the container with 10 g item 10. Add to the mixer and mix for 10 minutes. Transfer the dispersion to the mixer.
13. Rinse the container with 40 g item 14. Add to the mixer and mix for 10 minutes.
14. Homogenize at high speed for 20 minutes at temperature 45°C, mixer speed 10 to 12 rpm, and vacuum 0.6 bar.
15. Cool down to 25°C to 30°C while mixing. Transfer the cream to stainless-steel drum.

NYSTATIN, NEOMYCIN SULFATE, GRAMICIDIN, AND TRIAMCINOLONE ACETONIDE OINTMENT

Bill of Materials

Scale (mg/g)	Item	Material Name	Qty/kg (g)
22.96	1	Nystatin microfine ^a	22.96
4.43	2	Neomycin sulfate ^a	4.43
0.28	3	Gramicidin ^a	0.28
1.00	4	Triamcinolone acetone micronized	1.00
100.00	5	Mineral oil (liquid paraffin)	100.00
10.00	6	Syncrowax	10.00
861.33	7	Petrolatum (white soft paraffin)	861.33

^a Actual quantity to be calculated as per the actual potency. Difference in quantity to be adjusted by white soft paraffin.

MANUFACTURING DIRECTIONS

1. Melt item 7 at 70°C in a fat-melting vessel.
2. Add item 6 to the melt while mixing. Transfer the melt to the mixer through filters and cool to 40°C while mixing.
3. Add 60 g of item 5 in stainless-steel container and disperse item 1 manually by using a spatula. Homogenize two times with homogenizer (gap setting 1) to make smooth dispersion and then transfer to the mixer.
4. Add 20 g of item 5 in a stainless-steel container and disperse items 2, 3, and 4 by using homogenizer to make a smooth dispersion. Homogenize until no lumps.
5. Transfer the dispersion to the mixer. Rinse the homogenizer and stainless-steel container with 20 g of item 5 and transfer the rinsing to the mixer.

6. Mix for 10 minutes, mixer speed 10 rpm, vacuum 0.4 to 0.6 bar, and set thermostat at 28°C to 30°C. Homogenize at high speed for 20 minutes with recirculation.
7. Mix until the temperature of the ointment reaches 28°C to 30°C.
8. Transfer the ointment to a stainless-steel drum. Keep tightly closed.

OCTYL METHOXYCINNAINATE, OCTYL SALICYLATE, AND OXYBENZONE GEL

The active ingredients in octyl methoxycinnamate, octyl salicylate, and oxybenzone gel are octyl methoxycinnamate, 7.5%, octyl salicylate, 4%, and oxybenzone, 3%. The inactive ingredients are purified water, C12–15 alkyl benzoate, cetaryl alcohol and cetareth-20, cetyl alcohol, glyceryl monostearate, propylene glycol, petrolatum, diazolidinyl urea, triethanolamine, disodium ethylene diamine tetraacetate, xanthan gum, acrylates/C10–30 alkyl acrylate crosspolymer, to-copheryl acetate, iodopropynyl butylcarbamate, fragrance, carbomer.

OLIBANUM GUM CREAM

Bill of Materials

Scale (g/100 g)	Item	Material Name	Qty/kg (g)
5.00	1	Gum olibanum powder	50.00
26.00	2	Emulsifying ointment	260.00
0.15	3	Methyl paraben	1.50
0.15	4	Propyl paraben	1.50
QS	5	Water purified	QS to 1 kg

MANUFACTURING DIRECTIONS

1. Take the naturally occurring gum olibanum exudate in dry state as it is.
2. Powder the lumps (1 kg) in an edge runner mill for 30 minutes.
3. Pass the powdered raw gum olibanum through a 100 mesh sieve.
4. Disperse weighed quantity of the powder in appropriate quantity of water along with methyl paraben (0.15%).
5. Melt weighed quantity of emulsifying ointment in another vessel and disperse propyl paraben (0.15%) in it (oily phase).
6. Heat the dispersion containing gum olibanum powder and methyl paraben to the same temperature as that of emulsifying ointment.
7. Add the aqueous dispersion containing gum olibanum powder to the molten emulsifying ointment and stir the mixture continuously at 10,000 rpm for 1 hour using a homogenizer to obtain cream consistency.

OXICONAZOLE CREAM AND LOTION

The cream and lotion formulations contain the antifungal active compound oxiconazole nitrate. Both formulations are for topical dermatologic use only. The cream contains 10 mg oxiconazole per gram of cream in a white to off-white, opaque cream base of purified water USP, white petrolatum USP, stearyl alcohol NF, propylene glycol USP, polysorbate 60 NF, and cetyl alcohol NF, with benzoic acid USP 0.2% as a preservative. The lotion contains 10 mg oxiconazole per gram of lotion in a white to off-white, opaque lotion base of purified water USP, white petrolatum USP, stearyl alcohol NF, propylene glycol USP, polysorbate 60 NF, and cetyl alcohol NF, with benzoic acid USP, 0.2%, as a preservative.

OXYMORPHONE HYDROCHLORIDE SUPPOSITORIES

The rectal suppository is available in a concentration of 5 mg of oxymorphone hydrochloride in a base consisting of polyethylene glycol 1000 and polyethylene glycol 3350.

OXYTETRACYCLINE OINTMENT

Bill of Materials

Scale (g/100 g)	Item	Material Name	Qty/kg (g)
3.00	1	Oxytetracycline hydrochloride micronized	3.00
93.00	2	White soft paraffin	93.00
3.70	3	Liquid paraffin	3.70
0.02	4	Vitamin E oily	0.02

MANUFACTURING DIRECTIONS

- Charge item 2 in a fat-melting vessel and heat to 75°C.
- In a separate vessel, add and mix items 1, 3, and 4 and mix manually using a spatula.
- Transfer step 1 to Becomix through a stainless-steel mesh. Cool down to 50°C.
- Add step 2 to step 3 and mix for 20 minutes. Check for smoothness of dispersion.
- Homogenize under 0.4 to 0.6 bar vacuum and cool down to 30°C.
- Fill.

PANTHENOL AND CHLORHEXIDINE LOTION

Bill of Materials

Scale (mg/mL)	Item	Material Name	Qty/1000 Tablets (g)
25.00	1	D-Panthenol (adjusted for potency)	26.25
2.50	2	DL-Lactone pure	2.50
1.00	3	Sequestrene disodium	1.00
3.00	4	Chlorhexidine hydrochloride micropowder	3.00
5.00	5	POEG 300-stearate ^a	5.00
50.00	6	Paraffin oil low viscosity	50.00
5.00	7	Polydimethylsiloxane M 350	5.00
3.00	8	Perfume PCV 1155/8	3.00
–	9	Purified water	QS to 1 L

^a POEG 300 is a mixture of monoesters and diesters of polyoxyethylene glycol 300, with palmitic and stearic acids and free polyoxyethylene glycol 300.

MANUFACTURING DIRECTIONS

- Aqueous phase: Prepare a solution of DL-lactone (previously liquefied at approximately 100°C) in water.
- Add the DL-lactone solution to the main part of water at 70°C.
- Incorporate the D-panthenol (previously liquefied at approximately 45°C).
- Admix and dissolve sequestrene disodium.
- Fatty phase: Melt at approximately 65°C under stirring POEG 300-stearate, paraffin oil, and polydimethylsiloxane M 350.
- Emulsion: Add the fatty phase at 65°C to the aqueous phase at approximately 45°C. Cool to approximately 36°C while stirring and homogenizing.
- Chlorhexidine suspension: Suspend chlorhexidine in water. Lotion: Add the chlorhexidine suspension to the emulsion at approximately 36°C. Stir, homogenize, and deaerate.
- Finally, add the perfume, homogenize again, and filter.

PANTHENOL OINTMENT

Bill of Materials			
Scale (mg/g)	Item	Material Name	Qty/kg (g)
50.00	1	Protegin X	50.00
18.00	2	Cetyl alcohol	18.00
12.00	3	Stearyl alcohol	12.00
40.00	4	Wax white	40.00
250.00	5	Wool fat deodorized	250.00
130.00	6	Vaseline® (white)	130.00
50.00	7	Almond oil	50.00
150.00	8	Paraffin oil	150.00
50.00	9	D-Panthenol	50.00
250.00	10	Deionized water	250.00

MANUFACTURING DIRECTIONS

- Place wool fat, Vaseline, almond oil, and paraffin in a heating vessel. Heat and melt the fats together at 80°C with stirring to keep the fatty phase at this temperature until further processing.
- In a separate container, add protegin X, cetyl alcohol, stearyl alcohol, and wax white; melt these fats with stirring at 80°C. Add to above. The final temperature in the melt should be approximately 70°C. Keep this temperature until further processing.
- Transfer D-panthenol into a suitable container by pouring and then rinsing it with hot deionized water 1.67 kg, continue to mix another 5 minutes, check the final weight, and make up for evaporated water.
- Place into kettle and heat to 70°C while stirring. Transfer the melted fatty mass under vacuum (–0.3 atm) through the inline sieve (mesh size 0.150 mm). After the addition, evacuate again to –0.3 atm, then stir for another 15 minutes and homogenize for 5 minutes under the same condition.
- Cool to 30°C. (The cooling should be within 4 hours.) When this temperature is reached, continue stirring until the ointment has reached 24°C to 26°C. Stop cooling. Then evacuate to –0.3 atm and stir for 5 minutes.
- Transfer the ointment in a mixer and mix for 5 minutes with electric mixture. Fill the ointment.

PANTHENOL LOTION

Bill of Materials			
Scale (mg/mL)	Item	Material Name	Qty/L Tablets (g)
26.25	1	D-Panthenol (2.5%) ^a	26.25
2.50	2	DL-Lactone (pure)	2.50
1.00	3	Sequestrene disodium	1.00
3.00	4	Chlorhexidine hydrochloride (micropowder)	3.00
5.00	5	POEG 300-stearate ^b	5.00
50.00	6	Paraffin oil (low viscosity)	50.00
5.00	7	Polydimethylsiloxane M 350	5.00
3.00	8	Perfume PCV 1155/8	3.00
–	9	Purified water	QS to 1 L

^a Based on 100% content; adjust for assay.

^b POEG 300 is a mixture of monoesters and diesters of polyoxyethylene glycol 300, with palmitic and stearic acids and free polyoxyethylene glycol 300.

MANUFACTURING DIRECTIONS

- Aqueous phase: Prepare a solution of DL-lactone (previously liquefied at approximately 100°C) in water.
- Add the DL-lactone solution to the main part of water at 70°C.
- Incorporate the D-panthenol (previously liquefied at approximately 45°C).
- Admix and dissolve sequestrene disodium.
- Fatty phase: Melt at approximately 65°C under stirring POEG 300 stearate, paraffin oil, and polydimethylsiloxane M 350.
- Emulsion: Add the fatty phase at 65°C to the aqueous phase at approximately 45°C.
- Cool to approximately 36°C while stirring and homogenizing.
- Chlorhexidine suspension: Suspend chlorhexidine in water.
- Lotion: Add the chlorhexidine suspension to the emulsion at approximately 36°C.
- Stir, homogenize, and deaerate.
- Finally, add the perfume, homogenize again, and filter.

PANTOPRAZOLE–CHOLESTEROL COMPLEX SUPPOSITORY

1. Dissolve 7 g of cholesterol and 5 g of ethocel in 100 mL of dichloromethane.
2. Suspend 5 g of pantoprazole sodium sesquihydrate in the solution.
3. Spray dry the suspension in a laboratory spray dryer.
4. Spray conditions: Drying gas nitrogen, inlet temperature 51°C; pump output 10%. Heat 100 g of cetyl alcohol to 65°C. Spray congealing: Slowly add 50 g of pantoprazole sodium sesquihydrate.
5. Stir the mixture until a homogeneous suspension is obtained and subsequently spray through a nozzle in a spray dryer.
6. A white free-flowing powder is obtained with particle size in the range 10 to 40 microns.
7. By variation of the spraying conditions, larger or smaller particles can be obtained.
8. Fuse 194.7 g of suppository base (Adeps solidus/Neutralis) to give a clear mass at 40°C to 45°C.
9. After cooling the mass to 39°C to 40°C, introduce the preparation obtained above (15.3 g) homogeneously using a stirrer.
10. Cool the suspension obtained to 37°C to 38°C and cast into suppositories of 2.1 g each containing 45.6 mg of pantoprazole sodium sesquihydrate.

PAPAIN CHEWING GUM

FORMULATION

Gum base, 31.20%; sorbitol, 28.08%; mannitol, 5.23%; papain, 1.00%; acesulfame K, 0.16%; aspartame, 0.16%; menthol powder, 1.00%; liquid flavor, 0.47%; isomalt PF, 11.70%; isomalt DC, 16.00%; anticaking agents (magnesium stearate, talc, or silica gel), 4.00%; flavor, 2.00%.

PAPAIN OINTMENT

The ointment is an enzymatic debriding-healing ointment that contains standardized papain USP (not less than 521,700 USP units per gram of ointment), urea USP, 10%, and chlorophyllin copper complex sodium, 0.5%, in a hydrophilic base composed of purified water USP, propylene glycol USP, white petrolatum USP, stearyl alcohol NF, polyoxyl 40 stearate NF, sorbitan monostearate NF, boric acid NF, chlorobutanol (anhydrous) NF (as a preservative), and sodium borate NF. In another formulation, each gram of enzymatic debriding ointment contains papain (8.3×10^5 USP units of activity) and 100 mg urea in a hydrophilic ointment base composed of purified water, emulsifying wax, glycerin, isopropyl palmitate, potassium phosphate monobasic, fragrance, methyl paraben, and propyl paraben.

PENCICLOVIR CREAM

The cream contains penciclovir, an antiviral agent active against herpes viruses for topical administration as a 1% white cream. Each gram of cream contains 10 mg penciclovir and the following inactive ingredients: Cetomacrogol 1000 BP cetostearyl alcohol, mineral oil, propylene glycol, purified water, and white petrolatum.

PEPPERMINT CREAM

Bill of Materials

Scale (mg/g)	Item	Material Name	Qty/kg (g)
25.00	1	Sorbitol stearate	25.00
15.00	2	Polysorbate 60	15.00
300.00	3	Peppermint oil	300.00
20.00	4	Cetyl alcohol	20.00
40.00	5	Stearic acid	40.00
10.00	6	Triethanolamine 99%	10.00
2.00	7	Carbopol 980	2.00
QS	8	Deionized water	QS
QS	9	Preservative, color	QS

MANUFACTURING DIRECTIONS

1. Hydrate Carbopol in water 60°C to 65°C.
2. Add remaining water-phase ingredients.
3. Heat oil and water phases separately to 70°C to 75°C.
4. Add water phase to oil phase while stirring. Stir to cool, neutralizing at 65°C with triethanolamine.

PERMETHRIN CREAM AND LOTION

Permethrin cream, 5%, is a topical scabidical agent for the treatment of infestation with *S. scabiei* (scabies). It is available in an off-white vanishing cream base. Each gram of cream, 5%, contains permethrin 50 mg (5%) and the inactive ingredients butylated hydroxytoluene, carbomer 934P, coconut oil, glycerin, glyceryl stearate, isopropyl myristate, lanolin alcohols, light mineral oil, polyoxyethylene cetyl ethers, purified water, and sodium hydroxide. Formaldehyde 1 mg (0.1%) is added as a preservative. Each fluid ounce of lotion contains permethrin 280 mg (1%) as its active ingredient and balsam fir Canada, cetyl alcohol, citric acid, FD&C yellow No. 6, fragrance, hydrolyzed animal protein, hydroxyethyl cellulose, polyoxyethylene 10 cetyl ether, propylene glycol, stearylalkonium chloride, water, isopropyl alcohol 5.6 g (20%), methyl paraben 56 mg (0.2%), and propyl paraben 22 mg (0.08%) as its inactive ingredients.

PETROLATUM AND LANOLIN OINTMENT

Active ingredients in petrolatum and lanolin ointment are petrolatum, 53.4%, and lanolin, 15.5%. Inactive ingredients

are cod liver oil (contains vitamins A and D), fragrance, light mineral oil, microcrystalline wax, and paraffin.

PHENYLEPHRINE OINTMENT, CREAM, SUPPOSITORIES, AND GEL

The ointment contains petrolatum, 71.9%, mineral oil, 14%, shark liver oil, 3%, and phenylephrine HCl, 0.25%. The cream contains petrolatum, 18%, glycerin, 12%, shark liver oil, 3%, and phenylephrine HCl, 0.25%. The suppositories contain cocoa butter, 85.5%, shark liver oil, 3%, and phenylephrine HCl, 0.25%. The cooling gel contains phenylephrine HCl, 25%, and witch hazel, 50%.

PIROXICAM OINTMENT

Bill of Materials

Scale (g/100 g)	Item	Material Name	Qty/kg (g)
1.00	1	Piroxicam	10.00
1.00	2	Carbopol 940	10.00
30.00	3	Alcohol	300.00
30.00	4	Propylene glycol	300.00
1.50	5	Diethanolamine	15.00
0.50	6	Hydroxyethyl cellulose	5.00
0.50	7	PVP K-30	5.00
QS	8	Water purified	QS to 1 kg

MANUFACTURING DIRECTIONS

Blend all items uniformly together to produce an ointment formulation having a pH of 7.9. Neutralize the Carbopol using item 5.

PIROXICAM AND DEXPANTHENOL GEL

Bill of Materials

Scale (g/100 g)	Item	Material Name	Qty/kg (g)
0.50	1	Piroxicam	5.00
25.00	2	1,2-Propylene glycol	250.00
5.00	3	Alcohol	50.00
0.40	4	Triethanolamine	~4.00
23.00	5	Lutrol F 127	230.00
46.00	6	Water purified	460.00

MANUFACTURING DIRECTIONS

1. Prepare the solution of piroxicam in propylene glycol and dexpanthenol at 70°C to 80°C.

- a. Add ethanol and Lutrol F 127.
- b. Stir the highly viscous mixture. Add 50% of the hot water (70°C).
- c. Adjust the pH with triethanolamine to approximately 7.
- d. Add the rest of the water, cool to room temperature when the air bubbles escape, and adjust the pH to approximately 8.

or

1. Dissolve piroxicam in propylene glycol, dexpanthenol, and triethanolamine.
 - a. Cool the mixture of Lutrol F 127 and water to approximately 5°C and mix with the piroxicam solution.
 - b. Add the ethanol.
 - c. Maintain the cool temperature until the air bubbles escape.

POLYMYXIN, BACITRACIN, HYDROCORTISONE, AND ZINC OINTMENT

Bill of Materials

Scale (g/100 g)	Item	Material Name	Qty/kg (g)
18.00	1	Wax	180.00
69.80	2	Petrolatum	698.00
7.50	3	Polymyxin B sulfate	75.00
0.60	4	Bacitracin	6.00
4.00	5	Zinc oxide	40.00
0.50	6	Hydrocortisone acetate	5.00

MANUFACTURING DIRECTIONS

1. Add items 1 and 2 to a melting vessel. Heat to 75°C.
2. Add items 3 to 5 one by one and mix to dissolve.
3. Cool to 40°C and fill.

POVIDONE-IODINE AND LIDOCAINE GEL

Bill of Materials

Scale (mg/g)	Item	Material Name	Qty/kg (g)
100.00	1	PVP-iodine 30/06	100.00
10.00	2	Lidocaine hydrochloride	10.00
10.00	3	Sodium chloride	10.00
200.00	4	Lutrol F 127	200.00
79.00	5	Sodium hydroxide solution, 1 M	79.00
61.10	6	Water	61.10

MANUFACTURING DIRECTIONS

1. Dissolve items 1 to 3 in item 6, cool to approximately 6°C, dissolve item 4, and adjust the pH value (4.5–5.0) with item 5.
2. Maintain the cool temperature until the air bubbles escape. Viscosity (Brookfield, 23°C) 54,000 mPa.

POVIDONE–IODINE BAR SOAP

Bill of Materials			
Scale (mg/g)	Item	Material Name	Qty/kg (g)
50.00	1	PVP–iodine 30/06	50.00
10.00	2	Fragrance	10.00
75.00	3	Water	75.00
940.00	4	Syndet base	940.00

MANUFACTURING DIRECTIONS

1. Dissolve PVP–iodine in water and mix the solution with the fragrance and the syndet base.
2. Pass the blend four times through a three-roller mill.
3. Blend three times through a plodder with a narrow sieve hole disk.
4. Pass the blended material through a wide sieve hole disk combined with a mouth hole disk.
5. Heat the area of the two disks to 50°C using a heating collar.
6. Cut the bar in pieces on a lab stamper.
7. Composition of the syndet base (in sequence of concentration): Disodium lauryl sulfosuccinate, sodium lauryl sulfate, cetyl stearyl alcohol, paraffin, glycerol stearate, water, titanium dioxide.

POVIDONE–IODINE BAR SOAP

Bill of Materials			
Scale (mg/g)	Item	Material Name	Qty/kg (g)
50.00	1	PVP–iodine 30/06	50.00
75.00	2	Water	75.00
241.5	3	Texapon® K 12	241.5
241.5	4	Setacin® F special paste	241.5
241.5	5	Emcol® 4400.1	241.5
145.00	6	Cetyl stearyl alcohol	145.00
96.50	7	Paraffin	96.50
226.00	8	Glycerol monostearate	226.00

MANUFACTURING DIRECTIONS

1. Heat mixture of items 3 to 8 to 75°C to 80°C and cool to approximately 50°C stirring well.

2. Add solution of items 1 and 2 and let cool to room temperature, stirring continuously.
3. Pass the blend four times through a three-roller mill and let dry overnight at room temperature.
4. Cut the bar into pieces on a lab stamper.

POVIDONE–IODINE BAR SOAP

Bill of Materials			
Scale (mg/g)	Item	Material Name	Qty/kg (g)
50.00	1	PVP–iodine 30/06	50.00
75.00	2	Water	75.00
241.5	3	Texapon® K 12	241.5
241.5	4	Setacin® F special paste	241.5
241.5	5	Emcol® 4400.1	241.5
145.00	6	Cetyl stearyl alcohol	145.00
96.50	7	Paraffin	96.50
226.00	8	Glycerol monostearate	226.00

MANUFACTURING DIRECTIONS

1. Heat mixture of items 3 to 8 to 75°C to 80°C and cool to approximately 50°C stirring well.
2. Add solution of item 1 and let cool to room temperature, stirring continuously.
3. Pass the blend four times through a three-roller mill and let dry overnight at room temperature.
4. Cut the bar into pieces on a lab stamper.

POVIDONE–IODINE CREAM

Bill of Materials			
Scale (mg/g)	Item	Material Name	Qty/kg (g)
100.00	1	PVP–iodine 30/06	100.00
241.00	2	Citric acid solution, 0.1 M	241.00
369.00	3	Na ₂ HPO ₄ solution, 0.2 M	369.00
20.00	4	Cremophor A 6	20.00
20.00	5	Cremophor A 25	20.00
100.00	6	Cetyl stearyl alcohol	100.00
100.00	7	Liquid paraffin	100.00
50.00	8	Glycerol	50.00

MANUFACTURING DIRECTIONS

1. Prepare a basic cream from the emulsifying agents and the fatty substances, items 4 to 8.
2. Stir in the PVP–iodine dissolved in the buffer solutions made from items 2 and 3.
3. Brown cream having a pH of 4.5 is obtained.

POVIDONE–IODINE CREAM

Bill of Materials			
Scale (mg/g)	Item	Material Name	Qty/kg (g)
100.00	1	PVP–iodine 30/06	100.00
100.00	2	Liquid paraffin	100.00
100.00	3	Vaseline	100.00
50–80	4	Cetyl stearyl alcohol	50–80
20.00	5	Cremophor A 6	20.00
20.00	6	Cremophor A 25	20.00
50.00	7	Propylene glycol pharma	50.00
530–560	8	Water	530–560

MANUFACTURING DIRECTIONS

This cream is suitable for veterinary mastitis treatment.

1. Dissolve PVP–iodine in the solvents, items 7 and 8.
2. Mix items 2 to 6 by heating, stir the solution in the previous mixture, and cool by stirring.

POVIDONE–IODINE CREAM

Bill of Materials			
Scale (mg/g)	Item	Material Name	Qty/kg (g)
100.00	1	PVP–iodine 30/06	100.00
241.00	2	Citric acid (0.1-M solution)	241.00
369.00	3	Na ₂ HPO ₄ (0.2-M solution)	369.00
20.00	4	Cremophor A 6	20.00
20.00	5	Cremophor A 25	20.00
100.00	6	Cetyl stearyl alcohol	100.00
100.00	7	Liquid paraffin	100.00
50.00	8	Glycerol	50.00

MANUFACTURING DIRECTIONS

1. Prepare a basic cream from the emulsifying agents and the fatty substances (items 4–8).
2. Stir in the PVP–iodine dissolved in the buffer solutions made from items 2 and 3.
3. A brown cream having a pH of 4.5 is obtained.

POVIDONE–IODINE GEL

Bill of Materials			
Scale (mg/g)	Item	Material Name	Qty/kg (g)
100.00	1	PVP–iodine 30/06	100.00
359.00	2	Citric acid solution, 0.1 M	359.00
181.00	3	Na ₂ HPO ₄ • 12H ₂ O solution, 0.2 M	181.00
50.00	4	Lutrol E 400	50.00
100.00	5	Liquid paraffin	100.00
150.00	6	Lutrol F 127	150.00
70.00	7	Lutrol F 127	70.00

MANUFACTURING DIRECTIONS

1. Dissolve item 1 in a solution of items 2 to 4, mix with item 5, and dissolve item 6 at approximately 20°C.
2. Cool to 5°C to 8°C and dissolve item 7. Maintain cool until all air bubbles have disappeared (brown turbid gel).

POVIDONE–IODINE GEL

Bill of Materials			
Scale (mg/g)	Item	Material Name	Qty/kg (g)
100.00	1	PVP–iodine 30/06	100.00
10.00	2	Sodium chloride	10.00
200.00	3	Lutrol F 127	200.00
79.00	4	Sodium hydroxide solution, 1 M	79.00
610.00	5	Water	610.00

MANUFACTURING DIRECTIONS

1. Dissolve items 1 and 2 in item 5 and cool to approximately 6°C.
2. Dissolve Lutrol F 127 and item 2 and adjust the pH value with item 4.
3. Maintain cool until all air bubbles have escaped. Viscosity 61,000 mPa to 54,000 mPa (Brookfield, 23°C); pH value (20% in water) 2.2 to 4.6.

POVIDONE–IODINE GEL

Bill of Materials			
Scale (mg/g)	Item	Material Name	Qty/kg (g)
100.00	1	PVP–iodine 30/06	100.00
600.00	2	Lutrol E 400	600.00
46.00	3	Sodium hydroxide, 1 M solution	46.00
4.00	4	Water	4.00
250.00	5	Lutrol E 4000	250.00

MANUFACTURING DIRECTIONS

1. Prepare solution of items 1 to 4, heat to approximately 60°C, incorporate item 6, stir very well, and cool to room temperature.
2. A transparent ointment like a gel having a pH of 4 is achieved, miscible and washable with water.

POVIDONE–IODINE GEL CREAM

Bill of Materials			
Scale (mg/g)	Item	Material Name	Qty/kg (g)
100.00	1	PVP–iodine 30/06	100.00
359.00	2	Citric acid (0.1-M solution)	359.00
181.00	3	NA ₂ HPO ₄ · 12H ₂ O (0.2-M solution)	181.00
50.00	4	Lutrol E 400	50.00
100.00	5	Liquid paraffin	100.00
150.00	6	Lutrol F 127	150.00
70.00	7	Lutrol F 127	70.00

MANUFACTURING DIRECTIONS

1. Dissolve item 1 in solution of items 2 to 4, mix with item 5, and dissolve item 6 at approximately 20°C.
2. Cool to 5°C to 8°C and dissolve item 7.
3. Maintain cool temperature until all air bubbles have disappeared.
4. A brown, turbid gel is obtained.

POVIDONE–IODINE GELS

Bill of Materials			
Scale (mg/g)	Item	Material Name	Qty/kg (g)
100.00	1	PVP–iodine 30/06	100.00
10.00	2	Sodium chloride	10.00
200.00	3	Lutrol F 127	200.00
79.00	4	Sodium hydroxide (1-M solution)	79.00
610.00	5	Water	610.00

MANUFACTURING DIRECTIONS

1. Dissolve items 1 and 2 in item 5 and cool to approximately 6°C.
2. Dissolve Lutrol F 127 and item 2 and adjust the pH value with item 4.
3. Maintain cool until all air bubbles escape.
4. Viscosity (Brookfield, 23°C) is 61,000 mPa to 54,000 mPa; pH value (20% in water) is 2.2 to 4.6.

POVIDONE–IODINE GLUCOSE OINTMENT

Bill of Materials			
Scale (mg/g)	Item	Material Name	Qty/kg (g)
20.00	1	PVP–iodine 30/06, with excess	26.00
45.00	2	Ethanol (96%)	45.00
849.00	3	Glucose	849.00
34.00	4	Lutrol E 4000	34.00
6.00	5	Glycerol	6.00
6.00	6	Water	6.00

MANUFACTURING DIRECTIONS

1. Dissolve Lutrol E 4000 in the hot mixture of glycerol and water and add the glucose warmed to 60°C to 80°C.
2. Incorporate item 4 to obtain a brown, viscous, and turbid paste.

POVIDONE–IODINE GLUCOSE OINTMENT**Bill of Materials**

Scale (mg/g)	Item	Material Name	Qty/kg (g)
20.00	1	PVP–iodine 30/06, with excess	26.00
45.00	2	Ethanol 96%	45.00
849.00	3	Glucose	849.00
34.00	4	Lutrol E 4000	34.00
6.00	5	Glycerol	6.00
6.00	6	Water	6.00

MANUFACTURING DIRECTIONS

1. Dissolve Lutrol E 4000 in the hot mixture of glycerol and water and add the glucose warmed to 60°C to 80°C.
2. Incorporate solution in the obtained paste (brown viscous and turbid paste).

POVIDONE–IODINE MASTITIS CREAM FOR CATTLE**Bill of Materials**

Scale (mg/g)	Item	Material Name	Qty/kg (g)
100.00	1	PVP–iodine 30/06	100.00
100.00	2	Liquid paraffin	100.00
100.00	3	Vaseline	100.00
50–80	4	Cetyl stearyl alcohol	50–80
20.00	5	Cremophor A 6	20.00
20.00	6	Cremophor A 25	20.00
50.00	7	Propylene glycol	50.00
QS	8	Water	530–560

MANUFACTURING DIRECTIONS

1. Dissolve PVP–iodine in the solvents (items 7 and 8).
2. Mix items 2 to 6 by heating, stir the solution in the previous mixture, and cool by stirring.

POVIDONE–IODINE SOFT GEL**Bill of Materials**

Scale (mg/g)	Item	Material Name	Qty/kg (g)
10.00	1	PVP–iodine 30/06	10.00
25.00	2	Natrosol® HR 250	25.00
QS	3	Water	QS to 1 kg

MANUFACTURING DIRECTIONS

1. Dissolve PVP–iodine and Natrosol HR 250 in the water and stir well to produce a clear, brown gel.
2. Viscosity (Brookfield, 23°C) is 31,500 mPa.

POVIDONE–IODINE TRANSPARENT OINTMENT**Bill of Materials**

Scale (mg/g)	Item	Material Name	Qty/kg (g)
100.00	1	PVP–iodine 30/06	100.00
600.00	2	Lutrol E 400	600.00
46.00	3	Sodium hydroxide (1-M solution)	46.00
4.00	4	Water	4.00
250.00	5	Lutrol E 4000	250.00

MANUFACTURING DIRECTIONS

1. Prepare solution of items 1 to 4, heat to approximately 60° C, incorporate item 6 (stirring very well), and cool to room temperature.
2. The transparent ointment, similar to a gel, has a pH of 4 and is miscible and washable with water.

POVIDONE–IODINE VAGINAL OVULE**Bill of Materials**

Scale (mg/ovule)	Item	Material Name	Qty/1000 Ovules (g)
100.00	1	PVP–iodine 30/06	5.00
200.00	2	Lutrol E 400	10.00
170.00	3	Lutrol E 4000	85.00

MANUFACTURING DIRECTIONS

1. Melt the Lutrol E grades by gentle heating.
2. Stir in the micronized PVP–iodine product in small portions into the melt.
3. After a uniform suspension has been obtained, pour it into polyethylene molds.
4. The homogeneous brown-colored ovule has a weight of 2 g.

POVIDONE–IODINE VAGINAL OVULE

Bill of Materials

Scale (mg/ ovule)	Item	Material Name	Qty/kg (g)
200.00	1	PVP–iodine 30/06	200.00
100.00	2	Lutrol E 400	100.00
100.00	3	Lutrol E 1500	100.00
700.00	4	Lutrol E 4000	700.00

MANUFACTURING DIRECTIONS

1. Melt the Lutrol E grades by gentle heating.
2. Stir in the micronized PVP–iodine product in small portions into the melt.
3. After a uniform suspension has been obtained, pour it into polyethylene molds.
4. The homogeneous brown-colored ovule has a weight of 2 g.

POVIDONE–IODINE VAGINAL OVULES

Bill of Materials

Scale (mg/ ovule)	Item	Material Name	Qty/1000 Ovules (g)
100.00	1	PVP–iodine 30/06 M 10	5
200.00	2	Lutrol E 400	10
170.00	3	Lutrol E 4000	85

MANUFACTURING DIRECTIONS

1. Melt the Lutrol E grades by gentle heating. Stir the micronized PVP–iodine product in small portions into the melt.
2. After a uniform suspension has been obtained, pour it into polyethylene molds. The result is a homogeneous brown-colored ovule having a weight of 2 g.

POVIDONE–IODINE VAGINAL OVULES

Bill of Materials

Scale (mg/ ovule)	Item	Material Name	Qty/kg (g)
200.00	1	PVP–iodine 30/06 M 10	200.00
100.00	2	Lutrol E 400	100.00
100.00	3	Lutrol E 1500	100.00
700.00	4	Lutrol E 4000	700.00

MANUFACTURING DIRECTIONS

1. Melt the Lutrol E grades by gentle heating. Stir the micronized PVP–iodine product in small portions into the melt.
2. After a uniform suspension has been obtained, pour it into polyethylene mold. The result is a homogeneous brown-colored ovula having a weight of 2 g.

PRAMOXINE CREAM

Bill of Materials

Scale (mg/g)	Item	Material Name	Qty/kg (g)
150.00	1	Cetyl alcohol ^a	150.00
50.00	2	Cetyl esters wax ^a	50.00
0.72 mL	3	Water purified	720 mL
1.80	4	Methyl paraben	1.80
0.20	5	Propyl paraben	0.20
20.00	6	Sodium lauryl sulfate	20.00
50.00	7	Glycerin	50.00
10.00	8	Pramoxine hydrochloride	10.00

^a Beeswax 75.00 mg/g can be added and adjusted with items 1 and 2.

MANUFACTURING DIRECTIONS

1. Phase A: Add the cetyl alcohol (item 1) and the cetyl esters wax (item 2) to a suitable jacketed stainless-steel tank fitted with efficient agitation. Heat to 60°C to 65°C and mix until materials are melted and phase is uniform.
2. Preheat a suitable jacketed stainless-steel batch tank to 60°C to 65°C. Strain phase A (step 1) into the batch tank, maintaining temperature at 60°C to 65°C and gentle agitation.
3. Phase B: Charge 530 mL of purified water (item 3) into a suitable jacketed stainless-steel tank fitted with a high-speed mixer. Adjust the water temperature to 80°C to 90°C and add methyl paraben (item 4) and propyl paraben (item 5). Stir until dissolved, ensuring that no solids are entrained in the bottom valve. Commence cooling to 60°C to 65°C.
4. Add the sodium lauryl sulfate (item 6) with care and stir to dissolve.
5. Add the glycerin (item 7) and mix until uniform. *Caution:* Do not create excessive foam.
6. Cool to 60°C to 65°C.
7. Strain phase A and sweep mix. Rinse through with 12 mL of purified water.
8. Phase C: In a suitable jacketed stainless-steel tank fitted with high-speed agitation, place 166 mL of purified water and raise the temperature to 60°C to 65°C. Add the pramoxine hydrochloride (item 8) and mix until dissolved. Strain the solution via a 100- to 150-gm aperture mesh into the mass from step

above. Rinse through with 12 mL of purified water. Reduce agitation rate to prevent air entrainment and commence cooling to 32°C to 36°C. Please note that you should maintain cooling water at 10° C below batch temperature until 45°C, switching then to full cooling.

9. Fill.

PRAMOXINE HYDROCHLORIDE AND ZINC ACETATE LOTION AND OINTMENT

The lotion contains pramoxine hydrochloride, 1%, and zinc acetate, 0.1%, and inactive ingredients alcohol USP, camphor, citric acid, diazolidinyl urea, fragrance, glycerin, hydroxypropylmethylcellulose, methyl paraben, oil of lavender, oil of rosemary, polysorbate 40, propylene glycol, propyl paraben, purified water, and sodium citrate. The ointment contains active ingredients pramoxine HCl, 1%, zinc oxide, 12.5%, and mineral oil as well as benzyl benzoate, calcium phosphate dibasic, cocoa butter, glyceryl monooleate, glyceryl monostearate, kaolin, peruvian balsam, and polyethylene wax.

PRAMOXINE SUPPOSITORIES

Bill of Materials			
Scale (mg/ suppository)	Item	Material Name	Qty/1000 Suppositories (g)
1782.00	1	Witepsol H 15®	1782.00
18.00	2	Pramoxine hydrochloride	18.00

MANUFACTURING DIRECTIONS

1. Conventional method:
 - a. In a suitable jacketed stainless-steel tank, premelt the Witepsol H 15 at 35°C to 45°C.
 - b. Transfer 200 g of premelted Witepsol H 15 from step 1 into a suitable premix tank fitted with an efficient agitator. Slowly add the pramoxine and mix for 15 minutes.
 - c. Run the premix through a suitable colloid mill into a jacketed stainless-steel batching tank fitted with a suitable homogenizer. Maintain the temperature at 40°C.
 - d. Flush the premix tank, lines, and colloid mill with 50 g of premelted Witepsol H 15 from step 1 into the batching tank. Homogenize the contents of the batch tank at high speed for 15 minutes.
 - e. Add the balance of the premelted Witepsol H 15 from step 1 to the contents of the batching tank. Homogenize for 15 minutes, then cool with mixing to 27°C to 38°C.

- f. Commence batch recirculation through a 150-gm aperture screen. Maintain until the batch is filled. Fill 1.8 g/suppository.
2. CTurbomixer/emulsifier method:
 - a. In a suitable jacketed stainless-steel tank fitted with a turbomixer/emulsifier, premelt the Witepsol H 15 at 35°C to 45°C.
 - b. After melting, adjust the mixer/emulsifier in a batching tank containing the premelted mass to maximum speed and slowly add the pramoxine and mix.
 - c. Homogenize the contents of the batching tank at 38°C with mixer at high speed. Then cool to 35°C to 36°C, always maintaining the whole mass under agitation.
 - d. Filter the mass through a 150-gm screen and maintain the blending until the batch is filled.
3. Fill 1.8 g/suppository.

PRAMOXINE SUPPOSITORIES

Bill of Materials			
Scale (mg/ suppository)	Item	Material Name	Qty/1000 Suppositories (g)
1781.00	1	Witepsol W 32	1781.00
17.10	2	Pramoxine base	17.10
1.01	3	Pramoxine hydrochloride	1.01

MANUFACTURING DIRECTIONS

This formula is less irritating and preferred.

1. In a suitable stainless-steel tank fitted with an efficient agitator, melt Witepsol W 32 (No. 3) at approximately 45°C.
2. Activate mixer and maintain temperature of 40°C to 50°C.
3. Weigh pramoxine base into a separate suitable stainless-steel container.
4. Slowly add pramoxine hydrochloride to step 3 and premix using homomixer or similar. Take precaution to minimize spread of powder to adjacent areas.
5. Continue to mix for 15 minutes. Make certain that pramoxine hydrochloride is completely dispersed and the mixture is free of lumps.
6. Verify that Witepsol W 32 from step 2 is completely melted and is less than 50°C, then add the premix to it from step 5.
7. Continue mixing at least 15 minutes while maintaining temperature less than 50°C.
8. Commence batch recirculation through a 150-gm aperture stainless-steel screen. Maintain until batch is filled.

- Cool batch slowly, approximately 3°C per hour, until it reaches 31°C.
- Maintain product temperature at 31°C to 33.5°C with constant recirculation or mixing throughout filling operation. Adjust mixing as necessary to prevent aeration of the product.

PRANOPROFEN OINTMENT

Bill of Materials

Scale (g/100 g)	Item	Material Name	Qty/kg (g)
1.00	1	Pranoprofen	10.00
2.00	2	Triisopropanolamine	20.00
5.00	3	Carboxyvinyl polymer solution (Hiviswako 104)	50.00
52.00	4	Alcohol	520.00
QS	5	Water purified	QS to 1 kg

MANUFACTURING DIRECTIONS

- To 52 g of ethanol add 1 g of pranoprofen and 2 g of triisopropanolamine. To the mixture add 30 g of a 5% carboxyvinyl polymer solution and 15 g of purified water.
- The pH of ointment thus obtained is 6.6, and the viscosity, which is measured at 20°C and 20 rpm, is 460 poises.

PREDNICARBATE EMOLLIENT CREAM

Prednicarbate emollient cream, 0.1%, contains prednicarbate. Each gram of emollient cream, 0.1%, contains 1 mg of prednicarbate in a base consisting of white petrolatum USP, purified water USP, isopropyl myristate NF, lanolin alcohols NF, mineral oil USP, cetostearyl alcohol NF, aluminum stearate, edetate disodium USP, lactic acid USP, and magnesium stearate DAB 9.

PROCHLORPERAZINE SUPPOSITORIES

Prochlorperazine suppositories contain prochlorperazine base. Each suppository contains 2.5, 5, or 25 mg of prochlorperazine with glycerin, glyceryl monopalmitate, glyceryl monostearate, hydrogenated coconut oil fatty acids, and hydrogenated palm kernel oil fatty acids.

PROGESTERONE GEL

Progesterone gel is a bioadhesive vaginal gel containing micronized progesterone in an emulsion system, which is contained in single-use, one-piece polyethylene vaginal

applicators. The carrier vehicle is an oil-in-water emulsion containing the water-swellable, but insoluble polymer, polycar-bophil. The progesterone is partially soluble in both the oil and the water phases of the vehicle, with the majority of the progesterone existing as a suspension. The active ingredient, progesterone, is present in either a 4% or an 8% concentration (w/w). Each applicator delivers 1.125 g of gel containing either 45 mg (4% gel) or 90 mg (8% gel) of progesterone in a base containing glycerin, mineral oil, polycar-bophil, carbomer 934P, hydrogenated palm oil glyceride, sorbic acid, sodium hydroxide, and purified water.

PROMETHAZINE HYDROCHLORIDE SUPPOSITORIES

Each rectal suppository contains 12.5, 25, or 50 mg promethazine hydrochloride with ascorbyl palmitate, silicon dioxide, white wax, and cocoa butter.

PROMETHAZINE SUPPOSITORY

Each rectal suppository contains 12.5, 25, or 50 mg promethazine hydrochloride with ascorbyl palmitate, silicon dioxide, white wax, and cocoa butter. Promethazine hydrochloride is a racemic compound; the empirical formula is $C_{17}H_{20}N_2S.HCl$ and its molecular weight is 320.88. Phenergan suppositories are for rectal administration only.

PSORIASIS CREAM

Bill of Materials

Scale (mg/g)	Item	Material Name	Qty/kg (g)
40.00	1	Lanolin alcohol	40.00
50.00	2	White petroleum jelly	50.00
120.00	3	Paraffin wax 140F	120.00
300.00	4	Mineral oil (70 cS)	300.00
20.00	5	Coal tar	20.00
2.50	6	Allantoin	2.50
QS	7	Deionized water	QS to 1 kg
QS	8	Preservative	QS

MANUFACTURING DIRECTIONS

- Heat oil and water phases separately to 70°C.
- Slowly add water phase in increments to the oil phase.
- Allow each addition time to be fully incorporated.
- Stir to cool.
- Fill just above melting point.
- Further homogenization may improve stability prior to filling.

PSORIASIS CREAM

Bill of Materials			
Scale (mg/g)	Item	Material Name	Qty/kg (g)
160.00	1	Stearic acid	16.00
60.00	2	Oleyl alcohol	6.00
20.00	3	Lanolin	2.00
20.00	4	Coal tar	2.00
6.00	5	Triethanolamine (99%)	0.60
2.50	6	Allantoin	0.25
QS	7	Deionized water	QS to 1 kg
–	8	Preservative	QS

MANUFACTURING DIRECTIONS

1. Heat water and oil phases separately to 80°C.
2. Add water phase to oil phase while stirring.
3. Stir to cool.
4. Pass through homogenizer.
5. Fill at 40°C.

RESORCINOL ACNE CREAM

Bill of Materials			
Scale (mg/g)	Item	Material Name	Qty/kg (g)
20.00	1	Polychol 10 (Laneth-10)	20.00
5.00	2	Lanolin alcohols (Super Hartolan)	5.00
55.00	3	Cetyl alcohol C90	55.00
60.00	4	Polawax	60.00
14.00	5	Sulfur	14.00
QS	6	Deionized water	QS
40.00	7	Veegum regular	40.00
20.00	8	Propylene glycol	20.00
20.00	9	Resorcinol	20.00
QS	10	Perfume, preservative	QS

MANUFACTURING DIRECTIONS

1. Hydrate Veegum in water. Add rest of water-phase ingredients and heat to 70°C.
2. Heat oil phase to 70°C. Disperse sulfur in oil phase.
3. Add oil phase to water phase while stirring. Stir to cool. Fill.

RUBEFIACIENT ANALGESIC OINTMENT

Bill of Materials			
Scale (mg/g)	Item	Material Name	Qty/kg (g)
150.00	1	Polawax, NF	150.00
100.00	2	Methyl salicylate	100.00
50.00	3	Menthol	50.00
100.00	4	Mineral oil (70 cS)	100.00
QS	5	Deionized water	QS to 1 kg
QS	6	Preservative, color	QS

MANUFACTURING DIRECTIONS

1. Heat oil and water phases separately to 70°C.
2. Add water phase to oil phase while stirring. Stir to cool.
3. Fill at 30°C.

SALICYLIC ACID CREAM

Bill of Materials			
Scale (mg/g)	Item	Material Name	Qty/kg (g)
150.00	1	Glyceryl stearate and PEG-75 stearate	150.00
5.00	2	Stearic acid	5.00
80.00	3	Mineral oil	80.00
665.00	4	Deionized water	665.00
100.00	5	Salicylic acid	100.00

MANUFACTURING DIRECTIONS

1. Mix and heat items 1 to 4 to 75°C.
2. Allow to cool with gentle stirring.
3. At 30°C, add item 5. Homogenize if necessary.

SALICYLIC ACID CREAM

Bill of Materials			
Scale (mg/g)	Item	Material Name	Qty/100 g (g)
150.00	1	Polawax (self-emulsifying wax)	15.00
150.00	2	PPG-2 myristyl ether propionate (Crodamol PMP)	15.00
50.00	3	Sorbitol isostearate	5.00
35.00	4	Safflower oil, super refined	3.50
20.00	5	Avocado oil, super refined	2.00
20.00	6	Cetyl palmitate	2.00
50.00	7	Salicylic acid	5.00
1.50	8	Propyl paraben	0.15
1.00	9	Butylated hydroxyanisole	0.10
487.50	10	Deionized water	48.75
10.00	11	Sodium borate	1.00
3.00	12	Methyl paraben	0.30
2.00	13	Imidazolidinyl urea	0.20
20.00	14	Hydrolyzed collagen + hyaluronic acid (Cromoist HTA)	2.00

MANUFACTURING DIRECTIONS

- Dissolve item 7 in item 2 with mixing and heating to 70°C.
- Add balance of items 1 to 9 and mix with heat to 80°C.
- Mix together items 10 to 13 separately and heat to 80°C.
- Add this mixture to earlier mixture with mixing and cool to 40°C.
- Add item 14 with mixing and cool to desired fill temperature.
- Adjust pH if necessary to 3 to 4 with 10% triethanolamine solution.

SALICYLIC ACID GEL

Bill of Materials			
Scale (mg/g)	Item	Material Name	Qty/kg (g)
422.00	1	Witch hazel distilled, 14% alcohol	422.00
5.00	2	Salicylic acid	5.00
5.00	3	Aloe vera gel	5.00
10.00	4	Sorbitol	10.00
500.00	5	Polyglyceylmethylacrylate	500.00
10.00	6	Propylene glycol	10.00
0.80	7	Methyl paraben	0.80
0.20	8	Propyl paraben	0.20

MANUFACTURING DIRECTIONS

- Premix items 1 to 4. Add item 5 with low-shear mixing until homogenous.
- Mix items 6 to 8 together and then add them to the formulation.

SCOPOLAMINE TRANSDERMAL THERAPEUTIC SYSTEM

The transdermal scopolamine system is a circular flat patch designed for continuous release of scopolamine following application to an area of intact skin on the head, behind the ear. Each system contains 1.5 mg of scopolamine base. The transdermal system is a film 0.2 mm thick and 2.5 cm², with four layers. Proceeding from the visible surface toward the surface attached to the skin, these layers are a backing layer of tan-colored, aluminized polyester film; a drug reservoir of scopolamine, light mineral oil, and polyisobutylene; a microporous polypropylene membrane that controls the rate of delivery of scopolamine from the system to the skin surface; and an adhesive formulation of mineral oil, polyisobutylene, and scopolamine. A protective peel strip of siliconized polyester, which covers the adhesive layer, is removed before the system is used. The inactive components, light mineral oil (12.4 mg) and polyisobutylene (11.4 mg), are not released from the system.

SELENIUM SULFIDE DETERGENT LOTION

Bill of Materials			
Scale (mg/mL)	Item	Material Name	Qty/L (g)
10.0	1	Selenium sulfide	10.0
2.0	2	Methyl paraben	2.0
10.0	3	Magnesium aluminum silicate type IIA	10.0
20.0	4	Titanium	20.0
0.170	5	Dye	0.170
230.0	6	Sodium alkyl ether sulfate/sulfonate	230.0
30.0	7	Surfactant cocamide DEA	30.0
40.0	8	Cocoamphocarboxyglycinate	40.0
10.0	9	Protein hydrolyzed	10.0
4.0	10	Perfume	4.0
QS	11	Acid citric	QS
QS	12	Sodium chloride	QS
QS	13	Water purified	QS to 1 L

Note: Item 11 used for pH adjustment, if necessary. Item 12 used for viscosity adjustment, if necessary.

MANUFACTURING DIRECTIONS

- Selenium sulfide is toxic. Handle carefully and use approved respiratory protection.

2. Add selenium sulfide. Seal the mill and agitate for approximately 10 minutes to wet down the powdered material.
3. Recycle for approximately 5 minutes. Stop agitation. If necessary, add purified water (25–30°C) to nearly cover the grinding media.
4. Seal the mill and recirculate the slurry for 1 to 2 hours to the required particle size specifications for the selenium sulfide.
5. Load 250 mL of purified water into a suitable jacketed mixing tank and heat to 60°C to 70°C. With good stirring, add and dissolve methyl paraben. Slowly add and disperse magnesium aluminum silicate. Continue mixing until fairly smooth. Stop mixing and allow hydrating for 1 hour.
6. Add and disperse titanium dioxide. Mix for 30 minutes.
7. With good stirring, add selenium sulfide slurry and rinse the mill with purified water. Mix for 30 minutes.
8. Stop mixing and add sodium lauryl ether sulfate/sulfonate. Mix slowly for 5 minutes. Add cocamide DEA. Mix slowly for approximately 3 minutes.
9. Add cocoamphocarboxyglycinate. Mix slowly for 30 minutes.
10. Separately dissolve hydrolyzed protein (Hydro gel) in 4 mL of purified water and mix until uniform. Add solution from above to the tank and mix until uniform.
11. Add perfume and mix for 1 minute. Dissolve dye in 2 mL warm purified water (50–60°C) and add to mixing tank. Mix until uniform. Check and record pH and adjust it to 4.5 to 5.0, if necessary, using citric acid.
12. Add purified water QS to 980 mL. Mix for 30 minutes. Check and record viscosity. If necessary, adjust by adding sodium chloride.
13. Deaerate by slow stirring under vacuum or use of a suitable deaerator. Mix for 1 hour.

SELENIUM SULFIDE LOTION

The active ingredient for selenium sulfide lotion is selenium sulfide, 2.5% w/v, in aqueous suspension; it also contains bentonite, lauric diethanolamide, ethylene glycol monostearate, titanium dioxide, amphoteric-2, sodium lauryl sulfate, sodium phosphate (monobasic), glyceryl monoricinoleate, citric acid, captan, and perfume.

SILICONE CREAM

Bill of Materials

Scale (mg/g)	Item	Material Name	Qty/kg (g)
150.00	1	Polawax NF	150.00
40.00	2	Oleyl alcohol	40.00
50.00	3	PEG-75 lanolin	50.00
150.00	4	Mineral oil 70 cS	150.00
50.00–100.00	5	Dimethicone	50.00–100.00
QS	6	Deionized water	QS to 1 kg

MANUFACTURING DIRECTIONS

1. Heat water and oil phase separately to 60°C to 65°C.
2. Add water phase to oil phase while stirring. Stir to cool to 30°C. Add perfume or color as desired.

SILVER SULFADIAZINE CREAM

Silver sulfadiazine cream, 1%, is a soft, white water-miscible cream containing the antimicrobial agent silver sulfadiazine in micronized form. Each gram of cream, 1%, contains 10 mg micronized silver sulfadiazine. The cream vehicle consists of white petrolatum, stearyl alcohol, isopropyl myristate, sorbitan monooleate, polyoxyl 40 stearate, propylene glycol, and water, with methyl paraben, 0.3%, as a preservative.

SILVER SULFADIAZINE CREAM

Bill of Materials

Scale (g/100 g)	Item	Material Name	Qty/kg (g)
1.00	1	Silver sulfadiazine	10.00
5.00	2	Cetyl alcohol	50.00
8.00	3	Glyceryl monostearate A/S	80.00
8.00	4	Liquid paraffin	80.00
3.00	5	Tween 80	30.00
2.00	6	Tween 60	20.00
15.00	7	Propylene glycol	150.00
58.00	8	Water purified	580.00

MANUFACTURING DIRECTIONS

1. Place items 2 to 6 in a fat-melting vessel, heat to 75°C, and then cool down to 60°C.
2. Add item 8 to Becomix and heat to 90°C. Cool down to 65°C.
3. Transfer step 1 into step 2, mix under vacuum, cool to 40°C.
4. In a separate vessel, add items 7 and 1 and homogenize.
5. Add to step 3 and mix. Cool to 25°C.
6. Transfer to storage vessel and fill.

SODIUM CHLORIDE OINTMENT

Sodium chloride ointment is a sterile ophthalmic ointment used to draw water out of the cornea of the eye. Each gram contains active ingredient sodium chloride, 5%, and inactives lanolin, mineral oil, white petrolatum, and purified water. Sodium chloride (approximately 0.9%) is used for treating cold sores and fever blisters and lesions associated with herpes virus.

Bill of Materials

Scale (g/100 g)	Item	Material Name	Qty/kg (g)
5.00	1	Liquid paraffin	50.00
10.00	2	White paraffin	100.00
30.00	3	Glycerin	300.00
8.00	4	Cetostearyl alcohol	80.00
0.30	5	Methyl paraben	3.00
3.60	6	Polyoxyethylene sorbitan monostearate	36.00
2.00	7	Glyceryl monostearate	20.00
QS	8	Water purified	QS to 1 kg
0.90	9	Sodium chloride	9.00

MANUFACTURING DIRECTIONS

- Preparation of water phase:
 - Add purified water, polysorbate 60, and glycerin with agitation to a melting kettle.
 - Heat the contents to 61°C to 65°C.
 - Add methyl paraben and mix the composition to dissolve while maintaining temperature.
- Preparation of oil phase:
 - In a suitable vessel, place liquid paraffin, cetostearyl alcohol, white petrolatum, glycerol monostearate, and white beeswax and mix continuously while heating to 71°C to 75°C.
- Mixing of phases:
 - The mixture of step 2 is transferred to step 1's kettle, with the water phase maintained at less than 300 mbar vacuum.
 - Add sodium chloride and dissolve.
 - With mixing and keeping the temperature at 61°C to 65°C, draw the oil phase into the water phase.
 - Mix for 15 minutes with agitation and vacuum at 300 mbar and 61°C to 65°C.
 - While mixing and under vacuum, allow the mixture to cool gradually to room temperature.
- Fill in appropriate container.

SODIUM SULFACETAMIDE LOTION

Each milliliter of sodium sulfacetamide lotion 10% contains 100 mg of sodium sulfacetamide in a vehicle consisting of

purified water, propylene glycol, lauramide DEA and diethanolamine, polyethylene glycol 400 monolaurate, hydroxyethyl cellulose, sodium chloride, sodium metabisulfite, methyl paraben, xanthan gum, EDTA, and simethicone. Sodium sulfacetamide is a sulfonamide with antibacterial activity. Chemically, sodium sulfacetamide is N'-[(4-aminophenyl)sulfonyl]-acetamide, monosodium salt, monohydrate.

SPERMATOCIDAL EFFERVESCENT SUPPOSITORY

MANUFACTURING DIRECTIONS

- Melt together 80 g of polyethylene glycol (average molecular weight 950–1050), 23.5 g of polyethylene glycol (average molecular weight 1300–1600), 6 g of Menfegol, and 0.5 g of dioctyl sodium sulfosuccinate by heating to obtain a uniform mixture.
- To this mixture add 5 g of anhydrous sodium sulfate and stir the mixture thoroughly to disperse. Then, successively add 10 g of sodium bicarbonate, 25 g of potassium hydrogen-tartrate, and 0.15 g of saponin, stir, and knead to uniformly disperse.
- Inject the mixture, while hot, into a mold having a predetermined shape and cooled to below room temperature. Thereby, an effervescent vaginal suppository having a spermatocidal effect and weighing 1.5 g per unit is obtained.

SQUALENE CREAM

Bill of Materials

Scale (g/100 g)	Item	Material Name	Qty/kg (g)
5.00	1	Polyoxyethylene sorbitan monooleate	50.00
23.00	2	Cetyl alcohol	230.00
0.40	3	Cholesterol	4.00
0.20	4	Squalene	2.00
56.00	5	Water purified	560.00
10.00	6	Propylene glycol	100.00
5.00	7	L-cysteic acid	50.00
1.00 mL	8	Ethanolamine	10.00 mL

MANUFACTURING DIRECTIONS

- Heat items 1 to 4 in a jacketed kettle to 70°C.
- In a separate kettle, heat items 5 to 8 to 70°C.
- Add step 1 to step 2 at 72°C slowly with agitation.
- Continue agitation until the mixture is congealed. The water-washable cream thus prepared consists of 5% active ingredient.

STARCH OINTMENT

The active ingredient in starch ointment is topical starch, 51%. It also contains benzyl alcohol, hydrogenated vegetable oil, and tocopheryl acetate.

SUCRALAFATE AND HYALURONIC ACID OINTMENT

Bill of Materials			
Scale (g/100 g)	Item	Material Name	Qty/kg (g)
30.00	1	Sucralafate (2–10 nm)	300.00
0.60	2	Hyaluronic acid	6.00
10.00	3	Pectin	100.00
10.00	4	Gelatin	100.00
4.00	5	Carboxymethylcellulose	40.00
60.00	6	Fractionated coconut oil	600.00

MANUFACTURING DIRECTIONS

1. Mix finely divided sucralfate thoroughly with the other ingredients also in finely divided form.
2. Add fractionated coconut oil to the resulting powder and homogenize.

SUCRALAFATE OINTMENT

Bill of Materials			
Scale (g/100 g)	Item	Material Name	Qty/kg (g)
30.00	1	Sucralafate (2–10 urn)	300.00
10.00	2	Pectin	100.00
10.00	3	Gelatin	100.00
10.00	4	Carboxymethylcellulose	100.00
60.00	5	Fractionated coconut oil	600.00

MANUFACTURING DIRECTIONS

1. Mix finely divided sucralfate thoroughly with the other ingredients also in finely divided form.
2. Add fractionated coconut oil to the resulting powder to a suitable consistency and homogenize.

SUCRALAFATE OPHTHALMIC OINTMENT

Bill of Materials			
Scale (g/100 g)	Item	Material Name	Qty/kg (g)
2.00	1	Sucralafate (micronized less than 10 nm)	20.00
0.50	2	Carbopol 934	5.00
5.00	3	Mannitol	50.00
0.01	4	Benzalkonium chloride	0.10
0.05	5	Sodium EDTA	0.50
QS	6	Sodium hydroxide	QS
QS	7	Water purified	QS to 1 kg

SULFACETAMIDE OINTMENT

Sulfacetamide sodium ophthalmic solution and ointment USP, 10%, are sterile topical antibacterial agents for ophthalmic use. They contain sulfacetamide sodium, 10% (100 mg/g). The preservative is phenylmercuric acetate (0.0008%). Inactive ingredients are white petrolatum, mineral oil, and petrolatum and lanolin alcohol.

SULFACETAMIDE SODIUM AND PREDNISOLONE ACETATE OPHTHALMIC OINTMENT

The sulfacetamide sodium and prednisolone acetate ophthalmic ointment USP is a sterile topical ophthalmic ointment combining an antibacterial and a corticosteroid. Active ingredients are sulfacetamide sodium, 10%, and prednisolone acetate, 0.2%. Inactives are phenylmercuric acetate (0.0008%), mineral oil, white petrolatum, and petrolatum and lanolin alcohol.

SULFANILAMIDE SUPPOSITORIES

The suppositories contain sulfanilamide, 15%, in a water-miscible, nonstaining base made from lactose, propylene glycol, stearic acid, diglycol stearate, methyl paraben, propyl paraben, trolamine, and water, buffered with lactic acid to an acid pH of approximately 4.3. Each suppository contains sulfanilamide 1.05 g with lactose in a base made from polyethylene glycol 400, polysorbate 80, polyethylene glycol 3350, and glycerin, buffered with lactic acid to an acid pH of approximately 4.5. The suppositories have an inert, white nonstaining covering that dissolves promptly in the vagina. The covering is composed of gelatin, glycerin, water, methyl paraben, propyl paraben, and coloring.

SULFATHIAZOLE CREAM

The cream contains sulfathiazole (benzenesulfonamide, 4-amino-N-2-thiazolyl-N1-2-thiazolylsulfanilamide), 3.42%, sulfacetamide (acetamide,N-[(4-aminophenyl)sulfonyl]-N-sulfanilylacetamide), 2.86%, and sulfabenzamide (benzamide,N-[(4-aminophenyl)sulfonyl]-N-sulfanilylbenzamide), 3.7%, compounded with cetyl alcohol, 2%, cholesterol, di-ethylaminoethyl stearamide, glyceryl monostearate, lanolin, lecithin, methyl paraben, peanut oil, phosphoric acid, propylene glycol, propyl paraben, purified water, stearic acid, and urea.

SULFUR OINTMENT

Bill of Materials

Scale (mg/g)	Item	Material Name	Qty/kg (g)
15.00	1	Sulfur precipitated	15.00
85.00	2	Kaolin	85.00
QS	3	White petroleum jelly	QS to 1 kg
60.00	4	Isopropyl palmitate	60.00
13.00	5	Camphor	13.00
13.00	6	Methyl salicylate	13.00
20.00	7	Lanolin	20.00
50.00	8	Tribehenin	50.00
50.00	9	Ozokerite wad	50.00
35.00	10	Sorbitan oleate	35.00
15.00	11	Deionized water	15.00
4.00	12	Salicylic acid	4.00
24.00	13	Glycerin	24.00
QS	14	Preservative	QS

MANUFACTURING DIRECTIONS

1. Heat oils except sulfur and lanolin to 70°C. Disperse sulfur and kaolin in oil phase.
2. Heat water, glycerin, and salicylic acid gently. Add to oil phase while stirring. Stir to 55°C.
3. Mill to disperse sulfur.

TACROLIMUS OINTMENT

Tacrolimus ointment contains tacrolimus, a macrolide immunosuppressant produced by *Streptomyces tsukubaensis*. Each gram of ointment contains (w/w) either 0.03% or 0.1% of tacrolimus in a base of mineral oil, paraffin, propylene carbonate, white petrolatum, and white wax.

TERCONAZOLE VAGINAL CREAM

Terconazole vaginal cream, 0.4%, is a white to off-white water-washable cream for intravaginal administration

containing 0.4% of the antifungal agent terconazole, compounded in a cream base consisting of butylated hydroxyanisole, cetyl alcohol, isopropyl myristate, polysorbate 60, polysorbate 80, propylene glycol, stearyl alcohol, and purified water. Terconazole vaginal cream, 0.8%, is a white to off-white water-washable cream for intravaginal administration containing 0.8% of the antifungal agent terconazole, cis-1-[p-([2-(2,4-dichlorophenyl)-2-(1H-1,2,4-triazol-1-ylmethyl)-1,3-dioxolan-4-yl]methoxy)phenyl]-4-isopropylpiperazine, compounded in a cream base consisting of butylated hydroxyanisole, cetyl alcohol, isopropyl myristate, polysorbate 60, polysorbate 80, propylene glycol, stearyl alcohol, and purified water.

TERCONAZOLE VAGINAL SUPPOSITORIES

Terconazole vaginal suppositories are white to off-white suppositories for intravaginal administration containing 80 mg of the antifungal agent terconazole, cis-1-[p-([2-(2,4-dichlorophenyl)-2-(1H-1,2,4-triazol-1-ylmethyl)-1,3-dioxolan-4-yl]methoxy)phenyl]-4-isopropylpiperazine in triglycerides derived from coconut or palm kernel oil (a base of hydrogenated vegetable oils) and butylated hydroxy-anisole.

TESTOSTERONE GEL

Testosterone gel is a clear, colorless hydroalcoholic gel containing 1% testosterone. It provides continuous transdermal delivery of testosterone, the primary circulating endogenous androgen, for 24 hours following a single application to intact, clean dry skin of the shoulders, upper arms, or abdomen. A daily application of 5, 7.5, or 10 g contains 50, 75, or 100 mg of testosterone respectively, to be applied daily to the skin surface. Approximately 10% of the applied testosterone dose is absorbed across skin of average permeability during a 24-hour period. The active pharmacologic ingredient is testosterone. Testosterone USP is a white to practically white crystalline powder chemically described as 17-beta hydroxyandrost-4-en-3-one. Inactive ingredients are ethanol 68.9%, purified water, sodium hydroxide, carbomer 940, and isopropyl myristate; these ingredients are not pharmacologically active.

TESTOSTERONE TRANSDERMAL SYSTEM

The testosterone transdermal system provides continuous delivery of testosterone (the primary endogenous androgen) for 24 hours following application to intact, nonscrotal skin (e.g., back, abdomen, thighs, and upper arms). Two strengths are available that deliver in vivo either 2.5 or 5 mg of testosterone per day across skin of average permeability. It has a central drug delivery reservoir surrounded by a peripheral adhesive area. The 2.5 mg system has a total contact surface area of 37 cm² with a 7.5-cm² central drug delivery reservoir

containing 12.2 mg testosterone USP dissolved in an alcohol-based gel. The 5 mg system has a total contact surface area of 44 cm² with a 15-cm² central drug delivery reservoir containing 24.3 mg testosterone USP dissolved in an alcohol-based gel. The delivery systems have six components. Proceeding from the top toward the surface attached to the skin, the system is composed of (1) metallized polyester/Surllyn (E.I. DuPont de Nemours Co; ethylene-methacrylic acid copolymer)/ethylene vinyl acetate backing film with alcohol-resistant ink; (2) a drug reservoir of testosterone USP, alcohol USP, glycerin USP, glycerol monooleate, methyl laurate, and purified water USP, gelled with an acrylic acid copolymer; (3) a permeable polyethylene microporous membrane; and (4) a peripheral layer of acrylic adhesive surrounding the central, active drug delivery area of the system. Before opening of the system and application to the skin, the central delivery surface of the system is sealed with a peelable laminate disc (5) composed of a five-layer laminate containing polyester/polyester urethane adhesive/aluminum foil/polyester urethane adhesive/polyethylene. The disc is attached to and removed with the release liner (6), a silicone-coated polyester film, which is removed before the system can be used.

TETRACAINE GEL AND CREAM

Tetracaine gel's active ingredient is tetracaine HCl, 2%, and it also contains ethoxydiglycol, eucalyptus oil, hydroxyethyl cellulose, maleated soybean oil, methyl paraben, propyl paraben, sodium lauryl sulfate, and water. The cream contains active ingredient tetracaine 2% as well as chloroxylenol, eucalyptus oil, hydrochloric acid, lauramide DEA, methyl paraben, sodium borate, sodium lauryl sulfate, steareth-2, steareth-21, stearic acid, water, and white wax.

TETRACYCLINE HYDROCHLORIDE OINTMENT

Bill of Materials			
Scale (g/100 g)	Item	Material Name	Qty/kg (g)
3.00	1	Tetracycline hydrochloride micronized (10% excess)	33.00
93.00	2	Petrolatum (white soft paraffin)	930.00
3.70	3	Mineral oil (liquid paraffin)	37.00
0.02	4	Vitamin E (oily)	0.20

MANUFACTURING DIRECTIONS

1. Melt item 2 at 75°C in a fat-melting vessel.
2. In a suitable stainless-steel container, disperse item 1 in items 3 and 4 manually by using a spatula.
3. Transfer 89 g to 111 g of molten item 2 from step 1 to the mixer through stainless-steel mesh. Cool down to 50°C.
4. Load tetracycline dispersion from step 2 to the mixer. Start mixer at speed 10 rpm, homogenizer high speed for 20 minutes. Check evenness and smoothness of the dispersion.
5. Transfer the remaining quantity of molten item 2 from step 1 at 50°C to 55°C to the mixer through stainless-steel mesh while mixing and cooling at mixer speed 10 rpm, homogenizer high speed, under vacuum 0.4 to 0.6 bar for 30 minutes.
6. Stop homogenizer, continue mixing at 10 rpm, under vacuum 0.4 to 0.6 bar. Cool down to 28°C. Fill.

TGF ALPHA-OINTMENT

Bill of Materials			
Scale (g/100 g)	Item	Material Name	Qty/kg (g)
35.00	1	Polyethylene glycol 8000	350.00
36.70	2	Mineral oil	367.00
0.70	3	Tween 80	7.00
QS	4	Water purified	QS to 1 kg
29.30	5	Hydroxypropylmethylcellulose	293.00
2.50 mg	6	TGF-alpha	25.00 mg

MANUFACTURING DIRECTIONS

1. Dissolve item 1 and add item 4 and heat to 80°C.
2. Add item 2 to step 1 and pass the mixture through a homogenizer until a fine emulsion is obtained.
3. Add item 5 to the emulsion in step 2 with vigorous mixing.
4. Homogenize again.
5. Sterilize the ointment at 121°C for 15 minutes in an autoclave.
6. Under sterile condition and at 4°C, transfer item 6 and mix thoroughly.
7. Sterile fill 5 g in capped ointment tube.

THERAPEUTIC SKIN LOTION

Bill of Materials			
Scale (g/100 g)	Item	Material Name	Qty/kg (g)
73.44	1	Water purified	734.40
2.50	2	Aloe vera gel	25.00
2.00	3	Walnut oil	20.00
2.00	4	Tocopherol acetate (vitamin E)	20.00
2.00	5	Glycerin	20.00
2.00	6	Stearic acid	20.00
2.00	7	1-Hexadecanol	20.00
2.00	8	Polysorbate 60	20.00
2.00	9	Apricot kernel oil	20.00
2.00	10	Joboba oil	20.00
2.00	11	Glyceryl stearate	20.00
1.00	12	PEG-100 stearate	10.00
1.00	13	Dimethicone	10.00
1.00	14	PVP	10.00
0.50	15	Hyaluronic acid	5.00
0.50	16	Fibronectin	5.00
0.50	17	Allantoin	5.00
0.50	18	Triethanolamine	5.00
0.20	19	Carbopol 934	2.00
0.20	20	Potassium chloride	2.00
0.06	21	Urea	0.60
0.03	22	Calcium phosphate	0.30

TOLNAFTATE AND UNDECYLENATE CREAM

Bill of Materials			
Scale (mg/g)	Item	Material Name	Qty/kg (g)
150.00	1	Glyceryl stearate and PEG-75 stearate	150.00
20.00	3	Hydrogenated palm/palm kernel oil PEG-6 esters	20.00
60.00	4	Mineral oil	60.00
0.50	5	Sorbic acid	0.50
0.50	6	Sodium methyl paraben	0.50
509.00	7	Deionized water	509.00
50.00	8	Undecylenic acid	50.00
200.00	9	Zinc undecylenate	200.00
10.00	10	Tolnafate	10.00

MANUFACTURING DIRECTIONS

1. Mix and heat items 1 to 8 to 75°C.
2. Allow to cool and with gentle stirring. At 30°C add items 9 and 10.
3. Homogenize if necessary.

TRETINOIN AND ALPHA-BISABOLOL GEL

Bill of Materials			
Scale (g/100 g)	Item	Material Name	Qty/kg (g)
0.05	1	Tretinoin	0.50
5.00	2	Lutrol E400	50.00
6.00	3	Cremophor RH400	60.00
0.04	4	Butylated hydroxytoluene	0.40
0.10	5	(-)-Alpha-bisabolol natural (BASF)	1.00
70.30	6	Water purified	703.00
QS	7	Preservatives	QS
18.50	8	Lutrol F127	185.00

MANUFACTURING DIRECTIONS

1. Add solution of items 7 and 6 slowly to the clear solution of items 1 to 5 at approximately 40°C.
2. Heat to approximately 50°C and dissolve approximately 14 g of item 8 in the combined solution of step 1.
3. Cool to approximately 6°C and dissolve the rest of the items. Maintain cool until the air bubbles have escaped.

TRETINOIN AND DEXPANTHENOL GEL

Bill of Materials			
Scale (g/100 g)	Item	Material Name	Qty/kg (g)
50.00 mg	1	Tretinoin (BASF)	0.50
5.00	2	Lutrol E400	50.00
6.00	3	Cremophor RH40	60.00
40.00 mg	4	Butyl hydroxytoluene	0.40
68.40	5	Water purified	684.00
2.50	6	Dexpantenol (BASF)	25.00
18.00	7	Lutrol F127	180.00

MANUFACTURING DIRECTIONS

1. Add items 5 and 6 slowly to the clear solution of items 1 to 4 at approximately 40°C.
2. Heat to approximately 50°C and dissolve approximately 40 g of item 7 in step 1.
3. Cool to approximately 6°C and dissolve the rest of item 7.
4. Maintain cool until the air bubbles have escaped.

TRETINOIN CREAM

Tretinoin cream, a topical retinoid, contains tretinoin 0.025% by weight in a hydrophilic cream vehicle of stearic acid, polyolprepolymer-2, isopropyl myristate, polyoxyl 40 stearate, propylene glycol, stearyl alcohol, xanthan gum, sorbic acid, butylated hydroxytoluene, and purified water. The tretinoin cream, 0.02%, contains the active ingredient tretinoin in a cream base. It is available at a concentration of 0.02% w/w in an oil-in-water emulsion formulation consisting of benzyl alcohol, butylated hydroxytoluene, caprylic/capric triglyceride, cetyl alcohol, edetate disodium, fragrance, methyl paraben, propyl paraben, purified water, stearic acid, stearyl alcohol, steareth 2, steareth 20, and xanthan gum.

TRETINOIN CREAM**Bill of Materials**

Scale (g/100 g)	Item	Material Name	Qty/kg (g)
0.05	1	Tretinoin (BASF)	0.50
8.00	2	Luvitol EHO	80.00
3.00	3	Cremophor A6	30.00
1.50	4	Cremophor A25	15.00
3.00	5	Glyceryl monostearate	30.00
3.00	6	Cetyl alcohol	30.00
0.50	7	Tegiloxan 100 (Goldschmidt)	5.00
0.04	8	Butyl hydroxytoluene	0.40
4.00	9	Propylene glycol	40.00
0.50	10	Preservatives	5.00
0.20	11	Perfumes	2.00
76.20	12	Water purified	762.00

MANUFACTURING DIRECTIONS

1. Separately prepare solution of items 1 and 2 and a mixture of items 3 to 7 by heating to approximately 75°C.
2. Heat mixture of items 8 to 12 until a clear solution is formed.
3. To the warm mixture of step 2, mix step 1 and cool by stirring.

TRETINOIN GEL**Bill of Materials**

Scale (g/100 g)	Item	Material Name	Qty/kg (g)
0.05	1	Tretinoin (BASF)	0.50
15.00	2	Alcohol	150.00
1.00	3	Cremophor RH40	10.00
QS	4	Perfume	QS
0.04	5	Butyl hydroxytoluene	0.40
0.50	6	Carbopol 940	5.00
76.00	7	Water purified	760.00
0.70	8	Triethanolamine	7.00
6.60	9	Water purified	66.00

MANUFACTURING DIRECTIONS

1. Prepare suspension of items 6 and 7 and add solution of items 8 and 9 to the well-stirred suspension.
2. When a clear mixture is formed, add solution of items 1 to 5.

TRETINOIN GEL MICROSPHERE

Tretinoin gel microsphere, 0.1%, is a formulation containing 0.1% by weight tretinoin for the topical treatment of acne vulgaris. This formulation uses patented methyl methacrylate/glycol dimethacrylate crosspolymer porous microspheres (Microsponge System®) to enable inclusion of the active ingredient tretinoin in an aqueous gel. Other components of this formulation are purified water, carbomer 934P, glycerin, disodium EDTA, propylene glycol, sorbic acid, PPG-20 methyl glucose ether distearate, cyclomethicone and dimethicone copolyol, benzyl alcohol, trolamine, and butylated hydroxytoluene.

TRIACONTANOL OINTMENT**Bill of Materials**

Scale (g/100 g)	Item	Material Name	Qty/kg (g)
0.0250	1	Methyl paraben	0.250
0.015	2	Propyl paraben	0.15
1.00	3	Sodium lauryl sulfate	10.00
12.00	4	Propylene glycol	120.00
25.00	5	Stearyl alcohol	250.00
25.00	6	White petrolatum	250.00
37.00	7	Water purified	370.00
0.01	8	Triacantanol	0.10

MANUFACTURING DIRECTIONS

1. Melt the stearyl alcohol and the white petrolatum on a steam bath and warm to approximately 75°C.
2. Dissolve the other ingredients in the purified water and also warm to approximately 75°C.
3. Then mix all ingredients together and stir until the mixture congeals.

TRICLOSAN FOOT CARE CREAM**Bill of Materials**

Scale (mg/g)	Item	Material Name	Qty/kg (g)
50.00	1	Glyceryl stearate (Gelol)	50.00
50.00	2	Propylene glycol stearate	50.00
100.00	3	Octyldodecyl myristate	100.00
50.00	4	Isostearyl isostearate	50.00
20.00	5	Dimethicone (100 cS)	20.00
651.00	6	Deionized water	651.00
50.00	7	Sucrose distearate	50.00
4.00	8	Phenoxyethanol, methyl paraben, ethyl paraben, and propyl paraben	4.00
20.00	9	Propylene glycol	20.00
3.00	10	Triclosan	3.00
2.00	11	Fragrance	2.00

MANUFACTURING DIRECTIONS

1. Heat items 1 to 5 and items 6 to 7 separately to 75°C. Mix the two parts with turbine mixing for 1 minute.
2. Cool with gentle stirring.
3. Add items 9 and 10 and then item 11 with mixing at 30°C to 35°C.

TRICLOSAN FOOT CREAM**Bill of Materials**

Scale (mg/tablet)	Item	Material Name	Qty/L (g)
30.00	1	Alcohol and cetareth-20 (Cosmowax EM5483)	30.00
30.00	2	Isopropyl myristate (Crodamol IPM)	30.00
5.00	3	Cetyl esters (Crodamol SS)	5.00
20.00	4	Oleyl alcohol	20.00
5.00	5	Propylene glycol	5.00
5.00	6	Carbopol 980	5.00
QS	7	Deionized water	QS to 1 L
300.00	8	Ethanol DEB100	300.00
2.00	9	Triclosan (Irgasan DP300)	2.00
0.50	10	Menthol	0.50
4.00	11	Triethanolamine 99% approximately to give pH 6–7	4.00

MANUFACTURING DIRECTIONS

1. Preblend ethanol, Irgasan, and menthol and warm to 50°C.
2. Heat water and oil phases separately to 70°C.
3. Add water phase to oil phase while stirring. Stir to cool, adding the preblend at 60°C. Adjust pH.

TRIDAX PROCUMBENS OINTMENT**Bill of Materials**

Scale (g/100 g)	Item	Material Name	Qty/kg (g)
5.00	1	<i>Tridax procumbens</i> leaf extract	50.00
3.00	2	Carbopol 934	30.00
0.15	3	Methyl paraben	1.50
0.15	4	Propyl paraben	1.50
QS	5	Monoethanol amine	QS
QS	6	Propylene glycol:water purified (50:50)	QS to 1 kg

MANUFACTURING DIRECTIONS

1. Shade dry the leaves of *T. procumbens* for 48 hours at room temperature.
2. Then soak the crushed leaves (500 g) with water (1 L) for 72 hours at room temperature.
3. Decant water and then concentrate to 100 mL by evaporating under vacuum at room temperature.
4. Then lyophilize this concentrated solution to obtain powder (item 1).
5. Disperse the *T. procumbens* leaf extract in pure propylene glycol along with propyl paraben (0.15%).
6. Thoroughly agitate the mixture to get a clear solution. Disperse Carbopol 934 in a propylene glycol and water (50:50) mixture along with methyl paraben in another vessel.
7. Stir the mixture continuously at 300 rpm for 2 to 3 hours.
8. Then add the *T. procumbens* solution and continue stirring for approximately 1 hour until a gel preparation is obtained.
9. Adjust the pH of this gel to 6 using monoethanolamine.

TROLAMINE SALICYLATE CREAM

Bill of Materials			
Scale (mg/ tablet)	Item	Material Name	Qty/kg (g)
50.00	1	Glyceryl stearate	5.00
25.00	2	Cetyl alcohol	2.50
30.00	3	Cetyl phosphate and DEA cetyl phosphate	3.00
40.00	4	Stearyl stearoyl stearate	4.00
40.00	5	Cococaprylate/Caprate	4.00
40.00	6	Cetyl palmitate	4.00
5.00	7	Dimethicone	0.50
502.00	8	Deionized water	50.20
10.00	9	Propylene glycol, diazolidinyl urea, methyl paraben, and propyl paraben	1.00
5.50	10	Magnesium aluminum silicate	0.55
2.50	11	Xanthan gum	0.25
100.00	12	Deionized water	10.00
100.00	13	Trolamine salicylate (TEA salicylate)	10.00
50.00	14	Propylene glycol	5.00

MANUFACTURING DIRECTIONS

- Heat items 8 and 9 to 85°C, add items 10 and 11, and mix until well dispersed.
- Add items 1 to 7 and mix well at 80°C to 85°C. Continue mixing.
- While cooling to 65°C, add items 12 to 14 and continue mixing and cooling to 35°C. pH should be 5.5 to 5.6.

ULINASTATIN SUPPOSITORY**MANUFACTURING DIRECTIONS**

- Weigh hard fat (Witepsol W 35, 167.4 g), pluronic F-127 (0.6 g), propyl paraoxybenzoate (0.2 g), and methyl paraoxybenzoate (0.2 g), melt at 50°C, and process to prepare a uniform oil-phase component which is held at 35°C to 45°C.
- Prepare an aqueous solution of ulinastatin (ulinastatin: 4900 U/mL) to have a sodium chloride concentration of 9 mg/mL; to 24 mL of the solution, add gelatin (2.4 g), concentrated glycerin (4.8 g), and arginine hydrochloride (0.4 g) and heat the mixture to prepare a uniform aqueous-phase component which is held at 35°C to 45°C.
- Mix steps 1 and 2 and emulsify with a homomixer; fill into suppository containers such that each contains a 1.7 g portion. Leave the contents to cool and

solidify, yielding suppositories containing ulinastatin in a uniform amount.

ULTRASONIC ADHESIVE GEL

Bill of Materials			
Scale (mg/g)	Item	Material Name	Qty/kg (g)
5.00	1	Preservative (e.g., parabens)	5.00
754.00	2	Water	754.00
6.00	3	Carbopol 940 (Goodrich)	6.00
20.00	4	Sodium hydroxide solution 10%	20.00
15.00	5	Kollidon 30	15.00
200.00	6	Water	200.00

MANUFACTURING DIRECTIONS

- Prepare solution of item 1 in item 2 by heating to 70°C to 80°C and add item 3 slowly to obtain a homogeneous suspension.
- Add items 4 to 6. A clear, colorless adhesive gel is obtained. Addition of sodium chloride changes consistency.

VITAMIN A OINTMENT

Bill of Materials			
Scale (g/100 g)	Item	Material Name	Qty/kg (g)
2.20	1	Vitamin A propionate	22.00
70.00	2	Alcohol SD40-A	700.00
5.00	3	Glycolic acid	50.00
20.00	4	Propylene glycol	200.00
4.00	5	Hydroxypropyl cellulose	40.00
5.00	6	Aloe vera extract	50.00
0.10	7	Lactil	1.00

MANUFACTURING DIRECTIONS

- Add 2.2 g vitamin A propionate to 70 g alcohol (SD40-A) and mix.
- Add 5 g of glycolic acid to 20 g of propylene glycol and mix.
- Add step 1 to step 2 at room temperature until the solution is homogeneous.
- Sift in 4 g hydroxypropyl cellulose slowly, more than approximately 15 minutes while blending to avoid clumping.
- While stirring, add 5 g extract of the aloe vera plant and 1 g Lactil.
- Stir gently until cellulose is dissolved.

VITAMIN A SUPPOSITORIES

Bill of Materials			
Scale (mg/ suppository)	Item	Material Name	Qty/1000 Suppositories (g)
150,000 IU	1	Vitamin A palmitate 1.7 M IU/g	88.23 g
1.00	2	Butyl hydroxytoluene	10
400.00	3	Cremophor RH 40	400
800.00	4	Lutrol E 1500	800
500.00	5	Lutrol E 4000	505

MANUFACTURING DIRECTIONS

1. Dissolve butyl hydroxytoluene in the warm vitamin A, add Cremophor, and mix with the molten Lutrol E grades.
2. Fill into molds of suppositories to obtain the weight of 2 g.

VITAMIN C VAGINAL OINTMENT

Bill of Materials			
Scale (g/100 g)	Item	Material Name	Qty/kg (g)
12.50	1	Vitamin C	125.00
21.80	2	White Vaseline	218.00
23.00	3	Cetyl stearyl alcohol	230.00
39.50	4	Liquid paraffin	395.00

MANUFACTURING DIRECTIONS

1. Charge items 2 to 4 in a melting tank and melt at 80°C.
2. Stir and homogenize for 20 minutes and cool.
3. At 30°C, add item 1 under vacuum and homogenize.

VITAMIN E GEL CREAM

Bill of Materials			
Scale (mg/g)	Item	Material Name	Qty/kg (g)
100.00	1	Vitamin E acetate	100.00
150.00	2	Propylene glycol pharma	150.00
200.00	3	Lutrol F 127	200.00
550.00	4	Water	550.00

MANUFACTURING DIRECTIONS

1. Mix vitamin E acetate with propylene glycol and add the water. After cooling to approximately 6°C, dissolve Lutrol F 127 slowly in the well-stirred mixture.
2. Maintain cool until the air bubbles escape. A turbid white gel forms at temperatures between 20°C and 50°C. Viscosity at 25°C is approximately 120,000 mPa.

WOUND DEBRIDING OINTMENT

MANUFACTURING DIRECTIONS

1. (% w/w) Castor oil, 90.0; hydrogenated castor oil, 10.0. Add the hydrogenated castor oil to the castor oil while mixing with a high shear mixer and mix until a semisolid is formed.
2. Wound debriding ointment (% w/w) Castor oil, 68.8; hydrogenated castor oil, 10.0; balsam Peru oil, 8.70; aluminum/magnesium hydroxide stearate, 2.00; trypsin, 0.018; safflower oil, QS add 100%.
3. In step 3, the wound debrider, disperse the aluminum/magnesium hydroxide stearate in the castor oil.
4. Thereafter add the hydrogenated castor oil while mixing with a high shear mixer, in particular, a turbo shear mixer.
5. Continue mixing until a semisolid forms. Then blend the remaining ingredients to the semisolid until homogeneous mixing appears.

ZINC OXIDE AND VITAMIN E CREAM

Bill of Materials			
Scale (g/100 g)	Item	Material Name	Qty/kg (g)
7.50	1	Zinc oxide	75.00
5.00	2	White soft paraffin	50.00
6.50	3	Cetostearyl alcohol	65.00
11.00	4	Lanolin anhydrous	110.00
2.00	5	Castor oil	20.00
12.00	6	Liquid paraffin	120.00
0.50	7	Vitamin E oily	5.00
1.04	8	Sodium lauryl sulfate	10.40
10.00	9	Propylene glycol	100.00
1.00	10	Simethicone M30	10.00
0.04	11	Lavender oil	0.40
43.20	12	Water purified	432.00

MANUFACTURING DIRECTIONS

1. Add item 12 (two-thirds) to Becomix, heat to 80°C to 85°C, and transfer to a stainless-steel covered container.
2. Place in a melting vessel items 2 to 7, one at a time, and heat to 70°C. Stir to meet and maintain temperature at 70°C to 75°C.
3. Transfer step 2 to Becomix after passing through a stainless-steel sieve while mixing.
4. Load item 12, set aside in a separate vessel, and stir to dissolve item 8 at 70°C to 75°C. Transfer this solution to Becomix through a stainless-steel sieve.
5. Homogenize for 10 minutes under vacuum 0.4 to 0.6 bar at 70°C to 75°C.
6. Cool down to 40°C to 45°C while mixing.
7. Place balance of item 12 at 70°C to 75°C and items 9 and 1 in a separate vessel. Mix using a stirrer, then cool down to 40°C to 45°C. Disperse zinc oxide in the solution while stirring and then pass dispersion twice through a homogenizer.
8. Transfer dispersion to Becomix and mix at slow speed.
9. Use item 12 to rinse vessel and add rinsings.
10. Homogenize at 35°C to 45°C under vacuum.
11. Add items 11 and 12 and mix again, homogenize again, and cool down to 25°C to 30°C.
12. Transfer to storage container and fill.

ZINC OXIDE LOTION

Bill of Materials

Scale (mg/g)	Item	Material Name	Qty/kg (g)
7.00	1	Magnesium aluminum silicate	7.00
641.00	2	Water	641.00
7.00	3	Unimulse C	7.00
30.00	4	Propylene glycol	30.00
30.00	5	Eucalyptus oil	30.00
30.00	6	Lanolin oil	30.00
50.00	7	Dimethicone 350 cs	50.00
50.00	8	C12-C15 alcohols benzoate	50.00
100.00	9	Polysorbate 80	100.00
50.00	10	Zinc oxide	50.00
10.00	11	Cornstarch	10.00
QS	12	Preservatives	QS

MANUFACTURING DIRECTIONS

1. Add item 1 to the water slowly, agitating with maximum shear until smooth.
2. Add item 3 and 4, mixing each time, until uniform.
3. Mix items 5 to 10 until uniform and mix with other portions until uniform.
4. Add item 11 and 12 and mix until smooth.

ZINC OXIDE OINTMENT

(% w/w) Methyl benzethonium chloride, 0.1; sebacic acid, 10.0; acetylated lanolin, 2.0; zinc oxide, 20.0; perfume, 0.075; mineral oil gelled with 5% polyethylene (Plastibase 50 W), 67.825.

ZINC OXIDE OINTMENT

Bill of Materials

Scale (mg/g)	Item	Material Name	Qty/kg (g)
120.00	1	Cetearyl alcohol, PEG-40 castor oil, and sodium cetearyl sulfate	120.00
180.00	2	Petrolatum	180.00
60.00	3	Olearyl oleate	60.00
60.00	4	Mineral oil, light	60.00
100.00	5	Zinc oxide	100.00
QS	6	Water	QS to 1 kg
10.00	7	Propylene glycol, diazolidinyl urea, methyl paraben, and propyl paraben	10.00

MANUFACTURING DIRECTIONS

1. Mix and heat item 1 to 5 to 70°C to 75°C.
2. Mix and heat items 6 and 7 to 70°C to 75°C. While stirring, add this to the mixture made earlier.
3. Begin cooling, continue stirring until batch reaches 30°C, and then homogenize.

ZINC OXIDE OINTMENT WITH VITAMIN E AND ALOE

Zinc oxide ointment with vitamin E and aloe's active ingredient is zinc oxide (11.3%). Its inactive ingredients are aloe vera gel, balsam (specially purified balsam Peru), beeswax, benzoic acid, dimethicone, methyl paraben, mineral oil, propyl paraben, purified water, sodium borate, and tocopheryl (vitamin E acetate).

ZINC PYRITHIONE DETERGENT LOTION

Bill of Materials

Scale (mg/g)	Item	Material Name	Qty/kg
547.50	1	Deionized water	547.50
7.50	2	Hydroxyethyl cellulose	7.50
347.00	3	TEA-lauryl sulfate	347.00
43.00	4	PEG-20 lanolin alcohol ether	43.00
20.00	5	Glycol stearate	20.00
15.00	6	Cocamide MEA	15.00
10.00	7	Zinc pyrithione 48%	20.00
QS	8	Fragrance, preservative	QS

MANUFACTURING DIRECTIONS

1. Add item 2 to the water and mix. In a separate vessel, combine items 3 to 5, heat to 80°C, and mix.
2. Cool to 50°C. Add items 6 and 7 and mix. Add this mixture to mixture of item 2.
3. Cool to 40°C and add item 8.

ZINC UNDECYLENATE CREAM

Bill of Materials

Scale (mg/g)	Item	Material Name	Qty/kg (g)
7.50	1	Magnesium aluminum silicate	7.50
487.50	2	Deionized water	487.50
100.00	3	Sorbitol 70%	100.00
10.00	4	Polysorbate 80	10.00
200.00	5	Zinc undecylenate	200.00
50.00	6	Caprylic acid	50.00
30.00	7	C12-C15 alcohols benzoate	30.00
15.00	8	Polysorbate 80	15.00
20.00	9	C18-C36 acid	20.00
80.00	10	Glyceryl stearate and PEG-100 stearate	80.00
QS	11	Preservatives	QS

MANUFACTURING DIRECTIONS

1. Slowly add item 1 in the water, mixing with maximum available shear until smooth.
2. Add items 2 to 5 in order, mixing each until uniform. Avoid incorporating air. Heat while stirring to 70°C to 75°C.
3. Heat items 6 to 10 separately to 70°C to 75°C and add to the above mixture, mixing while cooling. Fill at 45°C to 50°C.

ZIRCONIUM OXIDE LOTION

Bill of Materials

Scale (mg/g)	Item	Material Name	Qty/kg (g)
15.00	1	Magnesium aluminum silicate	15.00
3.00	2	Carboxymethylcellulose sodium medium viscosity	3.00
796.50	3	Water	796.50
40.00	4	Zirconium oxide	40.00
50.00	5	Propylene glycol	50.00
80.00	6	Isopropyl alcohol	80.00
15.00	7	Benzocaine	15.00
0.50	8	Menthol	0.50
QS	9	Preservative	QS

MANUFACTURING DIRECTIONS

1. Dry blend items 1 and 2 and add them to water slowly while agitating with maximum shear until smooth.
2. Add items 4 and 5 and then items 6 to 9. Mix.

Part III

Commercial Pharmaceutical Formulations



Taylor & Francis

Taylor & Francis Group

<http://taylorandfrancis.com>

Commercial Pharmaceutical Formulations

- Accuzyme contains papain, USP (6.5×10.5 USP units of activity per gram of ointment) and urea, USP 10%, in a hydrophilic ointment base composed of emulsifying wax, fragrance, glycerin, isopropyl palmitate, lactose, methyl paraben, potassium phosphate monobasic, propyl paraben, and purified water.
- Acticin (permethrin) cream 5% is available in an off-white vanishing cream base. It is a yellow to light orange-brown, low-melting solid or viscous liquid. Each gram of Acticin cream, 5%, contains permethrin 50 mg (5%) and the inactive ingredients butylated hydroxytoluene, carbomer 934P, coconut oil, glycerin, glyceryl stearate, isopropyl myristate, lanolin alcohols, light mineral oil, polyoxyethylene cetyl ethers, purified water, and sodium hydroxide. Formaldehyde 1 mg (0.1%) is added as a preservative.
- Aldara: Each gram of the 5% cream contains 50 mg of imiquimod in an off-white oil-in-water vanishing cream base consisting of isostearic acid, cetyl alcohol, stearyl alcohol, white petrolatum, polysorbate 60, sorbitan monostearate, glycerin, xanthan gum, purified water, benzyl alcohol, methyl paraben, and propyl paraben.
- Anbesol, active ingredients: Anbesol is an oral anesthetic which is available in a maximum strength gel and liquid. Anbesol Junior, available in a gel, is an oral anesthetic. Baby Anbesol, available in a grape-flavored gel, is an oral anesthetic and is alcohol free. Maximum strength Anbesol gel and liquid contain benzocaine, 20%. Anbesol junior gel contains benzocaine, 10%. Baby Anbesol gel contains benzocaine, 7.5%. Inactive ingredients: Maximum strength gel: Benzyl alcohol, carbomer 934P, D&C Yellow No. 10, FD&C Blue No. 1, FD&C Red No. 40, flavor, glycerin, methyl paraben, polyethylene glycol, propylene glycol, saccharin. Maximum strength liquid: Benzyl alcohol, D&C Yellow No. 10, FD&C Blue No. 1, FD&C Red No. 40, flavor, methyl paraben, polyethylene glycol, propylene glycol, saccharin. Junior gel: Artificial flavor, benzyl alcohol, carbomer 934P, D&C Red No. 33, glycerin, methyl paraben, polyethylene glycol, potassium acesulfame. Grape baby gel: Benzoic acid, carbomer 934P, D&C Red No. 33, edetate disodium, FD&C Blue No. 1, flavor, glycerin, methyl paraben, polyethylene glycol, propyl paraben, saccharin, water.
- AndroGel® (testosterone gel), 1%, is a clear, colorless hydroalcoholic gel containing 1% testosterone. AndroGel provides continuous transdermal delivery of testosterone, the primary circulating endogenous androgen, for 24 hours following a single application to intact, clean dry skin of the shoulders, upper arms, and/or abdomen. A daily application of AndroGel 5 g, 7.5 g, or 10 g contains 50 mg, 75 mg, or 100 mg of testosterone respectively, to be applied daily to the skin's surface. Approximately 10% of the applied testosterone dose is absorbed across skin of average permeability during a 24-hour period. The active pharmacologic ingredient in AndroGel is testosterone. Testosterone USP is a white to practically white crystalline powder. Inactive ingredients in AndroGel are ethanol, 67.0%, purified water, sodium hydroxide, carbomer 980, and isopropyl myristate; these ingredients are not pharmacologically active.
- Avar™-E emollient cream (sodium sulfacetamide, 10%, and sulfur, 5%) in each gram contains 100 mg of sodium sulfacetamide and 50 mg of colloidal sulfur in an emollient cream vehicle containing purified water, isostearyl palmitate, glyceryl stearate and PEG-100 stearate, sodium lactate USP, glycerin USP, self-emulsifying wax NF, zinc oxide USP, benzyl alcohol NF, nicotinamide, cetyl alcohol NF, dimethicone, sodium thiosulfate, phenoxyethanol, disodium EDTA, fragrance. Each gram of Avar-E green cream (sodium sulfacetamide 10% and sulfur 5%) color corrective emollient cream contains 100 mg of sodium sulfacetamide and 50 mg of colloidal sulfur in an emollient cream vehicle containing purified water, isostearyl palmitate, glyceryl stearate and PEG-100 stearate, sodium lactate USP, glycerin USP, self-emulsifying wax NF, zinc oxide USP, benzyl alcohol NF, chromium oxide green, nicotinamide, cetyl alcohol NF, dimethicone, sodium thiosulfate, phenoxyethanol, disodium EDTA, fragrance.
- Avar gel (sodium sulfacetamide 10% and sulfur 5%) in each gram contains 100 mg of sodium sulfacetamide and 50 mg of colloidal sulfur in an aqueous-based emollient gel vehicle containing purified water USP, sodium magnesium silicate, emulsifying lipids, nicotinamide, disodium EDTA, sodium thiosulfate, zinc oxide, benzyl alcohol, phenoxyethanol, glycerin, xanthan gum, sodium lactate, polyacrylamide, C13-C14 isoparaffin, laureth-7, fragrance. Each gram of Avar green (sodium sulfacetamide, 10%, and sulfur, 5%) color corrective gel contains 100 mg of sodium sulfacetamide and 50 mg of colloidal sulfur in an aqueous-based emollient gel vehicle containing purified water USP, sodium magnesium silicate, emulsifying lipids, nicotinamide, disodium EDTA, sodium thiosulfate, zinc oxide, benzyl alcohol, phenoxyethanol, glycerin, xanthan gum, sodium lactate, polyacrylamide, C13-C14 isoparaffin, laureth-7, fragrance, chromium oxide green.

- Avita[®] cream, a topical retinoid, contains tretinoin 0.025% by weight in a hydrophilic cream vehicle of stearic acid, polyolprepolymer-2, isopropyl myristate, polyoxyl 40 stearate, propylene glycol, stearyl alcohol, xanthan gum, sorbic acid, butylated hydroxytoluene, and purified water.
- Avita gel, a topical retinoid, contains tretinoin 0.025% by weight in a gel vehicle of butylated hydroxytoluene, hydroxypropyl cellulose, polyolprepolymer-2, and ethanol (denatured with tertiary butyl alcohol and brucine sulfate) 83% w/w.
- BenzaClin[®] topical gel contains clindamycin phosphate. BenzaClin topical gel also contains benzoyl peroxide for topical use. Each gram of BenzaClin topical gel contains, as dispensed, 10 mg (1%) clindamycin as phosphate and 50 mg (5%) benzoyl peroxide in a base of carbomer, sodium hydroxide, dioctyl sodium sulfosuccinate, and purified water.
- Brevoxyl-4 creamy wash and Brevoxyl-8 creamy wash are topical preparations containing benzoyl peroxide as the active ingredient. Brevoxyl-4 creamy wash and Brevoxyl-8 creamy wash contain 4% and 8% benzoyl peroxide respectively, in a lathering cream vehicle containing cetostearyl alcohol, cocamidopropyl betaine, cornstarch, dimethyl isosorbide, glycerin, glycolic acid, hydrogenated castor oil, imidurea, methyl paraben, mineral oil, peg-14 M, purified water, sodium hydroxide, sodium PCA, sodium potassium lauryl sulfate, titanium dioxide.
- Brevoxyl-4 gel and Brevoxyl-8 gel are topical preparations containing benzoyl peroxide 4% and 8% respectively, as the active ingredient in a gel vehicle containing purified water, cetyl alcohol, dimethyl isosorbide, fragrance, simethicone, stearyl alcohol, and cetareth-20.
- Camptosar injection (irinotecan hydrochloride injection) is supplied as a sterile, pale yellow, clear, aqueous solution. It is available in two single-dose sizes: 2 mL fill vials contain 40 mg irinotecan hydrochloride, and 5 mL fill vials contain 100 mg irinotecan hydrochloride. Each milliliter of solution contains 20 mg of irinotecan hydrochloride (on the basis of the trihydrate salt), 45 mg of sorbitol NF powder, and 0.9 mg of lactic acid, USP. The pH of the solution has been adjusted to 3.5 (range: 3.0–3.8) with sodium hydroxide or hydrochloric acid. Camptosar is intended for dilution with 5% dextrose injection, USP (D5W), or 0.9% sodium chloride injection, USP, prior to intravenous infusion. The preferred diluent is 5% dextrose injection, USP.
- Carac[®] (fluorouracil cream) cream, 0.5%, contains fluorouracil for topical dermatologic use. Carac cream contains 0.5% fluorouracil, with 0.35% being incorporated into a patented porous microsphere (Microsponge) composed of methyl methacrylate/glycol dimethacrylate crosspolymer and dimethicone. The cream formulation contains the following other inactive ingredients: Carbomer 940, dimethicone, glycerin, methyl gluceth-20, methyl methacrylate/glycol dimethacrylate crosspolymer, methyl paraben, octyl hydroxy stearate, polyethylene glycol 400, polysorbate 80, propylene glycol, propyl paraben, purified water, sorbitan monooleate, stearic acid, and trolamine.
- Caverject contains alprostadil as the naturally occurring form of prostaglandin E 1. Caverject Impulse is available as a disposable, single-dose dual-chamber syringe system. The system includes a glass cartridge, which contains sterile, freeze-dried alprostadil in the front chamber and sterile bacteriostatic water for injection in the rear chamber. The alprostadil is reconstituted with the sterile bacteriostatic water just before injection. Caverject Impulse is available in two strengths for intracavernosal administration: (1) 10 µg—the reconstituted solution has a volume of 0.64 mL. The delivered volume, 0.5 mL, contains 10 µg of alprostadil, 324.7 µg of alpha-cyclodextrin, 45.4 mg of lactose, 23.5 µg of sodium citrate, and 4.45 mg of benzyl alcohol. (2) 20 µg—the reconstituted solution has a volume of 0.64 mL. The delivered volume, 0.5 mL, contains 20 µg of alprostadil, 649.3 µg of alpha-cyclodextrin, 45.4 mg of lactose, 23.5 µg of sodium citrate, and 4.45 mg of benzyl alcohol. When necessary, the pH of the alprostadil for injection was adjusted with hydrochloric acid and/or sodium hydroxide before lyophilization.
- Claripel cream, active ingredient: Hydroquinone USP 4%. Other ingredients: Avobenzone, cetareth-20, cetostearyl alcohol, citric acid, diethylaminoethyl stearate, dimethicone, edetate disodium, glyceryl dilaurate, glyceryl monostearate, glyceryl stearate, PEG-100 stearate, hydroxyethyl cellulose, methyl paraben, octyldodecyl stearyl stearate, octinoxate, oxybenzone, polysorbate 80, propylene glycol, propyl gallate, propyl paraben, purified water, quaternium-26, sodium metabisulfite, sodium PCA, squalane, ubiquinone, stearyl alcohol, water, glycerin, *Rumex occidentalis* extract.
- Cleocin vaginal ovules are semisolid, white to off-white suppositories for intravaginal administration. Each 2.5-g suppository contains clindamycin phosphate equivalent to 100 mg clindamycin in a base consisting of a mixture of glycerides of saturated fatty acids.
- Climara Pro[™] (estradiol/levonorgestrel transdermal system) is an adhesive-based matrix transdermal patch designed to release both estradiol and levonorgestrel, a progestational agent, continuously upon application to intact skin. The 22 cm² Climara Pro system contains 4.40 mg estradiol and 1.39 mg levonorgestrel and provides a nominal delivery rate (mg/d) of 0.045 estradiol and 0.015 levonorgestrel. The Climara Pro system comprises three layers. Proceeding from the visible surface toward the surface attached to the skin, these layers are

- (1) a translucent polyethylene backing film, (2) an acrylate adhesive matrix containing estradiol and levonorgestrel, and (3) a protective liner of either siliconized or fluoropolymer-coated polyester film. The protective liner is attached to the adhesive surface and must be removed before the system can be used. The active components of the system are estradiol and levonorgestrel. The remaining components of the system (acrylate copolymer adhesive and polyvinylpyrrolidone/vinyl acetate copolymer) are pharmacologically inactive.
- Climara[®], estradiol transdermal system, is designed to release 17(beta)-estradiol continuously upon application to intact skin. Six (6.5, 9.375, 12.5, 15.0, 18.75, and 25.0 cm²) systems are available to provide nominal in vivo delivery of 0.025, 0.0375, 0.05, 0.060, 0.075, or 0.1 mg respectively of estradiol per day. The period of use is 7 days. Each system has a contact surface area of either 6.5, 9.375, 12.5, 15.0, 18.75, or 25.0 cm² and contains 2.0, 2.85, 3.8, 4.55, 5.7, or 7.6 mg of estradiol USP respectively. The composition of the systems per unit area is identical. The Climara system comprises three layers. Proceeding from the visible surface toward the surface attached to the skin, these layers are (1) a translucent polyethylene film and (2) an acrylate adhesive matrix containing estradiol USP. A protective liner (3) of siliconized or fluoropolymer-coated polyester film is attached to the adhesive surface and must be removed before the system can be used. The active component of the system is 17(beta)-estradiol. The remaining components of the system (acrylate copolymer adhesive, fatty acid esters, and polyethylene backing) are pharmacologically inactive.
 - Clindagel[®] (clindamycin phosphate gel) topical gel, 1%, a topical antibiotic, contains clindamycin phosphate, USP, at a concentration equivalent to 10 mg clindamycin per gram in a gel vehicle consisting of carbomer 941, methyl paraben, polyethylene glycol 400, propylene glycol, sodium hydroxide, and purified water.
 - Clindesse[™] is a semisolid white cream, which contains clindamycin phosphate, USP, at a concentration equivalent to 20 mg clindamycin base per gram. The cream also contains edetate disodium, glycerol monoisostearate, lecithin, methyl paraben, microcrystalline wax, mineral oil, polyglyceryl-3-oleate, propyl paraben, purified water, silicon dioxide, and sorbitol solution.
 - Clobevate[®] (clobetasol propionate gel) for topical administration contains clobetasol propionate 0.5 mg in a base of propylene glycol, carbomer 934P, sodium hydroxide, and purified water.
 - Colace[®] (glycerin) suppositories, active ingredient (per suppository): Colace suppositories contain glycerin, USP 2.1 g. Inactive ingredients: Purified water, sodium hydroxide, stearic acid. Colace suppositories contains glycerin, USP 1.2 g.
 - CombiPatch[®] (estradiol/norethindrone acetate transdermal system) is an adhesive-based matrix transdermal patch. The remaining components of the system are pharmacologically inactive. Two systems are available, providing the following delivery rates of estradiol and norethindrone acetate: 9 cm² round 0.62 mg estradiol and 2.7 mg NETA; release rates: 0.05/0.14 mg/d; 16 cm² round 0.51 estradiol and 4.8 mg NETA; release rates 0.05/0.25 mg/d respectively. Estradiol USP (estradiol) is a white to creamy-white, odorless crystalline powder. Norethindrone acetate USP is a white to creamy-white, odorless crystalline powder. CombiPatch transdermal systems are comprised of three layers. Proceeding from the visible surface toward the surface attached to the skin, these layers are (1) a translucent polyolefin film backing; (2) an adhesive layer containing estradiol, norethindrone acetate, acrylic adhesive, silicone adhesive, oleyl alcohol, oleic acid NF, povidone USP, and dipropylene glycol; and (3) a polyester-release protective liner, which is attached to the adhesive surface and must be removed before the system can be used.
 - Cutivate (fluticasone propionate ointment) ointment, 0.005%, contains fluticasone propionate, a synthetic fluorinated corticosteroid for topical dermatologic use. Each gram of Cutivate ointment contains fluticasone propionate 0.05 mg in a base of liquid paraffin, microcrystalline wax, propylene glycol, and sorbitan sesquioleate. Each gram of Cutivate lotion contains 0.5 mg fluticasone propionate in a base of cetostearyl alcohol, isopropyl myristate, propylene glycol, cetomacrogol 1000, dimethicone 360, citric acid, sodium citrate, and purified water, with imidurea, methyl paraben, and propyl paraben as preservatives. Each gram of Cutivate cream contains fluticasone propionate 0.5 mg in a base of propylene glycol, mineral oil, cetostearyl alcohol, ceteth-20, isopropyl myristate, dibasic sodium phosphate, citric acid, purified water, and imidurea as preservative.
 - Denavir containing penciclovir is available for topical administration as a 1% white cream. Each gram of Denavir contains 10 mg of penciclovir and the following inactive ingredients: Cetomacrogol 1000 BP, cetostearyl alcohol, mineral oil, propylene glycol, purified water, and white petrolatum.
 - Diastat rectal delivery system is a nonsterile diazepam gel provided in a prefilled, unit-dose, rectal delivery system. Diastat contains 5 mg/mL diazepam, propylene glycol, ethyl alcohol (10%), hydroxypropylmethylcellulose, sodium benzoate, benzyl alcohol (1.5%), benzoic acid, and water. Diastat is clear to slightly yellow and has a pH between 6.5 and 7.2. Diazepam, the active ingredient of Diastat, is a benzodiazepine anticonvulsant with the chemical name 7-chloro-1,3-dihydro-1-methyl-5-phenyl-2H-1,4-benzodiazepin-2-one.

- Differin® (adapalene) cream, 0.1%, contains adapalene 0.1% in an aqueous cream emulsion consisting of carbomer 934P, cyclomethicone, edetate disodium, glycerin, methyl glucose sesquistearate, methyl paraben, PEG-20 methyl glucose sesquistearate, phenoxyethanol, propyl paraben, purified water, squalane, and trolamine.
- Dilaudid suppositories (for rectal administration) contain 3 mg hydromorphone hydrochloride in a cocoa butter base with silicon dioxide.
- Dinoprostone vaginal insert is a thin, flat polymeric slab, which is rectangular in shape with rounded corners contained within the pouch of an off-white knitted polyester retrieval system. Each slab is buff-colored, semitransparent, and contains 10 mg of dinoprostone in a hydrogel insert. An integral part of the knitted polyester retrieval system is a long tape designed to aid retrieval at the end of the dosing interval or earlier if clinically indicated. The finished product is a controlled-release formulation, which has been found to release dinoprostone in vivo at a rate of approximately 0.3 mg/h. Each insert contains 10 mg of dinoprostone in 241 mg of a cross-linked polyethylene oxide/urethane polymer which is a semi-opaque, beige-colored, flat rectangular slab measuring 29 mm × 9.5 mm × 0.8 mm in thickness. The insert and its retrieval system, made of polyester yarn, are nontoxic and when placed in a moist environment absorb water, swell, and release dinoprostone.
- Diprolene® ointment contains betamethasone dipropionate. Each gram of Diprolene ointment 0.05% contains 0.643 mg betamethasone dipropionate. USP (equivalent to 0.5 mg betamethasone), in Actibase®, an optimized vehicle of propylene glycol, propylene glycol stearate (55% monoester), white wax, and white petrolatum.
- Diprolene AF cream, 0.05%, contains betamethasone dipropionate. Each gram of Diprolene AF cream 0.05% contains 0.643 mg betamethasone dipropionate, USP (equivalent to 0.5 mg betamethasone), in an emollient cream base of purified water, USP; chlorocresol; propylene glycol, USP; white petrolatum, USP; white wax, NF; cyclomethicone; sorbitol solution, USP; glyceryl oleate/propylene glycol; cetareth-30; carbomer 940, NF; and sodium hydroxide.
- Diprolene gel contains betamethasone dipropionate. Each gram of Diprolene gel contains 0.643 mg betamethasone dipropionate, USP (equivalent to 0.5 mg betamethasone), in an augmented gel base of purified water, USP; propylene glycol, USP; carbomer 940, NF; and sodium hydroxide, NF or R. May also contain phosphoric acid, NF, to adjust the pH to approximately 4.5.
- Diprolene lotion contains betamethasone dipropionate. Each gram of Diprolene lotion 0.05% contains 0.643 mg betamethasone dipropionate, USP (equivalent to 0.5 mg betamethasone), in a lotion base of purified water; isopropyl alcohol (30%); hydroxypropyl cellulose; propylene glycol; sodium phosphate monobasic monohydrate R; phosphoric acid used to adjust the pH to 4.5.
- Diprosone cream, 0.05%, contains betamethasone dipropionate. Each gram of Diprosone cream 0.05% contains 0.643 mg betamethasone dipropionate, USP (equivalent to 0.5 mg betamethasone), in a hydrophilic emollient cream consisting of purified water, USP; mineral oil, USP; white petrolatum, USP; cetareth-30; cetaryl alcohol 70/30 (7.2%); sodium phosphate monobasic monohydrate R; and phosphoric acid, NF; chlorocresol and propylene glycol, USP as preservatives. May also contain sodium hydroxide R to adjust pH to approximately 5.
- Dovonex® (calcipotriene ointment) ointment, 0.005%, contains calcipotriene 50 µg/g in an ointment base of dibasic sodium phosphate, edetate disodium, mineral oil, petrolatum, propylene glycol, tocopherol, steareth-2, and water. Dovonex (calcipotriene cream) cream, 0.005%, contains calcipotriene monohydrate, a synthetic vitamin D₃ derivative, for topical dermatological use. Calcipotriene monohydrate is a white or off-white crystalline substance. Dovonex cream contains calcipotriene monohydrate equivalent to 50 µg/g anhydrous calcipotriene in a cream base of cetaryl alcohol, ceteth-20, diazolidinyl urea, dichlorobenzyl alcohol, dibasic sodium phosphate, edetate disodium, glycerin, mineral oil, petrolatum, and water.
- Duac® topical gel contains clindamycin phosphate, equivalent to 1% clindamycin, and 5% benzoyl peroxide. Each gram of Duac topical gel contains 10 mg (1%) clindamycin, as phosphate and 50 mg (5%) benzoyl peroxide in a base consisting of carbomer 940, dimethicone, disodium lauryl sulfosuccinate, edetate disodium, glycerin, silicon dioxide, methyl paraben, poloxamer, purified water, and sodium hydroxide.
- Duac topical gel contains clindamycin phosphate, equivalent to 1% clindamycin, and 5% benzoyl peroxide. Each gram of Duac topical gel contains 10 mg (1%) clindamycin, as phosphate, and 50 mg (5%) benzoyl peroxide in a base consisting of carbomer 940, dimethicone, disodium lauryl sulfosuccinate, edetate disodium, glycerin, silicon dioxide, methyl paraben, poloxamer, purified water, and sodium hydroxide.
- Efudex cream is a topical preparation containing the fluorinated pyrimidine 5-fluorouracil. Efudex cream contains 5% fluorouracil in a vanishing cream base consisting of white petrolatum, stearyl alcohol, propylene glycol, polysorbate 60, and parabens (methyl and propyl).
- Elidel® (pimecrolimus) cream, 1%, contains the compound pimecrolimus. Each gram of Elidel cream, 1%, contains 10 mg of pimecrolimus in a whitish

- cream base of benzyl alcohol, cetyl alcohol, citric acid, mono- and diglycerides, oleyl alcohol, propylene glycol, sodium cetostearyl sulphate, sodium hydroxide, stearyl alcohol, triglycerides, and water.
- Elocon® (mometasone furoate cream) cream, 0.1%, contains mometasone furoate, USP, for dermatologic use. Each gram of Elocon cream 0.1% contains 1 mg mometasone furoate, USP, in a cream base of hexylene glycol, phosphoric acid, propylene glycol stearate (55% monoester), stearyl alcohol and cetareth-20, titanium dioxide, aluminum starch octenylsuccinate (gamma irradiated), white wax, white petrolatum, and purified water.
 - Elocon (mometasone furoate ointment, USP) ointment, 0.1%, contains mometasone furoate, USP, for dermatologic use. Each gram contains 1 mg mometasone furoate, USP, in an ointment base of hexylene glycol, phosphoric acid, propylene glycol stearate (55% monoester), white wax, white petrolatum, and purified water.
 - Elocon (mometasone furoate topical solution) lotion, 0.1%, contains mometasone furoate, USP, for dermatologic use. Each gram of Elocon lotion, 0.1%, contains 1 mg mometasone furoate, USP, in a lotion base of isopropyl alcohol (40%), propylene glycol, hydroxypropyl cellulose, sodium phosphate monobasic monohydrate R, and water. May also contain phosphoric acid used to adjust the pH to approximately 4.5.
 - Elspar (asparaginase): The specific activity of Elspar is at least 225 IU/mg of protein, and each vial contains 10,000 IU of asparaginase and 80 mg of mannitol, an inactive ingredient, as a sterile, white lyophilized plug or powder for intravenous or intramuscular injection after reconstitution.
 - Erygel® topical gel contains erythromycin. Each gram of Erygel topical gel contains 20 mg of erythromycin, USP, in a base of alcohol 92% and hydroxypropyl cellulose.
 - EstroGel® (estradiol gel) contains 0.06% estradiol in an absorptive hydroalcoholic gel base formulated to provide a controlled release of the active ingredient. An EstroGel unit dose of 1.25 g contains 0.75 mg of estradiol. The active component of the transdermal gel is estradiol. The remaining components of the gel (purified water, alcohol, triethanolamine, and carbomer 934P) are pharmacologically inactive.
 - Evoclin (clindamycin phosphate) foam, 1%, a topical antibiotic in a foam vehicle, contains clindamycin phosphate, USP, at a concentration equivalent to 10 mg clindamycin per gram in a vehicle consisting of cetyl alcohol, dehydrated alcohol (ethanol 58%), polysorbate 60, potassium hydroxide, propylene glycol, purified water, and stearyl alcohol, pressurized with a hydrocarbon (propane/butane) propellant.
 - Finacea® (azelaic acid) gel, 15%: Each gram of Finacea gel, 15%, contains 0.15 g azelaic acid (15% w/w) as the active ingredient in an aqueous gel base containing benzoic acid (as a preservative), disodium-EDTA, lecithin, medium-chain triglycerides, polyacrylic acid, polysorbate 80, propylene glycol, purified water, and sodium hydroxide to adjust pH.
 - Gynazole 1® (butoconazole nitrate) vaginal cream, 2%, contains butoconazole nitrate 2%, an imidazole derivative with antifungal activity. Gynazole 1 contains 2% butoconazole nitrate in a cream of edetate disodium, glyceryl monoisostearate, methyl paraben, mineral oil, polyglyceryl-3 oleate, propylene glycol, propyl paraben, colloidal silicon dioxide, sorbitol solution, purified water, and microcrystalline wax.
 - Hydrocortisone, 1%, inactive ingredients: BHA, car-boxymethylcellulose sodium, cetyl alcohol, citric acid, edetate disodium, glycerin, glyceryl oleate, glyceryl stearate, lanolin, methyl paraben, petrolatum, propyl gallate, propylene glycol, propyl paraben, simethicone, sodium benzoate, sodium lauryl sulfate, stearyl alcohol, water, xanthan gum.
 - Indocin suppositories for rectal use contain 50 mg of indomethacin and the following inactive ingredients: Butylated hydroxyanisole, butylated hydroxytoluene, edetic acid, glycerin, polyethylene glycol 3350, polyethylene glycol 8000, and sodium chloride.
 - Klaron® (sodium sulfacetamide lotion) lotion, 10%, contains 100 mg of sodium sulfacetamide in a vehicle consisting of purified water; propylene glycol; lauramide DEA (and) diethanolamine; polyethylene glycol 400, monolaurate; hydroxyethyl cellulose; sodium chloride; sodium metabisulfite; methyl paraben; xanthan gum; EDTA; and simethicone.
 - Loprox® gel (ciclopirox), 0.77%: Each gram of Loprox gel contains 7.70 mg of ciclopirox in a gel consisting of purified water USP, isopropyl alcohol USP, octyldodecanol NF, dimethicone copolyol 190, carbomer 980, sodium hydroxide NF, and docusate sodium USP. Loprox gel is a white slightly fluid gel.
 - Lotrimin cream contains 10 mg clotrimazole, USP, in a vanishing cream base of benzyl alcohol NF (1%), cetearyl alcohol 70/30 (10%), cetyl esters wax NF, octyldodecanol NF, polysorbate 60 NF, sorbitan monostearate NF, and purified water USP.
 - Lotrisone cream and lotion contain combinations of clotrimazole and betamethasone dipropionate. Each gram of Lotrisone cream contains 10 mg clotrimazole and 0.643 mg betamethasone dipropionate (equivalent to 0.5 mg betamethasone) in a hydrophilic cream consisting of purified water, mineral oil, white petrolatum, cetyl alcohol plus stearyl alcohol, cetareth-30, propylene glycol, sodium phosphate monobasic monohydrate, and phosphoric acid; benzyl alcohol as preservative.
 - Lotrisone lotion contains 10 mg clotrimazole and 0.643 mg betamethasone dipropionate (equivalent to 0.5 mg betamethasone) in a hydrophilic base of purified water, mineral oil, white petrolatum, cetyl

- alcohol plus stearyl alcohol, cetareth-30, propylene glycol, sodium phosphate monobasic monohydrate, and phosphoric acid; benzyl alcohol as a preservative. Lotrisone lotion may contain sodium hydroxide.
- Lustra-Ultra™, USP 4%, other ingredients (Lustra®): Purified water USP, phenyl trimethicone, glycerin 99% USP, glyceryl stearate (and) PEG-100 stearate, alcohol, cetyl alcohol NF, cyclopentasiloxane (and) polysilicone-11, linoleic acid, glycolic acid, polyacrylamide (and) c13-14 isoparaffin (and) laureth-7, cetearyl alcohol (and) cetareth-20, triethanolamine 99% USP, tocopheryl acetate USP, hydrogenated lecithin, phenoxyethanol, magnesium 1-ascorbyl phosphate NF, benzyl alcohol NF, dimethiconol, sodium metabisulfite NF, sodium citrate USP, disodium EDTA USP, butylated hydroxytoluene, vitamin E USP, carbomer NF, fragrance. Other ingredients (Lustra-AF®): Purified water USP, octyl methoxycinnamate, glycerin 99% USP, phenyl trimethicone, glyceryl stearate (and) PEG-100 stearate, cetyl alcohol NF, alcohol, avobenzene, cyclopentasiloxane (and) polysilicone-11, linoleic acid, glycolic acid, polyacrylamide (and) C 13-14 isoparaffin (and) laureth-7, cetearyl alcohol (and) cetareth-20, triethanolamine 99% USP, hydrogenated lecithin, tocopheryl acetate USP, phenoxyethanol, benzyl alcohol NF, magnesium 1-ascorbyl phosphate NF, dimethiconol, sodium metabisulfite NF, sodium citrate USP, disodium EDTA USP, butylated hydroxytoluene, vitamin E USP, carbomer NF, fragrance. Other ingredients (Lustra-Ultra): Purified water USP, octinoxate USP, propylene glycol USP, cetyl alcohol NF, glyceryl stearate (and) PEG-100 stearate, avobenzene USP, cyclo-methicone NF, cetearyl glucoside, capric caprylic triglyceride, microcrystalline wax NF, dimethicone NF, magnesium ascorbyl phosphate, polysorbate 20 NF, xanthan gum NF, retinol, sodium metabisulfite NF, methyl paraben NF, disodium EDTA USP, propyl paraben NF, vitamin E USP
 - Luxiq contains betamethasone valerate, USP. Each gram of Luxiq contains 1.2 mg betamethasone valerate, USP, in a hydroalcoholic, thermolabile foam. The foam also contains cetyl alcohol, citric acid, ethanol (60.4%), polysorbate 60, potassium citrate, propylene glycol, purified water, and stearyl alcohol and is dispensed from an aluminum can pressurized with a hydrocarbon propellant (propane/butane).
 - Mederma® is a topical gel for scar treatment. Ingredients: Water (purified), PEG-4, *Allium cepa* (onion) bulb extract, xanthan gum, allantoin, fragrance, methyl paraben, sorbic acid.
 - Menostar, estradiol transdermal system, is designed to provide nominal in vivo delivery of 14 µg 17(beta)-estradiol per day continuously upon application to intact skin. The period of use is 7 days. The transdermal system has a contact surface area of 3.25 cm² and contains 1 mg of estradiol USP.
- The Menostar transdermal system comprises three layers. Proceeding from the visible surface toward the surface attached to the skin, these layers are (1) a translucent polyethylene film and (2) an acrylate adhesive matrix containing estradiol USP. A protective liner (3) of siliconized or fluoropolymer-coated polyester film is attached to the adhesive surface and must be removed before the transdermal system can be used. The active component of the transdermal system is 17(beta)-estradiol. The remaining components of the transdermal system (acrylate copolymer adhesive, fatty acid esters, and polyethylene backing) are pharmacologically inactive.
- Mentax® cream, 1%, contains the synthetic antifungal agent, butenafine hydrochloride. Each gram of Mentax cream, 1%, contains 10 mg of butenafine HCl in a white cream base of purified water USP, propylene glycol dicaprylate, glycerin USP, cetyl alcohol NF, glyceryl monostearate SE, white petrolatum USP, stearic acid NF, polyoxyethylene (23) cetyl ether, benzyl alcohol NF, diethanolamine NF, and sodium benzoate NF.
 - Metrogel-Vaginal is the intravaginal dosage form of the synthetic antibacterial agent, metronidazole, USP, at a concentration of 0.75%. Metrogel-Vaginal is a gelled, purified water solution containing metronidazole at a concentration of 7.5 mg/g (0.75%). The gel is formulated at pH 4. The gel also contains carbomer 934P, edetate disodium, methyl paraben, propyl paraben, propylene glycol, and sodium hydroxide. Each applicator full of 5 g of vaginal gel contains approximately 37.5 mg of metronidazole.
 - Naftin® cream, 1%, contains naftifine hydrochloride 1%. Inactive ingredients: Benzyl alcohol, cetyl alcohol, cetyl esters wax, isopropyl myristate, polysorbate 60, purified water, sodium hydroxide, sorbitan monostearate, and stearyl alcohol. Hydrochloric acid may be added to adjust pH.
 - Naftin gel, 1%, contains naftifine hydrochloride. Naftin gel, 1%, is for topical use only. Active ingredient: Naftifine hydrochloride, 1%. Inactive ingredients: Polysorbate 80, carbomer 934P, diisopropanolamine, edetate disodium, alcohol (52% v/v), and purified water.
 - Nitro-Dur (nitroglycerin) transdermal infusion system is a flat unit designed to provide continuous controlled release of nitroglycerin through intact skin. The rate of release of nitroglycerin is linearly dependent upon the area of the applied system; each square centimeter of applied system delivers approximately 0.02 mg of nitroglycerin per hour. Thus, the 5, 10, 15, 20, 30, and 40 cm² systems deliver approximately 0.1, 0.2, 0.3, 0.4, 0.6, and 0.8 mg of nitroglycerin per hour respectively. The remainder of the nitroglycerin in each system serves as a reservoir and is not delivered in normal use. After 12 hours, for example, each system has delivered approximately 6%

- of its original content of nitroglycerin. The Nitro-Dur transdermal system contains nitroglycerin in acrylic-based polymer adhesives with a resinous cross-linking agent to provide a continuous source of active ingredient. Each unit is sealed in a paper polyethylene-foil pouch.
- Noritate[®] (metronidazole cream) cream, 1%, is an emollient cream; each gram contains 10 mg micronized metronidazole USP, in a base of purified water USP, stearic acid NF, glyceryl monostearate NF, glycerin USP, methyl paraben NF, trolamine NF, and propyl paraben NF.
 - Olux foam contains clobetasol propionate, USP. Each gram of Olux Foam contains 0.5 mg clobetasol propionate, USP, in thermolabile foam, which consists of cetyl alcohol, citric acid, ethanol (60%), polysorbate 60, potassium citrate, propylene glycol, purified water, and stearyl alcohol. Olux foam is dispensed from an aluminum can pressurized with a hydrocarbon propellant (propane/butane).
 - Ovide lotion contains 0.005 g of malathion per milliliter in a vehicle of isopropyl alcohol (78%), terpineol, dipentene, and pine needle oil.
 - Oxistat cream and lotion, Oxistat cream contains 10 mg of oxiconazole per gram of cream in a white to off-white opaque cream base of purified water USP, white petrolatum USP, stearyl alcohol NF, propylene glycol USP, polysorbate 60 NF, cetyl alcohol NF, and benzoic acid USP 0.2% as a preservative. Oxistat lotion contains 10 mg of oxiconazole per gram of lotion in a white to off-white opaque lotion base of purified water USP, white petrolatum USP, stearyl alcohol NF, propylene glycol USP, polysorbate 60 NF, cetyl alcohol NF, and benzoic acid USP 0.2% as a preservative.
 - Oxytrol (oxybutynin) transdermal system is designed to deliver oxybutynin continuously and consistently over a 3 to 4 day interval after application to intact skin. Oxytrol is available as a 39 cm² system containing 36 mg of oxybutynin. Oxytrol has a nominal in vivo delivery rate of 3.9 mg oxybutynin per day through skin of average permeability (interindividual variation in skin permeability is approximately 20%).
 - Panafil ointment contains papain, USP (not less than 405,900 USP units of activity based on Lot IOC389 per gram of ointment), urea, USP 10%, and chlorophyllin copper complex sodium USP 0.5% in a hydrophilic base composed of boric acid, chlorobutanol (anhydrous) as a preservative, polyoxyl 40 stearate, propylene glycol, purified water, sodium borate, sorbitan monostearate, stearyl alcohol, and white petrolatum.
 - Pandel cream contains hydrocortisone probutate. Each gram of Pandel (hydrocortisone probutate cream) cream, 0.1%, contains 1 mg of hydrocortisone probutate in a cream base of propylene glycol, white petrolatum, light mineral oil, stearyl alcohol, polysorbate 60, sorbitan monostearate, glyceryl monostearate, PEG-20 stearate, glyceryl stearate SE, methyl paraben, butylparaben, citric acid, sodium citrate anhydrous, and purified water.
 - Permethrin lotion, each fluid ounce contains active ingredient: Permethrin 280 mg (1%). Inactive ingredients: Balsam fir Canada, cetyl alcohol, citric acid, FD&C Yellow No. 6, fragrance, hydrolyzed animal protein, hydroxyethyl cellulose, polyoxyethylene 10 cetyl ether, propylene glycol, stealkonium chloride, water, isopropyl alcohol 5.6 g (20%), methyl paraben 56 mg (0.2%), and propyl paraben 22 mg (0.08%).
 - Premarin[®], each gram of Premarin (conjugated estrogens) vaginal cream contains 0.625 mg conjugated estrogens, USP, in a non-liquefying base containing cetyl esters wax, cetyl alcohol, white wax, glyceryl monostearate, propylene glycol monostearate, methyl stearate, benzyl alcohol, sodium lauryl sulfate, glycerin, and mineral oil. Premarin vaginal cream is applied intravaginally. Premarin (conjugated estrogens) vaginal cream contains a mixture of conjugated estrogens obtained exclusively from natural sources, occurring as the sodium salts of water-soluble estrogen sulfates blended to represent the average composition of material derived from pregnant mares' urine. It is a mixture of sodium estrone sulfate and sodium equilin sulfate. It contains as concomitant components, as sodium sulfate conjugates, 17-alpha-dihydroequilin, 17-alpha-estradiol, and 17-beta-dihydroequilin.
 - Preparation H is available in ointment, cream, gel, and suppository product forms. The ointment contains petrolatum, 71.9%, mineral oil, 14%, shark liver oil, 3%, and phenylephrine HCl, 0.25%. The maximum strength cream contains white petrolatum, 15%, glycerin, 14.4%, pramoxine HCl, 1%, and phenylephrine HCl, 0.25%. The suppositories contain cocoa butter, 85.5%, shark liver oil, 3%, and phenylephrine HCl, 0.25%. The cooling gel contains phenylephrine HCl, 0.25%, and witch hazel, 50%. Inactive ingredients: Ointment: Benzoic acid, BHA, BHT, corn oil, glycerin, lanolin, lanolin alcohol, methyl paraben, paraffin, propyl paraben, thyme oil, tocopherol, water, wax. Maximum strength cream: Aloe barbadensis leaf extract, BHA, carboxymethylcellulose sodium, cetyl alcohol, citric acid, edetate disodium, glyceryl stearate, laureth-23, methyl paraben, mineral oil, panthenol, propyl gallate, propylene glycol, propyl paraben, purified water, sodium benzoate, steareth-2, steareth-20, stearyl alcohol, tocopherol, vitamin E, xanthan gum. Suppositories: Methyl paraben, propyl paraben, starch. Cooling gel: Aloe barbadensis gel, benzophenone-4, edetate disodium, hydroxyethyl cellulose, methyl paraben, polysorbate 80, propylene glycol, propyl paraben, sodium citrate, vitamin E, water.

- Prochieve® (progesterone gel) is a bioadhesive vaginal gel containing micronized progesterone in an emulsion system, which is contained in single-use, one-piece polyethylene vaginal applicators. The carrier vehicle is an oil-in-water emulsion containing the water-swellaable, but insoluble, polymer, polycarbophil. The progesterone is partially soluble in both the oil and water phases of the vehicle, with the majority of the progesterone existing as a suspension. Physically, Prochieve has the appearance of a soft, white to off-white gel. The active ingredient, progesterone, is present in either a 4% or an 8% concentration (w/w). Progesterone exists in two polymorphic forms. Form 1, which is the form used in Prochieve, exists as white orthorhombic prisms with a melting point of 127°C to 131°C. Each applicator delivers 1.125 g of Prochieve gel containing either 45 mg (4% gel) or 90 mg (8% gel) of progesterone in a base containing glycerin, mineral oil, polycarbophil, carbomer 934P, hydrogenated palm oil glyceride, sorbic acid, purified water, and may contain sodium hydroxide. Form 2, which is not used in pharmaceutical dosage forms, is thermodynamically unstable.
- Proctofoam®-HC (hydrocortisone acetate, 1%, and pramoxine hydrochloride, 1%) is a topical aerosol foam for anal use containing hydrocortisone acetate, 1%, and pramoxine hydrochloride, 1%, in a hydrophilic base containing cetyl alcohol, emulsifying wax, methyl paraben, polyoxyethylene-10-stearyl ether, propylene glycol, propyl paraben, purified water, trolamine, and inert propellants: Isobutane and propane.
- Protopic (tacrolimus) ointment contains (w/w) either 0.03% or 0.1% of tacrolimus in a base of mineral oil, paraffin, propylene carbonate, white petrolatum, and white wax.
- Psoriatec (anthralin cream, 1%, USP) is a smooth yellow cream containing 1% anthralin USP in an aqueous cream base of glyceryl monolaurate, glyceryl monomyristate, citric acid, sodium hydroxide, and purified water.
- Rosac cream, each gram of Rosac® cream with sunscreens contains 100 mg of sodium sulfacetamide and 50 mg of sulfur in a cream containing avobenzene, benzyl alcohol, C12-15 alkyl benzoate, cetostearyl alcohol, dimethicone, edetate disodium, emulsifying wax, monobasic sodium phosphate, octinoxate, propylene glycol, purified water, sodium thiosulfate, steareth-2, steareth-21.
- Sulfamylon cream is a soft, white, non-staining, water-miscible, anti-infective cream for topical administration to burn wounds. Each gram of Sulfamylon cream contains mafenide acetate equivalent to 85 mg of the base. The cream vehicle consists of cetyl alcohol, stearyl alcohol, cetyl esters wax, polyoxyl 40 stearate, polyoxyl 8 stearate, glycerin, and water, with methyl paraben, propyl paraben, sodium metabisulfite, and edetate disodium as preservatives.
- Temovate (clobetasol propionate cream and ointment) cream contains clobetasol propionate 0.5 mg/g in a cream base of propylene glycol, glyceryl monostearate, cetostearyl alcohol, glyceryl stearate, PEG-100 stearate, white wax, chlorocresol, sodium citrate, citric acid monohydrate, and purified water. Temovate ointment contains clobetasol propionate 0.5 mg/g in a base of propylene glycol, sorbitan sesquioleate, and white petrolatum.
- Temovate (clobetasol propionate gel) contains clobetasol propionate 0.5 mg/g in a base of propylene glycol, carbomer 934P, sodium hydroxide, and purified water.
- Temovate (clobetasol propionate scalp application) contains clobetasol propionate 0.5 mg/g in a base of purified water, isopropyl alcohol (39.3%), carbomer 934P, and sodium hydroxide.
- Testim® (testosterone gel) is a clear to translucent hydroalcoholic topical gel containing 1% testosterone. Testim provides continuous transdermal delivery of testosterone for 24 hours, following a single application to intact, clean, dry skin of the shoulders and upper arms. The active pharmacological ingredient in Testim is testosterone.
- Vivelle® (estradiol transdermal system) contains estradiol in a multi-polymeric adhesive. The system is designed to release estradiol continuously upon application to intact skin. Five systems are available to provide nominal in vivo delivery of 0.025, 0.0375, 0.05, 0.075, or 0.1 mg of estradiol per day via skin of average permeability. Each corresponding system having an active surface area of 7.25, 11.0, 14.5, 22.0, or 29.0 cm² contains 2.17, 3.28, 4.33, 6.57, or 8.66 mg of estradiol USP respectively. The composition of the systems per unit area is identical. The Vivelle system comprises three layers. Proceeding from the visible surface toward the surface attached to the skin, these layers are (1) a translucent flexible film consisting of an ethylene vinyl alcohol copolymer film, a polyurethane film, urethane polymer, and epoxy resin; (2) an adhesive formulation containing estradiol USP, acrylic adhesive, polyisobutylene, ethylene vinyl acetate copolymer, 1,3-butylene glycol, styrene-butadiene rubber, oleic acid NF, lecithin, propylene glycol, bentonite NF, mineral oil USP, and dipropylene glycol; and (3) a polyester release liner that is attached to the adhesive surface and must be removed before the system can be used. The active component of the system is estradiol. The remaining components of the system are pharmacologically inactive.
- Thera-Gesic, active ingredients: Methyl salicylate 25%, menthol 4%. Inactive ingredients: Aloe vera, carbomer 980, dimethicone, glycerin, methyl paraben, propyl paraben, sodium lauryl sulfate, trolamine, water.

- Topicort® LP (desoximetasone) cream, 0.05%, Topicort (desoximetasone) cream, 0.25%, Topicort (desoximetasone) gel, 0.05%, and Topicort (desoximetasone) ointment, 0.25%, contain the active synthetic corticosteroid desoximetasone. Each gram of Topicort LP cream, 0.05%, contains 0.5 mg of desoximetasone in an emollient cream base consisting of white petrolatum, purified water, isopropyl myristate, lanolin alcohols, mineral oil, cetostearyl alcohol, and edetate disodium. Each gram of Topicort cream, 0.25%, contains 2.5 mg of desoximetasone in an emollient cream base consisting of white petrolatum, purified water, isopropyl myristate, lanolin alcohols, mineral oil, and cetostearyl alcohol. Each gram of Topicort gel, 0.05%, contains 0.5 mg of desoximetasone in a gel base consisting of purified water, docusate sodium, edetate disodium, isopropyl myristate, carbomer 940, trolamine, and SDAG-3, 95% alcohol. Each gram of Topicort ointment, 0.25%, contains 2.5 mg of desoximetasone in an ointment base consisting of white petrolatum and fractionated coconut oil.
- Tri-Luma® cream (fluocinolone acetonide, 0.01%, hydroquinone, 4%, tretinoin, 0.05%) contains fluocinolone acetonide, USP, hydroquinone, USP, and tretinoin, USP, in a hydrophilic cream base for topical application. Each gram of Tri-Luma cream contains active ingredients: Fluocinolone acetonide, 0.01% (0.1 mg), hydroquinone, 4% (40 mg), and tretinoin, 0.05% (0.5 mg). Inactive ingredients: Butylated hydroxytoluene, cetyl alcohol, citric acid, glycerin, glyceryl stearate, magnesium aluminum silicate, methyl gluceth-10, methyl paraben, PEG-100 stearate, propyl paraben, purified water, sodium metabisulfite, stearic acid, and stearyl alcohol.
- U-Kera™ is a keratolytic emollient, which is a gentle, yet potent, tissue softener for nails and/or skin. Each gram of U-Kera contains urea USP (40%), purified water USP, light mineral oil NF, white petrolatum USP, glycolic acid, propylene glycol USP, trolamine NF, glyceryl stearate SE, cetyl alcohol NF, L-arginine USP, and xanthan gum NF.
- Vanos™ (fluocinonide) cream, 0.1%, 1 mg micronized fluocinonide in a cream base of propylene glycol USP, dimethyl isosorbide, glyceryl stearate (and) PEG-100 stearate, glyceryl monostearate NF, purified water USP, Carbopol 980 NF, diisopropanolamine, and citric acid USP.
- Vicks® VapoRub® active ingredients: Camphor, 4.8%, eucalyptus oil, 1.2%, menthol, 2.6%.
- Vivelle-Dot® (estradiol transdermal system) contains estradiol in a multi-polymeric adhesive. The system is designed to release estradiol continuously upon application to intact skin. Five dosage strengths of Vivelle-Dot are available to provide nominal in vivo delivery rates of 0.025, 0.0375, 0.05, 0.075, or 0.1 mg of estradiol per day via the skin. Each corresponding system has an active surface area of 2.5, 3.75, 5.0, 7.5, or 10.0 cm² and contains 0.39, 0.585, 0.78, 1.17, or 1.56 mg of estradiol USP respectively. The composition of the systems per unit area is identical. Vivelle-Dot is comprised of three layers. Proceeding from the visible surface toward the surface attached to the skin, these layers are (1) a translucent polyolefin film; (2) an adhesive formulation containing estradiol, acrylic adhesive, silicone adhesive, oleyl alcohol, NF, povidone, USP, and dipropylene glycol; and (3) a polyester release liner which is attached to the adhesive surface and must be removed before the system can be used. The active component of the system is estradiol. The remaining components of the system are pharmacologically inactive.
- Zovirax ointment, 5%, contains 50 mg of acyclovir in a polyethylene glycol (PEG) base. Zovirax cream, 5%, is a formulation for topical administration. Each gram of Zovirax cream, 5%, contains 50 mg of acyclovir and the following inactive ingredients: Cetostearyl alcohol, mineral oil, poloxamer 407, propylene glycol, sodium lauryl sulfate, water, and white petrolatum.



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VOLUME FIVE



HANDBOOK OF
PHARMACEUTICAL
MANUFACTURING FORMULATIONS
THIRD EDITION

OVER-THE-COUNTER PRODUCTS

Sarfaraz K. Niazi



CRC Press
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Handbook of Pharmaceutical Manufacturing Formulations

Volume Five, Over-the-Counter Products



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To the memory of Dean Allen I. White

Dean Allen I. White passed away in 2002; he gave me the opportunity to enter the graduate school at Washington State University, where he served from 1940 to 1979.



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Preface to the Series—Third Edition

I am humbled by the wide praise and acceptance of the last two editions of the *Handbook of Pharmaceutical Formulations*, a six-volume series that found home in the R&D laboratories of just about every pharmaceutical company, both generic and branded, and in the classrooms of pharmaceutical technology; and the regulatory agencies used this treatise to compare the quality of pharmaceutical products. In creating this work, back in 2004, my primary objective was to provide a ready source of safe and scalable generic and new pharmaceutical formulations that take a long time to develop and incur a substantial cost, to enable the availability of affordable medicines.

Each of the six volumes in the series has a structured content. Part I includes regulatory guidance, formulation steps, references to active ingredients and excipients, and a self-audit guidance for cGMP compliance. Chapters of common interest to all volumes are distributed across the six volumes, such as the formulations for coating solutions are presented in Volume 5 (OTC), though they are also pertinent to Volume 1 (Compressed Dosage Forms), and global bioequivalence testing guidelines are provided in Volume 4 (Semisolids), though they apply to all volumes. Part II includes scalable formulations and Part III, where applicable, other general formulations. The appendices include a listing of excipients used in FDA approved products and a cGMP compliance self-testing tool. Whereas the main focus of the guidance provided in the handbook pertains to compliance with FDA requirements, these apply equally to EU requirements, and, as a result, to any global agency.

The third edition also gets several significant additions; now each volume includes a self-audit template, several chapters advising how to stay cGMP compliant, including a listing of most common FDA citations to look out for in the audits, a global regulatory focus and an updated list of excipients and the level of their incorporation in the FDA-approved products. The number of formulations is also increased, and the OTC

volume now contains several cosmetic formulations, and the semisolid product volume also includes details on chewing gum delivery systems.

The updating of formulations is always cumulative as there is little need to remove any formulation provided previously—if it was right then, it shall remain good now. However, a variety of new drug delivery systems have evolved since the second edition was published, so I have included more details on these formulations, although some of these may not be available to practice due to possible limitations on the intellectual property.

As always, I advise the formulators to be aware of any intellectual property infringements as I cannot provide a guarantee to this effect.

Finally, I wish to acknowledge the leaders of the pharmaceutical world, to whom each of the volumes is dedicated. I have made a few changes to those whom the volumes are dedicated, to recognize those who have since passed away; they provided a role model to me and thousands of leaders and students of pharmacy over the decades of their careers. They are gone, but not without leaving an indelible mark on the profession.

I also consider myself fortunate to have the sponsorship and assistance of the great folks at the CRC Press, more particularly Jessica Poile and Hilary LaFoe. The teams at the CRC Press were very kind to put up with my redundant changes to the manuscript and were extremely generous in their advice in balancing the scientific and practical knowledge and, above all, making sure that the book was framed and published in the highest professional presentation. As always, I take responsibility for any mistakes and errors in my writing, and I am always open to suggestions by the readers to make future editions. I can be contacted at niazi@niazi.com.

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Preface to the Series—Second Edition

The science and the art of pharmaceutical formulation keeps evolving as new materials, methods, and machines become readily available to produce more reliable, stable, and release-controlled formulations. At the same time, globalization of sourcing of raw and finished pharmaceuticals brings challenges to regulatory authorities and results in more frequent revisions to the current good manufacturing practices, regulatory approval dossier requirements, and the growing need for cost optimization. Since the publication of the first edition of this book, a lot has changed in all of these areas of importance to pharmaceutical manufacturers. The second edition builds on the dynamic nature of the science and art of formulations and provides an evermore useful handbook that should be highly welcomed by the industry, the regulatory authorities, as well as the teaching institutions.

The first edition of this book was a great success as it brought under one umbrella the myriad of choices available to formulators. The readers were very responsive and communicated with me frequently pointing out to the weaknesses as well as the strengths of the book. The second edition totally revised attempts to achieve these by making major changes to the text, some of which include:

1. Complete, revised errors corrected and subject matter reorganized for easy reference. Whereas this series has six volumes differentiated on the basis of the type of dosage form and a separate inclusion of the U.S. OTC products, ideally the entire collection is needed to benefit from the myriad of topics relating to formulations, regulatory compliance, and dossier preparation.
2. Total number of pages is increased from 1684 to 2726.
3. Total number of formulations is expanded by about 30% with many newly approved formulations.
4. Novel formulations are now provided for a variety of drugs; these data are collected from the massive intellectual property data and suggest toward the future trend of formulations. While some of these formulations may not have been approved in the United States or Europe, these do provide additional choices, particularly for the NDA preparation. As always, it is the responsibility of the manufacturer to assure that the intellectual property rights are not violated.
5. A significant change in this edition is the inclusion of commercial products; while most of this information is culled out from the open source such as the FOIA (<http://www.fda.gov/foi/default.htm>), I have made attempts to reconstruct the critical portions of it based on what I call the generally acceptable standards. The drug companies are advised to assure that any intellectual property rights are not violated

and this applies to all information contained in this book. The freedom of information act (FOIA) is an extremely useful conduit for reliable information and manufacturers are strongly urged to make use of this information. Whereas this information is provided free of charge, the process of obtaining the information may be cumbersome, in which case, commercial sources of these databases can prove useful, particularly for the non-U.S. companies.

6. Also included are the new Good Manufacturing Guidelines (2007) with amendments (2008) for the United States and similar updates for European Union and WHO; it is strongly urged that the companies discontinue using all old documents as there are significant changes in the revised form, and many of them are likely to reduce the cost of GMP compliance.
7. Details on design of clean rooms is a new entry that will be of great use to sterile product manufacturers; whereas the design and flow of personnel and material flow is of critical nature, regulatory agencies view these differently and the manufacturer is advised always to comply with most stringent requirements.
8. Addition of a self-auditing template in each volume of the series. While the cGMP compliance is a complex issue and the requirements diversified across the globe, the basic compliance remains universal. I have chosen the European Union guidelines (as these are more in tune with the ICH) to prepare a self-audit module that I recommend that every manufacturer adopt as a routine to assure GMP compliance. In most instances reading the template by those responsible for compliance with keep them sensitive to the needs of GMP.
9. OTC products cross-referenced in other volumes where appropriate. This was necessary since the regulatory authorities worldwide define this class of drug differently. It is important to iterate that regardless of the prescription or the OTC status of a product, the requirements for compliance with the cGMP apply equally.
10. OTC monograph status is a new section added to the OTC volume and this should allow manufacturers to chose appropriate formulations that may not require a filing with the regulatory agencies; it is important to iterate that an approved OTC monograph includes details of formulation including the types and quantities of active drug and excipients, labeling, and presentation. To qualify the exemption, the manufacturer must comply with the monograph in its entirety. However, subtle modifications that are merely cosmetic in nature and where there is an evidence that

the modification will not affect the safety and efficacy of the products can be made but require prior approval of the regulatory agencies and generally these approvals are granted.

11. Expanded discussion on critical factors in the manufacturing of formulations provided; from basic shortcuts to smart modifications now extend to all dosage forms. Pharmaceutical compounding is one of the oldest professions and whereas the art of formulations has been relegated to more objective parameters, the art nevertheless remains. An experienced formulator, like an artist, would know what goes with what and why; he avoids the pitfalls and stays with conservative choices. These sections of the book present advice that is time tested, although it may appear random at times; this is intended for experienced formulators.
12. Expanded details on critical steps in the manufacturing processes provided but to keep the size of the book manageable, and these are included for prototype formulations. The reader is advised to browse through similar formulations to gain more insight. Where multiple formulations are provided for the same drug, it intended to show the variety of possibilities in formulating a drug and whereas it pertains to a single drug, the basic formulation practices can be extended to many drugs of same class or even of diversified classes. Readers have often requested that more details be provided in the Manufacturing Direction sections. Whereas sufficient details are provided, this is restricted to prototype formulations to keep the size of the book manageable and to reduce redundancy.
13. Addition of a listing of approved excipients and the level allowed by regulatory authorities. This new section allows formulators a clear choice on which excipients to choose; the excipients are reported in each volume pertaining to the formulation type covered. The listing is drawn from the FDA-approved entities. For the developers of an ANDA, it is critical that the level of excipients be kept within the range generally approved to avoid large expense in justifying any unapproved level. The only category for which the listing is not provided separately is the OTC volume since it contains many dosage forms and the reader is referred to dosage form–specific title of the series. The choice of excipients forms keeps increasing with many new choices that can provide many special release characteristics to the dosage forms. Choosing correct excipients is thus a tedious exercise and requires sophisticated multivariate statistical analysis. Whereas the formulator may choose any number of novel or classical components, it is important to know the levels of excipients that are generally allowed in various formulations to reduce the cost of redundant exercises; I have therefore included, as an appendix to each volume, a list of all excipients that are currently approved by the U.S. FDA along their appropriate levels. I suggest that a formulator consult this table before deciding on which level of excipient to use; it does not mean that the excipient cannot be used outside this range but it obviates the need for a validation and lengthy justification studies in the submission of NDAs.
14. Expanded section on bioequivalence submission was required to highlight the recent changes in these requirements. New entries include a comprehensive listing of bioequivalence protocols in abbreviated form as approved by the U.S. FDA; these descriptions are provided in each volume where pertinent. To receive approval for an ANDA, an applicant must generally demonstrate, among other things, equivalence of the active ingredient, dosage form, strength, route of administration and conditions of use as the listed drug, and that the proposed drug product is bioequivalent to the reference listed drug [21 USC 355(j)(2)(A); 21 CFR 314.94(a)]. Bioequivalent drug products show no significant difference in the rate and extent of absorption of the therapeutic ingredient [21 U.S.C. 355(j)(8); 21 CFR 320.1(e)]. BE studies are undertaken in support of ANDA submissions with the goal of demonstrating BE between a proposed generic drug product and its reference listed drug. The regulations governing BE are provided at 21 CFR in part 320. The U.S. FDA has recently begun to promulgate individual bioequivalence requirements. To streamline the process for making guidance available to the public on how to design product-specific BE studies, the U.S. FDA will be issuing product-specific BE recommendations (www.fda.gov/cder/ogd/index.htm). To make this vital information available, an appendix to each volume includes a summary of all currently approved products by the U.S. FDA where a recommendation on conducting bioequivalence studies is made available by the U.S. FDA. When filing an NDA or an ANDA, the filer is faced with the choice of defending the methods used to justify the bioavailability or bioequivalence data. The U.S. FDA now allows application for waiver of bioequivalence requirement; a new chapter on this topic has been added along with details of the dissolution tests, where applicable, approved for various dosage forms.
15. Dissolution testing requirements are included for all dosage forms where this testing is required by the FDA. Surrogate testing to prove efficacy and compliance is getting more acceptance at regulatory agencies; in my experience, a well-designed dissolution test is the best measure of continuous compliance. Coupled with chapters on waivers of bioequivalence testing, this information on dissolution testing should be great value to all manufacturers; it is recommended that manufacturers develop their own in-house specifications, more stringent than those allowed in these listings and the USP.

16. Best-selling products (top 200 prescription products) are identified with an asterisk and a brand name where applicable; in all instances, composition of these products is provided and formulation of generic equivalents. Despite the vast expansion of pharmaceutical sales and shifting of categories of blockbuster drugs, basic drugs affecting gastrointestinal tract, vascular system, and brain remain most widely prescribed.
17. Updated list of approved coloring agents in the United States, Canada, European Union, and Japan is included to allow manufactures to design products for worldwide distribution.
18. Tablet-coating formulations that meet worldwide requirements of color selection are included in the Volume 1 (compressed solids) and Volume 5 (OTC) because these represent the products often coated.
19. Guidelines on preparing regulatory filings are now dispersed throughout the series depending on where these guidelines are more crucial. However, the reader would, as before, need access to all volumes to benefit from the advice and guidelines provided.

As always, comments and criticism from the readers are welcomed and these can be sent to me at Niazi@pharmsci.com or Niazi@niazi.com. I would try to respond to any inquiries requiring clarification of the information enclosed in these volumes.

I would like to express deep gratitude to Sherri R. Niziolek and Michelle Schmitt-DeBonis at Informa, the publisher of this work, for seeing an immediate value to the readers in publishing the second edition of this book and allowing me enough time to prepare this work. The diligent editing and composing staff at Informa, particularly Joseph Stubenrauch, Baljinder Kaur and others are highly appreciated. Regardless, all errors and omissions remain altogether mine.

In the first edition, I had dedicated each volume to one of my mentors; the second edition continues the dedication to these great teachers.

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Preface to the Series—First Edition

No industry in the world is more highly regulated than the pharmaceutical industry because of potential threat to a patient's life from the use of pharmaceutical products. The cost of taking a new chemical entity (amortized over the cost of all molecules racing) to final regulatory approval is a staggering \$800 million, making the pharmaceutical industry one of the most research-intensive industries in the world. In the year 2004, it is anticipated that the industry will spend about \$20 billion on research and development. The generic market of drugs as the new entities come off patent is one of the fastest growing segments of the pharmaceutical industry, with every major multinational company having a significant presence in this field.

Whereas many stages of new drug development are inherently constrained with time, the formulation of drugs into desirable dosage forms remains an area where expediency can be practiced with appropriate knowledge by those who have mastered the skills of pharmaceutical formulations. The *Handbook of Pharmaceutical Manufacturing Formulations*—is the first major attempt to consolidate the available knowledge about formulations in a comprehensive, and by nature a rather voluminous, presentation.

The book is divided into six volumes, based strictly on the type of formulation science involved in the development of these dosage forms: sterile products, compressed solids, uncompressed solids, liquid products, semisolid products, and OTC products. The separation of OTC products, even though they may easily fall into one of the other five categories, is made to comply with the industry norms of separate research divisions for OTC products. Sterile products require skills related to sterilization of product, and of less importance is the bioavailability issue, which is an inherent problem of compressed dosage forms. These types of

considerations have led to the classification of products into these six categories.

Each volume includes a description of regulatory filing techniques for the formulations described. Also included are the current regulatory guidelines on cGMP compliance specific to the dosage form. Advice is offered on how to scale up the production batches.

It is expected that formulation scientists will use this information to benchmark their internal development protocols and cut the race to file short by adopting formulae that have survived the test of time. Many of us who have worked in the pharmaceutical industry suffer from a close paradigm when it comes to selecting formulations—"not invented here" perhaps reigns in the mind of many seasoned formulations scientists subconsciously when they prefer to choose only a certain platform for development. It is expected that with the quick review of possibilities available to formulate made available in this book, scientists will benefit from the experience of others.

For the teachers of formulation sciences, this series offers a wealth of information. Whether it is a selection of a preservative system or the choice of a disintegrant, the series offers a wide choice to study and rationalize.

Many have assisted me in the development of this work that has taken years to compile, and I thank scores of my graduate students and colleagues for their help. A work of this size cannot be produced without errors, although I hope that these errors do not distract the reader from the utility of the book. I would sincerely appreciate if readers point out these mistakes for corrections in future editions.

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Preface to the Volume—First Edition

The *Handbook of Pharmaceutical Manufacturing Formulations OTC Drugs* is written for the pharmaceutical scientist and others involved in the regulatory filing and manufacturing of new OTC products. Because of the wide variety of products involved, from those bordering on cosmetics to proton pump inhibitors, the OTC products are manufactured by the most sophisticated global manufacturers as well as small one-room makeshift manufacturing houses.

The OTC products comprise a special category of health-care products in that they can be dispensed without prescription; the rationale being that the use of these products does not expose patients to serious risks associated with side effects even if some misuse or overuse of these products occurs. The OTC category includes three types of products:

Products that require full filing with the U.S. Food and Drug Administration (FDA) for marketing approval (the NDA/NADA or ANDA/ANADA process) including products or compositions not included in the monographs (see below) or administered in controlled release formulations.

Products that do not require filing with the U.S. FDA because they comply with the monographs issued by the U.S. FDA in its Code of Federal Regulations (CFR).

Products that fall under the category of grandfather products which have been in use prior to the 1960s and have not been specifically excluded by the FDA; not all grandfather products fall under the OTC category—only those that are generally regarded as safe (GRAS).

The U.S. FDA provides excellent support through its OTC website (<http://www.fda.gov/cder/otc/index.htm>) and formulators are highly encouraged to make use of the information available, particularly the updates in the monograph label requirements and withdrawal of approvals of formulations.

With the safety of consumers in mind, the U.S. FDA is in the process of establishing guidelines for all OTC products. Although the U.S. FDA began this work over three decades ago, much remains to be done. The U.S. FDA process begins with the issuance of Proposed Rules; this notification is like a warning (or advice) to the industry that this category of products is now under the U.S. FDA watch. Often years go by before Proposed Rules are published in the Code of Federal Regulations. The Proposed Rules include not only identification of approved active ingredients but also inactive ingredients that are deemed compatible with the active ingredients and safe for consumers. The Proposed Rules are subject to criticism by the industry health-care practitioners and consumers. After receiving these comments over what can be a period of several years, the U.S. FDA issues Final Rules on a specific category of products; these become official on the date of publication in the Code of Federal Regulations. In many cases, however, the U.S. FDA issues subsequent rules either to delay application of Final Rules or to modify the Final Rules if new information has become available.

The Final Rule requirements have primarily been applied to products on the market and a newcomer is well advised to study competitor products for market leaders as ample opportunities are available to innovate these products. Examples include the Tylenol® Hot Therapy products and loratadine tablets that dissolve in the mouth and do not require water. I foresee more such products entering into the ever-competitive OTC market.

It is imperative that any prospective entry into the OTC market should begin with a thorough consultation of the Final Rules; an examination of Proposed Rules and notifications to issue Proposed Rules is also helpful in determining what rules are about to become Final Rules. Reviewing the discussions about Proposed Rules that have affected their finalization can be very helpful in understanding the relevant issues of safety, efficacy, and labeling. Because the marketing of OTC products requires a large investment in marketing efforts, it is prudent to develop a clear understanding of the legality of formulations and claims made in the initial phases of product development.

A large number of products on the market today are not covered by the U.S. FDA monographs but does that make them legitimate? This is the often-asked question. The U.S. FDA has limited resources to tackle everything that is out there on the market. When emergencies arise, however, the U.S. FDA reacts immediately as it did in the case of phenylpropanolamine, pseudoephedrine, and recently, kava. Here are some broad guidelines adopted by the U.S. FDA for the most commonly abused categories of products:

No treatments are approved for hair growth except for minoxidil.

No treatments are approved for enhancing sexual performance except for sildenafil citrate (and that only in MED).

The few treatments approved for weight loss include orlistat phentermine and sibutramine (phenylpropanolamine is no longer a recommended compound).

It is noteworthy that the U.S. FDA does not differentiate between botanical products and chemical-based products. If a product bears an efficacy claim, it must be governed by the U.S. FDA rules; however, a product that falls into a drug category that makes nutritional claims falls under a food category with its own set of detailed rules. Vitamins and minerals fall under food labeling guidelines; however, a single-entity vitamin product with specific claims to treat or ameliorate a disease is a drug product. These definitions do not necessarily coincide with the rulings of regulatory authorities worldwide. In many countries nutritional products are controlled as drugs and require prescriptions; these same products would be considered nonprescription items in the United States.

On the other hand, a number of highly active drugs are available without prescription in many countries such as the Traditional Chinese Medicine (TCM) in China and Ayurvedic and Unani medicines in South Asia.

A reclassification of a drug to OTC status can be requested by drug manufacturers. Recent examples of such a prescription-to-OTC switch include ibuprofen (200 mg), ranitidine hydrochloride (75 mg), and loratidine (10 mg). Note that specific strengths, not necessarily the chemical entity itself, are made OTC. In other words, it is not necessary to have an official monograph to secure OTC status for a drug. The decision to request reclassification of a drug as OTC is always a well-calculated business decision. Generally, drugs with an OTC status will not qualify for medical reimbursement by insurance companies or federal assistance programs in the United States. This can substantially reduce sales of the product; on the other hand, ease of availability to a greater number of patients can easily compensate for this loss. The most lucrative opportunities arise when one strength is made OTC while other strengths remain available by prescription only.

It is noteworthy that the decision to allow a switch from prescription to OTC by the U.S. FDA is primarily driven by the side effects or toxicity of the drug. For example, in Australia a Roche request for a prescription-to-OTC switch for its weight-loss drug orlistat (Xenical®) was recently turned down because of extensive side effects associated with the use of Xenical. The drug itself is very safe as it does not enter the body and acts only locally to partially block absorption of fat. The unabsorbed fat produces many gastrointestinal symptoms which though temporary were sufficient to disallow the status switch. Obviously, Roche would have been best advised to develop an OTC formulation with fewer side effects before requesting this switch. (In the case of orlistat, the solution was simple as described in U.S. Patent No. 6,251,421 by this author wherein combining orlistat with a natural fiber reduced the side effects by 70%.)

The OTC category of products represents a wide range of dosage forms. These formulations have much in common with their prescription counterparts but are presented in this volume of the *Handbook of Pharmaceutical Manufacturing Formulations* because of the development approach taken, labeling considerations, and support available from suppliers of ingredients in designing these products. Because the consumer is inevitably involved in the selection of these products, packaging considerations are much more important than in the prescription category of products. Additional considerations include ease of administration, palatability, and stability in storage as consumers are likely to keep leftovers around for a long time. Additionally, price constraints often make it difficult to enjoy some freedom of choice in formulations, especially if the innovator company faces the competition of house brands. All of these considerations taken together make the OTC category one that should be presented in a single volume of this series of books.

Formulating OTC products is generally easier than formulating prescription products if the product is described in U.S. FDA monographs (either as Proposed Rules or Final Rules);

such formulations become merely an exercise in mechanics. Whereas a manufacturer is not bound by these rules, complying with them reduces the costs and time involved securing approval from regulatory authorities. The multibillion-dollar market of OTC products has attracted major chemical suppliers to develop support ingredients that are much easier to use; they have also developed typical formulations for hundreds of these products.

The most notable industry leaders include

Amerchol, American Colloid, Aqualon, BASF, BF Goodrich, Calgon, Colorcon, Croda, Dow Corning, FMC, Gattefosc, General Electric, Henkel, Hormel, Huls America, ICI Americas, Inolex, International Sourcing, International Specialty, Laboratoires Serobiologique, Lonza, NIPA, PPG Industries, R.I.T.A., Reheis, Rheox, Rhone-Poulenc, Rohm and Haas, Southern Clay, Sutton, and Vanderbilt.

The formulations recommended by these and other companies have acquired almost a universal appeal; throughout this book you will find formulations recommended by these laboratories, as acknowledged by the listing of a brand name in the formula. The best way to connect to these companies is to search the Internet for contact information; it is no longer necessary to reproduce such information here. Whereas many companies prefer to use generic components in the dosage form, it has been found that the use of proprietary components can indeed reduce costs in the long run.

The choice of color is a highly sensitive issue in the formulation of OTC products; only FDC colors are allowed. Whereas there is a great need to make the products attractive and appealing, the choices of safe colors are dwindling quickly, such as for red colors. The formulator is encouraged to review the status of approved colors around the world before committing to a specific color.

Many OTC solid dosage forms are available in coated form. Sugar coatings have yielded to film coatings, and this book contains a large number of sugar-coating, seal-coating, subcoating, film-coating, and polish-coating formulations that can be easily adapted to various dosage form sizes. The use of organic solvent-based coatings has become prohibitive because of environment considerations, but in those cases where formulations are extremely sensitive to moisture, organic coatings may still offer a valid choice. A few companies offer ready-made coating formulations, and these are worth considering. The Appendix to this book includes a large number of formulations of coatings of solid dosage forms. A keen formulator will have no difficulty based on these formulations in adopting a coating system that will provide the necessary protection and offer esthetic appeal as well. Solid dosage forms are coated for many reasons, including masking the taste, making them easier to swallow, and providing protection against the environment.

Stability considerations remain paramount, and the data in the final packaging must be evaluated carefully before adjusting formulae for excesses; in this book, most formulations are provided without this consideration. A strip or blister dosage form is more popular around the world, but the plastic bottle is the most popular final form in the United States.

The development of OTC products is similar to the development of prescription dosage forms; as a result, cGMP and Good Laboratory Practice (GLP) considerations apply equally. The first chapter describes in greater detail the cGMP considerations. An appendix to chapter 1 provides a comprehensive checklist of items to review to ensure that a manufacturing facility is in compliance with cGMP standards. Appropriate identification is made in this checklist of those items that comply with EC guidelines. The U.S. FDA guidelines are available from the U.S. FDA website: <http://www.fda.gov>. The World Health Organization (WHO) provides GMP guidelines that are less stringent than those of the U.S. FDA and EC, and formulators should be aware of the fact that all of these are simply guidelines. One should be fully cognizant of the fact that no agencies are bound by these guidelines, particularly the U.S. FDA. Manufacturers cannot take refuge in the defense that they have complied with these guidelines. It is further worthwhile remembering that all of these guidelines are continuously revised, and the “c” in the cGMP does refer to current.

The second chapter deals with the most popular category of dosage forms encountered in OTC offerings—solids. Issues specific to manufacturing of these dosage forms are described from a practical viewpoint, indicating the problem areas frequently encountered in manufacturing practice.

The third chapter deals with liquids and suspensions and includes, like the chapter above, practical advice on how to bring manufacturing practices into compliance with regulatory requirements.

The fourth chapter offers highlights of cleaning validation, a topic often ignored by OTC manufacturers as not being significant because of the safety of ingredients used. It is true that the same stringent standards may not apply, but compliance with cleaning standards and validation of processes go a long way toward ensuring overall compliance.

The first four chapters were drawn from the advice which the U.S. FDA gives to its inspectors before they inspect a manufacturer. The CFR includes complete details of what is considered acceptable by the U.S. FDA; this advice is of a practical nature, and I find it to be extremely helpful in enhancing awareness of the guidelines of regulatory authorities. It is noteworthy that EC guidelines, particularly in light of the harmonization of specifications, are somewhat identical to the U.S. FDA guidelines; in chapter 1, specific references are made to EC guidelines. The Appendix includes formulations of coating solutions; these should prove useful for the pharmaceutical formulation teams.

The formulations in this book generally fall into three categories. Some formulations are presented in greater detail, including indications of where quality assurance (QA)/quality control (QC) sampling is to be done and describing the tooling and in-process and finished product specifications. The other extreme is a mere listing of components with a bare minimum of manufacturing methods. This was necessary for two reasons: first, to contain the size of this book, and second, to keep from presenting superfluous information, as formulators would eventually adopt such a formula to their own delivery forms. Also, at times the various strengths are merely achieved through adjustment of dosage size, so it was considered unnecessary to reproduce manufacturing steps where they are obvious.

The primary source of these formulations is publicly available knowledge about formulae that have proven to provide stable products. No representation is made that these formulations meet U.S. FDA monographs or any other regulatory guidelines for safety of inert ingredients. The formulator is advised to determine guideline compliance before adopting any of the formulations given in this book. Those interested in obtaining detailed information about these formulations are encouraged to contact the author at <http://www.pharmsci.com>. Because of the wide variety of sources from which the information has been gathered in the book, the format of formulations also varies. For example, in some instances scale is provided, whereas in others a percentage by weight is described. In still other instances, quantities for a specific batch size are provided. Obviously, it would be desirable to convert these formulations into a uniform format, but the task would be daunting and inevitably would lead to inclusion of errors. Professional formulators should not encounter any difficulty in adapting these formulations to their own system.

As mentioned before, not all formulations contain the required overages for stability considerations and losses during manufacturing; formulators are expected to develop these based on the final packaging chosen for the product. The author would appreciate being notified of any special problems encountered in adopting these formulations or of any errors (niazi@pharmsci.com). Whereas much care has gone into ensuring the accuracy of quantities and proper identification of ingredients, such errors shall remain in a work as large as that presented here.

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Part I

Regulatory Guidance



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1 European Directorate for the Quality of Medicines (EDQM) Certification

European legislation does not require mandatory routine good manufacturing practice (GMP) inspections for active substance manufacturers. The responsibility for using only active substances that have been manufactured in accordance with GMP is placed on the holders of a manufacturing authorization. Art. 111 Directive 2001/83/EC (Art. 80 Directive 2001/82/EC for veterinary medicinal products), however, makes provision for GMP inspections of active substance manufacturing sites to be carried out at the request of the manufacturer itself. The request for the inspection should be made to the European Economic Area (EEA) competent authority where the site is located or, in case of sites located in third countries, to a competent authority where the active substance is used as a starting material in the manufacture of medicinal products. If this is not the case, any EEA authority can be approached. There is no guarantee that such a request will be fulfilled, as the competent authorities need to balance such requests with other priorities. It should also be borne in mind that an inspection does not replace the responsibility of the manufacturing authorization holder using the active substance in question as a starting material and will not be accepted alone as adequate assurance that the manufacturing authorization holder has fulfilled its responsibilities.

Manufacturing authorization holders sometimes confuse the role of inspectorates with their own obligations, but nevertheless, when inspection reports or GMP certificates issued by the EEA, Medicines and Healthcare Products Regulatory Agency (MHRA) partners, or other recognized authorities are available, these can provide useful information to manufacturing authorization holders. However, these alone cannot fulfill the statutory obligations of the manufacturing authorization holder or the requirements of section 5.25 of the GMP Guide, but the results of inspections may be used together with other supporting information in a risk-based approach by the manufacturer in establishing priorities for its own audit program of active substance suppliers.

A GMP certificate is a certificate issued, following a GMP inspection, by the competent authority responsible for carrying out the inspection to confirm the GMP compliance status of the inspected site. GMP certificates are site specific but can be restricted to particular activities depending on the scope of the inspection (e.g., manufacturing activities related to a specific product). Directives 2001/82/EC and 2001/83/EC, as amended, state that after every GMP inspection, and within

90 days of the inspection, a GMP certificate shall be issued to a manufacturer if the outcome of the inspection shows that the manufacturer complies with GMP.

Certificates of a medicinal product (CMPs) are product-specific certificates issued by the competent authority that granted the marketing authorization (the European Medicines Agency [EMA] issues CMPs on behalf of the European Commission for centrally authorized products), in the context of the World Health Organization (WHO) certification scheme on the quality of pharmaceutical products moving in international commerce, to confirm the marketing authorization status of the products. These certificates also confirm the GMP compliance status of the manufacturing site(s). CMPs are mainly used by companies to support applications to export their pharmaceutical products to countries with less developed regulatory systems.

CEPs are certificates issued by the European Directorate for the Quality of Medicines (EDQM) to confirm that a certain active substance is produced according to the requirements of the relevant monograph of the European Pharmacopoeia or of the monograph on transmissible spongiform encephalopathy (TSE). Certificates of Suitability to the monographs of the European Pharmacopoeia (CEPs) can be used by companies when submitting an application for marketing authorization and replace much of the documentation required for the active substance in the marketing authorization dossier. GMP inspections of active substance manufacturers can be requested by EDQM in the context of the CEP certification scheme.

EMEA does not perform inspections; they are carried out on its behalf by the national competent authorities of the member states of the EEA in connection with products under the centralized marketing authorization procedure. The competent authority responsible for carrying out the inspection issues the GMP certificate or makes an entry of noncompliance into the EudraGMP Database.

The EDQM allows raw material manufacturers to submit and secure approval for their active pharmaceutical ingredients (APIs) besides the approval of the finished products; such approvals are not available in the jurisdictions of the FDA. Given in the following is a submission requirement that can be used by the manufacturers to audit for the quality of the API in those instances where such certificates and/or DMF are not available.

- (b) *Justify these specifications based on data* observed for impurities in relevant batches.
- (c) Discuss briefly the *suitability of the monograph* to control the potential impurities present in the substance (residual starting materials, reactants, reagents, etc.).
- (d) *Specific discussion on possible genotoxic impurities*: Give a brief discussion on impurities with potential genotoxicity based on the requirements of the guideline.

(II) Residual solvent(s)/reagent(s)/catalyst(s)

- (a) Fill in the following table.

Solvent/ Reagent/ Catalyst	Used in Step X/Y	ICH Class/ Limit	LOD Levels of the Method	LOQ of the Method

- (b) Discuss briefly the basis for setting the specification.

2.3.S.4 CONTROL OF THE DRUG SUBSTANCE

2.3.S.4.1 SPECIFICATION

Give a table summarizing the proposed specifications.

2.3.S.4.2 ANALYTICAL PROCEDURES

- (a) Summarize the analytical procedures.

2.3.S.4.3 VALIDATION OF ANALYTICAL PROCEDURES

Give the summary of the validation information for any in-house tests, and compare briefly with the method(s) described in the monograph (cross-validation).

2.3.S.4.4 BATCH ANALYSES

- (a) Give a short description of the batches: batch number, batch size, date, and site of production.

- (b) Summarize the results for relevant batches (according to specifications and showing equivalence of any alternative supplier, process, etc.).

2.3.S.4.5 JUSTIFICATION OF SPECIFICATION

Justify the drug substance specification.

2.3.S.5 REFERENCE STANDARDS OR MATERIALS

- (a) Give the source of primary reference standards or reference materials (e.g., Ph.Eur.) for final substance and its impurities where relevant.
- (b) Summarize characterization and evaluation of in-house standards.

2.3.S.6 CONTAINER CLOSURE SYSTEM

- (a) Describe briefly the container closure system(s) for the storage and shipment of the drug substance, as it has to be mentioned on the CEP in case a retest period is requested (i.e., in a clear and understandable manner).
- (b) Summarize the specifications (description + identification).

2.3.S.7 STABILITY

State retest period claimed for the substance and storage recommendations, if any.

2.3.S.7.1 STABILITY SUMMARY AND CONCLUSIONS

- (a) Summarize accelerated and long-term testing (e.g., studies conducted, protocols used, and results obtained).
- (b) Justify the retest period claimed based on data available.

2.3.S.7.2 POSTAPPROVAL STABILITY PROTOCOL AND STABILITY COMMITMENT

Give the stability protocol for commitment batches.



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2 Solid Oral Dosage Forms Validation

I. INTRODUCTION

The *Validation Guidelines* issued by the Food and Drug Administration (FDA) in 1987 defines process validation as establishing documented evidence that provides a high degree of assurance that a specific process will consistently produce a product meeting its predetermined specifications and quality attributes. The three components of this definition are

- Documented evidence
- Consistency
- Predetermined specifications

Documented evidence includes the experiments, data, and analytical results that support the master formula, the in-process and finished product specifications, and the filed manufacturing process.

With regard to consistency, several batches would have to be manufactured, using the full-scale batch size, to demonstrate that a process meets the consistency test. At least three batches are needed to demonstrate consistency.

The development of a product and its manufacturing process and specifications, the design of the validation protocol, and the demonstration (validation) runs of the full-scale manufacturing process require scientific judgment based on good scientific data. The FDA expects that in-process control and product specifications will be established during the product development process, with the test batch serving as the critical batch used for the establishment of specifications.

Specifications, such as hardness and particle size, should be established prior to validation of the process; these specifications should be included in the validation protocol. Problems often arise when the product development runs of the process are used both to establish specifications and to demonstrate that the system is validated. In these cases, more in-depth inspection and evaluation will be required; some of these process runs often produce failing product because the product specifications have not been fully established and tested.

II. BACKGROUND

Two common complaints regarding validation issues have frequently been raised. The first concerns the misconception that the 1987 *Validation Guidelines* represents a new requirement. The second concerns the lack of specificity in the FDA's guides. In 1978, however, the current good manufacturing practice regulations (cGMPs) were revised and provided for process validation, so this guideline does not represent a new requirement.

Both the FDA and the industry have recognized the need to establish general guidance for the validation of manufacturing

processes, and the FDA published a draft guideline in 1983. However, this draft guideline was a very general document that addressed general principles and was applicable to both sterile and nonsterile drugs and devices. In 1984, the guideline was reissued as a draft guideline, and it was finalized in 1987. The 1987 *Validation Guidelines* merely points out the need to adequately develop and control manufacturing processes. It discusses microbiological issues and provides few specific and practical applications for the validation of manufacturing processes for a marketed solid oral dosage form.

The issue of retrospective validation and its application to marketed products is frequently encountered. This concept of using historical data (test results) along with process control and process specificity was of value until more scientific methods for demonstrating process validation evolved. It should be pointed out that retrospective validation is not merely the review of test results. It also requires that the manufacturing process be specific and the same each time a batch is manufactured. Thus, specific raw material specifications (including particle size when necessary), in-process specifications (tablet hardness, etc.), and specific manufacturing directions are required. Obviously, any failing batches attributed to the process would necessitate the conclusion that the process is not validated and is inadequate.

Prospective process validation is required, particularly for those products introduced in the last 7 to 8 years or those for which manufacturing changes have been made; however, in some cases where older products have been on the market without sufficient premarket process validation, it may be possible to validate, in some measure, the adequacy of the process by examination of accumulated test data on the product and records of the manufacturing procedures used.

III. PRODUCT DEVELOPMENT

A. PRODUCT DEVELOPMENT REPORTS

No statute or regulation specifically requires a product development report, although companies are required to produce scientific data that justify the formulation and the manufacturing and control processes. Most companies use product development reports, technology transfer reports, and others to summarize the scientific data that justify the product and process. The product development report should satisfy the needs of the company. No specific format is required for the contents of the report.

It is suggested that a company develop a product development standard operating procedure (SOP) that describes the development process, the documentation requirements, and the individuals responsible for approving the filed process. This SOP can be brief, and again, no legal requirement exists

stating that companies must produce such an SOP. Failure to have a formal development report is not a GMP deficiency, nor is it a filing requirement to have a formal development report; however, where such reports *are* written, the development data found in these reports should include the following.

1. Drug Substance Characterization

Characterization of the chemical and physical properties of the drug substance is one of the most critical steps in the development of a solid dosage form. Chemical properties, especially the identification of impurities, are very important. In addition, the physical properties of the active pharmaceutical ingredient (API), such as solubility, polymorphism, hygroscopicity, particle size, density, etc., must be addressed. The literature and actual experience demonstrate that the physical quality (e.g., particle size of raw materials) can sometimes have a significant impact on the availability and clinical effect of a dosage form drug; therefore, it is appropriate that the physical characteristics of a drug substance be characterized, that the impact of the physical characteristics be determined, and that a specification for the bulk drug product be established, if necessary.

Development data will vary between new drugs and generics (e.g., characterization and establishment of specifications for the drug substance). In most cases, the manufacturing process for a new drug substance (new chemical entity) is developed and scaled up before the dosage form. In early development stages, very little information is available regarding polymorphic forms, solubility, etc. Consequently, changes to the manufacturing process for the drug substance may change the purity profile or physical characteristics and thus, cause problems with the finished dosage form. Although these types of problems are expected, the firm must investigate and document batch failures for the API and dosage form product.

On the other hand, generic manufacturers usually purchase drug substances from API manufacturers who may not be willing to supply information regarding the synthesis or analysis of the drug substance; therefore, the manufacturer of the finished dosage form must perform the appropriate tests to characterize the drug substance chemically and physically and establish appropriate specifications. This may require developing analytical methods to identify impurities. In some cases, this information can be obtained from literature searches.

In either case, it is important that each firm compare the drug substance used to manufacture the biobatch or clinical batch(es) and the drug substances used for the commercial batches, including specifications, analytical methods, and test results for the lots of each drug substance. Remember that the safety of the drug may be based upon the type and level of impurities, and different physical characteristics may affect dissolution or content uniformity. This is particularly important for those drug substances that are poorly soluble in water.

For those products on which biostudies have been conducted, the physical characteristics of the drug substance

used for the study should serve as the basis for the physical specifications.

It is widely recognized that when discussing *in vivo* release rates and drug absorption rates, fast and immediate release is not always best. For some “immediate”-release drug products, such as carbamazepine tablets, a slower release is desired; therefore, it is frequently desirable to have minimum and maximum particle size specifications to control the release rate. For example, micronizing or milling a drug substance to provide a greater surface area of the substance may also result in faster dissolution and possibly, faster absorption and higher blood levels. Such changes to improve the dissolution may not always be desired.

In addition to release or dissolution, variation in particle size, particle shape, and/or bulk density can also have an effect on the uniformity of dosage forms, particularly those manufactured by direct compression or direct encapsulation.

Particulate solids, once mixed, have a tendency to segregate by virtue of differences in the shape, size, and density (other variables are also important) of the particles of which they are composed. This process of separation occurs during mixing as well as during subsequent handling of the completed mix. Generally, large differences in particle size, density, or shape within the mixture result in instability in the mixture. The segregation process normally requires energy input and can be reduced following mixing by careful handling.

Some manufacturers establish wide ranges for specifications. These must be established based on a GMP and validation perspective. Even though a wide range for a physical specification, such as particle size or surface area, may be established in a filing, it is expected that such ranges will be verified during validation of the process. In a recent court decision, the judge ruled that companies cannot hide behind approval of processes listed in an application when these processes do not work. In other words, the approval of a filing has no impact on processes that do not perform consistently. For example, in a particular filed process, it was determined that particle size would have no effect on drug absorption and dissolution, and a wide-range particle size specification was established; however, during the GMP review, it was found that variation in particle size did have a major effect on content uniformity. Therefore, a tighter particle size specification had to be established.

Control of the physical characteristics of the excipient is also important, because variations in such characteristics may also affect the performance of the dosage form. Changes in particle size of some excipients, for example, may affect content uniformity. In other cases, a change in the supplier of an excipient or lubricant may affect dissolution or bioavailability. In fact, the release of the active ingredients in some products is timed by varying lubricant blending time and concentration. The literature contains many examples of lubricant processing causing major changes. Such changes in excipients illustrate deficiencies in the utilization of retrospective validation; for such validation to be satisfactory, control of all parameters and key steps in the process is necessary.

The control of mixing times and physical characteristics of all ingredients is critical to successful validation of all formulations and processes. A major question that must be addressed is the need for testing physical characteristics (particle size) for each batch of excipient. For many single-source excipients, particle size is a supplier specification and is usually tightly controlled. Having established a specification and not testing each lot of excipient upon receipt may be satisfactory in such cases; however, for some multisource excipients, and where the dosage formulator expects to shift sources of supply, some resulting differences in physical characteristics (particle size) may have an effect on dose uniformity and dissolution. A definite justification should exist for not testing lots of excipients for physical characteristics.

2. Manufacturing Procedures

Procedures used to manufacture development batches must be specific and well documented. This is necessary for scale-up and subsequent comparison with the commercial process. This is another area where differences between new drug application (NDA)/new animal drug application (NADA) and abbreviated new drug application (ANDA)/abbreviated new animal drug application (ANADA) products arise. In the case of the NDA/NADA, there will be several clinical and/or test batches manufactured over a period of time showing changes in the process as more is learned about the drug and the process. The level of documentation should increase as the process becomes more defined and the firm begins phase II and III studies.

The generic product focus is on the biobatch. Again, the process used to manufacture the biobatch must be well defined and well documented; test batches must be manufactured to establish that biobatch manufacture is reproducible.

3. In-Process Testing

Specific specifications required to control the manufacturing process must be established and justified. Doing so will require granulation studies, including blend uniformity, sieve analysis, and moisture.

4. Finished Product Testing

Testing for standards given in FDA monographs, such as content uniformity (when a specification applies), assay, hardness, friability, dissolution, and others, is essential.

5. Dissolution Profile

The dissolution profiles for the biobatch or pivotal clinical batches should be evaluated in the product development report. A good correlation should exist between the dissolution specifications and test results for the biobatch/clinical test batches and the full-scale commercial process.

6. Stability

The Center for Drug Evaluation and Research (CDER) conducts an evaluation of stability data and approves proposed expiration dates. The product development report should

contain an evaluation of the stability data that have been obtained. During postapproval inspections, stability data are reviewed by the field. An FDA inspection, therefore, inevitably includes an audit of underlying raw data and analytical worksheets to ensure the accuracy and authenticity of stability data contained in summary reports.

B. PREAPPROVAL INSPECTIONS

Validation of three full-size commercial lots is not required for approval of the marketing application; however, the firm must have data that justify the full-scale commercial process filed in the NDA/ANDA or NADA/ANADA application. In other words, the firm should have sufficient research on the test batches to establish specifications for the manufacturing and control procedures listed in the application. These data and specifications form the basis for the validation protocol that may be developed following approval of the application. The final step in the process is demonstration (validation) runs to prove that the process will perform consistently. Firms should validate the process using the specifications listed in the filing. To evaluate the proposed manufacturing process, the following areas must be covered during the preapproval inspection.

1. Master Formula

This document must include specific manufacturing directions for the full-scale commercial process, including in-process and finished product specifications. Make sure that the process filed in the application complies with the process used to manufacture the bio/clinical batch. In some cases, the process may be different after scale-up. This is acceptable if the firm has data showing that the product produced by this process will be equivalent. Data such as granulation studies, finished product test results, and dissolution profiles are used to document that the two processes are equivalent.

2. History Section of the Application

This section of the application is used to identify the biobatch or batches used for pivotal clinical studies. Any batches in which in vivo studies were carried out, particularly those for which in vivo studies showed a lack of equivalency, are subject to review.

3. Development Data (Product Development Report)

The firm cannot logically proceed to the validation step without some prior evaluation of the process. During the development phase, the critical process parameters must be identified and specifications established. These predetermined specifications must be established during the development of the process, with the biobatch or pivotal clinical batch serving as the reference batch.

The development of a solid dosage form will vary from firm to firm and will be dependent upon the specific product and process; however, the formula ranges, physical and chemical specifications of the drug substance and excipients,

in-process variables, and interaction effects of the dosage form ingredients under normal and stress aging conditions should be confirmed by limited challenge in pilot-scale and production-size batches.

Such development data serve as the foundation for the manufacturing procedures, specifications, and validation of the commercial process. In some cases, manufacturers establish specifications such as hardness and particle size during validation; however, as the validation definition states, specifications must be determined prior to validation of the process.

When a manufacturer files a manufacturing process in an application, the FDA expects that the process will yield a product that is equivalent to the product on which the bio study or pivotal clinical study was conducted; therefore, it is important that the development and scale-up of the process be well documented, so that a link between the bio/clinical batches and the commercial process can be established. The firm should have data such as granulation studies, finished product test results, and dissolution profiles that may be used to document that the two processes are equivalent.

In most cases, *in vitro* data alone will not be sufficient to document equivalency. The bioequivalency evaluation must be made by qualified individuals, and the firm should have a signed statement documenting that the processes are equivalent.

4. Inspection of the Facilities

The FDA inspectors physically inspect the facility to ensure that the area and ancillary equipment such as air handling and water systems are suitable for the proposed manufacturing process. Construction of new walls, installation of new equipment, and other significant changes must be evaluated for their impact on the overall compliance with GMP requirements. These inspections include facilities used for development batches and to be used for full-scale production batches.

5. Raw Materials

The FDA inspectors review the information contained in the raw materials section of the product development report. Inventory records are a good source for identification of batches used for product development and bio studies.

6. Laboratory

The regulatory inspection of a laboratory involves observations of the laboratory in operation and of the raw laboratory data to evaluate compliance with GMPs and to specifically carry out the commitments in an application or Drug Master File (DMF). The raw laboratory data, laboratory procedures and methods, laboratory equipment, and methods validation data must be periodically reviewed to ensure overall quality of the laboratory operation and the ability to comply with GMP regulations.

It is not uncommon for the FDA inspecting team to identify foreign peaks and impurities not filed or discussed in applications. Also, many inspections reveal laboratory test methods that are not validated. The transfer of laboratory methods and technology from the research and development department to

the quality control department should be firmly established. Be aware that FDA inspectors are not bound by any rules to restrict their investigation to particular product files. They can and often do pick up data files, charts, and recordings that are lying around in the area and will raise queries. It is a good idea to keep these records properly secured to avoid unnecessary distractions in the inspection process.

7. Equipment

At the time of the preapproval inspection, the FDA expects that the equipment will be in place and qualified. New products, particularly potent drug products, can present cleaning problems for existing equipment. Manufacturers must validate their cleaning processes for the new drug/dosage form.

IV. VALIDATION PROTOCOLS

Validation protocols are developed from the information obtained during product development research. These protocols list the specific manufacturing process and specifications that will be tested during the demonstration runs. Validation protocols are not required for the preapproval inspection but are required for postapproval inspections. Key processes and control specifications should have been established during product development research and should be carefully listed in the validation protocol.

V. DEMONSTRATION RUNS (VALIDATION OF THE PROCESS)

A. TEST BATCH RELATIONSHIPS

A validated process should produce a dosage form that is directly related to the dosage form on which equivalency and/or efficacy and safety data were determined. This is usually the test batch; therefore, ensure that the process used to make the test batch has been used for routine full-scale production batches. These processes and specifications must be equivalent, and the importance and need for good control of the manufacturing process used to produce the test and clinical batches cannot be overemphasized. Typically, the control of test batches includes, among other components, drug substance characterization, granulation analyses, and dose uniformity and dissolution profiles. The validation report should compare the manufacturing processes and specifications for the test batches with those for the full-scale batches; however, such findings may be contained in other documents, such as bioequivalency reports, and should be readily available.

B. POSTAPPROVAL PROSPECTIVE VALIDATION INSPECTIONS

In the postapproval, premarketing phase, the FDA reviews the validation protocol and validation report. Obviously, a validation protocol that lists all the variables and parameters that should be controlled when the process is validated cannot be written until the variables are identified in the development phase. In many of the FDA's postapproval, premarketing inspections, validations

(and consistency) are often not well established. Failures of production-size batches include dissolution, lack of content uniformity, and variable potency. Validation reports on batch scale-ups may also reflect selective reporting of data. Several parameters must be considered when ensuring validation of the manufacturing process for an oral solid dosage form. For example, at least eight major areas must be evaluated:

- Biobatch relationship
- Raw materials
- Manufacturing procedures and equipment
- Granulation/mix analysis
- In-process controls
- Test results with validated methods
- Investigations/product failures
- Site review

1. Raw Materials

Physical characteristics of raw materials can vary among manufacturers of drug substances and on occasion, have varied from lot to lot from the same manufacturer. The examination of retained samples of the lots of raw materials can reveal physical differences between the two lots and thus should become a routine measure. Quantitative compliance must be present for the raw material inventory records to evaluate the use of the drug substance in biobatch, clinical, and/or test batches. Make sure to account for the quantities and sources of materials used and the testing performed. Physical specifications for drug substances should be well established. If no such specifications, or only a very vague specification, are available, support data should exist to demonstrate that dissolution profiles and content uniformity will be satisfactory over a wide range of particle sizes. For example, a manufacturer may establish a specification that 90% of the particles must be less than 300 microns. For validation of this process, one would expect the use of micronized as well as nonmicronized material with particles close to 300 microns in size.

2. Manufacturing Procedures and Equipment

Regardless of the nature of the specificity of the manufacturing directions contained in the application, a detailed master formula with specific manufacturing directions and specifications must have been developed before any validation protocol is prepared and before the validation process begins. The basic premise of validation of a process is that a detailed process already exists that, it is hoped, will be shown to perform consistently and produces products in compliance with predetermined specifications; therefore, detailed manufacturing directions specifying equipment and operating parameters must be specified in the master formula.

The importance of specific written directions and specifications cannot be overemphasized. For example, problem areas include

- Failure to specify the amount of granulating solution, resulting in overwetting and dissolution failures of aged batches

- Failure to specify the encapsulation machine and operating parameters, such as dosing discs, resulting in weight variation failures
- Failure to specify the compression machine(s) and operating parameters, resulting in content uniformity failures

In addition to the concern about specific manufacturing directions, equipment presents its own set of unique problems that have to be considered in the control of the manufacturing and the validation processes. The following is a brief description of some issues associated with equipment.

a. Blenders

Many solid oral dosage forms are made by direct compression. The two types of mixers are low energy and high energy. The low-energy mixers represent the classical type of slow mixers, such as ribbon blenders, tumblers, and planetary pony pan; the high-energy mixers include some basic features of the low-energy mixers but also contain some type of high-speed blade, commonly termed an *intensifier bar* or *chopper*. The various types of mixers can be described as follows.

1. *Pony pan type*. This mixer has historically been used for the manufacture of wet granulations. Because of its open pan or pot, granulating agents such as starch paste can be added while mixing. Because the pan is open at the top to allow the mixing blades to penetrate the powder, mixing operations are usually dusty and can lead to potential cross-contamination problems. The usefulness of these mixers is limited to wet granulating. This type of mixer provides good horizontal (side-to-side) blending; however, vertical (top-to-bottom) mixing does not occur. Powder placed in the mixer first will be poorly mixed. Segregation or unmixing is also a recognized problem. To minimize this problem, some manufacturers have emptied the pan contents halfway through the mixing cycle in an attempt to turn the powder over at the bottom of the mixer. To alleviate the problem of the lack of mixing along the sides or walls of the pan, manufacturers have used a hand-held steel paddle at various times during mixing. This type of mixing is difficult to control and reproduce; thus, it would be difficult to validate.

The potential for segregation and poor mixing along the sides and particularly the bottom of the pony blender makes this type of blender less desirable for the dry blending of granulations of drug products; consequently, whenever such dry blending is encountered, investigators will look for potential problems with blending validation and content uniformity. Whenever in-process samples of the granulation are collected as part of an investigation or inspection, the formula card and the weight of the dosage unit to be manufactured are needed for the calculations.

2. *Ribbon blender.* In the ribbon blender, powder is mixed both horizontally and vertically. Loading operations can be dusty, but during the actual blending the unit is enclosed, thereby limiting the amount of dust released to the environment. The major and potentially the most serious problem with the ribbon blender is the “dead spot” or zone at the discharge valve in some of these blenders. To compensate for this dead spot, manufacturers have to recycle the powder from this area at some point during the mixing process. Obviously, adequate and very specific directions and procedures should be available to ensure that this critical step is performed. Another concern with this mixer is the poor mixing at the ends of the center horizontal mixing bar and at the shell wall because of blade clearance. The level of powder placed in this mixer is normally at the top of the outer ribbon blade, and as with other mixers, care must be taken not to overfill the mixer. Cleaning problems, particularly at the ends of the ribbon blender where the horizontal bar enters the blender, have been identified. Manufacturers who do not disassemble and clean the seals/packing between batches should have data to demonstrate the absence of foreign contaminants between batches of different products processed in the blender.
3. *Tumbler blender.* Common mixers of this type include the twin shell and double cone. These mixers exert a gentle mixing action; because of this mild action, lumps of powder will not be broken up and mixed. Powders may also clump due to static charges, and segregation can occur. Low humidity can contribute to this problem. Blending under very dry conditions has been found to lead to charge buildup and segregation, while blending of some products under humid conditions has led to lumping. More so than with other mixers, powder charge levels should not exceed 60% to 65% of the total volume of the mixer. Fabricators of tumbler-type blenders identify the volume as the actual working capacity and not the actual volume of the blender. It is important to correlate the bulk density of the granulation with the working capacity of the blender.
4. *High-shear (high-energy) mixers.* The fabricators of these mixers include GRAL, Diosna, and Littleford/Lodge. These mixers are highly efficient and ideally suited for wet granulations. End points of wet granulations can be determined by measurements on a gauge of the work required to agitate the blend. The mixing vessel is enclosed, and dust only enters the environment when loading. One of the problems associated with these mixers is the transfer or conversion of products blended in the older types of mixers to these blenders. Mixing times are going to be different, and the physical characteristics of the blend may also be different. These mixers are very efficient. For wet granulations, it is important to control the rate and amount of addition of the

solvent. Because of their efficiency, drug substance may partially dissolve and recrystallize upon drying as a different physical form. An intensifier bar in the center of the blender rotates at very high speeds to break down the smaller, harder agglomerates. A major disadvantage of this type of blender is that the extremely high speed of the intensifier bar generates considerable heat, which can sometimes result in charring of some sugar-based granulations. It should be pointed out that these same comments are applicable to other high-energy mixers that also rely on high-speed choppers to disperse powders. Also, cleaning of the blender requires disassembly of the intensifier bar between products.

5. *Plastic bag.* Any discussion of mixers would not be complete without addressing the plastic bag. Firms have resorted to the blending or manufacture of a trituration in a plastic bag. Obviously, it is very difficult to reproduce such a process, and there is the potential for loss of powder as a result of breakage or handling. The use of a plastic bag cannot be justified in the manufacture of a pharmaceutical product. When the plastic bag has been used, directions are usually not specific, and one would not know by reading the directions that a plastic bag was employed. Some companies have been known to hide the use of plastic bags by indicating in the manufacturing records that a blender was used; these bags are easy to spot during an inspection, and the practice is highly discouraged.

b. Dryers

The two basic types of dryers are the oven dryer, in which the wet granulation is spread on trays and dried in an oven, and the fluid-bed dryer, in which the wet granulation is “fluidized” or suspended in air. Generally, the fluid-bed dryer yields a more uniform granulation with spherical particles; however, this may result in compression problems that may require additional compression force. It is not unusual to see manufacturers change from an oven dryer to the fluid-bed dryer; however, such a change should be examined for equivalency with *in vitro* testing such as hardness, disintegration, and comparative dissolution and stability testing.

Other issues of concern with drying include moisture uniformity and cross-contamination. Tray dryers present more moisture uniformity problems than fluid-bed dryers. Obviously, a dryer should be qualified for heat uniformity and a program developed to ensure moisture uniformity in granulations at the end point of drying. With respect to fluid-bed dryers, moisture problems can occur if the granulation is not completely fluidized.

In regard to cross-contamination, oven dryers, particularly those in which air is recirculated, present cross-contamination problems, because air recirculates through a common filter and duct. For fluid-bed dryers, the bag filters present cross-contamination problems. In order to minimize such problems, manufacturers should use product-dedicated bags.

c. *Tablet and Capsule Equipment*

Another important variable in the manufacturing process is the tablet press or encapsulating machine. The newer dosage form equipment requires granulations with good flow characteristics and good uniformity. The newer tablet presses control weight variation by compression force and require a uniform granulation to function correctly. The setup of the microprocessor-controlled tablet press usually includes some type of challenge to the system. For example, a short punch is sometimes placed among the other punches. If the press is operating correctly, it will alarm when a lower- or higher-weight tablet is compressed.

Different tablet compression equipment can cause dose uniformity, weight uniformity, and hardness problems. For example, vibrations during tablet compression can cause segregation of the granulation in the feed hopper. The speed of the machine can affect the fill of the die and tablet weight; therefore, as previously noted, it is important to have specific operating directions.

Many unit operations now provide for blending in totes with direct discharge of the tote into the tablet compression equipment. Because of segregation problems at the end of the discharge, tablets from the end of the compression should be tested for content uniformity. The use of inserts in totes has been shown to minimize segregation.

With regard to the newer computer-controlled tablet compression equipment, buckets of tablets are often rejected because of potential weight variation problems. The disposition of these tablets, as well as the granulation and tablets used to set up the press, should be documented, and reworking processes must be validated.

With regard to encapsulation operations, the hygroscopic nature of gelatin capsules and some of the granulations require humidity controls for storage of the empty capsules and their subsequent filling. The scale-up of capsule products has also presented some problems because of the different types of encapsulation equipment. Older equipment that operated on gravity fill, such as Lilly and Parke-Davis machines, was commonly used for manufacturing capsules in clinical manufacturing areas. When formulations were scaled up to high-speed encapsulation equipment, flow problems and weight variation resulted. Additionally, some of the newer equipment provides for the formation of a slug, which could have an impact on dissolution.

Many firms, in order to recondition (rework) batches, pass those particular batches through a sorter, such as the MOCON VERICAP®. This machine works on the principle of current (dielectric constant), and moisture variation in the filled capsules can cause inaccurate results. Manufacturers should qualify equipment and examine equipment logs for these sorting machines to identify batches with weight problems. Data supporting the accuracy of equipment in regard to rejecting low- or high-weight capsules should be available during an FDA inspection.

d. *Coating Equipment*

Many tablets are now coated with an aqueous film coat, which is usually very soluble. Current technology provides for fixed

sprays of the coating solution. The volume of coating solution, rate, and temperature can be controlled by some of the more highly automated operations; however, for many sugar-coated, enteric-coated, and delayed-release products, some components of the coating are not highly soluble, and that part of the process is performed manually. Generally, the shellac undercoat used for sugar-coated tablets has presented disintegration/dissolution problems, particularly in aged samples.

With respect to poor disintegration, the example of ferrous sulfate tablets probably represents the classical example. Over the years, many different manufacturers have issued recalls for poor disintegration of coated ferrous sulfate tablets; likewise, problems with poor dissolution have been attributed to the coating process. Again, the shellac undercoat hardens and even sometimes cracks, resulting in poor dissolution.

On many occasions, the coating process has not been validated. The number of applications of coats, volume of coating solution in a specific application, and temperature of the solution during application are all parameters that must be addressed. For example, the temperature of application and even heat during drying have been found to cause dissolution failures in aged tablets.

Another problem associated with the coating process concerns heat applied to products that are sensitive to heat. For example, it has been shown that estrogen tablets are heat sensitive and have exhibited stability problems; thus, it is important to control this phase of the process.

For a few products, such as some of the antihistamine tablets or multivitamin tablets containing folic acid or cyanocobalamin, the drug substance is applied during the coating process. Some products require the active drug substance to be applied as a dust on tacky tablets as part of the coating process; for these products, it is particularly important to apply the drug in the coating solution through controlled applications. Again, it is important as part of the validation of these processes to demonstrate dose uniformity and dissolution and to control the parameters of the coating process.

3. **Granulation/Mix Analysis**

A critical step in the manufacture of an oral solid dosage form is the blending of the final granulation. If uniformity is not achieved at this stage, then one could assume that some dosage units would not comply with uniformity requirements. The major advantage of blend analysis (from a uniformity perspective) is that specific areas of the blender that have the greatest potential to be nonuniform can be sampled. This is particularly true of the ribbon-type blender and planetary or pony-type mixers.

In some cases, such as for large or tumbler-type blenders, it is impractical to sample from the blender directly. In such cases, granulations or blends could be sampled at the time of blender discharge or directly from drums. If sampling from drums, samples from the top, middle, and bottom of each drum should be collected.

In most cases, sampling thieves are readily available for sampling the small quantities that need to be taken from key areas of the blender or the drums. If samples larger than one

dosage unit must be collected, however, adequate provisions must be made to prevent excessive handling manipulation between the time of sampling and the time of analysis.

Good science and logic would seem to dictate that sample sizes of the approximate equivalent weight of the dosage unit should be sampled in order to test for uniformity. Many industrial pharmacy and engineering texts confirm this approach. Large granulation sample sizes (e.g., 1 oz) will provide little information with respect to uniformity. Generally, further mixing after sampling and prior to analysis can yield misleading results.

The acceptance criteria for granulation dose uniformity testing must be established. Although many firms evaluate dose uniformity using the compendial dose uniformity specifications (85% to 115% with a relative standard deviation [RSD] of 6 to 7.8), such specifications should be tighter where supported by the firm's historical data on the level of blend uniformity with its equipment for a given product. In many cases, compendial assay limits for the finished product (90% to 110% of label claim) are broad enough for this purpose, and most firms should be able to demonstrate blend assay results well within these limits. If larger sample sizes are taken for assay to evaluate total composite assay, then the specific U.S. Pharmacopeia (USP) or filed criteria for assay should be used.

In addition to analysis of blends for dose uniformity and potency, blends are tested for physical characteristics. A major physical parameter used to demonstrate equivalence between batches is the particle size profile. This is particularly important for comparison of the biobatch with production batches and also when processes are modified or changed. The particle size profile will provide useful information for demonstrating comparability.

Particle size profiles are particularly important for tablets made by a wet granulation process. The size and even the type of granule can affect the pore size in a tablet and have an effect on dissolution. For example, a recent dissolution failure was attributed to a change in the milling screen size, yielding a granulation with larger granules. It was a coated tablet, and the larger pores permitted increased penetration of the coating solution into the tablet, resulting in slower dissolution.

Another test that is typically performed in regard to granulation, particularly when the wet granulation process is used, is loss on drying (LOD) and/or moisture content. If organic solvents are employed, then residual solvent residues are also tested. To validate a drying process, LOD levels are determined prior to, during, and after drying in order to demonstrate times and levels. As with processing variables, levels (specifications) are established in the development phase, with the validation phase being used to confirm the adequacy of the process.

4. In-Process Testing

In-process testing is testing performed on dosage forms during their compression/encapsulation stages to ensure consistency throughout these operations. For tablets, individual tablet

weight, moisture, hardness (compression force), and disintegration tests are performed. For capsules, individual weight and moisture tests are performed. In many of the validation reports, it has been found that manufacturers have neglected to supply results of individual (not composite) dosage unit weight tests that should be performed throughout compression/encapsulation. Such testing is particularly important for capsule products, which may exhibit weight variation problems. If not part of the validation reports, the individual dosage unit weights should be recorded and be available for FDA inspectors to review.

With regard to individual capsule weights, a major question that arises concerns acceptable levels. Because most USP assay limits are 90% to 110%, it would seem reasonable that each unit manufactured complies with these specifications. It should be pointed out that 85% to 115% limits are established by the USP for variability in both blending and compression or encapsulation operations.

Because hardness and disintegration specifications are established during development and biobatch production, testing is performed to demonstrate both equivalency (comparability) and consistency.

With regard to moisture, some tablets set up upon aging as a result of poor moisture control and inadequate specifications. For example, this has been shown to be a major problem with carbamazepine tablets and often for ferrous sulfate tablets.

5. Test Results

Finished product testing, particularly assay, content uniformity, and dissolution, should be carefully recorded. With regard to dissolution, it is important to establish dissolution profiles. Validation batches with dissolution profiles not comparable to biobatches indicate nonequivalency of the manufacturing process. Depending on the discriminating nature of the dissolution test, it may also indicate lack of equivalence of the dosage forms made during validation with the biobatch. In the review of dissolution test results, it is important to eventually see results very close to 100% dissolution. In some cases, manufacturers will profile the dissolution results only to the specification; however, if lower but still acceptable results are obtained (such as 85%), it is important to continue the test by increasing the speed of the apparatus. If a product completely dissolves, yet only results in a value of 85%, it may indicate some problem with the test. Likewise, high dissolution results (115%) also indicate some problem with the test. Obviously, unusual or atypical results should be explained in the validation report.

6. Investigations and Product Failures

In any process validation exercise, a basic objective is to prove that a process is satisfactory; unfortunately, some processes are unsatisfactory and may sometimes yield unacceptable results. It is important, therefore, that when the final validation report is reviewed, all results, including failing results, are discussed and evaluated. Historically, reviews of

manufacturing processes typically show that one out of every eight batches manufactured has failed content uniformity testing. Manufacturers often recognize that the process is unsatisfactory and not validated but fail to draw this conclusion in the written validation report. This is a dangerous procedure and is often easily identified during FDA inspections.

7. Site Review

A major aspect and possibly the most critical phase of process validation is the review of data to ensure that failing batches were not omitted without justification. Additionally, manufacturers must ensure that the raw data, including analytical raw data, are accurate.



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3 Current Regulatory Status of Over-the-Counter Products

BACKGROUND

Over-the-Counter (OTC) drug products are those drugs that are available to consumers without a prescription. There are more than 80 classes (therapeutic categories) of OTC drugs, ranging from acne drug products to weight control drug products. As with prescription drugs, the Center for Drug Evaluation and Research (CDER) oversees OTC drugs to ensure that they are properly labeled and that their benefits outweigh their risks.

OTC drugs play an increasingly vital role in America's health-care system by providing easy access to certain drugs that can be used safely without the help of a health-care practitioner. This enables consumers to take control of their own health care in many situations. There are more than 100,000 OTC drug products marketed, encompassing about 800 significant active ingredients. Most OTC drug products have been marketed for many years, prior to the laws that require proof of safety and effectiveness before marketing. For this reason, the Food and Drug Administration (FDA) has been evaluating the ingredients and labeling of these products as part of "The OTC Drug Review Program." The goal of this program is to establish OTC drug monographs for each class of products. OTC drug monographs are a kind of "recipe book" covering acceptable ingredients, doses, formulations, and labeling. Monographs will continually be updated adding additional ingredients and labeling as needed. Products that conform to a monograph may be marketed without further FDA clearance, while those that do not must undergo separate review and approval through the "new drug approval system." The new drug application (NDA) system—and not the monograph system—is also used for new ingredients entering the OTC marketplace for the first time. For example, the newer OTC products (previously available only by prescription) are first approved through the NDA system, and their "switch" to OTC status is approved via the NDA system.

FDA's review of OTC drugs is primarily handled by CDER's Division of Over-the-Counter Drug Products in the Office of Drug Evaluation V. However, scientists and regulators throughout CDER, the Office of General Counsel, and other centers within FDA are routinely asked to assist in this massive effort. There is also an advisory committee, "The Nonprescription Drug Advisory Committee," which meets

regularly to assist the agency in evaluating issues surrounding these products.

REGULATORY DEFINITIONS

An OTC drug product is a drug product marketed for use by the consumer without the intervention of a health-care professional in order to obtain the product. Two post-1938 regulatory pathways exist for the legal marketing of such products: (a) marketing in compliance with an OTC drug monograph and (b) marketing under the authority of an approved product-specific NDA or an abbreviated new drug application (aNDA). The OTC drug review was established to evaluate the safety and effectiveness of OTC drug products marketed in the United States before May 11, 1972. It is a three-phase public rulemaking process (each phase requiring a *Federal Register* publication) resulting in the establishment of standards (monographs or nonmonographs) for an OTC therapeutic drug category. The first phase was accomplished by advisory review panels. The panels were charged with reviewing the ingredients in nonprescription drug products to determine whether these ingredients could be generally recognized as safe and effective for use in self-treatment. They were also charged with reviewing claims and recommending appropriate labeling, including therapeutic indications, dosage instructions, and warnings about side effects and preventing misuse. According to the terms of the review, the panels classified ingredients into three categories as follows:

- Category I: generally recognized as safe and effective for the claimed therapeutic indication
- Category II: not generally recognized as safe and effective or unacceptable indications
- Category III: insufficient data available to permit final classification

The second phase of the OTC drug review was the agency's review of ingredients in each class of drugs based on the panel's findings, on public comment, and on new data that may have become available. The agency, in turn, publishes its conclusions in the *Federal Register* in the form of a tentative final monograph. After publication of the tentative final monograph, a period of time is allotted for objections to the

agency's proposal or for requests to be submitted for a hearing before the Commissioner of FDA.

The publication of final regulations in the form of drug monographs is the third and last phase of the review process. The monographs establish conditions under which certain OTC drug products are generally recognized as safe and effective.

The term *human drug application* means an application for approval of a new drug submitted under section 505(b)(1) of the Federal Food, Drug, and Cosmetic Act (FD&C Act) or approval of a new drug submitted under section 505(b)(2) of the FD&C Act or approval of an abbreviated new drug application under section 505(j) of the FD&C Act or licensure of certain biological products under section 351 of the Public Health Service Act. A 505(b)(1) application is an application

that contains full reports of investigations of safety and effectiveness. The investigations the applicant relied on for approval were conducted by, or for, the applicant, or the applicant has obtained a right of reference or use for the investigations.

Appendix I to this chapter is a listing of OTC ingredients and their respective recommended uses and classification.

The U.S. FDA has recently issued guidance on unproven safety of OTC drug components (current as of April 2008) (CITE: 21CFR310.545). A number of active ingredients have been present in OTC drug products for various uses, as described later. However, based on evidence currently available, there are inadequate data to establish general recognition of the safety and effectiveness of these ingredients for the specified uses. These ingredients are listed as Appendix II to this chapter.

APPENDIX I OTC INGREDIENT LIST

Review Panel	Report	Drug Category	Advance Notice Proposed Rulemaking (ANPR)	Proposed Rule (PR)	Federal Register (FR) Listing
2-Ethylhexyl-4-phenylbenzophenone-2-carboxylic acid					
Topical analgesic	Sunscreen	Sunscreen	IISE	IISE	Pending
Acetaminophen					
Internal analgesic	Internal analgesic	Analgesic	I	I	Pending
Internal analgesic	Internal analgesic	Antipyretic	I	I	Pending
Internal analgesic	Internal analgesic	Antirheumatic	IIE	Not OTC	n/a
Miscellaneous internal	Menstrual/diuretic	Analgesic	I	I	Pending
Miscellaneous internal	Overindulgence in alcohol/food	Overindulgence in remedies (hangover)	I	I	Pending
Sedative	Nighttime sleep aid	Sleep aid	IIE	IIE	[54 FR 6826]
Sedative	Daytime sedative	Sedative	IISE	IISE	310.519(a)
Acetanilide					
Internal analgesic	Internal analgesic	Analgesic	IIS	IIS	Pending
Internal analgesic	Internal analgesic	Antipyretic	IIS	IIS	Pending
Internal analgesic	Internal analgesic	Antirheumatic	IISE	not OTC	n/a
Acetic acid					
	Otic	Swimmer's ear prevention	n/a	IIIE	310.545(15)(i)
Contraceptive/vaginal	Vaginal	Alters vaginal pH	IIIE	Withdrawn	n/a
Miscellaneous external	Wart remover	Wart remover	IIISE	IIISE	[55 FR 33254]
Acetic acid, glacial					
Miscellaneous external	Wart remover	Wart remover	IIIE	IIISE	[55 FR 33254]
Miscellaneous external	Corn/callus remover	Corn/callus remover	IIIE	IIISE	[55 FR 33261]
Acetone					
Miscellaneous external	External analgesic	Astringent	IISE	n/a	Pending
Miscellaneous external	Skin protectant	Astringent	IISE	IISE	310.545(18)(ii)
Acidulated phosphate fluoride (sodium fluoride/sodium phosphate/phosphorous acid)					
Dental	Anticaries	Anticavity dental rinse	I	I	355.10(a)(2)(ii)
Acidulated phosphate fluoride (sodium fluoride/hydrogen fluoride)					
Dental	Anticaries	Anticavity dental rinse	IIS	IIS	355.10(a)(2)(ii)
Acidulated phosphate fluoride (sodium fluoride/sodium phosphate dibasic/phosphorous acid)					
Dental	Anticaries	Anticavity dental rinse	I	I	355.10(a)(3)(ii)
Agar					
Laxative	Laxative	Bulk laxative	IIIE	IIIE	310.545(12)(i)
Alanine					
Miscellaneous internal	Benign prostatic hypertrophy	Benign prostatic hypertrophy	IIE	IIISE	310.532(a)
Alcloxa					
Antimicrobial II	Acne	Acne	IIE	IIE	310.545(1)
Antimicrobial II	Antifungal	Antifungal	IIIE	IIIE	310.545(22)(ii)
Hemorrhoidal	Anorectal	Keratolytic (external)	I	I	346.20(a)
Hemorrhoidal	Anorectal	Keratolytic (intrarectal)	I	I	346.20(a)
Alcohol					
Miscellaneous external	Alcohols (topical)	Antiseptic	I	Defer	n/a

(Continued)

Review Panel	Report	Drug Category	Advance Notice Proposed Rulemaking (ANPR)	Proposed Rule (PR)	Federal Register (FR) Listing
Miscellaneous external	Mercury	First aid antiseptic	n/a	I	Pending
Miscellaneous external	External analgesic	Astringent	IISE	n/a	Pending
Miscellaneous external	External analgesic	Fever blister (topical)	Defer	Defer	n/a
Miscellaneous external	External analgesic	Insect bite/sting	IISE	IISE	310.545(a)(10)(vi)
Miscellaneous external	External analgesic	Poison ivy/oak/ sumac	Defer	IISE	310.545(a)(10)(vii)
Miscellaneous external	Skin protectant	Astringent	IISE	IISE	310.545(a)(18)(ii)
Miscellaneous external	Skin protectant	Insect bite/sting	IISE	IISE	310.545(a)(18)(v)
Miscellaneous external	Skin protectant	Poison ivy/oak/ sumac	Defer	IISE	310.545(a)(18)(vi)
Miscellaneous external	Skin protectant	Fever blister (topical)	IISE	IISE	Pending
Miscellaneous internal	Digestive aid	Digestive aid (immediate postprandial upper abdominal distress [ippuad])	n/a	n/a	310.545(a)(18)(ii)
Miscellaneous internal	Menstrual/diuretic	Analgesic adjuvant	n/a	IISE	310.545(a)(24)(i)
Miscellaneous internal	Weight control	Anorectic	IISE	IISE	310.545(a)(20)
Oral cavity	Oral health care	Antimicrobial	IIIE	IIISE	Pending
Alcohol, ethoxylated alkyl					
n/a	Insect bite/sting	Insect bite/sting	n/a	n/a	310.545(a)(18)(v)(A)
Aldioxa					
Miscellaneous external	Antifungal	Diaper rash	Defer	n/a	310.545(a)(22)(ii)
Miscellaneous external	Antimicrobial	Diaper rash	Defer	n/a	Pending
Miscellaneous external	External analgesic	Diaper rash	Defer	n/a	310.545(a)(10)(iv)
Miscellaneous external	Skin protectant	Diaper rash	Defer	IIISE	Pending
Alfalfa					
Miscellaneous internal	Weight control	Anorectic	IISE	IISE	310.545(a)(20)
Alfalfa leaves					
Miscellaneous internal	Menstrual/diuretic	Diuretic	n/a	IISE	310.545(a)(24)(i)
Alginate acid					
Antacid	Antacid	Antacid	IIIE	IIIE	[39 FR 19873]
Miscellaneous internal	Weight control	Anorectic	IIIE	IISE	310.545(a)(20)
Alkyl arylsulfonate					
Contraceptive/vaginal	Vaginal	Lowers surface tension, mucolytic effects	IIIE	Withdrawn	n/a
Alkyl dimethyl amine oxide and alkyl dimethyl glycine					
n/a	Gingivitis/plaque	Antiplaque/gingivitis	n/a	IIISE	Pending
Alkyl isoquinolinium bromide					
Antimicrobial II	Acne	Acne	IISE	IISE	310.545(a)(1)
Miscellaneous external	Dandruff/seborrheic dermatitis/psoriasis	Dandruff	IISE	IIIE	310.545(a)(7)
Allantoin					
Contraceptive/vaginal	Vaginal	Minor irritations	IIIE	Withdrawn	n/a
Dental	Oral mucosal injury	Wound healing agent	IIIE	IIIE	310.534(a)
Miscellaneous external	Antifungal	Diaper rash	Defer	n/a	310.545(a)(22)(i)
Miscellaneous external	Antimicrobial	Diaper rash	Defer	n/a	Pending
Miscellaneous external	Dandruff/seborrheic dermatitis/psoriasis	Dandruff/seborrheic dermatitis/psoriasis	IIIE	IIIE	310.545(a)(7)
Miscellaneous external	External analgesic	Diaper rash	Defer	n/a	310.545(a)(10)(iv)

(Continued)

Review Panel	Report	Drug Category	Advance Notice Proposed Rulemaking (ANPR)	Proposed Rule (PR)	Federal Register (FR) Listing
Miscellaneous external	External analgesic	Fever blister (topical)	Defer	n/a	Pending
Miscellaneous external	External analgesic	Poison ivy/oak/sumac	Defer	IISE	Pending
Miscellaneous external	Hair growth/loss	Hair grower	IISE	n/a	310.527(a)
Miscellaneous external	Skin protectant	Diaper rash	Defer	I	Pending
Miscellaneous external	Skin protectant	Fever blister (topical)	Defer	I	Pending
Miscellaneous external	Skin protectant	Poison ivy/oak/sumac	Defer	IISE	Pending
Miscellaneous external	Corn/callus remover	Corn/callus remover	IISE	IISE	[55 FR 33261]
Topical analgesic	Skin protectant	Skin protectant	I	I	347.10(a)
Topical analgesic	Skin protectant	Wound healing agent	IIIE	IIIE	310.545(a)(18)(i)(A)
Allantoin (with aminobenzoic acid)					
Topical analgesic	Sunscreen	Sunscreen	IIIE	IIIE	[64 FR 27682]
Allyl isothiocyanate					
Cough/cold	Cough/cold (nasal decongestant)	Nasal decongestant (topical/inhalant)	IISE	IISE	310.545(a)(6)(ii)(A)
Miscellaneous external	External analgesic	Fever blister (topical)	Defer	IISE	310.545(a)(10)(v)
Topical analgesic	External analgesic	Counterirritant	I	I	Pending
Almadrate sulfate					
Miscellaneous internal	Digestive aid	Digestive aid (ippuad)	IIIE	n/a	310.545(a)(8)(ii)
Aloe					
n/a	Skin protectant	Diaper rash	n/a	Withdrawn	n/a
Contraceptive/vaginal	Vaginal	Minor irritations	IIIE	Withdrawn	n/a
Laxative	Laxative	Stimulant laxative	I	I/IIIS	310.545(a)(12)(iv)(C)
Miscellaneous internal	Menstrual/diuretic	Diuretic	n/a	IISE	310.545(a)(24)(i)
Aloe extract					
n/a	Laxative	Stimulant laxative	n/a	n/a	310.545(a)(12)(iv)(C)
Aloe flower extract					
n/a	Laxative	Stimulant laxative	n/a	n/a	310.545(a)(12)(iv)(C)
Aloe vera (see Aloe)					
n/a	Gingivitis/plaque	Antiplaque/gingivitis	n/a	IIIE	Pending
Aloin					
Laxative	Laxative	Stimulant laxative	IIISE	IIISE	310.545(a)(12)(iv)(A)
Alum, ammonium					
Contraceptive/vaginal	Vaginal	Astringent	IIIE	Withdrawn	n/a
Miscellaneous external	External analgesic	Astringent	IISE	n/a	Pending
Miscellaneous external	Skin protectant	Astringent	IISE	IISE	310.545(a)(18)(ii)
Oral cavity	Astringent	Astringent	I	Defer	n/a
Oral cavity	Oral health care	Astringent	I	I	Pending
Alum, potassium					
Antimicrobial II	Antifungal	Antifungal	IIIE	IIIE	310.545(a)(22)(ii)
Antiperspirant	Antiperspirant	Antiperspirant	IIISE	IIISE	310.545(a)(4)
Contraceptive/vaginal	Vaginal	Astringent	IIIE	Withdrawn	n/a
Miscellaneous external	External analgesic	Astringent	IISE	n/a	Pending
Miscellaneous external	Skin protectant	Astringent	IISE	IISE	310.545(18)(ii)
Oral cavity	Oral health care	Astringent	I	I	Pending
Aluminum acetate					
Miscellaneous external	Antifungal	Diaper rash	Defer	n/a	310.545(a)(22)(i)
Miscellaneous external	Antimicrobial	Diaper rash	Defer	n/a	Pending

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Review Panel	Report	Drug Category	Advance Notice Proposed Rulemaking (ANPR)	Proposed Rule (PR)	Federal Register (FR) Listing
Miscellaneous external	External analgesic	Astringent	I	I	347.12(a)
Miscellaneous external	External analgesic	Diaper rash	Defer	n/a	310.545(a)(10)(iv)
Miscellaneous external	Skin protectant	Astringent	I	I	347.10(a)
Miscellaneous external	Skin protectant	Diaper rash	Defer	IIISE	Pending
Aluminum bromohydrate					
Antiperspirant	Antiperspirant	Antiperspirant	IISE	IISE	310.545(a)(4)
Aluminum carbonate gel (basic)					
Antacid	Antacid	Antacid	I	I	331.11(a)(1)
Aluminum chlorhydroxy complex					
Miscellaneous external	External analgesic	Astringent	IISE	n/a	Pending
Miscellaneous external	Skin protectant	Astringent	IISE	IISE	310.545(a)(18)(ii)
Aluminum chloride (aerosol) (15% or less aqueous solution)					
Antiperspirant	Antiperspirant	Antiperspirant	IIIS	IIIS	310.545(a)(4)
Aluminum chloride (alcoholic solutions)					
Antiperspirant	Antiperspirant	Antiperspirant	IIS	IIS	310.545(a) (4)
Aluminum chloride (nonaerosol) (15% or less aqueous solution)					
Antiperspirant	Antiperspirant	Antiperspirant	I	I	350.10(a)
Aluminum chloride hexahydrate					
Miscellaneous external	External analgesic	Astringent	n/a	IIIE	Pending
Aluminum chlorohydrate (aerosol)					
Antiperspirant	Antiperspirant	Antiperspirant	IIIS	I	350.10(b)
Aluminum chlorohydrate (nonaerosol)					
Antiperspirant	Antiperspirant	Antiperspirant	I	I	350.10(b)
Aluminum chlorohydrate (nonaerosol)					
Antiperspirant	Acne	Acne	IIIE	IIIE	310.545(a)(1)
Aluminum chlorohydrate polyethylene glycol (aerosol)					
Antiperspirant	Antiperspirant	Antiperspirant	IIIS	I	350.10(c)
Aluminum chlorohydrate polyethylene glycol (nonaerosol)					
Antiperspirant	Antiperspirant	Antiperspirant	I	I	350.10(c)
Aluminum chlorohydrate propylene glycol (aerosol)					
Antiperspirant	Antiperspirant	Antiperspirant	IIIS	I	350.10(d)
Aluminum chlorohydrate propylene glycol (nonaerosol)					
Antiperspirant	Antiperspirant	Antiperspirant	I	I	350.10(d)
Aluminum dichlorohydrate					
Antiperspirant	Antiperspirant	Antiperspirant	IIIS	I	350.10(e)
Aluminum dichlorohydrate (nonaerosol)					
Antiperspirant	Antiperspirant	Antiperspirant	I	I	350.10(e)
Aluminum dichlorohydrate polyethylene glycol (aerosol)					
Antiperspirant	Antiperspirant	Antiperspirant	IIIS	I	350.10(f)
Aluminum dichlorohydrate polyethylene glycol (nonaerosol)					
Antiperspirant	Antiperspirant	Antiperspirant	I	I	350.10(f)

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Review Panel	Report	Drug Category	Advance Notice Proposed Rulemaking (ANPR)	Proposed Rule (PR)	Federal Register (FR) Listing
Aluminum dichlorohydrate propylene glycol (aerosol)					
Antiperspirant	Antiperspirant	Antiperspirant	IIS	I	350.10(g)
Aluminum dichlorohydrate propylene glycol (nonaerosol)					
Antiperspirant	Antiperspirant	Antiperspirant	I	I	350.10(g)
Aluminum hydroxide					
Antimicrobial II	Acne	Acne	IIE	IIE	310.545(a)(1)
Antimicrobial II	Antifungal	Acne	IIE	IIE	310.545(a)(1)
Antacid	Antacid	Antacid	I	I	331.11(a)(2)
Laxative	Antidiarrheal	Antidiarrheal	IIIE	IIIE	310.545(a)(3)(i)
Miscellaneous external	Antifungal	Diaper rash	Defer	n/a	310.545(a)(22)(i)
Miscellaneous external	Antimicrobial	Diaper rash	Defer	n/a	Pending
Miscellaneous external	External analgesic	Diaper rash	Defer	n/a	310.545(a)(10)(iv)
Miscellaneous external	Skin protectant	Diaper rash	Defer	IIISE	310.545(a)(18)(iii)
Miscellaneous internal	Digestive aid	Digestive aid (ippuad)	IIE	n/a	310.545(a)(8)(ii)
Miscellaneous internal	Overindulgence in alcohol/food	Overindulgence remedies (hangover)	I	I	Pending
Topical analgesic	Skin protectant	Skin protectant	I	I	347.10(b)
Aluminum hydroxide gel					
n/a	Skin protectant	Poison ivy/oak/ sumac	n/a	I	347.10(b)
Hemorrhoidal	Anorectal	Protectant (intrarectal)	I	I	346.14(1)
Hemorrhoidal	Anorectal	Protectant (external)	I	I	346.14(1)
Internal analgesic	Internal analgesic	Corrective	I	n/a	n/a
Miscellaneous internal	Overindulgence in alcohol/food	Overindulgence remedies (hangover)	I	I	Pending
Topical analgesic	Skin protectant	Skin protectant	I	I	347.10(b)
Aluminum hydroxide sucrose powder hydrated					
Antacid	Antacid	Antacid	I	I	331.11(a)(2)
Aluminum hydroxide–hexitol, stabilized polymer					
Antacid	Antacid	Antacid	I	I	331.11(a)(2)
Aluminum hydroxide–magnesium carbonate, codried gel					
Antacid	Antacid	Antacid	I	I	331.11(a)(2)
Aluminum hydroxide–magnesium trisilicate, codried gel					
Antacid	Antacid	Antacid	I	I	331.11(a)(2)
Aluminum phosphate gel					
Antacid	Antacid	Antacid	I	I	331.11(i)(1)
Miscellaneous internal	Hypophosphatemia/hyperphosphatemia	Hypophosphatemia	IIS	IIS	310.541(a)
Aluminum phosphate gel (when used as part of antacid combination)					
Antacid	Antacid	Antacid	I	I	331.11(a)(4)
Aluminum sesquichlorohydrate (aerosol)					
Antiperspirant	Antiperspirant	Antiperspirant	IIS	I	350.10(h)
Aluminum sesquichlorohydrate (nonaerosol)					
Antiperspirant	Antiperspirant	Antiperspirant	I	I	350.10(h)
Aluminum sesquichlorohydrate propylene glycol (nonaerosol)					
Antiperspirant	Antiperspirant	Antiperspirant	I	I	350.10(j)

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Review Panel	Report	Drug Category	Advance Notice Proposed Rulemaking (ANPR)	Proposed Rule (PR)	Federal Register (FR) Listing
	Aluminum sesquichlorohydrate polyethylene glycol (aerosol)				
Antiperspirant	Antiperspirant	Antiperspirant	IIIS	I	350.10(i)
	Aluminum sesquichlorohydrate polyethylene glycol (nonaerosol)				
Antiperspirant	Antiperspirant	Antiperspirant	I	I	350.10(i)
	Aluminum sulfate				
Antimicrobial II	Antifungal	Antifungal	IIIE	IIIE	310.545(a)(22)(ii)
Antiperspirant	Antiperspirant	Antiperspirant	IIISE	IIISE	310.545(a)(4)
Miscellaneous external	External analgesic	Astringent	IIIE	n/a	Pending
Miscellaneous external	Skin protectant	Astringent	IIIE	I	347.12(b)
	Aluminum sulfate, buffered (aerosol)				
Antiperspirant	Antiperspirant	Antiperspirant	IIIS	IIIS	310.545(a)(4)(ii)
	Aluminum sulfate, buffered (nonaerosol)				
Antiperspirant	Antiperspirant	Antiperspirant	I	I	310.545(a)(4)(ii)
	Aluminum zirconium octachlorohydrate (aerosol)				
Antiperspirant	Antiperspirant	Antiperspirant	IIS	IIS	310.502(a)(2)
	Aluminum zirconium octachlorohydrate (nonaerosol)				
Antiperspirant	Antiperspirant	Antiperspirant	I	I	350.10(k)
	Aluminum zirconium octachlorohydrate glycine (aerosol)				
Antiperspirant	Antiperspirant	Antiperspirant	IIS	IIS	310.502(a)(2)
	Aluminum zirconium octachlorohydrate glycine (nonaerosol)				
Antiperspirant	Antiperspirant	Antiperspirant	I	I	350.10(l)
	Aluminum zirconium pentachlorohydrate (aerosol)				
Antiperspirant	Antiperspirant	Antiperspirant	IIS	IIS	350.10(m)
	Aluminum zirconium pentachlorohydrate (nonaerosol)				
Antiperspirant	Antiperspirant	Antiperspirant	I	I	350.10(m)
	Aluminum zirconium pentachlorohydrate glycine (aerosol)				
Antiperspirant	Antiperspirant	Antiperspirant	IIS	IIS	310.502(a)(2)
	Aluminum zirconium pentachlorohydrate glycine (nonaerosol)				
Antiperspirant	Antiperspirant	Antiperspirant	I	I	350.10(m)
	Aluminum zirconium tetrachlorohydrate (aerosol)				
Antiperspirant	Antiperspirant	Antiperspirant	IIS	IIS	310.502(a)(2)
	Aluminum zirconium tetrachlorohydrate (nonaerosol)				
Antiperspirant	Antiperspirant	Antiperspirant	I	I	350.10(o)
	Aluminum zirconium tetrachlorohydrate glycine (aerosol)				
Antiperspirant	Antiperspirant	Antiperspirant	IIS	IIS	310.502(a)(2)
	Aluminum zirconium tetrachlorohydrate glycine (nonaerosol)				
Antiperspirant	Antiperspirant	Antiperspirant	I	I	350.10(p)
	Aluminum zirconium trichlorohydrate (aerosol)				
Antiperspirant	Antiperspirant	Antiperspirant	IIS	IIS	310.502(a)(2)
	Aluminum zirconium trichlorohydrate (nonaerosol)				
Antiperspirant	Antiperspirant	Antiperspirant	I	I	350.10(q)

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Review Panel	Report	Drug Category	Advance Notice Proposed Rulemaking (ANPR)	Proposed Rule (PR)	Federal Register (FR) Listing
		Aluminum zirconium trichlorohydrate glycine (aerosol)			
Antiperspirant	Antiperspirant	Antiperspirant	IIS	IIS	310.502(a)(2)
		Aluminum zirconium trichlorohydrate glycine (nonaerosol)			
Antiperspirant	Antiperspirant	Antiperspirant	I	I	350.10(r)
		Aluminum sesquichlorohydrate propylene glycol (aerosol)			
Antiperspirant	Antiperspirant	Antiperspirant	IIIS	I	350.10(r)
		Aminacrine hydrochloride			
Miscellaneous external	Boil treatment	Boil treatment	IISE	IISE	310.531(a)
		Amiloxate			
n/a	Time and extent applications (TEA)	Sunscreen	n/a		
		Amino acids			
Miscellaneous external	Hair growth/loss	Hair grower	IISE	IIE	310.527(a)
		Aminobenzoic acid			
Internal analgesic	Internal analgesic	Analgesic adjuvant	IISE	IISE	310.545(a)(23)(i)
Internal analgesic	Internal analgesic	Antipyretic adjuvant	IISE	IISE	310.545(a)(23)(i)
Internal analgesic	Internal analgesic	Antirheumatic adjuvant	IISE	n/a	310.545(a)(23)(i)
Miscellaneous external	Hair growth/loss	Hair grower	n/a	IIE	310.527(a)
		Aminobenzoic acid (PABA)			
Topical analgesic	Sunscreen	Sunscreen	I	I	352.10(b)
		Aminophylline			
Cough/cold	Cough/cold (bronchodilator)	Bronchodilator	I	IIS	310.545(a)(6)(iv)(A)
		Ammonia			
Miscellaneous external	Skin protectant	Fever blister (topical)	Defer	n/a	Pending
Miscellaneous external	External analgesic	Fever blister (topical)	Defer	n/a	Pending
		Ammonia solution, strong			
Miscellaneous external	External analgesic	Insect bite/sting	IISE	IISE	310.545(a)(18)(v)(A)
Miscellaneous external	Skin protectant	Insect bite/sting	IISE	IISE	310.545(a)(18)(v)
Topical analgesic	External analgesic	Counterirritant	I	I	Pending
Topical analgesic	External analgesic	Fever blister (topical)	n/a	IISE	310.545(a)(10)(v)
		Ammonium bromide			
Sedative	Daytime sedative	Sedative	IISE	IISE	310.519(a)
Sedative	Nighttime sleep aid	Sleep aid	IISE	IISE	[54 FR 6826]
		Ammonium carbonate			
Miscellaneous external	External analgesic	Fever blister (topical)	Defer	n/a	Pending
Miscellaneous external	Skin protectant	Fever blister (topical)	Defer	n/a	Pending
		Ammonium chloride			
Cough/cold	Cough/cold (expectorant)	Expectorant	IIIE	IIIE	310.545(a)(6)(iii)
Miscellaneous internal	Menstrual/diuretic	Diuretic	I	I	Pending
Oral cavity	Oral health care	Expectorant	IIIE	IIIE	310.545(a)(6)(iii)
Sedative	Stimulant	Stimulant	IIE	IIE	[39 FR 6104]
		Ammonium hydroxide			
Miscellaneous external	External analgesic	Insect bite/sting	IIIE	IIIE	310.545(a)(18)(v)(A)

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Review Panel	Report	Drug Category	Advance Notice Proposed Rulemaking (ANPR)	Proposed Rule (PR)	Federal Register (FR) Listing
Miscellaneous external	Skin protectant	Insect bite/sting	IIIE	IIISE	310.545(a)(18)(v)(A)
Amylase					
Miscellaneous internal	Digestive aid	Digestive aid (intestinal distress)	n/a	n/a	310.545(a)(8)(ii)
Amyltriols, secondary					
Antimicrobial II	Antifungal	Antifungal	IIISE	IIISE	310.545(a)(22)(ii)
Oral cavity	Oral health care	Antimicrobial	IIISE	IIISE	Pending
Anion and cation exchange resins buffered					
Miscellaneous external	External analgesic	Poison ivy/oak/ sumac	IIIE	IIIE	Pending
Miscellaneous external	Skin protectant	Poison ivy/oak/ sumac	IIIE	IIIE	310.545(a)(10)(vii)(A)
Anise					
Miscellaneous internal	Aphrodisiac	Aphrodisiac	n/a	n/a	310.528(a)
Anise oil					
Miscellaneous internal	Weight control	Anorectic	IISE	IISE	310.545(a)(20)
Anise seed					
Miscellaneous internal	Digestive aid	Digestive aid (ippuad)	n/a	n/a	310.545(a)(18)(ii)
Antimony potassium tartrate					
Cough/cold	Cough/cold (expectorant)	Expectorant	IISE	IISE	310.545(a)(6)(iii)
Antipyrine					
Internal analgesic	Internal analgesic	Analgesic	IIISE	IIISE	310.545(a)(23)(i)
Internal analgesic	Internal analgesic	Antipyretic	IIISE	IIISE	310.545(a)(23)(i)
Internal analgesic	Internal analgesic	Antirheumatic	IIISE	not OTC	310.545(a)(23)(i)
Oral cavity	Oral health care	Analgesic/anesthetic	IISE	IISE	310.545(a)(14)
Ophthalmic	Ophthalmic	Analgesic/anesthetic	IIS	IIS	310.545(a)(21)(i)
Topical analgesic	Otic	Analgesic/anesthetic	IISE	IISE	[51 FR 28660]
Arginine					
Miscellaneous internal	Weight control	Anorectic	IISE	IISE	310.545(a)(20)
Aromatic oils					
Miscellaneous external	Antifungal	Diaper rash	Defer	n/a	310.545(a)(22)(i)
Miscellaneous external	Antimicrobial	Diaper rash	Defer	n/a	Pending
Miscellaneous external	External analgesic	Diaper rash	Defer	n/a	310.545(a)(10)(iv)
Miscellaneous external	External analgesic	Fever blister (topical)	Defer	n/a	Pending
Miscellaneous external	Skin protectant	Diaper rash	Defer	n/a	Pending
Miscellaneous external	Skin protectant	Fever blister (topical)	Defer	n/a	Pending
Aromatic powder					
Miscellaneous internal	Digestive aid	Digestive aid (ippuad)	n/a	n/a	310.545(a)(18)(ii)
Aromatics					
Miscellaneous external	External analgesic	Astringent	IISE	n/a	Pending
Miscellaneous external	Skin protectant	Astringent	IISE	IISE	310.545(a)(18)(ii)
Asafetida					
Miscellaneous internal	Digestive aid	Digestive aid (ippuad)	n/a	n/a	310.545(a)(18)(ii)
Asclepias tuberosa					
Miscellaneous internal	Menstrual/diuretic	Dysmenorrhea	IISE	IISE	310.545(a)(24)(i)
Ascorbic acid					
Miscellaneous external	Hair growth/loss	Hair grower	IIIE	IIIE	310.527(a)

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Review Panel	Report	Drug Category	Advance Notice Proposed Rulemaking (ANPR)	Proposed Rule (PR)	Federal Register (FR) Listing
Miscellaneous external	Wart remover	Wart remover	IIIE	IIIE	[55 FR 33254]
Miscellaneous external	Corn/callus remover	Corn/callus remover	IISE	IISE	[55 FR 33261]
Miscellaneous internal	Weight control	Anorectic	IISE	IISE	310.545(a)(20)
Asparagus					
Miscellaneous internal	Menstrual/diuretic	Diuretic	n/a	IISE	310.545(a)(24)(i)
<i>Aspergillus oryzae</i> enzymes (except lactase enzyme from <i>Aspergillus oryzae</i>)					
Miscellaneous internal	Digestive aid	Digestive aid (intestinal distress)	n/a	n/a	310.545(a)(18)(ii)
Aspirin					
n/a	External analgesic	Fever blister (topical)	n/a	IIIE	310.545(a)(10)(v)
n/a	External analgesic	Poison ivy/oak/ sumac	n/a	IIIE	310.545(a)(10)(vii)
Internal analgesic	Internal analgesic	Analgesic	I	I	Pending
Internal analgesic	Internal analgesic	Antipyretic	I	I	Pending
Internal analgesic	Internal analgesic	Antirheumatic	I	not OTC	n/a
Miscellaneous internal	Menstrual/diuretic	Analgesic	I	I	Pending
Miscellaneous internal	Overindulgence in alcohol/food	Overindulgence remedies (hangover)	I	I	Pending
Oral cavity	Oral health care	Analgesic/anesthetic	I	IIIE	Pending
Sedative	Daytime sedative	Sedative	IIIE	IISE	310.519(a)
Sedative	Nighttime sleep aid	Sleep aid	IIIE	IIIE	[54 FR 6826]
Topical analgesic	External analgesic	Analgesic/anesthetic	IIIE	IIIE	310.545(a)(10)(i)
Aspirin, aluminum					
Internal analgesic	Internal analgesic	Analgesic	n/a	IISE	310.545(a)(23)(i)
Internal analgesic	Internal analgesic	Antipyretic	IIIE	IIIE	310.545(a)(23)(i)
Internal analgesic	Internal analgesic	Antirheumatic	IIIE	Not OTC	310.545(a)(23)(i)
Aspirin, calcium					
Internal analgesic	Internal analgesic	Analgesic	I	I	Pending
Internal analgesic	Internal analgesic	Antipyretic	I	I	Pending
Internal analgesic	Internal analgesic	Antirheumatic	I	Not OTC	n/a
Miscellaneous internal	Menstrual/diuretic	Analgesic	I	I	Pending
Atropine					
n/a	Menstrual/diuretic	Menstrual	n/a	n/a	310.545(a)(24)(ii)
n/a	Internal analgesic	Analgesic	n/a	n/a	310.545(a)(23)(ii)
Hemorrhoidal	Anorectal	Anticholinergic (external)	IISE	IISE	310.545(a)(26)(i)
Hemorrhoidal	Anorectal	Anticholinergic (intrarectal)	IISE	IISE	310.545(a)(26)(i)
Atropine sulfate					
Cough/cold	Cough/cold (anticholinergic)	Anticholinergic	IIIE	IIIE	310.533(a)
Laxative	Antidiarrheal	Antidiarrheal	IIIE	IIIE	310.545(a)(3)(i)
Attapulgite, activated					
Laxative	Antidiarrheal	Antidiarrheal	IIIE	I	310.545(a)(3)(ii)
Avobenzone					
n/a	n/a	Sunscreen	n/a	I	352.10(a)
<i>Bacillus acidophilus</i>					
Miscellaneous internal	Digestive aid	Digestive aid (intestinal distress)	n/a	n/a	310.545(a)(18)(ii)

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Review Panel	Report	Drug Category	Advance Notice Proposed Rulemaking (ANPR)	Proposed Rule (PR)	Federal Register (FR) Listing
		Bacitracin			
Antimicrobial II	Antibiotic	First aid antibiotic	n/a	I	333.110(a)
Antimicrobial II	Antibiotic	Skin wound antibiotic	IIIE	Defer	n/a
		Bacitracin zinc			
Antimicrobial II	Antibiotic	First aid antibiotic	n/a	I	333.110(b)
		Barosma			
Miscellaneous internal	Menstrual/diuretic	Diuretic	n/a	IISE	310.545(a)(24)(i)
		Basic aluminum carbonate gel			
Miscellaneous internal	Hypophosphatemia/hyperphosphatemia	Hyperphosphatemia	IIS	IIS	310.541(a)
		Basic fuchsin			
Antimicrobial II	Antifungal	Antifungal	IIISE	IIISE	310.545(a)(22)(ii)
		Bean			
Miscellaneous internal	Digestive aid	Digestive aid (ippuad)	n/a	n/a	310.545(a)(18)(ii)
		Bearberry			
Miscellaneous internal	Weight control	Anorectic	IISE	IISE	310.545(a)(20)
		Bearberry (extract of <i>Uva ursi</i>)			
Miscellaneous internal	Menstrual/diuretic	Diuretic	n/a	IISE	310.545(a)(24)(i)
		Bearberry fluid extract (extract of bearberry)			
Miscellaneous internal	Menstrual/diuretic	Diuretic	n/a	IISE	310.545(a)(24)(i)
		Beeswax			
n/a	Insect bite/sting	Insect bite/sting	n/a	n/a	310.545(a)(18)(v)(B)
n/a	Poison ivy/oak/sumac	Poison ivy/oak/ sumac	n/a	n/a	310.545(a)(18)(vi)(B)
Miscellaneous external	Antifungal	Diaper rash	Defer	n/a	310.545(a)(22)(i)
Miscellaneous external	Antimicrobial	Diaper rash	Defer	n/a	Pending
Miscellaneous external	External analgesic	Diaper rash	Defer	n/a	310.545(a)(10)(iv)
Miscellaneous external	External analgesic	Fever blister (topical)	Defer	n/a	Pending
Miscellaneous external	Skin protectant	Diaper rash	Defer	n/a	310.545(a)(18)(i)(B)
Miscellaneous external	Skin protectant	Fever blister (topical)	Defer	n/a	Pending
		Belladonna alkaloids			
Cough/cold	Cough/cold (bronchodilator)	Bronchodilator (inhalation)	IISE	IISE	310.545(a)(6)(iv)(A)
Cough/cold	Cough/cold (anticholinergic)	Anticholinergic	IIIE	IIIE	310.533(a)
Miscellaneous external	Corn/callus remover	Corn/callus remover	IISE	IISE	[55 FR 33261]
Miscellaneous internal	Digestive aid	Digestive aid (ippuad)	n/a	n/a	310.545(a)(18)(ii)
		Belladonna alkaloids (inhalation) <i>Atropa belladonna</i>/<i>Datura stramonium</i>			
Cough/cold	Cough/cold (anticholinergic)	Anticholinergic	IISE	IISE	310.533(a)
		Belladonna extract			
Hemorrhoidal	Anorectal	Anticholinergic (intrarectal)	IISE	IISE	310.545(a)(26)(i)
Hemorrhoidal	Anorectal	Anticholinergic (external)	IISE	IISE	310.545(a)(26)(i)

(Continued)

Review Panel	Report	Drug Category	Advance Notice Proposed Rulemaking (ANPR)	Proposed Rule (PR)	Federal Register (FR) Listing
Belladonna leaves, powdered extract					
Miscellaneous internal	Digestive aid	Digestive aid (ippuad)	n/a	n/a	310.545(a)(18)(ii)
Bemotrizinol					
n/a	TEA	Sunscreen	n/a	n/a	n/a
Benzalkonium chloride					
Antimicrobial I	Antimicrobial	Antimicrobial soap	n/a	IISE	Pending
Antimicrobial I	Antimicrobial	First aid antiseptic	n/a	I	Pending
Antimicrobial I	Antimicrobial	Preoperative skin preparation	IIISE	IIISE	Pending
Antimicrobial I	Antimicrobial	Skin antiseptic	IIISE	IIISE	Pending
Antimicrobial I	Antimicrobial	Skin wound cleanser	I	I	Pending
Antimicrobial I	Antimicrobial	Skin wound protectant	IIISE	IIISE	Pending
Antimicrobial I	Antimicrobial	Surgical hand scrub	IIISE	IIISE	Pending
Contraceptive/vaginal	Vaginal	Minor irritations	IIISE	Withdrawn	n/a
Miscellaneous external	Antifungal	Diaper rash	Defer	n/a	310.545(a)(22)(i)
Miscellaneous external	Antimicrobial	Diaper rash	Defer	IIISE	Pending
Miscellaneous external	Dandruff/seborrheic dermatitis/psoriasis	Dandruff	IIIE	IIIE	310.545(a)(7)
Miscellaneous external	External analgesic	Astringent	IISE	n/a	Pending
Miscellaneous external	External analgesic	Fever blister (topical)	Defer	n/a	Pending
Miscellaneous external	External analgesic	Insect bite/sting	IISE	IISE	310.545(a)(10)(vi)
Miscellaneous external	Skin protectant	Astringent	IISE	IISE	310.545(a)(18)(ii)
Miscellaneous external	Skin protectant	Diaper rash	Defer	n/a	Pending
Miscellaneous external	Skin protectant	Fever blister (topical)	Defer	n/a	Pending
Miscellaneous external	Skin protectant	Insect bite/sting	IISE	IISE	310.545(a)(18)(v)(A)
Miscellaneous external	External analgesic	Diaper rash	Defer	n/a	310.545(a)(10)(iv)
Benzethonium chloride					
Antimicrobial I	Antimicrobial	First aid antiseptic	n/a	I	Pending
Antimicrobial I	Antimicrobial	Surgical hand scrub	IIISE	IIISE	Pending
Antimicrobial I	Antimicrobial	Skin antiseptic	IIISE	IIISE	Pending
Antimicrobial I	Antimicrobial	Skin wound cleanser	I	I	Pending
Antimicrobial I	Antimicrobial	Skin wound protectant	IIISE	IIISE	Pending
Antimicrobial I	Antimicrobial	Antimicrobial soap	n/a	IISE	Pending
Antimicrobial I	Antimicrobial	Preoperative skin preparation	IISE	IISE	Pending
Antimicrobial I	Antimicrobial	Health-care personnel handwash	IIIE	IIIE	Pending
Antimicrobial II	Antifungal	Antifungal	IIISE	IIISE	310.545(a)(22)(ii)
Miscellaneous external	Antifungal	Diaper rash	Defer	IISE	310.545(a)(22)(i)
Miscellaneous external	Dandruff/seborrheic dermatitis/psoriasis	Dandruff/cradle cap	IIIE	IIIE	310.545(a)(7)
Miscellaneous external	External analgesic	Astringent	IISE	n/a	310.545(a)(18)(ii)
Miscellaneous external	External analgesic	Poison ivy/oak/ sumac	Defer	IISE	310.545(a)(10)(vii)
Miscellaneous external	Skin protectant	Astringent	IISE	IISE	310.545(a)(18)(ii)
Miscellaneous external	Skin protectant	Diaper rash	Defer	n/a	Pending
Miscellaneous external	Skin protectant	Poison ivy/oak/ sumac	Defer	IISE	310.545(a)(10)(vii)(A)
Miscellaneous external	External analgesic	Diaper rash	Defer	n/a	310.545(a)(22)(i)
Miscellaneous external	External analgesic	Diaper rash	Defer	n/a	310.545(a)(10)(iv)

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Review Panel	Report	Drug Category	Advance Notice Proposed Rulemaking (ANPR)	Proposed Rule (PR)	Federal Register (FR) Listing
Oral cavity	Oral health care	Antimicrobial	IIISE	IIISE	Pending
Benzocaine					
Antimicrobial II	Acne	Acne	IISE	IISE	310.545(a)(1)
Contraceptive/vaginal	Vaginal	Minor irritations	IIIE	Withdrawn	n/a
Dental	Relief of oral discomfort	Oral mucosal analgesic	I	I	Pending
Dental	Relief of oral discomfort	Toothache relief	IIIE	IIIE	Pending
Hemorrhoidal	Anorectal	Anesthetic (intrarectal)	IIIE	IIIE	[55 FR 1779]
Hemorrhoidal	Anorectal	Anesthetic (external)	I	I	346.10(a)
Miscellaneous external	Antifungal	Diaper rash	Defer	n/a	310.545(a)(22)(i)
Miscellaneous external	Antimicrobial	Diaper rash	Defer	n/a	Pending
Miscellaneous external	Boil treatment	Boil treatment	IISE	IIISE	310.531(a)
Miscellaneous external	Dandruff/seborrheic dermatitis/psoriasis	Psoriasis	IIE	IIE	[56 FR 63567]
Miscellaneous external	External analgesic	Astringent	IISE	n/a	Pending
Miscellaneous external	External analgesic	Diaper rash	Defer	IISE	310.545(a)(10)(iv)
Miscellaneous external	External analgesic	Fever blister (topical)	Defer	I	Pending
Miscellaneous external	External analgesic	Insect bite/sting	n/a	IIIE	Pending
Miscellaneous external	Male genital desensitizer	Male genital desensitizer	I	I	348.10(a)(1)
Miscellaneous external	External analgesic	Poison ivy/oak/ sumac	Defer	I	Pending
Miscellaneous external	External analgesic	Poison/ivy/oak/sumac	Defer	IIIE	IISE (0.5–1.25%)
Miscellaneous external	Pediculicide	Pediculicide	IISE	IISE	310.545(a)(25)(i)
Miscellaneous external	Skin protectant	Astringent	IISE	IISE	310.545(a)(18)(ii)
Miscellaneous external	Skin protectant	Diaper rash	Defer	n/a	Pending
Miscellaneous external	Skin protectant	Fever blister (topical)	Defer	DEF	Pending
Miscellaneous external	Skin protectant	Poison ivy/oak/ sumac	Defer	n/a	310.545(a)(10)(vii)
Miscellaneous external	Wart remover	Wart remover	IISE	IISE	[55 FR 33254]
Miscellaneous internal	Weight control	Anorectic	I	IISE	Pending
Oral cavity	Oral health care	Analgesic/anesthetic	I	I	Pending
Topical analgesic	External analgesic	Analgesic/anesthetic	I	I	Pending
Topical analgesic	Otic	Analgesic/anesthetic	IISE	IISE	[51 FR 28660]
Topical analgesic	External analgesic	Poison ivy/oak/ sumac	IIIE	n/a	310.545(a)(10)(vii)
Benzoic acid					
Antimicrobial II	Acne	Acne	IIE	IIE	310.545(a)(1)
Antimicrobial II	Antifungal	Antifungal	IIIE	IIIE	310.545(a)(22)(ii)
Miscellaneous external	External analgesic	Astringent	IISE	n/a	n/a
Miscellaneous external	Hair growth/loss	Hair grower	IIE	IIE	310.527(a)
Miscellaneous external	Skin protectant	Astringent	IISE	IISE	310.545(a)(18)(ii)
Oral cavity	Oral health care	Antimicrobial	IIIE	IIIE	Pending
Benzoin tincture, compound					
Cough/cold	Cough/cold (expectorant)	Expectorant (topical/ inhalant)	IIIE	IIIE	310.545(a)(6)(iii)
Dental	Relief of oral discomfort	Oral mucosal protectant	I	I	Pending
Benzoin, tincture					
Cough/cold	Cough/cold (expectorant)	Expectorant	n/a	n/a	310.545(a)(6)(iii)
Benzonatate					
Cough/cold	Cough/cold (antitussive)	Antitussive	n/a	I	310.533(a)

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Review Panel	Report	Drug Category	Advance Notice Proposed Rulemaking (ANPR)	Proposed Rule (PR)	Federal Register (FR) Listing
		Benzoxiquine			
Antimicrobial II	Antifungal	Antifungal	IISE	IISE	310.545(a)(22)(ii)
		Benzoyl peroxide			
Antimicrobial II	Acne	Acne	I	I	Pending
		Benzyl alcohol			
Dental	Relief of oral discomfort	Toothache relief	IIISE	IIISE	Pending
Dental	Relief of oral discomfort	Oral mucosal analgesic	IIISE	I	Pending
Hemorrhoidal	Anorectal	Anesthetic (external)	IIIE	I	346.10(b)
Hemorrhoidal	Anorectal	Anesthetic (intrarectal)	IIIE	IIIE	[55 FR 1779]
Miscellaneous external	Alcohols (topical)	Antiseptic	IIE	Defer	n/a
Miscellaneous external	External analgesic	Fever blister (topical)	Defer	I	Pending
Miscellaneous external	Male genital desensitizer	Male genital desensitizer	IISE	IISE	310.545(a)(10)(iii)
Miscellaneous external	External analgesic	Poison ivy/oak/ sumac	Defer	I	Pending
Miscellaneous external	Pediculicide	Pediculicide	IISE	IISE	310.545(a)(25)(i)
Miscellaneous external	Skin protectant	Poison ivy/oak/ sumac	Defer	IISE	310.545(a)(10)(vii)(A)
Oral cavity	Oral health care	Analgesic/anesthetic	I	I	Pending
Topical analgesic	External analgesic	Analgesic/anesthetic	I	I	Pending
		Benzyl benzoate			
Miscellaneous external	Pediculicide	Pediculicide	IISE	IISE	310.545(a)(25)(i)
		Betaine hydrochloride			
Miscellaneous internal	Digestive aid	Digestive aid (intestinal distress)	n/a	n/a	310.545(a)(18)(ii)
Miscellaneous internal	Stomach acidifier	Stomach acidifier	IIE	IIE	310.540(a)
		Bicarbonate			
Antacid	Antacid	Antacid	n/a	n/a	331.11(b)
		Bile salts/acids			
Laxative	Laxative	Stimulant laxative	IIISE	IIISE	310.545(a)(12)(iv)(A)
		Biotin			
Miscellaneous external	Hair growth/loss	Hair grower	n/a	IISE	310.527(a)
Miscellaneous internal	Weight control	Anorectic	IISE	IISE	310.545(a)(20)
		Bisacodyl			
Laxative	Laxative	Stimulant laxative	I	I/IIIS	Pending
		Bismuth aluminate			
Antacid	Antacid	Antacid	I	I	331.11(c)(1)
		Bismuth carbonate			
Antacid	Antacid	Antacid	I	I	331.11(c)(2)
		Bismuth oxide			
Hemorrhoidal	Anorectal	Protectant (external)	IIIE	IIIE	310.545(a)(26)(viii)
Hemorrhoidal	Anorectal	Protectant (intrarectal)	IIIE	IIIE	310.545(a)(26)(viii)
		Bismuth sodium tartrate			
Miscellaneous external	External analgesic	Fever blister (topical)	Defer	IIISE	310.545(a)(10)(v)
Miscellaneous external	Skin protectant	Fever blister (topical)	Defer	Defer	Pending

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Review Panel	Report	Drug Category	Advance Notice Proposed Rulemaking (ANPR)	Proposed Rule (PR)	Federal Register (FR) Listing
Miscellaneous internal	Digestive aid	Digestive aid (intestinal distress)	IISE	IISE	310.545(a)(8)(i)
Bismuth subcarbonate					
Antacid	Antacid	Antacid	I	I	331.11(c)(3)
Hemorrhoidal	Anorectal	Protectant (intrarectal)	IIIE	IIIE	310.545(a)(26)(viii)
Hemorrhoidal	Anorectal	Protectant (external)	IIIE	IIIE	310.545(a)(26)(viii)
Miscellaneous external	Antifungal	Diaper rash	Defer	n/a	310.545(a)(22)(i)
Miscellaneous external	Antimicrobial	Diaper rash	Defer	n/a	Pending
Miscellaneous external	Skin protectant	Diaper rash	Defer	n/a	Pending
Miscellaneous internal	Digestive aid	Digestive aid (ippuad)	n/a	n/a	310.545(a)(18)(ii)
Bismuth subgallate					
Antacid	Antacid	Antacid	I	I	331.11(c)(4)
Hemorrhoidal	Anorectal	Protectant (external)	IIIE	IIIE	310.545(a)(26)(viii)
Hemorrhoidal	Anorectal	Protectant (intrarectal)	IIIE	IIIE	310.545(a)(26)(viii)
Miscellaneous internal	Deodorants for internal use	Internal deodorant	IIIE	I	357.810(a)
Miscellaneous internal	Digestive aid	Digestive aid (ippuad)	n/a	n/a	310.545(a)(18)(ii)
Bismuth subnitrate					
n/a	Insect bite/sting	Insect bite/sting	n/a	n/a	310.545(a)(18)(v)(B)
n/a	Poison ivy/oak/sumac	Poison ivy/oak/sumac	n/a	n/a	310.545(a)(18)(vi)(B)
n/a	Skin protectant	Fever blister (topical)	n/a	IISE	310.545(a)(10)(iv)
n/a	Skin protectant	Poison ivy/oak/sumac	n/a	IISE	310.545(a)(10)(vii)(A)
Antacid	Antacid	Antacid	I	I	331.11(c)(5)
Hemorrhoidal	Anorectal	Protectant (external)	IIS	IIS	310.545(a)(26)(viii)
Laxative	Antidiarrheal	Antidiarrheal	IIISE	IIISE	Pending
Miscellaneous external	Anorectal	Protectant (intrarectal)	IIS	IIS	310.545(a)(26)(viii)
Miscellaneous external	Antifungal	Diaper rash	Defer	n/a	310.545(a)(22)(i)
Miscellaneous external	Antimicrobial	Diaper rash	Defer	n/a	Pending
Miscellaneous external	Boil treatment	Boil treatment	IISE	IISE	310.531(a)
Miscellaneous external	External analgesic	Diaper rash	Defer	n/a	310.545(a)(10)(iv)
Miscellaneous external	Skin protectant	Diaper rash	Defer	IISE	Pending
Topical analgesic	Skin protectant	Skin protectant	IISE	IISE	Pending
Bismuth subsalicylate					
Laxative	Antidiarrheal	Antidiarrheal	IIIE	IIIE	335.10(a)
Laxative	Antiemetic	Antiemetic	IIIE	IIIE	Defer (overindulgence)
Miscellaneous internal	Overindulgence in alcohol/food	Upset stomach	I	I	Pending
Bisotrizole					
n/a	TEA	Sunscreen	n/a	n/a	n/a
Bithional					
Miscellaneous external	External analgesic	Poison ivy/oak/sumac	Defer	IISE	310.545(a)(10)(vii)
Miscellaneous external	Skin protectant	Poison ivy/oak/sumac	Defer	IISE	310.545(a)(18)(vi)(A)
Black radish powder					
Miscellaneous internal	Digestive aid	Digestive aid (intestinal distress)	n/a	n/a	310.545(a)(18)(ii)
Blessed thistle (<i>Cnicus benedictus</i>)					
Miscellaneous internal	Digestive aid	Digestive aid (intestinal distress)	n/a	n/a	310.545(a)(18)(ii)

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Review Panel	Report	Drug Category	Advance Notice Proposed Rulemaking (ANPR)	Proposed Rule (PR)	Federal Register (FR) Listing
Miscellaneous internal	Menstrual/diuretic	Menstrual	n/a	IISE	310.545(a)(24)(i)
		Bone marrow, red			
Miscellaneous internal	Weight control	Anorectic	IISE	IISE	310.545(a)(20)
		Boric acid			
n/a	Insect bite/sting	Insect bite/sting	n/a	n/a	310.545(a)(18)(v)(B)
n/a	Poison ivy/oak/sumac	Poison ivy/oak/sumac	n/a	n/a	310.545(a)(18)(vi)(B)
n/a	Skin protectant	Fever blister (topical)	n/a	IISE	310.545(a)(18)(iv)
n/a	Skin protectant	Poison ivy/oak/sumac	n/a	IISE	310.545(a)(10)(vii)(A)
Antimicrobial II	Acne	Acne	IIE	IIE	310.545(a)(1)
Antimicrobial II	Antifungal	Antifungal	IIIE	IIIE	310.545(a)(22)(ii)
Contraceptive/vaginal	Vaginal	Astringent	IIISE	Withdrawn	n/a
Contraceptive/vaginal	Vaginal	Alters vaginal pH	IIISE	Withdrawn	n/a
Contraceptive/vaginal	Vaginal	Lowers surface tension, mucolytic effects	IIISE	Withdrawn	n/a
Contraceptive/vaginal	Vaginal	Minor irritations	IIISE	Withdrawn	n/a
Hemorrhoidal	Anorectal	Antiseptic (external)	IISE	IISE	310.545(a)(26)(ii)
Hemorrhoidal	Anorectal	Antiseptic (intrarectal)	IISE	IISE	310.545(a)(26)(ii)
Miscellaneous external	Antifungal	Diaper rash	Defer	IISE	310.545(a)(22)(ii)
Miscellaneous external	Antimicrobial	Diaper rash	Defer	IISE	Pending
Miscellaneous external	Dandruff/seborrheic dermatitis/psoriasis	Dandruff/seborrheic dermatitis	IISE	IISE	310.545(a)(7)
Miscellaneous external	External analgesic	Astringent	IISE	n/a	Pending
Miscellaneous external	External analgesic	Diaper rash	Defer	n/a	310.545(a)(10)(iv)
Miscellaneous external	Skin protectant	Astringent	IISE	IISE	310.545(a)(18)(ii)
Miscellaneous external	Skin protectant	Diaper rash	Defer	IIS	Pending
Oral cavity	Oral health care	Antimicrobial	IISE	IISE	Pending
Ophthalmic	Ophthalmic	Anti-infective	IIIE	IIE	310.545(a)(21)(ii)
Topical analgesic	Skin protectant	Skin protectant	IISE	IISE	310.545(a)(18)(i)(B)
		Bornelone			
Topical analgesic	Sunscreen	Sunscreen	IIIE	IIIE	[64 FR 27682]
		Bornyl acetate			
Cough/cold	Cough/cold (nasal decongestant)	Nasal decongestant (topical)	IIIE	IIIE	310.545(a)(6)(ii)(B)
		Boroglycerin			
Contraceptive/vaginal	Vaginal	Minor irritations	IIISE	Withdrawn	n/a
Contraceptive/vaginal	Vaginal	Alters vaginal pH	IIISE	Withdrawn	n/a
Contraceptive/vaginal	Vaginal	Astringent	IIISE	Withdrawn	n/a
Contraceptive/vaginal	Vaginal	Lowers surface tension, mucolytic effects	IIISE	Withdrawn	n/a
Hemorrhoidal	Anorectal	Antiseptic (external)	IISE	IISE	310.545(a)(26)(ii)
Hemorrhoidal	Anorectal	Antiseptic (intrarectal)	IISE	IISE	310.545(a)(26)(ii)
Oral cavity	Oral health care	Antimicrobial	IISE	IISE	Pending
		Bran			
Laxative	Laxative	Bulk laxative	I	I	Pending
		Brompheniramine maleate			
Cough/cold	Cough/cold (antihistamine)	Antihistamine	I	I	341.12(a)

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Review Panel	Report	Drug Category	Advance Notice Proposed Rulemaking (ANPR)	Proposed Rule (PR)	Federal Register (FR) Listing
Buchu					
Miscellaneous internal	Weight control	Anorectic	IISE	IISE	310.545(a)(20)
Buchu powdered extract (extract of buchu)					
Miscellaneous internal	Menstrual/diuretic	Diuretic	n/a	IISE	310.545(a)(24)(i)
Buchu, extract					
Miscellaneous internal	Weight control	Anorectic	IISE	IISE	310.545(a)(20)
Buckthorn					
Miscellaneous internal	Digestive aid	Digestive aid (intestinal distress)	n/a	n/a	310.545(a)(18)(ii)
Butacaine sulfate					
Dental	Relief of oral discomfort	Oral mucosal analgesic	I	I	Pending
Dental	Relief of oral discomfort	Toothache relief	IIISE	IIISE	Pending
Butamben picrate					
n/a	External analgesic	Fever blister (topical)	n/a	I	Pending
n/a	External analgesic	Poison ivy/oak/ sumac	n/a	I	Pending
Topical analgesic	External analgesic	Analgesic/anesthetic	I	I	Pending
Butylated hydroxyanisole					
n/a	External analgesic	Fever blister (topical)	n/a	n/a	Pending
n/a	Skin protectant	Fever blister (topical)	n/a	n/a	Pending
Caffeine					
Cough/cold	Cough/cold (miscellaneous)	Corrective	IIIE	IIIE	Pending
Internal analgesic	Internal analgesic	Analgesic adjuvant	IIIE	IIIE	Pending
Internal analgesic	Internal analgesic	Antipyretic adjuvant	IIIE	IIIE	Pending
Internal analgesic	Internal analgesic	Antirheumatic adjuvant	IIIE	n/a	Pending
Miscellaneous internal	Menstrual/diuretic	Analgesic adjuvant	IIIE	IIIE	Pending
Miscellaneous internal	Menstrual/diuretic	Diuretic	I	I	Pending
Miscellaneous internal	Overindulgence in alcohol/food	Overindulgence remedies (hangover)	I	I	Pending
Miscellaneous internal	Weight control	Anorectic	IISE	IISE	310.545(a)(20)
Sedative	Stimulant	Stimulant	I	I	340.10
Calamine					
Hemorrhoidal	Anorectal	Astringent (external)	I	I	346.18(a)
Hemorrhoidal	Anorectal	Astringent (intrarectal)	I	I	346.18(a)
Hemorrhoidal	Anorectal	Protectant (intrarectal)	I	I	346.14(b)(1)
Hemorrhoidal	Anorectal	Protectant (external)	I	I	346.14(b)(1)
Miscellaneous external	Antifungal	Diaper rash	Defer	n/a	310.545(a)(22)(ii)
Miscellaneous external	Antimicrobial	Diaper rash	Defer	n/a	Pending
Miscellaneous external	External analgesic	Diaper rash	Defer	n/a	310.545(a)(10)(iv)
Miscellaneous external	External analgesic	Insect bite/sting	IISE	IISE	310.545(a)(10)(vi)
Miscellaneous external	External analgesic	Poison ivy/oak/ sumac	Defer	IISE	310.545(a)(10)(vii)
Miscellaneous external	Skin protectant	Skin protectant	I	I	347.10(c)
Miscellaneous external	Skin protectant	Diaper rash	Defer	I	Pending
Miscellaneous external	Skin protectant	Insect bite/sting	IISE	IISE	310.545(a)(10)(vi)
Miscellaneous external	Skin protectant	Poison ivy/oak/ sumac	Defer	I	347.10(c)

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Review Panel	Report	Drug Category	Advance Notice Proposed Rulemaking (ANPR)	Proposed Rule (PR)	Federal Register (FR) Listing
Topical analgesic	Skin protectant	Skin protectant	I	I	347.10(c)
Calamine (in combination only)					
Hemorrhoidal	Anorectal	Protectant (intrarectal)	I	I	346.14(b)(1)
Hemorrhoidal	Anorectal	Protectant (external)	I	I	346.14(b)(1)
Calcium					
Miscellaneous internal	Weight control	Anorectic	IISE	IISE	310.545(a)(20)
Calcium acetate					
n/a	Skin protectant	Astringent	IISE	IISE	310.545(a)(18)(ii)
Miscellaneous external	External analgesic	Astringent	IISE	n/a	Pending
Calcium carbonate					
Antacid	Antacid	Antacid	I	I	331.11(d)
Miscellaneous internal	Digestive aid	Digestive aid (ippuad)	IIIE	IIIE	310.545(a)(8)(i)
Miscellaneous internal	Weight control	Anorectic	IISE	IISE	310.545(a)(20)
Calcium carbonate, precipitated					
Laxative	Antidiarrheal	Antidiarrheal	IIIE	IIIE	310.545(a)(3)
Miscellaneous external	Antifungal	Diaper rash	Defer	n/a	310.545(a)(22)(i)
Miscellaneous external	Antimicrobial	Diaper rash	Defer	n/a	Pending
Miscellaneous external	External analgesic	Diaper rash	Defer	n/a	310.545(a)(10)(iv)
Miscellaneous external	Skin protectant	Diaper rash	Defer	n/a	Pending
Calcium caseinate					
Miscellaneous internal	Weight control	Anorectic	IISE	IISE	310.545(a)(20)
Calcium gluconate					
Miscellaneous internal	Digestive aid	Digestive aid (intestinal distress)	n/a	n/a	310.545(a)(18)(ii)
Calcium hydroxide					
Laxative	Antidiarrheal	Antidiarrheal	IIIE	IIIE	310.545(a)(3)(ii)
Calcium iodide, anhydrous					
Cough/cold	Cough/cold (expectorant)	Expectorant	IISE	IISE	310.545(a)(6)(iii)
Calcium lactate					
Miscellaneous internal	Menstrual/diuretic	Diuretic	n/a	IISE	310.545(a)(24)(i)
Miscellaneous internal	Weight control	Anorectic	IISE	IISE	310.545(a)(20)
Calcium pantothenate					
Laxative	Laxative	Stimulant laxative	IIIE	IIIE	310.545(a)(12)(iv)(A)
Miscellaneous external	Wart remover	Wart remover	IIIE	IIIE	[55 FR 33254]
Miscellaneous internal	Menstrual/diuretic	Premenstrual/ menstrual period	n/a	IISE	310.545(a)(24)(i)
Miscellaneous internal	Weight control	Anorectic	IISE	IISE	310.545(a)(20)
Calcium phosphate					
Antacid	Antacid	Antacid	I	I	331.11(d)
Calcium phosphate, dibasic					
Dental	Anticaries	Anticavity agent	IIIE	n/a	[60 FR 52504]
Internal analgesic	Internal analgesic	Corrective	I	n/a	n/a
Calcium phosphate, tribasic					
Antacid	Antacid	Antacid	I	I	331.11(d)

(Continued)

Review Panel	Report	Drug Category	Advance Notice Proposed Rulemaking (ANPR)	Proposed Rule (PR)	Federal Register (FR) Listing
Antimicrobial II	Acne	Calcium polysulfide Acne	IIE	IIE	310.545(a)(1)
Contraceptive/vaginal	Vaginal	Calcium propionate Minor irritations	I	Withdrawn	n/a
Internal analgesic	Internal analgesic	Calcium salicylate Analgesic	n/a	IISE	310.545(a)(23)(i)
Internal analgesic	Internal analgesic	Antirheumatic	n/a	Not OTC	310.545(a)(23)(i)
		Calcium salt (mono- or dibasic)			
Antacid	Antacid	Antacid	n/a	n/a	331.11(i)(2)
		Calcium silicate			
Miscellaneous external	External analgesic	Fever blister (topical)	Defer	n/a	Pending
Miscellaneous external	Skin protectant	Fever blister (topical)	Defer	n/a	Pending
		Calcium sucrose phosphate			
Dental	Anticaries	Anticavity agent	IIE	n/a	310.545(a)(2)(ii)
		Calcium thiosulfate			
Antimicrobial II	Acne	Acne	IIE	IIE	310.545(a)(1)
		Calcium undecylenate			
Antimicrobial II	Antifungal	Antifungal	I	I	333.210(f)
Miscellaneous external	Antifungal	Diaper rash	Defer	IISE	310.545(a)(22)(i)
Miscellaneous external	Antimicrobial	Diaper rash	Defer	IIISE	Pending
Miscellaneous external	Dandruff/seborrheic dermatitis/psoriasis	Dandruff/seborrheic dermatitis/psoriasis	IIISE	IIISE	310.545(a)(7)
Miscellaneous external	External analgesic	Diaper rash	Defer	n/a	310.545(a)(10)(iv)
Miscellaneous external	Skin protectant	Diaper rash	Defer	n/a	Pending
		Calomel			
Laxative	Laxative	Stimulant laxative	IIS	IIS	310.545(a)(12)(iv)(A)
Miscellaneous external	Boil treatment	Boil treatment	IISE	IISE	310.531(a)
Miscellaneous external	Mercury	Antiseptic	IISE	IISE	310.545(a)(27)(i)
		Calomel (see Mercuric chloride)			
Miscellaneous external	Antimicrobial	First aid antiseptic	n/a	IIIE	310.545(a)(27)(i)
		Camphor			
Antimicrobial II	Acne	Acne	IIE	IIE	310.545(a)(1)
Antimicrobial II	Antifungal	Antifungal	IIE	IIE	310.545(a)(22)(ii)
Cough/cold	Cold/cough (antitussive)	Antitussive (topical/inhalant)	IIIE	I	341.14(b)(1)
Cough/cold	Cough/cold (expectorant)	Expectorant (lozenge)	IIIE	IIIE	310.545(a)(6)(iii)
Cough/cold	Cough/cold (expectorant)	Expectorant (topical/inhalant)	IIIE	IIIE	310.545(a)(6)(iii)
Cough/cold	Cough/cold (nasal decongestant)	Nasal decongestant (topical/inhalant)	IIIE	IIIE	[59 FR 43408]
Cough/cold	Cough/cold (nasal decongestant)	Nasal decongestant (lozenge)	IIIE	IIIE	310.545(a)(6)(ii)(A)
Dental	Relief of oral discomfort	Oral mucosal analgesic	IISE	IISE	Pending
Hemorrhoidal	Anorectal	Analgesic (external)	n/a	I	346.16(a)
Miscellaneous external	Antifungal	Diaper rash	Defer	IISE	310.545(a)(22)(ii)

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Review Panel	Report	Drug Category	Advance Notice Proposed Rulemaking (ANPR)	Proposed Rule (PR)	Federal Register (FR) Listing
Miscellaneous external	Antimicrobial	Diaper rash	Defer	n/a	Pending
Miscellaneous external	Boil treatment	Boil treatment	IISE	IIISE	310.531(a)
Miscellaneous external	External analgesic	Diaper rash	Defer	IISE	310.545(a)(10)(iv)
Miscellaneous external	External analgesic	Insect bite/sting	IISE	I	Pending
Miscellaneous external	External analgesic	Poison ivy/oak/ sumac	Defer	I	Pending
Miscellaneous external	Skin protectant	Diaper rash	Defer	n/a	Pending
Miscellaneous external	Skin protectant	Fever blister (topical)	Defer	Defer	Pending
Miscellaneous external	Skin protectant	Insect bite/sting	IISE	IISE	310.545(a)(18)(v)(A)
Miscellaneous external	Skin protectant	Poison ivy/oak/ sumac	Defer	IISE	310.545(a)(10)(vii)(A)
Miscellaneous external	Wart remover	Wart remover	IISE	IISE	[55 FR 33254]
Miscellaneous external	External analgesic	External analgesic (less than 2.5%)	I	I	Pending
Miscellaneous external	External analgesic	External analgesic (greater than 2.5%)	IISE	IISE	Pending
Oral cavity	Oral health care	Antimicrobial	IISE	IISE	Pending
Oral cavity	Oral health care	Analgesic/anesthetic	IISE	IISE	310.545(a)(14)
Topical analgesic	External analgesic	Counterirritant	I	I	Pending
Topical analgesic	External analgesic	Analgesic/anesthetic	I	I	Pending
Topical analgesic	External analgesic	External analgesic	I	I	Pending
Topical analgesic	Sunscreen	Sunscreen	IISE	IISE	[64 FR 27682]
Topical analgesic	External analgesic	Antipyretic	n/a	I	Pending
Camphor (>3%–11%)					
Miscellaneous external	External analgesic	Fever blister (topical)	Defer	IISE	310.545(a)(10)(v)
Camphor (0.1%–3%)					
Miscellaneous external	External analgesic	Fever blister (topical)	Defer	I	Pending
Camphor (greater than 3%–11%)					
Hemorrhoidal	Anorectal	Counterirritant (intrarectal)	IISE	IISE	310.545(a)(26)(iv)
Hemorrhoidal	Anorectal	Counterirritant (external)	IISE	IISE	310.545(a)(26)(iv)
Camphor gum					
Miscellaneous external	External analgesic	Astringent	IISE	n/a	n/a
Miscellaneous external	Skin protectant	Astringent	IISE	IISE	310.545(a)(18)(ii)
Camphorated metacresol					
n/a	External analgesic	Fever blister (topical)	n/a	I	Pending
n/a	External analgesic	Poison ivy/oak/ sumac	n/a	I	Pending
Antimicrobial II	Antifungal	Antifungal	IIISE	IIISE	310.545(a)(22)(iv)
Miscellaneous external	Male genital desensitizer	Male genital desensitizer	n/a	IISE	310.545(a)(10)(iii)
Topical analgesic	External analgesic	Analgesic/anesthetic	IIISE	I	Pending
Camphorated oil					
Miscellaneous external	Camphorated oil	Counterirritant	IIS	n/a	310.502(a)(4)
Candididin					
Antimicrobial II	Antifungal	Anticandidal	IISE	IISE	310.545(a)(22)(ii)
Cantharides					
Miscellaneous internal	Aphrodisiac	Aphrodisiac	IISE	IISE	310.528(a)
Capsaicin					
Miscellaneous external	External analgesic	Fever blister (topical)	Defer	IISE	310.545(a)(10)(v)
Topical analgesic	External analgesic	Counterirritant	I	I	Pending

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Review Panel	Report	Drug Category	Advance Notice Proposed Rulemaking (ANPR)	Proposed Rule (PR)	Federal Register (FR) Listing
Capsicum					
Dental	Relief of oral discomfort	Counterirritant (external)	IIIE	IIIE	Pending
Dental	Relief of oral discomfort	Toothache relief	IISE	IISE	Pending
Miscellaneous external	External analgesic	Fever blister (topical)	Defer	IISE	310.545(a)(10)(v)
Miscellaneous internal	Digestive aid	Digestive aid (ippuad)	n/a	n/a	310.545(a)(8)(ii)
Topical analgesic	External analgesic	Counterirritant	I	I	Pending
Capsicum oleoresin					
Miscellaneous external	External analgesic	Fever blister (topical)	Defer	IISE	310.545(a)(10)(v)
Miscellaneous internal	Menstrual/diuretic	Diuretic	n/a	IISE	310.545(a)(24)(i)
Topical analgesic	External analgesic	Counterirritant	I	I	Pending
Capsicum, fluid extract of					
Miscellaneous internal	Digestive aid	Digestive aid (ippuad)	n/a	n/a	310.545(a)(18)(ii)
Captan					
Miscellaneous external	Dandruff/seborrheic dermatitis/psoriasis	Dandruff	IIIE	IIIE	310.545(a)(7)
Caramiphen edisylate					
Cough/cold	Cold/cough (antitussive)	Antitussive	IIIE	IIIE	[52 FR 30054]
Carbamide peroxide					
Miscellaneous external	External analgesic	Fever blister (topical)	Defer	Defer	Pending
Miscellaneous external	Skin protectant	Fever blister (topical)	Defer	Defer	Pending
Oral cavity	Oral health care	Debriding agent	III	III	Pending
Oral cavity	Oral health care	Antimicrobial	IIIE	IIIE	Pending
Carbamide peroxide (in anhydrous glycerin)					
Dental	Oral mucosal injury	Wound cleanser	I	I	Pending
Dental	Oral mucosal injury	Wound healing agent	IIIE	IIIE	310.534(a)
Carbamide peroxide 6.5 % (in anhydrous glycerin)					
Topical analgesic	Otic	Ear wax softening agent	I	I	344.10
Carbaspirin calcium					
Internal analgesic	Internal analgesic	Antipyretic	I	I	Pending
Internal analgesic	Internal analgesic	Analgesic	I	I	Pending
Internal analgesic	Internal analgesic	Antirheumatic	I	Not OTC	n/a
Miscellaneous internal	Menstrual/diuretic	Analgesic	I	I	Pending
Carbetapentane citrate					
Cough/cold	Cold/cough (antitussive)	Antitussive	IIIE	IIIE	[52 FR 30054]
Carbon					
Miscellaneous internal	Digestive aid	Digestive aid (ippuad)	n/a	n/a	310.545(a)(18)(ii)
Carbon dioxide, released					
Laxative	Laxative	Laxative	I	I	Pending
Carboxymethylcellulose					
Antacid	Antacid	Antacid	IIIE	IIIE	[39 FR 19874]
Carboxymethylcellulose sodium					
Laxative	Antidiarrheal	Antidiarrheal	IIIE	IIIE	310.545(a)(3)(i)
Laxative	Laxative	Bulk laxative	I	I	Pending
Miscellaneous internal	Weight control	Anorectic	IIIE	IISE	310.545(a)(20)

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Review Panel	Report	Drug Category	Advance Notice Proposed Rulemaking (ANPR)	Proposed Rule (PR)	Federal Register (FR) Listing
Ophthalmic	Ophthalmic	Demulcent	I	I	349.12(a)(1)
		Carrageenan			
Miscellaneous internal	Weight control	Anorectic	IIIE	IISE	310.545(a)(20)
		Carrageenan, degraded			
Laxative	Laxative	Bulk laxative	IIS	IIS	310.545(a)(12)(i)
		Carrageenan, native			
Laxative	Laxative	Bulk laxative	IIIE	IIIE	310.545(a)(12)(i)
		Casanthranol			
Laxative	Laxative	Stimulant laxative	I	I/IIIS	Pending
		Cascara fluid extract, aromatic			
Laxative	Laxative	Stimulant laxative	I	I/IIIS	310.545(a)(12)(iv)(C)
Miscellaneous internal	Menstrual/diuretic	Diuretic	n/a	IISE	310.545(a)(24)(i)
		Cascara sagrada			
Laxative	Laxative	Stimulant laxative	I	I/IIIS	310.545(a)(12)(iv)(C)
		Cascara sagrada bark (see Cascara sagrada)			
=====	=====	=====	=====	=====	=====
		Cascara sagrada extract			
Miscellaneous internal	Digestive aid	Digestive aid (ippuad)	n/a	n/a	310.545(a)(18)(ii)
Laxative	Laxative	Stimulant laxative	I	I/IIIS	310.545(a)(12)(iv)(C)
		Cascara sagrada fluid extract			
Laxative	Laxative	Stimulant laxative	I	I/IIIS	310.545(a)(12)(iv)(C)
		Casein			
Miscellaneous external	Antifungal	Diaper rash	Defer	n/a	310.545(a)(22)(i)
Miscellaneous external	Antimicrobial	Diaper rash	Defer	n/a	n/a
Miscellaneous external	External analgesic	Diaper rash	Defer	n/a	310.545(a)(10)(iv)
Miscellaneous external	Skin protectant	Diaper rash	Defer	Withdrawn	n/a
		Castor oil			
Laxative	Laxative	Stimulant laxative	I	I	Pending
Miscellaneous external	External analgesic	Fever blister (topical)	Defer	n/a	n/a
Miscellaneous external	Skin protectant	Fever blister (topical)	Defer	n/a	n/a
Miscellaneous external	Wart remover	Wart remover	IISE	IISE	[55 FR 33254]
		Catechu, tincture			
Miscellaneous internal	Digestive aid	Digestive aid (ippuad)	n/a	n/a	310.545(a)(18)(ii)
		Catnip			
Miscellaneous internal	Digestive aid	Digestive aid (ippuad)	n/a	n/a	310.545(a)(18)(ii)
		Cedar leaf oil			
Cough/cold	Cough/cold (nasal decongestant)	Nasal decongestant (topical)	IIIE	IIIE	310.545(a)(6)(ii)(B)
		Cellulase			
Miscellaneous internal	Digestive aid	Digestive aid (intestinal distress)	IIIE	IIIE	310.545(a)(8)(i)
Miscellaneous internal	Digestive aid	Digestive aid (ippuad)	IIE	IIE	310.545(a)(8)(i)
		Cellulose			
Miscellaneous external	Antifungal	Diaper rash	Defer	n/a	310.545(a)(22)(i)
Miscellaneous external	Antimicrobial	Diaper rash	Defer	n/a	n/a

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Review Panel	Report	Drug Category	Advance Notice Proposed Rulemaking (ANPR)	Proposed Rule (PR)	Federal Register (FR) Listing
Miscellaneous external	External analgesic	Diaper rash	Defer	n/a	310.545(a)(10)(iv)
Miscellaneous external	Skin protectant	Diaper rash	Defer	n/a	n/a
Cellulose, microporous					
Miscellaneous external	Antifungal	Diaper rash	Defer	n/a	310.545(a)(22)(i)
Miscellaneous external	Antimicrobial	Diaper rash	Defer	n/a	Pending
Miscellaneous external	External analgesic	Diaper rash	Defer	n/a	310.545(a)(10)(iv)
Miscellaneous external	Skin protectant	Diaper rash	Defer	IIISE	Pending
Cetalkonium chloride					
Miscellaneous external	External analgesic	Poison ivy/oak/sumac	Defer	IISE	310.545(a)(10)(vii)
Miscellaneous external	Skin protectant	Poison ivy/oak/sumac	Defer	IISE	310.545(a)(18)(vii)(A)
Oral cavity	Oral health care	Antimicrobial	IIIE	IIIE	Pending
Cetyl alcohol					
n/a	Insect bite/sting	Insect bite/sting	n/a	n/a	310.545(a)(18)(v)(B)
n/a	Poison ivy/oak/sumac	Poison ivy/oak/sumac	n/a	n/a	310.545(a)(18)(vi)(B)
n/a	Skin protectant	Skin protectant	n/a	n/a	310.545(a)(18)(i)(B)
Miscellaneous external	External analgesic	Fever blister (topical)	n/a	n/a	n/a
Miscellaneous external	Skin protectant	Fever blister (topical)	Defer	n/a	Pending
Cetylpyridinium chloride					
n/a	Antigingivitis/antiplaque	Antigingivitis/antiplaque	I	====	Pending
Oral cavity	Oral health care	Antimicrobial	IIISE	IIISE	Pending
Chamomile flowers					
Miscellaneous internal	Digestive aid	Digestive aid (ippuad)	n/a	n/a	310.545(a)(18)(ii)
Charcoal, activated					
Antacid	Antacid	Antacid	IIE	IIE	[39 FR 19873]
Laxative	Antidiarrheal	Antidiarrheal	IIIE	IIIE	310.545(a)(3)(ii)
Miscellaneous internal	Deodorants for internal use	Internal deodorant	IIIE	IIIE	[55 FR 19864]
Miscellaneous internal	Digestive aid	Digestive aid (intestinal distress)	IIIE	IIIE	310.545(a)(8)(iii)
Miscellaneous internal	Overindulgence in alcohol/food	Minimize hangover symptoms	IIIE	IIIE	[48 FR 32873]
Miscellaneous internal	Poison treatment	Poison absorbent	n/a	I	Pending
Miscellaneous internal	Acute toxic ingestion	Poison treatment	I	I	Pending
Charcoal, wood					
Miscellaneous internal	Digestive aid	Digestive aid (intestinal distress)	IIIE	IIIE	310.545(a)(18)(ii)
Chlophedianol hydrochloride					
Cough/cold	Cold/cough (antitussive)	Antitussive	n/a	I	341.14(a)(1)
Chloral hydrate					
n/a	External analgesic	Fever blister (topical)	n/a	IIE	310.545(a)(10)(v)
Miscellaneous external	External analgesic	Poison ivy/oak/ sumac	Defer	IIE	310.545(a)(10)(vii)
Miscellaneous external	Skin protectant	Poison ivy/oak/ sumac	Defer	IIISE	310.545(a)(10)(vii)(A)
Topical analgesic	External analgesic	Analgesic/anesthetic	IIE	IIE	310.545(a)(10)(i)
Topical analgesic	External analgesic	Counterirritant	n/a	n/a	310.545(a)(10)(ii)
Chlorcyclizine hydrochloride					
Cough/cold	Cough/cold (antihistamine)	Antihistamine	n/a	I	341.12(b)

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Review Panel	Report	Drug Category	Advance Notice Proposed Rulemaking (ANPR)	Proposed Rule (PR)	Federal Register (FR) Listing
Chlorobutanol					
n/a	External analgesic	Fever blister (topical)	n/a	IIIE	310.545(a)(10)(v)
n/a	External analgesic	Poison ivy/oak/ sumac	n/a	IIIE	310.545(a)(10)(vii)
Miscellaneous external	Alcohols (topical)	Antiseptic	IIE	Defer	n/a
Miscellaneous external	Corn/callus remover	Corn/callus remover	IISE	IISE	[55 FR 33261]
Topical analgesic	External analgesic	Analgesic/anesthetic	IIIE	IIIE	310.545(a)(10)(i)
Chloroform					
Cough/cold	Cough/cold (expectorant)	Expectorant	IISE	IISE	310.545(a)(6)(iii)
Miscellaneous internal	Digestive aid	Digestive aid (ippuad)	n/a	n/a	310.545(a)(18)(ii)
Chlorophenothane					
Miscellaneous external	Pediculicide	Pediculicide	IISE	IISE	310.545(a)(25)(i)
Chlorophyllin					
Dental	Relief of oral discomfort	Oral mucosal analgesic	n/a	IIISE	Pending
Chlorophyllin copper complex					
Dental	Oral mucosal injury	Wound healing agent	IIIE	IIIE	310.534(a)
Miscellaneous internal	Deodorants for internal use	Internal deodorant	IIIE	I	357.810(b)
Oral cavity	Oral health care	Antimicrobial	IIIE	IIIE	Pending
Chlorothymol					
Antimicrobial II	Antifungal	Antifungal	IIISE	IIISE	310.545(a)(22)(ii)
Chloroxylonol					
Antimicrobial I	Antimicrobial	First aid antiseptic	n/a	IIIE	Pending
Antimicrobial I	Antimicrobial	Antimicrobial soap	IIISE	IIISE	Pending
Antimicrobial I	Antimicrobial	Preoperative skin preparation	IIISE	IIISE	Pending
Antimicrobial I	Antimicrobial	Skin wound cleanser	IIISE	IIISE	Pending
Antimicrobial I	Antimicrobial	Skin wound protectant	IIISE	IIISE	Pending
Antimicrobial I	Antimicrobial	Skin antiseptic	IIISE	IIISE	Pending
Antimicrobial I	Antimicrobial	Surgical hand scrub	IIISE	IIISE	Pending
Antimicrobial I	Antimicrobial	Health-care personnel handwash	IIIE	IIIE	Pending
Antimicrobial II	Acne	Acne	IIE	IIE	310.545(a)(1)
Antimicrobial II	Antifungal	Antifungal	IIIE	IIIE	310.545(a)(22)(iv)
Miscellaneous external	Antifungal	Diaper rash	Defer	IISE	310.545(a)(22)(i)
Miscellaneous external	Antimicrobial	Diaper rash	Defer	IIISE	Pending
Miscellaneous external	Dandruff/seborrheic dermatitis/psoriasis	Dandruff/seborrheic dermatitis	IIISE	IIISE	310.545(a)(7)
Miscellaneous external	External analgesic	Diaper rash	Defer	n/a	310.545(a)(10)(iv)
Miscellaneous external	Ingrown toenail	Ingrown toenail	IISE	IISE	310.538(a)
Miscellaneous external	Skin protectant	Diaper rash	Defer	n/a	Pending
Chlorpheniramine maleate					
Cough/cold	Cough/cold (antihistamine)	Antihistamine	I	I	341.12(c)
Miscellaneous external	External analgesic	Poison ivy/oak/ sumac	Defer	IISE	310.545(a)(10)(vii)
Miscellaneous external	Skin protectant	Poison ivy/oak/ sumac	Defer	IISE	310.545(a)(10)(vii)(A)

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Review Panel	Report	Drug Category	Advance Notice Proposed Rulemaking (ANPR)	Proposed Rule (PR)	Federal Register (FR) Listing
Chlorprophenpyridamine maleate					
Miscellaneous internal	Menstrual/diuretic	Menstrual	n/a	IISE	310.545(a)(24)(i)
Chlortetracycline hydrochloride					
Antimicrobial II	Antibiotic	First aid antibiotic	n/a	I	333.110(c)
Antimicrobial II	Antibiotic	Skin wound antibiotic	IIIE	Defer	n/a
Antimicrobial II	Antibiotic	Skin wound protectant	I	Defer	n/a
Cloxyquin					
Antimicrobial II	Acne	Acne	IISE	IISE	310.545(a)(1)
Cholecalciferol					
Hemorrhoidal	Anorectal	Wound healing agent (external)	IIIE	IIIE	310.545(a)(26)(x)
Hemorrhoidal	Anorectal	Wound healing agent (intrarectal)	IIIE	IIIE	310.545(a)(26)(x)
Miscellaneous external	Antifungal	Diaper rash	Defer	n/a	310.545(a)(22)(i)
Miscellaneous external	Antimicrobial	Diaper rash	Defer	n/a	Pending
Miscellaneous external	External analgesic	Diaper rash	Defer	n/a	310.545(a)(10)(iv)
Miscellaneous external	Skin protectant	Diaper rash	Defer	IIISE	Pending
Miscellaneous internal	Weight control	Anorectic	IISE	IISE	310.545(a)(20)
Cholesterol					
Miscellaneous external	Boil treatment	Boil treatment	IISE	IISE	310.531(a)
Choline					
Miscellaneous internal	Weight control	Anorectic	IISE	IISE	310.545(a)(20)
Choline salicylate					
Internal analgesic	Internal analgesic	Analgesic	I	I	Pending
Internal analgesic	Internal analgesic	Antipyretic	I	I	Pending
Internal analgesic	Internal analgesic	Antirheumatic	I	Not OTC	n/a
Miscellaneous internal	Menstrual/diuretic	Analgesic	I	I	Pending
Chondrus					
Miscellaneous internal	Weight control	Anorectic	IIIE	IISE	310.545(a)(20)
Cimicifuga racemosa					
Miscellaneous internal	Menstrual/diuretic	Dysmenorrhea	IISE	IISE	310.545(a)(24)(i)
Cinnamedrine hydrochloride					
Miscellaneous internal	Menstrual/diuretic	Smooth muscle relaxant	IIIE	IIIE	Pending
Cinnamon oil					
Miscellaneous internal	Digestive aid	Digestive aid (ippuad)	n/a	n/a	310.545(a)(18)(ii)
Cinnamon tincture					
Miscellaneous internal	Digestive aid	Digestive aid (ippuad)	n/a	n/a	310.545(a)(18)(ii)
Cinoxate					
Topical analgesic	Sunscreen	Sunscreen	I	I	352.10(c)
Citric acid					
Contraceptive/vaginal	Vaginal	Alters vaginal pH	IIIE	Withdrawn	n/a
Dental	Relief of oral discomfort	Tooth desensitizer (in combination only)	IIIE	IIIE	Pending

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Review Panel	Report	Drug Category	Advance Notice Proposed Rulemaking (ANPR)	Proposed Rule (PR)	Federal Register (FR) Listing
Internal analgesic	Internal analgesic	Corrective	I	n/a	n/a
Miscellaneous internal	Digestive aid	Digestive aid (intestinal distress)	IIIE	n/a	310.545(a)(18)(ii)
Miscellaneous internal	Digestive aid	Digestive aid (ippuad)	IIIE	n/a	310.545(a)(18)(ii)
Miscellaneous internal	Weight control	Anorectic	IISE	IISE	310.545(a)(20)
Citric acid/salt					
Antacid	Antacid	Antacid	I	I	331.11(e)
Citrus pectin					
Miscellaneous internal	Digestive aid	Digestive aid (intestinal distress)	n/a	n/a	310.545(a)(18)(ii)
Climbazole					
n/a	TEA	Dandruff	n/a	n/a	n/a
Clioquinol					
Antimicrobial II	Antifungal	Antifungal	I	I	333.210(a)
Cloflucarban					
Antimicrobial I	Antimicrobial	Skin antiseptic	n/a	IISE	Pending
Antimicrobial I	Antimicrobial	Skin wound protectant	IISE	IISE	Pending
Antimicrobial I	Antimicrobial	First aid antiseptic	IISE	IISE	Pending
Antimicrobial I	Antimicrobial	Antimicrobial soap	IIIS	IIIS	Pending
Antimicrobial I	Antimicrobial	Skin wound cleanser	IISE	IIISE	Pending
Antimicrobial I	Antimicrobial	Preoperative skin preparation	IISE	IISE	Pending
Antimicrobial I	Antimicrobial	Surgical hand scrub	IISE	IISE	Pending
Antimicrobial I	Antimicrobial	Health-care personnel handwash	IIISE	IIISE	Pending
Clotrimazole					
n/a	n/a	Antifungal	n/a	I	[67 FR 5942]
Clove					
Miscellaneous internal	Smoking deterrent	Smoking deterrent	IIIE	IIIE	310.544(d)
Clove oil					
Miscellaneous external	External analgesic	Astringent	IISE	n/a	Pending
Miscellaneous external	Skin protectant	Astringent	IISE	IISE	310.545(a)(18)(ii)
Cnicus benedictus					
Miscellaneous internal	Weight control	Anorectic	IISE	IISE	310.545(a)(20)
Coal tar					
Antimicrobial II	Acne	Acne	IISE	IISE	310.545(a)(1)
Antimicrobial II	Antifungal	Antifungal	IISE	IISE	310.545(a)(22)(ii)
Miscellaneous external	Dandruff/seborrheic dermatitis/psoriasis	Psoriasis	I	I	358.710(c)(1)
Coal tar (shampoo)					
Miscellaneous external	Dandruff/seborrheic dermatitis/psoriasis	Dandruff	I	I	358.710(a)(1)
Coal tar (nonshampoo)					
Miscellaneous external	Dandruff/seborrheic dermatitis/psoriasis	Seborrheic dermatitis	IIIE	I	358.710(b)(1)

(Continued)

Review Panel	Report	Drug Category	Advance Notice Proposed Rulemaking (ANPR)	Proposed Rule (PR)	Federal Register (FR) Listing
Cocoa butter					
n/a	Skin protectant	Diaper rash	Defer	IIISE	310.545(a)(18)(iii)
n/a	Skin protectant	Fever blister (topical)	n/a	I	Pending
Hemorrhoidal	Anorectal	Protectant (external)	I	I	346.14(2)
Hemorrhoidal	Anorectal	Protectant (intrarectal)	I	I	346.14(2)
Topical analgesic	Skin protectant	Skin protectant	I	I	347.10(d)
Coconut oil soap, aqueous					
Miscellaneous external	Pediculicide	Pediculicide	IISE	IISE	310.545(a)(25)(i)
Cod liver oil					
n/a	Skin protectant	Skin protectant	n/a	n/a	347.10(e)
Cough/cold	Cold/cough (antitussive)	Antitussive	IIIE	IIIE	[52 FR 30054]
Hemorrhoidal	Anorectal	Wound healing agent (intrarectal)	IIISE	IIISE	310.545(a)(26)(x)
Hemorrhoidal	Anorectal	Wound healing agent (external)	IIISE	IIISE	310.545(a)(26)(x)
Miscellaneous external	Antifungal	Diaper rash	Defer	n/a	310.545(a)(22)(i)
Miscellaneous external	Antimicrobial	Diaper rash	Defer	n/a	Pending
Miscellaneous external	External analgesic	Diaper rash	Defer	n/a	310.545(a)(10)(iv)
Miscellaneous external	Skin protectant	Diaper rash	Defer	I	Pending
Cod liver oil (in combination only)					
Hemorrhoidal	Anorectal	Protectant (external)	I	I	346.14(b)(2)
Hemorrhoidal	Anorectal	Protectant (intrarectal)	I	I	346.14(b)(2)
Codeine					
n/a	Menstrual/diuretic	Menstrual	n/a	n/a	310.545(a)(24)(i)
Cough/cold	Cold/cough (antitussive)	Antitussive	I	I	341.14(a)(2)(i)
Internal analgesic	Internal analgesic	Analgesic	IISE	IISE	310.545(a)(23)(i)
Internal analgesic	Internal analgesic	Antipyretic	IISE	IISE	310.545(a)(23)(i)
Miscellaneous internal	Menstrual/diuretic	Analgesic	IIS	IISE	310.545(a)(24)(i)
Codeine phosphate					
Cough/cold	Cold/cough (antitussive)	Antitussive	I	I	341.14(a)(2)(ii)
Internal analgesic	Internal analgesic	Analgesic	IISE	n/a	310.545(a)(23)(i)
Codeine sulfate					
Cough/cold	Cold/cough (antitussive)	Antitussive	I	I	341.14(a)(2)(iii)
Internal analgesic	Internal analgesic	Analgesic	IISE	n/a	310.545(a)(23)(i)
Collinsonia extract					
Hemorrhoidal	Anorectal	Anorectal (external)	IISE	IISE	310.545(a)(26)(vii)
Hemorrhoidal	Anorectal	Anorectal (intrarectal)	IISE	IISE	310.545(a)(26)(vii)
Miscellaneous internal	Menstrual/diuretic	Diuretic	n/a	IISE	310.545(a)(24)(i)
Colloidal oatmeal					
n/a	Skin protectant	Skin protectant	n/a	n/a	347.10(f)
n/a	Skin protectant	Poison ivy/oak/sumac	n/a	I	347.10(f)
Miscellaneous external	Dandruff/seborrheic dermatitis/psoriasis	Dandruff	IIIE	IIIE	310.545(a)(7)
Miscellaneous external	External analgesic	Astringent	IISE	n/a	Pending
Miscellaneous external	Skin protectant	Astringent	IISE	IIIE	310.545(a)(18)(ii)
Miscellaneous external	Skin protectant	Diaper rash	Defer	IISE	Pending
Colocynth					
Laxative	Laxative	Stimulant laxative	IIS	IIS	310.545(a)(12)(iv)(A)

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Review Panel	Report	Drug Category	Advance Notice Proposed Rulemaking (ANPR)	Proposed Rule (PR)	Federal Register (FR) Listing
		Copper			
Miscellaneous internal	Weight control	Anorectic	IISE	IISE	310.545(a)(20)
		Copper gluconate			
Miscellaneous internal	Weight control	Anorectic	IISE	IISE	310.545(a)(20)
		Copper oleate			
Miscellaneous external	Pediculicide	Pediculicide	IISE	IISE	310.545(a)(25)(i)
		Copper undecylenate			
Antimicrobial II	Antifungal	Antifungal	I	I	333.210(f)
		Coriander			
Miscellaneous internal	Smoking deterrent	Smoking deterrent	IIE	IIE	310.544(d)
		Corn oil			
Miscellaneous internal	Weight control	Anorectic	IISE	IISE	310.545(a)(20)
		Corn oil, aqueous emulsion			
Miscellaneous internal	Cholecystokinetic	Cholecystokinetic	I	I	357.210(a)
		Corn silk			
Miscellaneous internal	Menstrual/diuretic	Diuretic	n/a	IISE	310.545(a)(24)(i)
		Corn silk, potassium extract			
Miscellaneous internal	Weight control	Anorectic	IISE	IISE	310.545(a)(20)
		Corn syrup			
Miscellaneous internal	Weight control	Anorectic	IISE	IISE	310.545(a)(20)
		Couch grass			
Miscellaneous internal	Menstrual/diuretic	Diuretic	n/a	IISE	310.545(a)(24)(i)
		Creosote			
n/a	Skin protectant	Poison ivy/oak/ sumac	n/a	IISE	310.545(a)(18)(vi)(A)
Dental	Relief of oral discomfort	Toothache relief	IIIE	IIIE	Pending
Miscellaneous external	Skin protectant	Poison ivy/oak/sumac	Defer	IISE	310.545(a)(10)(vii)(A)
		Creosote, beechwood			
n/a	Skin protectant	Poison ivy/oak/sumac	n/a	IISE	310.545(a)(18)(vi)
Cough/cold	Cold/cough (antitussive)	Antitussive	IIIE	IIIE	[52 FR 30054]
Cough/cold	Cough/cold (expectorant)	Expectorant	IIIE	IIIE	310.545(a)(6)(iii)
Cough/cold	Cough/cold (nasal decongestant)	Nasal decongestant (oral)	IIIE	IIIE	310.545(a)(6)(ii)(A)
Cough/cold	Cough/cold (nasal decongestant)	Nasal decongestant (topical)	IIIE	IIIE	310.545(a)(6)(ii)(B)
Dental	Relief of oral discomfort	Toothache relief	IIISE	IIISE	Pending
Miscellaneous external	External analgesic	Poison ivy/oak/sumac	Defer	IISE	310.545(a)(10)(vii)
		Cresol			
Antimicrobial II	Antifungal	Antifungal	IIISE	IIISE	310.545(a)(22)(iv)
Dental	Relief of oral discomfort	Toothache relief	IIISE	IIISE	Pending
Miscellaneous external	External analgesic	Astringent	IISE	n/a	Pending
Miscellaneous external	Astringent	Antiseptic	IIE	Defer	n/a
Miscellaneous external	Skin protectant	Astringent	IISE	IISE	310.545(a)(18)(ii)
Oral cavity	Oral health care	Antimicrobial	IIS	IISE	Pending
Oral cavity	Oral health care	Analgesic/anesthetic	IISE	IISE	310.545(a)(14)
Oral cavity	Relief of oral discomfort	Oral mucosal analgesic	IIISE	IIISE	Pending

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Review Panel	Report	Drug Category	Advance Notice Proposed Rulemaking (ANPR)	Proposed Rule (PR)	Federal Register (FR) Listing
		Cresol saponated			
Miscellaneous external	Dandruff/seborrheic dermatitis/psoriasis	Psoriasis	IIE	IIE	310.545(a)(7)
		Cupric sulfate			
Miscellaneous external	External analgesic	Astringent	IIE	n/a	Pending
Miscellaneous external	Skin protectant	Astringent	IIE	IIE	310.545(a)(18)(ii)
Miscellaneous internal	Weight control	Anorectic	IIE	IIE	310.545(a)(20)
		Cyanocobalamin (vitamin B12)			
Miscellaneous internal	Weight control	Anorectic	IIE	IIE	310.545(a)(20)
		Cyclizine hydrochloride			
Laxative	Antiemetic	Antiemetic	I	I	336.10(a)
		Cyclomethycaine sulfate			
n/a	External analgesic	Fever blister (topical)	n/a	IIIE	310.545(a)(10)(v)
n/a	External analgesic	Poison ivy/oak/ sumac	n/a	IIIE	310.545(a)(10)(vii)
Internal analgesic	External analgesic	Analgesic/anesthetic	IIIE	IIIE	310.545(a)(10)(i)
		Cysteine hydrochloride			
Miscellaneous external	Antifungal	Diaper rash	Defer	n/a	310.545(a)(22)(i)
Miscellaneous external	Antimicrobial	Diaper rash	Defer	n/a	Pending
Miscellaneous external	External analgesic	Diaper rash	Defer	n/a	310.545(a)(10)(iv)
Miscellaneous external	Skin protectant	Diaper rash	Defer	IIIE	310.545(a)(18)(iii)
		Cystine			
Miscellaneous internal	Weight control	Anorectic	IIE	IIE	310.545(a)(20)
		Danthron			
Laxative	Laxative	Stimulant laxative	I	IIS	310.545(a)(12)(iv)(B)
		Dehydrocholic acid			
Laxative	Laxative	Stimulant laxative	I	I	Pending
Miscellaneous internal	Digestive aid	Digestive aid (intestinal distress)	IIE	IIE	310.545(a)(8)(i)
Miscellaneous internal	Digestive aid	Digestive aid (ippuad)	IIE	IIE	310.545(a)(8)(i)
		Denatonium benzoate			
Miscellaneous external	Nailbiting/thumbsucking	Nailbiting/ thumbsucking deterrent	IIIE	IIIE	310.536(a)
		Dequalinium chloride			
Oral cavity	Oral health care	Antimicrobial	IIIE	IIIE	Pending
		Dexbrompheniramine maleate			
Cough/cold	Cough/cold (antihistamine)	Antihistamine	IIIE	I	341.12(d)
Cough/cold	Cough/cold (antihistamine)	Antihistamine	n/a	I	341.12(f)
		Dexchlorpheniramine maleate			
Cough/cold	Cough/cold (antihistamine)	Antihistamine	n/a	I	341.12(e)
		Dexpanthenol			
n/a	External analgesic	Poison ivy/oak/ sumac	n/a	IIIE	310.545(a)(10)(vii)

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Review Panel	Report	Drug Category	Advance Notice Proposed Rulemaking (ANPR)	Proposed Rule (PR)	Federal Register (FR) Listing
Miscellaneous external	Antifungal	Diaper rash	Defer	n/a	310.545(a)(22)(i)
Miscellaneous external	External analgesic	Diaper rash	Defer	n/a	310.545(a)(10)(iv)
Miscellaneous external	External analgesic	Insect bite/sting	n/a	IIIE	Pending
Miscellaneous external	Hair growth/loss	Hair grower	n/a	IIE	310.527(a)
Miscellaneous external	Skin protectant	Diaper rash	Defer	IIISE	Pending
Dextran 70					
Ophthalmic	Ophthalmic	Demulcent	I	I	349.12(b)
Dextromethorphan					
Cough/cold	Cold/cough (antitussive)	Antitussive	I	I	341.14(a)(3)
Dextromethorphan hydrobromide					
Cough/cold	Cough/cold (antitussive)	Antitussive	n/a	n/a	341.14(a)(4)
Dextrose					
Miscellaneous internal	Weight control	Anorectic	IISE	IISE	310.545(a)(20)
Diastase					
Miscellaneous internal	Digestive aid	Digestive aid (ippuad)	n/a	n/a	310.545(a)(18)(ii)
Diastase malt					
Miscellaneous internal	Digestive aid	Digestive aid (intestinal distress)	n/a	n/a	310.545(a)(18)(ii)
Dibenzothiophene					
Antimicrobial II	Acne	Acne	IIS	IIS	310.545(a)(1)
Dibucaine					
n/a	External analgesic	Poison ivy/oak/ sumac	n/a	I	Pending
Hemorrhoidal	Anorectal	Anesthetic (intrarectal)	IIISE	IIISE	[55 FR 1779]
Hemorrhoidal	Anorectal	Anesthetic (external)	IIIS	I	346.10(d)
Miscellaneous external	Antifungal	Diaper rash	Defer	n/a	310.545(a)(22)(i)
Miscellaneous external	Antimicrobial	Diaper rash	Defer	n/a	Pending
Miscellaneous external	External analgesic	Diaper rash	Defer	IIISE	310.545(a)(10)(iv)
Miscellaneous external	External analgesic	Fever blister (topical)	Defer	I	Pending
Miscellaneous external	Skin protectant	Diaper rash	Defer	n/a	Pending
Oral cavity	Oral health care	Analgesic/anesthetic	IIS	IIS	310.545(a)(14)
Topical analgesic	External analgesic	Analgesic/anesthetic	I	I	Pending
Dibucaine hydrochloride					
n/a	External analgesic	Fever blister (topical)	n/a	I	Pending
n/a	External analgesic	Poison ivy/oak/ sumac	n/a	I	Pending
Hemorrhoidal	Anorectal	Anesthetic (external)	IIIS	I	346.10(c)
Hemorrhoidal	Anorectal	Anesthetic (intrarectal)	IIISE	IIISE	[55 FR 1779]
Oral cavity	Oral health care	Analgesic/anesthetic	IIS	IIS	310.545(a)(14)
Topical analgesic	External analgesic	Analgesic/anesthetic	I	I	Pending
Dicalcium phosphate dihydrate (see Calcium phosphate, dibasic)					
n/a	Gingivitis/plaque	Gingivitis/antiplaque	n/a	IIIE	Pending
Dichlorophen					
Antimicrobial II	Antifungal	Antifungal	IIISE	IIISE	310.545(a)(22)(ii)
Miscellaneous external	Hair growth/loss	Hair grower	IISE	n/a	310.527(a)
Diethanolamine methoxycinnamate					
Topical analgesic	Sunscreen	Sunscreen	I	I	310.545(a)(29)

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Review Panel	Report	Drug Category	Advance Notice Proposed Rulemaking (ANPR)	Proposed Rule (PR)	Federal Register (FR) Listing
Diethylhexyl butamido triazone (Uvasorb HEB)					
n/a	Sunscreen	Sunscreen	n/a	n/a	n/a
Digalloyltrioleate					
Topical analgesic	Sunscreen	Sunscreen	I	I	310.545(a)(29)
Dihydroxyaluminum aminoacetate					
Antacid	Antacid	Antacid	I	I	331.11(a)(3)
Dihydroxyaluminum aminoacetic acid					
Antacid	Antacid	Antacid	n/a	n/a	331.11(a)(3)
Internal analgesic	Internal analgesic	Corrective	I	n/a	n/a
Dihydroxyaluminum sodium carbonate					
Antacid	Antacid	Antacid	I	I	331.11(a)(5)
Internal analgesic	Internal analgesic	Corrective	I	n/a	n/a
Miscellaneous internal	Digestive aid	Digestive aid (ippuad)	IIIE	IIIE	310.545(a)(8)(i)
Dimenhydrinate					
Laxative	Antiemetic	Antiemetic	I	I	336.10(b)
Dimethicone					
n/a	Skin protectant	Diaper rash	n/a	I	Pending
n/a	Skin protectant	Fever blister (topical)	n/a	I	Pending
Topical analgesic	Skin protectant	Skin protectant	I	I	347.10(g)
Dimethisoquin hydrochloride					
n/a	External analgesic	Poison ivy/oak/ sumac	n/a	I	Pending
n/a	External analgesic	Fever blister (topical)	n/a	I	Pending
Topical analgesic	External analgesic	Analgesic/anesthetic	I	I	Pending
Dioxybenzone					
Topical analgesic	Sunscreen	Sunscreen	I	I	352.10(e)
Diperodon					
Hemorrhoidal	Anorectal	Anesthetic (intra-rectal)	IIISE	IIISE	310.545(a)(26)(vi)
Hemorrhoidal	Anorectal	Anesthetic (external)	IIE	IIIE	310.545(a)(26)(vi)
Diperodon hydrochloride					
Miscellaneous external	Antifungal	Diaper rash	Defer	n/a	310.545(a)(22)(i)
Miscellaneous external	Antimicrobial	Diaper rash	Defer	n/a	Pending
Miscellaneous external	External analgesic	Diaper rash	Defer	n/a	310.545(a)(10)(iv)
Miscellaneous external	External analgesic	Poison ivy/oak/sumac	Defer	IISE	310.545(a)(10)(vii)
Miscellaneous external	Skin protectant	Diaper rash	Defer	n/a	Pending
Miscellaneous external	Skin protectant	Poison ivy/oak/sumac	Defer	IISE	310.545(a)(10)(vii)(A)
Miscellaneous external	Corn/callus remover	Corn/callus remover	IISE	IISE	[55 FR 33261]
Diphenhydramine citrate					
Cough/cold	Cough/cold (antitussive)	Antitussive	n/a	n/a	341.14(a)(5)
Cough/cold	Cough/cold (antihistamine)	Antihistamine	n/a	n/a	341.12(f)
Sedative	Nighttime sleep aid	Sleep aid	n/a	n/a	338.10(b)
Diphenhydramine hydrochloride					
n/a	External analgesic	Fever blister (topical)	n/a	I	Pending
Cough/cold	Cough/cold (antitussive)	Antitussive	I	IIIE	341.14(a)(6)
Cough/cold	Cough/cold (antihistamine)	Antihistamine	I	I	341.12(g)

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Review Panel	Report	Drug Category	Advance Notice Proposed Rulemaking (ANPR)	Proposed Rule (PR)	Federal Register (FR) Listing
Laxative	Antiemetic	Antiemetic	IIIE	IIIE	336.10(c)
Miscellaneous external	External analgesic	Poison ivy/oak/sumac	Defer	I	Pending
Miscellaneous external	Skin protectant	Poison ivy/oak/sumac	Defer	IISE	310.545(a)(18)(vi)(A)
Sedative	Daytime sedative	Sedative	IISE	IISE	310.519(a)
Sedative	Nighttime sleep aid	Sleep aid	IIISE	IIISE	336.10(a)
Topical analgesic	External analgesic	Analgesic/anesthetic	I	I	Pending
Dipropylene glycol salicylate					
Topical analgesic	Sunscreen	Sunscreen	IIISE	IIISE	[64 FR 27682]
Docusate calcium					
Laxative	Laxative	Stool softener	I	I	Pending
Docusate potassium					
Laxative	Laxative	Stool softener	I	I	Pending
Docusate sodium					
Contraceptive/vaginal	Vaginal	Lowers surface tension, mucolytic effects	I	Withdrawn	n/a
Laxative	Laxative	Stool softener	I	I	Pending
Miscellaneous external	Pediculicide	Pediculicide	IISE	IISE	310.545(a)(25)(i)
Miscellaneous internal	Weight control	Anorectic	IISE	IISE	310.545(a)(20)
Dodecaethylene glycol monolaurate					
Contraceptive/vaginal	Contraceptive (vaginal)	Contraceptive	IIIE	Withdrawn	310.545(a)(28)
Dog grass					
Miscellaneous internal	Digestive aid	Digestive aid (ippuad)	n/a	n/a	310.545(a)(18)(ii)
Dog grass extract					
Miscellaneous internal	Menstrual/diuretic	Diuretic	n/a	IISE	310.545(a)(24)(i)
Domiphen bromide					
Oral cavity	Oral health care	Antimicrobial	IIISE	IIISE	Pending
Dong quai					
Miscellaneous internal	Aphrodisiac	Aphrodisiac	IISE	IISE	310.528(a)
Doxylamine succinate					
Cough/cold	Cough/cold (antihistamine)	Antihistamine	I	I	341.12(h)
Sedative	Daytime sedative	Sedative	IISE	IISE	310.519(a)
Sedative	Nighttime sleep aid	Sleep aid	IIISE	IIISE	[54 FR 6826]
Duodenal substance					
Miscellaneous internal	Digestive aid	Digestive aid (intestinal distress)	IISE	IISE	310.545(a)(8)(i)
Dyclonine hydrochloride					
n/a	External analgesic	Fever blister (topical)	n/a	I	Pending
n/a	External analgesic	Poison ivy/oak/sumac	n/a	I	Pending
Hemorrhoidal	Anorectal	Anesthetic (external)	IIIE	I	346.10(e)
Hemorrhoidal	Anorectal	Anesthetic (intrarectal)	IIIE	IIIE	[55 FR 1779]
Oral cavity	Oral health care	Analgesic/anesthetic	I	I	Pending
Topical analgesic	External analgesic	Analgesic/anesthetic	I	I	Pending
E. coli vaccines					
Hemorrhoidal	Anorectal	Anorectal (external)	IISE	IISE	310.545(a)(26)(vii)

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Review Panel	Report	Drug Category	Advance Notice Proposed Rulemaking (ANPR)	Proposed Rule (PR)	Federal Register (FR) Listing
Hemorrhoidal	Anorectal	Anorectal (intrarectal)	IISE	IISE	310.545(a)(26)(vii)
		Edetate disodium			
Contraceptive/vaginal	Vaginal	Minor irritations	IIISE	Withdrawn	n/a
Dental	Relief of oral discomfort	Tooth desensitizer (in combination only)	IISE	IISE	Pending
		Edetate sodium			
Contraceptive/vaginal	Vaginal	Minor irritations	IIISE	Withdrawn	n/a
		Elaterin resin			
Laxative	Laxative	Stimulant laxative	IIS	IIS	310.545(a)(12)(iv)(A)
		Elecampane			
Miscellaneous internal	Digestive aid	Digestive aid (ippuad)	n/a	n/a	310.545(a)(18)(ii)
		Elm bark			
Cough/cold	Cough/cold (antitussive)	Antitussive	IIIE	IIIE	[52 FR 30054]
Oral cavity	Oral health care	Demulcent	I	I	Pending
		Ensulizole			
Topical analgesic	Sunscreen	Sunscreen	I	I	352.10(n)
		Enzacamene			
n/a	TEA	Sunscreen	n/a	n/a	n/a
		Ephedrine			
Cough/cold	Cough/cold (bronchodilator)	Bronchodilator	I	I	341.16(a)
Cough/cold	Cough/cold (nasal decongestant)	Nasal decongestant (topical/inhalant)	I	I	341.20(b)(1)
Cough/cold	Cough/cold (nasal decongestant)	Nasal decongestant (oral)	IIIE	IIIE	310.545(a)(6)(ii)(B)
		Ephedrine (any ingredient)			
n/a	Menstrual/diuretic	Menstrual	n/a	n/a	310.545(a)(24)(ii)
n/a	Internal analgesic	Analgesic	n/a	n/a	310.545(a)(23)(ii)
		Ephedrine hydrochloride			
Cough/cold	Cough/cold (bronchodilator)	Bronchodilator	I	I	341.16(b)
Miscellaneous external	Male genital desensitizer	Male genital desensitizer	IIISE	IIISE	310.545(a)(10)(iii)
Ophthalmic	Ophthalmic	Vasoconstrictor	I	I	349.18(a)
Cough/cold	Cough/cold (nasal decongestant)	Nasal decongestant (oral)	IIIE	IIIE	310.545(a)(6)(ii)(B)
Cough/cold	Cough/cold (nasal decongestant)	Nasal decongestant (topical/inhalant)	I	I	341.20(b)(3)
		Ephedrine sulfate			
Cough/cold	Cough/cold (bronchodilator)	Bronchodilator	I	I	341.16(c)
Cough/cold	Cough/cold (nasal decongestant)	Nasal decongestant (topical/inhalant)	I	I	341.20(b)(4)
Hemorrhoidal	Anorectal	Vasoconstrictor (intrarectal)	I	I	346.12(a)

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Review Panel	Report	Drug Category	Advance Notice Proposed Rulemaking (ANPR)	Proposed Rule (PR)	Federal Register (FR) Listing
Hemorrhoidal	Anorectal	Vasoconstrictor (external)	I	I	346.10(a)
Cough/cold	Cough/cold (nasal decongestant)	Nasal decongestant (oral)	IIIE	IIIE	310.545(a)(6)(ii)(B)
Epinephrine					
Cough/cold	Cough/cold (bronchodilator)	Bronchodilator (inhalation)	I	I	341.16(d)
Hemorrhoidal	Anorectal	Vasoconstrictor (external)	IIISE	I	346.10(b)
Hemorrhoidal	Anorectal	Vasoconstrictor (intrarectal)	IIISE	IIISE	346.10(b)
Epinephrine bitartrate					
Cough/cold	Cough/cold (bronchodilator)	Bronchodilator (inhalation)	I	I	341.16(e)
Epinephrine hydrochloride					
Hemorrhoidal	Anorectal	Vasoconstrictor (external)	I	I	346.10(c)
Hemorrhoidal	Anorectal	Vasoconstrictor (intrarectal)	IIE	IIE	346.10(c)
Epinephrine undecylenate					
Hemorrhoidal	Anorectal	Vasoconstrictor (external)	IIISE	IIISE	310.545(a)(26)(ix)
Hemorrhoidal	Anorectal	Vasoconstrictor (intrarectal)	IIE	IIE	310.545(a)(26)(ix)
Ergocalciferol					
Contraceptive/vaginal	Vaginal	Minor irritations	IIIE	Withdrawn	
Miscellaneous external	Antifungal	Diaper rash	Defer	n/a	310.545(a)(22)(i)
Miscellaneous external	Antimicrobial	Diaper rash	Defer	n/a	Pending
Miscellaneous external	External analgesic	Diaper rash	Defer	n/a	310.545(a)(10)(iv)
Miscellaneous external	Skin protectant	Diaper rash	Defer	n/a	Pending
Miscellaneous internal	Weight control	Anorectic	IISE	IISE	310.545(a)(20)
Ergot fluid extract					
Miscellaneous external	Boil treatment	Boil treatment	IISE	IISE	310.531(a)
Miscellaneous external	External analgesic	Insect bite/sting	IISE	IISE	310.545(a)(10)(vi)
Miscellaneous external	Skin protectant	Insect bite/sting	IISE	IISE	310.545(a)(18)(v)(A)
Escalol 506 (see Padimate A)					
Essential oils					
Miscellaneous external	Hair growth/loss	Hair grower	IISE	n/a	310.527(a)
Estradiol					
Miscellaneous external	Hair growth/loss	Hair grower	IIE	IIE	310.527(a)
Estrogens					
Miscellaneous external	Hormone	Hormone	IIE	IIE	310.530(a)
Miscellaneous internal	Aphrodisiac	Aphrodisiac	IIS	IIS	310.528(a)
Estrone					
Antimicrobial II	Acne	Acne	IISE	IISE	310.545(a)(1)

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Review Panel	Report	Drug Category	Advance Notice Proposed Rulemaking (ANPR)	Proposed Rule (PR)	Federal Register (FR) Listing
Ether					
Miscellaneous internal	Digestive aid	Digestive aid (ippuad)	n/a	n/a	310.545(a)(18)(ii)
Ethohexadiol					
Miscellaneous external	Dandruff/seborrheic dermatitis/psoriasis	Dandruff	IIIE	IIIE	310.545(a)(7)
Ethoxylated alkyl alcohol					
Miscellaneous external	External analgesic	Insect bite/sting	IISE	IISE	310.545(a)(10)(vi)
Miscellaneous external	Skin protectant	Insect bite/sting	IISE	IISE	310.545(a)(18)(v)
Ethyl 4-[bis(hydroxypropyl)] aminobenzoate					
Topical analgesic	Sunscreen	Sunscreen	I	I	310.545(a)(29)
Ethyl nitrate					
Miscellaneous internal	Menstrual/diuretic	Diuretic	n/a	IISE	310.545(a)(24)(i)
Ethylmorphine hydrochloride					
Cough/	Cough/cold (antitussive)	Antitussive	IIIE	IIIE	[52 FR 30054]
Eucalyptol					
Cough/cold	Cough/cold (antitussive)	Antitussive (topical/inhalant)	IIIE	IIIE	[52 FR 30055]
Cough/cold	Cough/cold (antitussive)	Antitussive (mouthwash)	IIIE	IIIE	[52 FR 30055]
Cough/cold	Cough/cold (antitussive)	Antitussive (lozenge)	IIIE	IIIE	[52 FR 30054]
Cough/cold	Cough/cold (expectorant)	Expectorant (lozenge)	IIIE	IIIE	310.545(a)(6)(iii)
Cough/cold	Cough/cold (expectorant)	Expectorant (topical/inhalant)	IIIE	IIIE	310.545(a)(6)(iii)
Cough/cold	Cough/cold (nasal decongestant)	Nasal decongestant (topical/inhalant)	IIIE	IIIE	310.545(a)(6)(ii)(A)
Cough/cold	Cough/cold (nasal decongestant)	Nasal decongestant (mouthwash)	IIIE	IIIE	310.545(a)(6)(ii)(A)
Cough/cold	Cough/cold (nasal decongestant)	Nasal decongestant (lozenge)	IIIE	IIIE	310.545(a)(6)(ii)(A)
Cough/cold	Cough/cold (nasal decongestant)	Nasal decongestant (lozenge)	IIIE	IIIE	310.545(a)(6)(ii)(A)
Miscellaneous external	Antifungal	Diaper rash	Defer	n/a	310.545(a)(22)(i)
Miscellaneous external	Antimicrobial	Diaper rash	Defer	n/a	Pending
Miscellaneous external	Dandruff/seborrheic dermatitis/psoriasis	Dandruff	IIIE	IIIE	310.545(a)(7)
Miscellaneous external	External analgesic	Diaper rash	Defer	IISE	310.545(a)(10)(iv)
Miscellaneous external	Skin protectant	Diaper rash	Defer	n/a	Pending
Oral cavity	Oral health care	Antimicrobial	IIIE	IIIE	Pending
Oral cavity	Oral health care	Analgesic/anesthetic	IIIE	IIIE	310.545(a)(14)
Eucalyptol, menthol, methyl salicylate, and thymol					
n/a	Gingivitis/plaque	Antiplaque/gingivitis	I	n/a	pending
Eucalyptus oil					
n/a	External analgesic	Fever blister (topical)	n/a	IIIE	310.545(a)(10)(v)
Cough/cold	Cough/cold (antitussive)	Antitussive (mouthwash)	IIIE	IIIE	[52 FR 30055]
Cough/cold	Cough/cold (antitussive)	Antitussive (lozenge)	IIIE	IIIE	[52 FR 30054]

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Review Panel	Report	Drug Category	Advance Notice Proposed Rulemaking (ANPR)	Proposed Rule (PR)	Federal Register (FR) Listing
Cough/cold	Cough/cold (antitussive)	Antitussive (topical/inhalant)	IIIE	IIIE	[52 FR 30055]
Cough/cold	Cough/cold (expectorant)	Expectorant (lozenge)	IIIE	IIIE	310.545(a)(6)(iii)
Cough/cold	Cough/cold (expectorant)	Expectorant (topical/inhalant)	IIIE	IIIE	310.545(a)(6)(iii)
Cough/cold	Cough/cold (nasal decongestant)	Nasal decongestant (mouthwash)	IIIE	IIIE	310.545(a)(6)(ii)(A)
Cough/cold	Cough/cold (nasal decongestant)	Nasal decongestant (inhalant room spray)	IIIE	IIIE	[59 FR 43408]
Cough/cold	Cough/cold (nasal decongestant)	Nasal decongestant (lozenge)	IIIE	IIIE	310.545(a)(6)(ii)(A)
Miscellaneous external	Antifungal	Diaper rash	Defer	n/a	310.545(a)(22)(i)
Miscellaneous external	Antimicrobial	Diaper rash	Defer	n/a	Pending
Miscellaneous external	External analgesic	Astringent	IISE	n/a	Pending
Miscellaneous external	External analgesic	Diaper rash	Defer	n/a	310.545(a)(10)(iv)
Miscellaneous external	External analgesic	Poison ivy/oak/sumac	Defer	IISE	310.545(a)(10)(vii)
Miscellaneous external	Hair growth/loss	Hair grower	IISE	n/a	310.527(a)
Miscellaneous external	Skin protectant	Astringent	IISE	IISE	310.545(a)(18)(ii)
Miscellaneous external	Skin protectant	Diaper rash	Defer	n/a	Pending
Miscellaneous external	Skin protectant	Poison ivy/oak/sumac	Defer	IISE	310.545(a)(18)(vi)
Miscellaneous internal	Smoking deterrent	Smoking deterrent	IIE	IIE	310.544(d)
Topical analgesic	External analgesic	Counterirritant	IIIE	IIIE	310.545(a)(10)(ii)
Eugenol					
n/a	External analgesic	Poison ivy/oak/sumac	n/a	IIIE	310.545(a)(10)(vii)
Dental	Relief of oral discomfort	Toothache relief	I	IIISE	Pending
Miscellaneous external	External analgesic	Astringent	IISE	n/a	Pending
Miscellaneous external	External analgesic	Fever blister (topical)	Defer	IIIE	310.545(a)(10)(v)
Miscellaneous external	Skin protectant	Astringent	IISE	IISE	310.545(a)(18)(ii)
Topical analgesic	External analgesic	Analgesic/anesthetic	IIIE	IIIE	310.545(a)(10)(i)
Euphorbia pilulifera					
Cough/cold	Cough/cold (bronchodilator)	Bronchodilator	IIIE	IIIE	310.545(a)(6)(iv)(A)
Fatty acids					
Miscellaneous external	Hair growth/loss	Hair grower	IISE	n/a	310.527(a)
Fennel acid					
Miscellaneous internal	Digestive aid	Digestive aid (intestinal distress)	n/a	n/a	310.545(a)(18)(ii)
Ferric ammonium citrate					
Miscellaneous internal	Weight control	Anorectic	IISE	IISE	310.545(a)(20)
Ferric chloride					
Miscellaneous external	External analgesic	Insect bite/sting	IISE	IISE	310.545(a)(10)(vi)
Miscellaneous external	Skin protectant	Insect bite/sting	IISE	IISE	310.545(a)(18)(v)(A)
Miscellaneous external	Skin protectant	Poison ivy/oak/sumac	IISE	IISE	310.545(a)(18)(vi)(A)
Miscellaneous internal	Menstrual/diuretic	Diuretic	n/a	IISE	310.545(a)(24)(i)
Oral cavity	Oral health care	Antimicrobial	IISE	IISE	Pending
Ferric pyrophosphate					
Miscellaneous internal	Weight control	Anorectic	IISE	IISE	310.545(a)(20)

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Review Panel	Report	Drug Category	Advance Notice Proposed Rulemaking (ANPR)	Proposed Rule (PR)	Federal Register (FR) Listing
		Ferric subsulfate			
Miscellaneous external	External analgesic	Astringent	IISE	n/a	Pending
Miscellaneous external	Skin protectant	Astringent	IISE	IISE	310.545(a)(18)(ii)
		Ferrous fumarate			
Miscellaneous internal	Weight control	Anorectic	IISE	IISE	310.545(a)(20)
		Ferrous gluconate			
Miscellaneous internal	Weight control	Anorectic	IISE	IISE	310.545(a)(20)
		Ferrous sulfate			
Miscellaneous internal	Menstrual/diuretic	Premenstrual/ menstrual period	n/a	IISE	310.545(a)(24)(i)
Miscellaneous internal	Weight control	Anorectic	IISE	IISE	310.545(a)(20)
		Flax seed			
Miscellaneous internal	Weight control	Anorectic	IISE	IISE	310.545(a)(20)
		Fluorosalan			
Antimicrobial I	Antimicrobial	Antimicrobial	IIS	IIS	Pending
		Folic acid			
Miscellaneous internal	Weight control	Anorectic	IISE	IISE	310.545(a)(20)
		Formaldehyde solution			
Dental	Relief of oral discomfort	Tooth desensitizer	IIIE	IIIE	Pending
		Formic acid			
Miscellaneous external	Pediculicide	Pediculicide	n/a	n/a	310.545(a)(25)(i)
		Frangula			
Laxative	Laxative	Stimulant laxative	IIISE	IIISE	310.545(a)(12)(iv)(A)
		Fructose			
Miscellaneous internal	Overindulgence in alcohol/food	Minimize inebriation	IIIE	IIIE	[48 FR 32873]
Miscellaneous internal	Weight control	Anorectic	IISE	IISE	310.545(a)(20)
		Galega			
Miscellaneous internal	Digestive aid	Digestive aid (ippuad)	n/a	n/a	310.545(a)(18)(ii)
		Gamboge			
Laxative	Laxative	Stimulant laxative	IIS	IIS	310.545(a)(12)(iv)(A)
		Garlic, dehydrated			
Miscellaneous internal	Digestive aid	Digestive aid (intestinal distress)	IIE	IIE	310.545(a)(8)(i)
Miscellaneous internal	Digestive aid	Digestive aid (ippuad)	IIE	IIE	310.545(a)(8)(i)
		Gastric mucin			
Antacid	Antacid	Antacid	IIIE	IIIE	[39 FR 19873]
		Gelatin			
Oral cavity	Oral health care	Demulcent	I	I	Pending
Ophthalmic	Ophthalmic	Demulcent	I	I	349.12(c)
		Gentian violet			
Miscellaneous internal	Anthelmintic	Anthelmintic	I	IIS	[51 FR 27758]
Oral cavity	Oral health care	Antimicrobial	IIIE	IIE	Pending

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Review Panel	Report	Drug Category	Advance Notice Proposed Rulemaking (ANPR)	Proposed Rule (PR)	Federal Register (FR) Listing
		Gentiana lutea (gentian)			
Miscellaneous internal	Menstrual/diuretic	Premenstrual/ menstrual period	n/a	IISE	310.545(a)(24)(i)
		Ginger			
Miscellaneous internal	Digestive aid	Digestive aid (ippuad)	n/a	n/a	310.545(a)(18)(ii)
		Ginger, Jamaica			
Miscellaneous internal	Smoking deterrent	Smoking deterrent	IIE	IIE	310.544(d)
		Ginseng			
Miscellaneous internal	Aphrodisiac	Aphrodisiac	IISE	IISE	310.528(a)
Sedative	Stimulant	Stimulant	IIE	IIE	[39 FR 6104]
		Ginseng, Korean			
Miscellaneous internal	Aphrodisiac	Aphrodisiac	IISE	IISE	310.528(a)
		Glutamic acid			
Miscellaneous internal	Benign prostatic hypertrophy	Benign prostatic hypertrophy	IIE	IIISE	310.532((a))
		Glutamic acid hydrochloride			
Miscellaneous internal	Digestive aid	Digestive aid (ippuad)	IIE	IIE	310.545(a)(8)(i)
Miscellaneous internal	Digestive aid	Digestive aid (intestinal distress)	IIE	IIE	310.545(a)(8)(i)
Miscellaneous internal	Stomach acidifier	Stomach acidifier	IIE	IIE	310.540(a)
		Glycerin			
Hemorrhoidal	Anorectal	Antiseptic (intrarectal)	IISE	IISE	310.545(a)(26)(ii)
Hemorrhoidal	Anorectal	Antiseptic (external)	IISE	IISE	310.545(a)(26)(ii)
Hemorrhoidal	Anorectal	Protectant (external)	I	I	346.14(3)
Hemorrhoidal	Anorectal	Protectant (intrarectal)	I	I	346.14(3)
Laxative	Laxative	Hyperosmotic laxative	I	I	Pending
Miscellaneous external	Antifungal	Diaper rash	Defer	n/a	310.545(a)(22)(i)
Miscellaneous external	Antimicrobial	Diaper rash	Defer	n/a	Pending
Miscellaneous external	External analgesic	Diaper rash	Defer	DEF	310.545(a)(10)(iv)
Miscellaneous external	External analgesic	Poison ivy/oak/sumac	Defer	IISE	310.545(a)(10)(vii)
Miscellaneous external	Skin protectant	Diaper rash	Defer	IIISE	310.545(a)(18)(iii)
Miscellaneous external	Skin protectant	Fever blister (topical)	Defer	I	Pending
Miscellaneous external	Skin protectant	Poison ivy/oak/sumac	Defer	IISE	310.545(a)(18)(vi)(A)
Oral cavity	Oral health care	Demulcent	I	I	Pending
Ophthalmic	Ophthalmic	Demulcent	I	I	349.12(d)(1)
Topical analgesic	Otic	Ear wax softening agent	I	IIIE	[51 FR 28660]
Topical analgesic	Skin protectant	Skin protectant	I	I	347.10(h)
		Glycerin, anhydrous			
n/a	Otic	Drying water-clogged ears	n/a	IIIE	310.545(a)(15)(ii)
n/a	Otic	Swimmer's ear prevention	n/a	IIIE	310.545(a)(15)(ii)
		Glyceryl aminobenzoate			
Topical analgesic	Sunscreen	Sunscreen	I	I	310.545(a)(29)
		Glycine			
Antacid	Antacid	Antacid	I	I	331.11(f)
Internal analgesic	Internal analgesic	Corrective	I	n/a	Pending

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Review Panel	Report	Drug Category	Advance Notice Proposed Rulemaking (ANPR)	Proposed Rule (PR)	Federal Register (FR) Listing
Laxative	Antidiarrheal	Antidiarrheal	IIE	IIE	310.545(a)(3)(i)
Laxative	Antiemetic	Antiemetic	IIE	IIE	[52 FR 15891]
Miscellaneous internal	Benign prostatic hypertrophy	Benign prostatic hypertrophy	IIE	IIISE	310.532(a)
Miscellaneous internal	Digestive aid	Digestive aid (ippuad)	n/a	n/a	310.545(a)(18)(ii)
Glycol salicylate					
n/a	External analgesic	Poison ivy/oak/sumac	n/a	IIIE	310.545(a)(10)(vii)
Miscellaneous external	External analgesic	Fever blister (topical)	Defer	IIIE	310.545(a)(10)(v)
Topical analgesic	External analgesic	Analgesic/anesthetic	IIIE	IIIE	Pending
Glycyrrhiza					
Miscellaneous internal	Aphrodisiac	Aphrodisiac	IIE	IISE	310.528(a)
Miscellaneous internal	Menstrual/diuretic	Premenstrual/ menstrual period	n/a	IISE	310.545(a)(24)(i)
Golden seal (see also Hydrastis)					
Miscellaneous internal	Aphrodisiac	Aphrodisiac	IISE	IISE	310.528(a)
Gotu kola					
Miscellaneous internal	Aphrodisiac	Aphrodisiac	IISE	IISE	310.528(a)
Gramicidin					
Antimicrobial II	Antibiotic	First aid antibiotic	n/a	IIISE	[52 FR 47322]
Antimicrobial II	Antibiotic	Skin wound protectant	IIS	Defer	[52 FR 47322]
Antimicrobial II	Antibiotic	Skin wound antibiotic	IIISE	Defer	[52 FR 47322]
Guaifenesin					
Cough/cold	Cough/cold (expectorant)	Expectorant	IIIE	IIIE	341.18
Guar gum					
Laxative	Laxative	Bulk laxative	IIIE	IIIE	310.545(a)(12)(i)
Miscellaneous internal	Weight control	Anorectic	IIIE	IISE	310.545(a)(20)
Haloprogin					
Antimicrobial II	Antifungal	Anticandidal	I	n/a	n/a
Antimicrobial II	Antifungal	Antifungal	I	I	333.210(b)
Hard fat					
====	Skin protectant	Skin protectant	n/a	n/a	347.10(i)
Hemorrhoidal	Anorectal	Protectant (external)	n/a	n/a	346.14(4)
Hemorrhoidal	Anorectal	Protectant (intrarectal)	n/a	n/a	346.14(4)
Hectorite					
Miscellaneous external	External analgesic	Poison ivy/oak/sumac	Defer	IISE	310.545(a)(10)(vii)
Miscellaneous external	Skin protectant	Poison ivy/oak/sumac	Defer	IISE	310.545(a)(18)(vi)(A)
Miscellaneous internal	Digestive aid	Digestive aid (intestinal distress)	n/a	n/a	310.545(a)(18)(ii)
Hemicellulase					
Miscellaneous internal	Digestive aid	Digestive aid (intestinal distress)	IIIE	IIIE	310.545(a)(8)(i)
Miscellaneous internal	Exocrine pancreatic insufficiency	Exocrine pancreatic insufficiency	IIE	IIE	310.543(a)
Hexachlorophene					
Antimicrobial I	Antimicrobial	Antimicrobial	IIS	n/a	[37 FR 20163]
Contraceptive/vaginal	Vaginal	Minor irritations	IISE	Withdrawn	n/a

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Review Panel	Report	Drug Category	Advance Notice Proposed Rulemaking (ANPR)	Proposed Rule (PR)	Federal Register (FR) Listing
Miscellaneous external	Antifungal	Diaper rash	Defer	n/a	310.545(a)(22)(i)
Miscellaneous external	Antimicrobial	Diaper rash	Defer	IISE	Pending
Miscellaneous external	Boil treatment	Boil treatment	IISE	IISE	310.531(a)
Miscellaneous external	External analgesic	Diaper rash	Defer	n/a	310.545(a)(10)(iv)
Miscellaneous external	Skin protectant	Diaper rash	Defer	n/a	Pending
Hexylresorcinol					
n/a	External analgesic	Poison ivy/oak/sumac	n/a	IIIE	310.545(a)(10)(vii)
Antimicrobial I	Antimicrobial	Antimicrobial soap	n/a	IIIE	Pending
Antimicrobial I	Antimicrobial	First aid antiseptic	n/a	I	Pending
Antimicrobial I	Antimicrobial	Health care personnel handwash	IIIE	IIIE	Pending
Antimicrobial I	Antimicrobial	Preoperative skin preparation	IIIE	IIIE	Pending
Antimicrobial I	Antimicrobial	Skin antiseptic	IIIE	IIIE	Pending
Antimicrobial I	Antimicrobial	Skin wound cleanser	I	I	Pending
Antimicrobial I	Antimicrobial	Skin wound protectant	IIIE	IIIE	Pending
Antimicrobial I	Antimicrobial	Surgical hand scrub	IIIE	IIIE	Pending
Miscellaneous external	External analgesic	Fever blister (topical)	Defer	IIIE	310.545(a)(10)(v)
Oral cavity	Oral health care	Analgesic/anesthetic	I	I	Pending
Topical analgesic	External analgesic	Analgesic/anesthetic	IIIE	IIIE	310.545(a)(10)(i)
Histamine dihydrochloride					
Miscellaneous external	External analgesic	Fever blister (topical)	Defer	IISE	310.545(a)(10)(v)
Topical analgesic	External analgesic	Counterirritant	I	I	Pending
Histidine					
Miscellaneous internal	Weight control	Anorectic	IISE	IISE	310.545(a)(20)
Homatropine methylbromide					
Laxative	Antidiarrheal	Antidiarrheal	IIISE	IIISE	310.545(a)(3)
Miscellaneous internal	Digestive aid	Digestive aid (intestinal distress)	IIIE	IIIE	310.545(a)(8)(i)
Miscellaneous internal	Digestive aid	Digestive aid (ippuad)	IIIE	IIIE	310.545(a)(8)(i)
Miscellaneous internal	Menstrual/diuretic	Smooth muscle relaxant	IIIE	IIIE	310.545(a)(24)(i)
Homosalate					
Miscellaneous external	External analgesic	Fever blister (topical)	Defer	Defer	Pending
Topical analgesic	n/a	Sunscreen	n/a	I	352.10(f)
Honey					
Miscellaneous external	External analgesic	Astringent	IISE	n/a	Pending
Miscellaneous external	Skin protectant	Astringent	IISE	IISE	310.545(a)(18)(ii)
Horehound					
Cough/cold	Cough/cold (antitussive)	Antitussive	IIIE	IIIE	[52 FR 30054]
Oral cavity	Oral health care	Expectorant	IIIE	IIIE	310.545(a)(6)(iii)
Hormone constituents					
Miscellaneous external	Hair growth/loss	Hair grower	IISE	IIIE	310.527(a)
Horsetail					
Miscellaneous internal	Digestive aid	Digestive aid (ippuad)	n/a	n/a	310.545(a)(18)(ii)

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Review Panel	Report	Drug Category	Advance Notice Proposed Rulemaking (ANPR)	Proposed Rule (PR)	Federal Register (FR) Listing
Huckleberry					
Miscellaneous internal	Digestive aid	Digestive aid (ippuad)	n/a	n/a	310.545(a)(18)(ii)
Hydrangea, powdered extract (extract of hydrangea)					
Miscellaneous internal	Menstrual/diuretic	Diuretic	n/a	IISE	310.545(a)(24)(i)
Hydrastis					
Hemorrhoidal	Anorectal	Counterirritant (intrarectal)	IISE	IISE	310.545(a)(26)(iv)
Hemorrhoidal	Anorectal	Antiseptic (external)	IISE	IISE	310.545(a)(26)(iv)
Hemorrhoidal	Anorectal	Counterirritant (external)	IISE	IISE	310.545(a)(26)(iv)
Hemorrhoidal	Anorectal	Antiseptic (intrarectal)	IISE	IISE	310.545(a)(26)(ii)
Miscellaneous internal	Aphrodisiac	Aphrodisiac	IISE	IISE	310.528(a)
Hydrastis canadensis (golden seal)					
Miscellaneous internal	Digestive aid	Digestive aid (intestinal distress)	n/a	n/a	310.545(a)(18)(ii)
Miscellaneous internal	Menstrual/diuretic	Menstrual	n/a	IISE	310.545(a)(24)(i)
Miscellaneous internal	Weight control	Anorectic	IISE	IISE	310.545(a)(20)
Hydrastis fluid extract					
Miscellaneous internal	Digestive aid	Digestive aid (ippuad)	n/a	n/a	310.545(a)(18)(ii)
Hydrate magnesium aluminate activated sulfate					
Antacid	Antacid	Antacid	n/a	n/a	331.11(g)(1)
Hydriodic acid syrup					
Cough/cold	Cough/cold (expectorant)	Expectorant	IISE	IISE	310.545(a)(6)(iii)
Hydrochloric acid					
Miscellaneous internal	Digestive aid	Digestive aid (ippuad)	n/a	n/a	310.545(a)(18)(ii)
Hydrochloric acid, diluted					
Miscellaneous internal	Stomach acidifier	Stomach acidifier	IIE	IIE	310.540(a)
Hydrocodone bitartrate					
Cough/cold	Cough/cold (antitussive)	Antitussive	IIS	IIS	[52 FR 30054]
Hydrocortisone					
Antimicrobial II	Antifungal	Anti-inflammatory	I combo	n/a	n/a
Miscellaneous external	Dandruff/seborrheic dermatitis/psoriasis	Dandruff/seborrheic dermatitis/psoriasis	IIISE	Defer	n/a
Topical analgesic	External analgesic	Antipruritic	I	I	Pending
Hydrocortisone (0.25%–5%)					
Miscellaneous external	External analgesic	Dandruff/seborrheic dermatitis/psoriasis	n/a	I	Pending
Hydrocortisone (0.5%–1%)					
Miscellaneous external	External analgesic	Dandruff	n/a	IIISE	Pending
Hydrocortisone acetate					
====	External analgesic	Poison ivy/oak/sumac	n/a	I	Pending
Antimicrobial II	Antifungal	Anti-inflammatory (dissent)	I combo	n/a	n/a
Miscellaneous external	Antifungal	Diaper rash	Defer	n/a	310.545(a)(22)(i)
Miscellaneous external	Antimicrobial	Diaper rash	Defer	n/a	Pending

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Review Panel	Report	Drug Category	Advance Notice Proposed Rulemaking (ANPR)	Proposed Rule (PR)	Federal Register (FR) Listing
Miscellaneous external	Dandruff/seborrheic dermatitis/psoriasis	Dandruff/seborrheic dermatitis/psoriasis	IIISE	Defer	n/a
Miscellaneous external	External analgesic	Diaper rash	Defer	n/a	310.545(a)(10)(iv)
Miscellaneous external	Skin protectant	Diaper rash	Defer	n/a	Pending
Topical analgesic	External analgesic	Antipruritic	I	I	Pending
Hydrocortisone acetate (0.25%–5.0%)					
Miscellaneous external	External analgesic	Dandruff/seborrheic dermatitis/psoriasis	n/a	I	Pending
Hydrocortisone acetate (0.25%–0.5%)					
Miscellaneous external	External analgesic	Dandruff/seborrheic dermatitis /psoriasis	n/a	I	Pending
Hydrogen fluoride					
Dental	Anticaries	Anticavity dental rinse	n/a	IIISE	310.545(a)(2)(i)
Hydrogen peroxide					
Antimicrobial I	Antimicrobial	First aid antiseptic	n/a	I	Pending
Dental	Oral mucosal injury	Wound cleanser	I	I	Pending
Dental	Oral mucosal injury	Wound healing agent	IIIE	IIIE	310.534(a)
Miscellaneous external	External analgesic	Poison ivy/oak/sumac	Defer	IISE	310.545(a)(10)(vii)
Miscellaneous external	Skin protectant	Poison ivy/oak/sumac	Defer	IISE	310.545(a)(18)(vi)(A)
Oral cavity	Oral health care	Antimicrobial	IIIE	IIIE	Pending
Oral cavity	Oral health care	Debriding agent	III	III	Pending
Hydrogen peroxide and povidone iodine					
n/a	Gingivitis/plaque	Antiplaque/gingivitis	n/a	IIISE	Pending
Hydrogen peroxide and sodium bicarbonate					
n/a	Gingivitis/plaque	Antiplaque/gingivitis	n/a	IIISE	Pending
Hydrogen peroxide, sodium citrate, sodium lauryl sulfate, and zinc chloride					
n/a	Gingivitis/plaque	Antiplaque/gingivitis	n/a	IIISE	Pending
Hydroquinone					
Miscellaneous external	Skin bleach	Skin bleaching	I	I	Pending
Hydroxyethyl cellulose					
Ophthalmic	Ophthalmic	Demulcent	I	I	349.12(a)(2)
Hyoscyamine sulfate					
Laxative	Antidiarrheal	Antidiarrheal	IIISE	IIISE	310.545(a)(3)
Miscellaneous internal	Menstrual/diuretic	Diuretic	n/a	IISE	310.545(a)(24)(i)
Hypromellose					
Ophthalmic	Ophthalmic	Demulcent	I	I	349.12(a)(3)
Ibuprofen					
n/a	Internal analgesic	Analgesic	n/a	I	[67 FR 54139]
n/a	Internal analgesic	Antipyretic	n/a	I	[67 FR 54139]
Ichthammol					
Miscellaneous external	Boil treatment	Boil treatment	IISE	IIISE	310.531(a)
Miscellaneous external	Corn/callus remover	Corn/callus remover	IISE	IISE	[55 FR 33261]
Impatiens biflora tincture					
Miscellaneous external	Skin protectant	Poison ivy/oak/sumac	Defer	IISE	310.545(a)(18)(vi)(A)
Miscellaneous external	External analgesic	Poison ivy/oak/sumac	Defer	IISE	310.545(a)(10)(vii)

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Review Panel	Report	Drug Category	Advance Notice Proposed Rulemaking (ANPR)	Proposed Rule (PR)	Federal Register (FR) Listing
Inositol					
Miscellaneous internal	Weight control	Anorectic	IISE	IISE	310.545(a)(20)
Iodine					
Miscellaneous external	Wart remover	Wart remover	IISE	IISE	[55 FR 33254]
Miscellaneous external	Corn/callus remover	Corn/callus remover	IISE	IISE	[55 FR 33261]
Miscellaneous internal	Digestive aid	Digestive aid (ippuad)	n/a	n/a	310.545(a)(18)(ii)
Miscellaneous internal	Weight control	Anorectic	IISE	IISE	310.545(a)(20)
Oral cavity	Oral health care	Antimicrobial	IIISE	IIISE	Pending
Iodine complex/phosphate ester of alkylaryloxy polyethylene					
Antimicrobial I	Antimicrobial	Surgical hand scrub	IIISE	IIISE	Pending
Antimicrobial I	Antimicrobial	Skin wound cleanser	IIISE	IIISE	Pending
Antimicrobial I	Antimicrobial	Skin wound protectant	IIISE	IIISE	Pending
Antimicrobial I	Antimicrobial	Health-care personnel handwash	IIISE	IIISE	Pending
Antimicrobial I	Antimicrobial	Preoperative skin preparation	IIISE	IIISE	Pending
Antimicrobial I	Antimicrobial	Antimicrobial soap	n/a	IISE	Pending
Antimicrobial I	Antimicrobial	Skin antiseptic	IIISE	IIISE	Pending
Iodine tincture					
Antimicrobial I	Antimicrobial	First aid antiseptic	n/a	I	Pending
Antimicrobial I	Antimicrobial	Preoperative skin preparation	I	I	Pending
Antimicrobial I	Antimicrobial	Surgical hand scrub	IIS	IIS	Pending
Antimicrobial I	Antimicrobial	Antimicrobial soap	n/a	IISE	Pending
Antimicrobial I	Antimicrobial	Skin wound protectant	IISE	IIISE	Pending
Antimicrobial I	Antimicrobial	Skin wound cleanser	IIS	IIIS	Pending
Antimicrobial I	Antimicrobial	Skin antiseptic	IIS	IIIS	Pending
Antimicrobial I	Antimicrobial	Health-care personnel handwash	IISE	IISE	Pending
Iodine topical solution					
Antimicrobial I	Antimicrobial	First aid antiseptic	n/a	I	Pending
Iodized lime					
Cough/cold	Cough/cold (expectorant)	Expectorant	IISE	IISE	310.545(a)(6)(iii)
Iodoantipyrine					
Internal analgesic	Internal analgesic	Analgesic	IISE	IISE	310.545(a)(23)(i)
Internal analgesic	Internal analgesic	Antipyretic	IISE	IISE	310.545(a)(23)(i)
Internal analgesic	Internal analgesic	Antirheumatic	IISE	Not OTC	310.545(a)(23)(i)
Ipecac					
Cough/cold	Cough/cold (expectorant)	Expectorant	IIE	IIE	310.545(a)(6)(iii)
Ipecac fluid extract					
Cough/cold	Cough/cold (expectorant)	Expectorant	IIE	IIE	310.545(a)(6)(iii)
Miscellaneous internal	Poison treatment	Emetic	n/a	IIS	310.545(a)(16)
Ipecac syrup					
Cough/cold	Cough/cold (expectorant)	Expectorant	IIIE	IIIE	310.545(a)(6)(iii)
Miscellaneous internal	Emetic	Emetic	I	I	Pending
Miscellaneous internal	Poison treatment	Emetic	n/a	I	Pending

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Review Panel	Report	Drug Category	Advance Notice Proposed Rulemaking (ANPR)	Proposed Rule (PR)	Federal Register (FR) Listing
		Ipecac tincture			
Miscellaneous internal	Poison treatment	Emetic	n/a	IIS	310.545(a)(16)
		Ipomea			
Laxative	Laxative	Stimulant laxative	IIS	IIS	310.545(a)(12)(iv)(A)
		Iron ox bile			
Miscellaneous internal	Digestive aid	Digestive aid (intestinal distress)	n/a	n/a	310.545(a)(18)(ii)
		Iron oxide			
Miscellaneous external	Antifungal	Diaper rash	Defer	n/a	310.545(a)(22)(i)
Miscellaneous external	Antimicrobial	Diaper rash	Defer	n/a	Pending
Miscellaneous external	External analgesic	Diaper rash	Defer	n/a	310.545(a)(10)(iv)
Miscellaneous external	External analgesic	Poison ivy/oak/sumac	Defer	IISE	310.545(a)(10)(vii)
Miscellaneous external	Skin protectant	Diaper rash	Defer	n/a	Pending
Miscellaneous external	Skin protectant	Poison ivy/oak/sumac	Defer	IISE	310.545(a)(18)(vi)(A)
		Isobornyl thiocyanacetate			
Miscellaneous external	Pediculicide	Pediculicide	IISE	IISE	310.545(a)(25)(i)
		Isobutamben			
Miscellaneous external	Boil treatment	Boil treatment	IISE	IISE	310.531(a)
		Isoleucine			
Miscellaneous internal	Weight control	Anorectic	IISE	IISE	310.545(a)(20)
		Isopropyl alcohol			
Miscellaneous external	Alcohols (topical)	Antiseptic	I	I	Pending
Miscellaneous external	Mercury	First aid antiseptic	n/a	I	Pending
Miscellaneous external	Analgesic	Astringent	IISE	n/a	Pending
Miscellaneous external	External analgesic	Poison ivy/oak/sumac	Defer	IISE	310.545(a)(10)(vii)
Miscellaneous external	Skin protectant	Astringent	IISE	IISE	310.545(a)(18)(ii)
Miscellaneous external	Skin protectant	Poison ivy/oak/sumac	Defer	IISE	310.545(a)(18)(vi)(A)
		Isopropyl palmitate			
n/a	Insect bite/sting	Insect bite/sting	n/a	n/a	310.545(a)(18)(v)(B)
n/a	Poison ivy/oak/sumac	Poison ivy/oak/sumac	n/a	n/a	310.545(a)(18)(vi)(B)
n/a	Skin protectant	Skin protectant	n/a	n/a	310.545(a)(18)(i)(B)
		Jalap			
Laxative	Laxative	Stimulant laxative	IIS	IIS	310.545(a)(12)(iv)(A)
		John's wort			
Miscellaneous internal	Digestive aid	Digestive aid (ippuad)	n/a	n/a	310.545(a)(18)(ii)
		Jojoba oil			
Miscellaneous external	Hair growth/loss	Hair grower	n/a	IIE	310.527(a)
		Juniper			
Miscellaneous internal	Digestive aid	Digestive aid (intestinal distress)	n/a	n/a	310.545(a)(18)(ii)
		Juniper oil (oil of juniper)			
Miscellaneous internal	Menstrual/diuretic	Diuretic	n/a	IISE	310.545(a)(24)(i)
		Juniper tar			
n/a	Antifungal	Diaper rash	n/a	n/a	310.545(a)(22)(i)

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Review Panel	Report	Drug Category	Advance Notice Proposed Rulemaking (ANPR)	Proposed Rule (PR)	Federal Register (FR) Listing
n/a	Antimicrobial	Diaper rash	n/a	n/a	Pending
n/a	External analgesic	Diaper rash	n/a	IISE	310.545(a)(10)(iv)
n/a	External analgesic	Fever blister (topical)	n/a	I	Pending
n/a	External analgesic	Poison ivy/oak/sumac	n/a	I	Pending
n/a	Skin protectant	Diaper rash	n/a	n/a	Pending
Hemorrhoidal	Anorectal	Counterirritant (external)	IIISE	n/a	n/a
Hemorrhoidal	Anorectal	Analgesic (external)	n/a	I	346.16(b)
Hemorrhoidal	Anorectal	Counterirritant (intrarectal)	IIISE	n/a	n/a
Miscellaneous external	Boil treatment	Boil treatment	IISE	IIISE	310.531(a)
Miscellaneous external	Dandruff/seborrheic dermatitis/psoriasis	Dandruff/seborrheic dermatitis/psoriasis	IIIE	IIIE	310.545(a)(7)
Topical analgesic	External analgesic	Analgesic/anesthetic	I	I	Pending
Juniper, extract					
Miscellaneous internal	Weight control	Anorectic	IISE	IISE	310.545(a)(20)
Kaolin					
n/a	Skin protectant	Poison ivy/oak/sumac	n/a	I	347.10(j)
Antacid	Antacid	Antacid	IIIE	IIIE	[39 FR 19873]
Hemorrhoidal	Anorectal	Protectant (intrarectal)	I	I	346.14(5)
Hemorrhoidal	Anorectal	Protectant (external)	I	I	346.14(5)
Laxative	Antidiarrheal	Antidiarrheal	IIIE	IIIE	347.10(b)
Miscellaneous external	Skin protectant	Diaper rash	n/a	I	Pending
Topical analgesic	Skin protectant	Skin protectant	I	I	347.10(j)
Kaolin, colloidal					
Miscellaneous internal	Digestive aid	Digestive aid (ippuad)	n/a	n/a	310.545(a)(18)(ii)
Karaya gum					
Laxative	Laxative	Bulk laxative	I	I	Pending
Miscellaneous internal	Weight control	Anorectic	IIIE	IISE	310.545(a)(20)
Kelp					
Miscellaneous internal	Weight control	Anorectic	IIIE	IISE	310.545(a)(20)
Knotgrass					
Miscellaneous internal	Digestive aid	Digestive aid (intestinal distress)	n/a	n/a	310.545(a)(18)(ii)
Lactic acid					
Contraceptive/vaginal	Vaginal	Lowers surface tension, mucolytic effects	IIIE	Withdrawn	n/a
Contraceptive/vaginal	Vaginal	Alters vaginal pH	IIIE	Withdrawn	n/a
Miscellaneous external	Wart remover	Wart remover	IIIE	IIIE	[55 FR 33254]
Miscellaneous internal	Digestive aid	Digestive aid (ippuad)	n/a	n/a	310.545(a)(18)(ii)
Lactobacillus acidophilus					
Laxative	Antidiarrheal	Antidiarrheal	IIIE	IIIE	310.545(a)(3)(i)
Miscellaneous internal	Fever blister (oral)	Fever blister/oral	IIIE	IIIE	310.537(a)
Lactobacillus bulgaricus					
Laxative	Antidiarrheal	Antidiarrheal	IIIE	IIIE	310.545(a)(3)(i)
Miscellaneous internal	Fever blister (oral)	Fever blister/oral	IIIE	IIIE	310.537(a)

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Review Panel	Report	Drug Category	Advance Notice Proposed Rulemaking (ANPR)	Proposed Rule (PR)	Federal Register (FR) Listing
Lactose					
Miscellaneous internal	Digestive aid	Digestive aid (intestinal distress)	n/a	n/a	310.545(a)(18)(ii)
Miscellaneous internal	Weight control	Anorectic	IISE	IISE	310.545(a)(20)
Lanolin					
n/a	Skin protectant	Skin protectant	n/a	n/a	347.10(k)
Hemorrhoidal	Anorectal	Protectant (external)	I	I	346.14(6)
Hemorrhoidal	Anorectal	Protectant (intrarectal)	I	I	346.14(6)
Miscellaneous external	Antifungal	Diaper rash	Defer	n/a	310.545(a)(22)(i)
Miscellaneous external	Antimicrobial	Diaper rash	Defer	n/a	Pending
Miscellaneous external	Boil treatment	Boil treatment	IISE	IISE	310.531(a)
Miscellaneous external	External analgesic	Diaper rash	Defer	n/a	310.545(a)(10)(iv)
Miscellaneous external	External analgesic	Fever blister (topical)	Defer	n/a	n/a
Miscellaneous external	External analgesic	Poison ivy/oak/ sumac	Defer	IISE	310.545(a)(10)(vii)
Miscellaneous external	Hair growth/loss	Hair grower	IIE	IIE	310.527(a)
Miscellaneous external	Skin protectant	Diaper rash	Defer	I	Pending
Miscellaneous external	Skin protectant	Fever blister (topical)	Defer	n/a	n/a
Miscellaneous external	Skin protectant	Poison ivy/oak/ sumac	Defer	IISE	310.545(a)(18)(vi)
Lanolin (in combination)					
Ophthalmic	Ophthalmic	Emollient	I	I	349.14(a)(2)
Lanolin alcohols					
Hemorrhoidal	Anorectal	Protectant (external)	I	IIISE	310.545(a)(26)(viii)
Hemorrhoidal	Anorectal	Protectant (intrarectal)	I	IIISE	310.545(a)(26)(viii)
Miscellaneous external	External analgesic	Fever blister (topical)	Defer	n/a	Pending
Miscellaneous external	Skin protectant	Fever blister (topical)	Defer	n/a	Pending
Lanolin nonionic derivatives					
Ophthalmic	Ophthalmic	Emollient	I	I	349.14
Lanolin, anhydrous (in combination)					
Ophthalmic	Ophthalmic	Emollient	I	I	349.14(a)(1)
Lappa extract					
Hemorrhoidal	Anorectal	Anorectal (external)	IISE	IISE	310.545(a)(26)(vii)
Hemorrhoidal	Anorectal	Anorectal (intrarectal)	IISE	IISE	310.545(a)(26)(vii)
Laureth 10					
Contraceptive/vaginal	Contraceptive (vaginal)	Contraceptive	IIIE	Withdrawn	310.545(a)(28)
Lauric diethanolamide					
Miscellaneous external	Hair growth/loss	Hair grower	IISE	n/a	310.527(a)
Lauryl isoquinolinium bromide					
Miscellaneous external	Dandruff/seborrheic dermatitis/psoriasis	Dandruff	IIIE	IIIE	310.545(a)(7)
Lavender compound, tincture of					
Miscellaneous internal	Digestive aid	Digestive aid (ippuad)	n/a	n/a	310.545(a)(18)(ii)
Lavender oil					
Miscellaneous external	Antifungal	Diaper rash	Defer	n/a	310.545(a)(22)(i)
Miscellaneous external	External analgesic	Diaper rash	Defer	n/a	310.545(a)(10)(iv)
Miscellaneous external	Skin protectant	Diaper rash	Defer	n/a	Pending

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Review Panel	Report	Drug Category	Advance Notice Proposed Rulemaking (ANPR)	Proposed Rule (PR)	Federal Register (FR) Listing
		Lawsonia with dihydroxyacetone			
Topical analgesic	Sunscreen	Sunscreen	I	I	310.545(a)(29)
		Lead acetate			
Miscellaneous external	External analgesic	Poison ivy/oak/ sumac	Defer	IISE	310.545(a)(10)(vii)
Miscellaneous external	Skin protectant	Poison ivy/oak/ sumac	Defer	IISE	310.545(a)(18)(vi)(A)
		Lecithin			
Miscellaneous internal	Weight control	Anorectic	IISE	IISE	310.545(a)(20)
		Lemon oil (terpeneless)			
Miscellaneous internal	Smoking deterrent	Smoking deterrent	IIE	IIE	310.544(d)
		Leptandra extract			
Hemorrhoidal	Anorectal	Anorectal (external)	IISE	IISE	310.545(a)(26)(vii)
Hemorrhoidal	Anorectal	Anorectal (intrarectal)	IISE	IISE	310.545(a)(26)(vii)
		Leucine			
Miscellaneous internal	Weight control	Anorectic	IISE	IISE	310.545(a)(20)
		Levmetamfetamine			
Cough/cold	Cough/cold (nasal decongestant)	Nasal decongestant (topical/inhalant)	IIIE	I	341.20(b)(1)
		Licorice			
Miscellaneous internal	Aphrodisiac	Aphrodisiac	IISE	IISE	310.528(a)
		Licorice root extract			
Miscellaneous internal	Smoking deterrent	Smoking deterrent	IIE	IIE	310.544(d)
		Lidocaine			
Hemorrhoidal	Anorectal	Anesthetic (external)	IIIE	I	346.10(f)
Hemorrhoidal	Anorectal	Anesthetic (intrarectal)	IIIE	IIIE	[55 FR 1779]
Miscellaneous external	External analgesic	Fever blister (topical)	Defer	I	Pending
Miscellaneous external	Male genital desensitizer	Male genital desensitizer	I	I	348.10(a)(2)
Miscellaneous external	External analgesic	Poison ivy/oak/ sumac	Defer	I	Pending
Miscellaneous external	Skin protectant	Poison ivy/oak/ sumac	Defer	IISE	310.545(a)(18)(vi)(A)
Oral cavity	Oral health care	Analgesic/anesthetic	IIS	IIS	310.545(a)(14)
Topical analgesic	External analgesic	Analgesic/anesthetic	I	I	Pending
		Lidocaine hydrochloride			
n/a	External analgesic	Poison ivy/oak/ sumac	n/a	I	Pending
Miscellaneous external	External analgesic	Fever blister (topical)	Defer	I	Pending
Oral cavity	Oral health care	Analgesic/anesthetic	IIS	IIS	310.545(a)(14)
Topical analgesic	External analgesic	Analgesic/anesthetic	I	I	Pending
		Linden			
Miscellaneous internal	Digestive aid	Digestive aid (ippuad)	n/a	n/a	310.545(a)(18)(ii)
		Lipase			
Miscellaneous internal	Digestive aid	Digestive aid (intestinal distress)	n/a	n/a	310.545(a)(18)(ii)
		Live yeast cell derivative			
n/a	Insect bite/sting	Insect bite/sting	n/a	n/a	310.545(a)(18)(v)(B)
n/a	Poison ivy/oak/sumac	Poison ivy/oak/ sumac	n/a	n/a	310.545(a)(18)(vi)(B)
Topical analgesic	Skin protectant	Skin protectant	IIIE	IIIE	310.545(a)(18)(i)(B)

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Review Panel	Report	Drug Category	Advance Notice Proposed Rulemaking (ANPR)	Proposed Rule (PR)	Federal Register (FR) Listing
		Liver concentrate			
Miscellaneous internal	Weight control	Anorectic	IISE	IISE	310.545(a)(20)
		Lobeline			
Miscellaneous internal	Smoking deterrent	Smoking deterrent	IIIE	IIIE	310.544(d)
		Lysine			
Miscellaneous internal	Weight control	Anorectic	IISE	IISE	310.545(a)(20)
		Lysine aspirin			
Internal analgesic	Internal analgesic	Analgesic	n/a	IISE	310.545(a)(23)(i)
		Lysine hydrochloride			
Miscellaneous internal	Digestive aid	Digestive aid (intestinal distress)	n/a	n/a	310.545(a)(18)(ii)
Miscellaneous internal	Fever blister (oral)	Fever blister/oral	IIIE	IIIE	310.537(a)
Miscellaneous internal	Weight control	Anorectic	IISE	IISE	310.545(a)(20)
		Magaldrate			
Antacid	Antacid	Antacid	I	I	331.11(g)(2)
		Magnesium			
Miscellaneous internal	Weight control	Anorectic	IISE	IISE	310.545(a)(20)
		Magnesium aluminum silicate			
Antimicrobial II	Acne	Acne	IIIE	IIIE	310.545(a)(1)
Antacid	Antacid	Antacid	I	I	331.11(g)(3) 331.11(k)(2)(1)
		Magnesium carbonate			
Antacid	Antacid	Antacid	I	I	331.11(g)(4)
Internal analgesic	Internal analgesic	Corrective	I	n/a	n/a
Miscellaneous external	Antifungal	Diaper rash	Defer	n/a	310.545(a)(22)(i)
Miscellaneous external	Antimicrobial	Diaper rash	Defer	n/a	Pending
Miscellaneous external	External analgesic	Diaper rash	Defer	n/a	310.545(a)(10)(iv)
Miscellaneous external	Skin protectant	Diaper rash	Defer	n/a	Pending
Miscellaneous internal	Overindulgence in alcohol/food	Overindulgence remedies (hangover)	I	I	Pending
		Magnesium citrate			
Laxative	Laxative	Saline laxative (oral solution)	I	I	Pending
		Magnesium glycinate			
Antacid	Antacid	Antacid	I	I	331.11(g)(5)
		Magnesium hydroxide			
Antacid	Antacid	Antacid	I	I	331.11(g)(6)
Internal analgesic	Internal analgesic	Corrective	I	n/a	Pending
Laxative	Laxative	Saline laxative	I	I	Pending
Miscellaneous internal	Digestive aid	Digestive aid (ippuad)	IIIE	IIIE	310.545(a)(8)(i)
Miscellaneous internal	Digestive aid	Digestive aid (intestinal distress)	IIIE	IIIE	310.545(a)(8)(i)
		Magnesium oxide			
Antacid	Antacid	Antacid	I	I	331.11(g)(7)
Miscellaneous internal	Weight control	Anorectic	IISE	IISE	310.545(a)(20)

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Review Panel	Report	Drug Category	Advance Notice Proposed Rulemaking (ANPR)	Proposed Rule (PR)	Federal Register (FR) Listing
Magnesium salicylate					
Internal analgesic	Internal analgesic	Analgesic	I	I	Pending
Internal analgesic	Internal analgesic	Antipyretic	I	I	Pending
Internal analgesic	Internal analgesic	Antirheumatic	I	Not	OTC n/a
Miscellaneous internal	Menstrual/diuretic	Analgesic	I	I	Pending
Magnesium sulfate					
Antimicrobial II	Acne	Acne	IIIE	IIIE	310.545(a)(1)
Laxative	Laxative	Saline laxative	I	I	Pending
Miscellaneous external	Boil treatment	Boil treatment	IIIE	IIIE	310.531(a)
Miscellaneous internal	Menstrual/diuretic	Diuretic	n/a	IIIE	310.545(a)(24)(i)
Magnesium trisilicate					
Antacid	Antacid	Antacid	I	I	331.11(g)(8) 331 11(k)(3)(1)
Miscellaneous internal	Digestive aid	Digestive aid (ippuad)	IIIE	IIIE	310.545(a)(8)(i)
Miscellaneous internal	Overindulgence in alcohol/food	Overindulgence remedies (hangover)	I	I	Pending
Malt					
Miscellaneous internal	Weight control	Anorectic	IIIE	IIIE	310.545(a)(20)
Malt soup extract					
Laxative	Laxative	Bulk laxative	I	I	Pending
Maltodextrin					
Miscellaneous internal	Weight control	Anorectic	IIIE	IIIE	310.545(a)(20)
Mandrake					
Miscellaneous internal	Aphrodisiac	Aphrodisiac	n/a	n/a	310.528(a)
Manganese citrate					
Miscellaneous internal	Weight control	Anorectic	IIIE	IIIE	310.545(a)(20)
Mannitol					
Miscellaneous internal	Digestive aid	Digestive aid (ippuad)	n/a	n/a	310.545(a)(18)(ii)
Miscellaneous internal	Weight control	Anorectic	IIIE	IIIE	310.545(a)(20)
Meclizine hydrochloride					
Laxative	Antiemetic	Antiemetic	I	I	336.10(d)
Menfegol					
Contraceptive/vaginal	Contraceptive (vaginal)	Contraceptive (dissent)	I	Withdrawn	n/a
Menthol					
Antimicrobial II	Antifungal	Antifungal	IIIE	IIIE	310.545(a)(22)(ii)
Cough/cold	Cough/cold (antitussive)	Antitussive (mouthwash)	IIIE	IIIE	[52 FR 30055]
Cough/cold	Cough/cold (antitussive)	Antitussive (topical/inhalant)	IIIE	I	341.14(b)(2)
Cough/cold	Cough/cold (antitussive)	Antitussive (lozenge)	IIIE	I	341.14(b)(2)
Cough/cold	Cough/cold (expectorant)	Expectorant (topical/inhalant)	IIIE	IIIE	310.545(a)(6)(iii)
Cough/cold	Cough/cold (expectorant)	Expectorant (lozenge)	IIIE	IIIE	310.545(a)(6)(iii)
Cough/cold	Cough/cold (nasal decongestant)	Nasal decongestant (lozenge)	IIIE	IIIE	[59 FR 43408]
Cough/cold	Cough/cold (nasal decongestant)	Nasal decongestant (mouthwash)	IIIE	IIIE	310.545(a)(6)(ii)(A)

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Review Panel	Report	Drug Category	Advance Notice Proposed Rulemaking (ANPR)	Proposed Rule (PR)	Federal Register (FR) Listing
Dental	Relief of oral discomfort	Toothache relief	IIS	IIS	Pending
Hemorrhoidal	Anorectal	Analgesic (external)	n/a	I	346.16(c)
Miscellaneous external	Antifungal	Diaper rash	Defer	IISE	310.545(a)(22)(ii)
Miscellaneous external	Boil treatment	Boil treatment	IISE	IISE	310.531(a)
Miscellaneous external	Dandruff/seborrheic dermatitis/psoriasis	Dandruff/seborrheic dermatitis/psoriasis	IIIE	IIIE	310.545(a)(7)
Miscellaneous external	External analgesic	Astringent	IISE	n/a	Pending
Miscellaneous external	External analgesic	Diaper rash	Defer	IISE	310.545(a)(10)(iv)
Miscellaneous external	External analgesic	Insect bite/sting	IISE	I	Pending
Miscellaneous external	External analgesic	Poison ivy/oak/ sumac	Defer	I	Pending
Miscellaneous external	Skin protectant	Astringent	IISE	IISE	310.545(a)(18)(ii)
Miscellaneous external	Skin protectant	Diaper rash	Defer	n/a	Pending
Miscellaneous external	Skin protectant	Fever blister (topical)	Defer	Defer	Pending
Miscellaneous external	Skin protectant	Insect bite/sting	IISE	IISE	310.545(a)(18)(v)(A)
Miscellaneous external	Skin protectant	Poison ivy/oak/ sumac	Defer	IISE	310.545(a)(18)(vi)(A)
Miscellaneous external	Wart remover	Wart remover	IISE	IISE	[55 FR 33254]
Miscellaneous external	Antimicrobial	Diaper rash	Defer	n/a	Pending
Miscellaneous internal	Smoking deterrent	Smoking deterrent	IIE	IIE	310.544(d)
Oral cavity	Oral health care	Antimicrobial	IIIE	IIIE	Pending
Oral cavity	Oral health care	Analgesic/anesthetic	I	I	Pending
Topical analgesic	External analgesic	Counterirritant	I	I	Pending
Topical analgesic	External analgesic	Analgesic/anesthetic	I	I	Pending
Menthol (0.1%–1.0%)					
Miscellaneous external	External analgesic	Fever blister (topical)	Defer	I	Pending
Menthol (1.25%–16%)					
Miscellaneous external	External analgesic	Fever blister (topical)	Defer	IIIE	310.545(a)(10)(v)
Hemorrhoidal	Anorectal	Counterirritant (intrarectal)	IIE	IIE	310.545(a)(26)(iv)
Hemorrhoidal	Anorectal	Counterirritant (external)	I	IIE	310.545(a)(26)(iv)
Meradimate					
Topical analgesic	Sunscreen	Sunscreen	I	I	352.10(h)
Meralein sodium					
Oral cavity	Oral health care	Antimicrobial	IISE	IISE	Pending
Merbromin					
Miscellaneous external	External analgesic	Poison ivy/oak/ sumac	Defer	IISE	310.545(a)(10)(vii)
Miscellaneous external	Skin protectant	Poison ivy/oak/ sumac	Defer	IISE	310.545(a)(18)(vi)
Miscellaneous external	Mercury	Antiseptic	IISE	IISE	310.545(a)(27)(i)
Merbromin (mercurochrome)					
Miscellaneous external	Mercury	First aid antiseptic	n/a	IIIE	310.545(a)(27)(i)
Mercufenol chloride					
Miscellaneous external	Mercury	First aid antiseptic	n/a	IIIE	310.545(a)(27)(i)
Miscellaneous external	Mercury	Antiseptic	IISE	IISE	310.545(a)(27)(i)
Miscellaneous external	Antimicrobial	First aid antiseptic	n/a	IIS	310.545(a)(27)(i)
Miscellaneous external	External analgesic	Poison ivy/oak/ sumac	Defer	IISE	310.545(a)(10)(vii)
Miscellaneous external	Skin protectant	Poison ivy/oak/ sumac	Defer	IISE	310.545(a)(18)(vi)(A)
Mercuric oxide, yellow					
Miscellaneous external	Mercury	First aid antiseptic	n/a	IISE	310.545(a)(27)(i)

(Continued)

Review Panel	Report	Drug Category	Advance Notice Proposed Rulemaking (ANPR)	Proposed Rule (PR)	Federal Register (FR) Listing
Miscellaneous external	Mercury	Antiseptic	IISE	IISE	310.545(a)(27)(i)
Ophthalmic	Ophthalmic	Anti-infective	IIISE	IISE	310.545(a)(21)(ii)
Mercuric salicylate					
Miscellaneous external	Mercury	First aid antiseptic	n/a	IISE	310.545(a)(27)(i)
Miscellaneous external	Mercury	Antiseptic	IISE	IISE	310.545(a)(27)(i)
Mercuric sulfide, red					
Miscellaneous external	Mercury	First aid antiseptic	n/a	IISE	310.545(a)(27)(i)
Miscellaneous external	Mercury	Antiseptic	IISE	IISE	310.545(a)(27)(i)
Mercury					
Miscellaneous external	Mercury	First aid antiseptic	n/a	IISE	310.545(a)(27)(i)
Miscellaneous external	Mercury	Antiseptic	IISE	IISE	310.545(a)(27)(i)
Mercury (any ingredient)					
n/a	Antimicrobial	Diaper rash	Defer	n/a	310.545(a)(27)(ii)
n/a	Contraceptive (vaginal)	Contraceptive	n/a	n/a	310.545(a)(28)
Mercury oleate					
Miscellaneous external	Mercury	First aid antiseptic	n/a	IISE	310.545(a)(27)(i)
Miscellaneous external	Dandruff/seborrheic dermatitis/psoriasis	Psoriasis	IIE	IIE	310.545(a)(7)
Miscellaneous external	Mercury	Antiseptic	IISE	IISE	310.545(a)(27)(i)
Mercury, ammoniated					
Miscellaneous external	Antimicrobial	First aid antiseptic	n/a	IISE	310.545(a)(27)(i)
Miscellaneous external	Skin bleach	Skin bleaching	IISE	IISE	310.545(a)(17)
Miscellaneous external	Mercury	Antiseptic	IISE	IISE	310.545(a)(27)(i)
Metaproterenol sulfate					
Cough/cold	Cough/cold (bronchodilator)	Bronchodilator	n/a	III	310.545(a)(6)(iv)(A)
Methapyrilene					
Miscellaneous external	Antifungal	Diaper rash	Defer	n/a	310.545(a)(22)(i)
Miscellaneous external	Antimicrobial	Diaper rash	Defer	n/a	Pending
Miscellaneous external	External analgesic	Diaper rash	Defer	n/a	310.545(a)(10)(iv)
Miscellaneous external	Skin protectant	Diaper rash	Defer	n/a	Pending
Methapyrilene fumarate					
Cough/cold	Cough/cold (antihistamine)	Antihistamine	I	IIS	310.545(a)(6)(i)(A)&(B)
Internal analgesic	Internal analgesic	Analgesic adjuvant	IIIE	IIS	310.545(a)(23)(i)
Internal analgesic	Internal analgesic	Antihistamine	IIE	n/a	310.545(a)(6)(A)
Internal analgesic	Internal analgesic	Antipyretic adjuvant	IIIE	IIS	310.545(a)(23)(i)
Internal analgesic	Internal analgesic	Antirheumatic adjuvant	IIIE	IIS	310.545(a)(23)(i)
Sedative	Daytime sedative	Sedative	IIISE	IISE	310.519(a)
Sedative	Nighttime sleep aid	Sleep aid	IIISE	IIS	[54 FR 6826]
Methapyrilene hydrochloride					
n/a	External analgesic	Poison ivy/oak/ sumac	n/a	IISE	310.545(a)(10)(vii)
Cough/cold	Cough/cold (antihistamine)	Antihistamine	I	IIS	310.545(a)(6)(i)(A)&(B)
Miscellaneous external	External analgesic	Fever blister (topical)	Defer	IIE	310.545(a)(10)(v)
Miscellaneous internal	Menstrual/diuretic	Menstrual	n/a	IISE	310.545(a)(24)(i)

(Continued)

Review Panel	Report	Drug Category	Advance Notice Proposed Rulemaking (ANPR)	Proposed Rule (PR)	Federal Register (FR) Listing
Sedative	Nighttime sleep aid	Sleep aid	IIISE	IIS	[54 FR 6826]
Sedative	Daytime sedative	Sedative	IIISE	IIS	310.519(a)
Topical analgesic	External analgesic	Analgesic/anesthetic	I	IIS	310.545(a)(10)(i)
Methenamine					
Miscellaneous internal	Menstrual/diuretic	Diuretic	n/a	IIISE	310.545(a)(24)(i)
Methionine					
Miscellaneous external	Antifungal	Diaper rash	Defer	n/a	310.545(a)(22)(i)
Miscellaneous external	Antimicrobial	Diaper rash	Defer	n/a	Pending
Miscellaneous external	External analgesic	Diaper rash	Defer	n/a	310.545(a)(10)(iv)
Miscellaneous external	Skin protectant	Diaper rash	Defer	n/a	Pending
Miscellaneous internal	Weight control	Anorectic	IIISE	IIISE	310.545(a)(20)
Methoxyphenamine hydrochloride					
Cough/cold	Cough/cold (bronchodilator)	Bronchodilator	I	IIIE	310.545(a)(6)(iv)(A)
Methoxypolyoxyethylene glycol 550 laurate					
Contraceptive/vaginal	Contraceptive (vaginal)	Contraceptive	IIIE	IIIE	310.545(a)(28)
Methyl nicotinate					
Miscellaneous external	External analgesic	Fever blister (topical)	Defer	IIIE	310.545(a)(10)(v)
Topical analgesic	External analgesic	Counterirritant	I	I	Pending
Methyl salicylate					
Dental	Relief of oral discomfort	Toothache relief	IIISE	IIISE	Pending
Dental	Relief of oral discomfort	Oral mucosal analgesic	IIISE	IIISE	Pending
Miscellaneous external	Boil treatment	Boil treatment	IIISE	IIISE	310.531(a)
Miscellaneous external	Dandruff/seborrheic dermatitis/psoriasis	Dandruff	IIIE	IIIE	310.545(a)(7)
Miscellaneous external	External analgesic	Astringent	n/a	n/a	Pending
Miscellaneous external	External analgesic	Fever blister (topical)	Defer	IIIE	310.545(a)(10)(v)
Miscellaneous external	Skin protectant	Astringent	IIISE	IIISE	310.545(a)(18)(ii)
Miscellaneous external	Corn/callus remover	Corn/callus remover	IIISE	IIISE	[55 FR 33261]
Miscellaneous internal	Smoking deterrent	Smoking deterrent	IIIE	IIIE	310.544(d)
Oral cavity	Oral health care	Antimicrobial	IIIE	IIIE	Pending
Oral cavity	Oral health care	Analgesic/anesthetic	IIIE	IIIE	310.545(a)(14)
Topical analgesic	External analgesic	Counterirritant	I	I	Pending
Methylbenzethonium chloride					
Antimicrobial I	Antimicrobial	First aid antiseptic	n/a	I	Pending
Antimicrobial I	Antimicrobial	Antimicrobial soap	n/a	IIISE	Pending
Antimicrobial I	Antimicrobial	Skin antiseptic	IIISE	IIISE	Pending
Antimicrobial I	Antimicrobial	Skin protectant	IIISE	IIISE	Pending
Antimicrobial I	Antimicrobial	Preoperative skin preparation	IIISE	IIISE	Pending
Antimicrobial I	Antimicrobial	Skin wound cleanser	I	I	Pending
Antimicrobial I	Antimicrobial	Surgical hand scrub	IIISE	IIISE	Pending
Antimicrobial I	Antimicrobial	Health-care personnel handwash	IIIE	IIIE	Pending
Miscellaneous external	Antifungal	Diaper rash	Defer	n/a	310.545(a)(22)(i)
Miscellaneous external	Antimicrobial	Diaper rash	Defer	IIISE	Pending

(Continued)

Review Panel	Report	Drug Category	Advance Notice Proposed Rulemaking (ANPR)	Proposed Rule (PR)	Federal Register (FR) Listing
Miscellaneous external	Dandruff/seborrheic dermatitis/psoriasis	Cradle cap	IIIE	IIIE	310.545(a)(7)
Miscellaneous external	External analgesic	Diaper rash	Defer	n/a	310.545(a)(10)(iv)
Miscellaneous external	Skin protectant	Diaper rash	Defer	n/a	Pending
Miscellaneous external	Corn/callus remover	Corn/callus remover	IISE	IISE	[55 FR 33261]
Methylcellulose					
Antacid	Antacid	Antacid	IIIE	IIIE	[39 FR 19873]
Laxative	Laxative	Bulk laxative	I	I	Pending
Miscellaneous internal	Weight control	Anorectic	IIIE	IISE	310.545(a)(20)
Ophthalmic	Ophthalmic	Demulcent	I	I	349.12(a)(4)
Methylene blue					
Miscellaneous internal	Menstrual/diuretic	Diuretic	n/a	IISE	310.545(a)(24)(i)
Methylparaben					
Antimicrobial II	Antifungal	Antifungal	IIISE	IIISE	310.545(a)(22)(ii)
Methyltestosterone					
Miscellaneous internal	Aphrodisiac	Aphrodisiac	IIS	IIS	310.528(a)
Miconazole nitrate					
Antimicrobial II	Antifungal	Anticandidal	I	n/a	n/a
Antimicrobial II	Antifungal	Antifungal	I	I	333.210(c)
Milk solids, dried					
Antacid	Antacid	Antacid	I	I	331.11(h)
Mineral oil					
Hemorrhoidal	Anorectal	Protectant (external)	I	I	346.14(7)
Hemorrhoidal	Anorectal	Protectant (intrarectal)	I	I	346.14(7)
Laxative	Laxative	Lubricant laxative	I	I	Pending
Miscellaneous external	Antifungal	Diaper rash	Defer	n/a	310.545(a)(22)(i)
Miscellaneous external	Antimicrobial	Diaper rash	Defer	n/a	Pending
Miscellaneous external	External analgesic	Diaper rash	Defer	n/a	310.545(a)(10)(iv)
Miscellaneous external	External analgesic	Fever blister (topical)	Defer	n/a	n/a
Miscellaneous external	Skin protectant	Diaper rash	Defer	I	Pending
Miscellaneous external	Skin protectant	Fever blister (topical)	Defer	n/a	Pending
Ophthalmic	Ophthalmic	Emollient	I	I	349.14(b)(2)
Mineral oil, light					
Ophthalmic	Ophthalmic	Emollient	I	I	349.14(b)(1)
Minerals					
Miscellaneous internal	Aphrodisiac	Aphrodisiac	n/a	IISE	310.528(a)
Mono- and diglycerides					
Miscellaneous internal	Weight control	Anorectic	IISE	IISE	310.545(a)(20)
Mullein					
Hemorrhoidal	Anorectal	Anorectal (external)	IISE	IISE	310.545(a)(26)(vii)
Hemorrhoidal	Anorectal	Anorectal (intrarectal)	IISE	IISE	310.545(a)(26)(vii)
Mycozyme					
Miscellaneous internal	Digestive aid	Digestive aid (intestinal distress)	n/a	n/a	310.545(a)(18)(ii)

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Review Panel	Report	Drug Category	Advance Notice Proposed Rulemaking (ANPR)	Proposed Rule (PR)	Federal Register (FR) Listing
		Myrrh			
Dental	Relief of oral discomfort	Oral mucosal protectant	IIISE	IIISE	Pending
		Myrrh tincture			
Oral cavity	Oral health care	Antimicrobial	IISE	IISE	310.545(a)(14)
Oral cavity	Oral health care	Astringent	IISE	IISE	Pending
		Myrrh, fluid extract of			
Miscellaneous internal	Digestive aid	Digestive aid (ippuad)	n/a	n/a	310.545(a)(18)(ii)
		Naphazoline hydrochloride			
Cough/cold	Cough/cold (nasal decongestant)	Nasal decongestant (topical/inhalant)	I	I	341.20(b)(6)
Ophthalmic	Ophthalmic	Vasoconstrictor	I	I	349.18(b)
		Natural estrogenic hormone			
Miscellaneous internal	Menstrual/diuretic	Menstrual	n/a	IISE	310.545(a)(24)(i)
		Neomycin sulfate			
Antimicrobial II	Antibiotic	Skin wound protectant	IIIS	Defer	n/a
		Neomycin sulfate (cream)			
Antimicrobial II	Antibiotic	First aid antibiotic	n/a	I	333.110(e)
		Neomycin sulfate (ointment)			
Antimicrobial II	Antibiotic	First aid antibiotic	n/a	I	333.110(d)
		Nettle			
Miscellaneous internal	Digestive aid	Digestive aid (ippuad)	n/a	n/a	310.545(a)(18)(ii)
		Niacinamide			
Miscellaneous internal	Menstrual/diuretic	Menstrual	n/a	IISE	310.545(a)(24)(i)
Miscellaneous internal	Weight control	Anorectic	IISE	IISE	310.545(a)(20)
Sedative	Daytime sedative	Sedative	IIE	IISE	310.519(a)
		Nickel–pectin			
Miscellaneous internal	Digestive aid	Digestive aid (intestinal distress)	n/a	n/a	310.545(a)(18)(ii)
		Nitromersol			
Miscellaneous external	Mercury	First aid antiseptic	n/a	IISE	310.545(a)(27)(i)
Miscellaneous external	Mercury	Antiseptic	IISE	IISE	310.545(a)(27)(i)
Oral cavity	Oral health care	Antimicrobial	IISE	IISE	Pending
		Nonoxynol 9			
Contraceptive/vaginal	Vaginal	Lowers surface tension, mucolytic effects	I	Withdrawn	n/a
Contraceptive/vaginal	Vaginal	Minor irritations	IIIE	Withdrawn	n/a
Contraceptive/vaginal	Contraceptive (vaginal)	Contraceptive	I	Withdrawn	n/a
		Nonylphenoxypoly (ethyleneoxy) ethanol iodine			
Antimicrobial I	Antimicrobial	Surgical hand scrub	IIISE	IIISE	Pending
Antimicrobial I	Antimicrobial	Antimicrobial soap	n/a	IISE	Pending
Antimicrobial I	Antimicrobial	Health-care personnel handwash	IIISE	IIISE	Pending
Antimicrobial I	Antimicrobial	Skin wound cleanser	IIISE	IIISE	Pending

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Review Panel	Report	Drug Category	Advance Notice Proposed Rulemaking (ANPR)	Proposed Rule (PR)	Federal Register (FR) Listing
Antimicrobial I	Antimicrobial	Preoperative skin preparation	IIISE	IIISE	Pending
Antimicrobial I	Antimicrobial	Skin antiseptic	IIISE	IIISE	Pending
Noscapine					
Cough/cold	Cough/cold (antitussive)	Antitussive	IIIE	IIIE	[52 FR 30054]
Noscapine hydrochloride					
Cough/cold	Cough/cold (antitussive)	Antitussive	IIIE	IIIE	[52 FR 30054]
Nucleic acids					
Miscellaneous external	Hair growth/loss	Hair grower	n/a	n/a	310.527(a)
Nutmeg oil (oil of nutmeg)					
Miscellaneous internal	Menstrual/diuretic	Diuretic	n/a	IISE	310.545(a)(24)(i)
Nux vomica					
Miscellaneous internal	Aphrodisiac	Aphrodisiac	IISE	IISE	310.528(a)
Nux vomica extract					
Miscellaneous internal	Digestive aid	Digestive aid (ippuad)	n/a	n/a	310.545(a)(18)(ii)
Nystatin					
Antimicrobial II	Antifungal	Anticandida	I	IIISE	310.545(a)(22)(iv)
Obtundia surgical dressing					
Miscellaneous external	External analgesic	Insect bite/sting	IISE	IISE	Pending
Miscellaneous external	Skin protectant	Insect bite/sting	IISE	IISE	Pending
Octinoxate					
Topical analgesic	Sunscreen	Sunscreen	I	I	352.10(j)
Octisalate					
Topical analgesic	Sunscreen	Sunscreen	I	I	352.10(k)
Octocrylene					
Topical analgesic	Sunscreen	Sunscreen	I	I	352.10(i)
Octoxynol 9					
Contraceptive/vaginal	Vaginal	Minor irritations	IIIE	Withdrawn	310.545(a)(28)(ii)
Contraceptive/vaginal	Vaginal	Lowers surface tension, mucolytic effects	I	Withdrawn	310.545(a)(28)(ii)
Contraceptive/vaginal	Contraceptive (vaginal)	Contraceptive	I	Withdrawn	310.545(a)(28)(ii)
Octyldodecanol					
Miscellaneous external	External analgesic	Fever blister (topical)	Defer	n/a	n/a
Miscellaneous external	Skin protectant	Fever blister (topical)	Defer	n/a	Pending
Octyl triazone					
n/a	TEA	Sunscreen	n/a		
Oil of erigeron					
Miscellaneous internal	Menstrual/diuretic	Diuretic	n/a	IISE	310.545(a)(24)(i)
Olive oil					
Miscellaneous external	Hair growth/loss	Hair grower	IISE	n/a	310.527(a)
Opium, powdered					
Laxative	Antidiarrheal	Antidiarrheal	I	IIISE	310.545(a)(3)(i)

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Review Panel	Report	Drug Category	Advance Notice Proposed Rulemaking (ANPR)	Proposed Rule (PR)	Federal Register (FR) Listing
Laxative	Antidiarrheal	Opium tincture Antidiarrheal	I	IIISE	310.545(a)(3)(i)
Miscellaneous internal	Weight control	Organic vegetables Anorectic	IISE	IISE	310.545(a)(20)
Miscellaneous internal	Digestive aid	Orthophosphoric acid Digestive aid (ippuad)	n/a	n/a	310.545(a)(18)(ii)
Laxative	Laxative	Ox bile Stimulant laxative	IIISE	IIISE	310.545(a)(12)(iv)(A)
Miscellaneous internal	Digestive aid	Ox bile extract Digestive aid (intestinal distress)	IIE	IIE	310.545(a)(8)(i)
Miscellaneous internal	Digestive aid	Digestive aid (ippuad)	IIE	IIE	310.545(a)(8)(i)
Topical analgesic	Sunscreen	Oxybenzone Sunscreen	I	I	352.10(1)
Cough/cold	Cough/cold (nasal decongestant)	Oxymetazoline hydrochloride Nasal decongestant (topical/inhalant)	I	I	341.20(b)(7)
Antimicrobial II	Antifungal	Oxyquinoline Antifungal	IIISE	IIISE	310.545(a)(22)(ii)
Miscellaneous external	Antifungal	Diaper rash	Defer	IISE	310.545(a)(22)(ii)
Miscellaneous external	Antimicrobial	Diaper rash	Defer	IIISE	Pending
Miscellaneous external	External analgesic	Diaper rash	Defer	n/a	310.545(a)(10)(iv)
Miscellaneous external	Skin protectant	Diaper rash	Defer	n/a	Pending
Oral cavity	Oral health care	Antimicrobial	IIISE	IIISE	Pending
Contraceptive/vaginal	Vaginal	Oxyquinoline citrate Minor irritations	IIISE	Withdrawn	n/a
Antimicrobial II	Antifungal	Oxyquinoline sulfate Antifungal	IIISE	IIISE	310.545(a)(22)(ii)
Contraceptive/vaginal	Vaginal	Minor irritations	IIISE	Withdrawn	n/a
Miscellaneous external	Boil treatment	Boil treatment	IISE	IISE	310.531(a)
Miscellaneous external	External analgesic	Astringent	IISE	n/a	n/a
Miscellaneous external	Skin protectant	Astringent	IISE	IISE	310.545(a)(18)(ii)
Antimicrobial II	Antibiotic	Oxytetracycline hydrochloride First aid antibiotic	n/a	I	333.120 [combos]
Antimicrobial II	Antibiotic	Skin wound antibiotic	IIIE	Defer	n/a
Antimicrobial II	Antibiotic	Skin wound protectant	I	Defer	n/a
Miscellaneous external	External analgesic	Ozokerite Fever blister (topical)	Defer	n/a	n/a
Miscellaneous external	Skin protectant	Fever blister (topical)	Defer	n/a	n/a
Miscellaneous external	External analgesic	Padimate A Fever blister (topical)	Defer	Defer	Pending
Miscellaneous external	Skin protectant	Fever blister (topical)	Defer	Defer	Pending
Topical analgesic	Sunscreen	Sunscreen (≥5%)	I	IISE	Pending
Topical analgesic	Sunscreen	Sunscreen (<5%)	I	IIISE	Pending

(Continued)

Review Panel	Report	Drug Category	Advance Notice Proposed Rulemaking (ANPR)	Proposed Rule (PR)	Federal Register (FR) Listing
Padimate O					
Topical analgesic	Sunscreen	Sunscreen	I	I	352.10(m)
Pamabrom					
Miscellaneous internal	Menstrual/diuretic	Diuretic	I	I	Pending
Pancreatin					
Miscellaneous internal	Digestive aid	Digestive aid (ippuad)	IIE	IIE	310.545(a)(8)(i)
Miscellaneous internal	Digestive aid	Digestive aid (intestinal distress)	IIIE	IIIE	310.545(a)(8)(i)
Miscellaneous internal	Exocrine pancreatic insufficiency	Exocrine pancreatic insufficiency	I	I	310.543(a)
Miscellaneous internal	Weight control	Anorectic	IISE	IISE	310.545(a)(20)
Pancrelipase					
Miscellaneous internal	Digestive aid	Digestive aid (intestinal distress)	IIIE	IIIE	310.545(a)(8)(i)
Miscellaneous internal	Digestive aid	Digestive aid (ippuad)	IIE	IIE	310.545(a)(8)(i)
Miscellaneous internal	Exocrine pancreatic insufficiency	Exocrine pancreatic insufficiency	I	I	310.543(a)
Panthenol					
Miscellaneous external	Antifungal	Diaper rash	Defer	n/a	310.545(a)(22)(i)
Miscellaneous external	Antimicrobial	Diaper rash	Defer	n/a	Pending
Miscellaneous external	External analgesic	Diaper rash	Defer	n/a	310.545(a)(10)(iv)
Miscellaneous external	External analgesic	Insect bite/sting	n/a	IIIE	310.545(a)(10)(vi)
Miscellaneous external	External analgesic	Poison ivy/oak/sumac	Defer	IIIE	310.545(a)(10)(vii)
Miscellaneous external	Skin protectant	Diaper rash	Defer	n/a	Pending
Miscellaneous external	Skin protectant	Poison ivy/oak/sumac	Defer	IISE	310.545(a)(18)(vi)
Miscellaneous external	Corn/callus remover	Corn/callus remover	IISE	IISE	[55 FR 33261]
Pantothenic acid					
Miscellaneous internal	Weight control	Anorectic	IISE	IISE	310.545(a)(20)
Papain					
Contraceptive/vaginal	Vaginal	Lowers surface tension, mucolytic effects	IIIE	n/a	Withdrawn
Miscellaneous internal	Digestive aid	Digestive aid (intestinal distress)	IIE	IIE	310.545(a)(8)(i)
Miscellaneous internal	Weight control	Anorectic	IISE	IISE	310.545(a)(20)
Papaya enzymes					
Miscellaneous internal	Weight control	Anorectic	IISE	IISE	310.545(a)(20)
Papaya, natural					
Miscellaneous internal	Digestive aid	Digestive aid (intestinal distress)	n/a	n/a	310.545(a)(18)(ii)
Para-chloromercuriphenol					
Miscellaneous external	Antifungal	Diaper rash	Defer	n/a	310.545(a)(22)(i)
Miscellaneous external	Antimicrobial	Diaper rash	Defer	IISE	310.545(a)(27)(ii)
Miscellaneous external	External analgesic	Diaper rash	Defer	n/a	310.545(a)(10)(iv)
Miscellaneous external	Mercury	First aid antiseptic	IISE	n/a	310.545(a)(27)(i)
Miscellaneous external	Mercury	Antiseptic	IISE	IISE	310.545(a)(27)(i)
Miscellaneous external	Skin protectant	Diaper rash	Defer	n/a	310.545(a)(27)(ii)

(Continued)

Review Panel	Report	Drug Category	Advance Notice Proposed Rulemaking (ANPR)	Proposed Rule (PR)	Federal Register (FR) Listing
		Para-<i>t</i>-butyl-<i>m</i>-cresol			
Miscellaneous external	External analgesic	Astringent	IISE	n/a	n/a
Miscellaneous external	Skin protectant	Astringent	IISE	IISE	310.545(a)(18)(ii)
		Paraffin			
Miscellaneous external	External analgesic	Fever blister (topical)	Defer	n/a	n/a
Miscellaneous external	Skin protectant	Fever blister (topical)	Defer	n/a	n/a
Ophthalmic	Ophthalmic	Emollient	I	I	349.14(b)(3)
		Paregoric			
Laxative	Antidiarrheal	Antidiarrheal	I	IIISE	310.545(a)(3)(i)
		Parethoxycaine hydrochloride			
Miscellaneous external	External analgesic	Poison ivy/oak/sumac	Defer	IISE	310.545(a)(10)(vii)
Miscellaneous external	Skin protectant	Poison ivy/oak/sumac	Defer	IISE	310.545(a)(18)(vi)(A)
		Parsley			
Miscellaneous internal	Menstrual/diuretic	Diuretic	n/a	IISE	310.545(a)(24)(i)
		Passion flower extract			
Sedative	Nighttime sleep aid	Sleep aid	IIE	IIE	[54 FR 6826]
		Pectin			
Laxative	Antidiarrheal	Antidiarrheal	IIIE	IIIE	310.545(a)(3)(ii)
Miscellaneous external	External analgesic	Fever blister (topical)	Defer	IIISE	310.545(a)(10)(v)
Miscellaneous external	Skin protectant	Fever blister (topical)	Defer	Defer	Pending
Miscellaneous internal	Digestive aid	Digestive aid (ippuad)	n/a	n/a	310.545(a)(18)(ii)
Oral cavity	Oral health care	Demulcent	I	I	Pending
		Pega palo			
Miscellaneous internal	Aphrodisiac	Aphrodisiac	IISE	IISE	310.528(a)
		Peppermint			
Miscellaneous internal	Digestive aid	Digestive aid (intestinal distress)	n/a	n/a	310.545(a)(18)(ii)
		Peppermint oil			
Cough/cold	Cough/cold (antitussive)	Antitussive (topical/inhalant)	IIIE	IIIE	[52 FR 30054]
Cough/cold	Cough/cold (expectorant)	Expectorant (lozenge)	IIIE	IIIE	310.545(a)(6)(iii)
Cough/cold	Cough/cold (expectorant)	Expectorant (topical/inhalant)	IIIE	IIIE	310.545(a)(6)(iii)
Cough/cold	Cough/cold (nasal decongestant)	Nasal decongestant (inhalant room spray)	IIIE	IIIE	[59 FR 43408]
Cough/cold	Cough/cold (nasal decongestant)	Nasal decongestant (lozenge)	IIIE	IIIE	[59 FR 43408]
Cough/cold	Cough/cold (nasal decongestant)	Nasal decongestant (mouthwash)	IIIE	IIIE	310.545(a)(6)(ii)(A)
Cough/cold	Cough/cold (nasal decongestant)	Nasal decongestant (topical/inhalant)	IIIE	IIIE	[59 FR 43408]
Miscellaneous external	Antifungal	Diaper rash	Defer	n/a	310.545(a)(22)(i)
Miscellaneous external	Antimicrobial	Diaper rash	Defer	n/a	Pending
Miscellaneous external	External analgesic	Astringent	IISE	n/a	n/a
Miscellaneous external	External analgesic	Diaper rash	Defer	n/a	310.545(a)(10)(iv)
Miscellaneous external	External analgesic	Fever blister (topical)	Defer	n/a	n/a
Miscellaneous external	External analgesic	Insect bite/sting	IISE	IISE	310.545(a)(10)(vi)
Miscellaneous external	Skin protectant	Astringent	IISE	IISE	310.545(a)(18)(ii)

(Continued)

Review Panel	Report	Drug Category	Advance Notice Proposed Rulemaking (ANPR)	Proposed Rule (PR)	Federal Register (FR) Listing
Miscellaneous external	Skin protectant	Diaper rash	Defer	n/a	Pending
Miscellaneous external	Skin protectant	Fever blister (topical)	Defer	n/a	n/a
Miscellaneous external	Skin protectant	Insect bite/sting	IISE	IISE	310.545(a)(18)(v)
Miscellaneous internal	Digestive aid	Digestive aid (ippuad)	IIIE	IIIE	310.545(a)(8)(i)
Peppermint oil and sage oil					
====	Gingivitis/plaque	Anti plaque/gingivitis	n/a	IIIE	Pending
Peppermint spirit					
Miscellaneous internal	Digestive aid	Digestive aid (ippuad)	n/a	n/a	310.545(a)(18)(ii)
Miscellaneous internal	Menstrual/diuretic	Pre-menstrual/ menstrual period	n/a	IISE	310.545(a)(24)(i)
Pepsin					
Miscellaneous internal	Digestive aid	Digestive aid (ippuad)	IIE	IIE	310.545(a)(8)(i)
Miscellaneous internal	Digestive aid	Digestive aid (intestinal distress)	IIE	IIE	310.545(a)(8)(i)
Miscellaneous internal	Stomach acidifier	Stomach acidifier	IIE	IIE	310.540(a)
Miscellaneous internal	Weight control	Anorectic	IISE	IISE	310.545(a)(20)
Pepsin, essence					
Miscellaneous internal	Menstrual/diuretic	Diuretic	n/a	IISE	310.545(a)(24)(i)
Peruvian balsam					
Hemorrhoidal	Anorectal	Wound healing agent (intrarectal)	IIIE	IIIE	310.545(a)(26)(x)
Hemorrhoidal	Anorectal	Wound healing (external)	IIIE	IIIE	310.545(a)(26)(x)
Miscellaneous external	Antifungal	Diaper rash	Defer	n/a	310.545(a)(22)(i)
Miscellaneous external	Antimicrobial	Diaper rash	Defer	n/a	Pending
Miscellaneous external	External analgesic	Diaper rash	Defer	n/a	310.545(a)(10)(iv)
Miscellaneous external	Skin protectant	Diaper rash	Defer	IIIE	Pending
Peruvian balsam oil					
Miscellaneous external	Antifungal	Diaper rash	Defer	n/a	310.545(a)(22)(i)
Miscellaneous external	Antimicrobial	Diaper rash	Defer	n/a	Pending
Miscellaneous external	External analgesic	Diaper rash	Defer	n/a	310.545(a)(10)(iv)
Miscellaneous external	Skin protectant	Diaper rash	Defer	IIIE	Pending
Petrolatum					
Hemorrhoidal	Anorectal	Protectant (external)	n/a	I	346.14(8)
Hemorrhoidal	Anorectal	Protectant (intrarectal)	n/a	I	346.14(8)
Miscellaneous external	Antifungal	Diaper rash	Defer	n/a	310.545(a)(22)(i)
Miscellaneous external	Antimicrobial	Diaper rash	Defer	n/a	Pending
Miscellaneous external	Boil treatment	Boil treatment	IISE	IISE	310.531(a)
Miscellaneous external	External analgesic	Diaper rash	Defer	n/a	310.545(a)(10)(iv)
Miscellaneous external	External analgesic	Fever blister (topical)	Defer	n/a	n/a
Miscellaneous external	Skin protectant	Diaper rash	Defer	I	Pending
Miscellaneous external	Skin protectant	Fever blister (topical)	Defer	I	Pending
Ophthalmic	Ophthalmic	Emollient	n/a	I	349.14(b)(4)
Topical analgesic	Skin protectant	Skin protectant	I	I	347.10(m)
Petrolatum, red					
Topical analgesic	Sunscreen	Sunscreen	I	I	310.545(a)(29)
Petrolatum, white					
Hemorrhoidal	Anorectal	Protectant (intrarectal)	I	I	346.14(10)
Hemorrhoidal	Anorectal	Protectant (external)	I	I	346.14(10)

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Review Panel	Report	Drug Category	Advance Notice Proposed Rulemaking (ANPR)	Proposed Rule (PR)	Federal Register (FR) Listing
Miscellaneous external	Antifungal	Diaper rash	Defer	n/a	310.545(a)(22)(i)
Miscellaneous external	Antimicrobial	Diaper rash	Defer	n/a	Pending
Miscellaneous external	External analgesic	Diaper rash	Defer	n/a	310.545(a)(10)(iv)
Miscellaneous external	External analgesic	Fever blister (topical)	Defer	n/a	n/a
Miscellaneous external	Skin protectant	Diaper rash	Defer	I	Pending
Miscellaneous external	Skin protectant	Fever blister (topical)	Defer	I	Pending
Ophthalmic	Ophthalmic	Emollient	I	I	349.14(b)(6)
Topical analgesic	Skin protectant	Skin protectant	I	I	347.10(r)
Phenacaine hydrochloride					
Hemorrhoidal	Anorectal	Anesthetic (external)	IIS	IIS	310.545(a)(26)(vi)
Hemorrhoidal	Anorectal	Anesthetic (intra-rectal)	IIS	IIS	310.545(a)(26)(vi)
Phenacetin					
Internal analgesic	Internal analgesic	Analgesic	IIS	IIS	310.545(a)(23)(i)
Internal analgesic	Internal analgesic	Antipyretic	IIS	IIS	310.545(a)(23)(i)
Internal analgesic	Internal analgesic	Antirheumatic	IISE	Not OTC	310.545(a)(23)(i)
Miscellaneous internal	Digestive aid	Digestive aid (intestinal distress)	n/a	n/a	310.545(a)(18)(ii)
Miscellaneous internal	Menstrual/diuretic	Analgesic	IIS	IISE	310.545(a)(24)(i)
Miscellaneous internal	Weight control	Anorectic	IISE	IISE	310.545(a)(20)
Phenindamine tartrate					
Cough/cold	Cough/cold (antihistamine)	Antihistamine	I	I	341.12(i)
Miscellaneous internal	Menstrual/diuretic	Menstrual	n/a	IISE	310.545(a)(24)(i)
Pheniramine maleate					
Cough/cold	Cough/cold (antihistamine)	Antihistamine	I	I	341.12(j)
Internal analgesic	Internal analgesic	Analgesic adjuvant	IIIE	IIIE	310.545(a)(23)(i)
Internal analgesic	Internal analgesic	Antipyretic adjuvant	IIIE	IIIE	310.545(a)(23)(i)
Internal analgesic	Internal analgesic	Antirheumatic adjuvant	IIIE	n/a	310.545(a)(23)(i)
Phenobarbital					
Cough/cold	Cough/cold (miscellaneous)	Corrective	IIIE	IIS	Pending
Phenol					
Antimicrobial I	Antimicrobial	First aid antiseptic	n/a	n/a	Pending
Antimicrobial I	Antimicrobial	Surgical hand scrub	IIIE	IIIE	Pending
Antimicrobial I	Antimicrobial	Surgical hand scrub	IIS	IIS	Pending
Antimicrobial I	Antimicrobial	Skin wound cleanser	IIIE	IIIE	Pending
Antimicrobial I	Antimicrobial	Skin antiseptic	IIS	IIS	Pending
Antimicrobial I	Antimicrobial	Skin wound protectant	IIIE	IIIE	Pending
Antimicrobial I	Antimicrobial	Antimicrobial soap	IIS	IIS	Pending
Antimicrobial I	Antimicrobial	Antimicrobial soap	IIIE	IIIE	Pending
Antimicrobial I	Antimicrobial	Skin wound protectant	n/a	IIISE	Pending
Antimicrobial I	Antimicrobial	Skin wound protectant	IIS	IIS	Pending
Antimicrobial I	Antimicrobial	Preoperative skin preparation	IIS	IIS	Pending
Antimicrobial I	Antimicrobial	Preoperative skin preparation	IIIE	IIIE	Pending
Antimicrobial I	Antimicrobial	Skin wound cleanser	IIS	IIS	Pending
Antimicrobial I	Antimicrobial	First aid antiseptic	n/a	I	Pending

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Review Panel	Report	Drug Category	Advance Notice Proposed Rulemaking (ANPR)	Proposed Rule (PR)	Federal Register (FR) Listing
Antimicrobial I	Antimicrobial	Skin antiseptic	IIIE	IIIE	Pending
Antimicrobial I	Antimicrobial	Health-care personnel handwash	IIIE	IIIE	Pending
Antimicrobial I	Antimicrobial	Health-care personnel handwash	IIS	IIS	Pending
Antimicrobial II	Acne	Acne	IIE	IIE	310.545(a)(1)
Antimicrobial II	Antifungal	Antifungal	IISE	IIISE	310.545(a)(22)(ii)
Contraceptive/vaginal	Vaginal	Minor irritations	IIISE	Withdrawn	n/a
Dental	Relief of oral discomfort	Toothache relief	IIISE	IIISE	Pending
Dental	Relief of oral discomfort	Oral mucosal analgesic	I	I	Pending
Hemorrhoidal	Anorectal	Antiseptic (intrarectal)	IISE	IISE	310.545(a)(26)(ii)
Hemorrhoidal	Anorectal	Antiseptic (external)	IISE	IISE	310.545(a)(26)(ii)
Miscellaneous external	Antifungal	Diaper rash	Defer	IISE	310.545(a)(22)(ii)
Miscellaneous external	Antimicrobial	Diaper rash	Defer	IISE	310.545(a)(27)(ii)
Miscellaneous external	Boil treatment	Boil treatment	IISE	IIISE	310.531(a)
Miscellaneous external	Dandruff/seborrheic dermatitis/psoriasis	Dandruff/seborrheic dermatitis/psoriasis	IIIE	IIIE	310.545(a)(7)
Miscellaneous external	External analgesic	Astringent	IISE	n/a	n/a
Miscellaneous external	External analgesic	Diaper rash	Defer	IISE	310.545(a)(10)(iv)
Miscellaneous external	External analgesic	Fever blister (topical)	Defer	I	Pending
Miscellaneous external	External analgesic	Insect bite/sting	IISE	I	Pending
Miscellaneous external	External analgesic	Poison ivy/oak/ sumac	Defer	I	Pending
Miscellaneous external	Skin protectant	Astringent	IISE	IISE	310.545(a)(18)(ii)
Miscellaneous external	Skin protectant	Diaper rash	Defer	n/a	310.545(a)(27)(ii)
Miscellaneous external	Skin protectant	Insect bite/sting	IISE	IISE	310.545(a)(18)(v)(A)
Miscellaneous external	Skin protectant	Poison ivy/oak/ sumac	Defer	IISE	310.545(a)(18)(vi)(A)
Oral cavity	Oral health care	Antimicrobial	IIIE	IIIE	Pending
Oral cavity	Oral health care	Analgesic/anesthetic	I	I	Pending
Topical analgesic	External analgesic	Analgesic/anesthetic	I	I	Pending
Phenol sulfonate					
Laxative	Antiemetic	Antiemetic	IIIE	IIE	[52 FR 15891]
Topical analgesic	Sunscreen	Sunscreen	n/a	n/a	[64 FR 27682]
Phenolate sodium					
n/a	External analgesic	Poison ivy/oak/ sumac	n/a	I	Pending
Antimicrobial II	Acne	Acne	IIE	IIE	310.545(a)(1)
Antimicrobial II	Antifungal	Antifungal	IISE	IIISE	310.545(a)(22)(ii)
Contraceptive/vaginal	Vaginal	Minor irritations	IISE	Withdrawn	n/a
Dental	Relief of oral discomfort	Toothache relief	IIISE	IIISE	Pending
Dental	Relief of oral discomfort	Oral mucosal analgesic	I	I	Pending
Hemorrhoidal	Anorectal	Antiseptic (external)	IISE	IISE	310.545(a)(26)(ii)
Hemorrhoidal	Anorectal	Antiseptic (intrarectal)	IISE	IISE	310.545(a)(26)(ii)
Miscellaneous external	Dandruff/seborrheic dermatitis/psoriasis	Dandruff/seborrheic dermatitis/psoriasis	IIIE	IIIE	310.545(a)(7)
Miscellaneous external	External analgesic	Fever blister (topical)	Defer	I	Pending
Oral cavity	Oral health care	Antimicrobial	IIIE	IIIE	Pending
Topical analgesic	External analgesic	Analgesic/anesthetic	I	I	Pending
Phenolphthalein, yellow					
Laxative	Laxative	Stimulant laxative	I	I/IIIS	310.545(a)(12)(iv)(B)

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Review Panel	Report	Drug Category	Advance Notice Proposed Rulemaking (ANPR)	Proposed Rule (PR)	Federal Register (FR) Listing
Phenolphthalein, white					
Laxative	Laxative	Stimulant laxative	I	I/IS	310.545(a)(12)(iv)(B)
Phenoxyacetic acid					
Miscellaneous external	Corn/callus remover	Corn/callus remover	IIIE	IIIE	[55 FR 33261]
Phenyl salicylate					
Antimicrobial II	Acne	Acne	IIIE	IIIE	310.545(a)(1)
Antimicrobial II	Antifungal	Antifungal	IIISE	IIISE	310.545(a)(22)(ii)
Laxative	Antidiarrheal	Antidiarrheal	IIIE	IIIE	310.545(a)(3)(i)
Laxative	Antiemetic	Antiemetic	IIIE	IIIE	[52 FR 15891]
Miscellaneous external	Corn/callus remover	Corn/callus remover	IIIE	IIIE	[55 FR 33261]
Miscellaneous internal	Menstrual/diuretic	Diuretic	n/a	IIIE	310.545(a)(24)(i)
Phenylalanine					
Miscellaneous internal	Weight control	Anorectic	IIIE	IIIE	310.545(a)(20)
Phenylephrine bitartrate (in an effervescent dosage form)					
n/a	n/a	Nasal decongestant (oral)	n/a	n/a	341.20(a)(4)
Phenylephrine hydrochloride					
Cough/cold	Cough/cold (nasal decongestant)	Nasal decongestant (oral)	I	I	341.20(a)(1)
Cough/cold	Cough/cold (nasal decongestant)	Nasal decongestant (topical/inhalant)	I	I	341.20(b)(8)
Hemorrhoidal	Anorectal	Vasoconstrictor (intrarectal)	I	I	346.10(d)
Hemorrhoidal	Anorectal	Vasoconstrictor (external)	I	I	346.10(d)
Oral cavity	Oral health care	Oral mucosal decongestant	IIIE	IIIE	Pending
Phenylephrine hydrochloride (0.08%–0.2%)					
Ophthalmic	Ophthalmic	Vasoconstrictor	I	I	349.18(c)
Phenylephrine hydrochloride (less than 0.08%)					
Ophthalmic	Ophthalmic	Vasoconstrictor	IIIE	IIIE	310.545(a)(21)(v)
Phenylmercuric acetate					
Contraceptive/vaginal	Contraceptive (vaginal)	Contraceptive	IIS	Withdrawn	310.545(a)(28)
Phenylmercuric nitrate					
Contraceptive/vaginal	Contraceptive (vaginal)	Contraceptive	IIS	Withdrawn	310.545(a)(28)
Miscellaneous external	Antifungal	Diaper rash	Defer	n/a	310.545(a)(22)(i)
Miscellaneous external	Antimicrobial	Diaper rash	Defer	n/a	Pending
Miscellaneous external	Mercury	First aid antiseptic	n/a	IIIE	310.545(a)(27)(i)
Miscellaneous external	External analgesic	Diaper rash	Defer	n/a	310.545(a)(10)(iv)
Miscellaneous external	Skin protectant	Diaper rash	Defer	n/a	Pending
Miscellaneous external	Mercury	Antiseptic	IIIE	IIIE	310.545(a)(27)(i)
Phenylpropranolamine bitartrate					
Cough/cold	Cough/cold (nasal decongestant)	Nasal decongestant (oral)	I	II	Pending
Phenylpropranolamine hydrochloride					
Cough/cold	Cough/cold (nasal decongestant)	Nasal decongestant (oral)	I	II	Pending

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Review Panel	Report	Drug Category	Advance Notice Proposed Rulemaking (ANPR)	Proposed Rule (PR)	Federal Register (FR) Listing
Cough/cold	Cough/cold (nasal decongestant)	Nasal decongestant (topical/inhalant)	IIIE	II	Pending
Miscellaneous internal	Weight control	Anorectic	I	I/IIS	Pending
Oral cavity	Oral health care	Oral mucosal decongestant	IIIE	IIIE	Pending
Phenylpropanolamine maleate					
Cough/cold	Cough/cold (nasal decongestant)	Nasal decongestant (oral)	I	II	Pending
Phenyltoloxamine citrate					
Internal analgesic	Internal analgesic	Antipyretic adjuvant	IIIE	IIIE	Pending
Internal analgesic	Internal analgesic	Antirheumatic adjuvant	IIIE	n/a	n/a
Phenyltoloxamine dihydrogen citrate					
Cough/cold	Cough/cold (antihistamine)	Antihistamine	IIIE	IIIE	310.545(a)(6)(i)(B)
Miscellaneous external	Skin protectant	Poison ivy/oak/ sumac	Defer	IISE	310.545(a)(18)(vi)
Sedative	Nighttime sleep aid	Sleep aid	IIISE	IIISE	[54 FR 6826]
Miscellaneous external	External analgesic	Poison ivy/oak/ sumac	Defer	IISE	310.545(a)(10)(vii)
Phosphorated carbohydrate					
Laxative	Antiemetic	Antiemetic	IIIE	IIIE	[52 FR 15891]
Phosphoric acid					
Dental	Anticaries	Anticavity agent	IIE	n/a	310.545(a)(2)(ii)
Phosphorus					
Miscellaneous internal	Weight control	Anorectic	IISE	IISE	310.545(a)(20)
Phytolacca					
Miscellaneous internal	Weight control	Anorectic	IISE	IISE	310.545(a)(20)
Picrotoxin					
Miscellaneous external	Pediculicide	Pediculicide	IISE	IISE	310.545(a)(25)(i)
Pine tar					
Cough/cold	Cough/cold (expectorant)	Expectorant	IIIE	IIIE	310.545(a)(6)(iii)
Miscellaneous external	Boil treatment	Boil treatment	IISE	IISE	310.531(a)
Miscellaneous external	Dandruff/seborrheic dermatitis/psoriasis	Dandruff/seborrheic dermatitis/psoriasis	IIIE	IIIE	310.545(a)(7)
Pine tar syrup					
Cough/cold	Cough/cold (expectorant)	Expectorant	IIIE	IIIE	310.545(a)(6)(iii)
Pineapple enzymes					
Miscellaneous internal	Weight control	Anorectic	IISE	IISE	310.545(a)(20)
Piperazine citrate					
Miscellaneous internal	Anthelmintic	Anthelmintic	IIS	IIS	[51 FR 27758]
Piperocaine hydrochloride					
Ophthalmic	Ophthalmic	Analgesic/anesthetic	IIS	IIS	310.545(a)(21)(i)
Pipsissewa					
Miscellaneous internal	Menstrual/diuretic	Diuretic	n/a	IISE	310.545(a)(24)(i)
Piroctone olamine					
n/a	TEA	Dandruff	n/a		

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Review Panel	Report	Drug Category	Advance Notice Proposed Rulemaking (ANPR)	Proposed Rule (PR)	Federal Register (FR) Listing
Miscellaneous internal	Menstrual/diuretic	<i>Piscidia erythrina</i> Dysmenorrhea	IIE	IIE	310.545(a)(24)(i)
Laxative	Laxative	Plantago seed Bulk laxative	I	IISE	Pending
Miscellaneous internal	Weight control	Anorectic	IIIE	IISE	310.545(a)(20)
Laxative	Laxative	Podophyllum resin Stimulant laxative	IIS	IIS	310.545(a)(12)(iv)(A)
Antimicrobial I	Antimicrobial	Poloxamer 188 Skin wound cleanser	n/a	I	Pending
Laxative	Laxative	Stool softener	IIIE	IIIE	310.545(a)(12)(iii)
Dental	Relief of oral discomfort	Poloxamer 407 Tooth desensitizer (in combination only)	IIIE	IIIE	Pending
Antimicrobial I	Antimicrobial	Poloxamer–iodine complex Surgical hand scrub	IIISE	IIISE	Pending
Antimicrobial I	Antimicrobial	Preoperative skin preparation	IIISE	IIISE	Pending
Antimicrobial I	Antimicrobial	Antimicrobial soap	n/a	IISE	Pending
Antimicrobial I	Antimicrobial	Skin wound cleanser	IIISE	IIISE	Pending
Antimicrobial I	Antimicrobial	Skin antiseptic	IIISE	IIISE	Pending
Antimicrobial I	Antimicrobial	Skin protectant	IIISE	IIISE	Pending
Antimicrobial I	Antimicrobial	Health-care personnel handwash	IIISE	IIISE	Pending
Laxative	Antidiarrheal	Polycarbophil Antidiarrheal	I	I	310.545(a)(3)(ii)
Laxative	Laxative	Bulk laxative	I	I	Pending
Laxative	Antidiarrheal	Polycarbophil, calcium Antidiarrheal	n/a	I	310.545(a)(3)(ii)
Laxative	Laxative	Bulk laxative	n/a	n/a	n/a
n/a	Gingivitis/plaque	Polydimethylsiloxane and poloxamer Antiplaque/gingivitis	n/a	IIIE	Pending
Ophthalmic	Ophthalmic	Polyethylene glycol 300 Demulcent	I	I	349.12(d)(2)
Ophthalmic	Ophthalmic	Polyethylene glycol 400 Demulcent	I	I	349.12(d)(3)
Ophthalmic	Ophthalmic	Polyethylene glycol 6000 Demulcent	n/a	IIIE	310.545(a)(21)(iv)
Antimicrobial II	Antibiotic	Polymyxin B sulfate Skin wound protectant	I	Defer	n/a
Antimicrobial II	Antibiotic	First aid antibiotic	IIIE	I	333.120 [combos]
Antimicrobial II	Antibiotic	Skin wound antibiotic	I	Defer	n/a
Miscellaneous external	External analgesic	Polyoxyethylene laurate Astringent	IISE	n/a	n/a
Miscellaneous external	Skin protectant	Astringent	IISE	IISE	310.545(a)(18)(ii)

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Review Panel	Report	Drug Category	Advance Notice Proposed Rulemaking (ANPR)	Proposed Rule (PR)	Federal Register (FR) Listing
		Polysorbate 20			
Miscellaneous external	Hair growth/loss	Hair grower	n/a	IIE	310.527(a)
		Polysorbate 60			
Miscellaneous external	Hair growth/loss	Hair grower	n/a	IIE	310.527(a)
		Polysorbate 80			
Ophthalmic	Ophthalmic	Demulcent	I	I	349.12(d)(4)
		Polyvinyl alcohol			
Ophthalmic	Ophthalmic	Demulcent	I	I	349.12(e)
		Potassium acetate			
Miscellaneous internal	Menstrual/diuretic	Diuretic	n/a	IISE	310.545(a)(24)(i)
		Potassium bicarbonate			
Antacid	Antacid	Antacid	n/a	n/a	331.11(j)(1)
Miscellaneous internal	Digestive aid	Digestive aid (ippuad)	n/a	n/a	310.545(a)(18)(ii)
		Potassium bromide			
Sedative	Nighttime sleep aid	Sleep aid	IISE	IISE	[54 FR 6826]
Sedative	Daytime sedative	Sedative	IIS	IISE	310.519(a)
		Potassium carbonate			
Antacid	Antacid	Antacid	n/a	n/a	331.11(j)(1)
Laxative	Antidiarrheal	Antidiarrheal	IIE	IIE	310.545(a)(3)(ii)
Miscellaneous internal	Digestive aid	Digestive aid (ippuad)	n/a	n/a	310.545(a)(18)(ii)
		Potassium chlorate			
Oral cavity	Oral health care	Antimicrobial	IISE	IISE	Pending
		Potassium citrate			
Miscellaneous internal	Weight control	Anorectic	IISE	IISE	310.545(a)(20)
		Potassium extract			
Miscellaneous internal	Weight control	Anorectic	IISE	IISE	310.545(a)(20)
		Potassium ferrocyanide			
Miscellaneous external	External analgesic	Astringent	IISE	n/a	Pending
Miscellaneous external	Skin protectant	Astringent	IISE	IISE	310.545(a)(18)(ii)
		Potassium guaiacolsulfonate			
Cough/cold	Cough/cold (expectorant)	Expectorant	IIIE	IIIE	310.545(a)(6)(iii)
		Potassium iodide			
Cough/cold	Cough/cold (expectorant)	Expectorant	IIS	IISE	310.545(a)(6)(iii)
Oral cavity	Oral health care	Expectorant	IIS	IIS	310.545(a)(6)(iii)
		Potassium nitrate			
Dental	Relief of oral discomfort	Tooth desensitizer	IIIE	I	Pending
Miscellaneous internal	Menstrual/diuretic	Diuretic	n/a	IISE	310.545(a)(24)(i)
		Potassium sorbate			
Contraceptive/vaginal	Vaginal	Minor irritations	I	Withdrawn	n/a
		Povidone			
Miscellaneous external	External analgesic	Poison ivy/oak/ sumac	Defer	IISE	310.545(a)(18)(vi)(A)
Miscellaneous external	Skin protectant	Poison ivy/oak/ sumac	Defer	IIE	310.545(a)(18)(vi)(A)
Ophthalmic	Ophthalmic	Demulcent	I	I	349.12(f)

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Review Panel	Report	Drug Category	Advance Notice Proposed Rulemaking (ANPR)	Proposed Rule (PR)	Federal Register (FR) Listing
Povidone silver nitrate					
Miscellaneous internal	Smoking deterrent	Smoking deterrent	n/a	n/a	310.544(d)
Povidone vinylacetate copolymers					
Miscellaneous external	Skin protectant	Poison ivy/oak/ sumac	n/a	n/a	310.545(a)(18)(vi)(A)
Povidone-iodine					
Antimicrobial I	Antimicrobial	Antiseptic	n/a	I	Pending
Antimicrobial I	Antimicrobial	Surgical hand scrub	IIISE	IIISE	Pending
Antimicrobial I	Antimicrobial	Antimicrobial soap	n/a	IIISE	Pending
Antimicrobial I	Antimicrobial	Skin protectant	IIISE	IIISE	Pending
Antimicrobial I	Antimicrobial	Skin wound cleanser	IIISE	IIISE	Pending
Antimicrobial I	Antimicrobial	Preoperative skin preparation	IIISE	IIISE	Pending
Antimicrobial I	Antimicrobial	Skin antiseptic	IIISE	IIISE	Pending
Antimicrobial I	Antimicrobial	Health-care personnel handwash	IIISE	IIISE	Pending
Antimicrobial II	Acne	Acne	IIIE	IIIE	310.545(a)(1)
Antimicrobial II	Antifungal	Antifungal	IIIE	I	333.210(d)
Contraceptive/vaginal	Vaginal	Minor irritations	I	Withdrawn	n/a
Miscellaneous external	Dandruff/seborrheic dermatitis/psoriasis	Dandruff/seborrheic dermatitis/psoriasis	IIIE	IIIE	310.545(a)(7)
Oral cavity	Oral health care	Antimicrobial	IIISE	IIISE	Pending
Pramoxine hydrochloride					
n/a	External analgesic	Poison ivy/oak/ sumac	n/a	I	Pending
Hemorrhoidal	Anorectal	Anesthetic (external)	I	I	346.10(g)
Hemorrhoidal	Anorectal	Anesthetic (intrarectal)	IIIE	IIIE	[55 FR 1779]
Miscellaneous external	Antifungal	Diaper rash	Defer	n/a	310.545(a)(22)(i)
Miscellaneous external	Antimicrobial	Diaper rash	Defer	n/a	Pending
Miscellaneous external	External analgesic	Diaper rash	Defer	IISE	310.545(a)(10)(iv)
Miscellaneous external	External analgesic	Fever blister (topical)	Defer	I	Pending
Miscellaneous external	Skin protectant	Diaper rash	Defer	n/a	Pending
Topical analgesic	External analgesic	Analgesic/anesthetic	I	I	Pending
Pregnenolone					
Miscellaneous external	Hormone	Hormone	IISE	IIIE	310.530(a)
Pregnenolone acetate					
Miscellaneous external	Hormone	Hormone	IISE	IIIE	310.530(a)
Progesterone					
Miscellaneous external	Hormone	Hormone	IIIE	IIIE	310.530(a)
Prolase					
Miscellaneous internal	Digestive aid	Digestive aid (intestinal distress)	n/a	n/a	310.545(a)(18)(ii)
Promethazine hydrochloride					
Cough/cold	Cough/cold (antihistamine)	Antihistamine	I	IIIS	[57 FR 58373]
Propionic acid					
Antimicrobial II	Antifungal	Antifungal	IIIE	IIIE	310.545(a)(22)(ii)
Propyl benzoate					
Miscellaneous external	Skin protectant	Fever blister (topical)	Defer	n/a	n/a

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Review Panel	Report	Drug Category	Advance Notice Proposed Rulemaking (ANPR)	Proposed Rule (PR)	Federal Register (FR) Listing
Miscellaneous external	External analgesic	Propyl p-benzoate Fever blister (topical)	Defer	n/a	n/a
Miscellaneous external	Pediculicide	Propylene glycol Pediculicide	IISE	IISE	310.545(a)(25)(i)
Ophthalmic	Ophthalmic	Demulcent	I	I	349.12(d)(5)
Miscellaneous external	Hair growth/loss	Hair grower	IISE	n/a	310.527(a)
Cough/cold	Cough/cold (nasal decongestant)	Propylhexedrine Nasal decongestant (topical/inhalant)	I	I	341.20(b)(9)
Antimicrobial II	Antifungal	Propylparaben Antifungal	IIISE	IIISE	310.545(a)(22)(ii)
Miscellaneous internal	Digestive aid	Protease Digestive aid (intestinal distress)	n/a	n/a	310.545(a)(18)(ii)
Miscellaneous external	Hair growth/loss	Protein Hair grower	IISE	n/a	310.527(a)
Miscellaneous external	Antifungal	Protein hydrolysate Diaper rash	Defer	n/a	310.545(a)(22)(i)
Miscellaneous external	Antimicrobial	Diaper rash	Defer	n/a	Pending
Miscellaneous external	External analgesic	Diaper rash	Defer	n/a	310.545(a)(10)(iv)
Miscellaneous external	Skin protectant	Diaper rash	Defer	IIISE	310.545(a)(18)(iii)
Laxative	Laxative	Prune concentrate dehydrate Stimulant laxative	IIIE	IIIE	310.545(a)(12)(iv)(A)
Laxative	Laxative	Prune powder Stimulant laxative	IIIE	IIIE	310.545(a)(12)(iv)(A)
Cough/cold	Cough/cold (bronchodilator)	Pseudoephedrine hydrochloride Bronchodilator nasal	IIE	IIE	310.545(a)(6)(iv)(A)
Cough/cold	Cough/cold (nasal decongestant)	Decongestant (oral)	I	I	341.20(a)(2)
Cough/cold	Cough/cold (bronchodilator)	Pseudoephedrine sulfate Bronchodilator	IIE	IIE	310.545(a)(6)(iv)(A)
Cough/cold	Cough/cold (nasal decongestant)	Nasal decongestant (oral)	I	I	341.20(a)(3)
Laxative	Laxative	Psyllium hydrophilic mucilloid Bulk laxative	I	IISE	Pending
Laxative	Laxative	Psyllium seed Bulk laxative	I	IISE	Pending
Laxative	Laxative	Psyllium seed (blond) Bulk laxative	I	IISE	Pending
Laxative	Laxative	Psyllium seed husks Bulk laxative	I	IISE	Pending

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Review Panel	Report	Drug Category	Advance Notice Proposed Rulemaking (ANPR)	Proposed Rule (PR)	Federal Register (FR) Listing
Pyrantel pamoate					
Miscellaneous internal	Anthelmintic	Anthelmintic	I	I	357.110
Pyrethrum extract (aerosol) with piperonyl butoxide					
n/a	Pediculicide	Pediculicide	n/a	n/a	310.545(a)(25)(ii)
Pyrethrum extract (nonaerosol) with piperonyl butoxide					
Miscellaneous external	Pediculicide	Pediculicide	I	I	358.610
Pyridoxine hydrochloride					
Miscellaneous external	External analgesic	Fever blister (topical)	Defer	DEF	Pending
Miscellaneous external	Skin protectant	Fever blister (topical)	Defer	IISE	310.545(a)(18)(iv)
Miscellaneous internal	Menstrual/diuretic	Premenstrual/ menstrual period	IIIE	IIIE	Pending
Miscellaneous internal	Weight control	Anorectic	IISE	IISE	310.545(a)(20)
Pyrilamine maleate					
Antimicrobial II	Acne	Acne	IIE	IIE	310.545(a)(1)
Cough/cold	Cough/cold (antihistamine)	Antihistamine	I	I	341.12(k)
Internal analgesic	Internal analgesic	Analgesic adjuvant	IIIE	IIIE	310.545(a)(23)(i)
Internal analgesic	Internal analgesic	Antipyretic adjuvant	IIIE	IIIE	310.545(a)(23)(i)
Internal analgesic	Internal analgesic	Antirheumatic adjuvant	IIIE	n/a	310.545(a)(23)(i)
Miscellaneous external	External analgesic	Insect bite/sting	IISE	IISE	310.545(a)(10)(vi)
Miscellaneous external	External analgesic	Poison ivy/oak/ sumac	Defer	IISE	310.545(a)(10)(vii)
Miscellaneous external	Skin protectant	Insect bite/sting	IISE	IISE	310.545(a)(18)(v)(A)
Miscellaneous external	Skin protectant	Poison ivy/oak/ sumac	n/a	IISE	Pending
Miscellaneous internal	Menstrual/diuretic	Antihistamine	I	IIIE	Pending
Oral cavity	Oral health care	Analgesic/anesthetic	IIE	IIE	310.545(a)(14)
Sedative	Nighttime sleep aid	Sleep aid	IIIE	IIIE	[54 FR 6826]
Sedative	Daytime sedative	Sedative	IIIE	IISE	310.519(a)
Pyrithione zinc					
Miscellaneous external	Dandruff/seborrheic dermatitis/psoriasis	Dandruff	I	I	358.710(a)(2)
Miscellaneous external	Dandruff/seborrheic dermatitis/psoriasis	Dandruff	I	I	358.710(a)(3)
Miscellaneous external	Dandruff/seborrheic dermatitis/psoriasis	Seborrheic dermatitis	I	I	358.710(b)(3)
Miscellaneous external	Dandruff/seborrheic dermatitis/psoriasis	Seborrheic dermatitis	I	I	358.710(b)(2)
Quinine					
Internal analgesic	Internal analgesic	Analgesic	IIS	IIS	310.545(a)(23)(i)
Internal analgesic	Internal analgesic	Antipyretic	IIS	IIS	310.545(a)(23)(i)
Internal analgesic	Internal analgesic	Antirheumatic	IISE	Not OTC	310.545(a)(23)(i)
Quinine ascorbate					
Miscellaneous internal	Smoking deterrent	Smoking deterrent	IIE	IIE	310.544(d)
Quinine sulfate					
Miscellaneous internal	Leg muscle cramp	Nocturnal leg muscle cramps	IIIE	IIIE	310.546
Racemethionine					
Miscellaneous external	Antifungal	Diaper rash	Defer	n/a	310.545(a)(22)(i)

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Review Panel	Report	Drug Category	Advance Notice Proposed Rulemaking (ANPR)	Proposed Rule (PR)	Federal Register (FR) Listing
Miscellaneous external	Antimicrobial	Diaper rash	Defer	n/a	Pending
Miscellaneous external	External analgesic	Diaper rash	Defer	n/a	310.545(a)(10)(iv)
Miscellaneous external	Skin protectant	Diaper rash	Defer	IIISE	310.545(a)(18)(iii)
Racephedrine hydrochloride					
Cough/cold	Cough/cold (bronchodilator)	Bronchodilator	I	I	341.16(f)
Cough/cold	Cough/cold (nasal decongestant)	Nasal decongestant (topical/inhalant)	I	I	310.545(a)(6)(ii)(B)
Cough/cold	Cough/cold (nasal decongestant)	Nasal decongestant (oral)	IIIE	IIIE	310.545(a)(6)(ii)(B)
Racpinephrine hydrochloride					
Cough/cold	Cough/cold (bronchodilator)	Bronchodilator	n/a	n/a	341.16(g)
Resorcinol					
n/a	External analgesic	Poison ivy/oak/ sumac	n/a	I	Pending
	n/a	Dandruff/seborrheic dermatitis/ psoriasis	n/a	n/a	310.545(a)(7)
Antimicrobial II	Acne	Acne	IIIE	IIIE	310.545(a)(1)
Antimicrobial II	Antifungal	Antifungal	IIISE	IIISE	310.545(a)(22)(ii)
Hemorrhoidal	Anorectal	Antiseptic (intrarectal)	IIISE	IIISE	310.545(a)(26)(ii)
Hemorrhoidal	Anorectal	Keratolytic (external)	I	I	346.20(a)
Hemorrhoidal	Anorectal	Keratolytic (intrarectal)	IIISE	IIISE	346.20(a)
Hemorrhoidal	Anorectal	Antiseptic (external)	IIISE	IIISE	310.545(a)(26)(ii)
Miscellaneous external	Antifungal	Diaper rash	Defer	IIISE	310.545(a)(22)(ii)
Miscellaneous external	Antimicrobial	Diaper rash	Defer	IIISE	Pending
Miscellaneous external	Dandruff/seborrheic dermatitis/psoriasis	Psoriasis	IIIE	IIIE	[56 FR 63567]
Miscellaneous external	External analgesic	Diaper rash	Defer	IIISE	310.545(a)(10)(iv)
Miscellaneous external	Skin protectant	Diaper rash	Defer	n/a	Pending
Miscellaneous external	External analgesic	Fever blister (topical)	Defer	I	Pending
Topical analgesic	External analgesic	Analgesic/anesthetic	I	I	Pending
Resorcinol monoacetate					
Antimicrobial II	Acne	Acne	IIIE	IIIE	310.545(a)(1)
Rhubarb fluid extract					
Laxative	Antidiarrheal	Antidiarrheal	IIISE	IIISE	310.545(a)(3)(ii)
Miscellaneous internal	Digestive aid	Digestive aid (ippuad)	n/a	n/a	310.545(a)(18)(ii)
Rhubarb, Chinese					
Laxative	Laxative	Stimulant laxative	IIISE	IIISE	310.545(a)(12)(iv)(A)
Riboflavin					
Miscellaneous internal	Menstrual/diuretic	Premenstrual/ menstrual period	n/a	IIISE	310.545(a)(24)(i)
Miscellaneous internal	Weight control	Anorectic	IIISE	IIISE	310.545(a)(20)
Rice polishings					
Miscellaneous internal	Weight control	Anorectic	IIISE	IIISE	310.545(a)(20)
Rose petals, infusion of					
Ophthalmic	Ophthalmic	Astringent	IIIE	IIIE	310.545(a)(21)(iii)

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Review Panel	Report	Drug Category	Advance Notice Proposed Rulemaking (ANPR)	Proposed Rule (PR)	Federal Register (FR) Listing
		Rosin			
Miscellaneous external	Boil treatment	Boil treatment	IISE	IISE	310.531(a)
		Rosin cerate			
Miscellaneous external	Boil treatment	Boil treatment	IISE	IISE	310.531(a)
		Sabadilla alkaloids			
Miscellaneous external	Pediculicide	Pediculicide	IISE	IISE	310.545(a)(25)(i)
		Sabel, liposterolic extract of			
Miscellaneous internal	Benign prostatic hypertrophy	Benign prostatic hypertrophy	n/a	n/a	310.532(a)
		Saccharin			
Miscellaneous internal	Weight control	Anorectic	IISE	IISE	310.545(a)(20)
		Sage oil			
Miscellaneous external	Skin protectant	Astringent	n/a	IISE	310.545(a)(18)(ii)
		Salicyl alcohol			
Oral cavity	Oral health care	Analgesic/anesthetic	I	I	Pending
		Salicylamide			
n/a	External analgesic	Poison ivy/oak/ sumac	n/a	IIIE	310.545(a)(10)(vii)
Internal analgesic	Internal analgesic	Analgesic adjuvant	IIISE	IIISE	Pending
Internal analgesic	Internal analgesic	Analgesic	IIISE	IIISE	Pending
Internal analgesic	Internal analgesic	Antipyretic adjuvant	IIISE	IIISE	Pending
Internal analgesic	Internal analgesic	Antipyretic	IIISE	IIISE	Pending
Internal analgesic	Internal analgesic	Antirheumatic adjuvant	IIISE	n/a	n/a
Internal analgesic	Internal analgesic	Antirheumatic	IISE	Not OTC	n/a
Miscellaneous external	External analgesic	Fever blister (topical)	Defer	IIIE	310.545(a)(10)(v)
Miscellaneous internal	Menstrual/diuretic	Analgesic	IIISE	IIISE	Pending
Sedative	Nighttime sleep aid	Sleep aid	IIE	IIE	[54 FR 6826]
Sedative	Daytime sedative	Sedative	IIE	IISE	310.519(a)
Topical analgesic	External analgesic	Analgesic/anesthetic	IIIE	IIIE	310.545(a)(10)(i)
		Salicylic acid			
Antimicrobial II	Acne	Acne	IIIE	I	333.310(c)
Antimicrobial II	Antifungal	Antifungal	IIIE	IIIE	310.545(a)(22)(ii)
Antimicrobial II	Acne	Acne	IISE	IISE	310.545(a)(1)
Miscellaneous external	Antifungal	Diaper rash	Defer	IISE	310.545(a)(22)(i)
Miscellaneous external	Antimicrobial	Diaper rash	Defer	n/a	Pending
Miscellaneous external	Dandruff/seborrheic dermatitis/psoriasis	Dandruff	I	I	358.710(a)(4)
Miscellaneous external	External analgesic	Diaper rash	Defer	n/a	310.545(a)(10)(iv)
Miscellaneous external	External analgesic	Poison ivy/oak/ sumac	Defer	IISE	310.545(a)(10)(vii)
Miscellaneous external	Skin protectant	Diaper rash	Defer	n/a	Pending
Miscellaneous external	Skin protectant	Poison ivy/oak/ sumac	Defer	IISE	310.545(a)(18)(vi)(A)
Miscellaneous external	Corn/callus remover	Corn/callus remover	I	I	358.510(a)
Miscellaneous external	Wart remover	Wart remover	I	IISE	358.110(a)
Miscellaneous external	Wart remover	Wart remover	I	I	358.110(b)
Miscellaneous external	Wart remover	Wart remover	I	I	358.110(c)
Miscellaneous external	Corn/callus remover	Corn/callus remover	I	I	358.510 (b)

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Review Panel	Report	Drug Category	Advance Notice Proposed Rulemaking (ANPR)	Proposed Rule (PR)	Federal Register (FR) Listing
Miscellaneous external	Dandruff/seborrheic dermatitis/psoriasis	Seborrheic dermatitis	I	I	358.710(b)(4)
Miscellaneous external	Dandruff/seborrheic dermatitis/psoriasis	Psoriasis	I	I	358.710(c)(2)
Salsalate					
Internal analgesic	Internal analgesic	Analgesic	IIISE	IIISE	310.545(a)(23)(i)
Internal analgesic	Internal analgesic	Antipyretic	IIISE	IIISE	310.545(a)(23)(i)
Internal analgesic	Internal analgesic	Antirheumatic	IIISE	Not OTC	310.545(a)(23)(i)
Sanguinaria extract					
n/a	Gingivitis/plaque	Gingivitis/antiplaque	n/a	IIIE	Pending
Sarsaparilla					
Miscellaneous internal	Aphrodisiac	Aphrodisiac	IISE	IISE	310.528(a)
Sassafras oil					
Miscellaneous external	Boil treatment	Boil treatment	IISE	IISE	310.531(a)
Saw palmetto					
Miscellaneous internal	Menstrual/diuretic	Diuretic	n/a	IISE	310.545(a)(24)(i)
Scopolamine aminoxide hydrobromide					
Sedative	Nighttime sleep aid	Sleep aid	IISE	IIS	[54 FR 6826]
Sedative	Daytime sedative	Sedative	IISE	IISE	310.519(a)
Scopolamine hydrobromide					
Laxative	Antidiarrheal	Antidiarrheal	IIE	IIE	310.545(a)(3)(i)
Laxative	Antiemetic	Antiemetic	n/a	IIIE	[52 FR 15891]
Sedative	Nighttime sleep aid	Sleep aid	IISE	IIS	[54 FR 6826]
Sedative	Daytime sedative	Sedative	IISE	IISE	310.519(a)
Sea minerals					
Miscellaneous internal	Weight control	Anorectic	IISE	IISE	310.545(a)(20)
Selenium sulfide					
Miscellaneous external	Dandruff/seborrheic dermatitis/psoriasis	Dandruff	I	I	358.710(a)(5)
Miscellaneous external	Dandruff/seborrheic dermatitis/psoriasis	Seborrheic dermatitis	I	I	358.710(b)(5)
Selenium sulfide, micronized					
Miscellaneous external	Dandruff/seborrheic dermatitis/psoriasis	Dandruff	n/a	I	358.710(a)(6)
Senecio aureus					
Miscellaneous internal	Menstrual/diuretic	Dysmenorrhea	IISE	IISE	310.545(a)(24)(i)
Senna					
Miscellaneous internal	Digestive aid	Digestive aid (intestinal distress)	n/a	n/a	310.545(a)(8)(ii)
Senna fluid extract					
Laxative	Laxative	Stimulant laxative	I	I/IIIS	Pending
Senna pod concentrate					
Laxative	Laxative	Stimulant laxative	I	I/IIIS	Pending
Senna syrup					
Laxative	Laxative	Stimulant laxative	I	I/IIIS	Pending

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Review Panel	Report	Drug Category	Advance Notice Proposed Rulemaking (ANPR)	Proposed Rule (PR)	Federal Register (FR) Listing
Sennosides					
Laxative	Laxative	Stimulant laxative	I	I/IIIS	Pending
Sesame oil					
Miscellaneous external	External analgesic	Fever blister (topical)	Defer	n/a	Pending
Miscellaneous external	Skin protectant	Fever blister (topical)	Defer	n/a	Pending
Sesame seed					
Miscellaneous internal	Weight control	Anorectic	IISE	IISE	310.545(a)(20)
Shark liver oil					
n/a	Insect bite/sting	Insect bite/sting	n/a	n/a	310.545(a)(18)(v)(B)
n/a	Poison ivy/oak/sumac	Poison ivy/oak/ sumac	n/a	n/a	310.545(a)(18)(vi)(B)
n/a	Skin protectant	Fever blister (topical)	n/a	I	Pending
Hemorrhoidal	Anorectal	Wound healing agent (intrarectal)	IIIE	IIIE	310.545(a)(26)(x)
Hemorrhoidal	Anorectal	Protectant (intrarectal)	I	I	346.14(b)(3)
Hemorrhoidal	Anorectal	Wound healing agent (external)	IIIE	IIIE	310.545(a)(26)(x)
Hemorrhoidal	Anorectal	Protectant (external)	I	I	346.14(b)(3)
Miscellaneous external	Antifungal	Diaper rash	Defer	n/a	310.545(a)(22)(i)
Miscellaneous external	Antimicrobial	Diaper rash	Defer	n/a	Pending
Miscellaneous external	External analgesic	Diaper rash	Defer	n/a	310.545(a)(10)(iv)
Miscellaneous external	Skin protectant	Protectant	I	I	Pending
Miscellaneous external	Skin protectant	Diaper rash	Defer	IIISE	Pending
Topical analgesic	Skin protectant	Skin protectant	I	I	310.545(a)(18)(i)(B)
Silicone					
Miscellaneous external	Antifungal	Diaper rash	Defer	n/a	310.545(a)(22)(i)
Miscellaneous external	Antimicrobial	Diaper rash	Defer	n/a	Pending
Miscellaneous external	External analgesic	Diaper rash	Defer	n/a	310.545(a)(10)(iv)
Miscellaneous external	Skin protectant	Diaper rash	Defer	n/a	Pending
Silver acetate					
Miscellaneous internal	Smoking deterrent	Smoking deterrent	IIIE	IIIE	310.544(d)
Silver nitrate					
Miscellaneous external	External analgesic	Astringent	IISE	n/a	n/a
Miscellaneous external	Skin protectant	Astringent	IISE	IISE	310.545(a)(18)(ii)
Miscellaneous internal	Smoking deterrent	Smoking deterrent	IISE	IISE	310.544(d)
Silver protein, mild					
Ophthalmic	Ophthalmic	Anti-infective	IIIE	IIIE	310.545(a)(21)(ii)
Simethicone					
Antacid	Antacid	Antacid	IIIE	IIIE	[39 FR 19873]
Antacid	Antiflatulent	Antiflatulent	IIIE	I	332.10
Miscellaneous external	External analgesic	Poison ivy/oak/ sumac	Defer	IISE	310.545(a)(10)(vii)
Miscellaneous external	Skin protectant	Poison ivy/oak/ sumac	Defer	IISE	310.545(a)(18)(vi)(A)
Miscellaneous internal	Digestive aid	Digestive aid (intestinal distress)	IIIE	IIIE	[58 FR 54454]
Miscellaneous internal	Digestive aid	Digestive aid (ippuad)	IIIE	IIIE	[58 FR 54454]
Sodium					
Miscellaneous internal	Weight control	Anorectic	IIISE	IISE	310.545(a)(20)

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Review Panel	Report	Drug Category	Advance Notice Proposed Rulemaking (ANPR)	Proposed Rule (PR)	Federal Register (FR) Listing
		Sodium 3, 4-dimethylphenyl-glyoxylate			
Topical analgesic	Sunscreen	Sunscreen	IISE	IISE	[64 FR 27682]
		Sodium acetylsalicylate			
Miscellaneous internal	Overindulgence in alcohol/food	Overindulgence remedies (hangover)	I	I	Pending
		Sodium aluminum chlorohydroxy lactate (aerosol)			
Antiperspirant	Antiperspirant	Antiperspirant	IIISE	IIISE	310.545(a)(4)
		Sodium aluminum chlorohydroxy lactate (nonaerosol)			
Antiperspirant	Antiperspirant	Antiperspirant	IIIE	IIIE	310.545(a)(4)
		Sodium aminobenzoate			
Internal analgesic	Internal analgesic	Analgesic adjuvant	IISE	IISE	310.545(a)(23)(i)
Internal analgesic	Internal analgesic	Antipyretic adjuvant	IISE	IISE	310.545(a)(23)(i)
Internal analgesic	Internal analgesic	Antirheumatic adjuvant	IISE	n/a	310.545(a)(23)(i)
		Sodium benzoate			
Miscellaneous internal	Menstrual/diuretic	Diuretic	n/a	IISE	310.545(a)(24)(i)
		Sodium bicarbonate			
n/a	Gingivitis/plaque	Gingivitis/antiplaque	n/a	IIIE	Pending
Antacid	Antacid	Antacid	I	I	331.11(k)(1)
Contraceptive/vaginal	Vaginal	Alters vaginal pH	IIIE	Withdrawn	n/a
Dental	Anticaries	Anticavity agent	IIE	IIE	[60 FR 52504]
Internal analgesic	Internal analgesic	Corrective	I	n/a	n/a
Miscellaneous external	Antifungal	Diaper rash	Defer	n/a	310.545(a)(22)(i)
Miscellaneous external	Antimicrobial	Diaper rash	Defer	n/a	Pending
Miscellaneous external	External analgesic	Diaper rash	Defer	n/a	310.545(a)(10)(iv)
Miscellaneous external	External analgesic	Insect bite/sting	IISE	n/a	n/a
Miscellaneous external	External analgesic	Poison ivy/oak/ sumac	Defer	n/a	n/a
Miscellaneous external	Skin protectant	Diaper rash	Defer	IIISE	Pending
Miscellaneous external	Skin protectant	Insect bite/sting	IISE	IISE	Pending
Miscellaneous external	Skin protectant	Poison ivy/oak/ sumac	Defer	I	Pending
Miscellaneous internal	Digestive aid	Digestive aid (ippuad)	IIIE	IIIE	310.545(a)(8)(i)
Miscellaneous internal	Digestive aid	Digestive aid (intestinal distress)	IIIE	IIISE	310.545(a)(8)(i)
Miscellaneous internal	Weight control	Anorectic	IIIE	IISE	310.545(a)(20)
Oral cavity	Oral health care	Debriding agent	I	I	Pending
Topical analgesic	Skin protectant	Skin protectant	I	I	347.10(O)
		Sodium borate			
Antimicrobial II	Acne	Acne	IIE	IIE	310.545(a)(1)
Antimicrobial II	Antifungal	Antifungal	IIISE	IIIE	310.545(a)(22)(ii)
Contraceptive/vaginal	Vaginal	Lowers surface tension, mucolytic effects	IIISE	Withdrawn	n/a
Contraceptive/vaginal	Vaginal	Minor irritations	IIISE	Withdrawn	n/a
Contraceptive/vaginal	Vaginal	Alters vaginal pH	IIISE	Withdrawn	n/a
Contraceptive/vaginal	Vaginal	Astringent	IIE	IIE	n/a
Miscellaneous external	Dandruff/seborrheic dermatitis/psoriasis	Dandruff/seborrheic dermatitis/psoriasis	n/a	n/a	310.545(a)(7)
Miscellaneous external	External analgesic	Astringent	n/a	n/a	n/a
Miscellaneous external	External analgesic	Insect bite/sting	IISE	IISE	310.545(a)(10)(vi)

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Review Panel	Report	Drug Category	Advance Notice Proposed Rulemaking (ANPR)	Proposed Rule (PR)	Federal Register (FR) Listing
Miscellaneous external	Skin protectant	Astringent	IISE	IISE	310.545(a)(18)(ii)
Miscellaneous external	Skin protectant	Insect bite/sting	IISE	IISE	310.545(a)(18)(v)(A)
Oral cavity	Oral health care	Debriding agent	IISE	IISE	Pending
Sodium borate monohydrate					
Dental	Oral mucosal injury	Skin wound cleanser			Pending
Sodium bromide					
Sedative	Nighttime sleep aid	Sleep aid	IISE	IISE	[54 FR 6826]
Sedative	Daytime sedative	Sedative	IIS	IISE	310.519(a)
Sodium caprylate					
Antimicrobial II	Antifungal	Antifungal	IIIE	IIIE	310.545(a)(22)(ii)
Oral cavity	Oral health care	Antimicrobial	IIIE	IIIE	Pending
Sodium carbonate					
Antacid	Antacid	Antacid	I	I	331.11(k)(1)
Contraceptive/vaginal	Vaginal	Alters vaginal pH	IIISE	Withdrawn	n/a
Internal analgesic	Internal analgesic	Corrective	I	n/a	n/a
Sodium caseinate					
Miscellaneous internal	Weight control	Anorectic	IISE	IISE	310.545(a)(20)
Sodium chloride					
Miscellaneous internal	Digestive aid	Digestive aid (intestinal distress)	n/a	n/a	310.545(a)(18)(ii)
Miscellaneous internal	Weight control	Anorectic	IISE	IISE	310.545(a)(20)
Ophthalmic	Ophthalmic	Hypertonic agent	I	I	349.16
Sodium citrate					
Cough/cold	Cough/cold (expectorant)	Expectorant	IIIE	IIIE	310.545(a)(6)(iii)
Dental	Relief of oral discomfort	Tooth desensitizer (in combination only)	IIIE	IIIE	Pending
Miscellaneous internal	Digestive aid	Digestive aid (intestinal distress)	IIIE	IIIE	310.545(a)(8)(i)
Miscellaneous internal	Digestive aid	Digestive aid (ippuad)	IIIE	IIIE	310.545(a)(8)(i)
Sodium citrate in solution					
Miscellaneous internal	Overindulgence in alcohol/food	Overindulgence remedies (hangover)	I	I	Pending
Miscellaneous internal	Overindulgence in alcohol/food	Overindulgence remedies (hangover)	I	I	Pending
Sodium diacetate					
Miscellaneous external	External analgesic	Astringent	IISE	n/a	n/a
Miscellaneous external	Skin protectant	Astringent	IISE	IISE	310.545(a)(18)(ii)
Sodium dichromate					
Oral cavity	Oral health care	Antimicrobial	IIS	IISE	Pending
Sodium fluoride					
Dental	Anticaries	Anticavity dentifrice	I	I	355.10(a)(2)
Dental	Anticaries	Anticavity dental rinse	I	I	355.10(a)(3)(iv)
Dental	Anticaries	Anticavity dental rinse	I	I	355.10(a)(3)(v)
Dental	Anticaries	Anticavity dentifrice	I	I	355.10(a)(1)
Dental	Anticaries	Anticavity dental rinse	IISE	I	355.10(a)(3)(iii)
Dental	Relief of oral discomfort	Tooth desensitizer (in combination only)	IIIE	IISE	Pending

(Continued)

Review Panel	Report	Drug Category	Advance Notice Proposed Rulemaking (ANPR)	Proposed Rule (PR)	Federal Register (FR) Listing
Dental	Relief of oral discomfort	Tooth desensitizer	IIIE	IIIE	Pending
Sodium lactate					
Contraceptive/vaginal	Vaginal	Alters vaginal pH	IIIE	Withdrawn	n/a
Sodium lauryl sulfate					
Contraceptive/vaginal	Vaginal	Lowers surface tension, mucolytic effects	I	Withdrawn	n/a
Sodium monofluorophosphate					
Dental	Anticaries	Anticavity dentifrice	I	I	355.10(b)(1)
Dental	Anticaries	Anticavity dental rinse	IIS	IIS	310.545(a)(2)(i)
Dental	Relief of oral discomfort	Tooth desensitizer	IIIE	IIIE	Pending
Dental	Anticaries	Anticavity dentifrice	I	I	355.10(b)(2)
Sodium nitrate					
Miscellaneous internal	Menstrual/diuretic	Diuretic	n/a	IISE	310.545(a)(24)(i)
Sodium oleate					
Laxative	Laxative	Stimulant laxative	IIIE	IIIE	310.545(a)(12)(iv)(A)
Sodium perborate					
Contraceptive/vaginal	Vaginal	Alters vaginal pH	IIISE	Withdrawn	n/a
Contraceptive/vaginal	Vaginal	Astringent	IIISE	Withdrawn	n/a
Contraceptive/vaginal	Vaginal	Lowers surface tension, mucolytic effects	IIISE	Withdrawn	n/a
Contraceptive/vaginal	Vaginal	Minor irritations	IIISE	Withdrawn	n/a
Oral cavity	Oral health care	Debriding agent	IIISE	IIISE	Pending
Sodium perborate monohydrate					
Dental	Oral health care	Wound cleanser	IISE	I	Pending
Sodium phosphate, dibasic					
Dental	Anticaries	Anticavity agent	IIIE	IIIE	310.545(a)(2)(ii)
Laxative	Laxative	Saline laxative	I	I	Pending
Sodium phosphate, monobasic					
Dental	Anticaries	Anticavity agent	IIIE	IIIE	310.545(a)(2)(ii)
Laxative	Laxative	Saline laxative	I	I	Pending
Sodium picosulfate					
n/a	TEA	Laxative	n/a		
Sodium potassium tartrate					
Antacid	Antacid	Antacid	I	I	331.11(j)(2) 331.11(k)(2)
Sodium propionate					
Antimicrobial II	Antifungal	Antifungal	IIIE	IIIE	310.545(a)(22)(ii)
Contraceptive/vaginal	Vaginal	Minor irritations	I	Withdrawn	n/a
Miscellaneous external	Antifungal	Diaper rash	Defer	IISE	310.545(a)(22)(ii)
Miscellaneous external	Antimicrobial	Diaper rash	Defer	IIISE	Pending
Sodium salicylate					
Contraceptive/vaginal	Vaginal	Minor irritations	IISE	Withdrawn	n/a
Internal analgesic	Internal analgesic	Analgesic	I	I	Pending
Internal analgesic	Internal analgesic	Antipyretic	I	I	Pending
Internal analgesic	Internal analgesic	Antirheumatic	I	Not OTC	n/a

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Review Panel	Report	Drug Category	Advance Notice Proposed Rulemaking (ANPR)	Proposed Rule (PR)	Federal Register (FR) Listing
Miscellaneous external	Dandruff/seborrheic dermatitis/psoriasis	Dandruff/seborrheic dermatitis/ psoriasis	IIIE	IIIE	310.545(a)(7)
Miscellaneous internal	Digestive aid	Digestive aid (ippuad)	n/a	n/a	310.545(a)(18)(ii)
Miscellaneous internal	Menstrual/diuretic	Analgesic	I	I	Pending
Miscellaneous internal	Menstrual/diuretic	Diuretic	IIIE	IIIE	310.545(a)(24)(i)
Miscellaneous internal	Overindulgence in alcohol/food	Overindulgence remedies (hangover)	I	I	Pending
Sodium salicylate acid phenolate					
Hemorrhoidal	Anorectal	Antiseptic (intraarectal)	IIIE	IIIE	310.545(a)(26)(ii)
Hemorrhoidal	Anorectal	Antiseptic (external)	IIIE	IIIE	310.545(a)(26)(ii)
Contraceptive/vaginal	Vaginal	Minor irritations	IIIE	Withdrawn	n/a
Sodium sulfide					
Miscellaneous external	Ingrown toenail	Ingrown toenail	n/a	I	538.310
Miscellaneous external	Ingrown toenail	Ingrown toenail	IIIE	IIIE	310.538(a) [removed 68 FR 24348]
Sodium thiosulfate					
Antimicrobial II	Acne	Acne	IIIE	IIIE	310.545(a)(1)
Sorbitan monostearate					
Miscellaneous external	Antifungal	Diaper rash	Defer	n/a	310.545(a)(22)(i)
Miscellaneous external	Antimicrobial	Diaper rash	Defer	n/a	Pending
Miscellaneous external	External analgesic	Diaper rash	Defer	n/a	310.545(a)(10)(iv)
Miscellaneous external	Skin protectant	Diaper rash	Defer	n/a	Pending
Sorbitan sesquioleate					
Miscellaneous external	External analgesic	Fever blister (topical)	Defer	n/a	n/a
Miscellaneous external	Skin protectant	Fever blister (topical)	Defer	n/a	n/a
Sorbitol					
Laxative	Laxative	Hyperosmotic laxative	I	I	Pending
Miscellaneous internal	Digestive aid	Digestive aid (intestinal distress)	IIIE	IIIE	310.545(a)(8)(i)
Miscellaneous internal	Digestive aid	Digestive aid (ippuad)	IIIE	IIIE	310.545(a)(8)(i)
Oral cavity	Oral health care	Oral health care nonantimicrobial	n/a	n/a	310.545(a)(14)
Soyasterol					
Miscellaneous external	External analgesic	Fever blister (topical)	Defer	n/a	n/a
Miscellaneous external	Skin protectant	Fever blister (topical)	Defer	n/a	n/a
Soybean oil, hydrogenated					
Miscellaneous internal	Cholecystokinetic	Cholecystokinetic	n/a	n/a	357.210(b)
Soybean protein					
Miscellaneous internal	Weight control	Anorectic	IIIE	IIIE	310.545(a)(20)
Soymeal					
Miscellaneous internal	Weight control	Anorectic	IIIE	IIIE	310.545(a)(20)
Spermaceti					
Miscellaneous external	External analgesic	Fever blister (topical)	Defer	n/a	n/a
Miscellaneous external	Skin protectant	Fever blister (topical)	Defer	n/a	n/a
Squill					
Cough/cold	Cough/cold (expectorant)	Expectorant	IIIE	IIIE	310.545(a)(6)(iii)

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Review Panel	Report	Drug Category	Advance Notice Proposed Rulemaking (ANPR)	Proposed Rule (PR)	Federal Register (FR) Listing
		Squill extract			
Cough/cold	Cough/cold (expectorant)	Expectorant	IISE	IISE	310.545(a)(6)(iii)
		Stannous fluoride			
n/a	Antigingivitis	Antigingivitis	I	n/a	Pending
Dental	Anticaries	Anticavity dental gel	I	I	355.10(c)(2)
Dental	Anticaries	Anticavity dentifrice	I	I	355.10(c)(1)
Dental	Anticaries	Anticavity dental rinse	I	I	355.10(c)(3)
Dental	Relief of oral discomfort	Tooth desensitizer	IIIE	IIIE	Pending
		Stannous pyrophosphate and zinc citrate			
n/a	Gingivitis/plaque	Antiplaque/gingivitis	n/a	IIIE	Pending
		Stearyl alcohol			
n/a	Insect bite/sting	Insect bite/sting	n/a	n/a	310.545(a)(18)(v)(B)
n/a	Poison ivy/oak/sumac	Poison ivy/oak/ sumac	n/a	n/a	310.545(a)(18)(vi)(B)
n/a	Skin protectant	Skin protectant	n/a	n/a	310.545(a)(18)(i)(B)
		Stem bromelain			
Miscellaneous internal	Digestive aid	Digestive aid (intestinal distress)	n/a	n/a	310.545(a)(18)(ii)
		Strawberry			
Miscellaneous internal	Digestive aid	Digestive aid (ippuad)	n/a	n/a	310.545(a)(18)(ii)
		Strontium chloride			
Dental	Relief of oral discomfort	Tooth desensitizer (in combination only)	IISE	IISE	Pending
Dental	Relief of oral discomfort	Tooth desensitizer	IIIE	IIIE	Pending
		Strychnine			
Miscellaneous internal	Aphrodisiac	Aphrodisiac	IISE	IISE	310.528(a)
Miscellaneous internal	Digestive aid	Digestive aid (ippuad)	n/a	n/a	310.545(a)(18)(ii)
		Sucrose			
Miscellaneous internal	Menstrual/diuretic	Diuretic	n/a	IISE	310.545(a)(24)(i)
Miscellaneous internal	Weight control	Anorectic	IISE	IISE	310.545(a)(20)
		Sucrose octaacetate			
Miscellaneous external	Nailbiting/thumbsucking	Nailbiting/ thumbsucking deterrent	IIIE	IIIE	310.536(a)
		Sugars			
Oral cavity	Oral health care	Oral health care nonantimicrobial	n/a	n/a	310.545(a)(14)
		Sulfacetamide sodium			
Miscellaneous external	Hair growth/loss	Hair grower	n/a	n/a	310.527(a)
Ophthalmic	Ophthalmic	Anti-infective	IIS	IIS	310.545(a)(21)(ii)
		Sulfur			
n/a	External analgesic	Poison ivy/oak/ sumac	n/a	IIIE	310.545(a)(10)(vii)
n/a	Skin protectant	Wound healing agent	n/a	n/a	310.545(a)(18)(i)(A)
Antimicrobial II	Acne	Acne	I	I	333.310(d)
Antimicrobial II	Acne	Acne	I	I	333.310(e)
Miscellaneous external	Boil treatment	Boil treatment	n/a	IIIE	310.531(a)

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Review Panel	Report	Drug Category	Advance Notice Proposed Rulemaking (ANPR)	Proposed Rule (PR)	Federal Register (FR) Listing
Miscellaneous external	Dandruff/seborrheic dermatitis/psoriasis	Dandruff	I	I	358.710(a)(7)
Miscellaneous external	Skin protectant	Diaper rash	Defer	IISE	310.545(a)(18)(iii)
Miscellaneous external	Skin protectant	Fever blister (topical)	Defer	IISE	310.545(a)(18)(iv)
Topical analgesic	Skin protectant	Skin protectant	IISE	IISE	310.545(a)(18)(i)(A)
Sulfur (paraffinic hydrocarbons)					
Miscellaneous external	Hair growth/loss	Hair grower	n/a	IIE	310.527(a)
Sulfur, precipitated					
Hemorrhoidal	Anorectal	Keratolytic (external)	IIIE	IIIE	310.545(a)(26)(v)
Hemorrhoidal	Anorectal	Keratolytic (intrarectal)	IIE	IIE	310.545(a)(26)(v)
Sulfur, sublimed					
Hemorrhoidal	Anorectal	Keratolytic (intrarectal)	IIE	IIE	310.545(a)(26)(v)
Hemorrhoidal	Anorectal	Keratolytic (external)	IIIE	IIIE	310.545(a)(26)(v)
Miscellaneous external	Pediculicide	Pediculicide	IISE	IISE	310.545(a)(25)(i)
Sulfurated oils of turpentine					
Miscellaneous internal	Menstrual/diuretic	Diuretic	n/a	IISE	310.545(a)(24)(i)
Sulisobenzone					
Topical analgesic	Sunscreen	Sunscreen	I	I	352.10(o)
Sweet spirits of nitre					
Miscellaneous external	Sweet spirits of nitre	All indications	IISE	n/a	310.502(a)(12)
Talc					
n/a	Antimicrobial	Diaper rash	n/a	n/a	Pending
Miscellaneous external	Antifungal	Diaper rash	Defer	n/a	310.545(a)(22)(i)
Miscellaneous external	External analgesic	Astringent	IISE	n/a	n/a
Miscellaneous external	External analgesic	Diaper rash	Defer	n/a	310.545(a)(10)(iv)
Miscellaneous external	Skin protectant	Astringent	IISE	IISE	310.545(a)(18)(ii)
Miscellaneous external	Skin protectant	Diaper rash	Defer	I	Pending
Talcum powder					
Miscellaneous external	External analgesic	Fever blister (topical)	Defer	n/a	n/a
Miscellaneous external	Skin protectant	Fever blister (topical)	Defer	n/a	n/a
Tannic acid					
n/a	Skin protectant	Diaper rash	n/a	IISE	310.545(a)(18)(iii)
n/a	Skin protectant	Wound healing agent	n/a	n/a	310.545(a)(18)(i)
Antimicrobial II	Antifungal	Antifungal	IIE	IIE	310.545(a)(22)(ii)
Hemorrhoidal	Anorectal	Astringent (external)	IISE	IISE	310.545(a)(26)(iii)
Hemorrhoidal	Anorectal	Anorectal (intrarectal)	IISE	IISE	310.545(a)(26)(iii)
Miscellaneous external	External analgesic	Fever blister (topical)	Defer	IIISE	310.545(a)(10)(v)
Miscellaneous external	External analgesic	Insect bite/sting	n/a	IIIE	Pending
Miscellaneous external	External analgesic	Poison ivy/oak/sumac	Defer	IIIE	310.545(a)(10)(vii)
Miscellaneous external	Ingrown toenail	Ingrown toenail	IIIE	IIIE	310.538(a)
Miscellaneous external	Ingrown toenail	Astringent (external)	IIIE	IIIE	310.538(a)
Miscellaneous external	Skin protectant	Fever blister (topical)	Defer	IIISE	310.545(a)(18)(iv)
Miscellaneous external	Skin protectant	Poison ivy/oak/sumac	Defer	IISE	310.545(a)(18)(vi)(A)
Miscellaneous internal	Digestive aid	Digestive aid (intestinal distress)	n/a	n/a	310.545(a)(8)(ii)
Topical analgesic	Skin protectant	Skin protectant	IISE	IISE	310.545(a)(18)(i)(A)

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Review Panel	Report	Drug Category	Advance Notice Proposed Rulemaking (ANPR)	Proposed Rule (PR)	Federal Register (FR) Listing
		Tannic acid glycerite			
Miscellaneous external	External analgesic	Astringent	IISE	n/a	n/a
Miscellaneous external	Skin protectant	Astringent	IISE	IISE	310.545(a)(18)(ii)
		Tar oil			
Miscellaneous external	Hair growth/loss	Hair grower	IISE	n/a	310.527(a)
		Taraxacum officinale			
Miscellaneous external	Menstrual/diuretic	Dysmenorrhea	IIE	IIE	310.545(a)(24)(i)
		Tartaric acid			
Antacid	Antacid	Antacid	I	I	331.11(m)
Contraceptive/vaginal	Vaginal	Alters vaginal pH	IIIE	Withdrawn	n/a
Laxative	Laxative	Saline laxative	IIISE	IIISE	310.545(a)(12)(ii)
		Terpin hydrate			
Cough/cold	Cough/cold (expectorant)	Expectorant	IIIE	IIIE	310.545(a)(6)(iii)
		Terpin hydrate elixir			
Cough/cold	Cough/cold (expectorant)	Expectorant	IIIE	IIIE	310.545(a)(6)(iii)
		Testosterone			
Miscellaneous internal	Aphrodisiac	Aphrodisiac	IIS	IIS	310.528(a)
		Tetracaine			
n/a	External analgesic	Poison ivy/oak/sumac	n/a	I	Pending
Hemorrhoidal	Anorectal	Anesthetic (external)	IIIE	I	346.10(h)
Hemorrhoidal	Anorectal	Anesthetic (intra-rectal)	IIIE	IIIE	[55 FR 1779]
Miscellaneous external	Antifungal	Diaper rash	Defer	n/a	310.545(a)(22)(i)
Miscellaneous external	Antimicrobial	Diaper rash	Defer	n/a	Pending
Miscellaneous external	External analgesic	Diaper rash	Defer	IISE	310.545(a)(10)(iv)
Miscellaneous external	External analgesic	Fever blister (topical)	Defer	I	Pending
Miscellaneous external	Skin protectant	Diaper rash	Defer	n/a	Pending
Oral cavity	Oral health care	Analgesic/anesthetic	IIS	IIS	310.545(a)(14)
Topical analgesic	External analgesic	Analgesic/anesthetic	I	I	Pending
		Tetracaine hydrochloride			
n/a	External analgesic	Poison ivy/oak/sumac	n/a	I	Pending
Antimicrobial II	Acne	Acne	IISE	IISE	310.545(a)(1)
Hemorrhoidal	Anorectal	Anesthetic (external)	IIIE	I	346.10(i)
Hemorrhoidal	Anorectal	Anesthetic (intra-rectal)	IIIE	IIIE	[55 FR 1779]
Miscellaneous external	External analgesic	Fever blister (topical)	Defer	I	Pending
Miscellaneous external	Hair growth/loss	Hair grower	IIE	IIE	310.527(a)
Oral cavity	Oral health care	Analgesic/anesthetic	IIS	IIS	310.545(a)(14)
Topical analgesic	External analgesic	Analgesic/anesthetic	I	I	Pending
		Tetracycline hydrochloride			
Antimicrobial II	Antibiotic	First aid antibiotic	n/a	I	333.110(f)
Antimicrobial II	Antibiotic	Skin wound antibiotic	IIIE	Defer	n/a
Antimicrobial II	Antibiotic	Skin wound protectant	I	Defer	n/a
		Tetrahydrozoline hydrochloride			
Ophthalmic	Ophthalmic	Vasoconstrictor	I	I	349.18(d)
		Thenylidiamine hydrochloride			
Cough/cold	Cough/cold (antihistamine)	Antihistamine	IIIE	IIIE	310.545(a)(6)(i)(A)&(B)

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Review Panel	Report	Drug Category	Advance Notice Proposed Rulemaking (ANPR)	Proposed Rule (PR)	Federal Register (FR) Listing
Cough/cold	Cough/cold (nasal decongestant)	Nasal decongestant (topical/inhalant)	IIIE	IIIE	[59 FR 43408]
Theobromine sodium salicylate					
Miscellaneous internal	Menstrual/diuretic	Diuretic	IIIE	IIIE	310.545(a)(24)(i)
Theophylline					
Miscellaneous internal	Menstrual/diuretic	Diuretic	IIIE	IIIE	310.545(a)(24)(i)
Theophylline (all combinations)					
Cough/cold	Cough/cold (bronchodilator)	Bronchodilator	n/a	n/a	310.545(a)(6)(iv)(B)
Theophylline, anhydrous (see Theophylline)					
Cough/cold	Cough/cold (bronchodilator)	Bronchodilator	I	IIS	310.545(a)(6)(iv)(A)
Theophylline calcium salicylate					
Cough/cold	Cough/cold (bronchodilator)	Bronchodilator	I	IIS	310.545(a)(6)(iv)(A)
Theophylline sodium glycinat					
Cough/cold	Cough/cold (bronchodilator)	Bronchodilator	I	IIS	310.545(a)(6)(iv)(A)
Thiamine					
Miscellaneous internal	Oral insect repellent	Insect repellent	IIE	IIE	310.529(a)
Thiamine hydrochloride					
Miscellaneous external	Hair growth/loss	Hair grower	n/a	n/a	310.527(a)
Miscellaneous internal	Menstrual/diuretic	Menstrual	n/a	IISE	310.545(a)(24)(i)
Miscellaneous internal	Weight control	Anorectic	IISE	IISE	310.545(a)(20)
Sedative	Nighttime sleep aid	Sleep aid	IIE	IIE	[54 FR 6826]
Sedative	Daytime sedative	Sedative	IIE	IISE	310.519(a)
Thiamine mononitrate					
Miscellaneous internal	Weight control	Anorectic	IISE	IISE	310.545(a)(20)
Thimerosal					
Miscellaneous external	Mercury	Antiseptic	IISE	IISE	310.545(a)(27)(i)
Miscellaneous external	Mercury	First aid antiseptic	n/a	IISE	310.545(a)(27)(i)
Thiocyanoacetate					
n/a	Pediculicide	Pediculicide	n/a	n/a	310.545(a)(25)(i)
Thonzylamine hydrochloride					
Cough/cold	Cough/cold (antihistamine)	Antihistamine	I	I	341.12(l)
Threonine					
Miscellaneous internal	Weight control	Anorectic	IISE	IISE	310.545(a)(20)
Thyme oil, white					
Miscellaneous external	Antifungal	Diaper rash	Defer	n/a	310.545(a)(22)(i)
Miscellaneous external	Antimicrobial	Diaper rash	Defer	n/a	Pending
Miscellaneous external	External analgesic	Diaper rash	Defer	n/a	310.545(a)(10)(iv)
Miscellaneous external	Skin protectant	Diaper rash	Defer	n/a	Pending
Thymol					
n/a	External analgesic	Poison ivy/oak/ sumac	n/a	IIIE	310.545(a)(10)(vii)

(Continued)

Review Panel	Report	Drug Category	Advance Notice Proposed Rulemaking (ANPR)	Proposed Rule (PR)	Federal Register (FR) Listing
Antimicrobial II	Acne	Acne	IIE	IIIE	310.545(a)(1)
Antimicrobial II	Antifungal	Antifungal	IIISE	IIISE	310.545(a)(22)(ii)
Cough/cold	Cough/cold (antihistamine)	Antitussive (topical/inhalant)	IIIE	IIIE	[52 FR 30055]
Cough/cold	Cough/cold (nasal decongestant)	Nasal decongestant (inhalant room spray)	IIIE	IIIE	310.545(a)(6)(ii)(A)
Cough/cold	Cough/cold (nasal decongestant)	Nasal decongestant (topical/inhalant)	IIIE	IIIE	310.545(a)(6)(ii)(A)
Cough/cold	Cough/cold (nasal decongestant)	Nasal decongestant (lozenge)	IIIE	IIIE	310.545(a)(6)(ii)(A)
Cough/cold	Cough/cold (nasal decongestant)	Nasal decongestant (mouthwash)	IIIE	IIIE	310.545(a)(6)(ii)(A)
Dental	Relief of oral discomfort	Toothache relief	IIIE	IIIE	Pending
Dental	Relief of oral discomfort	Oral mucosal analgesic	IIIE	IIIE	Pending
Miscellaneous external	Boil treatment	Boil treatment	IIE	IIE	310.531(a)
Miscellaneous external	Dandruff/seborrheic dermatitis/psoriasis	Dandruff/seborrheic dermatitis/psoriasis	IIIE	IIIE	310.545(a)(7)
Miscellaneous external	External analgesic	Astringent	IIE	n/a	n/a
Miscellaneous external	External analgesic	Fever blister (topical)	Defer	IIISE	310.545(a)(10)(v)
Miscellaneous external	Skin protectant	Astringent	IIE	IIE	310.545(a)(18)(ii)
Miscellaneous external	Skin protectant	Fever blister (topical)	Defer	n/a	n/a
Miscellaneous internal	Smoking deterrent	Smoking deterrent	IIE	IIE	310.544(d)
Oral cavity	Oral health care	Antimicrobial	IIIE	IIIE	Pending
Oral cavity	Oral health care	Analgesic/anesthetic	IIIE	IIIE	310.545(a)(14)
Topical analgesic	External analgesic	Analgesic/anesthetic	IIIE	IIIE	310.545(a)(10)(i)
Thymol iodide					
Dental	Relief of oral discomfort	Oral mucosal analgesic	IIIE	IIIE	Pending
Dental	Relief of oral discomfort	Toothache relief	IIIE	IIIE	Pending
Oral cavity	Oral health care	Antimicrobial	IIISE	IIISE	Pending
Titanium dioxide					
Miscellaneous external	External analgesic	Fever blister (topical)	Defer	n/a	Pending
Miscellaneous external	Skin protectant	Fever blister (topical)	Defer	v	n/a
Topical analgesic	Sunscreen		I	I	352.10(p)
Tolindate					
Antimicrobial II	Antifungal	Antifungal	IIE	IIE	310.545(a)(22)(ii)
Tolnaftate					
Antimicrobial II	Antifungal	Antifungal	I	I	333.210(e)
Tolu					
Cough/cold	Cough/cold (expectorant)	Expectorant	IIIE	IIIE	310.545(a)(6)(iii)
Tolu balsam					
Cough/cold	Cough/cold (expectorant)	Expectorant	IIIE	IIIE	310.545(a)(6)(iii)
Oral cavity	Oral health care	Antimicrobial	IIIE	IIIE	Pending
Oral cavity	Oral health care	Expectorant	IIIE	n/a	310.545(a)(6)(iii)
Tolu balsam tincture					
Cough/cold	Cough/cold (expectorant)	Expectorant	IIIE	IIIE	310.545(a)(6)(iii)

(Continued)

Review Panel	Report	Drug Category	Advance Notice Proposed Rulemaking (ANPR)	Proposed Rule (PR)	Federal Register (FR) Listing
Topical starch					
n/a	Skin protectant	Poison ivy/oak/ sumac	n/a	IIIE	310.545(a)(18)(vi)(A)
Hemorrhoidal	Anorectal	Protectant (external)	I	I	346.14(9)
Hemorrhoidal	Anorectal	Protectant (intrarectal)	I	I	346.14(9)
Miscellaneous external	Antifungal	Diaper rash	Defer	n/a	310.545(a)(22)(i)
Miscellaneous external	Antimicrobial	Diaper rash	Defer	n/a	Pending
Miscellaneous external	External analgesic	Astringent	IISE	IISE	Pending
Miscellaneous external	External analgesic	Diaper rash	Defer	n/a	310.545(a)(10)(iv)
Miscellaneous external	Skin protectant	Astringent	IISE	IISE	310.545(a)(18)(ii)
Miscellaneous external	Skin protectant	Diaper rash	Defer	I	Pending
Miscellaneous external	Skin protectant	Fever blister (topical)	Defer	IIISE	310.545(a)(18)(iv)
Topical analgesic	Skin protectant	Skin protectant	I	Defer	347.10(q)
Triacetin					
Antimicrobial II	Antifungal	Antifungal	IIIE	IIIE	310.545(a)(22)(ii)
Tribromsalan					
Antimicrobial I	Antimicrobial	Antimicrobial	IIS	n/a	310.502(a)(5)
Tricalcium phosphate					
Antacid	Antacid	Antacid	n/a	n/a	331.11(i)(3)
Miscellaneous internal	Weight control	Anorectic	IISE	IISE	310.545(a)(20)
Triclocarban					
Antimicrobial I	Antimicrobial	Skin antiseptic	n/a	IIIE	Pending
Antimicrobial I	Antimicrobial	Skin wound cleanser	IISE	IIISE	Pending
Antimicrobial I	Antimicrobial	Antimicrobial soap	IIISE	IIISE	Pending
Antimicrobial I	Antimicrobial	Preoperative skin preparation	IISE	IISE	Pending
Antimicrobial I	Antimicrobial	Surgical hand scrub	IISE	IISE	Pending
Antimicrobial I	Antimicrobial	Skin antiseptic	IISE	IISE	Pending
Antimicrobial I	Antimicrobial	Skin protectant	IISE	IISE	Pending
Antimicrobial I	Antimicrobial	Health-care personnel handwash	IIISE	IIISE	Pending
Triclosan					
Antimicrobial I	Antimicrobial	Skin antiseptic	n/a	IIIE	Pending
Antimicrobial I	Antimicrobial	Antimicrobial soap	IIISE	IIISE	Pending
Antimicrobial I	Antimicrobial	Preoperative skin preparation	IISE	IIISE	Pending
Antimicrobial I	Antimicrobial	Surgical hand scrub	IISE	IIISE	Pending
Antimicrobial I	Antimicrobial	Skin wound cleanser	IIISE	IIISE	Pending
Antimicrobial I	Antimicrobial	Skin antiseptic	IIISE	IIISE	Pending
Antimicrobial I	Antimicrobial	Skin protectant	IIISE	IIISE	Pending
Antimicrobial I	Antimicrobial	Health-care personnel handwash	IISE	IIISE	Pending
Miscellaneous external	Antimicrobial	Diaper rash	Defer	IIISE	Pending
Miscellaneous external	Boil treatment	Boil treatment	n/a	n/a	310.531(a)
n/a	TEA	Acne	n/a		
n/a	TEA	Antigingivitis	n/a		
Trillium					
Miscellaneous internal	Digestive aid	Digestive aid (intestinal distress)	n/a	n/a	310.545(a)(18)(ii)

(Continued)

Review Panel	Report	Drug Category	Advance Notice Proposed Rulemaking (ANPR)	Proposed Rule (PR)	Federal Register (FR) Listing
Tripeleppamine hydrochloride					
n/a	External analgesic	Poison ivy/oak/ sumac	n/a	I	Pending
Cough/cold	Cough/cold (antihistamine)	Antihistamine	n/a	n/a	[57 FR 58373]
Miscellaneous external	External analgesic	Fever blister (topical)	Defer	IIIE	310.545(a)(10)(v)
Topical analgesic	External analgesic	Analgesic/anesthetic	I	I	Pending
Triple dye					
Antimicrobial I	Antimicrobial	Skin antiseptic	n/a	IIISE	Pending
Antimicrobial I	Antimicrobial	Antimicrobial soap	n/a	IIE	Pending
Antimicrobial I	Antimicrobial	Preoperative skin preparation	n/a	IIE	Pending
Antimicrobial I	Antimicrobial	Surgical hand scrub	n/a	IIE	Pending
Antimicrobial I	Antimicrobial	Skin antiseptic	IIIE	IIIE	Pending
Antimicrobial I	Antimicrobial	Skin protectant	n/a	IIE	Pending
Antimicrobial I	Antimicrobial	Skin wound cleanser	n/a	IIE	Pending
Antimicrobial I	Antimicrobial	Health-care personnel handwash	n/a	IIIE	Pending
Tripolidine hydrochloride					
Cough/cold	Cough/cold (antihistamine)	Antihistamine	n/a	I	341.12(m)
Triticum					
Miscellaneous internal	Menstrual/diuretic	Menstrual	n/a	IIE	310.545(a)(24)(i)
Miscellaneous internal	Menstrual/diuretic	Diuretic	n/a	IIE	310.545(a)(24)(i)
Trolamine					
Miscellaneous external	External analgesic	Insect bite/sting	IIIE	IIE	Pending
Miscellaneous external	External analgesic	Poison ivy/oak/ sumac	Defer	n/a	Pending
Miscellaneous external	Skin protectant	Fever blister (topical)	Defer	IIIE	310.545(a)(18)(iv)(A)
Miscellaneous external	Skin protectant	Insect bite/sting	IIIE	IIIE	310.545(a)(18)(v)
Miscellaneous external	Skin protectant	Poison ivy/oak/ sumac	Defer	IIIE	310.545(a)(18)(vi)(A)
Trolamine salicylate					
Miscellaneous external	External analgesic	Fever blister (topical)	Defer	IIIE	310.545(a)(10)(v)
Miscellaneous external	External analgesic	Insect bite/sting	n/a	IIIE	310.545(a)(10)(vi)
Miscellaneous external	External analgesic	Poison ivy/oak/ sumac	n/a	IIIE	310.545(a)(10)(vii)
Topical analgesic	External analgesic	Analgesic/anesthetic	IIIE	IIIE	Pending
Topical analgesic	Sunscreen	Sunscreen	I	I	352.10(q)
Tryptophan					
Miscellaneous internal	Weight control	Anorectic	IIE	IIE	310.545(a)(20)
Turpentine oil					
Cough/cold	Cough/cold (antitussive)	Antitussive (oral)	IIE	IIE	Pending
Cough/cold	Cough/cold (antitussive)	Antitussive (topical/inhalant)	IIIE	IIIE	[52 FR 30055]
Cough/cold	Cough/cold (expectorant)	Expectorant (topical/inhalant)	IIIE	IIIE	310.545(a)(6)(iii)
Cough/cold	Cough/cold (expectorant)	Expectorant (oral)	IIE	IIE	310.545(a)(6)(iii)
Cough/cold	Cough/cold (nasal decongestant)	Nasal decongestant (oral)	IIE	IIE	310.545(a)(6)(ii)(A)
Cough/cold	Cough/cold (nasal decongestant)	Nasal decongestant (topical/inhalant)	IIIE	IIIE	310.545(a)(6)(ii)(A)
Topical analgesic	External analgesic	Counterirritant	I	I	Pending

(Continued)

Review Panel	Report	Drug Category	Advance Notice Proposed Rulemaking (ANPR)	Proposed Rule (PR)	Federal Register (FR) Listing
Miscellaneous external	External analgesic	Fever blister (topical)	Defer	IIIE	310.545(a)(10)(v)
Miscellaneous external	External analgesic	Insect bite/sting	IISE	IISE	310.545(a)(10)(vi)
Miscellaneous external	External analgesic	Poison ivy/oak/ sumac	Defer	IISE	310.545(a)(10)(vii)
Miscellaneous external	Skin protectant	Insect bite/sting	IISE	IISE	310.545(a)(18)(v)(A)
Miscellaneous external	Skin protectant	Poison ivy/oak/ sumac	Defer	IISE	310.545(a)(18)(vi)(A)
Turpentine oil, rectified					
Hemorrhoidal	Anorectal	Counterirritant (intrarectal)	IISE	IISE	310.545(a)(26)(iv)
Hemorrhoidal	Anorectal	Counterirritant (external)	IISE	IISE	310.545(a)(26)(iv)
Turpentine, Venice					
Miscellaneous internal	Menstrual/diuretic	Diuretic	n/a	IISE	310.545(a)(24)(i)
Tyrosine					
Miscellaneous internal	Weight control	Anorectic	IISE	IISE	310.545(a)(20)
Undecylium chloride iodine complex					
Antimicrobial I	Antimicrobial	Antimicrobial soap	n/a	IISE	Pending
Antimicrobial I	Antimicrobial	Skin wound cleanser	IIISE	IIISE	Pending
Antimicrobial I	Antimicrobial	Surgical hand scrub	IIISE	IIISE	Pending
Antimicrobial I	Antimicrobial	Skin protectant	IIISE	IIISE	Pending
Antimicrobial I	Antimicrobial	Skin antiseptic	IIISE	IIISE	Pending
Antimicrobial I	Antimicrobial	Preoperative skin preparation	IIISE	IIISE	Pending
Antimicrobial I	Antimicrobial	Health-care personnel handwash	IIIE	IIIE	Pending
Undecylenic acid					
Antimicrobial II	Antifungal	Antifungal	I	I	333.210(f)
Miscellaneous external	Antifungal	Diaper rash	Defer	IISE	310.545(a)(22)(i)
Undecylenic acid monoethanolamine sulfosuccinate sodium					
Miscellaneous external	Dandruff/seborrheic dermatitis/psoriasis	Dandruff/seborrheic dermatitis/psoriasis	IIIE	IIIE	310.545(a)(7)
Urea					
Miscellaneous external	Hair growth/loss	Hair grower	n/a	IIE	310.527(a)
Miscellaneous external	Ingrown toenail	Ingrown toenail	IISE	IISE	310.538(a)
Miscellaneous internal	Menstrual/diuretic	Diuretic	n/a	IISE	310.545(a)(24)(i)
Uva ursi, extract					
Miscellaneous internal	Weight control	Anorectic	IISE	IISE	310.545(a)(20)
Valine					
Miscellaneous internal	Weight control	Anorectic	IISE	IISE	310.545(a)(20)
Vegetable					
Miscellaneous internal	Weight control	Anorectic	IISE	IISE	310.545(a)(20)
Vegetable oil					
Miscellaneous external	Hair growth/loss	Hair grower	IISE	n/a	310.527(a)
Vitamin A					
Contraceptive/vaginal	Vaginal	Minor irritations	IIIE	Withdrawn	n/a
Hemorrhoidal	Anorectal	Wound healing agent (external)	IIIE	IIIE	310.545(a)(26)(x)

(Continued)

Review Panel	Report	Drug Category	Advance Notice Proposed Rulemaking (ANPR)	Proposed Rule (PR)	Federal Register (FR) Listing
Hemorrhoidal	Anorectal	Wound healing agent (intrarectal)	IIIE	IIIE	310.545(a)(26)(x)
Miscellaneous external	Antifungal	Diaper rash	Defer	n/a	310.545(a)(22)(i)
Miscellaneous external	Antimicrobial	Diaper rash	Defer	n/a	Pending
Miscellaneous external	External analgesic	Diaper rash	Defer	n/a	310.545(a)(10)(iv)
Miscellaneous external	Skin protectant	Diaper rash	Defer	IIISE	Pending
Miscellaneous external	Corn/callus remover	Corn/callus remover	IISE	IISE	[55 FR 33261]
Miscellaneous internal	Weight control	Anorectic	IISE	IISE	310.545(a)(20)
Vitamin A acetate					
Miscellaneous internal	Weight control	Anorectic	IISE	IISE	310.545(a)(20)
Vitamin A palmitate					
Miscellaneous external	Antifungal	Diaper rash	Defer	n/a	310.545(a)(22)(i)
Miscellaneous external	External analgesic	Diaper rash	Defer	n/a	310.545(a)(10)(iv)
Miscellaneous external	Skin protectant	Diaper rash	Defer	n/a	Pending
Miscellaneous external	Antimicrobial	Diaper rash	Defer	n/a	Pending
Miscellaneous internal	Weight control	Anorectic	IISE	IISE	310.545(a)(20)
Vitamin B					
Miscellaneous external	Hair growth/loss	Hair grower	n/a	IIE	310.527(a)
Vitamin E					
Antimicrobial II	Acne	Acne	IIE	IIE	310.545(a)(1)
Miscellaneous external	Antifungal	Diaper rash	Defer	n/a	310.545(a)(22)(i)
Miscellaneous external	Antimicrobial	Diaper rash	Defer	n/a	Pending
Miscellaneous external	External analgesic	Diaper rash	Defer	n/a	310.545(a)(10)(iv)
Miscellaneous external	Hair growth/loss	Hair grower	IIE	n/a	310.527(a)
Miscellaneous external	Skin protectant	Diaper rash	Defer	Withdrawn	n/a
Miscellaneous internal	Leg muscle cramp	Nocturnal leg muscle cramps	n/a	IIISE	310.546
Miscellaneous internal	Weight control	Anorectic	IISE	IISE	310.545(a)(20)
Sedative	Stimulant	Stimulant	IIE	IIE	[39 FR 6104]
Vitamins					
Miscellaneous external	Hair growth/loss	Hair grower	IISE	n/a	310.527(a)
Miscellaneous internal	Aphrodisiac	Aphrodisiac	n/a	IISE	310.528(a)
Vitromersal					
Miscellaneous external	Mercury	First aid antiseptic	n/a	IISE	310.545(a)(27)(i)
Miscellaneous external	Mercury	Antiseptic	IISE	IISE	310.545(a)(27)(i)
Water and additives					
n/a	Ophthalmic	Emergency first aid eyewash	n/a	I	Pending
Water, purified					
n/a	Ophthalmic	Eyewash	n/a	n/a	349.20
Wax, candelilla					
Miscellaneous external	External analgesic	Fever blister (topical)	Defer	n/a	n/a
Miscellaneous external	Skin protectant	Fever blister (topical)	Defer	n/a	n/a
Wax, carnauba					
Miscellaneous external	External analgesic	Fever blister (topical)	Defer	n/a	n/a
Miscellaneous external	Skin protectant	Fever blister (topical)	Defer	n/a	n/a

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Review Panel	Report	Drug Category	Advance Notice Proposed Rulemaking (ANPR)	Proposed Rule (PR)	Federal Register (FR) Listing
		Wax, white			
Ophthalmic	Ophthalmic	Emollient	I	I	349.14(b)(6)
		Wax, yellow			
Ophthalmic	Ophthalmic	Emollient	n/a	I	349.14(b)(7)
		Wheat germ			
Miscellaneous internal	Weight control	Anorectic	IISE	IISE	310.545(a)(20)
		Wheat germ glycerides			
Miscellaneous external	External analgesic	Fever blister (topical)	Defer	n/a	n/a
Miscellaneous external	Skin protectant	Fever blister (topical)	Defer	n/a	n/a
		Wheat germ oil			
Miscellaneous external	Hair growth/loss	Hair grower	IIE	IIE	310.527(a)
		White ointment			
Ophthalmic	Ophthalmic	Emollient	I	I	349.14(b)(8)
		White petrolatum			
n/a	Skin protectant	Skin protectant	n/a	n/a	347.10(r)
		White pine			
Cough/cold	Cough/cold (expectorant)	Expectorant	IIIE	IIIE	310.545(a)(6)(iii)
		White pine extract, compound			
Cough/cold	Cough/cold (expectorant)	Expectorant	IIIE	IIIE	310.545(a)(6)(iii)
		White pine syrup, compound			
Cough/cold	Cough/cold (expectorant)	Expectorant	IIISE	IIIE	310.545(a)(6)(iii)
		Witch hazel			
Hemorrhoidal	Anorectal	Astringent (external)	I	I	346.18 (b)
Hemorrhoidal	Anorectal	Astringent (intrarectal)	I	I	346.18 (b)
Miscellaneous external	External analgesic	Astringent	I	n/a	n/a
Miscellaneous external	Skin protectant	Astringent	I	I	347.12(c)
		Woodruff			
Miscellaneous internal	Digestive aid	Digestive aid (intestinal distress)	n/a	n/a	310.545(a)(18)(ii)
		Xanthan gum			
Miscellaneous internal	Weight control	Anorectic	IIIE	IISE	310.545(a)(20)
		Xylometazoline hydrochloride			
Cough/cold	Cough/cold (nasal decongestant)	Nasal decongestant	I	I	341.20(b)(10)
		Yeast			
Miscellaneous internal	Weight control	Anorectic	IISE	IISE	310.545(a)(20)
		Yeast cell derivative, live			
Hemorrhoidal	Anorectal	Wound healing agent (external)	IIISE	IIISE	310.545(a)(26)(vii)
Hemorrhoidal	Anorectal	Wound healing agent (intrarectal)	IIISE	IIISE	310.545(a)(26)(vii)
Miscellaneous external	Antifungal	Diaper rash	Defer	n/a	310.545(a)(22)(i)
Miscellaneous external	Antimicrobial	Diaper rash	Defer	n/a	Pending

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Review Panel	Report	Drug Category	Advance Notice Proposed Rulemaking (ANPR)	Proposed Rule (PR)	Federal Register (FR) Listing
Miscellaneous external	External analgesic	Diaper rash	Defer	n/a	310.545(a)(10)(iv)
Miscellaneous external	Skin protectant	Diaper rash	Defer	IIISE	Pending
Topical analgesic	Skin protectant	Wound healing agent	IIIE	IIIE	Pending
Yohimbine					
Miscellaneous internal	Aphrodisiac	Aphrodisiac	IISE	IISE	310.528(a)
Yohimbine hydrochloride					
Miscellaneous internal	Aphrodisiac	Aphrodisiac	IISE	IISE	310.528(a)
Yohimbinum					
Miscellaneous internal	Aphrodisiac	Aphrodisiac	n/a	n/a	310.528(a)
Zinc acetate					
n/a	Skin protectant	Diaper rash	n/a	n/a	310.545(a)(18)(iii)
Miscellaneous external	Skin protectant	Poison ivy/oak/ sumac	Defer	I	347.10(s)
Miscellaneous external	External analgesic	Poison ivy/oak/ sumac	Defer	IISE	Pending
Topical analgesic	Skin protectant	Wound healing agent	IIIE	IIIE	310.545(a)(18)(i)(A)
Topical analgesic	Skin protectant	Skin protectant	I	I	347.10(s)
Zinc caprylate					
Antimicrobial II	Antifungal	Antifungal	IIIE	IIIE	310.545(a)(22)(ii)
Zinc carbonate					
n/a	Skin protectant	Diaper rash	Defer	IIISE	310.545(a)(18)(iii)
n/a	Skin protectant	Poison ivy/oak/ sumac	n/a	I	347.10(t)
Topical analgesic	Skin protectant	Skin protectant	I	I	347.10(t)
Zinc chloride					
Miscellaneous external	Corn/callus remover	Corn/callus remover	IIIE	IIIE	[55 FR 33261]
Miscellaneous external	External analgesic	Astringent	IISE	n/a	Pending
Miscellaneous external	Skin protectant	Astringent	IISE	IISE	310.545(a)(18)(ii)
Oral cavity	Oral health care	Astringent	I	I	Pending
Zinc citrate					
n/a	Gingivitis/plaque	Antiplateque/gingivitis	n/a	IIIE	Pending
Zinc oxide					
n/a	n/a	Sunscreen	n/a	I	352.10(r)
n/a	Skin protectant	Poison ivy/oak/ sumac	n/a	I	347.10(u)
Antimicrobial II	Acne	Acne	IIIE	IIIE	310.545(a)(1)
Hemorrhoidal	Anorectal	Astringent (external)	I	I	346.18 (c)
Hemorrhoidal	Anorectal	Astringent (intrarectal)	I	I	346.18 (c)
Hemorrhoidal	Anorectal	Protectant (external)	I	I	346.14(b)(4)
Hemorrhoidal	Anorectal	Protectant (intrarectal)	I	I	346.14(b)(4)
Miscellaneous external	Antifungal	Diaper rash	Defer	n/a	310.545(a)(22)(i)
Miscellaneous external	Antimicrobial	Diaper rash	Defer	n/a	Pending
Miscellaneous external	Boil treatment	Boil treatment	IISE	IISE	310.531(a)
Miscellaneous external	External analgesic	Astringent	IISE	IISE	Pending
Miscellaneous external	External analgesic	Diaper rash	Defer	n/a	310.545(a)(10)(iv)
Miscellaneous external	External analgesic	Insect bite/sting	IISE	IISE	310.545(a)(10)(vi)
Miscellaneous external	Skin protectant	Astringent	IISE	IISE	310.545(a)(18)(ii)
Miscellaneous external	Skin protectant	Diaper rash	Defer	I	Pending
Miscellaneous external	Skin protectant	Insect bite/sting	IISE	IISE	310.545(a)(18)(v)(A)
Miscellaneous external	Skin protectant	Protectant	I	I	Pending
Topical analgesic	Skin protectant	Skin protectant	I	I	Pending

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Review Panel	Report	Drug Category	Advance Notice Proposed Rulemaking (ANPR)	Proposed Rule (PR)	Federal Register (FR) Listing
Zinc phenolsulfonate					
Laxative	Antidiarrheal	Antidiarrheal	IIE	IIE	310.545(a)(3)(i)
Laxative	Antiemetic	Antiemetic	IIIE	IIE	[52 FR 15891]
Miscellaneous external	External analgesic	Astringent	IISE	n/a	Pending
Miscellaneous external	Skin protectant	Astringent	IISE	IISE	310.545(a)(18)(ii)
Zinc propionate					
Antimicrobial II	Antifungal	Antifungal	IIIE	IIIE	310.545(a)(22)(ii)
Zinc stearate					
Antimicrobial II	Acne	Acne	IIE	IIE	310.545(a)(1)
Miscellaneous external	Antifungal	Diaper rash	Defer	n/a	310.545(a)(22)(i)
Miscellaneous external	External analgesic	Astringent	IISE	n/a	Pending
Miscellaneous external	External analgesic	Diaper rash	Defer	n/a	310.545(a)(10)(iv)
Miscellaneous external	Skin protectant	Astringent	IISE	IISE	310.545(a)(18)(ii)
Miscellaneous external	Skin protectant	Diaper rash	Defer	n/a	Pending
Miscellaneous external	Antimicrobial	Diaper rash	Defer	n/a	Pending
Zinc sulfate					
Contraceptive/vaginal	Vaginal	Astringent	IIIE	Withdrawn	n/a
Miscellaneous external	External analgesic	Astringent	IISE	n/a	Pending
Miscellaneous external	External analgesic	Fever blister (topical)	Defer	IIISE	310.545(a)(10)(v)
Miscellaneous external	Skin protectant	Astringent	IISE	IISE	310.545(a)(18)(ii)
Miscellaneous external	Skin protectant	Fever blister (topical)	Defer	IIIE	310.545(a)(18)(iv)
Miscellaneous internal	Poison treatment	Emetic	n/a	IISE	310.545(a)(16)
Ophthalmic	Ophthalmic	Astringent	I	I	349.10
Zinc sulfide					
Antimicrobial II	Acne	Acne	IIE	IIE	310.545(a)(1)
Zinc undecylenate					
Antimicrobial II	Antifungal	Antifungal	I	I	333.210(f)
Zirconium oxide					
Miscellaneous external	External analgesic	Insect bite/sting	IISE	IISE	310.545(a)(10)(vi)
Miscellaneous external	Skin protectant	Insect bite/sting	IISE	IISE	310.545(a)(10)(vi)
Miscellaneous external	Skin protectant	Poison ivy/oak/ sumac	Defer	IISE	310.545(a)(18)(vi)(A)
Miscellaneous external	External analgesic	Poison ivy/oak/ sumac	n/a	IISE	310.545(a)(10)(vii)
Miscellaneous external	External analgesic	Poison ivy/oak/ sumac	Defer	IISE	310.545(a)(10)(vii)
Zyloxin					
Miscellaneous external	External analgesic	Poison ivy/oak/ sumac	Defer	IISE	310.545(a)(10)(vii)
Miscellaneous external	Mercury	First aid antiseptic	IISE	n/a	310.545(a)(27)(i)
Miscellaneous external	Skin protectant	Poison ivy/oak/ sumac	Defer	IISE	310.545(a)(18)(vi)(A)

APPENDIX II UNPROVEN SAFETY OF OTC INGREDIENTS

- (1) Topical acne drug products.
 - Alcloxa
 - Alkyl isoquinolinium bromide
 - Aluminum chlorohydrate
 - Aluminum hydroxide
 - Benzocaine
 - Benzoic acid
 - Boric acid
 - Calcium polysulfide
 - Calcium thiosulfate
 - Camphor
 - Chloroxylenol
 - Cloxyquin
 - Coal tar
 - Dibenzothiophene
 - Estrone
 - Magnesium aluminum silicate
 - Magnesium sulfate
 - Phenol
 - Phenolate sodium
 - Phenyl salicylate
 - Povidone–iodine
 - Pyrimidine maleate
 - Resorcinol (as single ingredient)
 - Resorcinol monoacetate (as single ingredient)
 - Salicylic acid (over 2% up to 5%)
 - Sodium borate
 - Sodium thiosulfate
 - Tetracaine hydrochloride
 - Thymol
 - Vitamin E
 - Zinc oxide
 - Zinc stearate
 - Zinc sulfide
- (2) Anticaries drug products
 - (i) Approved as of May 7, 1991.
 - Hydrogen fluoride
 - Sodium carbonate
 - Sodium monofluorophosphate (6% rinse)
 - Sodium phosphate
 - (ii) Approved as of October 7, 1996.
 - Calcium sucrose phosphate
 - Dicalcium phosphate dihydrate
 - Disodium hydrogen phosphate¹
 - Phosphoric acid
 - Sodium dihydrogen phosphate
 - Sodium dihydrogen phosphate monohydrate
 - Sodium phosphate, dibasic anhydrous reagent
- (3) Antidiarrheal drug products
 - (i) Approved as of May 7, 1991.
 - Aluminum hydroxide
 - Atropine sulfate
 - Calcium carbonate
 - Carboxymethylcellulose sodium
 - Glycine
 - Homatropine methylbromide
 - Hyoscyamine sulfate
 - Lactobacillus acidophilus*
 - Lactobacillus bulgaricus*
 - Opium, powdered
 - Opium tincture
 - Paregoric
 - Phenyl salicylate
 - Scopolamine hydrobromide
 - Zinc phenolsulfonate
 - (ii) Approved as of April 19, 2004; April 18, 2005, for products with annual sales less than \$25,000.
 - Attapulgit, activated
 - Bismuth subnitrate
 - Calcium hydroxide
 - Calcium polycarbophil
 - Charcoal (activated)
 - Pectin
 - Polycarbophil
 - Potassium carbonate
 - Rhubarb fluid extract
- (4) Antiperspirant drug products
 - (i) Ingredients
 - Approved as of May 7, 1991.
 - Alum, potassium
 - Aluminum bromohydrate
 - Aluminum chloride (alcoholic solutions)
 - Aluminum chloride (aqueous solution) (aerosol only)
 - Aluminum sulfate
 - Aluminum sulfate, buffered (aerosol only)
 - Sodium aluminum chlorohydroxy lactate
 - (ii) Approved as of December 9, 2004; June 9, 2005, for products with annual sales less than \$25,000.
 - Aluminum sulfate buffered with sodium aluminum lactate
- (5) [Reserved]
- (6) Cold, cough, allergy, bronchodilator, and antiasthmatic drug products
 - (i) Antihistamine drug products
 - (A) Ingredients.
 - Methapyrilene hydrochloride
 - Methapyrilene fumarate
 - Thenyldiamine hydrochloride
 - (B) Ingredients.
 - Phenyltoloxamine dihydrogen citrate
 - Methapyrilene hydrochloride
 - Methapyrilene fumarate
 - Thenyldiamine hydrochloride
 - (ii) Nasal decongestant drug products
 - (A) Approved as of May 7, 1991.
 - Allyl isothiocyanate
 - Camphor (lozenge)
 - Creosote, beechwood (oral)
 - Eucalyptol (lozenge)
 - Eucalyptol (mouthwash)

- Eucalyptus oil (lozenge)
- Eucalyptus oil (mouthwash)
- Menthol (mouthwash)
- Peppermint oil (mouthwash)
- Thenyldiamine hydrochloride
- Thymol
- Thymol (lozenge)
- Thymol (mouthwash)
- Turpentine oil
- (B) Approved as of August 23, 1995.
 - Bornyl acetate (topical)
 - Cedar leaf oil (topical)
 - Creosote, beechwood (topical)
 - Ephedrine (oral)
 - Ephedrine hydrochloride (oral)
 - Ephedrine sulfate (oral)
 - Racephedrine hydrochloride (oral/topical)
- (C) Approved as of April 11, 2007; October 11, 2007, for products with annual sales less than \$25,000. Any ingredient(s) labeled with claims or directions for use for sinusitis or for relief of nasal congestion associated with sinusitis.
- (iii) Expectorant drug products.
 - Ammonium chloride
 - Antimony potassium tartrate
 - Beechwood creosote
 - Benzoin preparations (compound tincture of benzoin, tincture of benzoin)
 - Camphor
 - Chloroform
 - Eucalyptol/eucalyptus oil
 - Horehound
 - Iodides (calcium iodide anhydrous, hydriodic acid syrup, iodized lime, potassium iodide)
 - Ipecac
 - Ipecac fluid extract
 - Ipecac syrup
 - Menthol/peppermint oil
 - Pine tar preparations (extract white pine compound, pine tar, syrup of pine tar, compound white pine syrup, white pine)
 - Potassium guaiacolsulfonate
 - Sodium citrate
 - Squill preparations (squill, squill extract)
 - Terpin hydrate preparations (terpin hydrate, terpin hydrate elixir)
 - Tolu preparations (tolu, tolu balsam, tolu balsam tincture)
 - Turpentine oil (spirits of turpentine)
- (iv) Bronchodilator drug products
 - (A) Approved as of October 2, 1987.
 - Aminophylline
 - Belladonna alkaloids
 - Euphorbia pilulifera*
 - Metaproterenol sulfate
 - Methoxyphenamine hydrochloride
 - Pseudoephedrine hydrochloride
 - Pseudoephedrine sulfate
 - Theophylline, anhydrous
 - Theophylline calcium salicylate
 - Theophylline sodium glycinate
 - (B) Approved as of January 29, 1996. Any combination drug product containing theophylline (e.g., theophylline and ephedrine, or theophylline and ephedrine and phenobarbital).
 - (C) Approved as of June 19, 1996. Any ingredient(s) in a pressurized metered-dose inhaler container.
 - (D) Approved as of October 29, 2001. Any oral bronchodilator active ingredient (e.g., ephedrine, ephedrine hydrochloride, ephedrine sulfate, racephedrine hydrochloride, or any other ephedrine salt) in combination with any analgesic(s) or analgesic-antipyretic(s), anticholinergic, anti-histamine, oral antitussive, or stimulant active ingredient.
- (7) Dandruff/seborrheic dermatitis/psoriasis drug products.
 - Alkyl isoquinolinium bromide
 - Allantoin
 - Benzalkonium chloride
 - Benzethonium chloride
 - Boric acid
 - Calcium undecylenate
 - Captan
 - Chloroxylenol
 - Colloidal oatmeal
 - Cresol, saponated
 - Ethohexadiol
 - Eucalyptol
 - Juniper tar
 - Lauryl isoquinolinium bromide
 - Menthol
 - Mercury oleate
 - Methylbenzethonium chloride
 - Methyl salicylate
 - Phenol
 - Phenolate sodium
 - Pine tar
 - Povidone–iodine
 - Resorcinol
 - Sodium borate
 - Sodium salicylate
 - Thymol
 - Undecylenic acid
- (8) Digestive aid drug products
 - (i) Approved as of May 7, 1991.
 - Bismuth sodium tartrate
 - Calcium carbonate
 - Cellulase
 - Dehydrocholic acid

- Dihydroxyaluminum sodium carbonate
 Duodenal substance
 Garlic, dehydrated
 Glutamic acid hydrochloride
 Hemicellulase
 Homatropine methylbromide
 Magnesium hydroxide
 Magnesium trisilicate
 Ox bile extract
 Pancreatin
 Pancrelipase
 Papain
 Peppermint oil
 Pepsin
 Sodium bicarbonate
 Sodium citrate
 Sorbitol
 (ii) Approved as of November 10, 1993.
 Alcohol
 Aluminum hydroxide
 Amylase
 Anise seed
 Aromatic powder
 Asafetida
Aspergillus oryzae enzymes (except lactase
 enzyme derived from *Aspergillus oryzae*)
Bacillus acidophilus
 Bean
 Belladonna alkaloids
 Belladonna leaves, powdered extract
 Betaine hydrochloride
 Bismuth subcarbonate
 Bismuth subgallate
 Black radish powder
 Blessed thistle (*Cnicus benedictus*)
 Buckthorn
 Calcium gluconate
 Capsicum
 Capsicum, fluid extract of
 Carbon
 Cascara sagrada extract
 Catechu, tincture
 Catnip
 Chamomile flowers
 Charcoal, wood
 Chloroform
 Cinnamon oil
 Cinnamon tincture
 Citrus pectin
 Diastase
 Diastase malt
 Dog grass
 Elecampane
 Ether
 Fennel acid
 Galega
 Ginger
 Glycine
Hydrastis canadensis (golden seal)
 Hectorite
 Horsetail
 Huckleberry
 Hydrastis fluid extract
 Hydrochloric acid
 Iodine
 Iron ox bile
 Johnswort
 Juniper
 Kaolin, colloidal
 Knotgrass
 Lactic acid
 Lactose
 Lavender compound, tincture of
 Linden
 Lipase
 Lysine hydrochloride
 Mannitol
 Mycozyme
 Myrrh, fluid extract of
 Nettle
 Nickel-pectin
Nux vomica extract
 Orthophosphoric acid
 Papaya, natural
 Pectin
 Peppermint
 Peppermint spirit
 Phenacetin
 Potassium bicarbonate
 Potassium carbonate
 Protease
 Prolase
 Rhubarb fluid extract
 Senna
 Sodium chloride
 Sodium salicylate
 Stem bromelain
 Strawberry
 Strychnine
 Tannic acid
 Trillium
 Woodruff
 (iii) Charcoal, activated
 (9) [Reserved]
 (10) External analgesic drug products
 (i) Analgesic and anesthetic drug products.
 Aspirin
 Chloral hydrate
 Chlorobutanol
 Cyclomethycaine sulfate
 Eugenol
 Hexylresorcinol
 Methapyrilene hydrochloride
 Salicylamide

- Thymol
- (ii) Counterirritant drug products.
 - Chloral hydrate
 - Eucalyptus oil
- (iii) Male genital desensitizer drug products.
 - Benzyl alcohol
 - Camphorated metacresol
 - Ephedrine hydrochloride
- (iv) Diaper rash drug products. Any ingredient(s) labeled with claims or directions for use in the treatment and/or prevention of diaper rash.
- (v) Fever blister and cold sore treatment drug products.
 - Allyl isothiocyanate
 - Aspirin
 - Bismuth sodium tartrate
 - Camphor (exceeding 3%)
 - Capsaicin
 - Capsicum
 - Capsicum oleoresin
 - Chloral hydrate
 - Chlorobutanol
 - Cyclomethycaine sulfate
 - Eucalyptus oil
 - Eugenol
 - Glycol salicylate
 - Hexylresorcinol
 - Histamine dihydrochloride
 - Menthol (exceeding 1%)
 - Methapyrilene hydrochloride
 - Methyl nicotinate
 - Methyl salicylate
 - Pectin
 - Salicylamide
 - Strong ammonia solution
 - Tannic acid
 - Thymol
 - Tripelennamine hydrochloride
 - Trolamine salicylate
 - Turpentine oil
 - Zinc sulfate
- (vi) Insect bite and sting drug products.
 - Alcohol
 - Alcohol, ethoxylated alkyl
 - Benzalkonium chloride
 - Calamine
 - Ergot fluid extract
 - Ferric chloride
 - Panthenol
 - Peppermint oil
 - Pyrimamine maleate
 - Sodium borate
 - Trolamine salicylate
 - Turpentine oil
 - Zinc oxide
 - Zirconium oxide
- (vii) Poison ivy, poison oak, and poison sumac drug products.
 - Alcohol
 - Aspirin
 - Benzethonium chloride
 - Benzocaine (0.5%–1.25%)
 - Bithionol
 - Calamine
 - Cetalkonium chloride
 - Chloral hydrate
 - Chlorobutanol
 - Chlorpheniramine maleate
 - Creosote, beechwood
 - Cyclomethycaine sulfate
 - Dexpanthenol
 - Diperodon hydrochloride
 - Eucalyptus oil
 - Eugenol
 - Glycerin
 - Glycol salicylate
 - Hectorite
 - Hexylresorcinol
 - Hydrogen peroxide
 - Impatiens biflora* tincture
 - Iron oxide
 - Isopropyl alcohol
 - Lanolin
 - Lead acetate
 - Merbromin
 - Mercuric chloride
 - Methapyrilene hydrochloride
 - Panthenol
 - Parethoxycaine hydrochloride
 - Phenyltoloxamine dihydrogen citrate
 - Povidone–vinylacetate copolymers
 - Pyrimamine maleate
 - Salicylamide
 - Salicylic acid
 - Simethicone
 - Sulfur
 - Tannic acid
 - Thymol
 - Trolamine salicylate
 - Turpentine oil
 - Zirconium oxide
 - Zyloxin
- (11) [Reserved]
- (12) Laxative drug products
 - (i) (A) Bulk laxatives.
 - Agar
 - Carrageenan (degraded)
 - Carrageenan (native)
 - Guar gum
 - (i) (B) Bulk laxatives—Approved as of March 29, 2007. Granular dosage forms containing psyllium (hemicellulose), psyllium hydrophilic mucilloid, psyllium seed,

psyllium seed (blond), psyllium seed husks, plantago husks, or plantago seed including, but not limited to, any granules that are

- (1) swallowed dry prior to drinking liquid
- (2) dispersed, suspended, or partially dissolved in liquid prior to swallowing;
- (3) chewed, partially chewed, or unchewed, and then washed down (or swallowed) with liquid
- (4) sprinkled over food

(ii) Saline laxative.

Tartaric acid

(iii) Stool softener.

Poloxamer 188

(iv) (A) Stimulant laxatives—Approved as of May 7, 1991.

Aloin

Bile salts/acids

Calcium pantothenate

Calomel

Colocynth

Elaterin resin

Frangula

Gamboge

Ipomea

Jalap

Ox bile

Podophyllum resin

Prune concentrate dehydrate

Prune powder

Rhubarb, Chinese

Sodium oleate

(B) Stimulant laxatives—Approved as of January 29, 1999.

Danthron

Phenolphthalein

(C) Stimulant laxatives—Approved as of November 5, 2002.

Aloe ingredients (aloe, aloe extract, aloe flower extract)

Cascara sagrada ingredients (casanthranol, cascara fluid extract aromatic, cascara sagrada bark, cascara sagrada extract, cascara sagrada fluid extract).

(13) [Reserved]

(14) Oral health-care drug products (nonantimicrobial).

Antipyrine

Camphor

Cresol

Dibucaine

Dibucaine hydrochloride

Eucalyptol

Lidocaine

Lidocaine hydrochloride

Methyl salicylate

Myrrh tincture

Pyrilamine maleate

Sorbitol

Sugars

Tetracaine

Tetracaine hydrochloride

Thymol

(15) Topical otic drug products

(i) For the prevention of swimmer's ear and for the drying of water-clogged ears, approved as of May 7, 1991.

Acetic acid

(ii) For the prevention of swimmer's ear, approved as of August 15, 1995.

Glycerin and anhydrous glycerin

Isopropyl alcohol

(16) Poison treatment drug products.

Ipecac fluid extract

Ipecac tincture

Zinc sulfate

(17) Skin bleaching drug products.

Mercury, ammoniated

(18) Skin protectant drug products

(i) (A) Ingredients—Approved as of May 7, 1991.

Allantoin (wound healing claims only)

Sulfur

Tannic acid

Zinc acetate (wound healing claims only)

(B) Ingredients—Approved as of June 4, 2004; June 6, 2005, for products with annual sales less than \$25,000.

Beeswax

Bismuth subnitrate

Boric acid

Cetyl alcohol

Glyceryl stearate

Isopropyl palmitate

Live yeast cell derivative

Shark liver oil

Stearyl alcohol

(ii) Astringent drug products.

Acetone

Alcohol

Alum, ammonium

Alum, potassium

Aluminum chlorhydroxy complex

Aromatics

Benzalkonium chloride

Benzethonium chloride

Benzocaine

Benzoic acid

Boric acid

Calcium acetate

Camphor gum

Clove oil

Colloidal oatmeal

Cresol

Cupric sulfate

- Eucalyptus oil
- Eugenol
- Ferric subsulfate (Monsel's Solution)
- Honey
- Isopropyl alcohol
- Menthol
- Methyl salicylate
- Oxyquinoline sulfate
- p-t*-Butyl-*m*-cresol
- Peppermint oil
- Phenol
- Polyoxeethylene laurate
- Potassium ferrocyanide
- Sage oil
- Silver nitrate
- Sodium borate
- Sodium diacetate
- Talc
- Tannic acid glycerite
- Thymol
- Topical starch
- Zinc chloride
- Zinc oxide
- Zinc phenolsulfonate
- Zinc stearate
- Zinc sulfate
- (iii) Diaper rash drug products.
 - Aluminum hydroxide
 - Cocoa butter
 - Cysteine hydrochloride
 - Glycerin
 - Protein hydrolysate
 - Racemethionine
 - Sulfur
 - Tannic acid
 - Zinc acetate
 - Zinc carbonate
- (iv) Fever blister and cold sore treatment drug products.
 - Bismuth subnitrate
 - Boric acid
 - Pyridoxine hydrochloride
 - Sulfur
 - Tannic acid
 - Topical starch
 - Trolamine
 - Zinc sulfate
- (v) Insect bite and sting drug products
 - (A) Ingredients—Approved as of November 10, 1993.
 - Alcohol
 - Alcohol, ethoxylated alkyl
 - Ammonia solution, strong
 - Ammonium hydroxide
 - Benzalkonium chloride
 - Camphor
 - Ergot fluid extract
 - Ferric chloride
 - Menthol
 - Peppermint oil
 - Phenol
 - Pyrilamine maleate
 - Sodium borate
 - Trolamine
 - Turpentine oil
 - Zirconium oxide
 - (B) Ingredients—Approved as of June 4, 2004; June 6, 2005, for products with annual sales less than \$25,000.
 - Beeswax
 - Bismuth subnitrate
 - Boric acid
 - Cetyl alcohol
 - Glyceryl stearate
 - Isopropyl palmitate
 - Live yeast cell derivative
 - Shark liver oil
 - Stearyl alcohol
- (vi) Poison ivy, poison oak, and poison sumac drug products
 - (A) Ingredients—Approved as of November 10, 1993.
 - Alcohol
 - Anion and cation exchange resins buffered
 - Benzethonium chloride
 - Benzocaine
 - Benzyl alcohol
 - Bismuth subnitrate
 - Bithionol
 - Boric acid
 - Camphor
 - Cetalkonium chloride
 - Chloral hydrate
 - Chlorpheniramine maleate
 - Creosote
 - Diperodon hydrochloride
 - Diphenhydramine hydrochloride
 - Eucalyptus oil
 - Ferric chloride
 - Glycerin
 - Hectorite
 - Hydrogen peroxide
 - Impatiens biflora* tincture
 - Iron oxide
 - Isopropyl alcohol
 - Lanolin
 - Lead acetate
 - Lidocaine
 - Menthol
 - Merbromin
 - Mercuric chloride
 - Panthenol
 - Parethoxycaine hydrochloride
 - Phenol

Phenyltoloxamine dihydrogen citrate
 Povidone–vinylacetate copolymers
 Salicylic acid
 Simethicone
 Tannic acid
 Topical starch
 Trolamine
 Turpentine oil
 Zirconium oxide
 Zyloxin

(B) Ingredients—Approved as of June 4, 2004;
 June 6, 2005, for products with annual
 sales less than \$25,000.

Beeswax
 Bismuth subnitrate
 Boric acid
 Cetyl alcohol
 Glyceryl stearate
 Isopropyl palmitate
 Live yeast cell derivative
 Shark liver oil
 Stearyl alcohol

(19) [Reserved]

(20) Weight control drug products.

Alcohol
 Alfalfa
 Alginic acid
 Anise oil
 Arginine
 Ascorbic acid
 Bearberry
 Biotin
 Bone marrow, red
 Buchu
 Buchu, potassium extract
 Caffeine
 Caffeine citrate
 Calcium
 Calcium carbonate
 Calcium caseinate
 Calcium lactate
 Calcium pantothenate
 Carboxymethylcellulose sodium
 Carrageenan
 Cholecalciferol
 Choline
 Chondrus
 Citric acid
Cnicus benedictus
 Copper
 Copper gluconate
 Corn oil
 Corn syrup
 Corn silk, potassium extract
 Cupric sulfate
 Cyanocobalamin (vitamin B12)
 Cystine

Dextrose
 Docusate sodium
 Ergocalciferol
 Ferric ammonium citrate
 Ferric pyrophosphate
 Ferrous fumarate
 Ferrous gluconate
 Ferrous sulfate (iron)
 Flax seed
 Folic acid
 Fructose
 Guar gum
 Histidine
Hydrastis canadensis
 Inositol
 Iodine
 Isoleucine
 Juniper, potassium extract
 Karaya gum
 Kelp
 Lactose
 Lecithin
 Leucine
 Liver concentrate
 Lysine
 Lysine hydrochloride
 Magnesium
 Magnesium oxide
 Malt
 Maltodextrin
 Manganese citrate
 Mannitol
 Methionine
 Methylcellulose
 Mono- and diglycerides
 Niacinamide
 Organic vegetables
 Pancreatin
 Pantothenic acid
 Papain
 Papaya enzymes
 Pepsin
 Phenacetin
 Phenylalanine
 Phosphorus
 Phytolacca
 Pineapple enzymes
 Plantago seed
 Potassium citrate
 Pyridoxine hydrochloride (vitamin B6)
 Riboflavin
 Rice polishings
 Saccharin
 Sea minerals
 Sesame seed
 Sodium
 Sodium bicarbonate

- Sodium caseinate
 - Sodium chloride (salt)
 - Soybean protein
 - Soy meal
 - Sucrose
 - Thiamine hydrochloride (vitamin B1)
 - Thiamine mononitrate (vitamin B1 mononitrate)
 - Threonine
 - Tricalcium phosphate
 - Tryptophan
 - Tyrosine
 - Uva ursi*, potassium extract
 - Valine
 - Vegetable
 - Vitamin A
 - Vitamin A acetate
 - Vitamin A palmitate
 - Vitamin E
 - Wheat germ
 - Xanthan gum
 - Yeast
- (21) Ophthalmic drug products.
- (i) Ophthalmic anesthetic drug products.
 - Antipyrine
 - Piperocaine hydrochloride
 - (ii) Ophthalmic anti-infective drug products.
 - Boric acid
 - Mild silver protein
 - Yellow mercuric oxide
 - (iii) Ophthalmic astringent drug products.
 - Infusion of rose petals
 - (iv) Ophthalmic demulcent drug products.
 - Polyethylene glycol 6000
 - (v) Ophthalmic vasoconstrictor drug products.
 - Phenylephrine hydrochloride (less than 0.08%)
- (22) Topical antifungal drug products.
- (i) Diaper rash drug products. Any ingredient(s) labeled with claims or directions for use in the treatment and/or prevention of diaper rash.
 - (ii) Ingredients.
 - Alcloxa
 - Alum, potassium
 - Aluminum sulfate
 - Amyltricresols, secondary
 - Basic fuchsin
 - Benzethonium chloride
 - Benzoic acid
 - Benzoxiquine
 - Boric acid
 - Camphor
 - Candididin
 - Chlorothymol
 - Coal tar
 - Dichlorophen
 - Menthol
 - Methylparaben
 - Oxyquinoline
 - Oxyquinoline sulfate
 - Phenol
 - Phenolate sodium
 - Phenyl salicylate
 - Propionic acid
 - Propylparaben
 - Resorcinol
 - Salicylic acid
 - Sodium borate
 - Sodium caprylate
 - Sodium propionate
 - Sulfur
 - Tannic acid
 - Thymol
 - Tolindate
 - Triacetin
 - Zinc caprylate
 - Zinc propionate
- (iii) Any ingredient(s) labeled with claims or directions for use on the scalp or on the nails.
- (iv) Ingredients.
 - Camphorated metacresol
 - Chloroxylenol
 - m-Cresol
 - Nystatin
- (23) Internal analgesic drug products
- (i) Approved as of November 10, 1993.
 - Aminobenzoic acid
 - Antipyrine
 - Aspirin, aluminum
 - Calcium salicylate
 - Codeine
 - Codeine phosphate
 - Codeine sulfate
 - Iodoantipyrine
 - Lysine aspirin
 - Methapyrilene fumarate
 - Phenacetin
 - Pheniramine maleate
 - Pyrilamine maleate
 - Quinine
 - Salsalate
 - Sodium aminobenzoate
 - (ii) Approved as of February 22, 1999.
 - Any atropine ingredient
 - Any ephedrine ingredient
- (24) Orally administered menstrual drug products
- (i) Approved as of November 10, 1993.
 - Alcohol
 - Alfalfa leaves
 - Aloes
 - Asclepias tuberosa*
 - Asparagus
 - Barosma
 - Bearberry (extract of *Uva ursi*)
 - Bearberry fluid extract (extract of bearberry)
 - Blessed thistle (*Cnicus benedictus*)

- Buchu powdered extract (extract of buchu)
 Calcium lactate
 Calcium pantothenate
 Capsicum oleoresin
 Cascara fluid extract, aromatic (extract of cascara)
 Chlorprophenpyridamine maleate
Cimicifuga racemosa
 Codeine
 Collinsonia (extract stone root)
 Corn silk
 Couch grass
 Dog grass extract
 Ethyl nitrite
 Ferric chloride
 Ferrous sulfate
Gentiana lutea (gentian)
 Glycyrrhiza (licorice)
 Homatropine methylbromide
 Hydrangea, powdered extract (extract of hydrangea)
Hydrastis canadensis (golden seal)
 Hyoscyamine sulfate
 Juniper oil (oil of juniper)
 Magnesium sulfate
 Methapyrilene hydrochloride
 Methenamine
 Methylene blue
 Natural estrogenic hormone
 Niacinamide
 Nutmeg oil (oil of nutmeg)
 Oil of erigeron
 Parsley
 Peppermint spirit
 Pepsin, essence
 Phenacetin
 Phenindamine tartrate
 Phenyl salicylate
Piscidia erythrina
 Pipsissewa
 Potassium acetate
 Potassium nitrate
 Riboflavin
 Saw palmetto
Senecio aureus
 Sodium benzoate
 Sodium nitrate
 Sucrose
 Sulfurated oils of turpentine
Taraxacum officinale
 Theobromine sodium salicylate
 Theophylline
 Thiamine hydrochloride
 Triticum
 Turpentine, Venice (Venice turpentine)
 Urea
- (ii) Approved as of February 22, 1999.
- Any atropine ingredient
 Any ephedrine ingredient
- (25) Pediculicide drug products
- (i) Approved as of November 10, 1993.
- Benzocaine
 Benzyl alcohol
 Benzyl benzoate
 Chlorophenothane (dichlorodiphenyl trichloroethane)
 Coconut oil soap, aqueous
 Copper oleate
 Docusate sodium
 Formic acid
 Isobornyl thiocynoacetate
 Picrotoxin
 Propylene glycol
 Sabadilla alkaloids
 Sulfur, sublimed
 Thiocynoacetate
- (ii) Approved as of June 14, 1994. The combination of pyrethrum extract (formerly named pyrethrins) and piperonyl butoxide in an aerosol dosage formulation.
- (26) Anorectal drug products
- (i) Anticholinergic drug products.
 Atropine
 Belladonna extract
- (ii) Antiseptic drug products.
 Boric acid
 Boroglycerin
 Hydrastis
 Phenol
 Resorcinol
 Sodium salicylic acid phenolate
- (iii) Astringent drug products.
 Tannic acid
- (iv) Counterirritant drug products.
 Camphor (greater than 3%–11%)
 Hydrastis
 Menthol (1.25%–16%)
 Turpentine oil (rectified) (6%–50%)
- (v) Keratolytic drug products.
 Precipitated sulfur
 Sublimed sulfur
- (vi) Local anesthetic drug products.
 Dipiperodon
 Phenacaine hydrochloride
- (vii) Other drug products.
 Collinsonia extract
Escherichia coli vaccines
 Lappa extract
 Leptandra extract
 Live yeast cell derivative
 Mullein
- (viii) Protectant drug products.
 Bismuth oxide
 Bismuth subcarbonate

- Bismuth subgallate
- Bismuth subnitrate
- Lanolin alcohols
- (ix) Vasoconstrictor drug products.
 - Epinephrine undecylenate
- (x) Wound-healing drug products.
 - Cholecalciferol
 - Cod liver oil
 - Live yeast cell derivative
 - Peruvian balsam
 - Shark liver oil
 - Vitamin A
- (xi) Combination drug products. Any combination drug product containing hydrocortisone and pramoxine hydrochloride.
- (27) Topical antimicrobial drug products
 - (i) First aid antiseptic drug products.
 - Ammoniated mercury
 - Calomel (mercurous chloride)
 - Merbromin (mercurochrome)
 - Mercufenol chloride (ortho-chloromercuriphenol, ortho-hydroxyphenylmercuric chloride)
 - Mercuric chloride (bichloride of mercury, mercury chloride)
 - Mercuric oxide, yellow
 - Mercuric salicylate
 - Mercuric sulfide, red
 - Mercury
 - Mercury oleate
 - Mercury sulfide
 - Nitromersol
 - Para-chloromercuriphenol
 - Phenylmercuric nitrate
 - Thimerosal
 - Vitromersol
 - Zyloxin
 - (ii) Diaper rash drug products.
 - Para-chloromercuriphenol
 - Any other ingredient containing mercury
- (28) Vaginal contraceptive drug products
 - (i) Approved as of October 22, 1998.
 - Dodecaethylene glycol monolaurate (polyethylene glycol 600 monolaurate)
 - Laureth 10S
 - Methoxypolyoxyethyleneglycol 550 laurate
 - Phenylmercuric acetate
 - Phenylmercuric nitrate
 - Any other ingredient containing mercury
 - (ii) Approved as of November 5, 2002.
 - Octoxynol 9
- (29) Sunscreen drug products.
 - Diethanolamine methoxycinnamate
 - Digalloyl trioleate
 - Ethyl 4-[bis(hydroxypropyl)] aminobenzoate
 - Glyceryl aminobenzoate
 - Lawsone with dihydroxyacetone
 - Red petrolatum



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4 Bioequivalence of Complementary and Alternative Medicines

BACKGROUND

The history of legislation described previously in the United States demonstrates that the U.S. agencies have been proactive in creating pathways that will lead to affordable medicines. Whereas the United States remains the largest market of pharmaceutical products, with over \$700 billion of market, it has not ignored the emerging need to recognize other systems of medicines, such as herbal therapies and other non-conventional methods; in summary, any modality that is not clearly connected with a chemical structure and activity can be labeled as nontraditional in the modern understanding of pharmacology. In the United States, the Food and Drug Administration (FDA) chose a designation of Complementary and Alternative Medicine to encompass all herbal and nontraditional medicinal systems. This was a giant step for the U.S. FDA, which had long been a promoter of a clean connection between chemistry and pharmacology. Such is not the case in the use of the broad range of drugs that fall into this category of treatment modalities.

The term “complementary and alternative medicine” (CAM) encompasses a wide array of health-care practices, products, and therapies that are distinct from practices, products, and therapies used in “conventional” or “allopathic” medicine. Some forms of CAM, such as traditional Chinese medicine and the Indian Ayurvedic medicine, have been practiced for centuries, whereas others, such as electrotherapy, are more recent in origin. In the United States, the practice of CAM has risen dramatically in recent years. In 1992, Congress established the Office of Unconventional Therapies, which later became the Office of Alternative Medicine (OAM), to explore “unconventional medical practices.” In 1998, OAM became the National Center for Complementary and Alternative Medicine (NCCAM). NCCAM is a center within the National Institutes of Health. The Institute of Medicine, in its book entitled *Complementary and Alternative Medicine in the United States*, stated that more than one-third of American adults reported using some form of CAM and that visits to CAM providers each year exceed those to primary care physicians. As the practice of CAM has increased in the United States, FDA observed increased confusion as to whether certain products used in CAM products are subject to regulation under the Federal Food, Drug, and Cosmetic Act (FD&C Act) or Public Health Service Act (PHS Act); this is further compounded by the importation of a large number of CAM products, since these are widely used not just in the developing countries but also in many developed markets,

such as Germany and Japan. The FDA guidance regarding CAM products makes two fundamental points:

- First, depending on the CAM therapy or practice, a product used in a CAM therapy or practice *may* be subject to regulation as a biological product, cosmetic, drug, device, or food (including food additives and dietary supplements) under the Act or the PHS Act. For example, the PHS Act defines “biological product,” and the Act defines (among other things) Cosmetic, Device, Dietary supplement, and Drug, as well as “new drug” and “new animal drug,” “Food,” and Food additive.
- Second, neither the Act nor the PHS Act exempts CAM products from regulation. This means, for example, that if a person decides to produce and sell raw vegetable juice for use in juice therapy to promote optimal health, that product is a food subject to the requirements for foods in the Act and FDA regulations, including the hazard analysis and critical control point (HACCP) system requirements for juices in 21 CFR Part 120. If the juice therapy *is* intended for use as part of a disease treatment regimen instead of for general wellness, the vegetable juice would also be subject to regulation as a drug under the Act.

The NCCAM defines CAM as “a group of diverse medical and health care systems, practices, and products that are not presently considered to be part of conventional medicine.” It interprets “complementary” medicine as being used together with conventional medicine, whereas “alternative” medicine is used in place of conventional medicine. NCCAM classifies CAM therapies into four categories or “domains.” These are biologically based practices; energy therapies; manipulative and body-based methods; and mind-body medicine.

NCCAM once had a fifth domain, “Alternative medical systems,” but now considers “alternative medical systems” (now known as “whole medical systems”) to be a separate category rather than another domain, because alternative medical systems use practices from the four domains listed earlier. For purposes of this guidance, FDA adopts the same domains and “whole medical systems” category that NCCAM uses.

According to NCCAM, the domain called “biologically based practices” includes, but is not limited to, botanicals, animal-derived extracts, vitamins, minerals, fatty acids, amino acids, proteins, prebiotics and probiotics, whole diets,

and “functional foods.” Many biologically based products within this domain are subject to statutory and regulatory requirements under the Act or the PHS Act. The intended use of a product plays a central role in how it is regulated. For example:

- Botanical products, depending on the circumstances, may be regulated as drugs, cosmetics, dietary supplements, or foods. All four types of products are subject to the Act. For example, a botanical product intended for use in treating a disease would generally be regulated as a drug; a botanical product taken by mouth, labeled as a dietary supplement, and intended for use to affect the structure or function of the body would generally be regulated as a dietary supplement; a raw or dried botanical intended for use as an ingredient to flavor food would generally be regulated as a food or as a food additive, depending on whether the botanical was generally recognized as safe for its intended use in food; and a lotion containing botanical ingredients and intended for use in moisturizing the skin would generally be regulated as a cosmetic.
- Probiotics may be regulated as dietary supplements, foods, or drugs under the Act, depending on the product’s intended use. Other factors may also affect the classification of the product: for example, whether the product contains a “dietary ingredient” as defined in section 201(ff)(1) of the Act (21 U.S.C. 321(ff)(1)), whether it is represented as a conventional food or as a meal replacement (see section 201(ff)(2)(B) of the Act), and for probiotics used as ingredients in a conventional food, whether the ingredient is generally recognized as safe for its intended use (see section 201(s) of the Act (21 U.S.C. 321(s))). In addition to any requirements that apply based on the product’s classification under the Act, probiotics may also be subject to the PHS Act’s provisions concerning the prevention of communicable disease, due to potential disease-causing microorganisms that might be contained in such products. Finally, if a probiotic is a drug under the Act, it may be subject to regulation as a biological product under the PHS Act as well.
- Products that NCCAM would consider to be “functional foods” may be subject to FDA regulation as foods, dietary supplements, or drugs under the Act. As with botanicals and probiotics, the classification of a “functional food” under the Act is based primarily on the product’s intended use and may also involve other factors depending on the elements of the statutory definition of a particular product category.

NCCAM considers energy medicine to involve energy fields of two types:

- Veritable energy fields, which can be measured and use either mechanical vibrations (such as sound) or electromagnetic forces, including visible light,

magnetism, monochromatic radiation (such as laser light), and other light rays.

- Putative energy fields (or biofields) that have defied measurement to date by reproducible methods. According to NCCAM, therapies involving putative energy fields “are based on the concept that human beings are infused with a subtle form of energy,” and therapists “claim that they work with this subtle energy, see it with their own eyes, and use it to effect changes in the physical body and influence health.”

In a sense, “conventional” medicine already uses various forms of “energy” medicine. For example, a magnetic resonance imaging (MRI) device uses electromagnetic waves to create images of internal body organs and tissues. As another example, an ultrasound machine uses sound waves to create images of body organs, tissues, and fetuses. Given their intended uses, we regulate these products as medical devices under the Act. The CAM products that use veritable energy fields in the diagnosis of disease or other conditions or in the cure, mitigation, treatment, or prevention of disease in man or animals or to affect the structure or any function of the body of man or animals may be medical devices under the Act. Additionally, if the product is electronic and emits radiation, it may be subject to additional requirements to ensure that there is no unnecessary exposure of people to radiation. CAM products that use putative energy fields in the diagnosis of disease or other conditions or in the cure, mitigation, treatment, or prevention of disease in man or animals may be medical devices under the Act. For example, FDA regulates acupuncture needles as “class II” medical devices.

Under the umbrella of manipulative and body-based practices is a heterogeneous group of CAM interventions and therapies. These include chiropractic and osteopathic manipulation, massage therapy, Tui Na, reflexology, rolfing, Brown technique, Trager bodywork, Alexander technique, Feldenkrais method, and a host of others. Manipulative and body-based practices focus primarily on the structures and systems of the body, including the bones and joints, the soft tissues, and the circulatory and lymphatic systems. To the extent that manipulative and body-based practices involve practitioners physically manipulating a patient’s body without using tools or machines, FDA does not consider that such practices are subject to regulation under the Act or the PHS Act. If, however, the manipulative and body-based practices involve the use of equipment (such as massage devices) or the application of a product (such as a lotion, cream, or oil) to the skin or other parts of the body, those products may be subject to regulation under the Act, depending on the nature of the product and its intended use.

NCCAM describes mind-body medicine as focusing on “the interactions among the brain, mind, body, and behavior, and the powerful ways in which emotional, mental, social, spiritual, and behavioral factors can directly affect health.” It states that mind-body medicine “typically focuses on intervention strategies that are thought to promote health, such as relaxation, hypnosis, visual imagery, meditation, yoga,

biofeedback, tai chi, qi gong, cognitive-behavioral therapies, group support, autogenic training, and spirituality.” In general, CAM practices in this domain would *not* be subject to FDA jurisdiction under the Act or the PHS Act. As with the manipulative and body-based practices domain, however, any equipment or other products used as part of the practice of mind-body medicine may be subject to FDA regulation, depending on the nature of the product and its intended use. For example, biofeedback machines intended to help a patient learn to affect body functions, such as muscle activity, are regulated as class II devices.

NCCAM describes “whole medical systems” as involving “complete systems of theory and practice that have evolved independently from or parallel to allopathic (conventional) medicine.” These may reflect individual cultural systems, such as traditional Chinese medicine and Ayurvedic medicine. Some elements common to whole medical systems are a belief that the body has the power to heal itself and that healing may involve techniques that use the mind, body, and spirit. Although it is unlikely that a whole medical system itself would be subject to regulation under the Act or the PHS Act, products used as *components* of whole medical systems may be subject to FDA regulation for the reasons described earlier.

To understand how the Act or the PHS Act might apply to CAM products, it is important to understand the Act’s statutory definitions or, in the case of the PHS Act, our authority regarding biological products.

To illustrate how the definitions of drug or new drug might apply, consider an herbal product that is intended to treat arthritis in humans. The herbal product, which would be a “biologically based practice” insofar as CAM domains are concerned, would be a “drug” under section 201(g)(1)(B) of the Act, because it is intended for use in the diagnosis, cure, mitigation, treatment, or prevention of disease (arthritis) in man. The same herbal product would also be a “new drug” under section 201(p)(1) of the Act unless it is generally recognized, among experts qualified by scientific training and experience to evaluate the safety and effectiveness of drugs, as safe and effective for use under the conditions prescribed, recommended, or suggested in the labeling. “New drug” status triggers the Act’s requirements for premarket review and approval by FDA.

To illustrate how a CAM product might be a “device” under the Act, acupuncture is a CAM therapy that seeks to stimulate energy pathways (“meridians”) by puncturing, pressing, heating, using electrical current, or using herbal medicines. Fine needles are often used, and these acupuncture needles are “devices” under section 201(h) of the Act, because they are intended for use in the cure, mitigation, treatment, or prevention of disease in man or are intended to affect the structure or function of the body of man. We regulate acupuncture needles (see 21 CFR 880.5580) but not the practice of acupuncture itself. A detailed discussion of the Act’s device provisions is beyond the scope of this guidance document. Note, however, that the Act establishes classifications for devices (class I, II, or III) that affect how they are regulated. The Act

also imposes certain requirements on those who manufacture devices (including requirements pertaining to establishment registration and product listing, premarket review, labeling, postmarket reporting, and good manufacturing practices). Certain requirements also apply to device distributors.

To illustrate how a CAM practice might involve “foods,” juice therapy uses juice made from vegetables and fruits. Absent any claims that would make the juice subject to the drug definition, the juice would be a “food” under section 201(f) of the Act, because it is an article used for food or drink for man. A detailed discussion of the Act’s food provisions is beyond the scope of this guidance document. However, anyone who intends to market CAM products that might be subject to regulation under these provisions should familiarize himself/herself with the Act’s requirements for foods, particularly with respect to safety and labeling. The Act and our food regulations can be found at www.fda.gov/opacom/laws.

To illustrate how a CAM product might involve “food additives” under section 201(s) of the Act, some CAM practices involve dietary modifications whereby substances such as botanicals or enzymes are added to foods in the diet. If a manufacturer adds such a substance to a food, the substance may fall within the “food additive” definition at section 201(s) of the Act. A food additive is subject to premarket approval by FDA under section 409 of the Act (21 U.S.C. 348). Food additives that we have not approved or that do not comply with applicable FDA regulations prescribing safe conditions of use are deemed to be unsafe under section 409(a) of the Act, and foods that contain such additives are adulterated under section 402(a)(2)(C) of the Act (21 U.S.C. 342(a)(2)(C)). The Act provides that a substance is exempt from the definition of a food additive and thus, from premarket approval if, among other reasons, it is generally recognized as safe (GRAS) by qualified experts under the conditions of intended use. Whether a substance added to a food is considered to be a food additive or is GRAS, any claims associating the substance with the reduction of a disease risk are “health claims” (defined in 21 CFR 101.14(a)(1)) that require premarket review by FDA. A detailed discussion of the Act’s food additive provisions is beyond the scope of this guidance document. However, anyone intending to market CAM products that are or contain substances that might be subject to regulation as food additives should consult with the Act’s food additive requirements.

Except for purposes of section 201(g) [of the Act], a dietary supplement shall be deemed to be a food within the meaning of this Act. To illustrate how a CAM product might be a “dietary supplement” under section 201(ff) of the Act, consider botanical products used in naturopathy.

Naturopathy is a CAM whole medical system that views disease as a manifestation of alterations in the processes by which the body heals itself. For example, naturopathic cranberry tablets might be labeled for use to maintain the health of the urinary tract. In this example, the cranberry tablets generally would be regulated as “dietary supplements” under section 201(ff)(1) of the Act if they were labeled for use to “maintain the health of the urinary tract” rather than “prevent urinary tract infections.” The cranberry tablets would be

regulated as “drugs” under section 201(g) of the Act if they were labeled for use to “treat urinary tract infections” even if they were labeled as dietary supplements. A detailed discussion of the Act’s dietary supplement provisions is beyond the scope of this guidance document.

It is possible that certain products used in conjunction with CAM practices may be “cosmetics” under the Act. For example, if a CAM practice involves massage with a moisturizer, the moisturizer could be a “cosmetic” to the extent that it is “rubbed, poured, sprinkled, or sprayed on” the body for beautification or appearance-altering purposes. However, if the moisturizer’s intended use is also for the diagnosis, cure, mitigation, treatment, or prevention of disease, or to affect the structure or any function of the body, then it may also be subject to regulation as a drug. Other examples of drug/cosmetic combinations are deodorants that are also antiperspirants, moisturizers and makeup marketed with sun-protection claims, and shampoos that also treat dandruff. The Act does not require premarket approval for cosmetics, but it does prohibit the marketing of adulterated or misbranded cosmetics in interstate commerce. Anyone intending to market CAM products that might be subject to regulation as cosmetics should familiarize himself/herself with the safety and labeling requirements for these products in the Act and our regulations.

If a CAM product manufacturer attempted to use a live, disease-causing virus as a component of a CAM product, FDA could exercise its authority under section 361 of the PHS Act and 21 CFR 1240.30 to take action against the product, in addition to considering the applicability of section 351 of the PHS Act.

As the practice of CAM has increased in the United States, FDA saw increased confusion as to whether certain products used in CAM (which, for convenience, we will refer to as “CAM products”) are subject to regulation under the Act or the PHS Act. FDA also saw an increase in the number of CAM products imported into the United States.

Therefore, the draft guidance discusses when a CAM product is subject to the Act or the PHS Act. (When the draft guidance mentions a particular CAM therapy, practice, or product, it does so in order to provide background information or to serve as an example or illustration; any mention of a particular CAM therapy, practice, or product should not be construed as expressing FDA’s support for or endorsement of that particular CAM therapy, practice, or product or, unless specified otherwise, as an agency determination that a particular product is safe and effective for its intended uses or

is safe for use.) The draft guidance makes the following two fundamental points:

First, depending on the CAM therapy or practice, a product used in a CAM therapy or practice may be subject to regulation as a biological product, cosmetic, drug, device, or food (including food additives and dietary supplements) under the Act or the PHS Act.

Second, neither the Act nor the PHS Act exempts CAM products from regulation.

As the practice of CAM has increased in the United States, the Food and Drug Administration (“FDA,” “we”) has seen increased confusion as to whether certain products used in CAM (which, for convenience, we will refer to as “CAM products”) are subject to regulation under the Federal Food, Drug, and Cosmetic Act (“the Act”) or the Public Health Service Act (“PHS Act”). There is also an increase in the number of CAM products imported into the United States.

The FDA Guidance makes two fundamental points:

- First, depending on the CAM therapy or practice, a product used in a CAM therapy or practice *may* be subject to regulation as a biological product, cosmetic, drug, device, or food (including food additives and dietary supplements) under the Act or the PHS Act. For example, the PHS Act defines “biological product,” and the Act defines (among other things)
 - Cosmetic
 - Device
 - Dietary supplement
 - Drug, as well as “new drug” and “new animal drug”
 - Food
 - Food additive
- Second, neither the Act nor the PHS Act exempts CAM products from regulation. This means, for example, that if a person decides to produce and sell raw vegetable juice for use in juice therapy to promote optimal health, that product is a food subject to the requirements for foods in the Act and FDA regulations, including the hazard analysis and critical control point (HACCP) system requirements for juices in 21 CFR part 120. If the juice therapy is intended for use as part of a disease treatment regimen instead of for general wellness, the vegetable juice would also be subject to regulation as a drug under the Act.

Appendix A: GMP Audit Template

The Guidelines for cGMP Compliance:

- https://ec.europa.eu/health/sites/health/files/files/eudralex/vol-4/vol4-chap1_2013-01_en.pdf
- https://ec.europa.eu/health/sites/health/files/files/eudralex/vol-4/2014-03_chapter_2.pdf
- https://ec.europa.eu/health/sites/health/files/files/eudralex/vol-4/chapter4_01-2011_en.pdf
- https://ec.europa.eu/health/sites/health/files/files/eudralex/vol-4/2014-11_vol4_chapter_6.pdf
- https://ec.europa.eu/health/sites/health/files/files/eudralex/vol-4/2014-08_gmp_chap8.pdf
- https://ec.europa.eu/health/sites/health/files/files/eudralex/vol-4/pdfs-en/cap9_en.pdf
- https://ec.europa.eu/health/sites/health/files/files/eudralex/vol-4/2014-08_gmp_part1.pdf
- https://ec.europa.eu/health/sites/health/files/files/eudralex/vol-4/2011_site_master_file_en.pdf
- http://www.ema.europa.eu/docs/en_GB/document_library/Scientific_guideline/2009/09/WC500002873.pdf
- http://www.ema.europa.eu/docs/en_GB/document_library/Scientific_guideline/2009/09/WC500002871.pdf
- https://ec.europa.eu/health/sites/health/files/files/eudralex/vol-4/mra_batch-certificate_05-2011.pdf
- https://ec.europa.eu/health/sites/health/files/files/gmp/2013_01_28_template.pdf
- http://www.ema.europa.eu/docs/en_GB/document_library/Scientific_guideline/2014/11/WC500177735.pdf
- [http://eur-lex.europa.eu/legal-content/EN/TXT/PDF/?uri=CELEX:52015XC0321\(02\)&from=EN](http://eur-lex.europa.eu/legal-content/EN/TXT/PDF/?uri=CELEX:52015XC0321(02)&from=EN)
- https://ec.europa.eu/health/sites/health/files/files/eudralex/vol-4/template_imp_batch_certification.docx
- https://ec.europa.eu/health/sites/health/files/files/eudralex/vol-4/2008_11_25_gmp-an1_en.pdf
- https://ec.europa.eu/health/sites/health/files/files/eudralex/vol-4/pdfs-en/2018_annex2_en.pdf
- https://ec.europa.eu/health/sites/health/files/files/eudralex/vol-4/annex11_01-2011_en.pdf
- https://ec.europa.eu/health/sites/health/files/files/eudralex/vol-4/2015-10_annex15.pdf
- https://ec.europa.eu/health/sites/health/files/files/eudralex/vol-4/v4_an16_201510_en.pdf
- https://ec.europa.eu/health/sites/health/files/files/eudralex/vol-4/pdfs-en/2018_annex17_en.pdf
- https://ec.europa.eu/health/sites/health/files/files/eudralex/vol-4/pdfs-en/2005_12_14_annex19_en.pdf
- https://ec.europa.eu/health/sites/health/files/files/eudralex/vol-4/pdfs-en/2018_annex17_en.pdf

	Compliance 1 2 3 ^a	Remarks	EU-Guide
1 PERSONNEL			
1.1 Qualified personnel available?	<input type="checkbox"/> <input type="checkbox"/> <input type="checkbox"/>		2.1
1.2 Organization charts available?	<input type="checkbox"/> <input type="checkbox"/> <input type="checkbox"/>		2.2
1.3 Job descriptions available?	<input type="checkbox"/> <input type="checkbox"/> <input type="checkbox"/>		2.2
1.4 Responsibilities clearly defined?	<input type="checkbox"/> <input type="checkbox"/> <input type="checkbox"/>		2.2
Key Personnel			
Responsible persons designated for			
1.5 • Production?	<input type="checkbox"/> <input type="checkbox"/> <input type="checkbox"/>		2.5
1.6 • Quality control?	<input type="checkbox"/> <input type="checkbox"/> <input type="checkbox"/>		2.6
1.7 Are they independent of each other?	<input type="checkbox"/> <input type="checkbox"/> <input type="checkbox"/>		2.3
1.8 Are joint functions clearly defined?	<input type="checkbox"/> <input type="checkbox"/> <input type="checkbox"/>		2.7
1.9 Are the responsible persons working full time?	<input type="checkbox"/> <input type="checkbox"/> <input type="checkbox"/>		2.3
1.10 Do the responsible persons have the appropriate formulation, knowledge, and experience?	<input type="checkbox"/> <input type="checkbox"/> <input type="checkbox"/>		2.1/2.2
1.11 Do the relevant departments have enough personnel?	<input type="checkbox"/> <input type="checkbox"/> <input type="checkbox"/>		2.1
Training			
1.12 Continuous training programs for the production and QC staff?	<input type="checkbox"/> <input type="checkbox"/> <input type="checkbox"/>		2.8
1.13 Initial job training for all employees?	<input type="checkbox"/> <input type="checkbox"/> <input type="checkbox"/>		2.9
1.14 Teaching aids (videos, slides, and brochures) available?	<input type="checkbox"/> <input type="checkbox"/> <input type="checkbox"/>		2.9
1.15 External training courses for the staff?	<input type="checkbox"/> <input type="checkbox"/> <input type="checkbox"/>		2.9
1.16 Training records?	<input type="checkbox"/> <input type="checkbox"/> <input type="checkbox"/>		2.9
1.17 Special training in sensitive areas (sterile production and toxic substances)?	<input type="checkbox"/> <input type="checkbox"/> <input type="checkbox"/>		2.10
1.18 Information for visitors to the manufacturing area?	<input type="checkbox"/> <input type="checkbox"/> <input type="checkbox"/>		2.11
2 HYGIENE			
Personnel Hygiene			
Detailed written hygiene programs for			
2.1 • Clothing?	<input type="checkbox"/> <input type="checkbox"/> <input type="checkbox"/>		2.13
2.2 • Use of washrooms?	<input type="checkbox"/> <input type="checkbox"/> <input type="checkbox"/>		2.13
2.3 • Behavior in production areas?	<input type="checkbox"/> <input type="checkbox"/> <input type="checkbox"/>		2.13
2.4 Precautions against sick personnel or personnel with open wounds in production?	<input type="checkbox"/> <input type="checkbox"/> <input type="checkbox"/>		2.14
Medical examination:			
2.5 • On recruitment?	<input type="checkbox"/> <input type="checkbox"/> <input type="checkbox"/>		2.15
2.6 • Regular reexaminations?	<input type="checkbox"/> <input type="checkbox"/> <input type="checkbox"/>		2.15
Duty of notification after			
2.7 • Trips to tropical countries?	<input type="checkbox"/> <input type="checkbox"/> <input type="checkbox"/>		2.15
2.8 • Cases of contagious illness in the family?	<input type="checkbox"/> <input type="checkbox"/> <input type="checkbox"/>		2.15
2.9 Instructions for appropriate working clothes?	<input type="checkbox"/> <input type="checkbox"/> <input type="checkbox"/>		2.16
2.10 Absence of food and drink (chewing gum!) in the working area?	<input type="checkbox"/> <input type="checkbox"/> <input type="checkbox"/>		2.17
2.11 Measures against contact with open product (gloves etc.)?	<input type="checkbox"/> <input type="checkbox"/> <input type="checkbox"/>		2.18
2.12 Instructions for hand washing in production?	<input type="checkbox"/> <input type="checkbox"/> <input type="checkbox"/>		2.19
2.13 Change of clothes when entering and leaving the production area?	<input type="checkbox"/> <input type="checkbox"/> <input type="checkbox"/>		5.19
2.14 Change rooms and toilets easily within reach?	<input type="checkbox"/> <input type="checkbox"/> <input type="checkbox"/>		3.31
2.15 Toilets and restrooms sufficiently separated from production areas?	<input type="checkbox"/> <input type="checkbox"/> <input type="checkbox"/>		3.30/3.31
2.16 Workshops separate from production areas?	<input type="checkbox"/> <input type="checkbox"/> <input type="checkbox"/>		3.32
2.17 Laboratory animal rooms totally segregated from production rooms?	<input type="checkbox"/> <input type="checkbox"/> <input type="checkbox"/>		3.33

(Continued)

	Compliance 1 2 3 ^a	Remarks	EU-Guide
3 WAREHOUSE			
Rooms, General			
3.1 Suitable for the intended use?	<input type="checkbox"/> <input type="checkbox"/> <input type="checkbox"/>		3
3.2 • Adequate size?	<input type="checkbox"/> <input type="checkbox"/> <input type="checkbox"/>		3
3.3 • Clean?	<input type="checkbox"/> <input type="checkbox"/> <input type="checkbox"/>		3
3.4 Located and designed to exclude external contamination?	<input type="checkbox"/> <input type="checkbox"/> <input type="checkbox"/>		3.1
3.5 Appropriate level of maintenance?	<input type="checkbox"/> <input type="checkbox"/> <input type="checkbox"/>		3.2
3.6 Maintenance works possible without contamination risk?	<input type="checkbox"/> <input type="checkbox"/> <input type="checkbox"/>		3.2
3.7 Appropriate lighting and air-conditioning?	<input type="checkbox"/> <input type="checkbox"/> <input type="checkbox"/>		3.3
3.8 Recording of temperature and humidity?	<input type="checkbox"/> <input type="checkbox"/> <input type="checkbox"/>		3.3
3.9 Protection against the entry of insects or other animals?	<input type="checkbox"/> <input type="checkbox"/> <input type="checkbox"/>		3.4
3.10 Controlled access for authorized personnel only?	<input type="checkbox"/> <input type="checkbox"/> <input type="checkbox"/>		3.5
Rooms, Special Requirements			
Type of warehousing:			
3.11 Separation of goods sufficient?	<input type="checkbox"/> <input type="checkbox"/> <input type="checkbox"/>		3.18
3.12 Provision for different storage temperatures?	<input type="checkbox"/> <input type="checkbox"/> <input type="checkbox"/>		3.19
3.13 Goods receiving zone weather protected?	<input type="checkbox"/> <input type="checkbox"/> <input type="checkbox"/>		3.20
3.14 Cleaning zone for incoming goods?	<input type="checkbox"/> <input type="checkbox"/> <input type="checkbox"/>		3.20
3.15 Separate quarantine area with controlled access?	<input type="checkbox"/> <input type="checkbox"/> <input type="checkbox"/>		3.21
3.16 Separate, protected sampling area?	<input type="checkbox"/> <input type="checkbox"/> <input type="checkbox"/>		3.22
Separate and safe storage of			
3.17 • Returned goods?	<input type="checkbox"/> <input type="checkbox"/> <input type="checkbox"/>		3.23
3.18 • Rejected goods?	<input type="checkbox"/> <input type="checkbox"/> <input type="checkbox"/>		3.23
3.19 Separate and safe storage of highly active, toxic, or dangerous substances?	<input type="checkbox"/> <input type="checkbox"/> <input type="checkbox"/>		3.24
3.20 Safe storage of narcotics?	<input type="checkbox"/> <input type="checkbox"/> <input type="checkbox"/>		3.24
3.21 Safe storage of printed packaging materials?	<input type="checkbox"/> <input type="checkbox"/> <input type="checkbox"/>		3.25
3.22 Security measurements against theft?	<input type="checkbox"/> <input type="checkbox"/> <input type="checkbox"/>		3.25
3.23 Smoke detectors?	<input type="checkbox"/> <input type="checkbox"/> <input type="checkbox"/>		3.25
3.24 Fire extinguishing system?	<input type="checkbox"/> <input type="checkbox"/> <input type="checkbox"/>		3.25
Operations			
3.25 Reception, sampling, and labeling according to written procedures?	<input type="checkbox"/> <input type="checkbox"/> <input type="checkbox"/>		5.2
3.26 Is a sampling plan available?	<input type="checkbox"/> <input type="checkbox"/> <input type="checkbox"/>		Suppl. 4
3.27 Cleaning of incoming containers?	<input type="checkbox"/> <input type="checkbox"/> <input type="checkbox"/>		5.3
3.28 Investigation and recording of damaged deliveries?	<input type="checkbox"/> <input type="checkbox"/> <input type="checkbox"/>		5.4
3.29 First In First Out (FIFO) principle?	<input type="checkbox"/> <input type="checkbox"/> <input type="checkbox"/>		5.7
3.30 Inventory system?	<input type="checkbox"/> <input type="checkbox"/> <input type="checkbox"/>		5.8
3.31 Can the location of materials be detected at all times?	<input type="checkbox"/> <input type="checkbox"/> <input type="checkbox"/>		
3.32 Incoming goods: containers and seals intact?	<input type="checkbox"/> <input type="checkbox"/> <input type="checkbox"/>		5.27
3.33 Incoming goods: conformity with bill of delivery?	<input type="checkbox"/> <input type="checkbox"/> <input type="checkbox"/>		5.27
Labeling of incoming containers with			
3.34 • Internal name and code?	<input type="checkbox"/> <input type="checkbox"/> <input type="checkbox"/>		5.29
3.35 • Allocated batch number?	<input type="checkbox"/> <input type="checkbox"/> <input type="checkbox"/>		5.29
3.36 • Quarantine status?	<input type="checkbox"/> <input type="checkbox"/> <input type="checkbox"/>		5.29
3.37 • Expiry date or reanalysis date?	<input type="checkbox"/> <input type="checkbox"/> <input type="checkbox"/>		5.29
3.38 Identity test for each incoming container?	<input type="checkbox"/> <input type="checkbox"/> <input type="checkbox"/>		5.29
3.39 Are the sampled containers marked?	<input type="checkbox"/> <input type="checkbox"/> <input type="checkbox"/>		5.30
3.40 Are reference samples taken?	<input type="checkbox"/> <input type="checkbox"/> <input type="checkbox"/>		5.30

(Continued)

		Compliance 1 2 3 ^a	Remarks	EU-Guide
3.41	Safe storage of printed packaging materials?	<input type="checkbox"/> <input type="checkbox"/> <input type="checkbox"/>		5.41
3.42	Lot tracing of all packaging materials possible?	<input type="checkbox"/> <input type="checkbox"/> <input type="checkbox"/>		5.42
3.43	Are excessive packaging materials destroyed?	<input type="checkbox"/> <input type="checkbox"/> <input type="checkbox"/>		5.43
	Release of starting materials by physical/inventory checks on raw materials, packaging materials, and finished goods:			
	Item	Stocks: Physical	Stocks: Inventory	Storage conditions
4	DISPENSING/ASSEMBLING			
	Rooms, General			
4.1	Suitable for the intended use?	<input type="checkbox"/> <input type="checkbox"/> <input type="checkbox"/>		3
4.2	• Adequate size?	<input type="checkbox"/> <input type="checkbox"/> <input type="checkbox"/>		3
4.3	• Clean?	<input type="checkbox"/> <input type="checkbox"/> <input type="checkbox"/>		3
4.4	Located and designed to exclude external contamination?	<input type="checkbox"/> <input type="checkbox"/> <input type="checkbox"/>		3.1
4.5	Appropriate level of maintenance?	<input type="checkbox"/> <input type="checkbox"/> <input type="checkbox"/>		3.2
4.6	Maintenance works possible without contamination risk?	<input type="checkbox"/> <input type="checkbox"/> <input type="checkbox"/>		3.2
4.7	Appropriate lighting and air-conditioning?	<input type="checkbox"/> <input type="checkbox"/> <input type="checkbox"/>		3.3
4.8	Recording of temperature and humidity?	<input type="checkbox"/> <input type="checkbox"/> <input type="checkbox"/>		3.4
4.9	Protection against the entry of insects or other animals?	<input type="checkbox"/> <input type="checkbox"/> <input type="checkbox"/>		3.4
4.10	Controlled access for authorized personnel only?	<input type="checkbox"/> <input type="checkbox"/> <input type="checkbox"/>		3.5
	Rooms, Special Requirements			
4.11	Segregated from production and warehouse?	<input type="checkbox"/> <input type="checkbox"/> <input type="checkbox"/>		3.13
4.12	Separate weighing cabins?	<input type="checkbox"/> <input type="checkbox"/> <input type="checkbox"/>		3.13
4.13	Separate air handling unit (AHU) for each cabin?	<input type="checkbox"/> <input type="checkbox"/> <input type="checkbox"/>		3.12
	Air pressure gradient from weighing cabin → corridor:			
4.14	Dust extraction systems available?	<input type="checkbox"/> <input type="checkbox"/> <input type="checkbox"/>		3.3 5.11
	Operations			
4.15	Balances regularly calibrated?	<input type="checkbox"/> <input type="checkbox"/> <input type="checkbox"/>		3.41
4.16	Only pharmaceutical raw materials in this area?	<input type="checkbox"/> <input type="checkbox"/> <input type="checkbox"/>		5.17
4.17	Check on remains from previous materials before entering of new materials into a weighing cabin?	<input type="checkbox"/> <input type="checkbox"/> <input type="checkbox"/>		5.9/5.35
4.18	Only one material in one cabin?	<input type="checkbox"/> <input type="checkbox"/> <input type="checkbox"/>		5.9
4.19	Are dispensed materials correctly labeled?	<input type="checkbox"/> <input type="checkbox"/> <input type="checkbox"/>		5.29
4.20	Only released products in the dispensing?	<input type="checkbox"/> <input type="checkbox"/> <input type="checkbox"/>		5.31
4.21	Cleaning SOPs for the dispensing?	<input type="checkbox"/> <input type="checkbox"/> <input type="checkbox"/>		4.28
4.22	Previously dispensed material recorded on weighing protocol?	<input type="checkbox"/> <input type="checkbox"/> <input type="checkbox"/>		4.8
4.23	Safety measures against mix-ups during assembling (e.g., cage pallets)?	<input type="checkbox"/> <input type="checkbox"/> <input type="checkbox"/>		5.32/5.34
5	SOLIDS MANUFACTURING			
	Field of activity:			
	• Granulation	<input type="checkbox"/>		
	• Compression	<input type="checkbox"/>		
	• Encapsulation	<input type="checkbox"/>		
	• Film and sugar coating	<input type="checkbox"/>		

(Continued)

	Compliance 1 2 3 ^a	Remarks	EU-Guide
• Visual inspection (capsules, tablets, etc.)	<input type="checkbox"/>		
• Premix (human)	<input type="checkbox"/>		
Rooms, General			
5.1 Suitable for the intended use?	<input type="checkbox"/> <input type="checkbox"/> <input type="checkbox"/>		3
5.2 • Adequate size?	<input type="checkbox"/> <input type="checkbox"/> <input type="checkbox"/>		3
5.3 • Clean?	<input type="checkbox"/> <input type="checkbox"/> <input type="checkbox"/>		3
5.4 Located and designed to exclude external contamination?	<input type="checkbox"/> <input type="checkbox"/> <input type="checkbox"/>		3.1
5.5 Appropriate level of maintenance?	<input type="checkbox"/> <input type="checkbox"/> <input type="checkbox"/>		3.2
5.6 Maintenance works possible without contamination risk?	<input type="checkbox"/> <input type="checkbox"/> <input type="checkbox"/>		3.2
5.7 Appropriate lighting and air-conditioning?	<input type="checkbox"/> <input type="checkbox"/> <input type="checkbox"/>		3.3
5.8 Recording of temperature and humidity?	<input type="checkbox"/> <input type="checkbox"/> <input type="checkbox"/>		
5.9 Protection against the entry of insects or other animals?	<input type="checkbox"/> <input type="checkbox"/> <input type="checkbox"/>		3.4
5.10 Controlled access for authorized personnel only?	<input type="checkbox"/> <input type="checkbox"/> <input type="checkbox"/>		3.5
Rooms, Special Requirements			
5.11 Separate manufacturing area for penicillins/cephalosporins or highly sensitizing substances?	<input type="checkbox"/> <input type="checkbox"/> <input type="checkbox"/>		3.6
5.12 Only for processing of pharmaceuticals?	<input type="checkbox"/> <input type="checkbox"/> <input type="checkbox"/>		3.6
5.13 Logical flow of materials?	<input type="checkbox"/> <input type="checkbox"/> <input type="checkbox"/>		3.7
5.14 Walls, floors, and ceilings: smooth surface and free of cracks?	<input type="checkbox"/> <input type="checkbox"/> <input type="checkbox"/>		3.8
5.15 Easy cleaning possible?	<input type="checkbox"/> <input type="checkbox"/> <input type="checkbox"/>		3.10
5.16 Adequate drains with traps and grilles?	<input type="checkbox"/> <input type="checkbox"/> <input type="checkbox"/>		3.11
5.17 Appropriate air handling system? Air pressure gradient from working bay → corridor: Classification according to EC guide?	<input type="checkbox"/> <input type="checkbox"/> <input type="checkbox"/>		3.12
5.18 Appropriate dust extraction system?	<input type="checkbox"/> <input type="checkbox"/> <input type="checkbox"/>		3.14
5.19 Appropriate lighting?	<input type="checkbox"/> <input type="checkbox"/> <input type="checkbox"/>		3.16
5.20 Separate rest rooms?	<input type="checkbox"/> <input type="checkbox"/> <input type="checkbox"/>		3.30
5.21 Changing rooms designed to avoid contamination?	<input type="checkbox"/> <input type="checkbox"/> <input type="checkbox"/>		3.31
5.22 Toilets segregated from manufacturing areas?	<input type="checkbox"/> <input type="checkbox"/> <input type="checkbox"/>		3.31
Equipment			
5.23 Suitable for the intended use?	<input type="checkbox"/> <input type="checkbox"/> <input type="checkbox"/>		3.34
5.24 Well maintained?	<input type="checkbox"/> <input type="checkbox"/> <input type="checkbox"/>		3.34
5.25 Written and validated cleaning procedures?	<input type="checkbox"/> <input type="checkbox"/> <input type="checkbox"/>		3.36
5.26 Maintenance without contamination risk (separate area)?	<input type="checkbox"/> <input type="checkbox"/> <input type="checkbox"/>		3.35
5.27 Equipment in contact with product: suitable materials quality?	<input type="checkbox"/> <input type="checkbox"/> <input type="checkbox"/>		3.39
5.28 Machinery equipped with measuring and control devices?	<input type="checkbox"/> <input type="checkbox"/> <input type="checkbox"/>		3.40
5.29 Calibration at fixed intervals according to written procedures?	<input type="checkbox"/> <input type="checkbox"/> <input type="checkbox"/>		3.41
5.30 Calibration records available?	<input type="checkbox"/> <input type="checkbox"/> <input type="checkbox"/>		3.41
5.31 Contents and flow direction marked on pipes?	<input type="checkbox"/> <input type="checkbox"/> <input type="checkbox"/>		3.42
5.32 Pipes for distilled and demineralized water regularly monitored and sanitized?	<input type="checkbox"/> <input type="checkbox"/> <input type="checkbox"/>		3.43
5.33 Not functioning equipment in the production area (if yes: clearly marked)?	Y N <input type="checkbox"/> <input type="checkbox"/> <input type="checkbox"/>		3.44
5.34 Status of cleanliness indicated?	<input type="checkbox"/> <input type="checkbox"/> <input type="checkbox"/>		5.13

(Continued)

	Compliance 1 2 3 ^a	Remarks	EU-Guide
5.35	Previous product indicated?	<input type="checkbox"/> <input type="checkbox"/> <input type="checkbox"/>	5.13
	Operations		
5.36	Are written and validated procedures for all manufacturing steps available?	<input type="checkbox"/> <input type="checkbox"/> <input type="checkbox"/>	5.2
5.37	Are all manufacturing steps recorded with actual parameters?	<input type="checkbox"/> <input type="checkbox"/> <input type="checkbox"/>	5.2
5.38	Check of each single container of the starting materials (contents, weight, and identity)?	<input type="checkbox"/> <input type="checkbox"/> <input type="checkbox"/>	5.3
5.39	Limits for yields?	<input type="checkbox"/> <input type="checkbox"/> <input type="checkbox"/>	5.8
5.40	Only one batch of one product processed?	<input type="checkbox"/> <input type="checkbox"/> <input type="checkbox"/>	5.9
5.41	Protection against microbial contamination?	<input type="checkbox"/> <input type="checkbox"/> <input type="checkbox"/>	5.10
5.42	Appropriate measures against generation of dust (e.g., closed systems)?	<input type="checkbox"/> <input type="checkbox"/> <input type="checkbox"/>	5.11
	Correct labeling of containers, materials, equipment, and rooms with		5.12
5.43	• Product name and batch no.?	<input type="checkbox"/> <input type="checkbox"/> <input type="checkbox"/>	5.12
5.44	• Quarantine status?	<input type="checkbox"/> <input type="checkbox"/> <input type="checkbox"/>	5.12
5.45	Deviations from standard procedures recorded and signed by the supervisor?	<input type="checkbox"/> <input type="checkbox"/> <input type="checkbox"/>	5.14
5.46	Special procedures for the production of antibiotics, hormones, etc.?	<input type="checkbox"/> <input type="checkbox"/> <input type="checkbox"/>	5.19
5.47	• Campaign production?	<input type="checkbox"/> <input type="checkbox"/> <input type="checkbox"/>	5.19
5.48	• Special monitoring?	<input type="checkbox"/> <input type="checkbox"/> <input type="checkbox"/>	5.19
5.49	• Validated decontamination procedure?	<input type="checkbox"/> <input type="checkbox"/> <input type="checkbox"/>	5.19
5.50	Double check on weight?	<input type="checkbox"/> <input type="checkbox"/> <input type="checkbox"/>	5.34
5.51	Line clearance before start of production?	<input type="checkbox"/> <input type="checkbox"/> <input type="checkbox"/>	5.35
5.52	Investigation of deviations in yields?	<input type="checkbox"/> <input type="checkbox"/> <input type="checkbox"/>	5.39
5.53	Validated procedures for reworking of rejected batches?	<input type="checkbox"/> <input type="checkbox"/> <input type="checkbox"/>	5.62
5.54	Detailed procedures for the addition of previous batches?	<input type="checkbox"/> <input type="checkbox"/> <input type="checkbox"/>	5.63
5.55	Special release procedure (QA) for those batches?	<input type="checkbox"/> <input type="checkbox"/> <input type="checkbox"/>	5.64
5.56	Use of protective clothing (hair cover, shoes, masks, and gloves)?	<input type="checkbox"/> <input type="checkbox"/> <input type="checkbox"/>	2.16
5.57	Clothing regulation for visitors?	<input type="checkbox"/> <input type="checkbox"/> <input type="checkbox"/>	2.11
	In-Process Control (IPC)		5.38
	Who performs IPC?		
5.58	Are IPC methods approved by QC?	<input type="checkbox"/> <input type="checkbox"/> <input type="checkbox"/>	6.18
	Performance of IPCs:	During start-up?	Frequency
		Yes No	Automatic data recording?
			Yes No
	Tablets/Kernels		
5.59	Individual weights	<input type="checkbox"/> <input type="checkbox"/>	<input type="checkbox"/> <input type="checkbox"/>
5.60	Disintegration	<input type="checkbox"/> <input type="checkbox"/>	<input type="checkbox"/> <input type="checkbox"/>
5.61	Thickness	<input type="checkbox"/> <input type="checkbox"/>	<input type="checkbox"/> <input type="checkbox"/>
5.62	Hardness	<input type="checkbox"/> <input type="checkbox"/>	<input type="checkbox"/> <input type="checkbox"/>
5.63	Friability/Abrasion	<input type="checkbox"/> <input type="checkbox"/>	<input type="checkbox"/> <input type="checkbox"/>
	Sugar-/Film-Coated Tablets		
5.64	Weights	<input type="checkbox"/> <input type="checkbox"/>	<input type="checkbox"/> <input type="checkbox"/>
5.65	Disintegration	<input type="checkbox"/> <input type="checkbox"/>	<input type="checkbox"/> <input type="checkbox"/>
5.66	Residual absolute humidity	<input type="checkbox"/> <input type="checkbox"/>	<input type="checkbox"/> <input type="checkbox"/>
	Capsules		
5.67	Individual weights	<input type="checkbox"/> <input type="checkbox"/>	<input type="checkbox"/> <input type="checkbox"/>
5.68	Disintegration	<input type="checkbox"/> <input type="checkbox"/>	<input type="checkbox"/> <input type="checkbox"/>

(Continued)

	Compliance 1 2 3 ^a	Remarks	EU-Guide
Validation			
5.69	Validation according to fixed procedures?	<input type="checkbox"/> <input type="checkbox"/> <input type="checkbox"/>	5.21
5.70	New procedures released only after validation?	<input type="checkbox"/> <input type="checkbox"/> <input type="checkbox"/>	5.22
Validation of changes of			
5.71	• Processes?	<input type="checkbox"/> <input type="checkbox"/> <input type="checkbox"/>	5.23
5.72	• Starting materials?	<input type="checkbox"/> <input type="checkbox"/> <input type="checkbox"/>	5.23
5.73	• Equipment?	<input type="checkbox"/> <input type="checkbox"/> <input type="checkbox"/>	5.23
5.74	Revalidation at fixed intervals?	<input type="checkbox"/> <input type="checkbox"/> <input type="checkbox"/>	5.24
5.75	Procedures for the retrospective validation of old procedures?	<input type="checkbox"/> <input type="checkbox"/> <input type="checkbox"/>	
6	LIQUIDS MANUFACTURING		
Operations carried out:			
	• Dispensing (if different from solid)	<input type="checkbox"/> <input type="checkbox"/> <input type="checkbox"/>	
	• Syrups and suspensions	<input type="checkbox"/> <input type="checkbox"/> <input type="checkbox"/>	
	• Drops	<input type="checkbox"/> <input type="checkbox"/> <input type="checkbox"/>	
	• Ointment manufacture	<input type="checkbox"/> <input type="checkbox"/> <input type="checkbox"/>	
	• Ointment filling	<input type="checkbox"/> <input type="checkbox"/> <input type="checkbox"/>	
	• Ampoule solution manufacture	<input type="checkbox"/> <input type="checkbox"/> <input type="checkbox"/>	
	• Sterile or aseptic ampoule filling	<input type="checkbox"/> <input type="checkbox"/> <input type="checkbox"/>	
	• Sterile freeze drying	<input type="checkbox"/> <input type="checkbox"/> <input type="checkbox"/>	
	• Sterile powder filling	<input type="checkbox"/> <input type="checkbox"/> <input type="checkbox"/>	
Rooms, General			
6.1	Suitable for the intended use?	<input type="checkbox"/> <input type="checkbox"/> <input type="checkbox"/>	3
6.2	• Adequate size?	<input type="checkbox"/> <input type="checkbox"/> <input type="checkbox"/>	3
6.3	• Clean?	<input type="checkbox"/> <input type="checkbox"/> <input type="checkbox"/>	3
6.4	Located and designed to exclude external contamination?	<input type="checkbox"/> <input type="checkbox"/> <input type="checkbox"/>	3.1
6.5	Appropriate level of maintenance?	<input type="checkbox"/> <input type="checkbox"/> <input type="checkbox"/>	3.2
6.6	Maintenance works possible without contamination risk?	<input type="checkbox"/> <input type="checkbox"/> <input type="checkbox"/>	3.2
6.7	Appropriate lighting and air-conditioning?	<input type="checkbox"/> <input type="checkbox"/> <input type="checkbox"/>	3.3
6.8	Recording of temperature and humidity?	<input type="checkbox"/> <input type="checkbox"/> <input type="checkbox"/>	
6.9	Protection against the entry of insects or other animals?	<input type="checkbox"/> <input type="checkbox"/> <input type="checkbox"/>	3.4
6.10	Controlled access for authorized personnel only?	<input type="checkbox"/> <input type="checkbox"/> <input type="checkbox"/>	3.5
Rooms, Special Requirements			
6.11	Separate manufacturing area for penicillins/cephalosporins or highly sensitizing substances?	<input type="checkbox"/> <input type="checkbox"/> <input type="checkbox"/>	3.6
6.12	Only for processing of pharmaceuticals?	<input type="checkbox"/> <input type="checkbox"/> <input type="checkbox"/>	3.6
6.13	Logical flow of materials?	<input type="checkbox"/> <input type="checkbox"/> <input type="checkbox"/>	3.7
6.14	Walls, floors, and ceilings: smooth surface and free of cracks?	<input type="checkbox"/> <input type="checkbox"/> <input type="checkbox"/>	3.8
6.15	Easy cleaning possible?	<input type="checkbox"/> <input type="checkbox"/> <input type="checkbox"/>	3.10
6.16	Adequate drains with traps and grilles?	<input type="checkbox"/> <input type="checkbox"/> <input type="checkbox"/>	3.11
6.17	Appropriate air-handling system with filtered air where open products are exposed to the environment?	<input type="checkbox"/> <input type="checkbox"/> <input type="checkbox"/>	3.12
Air pressure gradient from working bay → corridor:			
Classification according to EC guide?			
6.18	Appropriate lighting?	<input type="checkbox"/> <input type="checkbox"/> <input type="checkbox"/>	3.16
6.19	Separate rest rooms?	<input type="checkbox"/> <input type="checkbox"/> <input type="checkbox"/>	3.30
6.20	Changing rooms designed to avoid contamination?	<input type="checkbox"/> <input type="checkbox"/> <input type="checkbox"/>	3.31
6.21	Toilets segregated from manufacturing areas?	<input type="checkbox"/> <input type="checkbox"/> <input type="checkbox"/>	3.31

(Continued)

	Compliance 1 2 3 ^a	Remarks	EU-Guide
Equipment			
6.22	Suitable for the intended use?	<input type="checkbox"/> <input type="checkbox"/> <input type="checkbox"/>	3.34
6.23	Well maintained?	<input type="checkbox"/> <input type="checkbox"/> <input type="checkbox"/>	3.34
6.24	Tanks, containers, pipework, and pumps designed for easy cleaning and sanitation (dead legs!)?	<input type="checkbox"/> <input type="checkbox"/> <input type="checkbox"/>	Suppl. 2
6.25	Written and validated cleaning procedures?	<input type="checkbox"/> <input type="checkbox"/> <input type="checkbox"/>	3.36
6.26	Maintenance without contamination risk (separate area)?	<input type="checkbox"/> <input type="checkbox"/> <input type="checkbox"/>	3.35
6.27	Equipment in contact with product: suitable materials quality?	<input type="checkbox"/> <input type="checkbox"/> <input type="checkbox"/>	3.39
6.28	Machinery equipped with measuring and control devices?	<input type="checkbox"/> <input type="checkbox"/> <input type="checkbox"/>	3.40
6.29	Calibration at fixed intervals according to written procedures?	<input type="checkbox"/> <input type="checkbox"/> <input type="checkbox"/>	3.41
6.30	Calibration records available?	<input type="checkbox"/> <input type="checkbox"/> <input type="checkbox"/>	3.41
6.31	Contents and flow direction marked on pipes?	<input type="checkbox"/> <input type="checkbox"/> <input type="checkbox"/>	3.42
6.32	Pipes for distilled and demineralized water regularly monitored and sanitized?	<input type="checkbox"/> <input type="checkbox"/> <input type="checkbox"/>	3.43
6.33	Not functioning equipment in the production area (if yes: clearly marked)?	Y N <input type="checkbox"/> <input type="checkbox"/> <input type="checkbox"/>	3.44
6.34	Status of cleanliness indicated?	<input type="checkbox"/> <input type="checkbox"/> <input type="checkbox"/>	5.13
6.35	Previous product indicated?	<input type="checkbox"/> <input type="checkbox"/> <input type="checkbox"/>	5.13
Operations			
6.36	Are written and validated procedures for all manufacturing steps available?	<input type="checkbox"/> <input type="checkbox"/> <input type="checkbox"/>	5.2
6.37	Are all manufacturing steps recorded with actual parameters?	<input type="checkbox"/> <input type="checkbox"/> <input type="checkbox"/>	5.2
6.38	Check of each single container of the starting materials (contents, weight, and identity)?	<input type="checkbox"/> <input type="checkbox"/> <input type="checkbox"/>	5.3
6.39	Limits for yields?	<input type="checkbox"/> <input type="checkbox"/> <input type="checkbox"/>	5.8
6.40	Only one batch of one product processed?	<input type="checkbox"/> <input type="checkbox"/> <input type="checkbox"/>	5.9
6.41	Protection against microbial contamination?	<input type="checkbox"/> <input type="checkbox"/> <input type="checkbox"/>	5.10
	Correct labeling of containers, materials, equipment, and rooms with		5.12
6.42	• Product name and batch no.?	<input type="checkbox"/> <input type="checkbox"/> <input type="checkbox"/>	5.12
6.43	• Quarantine status?	<input type="checkbox"/> <input type="checkbox"/> <input type="checkbox"/>	5.12
6.44	Deviations from standard procedures recorded and signed by the supervisor?	<input type="checkbox"/> <input type="checkbox"/> <input type="checkbox"/>	5.14
6.45	Special procedures for the production of antibiotics, hormones, etc.?	<input type="checkbox"/> <input type="checkbox"/> <input type="checkbox"/>	5.19
6.46	• Campaign production?	<input type="checkbox"/> <input type="checkbox"/> <input type="checkbox"/>	5.19
6.47	• Special monitoring?	<input type="checkbox"/> <input type="checkbox"/> <input type="checkbox"/>	5.19
6.48	• Validated decontamination procedure?	<input type="checkbox"/> <input type="checkbox"/> <input type="checkbox"/>	5.19
6.49	Double check on weight?	<input type="checkbox"/> <input type="checkbox"/> <input type="checkbox"/>	5.34
6.50	Line clearance before start of production?	<input type="checkbox"/> <input type="checkbox"/> <input type="checkbox"/>	5.35
6.51	Investigation of deviations in yields?	<input type="checkbox"/> <input type="checkbox"/> <input type="checkbox"/>	5.39
6.52	Specification of maximum storage time and storage conditions if products are not immediately filled or packaged?	<input type="checkbox"/> <input type="checkbox"/> <input type="checkbox"/>	Suppl. 9
6.53	Validated procedures for reworking of rejected batches?	<input type="checkbox"/> <input type="checkbox"/> <input type="checkbox"/>	5.62
6.54	Detailed procedures for the addition of previous batches?	<input type="checkbox"/> <input type="checkbox"/> <input type="checkbox"/>	5.63
6.55	Special release procedure (QA) for those batches?	<input type="checkbox"/> <input type="checkbox"/> <input type="checkbox"/>	5.64

(Continued)

		Compliance 1 2 3 ^a	Remarks	EU-Guide
6.56	Use of protective clothing (hair cover, shoes, masks, and gloves)?	<input type="checkbox"/> <input type="checkbox"/> <input type="checkbox"/>		2.16
6.57	Clothing regulation for visitors?	<input type="checkbox"/> <input type="checkbox"/> <input type="checkbox"/>		2.11
	Water			
6.58	Loop system for purified water?	<input type="checkbox"/> <input type="checkbox"/> <input type="checkbox"/>		Suppl. 4
6.59	Antimicrobial treatment of purified water?	<input type="checkbox"/> <input type="checkbox"/> <input type="checkbox"/>		Suppl. 4
6.60	Loop system for water for injection?	<input type="checkbox"/> <input type="checkbox"/> <input type="checkbox"/>		Suppl. 4
	Storage temperature of water for injection:			Suppl. 4
6.61	Loop system constructed to avoid dead legs?	<input type="checkbox"/> <input type="checkbox"/> <input type="checkbox"/>		Suppl. 4
6.62	Regular microbiological monitoring?	<input type="checkbox"/> <input type="checkbox"/> <input type="checkbox"/>		Suppl. 4
6.63	Regular endotoxin control?	<input type="checkbox"/> <input type="checkbox"/> <input type="checkbox"/>		Suppl. 4
	Special Requirements for Sterile and Aseptic Products Rooms and Equipment			Suppl.
6.64	Access of staff and materials to clean areas <i>only</i> through air locks?	<input type="checkbox"/> <input type="checkbox"/> <input type="checkbox"/>		1
6.66	Rooms classified according to EC Guide?	<input type="checkbox"/> <input type="checkbox"/> <input type="checkbox"/>		3
	Classification for products to be sterilized:			
6.67	• Solution preparation (EC: class C, with special precautions class D):	Class:		5
6.68	• Filling (EC: under LF in class C):	Class:		5
	Classification for aseptic products:			
6.69	• Handling of starting materials that can be sterile filtered (EC: class C):	Class:		6
6.70	• Handling of starting materials that cannot be sterile filtered (EC: class A in class B):	Class:		6
6.71	• Handling and filling of bulk (EC: class A in Class B):	Class:		6
6.72	All rooms easy to clean and disinfect?	<input type="checkbox"/> <input type="checkbox"/> <input type="checkbox"/>		17
6.73	Doors, windows, frames, lighting, etc. without edges?	<input type="checkbox"/> <input type="checkbox"/> <input type="checkbox"/>		18
6.74	Suspended ceilings (if yes: sealed?)?	<input type="checkbox"/> <input type="checkbox"/> <input type="checkbox"/>		19
6.75	Traps constructed to avoid microbiological contamination?	<input type="checkbox"/> <input type="checkbox"/> <input type="checkbox"/>		21
6.76	Appropriately constructed changing rooms?	<input type="checkbox"/> <input type="checkbox"/> <input type="checkbox"/>		22
6.77	Measures against opening of both doors of air locks?	<input type="checkbox"/> <input type="checkbox"/> <input type="checkbox"/>		23
6.78	Overpressure gradient from cleanest areas to others?	<input type="checkbox"/> <input type="checkbox"/> <input type="checkbox"/>		24
6.79	AHU validated and regularly revalidated?	<input type="checkbox"/> <input type="checkbox"/> <input type="checkbox"/>		25
6.80	@@@Control instruments for pressure gradient?	<input type="checkbox"/> <input type="checkbox"/> <input type="checkbox"/>		26
6.81	Warning system for errors in air supply?	<input type="checkbox"/> <input type="checkbox"/> <input type="checkbox"/>		26
6.82	Recording of pressure gradients?	<input type="checkbox"/> <input type="checkbox"/> <input type="checkbox"/>		26
6.83	Do conveyor belts leave sterile areas?	<input type="checkbox"/> <input type="checkbox"/> <input type="checkbox"/>		28
6.84	Maintenance works outside clean areas possible?	<input type="checkbox"/> <input type="checkbox"/> <input type="checkbox"/>		28
6.85	Cleaning and disinfection procedure after maintenance works?	<input type="checkbox"/> <input type="checkbox"/> <input type="checkbox"/>		29
6.86	Regular revalidation of all equipment and systems?	<input type="checkbox"/> <input type="checkbox"/> <input type="checkbox"/>		30
6.87	Water prepared, circulated, and stored to exclude microbiological contamination?	<input type="checkbox"/> <input type="checkbox"/> <input type="checkbox"/>		31
6.88	Cleaning and disinfection of rooms according to validated SOPs?	<input type="checkbox"/> <input type="checkbox"/> <input type="checkbox"/>		32
	• Disinfection methods?			
6.89	Microbiological monitoring of cleaning and disinfection agents?	<input type="checkbox"/> <input type="checkbox"/> <input type="checkbox"/>		33

(Continued)

		Compliance 1 2 3 ^a	Remarks	EU-Guide
6.90	Microbiological monitoring program of production areas?	<input type="checkbox"/> <input type="checkbox"/> <input type="checkbox"/>		35
6.91	Results recorded and considered for the release?	<input type="checkbox"/> <input type="checkbox"/> <input type="checkbox"/>		35
	Personnel and Hygiene			
6.92	Minimal number of personnel in clean areas?	<input type="checkbox"/> <input type="checkbox"/> <input type="checkbox"/>		7
6.93	Special and regular training?	<input type="checkbox"/> <input type="checkbox"/> <input type="checkbox"/>		8
6.94	Regular medical examinations?	<input type="checkbox"/> <input type="checkbox"/> <input type="checkbox"/>		10
6.95	Appropriate clean room clothes (material and design)?	<input type="checkbox"/> <input type="checkbox"/> <input type="checkbox"/>		12
6.96	Protective clothes worn correctly?	<input type="checkbox"/> <input type="checkbox"/> <input type="checkbox"/>		12
6.97	Prohibition of cosmetics, jewelry, and watches?	<input type="checkbox"/> <input type="checkbox"/> <input type="checkbox"/>		13
6.98	New clean room clothes for each working cycle?	<input type="checkbox"/> <input type="checkbox"/> <input type="checkbox"/>		15
6.99	Appropriate washing and sterilization of clothes?	<input type="checkbox"/> <input type="checkbox"/> <input type="checkbox"/>		16
	Operations			
6.100	Validation (media filling) at regular intervals? Monitoring of water preparation system, frequency:	<input type="checkbox"/> <input type="checkbox"/> <input type="checkbox"/>		38
6.101	• Microbiological:			40
6.102	• Chemical:			40
6.103	• Particles:			40
6.104	• Endotoxins:			40
6.105	Microbiological monitoring of starting materials?	<input type="checkbox"/> <input type="checkbox"/> <input type="checkbox"/>		42
6.106	Maximum storage times defined for sterilized equipment?	<input type="checkbox"/> <input type="checkbox"/> <input type="checkbox"/>		45
6.107	Maximum storage time defined between solution preparation and filtration?	<input type="checkbox"/> <input type="checkbox"/> <input type="checkbox"/>		46
6.108	Material transfer to clean areas through double door autoclaves?	<input type="checkbox"/> <input type="checkbox"/> <input type="checkbox"/>		48
	Sterilization Processes			
6.109	All processes validated?	<input type="checkbox"/> <input type="checkbox"/> <input type="checkbox"/>		50
6.110	Sterilized and nonsterilized materials clearly separated? Trays and boxes clearly labeled with	<input type="checkbox"/> <input type="checkbox"/> <input type="checkbox"/>		54
6.111	• Product name and code	<input type="checkbox"/> <input type="checkbox"/> <input type="checkbox"/>		54
6.112	• Batch no.	<input type="checkbox"/> <input type="checkbox"/> <input type="checkbox"/>		54
6.113	• Status: sterilized or nonsterilized	<input type="checkbox"/> <input type="checkbox"/> <input type="checkbox"/>		54
	Sterilizers			
6.114	Recording of temperature, pressure, and time?	<input type="checkbox"/> <input type="checkbox"/> <input type="checkbox"/>		55
6.115	Coldest point determined?	<input type="checkbox"/> <input type="checkbox"/> <input type="checkbox"/>		55
6.116	Independent counter check probe?	<input type="checkbox"/> <input type="checkbox"/> <input type="checkbox"/>		55
6.117	Heat-up time for each product determined?	<input type="checkbox"/> <input type="checkbox"/> <input type="checkbox"/>		56
6.118	Sterile cooling media?	<input type="checkbox"/> <input type="checkbox"/> <input type="checkbox"/>		57
6.119	Tightness tests for vacuum autoclaves?	<input type="checkbox"/> <input type="checkbox"/> <input type="checkbox"/>		58
6.120	Clean steam for steam autoclaves?	<input type="checkbox"/> <input type="checkbox"/> <input type="checkbox"/>		58
6.121	Circulated air with overpressure?	<input type="checkbox"/> <input type="checkbox"/> <input type="checkbox"/>		61
6.122	Recirculated air: sterile filtered?	<input type="checkbox"/> <input type="checkbox"/> <input type="checkbox"/>		61
6.123	Ethylene oxide autoclaves: humidity, temperature, and time recorded?	<input type="checkbox"/> <input type="checkbox"/> <input type="checkbox"/>		69
6.124	Ethylene oxide autoclaves: use of bioindicators?	<input type="checkbox"/> <input type="checkbox"/> <input type="checkbox"/>		70
	Filtration			
6.125	Double filtration?	<input type="checkbox"/> <input type="checkbox"/> <input type="checkbox"/>		75
6.126	Integrity testing of filters immediately after use?	<input type="checkbox"/> <input type="checkbox"/> <input type="checkbox"/>		77
6.127	Are results a part of the batch protocol?	<input type="checkbox"/> <input type="checkbox"/> <input type="checkbox"/>		77

(Continued)

	Compliance 1 2 3 ^a	Remarks	EU-Guide
6.128	Optical control of each single container of ampoules, vials, and infusions?	<input type="checkbox"/> <input type="checkbox"/> <input type="checkbox"/>	82
	IPC		
6.129	Written IPC procedures and SOPs?	<input type="checkbox"/> <input type="checkbox"/> <input type="checkbox"/>	
	Particle testing of		
6.130	• Rooms?	<input type="checkbox"/> <input type="checkbox"/> <input type="checkbox"/>	
6.131	• Primary packaging materials?	<input type="checkbox"/> <input type="checkbox"/> <input type="checkbox"/>	
6.132	• System of warning and action limits?	<input type="checkbox"/> <input type="checkbox"/> <input type="checkbox"/>	
	Microbiological monitoring of		
6.133	• Rooms?		
6.134	• Personnel?		
6.135	• Equipment?		
6.136	Residual O ₂ of ampoules, infusions, and syrups?	<input type="checkbox"/> <input type="checkbox"/> <input type="checkbox"/>	
6.137	Endotoxin testing of water and packaging materials?	<input type="checkbox"/> <input type="checkbox"/> <input type="checkbox"/>	
6.138	Calibration of equipment?	<input type="checkbox"/> <input type="checkbox"/> <input type="checkbox"/>	
6.139	Regular revalidation of equipment?	<input type="checkbox"/> <input type="checkbox"/> <input type="checkbox"/>	
7	PACKAGING		
	Operations carried out:		
	• Blistering	<input type="checkbox"/>	
	• Foil packaging	<input type="checkbox"/>	
	• Filling into tablet glasses	<input type="checkbox"/>	
	• Effervescent packaging	<input type="checkbox"/>	
	• Powder filling	<input type="checkbox"/>	
	• Syrup/drops filling	<input type="checkbox"/>	
	• Ointment filling	<input type="checkbox"/>	
	Rooms		
7.1	Suitable for the intended use?	<input type="checkbox"/> <input type="checkbox"/> <input type="checkbox"/>	3
7.2	• Adequate size?	<input type="checkbox"/> <input type="checkbox"/> <input type="checkbox"/>	3
7.3	• Clean?	<input type="checkbox"/> <input type="checkbox"/> <input type="checkbox"/>	3
7.4	Located and designed to exclude external contamination?	<input type="checkbox"/> <input type="checkbox"/> <input type="checkbox"/>	3.1
7.5	Appropriate level of maintenance?	<input type="checkbox"/> <input type="checkbox"/> <input type="checkbox"/>	3.2
7.6	Maintenance works possible without contamination risk?	<input type="checkbox"/> <input type="checkbox"/> <input type="checkbox"/>	3.2
7.7	Appropriate lighting and air-conditioning?	<input type="checkbox"/> <input type="checkbox"/> <input type="checkbox"/>	3.3
7.8	Recording of temperature and humidity?	<input type="checkbox"/> <input type="checkbox"/> <input type="checkbox"/>	
7.9	Protection against the entry of insects or other animals?	<input type="checkbox"/> <input type="checkbox"/> <input type="checkbox"/>	3.4
7.10	Controlled access for authorized personnel only?	<input type="checkbox"/> <input type="checkbox"/> <input type="checkbox"/>	3.5
7.11	Adequate separation of the packaging lines?	<input type="checkbox"/> <input type="checkbox"/> <input type="checkbox"/>	3.15
	Operations		
7.12	Only <i>one</i> product per line?	<input type="checkbox"/> <input type="checkbox"/> <input type="checkbox"/>	5.44
7.13	Check list for clearance before processing a new product/new batch?	<input type="checkbox"/> <input type="checkbox"/> <input type="checkbox"/>	5.45
7.14	Adequate labeling of the lines (product name and code)?	<input type="checkbox"/> <input type="checkbox"/> <input type="checkbox"/>	5.46
7.15	Check of all materials delivered to the line (quantity, identity, conformity with order)?	<input type="checkbox"/> <input type="checkbox"/> <input type="checkbox"/>	5.47
7.16	Cleaning of primary packaging materials?	<input type="checkbox"/> <input type="checkbox"/> <input type="checkbox"/>	5.48
7.17	Immediate labeling after filling?	<input type="checkbox"/> <input type="checkbox"/> <input type="checkbox"/>	5.49
7.18	Careful check of all printing processes (code and expiry date)?	<input type="checkbox"/> <input type="checkbox"/> <input type="checkbox"/>	5.50
7.19	Special safety measures for off-line printing?	<input type="checkbox"/> <input type="checkbox"/> <input type="checkbox"/>	5.51

(Continued)

	Compliance 1 2 3 ^a	Remarks	EU-Guide
7.20	Regular checks of all control devices (code reader, counter, etc.)?	<input type="checkbox"/> <input type="checkbox"/> <input type="checkbox"/>	5.52
7.21	Printings clear and durable?	<input type="checkbox"/> <input type="checkbox"/> <input type="checkbox"/>	5.53
7.22	Balancing of printed packaging materials and bulk?	<input type="checkbox"/> <input type="checkbox"/> <input type="checkbox"/>	5.56
7.23	Destruction of excessive coded packaging material after completion of an order?	<input type="checkbox"/> <input type="checkbox"/> <input type="checkbox"/>	5.57
7.24	Are the finished products kept in quarantine until final release?	<input type="checkbox"/> <input type="checkbox"/> <input type="checkbox"/>	5.58
7.25	Appropriate storage after release?	<input type="checkbox"/> <input type="checkbox"/> <input type="checkbox"/>	5.60
	IPC		
7.26	Checks on identity of bulk and packaging materials?	<input type="checkbox"/> <input type="checkbox"/> <input type="checkbox"/>	5.47
	Regular line checks on		
7.27	• Aspect of the packages?	<input type="checkbox"/> <input type="checkbox"/> <input type="checkbox"/>	5.54a
7.28	• Completeness?	<input type="checkbox"/> <input type="checkbox"/> <input type="checkbox"/>	5.54b
7.29	• Conformity of quantity and quality of materials with packaging order?	<input type="checkbox"/> <input type="checkbox"/> <input type="checkbox"/>	5.54c
7.30	• Correct imprint?	<input type="checkbox"/> <input type="checkbox"/> <input type="checkbox"/>	5.54d
7.31	• Correct function of control devices?	<input type="checkbox"/> <input type="checkbox"/> <input type="checkbox"/>	5.54d
	Are the following IPC checks performed?		
7.32	• Leaking	<input type="checkbox"/> <input type="checkbox"/> <input type="checkbox"/>	
7.33	• Release torque of screw caps	<input type="checkbox"/> <input type="checkbox"/> <input type="checkbox"/>	
7.34	• pH, density, drop weight, viscosity, and sedimentation	<input type="checkbox"/> <input type="checkbox"/> <input type="checkbox"/>	
8	DOCUMENTATION		
	Specifications		
8.1	Specifications for raw/packaging materials available?	<input type="checkbox"/> <input type="checkbox"/> <input type="checkbox"/>	4.10
	Do they include		
8.2	• internal name and code?	<input type="checkbox"/> <input type="checkbox"/> <input type="checkbox"/>	4.11
8.3	• Name of supplier and/or manufacturer?	<input type="checkbox"/> <input type="checkbox"/> <input type="checkbox"/>	4.11
8.4	• Reference sample (printed packaging material)?	<input type="checkbox"/> <input type="checkbox"/> <input type="checkbox"/>	4.11
8.5	• Sampling procedure?	<input type="checkbox"/> <input type="checkbox"/> <input type="checkbox"/>	4.11
8.6	• Qualitative/quantitative specifications with limits?	<input type="checkbox"/> <input type="checkbox"/> <input type="checkbox"/>	4.11
8.7	• Storage conditions?	<input type="checkbox"/> <input type="checkbox"/> <input type="checkbox"/>	4.11
8.8	• Maximum storage period?	<input type="checkbox"/> <input type="checkbox"/> <input type="checkbox"/>	4.11
	Goods Receiving		
8.9	Written procedures for the reception of deliveries?	<input type="checkbox"/> <input type="checkbox"/> <input type="checkbox"/>	4.19
	Do the records of receipt include		
8.10	• Product name on labels and delivery note?	<input type="checkbox"/> <input type="checkbox"/> <input type="checkbox"/>	4.20
8.11	• Internal name and code?	<input type="checkbox"/> <input type="checkbox"/> <input type="checkbox"/>	4.20
8.12	• Receiving date?	<input type="checkbox"/> <input type="checkbox"/> <input type="checkbox"/>	4.20
8.13	• Name of supplier and/or manufacturer?	<input type="checkbox"/> <input type="checkbox"/> <input type="checkbox"/>	4.20
8.14	• Batch number of supplier?	<input type="checkbox"/> <input type="checkbox"/> <input type="checkbox"/>	4.20
8.15	• Total quantity and number of containers?	<input type="checkbox"/> <input type="checkbox"/> <input type="checkbox"/>	4.20
8.16	• Allocated internal batch number?	<input type="checkbox"/> <input type="checkbox"/> <input type="checkbox"/>	4.20
8.17	SOPs for labeling, quarantine, and storage conditions of all incoming goods available?	<input type="checkbox"/> <input type="checkbox"/> <input type="checkbox"/>	4.21
	SOPs include		
8.18	• authorized sampling personnel?	<input type="checkbox"/> <input type="checkbox"/> <input type="checkbox"/>	4.22
8.19	• methods, equipment, and quantities?	<input type="checkbox"/> <input type="checkbox"/> <input type="checkbox"/>	4.22
8.20	• safety measures?	<input type="checkbox"/> <input type="checkbox"/> <input type="checkbox"/>	4.22

(Continued)

	Compliance 1 2 3 ^a	Remarks	EU-Guide
Master Formulae			
8.21	Are master formulae for each product and batch size available?	<input type="checkbox"/> <input type="checkbox"/> <input type="checkbox"/>	4.3
8.22	Is the master formula approved and signed by the authorized persons?	<input type="checkbox"/> <input type="checkbox"/> <input type="checkbox"/>	4.3
The master formula includes			
8.23	• Product name and code?	<input type="checkbox"/> <input type="checkbox"/> <input type="checkbox"/>	4.14a
8.24	• Description of galenical form, dosage, and batch size?	<input type="checkbox"/> <input type="checkbox"/> <input type="checkbox"/>	4.14b
8.25	• All active ingredients with name, code, and weight?	<input type="checkbox"/> <input type="checkbox"/> <input type="checkbox"/>	4.14c
8.26	• All excipients used during manufacture with name, code, and weight?	<input type="checkbox"/> <input type="checkbox"/> <input type="checkbox"/>	4.14c
8.27	• Yields with limits?	<input type="checkbox"/> <input type="checkbox"/> <input type="checkbox"/>	4.14d
Does the working procedure include			
8.28	• The production line?	<input type="checkbox"/> <input type="checkbox"/> <input type="checkbox"/>	4.15a
8.29	• Equipment to be used?	<input type="checkbox"/> <input type="checkbox"/> <input type="checkbox"/>	4.15a
8.30	• Reference to methods for cleaning, assembling, and calibration of machines?	<input type="checkbox"/> <input type="checkbox"/> <input type="checkbox"/>	4.15b
8.31	• Detailed stepwise manufacturing prescription?	<input type="checkbox"/> <input type="checkbox"/> <input type="checkbox"/>	4.15c
8.32	• IPCs to be performed with limits?	<input type="checkbox"/> <input type="checkbox"/> <input type="checkbox"/>	4.15d
8.33	• Precautions to be followed?	<input type="checkbox"/> <input type="checkbox"/> <input type="checkbox"/>	4.15e
8.34	Are batch records kept for each batch processed?	<input type="checkbox"/> <input type="checkbox"/> <input type="checkbox"/>	4.17
Do batch records include			
8.35	• Protocol of line clearance?	<input type="checkbox"/> <input type="checkbox"/> <input type="checkbox"/>	4.17
8.36	• Name of the product and batch no.?	<input type="checkbox"/> <input type="checkbox"/> <input type="checkbox"/>	4.17a
8.37	• Date and time of start and end of production?	<input type="checkbox"/> <input type="checkbox"/> <input type="checkbox"/>	4.17b
8.38	• Name and initials of responsible workers for each step?	<input type="checkbox"/> <input type="checkbox"/> <input type="checkbox"/>	4.17c, d
8.39	• Batch and analytical no. and actual weight of all starting materials?	<input type="checkbox"/> <input type="checkbox"/> <input type="checkbox"/>	4.17e
8.40	• Equipment used?	<input type="checkbox"/> <input type="checkbox"/> <input type="checkbox"/>	4.17f
8.41	• Results of IPCs with initials of person who carries them out?	<input type="checkbox"/> <input type="checkbox"/> <input type="checkbox"/>	4.17g
8.42	• Yields of the relevant manufacturing steps?	<input type="checkbox"/> <input type="checkbox"/> <input type="checkbox"/>	4.17h
8.43	• Detailed notes on problems and process deviations?	<input type="checkbox"/> <input type="checkbox"/> <input type="checkbox"/>	4.17i
8.44	Records on reprocessing of batches?	<input type="checkbox"/> <input type="checkbox"/> <input type="checkbox"/>	
Packaging Instructions			
8.45	Packaging instructions for each product, package size, and presentation?	<input type="checkbox"/> <input type="checkbox"/> <input type="checkbox"/>	4.16
Do they include			
8.46	• Product name?	<input type="checkbox"/> <input type="checkbox"/> <input type="checkbox"/>	4.16a
8.47	• Description of galenical form and strength?	<input type="checkbox"/> <input type="checkbox"/> <input type="checkbox"/>	4.16b
8.48	• Package size?	<input type="checkbox"/> <input type="checkbox"/> <input type="checkbox"/>	4.17c
8.49	• List of all packaging materials with code for a standard batch size?	<input type="checkbox"/> <input type="checkbox"/> <input type="checkbox"/>	4.17d
8.50	• Samples of printed packaging materials?	<input type="checkbox"/> <input type="checkbox"/> <input type="checkbox"/>	4.17e
8.51	• Special precautions?	<input type="checkbox"/> <input type="checkbox"/> <input type="checkbox"/>	4.17f
8.52	• Description of the process and equipment?	<input type="checkbox"/> <input type="checkbox"/> <input type="checkbox"/>	4.17g
8.53	• IPCs to be performed with sampling instruction?	<input type="checkbox"/> <input type="checkbox"/> <input type="checkbox"/>	4.17h

(Continued)

	Compliance 1 2 3 ^a	Remarks	EU-Guide
8.54	Are packaging batch records kept for each batch or part batch?	<input type="checkbox"/> <input type="checkbox"/> <input type="checkbox"/>	4.18
	Do the packaging batch records include		
8.55	• Protocol of line clearance?	<input type="checkbox"/> <input type="checkbox"/> <input type="checkbox"/>	4.18
8.56	• Name of the product?	<input type="checkbox"/> <input type="checkbox"/> <input type="checkbox"/>	4.18a
8.57	• Date and time when operations have been performed?	<input type="checkbox"/> <input type="checkbox"/> <input type="checkbox"/>	4.18b
8.58	• Name of the responsible person?	<input type="checkbox"/> <input type="checkbox"/> <input type="checkbox"/>	4.18c
8.59	• Initials of workers carrying out operations?	<input type="checkbox"/> <input type="checkbox"/> <input type="checkbox"/>	4.18d
8.60	• Notes on identity checks and conformity with packaging instructions?	<input type="checkbox"/> <input type="checkbox"/> <input type="checkbox"/>	4.18e
8.61	• Results of IPCs?	<input type="checkbox"/> <input type="checkbox"/> <input type="checkbox"/>	4.18e
8.62	• Details of operations and equipment used?	<input type="checkbox"/> <input type="checkbox"/> <input type="checkbox"/>	4.18f
8.63	• Samples of printed packaging materials with codes (MFD, EXP, batch no., etc.)?	<input type="checkbox"/> <input type="checkbox"/> <input type="checkbox"/>	4.18g
8.64	• Record of problems and process deviations?	<input type="checkbox"/> <input type="checkbox"/> <input type="checkbox"/>	4.18h
8.65	• Quantities of packaging materials delivered, used, destroyed, or returned?	<input type="checkbox"/> <input type="checkbox"/> <input type="checkbox"/>	4.18i
8.66	• No. of packs consumed?	<input type="checkbox"/> <input type="checkbox"/> <input type="checkbox"/>	4.18j
	Testing		
	Do the written testing procedures include		
8.67	• Test methods?	<input type="checkbox"/> <input type="checkbox"/> <input type="checkbox"/>	4.23
8.68	• Equipment for testing?	<input type="checkbox"/> <input type="checkbox"/> <input type="checkbox"/>	4.23
8.69	Tests documented?	<input type="checkbox"/> <input type="checkbox"/> <input type="checkbox"/>	4.23
	Others		
8.70	Procedures for release and rejection of materials and finished products?	<input type="checkbox"/> <input type="checkbox"/> <input type="checkbox"/>	4.24
8.71	Final release by authorized person?	<input type="checkbox"/> <input type="checkbox"/> <input type="checkbox"/>	4.24
8.72	Records about distribution of each batch?	<input type="checkbox"/> <input type="checkbox"/> <input type="checkbox"/>	4.25
	Procedures and protocols about		
8.73	• Validation?	<input type="checkbox"/> <input type="checkbox"/> <input type="checkbox"/>	4.26
8.74	• Setup and calibration of equipment?	<input type="checkbox"/> <input type="checkbox"/> <input type="checkbox"/>	4.26
8.75	• Maintenance, cleaning, and disinfection?	<input type="checkbox"/> <input type="checkbox"/> <input type="checkbox"/>	4.26
8.76	• Training records?	<input type="checkbox"/> <input type="checkbox"/> <input type="checkbox"/>	4.26
8.77	• Environmental monitoring of production areas?	<input type="checkbox"/> <input type="checkbox"/> <input type="checkbox"/>	4.26
8.78	• Pest control?	<input type="checkbox"/> <input type="checkbox"/> <input type="checkbox"/>	4.26
8.79	• Complaints?	<input type="checkbox"/> <input type="checkbox"/> <input type="checkbox"/>	4.26
8.80	• Recalls?	<input type="checkbox"/> <input type="checkbox"/> <input type="checkbox"/>	4.26
8.81	• Returned goods?	<input type="checkbox"/> <input type="checkbox"/> <input type="checkbox"/>	4.26
8.82	Instructions for use of manufacturing and testing equipment?	<input type="checkbox"/> <input type="checkbox"/> <input type="checkbox"/>	4.27
	Log books for major equipment including date and name of persons who performed		
8.83	• Validation?	<input type="checkbox"/> <input type="checkbox"/> <input type="checkbox"/>	4.28
8.84	• Calibration?	<input type="checkbox"/> <input type="checkbox"/> <input type="checkbox"/>	4.28
8.85	• Maintenance, cleaning, and repair works?	<input type="checkbox"/> <input type="checkbox"/> <input type="checkbox"/>	4.28
8.86	Chronological records of use of major equipment and manufacturing areas?	<input type="checkbox"/> <input type="checkbox"/> <input type="checkbox"/>	4.29
9	QUALITY CONTROL		6
	General Requirements		
9.1	Independent QC department available?	<input type="checkbox"/> <input type="checkbox"/> <input type="checkbox"/>	6.1
9.2	Head of QC well qualified and sufficiently experienced?	<input type="checkbox"/> <input type="checkbox"/> <input type="checkbox"/>	6.1
9.3	Qualified personnel available?	<input type="checkbox"/> <input type="checkbox"/> <input type="checkbox"/>	2.1
9.4	Organization charts available?	<input type="checkbox"/> <input type="checkbox"/> <input type="checkbox"/>	2.2
9.5	Job descriptions available?	<input type="checkbox"/> <input type="checkbox"/> <input type="checkbox"/>	2.2

(Continued)

		Compliance 1 2 3 ^a	Remarks	EU-Guide
9.6	Responsibilities clearly defined?	<input type="checkbox"/> <input type="checkbox"/> <input type="checkbox"/>		2.2
9.7	Continuous training programs for QC staff?	<input type="checkbox"/> <input type="checkbox"/> <input type="checkbox"/>		2.2
9.8	Initial job training for all employees?	<input type="checkbox"/> <input type="checkbox"/> <input type="checkbox"/>		2.9
9.9	Training records?	<input type="checkbox"/> <input type="checkbox"/> <input type="checkbox"/>		
9.10	QC personnel admitted to the production rooms for sampling, etc.?	<input type="checkbox"/> <input type="checkbox"/> <input type="checkbox"/>		
	QC Laboratories			
9.11	Suitable for the intended use?	<input type="checkbox"/> <input type="checkbox"/> <input type="checkbox"/>		3.26
9.12	Laboratories of adequate size?	<input type="checkbox"/> <input type="checkbox"/> <input type="checkbox"/>		3.26
9.13	Appropriate level of maintenance?	<input type="checkbox"/> <input type="checkbox"/> <input type="checkbox"/>		3.1
9.14	Adequate separation from the production area?	<input type="checkbox"/> <input type="checkbox"/> <input type="checkbox"/>		3.26
9.15	Controlled access of authorized personnel only?	<input type="checkbox"/> <input type="checkbox"/> <input type="checkbox"/>		3.5
9.16	Special laboratory to handle biological samples available?	<input type="checkbox"/> <input type="checkbox"/> <input type="checkbox"/>		3.29
9.17	Special laboratory to handle radioactive material available?	<input type="checkbox"/> <input type="checkbox"/> <input type="checkbox"/>		3.29
9.18	Separate recreation rooms for the personnel available?	<input type="checkbox"/> <input type="checkbox"/> <input type="checkbox"/>		3.30
9.19	Animal laboratories present?	<input type="checkbox"/> <input type="checkbox"/> <input type="checkbox"/>		3.33
9.20	Animal laboratories separated from other areas?	<input type="checkbox"/> <input type="checkbox"/> <input type="checkbox"/>		3.33
9.21	Animal laboratories equipped with a separate air-handling system?	<input type="checkbox"/> <input type="checkbox"/> <input type="checkbox"/>		3.33
	QC Documentation			
9.22	Do procedures exist for			
	• Self inspection?	<input type="checkbox"/> <input type="checkbox"/> <input type="checkbox"/>		
	• Release or rejection of products or raw material?	<input type="checkbox"/> <input type="checkbox"/> <input type="checkbox"/>		
	• Product complaints?	<input type="checkbox"/> <input type="checkbox"/> <input type="checkbox"/>		
	• Product recalls?	<input type="checkbox"/> <input type="checkbox"/> <input type="checkbox"/>		
	• Local stability testing?	<input type="checkbox"/> <input type="checkbox"/> <input type="checkbox"/>		
	• Storage of reference samples?	<input type="checkbox"/> <input type="checkbox"/> <input type="checkbox"/>		
	• Validation of analytical procedures?	<input type="checkbox"/> <input type="checkbox"/> <input type="checkbox"/>		
9.23	Specifications available for	<input type="checkbox"/> <input type="checkbox"/> <input type="checkbox"/>		6.7
	• Raw materials?	<input type="checkbox"/> <input type="checkbox"/> <input type="checkbox"/>		
	• Bulk products?	<input type="checkbox"/> <input type="checkbox"/> <input type="checkbox"/>		
	• Packaging materials?	<input type="checkbox"/> <input type="checkbox"/> <input type="checkbox"/>		
9.24	Analytical procedures for every product?	<input type="checkbox"/> <input type="checkbox"/> <input type="checkbox"/>		
9.25	Are Basel methods followed?	<input type="checkbox"/> <input type="checkbox"/> <input type="checkbox"/>		
9.26	Validation of locally developed test methods?	<input type="checkbox"/> <input type="checkbox"/> <input type="checkbox"/>		
9.27	Sampling procedures available for			6.7
	• Raw materials?	<input type="checkbox"/> <input type="checkbox"/> <input type="checkbox"/>		
	• Bulk products?	<input type="checkbox"/> <input type="checkbox"/> <input type="checkbox"/>		
	• Packaging materials?	<input type="checkbox"/> <input type="checkbox"/> <input type="checkbox"/>		
9.28	Suppliers' certificates available?	<input type="checkbox"/> <input type="checkbox"/> <input type="checkbox"/>		6.7
9.29	Calibration program for analytical instruments installed?	<input type="checkbox"/> <input type="checkbox"/> <input type="checkbox"/>		6.7
9.30	Maintenance program for analytical instruments?	<input type="checkbox"/> <input type="checkbox"/> <input type="checkbox"/>		6.7
9.31	Retention system for QC records?	<input type="checkbox"/> <input type="checkbox"/> <input type="checkbox"/>		6.8
9.32	Batch documents stored for expiry + 1 year or 5 years (EEC 75/319, article 22) minimum?	<input type="checkbox"/> <input type="checkbox"/> <input type="checkbox"/>		6.8
9.33	Are original data such as notebooks stored in addition to the batch documents?	<input type="checkbox"/> <input type="checkbox"/> <input type="checkbox"/>		6.10
9.34	Can the original data be traced back easily and quickly from the analytical report number or batch number?	<input type="checkbox"/> <input type="checkbox"/> <input type="checkbox"/>		6.10

(Continued)

		Compliance 1 2 3 ^a	Remarks	EU-Guide
9.35	Are trend analyses being performed for			6.9
	• Analytical results?	<input type="checkbox"/> <input type="checkbox"/> <input type="checkbox"/>		
	• Yields?	<input type="checkbox"/> <input type="checkbox"/> <input type="checkbox"/>		
	• Environmental monitoring data?	<input type="checkbox"/> <input type="checkbox"/> <input type="checkbox"/>		
	Sampling			
9.36	Written procedures for taking samples?	<input type="checkbox"/> <input type="checkbox"/> <input type="checkbox"/>		6.11
9.37	Do procedures define			
	• Method of sampling?	<input type="checkbox"/> <input type="checkbox"/> <input type="checkbox"/>		
	• Necessary equipment?	<input type="checkbox"/> <input type="checkbox"/> <input type="checkbox"/>		
	• Quantity of the sample?	<input type="checkbox"/> <input type="checkbox"/> <input type="checkbox"/>		
	• Subdivision of the sample?	<input type="checkbox"/> <input type="checkbox"/> <input type="checkbox"/>		
	• Sample container?	<input type="checkbox"/> <input type="checkbox"/> <input type="checkbox"/>		
	• Labeling of samples?	<input type="checkbox"/> <input type="checkbox"/> <input type="checkbox"/>		
	• Storage conditions?	<input type="checkbox"/> <input type="checkbox"/> <input type="checkbox"/>		
	• Cleaning and storage of sampling equipment?	<input type="checkbox"/> <input type="checkbox"/> <input type="checkbox"/>		
	• Identification of containers sampled?	<input type="checkbox"/> <input type="checkbox"/> <input type="checkbox"/>		
9.38	Are samples representative of the batch they are taken from (sampling plan)?	<input type="checkbox"/> <input type="checkbox"/> <input type="checkbox"/>		6.12
9.39	Are critical steps being surveilled and validated by additional sampling (e.g., at the beginning or end of a process)?	<input type="checkbox"/> <input type="checkbox"/> <input type="checkbox"/>		6.12
9.40	Sample containers labeled with			6.13
	• Name of the content?	<input type="checkbox"/> <input type="checkbox"/> <input type="checkbox"/>		
	• Batch number?	<input type="checkbox"/> <input type="checkbox"/> <input type="checkbox"/>		
	• Date of sampling?	<input type="checkbox"/> <input type="checkbox"/> <input type="checkbox"/>		
	• Batch containers sampled?	<input type="checkbox"/> <input type="checkbox"/> <input type="checkbox"/>		
9.41	Are samples taken by QC/QA?	<input type="checkbox"/> <input type="checkbox"/> <input type="checkbox"/>		
9.42	Reference samples retained for validity +1 year?	<input type="checkbox"/> <input type="checkbox"/> <input type="checkbox"/>		6.14
9.43	Storage of reference samples under the recommended storage conditions?	<input type="checkbox"/> <input type="checkbox"/> <input type="checkbox"/>		6.14
9.44	Finished products stored in the final packaging?	<input type="checkbox"/> <input type="checkbox"/> <input type="checkbox"/>		6.14
9.45	Quantity of the reference sample makes one (better two) complete reanalysis possible?	<input type="checkbox"/> <input type="checkbox"/> <input type="checkbox"/>		6.14
9.46	Sample room secure?	Yes No		6.14
9.47	Sample room neatly organized and not overcrowded?	Yes No		6.14
	Testing			
9.48	Are the applied analytical methods validated?	<input type="checkbox"/> <input type="checkbox"/> <input type="checkbox"/>		6.15
9.49	Analytical methods in compliance with the registration?	<input type="checkbox"/> <input type="checkbox"/> <input type="checkbox"/>		6.16
9.50	Are all results recorded and checked for correctness?	<input type="checkbox"/> <input type="checkbox"/> <input type="checkbox"/>		6.16
9.51	Are all calculations checked?	<input type="checkbox"/> <input type="checkbox"/> <input type="checkbox"/>		6.16
9.52	Do the testing protocols contain			6.17
	• Name and galenical form of material?	<input type="checkbox"/> <input type="checkbox"/> <input type="checkbox"/>		
	• Batch number?	<input type="checkbox"/> <input type="checkbox"/> <input type="checkbox"/>		
	• Supplier if applicable?	<input type="checkbox"/> <input type="checkbox"/> <input type="checkbox"/>		
	• Specification reference?	<input type="checkbox"/> <input type="checkbox"/> <input type="checkbox"/>		
	• Method reference?	<input type="checkbox"/> <input type="checkbox"/> <input type="checkbox"/>		
	• Analytical results?	<input type="checkbox"/> <input type="checkbox"/> <input type="checkbox"/>		
	• Reference to analytical certificates?	<input type="checkbox"/> <input type="checkbox"/> <input type="checkbox"/>		
	• Date of the analysis?	<input type="checkbox"/> <input type="checkbox"/> <input type="checkbox"/>		
	• Name of the analyst?	<input type="checkbox"/> <input type="checkbox"/> <input type="checkbox"/>		
	• Name of the person verifying the data?	<input type="checkbox"/> <input type="checkbox"/> <input type="checkbox"/>		
	• Statement of release or rejection?	<input type="checkbox"/> <input type="checkbox"/> <input type="checkbox"/>		
	• Date and signature of the release person?	<input type="checkbox"/> <input type="checkbox"/> <input type="checkbox"/>		

(Continued)

		Compliance 1 2 3 ^a	Remarks	EU-Guide
9.53	Are all IPC methods in production approved by QC?	<input type="checkbox"/> <input type="checkbox"/> <input type="checkbox"/>		6.18
9.54	Are written methods available for the preparation of reagents and volumetric solutions?	<input type="checkbox"/> <input type="checkbox"/> <input type="checkbox"/>		6.19
9.55	Is a record maintained of standardization of volumetric solutions?	<input type="checkbox"/> <input type="checkbox"/> <input type="checkbox"/>		6.2
9.56	Are reagents for prolonged use labeled with <ul style="list-style-type: none"> • Date of the preparation? • Signature of the preparator? 	<input type="checkbox"/> <input type="checkbox"/> <input type="checkbox"/> <input type="checkbox"/> <input type="checkbox"/> <input type="checkbox"/>		6.20
9.57	Are unstable reagents labeled with <ul style="list-style-type: none"> • Expiry date? • Storage conditions? 	<input type="checkbox"/> <input type="checkbox"/> <input type="checkbox"/> <input type="checkbox"/> <input type="checkbox"/> <input type="checkbox"/>		6.20
9.58	Are volumetric solutions labeled with <ul style="list-style-type: none"> • The last date of standardization? • Last current factor? 	<input type="checkbox"/> <input type="checkbox"/> <input type="checkbox"/> <input type="checkbox"/> <input type="checkbox"/> <input type="checkbox"/>		6.20
9.59	Are reference standards labeled with <ul style="list-style-type: none"> • Name and potency? • Supplier's reference? • Date of receipt? • Date of expiry? 	<input type="checkbox"/> <input type="checkbox"/> <input type="checkbox"/> <input type="checkbox"/> <input type="checkbox"/> <input type="checkbox"/> <input type="checkbox"/> <input type="checkbox"/> <input type="checkbox"/> <input type="checkbox"/> <input type="checkbox"/> <input type="checkbox"/>		6.21
9.60	Are reference standards stored properly and under the control of a designated person?	<input type="checkbox"/> <input type="checkbox"/> <input type="checkbox"/>		
9.61	Are animals used for testing of components, materials, or products <ul style="list-style-type: none"> • Quarantined before use? • Checked for suitability? • Are records maintained showing the history of their use? 	<input type="checkbox"/> <input type="checkbox"/> <input type="checkbox"/> <input type="checkbox"/> <input type="checkbox"/> <input type="checkbox"/> <input type="checkbox"/> <input type="checkbox"/> <input type="checkbox"/>		
10	COMPLAINTS AND PRODUCT RECALLS			8
	Complaints			
10.1	Does a written complaint procedure exist?	<input type="checkbox"/> <input type="checkbox"/> <input type="checkbox"/>		8.1
10.2	Are product complaints carefully reviewed?	<input type="checkbox"/> <input type="checkbox"/> <input type="checkbox"/>		8.2
10.3	Are product complaints carefully reviewed?	<input type="checkbox"/> <input type="checkbox"/> <input type="checkbox"/>		8.1
10.3	Is a person designated to handle complaints and to decide on measures to be taken?	<input type="checkbox"/> <input type="checkbox"/> <input type="checkbox"/>		8.1
10.4	Is each complaint concerning a product recorded with all original details?	<input type="checkbox"/> <input type="checkbox"/> <input type="checkbox"/>		8.3
10.5	Are product complaints thoroughly investigated?	<input type="checkbox"/> <input type="checkbox"/> <input type="checkbox"/>		8.3
10.6	Is a responsible QC person involved in the study?	<input type="checkbox"/> <input type="checkbox"/> <input type="checkbox"/>		8.3
10.7	Is it considered that other batches might be concerned as well?	<input type="checkbox"/> <input type="checkbox"/> <input type="checkbox"/>		8.4
10.8	Are decisions and measures as a result recorded?	<input type="checkbox"/> <input type="checkbox"/> <input type="checkbox"/>		8.5
10.9	Is this record added to the corresponding batch documents?	<input type="checkbox"/> <input type="checkbox"/> <input type="checkbox"/>		8.5
10.10	Are the complaint records regularly revised with respect to specific or recurring problems?	<input type="checkbox"/> <input type="checkbox"/> <input type="checkbox"/>		8.6
10.11	Are the authorities informed of serious quality problems with a product?	<input type="checkbox"/> <input type="checkbox"/> <input type="checkbox"/>		8.7
	Recalls			8.8
10.12	Does a written recall procedure exist?	<input type="checkbox"/> <input type="checkbox"/> <input type="checkbox"/>		8.9
10.13	Is a person nominated responsible for the execution and coordination of a recall?	<input type="checkbox"/> <input type="checkbox"/> <input type="checkbox"/>		8.8
10.14	Is the responsible person independent of the marketing and sales organization?	<input type="checkbox"/> <input type="checkbox"/> <input type="checkbox"/>		8.8

(Continued)

		Compliance 1 2 3 ^a	Remarks	EU-Guide
10.15	Are the competent authorities informed of an imminent recall?	<input type="checkbox"/> <input type="checkbox"/> <input type="checkbox"/>		8.11
10.16	Does the person responsible for a recall have access to the distribution records?	<input type="checkbox"/> <input type="checkbox"/> <input type="checkbox"/>		8.12
10.17	Do the distribution records contain sufficient information on customers with <ul style="list-style-type: none"> • Addresses? • Phone numbers inside or outside working hours? • Batches and amounts delivered? • Medical samples? 	<input type="checkbox"/> <input type="checkbox"/> <input type="checkbox"/> <input type="checkbox"/> <input type="checkbox"/> <input type="checkbox"/> <input type="checkbox"/> <input type="checkbox"/> <input type="checkbox"/> <input type="checkbox"/> <input type="checkbox"/> <input type="checkbox"/>		8.12
10.18	Are recalled products stored separately in a secure area?	<input type="checkbox"/> <input type="checkbox"/> <input type="checkbox"/>		8.13
10.19	Is a final record made, including a reconciliation between the delivered and recovered quantities?	<input type="checkbox"/> <input type="checkbox"/> <input type="checkbox"/>		8.14
10.20	Is the effectiveness of the arrangements for recalls checked critically from time to time?	<input type="checkbox"/> <input type="checkbox"/> <input type="checkbox"/>		8.15
11	SELF-INSPECTION			9
11.1	Does a self-inspection procedure exist that defines frequency and program?	<input type="checkbox"/> <input type="checkbox"/> <input type="checkbox"/>		9.1
11.2	Are self-inspections carried out to check compliance with GMP rules?	<input type="checkbox"/> <input type="checkbox"/> <input type="checkbox"/>		9.1
11.3	Are self-inspections conducted in an independent and detailed way? by designated competent persons from the company or external experts?	<input type="checkbox"/> <input type="checkbox"/> <input type="checkbox"/> <input type="checkbox"/> <input type="checkbox"/> <input type="checkbox"/>		9.2
11.4	Are self-inspections recorded?	<input type="checkbox"/> <input type="checkbox"/> <input type="checkbox"/>		9.3
11.5	Do reports contain <ul style="list-style-type: none"> • The observations made during a self-inspection? • Proposals for corrective measures? 	<input type="checkbox"/> <input type="checkbox"/> <input type="checkbox"/> <input type="checkbox"/> <input type="checkbox"/> <input type="checkbox"/>		9.3
11.6	Are actions subsequently taken recorded?	<input type="checkbox"/> <input type="checkbox"/> <input type="checkbox"/>		9.3
12	CONTRACT MANUFACTURE AND ANALYSIS			7
12.1	Is a written contract between contract giver and contract acceptor available?	<input type="checkbox"/> <input type="checkbox"/> <input type="checkbox"/>		7.1
12.2	Are responsibilities and duties clearly defined?	<input type="checkbox"/> <input type="checkbox"/> <input type="checkbox"/>		7
12.3	Are all arrangements in accordance with the marketing authorization of the product concerned?	<input type="checkbox"/> <input type="checkbox"/> <input type="checkbox"/>		7.2
	The Contract Giver			
12.4	Competence of the acceptor to carry out the work successfully and according to GMP assessed?	<input type="checkbox"/> <input type="checkbox"/> <input type="checkbox"/>		7.3
12.5	Acceptor provided with all the information necessary to carry out the contract work?	<input type="checkbox"/> <input type="checkbox"/> <input type="checkbox"/>		7.4
12.6	Acceptor informed of safety aspects?	<input type="checkbox"/> <input type="checkbox"/> <input type="checkbox"/>		7.4
12.7	Conformance of products supplied by the acceptor ensured?	<input type="checkbox"/> <input type="checkbox"/> <input type="checkbox"/>		7.5
12.8	Product released by a qualified person on the acceptor's side?	<input type="checkbox"/> <input type="checkbox"/> <input type="checkbox"/>		7.5
	The Contract Acceptor			
12.9	Does the acceptor have <ul style="list-style-type: none"> • Adequate premises and equipment? • Knowledge and experience? • Competent personnel? • A manufacturing authorization? 	<input type="checkbox"/> <input type="checkbox"/> <input type="checkbox"/> <input type="checkbox"/> <input type="checkbox"/> <input type="checkbox"/> <input type="checkbox"/> <input type="checkbox"/> <input type="checkbox"/> <input type="checkbox"/> <input type="checkbox"/> <input type="checkbox"/>		7.6

(Continued)

		Compliance 1 2 3 ^a	Remarks	EU-Guide
12.10	Does the acceptor ensure that all products or materials delivered to him or her are suitable?	<input type="checkbox"/> <input type="checkbox"/> <input type="checkbox"/>		7.7
12.11	There must be no work passed to a third party without the permission of the giver.	<input type="checkbox"/> <input type="checkbox"/> <input type="checkbox"/>		7.8
12.12	If a third party is involved, it must have the necessary manufacturing and analytical information.	<input type="checkbox"/> <input type="checkbox"/> <input type="checkbox"/>		7.8
The Contract				
12.13	Does the written contract specify the responsibilities?	<input type="checkbox"/> <input type="checkbox"/> <input type="checkbox"/>		7.10
12.14	Have technical aspects been drawn up by competent persons?	<input type="checkbox"/> <input type="checkbox"/> <input type="checkbox"/>		7.10
12.15	Release of material and check for compliance with the marketing authorization defined?	<input type="checkbox"/> <input type="checkbox"/> <input type="checkbox"/>		7.11
12.16	Is it defined who is responsible for			7.12
	• Purchasing of materials?	<input type="checkbox"/> <input type="checkbox"/> <input type="checkbox"/>		
	• IPC controls?	<input type="checkbox"/> <input type="checkbox"/> <input type="checkbox"/>		
	• Testing and release of materials?	<input type="checkbox"/> <input type="checkbox"/> <input type="checkbox"/>		
	• Manufacturing and quality control?	<input type="checkbox"/> <input type="checkbox"/> <input type="checkbox"/>		
	• Sampling?	<input type="checkbox"/> <input type="checkbox"/> <input type="checkbox"/>		
	• Storage of batch documentation?	<input type="checkbox"/> <input type="checkbox"/> <input type="checkbox"/>		
12.17	Are manufacturing, analytical, and distribution records available to the contract giver?	<input type="checkbox"/> <input type="checkbox"/> <input type="checkbox"/>		7.13
12.18	Does the contract permit the giver to visit the facilities of the acceptor?	<input type="checkbox"/> <input type="checkbox"/> <input type="checkbox"/>		7.14
12.19	In the case of contract analysis: Does the contract acceptor understand that he or she is subject to inspection by the competent authorities?	<input type="checkbox"/> <input type="checkbox"/> <input type="checkbox"/>		7.15
13	AUDIT OF SUPPLIERS			2.7
13.1	Supplier audits performed for	<input type="checkbox"/> <input type="checkbox"/> <input type="checkbox"/>		
	• Excipients?	<input type="checkbox"/> <input type="checkbox"/> <input type="checkbox"/>		
	• Active substances?	<input type="checkbox"/> <input type="checkbox"/> <input type="checkbox"/>		
	• Packaging material?	<input type="checkbox"/> <input type="checkbox"/> <input type="checkbox"/>		

^a 1. Fulfilled or available; 2. partially fulfilled; 3. not fulfilled or not available.

GLOSSARY

Acceptance Criteria: Numerical limits, ranges, or other suitable measures for acceptance of test results.

Active Pharmaceutical Ingredient (API) (or Drug Substance): Any substance or mixture of substances intended to be used in the manufacture of a drug (medicinal) product and that, when used in the production of a drug, becomes an active ingredient of the drug product. Such substances are intended to furnish pharmacological activity or other direct effect in the diagnosis, cure, mitigation, treatment, or prevention of disease or to affect the structure and function of the body.

Air Lock: An enclosed space with two or more doors, which is interposed between two or more rooms, for example, of differing classes of cleanliness, for the purpose of controlling the airflow between those

rooms when they need to be entered. An air lock is designed for use either by people or for goods and/or equipment.

API Starting Material: A raw material, intermediate, or API that is used in the production of an API and that is incorporated as a significant structural fragment into the structure of the API. An API Starting Material can be an article of commerce, a material purchased from one or more suppliers under contract or commercial agreement, or produced in house. API Starting Materials are normally of defined chemical properties and structure.

Authorized Person: The person recognized by the national regulatory authority as having the responsibility for ensuring that each batch of finished product has been manufactured, tested, and approved for release in compliance with the laws and regulations in force in that country.

- Batch (or Lot):** A specific quantity of material produced in a process or series of processes so that it is expected to be homogeneous within specified limits. In the case of continuous production, a batch may correspond to a defined fraction of the production. The batch size can be defined either by a fixed quantity or by the amount produced in a fixed time interval.
- Batch Number (or Lot Number):** A unique combination of numbers, letters, and/or symbols that identifies a batch (or lot) and from which the production and distribution history can be determined.
- Batch Records:** All documents associated with the manufacture of a batch of bulk product or finished product. They provide a history of each batch of product and of all circumstances pertinent to the quality of the final product.
- Bioburden:** The level and type (e.g., objectionable or not) of microorganisms that can be present in raw materials, API starting materials, intermediates, or APIs. Bioburden should not be considered contamination unless the levels have been exceeded or defined objectionable organisms have been detected.
- Bulk Product:** Any product that has completed all processing stages up to, but not including, final packaging.
- Calibration:** The demonstration that a particular instrument or device produces results within specified limits by comparison with those produced by a reference or traceable standard over an appropriate range of measurements. The set of operations that establish, under specified conditions, the relationship between values indicated by an instrument or system for measuring (especially weighing), recording, and controlling, or the values represented by a material measure, and the corresponding known values of a reference standard. Limits for acceptance of the results of measuring should be established.
- Clean Area:** An area with defined environmental control of particulate and microbial contamination, constructed and used in such a way as to reduce the introduction, generation, and retention of contaminants within the area.
- Computer System:** A group of hardware components and associated software designed and assembled to perform a specific function or group of functions. A process or operation integrated with a computer system.
- Consignment (or Delivery):** The quantity of a pharmaceutical(s) made by one manufacturer and supplied at one time in response to a particular request or order. A consignment may comprise one or more packages or containers and may include material belonging to more than one batch.
- Contamination:** The undesired introduction of impurities of a chemical or microbiological nature, or of foreign matter, into or on to a starting material or intermediate during production, sampling, packaging or repackaging, and storage or transport.
- Contract Manufacturer:** A manufacturer performing some aspect of manufacturing on behalf of the original manufacturer.
- Critical:** Describes a process step, process condition, test requirement, or other relevant parameter or item that must be controlled within predetermined criteria to ensure that the API meets its specification.
- Critical Operation:** An operation in the manufacturing process that may cause variation in the quality of the pharmaceutical product.
- Cross-Contamination:** Contamination of a material or product with another material or product. Contamination of a starting material, intermediate product, or finished product with another starting material or product during production.
- Deviation:** Departure from an approved instruction or established standard.
- Drug (Medicinal) Product:** The dosage form in the final immediate packaging intended for marketing. (See ICH Guidance Q1A.)
- Drug Substance:** See Active Pharmaceutical Ingredient.
- Expiry Date (or Expiration Date):** The date placed on the container/labels of an API designating the time during which the API is expected to remain within established shelf-life specifications if stored under defined conditions, and after which it should not be used.
- Finished Product:** A finished dosage form that has undergone all stages of manufacture, including packaging in its final container and labeling.
- Impurity:** Any component present in the intermediate or API that is not the desired entity.
- Impurity Profile:** A description of the identified and unidentified impurities present in an API.
- In-Process Control:** Checks performed during production in order to monitor and if necessary, to adjust the process to ensure that the product conforms to its specifications. The control of the environment or equipment may also be regarded as a part of in-process control.
- Intermediate:** A material produced during steps of the processing of an API that undergoes further molecular change or purification before it becomes an API. Intermediates may or may not be isolated. Partly processed product that must undergo further manufacturing steps before it becomes a bulk product.
- Large-Volume Parenterals:** Sterile solutions intended for parenteral application with a volume of 100 mL or more in one container of the finished dosage form.
- Lot:** See Batch.
- Lot Number:** See Batch Number.
- Manufacture:** All operations of receipt of materials, production, packaging, repackaging, labeling, relabeling, quality control, release, storage, and distribution of APIs and related controls.

- Manufacturer:** A company that carries out operations such as production, packaging, repackaging, labeling, and relabeling of pharmaceuticals.
- Marketing Authorization (Product License, Registration Certificate):** A legal document issued by the competent drug regulatory authority that establishes the detailed composition and formulation of the product and the pharmacopoeial or other recognized specifications of its ingredients and of the final product itself, and includes details of packaging, labeling, and shelf life.
- Master Formula:** A document or set of documents specifying the starting materials with their quantities and the packaging materials, together with a description of the procedures and precautions required to produce a specified quantity of a finished product as well as the processing instructions, including the in-process controls.
- Master Record:** A document or set of documents that serve as a basis for the batch documentation (blank batch record).
- Material:** A general term used to denote raw materials (starting materials, reagents, and solvents), process aids, intermediates, APIs, and packaging and labeling materials.
- Mother Liquor:** The residual liquid that remains after the crystallization or isolation processes. A mother liquor may contain unreacted materials, intermediates, levels of the API, and/or impurities. It may be used for further processing.
- Packaging:** All operations, including filling and labeling, that a bulk product has to undergo in order to become a finished product. Filling of a sterile product under aseptic conditions, or a product intended to be terminally sterilized, would not normally be regarded as part of packaging.
- Packaging Material:** Any material intended to protect an intermediate or API during storage and transport. Any material, including printed material, employed in the packaging of a pharmaceutical, but excluding any outer packaging used for transportation or shipment. Packaging materials are referred to as primary or secondary according to whether or not they are intended to be in direct contact with the product.
- Pharmaceutical Product:** Any material or product intended for human or veterinary use presented in its finished dosage form, or as a starting material for use in such a dosage form, that is subject to control by pharmaceutical legislation in the exporting state and/or the importing state.
- Procedure:** A documented description of the operations to be performed, the precautions to be taken, and measures to be applied directly or indirectly related to the manufacture of an intermediate or API.
- Process Aids:** Materials, excluding solvents, used as an aid in the manufacture of an intermediate or API that do not themselves participate in a chemical or biological reaction (e.g., filter aid, activated carbon, and so on).
- Process Control:** See In-Process Control.
- Production:** All operations involved in the preparation of a pharmaceutical product, from receipt of materials, through processing, packaging and repackaging, and labeling and relabeling, to completion of the finished product.
- Qualification:** Action of proving and documenting that equipment or ancillary systems are properly installed, work correctly, and actually lead to the expected results. Qualification is part of validation, but the individual qualification steps alone do not constitute process validation.
- Quality Assurance (QA):** The sum total of the organized arrangements made with the object of ensuring that all APIs are of the quality required for their intended use and that quality systems are maintained.
- Quality Control (QC):** Checking or testing that specifications are met.
- Quality Unit(s):** An organizational unit independent of production that fulfills both Quality Assurance and Quality Control responsibilities. This can be in the form of separate QA and QC units or a single individual or group, depending upon the size and structure of the organization.
- Quarantine:** The status of starting or packaging materials, intermediates, or bulk or finished products isolated physically or by other effective means while a decision is awaited on their release, rejection, or reprocessing.
- Raw Material:** A general term used to denote starting materials, reagents, and solvents intended for use in the production of intermediates or APIs.
- Reconciliation:** A comparison between the theoretical quantity and the actual quantity.
- Recovery:** The introduction of all or part of previous batches (or of redistilled solvents and similar products) of the required quality into another batch at a defined stage of manufacture. It includes the removal of impurities from waste to obtain a pure substance or the recovery of used materials for a separate use.
- Reference Standard, Primary:** A substance that has been shown by an extensive set of analytical tests to be authentic material that should be of high purity.
- Reference Standard, Secondary:** A substance of established quality and purity, as shown by comparison to a primary reference standard, used as a reference standard for routine laboratory analysis.
- Reprocessing:** Subjecting all or part of a batch or lot of an in-process drug, bulk process intermediate (final biological bulk intermediate), or bulk product of a single batch/lot to a previous step in the validated manufacturing process due to failure to meet predetermined specifications. Reprocessing procedures are foreseen as occasionally necessary for biological

drugs and in such cases, are validated and preapproved as part of the marketing authorization.

Retest Date: The date when a material should be reexamined to ensure that it is still suitable for use.

Reworking: Subjecting an in-process or bulk process intermediate (final biological bulk intermediate) or final product of a single batch to an alternate manufacturing process due to a failure to meet predetermined specifications. Reworking is an unexpected occurrence and is not preapproved as part of the marketing authorization.

Self-Contained Area: Premises that provide complete and total separation of all aspects of an operation, including personnel and equipment movement, with well-established procedures, controls, and monitoring. This includes physical barriers as well as separate air-handling systems but does not necessarily imply two distinct and separate buildings.

Signature (Signed): See definition for signed.

Signed (Signature): The record of the individual who performed a particular action or review. This record can be initials, a full handwritten signature, a personal seal, or an authenticated and secure electronic signature.

Solvent: An inorganic or organic liquid used as a vehicle for the preparation of solutions or suspensions in the manufacture of an intermediate or API.

Specification: A list of detailed requirements with which the products or materials used or obtained during manufacture have to conform. They serve as a basis for quality evaluation.

Standard Operating Procedure (SOP): An authorized written procedure giving instructions for performing

operations not necessarily specific to a given product or material (e.g., equipment operation, maintenance, and cleaning; validation; cleaning of premises and environmental control; sampling and inspection). Certain SOPs may be used to supplement product-specific master and batch production documentation.

Starting Material: Any substance of a defined quality used in the production of a pharmaceutical product, but excluding packaging materials.

Validation: A documented program that provides a high degree of assurance that a specific process, method, or system will consistently produce a result meeting predetermined acceptance criteria. Action of proving, in accordance with the principles of GMP, that any procedure, process, equipment, material, activity, or system actually leads to the expected results (see also Qualification).

Validation Protocol: A written plan stating how validation will be conducted and defining acceptance criteria. For example, the protocol for a manufacturing process identifies processing equipment, critical process parameters/operating ranges, product characteristics, sampling, test data to be collected, number of validation runs, and acceptable test results.

Yield, Expected: The quantity of material or the percentage of theoretical yield anticipated at any appropriate phase of production based on previous laboratory, pilot-scale, or manufacturing data.

Yield, Theoretical: The quantity that would be produced at any appropriate phase of production, based upon the quantity of material to be used, in the absence of any loss or error in actual production.

Appendix B: OTC Process and Ingredients

The Over-the-Counter (OTC) Drug Review was established to evaluate the safety and effectiveness of OTC drug products marketed in the United States before May 11, 1972. It is a three-phase public rulemaking process (each phase requiring a *Federal Register* publication) resulting in the establishment of standards (drug monographs) for an OTC therapeutic drug class.

The first phase was accomplished by advisory review panels. The panels were charged with reviewing the active ingredients in OTC drug products to determine whether these ingredients could be generally recognized as safe and effective for use in self-treatment. They were also charged with reviewing claims and recommending appropriate labeling, including therapeutic indications, dosage instructions, and warnings about side effects and preventing misuse.

The FDA published the panel's conclusions in the *Federal Register* in the form of an advanced notice of proposed rulemaking (ANPR). After publication of the ANPR, a period of time was allotted for interested parties to submit comments or data in response to the proposal.

According to the terms of the review, the panels classified ingredients into three categories as follows:

- Category I: generally recognized as safe and effective for the claimed therapeutic indication
- Category II: not generally recognized as safe and effective or unacceptable indications
- Category III: insufficient data available to permit final classification

The second phase of the OTC Drug Review is the FDA's review of active ingredients in each class of drugs, based on the panel's review of ingredients, on public comment, and on new data that may have become available. The FDA, in turn, publishes its conclusions in the *Federal Register* in the form of a tentative final monograph (TFM). After the publication of the TFM, a period of time is allotted for interested parties to submit comments or data in response to the FDA's proposal.

The publication of final regulations in the form of drug monographs is the third and last phase of the review process. The monographs establish conditions under which certain

OTC drug products are generally recognized as safe and effective. Products containing active ingredients or indications that are nonmonograph require an approved New Drug Application for marketing.

After publication, a final monograph may be amended, either on the Commissioner's own initiative or upon the petition of any interested person. OTC drug monographs are continually updated to add, change, or remove ingredients, labeling, or other pertinent information as needed.

The OTC drug category Web site contains Federal Register notices organized by therapeutic category subtopics. Each Web page also links to therapeutic category pages organized chronologically.

This rulemaking history site is intended as a research aid and is not an official Food and Drug Administration (FDA record). We have tried to make these histories accurate and complete. Should you find an error, however, please let us know so that we can correct it (Table 1).

OTC drugs can be brought to the market following the new drug application (NDA) process as described earlier or under an OTC monograph. Each OTC drug monograph is a kind of "recipe book" covering acceptable ingredients, doses, formulations, labeling, and in some cases, testing parameters. OTC drug monographs are continually updated to add additional ingredients and labeling as needed. Products conforming to a monograph may be marketed without FDA preapproval. The NDA and monograph processes can be used to introduce new ingredients into the OTC marketplace. For example, OTC drug products previously available only by prescription are first approved through the NDA process, and their "switch" to OTC status is approved via the NDA process. OTC ingredients marketed overseas can be introduced into the U.S. market via a monograph under a Time and Extent Application (TEA) as described in 21 CFR 330.14. For a more thorough discussion of how OTC drug products are regulated, visit FDA laws, regulations, and guidances that affect small business. Information is also provided on financial assistance and incentives that are available for drug development. Table 2 shows a comparison of the NDA and the OTC monograph process for approval (Table 3).

TABLE 1
Categories of OTC Products with Monographs (www.fda.gov/drugs/over-counter-otc-drugs/status-otc-rulemakings)

Acne	Alcohol	Allergy
Analgesic, External	Analgesic, Internal	Anorectal
Antacid	Anthelmintic	Antibiotic, First Aid
Anticaries	Anticholinergic	Antidiarrheal
Antiemetic	Antiflatulent	Antifungal
Antihistamine	Antimalarial	Antimicrobial
Antiperspirant	Antipyretic	Antirheumatic
Antitussive	Aphrodisiac	Astringent
Benign Prostatic Hypertrophy	Boil Treatment	Bronchodilator
Callus Remover	Camphorated Oil	Category 2/3 Active Ingredients
Cholecystokinetic	Cold and Cough	Colloidal Silver
Corn Remover	Dandruff	Daytime Sedative
Decongestant, Nasal	Dental Care	Deodorant, Internal
Diaper Rash	Digestive Aid	Drink Overindulgence
Exocrine Pancreatic Insufficiency	Expectorant	External Analgesic
Fever Blister	First Aid Antibiotic	Food Overindulgence
General Procedures and Policies	Hair Growth and Loss	Hormone
Hypophosphatemia/Hyperphosphatemia	Ingrown Toenail	Insect Bite and Sting
Insect Repellent, Oral	Internal Analgesic	Internal Deodorant
Labeling	Laxative	Leg Muscle Cramps
Male Genital Desensitizers	Menstrual	Nailbiting
Nasal Decongestant	Nighttime Sleep Aid	Ophthalmic
Oral Health Care	Oral Wound Healing	Otic
Overindulgence, Food and Drink	Pancreatic Insufficiency	Pediatric Dosing Information
Pediculicide	Poison Oak/Ivy	Poison Treatment
Prostatic Hypertrophy	Psoriasis	Seborrheic Dermatitis
Sedative, Daytime	Silver	Skin Bleaching
Skin Protectant	Sleep Aid, Nighttime	Smoking Deterrent
Stimulant	Stomach Acidifier	Sunscreen
Sweet Spirits of Nitre	Thumbsucking	Time and Extent Applications
Topical Analgesic	Vaginal Contraceptive	Vaginal Drug Products
Vitamins and Minerals	Wart Remover	Weight Control

TABLE 2
NDA versus OTC approval of drugs

NDA Approval process

Premarket approval—FDA reviews and approves formulation and labeling prior to marketing.
 Confidential filing
 Drug product specific
 May require a user fee
 Potential for marketing exclusivity
 FDA review timelines
 May require clinical studies, including studies on label comprehension and actual use
 Approved labeling is unique to the drug.
 Approved NDA is your "license" to market.
 Trade name reviewed prior to marketing

OTC Monograph Process

No premarket approval – FDA sets forth specific conditions for generally regarded as safe and effective (GRASE) or in the case of a developing monograph, sets forth conditions that allow continued marketing pending a final monograph. Oversight occurs on a postmarketing basis.
 Public process
 Active ingredient specific and evaluated by OTC drug category
 No user fees
 No marketing exclusivity
 Manufacturers responsible for ensuring compliant product with no FDA-mandated review (either pre or post market)
 Generally, does not require clinical studies. Label comprehension and actual use studies are not required for ingredients already covered by a final or tentative final monograph.
 Labeling is defined by the monograph. Once marketed, FDA can review the complete labeling at any time to determine whether it is truthful or misleading.
 Final monograph is open to anyone.
 No review of trade name prior to marketing. Once marketed, FDA can review the trade name at any time.

TABLE 3
Active Ingredients for OTC Monographs

UNII Code	Active Ingredient	Monograph	Subcategory	Panel	Pending	Final	FR Citation
93NOD9WBCS	2-ethylhexyl-4-phenylbenzophenone-2-carboxylic acid	sunscreen		II	IISE		58 FR 28281
36209ITL9D	acetaminophen	internal analgesic		I	I		
36209ITL9D	acetaminophen	menstrual/diuretic	analgesic	I			
36209ITL9D	acetaminophen	nighttime sleep aid		II	IISE		54 FR 6826
36209ITL9D	acetaminophen	overindulgence in alcohol	hangover reliever	II	I		
SP86R356CC	acetamillide	internal analgesic		II	IIS	310.545(a)(15)(i)	
Q40Q9N063P	acetic acid	otic		III	IIISE	[55 FR 33254]	[55 FR 33254]
Q40Q9N063P	acetic acid	wart remover		III	IIISE		
Q40Q9N063P	acetic acid, glacial	corn/callus remover	II	IIISE			
Q40Q9N063P	acetic acid, glacial	wart remover		III	IIISE		[55 FR 33254]
1364PS73AF	acetone	skin protectant	astringent	II		310.545(a)(18)(ii)	
	acidulated phosphate fluoride	anticaries			I	355.10(a)(3)(i)	
	acidulated phosphate fluoride	anticaries			I	355.10(a)(3)(ii)	
	agar	laxative	bulk laxative	II	III	310.545(a)(12)(i)	
18B809DQA2	alcloxa	acne		II	II	310.545(a)(1)	
18B809DQA2	alcloxa	anorectal		I(e)	I(e)	346.20(a)	
18B809DQA2	alcloxa	antifungal	keratolytic	III	III	310.545(a)(22)(ii)	
3K9958Y90M	alcohol	digestive aid		III	III	310.545(a)(8)(ii)	
3K9958Y90M	alcohol	external analgesic	insect bite/sting			310.545(a)(10)(vi)	
3K9958Y90M	alcohol	external analgesic	poison ivy/oak/sumac			310.545(a)(10)(vii)	
3K9958Y90M	alcohol	menstrual/diuretic				310.545(a)(24)(i)	
3K9958Y90M	alcohol	mercury			I		
3K9958Y90M	alcohol	oral health care		III	IIISE		
3K9958Y90M	alcohol	skin protectant	astringent	II		310.545(a)(18)(ii)	
3K9958Y90M	alcohol	skin protectant	insect bites/stings			310.545(a)(18)(v)(A)	
3K9958Y90M	alcohol	skin protectant	poison ivy/oak/sumac			310.545(a)(18)(vi)(A)	
3K9958Y90M	alcohol	skin protectant		II	IISE		
3K9958Y90M	alcohol	weight control			II	310.545(a)(20)	
3K9958Y90M	alcohol, ethoxylated alkyl	external analgesic	insect bite/sting			310.545(a)(10)(vi)	
3K9958Y90M	alcohol, ethoxylated alkyl	skin protectant	insect bites/stings			310.545(a)(18)(v)(A)	
8T66131YNK	aldioxa	skin protectant	diaper rash		IIISE		
SQ12NB Y9KR	alfalfa	weight control			II	310.545(a)(20)	
SQ12NB Y9KR	alfalfa leaves	menstrual/diuretic				310.545(a)(24)(i)	
8C3Z4148WZ	alginic acid	weight control			III	310.545(a)(20)	
	alkyl dimethyl amine oxide and alkyl dimethyl glycine	gingivitis/plaque			IIISE		

(Continued)

TABLE 3 (CONTINUED)
Active Ingredients for OTC Monographs.

UNII Code	Active Ingredient	Monograph	Subcategory	Panel	Pending	Final	FR Citation
	alkyl isoquinolinium bromide	acne		II	II	310.545(a)(1)	
	alkyl isoquinolinium bromide	dandruff		III	III	310.545(a)(7)	
344S277G0Z	allantoin	dandruff		III	III	310.545(a)(7)	
344S277G0Z	allantoin	external analgesic			IISE		
344S277G0Z	allantoin	skin protectant		I	I	347.10(a)	
344S277G0Z	allantoin (5-ureidohydantoin)	corn/callus remover	II	IISE		[55 FR 33261]	
344S277G0Z	allantoin (with aminobenzoic acid)	sunscreen		II	IIIE		58 FR 28281
344S277G0Z	allantoin (wound healing claims only)	skin protectant			III	310.545(a)(18)(i)(A)	
BN34FX42G3	allyl isothiocyanate	cough/cold	nasal decongestant			310.545(a)(6)(ii)(A)	
BN34FX42G3	allyl isothiocyanate	external analgesic	counterirritant	I	I	pending	
BN34FX42G3	allyl isothiocyanate	external analgesic	fever blister/cold sore	II	II	310.545(a)(10)(v)	
9104833TPA	almadrate sulfate	digestive aid	antacid	III	I		
V5VD430YW9, ZY	aloe ingredients (aloe, aloe extract, aloe flower extract)	laxative	stimulant laxative		I	310.545(a)(12)(iv)(C)	
09TD8L5SQV	aloe vera (see aloe)	gingivitis/plaque			IIIE	310.545(a)(24)(i)	
V5VD430YW9	aloes	menstrual/diuretic	stimulant laxative		III	310.545(a)(12)(iv)(A)	
W41H6S09F4	aloin	laxative	bulk laxative		III		
	alpha cellulose	laxative	laxative		III		
DPU64XYB1D	alum, ammonium	oral health care	astrigent	I	I		
DPU64XYB1D	alum, ammonium	skin protectant	astrigent	II	II	310.545(a)(18)(ii)	
1L24V9R23S	alum, potassium	antifungal	astrigent	III	III	310.545(a)(22)(ii)	
1L24V9R23S	alum, potassium	antiperspirant		IIIE	IIIE	310.545(a)(4)(i)	
1L24V9R23S	alum, potassium	oral health care			I		
1L24V9R23S	alum, potassium	skin protectant	astrigent	II	II	310.545(a)(18)(ii)	
LM126O6933	alumina powder, hydrated	antidiarrheal		III	III		
80EHD8143D	aluminum acetate	external analgesic			I	347.12(a)	
80EHD8143D	aluminum acetate	skin protectant	astrigent	I	I	347.12(a)	
80EHD8143D	aluminum acetate	skin protectant	diaper rash		IIIE		
	aluminum bromohydrate	antiperspirant		IISE	IISE	310.545(a)(4)(i)	
	aluminum carbonate gel (basic)	antacid			I	331.11(a)(1)	
	aluminum chlorhydroxy complex	skin protectant	astrigent	II	II	310.545(a)(18)(ii)	
LIF1N9568Y	aluminum chloride (alcoholic solutions)	antiperspirant		IIIS	IIIS	310.545(a)(4)(i)	
LIF1N9568Y	aluminum chloride (aqueous solution) (aerosol only)	antiperspirant				310.545(a)(4)(i)	

(Continued)

TABLE 3 (CONTINUED)
Active Ingredients for OTC Monographs.

UNII Code	Active Ingredient	Monograph	Subcategory	Panel	Pending	Final	FR Citation
LIF1N9568Y	aluminum chloride (nonaerosol aqueous solution)	antiperspirant		I	I	350.10(a)	
LIF1N9568Y	aluminum chloride hexahydrate	external analgesic			IIIE		
HPN8MZWI3M	aluminum chlorohydrate	antiperspirant		I	I	350.10(b)	
	aluminum chlorohydrate	acne		II	II	310.545(a)(1)	
	aluminum chlorohydrate	antiperspirant		I	I	350.10(c)	
	polyethylene glycol	antiperspirant					
	aluminum chlorohydrate	antiperspirant		I	I	350.10(d)	
	propylene glycol						
	aluminum dichlorohydrate	antiperspirant		I	I	350.10(e)	
	aluminum dichlorohydrate	antiperspirant		I	I	350.10(f)	
	polyethylene glycol						
	aluminum dichlorohydrate	antiperspirant		I	I	350.10(g)	
	propylene glycol						
5QB0T2IUN0	aluminum hydroxide	acne		II	II	310.545(a)(1)	
5QB0T2IUN0	aluminum hydroxide	antacid			I	331.11(a)(2)	
5QB0T2IUN0	aluminum hydroxide	antidiarrheal				310.545(a)(3)(i)	
5QB0T2IUN0	aluminum hydroxide	digestive aid	antacid	III	I	310.545(a)(8)(ii)	
5QB0T2IUN0	aluminum hydroxide	overindulgence in alcohol	hangover reliever		I		
5QB0T2IUN0	aluminum hydroxide	skin protectant	diaper rash			310.545(a)(18)(iii)	
5QB0T2IUN0	aluminum hydroxide	skin protectant	diaper rash			310.545(a)(18)(iii)	
5QB0T2IUN0	aluminum hydroxide	topical analgesic	diaper rash			310.545(a)(10)(iv)	
5QB0T2IUN0	aluminum hydroxide gel	anorectal	protectant	I(e,i)	I(e,i)	346.14(a)(1)	
5QB0T2IUN0	aluminum hydroxide gel	overindulgence in alcohol	hangover reliever		I		
5QB0T2IUN0	aluminum hydroxide gel	skin protectant		I	I	347.10(b)	
5QB0T2IUN0	aluminum hydroxide-hexitol, stabilized polymer	antacid			I	331.11(a)(2)	
5QB0T2IUN0	aluminum hydroxide-magnesium carbonate, codried gel	antacid			I	331.11(a)(2)	
5QB0T2IUN0	aluminum hydroxide-magnesium trisilicate, codried gel	antacid			I	331.11(a)(2)	
5QB0T2IUN0	aluminum hydroxide-sucrose powder hydrated	antacid			I	331.11(a)(2)	
F92V3S5210	aluminum phosphate	antacid			I	331.11(i)(1)	
F92V3S5210	aluminum phosphate gel (in combination only)	antacid			I	331.11(a)(4)	
UCN889409V	aluminum sesquichlorohydrate	antiperspirant		I	I	350.10(h)	

(Continued)

TABLE 3 (CONTINUED)
Active Ingredients for OTC Monographs.

UNII Code	Active Ingredient	Monograph	Subcategory	Panel	Pending	Final	FR Citation
	aluminum sesquichlorohydrate	antiperspirant		I	I	350.10(i)	
	polyethylene glycol	antiperspirant		I	I	350.10(j)	
34S289N54E	propylene glycol	antifungal				310.545(a)(22)(ii)	
34S289N54E	aluminum sulfate	antiperspirant		IIIE	IIIE	310.545(a)(4)(i)	
	aluminum sulfate buffered	antiperspirant	w/ sales less than \$25,000	I	IIIS	310.545(a)(4)(ii)	
	w/ sodium aluminum lactate						
I7T908772F	aluminum sulfate, anhydrous	skin protectant	astringent	I	I	347.12(b)	
	aluminum sulfate, buffered	antiperspirant		I	IIIS	310.545(a)(4)(i)	
	(aerosol only)						
481Y8HDW2B	aluminum zirconium	antiperspirant		I	IIS	350.10(k)	
	octachlorohydrate						
P9D3YP29MY	aluminum zirconium	antiperspirant		I	IIS	350.10(l)	
	octachlorohydrate gly						
15K31617MU	aluminum zirconium	antiperspirant		I	IIS	350.10(m)	
	pentachlorohydrate						
94703016SM	aluminum zirconium	antiperspirant		I	IIS	350.10(n)	
	pentachlorohydrate gly						
8O386558JE	aluminum zirconium	antiperspirant		I	IIS	350.10(o)	
	tetrachlorohydrate						
8O386558JE	aluminum zirconium	antiperspirant		I	IIS	350.10(p)	
	tetrachlorohydrate gly						
T27D6T99LH	aluminum zirconium	antiperspirant		I	IIS	350.10(q)	
	trichlorohydrate						
T27D6T99LH	aluminum zirconium	antiperspirant		I	IIS	350.10(r)	
	trichlorohydrate gly						
376KTP06K8	amiloxate (isoamy)	sunscreen		NA			
	p-methoxycinnamate)						
OR5RM3Q5QL	aminacrine hydrochloride	boil treatment			IIIE	310.531(a)	
TE7660XO1C	aminoacetic acid	antiemetic		II	II		
TL2TJE8QTX	aminobenzoic acid	internal analgesic		II	II	310.545(a)(23)(i)	
TL2TJE8QTX	aminobenzoic acid (PABA)	sunscreen		I	I	352.10(a)	
27Y3KJK423	aminophylline	cough/cold	bronchodilator	I	II	310.545(a)(6)(iv)	
5138Q19F1X	ammonia solution, strong	skin protectant	insect bites/stings			310.545(a)(18)(v)(A)	
5138Q19F1X	ammonia solution, strong	external analgesic	fever blister/cold sore	I	I	310.545(a)(10)(v)	
	(ammonia water, strong)						
JD546Z56F0	ammoniated mercury	antimicrobial	first aid antiseptic			310.545(a)(27)(i)	

(Continued)

TABLE 3 (CONTINUED)
Active Ingredients for OTC Monographs.

UNII Code	Active Ingredient	Monograph	Subcategory	Panel	Pending	Final	FR Citation
R0JB3224WS	ammonium bromide	nighttime sleep aid	bromide	II	IISE		[54 FR 6826]
R0JB3224WS	ammonium chloride	cough/cold	expectorant		III	310.545(a)(6)(iii)	
R0JB3224WS	ammonium chloride	menstrual/diuretic	diuretic	I	I		
R0JB3224WS	ammonium chloride	nighttime sleep aid		II	II		
R0JB3224WS	ammonium chloride	oral health care	expectorant	III	III		[39 FR 6104]
5138Q19F1X	ammonium hydroxide	skin protectant			III	310.545(a)(18)(v)(A)	
YOJ58O116E	amylase	digestive aid			III	310.545(a)(8)(ii)	
	any/tricresols, secondary	antifungal			III	310.545(a)(22)(ii)	
	any/tricresols, secondary	oral health care			III		
	anion and cation exchange resins	skin protectant			III		
	buffered		poison ivy/oak/sumac		III	310.545(a)(18)(vi)(A)	
6Y89129C8H	anise oil	weight control			II	310.545(a)(20)	
21C2F5E8RE	anise seed	digestive aid			II	310.545(a)(8)(ii)	
DL60Z476V3	antimony potassium tartrate	cough/cold	expectorant		II	310.545(a)(6)(iii)	
T3CHA1B51H	antipyrine	internal analgesic		III	III	310.545(a)(23)(i)	
T3CHA1B51H	antipyrine	ophthalmic	anesthetic	II	II	310.545(a)(21)(i)	
T3CHA1B51H	antipyrine	oral health care	anesthetic/analgesic	II	II	310.545(a)(14)	
7C0697DR9I	any atropine ingredient	internal analgesic			II	310.545(a)(23)(ii)	
7C0697DR9I	any atropine ingredient	menstrual/diuretic			II	310.545(a)(24)(ii)	
GN83C131XS	any ephedrine ingredient	internal analgesic			II	310.545(a)(23)(ii)	
GN83C131XS	any ephedrine ingredient	menstrual/diuretic			II	310.545(a)(24)(ii)	
94ZLA3W45F	arginine	weight control			II	310.545(a)(20)	
	aromatic powder	digestive aid			II	310.545(a)(8)(ii)	
	aromatics	skin protectant	astringent	II	II	310.545(a)(18)(ii)	
W9FZA51AS1	asafetida	digestive aid			II	310.545(a)(8)(ii)	
Y62M9GTW4A	<i>Asclepias tuberosa</i>	menstrual/diuretic	botanical/vegetable herb	II	II	310.545(a)(24)(i)	
PQ6CK8PD0R	ascorbic acid	corn/callus remover	II	IISE	III	[55 FR 33261]	[55 FR 33254]
PQ6CK8PD0R	ascorbic acid	wart remover		III	III		
PQ6CK8PD0R	ascorbic acid	weight control			II	310.545(a)(20)	
Z1EJP3037Z	asparagus	menstrual/diuretic			II	310.545(a)(24)(i)	
Q6Z8UK5R3G	<i>Aspergillus oryzae</i> enzymes (except lactase enzyme derived from aspe)	digestive aid			II	310.545(a)(8)(ii)	
R16CO5Y76E	aspirin	external analgesic	analgesic and anesthetic	III	III	310.545(a)(10)(i)	
R16CO5Y76E	aspirin	external analgesic	fever blister/cold sore	III	III	310.545(a)(10)(v)	
R16CO5Y76E	aspirin	external analgesic	poison ivy/oak/sumac	III	III	310.545(a)(10)(vii)	
R16CO5Y76E	aspirin	internal analgesic	cardiovascular	I	I	343.12(a)	

(Continued)

TABLE 3 (CONTINUED)
Active Ingredients for OTC Monographs.

UNII Code	Active Ingredient	Monograph	Subcategory	Panel	Pending	Final	FR Citation
R16CO5Y76E	aspirin	internal analgesic	rheumatologic	I	I	343.13(a)	
R16CO5Y76E	aspirin	menstrual/diuretic	analgesic	II	I		[54 FR 6826]
R16CO5Y76E	aspirin	nighttime sleep aid		I	III		
R16CO5Y76E	aspirin	oral health care	anesthetic/analgesic	I	III		
R16CO5Y76E	aspirin	overindulgence in alcohol	hangover reliever	I	I		
R16CO5Y76E	aspirin (buffered)	internal analgesic	cardiovascular	I	I	343.12(b)	
R16CO5Y76E	aspirin (buffered)	internal analgesic	rheumatologic	I	I	343.13(b)	
R16CO5Y76E	aspirin, aluminum	internal analgesic		III	III	310.545(a)(23)(i)	
R16CO5Y76E	aspirin, calcium	internal analgesic		I	I		
R16CO5Y76E	aspirin, calcium	internal analgesic		I	I		
R16CO5Y76E	aspirin, calcium	menstrual/diuretic		I	I		
WQZ3G9PF0H	<i>Atropa belladonna</i>	cough/cold	anticholinergic	II(e,i)	II	310.53	
7C0697DR9I	atropine	anorectal	anticholinergic	II(e,i)	II(e,i)	310.545(a)(26)(i)	
0315ZE7KA5	atropine sulfate	antidiarrheal	anticholinergic	III	III	310.545(a)(3)(i)	
0315ZE7KA5	atropine sulfate	cough/cold	anticholinergic	III	III	310.53	
U6V729APAM	atapulgit, activated	antidiarrheal	w/ sales less than \$25,000	III	I	310.545(3)(ii)	
G63QQF2NOX	avobenzone	sunscreen				352.10(a)	
58HR6WO52I	<i>Bacillus acidophilus</i>	digestive aid				310.545(a)(8)(ii)	
89Y4M234ES	bacitracin	first aid antibiotic	ointment	II	I	333.110(a)	
	bacitracin zinc	first aid antibiotic	ointment	I	I	333.110(b)	
	barosma	menstrual/diuretic		III	III	310.545(a)(24)(i)	
5P5C03819W	basic fuchsin	antifungal		III	III	310.545(a)(22)(ii)	
	beechwood creosote	cough/cold	expectorant	III	III	310.545(a)(6)(iii)	
8WB9PV3YW5	bean	digestive aid		II	II	310.545(a)(8)(ii)	
3M5V3D1X36	bearberry	weight control				310.545(a)(20)	
3M5V3D1X36	bearberry (extract of <i>Uva ursi</i>)	menstrual/diuretic				310.545(a)(24)(i)	
3M5V3D1X36	bearberry fluid extract (extract of bearberry)	menstrual/diuretic				310.545(a)(24)(i)	
2ZA36H0S2V	beeswax	skin protectant	insect bites/stings w/ sales less than \$25,000		310.545(a)(18)(v)(B)		
2ZA36H0S2V	beeswax	skin protectant	poison ivy/oak/sumac w/ sales less than \$25,000		310.545(a)(18)(v)(B)		
2ZA36H0S2V	beeswax	skin protectant	w/ sales less than \$25,000			310.545(a)(18)(i)(B)	
WQZ3G9PF0H	belladonna alkaloids	corn/callus remover	II	IIIE		[55 FR 33261]	
WQZ3G9PF0H	belladonna alkaloids	cough/cold	anticholinergic	II	II	310.53	
WQZ3G9PF0H	belladonna alkaloids	cough/cold	bronchodilator	II	II	310.545(a)(6)(iv)	
WQZ3G9PF0H	belladonna alkaloids	digestive aid				310.545(a)(8)(ii)	
WQZ3G9PF0H	belladonna extract	anorectal	anticholinergic	II(e,i)	II(e,i)	310.545(a)(26)(i)	

(Continued)

TABLE 3 (CONTINUED)
Active Ingredients for OTC Monographs.

UNII Code	Active Ingredient	Monograph	Subcategory	Panel	Pending	Final	FR Citation
6GZW20TIOI	belladonna leaves, powdered extract	digestive aid		NA		310.545(a)(8)(ii)	
PWZ1720CBH	bemotrizinol	sunscreen			I		
F5UM2KM3W7	benzalkonium chloride	antimicrobial			IIISE		
F5UM2KM3W7	benzalkonium chloride	antimicrobial		III	III	310.545(a)(7)	
F5UM2KM3W7	benzalkonium chloride	dandruff				310.545(a)(10)(vi)	
F5UM2KM3W7	benzalkonium chloride	external analgesic	insect bite/sting			310.545(a)(18)(ii)	
F5UM2KM3W7	benzalkonium chloride	skin protectant	astringent	II		310.545(a)(18)(v)(A)	
F5UM2KM3W7	benzalkonium chloride	skin protectant	insect bites/stings			310.545(a)(22)(ii)	
PH41D05744	benzethonium chloride	antifungal			I		
PH41D05744	benzethonium chloride	antimicrobial			IIIE		
PH41D05744	benzethonium chloride	antimicrobial			IIISE		
PH41D05744	benzethonium chloride	antimicrobial			IISE		
PH41D05744	benzethonium chloride	antimicrobial		III	III	310.545(a)(7)	
PH41D05744	benzethonium chloride	dandruff				310.545(a)(10)(vii)	
PH41D05744	benzethonium chloride	external analgesic	poison ivy/oak/sumac	III		310.545(a)(18)(ii)	
PH41D05744	benzethonium chloride	skin protectant	astringent	II		310.545(a)(18)(vi)(A)	
PH41D05744	benzethonium chloride	skin protectant	poison ivy/oak/sumac		IIIE	IISE (0.5-1.25%)	
U3RSY48JW10	benzocaine	external analgesic	anesthetic/analgesic	I	I		[51 FR 28660]
U3RSY48JW11	benzocaine	oral health care			IISE		
U3RSY48JW12	benzocaine	otic		II	II	310.545(a)(25)(i)	
U3RSY48JW13	benzocaine	pediculicide		I			
U3RSY48JW14	benzocaine	relief of oral discomfort		IIIE			
U3RSY48JW15	benzocaine	relief of oral discomfort		II			
U3RSY48JW16	benzocaine	skin protectant	astringent	II		310.545(a)(18)(ii)	
U3RSY48JW17	benzocaine	skin protectant	poison ivy/oak/sumac		IISE		[55 FR 33254]
U3RSY48JW18	benzocaine	wart remover		II	IISE		
U3RSY48JW19	benzocaine	weight control			IISE		
U3RSY48JW5	benzocaine	acne		II	II	310.545(a)(1)	
U3RSY48JW5	benzocaine	external analgesic	male genital desensitizer	I	I	348.10(a)(1)	
U3RSY48JW6	benzocaine	anorectal	local anesthetic	I(e),III(i)	I(e),III(i)	346.10(a)	
U3RSY48JW7	benzocaine	dandruff		II	III		[56 FR 63567]
U3RSY48JW9	benzocaine (0.5-1.25%)	external analgesic	poison ivy/oak/sumac	I	I	310.545(a)(10)(vii)	
8SKN0B0MIM	benzoic acid	acne		II	II	310.545(a)(1)	
8SKN0B0MIM	benzoic acid	antifungal		III	III	310.545(a)(22)(ii)	
8SKN0B0MIM	benzoic acid	oral health care			IIIE		
8SKN0B0MIM	benzoic acid	skin protectant	astringent	II		310.545(a)(18)(ii)	

(Continued)

TABLE 3 (CONTINUED)
Active Ingredients for OTC Monographs.

UNII Code	Active Ingredient	Monograph	Subcategory	Panel	Pending	Final	FR Citation
L7J6A1NE81	benzoin preparations (compound tincture of benzoin, tincture of benz)	cough/cold	expectorant		III	310.545(a)(6)(iii)	
L7J6A1NE81	benzoin tincture, compound	relief of oral discomfort		I			
5P4DHS6ENR	benzonatate	cough/cold	antitussive		I		
GRE0P19C3Z	benzoxiquine	antifungal		III		310.545(a)(22)(ii)	
W9WZLN9A0GM	benzoyl peroxide	acne		I	I-> IIS	333.310(a)	75 FR 9767
LKG8494WBH	benzyl alcohol	anorectal	local anesthetic	III(e,i)	I(e),III(i)	346.10(b)	
LKG8494WBH	benzyl alcohol	external analgesic	analgesic and anesthetic	I	I	pending	
LKG8494WBH	benzyl alcohol	external analgesic	male genital desensitizer			310.545(a)(10)(iii)	
LKG8494WBH	benzyl alcohol	oral health care	anesthetic/analgesic	I	I		
LKG8494WBH	benzyl alcohol	pediculicide		II	II	310.545(a)(25)(i)	
LKG8494WBH	benzyl alcohol	relief of oral discomfort		I			
LKG8494WBH	benzyl alcohol	relief of oral discomfort		IIISE			
LKG8494WBH	benzyl alcohol	skin protectant	poison ivy/oak/sumac	II	II	310.545(a)(18)(vi)(A)	
N863NB338G	benzyl benzoate	pediculicide				310.545(a)(25)(i)	
JK8U8K4D6K	betaine hydrochloride	digestive aid				310.545(a)(8)(ii)	
	bicarbonate	antacid				331.11(b)	
6SO6U10H04	bile salts/acids	laxative	stimulant laxative		III	310.545(a)(12)(iv)(A)	
10X0709Y6I	biotin	weight control			II	310.545(a)(20)	
JB5Y63JDHJ	bisacodyl	laxative			I		
M41L2IN55T	bismuth aluminate	antacid			I	331.11(c)(1)	
M41L2IN55T	bismuth carbonate	antacid			I	331.11(c)(2)	
A614E79QF1	bismuth oxide	anorectal	protectant	III(e,i)	III(e,i)	310.545(a)(26)(viii)	
M41L2IN55T	bismuth sodium tartrate	digestive aid		II	II	310.545(a)(8)(t)	
M41L2IN55T	bismuth sodium tartrate	external analgesic	fever blister/cold sore			310.545(a)(10)(v)	
M41L2IN55T	bismuth subcarbonate	anorectal	protectant	III(e,i)	III(e,i)	310.545(a)(26)(viii)	
M41L2IN55T	bismuth subcarbonate	antacid			I	331.11(c)(3)	
YIW503MI7V	bismuth subcarbonate	digestive aid			III(e,i)	310.545(a)(8)(ii)	
YIW503MI7V	bismuth subgallate	anorectal	protectant	III(e,i)	III(e,i)	310.545(a)(26)(viii)	
YIW503MI7V	bismuth subgallate	antacid			I	331.11(c)(4)	
YIW503MI7V	bismuth subgallate	deodorants for internal use	III	I	357.810(a)		
YIW503MI7V	bismuth subgallate	digestive aid				310.545(a)(8)(ii)	
H191064BA5	bismuth subnitrate	skin protectant		II	IIS		
YIW503MI7V	bismuth subnitrate	anorectal	protectant	II(e,i)	II(e,i)	310.545(a)(26)(viii)	
YIW503MI7V	bismuth subnitrate	antacid			I	331.11(c)(5)	
YIW503MI7V	bismuth subnitrate	antidiarrheal	w/ sales less than \$25,000	III	III	310.545(a)(3)(ii)	
YIW503MI7V	bismuth subnitrate	skin protectant	fever blister/cold sore	II	II	310.545(a)(18)(iv)	

(Continued)

TABLE 3 (CONTINUED)
Active Ingredients for OTC Monographs.

UNII Code	Active Ingredient	Monograph	Subcategory	Panel	Pending	Final	FR Citation
Y1W503MI7V	bismuth subnitrate	skin protectant	insect bites/stings w/ sales less than \$2	II	II	310.545(a)(18)(v)(B)	
Y1W503MI7V	bismuth subnitrate	skin protectant	poison ivy/oak/sumac	II	II	310.545(a)(18)(vi)(A)	
Y1W503MI7V	bismuth subnitrate	skin protectant	poison ivy/oak/sumac w/ sales less than \$2	II	II	310.545(a)(18)(vi)(B)	
Y1W503MI7V	bismuth subnitrate	skin protectant	w/ sales less than \$25,000	II	II	310.545(a)(18)(i)(B)	
62TEY5 IRR1	bismuth subsalicylate	antidiarrheal		III	IIIE	335.10(a)	
62TEY5 IRR2	bismuth subsalicylate	antiemetic			III		
62TEY5 IRR3	bismuth subsalicylate	antimicrobial			IIIE		
62TEY5 IRR4	bismuth subsalicylate	oral health care			IIIE		
62TEY5 IRR5	bismuth subsalicylate	overindulgence in a	overindulgence		I		
8NT850T0YS	bisocritazole	sunscreen		NA			
AMT77LS62O	bithionol	external analgesic	poison ivy/oak/sumac			310.545(a)(10)(vii)	
AMT77LS62O	bithionol	skin protectant	poison ivy/oak/sumac			310.545(a)(18)(vi)(A)	
86R5J6D01D	black radish powder	digestive aid				310.545(a)(8)(ii)	
6L5ZL09795	blessed thistle (<i>Chnicus benedictus</i>)	digestive aid				310.545(a)(8)(ii)	
6L5ZL09795	blessed thistle (<i>Cnicus benedictus</i>)	menstrual/diuretic				310.545(a)(24)(i)	
R57ZHV85D10	bone marrow, red	weight control	fever blister/cold sore		II	310.545(a)(20)	
R57ZHV85D11	boric acid	skin protectant	insect bites/stings w/ sales less than \$2	II	II	310.545(a)(18)(iv)	
R57ZHV85D12	boric acid	skin protectant	poison ivy/oak/sumac	II	II	310.545(a)(18)(vi)(A)	
R57ZHV85D13	boric acid	skin protectant	poison ivy/oak/sumac w/ sales less than \$2	II	II	310.545(a)(18)(vi)(B)	
R57ZHV85D14	boric acid	skin protectant	w/ sales less than \$25,000	II	II	310.545(a)(18)(i)(B)	
R57ZHV85D4	boric acid	acne		II	II	310.545(a)(1)	
R57ZHV85D5	boric acid	anorectal	antiseptic	II(e,i)	II(e,i)	310.545(a)(26)(ii)	
R57ZHV85D6	boric acid	antifungal		III		310.545(a)(22)(ii)	
R57ZHV85D7	boric acid	dandruff		II	II	310.545(a)(7)	
R57ZHV85D8	boric acid	ophthalmic	anti-infective	III	II	310.545(a)(21)(ii)	
R57ZHV85D9	boric acid	skin protectant	astringent	II	II	310.545(a)(18)(ii)	
LU86E5P72A	bomelone	sunscreen		III	IIIE		58 FR 28281
	(5-(3,3-dimethyl-2-norbornyliden)3-pentene-2-one)						
	bomyl acetate (topical)	cough/cold	nasal decongestant	III	III	310.545(a)(6)(ii)(B)	
	boroglycerin	anorectal	antiseptic	II(e,i)	II(e,i)	310.545(a)(26)(ii)	

(Continued)

TABLE 3 (CONTINUED)
Active Ingredients for OTC Monographs.

UNII Code	Active Ingredient	Monograph	Subcategory	Panel	Pending	Final	FR Citation
	boroglycerin	oral health care			IISE		
	bran	laxative			I		
IXA7C9ZN03	brompheniramine maleate		antihistamine	I	I	341.12(a)	
KOS935A04V	buchu	weight control			II	310.545(a)(20)	
KOS935A04V	buchu powdered extract (extract of buchu)	menstrual/diuretic		II	II	310.545(a)(24)(i)	
KOS935A04V	buchu, potassium extract	weight control			II	310.545(a)(20)	
2C5Q57D7Z4	buckthorn	digestive aid				310.545(a)(8)(ii)	
PAU39W3CVB	butacaine sulfate	relief of oral discomfort		I			
PAU39W3CVB	butacaine sulfate	relief of oral discomfort		IISE			
	butamben picrate	external analgesic		I	I		
3G6A5W338E	caffeine	cough/cold	miscellaneous		IIIE		
3G6A5W338E	caffeine	internal analgesic		III	IIIE		
3G6A5W338E	caffeine	menstrual/diuretic	analgesic	III			
3G6A5W338E	caffeine	menstrual/diuretic	diuretic	I	I		
3G6A5W338E	caffeine	nighttime sleep aid	stimulant		I		
3G6A5W338E	caffeine	overindulgence in alcohol	hangover reliever		I		
3G6A5W338E	caffeine	stimulant			I	340.10	
3G6A5W338E	caffeine	weight control			II	310.545(a)(20)	
3G6A5W338E	caffeine	weight control			II	310.545(a)(20)	
U26E04675Q	caffeine citrate	anorectal	astrigent	I(e,i)	I(e,i)	346.18(a)	
	calamine	external analgesic	insect bite/sting			310.545(a)(10)(vi)	
	calamine	external analgesic	poison ivy/oak/sumac			310.545(a)(10)(vii)	
	calamine	skin protectant		I	I	347.10(c)	
	calamine (in combination only)	anorectal	protectant	I(e,i)	I(e,i)	346.14(b)(1)	
	calcium	weight control			II	310.545(a)(20)	
SY7Q814VUP	calcium (mono or dibasic salt)	antacid				331.11(ii)(2)	
Y882YXF34X	calcium acetate	skin protectant	astrigent	II		310.545(a)(18)(ii)	
H0G9379FGK	calcium carbonate	antacid			I	331.11(d)	
H0G9379FGK	calcium carbonate	digestive aid	antacid	III	I	310.545(a)(8)(i)	
H0G9379FGK	calcium carbonate	weight control			II	310.545(a)(20)	
H0G9379FGK	calcium carbonate precipitated	antidiarrheal		III	III	310.545(a)(3)(i)	
	calcium caseinate	weight control			II	310.545(a)(20)	
SQE6VB453K	calcium gluconate	digestive aid				310.545(a)(8)(ii)	
PF5DZW74VN	calcium hydroxide	antidiarrheal	w/ sales less than \$25,000	III	III	310.545(a)(3)(ii)	
2URQ2N32W3	calcium lactate	menstrual/diuretic				310.545(a)(24)(i)	
2URQ2N32W3	calcium lactate	weight control			II	310.545(a)(20)	
568ET80C3D	calcium pantothenate	laxative	stimulant laxative		III	310.545(a)(12)(iv)(A)	

(Continued)

TABLE 3 (CONTINUED)
Active Ingredients for OTC Monographs.

UNII Code	Active Ingredient	Monograph	Subcategory	Panel	Pending	Final	FR Citation
568ET80C3D	calcium pantothenate	menstrual/diuretic		III	IIIE	310.545(a)(24)(i)	[55 FR 33254]
568ET80C3D	calcium pantothenate	wart remover			II	310.545(a)(20)	
568ET80C3D	calcium pantothenate	weight control			I	331.11(d)	
H0G9379FGK	calcium phosphate	antacid		NC	I	310.545(a)(3)(ii)	
	calcium polycarbophil	antidiarrheal	w/ sales less than \$25,000		I		
	calcium polycarbophil	laxative	bulk laxative		I		
	calcium polysulfide	acne		II	II	310.545(a)(1)	
	calcium salicylate	internal analgesic			II	310.545(a)(23)(i)	
	calcium sucrose phosphate	antiacaries		II	NC	310.545(a)(2)(ii)	
210100728E	calcium thiosulfate	acne		II	II	310.545(a)(1)	
77YWIRTU8V	calcium undecylenate	antifungal		I	I	333.210(f)	
77YWIRTU8V	calcium undecylenate	antimicrobial			IIISE		
77YWIRTU8V	calcium undecylenate	dandruff					
J2D46N657D	calomel	laxative	stimulant laxative		II	310.545(a)(7)	
J2D46N657D	calomel (mercurous chloride)	antimicrobial	first aid antiseptic		II	310.545(a)(12)(iv)(A)	
5TJD82A1ET	camphor	acne		II	II	310.545(a)(27)(i)	
5TJD82A1ET	camphor	anorectal	analgesic, anesthetic, antipruritic		I(e)	310.545(a)(1)	
5TJD82A1ET	camphor	anorectal	counterirritant	II(e,i)	II(e,i)	310.545(a)(26)(iv)	
5TJD82A1ET	camphor	antifungal		II	II	310.545(a)(22)(ii)	
5TJD82A1ET	camphor	cough/cold	antitussive	III	IIIE	341.14(b)(1)	steam inhalation
5TJD82A1ET	camphor	cough/cold	antitussive	III	I	341.14(b)(1)	topical
5TJD82A1ET	camphor	cough/cold	expectorant	III	III	310.545(a)(6)(iii)	topical/inhalant
5TJD82A1ET	camphor	cough/cold	nasal decongestant	III	IIIE		[59 FR 43408]
5TJD82A1ET	camphor	external analgesic		I	I		
5TJD82A1ET	camphor	external analgesic		I	IISE		
5TJD82A1ET	camphor	oral health care	anesthetic/analgesic	II	IISE		
5TJD82A1ET	camphor	oral health care	nonantimicrobial			310.545(a)(14)	
5TJD82A1ET	camphor	relief of oral discomfort		IISE			
5TJD82A1ET	camphor	skin protectant	insect bites/stings			310.545(a)(18)(v)(A)	
5TJD82A1ET	camphor	skin protectant	poison ivy/oak/sumac	inactive		310.545(a)(18)(vi)(A)	
5TJD82A1ET	camphor	sunscreen					
5TJD82A1ET	camphor	wart remover		II	IISE		43 FR 38208
5TJD82A1ET	camphor (exceeding 3 percent)	external analgesic	fever blister/cold sore	I	I	310.545(a)(10)(v)	[55 FR 33254]
5TJD82A1ET	camphor (lozenge)	cough/cold	nasal decongestant	III	III	310.545(a)(6)(ii)(A)	
5TJD82A1ET	camphor gum	skin protectant	astringent	II	III	310.545(a)(18)(ii)	
	camphorated metaeresol	antifungal		III	III	310.545(a)(22)(iv)	
	camphorated metaeresol	external analgesic	analgesic and anesthetic	IIISE	I	pending	

(Continued)

TABLE 3 (CONTINUED)
Active Ingredients for OTC Monographs.

UNII Code	Active Ingredient	Monograph	Subcategory	Panel	Pending	Final	FR Citation
	camphorated metaeresol	external analgesic	male genital desensitizer			310.545(a)(10)(iii)	
	candidin	antifungal		II	II	310.545(a)(22)(ii)	
S07044R1ZM	capsaicin	external analgesic	fever blister/cold sore	I	I	310.545(a)(10)(v)	
S07044R1ZM	capsicum	digestive aid				310.545(a)(8)(ii)	
S07044R1ZM	capsicum	external analgesic	fever blister/cold sore	I	I	310.545(a)(10)(v)	
S07044R1ZM	capsicum	relief of oral discomfort		IIIE			
S07044R1ZM	capsicum	relief of oral discomfort		IIIE			
S07044R1ZM	capsicum oleoresin	external analgesic	fever blister/cold sore	I	I	310.545(a)(10)(v)	
S07044R1ZM	capsicum oleoresin	menstrual/diuretic				310.545(a)(24)(i)	
S07044R1ZM	capsicum, fluid extract of carbon	digestive aid				310.545(a)(8)(ii)	
	captan	dandruff		III	III	310.545(a)(7)	[52 FR 30054]
09TQU5PG95	caramiphen edisylate	cough/cold	antitussive	III	IIIE		
31PZ2VAU81	carbamide peroxide	oral health care					
31PZ2VAU81	carbamide peroxide	oral health care					
31PZ2VAU81	carbamide peroxide (in anhydrous glycerin)	oral health care	wound cleansing	I	I		
31PZ2VAU81	carbamide peroxide 6.5% (in anhydrous glycerin)	otic				344.10	
N667F17P1	carbaspirin calcium	internal analgesic		I	I		
N667F17P1	carbaspirin calcium	menstrual/diuretic	analgesic	I			
4SH0MFI5HJ	carbetapentane citrate	cough/cold	antitussive	III	IIIE		[52 FR 30054]
2P3VWU3H10	carbon	digestive aid					
142M471B3J	carbon dioxide, released	laxative					
K6790BS311	carboxymethylcellulose sodium	antidiarrheal				310.545(a)(3)(i)	
K6790BS311	carboxymethylcellulose sodium	laxative					
K6790BS311	carboxymethylcellulose sodium	ophthalmic	demulcents	I	I	349.12(a)(1)	
K6790BS311	carboxymethylcellulose sodium	weight control				310.545(a)(20)	
5C69YCD2YJ	carrageenan	weight control				310.545(a)(20)	
5C69YCD2YJ	carrageenan (degraded)	laxative	bulk laxative			310.545(a)(12)(i)	
5C69YCD2YJ	carrageenan (native)	laxative	bulk laxative			310.545(a)(12)(i)	
	casanthranol	laxative	stimulant laxative			310.545(a)(12)(iv)(C)	
	cascaara fluid extract aromatic	laxative	stimulant laxative			310.545(a)(12)(iv)(C)	
	cascaara fluid extract, aromatic (extract of cascara)	menstrual/diuretic				310.545(a)(24)(i)	
4VBP01X99F	cascaara sagrada bark	laxative	stimulant laxative			310.545(a)(12)(iv)(C)	
	cascaara sagrada extract	digestive aid				310.545(a)(8)(ii)	
	cascaara sagrada extract	laxative	stimulant laxative			310.545(a)(12)(iv)(C)	
	cascaara sagrada fluid extract	laxative	stimulant laxative			310.545(a)(12)(iv)(C)	

(Continued)

TABLE 3 (CONTINUED)
Active Ingredients for OTC Monographs.

UNII Code	Active Ingredient	Monograph	Subcategory	Panel	Pending	Final	FR Citation
D5340Y2I9G	castor oil	laxative	stimulant laxative	II	I		
D5340Y2I9G	castor oil	wart remover			IISE		[55 FR 33254]
48300360NZ	catechu, tinctures	digestive aid				310.545(a)(8)(ii)	
7Y51EV0DZW	catnip	digestive aid				310.545(a)(8)(ii)	
BJ169U4NLG	cedar leaf oil (topical)	cough/cold	nasal decongestant	III	III	310.545(a)(6)(ii)(B)	
	cellulase	digestive aid		II/III	III	310.545(a)(8)(i)	
SMD1X3X09M	cellulose, microporous	skin protectant	diaper rash		IIISE		
85474O1N9D	cetalkonium chloride	external analgesic	poison ivy/oak/sumac			310.545(a)(10)(viii)	
85474O1N9D	cetalkonium chloride	skin protectant	poison ivy/oak/sumac			310.545(a)(18)(vi)(A)	
9361ST6JCN	cetyl alcohol	skin protectant	insect bites/stings w/ sales less than \$25,000		310.545(a)(18)(v)(B)		
9361ST6JCN	cetyl alcohol	skin protectant	poison ivy/oak/sumac w/ sales less than \$25,000	310.545(a)(18)(vi)(B)			
9361ST6JCN	cetyl alcohol	skin protectant	w/ sales less than \$25,000			310.545(a)(18)(i)(B)	
D90M4SK49P	cetylpyridinium chloride	oral health care			IIISE		
O2T154T6OG	chamomile flowers	digestive aid				310.545(a)(8)(ii)	
2P3VWU3H10	charcoal (activated)	antidiarrheal	w/ sales less than \$25,000	III	III	310.545(a)(3)(ii)	
2P3VWU3H10	charcoal, activated	acute toxic ingestion		I	IIIE		
2P3VWU3H10	charcoal, activated	antacid					
2P3VWU3H10	charcoal, activated	deodorants for internal use	III	III		[55 FR 19864]	
2P3VWU3H10	charcoal, activated	overindulgence in alcohol	hangover minimizer				[48 FR 32873]
2P3VWU3H10	charcoal, activated	poison treatment					
2P3VWU3H10	charcoal, wood/activated	digestive aid		III	III	310.545(a)(8)(iii)	
69QQ58998Y	chlorphedianol hydrochloride	cough/cold	antitussive		I	341.14(a)(1)	oral
418M5916WG	chloral hydrate	external analgesic	analgesic and anesthetic	II	II	310.545(a)(10)(i)	
418M5916WG	chloral hydrate	external analgesic	counterirritant	I	I	310.545(a)(10)(ii)	
418M5916WG	chloral hydrate	external analgesic	fever blister/cold sore	II	II	310.545(a)(10)(v)	
418M5916WG	chloral hydrate	external analgesic	poison ivy/oak/sumac	II	II	310.545(a)(10)(vii)	
418M5916WG	chloral hydrate	skin protectant	poison ivy/oak/sumac			310.545(a)(18)(vi)(A)	
NPB7A7874U	chlorcyclizine hydrochloride	cough/cold	antihistamine		I	341.12(b)	
BPF36H1G6S	chlorhydroxyquinoline (cloxyquin)	acne		II	II	310.545(a)(1)	
HM4YQM8WRC	chlorobutanol	corn/callus remover		IISE		[55 FR 33261]	
HM4YQM8WRC	chlorobutanol	external analgesic	analgesic and anesthetic	III	III	310.545(a)(10)(i)	
HM4YQM8WRC	chlorobutanol	external analgesic	fever blister/cold sore	III	III	310.545(a)(10)(v)	
HM4YQM8WRC	chlorobutanol	external analgesic	poison ivy/oak/sumac	III	III	310.545(a)(10)(vii)	
7V31YC746X	chloroform	cough/cold	expectorant		II	310.545(a)(6)(iii)	
7V31YC746X	chloroform	digestive aid				310.545(a)(8)(ii)	

(Continued)

TABLE 3 (CONTINUED)
Active Ingredients for OTC Monographs.

UNII Code	Active Ingredient	Monograph	Subcategory	Panel	Pending	Final	FR Citation
CIW5S16655	chlorophenothane (dichlorodiphenyl trichloroethane)	pediculicide				310.545(a)(25)(i)	
	chlorophyllin	relief of oral discomfort		IIIE			
	chlorophyllin copper complex	deodorants for internal use	III	I	357.810(b)		
	chlorophyllin copper complex	oral health care			IIIIE		
LJ25TI0CVT	chlorothymol	antifungal		III	III	310.545(a)(22)(ii)	
LJ25TI0CVT	chloroxylenol	antimicrobial		III	IIIIE		
LJ25TI0CVT	chloroxylenol	antimicrobial			IIIIE		
LJ25TI0CVT	chloroxylenol	acne		II	II	310.545(a)(1)	
LJ25TI0CVT	chloroxylenol	antifungal		III	III	310.545(a)(22)(iv)	
LJ25TI0CVT	chloroxylenol	dandruff		III	III	310.545(a)(7)	
V1Q0090J9Z	chlorpheniramine maleate	cough/cold	antihistamine	I	I	341.12(c)	
V1Q0090J9Z	chlorpheniramine maleate	external analgesic	poison ivy/oak/sumac			310.545(a)(10)(vii)	
V1Q0090J9Z	chlorpheniramine maleate	skin protectant	poison ivy/oak/sumac			310.545(a)(18)(vi)(A)	
	chlorphenirpyridamine maleate	menstrual/diuretic				310.545(a)(24)(i)	
O1GX330N8R	chlortetracycline hydrochloride	first aid antibiotic	ointment		I	333.110(c)	
1C6V77QF4I	cholecalciferol	skin protectant	diaper rash		IIIIE		
1C6V77QF4I	cholecalciferol	weight control			II	310.545(a)(20)	
1C6V77QF4I	cholecalciferol (vitamin D)	anorectal	wound healing	III(e,i)	III(e,i)	310.545(a)(26)(x)	
N91BDP6H0X	choline	weight control			II	310.545(a)(20)	
N91BDP6H0X	choline salicylate	internal analgesic		I	I		
N91BDP6H0X	choline salicylate	menstrual/diuretic	analgesic	I	I		
XR12Z4HLEA	chondrus	weight control			III	310.545(a)(20)	
K73E24S6X9	<i>Cimicifuga racemosa</i>	menstrual/diuretic	botanical/vegetable herb	II	II	310.545(a)(24)(i)	
T4B6SPQ0UF	cinnamedrine hydrochloride	menstrual/diuretic		III	IIIIE		
E5GY4I6Y CZ	cinnamon oil	digestive aid	muscle relaxant			310.545(a)(8)(ii)	
5S29HWU6QB	cinnamon tincture	digestive aid				310.545(a)(8)(ii)	
5437O7N5BH	cinoxate	sunscreen		I	I	352.10(c)	
	citrate (containing active ingredients: citrate ion, as citric acid or salt)	antacid		I	I	331.11(e)	
2968PHW8QP	citric acid	relief of oral discomfort		IIIIE			
2968PHW8QP	citric acid	weight control			II	310.545(a)(20)	
47EQ08LE7H	citrus pectin	digestive aid				310.545(a)(8)(ii)	
7BHQ856EJ5	clioquinol	antifungal		I	I	333.210(a)	
7BHQ856EJ5	clioquinol (iodochlorhydroxyquin)	antifungal		I	I	333.210(a)	

(Continued)

TABLE 3 (CONTINUED)
Active Ingredients for OTC Monographs.

UNII Code	Active Ingredient	Monograph	Subcategory	Panel	Pending	Final	FR Citation
I5ZZY3DC5G	cloflucarban	antimicrobial			IIIS		
I5ZZY3DC5G	cloflucarban	antimicrobial			IIISE		
I5ZZY3DC5G	cloflucarban	antimicrobial			IIISE		
G07GZ97H65	clotrimazole	antifungal			I	333.210(g)	
G07GZ97H65	clotrimazole	n/a			I		[67 FR 5942]
578389D6D0	clove oil	skin protectant	astringent	II		310.545(a)(18)(ii)	
BPF36HIG6S	cloxyquin (chlorhydroxyquinoline)	acne				310.545(a)(1)	
6L5ZL09795	<i>Cnicus benedictus</i>	menstrual/diuretic		II	II		
6L5ZL09795	<i>Cnicus benedictus</i>	weight control			II	310.545(a)(20)	
R533ES02EC	coal tar	acne		II	II	310.545(a)(1)	
R533ES02EC	coal tar	antifungal		II	II	310.545(a)(22)(ii)	
R533ES02EC	coal tar	dandruff	psoriasis	ISIII	I	358.710(c)(1)	
R533ES02EC	coal tar	dandruff	seborrheic dermatitis	ISIII	I	358.710(b)(1)	
R533ES02EC	coal tar	dandruff		ISIII	I	358.710(a)(1)	
512OYT1CRR	cocoa butter	anorectal	protectant	I(e,i)	I(e,i)	346.14(a)(2)	
512OYT1CRR	cocoa butter	skin protectant	diaper rash		III	310.545(a)(18)(iii)	
512OYT1CRR	cocoa butter	skin protectant			I		
512OYT1CRR	cocoa butter	skin protectant	fever blister/cold sore	I	I	347.10(d)	
BBL281NWFG	coconut oil soap, aqueous	pediculicide					
BBL281NWFG	cod liver oil	anorectal	wound healing	II	II	310.545(a)(25)(i)	
BBL281NWFG	cod liver oil	cough/cold	antitussive	III(e,i)	III(e,i)	310.545(a)(26)(x)	
BBL281NWFG	cod liver oil	skin protectant	diaper rash	III	IIIE		[52 FR 30054]
BBL281NWFG	cod liver oil (in combination only)	anorectal	protectant	I(e,i)	I(e,i)	346.14(b)(2)	
Q830PW7520	codeine	cough/cold	antitussive	I	I	341.14(a)(2)(i)	oral
Q830PW7520	codeine	internal analgesic					
Q830PW7520	codeine	menstrual/diuretic	analgesic	II	II	310.545(a)(23)(i)	
GSL05Y1MIN6	codeine phosphate	cough/cold	antitussive	II		310.545(a)(24)(i)	
GSL05Y1MIN6	codeine phosphate	internal analgesic		I	I	341.14(a)(2)(ii)	oral
11QY9BS0CB	codeine sulfate	cough/cold	antitussive				
11QY9BS0CB	codeine sulfate	internal analgesic		I	I	310.545(a)(23)(i)	oral
J9BTD5377V	collinsonia (extract stone root)	internal analgesic					
IOS9HV04K7	collinsonia extract	menstrual/diuretic	other	II(e,i)	II(e,i)	310.545(a)(26)(vii)	
8PI54Y663Y	colloidal oatmeal	dandruff	astringent	II	II	310.545(a)(7)	
8PI54Y663Y	colloidal oatmeal	skin protectant	diaper rash	II	III	310.545(a)(18)(ii)	
8PI54Y663Y	colloidal oatmeal	skin protectant			I	347.10(f)	
8PI54Y663Y	colloidal oatmeal	skin protectant			II	310.545(a)(12)(iv)(A)	
23H32AOH17	colocynth	laxative	stimulant laxative				(Continued)

TABLE 3 (CONTINUED)
Active Ingredients for OTC Monographs.

UNII Code	Active Ingredient	Monograph	Subcategory	Panel	Pending	Final	FR Citation
789U1901C5	copper	weight control			II	310.545(a)(20)	
789U1901C5	copper gluconate	weight control			II	310.545(a)(20)	
789U1901C5	copper oleate	pediculicide		II	II	310.545(a)(25)(i)	
789U1901C5	copper undecylenate	antifungal		I	I	333.210(f)	
8470G57WFM	corn oil	weight control		II	II	310.545(a)(20)	
	corn oil, aqueous emulsion	cholelystokinetic		I	I	357.210(a)	
7D3VB244UX	corn silk	menstrual/diuretic		II	II	310.545(a)(24)(i)	
	corn silk, potassium extract	weight control		II	II	310.545(a)(20)	
O8232NY3SJ	corn starch	skin protectant		I	I	347.10(q)	
9G5L16BK6N	corn syrup	weight control		II	II	310.545(a)(20)	
8K1MK5E1FY	cough grass	menstrual/diuretic				310.545(a)(24)(i)	
	creosote	relief of oral discomfort		IIIE			
	creosote	skin protectant	poison ivy/oak/sumac			310.545(a)(18)(vi)(A)	[52 FR 30054]
	creosote, beechwood	cough/cold	antitussive	III	IIIE		
	creosote, beechwood	external analgesic	poison ivy/oak/sumac			310.545(a)(10)(vii)	
	creosote, beechwood	relief of oral discomfort		IIISE			
	creosote, beechwood (oral)	cough/cold	nasal decongestant	III	III	310.545(a)(6)(ii)(A)	
	creosote, beechwood (topical)	cough/cold	nasal decongestant	III	III	310.545(a)(6)(ii)(B)	
1MXY2UM8NV	resol	oral health care	anesthetic/analgesic	II	IISE		
		oral health care	nonantimicrobial			310.545(a)(14)	
1MXY2UM8NV	resol	relief of oral discomfort		IIISE			
1MXY2UM8NV	resol	skin protectant		II	II	310.545(a)(18)(ii)	
1MXY2UM8NV	resol	dandruff	astringent	II	II	310.545(a)(7)	
1MXY2UM8NV	resol, saponated	external analgesic					
LRX7AJI6DT	cupric sulfate	skin protectant	astringent	II	II	310.545(a)(18)(ii)	
LRX7AJI6DT	cupric sulfate	weight control				310.545(a)(20)	
LRX7AJI6DT	cupric sulfate	weight control		II	II	310.545(a)(20)	
P6YC3EG204	cyanocobalamin (vitamin B12)	antiemetic		I	I	336.10(a)	
W001NHP4WE	cyclizine hydrochloride	external analgesic	analgesic and anesthetic	III	III	310.545(a)(10)(i)	
7323N7T136	cyclomethycaine sulfate	external analgesic	fever blister/cold sore	III	III	310.545(a)(10)(v)	
7323N7T136	cyclomethycaine sulfate	external analgesic	poison ivy/oak/sumac	III	III	310.545(a)(10)(vii)	
ZT934N0X4W	cysteine hydrochloride	skin protectant	diaper rash			310.545(a)(18)(iii)	
48TCX9A1VT	cystine	weight control		II	II	310.545(a)(20)	
Z4XE61BF3V	danthron	laxative	stimulant laxative	I	I	310.545(a)(12)(iv)(B)	
	<i>Datura stramonium</i>	cough/cold	anticholinergic	II	II	310.53	
NH5000009I	dehydrocholic acid	digestive aid		II	II	310.545(a)(8)(g)	
XY88INN1I6	dequalinium chloride	oral health care		IIISE			
BPA9UT29BS	dexbrompheniramine maleate	cough/cold	antihistamine	I	I	341.12(d)	
B10YD955QW	dexchlorpheniramine maleate	cough/cold	antihistamine	I	I	341.12(e)	

(Continued)

TABLE 3 (CONTINUED)
Active Ingredients for OTC Monographs.

UNII Code	Active Ingredient	Monograph	Subcategory	Panel	Pending	Final	FR Citation
I06C93RI7Z	dexpantenol	external analgesic	poison ivy/oak/sumac		IIIE	310.545(a)(10)(vii)	
I06C93RI7Z	dexpantenol	external analgesic			IIISE		
I06C93RI7Z	dexpantenol	skin protectant	diaper rash	I	I	349.12(b)	
7SA290YK68	dextran 70	ophthalmic	demulcents	I	I	341.14(a)(3)	oral
7355X3ROTS	dextromethorphan	cough/cold	antitussive	I	I	341.14(a)(4)	oral
9D2RTI9KYH	dextromethorphan hydrobromide	cough/cold	antitussive	I	I	310.545(a)(20)	
IY9XDZ35W2	dextrose	weight control			II	310.545(a)(8)(ii)	
	diastase	digestive aid				310.545(a)(8)(ii)	
	diastase malt	digestive aid				310.545(a)(1)	
Z3D4AJIR48	dibenzothioephene	acne		II	II	346.10(c)	
L6JW2TJG99	dibucaine	anorectal	local anesthetic	III(e,i)	I(e),III(i)		
L6JW2TJG99	dibucaine	external analgesic		I	I		
L6JW2TJG99	dibucaine	oral health care	anesthetic/analgesic	II	II	310.545(a)(14)	
Z97702A5DG	dibucaine hydrochloride	anorectal	local anesthetic	III(e,i)	I(e),III(i)	346.10(d)	
Z97702A5DG	dibucaine hydrochloride	external analgesic		I	I		
Z97702A5DG	dibucaine hydrochloride	oral health care	anesthetic/analgesic	II	II	310.545(a)(14)	
O7TSZ97GEP	dicalcium phosphate dihydrate	antiacaries		II	NC	310.545(a)(2)(ii)	
O7TSZ97GEP	dicalcium phosphate dihydrate (see calcium phosphate, dibasic)	gingivitis/plaque			IIIE		
	dichlorodiphenyl trichloroethane (DDT)	pediculicide		II	II		
T110JOU640	dichlorophen	antifungal		III	III	310.545(a)(22)(ii)	
2UTZ0QC864	diethylhexyl butamido triazone	sunscreens		NA			
PGQ9BY2MDE	digalloyl trioleate	sunscreens		I	I	310.545(a)(29)	
D0250MG0W6	dihydroxyaluminum aminoacetate	antacid			I	331.11(a)(3)	
D0250MG0W6	dihydroxyaluminum aminoacetic acid	antacid				331.11(a)(3)	
84H8Z9550J	dihydroxyaluminum sodium carbonate	antacid			I	331.11(a)(5)	
84H8Z9550J	dihydroxyaluminum sodium carbonate	digestive aid	antacid	III	I	310.545(a)(8)(g)	
JB937PER5C	dimenhydrinate	antiemetic			I		
92RU3N3Y10	dimethicone	skin protectant	diaper rash	II	I	336.10(b)	
92RU3N3Y10	dimethicone	skin protectant	fever blister/cold sore		I		
92RU3N3Y10	dimethicone	skin protectant		I	I	347.10(g)	
SMP2689462	dimethisoquin hydrochloride	external analgesic		I	I		
F05Q2T2IA0	dioctyl sodium sulfosuccinate	pediculicide		II	II		

(Continued)

TABLE 3 (CONTINUED)
Active Ingredients for OTC Monographs.

UNII Code	Active Ingredient	Monograph	Subcategory	Panel	Pending	Final	FR Citation
	diolamine methoxycinnamate (diethanolamine)	sunscreen		I	I	310.545(a)(29)	
B762XZ551X	methoxycinnamate)	sunscreen		I	I	352.10(e)	
2456GO94TR	dipiperdon	anorectal	anesthetic	I	I	310.545(a)(26)(vi)	
5YZ5R8I73Y	diperodon hydrochloride	corn/callus remover	II	IISE		[55 FR 33261]	
5YZ5R8I73Y	diperodon hydrochloride	external analgesic	poison ivy/oak/sumac			310.545(a)(10)(vii)	
5YZ5R8I73Y	diperodon hydrochloride	skin protectant	poison ivy/oak/sumac			310.545(a)(18)(vi)(A)	
8GTS82S83M	diphenhydramine citrate	cough/cold	antihistamine			341.12(f)	oral
8GTS82S83M	diphenhydramine citrate	cough/cold	antitussive			341.14(a)(5)	
8GTS82S83M	diphenhydramine citrate	nighttime sleep aid				338.10(b)	
TC2D6JAD40	diphenhydramine hydrochloride	antiemetic			IIIIE	336.10(c)	
TC2D6JAD41	diphenhydramine hydrochloride	cough/cold	antihistamine	I	I	341.12(g)	
TC2D6JAD42	diphenhydramine hydrochloride	cough/cold	antitussive	III	IIIIE	341.14(a)(6)	oral
TC2D6JAD43	diphenhydramine hydrochloride	external analgesic		I	I		
TC2D6JAD44	diphenhydramine hydrochloride	nighttime sleep aid	antihistamine	III	IIIIE	338.10(a)	
TC2D6JAD45	diphenhydramine hydrochloride	skin protectant	poison ivy/oak/sumac			310.545(a)(18)(vi)(A)	
6K7YS03HC	dipropylene glycol salicylate	sunscreen		III	IIIIE		58 FR 28281
	disodium hydrogen phosphate	antiacaries		II	NC	310.545(a)(2)(ii)	
	docusate calcium sulfosuccinate	laxative	stool softener				
CIK9F54ZHR	docusate potassium sulfosuccinate	laxative	stool softener				
F05Q2T2JA0	docusate sodium	pediculicide				310.545(a)(25)(i)	
F05Q2T2JA0	docusate sodium	weight control			II	310.545(a)(20)	
F05Q2T2JA0	docusate sodium sulfosuccinate	laxative	stool softener				
	dodecaethylene glycol monolaurate (polyethylene glycol 600 monola	vaginal contraceptive		IIIIE	310.545(a)(28)(i)		
8K1MK5EIFY	dog grass	digestive aid					
8K1MK5EIFY	dog grass extract	menstrual/diuretic		II	II	310.545(a)(8)(ii)	
R4CY19YS7C	domiphen bromide	oral health care			IIIIE	310.545(a)(24)(i)	
V9B19B5YI2	doxylamine succinate	cough/cold	antihistamine		I	341.12(h)	
V9B19B5YI2	doxylamine succinate	nighttime sleep aid	antihistamine	III	IIIE		[5 FR 6828]
5X93W9OFZL	drometrizole trisiloxane	sunscreen		NA			
	duodenal substance	digestive aid		II	II	310.545(a)(8)(i)	
ZEC193879Q	dyclonine hydrochloride	anorectal	local anesthetic		I	346.10(e)	
ZEC193879Q	dyclonine hydrochloride	external analgesic		I	I		
ZEC193879Q	dyclonine hydrochloride	oral health care	anesthetic/analgesic	I	I		(Continued)

TABLE 3 (CONTINUED)
Active Ingredients for OTC Monographs.

UNII Code	Active Ingredient	Monograph	Subcategory	Panel	Pending	Final	FR Citation
M94R1PM439	ecamsule	sunscreen		NA			
7FLD91C86K	edetate disodium	relief of oral discomfort		IISE	II	310.545(a)(12)(iv)(A)	
	elaterin resin	laxative	stimulant laxative			310.545(a)(8)(ii)	[52.FR.30054]
E55SMD6DA8	elecampane	digestive aid		III	IIIE		
91QY4PXU8Q	elm bark	cough/cold	antitussive	I	I		
91QY4PXU8Q	elm bark	oral health care	demulcents	I	I		
9YQ9DIIW42	ensulizole (phenylbenzimidazole sulfonic acid)	sunscreen		I	I	352.10(n)	
813XWY40L9	enzacamene	sunscreen					
	(4-methylbenzylidene camphor)						
GN83C131XS	ephedrine	cough/cold	bronchodilator	I	I	341.16(a)	
GN83C131XS	ephedrine (aqueous)	cough/cold	nasal decongestant	I	I	341.20(b)(2)	
GN83C131XS	ephedrine (jelly)	cough/cold	nasal decongestant	I	I	341.20(b)(2)	
GN83C131XS	ephedrine (oral)	cough/cold	nasal decongestant	III	III	310.545(a)(6)(ii)(B)	
NLJ6390P1Z	ephedrine hydrochloride	cough/cold	bronchodilator	I	I	341.16(b)	
NLJ6390P1Z	ephedrine hydrochloride	external analgesic	male genital desensitizer			310.545(a)(10)(iii)	
NLJ6390P1Z	ephedrine hydrochloride	ophthalmic	vasoconstrictor	I	I	349.18(a)	
NLJ6390P1Z	ephedrine hydrochloride (aqueous)	cough/cold	nasal decongestant	I	I	341.20(b)(3)	
NLJ6390P1Z	ephedrine hydrochloride (jelly)	cough/cold	nasal decongestant	I	I	341.20(b)(3)	
NLJ6390P1Z	ephedrine hydrochloride (oral)	cough/cold	nasal decongestant	III	III	310.545(a)(6)(ii)(B)	
U6X61U5ZEG	ephedrine sulfate	anorectal	vasoconstrictor	I	I	346.12(a)	
U6X61U5ZEG	ephedrine sulfate	cough/cold	bronchodilator	I	I	341.16(c)	
U6X61U5ZEG	ephedrine sulfate (aqueous)	cough/cold	nasal decongestant	I	I	341.20(b)(4)	
U6X61U5ZEG	ephedrine sulfate (jelly)	cough/cold	nasal decongestant	I	I	341.20(b)(4)	
U6X61U5ZEG	ephedrine sulfate (oral)	cough/cold	nasal decongestant	III	III	310.545(a)(6)(ii)(B)	
YKH834O4BH	epinephrine	anorectal	vasoconstrictor	III(e,i)	I(e),III(i)	346.12(b)	
YKH834O4BH	epinephrine	cough/cold	bronchodilator	I	I	341.16(d)	inhalation
YKH834O4BH	epinephrine	cough/cold	bronchodilator	I	I	341.16(d)	rubber bulb
30Q7K153AK	epinephrine bitartrate	cough/cold	bronchodilator	I	I	341.16(e)	inhalation
30Q7K153AK	epinephrine bitartrate	cough/cold	bronchodilator	I	I	341.16(e)	rubber bulb
WBB0470038	epinephrine hydrochloride	anorectal	vasoconstrictor	I(e),II(i)	I(e),II(i)	346.12(c)	
WBB0470038	epinephrine hydrochloride	cough/cold	bronchodilator	I	I		
	epinephrine undecylenate	anorectal	vasoconstrictor	I	I	310.545(a)(26)(ix)	
VS041H42XC	ergocalciferol	weight control			II	310.545(a)(20)	
X3S33EX3KW	ergot fluid extract	skin protectant	insect bites/stings			310.545(a)(18)(v)(A)	
X3S33EX3KW	ergot fluid extract	external analgesic	insect bite/sting			310.545(a)(10)(vi)	
514B9K0L10	<i>Escherichia coli</i> vaccine	anorectal	other			310.545(a)(26)(vii)	

(Continued)

TABLE 3 (CONTINUED)
Active Ingredients for OTC Monographs.

UNII Code	Active Ingredient	Monograph	Subcategory	Panel	Pending	Final	FR Citation
2D19HA706A	estrone	acne		II	II	310.545(a)(1)	
0F5N573A2Y	ether	digestive aid				310.545(a)(8)(ii)	
M9JGK7U88V	ethohexadiol	dandruff		III	III	310.545(a)(7)	
54M9O2520I	ethyl 4-[[bis(hydroxypropyl)]aminobenzoate (roxadimate)	sunscreen		I	I	310.545(a)(29)	64 FR 27670
E1Z7886LR5	ethyl nitrate	menstrual/diuretic				310.545(a)(24)(i)	
MF5450P3T	ethylmorphine hydrochloride	cough/cold	antitussive	III	III		[52 FR 30054]
RV616604TK	eucalyptol	cough/cold	antitussive		III		[52 FR 30055]
RV616604TK	eucalyptol	dandruff		III	III	310.545(a)(7)	
RV616604TK	eucalyptol	oral health care	anesthetic/amalgasic	III	III	310.545(a)(14)	
RV616604TK	eucalyptol (lozenge)	cough/cold	nasal decongestant	III	III	310.545(a)(6)(ii)(A)	
RV616604TK	eucalyptol (mouthwash)	cough/cold	nasal decongestant	III	III	310.545(a)(6)(ii)(A)	
2R04ONI662	eucalyptol/eucalyptus oil	cough/cold	expectorant	III	III	310.545(a)(6)(iii)	
2R04ONI663	eucalyptus oil	cough/cold	antitussive	III	III		[52 FR 30055]
2R04ONI664	eucalyptus oil	cough/cold	antitussive	III	III		[52 FR 30054]
2R04ONI665	eucalyptus oil	cough/cold	antitussive	III	III		[52 FR 30055]
2R04ONI666	eucalyptus oil	cough/cold	nasal decongestant	III	III		[59 FR 43408]
2R04ONI667	eucalyptus oil	external analgesic	counterirritant	I	I	310.545(a)(10)(ii)	
2R04ONI668	eucalyptus oil	external analgesic	fever blister/cold sore	I	I	310.545(a)(10)(v)	
2R04ONI669	eucalyptus oil	external analgesic	poison ivy/oak/sumac	I	I	310.545(a)(10)(vii)	
2R04ONI670	eucalyptus oil	skin protectant	astringent	II		310.545(a)(18)(ii)	
2R04ONI671	eucalyptus oil	skin protectant	poison ivy/oak/sumac			310.545(a)(18)(vi)(A)	
2R04ONI672	eucalyptus oil (lozenge)	cough/cold	nasal decongestant	III	III	310.545(a)(6)(ii)(A)	
2R04ONI673	eucalyptus oil (mouthwash)	cough/cold	nasal decongestant	III	III	310.545(a)(6)(ii)(A)	
3T8HI794QW	eugenol	external analgesic	analgesic and anesthetic	III	III	310.545(a)(10)(i)	
3T8HI794QW	eugenol	external analgesic	fever blister/cold sore	III	III	310.545(a)(10)(v)	
3T8HI794QW	eugenol	external analgesic	poison ivy/oak/sumac	III	III	310.545(a)(10)(vii)	
3T8HI794QW	eugenol	relief of oral discomfort		III			
3T8HI794QW	eugenol	skin protectant	astringent	II		310.545(a)(18)(ii)	
L13YF113GN	<i>Euphorbia ptilifera</i>	cough/cold	bronchodilator	III	III	310.545(a)(6)(iv)	
	fennel acid	digestive aid				310.545(a)(8)(ii)	
	ferric acid	skin protectant	poison ivy/oak/sumac		II	310.545(a)(18)(vi)(A)	
UVP74NG1C5	ferric ammonium citrate	weight control				310.545(a)(20)	
U38V3ZVV3V	ferric chloride	external analgesic				310.545(a)(10)(vi)	
U38V3ZVV3V	ferric chloride	menstrual/diuretic	insect bite/sting			310.545(a)(24)(i)	
U38V3ZVV3V	ferric chloride	oral health care					
U38V3ZVV3V	ferric chloride	skin protectant	insect bites/stings		II	310.545(a)(18)(v)(A)	
U38V3ZVV3V	ferric chloride	weight control				310.545(a)(20)	
QK8899250F	ferric pyrophosphate						

(Continued)

TABLE 3 (CONTINUED)
Active Ingredients for OTC Monographs.

UNII Code	Active Ingredient	Monograph	Subcategory	Panel	Pending	Final	FR Citation
3QJ8W56V8H	ferric subsulfate (Monsel's solution)	skin protectant	astringent	II		310.545(a)(18)(ii)	
R5L488RY0Q	ferrous fumarate	weight control			II	310.545(a)(20)	
U1B11I423Z	ferrous gluconate	weight control			II	310.545(a)(20)	
39R4TANI VT	ferrous sulfate	menstrual/diuretic				310.545(a)(24)(i)	
39R4TANI VT	ferrous sulfate (iron)	weight control			II	310.545(a)(20)	
4110YT348C	flax seed	weight control			II	310.545(a)(20)	
800FG3WPHYD	fluorosalan	antimicrobial			IIS		
935E97BOY8	folic acid	weight control			II	310.545(a)(20)	
1HG84L3525	formaldehyde solution	relief of oral discomfort		IIIE			
0YIW783RG1	formic acid	pediculicide				310.545(a)(25)(i)	
S2D77IH6IR	frangula	laxative	stimulant laxative		III	310.545(a)(12)(iv)(A)	[48 FR 32873]
6YSS42VSEV	fructose	overindulgence in alcohol	inebriation minimizer		IIIE		
6YSS42VSEV	fructose	weight control			II	310.545(a)(20)	
BIA2SO6F5B	galega	digestive aid				310.545(a)(8)(ii)	
7556HI7587	gamboge	laxative	stimulant laxative		II	310.545(a)(12)(iv)(A)	
V1V998DC17	garlic, dehydrated	digestive aid		II	II	310.545(a)(8)(i)	
2G86QN327L	gelatin	ophthalmic	demulcents	I	I	349.12(c)	
2G86QN327L	gelatin	oral health care	demulcents	I	I		
J4Z741D605	gentian violet	oral health care			III		
J4Z741D605	gentian violet	anthelmintic		I	II		
S7203284MS	<i>Gentiana lutea</i> (gentian)	menstrual/diuretic				310.545(a)(24)(i)	
C5529G5JPQ	ginger	digestive aid			II	310.545(a)(8)(ii)	
ZQH6VH092Z	ginseng	nighttime sleep aid			III		[39 FR 6104]
ZQH6VH092Z	ginseng	stimulant			II		
3KX376GY7L	glutamic acid hydrochloride	digestive aid	stomach acidifier	II	II	310.545(a)(8)(i)	
PDC6A3C00X	glycerin	anorectal	protectant	I(e)	I(e)	346.14(a)(3)	
PDC6A3C00X	glycerin	external analgesic	poison ivy/oak/sumac			310.545(a)(10)(vii)	
PDC6A3C00X	glycerin	laxative	hyperosmotic		I		
PDC6A3C00X	glycerin	ophthalmic	demulcents	I	I	349.12(d)(1)	
PDC6A3C00X	glycerin	oral health care			I		
PDC6A3C00X	glycerin	otic			IIIE		[51 FR 28660]
PDC6A3C00X	glycerin	skin protectant	diaper rash	I	IIISE	310.545(a)(18)(iii)	
PDC6A3C00X	glycerin	skin protectant	fever blister/cold sore		I	310.545(a)(18)(vi)	
PDC6A3C00X	glycerin	skin protectant	insect bites/stings		IISE	347.10(h)	
PDC6A3C00X	glycerin	skin protectant		I	I	310.545(a)(15)(ii)	
PDC6A3C00X	glycerin and anhydrous glycerin	otic			III	310.545(a)(18)(iii)	
PDC6A3C00X	glycerin and anhydrous glycerin	skin protectant	diaper rash				(Continued)

TABLE 3 (CONTINUED)
Active Ingredients for OTC Monographs.

UNII Code	Active Ingredient	Monograph	Subcategory	Panel	Pending	Final	FR Citation
PDC6A3C00X	glycerin and anhydrous glycerin	skin protectant	poison ivy/oak/sumac	I	I	310.545(a)(18)(vi)(A)	
A886B5N51M	glyceryl aminobenzoate (lisadimate, glyceryl PABA)	sunscreen				310.545(a)(29)	64 FR 27670
2300U9XXE4	glyceryl stearate	skin protectant	insect bites/stings w/ sales less than \$25,000		310.545(a)(18)(v)(B)		
2300U9XXE4	glyceryl stearate	skin protectant	poison ivy/oak/sumac w/ sales less than \$25,000	310.545(a)(18)(vi)(B)			
2300U9XXE4	glyceryl stearate	skin protectant		II	II	310.545(a)(18)(i)(B)	
TE7660XO1C	glycine	antidiarrheal				310.545(a)(3)(i)	
TE7660XO1C	glycine	digestive aid				310.545(a)(8)(ii)	
TE7660XO1C	glycine (aminoacetic acid)	antacid				331.11(f)	
	glycol salicylate	external analgesic	fever blister/cold sore	III	III	310.545(a)(10)(v)	
	glycol salicylate	external analgesic	poison ivy/oak/sumac	III	III	310.545(a)(10)(vii)	
61ZBX54883	glycyrrhiza (licorice)	menstrual/diuretic				310.545(a)(24)(i)	
5IE62321P4	gramicidin	first aid antibiotic					
495W7451VQ	guaifenesin	cough/cold	expectorant			341.18	
E8911637KE	guar gum	laxative	bulk laxative			310.545(a)(12)(i)	
E8911637KE	guar gum	weight control				310.545(a)(20)	
AU7053OWL	haloprogin	antifungal		I	I	333.210(b)	
8334LX7S21	hard fat	anorectal	protectant			346.14(a)(4)	
8334LX7S21	hard fat	skin protectant				347.10(i)	
	hectorite	digestive aid				310.545(a)(8)(ii)	
	hectorite	external analgesic	poison ivy/oak/sumac			310.545(a)(10)(vii)	
	hectorite	skin protectant	poison ivy/oak/sumac			310.545(a)(18)(vi)(A)	
IWW5FV6NK2	hemicellulase	digestive aid		III	III	310.545(a)(8)(i)	[37 FR 20163]
R9QTB5E82N	hexachlorophene	antimicrobial					
R9QTB5E82N	hexylresorcinol	antimicrobial					
R9QTB5E82N	hexylresorcinol	antimicrobial					
R9QTB5E82N	hexylresorcinol	antimicrobial					
R9QTB5E82N	hexylresorcinol	external analgesic	analgesic and anesthetic	III	III	310.545(a)(10)(i)	
R9QTB5E82N	hexylresorcinol	external analgesic	fever blister/cold sore	III	III	310.545(a)(10)(v)	
R9QTB5E82N	hexylresorcinol	external analgesic	poison ivy/oak/sumac	III	III	310.545(a)(10)(vii)	
R9QTB5E82N	hexylresorcinol	oral health care	anesthetic/analgesic	I	I		
3POAQ0644U	histamine dihydrochloride	external analgesic	fever blister/cold sore			310.545(a)(10)(v)	
4QD397987E	histidine	weight control				310.545(a)(20)	
68JRS2HC1C	homatropine methylbromide	antidiarrheal		III	III	310.545(a)(3)(i)	
68JRS2HC1C	homatropine methylbromide	digestive aid		II/III	III	310.545(a)(8)(i)	
68JRS2HC1C	homatropine methylbromide	menstrual/diuretic	muscle relaxant	II	II	310.545(a)(24)(i)	

(Continued)

TABLE 3 (CONTINUED)
Active Ingredients for OTC Monographs.

UNII Code	Active Ingredient	Monograph	Subcategory	Panel	Pending	Final	FR Citation
V06SV4M95S	homosalate	sunscreen		I	I	352.10(f)	
Y9H1V576FH	honey	skin protectant	astringent	II		310.545(a)(18)(ii)	[52 FR 30054]
7A72MUN24Z	horehound	cough/cold	antitussive	III	IIIE		
7A72MUN24Z	horehound	cough/cold	expectorant			310.545(a)(6)(iii)	
7A72MUN24Z	horehound	oral health care	expectorant	III			
SC52PT1846	horsetail	digestive aid				310.545(a)(8)(ii)	
9P2U39H18W	huckleberry	digestive aid				310.545(a)(8)(ii)	
658O6T0WXX	hydrastis	anorectal	antiseptic	II(e.i)	II(e.i)	310.545(a)(26)(ii)	
658O6T0WXX	hydrastis	anorectal	counterirritant	II(e.i)	II(e.i)	310.545(a)(26)(iv)	
S1EFO94F35	<i>Hydrastis canadensis</i>	weight control			II	310.545(a)(20)	
ZW3Z11D0JV	<i>Hydrastis canadensis</i> (golden seal)	digestive aid				310.545(a)(8)(ii)	
ZW3Z11D0JV	<i>Hydrastis canadensis</i> (golden seal)	menstrual/diuretic		II	II	310.545(a)(24)(i)	
1E8C2W13Z0	hydrastis fluid extract	digestive aid				310.545(a)(8)(ii)	
	hydrate magnesium aluminate activated sulfate	antacid				331.11(g)(1)	
QTT17582CB	hydrochloric acid	digestive aid		II	IIIS	310.545(a)(8)(ii)	[52 FR 30054]
NO70W886KK	hydrocodone bitartrate	cough/cold	antitussive	I	I		
W14X0X7BPJ	hydrocortisone	external analgesic		I	I		
W14X0X7BPJ	hydrocortisone (0.25-5%)	external analgesic		I	I		
W14X0X7BPJ	hydrocortisone (0.5-1%)	external analgesic			IIISE		
W14X0X7BPJ	hydrocortisone (combination)	anorectal				310.545(a)(26)(xi)	
3X7931PO74	hydrocortisone acetate	external analgesic		I	I		
3X7931PO75	hydrocortisone acetate	external analgesic		I	I		
3X7931PO76	hydrocortisone acetate (0.25-5.0%)	external analgesic		I	I		
3X7931PO77	hydrocortisone acetate (0.25%-0.5%)	external analgesic		I	I		
RGL5YE86CZ	hydrocortisone preparations	dandruff		III	IS		
BBX060AN9V	hydrogen fluoride	antiacaries		NC	III	310.545(a)(2)(g)	
BBX060AN9V	hydrogen peroxide	antimicrobial			I		
BBX060AN9V	hydrogen peroxide	external analgesic	poison ivy/oak/sumac	I	I	310.545(a)(10)(vii)	
BBX060AN9V	hydrogen peroxide	oral health care	wound cleansing				
BBX060AN9V	hydrogen peroxide	oral health care		III	III		
BBX060AN9V	hydrogen peroxide	oral health care		IIIE	IIIE		
BBX060AN9V	hydrogen peroxide	skin protectant	poison ivy/oak/sumac			310.545(a)(18)(vi)(A)	
BBX060AN9V	hydrogen peroxide and povidone iodine	gingivitis/plaque		IIISE	IIISE		

(Continued)

TABLE 3 (CONTINUED)
Active Ingredients for OTC Monographs.

UNII Code	Active Ingredient	Monograph	Subcategory	Panel	Pending	Final	FR Citation
BBX060AN9V	hydrogen peroxide and sodium bicarbonate	gingivitis/plaque			IIISE		
BBX060AN9V	hydrogen peroxide, sodium citrate, sodium lauryl sulfate, and zinc ch	gingivitis/plaque			IIISE		
XV74CINIAE	hydroquinone	skin bleach			I		
273FM27VK1	hydroxyethylcellulose	ophthalmic	demulcents	I	I	349.12(a)(2)	
F2R8V82B84	hydroxypropyl methylcellulose	ophthalmic	demulcents	I	I		
F2R8V82B84	hyoscyamine sulfate	antidiarrheal		III	III	310.545(a)(3)(i)	
3NXW29V3WO	hyoscyamine sulfate	menstrual/diuretic			I	310.545(a)(24)(i)	
WK2XYH10QM	hypromellose	ophthalmic	demulcents		I	349.12(a)(3)	[67 FR 54139]
	ibuprofen	internal analgesic			I		
	ichthammol	corn/callus remover	II	IIISE		[55 FR 33261]	
SY15A62UPX	<i>Impatiens biflora</i> tincture	external analgesic	poison ivy/oak/sumac			310.545(a)(10)(vii)	
SY15A62UPX	<i>Impatiens biflora</i> tincture	skin protectant	poison ivy/oak/sumac			310.545(a)(18)(vi)(A)	
969JQC5YJU	infusion of rose petals	ophthalmic	astringent	III	III	310.545(a)(21)(iii)	
4L64525749	inositol	weight control			II	310.545(a)(20)	
	iodides (calcium iodide anhydrous, hydriodic acid syrup, iodized lim	cough/cold	expectorant		II	310.545(a)(6)(iii)	
9679TC07X4	iodine	corn/callus remover	II	IIISE		[55 FR 33261]	
9679TC07X5	iodine	digestive aid				310.545(a)(8)(ii)	
9679TC07X6	iodine	oral health care			IIISE		
9679TC07X7	iodine	wart remover		II	IISE		[55 FR 33254]
9679TC07X8	iodine	weight control			II	310.545(a)(20)	
	iodine complex/phosphate ester of alkylaryloxy polyethylene	antimicrobial			IIISE		
	iodine complex/phosphate ester of alkylaryloxy polyethylene	antimicrobial			IISE		
9679TC07X10	iodine tincture	antimicrobial			IISE		
9679TC07X11	iodine tincture	antimicrobial			IISE		
9679TC07X4	iodine tincture	antimicrobial			I		
9679TC07X5	iodine tincture	antimicrobial			I		
9679TC07X6	iodine tincture	antimicrobial			IIIS		
9679TC07X7	iodine tincture	antimicrobial			IIIS		
9679TC07X8	iodine tincture	antimicrobial			IIISE		
9679TC07X9	iodine tincture	antimicrobial			IIIS		
9679TC07X4	iodine topical solution	antimicrobial			I		

(Continued)

TABLE 3 (CONTINUED)
Active Ingredients for OTC Monographs.

UNII Code	Active Ingredient	Monograph	Subcategory	Panel	Pending	Final	FR Citation
V30V6H1QX4	iodoantipyrine (idopyrine)	internal analgesic					
6213C8233L	ipecac	cough/cold	expectorant	II	II	310.545(a)(23)(i)	
6213C8233L	ipecac fluid extract	cough/cold	expectorant		II	310.545(a)(6)(iii)	
6213C8233L	ipecac fluid extract	poison treatment			II	310.545(a)(6)(iii)	
6213C8233L	ipecac syrup	cough/cold	expectorant		III	310.545(a)(16)	
6213C8233L	ipecac syrup	emetic			I	337.10	
6213C8233L	ipecac syrup	poison treatment			I		
6213C8233L	ipecac tincture	poison treatment			II	310.545(a)(16)	
6213C8233L	ipomea	laxative	stimulant laxative		II	310.545(a)(12)(iv)(A)	
1K09F3G675	iron ox bile	digestive aid					
1K09F3G675	iron oxide	external analgesic	poison ivy/oak/sumac				
1K09F3G675	iron oxide	skin protectant	poison ivy/oak/sumac	II	II	310.545(a)(8)(ii)	
04Y7590D77	isobornyl thiocanoacetate	pediculicide					
ND2M416302	isoleucine	weight control			II	310.545(a)(25)(i)	
ND2M416302	isopropyl alcohol	alcohols (topical)	poison ivy/oak/sumac	I	I	310.545(a)(20)	
ND2M416303	isopropyl alcohol	external analgesic					
ND2M416304	isopropyl alcohol	mercury			I		
ND2M416305	isopropyl alcohol	otic					
ND2M416306	isopropyl alcohol	otic					
ND2M416307	isopropyl alcohol	skin protectant	poison ivy/oak/sumac	II	II	310.545(a)(15)(ii)	
ND2M416308	isopropyl alcohol	skin protectant	poison ivy/oak/sumac		II	310.545(a)(18)(ii)	
8CRQ2TH63M	isopropyl palmitate	skin protectant	insect bites/stings w/ sales less than \$25,000		310.545(a)(18)(vi)(A)		
8CRQ2TH63M	isopropyl palmitate	skin protectant	poison ivy/oak/sumac w/ sales less than \$25,000	310.545(a)(18)(vi)(B)	(18)(v)(B)		
8CRQ2TH63M	isopropyl palmitate	skin protectant	poison ivy/oak/sumac w/ sales less than \$25,000				
4UDO46YBK2	jalap	laxative	stimulant laxative		II	310.545(a)(18)(i)(B)	
XK4IUX8MINB	johnswort	digestive aid					
YNW2QP8YK	juniper oil (oil of juniper)	menstrual/diuretic			II	310.545(a)(8)(ii)	
	juniper tar	anorectal	analgesic, anesthetic, antipruritic	III(e.i)	I(e)	346.16(b)	
	juniper tar	dandruff			III	310.545(a)(7)	
	juniper tar	digestive aid					
	juniper tar	external analgesic			I	310.545(a)(8)(ii)	
	juniper, potassium extract	weight control			II	310.545(a)(20)	
24H4NWX5C0	kaolin	anorectal	protectant	I(e.i)	I(e.i)	346.14(a)(5)	
24H4NWX5C0	kaolin	antidiarrheal		III	III	335.10(b)	
24H4NWX5C0	kaolin	skin protectant	diaper rash		I		

(Continued)

TABLE 3 (CONTINUED)
Active Ingredients for OTC Monographs.

UNII Code	Active Ingredient	Monograph	Subcategory	Panel	Pending	Final	FR Citation
24H4NWX5CO	kaolin	skin protectant		I	I	347.10(j)	
24H4NWX5CO	kaolin, colloidal	digestive aid				310.545(a)(8)(ii)	
	karaya gum	laxative			I		
	karaya gum	weight control			III	310.545(a)(20)	
	kelp	weight control			III	310.545(a)(20)	
64Y2IV7284	knotgrass	digestive aid				310.545(a)(8)(ii)	
33X04XA5AT	lactic acid	digestive aid				310.545(a)(8)(ii)	
33X04XA5AT	lactic acid	wart remover				310.545(a)(8)(ii)	[55 FR 33254]
	<i>Lactobacillus acidophilus</i>	antidiarrheal		III	IIIIE		
J2B2A4N98G	<i>Lactobacillus bulgaricus</i>	antidiarrheal		III	III	310.545(a)(3)(i)	
J2B2A4N98G	lactose	antidiarrheal		III	III	310.545(a)(3)(i)	
7EV65EAW6H	lactose	digestive aid				310.545(a)(8)(ii)	
7EV65EAW6H	lanolin	weight control			II	310.545(a)(20)	
7EV65EAW6H	lanolin	anorectal	protectant	I(e,i)	I(e,i)	346.14(a)(6)	
7EV65EAW6H	lanolin	external analgesic	poison ivy/oak/sumac			310.545(a)(10)(vii)	
7EV65EAW6H	lanolin	skin protectant	diaper rash		I		
7EV65EAW6H	lanolin	skin protectant	poison ivy/oak/sumac			310.545(a)(18)(vi)(A)	
7EV65EAW6H	lanolin	skin protectant	poison ivy/oak/sumac		IIE	310.545(a)(18)(vi)	
7EV65EAW6H	lanolin	skin protectant				347.10(k)	
	lanolin (in combination)	ophthalmic	emollient		I	349.14(a)(2)	
	lanolin alcohols	anorectal	protectant	I(e,i)	III(e,i)	310.545(a)(26)(viii)	
	lanolin nonionic derivatives	ophthalmic			I	349.14	
	lanolin, anhydrous (in combination)	ophthalmic	emollient		I	349.14(a)(1)	
	lappa extract	anorectal	other	II(e,i)	II(e,i)	310.545(a)(26)(vii)	
	laureth 10S	vaginal contraceptive		IIIIE	310.545(a)(28)(i)		
09TM5K0034	lauryl isoquinolinium bromide	dandruff		III	III	310.545(a)(7)	
9YT4B71U8P	lavender compound, tincture of	digestive aid				310.545(a)(8)(ii)	
TLH4A6LV1W	lawsone (w/ dihydroxyacetone)	sunscreen			I	310.545(a)(29)	
RX077P88RY	lead acetate	external analgesic	poison ivy/oak/sumac			310.545(a)(10)(vii)	
RX077P88RY	lead acetate	skin protectant	poison ivy/oak/sumac			310.545(a)(18)(vi)(A)	
	lecithin	weight control	other		II	310.545(a)(20)	
	leptandra extract	anorectal		II(e,i)	II(e,i)	310.545(a)(26)(vii)	
GMW67QNF9C	leucine	weight control			II	310.545(a)(20)	
Y24T9BT2Q2	levmetamfetamine	cough/cold	nasal decongestant		I	341.20(b)(1)	inhalant
Y24T9BT2Q2	levmetamfetamine	cough/cold	nasal decongestant		I	341.20(b)(1)	topical
98PI200987	lidocaine	anorectal	local anesthetic		I(e),III(i)	346.10(f)	
98PI200988	lidocaine	external analgesic	male genital desensitizer		I	348.10(a)(2)	

(Continued)

TABLE 3 (CONTINUED)
Active Ingredients for OTC Monographs.

UNII Code	Active Ingredient	Monograph	Subcategory	Panel	Pending	Final	FR Citation
98P1200989	lidocaine	external analgesic		I	I		
98P1200990	lidocaine	oral health care	anesthetic/analgesic	II	II	310.545(a)(14)	
98P1200991	lidocaine	skin protectant	poison ivy/oak/sumac			310.545(a)(18)(vi)(A)	
V13007Z41A	lidocaine hydrochloride	external analgesic		I	I		
V13007Z41A	lidocaine hydrochloride	oral health care	anesthetic/analgesic	II	II	310.545(a)(14)	
CFN6G1F6YK	linden	digestive aid				310.545(a)(8)(ii)	
	lipase	digestive aid				310.545(a)(8)(ii)	
3NY3SM6B8U	live yeast cell derivative	anorectal	other			310.545(a)(26)(vii)	
3NY3SM6B8U	live yeast cell derivative	anorectal	wound healing	III(e,i)	III(e,i)	310.545(a)(26)(x)	
3NY3SM6B8U	live yeast cell derivative	skin protectant	insect bites/stings w/ sales less than \$2	III	III	310.545(a)(18)(v)(B)	
3NY3SM6B8U	live yeast cell derivative	skin protectant	poison ivy/oak/sumac w/ sales less than \$2	III	III	310.545(a)(18)(vi)(B)	
3NY3SM6B8U	live yeast cell derivative	skin protectant	w/ sales less than \$25,000	III	III	310.545(a)(18)(i)(B)	
K3Z4F9Z9H6	lysine	weight control		II	II	310.545(a)(20)	
	lysine	weight control		II	II	310.545(a)(20)	
JN123Q2COM	lysine aspirin	internal analgesic				310.545(a)(23)(i)	
JN123Q2COM	lysine hydrochloride	digestive aid				310.545(a)(8)(ii)	
GG04Y809LO	lysine hydrochloride	weight control		II	II	310.545(a)(20)	
	m-cresol	antifungal				310.545(a)(22)(iv)	
	magaldrate	antacid		I	I	331.11(g)(2)	
I38ZP9992A	magnesium	weight control		II	II	310.545(a)(20)	
6M2P64V0NC	magnesium aluminosilicate	antacid		I	I	331.11(g)(3)	
6M2P64V0NC	magnesium aluminosilicate	antacid		I	I	331.11(l)(1)	
6M2P64V0NC	magnesium aluminum silicate	acne		I	I	310.545(a)(1)	
0E53J927NA	magnesium carbonate	antacid		I	I	331.11(g)(4)	
0E53J927NA	magnesium carbonate	overindulgence in alcohol	hangover reliever	I	I		
RHO260IT9V	magnesium citrate (oral)	laxative	saline laxative	I	I		
IFN18A4Y6B	magnesium glycinate	antacid		I	I	331.11(g)(5)	
NBZ3QY004S	magnesium hydroxide	antacid		I	I	331.11(g)(6)	
NBZ3QY004S	magnesium hydroxide	digestive aid		I	I	310.545(a)(8)(i)	
NBZ3QY004S	magnesium hydroxide	laxative	antacid	III	I		
3A3U0G17IG	magnesium oxide	antacid	saline laxative	I	I		
3A3U0G17IG	magnesium oxide	weight control		II	II	331.11(g)(7)	
4I728CY7UX	magnesium salicylate	internal analgesic		I	I	310.545(a)(20)	
4I728CY7UX	magnesium salicylate	menstrual/diuretic		I	I		
DE08037SAB	magnesium sulfate	acne	analgesic	I	II		
DE08037SAB	magnesium sulfate	laxative	saline laxative	II	I	310.545(a)(1)	

(Continued)

TABLE 3 (CONTINUED)
Active Ingredients for OTC Monographs.

UNII Code	Active Ingredient	Monograph	Subcategory	Panel	Pending	Final	FR Citation
DE08037SAB	magnesium sulfate	menstrual/diuretic				310.545(a)(24)(i)	
C2E1C150IT	magnesium trisilicate	antacid			I	331.11(g)(8)	
C2E1C150IT	magnesium trisilicate	antacid			I	331.11(l)(2)	
C2E1C150IT	magnesium trisilicate	digestive aid	antacid	III	I	310.545(a)(8)(i)	
C2E1C150IT	magnesium trisilicate	overindulgence in alcohol	hangover reliever		I		
	malt	weight control			II	310.545(a)(20)	
R3NBG8914U	malt soup extract	laxative			I		
7CVR7L4A2D	maltodextrin	weight control			II	310.545(a)(20)	
4Z20A6A13N	manganese citrate	weight control			II	310.545(a)(20)	
3OWL53L36A	mannitol	digestive aid			II	310.545(a)(8)(ii)	
3OWL53L36A	mannitol	weight control			II	310.545(a)(20)	
HDP7W44C1O	meclizine hydrochloride	antiemetic			I	336.10(d)	
	menfegol	vaginal contraceptive		I			
L7T10EIP3A	menthol	antifungal		II	II	310.545(a)(22)(ii)	
L7T10EIP3A	menthol	dandruff		III	III	310.545(a)(7)	
L7T10EIP3A	menthol	skin protectant	astringent	II		310.545(a)(18)(ii)	
LT10EIP3A	menthol	anorectal	analgesic, anesthetic, antipruritic		I(e)	346.16(c)	
LT10EIP3A	menthol	anorectal	counterirritant	II(e.i)	II(e.i)	310.545(a)(26)(iv)	
LT10EIP3A	menthol	cough/cold	antitussive	III	III	341.14(b)(2)	steam inhalation
LT10EIP3A	menthol	cough/cold	antitussive	III	III	341.14(b)(2)	lozenge
LT10EIP3A	menthol	cough/cold	antitussive	III	I	341.14(b)(2)	lozenge
LT10EIP3A	menthol	cough/cold	antitussive	III	I	341.14(b)(2)	topical
LT10EIP3A	menthol	cough/cold	nasal decongestant	III	III		[59 FR 43408]
LT10EIP3A	menthol	external analgesic		I	I		
LT10EIP3A	menthol	oral health care	anesthetic/analgesic	I	I		
LT10EIP3A	menthol	relief of oral discomfort		IIS			
LT10EIP3A	menthol	skin protectant	insect bites/stings			310.545(a)(18)(v)(A)	
LT10EIP3A	menthol	skin protectant	poison ivy/oak/sumac			310.545(a)(18)(vi)(A)	
LT10EIP3A	menthol	wart remover		II	IISE		[55 FR 33254]
LT10EIP3A	menthol (0.1 to 1.0%)	external analgesic		I	I		
LT10EIP3A	menthol (mouthwash)	cough/cold	antihistamine	III	III	310.545(a)(6)(ii)(A)	
L7T10EIP3A	menthol exceeding 1%	external analgesic	fever blister/cold sore			310.545(a)(10)(v)	
	menthol/peppermint oil	cough/cold	expectorant		III	310.545(a)(6)(iii)	
J9QG600UZ	meradimate (menthyl anthranilate)	sunscreen		I	I	352.10(h)	
	meralein sodium	oral health care			IISE		
M0T18YH28D	merbromin	external analgesic	poison ivy/oak/sumac			310.545(a)(10)(vii)	(Continued)

TABLE 3 (CONTINUED)
Active Ingredients for OTC Monographs.

UNII Code	Active Ingredient	Monograph	Subcategory	Panel	Pending	Final	FR Citation
M0T18YH28D	merbromin	skin protectant	poison ivy/oak/sumac			310.545(a)(18)(vi)(A)	
M0T18YH28D	merbromin (mercuochrome)	antimicrobial	first aid antiseptic			310.545(a)(27)(i)	
	mercufenol chloride	antimicrobial	first aid antiseptic			310.545(a)(27)(i)	
	(ortho)chloromercuriphenol, orthohydroxyphenyl						
53GH7MZT1R	mercuric chloride	external analgesic	poison ivy/oak/sumac			310.545(a)(10)(viii)	
53GH7MZT1R	mercuric chloride	skin protectant	poison ivy/oak/sumac			310.545(a)(18)(vi)(A)	
53GH7MZT1R	mercuric chloride (bichloride of mercury, mercury chloride)	antimicrobial	first aid antiseptic			310.545(a)(27)(i)	
IY191986AO	mercuric oxide, yellow	antimicrobial	first aid antiseptic			310.545(a)(27)(i)	
	mercuric salicylate	antimicrobial	first aid antiseptic			310.545(a)(27)(i)	
	mercuric sulfide, red	antimicrobial	first aid antiseptic			310.545(a)(27)(i)	
	mercury	antimicrobial	first aid antiseptic			310.545(a)(27)(i)	
	mercury, ammoniated	skin bleach				310.545(a)(17)	
	mercury oleate	antimicrobial	first aid antiseptic	II	II	310.545(a)(27)(i)	
	mercury oleate	dandruff				310.545(a)(7)	
	mercury sulfide	antimicrobial	first aid antiseptic			310.545(a)(27)(i)	
	mercury-containing ingredient	antimicrobial	diaper rash			310.545(a)(27)(ii)	
	mercury-containing ingredients	vaginal contraceptive			310.545(a)(28)(i)		
GJ20H50YF0	metaproterenol sulfate	cough/cold	antihistamine	I	I	310.545(a)(6)(iv)	
KJ5I25TXYL	methapyrilene fumarate	cough/cold	antihistamine	I	II	310.545(a)(6)(i)	
KJ5I25TXYL	methapyrilene fumarate	internal analgesic		III	II	310.545(a)(23)(i)	
KJ5I25TXYL	methapyrilene fumarate	nighttime sleep aid	antihistamine	II	IIS		[54 FR 6826]
06S42N58OM	methapyrilene hydrochloride	cough/cold	antihistamine	I	II	310.545(a)(6)(i)	
06S42N58OM	methapyrilene hydrochloride	external analgesic	analgesic and anesthetic	I	II	310.545(a)(10)(i)	
06S42N58OM	methapyrilene hydrochloride	external analgesic	fever blister/cold sore	I	II	310.545(a)(10)(v)	
06S42N58OM	methapyrilene hydrochloride	external analgesic	poison ivy/oak/sumac	I	II	310.545(a)(10)(vii)	
06S42N58OM	methapyrilene hydrochloride	menstrual/diuretic				310.545(a)(24)(i)	
06S42N58OM	methapyrilene hydrochloride	nighttime sleep aid	antihistamine	II	IIS		[54 FR 6826]
J500IX95QV	methenamine	menstrual/diuretic				310.545(a)(24)(i)	
AE28F7PNPL	methionine	weight control			II	310.545(a)(20)	
52V8BVV7FX	methoxyphenamine hydrochloride	cough/cold	bronchodilator	I	II	310.545(a)(6)(iv)	
	methoxypolyoxyethyleneglycol 550 laurate	vaginal contraceptive		IIIE	310.545(a)(28)(i)		
7B1AVU9DJN	methyl nicotinate	external analgesic	fever blister/cold sore			310.545(a)(10)(v)	
LAV5U5022Y	methyl salicylate	corn/callus remover	II	IIIE		[55 FR 33261]	

(Continued)

TABLE 3 (CONTINUED)
Active Ingredients for OTC Monographs.

UNII Code	Active Ingredient	Monograph	Subcategory	Panel	Pending	Final	FR Citation
LAV5U5022Y	methyl salicylate	dandruff		III	III	310.545(a)(7)	
LAV5U5022Y	methyl salicylate	external analgesic	fever blister/cold sore			310.545(a)(10)(v)	
LAV5U5022Y	methyl salicylate	oral health care	anesthetic/analgesic	III	III	310.545(a)(14)	
LAV5U5022Y	methyl salicylate	relief of oral discomfort		IISE			
LAV5U5022Y	methyl salicylate	skin protectant	astringent			310.545(a)(18)(ii)	
	methyl/benzethonium chloride	antimicrobial		I	I		
	methyl/benzethonium chloride	antimicrobial		IIIE	IIIE		
	methyl/benzethonium chloride	antimicrobial		IIISE	IIISE		
	methyl/benzethonium chloride	antimicrobial		IISE	IISE		
	methyl/benzethonium chloride	corn/callus remover	II	IISE		[55 FR 33261]	
	methyl/benzethonium chloride	dandruff		III	III	310.545(a)(7)	
4GFU244C4J	methylcellulose	laxative		I	I		
4GFU244C4J	methylcellulose	ophthalmic	demulcents	I	I	349.12(a)(4)	
4GFU244C4J	methylcellulose	weight control		III	III	310.545(a)(20)	
T42P99266K	methylene blue	menstrual/diuretic		III	III	310.545(a)(24)(i)	
A28C7HI9T	methylparaben	antifungal		III	III	310.545(a)(22)(ii)	
VW4H1CYW1K	micazole nitrate	antifungal		I	I	333.210(c)	
	mild silver protein	ophthalmic	anti-infective	III	II	310.545(a)(21)(ii)	
917J3173FT	milk solids, dried	antacid		I	I	331.11(h)	
N6K5787QVP	mineral oil	skin protectant	diaper rash		I		
T5L8T28FGP	mineral oil	anorectal	protectant	I(e,i)	I(e,i)	346.14(a)(7)	
T5L8T28FGP	mineral oil	laxative	lubricant	I	I		
T5L8T28FGP	mineral oil	ophthalmic	emollient	I	I	349.14(b)(2)	
T5L8T28FGP	mineral oil	skin protectant		I	I	347.10(l)	
T5L8T28FGP	mineral oil, light	ophthalmic		I	I	349.14(b)(l)	
	mono- and diglycerides	weight control	emollient	II	II	310.545(a)(20)	
9936O846LI	mullein	anorectal	other	II(e,i)	II(e,i)	310.545(a)(26)(vii)	
N4G8379626	mustard oil (altilshthiocyanate) (topical/inhalant)	cough/cold	nasal decongestant	II	II		
	mycozyme	digestive aid				310.545(a)(8)(ii)	
JC71GJ1F3L	myrrh	relief of oral discomfort		IIISE			
JC71GJ1F3L	myrrh, fluid extract of	digestive aid				310.545(a)(8)(ii)	
JC71GJ1F3L	myrrh tincture	oral health care	astringent	II	II	310.545(a)(14)	
MZ1131787D	naphazoline hydrochloride	cough/cold	nasal decongestant	I	I	341.20(b)(6)	
MZ1131787D	naphazoline hydrochloride	ophthalmic	vasoconstrictor	I	I	349.18(b)	
MZ1131787D	naphazoline hydrochloride (jelly)	cough/cold	nasal decongestant	I	I	341.20(b)(6)	
	natural estrogenic hormone	menstrual/diuretic				310.545(a)(24)(i)	

(Continued)

TABLE 3 (CONTINUED)
Active Ingredients for OTC Monographs.

UNII Code	Active Ingredient	Monograph	Subcategory	Panel	Pending	Final	FR Citation
I16QD7X297	neomycin ointment (combination only)	first aid antibiotic			I	333.120	
057Y626693	neomycin sulfate	first aid antibiotic	ointment		I	333.110(d)	
057Y626693	neomycin sulfate cream	first aid antibiotic			I	333.110(e)	
0GG6WU2KW	nettle	digestive aid				310.545(a)(8)(ii)	
25X51I8RD4	niacinamide	menstrual/diuretic				310.545(a)(24)(i)	
25X51I8RD4	niacinamide	nighttime sleep aid			II		
25X51I8RD4	niacinamide	weight control			II	310.545(a)(20)	
	nickel-pectin	digestive aid				310.545(a)(8)(ii)	
RU6242GP15	nitromersol	antimicrobial	first aid antiseptic		IISE	310.545(a)(27)(i)	
RU6242GP15	nitromersol	oral health care					
nonylphenoxypoly	nonoxynol 9	vaginal contraceptive		I			
	nonylphenoxypoly (ethyleneoxy)	antimicrobial			IIIIE		
	ethanol iodine	antimicrobial			IIIE		
	nonylphenoxypoly (ethyleneoxy)	antimicrobial			IIIE		
	ethanol iodine	antimicrobial			IIIE		
	noscapine	cough/cold	antitussive	III	IIIIE		[52 FR 30054]
	noscapine hydrochloride	cough/cold	antitussive	III	IIIIE		[52 FR 30054]
AEE24M3MQ9	nutmeg oil (oil of nutmeg)	menstrual/diuretic				310.545(a)(24)(i)	
269XH13919	<i>Nux vomica</i> extract	digestive aid				310.545(a)(8)(ii)	
BDF1O1C72E	nystatin	antifungal		I	III	310.545(a)(22)(iv)	
	obtundia surgical dressing	external analgesic			IIIE		
	obtundia surgical dressing	skin protectant			IIIE		
4Y5P7MUD51	octinoxate (octyl methoxycinnamate; ethylhexyl methoxycinnamate)	sunscreen	insect bites/stings	I	I	352.10(j)	
	methoxycinnamate; ethylhexyl methoxycinnamate)	sunscreen					
4X49Y0596W	octisalate (octyl salicylate; ethylhexyl salicylate)	sunscreen		I	I	352.10(k)	
5A68WGF6WM	octocrylene	sunscreen		I	I	352.10(i)	
7JPC6Y25QS	octoxynol 9	vaginal contraceptive		I	310.545(a)(28)(ii)		
	octyl triazone (ethylhexyl triazone)	sunscreen		NA			
	oil of erigeron	menstrual/diuretic				310.545(a)(24)(i)	
	opium powder	antidiarrheal		I	III	310.545(a)(3)(i)	
	opium tincture	antidiarrheal		I	III	310.545(a)(3)(i)	
	organic vegetables	weight control			II	310.545(a)(20)	
E4GA8884NN	orthophosphoric acid	digestive aid				310.545(a)(8)(ii)	

(Continued)

TABLE 3 (CONTINUED)
Active Ingredients for OTC Monographs.

UNII Code	Active Ingredient	Monograph	Subcategory	Panel	Pending	Final	FR Citation
	ox bile	laxative	stimulant laxative		III	310.545(a)(12)(iv)(A)	
9500S7VE0Y	ox bile extract	digestive aid		II	II	310.545(a)(8)(g)	
K89MJ0SVY	oxybenzone (benzophenone-3)	sunscreen		I	I	352.10(1)	
	oxymetazoline hydrochloride (aqueous)	cough/cold	nasal decongestant		I	341.20(b)(7)	
K89MJ0SVY	oxymetazoline hydrochloride (jelly)	cough/cold	nasal decongestant	I	I	341.20(b)(7)	
5UTX5635HP	oxyquinoline	antifungal		III	III	310.545(a)(22)(ii)	
5UTX5635HP	oxyquinoline	antimicrobial			III/SE		
5UTX5635HP	oxyquinoline	oral health care			III/SE		
61VUG75Y3P	oxyquinoline sulfate	antifungal		III	III	310.545(a)(22)(ii)	
61VUG75Y3P	oxyquinoline sulfate	skin protectant	astringent	II	I	310.545(a)(18)(ii)	
4U7K4N52ZM	oxytetracycline hydrochloride (combination only)	first aid antibiotic	ointment		I	333.120	
0462U14DUI	p-t-butyl-m-cresol	skin protectant	astringent			310.545(a)(18)(ii)	
77FU10423X	padimate A (5 percent or higher)	sunscreen		I	I/SE		
77FU10423X	padimate A (up to 5 percent)	sunscreen			III/SE		
Z11006CMUJZ	padimate O	sunscreen		I	I	352.10(m)	
UA8U0KJM72	pamabrom	menstrual/diuretic	antihistamine	I	I		
FQ3DRG0N5K	pancreatin	digestive aid	exocrine pancreatic insufficiency	II/III	I	310.545(a)(8)(g)	
FQ3DRG0N5K	pancreatin	weight control			II	310.545(a)(20)	
FQ3DRG0N5K	pancrelipase	digestive aid	exocrine pancreatic insufficiency	II/III	I	310.545(a)(8)(g)	
WV9CM0067Z	panthenol	corn/callus remover				[55 FR 33261]	
WV9CM0067Z	panthenol	external analgesic	insect bite/sting	I/SE	II	310.545(a)(10)(vi)	
WV9CM0067Z	panthenol	external analgesic	poison ivy/oak/sumac			310.545(a)(10)(vii)	
WV9CM0067Z	panthenol	skin protectant	poison ivy/oak/sumac			310.545(a)(18)(vi)(A)	
19F5HK2737	pantothenic acid	weight control			II	310.545(a)(20)	
A236A06Y32	papain	digestive aid		II	II	310.545(a)(8)(g)	
A236A06Y32	papain	weight control		II	II	310.545(a)(20)	
KU94FY6JB	papaya enzymes	weight control		II	II	310.545(a)(20)	
KU94FY6JB	papaya, natural	digestive aid		II	II	310.545(a)(8)(g)	
3JJ4O33LAY	para-chloromercuriphenol	antimicrobial	diaper rash		I	310.545(a)(27)(ii)	
3JJ4O33LAY	para-chloromercuriphenol	antimicrobial	first aid antiseptic		I	310.545(a)(27)(i)	
1900E3HZZE	paraffin	ophthalmic	emollient	I	I	349.14(b)(3)	
	paregoric	antidiarrheal			III	310.545(a)(3)(i)	
414P5USJ7F	parethoxyacaine hydrochloride	external analgesic	poison ivy/oak/sumac			310.545(a)(10)(vii)	

(Continued)

TABLE 3 (CONTINUED)
Active Ingredients for OTC Monographs.

UNII Code	Active Ingredient	Monograph	Subcategory	Panel	Pending	Final	FR Citation
68E52E5167	parethoxycaine hydrochloride	skin protectant	poison ivy/oak/sumac			310.545(a)(18)(vi)(A)	
58FMD0Q0EV	parsley	menstrual/diuretic		II		310.545(a)(24)(i)	
SY49TH8VUA	passion flower extract	nighttime sleep aid					
89NA02M4RX	pectin	anti-diarrheal	w/ sales less than \$25,000	III	III	310.545(a)(3)(ii)	
89NA02M4RX	pectin	digestive aid				310.545(a)(8)(ii)	
89NA02M4RX	pectin	external analgesic	fever blister/cold sore			310.545(a)(10)(v)	
89NA02M4RX	pectin	oral health care	demulcents	I	I		
V95R5KMY2B	peppermint	digestive aid			IIIIE	310.545(a)(8)(ii)	[52 FR 30054]
V95R5KMY2B	peppermint oil	cough/cold	antitussive		IIIIE		[59 FR 43408]
V95R5KMY2B	peppermint oil	cough/cold	nasal decongestant		IIIIE		
V95R5KMY2B	peppermint oil	digestive aid		III	III	310.545(a)(8)(i)	
V95R5KMY2B	peppermint oil	external analgesic	insect bite/sting	II		310.545(a)(10)(vi)	
V95R5KMY2B	peppermint oil	skin protectant	astrigent			310.545(a)(18)(ii)	
V95R5KMY2B	peppermint oil	skin protectant	insect bites/stings			310.545(a)(18)(v)(A)	
V95R5KMY2B	peppermint oil	cough/cold	antihistamine		IIIIE	310.545(a)(6)(ii)(A)	
V95R5KMY2B	peppermint oil (mouthwash)	gingivitis/plaque				310.545(a)(8)(ii)	
V95R5KMY2B	peppermint oil and sage oil	digestive aid		II	II	310.545(a)(24)(i)	
V95R5KMY2B	peppermint spirit	menstrual/diuretic				310.545(a)(8)(i)	
V95R5KMY2B	peppermint spirit	digestive aid		II	II	310.545(a)(20)	
	pepsin	weight control				310.545(a)(24)(i)	
	pepsin, essence	menstrual/diuretic				310.545(a)(26)(x)	
367E55FXGW	Peruvian balsam	anorectal	wound healing	III(e.i)	III(e.i)		
367E55FXGW	Peruvian balsam	skin protectant	diaper rash		IIIIE		
367E55FXGW	Peruvian balsam oil	ophthalmic			I		
367E55FXGW	Peruvian balsam oil	skin protectant	diaper rash		III		
4T6H12BN9U	petrolatum	anorectal	protectant		I(e.i)	346.14(a)(8)	
4T6H12BN9U	petrolatum	ophthalmic	emollient	NA		349.14(b)(4)	
4T6H12BN9U	petrolatum	skin protectant		I	I	347.10(m)	
4T6H12BN9U	petrolatum, white	ophthalmic	emollient	I	I	349.14(b)(6)	
4T6H12BN9U	petrolatum, white	skin protectant		I	I	347.10(r)	
70C1507JU9	phenacaine hydrochloride	anorectal	anesthetic	II(e.i)	II(e.i)	310.545(a)(26)(vi)	
ER0CTH01H9	phenacetin	digestive aid				310.545(a)(8)(ii)	
ER0CTH01H9	phenacetin	internal analgesic		II	II	310.545(a)(23)(i)	
ER0CTH01H9	phenacetin	menstrual/diuretic				310.545(a)(24)(i)	
ER0CTH01H9	phenacetin	weight control		II	II	310.545(a)(20)	
28725X3PV8	phenindamine tartrate	cough/cold	antihistamine	I	I	341.12(i)	
28725X3PV8	phenindamine tartrate	menstrual/diuretic				310.545(a)(24)(i)	
NYW905655B	pheniramine maleate	cough/cold	antihistamine	I	I	341.12(j)	

(Continued)

TABLE 3 (CONTINUED)
Active Ingredients for OTC Monographs.

UNII Code	Active Ingredient	Monograph	Subcategory	Panel	Pending	Final	FR Citation
NYW905655B	pheniramine maleate	internal analgesic		III	III	310.545(a)(23)(i)	
YQE403BP4D	phenobarbital	cough/cold	miscellaneous	II	IIS	310.545(a)(1)	
339NCG44TV	phenol	acne	antiseptic	II(e,i)	II	310.545(a)(26)(ii)	
339NCG44TV	phenol	anorectal		II	III	310.545(a)(22)(ii)	
339NCG44TV	phenol	antifungal			I		
339NCG44TV	phenol	antimicrobial			IIIIE		
339NCG44TV	phenol	antimicrobial			IIIIE		
339NCG44TV	phenol	antimicrobial			IIIIE		
339NCG44TV	phenol	antimicrobial			IIS		
339NCG44TV	phenol	dandruff		III	III	310.545(a)(7)	
339NCG44TV	phenol	external analgesic		I	I		
339NCG44TV	phenol	oral health care	anesthetic/analgesic	I	I		
339NCG44TV	phenol	relief of oral discomfort		I			
339NCG44TV	phenol	relief of oral discomfort		IIIIE			
339NCG44TV	phenol	skin protectant	astringent	II		310.545(a)(18)(ii)	
339NCG44TV	phenol	skin protectant	insect bites/stings			310.545(a)(18)(v)(A)	
339NCG44TV	phenol	skin protectant	poison ivy/oak/sumac			310.545(a)(18)(vi)(A)	
4NC0T56V35	phenolate sodium	acne		II	II	310.545(a)(1)	
4NC0T56V35	phenolate sodium	antifungal		II	III	310.545(a)(22)(ii)	
4NC0T56V35	phenolate sodium	dandruff		III	III	310.545(a)(7)	
4NC0T56V35	phenolate sodium	external analgesic		I	I		
4NC0T56V35	phenolate sodium	oral health care	anesthetic/analgesic	I	I		
4NC0T56V35	phenolate sodium	relief of oral discomfort		I			
4NC0T56V35	phenolate sodium	relief of oral discomfort		IIIIE			
6QK969R2IF	phenolphthalein	laxative	stimulant laxative		I	310.545(a)(12)(iv)(B)	
YRC253429Q	phenoxyacetic acid	corn/callus remover	III	IIIIE		[55 FR 33261]	
28A37T47QO	phenyl salicylate	corn/callus remover	II	II		310.545(a)(1)	
28A37T47QO	phenyl salicylate	acne		II	II		
28A37T47QO	phenyl salicylate	antiemetic		III	II		
28A37T47QO	phenyl salicylate	antifungal		III	III	310.545(a)(22)(ii)	
28A37T47QO	phenyl salicylate	menstrual/diuretic		III	III	310.545(a)(24)(i)	
28A37T47QO	phenyl salicylate (salo)	antidiarrheal		III	III	310.545(a)(3)(i)	
47E5O17Y3R	phenylalanine	weight control			II	310.545(a)(20)	
2703Q5ML57	phenylephrine bitartrate (effervescent)	cough/cold	nasal decongestant			341.20(a)(4)	
04JA59TNSJ	phenylephrine hydrochloride	anorectal	vasoconstrictor		I	346.12(d)	
04JA59TNSJ	phenylephrine hydrochloride	cough/cold	nasal decongestant		I	341.20(a)(1)	oral
04JA59TNSJ	phenylephrine hydrochloride	ophthalmic	vasoconstrictor	III	III	310.545(a)(21)(v)	

(Continued)

TABLE 3 (CONTINUED)
Active Ingredients for OTC Monographs.

UNII Code	Active Ingredient	Monograph	Subcategory	Panel	Pending	Final	FR Citation
04JA59TNSJ	phenylephrine hydrochloride	oral health care		III			
04JA59TNSJ	phenylephrine hydrochloride (0.08 to 0.2%)	ophthalmic	vasoconstrictor	I	I	349.18(c)	
04JA59TNSJ	phenylephrine hydrochloride (aqueous)	cough/cold	nasal decongestant	I	I	341.20(b)(8)	
04JA59TNSJ	phenylephrine hydrochloride (jelly)	cough/cold	nasal decongestant	I	I	341.20(b)(8)	
OSX88361UX	phenylmercuric acetate	vaginal contraceptive		IIS	310.545(a)(28)(i)		
CG8692ZN14	phenylmercuric nitrate	antimicrobial	first aid antiseptic			310.545(a)(27)(i)	
CG8692ZN14	phenylmercuric nitrate	vaginal contraceptive		IIS	310.545(a)(28)(i)		
B48FTC099P	phenylpropranolamine bitartrate	cough/cold	nasal decongestant	I			
8D5163UE1Q	phenylpropranolamine hydrochloride	oral health care		III			
8D5163UE1Q	phenylpropranolamine hydrochloride	weight control			I/IIS		
8D5163UE1Q	phenylpropranolamine hydrochloride (oral)	cough/cold	nasal decongestant	I			
8D5163UE1Q	phenylpropranolamine hydrochloride (topical)	cough/cold	nasal decongestant	III			
8UE48MJH8M	phenylpropranolamine maleate	cough/cold	nasal decongestant	I	IIIE		
8UE48MJH8M	phenyltoloxamine citrate	internal analgesic					
8UE48MJH8M	phenyltoloxamine citrate	menstrual/diuretic	antihistamine	NA	III		
8UE48MJH8M	phenyltoloxamine dihydrogen citrate	cough/cold	antihistamine			310.545(a)(6)(i)	
8UE48MJH8M	phenyltoloxamine dihydrogen citrate	external analgesic	poison ivy/oak/sumac			310.545(a)(10)(vii)	
8UE48MJH8M	phenyltoloxamine dihydrogen citrate	internal analgesic		III	III		
8UE48MJH8M	phenyltoloxamine dihydrogen citrate	nighttime sleep aid	antihistamine	III	IISE		[54 FR 6826]
8UE48MJH8M	phenyltoloxamine dihydrogen citrate	skin protectant	poison ivy/oak/sumac			310.545(a)(18)(vi)(A)	
8UE48MJH8M	phenyltoloxamine hydrochloride	cough/cold	antihistamine	I		310.545(a)(6)(i)	
E4GA8884NN	phosphorated carbohydrate	antiemetic			III		
27YLU75U4W	phosphoric acid	antiarthritic		II	NC	310.545(a)(2)(ii)	
11E6V18VEG	phosphorus	weight control			II	310.545(a)(20)	
	phytolacca	weight control			II	310.545(a)(20)	

(Continued)

TABLE 3 (CONTINUED)
Active Ingredients for OTC Monographs.

UNII Code	Active Ingredient	Monograph	Subcategory	Panel	Pending	Final	FR Citation
ZLT174DL7U	picrotoxin pine tar pine tar preparations (extract white pine compound, pine tar, syrup of pineapple enzymes piperazine citrate piperocaine hydrochloride piperonyl butoxide (combination) pipsissewa <i>Piscidia erythrina</i> <i>Plantago ovata</i> husks plantago seed plantago seed plantago seed husks (see psyllium seed husks) plantago seed, blond (see plantago seed) podophyllum resin (podophyllin) poloxamer poloxamer 188 poloxamer 407 poloxamer-iodine complex poloxamer-iodine complex polycarbophil polycarbophil polydimethylsiloxane and poloxamer polyethylene glycol 300 polyethylene glycol 400 polyethylene glycol 6000 polymyxin B sulfate (combination only) polyols, liquid polyoxyethylene laurate polysorbate 80 polyvinyl alcohol potassium acetate	pediculicide dandruff cough/cold weight control antheimimic ophthalmic pediculicide menstrual/diuretic menstrual/diuretic laxative laxative weight control laxative laxative laxative laxative laxative laxative antimicrobial relief of oral discomfort antimicrobial antimicrobial antidiarrheal laxative gingivitis/plaque ophthalmic ophthalmic ophthalmic first aid antibiotic ophthalmic skin protectant ophthalmic ophthalmic menstrual/diuretic	expectorant	II III III	II III III	310.545(a)(25)(i) 310.545(a)(7) 310.545(a)(6)(iii)	[51 FR 27758]
63KP7FXF2I				II	II	310.545(a)(20)	
Q2RH0XR1MB			anesthetic	II	IIS	310.545(a)(21)(i)	
LWK91TU9AH				II	II	310.545(a)(25)(ii)	
58JV0P12FY			botanical/vegetable herb	II	II	310.545(a)(24)(i)	
9C60Y73166			bulk laxative	II	II	310.545(a)(24)(i)	
9C60Y73166			bulk laxative	I	I		
9C60Y73166			weight control	III	III	310.545(a)(20)	
9C60Y73166			laxative	IISE	IISE		
9C60Y73166			laxative	IISE	IISE		
LQA7B6G8JG			stimulant laxative	II	II	310.545(a)(12)(iv)(A)	
TUF21VW3M2			stool softener	III	III	310.545(a)(12)(iii)	
				I	I		
				IIIE	IIIE		
				IISE	IISE		
			w/ sales less than \$25,000	I	I	310.545(a)(3)(ii)	
				I	I		
				IIIE	IIIE		
5655G9Y8AQ			demulcents	I	I	349.12(d)(2)	
B697894SGQ			demulcents	I	I	349.12(d)(3)	
30IQX730WE			demulcents	NA	III	310.545(a)(21)(iv)	
19371312D4			ointment	I	I	333.120	
			demulcents			349.12(d)	
60ZP39ZG8H			astringent	II		310.545(a)(18)(ii)	
532B59J990			demulcents	I	I	349.12(d)(4)	
M911911U02			demulcents	I	I	349.12(e)	
			menstrual/diuretic			310.545(a)(24)(i)	

(Continued)

TABLE 3 (CONTINUED)
Active Ingredients for OTC Monographs.

UNII Code	Active Ingredient	Monograph	Subcategory	Panel	Pending	Final	FR Citation
HM5Z15LEBN	potassium bicarbonate	antacid				331.11(j)(1)	
HM5Z15LEBN	potassium bicarbonate	digestive aid				310.545(a)(8)(ii)	[54 FR 6826]
OSD7855ZM	potassium bromide	nighttime sleep aid	bromide	II	IISE	331.11(j)(1)	
BQNI B9B9HA	potassium carbonate	antacid				310.545(a)(3)(ii)	
BQNI B9B9HA	potassium carbonate	antidiarrheal	w/ sales less than \$25,000	II	II	310.545(a)(8)(ii)	
BQNI B9B9HA	potassium carbonate	digestive aid				310.545(a)(20)	
H35KS68EE7	potassium chlorate	oral health care				310.545(a)(18)(ii)	
EE90ONI6FF	potassium citrate	weight control				310.545(a)(6)(iii)	
GTP1P30292	potassium ferrocyanide	skin protectant	astringent	II	III	310.545(a)(24)(i)	
TTK33Z47F1	potassium guaiaacolsulfonate	cough/cold	expectorant				
1C4QK22F9J	potassium iodide	oral health care	expectorant	II			
RU45X2JN0Z	potassium nitrate	menstrual/diuretic					
K91YT16P5M	potassium salicylate	internal analgesic					
FZ989GH94E	povidone	opthalmic	demulcents	I	I	349.12(f)	
85H0HZU99M	povidone-iodine	acne		III	III	310.545(a)(1)	
85H0HZU99M	povidone-iodine	antifungal		III	I	333.210(d)	
85H0HZU99M	povidone-iodine	antimicrobial		III	I		
85H0HZU99M	povidone-iodine	antimicrobial		III	I		
85H0HZU99M	povidone-iodine	dandruff		III	III	310.545(a)(7)	
85H0HZU99M	povidone-iodine	oral health care					
85H0HZU99M	povidone-iodine	external analgesic	poison ivy/oak/sumac	II	III	310.545(a)(10)(vii)	
	povidone-vinylacetate copolymers	skin protectant	poison ivy/oak/sumac			310.545(a)(18)(vi)(A)	
88AYB867L5	pramoxine hydrochloride	anorectal	local anesthetic	I(e),III(i)	I(e),III(i)	346.10(g)	
88AYB867L5	pramoxine hydrochloride	external analgesic		I	I		
88AYB867L5	pramoxine hydrochloride	skin protectant	diaper rash				
88AYB867L5	pramoxine hydrochloride (combination)	anorectal				310.545(a)(26)(xi)	
70FD1KFU70	precipitated sulfur	anorectal	keratolytic	III(e),II(i)	III(e),II(i)	310.545(a)(26)(v)	
	prolase	digestive aid				310.545(a)(8)(ii)	
JHU490RVYR	propionic acid	antifungal	demulcents	III	III	310.545(a)(22)(ii)	
6DC9Q167V3	propylene glycol	opthalmic		I	I	349.12(d)(5)	
6DC9Q167V3	propylene glycol	pediculicide		II	II	310.545(a)(25)(i)	
LQU92IU8LL	propylhexedrine	cough/cold	nasal decongestant	I	I	341.20(b)(9)	inhalant
Z81X2SC1OH	propylparaben	antifungal		III	III	310.545(a)(22)(ii)	
	protease	digestive aid				310.545(a)(8)(ii)	
	protein hydrolysate	skin protectant	diaper rash		III	310.545(a)(18)(iii)	

(Continued)

TABLE 3 (CONTINUED)
Active Ingredients for OTC Monographs.

UNII Code	Active Ingredient	Monograph	Subcategory	Panel	Pending	Final	FR Citation
S800119YLZ	prune concentrate dehydrate	laxative	stimulant laxative		III	310.545(a)(12)(iv)(A)	
S800119YLZ	prune powder	laxative	stimulant laxative		III	310.545(a)(12)(iv)(A)	
6V9V2RYJ8N	pseudoephedrine hydrochloride	cough/cold	bronchodilator	II	II	310.545(a)(6)(iv)	oral
6V9V2RYJ8N	pseudoephedrine hydrochloride	cough/cold	nasal decongestant	I	I	341.20(a)(2)	
Y9DL7QPE6B	pseudoephedrine sulfate	cough/cold	bronchodilator	II	II	310.545(a)(6)(iv)	oral
Y9DL7QPE6B	pseudoephedrine sulfate	cough/cold	nasal decongestant	I	I	341.20(a)(3)	
Y9DL7QPE6B	pseudoephedrine sulfate (oral)	cough/cold	nasal decongestant	I	I		
9C60Y73166	psyllium (hemicellulose) (see plantago seed)	laxative			IISE		
9C60Y73166	psyllium hydrophilic mucilloid	laxative			I		
9C60Y73166	psyllium seed	laxative			I		
9C60Y73166	psyllium seed (blond)	laxative			I		
9C60Y73166	psyllium seed husks	laxative			I		
9C60Y73166	psyllium (hemicellulose)	laxative			I		
9C60Y73166	psyllium (hemicellulose)	laxative	bulk laxative		I		
81BK194Z5M	pyrantel pamoate	anthelmintic		I	I	357.11	
ZUM06L90GV	pyrethrum extract (combination)	pediculicide	aerosol	I	I	310.545(a)(25)(ii)	
ZUM06L90GV	pyrethrum extract (combination)	pediculicide	nonaerosol	I	I	358.61	
68Y4CF58BV	pyridoxine hydrochloride	menstrual/diuretic	vitamin	III	IIIE		
68Y4CF58BV	pyridoxine hydrochloride	skin protectant	fever blister/cold sore		II	310.545(a)(18)(iv)	
68Y4CF58BV	pyridoxine hydrochloride (vitamin B6)	weight control			II	310.545(a)(20)	
R35D29L3ZA	pyrilamine maleate	acne		II	II	310.545(a)(1)	
R35D29L3ZA	pyrilamine maleate	cough/cold	antihistamine	I	I	341.12(k)	
R35D29L3ZA	pyrilamine maleate	external analgesic	insect bite/sting			310.545(a)(10)(vi)	
R35D29L3ZA	pyrilamine maleate	external analgesic	poison ivy/oak/sumac			310.545(a)(10)(vii)	
R35D29L3ZA	pyrilamine maleate	internal analgesic		III	III	310.545(a)(23)(i)	
R35D29L3ZA	pyrilamine maleate	menstrual/diuretic	antihistamine	I	IIIE		[54 FR 6826]
R35D29L3ZA	pyrilamine maleate	nighttime sleep aid	antihistamine	III	IIIE		
R35D29L3ZA	pyrilamine maleate	oral health care	anesthetic/analgesic	II	II	310.545(a)(14)	
R35D29L3ZA	pyrilamine maleate	skin protectant	insect bites/stings			310.545(a)(18)(v)(A)	
R35D29L3ZA	pyrilamine maleate	skin protectant	insect bites/stings			310.545(a)(18)(v)(A)	
R35D29L3ZA	pyrilamine maleate	skin protectant	poison ivy/oak/sumac				
R35D29L3ZA	pyrilamine maleate	skin protectant	dandruff (wash-off)	I	I	358.710(a)(2)	
R953O2RRHZ5	pyrithione zinc	dandruff	dandruff (wash-off)	I	I	358.710(a)(3)	
R953O2RRHZ6	pyrithione zinc	dandruff	dandruff (leave-on)	I	I	358.710(a)(3)	
R953O2RRHZ7	pyrithione zinc	dandruff	seborrheic dermatitis (wash-off)	I	I	358.710(b)(2)	
R953O2RRHZ8	pyrithione zinc	dandruff	seborrheic dermatitis (leave-on)	I	I	358.710(b)(3)	
A7V2PHC7A	quinine	antimalarial				310.547	

(Continued)

TABLE 3 (CONTINUED)
Active Ingredients for OTC Monographs.

UNII Code	Active Ingredient	Monograph	Subcategory	Panel	Pending	Final	FR Citation
A7V27PHC7A	quinine	internal analgesic		II	II	310.545(a)(23)(i)	
73JWTK6T3	racemethionine	skin protectant	diaper rash		III	310.545(a)(18)(iii)	
43SK4LA07D	racepinephrine hydrochloride	cough/cold	bronchodilator		I	341.16(f)	
43SK4LA07D	racepinephrine hydrochloride (oral/topical)	cough/cold	nasal decongestant	I	I	310.545(a)(6)(ii)(B)	
43SK4LA07D	racepinephrine hydrochloride	cough/cold	bronchodilator	I	I	341.16(g)	inhalation
43SK4LA07D	racepinephrine hydrochloride	cough/cold	bronchodilator	I	I	341.16(g)	rubber bulb
	red petrolatum	sunscreen		I	I	310.545(a)(29)	
	resosote	relief of oral discomfort		III SE			
YUL4LO94HK	resorcinol	anorectal	antiseptic	III(e),II(i)	III(e),II(i)	310.545(a)(26)(ii)	
YUL4LO94HK	resorcinol	anorectal	keratolytic	I(e),II(i)	I(e),II(i)	346.20(b)	
YUL4LO94HK	resorcinol	antifungal		II	II	310.545(a)(22)(ii)	
YUL4LO94HK	resorcinol	antimicrobial		II SE			
YUL4LO94HK	resorcinol	dandruff		II	II	310.545(a)(7)	56 FR 63567
YUL4LO94HK	resorcinol	external analgesic		I	I		
YUL4LO94HK	resorcinol	skin protectant	diaper rash		II	310.545(a)(1)	
YUL4LO94HK	resorcinol (as single ingredient only)	acne		III			
YUL4LO94HK	resorcinol (when combined with sulfur)	acne				333.310(b)	
YUL4LO94HK	resorcinol monoacetate (as single ingredient only)	acne		III	II	310.545(a)(1)	
YUL4LO94HK	resorcinol monoacetate (when combined with sulfur)	acne				333.310(c)	
G280W4MW6E	rhubarb fluid extract	digestive aid		II	II	310.545(a)(8)(ii)	
G280W4MW6E	rhubarb fluid extract	antidiarrheal	w/ sales less than \$25,000		III	310.545(a)(3)(ii)	
	rhubarb, Chinese	laxative	stimulant laxative		III	310.545(a)(12)(iv)(A)	
TLM2976OFR	riboflavin	menstrual/diuretic			II	310.545(a)(24)(i)	
TLM2976OFR	riboflavin	weight control			II	310.545(a)(20)	
	rice polishes	weight control			II	310.545(a)(20)	
6NAF1689JO	sabadilla, alkaloids	pediculicide		II	II	310.545(a)(25)(i)	
FST467XS7D	saccharin	weight control			II	310.545(a)(20)	
U27K0H1H2O	sage oil	skin protectant	astringent	II		310.545(a)(18)(ii)	
FA IN0842KB	salicyl alcohol	oral health care		I	I		
EM8BM710ZC	salicylamide	external analgesic	analgesic and anesthetic	III	III	310.545(a)(10)(i)	
EM8BM710ZC	salicylamide	external analgesic	fever blister/cold sore	III	III	310.545(a)(10)(v)	
EM8BM710ZC	salicylamide	external analgesic	poison ivy/oak/sumac	III	III	310.545(a)(10)(vii)	
EM8BM710ZC	salicylamide	internal analgesic		III	III SE		

(Continued)

TABLE 3 (CONTINUED)
Active Ingredients for OTC Monographs.

UNII Code	Active Ingredient	Monograph	Subcategory	Panel	Pending	Final	FR Citation
EM8BM710ZC	salicylamide	menstrual/diuretic		II	IIISE		[54 FR 6826]
EM8BM710ZC	salicylamide	nighttime sleep aid		III	III	333.310(d)	
O414PZ4LPZ	salicylic acid	acne		III	I	310.545(a)(22)(ii)	
O414PZ4LPZ	salicylic acid	antifungal	collodion-like vehicle	I	I	358.510(b)	
O414PZ4LPZ	salicylic acid	corn/callus remover	plaster vehicle	I	I	358.510(a)	
O414PZ4LPZ	salicylic acid	dandruff	dandruff	I	I	358.710(a)(4)	
O414PZ4LPZ	salicylic acid	dandruff	psoriasis	I	I	358.710(c)(2)	
O414PZ4LPZ	salicylic acid	dandruff	seborrheic dermatitis	I	I	358.710(b)(4)	
O414PZ4LPZ	salicylic acid	external analgesic	poison ivy/oak/sumac			310.545(a)(10)(vii)	
O414PZ4LPZ	salicylic acid	skin protectant	poison ivy/oak/sumac			310.545(a)(18)(vi)(A)	
O414PZ4LPZ	salicylic acid	wart remover	collodion-like vehicle	I	I	358.110(b)	
O414PZ4LPZ	salicylic acid	wart remover	karaya gum, glycol plaster vehicle	I	I	358.110(c)	
O414PZ4LPZ	salicylic acid	wart remover	plaster vehicle		IISE	358.110(a)	
O414PZ4LPZ	salicylic acid (over 2 up to 5%)	acne		III	III	310.545(a)(1)	
V9MO595C9I	salsalate	internal analgesic		III	III	310.545(a)(23)(i)	
N9288CD508	sanguinaria extract	gingivitis/plaque			IIIE		
J7WW9M8QS	saw palmetto	menstrual/diuretic					
	scopolamine aminoxide hydrobromide	nighttime sleep aid	scopolamine compound	II	IIS	310.545(a)(24)(i)	[54 FR 6826]
451IFR0GXB	scopolamine hydrobromide	antidiarrheal		II	II	310.545(a)(3)(i)	
451IFR0GXB	scopolamine hydrobromide	antiemetic			III		
451IFR0GXB	scopolamine hydrobromide	nighttime sleep aid	scopolamine compound	II	IIS		[54 FR 6826]
Z69D9E381Q	sea minerals	weight control			II	310.545(a)(20)	
Z69D9E381Q	selenium sulfide	dandruff	dandruff	I	I	358.710(a)(5)	
Z69D9E381Q	selenium sulfide	dandruff	seborrheic dermatitis	I	I	358.710(b)(5)	
5WS1632J8W	selenium sulfide, micronized	dandruff	dandruff	I	I	358.710(a)(6)	
AK7JF626KX	<i>Senecio aureus</i>	menstrual/diuretic	botanical/vegetable herb	II	II	310.545(a)(24)(i)	
AK7JF626KX	senna	digestive aid				310.545(a)(8)(ii)	
AK7JF626KX	senna fluid extract	laxative			I/IIIS		
S8S119N2NX	senna pod concentrate	laxative			I/IIIS		
	senna syrup	laxative			I/IIIS		
	sennosides A and B	laxative			I		
7Y1255HVXRX	sesame seed	weight control			II	310.545(a)(20)	
4B24275HEU	shark liver oil	anorectal	wound healing	III(e.i)	III(e.i)	310.545(a)(26)(x)	
4B24275HEU	shark liver oil	antimicrobial			n/a		

(Continued)

TABLE 3 (CONTINUED)
Active Ingredients for OTC Monographs.

UNII Code	Active Ingredient	Monograph	Subcategory	Panel	Pending	Final	FR Citation
4B24275HEU	shark liver oil	skin protectant	insect bites/stings w/ sales less than \$2	I	I	310.545(a)(18)(v)(B)	
4B24275HEU	shark liver oil	skin protectant	poison ivy/oak/sumac w/ sales less than \$2	I	I	310.545(a)(18)(vi)(B)	
4B24275HEU	shark liver oil	skin protectant	w/ sales less than \$25,000	I	I	310.545(a)(18)(i)(B)	
4B24275HEU	shark liver oil (combination only)	anorectal	protectant	I(c,i)	I(c,i)	346.14(b)(3)	
95T3W8JZE	silver nitrate	skin protectant	astringent	II	I	310.545(a)(18)(ii)	
TYU5GP6XGE	simethicone	antiflatulent		III	I	332.10	[58 FR 54454]
TYU5GP6XGE	simethicone	digestive aid					
TYU5GP6XGE	simethicone	external analgesic	poison ivy/oak/sumac			310.545(a)(10)(vii)	
TYU5GP6XGE	simethicone	skin protectant	poison ivy/oak/sumac			310.545(a)(18)(vi)(A)	
25K35WQ32Q	sodium	weight control		II	II	310.545(a)(20)	[64 FR 27682]
	sodium	sunscreen					
	3,4-dimethylphenyl-glyoxylate						
	sodium acetylsalicylate (in solution)	overindulgence in alcohol	hangover reliever		I		
	sodium aluminum chlorohydroxy lactate	antiperspirant		IIISE	IIISE	310.545(a)(4)(i)	
O1245FE5EU	sodium benzoate	menstrual/diuretic				310.545(a)(24)(i)	
8MDF5V39QO	sodium bicarbonate	antacid		II	I	331.11(k)(1)	
8MDF5V39QO	sodium bicarbonate	anticoagulant		III	II		
8MDF5V39QO	sodium bicarbonate	digestive aid	antacid		I	310.545(a)(8)(i)	
8MDF5V39QO	sodium bicarbonate	external analgesic			n/a		
8MDF5V39QO	sodium bicarbonate	gingivitis/plaque			IIIE		
8MDF5V39QO	sodium bicarbonate	oral health care	wound cleansing	I	I	347.10(o)	
8MDF5V39QO	sodium bicarbonate	skin protectant		I	NA		
8MDF5V39QO	sodium bicarbonate	weight control		II	III	310.545(a)(20)	
91MBZ8H3QO	sodium borate	acne		II	II	310.545(a)(1)	
91MBZ8H3QO	sodium borate	antifungal		III	II	310.545(a)(22)(ii)	
91MBZ8H3QO	sodium borate	dandruff		II	II	310.545(a)(7)	
91MBZ8H3QO	sodium borate	external analgesic	insect bite/sting		IISE	310.545(a)(10)(vi)	
91MBZ8H3QO	sodium borate	oral health care		II			
91MBZ8H3QO	sodium borate	skin protectant	astringent		IISE	310.545(a)(18)(ii)	
91MBZ8H3QO	sodium borate	skin protectant	insect bites/stings		IISE	310.545(a)(18)(v)(A)	
LC1V549NOM	sodium borate monohydrate	oral health care				310.519(a)	
LC1V549NOM	sodium bromide	daytime sedative		II	IISE		[54 FR 6826]
9XTM81VK2B	sodium bromide	nighttime sleep aid	bromide	III	III	310.545(a)(22)(ii)	
9XTM81VK2B	sodium caprylate	antifungal					
9XTM81VK2B	sodium caprylate	oral health care					

(Continued)

TABLE 3 (CONTINUED)
Active Ingredients for OTC Monographs.

UNII Code	Active Ingredient	Monograph	Subcategory	Panel	Pending	Final	FR Citation
45P3261C7T	sodium carbonate	antacid			I	331.11(k)(1)	
45P3261C7T	sodium carbonate	antacaries				310.545(a)(2)(g)	
	sodium carboxymethylcellulose	antidiarrheal		III	III		
	sodium carboxymethylcellulose	laxative	bulk laxative		I		
7473P669E	sodium caseinate	weight control			II	310.545(a)(20)	
451W47IQ8X	sodium chloride	digestive aid				310.545(a)(8)(ii)	
451W47IQ8X	sodium chloride	ophthalmic	hypertonic agent	I	I	349.16	
451W47IQ8X	sodium chloride (salt)	weight control			II	310.545(a)(20)	
1Q73Q2JULR	sodium citrate	cough/cold	expectorant	III	III	310.545(a)(6)(iii)	
1Q73Q2JULR	sodium citrate	digestive aid	antacid	III	I	310.545(a)(8)(i)	
1Q73Q2JULR	sodium citrate	relief of oral discomfort		IIIE			
	sodium citrate in solution	overindulgence in alcohol	hangover reliever/ overindulgence		I		
	sodium diacetate	skin protectant	astringent			310.545(a)(18)(ii)	
26WJH3CS0B	sodium dichromate	oral health care			IISE		
3980JH2SW	sodium dihydrogen phosphate	antacaries		II	NC	310.545(a)(2)(ii)	
	sodium dihydrogen phosphate monohydrate	antacaries		II	NC	310.545(a)(2)(ii)	
8ZYQ1474W7	sodium fluoride	relief of oral discomfort		IIIE			
8ZYQ1474W7	sodium fluoride	relief of oral discomfort		IISE			
8ZYQ1474W7	sodium fluoride (aqueous)	antacaries		I/NC	I	355.10(a)(3)(iii)-(v)	
8ZYQ1474W7	sodium fluoride (aqueous) (acidulated phosphate fluoride with 0.01% f	antacaries		NC	I		
8ZYQ1474W7	sodium fluoride (aqueous) (acidulated phosphate fluoride with 0.02% f	antacaries		I	I		
8ZYQ1474W7	sodium fluoride gel or paste	antacaries	dentifrices	I	I	355.10(a)(1)	
8ZYQ1474W7	sodium fluoride powder	antacaries	dentifrices	I	I	355.10(a)(2)	
C810JCZ56Q	sodium monofluorophosphate	antacaries				310.545(a)(2)(g)	
C810JCZ56Q	sodium monofluorophosphate (rinse)	antacaries		II	II		
C810JCZ56Q	sodium monofluorophosphate	relief of oral discomfort		IIIE			
C810JCZ56Q	sodium monofluorophosphate (gel or paste)	antacaries	dentifrices	I	I	355.10(b)(1)	
C810JCZ56Q	sodium monofluorophosphate (gel or paste)	antacaries	dentifrices		I	355.10(b)(2)	
8M4L3H2ZVZ	sodium nitrate	menstrual/diuretic				310.545(a)(24)(i)	

(Continued)

TABLE 3 (CONTINUED)
Active Ingredients for OTC Monographs.

UNII Code	Active Ingredient	Monograph	Subcategory	Panel	Pending	Final	FR Citation
399SL044HN	sodium oleate	laxative	stimulant laxative		III	310.545(a)(12)(iv)(A)	
75UI7QUZ5J	sodium para-aminobenzoate	internal analgesic		II	II	310.545(a)(23)(i)	
Y52BKIW96C	sodium perborate	oral health care			IIIIE		
Y9UKD0XE6F	sodium perborate monohydrate	oral health care	wound cleansing	II	I		
SE337SVY37	sodium phosphate	antiacaries		II	NC	310.545(a)(2)(i)	
SE337SVY37	sodium phosphate, dibasic	antiacaries		II	NC	310.545(a)(2)(ii)	
SE337SVY37	sodium phosphate, monobasic	laxative	saline laxative	I	I		
QH257BPV3J	sodium phosphate, monobasic	laxative	saline laxative	I	I	331.11(j)(2)	
QH257BPV3J	sodium potassium tartrate	antacid		I	I	331.11(k)(2)	
DK6Y9P42IN	sodium potassium tartrate	antifungal		III	III	310.545(a)(22)(ii)	
DK6Y9P42IN	sodium propionate	antifungal		III	IIIIE		
WIQIH85SYP	sodium propionate	antimicrobial		III	III	310.545(a)(7)	
WIQIH85SYP	sodium salicylate	dandruff		III	III	310.545(a)(8)(ii)	
WIQIH85SYP	sodium salicylate	digestive aid		I	I		
WIQIH85SYP	sodium salicylate	internal analgesic		I	I		
WIQIH85SYP	sodium salicylate	menstrual/diuretic	analgesic	I	I		
WIQIH85SYP	sodium salicylate	overindulgence in alcohol/food		I	I		
WIQIH85SYP	sodium salicylic acid phenolate	anorectal	antiseptic	II(e.i)	II(e.i)	310.545(a)(26)(ii)	
C02T02993U	sodium sulfide (aqueous)	ingrown toenail	gel vehicle		I	538.31	
HX1032V43M	sodium thiosulfate	acne		II	II	310.545(a)(1)	
506T60A25R	sorbitol	digestive aid		II	II	310.545(a)(8)(i)	
506T60A25R	sorbitol	laxative	hyperosmotic	I	I		
506T60A25R	sorbitol	oral health care	demulcents	III	III	310.545(a)(14)	
241ATL177A	soy meal	weight control		II	II	310.545(a)(20)	
	soybean oil, hydrogenated (powder)	cholecystokinetic				357.210(b)	
R441WB3RN5	soybean protein	weight control		II	II	310.545(a)(20)	
3629601H5D	squill preparations (squill, squill extract)	cough/cold	expectorant	II	II	310.545(a)(6)(iii)	
3FTR44B32Q	stannous fluoride	antiacaries	dentifrices	I	I	355.10(c)(1)(i)	
3FTR44B32Q	stannous fluoride	relief of oral discomfort		IIIE			
3FTR44B32Q	stannous fluoride (gel)	antiacaries		I	I	355.10(c)(2)	
3FTR44B32Q	stannous fluoride (rinse)	antiacaries		I	I	355.10(c)(3)	
	stannous pyrophosphate and zinc citrate	gingivitis/plaque			IIIE		
2KR8914H1Y	stearyl alcohol	skin protectant	insect bites/stings w/ sales less than \$25,000				310.545(a)(18)(v)(B)

(Continued)

TABLE 3 (CONTINUED)
Active Ingredients for OTC Monographs.

UNII Code	Active Ingredient	Monograph	Subcategory	Panel	Pending	Final	FR Citation
2KR8914H1Y	stearyl alcohol	skin protectant	poison ivy/oak/sumac w/ sales less than \$25,000	310.545(a)(18)(vi)(B)			
2KR8914H1Y	stearyl alcohol	skin protectant	w/ sales less than \$25,000			310.545(a)(18)(i)(B)	
ZLM4P8929R	stem bromelain	digestive aid				310.545(a)(8)(ii)	
4I2TY8Y81V	straw berry	digestive aid				310.545(a)(8)(ii)	
5R78837D4A	strontium chloride	relief of oral discomfort		III E			
5R78837D4A	strontium chloride	relief of oral discomfort		III E			
H9Y79VD43J	strychnine	digestive aid					
70FD1KFU70	sublimed sulfur	anorectal	keratolytic	III(e),II(i)	III(e),II(i)	310.545(a)(8)(ii)	
C151H8M554	sucrose	menstrual/diuretic				310.545(a)(26)(v)	
C151H8M554	sucrose	weight control			II	310.545(a)(24)(i)	
	sugars	oral health care	demulcents		III	310.545(a)(20)	
4NRT1660KJQ	sulfacetamide sodium	ophthalmic	anti-infective	II	II	310.545(a)(14)	
70FD1KFU70	sulfur	acne		I	I	333.310(e)	
70FD1KFU70	sulfur	antifungal		III		310.545(a)(22)(ii)	
70FD1KFU70	sulfur	dandruff	dandruff	I	I	358.710(a)(7)	
70FD1KFU70	sulfur	external analgesic	poison ivy/oak/sumac			310.545(a)(10)(vii)	
70FD1KFU70	sulfur	skin protectant	diaper rash	II	II	310.545(a)(18)(iii)	
70FD1KFU70	sulfur	skin protectant	fever blister/cold sore	II	II	310.545(a)(18)(iv)	
70FD1KFU70	sulfur	skin protectant		II	II	310.545(a)(18)(i)(A)	
70FD1KFU70	sulfur (when combined w/ resorcinol, resorcinol monoacetate)	acne		I	I	333.310(f)	
70FD1KFU70	sulfur, sublimed	pediculicide		II	II	310.545(a)(25)(i)	
1W6L629B4K	sulfurated oils of turpentine	menstrual/diuretic				310.545(a)(24)(i)	
7SEV7J4R1U	sulisobenzone	sunscreen		I	I	352.10(o)	
7SEV7J4R1U	talc	skin protectant	astringent	II		310.545(a)(18)(ii)	
7SEV7J4R1U	talc	skin protectant	astringent	II	II E	310.545(a)(18)(ii)	
7SEV7J4R1U	talc	skin protectant	diaper rash		I		
28F9E0DJY10	tannic acid	external analgesic	poison ivy/oak/sumac			310.545(a)(10)(vii)	
28F9E0DJY11	tannic acid	skin protectant	diaper rash	II	II	310.545(a)(18)(iii)	
28F9E0DJY12	tannic acid	skin protectant	fever blister/cold sore	II	II	310.545(a)(18)(iv)	
28F9E0DJY13	tannic acid	skin protectant	poison ivy/oak/sumac	II	II	310.545(a)(18)(vi)(A)	
28F9E0DJY14	tannic acid	skin protectant	diaper rash	II	II	310.545(a)(18)(iii)	
28F9E0DJY14	tannic acid	skin protectant	wound healing	II	II	310.545(a)(18)(i)(A)	
28F9E0DJY6	tannic acid	anorectal	astringent	II(e,i)	II(e,i)	310.545(a)(26)(iii)	
28F9E0DJY7	tannic acid	antifungal		II		310.545(a)(22)(ii)	
28F9E0DJY8	tannic acid	digestive aid		II		310.545(a)(8)(ii)	

(Continued)

TABLE 3 (CONTINUED)
Active Ingredients for OTC Monographs.

UNII Code	Active Ingredient	Monograph	Subcategory	Panel	Pending	Final	FR Citation
28F9E0DJY9	tannic acid	external analgesic	fever blister/cold sore			310.545(a)(10)(v)	
28F9E0DJY15	tannic acid glycerite	skin protectant	astringent	II		310.545(a)(18)(ii)	
W4888119H	<i>Taraxacum officinale</i>	menstrual/diuretic	botanical/vegetable herb	II	II	310.545(a)(24)(i)	
	tartaric acid	laxative	saline laxative		I	310.545(a)(12)(ii)	
	tartrate (acid or salt)	antacid			III	331.11(m)	
	terpin hydrate preparations (terpin hydrate, terpin hydrate elixer)	cough/cold	expectorant			310.545(a)(6)(iii)	
0619F35CGV	tetracaine	anorectal	local anesthetic	III(e,i)	I(e),III(i)	346.10(h)	
0619F35CGV	tetracaine	external analgesic	analgesic and anesthetic	I	I	pending	
0619F35CGV	tetracaine	oral health care	anesthetic/amalgam	II	II	310.545(a)(14)	
5NF5D4OPCI	tetracaine hydrochloride	acne		II	II	310.545(a)(1)	
5NF5D4OPCI	tetracaine hydrochloride	anorectal	local anesthetic	III(e,i)	I(e),III(i)	346.10(i)	
5NF5D4OPCI	tetracaine hydrochloride	external analgesic		I	I		
5NF5D4OPCI	tetracaine hydrochloride	oral health care	anesthetic/amalgam	II	II	310.545(a)(14)	
5NF5D4OPCI	tetracycline hydrochloride	first aid antibiotic	ointment	I	I	333.110(f)	
0YZT43HS7D	tetrahydrozoline hydrochloride	ophthalmic	vasoconstrictor	I	I	349.18(d)	
M572600E5P	thiamine hydrochloride (vitamin B1)	weight control			II	310.545(a)(20)	
8K0I04919X	thiamine mononitrate (vitamin B1 mononitrate)	weight control			II	310.545(a)(20)	
7ZQC1892H9	thényldiamine hydrochloride	cough/cold	antihistamine	III	III	310.545(a)(6)(i)	
7ZQC1892H9	thényldiamine hydrochloride	cough/cold	nasal decongestant	III	III	310.545(a)(6)(ii)(A)	
7ZQC1892H9	thényldiamine hydrochloride	cough/cold	nasal decongestant	III	III		[59 FR 43408]
C137DTR5RG	theobromine sodium salicylate	menstrual/diuretic	antihistamine	III	III	310.545(a)(24)(i)	
C137DTR5RG	theophylline	menstrual/diuretic	antihistamine	III	III	310.545(a)(24)(i)	
	theophylline (in combination)	cough/cold	bronchodilator		II	310.545(a)(6)(iv)(B)	
	theophylline calcium salicylate	cough/cold	bronchodilator	I	II	310.545(a)(6)(iv)	
	theophylline sodium glycinate	cough/cold	bronchodilator	I	II	310.545(a)(6)(iv)	
0I551281YK	theophylline, anhydrous	cough/cold	bronchodilator	I	II	310.545(a)(6)(iv)	
M572600E5P	thiamine hydrochloride	menstrual/diuretic		II	II	310.545(a)(24)(i)	
M572600E5P	thiamine hydrochloride	nighttime sleep aid	first aid antiseptic		II	310.545(a)(27)(i)	
2225PI3MOV	thimerosal	antimicrobial			II	310.545(a)(25)(i)	
	thioctanoacetate	pediculicide	antihistamine	II	II	341.12(l)	
6K9YKD48Y4	thonzylamine hydrochloride	cough/cold		I	I		
Z2D004190S	threonine	weight control		II	II	310.545(a)(20)	
3I50XA376E	thymol	acne		II	III	310.545(a)(1)	
3I50XA376E	thymol	antifungal		II	II	310.545(a)(22)(ii)	
3I50XA376E	thymol	cough/cold	nasal decongestant	III	III	310.545(a)(6)(ii)(A)	

(Continued)

TABLE 3 (CONTINUED)
Active Ingredients for OTC Monographs.

UNII Code	Active Ingredient	Monograph	Subcategory	Panel	Pending	Final	FR Citation
3J50XA376E	thymol	dandruff		III	III	310.545(a)(7)	
3J50XA376E	thymol	external analgesic	analgesic and anesthetic	III	III	310.545(a)(10)(i)	
3J50XA376E	thymol	external analgesic	fever blister/cold sore	III	III	310.545(a)(10)(v)	
3J50XA376E	thymol	external analgesic	poison ivy/oak/sumac	III	III	310.545(a)(10)(vii)	
3J50XA376E	thymol	oral health care	anesthetic/analgesic	III	III	310.545(a)(14)	
3J50XA376E	thymol	relief of oral discomfort		IIIE			
3J50XA376E	thymol	skin protectant	astringent	II		310.545(a)(18)(ii)	
3J50XA376E	thymol (lozenge)	cough/cold	nasal decongestant	III	III	310.545(a)(6)(ii)(A)	
3J50XA376E	thymol (mouthwash)	cough/cold	nasal decongestant	III	III	310.545(a)(6)(ii)(A)	
3J50XA376E	thymol iodide	oral health care			IIISE		
3J50XA376E	thymol iodide	relief of oral discomfort		IIIE			
15FIX9V2JP	titanium dioxide	sunscreen		I	I	352.10(p)	
5236RK32KG	tolindate	antifungal		II	II	310.545(a)(22)(ii)	
06KB629TKV	tolnaftate	antifungal		I	I	333.210(e)	
TD2LE9IMBE	tolu balsam	oral health care		III		310.545(a)(6)(iii)	
TD2LE9IMBE	tolu preparations (tolu, tolu balsam, tolu balsam tincture)	cough/cold	expectorant		III		
08232NY3SJ	topical starch	anorectal	protectant	I(e,i)	I(e,i)	346.14(a)(9)	
08232NY3SJ	topical starch	external analgesic			IISE		
08232NY3SJ	topical starch	skin protectant	astringent	II		310.545(a)(18)(ii)	
08232NY3SJ	topical starch	skin protectant	diaper rash		I		
08232NY3SJ	topical starch	skin protectant	fever blister/cold sore			310.545(a)(18)(iv)	
08232NY3SJ	topical starch	skin protectant	poison ivy/oak/sumac		I	310.545(a)(18)(vi)(A)	
08232NY3SJ	topical starch	skin protectant			I	347.10(q)	
08232NY3SJ	topical starch	skin protectant			IIISE	310.545(a)(18)(iv)	
XHX3C3X673	triacetin	antifungal		III	III	331.11(i)(3)	
K4C08XP666	tricalcium phosphate	antacid			II	310.545(a)(20)	
K4C08XP666	tricalcium phosphate	weight control			IIIE		
BGG1Y1ED0Y	triclocarban	antimicrobial			IIIE		
BGG1Y1ED0Y	triclocarban	antimicrobial			IISE		
BGG1Y1ED0Y	triclocarban	antimicrobial			IISE		
4NM5039Y5X	tricolosan	antimicrobial			IIIE		
4NM5039Y5X	tricolosan	antimicrobial			IIISE		
FWV8GJ56ZN	tripelennamine hydrochloride	digestive aid			I	310.545(a)(8)(ii)	
FWV8GJ56ZN	tripelennamine hydrochloride	external analgesic	fever blister/cold sore		I	310.545(a)(10)(v)	
FWV8GJ56ZN	tripelennamine hydrochloride	external analgesic			I		
YAN7R5L890	triple dye	antimicrobial			IIISE		
8K1MK5E1FY	triple dye	antimicrobial			IISE		
903K93S3TK	triprolidine hydrochloride	cough/cold			I	341.12(m)	
	triticium	menstrual/diuretic			II	310.545(a)(24)(i)	
	trolamine	external analgesic	antihistamine		IISE		

(Continued)

TABLE 3 (CONTINUED)
Active Ingredients for OTC Monographs.

UNII Code	Active Ingredient	Monograph	Subcategory	Panel	Pending	Final	FR Citation
903K93S3TK	trolamine	skin protectant	fever blister/cold sore			310.545(a)(18)(iv)	
903K93S3TK	trolamine	skin protectant	insect bites/stings			310.545(a)(18)(v)(A)	
903K93S3TK	trolamine	skin protectant	poison ivy/oak/sumac	I	I	310.545(a)(18)(vi)(A)	
H804040BHD	trolamine salicylate	sunscreen		III	III	352.10(q)	
H804040BHD	trolamine salicylate	external analgesic	fever blister/cold sore			310.545(a)(10)(v)	
H804040BHD	(trithanolamine salicylate)	external analgesic	insect bite/sting	III	III	310.545(a)(10)(vi)	
H804040BHD	trolamine salicylate	external analgesic					
H804040BHD	(trithanolamine salicylate)	external analgesic	poison ivy/oak/sumac	III	III	310.545(a)(10)(vii)	
H804040BHD	(trithanolamine salicylate)	external analgesic					
8DUH1N11BX	tryptophan	weight control			II	310.545(a)(20)	
XJ6RUH004G	turpentine oil	external analgesic	fever blister/cold sore	I	I	310.545(a)(10)(v)	
XJ6RUH004G	turpentine oil	external analgesic	insect bite/sting			310.545(a)(10)(vi)	
XJ6RUH004G	turpentine oil	external analgesic	poison ivy/oak/sumac			310.545(a)(10)(vii)	
XJ6RUH004G	turpentine oil	skin protectant	insect bites/stings			310.545(a)(18)(v)(A)	
XJ6RUH004G	turpentine oil	skin protectant	poison ivy/oak/sumac	III	IISE	310.545(a)(18)(vi)(A)	
XJ6RUH004G	turpentine oil (oral)	cough/cold	antitussive				
XJ6RUH0046	turpentine oil (rectified)	anorectal	counterirritant	II(e,i)	II(e,i)	310.545(a)(26)(iv)	
XJ6RUH004G	turpentine oil (spirits of turpentine)	cough/cold	expectorant			310.545(a)(6)(iii)	
XJ6RUH004G	turpentine oil (spirits of turpentine) (oral)	cough/cold	nasal decongestant	II	II	310.545(a)(6)(ii)(A)	
XJ6RUH004G	turpentine oil (spirits of turpentine) (topical)	cough/cold	nasal decongestant	III	III	310.545(a)(6)(ii)(A)	
XJ6RUH004G	turpentine oil (topical/inhalant)	cough/cold					
AD8LJ73GQF	turpentine, Venice (Venice turpentine)	cough/cold	antitussive	III	IIIE		[52 FR 30055]
42HK56048U	tyrosine	menstrual/diuretic				310.545(a)(24)(i)	
	undecylium chloridiodine complex	weight control			II	310.545(a)(20)	
	undecylium chloridiodine complex	antimicrobial			IIIE		
	undecylium chloridiodine complex	antimicrobial			IIISE		
	undecylium chloridiodine complex	antimicrobial			IISE		
K3D86KJ24N	undecylenic acid	antifungal		I	I	333.210(f)	

(Continued)

TABLE 3 (CONTINUED)
Active Ingredients for OTC Monographs.

UNII Code	Active Ingredient	Monograph	Subcategory	Panel	Pending	Final	FR Citation
K3D86KJ24N	undecylenic acid	dandruff		III	III	310.545(a)(7)	
8W8T17847W	urea	menstrual/diuretic				310.545(a)(24)(i)	
3M5V3D1X36	<i>Uva ursi</i> , extract of	menstrual/diuretic		II	II		
HG18B9YRS7	<i>Uva ursi</i> , potassium extract	weight control				310.545(a)(20)	
9ZP99WL196	valine	weight control		II	II	310.545(a)(20)	
81G40H8B0T	vegetable (green)	weight control		II	II	310.545(a)(20)	
81G40H8B0T	vitamin A	anorectal	wound healing	III(e,i)	III(e,i)	310.545(a)(26)(x)	
81G40H8B0T	vitamin A	corn/callus remover	II	IISE		[55 FR 33261]	
81G40H8B0T	vitamin A	skin protectant	diaper rash				
	vitamin A acetate	weight control		II	II	310.545(a)(20)	
	vitamin A palmitate	weight control		II	II	310.545(a)(20)	
H4N855PNZ1	vitamin E	weight control		II	II	310.545(a)(1)	
H4N855PNZ1	vitamin E	acne		II	II		
H4N855PNZ1	vitamin E	nighttime sleep aid		III	III		[39 FR 6104]
H4N855PNZ1	vitamin E	stimulant		II	II	310.545(a)(20)	
	vitamin E	weight control				310.545(a)(27)(i)	
	vitromersol	antimicrobial	first aid antiseptic		I		
059QF0K00R	water and additives	ophthalmic				349.20	
7G1J5DA97F	water, purified	ophthalmic		I	I	349.14(b)(7)	
2ZA36H0S2V	wax, white	ophthalmic	emollient	NA	I	349.14(b)(8)	
YR3G369F5A	wax, yellow	ophthalmic	emollient		II	310.545(a)(20)	
	wheat germ	weight control		I	I	349.14(b)(5)	
	white ointment	ophthalmic	emollient	I(e,i)	I(e,i)	346.14(a)(10)	
4T6H12BN9U	white petrolatum	anorectal	protectant	I	I	347.12(c)	
101I4J0U34	witch hazel	skin protectant	astringent	I(e)	I(e)	346.18(b)	
101I4J0U34	witch hazel (hamamelis water)	anorectal	astringent			310.545(a)(8)(ii)	
N8C4A9A49H	woodruff	digestive aid			III	310.545(a)(20)	
TTV12P4NEE	xanthan gum	weight control			I	341.20(b)(10)	
X5S84033NZ	xylometazoline hydrochloride (aqueous)	cough/cold	nasal decongestant				
X5S84033NZ	xylometazoline hydrochloride (jelly)	cough/cold	nasal decongestant	I	I	341.20(b)(10)	
3NY3SM6B8U	yeast	weight control		II	II	310.545(a)(20)	
3NY3SM6B8U	yeast cell derivative, live	skin protectant	diaper rash	III	III		
3NY3SM6B8U	yeast cell derivative, live	skin protectant	wound healing agent	III	III	310.545(a)(18)(i)(B)	
IY191986AO	yellow mercuric oxide	ophthalmic	anti-infective	II	II	310.545(a)(21)(ii)	
FM5526K07A	zinc acetate	external analgesic		IISE	IISE		
FM5526K07A	zinc acetate	skin protectant	diaper rash	III	III	310.545(a)(18)(iii)	
FM5526K07A	zinc acetate	skin protectant	wound healing agent	I	I	310.545(a)(18)(i)(A)	

(Continued)

TABLE 3 (CONTINUED)
Active Ingredients for OTC Monographs.

UNII Code	Active Ingredient	Monograph	Subcategory	Panel	Pending	Final	FR Citation
FM5526K07A	zinc acetate	skin protectant		I	I	347.10(s)	
QL5435GI2S	zinc caprylate	antifungal		III	III	310.545(a)(22)(ii)	
EQR32Y7H0M	zinc carbonate	skin protectant	diaper rash		III	310.545(a)(18)(iii)	
EQR32Y7H0M	zinc carbonate	skin protectant		I	I	347.10(t)	
86Q357L16B	zinc chloride	corn/callus remover	III	IIIE		[55 FR 33261]	
86Q357L16B	zinc chloride	oral health care		I	I	310.545(a)(18)(ii)	
86Q357L16B	zinc chloride	skin protectant	astringent	II	IIIE		
K72I3DEX9B	zinc citrate	gingivitis/plaque		II	II	310.545(a)(1)	
SOI2LOH54Z	zinc oxide	acne		I(e,i)	I(e,i)	346.18(c)	
SOI2LOH54Z	zinc oxide	anorectal	astringent			310.545(a)(10)(vi)	
SOI2LOH54Z	zinc oxide	external analgesic	insect bite/sting		IISE		
SOI2LOH54Z	zinc oxide	external analgesic					
SOI2LOH54Z	zinc oxide	n/a			I	352.10(r)	
SOI2LOH54Z	zinc oxide	skin protectant	astringent	II	II	310.545(a)(18)(ii)	
SOI2LOH54Z	zinc oxide	skin protectant		I	I	347.10(u)	
SOI2LOH54Z	zinc oxide	sunscreen			III	352.10(r)	
SOI2LOH54Z	zinc oxide (combination only)	anorectal		I(e,i)	I(e,i)	346.14(b)(4)	
4071Y75YB5	zinc phenolsulfonate	antidiarrheal	protectant	III	III	310.545(a)(3)(f)	
4071Y75YB5	zinc phenolsulfonate	antiemetic			II		
4071Y75YB5	zinc phenolsulfonate	skin protectant	astringent	II			
4071Y75YB5	zinc phenolsulfonate	sunscreen					[64 FR 27682]
H92EGQA4FV	zinc propionate	antifungal		III	III	310.545(a)(22)(ii)	
H92EGQA4FV	zinc stearate	acne		II	II	310.545(a)(1)	
89DS0H96TB	zinc stearate	skin protectant	astringent	II		310.545(a)(18)(ii)	
89DS0H96TB	zinc sulfate	external analgesic	fever blister/cold sore			310.545(a)(10)(v)	
89DS0H96TB	zinc sulfate	ophthalmic	astringent	I	I	349.10	
89DS0H96TB	zinc sulfate	poison treatment		II	II	310.545(a)(16)	
89DS0H96TB	zinc sulfate	skin protectant	astringent	II		310.545(a)(18)(ii)	
89DS0H96TB	zinc sulfate	skin protectant	fever blister/cold sore			310.545(a)(18)(iv)	
89DS0H96TB	zinc sulfide	acne		II	II	310.545(a)(1)	
388VZ25DUR	zinc undecylenate	antifungal		I	I	333.210(f)	
	zirconium oxide	external analgesic	insect bite/sting			310.545(a)(10)(vi)	
	zirconium oxide	external analgesic	poison ivy/oak/sumac			310.545(a)(10)(vii)	
	zirconium oxide	skin protectant	insect bites/stings			310.545(a)(18)(v)(A)	
	zirconium oxide	skin protectant	poison ivy/oak/sumac			310.545(a)(18)(vi)(A)	
	zylloxin	antimicrobial	first aid antiseptic			310.545(a)(27)(i)	
	zylloxin	external analgesic	poison ivy/oak/sumac			310.545(a)(10)(vii)	
	zylloxin	skin protectant	poison ivy/oak/sumac			310.545(a)(18)(vi)(A)	

Category II are not considered safe and effective; category III are drugs for which a status has not been established. Multiple entries indicate different uses or combinations.



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Part II

Manufacturing Formulations



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Over-the-Counter Formulations

ACETAMINOPHEN AND CAFFEINE TABLETS

Bill of Materials			
Scale (mg/ tablet)	Item	Material Name	Qty/1000 Tablets (g)
500.00	1	Acetaminophen (fine powder)	500.00
65.00	2	Anhydrous caffeine	65.00
15.00	3	Maize starch	15.00
10.00	4	Povidone (PVP K-30)	10.00
5.00	5	Croscarmellose sodium (Ac-Di-Sol)	5.00
33.00	6	Maize starch	33.00
8.00	7	Povidone (PVP K-90)	8.00
1.00	8	Polysorbate 80 (Tween 80)	1.00
10.00	9	Microcrystalline cellulose (Avicel™ PH102)	10.00
7.00	10	Sodium starch glycolate (Primojel®)	7.00
5.00	11	Croscarmellose sodium (Ac-Di-Sol)	5.00
2.00	12	Stearic acid (fine powder)	2.00
4.00	13	Talc (fine powder)	4.00
–	14	Purified water	155.00

MANUFACTURING DIRECTIONS

1. Sift items 1 to 5 through a stainless steel 630 µm sieve. Load into mixer. Mix for 5 minutes at low speed.
2. Dissolve items 7 and 8 in 115 g of purified water (80–90°C) in a vessel.
3. Prepare slurry of item 6 in 40 g of purified water (25–30°C).
4. Add the slurry to the vessel to make a translucent paste. Cool to 45°C to 50°C.
5. Add the binder (item 4) to the paste.
6. Mix at low speed over a period of 3 minutes. Scrape sides and blades. Mix and chop at low speed for 1 to 2 minutes.
7. Check the end point of granulation. If required, add additional purified water to obtain the end point. (The end point of granulation occurs when the wet mass consists of few or no lumps.) Unload the wet granules into stainless steel trays for drying.

8. Dry the wet granules at 55°C for 8 hours. After 2 hours of drying, scrape the semidried granules to break up the lumps to promote uniform drying. Check the loss on drying (LOD limit: 1.5–2.0%). If required, dry further at 55°C for 1 hour.
9. Grind the dried granules through a 1.25 mm sieve using a granulator at medium speed. Collect in stainless steel drums.
10. Load the granules into blender. Sift items 9, 10, and 11 through a 500 µm sieve using a suitable sifter, and add to the blender. Mix for 2 minutes.
11. Sift items 12 and 13 through a 500 µm sieve.
12. Add 5 to 10 g granules from bulk. Mix in.
13. Check temperature and humidity before start of compression (recommended: relative humidity [RH] 55–60% at a temperature not exceeding 27°C).
14. Compress the granules using a rotary tableting machine. Average weight of tablet is 665 mg.

ACETAMINOPHEN AND CAFFEINE TABLETS

Bill of Materials			
Scale (mg/ tablet)	Item	Material Name	Qty/1000 Tablets (g)
500.00	1	Acetaminophen (crystalline)	500
50.00	2	Caffeine (Knoll)	50
90.00	3	Avicel™ PH101	90
10.00	4	Kollidon® 30	10
20.00	5	Kollidon® CL	20
10.00	6	Polyethylene glycol (PEG-6000) (powder)	10

MANUFACTURING DIRECTIONS

1. Mix all components, pass through a 0.8 mm sieve, and press with high compression force.
2. Compress into 683 mg tablets using 12 mm biplanar punches.
3. If the flowability of the powder mixture for tableting is not high enough, some Aerosil® 200 should be added.

ACETAMINOPHEN AND DIPHENHYDRAMINE HYDROCHLORIDE HOT THERAPY SACHETS

Bill of Materials

Scale (mg/ sachet)	Item	Material Name	Qty/1000 Sachets (g)
1650.00	1	Acetaminophen (micronized)	1650.00
250.00	2	Diphenhydramine hydrochloride	250.00
0.90	3	FD&C Yellow No. 10 Lake	0.90
0.0005	4	FD&C Red No. 40	0.0005
18,081.10	5	Castor sugar	18,081.10
200.00	6	Aspartame	200.00
250.00	7	Maize starch (dried)	250.00
180.00	8	Citric acid	180.00
38.00	9	Sodium citrate	38.00
200.00	10	Sodium chloride	200.00
240.00	11	Honey flavor (dry)	240.00
100.00	12	Lemon flavor (dry)	100.00
QS	13	Purified water	QS

MANUFACTURING DIRECTIONS

- Mix items 1 and 2 well; then, pass through 0.8 mm sieves.
- Mix items 3, 5, and 13 to make a clear solution.
- Add mixture of items 1 and 2 to second step mixture, and mix well.
- Add this mixture to item 4, and mix. Take care to avoid lump formation.
- Dry in an oven, maintaining a constant temperature.
- Sieve and add items 6 to 12. Mix well. Make sure all the solids added are in fine powder form.
- Fill 20 g of powder into sachets, and seal.

ACETAMINOPHEN AND PSEUDOEPHEDRINE HYDROCHLORIDE HOT THERAPY SACHETS

Bill of Materials

Scale (mg/ sachet)	Item	Material Name	Qty/1000 Sachets (g)
650.00	1	Acetaminophen (micronized)	650.00
260.00	2	Pseudoephedrine hydrochloride	260.00
0.90	3	FD&C Yellow No. 10 Lake	0.90
18,081.10	4	Castor sugar	18,081.10
200.00	5	Aspartame	200.00
250.00	6	Maize starch (dried)	250.00
180.00	7	Citric acid	180.00
38.00	8	Sodium citrate	38.00
200.00	9	Sodium chloride	200.00
240.00	10	Apple flavor (dry)	240.00
100.00	11	Cinnamon flavor (dry)	100.00
QS	12	Purified water	QS

MANUFACTURING DIRECTIONS

- Mix item 1 and 2 well, pass through a 0.8 mm sieve, and add to items 3 and 12, which have been mixed together.
- Make into a clear solution. Take care to avoid lump formation.
- Dry in an oven, maintaining constant moisture.
- Using a 500 mm sieve, add items 6 to 11. Mix well. Make sure all the solids added are in fine powder form.
- Fill 20 g of powder into sachets, and seal.

ACETAMINOPHEN AND DIPHENHYDRAMINE HYDROCHLORIDE TABLETS

Bill of Materials

Scale (mg/ tablet)	Item	Material Name	Qty/1000 Tablets (g)
325.00	1	Acetaminophen (fine powder)	325.00
26.00	2	Diphenhydramine HCl	26.00
50.00	3	Maize starch	50.00
07.00	4	Povidone (PVP K-30)	7.00
50.00	5	Microcrystalline cellulose (Avicel™ PH101)	50.00
42.00	6	Cornstarch	42.00
10.00	7	Povidone (PVP K-30)	10.00
09.50	8	Cellulose (powdered)	9.50
65.50	9	Cellulose (microcrystalline) (Avicel™ PH102)	65.50
20.00	10	Sodium starch glycolate (Primojel®)	20.00
08.00	11	Stearic acid (fine powder)	8.00
05.00	12	Talc (fine powder)	5.00
02.00	13	Magnesium stearate	2.00
–	14	Purified water	180.00

MANUFACTURING DIRECTIONS

- Sift items 1 to 5 through a 630 µm stainless steel sieve.
- Load into mixer. Mix for 5 minutes at low speed.
- Dissolve item 7 in 135 g of purified water (80–90°C) in a vessel.
- Prepare a slurry of item 6 in 45 g of purified water (25–30°C).
- Add the slurry to the vessel to make a translucent paste.
- Cool to 45°C to 50°C.
- Add the binder (item 4).
- Mix at low speed over a period of 3 minutes. Scrape sides and blades. Mix and chop at low speed for 1 to 2 minutes. Check the end point of granulation. If required, add additional purified water to obtain the end point. (The end point of granulation occurs when the wet mass consists of few or no lumps.)

9. Unload the wet granules into stainless steel trays for drying.
10. Dry the wet granules in an oven at 55°C for 10 hours. After 2 hours of drying, scrape the semidried granules to break up the lumps to promote uniform drying. Check the LOD (limit: 1–2%). If required, dry further at 55°C for 1 hour.
11. Grind the dried granules through a 1.25 mm sieve at medium speed.
12. Collect in stainless steel drums. Load the granules into blender.
13. Sift items 8, 9, and 10 through a 500 µm sieve using a suitable sifter, and add mixture to blender. Mix for 2 minutes.
14. Sift items 11, 12, and 13 through a 500 µm sieve. Add 5 to 10 g of granules from bulk.
15. Mix in polyethylene bag for 1 minute. Add to blender. Blend for 1 minute.
16. Check temperature and humidity before start of compression (limit: temperature not exceeding 27°C, RH 55–65%).
17. Compress the granules using a rotary tableting machine. Compress average tablet weight of 620 mg.
18. Disintegration time is not more than (NMT) 15 minutes; friability NMT is 1%.
19. Coating: Use one of the HPMC aqueous formulations in part III, such as yellow Opadry.

ACETAMINOPHEN SUSTAINED-RELEASE TABLETS

MANUFACTURING DIRECTIONS

1. 300 g acetaminophen and 60 g hydroxypropylmethylcellulose were dissolved in a mixture of 720 g methanol and 720 g dichloromethane.
2. 300 g Celphere 102 (mean particle diameter of approximately 127 µm, particle diameter of approximately 50–150 µm) was introduced to a fluidized-bed granulator and coated with the solution by the side-spraying method (spraying liquid volume 14 g/min, spraying air pressure 3 kg/cm², product temperature 32°C, inlet temperature 45°C) to obtain acetaminophen particles.
3. Separately, 48 g ethyl cellulose and 12 g hydroxypropylmethylcellulose were dissolved in a mixture of 57 g purified water and 1083 g methanol.
4. 300 g acetaminophen particles were introduced to a fluidized-bed granulator and coated with this solution by side spraying (spraying liquid volume 8 g/min, spraying air pressure 3 kg/cm², product temperature 38°C, inlet temperature 67°C) to obtain sustained-release fine particles.
5. 66.00 g of these sustained-release fine particles and 314.25 g mannitol that had been pulverized by a pin-mill pulverizing device were granulated (spraying liquid volume 15 g/min, spraying air pressure 1.1 kg/cm², product temperature 30°C, inlet

temperature 38°C, spraying cycle 30 seconds spraying/30 seconds drying) with an aqueous 30% w/w solution containing 67.5 g maltose in a fluidized bed granulator to obtain the final composition.

6. After further mixing 2.25 g magnesium stearate with the composition that was obtained, 450 mg tablets containing 25 mg acetaminophen per tablet were made under a tableting pressure of 25 kg/punch and an initial hardness of 2 kp using a rotary tableting machine.
7. Next, these tablets were kept for 24 hours while heating and humidifying at 25°C and 75% RH using a thermostatic chamber at constant humidity. Then, they were dried for 3 hours at 30°C and 40% RH.
8. The tablets that were obtained showed a hardness of 3.5 kp and disintegration time in the buccal cavity of 12 seconds.

ACETAMINOPHEN AND PSEUDOEPHEDRINE HYDROCHLORIDE TABLETS

Bill of Materials

Scale (mg/caplet)	Item	Material Name	Qty/1000 Caplets (g)
325.00	1	Acetaminophen (fine powder)	325.00
31.50	2	Pseudoephedrine HCl	31.50
50.00	3	Cornstarch	50.00
7.00	4	Povidone (PVP K-30)	7.00
50.00	5	Microcrystalline cellulose (Avicel™ PH101)	50.00
42.00	6	Cornstarch	42.00
10.00	7	Povidone (PVP K-30)	10.00
9.50	8	Cellulose (powdered)	9.50
60.00	9	Cellulose (microcrystalline) (Avicel™ PH102)	60.00
20.00	10	Sodium starch glycolate (Primojel®)	20.00
8.00	11	Stearic acid (fine powder)	8.00
5.00	12	Talc (fine powder)	5.00
2.00	13	Magnesium stearate	2.00
–	14	Purified water	180.00

MANUFACTURING DIRECTIONS

1. Sift items 1 to 5 through a stainless steel 630 µm sieve.
2. Load into mixer. Mix for 5 minutes at low speed.
3. Dissolve item 7 in 135 g of purified water (80–90°C) in a vessel.
4. Prepare a slurry of item 6 in 45 g of purified water (25–30°C).
5. Add the slurry to the vessel to make a translucent paste. Cool to 45°C to 50°C. Add the binder (item 4).

6. Mix at low speed over a period of 3 minutes. Scrape sides and blades. Mix and chop at low speed for 1 to 2 minutes. Check the end point of granulation. If required, add additional purified water to obtain the end point. (The end point of granulation occurs when the wet mass consists of few or no lumps.) Unload the wet granules into stainless steel trays for drying.
7. Dry the wet granules in oven at 55°C for 10 hours.
8. After 2 hours of drying, scrape the semidried granules to break up the lumps for uniform drying.
9. Check the LOD (limit: 1–2%). If required, dry further at 55°C for 1 hour.
10. Transfer the dried granules to stainless steel drums.
11. Grind the dried granules through a 1.25 mm sieve using granulator at medium speed. Collect in stainless steel drums. Load the granules into blender.
12. Sift items 8, 9, and 10 through a 500 µm sieve using a suitable sifter, and add to blender. Mix for 2 minutes.
13. Sift items 11, 12, and 13 through a 500 µm sieve.
14. Add 5 to 10 g of granules.
15. Mix in polyethylene bag for 1 minute. Add to blender. Blend for 1 minute. Unload in stainless steel drums.
16. Compress 620 mg in 6 mm capsule-shaped punches.
17. Coat. The formula for the coating solution is determined to obtain a weight gain of 10 mg per caplet considering evaporation and loss during the coating operation.

ACETAMINOPHEN CHEWABLE TABLETS

Bill of Materials			
Scale (mg/ tablet)	Item	Material Name	Qty/1000 Tablets (g)
300.00	1	Acetaminophen, milled (Hoechst)	300.00
600.00	2	Sucrose, milled	600.00
550.00	3	Kollidon® CL-M	550.00
30.00	4	Orange flavor (FDO)	30.00
30.00	5	Strawberry flavor (FDO)	30.00
60.00	6	Kollidon® 30	60.00
QS	7	Ethanol (96%)	~425.00

MANUFACTURING DIRECTIONS

1. Granulate mixture of items 1 to 5 with solution of items 6 and 7, pass through a sieve, and press with medium compression force.
2. Average weight of tablet is 1620 mg using a 20 mm biplanar punch.
3. Taste is sweet, fruity, and only slightly bitter.

ACETAMINOPHEN, CHLORPHENIRAMINE, AND PSEUDOEPHEDRINE SYRUP

Bill of Materials			
Scale (mg/ mL)	Item	Material Name	Qty/L (g)
24.00	1	Acetaminophen (fine powder)	24.00
3.00	2	Pseudoephedrine HCl	3.00
0.44	3	Chlorpheniramine maleate (10% excess)	0.44
14.00	4	Ascorbic acid	14.00
2.40	5	Sodium hydroxide	2.40
1.00	6	Edetate disodium (sodium EDTA)	1.00
0.50	7	Saccharin sodium	0.50
2.00	8	Sodium metabisulfite (sodium disulfite)	2.00
80.00	9	Alcohol (ethanol, 95%)	80.00
100.00	10	Propylene glycol	100.00
100.00	11	Sorbitol (70% solution)	100.00
250.00	12	Glycerin (glycerol)	250.00
300.00	13	Sucrose	300.00
0.04	14	Quinoline yellow	0.04
0.25	15	Pineapple flavor	0.25
QS	16	Purified water	QS to 1 L

MANUFACTURING DIRECTIONS

1. Add 200 g of item 16 to the manufacturing vessel, and heat to 90°C to 95°C.
2. Add item 13 while mixing at slow speed at a temperature of 90°C to 95°C.
3. Mix for 1 hour at high speed.
4. Add items 10, 11, and 12 to the manufacturing vessel while mixing at high speed. Mix for 10 minutes.
5. Cool the temperature to 50°C while mixing at slow speed.
6. Add 70 g of item 9 to the syrup solution while mixing at slow speed.
7. Load item 1 into the manufacturing vessel while mixing at high speed.
8. Mix for 30 minutes to obtain a clear solution. Check the clarity of the solution.
9. Flush the solution with nitrogen gas for 5 minutes at 1 bar.
10. Add items 2, 4, 6, and 8 to the manufacturing vessel while mixing at slow speed.
11. Dissolve item 3 in 2 g of item 16 (25°C), and check that the solution is complete.
12. Add the solution to the manufacturing vessel while mixing at slow speed.

13. Dissolve item 15 in 10 g of item 9 in a stainless steel container, and add to the manufacturing vessel while mixing at slow speed.
14. Dissolve items 5 and 7 in 20 g of item 16 (25°C), and add to the manufacturing vessel while mixing at slow speed.
15. Dissolve item 14 in 2 g of item 16 (25°C).
16. Transfer the color solution to the manufacturing vessel while mixing at slow speed.
17. Rinse the container of color solution with 2 g of item 16 (25°C); then, transfer the rinsings to the manufacturing vessel, and mix for 5 minutes at high speed.
18. Bring the volume up to 1 L with item 16, and finally, mix for 15 to 20 minutes at high speed.
19. Check and record the pH (limit: 5.1–5.2). If required, adjust pH with 10% citric acid or 10% sodium citrate solution.
20. Assemble the filter press with 13.1 T 1000 12 sheets (K 800 14 sheets). Use changeover plate. Wash the filters using purified water (25°C) by passing through filters at 0.2 bar. Discard the washings. Filter the syrup at 1.5 bar. Recirculate approximately 20 to 30 mL syrup.
21. Connect the hose to the manufacturing vessel, and transfer the filtered syrup to the storage vessel.

ACETAMINOPHEN, CHLORPHENIRAMINE MALEATE, AND PSEUDOEPHEDRINE CAPLETS

Bill of Materials

Scale (mg/ caplet)	Item	Material Name	Qty/1000 Caplets (g)
325.00	1	Acetaminophen (fine powder)	325.00
31.50	2	Pseudoephedrine HCl	31.50
2.10	3	Chlorpheniramine maleate	2.10
50.00	4	Cornstarch	50.00
7.00	5	Povidone (PVP K-30)	7.00
50.00	6	Cellulose (microcrystalline) (Avicel™ PH101)	50.00
42.00	7	Cornstarch	42.00
10.00	8	Povidone (PVP K-30)	10.00
9.50	9	Powdered cellulose	9.50
77.90	10	Cellulose (microcrystalline) (Avicel™ PH102)	77.90
20.00	11	Sodium starch glycolate (Primojel®)	20.00
8.00	12	Stearic acid (fine powder)	8.00
5.00	13	Talc (fine powder)	5.00
2.00	14	Magnesium stearate	2.00
–	15	Purified water	180.00

MANUFACTURING DIRECTIONS

1. Sift items 1 to 6 through a 630 µm stainless steel sieve.
2. Load into mixer. Mix for 5 minutes at low speed.
3. Dissolve item 8 in 135 g of item 15 (80–90°C) in a vessel.
4. Prepare a slurry of item 7 in 45 g of item 15 (25–30°C). Add the slurry to the vessel to make a translucent paste. Cool to 45°C to 50°C.
5. Add the binder (item 5) to preceding step.
6. Mix at low speed over a period of 3 minutes. Scrape sides and blades.
7. Mix and chop at low speed for 1 to 2 minutes. Check the end point of granulation. If required, add additional item 15 to obtain the end point. (The end point of granulation occurs when the wet mass consists of few or no lumps.) Unload the wet granules in stainless steel trays for drying.
8. Dry the wet granules at 55°C for 10 hours. After 2 hours of drying, scrape the semidried granules to break up the lumps to promote uniform drying. Check the LOD (limit: 1.0–2.0%). If required, dry further at 55°C for 1 hour.
9. Grind the dried granules through a 1.25 mm sieve at medium speed. Collect in stainless steel drums.
10. Load the granules into blender.
11. Sift items 9, 10, and 11 through a 500 µm sieve using suitable sifter, and add mixture to blender. Mix for 2 minutes.
12. Sift items 12, 13, and 14 through a 500 µm sieve.
13. Add 5 to 10 g of granules from bulk. Mix in polyethylene bag for 1 minute.
14. Add to blender. Blend for 1 minute.
15. Check temperature and humidity before start of compression. Temperature should not exceed 27°C, and recommended RH is 55% to 65%.
16. Compress the granules using rotary tableting machine. Tablet weight is 640 mg.
17. Coating: Select an appropriate coating, such as Opadry HPMC. The formula for the coating solution is determined to obtain a weight gain of 10 mg per caplet considering evaporation and loss during coating operation.

ACETAMINOPHEN, DEXTROMETHORPHAN, AND PSEUDOEPHEDRINE CAPLETS

Bill of Materials			
Scale (mg/ caplet)	Item	Material Name	Qty/1000 Caplets (g)
325.00	1	Acetaminophen (fine powder)	325.00
31.50	2	Pseudoephedrine HCl	31.50
15.50	3	Dextromethorphan HBr	15.50
50.00	4	Cornstarch	50.00
7.00	5	Povidone (PVP K-30)	7.00
50.00	6	Cellulose (microcrystalline) (Avicel™ PH101)	50.00
42.00	7	Cornstarch	42.00
10.00	8	Povidone (PVP K-30)	10.00
9.50	9	Cellulose (powdered)	9.50
64.50	10	Cellulose (microcrystalline) (Avicel™ PH102)	64.50
20.00	11	Sodium starch glycolate (Primojel®)	20.00
8.00	12	Stearic acid (fine powder)	8.00
5.00	13	Talc (fine powder)	5.00
2.00	14	Magnesium stearate	2.00
–	15	Purified water	180.00

MANUFACTURING DIRECTIONS

Follow manufacturing directions provided for acetaminophen, chlorpheniramine, and pseudoephedrine caplets.

ACETAMINOPHEN, DOXYLAMINE, AND CAFFEINE EFFERVESCENT GRANULES

Bill of Materials			
Scale (mg/ sachet)	Item	Material Name	Qty/1000 Sachets (g)
500.00	1	Acetaminophen (powder)	500.00
5.00	2	Doxylamine succinate	5.00
33.00	3	Caffeine (Knoll)	33.00
391.00	4	Tartaric acid	391.00
417.00	5	Sodium hydrogen carbonate	417.00
6.00	6	Kollidon® 30	6.00
–	7	Isopropanol (or ethanol)	QS
30.00	8	Sodium citrate	30.00
707.00	9	Sugar	707.00

MANUFACTURING DIRECTIONS

1. Granulate mixture of items 1 to 5 with solution of items 6 and 7, dry at 60°C under vacuum conditions through 0.8 mm sieve, and mix with items 8 and 9.
2. Fill 2.1 g in sachets at maximum relative atmospheric humidity of 30%.
3. Granules are free flowing.
4. If the solvent isopropanol is replaced by water, the granulation should be done in a fluidized bed.

ACETAMINOPHEN DROPS

Bill of Materials				
Scale (mg/ mL)	Item	Material Name	Qty/L (g)	
739.00	1	Propylene glycol	739.00	
90.00	2	Acetaminophen	90.00	
17.50	3	Saccharin sodium	17.50	
8.75	4	Sodium chloride	8.75	
0.05	5	FD&C Red No. 40 ^a	0.05	
2.50	6	Purified water, USP	2.50	
2.00	7	Wild cherry artificial flavor	2.00	
65.00	8	Alcohol (ethanol, 190 proof, nonbeverage), USP	65.00	
QS	9	Deionized purified water, USP	QS to 1 L	

^a Check for local regulatory allowance to use red dyes.

MANUFACTURING DIRECTIONS

Caution: Ensure that solution in tank never exceeds 65°C.

1. Add 739 g of propylene glycol to jacketed mixing tank, and start heating with slow mixing.
2. Dissolve dye in 2.5 mL of purified water, and add to tank while mixing.
3. Rinse container with small amount of purified water, and add to tank.
4. While mixing, add acetaminophen, saccharin sodium, and sodium chloride.
5. Hold at 60°C to 65°C with continued moderate mixing until all are in solution.
6. Force cool to less than 30°C with slow mixing.
7. Blend flavor with alcohol, and add to tank with slow mixing.
8. Add purified water with mixing QS to make 1 L.
9. Mix well with moderate agitation until uniform.
10. Filter through an 8 µm Millipore membrane (or equivalent).

ACETAMINOPHEN EFFERVESCENT TABLETS

Bill of Materials			
Scale (mg/ tablet)	Item	Material Name	Qty/1000 Tablets (g)
500.00	1	Acetaminophen (powder <300 μm)	500.00
500.00	2	Sodium bicarbonate	500.00
430.00	3	Tartaric acid (powder)	430.00
200.00	4	Dextrose	200.00
QS	5	Flavoring	QS
20.00	6	Kollidon® 30	20.00
–	7	Isopropanol	100.00 mL
60.00	8	PEG-6000 (powder)	60.00

MANUFACTURING DIRECTIONS

1. Granulate the mixture of items 1 to 5 with solution of items 6 and 7.
2. Pass through a 0.8 mm sieve, add item 8, and mix.
3. Press to tablets (average weight, 1700 mg; 16 mm diameter biplanar tablet).

ACETAMINOPHEN FAST-DISSOLVING TABLETS**MANUFACTURING DIRECTIONS**

1. To the vortex of a rapidly stirred vessel containing 2.85 kg of deionized water is added 300 g of croscarmellose sodium, forming slurry. This slurry is mixed for 10 minutes.
2. Concurrently, 5 kg of powdered acetaminophen is placed in the bowl of a mixer.
3. At the conclusion of the mixing time for the slurry of croscarmellose sodium, the slurry is added slowly to the acetaminophen in the mixer bowl, forming a granulation, which is then placed in trays and dried in a 70°C oven for 3 hours.
4. The dry granulation is then passed through a U.S. standard 14 mesh screen (1410 μm).
5. Dry granulation (4796 g) is then placed in a twin shell blender, and to it is added 1584 g of Avicel™ AC-815 (85% microcrystalline cellulose coprocessed with 15% of calcium, sodium alginate complex, and 1584 g of microcrystalline cellulose [Avicel™ PH-302]).
6. This is thoroughly blended for 10 to 15 minutes, after which 36.24 g of magnesium stearate is added and mixed for an additional 5 minutes.

7. Prior to being added to the blender, magnesium stearate had been passed through a U.S. standard 30 mesh screen.
8. The resulting blend is compressed into caplet-shaped tablets with average weight of 0.884 g and an average thickness of 7.869 mm (0.3098 in).
9. The hardness of these tablets averaged 11.98 kp. Friability of these tablets is measured at 0.433% after 10 minutes and 0.847% after 19 minutes.
10. The average disintegration time is 26 seconds in 10 mL of deionized water, forming a suspension with minimal shaking.

ACETAMINOPHEN, IBUPROFEN, AND ORPHENADRINE HYDROCHLORIDE TABLETS

Bill of Materials			
Scale (mg/ tablet)	Item	Material Name	Qty/1000 Tablets (g)
250.00	1	Acetaminophen (powder <300 μm)	250.00
200.00	2	Ibuprofen	200.00
100.00	3	Orphenadrine hydrochloride	100.00
200.00	4	Ludipress®	200.00
5.00	5	Magnesium stearate	5.00
5.00	6	Aerosil® 200	5.00

MANUFACTURING DIRECTIONS

1. Pass all components through a 0.5 mm sieve. Mix.
2. Press with high compression force.
3. Tablet weight is 761 mg for each 12 mm biplanar tablet.

ACETAMINOPHEN INSTANT GRANULES

Bill of Materials			
Scale (mg/g)	Item	Material Name	Qty/kg (g)
166.66	1	Acetaminophen (fine powder)	166.66
426.64	2	Sucrose (fine powder)	426.64
300.00	3	Kollidon® CL-M	300.00
23.33	4	Aspartame	23.33
16.66	5	Orange flavor	16.66
16.66	6	Strawberry flavor	16.66
40.00	7	Kollidon® 30	40.00
250.00	8	Ethanol (96%)	250.00

MANUFACTURING DIRECTIONS

1. Granulate items 1 to 6 with solution made from items 7 and 8, and pass through a 0.8 mm sieve.
2. Fill 1.5 or 3.0 g in sachets (for 250 or 500 mg strength, respectively).
3. The free-flowing granules disperse well in cold water.
4. Suspend 1.5 or 3.0 g of the granules (=250 or 500 mg acetaminophen) in a glass of water.

ACETAMINOPHEN INSTANT GRANULES

Bill of Materials			
Scale (mg/g)	Item	Material Name	Qty/kg (g)
192.30	1	Acetaminophen (fine powder)	192.30
500.00	2	Sorbitol (instant) (Merck)	500.00
192.30	3	Kollidon® CL-M	192.30
27.00	4	Aspartame	27.00
19.23	5	Orange flavor	19.23
19.23	6	Strawberry flavor	19.23
11.53	7	Sodium citrate	11.53
11.53	8	Citric acid	11.53
30.76	9	Kollidon® 90 F	30.76
192.30	10	Ethanol (96%)	192.30

MANUFACTURING DIRECTIONS

1. Granulate items 1 to 8 with solution made from items 9 and 10, and pass through a 0.8 mm sieve.
2. Fill 1.3 or 2.6 g in sachets (for 250 or 500 mg strength, respectively).
3. The free-flowing granules disperse well in cold water.
4. Suspend 1.2 or 2.6 g of the granules (=250 or 500 mg acetaminophen) in a glass of water.

ACETAMINOPHEN INSTANT GRANULES

Bill of Materials			
Scale (mg/sachet)	Item	Material Name	Qty/1000 Sachets (g)
500.00	1	Acetaminophen fine powder	500.00
1300.00	2	Sorbitol instant (Merck)	1300.00
500.00	3	Lutrol F 127	500.00
30.00	4	Citric acid (powder)	30.00
30.00	5	Sodium citrate	30.00
80.00	6	Kollidon® 90 F	80.00
500.00	7	Ethanol (96%)	500.00

MANUFACTURING DIRECTIONS

1. Granulate mixture of items 1 to 5 in solution of item 6 in item 7.
2. Fill 2.44 g in sachets (=500 mg acetaminophen).
3. The free-flowing granules disperse well in cold water.
4. The taste of the suspension is only slightly bitter (2.44 g in a glass of water).

ACETAMINOPHEN MICROSPHERE TABLETS**MANUFACTURING DIRECTIONS**

1. Formulation: Acetaminophen (APAP) powder (melting point 169–170.5°C), 85%; carnauba wax, 7.5%; Pluronic F68, 7.5%.
2. Mill the Pluronic through a Fitz mill using a 40 mesh screen.
3. Blend all of the ingredients at 60 Hz slow speed with chopper for 10 minutes.
4. Subject the blend to liquiflash processing at 60 Hz and 37% nominal power using the 5 in V-groove heater head.
5. Sieve the collected microspheres.
6. Coat the fraction passing through a 40 mesh and retained on 120 mesh sieve.
7. Coat the microspheres selected in a fluid-bed coater for taste masking at a 30% coating level with a coating solution containing a 1:1 ethylcellulose/hydroxypropylcellulose blend in acetone:isopropyl alcohol solvent.
8. Prepare a preblend of 78.25% sucrose, 11.00% sorbitol, 10.00% xylitol, and 0.75% Tween (polysorbate) 80.
9. Process the floss preblend using the 5 in. crown head at a temperature of 250°C and rotational speed of 60 Hz (3600 rpm).
10. Chop the floss collected with 2% lactose (2% w/w of the floss) for 2 minutes at 100 rpm with the choppers on, and spray 200 proof ethanol (0.5% based on weight of the floss) on the chopped floss and mix.
11. Dry the floss at 45°C for 90 minutes with intermittent mixing.
12. Screen the dried floss through a 20 mesh screen.
13. Process APAP taste-masked microspheres (step 5), 47.97; floss (step 6), 48.88; grape flavor, 0.70; citric acid, 1.50; acesulfame potassium, 0.20; silicon dioxide, 0.25; and sodium stearyl fumarate, 0.50.
14. Blend the coated APAP microspheres with the sieved floss for 5 minutes in a mixer, followed by the addition of flavors, sweeteners, and citric acid for another 3 minutes.
15. Thereafter, add silicon dioxide and blend the mix for another 2 minutes. After the final addition, sodium stearyl fumarate, blend for an additional 2 minutes.

- Tablet the blend using flat-faced bevel edge punches (tablet weights are 255 mg for 9-mm punch tooling, equivalent to 80 mg APAP, and 510 mg for 12-mm tooling, equivalent to 160 mg APAP dose).
- The hardness values should range from 0.5 to 2.0 lb.

ACETAMINOPHEN, NOREPHEDRINE, AND PHENYLTOLOXAMINE TABLETS

Bill of Materials			
Scale (mg/ tablet)	Item	Material Name	Qty/1000 Tablets (g)
300.00	1	Acetaminophen (crystalline) (Merck)	300.00
25.00	2	Norephedrine hydrochloride (Knoll)	25.00
22.00	3	Phenyltoloxamine	22.00
200.00	4	Cornstarch	200.00
25.00	5	Kollidon® 30	25.00
–	6	Ethanol (96%)	QS
25.00	7	Kollidon® CL	25.00
5.00	8	Magnesium stearate	5.00

MANUFACTURING DIRECTIONS

- Granulate mixture of items 1 to 5 with solution of items 5 and 6.
- Dry, pass through a 0.8 mm sieve, and add items 7 and 8.
- Press with high compression force.
- Tablet weight is 601 mg for 12 mm biplanar tablet.

ACETAMINOPHEN ORAL SUSPENSION

Bill of Materials			
Scale (mg/5 mL)	Item	Material Name	Qty/L (g)
250.00	1	Acetaminophen (micronized) (2% excess)	51.00
2500.00	2	Sucrose	500.00
5.00	3	Methylparaben	1.00
1.50	4	Propylparaben	0.30
0.30	5	Sodium citrate	0.06
35.00	6	Glycerin (glycerol)	7.00
400.00	7	Glycerin (glycerol)	80.00
2000.00	8	Sorbitol (70%)	400.00
10.00	9	Xanthan gum (Keltrol® F)	2.00
0.50	10	Dye	0.10
22.50	11	Flavor	4.50
3.50	12	Strawberry flavor	0.70
–	13	Purified water	QS to 1 L

MANUFACTURING DIRECTIONS

Note: Acetaminophen dispersion should be uniformly mixed. If acetaminophen dispersion is either added to hot syrup base or homogenized for a long time, flocculation may appear. While handling the syrup or mucilage or drug dispersion, the handling loss should not be more than 1%. If it exceeds 1%, a poor suspension may result.

- Add 180 g of purified water to the mixer, and heat to 90°C.
- Dissolve item 3 and item 4 while mixing.
- Add and dissolve item 2 while mixing.
- Cool down to approximately 50°C to 55°C.
- Add and dissolve item 5 while mixing.
- Filter the syrup through T-1500 filters washed with purified water.
- Collect the syrup in a clean stainless steel tank.
- Disperse item 9 in item 6 in a separate stainless steel container.
- Add 40 g of hot purified water (90°C) at once while mixing.
- Mix for 20 minutes to make a homogeneous smooth mucilage.
- Mix item 7 in 10 g of purified water (25°C) in a separate stainless steel container.
- Add item 1 while mixing with stirrer.
- Mix for 25 minutes to make uniform suspension.
- Add sugar syrup and mucilage to the mixer.
- Rinse the container of mucilage with 15 g of purified water, and add the rinsings to the mixer.
- Cool to 25°C while mixing.
- Add item 1 dispersion to the mixer.
- Rinse the container of dispersion with 15 g of purified water, and add rinsings to the mixer.
- Check the suspension for uniformity of dispersion.
- Mix for additional 5 minutes at 18 rpm and a vacuum of 0.5 bar, if required.
- Add item 8 to the mixer, and mix for 10 minutes.
- Dissolve item 10 in 7 g of purified water, and add to the mixer.
- Disperse item 11 in 7 g of purified water, and add to the mixer.
- Add item 12 to the mixer.
- Add cold purified water (25°C) to bring the volume up to 1 L.
- Homogenize for 5 minutes at low speed under a vacuum of 0.5 bar, 18 rpm, and temperature of 25°C.
- Check the dispersion for uniformity.
- Check the pH (limit: 5.7 ± 0.5 at 25°C). If required, adjust the pH with a 20% solution of citric acid or sodium citrate.
- Transfer the suspension through a 630 µm sieve to the stainless steel storage tank after mixing for 5 minutes at 18 to 20 rpm at room temperature.

ACETAMINOPHEN, PHENYLPROPANOLAMINE, DEXTROMETHORPHAN, AND CHLORPHENIRAMINE TABLETS

Bill of Materials

Scale (mg/tablet)	Item	Material Name	Qty/1000 Tablets (g)
200.00	1	Acetaminophen	200.00
12.50	2	Phenylpropanolamine hydrochloride (10% excess)	13.75
10.00	3	Dextromethorphan hydrobromide (10% excess)	11.00
1.00	4	Chlorpheniramine maleate (10% excess)	1.10
64.65	5	Cellulose (microcrystalline) (Avicel™ PH101)	121.72
28.00	6	Sodium starch glycolate (pH 5.5–7.5)	28.00
17.00	7	Povidone (PVP K-29–32)	17.5
–	8	Distilled purified water	~80.0 mL
2.00	9	Magnesium stearate	2.00
125.00	10	Acetaminophen	125.00
50.00	11	Ascorbic acid; use item 12	–
56.25	12	Sodium ascorbate (special grade) (20% excess)	67.50
24.00	13	Sodium starch glycolate (pH 5.5–7.5)	24.00
15.00	14	Povidone (PVP K-29–32)	~ 15.00
–	15	Alcohol SD 3A (200 proof)	75.0 mL

MANUFACTURING DIRECTIONS

- Dissolve chlorpheniramine and povidone (item 7) in the purified water.
- Pass phenylpropanolamine, dextromethorphan, and an equal portion of Avicel™ (item 5) through a 790 µm screen to break up any agglomerates.
- Blend the screened items in a suitable mixer for 5 minutes.
- Load the acetaminophen (item 1), sodium starch glycolate (item 6), remaining Avicel™ (item 5), and blended items from previous step into a suitable planetary mixer.
- Blend for 10 minutes.
- Granulate the blend from the preceding solution.
- Add the granulating solution in three equal portions, massing for 5 minutes after each addition.
- Pass the wet mass through a 4.2 mm screen onto paper-lined trays.
- Dry at 50°C until the granule loss on drying (LOD) is 1% to 1.5%.
- Pass the dried granules through an oscillating granulator fitted with a 790 µm screen.
- Load the dried granules into a suitable blender.

- Pass the magnesium stearate through a 600 µm screen and add to the blender.
- Blend for 5 minutes.
- Compress to the following specifications: tablet weight 291 mg and tablet thickness 4.2 to 4.4 mm.

ACETAMINOPHEN, PROPOXYPHENAZONE, AND CAFFEINE TABLETS

Bill of Materials

Scale (mg/tablet)	Item	Material Name	Qty/1000 Tablets (g)
250.00	1	Acetaminophen powder	250.00
150.00	2	Propoxyphenazone (isopropyl antipyrine)	150.00
50.00	3	Anhydrous caffeine	50.00
120.00	4	Avicel™ PH102	120.00
5.00	5	Pharmacoat® 603	5.00
3.25	6	Magnesium stearate	3.25
9.75	7	Talcum	9.75
1.30	8	Silicic acid	1.30
7.00	9	Methocel™ E-15	7.00
32.50	10	Esmaspreng fine	32.50
21.20	11	Maize starch	21.20
–	12	Water purified	QS

MANUFACTURING DIRECTIONS

- Place into a suitable vessel 5 g of Pharmacoat® and 74 g of purified water. Stir until homogeneous aqueous mucilage is obtained.
- Mix in another vessel 250 g acetaminophen powder and 17.50 g Esmaspreng fine. Add the above granulating solution, and knead for approximately 10 minutes until an evenly moist mass of soft lumps is obtained.
- Granulate by means of centrifugal granulator with 10 mm screen. Dry the moist granulate overnight on trays in drying oven at 45°C (RH: 20–30%).
- Crush the dried cake through an oscillator with a 1.5 mm perforated plate.
- In a suitable container, add 65 g deionized water and 7 g Methocel™.
- Stir until homogeneous aqueous mucilage is obtained.
- Mix into another vessel 150 g isopropyl antipyrine, 50 g caffeine, 15 g Esmaspreng fine, and 5 g maize starch.
- Pass through a centrifugal granulator with 1 mm screen. Place mixture into another vessel, and knead for approximately 10 minutes until an evenly moist mass of small lumps is obtained.
- Granulate through centrifugal granulator with 10 mm perforated screen.

- Dry moist granulate overnight on trays in drying oven at 45°C (RH: 10–20%).
- Crush the dried granules through oscillator with a 1.5 mm perforated plate. Store in airtight container.
- Mix into a tumbling mixer 4.875 g talc, 1.625 g magnesium stearate, 0.65 kg silicic acid, and 60.00 g Avicel™ PH102.
- Pass through a 0.5 mm round sieve, and load acetaminophen granulate and isopropyl antipyrine/caffeine granulate. Add premixture of talc into blender.
- Mix the mixture well for 30 minutes (RH: 30–35%).
- Store mix in airtight container.
- Compress 650 mg tablet to 12.8 to 13.2 mm, hardness 6 to 20, disintegration time 5 minutes.

ACETAMINOPHEN, PSEUDOEPHEDRINE HYDROCHLORIDE, AND CHLORPHENIRAMINE HOT THERAPY SACHET

Bill of Materials			
Scale (mg/sachet)	Item	Material Name	Qty/1000 Sachets (g)
650.00	1	Acetaminophen (micronized)	650.00
60.00	2	Pseudoephedrine hydrochloride	60.00
4.00	3	Chlorpheniramine maleate	4.00
1.20	4	Dispersed orange	1.20
18,081.10	5	Castor sugar	18,081.10
200.00	6	Aspartame	200.00
250.00	7	Maize starch (dried)	250.00
180.00	8	Citric acid	180.00
38.00	9	Sodium citrate	38.00
200.00	10	Sodium chloride	200.00
400.00	11	Blood orange flavor (dry)	400.00
QS	12	Purified water	QS

MANUFACTURING DIRECTIONS

- See manufacturing directions for acetaminophen and pseudoephedrine hydrochloride hot therapy sachets.

ACETAMINOPHEN SUPPOSITORIES

Bill of Materials			
Scale (mg/suppository)	Item	Material Name	Qty/1000 Suppositories (g)
80.00	1	Acetaminophen (micronized)	80.00
836.80	2	Hard fat (Suppocire AM)	836.80
3.20	3	Sorbitan monostearate (Crill-3)	3.20

MANUFACTURING DIRECTIONS

- Fill weight is 920 mg per suppository. The molten suppository mass must be stirred throughout the storage period and during manufacturing and filling to avoid sedimentation of the active drug.
- Load items 2 and 3 into the fat-melting vessel and heat to 50°C ± 3°C.
- Transfer the molten mass to a mixer through filter sieves.
- Set the temperature at 45°C ± 2°C.
- Load item 1 into the mixer containing molten item 2.
- Carefully mix the powder with molten item 2 for 20 minutes at 10 rpm, at a temperature of 45°C ± 2°C, and at a vacuum of 0.4 to 0.5 bar; then, homogenize for 10 minutes at low speed.
- Continue mixing at 10 rpm.
- Heat the storage vessel, and set the temperature at 45°C ± 2°C.
- Transfer the molten mass from the mixer to the storage vessel.
- Hold the mass at 45°C ± 2°C with continuous mixing at low speed.

ACETAMINOPHEN SUPPOSITORIES

Bill of Materials			
Scale (mg/suppository)	Item	Material Name	Qty/1000 Suppositories (g)
125.00	1	Acetaminophen (micronized) (5% excess)	131.25
785.54	2	Hard fat (Suppocire AM)	785.54
3.21	3	Sorbitan monostearate (Crill-3)	3.21

MANUFACTURING DIRECTIONS

Fill weight is 920 mg per suppository. See manufacturing directions for acetaminophen suppositories.

ACETAMINOPHEN SUPPOSITORIES

Bill of Materials			
Scale (mg/suppository)	Item	Material Name	Qty/1000 Suppositories (g)
250.00	1	Acetaminophen (micronized)	250.00
1140.00	2	Hard fat (Suppocire AM)	1140.00

MANUFACTURING DIRECTIONS

Fill weight is 1390 mg per suppository. See manufacturing directions for acetaminophen suppositories.

ACETAMINOPHEN SUPPOSITORIES

Bill of Materials			
Scale (mg/ suppository)	Item	Material Name	Qty/1000 Suppositories (g)
150.00	1	Acetaminophen (fine powder), excess	150.00
20.00	2	Aerosil® 200	20.00
1290.00	3	Lutrol E 1500	1290.00
554.00	4	Lutrol E 4000	554.00

MANUFACTURING DIRECTIONS

1. Melt the mixture of items 1 and 2 in a mixture of items 3 and 4.
2. Fill the molten mass in suppository molds.
3. Average weight is 2 g.

ACETAMINOPHEN SUPPOSITORIES

Bill of Materials			
Scale (mg/ suppository)	Item	Material Name	Qty/1000 Suppositories (g)
500.00	1	Acetaminophen (fine powder)	500.00
100.00	2	Lutrol E 400	100.00
600.00	3	Lutrol E 1500	600.00
800.00	4	Lutrol E 4000	800.00

MANUFACTURING DIRECTIONS

1. Fill weight is 2.09 g. Melt items 2 through 4, and add and dispense item 1.
2. Fill the molten mass in suppository molds.

ACETAMINOPHEN SUSPENSION

Bill of Materials			
Scale (mg/10 mL)	Item	Material Name	Qty/L (g)
500.00	1	Acetaminophen (powder)	50.00
50.00	2	Citric acid (powder)	5.00
50.00	3	Sodium citrate	5.00
500.00	4	Kollidon® CL-M	50.00
10.00	5	Orange flavor	1.00
3000.00	6	Dextrose	300.00
QS	7	Water	589.00

MANUFACTURING DIRECTIONS

1. Prepare the solution of dextrose in water, and add the other solid ingredients with stirring in the following sequence: citric acid, sodium citrate, orange flavor, Kollidon® CL-M, and acetaminophen.
2. A white, homogeneous suspension is obtained that is a practically tasteless, stable suspension showing almost no sedimentation over 24 hours and good redispersibility (easily homogenized by shaking two to three times).

ACETAMINOPHEN SYRUP

Bill of Materials				
Scale (mg/ mL)	Item	Material Name	Qty/L (g)	
569.00	1	Sucrose (granulated sugar), NF	569.00	
2.00	2	Sodium citrate (dihydrate powder), USP	2.00	
1.00	3	Citric acid (anhydrous powder), USP	1.00	
1.00	4	Saccharin sodium (powder), USP	1.00	
1.00	5	Sodium chloride (powder), USP	1.00	
204.00	6	Propylene glycol, USP	204.00	
35.00	7	Acetaminophen, USP	35.00	
77.11	8	Alcohol (ethanol, 190 proof), USP	77.112	
0.12	9	Cherry flavor (artificial), N59456/A	0.12	
0.12	10	FD&C Red No. 40	0.10	
QS	11	Deionized purified water, USP	400.00	
–	12	HyFlo filter aid	QS	

MANUFACTURING DIRECTIONS

1. Add 300 mL of purified water to a jacketed stainless steel mixing tank. Start heating.
2. Add sugar with mixing.
3. Heat to 60°C to 65°C, and hold. Mix for complete solution.
4. Add, while mixing, sodium citrate, citric acid, saccharin sodium, and sodium chloride. Mix for complete solution.
5. Add propylene glycol with mixing.
6. Add acetaminophen powder with moderate mixing.
7. Continue mixing at 60°C to 65°C for complete solution.
8. Force cool to 25°C to 30°C with slow mixing.
9. Blend cherry flavor with approximately twice its volume of alcohol, and add with mixing.
10. Rinse the container with several portions of alcohol, and add. Mix until uniform.
11. Dissolve red dye in approximately 4 g of slightly warmed (50–60°C) purified water, and add with mixing.

12. Rinse the container twice with approximately 1.5 g purified water, and add. Mix until uniform.
13. Adjust volume to 1 L with purified water. Mix well.
14. Add a small amount of HyFlo filter aid to the mixing tank, and continue to mix slowly while filtering.
15. Filter through press until sparkling clear.
16. Use clarifying pad backed by lint-free filter paper.

ACETAMINOPHEN SYRUP

Bill of Materials			
Scale (mg/ mL)	Item	Material Name	Qty/L (g)
50.00	1	Acetaminophen (Merck)	50.00
50.00	2	Sorbitol (crystalline)	50.00
40.00	3	Cyclamate sodium	40.00
1.00	4	Strawberry flavor	1.00
200.00	5	Kollidon® 25	200.00
150.00	6	Glycerol	150.00
200.00	7	1, 2-Propylene glycol	200.00
310.00	8	Water	310.00

MANUFACTURING DIRECTIONS

1. First dissolve Kollidon® 25 and then the other solid components in the solvent mixture of glycerol, propylene glycol, and water.
2. The clear solution has a slightly bitter taste.
3. The solution remains clear for more than 1 week at 6°C and for more than 3 months at 25°C and 40°C.
4. The color of the solution changes only a little during 3 months at 25°C and 40°C.
5. To prevent discoloration during storage, 0.2% to 0.5% of cysteine could be added as antioxidant.

ACETAMINOPHEN SYRUP FOR CHILDREN

Bill of Materials			
Scale (mg/ mL)	Item	Material Name	Qty/L (g)
25.00	1	Acetaminophen (crystalline)	25.00
300.00	2	Kollidon® 25 or Kollidon® 30	300.00
60.00	3	Glycerol	600.00
40.00	4	Sodium cyclamate	40.00
QS	5	Orange flavor	<01.0
QS	6	Raspberry flavor	2.00
QS	7	Water	575.00

MANUFACTURING DIRECTIONS

1. Dissolve Kollidon® in water, add acetaminophen and cyclamate, heat to 50°C, and stir to obtain a clear solution.
2. Dissolve the flavors, and mix with glycerol.
3. The obtained syrup is a viscous, clear, sweet, and only slightly bitter liquid.

ACETAMINOPHEN TABLETS

Bill of Materials			
Scale (mg/ tablet)	Item	Material Name	Qty/1000 Tablets (g)
500.00	1	Acetaminophen (fine powder)	500.00
44.15	2	Maize starch	44.15
0.84	3	Potassium sorbate	0.84
18.00	4	Povidone (PVP K-30)	18.00
4.00	5	Aerosil® 200	4.00
12.00	6	Gelatin (powder)	12.00
4.00	7	Glycerol	4.00
30.00	8	Cellulose (powder)	30.00
12.00	9	Primojel®	12.00
8.00	10	Stearic acid (fine powder)	8.00
2.00	11	Magnesium stearate	2.00
5.00	12	Talc (fine powder)	5.00
QS	13	Purified water	QS

MANUFACTURING DIRECTIONS

1. Binder solution: Prepare in several batches. Add items 3 to 5 with approximately 50% quantity of water, dissolve item 1 in water, add item 4, and dissolve at medium speed. Avoid foaming.
2. Add item 5, and mix for 3 minutes.
3. Dissolve item 6 in 70°C to 80°C purified water, and mix until clear. Avoid foaming.
4. Add item 7, and mix gently. Add to mixture from previous step.
5. Mix items 1 and 2 for 5 minutes.
6. Add binding solution, and mix at slow speed until granules form. Add extra water if necessary.
7. Dry in fluid-bed dryer at 55°C for 30 minutes. After 15 minutes, scrape granules to break up lumps to promote uniform drying. Dry to LOD 1% to 1.5%.
8. Grind through a 3 mm sieve and then through a 1 mm sieve. Load into double-cone blender.
9. Pass cellulose powder, Primojel®, and stearic acid through a 500 µm sieve; bag-mix magnesium stearate and fine talc powder, and pass through a 250 µm sieve; add portion of granules from the bulk to the bag, and mix for 1 minute.
10. Add both of these parts to the granules.
11. Compress 17.6 mm × 7.2 mm caplet punches to 10 to 14 kp hardness and 5.8 to 6.0 mm thickness.

ACETAMINOPHEN TABLETS

Bill of Materials			
Scale (mg/ tablet)	Item	Material Name	Qty/1000 Tablets (g)
500.00	1	Acetaminophen (crystalline)	500.00
137.00	2	Avicel™ PH102	137.00
35.00	3	Kollidon® VA 64	35.00
21.00	4	Kollidon® CL	21.00
3.00	5	Magnesium stearate	3.00
4.00	6	Aerosil® 200	4.00

MANUFACTURING DIRECTIONS

1. Pass the lubricant through a 200 mm sieve. Mix all other components.
2. Pass through 0.8 mm sieve, add the lubricant, and press with a high compression force of 25 to 30 KN.
3. Fill 699 mg.

ACETAMINOPHEN TABLETS

Bill of Materials			
Scale (g/ tablet)	Item	Material Name	Qty/1000 Tablets (g)
500	1	Acetaminophen (crystalline)	500
150	2	Avicel™ PH102	150
20	3	Kollidon® VA 64	20
15	4	Kollidon® CL	15
15	5	PEG-6000 (powder)	15
2	6	Aerosil® 200	2

MANUFACTURING DIRECTIONS

1. Pass the lubricant through a 200 µm sieve. Mix all other components.
2. Pass through a 0.8 mm sieve, add the lubricant, and press with a high compression force of 25 to 30 kN.
3. Weight should be 703 mg.

ACETAMINOPHEN TABLETS

Bill of Materials			
Scale (mg/ tablet)	Item	Material Name	Qty/1000 Tablets (g)
500.00	1	Acetaminophen (powder)	500.00
30.00	2	Dicalcium phosphate	30.00
12.00	3	Kollidon® CL	12.00
20.00	4	Kollidon® VA 64	20.00
10.00	5	Kollidon® 90 F	10.00
–	6	Ethanol (96%)	70 mL (max.)
12.00	7	Kollidon® CL	12.00
10.00	8	Polyethylene glycol (powder)	10.00

MANUFACTURING DIRECTIONS

1. Granulate mixture of items 1 to 4 with solution of item 5 and 6.
2. Dry, sieve, and mix with items 7 and 8.
3. Press with high compression force of 25 to 30 kN.
4. Tablet weight is 587 mg for an 11 mm biconvex tablet.

ACETAMINOPHEN TABLETS FOR CHILDREN

Bill of Materials			
Scale (mg/ tablet)	Item	Material Name	Qty/1000 Tablets (g)
210.00	1	Acetaminophen (Merck)	210.00
168.00	2	Avicel™ PH101	168.00
13.00	3	Kollidon® VA 64	13.00
6.00	4	Kollidon® CL	6.00
2.00	5	Magnesium stearate	2.00

MANUFACTURING DIRECTIONS

1. Pass all components through a 0.8 mm sieve, mix, and press with medium compression force.
2. Tablet weight is 401 mg for each 12 mm biplanar tablet.

ACETYLCYSTEINE SACHETS

Bill of Materials			
Scale (mg/ sachet)	Item	Material Name	Qty/1000 Sachets (g)
66.66	1	Acetylcysteine BP (200 mg/ sachet)	66.66
914.16	2	Sugar (18–60 mesh)	914.16
3.33	3	Saccharin sodium	3.33
0.66	4	Silicon dioxide (colloidal)	0.66
0.16	5	FD&C Yellow No. 6	0.16
QS	6	Mandarin flavor (e.g., Naarden)	~13.0 mL

MANUFACTURING DIRECTIONS

1. Load the acetylcysteine and half the amount of sugar and saccharin sodium into a suitable blender, and premix for 30 minutes.
2. Sift the premix through a 0.8 mm screen.
3. Load again into the blender.
4. Add the remaining amount of sugar and colloidal silicon dioxide, and blend until uniform (typically, this is achieved on the PK processor by heating the envelope to 40°C and mixing until the product cools to 30–35°C).
5. Dissolve the dye in 13 mL of distilled water.
6. Continue mixing the blended powders, and slowly add the solution from preceding step.
7. When addition of the solution is complete, continue massing until the granulation is evenly wetted and colored. If necessary, complete massing by adding additional quantities of distilled water (in approximately 1 mL increments).
8. Verify that massing is adequate, and note the total quantity of added water. Record the total quantity of water added. Do not overmass.
9. Spread the wet granules on trays, and dry at 50°C until LOD is NMT 1% (3 hours at 60°C at 5 mmHg).
10. Allow the granules to cool; then, sift on an oscillating granulator fitted with 1.18 mm aperture screen.
11. Load the granules from preceding step into a suitable blender, add the flavor, and blend until uniform (15 minutes), passing it through a 1.18 mm screen if necessary.
12. Fill into suitable approved sachets at a theoretical fill weight of 3 g per sachet.

ACETYLSALICYLIC ACID, ACETAMINOPHEN, AND
CAFFEINE TABLETS (250 MG + 250 MG + 50 MG)

Bill of Materials			
Scale (mg/ tablet)	Item	Material Name	Qty/1000 Tablets (g)
250.00	1	Acetaminophen (Merck)	250.00
50.00	2	Caffeine powder	50.00
250.00	3	Acetylsalicylic acid	250.00
60.00	4	Kollidon® VA 64	60.00
20.00	5	Kollidon® CL	20.00
3.00	6	Aerosil® 200	3.00
4.00	7	Magnesium stearate	4.00

MANUFACTURING DIRECTIONS

1. The active ingredients and Kollidon® VA 64 are granulated in a roller compactor.
2. Pass the granules together with magnesium stearate, Aerosil® 200, and Kollidon® CL through an 800 µm sieve.
3. Blend for 10 minutes in a mixer.
4. Compress into tablets with a force of approximately 12 kN.

ACETYLSALICYLIC ACID +
PARACETAMOL (=ACETAMINOPHEN)
TABLETS (250 MG + 250 MG)

Bill of Materials			
Scale (mg/ tablet)	Item	Material Name	Qty/1000 Tablets (g)
250.00	1	Acetylsalicylic acid	250.00
250.00	2	Acetaminophen	250.00
60.00	3	Avicel™ PH101	60.00
15.00	4	Kollidon® VA 64	15.00
3.00	5	Macrogol 6000 powder	3.00

MANUFACTURING DIRECTIONS

1. Pass all components through a 0.8 mm sieve, mix, and press with medium compression force.

ACETYLSALICYLIC ACID + VITAMIN C TABLETS (400 MG + 250 MG)

Bill of Materials

Scale (mg/tablet)	Item	Material Name	Qty/1000 Tablets (g)
400.00	1	Acetylsalicylic acid crystalline	400.00
250.00	2	Ascorbic acid	250.00
100.00	3	Ludipress®	100.00
20.00	4	Kollidon® CL	20.00
3.00	5	Macrogol 6000 powder	3.00

MANUFACTURING DIRECTIONS

1. Pass all components through a 0.8 mm sieve, mix, and press with medium compression force.

ACETYLSALICYLIC ACID TABLETS (500 MG)

Bill of Materials

Scale (mg/tablet)	Item	Material Name	Qty/1000 Tablets (g)
500.00	1	Acetylsalicylic acid crystalline	500.00
200.00	2	Avicel™ PH101	200.00
15.00	3	Kollidon® 30	15.00
25.00	4	Kollidon® CL	25.00
3.00	5	Magnesium stearate	3.00

MANUFACTURING DIRECTIONS

1. Pass all components through a 0.8 mm sieve, mix, and press with low compression force.

ACETYLSALICYLIC ACID, ACETAMINOPHEN, AND CAFFEINE TABLETS

Bill of Materials

Scale (mg/tablet)	Item	Material Name	Qty/1000 Tablets (g)
250.00	1	Acetylsalicylic acid (crystalline)	250.00
250.00	2	Acetaminophen (crystalline)	250.00
50.00	3	Caffeine	50.00
50.00	4	Kollidon® 90 F	50.00
–	5	Isopropanol	QS
5.00	6	Magnesium stearate	5.00
16.00	7	Kollidon® CL	16.00

MANUFACTURING DIRECTIONS

1. Granulate items 1 to 3 with solution of items 4 and 5. Dry, and sieve through a 0.8 mm screen.
2. Add items 5 and 6, and press with low compression force (hardness 45 N). Each 12 mm biplanar tablet has an average weight of 670 mg.

ACETYLSALICYLIC ACID, ACETAMINOPHEN, AND CAFFEINE TABLETS (DIRECT COMPRESSION)

Bill of Materials

Scale (mg/tablet)	Item	Material Name	Qty/1000 Tablets (g)
400.00	1	Acetylsalicylic acid (crystalline)	400.00
100.00	2	Acetaminophen (crystalline)	100.00
30.00	3	Caffeine	30.00
100.00	4	Ludipress®	100.00
20.00	5	Kollidon® CL	20.00
30.00	6	PEG-6000 (powder)	30.00
5.00	7	Stearic acid	5.00

MANUFACTURING DIRECTIONS

1. Mix all components. Pass through a 0.8 mm sieve.
2. Press with compression force of 116 N. Each 12 mm biplanar tablet has an average weight of 683 mg.

ACETYLSALICYLIC ACID AND ACETAMINOPHEN TABLETS

Bill of Materials

Scale (mg/tablet)	Item	Material Name	Qty/1000 Tablets (g)
250.00	1	Acetylsalicylic acid (crystalline)	250.00
250.00	2	Acetaminophen (crystalline)	250.00
60.00	3	Avicel™ PH101	60.00
15.00	4	Kollidon® 30 (or Kollidon® VA 64)	15.00
25.00	5	Kollidon® CL	25.00

MANUFACTURING DIRECTIONS

1. Pass all components through a 0.8 mm sieve. Mix.
2. Press with medium compression force.
3. Tablet weight is 605 mg for each 12 mm biplanar tablet.

ACETYLSALICYLIC ACID AND ACETAMINOPHEN TABLETS

Bill of Materials			
Scale (mg/ tablet)	Item	Material Name	Qty/1000 Tablets (g)
250.00	1	Acetylsalicylic acid (40 mesh)	250.00
250.00	2	Acetaminophen (40 mesh)	250.00
15.00	3	Avicel™ PH102	15.00
7.20	4	Croscarmellose sodium (Ac-Di-Sol)	7.20
7.20	5	Stearic acid	7.20
4.00	6	Fumed silica	4.00

MANUFACTURING DIRECTIONS

1. Screen all ingredients through a 0.8 mm sieve.
2. Blend all ingredients in a V-blender, and mix for 10 minutes.
3. Compress to 670 mg tablet weight using appropriate tooling.

ACETYLSALICYLIC ACID AND ASCORBIC ACID TABLETS

Bill of Materials			
Scale (mg/ tablet)	Item	Material Name	Qty/1000 Tablets (g)
325.00	1	Acetylsalicylic acid (crystalline) (Merck)	325.00
250.00	2	Ascorbic acid (powder) (BASF)	250.00
120.00	3	Sorbitol (crystalline)	120.00
40.00	4	Avicel™ PH101	40.00
25.00	5	Kollidon® VA 64	25.00
20.00	6	Kollidon® CL	20.00
2.00	7	Magnesium stearate	2.00

MANUFACTURING DIRECTIONS

1. Pass all components through a 0.8 mm sieve. Mix.
2. Press with medium to high compression force (hardness 92 N). Each 12 mm biplanar tablet has an average weight of 790 mg.

ACETYLSALICYLIC ACID AND ASCORBIC ACID TABLETS

Bill of Materials			
Scale (mg/ tablet)	Item	Material Name	Qty/1000 Tablets (g)
325.00	1	Acetylsalicylic acid (crystalline) (Merck)	325.00
250.00	2	Ascorbic acid (powder) (BASF)	250.00
100.00	3	Avicel™ PH101	100.00
12.00	4	Kollidon® VA 64	12.00
30.00	5	Kollidon® CL	30.00
3.00	6	Magnesium stearate	3.00

MANUFACTURING DIRECTIONS

1. Pass all components through a 0.8 mm sieve. Mix.
2. Press with medium to high compression force (hardness 100 N). Each 12 mm biplanar tablet has an average weight of 726 mg.

ACETYLSALICYLIC ACID SUPPOSITORIES

Bill of Materials			
Scale (mg/ suppository)	Item	Material Name	Qty/1000 Suppositories (g)
100.00	1	Acetylsalicylic acid	100.00
400.00	2	Suppocire AM	400.00

MANUFACTURING DIRECTIONS

1. Heat item 2 to 50°C. Allow to cool to 40°C.
2. Add item 1 while stirring with a turbine mixer. Cool molds to -5°C to 0°C.
3. Continue mixing and cooling, and pour into molds at 35°C.
4. Remove suppositories from molds after 7 minutes.
5. Fill to appropriate weight for strength desired.

ACETYLSALICYLIC ACID TABLETS (BUFFERED)

Bill of Materials			
Scale (mg/ tablet)	Item	Material Name	Qty/1000 Tablets (g)
400.00	1	Acetylsalicylic acid (40 mesh)	400.00
40.00	2	Magnesium hydroxide	40.00
40.00	3	Aluminum hydroxide	40.00
135.00	4	Cellulose (microcrystalline) (Avicel™ PH101)	135.00
15.30	5	Stearic acid	15.30
15.30	6	Croscarmellose sodium (Ac-Di-Sol)	15.30
18.50	7	Hydroxy coatings	18.50

MANUFACTURING DIRECTIONS

1. Screen all ingredients except item 7 through a 40 mesh sieve.
2. Blend items 2 and 3 in a V-blender for 10 minutes.
3. Coat items 2 and 3 using Aquacoat® (FMC) aqueous polymer dispersion in a fluid-bed column using a 10% by weight formula.
4. Blend 50% of item 1 with items 4 and 5 for 10 minutes in a V-blender.
5. Add remaining item 1, and blend again for 10 minutes.
6. Blend item 7 with the mixture from the previous step for 10 minutes.
7. Add item 6, and blend for 7 minutes.
8. Compress 625 mg to the desired hardness using appropriate tooling.

ACETYLSALICYLIC ACID TABLETS (DIRECT COMPRESSION)

Bill of Materials			
Scale (mg/ tablet)	Item	Material Name	Qty/1000 Tablets (g)
400.00	1	Acetylsalicylic acid (crystalline) (Merck)	400.00
99.00	2	Ludipress®	99.00
1.00	3	Stearic acid	1.00
15.00	4	Kollidon® CL	15.00

MANUFACTURING DIRECTIONS

1. Mix all components. Pass through a 0.8 mm sieve.
2. Press with low compression force (hardness 90 N). Each 12 mm biplanar tablet has an average weight of 516 mg.

ACETYLSALICYLIC ACID TABLETS (DIRECT COMPRESSION)

Bill of Materials			
Scale (mg/ tablet)	Item	Material Name	Qty/1000 Tablets (g)
400.00	1	Acetylsalicylic acid (40 mesh)	400.00
55.60	2	Cellulose (microcrystalline) (Avicel™ PH101)	55.60
21.40	3	Starch (pregelatinized)	21.40
2.20	4	Stearic acid	2.20
10.00	5	Croscarmellose sodium (Ac-Di-Sol)	10.00
3.20	6	Fumed silica	3.20

MANUFACTURING DIRECTIONS

1. Screen approximately half of item 1 through a mill using 12 mesh screen with knives forward.
2. Preblend items 2 to 6 with 25% of item 1, and pass the mixture through the mill.
3. Pass the balance of item 1 through the mill.
4. Mix all the ingredients in a V-blender for 10 minutes, and compress using 13/32 in. tooling.
5. For enteric coating, coat with Aquateric (FMC) dispersion.

ACETYLSALICYLIC ACID TABLETS (DIRECT COMPRESSION)

Bill of Materials			
Scale (mg/ tablet)	Item	Material Name	Qty/1000 Tablets (g)
200.00	1	Avicel™ PH101	200.00
15.00	2	Kollidon® 30	15.00
25.00	3	Kollidon® CL	25.00
3.00	4	Magnesium stearate	3.00

MANUFACTURING DIRECTIONS

1. Pass all components through a 0.8 mm sieve. Mix.
2. Press with low compression force of (hardness 61 N). Each 12 mm biplanar tablet has an average weight of 707 mg.

ACNE COVER CREAM

Bill of Materials			
Scale (mg/g)	Item	Material Name	Qty/kg (g)
37.00	1	Glyceryl stearate S/E	37.00
46.00	2	Mineral oil/Lanolin alcohol (liquid base CB3939)	46.00
9.00	3	Polawax GP2000	9.00
18.00	4	Stearic acid	18.00
QS	5	Deionized water	QS
36.00	6	Propylene glycol	36.00
2.00	7	Carboxymethyl cellulose (CMC-7HF)	2.00
9.00	8	Magnesium aluminum silicate (regular) (Veegum®)	9.00
9.00	9	Triethanolamine (99%)	9.00
120.00	10	Titanium dioxide	120.00
QS	11	Iron oxides	QS
50.00	12	Actives	50.00
QS	13	Perfume, preservative	QS

MANUFACTURING DIRECTIONS

1. Disperse CMC in propylene glycol and triethanolamine, and add warm water (60–65°C) while stirring until the gum is hydrated.
2. Add Veegum®, and stir until hydrated.
3. Heat oil phase to 60°C to 65°C.
4. Add water phase to oil phase while stirring.
5. Add pigments, and stir to cool, adding the actives at 30°C.
6. Homogenize using suitable equipment.
7. Fill. (Note that active ingredients may be added as required to this base formula.)

ACNE SCRUB

Bill of Materials			
Scale (mg/g)	Item	Material Name	Qty/kg (g)
20.00	1	Magnesium aluminum silicate magnabrite HV	20.00
582.00	2	Water	582.00
100.00	3	Propylene glycol	100.00
150.00	4	Mineral oil and acetylated lanolin alcohol	150.00
30.00	5	Glyceryl stearate and PEG-100 stearate	30.00
14.00	6	Myristyl propionate	14.00
100.00	7	PEG-600	100.00
4.00	8	Eucalyptus oil	4.00
QS	9	Preservatives	QS

MANUFACTURING DIRECTIONS

1. Slowly sift item 1 into water, mixing until smooth.
2. Heat to 75°C.

3. Heat items 3 to 6 separately. Mix, and heat to 70°C.
4. Add this portion to item 1 dispersion, and mix well until smooth.
5. Add item 7 to mixture, and mix.
6. Finally, add items 8 and 9, and mix until cool.
7. *Note:* If using parabens, prepare a solution in a portion of water, and add before adding item 8 and after allowing parabens to cool to 50°C.

ACNE TREATMENT CREAM

Bill of Materials			
Scale (mg/g)	Item	Material Name	Qty/kg (g)
20.00	1	Polychol 10 (Laneth-10)	20.00
5.00	2	Lanolin alcohols (Super Hartolan)	5.00
55.00	3	Cetyl alcohol C90	55.00
60.00	4	Polawax, NF	60.00
14.00	5	Sulfur	14.00
QS	6	Deionized water	QS
40.00	7	Veegum® (regular)	40.00
20.00	8	Propylene glycol	20.00
20.00	9	Resorcinol	20.00
QS	10	Perfume, preservative	QS

MANUFACTURING DIRECTIONS

1. Hydrate Veegum® in water.
2. Add rest of the water-phase ingredients, and heat to 70°C.
3. Heat oil phase to 70°C.
4. Disperse sulfur in the oil phase.
5. Add oil phase to water phase while stirring.
6. Stir to cool. Fill.

ALGINIC ACID + ALUMINUM HYDROXIDE + MAGNESIUM SILICATE TABLETS (500 MG+100 MG+25 MG)

Bill of Materials			
Scale (mg/tablet)	Item	Material Name	Qty/1000 Tablets (g)
500.00	1	Alginic acid	500.00
100.00	2	Aluminum hydroxide dried gel (Giulini)	100.00
25.00	3	Magnesium trisilicate	25.00
170.00	4	Sodium bicarbonate	170.00
160.00	5	Sorbitol crystalline	160.00
627.00	6	Sucrose crystalline	627.00
900.00	7	Ludipress®	900.00
70.00	8	Kollidon® VA 64	70.00
50.00	9	Magnesium stearate	50.00
5.00	10	Vanillin	5.00

MANUFACTURING DIRECTIONS

1. Pass all components through a 0.8 mm sieve, mix, and press with high compression force.

ALOE VERA GEL

Bill of Materials			
Scale (mg/g)	Item	Material Name	Qty/kg (g)
4.00	1	Aloe vera extract (200-fold)	4.00
50.00	2	Propylene glycol	50.00
QS	3	Preservative	QS
736.00	4	Water	736.00
11.00	5	Cremophor RH 40	11.00
QS	6	Perfume	QS
200.00	7	Lutrol F 127	200.00

MANUFACTURING DIRECTIONS

1. Prepare solutions I (items 1–4) and II (items 5 and 6) separately, and add I into II.
2. Cool this mixture to <math><10^{\circ}\text{C}</math> (or heat to $70\text{--}80^{\circ}\text{C}$), and dissolve item 7.
3. Maintain the temperature until air bubbles escape.
4. Appearance is clear, viscosity is approximately 60 Pa, and pH is approximately 5.5.

ALPHA-BISABOLOL AQUEOUS MOUTHWASH SOLUTION

Bill of Materials			
Scale (mg/g)	Item	Material Name	Qty/kg (g)
2.00	1	Alpha-bisabolol, natural (BASF)	2.00
QS	2	Flavor	QS
25.00	3	Cremophor RH 40	25.00
50.00	4	Glycerol	50.00
1.00	5	Saccharin sodium	1.00
QS	6	Preservative	QS
922.00	7	Water	922.00

MANUFACTURING DIRECTIONS

1. Heat mixture of items 1 to 3 to approximately 60°C, and slowly add the warm solution of items 4 to 7 (60°C).
2. The clear, colorless liquid has a low viscosity.

ALPHA-BISABOLOL BUCCAL OR TOPICAL SOLUTION

Bill of Materials			
Scale (mg/mL)	Item	Material Name	Qty/L (g)
1.20	1	Alpha-bisabolol (racemic) (BASF)	1.20
10.00	2	Cremophor RH 40	10.00
0.10	3	Butylhydroxytoluene (BHT)	0.10
QS	4	Preservative	QS
990.00	5	Water	990.00

MANUFACTURING DIRECTIONS

1. Heat mixture of items 1 to 3 to approximately 60°C, stir well, and slowly add the warm solution of items 4 in 5 to obtain a clear solution.

ALPHA-BISABOLOL ETHANOLIC MOUTHWASH SOLUTION

Bill of Materials			
Scale (mg/mL)	Item	Material Name	Qty/L (g)
10.00	1	Alpha-bisabolol, racemic (BASF)	10.00
100.00	2	Flavor	100.00
60.00	3	Cremophor RH 40	60.00
10.00	4	Glycerol	10.00
2.00	5	Saccharin sodium	2.00
818.00	6	Ethanol (96%)	818.00

MANUFACTURING DIRECTIONS

1. Heat mixture of items 1 to 3 to approximately 60°C, and slowly add the warm solution of items 4 to 6.
2. The clear, colorless liquid can be diluted with water.

ALPHA-BISABOLOL MOUTHWASH SOLUTION

Bill of Materials			
Scale (mg/mL)	Item	Material Name	Qty/L (g)
5.00	1	(–)Alpha-bisabolol, natural (BASF)	5.00
50.00	2	Lutrol F 127	50.00
QS	3	Flavor	QS
100.00	4	Propylene glycol (pharma)	100.00
300.00	5	Ethanol (96%)	300.00
545.00	6	Water	545.00

MANUFACTURING DIRECTIONS

1. Prepare solution of items 1 to 5, and slowly add the water.
2. The clear, colorless solution has pH 8.

ALUMINUM ACETYLSALICYLATE TABLETS

Bill of Materials			
Scale (mg/ tablet)	Item	Material Name	Qty/1000 Tablets (g)
250.00	1	Aluminum acetylsalicylate, excess	255.00
213.00	2	Mannitol	213.00
28.00	3	Cornstarch	28.00
10.00	4	Kollidon® 90 F	10.00
5.00	5	Lutrol E 6000	5.00
–	6	Isopropanol, QS	50.00 mL
23.00	7	Kollidon® CL	23.00
5.00	8	Magnesium stearate	5.00

MANUFACTURING DIRECTIONS

1. Granulate mixture of items 1 to 3 with solution of items 4 to 6.
2. Dry, pass through a 0.8 mm sieve, and mix with items 7 and 8.
3. Compress with medium compression force. Each 12 mm biplanar tablet has an average weight of 540 mg.

ALUMINUM HYDROXIDE + MAGNESIUM SILICATE CHEWABLE TABLETS (120 MG+250 MG)

Bill of Materials			
Scale (mg/ tablet)	Item	Material Name	Qty/1000 Tablets (g)
120.00	1	Aluminum hydroxide dried gel (Giulini)	120.00
250.00	2	Magnesium trisilicate	250.00
232.00	3	Ludipress®	232.00
6.00	4	Aerosil® 200	6.00
6.00	5	Magnesium stearate	6.00
12.00	6	Cyclamate sodium	12.00
1.50	7	Menthol	1.50

MANUFACTURING DIRECTIONS

1. Mix all components, pass through a 0.8 mm sieve, and press with a compression force of 20 kN at 640 mg.

ALUMINUM HYDROXIDE AND MAGNESIUM CARBONATE DRY SYRUP

Bill of Materials			
Scale (mg/g)	Item	Material Name	Qty/kg (g)
200.00	1	Aluminum hydroxide dry gel (Giulini)	200.00
200.00	2	Basic magnesium carbonate	200.00
240.00	3	Kollidon® CL-M	240.00
211.50	4	Sorbitol (crystalline)	211.50
41.30	5	Orange flavor	41.30
82.60	6	Kollidon® 30	82.60
3.30	7	Coconut flavor	3.30
4.13	8	Banana flavor	4.13
4.13	9	Saccharin sodium	4.13
8.26	10	Water	8.26

MANUFACTURING DIRECTIONS

1. Granulate mixture of items 1 to 5 with solution of items 6 to 10, pass through a sieve, and dry.
2. Shake 58 g of the granules with 100 mL of water.
3. Product remains homogeneous and without sedimentation for more than 24 hours.

ALUMINUM HYDROXIDE AND MAGNESIUM HYDROXIDE CHEWABLE TABLETS

Bill of Materials			
Scale (mg/ tablet)	Item	Material Name	Qty/1000 Tablets (g)
200.00	1	Aluminum hydroxide (Rorer)	200.00
200.00	2	Magnesium hydroxide (Rorer)	200.00
100.00	3	Lactose monohydrate	100.00
30.00	4	Kollidon® VA 64	30.00
QS	5	Water	260.00 mL
315.00	6	Sucrose (crystalline)	315.00
100.00	7	Sorbitol (crystalline) (Merck)	100.00
60.00	8	PEG-6000 (powder)	60.00
12.00	9	Aerosil® 200	12.00
6.00	10	Talc	6.00
6.00	11	Magnesium stearate	6.00

MANUFACTURING DIRECTIONS

1. Granulate mixture of items 1 to 5 with solution of items 4 to 5.
2. Dry and pass through a 0.8 mm sieve, add items 6 to 11, and press with high compression force (20 kN).
3. Each 16 mm biplanar tablet has an average weight of 1013 mg.

ALUMINUM HYDROXIDE AND MAGNESIUM HYDROXIDE CHEWABLE TABLETS

Bill of Materials			
Scale (mg/ tablet)	Item	Material Name	Qty/1000 Tablets (g)
320.00	1	Aluminum hydroxide (dried gel)	320.00
320.00	2	Magnesium hydroxide powder	320.00
32.00	3	Sucrose	32.00
288.40	4	Mannitol	288.40
QS	5	Povidone (Plasdone®) (10% solution in equal parts water and alcohol)	QS
12.90	6	Glycerin	12.90
19.20	7	Magnesium stearate	19.20
6.40	8	Fumed silica	6.40
0.30	9	Oil of peppermint	0.30

MANUFACTURING DIRECTIONS

- Mix items 1 to 4 in a suitable blender, add item 6 to item 5, and use this combination to moisten the mix of items 1 to 4.
- Granulate by passing through a 20 mesh screen.
- Add and thoroughly mix items 7 to 9, and compress using 0.5 in. flat-face, beveled-edge punches.

ALUMINUM HYDROXIDE AND MAGNESIUM HYDROXIDE ANTACID SUSPENSION

Bill of Materials			
Scale (mg/ mL)	Item	Material Name	Qty/L (g)
5.00	1	Purified bentonite (Veegum® HS)	5.00
2.00	2	Xanthan gum (Rhodigel)	2.00
401.00	3	Water	401.00
200.00	4	Sorbitol (70%)	200.00
360.00	5	Aluminum hydroxide gel	360.00
320.00	6	Magnesium hydroxide, USP	320.00
QS	7	Preservative, flavor	QS

MANUFACTURING DIRECTIONS

- Slowly add a dry blend of item 1 and 2 to item 3, agitating with maximum available shear until a smooth and uniform mix is obtained.
- Mix items 4 to 6 together in another vessel until uniform, and then add to previous mix.
- Agitate until uniform.
- Add item 7, and mix until uniform.

ALUMINUM HYDROXIDE AND MAGNESIUM HYDROXIDE ANTACID SUSPENSION

Bill of Materials			
Scale (mg/ mL)	Item	Material Name	Qty/L (g)
200.00	1	Magnesium aluminum silicate (Magnabrite S) (5% suspension)	200.00
2.00	2	Methylparaben	2.00
1.00	3	Propylparaben	1.00
0.50	4	Saccharin sodium	0.50
500.00	5	Aluminum hydroxide/Magnesium hydroxide fluid gel	500.00
3.00	6	Polysorbate 80	3.00
2.00	7	Flavor	2.00
291.50	8	Deionized water	291.50

MANUFACTURING DIRECTIONS

- Add the parabens and saccharin to item 1 with stirring until dissolved (may heat to 80°C to dissolve).
- Add item 5 with mixing.
- Finally, add items 6 and 7. Mix well.

ALUMINUM HYDROXIDE AND MAGNESIUM HYDROXIDE SUSPENSION

Bill of Materials			
Scale (mg/ 5 mL)	Item	Material Name	Qty/L (g)
405.00	1	Aluminum hydroxide gel	290.00
100.00	2	Magnesium hydroxide paste (30%)	67.00
0.21	3	Ammonia solution (25%)	0.04
0.05	4	Ammonia solution (25%)	0.01
10.00	5	Methylparaben	2.00
0.25	6	Menthol	0.05
3.00	7	Propylparaben	0.60
1.00	8	Peppermint oil	0.20
50.00	9	Propylene glycol	10.00
1.25	10	Saccharin sodium	0.25
150.00	11	Sorbitol (70% solution)	30.00
4.50	12	Sodium hypochlorite (5%)	0.90
1.25	13	Sodium hypochlorite (5%)	0.25
15.00	14	Magnesium aluminum silicate (Veegum® HV)	3.00
QS	15	Purified water	QS to 1 L

Note: The quantity of the sodium hypochlorite solution should be adjusted according to the assay.

MANUFACTURING DIRECTIONS

1. Disperse item 14 in 60 g of hot purified water (70–80°C) in stainless steel vessel, using stirrer. Continue stirring for 30 minutes.
2. Transfer the dispersion into mixer (e.g., Krieger) vessel by vacuum, and mix for 30 minutes at 16/32 mixer speed.
3. Cool down to 30°C.
4. Add 200 g of hot purified water (70–80°C) to the mixer.
5. Mix and homogenize at 1420 rpm, mixer speed 16/32, and vacuum 0.5 bar for 30 minutes.
6. Cool down to 30°C.
7. Add 1 kg of purified water (70°C) to a suitable vessel, and heat to 85°C to 90°C for 1 hour.
8. Cool to 20°C to 25°C.
9. Mix items 13 and 4, and immediately add to purified water (20–25°C) in the storage vessel.
10. Mix for 2 minutes. Store in a previously cleaned storage vessel.
11. Load item 2 and 100 g of purified water (25–30°C) in a stainless steel mixing vessel with lid and stirrer.
12. Mix for 5 minutes at medium speed.
13. Transfer by vacuum into mixer.
14. Load 80 g of item 1 and 80 g of purified water (25–30°C) from preceding step in a stainless steel mixing vessel with lid and stirrer. Mix for 5 minutes at medium speed. Transfer by vacuum into mixer.
15. Load 50 g of item 1 and 50 g of purified water (25–30°C) from preceding step in a stainless steel mixing vessel with lid and stirrer.
16. Mix for 5 minutes at medium speed. Transfer by vacuum into mixer.
17. Transfer item 11 into mixer by vacuum.
18. Dissolve item 10 in 2 g of purified water (25–30°C) and transfer to mixer.
19. Mix and homogenize for 30 minutes at 1420 rpm under vacuum 0.5 bar.
20. Dissolve items 5 and 7 in item 9 (50–60°C) by stirring in stainless steel container in a water bath.
21. Dissolve items 6 and 8, and add to parabens/glycol solution. Mix well. Add to mixer.
22. Mix, and homogenize for 10 minutes under vacuum 0.5 bar.
23. Mix items 3 and 12 and 2 g of purified water, and immediately add to the mixer.
24. Mix for 10 minutes without vacuum.
25. Add cold purified water to bring the volume up to 1 L. Mix for 15 minutes.
26. Transfer the suspension through 630 µm sieve to the stainless steel storage tank. (Final pH is 7.5–8.0, and density is 1.04–1.06.)

ALUMINUM HYDROXIDE AND MAGNESIUM HYDROXIDE SUSPENSION**Bill of Materials**

Scale (mg/5 mL)	Item	Material Name	Qty/L (g)
200.00	1	Aluminum hydroxide gel	2004.00
80.00	2	Magnesium hydroxide paste (30%)	800.00
150.00	3	Sorbitol (70% solution)	30.00
10.00	4	Methyl paraben	2.00
1.00	5	Propyl paraben	0.20
2.00	6	Saccharin sodium	0.40
15.00	7	Magnesium aluminum silicate (Veegum® HV)	3.00
0.20	8	Ammonia solution (25%)	0.04
4.50	9	Sodium hypochlorite (5%)	0.90
100.00	10	Propylene glycol	20.00
0.75	11	Lemon mint flavor	0.15
QS	12	Purified water	QS to 1 L

MANUFACTURING DIRECTIONS

1. See manufacturing directions for aluminum hydroxide and magnesium hydroxide suspension.

ALUMINUM HYDROXIDE AND MAGNESIUM HYDROXIDE SUSPENSION**Bill of Materials**

Scale (mg/mL)	Item	Material Name	Qty/L (g)
40.00	1	Aluminum hydroxide	40.00
40.00	2	Magnesium hydroxide	40.00
50.00 g	3	Cremophor RH 40	50.00
1.00	4	Silicon oil DC 200 (Serva)	1.00
100.00	5	Kollidon® CL-M	100.00
QS	6	Water	76.90

MANUFACTURING DIRECTIONS

1. Mix Cremophor RH 40 well with the silicon oil, add the water, and suspend the solid substances.

ALUMINUM HYDROXIDE AND MAGNESIUM HYDROXIDE TABLETS

Bill of Materials			
Scale (mg/ tablet)	Item	Material Name	Qty/1000 Tablets (g)
405.00	1	Aluminum hydroxide gel (dried)	405.00
100.00	2	Magnesium hydroxide powder	100.00
108.00	3	Mannitol	108.00
38.80	4	Sorbitol powder	38.80
2.50	5	Saccharin sodium	2.50
16.70	6	Povidone (PVP K-30)	16.70
7.00	7	Magnesium stearate	7.00
2.00	8	Mint flavor (dry)	2.00
299.00	9	Purified water	299.00

MANUFACTURING DIRECTIONS

- Dissolve items 4 and 5 in 59 g of purified water by using stirrer.
- Add item 6 while mixing until clear solution is obtained.
- Add items 1, 2, and 3 into mixer, and mix for 5 minutes using mixer and chopper at high speed.
- Dilute concentrate-binding solution with 240 g of purified water.
- Add binding solution at a rate of 9 to 11 g/min to the dry powders in mixer while mixing at low speed. Mix for 2 to 3 minutes. Scrape the sides, blade, and lid of the mixer. Mix and chop at low speed for an additional 2 to 3 minutes or until the granules stop flying around the chopper. Add extra-purified water, if required, and continue mixing until a satisfactory mass is obtained. Record extra quantity of purified water added.
- Unload the wet mass into a clean Aeromatic bowl for drying. Avoid big lump formation, as this leads to nonuniform drying.
- Dry the wet mass in an Aeromatic fluid-bed dryer at 60°C for 120 minutes. After 30 minutes of drying, scrape the semidried granules to break the lumps for uniform drying. Check the LOD (limit: NMT 5.5%).
- Pass the dried granules through 1.5 mm sieve using granulator at medium speed. Collect in stainless steel drums. Set aside 7 to 9 g granules for later step.
- Load the rest of the granules into blender. Pass items 8 and 7 through a sifter using a 250 µm sieve. Collect in a polyethylene bag.
- Add approximately 7 to 9 g of granules, and mix gently.
- Load into blender, and blend for 3 minutes.
- Check temperature and humidity of the room before beginning compression (humidity limit: NMT 60%, temperature 25°C ± 1°C).
- Compress the granules using a rotary tableting machine. Compress 680 mg tablets using 12.7 mm flat, beveled- edge punches.

ALUMINUM HYDROXIDE AND MAGNESIUM SILICATE CHEWABLE TABLETS

Bill of Materials			
Scale (mg/ tablet)	Item	Material Name	Qty/1000 Tablets (g)
120.00	1	Aluminum hydroxide dried gel (Giulini)	120.00
250.00	2	Magnesium trisilicate	250.00
232.00	3	Ludipress®	232.00
6.00	4	Aerosil® 200	6.00
6.00	5	Magnesium stearate	6.00
12.00	6	Cyclamate sodium	12.00
1.50	7	Menthol	1.50

MANUFACTURING DIRECTIONS

- Mix all components, pass through a 0.8 mm sieve, and press with a compression force of 20 kN.
- Because of the poor flowability of the powder, the tableting machine should be equipped with a special technical device to provide a continuous and homogeneous filling of the dies.
- Each 16 mm biplanar tablet has an average weight of 640 mg.

ALUMINUM HYDROXIDE, MAGNESIUM CARBONATE (OR OXIDE), AND SIMETHICONE TABLETS

Bill of Materials			
Scale (mg/ tablet)	Item	Material Name	Qty/1000 Tablets (g)
576.00	1	Sucrose	576.00
157.00	2	Aluminum hydroxide	157.00
160.00	3	Magnesium carbonate (or oxide)	160.00
97.00	4	Magnesium oxide	97.00
45.00	5	Kollidon® 90 F	45.00
22.00	6	Aerosil® 200	22.00
300.00	7	Simethicone suspension (30%)	300.00
9.00	8	Menthol	9.00
1.00	9	Saccharin sodium	1.00
49.00	10	Talc	49.00
13.00	11	Magnesium stearate	13.00

MANUFACTURING DIRECTIONS

- Granulate mixture of items 1 to 6 with the simethicone suspension, dry, sieve through a 0.8 mm screen, add items 8 to 11, and press with high compression force.
- Tablet has an average weight of 1295 mg.

ALUMINUM HYDROXIDE, MAGNESIUM HYDROXIDE, AND SIMETHICONE SUSPENSION

Bill of Materials

Scale (mg/mL)	Item	Material Name	Qty/L (g)
27.00	1	Simethicone 30%	27.00
30.00	2	Cremophor RH 40	30.00
70.00	3	Water	70.00
80.00	4	Aluminum hydroxide dry gel (Giulini)	80.00
80.00	5	Magnesium hydroxide	80.00
90.00	6	Kollidon® CL-M	90.00
100.00	7	Sorbitol (crystalline)	100.00
4.00	8	Banana flavor	4.00
5.00	9	Coconut flavor	5.00
1.00	10	Saccharin sodium	1.00
QS	11	Water	QS to 1 L
QS	12	Citric acid (to adjust pH)	QS

MANUFACTURING DIRECTIONS

- Mix Cremophor RH 40 with simethicone, and heat to approximately 50°C, stirring well.
- Add warm water.
- Dissolve the flavors and saccharin in water, and suspend aluminum hydroxide, magnesium hydroxide, and Kollidon® CL-M.
- Add emulsion of items 1 to 3 to the stirred suspension of items 4 to 11, and adjust the pH to approximately 9 with item 12, if needed.

ALUMINUM HYDROXIDE, MAGNESIUM HYDROXIDE, AND SIMETHICONE SUSPENSION

Bill of Materials

Scale (mg/5 mL)	Item	Material Name	Qty/L (g)
215.00	1	Aluminum hydroxide gel	435.00
80.00	2	Magnesium hydroxide paste (30%)	16.00
25.00	3	Simethicone emulsion (Simethicone Antifoam M30)	5.50
150.00	4	Sorbitol (70% solution)	30.00
0.20	5	Ammonia solution 25%	0.04
10.00	6	Methylparaben	2.00
1.00	7	Propylparaben	0.20
28.00	8	Methylcellulose 4000 (Methocel™ A4M)	5.60
2.00	9	Saccharin sodium	0.40
4.50	10	Sodium hypochlorite (5%)	0.90
1.00	11	Lemon mint flavor	0.20
QS	12	Purified water	QS to 1 L

MANUFACTURING DIRECTIONS

- See manufacturing directions for aluminum and magnesium hydroxide suspension.

ALUMINUM HYDROXIDE, MAGNESIUM HYDROXIDE, AND SIMETHICONE TABLETS

Bill of Materials

Scale (mg/tablet)	Item	Material Name	Qty/1000 Tablets (g)
200.00	1	Aluminum hydroxide gel (dried)	200.00
200.00	2	Magnesium hydroxide powder	200.00
200.00	3	Mannitol	200.00
45.00	4	Sorbitol powder	45.00
65.00	5	Dextrose (glucose) monohydrate	65.00
16.50	6	Povidone (PVP K-30)	16.50
2.50	7	Saccharin sodium	2.50
1.00	8	FD&C Yellow No.10 lake	1.00
2.50	9	Mint flavor (dry)	2.50
1.50	10	Lemon flavor (dry)	1.50
25.00	11	Simethicone GS granules	25.00
315.00	12	Dextrates (Emdex®)	315.00
1.00	13	Colloidal silicon dioxide (Aerosil® 200)	1.00
6.00	14	Magnesium stearate	6.00
–	15	Purified water	160.00

MANUFACTURING DIRECTIONS

- Processing should be done at RH 50% ± 5% and temperature of 26°C ± 1°C.
- Dissolve items 4, 5, and 7 in cold purified water (25–30°C) by using stirrer; then, add item 6 while mixing.
- Add item 8, and disperse the color completely.
- Check final weight; if required, adjust with purified water.
- Load items 1, 2, and 3 into mixer, and mix for 5 minutes using mixer and chopper at high speed.
- Add binding solution at a rate of 16 to 20 g/min to the dry powders in mixer while mixing at low speed. Mix for 2 to 3 minutes. Scrape the sides, blade, and lid of the mixer.
- Mix and chop at low speed for an additional 2 to 3 minutes or until the granules stop flying around the chopper. Add extra-purified water, if required, and continue mixing until a satisfactory mass is obtained. Record extra quantity of purified water added.
- Unload the wet mass into clean Aeromatic bowl for drying.
- Avoid big lump formation, as this leads to nonuniform drying.
- Dry the wet mass in an Aeromatic fluid-bed dryer at 60°C for 90 minutes.

- After 30 minutes of drying, scrape the semidried granules to break up the lumps to promote uniform drying.
- Pass the dried granules through a 1.5 mm sieve using a granulator at medium speed. Collect in stainless steel drums.
- Load the granules into blender.
- Add items 11 and 12 to stainless steel drum, and mix for 2 minutes using drum mixer; then, load into the blender, and mix along with the granules for 2 minutes.
- Pass items 9, 10, 13, and 14 through sifter using 250 μm sieve.
- Load the sieved material into blender, and mix for 2 minutes. Unload into stainless steel drums.
- Check temperature and humidity of the room before beginning compression.
- Compress 1.2 g per tablet using 15.8 mm flat punch at RH 50% \pm 5% at a temperature of 26°C \pm 1°C.

ANALGESIC CLEAR GEL

Bill of Materials

Scale (mg/g)	Item	Material Name	Qty/kg (g)
25.00	1	Hydroxypropyl cellulose	25.00
QS	2	Deionized water	QS to 1 kg
400.00	3	Ethanol DEB 100	400.00
100.00	4	Menthol	100.00
150.00	5	Methyl salicylate	150.00
25.00	6	DEA-oleath-3-phosphate	25.00

MANUFACTURING DIRECTIONS

- Hydrate hydroxypropyl cellulose in water at 60°C to 65°C.
- Stir to cool.
- Add ethanol.
- Add remaining ingredients and stir until homogeneous.

ANALGESIC CREAM

Bill of Materials

Scale (mg/g)	Item	Material Name	Qty/kg (g)
130.00	1	Methyl salicylate	130.00
60.00	2	Menthol	60.00
20.00	3	Eucalyptus oil	20.00
5.00	4	Lanolin	5.00
1.00	5	Chloroxlylenol	1.00
150.00	6	Glyceryl stearate and PEG-100 stearate	150.00
73.00	7	Cetearyl alcohol	73.00
70.00	8	Glyceryl stearate	70.00
QS	9	Deionized water	QS to 1 kg
QS	10	Preservative, color	QS

MANUFACTURING DIRECTIONS

- Heat oil and water phases separately to 70°C.
- Add water phase to oil phase while stirring. Stir to cool.
- Fill at 30°C.

ANALGESIC LOTION

Bill of Materials

Scale (mg/g)	Item	Material Name	Qty/kg (g)
150.00	1	Methyl salicylate	150.00
70.00	2	Menthol	70.00
10.00	3	Lanolin oil	10.00
30.00	4	PEG-40 stearate	30.00
20.00	5	Glyceryl stearate	20.00
QS	6	Deionized water	QS
1.50	7	Carbopol® 980	1.50
10.00	8	Potassium hydroxide (10% aqueous solution)	10.00
QS	9	Preservative, color	QS

MANUFACTURING DIRECTIONS

- Heat oil and water phases (except potassium hydroxide) separately to 65°C to 70°C.
- Add water phase to oil phase while stirring.
- Add potassium hydroxide solution to neutralize.
- Stir to cool.
- Fill at 30°C.

ANISE OIL SOLUTION

Bill of Materials

Scale (mg/mL)	Item	Material Name	Qty/L (g)
10.00	1	Anise oil	10.00
17.00	2	Cremophor RH 40	17.00
340.00	3	Ethanol	340.00
QS	4	Preservatives	QS
633.00	5	Water	633.00

MANUFACTURING DIRECTIONS

- Mix the anise oil with Cremophor RH 40, heat to approximately 65°C, and stir strongly.
- Slowly add the hot solution of items 3 to 5 to produce a clear or slightly opalescent, colorless liquid.

ANTAZOLINE AND XYLOMETAZOLINE EYE DROPS

Bill of Materials

Scale (mg/100 mL)	Item	Material Name	Qty/L (g)
500.00 g	1	Antazoline sulfate	5.00
50.00 g	2	Xylometazoline hydrochloride	0.50
0.15	3	Hydroxypropylmethylcellulose (4000 cps)	1.50
0.10	4	Benzalkonium chloride; use benzalkonium chloride solution (17%) (7% excess)	0.63 mL
0.10	5	Edetate disodium	1.00
0.843	6	Sodium chloride	8.43
QS	7	Water purified	QS to 1 L

MANUFACTURING DIRECTIONS

Equipment used should be thoroughly cleaned and rinsed before proceeding. Use steam-jacketed, glass-lined, or stainless steel (No. 304 or better) tanks. The tank must be equipped with an agitator (preferably with speed control) and a cover to protect against air at all times during the manufacturing process, except when ingredients are being added or samples are being taken. Benzalkonium chloride markedly lowers the surface tension. During severe agitation or turbulent flow, substantial foaming will occur. This condition often exists in the processing equipment and in the overflow system of vacuum-filling machines. Benzalkonium chloride tends to concentrate in the foam. If the foam is not dissipated quickly, and if it is allowed to accumulate, a substantial excess of benzalkonium chloride may result near the surface of the liquid after the foam condenses; therefore, it is advisable to design the processing and filling systems in such a way as to minimize foaming and ensure rapid dissipation of any unavoidable foaming.

1. Fill mixing tank to 90% of final volume with purified water.
2. Heat water to 90°C, and while agitating, add and dissolve the hydroxypropylmethylcellulose by slowly sprinkling onto the surface of the water.
3. Methyl cellulose must be dispersed evenly over a period of time to ensure complete wetting and dispersion.
4. The agitation rate should be adjusted to avoid excessive foaming.
5. Allow 15 minutes for hydration of the hydroxypropylmethylcellulose before cooling.

6. Discontinue heating, and cool solution to approximately 40°C.
7. While agitating, add and dissolve antazoline sulfate, xylometazoline hydrochloride, benzalkonium chloride, edetate disodium, and sodium chloride.
8. Continue cooling to 25°C.
9. Turn off agitator, and QS to final volume. Mix well.
10. *Note:* Methylcellulose solutions filter at a slow rate. Recirculate the solution through filter assembly until clear.
11. Sterile filter, and fill.

ANTIACNE GEL

Bill of Materials

Scale (mg/g)	Item	Material Name	Qty/kg (g)
422.00	1	Witch hazel (distilled, 14% alcohol)	422.00
5.00	2	Salicylic acid	5.00
5.00	3	Aloe vera gel	5.00
10.00	4	Sorbitol	10.00
500.00	5	Polyglyceryl methacrylate	500.00
10.00	6	Propylene glycol	10.00
0.80	7	Methylparaben	0.80
0.20	8	Propylparaben	0.20

MANUFACTURING DIRECTIONS

1. Premix items 1 to 4.
2. Add item 5 with low-shear mixing until homogeneous.
3. Mix together items 6 to 8, and add them to the formulation.

ANTIFUNGAL FOOT POWDER

Bill of Materials

Scale (mg/g)	Item	Material Name	Qty/kg (g)
5.00	1	Dichlorobenzyl alcohol (Myacide SF)	5.00
5.00	2	Allantoin	5.00
200.00	3	Cornstarch	200.00
790.00	4	Talc	790.00

MANUFACTURING DIRECTIONS

1. Mix all ingredients using geometric dilution technique.

ANTISEPTIC CREAM

Bill of Materials			
Scale (mg/g)	Item	Material Name	Qty/kg (g)
50.00	1	Polawax GP200	50.00
10.00	2	Lanolin	10.00
150.00	3	Mineral oil (70 cS)	150.00
70.00	4	Cetearyl alcohol	70.00
30.00	5	Dimethicone	30.00
QS	6	Deionized water	QS to 1 kg
5.00	7	Cetrimonium bromide	5.00
0.50	8	Chlorhexidine gluconate	0.50
QS	9	Perfume, preservative, color	QS

MANUFACTURING DIRECTIONS

1. Heat oil and water phases to 65°C.
2. Add water phase to oil phase while stirring.
3. Stir to cool.
4. Fill.

ANTISEPTIC LOTION

Bill of Materials			
Scale (mg/g)	Item	Material Name	Qty/kg (g)
30.00	1	Cetearyl alcohol and ceteareth-20	30.00
50.00	2	Mineral oil (70 cS)	50.00
2.00	3	Lanolin alcohol	2.00
QS	4	Deionized water	QS to 1 kg
5.00	5	Cetrimonium bromide (as 40% cetrimide solution BP)	5.00
20.00	6	Glycerin	20.00
QS	7	Perfume, preservative, color	QS

MANUFACTURING DIRECTIONS

1. Heat oil and water phases separately to 70°C.
2. Add water phase to oil phase while stirring.
3. Stir to cool.
4. Fill at 30°C.

ANTISEPTIC LOTION

Bill of Materials			
Scale (mg/g)	Item	Material Name	Qty/kg (g)
30.00	1	Cetearyl alcohol and ceteareth-20	30.00
45.00	2	Mineral oil (70 cS)	45.00
25.00	3	Stearyl alcohol	25.00
10.00	4	Lanolin	10.00
5.00	5	Polysorbate 60	5.00
15.00	6	Laneth-15	15.00
QS	7	Deionized water	QS to 1 kg
5.00	8	Cetrimonium bromide (as 40% cetrimide solution BP)	5.00
20.00	9	Glycerin	20.00
QS	10	Perfume, preservative, color	QS

MANUFACTURING DIRECTIONS

1. Heat oil and water phases separately to 70°C.
2. Add water phase to oil phase while stirring.
3. Stir to cool.
4. Fill at 30°C.

ANTISEPTIC WET WIPES

Bill of Materials			
Scale (mg/mL)	Item	Material Name	Qty/L (g)
3.75	1	Cetrimonium bromide	3.75
0.15	2	Chlorhexidine gluconate	0.15
10.0–20.0	3	Polysorbate 20	10.0–20.0
10.0–20.0	4	Glycerin	10.0–20.0
QS	5	Deionized water	QS to 1 L
QS	6	Perfume	QS

MANUFACTURING DIRECTIONS

1. Preblend Polysorbate 20 and perfume.
2. Combine remaining components with stirring. Add perfume/Polysorbate 20 blend.
3. Stir until clear.
4. Package in wipes.

ASPARAGUS EXTRACT + PARSLEY EXTRACT TABLETS (200 MG+200 MG)

Bill of Materials			
Scale (mg/ tablet)	Item	Material Name	Qty/1000 Tablets (g)
200.00	1	Asparagus extract powder	200.00
200.00	2	Parsley extract powder	200.00
200.00	3	Sorbitol crystalline	200.00
20.00	4	Kollidon® VA 64	20.00
10.00	5	Kollidon® CL	10.00
4.00	6	Magnesium stearate	4.00

MANUFACTURING DIRECTIONS

1. Pass all components through a 0.8 mm sieve. Mix.
2. Press with low compression force at 636 mg.

ASPARTAME EFFERVESCENT TABLETS (20 MG)

Bill of Materials			
Scale (mg/ tablet)	Item	Material Name	Qty/1000 Tablets (g)
20.00	1	Aspartame	20.00
10.40	2	Sorbitol crystalline	10.40
14.30	3	Tartaric acid powder	14.30
18.70	4	Sodium carbonate	18.70
1.70	5	Kollidon® 25	1.70
1.10	6	PEG-6000 powder	1.10

MANUFACTURING DIRECTIONS

1. Mix and pass through a 0.5 mm sieve.
2. Press to tablets at 66 mg.

ASPARTAME GRANULES IN SACHETS

Bill of Materials			
Scale (mg/ sachet)	Item	Material Name	Qty/1000 Sachets (g)
30.00	1	Aspartame	30.00
2.00	2	Silicon dioxide (colloidal)	2.00
968.00	3	Cerelose powder N60 ^a	1052.00

^a Standard quantity of cerelose powder allows for LOD.

MANUFACTURING DIRECTIONS

1. Protect from moisture. Maintain RH 40% and temperature 25°C.

2. Oven dry cerelose powder at 50°C overnight until LOD is NMT 3% (3 hours, vacuum at 60°C).
3. Pass dried cerelose powder through 595 µm aperture screen in oscillating granulator.
4. Charge the following ingredients into suitable blender: aspartame, half of the amount of dried cerelose powder (milled), and colloidal silicon dioxide.
5. Add the balance of the dried cerelose powder (for a total amount of dried powder of 968 g/kg), and blend for 15 minutes.
6. Pass blended powders through an 840 µm screen using an oscillating granulator, and discharge into polyethylene-lined drums.
7. Fill weight is 1 g per sachet.

ASPARTAME POWDER IN SACHETS

Bill of Materials			
Scale (mg/g)	Item	Material Name	Qty/kg (g)
47.50	1	Aspartame	47.50
2.50	2	Silicon dioxide (colloidal)	2.50
950.00	3	Mannitol granules	950.00

MANUFACTURING DIRECTIONS

1. Protect from humidity. Maintain RH 40% and temperature 25°C.
2. Pass mannitol granules and colloidal silicon dioxide through an 840 µm screen in oscillating granulator.
3. Charge the following ingredients into suitable blender: aspartame, half of the amount of mannitol granules, and colloidal silicon dioxide.
4. Add balance of mannitol granules, and blend for 15 minutes.
5. Pass blended powders through an 840 µm screen using an oscillating granulator, and discharge into polyethylene-lined drums.
6. Fill weight is 0.8 g per sachet.

ASPARTAME TABLETS (25 MG), DC

Bill of Materials			
Scale (mg/ tablet)	Item	Material Name	Qty/1000 Tablets (g)
27.00	1	Aspartame	27.00
76.00	2	Ludipress®	76.00
12.00	3	Kollidon® CL	12.00
1.00	4	Magnesium stearate	1.00
3.00	5	Lutrol F68	3.00

MANUFACTURING DIRECTIONS

1. Mix all components, and pass through a 0.8 mm sieve.
2. Press to tablets with low compression force at 120 mg.

ASPARTAME TABLETS

Bill of Materials			
Scale (mg/ tablet)	Item	Material Name	Qty/1000 Tablets (g)
20.00	1	Aspartame	20.00
4.00	2	Cellulose (microcrystalline) (Avicel™ PH101), NF	4.00
4.00	3	Sodium starch glycolate (pH 5.5–7.5), NF International	4.00
0.50	4	Silicon dioxide (colloidal)	0.50
0.50	5	Povidone (PVP K-29–32), USP	0.50
14.00	6	Anhydrous alcohol (isopropyl, refined) USP	~14.00
34.00	7	Lactose (granulated)	34.00
4.00	8	Leucine, USP	4.00
3.00	9	Sodium benzoate (powder), NF	3.00

MANUFACTURING DIRECTIONS

1. Charge aspartame, cellulose microcrystalline, sodium starch glycolate, silicon dioxide, and povidone in a suitable mixer.
2. Blend for 20 minutes or until uniform.
3. While mixing, slowly add isopropyl alcohol to blended powders until a suitable granulating mass is obtained. Avoid overwetting.
4. Pass wet mass through a 2.38 mm screen on an oscillating granulator, and spread onto paper-lined trays.
5. Oven dry at 45°C to 50°C until LOD is NMT 1.2%.
6. Pass dried granulation through an 840 µm screen on an oscillating granulator.
7. Load dried granulation into a suitable mixer.
8. Add granulated lactose, leucine, and sodium benzoate, and blend for approximately 10 minutes.
9. Discharge into polyethylene-lined drums.
10. Compress tablets in a low-humidity area not to exceed 40% RH at 23°C.
11. Compress, using 7/32 in. concave punches, to the following specifications: weight of 10 tablets is 0.7 g; thickness of a tablet is 2.9 to 3.3 mm.

ASPARTAME TABLETS

Bill of Materials			
Scale (mg/ tablet)	Item	Material Name	Qty/1000 Tablets (g)
25	1	Aspartame	25
25	2	Dibasic calcium phosphate	25
3	3	Kollidon® VA 64	3
10	4	Water	10
3	5	Kollidon® CL	3
3	6	PEG-6000 (powder)	3

MANUFACTURING DIRECTIONS

1. Granulate mixture of items 1 to 3 with items 4 and 5.
2. Pass through a 0.8 mm sieve. Mix with item 6.
3. Press to tablets 60 mg in weight with a 5 mm biplanar shape.

ASPARTAME TABLETS

Bill of Materials			
Scale (mg/ tablet)	Item	Material Name	Qty/1000 Tablets (g)
25.00	1	Aspartame	25.00
76.00	2	Ludipress®	76.00
12.00	3	Kollidon® CL	12.00
1.00	4	Magnesium stearate	1.00
3.00	5	Lutrol F 68	3.00

MANUFACTURING DIRECTIONS

1. Mix all components, pass through a 0.8 mm sieve, and press to tablets with low compression force.
2. Each 8 mm biplanar tablet has an average weight of 120 mg.

ASPARTAME TABLETS, EFFERVESCENT

Bill of Materials			
Scale (mg/ tablet)	Item	Material Name	Qty/1000 Tablets (g)
20.00	1	Aspartame	20.00
10.40	2	Sorbitol (crystalline)	10.40
14.30	3	Tartaric acid (powder)	14.30
18.70	4	Sodium bicarbonate	18.70
1.70	5	Kollidon® 25	1.70
1.10	6	PEG-6000 (powder)	1.10

MANUFACTURING DIRECTIONS

1. Mix, pass through a 0.5 mm sieve, and press to tablets.
2. Each 6 mm biplanar tablet has an average weight of 66 mg.

ASPIRIN, ACETAMINOPHEN, AND CAFFEINE TABLETS

Bill of Materials			
Scale (mg/ tablet)	Item	Material Name	Qty/1000 Tablets (g)
225.00	1	Aspirin (40 mesh)	225.00
250.00	2	Acetaminophen (20 mesh)	250.00
30.00	3	Caffeine (granular)	30.00
100.00	4	Cellulose (microcrystalline) (Avicel™ PH102)	100.00
45.00	5	Anhydrous lactose	45.00
10.00	6	Croscarmellose sodium (Ac-Di-Sol)	10.00
5.00	7	Fumed silica	5.00
10.00	8	Stearic acid	10.00

MANUFACTURING DIRECTIONS

1. Mix items 1 to 6 in a suitable blender.
2. Pass the mixture through a mill using a 12 mesh screen with knives forward.
3. Add items 7 and 8, and blend the milled mixture for 20 minutes in a V-blender.
4. Compress to tablet weight of 675 mg.

ASPIRIN, ACETAMINOPHEN, CAFFEINE, AND SALICYLAMIDE TABLETS

Bill of Materials			
Scale (mg/ tablet)	Item	Material Name	Qty/1000 Tablets (g)
200.00	1	Aspirin (40 mesh)	200.00
100.00	2	Salicylamide	100.00
100.00	3	Acetaminophen (40 mesh)	100.00
60.00	4	Caffeine (Granular)	60.00
150.00	5	Cellulose (microcrystalline) (Avicel™ PH101)	150.00
13.00	6	Stearic acid, USP	13.00
3.00	7	Fumed silica	3.00

MANUFACTURING DIRECTIONS

1. Screen all ingredients through a 20 mesh sieve.

2. Blend all the ingredients in a V-blender for 20 minutes.
3. Compress 615 mg tablets using 5/8 in. tooling.

ASPIRIN TABLETS

Bill of Materials			
Scale (mg/ tablet)	Item	Material Name	Qty/1000 Tablets (g)
325.00	1	Aspirin	325.00
25.52	2	Starch 1500®	25.52
21.33	3	Microcrystalline cellulose (50 µm)	21.33
6.33	4	Powdered cellulose	6.33

MANUFACTURING DIRECTIONS

1. Blend in a twin-shell blender.
2. Compress 378.00 mg tablets.

ASPIRIN-COATED CRYSTALS**FORMULATION**

Aqueous-based polymeric coating solution: Hydroxypropylmethylcellulose (HPMC E5), 6.0%; propylene glycol, 1.0%; FD&C Red No. 3, 0.01%; and distilled water, QS to 100.

MANUFACTURING DIRECTIONS

1. A standard coating pan and an air suspension 6 in. column are used to coat aspirin crystals of 100 to 200 mesh using top-spray, bottom-spray, and tangential spray fluid-bed coating processes.
2. Aspirin crystal load is placed in the product container.
3. The crystals are fluidized in an expansion chamber.
4. The spray nozzle is located low in the expansion chamber so that liquid is applied when the crystals are moving at a higher velocity.
5. This serves to minimize surface wetting and to inhibit agglomeration.
6. A filter is used to separate entrained crystals from the exiting process air stream.
7. The pump is calibrated with coating solution prior to start-up of the coating process.
8. The turbine is activated, and the process air is heated to 55°C.
9. The spray and shake cycle is started and run continually until the coating solution is completely depleted.
10. The coated aspirin crystal bed is dried for 10 minutes, and the product is cooled to 35°C.
11. The product is removed, weighed, and passed through a 20 mesh screen to remove any agglomerates.

12. Tablets are prepared containing five components: 50% by weight aspirin crystals (100–200 mesh) coated previously with 3% to 6% polyvinylpyrrolidone (PVP); 25% calcium carbonate buffer, 5% to 15% hydroxypropylmethylcellulose (K100LV) as the gel-forming hydrophilic matrix material; 14.5% to 19.5% microcrystalline cellulose (Avicel™ PH101) as the excipient/binder; and 0.5% stearic acid as the hydrophobic lubricant.
13. The components of the tablet formulation are weighed and mixed.
14. 650 mg samples are compressed using 1/2 in. punches.

ATTAPULGITE TABLETS

Bill of Materials

Scale (mg/ tablet)	Item	Material Name	Qty/1000 Tablets (g)
475.00	1	Attapulgit (regular)	475.00
275.00	2	Attapulgit (colloidal)	275.00
12.00	3	PVP K 30	12.00
7.00	4	Ac-Di-Sol	7.00
15.00	5	Kollidon® CL	15.00
30.00	6	Sucrose	30.00
50.00	7	Klucel® EF	50.00
40.00	8	Sucrose	40.00
35.00	9	Ac-Di-Sol	35.00
25.00	10	Kollidon® CL	25.00
14.00	11	Talc (fine powder)	14.00
5.00	12	Pectin	5.00
7.00	13	Glyceryl behenate	7.00
5.00	14	Aerosil® 200	5.00
5.00	15	Magnesium stearate	5.00
–	16	Purified water	32.00
–	17	Ethanol (95%)	23.00

MANUFACTURING DIRECTIONS

Use face mask, hand gloves, and clean uniform. Avoid dust and inhalation of powder.

1. Dissolve sucrose (item 6) in purified water by using an appropriate stirrer at slow speed in a stainless steel container.
2. Dissolve Klucel® EF in the ethanol by using an appropriate stirrer at slow speed in stainless steel container.
3. Mix the two steps in a stainless steel drum by using an appropriate stirrer at slow speed.

4. Take item 8 (sucrose), and pass through a Fitz mill using sieve No. 24250 (impact forward, high speed). Collect in a stainless steel drum.
5. Add items 1 to 5, and sift the material through a 500 µm sieve using a Russell sifter.
6. Mix for 3 minutes.
7. Add the binding solution prepared earlier at a speed of 6 to 8 kg/min to the dry powder in an appropriate mixer at slow speed. After addition, scrape sides and blades; then, mix and chop further for 1 minute at slow speed. Check for satisfactory wet mass. Add additional purified water, if required, to obtain satisfactory wet mass.
8. Spread the granules onto stainless steel trays to a thickness of one-fourth of the tray thickness, and load the trays on the trolley.
9. Load the trolleys into the oven, and dry the granules at 55°C for 16 hours.
10. After 4 hours of drying, stir the granules on the trays, and change the position of the trays for uniform drying.
11. Check the LOD of dried granules (limit: 2.5–3.5%).
12. The LOD should be strictly maintained; otherwise, tablet hardness and friability are affected. If required, dry further to obtain the desired LOD.
13. Grind the dried granules using first a 2.5 mm sieve and then a 1.25 mm sieve.
14. Load the ground material into a double-cone blender.
15. Sift items 9, 10, 12, and 14 through a 500 µm sieve, and add mixture to the double-cone blender.
16. Mix for 5 minutes.
17. Sift items 11, 13, and 15 through a 250 µm sieve, and collect in a polyethylene bag.
18. Add approximately 2 to 3 kg bulk granules from earlier step, mix, and add to the double-cone blender.
19. Mix for 1 minute.
20. Compress the granules using an 18 mm × 8 mm oblong, capsule-shaped, parallel, concave plain punch for a 1 g tablet weight of hardness 12 to 18 kp.
21. Coat the tablets using one of the HPMC coating solutions (see Appendix).

AZULENE SOLUTION (1%)

MANUFACTURING DIRECTIONS

1. Mix 1 g azulene with 3 g Cremophor RH 40, and heat to approximately 60°C.
2. Slowly add water (60°C) to 100 mL, and cool to room temperature.

BABY CREAM, BENZALKONIUM CHLORIDE AND ZINC OXIDE

Bill of Materials			
Scale (mg/g)	Item	Material Name	Qty/kg (g)
0.002 mL	1	Benzalkonium chloride solution	2.30 mL
85.00 mg	2	Zinc oxide (powder)	85.00
100.00 mg	3	Polawax (emulsifying, nonionic wax)	100.00
16.00 mg	4	Alcohol cetostearyl	16.00
4.00 mg	5	Lanolin (acetylated/anhydrous, regular)	4.00
80.00 mg	6	Glycerin (96%)	80.00
10.00 mg	7	Oil (neutral, vegetable triglycerides mixture; Miglyol®)	10.00
0.50 mg	8	Propylparaben (Aseptiform™ P)	0.50
1.00 mg	9	Methylparaben (Aseptiform™ M)	1.00
0.80 mL	10	Purified water	QS to 800.00 mL
0.24 mg	11	Perfume (Diabolo 110.388/B)	0.24

MANUFACTURING DIRECTIONS

Avoid mixing air into emulsion. Emulsify under vacuum to minimize air entrapment. Use jacketed tank with vacuum with high-speed agitator (adjustable, slow-speed, anchor type with Teflon sweep blades).

1. If necessary, mill zinc oxide in a Fitz mill or similar device (impact forward, maximum speed), fitted with a 250 µm screen.
2. Repeat three times.
3. Heat 800 mL of water to 75°C in a steam-jacketed mixing tank, and dissolve methylparaben.
4. Maintain temperature at 75°C.
5. Disperse milled zinc oxide in solution of previous step.
6. Maintain temperature at 75°C.
7. Dissolve benzalkonium chloride and glycerin in solution, and maintain temperature at 75°C.
8. In a separate steam-jacketed tank, add Polawax, cetostearyl alcohol, acetylated lanolin, oil, and propylparaben. Carefully melt at 70°C.
9. Adjust the turbomixer of the steam-jacketed tank containing the aqueous phase to maximum speed, keeping the temperature at 75°C.
10. Slowly add the oil phase to the aqueous phase.
11. Generate as much vacuum as possible, and maintain it for the rest of the process.

12. Circulate cold water to allow a slow temperature decrease (down to 60°C).
13. Stop the turbomixer, and set the anchor-type agitator at minimum speed until 40°C to 45°C is reached.
14. The temperature decrease must be very slow.
15. Break the vacuum, and add perfume to cream with anchor-type agitator set at slow speed.
16. Continue to mix until the perfume is completely dispersed.

BABY LOTION

Bill of Materials			
Scale (mg/mL)	Item	Material Name	Qty/L (g)
50.00	1	Alcohol (ethanol; natural cosmetic grade)	50.00
50.00	2	Propylene glycol	50.00
0.80	3	Ethoxylated nonyl phenol	0.80
0.005	4	FD&C Red dye No. 40	5.70 mg
0.41	5	FD&C Blue dye No. 1	0.41
0.70	6	FD&C Yellow dye No. 5	0.70
0.40	7	Perfume essence (Nelandia)	0.40
QS	8	Hydrochloric acid (reagent-grade bottles)	~0.01
QS	9	Purified water	QS to 1 L

MANUFACTURING DIRECTIONS

Use 316 or more resistant-grade stainless steel tank.

1. Charge approximately 800 mL of purified water in main mixing tank.
2. Add alcohol and propylene glycol, and mix for 5 minutes.
3. Separately dissolve each dye in sufficient water to obtain 0.5% dye solutions.
4. Add color solutions to main tank, and mix.
5. Rinse containers with small portions of purified water, and add rinsings.
6. Dissolve perfume essence in ethoxylated nonyl phenol.
7. Add solution from previous step to main tank, and mix for 5 minutes.
8. Determine pH of solution, and adjust if necessary with 5% hydrochloric acid solution.
9. Mix well. pH should be 5.7 to 5.9.
10. QS to 1 L with purified water.

BABY SHAMPOO

Bill of Materials			
Scale (mg/g)	Item	Material Name	Qty/kg (g)
250.00	1	Sodium alkyl ether sulfate/sulfonate	250.00
30.00	2	Monateric CAB surfactant	30.00
30.00	3	Cocamide DEA surfactant (Synotol CN 90)	30.00
1.00	4	Methylparaben	1.00
0.52	5	Anhydrous citric acid	0.52
0.003	6	FD&C Yellow dye No. 6	3.50 mg
0.01	7	FD&C Yellow dye No. 5	15.00 mg
4.00	8	Ethoxylated nonyl phenol	4.00
3.00	9	Perfume I	3.00
1.00	10	Perfume II	1.00
8.50	11	Sodium chloride	8.50
QS	12	Purified water	QS to 1 kg

MANUFACTURING DIRECTIONS

Use 315 or more resistant-grade stainless steel tank.

1. Add approximately 270 g of purified water to the main mixing tank.
2. With slow agitation, add cocamide DEA surfactant.
3. Add and dissolve methylparaben, and mix for approximately 10 minutes.
4. Add the following ingredients to tank: sodium alkyl sulfate/sodium alkyl ether sulfate/sulfonate, monateric CAB surfactant, and approximately 280 g of purified water.
5. Mix for 15 minutes until complete solution is obtained.
6. With constant stirring, slowly add citric acid (10% solution) until a pH of 6.9 to 7.1 is maintained constantly for 5 minutes after the last addition of the citric acid solution.
7. Separately dissolve FD&C Yellow No. 5 and 6 (if used) in sufficient purified water.
8. Add dye solution from preceding step to main tank, and mix.
9. Rinse containers with a small portion of purified water, and add rinsings.
10. Separately mix ethoxylated nonyl phenol with perfumes (perfume available from Firmenich; Plainsboro, NJ), and add to main mixing tank.
11. Rinse container with purified water, and add rinsings.
12. Mix until completely dissolved.
13. Slowly add in small portions sodium chloride to adjust the viscosity to between 1500 and 3500 cps.
14. Mix for 15 minutes.
15. If necessary, QS to 1 kg with purified water.

BARIUM SULFATE ORAL SUSPENSION (23%)**FORMULATION**

Barium sulfate, 100.0 g; Kollidon® 90 F, 5.0 g; carboxymethyl cellulose sodium, 0.4 g; sodium bisulfite, <0.5 g; preservatives, QS; water, 320.0 g.

MANUFACTURING DIRECTIONS

1. Dissolve the preservatives and the carboxymethyl cellulose sodium in the hot water.
2. Add Kollidon® 90 F and sodium bisulfite.
3. In the obtained clear solution, suspend barium sulfate.

BASIC CREAM FOR VARIOUS ACTIVE INGREDIENTS

Bill of Materials			
Scale (mg/g)	Item	Material Name	Qty/kg (g)
70.00	1	Cetylstearyl alcohol	70.00
15.00	2	Cremonophor A 6	15.00
15.00	3	Cremonophor A 25	15.00
120.00	4	Liquid paraffin	120.00
2.00	5	Paraben(s)	2.00
680.00	6	Water	680.00
80.00	7	Propylene glycol	80.00
1.00–20.00	8	Active ingredient	1.00–20.00

MANUFACTURING DIRECTIONS

1. Separately heat a mixture of items 1 to 5 and the water to approximately 80 °C.
2. Add the water to the obtained solution with rigorous stirring.
3. Heat items 7 and 8 until the active ingredient is dissolved, mix with aqueous solution, and continue to stir during cooling to room temperature.
4. This white basic cream can be readily used for active ingredients soluble in 1, 2-propylene glycol.

BENZALKONIUM CHLORIDE CONTRACEPTIVE GEL

Bill of Materials			
Scale (mg/g)	Item	Material Name	Qty/kg (g)
50.00	1	PEG-6, PEG-32, and glycol stearate (Tefose® 63)	50.00
30.00	2	Apricot kernel oil PEG-6 esters (Labrafil® M 1944 CS)	30.00
816.00	3	Deionized water	816.00
80.00	4	Hydroxyethylcellulose	80.00
24.00	5	Benzalkonium chloride (50 wt% in water)	24.00

MANUFACTURING DIRECTIONS

1. Mix items 3 and 4 at room temperature.
2. Heat to 75°C, and add items 1 and 2 while stirring.
3. Cool with gentle stirring to 30°C; then, add item 5 and stir.

BENZOYL PEROXIDE AND ALPHA-BISABOLOL GEL

Bill of Materials			
Scale (mg/g)	Item	Material Name	Qty/kg (g)
2.00	1	Alpha-Bisabolol, natural (BASF)	2.00
60.00	2	Propylene glycol	60.00
100.00	3	Triethanolamine	100.00
30.00	4	Cremophor RH 40	30.00
30.00	5	Kollidon® 30	30.00
408.00	6	Water	408.00
10/00	7	Carbopol® 940	10.00
400.00	8	Water	400.00
50.00	9	Benzoyl peroxide	50.00

MANUFACTURING DIRECTIONS

1. Prepare suspension of items 7 and 8. Let swell for 1 hour.
2. Add this suspension to the well-stirred solution of items 1 to 5.
3. Add item 9 to produce a colorless, transparent gel.

BENZOYL PEROXIDE ANTIACNE CREAM

Bill of Materials			
Scale (mg/g)	Item	Material Name	Qty/kg (g)
460.50	1	Deionized water	460.50
5.00	2	Carbomer 940	5.00
10.00	3	Hydroxypropylmethylcellulose (HPMC, medium viscosity)	10.00
137.50	4	Deionized water	137.50
70.00	5	Purified bentonite (Polargel®, NF)	70.00
2.00	6	Methylparaben	2.00
1.00	7	Propylparaben	1.00
20.00	8	Glyceryl stearate	20.00
60.00	9	Propylene glycol	60.00
20.00	10	PEG-600	20.00
20.00	11	Myristyl propionate	20.00
50.00	12	Dimethicone	50.00
70.00	13	Purified bentonite (Polargel, NF)	70.00
10.00	14	Titanium dioxide	10.00
100.00	15	Benzoyl peroxide (70%)	100.00

MANUFACTURING DIRECTIONS

1. Sift Carbomer 940 into vortex in water. When completely dispersed, sift in the HPMC.
2. Add parabens with stirring, and heat to at least 80°C until dissolved.
3. Add glyceryl stearate.
4. Blend in propylene glycol and items 10 to 13 in order, and mix well.
5. After addition of the Polargel®, allow 15 minutes of mixing to complete hydration.
6. Blend propylene glycol portion into the first part.
7. Add benzoyl peroxide and titanium dioxide to the mixture, and mill.

BENZOYL PEROXIDE ANTIACNE GEL

Bill of Materials			
Scale (mg/g)	Item	Material Name	Qty/kg (g)
2.50	1	Acrylates/C10-30 alkyl acrylate cross-polymer (Permulen TR1)	2.50
4.00	2	Carbopol 980	4.00
QS	3	Deionized water	QS to 1 kg
40.00	4	Isopropyl myristate	40.00
10.00	5	Cetyl alcohol	10.00
20.00	6	Glyceryl stearate	20.00
50.00	7	Sodium hydroxide (0.5 M)	50.00
15.00	8	Deionized water	15.00
50.00	9	Benzoyl peroxide	50.00
50.00	10	PEG-600	50.00
QS	11	Perfume, preservative	QS

MANUFACTURING DIRECTIONS

1. Hydrate Carbopol and permulen in warm water at 60°C.
2. When fully hydrated, heat to 70°C.
3. Heat oil phase to 70°C.
4. Add water phase to oil phase while stirring.
5. Add sodium hydroxide, and continue stirring.
6. Combine benzoyl peroxide, PEG-600, and deionized water, and add to the emulsion.
7. Homogenize at 35°C with caution, using suitable equipment.

BENZOYL PEROXIDE ANTIACNE LOTION

Bill of Materials			
Scale (mg/g)	Item	Material Name	Qty/kg (g)
40.00	1	Purified bentonite (Polargel®, NF)	40.00
10.00	2	Hydroxypropylmethylcellulose (HPMC)	10.00
522.20	3	Water	522.20
190.00	4	Water	190.00
2.00	5	Methylparaben	2.00
2.00	6	Propylparaben	2.00
20.00	7	Glyceryl stearate	20.00
60.00	8	Propylene glycol	60.00
20.00	9	Myristyl propionate	20.00
5.00	10	Dimethicone	5.00
QS	11	Iron oxides	QS
10.00	12	Titanium dioxide	10.00
100.00	13	Benzoyl peroxide (77%)	100.00

MANUFACTURING DIRECTIONS

1. Sift the Polargel® into water with rapid mixing.
2. Allow to hydrate for 15 minutes.
3. Pass HPMC through a coarse sieve, add to the Polargel® solution, and mix until all lumps are removed.
4. Add parabens to the water with stirring, and heat to 90°C to dissolve the parabens.
5. Add items 4 to 10, and mix well; then, add these to the HPMC mixture.
6. Mix well again.
7. Finally, add items 11 to 13, and mix.

BENZOYL PEROXIDE ANTIACNE MICROEMULSION

Bill of Materials			
Scale (mg/g)	Item	Material Name	Qty/kg (g)
470.00	1	Ethoxydiglycol (Transcutol®)	470.00
250.00	2	PEG-8 caprylic/Capric glycerides (Labrasol®)	250.00
150.00	3	Dipelargonate propylene glycol (DPPG)	150.00
80.00	4	Benzoyl peroxide	80.00
50.00	5	Propylene glycol laurate (Lauroglycol®)	50.00

MANUFACTURING DIRECTIONS

1. Mix items 1 to 3.
2. Dissolve item 4 in this mixture with mixing for 1.5 to 2.0 hours.
3. Add item 5 to mixture, and mix until uniform emulsion is obtained.

BENZYL BENZOATE SOLUTION

Bill of Materials			
Scale (mg/mL)	Item	Material Name	Qty/L (g)
100.00	1	Benzyl benzoate	100.00
220.00	2	Cremophor RH 40	220.00
410.00	3	Ethanol (96%)	410.00
270.00	4	Water	270.00

MANUFACTURING DIRECTIONS

1. Heat the mixture of benzyl benzoate and Cremophor RH 40 to approximately 60°C.
2. Stir strongly, and slowly add the water.
3. Finally, add the ethanol to produce a clear, colorless liquid.

BERBERINE TABLETS

Bill of Materials			
Scale (mg/tablet)	Item	Material Name	Qty/1000 Tablets (g)
5.00	1	Berberine sulfate, with excess	5.70
54.10	2	Lactose monohydrate	54.10
54.10	3	Ludipress®	54.10
1.20	4	Magnesium stearate	1.20

MANUFACTURING DIRECTIONS

1. Mix all components, pass through a 0.8 mm sieve, and press with low compression force.
2. Each 6 mm biplanar tablet has an average weight of 115 mg.

BETA-CAROTENE + VITAMIN C + VITAMIN E CHEWABLE TABLETS (10 MG + 500 MG + 250 MG)**FORMULATION**

Beta-carotene dry powder 10%, 100 g; ascorbic acid, crystalline (BASF), 250 g; sodium ascorbate, crystalline, 280 g; vitamin E acetate dry powder SD, 50, 500 g; (BASF) sorbitol, crystalline, 600 g; Ludipress®, 500 g; fructose, 350 g; polyethylene glycol 6000, powder, 50 g.

MANUFACTURING DIRECTIONS

1. Mix all components, pass through a sieve, and press with high compression force at 2600 mg.

BETA-CAROTENE + VITAMIN C + VITAMIN E EFFERVESCENT TABLETS (12 MG + 150 MG + 25 MG)

FORMULATION

Lucarotene dry powder 10% CWD G/Y (BASF), 120 g; ascorbic acid, crystalline (BASF), 150 g; dry vitamin E acetate 50% DC (BASF), 50 g; Ludipress® LCE [1], 705 g; Kollidon® VA64, 50 g; citric acid, anhydrous, 450 g; sodium bicarbonate, 320 g; polyethylene glycol 6000, powder, 75\g; orange flavor (Dragoco), 50\g; aspartame (Searle), 30\g.

MANUFACTURING DIRECTIONS

1. Mix all components. Pass through a sieve.
2. Press with high compression force at a maximum of 30% of relative atmospheric humidity at 2.045 mg.

BETA-CAROTENE + VITAMIN C + VITAMIN E TABLETS (7 MG + 60 MG + 25 MG)

FORMULATION

Betavit® dry powder 10% (BASF), 75 g; ascorbic acid, powder (BASF), 60 g; vitamin E acetate dry powder 50%, 50 g; sorbitol, crystalline, 240 g; Kollidon® CL, 30 g; magnesium stearate, 5 g.

MANUFACTURING DIRECTIONS

1. Pass all components through a 0.8 mm sieve, mix, and press with low compression force at 497 mg.

BETA-CAROTENE + VITAMIN C + VITAMIN E TABLETS (12 MG + 250 MG + 125 MG)

FORMULATION

Beta-carotene dry powder 10%, 125 g; ascorbic acid, crystalline (BASF), 125 g; sodium ascorbate, crystalline (BASF), 141 g; vitamin E acetate dry powder SD 50, 250 g; (BASF) Ludipress® or sorbitol, crystalline, 119 g; polyethylene glycol 6000, powder, 5 g; orange flavor (FDO), 15 g; sodium cyclamate, 10 g.

MANUFACTURING DIRECTIONS

1. Mix all components, pass through a sieve, and press with medium compression force at 790 mg.

BETA-CAROTENE EFFERVESCENT TABLETS (7 MG)

FORMULATION

Lucarotin® dry powder 10% CWD (BASF), 70 g; Ludipress®, 113 g; citric acid, anhydrous, 200 g; sodium bicarbonate, 120 g; sodium carbonate, 12 g; sodium cyclamate, 20 g; aspartame, 15 g; orange flavor, 20 g; polyethylene glycol 6000, powder, 30 g.

MANUFACTURING DIRECTIONS

1. Pass all components through a 0.8 mm sieve, mix, and press with medium or high compression force at maximum 30% of relative atmospheric humidity.

BETA-CAROTENE EFFERVESCENT TABLETS

Bill of Materials			
Scale (mg/tablet)	Item	Material Name	Qty/1000 Tablets (g)
7.00	1	Beta-carotene; use Lucarotin® CWD (dry powder, 10%) (BASF)	70.00
113.00	2	Ludipress®	113.00
200.00	3	Anhydrous citric acid	200.00
120.00	4	Sodium bicarbonate	120.00
12.00	5	Sodium carbonate	12.00
20.00	6	Sodium cyclamate	20.00
15.00	7	Aspartame	15.00
20.00	8	Orange flavor	20.00
30.00	9	PEG-6000 (powder)	30.00

MANUFACTURING DIRECTIONS

1. Pass all components through a 0.8 mm sieve. Mix.
2. Press with medium or high compression force at maximum RH 30%.
3. Use 12 mm biplanar punches for 602 mg tablets.

BETA-CAROTENE TABLETS

Bill of Materials			
Scale (mg/tablet)	Item	Material Name	Qty/1000 Tablets (g)
15.00	1	Beta-carotene (dry powder, 10% with excess)	160.00
240.00	2	Ludipress®	240.00
175.00	3	Dicalcium phosphate, granulated with 5% Kollidon® 30	175.00
6.00	4	Kollidon® CL	6.00
2.00	5	Magnesium stearate	2.00

MANUFACTURING DIRECTIONS

1. Mix all components, pass through a 0.8 mm sieve, and press with medium compression force.
2. Compress 400 mg in 12 mm biplanar punches.

BETA-CAROTENE TABLETS

Bill of Materials			
Scale (mg/ tablet)	Item	Material Name	Quantity/1000 Tablets (g)
15.00	1	Beta-carotene (dry powder, 10%)	150.00
175.00	2	Dicalcium phosphate, granulated with 5% Kollidon® 30	175.00
100.00	3	Avicel™ PH101	100.00
5.00	4	Kollidon® CL	5.00
2.50	5	Aerosil® 200	2.50
20.00	6	Talc	20.00
2.50	7	Calcium arachinate	2.50

MANUFACTURING DIRECTIONS

- Mix all components, pass through a 0.8 mm sieve, and press with a medium compression force.
- Compress 502 mg in 12 mm biplanar punches.

BETA-CAROTENE TABLETS

Bill of Materials			
Scale (mg/ tablet)	Item	Material Name	Qty/1000 Tablets (g)
20.00	1	Beta-carotene (dry powder, 10%)	220.00
250.00	2	Avicel™ PH101	250.00
20.00	3	Kollidon® CL	20.00
2.00	4	Aerosil® 200	2.00

MANUFACTURING DIRECTIONS

- Mix all components, and press with a low compression force.
- Compress 518 mg in 12 mm biplanar punches.

BETA-CAROTENE, VITAMIN C, AND VITAMIN E CHEWABLE TABLETS

Bill of Materials			
Scale (mg/ tablet)	Item	Material Name	Qty/1000 Tablets (g)
10.00	1	Beta-carotene (dry powder, 10%)	100.00
250.00	2	Ascorbic acid (crystalline) (BASF)	250.00
280.00	3	Sodium ascorbate (crystalline)	280.00
500.00	4	Vitamin E acetate (dry powder, SD 50)	500.00
600.00	5	Sorbitol (crystalline)	600.00
500.00	6	Ludipress®	500.00
350.00	7	Fructose	350.00
50.00	8	PEG-6000 (powder)	50.00

MANUFACTURING DIRECTIONS

- Mix all components, pass through a sieve, and press with high compression force.
- Each 20 mm biplanar tablet has an average weight of 2.6 g.

BETA-CAROTENE, VITAMIN C, AND VITAMIN E TABLETS

Bill of Materials			
Scale (mg/ tablet)	Item	Material Name	Qty/1000 Tablets (g)
12.00	1	Beta-carotene (dry powder, 10% with excess)	125.00
125.00	2	Ascorbic acid (crystalline) (BASF)	125.00
141.00	3	Sodium ascorbate (crystalline) (BASF)	141.00
250.00	4	Vitamin E acetate (dry powder, SD 50)	250.00
119.00	5	Ludipress® or sorbitol (crystalline)	119.00
5.00	6	PEG-6000 (powder)	5.00
15.00	7	Orange flavor (FDO)	15.00
10.00	8	Sodium cyclamate	10.00

MANUFACTURING DIRECTIONS

- Mix all components. Pass through a sieve.
- Press with medium compression force.
- Compress 790 mg into 12 mm biplanar tablets.

BETA-CAROTENE, VITAMIN C, AND VITAMIN E TABLETS

Bill of Materials			
Scale (mg/ tablet)	Item	Material Name	Qty/1000 Tablets (g)
6.00	1	Beta-carotene; use Betavit (dry powder, 10% with excess) (BASF)	65.00
100.00	2	Ascorbic acid (powder) (BASF)	100.00
60.00	3	Vitamin E acetate (dry powder, 50%)	60.00
369.00	4	Ludipress®	369.00
6.00	5	Magnesium stearate	6.00

MANUFACTURING DIRECTIONS

- Pass all components through a 0.8 mm sieve. Mix.
- Press with medium or high compression force.
- Compress 599 mg into 12 mm biplanar tablets.

BETA-CAROTENE, VITAMIN C, AND VITAMIN E TABLETS

Bill of Materials			
Scale (mg/ tablet)	Item	Material Name	Qty/1000 Tablets (g)
6.00	1	Beta-carotene; use Betavit (dry powder, 10% with excess) (BASF)	65.00
100.00	2	Ascorbic acid (powder) (BASF)	100.00
60.00	3	Vitamin E acetate (dry powder, 50%)	60.00
233.00	4	Sorbitol (crystalline) (Merck)	233.00
30.00	5	Kollidon® VA 64	30.00
8.00	6	Kollidon® CL	8.00
4.00	7	Magnesium stearate	4.00

MANUFACTURING DIRECTIONS

1. Pass all components through a 0.8 mm sieve. Mix.
2. Press with medium or high compression force.
3. Compress 502 mg into 12 mm biplanar tablets.

BETA-CAROTENE, VITAMIN C, AND VITAMIN E TABLETS

Bill of Materials			
Scale (mg/ tablet)	Item	Material Name	Qty/1000 Tablets (g)
7.00	1	Beta-carotene; use Betavit (dry powder, 10% with excess) (BASF)	75.00
60.00	2	Ascorbic acid (powder) (BASF)	60.00
50.00	3	Vitamin E acetate (dry powder, 50%)	50.00
240.00	4	Sorbitol (crystalline)	240.00
30.00	5	Kollidon® CL	30.00
5.00	6	Magnesium stearate	5.00

MANUFACTURING DIRECTIONS

1. Pass all components through a 0.8 mm sieve. Mix.
2. Press with low compression force.
3. A colorant pigment should be added to obtain a homogeneous appearance of tablets.
4. Use 12 mm biplanar punches for 497 mg tablets.

BETAMETHASONE AND NEOMYCIN GEL CREAM

Bill of Materials			
Scale (mg/g)	Item	Material Name	Quantity/ kg (g)
1.30	1	Betamethasone valerate	0.13
6.50	2	Neomycin sulfate	0.65
150.00	3	Lutrol E 400	15.00
100.00	4	Miglyol® 812	10.00
200.00	5	Lutrol F 127	20.00
QS	6	Water	QS to 1 kg

MANUFACTURING DIRECTIONS

1. Dissolve betamethasone valerate in a mixture of Lutrol E 400 and Miglyol® 812.
2. Dissolve Lutrol F127 and neomycin sulfate in water at 5°C to 10°C.
3. Mix both solutions.
4. Maintain cool temperature until the air bubbles disappear. A milky white, soft gel cream is obtained.

BETAMETHASONE CREAM

Bill of Materials			
Scale (mg/g)	Item	Material Name	Quantity/ kg (g)
70.00	1	Cetylstearyl alcohol	70.00
15.00	2	Cremophor A 6	15.00
15.00	3	Cremophor A 25	15.00
12.00	4	Liquid paraffin	12.00
2.00	5	Paraben(s)	2.00
697.00	6	Water	697.00
80.00	7	Propylene glycol	80.00
1.00	8	Betamethasone	1.00

MANUFACTURING DIRECTIONS

1. Heat the mixture of items 1 to 5 and item 6 separately to approximately 80°C.
2. Add together with rigorous stirring.
3. Heat items 7 and 8 until the active ingredient is dissolved.
4. Mix with the previous mixture, and continue to stir to cool to room temperature to produce white cream.

BETAMETHASONE GEL

Bill of Materials			
Scale (mg/g)	Item	Material Name	Qty/kg (g)
1.00	1	Betamethasone valerate	1.00
100.00	2	Ethanol (96%)	100.00
200.00	3	Propylene glycol	200.00
220.00	4	Lutrol F 127	220.00
QS	5	Water QS	470.00

MANUFACTURING DIRECTIONS

1. Prepare a solution of items 1 to 3 at room temperature and a solution of items 4 and 5 at approximately 6°C (or >70 °C).
2. Mix both solutions.
3. Maintain the temperature until the air bubbles disappear.
4. A certain amount of propylene glycol could be substituted by water. The obtained gel is clear and colorless.

BETAMETHASONE VALERATE CREAM

Bill of Materials			
Scale (g/100 g)	Item	Material Name	Qty/kg (g)
0.10	1	Betamethasone valerate (34% excess)	1.34
2.00	2	Poloxyl 20 cetostearyl ether (Cetomacrogol 1000)	20.00
8.00	3	Cetostearyl alcohol	80.00
0.10	4	Methylparaben	1.00
0.034	5	Propylparaben	0.34
0.10	6	Chlorocresol	1.00
6.00	7	Mineral oil (liquid paraffin)	60.00
0.29	8	Monobasic sodium phosphate	2.90
17.80	9	Petrolatum (soft white paraffin)	178.00
66.00	10	Purified water	660.00

MANUFACTURING DIRECTIONS

1. Heat item 10 to 90°C in mixer.
2. Dissolve items 4 and 5 (parabens) to a clear solution by stirring.
3. Dissolve 3 g of item 2 in the parabens solution while stirring.
4. Dissolve items 6 and 8 in the parabens solution while stirring.
5. Set the mixer at a temperature of 65°C to 70°C and speed at 8 rpm. Use manual mode.
6. Load 17 g of items 2, 3, and 9 and 45 g of item 7 in a fat-melting vessel.

7. Heat to 70°C to 75°C while stirring. Maintain temperature at 65°C to 7°C.
8. Mix item 1 in 10 g of item 7 in a stainless steel container.
9. Homogenize for 10 minutes to make a smooth slurry.
10. Check the temperature of the aqueous phase in the mixer (should be 65–70°C).
11. Check the temperature of the fatty phase in the fat-melting vessel (should be 65–70 °C).
12. Set the mixer speed at 8 rpm and vacuum at 0.4 to 0.6 bar.
13. Transfer the fatty phase to the aqueous phase in mixer vessel through filter under vacuum while mixing.
14. Start the homogenizer at high speed. Homogenize for 10 minutes.
15. Check and record the pH of cream (limit 4.5–5.2 at 30°C).
16. Cool the temperature to 50°C while mixing. Release the vacuum.
17. Take out 400 g of the cream into the stainless steel vessel, and set aside.
18. Add slurry from earlier step to the remaining cream base in mixer.
19. Rinse the container of slurry using 5 g of item 7, and transfer the rinsings to the mixer.
20. Homogenize for 10 minutes at high speed (mixer speed 8 rpm).
21. Load 400 g cream from preceding step to the mixer.
22. Set the mixer in manual mode at 8 rpm and a vacuum of 0.4 to 0.6 bar.
23. Homogenize at high speed with recirculation, temperature 25°C. Homogenize for 10 minutes with recirculation, stop the homogenizer, and continue mixing to produce a white, homogeneous cream of pH 4.5 to 5.2 at 30°C.

BETAMETHASONE VALERATE OINTMENT

Bill of Materials			
Scale (g/100 g)	Item	Material Name	Qty/kg (g)
0.10	1	Betamethasone; use betamethasone valerate	1.30
84.87	2	Petrolatum (soft white paraffin)	848.70
15.00	3	Mineral oil (liquid paraffin)	150.00

MANUFACTURING DIRECTIONS

1. Melt item 2 in a fat-melting vessel at 75°C while mixing (do not overheat item 2). Maintain temperature of the molten mass in the melting vessel at 60°C to 65°C.

- Start the steam on the mixer vessel, and set the temperature at 60°C.
- Transfer 160 g of the molten mass at 60°C to the mixer vessel.
- Retain the rest of the quantity in the fat-melting vessel.
- Start mixing in the mixer vessel at medium speed with a vacuum between 0.4 and 0.6 bar until obtaining actual temperature of 40°C to 45°C. Maintain the temperature of mixer vessel at 40°C to 45°C.
- Add item 1 in 80 g of item 3, and homogenize for 3 minutes using a homogenizer.
- Keep the slurry aside.
- Rinse the homogenizer and container with 70 g of item 3.
- Transfer item 1 slurry from step 7 and the rinsings from the previous step to the mixer vessel.
- Start mixing under a vacuum of 0.4 to 0.6 bar for 15 minutes.
- The temperature should be maintained at 40°C to 45°C.
- Slowly transfer the rest of the quantity of molten mass (temperature 60°C) into mixer vessel. Continue mixing for 5 minutes after each addition.
- At the end of addition, mix an additional 10 minutes under a vacuum of 0.4 to 0.6 bar.
- Homogenize for 5 minutes at high speed under a vacuum of 0.4 to 0.6 bar.
- Cool the ointment to 30°C to 35°C with stirring under a vacuum of 0.4 to 0.6 bar.

BISACODYL DELAYED-RELEASE TABLETS

Bill of Materials			
Scale (mg/ tablet)	Item	Material Name	Qty/1000 Tablets (g)
05.00	1	Bisacodyl	5.00
20.00	2	Cellulose (microcrystalline) (Avicel™ PH102)	20.00
45.27	3	Lactose (spray dried) ^a	45.27
04.00	4	Maize starch (dried) ^b	4.00
00.73	5	Magnesium stearate	0.73

^a Particle size distribution: minimum: 98%, 250 µm, 30% to 60%, 100 µm; maximum: 15%, 45 µm.

^b LOD NMT 4.5%, when dried at 120°C for 4 hours.

MANUFACTURING DIRECTIONS

Handle bisacodyl carefully; it can cause itching if it comes into contact with skin. Overmixing of lubricants reduces the hardness. Check the temperature and RH of the room before beginning processing. Limit RH to 50% to 60% and temperature to 27°C to 30°C.

- Mix items 1 and 2 in a stainless steel drum for 2 to 3 minutes.
- Pass the mixed powder through a 500 µm sieve using sifter.
- Collect in stainless steel drum.
- Pass item 3 through a 500 µm sieve using sifter.
- Collect in stainless steel drum.
- Load the sieved material into the drum mixer, and mix for 5 minutes.
- Mix items 4 and 5 in a polyethylene bag for 1 minute.
- Pass the mix through a 250 µm sieve.
- Collect in a polyethylene bag.
- Add 3 to 5 g powder to it, and mix for 1 minute.
- Add this mixture, and mix for 1 minute in a drum blender.
- Check the moisture content (limit: 1.0–1.5%).
- Compress the granules using a rotary tableting machine; 6 mm biconvex tablets have an average weight of 750 mg and hardness of 4 to 5 kp.
- Apply enteric coating.

BISACODYL SUPPOSITORIES

Bill of Materials			
Scale (mg/ suppository)	Item	Material Name	Qty/1000 Suppositories (g)
5.00	1	Bisacodyl (micronized) (2% excess) ^a	5.10
447.50	2	Hard fat (Witepsol E 76)	447.50
447.50	3	Hard fat (Witepsol W 45)	447.50

^a 100% particles should be less than 70 µm; fill weight is 1800 mg per suppository.

MANUFACTURING DIRECTIONS

The molten suppository mass must be kept stirred throughout the storage period and during manufacturing and filling to avoid sedimentation of the active drug. The active ingredient causes skin irritation, which vanishes after some time without aftereffects. Avoid dust formation during processing. In particular, protect eyes and mucous membranes.

- Load items 2 and 3 into the fat-melting vessel, and heat to 50°C ± 3°C.
- Transfer the molten mass to a mixer through a 0.8 mm sieve.
- Set the temperature at 40°C ± 2°C.
- Load item 1 to the mixer containing molten mass. Carefully mix the powder with the molten mass.
- Set the mixer at a temperature of 40°C ± 2°C and speed of 10 rpm (manual mode), and mix for 20 minutes.

- Set the mixer at a temperature of $40^{\circ}\text{C} \pm 2^{\circ}\text{C}$, speed of 10 rpm (manual mode), and vacuum of 0.6 bar.
- Homogenize at low speed while mixing for 10 minutes. Homogenize at high speed while mixing for 3 minutes.
- Continue mixing of the mass under vacuum in mixer.
- Heat the storage vessel, and set the temperature at $40^{\circ}\text{C} \pm 2^{\circ}\text{C}$.
- Transfer the molten mass from the mixer to the storage vessel.
- Hold the mass at $40^{\circ}\text{C} \pm 2^{\circ}\text{C}$, continuously mixing at low speed.
- Fill weight is 900 mg per suppository. Use a fill weight of 1.8 g for 10 mg suppositories.

BISMUTH CARBONATE SUSPENSION

Bill of Materials			
Scale (mg/mL)	Item	Material Name	Qty/L (g)
266.66	1	Light kaolin	266.66
8.30	2	Pectin	8.30
6.70	3	Bismuth carbonate	6.70
9.40	4	Cellulose (microcrystalline; Avicel™ RC-591)	9.40
1.40	5	Methylparaben	1.40
0.20	6	Saccharin sodium	0.20
0.40	7	Aspartame	0.40
40.00 mL	8	Sorbitol	40.00 mL
5.00 mL	9	Ethanol	5.00 mL
QS	10	Deionized water	QS to 1 L

MANUFACTURING DIRECTIONS

- Dissolve item 2 in hot water.
- Disperse item 1 in 75 mL of item 10 at room temperature.
- With constant agitation, add item 3, and continue stirring.
- Mix, and cool to room temperature.
- Disperse item 4 in item 10, and add it to the batch.
- Dissolve item 2 in item 1 dispersion, and add to the batch.
- Dissolve items 6 and 7 in water, and add to the batch.
- Add flavor, color, and water to volume.
- Pass through homogenizer or colloid mill if necessary.

BISMUTH SUBSALICYLATE AND CALCIUM CARBONATE TABLET

FORMULATION

Bismuth subsalicylate, 262.5 mg; microcrystalline cellulose NF, 213.3 mg; calcium carbonate, 67.5 mg; mannitol, 67.5 mg;

sodium starch glycolate, 40.5 mg; polyvinyl pyrrolidone, 13.5 mg; magnesium stearate, 5.4 mg; polysorbate 80, 3.4 mg; silica, 0.7 mg; dye, 0.7 mg; total, 675.0 mg.

MANUFACTURING DIRECTIONS

- The ingredients are added to a mixer or granulator in the following order: part of microcrystalline cellulose, calcium carbonate, part of sodium starch glycolate, Polysorbate 80, dye, and bismuth subsalicylate.
- After the addition of the bismuth subsalicylate and mixing at high shear, the mixture is dried at 86°C to less than 2% moisture.
- Additional powders (microcrystalline cellulose, sodium starch glycolate, mannitol, and polyvinylpyrrolidone) are added, and granules are formed by spraying water (approximately 10% by weight of the composition) onto the mixture under high shear.
- After additional drying to less than 3% moisture, silica (glidant) and magnesium stearate (lubricant) are added and mixed for approximately 1 minute.
- Caplets are then formed on a rotary tablet press.

BISMUTH SUBSALICYLATE SUSPENSION

Bill of Materials			
Scale (mg/mL)	Item	Material Name	Qty/L (g)
15.00	1	Magnesium aluminum silicate (Magnabrite K)	15.00
1.50	2	Methyl cellulose	1.50
910.00	3	Deionized water	910.00
0.50	4	Saccharin sodium	0.50
30.00	5	Bismuth subsalicylate	30.00
4.00	6	Salicylic acid	4.00
10.00	7	Sodium salicylate	10.00
29.00	8	Ethanol	29.00
QS	9	Preservatives	QS
QS	10	Colorings	QS

MANUFACTURING DIRECTIONS

- Dry blend items 1 and 2, and slowly add them to item 3, agitating until smooth.
- Add items 4 to 7 to this dispersion, gradually mixing well each time.
- Finally, add items 8 to 10 to smooth mix.

BISMUTH SUBSALICYLATE SWALLOW TABLETS

Bill of Materials			
Scale (mg/ tablet)	Item	Material Name	Qty/1000 Tablets (g)
262.50	1	Bismuth subsalicylate	262.50
213.30	2	Microcrystalline cellulose	213.30
67.50	3	Calcium carbonate	67.50
67.50	4	Mannitol	67.50
40.50	5	Sodium starch glycolate	40.50
13.50	6	Polyvinylpyrrolidone (PVP)	13.50
5.40	7	Magnesium stearate	5.40
3.40	8	Polysorbate 80	3.40
0.70	9	Silica	0.70
0.70	10	Dye	0.70

MANUFACTURING DIRECTIONS

- Mix the ingredients in a mixer in the following order: part of microcrystalline cellulose, calcium carbonate, part of sodium starch glycolate, Polysorbate 80, dye, and bismuth subsalicylate.
- After the addition of bismuth subsalicylate and mixing at high shear, the mixture is dried at 86°C to less than 2% moisture.
- Additional powders (microcrystalline cellulose, sodium starch glycolate, mannitol, and PVP) are added, and granules are formed by spraying water (approximately 10% by weight of the composition) onto the mixture under high shear.
- After additional drying to less than 3% moisture, silica (glidant) and magnesium stearate (lubricant) are added and mixed for approximately 1 minute.
- Caplets are then formed on a rotary tablet press.

BLEACHING AND ANTIMICROBIAL DENTIFRICE**MANUFACTURING DIRECTIONS**

- Weight percentage: hydrogen peroxide (50%), 10.00; carbamide peroxide, 14.00; sodium fluoride, 0.38; Pecogel S-2120 (VP/dimethacrylate is an inclusion complex polymer to retard the solubility of emulsified bleaching actives. It is obtained from Phoenix Chemical, Inc.), 0.50; hydroxyethyl cellulose, 0.50; triethanolamine, 0.30.
- Water, purified, 10.00; glycerin, 10.75; tetrafluoroethylene (Teflon), 50.58; sodium lauryl sulfate, 1.25; sodium saccharin, 0.18; sodium citrate, 0.20; citric acid, 0.20; triclosan, 0.06; flavor, 1.10.

BRAN-SUCROSE-GELATIN-CALCIUM CARBONATE TABLET**MANUFACTURING DIRECTIONS**

- Prepare gelatin-sucrose syrup by placing the following ingredients in a mixing kettle equipped with a heater and agitator: distilled water, 24,000 g; gelatin, 3000 g; sucrose granular, 31,995 g.
- Heat the mixture to approximately 65.5°C with agitation until solution is affected and then slowly stir the gelatin-sucrose syrup and hold at a temperature of approximately 65.5°C until needed.
- Comminute wheat bran in a Schutz-O'Neill Airswept Pulverizer to provide a particle size whereby a minimum of 94% passes through a U.S. standard No. 20 mesh screen and a maximum of 60% passes through a U.S. standard No. 80 mesh screen.)The required amount of bran for the batch is calculated by the formula: $44,250 \text{ g} \times 100 / (100\% \text{ moisture in bran})$.
- After pulverizing, transfer the bran to a heavy-duty double-sigma arm mixer and mix with 1500 g of calcium carbonate, and rapidly add the previously prepared gelatin-sucrose syrup thereto with stirring.
- When the bran appears to be damp, stir the mixture for a 30 minute period and then stop.
- Add powdered sucrose (16,600 g) and agitate the mixture for an additional 2 to 5 minutes.
- Discharge the wet mix through an Ambrette screw extruder and spread the extrudate on drying trays and dry in an oven at 107.2°C to 3% moisture content.
- Granulate the dried extrudate employing a Fitz mill (2A plate) and then press into 1 g tablets by a conventional tableting machine.

BRAN TABLETS

Bill of Materials			
Scale (mg/ tablet)	Item	Material Name	Qty/1000 Tablets (g)
250.00	1	Bran wheat (milled <1 mm)	250.00
200.00	2	Ludipress®	200.00
5.00	3	Kollidon® 30	5.00
4.00	4	Aerosil® 200	4.00
4.00	5	Magnesium stearate	4.00

MANUFACTURING DIRECTIONS

- Mix all components, pass through a sieve, and press with medium compression force.
- If the bran is not milled, the hardness of the tablet is higher, but the content uniformity is lower.
- Compress 477 mg tablets using 12 mm punches.

BREAST CARE CREAM

Bill of Materials			
Scale (mg/g)	Item	Material Name	Quantity/ kg (g)
20.00	1	Polysorbate 60	20.00
70.00	2	Cetyl alcohol	70.00
60.00	3	Mineral oil (70 cS)	60.00
40.00	4	Glyceryl stearate	40.00
QS	5	Deionized water	QS
QS	6	Preservative	QS

MANUFACTURING DIRECTIONS

1. Heat oil and water phases separately at 65°C to 70°C.
2. Add water phase to oil phase while stirring.
3. Stir to cool.
4. Fill at 20°C.
5. Only food-grade materials should be used.

BROMHEXINE HYDROCHLORIDE SYRUP

Bill of Materials			
Scale (mg/5 mL)	Item	Material Name	Qty/L (g)
4.00	1	Bromhexine HCl	0.80
1000.00	2	Glycerin (glycerol)	200.00
10.00	3	Benzoic acid	2.00
1.70	4	All fruits flavor	0.34
5.00	5	Tartaric acid	1.00
151.58	6	Alcohol (ethanol, 95%)	30.31
2857.00	7	Sorbitol (70% solution)	571.40
10.00	8	Sodium carboxymethyl cellulose (sodium CMC)	2.00
0.72	9	Sodium hydroxide pellets	0.14
QS	10	Purified water	QS to 1 L

MANUFACTURING DIRECTIONS

1. Add 250 g of item 10 to the manufacturing vessel, and heat to 65°C to 70°C.
2. Add 20 g of item 2 in a separate stainless steel container, and mix item 8 using an Ekato stirrer, carefully avoiding lump formation.
3. Transfer the slurry to the manufacturing vessel, and continue mixing to make a clear mucilage. Avoid air entrapment.

4. Cool to 30°C while mixing at slow speed. Transfer the mucilage to container.
5. Load 100 g of item 2 to the manufacturing vessel.
6. Add item 6 in a separate stainless steel container, and dissolve item 3 using stirrer.
7. Add 60 g of item 2 to the container while mixing at slow speed.
8. Add and dissolve item 1 to the container while mixing at slow speed. Avoid splashing of the solution. Be sure bromhexine is dissolved completely.
9. Add item 4 to the container, and mix well.
10. Transfer the solution to the manufacturing vessel while mixing at high speed.
11. Rinse the container with 20 g of item 2, and transfer the rinsings to the manufacturing vessel while mixing.
12. Rinse the container with 20 g of item 10, and transfer the rinsing to the manufacturing vessel while mixing.
13. Add 15 g of item 10 in a separate stainless steel container.
14. Dissolve item 5 using a stirrer, and transfer it to the manufacturing vessel while mixing. Check for clarity of the solution in the manufacturing vessel. The solution must be clear without any undissolved particles of the drug.
15. Add item 7 to the manufacturing vessel while mixing at high speed.
16. Transfer the cooled mucilage of item 8 to the manufacturing vessel used in the preceding step while mixing at slow speed.
17. Check and record the pH of the solution (limit: 3.3–3.6).
18. Dissolve item 9 in 5 g of cooled item 10 (30°C) in a separate stainless steel container.
19. Adjust the pH of the syrup in the manufacturing vessel using the sodium hydroxide solution.
20. Add sodium hydroxide solution, small portions at a time. Mix well, and check the pH after every addition. Adjust the pH to 3.5 (limit: 3.3–3.6).
21. Bring the volume up to 1 L with item 10, and finally, mix for 15 to 20 minutes at high speed.
22. Check and record the pH (limit: 3.3–3.6).
23. Filter the syrup at 1.5 bar.
24. Recirculate.

BROMHEXINE HYDROCHLORIDE SYRUP (ALCOHOL FREE)

Bill of Materials

Scale (mg/5 mL)	Item	Material Name	Qty/L (g)
4.00	1	Bromhexine HCl	0.80
1000.00	2	Glycerin (glycerol)	200.00
12.00	3	Sodium benzoate	2.40
1.70	4	All fruit flavors	0.34
17.00	5	Tartaric acid	3.40
2250.00	6	Sorbitol (70% solution)	450.00
10.00	7	Sodium carboxymethyl cellulose (sodium CMC)	2.00
QS	8	Purified water	QS to 1 L

MANUFACTURING DIRECTIONS

1. Add 240 g of item 8 (25°C) to the manufacturing vessel.
2. Add item 5, and mix for 20 minutes at high speed.
3. Load 180 g of item 2 into the manufacturing vessel, and mix for 3 minutes.
4. Add item 1 to the manufacturing vessel, and mix for 30 minutes at high speed.
5. Add 20 g of item 2 in a suitable vessel, and levigate item 7 using stirrer, carefully avoiding lump formation.
6. Add 40 g of item 8 (70°C) to the stainless steel container while mixing to make a clear mucilage. Mix for 15 minutes. Avoid air entrapment.
7. Cool down to 25°C to 30°C while mixing at slow speed.
8. Transfer the mucilage to the manufacturing vessel.
9. Rinse the vessel with 10 g of item 8, and transfer to the manufacturing vessel.
10. Mix at slow speed for 20 minutes.
11. Transfer item 6 to the manufacturing vessel while mixing. Mix at low speed for 5 minutes.
12. Add 20 g of item 8 (25°C) in a separate stainless steel container, and dissolve item 3 using an Ekato stirrer until a clear solution is obtained.
13. Transfer this solution to the manufacturing vessel, and mix at low speed for 3 minutes.
14. Add item 4 to the manufacturing vessel, and mix at low speed for 3 minutes.
15. Record the pH of the solution (limit: 3.3–3.7). Adjust the pH of the solution with a 10% solution of sodium hydroxide, if required.
16. Make the volume up to 1 L with item 8 (25°C), and finally, mix for 15 to 20 minutes at high speed.

17. Filter the syrup at 1.5 bar.
18. Recirculate.

BROMHEXINE HYDROCHLORIDE TABLETS

Bill of Materials

Scale (mg/tablet)	Item	Material Name	Qty/1000 Tablets (g)
8.00	1	Bromhexine HCl	8.00
78.00	2	Lactose monohydrate	78.00
30.40	3	Cornstarch	30.40
3.00	4	Gelatin (powder)	3.00
QS	5	Purified water	12.00
0.60	6	Magnesium stearate	0.60

MANUFACTURING DIRECTIONS

The binding solution is susceptible to microbiological growth; hence, prepare the solution directly before the granulation process. Protect bromhexine HCl from light.

1. Make slurry in a separate container by dissolving item 4 in hot item 5 (70–80°C).
2. Mix for 10 minutes using stirrer at medium speed.
3. Pass items 1, 2, and 3 through a 630 µm sieve using a sifter.
4. Charge the sieved material into the mixer.
5. Mix, using mixer and chopper, for 5 minutes at high speed. Add binding solution to the dry powders in the mixer while mixing at low speed.
6. After the addition is complete, mix for an additional 4 minutes at low speed or until a satisfactory mass is obtained.
7. Spread the wet granules onto the trays.
8. Load the trolleys into the drying oven.
9. Dry the granules at 60°C for 10 hours.
10. Turn the granules after 4 hours of drying in order to obtain uniform drying.
11. Transfer the dried granules in stainless steel drums.
12. Check moisture content (limit: NMT 2%).
13. Pass the dried granules through first a 1.5 mm and then a 1.0 mm sieve using a granulator. Collect in stainless steel drums.
14. Load the granules into the blender.
15. Pass item 6 through a 250 µm sieve using a sifter, and add to the granules in blender. Blend for 2 minutes.
16. Compress the granules using a rotary tableting machine.
17. Use a 7 mm flat, beveled-edge punch to compress 1.2 g per tablet at a hardness of NLT 3 kp.

BURN CREAM

Bill of Materials			
Scale (mg/g)	Item	Material Name	Qty/kg (g)
120.00	1	Glyceryl stearate SE (Monthybase)	120.00
80.00	2	Myristate octyldodecyl (MOD)	80.00
20.00	3	Apricot kernel oil PEG-6 esters (Labrafil® M 1944 CS)	20.00
0.50	4	Sodium methylparaben	0.50
0.50	5	Sodium propylparaben	0.50
0.50	6	Sorbic acid	0.50
767.50	7	Deionized water	767.50
10.00	8	Avocado oil	10.00
1.00	9	Fragrance	1.00

MANUFACTURING DIRECTIONS

1. Mix and heat items 1 to 7 to 75°C.
2. Cool slowly with stirring.
3. At 30°C, add item 8 and then item 9.

BURN CREAM

Bill of Materials			
Scale (mg/g)	Item	Material Name	Qty/kg (g)
15.00	1	Magnesium aluminum silicate (Veegum®)	15.00
568.00	2	Deionized water	568.00
30.00	3	Propylene glycol	30.00
2.00	4	Dimethicone emulsion	2.00
100.00	5	Mineral oil, light	100.00
170.00	6	Acetylated lanolin alcohol	170.00
50.00	7	Benzocaine, USP	50.00
30.00	8	C18–C36 acid	30.00
120.00	9	Glyceryl stearate and PEG-100 stearate	120.00
5.00	10	Polysorbate 60	5.00
QS	11	Preservatives	QS

MANUFACTURING DIRECTIONS

1. Slowly add item 1 to water, agitating with extensive shear force until smooth.
2. Add items 3 and 4, and heat to 75°C to 80°C.
3. Mix and heat items 5 to 11, keeping item 7 suspended, to 75°C to 80°C. Mix the two parts while cooling. Pour and fill at 40°C.

CAFFEINE TABLETS

Bill of Materials			
Scale (mg/tablet)	Item	Material Name	Qty/1000 Tablets (g)
150.00	1	Caffeine powder	150.00
36.00	2	Cellulose (microcrystalline) (Avicel™ PH102)	36.00
46.00	3	Anhydrous lactose	46.00
48.50	4	Di-Pac granular	48.50
3.00	5	Croscarmellose sodium (Ac-Di-Sol SD-711)	3.00
1.50	6	Fumed silica	1.50
0.75	7	Stearic acid	0.75
0.75	8	Magnesium stearate	0.75
1.20	9	Flavor	1.20

MANUFACTURING DIRECTIONS

1. Screen items 1,7, and 8 separately through a 40 mesh sieve.
2. Blend items 1 to 6 and 9 in a V-blender, and mix for 3 minutes.
3. Add item 8 to the blender, and mix for another 5 minutes.
4. Compress, using 7 kg pressure and 3/8 in. flat, beveled-edge punches to produce tablets with an average weight of 311 mg.

CALAMINE CREAM

Bill of Materials			
Scale (mg/g)	Item	Material Name	Qty/kg (g)
80.00	1	Polawax GP200	80.00
10.00	2	Polysorbate 60	10.00
50.00	3	Caprylic/Capric triglyceride	50.00
QS	4	Deionized water	QS to 1 kg
100.00	5	Witch hazel distillate	100.00
50.00	6	Glycerin	50.00
20.00	7	Zinc oxide	20.00
20.00	8	Calamine	20.00
QS	9	Preservative, color	QS

MANUFACTURING DIRECTIONS

1. Heat oil and water phases separately to 65°C to 70°C.
2. Add water phase to oil phase while stirring.
3. Add zinc oxide and calamine under high shear.
4. Stir to cool.

CALAMINE CREAM

Bill of Materials			
Scale (mg/g)	Item	Material Name	Quantity/ kg (g)
20.00	1	Cellulose (microcrystalline) (Avicel™ RC-591)	20.00
100.00	2	Glycerin	100.00
1.80	3	Methylparaben	1.80
0.20	4	Propylparaben	0.20
100.00	5	Glyceryl stearate and PEG-100 stearate	100.00
25.00	6	Cetyl alcohol	25.00
50.00	7	Zinc oxide	50.00
50.00	8	Calamine	50.00
653.00	9	Distilled water	653.00

MANUFACTURING DIRECTIONS

- Mix item 2 with item 9, 5 and 6 and heat to 75°C.
- Add items 3 and 4. Mix until dissolved using a shear-mixer.
- Maintain temperature at 75°C, and gradually add item 1. Continue mixing at 75°C for 15 minutes or until item 1 is homogeneously dispersed. Mix well.
- When temperature drops to 60°C to 65°C, gradually add items 7 and 8. Mix well until powders are homogeneously dispersed.
- Pass through homogenizer if necessary. Adjust theoretical weight with warm distilled water, and continue mixing until the cream congeals.

CALAMINE LOTION

Bill of Materials			
Scale (mg/g)	Item	Material Name	Qty/kg (g)
78.30	1	Calamine	78.30
78.30	2	Zinc oxide	78.30
19.60	3	Glycerin	19.60
230.80	4	Deionized water	230.80
558.00	5	Calcium hydroxide solution	558.00
34.40	6	Purified bentonite (Polargel®, NF)	34.40
0.60	7	Carboxymethyl cellulose	0.60

MANUFACTURING DIRECTIONS

- Prepare a saturated solution of item 5 by putting 3 g of item 5 in 1000 mL of purified water. Mix vigorously for 1 hour.
- Decant the clear, supernatant liquid for use in the formula.

- Add the balance of water.
- Add items 6 and 7 to this solution with rapid mixing. Continue mixing for 15 minutes.
- In a separate vessel, blend items 1 and 2.
- Add item 3, and mix until uniform.
- Begin adding the aqueous solution with mixing until it is blended into a lotion.

CALCIUM AND VITAMIN D TABLETS

Bill of Materials			
Scale (mg/ tablet)	Item	Material Name	Qty/1000 Tablets (g)
500.00	1	Anhydrous calcium phosphate (dibasic)	500.00
133 IU	2	Vitamin D (as vitamin D3) (3.33 µg/tablet)	3.33 mg
15.00	3	Starch (pregelatinized, NF)	15.00
55.00	4	Cellulose (microcrystalline, NF)	55.00
6.00	5	Magnesium stearate, NF	6.00
5.00	6	Talc (powder), USP	5.00
12.00	7	Wax (hydrogenated vegetable oil) (Sterotex K)	12.00
15.50	8	Sodium starch glycolate, NF	15.50

MANUFACTURING DIRECTIONS

- Pass one-half of dibasic calcium phosphate through a mesh screen into a blender.
- Premix by hand the pregelatinized starch with vitamin D₃ beadlets in a suitable container, and sift through a mesh screen into the blender.
- Charge the microcrystalline cellulose and the remaining calcium phosphate through a mesh screen into the blender.
- Mix for 20 minutes.
- Discharge approximately one-third of the granulation into polyethylene-lined drums.
- Mix the magnesium stearate, talc, hydrogenated vegetable oil wax, and sodium starch glycolate.
- Mill through a No. 40 mesh screen into the blender.
- Return granulation from previous step to the blender. Blend together.
- Compress.

CALCIUM CARBONATE AND GLYCINE TABLETS

Bill of Materials			
Scale (mg/ tablet)	Item	Material Name	Qty/1000 Tablets (g)
400.00	1	Calcium carbonate (precipitated)	400.00
200.00	2	Glycine (aminoacetic acid)	200.00
QS	3	Starch	QS
6.50	4	Mineral oil (light)	6.50
QS	5	Purified water	QS

MANUFACTURING DIRECTIONS

1. Add starch to a planetary mixer, and add 10 times the quantity of purified water.
2. Heat to boil with constant stirring until a thick, translucent white paste is formed. Use this paste in granulation.
3. Charge calcium carbonate and glycine in a sigma-blade or a planetary mixer and mix for 10 minutes.
4. Granulate this powder with the starch paste until a suitable mass is obtained.
5. Force the wet mass through a No.12 mesh screen onto dryer trays.
6. Dry in an air-forced oven at 54.4°C to 60°C or in a fluid-bed dryer.
7. Pass the dried granules through a No.12 mesh screen and then through a No.18 mesh screen.
8. Pass the granules through a 30 mesh screen, remove the portion passing through the screen, and regranulate.
9. Charge the particles retained on 30 mesh screen in a tumble mixer, add mineral oil, and mix for 8 minutes.
10. Compress 640 mg tablets using 7/16 in. punches.

CALCIUM CARBONATE AND VITAMIN D TABLETS

Bill of Materials			
Scale (mg/ tablet)	Item	Material Name	Qty/1000 Tablets (g)
600.00	1	Calcium (elemental); use calcium carbonate (90%) for direct compression	1665.00
0.235	2	Vitamin D ₃ (200 IU); use vitamin D ₃ beadlets	0.282
4.16	3	Magnesium stearate	4.16
83.25	4	Sodium starch glycolate	83.25

MANUFACTURING DIRECTIONS

1. Make a premix of vitamin D₃ successively in three portions of calcium carbonate (total amount

equivalent to approximately 3% of total calcium carbonate) using geometric dilution.

2. Mix for 10 minutes each time (total: 30 minutes).
3. Add the premix to the sodium starch glycolate. Mix for 10 minutes.
4. Set the blend aside, protected from light, until the next step.
5. Pass the magnesium stearate through a 420 μm aperture screen, if required, and blend it with another portion of calcium carbonate (approximately 10% of total calcium carbonate).
6. Mix for 5 minutes. Set aside.
7. Add the blended material to the balance of the calcium carbonate. Mix for 10 minutes.
8. Add the premix to blend from step 7. Mix for 5 minutes.
9. Compress on specially shaped 0.8100 in. × 0.3700 in. ovaloid bisected punches with a monogram on one side.
10. Theoretical weight of 10 tablets = 17.527g.
11. Coat using one of the HPMC formulae (see Appendix).

CALCIUM CARBONATE CHEWABLE TABLETS

MANUFACTURING DIRECTIONS

1. Granulated calcium carbonate (93.3% calcium carbonate, 6.3% glucose, and 0.4% gelatin), 42.87%; magnesium stearate, 2.50%; colored speckles, 0.75%; flavorants, 0.78%; MPD (31-menthoxy propane 1,2-diol), 0.07%; WS-3 (N-ethyl-P-menthane-3-carboxamide), 0.05%; aspartame, 0.198%; sodium saccharin, 0.102%; mannitol, QS.
2. Dry blend the ingredients in a mixer until homogeneous and then direct compress in a tableting machine to approximately 8.5 Strong Cobb units of hardness to produce chewable antacid tablets each weighing 1.25 g (500 mg calcium carbonate per tablet).
3. These tablets may also be prepared by utilizing granulated calcium carbonate, which is a 50/50 coblend of calcium carbonate/mannitol.

CALCIUM CARBONATE TABLETS

Bill of Materials			
Scale (mg/ tablet)	Item	Material Name	Qty/1000 Tablets (g)
500.00	1	Calcium carbonate (precipitated)	500.00
65.00	2	Kollidon® 30	65.00
97.00	3	Water	97.00
32.00	4	Kollidon® CL	32.00
53.00	5	Ludipress®	53.00

MANUFACTURING DIRECTIONS

1. Granulate mixture of items 1 and 2 with the water (item 3).
2. Pass through a 0.8 mm sieve, mix the dry granules with items 4 and 5, and press with low compression force.
3. Fill 656 mg in 12 mm planar punches.

CALCIUM CHEWABLE TABLETS (200 MG CA)**FORMULATION**

Calcium gluconate (Merck), 845.0 g; calcium citrate (Merck), 500.0 g; Ludipress® LCE, 297.5 g; citric acid anhydrous, fine granular, 100.0 g; polyethylene glycol 6000, powder, 80.0 g; orange flavor (Dragoco), 30.0 g; Aerosil® 200, 17.0 g; aspartame, potassium (Searle), 5.0 g.

MANUFACTURING DIRECTIONS

1. Pass all components through a 0.8 mm sieve, mix, and press with high compression force at 2417 mg.

CALCIUM D-PANTOTHENATE CHEWABLE TABLETS**Bill of Materials**

Scale (mg/ tablet)	Item	Material Name	Qty/1000 Tablets (g)
600.00	1	Calcium D-pantothenate (BASF), with excess	610.00
150.00	2	Sorbitol (crystalline)	150.00
140.00	3	Avicel™ PH101	140.00
30.00	4	Kollidon® CL	30.00
50.00	5	PEG-6000 (powder)	50.00
QS	6	Flavors	QS

Note: Kollidon® CL may be omitted and the tablet weight adjusted.

MANUFACTURING DIRECTIONS

1. Pass all components through a 0.8 mm sieve, mix, and press with low compression force.
2. Compress 987 mg tablets in 12 mm biplanar punches.

CALCIUM D-PANTOTHENATE TABLETS**Bill of Materials**

Scale (mg/ tablet)	Item	Material Name	Quantity/1000 Tablets (g)
100.00	1	Calcium D-pantothenate (BASF)	100.00
150.00	2	Ludipress®	150.00
10.00	3	Kollidon®	10.00
3.00	4	Magnesium stearate	3.00

MANUFACTURING DIRECTIONS

1. Mix all components. Pass through a 0.8 mm sieve.
2. Press into 252 mg tablets using medium compression force and biplanar 8 mm punches.

CALCIUM D-PANTOTHENATE TABLETS**Bill of Materials**

Scale (mg/ tablet)	Item	Material Name	Qty/1000 Tablets (g)
280.00	1	Calcium D-pantothenate (BASF), with excess	285.00
50.00	2	Avicel™ PH101	50.00
150.00	3	Dibasic calcium phosphate	150.00
20.00	4	Kollidon® CL	20.00
3.00	5	Stearic acid	3.00
3.00	6	Magnesium stearate	3.00

MANUFACTURING DIRECTIONS

1. Mix all components. Pass through a 0.8 mm sieve.
2. Press into 518 mg tablets using medium compression force and 12 mm biplanar punches.

CALCIUM EFFERVESCENT TABLETS**Bill of Materials**

Scale (mg/ tablet)	Item	Material Name	Qty/1000 Tablets (g)
634.00	1	Calcium lactate	634.00
610.00	2	Calcium gluconate	610.00
185.21	3	Calcium carbonate	185.21
400.00	4	Sodium bicarbonate	400.00
468.25	5	Tartaric acid	468.25
46.25	6	Povidone (Kollidon® 30)	46.25
11.75	7	Povidone (Kollidon® 30)	11.75
QS	8	Isopropyl or ethyl alcohol (96%)	QS
97.50	9	Crospovidone (Kollidon® CL)	97.50
46.25	10	PEG-6000	46.25
QS	11	Flavor	QS

MANUFACTURING DIRECTIONS

1. Granulate items 1 to 6 in a solution of items 7 and 8.
2. Dry, sieve, and mix well with items 9 to 11.
3. Compress at low pressure to form 2.5 g tablets, 20 mm in diameter.

CALCIUM GLUCONATE TABLETS

Bill of Materials			
Scale (mg/ tablet)	Item	Material Name	Quantity/1000 Tablets (g)
350.00	1	Calcium gluconate (powder), with excess	360.00
117.00	2	Lactose monohydrate	117.00
11.00	3	Kollidon® 30	11.00
QS	4	Isopropanol	90.00
25.00	5	Kollidon® CL	25.00
2.00	6	Magnesium stearate	2.00

MANUFACTURING DIRECTIONS

1. Granulate mixture of items 1 to 3 with item 4.
2. Dry, pass through a 0.8 mm sieve, and mix with items 5 and 6.
3. Press into 500 mg tablets using high compression force and 12 mm biplanar punches.

CALCIUM GLYCEROPHOSPHATE TABLETS

Bill of Materials			
Scale (mg/ tablet)	Item	Material Name	Qty/1000 Tablets (g)
500.00	1	Calcium glycerophosphate	500.00
117.50	2	Cornstarch	117.50
15.00	3	Kollidon® 90 F	15.00
60.00	4	Water	60.00
15.00	5	Kollidon® CL	15.00
2.50	6	Magnesium stearate	2.50

MANUFACTURING DIRECTIONS

1. Granulate items 1 to 3 with item 4, dry, sieve, and mix with items 5 and 6.
2. Press into 650 mg tablets using medium to high compression force and 12 mm biplanar punches.

CALCIUM GLYCEROPHOSPHATE TABLETS

Bill of Materials			
Scale (mg/ tablet)	Item	Material Name	Quantity/1000 Tablets (g)
200.00	1	Calcium glycerophosphate	200.00
297.50	2	Ludipress®	297.50
2.50	3	Magnesium stearate	2.50
QS	4	Aerosil® 200	QS

MANUFACTURING DIRECTIONS

1. Pass all components through a 0.8 mm sieve, and mix.
2. Press into 470 mg tablets using high compression force and 12 mm biplanar punches.

CALCIUM GLYCEROPHOSPHATE TABLETS (200 MG)

FORMULATION

Calcium glycerophosphate, 200.0 g; Ludipress®, 297.5 g; magnesium stearate, 2.5 g; Aerosil® 200, QS.

MANUFACTURING DIRECTIONS

1. Pass all components through a 0.8 mm sieve, mix, and press with high compression force at 470 mg.

CALCIUM IODIDE AND ASCORBIC ACID SYRUP

Bill of Materials			
Scale (mg/ mL)	Item	Material Name	Qty/L (g)
311.60	1	Glucose liquid (corn syrup)	311.60
53.90	2	Glycerin (96%)	53.90
30.00	3	Anhydrous calcium iodide; use calcium iodide solution 27% w/w	111.11
1.00	4	Ascorbic acid (white powder)	1.00
485.30	5	Sucrose (granulated sugar)	485.30
0.80	6	Saccharin sodium (powder) ^a	0.80
8.00	7	Sodium cyclamate (XIII powder)	8.00
1.31	8	Honey artificial flavor, AU-73	1.31
0.33	9	Floral mint artificial flavor	0.33
51.53	10	Alcohol (ethanol, 190 proof)	51.53
0.60	11	Isoproterenol sulfate (powder)	0.60
0.05	12	FD&C Yellow dye No. 5	0.05
0.25	13	Caramel (acid proof)	0.25
QS	14	Water purified	~344.0 mL

^a Use 1.2 g of saccharin to replace cyclamate; adjust balance with sucrose.

MANUFACTURING DIRECTIONS

Isoproterenol is toxic; wear a dust mask, and avoid contact. The product is sensitive to oxidation. Manufacture under N₂ protection, and protect product from light and heat; all water must be boiled, cooled, and gassed with nitrogen.

1. Load glucose and glycerin into a suitable mixing tank.
2. Add 187 mL purified water to tank with mixing.
3. Begin bubbling N₂ protection for the balance of the process.

- Add and dissolve saccharin sodium and sodium cyclamate, if used, with mixing.
- Add calcium iodide to the tank with good mixing.
- Add and dissolve ascorbic acid and sugar.
- Dissolve the flavors in alcohol, and add with mixing to the main batch.
- Dissolve isoproterenol in 10 to 13 mL of water, and add with mixing to the main batch.
- Dissolve dye in 3.5 mL purified water, and add solution to tank with mixing. (*Note:* Dye may be deleted.) Add caramel with mixing to main batch.
- Move N₂ source from the bottom to the top of the tank.
- Turn off mixer.
- Allow to stand overnight under N₂ protection to let entrapped gases escape.
- QS to 1 L. Mix for 1 hour.
- Filter and circulate product through a suitable filter press until sparkling clear.

CALCIUM PHOSPHATE TABLETS FOR CATS AND DOGS (DIRECT COMPRESSION)

Bill of Materials			
Scale (mg/ tablet)	Item	Material Name	Qty/1000 Tablets (g)
400.00	1	Dicalcium phosphate	400.00
100.00	2	Wheaten flour	100.00
1.00	3	Citric acid crystalline	1.00
272.00	4	Lactose monohydrate	272.00
QS	5	Flavors	QS
20.00	6	Kollidon® 90 F	20.00
4.00	7	Magnesium stearate	4.00

MANUFACTURING DIRECTIONS

- Pass all components through a 0.8 mm sieve. Mix.
- Press with medium to high compression force (20 kN).
- Compress into 800 mg tablets using 12 mm biplanar punches.

CALCIUM PHOSPHATE TABLETS FOR CATS AND DOGS

Bill of Materials			
Scale (mg/ tablet)	Item	Material Name	Qty/1000 Tablets (g)
400.00	1	Dicalcium phosphate	400.00
100.00	2	Wheaten flour	100.00
1.00	3	Citric acid crystalline	1.00
262.00	4	Lactose monohydrate	262.00
QS	5	Flavors	QS
30.00	6	Kollidon® 30 F	30.00
150.00	7	Water	150.00 mL
4.00	8	Magnesium stearate	4.00

MANUFACTURING DIRECTIONS

- Granulate items 1 to 6 in item 7, dry, add item 8, and pass through a 0.8 mm sieve.
- Compress 800 mg tablets using 12 mm biplanar punches.

CARBAMIDE PEROXIDE CHEWING GUM

FORMULATION

Gum base, 26.25 g; calcium carbonate, 3.75 g; sorbitol, 28.05 g; mannitol, 7.50 g; maltitol, 21.62 g; glycerin, 1.00 g; flavorant, 3.15 g; gum arabic, 1.16 g; titanium dioxide, 0.17 g; wax candelilla, 0.03 g; sodium stearate/sodium palmitate, 50% each, 3.00 g; tripolyphosphate sweetener, 0.82 g; Imwitor 370, 1.00 g; carbamide peroxide, 3.00 g.

MANUFACTURING DIRECTIONS

- Heat the gum base to sufficiently soften the base without adversely affecting the physical and chemical make-up of the base.
- Add the molten gum base and the filler to a mixing kettle.
- Last, add the sugar alcohols, glycerin, flavor, high-intensity sweetener, and stain-removing agent carbamide peroxide with mixing to obtain a homogeneous mixture.
- Discharge the mixture from the mixing kettle and rolled and score into the desired piece size by conventional techniques.

CARBAMIDE PEROXIDE AND HYDROGEN PEROXIDE BLEACHING ORAL DENTIFRICE

MANUFACTURING DIRECTIONS

- Gel composition as weight percent contains sodium fluoride, 0.32 (0.14 w/v fluoride ion); Carbopol 974 P-NF, 1.25; sorbitol (70% solution), 10.00; glycerin, 10.00; carbamide peroxide, 14.00; sodium lauryl sulfate, 1.50; sodium saccharin, 0.20; flavor, 1.25; FD&C Yellow No. 5, 0.15; FD&C Red No. 40, 0.05; water purified, 29.60.
- Paste composition in weight percent contains sodium fluoride, 0.32; hydrogen peroxide (50% solution), 10.00; Carbopol 943, 0.51; sorbitol (70% solution), 5.18; glycerin, 5.18; sodium lauryl sulfate, 1.50; sodium saccharin, 0.20; flavor, 1.25; polytetrafluoroethylene (Teflon), 52.00; water, purified, 29.86.
- Neutralize both phases (steps 1 and 2) to a pH of approximately 5.5 and 6.5 with freshly prepared 10% sodium hydroxide. The ratio of the stripe composition to the main composition should be approximately 15:100.
- This hydrogen peroxide/carbamide peroxide blend composition is effective and stable when used topically for bleaching tooth surfaces.

- When extruded from the tube container, the gel composition will be in the form of one or more stripes enclosed in the periphery of the toothpaste surrounded by the paste composition.
- The gel and the paste composition must be of sufficiently heavy viscosities to prevent migration (bleeding) of the colored gel into the white paste composition.

CARBINOXAMINE MALEATE, PHENYLPROPANOLAMINE, AND ACETAMINOPHEN SUSTAINED-RELEASE TABLETS

Bill of Materials

Scale (mg/ tablet)	Item	Material Name	Qty/1000 Tablets (g)
5.00	1	Carbinoxamine maleate	5.00
75.00	2	Phenylpropanolamine hydrochloride	75.00
50.00	3	Acetaminophen	50.00
143.35	4	Sucrose and maize starch microgranules	143.35
6.34	5	Polyvidone (PVP)	6.34
0.01	6	Dye	0.01
0.075	7	Dye	0.075
0.025	8	Dye	0.025
23.99	9	Talc	23.99

MANUFACTURING DIRECTIONS

This product requires separate preparation of microgranules for each active ingredient. This preparation requires a coating pan equipped with air suction and hot air heating system, mixer, automatic airless pump with a spray gun, vibrating sieve, and capsule-filling machine with triple-feed microgranular system.

- Place the neutral microgranules in the coating pan. Prepare a 20% solution of PVP.
- Maintain the temperature of microgranules at 20°C ± 2°C.
- Using the pump, apply the solution of PVP; then, project the active ingredient onto the microgranules with a plastic scoop until they are dry.
- Repeat these operations until all the active ingredients have been incorporated.
- Sieve the microgranules with a 1.11 mm sieve.
- Dry the microgranules at 30°C ± 5°C for 3 hours.
- Prepare a 40% solution of shellac in alcohol and the required quantity of talc.
- Apply the shellac solution, maintaining a microgranule temperature of 20°C ± 2°C, and add the talc simultaneously.
- Sieve the microgranules through a 1.18 mm sieve.

- Dry the microgranules at 18°C to 23°C for 8 hours. Store until used.
- Test for dissolution, and rework if necessary.

CARBONYL IRON, COPPER SULFATE, AND MANGANESE SULFATE TABLETS

Bill of Materials

Scale (mg/ tablet)	Item	Material Name	Qty/1000 Tablets (g)
24.00	1	Carbonyl iron (BASF)	24.00
0.16	2	Copper sulfate	0.16
3.50	3	Manganese sulfate	3.50
100.00	4	Ludipress®	100.00
2.00	5	Magnesium stearate	2.00

MANUFACTURING DIRECTIONS

- Pass all components through a 0.5 mm sieve. Mix.
- Press into 131 mg tablets using medium compression force and 8 mm biplanar punches.

CARNITINE AND COENZYME Q SOLUTION

Bill of Materials

Scale (mg/ mL)	Item	Material Name	Qty/L (g)
1.00	1	Coenzyme Q 10	1.00
1.00	2	Lutrol E 400	1.00
4.00	3	Cremophor RH 40	4.00
QS	4	Preservative	QS
QS	5	Water	QS to 1 L
40.00	6	Carnitine	40.00

MANUFACTURING DIRECTIONS

- Heat the mixture of items 1 to 5 to 60°C. Stir well.
- Cool to room temperature, and add and dissolve item 6.

CETIRIZINE HYDROCHLORIDE TABLETS

Bill of Materials

Scale (mg/ tablet)	Item	Material Name	Quantity/1000 Tablets (g)
15.00	1	Cetirizine hydrochloride	15.00
3.00	2	PVP	3.00
1.50	3	Silicon dioxide	1.50
135.00	4	Lactose	135.00
1.50	5	Glyceryl behenate	1.50
QS	6	Water	QS

MANUFACTURING DIRECTIONS

1. Place cetirizine and lactose in a fluidized-bed apparatus.
2. Spray an aqueous PVP solution (in 85 g of water) to get granules.
3. Dry the granules thus obtained and pass through a sieve (1 mm mesh), and weigh, add, and blend glyceryl behenate in a drum mixer.
4. Press the resulting mixture into 156 mg tablets.
5. Coat these tablet cores with the following formulation: ethyl cellulose, 10 g; hydroxypropyl cellulose, 10 g; stearic acid, 2 g; alcohol, 188 g.
6. First dissolve ethyl cellulose, povidone, and stearic acid in denatured alcohol (188 g).
7. Spray the coating solution is then sprayed onto the tablet cores in a coating pan.

CETRIMIDE ANTISEPTIC CREAM

Bill of Materials			
Scale (mg/g)	Item	Material Name	Qty/kg (g)
50.00	1	Cetearyl alcohol and cetrimonium bromide	50.00
75.00	2	White petroleum jelly	75.00
60.00	3	Mineral oil (70 cS)	60.00
QS	4	Deionized water	QS to 1 kg
QS	5	Perfume, preservative, color	QS

MANUFACTURING DIRECTIONS

1. Heat oil and water phases separately to 60°C to 65°C.
2. Add the water phase to the oil phase while stirring.
3. Stir to cool.

CETYLPIRIDINIUM LOZENGES (2.5 MG)**FORMULATION**

Cetylpyridinium chloride (Merck), 2.5 g; Ludipress® LCE, 370.0 g; polyethylene glycol 6000, powder, 20.0 g; menthol, crystalline, 6.0 g; aspartame, potassium (Searle), 1.5 g.

MANUFACTURING DIRECTIONS

1. Mix all components. Pass through a 0.8 mm sieve.
2. Press with low compression force at 402 mg.

CHARCOAL TABLETS

Bill of Materials			
Scale (mg/tablet)	Item	Material Name	Qty/1000 Tablets (g)
250.00	1	Activated charcoal	250.00
150.00	2	Bolus alba (Merck)	150.00
28.00	3	Kollidon® 25	28.00
38.00	4	Acacia gum	38.00
QS	5	Water + isopropanol (10 + 3)	575.00 mL
15.00	6	Cremophor EL	15.00
QS	7	Isopropanol	300.00 mL

MANUFACTURING DIRECTIONS

1. Granulate mixture of items 1 to 4 with item 5, and pass through a 1 mm sieve.
2. Dry until a relative powder humidity of 90% is reached.
3. Add solution of items 6 and 7, and pass again through a 0.8 mm sieve.
4. Dry the granules, and press into 481 mg tablets using low compression force and 12 mm planar punches.
5. Dry the obtained tablets.

CHLOPHEDIANOL, IPECAC, EPHEDRINE, AMMONIUM CHLORIDE, CARBINOXAMINE, AND BALSAM TOLU SYRUP

Bill of Materials			
Scale (mg/mL)	Item	Material Name	Qty/L (g)
0.001 mL	1	Ipecac fluid extract	1.00 mL
5.00	2	Chlophedianol hydrochloride	5.00
1.32	3	Ephedrine hydrochloride (powder)	1.32
8.80	4	Ammonium chloride (reagent-grade granules)	8.80
0.80	5	Carbinoxamine maleate	0.80
0.90	6	Methylparaben	0.90
0.10	7	Propylparaben	0.10
6.25	8	Balsam of tolu (eq. aqueous extract)	6.25
2.66	9	Saccharin sodium (dihydrate powder)	2.66
319.22	10	Sucrose (granulated sugar)	319.22
238.33	11	Glucose liquid (corn syrup)	238.33
83.93	12	Sorbitol solution (calculate as 70% sorbitol crystals)	83.93
40.00	13	Alcohol	40.00
166.67	14	FD&C Red dye (Amaranth E123)	166.67 mg
0.80	15	Raspberry flavor	0.80
100.00	16	Propylene glycol	100.00
QS	17	HyFlo filter aid	0.50
QS	18	Water purified	~450.00 mL

MANUFACTURING DIRECTIONS

1. Place balsam of tolu and 25 mL of water in a steam bath.
2. Raise the temperature, stirring continuously in order to mix water with the balsam.
3. Boil for half an hour, and allow to decant while cooling.
4. Discard extracted balsam of tolu.
5. Filter the supernatant liquid through filter paper, and store apart.
6. Place 150 mL water in a jacketed mixing tank, and heat to boiling.
7. Add and dissolve parabens with mixing.
8. Add and dissolve sugar with constant mixing.
9. Heat to 70°C to 75°C.
10. Once sugar is dissolved, add glucose, sorbitol, and saccharin sodium. Mix well until dissolved.
11. Dissolve ammonium chloride in 28 mL water.
12. Add to mixing tank.
13. Add extract balsam of tolu from first step with mixing. Mix well, and cool to 25°C to 30° C.
14. Add and dissolve ephedrine and carbinoxamine in 20 mL water, and add to mixing tank. Mix well.
15. Add and dissolve chlophedianol in 50 g of propylene glycol, and add to mixing tank.
16. Add balance of propylene glycol to mixing tank.
17. Add and dissolve ipecac fluid extract and raspberry flavor in alcohol.
18. Add to mixing tank.
19. Dissolve dye in 5 mL water, and add to tank with continuous mixing.
20. Rinse container with 5 mL of water, and add rinsings.
21. Adjust to volume with purified water.
22. Add HyFlo filter aid to syrup, and mix well.
23. Recirculate through filter press or equivalent until sparkling clear.

CHLORHEXIDINE GEL**Bill of Materials**

Scale (mg/g)	Item	Material Name	Qty/kg (g)
20.00	1	Chlorhexidine diacetate	20.00
300.00	2	1,2-Propylene glycol (pharma)	300.00
220.00	3	Lutrol F 127	220.00
460.00	4	Water	460.00

MANUFACTURING DIRECTIONS

1. Dissolve chlorhexidine diacetate in propylene glycol at >70°C.
2. Stir well, and slowly add Lutrol F 127 and water.
3. Maintain the temperature until the air bubbles escape.
4. A clear, colorless gel is obtained.

CHLORHEXIDINE LOZENGES**Bill of Materials**

Scale (mg/lozenge)	Item	Material Name	Qty/1000 lozenges (g)
5.00	1	Chlorhexidine	5.00
150.00	2	Sorbitol (crystalline)	150.00
5.00	3	Kollidon® VA 64	5.00
5.00	4	Menthol (crystalline)	5.00
5.00	5	Eucalyptol (crystalline)	5.00
1.00	6	Aspartame, potassium	1.00
0.10	7	Saccharin sodium	0.10
2.00	8	Aerosil® 200	2.00
1.00	9	Magnesium stearate	1.00

MANUFACTURING DIRECTIONS

1. Mix all components, pass through a 0.8 mm sieve, and press with medium compression force.
2. Compress into 175 mg lozenge using 8 mm biplanar punches.

CHLORPHENIRAMINE TABLETS**Bill of Materials**

Scale (mg/tablet)	Item	Material Name	Qty/1000 Tablets (g)
4.00	1	Chlorpheniramine maleate	4.00
75.00	2	Starch 1500	75.00
65.62	3	Microcrystalline cellulose (50 µm)	65.62
2.96	4	Stearic acid	2.96
1.11	5	Fumed silica	1.11
0.37	6	Magnesium stearate	0.37

MANUFACTURING DIRECTIONS

1. Blend half of the Starch 1500 with the fumed silica and chlorpheniramine for 5 minutes.
2. Pass this mixture through a 40 mesh screen, and return to blender.
3. Add the remaining Starch 1500 to the material in step 1, and blend for 5 additional minutes.
4. Add the microcrystalline cellulose and stearic acid to the material from step 2, and blend for an additional 10 minutes.
5. Add the magnesium stearate to the material from step 3, and blend for an additional 5 minutes.

CHLORPHENIRAMINE MALEATE SYRUP

Bill of Materials			
Scale (mg/5 mL)	Item	Material Name	Qty/L (g)
2.00	1	Chlorpheniramine maleate	0.40
3000.00	2	Sucrose	600.00
4.50	3	Methylparaben	0.90
1.50	4	Propylparaben	0.30
1.00	5	Citric acid (monohydrate)	0.20
2.40	6	Sodium citrate	0.48
2.00	7	Green banana flavor	0.40
–	8	Purified water	QS to 1 L

MANUFACTURING DIRECTIONS

1. Add 500 g of purified water to the manufacturing vessel, and heat to 95°C to 98°C.
2. Add items 3 and 4 while mixing to dissolve at high speed.
3. Mix for 5 minutes.
4. Add item 2 while mixing at slow speed.
5. Maintain a temperature of 95°C to 98°C.
6. Mix for 1 hour at high speed.
7. Cool down to 30°C while mixing at slow speed.
8. Dissolve items 5 and 6 in 20 g of cooled purified water (25°C).
9. Transfer the solution to the manufacturing vessel while mixing at high speed.
10. Mix for 2 minutes.
11. Add 8 g of cold purified water (25–30°C) in a separate container, and dissolve item 1 by using stirrer.
12. Mix for 10 minutes, and transfer to the manufacturing vessel.
13. Rinse the container with 2 g of cooled purified water (25°C), and transfer the rinsings to the manufacturing vessel while mixing at high speed.
14. Add item 7 to the manufacturing vessel while mixing.
15. Mix for 10 minutes at high speed.
16. Bring the volume up to 1 L with purified water, and finally, mix for 15 to 20 minutes at high speed.
17. Check and record the pH (limit: 5.0–5.2 at 25°C).
18. If required, adjust pH with 10% citric acid or 10% sodium citrate solution.
19. Filter the syrup at 1.5 bar.
20. Bubble the syrup with nitrogen gas.

CHLORPHENIRAMINE AND PSEUDOEPHEDRINE CHEWABLE TABLETS

Bill of Materials			
Scale (mg/tablet)	Item	Material Name	Qty/1000 Tablets (g)
3.35	1	Chlorpheniramine maleate	3.35
100.00	2	Pseudoephedrine hydrochloride	100.00
396.65	3	Cab-o-Sil M5	396.65
200.00	4	Water	200.00

MANUFACTURING DIRECTIONS

1. Chlorpheniramine maleate and pseudoephedrine hydrochloride are mixed in the water until thoroughly dissolved.
2. Cab-o-Sil M5 (silicon dioxide) is poured into a planetary mixer, to which the dissolved drug solution is added and mixed at slow speed.
3. This is continued for 5 minutes until the solution and Cabo-Sil are completely mixed.
4. The mixture is dried in a forced hot air oven for 5 hours to an LOD of less than 2%.
5. Magnesium stearate is then added as a lubricant, and tartaric acid is added as an acidulant.
6. The excipients are then thoroughly mixed, and the entire composition is compressed into 1 g tablets, each one possessing a potency of 4 mg chlorpheniramine maleate and 120 mg pseudoephedrine hydrochloride.

CHLORPHENIRAMINE, PSEUDOEPHEDRINE, AND DEXTROMETHORPHAN CHEWABLE TABLETS

Bill of Materials			
Scale (mg/tablet)	Item	Material Name	Qty/1000 Tablets (g)
8.00	1	Chlorpheniramine maleate	8.00
120.00	2	Pseudoephedrine hydrochloride	120.00
60.00	3	Dextromethorphan hydrobromide	60.00
812.00	4	Cab-o-Sil M5	812.00
200.00	5	Water	200.00

MANUFACTURING DIRECTIONS

1. Chlorpheniramine maleate, dextromethorphan HBr, and pseudoephedrine hydrochloride are mixed in the water until thoroughly dissolved.
2. Cab-o-Sil M5 (silicon dioxide) is poured into a planetary mixer, to which the dissolved drug solution is added and mixed at slow speed.

- This is continued for 5 minutes until the solution and Cab-o-Sil are completely mixed.
- The entire composition is dried in a forced hot air oven for 7 hours at 50°C.
- The composition is dried to an LOD of 1.25%.
- The dried material is then screened through a No. 30 U.S. standard mesh screen.
- The excipients are added as mentioned before, and the blend is compressed into 1 g tablets, each one possessing a potency of 4 mg chlorpheniramine maleate, 60 mg pseudoephedrine hydrochloride, and 30 mg dextromethorphan HBr.

CHYMOTRYPSIN TABLETS

Bill of Materials			
Scale (mg/ tablet)	Item	Material Name	Qty/1000 Tablets (g)
27.00	1	Chymotrypsin, with excess	27.50
71.50	2	Ludipress®	71.50
1.00	3	Magnesium stearate	1.00

MANUFACTURING DIRECTIONS

- Mix all components. Pass through a 0.8 mm screen.
- Press with low compression force.
- Compress into 100 mg tablets using 8 mm biplanar punches.

CIMETIDINE TABLETS (200 MG)

FORMULATION

Cimetidine, 200 g; Ludipress®, 295 g; magnesium stearate, 5 g.

MANUFACTURING DIRECTIONS

- Pass the mixture through a 0.8 mm screen.
- Press with low compression force at 510 mg at low humidity (30%).

CIMETIDINE CHEWABLE TABLETS

MANUFACTURING DIRECTIONS

- Cimetidine premix granules: cimetidine, 200 mg; Eudragit E100, 20 mg; antacid (Al/Mg) granules sorbitol, direct compression grade, 590 mg; lactose, direct compression grade spray dried, 325 mg; lactose crystalline, 325 mg; dried aluminum hydroxide gel, 250 mg; magnesium hydroxide, 200 mg; croscarmellose sodium type A, 30 mg; magnesium stearate, 15 mg; total, 1735 mg.

- Tableting mix for compression: cimetidine, 220 mg; premix granules antacid (Al/Mg), 1735 mg; granules microcrystalline cellulose (Avicel™ PH102), 200 mg; aspartame, 10 mg; aniseed, 20 mg; butterscotch, 20 mg; magnesium stearate, 15.0 mg; total, 2220 mg.
- Add a 40% (w/w) solution of the Eudragit E100 in methylene chloride with mixing to the cimetidine and blend until granules are formed.
- Dry the resulting granules and then sieve through a 16 mesh screen.
- Sieve aluminum hydroxide, magnesium hydroxide, and other ingredients for the antacid granules through a 12 mesh (1.4 mm) screen and mix together.
- Compress the resulting mix on a rotary tablet press, and mill the resulting compacts using a 12 mesh screen.
- Place cimetidine granules, antacid granules, and extragranular excipients into a cone blender and mix thoroughly.
- Discharge the resulting mix from the blender and compress on a suitable rotary tablet press fitted with the appropriate punches.

CITRATE EFFERVESCENT POWDER

Bill of Materials			
Scale (mg/ tablet)	Item	Material Name	Qty/kg (g)
0.50	1	Oil lemon terpeneless	0.50
10.00	2	Lemon flavor (natural microseal)	10.00
QS	3	Alcohol dehydrated (absolute, doubly rectified)	6.50
440.33	4	Sodium bicarbonate	440.33
0.35	5	Saccharin sodium	0.35
157.50	6	Anhydrous sodium citrate	157.50
178.82	7	Anhydrous citric acid (powder)	178.82
222.50	8	Acid tartaric	222.50

MANUFACTURING DIRECTIONS

- All processing should be done in controlled humidity at a maximum RH of 40% at 25°C.
- Sodium citrate and citric acid are anhydrous.
- Dissolve lemon oil in dehydrated alcohol with stirring in a suitable container (delete this step if using powdered lemon flavor).
- Sift sodium bicarbonate, if necessary, through a 595 µm screen.
- Load into a suitable mixer and mix for 10 minutes.
- Very slowly add solution from first step to the mixer while mixing. Continue mixing for at least 10 minutes and up to a total of 30 minutes, depending on equipment.
- Screen the massed granulation mixture through a 595 µm screen and divide approximately in half.

8. Premix saccharin sodium into sodium citrate (and lemon powder, if used), and sift through a 595 μm screen or mill fitted with a 595 μm screen (knives forward, medium speed).
9. Sift both citric acid and tartaric acid separately through a 595 μm screen, or mill separately using a comminuting mill with a 595 μm aperture (knives forward, medium speed).
10. Load materials into a suitable blender, preferably in the following order: milled tartaric acid, milled citric acid, half of granulation mixture, milled saccharin sodium, sodium citrate, and remaining granulation mixture.
11. Blend for 20 minutes, and pack into double plastic bags inside fiber drums.
12. Provide silica gel protection to maintain low humidity in drums.
13. If blended material is lumpy, pass through a 1.2 mm screen before bagging.

CROSPROVIDONE EFFERVESCENT TABLETS

Bill of Materials			
Scale (mg/ tablet)	Item	Material Name	Qty/1000 Tablets (g)
1000.00	1	Crospovidone (micronized)	1000.00
150.00	2	Citric acid	150.00
25.00	3	Aerosil® 200	25.00
100.00	4	Sucrose (crystalline)	100.00
1.00	5	Saccharin sodium	1.00
QS	6	Water	QS
5.00	7	Magnesium stearate	5.00
125.00	8	Sodium bicarbonate	125.00
65.00	9	Flavor mixture	65.00

MANUFACTURING DIRECTIONS

1. Granulate mixture of items 1 to 5 with item 6, dry, and pass through a sieve.
2. Mix the dry granules with items 7 to 9, and press with medium compression force.
3. The dosage may be increased to 2000 mg crospovidone by increasing the tablet weight to 3200 mg.
4. Compress 1590 mg tablets using 20 mm diameter biplanar punches.

CROSPROVIDONE ORAL SUSPENSION (2000 MG/10 ML)

FORMULATION

Kollidon® CL-M, 20.0 g; sorbitol, crystalline, 10.0 g; Kollidon® 90F, 2.0 g; preservatives, QS; flavor, QS; water, 100 mL.

MANUFACTURING DIRECTIONS

1. Dissolve sorbitol, Kollidon® 90F, preservatives and flavors in the water. Add Kollidon® CL-M, and homogenize by shaking.

CROSPROVIDONE WATER-DISPERSIBLE TABLETS

Bill of Materials			
Scale (mg/ tablet)	Item	Material Name	Qty/1000 Tablets (g)
1000.00	1	Crospovidone M (BASF)	1000.00
50.00	2	Aerosil® 200	50.00
250.00	3	Sucrose (crystalline)	250.00
5.00	4	Saccharin sodium	5.00
2.00–3.00	5	Flavors	2.00–3.00
380.00	6	Water	380.00
5.00	7	Magnesium stearate	5.00

MANUFACTURING DIRECTIONS

1. Granulate mixture of items 1 to 5 with item 6, dry, and pass through a sieve.
2. Mix the dry granules with item 7 and press with low compression force.
3. The dosage may be increased to 2000 mg crospovidone by increasing the tablet weight to 2600 mg.
4. Compress 1280 mg tablets using 20 mm biplanar punches.

CYANOCOBALAMIN TABLETS

Bill of Materials			
Scale (mg/ tablet)	Item	Material Name	Qty/1000 Tablets (g)
50.00 μg	1	Cyanocobalamin; use gelatin-coated cyanocobalamin (0.1%)	50.00
150.00	2	Ludipress®	150.00
1.50	3	Magnesium stearate	1.50
2.00	4	Sicovit Quinoline lake, yellow	2.00
3.00	5	Sicovit Yellow lake, orange	3.00

MANUFACTURING DIRECTIONS

1. Prepare a premix of item 1 and 2 and add to items 3 to 5.
2. Pass through a 0.5 mm sieve and press with low compression force.
3. Compress into 209 mg tablets using 8 mm biplanar punches.

DEXPANTHENOL GEL CREAM

Bill of Materials			
Scale (mg/g)	Item	Material Name	Qty/kg (g)
50.00	1	Dexpantenol (BASF)	50.00
100.00	2	Liquid paraffin	100.00
150.00	3	Lutrol E 400	150.00
180.00	4	Lutrol F 127	180.00
QS	5	Water	QS to 1 kg

MANUFACTURING DIRECTIONS

1. Dissolve dexpantenol and Lutrol E 400 in water, add liquid paraffin, and stir, heating to 60°C to 70°C.
2. Slowly add Lutrol F 127, and stir until dissolved.
3. Cool to room temperature, stirring continuously until the air bubbles disappear.

DEXTROMETHORPHAN, PSEUDOEPHEDRINE, AND CHLORPHENIRAMINE MALEATE SYRUP

Bill of Materials			
Scale (mg/mL)	Item	Material Name	Qty/L (g)
2.00	1	Dextromethorphan hydrobromide	2.00
4.00	2	D-Pseudoephedrine hydrochloride	4.00
0.40	3	Chlorpheniramine maleate	0.40
25.00	4	Sorbitol syrup	25.00
0.20	5	Saccharin sodium	0.20
3.00	6	Hydroxyethyl cellulose (Natrosol™)	3.00
2.50	7	Sodium benzoate	2.50
1.05	8	Banana flavor	1.05
1.10	9	Custard flavor	1.10
1.20	10	Trisodium citrate dihydrate (powder)	1.20
QS	11	Deionized water	QS to 1 L

MANUFACTURING DIRECTIONS

1. In a suitable stainless steel vessel, combine sorbitol syrup, hydroxyethyl cellulose, and deionized water. Mix well.
2. Add sodium benzoate and stir again for 5 minutes.
3. After obtaining a clear solution, stir the hydroxyethyl cellulose suspension, rinse the container with deionized water, and transfer the rinsings to the vessel.
4. Heat the vessel to 40°C to 50°C and stir the mix for 1 hour.
5. After 1 hour, a clear gel without lumps is obtained.
6. Dilute the gel with sorbitol syrup, and cool to 30°C.
7. In a separate vessel, add deionized water, and heat while stirring to 50°C.
8. After this temperature is reached, dissolve, in this order, dextromethorphan hydrobromide,

chlorpheniramine maleate, and pseudoephedrine hydrochloride and saccharin sodium.

9. Cool the solution to 25°C.
10. In a suitable stainless steel container, add deionized water, and while stirring, dissolve trisodium citrate under 0.6 bar vacuum and high speed.
11. Transfer the active substance solution to the syrup vehicle.
12. Rinse the vessel twice with deionized water.
13. Add while stirring (low) the custard and banana flavors.
14. Mix for 10 minutes.
15. Then, while stirring, add the solution from the preceding step. Keep stirring for 15 minutes at moderate speed.
16. Stop stirring, and check pH (limit: 5.9–6.2). Adjust with 10% trisodium citrate solution. After each addition, where necessary, stir for 5 minutes before recording pH again.
17. Finally, make up the volume with deionized water, and stir once more for 15 minutes under vacuum (0.6 bar) at moderate speed.
18. Stop stirring, and remove vacuum. Check final volume once more.
19. Filter the clear syrup under compressed air pressure, first through a filter of 330 µm and then through a 20 µm filter of propylene type.

DIHYDROXYALUMINUM SODIUM CARBONATE TABLETS

Bill of Materials			
Scale (mg/tablet)	Item	Material Name	Qty/1000 Tablets (g)
31.00	1	Dihydroxyaluminum sodium carbonate (Giulini A 265)	31.00
61.50	2	Sugar	61.50
2.00	3	Magnesium stearate	2.00
15.00	4	Starch	15.00
QS	5	Flavor, sweetener	0.50

MANUFACTURING DIRECTIONS

1. Blend to mix and compress 110 mg in 6 mm punch.

DIMENHYDRINATE TABLETS

Bill of Materials			
Scale (mg/tablet)	Item	Material Name	Qty/1000 Tablets (g)
50.00	1	Dimenhydrinate	50.00
245.00	2	Ludipress®	245.00
5.00	3	Magnesium stearate	5.00

MANUFACTURING DIRECTIONS

1. Mix all components, sieve, and press with low compression force.
2. Compress into 300 mg tablets using 8 mm biplanar punches.

DIMENHYDRINATE TABLETS

Bill of Materials			
Scale (mg/ tablet)	Item	Material Name	Qty/1000 Tablets (g)
50.00	1	Dimenhydrinate	50.00
50.00	2	Cellulose (microcrystalline) (Avicel™ PH101)	50.00
125.00	3	Lactose	125.00
2.29	4	Croscarmellose sodium (Ac-Di- Sol, SD-711)	2.29
1.00	5	Fumed silicon dioxide	1.00
0.50	6	Stearic acid	0.50
0.50	7	Magnesium stearate	0.50

MANUFACTURING DIRECTIONS

1. Screen items 1,5, and 6 separately through a 40 mesh sieve.
2. Blend items 1, 2, 4, and 5 in a V-blender for 3 minutes.
3. Add item 3 in the blender and mix for 17 minutes.
4. Add item 6, and blend for 3 minutes.
5. Add item 7 to the blender and mix for 5 minutes.
6. Compress using 3/8 in. flat, beveled-edge punches to a hardness of 6 kp and average tablet weight of 228 mg.

DIMENHYDRINATE TABLETS

Bill of Materials			
Scale (mg/ tablet)	Item	Material Name	Qty/1000 Tablets (g)
100.00	1	Dimenhydrinate	100.00
40.00	2	Lactose monohydrate	40.00
40.00	3	Cornstarch	40.00
6.00	4	Kollidon® 90 F	6.00
30.00	5	Isopropanol	30.00
14.00	6	Kollidon® CL	14.00
16.00	7	Talc	16.00
2.00	8	Aerosil® 200	2.00
2.00	9	Calcium arachinate	2.00

MANUFACTURING DIRECTIONS

1. Granulate mixture of items 1 to 4 with item 5, dry, pass through a 0.8 mm sieve, mix with items 6 to 9, and press with low compression force.
2. Compress into 210 mg tablets using 9 mm biconvex punches.

DIMENHYDRINATE TABLETS (50 MG), DC**FORMULATION**

Dimenhydrinate, 50.0 g; Aerosil® 200, 4.0 g; Ludipress®, 140.0 g; Kollidon® CL, 2.0 g; magnesium stearate, 1.5 g.

MANUFACTURING DIRECTIONS

1. Mix dimenhydrinate with Aerosil® 200, add the other components, and sieve.
2. Press with low compression force at 202 mg.

DIPHENHYDRAMINE AND PSEUDOEPHEDRINE CHEWABLE TABLETS

Bill of Materials			
Scale (mg/ tablet)	Item	Material Name	Qty/1000 Tablets (g)
25.00	1	Diphenhydramine hydrochloride	25.00
60.00	2	Pseudoephedrine hydrochloride	60.00
415.00	3	Cab-o-Sil	415.00
200.00	4	Water	200.00

1. Mix diphenhydramine hydrochloride and pseudoephedrine hydrochloride in the water until thoroughly dissolved.
2. Pour Cab-o-Sil M5 (silicon dioxide) into a planetary mixer, to which add the dissolved drug solution and mix at slow speed.
3. Continued for 5 minutes until the solution and Cab-o-Sil are completely mixed.
4. Dry the entire composition in a forced hot air oven for 7 hours at 50° C.
5. Dry the composition to LOD 1%.
6. Screen the dried material through a No. 30 U.S. standard mesh screen and compress to give average weight of 1 g containing 50 mg diphenhydramine hydrochloride and 120 mg pseudoephedrine hydrochloride.

DIPHENHYDRAMINE HYDROCHLORIDE TABLETS

Bill of Materials			
Scale (mg/ tablet)	Item	Material Name	Qty/1000 Tablets (g)
25.00	1	Diphenhydramine hydrochloride	25.00
150.00	2	Calcium phosphate (dibasic)	150.00
20.00	3	Starch (StaRX 1500)	20.00
QS	4	PVP	QS
QS	5	Alcohol, USP	QS
75.00	6	Stearic acid (fine powder)	75.00
25.00	7	Cellulose (microcrystalline)	25.00
QS	8	Purified water, USP	QS

MANUFACTURING DIRECTIONS

1. In a planetary mixer, place diphenhydramine hydrochloride, calcium phosphate dibasic, and starch.
2. Mix for 5 to 10 minutes.
3. In a separate mixer, charge PVP, alcohol, and water in the ratio 1:50:40.
4. Moisten this mixture with solution from the previous step to granulate.
5. Record the volume used.
6. Pass the wet mass through a No. 14 mesh screen on dryer trays.
7. Dry the granulation at 48.8°C to 54.4°C, or use a fluid-bed dryer.
8. Pass the dried granules through a No. 20 mesh screen.
9. Charge dried granules to twin-shell blender and add stearic acid (previously passed through No. 30 mesh screen) and microcrystalline cellulose.
10. Mix for 5 to 7 minutes.
11. Compress to 300 mg tablets using a rotary press with 5/16 in. standard concave punches.

ECONAZOLE NITRATE AND BENZOYL PEROXIDE ANTIACNE CREAM

Bill of Materials			
Scale (mg/g)	Item	Material Name	Qty/kg (g)
200.00	1	PEG-6 and PEG-32 and glyceryl stearate (Tefose® 63)	200.00
30.00	2	Mineral oil	30.00
30.00	3	Apricot kernel oil PEG-6 esters (Labrafil® M 1944)	30.00
0.50	4	Sorbic acid	0.50
0.50	5	Sodium methylparaben	0.50
724.00	6	Deionized water	724.00
5.00	7	Benzoyl peroxide	5.00
10.00	8	Econazole nitrate	10.00

MANUFACTURING DIRECTIONS

1. Mix and heat items 1 to 6 together, and bring temperature to 75°C.
2. Allow to cool while stirring.
3. Add items 7 and 8 at 30°C, and mix well until uniform.

ECONAZOLE NITRATE AND BENZOYL PEROXIDE ANTIACNE LOTION

Bill of Materials			
Scale (mg/g)	Item	Material Name	Qty/kg (g)
50.00	1	PEG-6 stearate, cetech-20, and steareth-20 (Tefose® 2000)	50.00
30.00	2	Mineral oil	30.00
20.00	3	Cetyl alcohol	20.00
0.70	4	Sodium methylparaben	0.70
0.30	5	Sorbic acid	0.30
884.00	6	Deionized water	884.00
5.00	7	Benzoyl peroxide	5.00
10.00	8	Econazole nitrate	10.00

MANUFACTURING DIRECTIONS

1. Mix and heat items 1 to 3 together, and bring temperature to 75°C.
2. Allow to cool while stirring.
3. Mix items 4 to 6 and add to previous step while stirring.
4. Cool with stirring.
5. Add items 7 and 8 at 30°C while stirring.

EUCALYPTOL SOLUTION

Bill of Materials			
Scale (mg/ mL)	Item	Material Name	Qty/L (g)
80.00	1	Eucalyptol	80.00
40.00	2	Cremophor RH 40	40.00
QS	3	Preservative	QS
QS	4	Water	QS to 1 L

MANUFACTURING DIRECTIONS

1. Mix eucalyptol and cremophor at 65°C, stir well, and slowly add the warm solution of item 3 to produce a clear or slightly opalescent, colorless liquid.

EUCALYPTOL SOLUTION (8%)**FORMULATION**

1. Eucalyptol, 8.0 g; Cremophor RH 40 [1], 4.0 g.
2. Preservative, QS; Water, 100 mL.

MANUFACTURING DIRECTIONS

1. Mix eucalyptol and Cremophor at 65°C, stir well, and slowly add the warm solution 2.

EUCALYPTUS AND MINT EMULSION**Bill of Materials**

Scale (mg/ mL)	Item	Material Name	Qty/L (g)
427.50	1	Distilled water	427.50
375.00	2	Eucalyptamint	375.00
70.00	3	Sodium stearyl lactylate (Pationic® SSL)	70.00
35.00	4	PEG-20 hydrogenated lanolin (Supersat ANS4)	35.00
17.50	5	Ritasynt IP	17.50
80.00	6	Cetearyl alcohol, polysorbate 60, PEG-15 stearate, and steareth-20 (Ritachol 1000)	80.00

MANUFACTURING DIRECTIONS

1. Heat item 1 to 71°C.
2. Combine rest of the ingredients in another container, and heat to 71°C.
3. Slowly add water at 71°C, and mix for 1 hour.
4. Cool the mixture to 35°C to 45°C, and fill.

EUCALYPTUS AND MINT OINTMENT**Bill of Materials**

Scale (mg/g)	Item	Material Name	Qty/kg (g)
160.00	1	Menthol	160.00
40.00	2	Eucalyptus	40.00
800.00	3	Anhydrous lanolin, USP	800.00

MANUFACTURING DIRECTIONS

1. Mix lanolin until melted (approximately at 50°C), add remaining ingredients, and mix for 1 hour.
2. Fill hot.

FERROUS FUMARATE TABLETS**Bill of Materials**

Scale (mg/ tablet)	Item	Material Name	Qty/1000 Tablets (g)
200.00	1	Ferrous fumarate	200.00
295.00	2	Ludipress®	295.00
5.00	3	Magnesium stearate	5.00

MANUFACTURING DIRECTIONS

1. Mix all components. Pass through a 0.8 mm sieve.
2. Press with low compression force.
3. Compress into 509 mg tablets using 12 mm biplanar punches.

**FERROUS SULFATE, MANGANESE SULFATE,
AND COPPER SULFATE TABLETS****Bill of Materials**

Scale (mg/ tablet)	Item	Material Name	Qty/1000 Tablets (g)
65.00	1	Anhydrous ferrous sulfate	65.00
3.50	2	Manganese sulfate	3.50
0.16	3	Copper sulfate	0.16
70.00	4	Ludipress®	70.00
10.00	5	Kollidon® 30	10.00
2.00	6	Magnesium stearate	2.00
3.00	7	Aerosil® 200	3.00

MANUFACTURING DIRECTIONS

1. Pass all components through a 0.5 mm sieve, mix, and press with high compression force.
2. Compress into 149 mg tablets using 8 mm biplanar punches.

FERROUS SULFATE ORAL SOLUTION

Bill of Materials			
Scale (mg/5 mL)	Item	Material Name	Quantity/L (g)
75.00	1	Ferrous sulfate ^a	125.00
294.00	2	Sucrose	490.00
147.00	3	Maltitol solution (Lycasin® 80/55)	245.00
0.30	4	Citric acid (monohydrate)	0.50
0.90	5	Citric acid (monohydrate)	1.50
0.06	6	FD&C Yellow No. 6 (sunset yellow FCF)	1.00
3.12	7	Guarana flavor 12144-33	5.20
0.33	8	Potassium sorbate	0.55
0.30	9	Saccharin sodium	0.50
–	10	Purified water	QS to 1 L

^a Equivalent to 15 mg iron (Fe).

MANUFACTURING DIRECTIONS

- Bubble nitrogen throughout the process.
- Check and record pH of the purified water (limit: 5.0–6.5).
- Collect 166.67 g of purified water in mixer.
- Heat to 90°C to 95°C for 10 minutes.
- Add item 8. Stir to dissolve to a clear solution.
- Add item 2. Stir to dissolve to a clear solution.
- Add item 3. Stir for 10 minutes, and cool to 30°C to 35°C.
- Dissolve item 4 in 10 g of purified water (30–35°C), and add to first step.
- Dissolve item 9 in 10 g of purified water (30–35°C), and add to first step.
- Dissolve item 5 in 273.33 g of purified water (30–35°C).
- Then, add item 1 to the clear solution, and dissolve slowly without aeration.
- Add to mixer.
- Dissolve item 6 in 10 g of purified water (25–30°C), and add to first step.
- Add item 7 to first step.
- Mix at low speed for 10 minutes.
- Bring volume up to 1 L with purified water.
- Check and record pH (target: 2.20, limit: 1.95–5.15).
- Filter the drops with recirculation.
- Transfer the filtered drops to a storage vessel under an N₂ blanket.
- Use the nitrogen blanket in the tank throughout the storage and filling period.

FERROUS SULFATE ORAL SYRUP

Bill of Materials			
Scale (mg/5 mL)	Item	Material Name	Qty/L (g)
200.00	1	Ferrous sulfate ^a	40.00
3350.00	2	Sucrose	670.00
750.00	3	Maltitol solution (Lycasin® 80/55)	150.00
4.16	4	Citric acid (monohydrate)	833.20
8.33	5	Citric acid (monohydrate)	1.66
0.50	6	Color	0.10
15.50	7	Flavor	3.10
–	8	Purified water	QS to 1 L

^a Equivalent to 40 mg elemental iron.

MANUFACTURING DIRECTIONS

- Bubble nitrogen throughout the process.
- Heat 300 g of purified water to 95°C.
- Add item 2 while stirring at low speed.
- Dissolve to clear solution by stirring at 95°C.
- Add item 3.
- Stir at low speed, and cool to 25°C to 30°C.
- Dissolve item 4 in 17 g of item 8, and add to the first step.
- Dissolve item 5 in 180 g of purified water in a separate stainless steel container.
- Then, add item 1 to the clear solution, and dissolve slowly without aeration.
- Add to first step.
- Dissolve item 6 in 16 g of purified water, and add to the first step.
- Add item 7 to the first step.
- Mix at low speed for 10 minutes.
- Bring volume up to 1 L with purified water.
- Check and record pH (limit: 2–5).
- Filter the syrup at 1.5 bar.
- Recirculate approximately 100 to 150 mL of syrup.
- Use a nitrogen blanket in the tank throughout the storage period.

FERROUS SULFATE TABLETS

Bill of Materials			
Scale (mg/tablet)	Item	Material Name	Qty/1000 Tablets (g)
200.00	1	Anhydrous ferrous sulfate, with excess	203.00
185.00	2	Ludipress®	185.00
15.00	3	Kollidon® VA 64	15.00
4.00	4	Magnesium stearate	4.00
4.00	5	Talc	4.00
3.00	6	Aerosil® 200	3.00

MANUFACTURING DIRECTIONS

1. Mix all components, pass through a 0.8 mm sieve, and press to tablets with medium compression force.
2. Compress into 413 mg tablets using 8 mm biplanar punches.

FIR NEEDLE OIL SOLUTION**Bill of Materials**

Scale (mg/ mL)	Item	Material Name	Qty/L (g)
30.00	1	Fir needle oil (Frey & Lau)	30.00
50.00	2	Camphora	50.00
60.00	3	Cremophor RH 40	60.00
403.00	4	Ethanol (96%)	403.00
457.00	5	Water	457.00

MANUFACTURING DIRECTIONS

1. Mix the active ingredients with Cremophor RH 40, and heat to 50°C to 60°C.
2. Add the ethanol to the well-stirred solution; then, slowly add the warm water to produce a clear or slightly opalescent liquid.
3. The amount of Cremophor RH 40 required depends on the type of fir needle oil.

FOLIC ACID TABLETS**Bill of Materials**

Scale (mg/ tablet)	Item	Material Name	Qty/1000 Tablets (g)
5.00	1	Folic acid ^a	5.24
12.00	2	Maize starch (dried) ^b	12.00
5.26	3	Cellulose (microcrystalline) (Avicel™ PH102)	5.26
20.00	4	Cellulose (microcrystalline) (Avicel™ PH102)	20.00
1.50	5	Colloidal silicon dioxide (Aerosil® 200)	1.50
66.00	6	Lactose (spray-dried) ^c	66.00
2.50	7	Talc (fine powder)	2.50
2.50	8	Stearic acid (fine powder)	2.50

^a Extra folic acid is added (0.08 mg/tablet) to compensate water (water NMT 8.0%).

^b LOD: NMT 4.5% when dried at 120°C for 4 hours.

^c Meets the USP NF, except particle size distribution, as follows: minimum 98%, 250 µm; 30% to 60%, 100 µm; maximum 15%, 45 µm.

MANUFACTURING DIRECTIONS

1. Folic acid must be protected from exposure to direct light.
2. Sift items 1, 2, and 3 through a Fitz mill (impact forward, high speed), and collect in a stainless steel drum.
3. Load the material into a blender, and mix for 3 minutes.
4. Sift items 4 to 8 through a 500 µm sieve using a sifter, and collect in a stainless steel drum.
5. Load this sieved material into a blender.
6. Mix for 5 minutes.
7. Unload the lubricated powder into a stainless steel drum. Check for small lumps or globules in the powder mix.
8. If required, pass the entire mass through a 500 µm sieve using a sifter, and mix for 1 minute in a blender.
9. Compress into 1.15 g tablets (hardness: 3–7 kp) using 7 mm round flat punches.
10. For 1 mg tablets, compensate with lactose, and compress as in step 9.

FOLIC ACID TABLETS**Bill of Materials**

Scale (mg/ tablet)	Item	Material Name	Qty/1000 Tablets (g)
5.00	1	Folic acid	5.00
195.00	2	Ludipress®	195.00
1.50	3	Magnesium stearate	1.50

MANUFACTURING DIRECTIONS

1. Mix all components, pass through a 0.8 mm sieve, and press into tablets using medium compression force.
If the content uniformity does not meet the requirements, prepare a premix of the active ingredient with a small part of the Ludipress® or with lactose monohydrate before mixing with the other components of the formulation.
2. Compress into 213 mg tablets using 8 mm biplanar punches.

FOOT BATH

Bill of Materials			
Scale (mg/ mL)	Item	Material Name	Qty/L (g)
200.00	1	Polysorbate 20	200.00
2.50	2	Menthol	2.50
10.00	3	Alpha-bisabolol	10.00
20.00	4	Disodium undecylenamido MEA-sulfosuccinate	20.00
20.00	5	Perfume (menthol compatible)	20.00
QS	6	Deionized water	QS to 1 L
QS	7	Preservative, color	QS

MANUFACTURING DIRECTIONS

1. Predissolve menthol, alpha-bisabolol, and perfume in Polysorbate 20.
2. Add mixture to the water phase while stirring.
3. Stir until homogeneous, and then fill.

FOOT FRESHENER CREAM

Bill of Materials			
Scale (mg/ tablet)	Item	Material Name	Qty/L (g)
30.00	1	Alcohol and cetareth-20 (Cosmowax® EM5483)	30.00
30.00	2	Isopropyl myristate (Crodamol® IPM)	30.00
5.00	3	Cetyl esters (Crodamol® SS)	5.00
20.00	4	Oleyl alcohol	20.00
5.00	5	Propylene glycol	5.00
5.00	6	Carbopol 980	5.00
QS	7	Deionized water	QS to 1 L
300.00	8	Ethanol (DEB100)	300.00
2.00	9	Triclosan (Irgasan® DP300)	2.00
0.50	10	Menthol	0.50
4.00	11	Triethanolamine 99 (to give pH 6–7)	~4.00

MANUFACTURING DIRECTIONS

1. Preblend ethanol, irgasan, and menthol, and warm to 50°C.
2. Heat water and oil phases separately to 70°C.
3. Add the water phase to the oil phase while stirring.
4. Stir to cool, adding the preblend at 60°C. Adjust pH.

FOOT MOUSSE

Bill of Materials			
Scale (mg/g)	Item	Material Name	Qty/kg (g)
300.00	1	Ethanol (DEB100)	300.00
1.00	2	Menthol	1.00
QS	3	Deionized water	QS
20.00	4	Undecyleneamide DEA and diethanolamine	20.00
5.00	5	Cetrimonium bromide	5.00
10.00	6	PEG-75 and water	10.00
QS	7	Perfume, preservative, color	QS

MANUFACTURING DIRECTIONS

1. Dissolve menthol in ethanol.
2. Add remaining ingredients.
3. Pack into mechanical mousse applicator, such as the Kunststoff AG Supermatic foamer system, Airspray International BV jet foamers, or Calmar foamers.

GARLIC EXTRACT + THYME EXTRACT + VITAMIN C (300 MG + 25 MG + 100 MG)

FORMULATION

Garlic extract, granulated (Aflopa), 300 g; thyme extract, 25 mg; powder (Aflopa), 25 g; ascorbic acid, crystalline (BASF), 100 g; Kollidon® CL, 14 g; Ludipress®, 268 g; magnesium stearate, 7 g.

MANUFACTURING DIRECTIONS

1. Mix all components, pass through a 0.8 mm sieve, and press to tablets with medium compression force at 714 mg.

GARLIC TABLETS

Bill of Materials			
Scale (mg/ tablet)	Item	Material Name	Qty/1000 Tablets (g)
95.00	1	Calcium phosphate, dibasic	95.00
94.00	2	Lactose monohydrate	94.00
9.00	3	Kollidon® 30	9.00
25.00	4	Water	25.00
100.00	5	Dried garlic powder	100.00
2.00	6	Magnesium stearate	2.00

MANUFACTURING DIRECTIONS

1. Granulate mixture of items 1 and 2 with solution of items 3 and 4, pass through a 0.8 mm sieve, add items 5 and 6, and press with low compression force.
2. Compress 312 mg using 9 mm biconvex punches.

GINKGO EXTRACT TABLETS (40 MG)**FORMULATION**

Ginkgo biloba extract, dry powder, 240 g; Aerosil® (Biogen) 200, 1 g; Kollidon® CL, 4 g; Ludipress®, 203 g; magnesium stearate, 2 g.

MANUFACTURING DIRECTIONS

1. Mix the ginkgo extract with Aerosil 200, add the other components, pass through a 0.8 mm sieve, and press to tablets with low compression force at 254 mg.

GLYCERIN SUPPOSITORIES**Bill of Materials**

Scale (mg/ suppository)	Item	Material Name	Qty/1000 Suppositories (g)
1800.00	1	Glycerin (glycerol)	1800.00
0178.00	2	Sodium stearate	178.00
0099.00	3	Purified water	99.00

MANUFACTURING DIRECTIONS

1. The suppository mass is manufactured at a temperature of 120°C.
2. Care must be taken to see that molten suppository mass does not accidentally spill on the person.
3. The inside of the vessel should not be touched with the bare hand, as it is at a temperature of 120°C.
4. Sodium stearate powder is light and fluffy; avoid inhaling the dust.
5. Load item 1 into the mixer, and heat to 120°C ± 2°C while stirring at low speed.
6. Load item 2 into the mixer containing item 1.
7. Mix until complete solubilization is achieved.
8. Cool to 105°C ± 2°C.

9. Add item 3 slowly to the mixer containing the mass while stirring.
10. Mix for 20 minutes.
11. Immediately transfer the hot mass to the heated storage vessel or heated vessel of a Sarong SAAS suppository-filling machine.
12. Check the temperature; it should be 105°C ± 2°C.
13. Fill weight: 2077 mg per suppository.

GLYCERIN SUPPOSITORIES FOR CHILDREN**Bill of Materials**

Scale (mg/ suppository)	Item	Material Name	Qty/1000 Suppositories (g)
900.00	1	Glycerin (glycerol) (0.06% excess)	900.50
089.00	2	Sodium stearate	89.00
049.50	3	Purified water	49.50

MANUFACTURING DIRECTIONS

1. Fill weight: 1039 mg per suppository.
2. See manufacturing directions for glycerin suppositories.

GLYCOL FOAM (NONAQUEOUS)**Bill of Materials**

Scale (mg/g)	Item	Material Name	Qty/kg (g)
40.00	1	Polawax A31	40.00
710.00	2	Propylene glycol	710.00
150.00	3	Ethanol DEB100	150.00

MANUFACTURING DIRECTIONS

1. Dissolve Polawax in propylene glycol/ethanol.
2. Pack into containers, and pressurize.
3. Ethanol may be omitted if desired.
4. In aerosol pack, 90% concentrate and 10% propellant 12/114 may be used.
5. Propylene glycol is a suitable vehicle for glycol-soluble medicaments.
6. This formulation provides a mousse for such a system.

GUAIFENESIN, PSEUDOEPHEDRINE, CARBINOXAMINE, AND CHLOPHEDIANOL DROPS

Bill of Materials			
Scale (mg/mL)	Item	Material Name	Quantity/L (g)
20.00	1	Guaifenesin	20.00
400.00	2	Sucrose	400.00
240.00	3	Glucose liquid	240.00
120.00	4	Sorbitol solution	120.00
3.00	5	Saccharin sodium	3.00
2.50	6	Sodium benzoate (powder)	2.50
30.00	7	Pseudoephedrine hydrochloride	30.00
1.00	8	Carbinoxamine maleate	1.00
6.60	9	Chlophedianol hydrochloride	6.60
105.00	10	Red E123 (Amaranth)	0.105
3.75	11	FD&C Blue No. 1	3.75 mg
QS	12	Acid, hydrochloric	QS
50.00	13	Menthol crystals	50.00 mg
2.75	14	Flavors	2.75
65.00	15	Orange oil terpeneless	65.00 mg
5.66	16	Alcohol (190 proof)	5.66
QS	17	HyFlo filter aid	0.526
QS	18	Purified water	~420.00

MANUFACTURING DIRECTIONS

1. Charge 260 mL purified water into a suitable tank.
2. Begin heating water to 70°C to 80°C while adding guaifenesin and sucrose with stirring.
3. Continue stirring to dissolve ingredients.
4. Remove heat. Add glucose liquid and sorbitol to solution from preceding step with stirring.
5. Add saccharin sodium, sodium benzoate, pseudoephedrine hydrochloride, carbinoxamine maleate, and chlophedianol hydrochloride to solution from preceding step.
6. Stir well to dissolve all ingredients.
7. Dissolve Red E123 and FD&C Blue No. 1 in 10 mL warm purified water.
8. Add dye solution to solution from preceding step with stirring.
9. Cool solution to 30°C to 35°C.
10. QS to 975 mL using purified water. Mix well.
11. Adjust to pH 4.25 (range: 4.0–4.5) with hydrochloric acid (approximately 0.65 g/L of drops).
12. Stir well after each addition of acid.

13. Dissolve menthol, flavors, and orange oil in alcohol. Add mixture to solution from previous step with good stirring.
14. Stir the solution slowly for 2 hours.
15. Allow to stand overnight to cool and remove entrapped air.
16. QS to 1 L with purified water. Stir well.
17. Add HyFlo filter aid to solution, and mix well.
18. Recirculate through filter press or equivalent until sparkling clean.

GUAIFENESIN TABLETS

MANUFACTURING DIRECTIONS

1. Inner tablet: guaifenesin, 175.0 mg; microcrystalline cellulose, 35.1 mg; crospovidone, 35.0 mg; PVP, 7.3 mg; talc, 2.3 mg; zinc stearate, 2.3 mg; total, 257 mg.
2. Outer tablet: guaifenesin, 425.0 mg; hydroxypropylmethylcellulose K4M, 139.9 mg; stearic acid, 30.0 mg; zinc stearate, 5.4 mg; total, 600.3 mg.
3. Make the inner tablet by oscillating guaifenesin and half of the PVP through a 30 mesh screen.
4. Transfer the blend to a pharmaceutical-grade blender and mix until it is of uniform consistency.
5. Granulate it with PVP that had been previously dissolved in a sufficient amount of purified water to make a solution of approximately 8% to 12% of PVP.
6. Discharge this mixture and dry in a forced air oven at 40°C until the water content is less than 1%.
7. Oscillate the dried granulation through a 12 mesh screen and return to the blender.
8. Add the remaining PVP, microcrystalline cellulose, and talc to this dried granulation and mix until it is of uniform consistency.
9. Finally, add zinc stearate, and mix the mixture until it is of uniform consistency.
10. Compress this mixture into inner tablets using a standard tableting press.
11. Make the outer tablet by first passing guaifenesin through an oscillator equipped with a 30 mesh screen.
12. After this step, transfer guaifenesin to a blender, and hydroxypropylmethylcellulose K4M and stearic acid are added to it. It is mixed until uniform.
13. Add zinc stearate and blend the mixture until uniform.
14. Compress the mixture of ingredients that comprise the outer tablet around the already formed inner tablet on a standard compression coating tablet press.

GUAIFENESIN TABLETS

Bill of Materials			
Scale (mg/ tablet)	Item	Material Name	Qty/1000 Tablets (g) Percent (w/w)
69.77	1	Guaifenesin USP	69.77
16.00	2	Starch 1500	16.00
9.48	3	Microcrystalline cellulose NF	9.48
4.00	4	Starch 1500	4.00
0.50	5	Stearic acid NF	0.50
0.25	6	Magnesium stearate	0.25
100.00	7	Total	100.00

MANUFACTURING DIRECTIONS

1. Granulation: Preblend items 1 and 2 for 2 minutes prior to granulating with water to appropriate moisture.
2. Wet mass for 3 minutes.
3. Size the granulation.
4. Pass lubricant through a 60 mesh screen prior to blending.
5. Pass colloidal silicon dioxide through a 30 mesh screen along with the MCC.
6. Blend all the ingredients, except the lubricant, for 10 minutes.

HEMORRHOID CREAM

Bill of Materials			
Scale (mg/g)	Item	Material Name	Qty/kg (g)
20.00	1	Lanolin alcohol (Ivarlan 3310)	20.00
448.00	2	Petrolatum	448.00
450.00	3	Petrolatum amber	450.00
30.00	4	Shark liver oil	30.00
10.00	5	Live yeast cell derivative (Biodyne's TRF)	10.00
10.00	6	Deionized water	10.00
20.90	7	Lanolin	20.90
1.00	8	Thyme oil	1.00
0.10	9	Phenyl mercuric nitrate	0.10

MANUFACTURING DIRECTIONS

1. Mix and heat items 1 to 4 to 70°C. Cool to 50°C, and hold.
2. Separately combine items 5 to 7, heat to 40°C, and mix until homogeneous dispersion is achieved. With rapid mixing, add this mixture to previous mixture. Mix again, and cool to 40°C. Add items 8 and 9.
3. Continue mixing while cooling to 35°C.

HERBAL HEMORRHOID TABLETS

MANUFACTURING DIRECTIONS

1. Initially, wash genera *Glycyrrhizae radix*, *Rhei rhizoma*, *Ephedrae herba*, *Moutan radialis cortex*, *Menthae herba*, *Pinelliae rhizoma*, *Pasoniae radix*, *Aconiti tuber*, *Corni fructus*, gypsum, *Ginseng radix*, and *Pelladendri radix*, respectively, with water to remove sand, clay, dust, and the like.
2. Clean and dry these natural substances to a moisture content of approximately 5%.
3. Cut 168 g of *G. radix*, 104 g of *R. rhizoma*, 104 g of *E. herba*, 168 g of *M. radialis cortex*, 104 g of *M. herba*, 168 g of *P. rhizoma*, 56 g of *P. radix*, 56 g of *A. tuber*, 56 g of *C. fructus*, 168 g of *G. radix*, and 104 g of *P. radix* into a particle size of approximately 1 cm and mix together.
4. To this mixture add 104 g of *Testudinis carapax*, 56 g of *Natrii sulfas*, 168 g of gypsum, 56 g of cinnabaris, and 256 g of talcum.
5. Thereafter, place this mixture in an extractor with an aromatic vapor collector.
6. Add 12 L of water to approximately 2 kg of the mixture in the extractor.
7. Heat the mixture in the extractor to approximately 80°C for 1 hour and then extract.
8. Filter the aqueous mixture first in a centrifugal separator and then again in a microfilter.
9. Condense the aromatic vapor distilled from the aqueous mixture and add as an aromatic liquid to the filtrate.
10. Evaporate the filtrate through an automatic vacuum evaporator to a moisture content of approximately 30% to produce an extract, which is useful as an antihemorrhoidal composition in extract form.
11. At this time, dry the concentrated liquid through a dry sprayer to produce a granulated formulation, a tablet formulation, a pill formulation, an ointment formulation, or the like, for use as an antihemorrhoid medicine.

HORSETAIL EXTRACT TABLETS

Bill of Materials			
Scale (mg/ tablet)	Item	Material Name	Qty/1000 Tablets (g)
450.00	1	Horsetail extract (powder), with excess	456.00
14.00	2	Kollidon® VA 64	14.00
5.00	3	Lutrol F 68	5.00
QS	4	Isopropanol	~120.00
14.00g	5	Kollidon® CL	14.00
QS	6	Magnesium stearate	QS

MANUFACTURING DIRECTIONS

1. Granulate the extract (item 1) with solution of items 2 to 4, dry, pass through a 0.8 mm sieve, mix with items 5 and 6, and press with high compression force.
2. Compress 489 mg using 12 mm biplanar punches.

HYDROCORTISONE AQUEOUS GEL

Bill of Materials			
Scale (mg/g)	Item	Material Name	Qty/kg (g)
10.00	1	Hydrocortisone acetate	10.00
100.00	2	Lutrol E 400	100.00
50.00	3	Cremophor RH 40	50.00
5.00	4	Carbopol 940 (Goodrich)	5.00
495.00	5	Water	495.00
QS	6	Preservative	QS
260.00	7	Water	260.00
8.00	8	Triethanolamine	8.00
–	9	Water	7.20

MANUFACTURING DIRECTIONS

1. Heat item 6 in item 7 to 80°C, prepare a solution of items 3 and 4 in item 5, and add to the solution of preservative.
2. Add and suspend item, and mix.
3. Prepare a solution of item 8 in item 9, add to the previous solution at 70°C, and cool to form gel.

HYDROCORTISONE AQUEOUS GEL

Bill of Materials			
Scale (mg/tablet)	Item	Material Name	Qty/1000 Tablets (g)
10.00	1	Hydrocortisone acetate	10.00
150.00	2	Cremophor A 25	150.00
20.00	3	Cremophor RH 40	20.00
QS	4	Preservative	QS
640.00	5	Water	640.0000

MANUFACTURING DIRECTIONS

1. Suspend item 1 in a mixture of items 2 and 3 at 70°C.
2. Prepare solution of item 4 by heating item 5 to 70°C, and add it slowly to the hot item 4.
3. Continue to stir until the gel is cool to form clear, colorless gels.

HYDROCORTISONE CREAM

Bill of Materials			
Scale (g/100 g)	Item	Material Name	Qty/kg (g)
1.00	1	Hydrocortisone, micronized (3% excess)	10.30
6.00	2	Propylene glycol	60.00
0.10	3	Chlorocresol	1.00
5.00	4	Mineral oil (liquid paraffin)	50.00
2.00	5	Poloxyl 20 cetostearyl ether (Cetomacrogol 1000)	20.00
8.00	6	Cetostearyl alcohol	80.00
18.00	7	Petrolatum (white soft paraffin)	180.00
0.29	8	Monobasic sodium phosphate	2.90
0.035	9	Propylparaben	0.35
0.10	10	Methylparaben	1.00
59.60	11	Purified water	596.00

MANUFACTURING DIRECTIONS

1. Load 10 g of item 5 and items 4, 6, and 7 in fat-melting vessel.
2. Heat to 70°C to 75°C while stirring.
3. Cool down the temperature to 65°C.
4. Maintain temperature at 65°C to 70°C.
5. Heat item 11 to 90 °C in mixer.
6. Dissolve items 9 and 10 to a clear solution by stirring.
7. Cool down the temperature to 65°C.
8. Maintain temperature to 65°C to 70°C.
9. Add 10 g of item 5 and items 3 and 8 to the parabens solution to dissolve.
10. Mix for 15 minutes.
11. Maintain temperature at 65°C to 70°C.
12. Transfer oil phase to the aqueous phase in mixer vessel through mesh under vacuum while stirring at manual mode (10 rpm) at a temperature of 60°C.
13. Homogenize at high speed.
14. Maintain temperature of 60°C.
15. Vacuum at 0.4 bar for 10 minutes.
16. Cool temperature to 45°C.
17. Mix item 1 in 48 g of item 2 in a separate container at 45°C using homogenizer to make slurry.
18. Add to the dispersed phase while mixing at 10 rpm, and keep temperature at 45°C.
19. Rinse the container with 12 g of item 2, and add to the dispersed phase.
20. Mix and homogenize under vacuum at 0.4 bar for 10 minutes, low speed (10 rpm), at a temperature of 45°C.
21. Cool the temperature to 30°C while mixing at 10 rpm in automode under a vacuum of 0.4 bar.

HYDROCORTISONE CREAM

Bill of Materials			
Scale (mg/g)	Item	Material Name	Qty/kg (g)
70.00	1	Cetylstearyl alcohol	70.00
15.00	2	Cremophor A 6	15.00
15.00	3	Cremophor A 25	15.00
120.00	4	Liquid paraffin	120.00
2.00	5	Paraben	2.00
688.00	6	Water	688.00
80.00	7	Propylene glycol	80.00
10.00	8	Hydrocortisone	10.00

MANUFACTURING DIRECTIONS

1. Heat the mixture of items 1 to 5 and the water separately to approximately 80°C.
2. Add the water to the obtained solution of items 1 to 5 with vigorous stirring.
3. Heat items 7 to 8 until the active ingredient is dissolved, mix with step 2, and continue to stir while cooling to room temperature to produce a white cream.

HYDROCORTISONE ETHANOLIC GEL

Bill of Materials			
Scale (mg/g)	Item	Material Name	Qty/kg (g)
5.00	1	Hydrocortisone acetate	5.00
60.00	2	Cremophor RH 40	60.00
9.00	3	Triethanolamine	9.00
76.00	4	Water	76.00
600.00	5	Ethanol, 96%	600.00
5.00	6	Carbopol 940 (Goodrich)	5.00
245.00	7	Water	245.00

MANUFACTURING DIRECTIONS

1. Prepare solution of items 6 and 7, and mix slowly with solution of items 1 to 5 to produce a clear, colorless gel.

HYDROCORTISONE OINTMENT

Bill of Materials			
Scale g / 100 g	Item	Material Name	Qty/kg (g)
1.00	1	Hydrocortisone, micronized (6% excess)	10.60
91.50	2	Petrolatum (white soft paraffin)	915.00
7.00	3	Mineral oil (liquid paraffin)	70.00
0.50	4	Sorbitan sesquioleate (Arlacel 83)	5.00

MANUFACTURING DIRECTIONS

1. Melt items 2 and 4 at 75°C in fat-melting vessel.
2. Start heating mixer vessel to 75°C.
3. Transfer molten items from first step to mixer through stainless steel mesh under vacuum at 0.4 to 0.6 bar.
4. Start mixer at 10 rpm in manual mode.
5. Cool down to 50°C.
6. Disperse item 1 in 60 g of item 3 using a spatula in a water bath at 60°C.
7. Homogenize for 6 minutes using homogenizer.
8. Add this to mixer while mixing.
9. Rinse the homogenizer and container with 10 g of item 3, and transfer the rinsings to the mixer.
10. Homogenize the dispersion under vacuum at 0.4 to 0.6 bar while stirring at 10 rpm in homogenizer at high speed for 10 minutes.
11. Cool the temperature to 30°C using a mixer speed 10 rpm and vacuum of 0.4 to 0.6 bar in automode.
12. Transfer the ointment to stainless steel container.

HYDROGEN PEROXIDE BLEACHING DENTIFRICE PASTE**MANUFACTURING DIRECTIONS**

1. Add to 50 g purified water, 1.5 g of emulsifier Carbopol 934/PVP in 75:25 ratio, and dissolve with gradual stirring.
2. To the mixture, 20 mL of hydrogen peroxide (50%) add and mix for an additional 5 to 10 minutes.
3. Adjust the acid composition to between pH 5.5 and 6.5 with 10% NaOH.
4. The composition thickens to a gel; set aside.
5. In a separate vessel, add 210 g of methyl methacrylate crosspolymer GMX-0610 obtained from Perspore Corp.
6. In another separate vessel, prepare the continuous phase of the invention, comprising the following ingredients: weight%, sodium fluoride, 1.05; propylene glycol, 24.10; sodium lauryl sulfate, 5.04; water, 43.40; vinyl pyrrolidone/acrylic acid,^a 1.02; hydroxyethyl cellulose, 2.01; glycerin, 18.85; sodium saccharin, 0.47; flavor, 2.76; sodium benzoate, 0.55; benzoic acid, 0.06; sodium EDTA, 0.14; sodium hydroxide (10% solution), 0.55. ^aDry blend copolymer containing 25% vinyl pyrrolidone and 75% Carbopol.
7. The vinyl pyrrolidone in the mixture delays the solubility of the emulsion further than Carbopol alone.
8. After the bleaching composition (step 1) has been prepared to the desired consistency, add 50 g of this composition to 50 g of the water-insoluble abrasive suspension (step 2). Disperse the two immiscible phases in each other in an intimate mixture and then, with the aid of the colloidal mill, agitate until an extremely fine homogeneous dispersion is obtained.

9. Add 100 g of the dispersion so obtained to 50 g of the continuous phase (step 3), mix the two phases in a colloidal mill. The resultant composition comprises the discontinuous phases (step 1) dispersed homogeneously throughout the continuous phase (step 2) and (step 3) of the present invention.
10. The final formulation is expressed as weight in percentage as follows: water, purified, 15.75; methyl methacrylate crosspolymer GMX-0610, 53.71; hydrogen peroxide, 10.00; Carbopol 934, 0.37; hydroxyethyl cellulose, 0.73; sodium fluoride, 0.38 (0.17% F ions); sodium lauryl sulfate, 1.83; propylene glycol, 8.75; glycerin, 6.84; sodium saccharin, 0.17; sodium benzoate, 0.20; benzoic acid, 0.02; sodium EDTA, 0.05; flavor, 1.00; sodium hydroxide (10%), QS (pH 6.5), 0.20.
11. Carbopol in this composition sufficiently retards the dissolution of the emulsified hydrogen peroxide to allow the abrasive agent methyl methacrylate crosspolymer GMX-0610 to remove the dental plaque and pellicles from the enamel surface and thus allow the bleaching active hydrogen peroxide to diffuse through the plaque-free enamel with ease.

IBUPROFEN FAST-DISSOLVE TABLETS

Bill of Materials			
Scale (mg/ tablet)	Item	Material Name	Qty/1000 Tablets (g)
100.00	1	Ibuprofen coated (equivalent of ibuprofen)	121.90
11.00	2	Citric acid	11.00
3.90	3	Magnasweet 135	3.90
6.50	4	Aspartame	6.50
7.80	5	Cherry flavor	7.80
39.00	6	Croscarmellose sodium	39.00
1.95	7	Silicon dioxide	1.95
3.25	8	Magnesium stearate	3.25
457.90	9	Fast-dissolving granulation (see manufacturing directions)	457.90

MANUFACTURING DIRECTIONS

1. Make a fast-dissolving granulation by combining 400 g of melted PEG-900 with fructose powder (100 g) in a planetary mixer (low shear mixer) and mixing until the granules form.
2. Allow the granulations to cool and then screen.
3. Screen ingredients are screened and then mix in a V-blender.

4. Compress tablets (653.7 mg) at 600 lb (approximately 2.7 kN).
5. The tablets should have hardness of 0.2 to 0.5 kp and disintegrate in less than 15 seconds.

IBUPROFEN-COATED FAST-CRUMBLING GRANULE TABLETS

Bill of Materials			
Scale (mg/ tablet)	Item	Material Name	Quantity/1000 Tablets (g)
200.00	1	Ibuprofen	200.00
16.00	2	Sodium croscarmellose (AGG)	16.00
27.50	3	Aspartame	27.50
12.20	4	Precipitated silica	12.20
35.00	5	Ethylcellulose	35.00
8.00	6	Hypromellose	8.00
1.33	7	Sodium (AGM) croscarmellose	1.33
	8	Pharmacoat® 606	

MANUFACTURING DIRECTIONS

1. Obtain a suspension by mixing ethylcellulose, 80% precipitated silica, and 30% aspartame in ethyl alcohol until a homogeneous suspension is obtained.
2. Fluidize the powder mixture consisting of ibuprofen, item 7, 70% aspartame, and 20% precipitated silica.
3. Start the granulation by spraying the mixture for approximately 15 to 20 minutes at a spraying rate of 25 g/min and a suspension atomization pressure of 0.8 bar.
4. Perform the actual coating by spraying the remainder of the mixture over approximately 1 hour 30 minutes at a spraying rate of 15 to 20 g/min and a suspension atomization pressure of 1.5 bar.
5. Spray 15% of the mixture during the granulation step, and spray the remainder to 100% during the coating step.
6. Formulate the granules obtained as fast-crumbling multiparticulate tablets, the composition of which is as follows: coated granules, 300 mg; mannitol, 344 mg; sodium croscarmellose, 21 mg; precipitated silica, 7 mg; aspartame, 20 mg; mint flavoring, 4 mg; magnesium stearate, 4 mg.

IBUPROFEN PEDIATRIC SUSPENSION**Bill of Materials**

Scale (mg/ 5 mL)	Item	Material Name	Qty/L (g)
100.00	1	Ibuprofen, low-density ^a	20.00
3000.00	2	Sucrose	600.00
10.00	3	Sodium benzoate	2.00
5.00	4	Saccharin sodium	1.00
5.00	5	Edetate disodium (sodium EDTA)	1.00
500.00	6	Glycerin (glycerol)	100.00
500.00	7	Sorbitol (70% solution)	100.00
10.00	8	Xanthan gum (Keltrol®-F)	2.00
20.00	9	Microcrystalline cellulose (Avicel™ RC-591)	4.00
5.00	10	Polysorbate 80 (Tween 80)	1.00
8.50	11	Citric acid	1.70
1.35	12	FD&C Red No. 40	0.27
7.50	13	Mixed fruits flavor	1.50
5.00	14	Strawberry flavor	1.00
QS	15	Purified water	QS to 1 L

^a Meets USP criteria with the following additional requirements: 100% particle size less than 50 µm and tapped density of 0.3 to 0.4 g/mL.

MANUFACTURING DIRECTIONS

- Heat 302 g of item 15 to 90°C, and dissolve item 2 while mixing in mixer.
- Cool to approximately 50°C.
- Add items 3, 4, 5, 7, and 11 to mixer while mixing, and dissolve.
- Filter the syrup through Seitz Supra 2600 filters in clean stainless steel tank.
- In a clean stainless steel vessel, dissolve item 10 in 35 g of item 15 (40°C).
- Add item 1 slowly while mixing with stirrer.
- Mix for 30 minutes to make uniform dispersion.
Caution: Avoid excessive foaming.
- Disperse items 8 and 9 in item 6 in a clean and dry stainless steel container using stirrer.
- Add 75 g of hot item 15 (70–90°C) at once while mixing.
- Mix for 20 minutes to make a homogeneous smooth mucilage.
- Add approximately 500 g syrup, ibuprofen dispersion, and mucilage to the mixer.
- Rinse the containers of ibuprofen dispersion and mucilage with 50 g of item 15 (40°C).
- Add the rinsings to the mixer.
- Set the mixer: temperature, 25°C; speed, 18 rpm; and manual mode vacuum, 0.5 bar.
- Mix for 3 minutes at low homogenizer speed.
- Mix for 2 minutes at high homogenizer speed. Check the suspension for uniformity of dispersion.

- Homogenize for additional 3 minutes at high speed, if required.
- Add the balance of the syrup (approximately 507.6 g) from previous step to the mixer.
- In a separate container, dissolve item 12 in 6 g of cooled item 15 (40°C), and transfer to the mixer.
- Add items 13 and 14 to the mixer.
- Set the mixer: temperature, 25°C; speed, 18 rpm; manual mode vacuum, 0.5 bar.
- Mix for 15 minutes.
- Mix for 5 minutes at low homogenizer speed.
- Mix for 5 minutes at high homogenizer speed.
- Check the suspension for uniformity.
- Adjust the final volume to 1 L by using purified water.

IBUPROFEN CHEWABLE TABLETS**MANUFACTURING DIRECTIONS**

- Dissolve PVAP and PVP-K90, equivalent to a 2:1 weight ratio, in minimum volumes of an aqueous ammonium hydroxide solution (28% v/v) and water, respectively, and then mix.
- In the resulting mixture, dissolve ibuprofen, equal to the amount of PVAP used, and then add 0.1 N HCl solution dropwise until the pH of the solution is 1.
- Filter the white solid precipitate, wash with water, and then vacuum dry.
- Use the entrapped granules containing 39.06% ibuprofen in the preparation of tablets.
- Accurately weigh appropriate amounts of the granules and the cherry vehicle, corresponding to 200 mg of ibuprofen per 668 mg of tablet, and then mix and compress tablets.

IBUPROFEN SUSTAINED-RELEASE BILAYER TABLETS**MANUFACTURING DIRECTIONS**

- Immediate-Release Layer Composition
 - Part I: Ibuprofen USP, 160.0 mg; microcrystalline cellulose NF, 32.0 mg (Avicel™ PH 101); starch NF, 32.0 mg; pregelatinized starch NF (Starch 1500), 16.0 mg; sodium starch glycolate NF, 6.4 mg.
 - Part II: Hydroxypropylmethylcellulose 2910 USP (Methocel™ E-5), 1.6 mg; purified water USP, QS.
 - Part III: Sodium starch glycolate NF, 1.6 mg; (Explotab) colloidal silicon dioxide NF, 0.8 mg; total, 250.4 mg.
 - Weigh the components of part I, and preblend them in a high-shear mixer (Fielder: impeller speed of approximately 118 rpm for 3 minutes).

5. Prepare the granulating agent (part II) by dissolving the hydroxypropylmethylcellulose 2910 USP into the purified water USP (a ratio of 3.2 g of hydroxypropylmethylcellulose to 200 g water).
 6. Deliver the granulating agent to the powders of part I in the high shear mixer.
 7. Granulate the mixture for 20 minutes (Fielder: impeller speed of approximately 118 rpm).
 8. Remove the completed wet granulation from the high-shear mixer, and load into the product bowl of a fluid-bed apparatus (e.g., Aeromatic or Glatt).
 9. With an inlet air temperature of approximately 60°C, dry the granulation to a moisture level of 0.5% to 1.1% as determined by LOD (e.g., Computrac). The wet granulation can also be dried on trays in drying ovens.
 10. Sieve the dried granulation (e.g., Glatt Quick Sieve: Stator No. 3, Screen No. 1, 5 mm, 1000 rpm). Other machines, such as a Fitzpatrick Communion Mill, can be used.
 11. Blend the sieved and dried granulation with the powders of part III using a suitable mixer, such as a twin-shell, ribbon, or planetary mixer.
2. Sustained-Release Layer
 1. Povidone USP (Plasdone K 29/32), 14.7 mg; alcohol USP and purified water USP (QS); 1:1 mixture.
 2. Pregelatinized starch NF (Starch 1500 LM), 0.8 mg; microcrystalline cellulose NF (Avicel™ PH101), 7.3 mg; magnesium stearate NF, 5.0 mg; colloidal silicon dioxide NF (Cab-O-Sil), 5.0 mg, total, 523.3 mg; total tablet weight, 773.7 mg.
 3. Weigh the components of part I, and preblend them in a high-shear mixer (Fielder: impeller speed of approximately 250 rpm for 1 minute).
 4. Prepare the granulating agent (part II) by dissolving Povidone USP in a 1:1 mixture of alcohol USP and purified water USP (a ratio of 12.25 g of povidone to 100 g of alcohol/water).
 5. Spray the granulating agent at a rate of 600 mL/min onto part I in the high-shear mixer.
 6. Granulate the mixture for 1 minute after the addition of part II (Fielder: impeller speed of approximately 250 rpm).
 7. Remove the completed wet granulation from the high-shear mixer, and load it into the product bowl of a fluid-bed apparatus (e.g. Aeromatic or Glatt).
 8. With an inlet air temperature of approximately 60°C, dry the granulation to a moisture level of 0.3% to 0.8% as determined by LOD (e.g., Computrac).
 9. The wet granulation can also be dried on trays in drying ovens.
 10. Sieve the dried granulation (Fitzpatrick Communion Mill, Model D6: medium speed, knives forward, 0.093 screen). Other machines, such as Glatt Quick Sieve, can also be used.
 11. Blend the sieved and dried granulation with the powders of part III using a suitable mixer such as a twin-shell, ribbon, or planetary mixer.
 3. Compression of Tablets or Caplets
 1. Load the granulation of the immediate-release layer into one hopper and the granulation of the sustained-release layer into the second hopper of a bilayer tableting machine (e.g., Stokes Versapress).
 2. Compress tablets using 0.749 × 0.281 × 0.060 extra deep concave capsule-shaped tooling. (Tablet tooling of other shapes, such as oval or round, can also be used.)
 3. The sustained-release layer has a target weight of 523.3 mg, and the immediate-release layer has a target weight of 250.4 mg. Ideal tablet hardness immediately after compression is 11 to 12 kp.

IBUPROFEN TABLETS

Bill of Materials			
Scale (mg/ tablet)	Item	Material Name	Qty/1000 Tablets (g)
200.00	1	Ibuprofen	200.00
88.00	2	Maize starch	88.00
30.00	3	Maize starch	30.00
12.80	4	Maize starch (dried) ^a	12.80
1.60	5	Stearic acid (fine powder)	1.60
–	6	Purified water	144.00

^a LOD: NMT 4.5% when dried at 120°C for 4 hours.

MANUFACTURING DIRECTIONS

1. Pass item 3 through a 250 µm sieve using a sifter.
2. Prepare a slurry of item 3 with 10.67 g of cold item 6 (25–30°C) in a stainless steel container.
3. Pour the slurry into a vessel containing 37.33 g of hot item 6 (70–90°C).
4. Heat to 80°C to 90°C, and mix until mixture swells and becomes translucent.
5. Cool to 50°C.
6. Check weight (theoretical weight, 58.00 g). If required, adjust with hot purified water. Record the quantity of extra water added.
7. Pass items 1 and 2 through sifter using 250 µm sieve.
8. Load it into a mixer (if required, grind item 1 through a 1 mm sieve).
9. Mix the powder for 15 minutes at high speed.

10. Add binding solution to the dry powder in the mixer, and mix for 15 minutes at high speed. Check for satisfactory wet mass.
11. Pass the wet mass through a Fitz mill using sieve 24207, knives forward, medium speed.
12. Collect and spread the granules onto the trays, one-third the thickness of the tray.
13. Load the trolleys into the oven, and dry the granules at 55°C for 36 hours.
14. After 12 hours of drying, stir the granules in the trays, and change the position of the trays for uniform drying.
15. Check the moisture of the dried granules. The limit NMT is 2.5%. Dry further if required to obtain moisture content of 2.5%.
16. Check the weight of dried granules (theoretical weight = 318.00 g).
17. Pass the dried granules through a 1.5 mm sieve using a granulator. Collect in a stainless steel drum, and add to the blender.
18. Pass items 4 and 5 through a 250 µm sieve using a sifter.
19. Add the sieved material to the granules in a blender, and mix for 5 minutes.
20. Compress 330 mg in 10 mm convex punches at 4 to 9 kp.
21. Coat the tablets using one of the PVP coating solutions provided in the Appendix, or use the sugar-coating formulation given here.
22. After 2 minutes of rolling, jog the tablets every 1 minute over a period of 15 minutes with exhaust and drying air on at 45°C.
23. Continue jogging for a further 15 minutes. Jog every 3 minutes with exhaust and drying air temperature on at 45°C.
24. Dissolve 2.40 g of item 2 in 28.80 g of item 8.
25. Apply a half quantity of it to the tablets over 5 minutes. Allow to dry, and apply the remainder over a 15 minute period.
26. Heat 11.52 g of item 8 to boiling, dissolve 26.88 g of item 4, and cool down to 25°C.
27. Check weight (theoretical weight, 38.40 g). If lower, adjust weight to 38.40 g with purified water.
28. Apply sugar coat over a 30 minute period.
29. Dry the tablets in the coating pan at 30°C, jogging every 1 hour for 6 hours.
30. Heat 72 g of item 8 in mixer to boiling.
31. Dissolve 168 g of item 4, and then cool to 25°C.
32. Filter the syrup through a 180 µm stainless steel sieve.
33. Dissolve item 3 in 3.68 g of item 8.
34. Dissolve 4.53 g of item 4 in item 6.
35. Disperse item 5 in approximately 10.67 g of sugar syrup from the previous step, and homogenize.
36. Mix these steps with sugar syrup. Check for evenness of the dispersion.
37. Apply sugar coating.

Bill of Materials: Sugar Coating

Scale (mg/tablet)	Item	Material Name	Qty/1000 Tablets (g)
7.06	1	Sandrac varnish (WMR)	7.06
3.33	2	Povidone (PVP K-25)	3.33
1.86	3	Povidone (PVP K-25)	1.86
175.85	4	Sucrose	175.85
0.16	5	Titanium dioxide	0.16
1.20	6	Polishing emulsion	1.20
1.33	7	Talc (fine powder)	1.33
–	8	Purified water	87.10

22. Load the tablets into the pan.
23. Start the tablets rolling with the exhaust on and air supply off.
24. Pour the item 1 solution onto the rolling tablets, and allow the tablets to roll, using hand agitation if required, permitting the solution to spread well over the tablet bed.
25. Permit the tablets to roll until tack develops, at which point item 7 should be quickly sprinkled over the tablets.
26. Allow to roll freely for 2 minutes at 45°C.
27. Do not roll too long, as the seal may be worn from the tablet edges.

Bill of Materials: Polishing Coat

Scale (mg/g)	Item	Material Name	Qty/kg (g)
28.75	1	Beeswax, bleached (white beeswax)	28.75
70.00	2	Polyethylene glycol (PEG-6000)	70.00
57.50	3	Carnauba wax	57.50
125.00	4	Talc (fine powder)	125.00
718.75	5	Ethanol, 95%	718.75

44. Melt items 1, 2, and 3 in a steam-heated vessel by gentle heating to 70°C or in a stainless steel container on a hotplate heater.
45. Add item 4 to the vessel or stainless steel container, and stir manually.
46. Add item 5 to the vessel or stainless steel container, and stir manually.
47. Pass the mixture through a homogenizer.
48. Store the polishing emulsion in a closed container at room temperature.
49. Apply gloss solution.
50. Add item 6 without air to the tablet bed carefully to get a uniform distribution while rolling.
51. After 5 minutes of distribution, turn on the cold air, and roll further until a shine appears.
52. Once the desired polish appears, stop rolling the pan.
53. Dry the tablets in the pan at 30°C for 30 minutes. Final tablet weight should be 480 mg.

INOSIN TABLETS

Bill of Materials			
Scale (g/ tablet)	Item	Material Name	Qty/1000 Tablets (g)
200.00	1	Inosin (Ribaxin, Russia)	200.00
51.00	2	Lactose monohydrate	51.00
6.00	3	Kollidon® 90 F	6.00
QS	4	Isopropanol	60.00 mL
10.00	5	Kollidon® CL	10.00
3.00	6	Magnesium stearate	3.00

MANUFACTURING DIRECTIONS

1. Granulate mixture of items 1 to 3 with the solvent mixture of item 4.
2. Dry and pass through a 0.8 mm sieve, add items 5 and 6, and press with low compression force.
3. Compress 270 mg in 9 mm biconvex punches.

INSECT BITE CREAM

Bill of Materials			
Scale (mg/g)	Item	Material Name	Qty/kg (g)
180.00	1	Trilane-4 phosphate, glyceryl stearate, and PEG-2 stearate	180.00
20.00	2	Hydrogenated palm/kernel oil PEG-6 esters	20.00
80.00	3	Mineral oil	80.00
0.30	4	Sodium methylparaben	0.30
0.70	5	Sorbic acid	0.70
646.70	6	Deionized water	646.70
10.00	7	Benzocaine	10.00
10.00	8	Butamben	10.00
2.00	9	Menthol	2.00
0.30	10	Resorcinol	0.30
50.00	11	Ethoxydiglycol	50.00

MANUFACTURING DIRECTIONS

1. Dissolve items 7 to 10 in item 11.
2. Mix and heat items 1 to 6 to 75°C.
3. Allow to cool slowly with constant stirring.
4. At 35°C, add this to previous mixture.
5. Homogenize if necessary.

IRON INFANT DROPS

Bill of Materials			
Scale (mg/ mL)	Item	Material Name	Qty/L (g)
0.18	1	Propylparaben	0.18
0.022	2	Methylparaben	0.02
1000.00	3	Sorbitol solution	1.00 kg
4.00	4	Citric acid (hydrous powder)	4.00
125.00	5	Iron sulfate	125.00
0.106	6	Sodium metabisulfite	0.10
0.50	7	Guarana flavor (artificial)	0.50
20.00	8	Alcohol (ethanol)	20.00
0.14	9	Dye	0.14
QS	10	Sodium hydroxide	QS
QS	11	Citric acid (powder)	QS
QS	12	Purified water	QS to 1 L
QS	13	HyFlo filter aid	1.00
QS	14	Liquid nitrogen	QS
QS	15	Carbon dioxide gas	QS

MANUFACTURING DIRECTIONS

The product is susceptible to oxidation. No effort should be spared to protect it from atmospheric air. Maintain carbon dioxide (CO₂) or nitrogen atmosphere where indicated. The product must be manufactured and held in a glass-lined or stainless steel tank. Product waiting to be filled should be either in a closed tank with a CO₂ atmosphere or in an open tank covered with polyethylene sheeting taped tightly with a constant slow stream of CO₂ gas flowing into the tank head-space. Avoid vortex formation throughout processing.

1. Place 144 mL of purified water into a mixing tank.
2. Heat to 95°C to 100°C, and add parabens with strong agitation.
3. Add sorbitol solution and citric acid (item 4) while mixing.
4. Bring solution to 90°C while mixing.
5. Cool the solution while mixing to 60 °C to 65 °C, and hold at this temperature with CO₂ or nitrogen gas bubbling into it.
6. CO₂ gas protection is continued for the remainder of the manufacturing process.
7. Add ferrous sulfate and dissolve while mixing, holding at 60°C to 65°C.
8. Cool to 25°C with mixing.
9. Add sodium metabisulfite, and dissolve while mixing.
10. Avoid vortex formation.
11. Dissolve dye in 2 mL of freshly boiled purified water, and add to the tank. Mix.

12. Dissolve the guarana flavor in alcohol, add to the tank, and mix.
13. Check pH (range: 1.8–2.2). Adjust if necessary with a solution of 10% sodium hydroxide or a solution of 10% citric acid.
14. Make up to volume with freshly boiled purified water, and mix.
15. Readjust to volume if necessary with freshly boiled purified water, and mix.
16. Add HyFlo filter aid, and mix. Filter through press until clear.
17. Bubble CO₂ or nitrogen gas into the clear filtrate for 5 minutes; then, seal tank, and hold product under CO₂ or nitrogen protection.

IRON (POLYMER-COATED PARTICLE) TABLETS

Bill of Materials			
Scale (mg/ tablet)	Item	Material Name	Qty/1000 Tablets (g)
100.00	1	Elemental iron; use ferrous sulfate polymer-coated particles (233 mg iron per gram ferrous sulfate)	450.60
200.00	2	Cellulose microcrystalline	200.00
254.40	3	Lactose monohydrate	254.40
36.00	4	Sodium starch glycolate	36.00
9.00	5	Magnesium stearate	9.00

Note: Factor in potency of ferrous sulfate polymer-coated particles. Adjust with item 3. Item 1 is prepared by first granulating ferrous sulfate using alcohol and water, drying, and sieving particles over 1200 μm in size. Regranulate smaller particles. Apply enteric (HPMC) coating to the granules in a fluid-bed dryer.

MANUFACTURING DIRECTIONS

1. Load a suitable mixer/blender with microcrystalline cellulose, and disperse the ferrous sulfate polymer-coated powder.
2. To this mix, add about half the lactose (item 3), and blend for 5 minutes.
3. Pass the sodium starch glycolate through a 500 μm sieve followed by about half of the remaining lactose.
4. Add to the mix.
5. Blend for further 5 minutes.
6. Pass the magnesium stearate (item 5) through a 500 μm sieve followed by the remaining lactose.
7. Add to the previous mix.
8. Blend for further 5 minutes.
9. Compress 950 mg per tablet at 8 to 14 kpi using 8 mm \times 16 mm punches. Do not rework tablets.
10. Coat the tablets using a HPMC coating solution (see Appendix).

IRON POLYSTYRENE AND VITAMIN C SYRUP

Bill of Materials			
Scale (mg/ mL)	Item	Material Name	Qty/L (g)
125.00	1	Glycerin	125.00
1.40	2	Methylparaben	1.40
0.16	3	Propylparaben	0.16
79.61	4	Sorbitol; use sorbitol solution	364.33
3.30	5	Xanthan gum	3.30
10.00	6	Sucrose (granulated)	10.00
0.20	7	Saccharin (insoluble)	0.200
105.00	8	Elemental iron; use iron polystyrene sulfonate	530.31
50.00	9	Ascorbic acid, USP (35% excess)	61.95
0.10	10	Flavor	1.00 mL
0.10	11	Flavor (artificial guarana)	1.00 mL
QS	12	Sodium hydroxide	12.00
QS	13	Dye	2.00
9.50	14	Distilled purified water	~95.00 mL
10.00	15	Sorbitol solution	~10.00

MANUFACTURING DIRECTIONS

1. Add glycerin (item 1) to the tank.
2. Commence heating with agitation.
3. Add and disperse parabens.
4. Continue heating to 70°C to 80°C, and mix until solution is complete.
5. Force cool to 30°C; then, add and disperse xanthan gum (item 5).
6. Add sorbitol solution (item 4) and 80 mL of purified water (item 14), and heat with mixing to 60°C to 70°C until the xanthan gum is fully dissolved.
7. Add and disperse saccharin and sugar (items 6 and 7).
8. Mix at 60°C to 70°C until dispersion is complete.
9. Force cool to 25°C to 30°C with continuous mixing.
10. Commence N₂ gas protection, and maintain for the remainder of the manufacturing process.
11. Add and disperse ascorbic acid.
12. Continue mixing for 30 minutes at 25°C to 30°C.
13. *Note:* Use suitable stainless steel high-powered stirrer.
14. Mix the iron polystyrene sulfonate milled slurry in the original epoxy-lined drums under N₂ gas protection until uniform.
15. Add the slurry to the main batch, and mix for 30 minutes at 25°C to 30°C.
16. *Note:* Avoid scraping the epoxy lining of the steel drum while mixing, and use a plastic or rubber scraper to assist in complete transfer of the mixed slurry.) Add and disperse the flavors. Mix well.
17. Check and record pH. Adjust pH using a 20% sodium hydroxide solution (1 g in 5 mL water) to a value of 3 (range: 2.8–3.2).

18. Dissolve the dye in 5 to 7 mL of water at 40°C to 45°C by stirring for 10 minutes.
19. Add this solution to the main batch through a 420 µm screen with mixing.
20. Rinse container with 2 to 3 mL water at 40°C to 45°C, and add to bulk through a 420 µm screen.
21. Continue to mix under vacuum until mixture is uniform.
22. Pass the suspension through the colloid mill at a gap setting of 100 to 150 µm.
23. Adjust the flow rate such that the temperature rise of the suspension does not exceed 10°C.
24. Collect the milled suspension in a stainless steel jacketed tank with vacuum.
25. Mix at 25°C to 30°C under vacuum until a uniform suspension is achieved.
26. Flush the bulk suspension with nitrogen, and seal.
27. Hold at 25°C to 30°C.

KAOLIN-PECTIN SUSPENSION

Bill of Materials			
Scale (mg/ 5 mL)	Item	Material Name	Qty/L (g)
5.40	1	Sodium methylparaben	4.92
0.6	2	Sodium propylparaben	1.08
36.00	3	Magnesium aluminum silicate type IA	0.11
5832.00	4	Kaolin (powder)	194.40
130.00	5	Pectin	4.33
120.00	6	Sodium CMC (premium, low-viscosity)	4.00
210.00	7	Cyclamate calcium	7.00
21.00	8	Saccharin calcium (powder)	0.70
15.375	9	Flavor	0.51
1.234	10	Flavor	41.13
QS	11	Distilled purified water (approx.)	QS
QS	12	Citric acid (anhydrous powder)	QS

MANUFACTURING DIRECTIONS

1. Charge 600 mL of water into a suitable jacketed mixing tank.
2. Add the methylparaben and propylparaben to the tank, and heat to 90°C to 95°C.
3. Cool to 70°C, add the magnesium aluminum silicate, and mix for 30 minutes or until evenly dispersed.
4. Hold temperature at 70°C.
5. Add kaolin with constant mixing at 70°C until evenly dispersed.
6. Add pectin and mix for 2 hours, maintaining a temperature of 70°C.
7. Add the premium, low-viscosity sodium CMC, and mix for at least 30 minutes, maintaining a temperature of 70°C.

8. Cool to 60°C, and hold at this temperature.
9. Add, in order, the cyclamate calcium and saccharin calcium, and mix thoroughly for 20 minutes.
10. While mixing, cool to room temperature, and allow to stand overnight to hydrate.
11. After overnight standing (minimum 12 hours), mix for 30 minutes.
12. Add flavors while mixing.
13. Check and record pH (range: 4.5–7.5). If pH is more than 7.5, adjust with a 60% solution of citric acid to the desired pH.
14. Add water to 1 L, and mix thoroughly for 3 hours.
15. Strain product through muslin cloth into holding tanks, and cover.

KAOLIN-PECTIN TABLETS

Bill of Materials			
Scale (mg/ tablet)	Item	Material Name	Qty/1000 Tablets (g)
QS	1	Distilled purified water	300 mL
50.00	2	Cornstarch	50.00
50.00	3	Povidone (K-29-32)	50.00
QS	4	Distilled purified water	0.50 L
630.00	5	Hydrated aluminum–magnesium silicate	630.00
100.00	6	Kaolin (powder)	100.00
50.00	7	Pectin	50.00
80.00	8	Cornstarch	80.00
80.00	9	Sodium lauryl sulfate	80.00
10.00	10	Magnesium stearate	10.00

MANUFACTURING DIRECTIONS

1. Heat purified water (item 1) to 75°C to 80°C, and add cornstarch (item 2) with continuous stirring until a translucent paste is formed. Use this paste within 1 hour.
2. Dissolve povidone in purified water (item 4) in a separate container. Ensure that dissolution is complete.
3. Charge the following into a suitable planetary mixer: hydrated aluminum–magnesium silicate, kaolin, and pectin.
4. Mix for 5 minutes.
5. Add freshly prepared starch paste from the first step and the povidone solution to the powder blend from the third step. Mix until a mass of suitable consistency is obtained.
6. Add extra-purified water, if needed.
7. Spread the wet mass on paper-lined trays, and dry in the oven at 50°C for 2 hours.
8. Pass the semidried mass through a 4.8 mm (4 mesh) screen by hand or by using a suitable granulator, and load the granule mass onto paper-lined trays.

9. Dry in the oven at 50°C until the moisture content is between 10% and 15%.
10. Pass the dried granules through a 1 mm (18 mesh) screen on a comminuting mill at medium speed, knives forward, into clean, tared, polyethylene-lined drums. Seal and weigh.
11. Transfer the dried granules to a suitable blender.
12. Screen the following items through a 595 µm (30 mesh) screen, and add to the blender: cornstarch (item 8), sodium lauryl sulfate, and magnesium stearate.
13. Blend for 5 to 10 minutes.
14. Compress on a suitable compression machine using 1/2 in. round standard concave punches, upper punch with logo, and lower punch with a bisect line.
15. Compress 977 mg at 10 to 18 kpi.
16. Coat using an aqueous Methocel™ coating, and polish as desired.

KERATOLYTIC CREAM

Bill of Materials			
Scale (mg/10 g)	Item	Material Name	Qty/kg (g)
150.00	1	Polawax (self-emulsifying wax)	15.00
150.00	2	PPG-2 myristyl ether propionate (Crodamol PMP)	15.00
50.00	3	Sorbitol isostearate	5.00
35.00	4	Safflower oil, super-refined	3.50
20.00	5	Avocado oil, super-refined	2.00
20.00	6	Cetyl palmitate	2.00
50.00	7	Salicylic acid	5.00
1.50	8	Propylparaben	0.15
1.00	9	Butylated hydroxyl anisole	0.10
487.50	10	Deionized water	48.75
10.00	11	Sodium borate	1.00
3.00	12	Methylparaben	0.30
2.00	13	Imidazolidinyl urea	0.20
20.00	14	Hydrolyzed collagen + hyaluronic acid (Cromoist HTA)	2.00

MANUFACTURING DIRECTIONS

1. Dissolve item 7 in item 2 with mixing and heating to 70°C.
2. Add balance of items 1 to 9, and mix with heat to 80°C. Add items 10 to 13 together in a separate container, and heat to 80°C.
3. Add this mixture to the first mixture with mixing, and cool to 40°C.
4. Add item 14 with mixing, and cool to the desired fill temperature.
5. Adjust pH if necessary to 3 to 4 with 10% triethanolamine solution.

KHELLIN TABLETS

Bill of Materials			
Scale (mg/tablet)	Item	Material Name	Qty/1000 Tablets (g)
25.00	1	Khellin	25.00
124.00	2	Ludipress®	124.00
1.00	3	Magnesium stearate	1.00

MANUFACTURING DIRECTIONS

1. Pass all components through a 0.8 mm sieve, mix intensively, and press.
2. Compress 150 mg in 8 mm biplanar punches.

LIDOCAINE GEL

Bill of Materials			
Scale (mg/10 g)	Item	Material Name	Qty/kg (g)
20.00	1	Lidocaine hydrochloride	2.00
560.00	2	Water	56.00
200.00	3	Propylene glycol (pharma)	20.00
220.00	4	Lutrol F 127	22.00

MANUFACTURING DIRECTIONS

1. Prepare solution of items 1 to 3 at room temperature, heat to 70°C or cool to 6°C, and slowly add item 4 to the well. Stir solution until it is dissolved.
2. Maintain the temperature until the air bubbles escape to obtain a clear, colorless gel.

LIDOCAINE GEL CREAM

Bill of Materials			
Scale (mg/10 g)	Item	Material Name	Qty/kg (g)
50.00	1	Lidocaine hydrochloride	5.00
500.00	2	Water	50.00
150.00	3	Propylene glycol (pharma)	15.00
100.00	4	Liquid paraffin	10.00
200.00	5	Lutrol F 127	20.00

MANUFACTURING DIRECTIONS

1. Prepare solution of items 1 to 3 at room temperature, and mix with item 4.
2. Heat to 70°C or cool to 6°C, and slowly add item 5 to the well. Stir solution until it is dissolved.
3. Maintain the temperature until the air bubbles escape.

LIDOCAINE OINTMENT**Bill of Materials**

Scale (g/ 100 g)	Item	Material Name	Qty/kg (g)
5.00	1	Lidocaine base	50.00
28.00	2	PEG-3350	280.00
40.00	3	PEG-400	400.00
25.00	4	Propylene glycol	250.00
2.00	5	Purified water	20.00

MANUFACTURING DIRECTIONS

1. Load items 2 and 3 into a fat-melting vessel.
2. Heat to 70°C.
3. Cool to 40°C while stirring at slow speed (10–12 rpm).
4. Maintain the temperature between 40°C and 45°C under continuous stirring.
5. Heat 200 g of item 4 to 40°C to 45°C in a stainless steel container.
6. Dissolve item 1 by stirring with stirrer.
7. Add item 5 under continuous stirring.
8. Maintain the temperature between 40°C and 45°C under continuous stirring.
9. Filter through cloth filter.
10. Transfer the drug solution into a mixer previously set with a temperature of 40°C to 45°C.
11. Rinse the stainless steel container with 50 g of item 4.
12. Add the rinsings into the mixer.
13. Transfer the molten mass from the fat-melting vessel at 40 °C through a stainless steel filter to the mixer containing the drug solution while mixing at 10 to 12 rpm.
14. When the transfer is over, start the homogenizer at low speed with a vacuum of 0.6 bar and stirrer speed of 10 rpm (manual mode).
15. Mix and homogenize for 10 minutes with recirculation.
16. Maintain temperature at 40°C to 45°C.
17. Stop the homogenizer, and set the mixer at temperature 25°C and stirrer speed at 10 rpm (manual mode).
18. Cool the cream to 25°C.
19. When the ointment is cooled to 25°C, unload the ointment into a stainless steel container.

LIDOCAINE, EUGENOL, AND MENTHOL DENTAL OINTMENT**Bill of Materials**

Scale (mg/g)	Item	Material Name	Qty/kg (g)
55.20	1	Beeswax (white, slabs)	55.20
150.00	2	Anhydrous lanolin (regular)	150.00
723.70	3	Petrolatum (white, regular)	723.70
40.00	4	Lidocaine base	40.00
1.20	5	Saccharin sodium (powder)	1.20
QS	6	Deionized, purified water	3.00 mL
1.00	7	Eugenol	1.00
5.00	8	Menthol (crystals)	5.00
0.80	9	Peppermint oil	0.80
20.16	10	Metaphen ointment base	20.16

MANUFACTURING DIRECTIONS

1. Melt beeswax, lanolin, and petrolatum together at 70°C to 80°C, and strain into a suitable container.
2. Do not heat above 70°C to 80°C.
3. Mix together.
4. Melt lidocaine base, and strain into the container while mixing.
5. Dissolve the sodium saccharin in purified water heated to 70°C.
6. Add to the container while mixing.
7. Cool down to 45°C to 50°C while mixing.
8. Mix the eugenol, menthol, and peppermint oil together, and liquefy.
9. Warm gently to 35°C to 40°C if necessary.
10. Strain into the container while mixing.
11. Gently melt metaphen ointment base, and strain into the container while mixing.
12. Mix thoroughly until congealed.

LOPERAMIDE HYDROCHLORIDE FAST-MELT TABLET**MANUFACTURING DIRECTIONS**

1. Prepare granules by using loperamide hydrochloride, 5%; sodium bicarbonate, 27%; citric acid anhydrous, 27%; tartaric acid, 3%; microcrystalline cellulose, 15%; anhydrous lactose, 8%; xylitol, 12%; and Crodesta F160, 3%.
2. Dry the ingredients at elevated temperature in the presence of a desiccant to significantly reduce the moisture content of each material.

- Blend the ingredients for 10 minutes and extrude in a hot melt extruder at 70°C to 100°C to soften and melt the thermal binders (sucrose stearate and xylitol) to form granules containing the effervescent ingredients.
- Pass the granules through a screen and then blend with the following ingredients: LH-EFG (30–80 mesh), 50%; microcrystalline cellulose, 31%; mannitol, 8%; Ac-Di-Sol, 5%; L-HPC LH-11, 2%; aspartame, 3%; redberry flavor, 0.4%; magnesium stearate, 0.5%; Cab-o-Sil M5P, 0.1%. These are mixed for 5 minutes prior to compression.
- Compress loperamide FICI tablets to a hardness of approximately 1 to 3 kg and tablets disintegrate in purified water in approximately 15 to 35 seconds.

LORATADINE AND PSEUDOEPHEDRINE SULFATE TABLETS

Bill of Materials

Scale (mg/ tablet)	Item	Material Name	Qty/1000 Tablets (g)
25.00	1	Loratadine	25.00
180.00	2	Pseudoephedrine sulfate	180.00
5.00	3	PVP	5.00
75.00	4	Low-substituted hydroxypropyl cellulose	75.00
75.00	5	Crospovidone	75.00
1.50	6	Colloidal silicon dioxide	1.50
25.00	7	Microcrystalline cellulose	25.00
250.00	8	Crystalline sugar seeds	250.00
120.00	9	Purified water	120.00

MANUFACTURING DIRECTIONS

- Prepare a binder solution by dissolving 5 g of PVP in 120 g of water.
- Mix and screen 25 g of loratadine, 180 g of pseudoephedrine sulfate, 25 g of microcrystalline cellulose, 75 g of low-substituted hydroxypropyl cellulose, 75 g of crospovidone, and 1.5 g of colloidal silicon dioxide through a 20 mesh sieve to give a mixed powder.
- Spray the binder solution of step 1 onto 250 g of crystalline sugar seeds in a centrifugal granulator, and dust the mixed powder onto the crystalline sugar seeds in the centrifugal granulator to afford pellets using the rotation panel rate of 140 to 200 rpm, the spraying rate of the binder solution of 2 to 20 mL/min, air spraying pressure of 1 to 2 kg/cm², air spraying volume of 5 to 300 L/min, and powder (step 2) spraying rate of 5 to 30 g/min.

LORATADINE TABLETS

Bill of Materials

Scale (mg/ tablet)	Item	Material Name	Qty/1000 Tablets (g)
10.00	1	Loratadine	10.00
69.93	2	Pregelatinized starch	69.93
69.63	3	Microcrystalline cellulose	69.63
0.37	4	Colloidal silicon dioxide	0.37
0.25	5	Magnesium stearate	0.25

MANUFACTURING DIRECTIONS

- Use a multistep blending process to ensure proper distribution of the active. Initially, combine half of the pregelatinized starch with the drug and colloidal silicon dioxide.
- Blend this mixture in a twin-shell V-blender for 5 minutes.
- Discharge the mixture and pass through a 40 mesh screen by hand.
- This step not only breaks up the silicon dioxide but also helps to distribute the active.
- Return the screened mixture to the blender, and add the remainder of the Starch 1500 and blend for an additional 5 minutes.
- Add the MCC and blend for 10 minutes.
- Add the magnesium stearate last and blend for 5 minutes.
- Pass the magnesium stearate through a 60 mesh screen prior to weighing.
- Compress tablets at 100 mg or proportionally for different strengths.

LORATADINE FASTAB

Bill of Materials

Scale (mg/ tablet)	Item	Material Name	Qty/1000 Tablets (g)
10.00	1	Loratadine (micronized)	10.00
180.60	2	Pharmaburst	180.60
2.70	3	Acesulfame K	2.70
2.00	4	Magnesium stearate	2.00
2.00	5	Talc (fine powder)	2.00
2.70	6	Dry anise flavor	2.70

MANUFACTURING DIRECTIONS

- Sift and mix items 1, 2, 3, and 6.
- Lubricate with magnesium stearate and fine talc powder.
- Compress 200 mg in 6 mm punches.

LORATADINE TABLETS

Bill of Materials			
Scale (mg/ tablet)	Item	Material Name	Qty/1000 Tablets (g)
10.00	1	Loratadine	10.00
67.30	2	Lactose monohydrate	67.30
22.00	3	Maize starch	22.00
10.00	4	Maize starch	10.00
5.00	5	Maize starch, dried	5.00
0.70	6	Magnesium stearate	0.70
QS	7	Purified water	QS

MANUFACTURING DIRECTIONS

1. Sift items 1 to 3 through a 630 μm stainless steel sieve, load in mixer, and mix for 5 minutes.
2. In a separate container, prepare binder solution by mixing item 4 using purified water at 30°C to 40°C, heat translucent slurry to 90°C to 95°C, and cool to 45°C to 50°C.
3. Mix the binder solution with the first step and granulate, dry on trays at 55 °C for 8 hours, and dry to LOD of 2% to 3% (2 hours after beginning drying, crush mixture for uniform drying).
4. Heat additional 1 hour at 55°C if LOD is not within limits.
5. Add magnesium stearate, tumble mix, and compress using 7 mm round punches to 10-tablet weight of 1.15 (within 3%) to achieve thickness of 2.3 ± 0.3 mm and hardness of 4 to 7 kp.

LYCOPENE TABLET CORES (6 MG)**FORMULATION**

Lycovit 10% dry powder, 60 g; Ludipress®, 330 g; Kollidon® CL, 6 g; magnesium stearate, 4 g.

MANUFACTURING DIRECTIONS

1. Mix the Lycovit dry powder with the other components.
2. Sieve through a 0.8 mm screen, and press with medium to high compression force at 400 mg.

MAGALDRATE CHEWABLE TABLETS

Bill of Materials			
Scale (mg/ tablet)	Item	Material Name	Qty/1000 Tablets (g)
500.00	1	Magaldrate, USP	500.00
400.00	2	Lactose monohydrate	400.00
50.00	3	Orange flavor (FDO)	50.00
20.00	4	Kollidon® 90 F	20.00
6.00	5	Banana flavor (FDO)	6.00
6.00	6	Cocoa flavor (FDO)	6.00
1.00	7	Saccharin sodium	1.00
180.00	8	Water	180.00
5.00	9	Aerosil® 200	5.00
3.00	10	Magnesium stearate	3.00

MANUFACTURING DIRECTIONS

1. Granulate mixture of items 1 to 3 with solution of items 4 to 8, pass through a 0.8 mm sieve, dry, mix with items 9 and 10, and press with low compression force.
2. Compress 1 g in 16 mm biplanar punches.

MAGALDRATE CHEWABLE TABLETS (500 MG)**FORMULATION**

1. Magaldrate USP, 500 g; lactose monohydrate, 400 g; orange flavor (FDO), 50 g.
2. Kollidon® 90 F, 20 g; banana flavor (FDO), 6 g; cocoa flavor (FDO), 6 g; saccharin sodium, 1 g; water, 180 g.
3. Aerosil® 200, 5 g; magnesium stearate, 3 g.

MANUFACTURING DIRECTIONS

1. Wet granulation: Granulate mixture 1 with solution 2, pass through a 0.8 mm sieve, dry, mix with 3, and press with low compression force at 1000 mg.

MAGALDRATE CHEWABLE TABLETS (1000 MG)**FORMULATION**

Magaldrate (Reheis), 1000 g; Ludipress® LCE, 930 g; Lutrol E4000F, 60 g; aspartame, potassium (Searle), 10 g; peppermint flavor, QS.

MANUFACTURING DIRECTIONS

1. Pass all components through a 0.8 mm sieve, mix, and press with medium compression force at 2 g.

MAGALDRATE DISPERSIBLE TABLETS

Bill of Materials			
Scale (mg/ tablet)	Item	Material Name	Qty/1000 Tablets (g)
700.00	1	Magaldrate	700.00
435.00	2	Lactose monohydrate	435.00
10.00	3	Kollidon® 90 F	10.00
50.00	4	Kollidon® CL	50.00
5.00	5	Magnesium stearate	5.00

MANUFACTURING DIRECTIONS

1. Pass all components through a 0.8-mm sieve, mix, and press with low compression force (4–6 kN).
2. Compress 1.2 g in 16 mm biplanar punches.

**MAGALDRATE INSTANT
POWDER OR DRY SYRUP**

Bill of Materials			
Scale (mg/ Sachet)	Item	Material Name	Qty/1000 Sachets (g)
800.00	1	Magaldrate, USP	800.00
640.00	2	Kollidon® CL-M	640.00
200.00	3	Sorbitol (crystalline)	200.00
40.00	4	Orange flavor	40.00
40.00	5	Kollidon® 90 F	40.00
4.00	6	Coconut flavor	4.00
4.00	7	Banana flavor	4.00
0.80	8	Saccharin sodium	0.80
QS	9	Water	~280.00 mL

MANUFACTURING DIRECTIONS

1. Granulate mixture of items 1 to 4 with solution of items 5 to 9, and pass through a 0.8 mm sieve to obtain free-flowing granules.
2. Fill 2 g in sachets or 20 g in a 100 mL flask.
3. Instant granules in sachets: suspend 2 g (= one sachet) in a glass of water (= 800 mg magaldrate).

MAGALDRATE SUSPENSION

Bill of Materials			
Scale (mg/ mL)	Item	Material Name	Qty/L (g)
100.00	1	Magaldrate USP	100.00
80.00	2	Kollidon® CL-M	80.00
20.00	3	Kollidon® 90 F	20.00
10.00	4	Orange flavor	10.00
0.50	5	Coconut flavor	0.50
0.80	6	Banana flavor	0.80
0.20	7	Saccharin sodium	0.20
QS	8	Preservatives	QS
QS	9	Water	QS to 1 L

MANUFACTURING DIRECTIONS

1. Dissolve or suspend all the solids in water under aseptic conditions; pH should be approximately 9.

MAGALDRATE TABLETS

Bill of Materials			
Scale (mg/ tablet)	Item	Material Name	Qty/1000 Tablets (g)
400.00	1	Magaldrate (powder, 100 mesh)	400.00
325.00	2	Sucrose	325.00
60.00	3	Cellulose (microcrystalline) (Avicel™ PH101)	60.00
30.00	4	Cornstarch	30.00
8.84	5	Guar gum	8.84
0.50	6	Saccharin sodium	0.50
–	7	Purified water	100.00 mL
–	8	Alcohol SD 3A (200 proof)	100.00 mL
QS	9	Flavor	0.60 mL
QS	10	Flavor	1.00 mL
0.06	11	Ethyl vanillin	0.06
8.00	12	Talc	8.00
16.00	13	Magnesium stearate	16.00

MANUFACTURING DIRECTIONS

1. Pass granulated sugar (take approximately 10% excess) through 500 µm stainless steel screen on comminuting mill (impact forward, high speed).
2. Screen the milled sugar through 250 µm aperture on sieve shaker.
3. Weigh the required quantity, and charge into a suitable mixer.
4. Discard remaining sugar.
5. Screen magaldrate powder (take approximately 5% excess) through 150 µm stainless steel screen on sieve shaker.

6. Weigh the required quantity, and add to the previous blend.
7. Mix well.
8. Screen, if necessary, microcrystalline cellulose, cornstarch, and guar gum through 500 μm aperture on sieve shaker.
9. Add to the first step, and mix well.
10. Dissolve saccharin sodium in water.
11. To this, add alcohol, and mix well.
12. Add this hydroalcoholic solution to magaldrate blend, and knead well.
13. Add more water, if necessary, and QS to mass.
14. Pass wet mass through 2.8 mm aperture on sieve shaker or oscillating granulator, and spread uniformly on stainless steel trays.
15. Tray dry granules at 70°C to 75°C.
16. After 3 to 4 hours of drying, screen semidried granules through 1.4 mm aperture on sieve shaker, and reload for further drying.
17. (This step helps in drying granules faster and more uniformly.) Dry to LOD of 1% to 1.5%.
18. Screen dry granules through 1 mm aperture on sieve shaker and store in drums doubly lined with polyethylene bags.
19. Charge half of the granulation into a suitable blender.
20. From the balance of the granules, take out the fines (approximately 40 g of fines for a batch of 1000 tablets) through 250 μm aperture on sieve shaker.
21. Retain coarse particles for later use.
22. Mix together the flavors in a suitable vessel.
23. Add and dissolve the ethyl vanillin.
24. Check that the solution is clear before proceeding.
25. Charge a suitable mixer with the fines from step 20.
26. While mixing, disperse the flavor solution.
27. Add magnesium stearate and talc, and mix thoroughly.
28. Pass the blend through a 250 μm aperture on sieve shaker.
29. Add the dispersed flavor blend to the granules.
30. Add remaining granules, and blend for 8 to 10 minutes.
31. Discharge blended granules into suitable airtight containers doubly lined with polyethylene bags.
32. Compress on a suitable machine fitted with 14.4 mm diameter round punches with beveled edges.
33. Weight: 8.5 g/10 tablets, thickness: approximately 3.6 to 3.8 mm, hardness: 8 to 10 kPa.

MAGALDRATE WITH SIMETHICONE SUSPENSION

Bill of Materials

Scale (mg/5 mL)	Item	Material Name	Qty/L (g)
QS	1	Distilled purified water	285.00 mL
9.00	2	Methylparaben	1.80
1.00	3	Propylparaben	0.20
5.00	4	Benzoic acid	1.00
3.75	5	Saccharin sodium (dehydrate powder)	0.75
400.00	6	Magaldrate (wet cake; 18–20%)	400.00
1.00 g	7	Sorbitol solution (70%)	260.00
12.50	8	Silicon dioxide (colloidal) (international)	2.50
QS	9	Citric acid (hydrous powder)	QS
200.00	10	Dimethyl polysiloxane emulsion (30%)	40.00
0.005 mL	11	Flavor	1.00 mL
1.26 g	12	Glycerin	252.00
25.00 g	13	Potassium citrate monohydrate	5.00
13.30	14	Xanthan gum	2.66

MANUFACTURING DIRECTIONS

This product is highly prone to microbial contamination. All equipment coming into contact with the product should be treated with a freshly prepared sodium hypochlorite solution (100 ppm) made with freshly boiled and cooled-down water on the day of use. Bottles and caps should also be so treated. Freshly boiled and cooled deionized water should be used for rinsing.

1. Place 285 mL purified water into a suitable jacketed tank, and heat to 90°C to 95°C.
2. Add and dissolve parabens, benzoic acid, saccharin sodium, and potassium citrate.
3. While maintaining temperature at 85°C to 90°C, add, in small quantities, half the quantity of magaldrate cake or powder, if used, and disperse well.
4. (Adjust speed of the agitator and homogenizer to ensure effective mixing and to maintain free mobility of the suspension.) Add sorbitol solution, and mix well.
5. Raise the temperature, if necessary, maintaining temperature at 85°C to 90°C.
6. Add, in small quantities, the remaining half of the magaldrate cake or powder, and disperse well.
7. Mix for 1 hour, and then remove heat. (Adjust speed of the agitator and homogenizer to maintain the mobility of suspension.) Separately blend colloidal silicon dioxide with xanthan gum, and disperse the blend in glycerin with constant mixing.

8. While maintaining temperature at 85°C to 95°C, add and disperse the suspension from the previous step to the main tank, and mix well.
9. Avoid lump formation at any stage.
10. Cool to room temperature.
11. Add dimethyl polysiloxane emulsion, and mix well.
12. Add flavor, and mix well.
13. Dissolve citric acid in twice the quantity of purified water, and adjust pH if necessary.
14. Check and record pH (range: 7.5–8.0). Add purified water to volume, and mix well for a minimum of 30 minutes.
15. Filter through a 180 µm aperture nylon cloth, and store in a suitable tank.

6. Rough blend colloidal silicon dioxide (item 5) and microcrystalline cellulose, and add to the simethicone dispersed mass from previous step.
7. Mix initially at low speed for 4 to 5 minutes, and thereafter, mix vigorously for 5 to 10 minutes.
8. Either screen simethicone dispersed mass through a 1.0 mm aperture on sieve shaker or pass through a comminuting mill using a 1.4 mm aperture screen (impact forward, medium speed).
9. Load into a mass mixer, and continue mixing.
10. Screen magaldrate powder (with approximately 7% excess) through a 150 µm aperture screen on sieve shaker, and weigh the required quantity.
11. To this quantity, add acacia, and rough blend.
12. Add this blend in the dough mixer, dispersing in small quantities, and mix well for 30 to 40 minutes until simethicone is well absorbed in the dry blend. Discard remaining magaldrate powder.
13. Dissolve dye in water; then, add alcohol, and mix well.
14. Wet down mass with colored hydroalcoholic solution, and knead well.
15. Add more hydroalcoholic solution, if necessary (water : alcohol, 1:1), to mass.
16. Screen wet mass through a 2.8 mm aperture screen on sieve shaker or oscillating granulator, and spread uniformly on trays.
17. Tray dry granules at 71°C to 74°C until LOD is within 1% to 1.5% (test at 105°C for 1 hour).
18. After approximately 3 to 4 hours of drying, screen semidried granules through a 1.4 mm aperture on sieve shaker, and reload for further drying.
19. (*Note:* This step helps in drying granules faster and more uniformly and avoids color mottling on final product.) Screen dried granules through a 1.0 mm aperture screen on sieve shaker, and store in drums lined with double polyethylene bags. Alternatively, drying can be done in a fluid-bed dryer.
20. Pass dried granules through a 1 mm aperture screen on sieve shaker.
21. Pass coarse granules through a comminuting mill using a 1.4 mm aperture screen (knives forward, slow speed) and then through 1.0 mm aperture on sieve shaker.
22. Store granules in drums lined with double polyethylene bags.
23. Charge half of the base granulation into a suitable blender.
24. From the balance of the granules, take out fines (approximately 50 g of fines for a batch of 1000 tablets) through a 250 µm aperture on sieve shaker, and hold in a suitable vessel.
25. Add and dissolve ethyl vanillin in liquid flavor.
26. Check for clarity, and only then disperse over dried starch.
27. Rough blend colloidal silicon dioxide (item 13) with lactose monohydrate (item 15), talc, and magnesium stearate, and add to the flavored starch.

MAGALDRATE WITH SIMETHICONE TABLETS

Bill of Materials

Scale (mg/ tablet)	Item	Material Name	Qty/1000 Tablets (g)
525.00	1	Sucrose, NF	525.00
15.00	2	Lactose monohydrate, NF	15.00
60.00	3	Simethicone, USP	60.00
60.00	4	Cellulose microcrystalline (Avicel™ PH101), NF	60.00
12.00	5	Silicon dioxide colloidal (International)	12.00
400.00	6	Magaldrate, USP	400.00
40.00	7	Acacia (special grade), NF	40.00
0.05	8	Dye	0.05
–	9	Distilled purified water, USP	100.00 mL
–	10	Alcohol SD 3A (200 proof)	100.00 mL
1.50	11	Flavor	1.50
0.15	12	Ethyl vanillin, NF	0.15
5.00	13	Silicon dioxide (colloidal)	5.00
30.00	14	Starch monohydrate	30.00
10.00	15	Lactose monohydrate	10.00
80.00	16	Talc powder, USP	80.00
5.30	17	Magnesium stearate	5.30

MANUFACTURING DIRECTIONS

1. Pass the granulated sucrose (with approximately 10% excess) through a 500 µm aperture stainless steel screen on comminuting mill (impact forward, high speed).
2. Screen the milled sugar through a 250 µm screen on sieve shaker.
3. Weigh the required quantity, and charge into a suitable mixer (planetary mixer or dough mixer). Discard the remainder.
4. Screen lactose (item 2) through a 250 µm aperture screen on sieve shaker, and add to powdered sugar from preceding step. Mix well.
5. While mixing vigorously, add and disperse simethicone (add slowly in a fine stream of flow to avoid lump formation). Mix well.

28. To this mixture, add fines from step 24 and mix well by hand or in a suitable mixer,.
29. Screen through a 250 µm aperture on sieve shaker.
30. Add this flavored, dispersed blend to the base granulation (first step) in a blender.
31. Add the remaining bulk granules from the second step to the base granulation, and blend well for 8 to 10 minutes. (*Caution:* Do not mix for too long, as the granules may crumble to a finer size, which may adversely affect hardness during compression.) Discharge blended granules into suitable airtight containers lined with double polyethylene bags until ready for compressing.
32. Compress on a suitable machine fitted with 14.4 mm diameter round punches with beveled edges. Compress 1244 mg per tablet.

MAGNESIUM CARBONATE TABLETS

Bill of Materials			
Scale (mg/ tablet)	Item	Material Name	Qty/1000 Tablets (g)
260.00	1	Magnesium carbonate, USP	262.00
238.00	2	Ludipress®	238.00
4.00	3	Magnesium stearate	4.00

MANUFACTURING DIRECTIONS

1. Mix all components, pass through a 0.8 mm sieve, and press with medium compression force.
2. Compress 500 mg in 12 mm biplanar punches.

MEDICATED FOOT CREAM

Bill of Materials			
Scale (mg/g)	Item	Material Name	Qty/kg (g)
5.00	1	Lanolin	5.00
90.00	2	Stearic acid	90.00
5.00	3	Cetyl alcohol	5.00
40.00	4	Isopropyl palmitate	40.00
10.00	5	Oleyl alcohol	10.00
20.00	6	Mineral oil and lanolin alcohol (liquid base CB3929)	20.00
7.50	7	Oil of wintergreen	7.50
3.00	8	Oil of thyme	3.00
5.00	9	Oil of pine	5.00
5.00	10	Menthol	5.00
5.00	11	Camphor	5.00
QS	12	Deionized water	QS to 1 kg
80.00	13	Glycerin	80.00
18.00	14	Triethanolamine 99%	18.00
QS	15	Preservative, color	QS

MANUFACTURING DIRECTIONS

1. Heat oil and water phases separately at 65°C to 70°C.
2. Add water phase to oil phase while stirring.
3. Add the triethanolamine dropwise.
4. Stir to cool.
5. This product can be used as a disinfecting and soothing cream for the feet.

MENTHOL MOUTHWASH

Bill of Materials			
Scale (mg/ mL)	Item	Material Name	Qty/L (g)
10.00	1	Menthol	10.00
10.00	2	Eucalyptus oil	10.00
40.00	3	Cremophor RH 40	40.00
4.50	4	Saccharin sodium	4.50
2.00	5	Sodium citrate	2.00
5.00	6	Citric acid	5.00
50.00	7	Lutrol F 127	50.00
67.00	8	Ethanol 96%	67.00
QS	9	Sicovit colorant	QS
QS	10	Water	801.00

MANUFACTURING DIRECTIONS

1. Mix items 1 to 3, and heat to approximately 60°C.
2. Prepare solution of items 4 to 10, heat it to approximately 60°C, and add it slowly to the well-stirred mixture of items 1 to 3.
3. Clear, colored liquid has a fresh mint taste.

METHYL SALICYLATE ANALGESIC CREAM

Bill of Materials			
Scale (mg/g)	Item	Material Name	Qty/kg (g)
30.00	1	Tromethamine magnesium aluminum silicate (Veegum® PRO)	30.00
30.00	2	Hydroxypropylcellulose	30.00
350.00	3	Deionized water	350.00
350.00	4	Ethanol	350.00
40.00	5	Cocoyl sarcosine (Vanseal CS)	40.00
25.00	6	Oleath-10	25.00
25.00	7	PEG-25 hydrogenated castor oil	25.00
50.00	8	Isopropyl myristate	50.00
20.00	9	Triethanolamine	20.00
5.00	10	Camphor	5.00
5.00	11	Menthol	5.00
2.00	12	Eucalyptus oil	2.00
65.00	13	Methyl salicylate	65.00
QS	14	Preservatives	QS

MANUFACTURING DIRECTIONS

1. Dry blend item 1 and item 2, and slowly add them to items 3 and 4, agitating to ensure homogenous dispersion.
2. Combine items 5 to 9 separately and items 10 to 14 separately; then, mix them together.
3. Finally, add this mixture to the first mixture, and mix until uniform.

METHYL SALICYLATE ANALGESIC CREAM

Bill of Materials			
Scale (mg/g)	Item	Material Name	Qty/kg (g)
15.00	1	Magnesium aluminum silicate (Veegum®)	15.00
547.00	2	Deionized water	547.0
2.00	3	Simethicone emulsion	2.00
30.00	4	Propylene glycol	30.00
150.00	5	Methyl salicylate	150.00
50.00	6	Menthol	50.00
6.00	7	Polysorbate	6.00
50.00	8	C18-C36 acid	50.00
150.00	9	Glyceryl stearate and PEG-100 stearate	150.00
QS	10	Preservatives	QS

MANUFACTURING DIRECTIONS

1. Add item 1 to water slowly, and mix vigorously to smooth dispersion.
2. Add items 3 and 4, mixing one at a time. Heat to 75°C to 80°C.
3. Separately mix and heat items 5 to 9 to 75°C to 80°C, and combine the two parts while mixing.
4. Cool while mixing, and add item 10 at 40°C.

METHYL SALICYLATE AND MENTHOL GEL

Bill of Materials			
Scale (mg/g)	Item	Material Name	Qty/kg (g)
110.00	1	Methyl salicylate	110.00
50.00	2	Menthol	50.00
200.00	3	Lutrol E 400	200.00
60.00	4	Cremophor RH 40	60.00
70.00	5	Propylene glycol (pharma)	70.00
320.00	6	Lutrol F 127	320.00
QS	7	Water	190.00

MANUFACTURING DIRECTIONS

1. Dissolve item 6 in solution of items 1 to 5, and mix with item 7.
2. The clear gel can be diluted with water.
3. Because of the high concentration of the active ingredients and of Lutrol F 127, the consistency of the colorless clear gel is extremely hard.
4. By reducing the concentration of the active ingredients, the amount of Lutrol F 127 can also be reduced, and the consistency of the gel will be normal.

METHYL SALICYLATE HEAT RUB LOTION

Bill of Materials			
Scale (mg/mL)	Item	Material Name	Qty/L (g)
25.00	1	PPG-5-cetech-10-phosphate (Crodafos SG)	25.00
40.00	2	Emulsifying wax, NF (Polawax)	40.00
45.00	3	PPG-1 cetyl ether (Procetyl 10)	45.00
10.00	4	Menthol	10.00
10.00	5	Camphor	10.00
75.00	6	Methyl salicylate	75.00
30.00	7	Glycerin	30.00
10.00	8	Gelatin, NF (Crodyne BY-19)	10.00
3.00	9	Diethanolamine	3.00
742.00	10	Deionized water	742.00
10.00	11	Propylene glycol, diazolidinyl urea, methylparaben, and propylparaben	10.00

MANUFACTURING DIRECTIONS

1. Premix items 4, 5, and 6 with item 3.
2. When completely dissolved, add items 1 and 2, and heat to 75°C to 80°C.
3. Dissolve item 8 in water, and add items 7 and 9.
4. Heat to 80°C; slowly add this part to previous part using good mechanical mixing.
5. Allow to cool while mixing to 40°C, and then add item 11.
6. Cool to 30°C, and fill.

METOCLOPRAMIDE TABLETS

Bill of Materials			
Scale (mg/ tablet)	Item	Material Name	Qty/1000 Tablets (g)
10.00	1	Anhydrous metoclopramide hydrochloride; use metoclopramide hydrochloride	10.54
7.00	2	Maize starch (dried)	7.00
1.00	3	Silicon dioxide (colloidal)	1.00
0.76	4	Magnesium stearate	0.76
5.00	5	Starch (pregelatinized)	5.00
101.24	6	Lactose	101.24
QS	7	Purified water	~ 15.00 mL

MANUFACTURING DIRECTIONS

1. Dried maize starch must be used for lubrication.
2. Dry the starch at 80 °C for 36 hours prior to its use in manufacturing.
3. Check LOD of starch; the LOD must be less than 2%.
4. Pass the lactose, pregelatinized starch, and metoclopramide hydrochloride through a 1.25 mm aperture screen, and transfer to a suitable mass mixer. Mix for 5 minutes.
5. Add the water slowly to the mixer, and mix for 30 minutes or until a suitable consistency is obtained. Add extra water if required.
6. Pass the mass through a 4.8 mm aperture screen or an oscillating granulator (or by hand), and dry in a tray dryer or fluid-bed dryer at 50 °C until the moisture content is less than 5.5%.
7. Pass the granules through an 875 µm aperture screen on an oscillating granulator (or comminuting mill at medium speed, knives forward) into tared, polyethylene-lined drums. Seal and weigh.
8. Carry out remaining steps at an RH less than 50% and temperature less than 26°C.
9. Transfer the dried granulation to a suitable blender.
10. Screen the starch (item 2), magnesium stearate, and silicon dioxide through a 250 µm aperture screen on a sieve shaker, and add to the blender.

11. Blend for 10 minutes.
12. Discharge the granules into polyethylene-lined drums. Seal, and weigh for yield.
13. Compress 1.255 g per 10 tablets in 6.35 or 7.14 mm standard concave punches.

MICONAZOLE NITRATE CREAM

Bill of Materials			
Scale (mg/g)	Item	Material Name	Qty/kg (g)
21.00	1	Miconazole nitrate (5% excess)	21.00
200.0	2	Tefose® 63	200.0
30.00	3	Labrafil® M ^a	30.00
30.00	4	Mineral oil (liquid paraffin)	30.00
0.05	5	Butylated hydroxyanisole	0.05
2.00	6	Benzoic acid	2.00
720.00	7	Purified water	720.00

^a Synonyms: Labrafil® M 1944 CS, oleoyl macrogolglycerides, apricot kernel oil PEG-6 complex.

MANUFACTURING DIRECTIONS

1. Melt items 2, 3, and 4 (fatty phase) in fat-melting vessel.
2. Heat to 65°C to 70°C.
3. Disperse items 1 and 5 in the fatty phase while mixing at high speed for 20 minutes.
4. Add item 7 to the mixer, and heat to 80°C to 90°C.
5. Dissolve item 6, and cool down to 65°C to 70°C.
6. Transfer the fatty phase to the mixer with vacuum at 0.2 to 0.3 bar.
7. Start cooling down while mixing at 10 rpm, and homogenize at high speed for 20 minutes; then, cool down to 25°C to 28°C while mixing at a vacuum of 0.2 to 0.3 bar (65–45°C) or 0.5 to 0.7 bar (45–25°C).

MINERAL AND MULTIVITAMIN SYRUP

Bill of Materials			
Scale (mg/ mL)	Item	Material Name	Qty/L (g)
6.65	1	Hypophosphorous acid	6.65
16.47	2	Calcium hypophosphite	16.47
31.68	3	Calcium lactate (powder)	31.68
1.00	4	Methylparaben	1.00
0.20	5	Propylparaben	0.20
1.00	6	Benzoic acid	1.00
150.00	7	Sucrose (granular)	150.00
5.20	8	Ferrous gluconate	5.20
2.00	9	Niacinamide (5% excess)	2.10
0.33	10	Riboflavin-5-phosphate sodium	0.33
1.00	11	D-pantothenyl alcohol (dexpantenol; 20% excess)	1.20
0.60 µg	12	Vitamin B ₁₂ (cyanocobalamin) (35% excess)	0.81 mg
0.20	13	Pyridoxine hydrochloride	0.20
0.30	14	Thiamine hydrochloride (regular powder) (55% excess)	0.46
4.782	15	Flavor, raspberry blend	4.78
1.945	16	Flavor, chocolate	1.945
0.64	17	Orange oil (terpeneless, No. 54125)	0.64
0.21	18	Lime oil, distilled	0.21
4.28	19	Alcohol	4.28
2.50	20	Saccharin sodium	2.50
10.00	21	Ascorbic acid (white powder/EP) (45% excess)	14.50
3.00	22	Caramel (acid proof)	3.00
2.00	23	Anhydrous citric acid	2.00
10.0 µg	24	Butylated hydroxyanisole (BHA)	10.0 mg
3.39	25	Corn oil	3.39
0.40	26	Vitamin A palmitate (1.5 million U/g) (40% excess)	0.56
0.08	27	Viosterol in corn oil (syn. oleovitamin D; 1000 mg/g) (40% excess)	0.112
1.5 g	28	Acacia (special grade)	1.50
0.127	29	Sodium lauryl sulfate (acetone-washed)	0.127
171.00	30	Deionized, purified water	~171.00
QS	31	Glucose liquid (corn syrup)	QS to 1 L

MANUFACTURING DIRECTIONS

Do not expose this preparation during manufacturing to direct sunlight. Riboflavin is sensitive to light.

1. Add 83.7 mL purified water to a stainless steel jacketed tank.
2. Add calcium hypophosphite, calcium lactate, the parabens, and benzoic acid.
3. Heat mixture to 60°C with agitation.

4. Shut off mixer, and wash tank until free of all powders with 25.9 mL purified water.
5. Heat to and maintain a maximum temperature of 100°C until solution is complete. Do not agitate. Avoid loss of water through evaporation. Cover opening of tank.
6. After solution occurs, take sample from bottom of tank and examine for clarity. Solution must be clear.
7. Add hypophosphorous acid (if used) with mixing.
8. Turn off heat, add 222 g glucose, and start agitator. (*Caution:* Use CO₂ cover throughout. Wherever water is used, it should be CO₂-saturated water.) Dissolve ferrous gluconate in 7.4 mL water CO₂-saturated by heating.
9. Add 278 g glucose with mixing. Add and dissolve sugar.
10. Allow solution to cool to 35°C, and mix well.
11. To 29.6 mL water, add and dissolve nicotinamide, riboflavin, D-pantothenyl alcohol, vitamin B₁₂, pyridoxine, and thiamine. Mix until solution is complete, and add to tank. Dissolve by heat if necessary.
12. Charge raspberry blend flavor and chocolate flavor into tank. Charge saccharin into tank, and mix until dissolved.
13. Charge ascorbic acid into tank. Mix well.
14. Charge caramel into tank, and mix well.
15. Dissolve citric acid in 3 mL water, and add.
16. Heat corn oil to 50°C to 60°C, and add and dissolve BHA. Be sure the BHA is completely dissolved before continuing.
17. Cool to room temperature. While cooling oil mixture, saturate with CO₂, and maintain heavy CO₂ coverage for balance of operation.
18. Set aside a small amount of this mixture as a rinse for the vitamin A and viosterol containers in preceding step.
19. Add vitamin A palmitate and viosterol to the cool corn oil mixture, rinsing the containers with the reserved oil.
20. Add the rinse to the bulk. Mix well.
21. Add the acacia to the oil mixture with good mixing.
22. Dissolve sodium lauryl sulfate in 3 mL CO₂-saturated purified water. To avoid excessive foaming, do not bubble CO₂ gas through the water/sodium lauryl sulfate solution.
23. Add the sodium lauryl sulfate solution to the oil mixture, and stir to a thick creamy emulsion.
24. Add 7.56 g glucose to the emulsion with mixing.
25. Blend 13.33 mL CO₂-saturated purified water with 77.04 g glucose, and add emulsion with stirring.
26. Recycle primary emulsion back into holding tank while setting mill.
27. Homogenize until all oil globules are less than 8 µm in diameter using colloid mill with a fine setting. Do not change mill setting after removing sample unless samples are unacceptable.

28. Add primary emulsion to syrup solution with mixing. Add glucose QS to 965 mL, and mix well. Allow to stand overnight to vent entrapped air.
29. Adjust the volume to 1 L using glucose or glucose and CO₂-saturated water.
30. Strain through 149 μm aperture or similar screen into clean reserve tank, and recheck volume.

MINT-MENTHOL MOUTHWASH

Bill of Materials			
Scale (mg/ mL)	Item	Material Name	Qty/L (g)
20.00	1	Mint oil	20.00
0.40	2	Menthol	0.40
0.90	3	Eucalyptus oil	0.90
10.00	4	Alpha-bisabolol (BASF)	10.00
0.60	5	Thymian oil	0.60
40.00	6	Cremophor RH 40	40.00
4.50	7	Saccharin sodium	4.50
2.00	8	Sodium citrate	2.00
5.00	9	Citric acid	5.00
0.20	10	Sodium fluoride	0.20
50.00	11	Glycerol	50.00
50.00	12	Lutrol F 127	50.00
0.60	13	Salicylic acid	0.60
1.00	14	Benzoic acid	1.00
175.00	15	Sorbitol, crystalline	175.00
216.00	16	Ethanol 96%	216.00
QS	17	Sicovit colorant	QS
QS	18	Water	48.40

MANUFACTURING DIRECTIONS

1. Mix items 1 to 6, and heat to approximately 60°C.
2. Prepare solution of items 7 to 18, heat it to approximately 60 °C, and add it slowly to the well-stirred mixture of items 1 to 6.
3. Clear, colored liquids have a fresh mint taste.

MINT OIL SOLUTION

Bill of Materials			
Scale (mg/ mL)	Item	Material Name	Qty/L (g)
35.00	1	Peppermint oil	35.00
138.00	2	Cremophor RH 40	138.00
520.00	3	Ethanol 96%	520.00
QS	4	Water	307.00

MANUFACTURING DIRECTIONS

1. Mix the peppermint oil with Cremophor RH 40, stir well, and slowly add ethanol and water.
2. Clear, colorless liquid is of low viscosity.

MULTIVITAMIN AND BETA-CAROTENE TABLETS

Bill of Materials			
Scale (mg/ tablet)	Item	Material Name	Qty/1000 Tablets (g)
7.00	1	Beta-carotene; use beta-carotene dry powder (10%, Pharma)	70.00
2.20	2	Thiamine mononitrate	2.20
2.20	3	Riboflavin	2.20
6.50	4	Nicotinamide	6.50
11.50	5	Calcium D-pantothenate	11.50
2.20	6	Pyridoxine hydrochloride	2.20
0.06	7	Cyanocobalamin; use cyanocobalamin dry powder (0.1%)	6.00
85.00	8	Ascorbic acid (powder)	85.00
32.00	9	Vitamin E acetate (dry powder; SD 50)	32.00
210.00	10	Ludipress®	210.00
7.00	11	Kollidon® VA 64	7.00
3.00	12	Magnesium stearate	3.00
7.00	13	Orange flavor	7.00
2.50	14	Saccharin sodium	2.50

MANUFACTURING DIRECTIONS

1. Mix all components, pass through a 0.8 mm sieve, mix, and press with medium compression force.
2. Compress 448 mg using 12 mm planar punches.

MULTIVITAMIN AND CALCIUM SYRUP

Bill of Materials			
Scale (mg/g)	Item	Material Name	Qty/100 g (mg)
0.10	1	Vitamin A palmitate	10.00
0.50 μg	2	Vitamin D 40 mio IU/g	0.05
1.00	3	Vitamin E acetate, BASF	100.00
0.02	4	BHT	2.00
45.00	5	Cremophor RH 40	4.50 g
100.00	6	Water	10.00 g
450.00	7	Saccharose	45.00 g
2.00	8	Methyl paraben	200.00
0.80	9	Citric acid	80.00
96.00	10	Glycerol	9.60 g
0.70	11	Calcium gluconate	70.00
250.00	12	Water	25.00 g
0.15	13	Thiamine hydrochloride, BASF	15.00
0.15	14	Riboflavin 5'-phosphate sodium	15.00
0.55	15	Nicotinamide	55.00
0.15	16	Pyridoxine hydrochloride	15.00
3.00	17	Ascorbic acid, crystalline	300.00
1.00	18	Sorbic acid	100.00
50.00	19	Propylene glycol (pharma)	5.00 g

MANUFACTURING DIRECTIONS

1. Heat items 1 to 5 and item 6 separately to approximately 60 °C, and mix slowly, stirring well to obtain a clear solution.
2. Dissolve items 7 to 9 in the hot solution of items 10 to 12 to obtain a clear solution.
3. Mix all the solutions upon cooling, and add solutions of items 13 to 19. Adjust the pH value to 4.0 to 4.1.
4. Pass nitrogen through the solution for 10 minutes, and fill in bottles under nitrogen cover.

MULTIVITAMIN AND CARBONYL IRON TABLETS

Bill of Materials			
Scale (mg/ tablet)	Item	Material Name	Qty/1000 Tablets (g)
5000 IU	1	Vitamin A acetate (dry powder; 500,000 IU/g)	10.00
2.20	2	Thiamine mononitrate, BASF	2.20
2.20	3	Riboflavin	2.20
16.50	4	Nicotinamide	16.50
11.50	5	Calcium D-pantothenate	11.50
2.20	6	Pyridoxine hydrochloride	2.20
6.00	7	Cyanocobalamin (dry powder; 0.1%)	6.00
85.00	8	Ascorbic acid (powder)	85.00
31.00	9	Vitamin E acetate (dry powder; SD 50)	31.00
311.00	10	Ludipress®	311.00
10.00	11	Carbonyl iron (powder OF)	10.00
3.00	12	Magnesium stearate	3.00
7.20	13	Orange flavor	7.20
2.50	14	Saccharin sodium	2.50

MANUFACTURING DIRECTIONS

1. Mix all ingredients, pass through a 0.8 mm sieve, mix, and press with high compression force (20 kN).
2. Compress 500 mg in 12 mm biplanar punches.

MULTIVITAMIN AND FLUORIDE CHEWABLE TABLETS

Bill of Materials			
Scale (mg/ tablet)	Item	Material Name	Qty/1000 Tablets (g)
1.20	1	Riboflavin; use coated riboflavin (25% excess)	5.28
0.30	2	Folic acid (powder)	0.31
1.00	3	Fluoride; use sodium fluoride (powder)	2.21
19.50	4	Starch (bright yellow 2 LA)	19.50
1.05	5	Pyridoxine; use pyridoxine hydrochloride (6% excess)	4.02
1.05	6	Thiamine HCl; use coated thiamine mononitrate (5% excess)	3.21
13.50	7	Niacin; use nicotinamide	40.20
4.50 µg	8	Vitamin B ₁₂ ; use cyanocobalamin oral powder in starch (10% excess)	5.17
20.00	9	Ascorbic acid; use surface-coated ascorbic acid	21.00
40.00	10	Sodium ascorbate; use surface-coated sodium ascorbate (5% excess)	47.25
7.49	11	Anhydrous citric acid	7.49
15 IU	12	Vitamin E; use vitamin E (D,L-alpha-tocopherol) (5% excess)	31.50
400 IU (10 µg)	13	Vitamin D; use vitamin D ₃ beadlets (25% excess)	0.65
9.36	14	Flavor	9.36
2500 IU or 0.75 mg	15	Vitamin A; use vitamin A palmitate beadlets (500 mU/g), USP (60% excess)	8.25
500.60	16	Sugar (compressible)	500.60

MANUFACTURING DIRECTIONS

Manufacture this product at less than 40% RH and a temperature less than 26.7°C.

1. If lumpy, hand screen riboflavin through an 8 mesh screen, and mix with folic acid, sodium fluoride powder, and approximately 3.5 g of bright yellow starch in a suitable blender until the yellow color of premix is uniform.

2. Cross-feed the premixed items, pyridoxine hydrochloride, thiamine mononitrate, nicotinamide, cyanocobalamin oral powder in starch, ascorbic acid, citric acid, and vitamin E through an 846 μm screen on a comminuting mill (knives forward, medium speed).
3. Transfer the powders to a suitable blender.
4. Clear mill with a part of the compressible sugar, and transfer to the blender.
5. Charge vitamin D₃ beadlets, sodium ascorbate, flavor, and vitamin A palmitate into the blender.
6. Blend for 10 minutes.
7. Discharge the contents of the blender into polyethylene-lined drums.
8. Pass the remaining compressible sugar through an 846 μm screen on a comminuting mill (knives forward, medium speed).
9. Transfer to the blender.
10. Screen the material from previous step, the magnesium stearate, and the remaining bright yellow starch through an 846 μm screen, and transfer to the blender. (*Note:* Mill material not passing through the screen through an 846 μm screen on a comminuting mill at medium speed with knives forward.) Blend for 20 minutes.
11. Discharge blender into polyethylene-lined drums, and weigh for yield.
12. Use precompression, if available, to obtain a tablet with adequate friability.
13. Coat as needed (see Appendix).

MULTIVITAMIN AND MINERAL SYRUP

Bill of Materials			
Scale (mg/ tablet)	Item	Material Name	Qty/L (g)
6.65	1	Hypophosphorous acid (50% pure)	6.655
16.47	2	Calcium hypophosphite	16.47
31.68	3	Calcium lactate (powder)	31.68
1.00	4	Methylparaben	1.00
0.20	5	Propylparaben	200 00 mg
1.00	6	Acid benzoic	1.00
150.00	7	Sucrose	150.00
5.20	8	Ferrous gluconate	5.20
2.00	9	Niacinamide (white powder) (5% excess)	2.10
0.32	10	Riboflavin-5-phosphate sodium	328.77 mg
1.00	11	D-Pantothenyl alcohol (dexpanthenol; 20% excess)	1.20
0.00060	12	Vitamin B ₁₂ (cyanocobalamin; 35% excess)	810.00 μg
0.20	13	Pyridoxine hydrochloride	200.00 mg
0.30	14	Thiamine hydrochloride (powder, regular) (55% excess)	465.00 mg
4.78	15	Flavor, raspberry blend	4.782
1.94	16	Flavor, chocolate	1.945
0.64	17	Orange oil, terpeneless No. 54125	642.00 mg
0.21	18	Lime oil (distilled)	214.975 mg
4.28	19	Alcohol (ethanol, 190 proof)	4.28
2.50	20	Saccharin sodium	2.50
10.00	21	Acid ascorbic (45% excess)	14.50
3.00	22	Caramel (acid proof)	3.00
2.00	23	Anhydrous citric acid	2.00
0.0010	24	Butylated hydroxyanisole (BHA)	10.0 mg
3.39	25	Corn oil	3.39
0.56	26	Vitamin A palmitate (1.5 million UA/g, 40% excess)	560.00 mg
0.08	27	Vioosterol in corn oil (syn. oleovitamin D; 1000 mD/g; D3 in arachis oil) (40% excess)	112.00 mg
1.50	28	Acacia	1.50
0.12	29	Sodium lauryl sulfate (acetone washed)	127.41 mg
171.00	30	Purified water	~171.00
QS	31	Glucose liquid	QS to 1 L

MANUFACTURING DIRECTIONS

Do not expose this preparation during manufacturing to direct sunlight. Riboflavin is sensitive to light.

1. Add 83.7 mL of purified water to a stainless steel jacketed tank.
2. Add calcium hypophosphite, calcium lactate, parabens, and benzoic acid.
3. Heat mixture to 60°C with agitation.

4. Shut off mixer, and wash tank free of all powders with 25.9 mL purified water.
5. Heat to and maintain a maximum temperature of 100°C until solution is complete. Do not agitate. Avoid loss of water through evaporation. Cover opening of tank. After solution occurs, take sample from bottom of tank and examine for clarity. Solution must be clear.
6. Add acid hypophosphorous (if used) with mixing.
7. Turn off heat, add 222 g glucose, and start agitator. (*Caution:* Use CO₂ cover throughout. Wherever water is used, it should be CO₂-saturated water.) Dissolve ferrous gluconate in 7.4 mL water CO₂ saturated by heating.
8. Add 278 g glucose with mixing. Add and dissolve sugar.
9. Allow solution to cool to 35°C, and mix well.
10. To 29.6 mL water, add and dissolve nicotinamide, riboflavin, D-pantothenyl alcohol, vitamin B₁₂, pyridoxine, and thiamine.
11. Mix until solution is complete, and add to tank. Dissolve by heat if necessary.
12. Charge raspberry blend flavor and chocolate flavor into tank.
13. Charge saccharin into tank, and mix until dissolved.
14. Charge ascorbic acid into tank, and mix well.
15. Charge caramel into tank, and mix well.
16. Dissolve citric acid in 3 mL water, and add this solution to the mixture.
17. Heat corn oil to 50°C to 60°C, and add and dissolve BHA. Be sure the BHA is completely dissolved before continuing.
18. Cool to room temperature. While cooling oil mixture, saturate with CO₂, and maintain heavy CO₂ coverage for balance of operation.
19. Set aside a small amount of this mixture as a rinse for the vitamin A and viosterol containers in previous step.
20. Add vitamin A palmitate and viosterol to the cool corn oil mixture, rinsing the containers with the oil reserved earlier.
21. Add the rinse to the bulk, and mix well.
22. Add the acacia to the oil mixture with good mixing.
23. Dissolve sodium lauryl sulfate in 3 mL CO₂-saturated purified water. To avoid excessive foaming, do not bubble CO₂ gas through the water/sodium lauryl sulfate solution.
24. Add the sodium lauryl sulfate solution to the oil mixture, and stir to a thick creamy emulsion.
25. Add 7.56 g glucose to the emulsion with mixing.
26. Blend 13.33 mL CO₂-saturated purified water with 77.04 g glucose, and add emulsion with stirring.
27. Recycle primary emulsion back into the holding tank while setting mill.
28. Homogenize until all oil globules are less than 8 μm in diameter using colloid mill with a fine setting. After setting mill, sample. Do not change mill setting after removing sample unless samples are unacceptable.
29. Add primary emulsion to syrup solution with mixing. Add glucose QS to 965 mL, and mix well.
30. Allow to stand overnight to vent entrapped air. Adjust the volume to 1 L using glucose or glucose and CO₂-saturated water.
31. Strain through 149 μm aperture or similar screen into clean reserve tank, and recheck volume.

MULTIVITAMIN AND MINERAL TABLETS

Bill of Materials			
Scale (mg/ tablet)	Item	Material Name	Qty/1000 Tablets (g)
4000 IU/400 IU	1	Vitamin A/vitamin D crystallates (500,000 A/50,000 D/g) (25% excess)	10.00
40.00	2	Vitamin A acetate (powder; 500 MA) (20% excess)	50.00
10.00	3	Thiamine hydrochloride (10% excess)	11.00
5.00	4	Riboflavin	5.00
100.00	5	Nicotinamide niacinamide (white powder)	100.00
200.00	6	Ascorbic acid (white powder) (10% excess)	220.00
20.00	7	Calcium pantothenate (dextro) (30% excess)	26.00
5.00	8	Pyridoxine hydrochloride	5.00
7.33	9	Povidone (K-29-32) ^a	7.33
29.16	10	Anhydrous refined alcohol isopropyl	29.16
24.20	11	Talc powder	24.20
6.07	12	Magnesium stearate (impalpable powder)	6.07
4.75	13	Stearic acid (fine powder)	4.75
10.0	14	Iron; use iron sulfate (dried)	31.26
1.00	15	Copper ^a	1.00
0.15	16	Iodine ^a	0.15
1.00	17	Manganese ^a	1.00
5.00	18	Magnesium ^a	5.00
1.50	19	Zinc ^a	1.50
0.10	20	Cobalt; use cobalt sulfate	0.47
5.00	21	Potassium; use potassium sulfate	11.14
0.20	22	Molybdenum; use sodium molybdate (dihydrate)	0.50
6.00 μg	23	Vitamin B ₁₂ ; use cyanocobalamin (1000 μg/g oral powder in gelatin; 5% excess)	6.30

^a Provided as mineral mix (includes 3% excess).

 Bill of Materials for Mineral Mix

Scale (mg/ tablet)	Item	Material Name	Qty/1000 Tablets (g)
13.85	1	Copper sulfate	14.28
0.01175	2	Calcium iodate monohydrate	0.01212
0.1228	3	Manganese sulfate monohydrate	0.1267
0.1480	4	Zinc sulfate (pure dry powder)	0.1526

MANUFACTURING DIRECTIONS

Mineral mix processing:

- Grind copper sulfate, calcium iodate, manganese sulfate, and zinc sulfate through Fitz mill screen 0 band (high speed, impact forward).
- Note:* Vitamin A is susceptible to destruction by oxidation and excessive exposure to actinic light and moisture. Compression of this tablet should be done where RH is less than 40%. Protect granulation with CO₂ if material is not to be compressed soon after granulation.
- Hand screen vitamins A and D crystallets and vitamin A acetate through 1.2 mm aperture screen.
- Load into mass mixer (screen using 1.2 mm aperture screen if necessary) thiamine HCl, riboflavin, nicotinamide, ascorbic acid, calcium pantothenate, pyridoxine HCl, and the vitamins A and D mix.
- Blend for 10 minutes.
- Dissolve povidone in alcohol (approximately 26 mL).
- Add povidone solution to blended materials, and mix for 5 minutes.
- Scrape mixer; then, add alcohol to mass (approximately 11 mL).
- Pass wet mass through a 15.88 mm aperture (or similar) band-fitted to rotary granulator. (*Note:* Wet mass can set hard; therefore, granules should be spread quickly onto trays.) Dry the granulation at 49°C until LOD is less than 1.0%.
- Pass the dried granulation through a 1.2 mm aperture screen fitted to an oscillating granulator.
- Mill the talc (item 11), magnesium stearate, stearic acid, iron sulfate, mineral mix, cobalt sulfate, potassium sulfate, and sodium molybdate through a 595 μm aperture screen at high speed, impact forward.
- Load half the granulation into a suitable blender. Add mineral mix and cyanocobalamin oral powder.
- Add balance of granulation, and blend for 30 minutes.
- Compress and coat using a sealing subcoating of PVP (see Appendix) followed by HPMC coating solution and clear Methocel™ gloss.

MULTIVITAMIN AND MINERAL TABLETS WITH BETA-CAROTENE

 Bill of Materials

Scale (mg/ tablet)	Item	Material Name	Qty/1000 Tablets (g)
150.00	1	Beta-carotene (dry powder; 10%)	150.00
2.50	2	Thiamine mononitrate	2.50
2.90	3	Riboflavin	2.90
2.00	4	Pyridoxine hydrochloride	2.00
22.00	5	Nicotinamide	22.00
12.00	6	Calcium D-pantothenate	12.00
110.00	7	Ascorbic acid for direct compression	110.00
550.00	8	Calcium phosphate (dibasic)	550.00
82.00	9	Ferrous fumarate	82.00
166.00	10	Magnesium oxide	166.00
2.50	11	Cupric sulfate	2.50
13.80	12	Manganese sulfate	13.80
57.20	13	Potassium chloride	57.20
37.00	14	Zinc sulfate	37.00
57.00	15	Avicel™ PH102	57.00
50.00	16	Kollidon® CL	50.00
5.70	17	Stearic acid	5.70
5.00	18	Magnesium stearate	5.00

MANUFACTURING DIRECTIONS

- Mix all components, pass through a 0.8 mm sieve, and press with high compression force.
- Compress 1300 mg per tablet using 16 mm biplanar punches.

MULTIVITAMIN, CALCIUM, AND IRON TABLETS

 Bill of Materials

Scale (mg/ tablet)	Item	Material Name	Qty/1000 Tablets (g)
5.00	1	Vitamin A acetate (dry powder)	5.00
2.00	2	Vitamin D (dry powder; 500,000 IU/g)	2.00
1.20	3	Thiamine mononitrate (100,000 IU/g)	1.20
1.80	4	Riboflavin, BASF	1.80
12.00	5	Nicotinamide	12.00
4.00	6	Vitamin E acetate (dry powder, SD 50)	4.00
50.00	7	Ascorbic acid (powder), BASF	50.00
60.00	8	Ferrous fumarate	60.00
200.00	9	Dibasic calcium phosphate granulated with 5% Kollidon® 30	200.00
125.00	10	Calcium carbonate	125.00
45.00	11	Avicel™ PH101	45.00
1.50	12	Aerosil® 200	1.50

MANUFACTURING DIRECTIONS

1. Mix all components, pass through a sieve, and press to tablets.
2. Compress 500 mg in 11 mm biplanar punches.

MULTIVITAMIN + CALCIUM + IRON TABLETS (1 RDA OF VITAMINS)**FORMULATION**

Vitamin A acetate dry powder, 500,000 IU/g (BASF), 5.0 g; vitamin D dry powder, 100,000 IU/g, 2.0 g; thiamine mononitrate, 1.2 g; riboflavin, 1.8 g; nicotinamide, 12.0 g; vitamin E acetate dry powder SD 50, 4.0 g; ascorbic acid, powder, 50.0 g; ferrous fumarate, 60.0 g; dibasic calcium phosphate, 200.0 g; granulated with 5% Kollidon® 30; calcium carbonate, 125.0 g; Avicel™ PH 101, 45.0 g; Aerosil® 200, 1.5 g.

MANUFACTURING DIRECTIONS

1. Mix all components, pass through a sieve, and press to tablets at 500 mg.

MULTIVITAMIN + CARBONYL IRON TABLETS (1–2 RDA OF VITAMINS)**FORMULATION**

Vitamin A acetate dry powder, 500,000 IU/g, 10.0 g; thiamine mononitrate, 2.2 g; riboflavin, 2.2 g; nicotinamide, 16.5 g; calcium D-pantothenate, 11.5 g; pyridoxine hydrochloride, 2.2 g; cyanocobalamin, dry powder 0.1%, 6.0 g; ascorbic acid, powder, 85.0 g; vitamin E acetate dry powder SD 50, 31.0 g; Ludipress®, 311.0 g; carbonyl iron powder OF, 10.0 g; magnesium stearate, 3.0 g; orange flavor, 7.2 g; saccharin sodium, 2.5 g.

MANUFACTURING DIRECTIONS

1. Mix all ingredients, pass through a 0.8 mm sieve, mix, and press with high compression force (20 kN) at 500 mg.

MULTIVITAMIN CHEWABLE TABLETS FOR CHILDREN**FORMULATION**

Vitamin A acetate dry powder, 500,000 IU/g, 7.0 g; thiamine mononitrate, 1.2 g; riboflavin, 1.2 g; nicotinamide, 20.0 g; pyridoxine hydrochloride, 1.8 g; cyanocobalamin, 0.1% dry

powder, 6.5 g; ascorbic acid, powder, 60.0 g; vitamin D₃ acetate dry powder, 100,000 IU/g, 5.0 g; vitamin E acetate, 31.0 g, dry powder SD 50; sorbitol, crystalline [10], 200.0 g; sucrose, crystalline, 200.0 g; Kollidon® VA 64, 20.0 g; Aerosil® 200, 1.0 g; orange flavor, dry powder, 30.0 g; raspberry flavor, dry powder, 6.0 g; passion fruit flavor, dry powder, 3.0 g; cyclamate sodium, 2.0 g.

MANUFACTURING DIRECTIONS

1. Mix all ingredients, pass through a 0.8 mm sieve, and press with medium to high compression force (20 kN) at 575 mg.

MULTIVITAMIN CHEWABLE TABLETS FOR CHILDREN**Bill of Materials**

Scale (mg/tablet)	Item	Material Name	Qty/1000 Tablets (g)
3500 IU	1	Vitamin A acetate (dry powder; 500,000 IU/g)	7.00
1.20	2	Thiamine mononitrate	1.20
1.20	3	Riboflavin	1.20
20.00	4	Nicotinamide	20.00
1.80	5	Pyridoxine hydrochloride	1.80
6.50	6	Cyanocobalamin (dry powder; 0.1%), BASF	6.50
60.00	7	Ascorbic acid (powder)	60.00
5.00	8	Vitamin D ₃ acetate (dry powder; 100,000 IU/g)	5.00
31.00	9	Vitamin E acetate (dry powder, SD 50)	31.00
200.00	10	Sorbitol (crystalline)	200.00
200.00	11	Sucrose (crystalline)	200.00
20.00	12	Kollidon® VA 64	20.00
1.00	13	Aerosil® 200	1.00
30.00	14	Orange flavor (dry powder)	30.00
6.00	15	Raspberry flavor (dry powder)	6.00
3.00	16	Passion fruit flavor (dry powder)	3.00
2.00	17	Cyclamate sodium	2.00

MANUFACTURING DIRECTIONS

1. Mix all ingredients, pass through a 0.8 mm sieve, and press with medium to high compression force (20 kN).
2. Compress 575 mg using 12 mm biplanar punches.

MULTIVITAMIN DROPS

Bill of Materials			
Scale (mg/g)	Item	Material Name	Qty/kg (g)
13,600 IU	1	Vitamin A palmitate (1.7 MM IU/g)	8.00
5200 IU	2	Vitamin D ₃ (40 MM IU/g)	0.13
5.00	3	Vitamin E acetate	5.00
150.0	4	Cremophor EL (or Cremophor RH 40)	150.00
2.00	5	Parabens (methyl and propyl)	2.00
525.00	6	Water purified	525.00
4.00	7	Thiamine hydrochloride	4.00
2.00	8	Riboflavin 5-phosphate sodium	2.00
2.00	9	Pyridoxine hydrochloride	2.00
2.00	10	Nicotinamide	2.00
0.20	11	Sodium bisulfite	0.20
200.00	12	Propylene glycol	200.00
QS	13	Water purified	10.00
QS	14	Hydrochloric acid	QS

MANUFACTURING DIRECTIONS

1. Heat mixture of items 1 to 4 to approximately 60°C, stir strongly, and slowly add solution of items 5 and 6 (60°C).
2. To the obtained clear solution, add solution of items 7 to 13.
3. Adjust the pH with item 14 to approximately 4, and QS to volume.

MULTIVITAMIN EFFERVESCENT GRANULES

Bill of Materials			
Scale (mg/sachet)	Item	Material Name	Qty/1000 Sachets (g)
2.60	1	Thiamine hydrochloride	0.26
3.00	2	Riboflavin	0.30
11.00	3	Nicotinamide	1.10
2.50	4	Pyridoxine hydrochloride	0.25
15.00	5	Calcium D-pantothenate	1.50
200.00	6	Ascorbic acid (powder)	20.00
500.00	7	Citric acid	50.00
1300.00	8	Sucrose	130.00
800.00	9	Fructose	80.00
200.00	10	Kollidon® CL-M	20.00
250.00	11	Flavors	25.00
20.00	12	Cyclamate sodium	2.00
1.00	13	Saccharin sodium	0.10
150.00	14	Kollidon® VA 64	15.00
350.00	15	Isopropanol	35.00
5000 IU	16	Vitamin A acetate (dry powder; 325,000 IU/g cold water dispersible [CWD])	1.50
800 IU	17	Vitamin D ₃ (dry powder; 100,000 IU/g CWD)	0.80
21.00	18	Vitamin E acetate (dry powder; 50%)	2.10
0.0660	19	Cyanocobalamin (gelatin-coated; 0.1%)	0.66
400.00	20	Sodium bicarbonate	40.00

MANUFACTURING DIRECTIONS

1. Granulate mixture of items 1 to 13 with solution of items 14 and 15.
2. Pass through a 0.8 mm sieve, dry well, and mix with items 16 to 20.
3. Fill 4 g in sachets.

MULTIVITAMIN EFFERVESCENT TABLETS

Bill of Materials			
Scale (mg/ tablet)	Item	Material Name	Qty/1000 Tablets (g)
13.00	1	Thiamine mononitrate	13.00
4.00	2	Riboflavin	4.00
11.00	3	Pyridoxine hydrochloride	11.00
66.00	4	Nicotinamide	66.00
17.00	5	Calcium D-pantothenate	17.00
360.00	6	Tartaric acid (powder)	360.00
550.00	7	Sodium bicarbonate	550.00
300.00	8	Sucrose (crystalline)	300.00
300.00	9	Sucrose (powder)	300.00
35.00	10	Kollidon® 30	35.00
5.00	11	Kollidon® 30	5.00
QS	12	Isopropanol	~80.00
6.00	13	Riboflavin	6.00
550.00	14	Ascorbic acid (powder)	550.00
20.00	15	Cyanocobalamin (dry powder, 0.1%)	20.00
12.00	16	Vitamin A palmitate (250,000 IU/g dry powder CWD)	12.00
60.00	17	Vitamin E acetate (dry powder; 50%)	60.00
80.00	18	PEG-6000 (powder)	80.00
100.00	19	Kollidon® CL	100.00

MANUFACTURING DIRECTIONS

1. Granulate the mixture of items 1 to 10 with solution of items 11 and 12. Dry at 60°C with vacuum.
2. Mix with items 13 to 19, and press with high compression force at maximum 30% of relative atmospheric humidity.
3. Compress 2.5 g per tablet using 20 mm biplanar punches.

MULTIVITAMIN EFFERVESCENT TABLETS

Bill of Materials			
Scale (mg/ tablet)	Item	Material Name	Qty/1000 Tablets (g)
5.50	1	Thiamine mononitrate	5.50
5.50	2	Riboflavin	5.50
6.50	3	Pyridoxine hydrochloride	6.50
60.00	4	Nicotinamide	60.00
30.00	5	Calcium D-pantothenate	30.00
200.00	6	Ascorbic acid (powder)	200.00
0.20	7	Cyanocobalamin (dry powder, 0.1%)	20.00
30.00	8	Vitamin A acetate (dry powder; 325,000 IU/g CWD)	30.00
55.00	9	Vitamin E acetate (dry powder; 50%)	110.00
500.00	10	Citric acid (powder)	500.00
400.00	11	Tartaric acid (powder)	400.00
500.00	12	Sodium bicarbonate	500.00
600.00	13	Ludipress®	600.00
70.00	14	PEG-6000 (powder)	70.00
0.50	15	Saccharin sodium	0.50
40.00	16	Cyclamate sodium	40.00
200.00	17	Sucrose, crystalline	200.00
200.00	18	Fructose	200.00
100.00	19	Flavors (Firmenich)	100.00

MANUFACTURING DIRECTIONS

1. Mix all components, and sieve through a 0.8 mm screen.
2. Press with high compression force at maximum 30% relative atmospheric humidity.
3. Compress 3 g in 20 mm biplanar punches.

MULTIVITAMIN EFFERVESCENT
TABLETS I, DC (1–2 RDA OF VITAMINS)

FORMULATION

Lucarotene dry powder 10%, 23.0 g; CWD G/Y, dry vitamin E acetate 50% DC, 40.0 g; thiamine mononitrate, 2.0 g; riboflavin C, 2.0 g; nicotinamide, 22.0 g; calcium D-pantothenate, 11.0 g; pyridoxine hydrochloride, 2.0 g; cyanocobalamin 0.1% dry powder, 6.0 g; ascorbic acid, powder, 85.0 g; Ludipress® LCE, 477.0 g; sodium bicarbonate, 600.0 g; tartaric acid, 400.0 g; polyethylene glycol 6000, powder, 90.0 g; orange flavor (Dragoco), 60.0 g; aspartame (Searle), 30.0 g.

MANUFACTURING DIRECTIONS

- Mix all components, pass through a 0.8 mm sieve, mix, and press with high compression force at a maximum of 30% of relative atmospheric humidity at 1850 mg.

MULTIVITAMIN EFFERVESCENT TABLETS II, DC (3–4 RDA OF VITAMINS)**FORMULATION**

Thiamine mononitrate, 5.5 g; riboflavin, 5.5 g; pyridoxine hydrochloride, 6.5 g; nicotinamide, 60.0 g; calcium D-pantothenate, 30.0 g; ascorbic acid, powder, 200.0 g; cyanocobalamin 0.1% dry powder, 20.0 g; vitamin A palmitate dry powder, 325,000 IU/g CWD, 30.0 g; vitamin E acetate dry powder 50%, 110.0 g; tartaric acid, powder, 400.0 g; sodium bicarbonate, 500.0 g; Ludipress®, 600.0 g; polyethylene glycol 6000, powder, 70.0 g; saccharin sodium, 0.5 g; cyclamate sodium, 40.0 g; sucrose, crystalline, 200.0 g; fructose, 200.0 g; flavors (Firmenich), 100.0 g.

MANUFACTURING DIRECTIONS

- Mix all components, sieve through a 0.8 mm screen, and press with high compression force at maximum 30% relative atmospheric humidity.

MULTIVITAMIN EFFERVESCENT TABLETS WITH BETA-CAROTENE

Bill of Materials			
Scale (mg/ tablet)	Item	Material Name	Qty/1000 Tablets (g)
2.00	1	Thiamine mononitrate	2.00
2.00	2	Riboflavin	2.00
2.00	3	Pyridoxine hydrochloride	2.00
22.00	4	Nicotinamide	22.00
11.00	5	Calcium D-pantothenate	11.00
400.00	6	Tartaric acid (powder)	400.00
300.00	7	Lactose monohydrate	300.00
100.00	8	Cornstarch	100.00
3.00	9	Cornstarch	3.00
50.00	10	Water	50.00
23.00	11	Beta-carotene (dry powder; 10% CWD; food grade)	23.00
6.00	12	Cyanocobalamin (powder; 0.1%)	6.00
85.00	13	Ascorbic acid (powder)	85.00
40.00	14	Vitamin E acetate (dry powder; 50%)	40.00
600.00	15	Sodium bicarbonate	600.00
80.00	16	Flavors	80.00
QS	17	Saccharin sodium	QS

MANUFACTURING DIRECTIONS

- Granulate mixture of items 1 to 6 with solution of items 9 and 10 prepared at 70°C.
- Dry and sieve, add items 11 to 17, pass through a 0.4 mm sieve, and press with high compression force at maximum 30% of relative atmospheric humidity.
- Compress 1.63 g using 16 mm biplanar punches.

MULTIVITAMIN INFANT DROPS

Bill of Materials			
Scale (mg/ mL)	Item	Material Name	Qty/L (g)
1125 IU	1	Vitamin A palmitate (1.7 MM IU/g, 50% excess)	1.324
416 IU	2	Vitamin D (40 MM IU/g, cholecalciferol, 25% excess)	0.013
5.00	3	Vitamin E (oily; alpha-tocopheryl acetate)	5.00
52.50	4	Ascorbic acid (50% excess)	78.75
0.375	5	Thiamine hydrochloride (50% excess)	0.75
0.40	6	Pyridoxine hydrochloride	0.40
8.00	7	Nicotinamide	8.00
0.00125	8	Cyanocobalamin (50% excess)	0.001875
0.82	9	Riboflavin sodium phosphate (5% excess as riboflavin)	0.865
2.50	10	Polyoxyl 20 cetostearyl ether (Cetomacrogol 1000)	2.50
12.50	11	Polysorbate 80 (Tween 80)	12.50
0.50	12	Edetate disodium (sodium EDTA)	0.50
3.75	13	Sodium hydroxide	3.75
0.25	14	Saccharin sodium	0.25
300.00	15	Glycerin (glycerol)	300.00
500.00	16	Sorbitol (70% solution)	500.00
50.00	17	Propylene glycol	50.00
1.50	18	Flavor	1.50
3.00	19	Flavor	3.00
1.50	20	Flavor	1.50
–	21	Purified water	QS to 1 L

MANUFACTURING DIRECTIONS

The product is a microemulsion and thermolabile. The temperature of solution must not exceed 25°C at the time of processing. Store bulk at temperature 15°C to 20°C under nitrogen protection to avoid discoloration and precipitation. Period of storage should not exceed 48 hours prior to filling in the bottle.

- Check and record pH of item 21 (limit: 5.0–6.5), and collect 250 g of it in manufacturing vessel. Heat to 90°C to 95°C for 10 minutes; then, cool to 20°C to 25°C.
- Bubble nitrogen gas into cooled item 21 for 20 minutes.
- Load 200 g of item 21 from first step to the manufacturing vessel.

4. Bubble nitrogen gas during all stages of the process.
5. Add items 4 to 9 and 12 to 14 one by one to the manufacturing vessel while mixing.
6. Check that all materials are dissolved completely. Solution should be clear.
7. Add item 11 in a separate stainless steel container, and heat to 45°C.
8. Mix items 1, 2, 3, and 10 one by one.
9. Mix for 1 hour at slow speed.
10. Add oil phase preparation to the aqueous phase at a rate of 2 mL/min while mixing. Keep on bubbling nitrogen gas throughout the process.
11. Add items 15 and 16 to the manufacturing vessel one by one while mixing.
12. Keep on bubbling nitrogen gas throughout the process.
13. Add items 18, 19, and 20 in item 17, and add to the manufacturing vessel while mixing.
14. Adjust the volume to 1 L using nitrogen-bubbled item 21.
15. Mix for 10 minutes at slow speed without aeration.
16. Check pH (limit: 3.7–4.5).
17. Filter the product at 1.5 bar.
18. Recirculate approximately 100 to 150 mL of product.
19. Transfer the filtered product to the storage vessel under a nitrogen blanket.

MULTIVITAMIN INFANT DROPS

Bill of Materials			
Scale (mg/ 0. mL)	Item	Material Name	Qty/L (g)
675.00	1	Glycerin, USP (96%)	675.00
10.00	2	Nicotinamide niacinamide (white powder) (5% excess)	17.50
2.74	3	Riboflavin-5'-phosphate sodium (0% excess)	2.74
0.50	4	Methyl paraben (powder)	500.00 mg
1.00	5	Benzoic acid	1.00
2.10	6	Saccharin sodium (powder)	2.10
1.50	7	Thiamine HCl (45% excess)	3.625
0.60	8	Pyridoxine HCl	833.34 mg
50.00	9	Ascorbic acid (white powder) (20% excess)	100.00
0.257	10	Orange oil terpeneless No. 54125	257.789 mg
0.095	11	Alcohol (ethanol)	95.50 mg
80.00	12	Polysorbate 80	80.00
0.186	13	Butylated hydroxyanisole	186.92 mg
400 IU	14	Vitamin D viosterol in corn oil (oleovitamin D) (25% excess)	833.34 mg
5000 IU	15	Vitamin A; use vitamin A palmitate (1,500,000 AU/g) (50% excess ^a)	16.66
QS	16	Purified water	329
QS	17	Carbon dioxide gas	QS

^a Excess includes 20% manufacturing loss and 30% stability excess.

MANUFACTURING DIRECTIONS

Use carbon dioxide cover at all times, and use stainless steel 316 or higher resistant equipment.

1. Add 300 mL of purified water and the glycerin into a suitable jacketed tank. Start mixing.
2. Add, in this order, nicotinamide, riboflavin-5'-phosphate sodium, Aspetoform M (paraben), benzoic acid, and saccharin sodium.
3. Continue mixing for balance of process.
4. Heat to 90°C to 100°C to dissolve ingredients.
5. In a separate tank, boil at least 15 mL of purified water for at least 15 minutes.
6. Cool while bubbling CO₂ gas into it, and hold at 30°C or lower for use later for making up the volume.
7. Start cooling the main tank. When the temperature reaches 50°C to 60°C, start bubbling CO₂ gas through the solution from the bottom of the tank.
8. Continue cooling to 25°C. Continue the CO₂ gas protection for the balance of the process.
9. Add and dissolve thiamine HCl, pyridoxine HCl, and ascorbic acid.
10. Dissolve orange oil in alcohol, and add.
11. Load approximately 5.25 g of Polysorbate 80 into a separate stainless steel container.
12. Heat to 50°C to 60°C. Add the butylated hydroxyanisole and dissolve with mixing. Remove heat.
13. Add remaining Polysorbate 80 into the container, setting aside a sufficient quantity for rinsing the vitamin containers.
14. Bubble in CO₂ gas while mixing slowly. Stop mixing.
15. Add viosterol and vitamin A palmitate.
16. Rinse bottles with remaining Polysorbate 80, and drain.
17. Mix slowly for at least 30 minutes or longer, if necessary, to provide a clear solution. Continue to bubble CO₂ gas for the entire mixing period.
18. Change CO₂ gas protection on main mixing tank to the top to prevent excessive foaming upon addition of Polysorbate 80 solution.
19. Add Polysorbate 80 solution to the main tank from the bottom of the tank to the top to prevent excessive foaming. Stop mixing.
20. If the volume is less than 1000 mL, adjust the volume with CO₂-saturated purified water made previously to 1000 mL. Mix for at least 1 hour.
21. In a separate tank, boil at least 115 mL of purified water for at least 15 minutes.
22. Cool while bubbling CO₂ gas into it, and hold at 30°C or lower for use later. Stop mixing.
23. Allow to stand for at least 4 hours to eliminate entrapped CO₂ gas.
24. Readjust volume to 1000 mL with CO₂-saturated purified water. Mix for at least 1 hour. Stop mixing.
25. Filter through lint-free paper; do not use filter aids.
26. Recirculate product back to mixing tank until clear.
27. Flush storage tank with CO₂ gas, and continue CO₂ gas protection until product has been filled.
28. Average intake dose is 0.60 mL.

MULTIVITAMIN INSTANT GRANULES

Bill of Materials			
Scale (mg/ sachet)	Item	Material Name	Qty/30 kg (g)
40.00	1	Vitamin A and vitamin D (dry powder + 50,000 IU/g CWD)	200.00
5.00	2	Thiamine mononitrate, with excess	26.00
6.00	3	Riboflavin, with excess	33.00
22.00	4	Nicotinamide	110.00
4.50	5	Pyridoxine hydrochloride	22.00
30.00	6	Calcium D-pantothenate	150.00
0.013	7	Cyanocobalamin; use cyanocobalamin (gelatin-coated, 0.1%)	66.00
230	8	Ascorbic acid powder	1150.00
–	9	Vitamin E acetate dry powder	210.00
4000	10	Sucrose (finely ground)	20,000.00
1000	11	Kollidon® CL-M	5000.00
200	12	Orange flavor	1000.00
400	13	Kollidon® VA 64	2000.00
–	14	Ethanol or isopropanol	~7.00 L

MANUFACTURING DIRECTIONS

1. Pass mixture through a 0.8 mm sieve, and granulate with solution of items 13 and 14 in the fluidized bed.
2. Fill 6 to 12 g of the granules in sachets.
3. If the technology of a fluidized bed is not available, the dry powders of vitamins A, B₁₂, and E should be added after granulation of the other components.
4. Suspend 6 to 12 g (= 1 sachet) in a glass of water (corresponds to 2 to 4 RDA of vitamins).

MULTIVITAMIN MINERAL SYRUP

Bill of Materials			
Scale (mg/ mL)	Item	Material Name	Qty/L (g)
6.65	1	Acid hypophosphorous (50% pure)	6.65
16.47	2	Calcium hypophosphite	16.47
31.68	3	Calcium lactate (powder)	31.68
1.00	4	Methylparaben	1.00
0.20	5	Propylparaben	200.00 mg
1.00	6	Benzoic acid	1.00
150.00	7	Sucrose (granular)	150.00
5.20	8	Ferrous gluconate	5.20
2.00	9	Niacinamide (5% excess)	2.10
0.32	10	Riboflavin-5-phosphate sodium	328.77 mg
1.00	11	D-Pantothenyl alcohol (dexpanthenol) (20% excess)	1.20
0.60	12	Vitamin B ₁₂ (cyanocobalamin) (35% excess)	810.00 µg
0.20	13	Pyridoxine hydrochloride	200.00 mg
0.30	14	Thiamine hydrochloride (regular powder) (55% excess)	465.00 mg
4.78	15	Flavor	4.78
1.94	16	Flavor	1.94
0.64	17	Orange oil, terpeneless	642.00 mg
0.21	18	Lime oil, distilled	214.97 mg
4.28	19	Alcohol (190 proof)	4.28
2.50	20	Saccharin sodium	2.50
14.50	21	Acid ascorbic (white powder/EP) (45% excess)	14.50
3.00	22	Caramel (acid proof)	3.00
2.00	23	Anhydrous citric acid (powder/EP)	2.00
0.01	24	Butylated hydroxyanisole (BHA)	10.00 mg
3.39	25	Corn oil	3.39
0.40	26	Vitamin A palmitate (TN, 1.5 MM UA/g) (40% excess)	560.00 mg
0.08	27	Viosterol in corn oil (syn. oleovitamin D; 1000 mD/g; D ₃ in arachis oil) (40% excess)	112.00 mg
1.50	28	Acacia	1.50
0.12	29	Sodium lauryl sulfate (acetone washed)	127.41 mg
171.00	30	Deionized, purified water	171.00
QS	31	Glucose liquid	QS to 1 L

MANUFACTURING DIRECTIONS

Do not expose this preparation during manufacturing to direct sunlight. Riboflavin is sensitive to light.

1. Add 83.7 mL of purified water to a stainless steel jacketed tank.
2. Add calcium hypophosphite, calcium lactate, parabens, and benzoic acid.
3. Heat mixture to 60 °C with agitation.

4. Shut off mixer, and wash tank free of all powders with 25.9 mL purified water.
5. Heat mixture to and maintain a maximum temperature of 100°C until solution is complete. Do not agitate. Avoid loss of water through evaporation. Cover opening of tank.
6. After solution occurs, take sample from bottom of tank and examine for clarity. Solution must be clear.
7. Add acid hypophosphorous (if used) with mixing.
8. Turn off heat, add 222 g glucose, and start agitator. (*Caution:* Use CO₂ cover throughout. Wherever water is used, it should be CO₂-saturated water.) Dissolve ferrous gluconate in 7.4 mL water CO₂ saturated by heating.
9. Add 278 g glucose with mixing. Add and dissolve sugar.
10. Allow solution to cool to 35°C, and mix well.
11. To 29.6 mL water, add and dissolve nicotinamide, riboflavin, D-pantothenyl alcohol, vitamin B₁₂, pyridoxine, and thiamine. Mix until solution is complete, and add to tank. Dissolve by heat if necessary.
12. Charge flavors into tank.
13. Charge saccharin into tank, and mix until dissolved.
14. Charge ascorbic acid into tank, and mix well.
15. Charge caramel into tank, and mix well. Dissolve citric acid in 3 mL water, and add to mixture.
16. Heat corn oil to 50°C to 60°C, and add and dissolve BHA. Be sure the BHA is completely dissolved before continuing.
17. Cool to room temperature. While cooling oil mixture, saturate with CO₂, and maintain heavy CO₂ coverage for balance of operation.
18. Set aside a small amount of this mixture as a rinse for the vitamin A and viosterol containers.
19. Add vitamin A palmitate TN and viosterol to the cool corn oil mixture, rinsing the containers with the reserved oil.
20. Add the rinse to the bulk. Mix well.
21. Add the acacia to the oil mixture with good mixing.
22. Dissolve sodium lauryl sulfate in 3 mL CO₂-saturated purified water.
23. To avoid excessive foaming, do not bubble CO₂ gas through the water/sodium lauryl sulfate solution.
24. Add the sodium lauryl sulfate solution to the oil mixture, and stir to a thick creamy emulsion.
25. Add 7.56 g glucose to the emulsion with mixing.
26. Blend 13.33 mL CO₂-saturated purified water with 77.04 g glucose, and add emulsion with stirring.
27. Recycle primary emulsion back into holding tank while setting mill.
28. Homogenize until all oil globules are less than 8 μm in diameter using colloid mill with a fine setting.
29. Add primary emulsion to syrup solution with mixing. Add glucose QS to 965 mL, and mix well.
30. Allow to stand overnight to vent entrapped air.

31. Adjust the volume to 1 L using glucose or glucose and CO₂-saturated water.
32. Strain through 149 μm aperture or similar screen into clean reserve tank, and recheck volume.
33. Seal tank under heavy CO₂ until filled.

MULTIVITAMIN + MINERALS TABLETS WITH BETA-CAROTENE (1 RDA OF VITAMINS)

FORMULATION

Beta-carotene dry powder, Betavit 20%, 16.5 g; thiamine mononitrate, 1.7 g; riboflavin, 1.9 g; nicotinamide (Degussa), 22.0 g; calcium D-pantothenate, 12.0 g; pyridoxine hydrochloride, 2.2 g; ascorbic acid, crystalline, 72.0 g; vitamin E acetate dry powder 50%, 66.0 g; ferrous fumarate, 54.7 g; magnesium oxide, high-density type, 165.8 g; copper II oxide, powder, 2.5 g; manganese sulfate, 6.9 g; zinc oxide, 18.7 g; potassium chloride (Baker), 76.3 g; dicalcium phosphate, DI-TAB, 550.0 g; Avicel™ PH 102, 60.0 g; croscarmellose, 32.0 g; Syloid® 244 FP (Grace), 6.0 g; stearic acid, 6.0 g; magnesium stearate, 6.0 g.

MANUFACTURING DIRECTIONS

1. Pass all ingredients through a 0.8 mm sieve, blend in a mixer, and then compress with medium to high compression force at 1193 mg.

MULTIVITAMIN ORAL GEL

FORMULATION

- I. Vitamin A palmitate, 1.7 million IU/g, 110 mg; vitamin E acetate, 1060 mg; butylated hydroxytoluene (BHT), 500 mg; Cremophor RH 40, 20 g.
- II. Water, 725 g.
- III. Thiamine hydrochloride, 355 mg; riboflavin, 35 mg; pyridoxine hydrochloride, 177 mg; cyanocobalamin gelatin coated 1%, 35 mg; nicotinamide, 353 mg; folic acid, 35 mg; dexpanthenol, 353 mg; EDTA sodium, 300 mg; ferrous sulfate (7H₂O), 438 mg; manganese chloride (4H₂O), 638 mg; potassium iodide, 115 mg.
- IV. Kollidon® 90 F, 50 g; Lutrol F 127, 100 g; Lutrol F 127 [1], 100 g; Total amount: approximately 1000 g.

MANUFACTURING DIRECTIONS

1. Heat mixture I to approximately 60°C to obtain a clear solution.
2. Slowly add the water II to the well-stirred solution I.
3. Dissolve III and IV in this mixed solution (step 2) at room temperature, cool to approximately 6°C, add IV, and stir until all Lutrol F 127 is dissolved.
4. Maintain the cool temperature until the air bubbles escape.

MULTIVITAMIN ORAL GEL VETERINARY

Bill of Materials			
Scale (mg/g)	Item	Material Name	Qty/kg (mg)
18,700 IU	1	Vitamin A palmitate (1.7 MM IU/g)	110.00
1.06	2	Vitamin E acetate	1060.00
0.50	3	BHT	500.00
20.00	4	Cremophor RH 40	20.00 g
725.00 g	5	Water	725.00 g
0.355	6	Thiamine hydrochloride	355.00
0.035	7	Riboflavin	35.00
0.177	8	Pyridoxine hydrochloride	177.00
0.035	9	Cyanocobalamin (gelatin coated, 1%)	35.00
0.353	10	Nicotinamide	353.00
0.035	11	Folic acid	35.00
0.353	12	Dexpanthenol	353.00
0.30	13	EDTA sodium	300.00
0.438	14	Ferrous sulfate (7H ₂ O)	438.00
0.638	15	Manganese chloride (4H ₂ O)	638.00
0.115	16	Potassium iodide	115.00
50.00	17	Kollidon® 90 F	50.00 g
100.00	18	Lutrol F 127	100.00 g
100.00	19	Lutrol F 127	100.00 g

MANUFACTURING DIRECTIONS

1. Heat mixture of items 1 to 4 to approximately 60°C to obtain a solution.
2. Slowly add the water (item 5) to the well-mixed solution.
3. Dissolve items 6 to 16 and item 17 separately in this mixed solution at room temperature, cool to approximately 6°C, add item 19, and stir until all Lutrol F127 clear is dissolved.
4. Maintain the cool temperature until the air bubbles escape.

MULTIVITAMIN ORAL GEL WITH LINOLEIC AND LINOLENIC ACID

Bill of Materials			
Scale (mg/mL)	Item	Material Name	Qty/100 mL (mg)
0.05	1	Evening primrose oil (Epopure®, Prima Rosa/SA)	5.00 mL
0.30	2	Vitamin A palmitate (1.7 million IU/g)	30.00
0.19	3	Vitamin E acetate	19.00
0.00150	4	Vitamin D ₃ (40 million IU/g)	150.00 µg
200.00	5	Cremophor RH 40	20.00 g
550.00	6	Water	55.00 g
0.03	7	Thiamine hydrochloride	3.00
0.03	8	Riboflavin	3.00
0.15	9	Pyridoxine hydrochloride	15.00
0.001	10	Cyanocobalamin (crystalline)	10.00 µg
0.001	11	Calcium D-pantothenate	10.00
0.005	12	Nicotinamide	50.00
10.00	13	Ascorbic acid (crystalline)	1.00 g
140.00	14	Lutrol F 127	14.00 g
50.00	15	Lutrol F 127	5.00 g

MANUFACTURING DIRECTIONS

1. Prepare mixture of items 1 to 5, and heat to approximately 65°C.
2. Slowly add the warm water (item 6) (65°C) to the well-stirred mixture as before.
3. Dissolve items 7 to 14 at 20°C to 25°C in this clear solution.
4. Cool the obtained solution to approximately 5°C, and dissolve the rest of the Lutrol F 127 (item 15).
5. Maintain the cool temperature until the air bubbles escape.
6. A clear yellow gel is obtained. 5 mL of evening primrose oil Epopure® contains 3.5 g linoleic acid and 0.45 g gamma-linolenic acid.

MULTIVITAMIN SYRUP

Bill of Materials			
Scale (mg/mL)	Item	Material Name	Qty/100 mL (mg)
170 IU	1	Vitamin A palmitate (1.7 million IU/g)	10.00
2.00 IU	2	Vitamin D (40 million IU/g)	0.05
1.00	3	Vitamin E acetate	100.00
0.02	4	BHT	2.00
45.00	5	Cremonophor RH 40	4.50 g
100.00	6	Water	10.00 g
450.00	7	Saccharose	45.00 g
2.00	8	Methylparaben	200.00
0.08	9	Citric acid	80.00
9.60	10	Glycerol	9.60 g
250.00	11	Water	25.00 g
0.15	12	Thiamine hydrochloride	15.00
0.15	13	Riboflavin 5'-phosphate sodium	15.00
0.55	14	Nicotinamide	55.00
0.15	15	Pyridoxine hydrochloride	15.00
3.00	16	Ascorbic acid (crystalline)	300.00
1.00	17	Sorbic acid	100.00
5.00	18	Propylene glycol (Pharma)	5.00 g

MANUFACTURING DIRECTIONS

- Mix items 1 through 5 and heat to 60°C.
- Separately heat item 2 to approximately 60°C.
- Mix these two solutions slowly, stirring well to obtain a clear solution.
- Dissolve items 7 to 9 in the hot solution of items 10 and 11 to obtain a clear solution.
- Add to previous solution.
- Add items 12 to 18, and adjust the pH to 4.0 to 4.2.
- Pass nitrogen through the solution for 10 minutes, and fill under nitrogen cover.
- Provides 1 to 2 RDA/20 mL.

MULTIVITAMIN SYRUP

Bill of Materials			
Scale (mg/mL)	Item	Material Name	Qty/100 mL (mg)
0.17	1	Vitamin A palmitate (1.7 MM IU/g)	17.00
0.001	2	Vitamin D ₃ (40 MM IU/g)	0.10
0.01	3	BHT	1.00
30.00	4	Cremonophor RH 40	3.00 g
1.00	5	Parabens	100.00
170.00	6	Water	17.00 g
0.50	7	Thiamine hydrochloride	50.00
0.20	8	Riboflavin phosphate sodium	20.00
0.20	9	Pyridoxine hydrochloride	20.00
2.50	10	Ascorbic acid (crystalline)	250.00
50.00	11	Water	5.00 g
–	12	Sugar syrup	Add 100 mL

MANUFACTURING DIRECTIONS

- Heat mixture of items 1 to 4 to approximately 65°C.
- Stir well, and very slowly add item 6 to warm solution (65°C).
- Mix with solution of items 7 to 11, and add item 12 to make up the volume.
- Note:* Parabens are generally a 1:10 ratio of methyl and propyl parabens.

MULTIVITAMIN TABLET CORES WITH BETA-CAROTENE (1–2 RDA OF VITAMINS)

FORMULATION

Vitamin A acetate dry powder, 500,000 IU/g, 1.27%; beta-carotene dry powder Betavit 10%, 11.50%; thiamine mononitrate, 1.24%; riboflavin, 0.96%; nicotinamide, 11.50%; calcium D-pantothenate, 1.91%; pyridoxine hydrochloride, 1.15%; cyanocobalamin gelatin coated 1%, 2.86%; D-biotin, 1% trituration, 1.91%; folic acid, 0.09%; ascorbic acid, 38.20%; vitamin D₃ dry powder, 100,000 IU/g, 0.76%; vitamin E acetate dry powder 50 DC, 28.40%; phytomenadione dry powder 5% GFP, 0.19%, 270.2 g; Ludipress®, 69.1 g; magnesium stearate, 3.3 g.

MANUFACTURING DIRECTIONS

- Pass all components through a 0.8 mm sieve, mix, and press with high compression force at 459 mg.

MULTIVITAMIN TABLETS

Bill of Materials			
Scale (mg/ tablet)	Item	Material Name	Quantity/1000 Tablets (g)
10.00	1	Thiamine mononitrate (powder), USP (5% excess; 5–10%)	10.50
5.00	2	Riboflavin, USP	5.00
100.00	3	Nicotinamide niacinamide (white powder), USP	100.00
200.00	4	Ascorbic acid; use sodium ascorbate (microcrystalline) (2% excess)	229.47
40.00	5	Calcium pantothenate; use calcium pantothenate racemic (20% excess)	48.00
5.00	6	Pyridoxine hydrochloride, USP	5.00
6.10	7	Povidone (PVP K-25), USP	6.10
–	8	Alcohol dehydrated (200 proof), USP	25.00 mL
21.90	9	PEG-8000, NF	21.90
25,000 IU	10	Vitamin A (275,000 IU ^a) (20% excess)	7.50 mg
400 IU	11	Vitamin D as D ₂ powder (850 mD ^a)	1.77
6.00	12	Vitamin B ₁₂ oral powder in gelatin (5% excess)	6.30
16.00	13	PEG-8000 (milled), NF	16.00
5.30	14	Magnesium stearate	5.30
23.20	15	Talc	23.20

^a Adjust quantities according to regulatory allowance for OTC label.

MANUFACTURING DIRECTIONS

Vitamin A is susceptible to destruction by oxidation and also excessive exposure to actinic light and moisture. Oxidation and destruction are catalyzed by traces of copper and other heavy metals. Dry granulation and compression of this tablet should be done where RH is less than 40%. Protect with CO₂ at blending and storage stages.

1. Load the following into a suitable mixer (screen if necessary): thiamine mononitrate, riboflavin, nicotinamide, sodium ascorbate, calcium pantothenate, and pyridoxine HCl.
2. Dissolve the PVP (item 7) in approximately 16 mL alcohol.
3. Add PVP solution to the powders from first step, and QS with alcohol to mass.
4. Granulate the mass through a 4 mesh (4.76 mm aperture or similar) screen.
5. Dry at 50°C until the LOD is less than 1%.
6. Grind to 16 mesh (1.2 mm or similar).

7. Melt the PEG-8000 (item 10), and incorporate vitamins A and D with thorough agitation.
8. Mix until mass cools and becomes granular.
9. Screen through a 16 mesh (1.2 mm aperture or similar) screen, and grind coarse material through a Fitz mill or similar No. 2 band (1.59 mm aperture or similar) at slow speed or a 16 mesh (1.2 mm aperture or similar).
10. Reserve for lubrication.
11. Mix milled PEG-8000 (item 13) with talc and magnesium stearate, and pass through a Fitz mill using a 60 mesh (250 μm aperture or similar) screen (impact forward, high speed).
12. If a Fitz mill is unavailable, pass the mixture through a 30 mesh (595 μm aperture or similar) screen.
13. Load base granulation into a mixer along with vitamin B₁₂, the preceding mixture, and the PEG-coated vitamins A and D mixture from the first step. Blend thoroughly.
14. Store dry mixed granulation with CO₂ protection.
15. Compress.
16. Apply a PVP subcoat, a CAP-carbowax, or other aqueous coating, and finish with a polish coat (see Appendix).

MULTIVITAMIN TABLETS

Bill of Materials			
Scale (mg/ tablet)	Item	Material Name	Qty/1000 Tablets (g)
10.00	1	Riboflavin	10.00
100.00	2	Niacinamide (white powder)	100.00
5.00	3	Pyridoxine hydrochloride (15% excess)	5.75
15.00	4	Thiamine mononitrate (powder) (5% excess)	15.75
500.00	5	Ascorbic acid, EP	500.00
100.00	6	Lactose	100.00
40.00	7	Povidone (K-29-32)	40.00
100.00	8	Cellulose microcrystalline (Avicel™ PH101)	100.00
–	9	Alcohol SD 3A (200 proof)	QS
20.00	10	Calcium pantothenate; use racemic calcium pantothenate, USP (80 mesh; 15% excess)	46.00
11.50	11	Magnesium oxide (light powder calcined)	11.50
500.00	12	Ascorbic acid	500.00
3.83	13	Povidone (K-29-32)	3.83
–	14	Alcohol SD 3A (200 proof)	QS
4.00 μg	15	Vitamin B ₁₂ ; use vitamin B ₁₂ oral powder in gelatin (15% excess)	4.60
28.00	16	Acid stearic	28.00
9.60	17	Magnesium stearate	9.60

MANUFACTURING DIRECTIONS

1. Dry blend the riboflavin, niacinamide, pyridoxine hydrochloride, thiamine mononitrate, ascorbic acid (item 5), and lactose for 10 minutes.
2. Dissolve the povidone (item 7) in 75 mL of alcohol (item 9).
3. While mixing in mass mixer, add the povidone solution to mass, and continue mixing for 10 minutes or until a satisfactory granule mass is obtained.
4. Additional alcohol may be added if required.
5. Granulate the mass through a 15.9 mm screen using a comminuting mill (knives forward, slow speed) or a 4 mm screen on an oscillating granulator.
6. Dry the granules between 41°C and 49°C in a hot air oven (for approximately 8 hours) or fluid-bed dryer until moisture content is less than 1.5%.
7. Dry screen the granule through a 1 mm screen on an oscillating granulator.
8. Dry blend the calcium pantothenate and magnesium oxide in a suitable mixer for 10 minutes.
9. Dissolve the povidone (item 13) in 20 mL alcohol (item 14).
10. While mixing, add the povidone solution, and mix to produce a suitable mass.
11. Additional alcohol may be added if required.
12. Granulate the mass through a 15.9 mm aperture screen using a comminuting mill (knives forward, slow speed) or a 4 mm screen on an oscillating granulator.
13. Dry the granule at 45 ° C in a hot air oven until moisture content is less than 1.5%.
14. Dry screen granule through a 1.0 mm screen on an oscillating granulator.
15. Mix the two granules made separately in a suitable mixer.
16. Add vitamin B₁₂ powder, and blend for 10 minutes. If necessary, screen the stearic acid and magnesium stearate through a 250 µm screen.
17. Add the remainder of the granule together with the magnesium stearate and stearic acid to the mixer, and blend for 10 minutes.
18. Compress and coat (see Appendix).

MULTIVITAMIN TABLETS

Bill of Materials			
Scale (mg/ tablet)	Item	Material Name	Qty/1000 Tablets (g)
10.00	1	Riboflavin	10.00
100.00	2	Niacinamide (white powder)	100.00
5.00	3	Pyridoxine hydrochloride (15% excess)	5.75
15.00	4	Thiamine mononitrate (powder) (5% excess)	15.75
40.00	5	Povidone (K-29-32)	40.00
25.00	6	Povidone (K-29-32)	25.00
–	7	Alcohol SD 3A (200 proof)	QS
13.50	8	Stearic acid (fine powder)	13.50
2.70	9	Magnesium stearate	2.70

MANUFACTURING DIRECTIONS

1. Mill the niacinamide, riboflavin, pyridoxine hydrochloride, and thiamine mononitrate through a 500 µm screen on a comminuting mill (impact forward, slow speed).
2. Load screened material from previous step into a mass mixer, add the povidone (item 5) and the cellulose microcrystalline, and dry blend for 5 to 15 minutes.
3. While mixing in the mass mixer, add alcohol (item 7) to mass, and continue mixing for 10 minutes or until a satisfactory granule mass is obtained.
4. If necessary, granulate the mass through a 15.9 mm screen using a comminuting mill (knives forward, slow speed) or a 4 mm screen on an oscillating granulator.
5. Dry the granule between 41°C and 49°C in a hot air oven (for approximately 8 hours) or fluid-bed dryer until moisture content is less than 1.5%.
6. Dry screen the granules through a 1 mm screen on an oscillating granulator.
7. Load ascorbic acid and povidone (item 6) into the mixer, and dry-blend for 10 minutes.
8. While mixing, add 15 mL of alcohol (item 7), and mix until a satisfactory mass is formed, adding more alcohol if necessary. If necessary, screen through a 4.00 mm screen, and load onto trays.
9. Dry at 49°C for 8 hours.
10. Dry screen the granules through a 1 mm aperture screen on an oscillating granulator.
11. Screen the magnesium stearate and stearic acid through a 500 µm aperture screen.
12. Mix the two granules, add the screened lubricants, and blend for 20 minutes.
13. Coat with a protective subcoat, a color coat, and a polish coat (see Appendix).

MULTIVITAMIN TABLETS

Bill of Materials			
Scale (mg/ tablet)	Item	Material Name	Qty/1000 Tablets (g)
10.00	1	Vitamin A acetate (dry powder; 500,000 IU/g)	10.00
2.20	2	Thiamine mononitrate	2.20
2.20	3	Riboflavin	2.20
16.50	4	Nicotinamide	16.50
11.50	5	Calcium D-pantothenate	11.50
2.20	6	Pyridoxine hydrochloride	2.20
6.00	7	Cyanocobalamin (dry powder, 0.1%)	6.00
85.00	8	Ascorbic acid (powder)	85.00
31.00	9	Vitamin E acetate (dry powder; SD 50)	31.00
321.00	10	Ludipress ^{®a}	321.00
21.00	11	Kollidon [®] VA 64	21.00
3.00	12	Magnesium stearate	3.00
7.20	13	Orange flavor	7.20
2.50	14	Saccharin sodium	2.50

^a Can be replaced with 300 g of microcrystalline cellulose (Vitalcel[®]).

MANUFACTURING DIRECTIONS

- Mix all components, pass through a 0.8 mm sieve, mix, and press with medium compression force (15 kN).
- Compress 500 mg in 12 mm biplanar punches.

Bill of Materials			
Scale (mg/ tablet)	Item	Material Name	Qty/1000 Tablets (g)
2.00	1	Thiamine hydrochloride	2.20
2.20	2	Riboflavin	2.20
11.00	3	Calcium D-pantothenate	11.00
2.20	4	Pyridoxine hydrochloride	2.20
300.00	5	Mannitol	300.00
20.00	6	Kollidon [®] 30 or Kollidon [®] VA 64	20.00
–	7	Isopropanol	~80
5000 IU vitamin A, 500 IU vitamin D	8	Vitamins A and D; use crystallites of vitamin A acetate + vitamin D ₃ dry powder (500,000 + 50,000 IU/g) (10% excess)	11.00
31.00	9	Vitamin E acetate (dry powder; SD 50)	31.00
0.06	10	Cyanocobalamin; use gelatin- coated cyanocobalamin (0.1%)	6.00
80.00	11	Ascorbic acid (crystalline)	80.00
20.00	12	Nicotinamide	20.00
65.00	13	Avicel [™] PH101	65.00
7.00	14	Orange flavor	7.00
2.00	15	Saccharin sodium	2.00
3.00	16	Magnesium stearate	3.00

MANUFACTURING DIRECTIONS

- Granulate mixture of items 1 to 5 with solution of items 6 and 9.
- Pass through a 0.8 mm sieve, mix with items 8 to 16, and press with medium compression force.
- Compress 560 mg in 12 mm biplanar punches.

MULTIVITAMIN TABLETS, DC
(1–2 RDA OF VITAMINS)

FORMULATION

Vitamin A acetate dry powder, 500,000 IU/g, 10.0 g; thiamine mononitrate, 2.2 g; riboflavin, 2.2 g; nicotinamide, 16.5 g; calcium D-pantothenate, 11.5 g; pyridoxine hydrochloride, 2.2 g; cyanocobalamin, 0.1% dry powder, 6.0 g; ascorbic acid, powder, 85.0 g; vitamin E acetate dry powder SD 50, 31.0 g; Ludipress[®], 321.0 g; magnesium stearate, 3.0 g; orange flavor, 7.2 g; saccharin sodium, 2.5 g.

MANUFACTURING DIRECTIONS

- Mix all components, pass through a 0.8 mm sieve, mix, and press with medium compression force (15 kN).

MULTIVITAMIN TABLETS FOR DOGS

FORMULATION

Vitamins A and D₃ dry powder, 500,000 and 50,000 IU/g, 4.0 g; thiamine mononitrate, 0.5 g; riboflavin, 0.7 g; nicotinamide, 5.0 g; calcium D-pantothenate, 1.0 g; pyridoxine hydrochloride, 0.5 g; cyanocobalamin gelatin coated 1%, 0.5 g; folic acid, 0.05 g; choline bitartrate, 20.0 g; vitamin E acetate dry powder SD 50, 20.0 g; Ludipress[®], 196.0 g; magnesium stearate, 2.0 g.

MANUFACTURING DIRECTIONS

Pass all components through a 0.8 mm sieve, mix, and press with low compression force at 250 mg.

MULTIVITAMIN TABLETS FOR DOGS

Bill of Materials			
Scale (mg/ tablet)	Item	Material Name	Qty/1000 Tablets (g)
2000 IU Vitamin A, 200 IU Vitamin D	1	Vitamin A + vitamin D ₃ (dry powder; 500,000 + 50,000 IU/g)	4.00
0.50	2	Thiamine mononitrate	0.50
0.70	3	Riboflavin	0.70
5.00	4	Nicotinamide	5.00
1.00	5	Calcium D-pantothenate	1.00
0.50	6	Pyridoxine hydrochloride	0.50
0.50	7	Cyanocobalamin (gelatin coated, 1%)	0.50
0.05	8	Folic acid	0.05
20.00	9	Choline bitartrate	20.00
20.00	10	Vitamin E acetate (dry powder, SD 50)	20.00
196.00	11	Ludipress®	196.00
2.00	12	Magnesium stearate	2.00

MANUFACTURING DIRECTIONS

1. Pass all components through a 0.8 mm sieve, mix, and press with low compression force.
2. Compress 250 mg using 8 mm biplanar punches.

MULTIVITAMIN TABLETS WITH BETA-CAROTENE

Bill of Materials			
Scale (mg/ tablet)	Item	Material Name	Qty/1000 Tablets (g)
1.00	1	Beta-carotene; use beta-carotene dry powder (Betavit, 10%)	10.00
2.00	2	Thiamine mononitrate	2.00
2.00	3	Riboflavin	2.00
16.00	4	Nicotinamide	16.00
11.00	5	Calcium D-pantothenate	11.00
2.00	6	Pyridoxine hydrochloride	2.00
0.06	7	Cyanocobalamin; use cyanocobalamin dry powder (0.1%)	6.00
85.00	8	Ascorbic acid (powder)	85.00
31.00	9	Vitamin E acetate (dry powder; SD 50)	31.00
321.00	10	Ludipress®	321.00
7.00	11	Kollidon® VA 64	7.00
3.00	12	Magnesium stearate	3.00
7.00	13	Orange flavor	7.00
2.00	14	Saccharin sodium	2.00

MANUFACTURING DIRECTIONS

1. Mix all components, pass through a 0.8 mm sieve, mix, and press with medium compression force.
2. Compress 508 mg using 12 mm planar punches.

MULTIVITAMIN TABLETS WITH COPPER AND ZINC

FORMULATION

Vitamin mixture (thiamine mononitrate), 3.9%; riboflavin 100, 0.4%; nicotinamide 10.1%; calcium D-pantothenate, 2.9%; pyridoxine hydrochloride, 1.2%; cyanocobalamin gelatin coated 0.1%, 2.6%; folic acid, 0.1%; ascorbic acid fine powder, 63.4%; vitamin E acetate dry powder 500 SD, 9.1%; copper oxide, 0.3%; zinc sulfate, 6.0%, 1000 g; Aerosil®, 200, 5 g; Ludipress®, 150 g; Avicel™ PH102, 120 g; Kollidon® VA64, 25 g; magnesium stearate, 10 g; talc, 10 g.

MANUFACTURING DIRECTIONS

Pass all components through a 0.8 mm sieve, mix, and press with high compression force at 1350 mg.

MULTIVITAMIN WITH BETA-CAROTENE TABLETS

Bill of Materials			
Scale (mg/ tablet)	Item	Material Name	Qty/1000 Tablets (g)
2.85 IU	1	Vitamin A acetate (dry powder; 500,000 IU/g)	5.47
5.00	2	Beta-carotene; use beta-carotene dry powder (Betavit, 10%)	50.00
15.34	3	Thiamine mononitrate	15.34
4.13	4	Riboflavin	4.13
50.00	5	Nicotinamide	50.00
8.23	6	Calcium D-pantothenate	8.23
5.00	7	Pyridoxine hydrochloride	5.00
0.04	8	Cyanocobalamin; use gelatin-coated cyanocobalamin (1%)	4.00
0.04	9	D-Biotin; use 1% trituration	4.00
0.38	10	Folic acid	0.38
165.00	11	Ascorbic acid	165.00
327.00	12	Vitamin D ₃ (dry powder; 100,000 IU/g)	3.27
122.00	13	Vitamin E acetate (dry powder; SD 50)	122.00
0.41	14	Phytomenadione; use phytomenadione dry powder (5% GFP)	0.82

MANUFACTURING DIRECTIONS

1. Pass all components through a 0.8 mm sieve, mix, and press with high compression force.
2. Compress 432 mg in 12 mm biplanar punches.

MULTIVITAMIN WITH FLUORIDE INFANT DROPS

Bill of Materials			
Scale (mg/ tablet)	Item	Material Name	Qty/1000 Tablets (g)
8.00	1	Niacin; use niacinamide (5% excess)	0.82
0.80	2	Riboflavin, USP; use riboflavin-5-phosphate sodium (2% excess)	
0.50	3	Methylparaben	0.50
1.00	4	Benzoic acid	1.00
5000 IU	5	Vitamin E; use D-alpha-tocopheryl PEG-1000 succinate (20% excess)	13.826
400 IU	6	Vitamin D; use viosterol in corn oil (syn. oleovitamin D) (25% excess)	0.522
1500 IU (0.45)	7	Vitamin A palmitate (synthetic A palmitate, 1 MM U/g), USP	1.44
35.00	8	Ascorbic acid (white powder), USP (33% excess)	46.55
0.50	9	Thiamine hydrochloride (44% excess)	0.72
0.40	10	Pyridoxine; use pyridoxine hydrochloride	0.486
0.25	11	Fluoride; use sodium fluoride (powder)	0.5526
4.013	12	Caramel (acid proof)	4.013
0.257	13	Orange oil terpenless	0.257
QS	14	Alcohol (ethanol; 190 proof)	10.00 mL
QS	15	Distilled purified water	QS
QS	16	Hydrochloric acid	QS
QS	17	Sodium hydroxide	QS
QS	18	Carbon dioxide gas	QS

MANUFACTURING DIRECTIONS

Use only stainless steel tanks, and minimize vortex formation to prevent aeration. Product attacks glass, so avoid contact with glass.

1. Place 350 mL of purified water into the stainless steel jacketed main tank.
2. Start mixing.
3. Add, in this order, niacinamide, riboflavin, sodium fluoride, methyl paraben, and benzoic acid.
4. Rinse the interior walls of the tank with approximately 16 mL purified water.
5. Continue mixing for the balance of the process.

6. Heat the main tank to 95°C to dissolve ingredients.
7. When the solution is complete, cool to less than 85°C (range: 80–90 °C).
8. The main tank will have to be heated to 85°C for this step.
9. Add vitamin E to another tank, if necessary, by heating vitamin E container.
10. Melt vitamin E in the tank.
11. Add viosterol and vitamin A, and heat to 60°C to 65°C with mixing.
12. Start bubbling in CO₂.
13. Mix slowly for 10 minutes or longer to produce a clear solution.
14. Start CO₂ gas protection on the main mixing tank, and continue for the balance of the process.
15. With the main batch at 85°C to 90°C, add the solution of vitamins A, D, and E at 60°C to 65°C, with mixing.
16. The addition may cause the temperature of the main batch to drop below the specified range, so readjust to 85°C to 90°C.
17. Mix and maintain at this temperature until solution is complete, after which cool to less than 30°C.
18. Add the glycerin with mixing.
19. Adjust the temperature to 25°C ± 5°C, and maintain at this temperature before proceeding.
20. Add and dissolve with mixing, in this order, ascorbic acid, thiamine, pyridoxine, and caramel.
21. Rinse the caramel container with approximately 3 mL of water, and add the rinsings.
22. Rinse the tank inner walls and mixer shaft with approximately 3 mL water.
23. Dissolve the orange oil with mixing in the alcohol, and add to the solution.
24. Continue mixing for at least 30 minutes to ensure a homogeneous product.
25. Stop mixing, and take pH (range: 3.1–3.3). If necessary, adjust with 10% sodium hydroxide or 10% hydrochloric acid, prepared by adding 1 mL hydrochloric acid (reagent-grade) with 3.3 mL purified water. Mix.
26. Stop mixing, and allow to stand for at least 4 hours to eliminate entrapped CO₂ gas.
27. In a properly cleaned separate tank, boil at least 65 mL of purified water for at least 15 minutes.
28. Cool while bubbling CO₂ into it, and hold at 30°C.
29. Adjust pH to the range of 3.1 to 3.3.
30. Filter using a lint-free paper. Do not use filter aids.
31. Recirculate product back to main mixing tank until clear.
32. Flush a storage tank with CO₂ for at least 10 minutes with the CO₂ valve completely open.
33. Filter product into this storage tank.
34. Fill under CO₂ cover.

MULTIVITAMIN WITH ZINC TABLETS

Bill of Materials			
Scale (mg/ tablet)	Item	Material Name	Qty/1000 Tablets (g)
100.00	1	Niacin; use niacinamide (white powder)	100.00
750.00	2	Ascorbic acid; use microcrystalline sodium ascorbate ^a	843.68
20.00	3	Vitamin B ₆ ; use pyridoxine hydrochloride	34.03
QS	4	Povidone	40.00
15.00	5	Thiamine hydrochloride; use thiamine mononitrate (powder)	17.47
15.00	6	Riboflavin	16.50
20.00	7	Pantothenic acid; use calcium pantothenate	32.60
0.49	8	Folic acid (powder)	0.52
12.00 µg	9	Vitamin B ₁₂ ; use cyanocobalamin oral powder in gelatin 1:1000	15.00
60.00	10	Vitamin E (D,L-alpha-tocopherol acetate)	60.00
–	11	Alcohol SD 3A (200 proof)	138 mL
22.50	12	Elemental zinc (pure zinc sulfate powder)	55.61
4.00	13	Povidone	4.00
–	14	Alcohol SD 3A (200 proof)	4 mL
–	15	Alcohol SD 3A (200 proof)	9 mL
10.80	16	Magnesium stearate	10.80
40.00	17	Cellulose microcrystalline	40.00
3.20	18	Silicon dioxide colloidal	3.20
6.00	19	Colloidal silicon dioxide	6.00

^a May use ascorbic acid (750.00 g) instead. The quantity of povidone is reduced to 6.34 g, and the amount of alcohol SD used is adjusted.

MANUFACTURING DIRECTIONS

1. Mill niacinamide, sodium ascorbate, pyridoxine, povidone (item 4), and thiamine through a comminuting mill with hammers (impact forward) at high speed and fitted with a 0 band (686 µm aperture or similar) screen.
2. Place millings into mass mixer.
3. Screen riboflavin, calcium pantothenate, folic acid, and vitamins B₁₂ and E through an 840 µm screen.
4. Place into mass mixer, and dry mix for 5 to 10 minutes.
5. Add 89 mL alcohol to powder while mixing.
6. Add additional alcohol if required (approximately 49 mL) to achieve satisfactory granulation.
7. Pass wet mass through 5/8 in. band (15.88 mm aperture or similar) screen, and spread out on paper-lined trays.
8. Dry granulation at 49°C, and dry until LOD is NMT 1.5%.
9. Sift dry granule through 1.19 mm screen, and coarse grind granule through a No. 2 band (1.59 mm aperture or similar) screen fitted on a comminuting mill (knives forward, medium speed) to polyethylene-lined drums.
10. Mill zinc sulfate and povidone through a comminuting mill fitted with a 0 band (686 µm aperture or similar) screen at high speed with impact (hammers) forward.
11. Charge millings into mass mixer for 5 to 10 minutes.
12. Add 3.3 mL alcohol (item 14) to powders from first step while mixing.
13. If necessary, use additional alcohol (up to 0.83 mL) to achieve satisfactory granulation.
14. Granulate wet mass through 5/8 in. band (15.88 mm aperture or similar) screen, and spread out on paper-lined trays.
15. Dry granule at 49°C, and dry until LOD is NMT 1.5%.
16. Sift dry granule through 1.19 mm screen and coarse grind granule through a No. 2 band (1.59 mm aperture or similar) screen fitted on a comminuting mill (knives forward, medium speed), and transfer to polyethylene-lined drums.
17. Charge approximately one-tenth of vitamin granulation into blender.
18. Premix magnesium stearate, microcrystalline cellulose, and silicon dioxide in a bowl, and sift through 840 µm screen into blender.
19. Charge another one-tenth of vitamin granulation into blender, and blend for 5 minutes.
20. Discharge a portion of granulation from the blender, and check for white lumps.
21. If lumps are present, discharge entire granulation through a 1.68 mm aperture screen to break lumps; then, return it to blender.
22. Charge zinc granulation into the blender.
23. Charge remaining vitamin granulation into blender, and blend for 15 minutes.
24. Discharge blender into polyethylene-lined drums, tie liners, close and seal drums, and deliver to storage area.
25. Compress and coat (see Appendix).

NAPHAZOLINE EYE DROPS

Bill of Materials			
Scale (mg/mL)	Item	Material Name	Qty/L (g)
17.71	1	Acid boric	17.71
1.50	2	Hydroxypropylmethylcellulose 2910 (400 cps)	1.50
0.36	3	Borax (sodium borate)	0.36
1.00	4	Disodium edetate	1.00
0.12	5	Naphazoline hydrochloride	0.12
0.17 mL	6	Benzalkonium chloride; use benzalkonium chloride solution (17%)	0.63 mL
QS	7	Water for injection	QS to 1 L

MANUFACTURING DIRECTIONS

Use thoroughly cleaned and rinsed, steam-jacketed glass-lined or stainless steel tank equipped with a speed-controlled agitator. The tank should have a cover. Foaming occurs because of benzalkonium chloride, which concentrates in foam. Processing and filling systems should be designed to minimize foaming and allow rapid dissipation of foaming.

- Place 80% of final volume of water into mixing tank. Heat deionized water to 90°C.
- While agitating, add and disperse hydroxypropylmethylcellulose by slowly sprinkling it on the surface of solution. Mix to avoid excessive foaming.
- Allow 15 minutes for hydration of hydroxypropylmethylcellulose before discontinuing heating and allowing cooling to 40°C.
- While agitating, add and dissolve disodium edetate, benzalkonium chloride, boric acid, and sodium borate.
- Continue cooling to 30°C (25–30°C). Discontinue agitation, and QS to 950 mL with deionized water.
- Start agitator, and mix for at least 15 minutes at 30°C. Discontinue agitation and cooling.
- Naphazoline hydrochloride concentrate solution: dissolve naphazoline hydrochloride in 50 mL of deionized water, and sterile-filter solution through a previously sterilized Millipore filter unit containing 0.22 µm membrane.
- Hold naphazoline solution under aseptic conditions for addition to bulk solution (after it has been autoclaved and cooled).
- Prefiltration: Methylcellulose solutions filter at a slow rate, so use an appropriate filter.
- Recirculate solution until clear, and transfer to holding or sterilization.
- Sterilization and filling: Use either heat sterilization or sterile filtration.

- Heat sterilization: Sterilize at 112°C to 115°C for 60 minutes, cool solution to 25°C to 30°C, and aseptically add the sterile naphazoline solution. Mix well.
- Set up a previously sterilized filter and transfer line with 10 µm stainless steel FulFlo filter or equivalent.
- Aseptically fill sterile solution into sterilized containers, and apply sterile closure components.
- Sterile filtration: Use Pall cartridge AB 1 NR 7p (pr 8P) with Sartorius Cartridge 526-07 H 1. Prepare and steam sterilize the recommended filter units.
- Aseptically fill the sterilized solution to which the naphazoline solution has been added into each sterilized container, and apply sterile closure.

NEOMYCIN GEL

Bill of Materials			
Scale (mg/g)	Item	Material Name	Qty/kg (g)
0.50	1	Neomycin sulfate	0.50
50.00	2	Propylene glycol	50.00
5.00	3	Parabens	5.00
200.00	4	Lutrol F 127	200.00
745.00	5	Water	745.00

MANUFACTURING DIRECTIONS

- Dissolve the parabens and Lutrol F 127 in water heated to approximately 80 °C.
- Add the propylene glycol, and dissolve neomycin sulfate.
- Cool to room temperature when the air bubbles escape.
- Alternative: Dissolve parabens in hot water, cool to 5°C to 10°C, dissolve Lutrol F 127, add propylene glycol, and dissolve neomycin sulfate.
- Maintain the cool temperature until the air bubbles escape.

NIACIN TABLETS

Bill of Materials			
Scale (mg/tablet)	Item	Material Name	Qty/1000 Tablets (g)
1000.00	1	Niacin	1000.00
40.00	2	PVP	40.00
10.00	3	Silicon dioxide	10.00
15.00	4	Sodium stearyl fumarate	15.00
400.00	5	Water	400.00

MANUFACTURING DIRECTIONS

1. Niacin is placed in a fluidized-bed apparatus.
2. An aqueous PVP solution (in 85 g of water) is sprayed to get granules.
3. The granules thus obtained are subsequently dried and passed through a sieve (1 mm mesh), and sodium stearyl fumarate is weighed, added, and blended in a drum mixer.
4. The resulting mixture is pressed into tablets 1065 mg.
5. These tablet cores are then coated with the following formulation: ethylcellulose (Ethocel™), 10mL; PVP (povidone), 5.50 mg; stearic acid, 2.40 mg.
6. Ethocel™, povidone, and stearic acid are first dissolved in denatured alcohol (180 g).
7. The coating solution is then sprayed onto the tablet cores in a coating pan.

NICOTINAMIDE TABLETS

Bill of Materials			
Scale (mg/ tablet)	Item	Material Name	Qty/1000 Tablets (g)
300.00	1	Nicotinamide (Degussa)	320.00
160.00	2	Avicel™ PH101	160.00
16.00	3	Kollidon® VA 64	16.00
3.00	4	Magnesium stearate	3.00
3.00	5	Aerosil® 200	3.00

MANUFACTURING DIRECTIONS

1. With medium compression force, compress 506 mg using 12 mm biplanar punches.

NICOTINIC ACID TABLETS

Bill of Materials			
Scale (mg/ tablet)	Item	Material Name	Quantity/1000 Tablets (g)
375.00	1	Nicotinic acid	375.00
188.70	2	Hydroxypropylmethylcellulose E10 M premium	188.70
12.90	3	Povidone K90	12.90
5.80	4	Stearic acid (Hystrene 5016)	5.80

MANUFACTURING DIRECTIONS

1. Combine one-half of the quantity of items 1 to 3, and dry mix the powder bed mixed in a Littleford granulator, with choppers on, for approximately 1 minute.

2. At the completion of the 1 minute premix cycle, slowly spray an appropriate quantity, approximately three times the quantity of item 3, for a period of 5 minutes.
3. Discharge the granulated unit into double polyethylene-lined containers and then manually load into a Glatt bowl while passing through a No. 4 mesh screen. Load the Glatt bowl into a Glatt fluid-bed drier with an inlet air temperature setting of approximately $70^{\circ}\text{C} \pm 5^{\circ}\text{C}$.
4. Dry the unit until a moisture level of approximately 1% is obtained as determined using a Computrac® Moisture Analyzer.
5. Discharge the dried granulation into appropriately labeled, double polyethylene-lined drums and reconciled.
6. Pass the dried and reconciled granulation through a Kemutec BetaGrind mill equipped with a 1.5 mm screen and running at approximately 1500 rpm.
7. Collect the milled granulation into appropriately labeled, double polyethylene-lined drums and reconciled.
8. Sample and test the milled granulation by quality control and release prior to further processing.
9. Add the released granulation units to a Patterson-Kelley 20 ft³ V-blender, after which blend together for approximately 10 ± 1 minutes and then discharge to appropriately labeled, double polyethylene-lined containers.
10. Add item 4, blend, and compress at 582.40 mg in caplet shaped punches. Compress 727.50 mg for 500 mg strength and 990.50 mg for 750 mg strength.

NICOTINIC ACID (NIACIN) TABLETS

Bill of Materials			
Scale (mg/ tablet)	Item	Material Name	Qty/1000 Tablets (g)
200.00	1	Nicotinic acid	200.00
200.00	2	Ludipress®	200.00
5.00	3	Kollidon® CL	5.00
1.50	4	Magnesium stearate	1.50
3.00	5	Aerosil® 200	3.00
10.00	6	PEG-6000	10.00

MANUFACTURING DIRECTIONS

1. Pass all components through a 0.5 mm sieve.
2. Mix, and press with very low compression force.
3. Compress 410 mg using 12 mm biplanar punches.

NICOTINIC ACID (NIACIN) TABLETS (200 MG)**FORMULATION**

Nicotinic acid (Lonza), 200.0 g; Ludipress®, 200.0 g; Kollidon® CL, 5.0 g; magnesium stearate, 1.5 g; Aerosil® 200, 3.0 g; polyethylene glycol 6000, powder, 10.0 g.

MANUFACTURING DIRECTIONS

1. Pass all components through a 0.5 mm sieve, mix, and press with very low compression force at 419 mg.

NONDETERGENT NEUTRAL DRY SKIN CREAM**Bill of Materials**

Scale (mg/g)	Item	Material Name	Qty/kg (g)
60.00	1	Stearic acid	60.00
145.00	2	White petrolatum jelly	145.00
116.00	3	Mineral oil (25 cS)	116.00
10.00	4	Lanolin	10.00
20.00	5	Cetearyl alcohol	20.00
QS	6	Deionized water	QS to 1 kg
14.00	7	Triethanolamine (99%)	14.00
QS	8	Perfume, preservative, color	QS

MANUFACTURING DIRECTIONS

1. Heat oil and water phases separately to 70°C.
2. Add water phase to oil phase while stirring.
3. Stir to cool, adding triethanolamine at 60°C and perfuming at 40°C to 50°C.
4. This cream serves as a base for drugs as well.
5. Triethanolamine may be omitted, as it gives a higher pH.

NOREPHEDRINE SYRUP**Bill of Materials**

Scale (mg/mL)	Item	Material Name	Qty/L (g)
40.00	1	DL-norephedrine hydrochloride	40.00
4.00	2	Parabens	4.00
5.00	3	Saccharin sodium	5.00
3.00	4	Kollidon® 90 F	3.00
500.00	5	Sorbitol solution	500.00
460.00	6	Water	460.00

MANUFACTURING DIRECTIONS

1. Dissolve the parabens in the hot water, add the sorbitol, cool to room temperature, and dissolve the other components.

2. To prevent discoloration of Kollidon® in the solution during storage, 0.1% to 0.5% cysteine could be added as an antioxidant.
3. Flavors should be added to adjust the taste as needed.

NYSTATIN CREAM**Bill of Materials**

Scale (mg/g)	Item	Material Name	Qty/kg (g)
80.00	1	Cetostearyl alcohol	80.00
20.00	2	Polyoxyl 20 cetostearyl ether (Cetomacrogol 1000)	20.00
80.00	3	Mineral oil (liquid paraffin)	80.00
2.00	4	Methyl paraben	2.00
100,000 IU	5	Nystatin microfine ^a (30% excess) 5420 IU/mg	24.00
1.00	6	Propylparaben	1.00
100.00	7	Propylene glycol	100.00
4.86	8	Dibasic sodium phosphate	4.86
2.36	9	Monobasic sodium phosphate	2.36
180.00	10	Petrolatum (soft white paraffin)	180.00
506.00	11	Purified water	506.00

^a Particle size NLT 90% less than 45 µm and 100% less than 80 µm.

MANUFACTURING DIRECTIONS

1. Charge item 3 to the fat-melting vessel.
2. Heat to 70°C while stirring.
3. Charge items 1, 2, and 10 to the fat-melting vessel while stirring.
4. Mix well, and maintain the temperature at 65°C to 70°C.
5. Load 466 g of item 11 and item 7 into mixer, and heat to 90°C.
6. Add items 4 and 6 to dissolve while stirring on manual mode.
7. Mix for 15 minutes at 10 rpm.
8. Cool to 65°C to 70°C.
9. Add items 8 and 7 to the parabens solution (step 6) to dissolve.
10. Mix for 5 to 10 minutes at 10 rpm.
11. Maintain temperature at 65°C to 70°C.
12. Take a sample of approximately 0.40 mL from mixer, and cool to 25°C.
13. Check the pH (6.3–7.0).
14. Withdraw 80 g of preservative/buffer solution from mixer at 65°C to 70°C in a stainless steel container.
15. Cool the solution in stainless steel container to 30°C to 35°C.
16. Disperse item 5 carefully using a spatula.
17. Homogenize using homogenizer to make a smooth dispersion.
18. Transfer the molten fat to the mixer containing the preservative/buffer solution through a stainless steel

- sieve by vacuum at 0.6 bar while mixing at 10 rpm in manual mode at a temperature of 65°C.
19. Homogenize, and mix the cream for 10 minutes at low speed (10 rpm, manual mode) and vacuum of 0.6 bar.
 20. Cool to 40°C ± 5°C.
 21. Transfer 104 g of drug phase (35°C ± 5°C) to the mixer while mixing.
 22. Rinse the stainless steel container of the drug phase with 40 g of item 11 (25–35°C), and transfer to the mixer while mixing.
 23. Rinse the homogenizer and the container with item 11, and transfer the rinsings to the mixer.
 24. Mix for 5 minutes.
 25. Set the mixer at a mixing speed of 10 rpm (manual mode) and the homogenizer at low speed with a vacuum of 0.6 bar.
 26. Mix and homogenize for 15 minutes.
 27. Cool to 30°C with mixer speed of 10 rpm and vacuum of 0.6 bar.
 28. Transfer the cream to a stainless steel drum.

NYSTATIN OINTMENT

Bill of Materials			
Scale (mg/g)	Item	Material Name	Qty/kg (g)
100,000 IU	1	Nystatin microfina ^a , 5420 IU/mg, 15% excess	21.05
22.00	2	Cetostearyl alcohol	22.00
8.00	3	Paraffin (hard paraffin)	8.00
100.00	4	Mineral oil (liquid paraffin)	100.00
848.95	5	Petrolatum (soft white paraffin)	848.95

^a Particle size NLT 90% less than 45 µm and 100% less than 80 µm.

MANUFACTURING DIRECTIONS

1. Melt items 2, 3, and 5 at 70°C in fat-melting vessel.
2. Disperse item 1 in 80 g of item 4 in a separate stainless steel container by using a spatula.
3. Pass the dispersion through a homogenizer twice; then, transfer the dispersion to the mixer.
4. Rinse the homogenizer and container with 20 g of item 4, and transfer the rinsings to the mixer.
5. Homogenize the dispersion at high speed for 15 minutes.
6. Set the mixer at a temperature of 40°C to 45°C.
7. Transfer the molten mass from the fat-melting vessel to the mixer at a temperature of 45°C to 50°C.
8. Mix for 10 minutes in manual mode and 10 minutes in automode at 12 rpm and vacuum 0.4 to 0.6 bar.
9. Homogenize at high speed for 10 minutes with recirculation.
10. Mix until the temperature of ointment reaches 28°C to 30°C.

11. Transfer the ointment to a stainless steel drum.
12. Keep drum tightly closed.

NYSTATIN, NEOMYCIN SULFATE, GRAMICIDIN, AND TRIAMCINOLONE ACETONIDE CREAM

Bill of Materials			
Scale (mg/g)	Item	Material Name	Qty/kg (g)
100,000 IU	1	Nystatin microfina ^a 5420 IU/mg, 20% excess	22.96
4.43	2	Neomycin sulfate ^b	4.43
0.28	3	Gramicidin ^c	0.28
1.00	4	Triamcinolone acetonide micronized	1.00
80.00	5	Cetostearyl alcohol	80.00
20.00	6	Poloxyl 20 cetostearyl ether (Cetomacrogol 1000)	20.00
80.00	7	Mineral oil (liquid paraffin)	80.00
2.00	8	Methylparaben	2.00
1.00	9	Propylparaben	1.00
60.00	10	Propylene glycol	60.00
4.86	11	Dibasic sodium phosphate	4.86
2.36	12	Monobasic sodium phosphate	2.36
180.00	13	Petrolatum (soft white paraffin)	180.00
531.86	14	Purified water	531.86

For items 1–3, actual quantity to be calculated per actual potency. Difference in quantity is to be adjusted by purified water.

^a Particle size NLT 90% less than 45 µm and 100% less than 80 µm.

^b Particle size NLT 99% less than 20 µm and 75% less than 10 µm.

^c Particle size NLT 98% less than 50 µm.

MANUFACTURING DIRECTIONS

1. Load items 5, 6, 7, and 13 in fat-melting vessel.
2. Heat to 70°C. Stir to melt.
3. Maintain temperature at 70°C to 75°C.
4. Heat 420 g of item 14 to 90°C in mixer.
5. Dissolve items 8 and 9 by stirring.
6. Mix for 15 minutes at 10 to 12 rpm.
7. Cool to 65°C to 70°C.
8. Dissolve items 11 and 12 in 71.86 g of item 14 at 40°C to 45°C in a stainless steel drum.
9. Check the pH (limit: 6.3–7.0 at 25°C).
10. Dissolve item 2 into 79.08 g of phosphate solution. The solution should be clear.
11. Disperse item 1 in the neomycin/phosphate solution.
12. Homogenize two times with homogenizer (gap setting 1) to make smooth dispersion.
13. Dispersion should be smooth with no lumps.
14. Add 50 g of item 10 in a separate stainless steel container, and heat to 40°C to 45°C; then, dissolve item 3 by using a homogenizer. The solution should be clear.
15. Disperse item 4 in the clear solution of gramicidin/propylene glycol by using a homogenizer.

16. Homogenize until no lumps are present.
17. Maintain temperature at 40°C to 45°C.
18. Transfer the melt from previous step to the mixer through a stainless steel sieve while mixing at 1012 rpm (manual mode) at a temperature of 65°C.
19. Homogenize at high speed for 10 to 12 minutes at a temperature of 60°C to 65°C and a vacuum of 0.6 bar. Scrape the sides and blade.
20. Cool down to 50°C.
21. Transfer the homogenized dispersion to the mixer.
22. Rinse the container with 10 g of item 10.
23. Add the rinsings to the mixer, and mix for 10 minutes.
24. Transfer the dispersion to the mixer.
25. Rinse the container with 40 g of item 14.
26. Add to the mixer, and mix for 10 minutes.
27. Homogenize at high speed for 20 minutes at a temperature of 45°C, mixer speed of 10 to 12 rpm, and vacuum of 0.6 bar.
28. Cool down to 25°C to 30°C while mixing.
29. Transfer the cream to a stainless steel drum.

NYSTATIN, NEOMYCIN SULFATE, GRAMICIDIN, AND TRIAMCINOLONE ACETONIDE OINTMENT

Bill of Materials

Scale (mg/g)	Item	Material Name	Qty/kg (g)
22.96	1	Nystatin microfine ^a	22.96
4.43	2	Neomycin sulfate ^a	4.43
0.28	3	Gramicidin ^a	0.28
1.00	4	Triamcinolone acetonide (micronized)	1.00
100.00	5	Mineral oil (liquid paraffin)	100.00
10.00	6	Syncrowax	10.00
861.33	7	Petrolatum (soft white paraffin)	861.33

^a Actual quantity to be calculated per actual potency. Difference in quantity to be adjusted by use of soft white paraffin.

MANUFACTURING DIRECTIONS

1. Melt item 7 at 70°C in fat-melting vessel.
2. Add item 6 to the melt while mixing.
3. Transfer the melt to the mixer through filters, and cool to 40°C while mixing.
4. Add 60 g of item 5 in a stainless steel container, and disperse item 1 manually by using a spatula.
5. Homogenize two times with homogenizer (gap setting 1) to make a smooth dispersion, and then transfer to the mixer.
6. Add 20 g of item 5 in a stainless steel container, and disperse items 2, 3, and 4 by using the homogenizer to make a smooth dispersion.
7. Homogenize until no lumps are present.
8. Transfer the dispersion to the mixer.

9. Rinse the homogenizer and stainless steel container with 20 g of item 5, and transfer the rinsings to the mixer.
10. Mix for 10 minutes at a mixer speed of 10 rpm and vacuum of 0.4 to 0.6 bar.
11. Set thermostat at 28°C to 30°C.
12. Homogenize at high speed for 20 minutes with recirculation.
13. Mix until the temperature of ointment reaches 28°C to 30°C.
14. Transfer the ointment to a stainless steel drum.
15. Keep drum tightly closed.

OMEGA FATTY ACIDS TABLETS

Bill of Materials

Scale (mg/tablet)	Item	Material Name	Qty/1000 Tablets (g)
140.00	1	Omega fatty acids dry N-3	140.00
140.00	2	Avicel™ PH101	140.00
8.40	3	Kollidon® VA 64	8.40
2.00	4	Magnesium stearate	2.00

MANUFACTURING DIRECTIONS

1. Pass all components through a 0.8 mm sieve, mix, and press with high compression force.
2. Compress 289 mg in 9 mm biconvex punches.
3. The dry powder omega fatty acids dry N-3 contains 25% fish oil; this fish oil consists of approximately 30% eicosapentaenoic acid (EPA) + docosahexaenoic acid (DHA).
4. These tablet cores could be coated with an enteric coating of Kollicoat MAE 30 D.
5. See Appendix for more choices.

ORAL REHYDRATION SALT (45 MEQ)

Bill of Materials

Scale (mg/g)	Item	Material Name	Qty/kg (g)
811.90	1	Cerelose powder	811.90
66.57	2	Sodium chloride	66.57
31.82	3	Sodium citrate dihydrate, USP	31.82
70.14	4	Potassium citrate monohydrate (food grade)	70.14
19.57	5	Povidone (PVP K-29-32), USP/BP	19.57
–	6	Alcohol SD 3A (200 proof/190 proof), USP	500.00 mL
–	7	Purified water, USP	50.00 mL

MANUFACTURING DIRECTIONS

1. Mill the dextrose through a 1.2 mm aperture screen or similar on a comminuting mill (knives forward, medium speed).
2. Individually mill the sodium chloride, sodium citrate, and potassium citrate through a 1.2 mm aperture screen on a comminuting mill (knives forward, medium speed).
3. *Note:* Do not mix the milled items until ready to add them to the dextrose.
4. Charge the powders from steps 1 and 2 into a suitable mass mixer, and mix for 10 minutes.
5. Screen the povidone through a 1.2 mm aperture screen, and transfer to the mixer.
6. Mix all the powders for 5 minutes.
7. Mix 500 mL of alcohol with 50 mL of water, and slowly add to the mixer while mixing.
8. Continue to mix for 5 to 10 minutes. Do not overwet the mass.
9. Granulate the wet mass through a 4.76 mm screen using an oscillating granulator, and spread on stainless steel trays.
10. Dry the granules at 45°C for approximately 16 hours, or until LOD is less than 0.8%.
11. Turn the granules over after 3 to 4 hours of drying.
12. Screen dry granules through an 840 µm screen.
13. Transfer the fines to a suitable blender.
14. Pass coarse granules through 840 µm screen using an oscillating granulator, and transfer to the blender.
15. Blend for 5 to 10 minutes.
16. Discharge into polyethylene-lined drums.
17. Fill 3.08 g for 100 mL, 7.70 g for 250 mL, and 30.80 g for 1000 mL of reconstituted solution. Prorate weights for different volumes.

ORLISTAT CHEWABLE TABLETS**MANUFACTURING DIRECTIONS**

1. Melt orlistat (60 g) and myristic acid (30 g) together at 50°C.
2. Add mannitol (400 g) and lactose (400 g), and cool the mixture to room temperature under continuous stirring.
3. Add talcum (10 g) and homogeneously distribute.
4. Press the powder into tablets of 960 mg weight (= orlistat content of 120 mg).

ORLISTAT CHEWABLE TABLETS**MANUFACTURING DIRECTIONS**

1. Melt orlistat (120 g) and myristic acid (30 g) together at 50°C.

2. Add sucrose palmitate (PEG-40 stearate, 12 g) and lactose (15 g), and cool the mixture to room temperature under continuous stirring.
3. Press the powder into tablets of 960 mg weight (= orlistat content of 120 mg).

ORLISTAT CHEWABLE TABLETS**MANUFACTURING DIRECTIONS**

1. Mix together orlistat, 120 g; sodium laurate, 30 g; mannitol, 80 g; and HPMC 3 cp, 60 g, with stepwise addition of a (50:50% m/m) ethanol/water mixture (0.2 mL/g).
2. The formed granules are dried in vacuum at 30° C to constant weight and pressed into tablets (each containing 120 mg orlistat).

PANCREATIN TABLETS

Bill of Materials			
Scale (mg/ tablet)	Item	Material Name	Qty/1000 Tablets (g)
30.00	1	Pancreatin	30.00
308.00	2	Ludipress®	308.00
10.00	3	Kollidon® CL	10.00
2.00	4	Magnesium stearate	2.00

MANUFACTURING DIRECTIONS

1. Mix the components, pass through a 0.8 mm sieve, and press with low compression force.
2. Compress 355 mg using 8 mm biconvex punches.
3. Coat by enteric coating. (See Appendix in Volume 1.)

PANCREATIN TABLETS

Bill of Materials			
Scale (mg/ tablet)	Item	Material Name	Qty/1000 Tablets (g)
300.00	1	Pancreatin	300.00
290.00	2	Ludipress®	290.00
25.00	3	Kollidon® CL	25.00
3.00	4	Magnesium stearate	3.00

MANUFACTURING DIRECTIONS

1. Mix the components, pass through a 0.8 mm sieve, and press to tablets with low compression force.
2. Compress 615 mg in 11 mm biconvex punches.
3. Coat by enteric coating. (See Volume 1.)

PANCREATIN AND CHOLIC ACID TABLETS

Bill of Materials			
Scale (mg/ tablet)	Item	Material Name	Qty/1000 Tablets (g)
130.00	1	Pancreatin	130.00
2.00	2	Cholic acid	2.00
127.00	3	Avicel™ PH101	127.00
56.00	4	Lactose monohydrate	56.00
2.00	5	Magnesium stearate	2.00
3.00	6	Aerosil® 200	3.00

MANUFACTURING DIRECTIONS

- Mix the components, and press with high compression force.
- Compress 324 mg in 9 mm biconvex punches.
- Coat by enteric coating. (See Volume 1.)

PANTHENOL LOTION

Bill of Materials			
Scale (mg/ mL)	Item	Material Name	Qty/L Tablets (g)
26.25	1	D-Panthenol (2.5%) ^a	26.25
2.50	2	DL-Lactone (pure)	2.50
1.00	3	Sequestrene disodium	1.00
3.00	4	Chlorhexidine hydrochloride (micropowder)	3.00
5.00	5	POEG 300-stearate ^b	5.00
50.00	6	Paraffin oil (low viscosity)	50.00
5.00	7	Polydimethylsiloxane M 350	5.00
3.00	8	Perfume PCV 1155/8	3.00
–	9	Purified water	QS to 1 L

^a Based on 100% content; adjust for assay.

^b POEG 300 is a mixture of monoesters and diesters of polyoxyethylene glycol 300 with palmitic and stearic acids and free polyoxyethylene glycol 300.

MANUFACTURING DIRECTIONS

- Aqueous phase: Prepare a solution of DL-lactone (previously liquefied at approximately 100 °C) in water.
- Add the DL-lactone solution to the main part of water at 70°C.
- Incorporate the D-panthenol (previously liquefied at approximately 45°C).
- Admix and dissolve sequestrene disodium.

- Fatty phase: Melt at approximately 65°C under stirring POEG 300-stearate, paraffin oil, and polydimethylsiloxane M 350.
- Emulsion: Add the fatty phase at 65°C to the aqueous phase at approximately 45°C.
- Cool to approximately 36°C while stirring and homogenizing.
- Chlorhexidine suspension: Suspend chlorhexidine in water.
- Lotion: Add the chlorhexidine suspension to the emulsion at approximately 36°C.
- Stir, homogenize, and deaerate.
- Finally, add the perfume, homogenize again, and filter.

PANTHENOL OINTMENT

Bill of Materials			
Scale (mg/g)	Item	Material Name	Qty/kg (g)
50.00	1	Protegin X	50.00
18.00	2	Cetyl alcohol	18.00
12.00	3	Stearyl alcohol	12.00
40.00	4	Wax (white)	40.00
250.00	5	Wool fat (deodorized)	250.00
130.00	6	Vaseline® (white)	130.00
50.00	7	Almond oil	50.00
150.00	8	Paraffin oil	150.00
50.00	9	D-Panthenol	50.00
250.00	10	Deionized water	250.00

MANUFACTURING DIRECTIONS

- Place in a heating vessel wool fat, Vaseline, almond oil, and paraffin.
- Heat and melt the fats together at 80°C with stirring to keep the fatty phase at this temperature until further processing.
- In a separate container, add Protegin X, cetyl alcohol, stearyl alcohol, and white wax. Melt these fats with stirring at 80°C.
- Add to step 2.
- The final temperature in the melt should be approximately 70°C.
- Keep this temperature until further processing.
- Transfer D-panthenol into a 10 L container by pouring; then, rinse it with hot deionized water.
- Continue to mix for another 5 minutes, check the final weight, and make up for evaporated water (5.67 kg).
- Place in kettle, and heat to 70°C while stirring. Transfer the melted fatty mass under vacuum (–0.3 atm) through the inline sieve (0.150 mm mesh).
- After the addition, evacuate again to –0.3 atm.

11. Then, stir for another 15 minutes, and homogenize for 5 minutes under the same conditions.
12. Cool to 30°C (the cooling should occur within 4 hours).
13. When this temperature is reached, continue stirring until the ointment has reached 24°C to 26°C.
14. Stop cooling; then, evacuate quickly to -0.3 atm, and stir for 5 minutes.
15. Transfer the ointment in a storage vessel, and mix for 5 minutes with electric mixer.
16. Fill the ointment.

PAPAIN CHEWABLE TABLETS

Bill of Materials			
Scale (mg/ tablet)	Item	Material Name	Qty/1000 Tablets (g)
1.00	1	Papain	1.00
150.00	2	Lycasin	150.00
17.40	3	Hydrogenated vegetable oil	17.40
9.60	4	Water	9.60
5.80	5	Gelatin (40% solution)	5.80
17.40	6	Starch coated dicalcium phosphate	17.40
1.60	7	Mono- and diglyceride mixture	1.60
0.60	8	Lecithin	0.60
0.10	9	Aspartame	0.10
0.10	10	Vanillin	0.10
0.20	11	Glycerin	0.20
0.20	12	Sodium bicarbonate	0.20
0.38	13	Mint flavor	0.38

MANUFACTURING DIRECTIONS

1. Boil lycasin, water, fat, mono- and diglyceride mixture, glycerin, and lecithin to 131°C.
2. Glycerin is added to the mixture and cooled to 60°C.
3. Thereafter, sodium bicarbonate, papain, dicalcium phosphate, and the remaining ingredients are added.
4. Thereafter, the mixture cooled to room temperature is ground into powder and compressed into 205 mg tablets using a tablet press.

PAPAIN CHEWING GUM

FORMULATION

Gum base, 31.20%; sorbitol, 28.08%; mannitol, 5.23%; papain, 1.00%; acesulfame K, 0.16%; aspartame, 0.16%; menthol powder, 1.00%; liquid flavor, 0.47%; isomalt PF, 11.70%; isomalt DC, 16.00%; anticaking agents (magnesium stearate, talc, or silica gel), 4.00%; flavor, 2.00%.

PEPPERMINT RUB CREAM

Bill of Materials			
Scale (mg/g)	Item	Material Name	Qty/kg (g)
25.00	1	Sorbitol stearate	25.00
15.00	2	Polysorbate 60	15.00
300.00	3	Peppermint oil	300.00
20.00	4	Cetyl alcohol	20.00
40.00	5	Stearic acid	40.00
10.00	6	Triethanolamine (99%)	10.00
2.00	7	Carbopol 980	2.00
QS	8	Deionized water	QS
QS	9	Preservative, color	QS

MANUFACTURING DIRECTIONS

1. Hydrate Carbopol in water at 60°C to 65°C.
2. Add remaining water-phase ingredients.
3. Heat oil and water phases separately to 70°C to 75°C.
4. Add water phase to oil phase while stirring.
5. Stir to cool, neutralizing at 65°C with triethanolamine.

PEPTIDE SUBLINGUAL TABLET

FORMULATION

The individual component peptides, each having a molecular weight of less than 20,000 Da. Thymosin fraction, 5%; water, 5.0%; sucrose/lactose, 69.5%; propylene glycol, 0.5%; silicon dioxide, 15.0%; methyl nicotinate, 0.5%.

MANUFACTURING DIRECTIONS

1. Form the wetted mixture into tablets of the desired weight, and dry the tablets at 30°C for 36 hours.

PEPTIDE TOPICAL LIQUID

FORMULATION

Peptide such as thymic fraction, 5; glycerin, 44.5; propylene glycol, 44.9; methyl nicotinate, 0.1; water, 50; polysorbate 80, 0.5% by weight.

PHENINDIONE TABLETS

Bill of Materials			
Scale (mg/ tablet)	Item	Material Name	Qty/1000 Tablets (g)
50.00	1	Phenindione	50.00
165.00	2	Ludipress®	165.00
2.00	3	Magnesium stearate	2.00

MANUFACTURING DIRECTIONS

1. Mix all components, pass through a 0.8 mm sieve, and press with low compression force.
2. Compress 230 mg in 8 mm biplanar punches.

PHENOLPHTHALEIN TABLETS

Bill of Materials			
Scale (mg/ tablet)	Item	Material Name	Qty/1000 Tablets (g)
200.00	1	Phenolphthalein	200.00
150.00	2	Dibasic calcium phosphate	150.00
11.00	3	Kollidon® 30	11.00
–	4	Isopropanol or ethanol (96%)	QS
19.00	5	Kollidon® CL	19.00
3.00	6	Magnesium stearate	3.00

MANUFACTURING DIRECTIONS

1. Granulate mixture of items 1 and 2 with solution of items 3 and 4, mix with items 5 and 6, pass through a 0.8 mm sieve, and press with low compression force.
2. Compress 385 mg using 9 mm biconvex punches.

PHENOLPHTHALEIN TABLETS

Bill of Materials			
Scale (mg/ tablet)	Item	Material Name	Qty/1000 Tablets (g)
90.00	1	Yellow phenolphthalein	90.00
64.80	2	Microcrystalline cellulose	64.80
187.20	3	Dicalcium phosphate	187.20
3.60	4	Croscarmellose sodium	3.60
3.60	5	Fumed silica	3.60
7.20	6	Stearic acid	7.20
3.60	7	Magnesium stearate	3.60

MANUFACTURING DIRECTIONS

1. Screen items 6 and 7 through a 40 mesh sieve.
2. Blend items 1 and 5 in a V-blender for 3 minutes.

3. Add items 2 and 4 to the blender, and mix for 5 minutes.
4. Add item 3 to the blender, and mix for 12 minutes.
5. Add item 6, and blend for 3 minutes.
6. Add item 7, and mix for another 5 minutes.
7. Compress using 3/8 in, flat, bevel-edged punches to hardness of 10 kg; average tablet weight is 360 mg.

PHENYLPROPANOLAMINE AND BROMPHENIRAMINE FAST-DISSOLVING TABLETS

Bill of Materials			
Scale (mg/ tablet)	Item	Material Name	Qty/1000 Tablets (g)
6.25	1	Phenylpropanolamine hydrochloride	6.25
1.00	2	Brompheniramine maleate	1.00
6.00	3	Citric acid	6.00
1.80	4	Magnasweet 135	1.80
4.50	5	Aspartame	4.50
3.60	6	Cherry flavor	3.60
21.00	7	Croscarmellose sodium	21.00
3.00	8	Lecithin	3.00
30.00	9	Cornstarch	30.00
3.00	10	Silicon dioxide	3.00
2.10	11	Magnesium stearate	2.10
219.25	12	Fast-dissolving granulation (see following)	219.25

MANUFACTURING DIRECTIONS

1. Make a fast-dissolving granulation by combining 400 g of melted PEG-900 with fructose powder (100 g) in a planetary mixer (low-shear mixer) and mixing until the granules form.
2. Allow the granulations to cool, and then screen.
3. Mix all ingredients in a V-blender.
4. Compress tablets (301.5 mg) at approximately 3 kN.
5. Tablet hardness should be 0.2 to 0.5 kp, and disintegration time is 10 seconds.

**PHENYLPROPANOLAMINE,
CHLORPHENIRAMINE, DEXTROMETHORPHAN,
VITAMIN C SYRUP**

Bill of Materials

Scale (mg/ mL)	Item	Material Name	Qty/L (g)
150.00	1	PEG-400 (low color), NF	150.00
21.66	2	Acetaminophen, USP	21.66
0.075 mL	3	Glycerin, USP (96%)	75.00 mL
0.35 mL	4	Sorbitol; use sorbitol solution, USP	350.00 mL
1.00	5	Benzoic acid, USP	1.00
1.75	6	Saccharin sodium (dihydrate powder), USP	1.75
0.91	7	Phenylpropanolamine hydrochloride, USP	916.70 mg
0.06	8	Chlorpheniramine maleate, USP (plus 10% manufacturing)	73.30 mg
0.66	9	Dextromethorphan hydrobromide, USP	667.00 mg
20.00	10	Sodium CMC (premium low viscosity)	20.00
70.00 mcg	11	Dye	70.00 mg
6.00 mcg	12	Dye	6.00 mg
5.00	13	Ascorbic acid; use sodium ascorbate (fine powder)	5.62
0.50	14	Flavor, orange	500.00 mg
0.25	15	Flavor, orange	250.00 mg
QS	16	Carbon dioxide gas	QS
QS	17	Purified water, USP	QS to 1 L

MANUFACTURING DIRECTIONS

Manufacture under complete CO₂ protection. Bubble the CO₂ gas through the solution from the bottom of the tank.

If excessive foaming occurs, change CO₂ gas protection from the bottom to the top of the tank. Minimize vortex formation while mixing to prevent aeration of the product.

1. In a covered stainless steel container, heat 500 mL of water to boiling. Boil for 30 minutes.
2. Turn off the heat. While keeping the container covered, cool the water to 30°C while purging the water with CO₂.
3. Keep this water in a covered container blanketed with CO₂ gas, and use where indicated.
4. Transfer the PEG-400 to the main stainless steel mixing tank, and cover.
5. Start bubbling CO₂ gas. While mixing, slowly heat to 60°C to 65°C. Maintain at this temperature.
6. While mixing, add and dissolve the acetaminophen. Maintain the temperature and CO₂ protection.

7. When all the acetaminophen has dissolved, add, while mixing, the glycerin and sorbitol.
8. Continue mixing while maintaining the temperature and CO₂ gas protection until mixture is used later.
9. Do not allow the temperature to go to more than 65°C.
10. During this mixing period, remove samples through the bottom valve of the mixing tank, and inspect for clarity. Return samples to the mixing tank. Continue mixing and sampling until absolutely clear.
11. In a separate stainless steel mixing tank, heat 300 mL of water covered to 90°C.
12. While maintaining at this temperature, start bubbling CO₂ gas.
13. While mixing, add and dissolve successively the benzoic acid, saccharin sodium, and phenylpropanolamine hydrochloride. Continue mixing until all have dissolved.
14. Reduce the temperature to 60°C to 65°C while mixing. Do not force cool.
15. Add the solution from previous step to the solution in the main mixing tank while mixing and bubbling CO₂ gas.
16. Rinse the container with two lots of 5 mL of CO₂-saturated water, and add the rinsings to the batch while mixing.
17. Continue mixing for 15 minutes while maintaining the temperature at 60°C to 65°C and under CO₂ gas protection.
18. While mixing the batch, sprinkle on the sodium CMC.
19. Continue mixing until all the sodium CMC has been dispersed.
20. Check on the absence of any undissolved lumps.
21. Add CO₂-saturated water from step 3 to 900 mL, and mix while cooling the batch to 30°C.
22. Dissolve the dyes in 10 mL of CO₂-saturated water; then, add to the batch with mixing.
23. Rinse the container with two lots of 5 mL of the same water, and add the rinsings to the batch.
24. Mix until a homogeneously colored batch is formed.
25. Stop bubbling in CO₂ gas, but maintain CO₂ protection of the tank headspace.
26. In a stainless steel container, dissolve the sodium ascorbate in 25 mL of CO₂-saturated water, taking care to minimize exposure of the solution to air or light.
27. Mix all solutions, add rinsings where necessary, and continue mixing for 15 minutes.
28. Add the flavors, complete the batch to 1 L with CO₂-saturated water, and mix well for 1 hour.
29. Stop mixing, saturate the headspace with CO₂, and leave overnight to release any entrapped air.

PHENYLPROPANOLAMINE HYDROCHLORIDE TABLETS

Bill of Materials			
Scale (mg/ tablet)	Item	Material Name	Qty/1000 Tablets (g)
60.00	1	Phenylpropanolamine hydrochloride, USP	60.00
180.00	2	Calcium sulfate dihydrate	180.00
–	3	Starch paste 10%	QS
12.00	4	Starch 1500 (StarX)	12.00
6.00	5	Magnesium stearate	6.00

MANUFACTURING DIRECTIONS

1. Add starch to cold water in 1:10 ratio. Heat to boil with constant stirring until a thick, translucent white paste is formed.
2. Keep it for use in following granulation.
3. Mix the phenylpropanolamine hydrochloride with the calcium sulfate in a Sigma blade mixer for 15 minutes.
4. Add starch paste in sufficient quantity to form a suitable wet mass of desirable consistency.
5. Allow to mix for 30 minutes.
6. Pass the wet mass through a No.14 screen, and distribute on drying trays.
7. Dry in a forced-air oven at 49 °C to 54 °C or in a fluid-bed dryer.
8. Pass the dried granules through a No. 18 mesh screen.
9. Transfer granules to a twin-shell blender, add items 4 and 5, and blend for 6 to 8 minutes.
10. Compress the granulation in a rotary press using 3/8 in. standard punches. Tablet weight is 260 mg.

PLACEBO TABLETS

Bill of Materials			
Scale (mg/ tablet)	Item	Material Name	Qty/1000 Tablets (g)
299.70	1	Ludipress®	299.70
0.30	2	Magnesium stearate	0.30

MANUFACTURING DIRECTIONS

1. Mix the components, sieve, and press.
2. For this formulation, compress 300 mg.
3. The compression force determines hardness and friability.
4. At 7 kN, the hardness is 45 N; at 22 kN, the hardness is 160 N.
5. The disintegration time increases from 1 minute to 4 minutes.

POLIDOCANOL WOUND SPRAY

Bill of Materials			
Scale (mg/g)	Item	Material Name	Qty/kg (g)
5.00	1	Polidocanol	5.00
50.00	2	Kollidon® VA 64	50.00
50.00	3	Ethocel™ 20	50.00
20.00	4	Lutrol E 400	20.00
675.00	5	Ethyl acetate	675.00
200.00	6	Isopropanol	200.00

MANUFACTURING DIRECTIONS

1. Dissolve items 1 to 4 in the solvent mixture of items 5 and 6.
2. Fill the solution into spray cans with the necessary quantity of propellant (e.g., propane/butane) or in a mechanical pump bottle.

POTASSIUM BICARBONATE–COATED TABLET

MANUFACTURING DIRECTIONS

1. Preparation of the potassium bicarbonate crystals: U.S. Patent 5,445,805 describes how to prepare crystals of size within the range of 800 to 900 µm, a Brunauer–Emmett–Teller (BET) surface area of 0.004 to 0.01 m²/g, and particle distributions such that greater than 90% by weight of the crystals are within the range of 700 to 1000 µm. (At least 90% of the crystals are retained on a 25 mesh screen [707 µm], and fewer than 10% are retained on an 18 mesh screen [1000 µm]).
2. Preparation and application of controlled-release coating lacquers: Coating lacquer composition: Cutina HR, 23.45 g; Ethocel™, 163.45 g; acetyl tributyl citrate, 8.75 g; isopropyl alcohol, 3304.35 g; total, 3500.00 g.
3. Coating conditions: process air flow (m³/h), 100–171; spray period (minutes), 135; spray temperature, 60.1°C to 68.1°C; spray pressure (bar), 2; liquid flow rate, 26–28 g/min; product temperature, 46°C to 52°C; coated crystals: theoretical yield (g), 3191.1; actual yield approximately 98% giving w/w dry matter of 6.37% (coated/uncoated crystals).
4. Dissolve hydrogenated castor oil (Cutina HR), ethyl cellulose (Ethocel™ Standard 100 premium), and acetyl tributyl citrate in isopropyl alcohol to provide the controlled-release coating lacquers.
5. Dissolve Cutina HR, Ethocel™, and acetyl tributyl citrate in the isopropyl alcohol solvent by heating in a mixer equipped with a heating jacket set at 60°C to 70°C with vigorous agitation. Continue the agitation for approximately 1 hour. When dissolved, the mixture should be clear to translucent.

6. Maintain the coating lacquer composition at temperatures of 60°C to 70°C.
7. Coat the lacquers on the potassium bicarbonate particles by cocurrent flow through a fluidized bed in which the moisture content is controlled. Spray the coating lacquer from a spray nozzle positioned at the bottom of a Glatt fluidized-bed apparatus equipped with a Wurster tube.
8. Fluidize the potassium bicarbonate crystals, and spray the warm coating lacquer on the crystals in multiple coating cycles.
9. Adjust the process air-flow rate as necessary to provide adequate movement of the crystals through the fluidized bed as they are coated. During the coating process, flash evaporate the isopropyl alcohol solvent from the crystals as they cycle through the fluidized bed.
10. After completing the application of the coating lacquer to the crystals, remove any trace residual solvent remaining on the coated crystals by cycling in the fluidized bed without lacquer spray for 10 minutes.
11. Following the residual solvent removal, cool the coated crystals in the bed.
12. The amount of coating lacquer applied on the crystals is calculated as the % w/w of the dry matter of the respective coatings relative to the uncoated potassium bicarbonate crystals.
13. Compression: potassium, 85.00%; bicarbonate-coated crystals, Cutina HR, 1.50%; Avicel™ PH, 7.68%; cornstarch, 5.12%; Syloid, 0.40%; Lubritab, 0.30%. Compress tablets of 1500 mg of potassium bicarbonate.

POVIDONE–IODINE AND LIDOCAINE GEL

Bill of Materials			
Scale (mg/g)	Item	Material Name	Qty/kg (g)
100.00	1	PVP–iodine 30/06	100.00
10.00	2	Lidocaine hydrochloride	10.00
10.00	3	Sodium chloride	10.00
200.00	4	Lutrol F 127	200.00
79.00	5	Sodium hydroxide (1 M solution)	79.00
61.10	6	Water	61.10

MANUFACTURING DIRECTIONS

1. Dissolve items 1 to 3 in item 6, cool to approximately 6°C, dissolve item 4, and adjust the pH to a value of 4.5 to 5.0 with item 5.
2. Maintain the cool temperature until the air bubbles escape.
3. Viscosity (Brookfield, 23°C) is 54,000 mPa.

POVIDONE–IODINE BAR SOAP

Bill of Materials			
Scale (mg/g)	Item	Material Name	Qty/kg (g)
50.00	1	PVP–iodine 30/06	50.00
10.00	2	Fragrance	10.00
75.00	3	Water	75.00
940.00	4	Syndet base	940.00

MANUFACTURING DIRECTIONS

1. Dissolve PVP–iodine in water, and mix the solution with the fragrance and the syndet base.
2. Pass the blend four times through a three-roller mill.
3. Blend three times through a plodder with a narrow-sieve hole disk.
4. Pass the blended material through a wide-sieve hole disk combined with a mouth hole disk.
5. Heat the area of the two disks to 50°C using a heating collar.
6. Cut the bar in pieces on a laboratory stamper.
7. Composition of the syndet base (in sequence of concentration): disodium lauryl sulfosuccinate, sodium lauryl sulfate, cetyl stearyl alcohol, paraffin, glycerol stearate, water, titanium dioxide.

POVIDONE–IODINE BAR SOAP

Bill of Materials			
Scale (mg/g)	Item	Material Name	Qty/kg (g)
50.00	1	PVP–iodine 30/06	50.00
75.00	2	Water	75.00
241.5	3	Texapon® K 12	241.5
241.5	4	Setacin® F special paste	241.5
241.5	5	Emcol® 4400.1	241.5
145.00	6	Cetylstearyl alcohol	145.00
96.50	7	Paraffin	96.50
226.00	8	Glycerol monostearate	226.00

MANUFACTURING DIRECTIONS

1. Heat mixture of items 3 to 8 to 75°C to 80°C, and cool to approximately 50°C, stirring well.
2. Add solution of items 1 and 2, and let cool to room temperature, stirring continuously.
3. Pass the blend four times through a three-roller mill, and let dry overnight at room temperature.
4. Cut the bar into pieces on a laboratory stamper.

POVIDONE–IODINE BAR SOAP

Bill of Materials			
Scale (mg/g)	Item	Material Name	Qty/kg (g)
50.00	1	PVP-iodine 30/06	50.00
75.00	2	Water	75.00
241.50	3	Texapon® K 12	241.50
145.00	4	Cetylstearyl alcohol	145.00
96.50	5	Paraffin	96.50
226.00	6	Glycerol monostearate	226.00

MANUFACTURING DIRECTIONS

1. Heat mixture of items 3 to 6 to 75°C to 80°C, and cool to approximately 50°C, stirring well.
2. Add solution of item 1, and let cool to room temperature, stirring continuously.
3. Pass the blend four times through a three-roller mill, and let dry overnight at room temperature.
4. Cut the bar into pieces on a laboratory stamper.

POVIDONE–IODINE CONCENTRATES FOR BROILERS AND CATTLE

Bill of Materials			
Scale (mg/g)	Item	Material Name	Qty/kg (g)
200.00	1	PVP–iodine 30/06	200.00
50.00	2	Texapon® K 12	50.00
50.00	3	Cremophor NP 14	50.00
73.00	4	Tartaric acid	73.00
43.00	5	Sulfuric acid, diluted	43.00
100.00	6	Ethanol 96%	100.00
QS	7	Water	QS to 1 kg

MANUFACTURING DIRECTIONS

1. Dissolve surfactant items 2 and 3 in solution of items 4 to 7, and slowly add PVP–iodine.
2. Brown transparent liquid having a pH of approximately 1 is obtained.
3. Dilute approximately 3 mL of the concentrate with 1 L of water prior to use.

POVIDONE–IODINE CREAM

Bill of Materials			
Scale (mg/g)	Item	Material Name	Qty/kg (g)
100.00	1	PVP–iodine 30/06	100.00
241.00	2	Citric acid (0.1 M solution)	241.00
369.00	3	Na ₂ HPO ₄ (0.2 M solution)	369.00
20.00	4	Cremophor A 6	20.00
20.00	5	Cremophor A 25	20.00
100.00	6	Cetylstearyl alcohol	100.00
100.00	7	Liquid paraffin	100.00
50.00	8	Glycerol	50.00

MANUFACTURING DIRECTIONS

1. Prepare a basic cream from the emulsifying agents and the fatty substances (items 4–8).
2. Stir in the PVP–iodine dissolved in the buffer solutions made from items 2 and 3.
3. A brown cream having a pH of 4.5 should be obtained.

POVIDONE–IODINE EFFERVESCENT VAGINAL TABLETS

Bill of Materials			
Scale (mg/tablet)	Item	Material Name	Qty/1000 Tablets (g)
350.00	1	PVP–iodine 30/06, with excess	360.00
1450.00	2	Ludipress®	1450.00
360.00	3	Tartaric acid	360.00
265.00	4	Sodium bicarbonate	265.00
19.00	5	Talc	19.00
2.00	6	Calcium arachinate	2.00
2.00	7	Aerosil® 200	2.00

MANUFACTURING DIRECTIONS

1. Dry the mixture of items 2 to 4 for 4 hours at 60°C, mix with item 1 and items 5 to 7, and press to tablets.
2. Compress 2.5 g in 20 mm biplanar punches.
3. The tablet can be dissolved in water to obtain a vaginal douche solution.

POVIDONE–IODINE FOAM SPRAY**Bill of Materials**

Scale (mg/g)	Item	Material Name	Qty/kg (g)
100.00	1	PVP–iodine 30/06	100.00
0.10	2	Cremophor A 25	0.10
QS	3	Water	QS to 1 kg

MANUFACTURING DIRECTIONS

1. Dissolve PVP–iodine in the solution of Cremophor A 25 in water.
2. Fill the aerosol cans with 90 parts of this solution and 10 parts propane:1 part butane.

POVIDONE–IODINE GARGLE**Bill of Materials**

Scale (mg/mL)	Item	Material Name	Qty/L (g)
10.00	1	Polyvinylpyrrolidone–iodine (powder) (35% excess)	13.50
10.00	2	Glycerin, USP (96%)	10.00
–	3	Purified water, USP	QS to 1 L

MANUFACTURING DIRECTIONS

Wear gloves and mask during all phases of manufacturing and filling. Do not keep the lid of the manufacturing or storage tank open unless necessary, as iodine may be liberated.

1. Add 600 mL purified water to a suitable stainless steel manufacturing tank.
2. Slowly add povidone–iodine powder to the water (with continuous stirring).
3. Stir for 30 minutes or until a clear, brown solution is obtained.
4. Add glycerin to the manufacturing tank.
5. Stir until uniform solution is obtained.
6. Make up volume to 1 L with purified water, and mix well for 5 minutes.
7. Check pH (range: 2–4).
8. Filter the solution through a 100 mesh nylon cloth, and transfer to a stainless steel storage tank.
9. Keep the storage tank tightly closed.

POVIDONE–IODINE GARGLE SOLUTION CONCENTRATE**Bill of Materials**

Scale (mg/mL)	Item	Material Name	Qty/L (g)
100.00	1	PVP–iodine 30/06	100.00
10.00	2	Propylene glycol	10.00
90.00	3	Ethanol (96%)	90.00
800.00	4	Water	800.00

MANUFACTURING DIRECTIONS

1. Dissolve the PVP–iodine in the solvent mixture to produce a brown transparent liquid.
2. Dilute 10 mL of the concentrate with approximately 100 mL of water prior to use.

POVIDONE–IODINE GEL CREAM**Bill of Materials**

Scale (mg/g)	Item	Material Name	Qty/kg (g)
100.00	1	PVP–iodine 30/06	100.00
359.00	2	Citric acid (0.1 M solution)	359.00
181.00	3	NA ₂ HPO ₄ ·12H ₂ O (0.2 M solution)	181.00
50.00	4	Lutrol E 400	50.00
100.00	5	Liquid paraffin	100.00
150.00	6	Lutrol F 127	150.00
70.00	7	Lutrol F 127	70.00

MANUFACTURING DIRECTIONS

1. Dissolve item 1 in solution of items 2 to 4, mix with item 5, and dissolve item 6 at approximately 20°C.
2. Cool to 5°C to 8°C, and dissolve item 7.
3. Maintain cool temperature until all air bubbles have disappeared.
4. A brown, turbid gel should be obtained.

POVIDONE–IODINE GELS**Bill of Materials**

Scale (mg/g)	Item	Material Name	Qty/kg (g)
100.00	1	PVP–iodine 30/06	100.00
10.00	2	Sodium chloride	10.00
200.00	3	Lutrol F 127	200.00
79.00	4	Sodium hydroxide (1 M solution)	79.00
610.00	5	Water	610.00

MANUFACTURING DIRECTIONS

1. Dissolve items 1 and 2 in item 5, and cool to approximately 6°C.
2. Dissolve Lutrol F 127 and item 2, and adjust the pH value with item 4.
3. Maintain cool until all air bubbles escape.
4. Viscosity (Brookfield, 23°C) is 61,000 to 54,000 mPa; pH value (20% in water) is 2.2 to 4.6.

POVIDONE–IODINE GLUCOSE OINTMENT**Bill of Materials**

Scale (mg/g)	Item	Material Name	Qty/kg (g)
20.00	1	PVP–iodine 30/06, with excess	26.00
45.00	2	Ethanol (96%)	45.00
849.00	3	Glucose	849.00
34.00	4	Lutrol E 4000	34.00
6.00	5	Glycerol	6.00
6.00	6	Water	6.00

MANUFACTURING DIRECTIONS

1. Dissolve Lutrol E 4000 in the hot mixture of glycerol and water, and add the glucose warmed to 60°C to 80°C.
2. Incorporate item 4 to obtain a brown, viscous, and turbid paste.

POVIDONE–IODINE LIQUID SPRAY**Bill of Materials**

Scale (mg/g)	Item	Material Name	Qty/kg (g)
100.00	1	PVP–iodine 30/06	100.00
150.00	2	Kollidon® VA 64	150.00
750.00	3	<i>N</i> -Propanol	750.00
750.00	4	Ethanol	750.00

MANUFACTURING DIRECTIONS

1. Dissolve Kollidon® VA 64 in the mixture of solvents, and slowly add PVP–iodine to the well-stirred solution.
2. Fill in aerosol cans with propellants such as propane and butane or with manual valves.

POVIDONE–IODINE LOZENGES**Bill of Materials**

Scale (mg/lozenge)	Item	Material Name	Qty/1000 Lozenges (g)
5.00	1	PVP–iodine 30/06	5.00
150.00	2	Sorbitol (crystallized)	150.00
4.00–5.00	3	Menthol (crystalline)	4.00–5.00
4.00–5.00	4	Eucalyptol (crystalline)	4.00–5.00
1.00	5	Aspartame, potassium	1.00
0.10	6	Saccharin sodium	0.10
1.00	7	Aerosil® 200	1.00
1.00	8	Magnesium stearate	1.00

MANUFACTURING DIRECTIONS

1. Mix all components, pass through a 0.8 mm sieve, and press with medium compression force.
2. Compress 176 mg in 8 mm biplanar punches.

POVIDONE–IODINE MASTITIS CREAM FOR CATTLE**Bill of Materials**

Scale (mg/g)	Item	Material Name	Qty/kg (g)
100.00	1	PVP–iodine 30/06	100.00
100.00	2	Liquid paraffin	100.00
100.00	3	Vaseline®	100.00
50.00–80.00	4	Cetylstearyl alcohol	50–80
20.00	5	Cremonophor A 6	20.00
20.00	6	Cremonophor A 25	20.00
50.00	7	Propylene glycol	50.00
QS	8	Water	530.00–560.00

MANUFACTURING DIRECTIONS

1. Dissolve PVP–iodine in the solvents (items 7 and 8).
2. Mix items 2 to 6 by heating, stir the solution in the previous mixture, and cool by stirring.

POVIDONE–IODINE MOUTHWASH AND GARGLE SOLUTION CONCENTRATE

Bill of Materials

Scale (mg/g)	Item	Material Name	Qty/kg (g)
75.00	1	PVP–iodine 30/06	75.00
5.00	2	Saccharin sodium	5.00
150.00	3	Water	150.00
2.00	4	Menthol	2.00
1.00	5	Anise oil + eucalyptus oil (1+1)	1.00
150.00	6	Lutrol E 400	150.00
500.00	7	Ethanol (96%)	500.00

MANUFACTURING DIRECTIONS

1. Dissolve PVP–iodine and saccharin in water, and mix with solution of items 4 to 7.
2. Brown transparent liquid has a fresh odor.
3. Dilute 10 to 20 mL with a glass of water.
4. A brown liquid with a fresh taste should be obtained.

POVIDONE–IODINE POWDER SPRAY

Bill of Materials

Scale (mg/g)	Item	Material Name	Qty/kg (g)
250.00	1	PVP–iodine 30/06	250.00
250.00	2	Maize PO ₄ aerosol	250.00
15.00	3	Isopropyl myristate	15.00
100.00	4	Dow Corning® 344 fluid	100.00
500.00	5	Pentane	500.00
220.00	6	Propane + butane (1+3)	220.00

MANUFACTURING DIRECTIONS

1. Suspend PVP–iodine and maize PO₄ aerosol in the liquid mixture of items 3 to 5.
2. Fill in aerosol cans with the propellants.

POVIDONE–IODINE PUMP SPRAY

Bill of Materials

Scale (mg/g)	Item	Material Name	Qty/kg (g)
10.00	1	PVP–iodine 30/06	10.00
100.00	2	Water	100.00
1.00	3	Potassium iodide	1.00
100.00	4	Xylitol	100.00
787.50	5	Propylene glycol	787.50
1.00	6	Menthol (crystalline)	1.00
0.50	7	Peppermint oil (double rectified)	0.50

MANUFACTURING DIRECTIONS

1. Dissolve potassium iodide in water, warm up to 40°C, and dissolve xylitol.
2. At room temperature, dilute with propylene glycol, dissolve PVP–iodine, and add flavors to produce a clear, brown liquid with a sweet, refreshing taste.

POVIDONE–IODINE SHAMPOO

Bill of Materials

Scale (mg/g)	Item	Material Name	Qty/kg (g)
75.00	1	PVP–iodine 30/06	75.00
250.00	2	Neutrolyx® S 60	250.00
40.00	3	Super Amide® L 9	40.00
5.00–7.00	4	Natrosol™ HR 250	5.00–7.00
–	5	Water	QS to 1 kg

MANUFACTURING DIRECTIONS

1. Dissolve Super Amide® and Natrosol™ in hot water (approximately 60°C); then, dissolve PVP–iodine.
2. After cooling, incorporate Neutrolyx®.
3. A brown, clear solution should be obtained.
4. The viscosity can be changed by modification of the amount of Natrosol™ 250 HR.

POVIDONE–IODINE SOFT GEL

Bill of Materials

Scale (mg/g)	Item	Material Name	Qty/kg (g)
10.00	1	PVP–iodine 30/06	10.00
25.00	2	Natrosol™ HR 250	25.00
QS	3	Water	QS to 1 kg

MANUFACTURING DIRECTIONS

1. Dissolve PVP–iodine and Natrosol™ HR 250 in the water, and stir well to produce a clear, brown gel.
2. Viscosity (Brookfield, 23°C) is 31,500 mPa.

POVIDONE–IODINE SOLUTION

Bill of Materials			
Scale (mg/mL)	Item	Material Name	Qty/L (g)
100.00	1	Povidone–iodine powder (35% excess)	135.00
9.318	2	Anhydrous citric acid (powder)	9.318
14.62	3	Anhydrous sodium phosphate (dibasic)	14.62
QS	4	Purified water	QS to 1 L

MANUFACTURING DIRECTIONS

Wear gloves and mask during all phases of manufacturing and filling. Do not keep the lid of the manufacturing or storage tank open unless necessary, as iodine may be liberated.

1. Citric acid–phosphate buffer solution (pH 5): Add 600 mL purified water to a suitable stainless steel manufacturing tank.
2. With gentle stirring, add citric acid to the purified water in the manufacturing tank.
3. Stir for 10 minutes or until completely dissolved.
4. During this mixing period, remove samples from the bottom valve of the manufacturing tank, and inspect for clarity.
5. Return samples to the manufacturing tank.
6. Continue mixing and sampling until the solution is completely clear.
7. With gentle stirring, add dibasic sodium phosphate to the solution.
8. Stir for 10 minutes or until completely dissolved.
9. During this mixing period, remove samples from the bottom valve of the manufacturing tank, and inspect for clarity.
10. Return samples to the manufacturing tank.
11. Continue mixing and sampling until the solution is completely clear.
12. Make up volume to 1 L with purified water, and mix well for 5 minutes.
13. Check and record pH (range: 4.8–5.2).
14. Filter the solution through a 100 mesh nylon cloth.
15. Transfer into a suitable stainless steel storage tank, and keep tightly closed.
16. This solution should be freshly prepared, and should not be stored for more than 24 hours.
17. Preparation of solution: Dissolve povidone–iodine in approximately 600 mL of citric acid/phosphate buffer (pH 5) solution in a suitable stainless steel mixing tank.
18. Stir evenly for 10 minutes or until a clear, brown solution is obtained.
19. Make up volume to 1 L with citric acid/phosphate buffer solution.

20. Mix well for 10 minutes.
21. Check and record pH (range: 3.0–4.5).
22. Filter the solution through a 100 mesh nylon cloth.
23. Transfer into a suitable stainless steel storage tank, and keep it tightly closed.

POVIDONE–IODINE SCRUB

Bill of Materials			
Scale (mg/mL)	Item	Material Name	Qty/L (g)
75.00	1	PVP–iodine (powder) (40% excess)	105.00
250.00	2	Sodium lauryl sulfate	250.00
35.00	3	Lauric diethanolamide	35.00
–	4	Distilled purified water, USP	QS to 1 L

MANUFACTURING DIRECTIONS

1. Add 600 mL purified water to a suitable stainless steel manufacturing tank.
2. Add, by sprinkling, the sodium lauryl sulfate to the manufacturing tank.
3. Continue to mix slowly under vacuum, and begin to heat until product temperature is 70°C.
4. Continue to mix vigorously under vacuum at 65°C to 70°C for 15 minutes or until completely dissolved.
5. (*Note:* Do not add detergent quickly, as a gel may form that is difficult to dissolve.) Stop mixer, release vacuum, and open tank.
6. Add and disperse the previously broken lauric diethanolamide in the warmed solution from the previous step.
7. Maintain vacuum, and mix vigorously for 30 minutes at 65°C to 70°C or until completely dissolved.
8. Slowly cool under vacuum to room temperature with slow mixing. (*Note:* Do not force cool with cold water; otherwise the mixture will adhere to the walls of the manufacturing tank.) When temperature reaches 30°C, release vacuum, and open tank.
9. While mixing slowly, add povidone–iodine in small portions.
10. Rinse the container of povidone–iodine with 150 mL purified water, and add to the main tank. (*Note:* Do not keep the lid of the manufacturing or storage tank open unless necessary, as iodine may be liberated.) Mix under vacuum until a clear reddish-brown solution is obtained.
11. Make volume up to 1 L with purified water, and mix well under vacuum for at least 15 minutes to ensure product uniformity and to deaerate the product.
12. Stop mixing, release the vacuum, and then open the tank.
13. Check and record pH (range: 3–6).
14. Filter the solution through 100 mesh nylon cloth.

POVIDONE–IODINE SOLUTION**Bill of Materials**

Scale (mg/g)	Item	Material Name	Qty/kg (g)
100.00	1	PVP–iodine 30/06	100.00
3.00	2	Lutrol F 127	3.00
5.00	3	Lutrol E 400	5.00
432.00	4	Citric acid (0.1 M solution)	432.00
460.00	5	Na ₂ HPO ₄ ·12H ₂ O (0.2 M solution)	460.00

MANUFACTURING DIRECTIONS

1. Dissolve the PVP–iodine (and Lutrol F 127) in the mixture of buffer solutions (and Lutrol E 400).
2. Brown clear solutions having a low viscosity and pH of approximately 4.5.
3. Items 2 and 3 can be deleted and compensated with item 5.

POVIDONE–IODINE SOLUTION**Bill of Materials**

Scale (mg/g)	Item	Material Name	Qty/kg (g)
100.00	1	PVP–iodine 30/06	100.00
0.23	2	Texapon® K 12	0.23
1.40	3	Sodium biphosphate	1.40
0.30	4	Sodium citrate	0.30
20.80	5	Sodium hydroxide (1 M solution)	20.80
10.00	6	Glycerol	10.00
QS	7	Water	QS to 1 kg

MANUFACTURING DIRECTIONS

1. Dissolve Texapon® K 12 in solution of items 3 to 7, and slowly add PVP–iodine to the well-stirred solution.
2. The brown transparent liquid has a pH of 4.5.

POVIDONE–IODINE SOLUTION**Bill of Materials**

Scale (mg/g)	Item	Material Name	Qty/kg (g)
100.00	1	PVP–iodine 30/06	100.00
10.00	2	Natrosol™ HR 250	10.00
2.00	3	Lutrol F 127	2.00
32.00	4	Sodium hydroxide (1 M solution)	32.00
QS	5	Water	QS to 1 kg

MANUFACTURING DIRECTIONS

1. Dissolve Lutrol F 127 and then Natrosol™ in the water.
2. As soon as both are dissolved, slowly add the PVP–iodine to the well-stirred solution.
3. Adjust the pH with the sodium hydroxide solution to approximately 3.5.

POVIDONE–IODINE SOLUTION**Bill of Materials**

Scale (mg/g)	Item	Material Name	Qty/kg (g)
20.00	1	Tylose® M 300	20.00
2.00	2	Texapon® K 12	2.00
595.00	3	Citric acid (0.1 M solution)	595.00
283.00	4	Sodium biphosphate (0.2 M solution)	283.00

MANUFACTURING DIRECTIONS

1. Dissolve Tylose® M 300 in the mixture of the citric acid and sodium biphosphate solutions.
2. Add Texapon®, and slowly dissolve the PVP–iodine.
3. The brown, clear solution will have a pH of 3 to 4.

POVIDONE–IODINE SURGICAL SCRUB**Bill of Materials**

Scale (mg/g)	Item	Material Name	Qty/kg (g)
75.00	1	PVP–iodine 30/06	75.00
250.00	2	Neutronyx® S 60	250.00
40.00	3	Super Amide L 9	40.00
QS	4	Floral bouquet	QS
QS	5	Water	QS to 1 kg

MANUFACTURING DIRECTIONS

1. Dissolve Super Amide in hot water, cool, dissolve PVP–iodine, and add Neutronyx® to produce a brown, clear viscous solution with pH of approximately 3.4.

POVIDONE–IODINE SURGICAL SCRUB

Bill of Materials			
Scale (mg/g)	Item	Material Name	Qty/kg (g)
75.00	1	PVP–iodine 30/06	75.00
250.00	2	Lutensit® AES	250.00
40.00	3	Monoamide® 150 MAW	40.00
QS	4	Floral bouquet	QS
QS	5	Water	QS to 1 kg

MANUFACTURING DIRECTIONS

1. Dissolve monoamide in hot water, cool, dissolve PVP–iodine, and add Lutensit to produce a brown, clear, viscous solution.

POVIDONE–IODINE TRANSPARENT OINTMENT

Bill of Materials			
Scale (mg/g)	Item	Material Name	Qty/kg (g)
100.00	1	PVP-iodine 30/06	100.00
600.00	2	Lutrol E 400	600.00
46.00	3	Sodium hydroxide (1 M solution)	46.00
4.00	4	Water	4.00
250.00	5	Lutrol E 4000	250.00

MANUFACTURING DIRECTIONS

1. Prepare solution of items 1 to 4, heat to approximately 60°C, incorporate item 5 (stirring well), and cool to room temperature.
2. The transparent ointment, similar to a gel, will have a pH of 4 and be miscible and washable with water.

POVIDONE–IODINE VAGINAL DOUCHE CONCENTRATE

Bill of Materials			
Scale (mg/g)	Item	Material Name	Qty/kg (g)
100.00	1	PVP–iodine 30/06	100.00
5.00	2	Lutrol E 400	5.00
3.00	3	Lutrol F 127	3.00
432.00	4	Citric acid (0.1 M solution)	432.00
460.00	5	Na ₂ HPO ₄ ·12H ₂ O (0.2 M solution)	460.00

MANUFACTURING DIRECTIONS

1. Dissolve PVP–iodine and Lutrol F 127 in the mixture of buffer solutions with Lutrol E 400.
2. The brown, clear solution will have a low viscosity and pH of approximately 4.3.

POVIDONE–IODINE VAGINAL OVULE

Bill of Materials			
Scale (mg/Ovule)	Item	Material Name	Qty/1000 Tablets (g)
100.00	1	PVP–iodine 30/06	5.00
200.00	2	Lutrol E 400	10.00
170.00	3	Lutrol E 4000	85.00

MANUFACTURING DIRECTIONS

1. Melt the Lutrol E grades by gentle heating.
2. Stir in the micronized PVP–iodine product in small portions into the melt.
3. After a uniform suspension has been obtained, pour it into polyethylene molds.
4. The homogeneous, brown-colored ovule has a weight of 2 g.

POVIDONE–IODINE VAGINAL OVULE

Bill of Materials			
Scale (mg/Ovule)	Item	Material Name	Qty/kg (g)
200.00	1	PVP–iodine 30/06	200.00
100.00	2	Lutrol E 400	100.00
100.00	3	Lutrol E 1500	100.00
700.00	4	Lutrol E 4000	700.00

MANUFACTURING DIRECTIONS

1. Melt the Lutrol E grades by gentle heating.
2. Stir in the micronized PVP–iodine product in small portions into the melt.
3. After a uniform suspension has been obtained, pour it into polyethylene molds.
4. The homogeneous, brown-colored ovule has a weight of 2 g.

POVIDONE–IODINE VISCOUS SOLUTION

Bill of Materials			
Scale (mg/g)	Item	Material Name	Qty/kg (g)
10.00	1	PVP–iodine 30/06	10.00
15.00	2	Natrosol™ HR 250	15.00
QS	3	Buffer	QS
QS	4	Water	975.00

MANUFACTURING DIRECTIONS

1. Dissolve PVP-iodine and Natrosol™ in the well-stirred buffered solution in water to produce a clear, brown, viscous liquid.
2. Viscosity (Brookfield) should be 7500 mPa.

PROMETHAZINE HYDROCHLORIDE SYRUP

Bill of Materials			
Scale (mg/ mL)	Item	Material Name	Qty/L (g)
1.00	1	Promethazine HCl (5% excess)	1.05
675.00	2	Sucrose	675.00
1.00	3	Citric acid (monohydrate)	1.00
2.40	4	Sodium citrate	2.40
0.50	5	Ascorbic acid	0.50
0.25	6	Sodium metabisulfite (sodium disulfite)	0.25
0.25	7	Anhydrous sodium sulfite	0.25
50.00	8	Alcohol (ethanol, 95%)	50.00
0.15	9	Flavor	0.15
0.30	10	Flavor	0.30
0.50	11	Polysorbate 80 (Tween 80)	0.50
0.15	12	Caramel color	0.15
QS	13	Purified water	QS to 1 L

MANUFACTURING DIRECTIONS

Promethazine HCl undergoes thermal and photochemical oxidation. Protect from light, heat, and oxygen as practicable. Avoid vortex or overmixing to avoid air entrapment. Use nitrogen gas whenever necessary to expel air.

1. Add 400 g of item 13 to the manufacturing vessel, and heat to 90°C to 95°C.
2. Add item 2 while mixing at slow speed.
3. After addition of item 2, mix for 30 minutes at high speed and a temperature of 90°C to 95°C.
4. Cool down to 30°C to 35°C while mixing at low speed.
5. Add items 3 and 4 to the manufacturing vessel while mixing, and mix until dissolved.
6. Add items 6 and 7 to the manufacturing vessel while mixing, and mix until dissolved.
7. Add item 5 to the manufacturing vessel while mixing, and mix until dissolved.
8. Mix items 9 and 10 with items 8 and 11 in a separate container by using stirrer.
9. Mix for 10 minutes, and add to the manufacturing vessel while mixing.
10. Add 8 g of cold purified water (25–30°C) to a separate container, and dissolve item 12 by using stirrer.
11. Mix for 10 minutes, and add to the manufacturing vessel while mixing.

12. Start flushing the syrup with nitrogen gas pressure at 20 to 40 psi.
13. Add 10 g of cold purified water (cooled and flushed with N₂ gas) in a separate container with lid.
14. Pass nitrogen gas at 20 to 40 psi pressure for 15 minutes.
15. Dissolve item 1 in nitrogen-flushed, cold purified water (25–30°C) by using stirrer.
16. Mix for 10 minutes and add to the manufacturing vessel while mixing. Do not produce vortex.
17. Bring volume up to 1 L with nitrogen-flushed purified water.
18. Continue flushing nitrogen gas at 20 to 40 psi pressure for 30 minutes while mixing at slow speed.
19. Check and record the pH (limit: 4.5–5.5). If required, adjust pH with 10% citric acid or 10% sodium citrate solution.
20. Filter the syrup at 1.5 bar.
21. Recirculate approximately 20 to 30 mL syrup.
22. Transfer the filtered syrup to the storage vessel.
23. Flush with nitrogen gas and seal the tank.

PROMETHAZINE HYDROCHLORIDE TABLETS

Bill of Materials			
Scale (mg/ tablet)	Item	Material Name	Qty/1000 Tablets (g)
10.00	1	Promethazine HCl, with excess	10.50
41.95	2	Lactose monohydrate	41.95
20.00	3	Maize starch	20.00
0.05	4	Sodium metabisulfite (sodium disulfite)	0.05
2.00	5	Povidone (PVP K-30)	2.00
5.00	6	Maize starch (dried)	5.00
0.50	7	Magnesium stearate	0.50
–	8	Alcohol (ethanol, 95%)	6.07
–	9	Purified water	8.67

MANUFACTURING DIRECTIONS

Avoid overmixing of lubricants; otherwise, hardness will be reduced.

1. Mix items 8 and 9 in a stainless steel container.
2. Dissolve items 4 and 5 by slow stirring with stirrer until mixture becomes clear.
3. Sift items 1, 2, and 3 through a stainless steel 500 µm sieve in sifter.
4. Load into mixer, and mix for 5 minutes at low speed.
5. Add binding solution at a rate of 5 to 7 g/min to the dry powders while mixing at low speed.
6. After addition is complete, scrape sides and blades.
7. Mix further for 2 minutes using a mixer and chopper at low speed.
8. Scrape sides and blades.

9. Check for the end point of granulation, which is the point where the granulation consists of few or no lumps.
10. If required, add purified water.
11. Dry the wet granules with the air circulation heater to expel alcohol for 2 hours.
12. Then, dry at 55°C for 14 hours.
13. After 4 hours of drying, scrape the semidried granules to break up the lumps to promote uniform drying.
14. Check the LOD (limit: 1.0–1.5%).
15. If required, dry further at 55°C for 2 hours.
16. Grind the dried granules through a 1.25 mm sieve using a granulator at medium speed.
17. Collect in stainless steel drums.
18. Load granules into the blender.
19. Sift item 6 material through a 500 µm sieve using a sifter, and add it into blender.
20. Mix for 3 minutes.
21. Sift item 7 through a 500 µm sieve, and add 1 to 2 g of granules.
22. Mix in polyethylene bag for 1 minute.
23. Add to blender.
24. Mix for 30 seconds.
25. Compress 0.80 g.
26. Coat using one of the HPMC coatings in the Appendix.

PROMETHAZINE HYDROCHLORIDE TABLETS

Bill of Materials

Scale (mg/ tablet)	Item	Material Name	Qty/1000 Tablets (g)
25.00	1	Promethazine HCl, with excess	26.00
103.75	2	Lactose monohydrate	103.75
50.00	3	Maize starch	52.50
1.50	4	Sodium metabisulfite (sodium disulfite)	1.50
5.00	5	Povidone (PVP K-30)	5.00
12.50	6	Maize starch (dried)	12.50
1.25	7	Magnesium stearate	1.25
–	8	Alcohol (ethanol, 95%)	15.00
–	9	Purified water	21.67

MANUFACTURING DIRECTIONS

Avoid overmixing of lubricants; otherwise, hardness will be reduced.

1. Mix items 8 and 9 in a stainless steel container.
2. Dissolve items 4 and 5 by slow stirring with stirrer until mixture becomes clear.
3. Sift items 1, 2, and 3 through a stainless steel 500 µm sieve in sifter.
4. Load into mixer, and mix for 5 minutes at low speed.

5. Add binding solution at a rate of 5 to 7 g/min to the dry powders while mixing at low speed.
6. After addition is complete, scrape sides and blades.
7. Mix further for 2 minutes using a mixer and chopper at low speed.
8. Scrape sides and blades.
9. Check for the end point of granulation, which is the point where the granulation consists of few or no lumps.
10. If required, add purified water.
11. Dry the wet granules with the air circulation heater to expel alcohol for 2 hours.
12. Then, dry at 55°C for 14 hours.
13. After 4 hours of drying, scrape the semidried granules to break up the lumps to promote uniform drying.
14. Check the LOD (limit: 1.0–1.5%).
15. If required, dry further at 55°C for 2 hours.
16. Grind the dried granules through a 1.25 mm sieve using a granulator at medium speed.
17. Collect in stainless steel drums.
18. Load granules into the blender.
19. Sift item 6 material through a 500 µm sieve using a sifter, and add it into blender.
20. Mix for 3 minutes.
21. Sift item 7 through a 500 µm sieve, and add 1 to 2 g of granules.
22. Mix in polyethylene bag for 1 minute.
23. Add to blender.
24. Mix for 30 seconds.
25. Compress 0.80 g.
26. Coat using one of the HPMC coatings in the Appendix.

PSEUDOEPHEDRINE HYDROCHLORIDE CAPSULES

Bill of Materials

Scale (mg/ capsule)	Item	Material Name	Qty/1000 Capsules (g)
24.00	1	Pseudoephedrine hydrochloride	24.00
15.00	2	Hydroxyethylcellulose, NF	15.00
60.00	3	Anhydrous lactose	60.00
1.00	4	Magnesium stearate	1.00

MANUFACTURING DIRECTIONS

1. Blend all the ingredients in a twin-shell blender for 10 minutes.
2. Fill No. 0 capsules with fill weight of 500 mg using a tamping force of 200 N.

PSEUDOEPHEDRINE HYDROCHLORIDE, CARBINOXAMINE MALEATE ORAL DROPS

Bill of Materials

Scale (mg/ mL)	Item	Material Name	Qty/L (g)
500.00	1	Sucrose	500.00
300.00	2	Glucose liquid	300.00
150.00	3	Glycerin (96%)	150.00
30.00	4	D-Pseudoephedrine hydrochloride	30.00
1.00	5	Carbinoxamine maleate	1.00
4.00	6	Saccharin sodium (powder)	4.00
2.50	7	Sodium benzoate (powder)	2.50
1.25	8	Flavor	1.25
0.03	9	Dye	0.03
0.03	10	Dye	0.03
QS	11	Hydrochloric acid reagent-grade bottles	QS
QS	12	HyFlo filter aid	1.32
QS	13	Purified water	455.00
QS	14	Sodium hydroxide for pH adjustment	QS

MANUFACTURING DIRECTIONS

- Charge 315 mL of deionized water into a suitable tank.
- Begin heating water to 60°C to 70°C while adding sucrose with stirring.
- Stir until sugar is dissolved.
- Remove heat.
- Add glucose liquid and 125 g of glycerin in this step.
- Add and dissolve D-pseudoephedrine HCl, carbinoxamine maleate, saccharin sodium, and sodium benzoate with mixing.
- Cool solution to 30°C to 35°C.
- Mix flavor with 25 g of glycerin.
- (Note: Temperature of syrup must not be higher than 35°C.) Dissolve dyes, if used, in 5 mL of deionized water, and add to syrup with mixing.
- Adjust to pH 4.25 (range: 4.0–4.5), if necessary, with hydrochloric acid or sodium hydroxide.
- QS to 1 L with deionized water, and mix well.
- Allow product to stand overnight to let entrapped air escape.
- Readjust volume to 1 L with deionized water.
- Add and mix 1.320 g of HyFlo filter aid to the product.
- Circulate through a press.
- Filter into tank for filling.

PSEUDOEPHEDRINE HYDROCHLORIDE FAST-DISINTEGRATING TABLETS

- To the vortex of a rapidly stirred vessel containing 345 g of deionized water add 30 g of croscarmellose sodium.

- Mix this slurry for 10 minutes.
- Concurrently, place 300 g of pseudoephedrine hydrochloride and 300 g of microcrystalline cellulose (Avicel™ PH-101) in the bowl of a mixer.
- Stir this mixture for 10 minutes.
- At the conclusion of the mixing time, slowly add the slurry to the contents of the mixing bowl, forming a granulation; place this in trays and dry in a 65°C oven for 3 hours.
- Pass the dried granulation through a U.S. standard 16 mesh screen (1190 µm).
- Place the dried granulation in a twin-shell blender, and to it add 300 g of Avicel™ AC-815 (85% microcrystalline cellulose coprocessed with 15% of a calcium, sodium alginate complex) and 300 g of microcrystalline cellulose (Avicel™ PH-102).
- Thoroughly blend for 10 minutes, after which add 10.05 g of magnesium stearate and mix for an additional 5 minutes.
- Prior to being added to the blender, pass the magnesium stearate through a U.S. standard 30 mesh screen.
- Compress the resulting blend into tablets using 6.35 mm (0.25 in.) round standard concave tooling to give average weight of 0.1299 g and an average thickness of 4.864 mm (0.1915 in.).
- The hardness of these tablets should average 1.38 kp.
- Friability should measure at 0.077% after 4 minutes.
- The average disintegration time should be 15 seconds in 10 mL of deionized water, forming a suspension with minimal shaking.

PSEUDOEPHEDRINE HYDROCHLORIDE SYRUP

Bill of Materials

Scale (mg/ mL)	Item	Material Name	Qty/L (g)
6.00	1	Pseudoephedrine HCl (3% excess)	6.18
600.00	2	Sucrose	600.00
100.00	3	Glycerin (glycerol)	100.00
100.00	4	Sorbitol (70% solution)	100.00
15.00	5	Propylene glycol	15.00
1.00	6	Methylparaben	1.00
0.30	7	Propylparaben	0.30
0.50	8	Saccharin sodium	0.50
0.02	9	Dye (if needed)	0.02
0.05	10	Menthol	0.05
0.13	11	Citric acid	0.13
1.15	12	Sodium citrate	1.15
QS	13	Purified water	QS to 1 L

MANUFACTURING DIRECTIONS

- Add 390 g of purified water to the manufacturing vessel, and heat to 90°C to 95°C.

- Add items 6 and 7 while mixing to dissolve at high speed.
- Add item 2 while mixing at slow speed at a temperature of 90°C to 95°C.
- Mix for 1 hour at high speed.
- Cool down to 50°C while mixing at slow speed.
- Dissolve items 8 and 12 in 10 g of item 13, and add to the manufacturing vessel while mixing at high speed.
- Dissolve item 11 in 10 g of purified water, and add to the manufacturing vessel while mixing at high speed.
- Load items 4 and 3 into the manufacturing vessel using a transfer pump while mixing at high speed.
- Mix for 5 minutes.
- Cool down to 30°C while mixing at slow speed.
- Add 20 g of item 13 (30°C) in a separate container, and dissolve item 1 by using stirrer.
- Mix for 10 minutes, and add to the manufacturing vessel while mixing at high speed.
- Add 6 g of item 13 in a separate container, and dissolve item 9 manually.
- Add color to the manufacturing vessel while mixing at high speed.
- Dissolve item 10 in item 5.
- Add this flavor mixture to the manufacturing vessel while mixing at high speed.
- Bring the volume up to 1 L with item 13, and finally, mix for 15 to 20 minutes at high speed.
- Check and record the pH (limit: 5.5–6.5 at 25°C).
- If required, adjust pH with 20% citric acid or 20% sodium citrate solution.
- Filter the syrup at 1.5 bar.
- Recirculate approximately 100 to 150 mL syrup.

PSEUDOEPHEDRINE HYDROCHLORIDE TABLETS

Bill of Materials			
Scale (mg/ tablet)	Item	Material Name	Qty/1000 Tablets (g)
60.00	1	Pseudoephedrine HCl ^a	63.00
120.20	2	Lactose monohydrate	120.20
25.00	3	Maize starch	25.00
1.00	4	Povidone (PVP K-30)	1.00
4.00	5	Povidone (PVP K-30)	4.00
1.80	6	Magnesium stearate	1.80
–	7	Alcohol (ethanol, 95%)	29.00

^a Pseudoephedrine HCl 3 mg/tablet can be added in excess to compensate for moisture and handling loss.

MANUFACTURING DIRECTIONS

Avoid overmixing of lubricants; otherwise, hardness is reduced.

- Dissolve item 5 in item 7 while mixing at slow speed using a stirrer.
- Sift items 1 to 4 through a 500 µm sieve.
- Load into mixer, and mix for 5 minutes at low speed.
- Add binding solution to the dry powders while mixing at low speed for 2 minutes.
- After addition is complete, mix further for 1 minute using mixer and chopper at low speed.
- Scrape sides and blade.
- Check for the end point of granulation, which is when the granulation consists of wet granules with few or no lumps.
- If required, add ethanol 95% to achieve desired granules.
- Record extra quantity of ethanol 95% used.
- Dry the wet mass at 55°C for 7 hours.
- After 4 hours of drying, scrape the semidried granules to break the lumps to promote uniform drying.
- Check the moisture content (limit: 1.5–2.5%).
- Sift the dried granules through a 1.25 mm sieve using a granulator at medium speed.
- Collect in stainless steel drums.
- Load granules into the drum blender.
- Sift item 6 through a stainless steel 250 µm sieve in sifter.
- Add 8 to 12 g granules in mixer to sieved item 6.
- Mix manually for 1 minute.
- Add to drum blender, and blend for 1 minute.
- Compress 215 mg in 8 mm round punches.

PSEUDOEPHEDRINE TABLETS

Bill of Materials			
Scale (mg/ tablet)	Item	Material Name	Qty/1000 Tablets (g)
60.00	1	(+) Pseudoephedrine hydrochloride	60.00
95.00	2	Dicalcium phosphate (Di-Tab)	95.00
5.00	3	Kollidon® 30	5.00
–	4	Water	QS
20.00	5	PEG-6000 (powder)	20.00
2.00	6	Aerosil® 200	2.00

MANUFACTURING DIRECTIONS

- Granulate dicalcium phosphate with solution of items 3 and 4, dry, pass through a 0.8 mm sieve, and mix with item 1.
- Add items 5 and 6, and press with low compression force.
- Compress 192 mg using 8 mm biplanar punches.

PSORIASIS CREAM

Bill of Materials			
Scale (mg/g)	Item	Material Name	Qty/kg (g)
40.00	1	Lanolin alcohol	40.00
50.00	2	White petroleum jelly	50.00
120.00	3	Paraffin wax 140F	120.00
300.00	4	Mineral oil (70 cS)	300.00
20.00	5	Coal tar	20.00
2.50	6	Allantoin	2.50
QS	7	Deionized water	QS to 1 kg
QS	8	Preservative	QS

MANUFACTURING DIRECTIONS

1. Heat oil and water phases separately to 70°C.
2. Slowly add water phase in increments to the oil phase.
3. Allow each addition time to be fully incorporated.
4. Stir to cool.
5. Fill just above melting point.
6. Further homogenization may improve stability prior to filling.

PSORIASIS CREAM

Bill of Materials			
Scale (mg/g)	Item	Material Name	Qty/kg (g)
16,000	1	Stearic acid	1600
6.00	2	Oleyl alcohol	6.00
2.00	3	Lanolin	2.00
2.00	4	Coal tar	2.00
0.600	5	Triethanolamine (99%)	0.60
0.250	6	Allantoin	0.25
QS	7	Deionized water	QS to 1 kg
–	8	Preservative	QS

MANUFACTURING DIRECTIONS

1. Heat water and oil phases separately to 80°C.
2. Add water phase to oil phase while stirring.
3. Stir to cool.
4. Pass through homogenizer.
5. Fill at 40°C.

PSYLLIUM AND DIOCTYL SODIUM SULFOSUCCINATE POWDER**MANUFACTURING DIRECTIONS**

1. Psyllium husk, 5.1 g; dioctyl sodium sulfosuccinate, 240 mg.

2. The psyllium husk is milled to a small particle size: NMT 4% on 100 mesh and between 25% and 50% through 200 mesh.
3. These psyllium particles are then agglomerated with maltodextrin, and citric acid is sprayed on.
4. Dioctyl calcium sulfosuccinate or dioctyl potassium sulfosuccinate, can be substituted for the dioctyl sodium sulfosuccinate, or two or three of these can be combined.
5. Methyl cellulose, polycarbophil, calcium polycarbophil, bran, malt soup extract, karaya, guar gum, or mixtures of these can be substituted for the psyllium.
6. The amounts of psyllium and/or dioctyl sulfosuccinate can be varied within the ranges specified herein.

PSYLLIUM AND DOCUSATE SODIUM TABLETS**FORMULATION**

Psyllium, 71.0%; ethylcellulose, 4.8%; isopropyl alcohol, QS; microcrystalline cellulose, 16.7%; PVP cross-linked, 1.9%; carnauba wax, 2.3%; docusate sodium, 3.3%.

MANUFACTURING DIRECTIONS

1. Soak ethylcellulose in isopropyl alcohol overnight.
2. Granulate psyllium with isopropyl/ethylcellulose mixture in mixer.
3. Dry at 49°C for 3 hours.
4. Mill through 12 mesh screen.
5. Mix in a mixer the following: psyllium, microcrystalline cellulose, and carnauba wax.
6. Compress the tablet per granulation specifications using a tableting press.
7. Coat the core tablets.

Methylcellulose, polycarbophil, calcium polycarbophil, bran, malt soup extract, karaya, guar gum, or mixtures of these can be substituted for the psyllium. The amounts of psyllium and/or dioctyl sulfosuccinate can be varied. Dioctyl calcium sulfosuccinate or dioctyl potassium sulfosuccinate can be substituted for the dioctyl sodium sulfosuccinate, or two or three of these can be combined.

PSYLLIUM AND DOCUSATE SODIUM WAFER**FORMULATION**

Ascorbic acid, 0.15%; natural and artificial flavors, 1.54%; corn oil, 14.80%; cornstarch, 1.97%; fructose crystalline, 6.82%; lecithin oil, 0.99%; molasses granular light, 0.39%; oat hull fiber, 6.42%; psyllium husk, 13.32%; sodium bicarbonate, 0.20%; sucrose white granulated, 17.40%; table oats, 8.89%; water purified USP, QS; wheat flour, 19.21%; docusate sodium, 0.63%; sorbitan tristearin, 0.20%.

MANUFACTURING DIRECTIONS

1. In an appropriate mixer, add corn oil and lecithin, and mix for 1 minute using low speed.
2. *Note:* Preheat (microwave) lecithin, if necessary.
3. Add psyllium and docusate (which has been coated with the sorbitan tristearin), and mix for 1 minute using low speed.
4. Into a separate bowl, add part of the sucrose, fructose, molasses, and half of the water.
5. Mix for 1 minute using low speed.
6. Add psyllium/oil/lecithin premix and oat fiber.
7. Mix for 1 minute. Add rest of water, soda, flavors, ascorbic acid, and starch.
8. Mix for 1 minute at low speed.
9. Add flour to the mixer, and mix for 1 minute at low speed.
10. Roll dough into sheets approximately 0.1 in. thick.
11. Cut dough into rectangles of approximately 2.5 in. × 1.6 in.
12. Place bars on baking trays, and bake at 375°C for 10 to 12 minutes.
13. Ethylcellulose, polycarbophil, calcium polycarbophil, bran, malt soup extract, karaya, guar gum, or mixtures of these can be substituted for the psyllium. The amounts of psyllium and/or dioctyl sulfosuccinate can be varied within the ranges specified herein. Dioctyl calcium sulfosuccinate or dioctyl potassium sulfosuccinate can be substituted for the dioctyl sodium sulfosuccinate, or two or three of these can be combined.

PSYLLIUM HUSK GRANULES

1. Stir raw, unmilled psyllium seed husk (2 g) with 0.2 N sodium hydroxide (400 mL) containing sodium borohydride (400 mg) in a nitrogen atmosphere at ambient temperature for 90 minutes.
2. The pH of the solution should be from 10 to 11.
3. Pass the solution through a pasteurizer at a temperature of 100°C for a period of 50 seconds.
4. Once pasteurized, centrifuge the mixture for 20 minutes at 23,500 g.
5. Decant the supernatant from an insoluble fraction that settles out in the centrifuge bottle.
6. Mix the insoluble fraction with fresh sodium hydroxide/sodium borohydride solution (100 mL) and recentrifuge for 15 minutes to increase yield of the soluble fraction.
7. Adjust the pH of the supernatant to 5.5 by the addition of acetic acid at ambient temperature with stirring, forming a gel.
8. Desiccate the gel with isopropanol added with high-shear mixing.
9. Decant the isopropanol solution from the gel.
10. The solids content of the gel should be 30%.

11. Pass the gel material through an extruder and extrude into individual particles with an average particle size of 500 µm.
12. Introduce the extruded particles to a fluidized-bed dryer fitted with a cyclonic airflow screen, such as a Conidur screen.
13. Maintain the air temperature at 80°C.
14. The gel temperature should remain below 70°C throughout the drying process.
15. Dry the particles to a powder, with 90% of the water being removed.
16. The yield of the gel-forming polysaccharide will be 85%.
17. The final compositions comprise the following components by weight: gel-forming, 50.0%; polysaccharide sorbitol Neosorb P20, 48.16%; magnesium stearate, 0.5%; flavorant, 0.4%; colorant, 0.14%; citric acid, 0.8%.
18. The granules can be coated using the coating formulation: isopropanol, 94.5%; Eudragit® RD100, 5%; polyethylene glycol, 0.5%.
19. Dry and combine the coated gel-forming polysaccharide particles with the excipients as described previously.

PSYLLIUM HUSK TABLETS**MANUFACTURING DIRECTIONS**

1. Stir raw, unmilled psyllium seed husk (2 g) with 0.2 N sodium hydroxide (400 mL) containing sodium borohydride (400 mg) in a nitrogen atmosphere at ambient temperature for 90 minutes.
2. The pH of the solution should be from 10 to 11.
3. Pass the solution through a pasteurizer at a temperature of 100°C for a period of 50 seconds.
4. Once pasteurized, centrifuge the mixture for 20 minutes at 23,500 g.
5. Decant the supernatant from an insoluble fraction that settles out in the centrifuge bottle.
6. Mix the insoluble fraction with fresh sodium hydroxide/sodium borohydride solution (100 mL) and recentrifuge for 15 minutes to increase yield of the soluble fraction.
7. Adjust the pH of the supernatant to 5.5 by the addition of acetic acid at ambient temperature with stirring, forming a gel.
8. Desiccate the gel with isopropanol added with high-shear mixing.
9. Decant the isopropanol solution from the gel.
10. The solids content of the gel should be 30%.
11. Pass the gel material through an extruder and extruded into individual particles with an average particle size of 500 µm.
12. Introduce the extruded particles to a fluidized-bed dryer fitted with a cyclonic airflow screen, such as a Conidur screen.

13. Maintain the air temperature at 80°C.
14. The gel temperature should remain below 70°C throughout the drying process.
15. Dry the particles to a powder with 90% of the water being removed.
16. The yield of the gel-forming polysaccharide will be 85%.
17. Manufacture chewable tablets, total weight 2.5 g, while dry blending step 8 with sorbitol for 10 minutes, each component having an average particle size of approximately 500 µm.
18. Add the premix, if desired, and blend the mixture for an additional 10 minutes.
19. Add magnesium stearate, and blend the composition for another 5 minutes.
20. Directly compress the mixture into tablets using pressure from 2000 to 4000 psi.
21. The final compositions comprise the following components by weight: gel-forming, 50.0%; polysaccharide sorbitol Neosorb P20, 48.16%; magnesium stearate, 0.5%; flavorant, 0.4%; colorant, 0.14%; citric acid, 0.8%.
22. Optionally, the coating can be applied directly to a chewable tablet containing the gel-forming polysaccharide.
23. Additionally, it may be desired to include a flavorant within the coating composition: ethanol, 94%; polyethylene glycol, 5%; flavorant, 1%.

PVP-IODINE MOUTHWASH

Bill of Materials

Scale (mg/g)	Item	Material Name	Qty/kg (g)
100.00	1	PVP-iodine	100.00
5.00	2	Saccharin sodium	5.00
2.00	3	Menthol	2.00
0.50	4	Aniseed oil	0.50
0.50	5	Eucalyptus oil	0.50
160.00	6	PEG-400	160.00
300.00	7	Ethanol	300.00
QS	8	Purified water	QS to 1 kg

MANUFACTURING DIRECTIONS

1. Dissolve PVP-iodine powder and saccharin sodium in 440 g of water to obtain a clear solution.
2. In a separate container, add alcohol.
3. Mix and dissolve aniseed oil, eucalyptus oil, menthol, and PEG-400 to obtain a clear solution.
4. QS with water.
5. Add solution from previous step and mix with stirring.
6. Package in HDPE plastic bottles.

PYRIDOXINE TABLETS

Bill of Materials

Scale (mg/tablet)	Item	Material Name	Qty/1000 Tablets (g)
40.00	1	Pyridoxine hydrochloride	40.00
150.00	2	Lactose monohydrate	150.00
150.00	3	Avicel™ PH101	150.00
15.00	4	Kollidon® VA 64	15.00
10.00	5	Kollidon® CL	10.00
1.00	6	Magnesium stearate	1.00
1.00	7	Aerosil® 200	1.00

MANUFACTURING DIRECTIONS

1. Pass all components through a 0.5 mm sieve, mix, and press with high compression force.
2. Compress 361 mg in 12 mm biplanar punches.

PYRIDOXINE TABLETS

Bill of Materials

Scale (mg/tablet)	Item	Material Name	Qty/1000 Tablets (g)
40.00	1	Pyridoxine hydrochloride	40.00
300.00	2	Cornstarch	300.00
15.00	3	Kollidon® 30	15.00
80.00	4	Water + isopropanol	80.00
1.00	5	Magnesium stearate	1.00
2.00	6	Aerosil® 200	2.00

MANUFACTURING DIRECTIONS

1. Granulate mixture of items 1 and 2 with solution of items 3 and 4, dry, pass through a 0.8 mm sieve, mix with items 5 and 6, and press with high compression force.
2. Compress 354 mg in 12 mm biplanar punches.

PYRIDOXINE TABLETS

Bill of Materials

Scale (mg/tablet)	Item	Material Name	Qty/1000 Tablets (g)
100.00	1	Pyridoxine hydrochloride	100.00
200.00	2	Tablettose®	200.00
10.00	3	Kollidon® VA 64	10.00
3.00	4	Kollidon® CL	3.00
1.00	5	Magnesium stearate	1.00
1.00	6	Aerosil® 200	1.00

MANUFACTURING DIRECTIONS

1. Pass all components through a 0.8 mm sieve, mix, and press with medium compression force.
2. Compress 363 mg in 12 mm biplanar punches.

PYRIDOXINE TABLETS

Bill of Materials			
Scale (mg/ tablet)	Item	Material Name	Qty/1000 Tablets (g)
100.00	1	Pyridoxine hydrochloride	100.00
150.00	2	Lactose monohydrate	150.00
83.00	3	Avicel™ PH101	83.00
10.00	4	Kollidon® VA 64	10.00
3.00	5	Kollidon® CL	3.00
1.00	6	Magnesium stearate	1.00
1.00	7	Aerosil® 200	1.00

MANUFACTURING DIRECTIONS

1. Pass all components through a 0.8 mm sieve, mix, and press with medium compression force.
2. Compress 360 mg in 12 mm biplanar punches.

PYRIDOXINE TABLETS

Bill of Materials			
Scale (mg/ tablet)	Item	Material Name	Qty/1000 Tablets (g)
250.00	1	Pyridoxine hydrochloride	250.00
100.00	2	Avicel™ PH101	100.00
12.00	3	Kollidon® VA 64	12.00
5.00	4	Magnesium stearate	5.00

MANUFACTURING DIRECTIONS

1. Pass all components through a 0.8 mm sieve, mix, and press with high compression force.
2. Compress 361 mg in 12 mm biplanar punches.

PYRIDOXINE TABLETS

Bill of Materials			
Scale (mg/ tablet)	Item	Material Name	Qty/1000 Tablets (g)
300.00	1	Pyridoxine hydrochloride	300.00
100.00	2	Lactose monohydrate D 20	100.00
20.00	3	Kollidon® 30	20.00
QS	4	Isopropanol + water (1+1)	60.00
10.00	5	Kollidon® CL	10.00
2.00	6	Aerosil® 200	2.00

MANUFACTURING DIRECTIONS

1. Granulate mixture of items 1 and 2 with solution of items 3 to 6, dry, and sieve through a 0.8 mm screen.
2. Press with medium compression force.
3. Compress 440 mg using 12 mm biplanar punches.

RANITIDINE HYDROCHLORIDE TABLETS

Bill of Materials			
Scale (mg/ tablet)	Item	Material Name	Qty/1000 Tablets (g)
75.00	1	Ranitidine; use Ranitidine HCl	88.88
65.00	2	Microcrystalline cellulose, NF	65.00
1.12	3	Magnesium stearate, NF	1.12

MANUFACTURING DIRECTIONS

1. Pass ranitidine and microcrystalline cellulose through a 595 µm screen, and transfer to a suitable mixer.
2. Mix for 10 minutes.
3. Screen the magnesium stearate through a 400 µm screen, and add to the blender.
4. Blend for 2 minutes.
5. Compress using slightly convex round punches at hardness 8 ppi and disintegration time NMT 15 minutes in water.
6. Coat using a Methocel™–Ethocel™ coating solution (see Appendix).

RANITIDINE TABLETS

Bill of Materials			
Scale (mg/ tablet)	Item	Material Name	Quantity/1000 Tablets (g)
167.39	1	Ranitidine HCl USP (Orchev Pharma)	167.39
78.28	2	Microcrystalline cellulose NF (Avicel™ PH-102, FMC)	78.28
62.00	3	Pregelatinized starch NF (Starch 1500, Colorcon)	62.00
1.55	4	Fumed silica NF (Aerosil® 200, Degussa AG)	1.55
0.78	5	Magnesium stearate NF (Peter Greven)	0.78

MANUFACTURING DIRECTIONS

1. Blend all materials, with the exception of magnesium stearate, for 10 minutes in a blender.
2. Add magnesium stearate and blend for an additional 2 minutes.
3. Compress tablets at 310 mg.

RANITIDINE TABLETS

Bill of Materials			
Scale (mg/ tablet)	Item	Material Name	Qty/1000 Tablets (g)
75.00	1	Ranitidine; use Ranitidine HCl ^a	85.00
95.00	2	Microcrystalline cellulose (Avicel™ PH102)	95.00
7.00	3	Croscarmellose sodium (Ac-Di-Sol)	7.00
6.60	4	Microcrystalline cellulose (Avicel™ PH102)	6.60
1.40	5	Magnesium stearate	1.40

^a Ranitidine HCl (1.5%) is added to compensate LOD and process loss.

MANUFACTURING DIRECTIONS

1. Process the product in an area where the RH is 40% to 45% and temperature does not exceed 25°C.
2. Store the bulk tablets in polyethylene-lined stainless steel containers at a controlled RH of 45% to 50% and temperature not exceeding 25°C.
3. Pass items 1, 2, and 3 through a sifter using a 900 µm sieve.
4. Load into a blender, and mix for 3 minutes.
5. Manually mix items 4 and 5 in a polyethylene bag for 1 minute.
6. Pass through a sifter using a 500 µm sieve.
7. Collect in a polyethylene bag.
8. Add to blender, and blend for 1 minute.
9. Check temperature and humidity before start of slugging (at a temperature not exceeding 25°C and a RH of 40–45%).
10. Slug 240 g of mixed powder in a rotary tableting machine.
11. Grind the slugs in a granulator using a 3 mm sieve followed by a 1 mm sieve.
12. Compress 195 mg using oblong biconvex punches.
13. Check temperature and humidity before start of compression (limit: temperature not exceeding 25°C and RH of 40–45%).
14. Coat using a hydroalcoholic HPMC coating.

RIBOFLAVIN TABLETS

Bill of Materials			
Scale (mg/ tablet)	Item	Material Name	Qty/1000 Tablets (g)
3.00	1	Riboflavin	3.00
195.00	2	Ludipress®	195.00
2.00	3	Magnesium stearate	2.00
1.00	4	Aerosil® 200	1.00

MANUFACTURING DIRECTIONS

1. Pass all components through a 0.8 mm sieve, mix, and press with very low compression force (4 kN).
2. Compress 202 mg using 8 mm biplanar punches.
3. This is a very low-active ingredient formulation (3 mg).
4. If content uniformity is a problem, prepare a pre-mix of the active ingredient with a small part of the Ludipress® or with lactose monohydrate before mixing with the other components of the formulation.

RIBOFLAVIN TABLETS

Bill of Materials			
Scale (mg/ tablet)	Item	Material Name	Qty/1000 Tablets (g)
10.00	1	Riboflavin	10.00
75.00	2	Lactose monohydrate	75.00
20.00	3	Cornstarch	20.00
15.00	4	Avicel™ PH101	15.00
5.00	5	Kollidon® 30	5.00
25.00	6	Water	25.00
0.80	7	Aerosil® 200	0.80
2.50	8	Talc	2.50
1.70	9	Hydrogenated castor oil	1.70

MANUFACTURING DIRECTIONS

1. Granulate mixture of items 1 to 4 with solution of items 5 and 6, dry, pass through a 0.8 mm sieve, mix with items 7 to 9, and press with low compressive force.
2. Compress 134 mg in 8 mm biplanar punches.

RIBOFLAVIN TABLETS

Bill of Materials			
Scale (mg/ tablet)	Item	Material Name	Qty/1000 Tablets (g)
75.00	1	Riboflavin	75.00
375.00	2	Sorbitol (crystalline)	375.00
23.00	3	Kollidon® VA 64	23.00
4.00	4	Magnesium stearate	4.00
12.00	5	Aerosil® 200	12.00

MANUFACTURING DIRECTIONS

1. Pass all components through a 0.8 mm sieve, mix, and press with low compressive force.
2. Compress 493 mg using 12 mm biplanar punches.

RIBOFLAVIN TABLETS

Bill of Materials			
Scale (mg/ tablet)	Item	Material Name	Qty/1000 Tablets (g)
100.00	1	Riboflavin	100.00
250.00	2	Sorbitol (crystalline)	250.00
19.00	3	Kollidon® VA 64	19.00
5.00	4	Magnesium stearate	5.00
10.00	5	Aerosil® 200	10.00

MANUFACTURING DIRECTIONS

1. Pass all components through a 0.8 mm sieve, mix, and press with medium compression force.
2. Compress 384 mg using 12 mm biplanar punches.

RIBOFLAVIN TABLETS

Bill of Materials			
Scale (mg/ tablet)	Item	Material Name	Qty/1000 Tablets (g)
150.00	1	Riboflavin, with excess	156.00
150.00	2	Ludipress®	150.00
4.00	3	Magnesium stearate	4.00
2.00	4	Aerosil® 200	2.00

MANUFACTURING DIRECTIONS

1. Mix all components, pass through a 0.8 mm sieve, and press with low compressive force.
2. Compress 308 mg using 8 mm biplanar punches.

RUBEFACIENT ANALGESIC OINTMENT

Bill of Materials			
Scale (mg/g)	Item	Material Name	Qty/kg (g)
150.00	1	Polawax, NF	150.00
100.00	2	Methyl salicylate	100.00
50.00	3	Menthol	50.00
100.00	4	Mineral oil (70 cS)	100.00
QS	5	Deionized water	QS to 1 kg
QS	6	Preservative, color	QS

MANUFACTURING DIRECTIONS

1. Heat oil and water phases separately to 70°C.
2. Add water phase to oil phase while stirring. Stir to cool.
3. Fill at 30°C.

SACCHARIN EFFERVESCENT TABLETS

Bill of Materials			
Scale (mg/ tablet)	Item	Material Name	Qty/1000 Tablets (g)
15.00	1	Saccharin sodium	15.00
10.00	2	Tartaric acid	10.00
14.00	3	Sodium bicarbonate	14.00
2.00	4	Kollidon® VA 64	2.00
2.00	5	PEG-6000 (powder)	2.00

MANUFACTURING DIRECTIONS

1. Dry saccharin sodium and tartaric acid for 1 hour at 100°C.
2. Mix all components, pass through a 0.8 mm sieve, and press with low compressive force.
3. Compress 42 mg in 5 mm biplanar punches.

SACCHARIN TABLETS

Bill of Materials			
Scale (mg/ tablet)	Item	Material Name	Qty/1000 Tablets (g)
37.50	1	Sodium cyclamate	37.50
17.00	2	Mannitol	17.00
6.35	3	Soda ash (light-milled powder, 58% Na ₂ O)	6.35
3.75	4	Saccharin sodium (dehydrated powder)	3.75
1.40	5	Povidone (PVP K-29-32)	1.40
8.00	6	Purified water	8.00
11.00	7	Tartaric acid	11.00
0.80	8	Soda ash (light-milled powder, 58% Na ₂ O)	0.80
1.00	9	Anhydrous sodium citrate	1.00
1.00	10	Sodium benzoate	1.00
0.20	11	PEG-8000	0.20

MANUFACTURING DIRECTIONS

1. This product is hygroscopic and should be processed in a low-humidity area not exceeding 50% RH at 24°C.
2. Maintain at 35 to 40% RH at 24°C if possible.
3. If necessary, pass sodium cyclamate and mannitol (if used) through a Fitz mill or similar type using a 420 µm or similar screen, and then charge into a suitable mixer.
4. To this mixture, add soda ash (item 3) and blend for 30 minutes or until uniform.
5. Dissolve povidone in 4 mL of warm purified water.
6. Dissolve saccharin sodium in 3 mL of warm purified water.

- Add solutions from previous steps together plus sufficient purified water.
- Mass with blended powders.
- Blend for 1 hour or until uniform.
- Pass the wet mass through a 4.76 mm or similar screen in an oscillating granulator, and spread onto trays.
- Oven dry at 50°C to 55°C for 16 to 24 hours using a full oven load of trays (LOD NMT 0.9%).
- Pass dried granulation through a 1.19 mm or similar screen in an oscillating granulator or through a 1.68 mm or similar screen using a comminuting mill (knives forward, slow speed).
- Lubricants must meet LOD/moisture content before proceeding.
- If lubricants fail, dry them at 80°C for 8 hours.
- Use 60°C for tartaric acid.
- Mill lubricants (except tartaric acid and granulated lactose, if used) through a 600 µm or similar screen in a comminuting mill (hammers forward, medium speed).
- Load dried granulation, coated tartaric acid, lactose (if used), and milled lubricants into a suitable mixer, and blend for 30 to 40 minutes.
- Compress 80 mg per tablet in 7/32 in. punches.

SACCHARIN TABLETS

Bill of Materials			
Scale (mg/ tablet)	Item	Material Name	Qty/1000 Tablets (g)
15.00	1	Saccharin sodium	15.00
31.00	2	Ludipress®	31.00
2.00	3	Kollidon® CL	2.00
0.30	4	Magnesium stearate	0.30
2.00	5	PEG-6000 (powder)	2.00
2.00	6	Lutrol F 68	2.00

MANUFACTURING DIRECTIONS

- Mix all components, pass through a 0.8 mm sieve, and press with medium compression force.
- Compress 51 mg (or 50 mg if items 5 and 6 are omitted) using 5 mm punches.

SALICYLIC ACID CREAM

Bill of Materials			
Scale (mg/g)	Item	Material Name	Qty/kg (g)
150.00	1	Glyceryl stearate and PEG-75 stearate	150.00
5.00	2	Stearic acid	5.00
80.00	3	Mineral oil	80.00
665.00	4	Deionized water	665.00
100.00	5	Salicylic acid	100.00

MANUFACTURING DIRECTIONS

- Mix and heat items 1 to 4 to 75°C.
- Allow to cool with gentle stirring.
- At 30°C, add item 5. Homogenize if necessary.

SCOPOLAMINE TABLETS

MANUFACTURING DIRECTIONS

- To 0.2 g of scopolamine hydrobromide, add 29.4 g of calcium hydrogen phosphate (anhydrous) in small portions and mix well in a mortar to form a triturate.
- Mix the triturate (29.6 g) well with fumaric acid (60 g) and calcium stearate (0.4 g) in a polyethylene bag to form a mixed powder A.
- Mix 25 g of fumaric acid, 9.8 g of potassium hydrogen phosphate (anhydrous), and 0.2 g of calcium stearate in a polyethylene bag to make a mixed powder B.
- To 0.1 g of scopolamine hydrobromide, add 10 g of crystalline cellulose in small portions and mix well in a mortar to make a triturate.
- Mix this triturate (10.1 g) well with 24.7 g of lactose and 0.2 g of calcium stearate in a polyethylene bag to make a mixed powder C.
- Perform multilayer tableting on a single-punch machine equipped with a die (8 mm) and flat-faced punches: First, place 90 mg of the mixed powder A in the die and precompress lightly; place 35 mg of the mixed powder B on the first fill and lightly precompress; thereafter, place 35 mg of the mixed powder C on the second fill and compress with a total pressure of approximately 1.2 tonnes.

SELEGILINE TABLETS

Bill of Materials			
Scale (mg/ tablet)	Item	Material Name	Qty/1000 Tablets (g)
5.00	1	Selegiline	5.00
94.00	2	Ludipress®	94.00
1.00	3	Magnesium stearate	1.00

MANUFACTURING DIRECTIONS

- Mix all components intensively, pass through a 0.8 mm sieve, and press with low compressive force.
- Compress 99 mg in 6 mm biplanar punches.

SELENIUM SULFIDE SHAMPOO WITH CONDITIONER

Bill of Materials			
Scale (mg/mL)	Item	Material Name	Qty/L (g)
10.00	1	Selenium sulfide	10.00
2.00	2	Methylparaben	2.00
10.00	3	Magnesium aluminum silicate type IIA	10.00
20.00	4	Titanium	20.00
0.17	5	Dye	0.17
230.00	6	Sodium alkyl ether sulfate/sulfonate	230.00
30.00	7	Cocamide DEA surfactant	30.00
40.00	8	Cocoamphocarboxyglycinate	40.00
10.00	9	Hydrolyzed protein	10.00
4.00	10	Perfume	4.00
QS	11	Citric acid	QS
QS	12	Sodium chloride	QS
QS	13	Deionized purified water	QS to 1 L

Note: Item 11 is used for pH adjustment, if necessary, and item 12 is used for viscosity adjustment, if necessary.

MANUFACTURING DIRECTIONS

1. Selenium sulfide is toxic. Handle carefully, and use approved respiratory protection.
2. Add 7 mL of purified water to an appropriate mill containing full-charge alumina grinding cylinder media.
3. Add selenium sulfide.
4. Seal the mill, and agitate for approximately 10 minutes to wet down the powdered material.
5. Recycle for approximately 5 minutes with the pump set at 1040 mmHg.
6. Stop agitation.
7. If necessary, add purified water (25–30°C) to nearly cover the grinding media.
8. Seal the mill, and recirculate the slurry for 1 to 2 hours with the pump set to obtain the required particle size specifications for the selenium sulfide.
9. Load 250 mL of purified water into a suitable jacketed mixing tank, and heat to 60°C to 70°C.
10. With good stirring, add and dissolve methylparaben.
11. Slowly add and disperse the magnesium aluminum silicate. Continue mixing until fairly smooth.
12. Stop mixing, and allow to hydrate for 1 hour.
13. Add and disperse titanium dioxide.
14. Mix for 30 minutes.
15. With good stirring, add the selenium sulfide slurry, and rinse the mill with purified water.
16. Mix for 30 minutes.
17. Stop mixing, and add sodium lauryl ether sulfate/sulfonate.
18. Mix slowly for 5 minutes.
19. Add cocamide DEA.

20. Mix slowly for approximately 3 minutes.
21. Add cocoamphocarboxyglycinate.
22. Mix slowly for 30 minutes.
23. Separately dissolve hydrolyzed protein (hydrogel) in 4 mL of purified water, and mix until uniform.
24. Add solution to the tank, and mix until uniform.
25. Add perfume, and mix for 1 minute.
26. Dissolve dye in 2 mL of warm purified water (50–60°C), and add to mixing tank.
27. Mix until uniform.
28. Check and record pH. Adjust to 4.5 to 5.0, if necessary, using citric acid. Record amount of citric acid used and the adjusted pH.
29. Add purified water QS to 980 mL, and mix for 30 minutes.
30. Check and record viscosity.
31. If necessary, adjust by adding sodium chloride.
32. Deaerate by slow stirring under vacuum or use of a suitable deaerator.
33. Mix for 1 hour.

SERRATIOPEPTIDASE TABLETS

Bill of Materials			
Scale (mg/tablet)	Item	Material Name	Qty/1000 Tablets (g)
10.00	1	Serratiopeptidase	10.00
228.00	2	Ludipress®	228.00
2.00	3	Magnesium stearate	2.00

MANUFACTURING DIRECTIONS

1. Pass all components through a 0.8 mm sieve, mix intensively, and press with low compressive force (6 kN).
2. Compress 238 mg in 8 mm biplanar punches.

SERTRALINE L-LACTATE OSMOTIC TABLETS

MANUFACTURING DIRECTIONS

1. Blend tablet cores comprising sertraline L-lactate (13.8 wt%), L-aspartic acid (11 wt%), calcium acetate (5 wt%), microcrystalline cellulose (29.5 wt%), and fructose (38.2 wt%) and then run through a roller compactor and mill.
2. Blend this milled material with 2.5 wt% magnesium stearate to form the final blended material; use this to make tablets having a total weight of 470 mg on a conventional tablet press.
3. Semipermeable asymmetric membrane coatings comprise 10 wt% cellulose acetate 398-10, 2.5 wt% polyethylene glycol 3350, 15 wt% water, and 72.5 wt% acetone.
4. The coating solution is spray-coated onto the tablets at a rate of 20 g/min until a 10 wt% coating level on the tablets has been achieved.

SILICONE PROTECTIVE CREAM

Bill of Materials			
Scale (mg/g)	Item	Material Name	Qty/kg (g)
150.00	1	Polawax, NF	150.00
40.00	2	Oleyl alcohol	40.00
50.00	3	PEG-75 lanolin	50.00
150.00	4	Mineral oil (70 cS)	150.00
50.00–100.00	5	Dimethicone	50.00– 100.00
QS	6	Deionized water	QS to 1 kg

MANUFACTURING DIRECTIONS

1. Heat water and oil phase separately to 60°C to 65°C.
2. Add the water phase to the oil phase while stirring.
3. Stir to cool to 30°C.
4. May add perfume or color as desired.

SILYMARIN TABLETS

Bill of Materials			
Scale (mg/ tablet)	Item	Material Name	Qty/1000 Tablets (g)
35.50	1	Silymarin	35.50
410.50	2	Ludipress®	410.50
4.50	3	Magnesium stearate	4.50

MANUFACTURING DIRECTIONS

1. Mix all components, pass through a 0.8 mm sieve, and press with low compressive force (approximately 10 kN).
2. Compress 458 mg in 12 mm biplanar punches.

SIMETHICONE AND MAGNESIUM CARBONATE TABLETS

Bill of Materials			
Scale (mg/ tablet)	Item	Material Name	Qty/1000 Tablets (g)
16.00	1	Dextrose monohydrate, USP 25.0 kg	16.00
0.16	2	D&C Yellow No.10 Lake 250 g	0.16
0.06	3	FD&C Blue No.1 Lake 90.0 g	0.06
80.00	4	Simethicone powder GS (30%) 417 kg	266.40
64.00	5	Magnesium carbonate 100 kg	64.00
128.00	6	Microcrystalline cellulose 200 kg	128.00
175.68	7	Dextrates 275 kg	175.68
5.00	8	Stearic acid 8.00 kg	5.00

MANUFACTURING DIRECTIONS

1. Process the simethicone mix by preblending magnesium carbonate and simethicone powder GS 30% in a V-blender.
2. Dry granulate this preblended mix and place in a V-shell blender.
3. Add dextrates and microcrystalline cellulose to the preblended mix in the V-shell blender, and blend the preblended mix, dextrates, and microcrystalline cellulose for approximately 10 minutes.
4. Combine FD&C Blue No. 1 Lake, D&C Yellow No. 10 Lake, and dextrose in a drum roller, dry granulate, and then place in the V-shell blender with the preblended mix, dextrates, and microcrystalline cellulose.
5. Dry granulate an additional amount of dextrose in the same granulator that the colorants are granulated in for the purpose of rinsing the granulator after the dry granulation of the colorants.
6. Add this amount of dextrose to the V-shell blender.
7. Pass an amount of stearic acid through a 30 mesh screen and add to the V-shell blender.
8. Blend the preblended mix, dextrates, microcrystalline cellulose, colorants, dextrose, and stearic acid in the V-shell blender for 3 minutes.
9. Measure a sample of the V-shell blender mix to test blend uniformity.
10. Upon meeting satisfactory blend uniformity requirements, transfer the simethicone layer mix to tote bins and then compress into 650 mg tablets.

SIMETHICONE CHEWABLE TABLETS

Bill of Materials			
Scale (mg/ tablet)	Item	Material Name	Qty/1000 Tablets (g)
70.00	1	Simethicone dry powder 25%	280.00
158.00	2	Sucrose, powder	158.00
7.00	3	Kollidon® 90 F	7.00
3.50	4	Kollidon® 90 F	3.50
QS	5	Isopropanol	QS
2.80	6	Aerosil® 200	2.80

MANUFACTURING DIRECTIONS

1. Granulate mixture of items 1 to 3 with solution of items 4 and 5, dry, pass through a 0.8 mm sieve, add item 6, mix thoroughly, and press with high compressive force.
2. Compress 442 mg in 12 mm biplanar punches.

SIMETHICONE CHEWABLE TABLETS

Bill of Materials			
Scale (mg/ tablet)	Item	Material Name	Qty/1000 Tablets (g)
80.00	1	Simethicone (Wacker silicon oil, S184)	80.00
400.00	2	Sorbitol (crystalline)	400.00
20.00	3	Aerosil® 200	20.00
390.00	4	Ludipress®	390.00
2.00	5	Menthol (powder)	2.00
8.00	6	Magnesium stearate	8.00

MANUFACTURING DIRECTIONS

- Mix items 2 and 3 with item 1, pass through a 0.8 mm sieve, add mixture of items 4 to 6, mix thoroughly, pass again through a 0.8 mm sieve, and press with high compressive force.
- Compress 870 mg using 16 mm biplanar punches.

**SIMETHICONE INSTANT GRANULES
(60 MG AND 120 MG)****FORMULATION**

- Simethicone (Abil® 200, Goldschmidt), 10.0 g; Cremophor RH 40, 5.0 g.
- Kollidon® VA 64, 3.0 g; ethanol, 40.0 g.
- Sorbitol, crystalline, 50.0 g; fructose, 50.0 g; Kollidon® CL-M, 50.0 g; orange flavor (Dragoco), 0.5 g.

MANUFACTURING DIRECTIONS

- Introduce solution II into mixture I.
- Granulate the powder mixture III with the well-stirred mixture I/II, dry, and pass through a 1 mm sieve.
- Fill 1 or 2 g in sachets.

SIMETHICONE TABLETS

Bill of Materials			
Scale (mg/ tablet)	Item	Material Name	Qty/1000 Tablets (g)
70.00	1	Simethicone	70.00
71.40	2	Microcrystalline cellulose	71.40
71.40	3	Magnesium hydroxide	71.40
265.00	4	Mannitol	265.00
100.00	5	Lactose	100.00
395.10	6	Granular sugar	395.10
0.70	7	Menthol	0.70
10.00	8	Fumed silica	10.00
5.00	9	Fumed silica	5.00
10.00	10	Magnesium stearate	10.00

MANUFACTURING DIRECTIONS

- Blend item 2 and item 3 in a V-blender for 10 minutes.
- Transfer to planetary mixer.
- Slowly add weighted amount of item 1 to the mix, and mix slowly using a "B" flat beater blade. After thorough mixing, pass through a No. 20 mesh screen.
- Add the balance of the ingredients, mix, and compress.

SODIUM FLUORIDE TABLETS

Bill of Materials			
Scale (mg/ tablet)	Item	Material Name	Qty/1000 Tablets (g)
0.50	1	Sodium fluoride	0.55
56.25	2	Sorbitol, crystalline	56.25
56.25	3	Dicalcium phosphate	56.25
2.20	4	Kollidon® VA 64	2.20
0.50	5	Magnesium stearate	0.50

MANUFACTURING DIRECTIONS

- Mix all components, pass through a 0.8 mm sieve, and press with high compressive force.
- Compress 116 mg using 6 mm biplanar punches.
- If the content uniformity is not sufficient, a premix of sodium fluoride and sorbitol or dicalcium phosphate should be prepared separately before mixing with the rest of the excipients.

SODIUM FLUORIDE TABLETS

Bill of Materials			
Scale (mg/ tablet)	Item	Material Name	Qty/1000 Tablets (g)
1.30	1	Sodium fluoride	1.30
76.70	2	Ludipress®	76.70
0.40	3	Magnesium stearate	0.40

MANUFACTURING DIRECTIONS

- Mix all components, pass through a 0.8 mm sieve, and press with low compressive force.
- Compress 78 mg using 5 mm biplanar punches.
- If the content uniformity does not meet the requirements, prepare a premix of the active ingredient with a small part of the Ludipress® or with lactose monohydrate before mixing with the other components of the formulation.

SPIRULINA EXTRACT CHEWABLE TABLETS

Bill of Materials			
Scale (mg/ tablet)	Item	Material Name	Qty/1000 Tablets (g)
250.00	1	Spirulina extract (powder)	250.00
245.00	2	Ludipress®	245.00
25.00	3	PEG-6000 (powder)	25.00
5.00	4	Aerosil® 200	5.00

MANUFACTURING DIRECTIONS

- Mix all components, pass through a 0.8 mm sieve, and press with medium compressive force.
- Compress 495 mg using 12 mm biplanar punches.

SUCRALFATE AND SODIUM ALGINATE TABLETS

Bill of Materials			
Scale (mg/ tablet)	Item	Material Name	Qty/1000 Tablets (g)
500.00	1	Sucralfate	500.00
20.00	2	Sodium alginate	20.00
70.00	3	Cornstarch	70.00
20.00	4	Kollidon® 30	20.00
–	5	Ethanol (95%)	80.00 mL
30.00	6	Kollidon® CL	30.00
3.00	7	Magnesium stearate	3.00

MANUFACTURING DIRECTIONS

- Granulate mixture of items 1 to 3 with solution of items 4 and 5, pass through a sieve, mix the dry granules with items 6 and 7, and press with low compressive force.
- Compress 660 mg using 12 mm biplanar punches.

SULFUR ANTISEPTIC OINTMENT

Bill of Materials			
Scale (mg/g)	Item	Material Name	Qty/1000 Tablets (g)
15.00	1	Sulfur (precipitated)	15.00
85.00	2	Kaolin	85.00
QS	3	White petroleum jelly	QS to 1 kg
60.00	4	Isopropyl palmitate	60.00
13.00	5	Camphor	13.00
13.00	6	Methyl salicylate	13.00
20.00	7	Lanolin	20.00
50.00	8	Tribehenin	50.00
50.00	9	Ozokerite	50.00
35.00	10	Sorbitan oleate	35.00
15.00	11	Deionized water	15.00
4.00	12	Salicylic acid	4.00
24.00	13	Glycerin	24.00
QS	14	Preservative	QS

MANUFACTURING DIRECTIONS

- Heat oils, except sulfur and lanolin, to 70°C.
- Disperse sulfur and kaolin in the oil phase.
- Heat water, glycerin, and salicylic acid gently.
- Add to oil phase while stirring.
- Stir to 55°C.
- Mill to disperse sulfur.

TANNIN–CROSPVIDONE COMPLEX TABLETS

Bill of Materials			
Scale (mg/ tablet)	Item	Material Name	Qty/1000 Tablets (g)
55.00	1	Tannic acid	55.00
230.00	2	Water	230.00
230.00	3	Kollidon® CL	230.00
33.00	4	Avicel™ PH101	33.00
2.60	5	Talc	2.60
0.30	6	Aerosil® 200	0.30
0.30	7	Calcium arachinate	0.30

MANUFACTURING DIRECTIONS

- Prepare solution of items 1 and 2, suspend item 3, and filter the formed insoluble tannin–crospovidone complex.
- Wash with water until the water is clear, pass the solids through a 0.8 mm sieve, and dry.
- Add items 4 to 7, and press with low compressive force.
- Compress 323 mg using 12 mm biplanar punches.

TETRAHYDROZOLINE EYE DROPS

Bill of Materials			
Scale (mg/ mL)	Item	Material Name	Qty/L (g)
17.20	1	Acid boric	17.20
1.50	2	Hydroxypropylmethylcellulose 2910 (4000 cps)	1.50
0.40	3	Borax	0.40
1.00	4	Disodium edetate	1.00
0.50	5	Tetrahydrozoline hydrochloride	0.50
0.63 mL	6	Benzalkonium chloride solution (17%)	0.63 mL
QS	7	Water purified	QS to 1 L

MANUFACTURING DIRECTIONS

- Use thoroughly cleaned and rinsed steam-jacketed, glass-lined, or stainless steel tank (No. 304 or better) equipped with a speed-controlled agitator. The tank should have a cover. Foaming occurs because of the benzalkonium chloride, which concentrates in the foam. Processing and filling systems should be designed to minimize foaming and allow rapid dissipation of foaming.
- Place 80% of the final volume of water into the mixing tank.
- If using methyl cellulose, heat deionized water to 90°C.
- While agitating, add and disperse methylcellulose by slowly sprinkling it on the surface of solution. Mix to avoid excessive foaming.
- Allow 15 minutes for hydration of the methylcellulose before discontinuing heating, and allow to cool to 40°C.
- While agitating, add and dissolve disodium edetate, benzalkonium chloride, boric acid, sodium borate, and tetrahydrozoline. Continue cooling to 25°C.
- Discontinue agitation, and QS to 1 L with deionized water. (*Note:* Methylcellulose solutions filter at a slow rate.) Use inline Pall and Sartorius cartridges, and recirculate solution until clear. Transfer to holding or sterilization.
- Use either heat sterilization or sterile filtration.
- Heat sterilization: Sterilize at 112°C to 115°C for 60 minutes, cool solution to 25°C to 30°C, and aseptically add the sterile tetrahydrozoline solution. Mix well.
- Set up a previously sterilized filter and transfer line with 10 µm stainless steel FulFlo filter or equivalent.
- Aseptically fill sterile solution into sterilized containers, and apply sterile closure components.
- Sterile filtration: Use Pall cartridge with Sartorius cartridge. Prepare and steam-sterilize the recommended filter units.
- Aseptically fill the sterilize solution into each sterilized container, and apply sterile closure.

THIAMINE AND CAFFEINE TABLETS

Bill of Materials			
Scale (mg/ tablet)	Item	Material Name	Qty/1000 Tablets (g)
500.00	1	Thiamine hydrochloride	500.00
100.00	2	Caffeine	100.00
30.00	3	Cornstarch	30.00
20.00	4	Kollidon® VA 64	20.00
15.00	5	Kollidon® VA 64	15.00
QS	6	Ethanol (96%)	QS
35.00	7	PEG-6000 (powder)	35.00

MANUFACTURING DIRECTIONS

- Granulate mixture of items 1 to 4 with solution of item 5 and 6, dry, sieve, mix with item 7, and press with low compressive force.
- Compress 698 mg using 16 mm biplanar punches

THIAMINE HYDROCHLORIDE TABLETS

Bill of Materials			
Scale (mg/ tablet)	Item	Material Name	Qty/1000 Tablets (g)
100.00	1	Thiamine HCl with excess	110.00
43.50	2	Lactose monohydrate	43.50
4.00	3	Crospovidone (Kollidon® CL)	4.00
5.50	4	Povidone (PVP K-90)	5.50
5.50	5	Crospovidone (Kollidon® CL)	5.50
32.00	6	Microcrystalline cellulose (Avicel™ PH112)	32.00
5.60	7	Talc (fine powder)	5.60
3.70	8	Glyceryl behenate (glyceryl monostearate)	3.70
0.20	9	Magnesium stearate	0.20
–	10	Alcohol (ethanol, 95%)	50.67

MANUFACTURING DIRECTIONS

- Sift items 1, 2, and 3 through a stainless steel 630 µm sieve.
- Load into mixer.
- Mix for 5 minutes at high speed.
- Dissolve item 4 in item 10 under slow stirring by stirrer.
- Add the binding solution while mixing at high speed over a period of 2 minutes. Scrape sides and blades.
- Mix and chop at high speed for 2 minutes.
- Check the end point of granulation.
- If required, add additional item 10 to obtain the end point. (The end point of granulation occurs when the wet mass consists of few or no lumps.) Dry wet granules in oven at 55°C for 8 hours.

9. After 2 hours of drying, scrape the semidried granules to break up the lumps to promote uniform drying.
10. Check the LOD (limit: 1.0–1.5%).
11. If required, dry at 55°C for an additional hour.
12. Check the LOD again.
13. Grind the dried granules through a 1.25 mm sieve with the granulator set at medium speed.
14. Collect in stainless steel drums.
15. Load the granules into blender.
16. Sift items 5 and 6 through a 500 µm sieve and add to blender.
17. Mix for 2 minutes (do not overmix).
18. Sift items 8 and 9 through a 500 µm sieve.
19. Add 1.33 g to 2.67 g of granules.
20. Mix in a polyethylene bag for 1 minute.
21. Add to blender.
22. Blend for 1 minute.
23. Check temperature and humidity before start of compression (limit: temperature should not exceed 25°C; RH, 45–50%).
24. Compress using 8 mm round, beveled, concave punches.

THIAMINE HYDROCHLORIDE TABLETS (SUGAR-COATED)

Bill of Materials

Scale (mg/ tablet)	Item	Material Name	Qty/1000 Tablets (g)
100.00	1	Thiamine hydrochloride monohydrate (with excess)	110.00
110.00	2	Lactose	110.00
5.00	3	Luviskol® K-98	5.00
1.00	4	Magnesium stearate	1.00
40.00	5	Ethyl alcohol (denatured)	40.00
251.44	6	Sugar (crystalline)	251.44
1.40	7	Sugar powder	1.40
14.50	8	Maize starch	14.50
14.81	9	Talcum	14.81
21.00	10	Copolymer lacquer	21.00
0.40	11	Paraffin (solid)	0.40
0.16	12	Gum acacia	0.16
0.228	13	Ethyl alcohol (denatured)	0.228
0.01	14	Paraffin (liquid)	0.01
QS	15	Purified water	QS

MANUFACTURING DIRECTIONS

1. In a suitable stainless steel vessel, add denatured ethyl alcohol and Luviskol®. Mix until homogeneous mixture is obtained. Set aside.
2. Pass lactose through a No. 2 mesh sieve, add thiamine, and mix for 10 minutes in an appropriate mixer.
3. Slowly add to this mixture the solution made earlier, and stir until slightly lumpy mass is obtained.
4. If required, add ethyl alcohol to the mixture.
5. Pass the wet mass through an oscillating granulator with a 7 mm perforated sieve.
6. Spread the granules over paper-lined trays, and dry at 40°C for 5 hours in a drying oven.
7. The RH of the granules should be 15% to 25%.
8. Pass magnesium stearate and talcum through a 1 mm hand sieve.
9. Compress on a rotary tablet machine at approximately 4 to 5 tonnes of pressure. The weight of each tablet should be approximately 230 mg.
10. In a suitable container, add purified water and acacia gum. Pass the resulting solution through a 0.8 mm sieve.
11. Charge the compressed tablets into a coating pan, and apply the copolymer lacquer in 10 portions. After the last application, apply neutral spray (crystalline sugar in demineralized water).
12. Dry the insulated tablets in a drying oven overnight at 45°C (minimum 14 hours). The tablet weight should be approximately 236 mg each.
13. In an electric-jacketed kettle, put demineralized water, crystalline sugar, maize starch, and talcum. Mix by stirring until homogeneous.
14. Pass through a sieve of mesh size 0.8 mm (pH: 6–8, density: 1.335–1.356).
15. Coat the tablets to 400 mg weight using the coating solution and a sugar-coating pan. Set pans at slow speed, open air inlets, and set air inflow at 80°C and maximum contact temperature at 42°C.
16. Roll tablets to reach this temperature.
17. Turn pan to fast speed, close the inlet air flap, and make first application of syrup.
18. When all tablets are wet, and distribution of syrup is uniform, open the air inlet flap, and allow 80°C air to blow (tablet temperature falls 1–2°C for a short time and then slowly rises to 42°C).
19. The next application of the syrup cycle begins.
20. Coat the tablets with color solution as described earlier to 495 mg weight.
21. Set the air inflow temperature at 25°C, and reduce the size of application with the falling temperature, whereby tablets are evenly and lightly moistened after each application. The temperature drops from 42°C to 32°C.
22. Turn the coating pans slowly during the drying phase. For the last three applications, keep the pan lids closed, as well as the air intake and outflow during this phase.
23. Drying only with outlet air may be extended for the last three applications up to 10 to 15 minutes.
24. Immediately after the last application of syrup has dried slightly, begin the polishing step.

25. The polishing paste is prepared in a suitable boiling vessel by adding stock gum solution, crystalline sugar, and demineralized water.
26. Boil until temperature reaches 106°C with stirring.
27. In a steam kettle, melt solid and liquid paraffin, and pour melted paraffins into the mixture of gum. Make up the weight with demineralized water.
28. Polishing paste ready for use contains 0.75 kg of paste and 0.113 kg of ethyl alcohol.
29. Tablet temperature is 28°C to 32°C.
30. Shut off the inlet flaps and outlet flaps, set the pans at the fast speed, and add polishing paste (approximately 0.3% of tablet weight).
31. Close the pans with inner lids, and allow them to rotate at fast speed for 90 seconds for even distribution.
32. Remove the inner lid of the pan, and set it on slow speed.
33. Open the outlet air for 3 minutes, and blow the inlet air at 40°C for 6 to 8 minutes until a good sheen appears.
34. Set the pans on automatic system for overnight with intermission time of 5 minutes off and 10 seconds on.

THIAMINE, PYRIDOXINE, AND CYANOCOBALAMIN TABLETS

Bill of Materials

Scale (mg/ tablet)	Item	Material Name	Qty/1000 Tablets (g)
110.00	1	Thiamine mononitrate	110.00
210.00	2	Pyridoxine hydrochloride	210.00
76.82	3	Lactose monohydrate	76.82
10.00	4	Crospovidone (Kollidon® CL)	10.00
18.50	5	Povidone (PVP K-90)	18.50
0.30	6	Cyanocobalamin	0.30
85.00	7	Microcrystalline cellulose (Avicel™ PH102)	85.00
14.00	8	Crospovidone (Kollidon® CL)	14.00
10.00	9	Glyceryl behenate (glyceryl monostearate)	10.00
0.49	10	Magnesium stearate	0.49
15.00	11	Talc (fine powder)	15.00
–	12	Alcohol (ethanol, 95%)	88.90

MANUFACTURING DIRECTIONS

1. Dissolve item 5 in item 12 by using a stirrer to make a clear solution.
2. Dissolve item 6 carefully in the solution.
3. Sift items 1 to 4 through a 630 µm sieve.
4. Load the material into a mixer.
5. Mix and chop at high speed for 5 minutes.
6. Add binding solution from previous step to the dry powder in the mixer while mixing and chopping at high speed for 2 minutes.
7. Check for satisfactory wet mass.
8. Add additional item 12, if required, to obtain a satisfactory wet mass.
9. Do not allow big lumps.
10. Record the additional quantity of ethanol 95%.
11. Spread the granules onto stainless steel trays to a thickness of one-fourth of the tray thickness, and load the trays onto a trolley.
12. Load the trolley into an oven.
13. Keep the door open and switch on the oven with air circulation and heater turned off for 2 hours.
14. Dry the granules at 55°C for 12 hours.
15. Check the LOD of dried granules (limit: NMT 0.7%).
16. Grind the dried granules through a 1.25 mm sieve using a granulator.
17. Collect in a stainless steel drum.
18. Load into the blender.
19. Sift items 7, 8, and 9 through a 500 µm sieve.
20. Collect in stainless steel container.
21. Load the sieved powder into the blender.
22. Blend for 3 minutes.
23. Sift items 11 and 10 through a 500 µm sieve.
24. Collect in a polyethylene bag.
25. Add 4.44 g to 6.67 g of granules from earlier step, and mix manually for 1 minute.
26. Add this mixture to the blender, and mix for 1 minute.
27. Compress the granules using a rotary tableting machine.
28. Compress 550 mg using round, biconvex punches at 9 to 16 kp.
29. Coat tablets using an HPMC coating (see Appendix).

THIAMINE, PYRIDOXINE, AND CYANOCOBALAMIN TABLETS

Bill of Materials			
Scale (mg/ tablet)	Item	Material Name	Qty/1000 Tablets (g)
100.00	1	Thiamine mononitrate (powder), with excess	115.00
50.00	2	Pyridoxine hydrochloride	50.00
9.75	3	Anhydrous citric acid (powder)	9.75
20.10	4	Monohydrate lactose (powder, regular)	20.10
1.67	5	Saccharin sodium	1.67
0.24	6	Dye	0.24
0.009	7	Dye	0.009
0.02	8	Dye	0.02
2.00	9	Cornstarch	2.00
QS	10	Purified water	18.00 mL
50.00 µg	11	Vitamin B ₁₂ ; use vitamin B ₁₂ oral powder cobalamin conc.	62.50
12.50	12	Monohydrate lactose (powder, regular)	12.50
1.50	13	Oil orange terpeneless	1.50
3.50	14	Magnesium stearate	3.50
1.50	15	Talc (powder)	1.50
17.70	16	Cornstarch, light coral red 6 LA	17.70

MANUFACTURING DIRECTIONS

1. Pass thiamine mononitrate, pyridoxine HCl, citric acid, lactose (item 4), and saccharin sodium through a No. 30-mesh (595 µm or similar) screen.
2. Charge into mixer, and dry mix.
3. Dissolve the dyes in purified water.
4. Add the starch (item 9) to this dye solution with stirring.
5. Heat, and continue stirring until a thick paste is formed.
6. Cool to room temperature before using.
7. (*Note:* Use 7.5 g of colored starch paste for the vitamins B₁ and B₆ blend and 12.5 g of colored starch paste for the vitamin B₁₂ blend.) Add 7.5 g of colored starch paste to powder blend, and mix until mass is formed.
8. Pass through a No. 6 mesh (3.36 mm or similar) screen, and air dry for 3 to 4 hours.
9. Screen vitamin B₁₂ oral powder and lactose (item 12) through a No. 30 mesh (595 µm or similar) screen.
10. Charge into mixer, and dry mix.
11. Add 12.5 g colored starch paste to powder blend, and mix until mass is formed.
12. Pass through No. 6 mesh (3.36 mm or similar) screen, and air dry for 3 to 4 hours.
13. Dry granulations from the two steps separately at 49°C overnight or until LOD is less than 1%.

14. Mill the two dried granulations through a No. 16 mesh (1.2 mm or similar) screen (knives forward, medium speed), and combine.
15. Sift a small quantity of granulation from the previous steps over a No. 30 mesh (595 µm or similar) screen, and add the orange oil to the fines.
16. Add magnesium stearate, talc powder, and light coral red starch to mixture, and pass through a No. 30 mesh (595 µm or similar) screen.
17. Charge base granulation and lubricants into a blender, and blend thoroughly.
18. Compress using 11/32 in. concave punches.

THIAMINE, PYRIDOXINE, AND CYANOCOBALAMIN TABLETS

Bill of Materials			
Scale (mg/ tablet)	Item	Material Name	Qty/1000 Tablets (g)
100.00	1	Thiamine hydrochloride	100.00
10.00	2	Pyridoxine hydrochloride	10.00
0.10	3	Cyanocobalamin (gelatin-coated, 1%)	10.00
277.00	4	Ludipress®	277.00
3.00	5	Magnesium stearate	3.00

MANUFACTURING DIRECTIONS

1. Pass all components through a 0.8 mm sieve, mix, and press with low compressive force.
2. Compress 394 mg in 12 mm biplanar punches.

THIAMINE, PYRIDOXINE, AND CYANOCOBALAMIN TABLETS

Bill of Materials			
Scale (mg/ tablet)	Item	Material Name	Qty/1000 Tablets (g)
100.00	1	Thiamine mononitrate	100.00
200.00	2	Pyridoxine hydrochloride	200.00
0.10	3	Cyanocobalamin (gelatin-coated, 1%)	10.00
250.00	4	Ludipress®	250.00
45.00	5	PEG-6000 (powder)	45.00
5.00	6	Aerosil® 200	5.00

MANUFACTURING DIRECTIONS

1. Mix all components, pass through a 0.8 mm sieve, and press with low compressive force.
2. Compress 609 mg using 12 mm biplanar punches.

THIAMINE, PYRIDOXINE, AND CYANOCOBALAMIN TABLETS

Bill of Materials

Scale (mg/ tablet)	Item	Material Name	Qty/1000 Tablets (g)
250.00	1	Thiamine mononitrate	250.00
250.00	2	Pyridoxine hydrochloride	250.00
75.00	3	Lactose monohydrate	75.00
25.00	4	Kollidon® 30	25.00
QS	5	Isopropanol	QS
1.00	6	Cyanocobalamin (gelatin-coated, 1%)	100.00
25.00	7	Kollidon® CL	25.00
2.00	8	Magnesium stearate	2.00
2.00	9	Talc	5.00

MANUFACTURING DIRECTIONS

1. Granulate mixture items 1 to 3 with solution of items 4 and 5, dry, pass through a 0.8 mm sieve, mix with items 6 to 9, and press with low compressive force, applying a vibrating hopper.
2. Compress 730 mg using 12 mm biplanar punches.

THIAMINE TABLETS

Bill of Materials

Scale (mg/ tablet)	Item	Material Name	Qty/1000 Tablets (g)
50.00	1	Thiamine hydrochloride or thiamine mononitrate	50.00
293.00	2	Ludipress®	293.00
5.00	3	Magnesium stearate	5.00
2.00	4	Aerosil® 200	2.00

MANUFACTURING DIRECTIONS

1. Pass all components through a 0.5 mm sieve, mix, and press with medium compressive force.
2. Compress 357 mg, if hydrochloride salt is used, or 347 mg, if mononitrate salt is used, with 12 mm biplanar punches.

THIAMINE TABLETS

Bill of Materials

Scale (mg/ tablet)	Item	Material Name	Qty/1000 Tablets (g)
50.00	1	Thiamine hydrochloride or thiamine mononitrate	50.00
150.00	2	Lactose monohydrate	150.00
150.00	3	Avicel™ PH101	150.00
15.00	4	Kollidon® CL	15.00
2.00	5	Aerosil® 200	2.00

MANUFACTURING DIRECTIONS

1. Pass all components through a 0.5 mm sieve, mix, and press with high compressive force.
2. Compress 344 mg, if hydrochloride salt is used, or 373 mg, if mononitrate salt is used, with 12 mm biplanar punches.

THIAMINE TABLETS

Bill of Materials

Scale (mg/ tablet)	Item	Material Name	Qty/1000 Tablets (g)
100.00	1	Thiamine hydrochloride or thiamine mononitrate	110.00 (or 100.00)
190.00	2	Ludipress®	190.00
100.00	3	Lactose monohydrate	100.00
100.00	4	Avicel™ PH101	100.00
9.00	5	Kollidon® CL	9.00
3.00	6	Aerosil® 200	3.00
2.00	7	Magnesium stearate	2.00

MANUFACTURING DIRECTIONS

1. Pass all components through a 0.5 mm sieve, mix, and press with medium compressive force.
2. Compress 302 mg, if hydrochloride salt is used, or 320 mg, if mononitrate salt is used, with 8 mm biplanar punches.

THIAMINE TABLETS

Bill of Materials

Scale (mg/ tablet)	Item	Material Name	Qty/1000 Tablets (g)
100.00	1	Thiamine hydrochloride	100.00
200.00	2	Lactose monohydrate	200.00
10.00	3	Kollidon® 30	10.00
60.00	4	Isopropanol	60.00
10.00	5	Kollidon® CL	10.00
2.00	6	Magnesium stearate	2.00
1.00	7	Aerosil® 200	1.00

MANUFACTURING DIRECTIONS

1. Granulate mixture of items 1 and 2 with solution of items 3 and 4, dry, sieve through a 0.8 mm screen, mix with items 5 to 7, and press to tablets.
2. Compress 330 mg using 8 mm biplanar punches.

THIAMINE TABLETS

Bill of Materials			
Scale (mg/ tablet)	Item	Material Name	Qty/1000 Tablets (g)
300.00	1	Thiamine mononitrate	300.00
100.00	2	Dicalcium phosphate (Di-Tab)	100.00
15.00	3	Kollidon® 30	15.00
QS	4	Isopropanol	~50.00
10.00	5	Kollidon® CL	10.00
4.00	6	Magnesium stearate	4.00

MANUFACTURING DIRECTIONS

1. Granulate mixture of items 1 and 2 with solution of items 3 and 4, dry, and sieve through a 0.8 mm screen.
2. Mix with items 5 and 6, and compress 430 mg into tablets using 12 mm biplanar punches.

TOLNAFTATE AND UNDECYLENATE
FOOT CARE CREAM

Bill of Materials			
Scale (mg/g)	Item	Material Name	Qty/kg (g)
150.00	1	Glyceryl stearate and PEG-75 stearate	150.00
20.00	2	Hydrogenated palm/Palm kernel oil PEG-6 esters	20.00
60.00	3	Mineral oil	60.00
0.50	4	Sorbic acid	0.50
0.50	5	Sodium methylparaben	0.50
509.00	6	Deionized water	509.00
50.00	7	Undecylenic acid	50.00
200.00	8	Zinc undecylenate	200.00
10.00	9	Tolnaftate	10.00

MANUFACTURING DIRECTIONS

1. Mix and heat items 1 to 7 to 75°C.
2. Allow to cool with gentle stirring.
3. At 30°C, add items 8 and 9.
4. Homogenize if necessary.

TOLNAFTATE FOOT CARE MICROEMULSION

Bill of Materials			
Scale (mg/g)	Item	Material Name	Qty/kg (g)
155.00	1	Ethoxydiglycol	155.00
130.00	2	Polyglyceryl-6-dioleate	130.00
450.00	3	PEG-8 caprylic/capric glycerides	450.00
10.00	4	Tolnaftate	10.00
100.00	5	Deionized water	100.00
50.00	6	Apricot kernel oil PEG-6 esters	50.00
100.00	7	Caprylic/Capric triglycerides	100.00
5.00	8	Chlorocresol	5.00

MANUFACTURING DIRECTIONS

1. Mix items 1 to 3, and dissolve item 4 in this mixture.
2. Add items 5 to 8, and mix until uniform.

TOLU BALSAM COUGH SYRUP

Bill of Materials			
Scale (mg/ mL)	Item	Material Name	Qty/L (g)
11.03	1	Tolu balsam tincture	11.03
2.50	2	Magnesium carbonate (powder)	2.50
15.00	3	Sucrose (granulated sugar)	15.00
QS	4	Purified water	90.00 mL
0.77	5	Methylparaben	0.77
0.086	6	Propylparaben	0.86
514.36	7	Sucrose (granulated sugar)	514.36
129.24	8	Glycerin (96%)	129.24
2.00	9	Dextromethorphan hydrobromide	2.00
1.00	10	Ephedrine HCl (powder)	1.00
8.00	11	Ammonium chloride	8.00
0.40	12	Chlorpheniramine maleate	0.40
1.00	13	Phenylephrine HCl	1.00
333.32	14	Glucose (liquid)	333.32
0.35	15	Flavor	0.35
0.15	16	Flavor	0.15
1.01	17	Ipecac (fluid extract)	1.01
8.57	18	Alcohol (ethanol, 190 proof)	8.57
0.037	19	Dye	0.037
QS	20	Hydrochloric acid (reagent-grade bottles)	QS
QS	21	Purified water	212.00 mL

MANUFACTURING DIRECTIONS

1. Load tolu balsam tincture into mixing tank, and add magnesium carbonate.
2. Mix well to suspend.
3. Add sugar (item 3) with mixing.
4. Add 90 mL purified water (item 4), and mix thoroughly.

5. Allow to set for 1 hour.
6. Mix periodically while circulating through Shriver filter (or equivalent).
7. Solution must be brilliantly clear.
8. Filter, and save for next part.
9. Place 210.5 mL purified water (item 21) into suitable tank.
10. Add and dissolve parabens with heat (90–95°C) and mixing.
11. Add and dissolve sugar (item 7) with mixing; heat if necessary.
12. Add glycerin, continue agitation, and cool to room temperature.
13. To cooled syrup, add filtrate from previous step.
14. Add and dissolve the following ingredients with mixing: dextromethorphan hydrobromide, ephedrine HCl, ammonium chloride, chlorpheniramine maleate, and phenylephrine HCl.
15. Add glucose. Mix well.
16. Add and dissolve flavors and Ipecac fluid extract in 190 proof alcohol.
17. To the tank or in a separate container, add flavors and Ipecac extract to 10 mL of glucose liquid, and mix.
18. Add this mixture to the main mixture.
19. Rinse the container with a further 5 mL of liquid glucose, and add the rinsings to the mixture.
20. Add the remaining liquid glucose. Mix well.
21. Dissolve in 1.75 mL purified water, and add.
22. Check pH (range: 4–5).
23. Use hydrochloric acid to adjust pH to 4 to 5, with 4.5 being optimum (approximately 0.3 mL HCl per liter of syrup).
24. QS to 1 L with purified water.
25. Filter until sparkling clear.
26. Add a suitable filter aid, and mix until uniform.
27. Filter into tank for filling.

TRICLOSAN AND ZINC FOOT DEODORANT POWDER

Bill of Materials

Scale (mg/g)	Item	Material Name	Qty/1000 Tablets (g)
3.00	1	Triclosan (Irgasan® DP300)	3.00
2.00	2	Zinc undecylenate, USP	2.00
0.20	3	Menthol (crystals), USP	0.20
926.80	4	Talc (powder), USP	926.80
30.00	5	Magnesium stearate	30.00
30.00	6	Cornstarch, NF	30.00
8.00	7	Perfume	8.00

MANUFACTURING DIRECTIONS

1. Pass the following ingredients through a 250 µm screen or similar: Irgasan® DP300, zinc undecylenate, magnesium stearate, cornstarch, menthol, and approximately 10% of the total amount of talc.
2. Place materials from first step into a suitable mixer.
3. Mix until uniform.
4. Discharge powder from second step into another suitable mixer.
5. Add and disperse perfume.
6. Mix until uniform.
7. Pass mixture from previous step through a 250 µm screen or similar.
8. Place mixture from previous step into a V-mixer or similar, and add balance of talc powder.
9. Mix for 30 minutes or until homogeneous.

TRICLOSAN FOOT CARE CREAM

Bill of Materials

Scale (mg/g)	Item	Material Name	Qty/kg (g)
50.00	1	Glyceryl stearate (Gelol)	50.00
50.00	2	Propylene glycol stearate	50.00
100.00	3	Octyldodecyl myristate	100.00
50.00	4	Isostearyl isostearate	50.00
20.00	5	Dimethicone (100 cS)	20.00
651.00	6	Deionized water	651.00
50.00	7	Sucrose distearate	50.00
4.00	8	Phenoxyethanol, methylparaben, ethylparaben, and propylparaben	4.00
20.00	9	Propylene glycol	20.00
3.00	10	Triclosan	3.00
2.00	11	Fragrance	2.00

MANUFACTURING DIRECTIONS

1. Heat items 1 to 5 and items 6 to 7 separately to 75°C. Mix the two parts with turbine mixing for 1 minute.
2. Cool with gentle stirring.
3. Add items 9 and 10 and then item 11 with mixing at 30°C to 35°C.

TRIPROLIDINE AND PSEUDOEPHEDRINE HYDROCHLORIDE SYRUP

Bill of Materials			
Scale (mg/ mL)	Item	Material Name	Qty/L (g)
0.25	1	Triprolidine HCl (4.8% excess)	0.26
6.00	2	Pseudoephedrine HCl (3.0% excess)	6.18
600.00	3	Sucrose	600.00
100.00	4	Glycerin (glycerol)	100.00
100.00	5	Sorbitol (70% solution)	100.00
15.00	6	Propylene glycol	15.00
1.00	7	Methylparaben	1.00
0.30	8	Propylparaben	0.30
0.50	9	Saccharin sodium	0.50
0.04	10	Quinoline yellow	0.04
0.05	11	Menthol	0.05
0.25	12	Raspberry flavor	0.25
1.15	13	Sodium citrate	1.15
QS	14	Purified water	QS to 1 L

MANUFACTURING DIRECTIONS

1. Add 400 g of purified water to the manufacturing vessel and heat to 90°C to 95°C.
2. Add items 7 and 8 while mixing to dissolve at high speed.
3. Add item 3 while mixing at slow speed (temperature: 90–95°C).
4. Mix for 1 hour at high speed.
5. Cool down to 50°C while mixing at slow speed.
6. Add items 9 and 13 to the manufacturing vessel while mixing at high speed.
7. Load items 4 and 5 into the manufacturing vessel using a transfer pump while mixing at high speed.
8. Add 20 g of cold purified water (30°C) in a separate container, and dissolve items 1 and 2 by using stirrer.
9. Mix for 10 minutes, and add to the manufacturing vessel while mixing at high speed.
10. Add 1 g of purified water in a separate container, and manually dissolve item 10.
11. Add color to the manufacturing vessel while mixing at high speed.
12. Dissolve item 11 in item 12; then, add item 6.
13. Add this flavor mixture to the manufacturing vessel while mixing at high speed.
14. Bring the volume up to 1 L with item 14, and finally, mix for 15 to 20 minutes at high speed.
15. Check and record the pH (limit: 5.8–6.8 at 25°C).
16. If required, adjust pH with 20% citric acid or 20% sodium citrate solution.
17. Filter the syrup at 1.5 bar.
18. Recirculate approximately 20 to 30 mL syrup.

TRIPROLIDINE AND PSEUDOEPHEDRINE HYDROCHLORIDE TABLETS

Bill of Materials			
Scale (mg/ tablet)	Item	Material Name	Qty/1000 Tablets (g)
2.60	1	Triprolidine HCl (4% excess)	2.70
60.00	2	Pseudoephedrine HCl (5% excess)	63.00
122.40	3	Lactose monohydrate	122.40
25.50	4	Maize starch	25.500
1.00	5	Povidone (PVP K-30)	1.00
4.00	6	Povidone (PVP K-30)	4.00
–	7	Alcohol (ethanol, 95%)	28.00
1.50	8	Magnesium stearate	1.50

MANUFACTURING DIRECTIONS

1. Dissolve item 6 in item 7 using a stirrer.
2. Avoid loss of ethanol by evaporation.
3. Pass items 1 to 5 through a 630 µm sieve using sifter.
4. Collect in a stainless steel drum.
5. Load the sieved powders into a mixer.
6. Mix and chop for 5 minutes at low speed.
7. Add PVP solution to the mixer at medium rate while mixing.
8. Start the chopper at low speed when half of the solution is added.
9. Mix and chop at low speed until the satisfactory mass is obtained.
10. Spread the wet granules onto the trays.
11. Keep the trolleys in the open air for approximately 1 hour.
12. Load the trolleys into the oven, and start the air circulation at room temperature for 2 hours.
13. Dry the granules at 55°C with air circulation for 5 hours.
14. Scoop the granules after 2 hours of drying. Move the upper trays down and the lower trays up for uniform drying.
15. Check the moisture content (limit: NMT 1.5%).
16. Pass the dried granules through a 1 mm sieve using a granulator.
17. Collect in a stainless steel drum, and load into the blender.
18. Pass item 8 through a 250 µm sieve using a sifter.
19. Collect in a polyethylene bag.
20. Mix 2 g of granules with this mixture, and add to the blender.
21. Mix for 1 minute.
22. Unload the lubricated granules in a stainless steel drum.
23. Compress 220 mg in 8.5 mm round, concave punches.

TROLAMINE SALICYLATE CREAM

Bill of Materials			
Scale (mg/ tablet)	Item	Material Name	Qty/kg (g)
50.00	1	Glyceryl stearate	5.00
25.00	2	Cetyl alcohol	2.50
30.00	3	Cetyl phosphate and DEA cetyl phosphate	3.00
40.00	4	Stearyl stearoyl stearate	4.00
40.00	5	Cococaprylate/Caprates	4.00
40.00	6	Cetyl palmitate	4.00
5.00	7	Dimethicone	0.50
502.00	8	Deionized water	50.20
10.00	9	Propylene glycol, diazolidinyl urea, methylparaben, and propylparaben	1.00
5.50	10	Magnesium aluminum silicate	0.55
2.50	11	Xanthan gum	0.25
100.00	12	Deionized water	10.00
100.00	13	Trolamine salicylate (TEA salicylate)	10.00
50.00	14	Propylene glycol	5.00

MANUFACTURING DIRECTIONS

1. Heat items 8 and 9 to 85°C, and add items 10 and 11.
2. Mix until well dispersed.
3. Add items 1 to 7, and mix well at 80°C to 85°C.
4. Continue mixing. While cooling to 65°C, add items 12 to 14, and continue mixing and cooling to 35°C.
5. The pH should be 5.5 to 5.6.

ULTRASONIC ADHESIVE GEL

Bill of Materials			
Scale (mg/g)	Item	Material Name	Qty/kg (g)
5.00	1	Preservative (e.g., parabens)	5.00
754.00	2	Water	754.00
6.00	3	Carbopol 940 (Goodrich)	6.00
20.00	4	Sodium hydroxide solution 10%	20.00
15.00	5	Kollidon® 30	15.00
200.00	6	Water	200.00

MANUFACTURING DIRECTIONS

1. Prepare solution of item 1 in item 2 by heating to 70°C, and add item 3 slowly to obtain a homogeneous suspension.
2. Add items 4 to 6.
3. A clear, colorless adhesive gel is obtained.
4. Addition of sodium chloride changes consistency.

UREA PEROXIDE EAR DROPS

Bill of Materials			
Scale (mg/g)	Item	Material Name	Qty/kg (g)
65.00	1	Urea peroxide (40% excess)	91.00
15.00	2	Sodium citrate (dihydrate, powder)	15.00
5.00	3	Polysorbate 20 (Tween 20)	5.00
2.50	4	Tartaric acid (12663)	2.50
QS	5	Anhydrous glycerin	QS
QS	6	Nitrogen	QS

MANUFACTURING DIRECTIONS

1. Add 500 mL of glycerin into a suitable tank.
2. Start mixing at slow speed, and heat the contents to 70°C to 75°C.
3. Flood tank with nitrogen, increase mixing speed, and slowly add sodium citrate.
4. Add tartaric acid.
5. Mix for at least 30 minutes or until dissolved.
6. Maintain the temperature at 70°C to 75°C.
7. When sodium citrate is completely dissolved, cool to 25°C to 30°C with constant mixing.
8. Prepare urea peroxide by breaking up lumps and screening to remove large particles.
9. Wear gloves.
10. Add an additional 250 to 300 mL of glycerin into tank.
11. Add urea peroxide slowly to prevent lumping while mixing constantly.
12. Mix at high speed after addition.
13. Add Polysorbate 20 with constant mixing, and QS to final volume with glycerin.
14. Mix for at least 30 minutes and until solution is clear.
15. Pass solution through an approximately No. 100 mesh (150 µm or similar) screen, and collect in clean, dry carboys. (The filter support screen in a Millipore holder may be used for filtering. The solution is too viscous to flow through a membrane or any cellulosic filter.)

VALERIANA AND PASSIFLORA EXTRACT TABLETS

Bill of Materials			
Scale (mg/ tablet)	Item	Material Name	Qty/1000 Tablets (g)
44.00	1	Valeriana extract, powder	44.00
33.00	2	Passiflora extract, powder (with excess)	36.00
120.00	3	Avicel™ PH101	120.00
11.00	4	Kollidon® CL	11.00
3.60	5	Aerosil® 200	3.60
7.30	6	Magnesium stearate	7.30

MANUFACTURING DIRECTIONS

1. Pass all components through a 0.8 mm sieve, mix, and press with low compressive force.
2. Compress 231 mg using 9 mm biconvex punches.

VITAMIN A AND VITAMIN D INFANT DROPS

Bill of Materials			
Scale (mg/ mL)	Item	Material Name	Qty/L (g)
1500 IU	1	Vitamin A palmitate (1.7 MM IU/g) (50% excess)	1.323
400 IU	2	Vitamin D (40 MM IU/g) (Cholecalciferol) (25% excess)	0.012
10.00	3	Polysorbate 80 (Tween 80)	10.00
0.88	4	Vitamin E (oily; alpha-tocopheryl acetate)	0.88
0.50	5	Edetate disodium (sodium EDTA)	0.50
1.00	6	Ascorbic acid	1.00
0.10	7	Saccharin sodium	0.10
600.00	8	Glycerin (glycerol)	600.00
100.00	9	Sorbitol (70% solution)	100.00
50.00	10	Propylene glycol	50.00
1.00	11	Flavor	1.00
1.50	12	Flavor	1.50
QS	13	Dye	QS
QS	14	Dye	QS
–	15	Purified water	QS to 1 L

MANUFACTURING DIRECTIONS

1. This product is a microemulsion and thermolabile preparation. The temperature of solution must not exceed 25°C at the time of processing. Store bulk at a temperature of 15°C to 20°C under nitrogen protection. Period of storage should not exceed 48 hours prior to filling in the bottle.
2. Collect 200 g of purified water in a melting vessel.

3. Heat to 90°C to 95°C for 10 minutes, and then cool to 20°C to 25°C.
4. Bubble nitrogen gas into purified water for 20 minutes.
5. Load 100 g of purified water into the manufacturing vessel.
6. Bubble nitrogen gas during all stages of the processing.
7. Add items 5, 6, and 7 one by one to the manufacturing vessel while mixing.
8. Check that all materials are dissolved completely.
9. Add items 8 and 9 and 20 g of item 10 one by one to the manufacturing vessel while mixing at slow speed.
10. Mix for 5 minutes.
11. Avoid aeration.
12. Add item 3 in a stainless steel container.
13. Mix items 1, 2, and 4 one by one using a stirrer.
14. Mix for 1 hour at slow speed.
15. Avoid aeration.
16. Add the oil phase to the aqueous phase in the manufacturing vessel at a rate of 4 mL/min while mixing. Keep on bubbling nitrogen gas throughout the process.
17. Dissolve items 11 and 12 in 30 g of item 10 in a stainless steel container by slow stirring.
18. Add to manufacturing vessel while mixing.
19. Dissolve items 14 and 13 in 40 g of purified water (25–30°C) in a stainless steel container with slow stirring.
20. Add to manufacturing vessel while mixing.
21. Adjust the volume to 1 L with cooled purified water.
22. Check and record the volume and pH (limit: 2.5–4.8).
23. Filter the solution through a prefilter and 0.2 µm membrane filter into the receiving tank.
24. Bubble with nitrogen gas for 15 minutes.
25. Store the solution with a nitrogen blanket.

VITAMIN A AND VITAMIN D₃ DROPS

Bill of Materials			
Scale (mg/g)	Item	Material Name	Quantity/ L (g)
30,000 IU	1	Vitamin A palmitate (1.7 MM IU/g)	1.90
3000 IU	2	Vitamin D ₃ (40 MM IU/g)	7.50 mg
12.00	3	Cremophor (RH, 40%)	12.00
0.30	4	BHT	0.30
10.00	5	Lutrol E 400	10.00
0.80	6	Paraben	0.80
0.20	7	Sorbic acid	0.20
QS	8	Water	QS to 1 L

MANUFACTURING DIRECTIONS

1. Heat mixture of items 1 to 5 and solution of items 6 to 8 to approximately 65°C, and add this slowly to the well-stirred mixture of items 1 to 5.
2. Clear or slightly opalescent yellow liquid is obtained.

VITAMIN A AND VITAMIN D₃ ORAL SOLUTION

Bill of Materials			
Scale (mg/mL)	Item	Material Name	Qty/L (mg)
1000 IU	1	Vitamin A palmitate (1.7 MM IU/g)	60.00
100 IU	2	Vitamin D ₃ (40 MM IU/g)	0.30
0.002	3	BHT	0.20
3.00	4	Cremophor EL or Cremophor (RH, 40%)	3.00 g
QS	5	Preservative	QS
QS	6	Flavor	QS
QS	7	Water	QS to 1 L

MANUFACTURING DIRECTIONS

1. Heat mixture of items 1 to 4 to approximately 65°C, stir well, and slowly add the hot solution of item 5 (65°C).
2. Cool to room temperature, and add item 6 to obtain a clear, yellow liquid.

VITAMIN A AND VITAMIN D₃ SYRUP

Bill of Materials			
Scale (mg/mL)	Item	Material Name	Qty/L (g)
30,000 IU	1	Vitamin A palmitate (1.7 MM IU/g)	19.00
10,000 IU	2	Vitamin D ₃ (40 MM IU/g)	0.25
70.00 mg	3	Cremophor (RH, 40%)	7.00
QS	4	Sugar syrup (50%)	QS to 1 L

MANUFACTURING DIRECTIONS

1. Heat mixture of items 1 to 3 to approximately 45°C, stir well, and slowly add item 4 to obtain a clear, yellow liquid (pH 6.2).

VITAMIN A AND VITAMIN E DROPS

Bill of Materials			
Scale (mg/mL)	Item	Material Name	Qty/L (g)
5000 IU	1	Vitamin A palmitate (1.7 MM IU/g)	3.33
50.00	2	Vitamin E acetate, with excess	60.00
150.00	3	Cremophor (RH, 40%)	150.00
150.00	4	Ethanol (96%)	150.00
QS	5	Water	QS to 1 L

MANUFACTURING DIRECTIONS

1. Heat mixture of items 1 to 3 to approximately 65°C, stir well, and slowly add the mixture of items 4 and 5.
2. Color is yellow. Clarity is clear (turbidity units, 25 FTU).
3. It must be determined whether or not the ethanol concentration has a sufficient preservative efficiency.
4. The addition of BHT as an antioxidant is recommended.

VITAMIN A AND VITAMIN E DROPS

Bill of Materials			
Scale (mg/mL)	Item	Material Name	Qty/L
25,000 IU	1	Vitamin A palmitate (1.7 MIU/g)	1.50
50.00	2	Vitamin E acetate	5.00
210.00	3	Cremophor (RH, 40%) ^a	21.00
QS	4	Preservative	QS
QS	5	Water	71.50

^a The quantity is reduced by 1 g if 1 g of D,L-alpha-tocopherol is also added in the formulation.

MANUFACTURING DIRECTIONS

1. Mix the vitamins with Cremophor (and D,L-alpha-tocopherol, if used) at 60°C.
2. Add solution of preservatives (at 37°C) slowly, with stirring, to produce clear, yellow, viscous liquids.

VITAMIN A AND VITAMIN E TABLETS

Bill of Materials			
Scale (mg/ tablet)	Item	Material Name	Qty/1000 Tablets (g)
33,000 IU	1	Vitamin A acetate (dry powder, 500,000 IU/g)	69.00
70.00	2	Vitamin E acetate (dry powder)	70.00
146.00	3	Mannitol (granulated) with 10% of Kollidon® 30	146.00
17.00	4	Kollidon® CL	17.00

MANUFACTURING DIRECTIONS

- Mix all components, pass through a 0.8 mm sieve, and press with high compressive force.
- Compress 300 mg in 12 mm biplanar punches.

VITAMIN A CHEWABLE TABLETS

Bill of Materials			
Scale (mg/ tablet)	Item	Material Name	Qty/1000 Tablets (g)
100,000 IU	1	Vitamin A acetate (dry powder, 325,000 IU/g)	350.00
350.00	2	Mannitol	350.00
25.00	3	Kollidon® VA 64	25.00
5.00	4	Magnesium stearate	5.00
3.00	5	Aerosil® 200	3.00

MANUFACTURING DIRECTIONS

- Mix all components, pass through a 0.8 mm sieve, and press with medium compressive force.
- Compress 750 mg in 12 mm biplanar punches.

VITAMIN A CONCENTRATE (WATER-MISCIBLE)

Bill of Materials			
Scale (mg/ mL)	Item	Material Name	Qty/L (g)
100,000 IU	1	Vitamin A palmitate (1.7 MM IU/g)	6.50
0.200	2	BHT	0.20
21.00	3	Cremophor (RH, 40%)	21.00
QS	4	Preservative	QS
QS	5	Water	QS to 1 L

MANUFACTURING DIRECTIONS

- Heat the mixture of items 1 to 3 to approximately 65°C. Stir well.
- Add slowly the warm solution of items 4 and 5 (65°C) to obtain a clear, yellow liquid that is miscible with water.

VITAMIN A DROPS

Bill of Materials			
Scale (mg/ mL)	Item	Material Name	Qty/1000 Tablets (g)
50,000 IU	1	Vitamin A palmitate (1.7 Mio IU/g)	3.00
110.00	2	Cremophor (RH, 40%)	11.00
1.00	3	BHT	0.10
QS	4	Water	85.90

MANUFACTURING DIRECTIONS

- Heat the mixture of items 1 to 3 to approximately 65°C. Stir well.
- Slowly add the hot water (65°C) to obtain a clear or slightly opalescent yellow solution of low viscosity.
- Lutrol E 400 can be added at a level of 5% (compensated for by item 4).

VITAMIN A SUPPOSITORIES

Bill of Materials			
Scale (mg/ suppository)	Item	Material Name	Qty/1000 Suppositories (g)
150,000 IU	1	Vitamin A palmitate (1.7 MM IU/g)	88.23
1.00	2	BHT	10.00
400.00	3	Cremophor (RH, 40%)	400.00
800.00	4	Lutrol E 1500	800.00
500.00	5	Lutrol E 4000	505000

MANUFACTURING DIRECTIONS

- Dissolve BHT in warm vitamin A.
- Add Cremophor, and mix with the molten Lutrol E grades.
- Fill into molds of suppositories to obtain a weight of 2 g.

VITAMIN A TABLETS

Bill of Materials			
Scale (mg/ tablet)	Item	Material Name	Qty/1000 Tablets (g)
50,000 IU	1	Vitamin A acetate (dry powder, 500,000 IU/g)	110.00
100.00	2	Avicel™ PH102	100.00
10.00	3	Kollidon® VA 64	10.00
5.00	4	Kollidon® CL	5.00
1.00	5	Aerosil® 200	1.00

MANUFACTURING DIRECTIONS

1. Pass all components through a 0.8 mm sieve, mix, and press with low compressive force.
2. Compress 231 mg using 9 mm biconvex punches.

VITAMIN A TABLETS

Bill of Materials			
Scale (mg/ tablet)	Item	Material Name	Qty/1000 Tablets (g)
5000	1	Vitamin A acetate (dry powder, 500,000 IU/g)	110.00
189.00	2	Ludipress®	189.00
1.00	3	Magnesium stearate	1.00

MANUFACTURING DIRECTIONS

1. Mix all components, pass through a 0.8 mm sieve, and press with low compressive force.
2. Compress 306 mg in 8 mm punches.

VITAMIN A TABLETS

Bill of Materials			
Scale (mg/ tablet)	Item	Material Name	Qty/1000 Tablets (g)
50,000	1	Vitamin A acetate (dry powder, 500,000 IU/g)	120.00
120.00	2	Ludipress®	120.00
10.00	3	Avicel™ PH101	10.00
1.00	4	Magnesium stearate	1.00
1.00	5	Aerosil® 200	1.00

MANUFACTURING DIRECTIONS

1. Mix all components, pass through a 0.8 mm sieve, and press with low compressive force.
2. Compress 277 mg in 8 mm punches.

VITAMIN A TABLETS

Bill of Materials			
Scale (mg/ tablet)	Item	Material Name	Qty/1000 Tablets (g)
50,000	1	Vitamin A acetate (dry powder, 500,000 IU/g)	110.00
154.00	2	Avicel™ PH101	154.00
10.00	3	Kollidon® VA 64	10.00
4.00	4	Kollidon® CL	4.00
1.00	5	Aerosil® 200	1.00

MANUFACTURING DIRECTIONS

1. Mix all components, pass through a 0.8 mm sieve, and press with low compressive force.
2. Compress 250 mg in 8 mm punches.

VITAMIN A TABLETS

Bill of Materials			
Scale (mg/ tablet)	Item	Material Name	Qty/1000 Tablets (g)
25,000 IU	1	Vitamin A acetate (dry powder, 500,000 IU/g)	55.00
572.00	2	Dicalcium phosphate (granulated) (Di-Tab) with 3% of Kollidon® 30	572.00
28.00	3	Polyethylene glycol, powder	28.00
19.40	4	Kollidon® CL	19.40
5.60	5	Aerosil® 200	5.60

MANUFACTURING DIRECTIONS

1. Granulate the dicalcium phosphate with Kollidon® 30, dissolved in isopropanol or water, and pass through a 0.5 to 12 mm screen sieve using a vibrating hopper.
2. Mix the obtained dried granules with the other components, sieve, and press with high compressive force.
3. Compress 680 mg in biplanar punches.

VITAMIN A, VITAMIN B₆, AND VITAMIN E TABLETS

Bill of Materials			
Scale (mg/tablet)	Item	Material Name	Qty/1000 Tablets (g)
40,000 IU	1	Vitamin A acetate (dry powder, 500,000 IU/g)	80.00
40.00	2	Pyridoxine hydrochloride	40.00
35.00	3	Vitamin E acetate (dry powder, SD 50)	75.00
395.00	4	Ludipress®	395.00
4.00	5	Magnesium stearate	4.00
5.00	6	Aerosil® 200	5.00

MANUFACTURING DIRECTIONS

1. Pass all components through a 0.8 mm sieve, mix, and press with high compressive force.
2. Compress 583 mg in 12 mm biplanar punches.

VITAMIN A, VITAMIN C, AND VITAMIN D₃ CHEWABLE TABLETS

Bill of Materials			
Scale (mg/tablet)	Item	Material Name	Qty/1000 Tablets (g)
2000/200 IU	1	Vitamin A and vitamin D ₃ (dry powder, 500,000 and 50,000 IU/g, respectively)	4.00
30.00	2	Ascorbic acid (powder), with excess	33.00
300.00	3	Sucrose (crystalline)	300.00
300.00	4	Sorbitol (crystalline)	300.00
300.00	5	Mannitol	300.00
300.00	6	Ludipress®	300.00
5.00	7	Stearic acid	5.00
0.10	8	Saccharin sodium	0.10
30.00	9	Cyclamate sodium	30.00
30.00	10	Flavor mixture (Firmenich)	30.00
20.00	11	PEG-6000, powder	20.00

MANUFACTURING DIRECTIONS

1. Pass all components through a 0.8 mm sieve, mix, and press with high compressive force.
2. Compress 1290 mg in 16 mm biplanar punches.

VITAMIN A, VITAMIN C, AND VITAMIN E TABLETS (1200 IU, 60 MG, 30 MG)

Bill of Materials			
Scale (mg/tablet)	Item	Material Name	Qty/1000 Tablets (g)
1200 IU	1	Vitamin A acetate (dry powder, 500,000 IU/g)	2.40
60.00	2	Ascorbic acid (powder)	60.00
30.00	3	Vitamin E acetate (dry powder, 50%)	60.00
105.00	4	Lactose monohydrate	105.00
30.00	5	Avicel™ PH101	30.00
20.00	6	Kollidon® 25	20.00
5.00	7	Talc	5.00
1.00	8	Aerosil® 200	1.00

MANUFACTURING DIRECTIONS

1. Pass all components through a 0.8 mm sieve, mix, and press with medium compression force.
2. Compress 285 mg in 8 mm biplanar punches.

VITAMIN B COMPLEX, AMINO ACIDS, AND MAGNESIUM EFFERVESCENT GRANULES (SUGAR-FREE)

Bill of Materials			
Scale (mg/tablet)	Item	Material Name	Qty/1000 Tablets (g)
2.00	1	Thiamine hydrochloride	2.00
2.00	2	Pyridoxine hydrochloride	2.00
5.00	3	Cyanocobalamin (dry powder, 0.1%)	5.00
20.00	4	L-Glutamine	20.00
10.00	5	Inositol	10.00
10.00	6	Potassium L-aspartate	10.00
500.00	7	D,L-Carnitine hydrochloride	500.00
350.00	8	Magnesium L-aspartate	350.00
600.00	9	Anhydrous citric acid	600.00
500.00	10	Sodium bicarbonate	500.00
QS	11	Flavors	QS
50.00	12	Kollidon® VA 64	50.00
80.00	13	Isopropanol	80.00

MANUFACTURING DIRECTIONS

1. Mix items 1 to 6, add the mixture of items 7 to 12, granulate the mixture of these two combinations with item 13, pass through a 0.8 mm sieve, dry well, and mix.
2. Fill 2.1 g of the granules into sachets.

VITAMIN B COMPLEX + AMINO ACID + MAGNESIUM EFFERVESCENT GRANULES (SUGAR-FREE, 1 RDA OF VITAMINS + 500 MG CARNITINE + 20 MG GLUTAMINE)

FORMULATION

- I. Thiamine hydrochloride, 2 g; pyridoxine hydrochloride, 2 g; cyanocobalamin dry powder 0.1%, 5 g; L-glutamine, 20 g; inositol, 10 g; potassium L-aspartate, 10 g.
- II. DL-Carnitine hydrochloride, 500 g; magnesium L-aspartate, 350 g; citric acid, anhydrous, 600 g; sodium bicarbonate, 500 g; flavors, QS; Kollidon® VA 64, 50 g.
- III. Isopropanol, 80 g.

MANUFACTURING DIRECTIONS

1. Mix the components I, add the mixture II, granulate mixture I + II with the liquid III, pass through a 0.8 mm sieve, dry well, and mix with III.
2. Fill 2.1 g of the granules in sachets.

VITAMIN B COMPLEX AND CARNITINE TABLETS

Bill of Materials

Scale (mg/ tablet)	Item	Material Name	Qty/1000 Tablets (g)
95.00	1	Thiamine mononitrate	95.00
20.00	2	Riboflavin	20.00
100.00	3	Nicotinamide	100.00
50.00	4	Calcium D-pantothenate	50.00
2.00	5	Folic acid	2.00
0.20	6	Biotin	0.20
0.5	7	Cyanocobalamin (gelatin coated, 1%)	0.50
50.00	8	Carnitine hydrochloride	50.00
100.00	9	Inositol	100.00
2.00	10	Adenosine phosphate	2.00
15.70	11	Kollidon® 30	15.70
70.00	12	Isopropanol	70.00
26.00	13	Kollidon® CL	26.00
122.00	14	Lactose monohydrate	122.00
14.00	15	PEG-6000, powder	14.00

MANUFACTURING DIRECTIONS

1. Granulate mixture of items 1 to 10 with solution of items 11 and 12.
2. Dry, pass through a 0.8 mm sieve, mix with items 13 and 15, and press with low compressive force.
3. Compress 708 mg using 13 mm biplanar punches.

VITAMIN B COMPLEX AND FOLIC ACID DRAGÉES

Bill of Materials

Scale (mg/ tablet)	Item	Material Name	Qty/1000 Tablets (g)
4.35	1	Calcium D-pantothenate (granulate, 67%)	6.50
2.60	2	Thiamine mononitrate (10.4%)	25.00
20.00	3	Magnesium oxide (light)	20.00
45.75	4	D-Mannitol (powder)	45.75
100.00	5	DL-Methionine	100.00
2.30	6	Riboflavin	2.30
6.30	7	Nicotinamide	6.30
2.40	8	Pyridoxine HCl	2.40
4.00	9	Magnesium stearate	4.00
0.1150	10	D-Biotin	0.1150
0.46	11	Folic acid	0.46
100.00	12	Choline tartrate	100.00
28.00	13	Silicic acid (precipitated)	28.00
0.87 µg	14	Vitamin B ₁₂ (as 0.1% water soluble form)	0.871
3.15	15	Vitamin E (50%)	6.30
30.00	16	Sodium carboxymethyl starch	30.00
116.66	17	Isopropyl alcohol	116.66
22.00	18	Povidone (PVK K-90) (Luviskol®)	22.00

MANUFACTURING DIRECTIONS

1. Incorporate in mixer PVP K-90 and isopropyl alcohol, and make a solution with continuous stirring.
2. Place in mixer choline tartrate, DL-methionine, D-mannitol powder, magnesium oxide (previously sieved), silicic acid, and sodium carboxymethyl starch, and mix for 15 minutes.
3. Add the solution of isopropyl alcohol and alcohol in first step for 10 minutes until moist mass is obtained.
4. Granulate the moist mass through a centrifugal granulator with a 10 mm screen.
5. Spread the granules on paper-lined trays, and dry overnight in a drying oven at 50°C.
6. Crush the granules through a 1.5 mm sieve.
7. Vitamin granulate: Tumble D-biotin, vitamin B₁₂, folic acid, riboflavin, and pyridoxine hydrochloride in mixer for 5 minutes.
8. Combine in the mixer the nicotinamide, vitamin E, thiamine mononitrate/gelatin/mannitol granulate, D-mannitol powder, and sodium carboxymethyl starch; then, add the vitamin mixture, and mix for 10 minutes.
9. Pass through a 1 mm sieve if lumpy.
10. In a mixer, make a separate solution of PVP K-90 and isopropyl alcohol.

11. Place in the mixer the solution of isopropyl alcohol and PVP; then, knead until an evenly moist homogeneous mass is obtained.
12. Add calcium D-pantothenate granules, and mix for 3 to 5 minutes.
13. Pass the granules through a centrifugal granulator with a 10 mm screen, and spread on paper-lined trays.
14. Keep overnight in a drying oven at 50°C. The RH of the granules should be 10% to 20%.
15. Crush the dried granules through an oscillator with a 1.5 mm sieve.
16. Put the granulate mixture in the mixing drum—the choline tartrate and the two lots of vitamin granules.
17. Mix, and then add the magnesium stearate.
18. Check to be sure that the RH of the mixture is 10% to 20%.
19. Compress and apply a sealer coat (lacquer), sugar coat, and finishing coating.

VITAMIN B COMPLEX AND IRON SYRUP

Bill of Materials

Scale (mg/ mL)	Item	Material Name	Qty/L (g)
910.00	1	Sorbitol solution	910.00
0.019	2	Propylparaben	0.019
0.17	3	Methylparaben	0.17
1.50	4	Niacinamide (white powder)	1.50
0.30	5	Riboflavin	0.30
103.60	6	Propylene glycol	103.60
126.40	7	Glycerin	126.40
26.13	8	Iron sulfate (granular)	26.132
0.037	9	Dye	37.50 mg
0.25	10	Pyridoxine hydrochloride	0.25
1.20	11	Saccharin sodium (dihydrate powder)	1.20
22.00	12	Sodium cyclamate (powder)	22.00
30.00	13	Ascorbic acid (white powder)	30.00
0.80	14	Sodium bicarbonate (powder)	0.80
0.36	15	Thiamine hydrochloride (powder, regular)	0.36
0.625	16	D-Pantothenyl alcohol (dexpantenol)	0.62
0.002	17	Vitamin B ₁₂ (cyanocobalamin)	2.00 mg
0.007	18	Flavor	0.70 mL
QS	19	Deionized purified water	QS to 1 L
QS	20	HyFlo filter aid	QS
QS	21	Hydrochloric acid	QS
QS	22	Sodium hydroxide	QS

MANUFACTURING DIRECTIONS

1. Manufacture under complete carbon dioxide (CO₂) protection.
2. Load 780 g (portion of item 1) of sorbitol solution into a jacketed stainless steel tank. The remaining sorbitol will be used later.
3. Add parabens (unless added previously), niacinamide, and riboflavin to the sorbitol or glucose solution.
4. Heat solution to 85°C to 90°C, and mix until the ingredients are dissolved.
5. Remove heat.
6. While mixing, cool the main solution to 50°C to 60°C.
7. Hold at this temperature while bubbling CO₂ into it.
8. CO₂ protection must be continued for the remainder of the manufacturing procedure.
9. Heat 50 mL of purified water to boiling, and bubble CO₂ into it while cooling to 55°C.
10. Add and dissolve, with mixing, iron sulfate with 30 mL of purified water at 55°C.
11. Use CO₂ protection.
12. Warm the solution to 50°C to 55°C while mixing to dissolve; then, slowly add the solution, with good mixing, to the previous solution.
13. This addition should be made as soon as possible to prevent oxidation.
14. Add the pyridoxine, saccharin sodium, and sodium cyclamate, and mix until dissolved.
15. Cool the solution to 30°C.
16. Add the ascorbic acid, with good stirring, to 78 g of reserved sorbitol; make a slurry.
17. Use a container that has plenty of headspace.
18. Then, add the sodium bicarbonate slowly in small portions to the ascorbic acid slurry, with stirring, until all of the powder has been added and most of the foaming has stopped.
19. Add this slurry slowly to the solution from the previous step with vigorous mixing until a uniform solution results.
20. Rinse the mixing container with 22 g of the reserved sorbitol, and add to the product with stirring.
21. Add and dissolve thiamine hydrochloride with mixing.
22. If necessary, warm the D-pantothenyl alcohol until liquefied, and add it to the 0.5 mL CO₂-saturated purified water.
23. Use an additional 0.5 mL of CO₂-saturated purified water to thoroughly rinse the container of D-pantothenyl alcohol, and add this to the D-pantothenyl alcohol solution.
24. Mix the D-pantothenyl alcohol solution thoroughly until it is homogeneously dispersed.
25. Add the D-pantothenyl alcohol solution to the main solution with mixing.
26. Use an additional 0.5 mL of CO₂-saturated purified water to rinse out the container in which the D-pantothenyl alcohol solution is made, and add to the product with mixing.

27. Dissolve the vitamin B₁₂ in 0.5 mL of purified water to make a clear solution, and add this to the product with good mixing.
28. Dissolve the guarana flavor in the 10 g of propylene glycol reserved from earlier step with good stirring.
29. Add this solution to the product with good mixing.
30. Check pH (range: 3.00–3.30).
31. Adjust, if necessary, with a solution of 10% sodium hydroxide or 10% hydrochloric acid depending on the test results.
32. Adjust the volume of the product with the remaining 30 g of the sorbitol solution and if necessary, purified water to 1 L.
33. Mix for 1 hour.
34. Allow to stand overnight to eliminate entrapped CO₂ gas.
35. Readjust volume to 1 L with purified water.
36. Mix for 1 hour.
37. Filter by adding HyFlo filter aid and mixing it, followed by passing through a filter press.
38. Do not allow temperature to exceed 30°C.
39. Bubble CO₂ gas into clear filtrate for 5 minutes; then seal tank, and hold product under CO₂ protection.

VITAMIN B COMPLEX AND VITAMIN C EFFERVESCENT TABLETS

Bill of Materials

Scale (mg/tablet)	Item	Material Name	Qty/1000 Tablets (g)
33.00	1	Thiamine mononitrate	33.00
4.00	2	Riboflavin	4.00
10.00	3	Pyridoxine hydrochloride	10.00
66.00	4	Nicotinamide	66.00
17.00	5	Calcium D-pantothenate	17.00
350.00	6	Tartaric acid (powder)	350.00
450.00	7	Sodium bicarbonate	450.00
750.00	8	Sucrose, crystalline	750.00
30.00	9	Kollidon® 30	30.00
QS	10	Isopropanol	QS
500.00	11	Ascorbic acid (crystalline)	500.00
3.00	12	Riboflavin	3.00
10.00	13	Cyanocobalamin (gelatin-coated, 0.1%)	10.00
10.00	14	Orange flavor	10.00
2.00	15	Saccharin sodium	2.00
5.00	16	Cyclamate sodium	5.00
50.00	17	PEG-6000 (powder)	50.00

MANUFACTURING DIRECTIONS

1. Granulate mixture of items 1 to 9 with solvent item 10, dry, pass through a 0.8 mm sieve, mix with items 13 to 17, and press with high compressive force at a maximum relative atmospheric humidity of 30%.
2. Compress 2315 mg in 20 mm biplanar punches.

VITAMIN B COMPLEX AND VITAMIN C INSTANT GRANULES

Bill of Materials

Scale (mg/g)	Item	Material Name	Qty/kg (g)
3.60	1	Thiamine hydrochloride	3.60
5.70	2	Riboflavin phosphate sodium	5.70
45.00	3	Nicotinamide	45.00
4.50	4	Pyridoxine hydrochloride	4.50
15.0	5	Cyanocobalamin (gelatin-coated, 0.1%)	15.00
150.0	6	Ascorbic acid (powder)	150.00
723.00	7	Sucrose	723.00
51.00	8	Kollidon® 30	51.00
QS	9	Ethanol	180 mL

MANUFACTURING DIRECTIONS

1. Mix items 1 to 7, granulate with solution of items 8 and 9, dry, and pass through a 0.8 mm sieve.
2. Fill 1 g of the granules in sachets (or 10 g in 100 mL flakes as dry syrup) to produce yellow, homogeneous granules dispersible in cold water.
3. Approximately 1 g of the granules (= 1 sachet) corresponds to two daily vitamin B and vitamin C requirements of adults.
4. Because of the high loss of riboflavin phosphate sodium, it should be substituted by riboflavin.

VITAMIN B COMPLEX AND VITAMIN C SYRUP

Bill of Materials

Scale (mg/g)	Item	Material Name	Qty/kg (g)
0.60	1	Thiamine hydrochloride	0.60
0.55	2	Riboflavin phosphate sodium	0.55
2.50	3	Nicotinamide	2.50
1.20	4	Dexpantenol	1.20
0.55	5	Pyridoxine hydrochloride	0.55
9.00	6	Ascorbic acid (crystalline)	9.00
0.25	7	Orange flavor	0.25
0.05	8	EDTA sodium	0.05
0.50	9	Propyl gallate	0.50
2.00	10	Sorbic acid	2.00
5.00	11	Kollidon® 25	5.00
10.00	12	Sorbitol (crystalline)	10.00
9.00	13	Glycerol	9.00
10.00	14	1,2-Propylene glycol (pharma)	10.00
5.00	15	Water	5.00
QS	16	Sugar syrup (64% sucrose in water)	QS to 1 kg

MANUFACTURING DIRECTIONS

- Mix solution of items 1 to 5 with sugar syrup, adjust the clear solution to approximately pH 4.2, and use nitrogen as an inert gas in the final packaging; 10 g provides 2 to 3 RDA.

VITAMIN B COMPLEX AND VITAMIN C SYRUP

Bill of Materials			
Scale (mg/ mL)	Item	Material Name	Qty/L (g)
0.15	1	Thiamine hydrochloride	0.15
0.15	2	Riboflavin phosphate sodium	0.15
0.70	3	Nicotinamide	0.70
0.035	4	Dexpanthenol	0.035
0.15	5	Pyridoxine hydrochloride	0.15
2.25	6	Ascorbic acid (crystalline)	2.25
0.28	7	Orange aroma	0.28
0.56	8	EDTA sodium	0.56
186.50	9	Propylene glycol (pharma) + water (2:1)	186.50
0.15	10	Paraben	0.15
84.30	11	Sorbitol (crystalline)	84.30
562.50	12	Sucrose (crystalline)	562.50
42.00	13	Water	42.00

MANUFACTURING DIRECTIONS

- Dissolve items 1 to 8 in item 2.
- Prepare a solution of items 10 to 13 by heating.
- Cool, and mix with solution of the balance of the formulation.
- Adjust to a pH of 4.2 to 4.5.
- Adjust volume with water. Use more if necessary.
- Use nitrogen as an inert gas during packaging.

VITAMIN B COMPLEX AND VITAMIN C TABLETS

Bill of Materials			
Scale (mg/ tablet)	Item	Material Name	Qty/1000 Tablets (g)
5.00	1	Thiamine mononitrate	5.00
5.00	2	Riboflavin	5.00
5.00	3	Pyridoxine hydrochloride	5.00
0.50	4	Folic acid	0.50
30.00	5	Niacin	30.00
0.10	6	Biotin	0.10
10.00	7	Calcium D-pantothenate	10.00
150.00	8	Ascorbic acid (crystalline/powder)	150.00
172.40	9	Ludipress®	172.40
20.00	10	Kollidon® VA 64	20.00
2.00	11	Magnesium stearate	2.00

MANUFACTURING DIRECTIONS

- Mix all ingredients, pass through a 0.8 mm sieve, and mix.
- Use medium to low compressive force to compress 400 mg in 10 mm biplanar punches.

VITAMIN B COMPLEX AND VITAMIN C TABLETS

Bill of Materials			
Scale (mg/ tablet)	Item	Material Name	Qty/1000 Tablets (g)
15.00	1	Thiamine hydrochloride	15.00
2.00	2	Riboflavin	2.00
5.00	3	Pyridoxine hydrochloride	5.00
25.00	4	Choline bitartrate	25.00
10.00	5	Nicotinamide	10.00
100.00	6	Ascorbic acid (crystalline/powder)	100.00
220.00	7	Ludipress®	220.00
8.00	8	Stearic acid	8.00

MANUFACTURING DIRECTIONS

- Mix all ingredients, pass through a 0.8 mm sieve, and mix.
- Use medium to low compressive force to compress 411 mg in 12 mm biplanar punches.
- The thiamine mononitrate formulation is more stable compared with the thiamine hydrochloride formulation.

VITAMIN B COMPLEX, CHOLINE, AND BILE TABLETS

Bill of Materials			
Scale (mg/ tablet)	Item	Material Name	Qty/1000 Tablets (g)
60.00	1	Acid dehydrochloric (powder)	60.00
100.00	2	Choline dihydrogen citrate	100.00
20.00	3	Niacinamide (white powder)	20.00
100.00	4	Inositol	100.00
2.50	5	Riboflavin (2% excess)	2.55
0.50	6	Pyridoxine hydrochloride	0.50
30.00	7	Povidone (K value, 29–32)	30.00
100.00	8	Racemethionine (crystals)	100.00
60.00	9	Ox bile extract (powder, No. 30 mesh) (Bilein)	60.00
–	10	Alcohol dehydrated (200 proof)	26.00
3.00 µg	11	Cyanocobalamin (oral powder in gelatin, 1000 µg/g)	3.30
3.00	12	Thiamine hydrochloride (powder, regular), with excess	3.60
8.40	13	Magnesium stearate (impalpable powder)	8.40
8.40	14	Stearic acid (fine powder)	8.40

MANUFACTURING DIRECTIONS

1. Mill dehydrochloric acid, choline dihydrogen citrate, nicotinamide, inositol, and methionine through a 600 μm screen.
2. Combine milled mixture from first step with riboflavin, pyridoxine hydrochloride, povidone, and ox bile extract in mass mixer.
3. Add alcohol QS (approximately 26 g or 32.7 mL) slowly to the mass.
4. Mass for approximately 45 minutes in mixer.
5. Scrape all material from the mass mixer as much as possible.
6. Rinse mass mixer between runs.
7. Granulate through a comminuting or similar mill or a 4.76 mm screen.
8. Dry at 49°C to less than 1% LOD.
9. Sift through an 840 μm screen in a shaker, and grind coarsely through a comminuting mill (knives forward, medium speed).
10. Pass one-half of the base granulation through a 1.68 mm screen into a blender if necessary.
11. Mix cyanocobalamin oral powder with an equal volume of base granulation, and charge into a blender through a 1.68 mm screen.
12. Blend thiamine hydrochloride, magnesium stearate, and stearic acid.
13. Then, hand-screen mixture through a 600 μm screen.
14. Load into a blender through a 1.68 mm screen with the remainder of the base granulation, and blend for 20 minutes.
15. Compress and coat tablets using an appropriate formulation to render required color and sealing of tablet.

VITAMIN B COMPLEX SYRUP

Bill of Materials			
Scale (mg/g)	Item	Material Name	Qty/kg (g)
0.60	1	Thiamine hydrochloride	0.60
0.55	2	Riboflavin 5-phosphate sodium	0.55
2.50	3	Nicotinamide	2.50
1.20	4	Dexpanthenol	1.20
0.55	5	Pyridoxine hydrochloride	0.55
2.00	6	Sorbic acid	2.00
0.05	7	EDTA sodium	0.05
2.25	8	Vanillin	2.25
465.00	9	Sucrose	465.00
25.00	10	Kollidon® 25	25.00
90.00	11	Glycerol	90.00
100.00	12	Propylene glycol (pharma)	100.00
310.00	13	Water	310.00

MANUFACTURING DIRECTIONS

1. Dissolve the sucrose in the hot mixture of glycerol, propylene glycol, and water.
2. Cool to room temperature, and dissolve the other components to obtain a clear solution.

VITAMIN B COMPLEX SYRUP

Bill of Materials			
Scale (mg/mL)	Item	Material Name	Qty/L (g)
0.66	1	Dexpanthenol	0.66
4.40	2	Nicotinamide	4.40
0.22	3	Pyridoxine hydrochloride	0.22
0.60	4	Riboflavin-5-phosphate sodium	0.60
1.50	5	Thiamine hydrochloride	1.50
350.00	6	Sorbitol (70% solution)	350.00
11.20	7	Propylene glycol	11.20
0.84	8	Methylparaben	0.84
0.168	9	Propylparaben	0.168
550.00	10	Maltitol solution (Lycasin 80/55)	550.00
0.15	11	Edetate disodium (sodium EDTA)	0.15
3.72	12	Citric acid (monohydrate)	3.72
3.72	13	Sodium citrate	3.72
2.50	14	Sodium benzoate	2.50
0.50	15	Saccharin sodium	0.50
150.00	16	Glycerin (glycerol)	150.00
1.50	17	Flavor	1.50
1.00	18	Flavor	1.00
–	19	Purified water	QS to 1 L

MANUFACTURING DIRECTIONS

1. Load items 6, 10, and 16 in a manufacturing vessel, and mix for 5 minutes.
2. Dissolve items 8 and 9 in item 7 in a stainless steel container.
3. Put the entire container in hot water (60–70°C), and stir to dissolve the contents.
4. Add the clear solution to the mixer.
5. Dissolve items 11 and 12 in 40 g of purified water in a stainless steel container.
6. Add the clear solution to the mixer.
7. Dissolve items 13, 14, and 15 in 50 g of purified water in a stainless steel container.
8. Add the clear solution to mixer, and mix for 5 minutes.
9. Dissolve item 1 in 10 g of purified water in a stainless steel container.
10. Add the clear solution to mixer.
11. Dissolve items 3 and 5 in 10 g of purified water in a stainless steel container.
12. Add the clear solution to mixer.

13. Dissolve items 2 and 4 in 30 g of purified water in a stainless steel container.
14. Add the clear yellow solution to mixer, and mix for 5 minutes.
15. Add items 17 and 18 to mixer.
16. Bring the volume up to 1 L with purified water, and finally, mix for 15 to 20 minutes.
17. Check and record the pH (limit: 4.4–4.8 at 25°C).
18. If required, adjust pH with 20% citric acid or 20% sodium citrate solution.
19. Filter the syrup at 1.5 bar.
20. Recirculate approximately 200 to 300 mL syrup.
21. Transfer the filtered syrup to the storage vessel, flushing with nitrogen gas.
22. Store the syrup under a nitrogen blanket for NMT 2 days prior to filling.

6. Disperse 1 g of filter aid in 10 g of cooled purified water (25–30°C) in a stainless steel container to prepare a slurry.
7. Add the slurry to the syrup in syrup vessel.
8. Mix for 15 minutes at high speed.
9. Filter the syrup at 1.5 bar.
10. Recirculate approximately 40 to 60 mL syrup.
11. Transfer the filtered syrup to the storage vessel.
12. Recharge the filtered syrup to the manufacturing vessel.
13. Start mixing.
14. Add item 2 to the syrup vessel while mixing at high speed.
15. Add item 3 to the syrup vessel while mixing to dissolve at high speed.
16. Dissolve item 4 in 6 g of cooled purified water (25–30°C), and add to the syrup vessel while mixing at high speed.
17. Dissolve item 5 in 6 g of cooled purified water, and add to the syrup vessel while mixing at high speed for 30 minutes.
18. Dissolve item 6 in 10 g of cooled purified water (25–30°C), and add to the syrup vessel while mixing at high speed.
19. Dissolve items 7 to 10 in item 11 in a stainless steel container, and add to the syrup vessel while mixing at high speed for 15 minutes.
20. Dissolve items 12 and 13 in 6 g of cooled purified water (25–30°C) in a separate stainless steel container, and add to the syrup vessel while mixing at high speed.
21. Rinse the container with 1 g of cooled, purified water (25–30°C), and add the rinsings to the syrup vessel while mixing at high speed.
22. Flush the vessel with nitrogen gas (purity 99.95%) for 15 minutes.
23. Dissolve item 14 in 9 g of cooled purified water in a separate stainless steel container, and add to the syrup vessel while mixing at high speed.
24. Rinse the container with 1 g of cooled purified water (25–30°C), and add the rinsings to the syrup vessel while mixing at high speed.
25. Dissolve item 15 in 4 g of cooled, purified water (25–30°C) in a separate stainless steel container, and add to the syrup vessel while mixing at high speed.
26. Rinse the container with 1 g of cooled, purified water, and add the rinsings to the syrup vessel while mixing at high speed.
27. Bring the volume up to 1 L with cooled, purified water (25–30°C), and finally, mix for 15 minutes at high speed.
28. Check and record the pH (limit: 4.3–4.7 at 25°C).
29. If required, adjust pH with 10% solution of citric acid or sodium citrate.
30. Flush the syrup with nitrogen gas (purity 99.95%) for 15 minutes.

VITAMIN B COMPLEX SYRUP (WITHOUT B₁₂)

Bill of Materials			
Scale (mg/ mL)	Item	Material Name	Qty/L (g)
570.00	1	Sucrose ^a	570.00
70.00	2	Glycerin (glycerol)	70.00
3.72	3	Citric acid (monohydrate)	3.72
1.00	4	Edetate disodium (sodium EDTA)	1.00
0.90	5	Calcium pantothenate (10% excess)	1.00
5.70	6	Sodium citrate	5.70
0.84	7	Methylparaben	0.84
0.168	8	Propylparaben	0.168
1.90	9	Benzoic acid	1.90
1.14	10	Strawberry flavor manefils	1.14
9.60	11	Alcohol (ethanol, 95%)	9.60
1.50	12	Thiamine hydrochloride (50% excess)	1.50
0.20	13	Pyridoxine hydrochloride (10% excess)	0.22
4.00	14	Nicotinamide (10% excess)	4.40
0.30	15	Riboflavin sodium phosphate (50% excess)	0.60
QS	16	Purified water	QS to 1 L

^a 513 mg for thiamine mononitrate and 504 mg for thiamine hydrochloride.

MANUFACTURING DIRECTIONS

1. Flush with nitrogen gas (purity 99.95%).
2. Add 400 g of purified water to the manufacturing vessel, and heat to 90°C to 95°C.
3. Add item 1 while mixing at low speed.
4. After addition of item 1, mix for 30 to 35 minutes at high speed (temperature: 90–95°C).
5. Cool to 40°C while mixing at low speed.

31. Close the tank.
32. Hold the syrup for 12 hours.
33. Filter the syrup at 1.5 bar.
34. Recirculate approximately 40 to 60 mL syrup.
35. Transfer the filtered syrup to the storage vessel.

VITAMIN B COMPLEX TABLETS

Bill of Materials			
Scale (mg/ tablet)	Item	Material Name	Qty/1000 Tablets (g)
25.00	1	Thiamine mononitrate or hydrochloride	25.00
25.00	2	Riboflavin	25.00
80.00	3	Nicotinamide	80.00
40.00	4	Calcium D-pantothenate	40.00
16.00	5	Pyridoxine hydrochloride	16.00
0.16	6	Cyanocobalamin (gelatin coated, 0.1%)	16.00
282.00	7	Avicel™ PH101	282.00
16.00	8	Kollidon® 30	16.00
3.00	9	Aerosil® 200	3.00

MANUFACTURING DIRECTIONS

1. Pass all components through a 0.8 mm sieve, and mix.
2. Compress in 12 mm biplanar punches with medium to high compressive force.
3. The mononitrate formulation is preferred for stability reasons.

VITAMIN B COMPLEX TABLETS

Bill of Materials			
Scale (mg/ tablet)	Item	Material Name	Qty/1000 Tablets (g)
2.30	1	Thiamine mononitrate	2.30
2.60	2	Riboflavin	2.60
2.30	3	Nicotinamide	2.30
2.20	4	Calcium D-pantothenate	2.20
2.70	5	Pyridoxine hydrochloride	2.70
0.024	6	Cyanocobalamin (gelatin coated, 0.1%)	2.40
280.00	7	Ludipress®	280.00
14.00	8	Flavor (Firmenich)	14.00
0.050	9	Saccharin sodium	0.05
4.00	10	Cyclamate sodium	4.00
5.00	11	Magnesium stearate	5.00

MANUFACTURING DIRECTIONS

1. Pass all components through a 0.8 mm sieve, and mix.

2. Compress 314 mg using low compression force and 8 mm biplanar punches.
3. According to the European Commission, this formulation is classified as dietary food.

VITAMIN B COMPLEX TABLETS

Bill of Materials			
Scale (mg/ tablet)	Item	Material Name	Qty/1000 Tablets (g)
15.00	1	Microcrystalline cellulose (Avicel™ PH102)	15.00
0.20	2	Colloidal silicon dioxide (Aerosil® 200)	0.20
3.00	3	Calcium pantothenate	3.00
9.33	4	Powdered cellulose	9.33
35.60	5	Lactose (spray dried)	35.60
0.91	6	Magnesium stearate	0.91
20.00	7	Nicotinamide	20.00
2.10	8	Pyridoxine hydrochloride	2.10
2.00	9	Riboflavin base	2.00
0.80	10	Talc (fine powder)	0.80
2.10	11	Thiamine mononitrate	2.10

MANUFACTURING DIRECTIONS

1. Riboflavin base is a fine powder that tends to form globules while mixing.
2. Disperse the base carefully with Aerosil® and lactose.
3. Mix items 9 and 2 and 6.67 g of item 5 in the drum of a drum mixer for 10 minutes.
4. Pass the mix two times through a 500 µm sieve using a sifter.
5. Pass items 3, 8, and 11 and 6.67 g of item 5 through a granulator fitted with a 1.0 mm sieve.
6. Pass items 1, 4, and 7 and 22.27 g of item 5 through a granulator fitted with a 1.0 mm sieve.
7. Pass items 6 and 10 through a sifter fitted with a 500 µm sieve.
8. Load sieved material from previous step to the blender.
9. Load sieved material to the blender.
10. Blend the powders for 15 minutes.
11. Load lubricant powders into the blender, and mix for an additional 5 minutes.
12. Compress 91 mg at low RH (55–60%).
13. Coat tablets with a sealing coat, color coat, and polishing coat.

VITAMIN B COMPLEX, VITAMIN A, VITAMIN C, AND VITAMIN D SYRUP

Bill of Materials

Scale (mg/ mL)	Item	Material Name	Qty/L (g)
60.00	1	Sucrose	600.00
1.00	2	Methylparaben	1.00
0.20	3	Propylparaben	0.20
1.00	4	Edetate disodium (sodium EDTA)	1.00
10.00	5	Ascorbic acid (50% excess)	15.00
0.80	6	Sodium hydroxide	0.80
4.00	7	Nicotinamide (5% excess)	4.20
0.40	8	Riboflavin sodium phosphate (8% excess)	0.43
1.00	9	Thiamine hydrochloride (50% excess)	1.50
1.20	10	Pyridoxine hydrochloride (10% excess)	1.32
0.50	11	Monosodium glutamate (sodium glutamate)	0.50
1.26 µg	12	Cyanocobalamin (50% excess)	0.0018
150.00	13	Propylene glycol	150.00
1000.0 IU	14	Vitamin A palmitate (1.75 MM IU/g) (54% excess)	0.88
100.0 IU	15	Cholecalciferol (40 MM IU/g) (52% excess)	0.0038
13.20	16	Polysorbate 80 (Tween 80)	13.20
2.50	17	Poloxyl 20 cetostearyl ether (Cetomacrogol 1000)	2.50
0.30	18	Lemon oil terpeneless	0.30
0.84	19	Strawberry oil (composed)	0.84
QS	20	Purified water	QS to 1 L

MANUFACTURING DIRECTIONS

- This product is an aqueous solution of water-soluble vitamins with oily vitamin A palmitate and cholecalciferol solubilized in water using the surfactant system of Tween 80 and Cetomacrogol.
- This syrup is a solubilized oil surfactant system and is affected by heat and rate of mixing.
- The temperature of the solution must not exceed 30°C at the time of final mixing.
- The final mixing must be continuous, without any interruption.
- For the preparation of oily phase, the container must be dry.
- Before start of batch, cool approximately 80 mL of purified water, and flush with nitrogen gas (purity, 99.95%).
- Use this water for making solutions and for adjusting the volume.
- Add 420 g of purified water to the manufacturing vessel, and heat to 90°C to 95°C.
- Add items 2 and 3 while mixing to dissolve.
- Add item 1 while mixing at slow speed.
- After addition of item 1, mix for 30 to 35 minutes at high speed and a temperature of 90°C to 95°C.
- Cool to 25°C to 30°C while mixing at low speed.
- Bubble nitrogen gas for 10 minutes.
- Add item 4 to the syrup while mixing at high speed to dissolve.
- Add item 5 to the syrup while mixing at high speed to dissolve.
- Add 4.00 g of purified water (25°C) in a separate container, and dissolve item 6 by using a stirrer.
- Transfer the cooled item 6 solution to the syrup tank while mixing at high speed.
- Mix for 15 minutes.
- Check the pH of the syrup (limit: 3.75–3.85).
- Add items 7 to 11 one by one to the syrup in the manufacturing vessel while mixing at high speed to dissolve.
- Mix for 10 minutes.
- Add 6 g of cold purified water (25°C) in a separate container, and dissolve item 12.
- Add to the manufacturing vessel while mixing at high speed.
- Rinse the container with cooled purified water (approximately 2 mL), and transfer the rinsing to the syrup-manufacturing vessel. Mix well at high speed.
- Add item 13 to the manufacturing vessel while mixing at high speed.
- Warm item 14 to 70°C in a separate stainless steel container in a water bath.
- Warm item 16 to 70°C, and mix well with item 14 under nitrogen atmosphere.
- Add item 15 while mixing.
- Melt item 17 in a stainless steel container, and add with stirring to mix well.
- Cool to 30°C while mixing under nitrogen atmosphere.
- Add items 18 and 19 to the oily phase solution, and mix for 15 minutes at high speed.
- Check and record the volume of the oily phase.
- Start mixing, and continue mixing (mixing must be continuous).
- Start the addition of the oily phase solution in a thin stream (do not stop mixing during addition of oily phase).
- After the addition is complete, mix for an additional 15 minutes at high speed.
- Rinse the oily phase vessel with a sufficient quantity of syrup from the syrup vessel.
- Transfer the rinsings to the syrup vessel.
- Bring the volume up to 1 L with cooled purified water (25°C), and finally, mix for 20 minutes at high speed.
- Check and record the pH (limit: 3.75–3.85 at 25°C).
- Filter the syrup at 1.5 bar.
- Recirculate approximately 40 to 60 mL syrup.

VITAMIN B COMPLEX, VITAMIN A, VITAMIN C, AND VITAMIN D TABLETS

Bill of Materials			
Scale (mg/ tablet)	Item	Material Name	Qty/1000 Tablets (g)
2.00	1	Thiamine mononitrate (20% excess)	2.40
1.00	2	Riboflavin (10% excess)	1.10
74.50	3	Lactose (spray dried)	74.50
15.00	4	Nicotinamide	15.00
300 IU	5	Vitamin D ₃ (dry powder, 100,000 IU/g)	3.60
3000 IU	6	Vitamin A palmitate (250,000 IU/g)	18.00
36.00	7	Cellulose (microcrystalline) (Avicel™ PH102)	36.00
20.00	8	Ascorbic acid (90%) (33% excess)	26.60
1.00	9	Silicon dioxide (colloidal) (Aerosil® 200)	1.00
1.80	10	Magnesium stearate	1.80

MANUFACTURING DIRECTIONS

- Mix items 1 and 2 and 13.33 g of item 3 in a drum using a drum mixer for 10 minutes.
- Pass the mix through a 250 µm sieve using a sifter.
- Collect in a stainless steel drum, and load into the blender.
- Pass items 4 to 7 and 61.17 g of item 3 through a granulator fitted with a 1 mm sieve.
- Collect in a stainless steel drum, and load into the blender.
- Pass item 8 through a Fitz mill fitted with sieve number 24230.
- Collect in a stainless steel drum, and load into the blender.
- Mix for 10 minutes.
- Pass item 9 through a 500 µm sieve using a sifter.
- Collect in a polyethylene bag.
- Pass item 10 through a 250 µm sieve using a sifter.
- Collect in the same polyethylene bag.
- Mix, and add 0.53 to 1.33 g powder from the previous step.
- Mix gently.
- Add to the blender.
- Mix for 3 minutes.
- Unload lubricated granules in stainless steel drums.
- Compress 180 mg in 7 mm round concave punches.
- Apply a sealing coat, a color coat, and finishing coat (see Appendix).

VITAMIN B COMPLEX, VITAMIN A, VITAMIN C, VITAMIN D, AND CALCIUM DROPS

Bill of Materials			
Scale (mg/ mL)	Item	Material Name	Qty/L (g)
675.00	1	Glycerin (96%)	675.00
16.66	2	Niacinamide (white powder)	16.66
2.73	3	Riboflavin-5'-phosphate sodium (3% excess)	2.82
0.50	4	Methylparaben	0.50
1.00	5	Acid benzoic	1.00
105.00	6	Saccharin sodium (powder)	105.00
73.36	7	Calcium chloride (granules, dihydrate)	73.36
28.78	8	Ferrous gluconate	28.78
2.25	9	Thiamine hydrochloride (powder, regular) (35% excess)	3.375
1.00	10	Pyridoxine hydrochloride	1.00
83.33	11	Ascorbic acid (white powder) (35% excess)	112.50
0.25	12	Oil orange terpeneless	0.25
0.081	13	Alcohol (ethanol; 190 proof, nonbeverage)	0.081
80.00	14	Polysorbate 80	80.00
0.16	15	Butylated hydroxyanisole (BHA)	0.16
0.66	16	Viosterol in corn oil (syn., oleovitamin D; 1000 mD/g) (25% excess)	0.83
0.056	17	Vitamin A palmitate (1,500,000 IU/g)	0.056
10.00	18	Caramel (acid proof)	10.00
QS	19	Deionized purified water	QS to 1 L

MANUFACTURING DIRECTIONS

- Product must not stand more than 1 week before filling.
- Avoid unnecessary exposure of product to light, air, and heat.
- Manufacture and store product under complete CO₂ protection.
- Avoid vigorous mixing.
- Charge glycerin and 210 mL purified water into a stainless steel jacketed tank.
- Add, with mixing, in the following order: niacinamide, riboflavin-5'-phosphate sodium, methylparaben USP, benzoic acid, and saccharin sodium.
- Continue mixing, heat to 95°C to 100°C, and hold to completely dissolve the ingredients.
- Add in calcium chloride portions, and stir until complete solution is obtained.
- Continue mixing, and cool to 70°C to 75°C.

10. Add ferrous gluconate with mixing, and dissolve at 70°C to 75°C.
11. Check for the absence of undissolved material.
12. Check volume, if necessary. Replace lost purified water by heating with additional previously boiled purified water, QS to 750 mL.
13. Cool with mixing to room temperature (25–30°C) while bubbling CO₂ gas through.
14. Continue the CO₂ gas bubbling for balance of the process.
15. Add and dissolve each ingredient in this order: thiamine hydrochloride, pyridoxine hydrochloride, and ascorbic acid.
16. Dissolve oil orange in ethyl alcohol and add to mixture with stirring.
17. Heat polysorbate 80 to 50°C to 60°C, and hold for approximately 10 minutes with slow mixing.
18. Add and dissolve butylated hydroxyanisole.
19. Mix slowly and saturate with CO₂ while cooling to 25°C to 30°C.
20. Add and dissolve viosterol in corn oil and vitamin A palmitate, mixing well and continuing CO₂ gas bubbling.
21. Add polysorbate solution to main batch, and mix thoroughly.
22. Rinse container with a portion of the main batch, and add.
23. Heat 50 mL purified water to 35°C to 40°C while bubbling CO₂ gas through.
24. Add the caramel color.
25. Mix well until uniform consistency is obtained.
26. Add to main batch.
27. Rinse container with a small quantity of purified water that has been previously saturated with CO₂ gas.
28. Add to the main batch.
29. Add purified water that has been previously saturated with CO₂ gas, QS to 1 L.
30. Filter without using a filter aid. Cycle to achieve clarity.
31. Maintain carbon dioxide cover.

VITAMIN B COMPLEX, VITAMIN A, VITAMIN C, VITAMIN D, AND MINERAL TABLETS

Bill of Materials			
Scale (mg/ tablet)	Item	Material Name	Qty/1000 Tablets (g)
61.00	1	Ascorbic acid (coated), EC	61.00
5.50	2	Calcium pantothenate	5.50
8.00 µg	3	Cyanocobalamin	0.008
4.00	4	Copper sulfate, 5H ₂ O	4.00
1.70	5	Magnesium oxide (heavy)	1.70
10.00	6	Nicotinamide	10.00
0.575	7	Pyridoxine hydrochloride	0.575
0.16	8	Potassium iodide	0.16
2.30	9	Riboflavin	2.30
3.25	10	Thiamine mononitrate	3.25
24.00	11	Vitamin A palmitate (250,000 IU/g)	24.00
4.80	12	Vitamin D ₃ powder (100,000 IU/g)	4.80
2.20	13	Zinc sulfate, 7H ₂ O	2.20
19.265	14	Lactose monohydrate	19.265
25.00	15	Cellulose (microcrystalline) (Avicel™ PH102)	25.00
3.00	16	Povidone (PVP K-90)	3.00
6.50	17	Cellulose (microcrystalline) (Avicel™ PH102)	6.50
7.00	18	Crospovidone (Kollidon® CL)	7.00
1.00	19	Colloidal silicon dioxide (Aerosil® 200)	1.00
0.75	20	Magnesium stearate	0.75
3.00	21	Microcrystalline cellulose (powder)	3.00
–	22	Alcohol (absolute)	18.46

MANUFACTURING DIRECTIONS

1. Dissolve item 16 in item 22 using a stirrer.
2. Dissolve item 3 while stirring to obtain a clear solution.
3. Press items 2, 6, 7, 9, 10, 14, and 15 through a 500 µm stainless steel sieve in a sifter.
4. Load into mixer, and mix for 5 minutes at high speed.

5. Knead the dry powder with binding solution while mixing at high speed for 3 minutes.
6. After the addition is complete, scrape the sides and blades.
7. Mix for an additional 2 minutes using a mixer and chopper at high speed. Check the end point of granulation.
8. (The end point occurs when the granulation consists of few or no lumps.) If required, add an additional quantity of item 22, and record this extra quantity of item 22.
9. Unload the wet granules in stainless steel trays for drying.
10. Transfer the trays to an oven.
11. Keep the door partially open.
12. Switch on the oven, with air circulation, heater switched off, for 2 hours to evaporate alcohol.
13. Close the door of the oven.
14. Dry the granules at 55°C for 12 hours.
15. After 4 hours of drying, scrape the semidried granules to break up the lumps to promote uniform drying.
16. Check the LOD (limit: 0.8–1.2%).
17. If required, dry further at 55°C for 2 hours.
18. Check the LOD.
19. Grind the dried granules through a 1.25 mm sieve using a granulator set at medium speed.
20. Load granules into the blender.
21. Mix items 4 and 13 and 3.08 g of item 17 in a polyethylene bag.
22. Mill through a Fitz mill using sieve number 1530–0030 (knives forward, medium speed).
23. Collect in stainless steel drum.
24. Add to blender.
25. Sift items 1, 11, and 12 through a 630 μm sieve.
26. Add to blender.
27. Sift items 5, 8, 18, 19, and 21 and 3.42 g of item 17 through a 500 μm sieve.
28. Add to blender.
29. Mix for 5 minutes.
30. Sift item 20 through a 250 μm sieve.
31. Mix a portion of the powder mix (approximately 3.85 g) with sieved item 20.
32. Add to the blender.
33. Mix for 1 minute.
34. Compress 185 mg per tablet using 7 mm round, concave punches.
35. Coat using a subcoat, a color coat, and a finishing coat (see Appendix).

VITAMIN B COMPLEX, VITAMIN A, VITAMIN C, VITAMIN D, AND VITAMIN E PEDIATRIC DROPS

Bill of Materials			
Scale (mg/ mL)	Item	Material Name	Qty/L (g)
8333 IU	1	Vitamin A palmitate (1.7 M IU/g) (50% excess)	7.35
666 IU	2	Vitamin D (40 M IU/g) (Cholecalciferol)	0.021
75.00	3	Polysorbate 80 (Tween 80)	75.00
0.005	4	Lemon oil terpeneless	0.050
0.88	5	Vitamin E (oily) (alpha-tocopheryl acetate)	0.88
0.50	6	Edetate disodium (sodium EDTA)	0.50
83.33	7	Ascorbic acid (30% excess)	108.33
1.00	8	Saccharin sodium	1.00
2.50	9	Thiamine hydrochloride (50% excess)	3.75
16.66	10	Nicotinamide (5% excess)	17.50
0.833	11	Pyridoxine hydrochloride (5.6% excess)	0.88
2.00	12	Riboflavin sodium phosphate (7.9% excess as riboflavin)	2.16
700.00	13	Glycerin (glycerol)	700.00
250.00	14	Purified water	250.00

MANUFACTURING DIRECTIONS

1. This product is a microemulsion and is a thermolabile preparation.
2. The temperature of the solution must not exceed 25°C at the time of processing.
3. Add 200 g of purified water to the manufacturing vessel.
4. Bubble nitrogen gas during all stages of the process.
5. Charge items 6 to 12 one by one into the manufacturing vessel while mixing.
6. Check that all materials are dissolved completely.
7. Load item 13 into the manufacturing vessel while mixing at slow speed.
8. Mix for 5 minutes.
9. Add item 3 in a separate stainless steel container.
10. Mix items 1, 2, 4, and 5 one by one using stirrer.
11. Mix for 1 hour at slow speed.
12. Add oil-phase preparation to the aqueous phase at a rate of 4 mL/min while mixing at slow speed, and continue nitrogen gas bubbling throughout the process.

13. Rinse the oil-phase container with 50 g of nitrogen-bubbled and cooled purified water, and transfer the rinsings to the manufacturing vessel.
14. Adjust the volume to 1 L using nitrogen-bubbled purified water.
15. Mix for 15 minutes at slow speed.
16. Check and record the volume and pH (limit: pH 2.8–4.2).
17. Filter the solution through a Sartorius prefilter and 0.2 μm membrane filter into receiving tank.
18. Bubble with nitrogen gas for 15 minutes.

VITAMIN B COMPLEX, VITAMIN C, AND CALCIUM EFFERVESCENT TABLETS

Bill of Materials			
Scale (mg/ tablet)	Item	Material Name	Qty/1000 Tablets (g)
7.00	1	Thiamine mononitrate	7.00
5.00	2	Riboflavin	5.00
25.00	3	Nicotinamide	25.00
20.00	4	Pyridoxine hydrochloride	20.00
12.00	5	Calcium D-pantothenate	12.00
75.00	6	Calcium carbonate	75.00
164.00	7	Calcium glycerophosphate	164.00
400.00	8	Sodium bicarbonate	400.00
300.00	9	Tartaric acid (powder)	300.00
400.00	10	Sucrose (crystalline)	400.00
350.00	11	Sucrose (powder)	350.00
50.00	12	Kollidon® 30	50.00
10.00	13	Kollidon® 30	10.00
QS	14	Isopropanol	QS
550.00	15	Ascorbic acid (powder)	550.00
2.00	16	Riboflavin	2.00
5.00	17	Cyanocobalamin (gelatin-coated, 0.1%)	5.00
40.00	18	PEG-6000 (powder)	40.00
50.00	19	Kollidon® CL	50.00

MANUFACTURING DIRECTIONS

1. Granulate mixture of items 1 to 12 with solution of item 19.
2. Granulate items 13 to 18 separately, dry at 60°C with vacuum, mix with item 1, and blend.
3. Compress 2.5 g using 20 mm planar punches at medium to high compression force.

VITAMIN B COMPLEX, VITAMIN C, AND FERROUS SULFATE TABLETS

Bill of Materials			
Scale (mg/ tablet)	Item	Material Name	Qty/1000 Tablets (g)
300.00	1	Ferrous sulfate	300.00
15.00	2	Kollidon® 30	15.00
6.00	3	Kollidon® 30	6.00
QS	4	2-Propanol	QS
45.00	5	Thiamine mononitrate	45.00
10.00	6	Riboflavin	10.00
82.00	7	Pyridoxine hydrochloride	82.00
69.00	8	Nicotinamide	69.00
470.00	9	Ascorbic acid (powder)	470.00
690.00	10	Ludipress®	690.00
50.00	11	PEG-6000 (powder)	50.00
9.00	12	Aerosil® 200	9.00

MANUFACTURING DIRECTIONS

1. Granulate the mixture of items 1 to 2 with solution of items 5 to 12.
2. Pass through a 0.8 mm sieve.
3. Mix with items 3 and 4.
4. Compress with high compressive force 25 to 30 kN. Compress 1750 mg in 20 mm biplanar punches.

VITAMIN B COMPLEX, VITAMIN C, AND IRON SYRUP

Bill of Materials			
Scale (mg/ mL)	Item	Material Name	Qty/L (g)
QS	1	Glucose (liquid), NF	QS to 1 L
225.00	2	Purified water, USP	225.00
0.30	3	Methylparaben	0.30
1.00	4	Acid benzoic, USP	1.00
5.00	5	Alcohol (ethanol; 190 proof, nonbeverage), USP	5.00
10.00	6	Nicotinamide niacinamide (white powder), USP	10.00
10.00	7	Riboflavin; use riboflavin 5-phosphate sodium	10.00
2.00	8	Pyridoxine hydrochloride, USP	2.00
20.00	9	Ascorbic acid (white powder), USP	28.00
0.03	10	Dye	0.03
0.02	11	Dye	0.02
2.00	12	Thiamine hydrochloride (powder, regular), USP	2.40
2.00	13	D-Pantothenyl alcohol	2.50
2.00 µg	14	Vitamin B ₁₂ (cyanocobalamin, USP)	3.40 mg
200.00	15	Sucrose, NF	200.00
0.028 mL	16	Flavor	2.80 mL
QS	17	Hydrochloric acid	2.00 mL
QS	18	Carbon dioxide gas	QS

MANUFACTURING DIRECTIONS

1. This preparation is susceptible to oxidation and must be protected from air and sunlight at all times.
2. Carbon dioxide must be used extensively to prevent oxygen from reacting with the materials.
3. All purified water must be boiled prior to use for 10 minutes and cooled under CO₂ protection.
4. Charge 100 mL of purified water into a suitably sized stainless steel tank.
5. Add the riboflavin, nicotinamide, benzoic acid, and paraben.
6. Rinse the tank down with 10 mL purified water, seal, and heat with mixing to 95°C.
7. Continue mixing and heating for 15 minutes until solution is complete.
8. Commence cooling with continuous mixing.
9. When the solution has cooled to 50°C to 70°C, add and dissolve the sugar.
10. Commence CO₂ protection when the temperature reaches 40°C.
11. Slurry the ascorbic acid in 75 or 110 mL of CO₂-saturated purified water (use the smaller quantity only if using a total of 225 mL water), and add to bulk solution when temperature has reached 25°C to 35°C.

12. Rinse the ascorbic acid vessel with 10 mL purified water, and add rinsings to bulk.
13. Mix for at least 30 minutes.
14. Dissolve thiamine and pyridoxine in 20 mL CO₂-saturated purified water, and add to bulk solution at 25°C to 35°C.
15. Add 10 mL CO₂-saturated purified water to the D-pantothenyl alcohol, and warm on a water bath until solution is complete.
16. Add vitamin B₁₂, and mix until dissolved.
17. Add and dissolve dyes.
18. Add this solution to the bulk solution, and mix thoroughly.
19. Mix flavor with 95% of alcohol, and add to the bulk solution.
20. Rinse the container with the remaining alcohol, and add to the bulk with vigorous agitation.
21. Check pH (range: 3.0–3.3).
22. Use hydrochloric acid to adjust if necessary.
23. Adjust the final volume with liquid glucose.
24. Filter through suitable medium until clear and bright.

VITAMIN B COMPLEX, VITAMIN C, AND IRON SYRUP

Bill of Materials			
Scale (mg/ 5 mL)	Item	Material Name	Qty/L (g)
QS	1	Sorbitol solution, USP	QS to 1 L
QS	2	Purified water, USP	225.00
0.20	3	Methylparaben	0.20
0.020	4	Propylparaben, NF	0.02
2.00	5	Nicotinamide niacinamide (white powder), USP	10.00
10.00	6	Riboflavin; use riboflavin 5-phosphate sodium, with excess	10.64
10.00	7	Iron sulfate (ferrous sulfate; granular), USP	10.00
3.60	8	Saccharin sodium (powder), USP	3.60
2.00	9	Pyridoxine hydrochloride, USP	2.00
25.00	10	Ascorbic acid (white powder), USP, with excess	28.00
0.03	11	Dye	0.030
0.02	12	Dye	0.020
2.00	13	Thiamine hydrochloride (powder, regular), USP, with excess	2.40
2.00	14	D-Pantothenyl alcohol, with excess	2.50
2.0 µg	15	Vitamin B ₁₂ cyanocobalamin, USP, with excess	3.40 mg
1.00	16	Flavor	1.00
10.00	17	Propylene glycol, USP	10.00
QS	18	Hydrochloric acid	2.00 mL
–	19	HyFlo filter aid	1.00
QS	20	Carbon dioxide gas	QS

MANUFACTURING DIRECTIONS

1. This preparation is susceptible to oxidation and must be protected from air and sunlight at all times.
2. Carbon dioxide must be used extensively to prevent oxygen from reacting with the materials.
3. All purified water must be boiled prior to use for 10 minutes and cooled under CO₂ protection.
4. Charge 950 g of sorbitol solution into a jacketed stainless steel tank, and heat to 95°C to 100°C.
5. Heat 250 mL of purified water to boiling for 10 minutes, and bubble CO₂ into it while cooling to room temperature.
6. Add, with stirring, the parabens, niacinamide, and riboflavin 5-phosphate sodium.
7. Rinse the container with 5 mL of water.
8. Stir well.
9. Mix until solution is obtained, and check the clarity.
10. Remove the source of heat from the vessel.
11. Thoroughly deoxygenate the liquid by bubbling CO₂ through the liquid, and allow to cool to 50°C to 60°C.
12. Heat 15 mL of water to 70°C, saturate with CO₂, and dissolve saccharin sodium (item 8) and pyridoxine hydrochloride in 5 mL of water. Add to the main bulk.
13. Rinse the container with 2.5 mL of water.
14. Cool the solution to 30°C with CO₂ protection.
15. Dissolve ascorbic acid in 120 mL of water.
16. Rinse the container with 5 mL of water.
17. Dissolve dyes in 3 mL of water.
18. Rinse the container with 2 mL of water.
19. Mix dye solution with ascorbic acid solution.
20. Add this to the main bulk with stirring.
21. Dissolve thiamine in 30 mL of water, and add to the main bulk.
22. Rinse the container with 2.5 mL of water.
23. Add 10 mL of water to the D-pantothenyl alcohol, and warm up on a water bath until in solution.
24. Add this mixture to the main bulk.
25. Rinse the container with 2.5 mL of water.
26. Dissolve vitamin B₁₂ in 12.5 mL of water, and add to the main bulk.
27. Rinse the container with 2.5 mL of water.
28. Mix flavor with 7.5 g of propylene glycol until mixture is homogeneous, and add to the main bulk.
29. Rinse the container with 2.5 g of propylene glycol, and add to the main bulk with vigorous agitation.
30. Check pH (range: 3.0–3.3).
31. Use hydrochloric acid to adjust if necessary.
32. Adjust the volume of the product with sorbitol solution, and mix for 30 minutes to ensure homogeneity.
33. Add the HyFlo filter aid, and mix.
34. Filter the liquid through a filter press previously washed in purified water.
35. Transfer the clear filtrate into a clean, closed vessel.
36. Mix for 15 minutes while bubbling CO₂ gas.

VITAMIN B COMPLEX, VITAMIN C, AND VITAMIN E TABLETS

Bill of Materials			
Scale (mg/ tablet)	Item	Material Name	Qty/1000 Tablets (g)
100.00	1	Niacinamide, (white powder), USP	100.00
750.00	2	Ascorbic acid; use sodium ascorbate (microcrystalline), USP	843.65
30.00	3	Calcium pantothenate, USP	30.00
10.00	4	Riboflavin, USP	10.00
5.00	5	Pyridoxine hydrochloride, USP	5.25
40.00	6	Povidone, USP	40.00
68.00	7	Anhydrous isopropyl alcohol	68.00
15.00	8	Thiamine mononitrate (powder), USP	15.75
24.79	9	Vitamin E, USP, D,L-alpha-tocopheryl acid succinate	33.71
150.00 µg	10	Folic acid (powder), USP	0.18
5.00	11	Magnesium stearate	5.00
40.00	12	Cellulose (microcrystalline), NF	40.00
4.00 µg	13	Vitamin B ₁₂ ; use cyanocobalamin powder in gelatin (1000 µg/g)	4.20

MANUFACTURING DIRECTIONS

1. Avoid unnecessary exposure to light and moisture.
2. Mill the nicotinamide and the sodium ascorbate through a 600 µm screen fitted to a Fitz mill or similar (impact forward, high speed).
3. Load into a suitable mass mixer.
4. Load calcium pantothenate, riboflavin, and pyridoxine hydrochloride into the mass mixer.
5. Dry blend for 5 minutes.
6. Dissolve povidone in alcohol (approximately 84 mL) in a separate container.
7. While mixing the blended powders, add the povidone solution.
8. Continue to mix until a satisfactory granule mass is obtained.
9. If required, use additional alcohol.
10. Granulate through a Fitz mill, or similar, using a 5/8 in. band (15.88 mm aperture or similar) or a 4.76 mm screen with knives forward at slow speed.
11. Dry the granulation at 49°C to less than 1.5% LOD.
12. Sift the dry granulation through a 1.19 mm screen.
13. Pass remaining coarse granules through a No. 2 band (1.59 mm aperture or similar) using a Fitz mill or similar (knives forward, medium speed).
14. Blend together the thiamine mononitrate, vitamin E, folic acid, magnesium stearate, and a portion of the microcrystalline cellulose.
15. Mill blended powders through a 600 µm screen (impact forward, high speed).
16. Care must be taken to prevent losses.

17. Load half of the base granulation, the balance of the microcrystalline cellulose, and the powder blend into a suitable blender.
18. Blend for 5 minutes.
19. Add balance of base granulation, and blend for 15 minutes.
20. Do not mill cyanocobalamin.
21. Blend together by hand the cyanocobalamin with a portion of the blended powders.
22. Return to the blender, and blend for 15 minutes.
23. Compress using ovaloid-shaped punches.
24. Seal tablets with a subcoat, and then apply color coat and finishing coating.

VITAMIN C AND CALCIUM CARBONATE EFFERVESCENT TABLETS

Bill of Materials

Scale (mg/ tablet)	Item	Material Name	Qty/1000 Tablets (g)
300.00	1	Calcium; use calcium carbonate	315.00
450.00	2	Sodium bicarbonate/tartaric acid (powder)	450.00
600.00	3	Kollidon® 30	600.00
35.00	4	Kollidon® 30	35.00
200.00	5	Isopropanol	200.00
400.00	6	Sucrose (crystalline)	400.00
500.00	7	Ascorbic acid (crystalline, with excess)	550.00
120.00	8	Kollidon® CL	120.00
60.00	9	PEG-6000 (powder)	60.00

MANUFACTURING DIRECTIONS

1. Granulate mixture of items 1 to 3 with a solution of items 4 and 5, mix with item 6, and dry.
2. Add items 7 to 9, and press with high compressive force at a maximum atmospheric RH of 30%.
3. Compress 2500 mg in 20 mm biplanar punches.

VITAMIN C AND VITAMIN E LOZENGES

Bill of Materials

Scale (mg/ lozenge)	Item	Material Name	Qty/1000 Lozenges (g)
100.00	1	Ascorbic acid (crystalline)	100.00
50.00	2	Vitamin E acetate (dry powder, SD 50)	100.00
400.00	3	Dextrose	400.00
4.00	4	Kollidon® 90 F	4.00
25.00	5	Isopropanol	25.00
6.00	6	PEG-6000 (powder)	6.00

MANUFACTURING DIRECTIONS

1. Granulate mixture of items 1 to 4 with isopropanol, dry, pass through a 0.8 mm sieve, mix with item 6, and press with high compression force.
2. Compress 600 mg using 12 mm biplanar punches.

VITAMIN C CHEWABLE TABLETS

Bill of Materials

Scale (mg/ tablet)	Item	Material Name	Qty/1000 Tablets (g)
500.00	1	Ascorbic acid: 222.20 mg ascorbic acid and 312.50 mg sodium ascorbate microcrystalline	500.00
850.00	2	Sorbitol (granular)	850.00
100.00	3	Lactose (120 mesh)	100.00
3.30	4	FD&C Yellow dye No. 5 lake	3.30
82.90	5	Cellulose (microcrystalline), NF (Avicel™ PH101)	82.90
11.60	6	Silica gel	11.60
8.29	7	Flavor	8.29
0.50	8	Flavor	0.50
8.29	9	Sodium cyclamate	8.29
33.20	10	Magnesium stearate	33.20

MANUFACTURING DIRECTIONS

1. Pass the ascorbic acid, sodium ascorbate, sorbitol, lactose, FD&C Yellow dye, microcrystalline cellulose, silica gel, flavors, and sodium cyclamate through a 420 µm screen.
2. Using a comminuting mill, pass the coarse granules through a 420 µm screen (knives forward, medium speed).
3. Transfer milled materials to a suitable blender, and blend for 5 minutes.
4. Screen the magnesium stearate by hand through an 840 µm screen, and transfer to blender.
5. Mix for 1 minute.
6. Compress using 18 mm standard concave punches.

VITAMIN C CHEWABLE TABLETS

Bill of Materials			
Scale (mg/g)	Item	Material Name	Qty/kg (g)
422.00	1	Ascorbic acid (powder)	422.00
283.00	2	Microcrystalline cellulose	283.00
130.00	3	Sucrose (powder)	130.00
80.00	4	Sucrose (crystalline)	80.00
24.00	5	Kollidon® VA 64	24.00
24.00	6	Cyclamate sodium	24.00
20.00	7	PEG-6000 (powder)	20.00
12.00	8	Orange flavor and strawberry flavor	12.00
2.00	9	Aerosil® 200	2.00
1.00	10	Saccharin sodium	1.00

MANUFACTURING DIRECTIONS

1. Mix all components, pass through a 0.8 mm sieve, and press into tablets with medium to high compression force.
2. Compress 250 mg (for 100 mg strength), 1250 mg (for 500 mg strength), or 2500 mg (for 500 mg strength).

VITAMIN C CHEWABLE TABLETS

Bill of Materials			
Scale (mg/tablet)	Item	Material Name	Qty/1000 Tablets (g)
500.00	1	Ascorbic acid (crystalline)	500.00
1100.00	2	Sorbitol (crystalline)	1100.00
200.00	3	Sucrose (crystalline)	200.00
200.00	4	Sucrose (powder)	200.00
300.00	5	Dextrose	30.00
100.00	6	PEG-6000 (powder)	100.00
10.00	7	Magnesium stearate	10.00
10.00	8	Aerosil® 200	10.00
1.00	9	Saccharin sodium	1.00
10.00	10	Cyclamate sodium	10.00
30.00	11	Orange flavor	30.00

MANUFACTURING DIRECTIONS

1. Pass all components through a 0.8 mm sieve, mix, and press with medium to high compression force.
2. Compress 2080 mg using 20 mm biplanar punches.

VITAMIN C CHEWABLE TABLETS

Bill of Materials			
Scale (mg/tablet)	Item	Material Name	Qty/1000 Tablets (g)
100.00	1	Ascorbic acid (crystalline)	100.00
450.00	2	Sodium ascorbate (crystalline)	450.00
264.00	3	Sorbitol (crystalline)	264.00
200.00	4	Sucrose (crystalline)	200.00
200.00	5	Sucrose (powder)	200.00
300.00	6	Dextrose	300.00
60.00	7	PEG-6000 (powder)	60.00
3.00	8	Magnesium stearate	3.00
4.00	9	Aerosil® 200	4.00
1.00	10	Saccharin sodium	1.00
10.00	11	Cyclamate sodium	10.00
20.00	12	Orange flavor	20.00

MANUFACTURING DIRECTIONS

1. Pass all components through a 0.8 mm sieve, mix, and press with medium to high compression force.
2. Compress 1295 mg using 16 mm biplanar punches.

VITAMIN C CHEWABLE TABLETS

Bill of Materials			
Scale (mg/tablet)	Item	Material Name	Qty/1000 Tablets (g)
6.70	1	Anhydrous silica (colloidal) (Aerosil® 200)	6.70
40.00	2	Cellulose (microcrystalline) (Avicel™ PH101)	40.00
6.50	3	Aspartame	6.50
170.00	4	Ascorbic acid (coated), EC	170.00
10.50	5	Orange flavor (dry)	10.50
13.00	6	Carmellose sodium (sodium CMC 7 MFD)	13.00
2.80	7	Orange dye	2.80
470.00	8	Dextrates, NF	470.00
19.50	9	Magnesium stearate	19.50
13.00	10	Stearic acid (fine powder)	13.00
160.00	11	Sorbitol (powder)	160.00
388.00	12	Sodium ascorbate (granular)	388.00

MANUFACTURING DIRECTIONS

1. Processing should be done in a controlled temperature and humidity area (limit: RH, 40–50%; temperature, 20–25°C).
2. Mix items 2 and 7 in a polyethylene bag for 1 to 2 minutes.
3. Sift twice through a 250 µm sieve.

- Collect in a polyethylene bag, and check the uniformity of dispersion.
- If required, sift again.
- Mix items 3, 5, and 6 in a polyethylene bag for 1 to 2 minutes.
- Sift once through a 250 μm sieve.
- Add to the first step, and mix for 1 to 2 minutes.
- Sift items 4, 8, 11, and 12 once through a 1000 μm sieve, and collect in a stainless steel drum.
- Add the sieved materials from the preceding steps to the stainless steel drum.
- Mix in a drum blender for 2 to 3 minutes.
- Mix items 1, 9, and 10 in a polyethylene bag for 1 to 2 minutes.
- Sift twice through a 500 μm sieve.
- Add 25 to 30 g of granules to the lubricant mixture.
- Mix for 1 to 2 minutes.
- Add this mixture to the granules.
- Mix in a drum blender for 1 minute.
- Check the moisture content (limit: moisture content NMT 3.5%).
- Check temperature and humidity before beginning compression (limit: RH, 40–50%; temperature, 20–25°C).
- Compress 1300 mg per tablet using 16 mm punches.
- Fill appropriate amounts for lower strength (e.g., 100 mg tablets in 10 mm punches).

VITAMIN C CHEWABLE TABLETS WITH DEXTROSE

Bill of Materials

Scale (mg/tablet)	Item	Material Name	Qty/1000 Tablets (g)
100.00	1	Ascorbic acid (crystalline); use ascorbic acid (coated, 97.5%), EC	110.00
500.00	2	Dextrose	500.00
4.00	3	Kollidon® 90 F	4.00
30.00–50.00	4	Water and/or isopropanol	30.00–50.00
6.00	5	PEG-6000 (powder)	6.00

MANUFACTURING DIRECTIONS

- Granulate mixture of items 1 and 2 with solution of items 4 and 5 (in a fluidized bed), sieve, add item 3, and press with high compression force.
- Compress 620 mg in 12 mm biplanar punches.
- If no fluidized bed is available, the use of water as a granulation solvent should be avoided.
- The use of coated ascorbic acid does not increase the stability.

VITAMIN C CHEWABLE TABLETS WITH FRUCTOSE

Bill of Materials

Scale (mg/tablet)	Item	Material Name	Qty/1000 Tablets (g)
120.00	1	Ascorbic acid (powder)	120.00
500.00	2	Fructose	500.00
200.00	3	Ludipress®	200.00
100.00	4	Avicel™ PH101	100.00
15.00	5	Kollidon® VA 64	15.00
4.00	6	Aerosil® 200	4.00
35.00	7	PEG-6000 (powder)	35.00

MANUFACTURING DIRECTIONS

- Pass all components through a 0.8 mm sieve, mix, and press with high compression force.
- Compress 970 mg in 12 mm biplanar punches.

VITAMIN C CHEWABLE TABLETS WITH SUCROSE

Bill of Materials

Scale (mg/tablet)	Item	Material Name	Qty/1000 Tablets (g)
500.00	1	Ascorbic acid	500.00
850.00	2	Sucrose, crystalline	850.00
575.00	3	Avicel™ PH101	575.00
60.00	4	Kollidon® VA 64	60.00
15.00	5	Magnesium stearate	15.00

MANUFACTURING DIRECTIONS

- Pass all components through a 0.8 mm sieve, mix, and press with medium compression force.
- Compress 2000 mg in 20 mm biplanar punches.

VITAMIN C DROPS

Bill of Materials

Scale (mg/mL)	Item	Material Name	Quantity/L (g)
100.00	1	Ascorbic acid (white powder), USP	100.00
979.00	2	Propylene glycol, USP	979.00

MANUFACTURING DIRECTIONS

1. Keep under CO₂ protection at all times. Avoid contact with iron. Use stainless steel or glass-lined equipment only.
2. Load 868 g propylene glycol into a glass-lined or suitable stainless steel jacketed tank.
3. While mixing, heat to 70°C to 80°C.
4. Bubble CO₂ gas into the propylene glycol from the bottom of the tank.
5. Add and dissolve the ascorbic acid into the propylene glycol with a minimum of stirring under CO₂ protection.
6. When the ascorbic acid is in solution, immediately cool to approximately 25°C while continuing to mix.
7. Also, while cooling, change adding CO₂ from the bottom of the tank to adding it at the top of the tank.
8. QS to 1 L, using propylene glycol, and mix for at least 10 minutes.
9. Use a prefilter pad and a lint-free filter paper. Recirculate the product through the filter press until sparkling clear.

VITAMIN C EFFERVESCENT TABLETS

Bill of Materials			
Scale (mg/ tablet)	Item	Material Name	Qty/1000 Tablets (g)
1000.00	1	Vitamin C (as ascorbic acid)	1000.00
800.00	2	Tartaric acid (fine crystals)	800.00
1000.00	3	Sodium bicarbonate	1000.00
0.50	4	Riboflavin	0.50
20.00	5	Saccharin sodium	20.00
20.00	6	Sodium chloride (milled)	20.00
50.00	7	Lime flavor	50.00
1709.50	8	Sugar (fine crystals)	1709.50
QS	9	Alcohol	QS

MANUFACTURING DIRECTIONS

1. All operations must be carried out at a RH of less than 40% at 25°C.
2. Active substance granulates: If saccharin sodium is lumpy, sieve it by means of a centrifugal granulator (1 mm) or a 3 mm band sieve.
3. Suck into the mixer the entire amount of sugar, ascorbic acid, tartaric acid, and saccharin sodium (previously sieved, if required), together with first part sieved sodium bicarbonate (open filter, closed bypass; jacket temperature of 40°C); backflash filter twice, evacuate to approximately 800 mbar, and close filter.
4. Mix with mixer for approximately 10 minutes (jacket temperature 40°C) at a speed of 50 rpm.

5. Turn off the mixer, and evacuate to 10 mbar (open filter, closed bypass; jacket temperature of 40°C).
6. Separately dissolve or suspend riboflavin in alcohol.
7. Suck this granulating liquid into the evacuated vessel at a mixer speed of 30 rpm (closed filter, closed bypass; jacket temperature of 40°C).
8. With jacket heating turned off, granulate up to a product temperature of 60°C at a mixer speed of 110 rpm (time required is approximately 20–25 minutes).
9. At a jacket temperature of 56°C and a mixer rotation speed of approximately 15 rpm, dry for 2 to 5 minutes (closed filter, open bypass).
10. When dust develops in the course of further drying, close the bypass and open the filter.
11. At a mixer speed of 20 rpm and interval setting (2 min/15 s), continue the drying at a jacket temperature of approximately 58°C and vacuum of 10 mbar until a total drying time of 10 to 20 minutes is reached.
12. Sieve the active substance granulate by sucking it by means of vacuum at a jacket temperature of approximately 59°C and a mixer speed of 20 rpm through a Buehler universal mill (1.5 mm screen) directly into a suitable container.
13. Preferable RH of the active substance is less than 10%.
14. Sieve milled sodium chloride and lime flavor through a round hand sieve (1 mm) with a diameter of approximately 38 cm. Add to sieved sodium carbonate (second part) in a mixing drum, and mix (e.g., tumble mix, 19 rpm for 10 minutes).
15. Combine this dry mix (sucked by vacuum) with the active substance granulate.
16. Finally, add the remaining sieved and lump-free sodium bicarbonate (third part).
17. Mix the mixture that is ready for compression for 45 minutes.
18. The preferable RH of the mixture is less than 20%.
19. In a suitable rotary tablet press, compress effervescent tablets with a weight of 4600 mg and a hardness of 8 kpi.

VITAMIN C EFFERVESCENT TABLETS

Bill of Materials			
Scale (mg/ tablet)	Item	Material Name	Qty/1000 Tablets (g)
100.00	1	Ascorbic acid (powder), with excess	112.00
200.00	2	Sorbitol (instant)	200.00
1000.00	3	Anhydrous citric acid	1000.00
587.00	4	Sodium bicarbonate	587.00
65.00	5	PEG-6000 (powder)	65.00
10.00	6	Lemon flavor	10.00
25.00	7	Cyclamate sodium	25.00
1.00	8	Saccharin sodium	1.00

MANUFACTURING DIRECTIONS

1. Dry the sodium bicarbonate for 1 hour at 100°C, mix with the other components, pass all through a 0.8 mm sieve, and press with high compression force at a maximum atmospheric RH of 30%.
2. Compress 2050 mg in 20 mm biplanar punches.

VITAMIN C EFFERVESCENT TABLETS

Bill of Materials			
Scale (mg/ tablet)	Item	Material Name	Qty/1000 Tablets (g)
1000.00	1	Ascorbic acid (crystalline)	1000.00
800.00	2	Sorbitol (crystalline)	800.00
150.00	3	Anhydrous citric acid	150.00
660.00	4	Sodium bicarbonate	660.00
80.00	5	PEG-6000 (powder)	80.00
QS	6	Lemon flavor	QS
QS	7	Cyclamate sodium	QS
QS	8	Saccharin sodium	QS

MANUFACTURING DIRECTIONS

1. Dry the sodium bicarbonate for 1 hour at 100°C, mix with the other components, pass all through a 0.8 mm sieve, and press with high compression force at a maximum atmospheric RH of 30%.
2. Compress 2690 mg in 20 mm biplanar punches.

VITAMIN C EFFERVESCENT TABLETS

Bill of Materials			
Scale (mg/ tablet)	Item	Material Name	Qty/1000 Tablets (g)
500.00	1	Sodium hydrogen carbonate	500.00
430.00	2	Tartaric acid	430.00
8.00	3	Kollidon® 25	8.00
0.20	4	2-Propanol	200.00 mg
550.00	5	Ascorbic acid (crystalline)	550.00
660.00	6	Sucrose	660.00
67.00	7	PEG-6000 (powder)	67.00
67.00	8	Dextrose (powder)	67.00
10.00	9	Orange flavor	10.00
1.00	10	Saccharin sodium	1.00

MANUFACTURING DIRECTIONS

1. Granulate mixture of items 1 and 2 with solution of items 2 and 3, pass through a 0.5 mm sieve, and dry at 60°C.
2. Dry mixture of items 5 and 6 at 60°C.
3. Mix together with the previous granules and with items 7 to 10.

4. At a maximum atmospheric RH of 30%, press to effervescent tablets.
5. Compress 2300 mg in 20 mm biplanar punches.

VITAMIN C TABLETS

Bill of Materials			
Scale (mg/ tablet)	Item	Material Name	Qty/1000 Tablets (g)
100.00	1	Ascorbic acid (coated), with excess	104.00
2.40	2	Anhydrous colloidal silica (Aerosil® 200)	2.40
60.00	3	Cellulose (microcrystalline) (AviceI™ PH102)	60.00
0.13	4	FD&C Yellow dye No.10 lake	0.13
37.00	5	Lactose (spray dried)	37.00
3.20	6	Glyceryl behenate (glyceryl monostearate)	3.20
2.40	7	Stearic acid (fine powder)	2.40
1.00	8	Magnesium stearate	1.00

MANUFACTURING DIRECTIONS

1. Processing should be done under controlled temperature and humidity (limit: RH, 40–50%; temperature, 20–25°C).
2. Mix items 5 and 4 in a polyethylene bag for 1 to 2 minutes.
3. Sift twice through a 630 µm sieve.
4. Collect in a polyethylene bag.
5. Check the uniformity of dispersion.
6. If required, sift again.
7. Sift item 3.
8. Sift mixture from first step and item 2 through a 630 µm sieve.
9. Load into a drum blender.
10. Sift item 4 through a 630 µm sieve.
11. Load into the mix in the drum blender.
12. Mix items 6, 7, and 8 in a polyethylene bag for 1 to 2 minutes.
13. Sift through a 250 µm sieve.
14. Collect in a polyethylene bag.
15. Add 13.33 g to 20.00 g of granules to the lubricant mixture.
16. Mix for 1 to 2 minutes.
17. Add this to the mix in a stainless steel drum blender.
18. Mix in a drum blender for 2 minutes.
19. Check the temperature and humidity before beginning compression (limit: RH, 40–45%; temperature, 20–25°C).
20. Compress 210 mg in 8 mm round concave punches.

VITAMIN C TABLETS

Bill of Materials			
Scale (mg/ tablet)	Item	Material Name	Qty/1000 Tablets (g)
100.00	1	Ascorbic acid (powder)	100.00
232.00	2	Ludipress®	232.00
1.00	3	Magnesium stearate	1.00

MANUFACTURING DIRECTIONS

1. Mix all components, sieve, and press into 335 mg tablets.
2. Compression force affects disintegration time.

VITAMIN C TABLETS

Bill of Materials			
Scale (mg/ tablet)	Item	Material Name	Qty/1000 Tablets (g)
200.00	1	Ascorbic acid (powder)	200.00
231.00– 256.00	2	Ludipress®	231.00– 256.00
25.00	3	Kollidon® VA 64	25.00
15.00	4	Kollidon® CL	15.00
1.20	5	Aerosil® 200	1.20
2.50	6	Magnesium stearate	2.50

MANUFACTURING DIRECTIONS

1. Mix all components, pass through a 0.8 mm screen, and press with medium compression force (18 kN).
2. Compress 499 mg in 12 mm biplanar punches.

VITAMIN E AND BENZOCAINE SOLUTION

Bill of Materials			
Scale (mg/ mL)	Item	Material Name	Qty/L (g)
50.00	1	Vitamin E acetate	50.00
20.00	2	Benzocaine	20.00
50.00	3	Lutrol F 127	50.00
250.00	4	Cremophor (RH, 40%)	250.00
2.00	5	Sorbic acid	2.00
628.00	6	Water	628.00

MANUFACTURING DIRECTIONS

1. Dissolve sorbic acid and benzocaine in water at 60°C, and slowly add the heated mixture of vitamin E acetate and Cremophor at an RH of 40% and temperature of 60°C to 65°C.

2. Cool the clear solution to approximately 5°C, and dissolve Lutrol F 127 to obtain a clear, colorless viscous liquid.

VITAMIN E CHEWABLE TABLETS

Bill of Materials			
Scale (mg/ tablet)	Item	Material Name	Qty/1000 Tablets (g)
100.00	1	Vitamin E acetate (SD 50)	200.00
493.00	2	Ludipress®	493.00
390.00	3	Sorbitol (crystalline)	390.00
100.00	4	Mannitol	100.00
400.00	5	Dicalcium phosphate (granulated with 5% Kollidon® 30)	400.00
7.00	6	Aerosil® 200	7.00
3.00	7	Magnesium stearate	3.00

MANUFACTURING DIRECTIONS

1. Mix all components, pass through a 0.8 mm screen, and press with high compression force.
2. Compress 711 mg in 12 mm biplanar punches.

VITAMIN E CHEWABLE TABLETS

Bill of Materials			
Scale (mg/ tablet)	Item	Material Name	Qty/1000 Tablets (g)
150.00	1	Vitamin E acetate (dry powder, 50%)	300.00
300.00	2	Sorbitol	300.00
6.00	3	Aerosil® 200	6.00
0.20	4	Saccharin sodium	0.20
6.00	5	Magnesium stearate	6.00

MANUFACTURING DIRECTIONS

1. Pass all components through a 0.8 mm sieve, mix, and press with high compression force.
2. Compress 620 mg in 12 mm biplanar punches.

VITAMIN E CHEWABLE TABLETS

Bill of Materials			
Scale (mg/ tablet)	Item	Material Name	Qty/1000 Tablets (g)
400.00	1	Vitamin E acetate (dry powder, SD 50)	800.00
790.00	2	Ludipress®	790.00
20.00	3	Aerosil® 200	20.00
QS	4	Flavors	QS

MANUFACTURING DIRECTIONS

1. Pass all components through a 0.5 mm sieve, mix, and press with high compression force.
2. Compress 1665 mg tablets in 20 mm biplanar punches.

VITAMIN E CONCENTRATE (WATER-MISCIBLE)**Bill of Materials**

Scale (mg/ mL)	Item	Material Name	Qty/L (g)
105.00	1	Vitamin E acetate	105.00
250.00	2	Cremophor (RH, 40%)	250.00
QS	3	Preservative	QS
QS	4	Water	QS to 1 L

MANUFACTURING DIRECTIONS

1. Heat the mixture of items 1 and 2 and solution of item 3 in item 4 separately to approximately 65°C.
2. Slowly add to the well-stirred solution to obtain a clear, colorless liquid that is miscible with water.

VITAMIN E DROPS**Bill of Materials**

Scale (mg/ mL)	Item	Material Name	Qty/L (g)
50.00	1	Vitamin E acetate	50.00
160.00	2	Cremophor (RH, 40%)	160.00
QS	3	Preservative	QS
QS	4	Water	QS to 1 L

MANUFACTURING DIRECTIONS

1. Heat mixture of items 1 and 2 and solution of item 3 in 4 to approximately 65°C.
2. Add them slowly to obtain a clear or lightly opalescent, colorless liquid.

VITAMIN E GEL CREAM**Bill of Materials**

Scale (mg/g)	Item	Material Name	Qty/kg (g)
100.00	1	Vitamin E acetate	100.00
150.00	2	Propylene glycol (pharma)	150.00
200.00	3	Lutrol F 127	200.00
550.00	4	Water	550.00

MANUFACTURING DIRECTIONS

1. Mix vitamin E acetate with propylene glycol, and add the water.
2. After cooling to approximately 6°C, slowly dissolve Lutrol F 127 in the well-stirred mixture.
3. Maintain cool until the air bubbles escape to obtain a turbid white gel at temperatures from 20°C to 50°C with viscosity at 25°C of approximately 120,000 mPa.

VITAMIN E SOFTGEL CAPSULES**Bill of Materials**

Scale (mg/ Capsule)	Item	Material Name	Qty/1000 Capsules (g)
400.00	1	Vitamin E preparation, USP	400.00
25.00	2	Soybean oil, USP	25.00
QS	3	Gelatin mass (clear)	QS

MANUFACTURING DIRECTIONS

1. Weigh items 1 and 2, and transfer into a suitable stainless steel container; mix for a minimum of 1 hour, screen, and transfer into tanks through a No. 80 to No. 100 mesh of stainless steel.
2. Encapsulate 425 mg of mixture into size 7.5 oval capsules using clear gelatin mass.

VITAMIN E SOLUTION WITH ETHANOL**Bill of Materials**

Scale (mg/ tablet)	Item	Material Name	Qty/L (g)
0.10	1	Vitamin E acetate	0.10
4.00–5.00	2	Cremophor, EL	4.00–5.00
570.00	3	Water	570.00
380.00	4	Ethanol (96%)	380.00

MANUFACTURING DIRECTIONS

1. Heat mixture of item 1 and 2 to approximately 60°C. Stir well.
2. Slowly add the warm solvent mixture of items 3 and 4 to obtain a clear, colorless liquid of low viscosity.

VITAMIN E TABLETS

Bill of Materials			
Scale (mg/ tablet)	Item	Material Name	Qty/1000 Tablets (g)
50.00	1	Vitamin E acetate (dry powder, SD 50)	100.00
140.00	2	Mannitol	140.00
140.00	3	Tabletose®	140.00
15.00	4	Kollidon® VA 64	15.00
2.00	5	Magnesium stearate	2.00
10.00	6	Aerosil® 200	10.00

MANUFACTURING DIRECTIONS

1. Pass all components through a 0.8 mm sieve, mix, and press with high compression force.
2. Compress 410 mg in 12 mm biplanar punches.

VITAMIN E TABLETS

Bill of Materials			
Scale (mg/ tablet)	Item	Material Name	Qty/1000 Tablets (g)
50.00	1	Vitamin E acetate (dry powder, SD 50)	100.00
300.00	2	Sorbitol (crystalline)	300.00
3.00	3	Magnesium stearate	3.00
3.00	4	Aerosil® 200	3.00

MANUFACTURING DIRECTIONS

1. Pass all components through a 0.8 mm sieve, mix, and press with high compression force.
2. Compress 413 mg in 12 mm biplanar punches.

ZINC OXIDE LOTION

Bill of Materials			
Scale (mg/g)	Item	Material Name	Qty/kg (g)
7.00	1	Magnesium aluminum silicate	7.00
641.00	2	Water	641.00
7.00	3	Unimulsc C	7.00
30.00	4	Propylene glycol	30.00
30.00	5	Eucalyptus oil	30.00
30.00	6	Lanolin oil	30.00
50.00	7	Dimethicone (350 cS)	50.00
50.00	8	Benzoate alcohol (C12–C15)	50.00
100.00	9	Polysorbate 80	100.00
50.00	10	Zinc oxide	50.00
10.00	11	Cornstarch	10.00
QS	12	Preservatives	QS

MANUFACTURING DIRECTIONS

1. Slowly add item 1 to the water, agitating with maximum shear until smooth.
2. Add items 3 and 4, mixing each time until uniform.
3. Mix items 5 to 10 until uniform, and mix with other portions until uniform.
4. Add items 11 and 12, and mix until smooth.

ZINC OXIDE OINTMENT

Bill of Materials			
Scale (mg/g)	Item	Material Name	Qty/kg (g)
120.00	1	Cetearyl alcohol, PEG-40 castor oil, and sodium cetearyl sulfate	120.00
180.00	2	Petrolatum	180.00
60.00	3	Oleoyl oleate	60.00
60.00	4	Mineral oil (light)	60.00
100.00	5	Zinc oxide	100.00
QS	6	Water	QS
10.00	7	Propylene glycol, diazolidinyl urea, methylparaben, and propylparaben	10.00

MANUFACTURING DIRECTIONS

1. Mix and heat items 1 to 5 to 70°C to 75°C.
2. Mix and heat items 6 and 7 to 70°C to 75°C.
3. While stirring, add second mixture to first mixture.
4. Begin cooling, and continue stirring until batch reaches 30°C; then, homogenize.

ZINC PYRITHIONE SHAMPOO

Bill of Materials			
Scale (mg/g)	Item	Material Name	Qty/1000 Tablets (g)
547.50	1	Deionized water	547.50
7.50	2	Hydroxyethylcellulose	7.50
347.00	3	TEA-lauryl sulfate	347.00
43.00	4	PEG-20 lanolin alcohol ether	43.00
20.00	5	Glycol stearate	20.00
15.00	6	Cocamide MEA	15.00
10.00	7	Zinc pyrithione (48%)	20.00
QS	8	Fragrance, preservative	QS

MANUFACTURING DIRECTIONS

1. Add item 2 to the water, and mix.
2. In a separate vessel, combine items 3 to 5, heat to 80°C, and mix.
3. Cool to 50°C.
4. Add items 6 and 7, and mix.
5. Add this mixture to mixture of item 2.
6. Cool to 40°C, and add item 8.

ZINC UNDECYLENATE CREAM

Bill of Materials			
Scale (mg/g)	Item	Material Name	Qty/kg (g)
7.50	1	Magnesium aluminum silicate	7.50
487.50	2	Deionized water	487.50
100.00	3	Sorbitol 70%	100.00
10.00	4	Polysorbate 80	10.00
200.00	5	Zinc undecylenate	200.00
50.00	6	Caprylic acid	50.00
30.00	7	C12–C15 benzoate alcohol	30.00
15.00	8	Polysorbate 80	15.00
20.00	9	C18–C36 acid	20.00
80.00	10	Glyceryl stearate and PEG-100 stearate	80.00
QS	11	Preservatives	QS

MANUFACTURING DIRECTIONS

1. Slowly add item 1 in the water, mixing with maximum available shear until smooth.
2. Add items 2 to 5 in order, mixing each until uniform.
3. Avoid incorporating air. Heat with stirring to 70°C to 75°C.
4. Heat items 6 to 10 separately to 70°C to 75°C, and add to the mixture with mixing while cooling. Fill at 45°C to 50°C.

ZIRCONIUM OXIDE LOTION

Bill of Materials			
Scale (mg/g)	Item	Material Name	Qty/kg (g)
15.00	1	Magnesium aluminum silicate	15.00
3.00	2	Carboxymethylcellulose sodium (medium viscosity)	3.00
796.50	3	Water	796.50
40.00	4	Zirconium oxide	40.00
50.00	5	Propylene glycol	50.00
80.00	6	Isopropyl alcohol	80.00
15.00	7	Benzocaine	15.00
0.50	8	Menthol	0.50
QS	9	Preservative	QS

MANUFACTURING DIRECTIONS

1. Dry blend items 1 and 2, and slowly add them to the water while agitating with maximum shear until smooth.
2. Add items 4 and 5 and then items 6 to 9. Mix.

PART III

Cosmetic Formulations



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Cosmetic Formulations

LIGHT LIP GLOSS

Ingredient	Content (w/w%)
Pentaerythrityl tetraistearate	37.99
Triisostearin	4.00
Diisostearyl malate	4.00
Glyceryl behenate/eicosadioate	3.00
Polyethylene terephthalate polymethyl methacrylate	1.00
C.i. 15985	0.01
Hydrogenated polyisobutene ^a	50.00
Total	100.00

^a Kinetic viscosity (98.9°C): 300 mm²/s

MANUFACTURING DIRECTIONS

1. Heat all ingredients to 80°C, and dissolve them.
2. Mix uniformly.
3. Pour into a mold, and cool to 25°C.

HEAVY LIP GLOSS

Ingredient	Content (w/w%)
Pentaerythrityl tetraistearate	28.00
Triisostearin	10.00
Diisostearyl malate	8.00
Glyceryl behenate/eicosadioate	2.00
Polyethylene terephthalate Polymethyl methacrylate	2.00
Hydrogenated polyisobutene ^a	50.00
Total	100.00

^a Kinetic viscosity (98.9°C): 800 mm²/s

MANUFACTURING DIRECTIONS

1. Manufacturing Directions Heat all ingredients to 80°C, and dissolve them.
2. Mix uniformly.
3. Pour into a mold, and cool to 25°C.

LIP CARE PASTE

	Ingredient	Content (w/w%)
A	Polyglyceryl-10 behenate/eicosadioate	4.00
	Glycerin	24.00
	Diglycerin	7.00
	Water	5.00
B	Glyceryl behenate/eicosadioate	3.00
	Diisostearyl malate	54.50
C	Pigment base	2.50
Total	100.00	

PIGMENT BASE : PENTAERYTHRITYL TETRAISOSTEARATE (60.0 w/w%), C.I. 45440 (32.0 w/w%), C.I.19140 (8.0 w/w%)

MANUFACTURING DIRECTIONS

1. Manufacturing Directions Heat A ingredients to 80°C, and dissolve.
2. Mix uniformly.
3. Heat B ingredients to 80°C, and dissolve.
4. Mix uniformly.
5. Add product of step 4 to product of step 2 while mixing by a dispersion mixer.
6. Cool to 60°C.
7. Add C ingredients slowly while mixing.
8. Cool to 25°C.

LIPSTICK, BASIC

Ingredient	Content (w/w%)
Polyglyceryl-2 triisostearate	36.00
Neopentylglycol dicaprato	16.00
Hydrogenated polydecene	6.00
Dipentaerythrityl hexahydroxystearate/ hexastearate/ hexarosinate	16.00
Cholesteryl hydroxystearate	2.00
Ceresin	7.00
<i>Euphorbia cerifera</i> (candelilla) wax	7.00
Pigment base ^a	10.00
Total	100.00

^a PIGMENT BASE: (DIISOSTEARYL MALATE) (50.0 w/w%), TITANIUM DIOXIDE (30.0 w/w%), C.I. 15850 (D&C Red No.6) (10.0 w/w%), C.I. 15850 (D&C Red No.7) (5.0 w/w%), MICA (5.0 w/w%)

MANUFACTURING DIRECTIONS

1. Manufacturing Directions Heat all ingredients to 90°C and dissolve them.
2. Disperse uniformly by a dispersion mixer.
3. Pour into a mold, and cool to 20°C rapidly.

LIPSTICK, LIGHT GLOSSY

Ingredient	Content (w/w%)
Dipentaerythrityl tripolyhydroxystearate	12.00
Polyglyceryl-2 tetraistearate	24.00
Diisostearyl malate	18.00
Hydrogenated polydecene	23.00
Ceresin	12.00
Polyethylene	1.00
Pigment base ^a	10.00
Total	100.00

^a PIGMENT BASE: (DIISOSTEARYL MALATE) (50.0 w/w%), TITANIUM DIOXIDE (30.0 w/w%), C.I. 15850 (D&C Red No.6) (10.0 w/w%), C.I. 15850 (D&C Red No.7) (5.0 w/w%), MICA (5.0 w/w%)

MANUFACTURING DIRECTIONS

1. Manufacturing Directions Heat all ingredients to 100°C, and dissolve them.
2. Disperse uniformly by a dispersion mixer.
3. Pour into a mold, and cool to 20°C rapidly.

LIPSTICK, CLEAR

Ingredient	Content (w/w%)
Triethylhexanoin	10.00
Hydrogenated polydecene	11.00
Diisostearyl malate	36.50
Cetyl ethylhexanoate	5.00
Dipentaerythrityl hexahydroxystearate/hexastearate/hexarosinate	12.00
Trehalose isostearate esters	5.00
<i>Euphorbia cerifera</i> (candelilla) wax	4.00
Paraffin	3.00
Beeswax	1.50
<i>Copernicia cerifera</i> (carnauba) wax	1.00
Pigment base ^a	6.00
Pigment mixture ^b	5.00
Total	100.00

^a PIGMENT BASE: (DIISOSTEARYL MALATE) (50.0 w/w%), TITANIUM DIOXIDE (30.0 w/w%), C.I. 15850 (D&C Red No. 6) (10.0 w/w%), C.I. 15850 (D&C Red No. 7) (5.0 w/w%), MICA (5.0 w/w%)

^b PIGMENT MIXTURE: MICA (46.5 wt/wt%), TITANIUM DIOXIDE (43.0 wt/wt%), IRON OXIDES (5.5 w/w%), ALUMINA (5.0 w/w%)

MANUFACTURING DIRECTIONS

1. Manufacturing Directions Heat all ingredients to 90°C, and dissolve them.
2. Disperse uniformly by a dispersion mixer.
3. Pour into a mold, and cool to 20°C rapidly.

LIPSTICK, SMOOTH

Ingredient	Content (w/w%)
Glyceryl tribehenate/isostearate/eicosandioate	6.00
Polyglyceryl-2 tetraistearate	12.00
Hydrogenated polydecene	33.00
Diisostearyl malate	26.00
Ceresin	11.00
Pigment base ^a	12.00
Total	100.00

^a PIGMENT BASE: (DIISOSTEARYL MALATE) (50.0 w/w%), TITANIUM DIOXIDE (30.0 w/w%), C.I. 15850 (D&C Red No. 6) (10.0 w/w%), C.I. 15850 (D&C Red No. 7) (5.0 w/w%), MICA (5.0 w/w%)

MANUFACTURING DIRECTIONS

1. Manufacturing Directions Heat all ingredients to 90°C, and dissolve them.
2. Disperse uniformly by a dispersion mixer.
3. Pour into a mold, and cool to 20°C rapidly.

LIPSTICK (MOISTURE-RICH LIPSTICK)

Ingredient	Content (w/w%)
Dipentaerythrityl tripolyhydroxystearate	5.00
Glycerin	1.00
Triethylhexanoin	15.00
Polyglyceryl-2 triisostearate	24.00
Diisostearyl malate	19.00
Dipentaerythrityl hexahydroxystearate/hexastearate/hexarosinate	10.00
Ceresin	4.80
<i>Euphorbia cerifera</i> (candelilla) wax	8.00
Microcrystalline wax	3.20
Pigment base ^a	10.00
Total	100.00

MANUFACTURING DIRECTIONS

1. Heat all ingredients to 90°C, and dissolve.
2. Disperse uniformly by a dispersion mixer.
3. Pour into a mold, and cool to 20°C rapidly.

BASE CREAM

	Ingredient	Content (w/w%)
A	Isotridecyl isononanoate	2.00
	Trehalose isostearate esters	1.00
	Cyclopentasiloxane	29.52
	Dimethicone/peg-10/15 cross polymer, Dimethicone	1.00
	Peg-10 dimethicone	4.00
	Titanium dioxide	3.00
	Iron oxides	0.23
	Vinyl dimethicone/methicone Silsesquioxane crosspolymer	10.00
	Polymethyl methacrylate	5.00
	Tocopherol	0.05
B	Ethanol	5.00
	Butylene glycol	3.00
	Sodium chloride	0.50
	Methylparaben	0.20
	Phenoxyethanol	0.50
	Water	35.00
	Total	100.00

MANUFACTURING DIRECTIONS

1. Dissolve A ingredients at 25°C, and disperse uniformly.
2. Dissolve B ingredients at 25°C, and mix uniformly.
3. Add product of step 2 slowly to product of step 1 while mixing by a dispersion mixer.

OIL-BASED FOUNDATION

Ingredient	Content (w/w%)
Hydrogenated polydecene	17.00
Ethylhexyl palmitate	9.50
Trehalose isostearate esters	1.00
Phenyl trimethicone	4.50
Dimethicone	14.75
Microcrystalline wax	2.00
Paraffin	6.00
Titanium dioxide	9.52
Iron oxides	4.08
Mica	21.50
Polymethyl methacrylate	10.00
Tocopherol	0.05
Butylparaben	0.05
Methylparaben	0.05
Total	100.00

MANUFACTURING DIRECTIONS

1. Heat all ingredients to 80°C, and dissolve.
2. Disperse uniformly.
3. Grind by a three-roll mill.
4. Heat to 80°C.
5. Pour into a mold.
6. Cool to 0°C.

SUNSCREEN (MOISTURE TYPE)

	Ingredient	Content (w/w%)
A	Diisostearyl malate	5.50
	Glyceryl tribehenate/isostearate/eicosandioate	3.00
	Cyclopentasiloxane	13.50
	Dimethicone ^a	9.00
	Peg-10 dimethicone	3.00
B	Titanium dioxide	9.00
	Butylene glycol	5.00
	Sodium chloride	1.00
	Methylparaben	0.20
	Water	50.80
Total	100.00	

^a Kinetic viscosity (25.0°C): 10 mm²/s

MANUFACTURING DIRECTIONS

1. Manufacturing Directions Heat A ingredients to 70°C, and dissolve.
2. Disperse uniformly.
3. Heat B ingredients to 70°C, and dissolve.
4. Mix uniformly.
5. Add product of step 4 slowly to product of step 2 while mixing by a dispersion mixer.
6. Cool to 30°C.

SUNSCREEN (FRESH TYPE)

	Ingredient	Content (w/w%)
A	Erythrityl triethylhexanoate	4.50
	Glyceryl tribehenate/isostearate/eicosandioate	3.00
	Cyclopentasiloxane	13.50
	Dimethicone ^a	9.00
	Peg-10 dimethicone	3.00
	Titanium dioxide	10.00
B	Butylene glycol	5.00
	Sodium chloride	1.00
	Methylparaben	0.20
	Water	50.80
	Total	100.00

^a Kinetic viscosity (25.0°C): 10 mm²/s

MANUFACTURING DIRECTIONS

1. Manufacturing Directions Heat A ingredients to 70°C, and dissolve.
2. Disperse uniformly.
3. Heat B ingredients to 70°C, and dissolve.
4. Mix uniformly.
5. Add product of step 4 slowly to product of step 2 while mixing by a dispersion mixer.
6. Cool to 30°C.

WATERPROOF EYE LINER

Ingredient	Content (w/w%)
Glyceryl tribehenate/isostearate/eicosandioate	8.00
Erythrityl triethylhexanoate	1.00
Microcrystalline wax	6.00
Silica	1.00
Hydrogenated polyisobutene ^a	58.00
Iron oxides	15.00
Mica	11.00
Total	100.00

^a Kinetic viscosity (37.8°C): 1.28 mm²/s

MANUFACTURING DIRECTIONS

1. Manufacturing Directions Heat all ingredients to 90°C, and dissolve.
2. Disperse uniformly by a dispersion mixer.
3. Pour into a mold, and cool to 25°C.

**OIL-BASED FACIAL CLEANSER
(AVAILABLE IN WET CONDITION)**

	Ingredient	Content (w/w%)
A	Polyglyceryl-10 dioleate	14.00
	Polyglyceryl-2 sesquicaprylate	6.00
	Cetyl ethylhexanoate	79.90
B	Perfume	0.10
	Total	100.00

MANUFACTURING DIRECTIONS

1. Heat A ingredients to 80°C, and dissolve.
2. Mix uniformly.
3. Cool to 25°C.
4. Add B ingredients to product of step 3, and mix uniformly.

**OIL-BASED FACIAL CLEANSER
(FOR HEAVY MAKE-UP)**

	Ingredient	Content (w/w%)
A	Polyglyceryl-10 dioleate	14.00
	Polyglyceryl-2 sesquicaprylate	6.00
	Cetyl ethylhexanoate	71.90
	Isononyl isononanoate	8.00
B	Perfume	0.10
	Total	100.00

MANUFACTURING DIRECTIONS

1. Heat A ingredients to 80°C, and dissolve.
2. Mix uniformly.
3. Cool to 25°C.
4. Add B ingredients to product of step 3, and mix it uniformly.

OIL-FREE FACIAL CLEANSER (LIQUID)

Ingredient	Content (w/w%)
Peg-20 glyceryl triisostearate	10.00
Peg-20 glyceryl isostearate	10.00
Dipropylene glycol	30.00
Sodium citrate	0.07
Citric acid	0.03
Water	49.80
Perfume	0.10
Total	100.00

MANUFACTURING DIRECTIONS

1. Dissolve all ingredients at 25°C.
2. Mix uniformly.

OIL-FREE FACIAL CLEANSER (GEL)

	Ingredient	Content (w/w%)
A	Polyglyceryl-10 behenate/eicosadioate	5.00
	PEG-20 glyceryl triisostearate	12.50
	PEG-20 glyceryl isostearate	12.50
	Dipropylene glycol	30.00
	Glycerin	10.00
	Water	17.20
B	1% carbomer solution (aq.)	10.00
C	1% sodium hydroxide solution (aq.)	2.60
D	Perfume	0.20
	Total	100.00

MANUFACTURING DIRECTIONS

1. Heat A ingredients to 80°C, and dissolve.
2. Disperse uniformly by a dispersion mixer, and cool to 50°C.
3. Add B ingredients to product of step 2 while mixing.
4. Add C ingredients to product of step 3 while mixing.
5. Add D ingredients to product of step 4 while mixing.
6. Cool to 25°C.

MAKE-UP REMOVER (SEPARATE TYPE)

	Ingredient	Content (w/w%)
A	Erythrityl triethylhexanoate	15.00
	Cyclopentasiloxane	25.00
B	Butylene glycol	20.00
	Sodium chloride	1.00
	Water	38.90
	0.1% C.I. 42090 solution (aq.)	0.10
	Total	100.00

MANUFACTURING DIRECTIONS

1. Dissolve A ingredients at 25°C, and mix uniformly.
2. Dissolve B ingredients at 25°C, and mix uniformly.
3. Pour both mixes into a mold.

CLEANSING PASTE

	Ingredient	Content (w/w%)
A	Polyglyceryl-10 behenate/eicosadioate	7.00
	Polyglyceryl-10 oleate	1.00
	Glycerin	24.00
	Butylene glycol	10.00
	Water	8.00
B	Glyceryl behenate/eicosadioate	3.00
	Hydrogenated lecithin	1.00
	Ethylhexyl palmitate	31.90
	<i>Olea europaea</i> (olive) fruit oil	14.00
C	Perfume	0.10
	Total	100.00

MANUFACTURING DIRECTIONS

1. Heat A ingredients to 75°C, and dissolve.
2. Mix uniformly.
3. Heat B ingredients to 75°C, and dissolve.
4. Mix uniformly.
5. Add product of step 4 to product of step 2 while mixing by a dispersion mixer.
6. Cool to 60°C.
7. Add C ingredients to product of step 6, and cool to 25°C.

MESSAGE GEL

	Ingredient	Content (w/w%)
A	3-hydrogenated lecithin	1.00
	Isopentyldiol	10.00
	Glycerin	30.00
	Water	3.00
B	Triethylhexanoin	18.00
	Mineral oil	38.00
	Total	100.00

MANUFACTURING DIRECTIONS

1. Heat A ingredients to 80°C, and dissolve.
2. Mix uniformly.
3. Heat B ingredients to 80°C, and dissolve.
4. Mix uniformly.
5. Add product of step 4 very slowly to product of step 2 while mixing by a dispersion mixer.
6. Continue to mix after adding for over 10 minutes.
7. Cool product of step 6 to 50°C while mixing by a dispersion mixer.
8. Cool to 25°C without mixing.

Part IV

Tablet Coating Formulations



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INTRODUCTION

Solid dosage forms are frequently coated for varied purposes, including the following:

- Mask taste and smell.
- Offer protection from the environment.
- Provide protection from gastric acid (enteric coating).
- Make dose easy to swallow.
- Provide identification.
- Add esthetic appeal.
- Hide surface defects.

Many types of coatings are available.

- I. Sugar coating: Compressed tablets are coated with a colored or uncolored sugar layer that is water soluble and quickly dissolves after swallowing. The sugar coat protects the enclosed drug from the environment and provides a barrier to objectionable taste or odor. The sugar coat also enhances the appearance of the compressed tablet and permits manufacturing information to be imprinted. Sugar coating provides a combination of insulation, taste masking, smoothing the tablet core, coloring, and modified release. The disadvantages of sugar coating are the time and expertise required in the coating process and increased size, weight, and shipping costs. The sugar-coating process involves five separate operations:
 - a. Sealing/waterproofing: Prior to applying any sugar/water syrup, the tablet cores must be sealed, thoroughly dried, and free of all residual solvents. The seal coat provides a moisture barrier and hardness to the surface of the tablet in order to minimize attritional effects. Core tablets having very rapid disintegration rates conceivably could start the disintegration process during the initial phase of sugar coating. The sealants are generally water-insoluble polymers/film formers applied from an organic solvent solution. The quantities of material applied as a sealing coat will depend primarily on the tablet porosity, since highly porous tablets will tend to soak up the first application of solution, thus preventing it from spreading uniformly across the surface of every tablet in the batch. Hence, one or more further applications of resin solution may be required to ensure that the tablet cores are sealed effectively. Common materials used as a sealant include shellac, zinc sulfate, cellulose acetate phthalate (CAP), polyvinylacetate phthalate, hydroxypropyl cellulose, hydroxypropyl methylcellulose, etc.
 - b. Subcoating: Subcoating is the actual start of the sugar-coating process and provides the rapid buildup necessary to round up the tablet edge. It also acts as the foundation for the smoothing and color coats. Generally, two methods are used for subcoating. Dusting with powder and then drying is required when applying gum based solution and the routine is repeated until the desired shape is achieved. The other method is where a suspension of dry powder in gum/sucrose solution is applied, followed by drying the tablets. Thus, subcoating is a sandwich of alternate layers of gum and powder. It is necessary to remove the bulk of the water after each application of coating syrup.
 - c. Grossing/smoothing: The grossing/smoothing process is specifically for smoothing and filing the irregularity on the surface generated during subcoating. It also increases the tablet size to a predetermined dimension. If the subcoating is rough with a large amount of irregularities, then the use of grossing syrup containing suspended solids will provide more rapid buildup and better filling qualities. Smoothing usually can be accomplished by the application of a simple syrup solution (approximately 60%–70% sugar solid). This syrup generally contains pigments, starch, gelatin, acacia, or opacifier if required. Small quantities of color suspension can be applied to impart a tint of the desired color when there are irregularities in coating.
 - d. Color coating: This stage is often critical in the successful completion of a sugar coating process and involves the multiple application of syrup solution (60%–70% sugar solid) containing the requisite coloring matter. Mainly soluble dyes were used in the sugar coating to achieve the desired color, since a soluble dye will migrate to the surface during drying. But nowadays, insoluble certified lakes have virtually replaced the soluble dyes in pharmaceutical tablet coating. The most efficient process for color coating involves the use of a predispersed opacified lake suspension.
 - e. Polishing: Sugar-coated tablets need to be polished to achieve a final elegance. Polishing is achieved by applying a mixture of waxes such as beeswax, carnauba wax, candelilla wax, or hard paraffin wax to tablets in a polishing pan.

II. **Film Coating:** Film coating is the deposition of a thin film of polymer surrounding the tablet core. Conventional pan equipment may be used, but nowadays, more sophisticated equipment is employed to provide a high degree of automation and coating time. The polymer is solubilized into solvent. Other additives such as plasticizers and pigments are added. The resulting solution is sprayed onto a rotated tablet bed. The drying conditions cause removal of the solvent, giving a thin deposition of coating material around each tablet core. Usually, a spray process is employed in the preparation of film-coated tablets. The Accela Cota is the prototype of a perforated cylindrical drum providing high drying air capacity. Fluidized-bed equipment has made a considerable impact; here, tablets are moving in a stream of air passing through the perforated bottom of a cylindrical column. With a smaller cylindrical insert, the stream of cores is rising in the center of the device together with a spray mist applied in the middle of the bottom. For fluidized-bed coating, very hard tablets (hardness >20 N) have to be used. The fundamental requirements are independent of the actual type of equipment being used and include adequate means of atomizing the spray liquid for application to the tablet core, adequate mixing and agitation of the tablet bed, and sufficient heat input in the form of drying air to provide the latent heat of evaporation of the solvent. This is particularly important with aqueous-based spraying and good exhaust facilities to remove dust and solvent-laden air. The materials used in film coating include the following:

a. Film formers

- i. **Hydroxypropyl methylcellulose (HPMC):** This is available in different viscosity grades. It is a polymer of choice for air suspension and pan spray coating systems because of its solubility characteristics in gastric fluid and organic and aqueous solvent systems. The advantages are that it does not affect tablet disintegration and drug availability; it is cheap, flexible, and highly resistant to heat, light, and moisture; it has no taste and odor; and color and other additives can be easily incorporated. The disadvantage is that when it is used alone, the polymer has a tendency to bridge or fill the debossed tablet surfaces. So, a mixture of HPMC and other polymers/plasticizers is used.
- ii. **Methylhydroxy ethylcellulose (MHEC):** This is available in a wide variety of viscosity grades. It is not as frequently used as HPMC, because it is soluble in fewer organic solvents.
- iii. **Ethylcellulose (EC):** Depending on the degree of ethoxy substitution, different viscosity grades are available. It is completely

insoluble in water and gastric fluids. Hence, it is used in combination with water-soluble additives such as HPMC and not alone. Unplasticized ethylcellulose films are brittle and require film modifiers to obtain an acceptable film formulation. Aquacoat is an aqueous polymeric dispersion utilizing ethylcellulose. These pseudolatex systems contain high-solids, low-viscosity compositions that have coating properties quite different from those of regular ethylcellulose solution.

- iv. **Hydroxypropyl cellulose (HPC):** This is soluble in water below 40°C (insoluble above 45°C), gastric fluid, and many polar organic solvents. HPC is extremely tacky as it dries from the solution system. It is used for sub-coating and not for color or glass coating. It gives a very flexible film.
- v. **Povidone:** The degree of polymerization decides the molecular weight of the material. It is available in four viscosity grades: K-15, K-30, K-60, and K-90. The average molecular weight of these grades is 10,000, 40,000, 160,000, and 360,000, respectively. K-30 is widely used as a tablet binder and in tablet coating. It has excellent solubility in a wide variety of organic solvents, water, and gastric and intestinal fluids. Povidone can be cross-linked with other materials to produce films with enteric properties. It is used to improve the dispersion of colorants in coating solution.
- vi. **Sodium carboxymethyl cellulose:** This is available in medium-, high-, and extra high-viscosity grades. It is easily dispersed in water to form colloidal solutions but is insoluble in most organic solvents and hence, not a material of choice for coating solutions based on organic solvents. Films prepared using it are brittle but adhere well to tablets. Partially dried films are tacky. So, coating compositions must be modified with additives.
- vii. **Polyethylene glycols (PEG):** PEG with low molecular weights (200–600) are liquid at room temperature and are used as plasticizers. High-molecular weight PEG (900–8000 series) are white, waxy solids at room temperature. A combination of PEG waxes with CAP gives films that are soluble in gastric fluids.
- viii. **Acrylate polymers:** These are marketed under the name of Eudragit®. Eudragit® E is a cationic copolymer. Only Eudragit® E is freely soluble in gastric fluid up to pH 5 and expandable and permeable above pH 5. This material is available as an organic

- solution (12.5% in isopropanol/acetone), a solid material, or a 30% aqueous dispersion. Eudragit® RL and RS are copolymers with a low content of quaternary ammonium groups. These are available only as organic solutions and solid materials. They produce films for delayed action (pH dependent).
- b. Solvents: Mostly, solvents are used either alone or in combination with water, ethanol, methanol, isopropanol, chloroform, acetone, methylene chloride, etc. Water is more often used, because there are no environmental and economic considerations. For drugs that readily hydrolyze in the presence of water, nonaqueous solvents are used.
 - c. Plasticizers: As solvent is removed, most polymeric materials tend to pack together in a three-dimensional honeycomb arrangement. Both internal and external plasticizing techniques are used to modify the quality of the film. A combination of plasticizers may be used to get the desired effect. The concentration of plasticizer is expressed in relation to the polymer being plasticized. Recommended levels of plasticizers range from 1% to 50% by weight of the film former. Commonly used plasticizers are castor oil, propylene glycol (PG), glycerin, lower-molecular weight (200–400 series) PEG, surfactants, etc. For aqueous coating, PEG and PG are more used, while castor oil and spans are primarily used for organic solvent-based coating solutions. An external plasticizer should be soluble in the solvent system used for dissolving the film former and the plasticizer. The plasticizer and the film former must be at least partially soluble or miscible in each other.
 - d. Colorants: Colorants can be used in solution form or in suspension form. To achieve proper distribution of suspended colorants in the coating solution requires the use of powdered colorants (<10 microns). Most common colorants in use are certified FD&C or D&C colorants. These are synthetic dyes or lakes. Lakes are the choice for sugar or film coating, as they give reproducible results. The concentration of colorants in the coating solutions depends on the color shade desired, the type of dye, and the concentration of opaquant-extenders. If a very light shade is desired, a concentration of less than 0.01% may be adequate; on the other hand, if a dark color is desired, a concentration of more than 2.0% may be required. Inorganic materials (e.g., iron oxide) and natural coloring materials (e.g., anthocyanins, carotenoids, etc.) are also used to prepare coating solutions. Magenta red dye is nonabsorbable in biologic systems and resistant to degradation in the gastrointestinal tract. Opasray® (opaque color concentrate for film coating) and Opadry® (complete film coating concentrate) are promoted as achieving less lot-to-lot color variation.
 - e. Opaquant-extenders: These are very fine inorganic powders used to provide more pastel colors and increase film coverage. These inorganic materials provide a white coat or mask the color of the tablet core. Colorants are very expensive, and high concentrations are required. These inorganic materials are cheap. In the presence of these inorganic materials, the amount of colorants required decreases. The most commonly used materials are titanium dioxide, silicate (talc and aluminum silicates), carbonates (magnesium carbonates), oxides (magnesium oxide), and hydroxides (aluminum hydroxides).
 - f. Other components: Flavors, sweeteners, surfactants, antioxidants, antimicrobials, etc. may be incorporated into the coating solution.
- III. Enteric Coating: This can be applied as one homogeneous layer, which can be white–opaque or colored. The advantage is that only one application is needed. In a two-layer system, the enteric formulation is applied first, followed by colored film. Both layers can be of enteric polymer, or only the basic layer can contain enteric polymer while the top layer is a fast-disintegrating and water-soluble polymer. Polymers used for enteric coating include the following:
- a. Cellulose acetate phthalate (CAP): This is widely used in industry. Aquateric is a reconstituted colloidal dispersion of latex particles. It is composed of solid or semisolid polymer spheres of CAP ranging in size from 0.05 to 3 microns. Cellulose acetate trimellitate (CAT), developed as an ammoniated aqueous formulation, showed faster dissolution than a similar formulation of CAP. Disadvantages include: It dissolves above pH 6 only, delays the absorption of drugs, is hygroscopic and permeable to moisture in comparison with other enteric polymer, and is susceptible to hydrolytic removal of phthalic and acetic acid, changing the film properties. CAP films are brittle and usually used with other hydrophobic film-forming materials.
 - b. Acrylate polymers: Eudragit® L & Eudragit® S are two forms of commercially available enteric acrylic resins. Both of them produce films resistant to gastric fluid. Eudragit® L and S are soluble in intestinal fluid at pH 6 and 7, respectively. Eudragit® L is available as an organic solution (in isopropanol), solid, or aqueous dispersion. Eudragit® S is available only as an organic solution (in isopropanol) and a solid.
 - c. Hydroxypropyl methylcellulose phthalate: HPMCP 50, 55, and 55-s (also called HP-50, HP-55, and HP-55-s) is widely used. HP-55 is

recommended for general enteric preparation, HP-50 and HP-55-s for special cases. These polymers dissolve at pH 5 to 5.5.

- d. Polyvinyl acetate phthalate: This is similar to HP-55 in stability and pH-dependent solubility.
- e. Enteric coating can be combined with polysaccharides that are degraded by enzymes in the colon; e.g., cyclodextrin and galactomannan.

IV. Controlled-Release Coating: Polymers such as modified acrylates, water-insoluble cellulose (ethylcellulose), etc., used for controlled-release coating.

V. Compressed Coating: This type of coating requires a specialized tablet machine. Compression coating is not widely used, but it has advantages in some cases in which the tablet core cannot tolerate organic solvent or water and yet needs to be coated for taste masking or to provide delayed or enteric properties to the finished product, and also to avoid incompatibility by separating incompatible ingredients.

VI. Electrostatic Coating: Electrostatic coating is an efficient method of applying coating to conductive substrates. A strong electrostatic charge is applied to the substrate. The coating material containing conductive ionic species of opposite charge is sprayed onto the charged substrate. Complete and uniform coating of corners and adaptability of this method to such relatively nonconductive substrates as pharmaceuticals are limited.

VII. Dip Coating: Coating is applied to the tablet cores by dipping them into the coating liquid. The wet tablets are dried in a conventional manner in a coating pan. Alternative dipping and drying steps may be repeated several times to obtain the desired coating. This process lacks the speed, versatility, and reliability of spray-coating techniques. Specialized equipment has been developed to dip coat tablets, but no commercial pharmaceutical application has been obtained.

VIII. Vacuum Film Coating: Vacuum film coating is a new coating procedure that employs a specially designed

baffled pan. The pan is hot water jacketed, and it can be sealed to achieve a vacuum system. The tablets are placed in the sealed pan, and the air in the pan is displaced by nitrogen before the desired vacuum level is obtained. The coating solution is then applied with an airless spray system. The heated pan causes evaporation, and the vapor is removed by the vacuum system. Because there is no high-velocity heated air, the energy requirement is low, and coating efficiency is high. Organic solvent can be effectively used with this coating system with minimum environmental or safety concerns.

Formulations for tablet coating are often proprietary to various manufacturers, as these address several formulation needs, as described previously. The suppliers of coating ingredients are often very open to sharing the coating technology, and companies are highly encouraged to make use of them, more particularly where the coating materials have an open Drug Master File (DMF) available for regulatory filings. The following companies are a very good source of information:

Eudragit® (www.pharma-polymers.com/pharma-polymers/en/eudragit/)

Colorcon® (www.colorcon.com/products/coatings)

Methocel/Ethocel (www.dow.com/dowexcipients/applications/tablet_coating.htm)

The advantage of using these prepackaged formulations is consistency in color matching, as well as other considerations regarding ease of use. The most significant aspect remains the choice of colors, which often determines the method of manufacturing the coating solutions. With a limited choice of dyes and lakes available for selection, manufacturers often use a combination of several colors and dyes along with agents such as talc for opaqueness to obtain the desired color and protection.

Given in the following is a current listing of approved colors in various regulatory regions.

APPROVED DRUG COLORANTS FOR INTERNAL USE IN JAPAN-1^A

Name	CAS Number	Color Index Number	Precedent Limit	Compendia
Black Iron Oxide	12227-89-3	77499	1.539 mg	JPE
Caramel			1500 mg	JPE
Carbon Black	1333-86-4	77268:1	0.096 mg	JPE
Carmine	1390-65-4	75470	1.8 mg	JPE
β-Carotene	7235-40-7	40800	0.1%	JPE
Copper Chlorophyll			1.8 mg	Japan Pharmaceutical Codex
Glycyrrhiza Extract			300 mg	JP
Gold Leaf	7440-57-5		14 mg	JPE
Light Anhydrous Silicic Acid	7631-86-9		2.6 g	JP
Medicinal Carbon	16291-96-6		150 mg	JP
2-Octyldodecyl Myristate	22766-83-2		100 mg	JPE
Orange Essence			15 mg	JPE
Powdered Green Tea			100 mg	JPE
Red Ferric Oxide	1309-37-1	77491	95.4 mg	JPE
Riboflavin	83-88-5		0.8 mg	JP
Riboflavin Butyrate			0.4 mg	JP
Riboflavin Sodium Phosphate			2 mg	JP
Rose Oil	8007-01-0		0.1 mg	NF
Rye Green Leaf Extract			2 mg	JPE
Sodium Copper Chlorophyllin			75 mg	JPC
Sodium Hydroxide	1310-73-2		224 mg	JP
Talc	14807-96-6		3384 mg	JP
Titanium Oxide	13463-67-7	77891	384 mg	JP
Yellow Ferric Oxide	1310-14-1	77492	5.67 mg	JPE

Note: JP: Japanese Pharmacopoeia; JPE: Japanese Pharmaceutical Excipient Directory; JPC: Japanese Pharmaceutical Codex; NF: National Formulary

^a These colorants appear in the application column in the Japanese Pharmaceutical Excipients Directory 2007 (Japanese Version) as coloring agents. Precedent limits are quoted from the Japanese Pharmaceutical Excipients Directory 2007 (Japanese version). Each limit represents the maximum daily intake that a patient should consume from the use of a particular dosage form.

APPROVED DRUG COLORANTS FOR INTERNAL USE IN JAPAN-2^A

Name	Alternate Name	Color Index Number	CAS Number	Precedent Limit
Amaranth ^c	Red No. 2, Acid Red 27	16185	915-67-3	b
Erythrosine ^c	Red No. 3, Acid Red 51	45430	16423-68-0	b
New Coccine (Ponceau 4R) ^c	Red No. 102, Acid Red 18	16255	2611-82-7	b
Phloxine B	Red No. 104(1), Acid Red 92	45410	18472-87-2	b
Rose Bengal	Red No. 105(1), Acid Red 94	45440	632-69-9	b
Acid Red	Red No. 106, Acid Red 52	45100		b
Tartrazine ^c	Yellow No. 4, Acid Yellow 23	19140	1934-21-0	b
Sunset Yellow FCF ^c	Yellow No. 5	15985	2783-94-0	b
Fast Green FCF	Green No. 3	42053	2353-45-9	b
Brilliant Blue FCF ^c	Blue No. 1	42090	3844-45-9	b
Indigo Carmine ^c	Blue No. 2, Acid Blue 74	73015	860-22-0	*c

^a Based on colors approved by the Ministry of Health and Welfare (MHW) "Ministerial Ordinance to establish Tar colors which can be used in Pharmaceuticals"; No. 30; August 31, 1966. Aluminum lakes of these colors are also authorized.

^b Not more than 0.1% by weight of color (lake or dye) can be used in a dosage form. If one colorant was combined with other colorants, total weight of these colorants must be less than 0.1% of the final product.

^c These colorants make the list of the application column in the Japanese Pharmaceutical Excipients Directory 2007 (Japanese Version) as coloring agents.

APPROVED DRUG COLORANTS FOR USE IN CANADA

I. COLORANTS APPROVED FOR INTERNAL AND EXTERNAL DRUG USE

Color	Alternate Name	Color Index Number	CAS Number
Acid Fuchsin D	D&C Red No. 33	17200	3567-66-6
Alizarin Cyanine Green F	D&C Green No. 5	61570	4403-90-1
Allura Red AC	FD&C Red No. 40	16035	25956-17-6
Amaranth	Delisted FD&C Red No. 2	16185	915-67-3
Anthocyanin (derived from juice expressed from fresh edible fruits or vegetables)			
β -apo-8' Carotenal	–	40820	1107-26-2
Brilliant Blue FCF Sodium Salt	FD&C Blue No. 0	42090	3844-45-8
Brilliant Blue FCF Ammonium Salt	D&C Blue No. 4	42090	6371-85-2
Canthaxanthin	–	40850	514-78-3
Caramel	–	–	–
Carbon Black	–	77266	1333-86-4
Carmine	–	75470	1260-17-9
Carmoisine	Azorubine	14720	3567-69-9
β -Carotene	–	40800	7235-40-7
Chlorophyll	–	75810	479-61-8
Eosin YS Acid Form	D&C Red No. 21	45380:2	15086-94-9
Eosin YS Sodium Salt	D&C Red No. 22	45380	17372-87-1
Erythrosine	FD&C Red No. 3	45430	16423-68-0
Fast Green FCF	FD&C Green No. 3	42053	2353-45-9
Flaming Red	D&C Red No. 36	12085	2814-77-9
Helindone Pink CN	D&C Red No. 30	73360	2379-74-0
Indigo	D&C Blue No. 6	73000	482-89-3
Indigotine	FD&C Blue No. 2	73015	860-22-0
Iron Oxides	Iron Oxide Red	77491	1309-37-1
	Iron Oxide Yellow	77492	51274-00-1
	Iron Oxide Black	77499	12227-89-3
Lithol Rubin B Sodium Salt	D&C Red No. 6	15850	5858-81-1
Lithol Rubin B Calcium Salt	D&C Red No. 7	15850:1	5281-04-9
Phloxine B Sodium Salt	D&C Red No. 28	45410	18472-87-2
Phloxine B Acid Form	D&C Red No. 27	45410:1	13473-26-2
Ponceau 4R	–	16255	2611-82-7
Ponceau SX	FD&C Red No. 4	14700	4548-53-2
Quinoline Yellow WS	D&C Yellow No. 10	47005	8004-92-0
Riboflavin	–	–	83-88-5
Sunset Yellow FCF	FD&C Yellow No. 6	15985	2783-94-0
Tartrazine	FD&C Yellow No. 5	19140	1934-21-0
Titanium Dioxide	–	77891	13463-67-7

II. COLORANTS APPROVED FOR EXTERNAL DRUG USE

Color	Alternate Name	Color Index Number	CAS Number
Acid Violet	Ext. D&C Violet No. 2	60730	–
Alizuroil Purple SS	D&C Violet No. 2	60725	81-48-1
Annatto	–	75120	–
Bismuth Oxochloride	–	77163	–
Chromium Hydroxide Green	Pigment Green 18	77289	–
Dibromofluorescein (Solvent Red 72)	D&C Orange No. 5	45370:1	–
Deep Maroon	D&C Red No. 34	15880:1	6417-83-0
Ferric Ferrocyanide	–	77510	–
Guanine	–	75170	–
Orange II	D&C Orange No. 4	15510	633-96-5
Manganese Violet	–	77742	–
Mica	–	77019	–
Pyranine Concentrated	D&C Green No. 8	59040	6358-69-6
Quinizarin Green SS	D&C Green No. 6	61565	128-80-3
Toney Red	D&C Red No. 17	26100	85-86-9
Uranine Acid Form	D&C Yellow No. 7	45350:1	7/5/2321
Uranine Sodium Salt	D&C Yellow No. 8	45350	518-47-8
Zinc Oxide	–	77947	–

APPROVED DRUG COLORANTS LISTED BY THE EUROPEAN UNION

Color	E Number	Color Index Number	Alternate Names
Allura Red AC	E129	16035	FD&C Red No. 40
Aluminum	E173	77000	–
Amaranth	E123	16185	Delisted FD&C Red No. 2
Anthocyanins	E163	–	–
Beetroot Red	E162	–	Betainin
Beta apo-8'-Carotenal	E160e	40820	–
Beta apo-8'-Carotenoic Acidethyl Ester	E160f	40825	–
Brilliant Black BN	E151	28440	Black PN
Brilliant Blue FCF	E133	42090	FD&C Blue No. 1
Brown HT	E155	20285	–
Calcium Carbonate	E170	77220	–
Canthaxanthin	E161g	40850	–
Caramel	E150a	–	–
Caramel, Caustic Sulphite	E150b	–	–
Caramel,-Ammonia	E150c	–	–
Caramel, Sulphite Ammonia	E150d	–	–
Carbon Vegetable Black	E153	77268:1	Carbo Medicinalis Vegetalis
Carmine	E120	75470	Carmine 40, Carminic Acid
Carmoisine	E122	14720	Azorubine
Carotene		75130	Alpha, Beta, and Gamma Carotene
i. Mixed Carotenes	E160a(i)	75130	–
ii. Beta-Carotene	E160a(ii)	40800	–
Chlorophylls/Chlorophyllins		–	–
i. Chlorophylls	E140(i)	75810	–
ii. Chlorophyllins	E140(ii)	75815	–
Chlorophylls/Chlorophyllins Copper Complexes		75815	–
i. Copper Complexes of Chlorophylls	E141(i)	–	–
ii. Copper Complexes of Chlorophyllins	E141(ii)	–	–

(Continued)

Color	E Number	Color Index Number	Alternate Names
Cochineal	E120	75470	Carminic Acid
Erythrosine	E127	45430	FD&C Red No. 3
Gold	E175	77480	–
Green S	E142	44090	Acid Brilliant Green BS
Indigotine	E132	73015	FD&C Blue No. 2, Indigo Carmine
Iron Oxides and Hydroxides	E172	77491	Iron Oxide Red
		77492	Iron Oxide Yellow
		77499	Iron Oxide Black
Lutein	E161b	–	–
Lycopene	E160d	–	–
Paprika Extract	E160c	–	Capsanthin, Capsorubin
Patent Blue V	E131	42051	Acid Blue 3
Ponceau 4R	E124	16255	Cochineal Red A
Quinoline Yellow ^a	E104	47005	China Yellow
Riboflavin		–	–
i. Riboflavin	E101(i)	–	–
ii. Riboflavin-5'-Phosphate	E101(ii)	–	–
Sunset Yellow FCF	E110	15985	FD&C Yellow No. 6, Orange Yellow S
Tartrazine	E102	19140	FD&C Yellow No. 5
Titanium Dioxide	E171	77891	–
Turmeric	E100	75300	Curcumin

This list is derived from Annex 1 of Directive 94/36/EC, colors permitted for use in foodstuffs. European Medicines Agency (EMA) Guideline EMA/CHMP/QWP/396951/2006 states that colorants mentioned in this annex are permitted for use in medicinal products.

^a This is not D&C Yellow No. 10. Although the C.I. numbers are the same, the dyes differ in composition. Quinoline yellow is primarily the disulfonated quinoline dye, whereas D&C Yellow No. 10 is the monosulfonated color. Quinoline yellow is not accepted for use in the United States; conversely, D&C Yellow No. 10 cannot be used in the EU.

COLOR ADDITIVES EXEMPT FROM CERTIFICATION PERMITTED FOR USE IN THE UNITED STATES^A

Color	Color Index Number	CAS Number	21 CFR References			
			Food	Drug	Cosmetic	Medical Devices
Algae Meal (Dried)	–	–	73.275	–	–	–
Algae Meal (Haematococcus)	–	–	73.185	–	–	–
Alumina	77002	1332-73-6	–	73.1010	–	–
Aluminum Powder	77000	7429-90-5	–	73.1645	73.2645	–
Annatto Extract	75120	8015-67-6	73.30	73.1030	73.2030	–
Astaxanthin	–	–	73.35	–	–	–
Beta-apo-8'-Carotenal	40820	1107-26-2	73.90	–	–	–
Beta Carotene	40800	7235-40-7	73.95	73.1095	73.2095	–
Beet Powder	–	57917-55-2	73.40	–	–	–
Bismuth Citrate	–	–	–	–	73.2110	–
Bismuth Oxochloride	77163	7787-59-9	–	73.1162	73.2162	–
Bronze Powder	77440	7440-50-8	–	73.1646	73.2646	–
		7740-66-6	–	–	–	–
Calcium Carbonate	77220	471-34-1	–	73.1070	–	–
Canthaxanthin	40850	514-78-3	73.75	73.1075	–	–
Caramel	–	–	73.85	73.1085	73.2085	–
Carbazole Violet	51319	6358-30-1	–	–	–	73.3107
Carmine	75470	1390-65-4	73.100	73.1100	73.2087	–
Carrot Oil	–	–	73.300	–	–	–
Chlorophyllin Copper Complex	75810	–	–	73.1125	73.2125	73.3110
Chromium-Cobalt-Aluminum Oxide	77343	68187-11-1	–	73.1015	–	73.3110a

(Continued)

Color	Color Index Number	CAS Number	21 CFR References			Medical Devices
			Food	Drug	Cosmetic	
Chromium Hydroxide Green	77289	12182-82-0	–	73.1326	73.2326	–
Chromium Oxide Greens	77288	1308-38-9	–	73.1327	73.2327	73.3111
C.I. Vat Orange 1	59105	–	–	–	–	73.3112
Cochineal Extract	75470	1260-17-9	73.100	73.1100	–	–
Corn Endosperm Oil	–	–	73.315	–	–	–
Copper Powder	77400	7440-50-6	–	73.1647	73.2647	–
1,4-Bis [(2-hydroxyethyl) amino]-9,10-anthracenedione bis(2-propenoic) ester copolymers	–	10956-07-1	–	–	–	73.3100
1,4-Bis [(2-methylphenyl)amino]-9,10-anthracenedione	–	6737-68-4	–	–	–	73.3105
1,4-Bis[4-(2-methacryloxyethyl) phenylamino]-9,10-anthraquinone copolymers	–	121888-69-5	–	–	–	73.3106
2-[[2,5-Diethoxy-4-[(4-methylphenyl) thiol]phenyl] azo]-1,3,5-benzenetriol	–	–	–	–	–	73.3115
16,23-Dihydrodinaphtho[2,3-a:2',3'-i] naph[2',3':6,7]indolo[2,3-c] carbazole-5,10,15,17,22,24-hexone	70800	2475-33-4	–	–	–	73.3117
N,N'-(9,10-Dihydro-9,10-dioxo-1,5-anthracenediyl) bis-benzamide	61725	82-18-8	–	–	–	73.3118
7,16-Dichloro-6,15-dihydro-5,9,14,18-anthrazinetetrone	69825	130-20-1	–	–	–	73.3119
16,17-Dimethoxydinaphtho[1,2,3-cd:3',2',1'-lm] perylene-5,10 dione	59825	128-58-5	–	–	–	73.3120
4-[2,4-(Dimethylphenyl) azo]-2,4-dihydro-5-methyl-2-phenyl-3H-pyrazol-3-one	–	6407-78-9	–	–	–	73.3122
Dihydroxy Acetone	–	62147-49-3	–	73.1150	73.2150	–
Disodium EDTA Copper	–	–	–	–	73.2120	–
6-Ethoxy-2-(6-ethoxy-3-oxobenzo[b]thien-2-(3H)-ylidene)benzo[b] thiophen-3-(2H)-one	73335	3263-31-8	–	–	–	73.3123
Ferric Ammonium Citrate	–	1185-57-5	–	73.1025	–	–
Ferric Ammonium Ferrocyanide	77510	25869-00-5	–	73.1298	73.2298	–
Ferric Ferrocyanide	77510	14038-43-8	–	73.1299	73.2299	–
Ferrous Gluconate	–	299-29-6	73.160	–	–	–
Ferrous Lactate	–	5905-52-2	73.165	–	–	–
Fruit Juice	–	–	73.250	–	–	–
Grape Color Extract	–	–	73.169	–	–	–
Grape Skin Extract	–	–	73.170	–	–	–
Guaiazulene	–	489-84-9	–	–	73.2180	–
Guanine	75170	68-94-0	–	73.1329	73.2329	–
Henna	75480	83-72-7	–	–	73.2190	–
Iron Oxides, Synthetic	77491 (Red) 77492 (Yellow) 77499 (Black)	1309-37-1 51274-00-1 12227-89-3	73.200	73.1200	73.2250	73.3125
Lead Acetate	–	6080-56-4	–	–	73.2396	–
Logwood Extract	75290	8005-33-2	–	73.1410	–	–
Manganese Violet	77742	10101-66-3	–	–	73.2775	–
Mica	77019	12001-26-2	–	73.1496	73.2496	–
Mica-Based Pearlescent Pigment	–	–	73.350	73.1350	–	73.3128
Paprika	–	–	73.340	–	–	–
Paprika Oleoresin	–	8023-77-6	73.345	–	–	–
Phaffia Yeast	–	–	73.355	–	–	–
Potassium Sodium Copper Chlorophyllin	75180	–	–	73.1125	73.2125	–
Phthalocyanine Green	74260	1328-53-6	–	–	–	73.3124
Poly(Hydroxyethyl Methacrylate)-Dye Copolymers	–	–	–	–	–	73.3121
Pyrogallol	76515	87-66-1	–	73.1375	–	–
Pyrophyllite	44004	8047-76-5	–	73.1400	73.2400	–
Riboflavin	–	83-88-5	73.450	–	–	–

(Continued)

Color	Color Index Number	CAS Number	21 CFR References			
			Food	Drug	Cosmetic	Medical Devices
Saffron		42553-65-1				
	75100	27876-94-4	73.500	–	–	–
Silver	77820	7440-22-4	–	–	73.2500	–
Sodium Copper Chlorophyllin	75815	28302-36-5	73.125	–	–	–
Tagetes Meal and Extract	75125	–	73.295	–	–	–
Talc	77019	14807-96-6	–	73.1550	–	–
Toasted Cotton Seed Meal	–	–	73.140	–	–	–
Titanium Dioxide	77891	13463-67-7	73.575	73.1575	73.2575	73.3126
Tomato Lycopene Extract and Concentrate			73.585	–	–	–
Turmeric	75300	458-37-7	73.600	–	–	–
Turmeric Oleoresin	75300	458-37-7	73.615	–	–	–
Ultramarine Blue	77007	57455-37-5	73.50	–	73.2725	–
Ultramarine Green	77013	–	–	–	73.2725	–
Ultramarine Pink	77007	127-96-9	–	–	73.2725	–
Ultramarine Red	77007	127-96-9	–	–	73.2725	–
Ultramarine Violet	77007	127-96-9	–	–	73.2725	–
Vegetable Juice	–	–	73.260	–	–	–
Vinyl Alcohol/Methyl Methacrylate Dye Reaction Products						73.3127
Zinc Oxide	77947	1314-13-2	–	73.1991	73.2991	–
Luminescent Zinc Sulfide	–	–	–	–	73.2995	–

^a Based on 21 CFR 2007. Restrictions may exist limiting the use of some of these colors to specific applications (i.e., external drug use only, etc.). Additionally, there may be quantitative limits for the use of some colors. The specific 21 CFR reference for each color should be reviewed to determine potential restriction status.

PROVISIONALLY LISTED COLOR ADDITIVES SUBJECT TO U.S. CERTIFICATION^A

Color	Common Name	Color Index Number	CAS Number	21 CFR References		
				Food	Drug	Cosmetic
FD&C Lakes	Lakes	See Individual Color	See Individual Color	82.51	82.51	82.51
D&C Lakes	Lakes	See Individual Color	See Individual Color		82.1051	82.1051
Ext. D&C Lakes	Lakes	See Individual Color	See Individual Color		82.2051	82.2051
FD&C Blue No. 1 Lake	Brilliant Blue FCF	42090:2	68921-42-6	82.101	82.101	82.101
FD&C Blue No. 2 Lake	Indigotine	73015:1	16521-38-3	82.102	82.102	82.102
D&C Blue No. 4 Lake	Alphazurine FG	42090	6371-85-3	–	82.1104	82.1104
FD&C Green No. 3 Lake	Fast Green FCF	42053	2353-45-9	82.203	82.203	82.203
D&C Green No. 5 Lake	Alizarin Cyanine Green F	61575	4403-90-1	–	82.1205	82.1205
D&C Green No. 6 Lake	Quinizarine Green SS	61565	128-80-3	–	82.1206	82.1206
D&C Orange No. 4 Lake	Orange II	15510:2	633-96-5	–	82.1254	82.1254
D&C Orange No. 5 Lake	Dibromofluorescein	45370:2	596-03-2	–	82.1255	82.1255
D&C Orange No. 10 Lake	Diiodofluorescein	45425:2	38577-97-8	–	82.1260	82.1260
D&C Orange No. 11 Lake	Erythrosine Yellowish Na	45425:2	38577-97-8	–	82.1261	81.1261
FD&C Red No. 4 Lake	Ponceau SX	14700	4548-53-2	82.304	82.304	82.304
D&C Red No. 6 Lake	Lithol Rubin B	15850:2	17852-98-1	–	82.1306	82.1306
D&C Red No. 7 Lake	Lithol Rubin B Ca	15850:1	5281-04-9	–	82.1307	82.1307
D&C Red No. 17 Lake	Toney Lake	26100	85-86-9	–	82.1317	82.1317
D&C Red No. 21 Lake	Tetrabromofluorescein	45380:3	15086-94-9	–	82.1321	82.1321
D&C Red No. 22 Lake	Eosine	45380:3	17372-87-1	–	82.1322	82.1322
D&C Red No. 27 Lake	Tetrachloro Tetrabromofluorescein	45410:2	13473-26-2		82.1327	82.1327

(Continued)

Color	Common Name	Color Index Number	CAS Number	21 CFR References		
				Food	Drug	Cosmetic
D&C Red No. 28 Lake	Phloxine B	45410:2	18472-87-02	–	82.1328	82.1328
D&C Red No. 30 Lake	Helindone Pink CN	73360	2379-74-0	–	82.1330	82.1330
D&C Red No. 31 Lake	Brilliant Lake Red R	15800:1	6371-76-2	–	82.1331	82.1331
D&C Red No. 33 Lake	Acid Fuchsin	17200	3567-66-6	–	82.1333	82.1333
D&C Red No. 34 Lake	Lake Bordeaux B	15880:1	6417-83-0	–	82.1334	82.1334
D&C Red No. 36 Lake	Flaming Red	12085	2814-77-9	–	82.1336	82.1336
D&C Violet No. 2 Lake	Alizurul Purple SS	60725	81-48-1	–	82.1602	82.1602
FD&C Yellow No. 5 Lake	Tartrazine	19140:1	12225-21-7	82.705	82.705	82.705
FD&C Yellow No. 6 Lake	Sunset Yellow FCF	15985:1	15790-07-5	82.706	82.706	82.706
D&C Yellow No. 7 Lake	Fluorescein	45350:1	2321-07-5	–	82.1707	82.1707
Ext. D&C Yellow No. 7 Lake	Naphthol Yellow S	10316	846-70-8	–	82.2707a	82.2707a
D&C Yellow No. 8 Lake	Uranine	45350	518-47-8	–	82.1708	82.1708
D&C Yellow No. 10 Lake	Quinoline Yellow WS	47005:1	68814-04-0	–	82.1710	82.1710

^a Based on 21 CFR 2007. Restrictions may exist limiting the use of some of these colors to specific applications (i.e., external drug use only, etc.).

Additionally, there may be quantitative limits for the use of some colors. The specific 21 CFR reference for each color should be reviewed to determine potential restriction status.

LIST OF PERMANENTLY LISTED COLOR ADDITIVES SUBJECT TO U.S. CERTIFICATION^A

Color	Common Name	Color Index Number	CAS Number	21 CFR References			
				Food	Drug	Cosmetic	Medical Devices
D&C Black No. 2	Carbon Black	77266	1333-86-4	–	–	74.2052	–
D&C Black No. 3	Bone Black	77267	8021-99-6	–	–	74.2053	–
FD&C Blue No. 1	Brilliant Blue FCF	42090	2650-18-2	74.101	74.1101	74.2101	–
FD&C Blue No. 2	Indigotine	73015	860-22-0	74.102	74.1102	–	74.3102
D&C Blue No. 4	Alphazurine FG	42090	6371-85-3	–	74.1104	74.2104	–
D&C Blue No. 6	Indigo	73000	482-89-3	–	–	–	74.3106
D&C Blue No. 9	Indanthrene Blue	69825	130-20-1	–	74.1109	–	–
D&C Brown No. 1	Resorcin Brown	20170	1320-07-6	–	–	74.2151	–
FD&C Green No. 3	Fast Green FCF	42053	2353-45-9	74.203	74.1203	74.2203	–
D&C Green No. 5	Alizarin Cyanine Green F	61570	4403-90-1	–	74.1205	74.2205	–
D&C Green No. 6	Quinizarine Green SS	61565	128-80-3	–	74.1206	74.2206	74.3206
D&C Green No. 8	Pyranine Concentrated	59040	63-58-69-6	–	74.1208	74.2208	–
Orange B	–	19235	–	74.250	–	–	–
D&C Orange No. 4	Orange II	15510	633-96-5	–	74.1254	74.2254	–
D&C Orange No. 5	Dibromofluorescein	45370:1	596-03-2	–	74.1255	74.2255	–
D&C Orange No. 10	Diiodofluorescein	45425:1	38577-97-8	–	74.1260	74.2260	–
D&C Orange No. 11	Erythrosine Yellowish Na	45425	38577-97-8	–	74.1261	74.2261	–
[Phthalocyaninato (2-)] Copper	Copper Phthalocyanine	74160	147-14-8	–	–	–	74.3045
FD&C Red No. 3	Erythrosine	45430	16423-68-0	74.303	74.1303	–	–
FD&C Red No. 4	Ponceau SX	14700	4548-53-2	–	74.1304	74.2304	–
D&C Red No. 6	Lithol Rubin B	15850	5858-81-1	–	74.1306	74.2306	–
D&C Red No. 7	Lithol Rubin B Ca	15850:1	4/9/5281	–	74.1307	74.2307	–
D&C Red No. 17	Toney Red	26100	85-86-9	–	74.1317	74.2317	74.3230
D&C Red No. 21	Tetrabromofluorescein	45380:2	15086-94-9	–	74.1321	74.2321	–
D&C Red No. 22	Eosine	45380	17372-87-1	–	74.1322	74.2322	–
D&C Red No. 27	Tetrachloro Tetrabromofluorescein	45410:1	13473-26-2	–	74.1327	74.2327	–
D&C Red No. 28	Phloxine B	45410	18472-87-2	–	74.1328	74.2328	–
D&C Red No. 30	Helindone Pink CN	73360	2379-74-0	–	74.1330	74.2330	–

(Continued)

Color	Common Name	Color Index Number	CAS Number	21 CFR References			
				Food	Drug	Cosmetic	Medical Devices
D&C Red No. 31	Brilliant Lake Red R	15800:1	6371-76-2	–	74.1331	74.2331	–
D&C Red No. 33	Acid Fuchsine	17200	3567-66-6	–	74.1333	74.2333	–
D&C Red No. 34	Lake Bordeaux B	15880:1	6417-83-0	–	74.1334	74.2334	–
D&C Red No. 36	Flaming Red	12085	2814-77-9	–	74.1336	74.2336	–
D&C Red No. 39	Alba Red	13058	6371-55-7	–	74.1339	–	–
FD&C Red No. 40	Allura Red AC	16035	25956-17-6	74.340	74.1340	74.2340	–
FD&C Red No. 40 Lake	Allura Red AC	16035:1	68583-95-9	74.340	74.1340	74.2340	–
Citrus Red No. 2	–	12156	6358-53-8	74.302	–	–	–
D&C Violet No. 2	Alizuro Purple SS	60725	81-48-1	–	74.1602	74.2602	74.3602
Ext. D&C Violet No. 2	Alizarin Violet	60730	4430-18-6	–	–	74.2602a	–
FD&C Yellow No. 5	Tartrazine	19140	1934-21-0	74.705	74.1705	74.2705	–
FD&C Yellow No. 6	Sunset Yellow FCF	15985	2783-94-0	74.706	74.1706	74.2706	–
D&C Yellow No. 7	Fluorescein	45350:1	7/5/2321	–	74.1707	74.2707	–
Ext. D&C Yellow No. 7	Naphthol Yellow S	10316	846-70-8	–	74.1707a	74.2707a	–
D&C Yellow No. 8	Uranine	45350	518-47-8	–	74.1708	74.2708	–
D&C Yellow No. 10	Quinoline Yellow WS	47005	8004-92-0	–	74.1710	74.2710	74.3710
D&C Yellow No. 11	Quinoline Yellow SS	47000	8003-22-3	–	74.1711	74.2711	–

^a Based on 21 CFR 2007. Restrictions may exist limiting the use of some of these colors to specific applications (i.e., external drug use only, etc.).

Additionally, there may be quantitative limits for the use of some colors. The specific 21 CFR reference for each color should be reviewed to determine potential restriction status.

Another choice confronting manufacturers is whether to use an aqueous coating or an organic coating system; both have their advantages and disadvantages. While organic coatings provide greater protection against moisture uptake during the coating process (important for moisture-sensitive ingredients) and are easier to apply because of the fast evaporation of solvents, problems encountered with these coatings include environmental control of organic solvents going into the atmosphere, the need to perform solvent residue tests, and the need to have explosion-proof facilities; thus, aqueous coating systems are often preferred.

CELLULOSE BASED

Cellulose acetate phthalate (CAP)

Caution: Check with regulatory authorities about approved states of all dyes before using them.

HYDROXYPROPYL METHYLCELLULOSE (METHOCEL, HPMC) AQUEOUS COATINGS

Methocel-based coatings in an aqueous base are the most popular coating options; two methods of making solutions are possible.

If a lake is used, then alcohol is also included (see, for example, Holberry Red).

A. BRITE ROSE

Bill of Materials			
Scale (% w/v)	Item	Material Name	Quantity/L
6.00	1	Hydroxypropyl methylcellulose 2910 (15 cps)	60.00 g
2.00	2	PEG-400 (low color)	20.00 g
2.00	3	PEG-8000	20.00 g
0.25	4	FD&C Red No. 30 Lake	2.50 g
2.00	5	Titanium dioxide (special coating grade)	20.00 g
QS	6	Deionized purified water	QS to 1 L

MANUFACTURING DIRECTIONS

1. Place 250 mL of water into a suitable container, and heat to 60°C to 70°C.
2. With gentle stirring, disperse the hydroxypropyl methylcellulose onto the hot water; when the cellulose has wetted, quickly add 250 mL of cold water.

3. Stir until the dispersion is homogeneous, although the solution of cellulose may not be complete.
4. Dissolve PEG-8000 in 50 mL of water, and then add to the preceding step.
5. Add PEG-400 to the basic solution.
6. Load a suitable-size ball jar with the FD&C Red Dye No. 30 and titanium dioxide.
7. Add sufficient water to cover the pigment and balls.
8. Mill overnight or for 12 hours.
9. Other pigment reduction methods may be used to yield a particle size not greater than 1.0 μm .
10. Add milled pigments to the base solution from the previous step, and bring the volume up with cold water.
11. Use within 7 days.

B. CHERRY RED

Bill of Materials			
Scale (% w/v)	Item	Material Name	Quantity/L
6.00	1	Hydroxypropyl methylcellulose 2910 (15 cps)	60.00 g
2.00	2	PEG-400 (low color)	20.00 g
2.00	3	PEG-8000	20.00 g
1.80	4	FD&C Red No. 3 Lake	18.00 g
0.10	5	FD&C Red No. 2 (Amaranth)	1.00 g
2.10	6	Titanium dioxide (special coating grade)	21.00 g
QS	7	Deionized purified water, USP	QS to 1 L

C. GERANIUM ROSE

Bill of Materials			
Scale (% w/v)	Item	Material Name	Quantity/L
6.00	1	Hydroxypropyl methylcellulose 2910 (15 cps)	60.00 g
2.00	2	PEG-400 (low color), NF	20.00 g
2.00	3	PEG-8000	20.00 g
0.24	4	FD&C Red No. 3 Lake	2.00 g
QS	5	Deionized purified water, USP	QS to 1 L

D. GLOSS

Bill of Materials			
Scale (% w/v)	Item	Material Name	Quantity/L
3.33	1	Hydroxypropyl methylcellulose 2910 (15 cps)	33.33 g
1.66	2	PEG-400 (low color), NF	16.66 g
QS	3	Deionized purified water, USP	QS to 1 L

E. RED

Bill of Materials			
Scale (% w/v)	Item	Material Name	Quantity/L
6.00	1	Hydroxypropyl methylcellulose 2910 (15 cps)	60.00 g
2.00	2	PEG-400 (low color), NF	20.00 g
2.00	3	PEG-8000	20.00 g
.50	4	FD&C Red No. 3 Lake	25.00 g
0.50	5	Titanium dioxide	5.00 g
QS	6	Deionized purified water, USP	QS to 1 L

F. MODERATE RED

Bill of Materials			
Scale (% w/v)	Item	Material Name	Quantity/L
6.00	1	Hydroxypropyl methylcellulose 2910 (15 cps)	60.00 g
2.00	2	PEG-400 (low color), NF	20.00 g
2.00	3	PEG-8000	20.00 g
0.50	4	FD&C Yellow No. 3 Aluminum Lake	5.00 g
2.50	5	Ponceau Red Dye 4R lake	25.00 g
1.00	6	Titanium dioxide (special coating grade), USP	10.00 g
QS	7	Deionized purified water, USP	QS to 1 L

G. CLEAR

Bill of Materials			
Scale (% w/v)	Item	Material Name	Quantity/L
6.00	1	Hydroxypropyl methylcellulose 2910 (15 cps)	60.00 g
0.10	2	Sorbic acid	1.00 g
2.00	3	Alcohol (200 proof), SD 3A	20.00 mL
2.00	4	PEG-400 (low color) ^a	20.00 g
2.00	5	PEG-8000 (optional)	20.00 g
QS	6	Deionized purified water	QS to 1 L

^a Increase amount to 6.00 if item 5 is not used.

MANUFACTURING DIRECTIONS

1. Place approximately 500 mL of water into a suitable vessel.
2. Heat water to 65°C to 70°C.
3. Add the PEG-8000 to the hot water, and dissolve (if used).

4. While maintaining gentle agitation, sprinkle the hydroxypropyl methylcellulose onto the surface of the hot water solution.
5. Position stirring head to avoid excessive entrainment of air.
6. When the cellulose has been dispersed, add the PEG-400.
7. Continue to stir until dispersion is homogeneous, although solution of cellulose may not be complete.
8. Stop stirring, and allow solution to stand until entrained air is removed.
9. Dissolve sorbic acid in alcohol, and ensure that the solution is complete.
10. When the solution from the preceding step is clear, add 250 mL of cold water, mix well, and add sorbic acid solution.
11. Mix, then bring up to volume with cold water.
12. Store coating solution in well-filled, well-sealed containers.
13. Use within 3 months.

H. GREEN

Bill of Materials			
Scale (% w/v)	Item	Material Name	Quantity/L
6.00	1	Hydroxypropyl methylcellulose 2910 (15 cps)	60.00 g
0.10	2	Sorbic acid	1.00 g
2.00 v/v	3	Alcohol (200 proof), SD 3A	20.00 mL
2.00	4	PEG-400 (low color)	20.00 g
2.00	5	PEG-8000	20.00 g
1.00	6	Titanium dioxide (coating grade)	10.00 g
0.01	7	Yellow E104 Aluminum Lake	0.10 g
0.0032	8	FD&C Blue No. 1 Lake (11%–13%)	0.032 g
QS	9	Deionized purified water	QS to 1 L

I. HOLBERRY RED

Bill of Materials			
Scale (% w/v)	Item	Material Name	Quantity/L
6.00	1	Hydroxypropyl methylcellulose 2910 (15 cps)	60.00 g
0.10	2	Sorbic acid	1.00 g
2.00 v/v	3	Alcohol (200 proof), SD 3A	20.00 mL
2.00	4	PEG-400 (low color)	20.00 g
2.00	5	PEG-8000	20.00 g
1.00	6	Titanium dioxide (coating grade)	10.00 g
1.50	7	FD&C Red No. 40 Lake (29%)	15.00 g
0.50	8	FD&C Blue No. 3 Lake	5.00 g
QS	9	Deionized purified water	QS to 1 L

J. SUN ORANGE

Bill of Materials			
Scale (% w/v)	Item	Material Name	Quantity/L
6.00	1	Hydroxypropyl methylcellulose 2910 (15 cps)	60.00 g
0.17	2	Sorbic acid, NF	1.70 g
2.00 v/v	3	Alcohol (200 proof), SD 3A	20.00 mL
2.00	4	PEG-400 (low color), NF	20.00 g
2.00	5	PEG-8000	20.00 g
2.38	6	Titanium dioxide (coating grade), USP	23.80 g
2.47	7	FD&C Yellow No. 5	24.70 g
0.16	8	FD&C Yellow No. 6	1.60 g
QS	9	Deionized purified water, USP	QS to 1 L

K. OPADRY YELLOW

Bill of Materials			
Scale (mg/ caplet)	Item	Material Name	Quantity/1000 Caplets (g)
10.00	1	Hydroxypropyl methylcellulose (hypromellose)	10.00
4.00	2	Talc (fine powder)	4.00
1.60	3	PEG-4000	1.60
1.20	4	Titanium dioxide	1.20
0.30	5	FD&C Blue No. 1 Lake	0.30
0.50	6	FD&C Blue No. 2 (dispersed)	0.50
0.75	7	Opadry-OY-S 29019 (clear)	0.75
QS	8	Purified water	225.00

MANUFACTURING DIRECTIONS

1. The formula for this coating solution is prepared to obtain a weight gain of 10 mg per caplet (around 600 mg in weight).
2. Disperse item 1 in 175 g of purified water (70°C–80°C) while stirring.
3. Hold overnight for complete dispersion.
4. Disperse items 2 and 3 in 25 g of purified water (25°C–30°C).
5. Hold overnight for complete hydration.
6. Add mixture from previous step.
7. Homogenize using a homogenizer (gap setting = 1.5 mm).
8. Homogenize items 4, 5, and 6 in 50 g of hypromellose dispersion from the previous step twice, using a homogenizer (gap setting = 1.5 mm).
9. Pass the dispersion twice through a 90 µm sieve.
10. (*Note:* This is a critical step; follow instructions closely to prevent foreign particles and spots.) Preparation of polishing solution: Disperse item 7 in 25 g of purified water with slow stirring.
11. Make a vortex by slow stirring, and add the powder in such a way as to avoid foam formation.

L. OPADRY YELLOW

Bill of Materials			
Scale (mg/tablet)	Item	Material Name	Quantity/1000 Tablets (g)
10.00	1	Hydroxypropyl methylcellulose (hypromellose)	10.00
4.00	2	Talc (fine powder)	4.00
1.60	3	PEG-4000	1.60
1.34	4	Titanium dioxide	1.34
0.046	5	Sunset Yellow E110, FCF	0.046
1.34	6	FD&C Yellow No. 10 Lake	1.34
0.75	7	Opadry-OY-S 29019 (clear)	0.75
QS	8	Purified water	225.00

M. OPADRY RED

Bill of Materials			
Scale (mg/caplet)	Item	Material Name	Quantity/1000 Caplets (g)
10.00	1	Hydroxypropyl methylcellulose (hypromellose)	10.00
4.00	2	Talc (fine powder)	4.00
1.60	3	PEG-4000	1.60
1.34	4	Titanium dioxide	1.34
0.15	5	Iron oxide red	0.15
0.75	6	Opadry-OY-S (clear)	0.75
QS	7	Purified water	225.00

N. OPADRY GREEN

Bill of Materials			
Scale (mg/caplet)	Item	Material Name	Quantity/1000 Caplets (g)
10.00	1	Hydroxypropyl methylcellulose (hypromellose)	10.00
4.00	2	Talc (fine powder)	4.00
1.60	3	PEG-4000	1.60
2.125	4	Titanium dioxide	2.125
0.053	5	FD&C Blue No. 1 Lake	0.053
0.15	6	FD&C Yellow No. 10 Lake	0.15
0.75	7	Opadry-OY-S (clear)	0.75
QS	8	Purified water	225.00

MANUFACTURING DIRECTIONS

1. Disperse item 1 in 175 g of purified water (70°C–80°C) while stirring.
2. Keep overnight for complete dispersion.
3. Disperse items 2 and 3 in 25 g of purified water (25°C–30°C).
4. Keep overnight for complete hydration.
5. Add together, and homogenize using homogenizer (gap setting = 1.5 mm).
6. Homogenize items 4, 5, and 6 in 50 g of hypromellose dispersion twice using homogenizer (gap setting = 1.5 mm).
7. Pass the dispersion twice through a 90 µm sieve.
8. (*Note:* This is a critical step; follow instructions closely to prevent foreign particles and spots.) Disperse item 7 in 25 g of purified water while stirring slowly.
9. Make a vortex by slow stirring, and add the powder in such a way as to avoid foam formation.

10. Follow the parameters for coating in Accela Cota:

Caplet load	620 g
Pan speed	4 rpm
Drying air temperature	70°C–75°C
Exhaust temperature	50°C–55°C
Fluid pressure	15–20 psi
Valve on spray gun	One revolution open
Atomizing pressure	55 psi
Nozzle orifice	1 mm
Nozzle distance to bed	250–280 mm
Difference of air pressure	–1.0 to –1.5 cm
Spray rate	200–225 g/min
Coating time	3.0–3.5 hours

- Stir the dispersion at slow speed (6–10 rpm) continuously.
- Spray the polishing solution under the same conditions as previously, adjusting the spray rate to 180 g/min.
- Check the caplet surface every 5 minutes for sticking.
- If sticking tends to appear, stop the coating immediately.
- When the spraying is over, roll the tablets in a pan for 10 minutes with cold air blowing onto the caplets.
- Unload the film-coated caplets into stainless steel containers lined with polyethylene bags.
- Appearance is a light green, film-coated caplet that is smooth, with no sticking or chipping on the caplet surface.
- Weight gain per caplet is NLT 10 mg/tablet.

O. WHITE COATING

Bill of Materials			
Scale (mg/tablet)	Item	Material Name	Quantity/1000 Tablets (g)
22.75	1	Hypromellose	22.75
4.54	2	Polyethylene glycol	4.54
12.50	3	Talc (fine powder)	12.50
10.00	4	Titanium dioxide	10.00
1.30	5	FD&C Yellow No. 10 Lake	1.30
—	6	Purified water	~24.00
—	7	Ethanol (95%)	~21.00

HYDROXYPROPYL METHYLCELLULOSE OPAQUE ORGANIC COATING**A. BRITE GREEN**

Bill of Materials			
Scale (% w/v)	Item	Material Name	Quantity/L (g)
1.00	1	Titanium dioxide	10.00
50.00 v/v	2	Alcohol (200 proof), SD 3A	~397.00
1.69	3	PEG-400 (low color), NF	16.90
0.02	4	FD&C Yellow No. 5	0.20
0.0068	5	FD&C Blue No. 1	0.068
4.00	6	Hydroxypropyl methylcellulose 2910 (15 cps)	40.00
QS	7	Methylene chloride	~625.00

MANUFACTURING DIRECTIONS

- Charge titanium dioxide and QS with alcohol into a Ball mill.
- Mill the material for 16 hours.
- Place 465 mL alcohol into a suitable mixing tank.
- Start agitation.
- Slowly add PEG-400 to mixing tank.
- Mix for 5 minutes.
- Add FD&C Yellow to the mixing tank with continued agitation.
- Rinse bottle with alcohol tapped from mixing tank.
- Return rinse to mixing tank.
- Add FD&C Blue to the mixing tank, and rinse.
- Mix for 2 hours.
- Tap approximately 10 mL of solution from mixing tank after 0.5, 1, and 1.5 hours of mixing.
- Put solution back into mixing tank. (*Note:* Tapping solution ensures that dye is not tapped into lower valve and/or pipeline.) Rinse the Ball mill with two rinses of 11.6 mL alcohol.
- Reseal the Ball mill, and allow it to run for 2 to 5 minutes between rinses.
- Empty content of the Ball mill and rinses into mixing tank.
- Slowly sprinkle hydroxypropyl methylcellulose into mixing tank with constant agitation.
- Agitate for an additional 15 minutes. (*Note:* Prevent the development of lumps by slowly sprinkling hydroxypropyl methylcellulose into the alcohol.) After mixing for 10 minutes, tap approximately 10 mL from the mixing tank, and put back into tank to recirculate.

18. Add sufficient methylene chloride (~474 mL) to bring up to volume.
19. Continue agitation for 2 hours.
20. After 0.5, 1, and 1.5 hours, tap approximately 10 mL of solution from mixing tank, and put back into mixing tank to recirculate.
21. (*Note:* No residue should be present in the solution when tapped at 1.5 hours; if some is present, then continue agitation, and tap every 15 minutes until no residue is observed.) (*Caution:* Avoid contact with methylene chloride and vapors; they may have toxic effects when swallowed or inhaled.) (*Note:* Nitrogen pressure may be used to assist bottle filling.) Strain mixing tank contents through two-ply cheesecloth, or similar, into suitable approved containers (one-half the total number of bottles). (*Note:* Lumps may obstruct spray nozzle.)

B. RED MAHOGANY

Bill of Materials			
Scale (% w/v)	Item	Material Name	Quantity/L (g)
0.40	1	Titanium dioxide	4.00
45.00 v/v	2	Alcohol (200 proof), SD 3A	~375.30
0.40	3	Vanillin (crystals)	4.00
1.00	4	Propylene glycol	10.00
1.50	5	FD&C Red No. 40 Lake (29%)	15.00
1.00	6	Brown lake blend	10.00
4.00	7	Hydroxypropyl methylcellulose 2910 (15 cps)	40.00
QS	8	Methylene chloride	~530.40

C. SUN ORANGE

Bill of Materials			
Scale (%)	Item	Material Name	Quantity/L (g)
3.00 (w/v)	1	Titanium dioxide	30.00
50.00 (v/v)	2	Alcohol (200 proof), SD 3A	~397.00
2.11 (w/v)	3	Propylene glycol	21.10
3.11 (w/v)	4	FD&C Yellow No. 5	31.10
0.20 (w/v)	5	FD&C Yellow No. 6	2.00
4.00 (w/v)	6	Hydroxypropyl methylcellulose 2910 (15 cps)	40.00
QS	7	Methylene chloride	~625.00

D. DARK RED

Bill of Materials			
Scale (% w/v)	Item	Material Name	Quantity/L (g)
1.00	1	Titanium dioxide	10.00
20.00 v/v	2	Alcohol (200 proof), SD 3A	~200.00 mL
2.00	3	PEG-400 (low color)	20.00
0.02	4	Ponceau 4R dye (red)	20.00
0.0068	5	FD&C Blue No. 1	0.068
2.95	6	Hydroxypropyl methylcellulose 2910 (15 cps)	29.50
QS	7	Methylene chloride	QS to 1 L

E. DEEP YELLOW

Bill of Materials			
Scale (% w/v)	Item	Material Name	Quantity/L
2.00	1	Titanium dioxide	20.00 g
50.00	2	Alcohol (200 proof), SD 3A	~397.00 g
2.00	3	PEG-400 (low color)	20.00 g
2.00	4	FD&C Yellow No. 5 Lake	20.00 g
2.95	5	Hydroxypropyl methylcellulose 2910 (15 cps)	29.50 g
QS	6	Methylene chloride	QS to 1 L

F. PALE YELLOW

Bill of Materials			
Scale (% w/v)	Item	Material Name	Quantity/L
1.50	1	Titanium dioxide	15.00 g
50.00	2	Alcohol (200 proof), SD 3A	~397.00 g
2.00	3	PEG-400 (low color), NF	20.00 g
0.50	4	FD&C Yellow No. 10 Aluminum Lake (14–17%)	5.00 g
2.95	5	Hydroxypropyl methylcellulose 2910 (15 cps)	29.50 g
QS	6	Methylene chloride	QS to 1 L

G. SCARLET RED

Bill of Materials			
Scale (% w/v)	Item	Material Name	Quantity/L
2.00	1	Titanium dioxide	20.00 g
20.00	2	Alcohol (200 proof), SD 3A	~200.00 g
2.00	3	PEG-400 (low color), NF	20.00 g
2.00	4	FD&C Yellow No. 7 Lake	20.00 g
1.00	5	FD&C Yellow No. 5 Lake	10.00 g
2.95	6	Hydroxypropyl methylcellulose 2910 (15 cps)	29.50 g
QS	7	Methylene chloride	QS to 1 L

**HYDROXYPROPYL METHYLCELLULOSE/
HYDROXYPROPYL CELLULOSE
(KLUCEL®) COATING****A. WHITE**

Bill of Materials			
Scale (% w/v)	Item	Material Name	Quantity/L
2.00	1	Titanium dioxide	20.00 g
0.50	2	Hydroxypropyl cellulose, NC	5.00 g
45.00	3	Alcohol (200 proof), SD 3A	~450.00 g
2.00	4	Propylene glycol	20.00 g
4.50	5	Hydroxypropyl methylcellulose 2910 (15 cps)	45.00 g
QS	6	Methylene chloride	QS to 1 L

MANUFACTURING DIRECTIONS

1. Place titanium dioxide and sufficient methylene chloride into suitably sized ball jars to cover the balls.
2. Mill for not less than 16 hours.

3. While mixing alcohol, add and disperse hydroxypropyl methylcellulose, hydroxypropyl cellulose, and propylene glycol, followed by 250 mL of methylene chloride.
4. Continue mixing until the dissolution is complete.
5. While mixing the solution from the second step, empty into it the contents of the ball jar, rinse the balls and jar with methylene chloride, add the rinsings to the batch, and mix.
6. Bring the batch up to volume with methylene chloride, and mix well until homogeneous.
7. Strain the batch through muslin into suitable, approved bottles.
8. Seal and store.

**HYDROXYPROPYL METHYLCELLULOSE/
ETHYLCELLULOSE COATING****A. REDDISH ORANGE OPAQUE**

Bill of Materials			
Scale (% w/v)	Item	Material Name	Quantity/L
1.16	1	Titanium dioxide	11.60 g
45.00	2	Alcohol (dehydrated; 200 proof)	~450.00 g
0.20	3	Vanillin (crystals), NF	2.00 g
0.50	4	Albumen powder (white hen egg)	5.00 g
2.00	3	PEG-400 (low color), NF	20.00 g
1.30	4	FD&C Red No. 3	13.00 g
0.05	5	FD&C Red No. 2 (Amaranth), USP	0.50 g
0.20	6	FD&C Yellow No. 6	2.00 g
2.95	5	Hydroxypropyl methylcellulose 2910, USP (15 cps)	29.50 g
QS	6	Methylene chloride	QS to 1 L

MANUFACTURING DIRECTIONS

1. Load vanillin, albumen, titanium dioxide, FD&C Red No. 3, FD&C Red No. 2, and FD&C Yellow No. 6 into a suitable-size ball jar.
2. Add sufficient methylene chloride to cover the pigments and balls.
3. Mill for 24 hours.
4. Measure 400 mL of alcohol into a suitable stainless steel container.
5. Sprinkle the hydroxypropyl methylcellulose/ethylcellulose onto the surface of the alcohol while stirring vigorously.
6. When the hydroxypropyl methylcellulose/ethylcellulose has been wetted, quickly add 300 mL methylene chloride while stirring vigorously.

- Add the PEG-400 to the solution, and rinse the container with the remaining alcohol; add the rinsings to the bulk.
- Empty the contents of the ball jar from the first step into the coating solution from the previous step while stirring vigorously.
- Rinse the ball jar with methylene chloride; add the rinsings to the bulk.
- Bring up to volume with methylene chloride.

B. SUBCOATING SOLUTION

Bill of Materials

Scale (% w/v)	Item	Material Name	Quantity/L
45.00	1	Alcohol (190 proof), USP	450.00 mL
0.50	2	Hydroxypropyl cellulose, NF	5.00 g
4.50	3	Hydroxypropyl methylcellulose 2910, USP (15 cps)	45.00 g
QS	4	Methylene chloride	QS to 1 L

HYDROXY METHYLCELLULOSE/HYDROXY ETHYL-CELLULOSE COATING

A. BLUE

Bill of Materials

Scale (% w/v)	Item	Material Name	Quantity/L
1.00	1	Hydroxy methylcellulose	10.00 g
1.00	2	Hydroxy ethylcellulose (15 cps)	10.00 g
0.312	3	Titanium dioxide	3.12 g
1.00	4	FD&C Blue No. 1 Lake (12%)	10.00 g
0.375	5	Castor oil (odorless)	3.75 g
0.375	6	Sorbitan monooleate	3.75 g
50.00	7	Alcohol (200 proof), SD 3A	500.00 mL
QS	8	Methylene chloride	QS to 1 L

MANUFACTURING DIRECTIONS

- Premix hydroxy methylcellulose and hydroxy ethylcellulose, and add to 440 mL alcohol with rapid agitation.
- Mix for not less than 1 hour.
- Place FD&C Blue and titanium dioxide into a ball mill.
- Cover the balls and materials with 60 mL of alcohol, and mill for 16 hours.
- Add contents to mixing tank, and add the castor oil and sorbitan monooleate.

- Rinse the ball mill with methylene chloride, and add the rinsings to the mixing tank.
- Bring up to a volume of 1 L with methylene chloride, and mix for at least 1 hour.

B. CLEAR (50:50)

Bill of Materials

Scale (% w/v)	Item	Material Name	Quantity/L
1.00	1	Hydroxy methylcellulose	10.00 g
1.00	2	Hydroxy ethylcellulose, USP (15 cps)	10.00 g
0.375	3	Castor oil (odorless)	3.75 g
50.00	4	Alcohol (200 proof), SD 3A	500.00 mL
QS	5	Methylene chloride	QS to 1 L

HYDROXY METHYLCELLULOSE/HYDROXY ETHYLCELLULOSE COATING

A. CLEAR

Bill of Materials

Scale (% w/v)	Item	Material Name	Quantity/L
1.00	1	Hydroxy methylcellulose	10.00 g
1.00	2	Hydroxy ethylcellulose, USP (15 cps)	10.00 g
0.375	3	Castor oil (odorless), USP	3.75 mL
50.00	4	Alcohol (200 proof), SD 3A	500.00 mL
QS	5	Methylene chloride	QS to 1 L

MANUFACTURING DIRECTIONS

- Place alcohol into mixing tank.
- Turn on mixer to mixing speed; maintain mixing speed throughout preparation of coating solution.
- Add hydroxy methylcellulose and hydroxy ethylcellulose into the mixing tank.
- Let mix for 1 hour.
- Add methylene chloride (~500 mL) to bring the final volume up to 1 L.
- Mix for 1 hour.
- Solution need not be agitated at all times.
- Keep tank tightly closed at all times.
- Rubber stoppers on bottles must be protected from methylene chloride with a polyethylene layer.

POLYVINYLPIRROLIDONE (PVP) COATINGS

A. SUBCOATING

Bill of Materials			
Scale (% w/v)	Item	Material Name	Quantity/L
20.00	1	Povidone USP K-29-32 ^a	200.00 g
80.00	2	Alcohol (200 proof), SD 3A	800 mL

^a May be substituted with Kollidon[®] VA 64 (polyvinylpyrrolidone/vinylacetate copolymer; 10%), and item 2 can be replaced with isopropyl alcohol.

MANUFACTURING DIRECTIONS

1. Spray the solution onto the warm tablet cores (30°C–40°C) for a few minutes before continuing with the main aqueous coating procedure.
2. An amount of 0.4 mg/cm² tablet surface is sufficient for good subcoating protection.
3. No plasticizer is needed in this formulation due to the plasticity of Kollidon[®] VA 64.

B. KOLLIDON[®] VA 64 (POLYVINYLPIRROLIDONE/
VINYLACETATE COPOLYMER, BASF)

Bill of Materials			
Scale (% w/w)	Item	Material Name	Quantity/kg
5.00	1	Kollidon [®] VA 64	50.00 g
4.00	2	Lutrol E 6000	40.00 g
0.50	3	Glycerin, USP	5.00 g
1.50	4	Iron oxide or lake	15.00 g
3.00	5	Titanium dioxide	30.00 g
5.00	6	Talc	50.00 g
QS	7	Purified water	QS to 1 L

MANUFACTURING DIRECTIONS

1. Pass the suspension through a disk mill prior to use, and spray under the following conditions.

SUGAR-COATING PAN

Spray gun	Walther WAXV with 1 mm nozzle
Spraying time	3 seconds
Pause	0.5 seconds
Dry air	6 seconds
Pause	3 seconds

ACCELA COTA (CONTINUOUS SPRAYING)

Spray gun	Walther WAXV with 0.8 mm nozzle
Temperature at inlet	45°C
Temperature at outlet	38°C
Spraying pressure	2 bar
Spraying time	~50 minutes

If the film is too sticky, a certain part of the Kollidon[®] should be substituted by HPMC or sucrose.

KOLLIDON[®] VA 64 AND POLYVINYL ALCOHOL

Bill of Materials			
Scale (% w/w)	Item	Material Name	Quantity/kg
5.0	1	Kollidon [®] VA 64	50.00 g
4.00	2	Lutrol E 6000	40.00 g
6.00	3	Polyvinyl alcohol	60.00 g
68.00	4	Purified water	680.00 g
0.50	5	Glycerin, USP	5.00 g
1.50	6	Iron oxide or lake	15.00 g
3.00	7	Titanium dioxide	30.00 g
5.00	8	Talc	50.00 g
QS	9	Purified water	168.00 g

MANUFACTURING DIRECTIONS

1. Dissolve items 1 to 3 in item 4, add polyvinyl alcohol, and stir for 45 minutes, avoiding the formation of too many air bubbles.
2. Suspend the pigments and talc in 168 mL of water, and pass this mixture through a colloid mill.
3. To obtain the final coating suspension, mix this solution with the first solution.

4. Suggested conditions for coating using Accela Cota are as follows.

Tablet core loading	5.0 kg
Amount of coating suspension	1.26 kg
Inlet air temperature	59°C
Outlet air temperature	46°C
Nozzle	1.0 mm
Rotation speed of the pan	15 rpm
Spraying pressure	2.0 bar
Spraying rate	15 g/min
Spraying time (continuously)	83 minutes
Final drying	5 minutes
Quantity of film former applied	~3 mg/cm ²

A. KOLLIDON® 30 AND SHELLAC

Bill of Materials			
Scale (% w/w)	Item	Material Name	Quantity/kg (g)
2.00	1	Kollidon® 25 or 30	20.00
17.70	2	Shellac	177.00
18.50	3	Titanium dioxide	185.00
6.50	4	Talc	65.00
1.50	5	Cetyl alcohol	15.00
3.00	6	Sorbitan trioleate	30.00
5.00	7	Color lake	50.00
QS	8	Isopropanol or alcohol	458.00

MANUFACTURING DIRECTIONS

1. Dissolve shellac and sorbitan trioleate in the warm solvent and then Kollidon® and cetyl alcohol.
2. Add titanium dioxide, talc, and lake, and then mix in the colloid mill.
3. Application of the coating suspension: About 50 g of suspension is applied to 1 kg of tablet cores in a conventional coating pan or in an Accela Cota pan (1–2 mg film formers/cm²).

B. KOLLIDON® VA 64 AND HYDROXYPROPYL METHYLCELLULOSE

Bill of Materials			
Scale (% w/w)	Item	Material Name	Quantity/kg
4.00	1	Kollidon® VA 64	53.00 g
1.00	2	Lutrol E 6000	12.00 g
6.00	3	Hydroxypropyl methylcellulose	79.00 g
1.50	4	Iron oxide or lake	18.00 g
3.00	5	Titanium dioxide	37.00 g
4.00	6	Talc	40.00 g
QS	7	Purified water	QS to 1 kg

MANUFACTURING DIRECTIONS

1. Dissolve Lutrol and Kollidon® in a portion of the water, add hydroxypropyl methylcellulose, and stir for 45 minutes, avoiding the formation of too many air bubbles.
2. Suspend the pigments and talc in a portion of the water, and pass this mixture through a colloid mill.
3. Mix the two portions.
4. Conditions for coating using Accela Cota are as follows.

Tablet core loading	5.0 kg
Core size	9 mm biconvex
Amount of coating suspension applied	1.2 kg
Inlet air temperature	60°C
Outlet air temperature	40°C
Nozzle	1.0 mm
Rotation speed of the pan	12 rpm
Spraying pressure	2.0 bar
Spraying rate	50 g/min
Spraying time (continuously)	34 minutes
Final drying	2 minutes
Drying after spraying	5 minutes at 60°C
Quantity of film-former applied	3.14 mg/cm ²

C. POVIDONE, ETHYLCELLULOSE, AND TALC

Bill of Materials			
Scale (% w/v)	Item	Material Name	Quantity/L
7.50	1	Povidone (PVP K-29-32), USP	75.00 g
4.25	2	Ethylcellulose, NF	42.50 g
0.50	3	PEG-400, NF	5.00 g
5.00	4	Talc	50.00 g
45.00	5	Alcohol (200 proof), SD 3A	450.00 mL
QS	6	Methylene chloride, NF	QS to 1 L

MANUFACTURING DIRECTIONS

1. Dissolve Povidone in alcohol and then add PEG-400.
2. Add ethylcellulose to this solution.
3. Mix until evenly dispersed; then, bring up to volume with methylene chloride with constant stirring.
4. Add talc to this solution, and stir to ensure distribution.
5. Solution should be freshly prepared and used within 10 days of manufacture.
6. Thoroughly disperse talc before use.
7. If batch is more than 200 L, do not add talc.
8. If coating solution is manufactured without talc, then solution should be used within 4 weeks.

CELLULOSE ACETATE PHTHALATE AND CARBOWAX COATINGS**A. BRITE GREEN**

Bill of Materials			
Scale (% w/v)	Item	Material Name	Quantity/L
6.00	1	Cellulose acetate phthalate (carbowax)	60.00 g
1.86	2	Propylene glycol	18.65 g
0.66	3	Sorbitan monooleate (Span 80)	6.00 g
0.12	4	Castor oil (odorless)	1.25 g
0.85	5	FD&C Blue Dye No.1	0.85 g
3.11	6	FD&C Yellow Dye No. 5 lake	31.10 g
5.33	7	Titanium dioxide	53.30 g
21.58	8	Methylene chloride	215.00 g
QS	9	Acetone	QS to 1 L

MANUFACTURING DIRECTIONS

1. Place methylene chloride in a suitably sized mixing tank.
2. While stirring, add propylene glycol, Span 80, and castor oil.

3. To this mixture add cellulose acetate phthalate, and allow to soak overnight.
4. Load dyes and titanium dioxide into a suitable ball jar.
5. Add sufficient acetone to cover the raw materials and balls.
6. Ball mill overnight.
7. Melt carbowax with a portion of the acetone using gentle heat.
8. Add the melted carbowax to the mixture from the second step.
9. Empty contents of ball jar mill to this mixture.
10. Rinse the ball jar with acetone, and add rinsings.
11. Add acetone to volume, and mix well.
12. If necessary, strain solution through gauge before storage or use.

B. CHERRY RED

In the preceding formulation, use FD&C Red Dye No.3 (6.800 g), FD&C Red Dye No. 2 (Amaranth, USP; 1.00 g), and FD&C Yellow Dye (5.40 g).

C. CLEAR

Delete dyes.

D. ORANGE

Use FD&C Yellow Dye No. 6 (4.00 g) and FD&C Yellow Dye No. 5 (12.00 g).

SUGAR COATINGS**A. BASIC**

Bill of Materials			
Scale (% w/w)	Item	Material Name	Quantity/kg
4.00	1	Kollidon® VA 64	40.00 g
16.00	2	Sucrose	160.00 g
2.40	3	Titanium dioxide	24.00 g
1.20	4	Color lake	12.00 g
3.20	5	Lutrol E 4000	32.00 g
4.00	6	Talc	40.00 g
QS	7	Purified water	QS to 1 kg

MANUFACTURING DIRECTIONS

1. Dissolve sucrose, Kollidon®, and Lutrol in the water, and suspend the other components.
2. Pass through a colloid mill.

3. Use the following conditions for use in Accela Cota.

Tablet core loading	5.00 kg
Amount of coating suspension	1.20 kg
Inlet air temperature	45°C
Outlet air temperature	35°C
Nozzle	0.80 mm
Rotation speed of the pan	15 rpm
Spraying pressure	2.0 bar
Spraying time (continuously)	50 minutes
Quantity of film former applied	4.00 mg/cm ²

B. AUTOMATIC

Bill of Materials

Scale (% w/w)	Item	Material Name	Quantity, g/kg
4.00	1	Kollidon® 30	40.00
38.00	2	Sucrose	380.00
4.50	3	Titanium dioxide	45.00
QS	4	Color lake	QS
4.50	5	Calcium carbonate	45.00
14.50	6	Talc	145.00
QS	7	Purified water	QS to 1 kg

MANUFACTURING DIRECTIONS

1. Dissolve sucrose in hot water; then, mix with glycerol.
2. Dissolve Kollidon®, and suspend the other components.
3. Coating procedure: Coat 4 kg of tablet cores with a weight of 420 mg each by spraying with 2.5 kg of the suspension in a conventional coating pan under the following conditions:

Spray phase	5 seconds
Interval	10 minutes
Drying phase (warm air)	10 minutes
Total coating time	16 hours

C. MANUAL, WHITE

Bill of Materials

Scale (% w/w)	Item	Material Name	Quantity/kg (g)
0.33	1	Kollidon® 30	3.36
0.29	2	Carmellose sodium	2.92
0.21	3	Aerosil® 200	2.14
QS	4	Color lake (white)	QS
1.62	5	Talc	16.20
0.10	6	Polysorbate or Cremophor RH40	1.00
1.40	7	Titanium dioxide	14.00
62.70	8	Sucrose	627.00
33.40	9	Purified water	334.00

MANUFACTURING DIRECTIONS

1. Dissolve Kollidon®, polysorbate or Cremophor, and sucrose in water, and suspend the other components in this solution.
2. Mix in a colloid mill.
3. Start with formulation without the color, and then apply the color coat.
4. The polishing can be done by means of a solution of beeswax or PEG-6000.

ENTERIC COATINGS

A. KOLLIcoat® AND KOLLIDON® ENTERIC FILM COATING

Bill of Materials

Scale (% w/w)	Item	Material Name	Quantity/kg
0.50	1	Titanium dioxide	5.00 g
2.00	2	Talc	20.00 g
0.50	3	Iron oxide	5.00 g
0.50	4	Kollidon® 25 or Kollidon® 30	5.00 g
50.00	5	Kollicoat® MAE 30 DP (methacrylic acid/ethyl acrylate copolymer, 1:1)	500.00 g
1.50	6	Triethyl citrate	15.00 g
QS	7	Purified water	QS to 1 kg

MANUFACTURING DIRECTIONS/CONDITIONS

Tablet core loading	5 kg
Core size	9 mm biconvex
Quantity of suspension applied	1890 g
Quantity of solids/cm ²	9 mg
Quantity of film-forming agent/cm ²	6 mg
Speed of the coating pan	12 rpm
Spray nozzle	0.8 mm
Spraying pressure	2.0 bar
Type of spraying	Continuous
Inlet air temperature	50°C
Outlet air temperature	~30°C
Spraying time	~60 minutes
Spraying rate	~30 g/min

EUDRAGIT® ENTERIC AQUEOUS

A. BRICK RED

Bill of Materials			
Scale (% w/w)	Item	Material Name	Quantity/kg (g)
46.667	1	Distilled purified water	466.667
1.519	2	Talc (powder)	15.198
0.798	3	Titanium dioxide (special coating grade)	7.983
1.55	4	Iron oxide, red	15.50
0.426	5	Polysorbate 80	4.262
0.015	6	Dimethyl polysiloxane emulsion (30%)	0.155
47.60	7	Eudragit®; use Eudragit® L 30D-55	476.00
1.426	8	Triethyl citrate (Eudraflex®)	14.259

MANUFACTURING DIRECTIONS

1. Weigh the quantity of water needed.
2. Put approximately 21.5% of the total quantity of water in a suitable mixing container.
3. Add talc powder, and stir vigorously until well suspended (approximately 20 minutes).
4. Add the following to this suspension, and mix thoroughly: titanium dioxide, iron oxide, Tween 80, and dimethyl polysiloxane emulsion (30%).

5. (*Note:* The pigments may require homogenizing with colloid, corundum disc mill, or ball mill.) Put the Eudragit® in a suitable mixing vessel, and add the following with continuous mixing: homogenized pigment mixture, Eudraflex® (i.e., triethyl citrate), and remaining quantity of water. *Note:* When PEG-8000 is used as a plasticizer, it should be incorporated as a 10% aqueous solution.

B. YELLOW

Bill of Materials			
Scale (% w/w)	Item	Material Name	Quantity/kg (g)
46.66	1	Distilled purified water	466.66
1.25	2	Talc (powder)	12.57
0.77	3	Titanium dioxide (special coating grade)	7.79
1.83	4	FD&C Yellow No. 10 Aluminum Lake (14% to 17%)	18.36
0.42	5	Polysorbate 80	4.27
0.01	6	Dimethyl polysiloxane emulsion (30%)	0.12
47.6	7	Eudragit®; use methacrylic acid copolymer, NF (Eudragit® L 30D-55)	476.00
1.42	8	Triethyl citrate (Eudraflex®)	14.21

C. BROWN

Bill of Materials			
Scale (% w/w)	Item	Material Name	Quantity/kg (g)
46.66	1	Distilled purified water	466.66
0.47	2	Titanium dioxide (special grade coating), USP	4.76
0.85	3	Iron oxide, black	8.53
2.26	4	Iron oxide, red	22.61
0.25	5	Iron oxide, yellow	2.57
0.42	6	Polysorbate 80	4.26
0.01	7	Dimethyl polysiloxane emulsion	0.09
47.63	8	Eudragit®; use Eudragit® L 30D-55	476.33
1.42	9	Triethyl citrate (Eudraflex®)	14.28

D. DARK ORANGE

Bill of Materials			
Scale (% w/w)	Item	Material Name	Quantity/kg (g)
46.66	1	Distilled purified water	466.66
2.51	2	Talc (powder)	25.18
0.39	3	Titanium dioxide (special coating grade)	3.92
0.93	4	FD&C Yellow No. 6 Aluminum Lake	9.32
0.42	5	Polysorbate 80	4.29
0.01	6	Dimethyl polysiloxane emulsion (30%)	0.13
47.63	7	Eudragit®; use Eudragit® L 30D-55	476.33
1.42	8	Triethyl citrate (Eudraflex®)	14.28

E. ORANGE

Bill of Materials			
Scale (% w/w)	Item	Material Name	Quantity/kg (g)
46.66	1	Distilled purified water	466.66
2.60	2	Talc (powder)	26.00
0.78	3	Titanium dioxide (special coating grade)	7.84
0.46	4	FD&C Yellow No. 6 Aluminum Lake	4.66
0.42	5	Polysorbate 80	4.27
0.01	6	Dimethyl polysiloxane emulsion (30%)	0.11
47.61	7	Eudragit®; use Eudragit® L 30D-55	476.16
1.42	8	Triethyl citrate (Eudraflex®)	14.29

F. DISPERSED ORANGE

Bill of Materials			
Scale (mg/ tablet)	Item	Material Name	Quantity/1000 Tablets (g)
0.92	1	Opagloss NA 7150	0.92
7.07	2	Methacrylic acid copolymer (Eudragit® L 100-55)	7.07
0.09	3	Sodium hydroxide pellets (caustic soda)	0.09
0.73	4	PEG-6000	0.73
2.50	5	Talc (fine powder)	2.50
0.10	6	Simethicone emulsion 30% (simethicone antifoam M30)	0.10
0.27	7	Povidone (PVP K-25)	0.27
50.00	8	Sucrose	50.00
0.54	9	Povidone (PVP K-25)	0.54
0.36	10	Titanium dioxide	0.36
0.36	11	FD&C Yellow No. 10 Lake	0.36
0.04	12	Dispersed orange ^a	0.04
1.07	13	Sucrose	1.07
0.38	14	Polishing emulsion	0.38
—	15	Purified water	65.41

^a Dispersed orange: This material is the aluminum lake of Sunset Yellow FCF (E110).

HYDROXYPROPYL METHYLCELLULOSE PHTHALATE ENTERIC COATING**A. CLEAR ENTERIC**

Bill of Materials			
Scale (%)	Item	Material Name	Quantity/kg
20.00 (v/v)	1	Acetone	200.00 mL
10.00 (v/v)	2	Purified water	100.00 mL
4.00 (w/v)	3	Hydroxypropyl methylcellulose	40.00 g
0.30 (w/v)	4	Vanillin (crystals)	3.00 g
0.40 (w/v)	5	Acetylated monoglycerides	4.00 g
QS	6	Alcohol (200 proof), SD 3A	QS to 1 L

MANUFACTURING DIRECTIONS

1. Charge acetone, purified water, and 470 mL of alcohol into a suitable mixing tank.
2. Add hydroxypropyl methylcellulose phthalate, vanillin crystals (if used), and the distilled acetylated monoglycerides.
3. Mix until a clear solution is obtained.
4. Bring up to 1 L with alcohol, and record volume used.
5. Mix for 1 hour.

B. ORCHID PINK OPAQUE

Bill of Materials			
Scale (%)	Item	Material Name	Quantity/kg
20.00 (v/v)	1	Acetone	200.00 mL
10.00 (v/v)	2	Purified water	100.00 mL
8.00 (w/v)	3	Hydroxypropyl methylcellulose phthalate	80.00 g
0.80 (w/v)	4	Diacetylated monoglycerides	8.00 g
0.06 (w/v)	5	Red D&C No. 30 Lake	0.60 g
0.006 (w/v)	6	FD&C Blue No. 2 Aluminum Lake (14%)	0.06 g
0.70 (w/v)	7	Titanium dioxide	7.00 g
QS	8	Alcohol (200 proof), SD 3A	1

C. LIGHT APRICOT ORANGE

Bill of Materials			
Scale (% w/v)	Item	Material Name	Quantity/kg
20.00 (v/v)	1	Acetone	200.00 mL
10.00 (v/v)	2	Purified water	100.00 mL
8.00 (w/v)	3	Hydroxypropyl methylcellulose phthalate	80.00 g
0.80 (w/v)	4	Diacetylated monoglycerides	8.00 g
0.10 (w/v)	5	FD&C Yellow No. 10 Aluminum Lake (14%–17%)	1.00 g
0.06 (w/v)	6	FD&C Red No. 3 Aluminum Lake (14%)	0.60 g
0.70 (w/v)	7	Titanium dioxide	7.00 g
QS	8	Alcohol (200 proof), SD 3A	To 1 kg

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THIRD EDITION

STERILE PRODUCTS

Sarfaraz K. Niazi

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Handbook of Pharmaceutical Manufacturing Formulations

Volume Six, Sterile Products



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To Dean Shamsuz Zoha

Dean Shamsuz Zoha passed away in 2010; he was the dean of pharmacy school in Pakistan where I began my career and I had the privilege of receiving his blessings throughout my life.



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Preface to the Series—Third Edition

I am humbled by the wide praise and acceptance of the last two editions of the Handbook of Pharmaceutical Formulations, a six-volume Series that found home in the R&D laboratories of just about every pharmaceutical company, both generic and branded, and in the classrooms of pharmaceutical technology; and the regulatory agencies used this treatise to compare the quality of pharmaceutical products. In creating this work, back in 2004, my primary objective was to provide a ready source of safe and scalable generic and new pharmaceutical formulations that take a long time to develop and incur a substantial cost, to enable the availability of affordable medicines.

Each of the six volumes in the Series has a structured content; Part I includes regulatory guidance, formulation steps, references to active ingredients and excipients, and a self-audit guidance for cGMP compliance. Chapters of common interest to all volumes are distributed across the six Volumes, such as the formulations for coating solutions are presented in Volume 5 (OTC), though they are also pertinent to Volume 1 (Compressed Dosage Forms), and global bioequivalence testing guidelines are provided in Volume 4 (Semisolids), though they apply to all Volumes. Part II includes scalable formulations and Part III, where applicable, other general formulations. The Appendices include a listing of excipients used in FDA approved products and a cGMP compliance self-testing tool. Whereas the main focus of the guidance provided in the Handbook pertains to compliance with FDA requirements, these apply equally to EU requirements, and, as a result, to any global agency.

The third edition also gets several significant additions; now each volume includes a self-audit template, several chapters advising how to stay cGMP compliant including a listing of most common FDA citations to look out for in the audits, a global regulatory focus and an updated list of excipients and the level of their incorporation in the FDA approved products. The number of formulations is also increased, and the OTC volume now contains several cosmetic formulations, and the

semisolid product volume also includes details on chewing gum delivery systems.

The updating of formulations is always cumulative as there is little need to remove any formulation provided previously—if it was right then, it shall remain good now. However, a variety of new drug delivery systems have evolved since the second edition was published, so I have included more details on these formulations, although, some of these may not be available to practice due to possible limitations on the intellectual property.

As always, I advise the formulators to be aware of any intellectual property infringements as I cannot provide a guarantee to this effect.

Finally, I wish to acknowledge the leaders of the pharmaceutical world, to whom each of the volumes is dedicated. I have made a few changes to those whom the Volumes are dedicated, to recognize those who have since passed away; they provided a role model to me and thousands of leaders and students of pharmacy over decades of their career. They are gone, but not without leaving an indelible mark on the profession.

I also consider myself fortunate to have the sponsorship and assistance of the great folks at the CRC Press, more particularly Jessica Poile and Hilary LaFoe. The teams at the CRC Press were very kind to put up with my redundant changes to the manuscript and were extremely generous in their advice in balancing the scientific and practical knowledge, and above all, making sure that the book is framed and published in the highest professional presentation. As always, I take responsibility for any mistakes and errors in my writings, and I am always open to suggestions by the readers to make future editions. I can be contacted at niazi@niazi.com.

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Preface to the Series—Second Edition

The science and the art of pharmaceutical formulation keeps evolving as new materials, methods, and machines become readily available to produce more reliable, stable, and release-controlled formulations. At the same time, globalization of sourcing of raw and finished pharmaceuticals brings challenges to regulatory authorities and results in more frequent revisions to the current good manufacturing practices, regulatory approval dossier requirements, and the growing need for cost optimization. Since the publication of the first edition of this book, a lot has changed in all of these areas of importance to pharmaceutical manufacturers. The second edition builds on the dynamic nature of the science and art of formulations and provides an evermore useful handbook that should be highly welcomed by the industry, the regulatory authorities, as well as the teaching institutions.

The first edition of this book was a great success as it brought under one umbrella the myriad of choices available to formulators. The readers were very responsive and communicated with me frequently pointing out to the weaknesses as well as the strengths of the book. The second edition totally revised attempts to achieve these by making major changes to the text, some of which include:

1. Complete, revised errors corrected and subject matter reorganized for easy reference. Whereas this series has six volumes differentiated on the basis of the type of dosage form and a separate inclusion of the U.S. OTC products, ideally the entire collection is needed to benefit from the myriad of topics relating to formulations, regulatory compliance, and dossier preparation.
2. Total number of pages is increased from 1684 to 2726.
3. Total number of formulations is expanded by about 30% with many newly approved formulations.
4. Novel formulations are now provided for a variety of drugs; these data are collected from the massive intellectual property data and suggest toward the future trend of formulations. While some of these formulations may not have been approved in the United States or Europe, these do provide additional choices, particularly for the NDA preparation. As always, it is the responsibility of the manufacturer to assure that the intellectual property rights are not violated.
5. A significant change in this edition is the inclusion of commercial products; while most of this information is culled out from the open source such as the FOIA (<http://www.fda.gov/foi/default.htm>), I have made attempts to reconstruct the critical portions of it based on what I call the generally acceptable standards. The drug companies are advised to assure that any intellectual property rights are not violated and this applies to all information contained in this book. The freedom of information act (FOIA) is an extremely useful conduit for reliable information and manufacturers are strongly urged to make use of this information. Whereas this information is provided free of charge, the process of obtaining the information may be cumbersome, in which case, commercial sources of these databases can prove useful, particularly for the non-U.S. companies.
6. Also included are the new Good Manufacturing Guidelines (2007) with amendments (2008) for the United States and similar updates for European Union and WHO; it is strongly urged that the companies discontinue using all old documents as there are significant changes in the revised form, many of them are likely to reduce the cost of GMP compliance.
7. Details on design of clean rooms is a new entry that will be of great use to sterile product manufacturers; whereas the design and flow of personnel and material flow is of critical nature, regulatory agencies view these differently and the manufacturer is advised always to comply with most stringent requirements.
8. Addition of a self-auditing template in each volume of the series. While the cGMP compliance is a complex issue and the requirements diversified across the globe, the basic compliance remains universal. I have chosen the European Union guidelines (as these are more in tune with the ICH) to prepare a self-audit module that I recommend that every manufacturer adopt as a routine to assure GMP compliance. In most instances reading the template by those responsible for compliance with keep them sensitive to the needs of GMP.
9. OTC products cross-referenced in other volumes where appropriate. This was necessary since the regulatory authorities worldwide define this class of drug differently. It is important to iterate that regardless of the prescription or the OTC status of a product, the requirements for compliance with the cGMP apply equally.
10. OTC monograph status is a new section added to the OTC volume and this should allow manufacturers to chose appropriate formulations that may not require a filing with the regulatory agencies; it is important to iterate that an approved OTC monograph includes details of formulation including the types and quantities of active drug and excipients, labeling, and presentation. To qualify the exemption, the manufacturer must comply with the monograph in its entirety. However, subtle modifications that are merely cosmetic in nature and where there is an evidence that the modification will not affect the safety

and efficacy of the products can be made but require prior approval of the regulatory agencies and generally these approvals are granted.

11. Expanded discussion on critical factors in the manufacturing of formulations provided; from basic shortcuts to smart modifications now extend to all dosage forms. Pharmaceutical compounding is one of the oldest professions and whereas the art of formulations has been relegated to more objective parameters, the art nevertheless remains. An experienced formulator, like an artist, would know what goes with what and why; he avoids the pitfalls and stays with conservative choices. These sections of the book present advice that is time tested, although it may appear random at times; this is intended for experienced formulators.
12. Expanded details on critical steps in the manufacturing processes provided but to keep the size of the book manageable, and these are included for prototype formulations. The reader is advised to browse through similar formulations to gain more insight. Where multiple formulations are provided for the same drug, it intended to show the variety of possibilities in formulating a drug and whereas it pertains to a single drug, the basic formulation practices can be extended to many drugs of same class or even of diversified classes. Readers have often requested that more details be provided in the Manufacturing Direction sections. Whereas sufficient details are provided, this is restricted to prototype formulations to keep the size of the book manageable and to reduce redundancy.
13. Addition of a listing of approved excipients and the level allowed by regulatory authorities. This new section allows formulators a clear choice on which excipients to choose; the excipients are reported in each volume pertaining to the formulation type covered. The listing is drawn from the FDA-approved entities. For the developers of an ANDA, it is critical that the level of excipients be kept within the range generally approved to avoid large expense in justifying any unapproved level. The only category for which the listing is not provided separately is the OTC volume since it contains many dosage forms and the reader is referred to dosage form-specific title of the series. The choice of excipients forms keeps increasing with many new choices that can provide many special release characteristics to the dosage forms. Choosing correct excipients is thus a tedious exercise and requires sophisticated multivariate statistical analysis. Whereas the formulator may choose any number of novel or classical components, it is important to know the levels of excipients that are generally allowed in various formulations to reduce the cost of redundant exercises; I have therefore included, as an appendix to each volume, a list of all excipients that are currently approved by the U.S. FDA along with their appropriate levels. I suggest that a formulator consult this table before deciding on which level of excipient to use; it does not mean that the excipient cannot be used outside this range but it obviates the need for a validation and lengthy justification studies in the submission of NDAs.
14. Expanded section on bioequivalence submission was required to highlight the recent changes in these requirements. New entries include a comprehensive listing of bioequivalence protocols in abbreviated form as approved by the U.S. FDA; these descriptions are provided in each volume where pertinent. To receive approval for an ANDA, an applicant must generally demonstrate, among other things, equivalence of the active ingredient, dosage form, strength, route of administration, and conditions of use as the listed drug, and that the proposed drug product is bioequivalent to the reference listed drug [21 USC 355(j)(2)(A); 21 CFR 314.94(a)]. Bioequivalent drug products show no significant difference in the rate and extent of absorption of the therapeutic ingredient [21 USC 355(j)(8); 21 CFR 320.1(e)]. BE studies are undertaken in support of ANDA submissions with the goal of demonstrating BE between a proposed generic drug product and its reference listed drug. The regulations governing BE are provided at 21 CFR in part 320. The U.S. FDA has recently begun to promulgate individual bioequivalence requirements. To streamline the process for making guidance available to the public on how to design product-specific BE studies, the U.S. FDA will be issuing product-specific BE recommendations (www.fda.gov/cder/ogd/index.htm). To make this vital information available, an appendix to each volume includes a summary of all currently approved products by the U.S. FDA where a recommendation on conducting bioequivalence studies is made available by the U.S. FDA. When filing an NDA or an ANDA, the filer is faced with the choice of defending the methods used to justify the bioavailability or bioequivalence data. The U.S. FDA now allows application for waiver of bioequivalence requirement; a new chapter on this topic has been added along with details of the dissolution tests, where applicable, approved for various dosage forms.
15. Dissolution testing requirements are included for all dosage forms where this testing is required by the FDA. Surrogate testing to prove efficacy and compliance is getting more acceptance at regulatory agencies; in my experience, a well-designed dissolution test is the best measure of continuous compliance. Coupled with chapters on waivers of bioequivalence testing, this information on dissolution testing should be great value to all manufacturers; it is recommended that manufacturers develop their own in-house specifications, more stringent than those allowed in these listings and the USP.
16. Best-selling products (top 200 prescription products) are identified with an asterisk and a brand name where

applicable; in all instances, composition of these products is provided and formulation of generic equivalents. Despite the vast expansion of pharmaceutical sales and shifting of categories of blockbuster drugs, basic drugs affecting gastrointestinal tract, vascular system, and brain remain most widely prescribed.

17. Updated list of approved coloring agents in the United States, Canada, European Union, and Japan is included to allow manufactures to design products for worldwide distribution.
18. Tablet-coating formulations that meet worldwide requirements of color selection are included in the Volume 1 (compressed solids) and Volume 5 (OTC) because these represent the products often coated. Guidelines on preparing regulatory filings are now dispersed throughout the series depending on where these guidelines are more crucial. However, the reader would, as before, need access to all volumes to benefit from the advice and guidelines provided.

As always, comments and criticism from the readers are welcomed and these can be sent to me at Niazi@pharmsci.com or Niazi@niazi.com. I would try to respond to any inquiries requiring clarification of the information enclosed in these volumes. “I would like to express deep gratitude to Sherri R. Niziolek and Michelle Schmitt-DeBonis at Informa, the publisher of this work, for seeing an immediate value to the readers in publishing the second edition of this book and allowing me enough time to prepare this work. The diligent editing and composing staff at Informa, particularly Joseph Stubenrauch, Baljinder Kaur and others are highly appreciated. Regardless, all errors and omissions remain altogether mine.”

In the first edition, I had dedicated each volume to one of my mentors; the second edition continues the dedication to these great teachers.

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Preface to the Series—First Edition

No industry in the world is more highly regulated than the pharmaceutical industry because of potential threat to a patient's life from the use of pharmaceutical products. The cost of taking a new chemical entity (amortized over the cost of all molecules racing) to final regulatory approval is a staggering \$800 million, making the pharmaceutical industry one of the most research-intensive industries in the world. In the year 2004, it is anticipated that the industry will spend about \$20 billion on research and development. The generic market of drugs as the new entities come off patent is one of the fastest growing segments of the pharmaceutical industry, with every major multinational company having a significant presence in this field.

Whereas many stages of new drug development are inherently constrained with time, the formulation of drugs into desirable dosage forms remains an area where expediency can be practiced with appropriate knowledge by those who have mastered the skills of pharmaceutical formulations. The *Handbook of Pharmaceutical Manufacturing Formulations* is the first major attempt to consolidate the available knowledge about formulations in a comprehensive, and by nature a rather voluminous, presentation.

The book is divided into six volumes, based strictly on the type of formulation science involved in the development of these dosage forms: sterile products, compressed solids, uncompressed solids, liquid products, semisolid products, and OTC products. The separation of OTC products even though they may easily fall into one of the other five categories is made to comply with the industry norms of separate research divisions for OTC products. Sterile products require skills related to sterilization of product, and of less importance is the bioavailability issue, which is an inherent problem of

compressed dosage forms. These types of considerations have led to the classification of products into these six categories.

Each volume includes a description of regulatory filing techniques for the formulations described. Also included are the current regulatory guidelines on cGMP compliance specific to the dosage form. Advice is offered on how to scale up the production batches.

It is expected that formulation scientists will use this information to benchmark their internal development protocols and cut the race to file short by adopting formulae that have survived the test of time. Many of us who have worked in the pharmaceutical industry suffer from a close paradigm when it comes to selecting formulations—"not invented here" perhaps reigns in the mind of many seasoned formulations scientists subconsciously when they prefer to choose only a certain platform for development. It is expected that with the quick review of possibilities available to formulate made available in this book, scientists will benefit from the experience of others.

For the teachers of formulation sciences, this series offers a wealth of information. Whether it is a selection of a preservative system or the choice of a disintegrant, the series offers a wide choice to study and rationalize.

Many have assisted me in the development of this work that has taken years to compile, and I thank scores of my graduate students and colleagues for their help. A work of this size cannot be produced without errors, although I hope that these errors do not distract the reader from the utility of the book. I would sincerely appreciate if readers point out these mistakes for corrections in future editions.

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Preface to the Volume—First Edition

The (HPMF/SP) is written for the pharmaceutical scientist and others involved in the regulatory filing and manufacturing of new sterile products. No other area of regulatory compliance receives more attention and scrutiny by regulatory authorities than the regulation of sterile products, for obvious reasons. With the increasing number of potent products, particularly the new line of small protein products, joining the long list of proven sterile products—mainly parenteral and ophthalmic products—the technology of manufacturing sterile products has evolved into a very sophisticated industry. The entry barrier to this technology is much higher compared with those for other dosage forms. Consequently, the cost of production remains high as well. In recent years, regulatory agencies around the world have taken very serious notice of the deficiencies in the manufacturing specifications of the active raw material intended for parenteral administration. New guidelines for the API and aseptic processing of sterile products are the main issues of concern today for manufacturers. This volume of *HPMF/SP* does not delve into details related to starting material issues. Of interest in this issue are formulations of sterile dosage forms, regulatory filing requirements of sterile preparations, and cGMP compliance, all of which are tied together in the final preparation of the chemistry, manufacturing, and control (CMC) sections of regulatory applications.

Chapter 1 describes the specifications of a manufacturing facility to manufacture compliant sterile products. Chapter 2 outlines the new drug application (NDA) or abbreviated new drug application (ANDA) filing requirements of sterile products. Chapter 3 describes in detail the layout of formulations provided in the book. This chapter must be thoroughly examined to make the best use of this book. Because the intent of the information provided in this book is to help the formulator develop a product for regulatory filing, boilerplate details are left out. Chapter 3 provides these details and also makes strong recommendations on how the formulator can benefit from the information available from suppliers of components and chemicals used in the formulation.

These three chapters are followed by the body of the book, which provides an alphabetical presentation of formulations of pharmaceutical products based on their generic names. There are three types of formulation entries. In the first type, both the bill of materials and manufacturing directions are provided. This type is further composed of two types, wherein greater detail is provided for some products. This differentiation is intentional because the common details are often omitted in subsequent presentations. The second type of formulations is provided with bill of materials only. This may include products for which the manufacturing directions are obvious to a prospective manufacturer, particularly in light of the details already provided for similar products elsewhere in the book, and also those products for which such information is not readily available. The third category of formulations includes experimental formulations, which may not yet have

been commercialized or received regulatory approvals. These formulations are included to show to the formulation scientist unique opportunities that exist for the chemical entity in question. Formulations of biotechnology-derived drugs are provided with some additional details and remain restricted to declaration of composition, yet they provide a good overview of the complexities involved in such formulations.

In consolidating the details of formulations, efforts have been made to present them in as unified a form as possible; nevertheless, some nonuniformities exist because of the large variety of presentations possible for the wide diversity of formulations presented in the book. A limited number of products intended for veterinary use are also included. These products are subject to cGMP compliance similar to that for human products. The formulations provided here meet the 4S requirements:

1. *Safety*. This is an important issue for parenteral products; the choice of excipients is limited by this consideration. In most of the formulations, the ingredients are fully approved by the regulatory authorities; in some formulations, the active drug moiety may have been banned in some countries, for example, dipyrone.
2. *Sterility*. The compositions presented are fully sterilizable either by terminal treatment or by aseptic processing; where preservatives are added, these are in sufficient quantity to fulfill the dedicated function.
3. *Stability*. Besides the rigor of treatment in rendering a product sterile, incompatibility issues may render a sterile product prone to instability. The formulations included here have been fully validated to provide sufficient shelf life, depending on the product.
4. *Scalability*. Whereas the batch formulation is presented for a 1-l batch, these formulations are linearly scalable. Manufacturing losses have been included and these formulations can be readily scaled up to any size; of course, the requirements of size change in the validation protocol should be considered.

One of the best utilities of the database included in this book is to benchmark the products intended for development. A large number of formulation possibilities exist for any drug; though with the 4S limitations, the choice of ingredients (excipients) narrows rather rapidly. Multivitamin formulations are one such example wherein extreme instability and cost considerations have resulted in a variety of formulations. A study of many possibilities tells us about the problems we can anticipate while formulating these products. In some instances, only composition details are provided, along with raw material manufacturing details, because they are often an integral part of the formulation, such as in the case of biotechnology-derived products. Whereas this information may be at

best cursory, it is useful to provide a study of these product formulations.

The information contained in this book has been obtained mainly from sources open to the public. It has taken years to accumulate this database and no warranties are provided that these formulation compositions will not infringe on any proprietary product or intellectual property. The formulators must consider this before using the information. Also, as with all scientific experimental data, it should be understood that replication is subject to many factors, including type of equipment used, grade of material employed, and other processing techniques implemented. The road to converting these formulations to validated parts of a CMC package for submission to regulatory authorities is a long one; nevertheless, working with these formulations will reduce the risk of prolonged experimentation, and for generic formulation development, it will expedite entrance to the market. Some scientists may find this information useful in improving their products for any of the 4S considerations. More information is available on the Web site of Pharmaceutical Scientist, Inc. (<http://www.pharmsci.com>), wherein scientists can find updated information on regulatory compliance and additional tools for writing

the CMC portions of the ANDA and NDA filings. The readers are encouraged to consult this Web site.

Although I have tried to sift through the large databases in both the formative and proofreading stages of the handbook, it is possible that errors remain. I would appreciate it if readers point these out to me by e-mailing me at niazi@pharmsci.com.

I am grateful to CRC Press for taking this lead in publishing what is possibly the largest such work in the field of pharmaceutical sciences. It has been a distinct privilege to know Mr. Stephen Zollo, senior editor at CRC Press. Stephen has done more than what any editor can do to encourage an author into conceiving, planning, drafting, and finally, despite many reasons why it could not be done, completing the work on a timely basis. I am greatly indebted to him. The editorial assistance provided by CRC Press staff was indeed exemplary, particularly the help given by Erika Dery, Gail Renard, Sara Kreisman, and others at CRC Press. Although the editors and proofreaders have pored over this book diligently, any mistakes remaining are altogether mine.

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About the Author



Sarfaraz K. Niazi has been teaching and conducting research in the pharmaceutical industry for over 40 years. He has authored hundreds of scientific papers and scores of textbooks, handbooks, and literary books on the topics of pharmaceutical formulation, biopharmaceutics, pharmacokinetics, bioprocessing, and recombinant engineering, as well as poetry and

philosophy. He is also an inventor, with 100+ patents in the fields of bioprocessing, technology, and drug and dosage form delivery systems; he is also licensed to practice law before the U.S. Patent and Trademark Office. He has formulated hundreds of products, ranging from the most popular consumer products to complex generics and biotechnology-derived products. Dr. Niazi advises regulatory agencies and the pharmaceutical industry on making safe and effective drugs affordable (www.pharmsci.com). He can be contacted at niazi@niazi.com.

OTHER SELECTED BOOKS BY AUTHOR

Textbook of Biopharmaceutics and Clinical Pharmacokinetics, J Wiley & Sons, New York, NY, 1979; ISBN-13: 9789381075043

The Omega Connection, Esquire Press, Westmont, IL, 1982; ISBN-13: 9780961784102

Nutritional Myths: The Story No One Wants to Talk About, Esquire Press, Westmont, IL, 1986; ISBN-13: 9780961784133

Adsorption and Chelation Therapy, Esquire Press, Westmont, IL, 1987; ISBN-13: 9780961784140

Attacking the Sacred Cows: The Health Hazards of Milk, Esquire Press, Westmont, IL, 1988; ISBN-13: 9780961784119

Endorphins: The Body Opium, Esquire Press, Illinois, 1988; ISBN: 9780961784126

Love Sonnets of Ghalib: Translations, Explication and Lexicon, Ferozsons Publishers, Lahore, Pakistan, 2002 and Rupa Publications, New Delhi, India, 2002; ISBN-13: 9788171675968

The Wellness Guide, Ferozsons Publishers, Pakistan, 2002; ISBN-13: 9789690017932

Filing Patents Online, CRC Press, Boca Raton, FL, 2003; ISBN-13: 9780849316241

Handbook of Biogeneric Therapeutic Proteins: Manufacturing, Regulatory, Testing and Patent Issues, CRC Press, Boca Raton, FL, 2005; ISBN-13: 9780971474611

Pharmacokinetic and Pharmacodynamic Modeling in Early Drug Development, in Charles G. Smith and James T. O'Donnell (eds.), The Process of New Drug Discovery and Development, Second Edition, CRC Press, Boca Raton, FL, 2006; ISBN-13: 9780849327797

Textbook of Biopharmaceutics and Clinical Pharmacokinetics, The Book Syndicate, Hyderabad, India, 2010; ISBN-13: 9789381075043

Wine of Passion: Love Poems of Ghalib, Ferozsons (Pvt) Ltd, Lahore, Pakistan, 2010; ISBN-13: 9780971474611

Disposable Bioprocessing Systems, CRC Press, Boca Raton, FL, 2012; ISBN-13: 9781439866702

Handbook of Bioequivalence Testing, Second Edition, CRC Press, Boca Raton, FL, 2014; ISBN-13: 9781482226379

Biosimilars and Interchangeable Biologicals: Strategic Elements, CRC Press, Boca Raton, FL, 2015; ISBN-13: 9781482298918

Biosimilars and Interchangeable Biologicals: Tactical Elements, CRC Press, Boca Raton, FL, 2015; ISBN-13: 9781482298918

Fundamentals of Modern Bioprocessing, Sarfaraz K. Niazi and Justin L. Brown, CRC Press, Boca Raton, FL, 2015; ISBN-13: 9781466585737

There Is No Wisdom: Selected Love Poems of Bedil. Translations from Darri Farsi, Sarfaraz K. Niazi and Maryam Tawoosi, Ferozsons Private (Ltd), Lahore, Pakistan, 2015; ISBN-13: 978969025036

Biosimilarity: The FDA Perspective, CRC Press, Boca Raton, FL, 2018; ISBN-13: 9781498750394

Handbook of Preformulation: Chemical, Biological and Botanical Drugs, Second Edition, Informa Healthcare, New York, NY, 2019; ISBN-13: 9780849371936

Handbook of Pharmaceutical Manufacturing Formulations, Third Edition (six volumes), CRC Press, Boca Raton, FL, 2020; ISBN-13: 9781138103924



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Part I

Regulatory and Manufacturing



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1 Sterile Manufacturing Formulations Template

This chapter lists the sections and specific details of the template used for compiling the formulations:

1. Generic name (as it appears in the *Physician's Desk Reference* or United States Pharmacopoeia) is used in the following:
 - Where there is more than one active component in the formulation, the ingredients appear in alphabetical order.
 - Where there are large number of active ingredients, such as in Vitamin B-complex formulations, the ingredients are listed under the generic category, for example, B-complex vitamin.
 - Individual vitamins are listed with their name first; for example, Vitamin C appears as ascorbic acid, Vitamin E as α -tocopherol, and Vitamin D as retinol.
 - Veterinary formulations are identified and listed separately from human formulations. For example, *B-Complex Vitamin, Veterinary* is a different listing from *B-Complex Vitamin*, in which no indication is made for its intended use.
 - Where a special packaging is described, such as "civial or diluent included," it is also specified in the title description because it often requires special techniques, and diluent may contain other drugs, such as lidocaine.
 - Where a specific and unique packaging is involved, such as a flexible bottle, it is listed as well.
 - Compendial references are not indicated, such as a USP or BP product; however, where there are monographs available, it is assumed that the material will comply with these monographs.
 - Where a popular alternative name is available, such as Elliott's solution, it is provided in parentheses.
 - Strength of formulation is not specified in the title.
 - The USP provides strict definitions for providing the title of a product; for example, Drug for Injection means a product that must be reconstituted or diluted before use; Suspension for Injection indicates the nature of the product. While these titles are maintained, often they are not clearly indicated.
2. The Bill of Materials is a tabular presentation of the scale and quantities of materials used in the following:
 - The scale is generally presented as a per-milliliter quantity (however, watch for different scales; lyophilized products may have a per-vial specification, and in the case of premixed pharmacy packs, a 50-mL specification, for example).
 - The quantities for a 1-L batch are presented with appropriate UOM (units of measurement) and include any excesses (overages), equivalent quantities due to differences in the chemical forms, or the potency of the ingredient. In some instances, the label includes the quantity of base and the ingredient used in a salt; the quantity of salt may have to be calculated if it is an equivalent quantity so marked.
 - The term QS, or sufficient quantity, is often used for the medium such as Water for Injection, for chemicals used to adjust pH, or for those used to purge the formulations, such as nitrogen gas.
 - The raw material specifications are all of pharmacopoeia grade where available; however, a listing of a raw material without requiring compendial specification should be ignored.
 - Where an "injectable grade" material is available, it is the preferred form, although it may not be so stated, particularly in the formulation of vitamin products.
 - Purity grade of the active pharmaceutical ingredient (API) is not always defined; even the pharmacopoeia-grade starting material may be subject to different impurity profiles. The formulator should remember that the regulatory agencies place a very high degree of importance on the impurity profile of the API; the supplier must be able to provide a drug master file (DMF) description assuring that the raw material is manufactured under current good manufacturing practices (cGMP) requirements. It is possible that a manufacturer might have DMFs on some of its products but not all; therefore, the formulator should inquire specifically about the DMF of the API (with its appropriate grade clearly spelled out).
 - Multiple bills of material (BOMs) are often listed for the same product; they may appear similar or may differ only in strength; however, often there are different excipients or methods of manufacture involved. Often there are different formulations, all very useful; a sampling of these is presented as well.

3. Manufacturing Directions include a step-by-step methodology for manufacturing the product on a commercial scale in the following:

- To avoid redundancy and to conserve space, detailed instructions are provided for each of the types of products, such as an ampoule, vial, infusion, large volume, drops, nasal preparations, or ophthalmic drops, in some formulations only; obviously, many steps involved in the preparation of commodities, including sterilization procedures such as the use of 0.22-mm membrane filter, procedures for transferring to a staging vessel, pre-sterilization of filters, testing of filters by a bubble method, autoclaving, or heat sterilization, are common to many. The reader is advised to review the detailed formulations of the specific type to obtain additional information.
- Where unusual precautions are necessary, such as when handling a hazardous substance, a highly sensitive substance (sensitive to light or air), or a substance requiring special handling, a warning is written as the first paragraph before the manufacturing steps.
- It is assumed that the formulator is well versed in cGMP compliance, but the reader is referred to Chapter 1 to review the most recent qualification requirements.
- Manufacturing environment, documentation, personnel, and material handling issues are addressed only when peculiarities are involved.
- It is customary and, in most cases, required that the preparation vessel be of at least 316 L resistance stainless steel or higher, and thus this step is often omitted but assumed by default.
- Where there is a need to use a glass-lined vessel, it is clearly indicated. In some instances, an option is provided wherein the preference remains toward the glass-lined vessel. In some instances, glass-lined vessels should not be used, and this too is clearly indicated.
- The order and manner of mixing, the timing of mixing, the temperature of mixing, etc., when given, form essential parts of the formulation. These should be strictly followed. With in-house validation for other methods, these can be modified. The reasons for specific directions are to assure complete mixing, avoid foam formation, and reduce physical and compatibility issues. Where no specific mention is made, these details are generally inconsequential and the formulator may use conditions convenient to the manufacturing equipment and environment.
- The formulation medium in most instances is water for injection, USP grade. While in some instances other grades of water may be used, it is advisable to keep this standard wherever possible. Experience tells us that water is often the most significant source of contamination in sterile products; this can also be a source of heavy-metal contamination coming from the pitting of the pipelines (of stainless steel that contains highly reactive metal). It should be remembered that distilled water is highly corrosive, and while it does not generally promote growth of bacteria, it is capable of carrying them. A closed loop running at high temperature offers the best opportunity of assuring compliance. In some instances, a formulation may specify “freshly boiled distilled water,” or a similar specification, and it is intended to assure that there were no residues or endotoxin developed during storage.
- A good practice is to qualify the quality of water at the beginning of the manufacturing operation. A typical qualification process would measure pH and conductivity of water prior to use. However, note that conductivity is *not* an indicator of sterility.
- In many instances, it is recommended to bubble nitrogen gas for a sufficient length of time; the length of time depends on the capacity of the vessel but generally should be at least 20 min; where a cover of nitrogen gas is recommended, the preparation vessel should provide a good seal to keep the nitrogen gas contained.
- When the temperature of the preparation vessel is stated as room temperature, the definition of USP is intended here.
- Where heating or cooling is recommended, this is provided by a jacketed vessel with hot- or cold-water recirculation facility.
- The cGMP compliance considerations require a detailed record of all of these steps; in listing the formulations in this book, no effort is made to illustrate this aspect of manufacturing record keeping. A fully compliant manufacturing document will have provisions for signing off on all of these observations, including the name of the operator, the time a process was begun and finished, and the observations made; often the record will be cosigned by a supervisor.
- Sampling of products during manufacturing is required and, in some instances, recommendations are made concerning where to take the sample. Samples will be sent either to in-process quality checks or to the quality control laboratory.
- In all instances, before the product is filled, it must be cleared by the quality control laboratory.
- Where extra precautions are called for, conditions are prescribed for holding the preparation pending release from the quality control laboratory before filling ampoules, vials, or bottles. Where such conditions are not prescribed, it is assumed that the preparations will be stored

- at the lowest temperature compatible with the product and under cover of nitrogen gas where prescribed.
- Adjustment of pH using hydrochloric acid, sodium hydroxide, acetic acid, etc. is one of the common steps in the compliance process to assure that the product meets final specifications. Although the concentrations of these acids and bases are specified, generally a 10% concentration is acceptable (higher where volume restrictions arise). The addition of these acids and bases should be gradual and in small portions, with continuous stirring to avoid drastic changes in the localized pH at the point of addition. Experienced operators should be able to determine these conditions (such as stirring speed and time to add a portion of component) and make them a part of the manufacturing document.
 - In many instances, more than one manufacturing vessel is required to make separate preparations for mixing later in the process of manufacturing. It is important to assure that these vessels are held in close proximity or have a closed system for transferring liquids between vessels. Because the starting stage of manufacturing is done in less-than-sterile conditions, the exchange between vessels can be an important source of contamination and must be carefully monitored.
 - Once the preparation has been properly mixed (it is likely a clear solution), it is filtered before the filling step. In all instances, there is also a step involving transferring the product into a staging vessel that will feed the filling machine, either a mobile tank or a tank in the filling room.
 - The filtration step is critical, and great care should be exercised not only in selecting a proper filter (based on the dielectric property of the preparation) but also in validating the use of a filter, especially if it is not changed in each operation cycle.
 - A bubble point test before and after filling is assumed in all instances. (See Chapter 1 on the requirements of aseptic processing of products.)
 - The retentive power of the filter is also critical and is determined partly by the nature of product (its viscosity, polarity, etc.), but generally a 0.45-mm prefilter is recommended, followed by a 0.22-mm filter.
 - Whether a product is terminally sterilized or not, the goal during processing is to reduce bioburden and thus the endotoxin levels later in the product.
 - The formulator has several good options in selecting the filtration equipment. While it is not the author's intent to endorse a product or a particular brand, it is important to point to ready sources of information on critical steps. One of the best sources for information on selecting and validating the filtration system is the Pall Corporation website (www.pall.com). With its broad worldwide resources, it should help one select an appropriate filtration system and provide methods of validating the filter. The new guidelines proposed for products that are aseptically filled require special enforcement of filter validation, and the need to develop a validation system cannot be overemphasized. The filtration assembly is sterilized in an autoclave prior to use, and there must be no breach prior to the use of the filtration assembly. Compatibility between the product and the hoses used to transfer it is often critical, and in some instances a specific grade of tubing is specified, such as Tygon®. The formulation scientist is referred to www.tygon.com for assuring that compatibility data are available before selecting a tubing grade. These suppliers are in a better position to advise because of their experience with similar products.
 - The packaging commodities, such as vials, ampoules, rubber stoppers, and aluminum seals, form an integral part of the product because their integrity is required to assure that there is no contamination from external sources and no leaching of chemicals from the packaging commodities into the product. The selection of these commodities is a critical step.
 - Whereas USP requires type I glass, there may be a more detailed specification, such as using a low-alkali type as in the case of LVPs; where flexible containers are used, the possibility of chemicals leaching into the product should be considered, and attention should also be paid to the leaching of chemical components from the rubber stoppers.
 - A good source of information on selecting appropriate rubber stoppers is West Pharmaceutical Services, Inc. (www.west-pharma.com). From the most common butyl rubber to highly customized compositions for stoppers, the site is a good source because West Pharmaceutical Services knows who is using what type of closures for which product. Often the formulations details provided indicate coated rubber stoppers, such as siliconized, or a Teflon® product. However, where no recommendations are made, it is not assumed that any type of product is adequate.
 - The choice of vials must be made concurrently with the choice of stoppers, as vials must be compatible in size (particularly the neck) to allow proper fitting of stoppers. Most companies that manufacture glass vials offer them in dimensions that allow use of off-the-shelf rubber stoppers; nevertheless, when requirements arise, customized glass vials can be fitted to an appropriate rubber stopper and vice versa. A

good source of information on selection of glass vials is Wheaton Scientific (www.wheaton-sci.com); ampoules are also supplied by Wheaton (www.alcanpackag-ing.com/pharma/eng/html/tubularam-poules.php).

- Treatment of stoppers, vials, and ampoules prior to their use is also an integral part of manufacturing, and details of these processes are described in the master documents. Rubber stoppers are routinely washed with surfactants, rinsed with water for injection, and then heat sterilized; open ampoules and vials are washed and sterilized. Sterilization cycles of commodities must be properly validated. Suppliers of these commodities should be able to provide optimal validated cycles.
- In-process testing of products is most rigorous for sterile products, partly because it is not possible to salvage a batch once it is packaged. All products undergo a 100% visual testing (now conducted with automated systems), and proper validation of the testing procedures is required even though it is not so stated in the formulations listed in the USP.

I. AUTOCLAVES

AMSCO (American Sterilizer Co.), 2425 West 23rd Street, Erie, PA 16514, USA; Telephone: (814) 452-3100
 Castle Co., 1777E. Henrietta Road, Rochester, NY 14623, USA; Telephone: (716) 475-1400
 Getinge International, Inc., 1100 Towbin Avenue, Lakewood, NJ 08701, USA; Telephone: (732) 370-8800
 Gruenberg, Inc., 2121 Reach Road, Williamsport, PA 17701, USA; Telephone: (717) 326-1755
 STERIS Corporation, 5960 Heisley Road, Mentor, OH 44060, USA; Telephone: (440) 354.2600

II. ASEPTIC CONTRACT MANUFACTURERS

American Pharmaceutical Partners, 1101 Perimeter Drive, Schaumburg, IL 60173, USA; Telephone: (847) 330-1357

A. MANUFACTURING FORMULATIONS TEMPLATE

Connaught Laboratories, Route 411, Swiftwater, PA 18370, USA; Telephone: (717) 839-7187
 Elkins-Sinn, 2 Esterbrook Lane, Cherry Hill, NJ 08003-4099, USA; Telephone: (800) 257-8349 TWX: 710-896-0804
 Pharma-Hameln, Langes Feld 30-38 D-3250 Hameln 1, Germany; Telephone: (05151) 581-255
 Pharmacia, 7000 Portage Road, Kalamazoo, MI 49001, USA; Telephone: (616) 833-5844, Fax: 616-833-3604
 Schering-Plough, U.S. Pharmaceutical Products Division, Kenilworth, NJ 07033, USA; Telephone: (201) 558-4811/4809, Telex: 138316/138280
 Smith-Kline and French Call Box SKF Cidra, PR 00639, USA; Telephone: (809) 766-4000

Steris Laboratories, Inc., 620 N. 51st Avenue, Phoenix, AZ 85043, USA; Telephone: (602) 939-7565
 Summa Manufacturing Sciences, 4272 Balloon Park Road, NE, Albuquerque, NM 87109, USA; Telephone: (800) 8434339
 Survival Technology, 8101 Glenbrook Road, Bethesda, MD 20814, USA; Telephone: (301) 656-5600
 Taylor Pharmacal, P. O. Box 1230 Decatur, IL 62525, USA; Telephone: (217) 428-1100
 Vitamed, P. O. Box 16085IL-61160 Tel Aviv, Israel; Telephone: (03) 551-8042

III. CLEAN-ROOM DESIGN AND CONSTRUCTION

Cambridge Filter Corp., P. O. Box 4906, Syracuse, NY 132214906, USA; Telephone: (315) 457-1000
 Clean Room Technology, Inc., 4003 Eastbourne Drive, Syracuse, NY 13206, USA; Telephone: (315) 437-2152
 Comp-Aire Systems, Inc., 4185 44th SE, Grand Rapids, MI 49508, USA; Telephone: (616) 698-9660
 Flanders, P. O. Box 1708, Washington, NC 27889, USA; Telephone: (919) 946-8081
 Liberty Industries, Inc., 133 Commerce Street, East Berlin, CT 06023, USA; Telephone: (203) 828-6361

IV. CLEAN-IN-PLACE/STEAM-IN-PLACE (CIPISIP)

BLH Electronics, 42 Fourth Avenue, Waltham, MA 02254, USA
 Clenesco, P. O. Box 2918, Cincinnati, OH 45201, USA
 Degussa Corporation, P. O. Box 2004, Teterborough, NJ 07608, USA
 Diversey Wyandotte Corporation, 1532 Biddle Avenue, Wyandotte, MI 48192, USA
 Electrol Specialties Company, 441 Clark Street, South Beloit, IL 61080, USA
 Endress & Hauser, Inc., 2350 Endress Place, Greenwood, IN 46142, USA
 Foxboro Company, 38 Neponsett Avenue, Foxboro, MA 02035, USA
 Klenszade, Osborn Building, St. Paul, MN 55102, USA
 Ladish-Triclover, 9201 Wilmot Road, Kenosha, WI 53141, USA
 National Sonies, 250 Marcus Boulevard, Hauppauge, NY 11787, USA
 Pyromation, 5211 Industrial Road Fort, Wayne, IN 46895, USA
 Sarco Company, 1951 26th S. W. Allentown, PA 18105, USA
 Viatran Corporation, 300 Industrial Drive Grand Island, NY 14072, USA

V. CLOSURE WASHING AND STERILIZATION

Huber Maschinenfabrik Angerstrasse 16, P. O. Box 1544 D-8050 Freising, Germany; Telephone: 49-81-611-3063
 Huber Seidenader Equipment, Inc., 35 Airport Park, Morristown, NJ 07960, USA; Telephone: (201) 267-8730
 Paxall Schubert Division, P. O. Box 836, Pine Brook, NJ 07058, USA; Telephone: (201) 227-4677
 Pharma-Technik-Smeja, Postfach 2029, D-4172 Straelen-Herongen, Germany; Telephone: 609-921-1220

VI. CONSULTANTS

Bio-Separation Consultants, 3935 Falcon Avenue, Long Beach, CA 90807, USA; Attn: Fred Rothstein, Telephone: (213) 427-2844

Filtration Specialists Ltd., Pump Green House, Evenlode (Associate offices in England, Israel, Italy, and Japan) International Consultants Association, 199 N. El Camino Real #F-318, Encinitas, CA 92024, USA; Telephone: (619) 7530790

Interpharm International Ltd., P. O. Box 530, Prairie View, IL 60069, USA; Telephone: (312) 459-8480, Fax: (312) 459-4536
Lachman Consultant Services, 591 Stewart Avenue, Garden City, NY 11530, USA; Telephone: (516) 222-6222

Magid-Haffher Associates, 4400 Kerrybrooke Drive, Alexandria, VA 22310, USA; Telephone: (703) 971-3988

Niazi Consultants, Inc., 20 Riverside Drive, Deerfield, IL 60015, USA; Telephone: (847) 267-8038

Planning Masters, 3343 William Drive, Newbury Park, CA 91320, USA; Telephone: (805) 499-7526

RI&D Engineering Associates, 22 Foxwood Drive, Somerset, NJ 08873, USA; Telephone: (201) 545-2002

Skyland Scientific Services, Gallatin Field, P. O. Box 34, Belgrade, MT 59714, USA; Telephone: (406) 388-4051

Swift Technical Services Ltd., 7 Manor Close, Oadby Leicester LE 2 4FE, England; Telephone: (0533) 712500

VII. DISINFECTANTS AND PRESERVATIVES

Alcide, Inc., One Willard Road, Norwalk, CT 06851, USA; Telephone: (203) 847-2555, Telex: 510-1003-219

Lonza, Inc., 22-10 Route 208, Fairlawn, NJ 07410, USA; Telephone: (201) 794-2400

Mallinckrodt, Inc., P. O. Box 5439, St. Louis, MO 63147, USA; Telephone: (314) 895-2000

Spectrum Chemical Co., 14422 South San Pedro Street, Gardena, CA 90248, USA; Telephone: (800) 543-0652
Sporicidin International, 4000 Massachusetts Avenue NW, Washington, D.C. 20016, USA; Telephone: (800) 424-3733
Vestal Laboratories, Inc., 5035 Manchester Avenue, St. Louis, MO 63110, USA; Telephone: (800) 325-8690

VIII. DISTILLATION EQUIPMENT

Aqua-Chem, Inc., P. O. Box 421, Milwaukee, WI 53201, USA; Telephone: (414) 961-2829

Consolidated Stills/Sterilizers, 76 Ashford Street, P. O. Box 297, Boston, MA 02134, USA; Telephone: 617-782-6072
Finn-Aqua America, Inc., 11105 Main Street, Bellevue, WA 98004, USA; Telephone: (206) 451-1900

MECO, 861 Carondelet Street, New Orleans, LA 70130, USA; Telephone: (504) 523-7271

Pennwalt Corp., Stokes Vacuum Components Dept., 5500 Tabor Road, Philadelphia, PA 19120, USA

Santasalo-Sohlberg Oy, Hankasuontie, 4-6 SF-00390 Helsinki 39, Finland

Stilmas S.p.a., Viale delle Industrie I-20090 Settala, Milano, Italy
Vaponies, Inc., Cordage Park, Plymouth, MA 02360, USA; Telephone: (617) 746-7555

IX. ENGINEERING AND CONSTRUCTION

CRS Serrine, Inc., P. O. Box 5456, Greenville, SC 29606, USA; Telephone: (803) 281-8518

Daniel Engineering Services, Daniel Building, Greenville, SC 29602, USA; Telephone: (803) 298-3262

Davy McKee Engineers, 300 S. Riverside Plaza, Chicago, IL 60606, USA; Telephone: (312) 902-1218

Kling Lindquist, Inc., 2301 Chestnut Street, Philadelphia, PA 19103, USA; Telephone: (215) 665-9930 Telex: 244423 KLIN UR

X. FILLING MACHINES

Adtech, Inc., 1170 Church Road, Lansdale, PA 19446, USA; Telephone: (215) 368-7040

Bausch und Strobel, P. O. Box 20, D-7174 Ilshoven, Germany; Telephone: (07904) 701-256

Cozzoli Machine Co., 401 East 3rd Street, Plainfield, NJ 07060, USA; Telephone: (201) 757-2040

Perry Industries, 1163 Glory Road, P. O. Box 19043, Green Bay, WI 54307-9043, USA; Telephone: (414) 336-4343

TL Systems, 5617 Corvallis Avenue, North Minneapolis, MN 55429, USA; Telephone: (612) 535-51232

Vetter Pharma Fertigung, P. O. Box 2380, D-7980 Ravensburg, Germany; Telephone: (0751) 3700-0

XI. FILTER AIDS

Cuno, Inc., 400 Research Parkway, Meriden, CT 06450, USA; Telephone: (800) 243-6894

Eagle-Picher Industries, 580 A Walnut Street, Cincinnati, OH 45202, USA; Telephone: (513) 721-7010

Filter Media Co., 3603 Westcenter Drive, Houston, TX 77042, USA; Telephone: (713) 780-9000

Manville Corp., Ken-Caryl Ranch, Denver, CO 80217, USA; Telephone: (303) 979-1000, Telex: 454404

XII. FLOWMETERS (SANITARY)

Foxboro Co., 120 Norfolk Street, Foxboro, MA 02035, USA; Telephone: (617) 543-8750

Leeds & Northrup, Sumneytown Park, North Wales, PA 19454, USA; Telephone: (215) 643-2000

Micro Motion, Inc. 7070 Winchester Circle, Boulder, CO 80301, USA; Telephone: (800) 522-6277

XII. FREEZE-DRYERS (STERILIZABLE)

Edwards High Vacuum Manor Royal, Crawley West Sussex BH10 2LW, England; Telephone: (0293) 28844

Hull Corp., Davisville Road, Hatboro, PA 19040, USA; Telephone: (215) 672-7800

Leybold-Heraeus GmbH, Postfach 1555, D-6450 Hanau 1, Germany; Telephone: (06181) 34-0

Pennwalt (Stokes Division), 5500 Tabor Road, Philadelphia, PA 19120, USA; Telephone: (215) 831-5400

Usifroid Rue Claude Bernard Z. A. de Coignieres-Maurepas, 78310 Maurepas, France; Telephone: (33-3) 051-21-27

VirTis Route, 208 Gardiner, NY 12525, USA; Telephone: (800) 431-8232

XIII. MICROFILTRATION EQUIPMENT AND FILTERS

Alsop Engineering Co., Route 10, Milldale, CT 06467, USA; Telephone: (203) 628-9661

Ametek, Plymouth Products Div., 502 Indiana Avenue, Sheboygan, WI 53081, USA; Telephone: (414) 457-9435

Ballston, Inc., P. O. Box C, Lexington, MA 02173, USA; Telephone: (617) 861-7240
 Brunswick GmbH, Mergenthalerallee 45-47, D-6236 Eschborn, Germany; Telephone: (06196) 427-0
 Cumo, Inc., 400 Research Parkway Meriden, CT 06450, USA; Telephone: (800) 243-6894
 Domnick Hunter Filters, 1Ad Durham Road D-3400 Birtley, County Durham DH3 2SF, UK; Telephone: (091) 4105121
 Ertel Engineering, 20 Front Street Kingston, NY 12401, USA; Telephone: (914) 331-4552

A. MANUFACTURING FORMULATIONS TEMPLATE

Filterite Corp., 4116 Sorrento Valley Building, San Diego, CA 92121, USA; Telephone: (800) 854-1571
 Filtrux Werk AG, CH-9001 Street, Gallen, Switzerland
 FPI (Filter Products, Inc.), 8314 Tiogawoods Drive, Sacramento, CA 95828, USA; Telephone: (916) 689-2328
 Fuji Filter Mfg. Co. Ltd., Shiu-Muromachi Building, 4 Nihombahi-Huroshi 2-Chome Cuo-Ku, Tokyo 103, Japan; Telephone: (03) 241-4201
 Gelman Sciences, 600 S.Wagner Road, Ann Arbor, MI 48106, USA; Telephone: (800) 521-1520
 Gusmer-Cellulo Co., 27 North Avenue, East, Cranford, NJ 07016, USA; Telex: 96113
 Kurita Machinery, Mfg. Co., 1-44 2-Chome, Sakaigawa, Nishiku, Osaka 550, Japan; Telephone: (06) 582-3001
 Membrana (USA) See Gelman Sciences
 Millipore Corp., Ashby Road, Bedford, MA 01730, USA; Telephone: (800) 225-1380
 Nuclepore Corp., 2036 Commerce Circle, Pleasanton, CA 94566, USA; Telephone: (415) 462-2230
 Pall Corp., 30 Sea Cliff Avenue, Glen Cove, NY 11542, USA; Telephone: (800) 645-6262
 PTI (Purrolator Technologies), 2323 Teller Road, Newbury Park, CA 91320, USA; Telephone: (800) 235-3518
 Sartorius GmbH, Postfach 19, Gottingen, Germany; Telephone: (0551) 308219
 Sartorius Filters, Inc., 30940 San Clemente Street, Hayward, CA 94544, USA; Telephone: (800) 227-2842
 Schenk Filterbau GmbH, Postfach 95, D-7070 Schwabisch, Gmund, Germany; Telephone: (07171) 82091
 Schleicher u. Schull GmbH, Postfach D-3354 Dassel, Germany; Telephone: (05564) 8995
 Seitz-Filter-Werke GmbH, Planiger Street, 137 D-6550, Bad Kreuznach, Germany; Telephone: (0671) 66026
 Sperry Filter Presses, 112 North Grant Street, North Aurora, IL 60542, USA; Telephone: (312) 892-4361
 Star Systems, P. O. Box 518, Timmons ville, SC 29161, USA; Telephone: (803) 346-3101
 Toyo Roshi Kaisha, 7, Nihonbacki Honcho 3-Chome, Chuo-Ku, Tokyo, Japan; Telephone: (03) 270-7441
 Whatman Filter, Springfield Mill, Maidstone Kent ME14 2LE, UK; Telephone: (0622) 62692

XIV. PUMPS (SANITARY)

Abex Corp., Waukesha Foundry 5510 Lincoln Avenue, Waukesha, WI 53186, USA; Telephone: (414) 542-0741 Alfa-Laval, P. O. Box 1008 S-22103, Lund, Sweden; Telephone: (046) 105000
 American Lewa, 132 Hopping Brook Road, Holliston, MA 01746, USA; Telephone: (617) 429-7403

Randolph Corp., 1112 Rosine Street, Houston, TX 77019, USA; Telephone: (713) 461-3400
 Warren Rupp-Houdaille Co., P. O. Box 1568 TR, Mansfield, OH 44901, USA; Telephone: (419) 524-8388
 Wilden Pump & Engineering, 22069 Van Buren Street, Colton, CA 92324, USA; Telephone: (714) 783-0621
 The Ladish Co., 9201 Wilmot Road, Kenosha, WI 53141, USA; Telephone: (414) 694-5511, Fax: (414) 694-7104

XV. STERILE TANKS AND RELATED STAINLESS EQUIPMENT

Bioengineering AG, Tannerstrasse 1 CH-8630, Rueti, Switzerland; Telephone: (055) 95 35 81
 Cherryl Burrell, P. O. Box 1028, Little Falls, NY 13365, USA; Telephone: (315) 823-2000, Fax: (315) 823-2666
 Paul Mueller Co., P. O. Box 828, Springfield, MO 65801, USA; Telephone: (800) 641-2830
 Pfaudler Co., P. O. Box 1600, Rochester, NY 14692, USA; Telephone: (716) 235-1000
 Stainless Metals, Inc., 43-49 10th Street, Long Island City, NY 11101, USA; Telephone: (718) 784-1454
 Valex, 6080 Leland Street, Ventura, CA 93003, USA; Telephone: (805) 658-0944, Fax: (805) 658-1376
 Walker Stainless Equipment, New Lisbon, WI 53950, USA; Telephone: (608) 562-3151

XVI. STERILITY TEST EQUIPMENT

Gelman Sciences, 600 Wagner Road, Ann Arbor, MI 48106, USA; Telephone: (800) 521-1520
 MFS Division-Toyo Roshi, 6800 Sierra Court, Dublin, CA 94566, USA; Telephone: (415) 828-6010
 Millipore Corp., Ashby Road, Bedford, MA 01730, USA; Telephone: (800) 225-1380
 Sartorius GmbH, Postfach 19, D-3400 Gottingen, Germany; Telephone: (0551) 308219
 Toyo Roshi Kaisha, 7, Nihonbacki Honcho 3-Chome, Chuo-Ku, Tokyo, Japan; Telephone: (03) 270-7441

XVII. STERILIZING AND DRYING TUNNELS (HOT AIR)

Calumatic BV, 3 Steenstraat NE-5107, Dongen, The Netherlands; Telephone: (031) 1623-13454
 Hans Gilowy Maschinefabrik "Meteorwerk" GmbH & Co., Schmalenbachstrasse 12-16 D-1000, Berlin 44, Germany; Telephone: (030) 684-6071
 H. Strunck Maschinenfabrik, 7 Postfach 301269 D-5000 Koln 30, Germany

XVIII. STOPPERING MACHINES

Adtech Inc., 1170 Church Road, Lansdale, PA 19446, USA; Telephone: (215) 368-7040
 Calumatic BV, 3 Steenstraat 7, NE-5107 Dongen, The Netherlands; Telephone: (031) 1623-13454
 Perry Industries, 1163 Glory Road, P. O. Box 19043, Green Bay, WI 54307-9043, USA; Telephone: (414) 3364343

TL Systems, 5617 Corvallis Avenue, North Minneapolis, MN
55429-3594, USA; Telephone: (612) 535-5123

XX. Vial and Bottle Washers

Bausch und Strobel, P. O. Box 20, D-7174 Ilshofen, Germany;
Telephone: (07904) 701-256

Calumatic BV, 3 Steenstraat 7, NE-5107 Dongen, The Netherlands;
Telephone: (031) 1623-13454

Cozzoli Machine Co., 401 East 3rd Street, Plainfield, NJ 07060,
USA; Telephone: (201) 757-2040

Dawson Bros. Ltd., 406 Roding Lane, South Woodford Green,
Essex, UK

Hans Gilowy Maschinenfabrik "Meteorwerk" GmbH & Co.,
Schmalenbachstrasse 12-1, 6 D-1000 Berlin 44, Germany;
Telephone: (030) 684-6071

Schubert & Co., Vallenbaksvej 24, DK-2600 Glostrup, Denmark

H. Strunck Maschinenfabrik, Postfach 301269, D-5000 Koln 30,
Germany



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2 Inspection of Sterile Product Manufacturing Facilities

I. INTRODUCTION

Typically, a sterile drug contains no viable microorganisms and is nonpyrogenic. Drugs for intravenous injection, for irrigation, and those used as ophthalmic preparations meet these criteria. In addition, other dosage forms might be labeled as sterile, for instance, an ointment applied to a puncture wound or skin abrasion.

Parenteral drugs must be nonpyrogenic, because the presence of pyrogens can cause a febrile reaction in humans. Pyrogens are the products of the growth of microorganisms. Therefore, any condition that permits bacterial growth should be avoided in the manufacturing process. Pyrogens may develop in water located in stills, storage tanks, dead-legs, and piping, or from surface contamination of containers, closures, or other equipment. Parenterals may also contain chemical contaminants that produce a pyretic response in humans or animals although no pyrogens are present.

The sterile product manufacturing system includes measures that minimize the hazard of contamination with microorganisms and particulates of sterile drugs. This chapter describes what manufacturers should evaluate about their facilities regarding compliance with the existing (and, in some instances, upcoming) standards of inspection. Highlighted in this chapter are the areas of concern to regulatory inspectors, the problem areas, the often-overlooked systems, and, above all, the attributes where most inspections fail. It is assumed that the manufacturer is fully cognizant of the existing current good manufacturing practice (cGMP) compliance conditions as described in the Code of Federal Regulations (CFR).

This chapter includes an outline of the general cGMP compliance requirements [particularly those laid out by the U.S. Food and Drug Administration (FDA)] for sterile manufacturing areas, detailed description of compliance problem areas regarding aseptic processing, terminal sterilization, blow-fill sealing, lyophilization, and the quality of water systems. Portions of the watch list provided here are still in the draft phase at the regulatory agencies but might be fully adopted by the time this book is published. The guidelines given therefore present state-of-the-art sterile product manufacturing inspection audit requirements.

II. cGMP COMPLIANCE BASICS

A. PERSONNEL

Greater emphasis is placed by regulatory agencies on the training of personnel involved in the manufacturing of sterile products than any other type. The company must always assure that the training program ensures that personnel performing

production and control procedures have experience and training commensurate with their intended duties. It is important that personnel be trained in aseptic procedures. The employees must be properly gowned and use good aseptic techniques.

B. BUILDINGS

The nonsterile preparation areas for sterile drugs should also be controlled. Refer to Subpart C of the proposed Current Good Manufacturing Practice requirements for large volume parenterals (LVPs) for further details. Evaluate the air cleanliness classification of the area. For guidance in this area, review Federal Standard #209E entitled "Airborne Particulate Cleanliness Classes in Clean-Rooms and Clean Zones." The formulation practices or procedures used in the preparation areas are important in minimizing routes of contamination. It is best to minimize traffic and unnecessary activity in the preparation area. The filling rooms and other aseptic areas should be so constructed as to eliminate possible areas for microbiological or particulate contamination, for instance, in the dust-collecting ledges or porous surfaces. Detailed plans of the cleaning and maintenance of aseptic areas should be developed and appropriate records kept assuring compliance.

C. AIR

Air supplied to the nonsterile preparation or formulation area for manufacturing solutions prior to sterilization should be filtered as necessary to control particulates. Air supplied to product exposure areas where sterile drugs are processed and handled should be high-efficiency particulate air (HEPA) filtered under positive pressure. The system description for HEPA filters should include certification or dioctyl phthalate (DOP) testing, indicating the frequency of testing, or both.

The compressed air system requires that the air be filtered at the point of use to control particulates. Diagrams of the HEPA-filtered and compressed air systems should be made and be readily available for inspection.

D. ENVIRONMENTAL CONTROLS

Specifications for viable and nonviable particulates must be established. Specifications for viable particulates must include provisions for both air and surface sampling of aseptic processing areas and equipment. A comprehensive environmental control program, specifications, and test data should be available, particularly the procedures for reviewing out-of-limit test results. Review of environmental test data should be included as a part of the release procedures. (*Note:* in the

preparation of media for environmental air and surface sampling, suitable inactivating agents should be added, e.g., the addition of penicillinase to media used for monitoring sterile penicillin operations and cephalosporin products.)

E. EQUIPMENT

Instructions should be available on how the equipment operates, including cleaning and maintenance practices. How the equipment used in the filling room is sterilized, and if the sterilization cycle has been validated, should be properly documented. The practice of re-sterilizing equipment if sterility has been compromised should be clearly described.

A listing of the type of filters used; the purpose of the filters; and how they are assembled, cleaned, and inspected for damage should be maintained. Microbial retentive filters require an integrity testing (i.e., bubble point testing before and after the filtration operation).

F. WATER FOR INJECTION

Water used in the production of sterile drugs must be controlled to assure that it meets United States Pharmacopoeia (USP) specifications. A detailed description of water quality systems is presented later in the chapter. The description of the system used for producing water for injection (WFI) storage and of the delivery system should be present in a written form and in sufficient detail for the operators to understand it fully. The stills, filters, storage tanks, and pipes should be installed and operated in a manner that will not contaminate the water. The procedures and specifications that assure the quality of the WFI should be periodically audited for compliance and records of audit available for inspection.

G. CONTAINERS AND CLOSURES

The system for handling and storing containers and closures should be established to show that cleaning, sterilization, and depyrogenation are adequate and have been validated.

H. STERILIZATION

1. Methods

Depending on the method of sterilization used, appropriate guidelines should be followed. A good source of reference material on validation of various sterilization processes is the *Parenteral Drug Association Technical Reports*. For instance, Technical Report No. 1 covers validation of steam sterilization cycles. Establish that the validation data are in order.

If steam under pressure is used, an essential control is a mercury thermometer and a recording thermometer installed in the exhaust line. The time required to heat the center of the largest container to the desired temperature must be known. Steam must expel all air from the sterilizer chamber to eliminate cold spots. The drain lines should be connected to the sewer by means of an air break to prevent back siphoning. The use of paper layers or liners and other practices that might block the flow of steam

should be avoided. Charts of time, temperature, and pressure should be filed for each sterilizer load.

If sterile filtration is used, establish criteria for selecting the filter and the frequency of changing. Review the filter validation data. Know what the bioburden of the drug is, and develop the procedures for filter integrity testing. If filters are not changed after each batch is sterilized, establish data to justify the integrity of the filters for the time used and that “grow through” has not occurred.

If ethylene oxide sterilization is used, establish tests for residues and degradation. A record of the ethylene oxide (EtO) sterilization cycle, including preconditioning of the product, EtO concentration, gas exposure time, chamber and product temperature, and chamber humidity should be available.

2. Indicators

Establish which type of indicator will be used to assure sterility, such as lag thermometers, peak controls, Steam Klox, test cultures, or biological indicators (BIs). (*Caution:* when spore test strips are used to test the effectiveness of ethylene oxide sterilization, be aware that refrigeration may cause condensation on removal to room temperature. Moisture on the strips converts the spore to the more susceptible vegetative forms of the organism, which may affect the reliability of the sterilization test. Do not store the spore strips where they could be exposed to low levels of ethylene oxide.)

If BIs are used, assure that the current USP guidelines on sterilization and BIs are followed. In some cases, testing BIs may become all or part of the sterility testing.

BIs are of two forms, each incorporating a viable culture of a single species of microorganism. In one form, the culture is added to representative units of the lot to be sterilized or to a simulated product that offers no less resistance to sterilization than the product to be sterilized. The second form is used when the first form is not practical, as in the case of solids. In the second form, the culture is added to disks or strips of filter paper, or metal, glass, or plastic beads. Data on the use of BIs include the following:

- Surveys of the types and numbers of organisms in the product before sterilization.
- Data on the resistance of the organism to the specific sterilization process.
- Data used to select the most resistant organism and its form (spore or vegetative cell).
- Studies of the stability and resistance of the selected organism to the specific sterilization process.
- Studies on the recovery of the organism used to inoculate the product.
- If a simulated product or surface similar to the solid product is used, validation of the simulation or similarity is required. The simulated product or similar surface must not affect the recovery of the numbers of indicator organisms applied.
- Validation of the number of organisms used to inoculate the product, simulated product, or similar surface, to include stability of the inoculum during the sterilization process.

Because qualified personnel are crucial to the selection and application of these indicators, their qualifications, including experience dealing with the process, expected contaminants, testing of resistance of organisms, and technique, should be frequently reviewed and records kept current. Policies regarding use, control, and testing of the BI by product, including a description of the method used to demonstrate presence or absence of viable indicator in or on the product, should be established.

Check data used to support the use of the indicator each time it is used. Include the counts of the inoculum used; recovery data to control the method used to demonstrate the sterilization of the indicator organism; counts on unprocessed, inoculated material to indicate the stability of the inoculum for the process time; and results of sterility testing specifically designed to demonstrate the presence or absence of the indicator organism for each batch or filling operation. In using indicators, assure that the organisms are handled so they do not contaminate the drug manufacturing area and product.

3. Filled Containers

Challenge the procedure of how the filled vials or ampoules leave the filling room. Is the capping or sealing done in the sterile fill area? If not, how is sterility maintained until capped? Review the tests done on finished vials, ampoules, or other containers to assure proper fill and seal, for instance, leak and torque tests.

Keep a good record of examinations made for particulate contamination. Know that inspectors can quickly check for suspected particulate matter by using a polariscope. Practice this in-house on a representative sample of production frequently. Employees doing visual examinations online must be properly trained. If particle counts are done by machine, this operation must be validated. Know that even when 100% inspection is performed, defective vials and ampoules are picked up afterward.

I. PERSONNEL PRACTICES

Establish how employees sterilize and operate the equipment used in the filling area. Be critical of filling room personnel practices. Are the employees properly dressed in sterile gowns, masks, caps, and shoe coverings? Establish the gowning procedures, and determine whether good aseptic technique is maintained in the dressing and filling rooms. Check on the practices after lunch and other absences. Is fresh sterile garb supplied, or are soiled garments reused? If the dressing room is next to the filling area, how employees and supplies enter the sterile area is important.

J. LABORATORY CONTROLS

Pharmaceutical quality control laboratories are subject to strict guidelines established by the FDA. Review the "FDA Guide to Inspections of Pharmaceutical Quality Control Laboratories" and the "FDA Guide to Inspections of Microbiological Pharmaceutical Quality Control Laboratories." Clear standard operating procedures (SOPs) should be established.

1. Retesting for Sterility

See the USP for guidance on sterility testing. Sterility retesting is acceptable provided the cause of the initial non-sterility is known, thereby invalidating the original results. It cannot be assumed that the initial sterility test failure is a false positive. This conclusion must be justified by sufficient documented investigation. Additionally, spotty or low-level contamination may not be identified by repeated sampling and testing. Review sterility test failures, and determine the incidence, procedures for handling, and final disposition of the batches involved.

2. Retesting for Pyrogens

As with sterility, pyrogen retesting can be performed provided it is known that the test system was compromised. It cannot be assumed that the failure is a false positive without documented justification. Review any initial pyrogen test failures and establish a justification for retesting.

3. Particulate Matter Testing

Particulate matter consists of extraneous, mobile, and undissolved substances other than gas bubbles unintentionally present in parenteral solutions. Cleanliness specifications or levels of nonviable particulate contamination must be established. Limits are usually based on the history of the process. The particulate matter test procedure and limits for LVPs in the USP can be used as a general guideline. However, the levels of particulate contamination in sterile powders are generally greater than in LVPs. LVP solutions are filtered during the filling operation. However, sterile powders, except powders lyophilized in vials, cannot include filtration as a part of the filling operation. Considerable particulate contamination is also present in sterile powders that are spray dried due to charring during the process.

Establish the particulate matter test procedure and release criteria. Have available production and control records of any batches for which complaints of particulate matter have been received.

4. Production Records

Production records should be similar to those for other dosage forms. Critical steps, such as integrity testing of filter, should be signed and dated by a second responsible person. The production records must ensure that directions for significant manufacturing steps are included and reflect a complete history of production.

III. ASEPTIC PROCESSING

A. INTRODUCTION

There are basic differences between the production of sterile drug products by aseptic processing and by terminal sterilization. Terminal sterilization usually involves filling and sealing product containers under conditions of a high-quality environment; the product, container, and closure in most cases have low bioburden but are not sterile. The

environment in which filling and sealing is performed is of high quality in order to minimize the microbial content of the in-process product and to help ensure that the subsequent sterilization process is successful. The product in its final container is then subjected to a sterilization process such as heat or radiation. Because of their nature, certain products are aseptically processed from either an earlier stage in the process or in their entirety. Cell-based therapy products are an example. All components and excipients for these products are rendered sterile, and release of the final product is contingent on determination of sterility.

In aseptic processing, the drug product, container, and closure are subjected to sterilization processes separately, as appropriate, and then brought together. Because there is no further processing to sterilize the product after it is in its final container, it is critical that containers be filled and sealed in an environment of extremely high quality. Manufacturers should be aware that there are more variables associated with aseptic processing than with terminal sterilization. Before aseptic assembly, different parts of the final product are generally subjected to different sterilization processes, such as dry heat for glass containers, moist-heat sterilization for rubber closures, and sterile filtration for a liquid dosage form. Each of the processes of the aseptic manufacturing operation requires thorough validation and control. Each also introduces the possibility of error that might ultimately lead to the distribution of contaminated product. Any manual or mechanical manipulation of the sterilized drug, components, containers, or closures prior to or during aseptic assembly poses a risk of contamination and thus necessitates careful control. The terminally sterilized drug product, on the other hand, undergoes a single sterilization process in a sealed container, thus limiting the possibilities for error. Nearly all drugs recalled due to non-sterility or lack of sterility assurance from 1980 to 2000 were produced via aseptic processing. Manufacturers should have a keen awareness of the public health implication of distributing a nonsterile drug purporting to be sterile. Poor cGMP conditions at a manufacturing facility can ultimately pose a life-threatening health risk to a patient.

B. BUILDINGS AND FACILITIES

Section 211.42, "Design and Construction Features," of CFR requires, in part, that aseptic processing operations be "performed within specifically defined areas of adequate size. There shall be separate or defined areas for the operations to prevent contamination or mix-ups." Aseptic processing operations must also "include, as appropriate, an air supply filtered through HEPA filters under positive pressure," as well as systems for "monitoring environmental conditions" and "maintaining any equipment used to control aseptic conditions." Section 211.46, "Ventilation, Air Filtration, Air Heating and Cooling," states, in part, that "equipment for adequate control over air pressure, microorganisms, dust, humidity, and temperature shall be provided when appropriate for the manufacture, processing, packing, or holding of a drug product." This regulation also states that "air filtration systems, including

prefilters and particulate matter air filters, shall be used when appropriate on air supplies to production areas."

In aseptic processing, various areas of operation require separation and control, with each area having different degrees of air quality depending on the nature of the operation. Area design is based on satisfying microbiological and particulate standards defined by the equipment, components, and products exposed as well as the particular operation conducted in the given area. Critical and support areas of the aseptic processing operation should be classified and supported by microbiological and particulate data obtained during qualification studies. Initial clean-room qualification includes some assessment of air quality under as-built and static conditions, whereas the final room or area classification should be derived from data generated under dynamic conditions, that is, with personnel present, equipment in place, and operations ongoing. The aseptic processing facility-monitoring program should assess on a routine basis conformance with specified clean-area classifications under dynamic conditions. Table 2.1 summarizes clean-area air classifications (Cleanrooms and Associated Controlled Environments, 1972). Two clean areas are of particular importance to sterile drug product quality: the critical area and the supporting clean areas associated with it.

1. Critical Area (Class 100)

A critical area is one in which the sterilized drug product, containers, and closures are exposed to environmental conditions designed to preserve sterility. Activities conducted in this area include manipulations (e.g., aseptic connections, sterile ingredient additions) of sterile materials prior to and during filling and closing operations. This area is critical because the product is not processed further in its immediate container and is vulnerable to contamination. To maintain product sterility, the environment in which aseptic operations are conducted should

TABLE 2.1
Room Area Classification

Clean-Area Classification	>0.5-mm Particles/ft ³	>0.5-mm Particles/m ³	Microbiological Limits ^b	
			CFU/10 ft ³	CFU/m ³
100	100	3500	<1 ^c	<3 ^c
1000	1000	35,000	<2	<7
10,000	10,000	350,000	<5	<18
100,000	100,000	3,500,000	<25	<88

Source: from Ref. Cleanrooms and Associated Controlled Environments (1972). These classifications are now replaced by ISO 14644-1 (see Chapter 13).

^a All classifications based on data measured in the vicinity of exposed articles during periods of activity.

^b Alternative microbiological standards may be established where justified by the nature of the operation.

^c Samples from Class 100 environments should normally yield no microbiological contaminants.

be of appropriate quality throughout operations. One aspect of environmental quality is the particulate content of the air. Particulates are significant because they can enter a product and contaminate it physically or, by acting as a vehicle for microorganisms, biologically. Particle content in critical areas should be minimized by effective air systems.

Air in the immediate proximity of exposed sterilized containers or closures and filling or closing operations is of acceptable particulate quality when it has a per-cubic-foot particle count of no more than 100 in a size range of 0.5 mm and larger (Class 100) when counted at representative locations normally not more than 1 ft away from the work site, within the airflow, and during filling or closing operations. Deviations from this critical area monitoring parameter should be documented as to origin and significance.

Measurements to confirm air cleanliness in aseptic processing zones should be taken with the particle counting probe oriented in the direction of oncoming airflow and at specified sites where sterilized product and container/closure are exposed. Regular monitoring should be performed during each shift. Nonviable particulate monitoring with a remote counting system is generally less invasive than the use of portable particle counting units and provides the most comprehensive data.

Some powder-filling operations can generate high levels of powder particulates that, by their nature, do not pose a risk of product contamination. It may not, in these cases, be feasible to measure air quality within the 1-ft distance and still differentiate “background noise” levels of powder particles from air contaminants. In these instances, air should be sampled in a manner that, to the extent possible, characterizes the true level of extrinsic particulate contamination to which the product is exposed. Initial certification of the area under dynamic conditions without the actual powder-filling function should provide some baseline information on the nonproduct particle generation of the operation.

Air in critical areas should be supplied at the point of use as HEPA-filtered laminar flow air at a velocity sufficient to sweep particulate matter away from the filling or closing area and maintain laminarity during operations. The velocity parameters established for each processing line should be justified and appropriate to maintain laminarity and air quality under dynamic conditions within a defined space (Clean-rooms and Associated Controlled Environments, 1972). (A velocity of 90–100 ft/min is generally established, with a range of $\pm 20\%$ around the set point. Higher velocities may be appropriate in operations generating high levels of particulates.)

Proper design and control should prevent turbulence or stagnant air in the aseptic processing line or clean zone. Once relevant parameters are established, airflow patterns should be evaluated for turbulence. Air pattern or “smoke” studies demonstrating laminarity and sweeping action over and away from the product under dynamic conditions should be conducted. The studies should be well documented with written conclusions. Videotape or other recording mechanisms have been found to be useful in assessing airflow initially as well as facilitating evaluation of subsequent equipment configuration changes. However, even successfully qualified systems

can be compromised by poor personnel or operational or maintenance practices. Active air monitoring of critical areas should normally yield no microbiological contaminants. Contamination in this environment should receive investigative attention.

2. Supporting Clean Areas

Supporting clean areas include various classifications and functions. Many support areas function as zones in which nonsterile components, formulated product, in-process materials, equipment, and containers or closures are prepared, held, or transferred. These environments should be designed to minimize the level of particulate contaminants in the final product and control the microbiological content (bioburden) of articles and components that are subsequently sterilized.

The nature of the activities conducted in a supporting clean area should determine its classification. An area classified as Class 100,000 is used for less critical activities (such as initial equipment preparation). The area immediately adjacent to the aseptic processing line should, at a minimum, meet Class 10,000 standards (see Table 2.1) under dynamic conditions. Depending on the operation, manufacturers can also classify this area as Class 1000 or maintain the entire aseptic filling room at Class 100.

3. Clean Area Separation

Adequate separation is necessary between areas of operation to prevent contamination. To maintain air quality in areas of higher cleanliness, it is important to achieve a proper airflow and a positive pressure differential relative to adjacent less clean areas. Rooms of higher classification should have a positive pressure differential relative to adjacent lower classified areas of generally at least 0.05 in H₂O (with doors closed). When doors are open, outward airflow should be sufficient to minimize ingress of contamination (Ljungqvist and Reinmuller, 1997). Pressure differentials between clean rooms should be monitored continuously throughout each shift and frequently recorded, and deviations from established limits investigated.

An adequate air change rate should be established for a clean room. For Class 100,000 supporting rooms, airflow sufficient to achieve at least 20 air changes per hour is typically acceptable.

Facility monitoring systems should be established to rapidly detect atypical changes that can compromise the facility's environment. Operating conditions should be restored to established, qualified levels before reaching action levels. For example, pressure differential specifications should enable prompt detection (i.e., alarms) of any emerging low-pressure problem in order to preclude ingress of unclassified air into a classified room.

4. Air Filtration

a. Membrane (Compressed Gases)

A compressed gas should be of appropriate purity (e.g., free from oil and water vapor), and its microbiological and particulate quality should be equal to or better than air in the

environment into which the gas is introduced. Compressed gases such as air, nitrogen, and carbon dioxide are often used in clean rooms and are frequently employed in operations involving purging or overlaying.

Membrane filters allow for the filtration of compressed gases to meet an appropriate high-quality standard and can be used to produce a sterile compressed gas. A sterile-filtered gas is used when the gas contacts a sterilized material. Certain equipment should also be supplied with a sterile-filtered gas. For example, sterile bacterial retentive membrane filters should be used for autoclave air lines, lyophilizer vacuum breaks, vessels containing sterilized materials, and hot-air sterilizer vents. Sterilized tanks or liquids should be held under continuous overpressure to prevent microbial contamination. Safeguards should be in place to prevent a pressure change that can result in contamination due to backflow of nonsterile air or liquid.

Gas filters (including vent filters) should be dry. Condensate in a gas filter can cause blockage or microbial contamination. Frequent replacement, heating, and use of hydrophobic filters prevent moisture residues in a gas supply system. These filters also should be integrity tested on installation and periodically thereafter (e.g., including at end of use). Integrity test failures should be investigated.

b. High-Efficiency Particulate Air

The same broad principles can be applied to ultra-low particulate air (ULPA) filters as described here for HEPA filters. An essential element in ensuring aseptic conditions is the maintenance of HEPA filter integrity. Integrity testing should be performed at installation to detect leaks around the sealing gaskets, through the frames, or through various points on the filter media. Thereafter, integrity tests should be performed at suitable time intervals for HEPA filters in the aseptic processing facility. For example, such testing should be performed twice a year for the aseptic processing room. Additional testing may be needed when air quality is found to be unacceptable or as part of an investigation into a media fill or drug product sterility failure. Among the filters that should be integrity tested are those installed in dry-heat depyrogenation tunnels commonly used to depyrogenate glass vials.

One recognized method of testing the integrity of HEPA filters is use of a DOP aerosol challenge. However, alternative aerosols may be acceptable. Poly-alpha-olefin can also be used, provided it meets specifications for critical physicochemical attributes such as viscosity. Some alternative aerosols are problematic because they pose a risk of microbial contamination of the environment being tested. It should be ensured that any alternative does not promote microbial growth.

An intact HEPA filter is capable of retaining at least 99.97% of particulates greater than 0.3 μm in diameter. It is important to ensure that the aerosol used for the challenge has a sufficient number of particles of this size range. Performing an integrity test without introducing particles of known size upstream of the filter is ineffective to detect leaks. The DOP challenge should introduce the aerosol upstream of the filter in a concentration of 80 to 100 mg/L of air at the filter's

designed airflow rating. The downstream side of the filter is then scanned with an appropriate photometer probe at a sampling rate of at least 1 ft^3/min . Scanning should be conducted on the entire filter face and frame at a position about 1 to 2 in from the face of the filter. This comprehensive scanning of HEPA filters should be fully documented. Although vendors often provide these services, the drug manufacturer is responsible to ensure that these essential certification activities are conducted satisfactorily.

A single probe reading equivalent to 0.01% of the upstream challenge should be considered as indicative of a significant leak and should result in replacement of the HEPA filter or perhaps repair in a limited area. A subsequent confirmatory retest should be performed in the area of any repair. Whereas there is a major difference between filter integrity testing and efficiency testing, the purpose of regularly scheduled integrity testing is to detect leaks from the filter media, filter frame, and seal.

The challenge is a polydispersed aerosol usually composed of particles ranging in size from 1 to 3 μm . The test is done in place and the filter face is scanned with a probe; the measured downstream leakage is taken as a percent of the upstream challenge. The efficiency test, on the other hand, is a test used only to determine the rating of the filter. (The efficiency test uses a monodispersed aerosol of particles of size 3 μm , relates to filter media, and usually requires specialized testing equipment. Downstream readings represent an average over the entire filter surface. Therefore, the efficiency test is not intended to test for leakage in a filter.)

HEPA filter integrity testing alone is not sufficient to monitor filter performance. This testing is usually done only on a semiannual basis. It is important to conduct periodic monitoring of filter attributes such as uniformity of velocity across the filter (and relative to adjacent filters). Variations in velocity generally increase the possibility of contamination, as these changes (e.g., velocity reduction) can have an effect on the laminarity of the airflow. Airflow velocities are measured 6 in from the filter face or at a defined distance proximal to the work surface for each HEPA filter. For example, velocity monitoring as frequently as weekly may be appropriate for the clean zone in which aseptic processing is performed. HEPA filters should be replaced when inadequate airflow (e.g., due to blockage) or nonuniformity of air velocity across an area of the filter is detected.

5. Design

Section 211.42* requires that aseptic processing operations be "performed within specifically defined areas of adequate size. There shall be separate or defined areas for the firm's operations to prevent contamination or mix-ups." Section 211.42 further states that "flow of components, drug products containers, closures, labeling, in-process materials, and drug products through the building or buildings shall be designed to prevent contamination." HEPA-filtered air as appropriate, as well as "floors, walls and ceilings of smooth, hard surfaces

* All section numbers refer to FDA GMP Guidance 21CFR211.

that are easily cleanable” are some additional requirements of this section. Section 211.63 states that equipment “shall be of appropriate design, adequate size, and suitably located to facilitate operations for its intended use and for its cleaning and maintenance.” Section 211.65 states that

“equipment shall be constructed so that surfaces that contact the components, in-process materials, or drug products shall not be reactive, additive, or absorptive so as to alter the safety, identity, strength, quality, or purity of the drug product beyond the official or other established requirements.”

Section 211.68 includes requirements for “automatic, mechanical and electronic equipment.” Section 211.113 states that “appropriate written procedures, designed to prevent microbiological contamination of drug products purporting to be sterile, shall be established and followed.”

An aseptic process is designed to minimize exposure of sterile articles to dynamic conditions and potential contamination hazards presented by the operation. Limiting the duration of open container exposure, providing the highest possible environmental control, and designing equipment to prevent entrainment of lower quality air into the Class 100 zone are essential to this goal (Ljungqvist and Reinmuller, 1997).

Any intervention or stoppage during an aseptic process can increase the risk of contamination. Personnel and material flow should be optimized to prevent unnecessary activities that increase the potential for introducing contaminants to exposed product, container/closures, or the surrounding environment. The layout of equipment should provide for ergonomics that optimize comfort and movement of operators. The flow of personnel should be designed to limit the frequency with which entries and exits are made to and from the aseptic processing room and, more significantly, its critical area. To prevent changes in air currents that introduce lower quality air, movement adjacent to the critical area should be limited. For example, personnel intervention can be reduced by integrating an on-line weight check device, thus eliminating a repeated manual activity within the critical zone. It is also important to minimize the number of personnel in the aseptic processing room.

Transfer of products should be performed under appropriate clean-room conditions. For example, lyophilization processes include transfer of aseptically filled product in partially sealed containers. To prevent contamination, partially closed sterile product should be staged and transferred only in critical areas. Facility design should assure that the area between a filling line and the lyophilizer, and the transport and loading procedures, provide Class 100 protection. The sterile product and container closures should also be protected from activities occurring adjacent to the line. Carefully designed curtains, rigid plastic shields, or other barriers should be used in appropriate locations to partially segregate the aseptic processing line. Airlocks and interlocking doors facilitate better control of air balance throughout the aseptic processing area. Airlocks should be installed between the aseptic processing area entrance and the adjoining uncontrolled area.

Other interfaces such as personnel entries, or the juncture of the aseptic processing room and its adjacent room, are also appropriate locations for airlocks. Clean rooms are normally designed as functional units with specific purposes. A well-designed clean room is constructed with material that allows for ease of cleaning and sanitizing. Examples of adequate design features include seamless and rounded floor-to-wall junctions as well as readily accessible corners. Floors, walls, and ceilings are constructed of smooth, hard surfaces that can be easily cleaned (Section 211.42). Ceilings and associated HEPA filter banks should be designed to protect sterile materials from contamination. Clean rooms also should not contain unnecessary equipment, fixtures, or materials.

Processing equipment and systems should be equipped with sanitary fittings and valves. Drains are not considered appropriate for rooms in classified areas of the aseptic processing facility. When applicable, equipment must be suitably designed for ease of sterilization (Section 211.63). The effect of equipment layout and design on the clean-room environment should be addressed. Flat surfaces or ledges that accumulate dust and debris should be avoided. Equipment should not obstruct airflow, and, in critical zones, its design should not perturb airflow.

C. PERSONNEL TRAINING, QUALIFICATION, AND MONITORING

Section 211.22 states that “the quality control unit shall have the responsibility for approving or rejecting all procedures or specifications impacting on the identity, strength, quality, and purity of the drug product.” Section 211.113(b) addresses the procedures designed to prevent microbiological contamination, stating that “appropriate written procedures, designed to prevent microbiological contamination of drug products purporting to be sterile, shall be established and followed.” Section 211.25, “Personnel Qualifications,” requires that:

Each person engaged in manufacture, processing, packing, or holding of a drug product shall have education, training, and experience, or any combination thereof, to enable that person to perform the assigned functions ... Each person responsible for supervising the manufacture, processing, packing, or holding of a drug product shall have the education, training, and experience, or any combination thereof, to perform assigned functions in such a manner as to provide assurance that the drug product has the safety, identity, strength, quality, and purity that it purports or is represented to possess.

This section also requires “an adequate number of qualified personnel to perform and supervise the manufacture, processing, packing, or holding of each drug product.” Section 211.25 also requires that continuing training in cGMP “shall be conducted by qualified individuals on a continuing basis and with sufficient frequency to assure that employees remain familiar with cGMP requirements applicable to them.” The training “shall be in the particular operations that the employee performs and in cGMP (including the current good manufacturing practice regulations in this chapter and written procedures

required by these regulations), as they relate to the employee's functions."

Section 211.28, "Personnel Responsibilities," states that "personnel engaged in the manufacture, processing, packing, or holding of a drug product shall wear clean clothing appropriate for the duties they perform." It also states that "personnel shall practice good sanitization and health habits" and specifies that "protective apparel, such as head, face, hand, and arm coverings, shall be worn as necessary to protect drug products from contamination." It also states:

Any person shown at any time (either by medical examination or supervisory examination) to have an apparent illness or open lesions that may adversely affect the safety or quality of drug products shall be excluded from direct contact with components, drug product containers, closures, in-process materials, and drug products until the condition is corrected or determined by competent medical personnel not to jeopardize the safety or quality of drug products. All personnel shall be instructed to report to supervisory personnel any health conditions that may have an adverse effect on drug products.

This section also addresses restrictions on entry into limited-access areas: "Only personnel authorized by supervisory personnel shall enter those areas of the buildings and facilities designated as limited-access areas." Section 211.42 requires the establishment of a "system for monitoring environmental conditions."

1. Manufacturing Personnel

A well-designed aseptic process minimizes personnel intervention. As operator activities increase in an aseptic processing operation, the risk to finished product sterility also increases. It is essential that operators involved in aseptic manipulations adhere to the basic principles of aseptic technique at all times to assure maintenance of product sterility. Appropriate training should be conducted before an individual is permitted to enter the aseptic processing area and perform operations. For example, such training should include aseptic technique, clean-room behavior, microbiology, hygiene, gowning, and patient safety hazard posed by a nonsterile drug product, and the specific written procedures covering aseptic processing area operations. After initial training, personnel should be updated regularly by an ongoing training program. Supervisory personnel should routinely evaluate each operator's conformance to written procedures during actual operations. Similarly, the quality control unit should provide regular oversight of adherence to established, written procedures and basic aseptic techniques during manufacturing operations.

Adherence to basic aseptic technique is a continuous requirement for operators in an aseptic processing operation. The following are some techniques aimed at maintaining sterility of sterile items and surfaces:

1. Contact sterile materials with sterile instruments only. Always use sterile instruments (e.g., forceps) while handling sterilized materials. Between uses, place instruments in sterilized containers only.
2. Move slowly and deliberately. Rapid movements can create unacceptable turbulence in the critical zone. Such movements disrupt the sterile field, presenting a challenge beyond intended clean-room design and control parameters. Follow the principle of slow, careful movement throughout the clean room.
3. Keep the entire body out of the path of laminar air. Laminar airflow design is used to protect sterile equipment surfaces, container/closures, and product. Personnel should not disrupt the path of laminar flow air in the aseptic processing zone.
4. Approach a necessary manipulation in a manner that does not compromise sterility of the product. To maintain sterility of nearby sterile materials, approach a proper aseptic manipulation from the side and not above the product (in vertical laminar flow operations). Also, speaking when in direct proximity to an aseptic processing line is not an acceptable practice.
5. Personnel who have been qualified and permitted access to the aseptic processing area should be appropriately gowned. An aseptic processing-area gown should provide a barrier between the body and exposed sterilized materials and prevent contamination from particles generated by, and microorganisms shed from, the body. Gowns need to be sterile and non-shedding and should cover the skin and hair. Face masks, hoods, beard or moustache covers, protective goggles, elastic gloves, clean-room boots, and shoe overcovers are examples of common elements of gowns. An adequate barrier should be created by the overlapping of gown components (e.g., gloves overlapping sleeves). If an element of the gown is found to be torn or defective, change it immediately. There should be an established program to regularly assess or audit conformance of personnel to relevant aseptic manufacturing requirements. An aseptic gowning qualification program should assess the ability of a clean-room operator to maintain the sterile quality of the gown after performance of gowning procedures. Gowning qualification should include microbiological surface sampling of several locations on a gown (e.g., glove fingers, facemask, forearm, chest, and other sites). Following an initial assessment of gowning, periodic requalification should monitor various gowning locations over a suitable period to ensure the consistent acceptability of aseptic gowning techniques. Semiannual or yearly requalification is acceptable for automated operations where personnel involvement is minimized. To protect exposed sterilized product, personnel are expected to maintain sterile gown quality and aseptic

method standards in a consistent manner. Written procedures should adequately address circumstances under which personnel should be retrained, requalified, or reassigned to other areas.

2. Laboratory Personnel

The basic principles of training, aseptic technique, and personnel qualification in aseptic manufacturing are equally applicable to those performing aseptic sampling and microbiological laboratory analyses. Processes and systems cannot be considered to be under control and reproducible if there is any question regarding the validity of data produced by the laboratory.

3. Monitoring Program

Personnel can have substantial impact on the quality of the environment in which the sterile product is processed. A vigilant and responsive personnel-monitoring program should be established. Monitoring should be accomplished by obtaining surface samples of each aseptic processing operator's gloves on at least a daily basis or in association with each batch. This sampling should be accompanied by an appropriate frequency of sampling for other strategically selected locations of the gown (Current Practices in the Validation of Aseptic Processing, 2002). The quality control unit should establish a more comprehensive monitoring program for operators involved in operations that are especially labor intensive, that is, those requiring repeated or complex aseptic manipulations. Asepsis is fundamental to an aseptic processing operation. An ongoing goal for manufacturing personnel in the aseptic processing room is to maintain contamination-free gloves throughout operations. Sanitizing gloves just prior to sampling is inappropriate because it can prevent recovery of microorganisms that were present during an aseptic manipulation. When operators exceed established levels or show an adverse trend, an investigation should be conducted promptly. Follow-up actions may include increased sampling, increased observation, retraining, gowning requalification, and, in certain instances, reassigning the individual to operations outside of the aseptic processing area. Microbiological trending systems and assessment of the impact of atypical trends are discussed in more detail under the section on laboratory controls.

D. COMPONENTS AND CONTAINERS/CLOSURES

1. Components

Section 210.3(b)(3) defines a component as "any ingredient intended for use in the manufacture of a drug product, including those that may not appear in such drug product." Section 211.80, "General Requirements," requires, in part, "the establishment of written procedures describing in sufficient detail the receipt, identification, storage, handling, sampling, testing, and approval or rejection of components and drug product containers and closures ... Components and drug product containers and closures shall at all times be handled and stored in a manner to prevent contamination."

Section 211.84, "Testing and Approval or Rejection of Components, Drug Product Containers, and Closures," requires that "each lot of a component, drug product container, or closure that is liable to microbiological contamination that is objectionable in view of its intended use shall be subjected to microbiological tests before use."

A drug product produced by aseptic processing can become contaminated by use of one or more components (e.g., active ingredients, excipients, WFI) contaminated with microorganisms or endotoxins. It is important to characterize the microbial content of each component liable to contamination and establish appropriate acceptance or rejection limits based on information on bioburden. Knowledge of bioburden is critical in assessing whether the sterilization process is adequate.

In aseptic processing, each component is individually sterilized, or several components are combined, with the resulting mixture sterilized. There are several methods to sterilize components. A widely used method is filtration of a solution formed by dissolving the component(s) in a solvent such as USP WFI. The solution is passed through a sterilizing membrane or cartridge filter. Filter sterilization is used when the component is soluble and is likely to be adversely affected by heat. A variation of this method involves subjecting the filtered solution to aseptic crystallization and precipitation of the component as a sterile powder. However, this method involves more handling and manipulation and therefore has a higher potential for contamination during processing. If a component is not adversely affected by heat and is soluble, it may be made into a solution and subjected to steam sterilization, typically in an autoclave or a pressurized vessel. Dry-heat sterilization is a suitable method for components that are heat stable and insoluble. However, carefully designed heat penetration and distribution studies should be performed for powder sterilization because of the insulating effects of the powder.

Ethylene oxide exposure is often used for surface sterilization. Such methods should be carefully controlled and validated if used for powders to evaluate whether consistent penetration of the sterilant is achieved and to minimize residual ethylene oxide and by-products.

Parenteral products are intended to be nonpyrogenic. There should be written procedures and appropriate specifications for acceptance or rejection of each lot of components that might contain endotoxins. Any components failing to meet endotoxin specifications should be rejected.

2. Containers/Closures

Section 211.94, "Drug Product Containers and Closures," states that "drug product containers and closures shall be clean and, where indicated by the nature of the drug, sterilized and processed to remove pyrogenic properties to assure that they are suitable for their intended use." It also states that "standards or specifications, methods of testing, and, where indicated, methods of cleaning, sterilizing and processing to remove pyrogenic properties shall be written and followed for drug product containers and closures." Section 211.113(b) requires "validation of any sterilization process" as part of

designing procedures “to prevent microbiological contamination of drug products purporting to be sterile.”

a. Preparation

Containers and closures should be rendered sterile and, for parenteral drug products, pyrogen-free. The type of processes used will depend primarily on the nature of the material comprising the container or closure, or both. The validation study for any such process should be adequate to demonstrate its ability to render materials sterile and pyrogen-free. Written procedures should specify the frequency of revalidation of these processes as well as time limits for holding sterile, depyrogenated containers and closures.

Pre-sterilization preparation of glass containers usually involves a series of wash-and-rinse cycles. These cycles serve an important role in removing foreign matter. Rinse water should be of high purity so as not to contaminate containers. For parenteral products, final rinse water should meet the specifications of WFI, USP.

The adequacy of the depyrogenation process can be assessed by spiking containers or closures with known quantities of endotoxin, followed by measuring endotoxin content after depyrogenation. The challenge studies should be performed with a reconstituted endotoxin solution applied directly onto the surface being tested and air-dried. Positive controls should be used to measure the percentage of endotoxin recovery by the test method. Validation study data should demonstrate that the process reduces the endotoxin content by at least 99.9% (3 logs).

Glass containers are generally subjected to dry heat for sterilization and depyrogenation. Validation of dry-heat sterilization or depyrogenation should include appropriate heat distribution and penetration studies as well as the use of worst-case process cycles, container characteristics (e.g., mass), and specific loading configurations to represent actual production runs.

Pyrogen on plastic containers can be generally removed by multiple WFI rinses. Plastic containers can be sterilized with an appropriate gas, irradiation, or other suitable means. For gases such as EtO, the parameters and limits of the EtO sterilization cycle (e.g., temperature, pressure, humidity, gas concentration, exposure time, degassing, aeration, and determination of residuals) should be specified and monitored closely. BIs are of special importance in demonstrating the effectiveness of EtO and other gas sterilization processes.

Rubber closures (e.g., stoppers and syringe plungers) are cleaned by multiple cycles of washing and rinsing prior to final steam or irradiation sterilization. At minimum, the initial rinses for the washing process should employ purified water USP of minimal endotoxin content, followed by final rinse(s) with WFI for parenteral products. Normally, depyrogenation is achieved by multiple rinses of hot WFI. The time between washing and sterilizing should be minimized because moisture on the stoppers can support microbial growth and the generation of endotoxins. Because rubber is a poor conductor of heat, extra attention should be given to the validation of processes that use heat to sterilize rubber stoppers. Validation

data should also demonstrate successful endotoxin removal from rubber materials.

A potential source of contamination is the siliconization of rubber stoppers. Silicone used in the preparation of rubber stoppers should be rendered sterile and not have an adverse effect on the safety, quality, or purity of the drug product. It is important to establish production time limits for the holding of sterilized containers and closures.

Contract facilities that perform sterilization and depyrogenation of containers and closures are subject to the same cGMP requirements as those established for in-house processing. The finished dosage from the manufacturer is subject to the review and approval of the contractor's validation protocol and final validation report.

b. Inspection of Container/Closure System

A container/closure system that permits penetration of air, or microorganisms, is unsuitable for a sterile product. Any damaged or defective units should be detected and removed during inspection of the final sealed product. Safeguards should be implemented to strictly preclude shipment of product that may lack container/closure integrity and lead to non-sterility. Equipment suitability problems or incoming container or closure deficiencies have caused loss of container/closure system integrity. As examples, failure to detect vials fractured by faulty machinery or by mishandling of bulk finished stock has led to drug recalls. If damage that is not readily detected leads to loss of container/closure integrity, improved procedures should be rapidly implemented to prevent and detect such defects.

Functional defects in delivery devices (e.g., syringe device defects, delivery volume) can also result in product quality problems and should be monitored by appropriate in-process testing.

Any defects or results outside the specifications established for in-process and final inspection should be investigated in accord with Section 211.192.

E. ENDOTOXIN CONTROL

Section 211.63, “Equipment Design, Size, and Location,” states that equipment “shall be of appropriate design, adequate size, and suitably located to facilitate operations for its intended use and for its cleaning and maintenance.” Section 211.65, “Equipment Construction,” requires, in part, that equipment shall be constructed so that surfaces that contact the components, in-process materials, or drug products shall not be reactive, additive, or absorptive so as to alter the safety, identity, strength, quality, or purity of the drug product beyond the official or other established requirements.

Section 211.67, “Equipment Cleaning and Maintenance,” states that “equipment and utensils shall be cleaned, maintained, and sanitized at appropriate intervals to prevent malfunctions or contamination that would alter the safety, identity, strength, quality, or purity of the drug product beyond the official or other established requirements.” Section 211.94 states that “drug product containers and closures shall be clean, and

where indicated by the nature of the drug, sterilized and processed to remove pyrogenic properties to assure that they are suitable for their intended use.” Section 211.167 states: “For each batch of drug product purporting to be sterile and/or pyrogen-free, there shall be appropriate laboratory testing to determine conformance to such requirements. The test procedures shall be in writing and shall be followed.”

Endotoxin contamination of an injectable product can be a result of poor cGMP controls. Certain patient populations (e.g., neonates), those receiving other injections concomitantly, or those administered a parenteral in atypically large volumes or doses can be at greater risk for pyrogenic reaction than that anticipated by the established limits based on body weight of a normal healthy adult (Grandics, 2000; Lord and Levchuk, 1989; Current Practices in the Validation of Aseptic Processing, 2002). Such clinical concerns reinforce the need for appropriate cGMP controls to prevent generation of endotoxin. Drug product components, container/closures, equipment, and storage time limitations are among the concerns to address in establishing endotoxin control.

Adequate cleaning, drying, and storage of equipment provide for control of bioburden and prevent contribution of endotoxin load. Equipment should be designed such that it is easily assembled and disassembled, cleaned, sanitized, and sterilized. Endotoxin control should be exercised for all product-contact surfaces both prior to and after sterile filtration. Endotoxin on equipment surfaces is inactivated by high-temperature dry heat or removed from equipment surfaces by validated cleaning procedures. Some clean-in-place procedures employ initial rinses with appropriate high-purity water or a cleaning agent (e.g., acid, base, surfactant), or both, followed by final rinses with heated WFI. Equipment should be dried following cleaning. Sterilizing filters and moist-heat sterilization have not been shown to be effective in removing endotoxins. Processes that are designed to achieve depyrogenation should demonstrate a 3-log reduction of endotoxin.

F. TIME LIMITATIONS

Section 211.111, “Time Limitations on Production,” states: “When appropriate, time limits for the completion of each phase of production shall be established to assure the quality of the drug product.”

Time limits should be established for each phase of aseptic processing. Time limits should include, for example, the period between the start of bulk product compounding and its filtration; filtration processes; product exposure while on the processing line; and storage of sterilized equipment, containers, and closures. Maintenance of in-process quality at different production phases should be supported by data. Bioburden and endotoxin load should be assessed when establishing time limits for stages such as the formulation processing stage. The total time for product filtration should be limited to an established maximum in order to prevent microorganisms from penetrating the filter. Such a time limit should also prevent a significant increase in upstream bioburden and endotoxin load. Sterilizing filters should generally be replaced following

each manufactured lot. Because they can provide a substrate for microbial attachment, maximum use times for those filters used upstream for solution clarification or particle removal should also be established and justified.

G. PROCESS VALIDATION AND EQUIPMENT QUALIFICATION

Section 211.113(b), “Control of Microbiological Contamination,” states: “Appropriate written procedures, designed to prevent microbiological contamination of drug products purporting to be sterile, shall be established and followed. Such procedures shall include validation of any sterilization process.” Section 211.63 is “Equipment, Design, Size, and Location”; Section 211.65 is “Equipment Construction”; and Section 211.67 is “Equipment Cleaning and Maintenance.” Section 211.84(c)(3) states that “sterile equipment and aseptic sampling techniques shall be used when necessary.”

The following sections primarily discuss routine qualification and validation study expectations. Change control procedures are only briefly addressed, but they are an important part of the quality systems. A change in equipment, process, test method, or systems requires evaluation through the written change control program and should trigger an evaluation of the need for revalidation or requalification.

1. Process Simulations

To ensure the sterility of products purporting to be sterile, both sterilization and aseptic filling or closing operations must be adequately validated (Section 211.113). The goal of even the most effective sterilization processes can be defeated if the sterilized elements of a product (the drug, the container, and the closure) are brought together under conditions that contaminate those elements. Similarly, product sterility is compromised when the product elements are nonsterile at the time they are assembled.

Validation of an aseptic processing operation should include the use of a microbiological growth nutrient medium in place of product. This has been termed a *media fill* or *process simulation*. The nutrient medium is exposed to product-contact surfaces of equipment, container systems, critical environments, and process manipulations to closely simulate the same exposure that the product itself will undergo. The sealed containers filled with the media are then incubated to detect microbial contamination. The results are interpreted to determine the potential for any given unit of drug product to become contaminated during actual operations (e.g., startup, sterile ingredient additions, aseptic connections, filling, and closing). Environmental monitoring data is integral to the validation of an aseptic processing operation.

a. Study Design

A validation protocol should detail the overall strategy, testing requirements, and acceptance criteria for the media fill. Media-fill studies should simulate aseptic manufacturing operations as closely as possible, incorporating a worst-case approach. A media-fill study should address applicable issues such as

- Factors associated with the longest permitted run on the processing line
- Ability to produce sterile units when environmental conditions impart a greater risk to the product
- Number and type of normal interventions, atypical interventions, unexpected events (e.g., maintenance), stoppages, equipment adjustments, or transfers
- Lyophilization, when applicable
- Aseptic assembly of equipment (e.g., at start-up, during processing)
- Number of personnel and their activities
- Number of aseptic additions (e.g., charging containers and closures as well as sterile ingredients)
- Shift changes, breaks, and gown changes (when applicable)
- Number and type of aseptic equipment disconnections or connections
- Aseptic sample collections
- Line speed and configurations
- Manual weight checks
- Operator fatigue
- Container/closure systems (e.g., sizes, type, compatibility with equipment)
- Temperature and humidity set point extremes and
- Specific provisions of aseptic processing-related SOPs (conditions permitted before line clearance is mandated, etc.)

A written batch record documenting conditions and activity simulated should be prepared for each media fill run. The same vigilance should be observed in both media fill and routine production runs. Media fills cannot be used to validate an unacceptable practice.

b. Frequency and Number of Runs

When a processing line is initially validated, separate media fills should be repeated enough times to ensure that results are consistent and meaningful. This approach is important because a single run can be inconclusive, whereas multiple runs with divergent results signal a process that is not in control. A minimum of three consecutive separate successful runs should be performed during initial line qualification. Subsequently, routine semiannual revalidation runs should be conducted for each shift and processing line to evaluate the state of control of the aseptic process. All personnel who enter the aseptic processing area, including technicians and maintenance personnel, should participate in a media fill at least once a year.

Each change to a product or line change should be evaluated by a written change control system. Any changes or events that appear to affect the ability of the aseptic process to exclude contamination from the sterilized product should be assessed through additional media fills. For example, facility and equipment modification, line configuration change, significant changes in personnel, anomalies in environmental testing results, container/closure system changes, or end-product sterility testing showing contaminated products may be cause for revalidation of the system.

When a media fill's data indicate that the process may not be in control, a comprehensive documented investigation should be conducted to determine the origin of the contamination and the scope of the problem. Once corrections are instituted, multiple repeat process simulation runs should be performed to confirm that deficiencies in practices and procedures have been corrected and the process has returned to a state of control. However, when an investigation fails to reach well-supported, substantive conclusions as to the cause of the media fill failure, three consecutive successful runs and increased scrutiny (i.e., extra supervision, monitoring) of the production process should be implemented.

c. Size and Duration of Runs

The duration of aseptic processing operations is a major consideration in determining the size of the media fill run. Although the most accurate simulation model would be the full batch size and duration because it most closely simulates the actual production run, other appropriate models can be justified. In any study protocol, the duration of the run and the overall study design should adequately mimic worst-case operating conditions and cover all manipulations that are performed in the actual processing operation. Adequate batch sizes are needed to simulate commercial production conditions and accurately assess the potential for commercial batch contamination. The number of units filled should be sufficient to reflect the effects of potential operator fatigue, as well as the maximum number of interventions and stoppages. The run should be large enough to accurately simulate production conditions and sensitive enough to detect a low incidence of contaminated units. For batches produced over multiple shifts or yielding an unusually large number of units, the media fill protocol should adequately encompass conditions and any potential risks associated with the larger operation. Although conventional manufacturing lines are highly automated, often operate at relatively high speeds, and are designed to limit operator intervention, some processes include considerable operator involvement. When aseptic processing employs manual filling or closing, or extensive manual manipulations, the duration of the process simulation should generally be no less than the length of the actual manufacturing process in order to best simulate operator fatigue.

For simulation of lyophilization operations, unsealed containers should be exposed to pressurization and partial evacuation of the chamber in a manner that is representative of process stresses. Vials should not be frozen, as this may inhibit the growth of microorganisms.

d. Line Speed

The media fill program should adequately address the range of line speeds (e.g., by bracketing all vial sizes and fill volumes) employed during production. In some cases, more than one line speed should be evaluated in the course of a study.

Each individual media fill run should evaluate a single worst-case line speed, and the speed chosen for each batch during a study should be justified. For example, use of high line speed is justified for manufacturing processes characterized

by frequent interventions or a significant degree of manual manipulation. Use of slow line speed is justified for manufacturing processes characterized by prolonged exposure of sterile components in the aseptic area.

e. Environmental Conditions

Media fills should be conducted under environmental conditions that simulate normal as well as worst-case conditions of production. An inaccurate assessment (making the process appear cleaner than it actually is) can result from conducting a media fill under extraordinary air particulate and microbial quality or under production controls and precautions taken in preparation for the media fill. To the extent SOPs permit stressful conditions, it is crucial that media fills should include rigorous challenges in order to support the validity of these studies.

f. Media

In general, a microbiological growth medium such as soybean casein digest medium should be used. Use of anaerobic growth media (e.g., fluid thioglycollate medium) is appropriate in special circumstances. Media selected should be demonstrated to promote growth of USP. Media units should be incubated for a sufficient time (a period of not less than 14 days) at a temperature adequate to enhance detection of organisms that can otherwise be difficult to culture. Each media-filled unit should be examined for contamination by personnel with appropriate education, training, and experience in microbiological techniques. There should be direct quality control unit oversight throughout any such examination. Clear containers with otherwise identical physical properties should be used as a substitute for amber or other opaque containers to allow visual detection of microbial growth.

When a final product inspection is performed of units immediately following the media fill run, all integral units should proceed to incubation. Units found to have defects not related to integrity (e.g., cosmetic defect) should be incubated; units that lack integrity should be rejected. (Separate incubation of certain categories of rejected units may nonetheless provide valuable information with respect to contamination that may arise from container/closure integrity deficiencies.) Erroneously rejected units should be returned promptly for incubation with the media fill lot.

After incubation is underway, any unit found to be damaged should be included in the data for the media fill batch, because the incubation of the units simulates release to the market. Any decision to exclude such incubated units (i.e., nonintegral) from the final batch tally should be fully justified and the deviation explained in the media fill report. If a correlation emerges between difficult-to-detect damage and microbial contamination, a thorough investigation should be conducted to determine its cause.

Written procedures regarding aseptic interventions should be clear and specific (e.g., intervention type, quantity of units removed), providing for consistent production practices and assessment of these practices during media fills. If written procedures and batch documentation are adequate, these

intervention units do not need to be incubated during media fills. Where procedures lack specificity, there would be insufficient justification for exclusion of units removed during an intervention from incubation. As an example, if a production procedure requires removal of 10 units after an intervention at the stoppering station infeed, batch records (i.e., for production and media fills) should clearly document conformance with this procedure. In no case should more units be removed during a media fill intervention than would be cleared during a production run. The ability of a media fill run to detect potential contamination from a given simulated activity should not be compromised by a large-scale line clearance, which can result in removal of a positive unit caused by an unrelated event or intervention. If unavoidable, appropriate study provisions should be made to compensate in such instances.

Appropriate criteria should be established for yield and accountability. Batch record reconciliation documentation should include an accurate accounting and description of units rejected from a batch.

g. Interpretation of Test Results

The process simulation run should be observed, and contaminated units should be reconcilable with the approximate time and the activity being simulated during the media fill. Videotaping of a media fill has been found to be useful in identifying personnel practices that could negatively impact on the aseptic process.

Any contaminated unit should be considered as objectionable and fully investigated. The microorganisms should be identified to species level. In the case of a media fill failure, a comprehensive investigation should be conducted, surveying all possible causes of the contamination. The impact on commercial drugs produced on the line since the last successful media fill should also be assessed.

Whenever contamination exists in a media fill batch, it should be considered as indicative of a potential production problem. The use of statistics has limitations for media fill evaluation in that the number of contaminated units should not be expected to increase in a directly proportional manner with the number of vials in the media fill run. Test results should show, with a high degree of confidence, that the units produced by an aseptic processing operation are sterile. Modern aseptic processing operations in suitably designed facilities have demonstrated a capability of meeting contamination levels approaching zero (Leahy and Sullivan, 1978) and should normally yield no media fill contamination. For example, a single contaminated unit in a 10,000-unit media fill batch should be fully investigated but is normally not considered on its own to be sufficient cause for line revalidation. However, intermittent incidents at this media fill contamination level can be indicative of a persistent low-level contamination problem. Accordingly, any pattern of media fill batches with such low-level contamination should be comprehensively investigated and would be cause for line revalidation.

The use of media fill acceptance criteria allowing infrequent contamination does not mean that a distributed lot of drug product purporting to be sterile may contain a nonsterile

unit. The purpose of an aseptic process is to prevent any contamination. A manufacturer is fully liable for the shipment of any nonsterile unit, an act that is prohibited under the FD&C Act. FDA also recognizes that there might be some scientific and technical limitations on how precisely and accurately validation can characterize a system of controls intended to exclude contamination.

As with any validation batch, it is important to note that “invalidation” of a media fill run should be a rare occurrence. A media fill lot should be aborted only under circumstances in which written procedures require commercial lots to be equally handled. Supporting documentation and justification should be provided in such cases.

2. Filtration Efficacy

Filtration is a common method of sterilizing drug product solutions. An appropriate sterilizing grade filter is one that reproducibly removes all microorganisms from the process stream, producing a sterile effluent. Such filters usually have a rated porosity of 0.2 μm or smaller. Whatever filter or combination of filters is used, validation should include microbiological challenges to simulate worst-case production conditions regarding the size of microorganisms in the material to be filtered and integrity test results of the filters used for the study. The microorganisms should be small enough to both challenge the nominal porosity of the filter and simulate the smallest microorganism that may occur in production. The microorganism *Brevundimonas diminuta* (ATCC 19146) when properly grown, harvested, and used can be satisfactory in this regard because it is one of the smallest bacteria (0.3 μm mean diameter). Bioburden of unsterilized bulk solutions should be determined in order to trend the characteristics of potentially contaminating organisms. In certain cases, when justified as equivalent or better than use of *B. diminuta*, it may be appropriate to conduct bacterial retention studies with a bioburden isolate. The number of microorganisms in the challenge is important because a filter can contain a number of pores larger than the nominal rating that have potential to allow passage of microorganisms (Pall et al., 1980). The probability of such passage is considered to increase as the number of organisms (bioburden) in the material to be filtered increases (Sterilizing Filtration of Liquids, 1998). A challenge concentration of at least 10^7 organisms/cm² effective filtration area of *B. diminuta* is generally used. Actual influent bioburden of a commercial lot should not include microorganisms of a size or concentration that would present a challenge beyond that considered by the validation study.

Direct inoculation into the drug formulation provides an assessment of the effect of drug product on the filter matrix and on the challenge organism. However, directly inoculating *B. diminuta* into products with inherent bactericidal activity or into oil-based formulations can lead to erroneous conclusions. When sufficiently justified, the effects of the product formulation on the membrane’s integrity can be assessed by an appropriate alternative method. For example, the drug product could be filtered in a manner in which the worst-case combination of process specifications and conditions is simulated. This step

could be followed by filtration of the challenge organism for a significant period of time, under the same conditions, using an appropriately modified product (e.g., lacking an antimicrobial preservative or other antimicrobial component) as the vehicle. Any divergence from a simulation using the actual product and conditions of processing should be justified. Factors that can affect filter performance normally include viscosity of the material to be filtered, pH, compatibility of the material or formulation components with the filter itself, pressures, flow rates, maximum use time, temperature, osmolality, and the effects of hydraulic shock.

When designing the validation protocol, it is important to address the effect of the extremes of processing factors on the filter capability to produce sterile effluent. Filter validation should be conducted by using the worst-case conditions, such as maximum filter use time and pressure (Pall et al., 1980; Parenteral Drug Association, 1998; Commentary on the Sterility Tests and Sterilization Chapters of the U.S. Pharmacopoeia, 1980). Filter validation experiments, including microbial challenges, need not be conducted in the actual manufacturing areas. However, it is essential that laboratory experiments simulate actual production conditions. The specific type of filter used in commercial production should be evaluated in filter validation studies. When the more complex filter validation tests go beyond the capabilities of the filter user, tests are often conducted by outside laboratories or by filter manufacturers. However, it is the responsibility of the filter user to review the validation data on the efficacy of the filter in producing a sterile effluent. The data should be applicable to the user’s products and conditions of use because filter performance may differ significantly for various conditions and products.

After a filtration process is properly validated for a given product, process, and filter, it is important to ensure that identical filter replacements (membrane or cartridge) used in production runs perform in the same manner. Sterilizing filters should be routinely discarded after processing a single batch. Normally, integrity testing of the filter is performed after the filter unit is assembled and sterilized prior to use. It is important that the integrity testing be conducted after filtration in order to detect any filter leaks or perforations that might have occurred during the filtration. Forward flow and bubble point tests, when appropriately employed, are two acceptable integrity tests. A production filter’s integrity test specification should be consistent with data generated during filtration efficacy studies.

3. Sterilization of Equipment and Containers/Closures

To maintain sterility, equipment surfaces that contact sterilized drug product or sterilized container/closure surfaces must be sterile so as not to alter purity of the drug (Sections 211.63 and 211.113). Surfaces in the vicinity of the sterile product or not directly in contact with the product should also be rendered sterile where reasonable contamination potential exists. It is as important in aseptic processing to properly validate the processes used to sterilize such critical equipment as it is to validate processes used to sterilize the drug product and its container/closure. Moist-heat and dry-heat sterilization are most widely used as the primary processes discussed in this

document. It should be noted that many of the heat-sterilization principles discussed in this document are also applicable to other sterilization methods.

Sterility of aseptic processing equipment (e.g., stopper hoppers) should be maintained by batch-by-batch sterilization. Following sterilization of equipment, containers, or closures, any transportation or assembly needs to be performed in a manner in which its sterile state is protected and sustained, with adherence to strict aseptic methods.

a. *Sterilizer Qualification and Validation*

Validation studies should be conducted demonstrating the efficacy of the sterilization cycle. Requalification studies should also be performed on a periodic basis. For both the validation studies and routine production, use of a specified load configuration should be documented in the batch records.

Unevacuated air's insulating properties prevent moist heat from penetrating or heating up materials and achieving the lethality associated with saturated steam. Consequently, there is a far slower thermal energy transfer and rate of kill from the dry heat in insulated locations in the load. It is important to remove all of the air from the autoclave chamber during the sterilization cycle. Special attention should be given to the nature or type of the materials to be sterilized and the placement of BI within the sterilization load. *D*-value of the BI can vary widely depending on the material (e.g., glass vs. Teflon) to be sterilized. Difficult-to-reach locations within the sterilizer load and specific materials should be an important part of the evaluation of sterilization cycle efficacy. Thereafter, requalification or revalidation should continue to focus on load areas identified as the most difficult to penetrate or heat [e.g., worst-case locations of tightly wrapped or densely packed supplies (Clinical sepsis and death in a newborn nursery associated with contaminated medications, 1998), securely fastened load articles, lengthy tubing, the sterile filter apparatus, hydrophobic filters, stopper load]. The formal program providing for regular (i.e., semiannual, annual) revalidation should consider the age of the sterilizer and its past performance. Change control procedures should adequately address issues such as a load configuration change or a modification of the sterilizer.

i. Qualification: Empty Chamber Temperature distribution studies evaluate numerous locations throughout an empty sterilizing unit (e.g., steam autoclave, dry-heat oven) or equipment train (e.g., large tanks, immobile piping). It is important that these studies assess temperature uniformity at various locations throughout the sterilizer to identify potential "cold spots" where there can be insufficient heat to attain sterility. These heat uniformity or "temperature mapping" studies should be conducted by placing calibrated temperature measurement devices in numerous locations throughout the chamber.

ii. Validation: Loaded Chamber Heat penetration studies should be performed using the established sterilizer load(s). Validation of the sterilization process with a loaded chamber demonstrates the effects of loading on thermal input to the

items being sterilized and may identify cold spots where there is insufficient heat to attain sterility. The placement of BIs at numerous positions in the load, including the most difficult-to-sterilize places, is a direct means of demonstrating the efficacy of any sterilization procedure.

In general, the thermocouple is placed adjacent to the BI so as to assess the correlation between microbial lethality and thermal input. Sterilization can be validated by a partial or half-cycle approach. In some cases, the bioburden-based cycle is used for sterilization validation. For further information on validation by moist-heat sterilization, refer to FDA guidance "Guideline for the Submission of Documentation for Sterilization Process Validation in Applications for Human and Veterinary Drug Products" (November 1994).

Sterilization cycle specifications are based on the delivery of adequate thermal input to the slowest-to-heat locations. When determining which articles are most difficult to sterilize, special attention should be given to the sterilization of filters. For example, some filter installations in piping cause a significant pressure differential across the filter, resulting in a significant temperature drop on the downstream side. BIs should be placed at appropriate downstream locations of this equipment to determine whether the drop in temperature affects the thermal input at these sites. Established load configuration should be part of batch record documentation. A sterility assurance level of 10^6 or better should be demonstrated for the sterilization process.

b. *Equipment Controls and Instrument*

Calibration. For both validation and routine process control, the reliability of the data generated by sterilization cycle monitoring devices should be considered to be of utmost importance. Devices that measure cycle parameters should be routinely calibrated. Written procedures should be established to ensure that these devices are maintained in a calibrated state. Temperature monitoring devices for heat sterilization should be calibrated at suitable intervals, as well as before and after validation runs. Devices used to monitor dwell time in the sterilizer should be periodically calibrated. The microbial count and *D*-value of a BI should be confirmed before a validation study. Instruments used to determine the purity of steam should be calibrated. For dry-heat depyrogenation tunnels, devices (e.g., sensors and transmitters) used to measure belt speed should be routinely calibrated.

Sterilizing equipment should be properly maintained to allow for consistently satisfactory function. Evaluation of sterilizer performance attributes such as equilibrium ("come up") time studies should be helpful to assess whether the unit continues to operate properly.

H. LABORATORY CONTROLS

Section 211.160, "General Requirements," states: "Laboratory controls shall include the establishment of scientifically sound and appropriate specifications, standards, sampling plans, and test procedures designed to assure that components, drug product containers, closures, in-process materials,

labeling, and drug products conform to appropriate standards of identity, strength, quality, and purity.” Sections 211.165 and 211.194 require that validation of test methods be established and documented. Section 211.22(c) states that “the quality control unit shall have the responsibility for approving or rejecting all procedures and specifications impacting on the identity, strength, quality, and purity of the drug product.” Section 211.42 requires, for aseptic processes, the establishment of a “system for monitoring environmental conditions.” Section 211.56 requires “written procedures assigning responsibility for sanitation and describing in sufficient detail the cleaning schedules, methods, equipment, and materials to be used in cleaning the buildings and facilities.” The “written procedures shall be designed to prevent the contamination of equipment, components, drug product containers, closures, packaging, labeling materials, or drug products and shall be followed.” Section 211.113(b) requires that “appropriate written procedures, designed to prevent microbiological contamination of drug products purporting to be sterile, shall be established and followed.” Section 211.192 states that “all drug product production and control records, including those for packaging and labeling, shall be reviewed and approved by the quality control unit to determine compliance with all established, approved, written procedures before a batch is released or distributed.”

1. Environmental Monitoring

a. General Written Program

In aseptic processing, one of the most important laboratory controls is the establishment of an environmental monitoring program. This monitoring provides meaningful information on the quality of the aseptic processing environment when a given batch is being manufactured as well as environmental trends of the manufacturing area. An adequate program identifies potential routes of contamination, allowing for implementation of corrections before product contamination occurs (Sections 211.42 and 211.113).

Evaluating the quality of air and surfaces in the clean-room environment should start with a well-defined written program and validated methods. The monitoring program should cover all production shifts and include air, floors, walls, and equipment surfaces, including the critical surfaces in contact with product and container/closures. Written procedures should include a list of locations to be sampled. Sample timing, frequency, and location should be carefully selected based on their relationship to the operation performed. Samples should be taken throughout the aseptic processing facility (e.g., aseptic corridors, gowning rooms) by appropriate, scientifically sound sampling procedures, standards, and test limits.

Locations posing the most microbiological risk to the product are a critical part of the program. It is especially important to monitor the microbiological quality of the aseptic processing clean zone to determine whether aseptic conditions are maintained during filling/closing activities. Critical surfaces which contact sterile product should be sterile. Critical surface sampling should be performed at the conclusion of the

aseptic processing operation to avoid direct contact with sterile surfaces during processing. Air and surface samples should be taken at the actual working site and at locations where significant activity or product exposure occurs during production.

Environmental monitoring methods do not always recover microorganisms present in the sampled area. In particular, low-level contamination can be particularly difficult to detect. Because of the likelihood of false negatives, consecutive growth results are only one type of adverse trend. Increased incidence of contamination over a given period in comparison to that normally detected is an equally significant trend to be tracked.

All environmental monitoring locations should be described in SOPs with sufficient detail to allow for reproducible sampling of a given location surveyed. Written SOPs should also address areas such as frequency of sampling, when the samples are taken (i.e., during or at the conclusion of operations), duration of sampling, sample size (e.g., surface area, air volume), specific sampling equipment and techniques, alert and action limits, and appropriate response to deviations from alert or action limits.

b. Establishing Limits and a Trending Program

Microbiological monitoring limits should be established based on the relationship of the sampled location to the operation. The limits should be based on the need to maintain adequate microbiological control throughout the entire sterile manufacturing facility. One should also consider environmental monitoring data from historical databases, media fills, clean-room qualification, and sanitization procedure studies in developing monitoring limits. Microbiological environmental monitoring should include both alert and action limits. Each individual sample result should be evaluated for its significance by comparing to the alert or action limits. Averaging of results can mask unacceptable localized conditions. A result at the alert limit urges attention to the approaching action conditions. A result at the action level should prompt a more thorough investigation. Written procedures should be established, detailing data review frequency, identification of contaminants, and actions to be taken. The quality control unit should provide routine oversight of near-term (e.g., daily, weekly, monthly, or quarterly) and long-term trends in environmental and personnel monitoring data. Trend reports should include data generated by location, shift, lot, room, operator, or other search parameters. The quality control unit is responsible for producing specialized data reports (e.g., a search on a particular atypical isolate over a year period) in order to investigate results beyond established limits and identify any appropriate follow-up actions. In addition to microbial counts beyond alert and action limits, the presence of any atypical microorganisms in the clean-room environment should be investigated, with any appropriate corrective action promptly implemented. Written procedures should define the system whereby the most responsible managers are regularly informed and updated on trends and investigations.

c. Sanitization Efficacy

The suitability, efficacy, and limitations of sanitization agents should be assessed with their implementation for use in clean areas. The effectiveness of these sanitization procedures should be measured by their ability to ensure that potential contaminants are adequately removed from surfaces (i.e., via obtaining samples before and after sanitization). On preparation, disinfectants should be rendered sterile and used for a limited time, as specified by written procedures. Disinfectants should retain efficacy against the normal microbial flora and be effective against spore-forming microorganisms. Many common sanitizers are ineffective against spores; for example, 70% isopropyl alcohol is not effective against spores of *Bacillus* species. A sporicidal agent should be used regularly to prevent contamination of the manufacturing environment with otherwise difficult to eradicate spore-forming bacteria or fungi. After the initial assessment of sanitization procedures, ongoing sanitization efficacy should be frequently monitored through specific provisions in the environmental monitoring program, with a defined course of action in the event samples are found to exceed limits.

d. Monitoring Methods

The following are some acceptable methods of monitoring the microbiological quality of the environment.

i. Surface Monitoring Environmental monitoring should include testing of various surfaces for microbiological quality. For example, product-contact surfaces, floors, walls, ceilings, and equipment should be tested on a regular basis. Routinely used for such tests are touch plates, swabs, and contact plates. Other surfaces in controlled areas should be tested to show the adequacy of cleaning and sanitizing procedures.

ii. Active Air Monitoring The method of assessing the microbial quality of air should involve the use of active devices such as slit to agar samplers, those using liquid impingement and membrane filtration, or centrifugal samplers. Each device has certain advantages and disadvantages, although all allow a quantitative testing of the number of organisms per volume of air sampled. The use of such devices in aseptic areas is considered an essential part of evaluating the environment during each production shift at carefully chosen critical locations. Manufacturers should be aware of a device's air-monitoring capabilities and should determine suitability of any new or current devices with respect to sensitivity and limit of quantification.

iii. Passive Air Monitoring (Settling Plates) Another method is the use of passive air samplers such as settling plates (petri dishes containing nutrient growth medium exposed to the environment). These settling plates lack value as quantitative air monitors because only microorganisms that settle onto the agar surface will be detected. Their value as qualitative indicators in critical areas is enhanced by positioning plates in locations that pose the greatest risk of product contamination. As part of methods validation, the quality control

laboratory should evaluate what media exposure conditions optimize recovery of low levels of environmental isolates. Exposure conditions should preclude desiccation (e.g., caused by lengthy sampling periods or high airflows), which inhibits recovery of microorganisms. The data generated by passive air sampling can be useful when considered in combination with results from other types of air samples.

2. Microbiological Media and Identification

The environmental monitoring program should include routine characterization of recovered microorganisms. Monitoring of critical and immediately surrounding areas as well as personnel should include routine identification of microorganisms to the species (or, where appropriate, genus) level. In some cases, environmental trending data have revealed migration of microorganisms into the aseptic processing room from either uncontrolled or lesser-controlled areas. To detect such trends, an adequate program of differentiating microorganisms in lesser-controlled environments (e.g., Class 100,000) should be in place. At minimum, the program should require species (or, where appropriate, genus) identification of microorganisms in ancillary environments at frequent intervals to establish a valid, current database of contaminants present in the facility during processing (and to demonstrate that cleaning and sanitization procedures continue to be effective). Environmental isolates often correlate with the contaminants found in a media fill or product sterility testing failure, and the overall environmental picture provides valuable information for the associated investigation.

The goal of microbiological monitoring is to reproducibly detect microorganisms for purposes of monitoring the state of environmental control. Consistent methods will yield a database that allows for sound data comparisons and interpretations. The microbiological culture media used in environmental monitoring should be validated as capable of detecting fungi (i.e., yeasts and molds) as well as bacteria and incubated at appropriate conditions of time and temperature. Total aerobic bacterial count can be obtained by incubating at 30 to 35°C for 48 to 72 hours. Total combined yeast and mold count is generally obtained by incubating at 20 to 25°C for 5 to 7 days.

Incoming lots of environmental monitoring media should include positive and negative controls. Growth promotion testing should be performed on all lots of prepared media. Where appropriate, inactivating agents should be used to prevent inhibition of growth by clean-room disinfectants.

a. Prefiltration Bioburden

For any parenteral manufacturing process, prefiltration bioburden should be minimal. In addition to increasing the challenge to the sterilizing filter, high bioburden can contribute endotoxin or other impurities to the drug formulation. An in-process limit for bioburden level for each formulated product (generally sampled immediately preceding sterile filtration) should be established.

b. Particulate Monitoring

Routine particle monitoring is useful in detecting significant deviations in air cleanliness from qualified processing norms

(e.g., clean-area classification). A result outside the established specifications at a given location should be investigated consistent with the severity of the “excursion.” Appropriate corrective action should be implemented to prevent future deviations.

I. STERILITY TESTING

Section 211.167, “Special Testing Requirements,” states: “For each batch of drug product purporting to be sterile and/or pyrogen-free, there shall be appropriate laboratory testing to determine conformance to such requirements. The test procedures shall be in writing and shall be followed.” Section 211.165 states that “for each batch of drug product, there shall be appropriate laboratory determination of satisfactory conformance to final specifications for the drug product ... prior to release.” Section 211.165(e) requires methods for testing to be validated as reliable and reproducible (e.g., bacteriostasis/fungistasis, method robustness, etc.), stating: “The accuracy, sensitivity, specificity, and reproducibility of test methods employed by the firm shall be established and documented. Such validation and documentation may be accomplished in accordance with section 211.194(a)(2).” Section 211.110 requires, in part, that sampling procedures be established in order to ensure batch uniformity. The “control procedures shall be established to monitor the output and to validate the performance of those manufacturing processes that may be responsible for causing variability in the characteristics of in-process material and the drug product.” Section 211.160 requires the establishment of sound and appropriate sampling plans representative of the batch.

Section 210 defines “representative sample” as one based on rational criteria that provide an “accurate portrayal” of the material or batch being sampled. Section 211.180 requires a review of “at least annually, the quality standards of each drug product to determine the need for changes in drug product specifications or manufacturing or control procedures.” Investigations conducted under Section 211.192 for each drug product are required to be addressed within this annual review.

Certain aspects of sterility testing are of particular importance, including controlling the testing environment, understanding the test limitations, and the investigating manufacturing systems following a positive test. The testing laboratory environment should employ facilities and controls comparable to those used for filling or closing operations. Poor or deficient sterility test facilities or controls can result in a high rate of test failures. If production facilities and controls are significantly better than those for sterility testing, there is the danger of attributing the cause of a positive sterility test result to the faulty laboratory even when the product tested could have, in fact, been nonsterile. Therefore, some manufacturing deficiency may go undetected. The use of isolators to perform sterility testing is a well-established means to minimize false positives.

1. Choice of Methods

Sterility testing methodologies are required to be accurate and reproducible, in accord with Sections 211.194 and 211.165.

The methodology selected should present the lowest potential for yielding a false positive. The USP specifies membrane filtration as the method of choice, when feasible. As a part of methods validation, appropriate bacteriostasis or fungistasis testing should be conducted. Such testing should demonstrate reproducibility of the method in recovering each of a panel of representative microorganisms. Study documentation should include evaluation of whether microbial recovery from inoculated controls and product samples is comparable throughout the incubation period. If growth is inhibited, modifications (e.g., increased dilution, additional membrane filter washes, addition of inactivating agents) in the methodology should be implemented to optimize recovery. Ultimately, methods validation studies should demonstrate that the methodology does not provide an opportunity for false negatives.

2. Media

It is essential that the media used to perform sterility testing be rendered sterile and demonstrated as growth promoting.

3. Personnel

Personnel performing sterility testing should be qualified and trained for the task. A written program should be in place to regularly update training of personnel and confirm acceptable sterility testing practices.

4. Sampling and Incubation

Sterility tests are limited in their ability to detect low levels of contamination. For example, statistical evaluations indicate that the USP sterility test sampling plan has been described by USP as “only enabling the detection of contamination in a lot in which 10% of the units are contaminated about nine times out of ten in making the test” (Price, 1998). To further illustrate, if a 10,000-unit lot with a 0.1% contamination level is sterility tested using 20 units, there is a 98% chance that the batch will pass the test. This limited sensitivity makes it necessary to ensure that for batch release purposes, an appropriate number of units are tested and that the samples uniformly represent the following:

- *Entire batch.* Samples should be taken at the beginning, middle, and end of the aseptic processing operation.
- *Batch processing circumstances.* Samples should be taken in conjunction with processing interventions or excursions. Because of the limited sensitivity of the test, any positive result is considered a serious cGMP issue and should be thoroughly investigated.

5. Investigation of Sterility Positives

Care should be taken in the performance of the sterility test to preclude any activity that allows for possible sample contamination. When microbial growth is observed, the lot should be considered to be nonsterile. It is inappropriate to attribute a positive result to laboratory error on the basis of a retest that exhibits no growth. [Underscoring this regulatory standard, USP XXV, Section <71>, states that an initial positive test is

invalid only in an instance in which “microbial growth can be without a doubt ascribed to” laboratory error (as described in the monograph).]

The evaluation of a positive sterility test result should include an investigation to determine whether the growth observed in the test arose from product contamination or from laboratory error. Although it is recognized that such a determination may not be reached with absolute certainty, it is usually possible to acquire persuasive evidence showing that causative laboratory error is absent. When available evidence is inconclusive, batches should be rejected as not conforming to sterility requirements.

It would be difficult to support invalidation of a positive sterility test. Only if conclusive and documented evidence clearly shows that the contamination occurred as part of testing should a new test be performed.

After considering all relevant factors concerning the manufacture of the product and testing of the samples, the comprehensive written investigation should include specific conclusions and identify corrective actions. The investigation’s persuasive evidence of the origin of the contamination should be based on at least the following factors.

a. Identification (Speciation) of the Organism in the Sterility Test

Identification of the sterility test isolate(s) should be to the species level. Microbiological monitoring data should be reviewed to determine whether the organism is also found in laboratory and production environments, personnel, or product bioburden.

b. Record of Laboratory Tests and Deviations

Review of trends in laboratory findings can help to eliminate or implicate the laboratory as the source of contamination. If the organism is seldom found in the laboratory environment, then product contamination is likely. If the organism is found in laboratory and production environments, it can indicate product contamination. Proper handling of deviations is an essential aspect of laboratory control. When a deviation occurs during sterility testing, it should be documented, investigated, and remedied. If any deviation is considered to have compromised the integrity of the sterility test, the test should be invalidated immediately without incubation.

Deviation and sterility test positive trends should be evaluated periodically (e.g., quarterly, annually) to provide an overview of operations. A sterility positive result can be viewed as indicative of production or laboratory problems and should be investigated globally because such problems often can extend beyond a single batch.

To more accurately monitor potential contamination sources, it is useful to keep separate trends by product, container type, filling line, and personnel. If the degree of sterility test sample manipulation is similar for a terminally sterilized product and an aseptically processed product, a higher rate of initial sterility failures for the latter should be taken as indicative of aseptic processing production problems.

Microbial monitoring of the laboratory environment and personnel over time can also reveal trends that are informative. Upward trends in the microbial load in the laboratory should be promptly investigated as to cause, and corrected. In some instances, such trends can appear to be more indicative of laboratory error as a possible source of a sterility test failure.

A good error record can help eliminate a laboratory as a source of contamination because chances are higher that the contamination arose from production. However, the converse is not true. Specifically, if the laboratory has a poor track record, it should not be automatically assumed that the contamination is more attributable to an error in the laboratory, consequently leading to a genuine production problem being overlooked. Accordingly, all sterility positives should be thoroughly investigated.

c. Monitoring of Production Area Environment

Of particular importance is trend analysis of microorganisms in the critical and immediately adjacent area. Trends are an important tool in investigating the product as the possible source of a sterility failure. Consideration of environmental microbial loads should not be limited to results of monitoring the production environment for the lot, day, or shift associated with the suspect lot. For example, results showing little or no recovery of microorganisms can be misleading, especially when preceded or followed by a finding of an adverse trend or atypically high microbial counts. It is therefore important to look at both short- and long-term trend analysis.

d. Monitoring of Personnel

Daily personnel monitoring data and associated trends should be reviewed and can in some cases strongly indicate a route of contamination. The adequacy of personnel practices and training should also be considered.

e. Product Pre-Sterilization Bioburden

Trends in product bioburden should be reviewed (counts and identity). Adverse bioburden trends occurring during the time period of the test failure should be considered in the investigation.

f. Production Record Review

Complete batch and production control records should be reviewed to detect any signs of failures or anomalies that could have a bearing on product sterility. For example, the investigation should evaluate batch and trending data that indicate whether utility or support systems (e.g., HVAC, WFI) are functioning properly. Records of air quality monitoring for filling lines should show a time at which there was improper air balance, an unusual high particulate count, etc.

g. Manufacturing History

The manufacturing history of the product or similar products should be reviewed as part of the investigation. Past deviations, problems, or changes (e.g., process, components, equipment) are among the factors that can provide an indication of the origin of the problem.

J. BATCH RECORD REVIEW: PROCESS CONTROL DOCUMENTATION

Sections 211.100, 211.186, and 211.188 address documentation of production and control of a batch, including recording various production and process control activities at the time of performance. Section 211.100(b) requires a documented record and evaluation of any deviation from written procedures. Section 211.192 states

All drug product production and control records, including those for packaging and labeling, shall be reviewed and approved by the quality control unit to determine compliance with all established, approved written procedures before a batch is released or distributed. Any unexplained discrepancy (including a percentage of theoretical yield exceeding the maximum or minimum percentages established in master production and control records) or the failure of a batch or any of its components to meet any of its specifications shall be thoroughly investigated, whether or not the batch has already been distributed. The investigation shall extend to other batches of the same drug product and other drug products that may have been associated with the specific failure or discrepancy. A written record of the investigation shall be made and shall include the conclusions and follow-up.

Maintaining process and environmental control is a daily necessity for an aseptic processing operation. The requirement for review of all batch records and data for conformance with written procedures, operating parameters, and product specifications prior to arriving at the final release decision for an aseptically processed batch calls for an overall review of process and system performance for that given cycle of manufacture. All in-process data must be included with the batch record documentation per Section 211.188. Review of environmental monitoring data as well as other data relating to the acceptability of output from support systems (e.g., HEPA/HVAC, WFI, steam generator) and proper functioning of equipment (e.g., batch alarms report, integrity of various filters), should be viewed as essential elements of the batch release decision.

While interventions or stoppages are normally recorded in the batch record, the manner of documenting these occurrences varies. In particular, line stoppages and any unplanned interventions should be sufficiently documented in batch records with the associated time and duration of the event. In general, there is a correlation between product (or container/closure) dwell time in the aseptic processing zone and the probability of contamination. Sterility failures can be attributed to atypical or extensive interventions that have occurred as a response to an undesirable event during the aseptic process. Written procedures describing the need for line clearances in the event of certain interventions, such as machine adjustments and any repairs, should be established. Such interventions should be documented with more detail than minor events. Interventions that result in substantial activity near exposed product or container/closures or that last beyond a reasonable exposure time should, where appropriate, result

in a local or full line clearance. Any disruption in power supply, however momentary, during aseptic processing is a manufacturing deviation and must be included in batch records (Sections 211.100 and 211.192).

IV. PROCESSING PRIOR TO FILLING AND SEALING OPERATIONS

The following aseptic processing activities that take place prior to the filling and sealing of the finished drug product require special consideration.

A. ASEPTIC PROCESSING FROM EARLY MANUFACTURING STEPS

Because of their nature, some products undergo aseptic processing at some or all manufacturing steps preceding the final product closing step. There is a point in the process after which a product can no longer be rendered sterile by filtration, and the product is handled aseptically in all subsequent steps. Some products are formulated aseptically because the formulated product cannot be sterilized by filtration. For example, products containing aluminum adjuvant are formulated aseptically because once they are alum-adsorbed, they cannot be sterile filtered. When a product is processed aseptically from early steps, the product and all components or other additions are rendered sterile prior to entering the manufacturing process. It is critical that all transfers, transports, and storage stages be carefully controlled at each step of the process to maintain sterility of the product.

Procedures that expose the product or product-contact equipment surfaces to the environment, such as aseptic connections, should be performed under unidirectional airflow in a Class 100 environment. The environment of the room surrounding the Class 100 environment should be Class 10,000 or better. Microbiological and particulate monitoring should be performed during operations. Microbial surface monitoring should be performed at the end of operations but prior to cleaning. Personnel monitoring should be performed in association with operations.

Process simulation studies should be designed to incorporate all conditions, product manipulations, and interventions that could impact on the sterility of the product during manufacturing. The process simulation, from early process steps, should demonstrate that controls over the process are adequate to protect the product during manufacturing. These studies should incorporate all product manipulations, additions, and procedures involving exposure of product-contact surfaces to the environment. The studies should include worst-case conditions such as maximum duration of open operations and maximum number of participating operators. However, process simulations do not need to mimic total manufacturing time if the manipulations that occur during manufacturing are adequately represented.

It is also important that process simulations incorporate storage of product or transport to other manufacturing areas.

For instance, there should be assurance of bulk vessel integrity for specified holding times. The transport of bulk tanks or other containers should be simulated as part of the media fill. Process simulation studies for the formulation stage should be performed at least twice per year.

B. ASEPTIC PROCESSING OF CELL-BASED THERAPY PRODUCTS (OR OF PRODUCTS INTENDED FOR USE AS CELL-BASED THERAPIES)

Cell-based therapy products represent a subset of the products for which aseptic manipulations are used throughout the process. Where possible, closed systems should be used during production of this type of products. Cell-based therapy products often have short processing times at each manufacturing stage, even for the final product. Often, it is appropriate for these products to be administered to patients before final product sterility testing results are available. In situations where results of final sterility testing are not available before the product is administered, additional controls and testing should be considered. For example, additional sterility tests can be performed at intermediate stages of manufacture, especially after the last manipulation of the product prior to administration. Other tests that may indicate microbial contamination, such as microscopic examination, Gram stains, and endotoxin testing, should be performed prior to product release.

V. ASEPTIC PROCESSING ISOLATORS

An emerging aseptic processing technology uses isolation systems to minimize the extent of personnel involvement and to separate the external clean-room environment from the aseptic processing line. A well-designed positive pressure barrier isolator, supported by adequate procedures for its maintenance, monitoring, and control, appears to offer an advantage over classical aseptic processing, including fewer opportunities for microbial contamination during processing. However, users should not adopt a false sense of security with these systems. Manufacturers should be also aware of the need to establish new procedures addressing issues unique to these systems.

A. MAINTENANCE

1. General

Isolator systems have a number of special maintenance requirements. Although no isolator unit forms an absolute seal, very high integrity can be achieved in a well-designed unit. However, a leak in any of certain components of the system can constitute a significant breach of integrity. The integrity of gloves, half-suits, seams, gaskets, and seals require daily attention and a comprehensive preventative maintenance program. Replacement frequencies should be established in written procedures that require changing parts before they break down or degrade.

2. Glove Integrity

A faulty glove or sleeve (gauntlet) assembly represents a route of contamination and a critical breach of isolator integrity. The choice of durable glove materials coupled with a well-justified replacement frequency are two aspects of good manufacturing practice that should be addressed. With every use, gloves should be visually evaluated for any macroscopic physical defect. Mechanical integrity tests should also be performed routinely. This attentive preventative maintenance program is necessary to prevent use of gloves lacking integrity that would place the sterile product at risk. When such a breach is discovered, the operation should be terminated. Because of the potential for microbial migration through microscopic holes in gloves and the lack of a highly sensitive glove integrity test, the inner part of the installed glove should be sanitized regularly, and the operator should also wear a second pair of thin gloves.

B. DESIGN

1. Airflow

The design of an aseptic processing isolator normally employs unidirectional airflow that sweeps over and away from exposed sterile materials, avoiding any turbulence or stagnant airflow in the area of exposed sterilized materials, product, and container/closures. In most sound designs, air showers over the critical zone once and is then systematically exhausted. Air-handling systems should employ HEPA or ULPA filters, or both, in series.

2. Materials of Construction

As in any aseptic processing design, suitable materials should be chosen based on durability as well as ease of cleaning and sterilization. For example, rigid wall construction incorporating stainless steel and glass materials is widely used.

3. Pressure Differential

Isolators that include an open exit portal represent a potential compromise in achieving complete physical separation from the external environment. A positive air pressure differential adequate to achieve this full separation should be employed and supported by qualification studies. Positive air pressure differentials from the isolator to the surrounding environment have largely ranged from ca. 0.07 to 0.2 in water gauge. The appropriate minimum pressure differential specification established will be dependent on the system's design and, when applicable, its exit port. Air balance between the isolator and other direct interfaces (e.g., dry-heat tunnel) should also be qualified. The positive pressure differential should be coupled with appropriate protection at the product egress point(s) in order to overcome the potential for ingress of any airborne particles from the external environment by induction. Induction can result from local turbulent flow causing air swirls or pressure waves that can push extraneous particles into the isolator. Local Class 100 protection at an opening can provide a further barrier to induction of outside air into the isolator.

4. Clean-Area Classifications

The interior of the isolator should, at minimum, meet Class 100 standards. The classification of the environment surrounding the isolator should be based on the design of the product interfaces, such as transfer ports and discharge points, as well as the number of transfers into and out of the isolator. A Class 10,000 or Class 100,000 background is appropriate, depending on isolator design and manufacturing situations. The area surrounding the isolator should be justified. An isolator should not be located in an unclassified room.

C. TRANSFER OF MATERIALS AND SUPPLIES

The ability to maintain integrity and sterility of an isolator is impacted by the design of transfer ports. Various adaptations of differing capabilities allow for the transfer of supplies into and out of the isolator.

1. Introduction

Multiple material transfers are generally made during the processing of a batch. Frequently, transfers are performed via direct interface with a decontaminating transfer isolator or dry-heat depyrogenation tunnel with balanced airflow. Such provisions, if well designed, help ensure that microbiological ingress does not result from the introduction of supplies. Properly operated rapid transfer ports (RTPs) are also generally considered to be an effective transfer mechanism. The number of transfers should be kept to a minimum because the risk of ingress of contaminants increases with each successive material transfer.

Some transfer ports can have significant limitations, including marginal decontaminating capability [e.g., ultraviolet (UV)] or a design that would compromise isolation by allowing ingress of air from the surrounding room. In the latter case, localized HEPA-filtered laminar airflow cover in the area of such a port should be implemented.

2. Discharge

Isolators often include a “mousehole” or other exit port through which product is discharged, opening the isolator to the outside environment. The mousehole represents a potential route of contamination. Sufficient overpressure should be supplied and monitored on a continuous basis at this location to ensure that isolation is maintained.

D. DECONTAMINATION

1. Surface Exposure

Written procedures for decontamination of the isolator should be established. The decontamination process should provide full exposure of all isolator surfaces to the chemical agent. For example, to facilitate contact with the sterilant, the glove apparatus should be fully extended with glove fingers separated during the decontamination cycle.

2. Efficacy

A decontamination method should be developed that renders the inner surfaces of the isolator free of viable microorganisms.

Decontamination can be accomplished by a number of vaporized agents, although these agents possess limited capability to penetrate obstructed or covered surfaces. Process development and validation studies should include a thorough determination of cycle capability. The characteristics of these agents generally preclude the use of reliable statistical methods (e.g., fraction negative) to determine process lethality. An appropriate, quantified BI challenge should be placed on various materials and in many locations throughout the isolator, including difficult-to-reach areas. Cycles should be developed with an appropriate margin of extra kill to provide confidence in the robustness of the decontamination processes. For most production applications, demonstration of a 6-log reduction of the challenge BI is recommended. The uniform distribution of the defined concentration of decontaminating agent should also be evaluated concurrently with these studies. Chemical indicators may also be useful as a qualitative tool to show that the decontaminating agent reached a given location.

3. Frequency

Although isolators vary widely in design, their interior and content should be designed to be frequently decontaminated. If an isolator is to be used for multiple days between decontamination cycles, the frequency adopted should include a built-in safety margin and be well justified. This frequency, established during validation studies, should be reevaluated and increased if production data indicate any deterioration of the microbiological quality of the isolator environment.

A breach of isolator integrity (e.g., power failure, glove or seam tear, other air leaks, valve failure, out-of-specification pressure) should lead to a decontamination cycle. Breaches of integrity should be investigated and any product that may have been impacted by the breach rejected.

E. FILLING LINE STERILIZATION

To ensure sterility of product-contact surfaces from the start of each operation, the entire path of the sterile liquid stream should be sterilized. In addition, loose materials or equipment to be used within the isolator should be chosen based on their ability to withstand steam sterilization (or equivalent method). It is expected that any materials that can be subjected to a steam sterilization cycle will, in fact, be autoclaved.

F. ENVIRONMENTAL MONITORING

An appropriate environmental monitoring program should be established that routinely ensures acceptable microbiological quality of air, surfaces, and gloves (or half-suits) as well as particulate levels within the isolator. Air quality should be monitored periodically during each shift. As an example, the exit port should be monitored for particulates to detect any unusual results.

G. PERSONNEL

Although clean-room apparel requirements are generally reduced, the contribution of the human factor to contamination

should not be overlooked. Isolation processes generally include periodic or even frequent use of one or more gloves for aseptic manipulations and handling of component transfers into and out of the isolator. Contaminated gloves can lead to product non-sterility. This concern is heightened because locations on gloves, sleeves, or half-suits can be among the more difficult-to-reach places during surface sterilization. Meticulous aseptic technique standards must be observed (Section 211.113).

VI. BLOW-FILL-SEAL TECHNOLOGY

Blow-fill-seal (BFS) technology is an automated process by which containers are formed, filled, and sealed in a continuous operation. This manufacturing technology includes economies in container/closure processing and reduced human intervention and is often used for filling and packaging of ophthalmics and less frequently for injectables. This section discusses some of the critical control points of this technology. Except where otherwise noted later, the aseptic processing standards discussed elsewhere in this document should be applied to the BFS technology.

A. EQUIPMENT DESIGN AND AIR QUALITY

A BFS machine operates by (1) heating a plastic polymer resin, (2) extruding it to form a parison (a tubular form of the hot resin), (3) cutting the parison with a high temperature knife, (4) moving the parison under the blow-fill needle (mandrel), (5) inflating it to the shape of the mold walls, (6) filling the formed container with the liquid product, (7) removing the mandrel, and (8) sealing. Throughout this operation sterile air is used, for example, to form the parison and inflate it prior to filling. In most operations, the three steps that pose greatest potential for exposure to particle contamination or surrounding air are those in which the parison is cut, the parison is moved under the blow-fill mandrel, and the mandrel is removed (just prior to sealing).

BFS machinery and its surrounding barriers should be designed to prevent potential for extraneous contamination. As with any aseptic processing operation, it is critical that contact surfaces be sterile. A validated steam-in-place cycle should be used to sterilize the equipment path through which the product is conveyed. In addition, any other surface (e.g., above or nearby) that has potential to contaminate the sterile product needs to be sterile.

The classified environment surrounding BFS machinery should generally meet Class 10,000 standards, but special design provisions (e.g., isolation technology) can justify an alternative classification. HEPA-filtered or sterile air provided by membrane filters is necessary in the critical zone in which sterile product or materials are exposed (e.g., parison formation, container molding or filling steps). Air in the critical zone should meet Class 100 microbiological standards. A well-designed BFS system should also normally achieve Class 100 particulate levels. Equipment design should incorporate specialized measures to reduce particulate levels. In contrast to

nonpharmaceutical applications that use BFS machinery, control of air quality (i.e., particulates) is critical for sterile drug product manufacture. Particles generated during the plastic extrusion, cutting, and sealing processes provide a potential means of transport for microorganisms into open containers prior to sealing. Provisions for carefully controlled airflow can protect the product by forcing generated particles outward while preventing any ingress from the adjacent environment. Furthermore, designs separating the filling zone from the surrounding environment are important in ensuring product protection. Barriers, pressure vacuums, microenvironments, and appropriately directed high velocities of sterile air have been found useful in preventing contamination (United States Pharmacopoeia). Smoke studies and multilocation particulate data are vital when performing qualification studies to assess whether proper particulate control dynamics have been achieved throughout the critical area.

In addition to suitable design, an adequate preventative maintenance program should be established. For example, because of its potential to contaminate the sterile drug product, the integrity of the boiling system (e.g., mold plates, gaskets) should be carefully monitored and maintained.

B. VALIDATION AND QUALIFICATION

Advantages of BFS processing are known to include rapid container/closure processing and minimized interventions. However, a properly functioning process is necessary to realize these advantages. Equipment qualification or requalification and personnel practices should be given special attention. Equipment sterilization, media fills, polymer sterilization, endotoxin removal, product-plastic compatibility, forming and sealing integrity, and unit weight variation are among the key issues that should be covered by validation and qualification studies.

Appropriate data should ensure that BFS containers are sterile and nonpyrogenic. This can generally be achieved by validating that time-temperature conditions of the extrusion process destroy the worst-case endotoxin load on the polymeric material.

The plastic polymer material chosen should be pharmaceutical grade, safe, pure, and pass USP criteria for plastics. Polymer suppliers should be qualified and monitored for raw material quality.

C. BATCH MONITORING AND CONTROL

In-process monitoring should include various control parameters (e.g., container weight variation, fill weight, leakers, or air pressure) to ensure ongoing process control. Environmental monitoring is particularly important. Samples should be taken during each shift at specified locations under dynamic conditions. Because of the generation of high levels of particles near the exposed drug product, continuous monitoring of particles can provide valuable data relative to the control of a BFS operation. Container/closure defects can be a major problem in control of a BFS operation. It is necessary for the

operation to be designed and set up to uniformly manufacture leakproof units. As a final measure, inspection of each unit of a batch should employ a reliable, sensitive final product examination capable of detecting a defective unit (e.g., leakers). Significant defects due to heat or mechanical problems, such as mold thickness, container/closure interface deficiencies, poorly formed closure, or other deviations should be investigated in accord with Sections 211.100 and 211.192.

VII. LYOPHILIZATION OF PARENTERALS

A. INTRODUCTION

Lyophilization or freeze-drying is a process in which water is removed from a product after it is frozen and placed under a vacuum, allowing the ice to change directly from solid to vapor without passing through the liquid phase. The process consists of three separate, unique, and interdependent processes: freezing, primary drying (sublimation), and secondary drying (desorption). The advantages of lyophilization include the following:

- Ease of processing a liquid, which simplifies aseptic handling
- Enhanced stability of a dry powder
- Removal of water without excessive heating of the product
- Enhanced product stability in a dry state
- Rapid and easy dissolution of reconstituted product

Disadvantages of lyophilization include the following:

- Increased handling and processing time
- Need for sterile diluent on reconstitution
- Cost and complexity of equipment

The lyophilization process generally includes the following steps:

- Dissolving the drug and excipients in a suitable solvent, generally WFI
- Sterilizing the bulk solution by passing it through a 0.22- μ m bacteria-retentive filter
- Filling into individual sterile containers and partially stoppering the containers under aseptic conditions
- Transporting the partially stoppered containers to the lyophilizer and loading into the chamber under aseptic conditions
- Freezing the solution by placing the partially stoppered containers on cooled shelves in a freeze-drying chamber or pre-freezing in another chamber
- Applying a vacuum to the chamber and heating the shelves in order to evaporate the water from the frozen state
- Complete stoppering of the vials usually by hydraulic or screw rod stoppering mechanisms installed in the lyophilizers

Many new parenteral products, including anti-infectives, biotechnology-derived products, and in vitro diagnostics, are manufactured as lyophilized products. Numerous potency, sterility, and stability problems are associated with the manufacture and control of lyophilized products. It is recognized that there is complex technology associated with the manufacture and control of a lyophilized pharmaceutical dosage form. Some of the important aspects of these operations include the formulation of solutions, filling of vials, and validation of the filling operation, sterilization and engineering aspects of the lyophilizer, scale-up and validation of the lyophilization cycle, and testing of the end product. This discussion will address some of the problems associated with the manufacture and control of a lyophilized dosage form.

B. PRODUCT TYPE AND FORMULATION

Products are manufactured in the lyophilized form due to their instability when in solution. Many antibiotics, such as some of the semisynthetic penicillins, cephalosporins, and some of the salts of erythromycin, doxycycline, and chloramphenicol, are made by the lyophilization process. Because they are antibiotics, low bioburden of these formulations would be expected at the time of batching. However, some of the other dosage forms that are lyophilized, such as hydrocortisone sodium succinate, methylprednisolone sodium succinate, and many of the biotechnology-derived products, have no antibacterial effect when in solution.

For these types of products, bioburden should be minimal; the bioburden should be determined prior to sterilization of these bulk solutions prior to filling. Obviously, the batching or compounding of these bulk solutions should be controlled to prevent any increase in microbiological levels that may occur up to the time the bulk solutions are filtered (sterilized). The concern with any microbiological level is the possible increase in endotoxins. Good practice for the compounding of lyophilized products would also include batching in a controlled environment and in sealed tanks, particularly if the solution is to be held for any length of time prior to sterilization.

In some cases, manufacturers have performed bioburden testing on bulk solutions after prefiltration and prior to final filtration. Although the testing of such solutions may be meaningful in determining the bioburden for sterilization, it does not provide any information regarding the potential formation or presence of endotoxins. The testing of 0.1-mL samples by LAL methods of bulk solution for endotoxins is of value, but testing of at least 100-mL size samples prior to prefiltration, particularly for the presence of Gram-negative organisms, would be of greater value in evaluating the process. For example, the presence of *Pseudomonas* species in the bioburden of a bulk solution has been identified as an objectionable condition.

C. FILLING

The filling of vials that are to be lyophilized has some problems that are somewhat unusual. The stopper is placed on top

of the vial and is ultimately seated in the lyophilizer. As a result, the contents of the vial are subject to contamination until they are actually sealed. Validation of filling operations should include media fills and the sampling of critical surfaces and air during active filling (dynamic conditions).

Because of the active involvement of people in filling and aseptic manipulations, an environmental program should also include an evaluation of microbiological levels on people working in aseptic processing areas. One method of evaluating the training of operators working in aseptic processing facilities is the surface monitoring of gloves and gowns on a daily basis. Manufacturers are actively sampling the surfaces of personnel working in aseptic processing areas. A reference that provides for this type of monitoring is the USP discussion of the interpretation of sterility test results. It states under the heading of "Interpretation of Quality Control Tests" that review consideration should be paid to environmental control data, including microbial monitoring, records of operators, gowns, gloves, and garbing practices. In those situations wherein manufacturers have failed to perform some type of personnel monitoring or monitoring has shown unacceptable levels of contamination, regulatory situations have resulted.

Typically, vials to be lyophilized are partially stoppered by machine. However, some filling lines have been noted in which an operator places each stopper on top of the vial by hand. At this time, it would seem difficult for a manufacturer to justify a hand-stoppering operation, even if sterile forceps are employed, in any type of operation other than filling a clinical batch or a very small number of units. Significant regulatory situations have resulted from this practice. Again, the concern is the immediate avenue of contamination offered by the operator. It is well recognized that people are the major source of contamination in an aseptic processing filling operation. The longer a person works in an aseptic operation, the more the microorganisms shed and the greater the probability of contamination.

Once filled and partially stoppered, vials are transported and loaded into the lyophilizer. The transfer and handling, such as loading of the lyophilizer, should take place under primary barriers, such as the laminar flow hoods under which the vials were filled. Validation of this handling should also include the use media fills.

Regarding the filling of sterile media, there are some manufacturers who carry out a partial lyophilization cycle and freeze the media. Although this could seem to greater mimic the process, the freezing of media could reduce microbial levels of some contaminants. Because the purpose of the media fill is to evaluate and justify the aseptic capabilities of the process, the people, and the system, the possible reduction of microbiological levels after aseptic manipulation by freezing would not be warranted. The purpose of a media fill is not to determine the lethality of freezing and its effect on any microbial contaminants that might be present.

In an effort to identify the particular sections of filling and aseptic manipulation that might introduce contamination, several manufacturers have resorted to expanded media fills. That is, they have filled ca. 9000 vials during a media fill and segmented the fill into three stages: the first stage of filling

3000 vials and stoppering on line; the second stage of filling 3000 vials, transporting to the lyophilizer, and then stoppering; and a third stage of filling 3000 vials, loading in the lyophilizer, and exposure to a portion of the nitrogen flush and then stoppering. Because sterilization of lyophilizer and sterilization of the nitrogen system used to backfill require separate validation, media fills should primarily validate the filling, transporting, and loading aseptic operations.

The question of the number of units needed for media fills when the capacity of the process is less than 3000 units is frequently asked, particularly for clinical products. Again, the purpose of the media fill is to assure that the product can be aseptically processed without contamination under operating conditions. It would seem, therefore, that the maximum number of units of media filled be equivalent to the maximum batch size if it is less than 3000 units.

In the transport of vials to the lyophilizer, because they are not sealed, there is concern for the potential for contamination. During inspections and in the review of new facilities, the failure to provide laminar flow coverage or a primary barrier for the transport and loading areas of a lyophilizer has been regarded as an objectionable condition. The solutions include use of laminar flow carts or locating filling lines close to the lyophilizer to minimize exposure. The use of laminar flow units should validate that the air turbulence created in the area does not itself produce a contamination problem. The media fills and smoke studies should be done to identify and correct these problems. Typically, the lyophilization process includes the stoppering of vials in the chamber.

Another major concern with the filling operation is assurance of fill volumes. Obviously, a low fill would represent a subpotency in the vial. Unlike a powder or liquid fill, a low fill would not be readily apparent after lyophilization, particularly for a biopharmaceutical drug product in which the active ingredient may be only a milligram. Because of the clinical significance, subpotency in a vial can be a very serious situation.

On occasion, it has been seen that production operators monitoring fill volumes record these fill volumes only after adjustments are made. Therefore, good practice and a good quality assurance program would include the frequent monitoring of the volume of fill, such as every 15 minutes. Good practice would also include provision for the isolation of particular sections of filling operations when low or high fills are encountered.

Some atypical filling operations have not been discussed. For example, there have also been some situations in which lyophilization is performed on trays of solution rather than in vials. Based on the current technology available, it would seem that for a sterile product, it would be difficult to justify this procedure.

The dual chamber vial also presents additional requirements for aseptic manipulations. Media fills should include the filling of media in both chambers. Also, the diluent in these vials should contain a preservative. (Without a preservative, the filling of diluent would be analogous to the filling of media. In such cases, a 0% level of contamination would be expected.)

D. LYOPHILIZATION CYCLE AND CONTROLS

After sterilization of the lyophilizer and aseptic loading, the initial step is freezing the solution. In some cycles, the shelves are at the temperature needed for freezing, whereas for other cycles, the product is loaded and then the shelves are taken to the freezing temperature necessary for product freeze. In those cycles wherein the shelves are precooled prior to loading, there is concern for any ice formation on shelves prior to loading. Ice on shelves prior to loading can cause partial or complete stoppering of vials prior to lyophilization of the product. It is noteworthy that even 100% vial inspection can fail to identify defective vials. Typically, the product is frozen at a temperature well below the eutectic point.

The scale-up and change of lyophilization cycles, including the freezing procedures, have presented some problems. Studies have shown the rate and manner of freezing may affect the quality of the lyophilized product. For example, slow freezing leads to the formation of larger ice crystals. This results in relatively large voids, which aid in the escape of water vapor during sublimation. On the other hand, slow freezing can increase concentration shifts of components. Also, the rate and manner of freezing have been shown to have an effect on the physical form (polymorph) of the drug substance.

It is desirable after freezing and during primary drying to hold the drying temperature (in the product) at least 4 to 5°C below the eutectic point. Obviously, the manufacturer should know the eutectic point and have the necessary instrumentation to assure the uniformity of product temperatures. The lyophilizer should also have the necessary instrumentation to control and record the key process parameters. These include shelf temperature, product temperature, condenser temperature, chamber pressure, and condenser pressure. The manufacturing directions should provide for time, temperature, and pressure limits necessary for a lyophilization cycle for a product. The monitoring of product temperature is particularly important for those cycles for which there are atypical operating procedures, such as power failures or equipment breakdown.

Electromechanical control of a lyophilization cycle has utilized cam-type recorder-controllers. However, newer units provide for microcomputer control of the freeze-drying process. A very basic requirement for a computer-controlled process is a flow chart or logic. Typically, operator involvement in a computer-controlled lyophilization cycle primarily occurs at the beginning. It consists of loading the chamber, inserting temperature probes in product vials, and entering cycle parameters such as shelf temperature for freezing, product freeze temperature, freezing soak time, primary drying shelf temperature and cabinet pressure, product temperature for establishment of fill vacuum, secondary drying shelf temperature, and secondary drying time.

In cases where manufacturers continuously make adjustments in cycles as they are being run, the lyophilization process would be non-validated.

Validation of the software program of a lyophilizer follows the same criteria as those for other processes. Basic concerns include software development, modifications, and security.

The "Guide to Inspection of Computerized Systems in Drug Processing" contains a discussion of potential problem areas relating to computer systems. The "Guide to the Inspection of Software Development Activities" is a reference that provides a more detailed review of software requirements.

Leakage into a lyophilizer may originate from various sources. As in any vacuum chamber, leakage can occur from the atmosphere into the vessel itself. Other sources are media employed within the system to perform the lyophilizing task. These would be the thermal fluid circulated through the shelves for product heating and cooling, the refrigerant employed inside the vapor condenser cooling surface, and oil vapors that may migrate back from the vacuum pumping system.

Any one source, or a combination of all, can contribute to the leakage of gases and vapors into the system. It is necessary to monitor the leak rate periodically to maintain the integrity of the system. It is also necessary, should the leak rate exceed specified limits, to determine the actual leak site for purposes of repair.

Thus, it would be beneficial to perform a leak test at some time after sterilization, possibly at the beginning of the cycle or prior to stoppering. The time and frequency for performing the leak test will vary and will depend on the data developed during the cycle validation. The pressure rise found acceptable at validation should be used to determine the acceptable pressure rise during production. A limit and what action is to be taken if excessive leakage is found should be addressed in some type of operating document.

To minimize oil vapor migration, some lyophilizers are designed with a tortuous path between the vacuum pump and chamber. For example, one fabricator installed an oil trap in the line between the vacuum pump and chamber in a lyophilizer with an internal condenser. Leakage can also be identified by sampling surfaces in the chamber after lyophilization for contaminants. One could conclude that if contamination is found on a chamber surface after lyophilization, then dosage units in the chamber could also be contaminated. It is a good practice, as part of the validation of cleaning of the lyophilization chamber, to sample the surfaces both before and after cleaning.

Because of the lengthy cycle runs and strain on machinery, it is not unusual to see equipment malfunction or fail during a lyophilization cycle. There should be provisions in place for the corrective action to be taken when these atypical situations occur. In addition to documentation of the malfunction, there should be an evaluation of the possible effects on the product (e.g., partial or complete meltback; refer to subsequent discussion). Merely testing samples after the lyophilization cycle is concluded may be insufficient to justify the release of the remaining units. For example, the leakage of chamber shelf fluid into the chamber or a break in sterility would be cause for rejection of the batch.

E. CYCLE VALIDATION

Many manufacturers file (in applications) their normal lyophilization cycles and validate the lyophilization process based on these cycles. Unfortunately, such data would be of little value to substantiate shorter or abnormal cycles. In some

cases, manufacturers are unaware of the eutectic point. It would be difficult for a manufacturer to evaluate partial or abnormal cycles without knowing the eutectic point and the cycle parameters needed to facilitate primary drying.

Scale-up for the lyophilized product requires knowledge of the many variables that can affect the product. Some of the variables include freezing rate and temperature ramping rate. As with the scale-up of other drug products, there should be a development report that discusses the process and logic for the cycle. Probably more than any other product, scale-up of the lyophilization cycle is very difficult.

Some manufacturers market multiple strengths, vial sizes, and different batch sizes. Separate validation should be performed for each product, and extrapolation from one cycle to another is not proper.

F. LYOPHILIZER STERILIZATION AND DESIGN

The sterilization of the lyophilizer is one of the more frequently encountered problems noted during inspections. Some of the older lyophilizers cannot tolerate steam under pressure, and sterilization is marginal at best. These lyophilizers can only have their inside surfaces wiped with a chemical agent that may be a sterilant but usually has been found to be a sanitizing agent. Unfortunately, piping such as that for the administration of inert gas (usually nitrogen) and sterile air for backfill or vacuum break is often inaccessible to such surface "sterilization" or treatment. It would seem very difficult for a manufacturer to demonstrate satisfactory validation of sterilization of a lyophilizer by chemical "treatment."

Another method of sterilization that has been practiced is the use of gaseous ethylene oxide. As with any ethylene oxide treatment, humidification is necessary. Providing a method of introducing the sterile moisture with uniformity has been found to be difficult.

To employ WFI as a final wash or rinse of the lyophilizer and while the chamber is wet, sterilizing by ethylene oxide gas may be satisfactory for the chamber but inadequate for associated plumbing. Another problem associated with ethylene oxide is the residue. A common ethylene oxide and nitrogen supply line to a number of lyophilizers connected in parallel to the system may result in some ethylene oxide in the nitrogen supply line during the backfilling step. Obviously, this type of system is objectionable.

A generally recognized, acceptable method of sterilizing the lyophilizer is through the use of moist steam under pressure. Sterilization procedures should parallel that of an autoclave, and a typical system should include two independent temperature-sensing systems, one to control and record temperatures of the cycle as with sterilizers and the other in the cold spot of the chamber. As with autoclaves, lyophilizers should have drains with atmospheric breaks to prevent back siphonage.

As discussed, there should also be provisions for sterilizing the inert gas or air and the supply lines. Some manufacturers have chosen to locate the sterilizing filters in a port of the chamber. The port is steam sterilized when the chamber is

sterilized, and then the sterilizing filter, previously sterilized, is aseptically connected to the chamber. Some manufacturers have chosen to sterilize the filter and downstream piping to the chamber in place. Typical sterilization-in-place of filters may require steaming of both to obtain sufficient temperatures. In this type of system, there should be provision for removing or draining condensate. The failure to sterilize nitrogen and air filters and the piping downstream leading into the chamber has been identified as a problem on a number of inspections.

Because these filters are used to sterilize inert gas or air, or both, there should be some assurance of their integrity. Some inspections have disclosed a lack of integrity testing of the inert gas or air filter. The question frequently asked is how often the vent filter should be tested for integrity. As with many decisions made by manufacturers, there is a level of risk associated with the operation, process, or system, which only the manufacturer can determine. If the sterilizing filter is found to pass the integrity test after several uses or batches, then one can claim its integrity for the previous batches. However, if the filter is tested only after several batches have been processed and if found to fail the integrity test, then one can question the sterility of all of the previous batches processed. To minimize this risk, some manufacturers have resorted to redundant filtration.

For most cycles, stoppering occurs within the lyophilizer. Typically, the lyophilizer has some type of rod or rods (ram), which enter the immediate chamber at the time of stoppering. Once the rod enters the chamber, there is the potential for contamination of the chamber. However, because the vials are stoppered, there is no avenue for contamination of the vials in the chamber, which are now stoppered. Generally, lyophilizers should be sterilized after each cycle because of the potential for contamination of the shelf support rods. Additionally, the physical act of removing vials and cleaning the chamber can increase levels of contamination.

In some of the larger units, the shelves are collapsed after sterilization to facilitate loading. Obviously, the portions of the ram entering the chamber to collapse the shelves enter from a nonsterile area. Attempts to minimize contamination have included wiping the ram with a sanitizing agent prior to loading. Control aspects have included testing the ram for microbiological contamination, testing it for residues of hydraulic fluid, and testing the fluid for its bacteriostatic effectiveness. One practice is to provide a flexible "skirt" to cover the ram. In addition to microbiological concerns with hydraulic fluid, there is also the concern with product contamination.

During steam sterilization of the chamber, there should be space between shelves that permit passage of free-flowing steam. Some manufacturers have placed "spacers" between shelves to prevent their total collapse. Others have resorted to a two-phase sterilization of the chamber. The initial phase provides for sterilization of the shelves when they are separated. The second phase provides for sterilization of the chamber and piston with the shelves collapsed.

Typically, BIs are used in lyophilizers to validate the steam sterilization cycle. One manufacturer of a biopharmaceutical product was found to have a positive BI after sterilization at

121°C for 45 minutes. During the chamber sterilization, trays used to transport vials from the filling line to the chamber were also sterilized. The trays were sterilized in an inverted position on shelves in the chamber. It is believed that the positive BI is the result of poor steam penetration under these trays.

The sterilization of condensers is also a major issue that warrants discussion. Most of the newer units provide for the capability of sterilization of the condenser along with the chamber, even if the condenser is external to the chamber. This provides a greater assurance of sterility, particularly in those situations in which there is some equipment malfunction and the vacuum in the chamber is deeper than in the condenser.

Malfunctions that can occur, indicating that sterilization of the condenser is warranted, include vacuum pump breakdown, refrigeration system failures, and the potential for contamination by the large valve between the condenser and chamber. This is particularly true for units that have separate vacuum pumps for both the condenser and chamber. When there are problems with the systems in the lyophilizer, contamination could migrate from the condenser back to the chamber. It is recognized that it is not possible to sterilize the condenser in many of the older units, and this represents a major problem, particularly in those cycles in which there is some equipment or operator failure.

As referenced previously, leakage during a lyophilization cycle can occur, and the door seal or gasket presents an avenue of entry for contaminants. If steam leaks from a unit during sterilization, air could possibly enter the chamber during lyophilization.

Some of the newer lyophilizers have double doors, one for loading and the other for unloading. The typical single-door lyophilizer opens in the clean area only, and contamination between loads is minimal. This clean area, as previously discussed, represents a critical processing area for a product made by aseptic processing. In most units, only the piston raising or lowering shelves is the source of contamination. For a double-door system, unloading the lyophilizer in a nonsterile environment, other problems may occur. The nonsterile environment presents a direct avenue of contamination of the chamber when unloading, and door controls similar to double-door sterilizers should be in place.

Obviously, the lyophilizer chamber is to be sterilized between batches because of the direct means of contamination. A significant problem is that of leakage through the door seal. For the single-door unit, leakage before stoppering around the door seal is not a major problem from a sterility standpoint because single-door units open only into sterile areas. However, leakage from a door gasket or seal from a nonsterile area will present a significant microbiological problem. To minimize the potential for contamination, it is recommended that the lyophilizers be unloaded in a clean-room area to minimize contamination. After steam sterilization, there is often some condensate remaining on the floor of the chamber. Some manufacturers remove this condensate through the drain line while the chamber is still pressurized after sterilization. Nonsterile air could contaminate the chamber through the drain line. Some manufacturers attempt to

dry the chamber by blowing sterile nitrogen gas through the chamber at a pressure above atmospheric pressure. Residual of condensate in the chamber is often a cause of *Pseudomonas* contamination.

G. FINISHED PRODUCT TESTING

Several aspects of finished product testing are of concern to the lyophilized dosage form. These include dose uniformity testing, moisture and stability testing, and sterility testing.

1. Dose Uniformity

The USP includes two types of dose uniformity testing: content uniformity and weight variation. It states that weight variation may be applied to solids, with or without added substances that have been prepared from true solutions and freeze-dried in final containers. However, when other excipients or other additives are present, weight variation may be applied, provided there is correlation with the sample weight and potency results. For example, in the determination of potency, it is sometimes common to reconstitute and assay the entire contents of a vial without knowing the weight of the sample. Performing the assay in this manner will provide information on the label claim of a product, but without knowing the sample weight, one has no information about dose uniformity. One should correlate the potency result obtained from the assay with the weight of the sample tested.

2. Stability Testing

An obvious concern with the lyophilized product is the amount of moisture present in vials. The manufacturer's data for the establishment of moisture specifications for both product release and stability should be reviewed. As with other dosage forms, the expiration date and moisture limit should be established based on worst-case data. That is, a manufacturer should have data that demonstrate adequate stability at the moisture specification.

As with immediate release potency testing, stability testing should be performed on vials with a known weight of sample. For example, testing a vial (sample) which had a higher fill weight (volume) than the average fill volume of the batch would provide higher potency results and not represent the potency of the batch. Also, the expiration date and stability should be based on those batches with the higher moisture content. Such data should also be considered in the establishment of a moisture specification.

For products showing a loss of potency due to aging, there are generally two potency specifications. There is a higher limit for the dosage form at the time of release. This limit is generally higher than the official USP or filed specification that is official throughout the entire expiration date period of the dosage form. The USP points out that compendial standards apply at any time in the life of the article.

Stability testing should also include provision for the assay of aged samples and subsequent reconstitution of these aged samples for the maximum amount of time specified in the labeling. On some occasions, manufacturers have established

expiration dates without performing label claim reconstitution potency assays at the various test intervals and particularly the expiration date test interval. Additionally, this stability testing of reconstituted solutions should include the most concentrated and the least concentrated reconstituted solutions. The most concentrated reconstituted solution will usually exhibit degradation at a faster rate than less concentrated solutions.

3. Sterility Testing

With respect to sterility testing of lyophilized products, there is concern with the solution used to reconstitute the lyophilized product. Although products may be labeled for reconstitution with bacteriostatic WFI, sterile WFI should be used to reconstitute products. Because of the potential toxicities associated with bacteriostatic WFI, many hospitals use WFI only. Bacteriostatic WFI may kill some of the vegetative cells if present as contaminants and thus mask the true level of contamination in the dosage form. As with other sterile products, sterility test results that show contamination on the initial test should be identified and reviewed.

H. FINISHED PRODUCT INSPECTION—MELTBACK

The USP points out that it is good pharmaceutical practice to perform 100% inspection of parenteral products. This includes sterile lyophilized powders. Critical aspects include the presence of correct volume of cake and the cake appearance. With regard to cake appearance, one of the major concerns is *meltback*.

Meltback is a form of cake collapse and is caused by the change from the solid to liquid state; that is, there is incomplete sublimation (change from the solid to vapor state) in the vial. Associated with this problem is a change in the physical form of the drug substance or a pocket of moisture, or both. These may result in greater instability and increased product degradation.

Another problem may be poor solubility. Increased time for reconstitution at the user stage may result in partial loss of potency if the drug is not completely dissolved, because it is common to use in-line filters during administration to the patient.

Manufacturers should be aware of the stability of lyophilized products that exhibit partial or complete meltback. Literature shows that for some products, such as the cephalosporins, the crystalline form is more stable than the amorphous form of lyophilized product. The amorphous form may exist in the meltback portion of the cake where there is incomplete sublimation.

VIII. HIGH-PURITY WATER SYSTEMS

High-purity water systems are used for the manufacture of many types of pharmaceutical products, particularly parenteral and ophthalmic products. The pharmacopoeia describes several specifications for water such as WFI, purified water, and potable water. Because adequate controls in the supply of water systems are considered critical, along with other

environmental factors, a detailed description of high-purity water systems is provided here.

A. SYSTEM DESIGN

One of the basic considerations in the design of a system is the type of product that is to be manufactured. For parenteral products where there is a concern for pyrogens, it is expected that WFI will be used. This applies to the formulation of products, as well as to the final washing of components and equipment used in their manufacture. Distillation and reverse osmosis (RO) filtration are the only acceptable methods listed in the USP for producing WFI. However, in the bulk pharmaceutical and biotechnology industries and some foreign companies, ultrafiltration (UF) is employed to minimize endotoxins in those drug substances that are administered parenterally.

It is expected that WFI be used in the formulation of some ophthalmic products such as the ophthalmic irrigating solution and some inhalation products such as sterile water for inhalation, where there are pyrogen specifications. However, purified water is used in the formulation of most inhalation and ophthalmic products. This also applies to topicals, cosmetics, and oral products.

Another design consideration is the temperature of the system. It is recognized that hot (65–80°C) systems are self-sanitizing. Although the cost of other systems may be less expensive for a company, the cost of maintenance, testing, and potential problems may be higher than the cost of energy saved. Whether a system is circulating or one-way is also an important design consideration. Obviously, water in constant motion is less liable to have high levels of contaminant. A one-way water system is basically a “dead-leg.”

The final, and possibly the most important, consideration is the risk assessment or level of quality that is desired. It should be recognized that different products require different quality waters. Parenterals require very pure water with no endotoxins. Topical and oral products require less pure water and do not have a requirement for endotoxins. Even with topical and oral products there are factors that dictate different qualities for water. For example, preservatives in antacids are marginally effective, so more stringent microbial limits have to be set. The quality control department should assess each product manufactured with the water from their system and determine the microbial action limits based on the most microbial sensitive product. In lieu of stringent water action limits in the system, the manufacturer can add a microbial reduction step in the manufacturing process for the sensitive drug product(s).

B. SYSTEM VALIDATION

A basic reference used for the validation of high-purity water systems is the Parenteral Drug Association Technical Report No. 4, “Design Concepts for the Validation of a Water for Injection System.”

The introduction provides guidance and states that validation often involves the use of an appropriate challenge. In

this situation, it would be undesirable to introduce microorganisms into an on-line system; therefore, reliance is placed on periodic testing for microbiological quality and on the installation of monitoring equipment at specific checkpoints to ensure that the total system is operating properly and continuously fulfilling its intended function.

In the review of a validation report or in the validation of a high-purity water system, several aspects should be considered. Documentation should include a description of the system along with a print. The drawing needs to show all equipment in the system from the water feed to points of use. It should also show all sampling points and their designations. If a system has no print, it is usually considered an objectionable condition. The thinking is that if there is no print, it is not possible for the system to be validated. How can a quality control manager or microbiologist know where to sample? In facilities observed without updated prints, serious problems have been identified in these systems. The print should be compared with the actual system annually to ensure its accuracy, to detect unreported changes, and confirm reported changes to the system.

After all the equipment and piping has been verified as installed correctly and working as specified, the initial phase of the water system validation can begin. During this phase, the operational parameters and the cleaning and sanitization procedures and frequencies will be developed. Sampling should be daily after each step in the purification process and at each point of use for 2 to 4 weeks. The sampling procedure for point-of-use sampling should reflect how the water is to be drawn; for example, if a hose is usually attached, the sample should be taken at the end of the hose. If the SOP calls for the line to be flushed before use of the water from that point, then the sample is taken after the flush.

The second phase of the system validation is to demonstrate that the system will consistently produce the desired water quality when operated in conformance with the SOPs. The sampling is performed as in the initial phase and for the same time period. At the end of this phase, the data should demonstrate that the system will consistently produce the desired quality of water.

The third phase of validation is designed to demonstrate that when the water system is operated in accordance with the SOPs over a long period of time, it will consistently produce water of the desired quality. Any variations in the quality of the feedwater that could affect the operation and ultimately the water quality will be picked up during this phase of the validation. Sampling is performed according to routine procedures and frequencies. For WFI systems, the samples should be taken daily from a minimum of one point of use, with all points of use tested weekly. The validation of the water system is completed when there is at least a full year's worth of data.

Although the above validation scheme is not the only way a system can be validated, it contains the necessary elements for validation of a water system. First, there must be data to support the SOPs. Second, there must be data demonstrating that the SOPs are valid and that the system is capable of

consistently producing water that meets the desired specifications. Finally, there must be data to demonstrate that seasonal variations in the feedwater do not adversely affect the operation of the system or the water quality.

The last part of the validation is the compilation of the data, with any conclusions, into the final report. The final validation report must be signed by the appropriate people responsible for operation and quality assurance of the water system.

A typical problem is the failure of operating procedures to preclude contamination of the system with nonsterile air remaining in a pipe after drainage. A typical problem occurs when a washer or hose connection is flushed and then drained at the end of the operation. After draining, this valve (the second off of the system) is closed. If, on the next day or start-up of the operation, the primary valve off the circulating system is opened, then the nonsterile air remaining in the pipe after drainage will contaminate the system. The solution is to provide for operational procedures that provide for opening the secondary valve before the primary valve to flush the pipe prior to use.

Another major consideration in the validation of high-purity water systems is the acceptance criteria. Consistent results throughout the system over a period of time constitute the primary element.

C. MICROBIAL LIMITS

1. WFI Systems

Regarding microbiological results for WFI, it is expected that they be essentially sterile. Because sampling frequently is performed in nonsterile areas and is not truly aseptic, occasional low-level counts due to sampling errors may occur. The U.S. FDA policy is that less than 10 CFU/100 mL is an acceptable action limit. None of the limits for water are pass or fail limits; all limits are action limits. When action limits are exceeded, the cause of the problem must be investigated. Action must be taken to correct the problem and assess the impact of the microbial contamination on products manufactured with the water. The results of the investigation must then be documented.

With regard to sample size, 100 to 300 mL is preferred when sampling WFI systems. Sample volumes less than 100 mL are unacceptable.

The real concern in WFI is endotoxins. Because WFI can pass the LAL endotoxin test and still fail the above microbial action limit, it is important to monitor WFI systems for both endotoxins and microorganisms.

2. Purified Water Systems

For purified water systems, microbiological specifications are not as clear. The USP specifications, that it complies with federal Environmental Protection Agency (EPA) regulations for drinking water, are recognized as being minimal specifications. There have been attempts by some to establish meaningful microbiological specifications for purified water. The

CFTA proposed a specification of not more than 500 organisms/mL. The USP has an action guideline of not greater than 100 organisms/mL. Although microbiological specifications have been discussed, none (other than EPA standards) have been established. The U.S. FDA policy is that any action limit over 100 CFU/mL for a purified water system is unacceptable.

The purpose of establishing any action limit or level is to assure that the water system is under control. Any action limit established will depend on the overall purified water system and further processing of the finished product and its use. For example, purified water used to manufacture drug products by cold processing should be free of objectionable organisms. Objectionable organisms are any organisms that can cause infections when the drug product is used as directed or any organism capable of growth in the drug product—the specific contaminant rather than the number is generally more significant.

Organisms exist in a water system either as freely floating in the water or attached to the walls of the pipes and tanks. When they are attached to the walls, they are known as biofilm, which continuously sloughs off organisms. Thus, contamination is not uniformly distributed in a system, and the sample may not be representative of the type and level of contamination. A count of 10 CFU/mL in one sample and 100 or even 1000 CFU/mL in a subsequent sample would not be unrealistic.

Thus, establishing the level of contamination allowed in a high-purity water system used in the manufacture of a non-sterile product requires an understanding of the use of the product, the formulation (preservative system), and manufacturing process. For example, antacids, which do not have an effective preservative system, require an action limit below the 100 CFU/mL maximum.

The USP gives some guidance in their monograph, "Microbiological Attributes of Non-Sterile Products." It points out that, "The significance of microorganisms in non-sterile pharmaceutical products should be evaluated in terms of the use of the product, the nature of the product, and the potential harm to the user." Thus, not just the indicator organisms listed in some of the specific monographs present problems. It is up to manufacturers to evaluate their product and the way it is manufactured and establish an acceptable action level of contamination, not to exceed the maximum, for the water system, based on the highest risk product manufactured with the water.

D. WFI SYSTEMS

In establishing a validated WFI system, there are several concerns. Pretreatment of feedwater is recommended by most manufacturers of distillation equipment and is definitely required for RO units. The incoming feedwater quality may fluctuate during the life of the system depending on seasonal variations and other external factors beyond the control of the pharmaceutical facility. For example, in the spring (at least in the northeast United States), increases in Gram-negative organisms have been known. Also, new construction or fires can deplete water stores in old mains, causing an influx of water heavily contaminated with different flora.

A water system should be designed to operate within these anticipated extremes. Obviously, the only way to know the extremes is to periodically monitor feedwater. If the feedwater is from a municipal water system, reports from the municipality testing can be used in lieu of in-house testing.

E. STILL

Most of the new systems now use multi-effect stills. Endotoxins find their way into the system through many channels, such as when there is a malfunction of the feedwater valve and level control in the still, which results in droplets of feedwater being carried over in the distillate or water lying in the condenser for several days (i.e., over the weekend). This may produce unacceptable levels of endotoxins. More common, however, is the failure to adequately treat feedwater to reduce levels of endotoxins. Many of the still fabricators will only guarantee a 2.5-log to 3-log reduction in the endotoxin content. Therefore, it is not surprising that in systems in which the feedwater occasionally spikes to 250 EU/mL, unacceptable levels of endotoxins may occasionally appear in the distillate (WFI). This requires having a satisfactory pretreatment system to assure validity of system. Typically, conductivity meters are used on water systems to monitor chemical quality but have no meaning regarding microbiological quality.

Petcocks or small sampling ports between each piece of equipment, such as after the still and before the holding tank, are placed in the system to isolate major pieces of equipment. This is necessary for the qualification of the equipment and to enable easy investigation of any problems that might occur due to these petcocks and sampling ports.

F. HEAT EXCHANGERS

One principal component of the still is the heat exchanger. Because of the similar ionic quality of distilled and deionized water, conductivity meters cannot be used to monitor microbiological quality. Positive pressure such as in vapor compression or double-tubesheet design should be employed to prevent possible feedwater-to-distillate contamination in a leaky heat exchanger.

There are potential design-related problems associated with heat exchangers. There are two methods to prevent contamination by leakage: one is to provide gauges to constantly monitor pressure differentials to ensure that the higher pressure is always on the clean fluid side, and the other is to use the double-tubesheet type of heat exchanger.

In some systems, heat exchangers are used to cool water at use points. For the most part, cooling water is not circulated through them when not in use. In a few situations, pinholes have formed in the tubing after they were drained (on the cooling waterside) and not in use. A small amount of moisture remaining in the tubes when combined with air can corrode the stainless-steel tubes on the cooling waterside. Thus, it is recommended that, when not in use, heat exchangers not be drained of the cooling water.

G. HOLDING TANK

In hot systems, temperature is usually maintained by applying heat to a jacketed holding tank or by placing a heat exchanger in the line prior to an insulated holding tank. The one component of the holding tank that requires great attention is the vent filter. It is expected that there be some program for integrity-testing this filter to assure that it is intact. Typically, filters are now jacketed to prevent condensate or water from blocking the hydrophobic vent filter. If the vent filter becomes blocked, possibly either the filter will rupture or the tank will collapse. There are methods for integrity testing of vent filters in place. It is expected, therefore, that the vent filter be located in a position on the holding tank where it is readily accessible. Just because a WFI system is relatively new and distillation is employed, it is not necessarily problem free. Other considerations such as how it is integrated with the rest of the system are equally important.

H. PUMPS

Pumps burn out and parts wear. Also, if pumps are static and not continuously in operation, their reservoir can be a static area where water will lie. A drain from the low point in a pump housing may become a source of contamination if the pump is only periodically operational.

I. PIPING

Piping in WFI systems usually consists of highly polished stainless steel. In a few cases, manufacturers have begun to use PVDF (polyvinylidene fluoride) piping. It is purported that this piping can tolerate heat with no extractables being leached. A major problem with PVDF tubing is that it requires considerable support. When this tubing is heated, it tends to sag and may stress the weld (fusion) connection and result in leakage. Additionally, initially at least, fluoride levels are high. This piping is of benefit in product delivery systems wherein low-level metal contamination may accelerate the degradation of drug product, such as in the biotech industry.

One common problem with piping is that of “dead-legs,” which are defined as “an unused portion greater in length than six diameters of the unused pipe measured from the axis of the pipe in use.” It should be pointed out that this was developed for hot (75–80°C), circulating systems. With colder systems (65–75°C), any drops or unused portion of any length of piping has the potential of forming a biofilm and should be eliminated, if possible, or have special sanitizing procedures. There should be no threaded fittings in a pharmaceutical water system. All pipe joints must use sanitary fittings or be butt-welded. Sanitary fittings are usually used where the piping meets valves, tanks, and other equipment that must be removed for maintenance or replacement. Therefore, the procedures for sanitization, as well as the actual piping, should be established and well documented.

J. REVERSE OSMOSIS

Another acceptable method for manufacturing WFI is RO. However, because these systems are cold, and because RO filters are not absolute, microbiological contamination is not unusual. Because RO filters are not absolute, the filter manufacturers recommend that at least two be in series. There may be an UV light in the system downstream from the RO units to control microbiological contamination.

The ball valves in these systems are not considered sanitary valves because the center of the valve can have water in it when the valve is closed. This is a stagnant pool of water that can harbor microorganisms and provide a starting point for biofilm.

As an additional comment on RO systems, with the recognition of microbiological problems, some manufacturers have installed heat exchangers immediately after the RO filters to heat the water to 75 to 80°C to minimize microbiological contamination.

With the development of biotechnology products, many small companies are using RO and UF systems to produce high-purity water. Most of these systems employ PVC or some type of plastic tubing. Because the systems are typically cold, the many joints in the system are subject to contamination. Another potential problem with PVC tubing is extractables. Without demonstration to the contrary, it is not possible to evaluate from the design of the system whether the extractables would pose any problem.

The systems also contain 0.2-mm point-of-use filters that can mask the level of microbiological contamination in the system. Although it is recognized that endotoxins are the primary concern in such a system, a filter will reduce microbiological contamination but not necessarily endotoxin contamination. If filters are used in a water system, there should be a stated purpose for the filter, for example, particulate removal or microbial reduction, and an SOP stating the frequency with which the filter is to be changed, which is based on data generated during the validation of the system.

As previously discussed, because of the volume of water actually tested (1 mL for endotoxins vs. 100 mL for WFI), the microbiological test offers a good index of the level of contamination in a system. Therefore, unless the water is sampled before the final 0.2-mm filter, microbiological testing has little meaning.

The FDA strongly recommends that the nonrecirculating water systems be drained daily and water not be allowed to sit in the system, as this practice is bound to produce highly erratic contamination levels.

K. PURIFIED WATER SYSTEMS

Many of the comments regarding equipment for WFI systems are applicable to purified water systems. One type of system that has been used to control microbiological contamination uses ozone. For optimum effectiveness, it is required that dissolved ozone residual remain in the system. This presents both employee safety problems and use problems when drugs

are formulated. Problems arise once the ozone generator is turned off or ozone is removed prior to placing the water in the recirculating system, particularly if the levels fall below 0.45 mg/L; also, if sampling is performed immediately after sanitization, results cannot be meaningful.

Purified water systems can be problematic if there is a one-way and not a recirculating system. Even if a heat exchanger is used to heat the water on a weekly basis and sanitize the system, this system shall be classified as “dead.”

If a 0.2-mm in-line filter is used to sanitize the purified water on a daily basis, the filter housing provides a good environment for microbiological contamination; a typical problem is water hammer that can cause “ballooning” of the filter. If a valve downstream from the filter is shut too fast, the water pressure will reverse and can cause ballooning. Pipe vibration is a typical, visible sign of high back pressure while passage of upstream contaminants on the filter face is a real problem. Further problems arise where there are several vertical drops at use points. During sanitization, it is important to “crack” the terminal valves so that all of the elbows and bends in the piping are full of water and thus get complete exposure to the sanitizing agent.

It should be pointed out that simply because a system is a one-way system, it is not inadequate. With good SOPs, based on validation data, and routine hot flushings of this system, it could be acceptable. A long system (over 200 yards) with numerous outlets (e.g., over 50 outlets) can be acceptable, for example, with daily flushing of all outlets with 80°C water.

In one-way systems that employ a UV light to control microbiological contamination, it turns on only when water is needed. Thus, there are times when water is allowed to remain in the system. Systems containing flexible hose are very difficult to sanitize. UV lights must be properly maintained to work. The glass sleeves around the bulb(s) must be kept clean or their effectiveness will decrease. In multi-bulb units there must be a system to determine that each bulb is functioning. It must be remembered that, at best, UV light will kill only 90% of the organisms entering the unit.

L. PROCESS WATER

Currently, the USP, in the “General Notices” section, allows drug substances to be manufactured from potable water. It comments that any dosage form must be manufactured from purified water, WFI, or one of the forms of sterile water. There is some inconsistency in these two statements, because purified water has to be used for the granulation of tablets, yet potable water can be used for the final purification of the drug substance.

The FDA “Guide to Inspection of Bulk Pharmaceutical Chemicals” comments on the concern for the quality of the water used for the manufacture of drug substances, particularly those used in parenteral manufacture. Excessive levels of microbiological or endotoxin contamination have been found in drug substances, with the source of contamination being the water used in purification. At this time, WFI does not have to be used in the finishing steps of synthesis and purification

of drug substances for parenteral use. However, such water systems should be validated to assure minimal endotoxin or microbiological contamination.

In the bulk drug substance industry, particularly for parenteral-grade substances, it is common to see UF and RO systems in use in water systems. Although UF may not be as efficient at reducing pyrogens, it reduces the high-molecular-weight endotoxins that are a contaminant in water systems. As with RO, UF is not absolute, but it reduces numbers. Additionally, as previously discussed with other cold systems, considerable maintenance is required to maintain the system.

For the manufacture of drug substances that are not for parenteral use, there is still a microbiological concern, although not to the degree as for parenteral-grade drug substances. In some areas of the world, potable (chlorinated) water may not present a microbiological problem. However, there may be other issues. For example, chlorinated water will generally increase chloride levels. In some areas, process water can be obtained directly from neutral sources.

M. EVALUATION STRATEGY

Manufacturers should have some way of presenting their water quality data, which should be thoroughly reviewed to confirm they contain any investigation reports when values exceed limits.

Because microbiological test results from a water system are not usually obtained until after the drug product is manufactured, results exceeding limits should be reviewed with regard to the drug product formulated from such water. Consideration with regard to the further processing or release of such a product will depend on the specific contaminant, the process, and the end use of the product. Such situations are usually evaluated on a case-by-case basis. It is a good practice in such situations to include an investigation report with the logic for release or rejection. End-product microbiological testing, while providing some information, should not be relied on as the sole justification for the release of the drug product. The limitations of microbiological sampling and testing should be recognized. Manufacturers should also have maintenance records or logs for equipment, such as the still.

RELEVANT GUIDANCE DOCUMENTS (FDA)

1. Guidance for the Submission of Documentation for Sterilization Process Validation in Applications for Human and Veterinary Drug Product, 1994.
2. Guideline for Validation of Limulus Amebocyte Lysate Test as an End Product Endotoxin Test for Human and Animal Parenteral Drugs, Biological Products, and Medical Devices, 1987.
3. Guide to Inspections of Lyophilization of Parenterals, 1993.
4. Guide to Inspections of High-Purity Water Systems, 1993.
5. Guide to Inspections of Microbiological Pharmaceutical Quality Control Laboratories, 1993.

6. Guide to Inspections of Sterile Drug Substance Manufacturers, 1994.
7. Pyrogens: Still a Danger, 1979 (Inspection Technical Guide); Bacterial Endotoxins/Pyrogens, 1985 (Inspection Technical Guide).
8. Heat Exchangers to Avoid Contamination, 1979 (Inspection Technical Guide).
9. Guidance for Industry: Container and Closure Integrity Testing in Lieu of Sterility Testing as a Component of the Stability Protocol for Sterile Products, 1999.
10. Compliance Policy Guide 7132a.13: Parametric Release of Terminally Heat Sterilized Drug Products, 1987.
11. Compliance Policy Guide 7150.16: Status and Responsibilities of Contract Sterilizers Engaged in the Sterilization of Drugs and Devices, 1995.
12. Compliance Program CP7346.832: Pre-Approval Inspections/Investigations, 1994.
13. Compliance Program CP7346.843: Post-Approval Audit Inspections, 1992.
14. Compliance Program CP7346.002A: Sterile Drug Process Inspections, Foreign Inspection Guide, 1992.
15. Laboratory Inspection Guide, 1993.
16. Cleaning Validation Inspection Guide, 1993.

GLOSSARY

- Action Limit:** an established microbial or particulate level which, when exceeded, should trigger appropriate investigation and corrective action based on the investigation.
- Airlock:** a small room with interlocked doors, constructed to maintain air pressure control between adjoining rooms (generally with different air cleanliness standards). The intent of an aseptic processing airlock is to preclude ingress of particulate matter and microorganism contamination from a lesser-controlled area.
- Alert Limit:** an established microbial or particulate level giving early warning of potential drift from normal operating conditions and triggering appropriate scrutiny and follow-up to address the potential problem. Alert limits are always lower than action limits.
- Asepsis:** state of control attained by using an aseptic work area and performing activities in a manner that precludes microbiological contamination of the exposed sterile product.
- Aseptic Processing Facility:** building containing clean rooms in which air supply, materials, and equipment are regulated to control microbial and particulate contamination.
- Aseptic Processing Room:** a room in which one or more aseptic activities or processes are performed.
- Atmosphere, The Earth's:** the envelope of gases surrounding the earth, exerting under gravity a pressure at the earth's surface, which includes by volume 78% nitrogen, 21% oxygen, and small quantities of hydrogen, carbon dioxide, noble gases, water vapor, pollutants, and dust.
- Atmospheric Pressure:** the pressure exerted at the earth's surface by the atmosphere. For reference purposes a standard atmosphere is defined as 760 torr or mm Hg, or 760,000 mm.
- Backstreaming:** a process that occurs at low chamber pressures wherein hydrocarbon vapors from the vacuum system can enter the product chamber.
- Barrier:** physical partition that affords aseptic manufacturing zone protection by partially separating it from the surrounding area.
- Bioburden:** total number of microorganisms associated with a specific item prior to sterilization.
- Biological Indicator (BI):** a population of microorganisms inoculated onto a suitable medium (e.g., solution, container/closure) and placed within appropriate sterilizer load locations to determine the sterilization cycle efficacy of a physical or chemical process. The challenged microorganism is selected based on its resistance to the given process. Incoming lot *D*-value and microbiological count define the quality of the BI.
- Blank-Off Pressure:** the ultimate pressure the pump or system can attain.
- Blower:** this pump is positioned between the mechanical pump and the chamber. It operates by means of two lobes turning at high speed. It is used to reduce the chamber pressure to less than 20 mm. See Mechanical Booster Pump.
- Breaking Vacuum:** admitting air or a selected gas to an evacuated chamber, while isolated from a vacuum pump, to raise the pressure toward, or up to, atmospheric.
- Circulation Pump:** a pump for conveying the heat transfer fluid.
- Clean Area:** an area with defined particulate and microbiological cleanliness standards (e.g., Class 100, Class 10,000, or Class 100,000).
- Clean Zone:** see Clean Area.
- Clean Room:** a room designed, maintained, and controlled to prevent particulate and microbiological contamination of drug products. Such a room is assigned and must meet an appropriate air cleanliness classification.
- Colony-Forming Unit (CFU):** a microbiological term that describes the formation of a single macroscopic colony after the introduction of one or more microorganism(s) into microbiological growth media. One colony-forming unit is expressed as 1 CFU.
- Component:** any ingredient intended for use in the manufacture of a drug product, including one that may not appear in the final drug product.
- Conax Connection:** a device to pass thermocouple wires through and maintain a vacuum-tight vessel.

- Condenser (Cold Trap):** in terms of the lyophilization process, the vessel that collects the moisture on plates and holds it in the frozen state. Protects the vacuum pump from water vapor contaminating the vacuum pump oil.
- Condenser/Receiver:** in terms of refrigeration, the unit that condenses (changes) the hot refrigerant gas into a liquid and stores it under pressure to be reused by the system.
- Contamination:** in the vacuum system, the introduction of water vapor into the oil in the vacuum pump, which then causes the pump to lose its ability to attain its ultimate pressure.
- Cooling:** lowering the temperature in any part of the temperature scale.
- Critical Areas:** areas designed to maintain sterility of sterile materials. Sterilized product, container/closures, and equipment may be exposed in critical areas.
- Critical Surfaces:** surfaces that may come into contact with or directly impact on sterilized product or containers/closures. Critical surfaces are rendered sterile prior to the start of the manufacturing operation, and sterility is maintained throughout processing.
- D-Value:** the time (minutes) of exposure to a given temperature that causes a 1-log or 90% reduction in the population of a specific microorganism.
- Decontamination:** a process that eliminates viable bioburden via use of sporicidal chemical agents.
- Defrosting:** the removal of ice from a condenser by melting or mechanical means.
- Degree of Crystallization:** the ratio of the energy released during the freezing of a solution to that of an equal volume of water.
- Degree of Supercooling:** the number of degrees below the equilibrium freezing temperature where ice first starts to form.
- Depyrogenation:** a process used to destroy or remove pyrogens (e.g., endotoxin).
- Desiccant:** a drying agent.
- Dry:** free from liquid or moisture, or both.
- Drying:** the removal of moisture and other liquids by evaporation.
- Dynamic:** conditions relating to clean-area classification under conditions of normal production.
- Endotoxin:** a pyrogenic product (e.g., lipopolysaccharide) present in the bacterial cell wall. Endotoxin can lead to reactions ranging from fever to death in patients receiving injections.
- Equilibrium Freezing Temperature:** the temperature at which ice will form in the absence of supercooling.
- Eutectic Temperature:** a point of a phase diagram at which all phases are present and the temperature and composition of the liquid phase cannot be altered without one of the phases disappearing.
- Expansion Tank:** this tank is located in the circulation system and is used as a holding and expansion tank for the transfer liquid.
- Filter or Filter/Drier:** two systems have their systems filtered or filter/dried: the circulation and refrigeration systems. In the newer dryers, this filter or filter/dryer is the same and can be replaced with a new core.
- Free Water:** water that is absorbed on the surfaces of a product and must be removed to limit further biological and chemical reactions.
- Freezing:** the absence of heat. A controlled change of the product temperature as a function of time, during the freezing process, so as to ensure a completely frozen form.
- Gas Ballast:** used in the vacuum system on the vacuum pump to decontaminate small amounts of moisture in the vacuum pump oil.
- Gas Bleed (Vacuum Control):** to control the pressure in the chamber during the cycle to help the drying process. In freeze-drying, the purpose is to improve heat transfer to the product.
- Gowning Qualification:** program that establishes, both initially and on a periodic basis, the capability of an individual to don the complete sterile gown in an aseptic manner.
- Heat Exchanger:** the exchanger located in circulation and refrigeration systems that transfers heat from the circulation system to the refrigeration system.
- Heat Transfer Fluid:** a liquid of suitable vapor pressure and viscosity range for transferring heat to or from a component, for example, a shelf or condenser in a freeze-dryer. The choice of such a fluid may depend on safety considerations. Diathermic fluid.
- HEPA filter:** high-efficiency particulate air filter with minimum 0.3- μm particle-retaining efficiency of 99.97%.
- Hot Gas Bypass:** a refrigeration system to control the suction pressure of the big four (20–30 hp) compressors during the refrigeration operation.
- Hot Gas Defrost:** a refrigeration system to defrost the condenser plates after the lyophilization cycle is complete.
- HVAC:** heating, ventilation, and air conditioning.
- Ice:** the solid, crystalline form of water.
- Inert Gas:** any gas of a group including helium, radon, and nitrogen, formerly considered chemically inactive.
- Interstage:** in a two-stage compressor system, the crossover piping on top of the compressor that connects the low side to the high side. One could also think of it as low side, intermediate, and high side.
- Interstage Pressure Regulating Valve:** valve that prevents the interstage pressure from exceeding 80 to 90 psi. This valve opens to suction as the interstage pressure rises above 80 to 90 psi.
- Intervention:** an aseptic manipulation or activity that occurs at the critical zone.
- Isolator:** a decontaminated unit, supplied with HEPA- or ULPA-filtered air, that provides uncompromised, continuous isolation of its interior from the external environment (e.g., surrounding clean-room air and personnel).

- Laminarity:** unidirectional airflow at a velocity sufficient to uniformly sweep particulate matter away from a critical processing or testing area.
- Lexsol:** a heat transfer fluid (high grade kerosene).
- Liquid Subcooler Heat Exchanger:** the liquid refrigerant leaving the condenser/receiver at cooling water temperature is subcooled to a temperature of +15°F (−10°C) to −15°F (−25°C); see Subcooled Liquid.
- Lyophilization:** a process in which the product is first frozen and then, while still in the frozen state, the major portion of the water and solvent system is reduced by sublimation and desorption so as to limit biological and chemical reactions at the designated storage temperature.
- Main Vacuum Valve:** this valve between the chamber and external condenser to isolate the two vessels after the process is finished. This valve protects the finished product. See Vapor Valve.
- Matrix:** in terms of the lyophilization process, a system of ice crystals and solids that is distributed throughout the product.
- Mechanical Booster Pump:** a roots pump with a high displacement for its size but a low compression ratio. When backed by an oil-seal rotary pump, the combination is an economical alternative to a two-stage, oil-sealed rotary pump, with the advantage of obtaining a high vacuum. See Blower.
- Mechanical Vacuum Pump:** the mechanical pumping system that lowers the pressure in the chamber to below atmospheric pressure so that sublimation can occur.
- Melting Temperature (Meltback):** that temperature at which mobile water first becomes evident in a frozen system.
- Micron:** a unit of pressure used in the lyophilization process. 1 mm = 1 Mtorr or 25,400 mm = 1 in Hg, or 760,000 mm = 1 atm. See Torr.
- Noncondensables:** a mixture of gases such as nitrogen, hydrogen, chlorine, and hydrocarbons, which may be drawn into the system through leaks when part of the system is under a vacuum. Presence of the gases reduces the operating efficiency of the system by increasing the condensing pressure.
- Nucleation:** the formation of ice crystals on foreign surfaces or as a result of the growth of water clusters.
- Oil-Mist Filter:** in vacuum terminology, a filter attached to the discharge (exhaust) of an oil-sealed rotary pump to eliminate most of the “smoke” of suspended fine droplets of oil that would be discharged into the environment.
- Oil-Sealed Rotary Pump:** a standard type of mechanical vacuum pump used in freeze-drying with a high compression ratio but a relatively low displacement (speed) for its size. A two-stage pump is effectively two such pumps in series and can obtain an ultimate vacuum.
- Oil Separator:** separates the oil from the compressor discharge gas and returns the oil through the oil float trap and piping to the compressor crankcase.
- Operator:** any individual participating in the aseptic processing operation, including line setup, filler, maintenance, or other personnel associated with aseptic line activities.
- Overkill Sterilization Process:** a process that is sufficient to provide at least a 12-log reduction of microorganisms having a minimum *D*-value of 1 minute.
- Pyrogen:** substance that induces a febrile reaction in a patient.
- Real Leak:** a source of atmospheric gases resulting from a penetration through the chamber.
- Reconstitute:** dissolving of the dried product into a solvent or diluent.
- Relief Valve:** used for safety purposes to prevent damage in case excessive pressure is encountered.
- Rotary Vane Pump:** a mechanical pumping system with sliding vanes as the mechanical seal. Can be single or two stages.
- Self-Liquid Heat Exchanger:** transfer of heat from the shelf fluid to the refrigeration system through tubes in the exchanger causing compressor suction gas to warm.
- Shelf Compressor (Controlling Compressor):** for controlling shelf temperature, either by cooling or by preventing overheating.
- Shelves:** in terms of the lyophilization process, a form of heat exchanger within the chamber that has a serpentine liquid flow through it, entering one side and flowing to the other side. Located in the circulation system.
- Silicone Oil:** a heat-transfer fluid.
- Single-Stage Compressor:** a normal type compressor used in refrigeration. In the lyophilization process, used to control the shelf temperature, both for cooling and keeping the shelf temperature from overheating by using a temperature controller.
- Sterilization:** the use of steam and pressure to kill any bacteria that could contaminate that environment or vessel.
- Sterilizing-Grade Filter:** a filter which, when appropriately validated, removes all microorganisms from a fluid stream, producing a sterile effluent.
- Subcooled Liquid:** the liquid refrigerant cooled through an exchanger so that it increases the refrigerating effect as well as reducing the volume of gas flashed from the liquid refrigerant passing through the expansion valve. See Liquid Subcooler Heat Exchanger.
- Sublimation:** conversion of a material from a solid phase directly to a vapor phase, without passing through the liquid phase. Referred to as the primary drying stage.
- Suction Line Accumulator:** to prevent refrigerant liquid slug (droplets of liquid refrigerant) from returning to the compressor and damaging it.
- Temperature:** the degree of hotness or coldness of a body.
- Terminal Sterilization:** the application of a lethal agent to sealed, finished drug products to achieve a predetermined sterility assurance level of usually less than 10⁶ (i.e., a probability of a nonsterile unit of greater than one in a million).

Thermocouple: a metal-to-metal contact between wires of two dissimilar metals that produces a small voltage across the free ends of the wires.

Thermostatic Expansion Valve: an automatic variable device controlling the flow of liquid refrigerant.

Torr: a unit of measure equivalent to the amount of pressure in 1000 mm. See Micron.

Trichloroethylene (TCE): a heat-transfer fluid.

Two-Stage Compressor: a specially built compressor that attains low temperatures by being able to operate at low pressures. It is two compressors built into one: a low stage connected internally and a high stage connected externally with piping, called interstage. See Interstage.

ULPA Filter: ultra-low penetration air filter with a minimum 0.3 μm particle-retaining efficiency of 99.999%.

Unloading Valve: the valve that connects the interstage with suction to equalize both pressures during pump-down.

Vacuum: strictly speaking, a space in which the total pressure is less than atmospheric.

Vacuum Control (Gas Bleed): to assist in the rate of sublimation by controlling the pressure in the lyophilizer.

Vacuum Pump: a mechanical way of reducing the pressure in a vessel below atmospheric pressure at which sublimation can occur. There are three types of pumps: rotary vane, rotary piston, and mechanical booster.

Vacuum Valves: ball- or disk-type valves that can seal without leaking. The ball types are used for services to the chamber and condenser and also for drains and isolation applications. The disk types are used in the vacuum line system and are connected to the vacuum pump, chamber, and condenser.

Validation: establishing documented evidence that provides a high degree of assurance that a specific process will consistently produce a product meeting its predetermined specifications and quality attributes.

Vapor Baffle: a target-shaped object placed in the condenser to direct vapor flow and to promote an even distribution of condensate.

Vapor Valve: the vacuum valve between the chamber and external condenser. When this valve is closed, the chamber is isolated from the external condenser. Also known as the main vapor valve. See Main Vacuum Valve.

Vial: a small glass bottle with a flat bottom, short neck, and flat flange designed for stoppering.

Virtual Leak: in the vacuum system, the passage of gas into the chamber from a source that is located internally in the chamber.

Worst Case: a set of conditions encompassing upper and lower processing limits and circumstances, including those within standard operating procedures, that pose the greatest chance of process or product failure (when compared to ideal conditions). Such conditions do not necessarily induce product or process failure.

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3 New Drug Application for Sterilized Products

I. INTRODUCTION

The efficacy of a given sterilization process for a specific drug product is evaluated on the basis of a series of protocols and scientific experiments designed to demonstrate that the sterilization process and associated control procedures can reproducibly deliver a sterile product. Data derived from experiments and control procedures allow conclusions to be drawn about the probability of nonsterile product units (sterility assurance level). Whether a drug product is sterilized by a terminal sterilization process or by an aseptic filling process, the efficacy of the sterilization process may be validated without the manufacture of three production batches. Sterilization process validation data, however, should be generated by procedures and conditions that are fully representative and descriptive of the procedures and conditions proposed for manufacture of the product in the application.

II. TERMINAL HEAT STERILIZATION

A. DESCRIPTION OF THE PROCESS AND PRODUCT

1. *Drug product and container/closure system.* Descriptions of the drug product and the container/closure system(s) to be sterilized (e.g., size(s), fill volume, or secondary packaging) should be provided.
2. *Sterilization process.* The sterilization process used to sterilize the drug product in its final container/closure system, as well as any other sterilization process(es) used to sterilize delivery sets, components, packaging, bulk drug substance or bulk product, and related items, should be described. Information and data in support of the efficacy of these processes should also be submitted.
3. *Autoclave process and performance specifications.* The autoclave process, including pertinent information such as cycle type (e.g., saturated steam, water immersion, and water spray); cycle parameters; and performance specifications, including temperature, pressure, time, and minimum and maximum F_0 , should be described. The autoclave(s) to be used for production sterilization, including manufacturer and model, should be identified.
4. *Autoclave loading patterns.* A description of representative autoclave loading patterns should be provided.
5. *Methods and controls to monitor production cycles.* Methods and controls used to monitor routine production cycles (e.g., thermocouples, pilot bottles, and

biological indicators) should be described, including the number and location of each as well as acceptance and rejection specifications.

6. *Requalification of production autoclaves.* A description of the program for routine and unscheduled requalification of production autoclaves, including frequency, should be provided.
7. *Reprocessing.* A description and validation summary of any program that provides for reprocessing (e.g., additional thermal processing) of product should be provided.

B. THERMAL QUALIFICATION OF THE CYCLE

1. *Heat distribution and penetration studies.* Heat distribution and penetration study protocols and data summaries that demonstrate the uniformity, reproducibility, and conformance to specifications of the production sterilization cycle should be provided. Results from a minimum of three consecutive successful cycles should be provided to ensure that the results are consistent and meaningful.
2. *Thermal monitors.* The number of thermal monitors used and their location in the chamber should be described. A diagram is helpful.
3. *Effects of loading on thermal input.* Data should be generated with minimum and maximum load to demonstrate the effects of loading on thermal input to product. Additional studies may be necessary if different fill volumes are used in the same container line. Data summaries are acceptable for these purposes. A summary should consist of, for example, high and low temperatures (range), average temperature during the dwell period, minimum and maximum F_0 values, dwell time, run date and time, and identification of the autoclave(s) used. These data should have been generated from studies carried out in production autoclave(s) that will be used for sterilization of the product that is the subject of the application.
4. *Information included in the batch record.* The batch record supplied with the chemistry, manufacturing, and controls section of the application should identify the validated processes to be used for sterilization and for depyrogenation of any container/closure components. This information can be included in the batch record by reference to the validation protocol or standard operating procedure (SOP). Validation information should be provided as described previously.

C. MICROBIOLOGICAL EFFICACY OF THE CYCLE

Validation studies that demonstrate the efficacy (lethality) of the production cycle should be provided. A sterility assurance of 10^{-6} or better should be demonstrated for any terminal sterilization process. This level of sterility assurance should be demonstrated for all parts of the drug product (including the container and closure, if applicable) which are claimed to be sterile. The specific type of study and the methods used to carry out the study (or studies) are product and process specific and may vary from manufacturer to manufacturer. In general, the following types of information and data should be provided.

1. *Identification and characterization of bioburden organisms.* The methods and results from studies used to identify and characterize bioburden organisms should be described. The amount and type of information supplied may depend on the validation strategy chosen. For example, more information may be needed for bioburden-based autoclave processes than for overkill processes. Information concerning the number, type, and resistance of bioburden organisms may be necessary, including those organisms associated with the product solution and the container and closure. It may be necessary to identify the most heat-resistant bioburden organisms.
2. *Specifications for bioburden.* Specifications (alert and action levels) for bioburden should be provided. A description should be included of the program for routinely monitoring bioburden to ensure that validated and established limits are not exceeded (e.g., frequency of analysis and methods used in bioburden screening). The methods provided should be specific.
3. *Identification, resistance, and stability of biological indicators.* Information and data concerning the identification, resistance (D and Z values), and stability of biological indicators used in the biological validation of the cycle should be provided. If biological indicators are purchased from a commercial source, it may be necessary to corroborate the microbial count and resistance, and provide performance specifications.
4. *Resistance of the biological indicator relative to that of bioburden.* Studies characterizing the resistance of the biological indicator relative to that of bioburden may be necessary. Resistance in or on the product (i.e., in the product solution or on the surface of container or closure parts or interfaces) should be determined as necessary. If spore carriers are used (e.g., spore strips), the resistance of spores on the carrier relative to that of directly inoculated product should be determined, if necessary.
5. *Microbiological challenge studies.* Microbiological validation studies should be submitted that demonstrate the efficacy of the minimum cycle to provide

a sterility assurance of 10^{-6} or better to the product under the most difficult to sterilize conditions (e.g., the most difficult to sterilize load with biological indicators at microbiological master sites or in master product or both). Use of a microbiological master product or site should be supported by scientific data. Microbiological master sites or solutions are those sites or solutions in which it is most difficult to kill the biological indicator under sterilization cycles that simulate production conditions.

D. MICROBIOLOGICAL MONITORING OF THE ENVIRONMENT

Section 211.160 of the CFR requires, in part, the establishment of scientifically sound and appropriate specifications, standards, sampling plans, and test procedures designed to ensure that components, drug product containers, closures, in-process materials, and drug products conform to appropriate quality standards. Therefore, a microbiological monitoring program for production areas along with a bioburden monitoring program for product components and process water should be established. Process water includes autoclaved cooling water. Applicants should provide information concerning this program. Frequency, methods used, action levels, and data summaries should be included. A description of the actions taken when specifications are exceeded should be provided.

E. CONTAINER/CLOSURE AND PACKAGE INTEGRITY

An applicant should provide scientific validation studies (and data) in support of the microbial integrity of the drug packaging components. The following types of information should be included:

1. *Simulation of the stresses from processing.* Experimental designs should simulate the stresses of the sterilization process, handling, and storage of the drug and their effects on the container/closure system. Physical, chemical, and microbiological challenge studies may be necessary.
2. *Demonstrate integrity following maximum exposure.* Container/closure integrity should be demonstrated on product units that have been exposed to the maximum sterilization cycle(s). If a product is exposed to more than one process, then exposure to the maximum cycle of all processes should be incorporated into the study design.
3. *Multiple barriers.* Each barrier that separates areas of the drug product claimed to be sterile should be separately evaluated and validated.
4. *Sensitivity of the test.* The sensitivity of the experimental method used for container/closure integrity testing should be specified and provided.
5. *Integrity over product shelf life.* Microbial integrity of the container/closure system should be demonstrated over the shelf life of the product.

F. BACTERIAL ENDOTOXINS TEST AND METHOD

The bacterial endotoxins test used for the product should be described. The description should include qualification of the laboratory, inhibition and enhancement testing and results, determination of non-inhibitory concentration, and maximum valid dilution. For further information, see the agency guidance entitled "Guideline on Validation of the Limulus Amebocyte Lysate Test as an End-Product Endotoxin Test for Human and Animal Parenteral Drugs, Biological Products, and Medical Devices."

G. STERILITY TESTING METHODS AND RELEASE CRITERIA

Sterility test methods should be described and should include the protocol for selecting representative units during production. When test methods differ significantly from compendial test methods, a demonstration of the equivalency to the compendial method should be provided. Testing performed within barrier systems should be described, and information concerning validation of the barrier system may be necessary.

H. EVIDENCE OF FORMAL WRITTEN PROCEDURES

Section 211.113(b) of the CFR requires that written procedures designed to prevent microbiological contamination of drug products purporting to be sterile be established and followed. Such procedures should include validation of any sterilization process. Therefore, evidence should be provided that there are formal written procedures describing the elements listed previously and that these procedures are followed. Such evidence may consist of SOPs, listing of SOPs, and protocols submitted as part of these elements.

III. OTHER TERMINAL STERILIZATION PROCESSES

Although the information provided previously directly addresses moist heat processes, the same type of information will pertain to other terminal sterilization processes used singly or in combination to sterilize a drug product. The types of information outlined are, in general, also applicable to ethylene oxide and radiation (gamma and electron beam). These other processes should be addressed as each applies to the drug product, sterile packaging, and in-process sterilization of components. Examples of such information might include descriptions of loading configurations; qualification and validation of master load configurations; determination and validation of the efficacy of the minimum cycle to provide sterility assurance at the product master sites; requalification of the cycle; provisions for resterilization, specifications, and monitoring program for product bioburden; and container/closure integrity. Specific examples are provided to demonstrate the application of these concepts to other sterilization processes. Additional information relating to the effects of the sterilization process on the chemical and physical attributes of the drug substance or drug product

may be applicable and should be supplied to the chemistry, manufacturing, and controls section of the application.

A. ETHYLENE OXIDE

1. *Description of the sterilizer.* The sterilizer(s) and controlled site(s) for prehumidification and aeration of the product load should be described.
2. *Cycle parameters.* The parameters and limits for all phases of the cycle, such as prehumidification, gas concentration, vacuum and gas pressure cycles, exposure time and temperature, humidity, degassing, aeration, and determination of residuals, should be specified. Specific procedures used to monitor and control routine production cycles to assure that performance is within validated limits should be provided.
3. *Microbiological methods.* The microbiological methods (growth medium, incubation temperature, and time interval) for cultivating spores from inoculated samples during validation experiments should be described as well as the microbiological methods used as part of routine production cycles.
4. *Stability.* The program for monitoring the stability of packaging and the integrity of the container/closure system barrier over the claimed shelf life should be described.

B. RADIATION

1. *Facility and process.* The radiation facility should be identified. The radiation source, method of exposure (i.e., movement through the irradiator), and the type and location of dosimeters used to monitor routine production loads should be described. If the low-dose site is not used for routine monitoring, data that show the dose relationship between the two sites should be provided.
2. *Packaging of the product.* The packaging of the drug product within the shipping carton and within the carrier should be described.
3. *Multiple-dose mapping studies.* Multiple-dose mapping studies for identification of low- and high-dose sites and demonstration of uniformity and reproducibility of the process should be described.
4. *Microbiological methods and controls.* The microbiological methods and controls used to establish, validate, and audit the efficacy of the cycle should be described.
5. *Monitoring stability.* The program for monitoring the stability of packaging and the integrity of the container/closure system barrier over the claimed shelf life should be described.

IV. ASEPTIC FILL MANUFACTURING PROCESSES

The following types of information should be submitted in support of sterility assurance for products manufactured by aseptic processing.

A. BUILDINGS AND FACILITIES

A brief description of the manufacturing building and facilities should be provided. The following information should be included:

1. *Floor plan.* A floor plan of the areas holding the aseptic filling facilities, including preparation and holding areas, filtering and filling areas, and gowning rooms, should be included. The air cleanliness class of each area should be identified (e.g., Class 100, Class 10,000, Class 100,000). Isolators or barrier systems should be identified.
2. *Location of equipment.* The placement of all critical equipment, including, but not limited to, laminar flow hoods, autoclaves, lyophilizers, and filling heads, should be identified. Equipment within barrier or isolation systems should be noted.

B. OVERALL MANUFACTURING OPERATION

The overall manufacturing operation including, for example, material flow, filling, capping, and aseptic assembly, should be described. The normal flow (movement) of product and components from formulation to finished dosage form should be identified and indicated on the floor plan described above. The following information should be considered when describing the overall manufacturing operation.

1. *Drug product solution filtration.* The specific bulk drug product solution filtration processes, including tandem filter units, prefilters, and bacterial retentive filters, should be described. A summary should be provided containing information and data concerning the validation of the retention of microbes and compatibility of the filter used for the specific product. Any effects of the filter on the product formulation should be described (e.g., adsorption of preservatives or active drug substance, or extractables).
2. *Specifications concerning holding periods.* Section 211.111 of the CFR requires, in part, when appropriate, the establishment of time limits for completing each phase of production to ensure the quality of the drug product. Therefore, specifications concerning any holding periods between the compounding of the bulk drug product and its filling into final containers should be provided. These specifications should include holding tanks, times, temperatures, and conditions of storage. Procedures used to protect microbiological quality of the bulk drug during these holding periods should be indicated. Maintenance of the microbiological quality during holding periods may need verification.
3. *Critical operations.* The critical operations that expose product or product contact surfaces to the environment (such as transfer of sterilized containers or closures to the aseptic filling areas) should be

described. Any barrier or isolation systems should be described.

C. CONTAINERS AND CLOSURES

The sterilization and depyrogenation processes used for containers, closures, equipment, components, and barrier systems should be described. A description of the validation of these processes should be provided including, where applicable, heat distribution and penetration summaries, biological challenge studies (microbiological indicators and endotoxins), and routine monitoring procedures. Validation information for sterilization processes other than moist heat should also be included. Methods and data (including controls) demonstrating distribution and penetration of the sterilant and microbiological efficacy of each process should be submitted. The section of this guidance concerning terminal sterilization contains information that may be of further assistance.

1. *Bulk drug solution components sterilized separately.* If the bulk drug solution is aseptically formulated from components that are sterilized separately, information and data concerning the validation of each of these separate sterilization processes should be provided.
2. *Sterilization information in batch records.* The completed batch record supplied with the chemistry, manufacturing, and controls section of the application should identify the validated processes to be used for sterilization and depyrogenation of any container/closure components. This information may be included in the batch record by reference to the validation protocol or SOP.

D. PROCEDURES AND SPECIFICATIONS FOR MEDIA FILLS

The procedures and specifications used for media fills and summaries of results for validation using the same container/closure system and filling process that is to be used for the product should be described. The microbiological testing method(s) used should be described. Any procedural differences between the media fill and the production process should be indicated. A summary of recent media fill results, including failures, should be provided. These data should be obtained by the same filling line(s) that is to be used for the drug product. The following are recommended to be included with the data summary for each media fill run described.

1. *The filling room.* The aseptic filling area used should be identified and related to the floor plan.
2. Container/closure type and size.
3. Volume of medium used in each container.
4. Type of medium used.
5. Number of units filled.
6. Number of units incubated.
7. Number of units positive.

8. *Incubation parameters.* The incubation time and temperature for each group of units incubated and specifications for any group of units subjected to two (or more) different temperatures should be specified.
9. Date of each media fill.
10. *Simulations.* The procedures used to simulate any steps of a normal production fill should be described. This might include, for example, slower line speed, personnel shift changes, equipment failure and repair, mock lyophilization, and substitution of vial headspace gas.
11. *Microbiological monitoring.* The microbiological monitoring data obtained during the media fill runs should be provided.
12. *Process parameters.* The parameters used for production filling and for media fills (e.g., line speed, fill volume, number of containers filled, or duration of fill) should be compared.

E. ACTIONS CONCERNING PRODUCT WHEN MEDIA FILLS FAIL

The disposition of product made before and after a failed media fill should be described. The description should include details of investigations, reviews, and how decisions are made to reject or release product.

F. MICROBIOLOGICAL MONITORING OF THE ENVIRONMENT

The microbiological monitoring program used during routine production and media fills should be described. The frequency of monitoring, type of monitoring, sites monitored, alert and action level specifications, and precise descriptions of the actions taken when specifications are exceeded should be included.

1. *Microbiological methods.* The microbiological materials and methods used in the environmental monitoring program should be described. Methods may include sample collection, transport, neutralization of sanitizers, incubation, and calculation of results. The following are sources of microbial contamination and their monitoring that should be addressed, including specifications:
 - Airborne microorganisms
 - Microorganisms on inanimate surfaces
 - Microorganisms on personnel
 - Water systems
 - Product component bioburden
2. *Yeasts, molds, and anaerobic microorganisms.* A description of periodic or routine monitoring methods used for yeasts, molds, and anaerobes should be provided.
3. *Exceeded limits.* A description of the actions taken when specifications are exceeded should be provided.

G. CONTAINER/CLOSURE AND PACKAGE INTEGRITY

The methods and results demonstrating the integrity of the microbiological barrier of the container/closure system should be summarized. This should include testing for initial validation. The procedures used for the stability protocol also should be described. For initial validation of microbiological integrity of container/closure systems, product sterility testing is not normally considered sufficient. The sensitivity of the experimental method used for container/closure integrity testing should be specified and provided.

H. STERILITY TESTING METHODS AND RELEASE CRITERIA

Sterility test methods should be described and should include the protocol for selecting representative units during production. For a drug product represented to be a drug recognized in an official compendium, when test methods differ significantly from official compendial test methods, a demonstration of the equivalency to the official compendial method should be provided. Testing performed within barrier systems should be discussed, and information concerning validation of the barrier system may be necessary.

I. BACTERIAL ENDOTOXINS TEST AND METHOD

The bacterial endotoxins test used for the product should be described, if applicable. This description should include qualification of the laboratory, inhibition and enhancement testing and results, determination of non-inhibitory concentration, and maximum valid dilution. For further information see the agency guidance entitled "Guidance on Validation of the Limulus Amebocyte Lysate Test as an End-Product Endotoxin Test for Human and Animal Parenteral Drugs, Biological Products, and Medical Devices."

J. EVIDENCE OF FORMAL WRITTEN PROCEDURES

Evidence should be provided that there are formal written procedures describing the elements listed previously and that these procedures are followed. Such evidence may consist of SOPs or a listing of SOPs or protocols submitted as part of the elements listed previously.

V. MAINTENANCE OF MICROBIOLOGICAL CONTROL AND QUALITY: STABILITY CONSIDERATIONS

A. CONTAINER/CLOSURE INTEGRITY

The ability of the container/closure system to maintain the integrity of its microbial barrier and hence the sterility of a drug product throughout its shelf life should be demonstrated. As previously stated, sterility testing at the initial time point is not considered sufficient to demonstrate the microbial integrity of a container/closure system. Documentation of the sensitivity of the container/closure integrity test should be provided.

B. PRESERVATIVE EFFECTIVENESS

The efficacy of preservative systems to control bacteria and fungi inadvertently introduced during drug product use should be demonstrated at the minimum concentration specified for drug product release or at the minimum concentration specified for the end of the expiration dating period, whichever is less. Because the efficacy of preservative systems is judged by their effect on microorganisms, microbial challenge assays should be performed. The United States Pharmacopoeia (USP) provides a microbial challenge assay under the title “Antimicrobial Preservatives—Effectiveness.” For purposes of the stability protocol, the first three production lots should be tested with a microbial challenge assay

at the beginning and end of the stability period. Chemical assays to monitor the concentration of preservatives should be performed at all test intervals. For subsequent lots placed on stability, chemical assays may be adequate to demonstrate the presence of specified concentrations of preservatives, and such testing should be carried out according to the approved stability study protocol.

C. PYROGEN OR ENDOTOXIN TESTING

For drug products purporting to be pyrogen-free, it is recommended that pyrogen or endotoxin tests be carried out at the beginning and end of the stability period as part of the approved stability study protocol.

4 Validation of Cleaning Process

I. INTRODUCTION

Validation of cleaning procedures has generated considerable discussion since FDA documents, including the “Inspection Guide for Bulk Pharmaceutical Chemicals” and “Biotechnology Inspection Guide”, have briefly addressed this issue. These FDA documents clearly establish the expectation that cleaning procedures (processes) must be validated. It is recognized that for cleaning validation, as with validation of other processes, more than one way might exist to validate a process. In the end, the test of any validation process is whether or not scientific data show that the system consistently does as expected and produces a result that consistently meets predetermined specifications. The discussion in this chapter is intended to cover equipment cleaning for chemical residues only. While cleaning validation in the manufacture of over-the-counter (OTC) products may not be as great a concern as for the manufacture of other drugs, it is an important component of current good manufacturing practices (cGMPs) that requires reiteration, which is why this chapter has been included in a book dealing with OTC drugs.

II. BACKGROUND

For the FDA to require that equipment be clean prior to use is nothing new. The 1963 GMP Regulations (Part 133.4) stated as follows: “Equipment shall be maintained in a clean and orderly manner.” A similar section on equipment cleaning (211.67) was included in the 1978 cGMP regulations. Of course, the main rationale for requiring clean equipment is to prevent contamination or adulteration of drug products. Historically, FDA investigators have looked for gross insanitation due to inadequate cleaning and maintenance of equipment and/or poor dust-control systems. Also, historically speaking, the FDA was more concerned about contamination of nonpenicillin drug products with penicillins or cross-contamination of drug products with potent steroids or hormones. A number of products have been recalled over the past decade due to actual or potential penicillin cross-contamination.

One event that increased FDA awareness of the potential for cross-contamination due to inadequate procedures was the 1988 recall of a finished drug product, cholestyramine resin USP. The bulk pharmaceutical chemical used to produce the product had become contaminated with low levels of intermediates and degradants from the production of agricultural pesticides. The FDA instituted an import alert in 1992 on a foreign bulk pharmaceutical manufacturer that manufactured potent steroid products as well as nonsteroidal products using common equipment. This firm was a multiuse bulk pharmaceutical facility. The FDA considered the potential for

cross-contamination to be significant and to pose a serious health risk to the public. The firm had only recently started a cleaning validation program at the time of the inspection, and it was considered inadequate by the FDA. One of the reasons why it was considered inadequate was that the firm was only looking for evidence of the absence of the previous compound. The firm had evidence, from TLC tests on the rinse water, of the presence of residues of reaction byproducts and degradants from the previous process.

III. GENERAL REQUIREMENTS

- The FDA expects firms to have written SOPs detailing the cleaning processes used for various pieces of equipment. If firms have one cleaning process for cleaning between different batches of the same product and use a different process for cleaning between product changes, the FDA expects the written procedures to address these different scenarios. Similarly, if firms have one process for removing water-soluble residues and another process for non-water-soluble residues, the written procedure should address both scenarios and make it clear when a given procedure is to be followed. Bulk pharmaceutical firms may decide to dedicate certain equipment for particular chemical manufacturing process steps that produce tarry or gummy residues that are difficult to remove from the equipment. Fluid-bed dryer bags are another example of equipment that is difficult to clean and is often dedicated to a specific product. Any residues from the cleaning process itself (detergents, solvents, and so on) also have to be removed from the equipment.
- The FDA expects firms to have written general procedures on how cleaning processes will be validated.
- The FDA expects the general validation procedures to address who is responsible for performing and approving the validation study, the acceptance criteria, and when revalidation will be required.
- The FDA expects firms to prepare specific written validation protocols in advance for the studies to be performed on each manufacturing system or piece of equipment, which should address such issues as sampling procedures and analytical methods to be used, including the sensitivity of those methods.
- The FDA expects firms to conduct the validation studies in accordance with the protocols and to document the results of studies.
- The FDA expects a final validation report that is approved by management and states whether or not the cleaning process is valid. The data should

support a conclusion that residues have been reduced to an “acceptable level.”

IV. EVALUATION OF CLEANING VALIDATION

The first step is to focus on the objective of the validation process; some companies fail to develop such objectives prior to establishing all sorts of protocols and detailed investigations. It is not unusual to see manufacturers use extensive sampling and testing programs following the cleaning process without ever really evaluating the effectiveness of the steps used to clean the equipment. Several questions need to be addressed when evaluating the cleaning process. For example, at what point does a piece of equipment or system become clean? Does it have to be scrubbed by hand? What is accomplished by hand scrubbing rather than just a solvent wash? How variable are manual cleaning processes from batch to batch and product to product? The answers to these questions are obviously important to the evaluation of the cleaning process because one must determine the overall effectiveness of the process. Answers to these questions may also identify steps that can be eliminated for more effective measures and result in resource savings for the company.

Ideally, a piece of equipment or system will have one process for cleaning; however, this will depend on the products being produced and whether the cleanup occurs between batches of the same product (as in a large campaign) or between batches of different products. When the cleaning process is used only between batches of the same product (or different lots of the same intermediate in a bulk process), the manufacturer must only meet a criterion of “visibly clean” for the equipment; such between-batch cleaning processes do not require validation.

A. EQUIPMENT DESIGN

The design of equipment, particularly in large systems that may employ semiautomatic or fully automatic clean-in-place (CIP) systems, is important. For example, a sanitary type of piping without ball valves should be used. When such ball valves are used, as is common in the bulk drug industry, the cleaning process is more difficult. When such systems are identified, it is important that operators performing cleaning operations be aware of problems and have special training in cleaning these systems and valves. The cleaning operators must have knowledge of these systems and the level of training and experience required for cleaning these systems. Also, the written cleaning process must be properly identified and validated.

In larger systems, such as those employing long transfer lines or piping, flow charts and piping diagrams must be available for the identification of valves, as well as written cleaning procedures. Piping and valves should be tagged and easily identifiable by the operator performing the cleaning function. Sometimes, inadequately identified valves, both on prints and physically, have led to incorrect cleaning practices.

The documentation should be complete in regard to the cleaning processes of critical steps and should identify and

control the length of time between the end of processing and each cleaning step. This is especially important for topicals, suspensions, and bulk drug operations. In such operations, the drying of residues will directly affect the efficiency of a cleaning process.

Whether or not CIP systems are used for cleaning of processing equipment, the microbiological aspects of equipment cleaning should be considered, largely through taking preventive measures rather than removing contamination once it has occurred. Manufacturers should maintain some evidence that routine cleaning and storage of equipment do not allow microbial proliferation. For example, equipment should be dried before storage, and under no circumstances should stagnant water be allowed to remain in equipment subsequent to cleaning operations.

Subsequent to the cleaning process, equipment may be subjected to sterilization or sanitization procedures when such equipment is used for sterile processing or to nonsterile processing when products may support microbial growth. While such sterilization or sanitization procedures are beyond the scope of this guide, it is important to note that control of the bioburden through adequate cleaning and storage of equipment is important to ensure that subsequent sterilization or sanitization procedures achieve the necessary level of sterility. This is also particularly important from the standpoint of the control of pyrogens in sterile processing, as equipment sterilization processes may not be adequate to achieve significant inactivation or removal of pyrogens.

B. CLEANING PROCESS, WRITTEN PROCEDURE, AND DOCUMENTATION

The detail and specificity of the procedure for the (cleaning) process being validated and the amount of documentation required to establish it are critical. Some manufacturers use general SOPs, while others use a batch record or log sheet system that requires some type of specific documentation for performing each step. Depending on the complexity of the system and cleaning process and the ability and training of the operators, the amount of documentation necessary for executing various cleaning steps or procedures will vary.

When more complex cleaning procedures are required, it is important to document the critical cleaning steps (e.g., certain bulk drug synthesis processes). In this regard, it is valuable to have specific documentation on the equipment itself that includes information about who cleaned it and when; however, for relatively simple cleaning operations, merely documenting that the overall cleaning process was performed might be sufficient.

Other factors, such as history of cleaning, residue levels found after cleaning, and variability of test results, may also dictate the amount of documentation required. For example, when variable residue levels are detected following cleaning, particularly for a process that is believed to be acceptable, one must establish the effectiveness of the process and operator performance. Appropriate evaluations must be made, and when operator performance is deemed a problem more

extensive documentation (guidance) and training may be required.

C. ANALYTICAL METHODS

The specificity and sensitivity of the analytical method used to detect residuals or contaminants should be well established. With advances in analytical technology, residues from the manufacturing and cleaning processes can be detected at very low levels. If levels of contamination or residual are not detected, it does not mean that no residual contaminant is present after cleaning. It only means that levels of contaminant greater than the sensitivity or detection limit of the analytical method are not present in the sample. The firm should challenge the analytical method in combination with the sampling methods used to show that contaminants can be recovered from the equipment surface and at what level (e.g., 50% or 90% recovery). This is necessary before any conclusions can be made based on the sample results. A negative test may also be the result of poor sampling technique (see below).

D. SAMPLING

Two general types of sampling have been found to be acceptable. The most desirable is the direct method of sampling the surface of the equipment, and the other method is the use of rinse solutions.

1. Direct Surface Sampling

The type of sampling material used and its impact on the test data must be identified, as the sampling material may interfere with the test; for example, the adhesive used in swabs has been found to interfere with analysis of samples. Therefore, early in the validation program, it is important to ensure that the sampling medium and solvent (used for extraction from the medium) are satisfactory and can be readily used. Advantages of direct sampling are that areas that are the most difficult to clean and which are reasonably accessible can be evaluated, leading to establishment of a level of contamination or residue per given surface area. Additionally, residues that are dried out or are insoluble can be sampled by physical removal.

2. Rinse Samples

Two advantages of using rinse samples are that a larger surface area may be sampled and inaccessible systems or ones that cannot be routinely disassembled can be sampled and evaluated. A disadvantage of rinse samples is that the residue or contaminant may not be soluble or may be physically occluded in the equipment. An analogy that can be used is a dirty pot. When evaluating the cleaning of a dirty pot, particularly one with dried-out residue, one does not look at the rinse water to see that it is clean; one looks at the pot. It is important to ensure that a direct measurement of the residue or contaminant is made for the rinse water when it is used to validate the cleaning process. For example, it is not acceptable to simply test rinse water for water quality (does it meet the compendia tests?) rather than test it for potential contaminants.

3. Routine Production In-Process Control Monitoring

Indirect testing, such as conductivity testing, may be of some value for routine monitoring once a cleaning process has been validated. This would be particularly true for bulk drug substance manufacturers whose reactors, centrifuges, and piping between such large equipment can be sampled only using rinse solution samples. Any indirect test method must have been shown to correlate with the condition of the equipment. During validation, a manufacturer should be able to provide documentation that testing the uncleaned equipment gives a not acceptable result for the indirect test.

V. ESTABLISHMENT OF LIMITS

The FDA does not generally set acceptance specifications or methods for determining whether a cleaning process is validated. It is impractical for the FDA to do so due to the wide variation in equipment and products used throughout the bulk and finished dosage form industries. A manufacturer's rationale for the residue limits established should be logical based on the manufacturer's knowledge of the materials involved and should be practical, achievable, and verifiable. It is important to define the sensitivity of the analytical methods in order to set reasonable limits. Some limits that have been mentioned by industry representatives in the literature or in presentations include analytical detection levels (such as 10 ppm), biological activity levels (such as 1/1000 of the normal therapeutic dose), and organoleptic levels such as no visible residue.

The manner in which limits are established should be documented. Unlike finished pharmaceuticals, where the chemical identities of residuals are known (e.g., from actives, inactives, detergents), bulk processes may have partial reactants and unwanted byproducts that may never have been chemically identified. In establishing residual limits, it may not be adequate to focus only on the principal reactant, as other chemical variations may be more difficult to remove. In some circumstances, TLC screening, in addition to chemical analyses, may be needed. In a bulk process, particularly for very potent chemicals such as some steroids, the issue of by products must be considered if equipment is not dedicated.

VI. OTHER ISSUES

A. PLACEBO PRODUCT

In order to evaluate and validate cleaning processes, some manufacturers have processed a placebo batch in the equipment under essentially the same operating parameters used for processing product. A sample of the placebo batch is then tested for residual contamination. One cannot be sure that a contaminant is uniformly distributed throughout the system. For example, if the discharge valve or chute of a blender is contaminated, the contaminant would probably not be uniformly dispersed in the placebo; it would most likely be concentrated in the initial discharge portion of the batch. Additionally, if the contaminant or residue is of a larger particle size, it may not

be uniformly dispersed in the placebo. Some firms have made the assumption that a residual contaminant would wear off the equipment surface uniformly, but this is an invalid conclusion. Finally, the analytical power may be greatly reduced by dilution of the contaminate. Because of such problems, rinse and/or swab samples should be used in conjunction with the placebo method.

B. DETERGENT

If a detergent or soap is used for cleaning, evaluate the difficulty that may arise when attempting to test for residues. A common problem associated with detergent use is its composition. Many detergent suppliers will not provide a specific composition, which makes it difficult for the user to evaluate residues. As with product residues, it is important and it is expected that the manufacturer evaluate the efficiency of the cleaning process for the removal of residues. However, unlike

product residues, it is expected that no (or, for ultrasensitive analytical tests, very little) detergent remains after cleaning. Detergents are not part of the manufacturing process and are only added to facilitate cleaning during the cleaning process. Thus, they should be easily removable; otherwise, a different detergent should be selected.

C. TEST UNTIL CLEAN

Evaluate the level of testing and the retest results when using this concept. Test, resample, and retest equipment or systems until an acceptable residue level is attained. For a system or equipment with a validated cleaning process, this practice of resampling should not be utilized and is acceptable only in rare cases. Constant retesting and resampling can show that the cleaning process is not validated, as these retests actually document the presence of unacceptable residue and contaminants from an ineffective cleaning process.

5 Viral Safety Evaluation of Biotechnology Products Derived from Cell Lines of Human or Animal Origin

I. INTRODUCTION

This document is concerned with testing and evaluation of the viral safety of biotechnology products derived from characterized cell lines of human or animal origin (i.e., mammalian, avian, insect) and outlines data that should be submitted in the marketing application/registration package. For the purposes of this document, the term virus excludes nonconventional transmissible agents like those associated with bovine spongiform encephalopathy and scrapie. Applicants are encouraged to discuss issues associated with bovine spongiform encephalopathy with the regulatory authorities.

The scope of the document covers products derived from cell cultures initiated from characterized cell banks. It covers products derived from in vitro cell culture, such as interferons, monoclonal antibodies, and recombinant DNA-derived products including recombinant subunit vaccines, and also includes products derived from hybridoma cells grown in vivo as ascites. In the latter case, special considerations apply and additional information on testing cells propagated in vivo is contained in Appendix 1. Inactivated vaccines, all live vaccines containing self-replicating agents, and genetically engineered live vectors are excluded from the scope of this document.

The risk of viral contamination is a feature common to all biotechnology products derived from cell lines. Such contamination could have serious clinical consequences and can arise from the contamination of the source cell lines themselves (cell substrates) or from adventitious introduction of virus during production. To date, however, biotechnology products derived from cell lines have not been implicated in the transmission of viruses. Nevertheless, it is expected that the safety of these products with regard to viral contamination can be reasonably assured only by the application of a virus testing program and assessment of virus removal and inactivation achieved by the manufacturing process, as outlined below.

Three principal, complementary approaches have evolved to control the potential viral contamination of biotechnology products:

- (a) Selecting and testing cell lines and other raw materials, including media components, for the absence of undesirable viruses which may be infectious and/or pathogenic for humans
- (b) Assessing the capacity of the production processes to clear infectious viruses and

- (c) Testing the product at appropriate steps of production for absence of contaminating infectious viruses

All testing suffers from the inherent limitation of quantitative virus assays, that is, that the ability to detect low viral concentrations depends for statistical reasons on the size of the sample. Therefore, no single approach will necessarily establish the safety of a product. Confidence that infectious virus is absent from the final product will in many instances not be derived solely from direct testing for its presence but also from a demonstration that the purification regimen is capable of removing and/or inactivating the viruses.

The type and extent of viral tests and viral clearance studies required at different steps of production will depend on various factors and should be considered on a case-by-case and step-by-step basis. The factors that should be taken into account include the extent of cell bank characterization and qualification, the nature of any viruses detected, culture medium constituents, culture methods, facility and equipment design, the results of viral tests after cell culture, the ability of the process to clear viruses, and the type of product and its intended clinical use.

The purpose of this document is to provide a general framework for virus testing, experiments for the assessment of viral clearance, and a recommended approach for the design of viral tests and viral clearance studies. Related information is described in the appendices, and selected definitions are provided in the glossary.

The manufacturers should adjust the recommendations presented here to their specific product and its production process. The approach used by manufacturers in their overall strategy for ensuring viral safety should be explained and justified. In addition to the detailed data which is provided, an overall summary of the viral safety assessment would be useful in facilitating the review by regulatory authorities. This summary should contain a brief description of all aspects of the viral safety studies and strategies used to prevent virus contamination as they pertain to this document.

II. POTENTIAL SOURCES OF VIRUS CONTAMINATION

Viral contamination of biotechnology products may arise from the original source of the cell lines or from adventitious introduction of virus during production processes.

A. VIRUSES THAT COULD OCCUR IN THE MASTER CELL BANK

Cells may have latent or persistent virus infection (e.g., herpesvirus) or endogenous retrovirus which may be transmitted vertically from one cell generation to the next, since the viral genome persists within the cell. Such viruses may be constitutively expressed or may unexpectedly become expressed as an infectious virus.

Viruses can be introduced into the master cell bank (MCB) by several routes such as (1) derivation of cell lines from infected animals, (2) use of virus to establish the cell line, (3) use of contaminated biological reagents such as animal serum components, and (4) contamination during cell handling.

B. ADVENTITIOUS VIRUSES THAT COULD BE INTRODUCED DURING PRODUCTION

Adventitious viruses can be introduced into the final product by several routes including, but not limited to, the following: (1) the use of contaminated biological reagents such as animal serum components; (2) the use of a virus for the induction of expression of specific genes encoding a desired protein; (3) the use of a contaminated reagent, such as a monoclonal antibody affinity column; (4) the use of a contaminated excipient during formulation; and (5) contamination during cell and medium handling. Monitoring of cell culture parameters can be helpful in the early detection of potential adventitious viral contamination.

III. CELL LINE QUALIFICATION: TESTING FOR VIRUSES

An important part of qualifying a cell line for use in the production of a biotechnology product is the appropriate testing for the presence of virus.

A. SUGGESTED VIRUS TESTS FOR MCB, WORKING CELL BANK, AND CELLS AT THE LIMIT OF IN VITRO CELL AGE USED FOR PRODUCTION

Table 5.1 shows an example of virus tests to be performed once only at various cell levels, including MCB, WCB, and cells at the limit of in vitro cell age used for production.

1. Master Cell Bank

Extensive screening for both endogenous and nonendogenous viral contamination should be performed on the MCB. For heterohybrid cell lines in which one or more partners are human or nonhuman primate in origin, tests should be performed in order to detect viruses of human or nonhuman primate origin as viral contamination arising from these cells may pose a particular hazard.

Testing for nonendogenous viruses should include in vitro and in vivo inoculation tests and any other specific tests, including species-specific tests, such as the mouse antibody

production (MAP) test, that are appropriate, based on the passage history of the cell line, to detect possible contaminating viruses.

2. Working Cell Bank

Each WCB as a starting cell substrate for drug production should be tested for adventitious virus either by direct testing or by analysis of cells at the limit of in vitro cell age, initiated from the WCB. When appropriate nonendogenous virus tests have been performed on the MCB and cells cultured up to or beyond the limit of in vitro cell age have been derived from the WCB and used for testing for the presence of adventitious viruses, similar tests need not be performed on the initial WCB. Antibody production tests are usually not necessary for the WCB. An alternative approach in which full tests are carried out on the WCB rather than on the MCB would also be acceptable.

3. Cells at the Limit of In Vitro Cell Age Used for Production

The limit of in vitro cell age used for production should be based on data derived from production cells expanded under pilot-plant scale or commercial-scale conditions to the proposed in vitro cell age or beyond. Generally, the production cells are obtained by expansion of the WCB; the MCB could also be used to prepare the production cells. Cells at the limit of in vitro cell age should be evaluated once for those endogenous viruses that may have been undetected in the MCB and WCB. The performance of suitable tests (e.g., in vitro and in vivo) at least once on cells at the limit of in vitro cell age used for production would provide further assurance that the production process is not prone to contamination by adventitious virus. If any adventitious viruses are detected at this level, the process should be carefully checked in order to determine the cause of the contamination, and completely redesigned if necessary.

B. RECOMMENDED VIRAL DETECTION AND IDENTIFICATION ASSAYS

Numerous assays can be used for the detection of endogenous and adventitious viruses. Table 5.2 outlines examples for these assays. They should be regarded as assay protocols recommended for the present, but the list is not all-inclusive or definitive. Since the most appropriate techniques may change with scientific progress, proposals for alternative techniques, when accompanied by adequate supporting data, may be acceptable. Manufacturers are encouraged to discuss these alternatives with the regulatory authorities. Other tests may be necessary depending on the individual case. Assays should include appropriate controls to ensure adequate sensitivity and specificity. Wherever a relatively high possibility of the presence of a specific virus can be predicted from the species of origin of the cell substrate, specific tests and/or approaches may be necessary. If the cell line used for production is of human or nonhuman primate origin, additional tests for human viruses, such as those causing immunodeficiency

TABLE 5.1
Virus Tests to Be Performed Once at Various Cell Levels

	MCB	WCB ^a	Cells at the Limit ^b
Tests for retroviruses and other endogenous viruses			
Infectivity	+	–	+
Electron microscopy^c	+ ^c	–	+ ^c
Reverse transcriptase^d	+ ^d	–	+ ^d
Other virus-specific tests^e	As appropriate ^e	–	As appropriate ^e
Tests for nonendogenous or adventitious viruses			
In vitro assays	+	– ^f	+
In vivo assays	+	– ^f	+
Antibody production tests^g	+ ^g	–	–
Other virus-specific tests^h	+ ^h	–	–

^a See text—Section III.A.2.

^b Cells at the limit: cells at the limit of in vitro cell age used for production (see text—Section III.A.3).

^c May also detect other agents.

^d Not necessary if positive by retrovirus infectivity test.

^e As appropriate for cell lines which are known to have been infected by such agents.

^f For the first WCB, this test should be performed on cells at the limit of in vitro cell age, generated from that WCB; for WCBs subsequent to the first WCB, a single in vitro and in vivo test can be done either directly on the WCB or on cells at the limit of in vitro cell age.

^g e.g., MAP, RAP, HAP—usually applicable for rodent cell lines.

^h e.g., tests for cell lines derived from human, nonhuman primate, or other cell lines as appropriate.

diseases and hepatitis, should be performed unless otherwise justified. The polymerase chain reaction (PCR) may be appropriate for detection of sequences of these human viruses as well as for other specific viruses. The following is a brief description of a general framework and philosophical background within which the manufacturer should justify what was done.

1. Tests for Retroviruses

For the MCB and for cells cultured up to or beyond the limit of in vitro cell age used for production, tests for retroviruses, including infectivity assays in sensitive cell cultures and electron microscopy studies, should be carried out. If infectivity is not detected and no retrovirus or retrovirus-like particles have been observed by electron microscopy, reverse transcriptase (RT) or other appropriate assays should be performed to detect retroviruses which may be noninfectious. Induction studies have not been found to be useful.

2. In Vitro Assays

In vitro tests are carried out by the inoculation of a test article (Table 5.2) into various susceptible indicator cell cultures capable of detecting a wide range of human and relevant animal viruses. The choice of cells used in the test is governed

by the species of origin of the cell bank to be tested but should include a human and/or a nonhuman primate cell line susceptible to human viruses. The nature of the assay and the sample to be tested are governed by the type of virus which may possibly be present based on the origin or handling of the cells. Both cytopathic and hemadsorbing viruses should be sought.

3. In Vivo Assays

A test article (Table 5.2) should be inoculated into animals, including suckling and adult mice, and in embryonated eggs to reveal viruses that cannot grow in cell cultures. Additional animal species may be used depending on the nature and source of the cell lines being tested. The health of the animals should be monitored, and any abnormality should be investigated to establish the cause of the illness.

4. Antibody Production Tests

Species-specific viruses present in rodent cell lines may be detected by inoculating test article (Table 5.2) into virus-free animals and by examining the serum antibody level or enzyme activity after a specified period. Examples of such tests are the mouse antibody production (MAP) test, rat antibody production (RAP) test, and hamster antibody production (HAP) test. The viruses currently screened for in the antibody production assays are discussed in Table 5.3.

C. ACCEPTABILITY OF CELL LINES

It is recognized that some cell lines used for the manufacture of product will contain endogenous retroviruses, other viruses, or viral sequences. In such circumstances, the action plan recommended for manufacture is described in Section V of this document. The acceptability of cell lines containing viruses other than endogenous retroviruses will be considered on an individual basis by the regulatory authorities, by taking into account a risk/benefit analysis based on the benefit of the product and its intended clinical use, the nature of the contaminating viruses, their potential for infecting humans or for causing disease in humans, the purification process for the product (e.g., viral clearance evaluation data), and the extent of the virus tests conducted on the purified bulk.

IV. TESTING FOR VIRUSES IN UNPROCESSED BULK

The unprocessed bulk constitutes one or multiple pooled harvests of cells and culture media. When cells are not readily accessible (e.g., hollow fiber or similar systems), the unprocessed bulk would constitute fluids harvested from the fermenter. A representative sample of the unprocessed bulk, removed from the production reactor prior to further processing, represents one of the most suitable levels at which the possibility of adventitious virus contamination can be determined with a high probability of detection. Appropriate testing for viruses should be performed at the unprocessed bulk level unless virus testing is made more sensitive by

TABLE 5.2
Examples of the Use and Limitations of Assays Which May Be Used to Test for Virus

Test	Test Article	Detection Capability	Detection Limitation
Antibody production	Lysate of cells and their culture medium	Specific viral antigens	Antigens not infectious for animal test system
In vivo virus screen	Lysate of cells and their culture medium	Broad range of viruses pathogenic for humans	Agents failing to replicate or produce diseases in the test system
In vitro virus screen for 1. Cell bank characterization 2. Production screen	1. Lysate of cells and their culture medium (for cocultivation, intact cells should be in the test article) 2. Unprocessed bulk harvest or lysate of cells and their cell culture medium from the production reactor	Broad range of viruses pathogenic for humans	Agents failing to replicate or produce diseases in the test system
TEM on 1. Cell substrate 2. Cell culture supernatant	1. Viable cells 2. Cell-free culture supernatant	Virus and virus-like particles	Qualitative assay with assessment of identity
Reverse transcriptase (RT)	Cell-free culture supernatant	Retroviruses and expressed retroviral RT	Only detects enzymes with optimal activity under preferred conditions. Interpretation may be difficult due to presence of cellular enzymes; background with some concentrated samples
Retrovirus (RV) infectivity	Cell-free culture supernatant	Infectious retroviruses	RV failing to replicate or form discrete foci or plaques in the chosen test system
Cocultivation 1. Infectivity endpoint 2. TEM endpoint 3. RT endpoint	Viable cells	Infectious retroviruses	RV failing to replicate 1. See above under RV infectivity 2. See above under TEM ^a 3. See above under RT
PCR (Polymerase chain reaction)	Cells, culture fluid, and other materials	Specific virus sequences	Primer sequences must be present. Does not indicate whether virus is infectious

^a In addition, difficult to distinguish test article from indicator cells.

initial partial processing (e.g., unprocessed bulk may be toxic in test cell cultures, whereas partially processed bulk may not be toxic).

In certain instances, it may be more appropriate to test a mixture consisting of both intact and disrupted cells and their cell culture supernatants removed from the production reactor prior to further processing. Data from at least three lots of unprocessed bulk at pilot-plant scale or commercial scale should be submitted as part of the marketing application/registration package.

It is recommended that manufacturers develop programs for the ongoing assessment of adventitious viruses in production batches. The scope, extent, and frequency of virus testing on the unprocessed bulk should be determined by taking several points into consideration including the nature of the cell lines used to produce the desired products, the results and extent of virus tests performed during the qualification of the cell lines, and the cultivation method, raw material sources, and results of viral clearance studies. In vitro screening tests, using one or several cell lines, are generally employed to test unprocessed bulk. If appropriate, a PCR test or other suitable methods may be used.

Generally, harvest material in which adventitious virus has been detected should not be used to manufacture the product.

If any adventitious viruses are detected at this level, the process should be carefully checked to determine the cause of the contamination, and appropriate actions taken.

V. RATIONALE AND ACTION PLAN FOR VIRAL CLEARANCE STUDIES AND VIRUS TESTS ON PURIFIED BULK

It is important to design the most relevant and rational protocol for virus tests from the MCB level, through the various steps of drug production, to the final product including evaluation and characterization of viral clearance from unprocessed bulk. The evaluation and characterization of viral clearance plays a critical role in this scheme. The goal should be to obtain the best reasonable assurance that the product is free of virus contamination.

In selecting viruses to use for a clearance study, it is useful to distinguish between the need to evaluate processes for their ability to clear viruses that are known to be present and the desire to estimate the robustness of the process by characterizing the clearance of nonspecific “model” viruses (described later). Definitions of “relevant,” specific, and nonspecific “model” viruses are given in the glossary. Process evaluation requires knowledge of how much virus may be present

TABLE 5.3
Virus Detected in Antibody Production Tests

MAP	HAP	RAP
Ectromelia virus ^{b,c}	Lymphocytic choriomeningitis virus (LCM) ^{a,c}	Hantaan virus ^{a,c}
Hantaan virus ^{a,c}	Pneumonia virus of mice (PVM) ^{b,c}	Kilham rat virus (KRV) ^{b,c}
K virus ^b	Reovirus type 3 (Reo3) ^{a,c}	Mouse encephalomyelitis virus (Theilers, GDVII) ^b
Lactic dehydrogenase virus (LDM) ^{a,c}	Sendai virus ^{a,c}	Pneumonia virus of mice (PVM) ^{b,c}
Lymphocytic choriomeningitis virus (LCM) ^{a,c}	SV5	Rat coronavirus (RCV) ^b
Minute virus of mice ^{b,c}		Reovirus type 3 (Reo3) ^{a,c}
Mouse adenovirus (MAV) ^{b,c}		Sendai virus ^{a,c}
Mouse cytomegalovirus (MCMV) ^{b,c}		Sialoadenitis virus (SDAV) ^b
Mouse encephalomyelitis virus (Theilers, GDVII) ^b		Toolan virus (HI) ^{b,c}
Mouse hepatitis virus (MHV) ^b		
Mouse rotavirus (EDIM) ^{b,c}		
Pneumonia virus of mice (PVM) ^{b,c}		
Polyoma virus ^b		
Reovirus type 3 (Reo3) ^{a,c}		
Sendai virus ^{a,c}		
Thymic virus ^b		

^a Viruses for which there is evidence of capacity for infecting humans or primates.

^b Viruses for which there is no evidence of capacity for infecting humans.

^c Virus capable of replicating in vitro in cells of human or primate origin.

in the process, such as the unprocessed bulk, and how much can be cleared in order to assess product safety. Knowledge of the time dependence for inactivation procedures is helpful in assuring the effectiveness of the inactivation process. When evaluating clearance of known contaminants, in-depth time-dependent inactivation studies, demonstration of reproducibility of inactivation/removal, and evaluation of process parameters will be needed. When a manufacturing process is characterized for robustness of clearance using nonspecific “model” viruses, particular attention should be paid to nonenveloped viruses in the study design. The extent of viral clearance characterization studies may be influenced by the

results of tests on cell lines and unprocessed bulk. These studies should be performed as described below (Section VI).

Table 5.4 presents an example of an action plan, in terms of process evaluation and characterization of viral clearance as well as virus tests on purified bulk, in response to the results of virus tests on cells and/or the unprocessed bulk. Various cases are considered. In all cases, characterization of clearance using nonspecific “model” viruses should be performed. The most common situations are Cases A and B. Production systems contaminated with a virus other than a rodent retrovirus are normally not used. Where there are convincing and well-justified reasons for drug production using a cell line from Cases C, D, or E, these should be discussed with the regulatory authorities. With Cases C, D, and E it is important to have validated effective steps to inactivate/remove the virus in question from the manufacturing process.

Case A: Where no virus, virus-like particle, or retrovirus-like particle has been demonstrated in the cells or the unprocessed bulk, virus removal and inactivation studies should be performed with nonspecific “model” viruses as previously stated.

Case B: Where only a rodent retrovirus (or a retrovirus-like particle which is believed to be nonpathogenic, such as rodent A- and R-type particles) is present, process evaluation using a specific “model” virus, such as a murine leukemia virus, should be performed. Purified bulk should be tested using suitable methods having high specificity and sensitivity for the detection of the virus in question. For marketing authorization, data from at least three lots of purified bulk at pilot-plant scale or commercial scale should be provided. Cell lines such as CHO, C127, BHK, and murine hybridoma cell lines have frequently been used as substrates for drug production with no reported safety problems related to viral contamination of the products. For these cell lines in which the endogenous particles have been extensively characterized and clearance has been demonstrated, it is not usually necessary to assay for the presence of the noninfectious particles in purified bulk. Studies with nonspecific “model” viruses, as in Case A, are appropriate.

Case C: When the cells or unprocessed bulk are known to contain a virus, other than a rodent retrovirus, for which there is no evidence of capacity for infecting humans, [such as those identified by Footnote 2 in Table 5.3, except rodent retroviruses (Case B)], virus removal and inactivation evaluation studies should use the identified virus. If it is not possible to use the identified virus, “relevant” or specific “model” viruses should be used to demonstrate acceptable clearance. Time-dependent inactivation for identified (or “relevant” or specific “model”) viruses at the critical inactivation step(s) should be obtained as part of process evaluation for these viruses. Purified bulk should be tested using suitable methods having high specificity and sensitivity for the detection of the virus in question. For the purpose of marketing authorization, data from at least three lots of purified bulk manufactured at pilot-plant scale or commercial scale should be provided.

Case D: Where a known human pathogen, such as those indicated by Footnote 1 in Table 5.3, is identified, the product

TABLE 5.4
Action Plan for Process Assessment of Viral Clearance and Virus Tests on Purified Bulk

	Case A	Case B	Case C ^b	Case D ^b	Case E ^b
Status					
Presence of virus ^a	–	–	+	+	(+) ^c
Virus-like particles ^a	–	–	–	–	(+) ^c
Retrovirus-like particles ^a	–	+	–	–	(+) ^c
Virus identified	Not applicable	+	+	+	–
Virus pathogenic for humans	Not applicable	– ^d	– ^d	+	Unknown
Action					
Process characterization of viral clearance using nonspecific “model” viruses	Yes ^e	Yes ^e	Yes ^e	Yes ^e	Yes ^g
Process evaluation of viral clearance using “relevant” or specific “model” viruses	No	Yes ^f	Yes ^f	Yes ^f	Yes ^g
Test for virus in purified bulk	Not applicable	Yes ^h	Yes ^h	Yes ^h	Yes ^h

^a Results of virus tests for the cell substrate and/or at the unprocessed bulk level. Cell cultures used for production which are contaminated with viruses will generally be not acceptable. Endogenous viruses (such as retroviruses) or viruses that are an integral part of the MCB may be acceptable if appropriate viral clearance evaluation procedures are followed.

^b The use of source material which is contaminated with viruses, whether or not they are known to be infectious and/or pathogenic in humans, will only be permitted under very exceptional circumstances.

^c Virus has been observed by either direct or indirect methods.

^d Believed to be nonpathogenic.

^e Characterization of clearance using nonspecific “model” viruses should be performed.

^f Process evaluation for “relevant” viruses or specific “model” viruses should be performed.

^g See text under Case E.

^h The absence of detectable virus should be confirmed for purified bulk by means of suitable methods having high specificity and sensitivity for the detection of the virus in question. For the purpose of marketing authorization, data from at least three lots of purified bulk manufactured at pilot-plant scale or commercial scale should be provided. However, for cell lines such as CHO cells for which the endogenous particles have been extensively characterized and adequate clearance has been demonstrated, it is not usually necessary to assay for the presence of the noninfectious particles in purified bulk.

may be acceptable only under exceptional circumstances. In this instance, it is recommended that the identified virus be used for virus removal and inactivation evaluation studies and specific methods with high specificity and sensitivity for the detection of the virus in question be employed. If it is not possible to use the identified virus, “relevant” and/or specific “model” viruses (described later) should be used. The process should be shown to achieve the removal and inactivation of the selected viruses during the purification and inactivation processes. Time-dependent inactivation data for the critical inactivation step(s) should be obtained as part of process evaluation. Purified bulk should be tested using suitable methods having high specificity and sensitivity for the detection of the virus in question. For the purpose of marketing authorization, data from at least three lots of purified bulk manufactured at pilot-plant scale or commercial scale should be provided.

Case E: When a virus, which cannot be classified by currently available methodologies, is detected in the cells or unprocessed bulk, the product is usually considered unacceptable since the virus may prove to be pathogenic. In the very rare case where there are convincing and well-justified reasons for drug production using such a cell line, this should be discussed with the regulatory authorities before proceeding further.

VI. EVALUATION AND CHARACTERIZATION OF VIRAL CLEARANCE

Evaluation and characterization of the virus removal and/or inactivation procedures play an important role in establishing the safety of biotechnology products. Many instances of contamination in the past have occurred with agents whose presence was not known or even suspected, and though this happened to biological products derived from various source materials other than fully characterized cell lines, assessment of viral clearance will provide a measure of confidence that any unknown, unsuspected, and harmful viruses may be removed. Studies should be carried out in a manner that is well documented and controlled.

The objective of viral clearance studies is to assess process step(s) that can be considered to be effective in inactivating/removing viruses and to estimate quantitatively the overall level of virus reduction obtained by the process. This should be achieved by the deliberate addition (“spiking”) of significant amounts of a virus to the crude material and/or to different fractions obtained during the various process steps and demonstrating its removal or inactivation during the subsequent steps. It is not necessary to evaluate or characterize every step of a manufacturing process if adequate

clearance is demonstrated by the use of fewer steps. It should be borne in mind that other steps in the process may have an indirect effect on the viral inactivation/removal achieved. Manufacturers should explain and justify the approach used in studies for evaluating virus clearance.

The reduction of virus infectivity may be achieved by removal of virus particles or by inactivation of viral infectivity. For each production step assessed, the possible mechanism of loss of viral infectivity should be described with regard to whether it is due to inactivation or removal. For inactivation steps, the study should be planned in such a way that samples are taken at different times and an inactivation curve constructed (see Section VI.B.5).

Viral clearance evaluation studies are performed to demonstrate the clearance of a virus known to be present in the MCB and/or to provide some level of assurance that adventitious virus which could not be detected, or might gain access to the production process, would be cleared. Reduction factors are normally expressed on a logarithmic scale which implies that, while residual virus infectivity will never be reduced to zero, it may be greatly reduced mathematically.

In addition to clearance studies for viruses known to be present, studies to characterize the ability to remove and/or inactivate other viruses should be conducted. The purpose of studies with viruses, exhibiting a range of biochemical and biophysical properties that are not known or expected to be present, is to characterize the robustness of the procedure rather than to achieve a specific inactivation or removal goal. A demonstration of the capacity of the production process to inactivate or remove viruses is desirable (see Section VI.C). Such studies are not performed to evaluate a specific safety risk. Therefore, a specific clearance value need not be achieved.

A. THE CHOICE OF VIRUSES FOR THE EVALUATION AND CHARACTERIZATION OF VIRAL CLEARANCE

Viruses for clearance evaluation and process characterization studies should be chosen to resemble viruses which may contaminate the product and to represent a wide range of physicochemical properties in order to test the ability of the system to eliminate viruses in general. The manufacturer should justify the choice of viruses in accordance with the aims of the evaluation and characterization study and the guidance provided in this guideline.

1. “Relevant” Viruses and “Model” Viruses

A major issue in performing a viral clearance study is to determine which viruses should be used. Such viruses fall into three categories: “relevant” viruses, specific “model” viruses, and nonspecific “model” viruses.

“Relevant” viruses are viruses used in process evaluation of viral clearance studies which are either the identified viruses, or of the same species as the viruses that are known, or likely to contaminate the cell substrate or any other reagents or materials used in the production process. The purification and/or inactivation process should demonstrate the capability to remove and/or inactivate such viruses. When a “relevant” virus is not available or when it is not well adapted to process

evaluation of viral clearance studies (e.g., it cannot be grown *in vitro* to sufficiently high titers), a specific “model” virus should be used as a substitute. An appropriate specific “model” virus may be a virus which is closely related to the known or suspected virus (same genus or family), having similar physical and chemical properties to the observed or suspected virus.

Cell lines derived from rodents usually contain endogenous retrovirus particles or retrovirus-like particles, which may be infectious (C-type particles) or noninfectious (cytoplasmic A- and R-type particles). The capacity of the manufacturing process to remove and/or inactivate rodent retroviruses from products obtained from such cells should be determined. This may be accomplished by using a murine leukemia virus, a specific “model” virus in the case of cells of murine origin. When human cell lines secreting monoclonal antibodies have been obtained by the immortalization of B lymphocytes by Epstein–Barr Virus (EBV), the ability of the manufacturing process to remove and/or inactivate a herpes virus should be determined. Pseudorabies virus may also be used as a specific “model” virus.

When the purpose is to characterize the capacity of the manufacturing process to remove and/or inactivate viruses in general, that is, to characterize the robustness of the clearance process, viral clearance characterization studies should be performed with nonspecific “model” viruses with differing properties. Data obtained from studies with “relevant” and/or specific “model” viruses may also contribute to this assessment. It is not necessary to test all types of viruses. Preference should be given to viruses that display a significant resistance to physical and/or chemical treatments. The results obtained for such viruses provide useful information about the ability of the production process to remove and/or inactivate viruses in general. The choice and number of viruses used will be influenced by the quality and characterization of the cell lines and the production process.

Examples of useful “model” viruses representing a range of physicochemical structures and examples of viruses which have been used in viral clearance studies are given in Appendix 2 and Table A.1.

2. Other Considerations

Additional points to be considered are as follows:

- (a) Viruses which can be grown to high titer are desirable, although this may not always be possible.
- (b) There should be an efficient and reliable assay for the detection of each virus used, for every stage of manufacturing that is tested.
- (c) Consideration should be given to the health hazard which certain viruses may pose to the personnel performing the clearance studies.

B. DESIGN AND IMPLICATIONS OF VIRAL CLEARANCE EVALUATION AND CHARACTERIZATION STUDIES

1. Facility and Staff

It is inappropriate to introduce any virus into a production facility because of GMP constraints. Therefore, viral

TABLE A.1
Examples of Viruses Which Have Been Used in Viral Clearance Studies

Virus	Family	Genus	Natural host	Genome	Env	Size (nm)	Shape	Resistance ^a
Vesicular stomatitis virus	Rhabdo	Vesiculovirus	Equine Bovine	RNA	Yes	70–150	Bullet	Low
Parainfluenza virus	Paramyxo	Paramyxovirus	Various	RNA	Yes	100–200+	Pleo/Spher	Low
MuLV	Retro	Type C oncovirus	Mouse	RNA	Yes	80–110	Spherical	Low
Sindbis virus	Toga	Alphavirus	Human	RNA	Yes	60–70	Spherical	Low
BVDV	Flavi	Pestivirus	Bovine	RNA	Yes	50–70	Pleo-Spher	Low
Pseudorabies virus	Herpes		Swine	DNA	Yes	120–200	Spherical	Med
Poliovirus Sabin type 1	Picornia	Enterovirus	Human	RNA	No	25–30	Icosahedral	Med
Encephalomyo-carditis virus (EMC)	Picornia	Cardiovirus	Mouse	RNA	No	25–30	Icosahedral	Med
Reovirus 3	Reo	Orthoreovirus	Various	RNA	No	60–80	Spherical	Med
SV40	Papova	Polyomavirus	Monkey	DNA	No	40–50	Icosahedral	Very high
Parvoviruses (canine, porcine)	Parvo	Parvovirus	Canine Porcine	DNA	No	18–24	Icosahedral	Very high

^a Resistance to physicochemical treatments based on studies of production processes. Resistance is relative to the specific treatment, and it is used in the context of the understanding of the biology of the virus and the nature of the manufacturing process. Actual results will vary according to the treatment.

clearance studies should be conducted in a separate laboratory equipped for virological work and performed by staff with virological expertise in conjunction with production personnel involved in designing and preparing a scaled-down version of the purification process.

2. Scaled-Down Production System

The validity of the scaling down should be demonstrated. The level of purification of the scaled-down version should represent the production procedure as closely as possible. For chromatographic equipment, column bed-height, linear flow-rate, flow-rate-to-bed-volume ratio (i.e., contact time), buffer and gel types, pH, temperature, and concentration of protein, salt, and product should all be shown to be representative of commercial-scale manufacturing. A similar elution profile should result. For other procedures, similar considerations apply. Deviations which cannot be avoided should be discussed with regard to their influence on the results.

3. Analysis of Step-Wise Elimination of Virus

When viral clearance studies are being performed, it is desirable to assess the contribution of more than one production step to virus elimination. Steps which are likely to clear virus should be individually assessed for their ability to remove and inactivate virus and careful consideration should be given to the exact definition of an individual step. Sufficient virus should be present in the material of each step to be tested so that an adequate assessment of the effectiveness of each step is obtained. Generally, virus should be added to in-process material of each step to be tested. In some cases, simply adding high-titer virus to unpurified bulk and testing its concentration between steps will be sufficient. Where virus removal results from separation procedures, it is recommended that, if appropriate and if possible, the distribution of the virus load in the different fractions be investigated. When virucidal buffers are used in multiple steps within the manufacturing

process, alternative strategies such as parallel spiking in less virucidal buffers may be carried out as part of the overall process assessment. The virus titer before and after each step being tested should be determined. Quantitative infectivity assays should have adequate sensitivity and reproducibility and should be performed with sufficient replicates to ensure adequate statistical validity of the result. Quantitative assays not associated with infectivity may be used if justified. Appropriate virus controls should be included in all infectivity assays to ensure the sensitivity of the method. Also, the statistics of sampling virus when at low concentrations should be considered (Appendix 3).

4. Determining Physical Removal vs. Inactivation

Reduction in virus infectivity may be achieved by the removal or inactivation of virus. For each production step assessed, the possible mechanism of loss of viral infectivity should be described with regard to whether it is due to inactivation or removal. If little clearance of infectivity is achieved by the production process, and the clearance of virus is considered to be a major factor in the safety of the product, specific or additional inactivation/removal steps should be introduced. It may be necessary to distinguish between removal and inactivation for a particular step, for example, when there is a possibility that a buffer used in more than one clearance step may contribute to inactivation during each step; that is, the contribution to inactivation by a buffer shared by several chromatographic steps and the removal achieved by each of these chromatographic steps should be distinguished.

5. Inactivation Assessment

For assessment of viral inactivation, unprocessed crude material or intermediate material should be spiked with infectious virus and the reduction factor calculated. It should be recognized that virus inactivation is not a simple, first-order reaction and is usually more complex, with a fast “phase 1”

and a slow “phase 2.” The study should, therefore, be planned in such a way that samples are taken at different times and an inactivation curve constructed. It is recommended that studies for inactivation include at least one time point less than the minimum exposure time and greater than zero, in addition to the minimum exposure time. Additional data are particularly important where the virus is a “relevant” virus known to be a human pathogen and an effective inactivation process is being designed. However, for inactivation studies in which nonspecific “model” viruses are used or when specific “model” viruses are used as surrogates for virus particles such as the CHO intracytoplasmic retrovirus-like particles, reproducible clearance should be demonstrated in at least two independent studies. Whenever possible, the initial virus load should be determined from the virus which can be detected in the spiked starting material. If this is not possible, the initial virus load may be calculated from the titer of the spiking virus preparation. Where inactivation is too rapid to plot an inactivation curve using process conditions, appropriate controls should be performed to demonstrate that infectivity is indeed lost by inactivation.

6. Function and Regeneration of Columns

Over time and after repeated use, the ability of chromatography columns and other devices used in the purification scheme to clear virus may vary. Some estimate of the stability of the viral clearance after several uses may provide support for repeated use of such columns. Assurance should be provided that any virus potentially retained by the production system would be adequately destroyed or removed prior to reuse of the system. For example, such evidence may be provided by demonstrating that the cleaning and regeneration procedures do inactivate or remove virus.

7. Specific Precautions

- (a) Care should be taken in preparing the high-titer virus to avoid aggregation which may enhance physical removal and decrease inactivation, thus distorting the correlation with actual production.
- (b) Consideration should be given to the minimum quantity of virus which can be reliably assayed.
- (c) The study should include parallel control assays to assess the loss of infectivity of the virus due to such reasons as the dilution, concentration, filtration, or storage of samples before titration.
- (d) The virus “spike” should be added to the product in a small volume so as not to dilute or change the characteristics of the product. Diluted, test-protein sample is no longer identical to the product obtained at commercial scale.
- (e) Small differences in, for example, buffers, media, or reagents can substantially affect viral clearance.
- (f) Virus inactivation is time dependent; therefore, the amount of time a spiked product remains in a particular buffer solution or on a particular chromatography column should reflect the conditions of the commercial-scale process.
- (g) Buffers and product should be evaluated independently for toxicity or interference in assays used to determine the virus titer, as these components may adversely affect the indicator cells. If the solutions are toxic to the indicator cells, dilution, adjustment of the pH, or dialysis of the buffer-containing spiked virus might be necessary. If the product itself has antiviral activity, the clearance study may need to be performed without the product in a “mock” run, although omitting the product or substituting a similar protein that does not have antiviral activity could affect the behavior of the virus in some production steps. Sufficient controls to demonstrate the effect of procedures used solely to prepare the sample for assay (e.g., dialysis, storage) on the removal/inactivation of the spiking virus should be included.
- (h) Many purification schemes use the same or similar buffers or columns repetitively. The effects of this approach should be taken into account when analyzing the data. The effectiveness of virus elimination by a particular process may vary with the stage in manufacture at which it is used.
- (i) Overall reduction factors may be underestimated where production conditions or buffers are too cytotoxic or virucidal and should be discussed on a case-by-case basis. Overall reduction factors may also be overestimated due to inherent limitations or inadequate design of viral clearance studies.

C. INTERPRETATION OF VIRAL CLEARANCE STUDIES

1. Acceptability

The object of assessing virus inactivation/removal is to evaluate and characterize process steps that can be considered to be effective in inactivating/removing viruses and to estimate quantitatively the overall level of virus reduction obtained by the manufacturing process. For virus contaminants, as in Cases B–E, it is important to show that not only is the virus eliminated or inactivated but that there is excess capacity for viral clearance built into the purification process to assure an appropriate level of safety for the final product. The amount of virus eliminated or inactivated by the production process should be compared to the amount of virus which may be present in unprocessed bulk.

To carry out this comparison, it is important to estimate the amount of virus in the unprocessed bulk. This estimate should be obtained using assays for infectivity or other methods such as transmission electron microscopy (TEM). The entire purification process should be able to eliminate substantially more virus than is estimated to be present in a single-dose-equivalent of unprocessed bulk. See Appendix 4 for calculation of virus reduction factors and Appendix 5 for calculation of estimated particles per dose.

Manufacturers should recognize that clearance mechanisms may differ between virus classes. A combination of factors must be considered when judging the data supporting

the effectiveness of virus inactivation/removal procedures. These include

- (i) The appropriateness of the test viruses used
- (ii) The design of the clearance studies
- (iii) The log reduction achieved
- (iv) The time dependence of inactivation
- (v) The potential effects of variation in process parameters on virus inactivation/removal
- (vi) The limits of assay sensitivities and
- (vii) The possible selectivity of inactivation/removal procedure(s) for certain classes of viruses

Effective clearance may be achieved by any of the following: multiple inactivation steps, multiple complementary separation steps, or combinations of inactivation and separation steps. Since separation methods may be dependent on the extremely specific physicochemical properties of a virus which influence its interaction with gel matrices and precipitation properties, "model" viruses may be separated in a different manner from a target virus. Manufacturing parameters influencing separation should be properly defined and controlled. Differences may originate from changes in surface properties such as glycosylation. However, despite these potential variables, effective removal can be obtained by a combination of complementary separation steps or combinations of inactivation and separation steps. Therefore, well-designed separation steps, such as chromatographic procedures, filtration steps, and extractions, can be effective virus removal steps provided that they are performed under appropriately controlled conditions. An effective virus removal step should give reproducible reduction of virus load shown by at least two independent studies.

An overall reduction factor is generally expressed as the sum of the individual factors. However, reduction in virus titer of the order of 1 log₁₀ or less would be considered negligible and would be ignored unless justified.

If little reduction of infectivity is achieved by the production process, and the removal of virus is considered to be a major factor in the safety of the product, a specific, additional inactivation/removal step or steps should be introduced. For all viruses, manufacturers should justify the acceptability of the reduction factors obtained. Results will be evaluated on the basis of the factors listed above.

D. LIMITATIONS OF VIRAL CLEARANCE STUDIES

Viral clearance studies are useful for contributing to the assurance that an acceptable level of safety in the final product is achieved but do not establish safety by themselves. However, a number of factors in the design and execution of viral clearance studies may lead to an incorrect estimate of the ability of the process to remove virus infectivity. These factors include the following:

1. Virus preparations used in clearance studies for a production process are likely to be produced in tissue culture. The behavior of a tissue culture virus in a production step may be different from that of

the native virus, for example, if native and cultured viruses differ in purity or degree of aggregation.

2. Inactivation of virus infectivity frequently follows a biphasic curve in which a rapid initial phase is followed by a slower phase. It is possible that virus escaping a first inactivation step may be more resistant to subsequent steps. For example, if the resistant fraction takes the form of virus aggregates, infectivity may be resistant to a range of different chemical treatments and to heating.
3. The ability of the overall process to remove infectivity is expressed as the sum of the logarithm of the reductions at each step. The summation of the reduction factors of multiple steps, particularly of steps with little reduction (e.g., below 1 log₁₀), may overestimate the true potential for virus elimination. Furthermore, reduction values achieved by repetition of identical or near identical procedures should not be included unless justified.
4. The expression of reduction factors as logarithmic reductions in titer implies that, while residual virus infectivity may be greatly reduced, it will never be reduced to zero. For example, a reduction in the infectivity of a preparation containing 8 log₁₀ infectious units per milliliter by a factor of 8 log₁₀ leaves 0 log₁₀ per milliliter or one infectious unit per milliliter, taking into consideration the limit of detection of the assay.
5. Pilot-plant scale processing may differ from commercial-scale processing despite care taken to design the scaled-down process.
6. Addition of individual virus reduction factors resulting from similar inactivation mechanisms along the manufacturing process may overestimate overall viral clearance.

E. STATISTICS

The viral clearance studies should include the use of statistical analysis of the data to evaluate the results. The study results should be statistically valid to support the conclusions reached (refer to Appendix 3).

F. REEVALUATION OF VIRAL CLEARANCE

Whenever significant changes in the production or purification process are made, the effect of that change, both direct and indirect, on viral clearance should be considered and the system reevaluated as needed. For example, changes in production processes may cause significant changes in the amount of virus produced by the cell line; changes in process steps may change the extent of viral clearance.

VII. SUMMARY

This document suggests approaches for the evaluation of the risk of viral contamination and for the removal of virus from

product, thus contributing to the production of safe biotechnology products derived from animal or human cell lines, and emphasizes the value of many strategies, including

- A. Thorough characterization/screening of cell substrate starting material in order to identify which, if any, viral contaminants are present
- B. Assessment of risk by determination of the human tropism of the contaminants
- C. Establishment of an appropriate program of testing for adventitious viruses in unprocessed bulk
- D. Careful design of viral clearance studies using different methods of virus inactivation or removal in the same production process in order to achieve maximum viral clearance and
- E. Performance of studies which assess virus inactivation and removal

GLOSSARY

Adventitious Virus: see Virus.

Cell Substrate: cells used to manufacture product.

Endogenous Virus: see Virus.

Inactivation: reduction of virus infectivity caused by chemical or physical modification.

In Vitro Cell Age: a measure of the period between thawing of the MCB vial(s) and harvest of the production vessel measured by elapsed chronological time in culture, population doubling level of the cells, or passage level of the cells when subcultivated by a defined procedure for dilution of the culture.

Master Cell Bank (MCB): an aliquot of a single pool of cells which generally has been prepared from the selected cell clone under defined conditions, dispensed into multiple containers, and stored under defined conditions. The MCB is used to derive all working cell banks. The testing performed on a new MCB (from a previous initial cell clone, MCB, or WCB) should be the same as for the MCB, unless justified.

Minimum Exposure Time: the shortest period for which a treatment step will be maintained.

Nonendogenous Virus: see Virus.

Process Characterization of Viral Clearance: viral clearance studies in which nonspecific “model” viruses are used to assess the robustness of the manufacturing process to remove and/or inactivate viruses.

Process Evaluation Studies of Viral Clearance: viral clearance studies in which “relevant” and/or specific “model” viruses are used to determine the ability of the manufacturing process to remove and/or inactivate these viruses.

Production Cells: cell substrate used to manufacture product.

Unprocessed Bulk: one or multiple pooled harvests of cells and culture media. When cells are not readily accessible, the unprocessed bulk would constitute fluid harvested from the fermenter.

Virus: intracellularly replicating infectious agents that are potentially pathogenic, possessing only a single type of nucleic acid (either RNA or DNA), are unable to grow and undergo binary fission, and multiply in the form of their genetic material.

Adventitious Virus: unintentionally introduced contaminant viruses.

Endogenous Virus: viral entity whose genome is part of the germ line of the species of origin of the cell line and is covalently integrated into the genome of animal from which the parental cell line was derived. For the purposes of this document, intentionally introduced, nonintegrated viruses such as EBV used to immortalize cell substrates or Bovine Papilloma Virus fit in this category.

Nonendogenous Virus: viruses from external sources present in the master cell bank.

Nonspecific Model Virus: a virus used for characterization of viral clearance of the process when the purpose is to characterize the capacity of the manufacturing process to remove and/or inactivate viruses in general, that is, to characterize the robustness of the purification process.

Relevant Virus: virus used in process evaluation studies, which is either the identified virus, or of the same species as the virus that is known, or likely to contaminate the cell substrate or any other reagents or materials used in the production process.

Specific Model Virus: virus which is closely related to the known or suspected virus (same genus or family), having similar physical and chemical properties to those of the observed or suspected virus.

Viral Clearance: elimination of target virus by removal of viral particles or inactivation of viral infectivity.

Virus-Like Particles: structures visible by electron microscopy which morphologically appear to be related to known viruses.

Virus Removal: physical separation of virus particles from the intended product.

Working Cell Bank (WCB): the WCB is prepared from aliquots of a homogeneous suspension of cells obtained from culturing the MCB under defined culture conditions.

APPENDIX 1: PRODUCTS DERIVED FROM CHARACTERIZED CELL BANKS WHICH WERE SUBSEQUENTLY GROWN IN VIVO

For products manufactured from fluids harvested from animals inoculated with cells from characterized banks, additional information regarding the animals should be provided.

Whenever possible, animals used in the manufacture of biotechnological/biological products should be obtained from well-defined, specific pathogen-free colonies. Adequate testing for appropriate viruses, such as those listed in Table 5.3, should be performed. Quarantine procedures for newly

arrived as well as diseased animals should be described and assurance provided that all containment, cleaning, and decontamination methodologies employed within the facility are adequate to contain the spread of adventitious agents. This may be accomplished through the use of a sentinel program. A listing of agents for which testing is performed should also be included. Veterinary support services should be available on-site or within easy access. The degree to which the vivarium is segregated from other areas of the manufacturing facility should be described. Personnel practices should be adequate to ensure safety.

Procedures for the maintenance of the animals should be fully described. These would include diet, cleaning and feeding schedules, provisions for periodic veterinary care if applicable, and details of special handling that the animals may require once inoculated. A description of the priming regimen(s) for the animals, the preparation of the inoculum, and the site and route of inoculation should also be included.

The primary harvest material from animals may be considered an equivalent stage of manufacture to unprocessed bulk harvest from a bioreactor. Therefore, all testing considerations previously outlined in Section IV of this document should apply. In addition, the manufacturer should assess the bioburden of the unprocessed bulk, determine whether the material is free of mycoplasma, and perform species-specific assay(s) as well as *in vivo* testing in adult and suckling mice.

APPENDIX 2: THE CHOICE OF VIRUSES FOR VIRAL CLEARANCE STUDIES

A. Examples of useful "model" viruses

1. Nonspecific "model" viruses representing a range of physicochemical structures:
 - SV40 (Polyomavirus maccacae 1), human polio virus 1 (Sabin), animal parvovirus, or some other small, nonenveloped viruses
 - A parainfluenza virus or influenza virus, Sindbis virus, or some other medium-to-large, enveloped, RNA viruses
 - A herpes virus (e.g., HSV-1 or a pseudorabies virus) or some other medium-to-large, DNA viruses

These viruses are examples only and their use is not mandatory.

2. For rodent cell substrates murine retroviruses are commonly used as specific "model" viruses.

B. Examples of viruses which have been used in viral clearance studies

Several viruses which have been used in viral clearance studies are listed in Table A.1 However, since these are merely examples, the use of any of the viruses in the table is not mandatory and manufacturers are invited to consider other viruses, especially those which may be more appropriate for their individual production processes. Generally,

the process should be assessed for its ability to clear at least three different viruses with differing characteristics.

These viruses are examples only and their use is not mandatory.

APPENDIX 3: STATISTICAL CONSIDERATIONS FOR ASSESSING VIRUS ASSAYS

Virus titrations suffer the problems of variation common to all biological assay systems. Assessment of the accuracy of the virus titrations and reduction factors derived from them and the validity of the assays should be performed to define the reliability of a study. The objective of statistical evaluation is to establish that the study has been carried out to an acceptable level of virological competence.

1. Assay methods may be either quantal or quantitative. Quantal methods include infectivity assays in animals or in tissue-culture-infectious-dose assays, in which the animal or cell culture is scored as either infected or not. Infectivity titers are then measured by the proportion of animals or culture infected. In quantitative methods, the infectivity measured varies continuously with the virus input. Quantitative methods include plaque assays where each plaque counted corresponds to a single infectious unit. Both quantal and quantitative assays are amenable to statistical evaluation.
2. Variation can arise within an assay as a result of dilution errors, statistical effects, and differences within the assay system which are either unknown or difficult to control. These effects are likely to be greater when different assay runs are compared (between-assay variation) than when results within a single assay run are compared (within-assay variation).
3. The 95% confidence limits for results of within-assay variation normally should be on the order of $\pm 0.5 \log_{10}$ of the mean. Within-assay variation can be assessed by standard textbook methods. Between-assay variation can be monitored by the inclusion of a reference preparation, the estimate of whose potency should be within approximately $0.5 \log_{10}$ of the mean estimate established in the laboratory for the assay to be acceptable. Assays with lower precision may be acceptable with appropriate justification.
4. The 95% confidence limits for the reduction factor observed should be calculated wherever possible in studies of clearance of "relevant" and specific "model" viruses. If the 95% confidence limits for the viral assays of the starting material are $+s$, and for the viral assays of the material after the step are $+a$, the 95% confidence limits for the reduction factor are

$$\pm \sqrt{s^2 + a^2} 1$$

PROBABILITY OF DETECTION OF VIRUSES AT LOW CONCENTRATIONS

At low virus concentrations (e.g., in the range of 10 to 1000 infectious particles per liter), it is evident that a sample of a few milliliters may or may not contain infectious particles. The probability, p , that this sample does not contain infectious viruses is

$$p = \left(\frac{V - v}{V} \right)^n$$

where V (liter) is the overall volume of the material to be tested, v (liter) is the volume of the sample, and n is the absolute number of infectious particles statistically distributed in V .

If $V \gg v$, this equation can be approximated by the Poisson distribution:

$$p = e^{-cv}$$

where c is the concentration of infectious particles per liter or,

$$c = \ln \frac{p}{-v}$$

As an example, if a sample volume of 1 mL is tested, the probabilities p at virus concentrations ranging from 10 to 1000 infectious particles per liter are

$$\frac{c \ 10 \ 100 \ 1000}{p \ 0.99 \ 0.90 \ 0.37}$$

This indicates that for a concentration of 1000 viruses per liter, in 37% of sampling, 1 mL will not contain a virus particle.

If only a portion of a sample is tested for virus and the test is negative, the amount of virus which would have to be present in the total sample in order to achieve a positive result should be calculated and this value taken into account when calculating a reduction factor. Confidence limits at 95% are desirable. However, in some instances, this may not be practical due to material limitations.

APPENDIX 4: CALCULATION OF REDUCTION FACTORS IN STUDIES TO DETERMINE VIRAL CLEARANCE

The virus reduction factor of an individual purification or inactivation step is defined as the log₁₀ of the ratio of the virus load in the pre-purification material and the virus load in the post-purification material which is ready for use in the next step of the process. If the following abbreviations are used:

Starting material:

vol v' ; titer $10^{a'}$;
virus load: $(v')(10^{a'})$,

Final material:

vol v'' ; titer $10^{a''}$;
virus load: $(v'')(10^{a''})$,

the individual reduction factors R_i are calculated according to

$$10^{R_i} = \frac{(v')(10^{a'})}{(v'')(10^{a''})}$$

This formula takes into account both the titers and volumes of the materials before and after the purification step.

Because of the inherent imprecision of some virus titrations, an individual reduction factor used for the calculation of an overall reduction factor should be greater than 1.

The overall reduction factor for a complete production process is the sum logarithm of the reduction factors of the individual steps. It represents the logarithm of the ratio of the virus load at the beginning of the first process clearance step and at the end of the last process clearance step. Reduction factors are normally expressed on a logarithmic scale which implies that, while residual virus infectivity will never be reduced to zero, it may be greatly reduced mathematically.

APPENDIX 5: CALCULATION OF ESTIMATED PARTICLES PER DOSE

This is applicable to those viruses for which an estimate of starting numbers can be made, such as endogenous retroviruses.

Example:

I. Assumptions

Measured or estimated concentration of virus in cell culture harvest = 10^6 /mL

Calculated viral clearance factor = $>10^{15}$

Volume of culture harvest needed to make a dose of product = 1 L (10^3 mL)

II. Calculation of Estimated Particles/Dose

$$\frac{(10^6 \text{ virus units/ml}) \times (10^3 \text{ ml/dose})}{\text{Clearance factor} > 10^{15}}$$

$$= \frac{10^9 \text{ particles/does}}{\text{Clearance factor} > 10^{15}}$$

$$\Rightarrow < 10^{-6} \text{ particles/does}$$

Therefore, less than one particle per million doses would be expected.



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6 Analysis of the Expression Construct in Cells Used for Production of rDNA-Derived Protein Products

I. INTRODUCTION

This document presents guidance regarding the characterization of the expression construct for the production of recombinant DNA protein products in eukaryotic and prokaryotic cells. This document is intended to describe the types of information that are considered valuable in assessing the structure of the expression construct used to produce recombinant DNA-derived proteins. This document is not intended to cover the whole quality aspect of rDNA-derived medicinal products.

The expression construct is defined as the expression vector containing the coding sequence of the recombinant protein. Segments of the expression construct should be analyzed using nucleic acid techniques in conjunction with other tests performed on the purified recombinant protein for assuring the quality and consistency of the final product. Analysis of the expression construct at the nucleic acid level should be considered as part of the overall evaluation of quality, taking into account that this testing only evaluates the coding sequence of a recombinant gene and not the translational fidelity nor other characteristics of the recombinant protein, such as secondary structure, tertiary structure, and posttranslational modifications.

II. RATIONALE FOR ANALYSIS OF THE EXPRESSION CONSTRUCT

The purpose of analyzing the expression construct is to establish that the correct coding sequence of the product has been incorporated into the host cell and is maintained during culture to the end of production. The genetic sequence of recombinant proteins produced in living cells can undergo mutations that could alter the properties of the protein with potential adverse consequences to patients. No single experimental approach can be expected to detect all possible modifications to a protein. Protein analytical techniques can be used to assess the amino acid sequence of the protein and structural features of the expressed protein due to posttranslational modifications such as proteolytic processing, glycosylation, phosphorylation, and acetylation. Data from nucleic acid analysis may be useful since protein analytical methods may not detect all changes in protein structure resulting from mutations in the sequence coding for the recombinant protein. The relative importance of nucleic acid analysis and protein analysis will vary from product to product.

Nucleic acid analysis can be used to verify the coding sequence and the physical state of the expression construct. The nucleic acid analysis is performed to ensure that the expressed protein will have the correct amino acid sequence but is not intended to detect low levels of variant sequences. Where the production cells have multiple integrated copies of the expression construct, not all of which may be transcriptionally active, examination of the transcription product itself by analysis of mRNA or cDNA may be more appropriate than analysis of genomic DNA. Analytical approaches that examine a bulk population of nucleic acids, such as those performed on pooled clones or material amplified by the polymerase chain reaction, may be considered as an alternative to approaches that depend on selection of individual DNA clones. Other techniques could be considered that allow for rapid and sensitive confirmation of the sequence coding for the recombinant protein in the expression construct.

The following sections describe information that should be supplied regarding the characterization of the expression construct during the development and validation of the production system. Analytical methodologies should be validated for the intended purpose of confirmation of sequence. The validation documentation should at a minimum include estimates of the limits of detection for variant sequences. This should be performed for either nucleic acid or protein sequencing methods. The philosophy and recommendations for analysis expressed in this document should be periodically reviewed to take advantage of new advances in technology and scientific information.

III. CHARACTERIZATION OF THE EXPRESSION SYSTEM

A. EXPRESSION CONSTRUCT AND CELL CLONE USED TO DEVELOP THE MASTER CELL BANK

The manufacturer should describe the origin of the nucleotide sequence coding for the protein. This should include identification and source of the cell from which the nucleotide sequence was originally obtained. Methods used to prepare the DNA coding for the protein should be described.

The steps in the assembly of the expression construct should be described in detail. This description should include the source and function of the component parts of the expression construct, for example, origins of replication, antibiotic resistance genes, promoters, enhancers, whether or not the

protein is being synthesized as a fusion protein. A detailed component map and a complete annotated sequence of the plasmid should be given, indicating those regions that have been sequenced during the construction and those taken from the literature. Other expressed proteins encoded by the plasmid should be indicated. The nucleotide sequence of the coding region of the gene of interest and associated flanking regions that are inserted into the vector, up to and including the junctions of insertion, should be determined by DNA sequencing of the construct.

A description of the method of transfer of the expression construct into the host cell should be provided. In addition, methods used to amplify the expression construct and criteria used to select the cell clone for production should be described in detail.

B. CELL BANK SYSTEM

Production of the recombinant protein should be based on well-defined Master and Working Cell Banks. A cell bank is a collection of ampoules of uniform composition stored under defined conditions each containing an aliquot of a single pool of cells. The Master Cell Bank (MCB) is generally derived from the selected cell clone containing the expression construct. The Working Cell Bank (WCB) is derived by expansion of one or more ampoules of the MCB. The cell line history and production of the cell banks should be described in detail, including methods and reagents used during culture, in vitro cell age, and storage conditions. All cell banks should be characterized for relevant phenotypic and genotypic markers which could include the expression of the recombinant protein or presence of the expression construct.

The expression construct in the MCB should be analyzed as described below. If the testing cannot be carried out on the MCB, it should be carried out on each WCB.

Restriction endonuclease mapping or other suitable techniques should be used to analyze the expression construct for copy number, for insertions or deletions, and for the number of integration sites. For extrachromosomal expression systems, the percent of host cells retaining the expression construct should be determined.

The protein coding sequence for the recombinant protein product of the expression construct should be verified. For extrachromosomal expression systems, the expression construct should be isolated and the nucleotide sequence encoding the product should be verified without further cloning. For cells with chromosomal copies of the expression construct, the nucleotide sequence encoding the product could be verified by recloning and sequencing of chromosomal copies. Alternatively, the nucleic acid sequence encoding the product could be verified by techniques such as sequencing of pooled cDNA clones or material amplified by the polymerase chain reaction. The nucleic acid sequence should be identical, within the limits of detection of the methodology, to that determined for the expression construct as described in Section III.A and should correspond to that expected for the protein sequence.

C. LIMIT FOR IN VITRO CELL AGE FOR PRODUCTION

The limit for in vitro cell age for production should be based on data derived from production cells expanded under pilot-plant scale or full-scale conditions to the proposed in vitro cell age or beyond. Generally, the production cells are obtained by expansion of the WCB; the MCB could be used to prepare the production cells with appropriate justification.

The expression construct of the production cells should be analyzed once for the MCB as described in Section III.B, except that the protein coding sequence of the expression construct in the production cells could be verified by either nucleic acid testing or analysis of the final protein product. Increases in the defined limit for in vitro cell age for production should be supported by data from cells which have been expanded to an in vitro cell age which is equal to or greater than the new limit for in vitro cell age.

IV. CONCLUSION

The characterization of the expression construct and the final purified protein are both important to ensure the consistent production of a recombinant DNA-derived product. As described above, it is considered that analytical data derived from both nucleic acid analysis and evaluation of the final purified protein should be evaluated to ensure the quality of a recombinant protein product.

GLOSSARY

Expression Construct: the expression vector which contains the coding sequence of the recombinant protein and the elements necessary for its expression.

Flanking Control Regions: noncoding nucleotide sequences that are adjacent to the 5' and 3' end of the coding sequence of the product which contain important elements that affect the transcription, translation, or stability of the coding sequence. These regions include, for example, promoter, enhancer, and splicing sequences and do not include origins of replication and antibiotic resistance genes.

Integration Site: the site where one or more copies of the expression construct is integrated into the host cell genome.

In Vitro Cell Age: measure of time between thaw of the MCB vial(s) to harvest of the production vessel measured by elapsed chronological time in culture, by population doubling level of the cells, or by passage level of the cells when subcultivated by a defined procedure for dilution of the culture.

Master Cell Bank (MCB): an aliquot of a single pool of cells, which generally has been prepared from the selected cell clone under defined conditions, dispensed into multiple containers, and stored under defined conditions. The MCB is used to derive all working cell banks. The testing performed on a new MCB (from a

previous initial cell clone, MCB, or WCB) should be the same as for the MCB unless justified.

Pilot-Plant Scale: the production of a recombinant protein by a procedure fully representative of and simulating that to be applied on a full commercial manufacturing scale. The methods of cell expansion, harvest, and product purification should be identical except for the scale of production.

Relevant Genotypic and Phenotypic Markers: those markers permitting the identification of the strain of the cell line which should include the expression of the recombinant protein or presence of the expression construct.

Working Cell Bank (WCB): the WCB is prepared from aliquots of a homogeneous suspension of cells obtained from culturing the MCB under defined culture conditions.



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7 Stability Testing of Biotechnological/ Biological Products

I. PREAMBLE

The guidance stated in the ICH harmonized tripartite guideline “Stability Testing of New Drug Substances and Products” (October 27, 1993) applies in general to biotechnological/biological products. However, biotechnological/biological products do have distinguishing characteristics to which consideration should be given in any well-defined testing program designed to confirm their stability during the intended storage period. For such products, in which the active components are typically proteins and/or polypeptides, maintenance of molecular conformation and, hence, of biological activity, is dependent on noncovalent as well as covalent forces. The products are particularly sensitive to environmental factors such as temperature changes, oxidation, light, ionic content, and shear. In order to ensure maintenance of biological activity and to avoid degradation, stringent conditions for their storage are usually necessary.

The evaluation of stability may necessitate complex analytical methodologies. Assays for biological activity, where applicable, should be part of the pivotal stability studies. Appropriate physicochemical, biochemical, and immunochemical methods for the analysis of the molecular entity and the quantitative detection of degradation products should also be part of the stability program whenever purity and molecular characteristics of the product permit use of these methodologies.

With the above concerns in mind, the applicant should develop the proper supporting stability data for a biotechnological/biological product and consider many external conditions which can affect the product’s potency, purity, and quality. Primary data to support a requested storage period for either drug substance or drug product should be based on long-term, real-time, and real-condition stability studies. Thus, the development of a proper long-term stability program becomes critical to the successful development of a commercial product. The purpose of this document is to give guidance to applicants regarding the type of stability studies that should be provided in support of marketing applications. It is understood that during the review and evaluation process, continuing updates of initial stability data may occur.

II. SCOPE OF THE ANNEX

The guidance stated in this annex applies to well-characterized proteins and polypeptides, their derivatives and products of which they are components, and which are isolated from tissues, body fluids, cell cultures, or produced using rDNA technology. Thus, the document covers the generation and

submission of stability data for products such as cytokines (interferons, interleukins, colony-stimulating factors, tumor necrosis factors), erythropoietins, plasminogen activators, blood plasma factors, growth hormones and growth factors, insulins, monoclonal antibodies, and vaccines consisting of well-characterized proteins or polypeptides. In addition, the guidance outlined in the following sections may apply to other types of products, such as conventional vaccines, after consultation with the appropriate regulatory authorities. The document does not cover antibiotics, allergenic extracts, heparins, vitamins, whole blood, or cellular blood components.

III. TERMINOLOGY

For the basic terms used in this annex, the reader is referred to the “Glossary” in the ICH harmonized tripartite guideline “Stability Testing of New Drug Substances and Products” (October 27, 1993). However, since manufacturers of biotechnological/biological products sometimes use traditional terminology, traditional terms are specified in parentheses to assist the reader. A supplemental glossary is also included that explains certain terms used in the production of biotechnological/biological products.

IV. SELECTION OF BATCHES

A. DRUG SUBSTANCE (BULK MATERIAL)

Where bulk material is to be stored after manufacture but prior to formulation and final manufacturing, stability data should be provided on at least three batches for which manufacture and storage are representative of the manufacturing scale of production. A minimum of 6 months stability data at the time of submission should be submitted in cases where storage periods greater than 6 months are requested. For drug substances with storage periods of less than 6 months, the minimum amount of stability data in the initial submission should be determined on a case-by-case basis. Data from pilot-plant scale batches of drug substance produced at a reduced scale of fermentation and purification may be provided at the time the dossier is submitted to the regulatory agencies with a commitment to place the first three manufacturing scale batches into the long-term stability program after approval.

The quality of the batches of drug substance placed into the stability program should be representative of the quality of the material used in preclinical and clinical studies and of the quality of the material to be made at manufacturing scale. In addition, the drug substance (bulk material) made at pilot-plant scale should be produced by a process and stored

under conditions representative of those used for the manufacturing scale. The drug substance entered into the stability program should be stored in containers which properly represent the actual holding containers used during manufacture. Containers of reduced size may be acceptable for drug substance stability testing provided that they are constructed of the same material and use the same type of container/closure system that is intended to be used during manufacture.

B. INTERMEDIATES

During manufacture of biotechnological/biological products, the quality and control of certain intermediates may be critical to the production of the final product. In general, the manufacturer should identify intermediates and generate in-house data and process limits that assure their stability within the bounds of the developed process. While the use of pilot-plant scale data is permissible, the manufacturer should establish the suitability of such data using the manufacturing scale process.

C. DRUG PRODUCT (FINAL CONTAINER PRODUCT)

Stability information should be provided on at least three batches of final container product representative of that which will be used at manufacturing scale. Where possible, batches of final container product included in stability testing should be derived from different batches of bulk material. A minimum of 6 months data at the time of submission should be submitted in cases where storage periods greater than 6 months are requested. For drug products with storage periods of less than 6 months, the minimum amount of stability data in the initial submission should be determined on a case-by-case basis. Product expiration dating will be based upon the actual data submitted in support of the application. Since dating is based upon the real-time/real-temperature data submitted for review, continuing updates of initial stability data should occur during the review and evaluation process. The quality of the final container product placed on stability studies should be representative of the quality of the material used in the preclinical and clinical studies. Data from pilot-plant scale batches of drug product may be provided at the time the dossier is submitted to the regulatory agencies with a commitment to place the first three manufacturing scale batches into the long-term stability program after approval. Where pilot-plant scale batches were submitted to establish the dating for a product and, in the event that product produced at manufacturing scale does not meet those long-term stability specifications throughout the dating period or is not representative of the material used in preclinical and clinical studies, the applicant should notify the appropriate regulatory authorities to determine a suitable course of action.

D. SAMPLE SELECTION

Where one product is distributed in batches differing in fill volume (e.g., 1 mL, 2 mL, or 10 mL), unitage (e.g., 10 units, 20

units, or 50 units), or mass (e.g., 1 mg, 2 mg, or 5 mg) samples to be entered into the stability program may be selected on the basis of a matrix system and/or by bracketing.

Matrixing, that is, the statistical design of a stability study in which different fractions of samples are tested at different sampling points, should only be applied when appropriate documentation is provided that confirms that the stability of the samples tested represents the stability of all samples. The differences in the samples for the same drug product should be identified as, for example, covering different batches, different strengths, different sizes of the same closure, and possibly, in some cases, different container/closure systems. Matrixing should not be applied to samples with differences that may affect stability, such as different strengths and different containers/closures, where it cannot be confirmed that the products respond similarly under storage conditions.

Where the same strength and exact container/closure system is used for three or more fill contents, the manufacturer may elect to place only the smallest and largest container sizes into the stability program, that is, bracketing. The design of a protocol that incorporates bracketing assumes that the stability of the intermediate condition samples is represented by those at the extremes. In certain cases, data may be needed to demonstrate that all samples are properly represented by data collected for the extremes.

V. STABILITY-INDICATING PROFILE

On the whole, there is no single stability-indicating assay or parameter that profiles the stability characteristics of a biotechnological/biological product. Consequently, the manufacturer should propose a stability-indicating profile that provides assurance that changes in the identity, purity, and potency of the product will be detected.

At the time of submission, applicants should have validated the methods that comprise the stability-indicating profile and the data should be available for review. The determination of which tests should be included will be product-specific. The items emphasized in the following subsections are not intended to be all-inclusive but represent product characteristics that should typically be documented to adequately demonstrate product stability.

A. PROTOCOL

The dossier accompanying the application for marketing authorization should include a detailed protocol for the assessment of the stability of both drug substance and drug product in support of the proposed storage conditions and expiration dating periods. The protocol should include all necessary information which demonstrates the stability of the biotechnological/biological product throughout the proposed expiration dating period including, for example, well-defined specifications and test intervals. The statistical methods that should be used are described in the tripartite guideline on stability.

B. POTENCY

When the intended use of a product is linked to a definable and measurable biological activity, testing for potency should be part of the stability studies. For the purpose of stability testing of the products described in this guideline, potency is the specific ability or capacity of a product to achieve its intended effect. It is based on the measurement of some attribute of the product and is determined by a suitable quantitative method. In general, potencies of biotechnological/biological products tested by different laboratories can be compared in a meaningful way only if expressed in relation to that of an appropriate reference material. For that purpose, a reference material calibrated directly or indirectly against the corresponding national or international reference material should be included in the assay.

Potency studies should be performed at appropriate intervals as defined in the stability protocol, and the results should be reported in units of biological activity calibrated, whenever possible, against a nationally or internationally recognized standard. Where no national or international reference standards exist, the assay results may be reported in in-house derived units using a characterized reference material.

In some biotechnological/biological products, potency is dependent upon the conjugation of the active ingredient(s) to a second moiety or binding to an adjuvant. Dissociation of the active ingredient(s) from the carrier used in conjugates or adjuvants should be examined in real-time/real-temperature studies (including conditions encountered during shipment). The assessment of the stability of such products may be difficult since, in some cases, *in vitro* tests for biological activity and physicochemical characterization are impractical or provide inaccurate results. Appropriate strategies (e.g., testing the product prior to conjugation/binding, assessing the release of the active compound from the second moiety, *in vivo* assays) or the use of an appropriate surrogate test should be considered to overcome the inadequacies of *in vitro* testing.

C. PURITY AND MOLECULAR CHARACTERIZATION

For the purpose of stability testing of the products described in this guideline, purity is a relative term. Because of the effect of glycosylation, deamidation, or other heterogeneities, the absolute purity of a biotechnological/biological product is extremely difficult to determine. Thus, the purity of a biotechnological/biological product should be typically assessed by more than one method, and the purity value derived is method-dependent. For the purpose of stability testing, tests for purity should focus on methods for determination of degradation products.

The degree of purity, as well as individual and total amounts of degradation products of the biotechnological/biological product entered into the stability studies, should be reported and documented whenever possible. Limits of acceptable degradation should be derived from the analytical profiles of batches of the drug substance and drug product used in the preclinical and clinical studies.

The use of relevant physicochemical, biochemical, and immunochemical analytical methodologies should permit a comprehensive characterization of the drug substance and/or drug product (e.g., molecular size, charge, hydrophobicity) and the accurate detection of degradation changes that may result from deamidation, oxidation, sulfoxidation, aggregation, or fragmentation during storage. As examples, methods that may contribute to this include electrophoresis (SDS-PAGE, immunoelectrophoresis, Western blot, isoelectrofocusing), high-resolution chromatography (e.g., reversed-phase chromatography, gel filtration, ion exchange, affinity chromatography), and peptide mapping.

Wherever significant qualitative or quantitative changes indicative of degradation product formation are detected during long-term, accelerated, and/or stress stability studies, consideration should be given to potential hazards and to the need for characterization and quantification of degradation products within the long-term stability program. Acceptable limits should be proposed and justified, taking into account the levels observed in material used in preclinical and clinical studies.

For substances that cannot be properly characterized or products for which an exact analysis of the purity cannot be determined through routine analytical methods, the applicant should propose and justify alternative testing procedures.

D. OTHER PRODUCT CHARACTERISTICS

The following product characteristics, though not specifically relating to biotechnological/biological products, should be monitored and reported for the drug product in its final container:

- Visual appearance of the product (color and opacity for solutions/suspensions; color, texture, and dissolution time for powders), visible particulates in solutions or after the reconstitution of powders or lyophilized cakes, pH, and moisture level of powders and lyophilized products.
- Sterility testing or alternatives (e.g., container/closure integrity testing) should be performed at a minimum initially and at the end of the proposed shelf life.
- Additives (e.g., stabilizers, preservatives) or excipients may degrade during the dating period of the drug product. If there is any indication during preliminary stability studies that reaction or degradation of such materials adversely affect the quality of the drug product, these items may need to be monitored during the stability program.
- The container/closure has the potential to adversely affect the product and should be carefully evaluated (see below).

VI. STORAGE CONDITIONS

A. TEMPERATURE

Since most finished biotechnological/biological products need precisely defined storage temperatures, the storage conditions

for the real-time/real-temperature stability studies may be confined to the proposed storage temperature.

B. HUMIDITY

Biotechnological/biological products are generally distributed in containers protecting them against humidity. Therefore, where it can be demonstrated that the proposed containers (and conditions of storage) afford sufficient protection against high and low humidity, stability tests at different relative humidities can usually be omitted. Where humidity-protecting containers are not used, appropriate stability data should be provided.

C. ACCELERATED AND STRESS CONDITIONS

As previously noted, the expiration dating should be based on real-time/real-temperature data. However, it is strongly suggested that studies be conducted on the drug substance and drug product under accelerated and stress conditions. Studies under accelerated conditions may provide useful support data for establishing the expiration date, provide product stability information for future product development (e.g., preliminary assessment of proposed manufacturing changes such as change in formulation, scale-up), assist in validation of analytical methods for the stability program, or generate information which may help elucidate the degradation profile of the drug substance or drug product. Studies under stress conditions may be useful in determining whether accidental exposures to conditions other than those proposed (e.g., during transportation) are deleterious to the product and also for evaluating which specific test parameters may be the best indicators of product stability. Studies of the exposure of the drug substance or drug product to extreme conditions may help to reveal patterns of degradation; if so, such changes should be monitored under proposed storage conditions. While the tripartite guideline on stability describes the conditions of the accelerated and stress study, the applicant should note that those conditions may not be appropriate for biotechnological/biological products. Conditions should be carefully selected on a case-by-case basis.

D. LIGHT

Applicants should consult the appropriate regulatory authorities on a case-by-case basis to determine guidance for testing.

E. CONTAINER/CLOSURE

Changes in the quality of the product may occur due to the interactions between the formulated biotechnological/biological product and container/closure. Where the lack of interactions cannot be excluded in liquid products (other than sealed ampoules), stability studies should include samples maintained in the inverted or horizontal position (i.e., in contact with the closure), as well as in the upright position, to determine the effects of the closure on product quality. Data should

be supplied for all different container/closure combinations that will be marketed.

In addition to the standard data necessary for a conventional single-use vial, the applicant should demonstrate that the closure used with a multiple-dose vial is capable of withstanding the conditions of repeated insertions and withdrawals so that the product retains its full potency, purity, and quality for the maximum period specified in the instructions-for-use on containers, packages, and/or package inserts. Such labeling should be in accordance with relevant national/regional requirements.

F. STABILITY AFTER RECONSTITUTION OF FREEZE-DRIED PRODUCT

The stability of freeze-dried products after their reconstitution should be demonstrated for the conditions and the maximum storage period specified on containers, packages, and/or package inserts. Such labeling should be in accordance with relevant national/regional requirements.

VII. TESTING FREQUENCY

The shelf lives of biotechnological/biological products may vary from days to several years. Thus, it is difficult to draft uniform guidelines regarding the stability study duration and testing frequency that would be applicable to all types of biotechnological/biological products. With only a few exceptions, however, the shelf lives for existing products and potential future products will be within the range of 0.5 to 5 years. Therefore, the guidance is based upon expected shelf lives in that range. This takes into account the fact that degradation of biotechnological/biological products may not be governed by the same factors during different intervals of a long storage period.

When shelf lives of 1 year or less are proposed, the real-time stability studies should be conducted monthly for the first 3 months and at 3-month intervals thereafter.

For products with proposed shelf lives of greater than 1 year, the studies should be conducted every 3 months during the first year of storage, every 6 months during the second year, and annually thereafter.

While the testing intervals listed above may be appropriate in the preapproval or pre-license stage, reduced testing may be appropriate after approval or licensure where data are available that demonstrate adequate stability. Where data exist that indicate the stability of a product is not compromised, the applicant is encouraged to submit a protocol which supports elimination of specific test intervals (e.g., 9-month testing) for post-approval/post-licensure and long-term studies.

VIII. SPECIFICATIONS

Although biotechnological/biological products may be subject to significant losses of activity, physicochemical changes, or degradation during storage, international and national regulations have provided little guidance with respect to distinct release and end of shelf life specifications.

Recommendations for maximum acceptable losses of activity, limits for physicochemical changes, or degradation during the proposed shelf life have not been developed for individual types or groups of biotechnological/biological products but are considered on a case-by-case basis. Each product should retain its specifications within established limits for safety, purity, and potency throughout its proposed shelf life. These specifications and limits should be derived from all available information using the appropriate statistical methods. The use of different specifications for release and expiration should be supported by sufficient data to demonstrate that clinical performance is not affected as discussed in the tripartite guideline on stability.

IX. LABELING

For most biotechnological/biological drug substances and drug products, precisely defined storage temperatures are recommended. Specific recommendations should be stated, particularly for drug substances and drug products that cannot tolerate freezing. These conditions, and where appropriate, recommendations for protection against light and/or humidity, should appear on containers, packages, and/or package inserts. Such labeling should be in accordance with relevant national/regional requirements.

GLOSSARY

Conjugated Product: a conjugated product is made up of an active ingredient (e.g., peptide, carbohydrate) bound covalently or noncovalently to a carrier (e.g., protein, peptide, inorganic mineral) with the objective of improving the efficacy or stability of the product.

Degradation Product: a molecule resulting from a change in the drug substance (bulk material) brought about over time. For the purpose of stability testing of the products described in this guideline, such changes could occur as a result of processing or storage (e.g., by deamidation, oxidation, aggregation, proteolysis). For biotechnological/biological products, some degradation products may be active.

Impurity: any component of the drug substance (bulk material) or drug product (final container product) which is not the chemical entity defined as the drug substance, an excipient, or other additives to the drug product.

Intermediate: for biotechnological/biological products, a material produced during a manufacturing process which is not the drug substance or the drug product but whose manufacture is critical to the successful production of the drug substance or the drug product. Generally, an intermediate will be quantifiable, and specifications will be established to determine the successful completion of the manufacturing step prior to continuation of the manufacturing process. This includes material which may undergo further molecular modification or be held for an extended period of time prior to further processing.

Manufacturing-Scale Production: manufacture at the scale typically encountered in a facility intended for product production for marketing.

Pilot-Plant Scale: the production of the drug substance or drug product by a procedure fully representative of and simulating that to be applied at manufacturing scale. The methods of cell expansion, harvest, and product purification should be identical except for the scale of production.



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8 Derivation and Characterization of Cell Substrates Used for Production of Biotechnological/Biological Products

I. INTRODUCTION

A. OBJECTIVE

The objective of this guideline is to provide broad guidance on appropriate standards for the derivation of human and animal cell lines and microbial cells to be used to prepare biotechnological/biological products defined in Section 1.C, Scope, and for the preparation and characterization of cell banks to be used for production. The document, therefore, provides recommendations on the information in these areas that should be presented in market applications for these products.

B. RATIONALE

Historically, some quality concerns for cell-derived biological products have originated from the presence of adventitious contaminants or from the properties of the cells used to prepare the product. Recombinant DNA (rDNA)-derived products also carry quality concerns regarding the expression construct contained in the cell substrate. Thus, it is well established that the properties of the cell substrate and events linked to the cell substrate can affect resultant product quality and safety and, further, that effective quality control of these products requires appropriate controls on all aspects of handling the cell substrate.

This document complements other guidelines to provide a comprehensive approach to quality issues arising from biological aspects of processing products from metazoan and microbial cell culture.

C. SCOPE

This guideline covers cell substrates having a cell banking system. In this document, “cell substrate” refers to microbial cells or cell lines derived from human or animal sources that possess the full potential for generation of the desired biotechnological/biological products for human in vivo or ex vivo use. Reagents for in vitro diagnostic use are outside the scope of this document. Animal sources of cell lines include all those of metazoan origin. Both continuous cell lines of indefinite in vitro life span and diploid cells of finite in vitro life span are included. Microbial sources include bacteria, fungi, yeast, and other unicellular life forms.

“Biotechnological/biological products” refers to any products prepared from cells cultivated from cell banks with the exception of microbial metabolites such as, for example,

antibiotics, amino acids, carbohydrates, and other low-molecular-weight substances. Cell banks used to prepare gene therapy products or vaccines should follow the recommendations presented in this document. Some biological products, such as certain viral vaccines, are prepared in primary cell cultures derived directly from animal tissues or organs. Primary cells are not banked and therefore are not addressed by this document. However, other considerations which may apply to primary cells are discussed further in Appendix 1 of this document.

II. GUIDELINES

A. SOURCE, HISTORY, AND GENERATION OF THE CELL SUBSTRATE

1. Introduction

It is important to provide supportive documentation which describes the history of the cell substrate that is used in the manufacture of a biotechnological/biological product, as well as any parental cell line from which it was totally or partially derived. Events during the research and development phases of the cell substrate may contribute significantly to assessment of the risks associated with the use of that particular cell substrate for production. The information supplied in this regard is meant to facilitate an overall evaluation, which will ensure the quality and safety of the product.

Careful records of the manipulation of the cell substrate should be maintained throughout its development. Description of cell history is only one tool of many used for cell substrate characterization. In general, deficiencies in documented history may not, by itself, be an impediment to product approval, but extensive deficiencies will result in increased reliance on other methods to characterize the cell substrate.

2. Origin, Source, and History of Cells

The source of cells (laboratory or culture collection) from which the cell substrate was derived should be stated, and relevant references from the scientific literature should be cited. Information obtained directly from the source laboratory is preferred. When this is not available, literature references may be utilized.

For human cell lines, it is relevant to describe the following characteristics of the original donor: tissue or organ of origin, ethnic and geographical origin, age, sex, and general physiological condition. If known, the state of health or medical

history of the donor should be reported along with the results of any tests of the donor for pathogenic agents. Specifically for human diploid fibroblasts, the age of the donor may influence the *in vitro* life span of the cell line, and this information should be provided if available. For animal cell lines, relevant descriptions of the source include species, strains, breeding conditions, tissue or organ of origin, geographical origin, age and sex, the results of tests for pathogenic agents, and general physiological condition of the original donor.

For microbes, manufacturers should describe the species, strain, and known genotypic and phenotypic characteristics of the organism from which the cell substrate was derived. Manufacturers should also describe the pathogenicity, toxin production, and other biohazard information, if any.

The cultivation history of the cells should be documented. The method originally used for the isolation of the cells should be described as well as the procedures used in the culturing of the cells *in vitro* and any procedures used to establish cell lines (e.g., use of any physical, chemical, or biological procedure, or added nucleotide sequences). A description of any genetic manipulation or selection should be provided. All available information regarding the identification, characteristics, and results of testing of these cells for endogenous and adventitious agents should be provided.

For continuous cell lines of metazoan origin, it is usually adequate to quantitate culture duration by estimation of either number of population doublings, or number of subcultivations at defined dilution ratio, or time in days. For diploid cell lines possessing finite *in vitro* life span, accurate estimation of the number of population doublings during all stages of research, development, and manufacturing is important. For microbial cells, documentation of subcultivation frequency after cell substrate generation is considered adequate.

Regarding the generation of cell substrates, applicants should provide a thorough discussion of procedures which would provide exposure to infectious agents. Constituents of the culture medium should be described, in particular, information regarding exposure of the cells to materials of human or animal origin such as serum, enzymes, hydrolysates, or other living cells. The description should include the source, method of preparation and control, test results, and quality assurance. Relevant literature on these points may be referenced when available. This information will allow a detailed analysis of potential entry routes for adventitious agents from these sources and will be part of the risk–benefit analysis of the product.

3. Generation of the Cell Substrate

A crucial step is the choice of a suitable parental cell line. For recombinant products, a parental cell line is typically the untransfected recipient cell line. The use of characterized parental cell banks is suggested but is not considered essential. A characterized parental cell bank may be of benefit, especially when multiple cell substrates are generated from the same parental cell type, by providing a set of information on which the quality assessment of the Master Cell Bank (MCB) can be based. For example, the myeloma cell line may be banked as a parental cell line for hybridomas.

During the generation of the cell substrate, one or more specific procedures may be utilized in the ultimate development of the desired characteristics. These may include, for example, cell fusion, transfection, selection, colony isolation, cloning, gene amplification, and adaptation to specific culture conditions or media. Information regarding the methodologies utilized in developing the cell substrate can help to provide a clear understanding of the history of the cell substrate. Some cell substrates such as human diploid fibroblasts may not need extensive manipulation or cloning prior to cell banking.

For recombinant products, the cell substrate is the transfected cell containing the desired sequences, which has been cloned from a single cell progenitor. For further information on generation of rDNA-modified cell substrates, consult other relevant (e.g., regional or international) guidelines. For nonrecombinant products or nonrecombinant vaccines, the cell substrate is the cell from the parental cell line chosen for preparation of the MCB without further modification. For products derived from hybridomas, the cell substrate is the hybridoma cell line derived by fusion of the parental myeloma cell line with other parental cells, for example, immune spleen cells.

B. CELL BANKING

One of the most important advantages of using serially subcultivated cells to produce biotechnological/biological products is the ability to have a characterized common starting source for each production lot, that is, the preserved bank of cells. Manufacturers may prepare their own cell banks or may obtain them from external sources. Manufacturers are responsible for ensuring the quality of each cell bank and of the testing performed on each bank.

1. Cell Banking System

The concept of a two-tiered cell bank, in which the MCB which is used to generate Working Cell Banks (WCBs), is generally accepted as the most practical approach to providing a supply of cell substrate for continued manufacture of the product. Manufacturers should describe their strategy for providing a continued supply of cells from their cell bank(s), including the anticipated utilization rate of the cell bank(s) for production, the expected intervals between generation of new cell bank(s), and the criteria for qualification of cell bank(s).

Generally, the MCB is made first, usually directly from an initial clone or from a preliminary cell bank derived from an initial clone. It is not considered necessary to prepare cell banks from clones for certain types of cells (e.g., diploid cells, where limited *in vitro* life span or other technical factors make cell cloning impractical) or where the uncloned cell population is already adequately homogeneous for the intended use.

A WCB is derived from one or more containers of the MCB. It is the WCB which is typically used to directly provide cells for the manufacturing process. Additional WCBs are generated from the MCB as needed. A newly prepared WCB should be appropriately qualified by characterization and testing.

It should be noted that the MCB and WCB may differ from each other in certain respects, for example, culture components and culture conditions. Similarly, the culture conditions used to prepare the MCB and WCB may differ from those used for the production process. If changes in cell culture process do not affect product quality, it is not considered necessary to reclone the cells or to rebank the MCB or WCB. It is important that a characterized bank provides a consistent product.

A single-tiered banking system consisting only of the MCB but no WCBs could be used in principle, for example, if relatively few containers were needed each year to produce the desired product.

In some microbial expression systems, a new transformation is performed for each new cell substrate container lot, based upon using aliquots of thoroughly tested host cell banks and plasmid banks for each new transformation and on testing of each transformed cell substrate bank. This transformed cell substrate bank is considered the MCB, and it is used as the source of cell substrate for production. Host cell banks, plasmid banks, and MCBs are maintained by appropriate preservation methods. This alternative system is considered adequate because the transformation of bacteria and yeast is generally a very reproducible and easily performed process, unlike the events needed for transfection of metazoan cells. Manufacturers should provide information on the host cells, rDNA molecules (such as plasmids), method of transformation and of cell banking, and the results of characterization studies.

2. Cell Banking Procedures

It is important to prevent a contaminated cell substrate (or bank) from being used in production and to avoid a loss of product availability or development time resulting from the need to recreate a cell bank found to be unusable due to contamination. It is recognized that no cell bank testing regimen is able to detect all potential contaminants; therefore, use of these preventive principles during cell banking is important to provide reasonable assurance of the absence of contamination and to provide a reliable source of the cell substrate.

Manufacturers should describe the type of banking system used, the size of the cell bank(s), the container (vials, ampoules, or other appropriate vessels) and closure system used, the methods used for preparation of the cell bank(s) including the cryoprotectants and media used, and the conditions employed for cryopreservation and storage.

Manufacturers should describe the procedures used to avoid microbial contamination and cross-contamination by other cell types present in the laboratory, and the procedures that allow the cell bank containers to be traced. This should include a description of the documentation system as well as that of a labeling system, which can withstand the process of preservation, storage, and recovery from storage without loss of labeling information on the container.

Manufacturers should describe their cell banking procedures. Cells are generally prepared for banking by expanding cultures in a progressively greater number or larger size of vessel until a pool of cells can be obtained which is sufficient to

generate enough containers for the bank. To ensure the uniform composition of the contents of each container, a single pool of cells for banking should be prepared by combining the cells from all of the culture vessels, if more than one vessel is used.

Cells suspended in preservation medium are aliquoted from the single pool into sterilized containers which are then sealed and stored under appropriate conditions. For example, animal cells in media containing a cryoprotectant are frozen in the sealed containers under defined and controlled conditions, and then transferred to storage in the vapor or liquid phase of liquid nitrogen or at equivalent ultralow temperatures. Other methods of preservation and storage may be adequate depending on the organism used, but they should be capable of maintaining a level of cell viability upon reconstitution which is both consistent and adequate for production use.

To ensure continuous, uninterrupted production of pharmaceuticals, manufacturers should carefully consider the steps that can be taken to provide for protection from catastrophic events that could render the cell bank unusable. Examples of these events include fires, power outages, and human error. Manufacturers should describe their plans for such precautions; for example, these may include redundancy in the storage of bank containers in multiple freezers, use of back-up power, use of automatic liquid nitrogen fill systems for storage units, storage of a portion of the MCB and WCB at remote sites, or regeneration of the MCB.

The starting point of reference for estimates of in vitro cell age during manufacturing should be the thawing of one or more containers of the MCB. For diploid cell lines, in vitro life span should be estimated in terms of population doubling levels. The population doubling level at which senescence occurs should be determined for diploid cells.

C. GENERAL PRINCIPLES OF CHARACTERIZATION AND TESTING OF CELL BANKS

The characterization and testing of banked cell substrates are a critical component of the control of biotechnological and biological products. Characterization of the MCB allows the manufacturer to assess this source with regard to presence of cells from other lines, adventitious agents, endogenous agents, and molecular contaminants (e.g., toxins or antibiotics from the host organism). The objective of this testing is to confirm the identity, purity, and suitability of the cell substrate for manufacturing use. In some cases, additional testing such as tumorigenicity or karyology may be useful. The testing program chosen for a given cell substrate will vary according to the biological properties of the cells (e.g., growth requirements), its cultivation history (including use of human-derived and animal-derived biological reagents), and available testing procedures. The extent of characterization of a cell substrate may influence the type or level of routine testing needed at later stages of manufacturing. Manufacturers should perform tests for identity and purity once for each MCB, and tests of stability during cell cultivation once for each product to be registered. In addition, tests of purity and limited tests of identity should be performed once on each WCB. Also,

applicants should consult the ICH guideline on viral safety. Relevant tests among those described below should be performed and described in the market application, along with the results of the testing.

For cell lines containing exogenously assembled expression constructs, the relevant ICH guideline on rDNA expression constructs should be consulted for guidance on the characterization of nucleotide and amino acid sequences. It may also be useful to examine, by similar methods, the coding sequences in some nonrecombinant DNA-derived cell lines where the gene sequences have been characterized and are well understood. However, it is not considered necessary to carry out investigations of the sequences encoding complex natural products, for example, families of related gene products, microbial vaccine antigens, or monoclonal antibodies from hybridomas.

Manufacturers are also encouraged to employ “state-of-the-art” methods and technological improvements in cell substrate characterization and testing as they become available, as long as the specificity, sensitivity, and precision of the newer methods are at least equivalent to those of existing methods.

The manufacturer may choose to characterize WCB instead of the MCB, if justified.

1. Tests of Identity

Appropriate tests should be performed to determine that the banked cell is what it is represented to be. Either phenotypic or genotypic characteristics may be used in identity testing. It is not considered necessary to do all the possible tests. Tests of identity are generally performed on the MCB. In addition, limited identity testing is generally performed on each WCB.

a. Metazoan Cells

For human or animal cells which grow attached to a substratum, morphological analysis may be a useful tool in conjunction with other tests. In most cases, isoenzyme analysis is sufficient to confirm the species of origin for cell lines derived from human or animal sources; other tests may be appropriate depending on the history of the cell line. Other technologies may be substituted to confirm species of origin, including, for example, banding cytogenetics or use of species-specific antisera. An alternative strategy would be to demonstrate the presence of unique markers, for example, by using banding cytogenetics to detect a unique marker chromosome or DNA analysis to detect a genomic polymorphism pattern (e.g., restriction fragment length polymorphism, variable number of tandem repeats, or genomic dinucleotide repeats). Either confirmation of species of origin or presence of known unique cell line markers is considered an adequate test of identity. Expression of the desired product may represent a complementary approach to confirmation of identity.

b. Microbial Cells

For most microbial cells, analysis of growth on selective media is usually adequate to confirm host cell identity at the species level for the host cell bank and the transformed cell bank. For *Escherichia coli*, where a variety of strains may be used, biological characterization methods such as phage typing should

be considered as supplementary tests of identity. For plasmid banks, identity assessment can be accomplished as described by the ICH document on analysis of the expression construct. Expression of the desired product is also considered adequate to confirm the identity of the microbial expression system.

2. Tests of Purity

A critical aspect of cell development and banking is the assessment that the MCB and WCB are biologically pure, that is, are free from adventitious microbial agents and adventitious cellular contaminants. The impact of selective agents and antibiotics on the detection of adventitious microbial contaminants should be considered when planning and performing these tests.

a. Metazoan Cells

Tests for the presence of bioburden (bacteria and fungi) should be performed on individual containers (1% of the total number but not less than two containers) of the MCB and WCB. In all other aspects, the current methodologies described in either the European Pharmacopoeia (Ph. Eur.), the Japanese Pharmacopoeia (JP), or the U.S. Pharmacopoeia (USP) for testing microbial limits or microbial sterility may be considered adequate.

Tests for the presence of mycoplasma should be performed on the MCB and WCB. Current procedures considered adequate include both the agar and broth media procedures as well as the indicator cell culture procedure. Current methods for mycoplasma testing are described in Ph. Eur., JP, and “Points to Consider in the Characterization of Cell Lines Used to Produce Biologicals” (FDA, CBER, 1993). Testing cells derived from a single container is generally considered adequate. For nonmammalian animal cell lines, alternative controls and/or assay conditions may be appropriate; manufacturers should consult with the national/regional regulatory authority for appropriate methodology.

If future efforts to harmonize bioburden and mycoplasma assays are fruitful, then the scientifically appropriate harmonized assay should be used.

Virus testing of cell substrates should be designed to detect a wide spectrum of viruses by using appropriate screening tests and relevant specific tests, based on the cultivation history of the cell line, to detect possible contaminating viruses. Applicants should consult the ICH guideline on viral safety. For product classes not covered by the viral safety guideline, the current WHO documents for use of animal cells may be consulted.

The purity of cell substrates can be compromised through contamination by cell lines of the same or different species of origin. The choice of tests to be performed depends upon whether opportunities have existed for cross-contamination by other cell lines. In some cases, it may be necessary to maintain growing cultures of different cell lines in the same laboratory. During procedures in cell banking where open manipulations are performed, care should be taken to ensure that simultaneous open manipulations of other cell lines are avoided to prevent cross-contamination. Whenever another cell line was present in the cell banking room at the same time that open cell banking procedures were being performed (such as cell expansion,

pooling, or aliquoting of the chosen cell line), the cell banks should be tested for the presence of cells from (or products derived from) the second cell line. In general, the methods to assess cell identity are also considered adequate tests to detect cross-contamination by other cell lines. Additional assurance of lack of cross-contamination can be provided by successful preparation of the intended product from the cell substrate.

b. Microbial Cells

The design and performance of specific tests for adventitious microbial agents and adventitious cellular contaminants in microbial cell banks should take into account the properties of the banked cell, the likely contaminants based upon scientific literature, source, methods and materials used for cultivation, and other organisms present in the banking laboratory. For example, visual examination of the characteristics of well-isolated colonies is suggested, using several microbiological media, of which some do and some do not support growth of the cell substrate. However, it is not intended that manufacturers necessarily characterize resistant mutants of the cell substrate arising from such studies or other artifacts of such assays. Rather, the purpose of such assays is to detect existing contaminants.

3. Cell Substrate Stability

Another dimension to cell characterization is appropriateness for intended use in production. There are two concerns for cell substrate stability: consistent production of the intended product and retention of production capacity during storage under defined conditions.

For the evaluation of stability during cultivation for production, at least two time points should be examined, one using cells which have received a minimal number of sub-cultivations and another using cells at or beyond the limit of in vitro cell age for production use described in the marketing application. The limit of in vitro cell age for production use should be based on data derived from production cells expanded under pilot-plant scale or commercial scale conditions to the proposed limit of in vitro cell age for production use or beyond. Generally, the production cells are obtained by expansion of cells from the WCB; cells from the MCB could be used with appropriate justification. This demonstration of cell substrate stability is commonly performed once for each product marketing application.

Evaluation of the cell substrate with respect to the consistent production of the intended product of interest should be the primary subject of concern. The type of testing and test article(s) used for such assessments will depend on the nature of the cell substrate, the cultivation methods, and the product. For cell lines containing recombinant DNA expression constructs, consistency of the coding sequence of the expression construct should be verified in cells cultivated to the limit of in vitro cell age for production use or beyond by either nucleic acid testing or product analysis, as described in the relevant ICH guideline. For nonrecombinant cell lines in which the coding sequence for the desired product has already been analyzed at the MCB or WCB level, invariability of the protein coding sequence during production should be verified in the

production cells cultivated to the proposed limit of in vitro cell age for production use or beyond by either nucleic acid testing or analysis of the purified protein product.

Where the product cannot be analyzed as described above, other specific traits which may include, for example, morphological characteristics, growth characteristics, biochemical markers, immunological markers, productivity of the desired product, or other relevant genotypic or phenotypic markers may be useful for the assessment of cell substrate stability. In some cases, where direct comparison of the characteristics of the MCB with those of the production cells at or beyond the limit of in vitro cell age is difficult or impossible, one may compare the characteristics of cells at the initial stages of cultivation or production to those of cells at or beyond the limit of in vitro cell age for production use in order to assess cell stability during production. Indices such as, for example, oxygen or glucose consumption rates, ammonia, or lactate production rates may be useful for such testing. Increases in the defined limit of in vitro cell age for production use should be supported by data from cells which have been expanded to the proposed new limit of in vitro cell age. For diploid cell lines, data should be presented that establish the finite in vitro life span of the cells from the WCB under conditions representative of those employed for manufacturing use.

Evidence for banked cell stability under defined storage conditions will usually be generated during production of clinical trial material from the banked cells. Data from the determination of cell viability when the preserved cells are reconstituted for production of clinical trial supplies will verify that the revived cells have survived the preservation process. Data from the preparation of clinical materials will demonstrate that the revived cells can be used to prepare the desired product. Available data should be clearly documented in the application dossiers, plus a proposal for monitoring of banked cell stability should be provided. The proposed monitoring can be performed at the time that one or more containers of the cryopreserved bank is thawed for production use, when the product or production consistency is monitored in a relevant way, or when one or more containers of the cryopreserved MCB is thawed for preparation of a new WCB (and the new WCB is properly qualified), as appropriate. In the case when production does not take place for a long period of time, viability testing on the cell bank used as a source of the production substrate should be performed at an interval described in the marketing application. If the viability of the cell substrate is not significantly decreased, generally no further testing of the MCB or WCB is considered necessary.

4. Tests for Karyology and Tumorigenicity

Utilization of karyology and tumorigenicity testing for evaluating the safety of a diploid cell line or characterizing a new cell line may be useful depending on the cells, the nature of the product, and the manufacturing process. Extensive analysis to determine the relative abundance of aneuploid cells has not been found to be useful. Karyology need not be determined for rodent cell lines or new cell lines known to be non-diploid. However, cytogenetic analysis may be an adequate

method to assess cell substrate identity or purity. Repetition of tumorigenicity testing for cells with already documented evidence of tumorigenicity is not considered necessary.

For products that are highly purified and that contain no cells, karyology and tumorigenicity testing are generally not considered necessary, provided that appropriate limits for residual host cell DNA are shown to be consistently met by either process validation studies or by lot release testing.

In general, products for which the presence of live cells cannot be excluded or which have little downstream purification (e.g., some conventional live virus vaccines) will need such characterization of the cell substrate. The utility of tumorigenicity testing and chromosomal analysis for new cell substrates for unpurified products should be evaluated on a case-by-case basis. Use of cell lines known to be tumorigenic or to possess abnormal karyology should be evaluated in terms of risk–benefit for each product application when the product contains cells or when not highly purified.

Products that are manufactured in genetically unmodified MRC-5 or WI-38 cells do not need characterization of these cell substrates by karyology or tumorigenicity since extensive characterization has already been performed and published for these cell lines. However, for each MRC-5 and WI-38 WCB generated, manufacturers should confirm, once, that the cells grown in the manner to be used in production are diploid and have the expected life span.

For new or previously uncharacterized diploid cell substrates, confirmation of diploid karyology should be presented and tumorigenic potential should be established, using cells from the MCB. Methods for karyological and tumorigenicity analysis may be found in the WHO document “WHO Requirements for Use of Animal Cells as *in vitro* Substrates for the Production of Biologicals” (WHO Technical Report Series, *in press*).

GLOSSARY

Cell Bank: a cell bank is a collection of appropriate containers, whose contents are of uniform composition, stored under defined conditions. Each container represents an aliquot of a single pool of cells.

Cell Line: type of cell population which originates by serial subculture of a primary cell population, which can be banked.

Continuous Cell Line: a cell line having an infinite capacity for growth. Often referred to as “immortal” and previously referred to as “established.”

Diploid Cell Line: a cell line having a finite *in vitro* life span in which the chromosomes are paired (euploid) and are structurally identical to those of the species from which they were derived.

Host Cells: see Parental Cells.

In Vitro Cell Age: measure of time between thaw of the MCB vial(s) to harvest of the production vessel measured by elapsed chronological time, by population doubling level of the cells, or by passage level of the

cells when subcultivated by a defined procedure for dilution of the culture.

Metazoan: organism of multicellular animal nature.

Master Cell Bank (MCB): an aliquot of a single pool of cells which generally has been prepared from the selected cell clone under defined conditions, dispensed into multiple containers, and stored under defined conditions. The MCB is used to derive all working cell banks. The testing performed on a new MCB (from a previous initial cell clone, MCB or WCB) should be the same as for the MCB unless justified.

Parental Cells: cell to be manipulated to give rise to a cell substrate or an intermediate cell line. For microbial expression systems, it is typical to also describe the parental cells as the host cell. For hybridomas, it is typical to also describe the parental cells as the cells to be fused.

Working Cell Bank (WCB): the working cell bank is prepared from aliquots of a homogeneous suspension of cells obtained from culturing the MCB under defined culture conditions.

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ICH Harmonized Tripartite Guideline Q5A(R1), US Food and Drug Administration 1998.

APPENDIX 1: PRIMARY CELL SUBSTRATES

INTRODUCTION

The principles contained in this document apply in general to biotechnological/biological products prepared from characterized banked cells. However, a number of biological products, in particular certain viral vaccines, are prepared using primary cells.

Because primary cell cultures are used within the first passage after establishment from the tissue of origin, it is not possible to carry out extensive characterization of the cells prior to their use as is done for banked cell substrates. In addition, biological products produced using primary cell substrates often do not undergo extensive processing (e.g., purification). Despite these differences, the approach taken to assure the suitability and safety of primary cell substrates for production of biologicals is analogous, in many respects, to that outlined in this document and in other guidelines.

This annex outlines cell substrate-related information that should be included in marketing applications for biological products prepared using primary cells. This information falls into three general categories: (1) information concerning the source tissue (or organ) and other animal-derived raw materials used for the establishment of primary cell substrates, (2) information concerning the preparation of primary cell substrates, and (3) testing performed on primary cell substrates to ensure the safety of the product.

SOURCE TISSUE AND OTHER RAW MATERIALS

Information should be provided about the animals used as a source of tissue for the preparation of primary cell substrates. Tissue should be derived from healthy animals subjected to veterinary and laboratory monitoring to certify the absence of pathogenic agents. Whenever possible, donor animals should be obtained from closed, specific pathogen-free (when available) colonies or flocks. Animals used as tissue donors should not have been used previously for experimental studies. Animals should be adequately quarantined for an appropriate period of time prior to use for the preparation of cells. In some countries, animals may need to be quarantined in the country where the primary cells are prepared. Manufacturers should consult with national/regional authorities for specific requirements.

Information on materials and components used for the preparation of primary cell substrates should be provided, including the identity and source of all reagents of human or animal origin. A description of testing performed on components of animal origin to certify the absence of detectable contaminants and adventitious agents should be included.

PREPARATION OF PRIMARY CELL SUBSTRATES

Methods used for isolation of cells from tissue, establishment of primary cell cultures, and maintenance of cultures should be described.

TESTING OF PRIMARY CELL SUBSTRATES

Tests performed on primary cell substrates to qualify them for use in production should be described. As noted, the nature of primary cell substrates precludes extensive testing and characterization prior to use. Testing to demonstrate the absence of adventitious agents in these substrates is therefore conducted concurrently and may include observation of production or uninfected control cultures before, during, and beyond the period of production; inoculation of culture fluids from production and uninfected control cultures into various susceptible indicator cell cultures capable of detecting a wide range of relevant viruses, followed by examination for cytopathic changes and testing for the presence of hemadsorbing viruses; and other tests for specific agents (such as relevant retroviruses) as necessary. Additional information concerning specific viral tests may be found in the relevant national/regional/international guidelines.

Appropriate testing regimens and test methods for cells used in the production of specific products will vary depending on the donor species used as a source of tissue, adventitious agents potentially present, the nature of the product, its intended clinical use, aspects of the manufacturing process, and the extent of testing performed on the final product. Applicants should explain and justify the approach taken with respect to their specific product.



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9 Comparability of Biotechnological/Biological Products Subject to Changes in Their Manufacturing Process

I. INTRODUCTION

A. OBJECTIVES OF THE GUIDELINE

The objective of this document is to provide principles for assessing the comparability of biotechnological/biological products before and after changes are made in the manufacturing process for the drug substance or drug product. Therefore, this guideline is intended to assist in the collection of relevant technical information, which serves as evidence that the manufacturing process changes will not have an adverse impact on the quality, safety, and efficacy of the drug product. The document does not prescribe any particular analytical, nonclinical, or clinical strategy. The main emphasis of the document is on quality aspects.

B. BACKGROUND

Manufacturers* of biotechnological/biological products frequently make changes to manufacturing processes† of products‡ both during development and after approval. Reasons for such changes include improving the manufacturing process, increasing scale, improving product stability, and complying with changes in regulatory requirements. When changes are made to the manufacturing process, the manufacturer generally evaluates the relevant quality attributes of the product to demonstrate that modifications did not occur that would adversely impact§ the safety and efficacy of the drug product. Such an evaluation should indicate whether or not confirmatory nonclinical or clinical studies are appropriate.

While ICH documents have not specifically addressed considerations for demonstrating comparability between pre-change and post-change product, several ICH documents have provided guidance for technical information and data to be

submitted in marketing applications that can also be useful for assessing manufacturing process changes. This document builds upon the previous ICH guidelines and provides additional direction regarding approaches to

- Comparing post-change product to pre-change product following manufacturing process changes and
- Assessing the impact of observed differences in the quality attributes caused by the manufacturing process change for a given product as it relates to safety and efficacy of the product

C. SCOPE

The principles adopted and explained in this document¶ apply to the following:

- Proteins and polypeptides, their derivatives, and products of which they are components, for example, conjugates. These proteins and polypeptides are produced from recombinant or nonrecombinant cell-culture expression systems and can be highly purified and characterized using an appropriate set of analytical procedures.
- Products where manufacturing process changes are made by a single manufacturer, including those made by a contract manufacturer, who can directly compare results from the analysis of pre-change and post-change product.
- Products where manufacturing process changes are made in development or for which a marketing authorization has been granted.

The principles outlined in this document might also apply to other product types such as proteins and polypeptides isolated from tissues and body fluids. Manufacturers are advised to consult with the appropriate regional regulatory authority to determine applicability.

D. GENERAL PRINCIPLES

The goal of the comparability exercise is to ensure the quality, safety, and efficacy of drug product produced by a changed

* For convenience, when the term “manufacturer” is used, it is intended to include any third party having a contractual arrangement to produce the intermediates, drug substance, or drug product on behalf of the marketing authorization holder (or the developer, if prior to market authorization).

† For convenience, when the term “manufacturing process(es)” is used, it also includes facilities and equipment that might impact on critical processing parameters and, thereby, on product quality.

‡ For convenience, when the term “product” is used without modifiers, it is intended to refer to the intermediates, drug substance, and drug product.

§ Improvement of product quality is always desirable and encouraged. If the results of the comparability exercise indicate an improved quality suggesting a significant benefit in efficacy and/or safety, the pre- and post-change product may not be comparable. However, this result could be considered acceptable. The manufacturer is advised to consult the appropriate regional regulatory authority.

¶ This document applies to situations in which all three of the bulleted conditions are present.

manufacturing process, through collection and evaluation of the relevant data to determine whether there might be any adverse impact on the drug product due to the manufacturing process changes.

The demonstration of comparability does not necessarily mean that the quality attributes of the pre-change and post-change product are identical but that they are highly similar and that the existing knowledge is sufficiently predictive to ensure that any differences in quality attributes have no adverse impact upon safety or efficacy of the drug product.

A determination of comparability can be based on a combination of analytical testing, biological assays, and, in some cases, nonclinical and clinical data. If a manufacturer can provide assurance of comparability through analytical studies alone, nonclinical or clinical studies with the post-change product are not warranted. However, where the relationship between specific quality attributes and safety and efficacy has not been established, and differences between quality attributes of the pre- and post-change product are observed, it might be appropriate to include a combination of quality, nonclinical, and/or clinical studies in the comparability exercise.

To identify the impact of a manufacturing process change, a careful evaluation of all foreseeable consequences for the product should be performed. In consideration of this evaluation, appropriate criteria to define highly similar post-change product can be established. Generally, quality data on the pre- and post-change product are generated, and a comparison is performed that integrates and evaluates all data collected, for example, routine batch analyses, in-process control, process validation/evaluation data, characterization, and stability, if appropriate. The comparison of the results to the predefined criteria should allow an objective assessment of whether or not the pre- and post-change product are comparable.

Following the evaluation of the quality attributes, the manufacturer could be faced with one of several outcomes, including the list as follows:

- Based on appropriate comparison of relevant quality attributes, pre- and post-change products are highly similar and considered comparable, that is, no adverse impact on safety or efficacy profiles is foreseen.
- Although the pre- and post-change product appears highly similar, the analytical procedures used are not sufficient to discern relevant differences that can impact the safety and efficacy of the product. The manufacturer should consider employing additional testing (e.g., further characterization) or nonclinical and/or clinical studies to reach a definitive conclusion.
- Although the pre- and post-change product appears highly similar, some differences have been observed in the quality attributes of the pre-change and post-change product, but it can be justified that no adverse impact on safety or efficacy profiles is expected, based on the manufacturer's accumulated experience, relevant information, and data. In these circumstances, pre- and post-change product can be considered comparable.
- Although the pre- and post-change product appears highly similar, some differences have been identified in the comparison of quality attributes and a possible adverse impact on safety and efficacy profiles cannot be excluded. In such situations, the generation and analysis of additional data on quality attributes are unlikely to assist in determining whether pre- and post-change products are comparable. The manufacturer should consider performing nonclinical and/or clinical studies.
- Differences in the quality attributes are so significant that it is determined that the products are not highly similar and are therefore not comparable. This outcome is not within the scope of this document and is not discussed further.

II. GUIDELINES

A. CONSIDERATIONS FOR THE COMPARABILITY EXERCISE

The goal of the comparability exercise is to ascertain that pre- and post-change drug product is comparable in terms of quality, safety, and efficacy. To meet this goal, the product should be evaluated at the process step most appropriate to detect a change in the quality attributes. This may entail evaluating the product at multiple stages of manufacture. For example, even though all process changes occurred in the manufacture of the drug substance, in cases where the drug product could be impacted by the change, it might be appropriate to collect data on both the drug substance and the drug product to support the determination of comparability. Comparability can often be deduced from quality studies alone (limited or comprehensive analysis, as appropriate) but might sometimes need to be supported by comparability bridging studies. The extent of the studies necessary to demonstrate comparability will depend on the following:

- The production step where the changes are introduced
- The potential impact of the changes on the purity as well as on the physicochemical and biological properties of the product, particularly considering the complexity and degree of knowledge of the product (e.g., impurities, product-related substances)
- The availability of suitable analytical techniques to detect potential product modifications and the results of these studies and
- The relationship between quality attributes and safety and efficacy, based on overall nonclinical and clinical experience

When considering the comparability of products, the manufacturer should evaluate, for example, the following:

- Relevant physicochemical and biological characterization data regarding quality attributes.
- Results from analysis of relevant samples from the appropriate stages of the manufacturing process (e.g., intermediate, drug substance, and drug product).

- The need for stability data, including those generated from accelerated or stress conditions, to provide insight into potential product differences in the degradation pathways of the product and, hence, potential differences in product-related substances and product-related impurities.
- Batches used for demonstration of manufacturing consistency.
- Historical data that provide insight into potential “drift” of quality attributes with respect to safety and efficacy, following either a single or a series of manufacturing process changes. That is, the manufacturer should consider the impact of changes over time to confirm that an unacceptable impact on safety and efficacy profiles has not occurred.

In addition to evaluating the data, manufacturers should also consider the following:

- Critical control points in the manufacturing process that affect product characteristics, for example, the impact of the process change on the quality of in-process materials, as well as the ability of downstream steps to accommodate material from a changed cell culture process.
- Adequacy of the in-process controls including critical control points and in-process testing: in-process controls for the post-change process should be confirmed, modified, or created, as appropriate, to maintain the quality of the product.
- Nonclinical or clinical characteristics of the drug product and its therapeutic indications .

B. QUALITY CONSIDERATIONS

1. Analytical Techniques

The battery of tests for the comparability exercise should be carefully selected and optimized to maximize the potential for detecting relevant differences in the quality attributes of the product that might result from the proposed manufacturing process change. To address the full range of physicochemical properties or biological activities, it might be appropriate to apply more than one analytical procedure to evaluate the same quality attribute (e.g., molecular weight, impurities, secondary/tertiary structures). In such cases, each method should employ different physicochemical or biological principles to collect data for the same parameter to maximize the possibility that differences in the product caused by a change in the manufacturing process might be detected.

It can be difficult to ensure that the chosen set of analytical procedures for the pre-change product will be able to detect modifications of the product due to the limitations of the assays (e.g., precision, specificity, and detection limit) and the complexity of some products due to molecular heterogeneity. Consequently, the manufacturer should determine the following:

- Whether or not existing tests remain appropriate for their intended use or should be modified. For example, when the manufacturing process change gives

rise to a different impurity profile in the host cell proteins, manufacturers should confirm that the test used to quantitate these impurities is still suitable for its intended purpose. It might be appropriate to modify the existing test to detect the new impurities.

- The need to add new tests as a result of changes in quality attributes that the existing methods are not capable of measuring. That is, when specific changes in quality attributes are expected as a result of a process change (e.g., following addition of a new raw material or modification of a chromatographic purification step), it might be appropriate to develop new analytical procedures, that is, to employ additional analytical techniques above and beyond those used previously for characterization or routine testing.

The measurement of quality attributes in characterization studies does not necessarily entail the use of validated assays, but the assays should be scientifically sound and provide results that are reliable. Those methods used to measure quality attributes for batch release should be validated in accordance with ICH guidelines (ICH Q2A, Q2B, Q5C, Q6B), as appropriate.

2. Characterization

Characterization of a biotechnological/biological product by appropriate techniques, as described in ICH Q6B, includes the determination of physicochemical properties, biological activity, immunochemical properties (if any), purity, impurities, contaminants, and quantity.

When a manufacturing process change has been made that has the potential to have an impact on quality attributes, a complete or limited (but rationalized) repetition of the characterization activity conducted for the market application is generally warranted to directly compare the pre-change and post-change product. However, additional characterization might be indicated in some cases. For example, when process changes result in a product characterization profile that differs from that observed in the material used during nonclinical and clinical studies or other appropriate representative materials (e.g., reference materials, marketed batches), the significance of these alterations should be evaluated. Results of comprehensive characterization of the material used in pivotal clinical trials could provide a useful point of reference for subsequent comparability exercises.

Each of the following criteria should be considered as a key point in the conduct of the comparability exercise:

Physicochemical Properties: the manufacturer should consider the concept of the desired product (and its variants) as defined in ICH Q6B when designing and conducting a comparability exercise. The complexity of the molecular entity with respect to the degree of molecular heterogeneity should also be considered. Following a manufacturing process change, manufacturers should attempt to determine that higher order structure (secondary, tertiary, and

quaternary structure) is maintained in the product. If the appropriate higher order structural information cannot be obtained, a relevant biological activity assay (see biological activity below) could indicate a correct conformational structure.

Biological Activity: biological assay results can serve multiple purposes in the confirmation of product quality attributes that are useful for characterization and batch analysis and, in some cases, could serve as a link to clinical activity. The manufacturer should consider the limitations of biological assays, such as high variability, that might prevent detection of differences that occur as a result of a manufacturing process change.

In cases where the biological assay also serves as a complement to physicochemical analysis, for example, as a surrogate assay for higher order structure, the use of a relevant biological assay with appropriate precision and accuracy might provide a suitable approach to confirm that change in specific higher order structure has not occurred following manufacturing process changes. Where physicochemical or biological assays are not considered adequate to confirm that the higher order structure is maintained, it might be appropriate to conduct a nonclinical or clinical study.

When changes are made to a product with multiple biological activities, manufacturers should consider performing a set of relevant functional assays designed to evaluate the range of activities. For example, certain proteins possess multiple functional domains that express enzymatic and receptor-mediated activities. In such situations, manufacturers should consider evaluating all relevant functional activities.

Where one or more of the multiple activities are not sufficiently correlated with clinical safety or efficacy or if the mechanism of action is not understood, the manufacturer should justify that nonclinical or clinical activity is not compromised in the post-change product.

Immunochemical Properties: when immunochemical properties are part of the characterization (e.g., for antibodies or antibody-based products), the manufacturer should confirm that post-change product is comparable in terms of the specific properties.

Purity, Impurities, and Contaminants: the combination of analytical procedures selected should provide data to evaluate whether a change in purity profile has occurred in terms of the desired product.

If differences are observed in the purity and impurity profiles of the post-change product relative to the pre-change product, the differences should be evaluated to assess their potential impact on safety and efficacy. Where the change results in the appearance of new impurities, the new impurities should be identified and characterized when possible. Depending on the impurity type and amount, it might be appropriate to conduct nonclinical or clinical studies to confirm that there is no adverse impact on safety or efficacy of the drug product.

Contaminants should be strictly avoided and/or suitably controlled with appropriate in-process acceptance criteria or

action limits for drug substance or drug product. New contaminants should be evaluated to assess their potential impact on the quality, safety, and efficacy of the product.

3. Specifications

The tests and analytical procedures chosen to define drug substance or drug product specifications alone are generally not considered adequate to assess the impact of manufacturing process changes since they are chosen to confirm the routine quality of the product rather than to fully characterize it. The manufacturer should confirm that the specifications after the process change are appropriate to ensure product quality. Results within the established acceptance criteria, but outside historical manufacturing control trends, might suggest product differences that warrant additional study or analysis. Modification, elimination, or addition of a test (i.e., in the specification) might be indicated where data suggest that the previous test is no longer relevant for routine batch analysis of the post-change product. For example, the elimination of bovine serum from the cell culture process would remove the need for related analyses. However, a widening of the acceptance criteria is generally not considered appropriate unless justified. In some cases, additional tests and acceptance criteria on the relative amount of specific new impurities might be appropriate if the impurity profile is different following the manufacturing process changes. When evaluating both the test methods and acceptance criteria for the post-change product, it is important to consider the general principles for setting specifications as defined in Q6B, that is, the impact of the changes on the validated manufacturing process, characterization studies, batch analysis data, stability data, and non-clinical and clinical experience.

4. Stability

For certain manufacturing process changes, even slight modifications of the production procedures might cause changes in the stability of the post-change product. Any change with the potential to alter protein structure or purity and impurity profiles should be evaluated for its impact on stability, since proteins are frequently sensitive to changes, such as those made to buffer composition, processing and holding conditions, and the use of organic solvents. Furthermore, stability studies might be able to detect subtle differences that are not readily detectable by the characterization studies. For example, the presence of trace amounts of a protease might only be detected by product degradation that occurs over an extended time period, or, in some cases, divalent ions leached from the container/closure system might change the stability profile because of the activation of trace proteases not detected in stability studies of the pre-change product. Therefore, real-time/real temperature stability studies on the product potentially affected by the change should be initiated, as appropriate.

Accelerated and stress stability studies are often useful tools to establish degradation profiles and provide a further direct comparison of pre-change and post-change product. The results thus obtained might show product differences that warrant additional evaluation and also identify conditions

indicating that additional controls should be employed in the manufacturing process and during storage to eliminate these unexpected differences. Appropriate studies should be considered to confirm that suitable storage conditions and controls are selected.

ICH Q5C and Q1A(R) should be consulted to determine the conditions for stability studies that provide relevant data to be compared before and after a change.

C. MANUFACTURING PROCESS CONSIDERATIONS

A well-defined manufacturing process with its associated process controls assures that acceptable product is produced on a consistent basis. Approaches to determining the impact of any process change will vary with respect to the specific process, the product, the extent of the manufacturer's knowledge of and experience with the process, and development data generated. The manufacturer should confirm that the process controls in the modified process provide at least similar or more effective control of the product quality, compared to those of the original process.

A careful consideration of potential effects of the planned change on steps downstream and quality parameters related to these steps is extremely important (e.g., for acceptance criteria, in-process specification, in-process tests, in-process hold times, operating limits, and validation/evaluation, if appropriate). This analysis will help identify which tests should be performed during the comparability exercise, which in-process or batch release acceptance criteria or analytical procedures should be reevaluated, and which steps should not be impacted by the proposed change. For example, analysis of intermediates might suggest potential differences that should be evaluated to determine the suitability of existing tests to detect these differences in the product. The rationale for excluding parts of the process from this consideration should be justified.

While the process will change and the associated controls might be redefined, the manufacturer should confirm that pre-change and post-change products are comparable. To support the comparison, it is often useful to demonstrate, for example, that specific intermediates are comparable or that the modified process has the capability to provide appropriate levels of removal for process- and product-related impurities, including those newly introduced by the process change. To support process changes for approved products, data from commercial-scale batches are generally indicated.

The process assessment should consider such factors as the criticality of the process step and proposed change, the location of the change and potential for effects on other process steps, and the type and extent of change. Information that can aid this assessment is generally available from several sources. The sources can include knowledge from process development studies, small-scale evaluation/validation studies, experience with earlier process changes, experience with equipment in similar operations, changes in similar manufacturing processes with similar products, and literature. Although information from external sources is useful to some extent, it is

within the context of the specific manufacturing process and specific product that the change should be assessed.

When changes are made to a process, the manufacturer should demonstrate that the associated process controls, including any new ones, provide assurance that the modified process will also be capable of providing comparable product. The modified process steps should be reevaluated and/or revalidated, as appropriate. The in-process controls, including critical control points and in-process testing, should ensure that the post-change process is well controlled and maintains the quality of the product. Typically, reevaluation/revalidation activities for a simple change might be limited to the affected process step, if there is no evidence to indicate that there is impact on the performance of subsequent (downstream) process steps or on the quality of the intermediates resulting from the subsequent steps. When the change considered affects more than a single step, more extensive analysis of the change and resultant validation might be appropriate.

Demonstration of state of control with the modified/changed manufacturing process might include, but is not limited to, such items as

- Establishment of modified specifications for raw, source and starting materials, and reagents
- Appropriate bioburden and/or viral safety testing of the post-change cell banks and cells at the limit of in vitro cell age for production
- Adventitious agent clearance
- Removal of product- or process-related impurities, such as residual host cell DNA and proteins and
- Maintenance of the purity level

For approved products, an appropriate number of post-change batches should be analyzed to demonstrate consistent performance of the process.

To support the analysis of the changes and the control strategy, the manufacturer should prepare a description of the change that summarizes the pre-change and the post-change manufacturing process and that clearly highlights modifications of the process and changes in controls in a side-by-side format.

D. DEMONSTRATION OF COMPARABILITY DURING DEVELOPMENT

During product development, it is expected that multiple changes in the manufacturing process will occur that could impact drug product quality, safety, and efficacy. Comparability exercises are generally performed to demonstrate that nonclinical and clinical data generated with pre-change product are applicable to post-change product in order to facilitate further development and, ultimately, to support the marketing authorization. Comparability studies conducted for products in development are influenced by factors such as the stage of product development, the availability of validated analytical procedures, and the extent of product and process

knowledge, which are limited at times due to the available experience that the manufacturer has with the process.

Where changes are introduced in development before non-clinical studies, the issue of assessing comparability is not generally raised because the manufacturer subsequently conducts nonclinical and clinical studies using the post-change product as part of the development process. During early phases of nonclinical and clinical studies, comparability testing is generally not as extensive as for an approved product. As knowledge and information accumulate, and the analytical tools develop, the comparability exercise should use available information and will generally become more comprehensive. Where process changes are introduced in late stages of development and no additional clinical studies are planned to support the marketing authorization, the comparability exercise should be as comprehensive and thorough as one conducted for an approved product. Some outcomes of the comparability studies on quality attributes can lead to additional nonclinical or clinical studies.

In order for a comparability exercise to occur during development, appropriate assessment tools should be used. Analytical procedures used during development might not be validated but should always be scientifically sound and provide results that are reliable and reproducible. Because of the limitations of the analytical tools in early clinical development, physicochemical and biological tests alone might be considered inadequate to determine comparability, and therefore, bridging nonclinical and/or clinical studies, as appropriate, might be needed.

E. NONCLINICAL AND CLINICAL CONSIDERATIONS

1. Factors to be Considered in Planning Nonclinical and Clinical Studies

Determinations of product comparability can be based solely on quality considerations if the manufacturer can provide assurance of comparability through analytical studies as suggested in this document. Additional evidence from nonclinical or clinical studies is considered appropriate when quality data are insufficient to establish comparability. The extent and nature of nonclinical and clinical studies will be determined on a case-by-case basis in consideration of various factors, which include among others the following:

Quality findings

- Drug product—the type, nature, and extent of differences between the post-change product and the pre-change product with respect to quality attributes including product-related substances, the impurity profile, stability, and excipients. For example, new impurities could warrant toxicological studies for qualification.
- Results of the evaluation/validation studies on the new process including the results of relevant in-process tests.

- Availability, capability, and limitation of tests used for any comparability studies.

The nature and the level of knowledge of the product

- Product complexity, including heterogeneity and higher order structure—physicochemical and in vitro biological assays might not be able to detect all differences in structure and/or function.
- Structure–activity relationship and strength of the association of quality attributes with safety and efficacy.
- Relationship between the therapeutic protein and endogenous proteins and the consequences for immunogenicity.
- Mode(s) of action (unknown vs. known, single vs. multiple active sites).

Existing nonclinical and clinical data relevant to the product, aspects of product use, and product class

- Therapeutic indications/target patient groups—the impact of possible differences can vary between patient groups, for example, risk for unintended immunogenicity. It may be appropriate to consider the consequences separately for each indication.
- Posology, for example, dosing regimen, route of administration—the risk of certain possible consequences of a difference, such as immunogenicity, could be higher with chronic administration as compared to short-term administration; subcutaneous administration might induce immunogenicity more often than intravenous administration.
- The therapeutic window/dose–response curve—the impact of a certain change could be different for products that have a wide therapeutic window as compared to those with a more narrow window. The safety or efficacy of products with a steep or a bell-shaped dose–response curve can be affected by minor changes in pharmacokinetics or receptor binding.
- Previous experience, for example, immunogenicity, safety—the experience with the original product or with other products in the same class can be relevant, especially with regard to rare adverse effects, for example, knowledge about the consequences of immunogenicity.
- PK/PD relation, distribution, and clearance.

2. Type of Studies

The nonclinical and clinical studies referred to in this document might include, depending on the situation, PK studies, PD studies, PK/PD studies, clinical efficacy studies, specific safety studies, immunogenicity studies, and pharmacovigilance studies. The purpose of these studies is to enable comparison of pre- and post-change product. Where appropriate, these studies should be direct comparative studies.

GLOSSARY

Comparability Bridging Study: a study performed to provide nonclinical or clinical data that allows extrapolation of the existing data from the drug product produced by the current process to the drug product from the changed process.

Comparable: a conclusion that products have highly similar quality attributes before and after manufacturing process changes and that no adverse impact on the safety or efficacy, including immunogenicity, of the drug product occurred. This conclusion can be based on an analysis of product quality attributes. In some cases, nonclinical or clinical data might contribute to the conclusion.

Comparability Exercise: the activities, including study design, conduct of studies, and evaluation of data, that are designed to investigate whether the products are comparable.

Quality Attribute: a molecular or product characteristic that is selected for its ability to help indicate the quality of the product. Collectively, the quality attributes define identity, purity, potency and stability of the product, and safety with respect to adventitious agents. Specifications measure a selected subset of the quality attributes.

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10 Specifications

Test Procedures and Acceptance Criteria for Biotechnological/Biological Products

I. INTRODUCTION

A. OBJECTIVE

This guidance document provides general principles on the setting and justification, to the extent possible, of a uniform set of international specifications for biotechnological and biological products to support new marketing applications.

B. BACKGROUND

A specification is defined as a list of tests, references to analytical procedures, and appropriate acceptance criteria, which are numerical limits, ranges, or other criteria for the tests described. It establishes the set of criteria to which a drug substance, drug product, or materials at other stages of its manufacture should conform to be considered acceptable for its intended use. “Conformance to specification” means that the drug substance and drug product, when tested according to the listed analytical procedures, will meet the acceptance criteria. Specifications are critical quality standards that are proposed and justified by the manufacturer and approved by regulatory authorities as conditions of approval.

Specifications are one part of a total control strategy designed to ensure product quality and consistency. Other parts of this strategy include thorough product characterization during development, upon which many of the specifications are based, adherence to Good Manufacturing Practices, a validated manufacturing process, raw materials testing, in-process testing, stability testing, and so on.

Specifications are chosen to confirm the quality of the drug substance and drug product rather than to establish full characterization and should focus on those molecular and biological characteristics found to be useful in ensuring the safety and efficacy of the product.

C. SCOPE

The principles adopted and explained in this document apply to proteins and polypeptides, their derivatives, and products of which they are components (e.g., conjugates). These proteins and polypeptides are produced from recombinant or nonrecombinant cell-culture expression systems and can be highly purified and characterized using an appropriate set of analytical procedures.

The principles outlined in this document may also apply to other product types such as proteins and polypeptides isolated

from tissues and body fluids. To determine applicability, manufacturers should consult with the appropriate regulatory authorities.

This document does not cover antibiotics, synthetic peptides and polypeptides, heparins, vitamins, cell metabolites, DNA products, allergenic extracts, conventional vaccines, cells, whole blood, and cellular blood components. A separate ICH guideline, “Specifications: Test Procedures and Acceptance Criteria for New Drugs Substances and New Drug Products: Chemical Substances” addresses specifications and other criteria for chemical substances.

This document does not recommend specific test procedures or specific acceptance criteria nor does it apply to the regulation of preclinical and/or clinical research material.

II. PRINCIPLES FOR CONSIDERATION IN SETTING SPECIFICATIONS

A. CHARACTERIZATION

Characterization of a biotechnological or biological product (which includes the determination of physicochemical properties, biological activity, immunochemical properties, purity, and impurities) by appropriate techniques is necessary to allow relevant specifications to be established. Acceptance criteria should be established and justified based on data obtained from lots used in preclinical and/or clinical studies, data from lots used for demonstration of manufacturing consistency and data from stability studies, and relevant development data.

Extensive characterization is performed in the development phase and, where necessary, following significant process changes. At the time of submission, the product should have been compared with an appropriate reference standard, if available. When feasible and relevant, it should be compared with its natural counterpart. Also, at the time of submission, the manufacturer should have established appropriately characterized in-house reference materials, which will serve for biological and physicochemical testing of production lots. New analytical technology and modifications to existing technology are continually being developed and should be utilized when appropriate.

1. Physicochemical Properties

A physicochemical characterization program will generally include a determination of the composition, physical properties, and primary structure of the desired product. In some

cases, information regarding higher-order structure of the desired product (the fidelity of which is generally inferred by its biological activity) may be obtained by appropriate physicochemical methodologies.

An inherent degree of structural heterogeneity occurs in proteins due to the biosynthetic processes used by living organisms to produce them; therefore, the desired product can be a mixture of anticipated posttranslationally modified forms (e.g., glycoforms). These forms may be active, and their presence may have no deleterious effect on the safety and efficacy of the product. The manufacturer should define the pattern of heterogeneity of the desired product and demonstrate consistency with that of the lots used in preclinical and clinical studies. If a consistent pattern of product heterogeneity is demonstrated, an evaluation of the activity, efficacy, and safety (including immunogenicity) of individual forms may not be necessary.

Heterogeneity can also be produced during manufacture and/or storage of the drug substance or drug product. Since the heterogeneity of these products defines their quality, the degree and profile of this heterogeneity should be characterized, to assure lot-to-lot consistency. When these variants of the desired product have properties comparable to those of the desired product with respect to activity, efficacy, and safety, they are considered product-related substances. When process changes and degradation products result in heterogeneity patterns, which differ from those observed in the material used during preclinical and clinical development, the significance of these alterations should be evaluated.

Analytical methods to elucidate physicochemical properties are listed in Appendix 6.1. New analytical technology and modifications to existing technology are continually being developed and should be utilized when appropriate.

For the purpose of lot release an appropriate subset of these methods should be selected and justified.

2. Biological Activity

Assessment of the biological properties constitutes an equally essential step in establishing a complete characterization profile. An important property is the biological activity that describes the specific ability or capacity of a product to achieve a defined biological effect.

A valid biological assay to measure the biological activity should be provided by the manufacturer. Examples of procedures used to measure biological activity include

- Animal-based biological assays, which measure an organism's biological response to the product
- Cell culture-based biological assays, which measure biochemical or physiological response at the cellular level and
- Biochemical assays, which measure biological activities such as enzymatic reaction rates or biological responses induced by immunologic interactions

Other procedures, such as ligand and receptor binding assays, may be acceptable.

Potency (expressed in units) is the quantitative measure of biological activity based on the attribute of the product, which is linked to the relevant biological properties, whereas quantity (expressed in mass) is a physicochemical measure of protein content. Mimicking the biological activity in the clinical situation is not always necessary. A correlation between the expected clinical response and the activity in the biological assay should be established in pharmacodynamic or clinical studies.

The results of biological assays should be expressed in units of activity calibrated against an international or national reference standard, when available and appropriate for the assay utilized. Where no such reference standard exists, a characterized in-house reference material should be established and assay results of production lots reported as in-house units.

Often, for complex molecules, the physicochemical information may be extensive but unable to confirm the higher-order structure which, however, can be inferred from the biological activity. In such cases, a biological assay, with wider confidence limits, may be acceptable when combined with a specific quantitative measure. Importantly, a biological assay to measure the biological activity of the product may be replaced by physicochemical tests only in those instances where

- Sufficient physicochemical information about the drug, including higher-order structure, can be thoroughly established by such physicochemical methods, and relevant correlation to biologic activity demonstrated and
- There exists a well-established manufacturing history

Where physicochemical tests alone are used to quantitate the biological activity (based on appropriate correlation), results should be expressed in mass.

For the purpose of lot release, the choice of relevant quantitative assay (biological and/or physicochemical) should be justified by the manufacturer.

3. Immunochemical Properties

When an antibody is the desired product, its immunologic properties should be fully characterized. Binding assays of the antibody to purified antigens and defined regions of antigens should be performed, as feasible, to determine affinity, avidity, and immunoreactivity (including cross-reactivity). In addition, the target molecule bearing the relevant epitope should be biochemically defined and the epitope itself defined, when feasible.

For some drug substances or drug products, the protein molecule may need to be examined using immunochemical procedures (e.g., ELISA and Western-blot) utilizing antibodies which recognize different epitopes of the protein molecule. Immunochemical properties of a protein may serve to establish its identity, homogeneity or purity, or serve to quantify it.

If immunochemical properties constitute lot release criteria, all relevant information pertaining to the antibody should be made available.

4. Purity, Impurities, and Contaminants

- Purity

The determination of absolute, as well as relative purity, presents considerable analytical challenges, and the results are highly method-dependent. Historically, the relative purity of a biological product has been expressed in terms of specific activity (units of biological activity per mg of product), which is also highly method-dependent. Consequently, the purity of the drug substance and drug product is assessed by a combination of analytical procedures.

Because of the unique biosynthetic production process and molecular characteristics of biotechnological and biological products, the drug substance can include several molecular entities or variants. When these molecular entities are derived from anticipated posttranslational modification, they are part of the desired product. When variants of the desired product are formed during the manufacturing process and/or storage and have properties comparable to the desired product, they are considered product-related substances and not impurities.

Individual and/or collective acceptance criteria for product-related substances should be set, as appropriate. For the purpose of lot release an appropriate subset of methods should be selected and justified for determination of purity.

- Impurities

In addition to evaluating the purity of the drug substance and drug product, which may be composed of the desired product and multiple product-related substances, the manufacturer should also assess impurities, which may be present. Impurities may be either process or product related. They can be of known structure, partially characterized, or unidentified. When adequate quantities of impurities can be generated, these materials should be characterized to the extent possible, and, where possible, their biological activities should be evaluated.

Process-related impurities encompass those that are derived from the manufacturing process, that is, cell substrates (e.g., host cell proteins, host cell DNA), cell culture (e.g., inducers, antibiotics, or media components), or downstream processing. Product-related impurities (e.g., precursors, certain degradation products) are molecular variants arising during manufacture and/or storage, which do not have properties comparable to those of the desired product with respect to activity, efficacy, and safety.

Further, the acceptance criteria for impurities should be based on data obtained from lots used in preclinical and clinical studies and manufacturing consistency lots.

Individual and/or collective acceptance criteria for impurities (product-related and process-related) should be set, as appropriate. Under certain

circumstances, acceptance criteria for selected impurities may not be necessary.

Examples of analytical procedures, which may be employed to test for the presence of impurities, are listed in Appendix 6.2. New analytical technology and modifications to existing technology are continually being developed and should be utilized when appropriate.

For the purpose of lot release (Section 4), an appropriate subset of these methods should be selected and justified.

- Contaminants

Contaminants in a product include all adventitiously introduced materials not intended to be part of the manufacturing process, such as chemical and biochemical materials (e.g., microbial proteases) and/or microbial species. Contaminants should be strictly avoided and/or suitably controlled with appropriate in-process acceptance criteria or action limits for drug substance or drug product specifications (Section 2.3). For the special case of adventitious viral or mycoplasma contamination, the concept of action limits is not applicable, and the strategies proposed in ICH harmonized tripartite guidelines “Quality of Biotechnological/Biological Products: Viral Safety Evaluation of Biotechnology Derived Products Derived from Cell Lines of Human or Animal Origin” and “Quality of Biotechnological/Biological Products: Derivation and Characterization of Cell Substrates Used for Production of Biotechnological/Biological Products” should be considered.

5. Quantity

Quantity, usually measured as protein content, is critical for a biotechnological and biological product and should be determined using an appropriate assay, usually physicochemical in nature. In some cases, it may be demonstrated that the quantity values obtained may be directly related to those found using the biological assay. When this correlation exists, it may be appropriate to use measurement of quantity rather than the measurement of biological activity in manufacturing processes, such as filling.

B. ANALYTICAL CONSIDERATIONS

1. Reference Standards and Reference Materials

For drug applications for new molecular entities, it is unlikely that an international or national standard will be available. At the time of submission, the manufacturer should have established an appropriately characterized in-house primary reference material, prepared from lot(s) representative of production and clinical materials. In-house working reference material(s) used in the testing of production lots should be calibrated against this primary reference material. Where an international or national standard is available and appropriate, reference materials should be calibrated against it. While it is desirable to use the same reference material for both

biological assays and physicochemical testing, in some cases, a separate reference material may be necessary. Also, distinct reference materials for product-related substances, product-related impurities, and process-related impurities may need to be established. When appropriate, a description of the manufacture and/or purification of reference materials should be included in the application. Documentation of the characterization, storage conditions, and formulation supportive of reference material(s) stability should also be provided.

2. Validation of Analytical Procedures

At the time the application is submitted to the regulatory authorities, applicants should have validated the analytical procedures used in the specifications in accordance with the ICH harmonized tripartite guidelines "Validation of Analytical Procedures: Definitions and Terminology" and "Validation of Analytical Procedures: Methodology," except where there are specific issues for unique tests used for analyzing biotechnological and biological products.

C. PROCESS CONTROLS

1. Process-Related Considerations

Adequate design of a process and knowledge of its capability are part of the strategy used to develop a manufacturing process, which is controlled and reproducible, yielding a drug substance or drug product that meets specifications. In this respect, limits are justified based on critical information gained from the entire process spanning the period from early development through commercial scale production.

For certain impurities, testing of either the drug substance or the drug product may not be necessary and may not need to be included in the specifications if efficient control or removal to acceptable levels is demonstrated by suitable studies. This testing can include verification at commercial scale in accordance with regional regulations. It is recognized that only limited data may be available at the time of submission of an application. This concept may, therefore, sometimes be implemented after marketing authorization, in accordance with regional regulations.

2. In-Process Acceptance Criteria and Action Limits

In-process tests are performed at critical decision-making steps and at other steps where data serve to confirm consistency of the process during the production of either the drug substance or the drug product. The results of in-process testing may be recorded as action limits or reported as acceptance criteria. Performing such testing may eliminate the need for testing of the drug substance or drug product. In-process testing for adventitious agents at the end of cell culture is an example of testing for which acceptance criteria should be established.

The use of internal action limits by the manufacturer to assess the consistency of the process at less critical steps is also important. Data obtained during development and validation runs should provide the basis for provisional action limits to be set for the manufacturing process. These limits, which are the responsibility of the manufacturer, may be used

to initiate investigation or further action. They should be further refined as additional manufacturing experience and data are obtained after product approval.

3. Raw Materials and Excipient Specifications

The quality of the raw materials used in the production of the drug substance (or drug product) should meet standards appropriate for their intended use. Biological raw materials or reagents may require careful evaluation to establish the presence or absence of deleterious endogenous or adventitious agents. Procedures which make use of affinity chromatography (e.g., employing monoclonal antibodies) should be accompanied by appropriate measures to ensure that such process-related impurities or potential contaminants arising from their production and use do not compromise the quality and safety of the drug substance or drug product. Appropriate information pertaining to the antibody should be made available.

The quality of the excipients used in the drug product formulation (and in some cases, in the drug substance), as well as the container/closure systems, should meet pharmacopoeial standards, where available and appropriate. Otherwise, suitable acceptance criteria should be established for the non-pharmacopoeial excipients.

D. PHARMACOPOEIAL SPECIFICATIONS

Pharmacopoeias contain important requirements pertaining to certain analytical procedures and acceptance criteria, which, where relevant, are part of the evaluation of either the drug substance or drug product. Such monographs, applicable to biotechnological and biological products, generally include but are not limited to tests for sterility, endotoxins, microbial limits, volume in container, uniformity of dosage units, and particulate matter. With respect to the use of pharmacopoeial methods and acceptance criteria, the value of this guidance is linked to the extent of harmonization of the analytical procedures of the pharmacopoeias. The pharmacopoeias are committed to developing identical or methodologically equivalent test procedures and acceptance criteria.

E. RELEASE LIMITS VS. SHELF LIFE LIMITS

The concept of release limits vs. shelf life limits may be applied where justified. This concept pertains to the establishment of limits which are tighter for the release than for the shelf life of the drug substance or drug product. Examples where this may be applicable include potency and degradation products. In some regions, the concept of release limits may only be applicable to in-house limits and not to the regulatory shelf life limits.

F. STATISTICAL CONCEPTS

Appropriate statistical analysis should be applied, when necessary, to quantitative data reported. The methods of analysis, including justification and rationale, should be described

fully. These descriptions should be sufficiently clear to permit independent calculation of the results presented.

III. JUSTIFICATION OF SPECIFICATION

The setting of specifications for drug substance and drug product is part of an overall control strategy which includes control of raw materials and excipients, in-process testing, process evaluation or validation, adherence to Good Manufacturing Practices, stability testing, and testing for consistency of lots. When combined in total, these elements provide assurance that the appropriate quality of the product will be maintained. Since specifications are chosen to confirm the quality rather than to characterize the product, the manufacturer should provide the rationale and justification for including and/or excluding testing for specific quality attributes. The following points should be taken into consideration when establishing scientifically justifiable specifications.

- Specifications are linked to a manufacturing process.

Specifications should be based on data obtained from lots used to demonstrate manufacturing consistency. Linking specifications to a manufacturing process is important, especially for product-related substances, product-related impurities, and process-related impurities. Process changes and degradation products produced during storage may result in heterogeneity patterns which differ from those observed in the material used during preclinical and clinical development. The significance of these alterations should be evaluated.

- *Specifications should account for the stability of drug substance and drug product.*

Degradation of drug substance and drug product, which may occur during storage, should be considered when establishing specifications. Because of the inherent complexity of these products, there is no single stability-indicating assay or parameter that profiles the stability characteristics. Consequently, the manufacturer should propose a stability-indicating profile. The result of this stability-indicating profile will then provide assurance that changes in the quality of the product will be detected. The determination of which tests should be included will be product-specific. The manufacturer is referred to the ICH harmonized tripartite guideline: "Stability Testing of Biotechnological/Biological Products."

- Specifications are linked to preclinical and clinical studies.

Specifications should be based on data obtained for lots used in preclinical and clinical studies. The quality of the material made at commercial scale should be representative of the lots used in preclinical and clinical studies.

- *Specifications are linked to analytical procedures.*

Critical quality attributes may include items such as potency, the nature and quantity of product-related

substances, product-related impurities, and process-related impurities. Such attributes can be assessed by multiple analytical procedures, each yielding different results. In the course of product development, it is not unusual for the analytical technology to evolve in parallel with the product. Therefore, it is important to confirm that data generated during development correlate with those generated at the time the marketing application is filed.

IV. SPECIFICATIONS

Selection of tests to be included in the specifications is product specific. The rationale used to establish the acceptable range of acceptance criteria should be described. Acceptance criteria should be established and justified based on data obtained from lots used in preclinical and/or clinical studies, data from lots used for demonstration of manufacturing consistency, and data from stability studies, and relevant development data.

In some cases, testing at production stages rather than at the drug substance or drug product stages may be appropriate and acceptable. In such circumstances, test results should be considered as in-process acceptance criteria and included in the specification of drug substance or drug product in accordance with the requirements of the regional regulatory authorities.

A. DRUG SUBSTANCE SPECIFICATION

Generally, the following tests and acceptance criteria are considered applicable to all drug substances. Pharmacopoeial tests (e.g., endotoxin detection) should be performed on the drug substance, where appropriate. Additional drug substance-specific acceptance criteria may also be necessary.

1. Appearance and Description

A qualitative statement describing the physical state (e.g., solid, liquid) and color of a drug substance should be provided.

2. Identity

The identity test(s) should be highly specific for the drug substance and should be based on unique aspects of its molecular structure and/or other specific properties. More than one test (physicochemical, biological, and/or immunochemical) may be necessary to establish identity. The identity test(s) can be qualitative in nature. Some of the methods typically used for characterization of the product may be employed and/or modified as appropriate for the purpose of establishing identity.

3. Purity and Impurities

The absolute purity of biotechnological and biological products is difficult to determine and the results are method-dependent. Consequently, the purity of the drug substance is usually estimated by a combination of methods. The choice and optimization of analytical procedures should focus on the separation of the desired product from product-related substances and from impurities.

The impurities observed in these products are classified as process-related and product-related impurities:

- Process-related impurities in the drug substance may include cell culture media, host cell proteins, DNA, monoclonal antibodies, or chromatographic media used in purification, solvents, and buffer components. These impurities should be minimized by the use of appropriate well-controlled manufacturing processes.
- Product-related impurities in the drug substance are molecular variants with properties different from those of the desired product formed during manufacture and/or storage.

For the impurities, the choice and optimization of analytical procedures should focus on the separation of the desired product and product-related substances from impurities. Individual and/or collective acceptance criteria for impurities should be set, as appropriate. Under certain circumstances, acceptance criteria for selected impurities may not be required (Section 2.3).

4. Potency

A relevant, validated potency assay should be part of the specifications for a biotechnological or biological drug substance and/or drug product. When an appropriate potency assay is used for the drug product, an alternative method (physicochemical and/or biological) may suffice for quantitative assessment at the drug substance stage. In some cases, the measurement of specific activity may provide additional useful information.

5. Quantity

The quantity of the drug substance, usually based on protein content (mass), should be determined using an appropriate assay. The quantity determination may be independent of a reference standard or material. In cases where product manufacture is based upon potency, there may be no need for an alternate determination of quantity.

B. DRUG PRODUCT SPECIFICATION

Generally, the following tests and acceptance criteria are considered applicable to all drug products. Each section is cross-referenced to respective sections under Drug Substance. Pharmacopoeial requirements apply to the relevant dosage forms. Typical tests found in the pharmacopoeia include but are not limited to sterility, endotoxin, microbial limits, volume in container, particulate matter, uniformity of dosage units, and moisture content for lyophilized drug products. If appropriate, testing for uniformity of dosage units may be performed as in-process controls and corresponding acceptance criteria set.

1. Appearance and Description

A qualitative statement describing the physical state (e.g., solid, liquid), color, and clarity of the drug product should be provided.

2. Identity

The identity test(s) should be highly specific for the drug product and should be based on unique aspects of its molecular structure and for other specific properties. The identity test(s) can be qualitative in nature. While it is recognized that in most cases, a single test is adequate, more than one test (physicochemical, biological, and/or immunochemical) may be necessary to establish identity for some products. Some of the methods typically used for characterization of the may be employed and/or modified as appropriate for the purpose of establishing identity.

3. Purity and Impurities

Impurities may be generated or increased during manufacture and/or storage of the drug product. These may be either the same as those occurring in the drug substance itself, process-related, or degradation products which form specifically in the drug product during formulation or during storage. If impurities are qualitatively and quantitatively (i.e., relative amounts and/or concentrations) the same as in the drug substance, testing is not necessary. If impurities are known to be introduced or formed during the production and/or storage of the drug product, the levels of these impurities should be determined and acceptance criteria established.

Acceptance criteria and analytical procedures should be developed and justified, based upon previous experience with the drug product, to measure changes in the drug substance during the manufacture and/or storage of the drug product.

The choice and optimization of analytical procedures should focus on the separation of the desired product and product-related substances from impurities, including degradation products, and from excipients.

4. Potency

A relevant, validated potency assay should be part of the specifications for a biotechnological and biological drug substance and/or drug product. When an appropriate potency assay is used for the drug substance, an alternative method (physicochemical and/or biological) may suffice for quantitative assessment of the drug product. However, the rationale for such a choice should be provided.

5. Quantity

The quantity of the drug substance in the drug product, usually based on protein content (mass), should be determined using an appropriate assay. In cases where product manufacture is based upon potency, there may be no need for an alternate determination of quantity.

6. General Tests

Physical description and the measurement of other quality attributes are often important for the evaluation of the drug product functions. Examples of such tests include pH and osmolality.

7. Additional Testing for Unique Dosage Forms

It should be recognized that certain unique dosage forms may need additional tests other than those mentioned above.

GLOSSARY

Acceptance Criteria: numerical limits, ranges, or other suitable measures for acceptance of the results of analytical procedures which the drug substance or drug product or materials at other stages of their manufacture should meet.

Action Limit: an internal (in-house) value used to assess the consistency of the process at less critical steps.

Biological Activity: the specific ability or capacity of the product to achieve a defined biological effect. Potency is the quantitative measure of the biological activity.

Contaminants any adventitiously introduced materials (e.g., chemical, biochemical, or microbial species) not intended to be part of the manufacturing process of the drug substance or drug product.

Degradation Products: molecular variants resulting from changes in the desired product or product-related substances brought about overtime and/or by the action of, for example, light, temperature, pH, water, or by reaction with an excipient and/or the immediate container/closure system. Such changes may occur as a result of manufacture and/or storage (e.g., deamidation, oxidation, aggregation, proteolysis). Degradation products may be either product-related substances or product-related impurities.

Desired Product: (1) the protein which has the expected structure, or (2) the protein which is expected from the DNA sequence and anticipated posttranslational modification (including glycoforms) and from the intended downstream modification to produce an active biological molecule.

Drug Product (Dosage Form; Finished Product): a pharmaceutical product type that contains a drug substance, generally, in association with excipients.

Drug Substance (Bulk Material): the material which is subsequently formulated with excipients to produce the drug product. It can be composed of the desired product, product-related substances, and product- and process-related impurities. It may also contain excipients including other components such as buffers.

Excipient: an ingredient added intentionally to the drug substance which should not have pharmacological properties in the quantity used.

Impurity: any component present in the drug substance or drug product, which is not the desired product, a product-related substance, or excipient including buffer components. It may be either process or product related.

In-House Primary Reference Material: an appropriately characterized material prepared by the manufacturer from a representative lot(s) for the purpose of biological assay and physicochemical testing of subsequent lots and against which in-house working reference material is calibrated.

In-House Working Reference Material: a material prepared similarly to the primary reference material

that is established solely to assess and control subsequent lots for the individual attribute in question. It is always calibrated against the in-house primary reference material.

Potency: the measure of the biological activity using a suitably quantitative biological assay (also called potency assay or bioassay), based on the attribute of the product which is linked to the relevant biological properties.

Process-Related Impurities: impurities that are derived from the manufacturing process. They may be derived from cell substrates (e.g., host cell proteins, host cell DNA), cell culture (e.g., inducers, antibiotics, or media components), or downstream processing (e.g., processing reagents or column leachables).

Product-Related Impurities: molecular variants of the desired product (e.g., precursors, certain degradation products arising during manufacture, and/or storage) which do not have properties comparable to those of the desired product with respect to activity, efficacy, and safety.

Product-Related Substances: molecular variants of the desired product formed during manufacture and/or storage, which are active and have no deleterious effect on the safety and efficacy of the drug product. These variants possess properties comparable to the desired product and are not considered impurities.

Reference Standards: refer to international or national standards.

Specification: a specification is defined as a list of tests, references to analytical procedures, and appropriate acceptance criteria, which are numerical limits, ranges, or other criteria for the tests described. It establishes the set of criteria to which a drug substance, drug product, or materials at other stages of its manufacture should conform to be considered acceptable for its intended use. "Conformance to specification" means that the drug substance and drug product, when tested according to the listed analytical procedures, will meet the acceptance criteria. Specifications are critical quality standards that are proposed and justified by the manufacturer and approved by regulatory authorities as conditions of approval.

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APPENDICES

6.1 APPENDIX FOR PHYSICOCHEMICAL CHARACTERIZATION

This appendix provides examples of technical approaches which might be considered for structural characterization and confirmation and evaluation of physicochemical properties of the desired product, drug substance, and/or drug product. The specific technical approach employed will vary from product to product, and alternative approaches, other than those included in this appendix, will be appropriate in many cases. New analytical technology and modifications to existing technology are continuously being developed and should be utilized when appropriate.

6.1.1 Structural Characterization and Confirmation

(a) Amino acid sequence

The amino acid sequence of the desired product should be determined to the extent possible using approaches such as those described in items (b) through (e) and then compared with the sequence of the amino acids deduced from the gene sequence of the desired product.

(b) Amino acid composition

The overall amino acid composition is determined using various hydrolytic and analytical procedures and compared with the amino acid composition deduced from the gene sequence for the desired product or the natural counterpart, if considered necessary. In many cases, amino acid composition analysis provides some useful structural information for peptides and small proteins, but such data are generally less definitive for large proteins. Quantitative amino acid analysis data can also be used to determine protein content in many cases.

(c) Terminal amino acid sequence

Terminal amino acid analysis is performed to identify the nature and homogeneity of the amino- and carboxyterminal amino acids. If the desired product is found to be heterogeneous with respect to the terminal amino acids, the relative amounts of the variant forms should be determined using an appropriate analytical procedure. The sequence of these terminal amino acids should be compared with the terminal amino acid sequence deduced from the gene sequence of the desired product.

(d) Peptide map

Selective fragmentation of the product into discrete peptides is performed using suitable enzymes

or chemicals, and the resulting peptide fragments are analyzed by HPLC or other appropriate analytical procedure. The peptide fragments should be identified to the extent possible using techniques such as amino acid compositional analysis, N-terminal sequencing, or mass spectrometry. Peptide mapping of the drug substance or drug product using an appropriately validated procedure is a method that is frequently used to confirm desired product structure for lot release purposes.

(e) Sulfhydryl group(s) and disulfide bridges

If, based on the gene sequence for the desired product, cysteine residues are expected, the number and positions of any free sulfhydryl groups and/or disulfide bridges should be determined, to the extent possible. Peptide mapping (under reducing and nonreducing conditions), mass spectrometry, or other appropriate techniques may be useful for this evaluation.

(f) Carbohydrate structure

For glycoproteins, the carbohydrate content (neutral sugars, amino sugars, and sialic acids) is determined. In addition, the structure of the carbohydrate chains, the oligosaccharide pattern (antennary profile), and the glycosylation site(s) of the polypeptide chain are analyzed, to the extent possible.

6.1.2 Physicochemical Properties

(a) Molecular weight or size

Molecular weight (or size) is determined using size exclusion chromatography, SDS-polyacrylamide gel electrophoresis (under reducing and/or nonreducing conditions), mass spectrometry, and other appropriate techniques.

(b) Isoform pattern

This is determined by isoelectric focusing or other appropriate techniques.

(c) Extinction coefficient (or molar absorptivity)

In many cases, it will be desirable to determine the extinction coefficient (or molar absorptivity) for the desired product at a particular UV/visible wavelength (e.g., 280 nm). The extinction coefficient is determined using UV/visible spectrophotometry on a solution of the product having a known protein content as determined by techniques such as amino acid compositional analysis, or nitrogen determination, and so on. If UV absorption is used to measure protein content, the extinction coefficient for the particular product should be used.

(d) Electrophoretic patterns

Electrophoretic patterns and data on identity, homogeneity, and purity can be obtained by polyacrylamide gel electrophoresis, isoelectric focusing, SDS-polyacrylamide gel electrophoresis, Western-blot, capillary electrophoresis, or other suitable procedures.

(e) Liquid chromatographic patterns

Chromatographic patterns and data on the identity, homogeneity, and purity can be obtained by size exclusion chromatography, reverse-phase liquid chromatography, ion-exchange liquid chromatography, affinity chromatography, or other suitable procedures.

(f) Spectroscopic profiles

The ultraviolet and visible absorption spectra are determined as appropriate. The higher-order structure of the product is examined using procedures such as circular dichroism, nuclear magnetic resonance (NMR), or other suitable techniques, as appropriate.

6.2 APPENDIX FOR IMPURITIES

This appendix lists potential impurities, their sources, and examples of relevant analytical approaches for detection. Specific impurities and technical approaches employed, as in the case of physicochemical characterization, will vary from product to product, and alternative approaches, other than those listed in this appendix, will be appropriate in many cases. New analytical technology and modifications to existing technology are continuously being developed and should be applied when appropriate.

6.2.1 Process-Related Impurities and Contaminants

These are derived from the manufacturing process (Section 2.1.4) and are classified into three major categories: cell substrate-derived, cell culture-derived, and downstream-derived.

- (a) Cell substrate-derived impurities include, but are not limited to, proteins derived from the host organism and nucleic acid (host cell genomic, vector, or total DNA). For host cell proteins, a sensitive assay, for example, immunoassay, capable of detecting a wide range of protein impurities is generally utilized. In the case of an immunoassay, a polyclonal antibody used in the test is generated by immunization with a preparation of a production cell minus the product-coding gene, fusion partners, or other appropriate cell lines. The level of DNA from the host cells can be detected by direct analysis on the product (such as hybridization techniques). Clearance studies, which could include spiking experiments at the laboratory scale, to demonstrate the removal of cell substrate-derived impurities such as nucleic acids and host cell proteins may sometimes be used to eliminate the

need for establishing acceptance criteria for these impurities.

- (b) Cell culture-derived impurities include, but are not limited to, inducers antibiotics, serum, and other media components.
- (c) Downstream-derived impurities include, but are not limited to, enzymes, chemical and biochemical processing reagents (e.g., cyanogen bromide, guanidine, oxidizing and reducing agents), inorganic salts (e.g., heavy metals, arsenic, nonmetallic ion), solvents, carriers, ligands (e.g., monoclonal antibodies), and other leachables.

For intentionally introduced, endogenous and adventitious viruses, the ability of the manufacturing process to remove and/or inactivate viruses should be demonstrated as described in ICH harmonized tripartite guideline “Viral Safety Evaluation of Biotechnology Products Derived From Cell Lines of Human or Animal Origin.”

6.2.2 Product-Related Impurities Including Degradation Products

The following represents the most frequently encountered molecular variants of the desired product and lists relevant technology for their assessment. Such variants may need considerable effort in isolation and characterization in order to identify the type of modification(s). Degradation products arising during manufacture and/or storage in significant amounts should be tested for and monitored against appropriately established acceptance criteria.

- (a) Truncated forms: hydrolytic enzymes or chemicals may catalyze the cleavage of peptide bonds. These may be detected by HPLC or SDS-PAGE. Peptide mapping may be useful, depending on the property of the variant.
- (b) Other modified forms: deamidated, isomerized, mismatched S-S linked, oxidized, or altered conjugated forms (e.g., glycosylation, phosphorylation) may be detected and characterized by chromatographic, electrophoretic, and/or other relevant analytical methods (e.g., HPLC, capillary electrophoresis, mass spectroscopy, circular dichroism).
- (c) Aggregates: the category of aggregates includes dimers and higher multiples of the desired product. These are generally resolved from the desired product and product-related substances and quantitated by appropriate analytical procedures (e.g., size exclusion chromatography, capillary electrophoresis).



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11 Essential Clean-Room Design Elements

One of the most important components of pharmaceutical manufacturing is the environment under which the production is carried out. The design and layout of a manufacturing facility is critical to preventing cross-contamination, exposure to workers, and generally making a safe product for human or animal consumption. These considerations are more important in the processing of sterile products where contamination of any type can render the product unsuitable. These products are thus processed in clean rooms. A clean room is defined by ISO 14644-1 as “a room in which the concentration of airborne particles is controlled, and which is constructed and used in a manner to minimize the introduction, generation, and retention of particles inside the room and in which other relevant parameters, e.g. temperature, humidity, and pressure, are controlled as necessary.” The design of clean room HVAC systems is a specialty area requiring the unique understanding of cleanliness guidelines, airflow, room pressurization, code requirements, specialty equipment, precise control, and many more details.

In this chapter, details regarding the design components of various types of clean-room environments found in a sterile manufacturing area are discussed. Smart designing requires that the facility be put together in a most cost-effective manner, have the lowest possible maintenance cost, and be readily validated. Generally, clean rooms are highly energy intensive to operate as these rooms systems include process equipment, HVAC systems, lighting, etc. HVAC systems in clean rooms are dramatically different from their counterparts in commercial buildings in terms of reliability, safety requirements, and scale. Benchmarking energy use in clean rooms is particularly important in that there is little industry data available to compare energy use. The lack of energy data and standard metrics makes comparison difficult or impossible. It is also difficult to set appropriate design goals, compare performance over time, and compare performance against other similar clean rooms. Clean-room energy benchmarking can provide baseline information to better understand clean-room energy performance and can provide information to identify energy-saving opportunities.

The conventional unit of measurement for fine particles is the μm or micrometer. A micrometer or μm is a millionth of a meter or approximately 0.000040 of an inch. A human hair varies from 30 to 200 μm in diameter, with the average human hair being approximately 100 μm . Airborne particles range in size from 0.001 to 1000 μm , the latter having a very short “life.” Atmospheric rain is a good example of a 1000- μm particle. Particles of 0.001 μm are bordering on molecular size. Earlier designs of clean rooms were concerned with controlling particles in the 0.5 μm and larger size range. In the 1980s, the limit was lowered to the 0.3 μm and larger size range, and a decade later the range was 0.1 μm ; today, we control particles sizes of 0.05 μm and larger.

Pharmaceutical clean rooms offer a different challenge from those encountered in electronics industry or medical procedure rooms because the general requirement and the level of contaminants are predictable and constant. Since the smallest particle human body sheds is approximately 7 μm (based on the finest capillary diameter in humans), which is also the approximate size of a bacteria, restricting particles to a smaller size than this would substantially reduce pyrogenic materials. As a result, the particles of 5-micron size are generally excluded from the clean room environment. Naturally occurring contaminants include microorganisms, sand, organic matter, excrements of animals, and pollen; man-produced contaminants include tobacco smoke, unburned hydrocarbons, fly ash, farm dusts, construction dusts, engine exhausts, and unfiltered industrial exhausts. Manufacturing operation-generated contaminants come from garments, packaging materials, and mechanical operations. Humans constitute the largest source of contamination. On an average, a person sheds approximately 1.5 lb of skin per year, scale by scale; add this to dandruff, makeup, hair, and clothing fibers, and we have an active particle-generating machine. Contaminants are divided as organic or inorganic with varied structural complexity, ability to coalesce, and disperse in air, making it very difficult to profile the contamination profile of a room or a process. Even though attempts have been made to quantify the contamination potential using various permutations and combinations of activities, garments, and other room conditions, these remain of limited value in designing the clean rooms based on contamination potential. The basic rules of fewest operators (machines are less contaminating), fewer abrupt motions, appropriate garments, and strict adherence to entry and exit SOPs remain the strongest measure of containing contaminants.

Clean rooms are categorized by their cleanliness levels and the type of airborne material that is controlled; the latter definition is more pertinent to design of aseptic areas where the goal is to reduce the quantity of living organisms or their by-products; these are called bio-clean rooms. A “white room” is an area where visible particles larger than 25 μm are controlled; the designation comes from the white-painted rooms of the past to show their cleanliness; these are designated as 500,000 areas. Other classifications include 100,000, 10,000, 100, 10, and 1 clean rooms, aseptic areas, and bio-clean rooms. The basic principle in controlling particles in the air involves recirculation of the room air through a filter that removes these particles; in a more conventional system where the air moves in the room in a turbulent matter, even settled particles are picked up; this puts strain on the system compared to a laminar flow system where the air flows in a designated vertical or horizontal path. Thus, the quality of room air is highly dependent on the air-handling system

where the effectiveness is determined by the type and number of air filters, the number of room air changes per hour, and the air distribution patterns within the room. The air patterns are determined by where the diffuser is located; if there is one diffuser in the room providing a spread of air, this will have a sweeping action throughout the room and is likely to leave many “dead” air pockets in the room, and even increasing the air changes per hour will not help much since higher velocity might kick more dust in the air. The most efficient systems would have low air velocity and cover a larger surface area of the ceiling to produce a steady or constant down flow of clean air, which would gently sweep the air in the room. This type of design is called a “vertical laminar flow clean room,” the most expensive type of facility to construct but justified for contamination sensitive products; a good example is the laboratory-scale laminar flow hood that can be used to protect the samples from humans and also humans from samples depending on the flow pattern and direction. When applied into a room, good vertical laminar flow can be achieved with air changes of approximately 600 or more per hour only with the entire ceiling acting as diffuser and the floor serving the return flow. Obviously, this would be a very high-cost facility; cost reductions are possible by using multiple diffusers appropriately placed and using low-level wall returns and keeping them as close as possible to areas where most particles are generated. Clean facilities are rated in terms of the amount of airborne contaminants present; the first document recognizing this was the United States Air Force Technical Order 00-25-203, which was followed by the U.S. Federal Standard 209 that provided a more universal industry standard; this was officially abandoned in 2001 and replaced by ISO 14644-1 but continues to be widely quoted. In 209E, there were no particles larger than 5 μm which are allowed in Class 10,000 or better mainly to eliminate pyrogenic materials. The ISO 14644-1 also recognized particles 5 U or larger, and none are allowed in Class 10,000 or ISO 4 environment (Table 11.1).

The designers and operators of clean rooms are directed to a series of documents published by the ISO organization that pertain to design and operations of these rooms; these are listed below in the chronologic order of their issuance (www.iso.org):

ISO 14644-1:1999: Cleanrooms and associated controlled environments—Part 1: Classification of air cleanliness. Edition: 1 | Stage: 90.92 | TC 209. ICS: 13.040.35. Document available as of: 1999-05-06.

ISO 14644-2:2000: Cleanrooms and associated controlled environments—Part 2: Specifications for testing and monitoring to prove continued compliance with ISO 14644-1. Edition: 1 | Stage: 90.92 | TC 209. ICS: 13.040.35. Document available as of: 2000-09-07.

ISO 14644-4:2001: Cleanrooms and associated controlled environments—Part 4: Design, construction and start-up. Edition: 1 | Stage: 90.93 | TC 209. ICS: 13.040.35. Document available as of: 2001-04-12.

ISO 14698-1:2003: Cleanrooms and associated controlled environments—Biocontamination control—Part 1: General principles and methods. Edition: 1 | Stage: 90.20 | TC 209. ICS: 13.040.35. Document available as of: 2003-09-17.

ISO 14698-2:2003: Cleanrooms and associated controlled environments—Biocontamination control—Part 2: Evaluation and interpretation of biocontamination data. Edition: 1 | Stage: 90.20 | TC 209. ICS: 13.040.35. Document available as of: 2003-10-10.

ISO 14644-5:2004: Cleanrooms and associated controlled environments—Part 5: Operations. Edition: 1 | Stage: 90.93 | TC 209. ICS: 13.040.35. Document available as of: 2004-08-13.

ISO 14644-7:2004: Cleanrooms and associated controlled environments—Part 7: Separative devices (clean air hoods, gloveboxes, isolators and mini-environments). Edition: 1 | Stage: 90.60 | TC 209. ICS: 13.040.35. Document available as of: 2004-10-07.

ISO 14644-3:2005: Cleanrooms and associated controlled environments—Part 3: Test methods. Edition: 1 | Stage: 90.20 | TC 209. ICS: 13.040.35. Document available as of: 2005-12-06.

ISO 14644-8:2006: Cleanrooms and associated controlled environments—Part 8: Classification of

TABLE 11.1
The ISO 14644-1 Clean Room Standards

Class	Maximum Particles/m ³						209E Equiv.
	$\geq 0.1 \mu\text{m}$	$\geq 0.2 \mu\text{m}$	$\geq 0.3 \mu\text{m}$	$\geq 0.5 \mu\text{m}$	$\geq 1 \mu\text{m}$	$\geq 5 \mu\text{m}$	
ISO 1	10	2					
ISO 2	100	24	10	4			
ISO 3	1000	237	102	35	8		Class 1
ISO 4	10,000	2370	1020	352	83		Class 10
ISO 5	100,000	23,700	10,200	3520	832	29	Class 100
ISO 6	1,000,000	237,000	102,000	35,200	8320	293	Class 1000
ISO 7				352,000	83,200	2930	Class 10,000
ISO 8				3,520,000	832,000	29,300	Class 100,000
ISO 9				35,200,000	8,320,000	293,000	Room air

airborne molecular contamination. Edition: 1 | Stage: 60.60 | TC 209. ICS: 13.040.35. Document available as of: 2006-08-10.

ISO 21501-4:2007: Determination of particle size distribution—Single particle light interaction methods—Part 4: Light scattering airborne particle counter for clean spaces. Edition: 1 | Stage: 60.60 | TC 24/SC 4. ICS: 19.120. Document available as of: 2007-05-07.

ISO 14644-6:2007: Cleanrooms and associated controlled environments—Part 6: Vocabulary. Edition: 1 | Stage: 60.60 | TC 209. ICS: 13.040.35. Document available as of: 2007-07-04.

Room design starts with identifying the contaminants to assure that the design is not overkill—as it happens more often, adding to the cost of the product. The most expensive clean rooms are the vertical laminar flow type, and the least expensive are horizontal flow turbulent rooms. In most instances, a facility would have several different types of air-quality rooms to focus air type contact based on need. The following flowchart describes the decreasing order of cost of clean rooms:

Vertical laminar flow and perforated floor and return through floor → Vertical flow and solid floor and sidewall returns and laminar flow benches → Vertical and solid floor and sidewall returns → Controlled areas with laminar flow benches dispersed throughout the floor plan → Uncontrolled area with vertical laminar flow benches clustered together.

In a vertical flow laminar room with air returning through the floor, a laminar flow is maintained throughout the room preventing cross-contamination within the room; when the floor is solid and the air returns through low-level returns, the air takes a sweeping, often turbulent action, and cross-contamination from items within the room is less stringent; in this case, the cleanliness of the room is maintained through a scrubbing action through repeated exchanges of air through HEPA filters. The most significant cost-reduction measure is the use of spot air where the contamination is to be avoided. It is best achieved by providing laminar downdraft clean air over the specific process or product instead of the entire room, which can be maintained at a lesser quality conserving substantial cost (e.g., a Class 100 downdraft laminar flow air over vial filling machines in a Class 10,000 room). Spot laminar draft is also very useful where different temperature needs to be maintained such as in the case of downstream processing of biological drug purification. Ideally, the chromatography process is conducted under a laminar flow with low temperature of 5 to 8°C. Keeping the entire room cold increases the cost of operation and makes it difficult for the operators to work, making them less efficient. In such cases, the ideal design would be a belt of laminar flow downdraft at a colder temperature. Obviously, this approach is not recommended if the operations are spread out through the entire room and cannot be integrated and restricted to a particular area of the room.

Whereas the standard of clean rooms is rising in many industries including the electronics industry, such is not the case for the pharmaceutical industry since here the main source of contamination remains the operator—humans. Given the smallest capillary in humans is approximately 5 μm , the size of the smallest particle shed by humans is fixed. With more automation and use of robotic systems, it is possible to reduce the cleanliness requirements making the product less expensive to manufacture. It is for this reason that the planning for designing clean rooms requires complete understanding of the process, measures to optimize and automate the process and reduce as much as possible human traffic in clean rooms, whether for the process needs or maintenance needs. An optimal design would require a time-motion analysis, slack removal, and optimal placement of equipment. An important consideration rather unique to pharmaceutical clean rooms is the avoidance of pyrogenic materials in sterile product manufacturing; this may require extraordinary measures to keep the room clean and validated.

I. OPTIMIZED DESIGNS

Since clean rooms have complex mechanical systems and high construction, operating, and energy costs, it is important to perform the clean-room design in a methodical way. Given below is a listing of major considerations in optimizing the cost of installation and operation of clean rooms:

1. Layout, material, and personnel flow: It is important to evaluate the people and material flow within the clean-room suite. People are the largest contamination source, and all critical processes should be isolated from personnel access doors and pathways. The most critical spaces should have a single access to prevent the space from being a pathway to other less critical spaces. Some pharmaceutical and biopharmaceutical processes are susceptible to cross-contamination from other pharmaceutical and biopharmaceutical processes. Process cross-contamination needs to be carefully evaluated for raw material inflow routes and containment, material process isolation, and finished product outflow routes and containment.
2. Degree of space cleanliness and classification: To be able to select a clean-room classification, it is important to know the primary clean-room classification standard and what the particulate performance requirements are for each cleanliness classification. The Institute of Environmental Science and Technology (IEST) Standard 14644-1 provide the different cleanliness classifications (1, 10, 100, 1000, 10,000, and 100,000) and the allowable number of particles at different particle sizes. For example, a Class 100 clean room is allowed a maximum of 3500 particles/cu ft at 0.1 μm and larger, 750 particles/cu ft at 0.2 μm and larger, 300 particles/cu ft at 0.3 μm and larger, 100 particles/cu ft at 0.5 μm and larger,

- and 24 particles/cu ft at 1.0 μm and larger. Space cleanliness classification has a substantial impact on clean-room cost and maintenance. It is important to carefully evaluate reject/contamination rates at different cleanliness classifications and regulatory agency requirements, such as those of the FDA. Typically, the more sensitive the process, the more stringent cleanliness classification should be used. There should be no more than two orders of magnitude difference in cleanliness classification between connecting spaces. For example, it is not acceptable for a Class 100,000 clean room to open into a Class 100 clean room, but it is acceptable for a Class 100,000 clean room to open into a Class 1000 clean room.
3. Space pressurization: Maintaining a positive space air pressure, in relation to adjoining dirtier cleanliness classification spaces, is essential in preventing contaminants from infiltrating into a clean room. It is very difficult to consistently maintain the cleanliness classification when it has neutral or negative space pressurization. As a rule of thumb, a pressure differential of 0.03 to 0.05 in w.g. is effective in reducing contaminant infiltration. Space pressure differentials above 0.05 in w.g. do not provide substantially better contaminant infiltration control than 0.05 in w.g. Since higher space pressure differential means higher energy cost and difficulty in complying, minimal pressure differences that work should be used. Also, a higher-pressure differential requires more force in opening and closing doors and may lead to these being held open for longer times. The recommended maximum pressure differential across a door is 0.1 in w.g. At 0.1 in w.g., a 3-by-7-ft door requires 11 lb of force to open and close. A clean-room suite may need to be reconfigured to keep the static pressure differential across doors within acceptable limits. Air infiltration should not go from a dirtier cleanliness classification space to a cleaner cleanliness classification space.
 4. Space supply airflow: The numbers of air changes determine the cleanliness classification. For example, a Class 100,000 clean room has a 15 to 30 air changes per hour (ach) range. The rate of air change should take the anticipated activity within the clean room into account. A Class 100,000 (ISO 8) clean room having a low occupancy rate, low particle-generating process, and positive space pressurization in relation to adjacent dirtier cleanliness spaces might use 15 ach, while the same clean room having high occupancy, frequent in/out traffic, high particle-generating process, or neutral space pressurization will probably need 30 ach. The designer needs to evaluate the specific application and determine the air change rate to be used. Other variables affecting space supply airflow are process exhaust airflows, air infiltrating in through doors/openings, and air leakage out through doors/openings. IEST has published recommended air change rates in Standard 14644-4. "Gown/Degown" has the most in/out travel but is not a process critical space, resulting in 20 ach. A sterile airlock adjacent to critical production spaces may be used as a buffer with 40 ach. A Class 1000 (ISO 6) clean room may require a 150-ach rate.
 5. Space air leak: The majority of clean rooms are under positive pressure, resulting in planned air leakage into adjoining spaces having lower static pressure and unpredictable air leakage through electrical outlets, light fixtures, window frames, door frames, wall/floor interface, wall/ceiling interface, and access doors. It is important to understand that rooms are not hermetically sealed and do have leakage. A well-sealed clean room will have a 1 to 2% volume leakage rate. When using active supply, return, and exhaust air control devices, there needs to be a minimum of 10% difference between supply and return airflow to statically decouple the supply, return, and exhaust air valves from each other. If the valves are not statically separated, their controls can end up fighting each other. The amount of air leakage through doors is dependent upon the door size, the pressure differential across the door, and how well the door is sealed (gaskets, door drops, and closure). As an example, the air leakage through the 3-by-7-ft door is approximately 190 cfm with a differential static pressure of 0.03 in w.g. and 270 cfm with a differential static pressure of 0.05 in w.g.
 6. Space and air balance: Space air balance consists of all the airflow into the space (supply and infiltration) and all the airflow leaving the space (exhaust, leakage, and return) being equal.
 7. Other variables:
 - a. Temperature: Clean-room workers wear smocks or full bunny suits over their regular clothes to reduce particulate generation and potential contamination. Because of this extra clothing, it is important to maintain a lower space temperature for worker comfort. A space temperature range between 66 and 70°F will provide comfortable conditions.
 - b. Humidity: Because of a high airflow, a large electrostatic charge is developed in clean-room air. When the ceiling and walls have high electrostatic charge and space has low relative humidity, airborne particulate will attach to the surface. When the space relative humidity increases, the electrostatic charge is discharged and all the captured particulate is released in a short time period, causing the clean room to go out of specification. Having high electrostatic charge can also damage electrostatic discharge sensitive materials. It is important to keep the space relative humidity high enough to reduce

the electrostatic charge build up. A relative humidity of 45% + 5% is considered the optimal humidity level.

- c. Luminosity: Very critical processes might require laminar flow to reduce the chance of contaminants getting into the air stream between the HEPA filter and the process. IEST Standard #IESTWGCC006 provides airflow luminosity requirements.
 - d. Electrostatic discharge: Beyond the space humidification, some processes are very sensitive to electrostatic discharge damage, and it is necessary to install grounded conductive flooring.
 - e. Noise levels and vibration: Some precision processes are very sensitive to noise and vibration.
8. Mechanical system layout: Unlike normal air-conditioning systems, clean-room systems have substantially more supply air than needed to meet cooling and heating loads. Class 100,000 (ISO8) and lower ach Class 10,000 (ISO 7) clean rooms can have all the air go through the AHU. The return air and outside air are mixed, filtered, cooled, reheated, and humidified before being supplied to terminal HEPA filters in the ceiling. To prevent contaminant recirculation in the clean room, the return air is picked up by low wall returns. For higher ach Class 10,000 (ISO 7) and cleaner clean rooms, the airflows are too high for all the air to go through the AHU; a small portion of the return air is sent back to the AHU for conditioning, and the remaining air is returned to the recirculation fan.
 9. Heating and cooling calculations: When performing the clean-room heating/cooling calculations, take the following into consideration:
 - a. Use the most conservative climate conditions (99.6% heating design, 0.4% dry-bulb/median wet-bulb cooling design, and 0.4% wet-bulb/median dry-bulb cooling design data).
 - b. Include infiltration into calculations.
 - c. Include humidifier manifold heat into calculations.
 - d. Include process load into calculations.
 - e. Include recirculation fan heat into calculations.
 10. Mechanical room space: Clean rooms are mechanically and electrically intensive. As the cleanliness classification becomes cleaner, more mechanical infrastructure space is needed to provide adequate support to the clean room. Using a 1000-sq-ft clean room as an example, a Class 1000 (ISO 8) clean room will need 250 to 400 sq ft of support space, a Class 10,000 (ISO 7) clean room will need 250 to 750 sq ft of support space, a Class 1000 (ISO 6) clean room will need 500 to 1000 sq ft of support space, and a Class 100 (ISO 5) clean room will need 750 to 1,500 sq ft of support space. The actual support square footage will vary depending upon AHU airflow and complexity (simple: filter, heating coil, cooling coil, and fan; complex: sound attenuator, return fan, relief air section, outside air intake, filter section, heating section, cooling section, humidifier, supply fan, sound attenuator, and discharge plenum) and number of dedicated clean-room support systems (exhaust, recirculation air units, chilled water, hot water, steam, and DI/RO water). It is important to make provision for the required mechanical equipment space square footage to the project architect early in the design process.
 11. Problem avoidance: The following are some of the most frequent problems found in clean-room design. These problems are, of course, of a general nature but are repeated time and again:
 - a. Horizontal surface materials are selected which hide, rather than illuminate and display contaminants to the employees.
 - b. Clean benches and tables have sharp edges which wear garments and produce large amounts of contaminants directly at the workstation.
 - c. Normally, white is chosen as the prime color for any clean room. However, this is not the best color from the industrial consultant's point of view. Colors do not affect the cleanliness of the room.
 - d. Bench heights found in clean rooms sometimes do not follow industrial engineering recommendations of general industry.
 - e. There is a lack of testing, checking-out, and certifying clean-room equipment for acceptable use in clean rooms.
 - f. Automatic material handling equipment is excluded from the clean environment.
 - g. Equipment is supplied to the clean room in a contaminated state.
 - h. Use of approved clean-room material is low.
 12. Types of contaminants: While great emphasis is placed on number of particles in the air, the type of particles is often more important for bio-clean rooms where viable airborne particles create special problem situations. These particles come from personnel emission (sneezing, coughing, exhalations, and perspiration), growth of fungi and bacteria in room crevices, and unclean areas. Microorganisms are carried by dust particles, and thus reducing particles generally reduces viable counts as well. However, when allowed to grow, single bacteria can grow into a million count within 10 to 12 hours, particularly where liquid products are handled, providing moisture and nutrition for growth. While general cleanliness with reduced particle count will improve all operations requiring a clean environment, there must be a cost-advantage analysis made in the design of clean rooms. Not all particles are bad; so we need to define not only the number and size of particles allowed but also the nature of these particles. In the case of parenteral products, contamination in the

form of particulate matter is defined as unwanted mobile insoluble matter that may originate from an intrinsic or extrinsic source. Intrinsic contamination is material not removed from the solution, and the extrinsic particle comes from air during filling operations.

13. Air changes: The minimum air-handling system for clean rooms is designed to change the room air through HEPA filters eight times per hour. This number of room air changes will provide a Class 100,000 condition per Federal Standard 209E, provided that the room volume is not very large or of any unusual dimension. Turbulent flow-designed rooms, which depend on room air changes to achieve their cleanliness level greater than 24,000 cu ft, present difficulties in airborne contamination control. A room air change rate of 20 per hour will provide a Class 10,000 clean-room condition. A room air change rate of 45 per hour will provide Class 1000 conditions. As the size of clean room increases, difficulties arise in predicting the efficiency of HVAC systems as the airflow patterns become difficult to predict, not only because of the hydrodynamic effects but for the complex interactions with larger number of operators, their entry and exit paths, work movements, and equipment that would be found in larger rooms. Long distances to exits and personnel traffic patterns in large rooms are also significant factors, which contribute to increased airborne particulate levels.
14. Room pressure: Whereas tight-sealing doors are used to isolate room environment, these are seldom very effective since these must be continually opened and closed and always have air leakage. To assure that room air is only allowed to travel in a specified path, clean rooms are pressurized; the function of increased pressure is to force clean air out of any cracks or openings, thus preventing contaminated outside air from forcing its way into the room. The increased pressure also creates an outward flow of air when the entry doors are open, reducing the entry of outside air. Rooms are pressurized by discharging excess room air through a pressure sensing-regulated barometric damper. However, this requires making up the air lost not only through leaks but also in keeping the quality of air needed by the operators. A minimum of 200 cu ft of fresh air per hour per employee is usually required. In many areas, local government regulations will mandate the quantity of fresh air that is required. A positive pressure of no more than 0.10 in water gauge between the clean room and uncontrolled areas is adequate for most applications. If more than one room is involved, it may be necessary to raise this value so that the rooms may be staged from the most closely controlled room to the least-controlled room. Where rooms are staged, a 0.05 in water gauge differential pressure is sufficient between rooms. It is important to realize that a higher differential of pressure between rooms makes the doors difficult to operate and results in large fluctuations or pressure that may not be desirable.
15. Filters: Filters to remove room particles are rated by the percentage of airborne particles they remove. Generally, HEPA filter should be rated at least 99.97% by the dioctylphthalate (DOP) test. If a filter system allows too many smaller particles to go through, these particles may coalesce in the room and result in larger count, so it is important that a filter not only restrict a specific size of particles but the overall number of particles, albeit small, it allows to go through. ULPA are more efficient than HEPA filters and can be used with maximum resistance differential pressures of 10 in or 25 cm water gauge. The allowable pressure differential in filter resistance is determined by the fan capacity, and thus a fan of suitable capacity must be selected to deliver the desired airflow against the total resistance constituted by the ductwork and the dust- or particle-loaded filter. Variable speed fans that are controlled by a feedback mechanism allow constant airflow despite increase in the filter resistance and are highly recommended to avoid frequent adjustments to the HVAC control systems. However, with increased speed of fans, the pressure rises at the filter surface leading to risk of perforation, and it is for this reason that all filters are to be replaced periodically.
16. Garments: People and process produce the highest number of contaminant particles; the personnel emissions are further increased when wearing improper garments. Proper clean garment selection, cleaning, maintenance, repairing, and wearing are major concerns of a good clean-rooms or bio-clean-rooms operation. These factors are of equal importance to the design of clean rooms. An effective way to upgrade a clean facility is to remove street clothes before dressing with clean garments. Street clothes have billions of particles on their surfaces. Leaving these clothes outside the room reduces contaminant levels in the clean room or bio-clean room. The type of garment used in the clean rooms is of great significance; for example, in light movement, snap smock produces approximately 500,000 particles, standard coverall approximately 50,000, two-piece coverall 20,000, Tyvek coverall 5000, and membrane coverall only 50 particles.
17. Construction materials: The construction material used in clean rooms is critical to maintaining the room classification. Whereas there are different tolerances of specification of construction material for different classifications (to keep the cost low), some general principles are applicable to all clean rooms.
 - Free of discontinuities, openings, pits, porosity, crevices, etc. by which contaminating material can be retained or enter.

- Resistant to deterioration, abrasion, or other damage in the normally expected usage (more particularly the specific contaminants anticipated).
- Able to dissipate electrostatic charges (more important in environments where low humidity is inevitable or desired).
- Sound dampening.
- Reflective of ambient light and of desired (appealing and non-fatiguing) color.
- Insulating against temperature and/or moisture.
- Easily workable with similar and other materials (e.g., glass, steel etc.)
- Available in different shapes, sizes, and specification as needed.
- Repairable or replaceable during the life of the facility without breaching cleanliness.
- Will not warp or shrink (to prevent leakages and contaminants collecting) and sustain constraints of structure, minor ground movements (earthquake), and vibrations. If a material of acceptable cost cannot be found that would meet above requirements, materials can be combined that provide different qualities as described above. For example, a low-cost wall material can be gypsum wall with a vinyl laminate on the clean room side and the gypsum board appropriately sealed to prevent particle shedding. Recently, many prefabricated wall systems have become available that are highly suitable for special use rooms like bio-clean rooms.

II. TURBULENT TYPE CLEAN ROOMS

Clean rooms are differentiated based on types of airflow. When the airflow is predictable, these are called laminar flow rooms, and the other type is turbulent flow rooms, which also have similar unidirectional flow (as air is circulated through returns). The difference between the two types is significant. Both type of rooms remove particles by the process of dilution and filtration; in one case it is random, and in the other the particles generated are immediately removed (laminar) requiring much higher air exchange rates and appropriate placement of diffusers to make sure that there are no dead spaces left in the room.

Clean rooms can be a single freestanding room, or a single room with a locker and air-shower entry, or a complex of clean rooms with pressure gradients between rooms. Clean rooms require a support space or area adjacent to the clean facility. If it has a cleanliness level between Class 100,000 and 500,000, the space is positioned between the clean area and the rest of the factory and allows material to be prepared to enter the clean room as well as product to exit and be prepared for transport and storage. This area is needed in order to handle boxes, etc. for loading and unloading materials. This support space is usually designed as a controlled area. This support space is usually forgotten during the design and then has to be added on after room construction.

Turbulent clean rooms use a variety of wall surfaces that must be of low particle shedding type. Floors can vary from vinyl tiles to epoxy (the preferred type). The floors should have sealed seams. Ceilings for turbulent flow rooms should be rigid enough to support the stress of high air moving diffusers. They can be painted to prevent shedding particles. Cross-contamination in clean rooms is prevented by using pressurized controls, both positive and negative depending on whether the contamination is to be prevented or controlled from spreading out. A comprehensive design would provide both through a sink created in the entry module.

Filters used must be rated and tested frequently. Methods for testing the efficiency of air filters vary widely, and these tests are not always comparable, a note of caution in comparing various filter products. The DOP smoke test is used to rate HEPA filters. The word "smoke," as used by the filter testers, means a high particle density of airborne particles. The term does not refer to any type of combustion process or burning of material. The generation of DOP smoke is closely controlled to maintain the particle diameter of the droplets at 0.3 μm , which is usually considered the most difficult size to remove. A light scattering penetration meter or particle counter is used to evaluate the results and establish the filter's efficiency.

III. VERTICAL FLOW CLEAN ROOMS

An efficient vertical laminar clean-room design envisions a comprehensive layout that allows sufficient room for working conditions but not too much more than what is needed. The reason for this minimalist approach is the cost of installation, maintenance, and operation. Larger rooms are more difficult to validate and to keep validated. For example, the aisles need be no wider than 5 ft, unless larger equipment or supply movement is anticipated. In a research clean room, little regard might be given to the height of the equipment or its position relative to other room equipment. It is important, however, that at least 4 to 6 in of space separate items of equipment, such as a console and workbench. It is of prime importance that the area above the work be open from the ceiling for maintenance. This allows the contaminant-free air to flow from the ceiling down over the workstation and then to the floor.

In this type of facility, contaminant-generating operations may be performed anywhere in the room without the risk of contaminating the product. This is because there is no transfer of contamination by random airflow between workstations. All air flowing over an individual workstation passes directly from the workstation to the floor and then is refiltered. Each workstation can be considered as totally isolated from every other workstation by the essentially laminar airflow pattern of the room.

The major advantages of a laminar vertical flow include the following:

1. Ability to completely isolate every operation by streamlines of laminar airflow.
2. Produces the shortest distance from contaminant generation to contaminant removal from the room.

3. Yields the lowest contamination level of all the room designs.
4. Prevents heat buildup, since the volume of air available to absorb heat is great and distance the air must travel to air return is at the minimum.

The major disadvantages of a laminar flow vertical flow include the following:

1. Installation cost: some cost savings can be made through creative and cost-cutting measures yet almost always cost of product produced is a significant factor in justifying use of this design.
2. Maintenance cost: this is proportional to the number of filters used and the high-energy input required.

IV. LAMINAR FLOW CLEAN ROOMS

Turbulent flow clean rooms are less efficient because they lack self-cleanup capabilities to offset contamination brought into the room by personnel and equipment. Contaminants generally settle to the floor and attach to other surfaces and may be reintroduced into the air by changes in air currents or by activity in the room. Turbulent airflow is also not uniform, and also the particles are not removed uniformly and personnel often contribute more heavily to contaminants in such turbulent flow rooms. These shortcomings are overcome in a laminar flow design where the clean environment is created almost independent of the operations or activity since the particles generated are immediately removed from the surrounding without diluting in the room.

How much of a laminar airflow is (horizontal or vertical) designed into clean rooms depends on the target classification. For example, for areas of cleanliness class lower than Class 1000, horizontal laminar flow clean rooms are appropriate where a full wall of HEPA filters is positioned to take up the entire cross section of the room through which air passes at rates of 100 ft/min. The airflow patterns are balanced to ensure that a unidirectional flow is established so that particles released by personnel are directed toward the exit end of the room. The exit end of the room is the opposite wall to the HEPA filter bank, and the air-conditioning is provided to the room upstream of the HEPA filters. Class 100 and better conditions are better provided by vertical laminar flow clean rooms.

The clean bench provides an ultraclean work area without the expense of constructing an entire room. This spot application of clean air in a laminar flow is obtained by using the HEPA filters at the back of the bench through which air is evenly distributed in a unidirectional flow at a velocity of 100 ft/min. The air streamlines are parallel, and any particles created in the processing are simply pushed out of the area without contaminating; the design also allows an open area for operators to maneuver freely. The air quality of the clean bench is rated a minimum of a Class 100, per Federal Standard 209E. Because of the very high cost of air circulation, spot Class 100 environment offers the most cost-effective solutions; for example, in a sterile product filling line, the

room can be Class 10,000 while the air above the filling line is Class 100 (laminar flow with soft curtains).

V. HORIZONTAL LAMINAR FLOW CLEAN ROOMS

Use of horizontal laminar flow clean rooms allows ready achievement of Classes of 10 to 1000. In the design of horizontal laminar flow clean rooms, a wall of the room is used as a filter bank, which contains HEPA filters. The choice of which wall to be used will determine the downstream operational contamination level.

The air-conditioning system for a horizontal laminar flow clean room delivers the conditioned air into the return air plenum. Usually, this plenum is above the room, although it may also be on the side of the room or below the room. The over-the-ceiling return may consist of a complete plenum, or it may be constructed of ductwork. The side-of-the-room air return may only be the service corridor, while the below-the-floor air return may be a portion of the floor below or a cellar space. The longer the period of time that the conditioned air has to mix with the primary return air, the more even will be the temperature distribution as the air exits from the HEPA filter wall. This means that the air-mixing operation which is required to produce an even temperature distribution can be less sophisticated.

The effect of changes in temperature, because of the temperature differential within this room, is often more significant as personnel approach the inlet wall, and it is for this reason that these rooms are overdesigned to assure that the threshold of contamination is not reached normally. To reduce the air-conditioning requirements, equipment that generates large heat is generally vented out directly or placed such that it is in the downstream pattern to prevent heat from dissipating into room.

Construction material requirements for walls, floors, and ceilings are similar to what is required for other types of rooms.

The horizontal laminar flow clean room will achieve cleanliness levels approximately two orders of magnitude cleaner than conventional or nonlaminar or turbulent flow clean rooms, at approximately the same cost. However, the horizontal flow room will not isolate operations from each other. These can be achieved by vertical laminar flow patterns. Since operations downstream will be in a dirtier atmosphere than those upstream, staggering the operations requiring cleaner air close to filters solves the problem partly.

Vertical laminar flow clean rooms and bio-clean rooms are differentiated by completeness of the HEPA or ULPA filter ceiling, and then secondly, by whether or not air passes through the floor or has a solid floor. For example, in a room using an entire ceiling grid of top-loaded HEPA filters with standard ceiling height of approximately 8 ft, the air velocity is generally set at 100 ft/min, the number of room air changes per hour will be 750, or one room air-change per every 4.8 seconds. If the filters are properly rated, tested, and certified in place, the particle counter is unlikely to detect even one

particle per cubic foot of 0.3 μm and larger. If this room meets its design criteria, this room can be classified as cleaner than a Class II condition. If personnel actions are controlled and clean membrane type garments are worn, the room will operate in this condition also.

Vertical laminar flow rooms are designed as high-bay clean rooms with less than a complete ceiling of filters to allow the lighting to be spaced between rows of filters and to space out the filters to reduce cost.

A second way in which these rooms are described is by the type of floor installed. In raised floors, airflow panels are standard 2' \times 2' lay-in perforated panels supported on pedestals, which can vary in height from 12 to 30 in. These panels can be obtained also with dampers built into them.

A different approach to airflow floors uses a metal grate approach with prefilters located below the grate. The grate spacing in this particular room is less comfortable to stand on. Larger grate flooring with closer spacing is more comfortable to the feet. Grate flooring also presents problems for supporting chairs and tables.

Generally, long narrow rooms seem to optimize some factors since these rooms are likely to have only one aisleway restricting the movement of personnel to one side of the room; the movement can be further curtailed if the entryway is in the center of the room so that personnel need not walk the entire length of the aisleway. If the processes are built into both of the walls with a service corridor behind them, they have an additional protection. Personnel emissions, which are the heaviest when walking, are in the center aisleway moving toward the floor protecting the processes.

Aseptic filling operations should be done preferably in long, narrow rooms keeping the equipment either in the center or to one side of the room. When double filling operations are performed in the same room, the center corridor is used for personnel traffic. The bio-clean room is laid out with the filling equipment along each wall reducing random walking patterns of personnel, forcing compliance without the need to continuously train workers. (Lifetime experience in designing and operating clean rooms tells that whatever can be enforced with design should not be left to training and SOP.)

Another advantage of long narrow rooms is that they allow for utilities to be piped in along the wall and servicing of the lines without entering the clean rooms. Many arrangements in the shape of letter I or E are possible depending on the limitations in space reorganization.

Wall construction can be of rigid or panel construction. A modular panel wall system offers advantages for maintenance of electronic consoles and for controlling heat load within the clean room. Wall panels can contain either floor to ceiling or window size removable insert covers for consoles. Consoles can be placed into these insert positions while allowing the main body of the console to remain outside the clean room allowing maintenance personnel to remain outside the clean room. It also allows the heat load of the consoles to be dumped into less controlled spaces. Consoles can be moved easily to remote location for repairs.

Providing required lumens in a vertical flow room can be challenging since placement of recessed lights in the ceiling inevitably reduces the number of HEPA filters and their positioning in the ceiling. This requires adjustment of airflow rates to compensate for the loss of ceiling area. At times, 2' \times 4' light panels are inserted into the filter grid system. This design requires extra care in conjunction with room layout to assure that the light is not positioned where it would cause contamination problems below it. A plastic egg-crate can be installed below the filters and lights to give a more aesthetic appearance as well as providing a reflector for the light.

Alternately, thin line tube mounted below the filter bank can be used; this is a good approach since it does not disturb the filter bank and airflow as long as the shape of the tube mount is aerodynamic and has no protruding sharp edges. Another method is to use exposed bulbs evenly spaced across the filter bank; this also does not interfere with airflow and gives even light distribution. In high-bay clean rooms, the bulbs can have filters that direct the light toward the floor to maximize the illumination of workspace; this is more important for larger engineering projects.

The rest of the discussion in this chapter will pertain to the qualification and design of the three most commonly encountered classes of clean rooms in pharmaceutical manufacturing: 500,000 for general use, 100,000 for clean process, and 10,000 for sensitive process; in all instances, use of spot vertical laminar areas is made to minimize the cost of processing where applicable and possible.

A. DESIGN OF CLASS 500,000 ROOMS

These rooms are the first level of cleanliness offered in a pharmaceutical manufacturing area, and since there are SOPs involved in entering and leaving the area, these are called controlled rooms. Many variations are possible to fit the budgetary needs; many rooms can be retrofitted to comply with this requirement. Prefabricated rooms are also a good choice to achieve this level of cleanliness.

Filtered air in the room need not be through an HEPA filter but at least an 85% efficient filter with several room air changes per hour. Good smooth walls and doors are important whereas wall can be of standard construction; good grade enamel paint is used to cover surfaces. Normally, if the facility is a room and it will be constructed on-site of building materials, as opposed to the erection of a prefabricated panel system, it will be constructed of metal studs with gypsum board attached as the wall facing. This plasterboard needs to be coated. A good grade enamel paint, not latex, should be used. Other basic surface treatments can be used if they can be justified such especially where washing or solvent cleaning is required; more elaborate surface treatments are not usually recommended since the benefit is not seen in room airborne contaminant reduction. Thus the use of stainless steel, vinyl coating, fiberglass sheeting, and Formica-type sheeting are not required in this type of area. In addition, coving installed at the wall/floor interface is not required. Measures taken to eliminate contamination from the walls in these types of

areas should not be expensive since the amount of contaminants contributed by walls is always overestimated in comparison to other factors. A smooth, durable surface subject to little or no chipping and flaking is acceptable. Gypsum board or a plaster wall with a good quality enamel paint is sufficient in most cases where impact strength is not essential. Hollow concrete masonry or cinder block construction, owing to its fire resistance and low cost, is also acceptable. If this type of construction is used, every effort should be made to get the finished surface as smooth and hard as possible. This requires filler material to be applied to the masonry or cinder blocks to produce a smooth surface. This surface is then sealed. Epoxy paints have proved very satisfactory in sealing these wall surfaces. The use of wood is not recommended because of fire hazard as well as the changes in wall clearance as a result of variations in room humidity. The use of masonry walls, metal studs and bar joists, and/or metal furring is preferred for this reason. If static charges are a problem, antistatic surface treatments can be applied to the wall materials. Grounding of surfaces is also possible.

Lights may or may not be recessed. There is good concentration on housekeeping practices, once the facility is operating. With that in mind, there is usually a very strong emphasis on floor materials during construction. In these basic areas, it is recognized that the floor will be dirty and that it must be maintained if any degree of dust control is going to be provided by the facility design. Since these areas are primarily concerned with visible contaminants, particles which are at least 25 μm and larger in size, these particles settle quickly on the floor, and thus a good floor level return of air is preferred. Ceiling level returns are problematic as it would not be possible to remove large particles easily. The ceiling supply should have diffusers to spread the air around and allow a sweeping motion to the flow pattern. Air-conditioning systems that control comfortable temperature are needed not for comfort but to reduce the shedding of heavy particles from operators.

The filter bank containing at least medium efficiency filters may be located fairly close to the room. Often the air-conditioning system is a packaged air-conditioning unit, and that is an acceptable option; however, the unit should be positioned so that access to the filter bank is not hindered. Since there is a possibility that the facility may later be fitted with HEPA filters, provisions for this should be allowed in the early design to avoid large expenses later.

Air ducts downstream of filtration should be non-flaking and smooth; aluminum ducting is recommended although large clean flexible ducting is also acceptable. Stainless-steel ducting is not necessary in this type of facility. The filter bank of these areas can be as simple as the prefilters supplied to packaged air-conditioning units or higher efficiency prefilters supplied to a filter bank on a large air-conditioning unit. It is recommended that efficiencies of at least 85% by the National Institute of Standards and Technology (NISTA) test be used. These filters will remove visible and larger particles. It is this sized particle which needs to be controlled in these facilities.

Floors are a particular problem in clean rooms as walking on them subjects them to shear force and generation of

particles into hundreds of millions in micron size range as the surface wears off. As a result, flooring that is long-lasting and sturdy is a requirement. Smooth seamless floor surfaces prevent buildup and smooth cleaning through low-level returns, and to facilitate this, the joints between floor and walls should be smooth, particularly in bio-clean rooms. When floors are subjected to heavy floor loadings or chemical spills, epoxy and polyester toppings are recommended. The use of tiles creates the risk of cracking of tiles regardless of whether these are rubber or vinyl. Rubber tile and sheet rubber flooring and cove bases should not be used where subject to contact with oily materials. Cove would be recommended if wet floor conditions would exist. Flooring with higher electrical resistance may be provided by using static dissipative-type flooring which often is recommended; however, if a solvent explosion hazard exists, use of these types of flooring is not recommended.

Light-colored floors are preferred for their reflectivity ability. Colors should become progressively lighter as the eye travels from the work to the immediate work area, to machines and equipment, to nearby walls, and to other walls and the ceiling. The points of contrast are between the work itself and the bench tops, and the bench tops and the surrounding machinery.

The ceiling of controlled areas is of standard building construction. It can be plaster or gypsum board; in high bays the ceiling is of no consequence, since the area is so open. A ceiling of plaster or gypsum board requires painting. Enamel paint is a sufficient coating for these surfaces. More elaborate coatings will not produce lower contamination levels for this type of facility.

The lights in controlled areas are usually standard fluorescent lighting fixtures of the ceiling mounted or suspended type, the latter requiring more housekeeping as they collect particles due to interaction of hydrocarbons in the air (oil) that develop electrical charge and get deposited onto the light fixture along with dust particles that attach to these floating grease droplets. This is not a serious problem in better class rooms such as 100,000 or 10,000 where HEPA filters remove these floating droplets but is a known concern in 500,000 rooms where fluorescent light acts as a low-grade electrostatic precipitator requiring frequent cleaning of lighting fixtures.

The entry into Class 500,000 rooms is not special, but lockers should be provided outside the area to allow storage of street-level cover. In many entry areas, because of the outdoor local surroundings, personnel are required to use shoe-cleaning equipment. These shoe cleaners should be provided at the entrance to the clean room. Since the purpose is to reduce visible contaminants, personnel should be required to wash hands prior to entering, and this can be done by providing a basic washstand; the purpose is to eliminate gross contaminants collected on the exposed skin; a hot-air drier instead of cloth or paper towel is recommended.* The entry room can

* *Note:* the importance of hand washing should be embedded into all training programs and repeated as often as possible as most operators easily become careless.

also double as change room where operators don smocks that can be of various types but non-shedding-type, and since in this area, both shedding and non-shedding-type clothing may be stored, lockers that seal well are recommended.

A location for a walk-off mat should be provided just prior to the entry into the controlled area. These mats can be made of washable material, which is common at entryways to various office complexes. The purpose is to reduce gross contaminants on the bottom of shoes. Such a mat should be of sufficient length to be effective for wiping shoes, as personnel travel over it. Shoe cleaners can be used to further reduce gross contaminants on shoes of personnel entering into these areas.

In general, a good rule is to operate the Class 500,000 facility as if it were a Class 100,000 facility in the SOPs for changing and keeping the contaminants out of the area. The change room or area would ideally have the following:

1. Personnel lockers for weather protective clothing. The construction material for lockers should be non-shedding type (mostly coming from flaky paint on rusted surfaces).
2. A mechanical shoe cleaner. This is more critical when outside weather conditions are adverse such as when snow, mud, or dust is common.
3. Washstands. These can be self-contained systems where the discharge is collected and discarded if plumbing is not available.
4. Hot-air driers of sufficient flow to dry hands quickly; not all operators have the patience required for complete drying.
5. An area or location to put on a basic synthetic fabric smock.
6. A storage cabinet for garments that will be reused. The cabinet must seal properly.
7. A basic air shower; whereas the utility of this pass-through has often been questioned, the fact that each entrant goes through a final blow-down reduces the risk inherent in all SOP-based procedures. It is expensive, but if the number of workers is large and entry frequent, this investment is recommended. Some of the earliest air showers were air hoses with nozzles; the current systems make extensive use of properly designed nozzles that create a blast to clean surface-attached particles quickly.
8. A location for a walk-off mat. Disposable mat sheets are now most common over washable surfaces; however, these sticky mats often do not work well for capturing larger particles. So, if sticky mats are provided, these should come after a general use friction mat.

The Class 500,000 can be fitted with spot laminar flow hoods that discharge air into larger areas or even packaged air-conditioning units with ducts to spot cool or provide cleaner air.

Utilities in Class 500,000 rooms should not contribute to particles; for example, when using compressed air, it should be filtered to remove both particles and moisture.

B. DESIGN OF CLASS 100,000 ROOMS

Natural currents of open-air spaces are able to maintain air quality of less than 100,000 particles per cubic foot, 0.5 μm and larger. It takes people to make it worse. The Class 100,000 rooms are of two types depending on the ceiling height. The low-bay or standard ceiling height clean room has the air supply registers located in the ceiling and the air exhaust grills located at several positions in the wall. The ceiling diffusers mix the cooled air with the room air very effectively. They also mix the contaminated room air with the clean incoming air. This mixing process increases recovery time. A contaminated room requires a long period of time to clean up. The particles have a difficult time being purged out of the room due to this mixing circulation. Airflow patterns are not predictable. Particles make many passes over workstations before being removed. As a result, a Class 100,000 environment can be achieved with eight room air changes per hour. The high-bay facility also exhibits turbulent flow conditions, and removal of airborne particles is primarily by dilution. When applying the eight room air changes per hour rule for high-bay clean rooms, an air change is considered the area of the room, times 10 ft.

The wall surface in a Class 100,000 room is very important, the flaking of wall covering materials contributing most significantly requiring use of materials such as stainless steel, vinyl coating, fiberglass sheeting, and Formica-type sheeting. In addition, coving is installed in all corners in a further effort to prevent contaminant problems at the wall/floor interface.

Measures taken to eliminate contamination deriving from the walls have been expensive. In addition, the amount of contaminants contributed by walls has been overestimated. Before present knowledge was available, the tendency was to use whatever material would produce the fewest particles, with almost no regard to cost. A smooth, durable surface subject to little or no chipping and flaking should be acceptable. Gypsum board with good quality paint is satisfactory where impact strength is not essential. When the application of a wainscot is required for impact resistance, the use of hardboard, job-painted factory or prefabricated hardboard, metal (prefinished or job-painted) and an epoxy or similar durable paint is recommended. There should be no horizontal dividing strip installed between the two wall materials. A flush joint is required.

Hollow concrete masonry is desirable because of its fire resistance and lower cost, and its use is allowed in clean rooms, provided the surface is finished smoothly, and that may require use of filling materials followed by sealing and painting, preferably with an epoxy material. The use of wood in structural elements is not recommended due to its fire hazard and changes in the frame seals due to moisture effects. The use of masonry walls, metal studs and bar joists, and/or metal furring is preferred for this reason. Coved wall corners are not necessary unless they can be justified by the time saved during cleaning of the walls, as is required in bio-clean rooms, or which may be necessary because of a peculiar operation. The sealing of the walls should be adequate to prevent any large losses in pressure.

Window and door frames and the doors themselves should be constructed of metal and set into the clean room so that they come close to being flush with the interior walls. Window frames may be constructed of aluminum, steel, plastic, or other highly durable material.

In designing clean rooms, it is advisable to take out any operation controls and consoles out of the room since these panels produce a lot of heat and particles; the control panel of a lyophilizer would be a good example of this. Similarly, any equipment that can be installed in a wall so that it could be serviced from outside substantially reduces particle load inside the clean room; again, a large lyophilizer or autoclave should be designed in the walls with an opening in the clean room. The use of modular wall panels can greatly enhance the operation of the clean room.

Visibility in a clean room is a highly desirable feature not only to reduce claustrophobia of workers but also to allow monitoring of the work inside as well as inspections by visitors. Glass has all the surface advantages of porcelain enamel or stainless steel and is comparable in cost.

Floors contribute significantly to particle count as the surface wears off. High-resistance floors include vinyl, which is tough and somewhat elastic reducing the sheer force of friction by deflecting it through the surface. Coved corners, where the floor meets the walls, will simplify the cleaning operation. The joints should be tight and sealed if possible. There are two methods of sealing joints in vinyl sheeting. One method uses a solvent to dissolve the adjoining sheets and run them together. This method experiences difficulty in the seam area because of permanent softening, which allows contaminants to collect. If this procedure is used, it is necessary that the seam area be returned to the original flooring hardness if satisfactory results are to be obtained. Generally, this method of sealing vinyl flooring is not recommended because of the difficulties with existing installations. The other method uses heat to seal the vinyl, usually placing a bead of material on the joint and heat-sealing it in a precision routed groove, which joins the vinyl sheet material or large vinyl tiles. When long lengths of coiled floor material, such as vinyl, are to be used for surfacing the floor of a clean room, it is suggested that the covering be first laid out over the area and allowed to flatten out for several days before being bonded to the floor to allow for shrinking.

Resilient flooring such as vinyl is not recommended in facilities where equipment floor loadings can be high. For these areas, a surface treatment bonding with floor is required. This treatment can be a tough surface coating or a tough surface topping. Two types of surface coatings are epoxy and polyurethane. These coatings are also very chemical resistant. The epoxy coating, which is recommended for clean rooms, must be troweled onto the floor surface. It is a putty or jelly-like two-part system, which combines to form a hard, durable, chemically resistant, and monolithic surface. It has excellent wear resistance properties, which translate into a low contaminant-emitting surface. Some of the disadvantages of this coating include that it reflects sound, it is a hard surface and thus uncomfortable to stand on for longer periods of time, and

is liable to develop cracks if the underlayment moves because epoxy is a hard continuous coating.

Polyurethane is a more efficient material than epoxy for coating concrete floors in chemical process areas. It has greater qualities of expansion and contraction, has approximately five times the abrasion resistance, and its resistance to acids is comparable. It does provide a monolithic floor condition. The stability of the subfloor is of prime importance in all flooring material. Concrete floors must be bone dry during the process of installation.

If floor loading is not a concern, then resilient flooring with its ability to span minor fissures has a great advantage. If there is any movement in a concrete floor, it will, obviously, work to the disadvantage of rigid floors. Their lack of flexibility will cause cracks to develop comparable to the substrate. Resilient flooring, such as a vinyl sheet, will expand with slight floor movement and will prevent cracks in the flooring.

Since ceilings are not subjected to potential impact, they may be surfaced with any material that does not produce or collect contaminants and is easily cleaned. Many clean-room ceilings are of the suspended type where the panels and lights are set in channels suspended from the existing ceiling. This type of support must be properly constructed. Since the lay-in panels are held in place by the channel edge and gravity, there is ample space for pressure loss and contamination entry unless proper panel sealing is provided.

The area above the clean room is usually highly contaminated resulting in dust accumulation over ceiling panels; thus, if these are moved or cracks develop as a result of negative pressure in the room, this dust can readily enter the clean room. As a result, a very sturdy grid system must be used when using ceiling panels. Also, these ceilings cannot be removed from inside the room requiring their maintenance from the topside of the ceiling and that requires enough work space or a mezzanine above the clean rooms.

Ceiling surfacing materials can be less abrasion proof than walls and floors, but most of the other characteristics which are required in clean-room materials are valid here. The electrical engineer normally specifies lenses for lighting fixtures that form a large part of the ceiling. Care should be taken in using any ceiling material in a suspended system to avoid flutter and uncontrolled escape of air pressure. The ceiling should be detailed with some form of clip-down device. Since pressure in the room is designed in most cases to be greater than in the plenum above, the ceiling panels will have a tendency to rise. It is for this reason that the very light corrugated plastic panels frequently used in luminous ceilings should not be used in clean rooms.

The ceiling diffusers are of standard type located in the ceiling of the clean room. The filter bank is located near the air-conditioning unit, and so the primary purpose of the ceiling diffusers is to mix the cool conditioned air with the warmer air of the room as quickly and turbulently as possible. But in mixing the air for temperature distribution, it is also mixing the entrained airborne contaminants continually. This reduces the ability of the room to recover from an induced high particulate emission. It is strongly suggested that if such

an air delivery system is used, that an HEPA filter diffuser be used instead, so that duct contaminants, because of poor main filter bank seals and violations, do not enter the room.

The most economical design suggests a base level illumination of 100-ft candles at bench level for the room supplemented by high-intensity light sources at those workstations requiring it. In an effort to reduce contaminant sources in a clean room, many clean rooms have installed flush ceiling lights, which can be serviced from above the ceiling. Servicing recessed lights with flush faceplates from below is a far better solution than servicing lights from above the ceiling. Flush mounted lights should not have framing surfaces with recesses to prevent collection of contaminants. When lights are to be serviced from inside the clean room, they should be changed all at one time on a scheduled basis. Data on optimum lamp replacement time are available from the manufacturer. This maintenance should be performed when the room is shut down. Sufficient time can then be allowed after replacement to permit the room contamination level to return to normal.

Fluorescent and other discharge-type lamps are by nature a potential source of radio (RF) interference. RF interference can adversely affect sensitive electronic equipment, resulting in erroneous or erratic readings or disturbing static. Corning Glass Works #70 Low Brightness Lens Panels, or an equal substitute, should be provided on all fluorescent fixtures with possible RF interference.

When pressurization of clean rooms is used, it is necessary that the pressure be maintained during entry to and exit from the room. This is accomplished by means of an airlock. An airlock is a small chamber with interlocked doors. The size of the airlock depends upon its use. A personnel airlock may be only large enough for one person or it may be large enough for a group of people, depending upon the number of people that must enter the clean room in a given length of time. Pass boxes, used for tools and equipment, should be designed as airlocks unless their size is so small that the pressure loss would be insignificant.

Equipment should not be moved into or out of the clean room during normal operation. Replacement or new equipment should be installed when the room is not in operation. Ample time should also be allowed after completion of the task to permit the room to "clean up." If it is anticipated that equipment will have to be moved during room operation, an equipment airlock should be provided.

Sinks in all clean rooms, which are used for the final washing of hands, should have valves, which do not require hand control and instead are operated by wrist, forearm, knee, foot, or sensor triggered.

It is important that personnel leave their jewelry, wristbands, and other attachments outside the clean-room area.

Utility placement and method of distribution are to be considered carefully. The distribution of service lines (water, electrical power, vacuum, compressed gas, etc.) presents a problem to the clean-room user who must build flexibility into his clean working area. For rooms with a stable workload, this may not be as much of a problem. Lines must be

brought to equipment in various room locations, and these lines should not present a dust-collecting surface or interfere with air or workflow. In some cases, trenching has been provided throughout the room so that a connection is not far from any location. Trenching should not be used in bio-clean rooms as it offers great difficulty in removing trench covers and a loose fit would attract dirt. A narrow metal edge at the trench joint flushed with the flooring on each side helps solve this problem.

Lines are also brought in through wall connections by means of specially built utility panels in rows of workbenches. Utility penetrations should be sealed to prevent pressure loss, airborne contaminants, and living organisms such as ants, spiders, etc. Airborne contamination entry occurs because of outside wind conditions causing pressure changes within the building. The utility chases are the path of least resistance and can act as a miniature duct system to channel contaminants into a clean area.

If it is necessary to run horizontal exposed lines into the room, they should be covered and coved into the wall surface. Placing the piping against the wall and covering with fiberglass and epoxy compound also works well.

Technical power systems of different frequencies should be run separately. Higher-frequency systems must be shielded to prevent interference with test equipment.

Specific provisions for constant, thorough clean up throughout the construction of a clean facility must be a part of the design. Constant, thorough cleaning and vacuuming of furred wall spaces and other potential sources of dust should be performed until the spaces are closed off. All dust-producing construction activities such as sawing, planing, and sanding should be accomplished if possible outside the clean areas. Construction planners should consider the sequence of operations in order to schedule dirty work such as cutting or plastering, breaking up concrete, and excavating ahead of other operations.

All air-handling ducts intended for use downstream of HEPA filters should be thoroughly cleaned and sealed at the factory prior to shipment. After erection, the ducts should be vacuum cleaned with an industrial type vacuum cleaner and sealed until used. All openings in the duct system must remain sealed during construction. Air must never be permitted to flow into or out of the ducts unless the HEPA filters are in place.

All equipment, furniture, utilities, and material installed under the construction contract should be thoroughly cleaned by the general contractor prior to his turning the facility over to the user. When equipment is placed in the room prior to acceptance, provisions should be made to clean the equipment. The above precautionary measures should be clearly outlined in the specifications and included in the facility design.

Location of a clean room should be seriously considered before construction begins. Localized ground vibrations, as experienced at most industrial areas, should not be overlooked. Transmitted energies from ground vibrations and/or air-carried vibrations in the range of 0 to 200 cps can disrupt fine precision measurements.

Consideration should be given to isolating the noise and vibration generated by equipment and machinery in support areas from clean rooms where precision work with delicate instruments is being performed. Duct liners should not be used in air ducts for any reason to dampen HVAC noise.

Conventional vibration isolation pads should prove satisfactory for high-frequency vibration. Care should be exercised, however, to ensure that the isolators do not become dust generators or collectors. Low-frequency isolation pads should be specially designed. Above-floor isolating devices for low frequencies should be avoided in order to prevent vibration transmission through ceilings, walls, and floors into the structure.

Since the conventional clean-room operator must control the contamination entering the room, the accepted practice has been to include support rooms adjoining clean areas. Support rooms can include locker rooms, wash and rest rooms, change rooms, and offices. These rooms are generally constructed of the same materials as the clean room. The air-handling system, for the sake of economics, is usually not so elaborate as that in the clean room. Change rooms are provided as an area for employees to change into their clean-room garments. The purpose of a change room is to provide a transition for the employee from a contaminated object to a decontaminated object. The contamination control of personnel depends upon clean garment changing disciplines; however, the design of the change-room area can reduce mistakes that take place in this area.

Fire codes normally require several entrances to a large clean room through which personnel can exit in an emergency. These exits are usually designed into the facility and contain a door, which opens by means of a panic bar. Periodically, Fire Department personnel must violate the clean room to check these doors. When these doors are opened, contamination enters into the room. Fire doors, which are not alarmed, can easily become shortcuts for personnel traffic to and from the outside area. Sometimes it is the sales department or upper management who, being pressed for time on a tour of facilities, will violate the clean area by opening up the fire door to let customers see the clean room without having to dress in clean garments.

An alternate fail-safe emergency exit can be constructed using polystyrene foam. Instead of an emergency door covered with vinyl sheet material, fill that door opening with the polystyrene foam. An individual can easily walk through this material in an emergency. This will eliminate room violations at this exit. Personnel cannot use this point to enter the room. It becomes a one-way, one-time-use exit.

Air showers were developed to de-dust personnel prior to their entry into the clean room. Since there is a very delicate balance between the contamination level and the amount of personnel activity in the clean room, it is necessary to clean the contamination clinging to an individual's garments prior to his entry into the clean room. In theory, this was an excellent idea, but in practice it often does not work. One of the difficulties with the air-shower theory is that clean-room operators overestimated the amount of contamination that could be prevented from entering their clean room through the use of air showers. The other primary difficulty was the design of the air showers. Many of them were ineffective. Air velocities

on the individual were not high enough to produce efficient contaminant removal. The air-shower exhaust was also inefficient and resulted in subsequent re-entrainment of particulate matter that had been overlooked. All these factors helped to give the air shower a bad reputation.

In facilities containing two or more clean rooms, line-of-sight communication becomes important. This line-of-sight communication is made possible by the installation of a significant number of windows. Partial or full glass walls are also effective. Line-of-sight communication is the ability of a person to locate or signal another person in a different area without physically moving to that area. By the use of windows and glass walls, production is increased by reducing wasted motions and lost time.

In most production areas, which are not clean rooms or controlled areas, this line-of-sight communication is taken for granted since there are no walls, just large open areas. However, in many clean-room complexes, this form of communication is usually not possible because walls without windows were erected and are not easily modified. Large window areas will permit visual communication, in addition to allowing visitors to view the area without actually entering it. This assists production in reducing personnel distractions.

Standard metal doors with glass panels are recommended for this level clean room. If the doors are installed in an airlock or air shower, they may be interlocked. In certain applications, large doors may be necessary because of the size of the product. These doors should be treated to make their interior surface compatible with the clean room. This may require a more durable surface finish such as an epoxy coating.

Building codes and fire codes for clean rooms fall under H6 of the Uniform Building Code in most of the states in the United States. Generally, constructions in the eastern and southern sections of the United States are not covered by it. H6 codes apply to anything where hazardous chemicals are used. These codes apply to new construction as well as modification of existing facilities to which changes are being made.

Clean rooms do not have to be white. Whiteness does not make cleanliness. Usually whiteness fools the eye into believing surfaces are clean. When a color scheme is chosen, it should not cause eye fatigue. Poor color scheme strains eye such as when the areas are not defined or the same color is used for walls, floors, and equipment-containing areas. Making bench tops of different color reduces tension; also when there are dark and light areas in the same room, this produces additional vision fatigue. Any color scheme that makes appearance of sunlight helps, and in some Scandinavian countries, there is a building code requirement to actually allow sunshine in since they are deprived of it around the year.

Furniture and fixtures for clean-room use should be selected with care. Materials should be chosen to resist the generation of particles by chipping, flaking, oxidizing, or other deterioration. Latex paint should not be used on surfaces which are subject to repeated contact with personnel or other objects in the clean room. Should these surfaces require painting, an epoxy, polyester, or similar surface coating should be used. Instructions for the preparation and application of these

coatings must be followed exactly in order to obtain desired results. Surfaces must be prepared properly.

Contemplated usage should dictate the choice of materials for clean-room furniture and fixtures. Items which can expect to be bumped, knocked, or scraped by personnel should possess a tough, resilient, low particle-generating surface. A Formica-type material, or material of equivalent surface qualities, is a good choice for tabletops. Most furniture and fixtures may be of conventional design. Sound engineering economy principles should prevail.

In order to maintain good housekeeping in these facilities, it is recommended that a central vacuum system be installed. The material collected in the room is directed out of the room environment through the vacuum tubes. A wet/dry vacuum system will enhance room clean up.

If a central vacuum is not installed, standard vacuums are not recommended. This is because of the large amount of visible dust, which passes through the low-efficiency filtration installed on these vacuums. There is an exception to this statement. Those portable vacuums which collect and hold dust materials by passing them through water baths or water filters are acceptable. These water filter vacuums have much higher collection efficiency and do not discharge visible contaminants back into the room environment. Of course, a clean-room vacuum can be used, which has a HEPA filter on its exhaust.

C. DESIGN OF CLASS 10,000 CLEAN ROOMS

Conventionally designed clean rooms of Class 10,000 cleanliness level are turbulent flow facilities. Cleanliness in the room is accomplished by massive amounts of clean air, when compared to normal air-conditioning standards needed to cool a production space. Clean air dilution and air mixing achieve cleanliness. A Class 10,000 environment can be achieved with 20 room air changes per hour. Standard air-conditioning practice might only require 10 to 20% of this air for cooling requirements.

This type of facility, without the aid of additional air-cleaning benefits of laminar flow clean benches, is about the lowest room operational cleanliness that can be economically and consistently achieved by turbulent airflow techniques. The reason for this is that room personnel are continually emitting airborne contaminants, which in turn are being continually mixed by turbulent airflow diffusers. The room is continually mixing and diluting airborne particles.

There are three primary air patterns used in a conventionally designed clean room. The first air pattern is where air enters into the room through ceiling diffusers, which mix and dilute the room air turbulently. A diffuser is installed typically to cover approximately every 150 ft². The second type of air pattern is turbulent but more confined to individual vertical planes. Air enters the room through a single linear slot. The third type of air pattern is similar to the second; however, a large perforated plenum, which runs the length of the room, is used to deliver the air to the room. An improvement to this air delivery system is to use an entire ceiling as a perforated plenum to reduce air turbulence. An improvement on this last air

delivery system would be to use terminal diffusers, which can be substituted, in all of the above diffuser patterns. Diffusers should not be located in less than every eight ceiling panels in order to give sufficient contaminant removal.

Terminal Diffuser Filters are ducted, hooded mini-pleat, bead-separator style filter units. They are lightweight, low in profile, and are available in HEPA and ULPA efficiencies. These filter units are designed to offer minimum air resistance at any given level of efficiency.

Each filter shall be tested and certified to have an efficiency of not less than a given percentage on micrometer particles. Each filter shall be scan tested at the factory and certified that it has no pinhole leaks in accordance with IES-RP-CC-001. The clean filter static pressure drop shall be no greater than water gauge when operated at an airflow rate of a given cubic feet per minute. Filter medium shall be pleated glass with adhesive bead separators. The media pack shall be sealed on all sides with a solid resin sealant to form a leak proof seal. The filter frame shall be constructed of anodized extruded aluminum per AAC22A31, providing a structurally rigid frame with dimensions of "height × width × depth." Overall dimensions shall be correct to within $-1/8"$ to $0"$. The back plate/collar assembly shall be one piece 24 ga.-galvanized steel in 10" or 12" diameter. The center divider shall have one access port for leak testing and airflow balancing. Circular diffusion disc shall be 0.050" perforated aluminum, screw-driver adjustable to 90 fpm ($\pm 20\%$). The grille screen shall be 24 ga. white epoxy-coated carbon steel. This eliminates the possibility of leaks at welded collars.

Clean rooms of the Class 10,000 cleanliness level come in all sizes and ceiling heights. The rooms can be differentiated into low-bay and high-bay rooms, or clean rooms of a standard ceiling height of 8 to 10 ft. Clean rooms can also be of much greater height, some of which exceed 100 ft in height, for satellite and space-related operations.

Generally speaking, these rooms maintain Class 10,000 operational levels by the air-handling system, providing a range of 20 room air changes per hour. If these same amounts of room air changes are provided by a combination of air-handling system and room air recirculation clean benches, such as horizontal laminar flow units, Class 10,000 clean-room environments will be maintained.

As a general observation, standard ceiling height clean rooms have been designed with rectangular floor plans. This has allowed additional wall areas to accept modular panels containing operational equipment, such as electronic consoles or process equipment.

Small rooms of less than 500 ft² generally have a square floor plan, while rooms larger than this size have rectangular floor plans. By numerical count, there are many more rooms of greater than 500 ft². This is usually because of the overall total investment in equipment, such as air showers, change rooms, and air-handling equipment. The cost of the physical construction of the room is a smaller expense compared to the total cost of building the room. Thus to add additional floor area for future expansion at the time of construction is not a major percentage increase. The initial square room is

expanded on one wall even before construction is complete, and the resulting floor plan is rectangular.

Sometimes the floor plan of a clean room is "U" shaped or "E" shaped, to increase wall area and facilitate wall penetration from the service area. The service areas are the open ends of the letter plans. The reason for doing this is to restrict dirty items of equipment from the clean room. By providing these floor plans, sufficient wall space is available to make necessary wall penetrations. The second reason is that the heat load of this equipment does not have to be processed through the clean-room air-handling system. The heat load is placed in the service area.

Clean-room complexes have no general floor plans that are similar. The floor plans of these facilities are generated based on product operations. Individual rooms are designated for operations, and a product flow is established. The rooms are then situated to allow that flow of product to pass smoothly through the facility. Sometimes entry into these facilities is at one end of the complex. Other times, the entry corridor brings personnel in a garment change area that is located in the center of the complex.

Measures taken to eliminate contamination deriving from the walls have been expensive. In addition, the amount of contaminants contributed by the walls has been overestimated. Before present knowledge was available, the tendency was to use whatever material would produce the fewest particles, with almost no regard to cost.

A smooth, durable surface subject to little or no chipping and flaking will be a satisfactory wall material. In some designs, gypsum board with good quality paint is satisfactory where impact strength is not essential. When the application of a wainscot is required for impact resistance, metal is recommended. It is also recommended that no horizontal dividing strip be installed between two wall materials. A flush joint is preferred.

In an effort to reduce construction costs, reduce the time of construction, and produce an excellent clean-room environment, various companies have developed clean-room wall and ceiling systems. Modular systems consist of wall panels attached to each other through a single draw rod attachment method. The panels are constructed of aluminum-clad hardboard over a corrugated core material. Wood stiles and rails are used for other edges. The panels are painted with a non-shedding lacquer. Panels meet a Class A Flame Spread Rating, National Code No. 101. Wall panels are easily removed providing a clear opening for equipment installation or removal.

Doors generally have a 2-in-thick aluminum frame. Clear or colored Plexiglas panels form the center sections. Push and pull-type hardware is standard with locking hardware optional. Doors are factory hung and shipped with standard panel hardware for quick installation.

Window panels are ideally floor-to-ceiling 8-ft panels either 2-ft or 4-ft wide, with painted steel frames. The center section is clear or colored Plexiglas. The window area is either 19-1/2-in or 43-1/2-in wide by 91-1/2-in high. A 4-ft wide guardrail is supplied for the exterior of the panel in most applications. Window panels are mounted in the same manner as wall panels.

A heavy duty white enameled, 1-1/2-in deep T-bar interlocking steel grid, white enameled, with a resealable gasket material for all lay-in components, is recommended. The grid structure is attached to an overhead structural member, which is part of the clean-room facility. No host building attachments are required for ceiling support in standard ceiling widths. Ceiling structures are self-supporting, wall to wall, for spans up to 20'. Wider spans will require either overhead attachment to host the building or serve as a column support. Ceiling tiles are Class 100 compatible 2' x 4' lay-in type.

All internal room wiring and control circuits are appropriately located for servicing. Duplex outlets are provided as required. Sealed 2' x 4' lay-in light fixtures are supplied in sufficient quantity to provide 100-ft candles at desktop level. A pluggable buss duct system for power distribution is used where necessary to simplify power hookup of the facility to one drop per buss run. Buss runs can be as long as required for power distribution. Each 10' section of buss will provide 12 pluggable outlets for service distribution, of which approximately 40% will be available for process equipment hookup.

Plate glass has been the product of choice for many years in clean rooms. One of its drawbacks is its static charge buildup. If a room has much glass area, it can have significant glass surface static charges. A product which eliminates this static problem is a static dissipative window. The key to effective static charge removal is the proper installation of the material.

There are many materials which can be used to provide a sufficient floor covering for a Class 10,000 clean room. The economic selection depends on the production use of the clean room. A vinyl square on the floor is sufficient for the clean room under these conditions: if an area is going to be used for light assembly of small components, if there will not be a spillage of fluids on the floor, if there are no biological constraints on the room, if there is no heavy floor loading due to wheeled traffic, if there is not much personnel traffic or movement in the room, and if there will be little need for much liquid chemical cleaning on the floor.

If, however, any of the above conditions change (such as there will be a fluid spillage on the floor, or there is a biological concern, or there is much personnel traffic in the room, or there will be much fluid chemical cleaning), then a monolithic sheet material is indicated. This material should be seamless to prevent cracks from forming wherein fluids or bacteria can settle. This sheet material should be vinyl if there are no incompatibilities involved.

When sheet vinyl is used on floors, as indicated above, coving of this material to the wall is recommended. Coving is brought up to the wall. If there are chemical incompatibilities, then the sheet floor material that might be selected could be rubber-based material. An alternate solution to the chemical problem, as well as to the heavy floor-loading problem, is to use an epoxy coating over the base concrete floor. A urethane coating could also be used to gain both chemical resistance and a high-wear surface.

The ceilings in Class 10,000 clean rooms can be of plaster or of a suspended type. When using a plaster or plasterboard material, the ceiling should be coated. The minimum coating

should be enamel paint. Wall/ceiling interfaces should be filled with a head of material, so that contaminants do not lodge in a sharp corner during cleaning. Ceiling surfaces do attract particles electrostatically, and they will have to be cleaned. Suspended ceilings use “T” bar grid systems with lay-in panels. The “T” bar grid should be of a high-quality material and be able to support the ceiling tiles without distortion. The “T” bar system will need to support lay-in light fixtures, as well as terminal HEPA filters or at the very least, air supply diffusers. The ceiling tiles should have a smooth vinyl surface or similar smooth reformed surface coating. Ceiling tiles are usually 2' × 4' panels, some of which can also provide acoustical treatment. A smooth ceiling is desirable, which will provide ease of cleaning and offer fewer surfaces to collect fine particles. Fixtures, which attach to the ceiling and are a sealed assembly, may also be used. However, they offer more surface area to collect particles and require more time for cleaning. Further, some fixtures will act as electrostatic attractors and collect more than their share of contaminants—requiring more frequent cleaning.

When a suspended ceiling is used, it must contain a method of clipping down the ceiling panels. This is necessary since most rooms operate at a positive pressure. The ceiling panels must be rigid enough so that when they are clipped down, they do not flex or bend, because of the positive air pressure, which can cause an arching or upward bowing to the panel. The reverse condition of downward bowing would happen if the rooms were under negative pressure.

Light levels at working surfaces should be 125-ft candles of shadowless illumination. This lighting level is a comfortable intensity for employee work functions, which require visual acuity. The eye compensates for light intensities above this value when the eye pupil restricts light entry. Increasing the light intensity usually is a waste of money because lighting fixtures are bought which are not required, and power is expended which is not needed. Further, additional air-conditioning and power will be needed to cool the lights that are not needed. Recessed light fixtures are recommended in facilities of this type. Light patterns can vary from clean room to clean room. Another approach to give shadowless illumination is to install a “T” bar grid system with translucent panels; these “T” bar systems may have air slots in them to allow a more even air distribution pattern.

The use of various vinyl materials to construct an inexpensive clean room is a proven method of design. These units are provided with HEPA-filtered air at the ceiling of the unit. Lighting is through the transparent ceiling. The key to the cleanliness level in these facilities is the amount of clean air delivered to the enclosure. In order to maintain a Class 10,000 condition, the total volume of the enclosure must be changed every 3 minutes with HEPA-filtered air. In the case of the small units above, one 2-by-4-ft HEPA filter ceiling module provides 800 cu ft of air per minute, which will provide more than one air change per minute. This is more than the 20 air changes per hour which is needed to maintain Class 10,000 conditions in a clean room.

Because it is so difficult to maintain Class 10,000 environments by turbulent flow techniques, these facilities are

provided with good air showers and garment change areas in which personnel can fully prepare for clean-room entry.

There are many variations on the proper order and technique of dressing prior to entering into a bio-clean room. The location for entry contains secure type clothing storage lockers for personnel weather protective clothing, jewelry, and other items of value normally carried or worn by personnel. These lockers should be designed to allow the hanging of coats, store overshoes, and have holding bins for jewelry, watches, bracelets, rings, wallets, keys, purses, etc. The locker is provided with a means of attaching a combination lock. The area selected should be large enough to handle the entire shift at one time. These lockers are usually located in a wide aisleway, leading to the change room entrance. Also provided is a shoe cleaner with rotating brushes. It may contain one or more shoe cleaners, depending on the number of persons entering in a short period of time. A central vacuum system location point should be placed in this shoe cleaner. A walk-off mat is positioned after shoe cleaning and at the entrance to the first air shower. This air shower is meant to clean heavy- and medium-sized particles off the surface of personnel street clothes. If the entrants to the clean room will be required to remove their street clothes before donning clean garments, then this air shower can be eliminated.

When personnel exit the first air-shower location, they should enter into a washbasin area where they operate it remotely. Washstands of conventional types may be used. Personnel should be required to wash hands and face to remove cosmetics and after-shave talc from their faces. Linen towels can be provided here since it is very difficult to dry the face with a hot-air drier. If personnel are to enter into a bio-clean room, this washstand should be constructed of stainless steel. It can be equipped with a foot-, knee-, or hand-position sensor-operated water control valves. The next location contains a hot air, HEPA-filtered hand dryer. This dryer can be sensor operated or foot operated. A large “ON” button switch is not desirable, because people forget and will hit the switch with their clean hands rather than with their elbow. The next station contains a dispenser for under-gloves if they are to be used. This dispenser should contain the various size gloves in compartments that are easily opened. If they are disposable gloves and there is packaging associated with the glove, a trash receptacle should be located nearby. The next station provides alcohol or other hand-sanitizing dispenser for personnel entering a bio-clean room. The next station is where personnel dress with headwear, face mask, and then the outer garment cover. Then a spray bottle containing sodium hypochlorite (standard liquid household bleach) and disposable wipes are located in a holding shelf for personnel entering into a bio-clean room. Each individual will use this material prior to putting on shoes or shoe covers. Finally, a bench divides the room and is designed to allow continual use of disinfectant on its surface. Just prior to the next station, a full-length mirror should be located near this area at the exit point of the area. A space should be provided alongside that mirror to display a full-sized photo poster of one of the clean-room employees properly garmented. As persons finally exit

from the area, they should step onto a tacky mat and then enter into the second air shower if the garments are not synthetic material, are not processed through a clean-room laundry, or the garment will be used for more than one entry into the clean room. If clean laundered garments are provided with a Class A or B rating, and the garments are only going to be worn once and then recycled to be cleaned, then the second air shower is not needed. However, if garments are of a dirtier class cleanliness level, per ASTM-51-73 method, or garments are going to be reused, then a second air shower is indicated. If in this air shower air velocities are greater than 60 miles per hour of localized impact on the garment surface to be used, then safety goggles should be required in the air shower. This is to prevent airborne particles from being blown in the eyes.

The storage of garments is under HEPA-filtered air in storage cabinet to store garments in a clean condition until the garments are worn again. A clean air storage cabinet, or storage location under the HEPA filters, should be provided so that garments that will be reused can be stored without being contaminated by the air of the change area.

The distribution of utilities in Class 10,000 clean rooms depends on the product to be manufactured within the room. A light assembly operation in a large area may function acceptably with standard electrical outlets in walls and in floor penetrations. Since floor penetration is not allowed in pharmaceutical manufacturing, ceiling drops are needed except for the wall receptacles.

Wherever utility penetrations are made, they must be sealed into the penetrated surface. This is to prevent atmosphere changes associated with violent weather conditions from forcing contaminants through these openings into the room. This situation can occur during electrical storms when weather fronts put a wind load on one side of a building, where the utility chases are located, and when an exhaust vent from the clean room to the roof is at a negative pressure and there is a power failure. Because of the exhaust vent and lack of air-conditioning, the clean room changes to a negative pressure. The utility chases are at a positive pressure. The result is that contaminants are driven into the clean room. Sealed utility chases prevent this from occurring.

Control panels, which are user friendly, should be installed in clean rooms. They should be readily accessible. Displays on control panels should be arranged so that only critical information value is prominently presented. This will allow rapid reaction to undesirable events.

One solution to air handling in panel-constructed facilities is to use individual blower modules to draw the air from the air return grill at the floor level and move it back over the ceiling to the "T" bar mounted air supply diffuser.

Support rooms and areas should be provided between the clean room and factory or warehouse areas. These areas generally are designed as Class 500,000 areas. They provide a relatively clean location for entry of materials into the clean room and a location for final package of products destined for storage or shipping. These areas contain pass-through boxes to allow material entry and exit. Support space is a function

of the process and the volume of product to be handled. Here, pass-through boxes allow materials to enter and exit the clean rooms in a clean state. This support area uses a sheet vinyl floor, which is bounded on one side by the clean room, and on the other three sides by standard wall construction and enamel paint. Air supplied by the diffusers is filtered through 95% efficient filters.

Pass-through boxes are subjected to a large amount of wear and should be constructed of a material that will resist abrasion and rough use. Stainless steel is best. However, a laminate material will be satisfactory for light loads. The box edges should be reinforced. A double door design with an interlock to permit only one door to be opened at a time will prevent direct contact of personnel between the clean room and the outside areas through the opening. Another method provides a turntable arrangement with one opening, which must be turned to one side for access. Pass-through box framing should be metal to ensure rigid support. Since pass-through boxes are designed to prevent a direct opening between rooms, a means of communication should be provided alongside the box. This can be an intercommunication system, a voice diaphragm, or a speaking tube. An air vent may also be provided in the box to help purge it of contaminants.

VI. THE USP <797> GUIDELINES

Since July 2004, USP Chapter <797> had been undergoing scrutiny, and the updated version of the chapter was posted on www.usp.org on December 3, 2007, and it became official on June 1, 2008. The revised chapter is based on thousands of comments received during 30 months of open review and is the result of countless hours of work on the part of the USP Sterile Compounding Expert Committee (2005–2010). This is a broad presentation to facilitate compliance with USP <797> provisions regarding architectural, environmental, and physical standards required for compounding sterile drug preparations.

A. ISSUES

USP issued its revised version of Chapter 797 (<797>) with a number of changes clarifying issues related to physical infrastructure such as mechanical, electrical, and architectural items for both sterile compounding and hazardous sterile compounding of drug products. The USP <797> is a valuable set of guidelines based on contemporary consensus-based safe practices that describe a best practice for establishing safe processes in compounding sterile medications. USP <797> is considered to be an official minimum standard for compounding sterile medications, and it is therefore enforceable by the FDA, state boards of pharmacy, and other regulatory agencies. As such, USP <797> is an enforceable requirement that mandates procedures and processes for sterile drug compounding (mixing) of pharmaceuticals in a clean-room environment. USP <797> establishes ISO requirements for acceptable clean-room airborne particulate concentrations and assessment procedures.

B. DEFINITIONS

1. Clean room (also known as the buffer room) is a space in which the concentration of the airborne particles is controlled to meet a specified cleanliness class. For hazardous and nonhazardous clean rooms, mentioned below in Paragraph E with the recommended Option 2, the required level of cleanliness is ISO Class 7. Class 7 clean room limits the maximum concentration of particles to 10,000 particles per cubic feet (352,000 per cubic meter of 0.5 μm or larger).
2. Anteroom is a space leading into and out of the hazardous or nonhazardous clean rooms. This is a transitional space in which activities such as hand hygiene, garbing procedures, staging of components, and other activities are performed. While the ISO classification of the anteroom serving the hazardous clean room shall be same as the clean room, that is, ISO 7, the ISO classification of the anteroom serving the nonhazardous clean room shall be ISO 8 (or ISO 7, if the architectural design in place incorporates a common anteroom for both hazardous and nonhazardous clean rooms). Anterooms are transition spaces, which ensure direction of airflow and help maintain the required pressure relationships. Nonhazardous clean rooms should be maintained at 0.02-in to 0.03-in positive pressure with respect to their anterooms, which, in turn, should be maintained at 0.02-in positive air pressure with respect to the adjoining circulation spaces. Hazardous clean rooms should be maintained at 0.02-in negative pressure with respect to their anterooms, which, in turn, should be maintained at 0.02-in positive air pressure with respect to the adjoining circulation spaces. Use of the anterooms prevents large swings in temperature. Each anteroom shall be equipped an automatic hand washing basin. An anteroom serving a hazardous clean room should also be equipped with an eyewash station. For the hazardous clean rooms, anterooms can be used for storing the hazardous drugs so that the use of a dedicated storage room can be avoided.
3. Primary engineering control (PEC): this is an ISO Class 5 space or a device in which compounded sterile preparations (CSPs) take place. While the choice of the ISO 5 device is left to the discretion of the pharmacists using the facilities, the following four devices are recommended:
 - a. Biological safety cabinets (BSC): use of these cabinets is recommended for the hazardous clean rooms. These are vented cabinets meant of the protection of personnel, products, and environment. Air drawn by the BSC should be exhausted outdoors after passing through HEPA filters, integral or duct-mounted external, by a dedicated exhaust fan.
 - b. Laminar airflow workstation (LAFW): use of these devices is recommended for the nonhazardous clean rooms. These devices can be 100% recirculatory type.
 - c. Compounding Aseptic Isolator (CAI): this is a form of isolator designed for maintaining an aseptic environment within itself. Air exchange into and out of the isolator shall be done through HEPA filters.
 - d. Compounding Aseptic Containment Isolator (CACI): this is a form of CAI, designed to provide worker protection from exposure to unacceptable levels to drug exposure. Hundred percent exhaust of the air is required while dealing with hazardous substances. Air exchange into and out of the isolator shall be done through HEPA filters.
4. Airlock: a small room or space ("pass-through" chamber or window) between two rooms of different air pressure, with interlocked doors (one tightly closed at all times) to prevent loss of pressure in the higher-pressure room.

The USP <797> describes three risk levels defined by the complexity of the pharmaceutical compounding process, namely, low-, medium-, and high-risk level compounding, all of which require that work involving the sterile pharmaceutical compounding shall take place under ISO Class 5 conditions within a buffer area that should be ISO Class 7 with appropriate air-conditioning and humidity controls in place in the buffer area environment. These standards are to be exemplified in every category. Class 5 environments require hundreds of air changes of HEPA-filtered air, stringent gowning and masking requirements, anteroom, etc. The Class 5 environment is achievable in four ways:

- Option 1:** Provide a Class 5 clean room.
- Option 2:** Provide a Class 5 environment in a PEC defined above. Locate this device in ISO Class 7 buffer room and protect the integrity of the clean-room requirement by providing an ISO Class 7 anteroom for the hazardous clean room, and an ISO Class 8 anteroom for the nonhazardous clean room.
- Option 3:** Perform all sterile pharmaceutical compounding within a CACI for low-risk levels.
- Option 4:** Consider use of a portable clean room.

C. RECOMMENDATIONS

1. Determine the risk level of compounding typically performed within the pharmacy (low, medium, or high) and the volume of work to be accomplished at peak periods. The medical centers can perform this essential task with guidance from the USP 797 Workgroup and Chief of Pharmacy. Consider Options 1 to 4 for their impact on ventilation and architectural issues:
 - a. Option 1: ISO Class 5 clean rooms will be a very difficult option to follow, primarily because of the severe operational difficulties associated with gowning, masking, scrubbing, very high rate of

- air changes, and the high cost of the HVAC and architectural features. More importantly, if the air-handling system fails, it will not be possible to continue to use the space for sterile compounding until the system is back up again.
- b. Option 2: Class 7 clean rooms would be easier to construct and maintain than option 1 from an HVAC standpoint requiring on the order of minimum 30 air changes per hour which may include 15 air changes per hour from an ISO Class 5 air-recirculating device, and not hundreds. To simplify the HVAC system design, VA has opted to supply all 30 air changes per hour from the environmental air-handling unit and not use a secondary, dedicated air-circulating unit as stipulated in USP <797> pages 27–28. See the attached room data sheets for HVAC design parameters. The room, however, must be able to maintain the defined particle count during peak operations. Architectural features, however, will still apply such as monolithic, cleanable surfaces, with anteroom and gowning, masking scrubbing, etc. Also, if the air-handling system fails, it would still be possible to continue use the space to maintain ISO Class 5 environment within the operating PEC device.
 - c. Option 3: The least impacting option could be the use of CACIs, where a surrounding clean-room environment and airlock and anteroom are not required. However, it may not be possible to perform all procedures in these enclosures.
 - d. Option 4: A portable clean room would cost in the range of \$40,000 to \$80,000 but would be less than a total physical renovation or new addition of a space.
2. For the hazardous clean room, the ISO Class 5 PEC device should be BSC NSF Class II (laminar flow), type B2, with 100% exhaust to outside.
 3. A Direct Expansion (DX) system for cooling should not be used. Use of chilled water is more effective in providing accurate environmental control. While it is preferable to provide emergency power for the heating, ventilating, and air-conditioning system including all exhaust fans serving the clean rooms and support area, at least the dedicated exhaust fan serving the BSC cabinet should be on emergency power.
 4. Airlocks and anterooms: The use of airlocks and anterooms should be carefully planned. The medical center staff may consider provision of an airlock in addition to an anteroom where they expect a high volume of compounding in the clean room; otherwise use of an anteroom should be sufficient to maintain pressure in the clean room.
 5. Pass-through chamber: Depending on the size and space availability in the clean room and volume of compounding done, the medical center may consider provision of a pass-through window to facilitate passing out of compounded drugs without having pharmacy personnel frequently go in and out of the clean room through an anteroom. The pass-through window should be big enough to facilitate the passage of compounded sterile products or materials and have a tight seal between the clean room and the pharmacy area and should have two access doors. To prevent direct exposure from the clean room to the pharmacy area, both doors should not open at the same time. Provide door interlocks limiting doors being open.
 6. HEPA with prefilters should be accessible for service from outside the clean room.
 7. Location of outside air intake is critical. The intake should not be located near plumbing vents, animal room exhausts, generator exhausts, loading docks, automobile entrances, driveways, passenger drop offs, cooling towers, incinerator and boiler stacks, and any other item that may degrade the quality of air. There should be separation of at least 30 ft between the air intakes and exhaust air outlets. Perform a dispersion analysis based on the actual configuration of the pharmacy area, surrounding facilities, and prevailing wind directions, etc. to establish if a separation of more than 30 ft is required.
 8. Monitor room temperature, relative humidity, and pressure via monitoring devices in the clean rooms on a continuing basis.
 9. Provide monolithic and cleanable walls, floors, and ceilings.
 10. Do not provide floor drains and sinks in the clean room.
 11. Operate the dedicated BSC exhaust system around the clock.
 12. The external lens of any lighting fixture must be smooth and cleanable.
 13. The doorway into the buffer zone or clean room must be of sufficient size to move LAFWs in and out of the buffer zone when required.
 14. Seal all wall openings, slots, piping and electrical conduits, and other penetrations to minimize air leakage from the clean room.
 15. Provide hand hygiene facilities in the anteroom and touch-less controls to the extent possible to avoid recontamination of hands. Consider items such as automatic controls for entrance door between the anteroom and the clean room. The controls should be on emergency power. Provide electronic devices or photo sensors with time delays for light switches and towel dispensers with electronic sensors. The electronic sensors should be in front of the faucets facing the user to allow water to be run long enough to come to temperature before immersing hands.
 16. Provide clothing hooks in the anteroom on the way to the clean room.

APPENDIX: AIR-HANDLING UNIT**AHU DATA SHEET**

Air-handling unit type	<ul style="list-style-type: none"> • Variable air volume (VAV) • Note 1
Inside design conditions	Room data sheets
Minimum outside air	Minimum 20%
Minimum supply air changes per hour	Room data sheets
Return air	Room data sheets
Economizer cycle	ASHRAE 90.1–2007
Air balance	Room data sheets
Filtration	<ul style="list-style-type: none"> • Prefilters, MERV 8 rating • After filters, MERV 14 rating • Final filters, MERV 17 rating • Note 2
Cooling source	<ul style="list-style-type: none"> • Use chilled water from the central chiller plant • Note 3
Heating source	<ul style="list-style-type: none"> • Use high-pressure steam from the central boiler plant as the primary source for generating heating hot water and producing “clean steam” for winter humidification • Use medium-pressure steam from the central boiler plant for unit mounted preheat coils
General exhaust system(s)	Required
Special exhaust system(s)	Room data sheets
Heat recovery system	ASHRAE 90.1–2007
Additional energy conservation measures	To meet the mandated goal of 30% additional energy conservation above ASHRAE 90.1–2004, evaluate the use of desiccant dehumidification system to reduce the dew point temperature of the incoming outside air
Emergency power	Required

NONHAZARDOUS CLEAN ROOM—ROOM DATA SHEET

Description: the following introductory information is provided for the nonhazardous clean rooms. The room comprises three segments:

1. PEC is a device or a space that provides ISO Class 5 environment for compounding of drugs. Generally, a LAFW is used as the PEC device. The room air need not be exhausted outdoors. Note that USP <797> General Chapter allows the use of a CAI or CACI for low-risk level CSPs even without the use of Class 7 clean room, provided nonhazardous and radiopharmaceutical CSPs pursuant to a physician’s order for a specific patient may be prepared, and administration of such CSPs shall commence within 12 hours of preparation or as recommended in the manufacturer’s package insert, whichever is less. See USP <797> for the low-risk conditions.
2. Buffer area is the space in which the PEC is physically located. This is the clean room where activities such as

preparation and staging of components used for drug preparation take place. Buffer area is maintained at ISO Class 7 by supplying HEPA-filtered air in a unidirectional manner from the suspended ceiling.

3. Anteroom is an ISO Class 8 or better area, which serves as a transient place to maintain the integrity of buffer area. This space also handles personnel hygiene and garbing of the personnel. Physical separation between the anteroom and buffer area is a wall with doors. Only one set of doors will be able to open at any given time to avoid disruption of the air pressure gradient.

PEC AND BUFFER ROOM (NONHAZARDOUS CLEAN ROOM)—ROOM DATA SHEET

Inside design conditions	<ul style="list-style-type: none"> • Cooling mode: 68°F (20°C) dry-bulb temperature (maximum), 55% relative humidity • Heating mode: 68°F (20°C) dry-bulb temperature (minimum), 40% relative humidity (room level humidity control is not required)
Minimum supply air changes per hour	30–CV required (air changes listed above must be able to limit the concentration of the airborne particles. Provide more air changer per hour, if required, to maintain ISO Class 7 particulate count)
Return air	Permitted
Exhaust air	Not required with 100% recirculatory ISO Class 5. Specific configurations of the BSC cabinets may require exhaust from the room air to outdoors. Coordinate exhaust air volume and system configuration per manufacturer’s recommendations
Individual room temperature control	Required
Room air balance	Positive (+) with respect to the anteroom. (Provide outside air as required to maintain the specified pressure differential)
Room noise level	NC 40

ANTEROOM (NONHAZARDOUS CLEAN ROOM)—ROOM DATA SHEET

Inside design conditions	<ul style="list-style-type: none"> • Cooling mode: 68°F (20°C) dry-bulb temperature (maximum), 55% relative humidity • Heating mode: 68°F (20°C) dry-bulb temperature (minimum), 40% relative humidity (room level humidity control is not required)
Minimum supply air changes per hour	20–CV required (air changes listed above must be able to limit the concentration of the airborne particles. Provide more air changer per hour, if required, to maintain ISO Class 8 particulate count)
Return air	Permitted
Exhaust air	Not required
Individual room temperature control	Required
Room air balance	<ul style="list-style-type: none"> • Positive (+) with respect to circulation space • Negative (–) with respect to buffer room
Room noise level	NC 40

HAZARDOUS CLEAN ROOM—ROOM DATA SHEET

Description: the following introductory information is provided for the hazardous clean rooms. The room comprises of three segments:

1. PEC is a device or a space that provides ISO Class 5 environment for compounding of drugs. Generally, a BSC Class II B2 is used as the PEC device through which the air is exhausted outdoors after passing over the duct-mounted HEPA filter. The HEPA is an integral to the BSC unit, and additional in-duct HEPA is not needed.
2. Buffer area is the space in which the PEC is physically located. This is the clean room where activities such as preparation and staging of components used for drug preparation take place. Buffer area is maintained at ISO Class 7 by supplying HEPA-filtered air and establishing unidirectional flow.
3. This room can also be used to store hazardous drugs provided adequate storage space is available. Otherwise a separate room is required to store hazardous drugs. This room should be ventilated at minimum 12 air changes per hour with negative pressure. Exhaust from this room should be connected to the special exhaust system serving the buffer room and anteroom.
4. Anteroom is an ISO Class 7 or better area, which serves as a transient place to maintain the integrity of buffer area. This space also handles personnel hygiene and garbing of the personnel. Physical separation between the anteroom and buffer area is a wall with doors. Only one set of doors will be able to open at any given time to avoid disruption of the air pressure gradient.
5. See USP <797> for additional requirement for lighting and ceiling surfaces, caulking, etc.

PEC AND BUFFER ROOM (HAZARDOUS CLEAN ROOM)—ROOM DATA SHEET

Inside design conditions	<ul style="list-style-type: none"> • Cooling mode: 68°F (20°C) dry-bulb temperature (maximum), 55% relative humidity • Heating mode: 68°F (20°C) dry-bulb temperature (minimum), 40% relative humidity. Room level humidity control is not required
Minimum supply air changes per hour	30–CV Required Not permitted
Return air	100% (all air supplied to the buffer room shall be exhausted outdoors without in-duct HEPA filters in a manner to avoid facility entrainment and building wake effect. BSC or equivalent ISO Class 5 device shall be served by a special exhaust system without additional in-duct HEPA filters in accordance with the manufacturer's recommendations. Buffer area and anteroom below shall be exhausted outdoors through another special exhaust system but without HEPA filters)
Exhaust air	
Individual room temperature control	Required

Room air pressure	Negative (–) with respect to the anteroom
Room noise level	NC 40
Inside design conditions	<ul style="list-style-type: none"> • Cooling mode: 68°F (20°C) dry-bulb temperature (maximum), 55% relative humidity • Heating mode: 68°F (20°C) dry-bulb temperature (minimum), 40% relative humidity (room level humidity control is not required)
Minimum supply air changes per hour	30–CV required
Return air	Not permitted
Exhaust air	100%, see buffer room above
Individual room temperature control	Required
Room air balance	Positive (+) with respect to hazardous clean room. Positive (+) with respect to Circulation Space whose room pressure is assumed as neutral (0)
Room noise level	NC 40

CONTROLLED SUBSTANCE VAULT AND SECURED DISPENSING RECEIVING AREA—ROOM DATA SHEET

Inside Design Conditions	<ul style="list-style-type: none"> • Cooling mode: 70°F (21°C) dry-bulb temperature (maximum), 50% relative humidity • Heating Mode: 75°F (24°C) dry-bulb temperature (minimum), 35% relative humidity • 5°F (2.8°C) dead-band (room level humidity control is not required. Room humidity shall be 40% if this room is served by the same AHU serving the clean rooms above)
Minimum supply air changes per hour	6–VAV permitted
Return air	Permitted
Exhaust air	Not required
Individual room temperature control	Required
Room air balance	Neutral (0)
Room noise level	NC 40

DISPENSING, PREPACKING AND EXTEMP—ROOM DATA SHEET

Inside design conditions	<ul style="list-style-type: none"> • Cooling mode: 70°F (21°C) dry-bulb temperature (maximum), 50% relative humidity • Heating mode: 75°F (24°C) dry-bulb temperature (minimum), 40% relative humidity • 5°F (2.8°C) dead-band (room level humidity control is not required. Room humidity shall be 40% if this room is served by the same AHU serving the clean rooms above)
Minimum supply air changes per hour	6–VAV permitted

Return air	Permitted
Exhaust air	Not required
Individual room temperature control	Required
Room air balance	Neutral (0)
Room noise level	NC 40

Note 1: The HVAC system design criteria are based on the latest (December 2007) publication of the United States Pharmacopeial Convention

Revised Bulletin <797> Pharmaceutical Sterile Preparations. A dedicated air-handling unit is not required to serve the hazardous and/or nonhazardous clean rooms so long as any air-handling unit serving these spaces can meet all requirements outlined in the AHU data sheet and the room data sheets.

Note 2: Locate the final filters (third bed) on the downstream side of the individual air terminal units serving each hazardous and nonhazardous clean room. Oversize the final filters to minimize the pressure drop. For remaining rooms, terminal HEPA filters are not required.

Note 3: Dedicated chiller is required if chilled water is not available year-round.



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Appendix A

GMP AUDIT TEMPLATE

The Guidelines for cGMP Compliance:

- https://ec.europa.eu/health/sites/health/files/files/eudralex/vol-4/vol4-chap1_2013-01_en.pdf
- https://ec.europa.eu/health/sites/health/files/files/eudralex/vol-4/2014-03_chapter_2.pdf
- https://ec.europa.eu/health/sites/health/files/files/eudralex/vol-4/chapter4_01-2011_en.pdf
- https://ec.europa.eu/health/sites/health/files/files/eudralex/vol-4/2014-11_vol4_chapter_6.pdf
- https://ec.europa.eu/health/sites/health/files/files/eudralex/vol-4/2014-08_gmp_chap8.pdf
- https://ec.europa.eu/health/sites/health/files/files/eudralex/vol-4/pdfs-en/cap9_en.pdf
- https://ec.europa.eu/health/sites/health/files/files/eudralex/vol-4/2014-08_gmp_part1.pdf
- https://ec.europa.eu/health/sites/health/files/files/eudralex/vol-4/2011_site_master_file_en.pdf
- http://www.ema.europa.eu/docs/en_GB/document_library/Scientific_guideline/2009/09/WC500002873.pdf
- http://www.ema.europa.eu/docs/en_GB/document_library/Scientific_guideline/2009/09/WC500002871.pdf
- https://ec.europa.eu/health/sites/health/files/files/eudralex/vol-4/mra_batch-certificate_05-2011.pdf
- https://ec.europa.eu/health/sites/health/files/files/gmp/2013_01_28_template.pdf
- http://www.ema.europa.eu/docs/en_GB/document_library/Scientific_guideline/2014/11/WC500177735.pdf
- [http://eur-lex.europa.eu/legal-content/EN/TXT/PDF/?uri=CELEX:52015XC0321\(02\)&from=EN](http://eur-lex.europa.eu/legal-content/EN/TXT/PDF/?uri=CELEX:52015XC0321(02)&from=EN)
- https://ec.europa.eu/health/sites/health/files/files/eudralex/vol-4/template_imp_batch_certification.docx
- https://ec.europa.eu/health/sites/health/files/files/eudralex/vol-4/2008_11_25_gmp-an1_en.pdf
- https://ec.europa.eu/health/sites/health/files/files/eudralex/vol-4/pdfs-en/2018_annex2_en.pdf
- https://ec.europa.eu/health/sites/health/files/files/eudralex/vol-4/annex11_01-2011_en.pdf
- https://ec.europa.eu/health/sites/health/files/files/eudralex/vol-4/2015-10_annex15.pdf
- https://ec.europa.eu/health/sites/health/files/files/eudralex/vol-4/v4_an16_201510_en.pdf
- https://ec.europa.eu/health/sites/health/files/files/eudralex/vol-4/pdfs-en/2018_annex17_en.pdf
- https://ec.europa.eu/health/sites/health/files/files/eudralex/vol-4/pdfs-en/2005_12_14_annex19_en.pdf
- https://ec.europa.eu/health/sites/health/files/files/eudralex/vol-4/pdfs-en/2018_annex17_en.pdf

	Compliance 1 2 3 ^a	Remarks	EU-Guide
1 PERSONNEL			
1.1 Qualified personnel available?	<input type="checkbox"/> <input type="checkbox"/> <input type="checkbox"/>		2.1
1.2 Organization charts available?	<input type="checkbox"/> <input type="checkbox"/> <input type="checkbox"/>		2.2
1.3 Job descriptions available?	<input type="checkbox"/> <input type="checkbox"/> <input type="checkbox"/>		2.2
1.4 Responsibilities clearly defined?	<input type="checkbox"/> <input type="checkbox"/> <input type="checkbox"/>		2.2
Key Personnel			
Responsible persons designated for			
1.5 • Production?	<input type="checkbox"/> <input type="checkbox"/> <input type="checkbox"/>		2.5
1.6 • Quality control?	<input type="checkbox"/> <input type="checkbox"/> <input type="checkbox"/>		2.6
1.7 Are they independent of each other?	<input type="checkbox"/> <input type="checkbox"/> <input type="checkbox"/>		2.3
1.8 Are joint functions clearly defined?	<input type="checkbox"/> <input type="checkbox"/> <input type="checkbox"/>		2.7
1.9 Are the responsible persons working full time?	<input type="checkbox"/> <input type="checkbox"/> <input type="checkbox"/>		2.3
1.10 Do the responsible persons have the appropriate formulation, knowledge, and experience?	<input type="checkbox"/> <input type="checkbox"/> <input type="checkbox"/>		2.1/2.2
1.11 Do the relevant departments have enough personnel?	<input type="checkbox"/> <input type="checkbox"/> <input type="checkbox"/>		2.1
Training			
1.12 Continuous training programs for the production and QC staff?	<input type="checkbox"/> <input type="checkbox"/> <input type="checkbox"/>		2.8
1.13 Initial job training for all employees?	<input type="checkbox"/> <input type="checkbox"/> <input type="checkbox"/>		2.9
1.14 Teaching aids (videos, slides, and brochures) available?	<input type="checkbox"/> <input type="checkbox"/> <input type="checkbox"/>		2.9
1.15 External training courses for the staff?	<input type="checkbox"/> <input type="checkbox"/> <input type="checkbox"/>		2.9
1.16 Training records?	<input type="checkbox"/> <input type="checkbox"/> <input type="checkbox"/>		2.9
1.17 Special training in sensitive areas (sterile production and toxic substances)?	<input type="checkbox"/> <input type="checkbox"/> <input type="checkbox"/>		2.10
1.18 Information for visitors to the manufacturing area?	<input type="checkbox"/> <input type="checkbox"/> <input type="checkbox"/>		2.11
2 HYGIENE			
Personnel Hygiene			
Detailed written hygiene programs for			
2.1 • Clothing?	<input type="checkbox"/> <input type="checkbox"/> <input type="checkbox"/>		2.13
2.2 • Use of washrooms?	<input type="checkbox"/> <input type="checkbox"/> <input type="checkbox"/>		2.13
2.3 • Behavior in production areas?	<input type="checkbox"/> <input type="checkbox"/> <input type="checkbox"/>		2.13
2.4 Precautions against sick personnel or personnel with open wounds in production?	<input type="checkbox"/> <input type="checkbox"/> <input type="checkbox"/>		2.14
Medical examination:			
2.5 • On recruitment?	<input type="checkbox"/> <input type="checkbox"/> <input type="checkbox"/>		2.15
2.6 • Regular reexaminations?	<input type="checkbox"/> <input type="checkbox"/> <input type="checkbox"/>		2.15
Duty of notification after			
2.7 • Trips to tropical countries?	<input type="checkbox"/> <input type="checkbox"/> <input type="checkbox"/>		2.15
2.8 • Cases of contagious illness in the family?	<input type="checkbox"/> <input type="checkbox"/> <input type="checkbox"/>		2.15
2.9 Instructions for appropriate working clothes?	<input type="checkbox"/> <input type="checkbox"/> <input type="checkbox"/>		2.16
2.10 Absence of food and drink (chewing gum!) in the working area?	<input type="checkbox"/> <input type="checkbox"/> <input type="checkbox"/>		2.17
2.11 Measures against contact with open product (gloves etc.)?	<input type="checkbox"/> <input type="checkbox"/> <input type="checkbox"/>		2.18
2.12 Instructions for hand washing in production?	<input type="checkbox"/> <input type="checkbox"/> <input type="checkbox"/>		2.19
2.13 Change of clothes when entering and leaving the production area?	<input type="checkbox"/> <input type="checkbox"/> <input type="checkbox"/>		5.19
2.14 Change rooms and toilets easily within reach?	<input type="checkbox"/> <input type="checkbox"/> <input type="checkbox"/>		3.31
2.15 Toilets and restrooms sufficiently separated from production areas?	<input type="checkbox"/> <input type="checkbox"/> <input type="checkbox"/>		3.30/3.31
2.16 Workshops separate from production areas?	<input type="checkbox"/> <input type="checkbox"/> <input type="checkbox"/>		3.32
2.17 Laboratory animal rooms totally segregated from production rooms?	<input type="checkbox"/> <input type="checkbox"/> <input type="checkbox"/>		3.33
3 WAREHOUSE			
Rooms, General			
3.1 Suitable for the intended use?	<input type="checkbox"/> <input type="checkbox"/> <input type="checkbox"/>		3
3.2 • Adequate size?	<input type="checkbox"/> <input type="checkbox"/> <input type="checkbox"/>		3
3.3 • Clean?	<input type="checkbox"/> <input type="checkbox"/> <input type="checkbox"/>		3
3.4 Located and designed to exclude external contamination?	<input type="checkbox"/> <input type="checkbox"/> <input type="checkbox"/>		3.1

(Continued)

		Compliance 1 2 3 ^a	Remarks	EU-Guide
3.5	Appropriate level of maintenance?	<input type="checkbox"/> <input type="checkbox"/> <input type="checkbox"/>		3.2
3.6	Maintenance works possible without contamination risk?	<input type="checkbox"/> <input type="checkbox"/> <input type="checkbox"/>		3.2
3.7	Appropriate lighting and air-conditioning?	<input type="checkbox"/> <input type="checkbox"/> <input type="checkbox"/>		3.3
3.8	Recording of temperature and humidity?	<input type="checkbox"/> <input type="checkbox"/> <input type="checkbox"/>		3.3
3.9	Protection against the entry of insects or other animals?	<input type="checkbox"/> <input type="checkbox"/> <input type="checkbox"/>		3.4
3.10	Controlled access for authorized personnel only?	<input type="checkbox"/> <input type="checkbox"/> <input type="checkbox"/>		3.5
	Rooms, Special Requirements			
	Type of warehousing:			
3.11	Separation of goods sufficient?	<input type="checkbox"/> <input type="checkbox"/> <input type="checkbox"/>		3.18
3.12	Provision for different storage temperatures?	<input type="checkbox"/> <input type="checkbox"/> <input type="checkbox"/>		3.19
3.13	Goods receiving zone weather protected?	<input type="checkbox"/> <input type="checkbox"/> <input type="checkbox"/>		3.20
3.14	Cleaning zone for incoming goods?	<input type="checkbox"/> <input type="checkbox"/> <input type="checkbox"/>		3.20
3.15	Separate quarantine area with controlled access?	<input type="checkbox"/> <input type="checkbox"/> <input type="checkbox"/>		3.21
3.16	Separate, protected sampling area?	<input type="checkbox"/> <input type="checkbox"/> <input type="checkbox"/>		3.22
	Separate and safe storage of			
3.17	• Returned goods?	<input type="checkbox"/> <input type="checkbox"/> <input type="checkbox"/>		3.23
3.18	• Rejected goods?	<input type="checkbox"/> <input type="checkbox"/> <input type="checkbox"/>		3.23
3.19	Separate and safe storage of highly active, toxic, or dangerous substances?	<input type="checkbox"/> <input type="checkbox"/> <input type="checkbox"/>		3.24
3.20	Safe storage of narcotics?	<input type="checkbox"/> <input type="checkbox"/> <input type="checkbox"/>		3.24
3.21	Safe storage of printed packaging materials?	<input type="checkbox"/> <input type="checkbox"/> <input type="checkbox"/>		3.25
3.22	Security measurements against theft?	<input type="checkbox"/> <input type="checkbox"/> <input type="checkbox"/>		3.25
3.23	Smoke detectors?	<input type="checkbox"/> <input type="checkbox"/> <input type="checkbox"/>		3.25
3.24	Fire extinguishing system?	<input type="checkbox"/> <input type="checkbox"/> <input type="checkbox"/>		3.25
	Operations			
3.25	Reception, sampling, and labeling according to written procedures?	<input type="checkbox"/> <input type="checkbox"/> <input type="checkbox"/>		5.2
3.26	Is a sampling plan available?	<input type="checkbox"/> <input type="checkbox"/> <input type="checkbox"/>		Suppl. 4
3.27	Cleaning of incoming containers?	<input type="checkbox"/> <input type="checkbox"/> <input type="checkbox"/>		5.3
3.28	Investigation and recording of damaged deliveries?	<input type="checkbox"/> <input type="checkbox"/> <input type="checkbox"/>		5.4
3.29	First In First Out (FIFO) principle?	<input type="checkbox"/> <input type="checkbox"/> <input type="checkbox"/>		5.7
3.30	Inventory system?	<input type="checkbox"/> <input type="checkbox"/> <input type="checkbox"/>		5.8
3.31	Can the location of materials be detected at all times?	<input type="checkbox"/> <input type="checkbox"/> <input type="checkbox"/>		
3.32	Incoming goods: containers and seals intact?	<input type="checkbox"/> <input type="checkbox"/> <input type="checkbox"/>		5.27
3.33	Incoming goods: conformity with bill of delivery?	<input type="checkbox"/> <input type="checkbox"/> <input type="checkbox"/>		5.27
	Labeling of incoming containers with			
3.34	• Internal name and code?	<input type="checkbox"/> <input type="checkbox"/> <input type="checkbox"/>		5.29
3.35	• Allocated batch number?	<input type="checkbox"/> <input type="checkbox"/> <input type="checkbox"/>		5.29
3.36	• Quarantine status?	<input type="checkbox"/> <input type="checkbox"/> <input type="checkbox"/>		5.29
3.37	• Expiry date or reanalysis date?	<input type="checkbox"/> <input type="checkbox"/> <input type="checkbox"/>		5.29
3.38	Identity test for each incoming container?	<input type="checkbox"/> <input type="checkbox"/> <input type="checkbox"/>		5.29
3.39	Are the sampled containers marked?	<input type="checkbox"/> <input type="checkbox"/> <input type="checkbox"/>		5.30
3.40	Are reference samples taken?	<input type="checkbox"/> <input type="checkbox"/> <input type="checkbox"/>		5.30
3.41	Safe storage of printed packaging materials?	<input type="checkbox"/> <input type="checkbox"/> <input type="checkbox"/>		5.41
3.42	Lot tracing of all packaging materials possible?	<input type="checkbox"/> <input type="checkbox"/> <input type="checkbox"/>		5.42
3.43	Are excessive packaging materials destroyed?	<input type="checkbox"/> <input type="checkbox"/> <input type="checkbox"/>		5.43
	Release of starting materials by physical/inventory checks on raw materials, packaging materials, and finished goods:			
	Item	Stocks: Physical	Stocks: Inventory	Storage conditions

(Continued)

	Compliance 1 2 3 ^a	Remarks	EU-Guide
4 DISPENSING/ASSEMBLING			
Rooms, General			
4.1 Suitable for the intended use?	<input type="checkbox"/> <input type="checkbox"/> <input type="checkbox"/>		3
4.2 • Adequate size?	<input type="checkbox"/> <input type="checkbox"/> <input type="checkbox"/>		3
4.3 • Clean?	<input type="checkbox"/> <input type="checkbox"/> <input type="checkbox"/>		3
4.4 Located and designed to exclude external contamination?	<input type="checkbox"/> <input type="checkbox"/> <input type="checkbox"/>		3.1
4.5 Appropriate level of maintenance?	<input type="checkbox"/> <input type="checkbox"/> <input type="checkbox"/>		3.2
4.6 Maintenance works possible without contamination risk?	<input type="checkbox"/> <input type="checkbox"/> <input type="checkbox"/>		3.2
4.7 Appropriate lighting and air-conditioning?	<input type="checkbox"/> <input type="checkbox"/> <input type="checkbox"/>		3.3
4.8 Recording of temperature and humidity?	<input type="checkbox"/> <input type="checkbox"/> <input type="checkbox"/>		
4.9 Protection against the entry of insects or other animals?	<input type="checkbox"/> <input type="checkbox"/> <input type="checkbox"/>		3.4
4.10 Controlled access for authorized personnel only?	<input type="checkbox"/> <input type="checkbox"/> <input type="checkbox"/>		3.5
Rooms, Special Requirements			
4.11 Segregated from production and warehouse?	<input type="checkbox"/> <input type="checkbox"/> <input type="checkbox"/>		3.13
4.12 Separate weighing cabins?	<input type="checkbox"/> <input type="checkbox"/> <input type="checkbox"/>		3.13
4.13 Separate air handling unit (AHU) for each cabin?	<input type="checkbox"/> <input type="checkbox"/> <input type="checkbox"/>		3.12
Air pressure gradient from weighing cabin → corridor:			3.3
4.14 Dust extraction systems available?	<input type="checkbox"/> <input type="checkbox"/> <input type="checkbox"/>		5.11
Operations			
4.15 Balances regularly calibrated?	<input type="checkbox"/> <input type="checkbox"/> <input type="checkbox"/>		3.41
4.16 Only pharmaceutical raw materials in this area?	<input type="checkbox"/> <input type="checkbox"/> <input type="checkbox"/>		5.17
4.17 Check on remains from previous materials before entering of new materials into a weighing cabin?	<input type="checkbox"/> <input type="checkbox"/> <input type="checkbox"/>		5.9/5.35
4.18 Only one material in one cabin?	<input type="checkbox"/> <input type="checkbox"/> <input type="checkbox"/>		5.9
4.19 Are dispensed materials correctly labeled?	<input type="checkbox"/> <input type="checkbox"/> <input type="checkbox"/>		5.29
4.20 Only released products in the dispensing?	<input type="checkbox"/> <input type="checkbox"/> <input type="checkbox"/>		5.31
4.21 Cleaning SOPs for the dispensing?	<input type="checkbox"/> <input type="checkbox"/> <input type="checkbox"/>		4.28
4.22 Previously dispensed material recorded on weighing protocol?	<input type="checkbox"/> <input type="checkbox"/> <input type="checkbox"/>		4.8
4.23 Safety measures against mix-ups during assembling (e.g., cage pallets)?	<input type="checkbox"/> <input type="checkbox"/> <input type="checkbox"/>		5.32/5.34
5 SOLIDS MANUFACTURING			
Field of activity:			
• Granulation	<input type="checkbox"/>		
• Compression	<input type="checkbox"/>		
• Encapsulation	<input type="checkbox"/>		
• Film and sugar coating	<input type="checkbox"/>		
• Visual inspection (capsules, tablets, etc.)	<input type="checkbox"/>		
• Premix (human)	<input type="checkbox"/>		
Rooms, General			
5.1 Suitable for the intended use?	<input type="checkbox"/> <input type="checkbox"/> <input type="checkbox"/>		3
5.2 • Adequate size?	<input type="checkbox"/> <input type="checkbox"/> <input type="checkbox"/>		3
5.3 • Clean?	<input type="checkbox"/> <input type="checkbox"/> <input type="checkbox"/>		3
5.4 Located and designed to exclude external contamination?	<input type="checkbox"/> <input type="checkbox"/> <input type="checkbox"/>		3.1
5.5 Appropriate level of maintenance?	<input type="checkbox"/> <input type="checkbox"/> <input type="checkbox"/>		3.2
5.6 Maintenance works possible without contamination risk?	<input type="checkbox"/> <input type="checkbox"/> <input type="checkbox"/>		3.2
5.7 Appropriate lighting and air-conditioning?	<input type="checkbox"/> <input type="checkbox"/> <input type="checkbox"/>		3.3
5.8 Recording of temperature and humidity?	<input type="checkbox"/> <input type="checkbox"/> <input type="checkbox"/>		
5.9 Protection against the entry of insects or other animals?	<input type="checkbox"/> <input type="checkbox"/> <input type="checkbox"/>		3.4
5.10 Controlled access for authorized personnel only?	<input type="checkbox"/> <input type="checkbox"/> <input type="checkbox"/>		3.5
Rooms, Special Requirements			
5.11 Separate manufacturing area for penicillins/cephalosporins or highly sensitizing substances?	<input type="checkbox"/> <input type="checkbox"/> <input type="checkbox"/>		3.6
5.12 Only for processing of pharmaceuticals?	<input type="checkbox"/> <input type="checkbox"/> <input type="checkbox"/>		3.6
5.13 Logical flow of materials?	<input type="checkbox"/> <input type="checkbox"/> <input type="checkbox"/>		3.7
5.14 Walls, floors, and ceilings: smooth surface and free of cracks?	<input type="checkbox"/> <input type="checkbox"/> <input type="checkbox"/>		3.8
5.15 Easy cleaning possible?	<input type="checkbox"/> <input type="checkbox"/> <input type="checkbox"/>		3.10
5.16 Adequate drains with traps and grilles?	<input type="checkbox"/> <input type="checkbox"/> <input type="checkbox"/>		3.11

(Continued)

	Compliance 1 2 3 ^a	Remarks	EU-Guide
5.17	Appropriate air handling system? Air pressure gradient from working bay → corridor: Classification according to EC guide?	<input type="checkbox"/> <input type="checkbox"/> <input type="checkbox"/>	3.12
5.18	Appropriate dust extraction system?	<input type="checkbox"/> <input type="checkbox"/> <input type="checkbox"/>	3.14
5.19	Appropriate lighting?	<input type="checkbox"/> <input type="checkbox"/> <input type="checkbox"/>	3.16
5.20	Separate rest rooms?	<input type="checkbox"/> <input type="checkbox"/> <input type="checkbox"/>	3.30
5.21	Changing rooms designed to avoid contamination?	<input type="checkbox"/> <input type="checkbox"/> <input type="checkbox"/>	3.31
5.22	Toilets segregated from manufacturing areas?	<input type="checkbox"/> <input type="checkbox"/> <input type="checkbox"/>	3.31
Equipment			
5.23	Suitable for the intended use?	<input type="checkbox"/> <input type="checkbox"/> <input type="checkbox"/>	3.34
5.24	Well maintained?	<input type="checkbox"/> <input type="checkbox"/> <input type="checkbox"/>	3.34
5.25	Written and validated cleaning procedures?	<input type="checkbox"/> <input type="checkbox"/> <input type="checkbox"/>	3.36
5.26	Maintenance without contamination risk (separate area)?	<input type="checkbox"/> <input type="checkbox"/> <input type="checkbox"/>	3.35
5.27	Equipment in contact with product: suitable materials quality?	<input type="checkbox"/> <input type="checkbox"/> <input type="checkbox"/>	3.39
5.28	Machinery equipped with measuring and control devices?	<input type="checkbox"/> <input type="checkbox"/> <input type="checkbox"/>	3.40
5.29	Calibration at fixed intervals according to written procedures?	<input type="checkbox"/> <input type="checkbox"/> <input type="checkbox"/>	3.41
5.30	Calibration records available?	<input type="checkbox"/> <input type="checkbox"/> <input type="checkbox"/>	3.41
5.31	Contents and flow direction marked on pipes?	<input type="checkbox"/> <input type="checkbox"/> <input type="checkbox"/>	3.42
5.32	Pipes for distilled and demineralized water regularly monitored and sanitized?	<input type="checkbox"/> <input type="checkbox"/> <input type="checkbox"/>	3.43
5.33	Not functioning equipment in the production area (if yes: clearly marked)?	Y N <input type="checkbox"/> <input type="checkbox"/> <input type="checkbox"/>	3.44
5.34	Status of cleanliness indicated?	<input type="checkbox"/> <input type="checkbox"/> <input type="checkbox"/>	5.13
5.35	Previous product indicated?	<input type="checkbox"/> <input type="checkbox"/> <input type="checkbox"/>	5.13
Operations			
5.36	Are written and validated procedures for all manufacturing steps available?	<input type="checkbox"/> <input type="checkbox"/> <input type="checkbox"/>	5.2
5.37	Are all manufacturing steps recorded with actual parameters?	<input type="checkbox"/> <input type="checkbox"/> <input type="checkbox"/>	5.2
5.38	Check of each single container of the starting materials (contents, weight, and identity)?	<input type="checkbox"/> <input type="checkbox"/> <input type="checkbox"/>	5.3
5.39	Limits for yields?	<input type="checkbox"/> <input type="checkbox"/> <input type="checkbox"/>	5.8
5.40	Only one batch of one product processed?	<input type="checkbox"/> <input type="checkbox"/> <input type="checkbox"/>	5.9
5.41	Protection against microbial contamination?	<input type="checkbox"/> <input type="checkbox"/> <input type="checkbox"/>	5.10
5.42	Appropriate measures against generation of dust (e.g., closed systems)? Correct labeling of containers, materials, equipment, and rooms with	<input type="checkbox"/> <input type="checkbox"/> <input type="checkbox"/>	5.11 5.12
5.43	• Product name and batch no.?	<input type="checkbox"/> <input type="checkbox"/> <input type="checkbox"/>	5.12
5.44	• Quarantine status?	<input type="checkbox"/> <input type="checkbox"/> <input type="checkbox"/>	5.12
5.45	Deviations from standard procedures recorded and signed by the supervisor?	<input type="checkbox"/> <input type="checkbox"/> <input type="checkbox"/>	5.14
5.46	Special procedures for the production of antibiotics, hormones, etc.?	<input type="checkbox"/> <input type="checkbox"/> <input type="checkbox"/>	5.19
5.47	• Campaign production?	<input type="checkbox"/> <input type="checkbox"/> <input type="checkbox"/>	5.19
5.48	• Special monitoring?	<input type="checkbox"/> <input type="checkbox"/> <input type="checkbox"/>	5.19
5.49	• Validated decontamination procedure?	<input type="checkbox"/> <input type="checkbox"/> <input type="checkbox"/>	5.19
5.50	Double check on weight?	<input type="checkbox"/> <input type="checkbox"/> <input type="checkbox"/>	5.34
5.51	Line clearance before start of production?	<input type="checkbox"/> <input type="checkbox"/> <input type="checkbox"/>	5.35
5.52	Investigation of deviations in yields?	<input type="checkbox"/> <input type="checkbox"/> <input type="checkbox"/>	5.39
5.53	Validated procedures for reworking of rejected batches?	<input type="checkbox"/> <input type="checkbox"/> <input type="checkbox"/>	5.62
5.54	Detailed procedures for the addition of previous batches?	<input type="checkbox"/> <input type="checkbox"/> <input type="checkbox"/>	5.63
5.55	Special release procedure (QA) for those batches?	<input type="checkbox"/> <input type="checkbox"/> <input type="checkbox"/>	5.64
5.56	Use of protective clothing (hair cover, shoes, masks, and gloves)?	<input type="checkbox"/> <input type="checkbox"/> <input type="checkbox"/>	2.16
5.57	Clothing regulation for visitors?	<input type="checkbox"/> <input type="checkbox"/> <input type="checkbox"/>	2.11
In-Process Control (IPC)			
Who performs IPC?			
5.58	Are IPC methods approved by QC?	<input type="checkbox"/> <input type="checkbox"/> <input type="checkbox"/>	6.18
	Performance of IPCs:	During start-up?	Frequency
		Yes No	Automatic data recording? Yes No

(Continued)

	Compliance 1 2 3 ^a	Remarks	EU-Guide
Tablets/Kernels			
5.59	Individual weights	<input type="checkbox"/> <input type="checkbox"/>	<input type="checkbox"/> <input type="checkbox"/>
5.60	Disintegration	<input type="checkbox"/> <input type="checkbox"/>	<input type="checkbox"/> <input type="checkbox"/>
5.61	Thickness	<input type="checkbox"/> <input type="checkbox"/>	<input type="checkbox"/> <input type="checkbox"/>
5.62	Hardness	<input type="checkbox"/> <input type="checkbox"/>	<input type="checkbox"/> <input type="checkbox"/>
5.63	Friability/Abrasion	<input type="checkbox"/> <input type="checkbox"/>	<input type="checkbox"/> <input type="checkbox"/>
Sugar-/Film-Coated Tablets			
5.64	Weights	<input type="checkbox"/> <input type="checkbox"/>	<input type="checkbox"/> <input type="checkbox"/>
5.65	Disintegration	<input type="checkbox"/> <input type="checkbox"/>	<input type="checkbox"/> <input type="checkbox"/>
5.66	Residual absolute humidity	<input type="checkbox"/> <input type="checkbox"/>	<input type="checkbox"/> <input type="checkbox"/>
Capsules			
5.67	Individual weights	<input type="checkbox"/> <input type="checkbox"/>	<input type="checkbox"/> <input type="checkbox"/>
5.68	Disintegration	<input type="checkbox"/> <input type="checkbox"/>	<input type="checkbox"/> <input type="checkbox"/>
Validation			
5.69	Validation according to fixed procedures?	<input type="checkbox"/> <input type="checkbox"/> <input type="checkbox"/>	5.21
5.70	New procedures released only after validation?	<input type="checkbox"/> <input type="checkbox"/> <input type="checkbox"/>	5.22
Validation of changes of			
5.71	• Processes?	<input type="checkbox"/> <input type="checkbox"/> <input type="checkbox"/>	5.23
5.72	• Starting materials?	<input type="checkbox"/> <input type="checkbox"/> <input type="checkbox"/>	5.23
5.73	• Equipment?	<input type="checkbox"/> <input type="checkbox"/> <input type="checkbox"/>	5.23
5.74	Revalidation at fixed intervals?	<input type="checkbox"/> <input type="checkbox"/> <input type="checkbox"/>	5.24
5.75	Procedures for the retrospective validation of old procedures?	<input type="checkbox"/> <input type="checkbox"/> <input type="checkbox"/>	
6	LIQUIDS MANUFACTURING		
Operations carried out:			
	• Dispensing (if different from solid)	<input type="checkbox"/> <input type="checkbox"/> <input type="checkbox"/>	
	• Syrups and suspensions	<input type="checkbox"/> <input type="checkbox"/> <input type="checkbox"/>	
	• Drops	<input type="checkbox"/> <input type="checkbox"/> <input type="checkbox"/>	
	• Ointment manufacture	<input type="checkbox"/> <input type="checkbox"/> <input type="checkbox"/>	
	• Ointment filling	<input type="checkbox"/> <input type="checkbox"/> <input type="checkbox"/>	
	• Ampoule solution manufacture	<input type="checkbox"/> <input type="checkbox"/> <input type="checkbox"/>	
	• Sterile or aseptic ampoule filling	<input type="checkbox"/> <input type="checkbox"/> <input type="checkbox"/>	
	• Sterile freeze drying	<input type="checkbox"/> <input type="checkbox"/> <input type="checkbox"/>	
	• Sterile powder filling	<input type="checkbox"/> <input type="checkbox"/> <input type="checkbox"/>	
Rooms, General			
6.1	Suitable for the intended use?	<input type="checkbox"/> <input type="checkbox"/> <input type="checkbox"/>	3
6.2	• Adequate size?	<input type="checkbox"/> <input type="checkbox"/> <input type="checkbox"/>	3
6.3	• Clean?	<input type="checkbox"/> <input type="checkbox"/> <input type="checkbox"/>	3
6.4	Located and designed to exclude external contamination?	<input type="checkbox"/> <input type="checkbox"/> <input type="checkbox"/>	3.1
6.5	Appropriate level of maintenance?	<input type="checkbox"/> <input type="checkbox"/> <input type="checkbox"/>	3.2
6.6	Maintenance works possible without contamination risk?	<input type="checkbox"/> <input type="checkbox"/> <input type="checkbox"/>	3.2
6.7	Appropriate lighting and air-conditioning?	<input type="checkbox"/> <input type="checkbox"/> <input type="checkbox"/>	3.3
6.8	Recording of temperature and humidity?	<input type="checkbox"/> <input type="checkbox"/> <input type="checkbox"/>	
6.9	Protection against the entry of insects or other animals?	<input type="checkbox"/> <input type="checkbox"/> <input type="checkbox"/>	3.4
6.10	Controlled access for authorized personnel only?	<input type="checkbox"/> <input type="checkbox"/> <input type="checkbox"/>	3.5
Rooms, Special Requirements			
6.11	Separate manufacturing area for penicillins/cephalosporins or highly sensitizing substances?	<input type="checkbox"/> <input type="checkbox"/> <input type="checkbox"/>	3.6
6.12	Only for processing of pharmaceuticals?	<input type="checkbox"/> <input type="checkbox"/> <input type="checkbox"/>	3.6
6.13	Logical flow of materials?	<input type="checkbox"/> <input type="checkbox"/> <input type="checkbox"/>	3.7
6.14	Walls, floors, and ceilings: smooth surface and free of cracks?	<input type="checkbox"/> <input type="checkbox"/> <input type="checkbox"/>	3.8
6.15	Easy cleaning possible?	<input type="checkbox"/> <input type="checkbox"/> <input type="checkbox"/>	3.10
6.16	Adequate drains with traps and grilles?	<input type="checkbox"/> <input type="checkbox"/> <input type="checkbox"/>	3.11
6.17	Appropriate air-handling system with filtered air where open products are exposed to the environment?	<input type="checkbox"/> <input type="checkbox"/> <input type="checkbox"/>	3.12
Air pressure gradient from working bay → corridor:			

(Continued)

	Compliance 1 2 3 ^a	Remarks	EU-Guide
			Classification according to EC guide?
6.18	<input type="checkbox"/> <input type="checkbox"/> <input type="checkbox"/>		Appropriate lighting?
6.19	<input type="checkbox"/> <input type="checkbox"/> <input type="checkbox"/>		Separate rest rooms?
6.20	<input type="checkbox"/> <input type="checkbox"/> <input type="checkbox"/>		Changing rooms designed to avoid contamination?
6.21	<input type="checkbox"/> <input type="checkbox"/> <input type="checkbox"/>		Toilets segregated from manufacturing areas?
			Equipment
6.22	<input type="checkbox"/> <input type="checkbox"/> <input type="checkbox"/>		Suitable for the intended use?
6.23	<input type="checkbox"/> <input type="checkbox"/> <input type="checkbox"/>		Well maintained?
6.24	<input type="checkbox"/> <input type="checkbox"/> <input type="checkbox"/>		Tanks, containers, pipework, and pumps designed for easy cleaning and sanitation (dead legs!)?
6.25	<input type="checkbox"/> <input type="checkbox"/> <input type="checkbox"/>		Written and validated cleaning procedures?
6.26	<input type="checkbox"/> <input type="checkbox"/> <input type="checkbox"/>		Maintenance without contamination risk (separate area)?
6.27	<input type="checkbox"/> <input type="checkbox"/> <input type="checkbox"/>		Equipment in contact with product: suitable materials quality?
6.28	<input type="checkbox"/> <input type="checkbox"/> <input type="checkbox"/>		Machinery equipped with measuring and control devices?
6.29	<input type="checkbox"/> <input type="checkbox"/> <input type="checkbox"/>		Calibration at fixed intervals according to written procedures?
6.30	<input type="checkbox"/> <input type="checkbox"/> <input type="checkbox"/>		Calibration records available?
6.31	<input type="checkbox"/> <input type="checkbox"/> <input type="checkbox"/>		Contents and flow direction marked on pipes?
6.32	<input type="checkbox"/> <input type="checkbox"/> <input type="checkbox"/>		Pipes for distilled and demineralized water regularly monitored and sanitized?
6.33	Y N <input type="checkbox"/> <input type="checkbox"/> <input type="checkbox"/>		Not functioning equipment in the production area (if yes: clearly marked)?
6.34	<input type="checkbox"/> <input type="checkbox"/> <input type="checkbox"/>		Status of cleanliness indicated?
6.35	<input type="checkbox"/> <input type="checkbox"/> <input type="checkbox"/>		Previous product indicated?
			Operations
6.36	<input type="checkbox"/> <input type="checkbox"/> <input type="checkbox"/>		Are written and validated procedures for all manufacturing steps available?
6.37	<input type="checkbox"/> <input type="checkbox"/> <input type="checkbox"/>		Are all manufacturing steps recorded with actual parameters?
6.38	<input type="checkbox"/> <input type="checkbox"/> <input type="checkbox"/>		Check of each single container of the starting materials (contents, weight, and identity)?
6.39	<input type="checkbox"/> <input type="checkbox"/> <input type="checkbox"/>		Limits for yields?
6.40	<input type="checkbox"/> <input type="checkbox"/> <input type="checkbox"/>		Only one batch of one product processed?
6.41	<input type="checkbox"/> <input type="checkbox"/> <input type="checkbox"/>		Protection against microbial contamination? Correct labeling of containers, materials, equipment, and rooms with
6.42	<input type="checkbox"/> <input type="checkbox"/> <input type="checkbox"/>		• Product name and batch no.?
6.43	<input type="checkbox"/> <input type="checkbox"/> <input type="checkbox"/>		• Quarantine status?
6.44	<input type="checkbox"/> <input type="checkbox"/> <input type="checkbox"/>		Deviations from standard procedures recorded and signed by the supervisor?
6.45	<input type="checkbox"/> <input type="checkbox"/> <input type="checkbox"/>		Special procedures for the production of antibiotics, hormones, etc.?
6.46	<input type="checkbox"/> <input type="checkbox"/> <input type="checkbox"/>		• Campaign production?
6.47	<input type="checkbox"/> <input type="checkbox"/> <input type="checkbox"/>		• Special monitoring?
6.48	<input type="checkbox"/> <input type="checkbox"/> <input type="checkbox"/>		• Validated decontamination procedure?
6.49	<input type="checkbox"/> <input type="checkbox"/> <input type="checkbox"/>		Double check on weight?
6.50	<input type="checkbox"/> <input type="checkbox"/> <input type="checkbox"/>		Line clearance before start of production?
6.51	<input type="checkbox"/> <input type="checkbox"/> <input type="checkbox"/>		Investigation of deviations in yields?
6.52	<input type="checkbox"/> <input type="checkbox"/> <input type="checkbox"/>		Specification of maximum storage time and storage conditions if products are not immediately filled or packaged?
6.53	<input type="checkbox"/> <input type="checkbox"/> <input type="checkbox"/>		Validated procedures for reworking of rejected batches?
6.54	<input type="checkbox"/> <input type="checkbox"/> <input type="checkbox"/>		Detailed procedures for the addition of previous batches?
6.55	<input type="checkbox"/> <input type="checkbox"/> <input type="checkbox"/>		Special release procedure (QA) for those batches?
6.56	<input type="checkbox"/> <input type="checkbox"/> <input type="checkbox"/>		Use of protective clothing (hair cover, shoes, masks, and gloves)?
6.57	<input type="checkbox"/> <input type="checkbox"/> <input type="checkbox"/>		Clothing regulation for visitors?
			Water
6.58	<input type="checkbox"/> <input type="checkbox"/> <input type="checkbox"/>		Loop system for purified water?
6.59	<input type="checkbox"/> <input type="checkbox"/> <input type="checkbox"/>		Antimicrobial treatment of purified water?
6.60	<input type="checkbox"/> <input type="checkbox"/> <input type="checkbox"/>		Loop system for water for injection? Storage temperature of water for injection:
6.61	<input type="checkbox"/> <input type="checkbox"/> <input type="checkbox"/>		Loop system constructed to avoid dead legs?
6.62	<input type="checkbox"/> <input type="checkbox"/> <input type="checkbox"/>		Regular microbiological monitoring?

(Continued)

	Compliance 1 2 3 ^a	Remarks	EU-Guide
6.63 Regular endotoxin control?	<input type="checkbox"/> <input type="checkbox"/> <input type="checkbox"/>		Suppl. 4
Special Requirements for Sterile and Aseptic Products			
Rooms and Equipment			
6.64 Access of staff and materials to clean areas <i>only</i> through air locks?	<input type="checkbox"/> <input type="checkbox"/> <input type="checkbox"/>		1
6.66 Rooms classified according to EC Guide?	<input type="checkbox"/> <input type="checkbox"/> <input type="checkbox"/>		3
Classification for products to be sterilized:			
6.67 • Solution preparation (EC: class C, with special precautions class D):	Class:		5
6.68 • Filling (EC: under LF in class C):	Class:		5
Classification for aseptic products:			
6.69 • Handling of starting materials that can be sterile filtered (EC: class C):	Class:		6
6.70 • Handling of starting materials that cannot be sterile filtered (EC: class A in class B):	Class:		6
6.71 • Handling and filling of bulk (EC: class A in Class B):	Class:		6
6.72 All rooms easy to clean and disinfect?	<input type="checkbox"/> <input type="checkbox"/> <input type="checkbox"/>		17
6.73 Doors, windows, frames, lighting, etc. without edges?	<input type="checkbox"/> <input type="checkbox"/> <input type="checkbox"/>		18
6.74 Suspended ceilings (if yes: sealed?)?	<input type="checkbox"/> <input type="checkbox"/> <input type="checkbox"/>		19
6.75 Traps constructed to avoid microbiological contamination?	<input type="checkbox"/> <input type="checkbox"/> <input type="checkbox"/>		21
6.76 Appropriately constructed changing rooms?	<input type="checkbox"/> <input type="checkbox"/> <input type="checkbox"/>		22
6.77 Measures against opening of both doors of air locks?	<input type="checkbox"/> <input type="checkbox"/> <input type="checkbox"/>		23
6.78 Overpressure gradient from cleanest areas to others?	<input type="checkbox"/> <input type="checkbox"/> <input type="checkbox"/>		24
6.79 AHU validated and regularly revalidated?	<input type="checkbox"/> <input type="checkbox"/> <input type="checkbox"/>		25
6.80 Control instruments for pressure gradient?	<input type="checkbox"/> <input type="checkbox"/> <input type="checkbox"/>		26
6.81 Warning system for errors in air supply?	<input type="checkbox"/> <input type="checkbox"/> <input type="checkbox"/>		26
6.82 Recording of pressure gradients?	<input type="checkbox"/> <input type="checkbox"/> <input type="checkbox"/>		26
6.83 Do conveyor belts leave sterile areas?	<input type="checkbox"/> <input type="checkbox"/> <input type="checkbox"/>		28
6.84 Maintenance works outside clean areas possible?	<input type="checkbox"/> <input type="checkbox"/> <input type="checkbox"/>		28
6.85 Cleaning and disinfection procedure after maintenance works?	<input type="checkbox"/> <input type="checkbox"/> <input type="checkbox"/>		29
6.86 Regular revalidation of all equipment and systems?	<input type="checkbox"/> <input type="checkbox"/> <input type="checkbox"/>		30
6.87 Water prepared, circulated, and stored to exclude microbiological contamination?	<input type="checkbox"/> <input type="checkbox"/> <input type="checkbox"/>		31
6.88 Cleaning and disinfection of rooms according to validated SOPs?	<input type="checkbox"/> <input type="checkbox"/> <input type="checkbox"/>		32
• Disinfection methods?			
6.89 Microbiological monitoring of cleaning and disinfection agents?	<input type="checkbox"/> <input type="checkbox"/> <input type="checkbox"/>		33
6.90 Microbiological monitoring program of production areas?	<input type="checkbox"/> <input type="checkbox"/> <input type="checkbox"/>		35
6.91 Results recorded and considered for the release?	<input type="checkbox"/> <input type="checkbox"/> <input type="checkbox"/>		35
Personnel and Hygiene			
6.92 Minimal number of personnel in clean areas?	<input type="checkbox"/> <input type="checkbox"/> <input type="checkbox"/>		7
6.93 Special and regular training?	<input type="checkbox"/> <input type="checkbox"/> <input type="checkbox"/>		8
6.94 Regular medical examinations?	<input type="checkbox"/> <input type="checkbox"/> <input type="checkbox"/>		10
6.95 Appropriate clean room clothes (material and design)?	<input type="checkbox"/> <input type="checkbox"/> <input type="checkbox"/>		12
6.96 Protective clothes worn correctly?	<input type="checkbox"/> <input type="checkbox"/> <input type="checkbox"/>		12
6.97 Prohibition of cosmetics, jewelry, and watches?	<input type="checkbox"/> <input type="checkbox"/> <input type="checkbox"/>		13
6.98 New clean room clothes for each working cycle?	<input type="checkbox"/> <input type="checkbox"/> <input type="checkbox"/>		15
6.99 Appropriate washing and sterilization of clothes?	<input type="checkbox"/> <input type="checkbox"/> <input type="checkbox"/>		16
Operations			
6.100 Validation (media filling) at regular intervals?	<input type="checkbox"/> <input type="checkbox"/> <input type="checkbox"/>		38
Monitoring of water preparation system, frequency:			
6.101 • Microbiological:			40
6.102 • Chemical:			40
6.103 • Particles:			40
6.104 • Endotoxins:			40
6.105 Microbiological monitoring of starting materials?	<input type="checkbox"/> <input type="checkbox"/> <input type="checkbox"/>		42
6.106 Maximum storage times defined for sterilized equipment?	<input type="checkbox"/> <input type="checkbox"/> <input type="checkbox"/>		45
6.107 Maximum storage time defined between solution preparation and filtration?	<input type="checkbox"/> <input type="checkbox"/> <input type="checkbox"/>		46
6.108 Material transfer to clean areas through double door autoclaves?	<input type="checkbox"/> <input type="checkbox"/> <input type="checkbox"/>		48

(Continued)

	Compliance 1 2 3 ^a	Remarks	EU-Guide
Sterilization Processes			
6.109	All processes validated?	<input type="checkbox"/> <input type="checkbox"/> <input type="checkbox"/>	50
6.110	Sterilized and nonsterilized materials clearly separated?	<input type="checkbox"/> <input type="checkbox"/> <input type="checkbox"/>	54
	Trays and boxes clearly labeled with		
6.111	• Product name and code	<input type="checkbox"/> <input type="checkbox"/> <input type="checkbox"/>	54
6.112	• Batch no.	<input type="checkbox"/> <input type="checkbox"/> <input type="checkbox"/>	54
6.113	• Status: sterilized or nonsterilized	<input type="checkbox"/> <input type="checkbox"/> <input type="checkbox"/>	54
Sterilizers			
6.114	Recording of temperature, pressure, and time?	<input type="checkbox"/> <input type="checkbox"/> <input type="checkbox"/>	55
6.115	Coldest point determined?	<input type="checkbox"/> <input type="checkbox"/> <input type="checkbox"/>	55
6.116	Independent counter check probe?	<input type="checkbox"/> <input type="checkbox"/> <input type="checkbox"/>	55
6.117	Heat-up time for each product determined?	<input type="checkbox"/> <input type="checkbox"/> <input type="checkbox"/>	56
6.118	Sterile cooling media?	<input type="checkbox"/> <input type="checkbox"/> <input type="checkbox"/>	57
6.119	Tightness tests for vacuum autoclaves?	<input type="checkbox"/> <input type="checkbox"/> <input type="checkbox"/>	58
6.120	Clean steam for steam autoclaves?	<input type="checkbox"/> <input type="checkbox"/> <input type="checkbox"/>	58
6.121	Circulated air with overpressure?	<input type="checkbox"/> <input type="checkbox"/> <input type="checkbox"/>	61
6.122	Recirculated air: sterile filtered?	<input type="checkbox"/> <input type="checkbox"/> <input type="checkbox"/>	61
6.123	Ethylene oxide autoclaves: humidity, temperature, and time recorded?	<input type="checkbox"/> <input type="checkbox"/> <input type="checkbox"/>	69
6.124	Ethylene oxide autoclaves: use of bioindicators?	<input type="checkbox"/> <input type="checkbox"/> <input type="checkbox"/>	70
Filtration			
6.125	Double filtration?	<input type="checkbox"/> <input type="checkbox"/> <input type="checkbox"/>	75
6.126	Integrity testing of filters immediately after use?	<input type="checkbox"/> <input type="checkbox"/> <input type="checkbox"/>	77
6.127	Are results a part of the batch protocol?	<input type="checkbox"/> <input type="checkbox"/> <input type="checkbox"/>	77
6.128	Optical control of each single container of ampoules, vials, and infusions?	<input type="checkbox"/> <input type="checkbox"/> <input type="checkbox"/>	82
IPC			
6.129	Written IPC procedures and SOPs?	<input type="checkbox"/> <input type="checkbox"/> <input type="checkbox"/>	
	Particle testing of		
6.130	• Rooms?	<input type="checkbox"/> <input type="checkbox"/> <input type="checkbox"/>	
6.131	• Primary packaging materials?	<input type="checkbox"/> <input type="checkbox"/> <input type="checkbox"/>	
6.132	• System of warning and action limits?	<input type="checkbox"/> <input type="checkbox"/> <input type="checkbox"/>	
	Microbiological monitoring of		
6.133	• Rooms?		
6.134	• Personnel?		
6.135	• Equipment?		
6.136	Residual O ₂ of ampoules, infusions, and syrups?	<input type="checkbox"/> <input type="checkbox"/> <input type="checkbox"/>	
6.137	Endotoxin testing of water and packaging materials?	<input type="checkbox"/> <input type="checkbox"/> <input type="checkbox"/>	
6.138	Calibration of equipment?	<input type="checkbox"/> <input type="checkbox"/> <input type="checkbox"/>	
6.139	Regular revalidation of equipment?	<input type="checkbox"/> <input type="checkbox"/> <input type="checkbox"/>	
7	PACKAGING		
	Operations carried out:		
	• Blistering	<input type="checkbox"/>	
	• Foil packaging	<input type="checkbox"/>	
	• Filling into tablet glasses	<input type="checkbox"/>	
	• Effervescent packaging	<input type="checkbox"/>	
	• Powder filling	<input type="checkbox"/>	
	• Syrup/drops filling	<input type="checkbox"/>	
	• Ointment filling	<input type="checkbox"/>	
Rooms			
7.1	Suitable for the intended use?	<input type="checkbox"/> <input type="checkbox"/> <input type="checkbox"/>	3
7.2	• Adequate size?	<input type="checkbox"/> <input type="checkbox"/> <input type="checkbox"/>	3
7.3	• Clean?	<input type="checkbox"/> <input type="checkbox"/> <input type="checkbox"/>	3
7.4	Located and designed to exclude external contamination?	<input type="checkbox"/> <input type="checkbox"/> <input type="checkbox"/>	3.1
7.5	Appropriate level of maintenance?	<input type="checkbox"/> <input type="checkbox"/> <input type="checkbox"/>	3.2
7.6	Maintenance works possible without contamination risk?	<input type="checkbox"/> <input type="checkbox"/> <input type="checkbox"/>	3.2
7.7	Appropriate lighting and air-conditioning?	<input type="checkbox"/> <input type="checkbox"/> <input type="checkbox"/>	3.3

(Continued)

	Compliance 1 2 3 ^a	Remarks	EU-Guide
7.8	Recording of temperature and humidity?	<input type="checkbox"/> <input type="checkbox"/> <input type="checkbox"/>	
7.9	Protection against the entry of insects or other animals?	<input type="checkbox"/> <input type="checkbox"/> <input type="checkbox"/>	3.4
7.10	Controlled access for authorized personnel only?	<input type="checkbox"/> <input type="checkbox"/> <input type="checkbox"/>	3.5
7.11	Adequate separation of the packaging lines?	<input type="checkbox"/> <input type="checkbox"/> <input type="checkbox"/>	3.15
	Operations		
7.12	Only <i>one</i> product per line?	<input type="checkbox"/> <input type="checkbox"/> <input type="checkbox"/>	5.44
7.13	Check list for clearance before processing a new product/new batch?	<input type="checkbox"/> <input type="checkbox"/> <input type="checkbox"/>	5.45
7.14	Adequate labeling of the lines (product name and code)?	<input type="checkbox"/> <input type="checkbox"/> <input type="checkbox"/>	5.46
7.15	Check of all materials delivered to the line (quantity, identity, conformity with order)?	<input type="checkbox"/> <input type="checkbox"/> <input type="checkbox"/>	5.47
7.16	Cleaning of primary packaging materials?	<input type="checkbox"/> <input type="checkbox"/> <input type="checkbox"/>	5.48
7.17	Immediate labeling after filling?	<input type="checkbox"/> <input type="checkbox"/> <input type="checkbox"/>	5.49
7.18	Careful check of all printing processes (code and expiry date)?	<input type="checkbox"/> <input type="checkbox"/> <input type="checkbox"/>	5.50
7.19	Special safety measures for off-line printing?	<input type="checkbox"/> <input type="checkbox"/> <input type="checkbox"/>	5.51
7.20	Regular checks of all control devices (code reader, counter, etc.)?	<input type="checkbox"/> <input type="checkbox"/> <input type="checkbox"/>	5.52
7.21	Printings clear and durable?	<input type="checkbox"/> <input type="checkbox"/> <input type="checkbox"/>	5.53
7.22	Balancing of printed packaging materials and bulk?	<input type="checkbox"/> <input type="checkbox"/> <input type="checkbox"/>	5.56
7.23	Destruction of excessive coded packaging material after completion of an order?	<input type="checkbox"/> <input type="checkbox"/> <input type="checkbox"/>	5.57
7.24	Are the finished products kept in quarantine until final release?	<input type="checkbox"/> <input type="checkbox"/> <input type="checkbox"/>	5.58
7.25	Appropriate storage after release?	<input type="checkbox"/> <input type="checkbox"/> <input type="checkbox"/>	5.60
	IPC		
7.26	Checks on identity of bulk and packaging materials? Regular line checks on	<input type="checkbox"/> <input type="checkbox"/> <input type="checkbox"/>	5.47
7.27	• Aspect of the packages?	<input type="checkbox"/> <input type="checkbox"/> <input type="checkbox"/>	5.54a
7.28	• Completeness?	<input type="checkbox"/> <input type="checkbox"/> <input type="checkbox"/>	5.54b
7.29	• Conformity of quantity and quality of materials with packaging order?	<input type="checkbox"/> <input type="checkbox"/> <input type="checkbox"/>	5.54c
7.30	• Correct imprint?	<input type="checkbox"/> <input type="checkbox"/> <input type="checkbox"/>	5.54d
7.31	• Correct function of control devices?	<input type="checkbox"/> <input type="checkbox"/> <input type="checkbox"/>	5.54d
	Are the following IPC checks performed?		
7.32	• Leaking	<input type="checkbox"/> <input type="checkbox"/> <input type="checkbox"/>	
7.33	• Release torque of screw caps	<input type="checkbox"/> <input type="checkbox"/> <input type="checkbox"/>	
7.34	• pH, density, drop weight, viscosity, and sedimentation	<input type="checkbox"/> <input type="checkbox"/> <input type="checkbox"/>	
8	DOCUMENTATION		
	Specifications		
8.1	Specifications for raw/packaging materials available? Do they include	<input type="checkbox"/> <input type="checkbox"/> <input type="checkbox"/>	4.10
8.2	• internal name and code?	<input type="checkbox"/> <input type="checkbox"/> <input type="checkbox"/>	4.11
8.3	• Name of supplier and/or manufacturer?	<input type="checkbox"/> <input type="checkbox"/> <input type="checkbox"/>	4.11
8.4	• Reference sample (printed packaging material)?	<input type="checkbox"/> <input type="checkbox"/> <input type="checkbox"/>	4.11
8.5	• Sampling procedure?	<input type="checkbox"/> <input type="checkbox"/> <input type="checkbox"/>	4.11
8.6	• Qualitative/quantitative specifications with limits?	<input type="checkbox"/> <input type="checkbox"/> <input type="checkbox"/>	4.11
8.7	• Storage conditions?	<input type="checkbox"/> <input type="checkbox"/> <input type="checkbox"/>	4.11
8.8	• Maximum storage period?	<input type="checkbox"/> <input type="checkbox"/> <input type="checkbox"/>	4.11
	Goods Receiving		
8.9	Written procedures for the reception of deliveries? Do the records of receipt include	<input type="checkbox"/> <input type="checkbox"/> <input type="checkbox"/>	4.19
8.10	• Product name on labels and delivery note?	<input type="checkbox"/> <input type="checkbox"/> <input type="checkbox"/>	4.20
8.11	• Internal name and code?	<input type="checkbox"/> <input type="checkbox"/> <input type="checkbox"/>	4.20
8.12	• Receiving date?	<input type="checkbox"/> <input type="checkbox"/> <input type="checkbox"/>	4.20
8.13	• Name of supplier and/or manufacturer?	<input type="checkbox"/> <input type="checkbox"/> <input type="checkbox"/>	4.20
8.14	• Batch number of supplier?	<input type="checkbox"/> <input type="checkbox"/> <input type="checkbox"/>	4.20
8.15	• Total quantity and number of containers?	<input type="checkbox"/> <input type="checkbox"/> <input type="checkbox"/>	4.20
8.16	• Allocated internal batch number?	<input type="checkbox"/> <input type="checkbox"/> <input type="checkbox"/>	4.20

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		Compliance 1 2 3 ^a	Remarks	EU-Guide
8.17	SOPs for labeling, quarantine, and storage conditions of all incoming goods available? SOPs include	<input type="checkbox"/> <input type="checkbox"/> <input type="checkbox"/>		4.21
8.18	• authorized sampling personnel?	<input type="checkbox"/> <input type="checkbox"/> <input type="checkbox"/>		4.22
8.19	• methods, equipment, and quantities?	<input type="checkbox"/> <input type="checkbox"/> <input type="checkbox"/>		4.22
8.20	• safety measures?	<input type="checkbox"/> <input type="checkbox"/> <input type="checkbox"/>		4.22
Master Formulae				
8.21	Are master formulae for each product and batch size available?	<input type="checkbox"/> <input type="checkbox"/> <input type="checkbox"/>		4.3
8.22	Is the master formula approved and signed by the authorized persons? The master formula includes	<input type="checkbox"/> <input type="checkbox"/> <input type="checkbox"/>		4.3
8.23	• Product name and code?	<input type="checkbox"/> <input type="checkbox"/> <input type="checkbox"/>		4.14a
8.24	• Description of galenical form, dosage, and batch size?	<input type="checkbox"/> <input type="checkbox"/> <input type="checkbox"/>		4.14b
8.25	• All active ingredients with name, code, and weight?	<input type="checkbox"/> <input type="checkbox"/> <input type="checkbox"/>		4.14c
8.26	• All excipients used during manufacture with name, code, and weight?	<input type="checkbox"/> <input type="checkbox"/> <input type="checkbox"/>		4.14c
8.27	• Yields with limits?	<input type="checkbox"/> <input type="checkbox"/> <input type="checkbox"/>		4.14d
Does the working procedure include				
8.28	• The production line?	<input type="checkbox"/> <input type="checkbox"/> <input type="checkbox"/>		4.15a
8.29	• Equipment to be used?	<input type="checkbox"/> <input type="checkbox"/> <input type="checkbox"/>		4.15a
8.30	• Reference to methods for cleaning, assembling, and calibration of machines?	<input type="checkbox"/> <input type="checkbox"/> <input type="checkbox"/>		4.15b
8.31	• Detailed stepwise manufacturing prescription?	<input type="checkbox"/> <input type="checkbox"/> <input type="checkbox"/>		4.15c
8.32	• IPCs to be performed with limits?	<input type="checkbox"/> <input type="checkbox"/> <input type="checkbox"/>		4.15d
8.33	• Precautions to be followed?	<input type="checkbox"/> <input type="checkbox"/> <input type="checkbox"/>		4.15e
8.34	Are batch records kept for each batch processed? Do batch records include	<input type="checkbox"/> <input type="checkbox"/> <input type="checkbox"/>		4.17
8.35	• Protocol of line clearance?	<input type="checkbox"/> <input type="checkbox"/> <input type="checkbox"/>		4.17
8.36	• Name of the product and batch no.?	<input type="checkbox"/> <input type="checkbox"/> <input type="checkbox"/>		4.17a
8.37	• Date and time of start and end of production?	<input type="checkbox"/> <input type="checkbox"/> <input type="checkbox"/>		4.17b
8.38	• Name and initials of responsible workers for each step?	<input type="checkbox"/> <input type="checkbox"/> <input type="checkbox"/>		4.17c, d
8.39	• Batch and analytical no. and actual weight of all starting materials?	<input type="checkbox"/> <input type="checkbox"/> <input type="checkbox"/>		4.17e
8.40	• Equipment used?	<input type="checkbox"/> <input type="checkbox"/> <input type="checkbox"/>		4.17f
8.41	• Results of IPCs with initials of person who carries them out?	<input type="checkbox"/> <input type="checkbox"/> <input type="checkbox"/>		4.17g
8.42	• Yields of the relevant manufacturing steps?	<input type="checkbox"/> <input type="checkbox"/> <input type="checkbox"/>		4.17h
8.43	• Detailed notes on problems and process deviations?	<input type="checkbox"/> <input type="checkbox"/> <input type="checkbox"/>		4.17i
8.44	Records on reprocessing of batches? Packaging Instructions	<input type="checkbox"/> <input type="checkbox"/> <input type="checkbox"/>		
8.45	Packaging instructions for each product, package size, and presentation? Do they include	<input type="checkbox"/> <input type="checkbox"/> <input type="checkbox"/>		4.16
8.46	• Product name?	<input type="checkbox"/> <input type="checkbox"/> <input type="checkbox"/>		4.16a
8.47	• Description of galenical form and strength?	<input type="checkbox"/> <input type="checkbox"/> <input type="checkbox"/>		4.16b
8.48	• Package size?	<input type="checkbox"/> <input type="checkbox"/> <input type="checkbox"/>		4.17c
8.49	• List of all packaging materials with code for a standard batch size?	<input type="checkbox"/> <input type="checkbox"/> <input type="checkbox"/>		4.17d
8.50	• Samples of printed packaging materials?	<input type="checkbox"/> <input type="checkbox"/> <input type="checkbox"/>		4.17e
8.51	• Special precautions?	<input type="checkbox"/> <input type="checkbox"/> <input type="checkbox"/>		4.17f
8.52	• Description of the process and equipment?	<input type="checkbox"/> <input type="checkbox"/> <input type="checkbox"/>		4.17g
8.53	• IPCs to be performed with sampling instruction?	<input type="checkbox"/> <input type="checkbox"/> <input type="checkbox"/>		4.17h
8.54	Are packaging batch records kept for each batch or part batch? Do the packaging batch records include	<input type="checkbox"/> <input type="checkbox"/> <input type="checkbox"/>		4.18
8.55	• Protocol of line clearance?	<input type="checkbox"/> <input type="checkbox"/> <input type="checkbox"/>		4.18
8.56	• Name of the product?	<input type="checkbox"/> <input type="checkbox"/> <input type="checkbox"/>		4.18a
8.57	• Date and time when operations have been performed?	<input type="checkbox"/> <input type="checkbox"/> <input type="checkbox"/>		4.18b
8.58	• Name of the responsible person?	<input type="checkbox"/> <input type="checkbox"/> <input type="checkbox"/>		4.18c
8.59	• Initials of workers carrying out operations?	<input type="checkbox"/> <input type="checkbox"/> <input type="checkbox"/>		4.18d
8.60	• Notes on identity checks and conformity with packaging instructions?	<input type="checkbox"/> <input type="checkbox"/> <input type="checkbox"/>		4.18e
8.61	• Results of IPCs?	<input type="checkbox"/> <input type="checkbox"/> <input type="checkbox"/>		4.18e

(Continued)

		Compliance 1 2 3 ^a	Remarks	EU-Guide
8.62	• Details of operations and equipment used?	<input type="checkbox"/> <input type="checkbox"/> <input type="checkbox"/>		4.18f
8.63	• Samples of printed packaging materials with codes (MFD, EXP, batch no., etc.)?	<input type="checkbox"/> <input type="checkbox"/> <input type="checkbox"/>		4.18g
8.64	• Record of problems and process deviations?	<input type="checkbox"/> <input type="checkbox"/> <input type="checkbox"/>		4.18h
8.65	• Quantities of packaging materials delivered, used, destroyed, or returned?	<input type="checkbox"/> <input type="checkbox"/> <input type="checkbox"/>		4.18i
8.66	• No. of packs consumed?	<input type="checkbox"/> <input type="checkbox"/> <input type="checkbox"/>		4.18j
	Testing			
	Do the written testing procedures include			
8.67	• Test methods?	<input type="checkbox"/> <input type="checkbox"/> <input type="checkbox"/>		4.23
8.68	• Equipment for testing?	<input type="checkbox"/> <input type="checkbox"/> <input type="checkbox"/>		4.23
8.69	Tests documented?	<input type="checkbox"/> <input type="checkbox"/> <input type="checkbox"/>		4.23
	Others			
8.70	Procedures for release and rejection of materials and finished products?	<input type="checkbox"/> <input type="checkbox"/> <input type="checkbox"/>		4.24
8.71	Final release by authorized person?	<input type="checkbox"/> <input type="checkbox"/> <input type="checkbox"/>		4.24
8.72	Records about distribution of each batch?	<input type="checkbox"/> <input type="checkbox"/> <input type="checkbox"/>		4.25
	Procedures and protocols about			
8.73	• Validation?	<input type="checkbox"/> <input type="checkbox"/> <input type="checkbox"/>		4.26
8.74	• Setup and calibration of equipment?	<input type="checkbox"/> <input type="checkbox"/> <input type="checkbox"/>		4.26
8.75	• Maintenance, cleaning, and disinfection?	<input type="checkbox"/> <input type="checkbox"/> <input type="checkbox"/>		4.26
8.76	• Training records?	<input type="checkbox"/> <input type="checkbox"/> <input type="checkbox"/>		4.26
8.77	• Environmental monitoring of production areas?	<input type="checkbox"/> <input type="checkbox"/> <input type="checkbox"/>		4.26
8.78	• Pest control?	<input type="checkbox"/> <input type="checkbox"/> <input type="checkbox"/>		4.26
8.79	• Complaints?	<input type="checkbox"/> <input type="checkbox"/> <input type="checkbox"/>		4.26
8.80	• Recalls?	<input type="checkbox"/> <input type="checkbox"/> <input type="checkbox"/>		4.26
8.81	• Returned goods?	<input type="checkbox"/> <input type="checkbox"/> <input type="checkbox"/>		4.26
8.82	Instructions for use of manufacturing and testing equipment?	<input type="checkbox"/> <input type="checkbox"/> <input type="checkbox"/>		4.27
	Log books for major equipment including date and name of persons who performed			
8.83	• Validation?	<input type="checkbox"/> <input type="checkbox"/> <input type="checkbox"/>		4.28
8.84	• Calibration?	<input type="checkbox"/> <input type="checkbox"/> <input type="checkbox"/>		4.28
8.85	• Maintenance, cleaning, and repair works?	<input type="checkbox"/> <input type="checkbox"/> <input type="checkbox"/>		4.28
8.86	Chronological records of use of major equipment and manufacturing areas?	<input type="checkbox"/> <input type="checkbox"/> <input type="checkbox"/>		4.29
9	QUALITY CONTROL			6
	General Requirements			
9.1	Independent QC department available?	<input type="checkbox"/> <input type="checkbox"/> <input type="checkbox"/>		6.1
9.2	Head of QC well qualified and sufficiently experienced?	<input type="checkbox"/> <input type="checkbox"/> <input type="checkbox"/>		6.1
9.3	Qualified personnel available?	<input type="checkbox"/> <input type="checkbox"/> <input type="checkbox"/>		2.1
9.4	Organization charts available?	<input type="checkbox"/> <input type="checkbox"/> <input type="checkbox"/>		2.2
9.5	Job descriptions available?	<input type="checkbox"/> <input type="checkbox"/> <input type="checkbox"/>		2.2
9.6	Responsibilities clearly defined?	<input type="checkbox"/> <input type="checkbox"/> <input type="checkbox"/>		2.2
9.7	Continuous training programs for QC staff?	<input type="checkbox"/> <input type="checkbox"/> <input type="checkbox"/>		2.2
9.8	Initial job training for all employees?	<input type="checkbox"/> <input type="checkbox"/> <input type="checkbox"/>		2.9
9.9	Training records?	<input type="checkbox"/> <input type="checkbox"/> <input type="checkbox"/>		
9.10	QC personnel admitted to the production rooms for sampling, etc.?	<input type="checkbox"/> <input type="checkbox"/> <input type="checkbox"/>		
	QC Laboratories			
9.11	Suitable for the intended use?	<input type="checkbox"/> <input type="checkbox"/> <input type="checkbox"/>		3.26
9.12	Laboratories of adequate size?	<input type="checkbox"/> <input type="checkbox"/> <input type="checkbox"/>		3.26
9.13	Appropriate level of maintenance?	<input type="checkbox"/> <input type="checkbox"/> <input type="checkbox"/>		3.1
9.14	Adequate separation from the production area?	<input type="checkbox"/> <input type="checkbox"/> <input type="checkbox"/>		3.26
9.15	Controlled access of authorized personnel only?	<input type="checkbox"/> <input type="checkbox"/> <input type="checkbox"/>		3.5
9.16	Special laboratory to handle biological samples available?	<input type="checkbox"/> <input type="checkbox"/> <input type="checkbox"/>		3.29
9.17	Special laboratory to handle radioactive material available?	<input type="checkbox"/> <input type="checkbox"/> <input type="checkbox"/>		3.29
9.18	Separate recreation rooms for the personnel available?	<input type="checkbox"/> <input type="checkbox"/> <input type="checkbox"/>		3.30
9.19	Animal laboratories present?	<input type="checkbox"/> <input type="checkbox"/> <input type="checkbox"/>		3.33
9.20	Animal laboratories separated from other areas?	<input type="checkbox"/> <input type="checkbox"/> <input type="checkbox"/>		3.33
9.21	Animal laboratories equipped with a separate air-handling system?	<input type="checkbox"/> <input type="checkbox"/> <input type="checkbox"/>		3.33

(Continued)

		Compliance 1 2 3 ^a	Remarks	EU-Guide
	QC Documentation			
9.22	Do procedures exist for			
	• Self inspection?	<input type="checkbox"/> <input type="checkbox"/> <input type="checkbox"/>		
	• Release or rejection of products or raw material?	<input type="checkbox"/> <input type="checkbox"/> <input type="checkbox"/>		
	• Product complaints?	<input type="checkbox"/> <input type="checkbox"/> <input type="checkbox"/>		
	• Product recalls?	<input type="checkbox"/> <input type="checkbox"/> <input type="checkbox"/>		
	• Local stability testing?	<input type="checkbox"/> <input type="checkbox"/> <input type="checkbox"/>		
	• Storage of reference samples?	<input type="checkbox"/> <input type="checkbox"/> <input type="checkbox"/>		
	• Validation of analytical procedures?	<input type="checkbox"/> <input type="checkbox"/> <input type="checkbox"/>		
9.23	Specifications available for	<input type="checkbox"/> <input type="checkbox"/> <input type="checkbox"/>		
	• Raw materials?	<input type="checkbox"/> <input type="checkbox"/> <input type="checkbox"/>		
	• Bulk products?	<input type="checkbox"/> <input type="checkbox"/> <input type="checkbox"/>		
	• Packaging materials?	<input type="checkbox"/> <input type="checkbox"/> <input type="checkbox"/>		
9.24	Analytical procedures for every product?	<input type="checkbox"/> <input type="checkbox"/> <input type="checkbox"/>		
9.25	Are Basel methods followed?	<input type="checkbox"/> <input type="checkbox"/> <input type="checkbox"/>		
9.26	Validation of locally developed test methods?	<input type="checkbox"/> <input type="checkbox"/> <input type="checkbox"/>		
9.27	Sampling procedures available for			6.7
	• Raw materials?	<input type="checkbox"/> <input type="checkbox"/> <input type="checkbox"/>		
	• Bulk products?	<input type="checkbox"/> <input type="checkbox"/> <input type="checkbox"/>		
	• Packaging materials?	<input type="checkbox"/> <input type="checkbox"/> <input type="checkbox"/>		
9.28	Suppliers' certificates available?	<input type="checkbox"/> <input type="checkbox"/> <input type="checkbox"/>		6.7
9.29	Calibration program for analytical instruments installed?	<input type="checkbox"/> <input type="checkbox"/> <input type="checkbox"/>		6.7
9.30	Maintenance program for analytical instruments?	<input type="checkbox"/> <input type="checkbox"/> <input type="checkbox"/>		6.7
9.31	Retention system for QC records?	<input type="checkbox"/> <input type="checkbox"/> <input type="checkbox"/>		6.8
9.32	Batch documents stored for expiry + 1 year or 5 years (EEC 75/319, article 22) minimum?	<input type="checkbox"/> <input type="checkbox"/> <input type="checkbox"/>		6.8
9.33	Are original data such as notebooks stored in addition to the batch documents?	<input type="checkbox"/> <input type="checkbox"/> <input type="checkbox"/>		6.10
9.34	Can the original data be traced back easily and quickly from the analytical report number or batch number?	<input type="checkbox"/> <input type="checkbox"/> <input type="checkbox"/>		6.10
9.35	Are trend analyses being performed for			6.9
	• Analytical results?	<input type="checkbox"/> <input type="checkbox"/> <input type="checkbox"/>		
	• Yields?	<input type="checkbox"/> <input type="checkbox"/> <input type="checkbox"/>		
	• Environmental monitoring data?	<input type="checkbox"/> <input type="checkbox"/> <input type="checkbox"/>		
	Sampling			
9.36	Written procedures for taking samples?	<input type="checkbox"/> <input type="checkbox"/> <input type="checkbox"/>		6.11
9.37	Do procedures define			
	• Method of sampling?	<input type="checkbox"/> <input type="checkbox"/> <input type="checkbox"/>		
	• Necessary equipment?	<input type="checkbox"/> <input type="checkbox"/> <input type="checkbox"/>		
	• Quantity of the sample?	<input type="checkbox"/> <input type="checkbox"/> <input type="checkbox"/>		
	• Subdivision of the sample?	<input type="checkbox"/> <input type="checkbox"/> <input type="checkbox"/>		
	• Sample container?	<input type="checkbox"/> <input type="checkbox"/> <input type="checkbox"/>		
	• Labeling of samples?	<input type="checkbox"/> <input type="checkbox"/> <input type="checkbox"/>		
	• Storage conditions?	<input type="checkbox"/> <input type="checkbox"/> <input type="checkbox"/>		
	• Cleaning and storage of sampling equipment?	<input type="checkbox"/> <input type="checkbox"/> <input type="checkbox"/>		
	• Identification of containers sampled?	<input type="checkbox"/> <input type="checkbox"/> <input type="checkbox"/>		
9.38	Are samples representative of the batch they are taken from (sampling plan)?	<input type="checkbox"/> <input type="checkbox"/> <input type="checkbox"/>		6.12
9.39	Are critical steps being surveilled and validated by additional sampling (e.g., at the beginning or end of a process)?	<input type="checkbox"/> <input type="checkbox"/> <input type="checkbox"/>		6.12
9.40	Sample containers labeled with			6.13
	• Name of the content?	<input type="checkbox"/> <input type="checkbox"/> <input type="checkbox"/>		
	• Batch number?	<input type="checkbox"/> <input type="checkbox"/> <input type="checkbox"/>		
	• Date of sampling?	<input type="checkbox"/> <input type="checkbox"/> <input type="checkbox"/>		
	• Batch containers sampled?	<input type="checkbox"/> <input type="checkbox"/> <input type="checkbox"/>		
9.41	Are samples taken by QC/QA?	<input type="checkbox"/> <input type="checkbox"/> <input type="checkbox"/>		
9.42	Reference samples retained for validity +1 year?	<input type="checkbox"/> <input type="checkbox"/> <input type="checkbox"/>		6.14

(Continued)

		Compliance 1 2 3 ^a	Remarks	EU-Guide
9.43	Storage of reference samples under the recommended storage conditions?	<input type="checkbox"/> <input type="checkbox"/> <input type="checkbox"/>		6.14
9.44	Finished products stored in the final packaging?	<input type="checkbox"/> <input type="checkbox"/> <input type="checkbox"/>		6.14
9.45	Quantity of the reference sample makes one (better two) complete reanalysis possible?	<input type="checkbox"/> <input type="checkbox"/> <input type="checkbox"/>		6.14
9.46	Sample room secure?	Yes No		6.14
9.47	Sample room neatly organized and not overcrowded?	Yes No		6.14
	Testing			
9.48	Are the applied analytical methods validated?	<input type="checkbox"/> <input type="checkbox"/> <input type="checkbox"/>		6.15
9.49	Analytical methods in compliance with the registration?	<input type="checkbox"/> <input type="checkbox"/> <input type="checkbox"/>		6.16
9.50	Are all results recorded and checked for correctness?	<input type="checkbox"/> <input type="checkbox"/> <input type="checkbox"/>		6.16
9.51	Are all calculations checked?	<input type="checkbox"/> <input type="checkbox"/> <input type="checkbox"/>		6.16
9.52	Do the testing protocols contain			6.17
	• Name and galenical form of material?	<input type="checkbox"/> <input type="checkbox"/> <input type="checkbox"/>		
	• Batch number?	<input type="checkbox"/> <input type="checkbox"/> <input type="checkbox"/>		
	• Supplier if applicable?	<input type="checkbox"/> <input type="checkbox"/> <input type="checkbox"/>		
	• Specification reference?	<input type="checkbox"/> <input type="checkbox"/> <input type="checkbox"/>		
	• Method reference?	<input type="checkbox"/> <input type="checkbox"/> <input type="checkbox"/>		
	• Analytical results?	<input type="checkbox"/> <input type="checkbox"/> <input type="checkbox"/>		
	• Reference to analytical certificates?	<input type="checkbox"/> <input type="checkbox"/> <input type="checkbox"/>		
	• Date of the analysis?	<input type="checkbox"/> <input type="checkbox"/> <input type="checkbox"/>		
	• Name of the analyst?	<input type="checkbox"/> <input type="checkbox"/> <input type="checkbox"/>		
	• Name of the person verifying the data?	<input type="checkbox"/> <input type="checkbox"/> <input type="checkbox"/>		
	• Statement of release or rejection?	<input type="checkbox"/> <input type="checkbox"/> <input type="checkbox"/>		
	• Date and signature of the release person?	<input type="checkbox"/> <input type="checkbox"/> <input type="checkbox"/>		
9.53	Are all IPC methods in production approved by QC?	<input type="checkbox"/> <input type="checkbox"/> <input type="checkbox"/>		6.18
9.54	Are written methods available for the preparation of reagents and volumetric solutions?	<input type="checkbox"/> <input type="checkbox"/> <input type="checkbox"/>		6.19
9.55	Is a record maintained of standardization of volumetric solutions?	<input type="checkbox"/> <input type="checkbox"/> <input type="checkbox"/>		6.2
9.56	Are reagents for prolonged use labeled with			6.20
	• Date of the preparation?	<input type="checkbox"/> <input type="checkbox"/> <input type="checkbox"/>		
	• Signature of the preparator?	<input type="checkbox"/> <input type="checkbox"/> <input type="checkbox"/>		
9.57	Are unstable reagents labeled with			6.20
	• Expiry date?	<input type="checkbox"/> <input type="checkbox"/> <input type="checkbox"/>		
	• Storage conditions?	<input type="checkbox"/> <input type="checkbox"/> <input type="checkbox"/>		
9.58	Are volumetric solutions labeled with			6.20
	• The last date of standardization?	<input type="checkbox"/> <input type="checkbox"/> <input type="checkbox"/>		
	• Last current factor?	<input type="checkbox"/> <input type="checkbox"/> <input type="checkbox"/>		
9.59	Are reference standards labeled with			6.21
	• Name and potency?	<input type="checkbox"/> <input type="checkbox"/> <input type="checkbox"/>		
	• Supplier's reference?	<input type="checkbox"/> <input type="checkbox"/> <input type="checkbox"/>		
	• Date of receipt?	<input type="checkbox"/> <input type="checkbox"/> <input type="checkbox"/>		
	• Date of expiry?	<input type="checkbox"/> <input type="checkbox"/> <input type="checkbox"/>		
9.60	Are reference standards stored properly and under the control of a designated person?	<input type="checkbox"/> <input type="checkbox"/> <input type="checkbox"/>		
9.61	Are animals used for testing of components, materials, or products			
	• Quarantined before use?	<input type="checkbox"/> <input type="checkbox"/> <input type="checkbox"/>		
	• Checked for suitability?	<input type="checkbox"/> <input type="checkbox"/> <input type="checkbox"/>		
	• Are records maintained showing the history of their use?	<input type="checkbox"/> <input type="checkbox"/> <input type="checkbox"/>		
10	COMPLAINTS AND PRODUCT RECALLS			8
	Complaints			
10.1	Does a written complaint procedure exist?	<input type="checkbox"/> <input type="checkbox"/> <input type="checkbox"/>		8.1
10.2	Are product complaints carefully reviewed?	<input type="checkbox"/> <input type="checkbox"/> <input type="checkbox"/>		8.2
10.3	Is a person designated to handle complaints and to decide on measures to be taken?	<input type="checkbox"/> <input type="checkbox"/> <input type="checkbox"/>		8.1
10.4	Is each complaint concerning a product recorded with all original details?	<input type="checkbox"/> <input type="checkbox"/> <input type="checkbox"/>		8.3

(Continued)

	Compliance 1 2 3 ^a	Remarks	EU-Guide
10.5	<input type="checkbox"/> <input type="checkbox"/> <input type="checkbox"/>		8.3
10.6	<input type="checkbox"/> <input type="checkbox"/> <input type="checkbox"/>		8.3
10.7	<input type="checkbox"/> <input type="checkbox"/> <input type="checkbox"/>		8.4
10.8	<input type="checkbox"/> <input type="checkbox"/> <input type="checkbox"/>		8.5
10.9	<input type="checkbox"/> <input type="checkbox"/> <input type="checkbox"/>		8.5
10.10	<input type="checkbox"/> <input type="checkbox"/> <input type="checkbox"/>		8.6
10.11	<input type="checkbox"/> <input type="checkbox"/> <input type="checkbox"/>		8.7
			Recalls
10.12	<input type="checkbox"/> <input type="checkbox"/> <input type="checkbox"/>		8.8
10.13	<input type="checkbox"/> <input type="checkbox"/> <input type="checkbox"/>		8.9
10.14	<input type="checkbox"/> <input type="checkbox"/> <input type="checkbox"/>		8.8
10.15	<input type="checkbox"/> <input type="checkbox"/> <input type="checkbox"/>		8.11
10.16	<input type="checkbox"/> <input type="checkbox"/> <input type="checkbox"/>		8.12
10.17	<input type="checkbox"/> <input type="checkbox"/> <input type="checkbox"/>		8.12
			• Addresses?
	<input type="checkbox"/> <input type="checkbox"/> <input type="checkbox"/>		• Phone numbers inside or outside working hours?
	<input type="checkbox"/> <input type="checkbox"/> <input type="checkbox"/>		• Batches and amounts delivered?
	<input type="checkbox"/> <input type="checkbox"/> <input type="checkbox"/>		• Medical samples?
10.18	<input type="checkbox"/> <input type="checkbox"/> <input type="checkbox"/>		8.13
10.19	<input type="checkbox"/> <input type="checkbox"/> <input type="checkbox"/>		8.14
10.20	<input type="checkbox"/> <input type="checkbox"/> <input type="checkbox"/>		8.15
11			SELF-INSPECTION
11.1	<input type="checkbox"/> <input type="checkbox"/> <input type="checkbox"/>		9
11.2	<input type="checkbox"/> <input type="checkbox"/> <input type="checkbox"/>		9.1
11.3	<input type="checkbox"/> <input type="checkbox"/> <input type="checkbox"/>		9.1
11.4	<input type="checkbox"/> <input type="checkbox"/> <input type="checkbox"/>		9.2
11.5	<input type="checkbox"/> <input type="checkbox"/> <input type="checkbox"/>		9.3
11.6	<input type="checkbox"/> <input type="checkbox"/> <input type="checkbox"/>		9.3
12			CONTRACT MANUFACTURE AND ANALYSIS
12.1	<input type="checkbox"/> <input type="checkbox"/> <input type="checkbox"/>		7
12.2	<input type="checkbox"/> <input type="checkbox"/> <input type="checkbox"/>		7.1
12.3	<input type="checkbox"/> <input type="checkbox"/> <input type="checkbox"/>		7
12.4	<input type="checkbox"/> <input type="checkbox"/> <input type="checkbox"/>		7.2
			The Contract Giver
12.5	<input type="checkbox"/> <input type="checkbox"/> <input type="checkbox"/>		7.3
12.6	<input type="checkbox"/> <input type="checkbox"/> <input type="checkbox"/>		7.4
12.7	<input type="checkbox"/> <input type="checkbox"/> <input type="checkbox"/>		7.4
12.8	<input type="checkbox"/> <input type="checkbox"/> <input type="checkbox"/>		7.5
			The Contract Acceptor
12.9	<input type="checkbox"/> <input type="checkbox"/> <input type="checkbox"/>		7.6
	<input type="checkbox"/> <input type="checkbox"/> <input type="checkbox"/>		• Adequate premises and equipment?
	<input type="checkbox"/> <input type="checkbox"/> <input type="checkbox"/>		• Knowledge and experience?
	<input type="checkbox"/> <input type="checkbox"/> <input type="checkbox"/>		• Competent personnel?
	<input type="checkbox"/> <input type="checkbox"/> <input type="checkbox"/>		• A manufacturing authorization?

(Continued)

		Compliance 1 2 3 ^a	Remarks	EU-Guide
12.10	Does the acceptor ensure that all products or materials delivered to him or her are suitable?	<input type="checkbox"/> <input type="checkbox"/> <input type="checkbox"/>		7.7
12.11	There must be no work passed to a third party without the permission of the giver.	<input type="checkbox"/> <input type="checkbox"/> <input type="checkbox"/>		7.8
12.12	If a third party is involved, it must have the necessary manufacturing and analytical information.	<input type="checkbox"/> <input type="checkbox"/> <input type="checkbox"/>		7.8
The Contract				
12.13	Does the written contract specify the responsibilities?	<input type="checkbox"/> <input type="checkbox"/> <input type="checkbox"/>		7.10
12.14	Have technical aspects been drawn up by competent persons?	<input type="checkbox"/> <input type="checkbox"/> <input type="checkbox"/>		7.10
12.15	Release of material and check for compliance with the marketing authorization defined?	<input type="checkbox"/> <input type="checkbox"/> <input type="checkbox"/>		7.11
12.16	Is it defined who is responsible for			7.12
	• Purchasing of materials?	<input type="checkbox"/> <input type="checkbox"/> <input type="checkbox"/>		
	• IPC controls?	<input type="checkbox"/> <input type="checkbox"/> <input type="checkbox"/>		
	• Testing and release of materials?	<input type="checkbox"/> <input type="checkbox"/> <input type="checkbox"/>		
	• Manufacturing and quality control?	<input type="checkbox"/> <input type="checkbox"/> <input type="checkbox"/>		
	• Sampling?	<input type="checkbox"/> <input type="checkbox"/> <input type="checkbox"/>		
	• Storage of batch documentation?	<input type="checkbox"/> <input type="checkbox"/> <input type="checkbox"/>		
12.17	Are manufacturing, analytical, and distribution records available to the contract giver?	<input type="checkbox"/> <input type="checkbox"/> <input type="checkbox"/>		7.13
12.18	Does the contract permit the giver to visit the facilities of the acceptor?	<input type="checkbox"/> <input type="checkbox"/> <input type="checkbox"/>		7.14
12.19	In the case of contract analysis: Does the contract acceptor understand that he or she is subject to inspection by the competent authorities?	<input type="checkbox"/> <input type="checkbox"/> <input type="checkbox"/>		7.15
13	AUDIT OF SUPPLIERS			2.7
13.1	Supplier audits performed for	<input type="checkbox"/> <input type="checkbox"/> <input type="checkbox"/>		
	• Excipients?	<input type="checkbox"/> <input type="checkbox"/> <input type="checkbox"/>		
	• Active substances?	<input type="checkbox"/> <input type="checkbox"/> <input type="checkbox"/>		
	• Packaging material?	<input type="checkbox"/> <input type="checkbox"/> <input type="checkbox"/>		

^a 1. Fulfilled or available; 2. partially fulfilled; 3. not fulfilled or not available.

GLOSSARY

Acceptance Criteria: Numerical limits, ranges, or other suitable measures for acceptance of test results.

Active Pharmaceutical Ingredient (API) (or Drug Substance): Any substance or mixture of substances intended to be used in the manufacture of a drug (medicinal) product and that, when used in the production of a drug, becomes an active ingredient of the drug product. Such substances are intended to furnish pharmacological activity or other direct effect in the diagnosis, cure, mitigation, treatment, or prevention of disease or to affect the structure and function of the body.

Air Lock: An enclosed space with two or more doors, which is interposed between two or more rooms, for example, of differing classes of cleanliness, for the purpose of controlling the airflow between those rooms when they need to be entered. An air lock is designed for use either by people or for goods and/or equipment.

API Starting Material: A raw material, intermediate, or API that is used in the production of an API and that is incorporated as a significant structural fragment into the structure of the API. An API Starting Material can be an article of commerce, a material

purchased from one or more suppliers under contract or commercial agreement, or produced in house. API Starting Materials are normally of defined chemical properties and structure.

Authorized Person: The person recognized by the national regulatory authority as having the responsibility for ensuring that each batch of finished product has been manufactured, tested, and approved for release in compliance with the laws and regulations in force in that country.

Batch (or Lot): A specific quantity of material produced in a process or series of processes so that it is expected to be homogeneous within specified limits. In the case of continuous production, a batch may correspond to a defined fraction of the production. The batch size can be defined either by a fixed quantity or by the amount produced in a fixed time interval.

Batch Number (or Lot Number): A unique combination of numbers, letters, and/or symbols that identifies a batch (or lot) and from which the production and distribution history can be determined.

Batch Records: All documents associated with the manufacture of a batch of bulk product or finished product.

They provide a history of each batch of product and of all circumstances pertinent to the quality of the final product.

Bioburden: The level and type (e.g., objectionable or not) of microorganisms that can be present in raw materials, API starting materials, intermediates, or APIs. Bioburden should not be considered contamination unless the levels have been exceeded or defined objectionable organisms have been detected.

Bulk Product: Any product that has completed all processing stages up to, but not including, final packaging.

Calibration: The demonstration that a particular instrument or device produces results within specified limits by comparison with those produced by a reference or traceable standard over an appropriate range of measurements. The set of operations that establish, under specified conditions, the relationship between values indicated by an instrument or system for measuring (especially weighing), recording, and controlling, or the values represented by a material measure, and the corresponding known values of a reference standard. Limits for acceptance of the results of measuring should be established.

Clean Area: An area with defined environmental control of particulate and microbial contamination, constructed and used in such a way as to reduce the introduction, generation, and retention of contaminants within the area.

Computer System: A group of hardware components and associated software designed and assembled to perform a specific function or group of functions. A process or operation integrated with a computer system.

Consignment (or Delivery): The quantity of a pharmaceutical(s) made by one manufacturer and supplied at one time in response to a particular request or order. A consignment may comprise one or more packages or containers and may include material belonging to more than one batch.

Contamination: The undesired introduction of impurities of a chemical or microbiological nature, or of foreign matter, into or on to a starting material or intermediate during production, sampling, packaging or repackaging, and storage or transport.

Contract Manufacturer: A manufacturer performing some aspect of manufacturing on behalf of the original manufacturer.

Critical: Describes a process step, process condition, test requirement, or other relevant parameter or item that must be controlled within predetermined criteria to ensure that the API meets its specification.

Critical Operation: An operation in the manufacturing process that may cause variation in the quality of the pharmaceutical product.

Cross-Contamination: Contamination of a material or product with another material or product. Contamination of a starting material, intermediate product, or

finished product with another starting material or product during production.

Deviation: Departure from an approved instruction or established standard.

Drug (Medicinal) Product: The dosage form in the final immediate packaging intended for marketing. (See ICH Guidance Q1A.)

Drug Substance: See Active Pharmaceutical Ingredient.

Expiry Date (or Expiration Date): The date placed on the container/labels of an API designating the time during which the API is expected to remain within established shelf-life specifications if stored under defined conditions, and after which it should not be used.

Finished Product: A finished dosage form that has undergone all stages of manufacture, including packaging in its final container and labeling.

Impurity: Any component present in the intermediate or API that is not the desired entity.

Impurity Profile: A description of the identified and unidentified impurities present in an API.

In-Process Control: Checks performed during production in order to monitor and if necessary, to adjust the process to ensure that the product conforms to its specifications. The control of the environment or equipment may also be regarded as a part of in-process control.

Intermediate: A material produced during steps of the processing of an API that undergoes further molecular change or purification before it becomes an API. Intermediates may or may not be isolated. Partly processed product that must undergo further manufacturing steps before it becomes a bulk product.

Large-Volume Parenterals: Sterile solutions intended for parenteral application with a volume of 100 mL or more in one container of the finished dosage form.

Lot: See Batch.

Lot Number: See Batch Number.

Manufacture: All operations of receipt of materials, production, packaging, repackaging, labeling, relabeling, quality control, release, storage, and distribution of APIs and related controls.

Manufacturer: A company that carries out operations such as production, packaging, repackaging, labeling, and relabeling of pharmaceuticals.

Marketing Authorization (Product License, Registration Certificate): A legal document issued by the competent drug regulatory authority that establishes the detailed composition and formulation of the product and the pharmacopoeial or other recognized specifications of its ingredients and of the final product itself, and includes details of packaging, labeling, and shelf life.

Master Formula: A document or set of documents specifying the starting materials with their quantities and the packaging materials, together with a description of the procedures and precautions required to

produce a specified quantity of a finished product as well as the processing instructions, including the in-process controls.

Master Record: A document or set of documents that serve as a basis for the batch documentation (blank batch record).

Material: A general term used to denote raw materials (starting materials, reagents, and solvents), process aids, intermediates, APIs, and packaging and labeling materials.

Mother Liquor: The residual liquid that remains after the crystallization or isolation processes. A mother liquor may contain unreacted materials, intermediates, levels of the API, and/or impurities. It may be used for further processing.

Packaging: All operations, including filling and labeling, that a bulk product has to undergo in order to become a finished product. Filling of a sterile product under aseptic conditions, or a product intended to be terminally sterilized, would not normally be regarded as part of packaging.

Packaging Material: Any material intended to protect an intermediate or API during storage and transport. Any material, including printed material, employed in the packaging of a pharmaceutical, but excluding any outer packaging used for transportation or shipment. Packaging materials are referred to as primary or secondary according to whether or not they are intended to be in direct contact with the product.

Pharmaceutical Product: Any material or product intended for human or veterinary use presented in its finished dosage form, or as a starting material for use in such a dosage form, that is subject to control by pharmaceutical legislation in the exporting state and/or the importing state.

Procedure: A documented description of the operations to be performed, the precautions to be taken, and measures to be applied directly or indirectly related to the manufacture of an intermediate or API.

Process Aids: Materials, excluding solvents, used as an aid in the manufacture of an intermediate or API that do not themselves participate in a chemical or biological reaction (e.g., filter aid, activated carbon, and so on).

Process Control: See In-Process Control.

Production: All operations involved in the preparation of a pharmaceutical product, from receipt of materials, through processing, packaging and repackaging, and labeling and relabeling, to completion of the finished product.

Qualification: Action of proving and documenting that equipment or ancillary systems are properly installed, work correctly, and actually lead to the expected results. Qualification is part of validation, but the individual qualification steps alone do not constitute process validation.

Quality Assurance (QA): The sum total of the organized arrangements made with the object of ensuring that all APIs are of the quality required for their intended use and that quality systems are maintained.

Quality Control (QC): Checking or testing that specifications are met.

Quality Unit(s): An organizational unit independent of production that fulfills both Quality Assurance and Quality Control responsibilities. This can be in the form of separate QA and QC units or a single individual or group, depending upon the size and structure of the organization.

Quarantine: The status of starting or packaging materials, intermediates, or bulk or finished products isolated physically or by other effective means while a decision is awaited on their release, rejection, or reprocessing.

Raw Material: A general term used to denote starting materials, reagents, and solvents intended for use in the production of intermediates or APIs.

Reconciliation: A comparison between the theoretical quantity and the actual quantity.

Recovery: The introduction of all or part of previous batches (or of redistilled solvents and similar products) of the required quality into another batch at a defined stage of manufacture. It includes the removal of impurities from waste to obtain a pure substance or the recovery of used materials for a separate use.

Reference Standard, Primary: A substance that has been shown by an extensive set of analytical tests to be authentic material that should be of high purity.

Reference Standard, Secondary: A substance of established quality and purity, as shown by comparison to a primary reference standard, used as a reference standard for routine laboratory analysis.

Reprocessing: Subjecting all or part of a batch or lot of an in-process drug, bulk process intermediate (final biological bulk intermediate), or bulk product of a single batch/lot to a previous step in the validated manufacturing process due to failure to meet predetermined specifications. Reprocessing procedures are foreseen as occasionally necessary for biological drugs and in such cases, are validated and preapproved as part of the marketing authorization.

Retest Date: The date when a material should be reexamined to ensure that it is still suitable for use.

Reworking: Subjecting an in-process or bulk process intermediate (final biological bulk intermediate) or final product of a single batch to an alternate manufacturing process due to a failure to meet predetermined specifications. Reworking is an unexpected occurrence and is not preapproved as part of the marketing authorization.

Self-Contained Area: Premises that provide complete and total separation of all aspects of an operation, including personnel and equipment movement, with

well-established procedures, controls, and monitoring. This includes physical barriers as well as separate air-handling systems but does not necessarily imply two distinct and separate buildings.

Signature (Signed): See definition for signed.

Signed (Signature): The record of the individual who performed a particular action or review. This record can be initials, a full handwritten signature, a personal seal, or an authenticated and secure electronic signature.

Solvent: An inorganic or organic liquid used as a vehicle for the preparation of solutions or suspensions in the manufacture of an intermediate or API.

Specification: A list of detailed requirements with which the products or materials used or obtained during manufacture have to conform. They serve as a basis for quality evaluation.

Standard Operating Procedure (SOP): An authorized written procedure giving instructions for performing operations not necessarily specific to a given product or material (e.g., equipment operation, maintenance, and cleaning; validation; cleaning of premises and environmental control; sampling and inspection). Certain SOPs may be used to supplement product-specific master and batch production documentation.

Starting Material: Any substance of a defined quality used in the production of a pharmaceutical product, but excluding packaging materials.

Validation: A documented program that provides a high degree of assurance that a specific process, method, or system will consistently produce a result meeting predetermined acceptance criteria. Action of proving, in accordance with the principles of GMP, that any procedure, process, equipment, material, activity, or system actually leads to the expected results (see also Qualification).

Validation Protocol: A written plan stating how validation will be conducted and defining acceptance criteria. For example, the protocol for a manufacturing process identifies processing equipment, critical process parameters/operating ranges, product characteristics, sampling, test data to be collected, number of validation runs, and acceptable test results.

Yield, Expected: The quantity of material or the percentage of theoretical yield anticipated at any appropriate phase of production based on previous laboratory, pilot-scale, or manufacturing data.

Yield, Theoretical: The quantity that would be produced at any appropriate phase of production, based upon the quantity of material to be used, in the absence of any loss or error in actual production.



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Appendix B

INGREDIENTS

Ingredient	Route	Dosage Form	Dose	Unit
1,2-DIMYRISTOYL-SN-GLYCERO-3-[PHOSP HO-S-(1-GLYCEROL)]	IV(INFUSION)	SUSPENSION, INJECTION	0.15	%
1,2-DIOLEOYL-SN-GLYCERO-3-PHOSPHOCHOLINE	EPIDURAL	INJECTION, SUSPENSION, LIPOSOMAL	0.42	%
1,2-DIPALMITOYL-SN-GLYCERO-3-[PHOSPHO- RAC-(1-GLYCEROL)]	EPIDURAL	INJECTION, SUSPENSION, LIPOSOMAL	0.09	%
1,2-DISTEAROYL-SN-GLYCERO-3-[PHOSPHO-RAC -(1-GLYCEROL)]	INTRAVENOUS	INJECTION, POWDER, LYOPHILIZED, FOR LIPOSOMAL SUSPENSION	8.4	%
1,2-DISTEAROYL-SN-GLYCERO-3- PHOSPHOCHOLINE	INTRAVENOUS	SOLUTION, LIPOSOME, INJECTION	2.804	%
1-METHYL-2-PYRROLIDINONE	SUBCUTANEOUS	INJECTION	25.85	%
ACESULFAME POTASSIUM	DENTAL	SOLUTION	0.12	%
ACETIC ACID	INTRAVENOUS	INJECTABLE	0.01	%
ACETIC ACID	INTRAMUSCULAR	INJECTION	0.02	%
ACETIC ACID	OPHTHALMIC	SOLUTION	0.043	%
ACETIC ACID	IV(INFUSION)	INJECTION	1	%
ACETIC ACID	INTRAVENOUS	INJECTION	12.75	%
ACETIC ACID, GLACIAL	SUBCUTANEOUS	LIQUID	0.0107	%
ACETIC ACID, GLACIAL	SUBCUTANEOUS	POWDER, FOR INJECTION SOLUTION, LYOPHILIZED	0.041	%
ACETIC ACID, GLACIAL	INTRAVENOUS	INJECTABLE	0.046	%
ACETIC ACID, GLACIAL	INTRAVENOUS	SOLUTION, INJECTION	0.051	%
ACETIC ACID, GLACIAL	OPHTHALMIC	SOLUTION, DROPS	0.09	%
ACETIC ACID, GLACIAL	SUBCUTANEOUS	SOLUTION, INJECTION	0.11	%
ACETIC ACID, GLACIAL	IM-SC	INJECTION	0.2	%
ACETIC ACID, GLACIAL	IV-SC	INJECTION	0.2	%
ACETIC ACID, GLACIAL	IV-SC	LIQUID	0.2	%
ACETIC ACID, GLACIAL	OPHTHALMIC	SOLUTION	0.2	%
ACETIC ACID, GLACIAL	IM-IV	INJECTION	0.25	%
ACETIC ACID, GLACIAL	INTRAMUSCULAR	INJECTION	0.25	%
ACETIC ACID, GLACIAL	IV(INFUSION)	POWDER, FOR INJECTION SOLUTION, LYOPHILIZED	0.27	%
ACETIC ACID, GLACIAL	IM-IV-SC	INJECTION	0.352	%
ACETIC ACID, GLACIAL	INTRAVENOUS	INJECTION	0.36	%
ACETIC ACID, GLACIAL	IV(INFUSION)	INJECTION	0.44	%
ACETIC ACID, GLACIAL	IV(INFUSION)	SOLUTION, INJECTION	0.715	%
ACETIC ACID, GLACIAL	AURICULAR (OTIC)	SUSPENSION, LIQUID	2.55	%
ACETONE SODIUM BISULFITE	INHALATION	SOLUTION	0.5003	%
ACETYLCYSTEINE	INHALATION	SOLUTION	0.5	%
ACETYLTRYPHOPHAN	INTRAVENOUS	INJECTION	0.02	%
ADIPIC ACID	INTRAMUSCULAR	INJECTION	1	%
ALANINE	IV(INFUSION)	SOLUTION, INJECTION	21	%
ALANINE	IV(INFUSION)	INJECTION	77	%
ALBUMIN AGGREGATED	INTRAVENOUS	INJECTION	0.15	%
ALBUMIN COLLOIDAL	INTRAVENOUS	POWDER, FOR INJECTION SOLUTION	0.1	%
ALBUMIN HUMAN	SUBCUTANEOUS	INJECTABLE	0.1	%

(Continued)

Ingredient	Route	Dosage Form	Dose	Unit
ALBUMIN HUMAN	INTRAVENOUS	INJECTION	1	%
ALBUMIN HUMAN	INTRAVENOUS	POWDER, FOR INJECTION SOLUTION	1	%
ALBUMIN HUMAN	IV(INFUSION)	INJECTION	1	%
ALBUMIN HUMAN	IV(INFUSION)	POWDER, FOR INJECTION SOLUTION, LYOPHILIZED	80	%
ALBUMIN MICROSPHERE HUMAN SERUM	INTRAVENOUS	INJECTION	0.5	%
ALCOHOL	RESPIRATORY (INHALATION)	SOLUTION, FOR INHALATION	0.081	%
ALCOHOL	OPHTHALMIC	SOLUTION	0.5	%
ALCOHOL	IV-SC	INJECTION	0.945	%
ALCOHOL	OPHTHALMIC	SOLUTION, DROPS	1.4	%
ALCOHOL	IM-IV-SC	INJECTION	6.1	%
ALCOHOL	IM-IV	SOLUTION, INJECTION	10	%
ALCOHOL	INTRAMUSCULAR	SOLUTION, INJECTION	10	%
ALCOHOL	IM-IV	INJECTION	11	%
ALCOHOL	INTRAMUSCULAR	INJECTION	12	%
ALCOHOL	DENTAL	SOLUTION	12.8	%
ALCOHOL	INHALATION	SOLUTION	25	%
ALCOHOL	IV(INFUSION)	SOLUTION, INJECTION	30	%
ALCOHOL	INHALATION	AEROSOL, SPRAY	33	%
ALCOHOL	IV(INFUSION)	INJECTION	34.3	%
ALCOHOL	INTRAVENOUS	SOLUTION, INJECTION	40	%
ALCOHOL	INTRAVENOUS	INJECTION	49	%
ALCOHOL	INHALATION	AEROSOL, METERED	95.89	%
ALCOHOL, DEHYDRATED	IV(INFUSION)	POWDER, FOR INJECTION SOLUTION, LYOPHILIZED	0.0365	%
ALCOHOL, DEHYDRATED	OPHTHALMIC	SOLUTION	0.5	%
ALCOHOL, DEHYDRATED	OPHTHALMIC	SOLUTION, DROPS	0.5	%
ALCOHOL, DEHYDRATED	NASAL	AEROSOL, METERED	0.7	%
ALCOHOL, DEHYDRATED	NASAL	AEROSOL	2	%
ALCOHOL, DEHYDRATED	IM-IV-SC	INJECTION	4.93	%
ALCOHOL, DEHYDRATED	EXTRACORPOREAL	SOLUTION	5	%
ALCOHOL, DEHYDRATED	PHOTOPHERESIS	SOLUTION	5	%
ALCOHOL, DEHYDRATED	IM-IV	INJECTABLE	10	%
ALCOHOL, DEHYDRATED	IM-IV	SOLUTION, INJECTION	10	%
ALCOHOL, DEHYDRATED	INTRAMUSCULAR	INJECTION	10	%
ALCOHOL, DEHYDRATED	INTRAMUSCULAR	SOLUTION, INJECTION	10	%
ALCOHOL, DEHYDRATED	RESPIRATORY (INHALATION)	AEROSOL, METERED	10	%
ALCOHOL, DEHYDRATED	DENTAL	SOLUTION	11.34	%
ALCOHOL, DEHYDRATED	IM-IV	INJECTION	20	%
ALCOHOL, DEHYDRATED	INHALATION	AEROSOL, METERED	34.548	%
ALCOHOL, DEHYDRATED	INTRAVENOUS	SOLUTION, CONCENTRATE	39.46	%
ALCOHOL, DEHYDRATED	INTRAVENOUS	INJECTION	40	%
ALCOHOL, DEHYDRATED	IV(INFUSION)	SOLUTION, INJECTION	49.7	%
ALCOHOL, DEHYDRATED	INTRAVESICAL	SOLUTION	50	%
ALCOHOL, DEHYDRATED	IV(INFUSION)	INJECTION	80	%
ALCOHOL, DENATURED	DENTAL	GEL	1.8	%
ALCOHOL, DILUTED	IM-IV	INJECTION	10	%
ALFADEX	INTRACAVITARY	POWDER, FOR INJECTION SOLUTION, LYOPHILIZED	0.129	%
ALGINIC ACID	OPHTHALMIC	SUPPOSITORY, INSERT, CONTROLLED RELEASE	1	MG
ALPHA-TOCOPHEROL	INTRAVENOUS	INJECTION, POWDER, LYOPHILIZED, FOR LIPOSOMAL SUSPENSION	0.064	%

(Continued)

Ingredient	Route	Dosage Form	Dose	Unit
DL-ALPHA-TOCOPHEROL	INTRAVENOUS	SOLUTION, CONCENTRATE	0.075	%
ALUMINUM ACETATE	AURICULAR (OTIC)	SOLUTION	0.79	%
ALUMINUM SULFATE	AURICULAR (OTIC)	SOLUTION	0.79	%
AMERCHOL-CAB	OPHTHALMIC	OINTMENT	0.002	%
AMMONIUM ACETATE	INTRAMUSCULAR	INJECTION	0.4	%
AMMONIUM ACETATE	INTRAVENOUS	INJECTION	0.4	%
AMMONIUM ACETATE	IV(INFUSION)	INJECTION	0.4	%
AMMONIUM HYDROXIDE	INTRAVENOUS	INJECTION	0.219	%
AMMONIUM SULFATE	INTRAVENOUS	INJECTION, SUSPENSION, LIPOSOMAL	0.2	%
ANTIPYRINE	OPHTHALMIC	SOLUTION	0.1	%
ARGININE	IV(INFUSION)	SOLUTION, INJECTION	29	%
ARGININE	INTRAVENOUS	POWDER, FOR INJECTION SOLUTION	78	%
ARGININE	IV(INFUSION)	INJECTION	88	%
ASCORBIC ACID	INTRAVENOUS	POWDER, FOR INJECTION SOLUTION, LYOPHILIZED	0.088	%
ASCORBIC ACID	INHALATION	AEROSOL, SPRAY	0.1	%
ASCORBIC ACID	IM-IV	INJECTION	0.2	%
ASCORBIC ACID	INTRAVENOUS	POWDER, FOR INJECTION SOLUTION	0.2	%
ASCORBIC ACID	INTRAVENOUS	SOLUTION, INJECTION	0.2	%
ASCORBIC ACID	NERVE BLOCK	INJECTION	0.2	%
ASCORBIC ACID	IV(INFUSION)	POWDER, FOR INJECTION SOLUTION, LYOPHILIZED	0.5	%
ASCORBIC ACID	INTRAMUSCULAR	INJECTION	1	%
ASCORBIC ACID	SUBCUTANEOUS	INJECTION	1	%
ASCORBIC ACID	INHALATION	SOLUTION	1.02	%
ASCORBIC ACID	IV(INFUSION)	INJECTION	50.4	%
ASCORBIC ACID	INTRAVENOUS	INJECTION	62.5	%
ASCORBIC ACID	INHALATION	AEROSOL, METERED	95.95	%
ASPARTIC ACID	IV(INFUSION)	INJECTION	0.68	%
BARIUM SULFATE	INTRAUTERINE	SUPPOSITORY, INSERT, CONTROLLED RELEASE	10	MG
BENZALKONIUM CHLORIDE	INTRAOCULAR	SOLUTION	0.0052	%
BENZALKONIUM CHLORIDE	OPHTHALMIC	GEL	0.008	%
BENZALKONIUM CHLORIDE	AURICULAR (OTIC)	SOLUTION, DROPS	0.02	%
BENZALKONIUM CHLORIDE	AURICULAR (OTIC)	SUSPENSION	0.02	%
BENZALKONIUM CHLORIDE	OPHTHALMIC	OINTMENT	0.025	%
BENZALKONIUM CHLORIDE	OPHTHALMIC	SUSPENSION	0.025	%
BENZALKONIUM CHLORIDE	OPHTHALMIC	SUSPENSION, DROPS	0.025	%
BENZALKONIUM CHLORIDE	AURICULAR (OTIC)	SOLUTION	0.0275	%
BENZALKONIUM CHLORIDE	NASAL	SPRAY, METERED	0.1176	%
BENZALKONIUM CHLORIDE	NASAL	SPRAY	0.119	%
BENZALKONIUM CHLORIDE	OPHTHALMIC	SOLUTION, DROPS	0.512	%
BENZALKONIUM CHLORIDE	NASAL	SOLUTION	1	%
BENZALKONIUM CHLORIDE	OPHTHALMIC	SOLUTION	8.8	%
BENZALKONIUM CHLORIDE	INHALATION	SOLUTION	20	%
BENZETHONIUM CHLORIDE	IM-IV	INJECTION	0.01	%
BENZETHONIUM CHLORIDE	IV(INFUSION)	INJECTION	0.012	%
BENZETHONIUM CHLORIDE	AURICULAR (OTIC)	SOLUTION, DROPS	0.02	%
BENZETHONIUM CHLORIDE	NASAL	SPRAY, METERED	0.02	%
BENZETHONIUM CHLORIDE	INTRAVENOUS	POWDER, FOR INJECTION SOLUTION	0.05	%
BENZETHONIUM CHLORIDE	AURICULAR (OTIC)	SOLUTION	0.1	%

(Continued)

Ingredient	Route	Dosage Form	Dose	Unit
BENZODODECINIUM BROMIDE	OPHTHALMIC	SOLUTION	0.012	%
BENZODODECINIUM BROMIDE	OPHTHALMIC	SOLUTION, GEL FORMING, EXTENDED RELEASE	0.012	%
BENZOIC ACID	IRRIGATION	SOLUTION	0.024	%
BENZOIC ACID	INTRAMUSCULAR	SOLUTION, INJECTION	0.2	%
BENZOIC ACID	IM-IV	INJECTION	5	%
BENZYL ALCOHOL	NASAL	SPRAY, METERED	0.0366	%
BENZYL ALCOHOL	INTRAVENOUS	EMULSION, INJECTION	0.1	%
BENZYL ALCOHOL	SUBCUTANEOUS	LIQUID	0.225	%
BENZYL ALCOHOL	INTRATHECAL	INJECTION	0.45	%
BENZYL ALCOHOL	NASAL	SOLUTION	0.5	%
BENZYL ALCOHOL	INTRACAVITARY	POWDER, FOR INJECTION SOLUTION, LYOPHILIZED	0.84	%
BENZYL ALCOHOL	SUBCUTANEOUS	SOLUTION, INJECTION	0.885	%
BENZYL ALCOHOL	INTRA-ARTERIAL	INJECTION	0.9	%
BENZYL ALCOHOL	INTRADERMAL	INJECTION	0.9	%
BENZYL ALCOHOL	INTRATUMOR	INJECTION	0.9	%
BENZYL ALCOHOL	INTRAVENOUS	SOLUTION	0.9	%
BENZYL ALCOHOL	N/A	LIQUID	0.9	%
BENZYL ALCOHOL	INTRALESIONAL	SUSPENSION, INJECTION	0.916	%
BENZYL ALCOHOL	INTRAMUSCULAR	SUSPENSION, INJECTION	0.916	%
BENZYL ALCOHOL	INTRASYNOVIAL	SUSPENSION, INJECTION	0.916	%
BENZYL ALCOHOL	SOFT TISSUE	SUSPENSION, INJECTION	0.916	%
BENZYL ALCOHOL	SUBCONJUNCTIVAL	INJECTION	0.945	%
BENZYL ALCOHOL	EPIDURAL	INJECTION	1	%
BENZYL ALCOHOL	EXTRACORPOREAL	INJECTION	1	%
BENZYL ALCOHOL	IM-IV	INJECTABLE	1	%
BENZYL ALCOHOL	INTRABURSAL	INJECTION	1	%
BENZYL ALCOHOL	INTRALESIONAL	INJECTION	1	%
BENZYL ALCOHOL	INTRAMUSCULAR	INJECTION, SUSTAINED ACTION	1	%
BENZYL ALCOHOL	INTRASYNOVIAL	INJECTION	1	%
BENZYL ALCOHOL	SOFT TISSUE	INJECTION	1	%
BENZYL ALCOHOL	IM-SC	INJECTION, SUSTAINED ACTION	1.2	%
BENZYL ALCOHOL	INTRA-ARTICULAR	INJECTION	1.5	%
BENZYL ALCOHOL	IV-SC	INJECTION	1.5	%
BENZYL ALCOHOL	SUBCUTANEOUS	INJECTION	1.5	%
BENZYL ALCOHOL	IM-IV-SC	INJECTION	1.575	%
BENZYL ALCOHOL	INTRAVENOUS	POWDER, FOR INJECTION SOLUTION	1.8	%
BENZYL ALCOHOL	IM-IV	SOLUTION, INJECTION	2	%
BENZYL ALCOHOL	IM-SC	INJECTION	2	%
BENZYL ALCOHOL	INTRAVENOUS	INJECTABLE	2.02	%
BENZYL ALCOHOL	INTRAVENOUS	SOLUTION, INJECTION	2.02	%
BENZYL ALCOHOL	IV(INFUSION)	SOLUTION, INJECTION	2.02	%
BENZYL ALCOHOL	IV(INFUSION)	INJECTION	3	%
BENZYL ALCOHOL	INTRAMUSCULAR	INJECTABLE	4	%
BENZYL ALCOHOL	IM-IV	INJECTION	5.21	%
BENZYL ALCOHOL	IM-IV	POWDER, FOR INJECTION SOLUTION	6.69	%
BENZYL ALCOHOL	IV(INFUSION)	POWDER, FOR INJECTION SOLUTION	6.69	%
BENZYL ALCOHOL	AURICULAR (OTIC)	SUSPENSION, LIQUID	9	%
BENZYL ALCOHOL	INTRAMUSCULAR	SOLUTION, INJECTION	10	%
BENZYL ALCOHOL	INTRAVENOUS	INJECTION	10.4	%
BENZYL ALCOHOL	INTRAMUSCULAR	INJECTION	10.962	%

(Continued)

Ingredient	Route	Dosage Form	Dose	Unit
BENZYL ALCOHOL	DENTAL	PASTE	25	MG
BENZYL BENZOATE	INTRAMUSCULAR	SOLUTION, INJECTION	15	%
BENZYL BENZOATE	INTRAMUSCULAR	INJECTABLE	20	%
BENZYL BENZOATE	INTRAMUSCULAR	INJECTION	46	%
BENZYL CHLORIDE	INTRAVENOUS	INJECTION	0.001	%
BIBAPCITIDE	INTRAVENOUS	INJECTION	0.01	%
BORIC ACID	AURICULAR (OTIC)	SOLUTION	0.049	%
BORIC ACID	OPHTHALMIC	POWDER, FOR SOLUTION	0.06	%
BORIC ACID	OPHTHALMIC	SOLUTION, GEL FORMING, EXTENDED RELEASE	0.3	%
BORIC ACID	INTRAVENOUS	SOLUTION, INJECTION	0.319	%
BORIC ACID	OPHTHALMIC	SUSPENSION, DROPS	0.6	%
BORIC ACID	OPHTHALMIC	SUSPENSION	1	%
BORIC ACID	OPHTHALMIC	SOLUTION, DROPS	1.9	%
BORIC ACID	OPHTHALMIC	SOLUTION	37.2	%
BUTYLATED HYDROXYANISOLE	NASAL	SPRAY, METERED	0.0002	%
BUTYLATED HYDROXYANISOLE	IV(INFUSION)	INJECTION	0.0003	%
BUTYLATED HYDROXYANISOLE	INTRAMUSCULAR	INJECTION	0.03	%
BUTYLATED HYDROXYANISOLE	NASAL	SOLUTION	2	%
BUTYLATED HYDROXYTOLUENE	IV(INFUSION)	INJECTION	0.001	%
BUTYLATED HYDROXYTOLUENE	INTRAVENOUS	POWDER, FOR INJECTION SOLUTION, LYOPHILIZED	0.0015	%
BUTYLATED HYDROXYTOLUENE	NASAL	SPRAY, METERED	0.01	%
BUTYLATED HYDROXYTOLUENE	INTRAMUSCULAR	INJECTION	0.03	%
CAFFEINE	OPHTHALMIC	SOLUTION	2	%
CALCIUM	INTRAMUSCULAR	INJECTION	0.0012	%
CALCIUM CARBONATE	AURICULAR (OTIC)	SOLUTION	0.382	%
CALCIUM CARBONATE	RESPIRATORY (INHALATION)	SOLUTION, INJECTION	4.024	%
CALCIUM CHLORIDE	OPHTHALMIC	SOLUTION, DROPS	0.006	%
CALCIUM CHLORIDE	INTRAVASCULAR	INJECTION	0.0074	%
CALCIUM CHLORIDE	INTRAVENOUS	SOLUTION, LIPOSOME, INJECTION	0.028	%
CALCIUM CHLORIDE	AN, INFILTRATION	INJECTION	0.033	%
CALCIUM CHLORIDE	CAUDAL BLOCK	INJECTION	0.033	%
CALCIUM CHLORIDE	EPIDURAL	INJECTION	0.033	%
CALCIUM CHLORIDE	NERVE BLOCK	INJECTION	0.033	%
CALCIUM CHLORIDE	SUBCUTANEOUS	INJECTABLE	0.04	%
CALCIUM CHLORIDE	INTRAOCULAR	SOLUTION	0.048	%
CALCIUM CHLORIDE	OPHTHALMIC	POWDER, FOR SOLUTION	0.048	%
CALCIUM CHLORIDE	SUBCUTANEOUS	INJECTION	0.053	%
CALCIUM CHLORIDE	INTRAVENOUS	INJECTION	0.074	%
CALCIUM CHLORIDE	INTRAPERITONEAL	SOLUTION	2.57	%
CALCIUM CHLORIDE	IM-IV	INJECTION	4	%
CALCIUM CHLORIDE	INTRAMUSCULAR	INJECTION	4	%
CALCIUM GLUCEPTATE	INTRAVENOUS	INJECTION	5	%
CALCIUM HYDROXIDE	INTRAVENOUS	INJECTION	0.37	%
CALTERIDOL CALCIUM	INTRAVENOUS	INJECTION	0.023	%
CARBOMER 1342	OPHTHALMIC	EMULSION	0.05	%
CARBOMER 934P	OPHTHALMIC	SUSPENSION, DROPS	0.45	%
CARBOMER 940	OPHTHALMIC	GEL	4	%
CARBOMER 974P	OPHTHALMIC	SUSPENSION, DROPS	0.45	%
CARBOMER 974P	OPHTHALMIC	SUSPENSION	0.5	%
CARBON DIOXIDE	INHALATION	GAS	95	%
CARBOXYMETHYLCELLULOSE	INTRA-ARTICULAR	INJECTION	0.2	%
CARBOXYMETHYLCELLULOSE	INTRAMUSCULAR	INJECTION	0.9	%

(Continued)

Ingredient	Route	Dosage Form	Dose	Unit
CARBOXYMETHYLCELLULOSE SODIUM	INTRASYNOVIAL	INJECTION	0.1	%
CARBOXYMETHYLCELLULOSE SODIUM	NASAL	SPRAY, METERED	0.15	%
CARBOXYMETHYLCELLULOSE SODIUM	DENTAL	GEL	0.4	%
CARBOXYMETHYLCELLULOSE SODIUM	INTRA-ARTICULAR	INJECTION	0.5	%
CARBOXYMETHYLCELLULOSE SODIUM	OPHTHALMIC	SOLUTION, DROPS	0.5	%
CARBOXYMETHYLCELLULOSE SODIUM	SOFT TISSUE	INJECTION	0.5	%
CARBOXYMETHYLCELLULOSE SODIUM	INTRAMUSCULAR	INJECTION, MICROSPHERES	1	%
CARBOXYMETHYLCELLULOSE SODIUM	INTRAMUSCULAR	INJECTION	3	%
CARBOXYMETHYLCELLULOSE SODIUM	INTRAMUSCULAR	POWDER, FOR INJECTION SOLUTION, LYOPHILIZED	3	%
CARBOXYMETHYLCELLULOSE SODIUM	SUBCUTANEOUS	IMPLANT	16	MG
CARBOXYMETHYLCELLULOSE SODIUM	DENTAL	PASTE	174	MG
CASTOR OIL	OPHTHALMIC	EMULSION	1.25	%
CELLULOSE MICROCRYSTALLINE/ CARBOXYMETHYLCELLULOSE SODIUM	NASAL	SPRAY, METERED	1.5	%
CELLULOSE MICROCRYSTALLINE/ CARBOXYMETHYLCELLULOSE SODIUM	NASAL	SPRAY	2	%
CELLULOSE, MICROCRYSTALLINE	INTRA-ARTICULAR	INJECTION	0.05	%
CELLULOSE, MICROCRYSTALLINE	INTRAMUSCULAR	INJECTION	0.05	%
CELLULOSE, MICROCRYSTALLINE	NASAL	SPRAY, METERED	0.15	%
CELLULOSE, MICROCRYSTALLINE	INTRAVITREAL	IMPLANT	1.66	MG
CELLULOSE, MICROCRYSTALLINE	INTRAVENOUS	INJECTION	14.9	%
CETYL ALCOHOL	OPHTHALMIC	SUSPENSION	0.5	%
CETYL ALCOHOL	AURICULAR (OTIC)	SUSPENSION	1	%
CHARCOAL, ACTIVATED	INTRAMUSCULAR	INJECTABLE	0.3	%
CHARCOAL, ACTIVATED	INTRAVENOUS	INJECTABLE	0.3	%
CHLOROBUTANOL	NASAL	SOLUTION	0.05	%
CHLOROBUTANOL	OPHTHALMIC	SOLUTION, DROPS	0.2	%
CHLOROBUTANOL	SUBCUTANEOUS	INJECTION	0.25	%
CHLOROBUTANOL	AN, INFILTRATION	INJECTION	0.4	%
CHLOROBUTANOL	IM-IV-SC	INJECTION	0.5	%
CHLOROBUTANOL	IM-IV	INJECTION	0.5	%
CHLOROBUTANOL	IM-SC	INJECTION	0.5	%
CHLOROBUTANOL	INHALATION	SOLUTION	0.5	%
CHLOROBUTANOL	NASAL	SPRAY	0.5	%
CHLOROBUTANOL	NASAL	SPRAY, METERED	0.5	%
CHLOROBUTANOL	NERVE BLOCK	INJECTION	0.5	%
CHLOROBUTANOL	OPHTHALMIC	SOLUTION	0.5	%
CHLOROBUTANOL	INTRAMUSCULAR	INJECTABLE	0.525	%
CHLOROBUTANOL	OPHTHALMIC	POWDER, FOR SOLUTION	0.55	%
CHLOROBUTANOL	IV(INFUSION)	INJECTION	0.6	%
CHLOROBUTANOL	OPHTHALMIC	OINTMENT	0.65	%
CHLOROBUTANOL	INTRAMUSCULAR	INJECTION	5	%
CHLOROBUTANOL HEMIHYDRATE	INTRAMUSCULAR	INJECTION	0.525	%
CHLOROBUTANOL HEMIHYDRATE	INTRAVENOUS	SOLUTION, INJECTION	0.525	%
CHLOROBUTANOL, ANHYDROUS	INTRAVENOUS	INJECTION	0.5	%
CHLOROBUTANOL, ANHYDROUS	OPHTHALMIC	SOLUTION	0.5	%
CHLOROBUTANOL, ANHYDROUS	INTRAMUSCULAR	INJECTION	0.525	%
CHLOROXYLENOL	AURICULAR (OTIC)	SOLUTION	0.1	%
CHOLESTEROL	INTRAVENOUS	INJECTION, SUSPENSION, LIPOSOMAL	0.319	%
CHOLESTEROL	EPIDURAL	INJECTION, SUSPENSION, LIPOSOMAL	0.33	%
CHOLESTEROL	INTRAVENOUS	SOLUTION, LIPOSOME, INJECTION	0.684	%

(Continued)

Ingredient	Route	Dosage Form	Dose	Unit
CHOLESTEROL	INTRAVENOUS	INJECTION, POWDER, LYOPHILIZED, FOR LIPOSOMAL SUSPENSION	5.2	%
CITRATE	INTRAVENOUS	INJECTABLE	0.72	%
CITRIC ACID	INHALATION	AEROSOL, METERED	0.0002	%
CITRIC ACID	INTRAVENOUS	SOLUTION, CONCENTRATE	0.0025	%
CITRIC ACID	AN, INFILTRATION	INJECTION	0.02	%
CITRIC ACID	EPIDURAL	INJECTION	0.02	%
CITRIC ACID	NERVE BLOCK	INJECTION	0.0202	%
CITRIC ACID	INTRAMUSCULAR	POWDER, FOR INJECTION SOLUTION	0.03	%
CITRIC ACID	INTRAPLEURAL	POWDER, FOR INJECTION SOLUTION	0.03	%
CITRIC ACID	INTRATHECAL	POWDER, FOR INJECTION SOLUTION	0.03	%
CITRIC ACID	AURICULAR (OTIC)	SOLUTION, DROPS	0.04	%
CITRIC ACID	IM-IV	INJECTABLE	0.05	%
CITRIC ACID	OPHTHALMIC	SOLUTION, DROPS	0.05	%
CITRIC ACID	INTRAVENOUS	SOLUTION, INJECTION	0.075	%
CITRIC ACID	IM-IV	SOLUTION, INJECTION	0.08	%
CITRIC ACID	INTRACARDIAC	INJECTION	0.2	%
CITRIC ACID	IV(INFUSION)	SOLUTION, INJECTION	0.2	%
CITRIC ACID	OPHTHALMIC	SOLUTION	0.2	%
CITRIC ACID	IM-SC	INJECTION	0.219	%
CITRIC ACID	NASAL	SPRAY	0.26	%
CITRIC ACID	IM-IV	INJECTION	0.33	%
CITRIC ACID	INHALATION	SOLUTION	0.4404	%
CITRIC ACID	INTRAVENOUS	SOLUTION	0.5017	%
CITRIC ACID	AURICULAR (OTIC)	SOLUTION	1	%
CITRIC ACID	IM-IV-SC	INJECTION	1.262	%
CITRIC ACID	INTRAMUSCULAR	INJECTION	2	%
CITRIC ACID	NASAL	SPRAY, METERED	3.5	%
CITRIC ACID	IV(INFUSION)	INJECTION	5.1	%
CITRIC ACID	IV(INFUSION)	POWDER, FOR INJECTION SOLUTION	6.92	%
CITRIC ACID	INTRAVENOUS	POWDER, FOR INJECTION SOLUTION, LYOPHILIZED	7.692	%
CITRIC ACID	INTRAVENOUS	INJECTION	20	%
CITRIC ACID	NASAL	SOLUTION	20	%
CITRIC ACID	INTRAVENOUS	INJECTABLE	41.36	%
CITRIC ACID	INTRAVENOUS	POWDER, FOR INJECTION SOLUTION	50	%
CITRIC ACID MONOHYDRATE	IM-IV	INJECTABLE	0.05	%
CITRIC ACID MONOHYDRATE	IM-IV	SOLUTION	0.05	%
CITRIC ACID MONOHYDRATE	INTRAOCULAR	SOLUTION	0.05	%
CITRIC ACID MONOHYDRATE	OPHTHALMIC	SOLUTION	0.05	%
CITRIC ACID MONOHYDRATE	OPHTHALMIC	SOLUTION, DROPS	0.05	%
CITRIC ACID MONOHYDRATE	INTRAVENOUS	INJECTABLE	0.052	%
CITRIC ACID MONOHYDRATE	INTRAVENOUS	SOLUTION, INJECTION	0.082	%
CITRIC ACID MONOHYDRATE	IM-IV	SOLUTION, INJECTION	0.0875	%
CITRIC ACID MONOHYDRATE	IV(INFUSION)	SOLUTION, INJECTION	0.14	%
CITRIC ACID MONOHYDRATE	INTRAVENOUS	SOLUTION	0.156	%
CITRIC ACID MONOHYDRATE	AN, INFILTRATION	INJECTION	0.1613	%
CITRIC ACID MONOHYDRATE	NERVE BLOCK	INJECTION	0.1613	%
CITRIC ACID MONOHYDRATE	NASAL	SPRAY, METERED	0.17	%

(Continued)

Ingredient	Route	Dosage Form	Dose	Unit
CITRIC ACID MONOHYDRATE	IM-IV	INJECTION	0.361	%
CITRIC ACID MONOHYDRATE	NASAL	SOLUTION	0.42	%
CITRIC ACID MONOHYDRATE	INTRAMUSCULAR	INJECTABLE	0.5	%
CITRIC ACID MONOHYDRATE	INTRACARDIAC	INJECTION	1.05	%
CITRIC ACID MONOHYDRATE	IV(INFUSION)	INJECTION	1.05	%
CITRIC ACID MONOHYDRATE	IM-IV-SC	INJECTION	1.26	%
CITRIC ACID MONOHYDRATE	INTRAVENOUS	POWDER, FOR INJECTION SOLUTION	21.9	%
CITRIC ACID MONOHYDRATE	INTRAVENOUS	POWDER, FOR INJECTION SOLUTION, LYOPHILIZED	41.36	%
CITRIC ACID MONOHYDRATE	INTRAVENOUS	INJECTION	52.5	%
CITRIC ACID, HYDROUS	IV(INFUSION)	INJECTION	0.0032	%
CITRIC ACID, HYDROUS	IM-IV-SC	INJECTION	1.26	%
CITRIC ACID, HYDROUS	INTRAVENOUS	INJECTION	10.5	%
COTTONSEED OIL	INTRAMUSCULAR	INJECTION	87.46	%
CREATININE	OPHTHALMIC	SOLUTION, DROPS	0.2	%
CREATININE	AURICULAR (OTIC)	SOLUTION	0.5	%
CREATININE	INTRAMUSCULAR	INJECTION	0.5	%
CREATININE	OPHTHALMIC	SOLUTION	0.5	%
CREATININE	IM-IV	INJECTION	0.8	%
CREATININE	INTRA-ARTICULAR	INJECTION	0.8	%
CREATININE	INTRALESIONAL	INJECTION	0.8	%
CREATININE	INTRASYNOVIAL	INJECTION	0.8	%
CREATININE	SOFT TISSUE	INJECTION	0.8	%
M-CRESOL	IM-IV-SC	INJECTION	0.1	%
M-CRESOL	IV(INFUSION)	INJECTION	0.1	%
M-CRESOL	INTRADERMAL	INJECTION	0.16	%
M-CRESOL	SUBCUTANEOUS	SUSPENSION, INJECTION	0.172	%
M-CRESOL	SUBCUTANEOUS	SOLUTION, INJECTION	0.22	%
M-CRESOL	IM-SC	INJECTION	0.25	%
M-CRESOL	INTRAMUSCULAR	POWDER, FOR INJECTION SOLUTION, LYOPHILIZED	0.3	%
M-CRESOL	SUBCUTANEOUS	POWDER, FOR INJECTION SOLUTION, LYOPHILIZED	0.3	%
M-CRESOL	SUBCUTANEOUS	INJECTABLE	0.315	%
M-CRESOL	SUBCUTANEOUS	INJECTION	0.45	%
CROSCARMELLOSE SODIUM	INTRAMUSCULAR	INJECTION	1	%
CROSPVIDONE	INTRA-ARTICULAR	INJECTION	0.02	%
CROSPVIDONE	INTRAMUSCULAR	INJECTION	0.02	%
CROSPVIDONE	IMPLANTATION	PELLET	2	MG
CYSTEINE	IM-SC	INJECTION, SUSTAINED ACTION	0.1	%
CYSTEINE	IV(INFUSION)	SOLUTION, INJECTION	2	%
CYSTEINE	INTRAVENOUS	POWDER, FOR INJECTION SOLUTION, LYOPHILIZED	2.6	%
CYSTEINE HYDROCHLORIDE	INTRAVENOUS	POWDER, FOR INJECTION SOLUTION	0.1	%
CYSTEINE HYDROCHLORIDE	IV(INFUSION)	POWDER, FOR INJECTION SOLUTION, LYOPHILIZED	1.5	%
CYSTEINE HYDROCHLORIDE	IV(INFUSION)	INJECTION	2	%
D&C RED NO. 33	DENTAL	SOLUTION	0.0007	%
D&C YELLOW NO. 10	DENTAL	GEL	0.003	%
DEXTRAN 40	INTRAVENOUS	POWDER, FOR INJECTION SOLUTION, LYOPHILIZED	30	%
DEXTROSE	INTRAMUSCULAR	INJECTION	4.4	%
DEXTROSE	IM-IV	INJECTION	5	%

(Continued)

Ingredient	Route	Dosage Form	Dose	Unit
DEXTROSE	IM-IV	POWDER, FOR INJECTION SOLUTION	5	%
DEXTROSE	INTRASPINAL	INJECTION	5	%
DEXTROSE	INTRAVENOUS	INJECTABLE	5	%
DEXTROSE	INTRAVENOUS	POWDER, FOR INJECTION SOLUTION	5	%
DEXTROSE	INTRAVENOUS	SOLUTION, INJECTION	5	%
DEXTROSE	IV(INFUSION)	POWDER, FOR INJECTION SOLUTION	5	%
DEXTROSE	NASAL	SPRAY	5	%
DEXTROSE	NASAL	SPRAY, METERED	5	%
DEXTROSE	IV(INFUSION)	SOLUTION, INJECTION	5.17	%
DEXTROSE	IV(INFUSION)	INJECTION	5.6	%
DEXTROSE	SPINAL	INJECTION	7.5	%
DEXTROSE	INTRAVENOUS	INJECTION	30	%
DEXTROSE SOLUTION	IV(INFUSION)	POWDER, FOR INJECTION SOLUTION	5	%
DEXTROSE, ANHYDROUS	NASAL	SPRAY	0.275	%
DEXTROSE, ANHYDROUS	NASAL	SPRAY, METERED	0.5	%
DEXTROSE, ANHYDROUS	INTRAVENOUS	KIT	1.11	GM
DEXTROSE, ANHYDROUS	IM-SC	INJECTION	3.75	%
DEXTROSE, ANHYDROUS	INTRAVENOUS	INJECTION	4.7	%
DEXTROSE, ANHYDROUS	IV(INFUSION)	SOLUTION, INJECTION	4.7	%
DEXTROSE, ANHYDROUS	IM-IV	INJECTABLE	5	%
DEXTROSE, ANHYDROUS	INTRAMUSCULAR	INJECTION	5	%
DEXTROSE, ANHYDROUS	INTRAVENOUS	SOLUTION, INJECTION	5	%
DEXTROSE, ANHYDROUS	IV(INFUSION)	INJECTION	5.43	%
DEXTROSE, ANHYDROUS	SPINAL	INJECTION	8.25	%
DIATRIZOIC ACID	INTRAMUSCULAR	INJECTION	59.7	%
DIATRIZOIC ACID	INTRAVENOUS	INJECTION	59.7	%
DICHLORODIFLUOROMETHANE	NASAL	AEROSOL, METERED	1.72	%
DICHLORODIFLUOROMETHANE	INHALATION	AEROSOL, METERED	74.029	%
DICHLOROTETRAFLUROETHANE	NASAL	AEROSOL, METERED	0.86	%
DICHLOROTETRAFLUROETHANE	INHALATION	AEROSOL, METERED	51.12	%
DIETHANOLAMINE	IV(INFUSION)	INJECTION	1.5	%
DIMETHYL SULFOXIDE	SUBCUTANEOUS	IMPLANT	104	MG
DIMETHYLSILOXANE/METHYLVINYLSILOXANE COPOLYMER	IMPLANTATION	PELLET, IMPLANT	142	MG
DIMETHYLSILOXANE/METHYLVINYLSILOXANE COPOLYMER	IMPLANTATION	ROD	142	MG
DIMYRISTOYL LECITHIN	IV(INFUSION)	SUSPENSION, INJECTION	0.34	%
DIMYRISTOYL LECITHIN	INTRAVENOUS	POWDER, FOR INJECTION SOLUTION, LYOPHILIZED	7.05	%
DICTYLPHTHALATE	OPHTHALMIC	SUPPOSITORY, INSERT, CONTROLLED RELEASE	2	MG
DISODIUM SULFOSALICYLATE	IV(INFUSION)	POWDER, FOR INJECTION SOLUTION, LYOPHILIZED	0.032	%
DISOFENIN	IV(INFUSION)	INJECTION	2	%
DIVINYLBENZENE STYRENE COPOLYMER	OPHTHALMIC	SUSPENSION, DROPS	0.75	%
DOCUSATE SODIUM	INTRAMUSCULAR	INJECTION	0.015	%
EDAMINE	INTRAVENOUS	INJECTION	0.3755	%
EDAMINE	IV(INFUSION)	INJECTION	0.392	%
EDETATE CALCIUM DISODIUM	INTRAMUSCULAR	POWDER, FOR INJECTION SOLUTION	0.005	%

(Continued)

Ingredient	Route	Dosage Form	Dose	Unit
EDETATE CALCIUM DISODIUM	INTRAPERITONEAL	POWDER, FOR INJECTION SOLUTION	0.005	%
EDETATE CALCIUM DISODIUM	IV(INFUSION)	INJECTION	0.005	%
EDETATE CALCIUM DISODIUM	IV(INFUSION)	POWDER, FOR INJECTION SOLUTION	0.005	%
EDETATE CALCIUM DISODIUM	NERVE BLOCK	INJECTION	0.01	%
EDETATE CALCIUM DISODIUM	INTRA-ARTERIAL	INJECTION	0.048	%
EDETATE CALCIUM DISODIUM	INTRAMUSCULAR	INJECTION	0.048	%
EDETATE CALCIUM DISODIUM	SUBCUTANEOUS	INJECTION	0.17	%
EDETATE CALCIUM DISODIUM	INTRATHECAL	INJECTION	0.216	%
EDETATE CALCIUM DISODIUM	INTRAVENOUS	INJECTION	0.48	%
EDETATE CALCIUM DISODIUM	INTRAVASCULAR	INJECTION	10	%
EDETATE DISODIUM	INTRAVENOUS	EMULSION, INJECTION	0.005	%
EDETATE DISODIUM	INTRABURSAL	INJECTION	0.01	%
EDETATE DISODIUM	INTRAVENOUS	INFUSION	0.01	%
EDETATE DISODIUM	INTRAVENOUS	INJECTABLE	0.01	%
EDETATE DISODIUM	OPHTHALMIC	GEL	0.01	%
EDETATE DISODIUM	AN, INFILTRATION	INJECTION	0.0111	%
EDETATE DISODIUM	EPIDURAL	INJECTION	0.0111	%
EDETATE DISODIUM	NERVE BLOCK	INJECTION	0.0111	%
EDETATE DISODIUM	RESPIRATORY (INHALATION)	SOLUTION, FOR INHALATION	0.03	%
EDETATE DISODIUM	INTRAVASCULAR	INJECTION	0.04	%
EDETATE DISODIUM	IV(INFUSION)	SOLUTION, INJECTION	0.04	%
EDETATE DISODIUM	IM-IV	INJECTABLE	0.05	%
EDETATE DISODIUM	INTRA-ARTICULAR	INJECTION	0.05	%
EDETATE DISODIUM	INTRALESIONAL	INJECTION	0.05	%
EDETATE DISODIUM	INTRAVENOUS	SOLUTION	0.05	%
EDETATE DISODIUM	SOFT TISSUE	INJECTION	0.05	%
EDETATE DISODIUM	IV(INFUSION)	POWDER, FOR INJECTION SUSPENSION, LYOPHILIZED	0.0801	%
EDETATE DISODIUM	AURICULAR (OTIC)	SOLUTION	0.1	%
EDETATE DISODIUM	AURICULAR (OTIC)	SOLUTION, DROPS	0.1	%
EDETATE DISODIUM	INTRAMUSCULAR	SOLUTION, INJECTION	0.1	%
EDETATE DISODIUM	NASAL	SPRAY	0.1	%
EDETATE DISODIUM	OPHTHALMIC	SUSPENSION, DROPS	0.1	%
EDETATE DISODIUM	SUBCUTANEOUS	INJECTABLE	0.1	%
EDETATE DISODIUM	INTRAVENOUS	SOLUTION, INJECTION	0.11	%
EDETATE DISODIUM	OPHTHALMIC	SUSPENSION	0.13	%
EDETATE DISODIUM	INTRAVENOUS	POWDER, FOR INJECTION SOLUTION, LYOPHILIZED	0.15	%
EDETATE DISODIUM	IM-IV-SC	INJECTION	0.2	%
EDETATE DISODIUM	IM-SC	INJECTION	0.2	%
EDETATE DISODIUM	SUBCUTANEOUS	INJECTION	0.2	%
EDETATE DISODIUM	OPHTHALMIC	SOLUTION, DROPS	0.3	%
EDETATE DISODIUM	NASAL	SPRAY, METERED	0.5	%
EDETATE DISODIUM	IV(INFUSION)	INJECTION	1	%
EDETATE DISODIUM	INHALATION	SOLUTION	5	%
EDETATE DISODIUM	NASAL	SOLUTION	5	%
EDETATE DISODIUM	IM-IV	INJECTION	10	%
EDETATE DISODIUM	INTRAMUSCULAR	INJECTION	10	%
EDETATE DISODIUM	INTRAVENOUS	INJECTION	10	%
EDETATE DISODIUM	OPHTHALMIC	SOLUTION	10	%
EDETATE DISODIUM, ANHYDROUS	INTRAMUSCULAR	INJECTION	0.01	%
EDETATE DISODIUM, ANHYDROUS	INTRAVENOUS	INJECTABLE	0.01	%

(Continued)

Ingredient	Route	Dosage Form	Dose	Unit
EDETATE DISODIUM, ANHYDROUS	IV(INFUSION)	INJECTION	0.01	%
EDETATE DISODIUM, ANHYDROUS	INTRAVENOUS	INJECTION	0.025	%
EDETATE DISODIUM, ANHYDROUS	OPHTHALMIC	SOLUTION, DROPS	0.1	%
EDETATE SODIUM	INTRAMUSCULAR	INJECTION	0.01	%
EDETATE SODIUM	INHALATION	SOLUTION	0.02	%
EDETATE SODIUM	OPHTHALMIC	SUSPENSION	0.02	%
EDETATE SODIUM	IV(INFUSION)	SOLUTION, INJECTION	0.04	%
EDETATE SODIUM	OPHTHALMIC	SOLUTION	0.1	%
EDETATE SODIUM	IM-IV-SC	INJECTION	0.2	%
EDETTIC ACID	AURICULAR (OTIC)	SUSPENSION	0.001	%
EGG YOLK PHOSPHATIDES	INTRAVENOUS	EMULSION, INJECTION	1.2	%
EGG YOLK PHOSPHATIDES	INTRAVENOUS	INJECTION	1.2	%
EGG YOLK PHOSPHATIDES	IV(INFUSION)	INJECTION	1.8	%
ETHANOLAMINE HYDROCHLORIDE	INTRAVENOUS	INJECTION	0.15	%
ETHYLENE VINYL ACETATE COPOLYMER	OPHTHALMIC	SUPPOSITORY, INSERT, CONTROLLED RELEASE	14	MG
ETHYLENE VINYL ACETATE COPOLYMER	PERIODONTAL	FILM, CONTROLLED RELEASE	51	MG
ETHYLENE VINYL ACETATE COPOLYMER	IMPLANTATION	ROD	61	MG
ETHYLENE VINYL ACETATE COPOLYMER	SUBCUTANEOUS	ROD	61	MG
ETHYLENE VINYL ACETATE COPOLYMER	INTRAUTERINE	SUPPOSITORY, INSERT, CONTROLLED RELEASE	160	MG
FD&C BLUE NO. 1	DENTAL	SOLUTION	0.01	%
FD&C GREEN NO. 3	DENTAL	GEL	0.0033	%
FD&C RED NO. 40	DENTAL	SOLUTION	0.0006	%
FERRIC CHLORIDE	INTRAVENOUS	INJECTION	6.05	%
FLAVOR 89-186	DENTAL	SOLUTION	0.08	%
FLAVOR DF-1530	DENTAL	GEL	0.77	%
FLAVOR NNS DZ-03226	NASAL	SPRAY, METERED	1	%
FLAVOR PEPPERMINT STICK FMC 16170	DENTAL	SOLUTION	4.5	%
FRUCTOSE	IV(INFUSION)	POWDER, FOR INJECTION SOLUTION, LYOPHILIZED	5	%
FUSED SODIUM ASH	OPHTHALMIC	SOLUTION, DROPS	0.005	%
GAMMA-CYCLODEXTRIN	INTRAVENOUS	INJECTION	5	%
GELATIN	INTRAMUSCULAR	INJECTION, MICROSPHERES	0.13	%
GELATIN	INTRAMUSCULAR	INJECTION	0.26	%
GELATIN	IM-IV-SC	POWDER, FOR INJECTION SOLUTION	1.4	%
GELATIN	IV(INFUSION)	INJECTION	2	%
GELATIN	INTRAVENOUS	SOLUTION	3.48	%
GELATIN	IM-SC	INJECTION	16	%
GELATIN	IM-SC	INJECTION, SUSTAINED ACTION	16	%
GELATIN	DENTAL	PASTE	180	MG
GELLAN GUM	OPHTHALMIC	SOLUTION	0.6	%
GENTISIC ACID	INTRAVENOUS	INJECTION	0.056	%
GENTISIC ACID ETHANOLAMIDE	IV(INFUSION)	INJECTION	2	%
GLUCEPTATE SODIUM	INTRAVENOUS	INJECTION	7.5	%
GLUCEPTATE SODIUM	INTRAVENOUS	POWDER, FOR INJECTION SOLUTION	20	%
GLUCEPTATE SODIUM DIHYDRATE	INTRAVENOUS	INJECTION	7.5	%
GLUTATHIONE	INTRAMUSCULAR	INJECTION, SUSTAINED ACTION	0.5	%
GLYCERIN	AURICULAR (OTIC)	SUSPENSION	0.05	%
GLYCERIN	NASAL	SPRAY, METERED	0.223	%
GLYCERIN	IM-IV-SC	POWDER, FOR INJECTION SOLUTION	1.2	%
GLYCERIN	IV-SC	INJECTION	1.2	%

(Continued)

Ingredient	Route	Dosage Form	Dose	Unit
GLYCERIN	IM-SC	INJECTION	1.6	%
GLYCERIN	INTRADERMAL	INJECTION	1.6	%
GLYCERIN	SUBCUTANEOUS	SUSPENSION, INJECTION	1.6	%
GLYCERIN	INTRAMUSCULAR	INJECTABLE	1.7814	%
GLYCERIN	SUBCUTANEOUS	INJECTABLE	2	%
GLYCERIN	OPHTHALMIC	EMULSION	2.2	%
GLYCERIN	INTRAVENOUS	EMULSION, INJECTION	2.25	%
GLYCERIN	INTRAVENOUS	INJECTABLE	2.25	%
GLYCERIN	IV(INFUSION)	INJECTION	2.5	%
GLYCERIN	NASAL	SOLUTION	2.5	%
GLYCERIN	OPHTHALMIC	SUSPENSION	2.5	%
GLYCERIN	OPHTHALMIC	SUSPENSION, DROPS	2.5	%
GLYCERIN	PERFUSION, BILIARY	LIQUID	2.5	%
GLYCERIN	OPHTHALMIC	SOLUTION, DROPS	2.6	%
GLYCERIN	OPHTHALMIC	SOLUTION	3	%
GLYCERIN	INTRAMUSCULAR	INJECTION	5.9367	%
GLYCERIN	INHALATION	SOLUTION	7.3	%
GLYCERIN	INTRAVENOUS	INJECTION	12.62	%
GLYCERIN	DENTAL	SOLUTION	15	%
GLYCERIN	IM-IV	INJECTION	15	%
GLYCERIN	IM-IV-SC	INJECTION	15.36	%
GLYCERIN	IV(INFUSION)	EMULSION, INJECTION	22.5	%
GLYCERIN	SUBCUTANEOUS	INJECTION	32.5	%
GLYCERIN	AURICULAR (OTIC)	SOLUTION	60	%
GLYCERIN	AURICULAR (OTIC)	SOLUTION, DROPS	63.6373	%
GLYCERYL STEARATE	AURICULAR (OTIC)	SUSPENSION	0.5	%
GLYCERYL STEARATE	OPHTHALMIC	SUSPENSION	0.5	%
GLYCERYL STEARATE	DENTAL	PASTE	64	MG
GLYCINE	RESPIRATORY (INHALATION)	POWDER, FOR INHALATION	0.013	%
GLYCINE	SUBCUTANEOUS	INJECTABLE	0.136	%
GLYCINE	INTRAVENOUS	SOLUTION, LIPOSOME, INJECTION	0.376	%
GLYCINE	IV(INFUSION)	POWDER, FOR INJECTION SOLUTION	0.376	%
GLYCINE	INTRAMUSCULAR	INJECTION	1.126	%
GLYCINE	INTRAMUSCULAR	INJECTABLE	2.252	%
GLYCINE	SUBCUTANEOUS	POWDER, FOR INJECTION SOLUTION	2.4	%
GLYCINE	INTRAMUSCULAR	POWDER, FOR INJECTION SOLUTION, LYOPHILIZED	2.76	%
GLYCINE	SUBCUTANEOUS	POWDER, FOR INJECTION SOLUTION, LYOPHILIZED	2.76	%
GLYCINE	INTRAMUSCULAR	POWDER, FOR INJECTION SOLUTION	4	%
GLYCINE	INTRAVENOUS	POWDER, FOR INJECTION SOLUTION, LYOPHILIZED	25	%
GLYCINE	IV(INFUSION)	SOLUTION, INJECTION	42	%
GLYCINE	IV(INFUSION)	INJECTION	90	%
GLYCOCHOLIC ACID	INTRAVENOUS	POWDER, FOR INJECTION SOLUTION, LYOPHILIZED	14	%
GUANIDINE HYDROCHLORIDE	INTRAVENOUS	INJECTION	0.25	%
HETASTARCH	INTRAVENOUS	POWDER, FOR INJECTION SOLUTION	7.36	%
HISTIDINE	SUBCUTANEOUS	SOLUTION, INJECTION	0.11	%

(Continued)

Ingredient	Route	Dosage Form	Dose	Unit
HISTIDINE	INTRAVENOUS	INJECTION, SUSPENSION, LIPOSOMAL	0.155	%
HISTIDINE	IV(INFUSION)	SOLUTION, INJECTION	8.5	%
HISTIDINE	IV(INFUSION)	INJECTION	37.2	%
HYDROCHLORIC ACID	IM-IV	INJECTABLE	0.0249	%
HYDROCHLORIC ACID	AURICULAR (OTIC)	SUSPENSION	0.044	%
HYDROCHLORIC ACID	AURICULAR (OTIC)	SOLUTION, DROPS	0.06	%
HYDROCHLORIC ACID	OPHTHALMIC	SOLUTION, DROPS	0.17	%
HYDROCHLORIC ACID	SUBCUTANEOUS	INJECTION	0.17	%
HYDROCHLORIC ACID	SUBCUTANEOUS	SUSPENSION, INJECTION	0.22	%
HYDROCHLORIC ACID	AURICULAR (OTIC)	SOLUTION	0.37	%
HYDROCHLORIC ACID	AN, INFILTRATION	INJECTION	0.64	%
HYDROCHLORIC ACID	NERVE BLOCK	INJECTION	0.64	%
HYDROCHLORIC ACID	IV(INFUSION)	POWDER, FOR INJECTION SUSPENSION, LYOPHILIZED	0.834	%
HYDROCHLORIC ACID	OPHTHALMIC	SOLUTION	1.06	%
HYDROCHLORIC ACID	IV(INFUSION)	INJECTION	1.44	%
HYDROCHLORIC ACID	INHALATION	AEROSOL, METERED	1.72	%
HYDROCHLORIC ACID	INTRAVENOUS	SOLUTION	1.825	%
HYDROCHLORIC ACID	INHALATION	SOLUTION	3.5	%
HYDROCHLORIC ACID	INTRAMUSCULAR	INJECTABLE	8	%
HYDROCHLORIC ACID	INTRAVENOUS	INJECTABLE	8	%
HYDROCHLORIC ACID	INTRAVENOUS	INJECTION	10	%
HYDROCHLORIC ACID	IV(INFUSION)	SOLUTION, INJECTION	12.7	%
HYDROCHLORIC ACID	INTRAVENOUS	POWDER, FOR INJECTION SOLUTION, LYOPHILIZED	14.11	%
HYDROCHLORIC ACID	NASAL	SOLUTION	24.7	%
HYDROCHLORIC ACID, DILUTED	AN, INFILTRATION	INJECTION	1.36	%
HYDROCHLORIC ACID, DILUTED	NERVE BLOCK	INJECTION	1.36	%
HYDROCORTISONE	AURICULAR (OTIC)	SOLUTION	5.5007	%
HYDROXYETHYL CELLULOSE	AURICULAR (OTIC)	SOLUTION	0.25	%
HYDROXYETHYL CELLULOSE	OPHTHALMIC	SUSPENSION	0.25	%
HYDROXYETHYL CELLULOSE	OPHTHALMIC	SOLUTION	0.35	%
HYDROXYETHYL CELLULOSE	OPHTHALMIC	SUSPENSION, DROPS	0.35	%
HYDROXYETHYL CELLULOSE	OPHTHALMIC	SOLUTION, DROPS	0.5	%
HYDROXYPROPYL METHYLCELLULOSE 2906	OPHTHALMIC	SOLUTION, DROPS	0.5	%
HYDROXYPROPYL METHYLCELLULOSE 2910	NASAL	SPRAY, METERED	0.1	%
HYDROXYPROPYL METHYLCELLULOSE 2910	OPHTHALMIC	SOLUTION	0.5	%
HYDROXYPROPYL METHYLCELLULOSE 2910	OPHTHALMIC	SUSPENSION	0.5	%
HYDROXYPROPYL METHYLCELLULOSE 2910	OPHTHALMIC	SUSPENSION, DROPS	0.5	%
HYDROXYPROPYL-B-CYCLODEXTRIN	IV(INFUSION)	INJECTION	0.4	%
INSULIN BEEF	SUBCUTANEOUS	INJECTION	0.1	%
INSULIN PORK	SUBCUTANEOUS	INJECTION	0.1	%
IODOXAMIC ACID	INTRAVENOUS	INFUSION	7.61	%
IODOXAMIC ACID	INTRAVENOUS	INJECTION	31	%
ISOLEUCINE	IV(INFUSION)	SOLUTION, INJECTION	21	%
ISOLEUCINE	IV(INFUSION)	INJECTION	90	%
ISOPROPYL MYRISTATE	AURICULAR (OTIC)	SUSPENSION	0.024	%
ISOTONIC SODIUM CHLORIDE SOLUTION	INTRAVENOUS	INJECTION	0.9	%
LACTIC ACID	IM-IV-SC	INJECTION	0.012	%
LACTIC ACID	INTRACARDIAC	INJECTION	0.012	%
LACTIC ACID	AN, INFILTRATION	INJECTION	0.1184	%
LACTIC ACID	NERVE BLOCK	INJECTION	0.1184	%
LACTIC ACID	IV(INFUSION)	SOLUTION, INJECTION	0.119	%
LACTIC ACID	SUBCUTANEOUS	INJECTION	0.34	%

(Continued)

Ingredient	Route	Dosage Form	Dose	Unit
LACTIC ACID	INTRAVENOUS	INJECTABLE	0.413	%
LACTIC ACID	INTRAVENOUS	INJECTION	0.71	%
LACTIC ACID	IM-IV	INJECTION	1.1578	%
LACTIC ACID	IV(INFUSION)	INJECTION	32.2	%
DL-LACTIC ACID	IV(INFUSION)	INJECTION	2.82	%
L-LACTIC ACID	INTRAVENOUS	INJECTION	0.3	%
L-LACTIC ACID	SUBCUTANEOUS	INJECTION	0.3	%
L-LACTIC ACID	INTRAVENOUS	INJECTABLE	0.4	%
LACTOSE	IV(INFUSION)	SOLUTION, INJECTION	0.45	%
LACTOSE	SUBCUTANEOUS	POWDER, FOR INJECTION SOLUTION, LYOPHILIZED	0.5	%
LACTOSE	INTRAMUSCULAR	POWDER, FOR INJECTION SOLUTION, LYOPHILIZED	1	%
LACTOSE	INTRAVENOUS	POWDER, FOR INJECTION SOLUTION, LYOPHILIZED	1	%
LACTOSE	INTRAMUSCULAR	POWDER, FOR INJECTION SOLUTION	2	%
LACTOSE	SUBCUTANEOUS	POWDER, FOR INJECTION SOLUTION	2	%
LACTOSE	INTRAVENOUS	POWDER, FOR INJECTION SOLUTION	5	%
LACTOSE	INHALATION	POWDER	9	%
LACTOSE	IM-IV-SC	POWDER, FOR INJECTION SOLUTION	13.3	%
LACTOSE	INTRACAVITARY	POWDER, FOR INJECTION SOLUTION, LYOPHILIZED	19.38	%
LACTOSE	INHALATION	CAPSULE	20	MG
LACTOSE	INHALATION	CAPSULE, HARD GELATIN	25	MG
LACTOSE	INTRAVENOUS	INJECTION	50	%
LACTOSE MONOHYDRATE	RESPIRATORY (INHALATION)	POWDER, FOR INHALATION	2	%
LACTOSE MONOHYDRATE	IM-SC	INJECTION	2.1	%
LACTOSE MONOHYDRATE	IM-IV	POWDER	2.75	%
LACTOSE MONOHYDRATE	INTRACAVITARY	POWDER, FOR INJECTION SOLUTION, LYOPHILIZED	4.54	%
LACTOSE MONOHYDRATE	IV-SC	INJECTION	4.9	%
LACTOSE MONOHYDRATE	INTRAMUSCULAR	INJECTION	5	%
LACTOSE MONOHYDRATE	IM-IV-SC	POWDER, FOR INJECTION SOLUTION, LYOPHILIZED	10.7	%
LACTOSE MONOHYDRATE	IV(INFUSION)	POWDER, FOR INJECTION SOLUTION	20	%
LACTOSE MONOHYDRATE	RESPIRATORY (INHALATION)	CAPSULE	25	MG
LACTOSE MONOHYDRATE	INTRAVENOUS	POWDER, FOR INJECTION SOLUTION, LYOPHILIZED	69	%
LACTOSE MONOHYDRATE	IV(INFUSION)	POWDER, FOR INJECTION SOLUTION, LYOPHILIZED	90	%
LACTOSE, ANHYDROUS	IM-IV	INJECTABLE	2.5	%
LACTOSE, ANHYDROUS	IM-IV	POWDER, FOR INJECTION SOLUTION	2.5	%
LACTOSE, ANHYDROUS	IV(INFUSION)	POWDER, FOR INJECTION SOLUTION	2.5	%
LACTOSE, ANHYDROUS	INTRACAVITARY	POWDER, FOR INJECTION SOLUTION, LYOPHILIZED	4.75	%
LACTOSE, HYDROUS	INTRAMUSCULAR	POWDER, FOR INJECTION SOLUTION, LYOPHILIZED	1.05	%

(Continued)

Ingredient	Route	Dosage Form	Dose	Unit
LACTOSE, HYDROUS	IM-IV	INJECTION	2.5	%
LACTOSE, HYDROUS	IM-IV	POWDER, FOR INJECTION SOLUTION	2.5	%
LACTOSE, HYDROUS	IV(INFUSION)	POWDER, FOR INJECTION SOLUTION	20	%
LACTOSE, HYDROUS	INTRAVENOUS	INJECTION	25	%
LACTOSE, HYDROUS	INTRAVENOUS	POWDER, FOR INJECTION SOLUTION	75	%
LANOLIN	OPHTHALMIC	OINTMENT	2	%
LANOLIN ALCOHOLS	OPHTHALMIC	OINTMENT	10	%
LANOLIN, ANHYDROUS	OPHTHALMIC	OINTMENT	3	%
LAURALKONIUM CHLORIDE	OPHTHALMIC	SOLUTION, DROPS	0.005	%
LECITHIN	INHALATION	AEROSOL, METERED	0.0002	%
LECITHIN	INTRAMUSCULAR	INJECTION	2.3	%
LECITHIN, EGG	INTRAVENOUS	EMULSION, INJECTION	1.2	%
LECITHIN, EGG	INTRAVENOUS	INJECTABLE	1.2	%
LECITHIN, HYDROGENATED	AURICULAR (OTIC)	SUSPENSION, LIQUID	1.5	%
LECITHIN, HYDROGENATED SOY	INHALATION	AEROSOL, METERED	0.28	%
LECITHIN, HYDROGENATED SOY	INTRAVENOUS	INJECTION, SUSPENSION, LIPOSOMAL	0.958	%
LECITHIN, HYDROGENATED SOY	INTRAVENOUS	INJECTION, POWDER, LYOPHILIZED, FOR LIPOSOMAL SUSPENSION	21.3	%
LECITHIN, SOYBEAN	INHALATION	AEROSOL, METERED	0.1	%
LECITHIN, SOYBEAN	INTRAVENOUS	POWDER, FOR INJECTION SOLUTION, LYOPHILIZED	11.25	%
LEUCINE	IV(INFUSION)	SOLUTION, INJECTION	27	%
LEUCINE	IV(INFUSION)	INJECTION	52.6	%
LYSINE	IV(INFUSION)	SOLUTION, INJECTION	22	%
LYSINE	IV(INFUSION)	INJECTION	61	%
LYSINE ACETATE	IV(INFUSION)	INJECTION	0.756	%
LYSINE ACETATE	IV(INFUSION)	SOLUTION, INJECTION	31	%
MAGNESIUM CHLORIDE	OPHTHALMIC	SOLUTION, DROPS	0.0065	%
MAGNESIUM CHLORIDE	INTRAOCULAR	SOLUTION	0.03	%
MAGNESIUM CHLORIDE	OPHTHALMIC	POWDER, FOR SOLUTION	0.03	%
MAGNESIUM CHLORIDE	SUBCUTANEOUS	POWDER, FOR INJECTION SOLUTION, LYOPHILIZED	0.125	%
MAGNESIUM CHLORIDE	INTRAPERITONEAL	SOLUTION	0.508	%
MAGNESIUM CHLORIDE	IV(INFUSION)	INJECTION	10.2	%
MAGNESIUM STEARATE	IMPLANTATION	INJECTION	0.0015	%
MAGNESIUM STEARATE	INTRAVITREAL	INJECTION	0.0015	%
MAGNESIUM STEARATE	INTRAVITREAL	IMPLANT	0.0048	MG
MAGNESIUM STEARATE	SUBCUTANEOUS	IMPLANT	0.5	MG
MANNITOL	RESPIRATORY (INHALATION)	POWDER, FOR INHALATION	0.051	%
MANNITOL	IM-SC	POWDER, FOR INJECTION SOLUTION	2	%
MANNITOL	OPHTHALMIC	SUSPENSION	2.4	%
MANNITOL	IM-IV	INJECTION	2.5	%
MANNITOL	INTRAMUSCULAR	POWDER, FOR INJECTION SOLUTION, LYOPHILIZED, WITH ADDITIVES	3.6	%
MANNITOL	SUBCUTANEOUS	INJECTABLE	3.6	%
MANNITOL	SUBCUTANEOUS	SUSPENSION, INJECTION	3.64	%
MANNITOL	OPHTHALMIC	SUSPENSION, DROPS	4	%

(Continued)

Ingredient	Route	Dosage Form	Dose	Unit
MANNITOL	SUBCUTANEOUS	POWDER, FOR INJECTION SOLUTION, LYOPHILIZED, WITH ADDITIVES	4	%
MANNITOL	INTRAVENOUS	SOLUTION	4.15	%
MANNITOL	SUBCUTANEOUS	SOLUTION, INJECTION	4.3	%
MANNITOL	OPHTHALMIC	SOLUTION, GEL FORMING, EXTENDED RELEASE	4.35	%
MANNITOL	SUBCUTANEOUS	INJECTION	4.5	%
MANNITOL	OPHTHALMIC	SOLUTION, DROPS	4.6	%
MANNITOL	IV(INFUSION)	SOLUTION, INJECTION	4.7	%
MANNITOL	INTRAVENOUS	SOLUTION, INJECTION	4.95	%
MANNITOL	INTRAMUSCULAR	POWDER, FOR INJECTION SUSPENSION, LYOPHILIZED	5.19	%
MANNITOL	OPHTHALMIC	POWDER, FOR SOLUTION	5.6	%
MANNITOL	INTRAVENOUS	LIQUID, CONCENTRATE, INJECTION	6.13	%
MANNITOL	IV(INFUSION)	POWDER, FOR INJECTION SOLUTION, LYOPHILIZED, WITH ADDITIVES	7.5	%
MANNITOL	INTRAMUSCULAR	POWDER, FOR INJECTION SOLUTION, LYOPHILIZED	8.5	%
MANNITOL	SUBCUTANEOUS	POWDER, FOR INJECTION SOLUTION	9	%
MANNITOL	SUBCUTANEOUS	POWDER, FOR INJECTION SUSPENSION, LYOPHILIZED	10	%
MANNITOL	INTRAMUSCULAR	INJECTION	10.66	%
MANNITOL	INTRAMUSCULAR	POWDER, FOR INJECTION SOLUTION	11.9292	%
MANNITOL	INTRAVENOUS	INJECTION	13	%
MANNITOL	INTRAMUSCULAR	INJECTION, MICROSPHERES	13.49	%
MANNITOL	SUBCUTANEOUS	POWDER, FOR INJECTION SOLUTION, LYOPHILIZED	16.44	%
MANNITOL	IV(INFUSION)	INJECTION	20	%
MANNITOL	OPHTHALMIC	SOLUTION	23	%
MANNITOL	IM-IV	POWDER, FOR INJECTION SOLUTION	30	%
MANNITOL	INTRAVENOUS	POWDER, FOR INJECTION SOLUTION	34	%
MANNITOL	INTRAVENOUS	INJECTABLE	45	%
MANNITOL	INTRAVENOUS	POWDER	45	%
MANNITOL	INTRAVENOUS	POWDER, FOR INJECTION SOLUTION, LYOPHILIZED	45	%
MANNITOL	IV(INFUSION)	POWDER, FOR INJECTION SOLUTION, LYOPHILIZED	50	%
MANNITOL	IV(INFUSION)	POWDER, FOR INJECTION SOLUTION	75	%
MEDRONATE DISODIUM	INTRAVENOUS	POWDER, FOR INJECTION SOLUTION	0.01	%
MEDRONATE DISODIUM	INTRAVENOUS	INJECTION	1	%
MEDRONIC ACID	INTRAVENOUS	POWDER, FOR INJECTION SOLUTION	1	%
MEDRONIC ACID	INTRAVENOUS	INJECTION	2.5	%
MEGLUMINE	INTRAVENOUS	INFUSION	2.3	%
MEGLUMINE	IV(INFUSION)	INJECTION	7.238	%
MEGLUMINE	INTRAMUSCULAR	INJECTION	15.924	%
MEGLUMINE	INTRAVENOUS	INJECTION	15.924	%

(Continued)

Ingredient	Route	Dosage Form	Dose	Unit
MENTHOL	INHALATION	AEROSOL, METERED	0.0502	%
METAPHOSPHORIC ACID	IV(INFUSION)	INJECTION	0.13	%
METHANESULFONIC ACID	IV(INFUSION)	POWDER, FOR INJECTION SOLUTION, LYOPHILIZED	8.07	%
METHIONINE	INTRATHECAL	INJECTABLE	0.005	%
METHIONINE	SUBCUTANEOUS	POWDER, FOR INJECTION SOLUTION, LYOPHILIZED	0.01	%
METHIONINE	SUBCUTANEOUS	INJECTION	0.015	%
METHIONINE	INTRAMUSCULAR	SOLUTION, INJECTION	0.0443	%
METHIONINE	SUBCUTANEOUS	SOLUTION, INJECTION	0.0443	%
METHIONINE	SUBCUTANEOUS	SUSPENSION, INJECTION	0.15	%
METHIONINE	INTRAVENOUS	INJECTION	0.3	%
METHIONINE	IV(INFUSION)	SOLUTION, INJECTION	16	%
METHIONINE	IV(INFUSION)	INJECTION	49.2	%
METHYL BORONIC ACID	INTRAVENOUS	INJECTION	0.2	%
METHYLCELLULOSE	INTRA-ARTICULAR	INJECTION	0.1	%
METHYLCELLULOSE	INTRAMUSCULAR	INJECTION	0.1	%
METHYLCELLULOSE	OPHTHALMIC	SOLUTION	0.1641	%
METHYLCELLULOSE 4000	OPHTHALMIC	SOLUTION	0.5	%
METHYLENE BLUE	INTRAVENOUS	INJECTION	1	%
METHYLPARABEN	AURICULAR (OTIC)	SUSPENSION	0.0014	%
METHYLPARABEN	NASAL	SOLUTION	0.033	%
METHYLPARABEN	OPHTHALMIC	OINTMENT	0.05	%
METHYLPARABEN	OPHTHALMIC	SOLUTION	0.05	%
METHYLPARABEN	OPHTHALMIC	SOLUTION, DROPS	0.05	%
METHYLPARABEN	OPHTHALMIC	SUSPENSION	0.05	%
METHYLPARABEN	OPHTHALMIC	SUSPENSION, DROPS	0.05	%
METHYLPARABEN	INHALATION	SOLUTION	0.07	%
METHYLPARABEN	AN, INFILTRATION	INJECTION	0.1	%
METHYLPARABEN	CAUDAL BLOCK	INJECTION	0.1	%
METHYLPARABEN	EPIDURAL	INJECTION	0.1	%
METHYLPARABEN	INTRADERMAL	INJECTION	0.1	%
METHYLPARABEN	IV(INFUSION)	POWDER, FOR INJECTION SOLUTION	0.1	%
METHYLPARABEN	NERVE BLOCK	INJECTION	0.1	%
METHYLPARABEN	PERIDURAL	INJECTION	0.1	%
METHYLPARABEN	IM-IV	INJECTABLE	0.12	%
METHYLPARABEN	N/A	LIQUID	0.12	%
METHYLPARABEN	IM-IV	SOLUTION	0.126	%
METHYLPARABEN	INTRALESIONAL	INJECTION	0.15	%
METHYLPARABEN	SOFT TISSUE	INJECTION	0.15	%
METHYLPARABEN	SUBCUTANEOUS	SUSPENSION, INJECTION	0.16	%
METHYLPARABEN	IM-SC	INJECTION	0.18	%
METHYLPARABEN	INTRABURSAL	INJECTION	0.18	%
METHYLPARABEN	INTRAVENOUS	INJECTABLE	0.18	%
METHYLPARABEN	IV-SC	INJECTION	0.18	%
METHYLPARABEN	SUBCUTANEOUS	INJECTION	0.18	%
METHYLPARABEN	INTRA-ARTICULAR	INJECTION	0.24	%
METHYLPARABEN	INTRAMUSCULAR	INJECTION	0.24	%
METHYLPARABEN	IM-IV-SC	INJECTION	0.44	%
METHYLPARABEN	IV(INFUSION)	INJECTION	0.44	%
METHYLPARABEN	N/A	NOT APPLICABLE	0.45	MG
METHYLPARABEN	NASAL	SPRAY, METERED	0.7	%
METHYLPARABEN	IM-IV	INJECTION	0.75	%

(Continued)

Ingredient	Route	Dosage Form	Dose	Unit
METHYLPARABEN	INTRAVENOUS	POWDER, FOR INJECTION SOLUTION	1.5	%
METHYLPARABEN	INTRAVENOUS	INJECTION	1.8	%
METHYLPARABEN	IRRIGATION	SOLUTION	2	%
MINERAL OIL	OPHTHALMIC	SUSPENSION	0.1	%
MINERAL OIL	AURICULAR (OTIC)	SUSPENSION	0.5	%
MINERAL OIL	OPHTHALMIC	OINTMENT	59.5	%
MINERAL OIL	DENTAL	PASTE	457.95	MG
MYRISTYL-GAMMA-PICOLINIUM CHLORIDE	INTRA-ARTICULAR	INJECTION	0.019	%
MYRISTYL-GAMMA-PICOLINIUM CHLORIDE	INTRALESIONAL	INJECTION	0.019	%
MYRISTYL-GAMMA-PICOLINIUM CHLORIDE	INTRAMUSCULAR	INJECTION	0.019	%
MYRISTYL-GAMMA-PICOLINIUM CHLORIDE	SOFT TISSUE	INJECTION	0.019	%
MYRISTYL-GAMMA-PICOLINIUM CHLORIDE	INTRALESIONAL	INJECTION, SUSTAINED ACTION	0.0195	%
MYRISTYL-GAMMA-PICOLINIUM CHLORIDE	INTRAMUSCULAR	INJECTION, SUSTAINED ACTION	0.0195	%
MYRISTYL-GAMMA-PICOLINIUM CHLORIDE	INTRASYNOVIAL	INJECTION, SUSTAINED ACTION	0.0195	%
MYRISTYL-GAMMA-PICOLINIUM CHLORIDE	SOFT TISSUE	INJECTION, SUSTAINED ACTION	0.0195	%
N-(CARBAMOYL-METHOXY PEG-40)-1,2-DISTEAROYL-CEPHALIN SODIUM	INTRAVENOUS	INJECTION, SUSPENSION, LIPOSOMAL	0.319	%
N, N-DIMETHYLACETAMIDE	INTRAVENOUS	INJECTION	1.8	%
NIACINAMIDE	IM-IV	INJECTION	2.5	%
NIOXIME	INTRAVENOUS	INJECTION	0.2	%
NITRIC ACID	INHALATION	AEROSOL, METERED	1.67	%
N-LAUROYLSARCOSINE	OPHTHALMIC	SUSPENSION, DROPS	0.03	%
NONOXYNOL-9	OPHTHALMIC	SOLUTION	0.125	%
NORFLURANE	INHALATION	AEROSOL, METERED	7.5	%
NORFLURANE	RESPIRATORY (INHALATION)	AEROSOL, METERED	89.76	%
OCTANOIC ACID	INTRAVENOUS	INJECTION	0.012	%
OCTOXYNOL-40	OPHTHALMIC	SOLUTION	0.01	%
OLEIC ACID	RESPIRATORY (INHALATION)	AEROSOL, METERED	0.0003	%
OLEIC ACID	NASAL	AEROSOL, METERED	0.132	%
OLEIC ACID	INHALATION	INHALANT	0.16	%
OLEIC ACID	INHALATION	AEROSOL, METERED	0.267	%
OXIDRONATE SODIUM	INTRAVENOUS	INJECTION	0.2	%
OXYQUINOLINE	INTRAVENOUS	INJECTION	0.005	%
PECTIN	DENTAL	PASTE	180	MG
PEG VEGETABLE OIL	IV(INFUSION)	INJECTION	5	%
PEG VEGETABLE OIL	IM-SC	INJECTION	7	%
PEG-40 SORBITAN DIISOSTEARATE	DENTAL	SOLUTION	2.4	%
PENTETATE PENTASODIUM	INTRAVENOUS	INJECTION	0.5	%
PENTETIC ACID	INTRAVENOUS	INJECTION	0.2	%
PEPPERMINT	DENTAL	SOLUTION	0.5	%
PEPPERMINT OIL	DENTAL	SOLUTION	0.525	%
PETROLATUM	OPHTHALMIC	OINTMENT	85	%
PETROLATUM, WHITE	DENTAL	PASTE	64	MG
PETROLATUM, WHITE	OPHTHALMIC	OINTMENT	89	%
PHENETHYL ALCOHOL	NASAL	SOLUTION	0.2	%
PHENETHYL ALCOHOL	AURICULAR (OTIC)	SOLUTION	0.25	%
PHENETHYL ALCOHOL	NASAL	SPRAY, METERED	0.254	%
PHENETHYL ALCOHOL	OPHTHALMIC	SOLUTION	0.5	%
PHENOL	SUBCUTANEOUS	SUSPENSION, INJECTION	0.15	%
PHENOL	SUBCUTANEOUS	INJECTABLE	0.18	%
PHENOL	INTRADERMAL	INJECTION	0.25	%
PHENOL	IM-IV-SC	INJECTION	0.45	%

(Continued)

Ingredient	Route	Dosage Form	Dose	Unit
PHENOL	INTRAMUSCULAR	SOLUTION, INJECTION	0.45	%
PHENOL	IM-SC	INJECTION	0.5	%
PHENOL	IM-SC	INJECTION, SUSTAINED ACTION	0.5	%
PHENOL	INTRA-ARTICULAR	INJECTION	0.5	%
PHENOL	INTRALESIONAL	INJECTION	0.5	%
PHENOL	INTRAMUSCULAR	INJECTABLE	0.5	%
PHENOL	INTRAMUSCULAR	INJECTION, SUSTAINED ACTION	0.5	%
PHENOL	INTRASYNOVIAL	INJECTION	0.5	%
PHENOL	INTRAVENOUS	INJECTABLE	0.5	%
PHENOL	INTRAVENOUS	INJECTION	0.5	%
PHENOL	SUBCUTANEOUS	INJECTION	0.5	%
PHENOL	SUBCUTANEOUS	SOLUTION, INJECTION	0.5	%
PHENOL	IM-IV	INJECTION	1	%
PHENOL	IV(INFUSION)	INJECTION	1	%
PHENOL	INTRAMUSCULAR	INJECTION	1.3333	%
PHENOL, LIQUEFIED	SUBCUTANEOUS	INJECTION	0.065	%
PHENOL, LIQUEFIED	SUBCUTANEOUS	SUSPENSION, INJECTION	0.073	%
PHENOL, LIQUEFIED	IM-SC	INJECTION	0.2	%
PHENOL, LIQUEFIED	INTRAVENOUS	INJECTION	0.28	%
PHENOL, LIQUEFIED	IM-IV	INJECTION	0.5	%
PHENOL, LIQUEFIED	IV-SC	LIQUID	0.5	%
PHENYLALANINE	IV(INFUSION)	SOLUTION, INJECTION	17	%
PHENYLALANINE	IV(INFUSION)	INJECTION	52.6	%
PHENYLMERCURIC ACETATE	OPHTHALMIC	OINTMENT	0.0008	%
PHENYLMERCURIC NITRATE	OPHTHALMIC	OINTMENT	0.002	%
PHENYLMERCURIC NITRATE	OPHTHALMIC	SOLUTION	0.002	%
PHOSPHATIDYL GLYCEROL, EGG	INTRAVENOUS	POWDER, FOR INJECTION SOLUTION, LYOPHILIZED	4.875	%
PHOSPHOLIPID	IV(INFUSION)	INJECTION	1.2	%
PHOSPHOLIPID	IV(INFUSION)	EMULSION, INJECTION	12	%
PHOSPHOLIPID, EGG	IV(INFUSION)	INJECTION	1.2	%
PHOSPHORIC ACID	SUBCUTANEOUS	POWDER, FOR INJECTION SOLUTION, LYOPHILIZED, WITH ADDITIVES	0.1398	%
PHOSPHORIC ACID	SUBCUTANEOUS	INJECTION	0.205	%
PHOSPHORIC ACID	INTRAMUSCULAR	POWDER, FOR INJECTION SOLUTION, LYOPHILIZED	0.233	%
PHOSPHORIC ACID	SUBCUTANEOUS	POWDER, FOR INJECTION SOLUTION, LYOPHILIZED	0.233	%
PHOSPHORIC ACID	IV(INFUSION)	INJECTION	11.5	%
PLASTIBASE-50W	DENTAL	PASTE	549	MG
POLOXAMER 188	SUBCUTANEOUS	INJECTION	0.015	%
POLOXAMER 188	OPHTHALMIC	SOLUTION	0.1	%
POLOXAMER 188	OPHTHALMIC	SOLUTION, DROPS	0.1	%
POLOXAMER 188	INTRAVENOUS	POWDER, FOR INJECTION SOLUTION	0.22	%
POLOXAMER 188	SUBCUTANEOUS	SOLUTION, INJECTION	0.3	%
POLOXAMER 188	INTRAVENOUS	INJECTION	0.6	%
POLOXAMER 407	OPHTHALMIC	SOLUTION, DROPS	0.16	%
POLOXAMER 407	OPHTHALMIC	SOLUTION	0.2	%
POLY(BIS(P-CARBOXYPHENOXY)PROPANE ANHYDRIDE): SEBACIC ACID	IMPLANTATION	WAFER	192.3	MG
POLYCARBOPHIL	OPHTHALMIC	SOLUTION	0.9	%
POLYETHYLENE	DENTAL	PASTE	40	MG
POLYETHYLENE GLYCOL 1540	DENTAL	GEL	5	%

(Continued)

Ingredient	Route	Dosage Form	Dose	Unit
POLYETHYLENE GLYCOL 200	INTRAMUSCULAR	INJECTION	30	%
POLYETHYLENE GLYCOL 300	IM-IV	INJECTION	50	%
POLYETHYLENE GLYCOL 300	INTRAMUSCULAR	INJECTION	50	%
POLYETHYLENE GLYCOL 300	INTRAVENOUS	INJECTION	65	%
POLYETHYLENE GLYCOL 300	IV(INFUSION)	INJECTION	65	%
POLYETHYLENE GLYCOL 3350	NASAL	SPRAY, METERED	1.5	%
POLYETHYLENE GLYCOL 3350	SUBCUTANEOUS	SUSPENSION, INJECTION	2.875	%
POLYETHYLENE GLYCOL 3350	INTRALESIONAL	INJECTION, SUSTAINED ACTION	2.9	%
POLYETHYLENE GLYCOL 3350	INTRAMUSCULAR	INJECTION, SUSTAINED ACTION	2.9	%
POLYETHYLENE GLYCOL 3350	INTRASYNOVIAL	INJECTION, SUSTAINED ACTION	2.9	%
POLYETHYLENE GLYCOL 3350	SOFT TISSUE	INJECTION, SUSTAINED ACTION	2.9	%
POLYETHYLENE GLYCOL 3350	INTRALESIONAL	SUSPENSION, INJECTION	2.91	%
POLYETHYLENE GLYCOL 3350	INTRAMUSCULAR	SUSPENSION, INJECTION	2.91	%
POLYETHYLENE GLYCOL 3350	INTRASYNOVIAL	SUSPENSION, INJECTION	2.91	%
POLYETHYLENE GLYCOL 3350	SOFT TISSUE	SUSPENSION, INJECTION	2.91	%
POLYETHYLENE GLYCOL 3350	INTRA-ARTICULAR	INJECTION	3	%
POLYETHYLENE GLYCOL 3350	INTRALESIONAL	INJECTION	3	%
POLYETHYLENE GLYCOL 3350	INTRAMUSCULAR	INJECTION	3	%
POLYETHYLENE GLYCOL 3350	INTRASYNOVIAL	INJECTION	3	%
POLYETHYLENE GLYCOL 3350	SOFT TISSUE	INJECTION	3	%
POLYETHYLENE GLYCOL 400	OPHTHALMIC	OINTMENT	4.997	%
POLYETHYLENE GLYCOL 400	INTRAVENOUS	INJECTION	11.25	%
POLYETHYLENE GLYCOL 400	IV(INFUSION)	INJECTION	11.25	%
POLYETHYLENE GLYCOL 400	IM-IV	SOLUTION, INJECTION	18	%
POLYETHYLENE GLYCOL 400	NASAL	SPRAY, METERED	20	%
POLYETHYLENE GLYCOL 400	IM-IV	INJECTION	20.3	%
POLYETHYLENE GLYCOL 4000	INTRA-ARTICULAR	INJECTION	3	%
POLYETHYLENE GLYCOL 4000	INTRALESIONAL	INJECTION	3	%
POLYETHYLENE GLYCOL 4000	INTRAMUSCULAR	INJECTION	3	%
POLYETHYLENE GLYCOL 4000	INTRASYNOVIAL	INJECTION	3	%
POLYETHYLENE GLYCOL 600	INTRAVENOUS	INJECTION	5	%
POLYETHYLENE GLYCOL 600	INTRAVENOUS	SOLUTION, INJECTION	5	%
POLYETHYLENE GLYCOL 8000	OPHTHALMIC	SOLUTION	2	%
POLYGLACTIN	SUBCUTANEOUS	POWDER, FOR INJECTION SUSPENSION	13.26	%
POLYGLACTIN	INTRAMUSCULAR	INJECTION	14.5	%
POLYGLACTIN	INTRAMUSCULAR	POWDER, FOR INJECTION SOLUTION, LYOPHILIZED	17	%
POLYGLACTIN	SUBCUTANEOUS	INJECTION	21.15	%
POLYGLACTIN	IMPLANTATION	PELLET, IMPLANT	25.2	MG
POLYGLACTIN	SUBCUTANEOUS	PELLET, IMPLANT	25.2	MG
POLYGLACTIN	INTRAMUSCULAR	INJECTION, MICROSPHERES	56.64	%
POLYLACTIDE	INTRAMUSCULAR	POWDER, FOR INJECTION SUSPENSION, LYOPHILIZED	26.48	%
POLYOLS	DENTAL	GEL	65.82	%
POLYOXYETHYLENE FATTY ACID ESTERS	IM-SC	INJECTION	7	%
POLYOXYL 35 CASTOR OIL	OPHTHALMIC	SOLUTION	5	%
POLYOXYL 35 CASTOR OIL	INTRAVESICAL	SOLUTION	50	%
POLYOXYL 35 CASTOR OIL	IV(INFUSION)	SOLUTION, INJECTION	52.75	%
POLYOXYL 35 CASTOR OIL	IV(INFUSION)	INJECTION	65	%
POLYOXYL 40 CASTOR OIL	IM-SC	INJECTION	7	%
POLYOXYL 40 HYDROGENATED CASTOR OIL	OPHTHALMIC	SOLUTION, DROPS	0.5	%
POLYOXYL 40 HYDROGENATED CASTOR OIL	DENTAL	SOLUTION	1	%
POLYOXYL 40 STEARATE	OPHTHALMIC	SUSPENSION	0.5	%
POLYOXYL 40 STEARATE	AURICULAR (OTIC)	SUSPENSION	1	%

(Continued)

Ingredient	Route	Dosage Form	Dose	Unit
POLYOXYL 40 STEARATE	OPHTHALMIC	SOLUTION	7	%
POLYOXYL 400 STEARATE	NASAL	SPRAY, METERED	15	%
POLYOXYL 60 CASTOR OIL	IV(INFUSION)	INJECTION	20	%
POLYOXYL STEARATE	AURICULAR (OTIC)	SUSPENSION	0.006	%
POLYPROPYLENE GLYCOL	OPHTHALMIC	SOLUTION	15	%
POLYPROPYLENE GLYCOL	IM-IV	INJECTABLE	40	%
POLYQUATERNIUM-1	OPHTHALMIC	SOLUTION, DROPS	0.0005	%
POLYSORBATE 20	IM-SC	INJECTION	0.0005	%
POLYSORBATE 20	SUBCUTANEOUS	INJECTION	0.001	%
POLYSORBATE 20	SUBCUTANEOUS	INJECTABLE	0.002	%
POLYSORBATE 20	INTRAMUSCULAR	POWDER, FOR INJECTION SOLUTION, LYOPHILIZED	0.01	%
POLYSORBATE 20	SUBCUTANEOUS	POWDER, FOR INJECTION SOLUTION, LYOPHILIZED	0.01	%
POLYSORBATE 20	INTRAMUSCULAR	SOLUTION, INJECTION	0.0177	%
POLYSORBATE 20	OPHTHALMIC	SUSPENSION	0.05	%
POLYSORBATE 20	SUBCUTANEOUS	LIQUID	0.05	%
POLYSORBATE 20	INTRAVENOUS	INJECTION	0.4	%
POLYSORBATE 20	INTRAVENOUS	SOLUTION, INJECTION	0.4	%
POLYSORBATE 20	SUBCUTANEOUS	SOLUTION, INJECTION	0.4	%
POLYSORBATE 20	AURICULAR (OTIC)	SUSPENSION, LIQUID	1	%
POLYSORBATE 20	IV(INFUSION)	INJECTION	2.4	%
POLYSORBATE 20	NASAL	SPRAY, METERED	2.5	%
POLYSORBATE 60	OPHTHALMIC	OINTMENT	15	%
POLYSORBATE 80	NASAL	SPRAY	0.004	%
POLYSORBATE 80	OPHTHALMIC	SOLUTION, GEL FORMING, EXTENDED RELEASE	0.05	%
POLYSORBATE 80	AURICULAR (OTIC)	SOLUTION, DROPS	0.1	%
POLYSORBATE 80	INTRAMUSCULAR	INJECTION, MICROSPHERES	0.1	%
POLYSORBATE 80	NASAL	SOLUTION	0.1	%
POLYSORBATE 80	OPHTHALMIC	SUSPENSION	0.1	%
POLYSORBATE 80	OPHTHALMIC	SUSPENSION, DROPS	0.1	%
POLYSORBATE 80	INTRALESIONAL	SUSPENSION, INJECTION	0.194	%
POLYSORBATE 80	INTRAMUSCULAR	SUSPENSION, INJECTION	0.194	%
POLYSORBATE 80	INTRASYNOVIAL	SUSPENSION, INJECTION	0.194	%
POLYSORBATE 80	SOFT TISSUE	SUSPENSION, INJECTION	0.194	%
POLYSORBATE 80	AURICULAR (OTIC)	SOLUTION	0.2	%
POLYSORBATE 80	INTRALESIONAL	INJECTION	0.2	%
POLYSORBATE 80	INTRAMUSCULAR	POWDER, FOR INJECTION SOLUTION, LYOPHILIZED	0.2	%
POLYSORBATE 80	INTRASYNOVIAL	INJECTION	0.2	%
POLYSORBATE 80	OPHTHALMIC	SOLUTION	0.2	%
POLYSORBATE 80	SOFT TISSUE	INJECTION	0.2	%
POLYSORBATE 80	SUBCUTANEOUS	SUSPENSION, INJECTION	0.3	%
POLYSORBATE 80	INTRA-ARTICULAR	INJECTION	0.4	%
POLYSORBATE 80	OPHTHALMIC	EMULSION	1	%
POLYSORBATE 80	OPHTHALMIC	SOLUTION, DROPS	1	%
POLYSORBATE 80	INTRAVENOUS	POWDER, FOR INJECTION SOLUTION, LYOPHILIZED	2.5	%
POLYSORBATE 80	AURICULAR (OTIC)	SUSPENSION	5	%
POLYSORBATE 80	INTRAMUSCULAR	SOLUTION, INJECTION	5	%
POLYSORBATE 80	IV(INFUSION)	INJECTION	8	%
POLYSORBATE 80	INTRAVENOUS	INJECTABLE	10	%
POLYSORBATE 80	INTRAVENOUS	INJECTION	10	%
POLYSORBATE 80	INTRAVENOUS	SOLUTION, INJECTION	10	%

(Continued)

Ingredient	Route	Dosage Form	Dose	Unit
POLYSORBATE 80	IV(INFUSION)	SOLUTION, INJECTION	10	%
POLYSORBATE 80	NASAL	SPRAY, METERED	10	%
POLYSORBATE 80	INTRAMUSCULAR	INJECTION	12	%
POLYSORBATE 80	IV(INFUSION)	POWDER, FOR INJECTION SOLUTION, LYOPHILIZED	12.5	%
POLYVINYL ALCOHOL	INTRAVITREAL	IMPLANT	0.119	MG
POLYVINYL ALCOHOL	INTRAOCULAR	SOLUTION	1.4	%
POLYVINYL ALCOHOL	OPHTHALMIC	SOLUTION	1.4	%
POLYVINYL ALCOHOL	OPHTHALMIC	SOLUTION, DROPS	1.4	%
POLYVINYL ALCOHOL	OPHTHALMIC	SUSPENSION	1.4	%
POLYVINYL ALCOHOL	OPHTHALMIC	SUSPENSION, DROPS	1.4	%
POLYVINYL ALCOHOL	AURICULAR (OTIC)	SUSPENSION, LIQUID	20	%
POTASSIUM ACETATE	OPHTHALMIC	POWDER, FOR SOLUTION	4	%
POTASSIUM CHLORIDE	AN, INFILTRATION	INJECTION	0.03	%
POTASSIUM CHLORIDE	CAUDAL BLOCK	INJECTION	0.03	%
POTASSIUM CHLORIDE	EPIDURAL	INJECTION	0.03	%
POTASSIUM CHLORIDE	NERVE BLOCK	INJECTION	0.03	%
POTASSIUM CHLORIDE	INTRAOCULAR	SOLUTION	0.075	%
POTASSIUM CHLORIDE	OPHTHALMIC	POWDER, FOR SOLUTION	0.075	%
POTASSIUM CHLORIDE	OPHTHALMIC	SOLUTION, DROPS	0.14	%
POTASSIUM CHLORIDE	INTRAVENOUS	SOLUTION, INJECTION	0.382	%
POTASSIUM CHLORIDE	OPHTHALMIC	SOLUTION	22.2	%
POTASSIUM METABISULFITE	IV(INFUSION)	INJECTION	0.06	%
POTASSIUM METABISULFITE	AURICULAR (OTIC)	SOLUTION, DROPS	0.1	%
POTASSIUM METABISULFITE	IM-IV	INJECTION	0.1	%
POTASSIUM METABISULFITE	AURICULAR (OTIC)	SOLUTION	0.11	%
POTASSIUM METABISULFITE	IV(INFUSION)	SOLUTION, INJECTION	5	%
POTASSIUM PHOSPHATE, DIBASIC	SUBCUTANEOUS	POWDER, FOR INJECTION SOLUTION, LYOPHILIZED	0.192	%
POTASSIUM PHOSPHATE, DIBASIC	INTRA-ARTICULAR	INJECTION	0.6	%
POTASSIUM PHOSPHATE, DIBASIC	INTRAMUSCULAR	INJECTION	0.6	%
POTASSIUM PHOSPHATE, DIBASIC	SUBCUTANEOUS	INJECTION	1.36	%
POTASSIUM PHOSPHATE, DIBASIC	IV(INFUSION)	INJECTION	55.2	%
POTASSIUM PHOSPHATE, MONOBASIC	INTRAVENOUS	INJECTION	0.0153	%
POTASSIUM PHOSPHATE, MONOBASIC	OPHTHALMIC	SOLUTION, DROPS	0.065	%
POTASSIUM PHOSPHATE, MONOBASIC	IM-IV	INJECTION	0.096	%
POTASSIUM PHOSPHATE, MONOBASIC	INTRAMUSCULAR	INJECTABLE	0.096	%
POTASSIUM PHOSPHATE, MONOBASIC	INTRAVENOUS	INJECTABLE	0.096	%
POTASSIUM PHOSPHATE, MONOBASIC	SUBCUTANEOUS	POWDER, FOR INJECTION SOLUTION, LYOPHILIZED	0.122	%
POTASSIUM PHOSPHATE, MONOBASIC	NASAL	SPRAY	0.14	%
POTASSIUM PHOSPHATE, MONOBASIC	AURICULAR (OTIC)	SOLUTION	0.2	%
POTASSIUM PHOSPHATE, MONOBASIC	OPHTHALMIC	SOLUTION	0.2	%
POTASSIUM PHOSPHATE, MONOBASIC	INTRA-ARTICULAR	INJECTION	0.3	%
POTASSIUM PHOSPHATE, MONOBASIC	INTRAMUSCULAR	INJECTION	0.3	%
POTASSIUM PHOSPHATE, MONOBASIC	OPHTHALMIC	SUSPENSION	0.44	%
POTASSIUM PHOSPHATE, MONOBASIC	IV(INFUSION)	SOLUTION, INJECTION	1.361	%
POTASSIUM PHOSPHATE, MONOBASIC	AN, INFILTRATION	INJECTION	2.7218	%
POTASSIUM PHOSPHATE, MONOBASIC	NERVE BLOCK	INJECTION	2.7218	%
POTASSIUM SORBATE	NASAL	SPRAY, METERED	0.0084	%
POTASSIUM SORBATE	OPHTHALMIC	SOLUTION	0.47	%
POVIDONE K17	SUBCUTANEOUS	SUSPENSION, INJECTION	0.5	%
POVIDONE K29-32	OPHTHALMIC	SOLUTION	1.8	%
POVIDONE K30	OPHTHALMIC	SUSPENSION	0.6	%
POVIDONE K90	OPHTHALMIC	SOLUTION, DROPS	1.2	%

(Continued)

Ingredient	Route	Dosage Form	Dose	Unit
PROLINE	IV(INFUSION)	SOLUTION, INJECTION	34	%
PROLINE	IV(INFUSION)	INJECTION	80	%
PROPYLENE GLYCOL	OPHTHALMIC	SOLUTION, DROPS	0.75	%
PROPYLENE GLYCOL	OPHTHALMIC	SUSPENSION, DROPS	1	%
PROPYLENE GLYCOL	DENTAL	SOLUTION	2	%
PROPYLENE GLYCOL	EXTRACORPOREAL	SOLUTION	5	%
PROPYLENE GLYCOL	OPHTHALMIC	SUSPENSION	5	%
PROPYLENE GLYCOL	PHOTOPHERESIS	SOLUTION	5	%
PROPYLENE GLYCOL	AURICULAR (OTIC)	SUSPENSION	10	%
PROPYLENE GLYCOL	OPHTHALMIC	SOLUTION	10	%
PROPYLENE GLYCOL	NASAL	SPRAY, METERED	20	%
PROPYLENE GLYCOL	INHALATION	SOLUTION	25	%
PROPYLENE GLYCOL	INTRAVENOUS	INJECTABLE	30	%
PROPYLENE GLYCOL	INTRAVENOUS	SOLUTION, INJECTION	30	%
PROPYLENE GLYCOL	IV(INFUSION)	SOLUTION, INJECTION	30	%
PROPYLENE GLYCOL	INTRAMUSCULAR	INJECTION	40	%
PROPYLENE GLYCOL	INTRAMUSCULAR	SOLUTION, INJECTION	40	%
PROPYLENE GLYCOL	IM-IV	SOLUTION, INJECTION	41.6	%
PROPYLENE GLYCOL	INTRAVENOUS	INJECTION	50	%
PROPYLENE GLYCOL	INTRAVENOUS	SOLUTION, CONCENTRATE	50.3325	%
PROPYLENE GLYCOL	IV(INFUSION)	INJECTION	51.8	%
PROPYLENE GLYCOL	AURICULAR (OTIC)	SOLUTION, DROPS	80	%
PROPYLENE GLYCOL	IM-IV	INJECTION	82.043	%
PROPYLENE GLYCOL	AURICULAR (OTIC)	SOLUTION	94.925	%
PROPYLENE GLYCOL DIACETATE	AURICULAR (OTIC)	SOLUTION, DROPS	3	%
PROPYLENE GLYCOL DIACETATE	AURICULAR (OTIC)	SOLUTION	65	%
PROPYLPARABEN	AURICULAR (OTIC)	SUSPENSION	0.0006	%
PROPYLPARABEN	AN, INFILTRATION	INJECTION	0.01	%
PROPYLPARABEN	OPHTHALMIC	OINTMENT	0.01	%
PROPYLPARABEN	OPHTHALMIC	SUSPENSION	0.01	%
PROPYLPARABEN	OPHTHALMIC	SUSPENSION, DROPS	0.01	%
PROPYLPARABEN	N/A	LIQUID	0.012	%
PROPYLPARABEN	IM-IV	INJECTABLE	0.015	%
PROPYLPARABEN	OPHTHALMIC	SOLUTION	0.015	%
PROPYLPARABEN	OPHTHALMIC	SOLUTION, DROPS	0.015	%
PROPYLPARABEN	SUBCUTANEOUS	SUSPENSION, INJECTION	0.015	%
PROPYLPARABEN	IM-IV	SOLUTION	0.0158	%
PROPYLPARABEN	NASAL	SOLUTION	0.017	%
PROPYLPARABEN	IM-SC	INJECTION	0.02	%
PROPYLPARABEN	INTRABURSAL	INJECTION	0.02	%
PROPYLPARABEN	INTRALESIONAL	INJECTION	0.02	%
PROPYLPARABEN	INTRAVENOUS	INJECTABLE	0.02	%
PROPYLPARABEN	IV-SC	INJECTION	0.02	%
PROPYLPARABEN	SOFT TISSUE	INJECTION	0.02	%
PROPYLPARABEN	SUBCUTANEOUS	INJECTION	0.02	%
PROPYLPARABEN	NERVE BLOCK	INJECTION	0.035	%
PROPYLPARABEN	INHALATION	SOLUTION	0.0375	%
PROPYLPARABEN	IV(INFUSION)	INJECTION	0.056	%
PROPYLPARABEN	N/A	NOT APPLICABLE	0.06	MG
PROPYLPARABEN	INTRAVENOUS	POWDER, FOR INJECTION SOLUTION	0.08	%
PROPYLPARABEN	INTRA-ARTICULAR	INJECTION	0.16	%
PROPYLPARABEN	INTRAMUSCULAR	INJECTION	0.16	%
PROPYLPARABEN	IM-IV	INJECTION	0.2	%
PROPYLPARABEN	INTRAVENOUS	INJECTION	0.2	%

(Continued)

Ingredient	Route	Dosage Form	Dose	Unit
PROPYLPARABEN	NASAL	SPRAY, METERED	0.3	%
PROPYLPARABEN	IM-IV-SC	INJECTION	20	%
PROTAMINE SULFATE	SUBCUTANEOUS	SUSPENSION, INJECTION	0.033	%
PROTAMINE SULFATE	SUBCUTANEOUS	INJECTION	0.036	%
PROTAMINE SULFATE	INTRADERMAL	INJECTION	0.125	%
SACCHARIN	INHALATION	AEROSOL, METERED	0.1127	%
SACCHARIN SODIUM	INHALATION	AEROSOL, METERED	0.045	%
SACCHARIN SODIUM	IM-IV	INJECTION	0.09	%
SACCHARIN SODIUM	INTRAMUSCULAR	INJECTION	0.09	%
SACCHARIN SODIUM	INTRAVENOUS	INJECTION	0.09	%
SACCHARIN SODIUM	IV(INFUSION)	INJECTION	0.09	%
SACCHARIN SODIUM	DENTAL	SOLUTION	0.15	%
SACCHARIN SODIUM	DENTAL	GEL	0.3	%
SACCHARIN SODIUM, ANHYDROUS	IM-IV	INJECTION	0.09	%
SACCHARIN SODIUM, ANHYDROUS	IV(INFUSION)	INJECTION	0.09	%
SERINE	IV(INFUSION)	SOLUTION, INJECTION	18	%
SERINE	IV(INFUSION)	INJECTION	50	%
SESAME OIL	INTRAMUSCULAR	INJECTION	70	%
SILASTIC BRAND MEDICAL GRADE TUBING	IMPLANTATION	ROD	13	MG
SILASTIC MEDICAL ADHESIVE, SILICONE TYPE A	IMPLANTATION	PELLET, IMPLANT	13	MG
SILICON DIOXIDE	DENTAL	GEL	19	%
SILICON DIOXIDE, COLLOIDAL	ENDOCERVICAL	GEL	8	%
SILICONE	INTRAUTERINE	SUPPOSITORY, INSERT, CONTROLLED RELEASE	60	MG
SODIUM ACETATE	INTRAVENOUS	SOLUTION, INJECTION	0.0204	%
SODIUM ACETATE	AURICULAR (OTIC)	SOLUTION, DROPS	0.0267	%
SODIUM ACETATE	AURICULAR (OTIC)	SUSPENSION	0.042	%
SODIUM ACETATE	INTRAVENOUS	INJECTABLE	0.05	%
SODIUM ACETATE	AURICULAR (OTIC)	SOLUTION	0.075	%
SODIUM ACETATE	SUBCUTANEOUS	INJECTION	0.14	%
SODIUM ACETATE	SUBCUTANEOUS	LIQUID	0.1455	%
SODIUM ACETATE	SUBCUTANEOUS	SOLUTION, INJECTION	0.1592	%
SODIUM ACETATE	INTRADERMAL	INJECTION	0.16	%
SODIUM ACETATE	EXTRACORPOREAL	SOLUTION	0.175	%
SODIUM ACETATE	PHOTOPHERESIS	SOLUTION	0.175	%
SODIUM ACETATE	IM-SC	INJECTION	0.2	%
SODIUM ACETATE	IV-SC	INJECTION	0.2	%
SODIUM ACETATE	IV-SC	LIQUID	0.2	%
SODIUM ACETATE	OPHTHALMIC	SOLUTION	0.35	%
SODIUM ACETATE	INTRAOCULAR	SOLUTION	0.39	%
SODIUM ACETATE	OPHTHALMIC	POWDER, FOR SOLUTION	0.39	%
SODIUM ACETATE	INTRAMUSCULAR	INJECTION	0.4	%
SODIUM ACETATE	IM-IV-SC	INJECTION	0.65	%
SODIUM ACETATE	IM-IV	INJECTION	0.969	%
SODIUM ACETATE	OPHTHALMIC	SOLUTION, DROPS	1.279	%
SODIUM ACETATE	IV(INFUSION)	SOLUTION, INJECTION	1.7	%
SODIUM ACETATE	AURICULAR (OTIC)	SUSPENSION, LIQUID	6.8	%
SODIUM ACETATE	INTRAVENOUS	INJECTION	12.25	%
SODIUM ACETATE	IV(INFUSION)	INJECTION	59.4	%
SODIUM ACETATE, ANHYDROUS	INTRAVENOUS	INJECTABLE	0.005	%
SODIUM ACETATE, ANHYDROUS	SUBCUTANEOUS	POWDER, FOR INJECTION SOLUTION, LYOPHILIZED	0.01	%
SODIUM ACETATE, ANHYDROUS	IM-SC	INJECTION	0.07	%
SODIUM ACETATE, ANHYDROUS	SUBCUTANEOUS	INJECTION	0.16	%
SODIUM ACETATE, ANHYDROUS	INTRAMUSCULAR	INJECTION	0.471	%

(Continued)

Ingredient	Route	Dosage Form	Dose	Unit
SODIUM ACETATE, ANHYDROUS	IV(INFUSION)	INJECTION	6.25	%
SODIUM ACETATE, ANHYDROUS	INTRAVENOUS	SOLUTION	17.7	%
SODIUM ASCORBATE	INTRAVENOUS	INJECTION	1	%
SODIUM ASCORBATE	INTRAVENOUS	SOLUTION, INJECTION	1	%
SODIUM BENZOATE	INTRAVENOUS	INJECTABLE	0.07	%
SODIUM BENZOATE	DENTAL	GEL	0.08	%
SODIUM BENZOATE	INTRAMUSCULAR	SOLUTION, INJECTION	4.8	%
SODIUM BENZOATE	INTRAMUSCULAR	INJECTION	5	%
SODIUM BENZOATE	IV(INFUSION)	INJECTION	5	%
SODIUM BENZOATE	IM-IV	INJECTION	10	%
SODIUM BICARBONATE	INTRATHECAL	INJECTION	0.005	%
SODIUM BICARBONATE	INTRAVENOUS	INJECTION	0.005	%
SODIUM BICARBONATE	SUBCUTANEOUS	POWDER, FOR INJECTION SOLUTION	0.016	%
SODIUM BICARBONATE	INTRAVIDEAL	INJECTION	0.178	%
SODIUM BICARBONATE	IV(INFUSION)	POWDER, FOR INJECTION SOLUTION, LYOPHILIZED	0.18	%
SODIUM BICARBONATE	INTRAVENOUS	POWDER, FOR INJECTION SOLUTION	2.1	%
SODIUM BICARBONATE	INTRAMUSCULAR	POWDER, FOR INJECTION SOLUTION	3	%
SODIUM BICARBONATE	INTRAMUSCULAR	INJECTION	3.5	%
SODIUM BICARBONATE	INTRAPERITONEAL	POWDER, FOR INJECTION SOLUTION	60	%
SODIUM BICARBONATE	IM-IV	POWDER, FOR INJECTION SOLUTION, LYOPHILIZED	61.9	%
SODIUM BICARBONATE	IV(INFUSION)	POWDER, FOR INJECTION SOLUTION	81.94	%
SODIUM BICARBONATE	IV(INFUSION)	INJECTION	83	%
SODIUM BISULFATE	INHALATION	SOLUTION	0.011	%
SODIUM BISULFATE	IM-IV-SC	INJECTION	0.1	%
SODIUM BISULFATE	IM-IV	INJECTION	0.32	%
SODIUM BISULFITE	AURICULAR (OTIC)	SUSPENSION	0.001	%
SODIUM BISULFITE	IV(INFUSION)	SOLUTION, INJECTION	0.024	%
SODIUM BISULFITE	OPHTHALMIC	SUSPENSION	0.06	%
SODIUM BISULFITE	INHALATION	INHALANT	0.075	%
SODIUM BISULFITE	AURICULAR (OTIC)	SOLUTION	0.1	%
SODIUM BISULFITE	AURICULAR (OTIC)	SOLUTION, DROPS	0.1	%
SODIUM BISULFITE	INTRACARDIAC	INJECTION	0.1	%
SODIUM BISULFITE	OPHTHALMIC	SOLUTION	0.1	%
SODIUM BISULFITE	OPHTHALMIC	SOLUTION, DROPS	0.1	%
SODIUM BISULFITE	SOFT TISSUE	INJECTION	0.1	%
SODIUM BISULFITE	SUBCUTANEOUS	INJECTION	0.15	%
SODIUM BISULFITE	AN, INFILTRATION	INJECTION	0.2	%
SODIUM BISULFITE	INHALATION	SOLUTION	0.2	%
SODIUM BISULFITE	INTRA-ARTICULAR	INJECTION	0.32	%
SODIUM BISULFITE	INTRALESIONAL	INJECTION	0.32	%
SODIUM BISULFITE	INTRASYNOVIAL	INJECTION	0.32	%
SODIUM BISULFITE	IM-IV-SC	INJECTION	0.5	%
SODIUM BISULFITE	IM-IV	INJECTION	0.66	%
SODIUM BISULFITE	IRRIGATION	INJECTION	0.66	%
SODIUM BISULFITE	INTRAMUSCULAR	INJECTION	1.35	%
SODIUM BISULFITE	INTRAPERITONEAL	INJECTION	1.35	%
SODIUM BISULFITE	NERVE BLOCK	INJECTION	2.2	%
SODIUM BISULFITE	INTRAVENOUS	INJECTION	5	%

(Continued)

Ingredient	Route	Dosage Form	Dose	Unit
SODIUM BISULFITE	INTRAVENOUS	SOLUTION, INJECTION	5	%
SODIUM BISULFITE	IM-IV	POWDER, FOR INJECTION SOLUTION	5.18	%
SODIUM BISULFITE	IV(INFUSION)	INJECTION	10	%
SODIUM BORATE	OPHTHALMIC	SUSPENSION, DROPS	0.0285	%
SODIUM BORATE	AURICULAR (OTIC)	SOLUTION	0.3	%
SODIUM BORATE	OPHTHALMIC	SOLUTION	0.543	%
SODIUM BORATE	OPHTHALMIC	SOLUTION, DROPS	1.1	%
SODIUM BORATE DECAHYDRATE	OPHTHALMIC	SOLUTION, DROPS	0.084	%
SODIUM BORATE DECAHYDRATE	OPHTHALMIC	SOLUTION	0.15	%
SODIUM CARBONATE	INTRAVITREAL	INJECTION	0.0082	%
SODIUM CARBONATE	INTRAVENOUS	INJECTION	0.046	%
SODIUM CARBONATE	IV(INFUSION)	POWDER, FOR INJECTION SOLUTION, LYOPHILIZED	0.177	%
SODIUM CARBONATE	OPHTHALMIC	SOLUTION	1	%
SODIUM CARBONATE	IM-IV	INJECTION	1.64	%
SODIUM CARBONATE	IV(INFUSION)	INJECTION	24.1	%
SODIUM CARBONATE	IV(INFUSION)	POWDER, FOR INJECTION SOLUTION	63	%
SODIUM CARBONATE	IM-IV	POWDER, FOR INJECTION SOLUTION	70.8	%
SODIUM CARBONATE DECAHYDRATE	INTRAVENOUS	INJECTION	12.428	%
SODIUM CHLORATE	IV(INFUSION)	INJECTION	15.4	%
SODIUM CHLORIDE	INTRATRACHEAL	SUSPENSION	0.088	%
SODIUM CHLORIDE	INTRA-ARTERIAL	POWDER, FOR INJECTION SOLUTION	0.135	%
SODIUM CHLORIDE	INTRATHECAL	POWDER, FOR INJECTION SOLUTION	0.135	%
SODIUM CHLORIDE	SUBCUTANEOUS	LIQUID	0.146	%
SODIUM CHLORIDE	INTRAVASCULAR	INJECTION	0.187	%
SODIUM CHLORIDE	INTRALESIONAL	SUSPENSION, INJECTION	0.22	%
SODIUM CHLORIDE	INTRAMUSCULAR	SUSPENSION, INJECTION	0.22	%
SODIUM CHLORIDE	INTRASYNOVIAL	SUSPENSION, INJECTION	0.22	%
SODIUM CHLORIDE	SOFT TISSUE	SUSPENSION, INJECTION	0.22	%
SODIUM CHLORIDE	INTRAMUSCULAR	POWDER, FOR INJECTION SOLUTION, LYOPHILIZED, WITH ADDITIVES	0.24	%
SODIUM CHLORIDE	AN, SYMPATHETIC NBLK	INJECTION	0.3	%
SODIUM CHLORIDE	INTRABURSAL	INJECTION	0.32	%
SODIUM CHLORIDE	AURICULAR (OTIC)	SOLUTION, DROPS	0.362	%
SODIUM CHLORIDE	DENTAL	INJECTION	0.6	%
SODIUM CHLORIDE	NASAL	SOLUTION	0.668	%
SODIUM CHLORIDE	IV-SC	POWDER, FOR INJECTION SOLUTION	0.68	%
SODIUM CHLORIDE	OPHTHALMIC	SUSPENSION, DROPS	0.68	%
SODIUM CHLORIDE	INTRACARDIAC	INJECTION	0.7	%
SODIUM CHLORIDE	INTRADERMAL	INJECTION	0.7	%
SODIUM CHLORIDE	INTRAOCULAR	SOLUTION	0.7	%
SODIUM CHLORIDE	IV-SC	LIQUID	0.7	%
SODIUM CHLORIDE	IM-IV	SOLUTION, INJECTION	0.75	%
SODIUM CHLORIDE	INTRAVITREAL	INJECTION	0.774	%
SODIUM CHLORIDE	AN, CNBLK INTRATHECAL	INJECTION	0.8	%
SODIUM CHLORIDE	EXTRACORPOREAL	SOLUTION	0.8	%

(Continued)

Ingredient	Route	Dosage Form	Dose	Unit
SODIUM CHLORIDE	SUBCUTANEOUS	SUSPENSION, INJECTION	0.8	%
SODIUM CHLORIDE	PHOTOPHERESIS	SOLUTION	0.8	%
SODIUM CHLORIDE	PERIDURAL	INJECTION	0.807	%
SODIUM CHLORIDE	INTRACAVITARY	INJECTION	0.82	%
SODIUM CHLORIDE	INFILTRATION	SOLUTION, INJECTION	0.85	%
SODIUM CHLORIDE	INTRALESIONAL	INJECTION	0.85	%
SODIUM CHLORIDE	OPHTHALMIC	SUSPENSION	0.85	%
SODIUM CHLORIDE	SOFT TISSUE	INJECTION	0.85	%
SODIUM CHLORIDE	SUBCUTANEOUS	INJECTABLE	0.85	%
SODIUM CHLORIDE	AN, INFILTRATION	INJECTION	0.855	%
SODIUM CHLORIDE	CAUDAL BLOCK	INJECTION	0.9	%
SODIUM CHLORIDE	EPIDURAL	INJECTION	0.9	%
SODIUM CHLORIDE	EPIDURAL	SOLUTION, INJECTION	0.9	%
SODIUM CHLORIDE	EXTRACORPOREAL	INJECTION	0.9	%
SODIUM CHLORIDE	IM-IV-SC	INJECTION	0.9	%
SODIUM CHLORIDE	IM-IV	INJECTABLE	0.9	%
SODIUM CHLORIDE	IM-IV	SOLUTION	0.9	%
SODIUM CHLORIDE	IM-SC	INJECTION	0.9	%
SODIUM CHLORIDE	INTRA-ARTERIAL	SOLUTION	0.9	%
SODIUM CHLORIDE	INTRA-ARTICULAR	INJECTION	0.9	%
SODIUM CHLORIDE	INTRALESIONAL	INJECTION, SUSTAINED ACTION	0.9	%
SODIUM CHLORIDE	INTRAMUSCULAR	INJECTION, SUSTAINED ACTION	0.9	%
SODIUM CHLORIDE	INTRAMUSCULAR	SOLUTION, INJECTION	0.9	%
SODIUM CHLORIDE	INTRASYNOVIAL	INJECTION	0.9	%
SODIUM CHLORIDE	INTRASYNOVIAL	INJECTION, SUSTAINED ACTION	0.9	%
SODIUM CHLORIDE	INTRATHECAL	INJECTABLE	0.9	%
SODIUM CHLORIDE	INTRATHECAL	SOLUTION, INJECTION	0.9	%
SODIUM CHLORIDE	INTRAVENOUS	SOLUTION, INJECTION	0.9	%
	BOLUS			
SODIUM CHLORIDE	INTRAVENOUS	INJECTABLE	0.9	%
SODIUM CHLORIDE	INTRAVENOUS	SOLUTION	0.9	%
SODIUM CHLORIDE	INTRAVENOUS	SOLUTION, INJECTION	0.9	%
SODIUM CHLORIDE	INTRAVITREAL	INJECTABLE	0.9	%
SODIUM CHLORIDE	IV(INFUSION)	SOLUTION, INJECTION	0.9	%
SODIUM CHLORIDE	IV(INFUSION)	SUSPENSION, INJECTION	0.9	%
SODIUM CHLORIDE	NASAL	SPRAY	0.9	%
SODIUM CHLORIDE	NERVE BLOCK	INJECTION	0.9	%
SODIUM CHLORIDE	NERVE BLOCK	SOLUTION, INJECTION	0.9	%
SODIUM CHLORIDE	OPHTHALMIC	POWDER, FOR SOLUTION	0.9	%
SODIUM CHLORIDE	OPHTHALMIC	SOLUTION, DROPS	0.9	%
SODIUM CHLORIDE	SOFT TISSUE	INJECTION, SUSTAINED ACTION	0.9	%
SODIUM CHLORIDE	SUBARACHNOID	SOLUTION, INJECTION	0.9	%
SODIUM CHLORIDE	SUBCUTANEOUS	INJECTION	0.9	%
SODIUM CHLORIDE	IV-SC	INJECTION	1	%
SODIUM CHLORIDE	RESPIRATORY	SOLUTION, AEROSOL, FOR	1.125	%
	(INHALATION)	INHALATION		
SODIUM CHLORIDE	INTRATRACHEAL	INJECTION	1.2	%
SODIUM CHLORIDE	INTRATUMOR	INJECTION	1.2	%
SODIUM CHLORIDE	NASAL	SPRAY, METERED	1.9	%
SODIUM CHLORIDE	RESPIRATORY	SOLUTION	2.7	%
	(INHALATION)			
SODIUM CHLORIDE	RESPIRATORY	SOLUTION, FOR INHALATION	2.7	%
	(INHALATION)			
SODIUM CHLORIDE	INHALATION	SOLUTION	3.16	%
SODIUM CHLORIDE	INTRAMUSCULAR	INJECTION	4.5	%

(Continued)

Ingredient	Route	Dosage Form	Dose	Unit
SODIUM CHLORIDE	SUBCUTANEOUS	SOLUTION, INJECTION	4.5	%
SODIUM CHLORIDE	INTRATRACHEAL	POWDER, FOR SUSPENSION	4.676	%
SODIUM CHLORIDE	INTRA-ARTERIAL	INJECTION	4.9	%
SODIUM CHLORIDE	INTRATHECAL	INJECTION	4.9	%
SODIUM CHLORIDE	AURICULAR (OTIC)	SOLUTION	9	%
SODIUM CHLORIDE	AURICULAR (OTIC)	SUSPENSION, LIQUID	9	%
SODIUM CHLORIDE	IM-IV	POWDER, FOR INJECTION SOLUTION	9	%
SODIUM CHLORIDE	INTRATHECAL	INJECTION, SUSPENSION, LIPOSOMAL	9	%
SODIUM CHLORIDE	SUBMUCOSAL	SOLUTION, INJECTION	16	%
SODIUM CHLORIDE	IM-IV	POWDER, FOR INJECTION SOLUTION, LYOPHILIZED	18	%
SODIUM CHLORIDE	INTRAMUSCULAR	POWDER, FOR INJECTION SOLUTION	22.6	%
SODIUM CHLORIDE	INTRAPERITONEAL	POWDER, FOR INJECTION SOLUTION	22.6	%
SODIUM CHLORIDE	INTRAPLEURAL	POWDER, FOR INJECTION SOLUTION	22.6	%
SODIUM CHLORIDE	INTRAVENOUS	POWDER, FOR INJECTION SOLUTION, LYOPHILIZED	45	%
SODIUM CHLORIDE	IV(INFUSION)	POWDER, FOR INJECTION SOLUTION	45	%
SODIUM CHLORIDE	INTRAVENOUS	POWDER, FOR INJECTION SOLUTION	45.2	%
SODIUM CHLORIDE	INTRAPERITONEAL	SOLUTION	53.5	%
SODIUM CHLORIDE	OPHTHALMIC	SOLUTION	55	%
SODIUM CHLORIDE	SUBCUTANEOUS	IMPLANT	77	MG
SODIUM CHLORIDE	IM-IV	INJECTION	90	%
SODIUM CHLORIDE	INTRAVENOUS	INJECTION	90	%
SODIUM CHLORIDE	IV(INFUSION)	INJECTION	90	%
SODIUM CHLORIDE	OPHTHALMIC	SOLUTION, DROPS	0.005	%
SODIUM CHOLESTERYL SULFATE	IV(INFUSION)	POWDER, FOR INJECTION SUSPENSION, LYOPHILIZED	5.676	%
SODIUM CITRATE	INTRACAVITARY	POWDER, FOR INJECTION SOLUTION, LYOPHILIZED	0.0053	%
SODIUM CITRATE	IM-IV	SOLUTION	0.025	%
SODIUM CITRATE	INTRAVENOUS	INJECTABLE	0.025	%
SODIUM CITRATE	NASAL	SPRAY, METERED	0.032	%
SODIUM CITRATE	AN, INFILTRATION	INJECTION	0.0395	%
SODIUM CITRATE	NERVE BLOCK	INJECTION	0.0395	%
SODIUM CITRATE	INTRAVENOUS	SOLUTION, INJECTION	0.065	%
SODIUM CITRATE	RESPIRATORY (INHALATION)	POWDER, FOR INHALATION	0.138	%
SODIUM CITRATE	INTRACAVITARY	INJECTION	0.15	%
SODIUM CITRATE	OPHTHALMIC	POWDER, FOR SOLUTION	0.17	%
SODIUM CITRATE	IM-SC	INJECTION	0.228	%
SODIUM CITRATE	OPHTHALMIC	SUSPENSION	0.3	%
SODIUM CITRATE	INTRAVASCULAR	INJECTION	0.32	%
SODIUM CITRATE	INTRAOCULAR	SOLUTION	0.4	%
SODIUM CITRATE	NASAL	SPRAY	0.44	%
SODIUM CITRATE	OPHTHALMIC	SUSPENSION, DROPS	0.45	%
SODIUM CITRATE	INHALATION	SOLUTION	0.6	%
SODIUM CITRATE	IV(INFUSION)	SOLUTION, INJECTION	0.6	%
SODIUM CITRATE	INTRAMUSCULAR	INJECTABLE	0.6214	%

(Continued)

Ingredient	Route	Dosage Form	Dose	Unit
SODIUM CITRATE	INTRAMUSCULAR	POWDER, FOR INJECTION SOLUTION, LYOPHILIZED	0.645	%
SODIUM CITRATE	SUBCUTANEOUS	POWDER, FOR INJECTION SOLUTION, LYOPHILIZED	0.645	%
SODIUM CITRATE	INTRACARDIAC	INJECTION	0.8	%
SODIUM CITRATE	INTRAVENOUS	SOLUTION	0.8295	%
SODIUM CITRATE	IM-IV-SC	INJECTION	0.94	%
SODIUM CITRATE	INTRALESIONAL	INJECTION	1	%
SODIUM CITRATE	INTRASYNOVIAL	INJECTION	1	%
SODIUM CITRATE	SOFT TISSUE	INJECTION	1	%
SODIUM CITRATE	INTRAMUSCULAR	SOLUTION, INJECTION	1.301	%
SODIUM CITRATE	SUBCUTANEOUS	SOLUTION, INJECTION	1.301	%
SODIUM CITRATE	IM-IV	INJECTABLE	1.35	%
SODIUM CITRATE	AURICULAR (OTIC)	SOLUTION	2	%
SODIUM CITRATE	OPHTHALMIC	SOLUTION	2	%
SODIUM CITRATE	EPIDURAL	INJECTION	2.2	%
SODIUM CITRATE	INTRA-ARTICULAR	INJECTION	2.2	%
SODIUM CITRATE	IRRIGATION	INJECTION	2.2	%
SODIUM CITRATE	OPHTHALMIC	SOLUTION, DROPS	2.2	%
SODIUM CITRATE	INTRAMUSCULAR	POWDER, FOR INJECTION SOLUTION	4.62	%
SODIUM CITRATE	INTRAPLEURAL	POWDER, FOR INJECTION SOLUTION	4.62	%
SODIUM CITRATE	INTRATHECAL	POWDER, FOR INJECTION SOLUTION	4.62	%
SODIUM CITRATE	INTRAMUSCULAR	INJECTION	6.6	%
SODIUM CITRATE	INTRAPERITONEAL	INJECTION	6.6	%
SODIUM CITRATE	IV(INFUSION)	POWDER, FOR INJECTION SOLUTION	8	%
SODIUM CITRATE	IV(INFUSION)	POWDER, FOR INJECTION SOLUTION, LYOPHILIZED	14	%
SODIUM CITRATE	INTRAVENOUS	POWDER, FOR INJECTION SOLUTION, LYOPHILIZED	16.35	%
SODIUM CITRATE	INTRAVENOUS	POWDER, FOR INJECTION SOLUTION	16.4	%
SODIUM CITRATE	INTRAVENOUS	INJECTION	30	%
SODIUM CITRATE	IM-IV	INJECTION	40	%
SODIUM CITRATE	IV(INFUSION)	INJECTION	40	%
SODIUM CITRATE	NASAL	SOLUTION	70	%
SODIUM CITRATE, ANHYDROUS	NASAL	SPRAY, METERED	0.0007	%
SODIUM CITRATE, ANHYDROUS	INTRA-ARTICULAR	INJECTION	1	%
SODIUM CITRATE, ANHYDROUS	INTRABURSAL	INJECTION	1	%
SODIUM CITRATE, ANHYDROUS	INTRAVENOUS	POWDER, FOR INJECTION SOLUTION	6.99	%
SODIUM CITRATE, ANHYDROUS	IM-IV	INJECTION	16	%
SODIUM CITRATE, ANHYDROUS	IV(INFUSION)	INJECTION	16	%
SODIUM DESOXYCHOLATE	IV(INFUSION)	POWDER, FOR INJECTION SOLUTION	4.1	%
SODIUM DITHIONITE	IM-IV-SC	INJECTION	0.1	%
SODIUM DITHIONITE	IV(INFUSION)	INJECTION	2	%
SODIUM DITHIONITE	INTRAVENOUS	INJECTION	3	%
SODIUM FORMALDEHYDE SULFOXYLATE	IM-IV	INJECTION	0.1	%
SODIUM FORMALDEHYDE SULFOXYLATE	IM-SC	INJECTION	0.1	%
SODIUM FORMALDEHYDE SULFOXYLATE	INTRAMUSCULAR	INJECTION	0.2	%
SODIUM FORMALDEHYDE SULFOXYLATE	IV(INFUSION)	INJECTION	1.1	%

(Continued)

Ingredient	Route	Dosage Form	Dose	Unit
SODIUM GLUCONATE	IV(INFUSION)	POWDER, FOR INJECTION SOLUTION, LYOPHILIZED	0.1	%
SODIUM GLUCONATE	INTRAVENOUS	INJECTION	2.3	%
SODIUM HYDROXIDE	NASAL	SPRAY, METERED	0.004	%
SODIUM HYDROXIDE	INTRAVENOUS	SOLUTION, INJECTION	0.035	%
SODIUM HYDROXIDE	AN, INFILTRATION	INJECTION	0.0706	%
SODIUM HYDROXIDE	NERVE BLOCK	INJECTION	0.0706	%
SODIUM HYDROXIDE	OPHTHALMIC	SOLUTION	0.1	%
SODIUM HYDROXIDE	IM-IV	SOLUTION, INJECTION	0.134	%
SODIUM HYDROXIDE	SUBCUTANEOUS	SUSPENSION, INJECTION	0.22	%
SODIUM HYDROXIDE	OPHTHALMIC	EMULSION	0.397	%
SODIUM HYDROXIDE	SUBCUTANEOUS	INJECTABLE	0.42	%
SODIUM HYDROXIDE	IM-IV	POWDER, FOR INJECTION SOLUTION	0.701	%
SODIUM HYDROXIDE	AURICULAR (OTIC)	SOLUTION	0.8	%
SODIUM HYDROXIDE	IV(INFUSION)	POWDER, FOR INJECTION SOLUTION, LYOPHILIZED	1.565	%
SODIUM HYDROXIDE	IV(INFUSION)	SOLUTION, INJECTION	2.83	%
SODIUM HYDROXIDE	INTRAMUSCULAR	INJECTION	3.145	%
SODIUM HYDROXIDE	SUBCUTANEOUS	INJECTION	3.145	%
SODIUM HYDROXIDE	INHALATION	SOLUTION	8	%
SODIUM HYDROXIDE	IV(INFUSION)	POWDER, FOR INJECTION SOLUTION	9	%
SODIUM HYDROXIDE	INTRAVENOUS	INJECTION	10	%
SODIUM HYDROXIDE	IM-IV	INJECTION	10.68	%
SODIUM HYDROXIDE	IV(INFUSION)	INJECTION	13	%
SODIUM HYDROXIDE	INTRAVENOUS	POWDER, FOR INJECTION SOLUTION	13.655	%
SODIUM HYDROXIDE	INTRAVENOUS	POWDER, FOR INJECTION SOLUTION, LYOPHILIZED	19.27	%
SODIUM HYPOCHLORITE	IV(INFUSION)	INJECTION	1	%
SODIUM IODIDE	INTRAVENOUS	INJECTION	1	%
SODIUM IODIDE	INTRAVENOUS	POWDER, FOR INJECTION SOLUTION	5	%
SODIUM LACTATE	CAUDAL BLOCK	INJECTION	0.0001	%
SODIUM LACTATE	INTRAVENOUS	INJECTION	0.17	%
SODIUM LACTATE	NERVE BLOCK	INJECTION	0.17	%
SODIUM LACTATE	INTRAVENOUS	POWDER, FOR INJECTION SOLUTION, LYOPHILIZED	1.87	%
SODIUM LACTATE	INTRAPERITONEAL	SOLUTION	44.8	%
L-SODIUM LACTATE	IM-IV-SC	INJECTION	0.18	%
L-SODIUM LACTATE	INTRACARDIAC	INJECTION	0.18	%
L-SODIUM LACTATE	IV(INFUSION)	INJECTION	0.18	%
SODIUM LAURYL SULFATE	DENTAL	GEL	1.47	%
SODIUM METABISULFITE	INTRAVENOUS	EMULSION, INJECTION	0.025	%
SODIUM METABISULFITE	INTRAVENOUS	SOLUTION, INJECTION	0.05	%
SODIUM METABISULFITE	INTRACARDIAC	INJECTION	0.09	%
SODIUM METABISULFITE	OPHTHALMIC	SUSPENSION, DROPS	0.1	%
SODIUM METABISULFITE	IM-IV-SC	INJECTION	0.15	%
SODIUM METABISULFITE	CAUDAL BLOCK	INJECTION	0.183	%
SODIUM METABISULFITE	EPIDURAL	INJECTION	0.183	%
SODIUM METABISULFITE	INTRA-ARTICULAR	INJECTION	0.2	%
SODIUM METABISULFITE	INTRABURSAL	INJECTION	0.2	%
SODIUM METABISULFITE	OPHTHALMIC	SOLUTION	0.2	%
SODIUM METABISULFITE	OPHTHALMIC	SOLUTION, DROPS	0.25	%

(Continued)

Ingredient	Route	Dosage Form	Dose	Unit
SODIUM METABISULFITE	SUBCUTANEOUS	INJECTION	0.3016	%
SODIUM METABISULFITE	INTRAVENOUS	INJECTION	0.32	%
SODIUM METABISULFITE	AN, INFILTRATION	INJECTION	0.5	%
SODIUM METABISULFITE	NERVE BLOCK	INJECTION	0.5	%
SODIUM METABISULFITE	INTRAPERITONEAL	INJECTION	0.66	%
SODIUM METABISULFITE	INHALATION	SOLUTION	1	%
SODIUM METABISULFITE	IV(INFUSION)	INJECTION	1.1	%
SODIUM METABISULFITE	SUBMUCOSAL	SOLUTION, INJECTION	5	%
SODIUM METABISULFITE	IV(INFUSION)	SOLUTION, INJECTION	22	%
SODIUM METABISULFITE	IM-IV	INJECTION	27.5	%
SODIUM METABISULFITE	INTRAMUSCULAR	INJECTION	27.5	%
SODIUM NITRATE	OPHTHALMIC	SOLUTION	1.18	%
SODIUM PHOSPHATE	NERVE BLOCK	INJECTION	0.02	%
SODIUM PHOSPHATE	INTRAMUSCULAR	POWDER, FOR INJECTION SOLUTION	0.1	%
SODIUM PHOSPHATE	IM-IV	SOLUTION, INJECTION	0.17	%
SODIUM PHOSPHATE	NASAL	SOLUTION	0.189	%
SODIUM PHOSPHATE	INTRADERMAL	INJECTION	0.2	%
SODIUM PHOSPHATE	OPHTHALMIC	SUSPENSION	0.2	%
SODIUM PHOSPHATE	OPHTHALMIC	SOLUTION, DROPS	0.29	%
SODIUM PHOSPHATE	IM-IV	INJECTION	0.3	%
SODIUM PHOSPHATE	SUBCUTANEOUS	INJECTION	0.378	%
SODIUM PHOSPHATE	INTRAMUSCULAR	INJECTION	0.425	%
SODIUM PHOSPHATE	IV(INFUSION)	INJECTION	0.79	%
SODIUM PHOSPHATE	OPHTHALMIC	SOLUTION	0.81	%
SODIUM PHOSPHATE	INTRAVENOUS	POWDER, FOR INJECTION SOLUTION	1	%
SODIUM PHOSPHATE	INTRAVENOUS	INJECTION	1.6	%
SODIUM PHOSPHATE	IM-IV	POWDER, FOR INJECTION SOLUTION	2.475	%
SODIUM PHOSPHATE DIHYDRATE	OPHTHALMIC	SOLUTION	0.03	%
SODIUM PHOSPHATE DIHYDRATE	SUBCUTANEOUS	POWDER, FOR INJECTION SOLUTION, LYOPHILIZED	0.13	%
SODIUM PHOSPHATE DIHYDRATE	SUBCUTANEOUS	INJECTION	0.24	%
SODIUM PHOSPHATE, DIBASIC	SUBCUTANEOUS	SUSPENSION, INJECTION	0.0588	%
SODIUM PHOSPHATE, DIBASIC	INTRALESIONAL	SUSPENSION, INJECTION	0.142	%
SODIUM PHOSPHATE, DIBASIC	INTRAMUSCULAR	SUSPENSION, INJECTION	0.142	%
SODIUM PHOSPHATE, DIBASIC	INTRASYNOVIAL	SUSPENSION, INJECTION	0.142	%
SODIUM PHOSPHATE, DIBASIC	SOFT TISSUE	SUSPENSION, INJECTION	0.142	%
SODIUM PHOSPHATE, DIBASIC	INTRADERMAL	INJECTION	0.2	%
SODIUM PHOSPHATE, DIBASIC	OPHTHALMIC	SOLUTION	0.29	%
SODIUM PHOSPHATE, DIBASIC	SUBCUTANEOUS	POWDER, FOR INJECTION SOLUTION, LYOPHILIZED	0.298	%
SODIUM PHOSPHATE, DIBASIC	OPHTHALMIC	SUSPENSION	0.43	%
SODIUM PHOSPHATE, DIBASIC	INTRAVENOUS	INJECTION	0.76	%
SODIUM PHOSPHATE, DIBASIC	IM-IV	INJECTABLE	1.74	%
SODIUM PHOSPHATE, DIBASIC, ANHYDROUS	NASAL	SPRAY	0.011	%
SODIUM PHOSPHATE, DIBASIC, ANHYDROUS	INTRAMUSCULAR	POWDER, FOR INJECTION SOLUTION, LYOPHILIZED	0.03	%
SODIUM PHOSPHATE, DIBASIC, ANHYDROUS	SUBCUTANEOUS	POWDER, FOR INJECTION SOLUTION, LYOPHILIZED	0.03	%
SODIUM PHOSPHATE, DIBASIC, ANHYDROUS	SUBCUTANEOUS	INJECTABLE	0.104	%
SODIUM PHOSPHATE, DIBASIC, ANHYDROUS	INTRAMUSCULAR	INJECTABLE	0.24	%
SODIUM PHOSPHATE, DIBASIC, ANHYDROUS	INTRAVENOUS	INJECTABLE	0.24	%
SODIUM PHOSPHATE, DIBASIC, ANHYDROUS	OPHTHALMIC	SUSPENSION	0.25	%

(Continued)

Ingredient	Route	Dosage Form	Dose	Unit
SODIUM PHOSPHATE, DIBASIC, ANHYDROUS	INTRAMUSCULAR	INJECTION	0.29	%
SODIUM PHOSPHATE, DIBASIC, ANHYDROUS	INTRAVENOUS	SOLUTION, INJECTION	0.76	%
SODIUM PHOSPHATE, DIBASIC, ANHYDROUS	AURICULAR (OTIC)	SOLUTION, DROPS	0.7954	%
SODIUM PHOSPHATE, DIBASIC, ANHYDROUS	OPHTHALMIC	SOLUTION	1.28	%
SODIUM PHOSPHATE, DIBASIC, ANHYDROUS	OPHTHALMIC	SOLUTION, DROPS	1.4	%
SODIUM PHOSPHATE, DIBASIC, ANHYDROUS	INTRAVENOUS	POWDER, FOR INJECTION SOLUTION, LYOPHILIZED	1.5	%
SODIUM PHOSPHATE, DIBASIC, ANHYDROUS	IM-IV	INJECTABLE	1.746	%
SODIUM PHOSPHATE, DIBASIC, ANHYDROUS	IV(INFUSION)	INJECTION	4	%
SODIUM PHOSPHATE, DIBASIC, ANHYDROUS	IM-IV	INJECTION	13.92	%
SODIUM PHOSPHATE, DIBASIC, ANHYDROUS	IM-IV	POWDER, FOR INJECTION SOLUTION	13.92	%
SODIUM PHOSPHATE, DIBASIC, ANHYDROUS	IV(INFUSION)	POWDER, FOR INJECTION SOLUTION	13.92	%
SODIUM PHOSPHATE, DIBASIC, ANHYDROUS	INTRAVENOUS	INJECTION	21.3	%
SODIUM PHOSPHATE, DIBASIC, DIHYDRATE	SUBCUTANEOUS	POWDER, FOR INJECTION SOLUTION, LYOPHILIZED	0.111	%
SODIUM PHOSPHATE, DIBASIC, DIHYDRATE	SUBCUTANEOUS	INJECTION	0.1665	%
SODIUM PHOSPHATE, DIBASIC, DIHYDRATE	SUBCUTANEOUS	INJECTABLE	0.18	%
SODIUM PHOSPHATE, DIBASIC, DIHYDRATE	NASAL	SPRAY, METERED	0.3	%
SODIUM PHOSPHATE, DIBASIC, DIHYDRATE	OPHTHALMIC	SOLUTION	1.081	%
SODIUM PHOSPHATE, DIBASIC, DIHYDRATE	OPHTHALMIC	SOLUTION, DROPS	1.201	%
SODIUM PHOSPHATE, DIBASIC, DODECAHYDRATE	NASAL	SPRAY, METERED	14.3	%
SODIUM PHOSPHATE, DIBASIC, HEPTAHYDRATE	AN, INFILTRATION	INJECTION	0.02	%
SODIUM PHOSPHATE, DIBASIC, HEPTAHYDRATE	NERVE BLOCK	INJECTION	0.02	%
SODIUM PHOSPHATE, DIBASIC, HEPTAHYDRATE	IM-SC	INJECTION	0.0268	%
SODIUM PHOSPHATE, DIBASIC, HEPTAHYDRATE	NASAL	SOLUTION	0.0452	%
SODIUM PHOSPHATE, DIBASIC, HEPTAHYDRATE	INTRAMUSCULAR	INJECTION, SUSTAINED ACTION	0.067	%
SODIUM PHOSPHATE, DIBASIC, HEPTAHYDRATE	INTRAVITREAL	INJECTABLE	0.12	%
SODIUM PHOSPHATE, DIBASIC, HEPTAHYDRATE	SUBCUTANEOUS	INJECTABLE	0.188	%
SODIUM PHOSPHATE, DIBASIC, HEPTAHYDRATE	SUBCUTANEOUS	POWDER, FOR INJECTION SOLUTION, LYOPHILIZED	0.209	%
SODIUM PHOSPHATE, DIBASIC, HEPTAHYDRATE	SUBCUTANEOUS	INJECTION	0.378	%
SODIUM PHOSPHATE, DIBASIC, HEPTAHYDRATE	SUBCUTANEOUS	SUSPENSION, INJECTION	0.378	%
SODIUM PHOSPHATE, DIBASIC, HEPTAHYDRATE	INTRAVENOUS	INJECTION	0.43	%
SODIUM PHOSPHATE, DIBASIC, HEPTAHYDRATE	OPHTHALMIC	SUSPENSION, DROPS	0.431	%
SODIUM PHOSPHATE, DIBASIC, HEPTAHYDRATE	NASAL	SPRAY, METERED	0.486	%
SODIUM PHOSPHATE, DIBASIC, HEPTAHYDRATE	AURICULAR (OTIC)	SOLUTION	0.5	%
SODIUM PHOSPHATE, DIBASIC, HEPTAHYDRATE	INTRAMUSCULAR	POWDER, FOR INJECTION SOLUTION	0.543	%
SODIUM PHOSPHATE, DIBASIC, HEPTAHYDRATE	SUBCUTANEOUS	POWDER, FOR INJECTION SOLUTION	0.543	%
SODIUM PHOSPHATE, DIBASIC, HEPTAHYDRATE	IM-IV	SOLUTION, INJECTION	0.566	%
SODIUM PHOSPHATE, DIBASIC, HEPTAHYDRATE	OPHTHALMIC	SUSPENSION	0.866	%
SODIUM PHOSPHATE, DIBASIC, HEPTAHYDRATE	OPHTHALMIC	SOLUTION	1.206	%
SODIUM PHOSPHATE, DIBASIC, HEPTAHYDRATE	IV(INFUSION)	POWDER, FOR INJECTION SOLUTION	1.58	%
SODIUM PHOSPHATE, DIBASIC, HEPTAHYDRATE	OPHTHALMIC	SOLUTION, DROPS	2.5	%
SODIUM PHOSPHATE, DIBASIC, HEPTAHYDRATE	INTRAVENOUS	POWDER, FOR INJECTION SOLUTION	2.9	%
SODIUM PHOSPHATE, DIBASIC, HEPTAHYDRATE	IM-IV	POWDER	3.627	%
SODIUM PHOSPHATE, DIBASIC, HEPTAHYDRATE	INTRAMUSCULAR	POWDER, FOR INJECTION SOLUTION, LYOPHILIZED	4.8	%
SODIUM PHOSPHATE, DIBASIC, HEPTAHYDRATE	IM-IV	INJECTION	6.96	%
SODIUM PHOSPHATE, DIBASIC, HEPTAHYDRATE	IV(INFUSION)	INJECTION	10.3	%

(Continued)

Ingredient	Route	Dosage Form	Dose	Unit
SODIUM PHOSPHATE, DIBASIC, HEPTAHYDRATE	IM-IV	POWDER, FOR INJECTION SOLUTION	27.927	%
SODIUM PHOSPHATE, MONOBASIC	OPHTHALMIC	SOLUTION, DROPS	0.01	%
SODIUM PHOSPHATE, MONOBASIC	SUBCUTANEOUS	POWDER, FOR INJECTION SOLUTION, LYOPHILIZED	0.022	%
SODIUM PHOSPHATE, MONOBASIC	SUBCUTANEOUS	SUSPENSION, INJECTION	0.0694	%
SODIUM PHOSPHATE, MONOBASIC	IM-IV	INJECTABLE	0.16	%
SODIUM PHOSPHATE, MONOBASIC	OPHTHALMIC	SOLUTION	0.19	%
SODIUM PHOSPHATE, MONOBASIC	INTRAMUSCULAR	INJECTION	0.5747	%
SODIUM PHOSPHATE, MONOBASIC	INTRALESIONAL	SUSPENSION, INJECTION	0.68	%
SODIUM PHOSPHATE, MONOBASIC	INTRAMUSCULAR	SUSPENSION, INJECTION	0.68	%
SODIUM PHOSPHATE, MONOBASIC	INTRASYNOVIAL	SUSPENSION, INJECTION	0.68	%
SODIUM PHOSPHATE, MONOBASIC	SOFT TISSUE	SUSPENSION, INJECTION	0.68	%
SODIUM PHOSPHATE, MONOBASIC	IV(INFUSION)	POWDER, FOR INJECTION SOLUTION	1.32	%
SODIUM PHOSPHATE, MONOBASIC	IM-IV	INJECTION	1.472	%
SODIUM PHOSPHATE, MONOBASIC, ANHYDROUS	INTRAVASCULAR	INJECTION	0.0125	%
SODIUM PHOSPHATE, MONOBASIC, ANHYDROUS	NASAL	SPRAY, METERED	0.019	%
SODIUM PHOSPHATE, MONOBASIC, ANHYDROUS	OPHTHALMIC	SUSPENSION, DROPS	0.056	%
SODIUM PHOSPHATE, MONOBASIC, ANHYDROUS	SUBCUTANEOUS	POWDER, FOR INJECTION SOLUTION	0.08	%
SODIUM PHOSPHATE, MONOBASIC, ANHYDROUS	SUBCUTANEOUS	POWDER, FOR INJECTION SOLUTION, LYOPHILIZED	0.11	%
SODIUM PHOSPHATE, MONOBASIC, ANHYDROUS	AURICULAR (OTIC)	SOLUTION, DROPS	0.128	%
SODIUM PHOSPHATE, MONOBASIC, ANHYDROUS	INTRAMUSCULAR	INJECTION	0.5	%
SODIUM PHOSPHATE, MONOBASIC, ANHYDROUS	INTRAMUSCULAR	POWDER, FOR INJECTION SOLUTION	0.5	%
SODIUM PHOSPHATE, MONOBASIC, ANHYDROUS	INTRAVENOUS	INJECTION	0.5	%
SODIUM PHOSPHATE, MONOBASIC, ANHYDROUS	IV(INFUSION)	INJECTION	0.5	%
SODIUM PHOSPHATE, MONOBASIC, ANHYDROUS	IM-IV	SOLUTION, INJECTION	0.62	%
SODIUM PHOSPHATE, MONOBASIC, ANHYDROUS	OPHTHALMIC	SUSPENSION	0.65	%
SODIUM PHOSPHATE, MONOBASIC, ANHYDROUS	INTRAVENOUS	POWDER, FOR INJECTION SOLUTION	0.71	%
SODIUM PHOSPHATE, MONOBASIC, ANHYDROUS	OPHTHALMIC	SOLUTION	0.725	%
SODIUM PHOSPHATE, MONOBASIC, ANHYDROUS	OPHTHALMIC	SOLUTION, DROPS	0.78	%
SODIUM PHOSPHATE, MONOBASIC, ANHYDROUS	INTRAMUSCULAR	POWDER, FOR INJECTION SOLUTION, LYOPHILIZED	1.2	%
SODIUM PHOSPHATE, MONOBASIC, ANHYDROUS	IM-IV	INJECTION	1.28	%
SODIUM PHOSPHATE, MONOBASIC, ANHYDROUS	IM-IV	POWDER, FOR INJECTION SOLUTION	1.28	%
SODIUM PHOSPHATE, MONOBASIC, ANHYDROUS	IV(INFUSION)	POWDER, FOR INJECTION SOLUTION	1.28	%
SODIUM PHOSPHATE, MONOBASIC, ANHYDROUS	SUBCUTANEOUS	INJECTION	3.31	%
SODIUM PHOSPHATE, MONOBASIC, ANHYDROUS	INTRAVENOUS	SOLUTION	4	%
SODIUM PHOSPHATE, MONOBASIC, DIHYDRATE	SUBCUTANEOUS	POWDER, FOR INJECTION SOLUTION, LYOPHILIZED	0.045	%
SODIUM PHOSPHATE, MONOBASIC, DIHYDRATE	IV(INFUSION)	POWDER, FOR INJECTION SOLUTION	0.16	%
SODIUM PHOSPHATE, MONOBASIC, DIHYDRATE	INTRAVENOUS	INJECTION	0.76	%
SODIUM PHOSPHATE, MONOBASIC, DIHYDRATE	OPHTHALMIC	SOLUTION, DROPS	1.053	%
SODIUM PHOSPHATE, MONOBASIC, DIHYDRATE	OPHTHALMIC	SOLUTION	1.158	%
SODIUM PHOSPHATE, MONOBASIC, DIHYDRATE	NASAL	SPRAY, METERED	4.2	%
SODIUM PHOSPHATE, MONOBASIC, MONOHYDRATE	SUBCUTANEOUS	INJECTABLE	0.036	%
SODIUM PHOSPHATE, MONOBASIC, MONOHYDRATE	INTRAVENOUS	POWDER, FOR INJECTION SOLUTION	0.0495	%

(Continued)

Ingredient	Route	Dosage Form	Dose	Unit
SODIUM PHOSPHATE, MONOBASIC, MONOHYDRATE	SUBCUTANEOUS	INJECTION	0.0675	%
SODIUM PHOSPHATE, MONOBASIC, MONOHYDRATE	INTRAVITREAL	INJECTABLE	0.077	%
SODIUM PHOSPHATE, MONOBASIC, MONOHYDRATE	INTRAVENOUS	SOLUTION, INJECTION	0.18	%
SODIUM PHOSPHATE, MONOBASIC, MONOHYDRATE	IM-IV	POWDER	0.202	%
SODIUM PHOSPHATE, MONOBASIC, MONOHYDRATE	IM-IV	INJECTION	0.5	%
SODIUM PHOSPHATE, MONOBASIC, MONOHYDRATE	IV(INFUSION)	INJECTION	0.5	%
SODIUM PHOSPHATE, MONOBASIC, MONOHYDRATE	OPHTHALMIC	SUSPENSION	0.538	%
SODIUM PHOSPHATE, MONOBASIC, MONOHYDRATE	OPHTHALMIC	SOLUTION	0.54	%
SODIUM PHOSPHATE, MONOBASIC, MONOHYDRATE	OPHTHALMIC	SOLUTION, DROPS	0.721	%
SODIUM PHOSPHATE, MONOBASIC, MONOHYDRATE	IV(INFUSION)	POWDER, FOR INJECTION SOLUTION	1.3606	%
SODIUM PHOSPHATE, MONOBASIC, MONOHYDRATE	IM-IV	POWDER, FOR INJECTION SOLUTION	1.555	%
SODIUM PHOSPHATE, MONOBASIC, MONOHYDRATE	INTRAVENOUS	INJECTION	6.21	%
SODIUM PHOSPHATE, MONOBASIC, MONOHYDRATE	N/A	NOT APPLICABLE	600	MG
SODIUM PYROPHOSPHATE	INTRAVENOUS	INJECTION	1.2	%
SODIUM SUCCINATE	INTRAVENOUS	INJECTION, POWDER, LYOPHILIZED, FOR LIPOSOMAL SUSPENSION	2.7	%
SODIUM SULFATE	OPHTHALMIC	SOLUTION	0.226	%
SODIUM SULFATE	OPHTHALMIC	SUSPENSION	1.2	%
SODIUM SULFATE DECAHYDRATE	OPHTHALMIC	SOLUTION, DROPS	0.09	%
SODIUM SULFATE, ANHYDROUS	INHALATION	SOLUTION	0.025	%
SODIUM SULFATE, ANHYDROUS	OPHTHALMIC	SOLUTION	0.152	%
SODIUM SULFATE, ANHYDROUS	OPHTHALMIC	SOLUTION, DROPS	0.17	%
SODIUM SULFATE, ANHYDROUS	OPHTHALMIC	SUSPENSION	1.2	%
SODIUM SULFITE	AURICULAR (OTIC)	SOLUTION	0.02	%
SODIUM SULFITE	INTRAMUSCULAR	INJECTION	0.05	%
SODIUM SULFITE	SUBCUTANEOUS	INJECTION	0.09	%
SODIUM SULFITE	EPIDURAL	INJECTION	0.1	%
SODIUM SULFITE	INHALATION	SOLUTION	0.1	%
SODIUM SULFITE	INTRA-ARTICULAR	INJECTION	0.1	%
SODIUM SULFITE	INTRAVENOUS	INJECTION	0.1	%
SODIUM SULFITE	IM-IV	INJECTION	0.2	%
SODIUM SULFITE	OPHTHALMIC	SOLUTION, DROPS	0.2	%
SODIUM TARTRATE	IM-IV	INJECTION	1.2	%
SODIUM TARTRATE	INTRAVENOUS	INJECTION	1.2	%
SODIUM TARTRATE	IV(INFUSION)	INJECTION	1.2	%
SODIUM TARTRATE	INTRAMUSCULAR	INJECTION	1.41	%
SODIUM THIOGLYCOLATE	SUBCUTANEOUS	INJECTION	0.66	%
SODIUM THIOSULFATE	OPHTHALMIC	SOLUTION, DROPS	0.31	%
SODIUM THIOSULFATE	OPHTHALMIC	SUSPENSION, DROPS	0.314	%
SODIUM THIOSULFATE	OPHTHALMIC	SUSPENSION	0.32	%
SODIUM THIOSULFATE	OPHTHALMIC	SOLUTION	5	%
SODIUM THIOSULFATE, ANHYDROUS	INTRAVENOUS	SOLUTION	0.19	%

(Continued)

Ingredient	Route	Dosage Form	Dose	Unit
SORBIC ACID	OPHTHALMIC	SOLUTION	0.2	%
SORBITAN TRIOLEATE	NASAL	AEROSOL, METERED	0.0175	%
SORBITAN TRIOLEATE	INHALATION	AEROSOL, METERED	0.0694	%
SORBITOL	OPHTHALMIC	SOLUTION, DROPS	0.25	%
SORBITOL	NASAL	SOLUTION	2.5	%
SORBITOL	NASAL	SPRAY, METERED	2.86	%
SORBITOL	INTRAVENOUS	SOLUTION, INJECTION	7.14	%
SORBITOL	IV(INFUSION)	SOLUTION, INJECTION	7.14	%
SORBITOL	INTRAVENOUS	INJECTION	30	%
SORBITOL	INTRA-ARTICULAR	INJECTION	45	%
SORBITOL	INTRALESIONAL	INJECTION	45	%
SORBITOL	INTRASYNOVIAL	INJECTION	45	%
SORBITOL SOLUTION	INTRAVENOUS	INJECTION	7.14	%
SORBITOL SOLUTION	INTRAVENOUS	SOLUTION, INJECTION	7.14	%
SORBITOL SOLUTION	IV(INFUSION)	SOLUTION, INJECTION	7.14	%
SORBITOL SOLUTION	INTRAMUSCULAR	INJECTION	25	%
SORBITOL SOLUTION	OPHTHALMIC	SOLUTION, DROPS	39.9996	%
SOYBEAN OIL	INTRAVENOUS	EMULSION, INJECTION	10	%
SOYBEAN OIL	INTRAVENOUS	INJECTABLE	10	%
STANNOUS CHLORIDE	IV(INFUSION)	POWDER, FOR INJECTION SOLUTION, LYOPHILIZED	0.003	%
STANNOUS CHLORIDE	INTRAVENOUS	POWDER, FOR INJECTION SOLUTION	0.025	%
STANNOUS CHLORIDE	IV(INFUSION)	INJECTION	0.05	%
STANNOUS CHLORIDE	INTRAVENOUS	INJECTION	0.34	%
STANNOUS CHLORIDE, ANHYDROUS	INTRAVENOUS	INJECTION	0.005	%
STANNOUS FLUORIDE	INTRAVENOUS	INJECTION	0.073	%
STANNOUS TARTRATE	INTRAVENOUS	INJECTION	0.008	%
STARCH	INTRAMUSCULAR	INJECTION	0.6	%
STEARIC ACID	IMPLANTATION	PELLET	0.2	MG
STEARIC ACID	SUBCUTANEOUS	IMPLANT	1.04	MG
SUCROSE	SUBCUTANEOUS	POWDER, FOR INJECTION SOLUTION, LYOPHILIZED, WITH ADDITIVES	4.104	%
SUCROSE	INTRAMUSCULAR	SOLUTION, INJECTION	4.425	%
SUCROSE	SUBCUTANEOUS	SOLUTION, INJECTION	4.425	%
SUCROSE	IV(INFUSION)	POWDER, FOR INJECTION SOLUTION, LYOPHILIZED	5.4	%
SUCROSE	INTRAMUSCULAR	POWDER, FOR INJECTION SOLUTION, LYOPHILIZED	6.84	%
SUCROSE	SUBCUTANEOUS	POWDER, FOR INJECTION SOLUTION, LYOPHILIZED	6.84	%
SUCROSE	INTRAVENOUS	POWDER, FOR INJECTION SOLUTION, LYOPHILIZED	7.78	%
SUCROSE	INTRAVENOUS	SOLUTION, LIPOSOME, INJECTION	8.5	%
SUCROSE	SUBCUTANEOUS	INJECTION	9	%
SUCROSE	INTRAVENOUS	INJECTION, SUSPENSION, LIPOSOMAL	9.4	%
SUCROSE	INTRAVENOUS	INJECTION	19.5	%
SUCROSE	INTRAVENOUS	INJECTION, POWDER, LYOPHILIZED, FOR LIPOSOMAL SUSPENSION	90	%
SULFUR DIOXIDE	IV(INFUSION)	SOLUTION, INJECTION	0.15	%
SULFURIC ACID	OPHTHALMIC	SOLUTION, DROPS	0.02	%
SULFURIC ACID	AURICULAR (OTIC)	SUSPENSION	0.023	%

(Continued)

Ingredient	Route	Dosage Form	Dose	Unit
SULFURIC ACID	NASAL	SPRAY	0.4	%
SULFURIC ACID	INTRAMUSCULAR	INJECTION	2.098	%
SULFURIC ACID	INTRAVENOUS	INJECTION	2.098	%
SULFURIC ACID	IV(INFUSION)	INJECTION	2.12	%
SULFURIC ACID	INHALATION	SOLUTION	12.5	%
SULFURIC ACID	IM-IV	INJECTION	56.6	%
TARTARIC ACID	INTRAVENOUS	INJECTION	0.2	%
TARTARIC ACID	INTRAVENOUS	SOLUTION, INJECTION	0.2	%
TARTARIC ACID	INTRAMUSCULAR	INJECTION	0.35	%
TARTARIC ACID	IV(INFUSION)	POWDER, FOR INJECTION SOLUTION, LYOPHILIZED	2	%
TETROFOSMIN	IV(INFUSION)	POWDER, FOR INJECTION SOLUTION, LYOPHILIZED	0.023	%
THEOPHYLLINE, ANHYDROUS	INTRAVENOUS	INJECTION	1.973	%
THEOPHYLLINE, ANHYDROUS	IV(INFUSION)	INJECTION	2.053	%
THIMEROSAL	INTRAMUSCULAR	INJECTION, SUSTAINED ACTION	0.002	%
THIMEROSAL	OPHTHALMIC	SUSPENSION	0.004	%
THIMEROSAL	INTRAMUSCULAR	INJECTION	0.0084	%
THIMEROSAL	AURICULAR (OTIC)	SUSPENSION	0.01	%
THIMEROSAL	OPHTHALMIC	SOLUTION	0.01	%
THIMEROSAL	OPHTHALMIC	SOLUTION, DROPS	0.01	%
THIMEROSAL	SUBCUTANEOUS	INJECTION	0.01	%
THIMEROSAL	OPHTHALMIC	SUSPENSION, DROPS	1	%
THIOGLYCEROL	NERVE BLOCK	INJECTION	0.0001	%
THIOGLYCEROL	IM-IV	INJECTION	0.5	%
THIOGLYCEROL	INTRAMUSCULAR	INJECTION	1	%
THIOGLYCEROL	INTRAVENOUS	INJECTION	1	%
THREONINE	IV(INFUSION)	SOLUTION, INJECTION	12	%
THREONINE	IV(INFUSION)	INJECTION	45	%
THYMOL	INHALATION	LIQUID	0.01	%
TIN	INTRAVENOUS	INJECTION	0.0083	%
TITANIUM DIOXIDE	OPHTHALMIC	SUPPOSITORY, INSERT, CONTROLLED RELEASE	0.4	MG
TITANIUM DIOXIDE	INTRAUTERINE	SUPPOSITORY, INSERT, CONTROLLED RELEASE	1	MG
TOCOPHERSOLAN	OPHTHALMIC	SOLUTION, DROPS	0.5	%
TRICAPRYLIN	EPIDURAL	INJECTION, SUSPENSION, LIPOSOMAL	0.03	%
TRICHLOROMONOFUOROMETHANE	NASAL	AEROSOL, METERED	0.9	%
TRICHLOROMONOFUOROMETHANE	INHALATION	AEROSOL, METERED	33.831	%
TRIOLEIN	EPIDURAL	INJECTION, SUSPENSION, LIPOSOMAL	0.01	%
TRISODIUM CITRATE DIHYDRATE	INTRAVENOUS	INJECTABLE	0.023	%
TRISODIUM CITRATE DIHYDRATE	IM-IV	INJECTABLE	0.025	%
TRISODIUM CITRATE DIHYDRATE	IM-IV	SOLUTION	0.025	%
TRISODIUM CITRATE DIHYDRATE	OPHTHALMIC	SOLUTION	0.14	%
TROMETHAMINE	IV(INFUSION)	INJECTION	0.005	%
TROMETHAMINE	RESPIRATORY (INHALATION)	SOLUTION, FOR INHALATION	0.0121	%
TROMETHAMINE	INTRAMUSCULAR	INJECTION	0.1	%
TROMETHAMINE	INTRA-ARTERIAL	INJECTION	0.242	%
TROMETHAMINE	OPHTHALMIC	SOLUTION, DROPS	0.5	%
TROMETHAMINE	SUBCUTANEOUS	INJECTION	0.6	%
TROMETHAMINE	OPHTHALMIC	SOLUTION, GEL FORMING, EXTENDED RELEASE	0.8	%

(Continued)

Ingredient	Route	Dosage Form	Dose	Unit
TROMETHAMINE	OPHTHALMIC	SOLUTION	0.936	%
TROMETHAMINE	INTRAVASCULAR	INJECTION	1	%
TROMETHAMINE	INTRAVENOUS	INJECTION	1	%
TROMETHAMINE	IM-IV	INJECTABLE	1.1	%
TROMETHAMINE	IM-IV	INJECTION, SOLUTION	1.211	%
TROMETHAMINE	IV(INFUSION)	POWDER, FOR INJECTION SUSPENSION, LYOPHILIZED	1.213	%
TRYPTOPHAN	IV(INFUSION)	SOLUTION, INJECTION	4.6	%
TRYPTOPHAN	IV(INFUSION)	INJECTION	15.2	%
TYLOXAPOL	OPHTHALMIC	SOLUTION	0.1	%
TYLOXAPOL	OPHTHALMIC	SOLUTION, DROPS	0.1	%
TYLOXAPOL	OPHTHALMIC	SUSPENSION	0.3	%
TYLOXAPOL	OPHTHALMIC	SUSPENSION, DROPS	0.3	%
TYROSINE	IV(INFUSION)	INJECTION	3.4	%
VALINE	IV(INFUSION)	SOLUTION, INJECTION	20	%
VALINE	IV(INFUSION)	INJECTION	84	%
VERSETAMIDE	INTRAVENOUS	INJECTION	2.54	%
XANTHAN GUM	OPHTHALMIC	SOLUTION, GEL FORMING, EXTENDED RELEASE	0.6	%
ZINC	SUBCUTANEOUS	INJECTABLE	0.0065	%
ZINC	SUBCUTANEOUS	INJECTION	0.015	%
ZINC	SUBCUTANEOUS	SUSPENSION, INJECTION	3.27	%
ZINC ACETATE	SUBCUTANEOUS	POWDER, FOR INJECTION SUSPENSION	0.23	%
ZINC CARBONATE	SUBCUTANEOUS	POWDER, FOR INJECTION SUSPENSION	0.16	%
ZINC CHLORIDE	OPHTHALMIC	SOLUTION, DROPS	0.0025	%
ZINC CHLORIDE	SUBCUTANEOUS	INJECTABLE	0.0063	%
ZINC CHLORIDE	SUBCUTANEOUS	INJECTION	0.015	%
ZINC CHLORIDE	INTRADERMAL	INJECTION	0.04	%
ZINC OXIDE	SUBCUTANEOUS	INJECTABLE	0.002	%
ZINC OXIDE	SUBCUTANEOUS	SUSPENSION, INJECTION	0.0025	%
ZINC OXIDE	SUBCUTANEOUS	INJECTION	0.019	%
ZINC OXIDE	RESPIRATORY (INHALATION)	SOLUTION, INJECTION	3.114	%



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Part II

Manufacturing Formulations



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Sterile Product Formulations

ABCIXIMAB INJECTION

Bill of Materials (Batch Size 1 L)

Scale/mL	Item	Material	Qty	UOM
2.00	mg	1	Abciximab	2.00 g
0.01	M	2	Sodium phosphate	0.01 M
0.15	M	3	Sodium chloride	0.15 M
0.001	%	4	Polysorbate 80	0.001 %
QS	mL	5	Water for injection	QS to 1.00 L

MANUFACTURING DIRECTIONS

1. Abciximab is the Fab fragment of the chimeric humanmurine monoclonal antibody 7E3.
2. Abciximab binds to the glycoprotein (GP) IIb/IIIa receptor of human platelets and inhibits platelet aggregation. Abciximab also binds to the vitronectin ($\alpha_v\beta_3$) receptor found on platelets and vessel wall endothelial and smooth muscle cells.
3. The chimeric 7E3 antibody is produced by continuous perfusion in mammalian cell culture. The 47615 Da Fab fragment is purified from cell culture supernatant by a series of steps involving specific viral inactivation and removal procedures, digestion with papain, and column chromatography.
4. It is a clear, colorless sterile nonpyrogenic solution for intravenous (IV) use (pH 7.2). No preservatives are added.

ACETAZOLAMIDE INJECTION

Bill of Materials

Scale/Vial	Item	Material	Qty	UOM
500.00	mg	1	Acetazolamide sodium	500.00 mg
QS	mL	2	Sodium hydroxide ^a	QS mL
QS	mL	3	Hydrochloric acid ^a	QS mL

^a For pH adjustment.

DESCRIPTION

Supplied as a sterile powder requiring reconstitution. The bulk solution is adjusted to pH 9.2 prior to lyophilization.

ACETYLCHOLINE CHLORIDE INTRAOCULAR SOLUTION

Bill of Materials for Lower Chamber

Scale/Vial	Item	Material	Qty	UOM
20.00	mg	1	Acetylcholine chloride	20.00 mg
56.00	mg	2	Mannitol	56.00 mg

DESCRIPTION

Acetylcholine chloride intraocular solution is a parasympathomimetic preparation for intraocular use packaged in a vial of two compartments. The reconstituted liquid will be a sterile isotonic solution (275–330 mOsm/kg) containing 20 mg acetylcholine chloride (1:100 solution) and 2.8% mannitol. The pH range is 5.0 to 8.2. Mannitol is used in the process of lyophilizing acetylcholine chloride and is not considered an active ingredient. Diluent includes sodium acetate trihydrate, potassium chloride, magnesium chloride hexahydrate, calcium chloride in sterile water for injection.

ACYCLOVIR SODIUM INJECTION

Bill of Materials per Vial (10 mL)

Scale/mL	Item	Material	Qty	UOM
50.00	mg	1	Acyclovir	500.00 mg
4.90	mg	2	Sodium	49.00 mg
QS	mL	3	Sterile water for injection, USP (for reconstitution)	10.00 mL

DESCRIPTION

Acyclovir sodium for injection is a sterile lyophilized powder for IV administration only. The pH of the reconstituted

solution is ca. 11. Further dilution in any appropriate IV solution must be performed before infusion.

ADENOSINE 5' MONOPHOSPHATE INJECTION

Bill of Materials (Batch Size 1 L)					
Scale/mL	Item	Material	Qty	UOM	
200.00	mg	1 Adenosine 5' monophosphate	200.00	g	
1.50	%	2 Benzyl alcohol, NF	1.50	%	
QS	mL	3 Water for injection, USP	QS to 1.00	L	
QS	mL	4 Sodium hydroxide for pH adjustment	QS	mL	

ADENOSINE INJECTION

Bill of Materials (Batch Size 1 L)					
Scale/mL	Item	Material	Qty	UOM	
3.00	mg	1 Adenosine	3.00	g	
9.00	mg	2 Sodium chloride	9.00	g	
QS	mL	3 Water for injection	QS to 1.00	L	

Adjust pH to 4.7 to 5.0.

ADRENAL CORTEX INJECTION

Bill of Materials (Batch Size 1 L)					
Scale/mL	Item	Material	Qty	UOM	
200.00	mg	1 Adrenal cortex equivalent to 200 mg hydrocortisone reference standard, USP	200.00	mg	
1:20,000	–	2 Thimerosal as preservative	1:20,000	–	
QS	mL	3 Water for injection, USP	QS to 1.00	L	
QS	mL	4 Sodium acetate for buffering	QS	mL	
QS	mL	5 Acetic acid for buffering	QS	mL	

ADRENALINE TARTARATE INJECTION

Bill of Materials (Batch Size 1 L)					
Scale/mL	Item	Material	Qty	UOM	
1.80	mg	1 Adrenaline bitartrate (1:1000) ^a	1.80	g	
1.00	mg	2 Sodium metabisulfite	1.00	g	
8.00	mg	3 Sodium chloride NF	8.00	g	
QS	L	4 Water for injection, USP	QS to 1.00	L	

^a Contains not less than 0.09% and not more than 0.115% w/v of adrenaline.

MANUFACTURING DIRECTIONS

1. Boil item 4 and allow to cool to room temperature; check for suitability by pH and electrical conductivity.
2. Add and mix items 1, 2, and 3 and stir to dissolve all ingredients.
3. Check and record pH 2.9 to 3.6. Sample.
4. Filter through 0.22 μm filter.
5. Fill 1.1 mL into amber ampoules.
6. Heat-sterilize at 121°C for 30 minutes. Sample.
7. Check for clarity. Sample.

ALATROFLOXACIN MESYLATE INJECTION

Bill of Materials (Batch Size 1 L)					
Scale/mL	Item	Material	Qty	UOM	
7.86	mg	1 Alatrofloxacin mesylate	7.86	g	
QS	mL	2 Hydrochloric acid for pH adjustment	QS	mL	
QS	mL	3 Sodium hydroxide for pH adjustment	QS	mL	
QS	mL	4 Water for injection, USP	QS to 1.00	L	

MANUFACTURING DIRECTIONS

1. Take 0.9 L of item 4 and dissolve item 1 in it.
2. Check and adjust pH to 4.0 (3.7–4.1) by item 2 or 3.

An isotonic form of the above is obtained as follows.

3. Filter and fill 30 mL into a 40 mL vial or ampoule.
4. Autoclave at 115°C for 15 minutes.
5. Finish and sample.

ALATROFLOXACIN MESYLATE INJECTION

Bill of Materials (Batch Size 1 L)					
Scale/mL	Item	Material	Qty	UOM	
3.14	mg	1	Alatrofloxacin mesylate	3.14	g
5.00	mg	2	Dextrose, USP	5.00	g
QS	mL	3	Hydrochloric acid for pH adjustment	QS	mL
QS	mL	4	Sodium hydroxide for pH adjustment	QS	mL
QS	mL	5	Water for injection, USP	QS to 1.00	L

MANUFACTURING DIRECTIONS

1. Take 0.9 L of item 5 and dissolve items 1 and 2 in it.
2. Check and adjust pH to 4.0 (3.7–4.1) by item 3 or 4.
A lyophilized form of the above is obtained as follows:
3. Filter and fill 30 mL into a 40 mL vial.
4. Autoclave at 115°C for 15 minutes.
5. Finish and sample. Final concentration is 3.14 mg/mL.

ALATROFLOXACIN MESYLATE INJECTION

Bill of Materials (Batch Size 1 L)					
Scale/mL	Item	Material	Qty	UOM	
3.14	mg	1	Alatrofloxacin mesylate	3.14	g
5.00	mg	2	Lactose, USP	5.00	g
QS	mL	3	Hydrochloric acid for pH adjustment	QS	mL
QS	mL	4	Sodium hydroxide for pH adjustment	QS	mL
QS	mL	5	Water for injection, USP	QS to 1.00	L

MANUFACTURING DIRECTIONS

1. Take 0.9 L of item 5 and dissolve items 1 and 2 in it.
2. Check and adjust pH to 4.0 (3.7–4.1) by item 3 or 4.
3. Filter and fill 30 mL into a 40 mL vial.
4. Lyophilize for 24 hours under a 0.1-atm vacuum.
5. Autoclave at 115°C for 15 minutes.
6. Finish and sample. Final concentration is 3.14 mg/mL.

ALATROFLOXACIN MESYLATE INJECTION

Bill of Materials (Batch Size 1 L)					
Scale/mL	Item	Material	Qty	UOM	
5.00	mg	1	Trovafoxacin, use alatrofloxacin mesylate	5.00	g
QS	mL	2	Sodium hydroxide ^a	QS	mL
QS	mL	3	Hydrochloric acid ^a	QS	mL
QS	mL	4	Water for injection	QS to 1.00	L

^a For pH adjustment.

DESCRIPTION

Available in 40- and 60 mL single-use vials as a sterile, preservative-free aqueous concentrate intended for dilution prior to IV administration of doses of 200 or 300 mg of trovafoxacin, respectively. The pH range for the 5 mg/mL aqueous concentrate is 3.5 to 4.3.

ALBUMIN (HUMAN)

Albumin (human), USP, is made from pooled human venous plasma by using the Cohn cold ethanol fractionation process. The approximate sodium content of the product is 145 mEq/L. It contains no preservative. Each 5% vial is heat treated at 60°C for 10 hours against the possibility of transmitting the hepatitis viruses. The product is available in 50- and 100 mL rubber-stoppered single-dose vials.

ALBUMIN 5% SOLUTION

Bill of Materials (Batch Size 1 L)					
Scale/100 mL	Item	Material	Qty	UOM	
5.00	g	1	Albumin	50.00	g
QS	mL	2	Sodium caprylate (0.004 M) ^a	QS	mL
QS	mL	3	Sodium <i>N</i> -acetyl tryptophanate (0.004 M) ^a	QS	mL
QS	mL	4	Sodium bicarbonate ^b	QS	mL
QS	mL	5	Water for injection	QS to 1.00	L

^a For stabilization.

^b For pH adjustment.

ALBUMIN 20% SOLUTION

Bill of Materials (Batch Size 1 L)					
Scale/100 mL	Item	Material	Qty	UOM	
20.00	g	1 Albumin	200.00	g	
QS	mL	2 Sodium caprylate (0.016 M)	QS	mL	
QS	mL	3 Sodium <i>N</i> -acetyl tryptophanate (0.016 M) ^a	QS	mL	
QS	mL	4 Sodium bicarbonate ^b	QS	mL	
QS	mL	5 Water for injection	QS to 1.00	L	

^a For stabilization.^b For pH adjustment.**ALBUMIN 25% SOLUTION**

Bill of Materials (Batch Size 1 L)					
Scale/100 mL	Item	Material	Qty	UOM	
25.00	g	1 Albumin	250.00	g	
QS	mL	2 Sodium caprylate (0.02 M) ^a	QS	mL	
QS	mL	3 Sodium <i>N</i> -acetyl tryptophanate (0.02 M) ^a	QS	mL	
QS	mL	4 Sodium bicarbonate ^b	QS	mL	
QS	mL	5 Water for injection	QS to 1.00	L	

^a For stabilization.^b For pH adjustment 6.9 ± 0.5.**ALBUTEROL SULFATE INHALATION SOLUTION**

Bill of Materials (Batch Size 1 L)					
Scale/3 mL	Item	Material	Qty	UOM	
0.63	mg	1 Albuterol use albuterol sulfate	210.00	mg	
0.75	mg				
QS	mg	2 Sodium chloride	QS	mg	
QS	mL	3 Sulfuric acid	QS	mL	
QS	mL	4 Sterile water for injection	QS to 1.00	L	

Adjust pH to 3.5.

ALDESLEUKIN FOR INJECTION

Bill of Materials (Batch Size 1 L)					
Scale/mL	Item	Material	Qty	UOM	
1.10	mg	1 Aldesleukin (18 million IU)	1.10	g	
50.00	mg	2 Mannitol	50.00	g	
0.18	mg	3 Sodium dodecyl sulfate	0.18	g	
0.17	mg	4 Sodium phosphate monobasic	0.17	g	
0.89	mg	5 Sodium phosphate dibasic	0.89	g	

Note: Each milliliter of product requires 1.2 mL sterile water for injection for reconstitution.**ALEMTUZUMAB INJECTION**

Bill of Materials (Batch Size 1 L)					
Scale/3 mL	Item	Material	Qty	UOM	
30.00	mg	1 Alemtuzumab	10.00	g	
24.00	mg	2 Sodium chloride	8.00	g	
3.50	mg	3 Sodium phosphate dibasic	1.167	g	
0.60	mg	4 Potassium chloride	200.00	mg	
0.60	mg	5 Potassium phosphate monobasic	200.00	mg	
0.30	mg	6 Polysorbate 80	100.00	mg	
0.056	mg	7 Disodium edetate	18.667	mg	

ALPHA-TOCOPHEROL (VITAMIN E) INJECTION

Bill of Materials (Batch Size 1 L)					
Scale/mL	Item	Material	Qty	UOM	
200.00	mg	1 Alpha-tocopherol (Vitamin E) ^a	200.00	g	
20.00	mg	2 Benzyl alcohol	20.00	g	
QS	mg	3 Sesame oil refined	QS to 1.00	L	

^a Vitamin E is a form of alpha-tocopherol (C₂₉H₅₀O₂). It includes the following: *d*- or *dl*-alpha-tocopherol (C₂₉H₅₀O₂); *d*- or *dl*-alpha-tocopheryl acetate (C₃₁H₅₂O₃); *d*- or *dl*-alpha-tocopheryl acid succinate (C₃₃H₅₄O₅). It contains 96 to 102% of C₂₉H₅₀O₂, C₃₁H₅₂O₃, or C₃₃H₅₄O₅.

ALPROSTADIL FOR INJECTION**Bill of Materials (Batch Size 1 L)**

Scale/mL	Item	Material	Qty	UOM	
5.40	mg	1	Alprostadil	5.40	mg
172.00	mg	2	Lactose	172.00	g
47.00	mg	3	Sodium citrate	47.00	mg
8.40	mg	4	Benzyl alcohol	8.40	mg
QS	mL	5	Water for injection, USP	QS to 1.00	L

Note: Extra quantity of item 1 to compensate for losses due to adsorption to vial and syringe. Lyophilized powder given is the concentration after reconstitution.

ALTEPLASE RECOMBINANT INJECTION**Bill of Materials (Batch Size 1000 Vials)**

Scale/Vial	Item	Material	Qty	UOM	
58 MM	IU	1	Alteplase	100.00	g
3.50	g	2	L-Arginine	3.50	kg
1.00	g	3	Phosphoric acid	1.00	kg
11.00	mg	4	Polysorbate 80	11.00	g
QS	mL	5	Water for injection, USP	QS to 1.00	L

Note: The specific activity of alteplase is 580,000 IU/mg; 200 mg strength under vacuum.

AMIKACIN SULFATE INJECTION (50 MG/ML)**Bill of Materials (Batch Size 1 L)**

Scale/mL	Item	Material	Qty	UOM	
5.70	mg	1	Sodium citrate	5.70	g
1.20	mg	2	Sodium metabisulfite	1.20	g
15.60	mg	3	Sulfuric acid for pH adjustment	15.60	g
50.00	mg	4	Amikacin, USP	50.00	g
QS	mL	5	Water for injection, USP	QS to 1.00	L
QS	mL	6	Nitrogen gas, NF	QS	cy

AMIKACIN SULFATE INJECTION (250 MG/ML)**Bill of Materials (Batch Size 1 L)**

Scale/mL	Item	Material	Qty	UOM	
28.50	mg	1	Sodium citrate	28.50	g
6.00	mg	2	Sodium metabisulfite	6.00	g
73.60	mg	3	Sulfuric acid for pH adjustment	73.60	g
250.00	mg	4	Amikacin, USP	250.00	g
QS	L	5	Water for injection, USP	QS to 1.00	L
QS	mL	6	Nitrogen gas, NF	QS	cy

MANUFACTURING DIRECTIONS

1. Prepare the solution in a glass-lined or 316 or higher temper-grade stainless-steel tank. Protect solution with item 6 throughout the process.
2. Collect ca. 110% of the batch size of item 5 into the tank, heat it to not less than 70°C, then cool to 25°C (20–30°C) while sparging with filtered item 6. Bubble for not less than 30 minutes.
3. Transfer ca. 40% of item 5 from step 2 item into another tank for use in the QS step. Protect tank headspace with filtered item 6.
4. Continue sparging N₂ while adding and dissolving items to 4 one at a time and slowly.
5. Check pH to 4.5 (4–5); adjust if necessary with item 4.
6. Make up volume with item 5 set aside in step 3.
7. Sample for testing.
8. Filter solution through a 0.45-mm or finer membrane into a glass-lined or 316 or higher temper-grade stainless-steel tank. Protect solution with item 6.
9. Prior to filling, filter through a 0.22-mm or finer membrane filter.
10. Fill container, protect headspace with item 6, and sterilize using an approved cycle.

AMIKACIN SULFATE INJECTION

Bill of Materials (Batch Size 1 L)

Scale/mL	Item	Material	Qty	UOM
250.00	mg	1 Amikacin, use amikacin sulfate, 33% excess	333.75	g
6.60	mg	2 Sodium metabisulfite (sodium disulfite)	6.60	g
25.00	mg	3 Sodium citrate monohydrate	25.00	g
QS	mL	4 Water for injection	QS to 1.00	L
QS	ft ³	5 Nitrogen gas	QS	
QS	mL	6 Sulfuric acid as buffering agent	QS	
QS	mL	7 Sodium hydroxide, reagent-grade pellets for buffering	QS	

Note: quantity of amikacin sulfate per liter = 333.75 ∞ 100% assay (as is basis).

MANUFACTURING DIRECTIONS

Important: For general requirements for tests, assays, and equipment, refer to USP.

- Preparation of water. Check item 4 to be used for solution preparation and verify that it meets a conductivity limit of NMT 1.0 mS/cm and pH range of 5 to 7.
- Preparation of solution.
 - Put 700 mL of item 4 into the preparation vessel and bubble N₂ gas to expel dissolved oxygen gas. Monitor the O₂ sensor display (O₂% limit = NMT 1).
 - Add and dissolve item 1 into the step 2a preparation vessel. Mix well by stirring to make clear solution.
 - Add and dissolve items 2 and 3 into the solution of step 2b, mix well, and make clear solution.
 - Check pH (4–5).
 - Adjust pH by 2 N H₂SO₄/1 N NaOH solution (4–5).
 - After adjustment of pH, make up volume to 1 L by item 4 and mix during bubbling item 5 until O₂% is less than 1.
 - Check final pH (4.0–5.2).
- Preparation of filtration assembly and machine parts for production. Clean and sterilize filtration assembly and machine parts using autoclave as per USP.
- Prefiltration.
 - Before starting the primary filtration, check the integrity of filter cartridge.
 - Integrity test results of filter cartridge by the bubble point test:
Before filtration bubble point mbar
After filtration bubble point mbar
Minimum acceptable bubble point mbar
 - Transfer the solution from the preparation vessel to mobile vessel through filtration assembly, containing 0.2-mm filter cartridge.
 - After filtration transfer mobile vessel to solution room.
- Preparation of ampoules. Use type I 2 mL clear glass ampoules, USP.
 - Wash the ampoules in the washing machine as per the following parameters and their limits:
DI water pressure: 2 bar/min
WFI pressure: 2 bar/min
Compressed air pressure: 6 bar
Compressed air pressure after regulator: 2 bar
Machine speed: 100%
 - Set the temperature to 330°C (as per latest validation studies).
 - Sterilize the ampoules by dry heat.
- Final filtration.
 - Before starting the final filtration, check the integrity of filter cartridge.
 - Integrity test results of filter cartridge by the bubble point test:
Before filtration bubble point mbar
After filtration bubble point mbar
Minimum acceptable bubble point mbar
 - Aseptically connect the N₂ line through sterile N₂ filter to the inlet of mobile vessel. Check the validity of N₂ filter.
 - Aseptically connect one end of previously sterilized filtration assembly with 0.22-mm pore size filtration cartridge to the outlet of mobile vessel and other end to buffer holding tank on the ampoules filling machine parts.
 - Filter the solution.
- Aseptic filling.
 - Operate previously sterilized ampoules filling machine as per following parameters:
Adjust the volume to 2.15 mL; O₂ pressure: 4.0 bar; N₂ pressure: 0.4 bar; LPG pressure: 0.4 bar; machine speed (100% max).
 - Fill 2.15 mL (range 2.1–2.2 mL) amikacin solution from the bulk into each sterile dry clean ampoule and seal it.
- Terminal sterilization and leak test. Load the inverted ampoules inside the autoclave chamber, run the cycle as per the following parameters:
Sterilization temperature: 121.1°C
Exposure time: 20 minutes
- Optical checking. Check the ampoules under the optical checking machine.

PACKAGING MATERIAL SPECIFICATIONS

Ampoule, 2 mL, flint glass type I

**AMINO ACID PARENTERAL
NUTRITION SOLUTION****Bill of Materials (Batch Size 1 L)**

Scale/mL	Item	Material	Qty	UOM
5.10	mg	1 Isoleucine, USP	5.61	g
6.60	mg	2 Leucine, USP	8.50	g
5.10	mg	3 Lysine, use lysine acetate, USP	12.59	g
2.80	mg	4 Methionine, USP	1.46	g
3.10	mg	5 Phenylalanine, USP	2.53	g
3.70	mg	6 Threonine, USP	3.40	g
1.20	mg	7 Tryptophan, USP	1.70	g
5.60	mg	8 Valine, USP	4.25	g
0.44	mg	9 <i>N</i> -Acetyl-L-tyrosine	2.30	g
9.00	mg	10 Alanine, USP	8.44	g
6.90	mg	11 Arginine, USP	8.65	g
9.00	mg	12 Glycine, USP	4.25	g
6.10	mg	13 Proline, USP	6.14	g
2.10	mg	14 Histidine base, USP	2.55	g
3.00	mg	15 Serine, USP	4.50	g
0.60	mg	16 Potassium metabisulfite	6.27	g
0.042	mg	17 Glacial acetic acid	5.95	g
QS	mL	18 Water for injection, USP	QS to 1.00	L
QS	mL	19 Nitrogen gas, NF	QS	mL

MANUFACTURING DIRECTIONS

1. This solution must be prepared in a glass-lined or 316 or higher temper-grade stainless-steel tank.
2. If using the volume method, add item 18 to ca. 85% of the final volume; if using weight method, add all the item 18 at the point of use.
3. Heat item 18 to not less than 70°C, bubble item 19 during the entire manufacturing process.
4. Stop steam supply and begin dissolving amino acids in the following order: arginine, leucine, isoleucine, phenylalanine, histidine, methionine, serine, threonine, valine, proline, lysine acetate, alanine, glycine, and *N*-acetyl- L-tyrosine.
5. Mix until all ingredients are dissolved and solution is uniform.
6. Sample for pH check and adjust to 5.8 (range 5.6–6.2) with item 17.
7. Add and dissolve potassium metabisulfite and tryptophan with mixing.
8. Cool to and maintain temperature of the solution in the mixing tank at 40°C (25–45°C) throughout the remaining process.

9. If using volume method, QS with item 18 to final volume; if using weight method, check final weight of product, add item 18 if necessary to bring specific weight. Mix until solution is uniform.
10. Check and record pH (range 5.6–6.2); again adjust with 20% solution of item 10 if necessary.
11. Prefilter solution through a prefilter unit prepared with approved filter—one prefiltration and one bulk tank microbial sample are taken at this stage for biological test. The size of sample should be large enough for statistical significance.
12. Prior to filling, filter solution through a 0.45-mm or finer membrane connected in a series to a prefilter. Check filtered solution for clarity. Protect product with filtered item in the container headspace during the filling operation.
13. Fill into appropriate containers (250–1000 mL) and seal. During filling pull samples for volume check, develop a statistical sample plan to allow sampling throughout the batch.
14. Maintain N₂ headspace.
15. Autoclave at approved cycle.
16. Sample for final testing.

AMINO ACID PARENTERAL NUTRITION SOLUTION (8.5%)

Bill of Materials (Batch Size 1 L)					
Scale/mL	Item	Material	Qty	UOM	
5.61	mg	1	Isoleucine, USP	5.61	g
8.50	mg	2	Leucine, USP	8.50	g
8.93	mg	3	Lysine, use lysine acetate, USP	12.59	g
1.46	mg	4	Methionine, USP	1.46	g
2.53	mg	5	Phenylalanine, USP	2.53	g
3.40	mg	6	Threonine, USP	3.40	g
1.70	mg	7	Tryptophan, USP	1.70	g
4.25	mg	8	Valine, USP	4.25	g
2.30	mg	9	<i>N</i> -Acetyl- <i>L</i> -tyrosine	2.30	g
8.44	mg	10	Alanine, USP	8.44	g
8.65	mg	11	Arginine, USP	8.65	g
4.25	mg	12	Glycine, USP	4.25	g
6.14	mg	13	Proline, USP	6.14	g
2.55	mg	14	Histidine base, USP	2.55	g
4.50	mg	15	Serine, USP	4.50	g
6.27	mg	16	<i>L</i> -Glutamic acid	6.27	g
5.95	mg	17	<i>L</i> -Aspartic acid	5.95	g
0.20	mg	18	Sodium hydrosulfite, CP	0.20	g
QS		19	Sodium hydroxide pellets for pH adjustment	QS	
QS	mL	20	Water for injection, USP	QS to 1.00	L
QS		21	Nitrogen gas, NF	QS	

AMINO ACID PARENTERAL NUTRITION SOLUTION: 10%

Bill of Materials (Batch Size 1 L)					
Scale/mL	Item	Material	Qty	UOM	
6.60	mg	1	Isoleucine, USP	6.60	g
10.00	mg	2	Leucine, USP	10.00	g
10.50	mg	3	Lysine, use lysine acetate, USP	14.80	g
1.72	mg	4	Methionine, USP	1.72	g
2.98	mg	5	Phenylalanine, USP	2.98	g
4.00	mg	6	Threonine, USP	4.00	g
2.00	mg	7	Tryptophan, USP	2.00	g
5.00	mg	8	Valine, USP	5.00	g
2.70	mg	9	<i>N</i> -Acetyl- <i>L</i> -tyrosine	2.70	g
9.93	mg	10	Alanine, USP	9.93	g
10.18	mg	11	Arginine, USP	10.18	g
5.00	mg	12	Glycine, USP	5.00	g
7.22	mg	13	Proline, USP	7.22	g
3.00	mg	14	Histidine base, USP	3.00	g
5.30	mg	15	Serine, USP	5.30	g
7.38	mg	16	<i>L</i> -Glutamic acid	7.38	g
7.00	mg	17	<i>L</i> -Aspartic acid	7.00	g
0.20	mg	18	Sodium hydrosulfite, CP	0.20	g
QS		19	Sodium hydroxide pellets for pH adjustment	QS	
QS	mL	20	Water for injection, USP	QS to 1.00	L
QS		21	Nitrogen gas, NF	QS	

MANUFACTURING DIRECTIONS

1. Prepare this solution in a glass-lined or 316 or higher temper-grade stainless-steel tank.
2. If using the volume method, add item 20 to ca. 85% of the final volume; if using weight method, add all the item 20 at the point of use.
3. Heat item 20 to not less than 70°C; bubble item 21 during the entire manufacturing process.
4. Add items 16 and 17 to the heated item 20 and mix.
5. Stop steam supply and begin dissolving amino acids in the following order: arginine, leucine, isoleucine, phenylalanine, histidine, methionine, serine, threonine, valine, proline, lysine acetate, alanine, glycine, and *N*-acetyl- *L*-tyrosine.
6. Mix until all ingredients are dissolved and solution is uniform.
7. Sample for pH check and adjust to 5.8 (range 5.6–6.2) with 20% solution of item 19.
8. Add and dissolve sodium hydrosulfite and tryptophan with mixing.

9. Cool to and maintain temperature of the solution in the mixing tank at 40°C (25–45°C) throughout the remaining process.
10. If using volume method, QS with item 20 to final volume; if using weight method, check final weight of product, add item 20 if necessary to bring to specific weight. Mix until solution is uniform.
11. Check and record pH (range 5.6–6.2); again adjust with 20% solution of item 10 if necessary.
12. Prefilter solution through a prefilter unit prepared with approved filter—one prefiltration and one bulk tank microbial sample is taken at this stage for biological test. The size of sample should be large enough for statistical significance.
13. Prior to filling, filter solution through 0.45 µm or finer membrane connected in a series to a prefilter. Check filtered solution for clarity. Protect product with filtered item 21 in the container headspace during the filling operation.
14. Fill into appropriate containers (250–1000 mL) and seal. During filling pull samples for volume check; develop a statistical sample plan to allow sampling throughout the batch.
15. Maintain N₂ headspace.
16. Autoclave at approved cycle.
17. Sample for final testing.

AMINO ACID PARENTERAL INJECTION

Bill of Materials	
Isoleucine	4.0–5.5 g/L
Leucine	8.0–10.0 g/L
Lysine	6.0–8.0 g/L
Methionine	4.0–6.0 g/L
Phenylalanine	4.0–6.0 g/L
Threonine	4.0–6.0 g/L
Tryptophan	1.0–2.0 g/L
Valine	6.0–8.0 g/L
Arginine	10.0–12.0 g/L
Histidine	1.5–3.5 g/L
Alanine	9.0–12.0 g/L
Aminoacetic Acid (Glycine)	11.0–16.0 g/L
Asparagine	0–1.0 g/L
Aspartic Acid	5.5–8.0 g/L
Acetylcysteine	0–2.5 g/L
Glutamic Acid	6.0–10.0 g/L
Ornithine	0–1.0 g/L
Proline	4.0–6.0 g/L
Serine	1.0–3.0 g/L
Tyrosine	0.1–0.5 g/L
(as Acetyltyrosine)	0–2.0 g/L
Taurine	0–4.0 g/L

AMINOHIPPURATE SODIUM FOR INJECTION

Bill of Materials (Batch Size 1 L)					
Scale/mL	Item	Material	Qty	UOM	
200.00	mg	1	Aminohippurate sodium	200.00	g
QS	mL	2	Sodium hydroxide for pH adjustment		
QS	mL	3	Water for injection, USP	QS to 1.00	L

Note: Adjust pH to 6.7 to 7.6 with item 2.

AMINOPHYLLINE INJECTION

Bill of Materials (Batch Size 1 L)					
Scale/mL	Item	Material	Qty	UOM	
25.00	mg	1	Aminophylline, USP, anhydrous	25.00	g
QS		2	Ethylenediamine, USP, for pH adjustment ^a	QS	
QS		3	Nitrogen gas, NF	QS	
QS	mL	4	Water for injection, USP	QS to 1.00	L

^a For pH adjustment to a maximum of 0.5 mg/mL.

MANUFACTURING DIRECTIONS

1. The product must be manufactured in a glass-lined or stainless-steel 316 or higher temper-grade tank.
2. Add item 4 to ca. 110% of the final volume into the tank.
3. Bring to boiling and keep it boiling for 10 minutes as a minimum. Begin bubbling item 3 through the solution.
4. Transfer ca. 20% of the final volume of item 4 from step 2 into another glass-lined or stainless-steel tank under item 3 protection and cool to 75°C to 85°C.
5. To 90% of the final volume of item 4 at 75°C to 85°C, add and dissolve item 1 with mixing. Avoid vortex formation; maintain item 3 cover throughout.
6. Check and record pH. Add item 2 to solution with mixing to adjust pH to 8.6 to 9.0. Record pH and amount of item 2 used.
7. Bring to volume with boiled N₂-protected item 4 and mix until ingredients are dissolved and solution is uniform.
8. Check and record pH again and again adjust pH with item 2 to 8.6 to 9.0. Record amount used.
9. Cool solution to 20°C to 30°C.
10. Filter solution using an approved 0.45 µm or finer membrane filter with a prefilter into a glass-lined or stainless-steel holding tank flushed and under N₂ protection.

- Sample for testing and adjust batch composition accordingly.
- Preflush the ampoules with item 3 prior to filling.
- Fill nominal volume into each ampoule and N₂ flush the headspace.
- Terminal sterilization: F_0 equal to 8.0 for the coolest container and the hottest container to not exceed an F_0 of 18.0; temperature of the sterilizer chamber to be 115°C during the process dwell period; water spray cooling until 45°C or lower.
- Sample and test for final specifications.

AMIODARONE INJECTION

Bill of Materials (Batch Size 1 L)

Scale/mL	Item	Material	Qty	UOM	
1.80	mg	1	Amiodarone	1.80	g
0.02	mL	2	Lactic acid, ^a 20%	20.00	mL
45.46	mg	3	Dextrose anhydrous, USP	45.46	g
QS	mL	4	Sodium hydroxide for pH adjustment	QS	
QS	mL	5	Water for injection, USP	QS to 1.00	L

^a Prepared by heat treatment of a dilute 90% lactic acid concentrate to hydrolyze lactic acid dimer.

MANUFACTURING DIRECTIONS

- In a suitable size jacketed tank, add 0.4 L of item 5.
- Add to this item 2.
- Heat the mixture to 55°C.
- Add item 1 to the above solution, mix, and dissolve.
- Add another 0.4 L of item 5, mix, and allow to cool to 30°C.
- Add item 3. Mix with agitation to dissolve.
- Check and adjust pH with item 4 to 3.5 (3.4–3.6).
- Make up the volume with item 5.

AMIODARONE INJECTION

Bill of Materials (Batch Size 1 L)

Scale/mL	Item	Material	Qty	UOM	
50.00	mg	1	Amiodarone hydrochloride	50.00	g
20.20	mg	2	Benzyl alcohol	20.20	g
100.00	mg	3	Polysorbate 80	100.00	g
QS	mL	4	Water for injection, USP	QS to 1.00	L

Note: Fill 3 mL per ampoule.

AMOXICILLIN LYOPHILISATE FOR INJECTION (250 MG)

FORMULATION

Amoxicillin sodium, 6.25 g; Kollidon 12 PF [1], 7.50 g; water for injections, add 100.00 mL.

MANUFACTURING DIRECTIONS

Dissolve the active ingredient in the well-stirred solution of Kollidon 12 PF and after freeze-drying, fill 500 mg portions of the dry lyophilisate into ampoules. Prior to administration, the dry content of an ampoule is mixed with 1.9 mL of water to give a clear injection solution.

AMOXICILLIN-CLAVULANIC ACID INJECTION

Bill of Materials (Batch Size 1000 Vials)

Scale/Vial	Item	Material	Qty	UOM	
1.00	g	1	Amoxicillin as sterile amoxicillin sodium ^a	1.225	kg
200.00	mg	2	Clavulanic acid as sterile potassium clavulanate ^b	269.00	g

^a Quantity of sterile amoxicillin sodium is calculated on the basis of assay 85% of amoxicillin (C₁₆H₁₉N₃O₅S) on the anhydrous basis and 4.0% for water compensation.

^b Quantity of sterile potassium clavulanate is calculated on the basis of assay 75.5% of clavulanic acid (C₈H₉NO₃) on the anhydrous basis and 1.5% for water compensation.

MANUFACTURING DIRECTIONS

- Clean the vials and rubber closure in automatic machine.
- Clean the filling accessories related to filling machine.
- Sterilize and depyrogenize the clean, empty vials using sterilizer.
- Sterilize the stopper and filling equipment.
- Mix aseptically amoxicillin sodium sterile powder and clavulanate potassium sterile powder in a suitable mixer.
- Aseptically fill the mixed powder into the vials automatically with purging of N₂ gas, to get labeled amount of active ingredient per vial.
- Close the vials and cap with flip-off cap.

AMOXICILLIN POWDER FOR INJECTION

Bill of Materials (Batch Size 1000 Vials)

Scale/mL	Item	Material	Qty	UOM
250.00	mg	1	Amoxicillin as sodium amoxicillin equivalent ^a (276.88 ∞ 4), 3% excess	1107.53 g

^a For 500 mg, use 553.76 g; for 1000 mg, use 1107.53 g. Actual weight (adjusted according to potency) = weight above × 930/potency.

MANUFACTURING DIRECTIONS

Caution: Amoxicillin sodium is sensitive to moisture. This powder is sterile and must be handled aseptically in a dry, dust-free atmosphere. RH NMT 25% at 27°C.

- Preparation. Wipe outer surface of each bottle with 3A alcohol and deliver immediately to sterile area.
- Preparation of vials.
 - Wash and dry type 120- or 10 mL (for 500 and 250 mg, respectively) glass vials and load in appropriate containers for sterilization.
 - Sterilize by dry heat at 200°C (–0, +50°C) bottle temperature, for 225 minutes (–0, +360 minutes). Maintain oven temperature at 225°C (±10°C) for the duration of the cycle (or an equivalent heat input).
 - Deliver to the sterile filling area.
- Preparation of stoppers.
 - Wash West compound 888 stoppers by using rubber cycle (slow tumbling) with Triton X-100 detergent.
 - Dry in dryer at 55°C. Rack, inspect, and wrap the stoppers for autoclaving.
 - Sterilize in an autoclave for 1 hour at 121°C and vacuum dry with heat for a minimum of 4 hours at a temperature not exceeding 90°C.
 - Deliver to sterile area for filling.
- Filling.
 - Sterile-fill required gram of powder (see formula in table) equivalent to labeled amount of amoxicillin into each clean, dry sterile vial. Check fill weight of vials at ca. 5-minute intervals.
 - Insert sterile stopper and apply sterile overcap.
 - Remove from sterile area and pack into bulk containers and label each container with product lot number.
 - Sample for testing.
- Finishing. Sample for testing.

AMPHOTERICIN B CHOLESTERYL SULFATE COMPLEX FOR INJECTION

Bill of Materials (Batch Size 1000 Vials)

Scale/mL	Item	Material	Qty	UOM
50.00	mg	1	Amphotericin B	50.00 g
26.40	mg	2	Sodium cholesteryl sulfate	26.40 g
5.64	mg	3	Tromethamine	5.64 g
0.372	mg	4	Disodium edetate dihydrate	0.372 g
950.00	mg	5	Lactose monohydrate	950.00 g
QS	mL	6	Hydrochloric acid for pH adjustment	QS

Note: This is a 1:1 molar ratio complex of amphotericin B and cholesteryl sulfate. For 100 mg dose, use 52.8 mg of cholesteryl sulfate, lyophilized powder.

AMPHOTERICIN B INJECTION

Bill of Materials (Batch Size 15 L)

Scale/mL	Item	Material	Qty	UOM
10.00	mg	1	Amphotericin B, USP	150.00 g
8.20	mg	2	Sodium desoxycholate	123.00 g
4.04	mg	3	Monobasic sodium phosphate, USP (anhydrous)	60.60 g
QS		4	Sodium hydroxide, NF, as 4% solution for pH adjustment	
QS	mL	5	Water for injection, USP	QS to 15.00 L

MANUFACTURING DIRECTIONS

Caution: Do not inhale amphotericin. Avoid skin contact. Adjust amount of amphotericin on assay and sodium desoxycholate and monobasic sodium phosphate on moisture level.

- Prepare a 4% sodium hydroxide solution by dissolving 20 g of sodium hydroxide, NF, in enough water for injection to make 500 mL; cool to less than 20°C before using.
- Prepare a 2% (w/v) monobasic sodium phosphate solution by dissolving weighed amount (as calculated) in enough water for injection, USP, to make 3030 mL.
- In a suitable compounding tank, collect ca. 10 L of cold (lower than 20°C) water for injection.
- Add the sodium desoxycholate and mix to dissolve.

5. Add 4% sodium hydroxide solution and mix to adjust pH between 12.5 and 12.6. Cool solution to less than 20°C and maintain it at this temperature.
6. Add amphotericin B, USP, and mix to form a clear amber solution. Cover tank while mixing.
7. Check and record pH. Immediately after all drug has dissolved, slowly add 2% sodium phosphate monobasic solution in 100 mL portions to adjust pH to 7.6 (range 7.5–7.7). *Note:* pH must not drop less than 7.2. Add 3030 mL of sodium phosphate monobasic solution; use 4% sodium hydroxide to further adjust pH.
8. QS to 15 L with cold (lower than 20°C) water for injection and mix thoroughly for at least 10 minutes. Keep tank covered. Sample and keep solution cool until QC approval.
9. Aseptically filter the solution through a 0.22-mm filter system into a suitable sterile receiving vessel.
10. Aseptically fill and lyophilize.
11. Load the filled vials into lyophilizer. Place thermocouples as per current SOPs; turn freezer on. When at least four thermocouples reach –30°C or less, hold for at least 30 minutes. Turn condenser on. After condenser temperature reaches –40°C or less, turn vacuum on.
12. When the vacuum reading is less than 250 mm, adjust the shelf temperature to 0°C and dry the product with full vacuum.
13. When at least four product thermocouples reach –8°C ($\pm 5^\circ\text{C}$), raise the shelf temperature to +3°C or higher to maintain the product temperature at 25°C ($\pm 5^\circ\text{C}$) and dry with full vacuum when at least four product temperature probes reach 25°C ($\pm 5^\circ\text{C}$) for at least 2 more hours.
14. Break the vacuum by bleeding N₂ and check the moisture of three representative samples. Close chamber and pull vacuum.
15. If the moisture content of any of the three samples is more than 6%, pull vacuum and dry for at least two more hours. Withdraw three more samples and repeat.
16. If the moisture is satisfactory, bleed the chamber with sterile N₂, stopper the vials with the door closed, and terminate cycle.
17. Finish. Sample.

AMPHOTERICIN B LIPID COMPLEX INJECTION

Bill of Materials (Batch Size 1 L)

Scale/mL	Item	Material	Qty	UOM
5.00	mg	1 Amphotericin B	5.00	g
3.40	mg	2 L-(alpha)-dimyristoylphosphatidylcholine (DMPC)	3.40	g
1.50	mg	3 L-(alpha)-dimyristoylphosphatidylglycerol (DMPG)	1.50	g
9.00	mg	4 Sodium chloride	9.00	g
QS	mL	5 Water for injection, USP	QS to 1.00	L

Note: pH 5.0 to 7.0; fill 10 or 20 mL.

AMPHOTERICIN B LIPOSOME FOR INJECTION

Bill of Materials (Batch Size 1000 Vials)

Scale/Vial	Item	Material	Qty	UOM
50.00	mg	1 Amphotericin B	50.00	g
213.00	mg	2 Hydrogenated soy phosphatidylcholine	213.00	g
84.00	mg	3 Distearoyl phosphatidylglycerol	84.00	g
0.64	mg	4 Alpha-tocopherol	0.64	g
52.00	mg	5 Cholesterol	52.00	g
900.00	mg	6 Sucrose	900.00	g
27.00	mg	7 Disodium succinate hexahydrate	27.00	g
QS	mL	8 ^a Water for injection, USP	QS	

^a For reconstitution; pH after reconstitution 5.0 to 6.0.

ANTAZOLINE SULFATE AND XYLOMETAZOLINE HYDROCHLORIDE OPHTHALMIC DROPS

Bill of Materials (Batch Size 1 L)					
Scale/5 mL	Item	Material	Qty	UOM	
5.00	mg	1 Antazoline sulfate	5.00	g	
0.50	mg	2 Xylometazoline hydrochloride, USP	0.50	g	
1.50	mg	3 Hydroxypropyl methylcellulose 2910, USP, 4000 cps	1.50	g	
0.10	mg	4 Benzalkonium chloride 0.1 g, use benzalkonium chloride solution, USP, 17%, 7% excess or benzalkonium chloride solution (50% w/v), BP, 7% excess	0.637	mL	
			0.214	mL	
1.00	mg	5 Disodium edetate, USP/BP	1.00	g	
8.43	mg	6 Sodium chloride, USP/BP	8.43	g	
QS	mL	7 Water purified, USP	QS to 1.00	L	

MANUFACTURING DIRECTIONS

Equipment

Thoroughly clean and rinse equipment used before proceeding. Use steam-jacketed, glass-lined, or stainless-steel (No. 304 or better). The tank must be equipped with an agitator (preferably with speed control) and a cover to prevent oxidation at all times during the manufacturing process except when ingredients are being added or samples being taken.

Foaming

Benzalkonium chloride markedly lowers the surface tension. During severe agitation or turbulent flow, substantial foaming will occur. This condition often exists in the processing equipment and in the overflow system of vacuum filling machines. This item tends to concentrate in the foam. If the foam is not dissipated quickly, and if allowed to accumulate, a substantial excess of it may result near the surface of the liquid after the foam condenses. It is therefore advisable to design the processing and filling systems in such a way as to minimize foaming and ensure rapid dissipation of any unavoidable foaming.

1. Preparation of bulk solution.
 - a. Charge mixing tank to 90% of final volume with item 7.
 - b. Heat water to 90°C and while agitating, add and dissolve item 3 by slowly sprinkling onto the surface of the water. It must be dispersed evenly

over a period of time to ensure complete wetting and dispersion. Adjust agitation rate to avoid excessive foaming. Allow 15 minutes for hydration before cooling.

- c. Discontinue heating and cool solution to ca. 40°C.
 - d. While agitating, add and dissolve items 1, 2, 4, 5, and 6.
 - e. Continue cooling to 25°C.
 - f. Turn off agitator and QS to final volume. Mix well. Sample.
2. Prefiltration. *Note:* Methylcellulose solutions filter slowly.
 - a. Recirculate the solution through filter assembly until clear.
 - b. Transfer clean solution into a holding or sterilization tank.
 3. Sterilization and filling.
 - a. Use only recommended filters for sterile filtration.
 - b. Prepare and steam-sterilize the recommended filter unit.
 - c. Aseptically fill sterile solution into sterilized container and apply sterile closure component and sample.

ANTIPYRINE, PHENYLEPHRINE, AND PYRILAMINE MALEATE OPHTHALMIC DROPS

Bill of Materials (Batch Size 1 L)					
Scale/mL	Item	Material	Qty	UOM	
		1 Water purified (distilled), USP	40.00	L	
12.000	mg	2 Boric acid, NF	540.00	g	
4.600	mg	3 Sodium citrate dihydrate, USP	207.00	g	
0.548	mg	4 Sodium metabisulfite, NF	24.65	g	
1.000	mg	5 Antipyrine, USP	45.00	g	
1.320	mg	6 Phenylephrine hydrochloride, USP (10% overage)	59.40	g	
1.100	mg	7 Pyrilamine maleate, USP (10% overage)	49.50	g	
0.127	mg	8 Disodium edetate, USP	5.70	g	
0.040	mL	9 Benzalkonium chloride, NF (use 10% solution)	18.00 ^a	mL	
QS	mL	10 Water purified (distilled), USP	QS to 45.00	L	

^a The amount of benzalkonium chloride, 10% solution, to be added must be calculated on the basis of the assay of the raw material lot used as per the following formula: 18.0 mL × 10.0% = mL of benzalkonium chloride, 10% solution, required.

MANUFACTURING DIRECTIONS

1. Measure 40 L of item 1 into a suitable plastic mixing tank. Add items 2 to 9, in order, allowing each to dissolve before adding the next.
2. QS to 45 L with item 10 and mix well for 15 minutes. Sterile filtration.
3. Sterilize for 1 hour (range 45–60 minutes) at 121°C (–0, +5°C) in autoclave at 15 psi, the Sartorius mini cartridge, filter, and a stainless-steel pressure vessel.
4. Mix the product for at least 10 minutes before filtration. Before sterile filtration to the 100 L pressure vessel, perform the bubble point test at NLT 46 psi.
5. After completion of product filtration, flush the sterilizing filter with at least 20 L of water purified (distilled). Sample.
6. Aseptically fill sterile solution through sintered glass into sterilized containers. Perform the bubble point test on a 0.22 µm inline gas filter before and after filtration at 18 psi.

ANTIPYRINE, PHENYLEPHRINE, AND SODIUM THIOSULFATE OPHTHALMIC SOLUTION

Bill of Materials (Batch Size 45 L)

Scale/mL	Item	Material	Qty	UOM
Part I				
	1	Water purified (distilled), USP, ca.	10.00	L
14.00	mg	2 Polyvinyl alcohol, 20–90	630.00	g
Part II				
	3	Water purified (distilled), USP, ca.	30.00	L
6.70 ^a	mg	4 Sodium phosphate dibasic heptahydrate, USP ^a	301.50	g
3.45	mg	5 Sodium phosphate monobasic, USP	155.25	g
0.0127	mg	6 Disodium edetate, USP	0.57	g
7.35 ^b	mg	7 Sodium acetate trihydrate USP ^b	330.75	g
1.00	mg	8 Antipyrine, USP	45.00	g
0.04	mg	9 Benzalkonium chloride, NF (use 10% solution) ^c	18.00 ^c	mL
		10 1 N hydrochloric acid, NF	QS	mL
		11 1 N sodium hydroxide, NF	QS	mL
1.57	mg	12 Sodium thiosulfate, pentahydrate, USP	70.65	g
1.32	mg	13 Phenylephrine hydrochloride, USP (10% overage)	59.40	g
QS	mL	14 Water purified (distilled), USP	QS to 45.00	L

^a Equivalent to 3.55 mg/mL sodium phosphate dibasic anhydrous.

^b Equivalent to 4.43 mg/mL sodium acetate anhydrous.

^c The amount of benzalkonium chloride, 10% solution, to be added must be calculated on the basis of the assay value of the raw material lot(s) used.

Assay value: (mL)

Formula: 18.0 mL × 10.0% = mL of benzalkonium chloride, 10% solution, required.

Assay value (%)

Calculation: 18.0 mL × 10.0% = mL of benzalkonium chloride, 10% solution, — (%) required.

MANUFACTURING DIRECTIONS

Part I

1. Measure out ca. 10 L of item 1 into a stainless-steel jacketed pressure vessel. Begin mixing with a suitable mixer. Heat to 85°C to 90°C.
2. When the temperature reaches 85°C to 90°C, turn off the heat source. Add item 2 slowly to the vortex.

Mix for at least 90 minutes until dissolved. Cool to room temperature, with force cooling.

Part II

1. Measure out ca. 30 L of item 3 into a mixing tank suitably calibrated for a final QS of 45 L.
2. Add items 4 to 9, in order, allowing each to dissolve before adding the next.
3. Check pH (range 6.7–6.9). If necessary, adjust the pH to 6.7 to 6.9 with item 10 or 11.
4. After pH is within the specified range, add item 12. Mix until dissolved.
5. Add item 13. Mix until dissolved.
6. Add part I to part II, while mixing part II. Use 2 to 3 L of item 14 to rinse the part I container, pump, and hoses. Add the rinsings to the batch. Allow any foam to dissipate.
7. QS to 45 L with item 14 and mix thoroughly for at least 15 minutes.

Sterile Filtration

1. Sterilize for 1 hour (range 45–60 minutes) at 121°C (–0, +5°C) in autoclave at 15 psi, the Sartorius mini cartridge, filter, and 100 L stainless-steel pressure vessel. Transfer to solution preparation area.
2. Attach the cartridge mini prefilter/final filter and hosing sterilization chart.
3. Mix the product for at least 10 minutes before filtration.
4. Connect the sterilized Sartorius mini cartridge filter and sterile filter with the aid of N₂ pressure (15–30 lb). Discard initial 10 L of filtrate, attach sterilized hose to sterilized filter holder, and connect to sterilized 100 L stainless-steel pressure vessel, aseptically. *Note:* Before sterile filtration to the 100 L pressure vessel, perform the bubble point test at NLT 46 psi.
5. After completing product filtration, disconnect the Sartorius mini cartridge filter from the pressure vessel, flush the sterilizing filter with at least 20 L of water purified (distilled) for the bubble point test (after filtration).
6. After filtration, decontaminate the outer surface of bulk holding pressure vessel and then transfer to filling cubicle. Sample (ca. 60 mL) for bulk assay.

Sterilization

Sterilize at 121°C (–0°, +2°C) and 5-psi pressure for 1 hour the filling unit, 20 L surge bottle, or manifold of filling unit and uniforms.

Sterile Filling

1. Transfer the presterilized bottles, plugs, and caps to the filling cubicle after swabbing their outer polyethylene packing with filtered methylated spirit and keep under the laminar flow hood.

2. Transfer the sterilized assembly line to filling room and surgical gloves and uniforms to change room sterile side. Aseptically connect the sterilized filling tubing and N₂ line from the 100 L pressure vessel to surge bottle.
3. Aseptically fill 15.40 mL of sterile solution into sterilized container by the automatic filling, plugging, and sealing machine and apply sterile closure components (plugs and caps). *Note:* Discard 50 to 100 bottles initially during volume adjustment. While filtering, do not exceed to N₂ pressure 5 to 10 lb.
4. Perform the bubble point test on a 0.22 µm inline gas filter before and after filtration at 18 psi.

ANTITHYMOCYTE GLOBULIN (RABBIT) FOR INJECTION

Bill of Materials (Batch Size 5 L)

Scale/mL	Item	Material	Qty	UOM
25.00	mg	1 Antithymocyte globulin (rabbit)	25.00	g
50.00	mg	2 Glycine	50.00	g
50.00	mg	3 Mannitol	50.00	g
10.00	mg	4 Sodium chloride diluent vial	10.00	g
5.00	mL	5 Water for injection, USP	QS to 5.00	L

Note: Viral inactivation step (pasteurization, i.e., heat treatment of active ingredient at 60°C/10 h) is performed for each lot. After reconstitution pH is 6.6 to 7.4.

APROTININ INJECTION

Bill of Materials (Batch Size 1 L)

Scale/mL	Item	Material	Qty	UOM
10,000	KIU ^a	1 Aprotinin	1.40	g
9.00	mg	2 Sodium chloride	9.00	g
QS	mL	3 Hydrochloric acid for pH adjustment		
QS	mL	4 Sodium hydroxide for pH adjustment		
QS	mL	5 Water for injection, USP	QS to 1.00	L

^a Kallikrein inhibitor units; adjust pH to 4.5 to 6.5 with item 3 or 4.

ARGATROBAN (THROMBIN INHIBITOR) INJECTION

Bill of Materials (Batch Size 1 L)

Scale/mL	Item	Material	Qty	UOM	
100.00	mg	1	Argatroban	100.00	g
100.00	mg	2	D-Sorbitol	100.00	g
100.00	mg	3	Dehydrated alcohol	100.00	g

Note: Fill 2.5 mL into each single-use vial.

ARSENIC TRIOXIDE INJECTION

Bill of Materials (Batch Size 1 L)

Scale/mL	Item	Material	Qty	UOM	
1.00	mg	1	Arsenic trioxide	1.00	g
QS	mL	2	Hydrochloric acid for pH adjustment	QS	
QS	mL	3	Sodium hydroxide for pH adjustment	QS	
QS	mL	4	Water for injection, USP	QS to 1.00	L

Note: Adjust pH to 7.0 to 9.0 with item 2 or 3. Fill 10 mL into glass ampoules.

ASCORBIC ACID AND B COMPLEX VITAMINS (TWO VIALS)

Bill of Materials Vial 1 (Batch Size 561 L)

Scale/mL	Item	Material	Qty	UOM	
20.00	mg	1	Ascorbic acid, USP, 50% excess	16.83	kg
660	IU	2	Vitamin A, use retinol in polysorbate 80, 50% excess, labeled 555.39 million U, factored for potency (e.g., 1.5 million U/g)	–	–
40	IU	3	Vitamin D, 25% excess, labeled for 40 million U, factored for potency such as 28 million U/g	–	–
0.67	mg	4	Thiamine hydrochloride, USP, 25% excess	469.84	g
0.97	mg	5	Pyridoxine hydrochloride, USP, 25% excess	680.21	g
7.94	mg	6	Niacinamide, USP, 10% excess	4899.77	g
2.81	mg	7	Dexpanthenol ^a	1970.51	g
2.00	mg	8	DL-alpha tocopheryl acetate, NF, 25% excess	1402.50	g
48.00	mg	9	Polysorbate-20 ^b	26.928	kg
20.00	mg	10	Gentisic acid ethanolamide	11.22	kg
0.30	mL	11	Propylene glycol	169.30	L
QS	mL	12	Sodium hydroxide, 10% solution, for pH adjustment	12807.63	g
QS	–	13	Carbon dioxide	QS	–
QS	mL	14	Water for injection, USP	QS to 561.00	L
0.984	mg	15	Riboflavin, 25% excess	690.03	g

^a Includes 2% excess.

^b Adjust for contribution from vitamins A and D.

MANUFACTURING DIRECTIONS

1. Place 153.10 L of item 11 and 117.95 L of item 14 into appropriate vessels, bubble item 13 through the solution for 15 minutes, and then blanket with item 13.
2. Dissolve item 7 in 13.3 L of hot item 14 (50–60°C). Allow to cool. Add to the vessel above.
3. Add, with constant stirring, items 1, 4, 5, 6, 10, and 15. Allow each ingredient to dissolve before proceeding.

- Place item 9 in a suitable container on a hot plate with stirrer and heat to 40°C to 50°C (do not exceed 60°C) and cover with a blanket of item 13. Do not pass gas through solution.
- With constant stirring, add items 2, 3, and 8 to item 9 and allow for 5 to 6 minutes to mix. Carefully watch temperature—the solution should become crystal clear. Turn off the heat.
- Using 10mL at a time, add 15.2 L of item 11 to the polysorbate fat-soluble vitamin mixture. Allow the liquids to mix completely after dilution.
- With constant stirring, pour the polysorbate mixture as a thin stream into the aqueous vitamins. Work slowly. Transfer final drops with a rubber policeman.
- Dissolve item 12 in 145.81 L of item 14 and cool it to room temperature.
- Add 10% item 12 to a pH of 4.9 ± 0.1 . Allow mixture to cool.
- Add 10% item 12 to a final pH of 5.1 to 5.15.
- QS to final volume with item 14. Cover with aluminum foil. Flush with item 13.
- Sample after 3 days. After approval, fill by filtering through a 0.22 μm filter into a reservoir covered with CO_2 for filling; pre- and postflush vials (amber) with CO_2 during filling.

- Weigh item 1 and completely dissolve in approximately 280.50 L of item 6 solution prepared in step 1.
- Add item 3 and dissolve completely.
- Take 200 mL of item 2 solution prepared in step 2 and add to the compounding tank. Mix thoroughly. *Note:* Item 2 is hygroscopic, and weighing small amounts may result in excessive variation. This step precludes this variation.
- Add item 4 and mix until dissolved.
- Adjust volume to ca. 540 L with item 8.
- Check pH and adjust to 7.9 to 8.0, if necessary, with item 5 solution.
- Check pH check and filter through a 0.22 μm filter and fill under N_2 in amber vials.

Stopper Sterilization

Dissolve 6.375 kg of disodium edetate in 255 kg of purified water. Rinse stoppers with water that has undergone reverse osmosis (RO). Cover the stoppers with disodium edetate solution and autoclave at 121°C for 1 hour. Rinse stoppers at least three times with RO water.

ASCORBIC ACID AND B COMPLEX VITAMINS LYOPHILIZED IN COVIAL

ASCORBIC ACID AND B COMPLEX VITAMINS

Bill of Materials Vial 2 (Batch Size 561 L)					
Scale/mL	Item	Material	Qty	UOM	
80.00	mg	1	Folic acid, USP, 25% excess	56.10 ^a	g
1.00	mg	2	Cyanocobalamin, USP, 25% excess	701.25 ^b	mg
12.00	mg	3	Biotin FCC, 25% excess	8.42	g
30%	mL	4	Propylene glycol	168.30	L
QS	mL	5	0.2 M Citric acid for buffer	QS	mL
QS	mL	6	0.2 M Sodium citrate for buffer	QS	mL
QS	mL	7	0.2 M Sodium hydroxide	QS	mL
QS	mL	8	Water for injection, USP	QS to 561.00	L

^a Calculate on anhydrous basis.

^b Calculate the raw material on the assay value.

MANUFACTURING DIRECTIONS

- Prepare a solution of item 6 by dissolving 20.58 kg in 350 L of item 8.
- Weigh five times the amount of item 2 required for the batch and dissolve in 1 L of item 8.

Bill of Materials Lower Chamber (Batch Size 1 L)

Scale/mL	Item	Material	Qty	UOM	
200.00	μg	1	Folic acid, 25% excess	250.00	mg
2.50	μg	2	Cyanocobalamin, 25% excess	3.125	mg
30.00	μg	3	Biotin, 25% excess	37.50	mg
7.02	mg	4	Dexpanthenol, 20% excess	8.43	g
19.84	mg	5	Niacinamide, 20% excess	23.81	g
5.00	mg	6	Mannitol	5.00	g
2.43	mg	7	Pyridoxine hydrochloride, 20% excess	2.92	g
QS	mL	8	0.2 M Sodium hydroxide to buffer	QS	mL
QS	mL	9	0.2 M Potassium phosphate monobasic to buffer	QS	mL
QS	mL	10	Water for injection	QS to 1.00	L
QS	–	11	Nitrogen gas	QS	–

Note: The lower chamber is lyophilized and filled first, followed by the upper chamber (see manufacturing directions).

MANUFACTURING DIRECTIONS

- Heat 50 mL of item 10 to 60°C and completely dissolve item 4. Keep aside.

2. Prepare a 0.2 M item 8 solution by dissolving 4 g of item 8 in 500 mL of item 10.
3. Prepare a 0.2 M item 9 solution by dissolving 13.61 g of item 9 in 500 mL of item 10.
4. Weigh accurately 312.5 mg of item 2 and dissolve in 1 L of item 10. Keep aside.
5. Weigh item 1 and dissolve in 234 mL of item 8 solution prepared in step 2. Check pH.
6. Immediately add 246 mL of item 9 solution prepared in step 3.
7. Mix and note pH.
8. Add item 3 and dissolve completely.
9. Add 10 mL of item 2 solution prepared in step 4.
10. Add all other ingredients one by one (including item 4 solution prepared in step 1) with the exception of item 7. Check pH.
11. Add item 7 to solution, stir to dissolve, and check pH again.
12. Adjust the pH between 8.0 and 10.0 with item 8 or 9 solution. QS to volume with item 10.
13. Flush item 11 for 10 minutes.
14. Filter through a sterile 0.22 μm filter into the sterile area and fill the vials.
15. Lyophilize as follows:
 - a. Prepare shelves to -40°C or less.
 - b. Transfer the filled vials in covered trays onto the shelves of the lyophilizer (or if the system is autoloading, following directions accordingly).
 - c. Place thermocouples in appropriate vials.
 - d. The product thermocouples should register -35°C for at least 3 hours.
 - e. Start condenser. Let the condenser cool to -55°C or less.
 - f. Start vacuum and let the chamber achieve a level of 100 μm or less.
 - g. Set the temperature controller at -30°C and let the lyophilizer run for 24 hours.
 - h. Raise the shelf temperature to 0°C and let run for additional 6 hours.
 - i. Raise the shelf temperature to $+20^{\circ}\text{C}$ and run for additional 12 hours.
 - j. Raise shelf temperature to $+35^{\circ}\text{C}$ and run additional 6 hours.
 - k. Bleed chamber to atmospheric pressure with item 11.
 - l. Open the lyophilizer chamber door, withdraw nine sample vials (three from each of the top, middle, and lower shelves representing the left, center, and right positions, respectively) for determination of moisture.
 - m. Submit samples to QC for moisture test while keeping the chamber door shut and vacuum pulled.
 - n. If samples pass the test, remove them. If the samples fail the test, prolong lyophilization cycle.
 - o. For finished samples, place center seal, fill the upper chamber, and seal with top seal.

- p. Place aluminum ferrule around the top seal.
- q. Deice and clean lyophilizer.

ASCORBIC ACID AND B COMPLEX VITAMINS

Bill of Materials Upper Chamber (Batch Size 1 L)

Scale/mL	Item	Material	Qty	UOM
50.00	mg	1	Ascorbic acid, USP, 50% excess	75.00 g
2.46	mg	2	Riboflavin-5'-phosphate USP, 20% excess	2.95 g
1.68	mg	3	Thiamine hydrochloride, USP, 50% excess	2.52 g
0.20	mg	4	Gentisic acid ethanamide	200.00 mg
QS	mL	5	Water for injection, USP	QS to 1.00 L
QS	mL	6	10% Sodium hydroxide (w/v) for pH adjustment	QS mL
QS	-	7	Carbon dioxide	QS -

MANUFACTURING DIRECTIONS

1. Prepare 150 mL of 10% item 6 solution in item 5 and let it cool to room temperature.
2. Place approximately 500 mL of item 5 into a clean compounding tank and bubble item 7 for 10 minutes. Keep a CO_2 blanket over the solution during the remainder of the compounding steps.
3. Add items 2, 1, 3, and 4, in order, to the tank and stir to a complete solution.
4. Bring to approximately 800 mL with item 5 and check pH.
5. Adjust the pH between 4.0 and 4.5 with 10% item 6 solution prepared in step 1.
6. QS to final volume with water for injection.
7. Filter through a sterile 0.22 μm filter into the sterile room. Keep the receiving jug under CO_2 blanket and protected from light.
8. Fill the upper chamber.

ASCORBIC ACID AND B COMPLEX VITAMINS LYOPHILIZED WITH DILUENT

Bill of Materials B-Complex Lyophilized (Batch Size 3.9 L)				
Scale/mL	Item	Material	Qty	UOM
50.00	mg	1	Thiamine hydrochloride, USP, ampoule grade, 10% excess	195.00 g
5.00	mg	2	Riboflavin, USP, 14% excess	14.40 g
	–	3	Riboflavin-5'-phosphate (combined with above for scale)	8.00 g
10.00	mg	4	Pyridoxine HCl, USP, 10% excess	39.00 g
100.00	mg	5	Niacinamide, USP, 10% excess	390.00 g
0.22	mg	6	Propyl paraben USP	0.78 g
0.89	mg	7	Methyl paraben, USP	3.16 g
QS	mL	8	Water for injection,	QS to 3.90 L
QS	mL	9	Sodium bicarbonate, USP, for pH adjustment (4.3–4.5)	QS mL

Note: All ingredient quantities are based on 100% assay amounts; adjust accordingly; entire preparation protection under N₂ and light.

MANUFACTURING DIRECTIONS

1. Measure 3 L of item 8 into a 4 L beaker, heat to 95°C, and hold it at that temperature and agitate vigorously.
2. Add items 6 and 7. Then add item 5.
3. Add item 2. Once the ingredients are in solution, cool the solution to 50°C with agitation in a water bath; let it stand to room temperature.
4. Add items 4, 1, and 3, in order. Measure pH and adjust with item 9 to 4.3 to 4.5.
5. QS to 3.9 L with item 8.
6. Filter aseptically into a previously sterilized vessel by passing through filter.
7. Aseptically fill into 10 mL vials. Place stoppers.
8. Lyophilize as follows:
 - a. Freeze to –40°C for not less than 3 hours.
 - b. Turn vacuum on to less than 300 μm for a 20-hour cycle time.
 - c. Raise the temperature to +15°C for at least 8 hours. Break vacuum with N₂ and open under aseptic conditions.
 - d. Stopper and seal with aluminum three-piece caps.

ASCORBIC ACID AND B COMPLEX VITAMINS

Bill of Materials (Batch Size 45 L)					
Scale/10 mL	Item	Material	Qty	UOM	
2000.00	mg	1	Ascorbic acid, USP, ampoule grade, 10% excess	9.90	kg
1.00	mg	2	Sequestrene disodium purified	4.50	g
QS	mL	3	Sodium bicarbonate, USP, for pH adjustment (5.8–6.0)	4.695 (ca.)	kg
10.00	mg	4	Sodium bisulfite, USP	45.00	g
QS	mL	5	Water for injection	QS to 45.00	L

MANUFACTURING DIRECTIONS

1. Add 20 L item 5 to a glass-lined steam jacketed kettle and heat to 95°C with stirring.
2. Add item 2, begin continuous N₂ gas flush, and cool to 50°C with cold water in jacket.
3. Add items 1 and 3 slowly to avoid foaming and agitate well until pH is between 5.8 and 6.0. Fumes of CO₂ need to be vented out.
4. Add item 4. Filter aseptically into a previously sterilized bottle.
5. Store in cold room until filling. Fill aseptically into 10 mL vials with N₂ flush.
6. Autoclave sealed vials at 105°C and 5 psi for 10 minutes.
7. Remove from autoclave and cool rapidly by squelching into 21°C water.

ASCORBIC ACID, B COMPLEX VITAMIN, WITH BETA-CAROTENE INJECTION

Bill of Materials (Batch Size 1 L)					
Scale/mL	Item	Material	Qty	UOM	
5.00	mg	1	Beta-carotene	5.00	g
5.00	mg	2	Tocopherol acetate	5.00	g
10.00	mg	3	Sodium ascorbate	10.00	g
3.50	mg	4	Ascorbyl palmitate	3.50	g
1.00	mg	5	Riboflavin-5'-phosphate sodium	1.00	g
1.00	mg	6	Thiamine hydrochloride	1.00	g
3.00	mg	7	Nicotinamide	3.00	g
1.00	mg	8	Pyridoxine hydrochloride	1.00	g
14.00	mg	9	Glycerol	14.00	g
35.00	mg	10	Lutrol F-68®	35.00	g
QS	mL	11	Sodium hydroxide for pH adjustment	QS	
QS	mL	12	Water for injection, USP	QS to 1.00	L
66.50	mg	13	Coconut oil fractionated (Miglyol 812)	66.50	g

MANUFACTURING DIRECTIONS

- To item 9, add item 10 and items 4 to 8.
- Add 0.6 L of item 12, mix, and heat to 60°C; mix again.
- Adjust pH to 7.4 with 1 M item 11.
- Heat the mixture of items 13 and 3 to 180°C.
- Add item 1 to step 4 with N₂ protection.
- Emulsify the oily solution into the aqueous solution of the vitamins by using an Ultra-Turrax® at 3000 rpm. Further emulsification to a fine-particle emulsion takes place by two passages through a homogenizer under 1000 bars.
- Subsequently, cool the emulsion to room temperature and dispense into vials. The particle size is 200 nm. The beta-carotene concentration is 5% of the weight of the oil phase.

ASCORBIC ACID INJECTION

Bill of Materials (Batch Size 1 L)					
Scale/mL	Item	Material	Qty	UOM	
250.00	mg	1	Ascorbic acid, USP, 20% excess	3000.00	g
1.00	mg	2	Parachlorometa cresol	1.00	g
145.80	mg	3	Sodium bicarbonate, NF	145.80	g
QS	mL	4	Water for injection, USP	QS to 1.00	L
QS	mL	5	Hydrochloric acid for pH adjustment	QS	
QS	mL	6	Sodium hydroxide for pH adjustment	QS	
QS		7	Nitrogen gas, NF	QS	

MANUFACTURING DIRECTIONS

- Boil approximately 110% of item 4 in a separate vessel and allow to cool to room temperature.
- In another vessel, take approximately 0.60 L of item 4 and dissolve in it item 1 slowly with continuous mixing in an open vessel. Item 1 will not completely dissolve at this stage.
- Provide continuous mixing of item 7 throughout manufacturing.
- Add item 3 with vigorous mixing gradually and allowing effervescence to subside as more item 3 is added. Keep mixing until both items 1 and 3 are completely dissolved.
- Add item 2 and dissolve completely.
- Make up the volume with item 4.
- Sample. Take pH (5.5, range 5.5–6.4). Adjust pH with item 5 or 6.
- Filter through a presterilized filtration assembly using a 0.22- μ m filter and a 0.45 μ m prefilter.
- Fill ca. 2.15 mL into amber type I glass ampoules.
- Autoclave at 121°C for 30 minutes.
- Sample for clarity and final check.

ASCORBIC ACID, USP, INJECTION WITH DISODIUM EDETATE

Bill of Materials (Batch Size 1 L)					
Scale/mL	Item	Material	Qty	UOM	
250.00	mg	1	Ascorbic acid as sodium ascorbate 300 mg	250.00	g
0.025	%	2	Disodium edetate	0.025	%
QS	mL	3	Water for injection	QS to 1.00	L
QS	mL	4	Hydrochloric acid for pH adjustment	QS	mL
QS	mL	5	Sodium hydroxide for pH adjustment	QS	mL

ASCORBIC ACID, USP (250 MG/ML INJECTION)

Bill of Materials (Batch Size 1 L)					
Scale/mL	Item	Material	Qty	UOM	
250.00	mg	1	Ascorbic acid as sodium ascorbate	300.00	g
1.00	mg	2	Sodium bisulfite, USP	1.00	g
1.50	%	3	Benzyl alcohol, NF	1.50	%
QS	mL	4	Water for injection, USP	QS to 1.00	L

ASPARAGINASE FOR INJECTION

Bill of Materials (Batch Size 1000 Vials)					
Scale/Vial	Item	Material	Qty	UOM	
10,000	IU	1	Asparaginase	10MM	IU
80.00	mg	2	Mannitol	80.00	g
QS		3	Water for injection	1.00	L

Note: lyophilized powder.

MANUFACTURING DIRECTIONS

1. Dissolve items 1 and 2 in item 3 and lyophilize.

ATROPINE, CHLORPHENIRAMINE MALEATE, AND PHENYLPROPANOLAMINE INJECTION

Bill of Materials (Batch Size 1 L)					
Scale/mL	Item	Material	Qty	UOM	
0.20	mg	1	Atropine sulfate, USP	0.20	g
12.50	mg	2	Phenylpropranolamine HCl, NF	12.50	g
5.00	mg	3	Chlorpheniramine maleate, USP	5.00	g
5.00	mg	4	Chlorobutanol anhydrous, USP	5.00	g
QS	mL	5	Water for injection, USP	QS to 1.00	L

ATROPINE SULFATE INJECTION

Bill of Materials (Batch Size 1 L)					
Scale/mL	Item	Material	Qty	UOM	
0.50	mg	1	Atropine sulfate, USP, 5% excess	0.525	g
0.0003	mL	2	Acetic acid	0.30	mL
1.20	mg	3	Sodium acetate	1.20	g
6.50	mg	4	Sodium chloride, NF	6.50	g
1.00	mg	5	Sodium metabisulfite, NF	1.00	g
QS	mL	6	Water for injection, USP	QS to 1.00	L
QS	Cy	7	Nitrogen gas, NF	QS	cy

MANUFACTURING DIRECTIONS

Note: This solution must be prepared in a clean Pyrex bottle. This product needs N₂ protection during all steps of production. Avoid contact; wear gloves, glasses, and mask. Definitely avoid eye and skin contact; if exposed wash promptly with water.

1. Bring to boil item 6 in a suitable vessel; allow to cool to room temperature.
2. Add items 1 through 5, one by one, and by applying vigorous mixing.
3. Measure pH 4.0 to 6.0; do not adjust pH.
4. Filter solution through a 0.22 µm filter assembly.
5. Fill 1.1 mL into a flint type I glass ampoule.
6. Terminally sterilize at 116°C for 30 minutes.
7. Sample for final testing, clarity, and sterility.

ATROPINE SULFATE INJECTION

Bill of Materials (Batch Size 1 L)

Scale/mL	Item	Material	Qty	UOM
1.00	mg	1	Atropine sulfate, USP	1.00 g
8.50	mg	2	Sodium chloride, USP	8.50 g
QS	mL	3	Sulfuric acid, reagent grade	QS mL
QS	cy	4	Nitrogen gas, NF	QS cy
QS	mL	5	Water for injection, USP	QS to 1.00 L

MANUFACTURING DIRECTIONS

See precautions above.

1. Collect ca. 0.9 L of item 5 in a suitable Pyrex bottle and 0.1 L of item 5 in another bottle.
2. Check pH range 5.5 to 6.5.
3. Bubble N₂ through step 1 preparation and continue bubbling throughout.
4. While bubbling N₂ gas, add and dissolve items 1 and 2. Mix well.
5. Check and record pH; adjust downward to 5.0 (range 4.8–5.2) by 0.1 N sulfuric acid. (Prepare a fresh solution by taking 0.3 mL of concentrated sulfuric acid and adding to it 99.7 mL freshly distilled water.)
6. QS to 1 L by item 5 previously saturated with N₂ gas.
7. Prepare a 0.2 µm filter and sterilize in autoclave at 121°C for 30 to 35 minutes.
8. Sterilize all Pyrex bottle fittings and filling parts in autoclave at 121°C for 30 to 35 minutes.
9. Sterilize sufficient number of Pyrex bottles with dry heat (270–280°C) for 2 hours and 50 minutes (range 2 hours and 45 minutes to 3 hours). Use bottles within 72 hours.
10. Perform the pressure test on the filter unit.
11. Filter the solution through the sterile filter unit into sterile Pyrex bottles. The process should not go beyond 24 hours.
12. Perform the bubble point test at the end of filtration.
13. Wash 1 mL ampoules and sterilize at 270°C to 280°C for 2 hours and 50 minutes to 3 hours. Use them within 24 hours.

14. Aseptically fill 1.15 mL (1.10–1.18 mL). Flush each ampoule with sterile-filtered N₂ gas. Seal.
15. Autoclave at 122°C (121–124°C) for 12 minutes (10–14 minutes).
16. Sample for complete testing.

AZTREONAM FOR INJECTION

Bill of Materials (Batch Size 1000 Vials)

Scale/Vial	Item	Material	Qty	UOM
1.00	g	1	Aztreonam	1.00 kg
0.78	g	2	Arginine	0.78 kg

Note: After reconstitution, pH is 4.5 to 7.5.

BASILIXIMAB FOR INJECTION

Bill of Materials (Batch Size 1000 Vials)

Scale/Vial	Item	Material	Qty	UOM
20.00	mg	1	Basiliximab	20.00 g
7.21	mg	2	Potassium phosphate monobasic	7.21 g
0.99	mg	3	Disodium hydrogen phosphate anhydrous	0.99 g
1.61	mg	4	Sodium chloride	1.61 g
20.00	mg	5	Sucrose	20.00 g
80.00	mg	6	Mannitol	80.00 g
40.00	mg	7	Glycine	40.00 g
5.00	mL	8	Water for injection for reconstitution	

BENZYL PENICILLIN + DIHYDROSTREPTOMYCIN INJECTABLE SUSPENSION (200,000 U + 200 MG/ML)

FORMULATION

- I. Procaine benzylpenicillin, 20.0 g; dihydrostreptomycin sulfate, 20.0 g.
- II. Kollidon 12 PF [1], 0.5 g; carboxymethyl cellulose sodium, 0.5 g; sodium citrate, 0.6 g; paraben, QS; water for injectables, add 100 mL.

MANUFACTURING DIRECTIONS

1. Prepare solution II, add the components I to the well-stirred solution II, and pass through a colloid mill.

B COMPLEX INJECTION: NIACINAMIDE, PYRIDOXINE, RIBOFLAVIN, AND THIAMINE INJECTION**Bill of Materials (Batch Size 1 L)**

Scale/mL	Item	Material	Qty	UOM
10.00	mg	1 Thiamine hydrochloride, 25% excess	12.50	g
0.50	mg	2 Riboflavin, use sodium phosphate, 12.5% excess	0.80	g
1.00	mg	3 Pyridoxine, use HCl, 15% excess	1.20	g
20.00	mg	4 Niacinamide, 12.5% excess	22.50	g
0.50	%	5 Liquefied phenol, NF	5.00	mL
0.012	mL	6 Benzyl alcohol, NF	12.00	mL
1.00	mg	7 Disodium edetate, NF	1.00	g
1.00	mg	8 Thiourea	1.00	g
0.02	mL	9 Polysorbate 80 (Tween)	20.00	mL
0.10	mL	10 Propylene glycol	100.00	mL
QS	mL	11 Sodium hydroxide for pH adjustment	QS	
QS	mL	12 Hydrochloric acid for pH adjustment	QS	
QS	mL	13 Water for injection, USP	QS to 1.00	L
QS		14 Nitrogen gas, NF	QS	
0.0175	mL	15 Concentrated hydrochloric acid (10%)	17.50	mL

MANUFACTURING DIRECTIONS

1. Use freshly distilled item 13; autoclave at 121°C for 30 minutes, cooled and bubbled with item 14 for 20 minutes.
2. Dissolve items 4 and 2 in sufficient item 13 in a suitable container.
3. Dissolve items 1, 3, and 7.
4. Add item 15 to step 3 and then one by one add items 10, 6, and 5. Mix well.

5. Add item 9 slowly with vigorous mixing.
6. Check pH to 3.8 to 4.2 and adjust with items 11 or 12, as necessary.
7. Let the solution age in a covered vessel flushed with item 14 for 7 days.
8. Filter through a presterilized assembly using a 0.45 µm prefilter and a 0.22 µm membrane filter into a sterilized staging vessel.
9. Fill aseptically into type 110 mL amber vials (sterilized at 200°C for 4 hours) and using butyl coated with Teflon® rubber stoppers sterilized at 115°C for 30 minutes after washing. Provide pre- and postflush with item 14.
10. Sample for complete testing.

B COMPLEX INJECTION: NIACINAMIDE, PANTOTHENATE, PYRIDOXINE, RIBOFLAVIN, THIAMINE INJECTION

This product is made up of two solutions prepared separately and mixed at the time of administration.

SOLUTION 1**Bill of Materials for Solution 1 (Batch Size 1 L)**

Scale/mL	Item	Material	Qty	UOM
160.00	mg	1 Thiamine hydrochloride, USP, 5% excess	168.00	g
8.00	mg	2 Pyridoxine hydrochloride, USP, 0.5% excess	8.04	g
0.90	%	3 Benzyl alcohol, NF (0.9%)	9.075	g
0.38	mg	4 Sodium formaldehyde sulfoxylate	379.82	g
QS	–	5 Carbon dioxide gas, technical	QS	–
QS	mL	6 Water for injection, USP	QS to 1.00	L

SOLUTION 2

Bill of Materials for Solution 2 (Batch Size 1 L)

Scale/mL	Item	Material	Qty	UOM
200.00	mg	1 Niacinamide, USP, powder 3% excess	206.00	mg
0.10	mg	2 Sodium sulfide (nonahydrate) crystals ^a	103.00	mg
1.00	%	3 Charcoal activated, USP ^b	2.06	mg
5.30	mg	4 Riboflavin, use riboflavin-5'-phosphate sodium, USP ^c	7.26	g
0.90	%	5 Benzyl alcohol, NF (0.9%)	9.00	g
13.25	mg	6 Sodium pantothenate dextro, 10% excess	14.57	g
QS	–	7 Carbon dioxide gas, technical	QS	–
QS	mL	8 Acid hydrochloric, reagent-grade bottles ^d	QS	mL
QS	mL	9 Water for injection, USP	QS to 1.00	L

Note: The 3% excess of niacinamide is allowed for possible loss in charcoal-sodium sulfide treatment.

^a Sodium sulfide calculated at 0.05% w/w niacinamide.

^b Charcoal activated is calculated at 1% w/w niacinamide.

^c Riboflavin-5'-phosphate sodium is calculated at 73% of riboflavin.

^d Used for pH adjustment only.

MANUFACTURING DIRECTIONS

Note: Protect solution from light and oxidation. Use CO₂ gas at all times to protect solution. Sodium formaldehyde sulf-oxylate precipitates out metallic impurities and also acts as an antioxidant. Use glass equipment wherever possible. Avoid inhaling hydrogen sulfide fumes given off during the sodium sulfate purification treatment of niacinamide.

Solution 1

1. Preparation.

- Dissolve items 1, 2, and 3 in 370 mL of item 6. Saturate with CO₂ gas.
- Dissolve item 4 in 14 mL of item 6 and add to the solution in step 1a.
- Age for 2 days under CO₂ protection.
- QS with item 6 to 1 L and age another 2 days under CO₂ gas protection.
- Check pH (range 2.5–3.5). Sample.

- Transfer solution to a portable glass-lined tank for filling. Seal tank under CO₂ gas protection.
 - Prepare for sterilization a 0.22 μm membrane and approved prefilter.
- Preparation of containers. Wash, dry, stack, and then sterilize ampoules in an electric oven for 2 hours at 200°C. Deliver to sterile filling area.
 - Filtration. *Precaution:* Sterile solution; handle aseptically. Protect from light and oxidation.
 - Protect surge bottle headspace with sterile CO₂ gas.
 - Connect tank, the sterile filtration setup, which has been previously prepared, and a sterile surge bottle with aseptic technique.
 - Apply 5 to 10 lb (do not use more than 10 lb pressure) of CO₂ pressure to the tank and filter enough solution to half-fill surge bottle. Use aseptic technique.
 - Transfer filter delivery tube to filling siphon in an empty, sterile surge bottle. Siphon should be attached to filling machine.
 - Filter enough solution to fill surge bottle and start filling.
 - Sterile-fill the appropriate amount of solution into each clean, dry sterile container. Displace remaining air with sterile-filtered CO₂ gas and seal the ampoules. Sample.

Solution 2

1. Preparation.

- Boil 550 mL of item 9 and dissolve items 1, 2, and 3.
- Filter solution through a carbon precoated filter by using approved pads and papers. Recirculate until solution is clear.
- Reheat solution from step 1b to 75°C to 85°C, then add and dissolve item 4. When solution is complete, cool to 25°C under CO₂ protection.
- Add and dissolve items 5 and 6. Circulate solution through bottom tank valve to ensure complete solution.
- QS with item 9 to 1 L. Keep solution protected with CO₂ gas.
- Check pH. Adjust to 5.6 to 5.9 with concentrated hydrochloric acid. Sample.
- Transfer solution to a portable glass-lined tank for filling. Seal tank under CO₂ gas protection.
- Prepare for sterilization a 0.22 μm membrane and approved prefilter.

- i. Sterilize ampoules in an electric oven for 2 hours at 200°C.
- j. Transfer filter delivery tube to filling siphon in an empty, sterile surge bottle. Siphon should be attached to filling machine.
- k. Filter enough solution to fill surge bottle and start filling. Adjust flow through the filter to equal that of filling so that there is no surge on the filter.
- l. Sterile-fill the appropriate amount of solution into each clean, dry sterile container. Displace remaining air with sterile-filtered CO₂ gas and seal the ampoules. Sample.

2. Dissolve items 4 and 2 in sufficient item 13 in a suitable container.
3. Dissolve items 1, 3, and 7.
4. Add item 16 to solution in step 3 and dissolve.
5. Add item 15 to solution in step 3 and then one by one add items 10, 6, and 5. Mix well.
6. Add item 9 slowly with vigorous mixing.
7. Check pH to 3.8 to 4.2 and adjust using items 11 or 12, as necessary.
8. Let the solution age in a covered vessel flushed with item 14 for 7 days.
9. Filter through a presterilized assembly using a 0.45 µm prefilter and a 0.22 µm membrane filter into a sterilized staging vessel.
10. Fill aseptically into 10 mL amber type I vials (sterilized at 200°C for 4 hours) and using butyl coated with Teflon or latex rubber stoppers sterilized at 115°C for 30 minutes after washing. Provide pre- and postflush with item 14.
11. Sample for complete testing.

B COMPLEX INJECTION

Bill of Materials (Batch Size 1 L)

Scale/mL	Item	Material	Qty	UOM
10.00	mg	1 Thiamine hydrochloride, 50% excess	15.00	g
2.00	mg	2 Riboflavin, use sodium phosphate, 20% excess	3.30	g
2.00	mg	3 Pyridoxine, use HCl, 20% excess	2.40	g
100.00	mg	4 Niacinamide, injectable grade, 15% excess	115.00	g
0.50	%	5 Liquefied phenol, NF	5.00	mL
0.012	mL	6 Benzyl alcohol, NF	12.00	mL
1.00	mg	7 Disodium edetate, NF	1.00	g
1.00	mg	8 Thiourea	1.00	g
0.020	mL	9 Polysorbate 80 (Tween)	20.00	mL
0.10	mL	10 Propylene glycol	100.00	mL
QS	mL	11 Sodium hydroxide for pH adjustment	QS	
QS	mL	12 Hydrochloric acid for pH adjustment	QS	
QS	mL	13 Water for injection, USP	QS to 1.00	L
QS		14 Nitrogen gas, NF	QS	
0.0175	mL	15 Concentrated hydrochloric acid (10%)	17.50	mL
5.00	mg	16 D-Panthenol, 20% excess	6.00	g

MANUFACTURING DIRECTIONS

1. Use freshly distilled item 13. Autoclave at 121°C for 30 minutes, cooled and bubbled with item 14 for 20 minutes.

B COMPLEX INJECTION

Bill of Materials (Batch Size 1 L)

Scale/mL	Item	Material	Qty	UOM
25.00	mg	1 Thiamine hydrochloride, 50% excess	15.00	g
3.00	mg	2 Riboflavin-5'-phosphate, 20% excess	3.30	g
5.00	mg	3 Pyridoxine, use HCl, 20% excess	2.40	g
60.00	mg	4 Niacinamide, injectable grade, 15% excess	115.00	g
0.50	%	5 Chlorbutol	5.00	mL
QS	mL	6 Sodium hydroxide for pH adjustment	QS	
QS	mL	7 Hydrochloric acid for pH adjustment	QS	
QS	mL	8 Water for injection, USP	QS to 1.00	L
QS		9 Nitrogen gas, NF	QS	
5.00	mg	10 D-Panthenol, 20% excess	6.00	g

MANUFACTURING DIRECTIONS

1. Use freshly distilled item 8. Autoclave at 121°C for 30 minutes, cooled and bubbled with item 14 for 20 minutes.
2. Dissolve items 2 and 4 in 0.4 L of item 8 in a suitable container.

3. Dissolve items 1 and 3 in 0.4 L of item 8 in another vessel.
4. Dissolve item 10 in 0.15 L of item 8 and add this solution to step 3.
5. Add this solution to the solution in step 2.
6. Make up volume with item 8 and add item 5. Stir to dissolve completely.
7. Check and adjust pH with item 6 or 7 to 5.0 to 5.5 (do not adjust if within this range).
8. Keep the preparation at 10°C for 7 days and then at room temperature for another 7 days.
9. Filter through a presterilized assembly using a 0.45 µm prefilter and a 0.22 µm membrane filter into a sterilized staging vessel.
10. Fill aseptically into 10 mL amber type I vials (sterilized at 200°C for 4 h) and using butyl coated with Teflon or latex rubber stoppers sterilized at 115°C for 30 minutes after washing. Provide pre- and postflush with item 9 (purified by passing through 1% phenol solution).
11. Sample for complete testing.

B COMPLEX, VITAMIN D, VITAMIN E LYOPHILIZED INJECTION

This product comprises two solutions, which are mixed together before injecting.

SOLUTION 1

Bill of Materials (Batch Size 1 L)					
Scale/mL	Item	Material	Qty	UOM	
0.02	mg	1	Sodium formaldehyde sulfoxylate, NF	0.02	g
10.20	mg	2	Thiamine HCl ampoule powder 200 mesh, 10% excess	11.22	g
2.55	mg	3	Pyridoxine HCl, USP	2.55	g
38.25	mg	4	Niacinamide, USP, powder for ampoules	38.25	g
0.02	mg	5	Sodium sulfide (nonahydrate) crystals	0.02	g
		6	Charcoal activated, USP	1.00	g
2.55	mg	7	Riboflavin-5'-phosphate sodium USP, 10% excess	3.842	g
51.00	mg	8	Ascorbic acid, USP, 15% excess	58.65	g
39.02	mg	9	Polysorbate 80 NF	39.02	g
510	U	10	Vitamin D, use Vitamin D3 in arachis oil with 20% excess	0.612	g
4080	U	11	Vitamin A, use vitamin A palmitate 1.7 million IU/g with 31.25% excess; use only potency 1375–1500/g	3.15	g
1.02	IU	12	Vitamin E USP, use D-alpha-tocopheryl acid succinate USP	0.843	g
QS	mL	13	Carbon dioxide gas, technical	QS	
QS	mL	14	Water for injection, USP	QS	

Note: Solution 1 contains a 2% manufacturing excess of all vitamins to satisfy label claim when between 10.0 and 10.3 mL of lyophilized solution is reconstituted to 10.2 mL. The scale column includes this 2% manufacturing excess. Figures in the Standard Qty column include both the manufacturing excesses and any stability excesses indicated in bill of materials

MANUFACTURING DIRECTIONS

Note: Protect solution from light. Use CO₂ gas at all times during manufacturing process to protect solution. Sodium formaldehyde sulfoxylate precipitates out metallic impurities

and also acts as an antioxidant. Use glass equipment wherever possible.

1. Preparation.

Part I

- a. Heat 16% of final volume of water for injection to boiling.
- b. Cool to room temperature while bubbling through CO₂ gas.
- c. Add sodium formaldehyde sulfoxylate, thiamine HCl, and pyridoxine HCl.
- d. Seal under CO₂ gas protection and age 2 or more days.
- e. If a precipitate forms, remove by filtering through paper.

Part II

- a. Heat 300 mL water for injection to boiling.
- b. Add and dissolve niacinamide and sodium sulfide.
- c. Add charcoal and stir for 1 hour under a hood. Cut off heat supply to allow cooling.
- d. Filter off the charcoal.
- e. Add and dissolve riboflavin-5'-phosphate sodium and cool to 25°C under CO₂ gas protection.
- f. After aging part I combine with part II.
- g. Add and dissolve ascorbic acid. Add ascorbic acid slowly while constantly stirring and bubbling CO₂ gas through solution.
- h. Saturate polysorbate 80 with CO₂ gas and add vitamin D₃ in arachis oil, vitamin A palmitate, and vitamin E. Mix well.
- i. Add polysorbate-vitamin mixture (step h) to main batch and mix thoroughly while bubbling CO₂ gas through solution.
- j. Add water for injection to a QS of 1000 mL. Check pH (range 3.0–4.0).
- k. Sample for testing.
- l. Transfer solution to a portable glass-lined tank for filling. Seal tank under CO₂ gas protection.
Caution: Do not hold solution more than 4 days without reassay of vitamins before filling. Seal under CO₂ gas protection.
- m. Prepare a sterile 0.22 µm membrane filter, using an approved prefilter.
Note: Protect solution from light and oxidation. Handle aseptically.

2. Filtration.

- a. Connect tank, sterile filter, and sterile surge bottle with aseptic technique.
- b. Apply 5 to 10 lb CO₂ pressure to tank (do not use more than 10 lb) and filter to fill surge bottle. When full, remove filling tube and replace with sterile venting filter by using aseptic technique.
- c. Transfer full surge bottles to filling area.

3. Preparation of vials.

- a. Wash and dry vials and load in appropriate containers for sterilization.
- b. Sterilize using dry heat at 200°C (–0, +50°C) glass temperature for 225 minutes (–0, +360 minutes).
Note: This cycle or an equivalent cycle that ensures sterile, pyrogen-free vials may be used.
- c. Deliver vials to the sterile filling area.

4. Preparation of stoppers.

- a. Leach stoppers by boiling for 10 minutes in deionized water.
- b. Wash stoppers using rubber cycle (slow tumbling) with Triton X-100.
- c. Dry in a fast dryer at 55°C.
- d. Store in suitable containers until ready for use.
- e. Tray, inspect, and rinse thoroughly. Wrap tray and identify.
- f. Sterilize in a steam autoclave at 121°C for 60 minutes.

5. Filling. Sterile 25 mL vial or sterile 2 mL vial.

- a. Under aseptic conditions, fill the appropriate amount of solution 1 into each sterile vial.
 1. Fill 10.1 mL (range 10.0–10.3 mL) for the 10 mL final reconstituted product.
 2. Alternatively, fill 1.13 mL (range 1.05–1.18 mL) for the 1 mL final reconstituted product.
- b. Sample for testing.
- c. Place each filled vial into a sterile tray. Immediately cover the vial with a rubber stopper. Label trays.
- d. Place each tray in a freezer at –40°C and freeze overnight.
- e. Transfer to lyophilizer (at –40°C) and lyophilize to less than 2% moisture. Do not allow temperature to go more than 45°C.
- f. At end of lyophilization cycle, bring chamber to 5-in vacuum with sterile CO₂ gas. Ram stoppers home into vials and then bring chamber to atmospheric pressure with sterile CO₂ gas.
- g. Apply aluminum caps.
- h. Sample for testing.

SOLUTION 2

B COMPLEX INJECTION

Bill of Materials (Batch Size 1 L)

Scale/mL	Item	Material	Qty	UOM
5.73	mg	1 Sodium pantothenate dextro, 20% stability excess	6.12	g
10.31	mg	2 Benzyl alcohol, NF	9.176	g
2.29	mg	3 Cyanocobalamin (B ₁₂), USP, 25% stability excess	2.548	mg
114.60	mg	4 Polyethylene glycol 400, NF low color	101.98	g
QS	mL	5 Hydrochloric acid, reagent grade, for pH adjustment ^a		
QS	mL	6 Nitrogen gas, NF ^b	QS	
QS	mL	7 Water for injection, USP	QS to 890.00	mL

Note: Solution 2 contains a 14.6% manufacturing excess of vitamins and benzyl alcohol to ensure label claim when 8.9 mL of solution is reconstituted to 10.2 mL. Figures in the Scale column include this 14.6% manufacturing excess. Figures in the Standard Qty column include both the manufacturing excess and any stability excesses indicated in the bill of materials. Alternatively, solution 2 contains a 14.6% manufacturing excess of vitamins and benzyl alcohol to ensure label claim when 1.0 mL of solution is reconstituted to 1.15 mL. Figures in the Scale column include this 14.6% manufacturing excess. Figures in the Standard Qty column include both the manufacturing excess and any stability excess indicated in the bill of materials.

^a Used only for pH adjustment if necessary.

^b Bulk container should be flushed with N₂ and resealed after weighing.

MANUFACTURING DIRECTIONS

1. Preparation.

- Dissolve sodium pantothenate and benzyl alcohol in 560 mL of water for injection.
- Add vitamin B12 and polyethylene glycol 400.
- Add water for injection and QS to 890 mL. Check pH. If pH is more than 8, adjust down to 6 to 8 with 0.1 N hydrochloric acid.
- Allow solution to stand overnight. Check pH (range 6–8).
- Sample for testing.
- Prepare a sterile 0.22- μ m membrane filter by using an approved pre-filter.

2. Filtration

Caution: Handle solution aseptically to preserve sterility.

- Connect tank, sterile filter, and sterile surge bottle with aseptic technique.
- Apply 5 to 10 lb of N₂ gas pressure to tank (do not use more than 10 lb) and filter enough solution to

half-fill surge bottle. If pH does not have pressure head, connect pump between tank and filter.

- Transfer filter delivery tube to filling siphon in an empty, sterile surge bottle. Siphon should be aseptically attached to filling equipment.
 - Filter sufficient solution to fill surge bottle. Check quality of filtrate and start filling. Adjust flow through the filter to equal that of filling.
- Preparation of ampoules.
 - Wash and dry ampoules and load in appropriate containers for sterilization.
 - Sterilize by using dry heat at 200°C (–0, +50°C) glass temperature for 225 minutes (–0, +360 minutes). Maintain oven temperature at 225°C (+10°C) for the duration of the cycle.

Note: This cycle or an equivalent cycle that ensures sterile, pyrogen-free ampoules may be used.
 - Deliver to the sterile filling area.
 - Filling. Sterile 10- or 1 mL ampoule.
 - Aseptically fill the appropriate amount of solution 2 into each sterile ampoule and seal.
 - Fill 9.2 mL (range 9.1–9.3 mL) for the 10 mL final reconstituted product.
 - Alternatively, fill 1.1 mL (range 1.05–1.15 mL) for the 1 mL final reconstituted product.
 - Sample for testing
 - Finishing.
 - Label each vial of freeze-dried solution 1 and each ampoule of solution 2. Pack one of each into product carton.
 - Sample for testing.

B COMPLEX VITAMIN, VETERINARY

Bill of Materials (Batch Size 1 L)				
Scale/mL	Item	Material	Qty	UOM
10.00	mg	1	Pyridoxine HCl, USP, as riboflavin-5'- phosphate sodium	10.00 g
15.00	mg	2	D-Panthenol	15.00 g
150.00	µg	3	Cyanocobalamin USP	150.00 mg
10.00	mg	4	Choline chloride	10.00 g
0.70	mg	5	Cobalt gluconate	0.70 g
0.20	mg	6	Copper gluconate	0.20 g
15.00	mg	7	Ferric ammonium citrate	15.00 g
2.00	%	8	Benzyl alcohol, NF	2.00 %
100.00	mg	9	Niacinamide, USP	100.00 g
5.00	mg	10	Chlorobutanol anhydrous, USP	5.00 g
10.00	mg	11	Inositol	10.00 g
10.00	µg	12	Biotin	10.00 mg
20.00	mg	13	Methionine, NF	20.00 g
20.00	mg	14	d/-Lysine	20.00 g
20.00	mg	15	Glycine	20.00 g
QS	mL	16	Water for injection, USP	QS to 1.00 L

B COMPLEX VITAMIN, VETERINARY

Bill of Materials (Batch Size 1 L)				
Scale/mL	Item	Material	Qty	UOM
150.00	mg	1	Thiamine HCl, USP	150.00 g
150.00	mg	2	Niacinamide, USP	150.00 g
2.00	mg	3	Riboflavin as riboflavin-5 -phosphate sodium	2.00 g
10.00	mg	4	D-Panthenol	10.00 g
10.00	mg	5	Pyridoxine HCl, USP	10.00 g
20.00	mg	6	Choline chloride	20.00 g
20.00	mg	7	Inositol	20.00 g
100.00	µg	8	Cyanocobalamin, USP	100.00 mg
2.00	%	9	Benzyl alcohol, NF	2.00 %
QS	mL	10	Water for injection, USP	QS to 1.00 L

B COMPLEX VITAMIN, VETERINARY

Bill of Materials (Batch Size 1 L)					
Scale/mL	Item	Material	Qty	UOM	
125.000	mg	1	Niacinamide, USP	125.000	g
100.000	mg	2	Ascorbic acid as sodium ascorbate, USP	100.000	g
5.000	mg	3	Riboflavin-5'- phosphate sodium	5.000	g
5.000	mg	4	Pyridoxine HCl, USP	5.000	g
50.000	mg	5	D-Panthenol	50.000	g
1.169	mg	6	Methyl paraben, USP	1.169	g
0.134	mg	7	Propyl paraben, USP	0.134	g
QS	mL	8	Water for injection	QS to 1.00	L
QS	mL	9	Hydrochloric acid for pH adjustment	QS	mL

B COMPLEX VITAMIN, VETERINARY

Bill of Materials (Batch Size 1 L)					
Scale/mL	Item	Material	Qty	UOM	
100.00	mg	1	Choline chloride	100.00	g
50.00	mg	2	Inositol	50.00	g
50.00	mg	3	Methionine, NF	50.00	g
2.00	%	4	Benzyl alcohol, NF	2.00	%
QS	mL	5	Water for injection	QS to 1.00	L
QS	mL	6	Hydrochloric acid for pH adjustment	QS	mL

B COMPLEX WITH MINERALS INJECTION, VETERINARY

Bill of Materials (Batch Size 1 L)					
Scale/mL	Item	Material	Qty	UOM	
10.00	mg	1	Thiamine HCl, USP	10.00	g
1.00	mg	2	Pyridoxine HCl, USP	1.00	g
1.50	mg	3	Riboflavin-5'-phosphate sodium	1.50	g
7.00	mg	4	D-Panthenol	7.00	g
50.00	µg	5	Cyanocobalamin, USP	50.00	mg
8.00	µg	6	Sodium chloride, USP	8.00	mg
0.10	mg	7	Copper gluconate	0.10	g
1.00	mg	8	Cobalt gluconate	1.00	g
8.00	mg	9	Ferric ammonium citrate (16–18% elemental iron)	8.00	g
100.00	mg	10	Niacinamide, USP	100.00	g
1.50	%	11	Benzyl alcohol, NF	1.50	%
QS	mL	12	Water for injection, USP	QS to 1.00	L

B COMPLEX VITAMINS WITH HORMONES

Bill of Materials (Batch Size 1 L)					
Scale/mL	Item	Material	Qty	UOM	
10.00	mg	1	Testosterone, NF	10.00	g
0.50	mg	2	Estrone, NF	0.50	g
100.00	µg	3	Cyanocobalamin, USP	100.00	mg
50.00	mg	4	Thiamine HCl, USP	50.00	g
1.00	mg	5	Pyridoxine HCl, USP	1.00	g
5.00	mg	6	D-Panthenol	5.00	g
100.00	mg	7	Niacinamide, USP	100.00	g
20.00	mg	8	Lidocaine HCl, USP	20.00	g
0.20	%	9	Carboxymethylcellulose sodium, USP	0.20	%
0.20	%	10	Sodium phosphate, USP	0.20	%
4.00	%	11	Benzyl alcohol, NF	4.00	%
QS	mL	12	Water for injection, USP	QS to 1.00	L

B COMPLEX VITAMINS WITH LIVER EXTRACT INJECTION

Bill of Materials (Batch Size 1 L)					
Scale/mL	Item	Material	Qty	UOM	
10.00	mg	1	Thiamine HCl, USP	10.00	g
5.00	mg	2	Riboflavin-5'-phosphate sodium	5.00	g
50.00	mg	3	Niacinamide, USP	50.00	g
3.00	mg	4	D-Panthenol	3.00	g
5.00	mg	5	Pyridoxine HCl, USP	5.00	g
30.00	mg	6	Cyanocobalamin, USP	30.00	mg
0.25	mL	7	Liver injection (20 µg/mL concentrate, supplies 5 µg B132 activity)	0.25	L
0.01	%	8	Edetate sodium	0.01	%
2.00	%	9	Benzyl alcohol, NF	2.00	%
QS	mL	10	Water for injection, USP	QS to 1.00	L

BENZODIAZEPINE INJECTION

Bill of Materials (Batch Size 1 L)					
Scale/mL	Item	Material	Qty	UOM	
15.00	mg	1	Benzodiazepine ^a	15.00	g
0.18	mL	2	Polyethylene glycol (MW 300)	180.00	mL
0.75	mL	3	Propylene glycol (~QS volume)	750.00	mL
0.020	mL	4	Benzyl alcohol	20.00	mL

^a 7-Chloro-5-(o-chlorophenyl)-1,3-dihydro-3-hydroxy-2H-1,4-benzodiazepine-2-one.

MANUFACTURING DIRECTIONS

- To item 2 in a suitable container, add and mix items 3 and 4.
- Add item 1 and dissolve.
- Make up solution with item 3.
- Filter and sterilize

BENZTROPINE MESYLATE INJECTION

Bill of Materials (Batch Size 1 L)					
Scale/mL	Item	Material	Qty	UOM	
1.00	mg	1	Benztropine mesylate	1.00	g
9.00	mg	2	Sodium chloride	9.00	g
QS	mL	3	Water for injection, USP	QS to 1.00	L

BETA-CAROTENE INJECTION

Bill of Materials (Batch Size 1 L)					
Scale/mL	Item	Material	Qty	UOM	
32.00	mg	1	Beta-carotene (30% dispersed in coconut oil; Miglyol 810)	32.00	g
40.00	mg	2	Poloxamer 188 (Pluronic F-68®)	40.00	g
10.00	mg	3	Glycerol	10.00	g
1.00	mg	4	Thimerosal	1.00	g
QS	mL	5	Water for injection, USP	QS to 1.00	L

MANUFACTURING DIRECTIONS

1. Take 418 mL of item 5 and mix in it item 2 in a suitable jacketed vessel.
2. Add 10 g of item 3 and heat to 45°C.
3. Add item 1 in a separate container and heat to 180°C to dissolve. Cool to 45°C.
4. Add to aqueous solution above with stirring to yield an emulsion.
5. The emulsification takes place at 45°C. Use an emulsifier such as an Ultraturrax® (700–8000 rpm) for 8 minutes. Homogenize the emulsion at 1000 bar. The finished emulsion has an item 1 content of 1.6% and an average particle size of 210 nm.
6. Add item 4 and mix.
7. Fill 10 mL into vials aseptically.

BETAMETHASONE SUSPENSION INJECTION

Bill of Materials (Batch Size 1 L)					
Scale/mL	Item	Material	Qty	UOM	
3.00	mg	1	Betamethasone as betamethasone sodium phosphate	3.00	g
3.00	mg	2	Betamethasone acetate	3.00	g
7.10	mg	3	Sodium phosphate dibasic	7.10	g
3.40	mg	4	Sodium phosphate monobasic	3.40	g
0.10	mg	5	Disodium edetate	0.10	g
0.20	mg	6	Benzalkonium chloride	0.20	g
QS	mL	7	Water for injection, USP	QS to 1.00	L

Note: Fill 5 mL into multidose vials; pH 6.8 to 7.2.

BETHANECHOL CHLORIDE INJECTION

Bill of Materials (Batch Size 1 L)					
Scale/mL	Item	Material	Qty	UOM	
5.00	mg	1	Bethanechol chloride	5.15	g
QS	mL	2	Water for injection, USP	QS to 1.00	L

Note: May be autoclaved at 120°C for 20 minutes without loss of potency.

BIOTIN INJECTION

Bill of Materials (Batch Size 1.5 L)					
Scale/mL	Item	Material	Qty	UOM	
100.00	µg	1	Biotin FCC	150.00	mg
QS	mL	2	Water for injection	1.50	L
QS	mL	3	Sodium hydroxide, NF, 1% for pH adjustment	QS	mL
QS	—	4	Nitrogen gas, NF	QS	—

MANUFACTURING DIRECTIONS

1. Put approximately 1.2 L of item 2 into a suitable mixing tank and dissolve item 1.
2. Add 1 N item 3 in drops until item 1 is dissolved and pH is around 7.0.
3. Carefully adjust the pH between 7 and 7.5 with 1 N item 3.
4. QS to volume with item 2. Check pH.

- Filter using a 0.22- μ m filter and fill under item 4 into sterilized vials (220°C for at least 240 minutes). Autoclave stoppers at 121°C for 60 minutes in 2% disodium edetate solution (final rinse stopper with RO water three times).

BIPERIDEN LACTATE INJECTION

Bill of Materials (Batch Size 1 L)

Scale/mL	Item	Material	Qty	UOM
5.00 mg	1	Biperiden lactate	5.00	g
14.00 mg	2	Sodium lactate	14.00	g
QS mL	3	Water for injection, USP	QS to 1.00	L

BISANTRENE EMULSION INJECTION

Bill of Materials (Batch Size 1 L)

Scale/mL	Item	Material	Qty	UOM
0.52 mg	1	Bisantrene base (96.15%)	0.52	g
100.00 mg	2	Sorbitan triisostearate	100.00	g
20.00 mg	3	Benzyl alcohol	20.00	g
30.00 mg	4	Sesame oil refined	30.00	g
7.50 mg	5	Pluronic C-68®	7.50	g
QS mL	6	Water for injection, USP	QS to 1.00	L

MANUFACTURING DIRECTIONS

- Mix and stir item 1 at room temperature with items 2 to 5 until complete solution is obtained.
- Make up the volume with item 6. Shake and sonicate for seconds using a Branson Sonifier driver at a DC setting of 6 to 7 A to yield an emulsion wherein 95% of the particles are from 2 to 5 μ m in size.

BISANTRENE EMULSION INJECTION

Bill of Materials (Batch Size 1 L)

Scale/mL	Item	Material	Qty	UOM
0.52 mg	1	Bisantrene base (96.15%)	0.52	g
100.00 mg	2	Triglycerol pentaoleate	100.00	g
20.00 mg	3	Benzyl alcohol	20.00	g
8.00 mg	4	Soy lecithin, 95% PC	8.00	g
22.50 mg	5	Glycerin, USP	22.50	g
QS mL	6	Water for injection, USP	QS to 1.00	L

BORAX SODIUM LUBRICATING OPHTHALMIC DROPS

Bill of Materials (Batch Size 1 L)

Scale/mL	Item	Material	Qty	UOM
5.70 mg	1	Borax sodium borate, NF, powder/borax EP	5.70	g
2.50 mg	2	Sorbic acid, NF/BP	2.50	g
1.00 mg	3	Disodium edetate, USP/BP	1.00	g
5.15 mg	4	Boric acid, NF, granular/EP	5.15	g
5.00 mg	5	Glycerin, USP (96%)/Glycerol, BP	5.00	g
1.00 mg	6	Sodium chloride, USP	1.00	g
4.50 mg	7	Hydroxypropyl methylcellulose 2906, USP, 4000 cps	4.50	g
QS mg	8	Sodium hydroxide, reagent-grade pellets	QS	mL
QS mL	9	Hydrochloric acid, reagent-grade bottles	QS	mL
QS mL	10	Water purified	QS to 1.00	L

MANUFACTURING DIRECTIONS

Note: Use thoroughly clean glass-lined or 304 or better-grade stainless-steel steam-jacketed tank equipped with a speed-control agitator and cover. Keep cover closed.

- Preparation of bulk solution.
 - Charge 750 mL of item 10 into the mixing tank and begin mixing. Begin heating item 10 to 72°C to 82°C.
 - While heating, slowly add items 1 to 6 with mixing, allowing each to disperse prior to addition of next ingredient. Rinse the inside tank walls and agitator shaft with 15 mL of item 10.

- c. Continue mixing the solution for at least 20 minutes. Stop agitation and visually verify dissolution. With continued agitation, verify that the solution temperature is in the range of 72°C to 82°C.
 - d. With mixing, slowly add and disperse item 7 by slowly sprinkling on the surface of solution. Allow each addition to be dispersed before adding more powder. Adjust agitation rate so as to avoid excessive foaming.
 - e. Discontinue heating and continue mixing for at least 20 minutes after last addition of item 7.
 - f. With mixing, continue to cool batch to less than 40°C and make up to 1 L with water, taking care to avoid foaming. Make the final adjustment with the stirrer turned off. Continue mixing for at least 20 minutes while batch is cooling to less than 40°C. Check pH (range 6.7–6.9). Adjust, if necessary, with 1 N item 8 or 1 N item 9. Mix for 15 minutes. Sample.
2. Sterilization and filling. Initiate sterilization within 48 hours of completion of bulk solution.
 - a. Sterilize bulk solution at 121°C to 123°C for 30 to 35 minutes. As the tank temperature reaches 121°C to 123°C, carefully bleed air from tank.
 - b. After sterilization, as the batch is cooling, pressurize tank to approximately 10 psig with sterile-filtered compressed air. With mixing, cool batch to less than 30°C. Stop mixing and store in tank at ambient temperature until ready to fill. Maintain a positive pressure in the tank until filling is complete.
 - c. Set up a previously sterilized product filter and transfer line. Aseptically fill sterile solution into sterilized containers and apply sterile closure components. Sample.

BOTULINUM TOXIN: TYPE A PURIFIED NEUROTOXIN COMPLEX

Bill of Materials (Batch Size 1000 Vials)

Scale/Vial	Item	Material	Qty	UOM
100.00	U	1 Clostridium botulinum type A neurotoxin complex	100,000	U
0.50	mg	2 Albumin (human)	0.50	g
0.90	mg	3 Sodium chloride	0.90	g

Note: vacuum-dried.

BOTULINUM TOXIN (TYPE B INJECTABLE SOLUTION)

Bill of Materials (Batch Size 1 L)

Scale/mL	Item	Material	Qty	UOM
1428	U	1 Clostridium botulinum type B neurotoxin complex	1428,000	U
0.50	mg	2 Human serum albumin	0.50	g
0.01	M	3 Sodium succinate	0.01	M
0.10	M	4 Sodium chloride	0.10	M
QS	mL	5 Hydrochloric acid for pH adjustment		
QS	mL	6 Sodium hydroxide for pH adjustment		
QS	mL	7 Water for injection, USP	QS to 1.00	L

Note: Fill 3.5 mL for 5000 IU; adjust pH to 5.6 with item 5 or 6.

BRETYLIUM TOSYLATE IN DEXTROSE INJECTION

Bill of Materials (Batch Size 1 L)

Scale/mL	Item	Material	Qty	UOM
50.00	mg	1 Dextrose anhydrous, USP	50.00	g
4.00	mg	2 Betylium tosylate	4.00	g
QS	mL	3 Sodium hydroxide for pH adjustment		
QS	mL	4 Hydrochloric acid for pH adjustment		
QS	mL	5 Sodium hydroxide for pH adjustment		
QS	mL	6 Water for injection, USP		

Note: This is the formula for 4.0 mg/mL; for other strengths, 2.0 or 8.0 mg/mL, use appropriate amounts of betylium tosylate.

MANUFACTURING DIRECTIONS

1. Add item 6 to ca. 95% of the final volume into tank.
2. Add and dissolve item 1 with mixing.
3. Add and dissolve item 2 with mixing.
4. Check pH, adjust if necessary to between 5.5 and 6.5 with item 4 or 5.
5. QS to final volume with item 6; mix to a uniform solution.
6. Check pH and adjust again as in step 4.
7. Filter solution through an appropriate filtration setup using an approved 0.45 µm or finer filter membrane with approved prefilter.

8. Autoclave using appropriate cycle with F_0 ranging from 8.0 to 18.0.
9. When filled in flexible plastic container, perform sterilization by circulated hot water spray and steam sterilization.

BUFLOMEDIL INJECTION

Bill of Materials (Batch Size 1 L)

Scale/mL	Item	Material	Qty	UOM
10.00	mg	1	Bufomedil hydrochloride, injectable grade	10.00 g
42.00	mg	2	Dextrose hydrous, USP (use 38.18 g if anhydrous)	42.00 g
8.00	mg	3	Sodium chloride, USP	8.00 g
QS	mL	4	Sodium hydroxide for pH adjustment	QS
QS	mL	5	Hydrochloric acid for pH adjustment	QS
QS	mL	6	Carbon dioxide, technical grade	QS
QS	mL	7	Water for injection, USP	QS to 1.00 L

MANUFACTURING DIRECTIONS

Note: Prepare the solution in a glass-lined or a 316 or higher temper-grade stainless-steel tank cleaned according to approved plant SOPs. In place of item 6, N_2 gas, NF, can be used.

1. Preparation
 - a. Add water for injection to tank to ca. 90% of the final volume and bubble in CO_2 gas. Continue CO_2 protection throughout processing.
 - b. With agitation, add and dissolve the buflomedil hydrochloride and dextrose. Mix until completely dissolved and solution is formed.
 - c. QS to final volume with water for injection and mix well.
 - d. Check and record pH (range 3.9–4.5). Adjust if necessary to pH 4.2 with 10% sodium hydroxide solution or dilute hydrochloric acid solution.
 - e. Filter solution through a previously rinsed filtration setup by using an approved 0.45 μm or finer membrane and an approved prefilter. Filter into clean glass-lined or 316 stainless-steel tank and protect with CO_2 gas.
 - f. Sample for testing.

- g. Prepare an inline 0.22 μm membrane filter for the filling line.
2. Filling. Use type 15 mL glass ampoules.
 - a. Using the inline filter, fill 5.3 mL into each clean, dry ampoule.
 - b. Flush headspace with filtered CO_2 gas and seal.
 - c. Sterilize in a steam autoclave at 120°C for 20 minutes.
 - d. Sample for testing.

BUPIVACAINE HYDROCHLORIDE INJECTION 1: 0.75% IN DEXTROSE 8.25% INJECTION

Bill of Materials (Batch Size 1 L)

Scale/mL	Item	Material	Qty	UOM
7.50	mg	1	Bupivacaine hydrochloride (anhydrous), use bupivacaine HCl, USP, monohydrate	7.50 g
82.50	mg	2	Dextrose, powder, anhydrous, USP ^a	82.50 g
QS	mL	3	Hydrochloric acid ^b	QS mL
QS	mL	4	Sodium hydroxide ^b	QS mL
QS	mL	5	Water for injection, USP	QS to 1.00 L

^a For tonicity adjustment.

^b For pH adjustment.

MANUFACTURING DIRECTIONS

1. Prepare the solution in a glass-lined or 316 stainless-steel tank.
2. Mix and dissolve items 1 and 2.
3. Check pH (range 5.8–6.2). If necessary, adjust pH with item 3 or 4 solution.

BUPIVACAINE HYDROCHLORIDE INJECTION (0.25%)

1. QS with item 5 to final volume and mix.
2. Check the pH (range 5.8–6.2). If necessary, adjust pH with item 3 or 4 solution. Sample.
3. Prior to filling, filter the solution through a 0.22 μm membrane with an approved prefilter, if needed.
4. Fill appropriate volume into ampoules. Sample.

BUPIVACAINE HYDROCHLORIDE INJECTION

Bill of Materials (Batch Size 1 L)					
Scale/mL	Item	Material	Qty	UOM	
2.50	mg	1	Bupivacaine hydrochloride, use bupivacaine HCl, USP, monohydrate	2.64	g
1.00	mg	2	Methyl paraben NF (Aseptoform M) powder	1.00	g
8.55	mg	3	Sodium chloride, USP	8.55	g
QS	mg	4	Sodium hydroxide, reagent-grade pellets ^a	QS	mg
QS	mL	5	Acid hydrochloric, reagent-grade bottles ^a	QS	mL
QS	mL	6	Water for injection	QS to 1.00	L

^a Used for pH adjustment only.

MANUFACTURING DIRECTIONS

Note: The solution must be prepared in a glass-lined or a 316 or more resistant-grade stainless-steel tank cleaned according to approved plant basic operating procedure.

1. Preparation

- Add item 6 to ca. 90% of the final volume into the tank and heat to NLT 90°C.
- Add item 2 and mix until dissolved.
- Cool to 25°C (range 25–30°C). Add and dissolve item 1. *Note:* Item 1 goes into solution slowly. Do not proceed until all drug is completely in solution.
- Add and dissolve item 3 with mixing. Mix solution for at least 10 minutes.
- Check pH. Adjust to 5.6 (range 5.6–5.8) with diluted item 4 (1%) or 5 (1%). Allow solution to mix for 10 minutes and recheck adjusted pH. *Note:* Make dilute item 4, 1.0% w/v, by dissolving 1.0 g of item 4 in sufficient water for injection to make 100 mL. Make diluted item 5 solution, 1.0% v/v, by dissolving 1.0 g of item 5 in sufficient water for injection to make 100 mL.
- QS solution to final volume with item 6. Mix for 10 minutes.
- Check pH (range 5.4–5.8). Readjust, if necessary, to pH 5.6 with dilute item 4 or 5.
- Filter solution through a previously rinsed filtration setup, using an approved 0.45 µm or finer membrane with an approved prefilter into a glass-lined or a 316 stainless-steel tank.

2. Filling. Bottle: type II glass.

- Fill specified amount into each clean, dry bottle. Apply stopper and overseal.
- Sterilize in a steam autoclave at 115°C for an F_0 of 8 to 18. Use terminal air overpressure and water spray cooling. Sample.

**BUPIVACAINE HYDROCHLORIDE INJECTION:
BUPIVACAINE WITH EPINEPHRINE INJECTION**

Bill of Materials (Batch Size 1 L)					
Scale/mL	Item	Material	Qty	UOM	
2.50	mg	1	Bupivacaine hydrochloride, use bupivacaine HCl, USP, monohydrate	2.64	g
0.005	mg	2	Epinephrine as epinephrine bitartrate	0.005	g
0.50	mg	3	Sodium metabisulfite	0.20	g
0.20	mg	4	Citric acid anhydrous	0.20	g
1.00	mg	5	Methyl paraben, NF (Aseptoform M) powder ^a	1.00	g
QS	ft ³	6	Nitrogen gas	QS	
8.00	mg	7	Sodium chloride	8.00	g
QS	mg	8	Sodium hydroxide, reagent-grade pellets ^a	QS	mg
QS	mL	9	Hydrochloric acid, reagent-grade bottles ^a	QS	mL
QS	mL	10	Water for injection	QS to 1.00	L

^a Add only in multiple-dose vials. Adjust pH to 3.3 to 5.5 with item 4 or 5. Fill under N₂.

BUPRENORPHINE HYDROCHLORIDE INJECTABLE

Bill of Materials (Batch Size 1 L)					
Scale/mL	Item	Material	Qty	UOM	
0.30	mg	1	Buprenorphine as buprenorphine hydrochloride	0.324	g
50.00	mg	2	Dextrose anhydrous, USP	50.00	g
QS	mL	3	Water for injection, USP	QS to 1.00	L
QS	mL	4	Hydrochloric acid for pH adjustment		

Note: Adjust pH using item 4.

CAFFEINE CITRATE INJECTION

Bill of Materials (Batch Size 1 L)					
Scale/mL	Item	Material	Qty	UOM	
10.00	mg	1	Caffeine anhydrous	10.00	g
5.00	mg	2	Citric acid monohydrate	5.00	g
8.30	mg	3	Sodium citrate dihydrate	8.30	g
QS	mL	4	Water for injection, USP	QS to 1.00	L
QS	mL	5	Hydrochloric acid for pH adjustment		
QS	mL	6	Sodium hydroxide for pH adjustment		

Note: Caffeine citrate (20 mg) is formed by addition of caffeine as above; adjust pH to 4.7.

CALCITONIN INJECTION

Bill of Materials (Batch Size 1 L)					
Scale/mL	Item	Material	Qty	UOM	
5.00	IU	1	Calcitonin, Eel	5000.00	IU
2.00	mg	2	Albumin, human	2.00	g
0.414	mg	3	Sodium phosphate monobasic monohydrate	0.414	g
QS	mL	4	Water for injection, USP	QS to 1.00	L

Note: For 100 IU dose per vial, increase the label quantity to 10.00 mg/mL.

CALCITONIN INJECTION

Bill of Materials (Batch Size 1 L)					
Scale/mL	Item	Material	Qty	UOM	
200	IU	1	Calcitonin-salmon synthetic ^a	200,000	IU
2.25	mg	2	Acetic acid	2.25	g
5.00	mg	3	Phenol	5.00	g
2.00	mg	4	Sodium acetate trihydrate	2.00	g
7.50	mg	5	Sodium chloride	7.50	g
QS	mL	6	Water for injection, USP	QS to 1.00	L

^a Calcitonin-salmon synthetic is a synthetic polypeptide of 32 amino acids in the same linear sequence found in calcitonin of salmon origin.

MANUFACTURING DIRECTIONS

1. Dissolve item 3 in a suitable quantity of item 4.
2. Add and dissolve, with slow agitation, item 2 to prevent frothing.
3. Add item 1 and dissolve.
4. Filter and fill 10 mL into each vial; stopper loosely.
5. Lyophilize [each vial contains 50 IU of calcitonin (SerGln GluLeuHisLysLeuGlnThr-TyrProArgThrAspValGlyAla GlyThrProNH₂)].

CALCITRIOL INJECTION

Bill of Materials (Batch Size 1 L)					
Scale/mL	Item	Material	Qty	UOM	
2.00 ^a	mg	1	Calcitriol in polysorbate 20 concentrate, 575 mg/g	2.00	g
2.00	mg	2	Polysorbate 20 (Tween 20), NF	2.00	g
1.50	mg	3	Sodium chloride, USP	1.50	g
10.00	mg	4	Sodium ascorbate microcrystalline, USP	10.00	g
7.60	mg	5	Sodium phosphate dibasic, USP, anhydrous	7.60	g
1.84	mg	6	Sodium phosphate, monobasic, USP, monohydrate	1.84	g
1.11	mg	7	Disodium edetate (dihydrate), USP	1.11	g
QS	mL	8	Water for injection, USP	QS to 1.00	L

^a Consists of 1.0 mg of calcitriol and 2.00 mg polysorbate 20.

MANUFACTURING DIRECTIONS

1. Prepare solution in a pressurizable glass-lined tank.
2. Add item 8 to ca. 110% of final volume into a suitable tank and commence bubbling of N₂ gas.
3. Heat item 8 to a temperature of NLT 85°C and hold at that temperature for 10 minutes. Vapor generated must be vented from the tank.
4. Continue to bubble N₂ gas into the water and begin to cool. Before the water reaches 30°C (range 30–45°C) transfer all but 90% of the final volume to a separate covered tank that has been pre-gassed with N₂ and maintain this water under an N₂ sparge as it continues to cool. This water is to be used for QS. Continue bubbling N₂ gas into the mixing tank.
5. When the water in the mixing tank has cooled to 20°C to 30°C, begin drug addition. *Note:* For all drug additions, minimize excessive agitation of

solution with mixer (to avoid introducing oxygen into solution).

6. Add and dissolve items 3 to 7 with mixing.
7. Mix until all ingredients are dissolved and solution is uniform. Switch to an N₂ gas blanket.
8. Check pH (range 7.0–7.6). If the pH falls outside of the specific pH range, discard the solution and prepare another aqueous solution.
9. Add item 2 with mixing. Maintain an N₂ gas blanket, exercising caution to avoid excessive foaming.
10. Add an accurately weighed factored amount of item 1 to the aqueous solution with gentle mixing.
11. QS to final volume with item 8 that has been previously boiled and cooled under N₂ gas protection. Mix gently until solution is uniform. Sample.
12. Filter the solution through an approved 0.45 μm or finer membrane connected in series to a prefilter, if needed, into a glass-lined holding tank.
13. Prior to filling, aseptically filter solution through a filtration setup by using an approved 0.22 μm or finer membrane.
14. Aseptically fill appropriate quantity into sterile ampoules. Maintain N₂ gas protection.

CALCITRIOL INJECTION

Bill of Materials (Batch Size 1 L)

Scale/mL	Item	Material	Qty	UOM
4.00 ^a	mg	1	Calcitriol in polysorbate 20 concentrate, 575 mg/g	2.00 g
1.50	mg	2	Sodium chloride, USP	1.50 g
10.00	mg	3	Sodium ascorbate microcrystalline, USP	10.00 g
7.60	mg	4	Sodium phosphate dibasic, USP, anhydrous	7.60 g
1.84	mg	5	Sodium phosphate monobasic, USP, monohydrate	1.84 g
1.11	mg	6	Disodium edetate (dihydrate), USP	1.11 g
QS	mL	7	Water for injection, USP	QS to 1.00 L

^a Consists of 2.0 mg of calcitriol and 4.00 mg polysorbate 20.

MANUFACTURING DIRECTIONS

1. Prepare solution in a pressurizable glass-lined tank.
2. Add item 7 to ca. 110% of final volume into a suitable tank and commence bubbling of N₂ gas.

3. Heat item 7 to a temperature of NLT 85°C and hold at that temperature for 10 minutes. Vapor generated must be vented from the tank.
4. Continue to bubble N₂ gas into the water and begin to cool. Before the water reaches 30°C (range 30–45°C) transfer all but 90% of the final volume to a separate covered tank that has been pre-gassed with N₂ and maintain this water under an N₂ sparge as it continues to cool. This water is to be used for QS. Continue bubbling N₂ gas into the mixing tank.
5. When the water in the mixing tank has cooled to 20°C to 30°C, begin drug addition. *Note:* For all drug additions, minimize excessive agitation of solution with mixer (to avoid introducing oxygen into solution).
6. Add and dissolve items 2 to 6 with mixing.
7. Mix until all ingredients are dissolved and solution is uniform. Switch to an N₂ gas blanket.
8. Check pH (range 7.0–7.6). If the pH falls outside the specific pH range, discard the solution and prepare another aqueous solution.
9. Add an accurately weighed factored amount of item 1 to the aqueous solution with gentle mixing.
10. QS to final volume with item 7 that has been previously boiled and cooled under N₂ gas protection. Mix gently until solution is uniform. Sample.
11. Filter the solution through an approved 0.45 μm or finer membrane connected in series to a prefilter, if needed, into a glass-lined holding tank.
12. Prior to filling, aseptically filter solution through a filtration setup by using an approved 0.22 μm or finer membrane.
13. Aseptically fill appropriate quantity into sterile ampoules. Maintain N₂ gas protection.

CALCIUM GLYCEROPHOSPHATE INJECTION WITH LACTATE

Bill of Materials (Batch Size 1 L)

Scale/mL	Item	Material	Qty	UOM
5.00	mg	1	Calcium glycerophosphate	5.00 g
5.00	mg	2	Calcium lactate pentahydrate	5.00 g
0.25	%	3	Liquefied phenol, USP	2.50 g
5.00	mg	4	Sodium chloride, USP	5.00 g
QS	mL	5	Sodium hydroxide for pH adjustment	QS
QS	mL	6	Hydrochloric acid for pH adjustment	QS
QS	mL	7	Water for injection, USP	QS to 1.00 L
QS	mL	8	Nitrogen gas, NF	QS

MANUFACTURING DIRECTIONS

1. Preboil the total volume of item 7, maintain N₂ flush, and blanket throughout production.
2. In three separate suitable containers, dissolve item 1 in 40% of item 7, item 2 in 30% of item 7, and item 4 in 20% of item 7.
3. Let the calcium glycerophosphate and calcium lactate stand for at least 60 minutes and then combine in a suitable container. Add the liquefied phenol (item 3) and mix.
4. Add item 4 solution and mix to homogeneity.
5. Record pH and adjust to 7.0 to 7.5 with items 5 and 6.
6. Bring to volume with N₂-saturated item 7 and mix.
7. Sample for testing. Test for tonicity.

**CALCIUM GLYCEROPHOSPHATE INJECTION:
CALCIUM GLYCEROPHOSPHATE INJECTION
(HUMAN AND VETERINARY)**

Bill of Materials (Batch Size 1 L)

Scale/mL	Item	Material	Qty	UOM
10.00	mg	1	Calcium glycerophosphate	10.00 g
15.00	mg	2	Calcium levulinate	15.00 g
5.00	mg	3	Chlorobutanol anhydrous, USP	5.00 g
9.00	mg	4	Sodium chloride, USP	9.00 g
QS	mL	5	Water for injection, USP	QS to 1.00 L

CALCIUM GLUCONATE INJECTION

Bill of Materials (Batch Size 1 L)

Scale/mL	Item	Material	Qty	UOM
94.00	mg	1	Calcium gluconate, USP	94.00 g
5.00	mg	2	Calcium- <i>d</i> -saccharate.4H ₂ O	5.00 g
QS		3	1 N sodium hydroxide for pH adjustment	QS
QS		4	Nitrogen gas, NF	QS
QS	mL	5	Water for injection, USP	QS to 1.00 L

MANUFACTURING DIRECTIONS

Note: Complete step 3 at least 90 hours prior to start of filling.

1. Boil 0.8 L of water for injection, bubble filtered N₂ for 10 to 15 minutes, and maintain an N₂ blanket throughout the following operation.
2. Add calcium gluconate to the water for injection and stir until the solution is clear.
3. Add calcium-*d*-saccharate and mix to a clear solution.
4. Transfer to another tank. After 24 hours, take into account the solution temperature and check pH and adjust to between 7.0 and 7.5, using 1 N sodium hydroxide solution.
5. Allow the above solution to come to room temperature and bring to final volume with water for injection. Do not reheat even if a few crystals come out of solution.
6. After cooling and pH adjustment, filter the solution once every 24 hours through a 0.45 μm prefilter and a sterilized 0.22 μm filter into a clean stainless-steel tank. Repeat this for 3 days (see note).
7. After third filtration, sample and submit to QC; after QC approval pass again through a 0.45 μm prefilter and a 0.22 μm sterilized filter and fill under N₂ (postflush).
8. Heat the filled vials in autoclave at 105°C ± 5°C for 10 minutes. Carefully monitor for slow exhaust and temperature. Autoclave stoppers in 2% disodium edetate solution after rinsing with RO water and final rinsing again with RO water.
9. Finish. Sample.

CALCIUM GLYCEROPHOSPHATE INJECTION

Bill of Materials (Batch Size 1 L)

Scale/mL	Item	Material	Qty	UOM
10.00	mg	1	Calcium glycerophosphate	10.00 g
15.00	mg	2	Calcium levulinate	15.00 g
5.00	mg	3	Chlorobutanol anhydrous, USP	5.00 g
9.00	mg	4	Sodium chloride, USP	9.00 g
12.00	mg	5	Lactic acid, USP	12.00 g
QS	mL	6	Water for injection, USP	QS to 1.00 L
QS	mL	7	Sodium hydroxide for pH adjustment	QS

CAMPBOR INJECTION**Bill of Materials (Batch Size 1 L)**

Scale/mL	Item	Material	Qty	UOM
200.00	mg	1	Camphor	200.00 g
20.00	mg	2	Benzyl alcohol	20.00 g
QS	mg	3	Sesame oil	QS to 1.00 L

CAMPTOTHECIN INJECTION**Bill of Materials (Batch Size 1 L)**

Scale/mL	Item	Material	Qty	UOM
0.40	%	1	Camptothecin ^a	0.40 %
30.00	%	2	Alcohol absolute, USP	QS to 30.00 %
4.60	%	3	Benzyl alcohol	4.60 %
10.00	%	4	Citric acid	10.00 %
50.00	%	5	Polyethylene glycol 400	55.00 %
5.00	%	6	Polysorbate 80 (Tween [®])	5.00 %

^a Highly lipophilic derivative or 7-ethyl-10-hydroxy or 10,11-methylene-dioxy or 10-bromo compounds of camptothecin-labeled quantity to be adjusted according to the derivative used.

MANUFACTURING DIRECTIONS

1. Add item 1 to item 2 and mix well.
2. Add item 5 and mix well.
3. Add and dissolve item 6.
4. Add item 4 and mix well.
5. Add item 1 and mix thoroughly in a homogenizer.

CARBOPLATIN FOR INFUSION**Bill of Materials (Batch Size 1000 Vials)**

Scale/Vial	Item	Material	Qty	UOM
50.00	mg	1	Carboplatin	50.00 g
50.00	mg	2	Mannitol	50.00 g

Note: lyophilized powder 50, 150, or 450 mg per vial with equal parts by weight of mannitol

CARBOPLATIN INJECTION**Bill of Materials (Batch Size 1 L)**

Scale/mL	Item	Material	Qty	UOM
10.00	mg	1	Carboplatin	10.00 g
QS	ft ³	2	Nitrogen gas, NF	QS cy
QS	mL	3	Water for injection, USP	QS to 1.00 L

MANUFACTURING DIRECTIONS

1. Add ca. 75% of item 3 to a clean mixing vessel. Manufacturing should be done at temperature of 30°C or less.
2. Bubble N₂ through step 1 for at least 15 minutes prior to adding item 1.
3. Add item 1 by first making a slurry in small amount of item 3 and then adding this slurry to step 1 with mixing to achieve complete solution.
4. Check pH (4.0–7.0); do not adjust pH.
5. Make up volume.
6. Check pH again (4.0–7.0); do not adjust.
7. Filter through 0.2 µm sterile filter and transfer via silicon tubing into a sterile receiving vessel vented by a sterile bacteria-retaining filter. Fill volume 15.4 to 15.6 mL. Filter integrity checked before and after filling. Use West Type 1888 S63 stoppers, type I 20 mL glass vial with flip-off aluminum metal cap, and medical-grade silicone tubing.
8. Sterilized closures are aseptically inserted into vials.

CARPROFEN INJECTION**Bill of Materials (Batch Size 1 L)**

Scale/mL	Item	Material	Qty	UOM
88.50	mg	1	Glycocholic acid	88.50 g
0.019	mL	2	Sodium hydroxide, NF, 40%	19.00 mL
169.00	mg	3	Lecithin, fine	169.00 g
30.00	mg	4	L-Arginine	30.00 g
50.00	mg	5	Carprofen	50.00 g
QS	mL	6	Water for injection, USP	QS to 1.00 L
QS	mL	7	Hydrochloric acid 2 N	
QS	ft ³	8	Nitrogen gas, NF	QS

MANUFACTURING DIRECTIONS

1. Put 0.5 L of item 6 into a suitable vessel and pass item 8 into it for 20 minutes.
2. Add item 2 to it and mix.

3. Add item 1, mix, and dissolve.
4. Add item 3 and dissolve with strong stirring.
5. Heat the solution to between 50 and 60°C. This is a micelle solution.
6. Add item 4 to 150 mL of item 6 (purged with item 8) at 40°C in a separate vessel.
7. Add item 5 in the mixed micelle solution heated to 50°C to 60°C.
8. Add the preparation in step 6 to it slowly with mixing maintaining the temperature of 50°C to 60°C.
9. Check and adjust pH to 5.8 to 6.2 with item 7.
10. Filter solution through a 0.45 µm membrane filter and fill into type I glass ampoule under aseptic conditions with pre-and postflush of item 8.
11. Sterilize by autoclaving 121°C for 20 minutes.

CEFAMANDOLE NAFATE FOR INJECTION

Bill of Materials (Batch Size 1000 Vials)

Scale/Vial	Item	Material	Qty	UOM
1.00 g	1	Cefamandole as cefamandole nafate equivalent	1.00	kg
63.00 mg	2	Sodium carbonate	63.00	g

Note: On reconstitution, the pH is 6.0 to 8.5; cefamandole nafate rapidly hydrolyzes to cefamandole, which is also active.

CEFAZOLIN INJECTION

Bill of Materials (Batch Size 1000 Vials)

Scale/50 mL	Item	Material	Qty	UOM
1.00 g	1	Cefazolin	20.00	g
2.00 g	2	Dextrose hydrous, USP	40.00	g
QS mL	3	Water for injection,	QS to 1.00	L

Note: For a 500 mg dose, the amount of item 2 is 2.40 g/vial; fill 50 mL per container and keep it frozen. Also available as 0.5 or 1.0 g lyophilized powder. The pH of reconstituted solution is between 4.5 and 6.0.

CEFEPIME HYDROCHLORIDE FOR INJECTION

Bill of Materials (Batch Size 1 L)

Scale/mL	Item	Material	Qty	UOM
1.00 g	1	Cefepime hydrochloride	1.00	kg
725.00 mg	2	L-Arginine to control pH	725.00	g

Note: Dry mixture for reconstitution; pH of reconstituted solution is 4 to 6.

CEFOTAXIME INJECTION

Bill of Materials (Batch Size 1 L)

Scale/50 mL	Item	Material	Qty	UOM
1.00 g	1	Cefotaxime	20.00	g
1.70 g	2	Dextrose hydrous, USP	34.00	g
QS mg	3	Sodium citrate hydrous for buffering	QS	
QS mL	4	Hydrochloric acid for pH adjustment	QS	
QS mL	5	Sodium hydroxide for pH adjustment	QS	
QS mL	6	Water for injection, USP	QS to 1.00	L

Note: The 2.0-g dose uses 0.7 g of item 2 (for tonicity).

CEFOTETAN INJECTION

Bill of Materials (Batch Size 1 L)

Scale/50 mL	Item	Material	Qty	UOM
1.00 g	1	Cefotetan	20.00	g
1.90 g	2	Dextrose hydrous, USP	38.00	g
QS mg	3	Sodium bicarbonate for pH adjustment	QS	
QS mL	4	Hydrochloric acid for pH adjustment		
QS mL	5	Water for injection, USP	QS to 1.00	L

Note: Sodium bicarbonate is also added to convert cefotetan free acid to the sodium salt. The pH is adjusted to 4.0 to 6.5 with item 3 or 4. Frozen until used. Cefotetan disodium powder is supplied as 80 mg/vial for reconstitution.

CEFOXITIN INJECTION PREMIXED IV SOLUTION**Bill of Materials (Batch Size 1 L)**

Scale/50 mL	Item	Material	Qty	UOM
1.00	g	1 Cefoxitin	20.00	g
2.00	g	2 Dextrose hydrous, USP	44.00	g
QS	mg	3 Sodium bicarbonate for pH adjustment	QS	
QS	mL	4 Hydrochloric acid for pH adjustment	QS	
QS	mL	5 Water for injection, USP	QS to 1.00	L

Note: For a 2.0-g dose, the quantity of item 2 is 1.1 g. The pH is ca. 6.5. After thawing, the solution is intended for IV use only.

**CEFTAZIDIME FOR INJECTION:
L-ARGININE FORMULATION****Bill of Materials (Batch Size 1000 Vials)**

Scale/mL	Item	Material	Qty	UOM
1.00	g	1 Ceftazidime pentahydrate eq.	1.00	kg
349.00	mg	2 L-Arginine ^a	349.00	g

^a Quantity calculated on the basis of ceftazidime activity 1:0.349 ratio. The pH of freshly constituted solution ranges from 5 to 7.5. Other strengths include 2 and 10 g/vial.

CEFTAZIDIME INJECTION DRY POWDER**Bill of Materials (Batch Size 1000 Vials)**

Scale/Vial	Item	Material	Qty	UOM
1.00	g	1 Ceftazidime pentahydrate eq.	1.00	kg
118.00	mg	2 Sodium bicarbonate	118.00	g

Note: Other strengths include 2 and 6 g; pH of reconstituted solution is 5 to 8.

CEFTAZIDIME INJECTION PREMIX**Bill of Materials (Batch Size 1 L)**

Scale/50 mL	Item	Material	Qty	UOM
1.00	g	1 Ceftazidime pentahydrate eq.	20.00	g
2.20	g	2 Dextrose hydrous, USP	44.00	g
QS	mL	3 Sodium hydroxide for pH adjustment		
QS	mL	4 Hydrochloric acid for pH adjustment		
QS	mL	5 Water for injection, USP	QS to 1.00	L

Note: Adjust pH to 5 to 7.5 with item 3 or 4; item 3 also used to convert acid to salt.

**CEFTRIAZONE INJECTION: 500
MG INJECTION (IM AND IV)****Bill of Materials (Batch Size 1000 Vials)**

Scale/Vial	Item	Material	Qty	UOM
596.60	mg	1 Ceftriaxone, use ceftriaxone disodium (3.5 H ₂ O), 8% excess	645.00	g
QS	mL	2 Water for injection, USP	QS to 1.75	L

MANUFACTURING DIRECTIONS

1. Solution. Suspend item 1 under N₂ gas flushing, using freshly distilled water, and stir to dissolve.
2. Filter through a 0.22 µm filter.
3. Fill aseptically into vials, freeze, and lyophilize.
4. After drying, close the vials under N₂ protection, apply rubber stopper and an aluminum cap with a rim, and check them visually. Avoid microbial contamination during processing.

Water for reconstitution is filtered, germ-free distilled water sterilized in an autoclave after filling aseptically in ampoules.

CEFTRIAXONE INJECTION (250 MG INJECTION, IM AND IV)

Bill of Materials (Batch Size 1000 Vials)

Scale/Vial	Item	Material	Qty	UOM
298.30	mg	1	Ceftriaxone, use ceftriaxone disodium (3.5 H ₂ O), 8% excess	322.50 g
QS	mL	2	Water for injection, USP	QS to 1.75 L

MANUFACTURING DIRECTIONS

1. Solution. Suspend item 1 under N₂ gas flushing, using freshly distilled water, and stir to dissolve.
2. Filter through a 0.22 µm filter.
3. Fill aseptically into vials, freeze, and lyophilize.
4. After drying, close the vials under N₂ protection, apply rubber stopper and an aluminum cap with a rim, and check them visually. Avoid microbial contamination during processing.

Water for reconstitution is filtered, germ-free distilled water sterilized in an autoclave after filling aseptically in ampoules.

CEFTRIAXONE INJECTION PREMIX

Bill of Materials (Batch Size 1 L)

Scale/50 mL	Item	Material	Qty	UOM
1.00	g	1	Ceftriaxone sodium	20.00 g
2.00	g	2	Dextrose hydrous, USP	40.00 g
QS	mL	3	Water for injection, USP	QS to 1.00 L

Note: For a 2-g strength, use 1.2 g of item 2.

CEFUROXIME FOR INJECTION

Bill of Materials (Batch Size 1 L)

Scale/mL	Item	Material	Qty	UOM
0.75	g	1	Cefuroxime sodium	15.00 g
1.40	g	2	Dextrose hydrous, USP	28.00 g
300.00	mg	3	Sodium citrate hydrous	300.00 g
QS	mL	4	Hydrochloric acid for pH adjustment	QS
QS	mL	5	Sodium hydroxide for pH adjustment	QS
QS	mL	6	Water for injection, USP	QS to 1.00 L

Note: Adjust pH to 5 to 7.50. For a 1.5-g strength, the quantity of item 3 is 600 mg.

CETRORELIX ACETATE FOR INJECTION

Bill of Materials (Batch Size 1000 Vials)

Scale/Vial	Item	Material	Qty	UOM
0.25	mg	1	Cetrorelix as cetrorelix acetate	0.27 g
54.80	mg	2	Mannitol	54.80 g

Note: For a 3.0 mg dose, use 164.40 mg of item 2. The pH of reconstituted solution is 5 to 8.

CHLORAMPHENICOL AND PHENYLMERCURIC NITRATE OPHTHALMIC DROPS

Bill of Materials (Batch Size 45 L)

Scale/mL	Item	Material	Qty	UOM
Part I				
0.1327	mL	1	Polyethylene glycol 300	5.972 L
70.00	mg	2	Polyoxyl 40 stearate, NF	3.15 kg
6.20	mg	3	Chloramphenicol, USP (24% overage)	279.00 g
Part II				
		4	Water Purified (distilled), USP	25.00 L
0.127	mg	5	Disodium edetate, USP	5.72 g
0.04	mg	6	Phenylmercuric nitrate	1.80 g
QS	mL	7	5 N Hydrochloric acid, NF ^a	QS mL
QS	mL	8	1 N Sodium hydroxide, NF ^a	QS mL
QS	mL	9	Water purified (distilled), USP	QS to 45.00 L

^a Use only for pH adjustment.

MANUFACTURING DIRECTIONS

Note: Weigh out the chloramphenicol in the antibiotic weigh room. Be careful to prevent any cross-contamination of the antibiotic during weighing and handling. The temperature of part I is critical and must be precisely controlled or precipitation may result. Mixing must be continuous while adding part II to part I or precipitation may result.

Part I

1. Add items 1 and 2 to a suitable water-jacketed heating kettle of at least 45 L capacity. Begin mixing with a suitable mixer.
2. Heat to 85°C to 90°C while mixing. Do not allow the temperature to rise more than 90°C. Mix until all of item 2 has melted.
3. When all of the item 2 has melted, turn off the heat source and allow the mixture to cool to 53°C to 55°C by circulating cold water through the kettle jacket.
4. When the temperature of part I reaches 53°C to 55°C, add item 3. Mix thoroughly for at least 15 minutes.
5. Maintain the temperature of part I at 53°C to 55°C and immediately add part II at 50°C to 52°C according to the instructions that follow.

Part II

1. Measure out ca. 25 L of item 4 into a suitable water-jacketed heating kettle. Begin mixing.
2. Add items 5 and blended item 6, in order, allowing the first to dissolve completely before adding the next. Rinse out the blender cup with item 9 and add the rinsings to the kettle.
3. Heat part II to 50°C to 52°C.
4. With part I at 53°C to 55°C and part II at 50°C to 52°C, add part II to part I, while mixing parts I and II.
5. Use 4 to 5 L of item 9 to rinse the part II kettle, pump, and hoses.
6. Add the rinsings to combined parts I and II. Continue mixing and allow the batch to cool to 30°C or less.
7. When the temperature is at 30°C or less, transfer the batch into a suitable mixing tank for a final QS of 45 L.
8. Use 2 to 3 L of item 9 to rinse out the kettle, pump, and hoses. Add the rinsings to the calibrated mixing tank. Mix well for at least 15 minutes.
9. Check pH (range 5.4–5.8). If necessary, adjust the pH to 5.4 to 5.8 with item 7 or 8.
10. Allow any foam to dissipate and QS the batch to 45 L with item 9. Mix thoroughly for at least 15 minutes.

Sterile Filtration

1. Sterilize for 1 hour (range 45–60 minutes) at 121°C (–0, +5°C) in an autoclave at 15 psi and then filter to a 100 L stainless-steel pressure vessel. Transfer to solution preparation area.
2. Mix the product for at least 10 minutes before filtration.
3. Connect the sterilized filter and sterile-filter with the aid of N₂ pressure (15–30 lb). Discard initial 10 L of filtrate, attach sterilized hose to sterilized filter holder, and connect to the sterilized 100 L stainless-steel pressure vessel aseptically. *Note:* Before sterile filtration to 100 L pressure vessel, perform the bubble point test at NLT 40 psi and on 0.22 µm inline gas filter at 18 psi.
4. After completion of product filtration, disconnect filter from pressure vessel and flush the sterilizing filter with at least 10 L of water purified (distilled) for the bubble point test (after filtration).
5. After filtration, decontaminate the outer surface of bulk holding pressure vessel and then transfer to filling cubicle. Sample.

Sterilization

1. Filling unit, 20 L surge bottle, manifold of filling unit, and uniforms.
2. Sterilize at 121°C (–0°, +2°C) pressure 15 psi for 1 hour.

Sterile Filling

1. Aseptically connect the sterilized filling tubing and N₂ line from 100 L pressure vessel to surge bottle.
2. Aseptically fill sterile solution into sterilized container.
3. Perform the bubble point test on a 0.22 μm inline gas filter before and after filtration at 18 ps. Sample.

CHLORAMPHENICOL OPHTHALMIC SOLUTION (3%)**FORMULATION**

Chloramphenicol, 3 g; Kollidon 25 [1], 15 g; preservative, QS; water, add 100 g.

MANUFACTURING DIRECTIONS

Dissolve the preservative in hot water, cool, dissolve Kollidon 25, add chloramphenicol, and stir until a clear solution is obtained.

CHLORAMPHENICOL FOR INJECTION**Bill of Materials (Batch Size 1000 Vials)**

Scale/Vial	Item	Material	Qty	UOM
1.44	g	1	Chloramphenicol hemisuccinate	1.92 kg
136.55	mg	2	Sodium hydroxide	136.55 g
QS	mL	3	Sodium hydroxide for pH adjustment	
QS	mL	4	Hydrochloric acid for pH adjustment	
QS	mL	5	Water for injection, USP	QS to 1.00 L

MANUFACTURING DIRECTIONS

1. Measure ca. 0.3 L of water for injection in a clean, identified Pyrex bottle and dissolve sodium hydroxide. Cool the solution to between 10°C and 15°C.
2. Measure ca. 0.4 L of water for injection in a clean, identified mixing tank.
3. Add chloramphenicol hemisuccinate into the mixing tank with constant agitation to suspend the material.
4. Add sodium hydroxide solution slowly to the chloramphenicol hemisuccinate suspension in a steady stream to pH 6.6 to 6.8.
5. Bring to final volume and check pH.
6. Prefilter through a 1-mm prefilter cartridge and through a Millipore® prefilter #CW03 012 02 Milligard cartridge.
7. QC sample for pH, UV scan, and specific gravity.

8. Sterile-filter through a 0.22-mm filter and fill as required and lyophilize.
9. Cool the shelves in the lyophilizer to approximately -40°C. Load the product and place thermocouples.
10. The product thermocouples should register -30°C or less for at least 4 hours before starting the cycle.
11. Cool condenser until it attains -45°C or less. Start vacuum pump to achieve a vacuum level of 300 μm or less in the chamber.
12. Set to low heat and set temperature control to +30°C. Let the product temperature rise by itself. When it reaches +30°C, hold at this temperature ±3°C for at least 4 hours.
13. Set temperature controller to +45°C, hold at this temperature for at least 12 hours, stop the vacuum, bleed the chamber with sterile dry air, and take out one vial from each shelf. Send these samples (stoppered) for moisture check. Immediately close the lyophilizer chamber and start vacuum to as low as it will go. Continue to dry for at least 12 hours.
14. Bleed chamber slowly to approximately 5 inHg vacuum with sterile dry air.
15. Stopper vials by using the internal stoppering mechanism and bleed chamber to atmosphere pressure.
16. Withdraw the product from the lyophilizer. Seal the stoppered vials.

CHLORAMPHENICOL INJECTION**Bill of Materials (Batch Size 1 L)**

Scale/mL	Item	Material	Qty	UOM
123.00	mg	1	Chloramphenicol, USP	125.00 g
5.14	mg	2	Lidocaine HCl, USP	5.14 g
4.05	mg	3	Lidocaine base, USP	4.05 g
10.00	mg	4	Chlorocresol	10.00 g
0.12	mL	5	Water for injection, USP	0.12 L
QS	mL	6	Propylene glycol, NF	QS to 1.00 L
QS		7	Nitrogen gas, NF	QS

MANUFACTURING DIRECTIONS

1. Take approximately 0.75 L of item 6 and heat in a steam-jacketed kettle for 30 minutes.
2. Add item 1 to above kettle at 80°C, stir, and dissolve. Allow to cool.
3. In a separate vessel, take freshly boiled item 5 and dissolve in it items 4 and 2 to complete solution.
4. Cool the solution in step 3 and make up volume with step 2.
5. Flush with item 7 and keep covered.
6. Check pH (6.5–6.8); do not adjust.

7. Filter through a 0.22 μm presterilized assembly with a 0.45 μm prefilter.
8. Flush amber type I glass vials presterilized with item 7 and fill 10.5 mL. Stopper and seal.
9. This is the aseptic filling process; no terminal heating allowed.
10. Sample for sterility, particles.

CHLORAMPHENICOL SODIUM SUCCINATE FOR INJECTION

Bill of Materials (Batch Size 118 L)

Scale/Vial	Item	Material	Qty	UOM
1.44	mg	1	Chloramphenicol hemisuccinate	22.656 kg
136.55	mg	2	Sodium hydroxide, USP	2.1483 kg
QS	mg	3	10% sodium hydroxide, USP, for pH adjustment	QS mL
QS	mL	4	Water for injection, USP	QS to 118.00 L

MANUFACTURING DIRECTIONS

1. Measure ca. 40 L of item 4 in a clean, identified Pyrex bottle and dissolve item 2. Cool the solution to between 10°C and 15°C.
2. Measure ca. 50 L of item 4 in a clean, identified mixing tank.
3. Add item 1 into the mixing tank with constant agitation to suspend the material.
4. Add item 2 solution from step 1 slowly to item 1 suspension in a steady stream to pH 6.6 to 6.8.
5. Bring to final volume and check pH.
6. Prefilter through a 1 μm prefilter cartridge and through a Millipore® prefilter #CW03 01202 Milligard cartridge. Sample.
7. Sterile filter through a 0.22 μm filter and fill as required and lyophilize.
8. Cool the shelves in the lyophilizer to approximately -40°C, load the product, place thermocouples.
9. The product thermocouples should register -30°C or less for at least 4 hours before starting the cycle.
10. Cool condenser until it attains -45°C or less. Start vacuum pump to achieve a vacuum level of 300 μm or less in the chamber.
11. Set to low heat and set temperature control to +30°C. Let the product temperature rise by itself. When it reaches +30°C, hold at this temperature $\pm 3^\circ\text{C}$ for at least 4 hours.
12. Set temperature controller to +45°C, hold at this temperature for at least 12 hours, stop the vacuum, and bleed the chamber with sterile dry air. Sample.

Immediately close the lyophilizer chamber and start vacuum to as low as it will go. Continue to dry for at least 12 hours.

13. Bleed chamber slowly to approximately 5 inHg vacuum with sterile dry air.
14. Stopper vials by using the internal stoppering mechanism and bleed chamber to atmosphere pressure. Withdraw the product from the lyophilizer. Seal the stoppered vials.

CHLORDIAZEPOXIDE HYDROCHLORIDE INJECTION

Bill of Materials (Batch Size 2 L for Diluent)

Scale/mL	Item	Material	Qty	UOM
Powder Vial				
100.00	mg	1	Chlordiazepoxide hydrochloride	100.00 g
Diluent Vial				
15.00	mg	1	Benzyl alcohol	15.00 g
40.00	mg	2	Polysorbate 80	40.00 g
200.00	mg	3	Propylene glycol	200.00 g
16.00	mg	4	Maleic acid	16.00 g
QS	mL	5	Sodium hydroxide for pH adjustment	
QS	mL	6	Water for injection, USP	QS to 2.00 L

Note: Adjust pH to ca. 3.0.

CHLOROPROCAINE HYDROCHLORIDE INJECTION

Bill of Materials (Batch Size 1 L)

Scale/mL	Item	Material	Qty	UOM
10.00	mg	1	Chloroprocaine hydrochloride	10.00 g
6.70	mg	2	Sodium chloride	6.70 g
0.111	mg	3	Disodium edetate dihydrate	0.111 g
1.00	mg	4	Methyl paraben	1.00 g
QS	mL	5	Water for injection, USP	QS to 1.00 L

Note: for infiltration and nerve block. Also available without items 3 and 4 at 20- and 30 mg concentrations; the quantity of item 2 is 4.7 mg/mL for 20 mg and 3.3 mg/mL for 30 mg concentration.

CHLOROTHIAZIDE SODIUM FOR INJECTION**Bill of Materials (Batch Size 1 L)**

Scale/mL	Item	Material	Qty	UOM
0.50	g	1 Chlorothiazide sodium equivalent to chlorothiazide	0.50	kg
0.25	g	2 Mannitol	0.25	kg
QS	mL	3 Sodium hydroxide for pH adjustment	QS	
QS	mL	4 Water for injection, USP	QS to 1.00	L

Note: Lyophilize for reconstitution.

CHLORPHENIRAMINE MALEATE INJECTION (25 MG/ML)**Bill of Materials (Batch Size 1 L)**

Scale/mL	Item	Material	Qty	UOM
25.00	mg	1 Chlorpheniramine maleate, USP	25.00	g
2.50	mg	2 Liquefied phenol, NF	2.50	g
QS	mL	3 Water for injection, USP	QS to 1.00	L
QS	mL	4 Sodium hydroxide for pH adjustment	QS	
QS	mL	5 Hydrochloric acid for pH adjustment	QS	

MANUFACTURING DIRECTIONS

1. Dissolve item 1 in 0.6 L of item 3.
2. In a separate vessel, dissolve item 2 in 0.2 L of item 3 and add to step 1.
3. Bring to volume with item 3.
4. Mix well and sample for pH to 4.3 (range 4.3–4.5). Adjust with item 4 or 5, if necessary.
5. Filter through a 0.22 µm presterilized filter to a sterilized vessel.
6. Fill 2.1 mL into presterilized ampoules.
7. Sterilize in an autoclave at 121°C for 30 minutes.
8. Sample for clarity, sterility.

CHLORPHENIRAMINE MALEATE INJECTION (10 MG/ML)**Bill of Materials (Batch Size 1 L)**

Scale/mL	Item	Material	Qty	UOM
10.00	mg	1 Chlorpheniramine maleate	10.00	g
5.00	mg	2 Chlorobutanol anhydrous	5.00	g
QS	mL	3 Water for injection, USP	QS to 1.00	L

CHLORPROMAZINE HYDROCHLORIDE INJECTION (10 MG/ML)**Bill of Materials (Batch Size 1 L)**

Scale/mL	Item	Material	Qty	UOM
10.00	mg	1 Chlorpromazine HCl, USP	10.00	g
2.00	mg	2 Ascorbic acid, USP	2.00	g
QS	mL	3 Water for injection, USP	QS to 1.00	L
QS	mL	4 Hydrochloric acid for pH adjustment	QS	
QS	mL	5 Sodium hydroxide for pH adjustment	QS	
QS		6 Nitrogen gas, NF	QS	

MANUFACTURING DIRECTIONS

Caution: avoid exposure to product. Solutions should be colorless to faint yellow; discard if turns pink.

1. Dissolve item 1 in 0.90 L of item 3, which has been freshly boiled and allowed to cool.
2. Dissolve item 2 and make up volume with item 3.
3. Begin and maintain cover of item 6 throughout.
4. Measure pH to 5.5 (5.0–6.0). Adjust with 10% item 4 or 4% item 5 if necessary.
5. Filter through 0.22- and 0.45 µm prefilters.
6. Flush presterilized ampoules with item 6 and fill under cover of item 6.
7. Fill 5.2 mL into flint type I glass ampoules.
8. Autoclave at 116°C for 30 minutes.

CHLORPROMAZINE HYDROCHLORIDE INJECTION (25 MG/ML)

Bill of Materials (Batch Size 1 L)

Scale/mL	Item	Material	Qty	UOM
25.00	mg	1	Chlorpromazine hydrochloride, USP	25.00 g
2.00	mg	2	Ascorbic acid (as sodium ascorbate), USP	2.00 g
1.00	mg	3	Sodium metabisulfite, NF	1.00 g
1.00	mg	4	Sodium chloride, USP	1.00 g
20.00	mg	5	Benzyl alcohol, NF	20.00 g
QS	mL	6	Water for injection	QS to 1.00 L
QS	mL	7	Hydrochloric acid for pH adjustment	QS mL

CHORIOGONADOTROPIN-ALPHA (RECOMBINANT) FOR INJECTION

Bill of Materials (Batch Size 1 L)

Scale/mL	Item	Material	Qty	UOM
285.00	mg	1	Recombinant human chorionic gonadotropin	285.00 g
30.00	mg	2	Sucrose	30.00 g
0.98	mg	3	Phosphoric acid	0.98 g
QS	mL	4	Sodium hydroxide for pH adjustment	QS
QS	mL	5	Water for injection, USP	QS to 1.00 L

Note: Adjust pH to 6.5 to 7.5; lyophilize. Can be given to newborns.

CHORIONIC GONADOTROPIN FOR INJECTION (20,000 U/10 ML COVIAL)

Bill of Materials (Batch Size 24- and 75 L Diluent)

Scale/m	Item	Material	Qty	UOM
5000	U	1	Chorionic gonadotropin, USP (potency U/mg)	120,000,000 U
50.00	mg	2	Mannitol USP, 10% overage	1.32 kg
1.50	mg	3	Sodium phosphate monobasic (85–95%)	40.00 g
6.50	mg	4	Sodium phosphate Dibasic	156.00 g
QS	mL	5	Water for injection, USP	QS to 24.00 L
0.90	%	6	Benzyl alcohol, 20% excess	810.00 mL
QS	mL	7	Water for injection, USP	QS to 75.00 L
QS	mL	8	Hydrochloric acid for pH adjustment	QS mL

MANUFACTURING DIRECTIONS

1. Measure 69 L of item 7 into a tank. Add item 6 to the tank with agitation until a clear solution results. Bring to ca. 73 L (item 7) and check pH and adjust to 5 to 7 and recheck with item 8.
2. Bring the final volume with item 7 and then pass through a 0.22 µm filter into a sterile reservoir for filling. Check first vials for reconstitution pressure, which should be less than 5 kg.
3. Dry mix item 1 with ca. two times its weight, using item 2 in an appropriate container.
4. Measure 15 L of item 5 in a container. Add the dry-mixed items 1 and 2 from step 5 to the mixing tank with slow agitation to avoid vortex and foaming.
5. Dry rinse all utensils needed for items 1 and 2 with the balance of item 2 and add to the mixing tank. Dissolve items 3 and 4 in ca. 1 L of item 5 which has been heated to ca. 35°C.
6. Add items 3 and 4 solution from step 5 to the mixing tank with slow agitation. Bring to final volume and check pH; do not adjust pH. Expect pH to be around 7.2 to 7.4. Sample.
7. Pass the solution through a 0.22 µm filter into a sterile reservoir for filling. Lyophilize.
8. Load the product into the lyophilizer keeping the covials covered during the transfer.
9. Set temperature for –40°C; product thermocouple should register –30°C or less for at least 2 hours before starting the cycle.

10. Start condenser and start vacuum only when condenser is less than -40°C ; start vacuum to chamber to at least 300 μm .
11. Bring up temperature controller to $+25^{\circ}\text{C}$. Set to low heat and switch on heat. Hold at $+25^{\circ}\text{C}$ for at least 36 hours.
12. Bring up temperature controller to 45°C . Hold at 45°C for 8 hours.
13. Shut off the lyophilizer and bleed chamber slowly with dry sterile air to atmosphere pressure. Remove product sample. Repeat if not dried to specifications.

CHORIONIC GONADOTROPIN FOR INJECTION (10000 U//10 ML)

Bill of Materials (Batch Size 1 L)

Scale/Vial	Item	Material	Qty	UOM
10,000	U	1	Chorionic gonadotropin	10 MM U
5.00	mg	2	Sodium phosphate monobasic	5.00 g
4.40	mg	3	Sodium phosphate dibasic	4.40 g
5.60	mg	4	Sodium chloride	5.60 g
9.00	mg	5	Benzyl alcohol	9.00 g
QS	mL	6	Sodium hydroxide for pH adjustment	
QS	mL	7	Phosphoric acid for pH adjustment	
QS	mL	8	Water for injection, USP	QS to 1.00 L

Note: This composition is after reconstitution with 10 mL of water for injection.

Not for use in newborns

CHROMIUM CHLORIDE ADDITIVE INJECTION (5 ML VIAL)

Bill of Materials (Batch Size 1 L)

Scale/mL	Item	Material	Qty	UOM
102.50	mg	1	Chromium chloride hexahydrate	102.50 mg
QS	mL	2	Water for injection, USP	QS to 1.00 L
QS	mL	3	Sodium hydroxide for pH adjustment	QS
QS	mL	4	Sulfuric acid for pH adjustment	QS

CHROMIUM CHLORIDE ADDITIVE INJECTION (10 ML VIAL)

Bill of Materials (Batch Size 1 L)

Scale/mL	Item	Material	Qty	UOM
20.50	mg	1	Chromium chloride hexahydrate	20.50 mg
QS	mL	2	Water for injection, USP	QS to 1.00 L
QS	mL	3	Sodium hydroxide for pH adjustment	QS
QS	mL	4	Sulfuric acid for pH adjustment	QS

CHROMIUM CHLORIDE ADDITIVE INJECTION (30 ML VIAL)

Bill of Materials (Batch Size 1 L)

Scale/mL	Item	Material	Qty	UOM
20.50	mg	1	Chromium chloride hexahydrate	20.50 mg
0.90	%	2	Benzyl alcohol, NF	0.90 %
QS	mL	3	Water for injection, USP	QS to 1.00 L
QS	mL	4	Sodium hydroxide for pH adjustment	QS
QS	mL	5	Sulfuric acid for pH adjustment	QS

pH 3.0 to 6.0

Assay by colorimeter 85 to 115%

Packaging commodity: type I glass vial, West Co. 1888 gray stopper, West Co. flip-off aluminum seals.

CIDOFOVIR INJECTION

Bill of Materials (Batch Size 1 L)

Scale/mL	Item	Material	Qty	UOM
75.00	mg	1	Cidofovir	75.00 g
QS	mL	2	Sodium hydroxide for pH adjustment	QS
QS	mL	3	Water for injection, USP	QS to 1.00 L

Note: Fill 5 mL per vial; adjust pH to 7.4 with item 2.

CIMETIDINE INJECTION

Bill of Materials (Batch Size 1 L)

Scale/mL	Item	Material	Qty	UOM
100.00	mg	1	Cimetidine	100.00 g
QS	mL	2	Hydrochloric acid, reagent-grade bottles ^a	QS mL
QS	mL	3	Water for injection, BP	QS to 1.00 L

^a Sufficient to protonate 95 to 97.5% of cimetidine. Fill 2 mL.

MANUFACTURING DIRECTIONS

- Item 1 is only slightly soluble in item 3 but yields a highly soluble protonated ion.
- Adjust pH to 5.1 to 6.2. The solution should be clear, colorless, and particle free, with no noticeable odor but a mercaptan-like color.
- Sterilize the ampoule at 121°C for 30 minutes.
- Determine item 1 content by HPLC method.
- Determine cimetidine impurities TLC: corresponds to raw material plus moderate spot Compound II and traces spot Compound I and spot at *R_f* 0.09. TLC loaded at 1000 mg to trace small impurities. Trace spots.

CIMETIDINE INJECTION

Bill of Materials (Batch Size 1 L)

Scale/mL	Item	Material	Qty	UOM
150.00	mg	1	Cimetidine hydrochloride equivalent to cimetidine	150.00 g
10.00	mg	2	Phenol	10.00 g
QS	mL	3	Sodium hydroxide for pH adjustment	
QS	mL	4	Water for injection, USP	QS to 1.00 L

Note: Adjust pH to 3.8 to 6.0 with item 3. Fill 50 mL for premixed in plastic container.

CIPROFLOXACIN HYDROCHLORIDE OPTHALMIC SOLUTION

Bill of Materials (Batch Size 1 L)

Scale/mL	Item	Material	Qty	UOM
3.00	mg	1	Ciprofloxacin base as ciprofloxacin hydrochloride	3.50 g
0.06	mg	2	Benzalkonium chloride	0.06 g
QS	mg	3	Sodium acetate	QS
QS	mg	4	Acetic acid	QS
46.00	mg	5	Mannitol	46.00 g
0.50	mg	6	Disodium edetate	0.50 g
QS	mL	7	Sodium hydroxide for pH adjustment	QS
QS	mL	8	Hydrochloric acid for pH adjustment	QS
QS	mL	9	Water for injection, USP	QS to 1.00 L

Note: Adjust pH to 4.5; ointment contains 3.3 mg of ciprofloxacin hydrochloride in mineral oil/white petrolatum.

CIPROFLOXACIN INJECTION

Bill of Materials (Batch Size 1 L)

Scale/mL	Item	Material	Qty	UOM
10.00	mg	1	Ciprofloxacin	10.00 g
1.00	M	2	Lactic acid	1.00 M
50.00	mg	3	Dextrose anhydrous, USP	50.00 g
QS	mL	4	Hydrochloric acid for pH adjustment	QS
QS	mL	5	Water for injection, USP	QS to 1.00 L

Note: Adjust pH to 3.3 to 3.9 with item 4 in vials and 3.5 to 4.6 in infusion solutions.

CISPLATIN DIAMINEDICHLORIDE INJECTION

Bill of Materials (Batch Size 1 L)

Scale/mL	Item	Material	Qty	UOM
1.33	mg	1	Cisplatin (II) diaminedichloride	1.33 g
6.00	mg	2	Sodium chloride, USP	6.00 g
QS	mL	3	Hydrochloric acid (1 N) for pH adjustment	QS
0.00214	mL	4	Isopropyl alcohol	214.00 mL
1.40	mg	5	Mannitol, USP	1.40 g
QS	mL	6	Water for injection, USP	QS to 1.00 L

Note: For higher label amount of active, substitute appropriate amounts (25 or 50 mg).

MANUFACTURING DIRECTIONS

- In 90% of item 6, deaerated by bubbling in of N₂, dissolve item 2 under agitation.
- Heat the resulting solution to 40°C to 45°C and dissolve item 1 under bubbling N₂ gas and vigorous agitation. Perform this operation protected from light. In the subsequent processing, also keep the solution protected from light.
- Slowly cool the solution to 28°C to 30°C and dissolve item 5.
- Check and adjust the pH of the solution to 3.5 with item 3.
- Under agitation, add item 4 and make up to the final volume.
- Aseptically filter the solution through a membrane filter of pore size 0.22 mm.
- Aseptically dispense the solution into colorless, sterile glass filters, type I, capacity 20 mL, to a volume of 7.5 mL/vial.
- Freeze the vials at -45°C.
- Proceed to freeze-drying, heating the shelves of the freeze-dryer system to 4°C. Limit the time employed for the final drying of the product at 25°C to 30°C (preferably 30°C) to 3 to 6 hours, and preferably 4 hours.
- Stopper the freeze-dried vials with sterile stoppers made of elastomeric material, preferably halobutyl rubbery material (a mixture in chlorobutyl rubber type PH 21/50, manufactured by Pharmagummi), and seal with sterile aluminum caps. The freeze-drying time (excluding freezing time) should be 18 hours.

CISPLATIN WITH 2,2'-DITHIO-B/S-ETHANE SULFONATE INJECTION

Bill of Materials (Batch Size 1 L)

Scale/mL	Item	Material	Qty	UOM
0.90	mg	1	Cisplatin	0.90 g
14.30	mg	2	2,2-Dithio-b/s-ethane sulfonate	14.30 g
0.90	%	3	Sodium chloride, USP	0.90 %
QS	mL	4	Hydrochloric acid for pH adjustment	
QS	mL	5	Water for injection, USP	QS to 1.00 L

MANUFACTURING DIRECTIONS

- In a suitable container, dissolve item 3 in item 5 to yield a 0.9% solution.
- Check and adjust pH to 2.0 to 6.0 with item 4.
- Add and dissolve item 3 with fast agitation (1500–2500 rpm) at room temperature for 60 to 90 minutes.
- Add portion by portion of item 2, agitating to dissolve completely.
- Check and adjust pH as in step 2.
- Filter through a 0.22 µm membrane filter aseptically into type I glass vials.

CLADRIBINE INJECTION INFUSION

Bill of Materials (Batch Size 1 L)

Scale/mL	Item	Material	Qty	UOM
1.00	mg	1	Cladribine	1.00 g
9.00	mg	2	Sodium chloride	9.00 g
QS	mg	3	Phosphoric acid for pH adjustment	QS
QS	mg	4	Sodium phosphate dibasic for pH adjustment	QS
QS	mL	5	Water for injection, USP	QS to 1.00 L

Note: Fill 10 mL into flint single-use vials; adjust pH to 5.5 to 8.0 with item 3 or 4.

CLARITHROMYCIN INJECTION**Bill of Materials (Batch Size 10.4 L)**

Scale/mL	Item	Material	Qty	UOM
50.00	mg	1	Clarithromycin (approved excess range 0–3%)	520.00 g
QS	mL	2	Water for injection	QS to 10.40 L
QS	–	3	Nitrogen gas, NF	QS –
QS	mL	4	Lactobionic acid 12% w/v solution ^a	QS L
QS	mL	5	Sodium hydroxide 1 N solution	QS mL

^a Preparation shown in the next table.

LACTOBIONIC ACID (12% W/V SOLUTION)**Bill of Materials (Batch Size 430 L)**

Scale/mL	Item	Material	Qty	UOM
120.00	mg	6	Lactobionic acid	51.660 kg
QS	mL	7	Water for injection	QS to 430.00 L

MANUFACTURING DIRECTIONS

- Sterilization of vials and stoppers. Sterilize the empty vials by dry heat by using a standard nominal cycle of 225°C for 270 minutes. Sterilize the lyophilization stoppers in an autoclave at 121°C for 60 minutes, followed by vacuum drying for 90 minutes.
- Preparation of lactobionic acid solution.
 - Transfer an appropriate volume of item 7 into a clean stainless-steel tank.
 - Add item 6 and mix to give a clear solution. Bring to volume with item 7.
 - Filter through a 0.22 µm filter into sterilized vessels. Sample.
 - Store solution between 2°C and 8°C. Use within 90 days.
- Preparation of process solution.
 - Transfer appropriate volume of item 2 into a clean stainless-steel tank. Cool to 0°C to 10°C.
 - Mix item 1, stirring slowly for 15 minutes.
 - Add item 4 solution cautiously so the pH does not fall to less than 4.8 at any time during the addition. Stir until the solution is clear.
 - Check pH and adjust to 5.3 (range 5.0–5.6) with either item 4 or 5. Add item 2 to volume.

- Filter the clarithromycin solution through a 0.22 µm or smaller pore-size filter into a clean storage container. Sample.
 - Maintain solution at (2–15°C) until ready for filling.
- Sterile filtration and filling.
 - Connect storage container to sterilized 0.22 µm or smaller pore-size filter. Test filter integrity.
 - Fill surge bottle with sterile-filtered solution and start filling. If the assay of the solution is outside action limits, calculate the fill volume to be delivered into each vial.
 - Perform final filter integrity test.
 - Apply lyophilization stoppers to filled vials and place on lyophilizer trays.
 - Lyophilization.
 - Transfer trays to lyophilizer.
 - Freeze product to –25°C or lower.
 - Cool condenser to –40°C or lower.
 - Reduce chamber pressure to 200 to 600 mmHg.
 - Raise shelf to 15°C to 25°C.
 - After sublimation of ice, raise shelf to 40°C to 50°C and reduce chamber pressure to minimum.
 - When lyophilization cycle is complete, release vacuum with filtered N₂.
 - Collapse the shelves to stopper the vials.
 - Apply over seals. Sample.

CLINDAMYCIN INJECTION IN 5% DEXTROSE**Bill of Materials (Batch Size 1 L)**

Scale/mL	Item	Material	Qty	UOM
300.00	mg	1	Clindamycin phosphate equivalent	300.00 g
50.00	mg	2	Dextrose anhydrous, USP	50.00 g
0.04	mg	3	Disodium edetate	0.04 g
QS	mL	4	Hydrochloric acid for pH adjustment	
QS	mL	5	Sodium hydroxide for pH adjustment	
QS	mL	6	Water for injection, USP	QS to 1.00 L

Note: Use 600 or 900 mg of item 1 for other concentrations.

CLINDAMYCIN PHOSPHATE INJECTION

150 MG/ML (4 ML IN 5 ML VIAL, 600 MG; 6 ML IN 10 ML VIAL, 900 MG)

Bill of Materials (Batch Size 1 L)

Scale/mL	Item	Material	Qty	UOM
150.00	mg	1	Clindamycin base, use clindamycin phosphate, USP, 5% excess	157.50 ^a g
0.50	mg	2	Disodium edetate anhydrous, use disodium edetate, USP (dihydrate)	0.554 g
9.45	mg	3	Benzyl alcohol, NF	9.45 g
QS	mg	4	Sodium hydroxide, reagent-grade pellets ^b	QS mL
QS	mL	5	Hydrochloric acid, reagent-grade bottles ^b	QS mL
QS	mL	6	Water for injection, USP	QS to 1.00 L

^a This value is multiplied by an appropriate lot-specific factor, which accounts for the phosphate moiety and bulk drug potency.

^b Used for pH adjustment.

MANUFACTURING DIRECTIONS

Note: The solution must be prepared in glass-lined or a 316 or higher temper-grade stainless-steel tank.

Allow adequate time for ingredient's dissolution between each drug or excipient step.

1. Preparation.
 - a. Collect ca. 45% of the batch size of item 6 in a stainless-steel tank.
 - b. With mixing, add item 3 and mix until solution is uniform.
 - c. Add and dissolve item 2. Mix until ingredient is dissolved and solution is uniform.
 - d. Slowly add ca. 20% of the total item 1 to the solution with continued mixing. Mix for not less than 15 minutes. Maintain a minimal vortex.
 - e. Slowly add one-half of the sodium hydroxide slurry to the solution. *Note:* Prepare sodium hydroxide slurry by dissolving 11 g of item 4 per liter of total batch size in a volume of item 6 equal to 5% of the total batch size.
 - f. Add slowly ca. 25% of the remaining total item 1 to the solution with mixing. Mix for not less than 10 minutes before proceeding.
 - g. Slowly add the remaining volume of the sodium hydroxide slurry from step 1e to the solution.
 - h. Slowly add the remaining item 1 to the solution with mixing. Mix for not less than 30 minutes

and until all ingredients are dissolved and solution is uniform. Make sure any ingredients that have accumulated on the sides of the tank and mixing shaft are dissolved into the solution.

- i. Check pH. Adjust pH to 6.4 (range 6.2–6.6) with a 10% sodium hydroxide solution or 1:10 hydrochloric acid (see note). Mix thoroughly between pH samplings. *Note:* A 10% sodium hydroxide solution is made mixing 100 g of item 4 with sufficient item 6 to make 1 L. A 1:10 hydrochloric acid solution is prepared by mixing 100 mL of item 5 with sufficient item 6 to make 1 L.
 - j. QS to final volume with item 6.
 - k. Check pH. If necessary, readjust to 6.4 (range 6.2–6.6) with 10% sodium hydroxide solution or 1:10 hydrochloric acid solution, both from step 1.
 1. Filter the solution through a previously rinsed filtration setup, using an approved 0.45 µm or finer membrane filter with an approved prefilter into a stainless-steel tank. Sample.
 - m. Prepare for sterilization a 0.22 µm or finer membrane filtration setup with a prefilter if needed.
2. Preparation of vials and stoppers. Use type I glass, treated, 13-mm 5 mL vials.
 - a. Wash and dry vials and load in appropriate containers for sterilization.
 - b. Sterilize by using dry heat to 200°C (–0°C, +50°C) glass temperature for 225 minutes (–0, +360 minutes).
 - c. Leach stoppers by boiling for 10 minutes in deionized water. Wash stoppers by using rubber cycle. Dry in a fast dryer at 55°C. Sterilize in a steam autoclave at 121°C for 60 minutes.
 - d. Fill. Sample.

CLONIDINE HYDROCHLORIDE INJECTION

Bill of Materials (Batch Size 1 L)

Scale/mL	Item	Material	Qty	UOM
0.10	mg	1	Clonidine hydrochloride	0.10 g
9.00	mg	2	Sodium chloride	9.00 g
QS	mL	3	Hydrochloric acid for pH adjustment	QS
QS	mL	4	Sodium hydroxide for pH adjustment	QS
QS	mL	5	Water for injection, USP,	QS to 1.00 L

Note: Adjust pH to 5 to 7 with item 3 or 4. Fill 10 mL; other concentrations include 1.0 mg or 5.0 mg of item 1.

CLOSADEL VETERINARY INJECTABLE SOLUTION (12–20 G/100 ML)

FORMULATION

- I. Cloisatel, 12.0–20.0 g.
- II. Kollidon 12 PF or Kollidon 17 PF [1], 9.0–12.0 g; sodium hydroxide, 50% in water, 2.5–3.0 g; propylene glycol [1], ca. 60 g.
- III. Sodium bisulfite, 0.01–0.04 g; water for injectables, ca. 20 g.

MANUFACTURING DIRECTIONS

Dissolve Cloisatel in solution II and add solution III. The sterilization can be done by heating (120°C, 20 minutes).

The function of Kollidon 12 PF or Kollidon 17 PF is to reduce strongly the local side effects (e.g., formation of edemas) and to increase the retention time in the tissue.

COAGULATION FACTOR VIIA (RECOMBINANT) FOR INJECTION

Bill of Materials (Batch Size 1000 Vials)

Scale/mL	Item	Material	Qty	UOM
1.20 ^a	mg	1	rFVIIa	1.20 g
5.84	mg	2	Sodium chloride	5.84 g
2.94	mg	3	Calcium chloride dihydrate	2.94 g
2.64	mg	4	Glycine	2.64 g
0.14	mg	5	Polysorbate 80	0.14 g
60.00	mg	6	Mannitol	60.00 g

^a 60 KIU; reconstitute with water for injection.

COAGULATION FACTOR IX (RECOMBINANT) FOR INJECTION

Bill of Materials (Batch Size 1 L)

Scale/mL	Item	Material	Qty	UOM
500	IU	1	Coagulation factor IX	500,000 IU
10.00	mM	2	L-Histidine	10.00 mM
1.00	%	3	Sucrose	1.00 %
260.00	mM	4	Glycine	260.00 mM
0.005	%	5	Polysorbate 80	0.005 %
QS	mL		Water for injection, USP,	QS to 1.00 L

Note: lyophilized product. After reconstitution gives above concentration.

COLISTIN SULFATE, NEOMYCIN SULFATE, THONZONIUM BROMIDE, AND HYDROCORTISONE ACETATE OTIC SUSPENSION

Bill of Materials (Batch Size 1 L)

Scale/mL	Item	Material	Qty	UOM
3.00	mg	1	Colistin base, use colistin sulfate equivalent	3.00 g
3.30	mg	2	Neomycin activity as sulfate	3.30 g
0.50	mg	3	Thonzonium bromide	0.50 g
10.00	mg	4	Hydrocortisone acetate	10.00 g
0.50	mg	5	Polysorbate 80	0.50 g
QS	mg	6	Sodium acetate for buffering	QS
QS	mg	7	Acetic acid for buffering	QS
0.02	mg	8	Thimerosal	0.02 g
QS	mL	9	Water for injection, USP	QS to 1.00 L

Note: Fill 10 mL into dropper bottle.

CONJUGATED ESTROGENS FOR INJECTION

Bill of Materials (Batch Size 1000 Vials)

Scale/mL	Item	Material	Qty	UOM
Lyophilized Vial				
25.00	mg	1	Conjugated estrogens	25.00 g
200.00	mg	2	Lactose	200.00 g
0.20	mg	3	Simethicone	0.20 g
QS	mL	4	Hydrochloric acid for pH adjustment	QS
QS	mL	5	Sodium hydroxide for pH adjustment	QS
Reconstitution Solution (5 mL)				
20.00	mg	1	Benzyl alcohol	20.00 g
QS	mL	2	Water for injection, USP	QS to 1.00 L

COPPER SULFATE ADDITIVE INJECTION (5 ML VIAL)

Bill of Materials (Batch Size 1 L)

Scale/mL	Item	Material	Qty	UOM
7.85	mg	1	Copper sulfate pentahydrate	7.85 g
QS	mL	2	Water for injection, USP	QS to 1.00 L
QS	mL	3	Sodium hydroxide for pH adjustment	QS
QS	mL	4	Sulfuric acid for pH adjustment	QS

COPPER SULFATE ADDITIVE INJECTION (10 ML VIAL)

Bill of Materials (Batch Size 1 L)

Scale/mL	Item	Material	Qty	UOM
1.57	mg	1	Copper sulfate pentahydrate	1.57 g
QS	mL	2	Water for injection, USP	QS to 1.00 L
QS	mL	3	Sodium hydroxide for pH adjustment	QS
QS	mL	4	Sulfuric acid for pH adjustment	QS

COPPER SULFATE ADDITIVE INJECTION (30 ML VIAL)

Bill of Materials (Batch Size 1 L)

Scale/mL	Item	Material	Qty	UOM
1.57	mg	1	Copper sulfate pentahydrate	1.57 g
0.90	%	2	Benzyl alcohol, NF	0.90 %
QS	mL	3	Water for injection, USP	QS to 1.00 L
QS	mL	4	Sodium hydroxide for pH adjustment	QS
QS	mL	5	Sulfuric acid for pH adjustment	QS

pH: 1.5 to 2.5

Assay by atomic absorption (85–115%). Packaging Commodity: type I glass vials, West Co. 1888 gray stoppers, West Co. flip-off aluminum seals.

CORTICORELIN OVINE TRIFLUTATE FOR INJECTION

Bill of Materials (Batch Size 1000 Vials)

Scale/mL	Item	Material	Qty	UOM
0.10	mg	1	Corticotropin ovine (as the trifluoroacetate)	0.10 g
10.00	mg	2	Lactose	10.00 g
26.00	mg	3	Cysteine hydrochloride monohydrate	26.00 g

CORTISONE ACETATE INJECTABLE SUSPENSION

Bill of Materials (Batch Size 1 L)

Scale/mL	Item	Material	Qty	UOM
50.00	mg	1	Cortisone acetate	50.00 g
9.00	mg	2	Sodium chloride	9.00 g
4.00	mg	3	Polysorbate 80	4.00 g
5.00	mg	4	Carboxymethylcellulose 2910	5.00 g
9.00	mg	5	Benzyl alcohol	9.00 g
QS	mL	6	Water for injection, USP	QS to 1.00 L

Note: Fill into 10 mL vials.

COSYNTROPIN FOR INJECTION

Bill of Materials (Batch Size 1000 Vials)

Scale/mL	Item	Material	Qty	UOM
Lyophilized Vial				
0.25	mg	1	Cosyntropin	0.25 g
Reconstitution Solution				
9.00	mg	1	Sodium chloride	9.00 g
QS	mL	2	Water for injection, USP	QS to 1.00 L

CROMOLYN SODIUM OPHTHALMIC SOLUTION**Bill of Materials (Batch Size 1 L)**

Scale/mL	Item	Material	Qty	UOM
40.00	mg	1 Cromolyn sodium	4.00	g
1.00	mg	2 Disodium edetate	1.00	g
0.10	mg	3 Benzalkonium chloride	0.10	g
QS	mL	4 Hydrochloric acid for pH adjustment		
QS	mL	5 Sodium hydroxide for pH adjustment		
QS	mL	6 Water for injection, USP	QS to 1.00	L

Note: Adjust pH to 4.0 to 7.0 with item 4 or 5. Fill into 10 mL dropper bottles.

CRUDE LIVER EXTRACT INJECTION**Bill of Materials (Batch Size 1 L)**

Scale/mL	Item	Material	Qty	UOM
2.00	mg	1 Crude liver extract (concentrate 20 mg/mL) to give B12 activity of 2 mg (limit 1.8–4.0 mg/mL)	2.00	mg
5.00	mg	2 Phenol, USP, as preservative	5.00	g
QS	mL	3 Water for injection	QS to 1.00	L

CYANOCOBALAMIN AND THIAMINE INJECTION**Bill of Materials (Batch Size 1 L)**

Scale/mL	Item	Material	Qty	UOM
100.00	mg	1 Thiamine HCl, USP	100.00	g
1.00	mg	2 Cyanocobalamin, USP	1.00	g
15.00	mg	3 Benzyl alcohol, NF	15.00	g
QS	mL	4 Water for injection, USP	QS to 1.00	L
QS	mL	5 Sodium hydroxide for pH adjustment	QS	

CYANOCOBALAMIN, CHOLINE, AND NIACINAMIDE INJECTION**Bill of Materials (Batch Size 1 L)**

Scale/mL	Item	Material	Qty	UOM
300.00	mg	1 Cyanocobalamin, USP	300.00	mg
100.00	mg	2 Choline chloride	100.00	mg
50.00	mg	3 Niacinamide, USP	50.00	g
15.00	mg	4 Benzyl alcohol, NF	15.00	g
QS	mL	5 Water for injection, USP	QS to 1.00	L
QS	mL	6 Glacial acetic acid for buffering	QS	
QS	mL	7 Sodium acetate for buffering; see item 6	QS	

CYANOCOBALAMIN INJECTION**Bill of Materials (Batch Size 1 L)**

Scale/mL	Item	Material	Qty	UOM
1.00	mg	1 Cyanocobalamin, USP, 20% excess	1.00	g
0.010	mL	2 Benzyl alcohol, NF	10.00	mL
7.50	mg	3 Sodium chloride, NF	7.50	g
3.00	mg	4 Sodium dihydrogen phosphate	3.00	g
QS	mL	5 Water for injection, USP	QS to 1.00	L
QS		6 Nitrogen gas, NF	QS	

MANUFACTURING DIRECTIONS

1. Use freshly boiled and cooled item 5, bubble item 6, and provide cover all the time.
2. Take 0.9 L of item 5 and dissolve items 1 to 4 in it, one at a time, and allowing complete dissolution.
3. Check pH 4.0 to 5.5; do not adjust pH.
4. Filter through a 0.45 μm prefilter and a 0.22 μm filter into a sterilized staging assembly.
5. Fill 10.0 mL into 10 mL amber type I vials presterilized (200°C for 4 h); use butyl or latex rubber stoppers previously disinfected and sterilized. Sterile-fill; do not autoclave.
6. Sample for complete testing.

CYANOCOBALAMIN INJECTION

Bill of Materials (Batch Size 1 L)					
Scale/mL	Item	Material	Qty	UOM	
0.6294	mg	1	Glacial acetic acid, USP	629.40	g
QS	–	2	Nitrogen gas, NF	QS	–
2.25	mg	3	Sodium acetate trihydrate, USP	2.25	g
8.00	mg	4	Sodium chloride, USP	8.00	g
QS	mg	5	Sodium hydroxide, reagent-grade pellets	QS	mg
0.115	mg	6	Vitamin B12 cyanocobalamin, USP, 15% excess	115.00	mg
QS	mL	7	Water for injection, USP	QS to 1.00	L

MANUFACTURING DIRECTIONS

Note: The product requires N₂ gas and light protection during solution preparation.

1. Preparation.
 - a. Add item 7 to ca. 75% of the final volume into glass-lined light-protected tank. Bubble-filter N₂ into item 7 for 10 minutes.
 - b. Add and dissolve items 4, 3, and 1 with mixing. Dissolve item 6 in approximately 25 mL of item 7 and add to other ingredients.
 - c. Check and adjust pH to 5 (range 4.5–5) with 0.1 N acetic acid solution or 0.1 N sodium hydroxide solution.
 - d. QS with item 7 to final volume. Sample.
 - e. Sterilize a 0.2- or 0.22 μm membrane filter with an approved prefilter.
 - f. Filter the solution through the sterilized filter unit into a sterile glass-lined holding container.
2. Preparation of ampoules. Wash and dry type 1, 1 mL sulfur-treated ampoules and sterilize by using dry heat at 245°C for at least 3 hours and 25 minutes to assure sterile, pyrogen-free bottles.
3. Filling.
 - a. Connect bulk solution container with an aseptic technique to the filling machine.
 - b. Aseptically fill solution into each clean, sterile ampoule.
 - c. Flush headspace of each ampoule with sterile-filtered N₂ and immediately seal.

CYANOCOBALAMIN INJECTION FOR VETERINARY USE

Bill of Materials (Batch Size 1 L)					
Scale/mL	Item	Material	Qty	UOM	
100.00 ^a	μg	1	Cyanocobalamin, USP	100.00 ^a	mg
9.00	mg	2	Sodium chloride, USP	9.00	g
1.50	%	3	Benzyl alcohol, NF	1.50	%
QS	mL	4	Water for injection, USP	QS to 1.00	L
QS	mL	5	Acetic acid for buffering	QS	mL
QS	mL	6	Sodium acetate for buffering	QS	mL

^a Adjust according to required strength; 1000, 3000, and 5000 μg for veterinary use.

CYANOCOBALAMIN REPOSITORY INJECTION (1000 MG/ML)

Bill of Materials (Batch Size 1 L)					
Scale/mL	Item	Material	Qty	UOM	
1000.00	μg	1	Cyanocobalamin, USP	1000.00	mg
9.00	mg	2	Sodium chloride, USP	9.00	g
1.50	%	3	Benzyl alcohol, NF	1.50	%
4.00	%	4	Gelatin, USP	4.00	%
QS	mL	5	Water for injection, USP	QS to 1.00	L
QS	mL	6	Acetic acid for buffering	QS	mL
QS	mL	7	Sodium acetate for buffering; see item 6	QS	mL

CYANOCOBALAMIN, PYRIDOXINE, AND THIAMINE INJECTION

Bill of Materials (Batch Size 1 L)

Scale/mL	Item	Material	Qty	UOM
33.33	mg	1	Thiamine HCl, 20% excess ^a	40.00 g
33.33	mg	2	Pyridoxine HCl, 20% excess ^b	40.00 g
0.33	mg	3	Cyanocobalamin crystalline, ^c 40% excess	0.47 g
10.00	mg	4	Benzyl alcohol	10.00 g
QS	mg	5	Sodium hydroxide ^d	QS mg
QS	mL	6	Hydrochloric acid, 1 N	QS mL
QS	mL	7	Water for injection,	QS to 1.00 L
QS	–	8	Nitrogen gas	QS –

^a Quantity of thiamine

$$\text{HCl} = 40 \times \frac{(100)}{100 - \% \text{moisture}} \times \frac{(100)}{\% \text{Assay on dry basis}} \text{ g}$$

^b Quantity of pyridoxine

$$\text{HCl} = 40 \times \frac{(100)}{100 - \% \text{moisture}} \times \frac{(100)}{\% \text{Assay on dry basis}} \text{ g}$$

^c Quantity of cyanocobalamin

$$= 0.47 \times \frac{(100)}{100 - \% \text{moisture}} \times \frac{(100)}{\% \text{Assay on dry basis}} \text{ g}$$

^d For pH adjustment, make 10% sodium hydroxide solution.

MANUFACTURING DIRECTIONS

1. Check item 7 to be used for solution preparation and verify that it meets conductivity (NMT 1.0 mS/cm) and pH (5.0–7.0).
2. Put 900 mL of item 7 into the preparation vessel and bubble N₂ gas to expel dissolved oxygen (O₂% Limit = NMT 1).
3. Add and dissolve item 4 into step 2 preparation vessel. Mix well with stirring to make clear solution. Then dissolve items 1 and 2 and make clear solution.
4. Put 9 mL of item 7 into flask, slowly add item 3, and make slurry of item 3.
5. Transfer item 3 slurry from step 4 to the solution, rinse the flask two or three times with item 7, and transfer to the above solution. Mix well till it becomes clear solution.
6. Check pH (range 3.5–4.0). Adjust pH if necessary with 10% NaOH solution or 1 N HCl solution.
7. After adjustment of the pH, make up volume to 1 L by adding item 7 and mix while bubbling N₂ gas until O₂% is less than 1. Check final pH (range 3.5–4.0). Sample.

8. Clean and sterilize filtration assembly before starting the primary filtration. Check the integrity of filter cartridge by the bubble point test.
9. Transfer the solution from the preparation vessel to mobile vessel through filtration assembly containing 0.45 μm filter cartridge.
10. Sterilize the ampoules by dry heat.
11. Before starting the final filtration, check the integrity of filter cartridge by the bubble point test.
12. Aseptically connect the N₂ line through sterile N₂ filter to the inlet of mobile vessel. Check the validity of N₂ filter.
13. Aseptically connect one end of previously sterilized filtration assembly with 0.22 μm pore-size filtration cartridge to the outlet of mobile vessel and other end to buffer holding tank on the ampoules filling machine parts. Filter the solution.
14. Fill solution from the bulk into each sterile dry clean ampoule and seal it. Perform the leak test.

CYANOCOBALAMIN, PYRIDOXINE, AND THIAMINE INJECTION

Bill of Materials (Batch Size 1 L)

Scale/mL	Item	Material	Qty	UOM
100.00	mg	1	Thiamine HCl, USP	100.00 g
100.00	mg	2	Pyridoxine HCl, USP	100.00 g
1000.00	mg	3	Cyanocobalamin, USP	1000.00 mg
15.00	mg	4	Benzyl alcohol, NF	15.00 mg
QS	mL	5	Water for injection, USP	QS to 1.00 L
QS	mL	6	Sodium hydroxide for pH adjustment	QS

CYANOCOBALAMIN, PYRIDOXINE, AND THIAMINE INJECTION

Bill of Materials (Batch Size 1 L)

Scale/mL	Item	Material	Qty	UOM
33.00	mg	1	Thiamine hydrochloride, USP (B ₁), 15% excess	38.00 g
33.00	mg	2	Pyridoxine (B ₆), 12% excess	36.97 g
0.333	mg	3	Cyanocobalamin (B ₁₂), 45% excess	0.45 g
1.80	mg	4	Methyl paraben sodium	1.80 g
0.20	mg	5	Propyl paraben sodium	0.20 g
4.80	mg	6	Disodium hydrogen phosphate	4.80 g
1.00	mg	7	Disodium edetate	1.00 g
0.015	mL	8	Thioglycerol	1.50 mL
0.10	mg	9	Ferric chloride	0.10 g
QS	mL	10	Water for injection, USP	QS to 1.00 L
QS		11	Nitrogen gas, NF	QS
QS	mL	12	Hydrochloric acid for pH adjustment	QS
QS	mL	13	Sodium hydroxide for pH adjustment	QS

MANUFACTURING DIRECTIONS

- Vitamin formulations are highly prone to degradation and are affected by exposure to light and air. As a general rule, these must be manufactured protecting them from light and providing continuous N₂ (or in some cases CO₂) cover.
- Use freshly distilled and freshly autoclaved (121°C for 30 minutes) item 10; bubble item 11 for 20 minutes.
- Add and dissolve items 4 and 5 in item 10 at 70°C; allow to cool.
- Add items 6, 7, and 8 and stir to dissolve.
- Add 1, 2, 3 to step 4, one at a time, and with complete solution stirring.
- Check pH to 3.8 to 4.0; adjust pH with item 12 or 13.
- Filter aseptically through a 0.45 μm prefilter and a 0.22 μm membrane filter into a staging sterilized vessel.
- Fill into sterilized (200°C for 4 hours) amber type I glass ampoule using pre-and post-item 11 flushing.
- Sample for complete testing.

CYANOCOBALAMIN, PYRIDOXINE, AND THIAMINE INJECTION

Bill of Materials (Batch Size 1 L)

Scale/mL	Item	Material	Qty	UOM
33.30	mg	1	Thiamine HCl, USP, ampoule grade, 20% excess	40.00 g
33.30	mg	2	Pyridoxine HCl, 20% excess	40.00 g
0.16	mg	3	Sodium formaldehyde sulfoxylate, NF	0.16 g
0.333	mg	4	Vitamin B ₁₂ (cyanocobalamin, USP), 40% excess	0.467 g
QS		5	Nitrogen gas, NF	QS
QS	mL	6	Water for injection, USP	QS to 1.00 L

MANUFACTURING DIRECTIONS

Note: The solution must be prepared in glass-lined or 316 stainless-steel tank cleaned according to approved plant BOPs. Use N₂ protection throughout.

- Preparation of solution.
 - Heat 800 mL water for injection to boiling.
 - Add and dissolve thiamine HCl, pyridoxine HCl, and sodium formaldehyde sulfoxylate.
 - Boil solution slowly for 15 minutes.
 - Dissolve vitamin B₁₂ in a small quantity of N₂-saturated water for injection and add to the thiamine-pyridoxine solution from step d.
 - Make up to 1 L with N₂-saturated water for injection.
 - Adjust pH to 3.8 to 4.2 with freshly prepared 10 N sodium hydroxide solution.
 - Filter solution through a previously rinsed filter using an approved 0.45 μm membrane and an approved prefilter.
 - Sample for testing.
 - Prepare a sterile 0.22 μm membrane filtration setup for the filling line.
- Preparation of ampoules. Use type I 3 mL glass ampoules.
 - Wash and dry ampoules and load into appropriate containers for sterilization.
 - Sterilize using dry heat at 200°C glass temperature for 225 minutes or equivalent cycle.
- Filling. *Caution:* Careful protection with N₂ is essential for stability.
 - Aseptically connect tank and sterile-filter setup. Fill specified amount into each clean, dry sterile ampoule.
 - Flush with sterile-filtered N₂ and seal.
 - Inspect. Sample for testing.

CYCLOSPORINE AMPOULES FOR INFUSION**Bill of Materials (Batch Size 1 L)**

Scale/mL	Item	Material	Qty	UOM
10.00 mg	1	Cyclosporine, USP	10.00	g
130.00 mg	2	Polyoxyethylated castor oil (Cremophor® EL)	130.00	g
32.90 %	3	Alcohol, USP (by volume)	32.90	%
QS	4	Nitrogen gas, NF	QS	

Note: This solution can be further diluted with 0.9% sodium chloride, USP, or 5% dextrose injection, USP.

MANUFACTURING DIRECTIONS

1. Dissolve item 1 in item 2 in a suitable vessel. Provide item cover throughout the process.
2. Add item 2 gradually and mix thoroughly.
3. Bring to volume with item 3; note that this is by volume preparation.
4. Filter through a prefilter of 0.45- and a 0.22 µm filter.
5. Fill 5 mL into each ampoule and sterilize.

CYTARABINE LIPOSOME INJECTION FOR INTRATHECAL USE (50 MG/5 ML VIAL)**Bill of Materials (Batch Size 1 L)**

Scale/mL	Item	Material	Qty	UOM
10.00 mg	1	Cytarabine	10.00	g
4.10 mg	2	Cholesterol	4.10	g
1.20 mg	3	Triolein	1.20	g
5.70 mg	4	Diioleoyphosphatidylcholine (DOPC)	5.70	g
1.00 mg	5	Dipalmitophosphatidylglycerol (DPPG)	1.00	g
QS	6	Water for injection, USP	QS to 1.00	L
0.90 %	7	Sodium chloride, USP	90.00	g
QS	8	Hydrochloric acid for pH adjustment	QS	
QS	9	Sodium hydroxide for pH adjustment	QS	
QS	10	Nitrogen gas, NF		

MANUFACTURING DIRECTIONS

1. This is a liposomal preparation, a suspension of cytarabine in normal saline. Do all manufacturing under item 10 cover.
2. Add and mix items 2 to 5 in a suitable vessel under item 10 cover. Add sufficient item 6 to make a fine dispersion.

3. Add fine cytarabine to step 2 and homogenize into liposomal structure.
4. Add item 7 and mix well.
5. Check and adjust pH (5.5–8.5).
6. Aseptically fill into 5 mL vial (for intrathecal use only).

CYTOMEGALOVIRUS IMMUNE GLOBULIN IV (HUMAN)**Bill of Materials (Batch Size 1 L)**

Scale/mL	Item	Material	Qty	UOM
50.00 mg	1	Immunoglobulin (IgG, traces of IgA and IgM)	40–60	g
50.00 mg	2	Sucrose, NF	50.00	g
10.00 mg	3	Albumin, NF	10.00	g
0.02–0.30 mEq	4	Sodium chloride	20–30	mEq
QS	5	Nitrogen gas, NF	QS	
QS	6	Water for injection, USP	QS to 1.00	L

Note: Item 1 is treated by a solvent–detergent inactivation process to remove viral load.

MANUFACTURING DIRECTIONS

1. Place adequate quantity of item 6 into a suitable vessel purged with item 5 for at least 20 minutes.
2. Add item 2 and mix well. Add item 4 and mix well (calculate equivalent amounts).
3. While stirring, add item 1 slowly to avoid foaming. Keep covered with item 5.
4. Filter through appropriate filter system and fill 10 or 50 mL into each vial aseptically.

DACARBAZINE INJECTION**Bill of Materials (Batch Size 1 L)**

Scale/mL	Item	Material	Qty	UOM
100.00 mg	1	Dacarbazine	100.00	g
6.00 mg	2	Citric acid anhydrous	6.00	g
5.00 mg	3	Mannitol	5.00	G
QS	4	Nitrogen gas, NF	QS	
QS	5	Hydrochloric acid for pH adjustment	QS	
QS	6	Sodium hydroxide for pH adjustment		
QS	7	Water for injection, USP	QS to 1.00	L

MANUFACTURING DIRECTIONS

Note: This is a light-sensitive product. Protect from light and provide N₂ cover throughout. The lyophilized powder is administered intravenously after reconstitution.

1. Add and dissolve items 2 and 3 in item 7 with item 4 cover.
2. Check and adjust pH to 3 to 4.
3. Add item 1 and dissolve.
4. Filter and fill either 1 or 2 mL and lyophilize.

DACLIZUMAB FOR INJECTION**Bill of Materials (Batch Size 1 L)**

Scale/mL	Item	Material	Qty	UOM
5.00	mg	1	Daclizumab	5.00 g
3.60	mg	2	Sodium phosphate monobasic monohydrate	3.60 g
11.00	mg	3	Sodium phosphate dibasic heptahydrate	11.00 g
4.60	mg	4	Sodium chloride, USP	4.60 g
0.20	mg	5	Polysorbate 80 (Tween®)	0.20 g
QS	mL	6	Water for injection, USP	QS to 1.00 L
QS	mL	7	Sodium hydroxide for pH adjustment	QS
QS	mL	8	Hydrochloric acid for pH adjustment	QS
QS	ft ³	9	Nitrogen gas, NF	QS

MANUFACTURING DIRECTIONS

1. Put approximately 0.8 L of item 6 into a suitable vessel; purge with item 9 for 20 minutes.
2. Add and dissolve items 2 and 3.
3. Add item 4 and dissolve to complete solution.
4. Add item 5 slowly to avoid frothing and mix well; do not overstir.
5. Add item 1 and stir to dissolve.
6. Check and adjust pH 6.9 (6.7–7.0)
7. Filter product and fill vials aseptically.

DACTINOMYCIN FOR INJECTION**Bill of Materials (Batch Size 1 L)**

Scale/mL	Item	Material	Qty	UOM
0.50	mg	1	Dactinomycin	0.50 g
20.00	mg	2	Mannitol	20.00 g
QS	mL	3	Water for injection, USP	QS to 1.00 L

Note: to be used after reconstitution for IV or regional perfusion.

MANUFACTURING DIRECTIONS

1. Place a suitable quantity of item 3 into a suitable vessel.
2. Add and dissolve item
3. Add item 1 and dissolve.
4. Filter product and fill vials.
5. Lyophilize.

DALTEPARIN SODIUM INJECTION**Bill of Materials (Batch Size 1 L)**

Scale/mL	Item	Material	Qty	UOM
64.00	mg	1	Dalteparin sodium (10000 antifactor Xa IU/mL)	64.00 g
0.90	%	2	Sodium chloride, NF	90.00 ^a mg
14.00	mL	3	Benzyl alcohol, NF ^b	14.00 g
QS	mL	4	Hydrochloric acid for pH adjustment	
QS	mL	5	Sodium hydroxide for pH adjustment	
QS	mL	6	Water for injection, USP	QS to 1.00 L

^a Adjust for content of sodium to isotonic. Dalteparin sodium is produced through controlled nitrous acid depolymerization of sodium heparin from porcine intestinal mucosa followed by a chromatographic purification process. It is composed of strongly acidic sulfated polysaccharide chains (oligosaccharide, containing 2,5-anhydro-D-mannitol residues as end groups) with an average molecular weight of 5000 and about 90% of the material in the 2000–9000 range.

MANUFACTURING DIRECTIONS

1. Take appropriate quantity of item 6 and dissolve item 2 (calculate amount) and item 1 in it. (Optionally, add item 3 for multiple-dose vials.)
2. Check and adjust pH to 5.0 to 7.5 with item 4 or 5.
3. Bring to volume.
4. Filter and fill 0.1 mL (2500 IU) or 0.2 mL (5000 IU) into syringes or 9.5 mL into vial (95000 IU) aseptically.

DANAPAROID SODIUM INJECTION**Bill of Materials (Batch Size 1 L)**

Scale/mL	Item	Material	Qty	UOM
1,250	U	1	Danaparoid sodium (anti-Xa units)	1250,000 U
0.15	%	2	Sodium sulfite	0.15 %
QS	mL	3	Hydrochloric acid for pH adjustment	QS
QS	mL	4	Sodium hydroxide for pH adjustment	QS
QS	mL	5	Water for injection, USP	QS to 1.00 L
QS	ft ³	6	Nitrogen gas, NF	QS

MANUFACTURING DIRECTIONS

1. Place appropriate amount of item 5 into a stainless-steel vessel and purge with item 6.
2. Add and dissolve item 2 under cover of item 6.
3. Add item 1 and dissolve completely.
4. Check and adjust pH to 7.0 (range 6.9–7.1).
5. Filter and fill aseptically into syringes (0.6 mL) or ampoule (0.6 mL); each unit containing 750 anti-Xa units.

DANTROLENE SODIUM FOR INJECTION**Bill of Materials (Batch Size 1 L)**

Scale/mL	Item	Material	Qty	UOM
0.281	mg	1	Dantrolene sodium	0.281 g
42.85	mg	2	Mannitol	42.85 g
QS	mL	3	Sodium hydroxide for pH adjustment	QS
QS	ft ³	4	Nitrogen gas, NF	QS
QS	mL	5	Water for injection, USP	QS to 1.00 L

MANUFACTURING DIRECTIONS

1. Add sufficient quantity of item 5 to a stainless-steel tank. Purge with item 4 for not less than 20 minutes.
2. Add and dissolve item 2.
3. Add item 1 and stir to dissolve.
4. Check and adjust pH with item 3 to 9.5 (range 9.4–9.6).
5. Filter and fill 70 mL (to give 20 mg of dantrolene sodium and 3000 g of mannitol) into each vial and lyophilize.

**DAPIPIRAZOLE HYDROCHLORIDE
OPHTHALMIC SOLUTION (0.5%)****Bill of Materials (Batch Size 1 L)**

Scale/mL	Item	Material	Qty	UOM
5.00	mg	1	Dapiprazole hydrochloride	5.00 g
QS	mL	2	Water for injection, USP	QS to 1.00 L

MANUFACTURING DIRECTIONS

1. Add item 1 to item 2 and dissolve.
2. Fill 5 mL into 10 mL vials and lyophilize.
3. Dispense with 5 mL diluent (water for injection) and a dropper for dispensing.

DAUNORUBICIN HCL INJECTION**Bill of Materials (Batch Size 1 L)**

Scale/mL	Item	Material	Qty	UOM
5.00	mg	1	Daunorubicin, use daunorubicin hydrochloride	5.35 g
25.00	mg	2	Mannitol, USP	25.00 g
QS	mL	3	Water for injection, USP	QS to 1.00 L
QS	ft ³	4	Nitrogen gas, NF	QS

MANUFACTURING DIRECTIONS

1. Add and dissolve item 2 to appropriate quantity of item 3 under cover of item 4.
2. Add and dissolve item 1.
3. Filter and fill 4 mL into 5 mL vials (equivalent to 20 mg of daunorubicin and 100 mg of mannitol) and lyophilize.
4. Dispense with water for injection for reconstitution (4 mL) to give activity of 5 mg daunorubicin/mL.

DAUNORUBICIN CITRATE LIPOSOME INJECTION**Bill of Materials (Batch Size 1 L)**

Scale/mL	Item	Material	Qty	UOM
2.00	mg	1	Daunorubicin base, use daunorubicin citrate	2.72 g
28.16	mg	2	Distearoylphosphatidylcholine	28.16 g
6.72	mg	3	Cholesterol	6.72 g
85.00	mg	4	Sucrose, NF	85.00 g
18.80	mg	5	Glycine	18.80 g
0.28	mg	6	Calcium chloride dihydrate	0.28 g
QS	mL	7	Water for injection, USP	QS to 1.00 L
QS	mL	8	Sodium hydroxide for pH adjustment	QS
QS	mL	9	Hydrochloric acid for pH adjustment	QS
QS	ft ³	10	Nitrogen gas, NF	QS

MANUFACTURING DIRECTIONS

1. To 0.9 L of item 7 in a suitable stainless-steel vessel, purge item 10 for 20 minutes.
2. Add items 4, 5, and 6; stir to dissolve.
3. Check and adjust pH with item 8 or 9 to between 4.9 and 6.0.
4. In a separate container, add items 2 and 3 and mix rapidly.
5. Add item 1 and homogenize.
6. Add the lipid solution to the aqueous phase with rapid mixing.
7. Check and adjust pH again to 4.9 to 6.0.
8. Filter and fill 25 mL in each vial.

The lipid to drug weight ratio is 18.7:1 (total lipid:base), equivalent to a 10:5:1 molar ratio of distearoylphosphatidylcholine:cholesterol:daunorubicin. Each vial (25 mL) contains daunorubicin citrate equivalent to 50 mg of daunorubicin base, encapsulated in liposomes consisting of 704 mg distearoylphosphatidylcholine and 168 mg cholesterol. The liposomes encapsulating daunorubicin are dispersed in an aqueous medium containing 2125 mg sucrose, 94 mg glycine, and 7 mg calcium chloride dihydrate in a total volume of 25 mL.

DESMOPRESSIN ACETATE INJECTION (INTRANASAL)**Bill of Materials (Batch Size 1 L)**

Scale/mL	Item	Material	Qty	UOM
4.00	µg	1	Desmopressin acetate	4.00 mg
9.00	mg	2	Sodium chloride	9.00 mg
QS	mL	3	Hydrochloric acid for pH adjustment	QS
QS	mL	4	Water for injection, USP	QS to 1.00 L

Note: For multidose vial (10 mL fill) or for intranasal drops, use, additionally, chlorobutanol 5.0 mg/mL. Adjust pH to 4.0 with item 3.

DEXAMETHASONE ACETATE SUSPENSION INJECTION: DEXAMETHASONE ACETATE (8 MG/ML)**Bill of Materials (Batch Size 1 L)**

Scale/mL	Item	Material	Qty	UOM
8.00	mg	1	Dexamethasone acetate equivalent to dexamethasone	8.00 g
1.00	mg	2	Sodium bisulfite, USP	1.00 g
0.75	mg	3	Sodium chloride, USP	0.75 g
5.00	mg	4	Carboxymethylcellulose sodium, USP	5.00 g
5.00	mg	5	Creatinine	5.00 g
0.50	mg	6	Disodium edetate	0.50 g
0.90	%	7	Benzyl alcohol, NF	0.90 %
QS	mL	8	Water for injection	QS to 1.00 L

DEXAMETHASONE ACETATE/SODIUM PHOSPHATE SUSPENSION (8/2 MG/ML)

Bill of Materials (Batch Size 1 L)					
Scale/mL	Item	Material	Qty	UOM	
8.00	mg	1	Dexamethasone acetate	8.00	g
2.00	mg	2	Dexamethasone sodium phosphate, USP	2.00	g
0.75	mg	3	Polysorbate 80, USP	0.75	g
6.67	mg	4	Sodium chloride, USP	6.67	g
5.00	mg	5	Carboxymethylcellulose sodium, USP	5.00	g
0.50	mg	6	Disodium edetate	0.50	g
1.00	mg	7	Sodium bisulfite, USP	1.00	g
5.00	mg	8	Creatinine	5.00	g
0.90	%	9	Benzyl alcohol, NF	0.90	%
QS	mL	10	Water for injection, USP	QS to 1.00	L
QS	mL	11	Acetic acid for buffering	QS	mL
QS	mL	12	Sodium acetate for buffering	QS	mL

DEXAMETHASONE SODIUM PHOSPHATE INJECTION

Bill of Materials (Batch Size 1 L)					
Scale/mL	Item	Material	Qty	UOM	
24.00	mg	1	Dexamethasone sodium phosphate, USP, equivalent to dexamethasone phosphate	24.00	g
10.00	mg	2	Sodium citrate, USP	10.00	g
1.00	mg	3	Sodium bisulfite, USP	1.00	g
1.50	mg	4	Methyl paraben, USP	1.50	g
0.20	mg	5	Propyl paraben, USP	0.20	g
8.00	mg	6	Creatinine	8.00	g
0.50	mg	7	Disodium edetate	0.50	g
QS	mL	8	Water for injection, USP	QS to 1.00	L
QS	mL	9	Sodium hydroxide for pH adjustment	QS	mL

DEXAMETHASONE SODIUM PHOSPHATE INJECTION

Bill of Materials (Batch Size 1 L)					
Scale/mL	Item	Material	Qty	UOM	
4.00	mg	1	Dexamethasone phosphate, use dexamethasone sodium phosphate, USP	4.40	g
8.00	mg	2	Creatinine	8.00	g
10.00	mg	3	Sodium citrate, USP, dihydrate powder	10.00	g
1.00	mg	4	Sodium metabisulfite, NF	1.00	g
1.50	mg	5	Methyl paraben, NF (Aseptiform M) powder	1.50	g
0.20	mg	6	Propyl paraben, NF (Aseptiform P) powder	0.20	g
QS	mg	7	Sodium hydroxide ^a	QS	mg
QS	mL	8	Water for injection, USP	QS to 1.00	L
QS		9	Nitrogen gas, NF	QS	–

^a Use for pH adjustment only.

MANUFACTURING DIRECTIONS

- Preparation of solution. *Note:* Use N₂ protection throughout process.
 - Heat 80% of final volume of item 8 to boiling.
 - Dissolve items 5 and 6 in step a with N₂ flushing.
 - Discontinue heating and allow solution to cool to room temperature slowly while bubbling N₂ through solution.
 - Add and dissolve items 1 to 4 in step c with continuous N₂ flushing.
 - Check pH (range 7.0-8.5). Adjust pH to 8.0 if necessary, using freshly prepared 10% sodium hydroxide solution. Sample.
 - QS to final volume with N₂-saturated item 8.
 - Filter solution through a previously rinsed filtration setup, using a 0.45 µm or finer membrane and a prefilter.
 - Prepare for the filling line a sterile 0.22 µm membrane filtration setup.
- Preparation of ampoules. Use type 11 mL glass ampoules. Wash and dry ampoules and sterilize by using dry heat at 200°C (–0, +50°C) glass temperature, for 225 minutes (–0, +360 minutes). This cycle or another cycle providing equivalent heat input may be used.
- Filling. *Note:* Careful protection with N₂ is essential for stability.

- Aseptically connect tank and sterile filter setup.
- Fill specified amount into each clean, dry sterile ampoule. Sample.
- Flush with sterile-filtered N₂ and seal. Sample.

DEXAMETHASONE INJECTION, VETERINARY

Bill of Materials (Batch Size 1 L)

Scale/mL	Item	Material	Qty	UOM
2.00	mg	1 Dexamethasone, USP	2.00	g
1.80	mg	2 Methyl paraben, USP	1.80	g
0.20	mg	3 Propyl paraben, USP	0.20	g
0.18	mg	4 Benzyl alcohol, NF	0.18	g
0.05	mL	5 Ethyl alcohol, USP	0.05	g
50.00	%	6 Polyethylene glycol 400, USP	50.00	%
QS	mL	7 Water for injection	QS to 1.00	L

DEXAMETHASONE SODIUM PHOSPHATE INJECTION

Bill of Materials (Batch Size 1 L)

Scale/mL	Item	Material	Qty	UOM
4.00	mg	1 Dexamethasone, as dexamethasone sodium phosphate	5.20	g
8.00	mg	2 Creatinine	8.00	g
10.00	mg	3 Sodium metabisulfite	10.00	g
1.00	mg	4 Disodium edetate	1.00	g
10.00	mg	5 Sodium citrate	10.00	g
0.18	%	6 Methyl paraben sodium	1.80	g
0.02	%	7 Propyl paraben sodium	0.20	g
0.02	mL	8 Propylene glycol	20.00	mL
QS	mL	9 Water for injection, USP	QS to 1.00	L
0.030	g	10 Sodium hydroxide, NF, for pH adjustment	3.00	g
QS		11 Nitrogen gas, NF	QS	

MANUFACTURING DIRECTIONS

- Autoclave item 9 at 121°C for 30 minutes and use this throughout manufacture.
- Heat 0.2 L of item 9 to 80°C and dissolve items 6 and 7 in it.
- In a separate vessel, dissolve item 5 in 0.1 L of item 9.
- In a separate vessel, dissolve items 3 and 4 in 0.1 L of item 9.
- Add contents of steps 2 and 3 into step 1, mix thoroughly, and then add item 8 with mixing.
- Add and dissolve item 10 in 0.4 L of item 9 and add to step 5.
- Make up the volume to 0.99 L.
- Filter the solution in step 6, using a presterilized assembly and a 0.45 µm prefilter and a 0.22 µm filter into a sterile vessel.
- Autoclave solution in step 7 at 121°C for 20 minutes.
- On cooling to room temperature, add items 1 and 2 to step 8 and mix.
- Check pH and adjust to between 7.5 and 8.5 with 4 N presterilized sodium hydroxide solution.
- Make up the volume to 1 L with item 9.
- Filter through presterilized assembly, using a 0.45 µm prefilter and a 0.22 µm filter into a staging sterilized vessel.
- Fill 2.1 mL into presterilized type I flint vials with pre- and postflush with item 11. Use neoprene rubber stoppers sterilized by autoclaving at 121°C for 20 minutes.
- Fill under aseptic conditions.

DEXPANTHENOL, NIACINAMIDE, PYRIDOXINE, RIBOFLAVIN, AND THIAMINE INJECTION

Bill of Materials (Batch Size 1 L)

Scale/mL	Item	Material	Qty	UOM
100.00	mg	1	Thiamine hydrochloride, USP, ampoule powder 200 mesh, 45% excess	145.50 g
5.00	mg	2	Pyridoxine hydrochloride, USP, 5% excess	5.25 g
9.00	mg	3	Benzyl alcohol, NF, 5% manufacturing excess	9.45 g
0.875	mg	4	Sodium formaldehyde sulfoxylate, NF ^a	875.00 mg
75.00	mg	5	Niacinamide, USP, powder for ampoule, 20% excess	90.00 g
1.00	%	6	Charcoal activated, USP ^b	900.00 mg
2.00	mg	7	Riboflavin, use riboflavin-5'-phosphate sodium, USP, 15% excess ^c	3.15 g
2.740	mg			
5.00	mg	8	D-Pantothenyl alcohol (dexpanthenol, FCC), 10% excess	5.50 g
QS	–	9	Carbon dioxide gas, technical	QS –
QS	mg	10	Hydrochloric acid, reagent-grade bottles ^d	QS mL
QS	mL	11	Water for injection, USP	QS to 1.00 L

^a Sodium formaldehyde sulfoxylate is calculated to be ca. 0.0092% concentration in volume during first aging.

^b Charcoal is calculated at 1% w/w of niacinamide.

^c Riboflavin-5'-phosphate sodium is calculated at 73% riboflavin.

^d Used for pH adjustment only.

MANUFACTURING DIRECTIONS

Note: Protect solution from light and oxidation. Sodium formaldehyde sulfoxylate precipitates out metallic impurities and also acts as an antioxidant.

1. Take a sample from the water for injection and verify that it has NMT 3.0 (iS conductivity) and pH 5.0 to 7.0.
2. Boil 1.5 L of item 11 for 5 minutes in a jacketed pressure vessel. Cool to ambient temperature with continuous bubbling of CO₂ gas, and continue purging the headspace with CO₂ until the water has been used in manufacture.
3. Transfer 250 mL of the CO₂-saturated water to a suitable glass or stainless-steel vessel. Purge vessel with CO₂ for the remainder of the process.
4. To the water from step 3, add and dissolve items 1, 2, and 3.
5. Dissolve item 4 in 20 mL of CO₂-saturated item 11 and add to the solution in step 4.
6. Dissolve item 5 in 200 mL of CO₂-saturated water and add to step 5.
7. Dissolve item 7 in 125 mL of CO₂-saturated water and add to step 6. Rinse the container with two 10 mL portions of the CO₂-saturated water and add to the solution.
8. Dissolve item 8 in 25 mL of CO₂-saturated water, warmed to 30°C to 40°C, cool and add to step 7. Rinse the container with two 10 mL portions of the CO₂-saturated water and add to the solution.
9. Add item 6 and mix under CO₂ gas protection using a stirrer for 1 hour.
10. Filter solution through a previously rinsed prefilter assembly and recirculate for at least 30 minutes until solution is clear of charcoal. Filter into another glass-lined or 316 stainless-steel tank.
11. Make up to a volume of 950 mL with CO₂-saturated water.
12. Check pH (range 3.3–3.7). Adjust the pH to 3.5, if necessary, with concentrated hydrochloric acid. Age for 2 days under CO₂ gas protection.
13. Check pH (range 3.3–3.7). Adjust the pH to 3.5, if necessary, with concentrated hydrochloric acid or 10 M sodium hydroxide solution.

14. Make up to 1 L with CO₂-saturated water. Sample.
15. Filter solution through a previously rinsed filtration setup using an approved 0.45 μm or finer membrane and an approved prefilter into a glass-lined or 316 stainless-steel holding tank and seal under CO₂ protection. Perform the bubble point test on the membrane before and after filtration.
16. Prepare for sterilization an approved 0.22 μm membrane and prefilter.
17. Preparation of containers. Use type I 1 mL glass ampoules, washed and dried, if not sealed type, and sterilized using dry heat at 200°C (–0, +50°C). Maintain oven temperature for 225 minutes (–0, +360 minutes). Maintain oven temperature at 225°C (±10°C) for duration of the cycle.
18. Connect tank, sterile filtration setup, and a sterile surge bottle by using aseptic technique.
19. Aseptically fill solution into each clean, dry sterile ampoule. Displace headspace air with sterile-filtered CO₂ gas and seal the ampoules. Sample.

DEXRAZOXANE FOR INJECTION

Bill of Materials (Batch Size 1 L)

Scale/mL	Item	Material	Qty	UOM	
10.00	mg	1	Dexrazoxane	10.00	g
0.167	M	2	Sodium lactate	0.167	M
QS	mL	3	Hydrochloric acid for pH adjustment	QS	
QS	mL	4	Water for injection, USP	QS to 1.00	L

MANUFACTURING DIRECTIONS

1. In a suitable quantity of item 4, add and mix item 2.
2. Add and dissolve item 1.
3. Bring volume up to 0.98 L.
4. Check and adjust pH to 3.5 to 5.5 with item 3.
5. Make up volume.
6. Filter through 0.22 μm membrane filter and fill into vials (25 mL for a 250 mg dose and 50 mL for a 500 mg dose) to lyophilize.

DEXTROSE 25% INJECTION (FLEXIBLE CONTAINER)

Bill of Materials (Batch Size 102 L)

Scale/mL	Item	Material	Qty	UOM	
245.00	mg	1	Dextrose anhydrous, USP, or dextrose, USP, powder hydrous or dextrose monohydrate, BP, for parenteral use	25.00	kg
269.31	mg			27.47	kg
269.31	mg			27.47	kg
QS	mg	2	Carbon activated (Darco Powder G-60) or charcoal activated, USP	QS	g
QS	mL	3	Water for injection, BP	QS to 102.00	L

Note: Water is added to 102 L to allow for losses during storage. Use of carbon is optional.

MANUFACTURING DIRECTIONS

1. Check that item 3 meets conductivity (NMT 3 μS) and pH (5–7) requirements. Note temperature. Add item 3 to tank to ca. 70% of final volume, dissolve item 1 with mixing, and add item 3 to make up final volume. Check pH (4.0–6.5). Sample.
2. Filter through carbon pre-coated Sparkler or Niagara prefilter or equivalent until clear; filter through 0.45 μm or finer filter. Test filters by the bubble point test.
3. Fill into clean containers. Sample.
4. Sterilize. Sample.

DEXTROSE INJECTION (5% AND 10% LVP)

Bill of Materials (Batch Size 1 L)

Scale/mL	Item	Material	Qty	UOM	
50.00	mg	1	Dextrose anhydrous, USP, 5% excess	52.50	g
0.15	mg	2	Activated charcoal, NF	0.15	g
QS	mL	3	Water for injection, USP	QS to 1.00	L

Note: For 10% strength, increase the quantity of item 1 accordingly; other items remain the same.

MANUFACTURING DIRECTIONS

1. Use freshly prepared item 3 stored for NMT 24 hours at 80°C. Add item 1 to item 3 at 60°C and mix for 15 minutes.
2. Add item 2 and mix vigorously for 15 minutes.

- Filter the mixture in step 2 through a presterilized filter assembled suitable for retaining charcoal and to yield a clean solution.
- Filter by using at least a 0.45 μm filter before final filtration with 0.22 μm filter and fill into 540 mL type I glass bottles.
- Fill 540 mL while maintaining solution at 45°C to 50°C and seal immediately by using butyl gray rubber stoppers prewashed and sterilized at 116°C for 30 minutes. Use triple aluminum seals and suitable plastic hangers.
- Sterilize filled bottle by autoclaving at 121°C for 20 minutes. Do not exceed temperature by 3°C or time by 2 minutes either side of the limit. The autoclaving cycle should be fully validated to prevent excess 5-hydroxy methyl furfural test limits of USP.
- Check pH of solution (4.0–4.3); before autoclaving, pH is 5.5 to 6.5.

DEXTROSE WITH SODIUM CHLORIDE INJECTION LVP

Bill of Materials (Batch Size 1 L)

Scale/mL	Item	Material	Qty	UOM
50.00	mg	1	Dextrose anhydrous, USP, 10% excess	55.00 g
9.00	mg	2	Sodium chloride, USP, 4% excess	9.33 g
0.50	mg	3	Activated charcoal, NF	500 mg
QS	mL	4	Water for injection, USP	QS to 1.00 L

MANUFACTURING DIRECTIONS

- Use freshly prepared item 3 stored NMT 24 hours at 80°C. Add item 1 to item 3 at 60°C and mix for 15 minutes.
- Add items 2 and 3 and mix vigorously for 15 minutes.
- Filter the mixture in step 2 through a presterilized filter assembled suitable for retaining charcoal and to yield a clean solution.
- Filter using at least through a 0.45 μm filter before final filtration with 0.22 μm filter and fill into 540 mL type I glass bottles.
- Fill 540 mL while maintaining solution at 45°C to 50°C and seal immediately by using butyl gray rubber stoppers prewashed and sterilized at 116°C for 30 minutes; use triple aluminum seals and suitable plastic hangers.
- Sterilize filled bottle by autoclaving at 121°C for 20 minutes; do not exceed temperature by 3°C or time by 2 minutes either side of the limit. The autoclaving cycle should be fully validated to prevent excess 5-hydroxy methyl furfural test limits of USP.

- Check pH of solution (4.0–4.3); before autoclaving, pH is 5.5 to 6.5.

DIAZEPAM INJECTABLE SOLUTION (2.5 MG/ML)

FORMULATION

- Diazepam, 0.25 g; Solutol HS 15 [1], 4.00 g; lecithin, 4.00 g.
- Water for injectables, add 100 mL; preservative, QS.

MANUFACTURING

- Heat mixture 1 to 60°C to 70°C, stir well, and add very slowly the hot solution 2.

DIAZEPAM EMULSION INJECTION

Bill of Materials (Batch Size 1 L)

Scale/mL	Item	Material	Qty	UOM
5.00	mg	1	Diazepam	5.00 g
100.00	mg	2	Ethyl ester, animal/vegetable fat	100.00 g
12.00	mg	3	Phospholipid from eggs	12.00 g
22.50	mg	4	Glycerol	22.50 g
QS	mL	5	Sodium hydroxide for pH adjustment	QS
QS	mL	6	Water for injection, USP	QS to 1.00 L

MANUFACTURING DIRECTIONS

- Dissolve item 1 in item 2.
- Add item 3 to solution in step 1 and mix well.
- In sufficient quantity of item 6, dissolve item 4.
- Check and adjust pH of solution in step 3 to 7.0 to 10.5 with item 5.
- Add solution of step 4 into step 3 and mix rapidly. Pass through homogenizer to make emulsion.
- Fill vials and sterilize by autoclaving at 120°C for 17 minutes.

DIAZEPAM EMULSION INJECTION

Bill of Materials (Batch Size 1 L)					
Scale/mL	Item	Material	Qty	UOM	
5.00	mg	1 Diazepam	5.00	g	
120.00	mg	2 Egg lecithin	120.00	g	
80.00	mg	3 Sodium glycolate	80.00	g	
30.00	mL	4 Alcohol, USP (evaporated in processing)	30.00	L	
QS	ft ³	5 Nitrogen gas, NF	QS		
QS	mL	6 Phosphate buffer solution (pH 7) 1/15	QS to 1.00	L	
12.00	mg	7 Sodium ascorbate	12.00	g	

MANUFACTURING DIRECTIONS

1. Dissolve items 1, 2, and 3 in item 4 in a flask.
2. Evaporate item 4 in rotary evaporator under vacuum at 35°C. This yields a lipid film in the flask.
3. Make up the volume with item 6, which had been purged with item 5 for 20 minutes in a separate vessel. The micelles are formed spontaneously at room temperature.
4. Add item 7 and dissolve.
5. Filter the solution aseptically into ampoules.

DIAZEPAM INJECTION

Bill of Materials (Batch Size 1 L)					
Scale/mL	Item	Material	Qty	UOM	
5.00	mg	1 Diazepam, USP	5.00	g	
1.00	mg	2 Benzoic acid	1.00	g	
100.00	mg	3 Alcohol absolute, USP	100.00	g	
400.00	mg	4 Propylene glycol	400.00	g	
49.00	mg	5 Sodium benzoate	49.00	g	
15.00	mg	6 Benzyl alcohol	15.00	g	
QS	mL	7 Water for injection, USP	QS to 1.00	L	
QS	cy	8 Nitrogen gas, NF	QS	cy	
QS	mL	9 Sodium hydroxide for pH adjustment	QS	mL	
QS	mL	10 Hydrochloric acid for pH adjustment	QS	mL	

MANUFACTURING DIRECTIONS

Note: The following operations must be carried out under aseptic conditions. All containers and filters must be sterilized. The equipment that cannot be sterilized must be washed with 3% solution of benzyl alcohol and rinsed with sterilized

water. Protect the solution from light. If directions are not followed strictly, diazepam may crystallize out.

1. Add item 2 and item 1 to item 3 previously heated to 30°C to 35°C and stir to complete solution.
2. Separately dissolve item 4 in item 6.
3. Separately dissolve item 5 in the first portion of item 7. Let item 8 bubble through the solution for 30 minutes and then filter.
4. Pool together solutions of steps 1 and 2. Cautiously add solution in step 3 with stirring.
5. Bring to volume with item 7. Mix and let item 8 bubble through the solution for 30 minutes.
6. Check and adjust pH to 6.5 to 7.2 with item 9 or 10.
7. Filter the solution through a 0.15 µm Sartorius filter and collect filtrate in a glass container.
8. Fill into ampoules under N₂ atmosphere through a 0.22 µm filter.

DIAZEPAM RECTAL SOLUTION

Bill of Materials (Batch Size 1 L)					
Scale/mL	Item	Material	Qty	UOM	
4.00	mg	1 Diazepam, USP	4.00	g	
1.00	mg	2 Benzoic acid	1.00	g	
100.00	mg	3 Alcohol absolute, USP	100.00	g	
400.00	mg	4 Propylene glycol	400.00	g	
49.00	mg	5 Sodium benzoate	49.00	g	
15.00	mg	6 Benzyl alcohol	15.00	g	
QS	mL	7 Water for injection, USP	QS to 1.00	L	
QS	cy	8 Nitrogen gas, NF	QS	cy	
QS	mL	9 Sodium hydroxide for pH adjustment	QS	mL	
QS	mL	10 Hydrochloric acid for pH adjustment	QS	mL	

MANUFACTURING DIRECTIONS

Note: The following operations must be carried out under aseptic conditions. All containers and filters must be sterilized. The equipment that cannot be sterilized must be washed with 3% solution of benzyl alcohol and rinsed with sterilized water. Protect the solution from light. If directions are not followed strictly, diazepam may crystallize out.

1. Add items 1 and 2 to item 3 previously heated to 30°C to 35°C and stir to complete solution.
2. Separately dissolve item 4 in item 6.
3. Separately dissolve item 5 in the first portion of item 7 and filter through 0.6 µm Millipore® filter.
4. Pool together solutions from steps 1 and 2. Cautiously add solution in step 3 with stirring.
5. Bring to volume with item 7.

6. Check and adjust pH to 6.5 to 7.2 with item 9 or 10.
7. Filter the solution through a 0.22 μm filter and collect filtrate in a glass container.
8. Fill into rectal tubes (2.9 mL fill volume; label 2.5 mL).

DIBENZAZEPINE CARBOXAMIDE INJECTION

Bill of Materials (Batch Size 1 L)

Scale/mL	Item	Material	Qty	UOM
2.50	mg	1	5H-dibenz(b,f)azepine-5-carboxamide	2.50
47.50	mg	2	Glucose anhydrous for injection	47.50 g
QS	ft ³	3	Nitrogen gas, NF	QS
QS	mL	4	Water for injection, USP	QS to 1.00 L

MANUFACTURING DIRECTIONS

1. Dissolve item 1 under a blanket of item 3 in a suitable quantity of item 4 with stirring at 60°C to 80°C.
2. After cooling to room temperature, add item 2 and dissolve by stirring under item 3 purging.
3. Make up the volume.
4. Filter with a 0.22 μm membrane filter.
5. Fill into type I flint glass vials.
6. Sterilize by autoclaving at 121°C for 15 minutes.

DICLOFENAC INJECTABLE SOLUTION (75 MG/3 ML)

FORMULATION

Diclofenac sodium, 7.5 g; propylene glycol [1], 50.0 g; Kollidon 17 PF [1], 5.0 g; benzyl alcohol, 12.0 g; water for injectables, to 300 mL.

MANUFACTURING DIRECTIONS

1. Dissolve Kollidon 17 PF in the mixture of propylene glycol, benzyl alcohol, and water and add diclofenac sodium and stir until a clear solution is obtained.
2. The sterilization could be done by aseptic filtration (0.2 μm).

DICLOFENAC SODIUM INJECTION

Bill of Materials (Batch Size 1 L)

Scale/mL	Item	Material	Qty	UOM
75.00	mg	1	Diclofenac sodium	25.00 g
120.00	mg	2	Benzyl alcohol, NF	40.00 g
630.00	mg	3	Propylene glycol, USP	210.00 g
3.00	mg	4	Sodium metabisulphite	1.00 g
1.15	mg	5	Sodium hydroxide	383.33 mg
QS	mg	6	Sodium hydroxide ^a	QS mg
QS	mL	7	Water for injection, USP	QS to 1.00 L
QS	–	8	Nitrogen, NF	QS –

^a For pH adjustment, if necessary, to be used as 0.1 N sodium hydroxide solution, freshly prepared in water for injection.

MANUFACTURING DIRECTIONS

Note: N₂ gas protection must be used throughout process. The solution must be prepared in a glass-lined or a 316 or higher temper-grade steel tank.

1. Preparation of water.
 - a. Obtain a sample from the water for injection source to be used for rinsing and mixing and verify that it meets conductivity limit of NMT 3.0 mS and pH range of 5 to 7.
 - b. Test the rinse draining from the tank for conductivity and oxidizable substances prior to batch preparation.
2. Preparation of solution.
 - a. Boil ca. 1.5 L item 7 for 5 minutes in a jacketed pressure vessel.
 - b. Transfer 500 mL of the boiling item 7 from step 2a to a suitable 316 stainless-steel container.
 - c. Allow the remaining item 7 from step 2a to cool to ambient temperature while bubbling through filtered N₂ gas.
 - d. Dissolve by stirring item 4 and item 5 into the hot 500 mL item 7 from step 2b.
 - e. Transfer item 3 to a separate glass container; add and dissolve item 1 and item 2. Stir until completely dissolved.
 - f. Add the solution from step 2e to the solution of step 2d. Mix well with stirring while bubbling through filtered N₂ gas.
 - g. Check pH (range 8.0–9.0). Adjust pH if necessary with freshly prepared 0.1 N sodium hydroxide solution.
 - h. Make up to 1 L with item 7 saturated with N₂ gas cooled to ambient temperature from step 2c.
 - i. QC sample.

- j. Transfer the solution from step 2h to a stainless-steel pressure vessel and seal under filtered N₂ gas protection until filtration.
 - k. Filter solution from the stainless-steel pressure vessel through a sterilized filtration setup fitted with an approved prefilter and an approved 0.22 µm membrane filter into a sterilized glass container. Bubble sterile-filtered N₂ gas through the filtered solution and seal under sterile-filtered N₂ gas protection. *Note:* Perform the bubble point test on a 0.22 µm membrane filter before and after filtration.
 - l. Prepare for sterilization an approved 0.22 µm membrane filter fitted to filtration unit, approved 0.2 µm gas filter, surge bottle, tubing, and filling unit.
3. Preparation of ampoules. Use type I 3 mL amber glass ampoules, USP.
 - a. Wash and dry the ampoules and then load into appropriate covered stainless-steel trays for sterilization.
 - b. Sterilize the ampoules by using dry heat at 200°C (−0°C, +50°C) ampoule temperature for 225 minutes (−0, +360 minutes). Maintain oven temperature at 225°C (±10°C) for duration of cycle. *Note:* This cycle or a cycle providing equivalent heat input may be used.
 - c. Transfer ampoules to the aseptic filling area.
 - d. Filling. *Note:* Careful protection with sterile-filtered N₂ gas is essential for stability.
 - e. Aseptically connect glass container containing the injection solution, sterile filtration setup, sterile surge bottle, N₂ gas filter, and filling unit.
 - f. Filter the injection solution into the surge bottle and adjust flow rate through filter equal filling rate to prevent any surge on the filter.
 - g. Flush ampoules with sterile-filtered N₂ gas before filling.
 - h. Aseptically fill the solution into each clean, dry, sterile ampoule. Flush with sterile-filtered N₂ gas and heat seal. *Note:* Perform bubble point test on filters before and after filtration.
 - i. Sample. Inspect ampoules.
 - j. Sample.

DICLOFENAC–LECITHIN INJECTION

Bill of Materials (Batch Size 1 L)

Scale/mL	Item	Material	Qty	UOM
7.50	mg	1 Diclofenac	7.50	g
1.00	mL	2 Methylene chloride	1.00	L
1.00	mg	3 Lecithin ^a	1.00	g

^a The quantity may be varied 50% on each side of the listed amount.

MANUFACTURING DIRECTIONS

1. Dissolve item 3 in item 2.
2. Filter through a 0.2 µm membrane filter.
3. Add item 1 (micronized to less than 20 µm size).
4. Homogenize or sonicate the suspension to deagglomerate the suspension.
5. Fill 10 mL into each vial (to contain 75 mg of item 1).
6. Remove item 2 under vacuum to leave in the vial a lecithin-coated powder of item 1.
7. Reconstitute with 2.0 mL of water for injection containing 0.9% sodium chloride and made isotonic with mannitol and sodium chloride.

DICLOFENAC WITH ACETYLCYSTEINE INJECTION

Bill of Materials (Batch Size 1 L)

Scale/mL	Item	Material	Qty	UOM
25.00	mg	1 Diclofenac sodium	25.00	g
333.33	mg	2 1,2-Propylene glycol	333.33	g
0.033	mg	3 Ethyl lactate	0.033	g
0.666	mg	4 Glutathione (or N-acetylcysteine)	0.666	g
QS	mL	5 Sodium hydroxide for pH adjustment (0.1 N)	QS	
QS	mL	6 Water for injection, USP	QS to 1.00	L
QS	ft ³	7 Nitrogen gas, NF	QS	

MANUFACTURING DIRECTIONS

1. In ca. 0.8 L of item 6, under purging of item 7, dissolve item 4.
2. Add item 2 and dissolve after grinding it to an average particle size of ca. 100 μm or less.
3. Check and adjust pH to 8.3 (8.1–8.5) with item 5.
4. Add item 3 and dissolve.
5. Make up the volume with item 6.
6. Filter using a 0.20 μm membrane filter (nylon, polypropylene, or acrylic copolymer).
7. Fill ampoules.
8. Sterilize by autoclaving at 121°C for 15 minutes.

DICLOFENAC LYOPHILIZED INJECTION**Bill of Materials (Batch Size 1 L)**

Scale/mL	Item	Material	Qty	UOM
18.00	mg	1	Sodium chloride, USP	18.00 g
75.00	mg	2	Diclofenac sodium, micronized (less than 20 μm)	75.00 g
QS	mL	3	Water for injection, USP	QS to 1.00 L

MANUFACTURING DIRECTIONS

1. In a suitable jacketed (cold) stainless-steel vessel, add item 1 and item 2 to item 3 and dissolve.
2. Filter through a 0.20 mm filter membrane.
3. Transfer the solution to a sterilization vessel and sterilize in autoclave at 120°C for 20 minutes.
4. Allow to cool to 5°C.
5. Add item 2 and suspension deagglomerated in a homogenizer or ultrasonic disintegrator.
6. Fill the crystalline suspension at 5°C into 1 mL sterilized vials.
7. Freeze the vials at –45°C, lyophilize, and seal.

DICLOFENAC LYOPHILIZED INJECTION**Bill of Materials (Batch Size 1 L)**

Scale/mL	Item	Material	Qty	UOM
75.00	mg	1	Diclofenac sodium, micronized (less than 20 μm)	75.00 g
5.40	mg	2	Sodium chloride, USP	5.40 g
20.00	mg	3	Mannitol	20.00 g
0.07	mg	4	Pluronic® F-68	0.07 g
QS	mL	5	Water for injection, USP	QS to 1.00 L

MANUFACTURING DIRECTIONS

1. In a suitable stainless-steel jacketed vessel, dissolve items 2, 3, and 4 in 0.7 L of item 5.
2. Filter solution through a 0.20 mm membrane filter after transferring it to a sterilization vessel.
3. Autoclave the solution at 120°C for 15 minutes.
4. Transfer the solution to mixing vessel, cool to 5°C, and add item 1.
5. Mix in a homogenizer or sonicator to deagglomerate.
6. Fill 1 mL into type I vials, loosely stopper, freeze at –45°C, lyophilize, and seal.

DICYCLOMINE HYDROCHLORIDE INJECTION**Bill of Materials (Batch Size 1 L)**

Scale/mL	Item	Material	Qty	UOM
10.00	mg	1	Dicyclomine hydrochloride, USP	10.00 g
9.00	mg	2	Sodium chloride, USP	9.00 g
5.00	mg	3	Chlorobutanol anhydrous, USP	5.00 g
QS	mL	4	Water for injection, USP	QS to 1.00 L
QS	mL	5	Acetic acid for buffering	QS mL
QS	mL	6	Sodium acetate for buffering	QS mL

DIGOXIN INJECTION**Bill of Materials (Batch Size 1 L)**

Scale/mL	Item	Material	Qty	UOM
0.10	mg	1	Digoxin	0.10 g
0.40	mL	2	Propylene glycol	0.40
0.10	mL	3	Alcohol, USP	0.10 L
1.70	mg	4	Sodium phosphate	1.70 g
0.80	mg	5	Citric acid anhydrous	0.80 g
QS	mL	6	Water for injection, USP	QS to 1.00 L
QS	ft ³	7	Nitrogen gas	QS

Note: For adult dosage the quantity of item 1 is 0.25 mg/mL.

MANUFACTURING DIRECTIONS

1. Take 0.9 L of item 6 and purge with item 7.
2. Add and dissolve items 2 and 3; mix well.
3. Add and dissolve items 4 and 5 (for pH adjustment); mix well.
4. Check pH to 6.8 to 7.2; do not adjust.
5. Make up volume.

6. Filter through a 0.22 μm membrane filter.
7. Fill 1 mL for pediatric (0.1 mg) dosage into type I glass ampoules.
8. Sterilize.

DIHYDROERGOTAMINE MESYLATE DROPS

Bill of Materials (Batch Size 1 L)

Scale/mL	Item	Material	Qty	UOM
2.00	mg	1	Dihydroergotamine mesylate, 10% excess	2.20 g
153.00	mg	2	Glycerin, USP	153.00 g
48.25	mg	3	Ethanol, USP, 190 proof	48.25 g
QS	mL	4	Hydrochloric acid for pH adjustment	QS
QS	mL	5	Sodium hydroxide for pH adjustment	QS
QS	mL	6	Water for injection, USP	QS to 1.00 L
QS	ft ³	7	Nitrogen gas NF	QS

MANUFACTURING DIRECTIONS

Caution: This product is highly susceptible to oxidation and should be continuously bubbled and blanketed with item 7 during all stages of manufacture. Use item 7 filtered through a 0.45 μm Millipore® or equivalent. Oxygen level should be less than 1 ppm at all times. Protect from light. All tubing must be stainless steel, Teflon (FEP), or silicone.

1. Preparation.
 - a. Heat sufficient item 6 to 95°C. Hold at this temperature for 1 hour. Begin bubbling item 7 and continue to heat for a further 1 hour. Cool slowly to NMT 22°C while continuing to bubble item 7.
 - b. Load item 2 into a suitable stainless-steel or glass-lined tank.
 - c. Load sufficient item 3 into a suitable stainless-steel or glass-lined container. Bubble item 7 for at least 2 hours.
 - d. Check oxygen concentration in the item 6 from step 1a. Continue item 7 bubbling until concentration is less than 1 ppm.
 - e. Take sample for testing.
 - f. Flush a suitable stainless-steel or glass-lined tank with item 7 and then transfer ca. 700 mL of item 6 from step 1d and begin bubbling with item 7. From here on provide continuous item 7 blanket.
 - g. Add ca. 40 mL of water from step 1d to item 2 in step 1b and bubble with item 7 at a minimum pressure of 1 kg for at least 1 hour. Continue bubbling until used.
 - h. Weigh item 3 and container from step 1c. Add 48.25 g of item 3 to the water in step 1f. Stir or mix by recirculation for at least 5 minutes.
 - i. Dilute approximately 0.03 mL of acid item 4 with item 6 to make a 20% solution. Ensure that oxygen level is less than 1 ppm.
 - j. Measure pH and adjust to 3.25 with solution in step 1i.
 - k. Take sample. *Note:* Use protective clothing and mask; wear gloves while adding item 1.
 - l. Add the item 1 to the batch and stir until completely dissolved
 - m. Add the item 2/water mix from step 1g to the batch and adjust the volume to 995 mL with water from step 1d. Stir or recirculate for at least 15 minutes. Dissolve 4 g of sodium hydroxide in 100 mL water from step 1d.
 - n. Measure and adjust pH to 3.75 with solution in step 1n. Stir for at least 30 seconds and recirculate for at least 5 minutes between each addition. Record final pH and amount used.
 - o. Take testing samples.
 - p. QS to 1 L with water.
 - q. Just prior to filtration, take testing samples.
2. Filtration.
 - a. Filter the solution through a Millipore filter unit or equivalent fitted with a 0.22 μm pore-size filter previously sterilized by heating in an autoclave for 30 minutes at 121°C. Discard the first portion of filtrate. Record amount discarded.
 - b. Carry out a bubble pressure leak test (21–28 psi) on the filter membrane to verify its integrity. Record bubble point pressure.
 - c. Collect the filtrate in a suitable stainless-steel or glass, clean sterile container under filtered item 7. The container should be sterilized at 121°C for 30 minutes. Continue bubbling with item 7.
 - d. At the end of filtration, carry out the bubble pressure leak test. Record bubble point pressure.
3. Filling.
 - a. Wash 100 mL amber glass bottles with distilled water only. Then sterilize bottles by using dry heat.
 - b. Wash stoppers with distilled water only and sterilize by heating at 121°C in an autoclave for 30 minutes.
 - c. Sterilize roll-on pilfer-proof caps by heating in an autoclave at 110°C for 1 hour.
 - d. Set up a suitable liquid filling machine, ensuring that all fittings and tubing are clean and sterile.
 - e. Fill into 100 mL sterilized, amber glass bottles from step 3a. Prior to liquid addition, purge bottles with item 7. When each bottle is full, flush the headspace with item 7. Immediately seal by using sterilized stoppers from step 3c.
 - f. On start-up and after stoppages, take samples for testing.

DIHYDROERGOTAMINE MESYLATE INJECTION**Bill of Materials (Batch Size 1 L)**

Scale/mL	Item	Material	Qty	UOM
1.00	mg	1	Dihydroergotamine mesylate	1.00 g
0.061	mL	2	Alcohol, USP	61.00 mL
QS	mL	3	Methanesulfonic acid for pH adjustment	QS
QS	mL	4	Sodium hydroxide for pH adjustment	QS
150.00	mg	5	Glycerin	150.00 g
QS	mL	6	Water for injection, USP	QS to 1.00 L

MANUFACTURING DIRECTIONS

1. In sufficient quantity of item 6, add and dissolve item 5.
2. Add items 2 and 5; mix well.
3. Add and dissolve item 1.
4. Check and adjust pH to 3.2 to 4.0 with items 3 and 4.
5. Filter through a 0.22 µm membrane filter and sterilize.

DIHYDROERGOTAMINE MESYLATE NASAL SPRAY**Bill of Materials (Batch Size 1 L)**

Scale/mL	Item	Material	Qty	UOM
4.00	mg	1	Dihydroergotamine mesylate	4.00 g
10.00	mg	2	Caffeine anhydrous	10.00 g
50.00	mg	3	Dextrose anhydrous, USP	50.00 g
QS	ft ³	4	Carbon dioxide	QS
QS	mL	5	Water for injection, USP	QS to 1.00 L

Note: Use amber type I glass ampoules.

DIISOPROPYLPHENOL INJECTION**Bill of Materials (Batch Size 1 L)**

Scale/mL	Item	Material	Qty	UOM
0.028	mM	1	2,6-Diisopropylphenol	28.00 mM
1.00	mL	2	2,5-di-O-methyl-1,4:3,6-dianhydro-D-glucitol	1.00 L

MANUFACTURING DIRECTIONS

1. Mix items 1 and 2 in a suitable vessel. Stir for 15 minutes in aseptic conditions.
2. Check pH to 5.3 (do not adjust).
3. Filter through a 0.22 µm membrane filter and fill into ampoule or vial.

DILTIAZEM HYDROCHLORIDE INJECTION**Bill of Materials (Batch Size 1 L)**

Scale/mL	Item	Material	Qty	UOM
5.00	mg	1	Diltiazem hydrochloride	5.00 g
0.75	mg	2	Citric acid anhydrous	0.75 g
0.65	mg	3	Sodium citrate dihydrate	0.65 g
71.40	mg	4	Sorbitol solution, USP	714.00 g
QS	mL	5	Hydrochloric acid for pH adjustment	
QS	mL	6	Sodium hydroxide for pH adjustment	
QS	mL	7	Water for injection, USP	QS to 1.00 L

MANUFACTURING DIRECTIONS

1. In a suitable stainless-steel vessel, take approximately 0.9 L of item 7.
2. Add item 4 and mix.
3. Add items 2 and 3; mix well.
4. Check and adjust pH to 3.7 to 4.1 with item 5 or 6.
5. Filter through presterilized assembly by using a 0.22 µm membrane filter.
6. Fill appropriate volumes (5 or 10 mL) into type I glass vials.
7. Sterilize by autoclaving.

Lyo-Ject® syringe, 25 mg syringe, is available in a dual-chamber disposable syringe. Chamber 1 contains lyophilized powder composed of diltiazem hydrochloride, 25 mg, and mannitol, USP, 37.5 mg. Chamber 2 contains sterile diluent composed of 5 mL water for with 0.5% benzyl alcohol, NF, and 0.6% sodium chloride, USP. Monovial® for continuous IV infusion is available in a glass vial with transfer needle set. The vial contains lyophilized powder composed of diltiazem hydrochloride, 100 mg, and mannitol, USP, 75 mg.

DIMENHYDRINATE INJECTION**Bill of Materials (Batch Size 1 L)**

Scale/mL	Item	Material	Qty	UOM
50.00	mg	1	Dimenhydrinate, USP	50.00 g
0.50	mL	2	Propylene glycol, USP	0.50 L
0.05	mL	3	Benzyl alcohol, NF	0.05 L
QS	mL	4	Water for injection, USP	QS to 1.00 L
QS	mL	5	Hydrochloric acid for pH adjustment	QS mL

DIMETHYL SULFOXIDE INJECTION**Bill of Materials (Batch Size 120 kg)**

Scale/mL	Item	Material	Qty	UOM
0.455	mL	1	Dimethyl sulfoxide, 5% excess; sp. gr. 1.1	54.60 L
60.0	mL	2	Water for injection, USP	60.0 L

MANUFACTURING DIRECTIONS

- Mix items 1 and 2 in a suitable stainless-steel tank and mix vigorously until a clear solution is obtained.
- Filter mixture from step 1 by using only polyethylene tubing, a prefilter of 0.22 μm sterilizing membrane, and a presterilized Pyrex bottle, which serves as reservoir.
- Aseptically fill into bottles—type I clear glass bottles (50 mL) size Kimble, caps low density PE (Union Carbide DMDA 0160-MP7) washed with filtered Freon (3 μm cartridge filter) and gas sterilized with ethylene oxide. Do not autoclave.
- Sample for testing.

DIMETHYL SULFOXIDE IRRIGATION

This is dimethyl sulfoxide (DMSO) 50% w/w aqueous solution for intravesical instillation. Each milliliter contains 0.54 g dimethyl sulfoxide. Intravesical instillation for the treatment of interstitial cystitis. Not for IM or IV injection.

DINOPROSTONE CERVICAL GEL**Bill of Materials (Batch Size 1 L)**

Scale/mL	Item	Material	Qty	UOM
0.20	mg	1	Dinoprostone ^a	0.20 g
96.00	mg	2	Colloidal silicon dioxide	96.00 g
1104.0	mg	3	Triacetin (ca. to QS to 1 L)	1104.00 g

^a Naturally occurring form of prostaglandin E₂ (PGE₂); dispense 2.5 mL (3 g) into tube for endocervical application.

DIPHENHYDRAMINE HYDROCHLORIDE INJECTION**Bill of Materials (Batch Size 1 L)**

Scale/mL	Item	Material	Qty	UOM
10.00	mg	1	Diphenhydramine hydrochloride, USP ^a	10.00 g
5.00	mg	2	Chlorobutanol anhydrous, USP	5.00 g
QS	mL	3	Hydrochloric acid for pH adjustment	QS
QS	mL	4	Sodium hydroxide for pH adjustment	QS
QS	mL	5	Water for injection, USP	QS to 1.00 L

^a Or 50 mg/mL; multidose vial contains benzethonium chloride, 0.1 mg/mL; pH adjusted 5.0 to 6.0 with item 3 or 4.

DIPHENYLMETHYL PIPERAZINE INJECTION**Bill of Materials (Batch Size 1 L)**

Scale/mL	Item	Material	Qty	UOM
4.00	mg	1	1-Diphenylmethyl-4-[(2-(4-methylphenyl)-5-methyl-1H-imidazol-4-yl)methyl] piperazine	4.00 g
4.13	mg	2	Tartaric acid	4.13 g
5.78	mg	3	Citric acid	5.78 g
2.64	mg	4	Methanesulfonic acid	2.64 g
45.10	mg	5	Sorbitol	45.10 g
QS	mL	6	Water for injection, USP	QS to 1.00 L

MANUFACTURING DIRECTIONS

1. In sufficient quantity of item 6, add and dissolve items 2 and 3 in a suitable stainless-steel vessel.
2. Add item 1 and dissolve.
3. Add item 5 and dissolve.
4. Bring to volume with item 6.
5. Filter using a 0.22 μm filter and fill.

DIPYRONE INJECTION**Bill of Materials (Batch Size 1 L)**

Scale/mL	Item	Material	Qty	UOM
500.00	mg	1 Dipyrone	500.00	g
4.00	mg	2 Chlorobutanol	4.00	g
2.00	%	3 Benzyl alcohol, NF	20.00	mL
QS	mL	4 Water for injection, USP	QS to 1	L
QS	mL	5 Sodium hydroxide for pH adjustment	QS	
QS	mL	6 Hydrochloric acid for pH adjustment	QS	
QS		7 Nitrogen gas, NF	QS	

Note: also for veterinary use

MANUFACTURING DIRECTIONS

1. Dissolve item 1 in approximately 0.5 L of item 4 heated to 60°C to 70°C under constant stirring until dissolved completely.
2. Add items 2 and 3 with constant stirring to complete solution.
3. Bring the solution to room temperature and make up the volume with item 4.
4. Bubble item 7 thoroughly and let stand for 30 minutes.
5. Check pH (6.8–7.0), adjust with 10% item 6 or 4% item 5 as needed, sample.
6. Filter solution through a 0.22 μm filter assembly.
7. Fill flint ampoules 5.2 mL under item 7 cover.
8. Terminal sterilization at 121°C for 30 minutes.
9. Sample for leakage and final testing.

DIPYRONE, PAPAVERINE HCL, AND ATROPINE SULFATE INJECTION**Bill of Materials (Batch Size 1 L)**

Scale/mL	Item	Material	Qty	UOM
500.00	mg	1 Dipyrone	500.00	g
20.00	mg	2 Papaverine hydrochloride	20.00	g
0.50	mg	3 Atropine sulfate	0.50	g
1.00	mg	4 Sodium metabisulfite	1.00	g
5.00	mg	5 Chlorobutanol	5.00	g
0.0013	mL	6 Benzyl alcohol, NF	1.30	mL
QS	mL	7 Water for injection, USP	QS to 1.00	L
QS		8 Nitrogen gas, NF	QS	

MANUFACTURING DIRECTIONS

1. Bring item 7 to boiling; cool to room temperature.
2. Add item 6 and dissolve rapidly, add item 5, mix again for not less than 5 minutes.
3. Add items 1 to 3 and bring volume.
4. Provide and keep item 8 cover throughout.
5. Measure pH (3.8–4.2); do not adjust pH.
6. Filter through a presterilized filtering assembly by using a 0.22 μm filter.
7. Sterilize empty ampoules at 200°C for 4 hours.
8. Fill 3.2 mL for 3.00 mL fill volume into amber type I glass ampoules with pre- and post-item 8 flush.
9. Terminally sterilize in an autoclave at 121°C for 30 minutes.
10. Sample for final testing, clarity, and particle test.

DISODIUM EDETATE INJECTION (150 MG/ML)**Bill of Materials (Batch Size 1 L)**

Scale/mL	Item	Material	Qty	UOM
150.00	mg	1 Disodium edetate anhydrous, use disodium edetate dihydrate, USP	150.00	g
QS	mg	2 Sodium hydroxide	QS	mg
QS	mL	3 Water for injection, USP	QS to 1.00	L

MANUFACTURING DIRECTIONS

1. Heat ca. 70% of final volume of item 3 in a glass-lined or stainless-steel mixing tank. Add and dissolve item 1. Cool solution. Check pH (range 6.5–7.5). Readjust with dilute item 2 if necessary.
2. Prefilter solution through appropriate filtration setup.

- Filter and fill into clean ampoule and seal. Steam sterilize. Sample.

DISULFONIC ACIDS INJECTION

Bill of Materials (Batch Size 1 L)

Scale/mL	Item	Material	Qty	UOM
121.30	mg	1	S-Adenosyl-D-methionine salts of disulfonic acids	121.30 g
66.66	mg	2	Lysine	66.66 g
QS	mL	3	Water for injection, USP	QS to 1.00 L

MANUFACTURING DIRECTIONS

- In sufficient quantity of item 3, dissolve item 1, filter, and lyophilize.
- Prepare diluent by using item 2 and QS to 1 L.

DOBUTAMINE INJECTION

Bill of Materials (Batch Size 1 L)

Scale/mL	Item	Material	Qty	UOM
0.22	mg	1	Sodium metabisulfite, NF	0.22 g
12.50	mg	2	Dobutamine base, use dobutamine HCl, USP	12.50 g
QS	mL	3	Hydrochloric acid ^a	QS mL
QS	mL	4	Sodium hydroxide ^a	QS mL
QS	–	5	Nitrogen gas, NF	QS –
QS	mL	6	Water for injection, USP	QS to 1.00 L

^a For pH adjustment if necessary.

MANUFACTURING DIRECTIONS

- Transfer an appropriate volume of item 6 into a glass-lined tank while sparging with N₂ gas.
- Mix and dissolve items 1 and 2. Continue N₂ sparging.
- Check pH (range 2.7–3.3). If necessary, adjust pH with item 3 or 4 solution.
- QS with N₂-protected item 6 to final volume and mix.
- Check pH (range 2.7–3.3). If necessary, adjust pH with item 3 or 4 solution.
- Discontinue N₂ sparge and switch to N₂ gas protection.
- Sample for in-process control, dobutamine assay, and pH determination.

- Filter solution through a previously cleaned and rinsed approved 0.45 μm (or finer) membrane filter. If required, an approved prefilter may be used.
- During filling, filter solution through an approved 0.45 μm (or finer) membrane filter. If required, an approved prefilter may be used.
- Fill clean empty vials. Protect the headspaces of filled vials by using filtered N₂ gas. Apply stoppers and overseals.
- Sterilize product by using an approved autoclave cycle. QC samples.

DOPAMINE HYDROCHLORIDE INJECTION

Bill of Materials (Batch Size 1 L)

Scale/mL	Item	Material	Qty	UOM
40.00	mg	1	Dopamine hydrochloride, USP	40.00 g
9.12	mg	2	Sodium metabisulfite, NF	9.12 g
10.00	mg	3	Acid citric, USP, anhydrous powder	10.00 g
QS	mg	4	Acid citric, USP, anhydrous powder ^a	QS mg
5.00	mg	5	Sodium citrate dihydrate, USP, ampoule granules	5.00 g
QS	mg	6	Sodium citrate dihydrate, USP, ampoule granules ^a	QS mg
QS	–	7	Nitrogen gas, NF	QS –
QS	mL	8	Water for injection, USP	QS to 1.00 L

^a Use for pH adjustment only; use 80 mg of item 1 for 80 mg/mL label. Other ingredients remain the same.

MANUFACTURING DIRECTIONS

- Preparation.
 - Add item 8 to ca. 110% of final volume into a suitable vessel.
 - Heat item 8 to 90°C to 100°C and hold at that temperature for 10 minutes and commence bubbling N₂ gas through the solution. Continue N₂ gas protection through the remainder of solution manufacturing. Draw off 20% of final volume into another suitable vessel under N₂ protection and hold for solution QS. Lower the temperature to between 45°C and 55°C through solution QS.
 - Add and dissolve items 2, 3, and 5. Mix well without excessive agitation.
 - Add and dissolve item 1 with minimal agitation. To ensure an accurate pH measurement, allow the pH sample solution to cool to 20°C to 25°C. Minimize excessive agitation of solution

with mixer. Supplement this stirring by bubbling N₂ gas into the solution. Do not allow solution to vortex.

- e. QS to final volume with previously boiled N₂-protected item 8.
 - f. Place lid on mix tank and establish N₂ atmosphere in the tank headspace. Cool the solution to 25°C (range 20–30°C).
 - g. Check the pH (range 3.2–3.5). If more than 3.5, adjust to pH 3.3 with item 4. If less than pH 3.2, adjust to pH 3.3 (range: 3.2–3.5) with item 6.
 - h. Filter solution through a previously rinsed filtration setup by using an approved 0.45 µm or finer membrane and an approved prefilter into a clean glass-lined or 316 stainless-steel tank, protected with N₂ gas by bubbling and flushing headspace. Sample.
2. Filling. Ampoule: Use type I 5 mL glass ampoules, USP.
 - a. Fill specified amount into each clean, dry ampoule. Flush the headspace with filtered N₂ gas and seal the ampoule.
 - b. Inspect. Sample.
 3. Sterilization.
 - a. Sterilize at 115°C at an F₀ range of 8 to 18. Use water spray cooling and terminal air overpressure to maintain autoclave pressure. Sample.

DOXAPRAM HYDROCHLORIDE INJECTION, USP

Bill of Materials (Batch Size 1 L)

Scale/mL	Item	Material	Qty	UOM
20.00	mg	1	Doxapram hydrochloride	20.00 g
9.00	mg	2	Benzyl alcohol	9.00 g
QS	mL	3	Hydrochloric acid for pH adjustment	QS
QS	mL	4	Sodium hydroxide for pH adjustment	QS
QS	mL	5	Water for injection, USP	QS to 1.00 L

MANUFACTURING DIRECTIONS

1. Adjust pH to 3.5 to 5.0 with item 3 or 4.
2. Fill 20 mL multiple-dose vial.
3. Sterilize by autoclaving.

DOXERCALCIFEROL INJECTION

Bill of Materials (Batch Size 1 L)

Scale/mL	Item	Material	Qty	UOM
2.00	mg	1	Doxercalciferol	2.00 mg
4.00	mg	2	Polysorbate 80	4.00 g
1.50	mg	3	Sodium chloride	4.00 g
10.00	mg	4	Sodium ascorbate	10.00 g
7.60	mg	5	Sodium phosphate dibasic	7.60 g
1.80	mg	6	Sodium phosphate monobasic	1.80 g
1.10	mg	7	Disodium edetate	1.10 g
QS	mL	8	Water for injection, USP	QS to 1.00 L

DESCRIPTION

A synthetic vitamin D analog that undergoes metabolic activation in vivo to form 1(α),25-dihydroxyvitamin D₂ (1(α),25-(OH)₂ D₂), a naturally occurring, biologically active form of vitamin D₂.

DOXORUBICIN FOR INJECTION

Bill of Materials (Batch Size 1 L)

Scale/mL	Item	Material	Qty	UOM
2.00	mg	1	Doxorubicin hydrochloride	2.00 g
9.00	mg	2	Sodium chloride	9.00 g
QS	mL	3	Hydrochloric acid for pH adjustment	QS
10.00	mg	4	Lactose NF	10.00 g
0.20	mg	5	Methyl paraben	0.20 g
QS	mL	6	Water for injection, USP	QS to 1.00 L
QS	ft ³	7	Nitrogen gas, NF	QS

MANUFACTURING DIRECTIONS

1. In a suitable stainless-steel vessel, take approximately 0.9 L of item 6. Heat to 70°C to 80°C and add and mix item 5. Dissolve completely.
2. Cool to room temperature. Begin purging item 7 and maintain cover throughout.
3. Add and dissolve items 2 and 4. Mix well.
4. Add item 1 and mix vigorously.
5. Check and adjust pH using item 3 to 3.0 (2.9–3.1).
6. Filter through a 0.22 µm membrane filter and fill into vials 5 mL (10 mg dose) or higher proportional volumes.
7. Lyophilize.

DOXYCYCLINE HYCLATE INJECTION

Bill of Materials (Batch Size 50 L)					
Scale/mL	Item	Material	Qty	UOM	
25.00	mg	1	Doxycycline hyclate, 5% overage	1.3125 ^a	kg
120.00	mg	2	Ascorbic acid USP, 5% overage	6.30	kg
75.00	mg	3	Mannitol, USP	3.75	kg
QS	mL	4	Water for injection, USP	QS to 50.00	L

^a Actual quantity to be recalculated depending on the potency of the material.

MANUFACTURING DIRECTIONS

- Place approximately 35 L of item 4 into a suitable mixing tank, add item 2 into it, and mix thoroughly to a complete solution.
- Add item 1 with constant mixing until clear.
- Add item 3 to the mixing tank and mix to a complete solution.
- QS to final volume with item 4. If the solution meets specifications, filter through a 0.22 μm filter into a sterile receiving jar.
- Lyophilization. Chill the shelves to -40°C or less and load chamber with vials kept covered with clean, sterile covers. Let the product freeze. Proceed when thermocouples register -40°C or lower for a minimum of 4 hours. Start condenser, let it achieve a temperature of -50°C or lower, start vacuum pump, and let the chamber pressure drop to 200 μm or lower. Set shelf temperature to $+25^{\circ}\text{C}$ and let the product temperature rise to within 1°C of the set point. Mark time and let the cycle run for a minimum of an additional 48 hours. At the end of the cycle, bleed the chamber with air, open chamber, remove six representative vials (two from each of the top, middle, and bottom shelves), and close the door. Test samples for moisture. If all samples contain 2% or less, stopper the vial, terminate cycle, and remove vials for sealing. If not, then extend the cycle and record action.
- Treat stoppers by adding rubber detergent to RO water with gentle agitation. Add stoppers, autoclave at 121°C (minimum) for not less than 20 minutes. Drain solution, rinse three times with $57^{\circ}\text{C} \pm 3^{\circ}\text{C}$ water for injection. Add sufficient water to cover the stoppers during each rinse. Siliconize stoppers if needed by adding 118.2 mL of silicone solution; drain and autoclave at 121°C (minimum) for not less than 30 minutes. Dry for not less than 8 hours at 100°C ; use additional time if necessary.

DOXYCYCLINE HYCLATE INJECTION

Bill of Materials (Batch Size 1 L)					
Scale/mL	Item	Material	Qty	UOM	
100.00	mg	1	Doxycycline as doxycycline hyclate equivalent	100.00	g
480.00	mg	2	Ascorbic acid	480.00	g

Note: Use 960.00 mg of item 2 for 200 mg of doxycycline dose.

DOXYCYCLINE HYDROCHLORIDE INJECTION

Bill of Materials (Batch Size 1 L)					
Scale/mL	Item	Material	Qty	UOM	
100.00	mg	1	Doxycycline, use doxycycline hydrochloride	126.96	g
167.95	mg	2	Phosphoric acid (85%)	167.95	g
34.92	mg	3	Magnesium oxide	34.92	g
20.00	mg	4	Lidocaine	20.00	g
10.00	mg	5	Monothioglycerol	10.00	g
2.00	mg	6	Propyl gallate	2.00	g
QS	mL	7	Water for injection, USP	QS to 1.00	L

MANUFACTURING DIRECTIONS

- In a suitable quantity of item 7, add item 1 with stirring.
- Add item 3 and mix.
- Check and adjust pH to 2.5 (2.3–2.6) with item 2.
- Add and mix items 4, 5, and 6.
- Make up volume with item 7.
- Filter and sterilize.

EBSELEN LIPOSOMAL INJECTION

Bill of Materials (Batch Size 1 L)					
Scale/mL	Item	Material	Qty	UOM	
0.11	mg	1	Ebselen	0.11	g
13.33	mg	2	DPPC (Dipalmitoylphosphatidylcholine)	13.33	g
1.33	mg	3	DPPG (Dipalmitoylphosphatidylglycerol)	1.33	g
6.45	mg	4	Cholesterol	6.45	g
0.025	mL	5	Methanol	0.25	L
0.025	mL	6	Chloroform	0.25	L
QS	mL	7	Acetate buffer pH 4.0 in water for injection, USP	QS to 1.00	L
QS	ft ³	8	Nitrogen gas, NF	QS	

MANUFACTURING DIRECTIONS

1. Dissolve items 1, 2, and 3 in items 5 and 6.
2. Remove solvents in step 1 under vacuum.
3. Hydrate the film with item 7 under item 8.
4. Add glass beads and stir to form liposomes.
5. Filter under sterile condition and fill into ampoules under cover of item 8.

**EDETATE SODIUM, POLYVINYL
ALCOHOL, SODIUM SULFACETAMIDE,
SODIUM THIOSULFATE OPHTHALMIC
DROPS WITH THIMEROSAL**

Bill of Materials (Batch Size 45 L)

Scale/mL	Item	Material	Qty	UOM
Part I				
	1	Water purified (distilled), USP, ca.	10.00	L
14.00	mg	2 Polyvinyl alcohol, 20–90	630.00	g
0.0001	mL/mL	3 Polysorbate 80, NF (use a 10% solution)	45.00	mL
Part II				
	4	Water purified (distilled), USP, ca.	250.00	L
0.6805	mg	5 Potassium phosphate monobasic, NF	30.62	g
5.3620	mg	6 Sodium phosphate dibasic heptahydrate, USP ^a	241.30	g
0.1274	mg	7 Disodium edetate, USP	5.73	g
306.00	mg	8 Sulfacetamide sodium, USP (2% overage)	13.77	kg
	9	5 N Hydrochloric acid, NF ^b	QS	mL
3.14	mg	10 Sodium thiosulfate pentahydrate, USP ^c	141.30	g
Part III				
	11	Water purified (distilled), USP, ca.	200.00	mL
0.05	mg	12 Thimerosal, USP ^d	2.25	g
	13	Water purified (distilled), USP	QS to 45.00	L

^a Equivalent to 2.8393 mg/mL sodium phosphate dibasic anhydrous.

^b Use for pH adjustment only.

^c Equivalent to 2.0 mg/mL sodium thiosulfate anhydrous.

^d The amount of thimerosal to be added must be calculated on the basis of the assay value of the raw material lot(s) used. Assay Value: _____%
 $2.25 \text{ g} \times 100.0\% / \text{Assay Value (\%)} = \text{_____ g}$ of thimerosal required.

MANUFACTURING DIRECTIONS*Part I*

1. Measure out ca. 10 L of item 1 into a stainless-steel pressure vessel.
2. Begin mixing with a suitable mixer.
3. Heat item 1 to 85°C to 90°C.
4. Begin mixing item 1 with a propeller mixer.
5. Add item 2 slowly to the vortex.
6. Mix for at least 90 minutes until item 2 is completely dissolved.
7. After mixing item 2 for at least 90 minutes, add item 3 and mix thoroughly.
8. Cool to room temperature, with force cooling.

Part II

1. Measure out ca. 25 L of item 4 into a suitable mixing tank calibrated for a final QS of 45 L. Begin mixing.
2. Add items 5 to 8, in order, allowing each to dissolve completely before adding the next.
3. After item 8 is completely dissolved, mix part II for at least 15 minutes.
4. Sample for pH (range 7.3–7.5). If necessary, adjust the pH to 7.3 to 7.5 with item 9.
5. Add item 10 and mix until it is dissolved.
6. Add part I to the mixing tank containing part II, while mixing part II.
7. Use 2 to 3 L of water purified (distilled) to rinse the part I kettle, pump, and hoses.
8. Add the rinsings to the mixing tank.

Part III

1. Weigh out item 12 and carefully transfer it to a suitable flask.
2. Add 200 mL of item 13 and mix until item 12 is dissolved.
3. Add part III to combined parts I and II while mixing.
4. Rinse the flask containing item 12 with ca. 200 mL of item 13 and add the rinsings to the batch.
5. Allow any foam to dissipate and QS the batch to 45 L with item 13.
6. Mix thoroughly for at least 15 minutes.

Sterile Filtration

1. Sterilize for 1 hour (range 45–60 minutes) at 121°C (–0, +5°C) in autoclave at 15 psi the filter and 100 L stainless-steel pressure vessel. Transfer to the solution preparation area.
2. Attach the prefilter and final filter and hosing sterilization chart.
3. Mix the product for at least 10 minutes before filtration.
4. Connect the sterilized Pall filter and sterile filter with the aid of N₂ pressure (15–30 lb). Discard initial 10 L of filtrate, attach sterilized hose to sterilized filter holder, and connect to sterilized 100 L stainless-steel pressure vessel. *Note:* Before sterile filtration to 100

L pressure vessel, perform the bubble point test at NLT 40 psi.

- After completion of product filtration, disconnect Pall filter from pressure vessel. Flush the sterilized filter with at least 10 L of water purified (distilled) for the bubble point test (after filtration).
- After filtration, decontaminate the outer surface of bulk holding pressure vessel and then transfer to filling cubicle. Sample.

Sterilization

- Sterilize filling unit, 20 L surge bottle or manifold of filling unit, and uniforms at 121°C (–0, +2°C) at 15 psi for 1 hour.

Sterile Filling

- Transfer the presterilized bottles, plugs, and caps to the filling cubicle after swabbing their outer polyethylene packing with filtered methylated spirit and keep under the laminar flow hood.
- Transfer the sterilized assembly line to filling room. Aseptically connect the sterilized filling tubing and N₂ line from the 100 L pressure vessel to surge bottle.
- Aseptically fill 15.40 mL of sterile solution through into sterilized container by using the automatic filling, plugging, and sealing machine and apply sterile closure components (plugs and caps). *Note:* Discard 50 to 100 bottles initially during volume adjustment. While filtering, N₂ pressure should not exceed 5 to 10 lb.
- Perform the bubble point test on 0.22 µm inline gas filter before and after filtration at 18 psi. Sample.

EDETATE SODIUM, POLYVINYL ALCOHOL, SODIUM SULFACETAMIDE, SODIUM THIOSULFATE OPHTHALMIC DROPS WITH BENZALKONIUM CHLORIDE

Bill of Materials (Batch Size 45 L)

Scale/mL	Item	Material	Qty	UOM
Part I				
	1	Water purified (distilled), USP	6.00	L
14.00	mg	2 Polyvinyl alcohol, 20–90	630.00	g
0.10	mg	3 Polysorbate 80, NF (use a 10% solution)	41.75	mL
Part II				
	4	Water purified (distilled), USP	30.00	L
2.68	mg	5 Sodium phosphate dibasic heptahydrate, USP	120.60	g
0.345	mg	6 Sodium phosphate monobasic monohydrate, USP	15.53	g
0.15	mg	7 Disodium edetate, USP	6.75	g
100.00	mg	8 Sodium sulfacetamide, USP	4.50	kg
QS	mL	9 5 N Hydrochloric acid, NF ^a	QS	mL
QS	mL	10 1 N Sodium hydroxide, NF ^a	QS	mL
3.14	g	11 Sodium thiosulfate pentahydrate, USP	141.30	g
0.05	mL	12 Benzalkonium chloride, NF (10% solution) ^b	22.50	mL
QS	mL	13 1 N Hydrochloric acid, NF ^a	QS	mL
QS	mL	14 1 N Sodium hydroxide, NF ^a	QS	mL
QS	mL	15 Water purified (distilled), USP	QS to 45.00	L

^a Used for pH adjustment.

^b The amount of benzalkonium chloride, NF (10% solution), is calculated as follows: 22.50 mL × 10.0%/assay value (%) = mL benzalkonium chloride, 10% solution, required.

MANUFACTURING DIRECTIONS

Part I

- Measure out ca. 6 L of item 1 into a jacketed pressure vessel; measure the temperature (NMT 30°C).
- Begin mixing and add item 2. Adjust the mixing to the minimum speed that will allow complete dispersion and agitation. Mix for 60 to 90 minutes.

- Heat part I to 85°C to 90°C by circulating steam. Maintain the temperature of part I at 85°C to 90°C for 15 to 20 minutes.
- Add item 3 and mix thoroughly. Cool part I to less than 30°C with force cooling.

Part II

- Measure out ca. 30 L of item 4 into a suitable mixing tank. Begin mixing.
- Add the items 5 to 8, in order, allowing each to dissolve completely before adding the next.
- After item 8 is completely dissolved, mix part II for at least 30 minutes. If necessary, adjust pH to 7.3 to 7.5 with item or 10.
- Add item 11 and mix until it is completely dissolved. Transfer part I into the tank containing part II. Add item 12 and mix thoroughly. QS the batch to 45 L with item 15. If necessary, adjust the pH to 7.3 to 7.5 with item 13 or 14. Mix thoroughly for at least 30 minutes.
- Sterile filter with the aid of N₂ pressure. Perform the bubble point test.
- Aseptically fill sterile solution into sterilized containers. Perform the bubble point test. Sample.

EDROPHONIUM INJECTABLE**Bill of Materials (Batch Size 1 L)**

Scale/mL	Item	Material	Qty	UOM
10.00	mg	1 Edrophonium	10.00	g
4.50	mg	2 Phenol liquefied	4.50	g
2.00	mg	3 Sodium sulfite	2.00	g
0.20	M	4 Citric acid anhydrous	0.20	M
0.20	M	5 Sodium citrate	0.20	M
QS	mL	6 Hydrochloric acid for pH adjustment	QS	
QS	mL	7 Sodium hydroxide for pH adjustment	QS	
QS	mL	8 Water for injection, USP	QS to 1.00	L

Note: Adjust pH to 5.4.

ELECTROLYTE MAINTENANCE FLUID (FOR MAINTENANCE)**Bill of Materials (Batch Size 1 L)**

Scale/mL	Item	Material	Qty	UOM
5.00	%	1 Dextrose anhydrous, USP, 10% excess	55.00	g
0.28	%	2 Sodium acetate, 5% excess	2.94	g
0.09	%	3 Sodium chloride, 5% excess	0.96	g
0.15	%	4 Potassium chloride, 5% excess	1.575	g
0.13	%	5 Dibasic potassium phosphate, 5% excess	1.36	g
0.020	%	6 Sodium metabisulfite, 5% excess	0.22	g
QS		7 Glacial acetic acid, NF	QS	
QS	mL	8 Water for injection, USP	QS to 1.00	L

MANUFACTURING DIRECTIONS

- Dissolve items 2 to 6 in 0.9 L of item 4.
- Adjust pH to 5.0 with item 7. Adjust with item 7 (ca. 1.1 mL); pH must not exceed 5.0.
- Add item 1 and mix.
- Filter using at least a 0.45 µm filter before final filtration with 0.22 µm filter and fill into type 1540 mL glass bottles.
- Fill 540 mL while maintaining solution at 45°C to 50°C and seal immediately by using butyl gray rubber stoppers prewashed and sterilized at 116°C for 30 minutes; use triple aluminum seals and suitable plastic hangers.
- Sterilized filled bottle by autoclaving at 121°C for 20 minutes; do not exceed temperature by 3°C or time by 2 minutes either side of the limit. The autoclaving cycle should be fully validated to prevent excess 5-hydroxy methyl furfural test limits of USP.

ELECTROLYTE MAINTENANCE FLUID (FOR REHYDRATION)

Bill of Materials (Batch Size 1 L)					
Scale/mL	Item	Material	Qty	UOM	
50.0	mg	1	Dextrose anhydrous, USP, 10% excess	55.00	g
3.70	mg	2	Sodium chloride NF, 5% excess	3.88	g
1.30	mg	3	Potassium chloride NF, 5% excess	1.60	g
3.70	mg	4	Ammonium chloride NF, 5% excess	3.88	g
0.15	mg	5	Sodium sulfite, NF, 5% excess	0.156	g
QS	mL	6	Hydrochloric acid for pH adjustment	QS	
QS	mL	7	Water for injection, USP	QS to 1.00	L
QS		8	Glacial acetic acid, NF, for pH adjustment	QS	

MANUFACTURING DIRECTIONS

The general directions are common to all LVPs containing dextrose. Read directions for dextrose 5%.

- To 0.8 L of item 7 add items 2, 3, and 4, and stir and dissolve.
- Check and adjust pH to 4.8 to 5.0 with item 6. (Do not adjust if in this range.)
- Add items 1 and 5 and make up volume.
- Check and adjust pH again to 4.8 to 5.2 with item 8.
- Filter using at least a 0.45 μm filter before final filtration with 0.22- μm filter and fill into type I 540 mL glass bottles.
- Fill 540 mL while maintaining solution at 45°C to 50°C and seal immediately by using butyl gray rubber stoppers prewashed and sterilized at 116°C for 30 minutes; use triple aluminum seals and suitable plastic hangers.
- Sterilized filled bottle by autoclaving at 121°C for 20 minutes; do not exceed temperature by 3°C or time by 2 minutes either side of the limit. The autoclaving cycle should be fully validated to prevent excess 5-hydroxy methyl furfural test limits of USP.

ELECTROLYTE MAINTENANCE FLUID (MAINTENANCE, PEDIATRIC)

Bill of Materials (Batch Size 1 L)					
Scale/mL	Item	Material	Qty	UOM	
5.00	%	1	Dextrose anhydrous, USP, 10% excess	55.00	g
0.315	%	2	Sodium acetate, 5% excess	3.30	g
0.13	%	3	Potassium chloride, 5% excess	1.365	g
0.031	%	4	Magnesium chloride, 5% excess	0.334	g
0.026	%	5	Dibasic potassium phosphate, 5% excess	0.273	g
0.021	%	6	Sodium metabisulfite, 5% excess	0.224	g
QS		7	Glacial acetic acid, NF	QS	
QS	mL	8	Water for injection, USP	QS to 1.00	L

MANUFACTURING DIRECTIONS

- Dissolve items 2 to 5 in 0.9 L of item 8.
- Adjust pH to 5.0 using item 7.
- Add item 1 and mix.
- Make up the volume and check pH again and adjust between 4.8 and 5.0.
- Filter by using at least a 0.45 μm filter before final filtration with 0.22 μm filter and fill into type I 540 mL glass bottles.

ELECTROLYTE MAINTENANCE FLUID: MAINTENANCE (45 mEq)

- Fill 540 mL while maintaining solution at 45°C to 50°C and seal immediately by using butyl gray rubber stoppers prewashed and sterilized at 116°C for 30 minutes; use triple aluminum seals and suitable plastic hangers.
- Sterilized filled bottle by autoclaving at 121°C for 20 minutes; do not exceed temperature by 3°C or time by 2 minutes either side of the limit. The autoclaving cycle should be fully validated to prevent excess 5-hydroxy methyl furfural test limits of USP.

ELECTROLYTE MAINTENANCE FLUID**Bill of Materials (Batch Size 1 L)**

Scale/mL	Item	Material	Qty	UOM
25.00	mg	1 Dextrose hydrous, USP (use 23.89 g if using anhydrous)	26.25	g
2.05	mg	2 Sodium chloride, USP	2.05	g
0.98	mg	3 Sodium citrate, USP	0.98	g
2.16	mg	4 Potassium citrate monohydrate	2.16	g
QS	mg	5 Citric acid, USP, anhydrous, for pH adjustment	QS	
QS	mL	6 Water for injection, USP	QS to 1.00	L

ELECTROLYTE MAINTENANCE FLUID REHYDRATION (75 MEQ)**Bill of Materials (Batch Size 1 L)**

Scale/mL	Item	Material	Qty	UOM
25.00	mg	1 Dextrose hydrous, USP (use 23.89 g if using anhydrous)	26.25	g
3.80	mg	2 Sodium chloride, USP	3.80	g
0.98	mg	3 Sodium citrate, USP	0.98	g
2.16	mg	4 Potassium citrate monohydrate	2.16	g
QS	mg	5 Citric acid, USP, anhydrous, for pH adjustment	QS	
QS	mL	6 Water for injection, USP	QS to 1.00	L

ELECTROLYTE MAINTENANCE FLUID REHYDRATION (90 MEQ)**Bill of Materials (Batch Size 1 L)**

Scale/mL	Item	Material	Qty	UOM
25.00	mg	1 Dextrose hydrous, USP, (use 23.89 g if using anhydrous)	26.25	g
4.68	mg	2 Sodium chloride, USP	4.68	g
0.98	mg	3 Sodium citrate, USP	0.98	g
2.16	mg	4 Potassium citrate monohydrate	2.16	g
QS	mg	5 Citric acid, USP, anhydrous, for pH adjustment	QS	
QS	mL	6 Water for injection, USP	QS to 1.00	L

MANUFACTURING DIRECTIONS

1. Add item 1 to ca. 80% of item 6 in a previously cleaned mixing tank.
2. Add and dissolve items 3, 2, and 4, in order. Mix to dissolve.
3. Check pH to 6.0 to 6.5; adjust if necessary with item 5.
4. Filter using a 0.45 μm prefilter and 0.22 μm membrane filter.
5. Fill and steam sterilize.

ELECTROLYTES, TPN INJECTION**Bill of Materials (Batch Size 1 L)**

Scale/mL	Item	Material	Qty	UOM
16.07	mg	1 Sodium chloride, USP	16.07	g
16.54	mg	2 Calcium chloride, USP	16.54	g
74.55	mg	3 Potassium chloride, USP	74.55	g
25.41	mg	4 Magnesium chloride, USP	25.41	g
121.00	mg	5 Sodium acetate, USP	121.00	g
QS	mL	6 Hydrochloric acid, reagent grade, for pH adjustment		
QS	mL	7 Water for injection, USP		

MANUFACTURING DIRECTIONS

1. Prepare the solution in a glass-lined or 315 or higher temper-grade stainless-steel tank.
2. Add item 7 to ca. 70% of the final volume into the tank.

3. Add and dissolve items 1 to 5 with mixing.
4. QS with item 7 and mix.
5. Check and record pH adjust with item 6 if necessary.
6. Filter the solution through a previously rinsed filtration setup, using an approved 0.45 μm membrane with an approved prefilter into a glass-lined or stainless-steel tank.
7. Fill into clean vials by using the surge bottle.
8. Autoclave at 121°C for 20 minutes.
9. Inspect and finish.
10. Sample for testing.

EMETINE HYDROCHLORIDE INJECTION

Bill of Materials (Batch Size 1 L)

Scale/mL	Item	Material	Qty	UOM
30.00	mg	1	Emetine hydrochloride, USP	50.00 g
QS	mL	2	Sodium hydroxide for pH adjustment	QS
QS	mL	3	Hydrochloric acid for pH adjustment	QS
QS	mL	4	Water for injection, USP	QS to 1.00 L

MANUFACTURING DIRECTIONS

1. Dissolve item 1 in 0.9 L of item 4. Make up the volume.
2. Check and adjust pH to 3.0 (2.7–3.3) with items 2 and 3.
3. Filter through presterilized filtration assembly through a 45 μm prefilter and a 0.22 μm filter into a sterilized staging vessel.
4. Fill 1.1 mL into presterilized type I glass ampoule aseptically. *Do not* autoclave.

ENALAPRILAT INJECTION

Bill of Materials (Batch Size 1 L)

Scale/mL	Item	Material	Qty	UOM
5.00	mg	1	Enalaprilat	5.40 g
11.40	mg	2	Sodium phosphate dibasic anhydrous	11.40 g
9.00	mg	3	Benzyl alcohol	9.00 g
QS	mL	4	Water for injection	QS to 1.00 L

EPHEDRINE AND PYRILAMINE MALEATE INJECTION VETERINARY

Bill of Materials (Batch Size 1 L)

Scale/mL	Item	Material	Qty	UOM
25.00	mg	1	Pyrilamine maleate, NF	25.00 g
10.00	mg	2	Ephedrine HCl, NF	10.00 g
3.00	mg	3	Chlorobutanol anhydrous, USP	3.00 g
QS	mL	4	Water for injection	QS to 1.00 L

EPHEDRINE SULFATE INJECTION

Bill of Materials (Batch Size 1 L)

Scale/mL	Item	Material	Qty	UOM
50.00	mg	1	Ephedrine sulfate, USP	50.00 g
QS	mL	2	Water for injection, USP	QS to 1.00 L

MANUFACTURING DIRECTIONS

Note: The solution must be prepared in a glass-lined or a 316 or higher temper-grade stainless-steel tank cleaned according to approved plant basic operating procedures.

1. Add item 2 to tank to ca. 90% of the final volume.
2. Add and dissolve item 1 with mixing.
3. QS with item 2 to final volume and mix until drug is dissolved and solution is uniform. Check pH (range 5–6.5).
4. Filter solution through a previously rinsed filtration setup, using an approved 0.45 μm or finer membrane with an approved prefilter. Filter solution into a clean glass-lined or 316 stainless-steel holding tank. Sample.
5. With the 0.22 μm inline filter, fill specified dose into each clean, dry ampoule, and seal and sterilize in a steam autoclave at 121°C for 15 minutes. Sample.

EPINEPHRINE AUTO INJECTOR INJECTION

Bill of Materials (Batch Size 1 L)

Scale/mL	Item	Material	Qty	UOM
1.00	mg	1	Epinephrine	1.80 g
5.40	mg	2	Sodium chloride	5.40 g
1.50	mg	3	Sodium metabisulfite	1.50 g
QS	mL	4	Hydrochloric acid for pH adjustment	QS
QS	mL	5	Water for injection, USP	QS to 1.00 L
QS	ft ³	6	Nitrogen gas, NF	QS

MANUFACTURING DIRECTIONS

Note: This preparation requires strict control of exposure to light and air.

1. Take 0.9 L of item 5 and pass item 6 for 20 minutes, covered and protected from light.
2. Add and dissolve items 2 and 3.
3. Add item 1 and dissolve.
4. Check and adjust pH with item 4 to 2.2 to 5.0.
5. Filter through 0.22 μm membrane filter into emergency-use syringes.

EPINEPHRINE INJECTION

Bill of Materials (Batch Size 1 L)

Scale/mL	Item	Material	Qty	UOM
1	mg	1	Epinephrine, USP	1.00 g
9	mg	2	Sodium chloride, USP	9.00 g
5	mg	3	Chlorobutanol anhydrous, USP	5.00 g
2	mg	4	Sodium bisulfite, USP	2.00 g
QS	mL	5	Water for injection, USP	QS to 1.00 L
QS	mL	6	Hydrochloric acid for pH adjustment	QS

EPOETIN-ALPHA FOR INJECTION

Bill of Materials (Batch Size 1 L)

Scale/mL	Item	Material	Qty	UOM
2000	U	1	Epoetin alpha ^a	2000,000 U
2.50	mg	2	Albumin (human)	2.50 g
5.80	mg	3	Sodium citrate	5.80 g
5.80	mg	4	Sodium chloride	5.80 g
0.06	mg	5	Citric acid	0.06 g
QS	mL		Water for injection, USP	QS to 1.00 L

^a Other strengths to 40000 U require adjustment of ingredients; adjust pH to 6.9 (range 6.6–7.2).

EPOPROSTENOL SODIUM FOR INJECTION

Bill of Materials (Batch Size 1 L)

Scale/mL	Item	Material	Qty	UOM
0.50	mg	1	Epoprostenol sodium equivalent to epoprostenol	0.50 g
3.76	mg	2	Glycine	3.76 g
2.93	mg	3	Sodium chloride	2.93 g
50.00	mg	4	Mannitol	50.00 g
QS	mL	5	Sodium hydroxide for pH adjustment	QS
QS	mL	6	Water for injection, USP	QS to 1.00 L

Note: Adjust pH to 10.2 to 10.8; freeze dry; diluent includes glycine; sodium hydroxide in water for injection.

ERGOCALCIFEROL INJECTION (VITAMIN D)

Bill of Materials (Batch Size 2 L)

Scale/mL	Item	Material	Qty	UOM
400.00	IU	1	Ergocalciferol, USP = $8 \times 10^5/40 \times 10^6$ potency of raw material	800000 IU 20.00 mg
50.00	mg	2	Polysorbate 20, NF	100.00 g
500.00	mg	3	Glycerin, NF	1.00 kg
QS	mL	4	Water for injection, USP	2.00 L
QS	–	5	Nitrogen gas, NF	QS –
QS	mL	6	Sodium hydroxide, 10%, for pH adjustment	QS mL

MANUFACTURING DIRECTIONS

- Put item 2 into a clean compounding tank and place it on a hot plate, heat it to approximately 40°C and not exceeding 60°C, keep nitrogen blanket over tank throughout.
- Add item 1 with constant stirring to step 1. Keep stirring until a clear solution is obtained.
- Stop heating; while agitating, add in portions item 3 to the tank.
- Bring within approximately 100 mL of the final volume with item 4. Mix thoroughly and check pH.
- If necessary, adjust pH to between 5.0 and 7.0 with item 6. Do not adjust pH if within this range already.
- Bring to final volume with item 4, check pH, and if approved, filter through a 0.22 µm filter into a sterile jar. Keep N₂ cover. Fill with N₂ postfill flush.

ERGONOVINE MALEATE INJECTION**Bill of Materials (Batch Size 1 L)**

Scale/mL	Item	Material	Qty	UOM
0.25	mg	1 Ergonovine maleate, USP, 7% excess	267.50	mg
0.20	mg	2 Acid maleic, BP	200.00	mg
QS	–	3 Nitrogen, NF	QS	–
QS	mL	4 Water for injection, USP	QS to 1.00	L

MANUFACTURING DIRECTIONS

Precautions: Prepare solution in a clean glass-lined tank. Use N₂ protection throughout. Product is heat sensitive and must be refrigerated. Do not freeze.

- Add item 4 to ca. 90% of the final volume into a glass-lined tank protected from light.
- Bubble filter item 3 into item 4 for 10 minutes. Blanket with item 3.
- Add and dissolve item 1 and 0.4% solution of item 2 (30 mL of a 0.4% item 2 solution needed for 1 L of final solution) with mixing.
- Check pH (range 2.7–3.5). Adjust to pH 3 with remaining portion of 0.4% solution of item 2.
- QS with item 4 to final volume. Sample.
- Sterilize an approved 0.2- or 0.22 µm membrane filter with an approved prefilter.
- Filter the solution through the sterilized filter unit into a sterile glass-lined holding container.
- Sterilize sulfur-treated ampoules, using dry heat at 245°C for at least 3 hours and 25 minutes or an equivalent cycle.
- Connect bulk solution container by using aseptic technique to the filling machines.

- Aseptically fill the specified dose into each clean, sterile ampoule.
- Flush the headspace of each ampoule with sterile-filtered item 3. Immediately seal. Sterilize and sample.

ERGONOVINE MALEATE INJECTION VETERINARY**Bill of Materials (Batch Size 1 L)**

Scale/mL	Item	Material	Qty	UOM
0.20	mg	1 Ergonovine maleate, NF	0.20	g
0.50	mL	2 Liquefied phenol, USP	0.50	g
QS	mL	3 Water for injection, USP	1.00	L
QS	mL	4 Hydrochloric acid for pH adjustment	QS	

ERYTHROMYCIN INJECTION**Bill of Materials (Batch Size 1 L)**

Scale/mL	Item	Material	Qty	UOM
50.00	mg	1 Erythromycin, use erythromycin, USP, base special ^a	66.42	g
–	mL	2 Lactobionic acid, 12% w/v ^b	272.28	mL
QS	mg	3 Charcoal activated USP ^c	QS	g
9.00	mg	4 Benzyl alcohol, NF, for ampoules (15% excess)	12.38	g
QS	–	5 Nitrogen, NF	QS	–
QS	mL	6 Water for injection, USP	QS to 1.00	L

^a Qty based on a theoretical potency of 900 µg/mg; to be recalculated depending on actual potency.

^b Include 5% excess for pH adjustment. The ratio between erythromycin base and lactobionic acid should remain constant.

^c Amount of charcoal depends on area of filter. Use ca. 440 g/m² of filter surface area.

MANUFACTURING DIRECTIONS

Note: Lactobionic acid is an irritant. Avoid contact with skin and eyes. Solution must be kept refrigerated prior to use.

- Preparation of erythromycin lactobionate. *Note:* Total procedure for addition of lactobionic acid to erythromycin should not take less than 1.5 hours; all steps must be completed within a 12-hour period.
 - Add ca. one-third of item 1 to 50% of the final volume of item 6 that has been previously cooled

- to 5°C to 10°C. Mix slowly; vigorous agitation will produce foaming and prevent adequate mixing. Maintain temperature of solution at 18°C or less throughout processing.
- b. To this item 1 slurry, slowly add 86 mL of item 2 solution, the addition taking approximately 20 minutes. Mix for an additional 10 minutes. Item 2 must be added slowly in small amounts to prevent localized low pH in slurry and to give sufficient time for the reaction to occur. Reaction is completed when solution is almost clear.
 - c. Add another one-third of item 1 followed by the slow addition of 86 mL of item 2 solution until the reaction is completed.
 - d. Add remainder of item 1 followed by the slow and careful addition of the remaining item 2 solution until pH is reached.
 - e. Add item 6 to 88% of the final volume and mix until drug is dissolved.
 - f. Check pH (range 7.0–7.5). If pH is more than 7.5, adjust down to pH 7.4 cautiously with item 2. Add in small quantities with thorough mixing and check pH after each addition. If pH falls less than 7.0, adjust up to 7.4 with small, careful additions of item 1 base. Stir at least 30 minutes after each addition and recheck pH after each addition.
 - g. Make a slurry of item 3 and add to the solution. Discontinue cooling, but keep temperature less than 18°C at all times. Mix for 1 hour.
 - h. Filter through a previously rinsed filter press or equivalent cellulose filters. Remove item 3 by recirculation through press. Recirculate for at least 15 minutes until solution is clear of item 3.
 - i. Filter solution through a previously rinsed approved filtration setup by using a 0.45 µm or finer membrane filter connected in series prefilter. Recirculate for at least 15 minutes and filter into a glass-lined or 316 stainless-steel tank.
 - j. QS to final volume with item 6. Mix until ingredients are dissolved and solution is uniform. Sample.
 - k. Store solution in refrigerator (2–6°C) until filled. Filling of this solution should be completed as soon as possible but NMT 6 days after the solutions are prepared.
 - l. Prepare a sterile 0.22 µm membrane filtration setup.
2. Preparation of bottles. Use type I glass, 50 mL bottles.
 - a. Wash, dry, and stack bottles in a container suitable for sterilizing.
 - b. Sterilize bottles by using dry heat at 200°C (–0, +50°C) bottle temperature for 225 minutes (–0, +360 minutes). Maintain oven temperature at 225°C (±10°C) for duration of the cycle.
 3. Preparation of stoppers. Stopper: West, Faultless, or Sel-gas. Sterilize by autoclaving at 121°C for 60 minutes and vacuum dry at a temperature less than 90°C.
 4. Filtration.
 - a. Connect tank, sterile 0.22 µm membrane and sterile surge bottles to filling equipment by using aseptic technique.
 - b. Apply N₂ gas pressure to tank to provide adequate filtration rate. (Do not apply more than 10 lb.) Sample.
 5. Filling.
 - a. Fill solution into each clean, dry sterile bottle and prestopper with lyophilization stoppers.
 - b. Place filled bottles in sterile metal trays and introduce them into the previously sterilized chamber. Do not allow filled or bulk solution to warm to temperature. Freeze or refrigerate solution until lyophilized.
 - c. Freeze product to –35°C to –38°C for blown vials or –25°C to –30°C when using tubing vials. Freezing temperature below those specified will cause excessive breakage.
 - d. Apply 100 to 200 µm vacuum and set shelf temperature controller at 38°C. Set condenser temperature less than –50°C.
 - e. Increase shelf temperature as product temperature approaches shelf temperature until product temperature reaches 38°C (±2°C). Hold at this temperature for at least 4 hours.
 - f. Release vacuum with sterile N₂ gas and aseptically remove bottles from chamber. Aseptically apply stoppers and seal. Sample.

ESMOLOL HYDROCHLORIDE INJECTION INFUSION

Bill of Materials (Batch Size 1 L)

Scale/mL	Item	Material	Qty	UOM
10.00	mg	1 Esmolol hydrochloride	10.00	g
5.90	mg	2 Sodium chloride	5.90	g
2.80	mg	3 Sodium acetate trihydrate	2.80	g
0.546	mg	4 Glacial acetic acid	0.546	g
QS	mL	5 Sodium hydroxide for pH adjustment		
QS	mL	6 Hydrochloric acid for pH adjustment		
QS	mL	7 Water for injection, USP	QS to 1.00	L

Note: Adjust pH to 5.0 (4.5–5.5); package in nonlatex bags.

CONCENTRATE

Bill of Materials (Batch Size 1 L)					
Scale/mL	Item	Material	Qty	UOM	
250.00	mg	1	Esmolol hydrochloride	250.00	g
250.00	mg	2	Propylene glycol	250.00	g
250.00	mg	3	Alcohol, USP	250.00	g
17.00	mg	4	Sodium acetate trihydrate	17.00	g
0.00715	mL	5	Glacial acetic acid	7.15	mL
QS	mL	6	Hydrochloric acid for pH adjustment		
QS	mL	7	Sodium hydroxide for pH adjustment		
QS	mL	8	Water for injection, USP	QS to 1.00	L

Note: Adjust pH to 3.5 to 5.5.

ESTRADIOL CYPIONATE INJECTION

Bill of Materials (Batch Size 1 L)					
Scale/mL	Item	Material	Qty	UOM	
2.00	mg	1	Estradiol cypionate, USP	2.00	g
20.00	mg	2	Benzyl alcohol, NF	20.00	g
QS	mL	3	Cottonseed oil, USP	QS to 1.00	L

Note: Adjust fill volume for different strengths.

ESTRADIOL SUSPENSION INJECTION

Bill of Materials (Batch Size 1 L)					
Scale/mL	Item	Material	Qty	UOM	
0.50	mg	1	Estradiol, NF	0.50	g
1.00	mg	2	Carboxymethylcellulose sodium, USP	1.00	g
1.00	mg	3	Sodium phosphate, USP	1.00	g
9.00	mg	4	Sodium chloride, USP	9.00	g
1.10	M	5	Benzalkonium chloride 50%, USP	1.10	M
QS	mL	6	Water for injection, USP	1.00	L
QS	mL	7	Acetic acid for buffering	QS	QS
QS	mL	8	Sodium acetate for buffering	QS	QS

Note: Adjust quantity of item 1 for 1 mg/mL strength.

ESTRADIOL VALERATE INJECTION

Bill of Materials (Batch Size 1 L)					
Scale/mL	Item	Material	Qty	UOM	
10.00	mg	1	Estradiol valerate, USP	10.00	g
20.00	mg	2	Benzyl alcohol, NF	20.00	g
QS	mL	3	Sesame oil, USP	QS to 1.00	L

ESTROGENIC SUBSTANCES IN OIL INJECTION

Bill of Materials (Batch Size 1 L)					
Scale/mL	Item	Material	Qty	UOM	
1.50	mg	1	Estrone, NF	1.50	g
0.50	mg	2	Estrogenic substances, combined with item 1 = 2 mg	0.50	g
40.00	mg	3	Benzyl alcohol, NF	40.00	g
QS	mL	4	Sesame oil, USP	QS to 1.00	L

ESTRONE, ESTRADIOL, AND CYANOCOBALAMIN INJECTION

Bill of Materials (Batch Size 1 L)					
Scale/mL	Item	Material	Qty	UOM	
2.00	mg	1	Estrone, NF	2.00	g
2.00	mg	2	Estradiol, NF	2.00	g
1000.00	mg	3	Cyanocobalamin, USP	1000.00	mg
1.00	mg	4	Carboxymethylcellulose sodium, USP	1.00	g
1.00	mg	5	Sodium phosphate, USP	1.00	g
9.00	mg	6	Sodium chloride, USP	9.00	g
15.00	mL	7	Benzyl alcohol, NF	15.00	g
QS	mL	8	Water for injection, USP	QS to 1.00	L
QS	mL	9	Hydrochloric acid for pH adjustment	QS	
QS	mL	10	Acetic acid for buffering	QS	
QS	mL	11	Sodium acetate for buffering; see item 10	QS	

ESTRONE STERILE SUSPENSION VETERINARY INJECTION

Bill of Materials (Batch Size 1 L)

Scale/mL	Item	Material	Qty	UOM
5.00	mg	1	Estrone, NF	5.00 g
1.00	mg	2	Carboxymethylcellulose, USP	1.00 g
1.00	mg	3	Sodium phosphate, USP	1.00 g
9.00	mg	4	Sodium chloride, USP	9.00 g
1.10	M	5	Benzalkonium chloride, 50%, USP	1.10 M
QS	mL	6	Water for injection, USP	1.00 L
QS	mL	7	Acetic acid for buffering	QS
QS	mL	8	Sodium acetate for buffering; see item 7	QS

ETANERCEPT INJECTION

Bill of Materials (Batch Size 1 L)

Scale/mL	Item	Material	Qty	UOM
25.00	mg	1	Etanercept	25.00 g
40.00	mg	2	Mannitol	40.00 g
10.00	mg	3	Sucrose	10.00 g
1.20	mg	4	Tromethamine	1.20 g
QS	mL	5	Water for injection, USP	QS to 1.00 L

Note: Lyophilized powder is reconstituted with 1.0 mL of water for injection containing 0.9% benzyl alcohol.

ETORPHINE HYDROCHLORIDE VETERINARY

Bill of Materials (Batch Size 1 L)

Scale/mL	Item	Material	Qty	UOM
1.00	mg	1	Etorphine hydrochloride (M-99)	1.00 g
3.40	mg	2	Sodium hydroxide, USP	3.40 g
0.50	mg	3	Disodium edetate	0.50 g
14.00	mg	4	Citric acid, USP	14.00 g
0.50	mg	5	Propylene glycol, USP	0.50 g
5.00	mg	6	Benzyl alcohol, NF	5.00 g
QS	mL	7	Water for injection, USP	QS to 1.00 L

EXEMESTANE AQUEOUS SUSPENSION INJECTION

Bill of Materials (Batch Size 1 L)

Scale/mL	Item	Material	Qty	UOM
100.00	mg	1	Exemestane (micronized)	100.00 g
1.80	mg	2	Methyl paraben	1.80 g
0.20	mg	3	Propyl paraben	0.20 g
8.30	mg	4	Sodium chloride	8.30 g
30.00	mg	5	Polyethylene glycol 400	30.00 g
2.00	mg	6	Polysorbate 80 (Tween®)	2.00 g
1.50	mg	7	Methylcellulose	1.50 g
5.00	mg	8	Lecithin	5.00 g
1.00	mg	9	L-Methionine	1.00 g
0.50	mg	10	Edetate sodium	0.50 g
0.694	mg	11	Sodium phosphate monobasic hydrate	0.694 g
0.588	mg	12	Sodium phosphate dibasic dodecahydrate	0.588 g
QS	mL	13	Sodium hydroxide for pH adjustment	
QS	mL	14	Hydrochloric acid for pH adjustment	
QS	mL	15	Water for injection, USP	QS to 1.00 L

MANUFACTURING DIRECTIONS

1. Take 0.2 L of item 15 in a suitable vessel and add and disperse items 8 and 7 (adding in that order to the vessel). Mix to obtain a homogenous dispersion.
2. Autoclave at 121°C for 15 minutes the preparation in step 1.
3. In another vessel, take 0.8 L of item 15 and add and dissolve all other ingredients except item 1.
4. Pass the solution in step 3 through a 0.22 µm filter to sterilize.
5. Add preparation in step 4 to preparation in step 2 under aseptic conditions.
6. Check and adjust pH to 6.0 to 7.0 with item 13 or 14.
7. Add item 1 (presterilized by heat) and homogenize to form a smooth suspension.
8. Fill.

FAMOTIDINE INJECTION

Bill of Materials (Batch Size 1 L)					
Scale/mL	Item	Material	Qty	UOM	
10.00	mg	1	Famotidine	10.00	g
4.00	mg	2	L-Aspartic acid	4.00	g
QS	mL	3	Sodium hydroxide for pH adjustment	QS	
20.00	mg	4	Mannitol	20.00	g
0.90	%	5	Benzyl alcohol ^a	0.90	%
QS	mL	6	Water for injection, USP	QS to 1.00	L

^a For multidose injection only. Adjust pH with item 2 or 3 to 5.7 to 6.4.

FENOLDOPAM MESYLATE INJECTION

Bill of Materials (Batch Size 1 L)					
Scale/mL	Item	Material	Qty	UOM	
10.00	mg	1	Fenoldopam mesylate equivalent to fenoldopam	10.00	g
3.44	mg	2	Citric acid	3.44	g
518.00	mg	3	Propylene glycol	518.00	g
0.61	mg	4	Sodium citrate dihydrate	0.61	g
1.00	mg	5	Sodium metabisulfite	1.00	g
QS	mL	6	Water for injection, USP	QS to 1.00	L

FENTANYL CITRATE INJECTION

Bill of Materials (Batch Size 1 L)					
Scale/mL	Item	Material	Qty	UOM	
78.55	mg	1	Fentanyl citrate, USP	78.55	mg
QS	mg	2	Sodium hydroxide, reagent-grade pellets	QS	mg
QS	mL	3	Hydrochloric acid, reagent-grade bottles	QS	mL
QS	mL	4	Water for injection, USP	QS to 1.00	L

MANUFACTURING DIRECTIONS

1. Add item 4 to the stainless-steel tank to ca. 95% of the final volume.
2. Add and dissolve item 1 with mixing. After drug addition, maintain protection from undue light exposure.

3. Check pH. Adjust to 4.5 if necessary (range 4.3–4.7) with item 2 or 3 (1% each).
4. QS to final volume with item 4 and mix well, check pH, and adjust as in step 3.
5. Filter through a previously rinsed filtration setup by using an approved 0.45 µm or finer membrane, with an approved prefilter, into a clean glass-lined or 316 stainless-steel tank. Sample. Before starting to fill, flush 3 to 4 L to clean equipment of residual water and to set dosage. Discard.
6. Using an inline filter, fill specified amount into each clean, dry type I glass ampoule. Seal.
7. Sterilize in steam autoclave at 115°C and an F_0 range of 8 to 20. Cooling water rate should be controlled to minimize thermal shock. Alternatively, sterilize in steam autoclave at 122°C and an F_0 range of 8 to 20. Sample.

FILGRASTIM INJECTION

Bill of Materials (Batch Size 1 L)					
Scale/mL	Item	Material	Qty	UOM	
0.30	mg	1	Filgrastim	0.30	g
0.59	mg	2	Sodium acetate	0.59	g
50.00	mg	3	Sorbitol	50.00	g
0.004	%	4	Polysorbate 80	0.004	%
0.035	mg	5	Sodium chloride	0.035	g
QS	mL	6	Water for injection, USP	QS to 1.00	L

FLOSULIDE INJECTION

Bill of Materials (Batch Size 1 L)					
Scale/mL	Item	Material	Qty	UOM	
10.00	mg	1	Flosulide	10.00	g
50.00	mg	2	<i>N</i> -Methyl pyrrolidone	50.00	g
50.00	mg	3	Dimethylacetamide	50.00	g
300.00	mg	4	Polyethylene glycol 400	300.00	g
20.00	mg	5	Benzyl alcohol	20.00	g
0.50	mg	6	Alpha-tocopheryl acetate	0.50	g
QS	mL	7	Propylene glycol, USP	QS to 1.00	L

FLUCONAZOLE INJECTION**Bill of Materials (Batch Size 1 L)**

Scale/mL	Item	Material	Qty	UOM
2.00	mg	1 Fluconazole	2.00	g
9.00	mg	2 Sodium chloride	9.00	g
56.00	mg	3 Dextrose anhydrous, USP	56.00	g
QS	mL	4 Water for injection, USP	QS to 1.00	L

Note: Use either item 2 or 3; packaged in plastic bags and sterilized by autoclaving.

FLUMAZENIL INJECTION**Bill of Materials (Batch Size 1 L)**

Scale/mL	Item	Material	Qty	UOM
0.10	mg	1 Flumazenil	1.00	g
1.80	mg	2 Methyl paraben	1.80	g
0.20	mg	3 Propyl paraben	0.20	g
9.00	mg	4 Sodium chloride	9.00	g
0.10	mg	5 Disodium edetate	0.10	g
0.10	mg	6 Acetic acid, glacial	0.10	g
QS	mL	7 Sodium hydroxide for pH adjustment	QS	
QS	mL	8 Hydrochloric acid for pH adjustment	QS	
QS	mL	9 Water for injection, USP	QS to 1.00	L

Note: Adjust pH to 4.0 with item 7 or 8.

FOLIC ACID AND NIACINAMIDE INJECTION**Bill of Materials (Batch Size 1 L)**

Scale/mL	Item	Material	Qty	UOM
15.00	mg	1 Folic acid, USP, 15% excess	19.16	g
150.00	mg	2 Niacinamide, USP, 15% excess	191.60	g
0.5	%	3 Liquefied phenol, NF	5.00	g
QS	mL	4 Water for injection, USP	QS to 1.00	L
QS	mL	5 Hydrochloric acid for pH adjustment	QS	
QS	mL	6 Sodium hydroxide for pH adjustment	QS	
QS		7 Nitrogen gas, NF	QS	

MANUFACTURING DIRECTIONS

1. Maintain cover of item 7 throughout the manufacturing process.
2. Dissolve item 2 in 0.6 L of item 4.
3. Add item 1 into step 1 to make a suspension and dissolve it by slow addition of 40% of item 6 until dissolved; do not overadd item 6.
4. Dissolve item 3 in 0.1 L of item 4 and add this solution to that of step 2 slowly.
5. Make up volume. Check and adjust pH to 6.8 (6.5–7.0).
6. Filter through a 0.45 µm prefilter and 0.22 µm filter into a presterilized staging assembly.
7. Fill 10.5 mL into type 110 mL amber glass vials presterilized aseptically under cover of item 7.

FOLLITROPIN-BETA FOR INJECTION**Bill of Materials (Batch Size 1 L)**

Scale/mL	Item	Material	Qty	UOM
75.00	IU	1 Follitropin-beta	75,000	IU
25.00	mg	2 Sucrose	25.00	g
7.35	mg	3 Sodium citrate dihydrate	7.35	g
0.10	mg	4 Polysorbate 80	0.10	g
QS	mL	5 Hydrochloric acid for pH adjustment	QS	
QS	mL	6 Sodium hydroxide for pH adjustment	QS	
QS	mL	7 Water for injection, USP	QS to 1.00	L

Note: Adjust pH to 7.0; 1 mL per vial lyophilized.

FURALTADONE INJECTABLE SOLUTION (50 MG/ML)**FORMULATION**

Furaltadone, 5.00 g; tartaric acid, 1.25 g; Kollidon 12 PF [1], 25.00 g; water of injectables, add 100 mL.

MANUFACTURING DIRECTIONS

1. Dissolve the solid substances in water at approximately 50°C.
2. The sterilization can be made by aseptic filtration or by heating (120°C, 20 minutes).

REMARK

To prevent of discoloration of Kollidon in the solution during storage, 0.2 to 0.5% of cysteine could be added as antioxidant.

FUROSEMIDE INJECTION

Bill of Materials (Batch Size 1 L)				
Scale/mL	Item	Material	Qty	UOM
10.00	mg	1 Furosemide, USP	10.00	g
7.50	mg	2 Sodium chloride, USP	7.50	g
1.34	mg	3 Sodium hydroxide, NF	1.34	g
QS		4 Sodium hydroxide, NF, for pH adjustment	QS	
QS		5 Hydrochloric acid, reagent grade, NF	QS	
QS	mL	6 Water for injection, USP	QS to 1.00	L
QS		7 Nitrogen gas, NF	QS	

MANUFACTURING DIRECTIONS

- Preparation of water. Check item 6 to be used for solution preparation and verify that it meets conductivity limit of NMT 1.0 mS/s and pH range of 5.0 to 7.0.
- Preparation of solution. *Caution:* Product is light sensitive. Protect from light as much as possible throughout the processing.
 - Put 900 mL of item 6 into the preparation vessel and bubble N₂ gas (item 7) to expel dissolved oxygen gas. Monitor the O₂ sensor display (O₂% limit = NMT 1).
 - Put 300 mL of item 6 into another preparation vessel and bubble item 7 for 20 minutes.
 - Add and dissolve items 2 and 3 into the step 2a preparation vessel.
 - Add item 1 into step 2c solution and stir until it is completely dissolved and the solution is clear.
 - Check pH (range 8.5–9.1).
 - Adjust pH if necessary with 10% sodium hydroxide solution or 1 N hydrochloric acid solution.
 - After adjusting pH, make up volume to 1 L by item from step 2b and mix it for 15 minutes, followed by bubbling item 7 for 20 minutes.
 - Check final pH (range 8.5–9.1).
 - Take sample for assay.
- Preparation of ampoules. Use sterilized type I 2 mL amber glass ampoules, USP.
- Preparation of filtration assembly and machine parts for production. Clean and sterilize filtration assembly and machine parts in the autoclave as per USP 24.
- Integrity testing. Before starting the sterile filtration, check the integrity of filter cartridge.
- Aseptic filling. Fill 2.15 mL (range 2.1–2.2 mL) solution from the bulk into each sterile dry clean ampoule and seal it.

- Terminal sterilization. Load the filled ampoules inside the autoclave chamber. Run the cycle at a sterilization temperature of 121.1°C and an exposure time of 20 minutes.
- Ampoules leak test. Perform the leak test.
- Optical checking. Check the ampoules under the optical checking machine.

GENTAMICIN AND PREDNISOLONE OPTHALMIC DROPS

Bill of Materials (Batch Size 42 L)				
Scale/mL	Item	Material	Qty	UOM
Part I				
		1 Water purified (distilled), USP	6.00	L
0.65 ^a	mg	2 Hydroxypropyl methylcellulose, F-4M	39.90	g
Part II				
		3 Water purified (distilled), USP	10.00	L
4.50	mg	4 Polyvinyl alcohol, 20–90	918.80	g
0.50 ^b	mg	5 Polysorbate 80, NF (use a 10% solution)	b	mL
Part III				
		6 Water purified (distilled), USP	40.00	L
4.50	mg	7 Sodium citrate, dihydrate, USP	295.30	g
3.30 ^c	mg	8 Gentamicin sulfate, USP	216.60 ^d	g
6.80 ^a	mg	9 Sodium chloride, USP	441.30	g
0.15	mg	10 Disodium edetate, USP	9.80	g
0.05	mg	11 Benzalkonium chloride, NF (10% solution)	32.80 ^e	mL
QS	mL	12 1 N Hydrochloric acid, NF ^a	QS ^f	mL
QS	mL	13 1 N Sodium hydroxide, NF ^a	QS ^f	mL
		14 Water purified (distilled), USP	60.00	L
		15 Sterile filtrate, QS parts I, II, and III	38.40	L
Part IV				
10.00	mg	16 Prednisolone acetate, USP	420.00	g
Part V				
		17 Water purified (distilled) USP	2.88	L

^a Includes amount contained in hydroxypropyl methylcellulose micronizing diluent. It contains 0.5% hydroxypropylmethyl cellulose F-4M and 0.9% sodium chloride.

- ^b Required amount is contained in the micronization of prednisolone acetate, the specific gravity of polysorbate 80 is 1.08g/mL.
- ^c The amount of gentamicin sulfate equivalent to 3.0 mg/mL of gentamicin base.
- ^d The amount of gentamicin sulfate is calculated as follows: $216.6 \text{ g} \times 1000 \text{ mg/mg}/\text{manufacturer's assay value} = \text{g of gentamicin sulfate required}$.
- ^e The amount of benzalkonium chloride, 10% solution, to be added must be calculated on the basis of the assay value of the raw material lot used as follows: $32.8 \text{ mL} \times 10.0\%/\text{assay value (\%)} = \text{mL benzalkonium chloride, 10\% solution, required}$.
- ^f For pH adjustment.

MANUFACTURING DIRECTIONS

Part I

1. Measure out ca. 30 L of item 1 into a stainless-steel pressure vessel. Begin mixing with a suitable mixer and heat it to 80°C to 90°C.
2. Measure out 3 L of heated item 1 into a stainless-steel pressure vessel. Begin mixing it with a propeller mixer. Add item 2 slowly to the vortex and mix until it is thoroughly dispersed.
3. Transfer the dispersion to a glass bottle, rinse the container, and add the rinsings to the glass bottle. Place the glass bottle in the water sink and begin mixing.
4. Add item 1 to the bottle to bring the volume to ca. 6 L. Fill the water sink with cold water purified (distilled). Cool the dispersion to less than 30°C.
5. Cover the mouth of the bottle with two layers of aluminum foil. Secure the aluminum foil with two rubber bands. Place the bottle in the refrigerator, chill for at least 12 hours at 15°C or less until item 2 is completely hydrated.

Part II

1. Measure out ca. 30 L of item 3 into a stainless-steel jacketed pressure vessel. Heat it to 85°C to 90°C.
2. When the temperature reaches 85°C to 90°C, turn off the heat source and begin mixing vigorously. Measure out 10 L of heated item 3 into a 20 L glass bottle. Add item slowly to the vortex. Mix for at least 90 minutes until all dissolved.
3. Add item 5 and mix well. Cool to room temperature with continuous agitation by placing in cold water bath.

Part III

1. Measure out ca. 40 L of item 6 into a mixing tank. Begin mixing. Add the items 7 to 11, in order, allowing each to mix thoroughly or dissolve completely before adding the next.
2. Mix thoroughly. Avoid excess foam formation. Add part I to the mixing tank containing part III, while mixing part II.
3. Transfer part II into the mixing tank containing combined parts I and III.

4. Use 1 to 2 L of water purified (distilled) to rinse the part II kettle and any equipment used to transfer part II. Add the rinsings to the mixing tank.
5. Sample for pH. If necessary, adjust pH to 6.4 to 6.6 with item 12 or 13.
6. QS combined parts I, II, and III to 60 L with item 14. Mix parts I, II, and III (base) thoroughly for at least 15 minutes. Avoid excess foam formation. Sample.
7. Mix the product for at least 10 minutes before filtration. Sterile-filter with the aid of N₂ pressure (15–30 lb) into a sterilized 100 L stainless-steel pressure vessel. Perform the bubble point test.

Part IV

1. Micronize prednisolone acetate.

Part V

1. Measure out and transfer item 17 into a suitable glass bottle. Seal the mouth of the bottle with two layers of aluminum foil paper and two layers of parchment paper.
2. Sterilize it by autoclaving for at least 80 minutes at 121°C. Remove the bottles from the autoclave and allow it to cool to room temperature.

Mixing Procedure

1. Grind the steroid for at least 6 hours before mixing.
2. Aseptically receive 38.4 L of sterile-filtered base (combined parts I, II, and III) into a sterilized glass bottle and place the glass bottle on a magnetic mixing table.
3. Place the bottle and magnetic mixer in front of a laminar air flow hood. Aseptically add a sterilized magnetic stirring bar to the glass bottle containing the base. Adjust the mixing speed such that a 0.5-in deep vortex is formed.
4. Aseptically pour the ground item 16 from the grinding jar, through a sterilized funnel, into the bottle containing the base. The volume of the suspension in the bottle should be 42 L.
5. Allow the product to mix with a 0.5-in deep vortex for at least 2 hours.
6. Homogenize the product suspension by using a sterilized homogenizer. Allow the product to mix in the receiving bottle after completion of homogenization for at least hours. Sample.
7. Aseptically fill sterile solution through P2 sintered glass into sterilized container. Perform the bubble point test. Sample.

GENTAMICIN INJECTION (20 MG/2 ML)

Bill of Materials (Batch Size 10 L)				
Scale/mL	Item	Material	Qty	UOM
10	mg	1	Gentamicin base, 3% excess (use equivalent amount of gentamicin sulfate), USP	103.0 g
1.2	mg	2	Methyl paraben, USP	12.0 g
0.2	mg	3	Propyl paraben, USP	2.0 g
0.11	mg	4	Sodium edetate, USP	1.1 g
QS		5	Sulfuric acid, reagent-grade pellets, for pH adjustment	QS
QS		6	Sodium hydroxide pellet for pH adjustment	QS
QS	mL	7	Water for injection, USP	QS
QS		8	Nitrogen gas, NF	QS

GENTAMICIN INJECTION (80 MG/2 ML)

Bill of Materials (Batch Size 10 L)				
Scale/mL	Item	Material	Qty	UOM
40.00	mg	1	Gentamicin base, 3% excess (use equivalent amount of gentamicin sulfate), USP	412.00 g
1.80	mg	2	Methyl paraben, USP	18.00 g
0.20	mg	3	Propyl paraben, USP	2.00 g
0.11	mg	4	Sodium edetate, USP	1.10 g
QS		5	Sulfuric acid, reagent-grade pellets, for pH adjustment	QS
QS		6	Sodium hydroxide pellets for pH adjustment	QS
QS	mL	7	Water for injection, USP	QS to 10.00 L
QS		8	Nitrogen gas, NF	QS

Note: Qty of gentamicin sulfate = (1000 ∞ weight of gentamicin base)/(potency of gentamicin as base).

MANUFACTURING DIRECTIONS

1. Preparation of water.

- Check the water for injection used for solution preparation and verify that it meets conductivity NMT 1 pS/cm.
- Take the sample for pH (range 5.0–7.0)

2. Preparation of solution.

- Put 3 L of water for injection into the first 20 L preparation vessel and bubble N₂ gas to expel dissolved O₂ for 20 minutes.
- Put 9 L of water for injection (hot water, 82–85°C) in a second 20 L preparation vessel. Check and record water temperature.
- Add and dissolve methyl paraben and propyl paraben in water for injection from step 2b with stirring until clear solution is obtained.
- Bubble N₂ gas through solution for 20 minutes and allow to cool to 30°C or less. Record temperature.
- Add and dissolve sodium EDTA into solution of step 2d. Mix until dissolved.
- Add and dissolve gentamicin sulfate into solution of step 2f and make a clear solution.
- Check and record pH (range 3.5–5.0).
- Adjust pH by 2 N H₂SO₄ / 2 N NaOH solution.
- Check pH after adjustment (range 3.5–5.0).
- Make volume up to 10 L by water for injection from step 2a and mix for 15 minutes.
- Take final pH (range 3.5–5.0).
 - Bubble N₂ gas for 20 minutes.
- Request sample for assay.
- Transfer the preparation vessel to solution room.

3. Preparation of ampoules. Use type I 2 mL clear glass ampoules, USP.

- Assemble the machine parts (2 mL size) and set up the washing machine as per SOPs.
- Wash the ampoules according to SOPs.
- Sterilize the ampoules by using the dry heat tunnel.
- Set the temperature as per latest validation studies with revised cycle. Set temperature to 330°C.

4. Sterilization. Sterilize the filtration assembly and ampoule filling machine parts at 121°C for 30 minutes. Set the parameters according to current validated cycle. Sterilize the gowns at 121°C for 30 minutes. Set the parameters according to current validated cycle.

5. Integrity testing.

- Before starting the sterile filtration, check the integrity of filter cartridge according to SOPs.
- Record integrity test results of filter cartridge.
- Aseptically connect the N₂ line through sterile N₂ filter to inlet of the holding tank refer to SOPs.

6. Aseptic filling.

- Assemble the previously sterilized machine parts and set up the machine as per SOPs.
- Aseptically connect one end of previously sterilized filtration assembly with a 0.22 µm filtration cartridge to the outlet of the holding tank and the other end to the buffer holding tank.
- Operate the ampoules filling machine according to SOPs. Bleed the dosing system as described in

the operating procedure. Adjust the fill volume to 2.15 mL.

- d. Fill 2.15 mL (range 2.1–2.2 mL) solution from the bulk into each sterile, dry clean ampoule and seal it.

GENTAMICIN OPHTHALMIC DROPS

Bill of Materials (Batch Size 45 L)

Scale/mL	Item	Material	Qty	UOM
Part I				
	1	Water purified (distilled), USP	10.00	L
14.00	mg	2 Polyvinyl alcohol, 20–90	630.00	g
Part II				
	3	Water purified (distilled), USP	25.00	L
8.00	mg	4 Sodium phosphate dibasic heptahydrate, USP	360.00	g
6.30	mg	5 Sodium chloride, USP	283.50	g
0.127	mg	6 Disodium edetate, USP	5.72	g
0.04	mL	7 Benzalkonium chloride, NF (10% solution)	18.00 ^a	mL
3.30	g	8 Gentamicin sulfate, USP	148.50 ^b	g
QS	mL	9 5 N Hydrochloric acid, NF	QS	mL
QS	mL	10 1 N Sodium hydroxide, NF	QS	mL
QS	mL	11 Water purified (distilled), USP	QS to 45.00	L

^a The amount of benzalkonium chloride, 10% solution, to be added must be calculated on the basis of the assay value of the raw material lot used according to the following formula: $18 \text{ mL} \times 10.0\% / \text{assay value (\%)} = \text{mL benzalkonium chloride, 10\% solution, required}$.

^b The amount of gentamicin sulfate calculated as follows: $148.5 \text{ g} \times 1000 \text{ mg/manufacturer's assay value} = \text{g of gentamicin sulfate required}$.

MANUFACTURING DIRECTIONS

Part I

1. Measure out ca. 10 L of item 1 into a jacketed stainless-steel pressure vessel. Heat it to 85°C to 90°C, turn off the heat source, and begin mixing it by a propeller mixer.
2. Add item 2 slowly to the vortex. Mix for at least 90 minutes until all of it is dissolved. Cool to room temperature, with continuous agitation, by running cold water through the kettle jacket.

Part II

1. Measure out ca. 25 L of item 3 into a mixing tank. Begin mixing and add items 4 to 7, in order, allowing each to dissolve completely before adding the next.
2. Rinse the container with water purified and add the rinsings to the batch.
3. Add item 8.
4. Pump part I into the tank containing part II and mix thoroughly for at least 30 minutes.
5. Sample for pH (range 7.4–7.5). If necessary, adjust the pH with item 9 or 10.
6. Allow any foam to dissipate and QS to 45 L with item 11. Mix thoroughly for at least 15 minutes.
7. Before filtration, mix the product for at least 10 minutes. Perform the bubble point test. Sample.
8. Aseptically fill sterile solution into sterilized containers. Perform the bubble point test.

GLYCINE ANTAGONIST INFUSION

Bill of Materials (Batch Size 1 L)

Scale/mL	Item	Material	Qty	UOM
1.69	mg	1 Glycine antagonist ^a	1.69	g
0.72	mg	2 Tris (hydroxymethyl) aminomethane	0.72	g
7.68	mg	3 EDTA disodium salt dihydrate	7.68	mg
0.0194	mL	4 Propylene glycol	19.40	mL
50.00	mg	5 Dextrose anhydrous, USP	50.00	g
QS	mL	6 Water for injection, USP	QS to 1.00	L

^a (E)-3-[2-[(phenylcarbamoyl) ethenyl]-4,6-dichloroindole-2-carboxylic acid.

MANUFACTURING DIRECTIONS

1. In sufficient quantity of item 6, add and dissolve items 2 to 5.

Bolus Injection

1. Add and dissolve item 1.
2. Add item 5 and dissolve.
3. Make up volume with item 6.
4. Filter aseptically and sterilize by autoclaving.

GLYCINE ANTAGONIST INFUSION

Bill of Materials (Batch Size 1 L)

Scale/mL	Item	Material	Qty	UOM	
70.60	mg	1	Glycine antagonist ^a	70.60	g
1.30	mg	2	Tris (hydroxymethyl) aminomethane	1.30	g
10.00	mg	3	Polysorbate 80	10.00	g
300.00	mg	4	Glycofurol	300.00	g
50.00	mg	5	Mannitol	50.00	g
QS	mL	6	Water for injection, USP	QS to 1.00	L

^a (E)-3[2-[(phenylcarbamoyl) ethenyl]-4,6-dichloroindole-2-carboxylic acid.

MANUFACTURING DIRECTIONS

- In a suitable container, add item 5 to item 6 and dissolve.
- Add and dissolve item 2.
- In a separate container, add and mix item 1 with item 2 and item 4.
- Add step 2 into step 3 gradually and slowly.
- Filter through 0.2 µm membrane filter and autoclave at 131°C for 15 minutes.

GLYCOPYRROLATE INJECTION

Bill of Materials (Batch Size 1 L)

Scale/mL	Item	Material	Qty	UOM	
0.20	mg	1	Glycopyrrolate	0.20	g
9.00	mg	2	Benzyl alcohol	9.00	g
QS	mL	3	Hydrochloric acid for pH adjustment		
QS	mL	4	Sodium hydroxide for pH adjustment		
QS	mL	5	Water for injection, USP	QS to 1.00	L

Note: Adjust pH with item 3 or 4 to 3.0 to 4.0.

GRAMICIDIN OPHTHALMIC SOLUTION (1.3 MG/10 ML)

FORMULATION

- Gramicidin, 13 mg; Cremophor RH 40 [1], 0.1 g.
- Ethanol 96%, 1.0 g; preservatives, QS; water, 98.8 g.

MANUFACTURING DIRECTIONS

- Mix gramicidin and Cremophor RH 40, heat to approximately 65°C, stir, and add slowly the heat solution II.

GRANISETRON HYDROCHLORIDE INJECTION SINGLE DOSE

Bill of Materials (Batch Size 1 L)

Scale/mL	Item	Material	Qty	UOM	
1.00	mg	1	Granisetron, use granisetron hydrochloride	1.12	g
9.00	mg	2	Sodium chloride	9.00	g
QS	mL	3	Water for injection, USP	QS to 1.00	L

Note: pH 4.7 to 7.3; do not adjust.

GRANISETRON HYDROCHLORIDE INJECTION MULTIPLE DOSE

Bill of Materials (Batch Size 1 L)

Scale/mL	Item	Material	Qty	UOM	
1.00	mg	1	Granisetron, use granisetron hydrochloride	1.12	g
9.00	mg	2	Sodium chloride	9.00	g
2.00	mg	3	Citric acid	2.00	g
10.00	mg	4	Benzyl alcohol	10.00	g
QS	mL	5	Water for injection, USP	QS to 1.00	L

Note: pH 4.0 to 6.0; do not adjust.

GUAIACOL-IODIDE SOLUTION VETERINARY

Bill of Materials (Batch Size 1 L)

Scale/mL	Item	Material	Qty	UOM	
40.00	mg	1	Potassium guaiacolsulfonate	40.00	g
50.00	mg	2	Sodium iodide, USP	50.00	g
1.00	mg	3	Sodium metabisulfite, NF	1.00	g
20.00	mg	4	Benzyl alcohol, NF	20.00	g
QS	mL	5	Water for injection, USP	QS to 1.00	L
QS	mL	6	Sodium hydroxide for pH adjustment	QS	

HALOPERIDOL INJECTION**Bill of Materials (Batch Size 1 L)**

Scale/mL	Item	Material	Qty	UOM
50.00	mg	1 Haloperidol, use haloperidol decanoate	70.52	g
12.00	mg	2 Benzyl alcohol	12.00	g
QS	mg	3 Sesame oil refined	QS to 1.00	L

Note: For higher strength of 100 mg, change only the quantity of active ingredient.

HEMIN FOR INJECTION**Bill of Materials (Batch Size 1 L)**

Scale/mL	Item	Material	Qty	UOM
31.30	mg	1 Hemin	31.30	g
21.50	mg	2 Sodium carbonate	21.50	g
30.00	mg	3 Sorbitol	30.00	g
QS	mL	4 Hydrochloric acid for pH adjustment		
QS	mL	5 Water for injection, USP	QS to 1.00	L

Note: Lyophilize 10 mL in each vial.

HEPARIN INJECTION**Bill of Materials (Batch Size 1 L)**

Scale/mL	Item	Material	Qty	UOM
9.00	mg	1 Benzyl alcohol, NF	9.00	g
9.00	mg	2 Sodium chloride, USP	9.00	g
1000.00	U	3 Heparin sodium lyophilized, USP (NLT 120 U/g), adjust to specification	8.333	g
QS	mL	4 Hydrochloric acid for pH adjustment	QS	
QS	mL	5 Sodium hydroxide for pH adjustment	QS	
QS	mL	6 Water for injection, USP	QS to 1.00	L

Note: Heparin sodium injection, USP, is a sterile solution. Each milliliter contains 1000, 2500, 5000, 7500, 10000, 15000, or 20000 USP U heparin sodium derived from porcine intestinal mucosa (standardized for use as an anticoagulant), in water for injection, and NMT 10 mg benzyl alcohol as a preservative. The pH range is 5.0 to 7.5. Heparin lock flush solution, USP, is a sterile solution. Each milliliter contains either 10 or 100 USP U heparin sodium derived from porcine intestinal mucosa (standardized for use as an anticoagulant), in normal saline solution, and NMT 10 mg benzyl alcohol as a preservative. The pH range is 5.0 to 7.5.

MANUFACTURING DIRECTIONS

Note: Use only fresh pyrogen-free water for injection. Expensive solution; handle with care.

- Preparation.
 - Dissolve benzyl alcohol in ca. 80% of the final volume of water for injection.
 - Add and dissolve sodium chloride and sodium heparin.
 - Add water for injection, and QS to final volume. Mix thoroughly.
 - Check and adjust pH (range 5.8–6.8) with 10% HCl or 10% NaOH.
 - Sample for testing.
 - Filter solution through a previously rinsed filtration setup, using an approved 0.45 µm membrane and an approved prefilter. Filter into a clean glass-lined or 316 stainless-steel holding tank. If not filled within 24 hours, store at 2°C to 8°C. Allow to warm to room temperature before filling.
 - Prepare for sterilization a 0.22 µm membrane filtration.
- Preparation of bottles.
 - Wash and dry type I glass bottles, 10 or 30 mL, and load into appropriate containers for sterilization.
 - Sterilize at 200°C (–0°C, +50°C), bottle temperature for 225 minutes (–0, +360 minutes), while maintaining the oven temperature at 225°C (±10°C) for the duration of the cycle.
 - Deliver the bottles to sterile filling area.
- Preparation of stoppers. West Cpd 867 gray (92-046).
 - Leach stoppers by boiling for 10 minutes in deionized water.
 - Wash stoppers in a Prosperity (or equivalent) washer by using rubber cycle with 10 mL of Triton X-100.
 - Dry in Huebsch (or equivalent) fast dryer at 55°C.
 - Store in suitable containers until ready for use.
 - Tray and inspect and rinse thoroughly. Wrap tray and identify properly.
 - Sterilize at 121°C for 60 minutes.

Note: Use completely aseptic technique in filling. This is an expensive solution.
- Filling (10- or 30 mL vials).
 - Connect bulk solution container, previously prepared sterile filter, and sterile surge bottle to filler by using aseptic technique.
 - Aseptically fill either 10.5 or 31.0 mL of solution into each clean, sterile bottle. Stopper.
 - Request sample.
 - Apply seal and inspect.
 - Request samples.

HEPATITIS B IMMUNE GLOBULIN (HUMAN)

SOLVENT/DETERGENT TREATED AND FILTERED

Hepatitis B immune globulin (human) is a sterile solution of immunoglobulin ($5 \pm 1\%$ protein) containing antibodies to hepatitis B surface antigen (anti-HBs). The product is formulated in 0.075 M sodium chloride, 0.15 M glycine, and 0.01% polysorbate 80, pH 6.25. It contains no preservative and is intended for single use by the intramuscular (IM) route only.

HEXAMETHYLMELAMINE INJECTION

Bill of Materials (Batch Size 1 L)

Scale/mL	Item	Material	Qty	UOM	
5.00	mg	1	Hexamethylmelamine	5.00	g
150.00	mg	2	Soybean oil, USP, superfine	150.00	g
12.00	mg	3	Egg phospholipid, parenteral grade	12.00	g
5.00	mg	4	Pluronic F-68®	5.00	g
22.50	mg	5	Glycerin, USP	22.50	g
QS	mL	6	Sodium hydroxide for pH adjustment		
QS	mL	7	Hydrochloric acid for pH adjustment		
QS	mL	8	Water for injection, USP	QS to 1.00	L

MANUFACTURING DIRECTIONS

- In a suitable container, dissolve item 1 in item 2 by propeller mixing.
- Add to this solution slowly item 3 while continue mixing.
- In another vessel, mix item 4 and 5 and 0.4 L of item 8 by propeller mixing.
- Add the solution in step 3 to the solution in step 2 slowly and with continuous propeller mixing.
- Check and adjust pH to 7.4 (range 7.2–7.6) with item 6 or 7.
- Make up volume with item 8.
- This is a coarse emulsion (2- to 25 μm droplets); pass it through a Microfluidizer® at 12000 psi pressure three times to droplet size of 0.22 μm with distribution of size to $\pm 26\%$. The size is measured by the quasielastic laser light scattering particle size determination instrument.
- Fill into suitable parenteral container.
- Sterilize by autoclaving at 121°C for 15 minutes.
- Measure particle size again.

HYDROCHLORIC ACID

Bill of Materials (Batch Size 3 L)

Scale/mL	Item	Material	Qty	UOM	
2.00	mEq	1	Hydrochloric acid concentrated, NF (11.62 N), 2% excess	516.00	mL
QS	mL	2	Water for injection USP	QS to 3.00	L

MANUFACTURING DIRECTIONS

Note: Use glass-lined compounding tanks only, special filtration and filling equipment, and proper safety (inhalation) equipment.

- Take approximately 500 mL of item 2 in a clearly marked compounding vessel.
- Measure required quantity of item 1 to the compounding vessel containing item 2.
- Add item 2 close to QS. Mix thoroughly and allow the solution to cool to room temperature.
- QS to volume with item 2 and mix thoroughly.
- Sample for testing.
- After approval, sterile filter through special filter compatible with formulation (0.22 μm) and fill (flint vials, Teflon-coated stoppers, 1888 gray).

HYDROCORTISONE SODIUM PHOSPHATE INJECTION

Bill of Materials (Batch Size 1 L)

Scale/mL	Item	Material	Qty	UOM	
50.00	mg	1	Hydrocortisone equivalent hydrocortisone sodium phosphate	67.09	g
8.00	mg	2	Creatinine	8.00	g
10.00	mg	3	Sodium citrate	10.00	g
QS	mL	4	Sodium hydroxide for pH adjustment		
3.20	mg	5	Sodium bisulfite	3.20	g
1.50	mg	6	Methyl paraben	1.50	g
0.20	mg	7	Propyl paraben	0.20	g
QS	mL	8	Water for injection, USP	QS to 1.00	L

Note: Adjust pH to 7.5 to 8.5.

HYDROCORTISONE SODIUM SUCCINATE FOR INJECTION (SINGLE-UNIT SYSTEM LYOPHILIZED)

Bill of Materials (Batch Size 20 L)

Scale/mL	Item	Material	Qty	UOM
63.80	mg	1 Hydrocortisone hemisuccinate, USP	1276.00	g
0.40	mg	2 Sodium phosphate monobasic anhydrous, USP	8.00	g
4.36	mg	3 Sodium phosphate dibasic anhydrous USP	87.20	g
5.25	mg	4 Sodium hydroxide, USP	110.40	g
QS	mL	5 Sodium hydroxide for pH adjustment	QS	
QS	mL	6 Water for injection, USP	QS to 20	L

MANUFACTURING DIRECTIONS

- Preparation of solution
 - Prepare a 10% solution of item 4 (110.4 g in 1104 mL) in item 6 in a clean container. Let the solution cool to room temperature.
 - Prepare a 1 N solution of item 5 (20.0 g in 500 mL) in a clean container. Let the solution cool to room temperature.
 - In another container, dissolve item 2 in 2000 mL of item 6. Mix to a homogenous solution.
 - Add item 3 to the solution prepared in step 1c. Mix the tank contents to homogeneous solution.
- Compounding
 - Place approximately 10 L of item 6 into a clear compounding tank. Cool to between 15°C and 18°C.
 - Add item 1 to step 2a. Agitate to suspend the compound in water.
 - Record temperature of suspension.
 - Record pH of suspension.
 - With constant stirring, carefully add solution in step 1a in small portions to the suspension. Monitor pH and temperature so that they do not rise more than 7.8 and 8°C, respectively. If they do, wait until they come down.
 - At the end of the addition, the suspension should turn into a clear solution. If needed, add more item 4.
 - When the solution has cleared, measure pH and temperature.
 - Add phosphate solution to the compounding tank and mix to a homogenous solution. Check pH and temperature.
- Lyophilization
 - Bring to final volume. Again check pH and temperature.
 - Withdraw sample for laboratory test. After approval, filter through a sterile 0.22 μm filter protecting from light.
 - Fill and determine fill volumes gravimetrically.

HYDROCORTISONE SODIUM SUCCINATE FOR INJECTION (NONLYOPHILIZED)

Bill of Materials (Batch Size 1 L)

Scale/mL	Item	Material	Qty	UOM
50.00	mg	1 Hydrocortisone acetate	50.00	g
9.00	mg	2 Sodium chloride	9.00	g
4.00	mg	3 Polysorbate 80	4.00	g
5.00	mg	4 Carboxymethylcellulose	5.00	g
9.00	mg	5 Benzyl alcohol	9.00	g
QS	mL	6 Sodium hydroxide for pH adjustment		
QS	mL	7 Hydrochloric acid for pH adjustment		
QS	mL	8 Water for injection, USP	QS to 1.00	L

Note: Adjust pH to 5 to 7; 5 mL vials.

TWIN-UNIT SYSTEM

This product comprises two solutions. Solution 1 is used in conjunction with Solution 2 for reconstitution. Each milliliter of the reconstituted solution contains 50 mg of hydrocortisone.

HYDROCORTISONE SODIUM SUCCINATE FOR INJECTION**SOLUTION 1****Bill of Materials (Batch Size 1 L)**

Scale/mL	Item	Material	Qty	UOM	
0.46	mg	1	Sodium phosphate monobasic monohydrate, USP	0.46	g
4.37	mg	2	Sodium phosphate dibasic anhydrous, USP	4.37	g
50.0	mg	3	Hydrocortisone, use equivalent hydrocortisone hemisuccinate, USP, anhydrous (equivalent to hydrocortisone 50.0 g)	63.85	g
QS	mL	4	Sodium hydroxide for pH adjustment	QS	
QS	mL	5	Hydrochloric acid for pH adjustment	QS	
QS	mL	6	Nitrogen gas, NF	QS	
QS	mL	7	Water for injection, USP	QS	

MANUFACTURING DIRECTIONS

Caution: Hydrocortisone hemisuccinate is a potent drug. Avoid inhaling dust and contact with open sounds. Operators must wear face masks and rubber gloves and wash thoroughly after handling.

1. Preparation.

- Add water for injection to a clean 316 stainless-steel mixing tank to ca. 60% of the final volume. (The tank should be equipped with baffles to insure better mixing.)
- Add and dissolve sodium phosphate monobasic and dibasic with mixing.
- Cool the sodium phosphate solution to 10°C to 14°C before proceeding and maintain this temperature range throughout solution preparation.
- Slowly add the hydrocortisone hemisuccinate while mixing to form a smooth dispersion.

- Add 2 N sodium hydroxide solution with mixing at a rate of NMT 100 mL/min until a pH of 7.5 to 7.6 is attained and the solution is essentially clear. Record pH and amount of 2 N sodium hydroxide added. *Note:* 2 N sodium hydroxide is prepared by dissolving 80 g of item 4 in 1 L water; ca. 80 mL of 2 N sodium hydroxide is needed per liter of hydrocortisone solution.
 - Add water for injection to final volume and mix thoroughly for at least 45 minutes.
 - Check and record pH (range 7.5–7.6). If more than 7.6, adjust with 10% hydrochloric acid (if below, use 2 N sodium hydroxide). Record pH and amount of hydrochloric acid or sodium hydroxide used.
 - Filter solution through an approved 0.2 µm nylon filter into a clean 316 stainless-steel portable tank. Use either N₂ pressure (NMT 10 psig) or a pump for filtration.
 - Sample for testing.
 - Store solution at 2°C to 8°C until ready for filling. Do not hold for more than 48 hours.
- Preparation of bottles. Use type I 5 mL glass bottles.
 - Wash, dry, and load bottles into a container suitable for sterilization.
 - Sterilize bottles by using dry heat at 200°C bottle temperature for 225 minutes (or an equivalent cycle).
 - Deliver bottles to the sterile filling area.
 - Preparation of stoppers. Use West Cpd No. 1811 stoppers.
 - Wash by using rubber cycle and suitable detergents.
 - Dry in fast dryer at 55°C.
 - Inspect and wrap for autoclaving.
 - Sterilize by autoclaving at 121°C for 60 minutes and vacuum dry with heat at a temperature not to exceed 90°C.
 - Deliver to the sterile filling area.
 - Filtration.
 - Sample for testing.
 - Connect tank, sterile 0.2 µm filtration setup and sterile surge bottle to filling machine, using aseptic technique.
 - Filling.
 - Aseptically fill 2.3 mL into each clean, dry sterile bottle.
 - Place filled bottles in sterile metal trays and cover with sterile cover.
 - Freeze product to –30°C (±5°C) and hold the product at this temperature range for at least 1 hour before increasing shelf temperature.
 - Cool condenser to –50°C or less.
 - Conduct vacuum level check.
 - Control chamber pressure to 800 µm (±50 µm).
 - Control shelf temperature at +20°C (±2°C).

- h. When product temperature reaches +10°C or higher, raise shelf temperature to 60°C ($\pm 2^\circ\text{C}$).
 - i. When product temperature reaches +52°C or higher, control chamber pressure at less than 60 μm (full vacuum).
 - j. Maintain product temperature greater than 50°C for 3.5 hours (± 0.5 hours) before unloading.
Note: The shelf temperature may be lowered to 25°C ($\pm 5^\circ\text{C}$) before unloading.
 - k. Release vacuum with filtered N₂ gas and remove bottles from chamber.
 - l. Aseptically apply stoppers and seals.
 - m. Inspect and send appropriate samples to QA for testing.
6. Finishing. Sample for testing.
- b. Connect tank containing solution, sterile filtration setup, and sterile surge bottle to filling machine by using aseptic technique.
 - c. Apply N₂ gas pressure to tank to provide adequate filtration rate (NMT 10 psig). If tank does not have a pressure heat, connect pump between tank and filter.
4. Filling.
- a. Sample for testing.
 - b. Aseptically fill 2.3 mL of sterile-filtered solution into each sterile ampoule.
 - c. Seal ampoules and inspect.

HYDROMORPHONE HYDROCHLORIDE INJECTION SINGLE DOSE

SOLUTION 2

Bill of Materials (Batch Size 1 L)				
Scale/mL	Item	Material	Qty	UOM
9.54	mg	1 Benzyl alcohol, NF, for ampoule	9.54	g
QS	mL	2 Water for injection, USP	QS to 1.00	L
QS	mL	3 Nitrogen gas, NF	QS	

MANUFACTURING DIRECTIONS

Caution: Use 316 or higher temper-grade stainless-steel or steel-lined tank cleaned according to approved BOPs.

1. Preparation of solution.
 - a. Collect ca. 95% of the final volume of water for injection in a tank.
 - b. Add and dissolve with mixing benzyl alcohol.
 - c. Add water for injection to final volume and mix thoroughly for ca. 45 minutes.
 - d. Filter solution through a 0.2 μm filtration setup into a portable 316 stainless-steel holding tank.
 - e. Sample for testing.
 - f. Store solution at room temperature before filling.
Note: Do not hold solution more than 30 days before filling.
2. Preparation of ampoules. Use type I 2 mL glass ampoules.
 - a. Wash, dry, and load ampoules in container suitable for sterilization.
 - b. Sterilize ampoules by using dry heat at 200°C glass temperature for 225 minutes (or use an equivalent cycle).
 - c. Deliver ampoules to the sterile filling area.
3. Filtration.
 - a. Send appropriate samples for testing.

Bill of Materials (Batch Size 1 L)

Scale/mL	Item	Material	Qty	UOM
1.00	mg	1 Hydromorphone hydrochloride	1.00	g
2.00	mg	2 Sodium citrate	2.00	g
2.00	mg	3 Citric acid	2.00	g
QS	mL	4 Water for injection, USP	QS to 1.00	L

Note: For 2- and 4 mg concentration, use the same formula.

HYDROMORPHONE HYDROCHLORIDE INJECTION MULTIPLE DOSE

Bill of Materials (Batch Size 1 L)

Scale/mL	Item	Material	Qty	UOM
2.00	mg	1 Hydromorphone hydrochloride	2.00	g
0.50	mg	2 Disodium edetate	0.50	g
1.80	mg	3 Methyl paraben	1.80	g
0.20	mg	4 Propyl paraben	0.20	g
QS	mL	5 Sodium hydroxide for pH adjustment		
QS	mL	6 Water for injection, USP	QS to 1.00	L

Note: Fill 20 mL into vials.

HYDROXYCOBALAMIN INJECTION

Bill of Materials (Batch Size 308 L)

Scale/mL	Item	Material	Qty	UOM
1000.00	mg	1	Hydroxycobalamin, NF (as acetate, 344.96 × 100% assay)	344.96 ^a g
0.204	mg	2	Sodium acetate trihydrate, USP	62.83 g
2.18	mg	3	Glacial acetic acid, USP, for pH adjustment	136.14 g
8.20	mg	4	Sodium chloride, USP	2525.60 g
1.50	mg	5	Methyl paraben, USP	462.00 g
0.20	mg	6	Propyl paraben, USP	61.60 g
QS	mL	7	Water for injection, USP	QS to 308.00 L
QS	mL	8	Nitrogen gas, NF	QS

^a Take the moisture content and the assay value of hydroxycobalamin (as acetate) into calculation.

MANUFACTURING DIRECTIONS

1. Measure ca. 33 L of item 7 into a clean stainless-steel container and heat to 90°C.
2. Add items 5 and 6 to the heated water and stir to dissolve. Cool to 25°C to 30°C.
3. Measure ca. 253 L of item 7 into another stainless-steel clean mixing tank and mark it accordingly.
4. Add the solution from step 2 into the mixing tank with constant agitation.
5. Add items 2, 3, and 4 into the mixing tank with constant agitation until a clear solution is obtained.
6. Add item 1 into the mixing tank with constant agitation until a clear solution is obtained.
7. Bring to final volume with item 7; check pH and sample for in-process checks.
8. Bubble item 8 continuously into the mixing tank.
9. Sterile filter through a 0.22 μm filter into an appropriate reservoir for filling.
10. Use amber type I vials, 1888 gray stoppers, and appropriate aluminum seals

HYDROXYPROGESTERONE CAPROATE INJECTION

Bill of Materials (Batch Size 1 L)

Scale/mL	Item	Material	Qty	UOM
125.00	mg	1	Hydroxyprogesterone caproate, USP	125.00 g
460.00	mg	2	Benzyl benzoate, USP	460.00 g
20.00	mg	3	Benzyl alcohol, NF	20.00 g
QS	mL	4	Castor oil, USP	QS to 1.00 L

HYDROXYPROPYLMETHYLCELLULOSE OPHTHALMIC SOLUTION

Bill of Materials (Batch Size 1 L)

Scale/mL	Item	Material	Qty	UOM
20.00	mg	1	Hydroxypropylmethylcellulose	20.00 g
4.90	mg	2	Sodium chloride	4.90 g
0.75	mg	3	Potassium chloride	0.75 g
0.48	mg	4	Calcium chloride	0.48 g
0.30	mg	5	Magnesium chloride	0.30 g
3.90	mg	6	Sodium acetate	3.90 g
1.70	mg	7	Sodium citrate	1.70 g
QS	mL	8	Sodium hydroxide for pH adjustment	QS
QS	mL	9	Hydrochloric acid for pH adjustment	QS
QS	mL	10	Water for injection, USP	QS to 1.00 L

Note: Adjust pH to 6.8 to 7.6. Fill bottles and terminally sterilize.

HYOSCINE BUTYLBROMIDE INJECTION

Bill of Materials (Batch Size 1 L)

Scale/mL	Item	Material	Qty	UOM
20.0	mg	1	Hyoscin- <i>N</i> -butylbromide	20.00 g
QS	mL	2	Water for injection, USP	QS to 1.00 L
QS	mL	3	Hydrobromic acid, 1% solution	QS mL
QS	mL	4	Nitrogen gas, NF	QS

MANUFACTURING DIRECTIONS

1. Preparation of water. Check item 2 to be used for solution preparation and verify that it meets conductivity limit of NMT 1 mS/cm and pH range of 5 to 7.
2. Preparation of solution.

- a. Put 900 mL of item 2 into the preparation vessel and bubble N₂ gas (item 4) to expel dissolved O₂ gas. Monitor the O₂ sensor display (O₂% limit = NMT 1).
 - b. Add and dissolve item 1 into step 2a preparation vessel. Mix well with stirring to make clear solution.
 - c. Check pH (range 4.0–5.2).
 - d. Adjust pH if necessary with item 3 (range 4.0–5.2).
 - e. After adjustment of pH, make up volume to 1 L by item 2 and mix during bubbling item 4 until oxygen % is less than 1.
 - f. Check final pH (range 4.0–5.2).
 - g. Take sample for assay.
3. Preparation of ampoules. Use type I 2 mL clear glass ampoules, USP. Sterilize the ampoules by using dry heat tunnel.
 4. Preparation of filtration assembly and machine parts for production. Clean and sterilize filtration assembly and machine parts by autoclaving.
 5. Prefiltration.
 - a. Before starting the filtration, check the integrity of filter cartridge.
 - b. Integrity test results of filter cartridge.
 - c. Transfer the solution from the preparation vessel to mobile vessel through filtration assembly, containing a 0.45 µm filter cartridge.
 - d. After filtration, transfer mobile vessel to solution room.
 6. Final filtration.
 - a. Before starting the final filtration, check the integrity of filter cartridge.
 - b. Aseptically connect the N₂ line through sterile N₂ filter to the inlet of vessel.
 - c. Aseptically connect one end of the previously sterilized filtration assembly with 0.22 µm pore-size filtration cartridge to the outlet of vessel and the other end to the buffer holding tank on the ampoule's filling machine parts.
 - d. Filter the solution.
 7. Aseptic filling. Fill 1.10 mL (range 1.05–1.15 mL) solution from the bulk into each sterile dry clean ampoule and seal it.
 8. Terminal sterilization and leak test. Load the inverted ampoules inside the autoclave chamber and run the cycle as per following parameters: sterilization temperature 121.1°C and exposure time 20 minutes.
 9. Optical checking. Check the ampoules under optical checking machine.

IBUPROFEN LYSINATE INJECTION

Bill of Materials (Batch Size 1 L)

Scale/mL	Item	Material	Qty	UOM
10.00 mg	1	Ibuprofen, use ibuprofen lysinate	12.00	g
9.33 mg	2	Sodium chloride	9.33	g
QS mL	3	0.1 N sodium hydroxide for pH adjustment	QS	
QS mL	4	0.1 N hydrochloric acid for pH adjustment	QS	
QS mL	5	Water for injection, USP	QS to 1.00	L

MANUFACTURING DIRECTIONS

1. Take item 5 into a jacketed stainless-steel vessel and maintain at 15°C to 30°C.
2. Begin mixing at 600 to 800 rpm and add item 2 to dissolve.
3. Add item 1 to vessel and dissolve. Add rinses. This ensures full dissolution of item 1.
4. Check and adjust pH to 7.2 to 7.6 with item 3 or 4.
5. Make up volume with item 5.
6. Transfer to filling area, filter, and autoclave at 123°C for 22 minutes.

IBUTILIDE FUMARATE INJECTION

Bill of Materials (Batch Size 1 L)

Scale/mL	Item	Material	Qty	UOM
0.10 mg	1	Ibutilide fumarate (equivalent to 0.087 mg of base)	0.10	g
0.189 mg	2	Sodium acetate trihydrate	0.189	g
8.90 mg	3	Sodium chloride	8.90	mg
QS mL	4	Hydrochloric acid for pH adjustment	QS	
QS mL	5	Water for injection, USP	QS to 1.00	L

Note: Adjust pH to 4.60 with item 4.

IDARUBICIN HYDROCHLORIDE INJECTIONS

Bill of Materials (Batch Size 1 L)

Scale/mL	Item	Material	Qty	UOM
1.00	mg	1	Idarubicin hydrochloride	1.00 g
25.00	mg	2	Glycerin	25.00 g
QS	mL	3	Hydrochloric acid for pH adjustment	
QS	mL	4	Water for injection, USP	QS to 1.00 L

Note: Adjust pH to 3.5; terminally sterilize.

IMIGLUCERASE FOR INJECTION

Bill of Materials (Batch Size 1 L)

Scale/mL	Item	Material	Qty	UOM
21.20	U	1	Imiglucerase	21,200 U
17.00	mg	2	Mannitol	17.00 g
5.20	mg	3	Trisodium citrate	5.20 g
1.80	mg	4	Disodium hydrogen citrate	1.80 g
0.053	mg	5	Polysorbate 80	0.053 g
QS	mL	6	Sodium hydroxide for pH adjustment	QS
QS	mL	7	Citric acid for pH adjustment	QS
QS	mL	8	Water for injection, USP	QS to 1.00 L

Note: Fill 10 mL for 212 U and 20 mL for 424 U and lyophilize after adjusting pH.

IMMUNE GLOBULIN (HUMAN) FOR INJECTION

Bill of Materials (Batch Size 1 L)

Scale/mL	Item	Material	Qty	UOM
50.00	mg	1	IgG	50.00 g
30.00	mg	2	Albumin (human)	30.00 g
50.00	mg	3	Sucrose	50.00 g
5.00	mg	4	Sodium chloride	5.00 g
QS	mg	5	Citric acid for pH adjustment	QS
QS	mg	6	Sodium carbonate for pH adjustment	QS
QS	mL	7	Water for injection, USP	QS to 1.00 L

Note: The heat treatment step employed in the manufacture of immune globulin IV (human) is pasteurization at 60°C for 10 hours in aqueous solution form with stabilizers. Lyophilized product to give 5% IgG per vial.

INFLIXIMAB RECOMBINANT FOR INJECTION

Bill of Materials (Batch Size 1 L)

Scale/mL	Item	Material	Qty	UOM
10.00	mg	1	Infliximab	10.00 g
50.00	mg	2	Sucrose	50.00 g
0.05	mg	3	Polysorbate 80	0.05 g
0.22	mg	4	Sodium phosphate monobasic monohydrate	0.22 g
0.61	mg	5	Sodium phosphate monobasic dihydrate	0.61 g
QS	mL	6	Water for injection, USP	QS to 1.00 L

Note: 10 mL is lyophilized in each vial.

INSULIN ASPART INJECTION

Bill of Materials (Batch Size 1 L)

Scale/mL	Item	Material	Qty	UOM
100.00	U	1	Insulin aspart ^a	100,000 U
16.00	mg	2	Glycerin	16.00 g
1.50	mg	3	Phenol	16.00 g
1.72	mg	4	<i>M</i> -Cresol	1.72 g
19.60	mg	5	Zinc as zinc oxide	16.90 mg
1.25	mg	6	Disodium hydrogen phosphate dihydrate	1.25 g
0.58	mg	7	Sodium chloride	0.58 g
QS	mL	8	Hydrochloric acid 10% for pH adjustment	
QS	mL	9	Sodium hydroxide 10% for pH adjustment	
QS	mL	10	Water for injection, USP	QS to 1.00 L

^a B28 asp regular human insulin analog; adjust pH to 7.2 to 7.6.

INSULIN GLARGINE INJECTION

Bill of Materials (Batch Size 1 L)				
Scale/mL	Item	Material	Qty	UOM
3.637 ^a	mg	1	Insulin glargine	3.673 g
30.00	mg	2	Zinc (as zinc oxide equivalent)	30.00 mg
2.70	mg	3	M-Cresol	2.70 g
20.00	mg	4	Glycerol, 85%	20.00 g
QS	mL	5	Hydrochloric acid, 10%, for pH adjustment	
QS	mL	6	Sodium hydroxide, 10%, for pH adjustment	
QS	mL	7	Water for injection, USP	QS to 1.00 L

^a Equivalent to 100 U; adjust to pH 5.0 with item 5 or 6.

INSULIN HUMAN 70/30

Bill of Materials (Batch Size 1 L)				
Scale/mL	Item	Material	Qty	UOM
1000	U	1	Insulin human, USP, approximately 2% excess	1000,000 U
0.011	mg	2	Zinc oxide, USP; to give 0.025 mg/100 U	0.011 g
0.73	mg	3	Liquefied phenol, USP, equivalent to 0.65 mg/mL, calculated at 89% phenol	0.73 g
1.60	mg	4	Metacresol, USP	1.60 g
16.00	mg	5	Glycerin, USP (parenteral)	16.00 g
0.241	mg	6	Protamine sulfate, USP (purified) to provide 0.270 mg base/100 U in NPH crystallization part	0.241 g
3.78	mg	7	Sodium phosphate dibasic, USP	3.78 g
QS	mL	8	Water for injection, USP	QS
QS	mL	9	Hydrochloric acid, 10% solution, for pH adjustment	QS
QS	mL	10	Sodium hydroxide, 10% solution, for pH adjustment	QS

MANUFACTURING DIRECTIONS

This product is prepared by combining 70 parts by volume of human insulin NPH with 30 parts by volume of human insulin buffered regular.

Manufacture of NPH Insulin (Insulin Section)

1. Weigh the required amount of water for injection (775 g) into a stainless-steel manufacturing tank.
2. Add and mix accurately weighed quantities of liquefied phenol (617.9 g), metacresol (1.354 g), and glycerin (13.536 g) until adequately blended.
3. Add and mix a calculated amount of protamine sulfate purified (588.1 g) until completely dissolved.
4. Add and mix a calculated amount of insulin human (6.467 g based on potency of 25.7 U/mg and 0.9% excess) until all crystals are completely wetted.
5. Dissolve an accurately weighed amount of zinc oxide (21.49 g) in 10% hydrochloric acid solution (1.425 mL) and then add to a suitable container having a specified amount of water for injection.
6. Add the contents of the container to the insulin mixture. Mix the material until all crystals are dissolved. Determine the pH of the solution (7.35–7.55) and adjust, if necessary, to the proper range with 10% hydrochloric acid solution, or 10% sodium hydroxide solution.
7. Add additional water for injection to adjust the solution to final weight to give 846 L.

Buffer Section

1. Weigh the required amount of water for injection (800 kg) into a stainless-steel manufacturing tank.
2. Add and mix accurately weighed quantities of liquefied phenol (655.2 g), metacresol (1.370 g), glycerin (13.696 kg), and sodium phosphate dibasic (6.471 kg) until all crystals are dissolved.
3. Add additional water for injection to adjust the solution to a final weight of 846 kg or 856 L.
4. Prepare a test sample representing a combination of equal volumes of insulin and buffer sections for NPH for pH determination.
5. If necessary, adjust pH of the buffer section with 10% hydrochloric acid solution or 10% sodium hydroxide solution, until the pH of an equal-parts mixture of the two bulk solutions is within proper range (7.35–7.55).
6. Separately sterilize each of the two solutions by membrane filtration.
7. Combine appropriate quantities of insulin and buffer sections for NPH aseptically and mixed in a suitable tank.
8. Aseptically adjust the pH of the resulting mixture to proper range, if necessary, by adding either 10% hydrochloric acid solution, sterile, or 10% sodium hydroxide solution, sterile.
9. Allow the mixture to crystallize for at least 24 hours. Adjust the pH of the mixture aseptically to

the proper pH range (7.35–7.55), if necessary, by adding either 10% hydrochloric acid solution, sterile, or 10% sodium hydroxide solution, sterile. After the NPH section is crystallized, take an in-process assay (to ensure 97–103% value).

Buffered Regular Insulin

1. Weigh the required quantity of water for injection (750 kg) into a stainless-steel tank or glass container.
2. Add accurately weighed quantities of liquefied phenol (590.1 g), metacresol (1.293 g), glycerin (12.928 kg), and sodium phosphate dibasic (3.054 kg) and mix the contents until all components are dissolved.
3. While continuing to mix, add a calculated amount of insulin human (3.098 g based on 26.4 U/mg and 0.9% excess).
4. After the crystals are completely dissolved, dissolve the required amount of zinc oxide (10.27 g) in a measured volume of 10% hydrochloric acid solution (700 mL) and then add to a suitable container having a specified amount of water for injection (811 kg).
5. Add the contents of the container to the insulin solution.
6. Determine the pH of the solution and adjust, if necessary, to the proper pH range (7.35–7.55) with 10% hydrochloric acid solution or 10% sodium hydroxide solution.
7. Add additional water for injection to adjust the solution to final weight to yield a volume of 808 L.
8. If necessary, adjust the pH of the final solution (7.35–7.55) by adding either 10% hydrochloric acid solution or 10% sodium hydroxide solution.
9. Sterilize this solution by membrane filtration. Samples for in-process assays are routinely taken aseptically following the sterile filtration process. However, on occasion, samples may be taken prior to filtration.

NPH/Buffered Regular, Final Mixture

1. Combine aseptically the appropriate quantities of NPH insulin (70 parts) and buffered regular insulin (30 parts) and mixed in a suitable tank.
2. Aseptically adjust the pH of the final suspension to the proper pH range (7.35–7.55), if necessary, by adding either 10% hydrochloric acid solution, sterile, or 10% sodium hydroxide solution, sterile.
3. Fill the sterile suspension aseptically into sterile type I glass vials.
4. Keep the suspension homogeneous during transfer and filling operations. Fit the vials with rubber closures and sealed with aluminum seals.

Testing

Non-compendial tests include HPLC potency, nitrogen content, phenol and metacresol by HPLC, insulin by semiautomated Biuret method, endotoxins, zinc by atomic absorption, and pH determination.

INSULIN HUMAN ISOPHANE SUSPENSION (NPH)

Bill of Materials (Batch Size 1 L)

Scale/mL	Item	Material	Qty	UOM
100.00	U	1 Insulin human, USP, approximately 2% excess	100,000	U
0.012	mg	2 Zinc oxide, USP, to give 0.025 mg/100 U	0.012	g
0.73	mg	3 Liquefied phenol, USP, equivalent to 0.65 mg/mL, calculated at 89% phenol	0.73	g
1.60	mg	4 Metacresol, USP	1.60	g
16.00	mg	5 Glycerin, USP (Parenteral)	16.00	g
0.35	mg	6 Protamine sulfate, USP (purified) to provide 0.025 mg base/100 U insulin; calculated at 77.5% base	0.35	g
3.78	mg	7 Sodium phosphate dibasic, USP	3.78	g
QS	mL	8 Water for injection, USP	QS	
QS	mL	9 Hydrochloric acid, 10% solution, for pH adjustment		
QS	mL	10 Sodium hydroxide, 10% solution, for pH adjustment		

MANUFACTURING DIRECTIONS

A typical 5000 L batch will yield 483,091 vials. It is prepared from two bulk solutions: an insulin section and a buffer section.

Insulin Section (2500 L)

1. Weigh the required quantity of water for injection (2380 kg) into a stainless-steel manufacturing tank.
2. Add accurately weighed quantities of liquefied phenol (1.826 kg), metacresol (4.0 kg), and glycerin (40.0 kg) and mix the solution until homogeneous.
3. Sequentially add accurately weighed quantities of protamine sulfate purified (1.737 g; calculated at 77.5% protamine base; quantity required to yield 0.270 mg of protamine base/100 U of insulin) and insulin human (19.0 kg at the rate of 26.5 U/mg, including 0.7% excess).
4. Dissolve the required amount of zinc oxide (55.6 g) in a measured volume of 10% hydrochloric acid

solution (4.5 L) and add to a stainless-steel stockpot containing a specified amount of water for injection.

- Add the contents of the stockpot to the insulin mixture.
- When the insulin crystals are dissolved, determine the pH of the solution and adjust (7.0–7.5), if necessary, to the proper pH range with 10% hydrochloric acid solution or 10% sodium hydroxide solution.
- Add additional water for injection to adjust the solution to final weight (QS to 2513 kg = 2500 L).

Buffer Section (2520 L, Includes Extra Amount over Batch Requirement)

- Weigh the required quantity of water for injection (2450 kg) into a stainless-steel manufacturing tank.
- Add accurately weighed quantities of liquefied phenol (1.840 kg), metacresol (4.032 kg), glycerin (40.32 kg), and sodium phosphate dibasic (19.05 kg) and mix until all crystals are dissolved.
- Volumetrically measure an amount of 10% hydrochloric acid solution (4.5 L) and add to the solution.
- Add additional water for injection to adjust the solution to final weight (2538 kg = 2520 L; excess quantity of batch prepared to insure adequate quantity of full insulin solution).
- Prepare a test sample for pH determination by mixing equal volumes of each bulk solution.
- Determine pH. If necessary, adjust pH of the buffer section (to 7.0–7.5) with 10% hydrochloric acid solution or 10% sodium hydroxide solution, until the pH of an equal-parts mixture of the two bulk solutions is within proper range (7.0–7.5).
- Routinely take samples for in-process assays following the sterile filtration process; however, on occasion samples may be taken prior to filtration.
- Sterilize each of the two solutions by membrane filtration. Collect the two sterile solutions in separate sterile holding tanks.
- Aseptically fill appropriate amounts of the two sterile solutions (1:1) into sterile type I glass vials. The vials are fitted with rubber closures and sealed with aluminum seals.
- Maintain the filled vials at controlled room temperature for at least 24 hours to facilitate the crystallization process.
- Alternatively, mix the two sterile solutions in a sterile filling tank. Maintain the mixture at controlled room temperature for at least 24 hours prior to filling to facilitate the crystallization process.
- Aseptically take a control sample from the final mixture. The filled vials may be stored in a chill room until ready for finishing.

Testing

Non-compendial analytical methods include nitrogen content of insulin crystals and product by nitrogen analyzer,

determination of zinc in insulin by atomic absorption, determination of phenol and metacresol by HPLC, high-molecular-weight protein content of crystals and product by size exclusion HPLC, insulin by Biuret method, and bacterial endotoxin tests.

INSULIN LISPRO INJECTION

Bill of Materials (Batch Size 1 L)

Scale/mL	UOM	Item	Material	Qty	UOM
100.00	U	1	Insulin lispro ^a	100,000	U
0.28	mg	2	Protamine sulfate	0.28	g
16.00	mg	3	Glycerin	16.00	g
3.78	mg	4	Sodium phosphate dibasic	3.48	g
1.76	mg	5	M-Cresol	1.76	g
0.025	mg	6	Zinc ion (as zinc oxide equivalent)	0.025	g
0.715	mg	7	Liquefied phenol	0.715	g
QS	mL	8	Sodium hydroxide, 10% solution, for pH adjustment		
QS	mL	9	Hydrochloric acid, 10% solution, for pH adjustment		
QS	mL	10	Water for injection, USP	QS to 1.00	L

Note: Adjust pH to 7.0 to 7.8 with item 8 or 9.

^a Lys (B28), Pro (B29) human insulin analog.

INSULIN REGULAR

Bill of Materials (Batch Size 2500 L to give 241,545 Vials)

Scale/mL	UOM	Item	Material	Qty	UOM
100.00	U	1	Insulin human, USP, 2% excess, 26.5 U/mg	9.519	g
2.50	mg	2	Metacresol, USP	6.25	g
16.00	mg	3	Glycerin, USP	40.00	kg
1.00	mL	4	Water for injection, USP	QS	kg
QS	mL	5	Hydrochloric acid, 10% solution, for pH adjustment	2.215	mL
QS	mL	6	Sodium hydroxide, 10% solution, for pH adjustment	3.30	mL

Note: Adjust the quantity of insulin based on activity.

MANUFACTURING DIRECTIONS

1. Put approximately 2400 kg of water for injection into a stainless-steel manufacturing tank.
2. Add item 2 and 3 to the tank and mix well until contents are dissolved.
3. While mixing, add item 1. After the crystals are completely wetted, add item 5. When the crystals are dissolved, measure the pH; add item 6 or 5 to adjust the pH to between 7.0 and 7.8.
4. Add item 4 to make up the volume. Measure pH again.
5. Readjust pH with item 5 or 6 to between 7.0 and 7.8.
6. Sterilize the solution by membrane filtration. Sample and hold in sterile holding tank.
7. Fill aseptically into sterile type I glass vials fitted with rubber closure and sealed with aluminum seal.

Testing

Non-compendial analytical methods include nitrogen content of crystals and formulation by nitrogen analyzer, determination of zinc by atomic absorption, high-molecular-weight protein content by size exclusion HPLC, pH determination, and bacterial endotoxin test.

INTERFERON INJECTION INTERFERON ALPHA-2A**Bill of Materials (Batch Size 1 L)**

Scale/mL	Item	Material	Qty	UOM
3MM	IU	1 Interferon alpha-2a	3 B	IU
7.21	mg	2 Sodium chloride	7.21	g
0.20	mg	3 Polysorbate 80	0.20	g
10.00	mg	4 Benzyl alcohol	10.00	g
0.77	mg	5 Ammonium acetate	0.77	g
QS	mL	6 Water for injection, USP	QS to 1.00	L

Note: The active concentration may range from 3 to 36 MM with no change in the quantity of other ingredients.

INTERFERON INJECTION INTERFERON ALPHA-2A (PREFILLED SYRINGE)**Bill of Materials (Batch Size 1 L)**

Scale/mL	Item	Material	Qty	UOM
3 MM	IU	1 Interferon alpha-2a	3B	IU
3.60	mg	2 Sodium chloride	3.60	g
0.10	mg	3 Polysorbate 80	0.10	g
5.00	mg	4 Benzyl alcohol	5.00	g
0.385	mg	5 Ammonium acetate	0.385	g
QS	mL	6 Water for injection, USP	QS to 1.00	L

Note: 11.1 mg/0.5 mL.

INTERFERON BETA-1B INJECTION**Bill of Materials (Batch Size 1 L)**

Scale/mL	Item	Material	Qty	UOM
0.30	mg	1 Interferon beta-1b	0.30	g
15.00	mg	2 Albumin human	15.00	g
15.00	mg	3 Dextrose	15.00	g
5.40	mg	4 ^a Sodium chloride	5.40	g
QS	mL	5 Water for injection, USP	QS to 1.00	L

^a This item is packaged separately as 0.54% solution (2 mL diluent for lyophilized product).

INTERFERON BETA-1A INJECTION**Bill of Materials (Batch Size 1 L)**

Scale/mL	Item	Material	Qty	UOM
33.00 ^a	mg	1 Interferon beta-1a	33.00	mg
15.00	mg	2 Albumin (human)	15.00	g
5.80	mg	3 Sodium chloride	5.80	g
5.70	mg	4 Sodium phosphate dibasic	5.70	g
1.20	mg	5 Sodium phosphate monobasic	1.20	g
QS	mL	6 Water for injection, USP	QS to 1.00	L

^a Equivalent to 6.6 million IU.

INTERFERON ALPHA-N3 INJECTION**Bill of Materials (Batch Size 1 L)**

Scale/mL	Item	Material	Qty	UOM
5 MM	U	1 Interferon alpha-n3	5B	U
3.30	mg	2 Liquefied phenol	3.30	g
1.00	mg	3 Albumin (human)	1.00	g
8.00	mg	4 Sodium chloride	8.00	g
1.74	mg	5 Sodium phosphate dibasic	1.74	g
0.20	mg	6 Potassium phosphate monobasic	0.20	g
0.20	mg	7 Potassium chloride	0.20	g
QS	mL	8 Water for injection, USP	QS to 1.00	L

INTERFERON ALPHACON-1 INJECTION**Bill of Materials (Batch Size 1 L)**

Scale/mL	Item	Material	Qty	UOM
0.03	mg	1 Interferon alphascon-1	0.03	g
5.90	mg	2 Sodium chloride	5.90	g
3.80	mg	3 Sodium phosphate	3.80	g
QS	mL	4 Water for injection, USP	QS to 1.00	L

INTERFERON GAMMA-1B INJECTION**Bill of Materials (Batch Size 1 L)**

Scale/mL	Item	Material	Qty	UOM
200.00	mg	1 Interferon gamma-1b ^a	200.00	mg
40.00	mg	2 Mannitol	40.00	g
0.72	mg	3 Sodium succinate	0.72	g
0.10	mg	4 Polysorbate 20	0.10	g
QS	mL	5 Water for injection, USP	QS to 1.00	L

^a 0.5 mL fill gives 100 mg or 2 million IU.

INTERLEUKIN EYE DROP**MANUFACTURING DIRECTIONS**

(per 100 mL) Interleukin-6 0.01 g, sodium chloride 0.9 g, sterilized purified water, QS.

INTERLEUKIN FOR INJECTION (IL-2)**Bill of Materials (Batch Size 1 L)**

Scale/mL	Item	Material	Qty	UOM
0.25	mg	1 IL-2	0.25	g
0.70	mg	2 Sodium laurate	0.70	g
10.00	mM	3 Disodium hydrogen phosphate	10.00	M
50.00	mg	4 Mannitol	50.00	g
QS	mL	5 Hydrochloric acid, 1 M, for pH adjustment	QS	
QS	mL	6 Water for injection, USP	QS to 1.00	L

MANUFACTURING DIRECTIONS

1. Take the IL-2 from the column and mix in a suitable container with items 2, 3, 4, and 6. Mix well.
2. Check and adjust pH to 7.5 (7.3–7.6) with item 5.
3. Filter and lyophilize.

IODINE IV ADDITIVE**Bill of Materials (Batch Size 1 L)**

Scale/mL	Item	Material	Qty	UOM
118.00	mg	1 Sodium iodide (equivalent to 100 mg iodine)	118.00	mg
QS	mL	2 Water for injection, USP	QS to 1.00	L
QS	mL	3 Hydrochloric acid for pH adjustment		
QS	mL	4 Sodium hydroxide for pH adjustment		

Note: sterile, nonpyrogenic solution for use as an additive to solutions for total parenteral nutrition (TPN).

IRON COPPER SOLUTION VETERINARY**Bill of Materials (Batch Size 1 L)**

Scale/mL	Item	Material	Qty	UOM
30.00	mg	1 Sodium cacodylate (arsenic derivative)	30.00	g
0.522	mg	2 Ferric chloride	0.522	g
0.09	mg	3 Copper gluconate	0.09	g
3.00	mg	4 Thymol, USP	3.00	g
QS	mL	5 Water for injection, USP	QS to 1.00	L

IRON DEXTRAN INJECTION

Bill of Materials (Batch Size 1 L)					
Scale/mL	Item	Material	Qty	UOM	
50.00	mg	1	Elemental iron as iron dextran complex	50.00 ^a	g
9.00	mg	2	Sodium chloride	9.00	g
QS	mL	3	Hydrochloric acid for pH adjustment		
QS	mL	4	Sodium hydroxide for pH adjustment		
QS	mL	5	Water for injection, USP	QS to 1.00	L

Note: Adjust pH to 5.2 to 6.2 with item 3 or 4.

^a According to iron activity.

IRON SUCROSE INJECTION

Bill of Materials (Batch Size 1 L)					
Scale/mL	Item	Material	Qty	UOM	
20.00	mg	1	Element iron (polynuclear iron III) as iron sucrose ^a	20.00	g
60.00	mg	2	Sucrose	60.00	g
QS	mL	3	Water for injection, USP	QS to 1.00	L

Note: pH 10.5 to 11.1.

^a Adjust according to available iron. Fill 5 mL into vial.

ISOMETHEPTENE HYDROCHLORIDE VETERINARY INJECTION

Bill of Materials (Batch Size 1 L)					
Scale/mL	Item	Material	Qty	UOM	
100.00	mg	1	Isometheptene hydrochloride	100.00	g
55.00	mg	2	Hydrochloric acid, 37%	55.00	g
1.80	mg	3	Methyl paraben, USP	1.80	g
0.20	mg	4	Propyl paraben, USP	0.20	g
QS	mL	5	Water for injection, USP	QS to 1.00	L

ITRACONAZOLE INJECTION

Bill of Materials (Batch Size 1 L)					
Scale/mL	Item	Material	Qty	UOM	
10.00	mg	1	Itraconazole, use itraconazole solubilized by hydroxypropyl (beta) cyclodextrin	400.00	mg
3.80	mL	2	Hydrochloric acid	3.00	mL
25.00	mL	3	Propylene glycol	25.00	mL
QS	mL	4	Sodium hydroxide for pH adjustment		
QS	mL	5	Water for injection, USP	QS to 1.00	L

Note: For dilution with 50 mL of 0.9% sodium chloride; each vial contains 200 mg itraconazole.

KETOPROFEN LYSINE INJECTION

Bill of Materials (Batch Size 1 L)					
Scale/mL	Item	Material	Qty	UOM	
2.50	mg	1	Citric acid	2.50	g
1.50	mg	2	Sodium hydroxide	1.50	g
80.00	mg	3	(R,S)-Ketoprofen salt of <i>d,l</i> -lysine	80.00	g
QS	mL	4	Sodium hydroxide for pH adjustment	QS	
QS	ft ³	5	Nitrogen gas, NF	QS	
QS	mL	6	Water for injection, USP	QS to 1.00	L

MANUFACTURING DIRECTIONS

1. Make this preparation protected from light and continuously under cover of item 5.
2. Take 0.8 L of item 6 and bubble item 5 for 20 minutes protecting from light once the addition of drug begins.
3. Add items 1 and 2, mix, and dissolve.
4. Add item 3 and mix well.
5. Check and adjust pH to 7.0 to 7.5 with item 4. Keep bubbling item 5.
6. Using a pressurized source of item 5, filter through a 0.22 µm cartridge, and collect in a suitable staging vessel protected from exposure to ultraviolet light.
7. Fill type I 2 mL glass ampoule, with pre- and post-item 5 flush.
8. Sterilize.

KETOROLAC TROMETHAMINE INJECTION

Bill of Materials (Batch Size 1 L)					
Scale/mL	Item	Material	Qty	UOM	
30.00	mg	1	Ketorolac tromethamine	30.00	g
100.00	mg	2	Alcohol USP	100.00	g
6.68	mg	3	Sodium chloride ^a	6.68	g
10.00	mg	4	Citric acid	10.00	g
QS	mL	5	Hydrochloric acid for pH adjustment		
QS	mL	6	Sodium hydroxide for pH adjustment		
QS	mL	7	Water for injection, USP	QS to 1.00	L

^a Used in prefilled syringes; use only item 4 in vials.

KETOROLAC TROMETHAMINE OPHTHALMIC SOLUTION

Bill of Materials (Batch Size 1 L)					
Scale/mL	Item	Material	Qty	UOM	
5.00	mg	1	Ketorolac tromethamine	5.00	g
0.10	mg	2	Benzalkonium chloride	0.10	g
1.00	mg	3	Disodium edetate	1.00	g
QS	mL	4	Hydrochloric acid for pH adjustment	QS	
QS	mL	5	Sodium hydroxide for pH adjustment	QS	
0.1	mg	6	Octoxynol 40	0.1	g
QS	mL	7	Sodium chloride ^a	QS	
QS	mL	8	Water for injection, USP	QS to 1.00	L

Note: Adjust pH to 7.4.

^a Adjust osmolality to 290 mOsm/kg.

LABELALOL HYDROCHLORIDE INJECTION

Bill of Materials (Batch Size 1 L)					
Scale/mL	Item	Material	Qty	UOM	
5.00	mg	1	Labetalol hydrochloride	5.00	g
45.00	mg	2	Dextrose anhydrous, USP	45.00	g
0.10	mg	3	Disodium edetate	0.10	g
0.80	mg	4	Methyl paraben	0.80	g
0.10	mg	5	Propyl paraben	0.10	g
QS	mL	6	Sodium hydroxide for pH adjustment	QS	
QS	mL	7	Citric acid monohydrate for pH adjustment	QS	
QS	mL	8	Water for injection, USP	QS to 1.00	L

Note: Adjust pH to 3.0 to 4.0 with item 6 or 7.

LACTOBIONIC ACID INJECTION

Bill of Materials (Batch Size 1 L)					
Scale/mL	Item	Material	Qty	UOM	
120.00	mg	1	Lactobionic acid, powder	120.00	g
QS	mL	2	Water for injection, USP	QS to 1.00	L

MANUFACTURING DIRECTIONS

1. Collect ca. 90% of final volume of item 2 in a clean glass-lined container or 316 stainless-steel tank.
2. Add and dissolve item 1.
3. Sample for lactobionic acid concentration, silica content, and volume.
4. Based on step 3, calculate the final volume as follows: final volume = (solution volume × % concentration)/12%. Adjust volume.
5. Filter solution through previously rinsed and approved cellulose pads and papers. Recirculate until clear and essentially free of insoluble material into clean Pyrex tank or portable tank.
6. Sterile filter the solution through a sterile 0.22 μm membrane into a sterile Pyrex bottle.
7. Sample. Keep product refrigerated.

LAMOTRIGINE INJECTION

Bill of Materials (Batch Size 1 L)					
Scale/mL	Item	Material	Qty	UOM	
25.00	mg	1	Lamotrigine	25.00	g
37.78	mg	2	Mannitol	37.78	g
9.37	mg	3	Methanesulfonic acid	9.375	g
QS	mL	4	Sodium hydroxide for pH adjustment		
QS	mL	5	Water for injection, USP	QS to 1.00	L

MANUFACTURING DIRECTIONS

- Dissolve mannitol in appropriate amount of water. The amount of mannitol needed is calculated to provide tonicity on reconstitution.
- The mesylate salt of lamotrigine is formed in situ during the manufacturing process described in European patent 21121 and U.S. patent no. 4486354 by addition of commercially available methanesulfonic acid.
- When the appropriate amounts of lamotrigine and methanesulfonic acid are combined, the resulting solution pH ranges from ca. 2.8 to 3.5. Add sodium hydroxide and water to achieve the required pH and volumes as given in the protocol.
- Adjust the solution pH to a range of 3.3 to 3.5 with sodium hydroxide solution or methanesulfonic acid solution.
- The final concentration of the lamotrigine calculated as free base in solution prior to freeze drying may vary from 1 to 50 mg/mL, preferably 25 mg/mL.
- The solution is chemically and physically stable at room temperature for at least 7 days and may be held in suitable stainless-steel/glass manufacturing tank for this period of time, if needed.
- Sterile filter the solution and fill into appropriate vials to a fill volume of 10 mL.
- Load the vials into a freeze drier that is pre-cooled to 5°C prior to loading.
- Freeze the solution to -24°C for 4 to 5 hours. Initiate primary drying by ramping the shelf temperature to 0°C while maintaining the vacuum at 0.5 torr. After the product temperature reaches the shelf temperature, initiate and conduct secondary drying at a product temperature of 35°C for 6 to 8 hours. Maintain the chamber pressure at 5 torr during lyophilization.
- Reconstitution of the lyophilized formulation with 12.5 mL of sterile water for injection provides an isotonic solution containing 20 mg lamotrigine free base/mL.

LAZAROID INJECTION

Bill of Materials (Batch Size 1 L)					
Scale/mL	Item	Material	Qty	UOM	
25.00	mg	1	Lazaroid ^a	25.00	g
44.20	mg	2	Citric acid anhydrous, USP	44.20	g
5.88	mg	3	Sodium citrate anhydrous, USP	5.88	g
0.40	mL	4	Propylene glycol	0.40	L
QS	mL	5	Hydrochloric acid for pH adjustment	QS	
QS	mL	6	Sodium hydroxide for pH adjustment	QS	
QS	mL	7	Water for injection, USP	QS to 1.00	L

^a 2-[[4-(2,6)-bis(1-pyrrolidinyl)-4-pyrimidinyl-1-piperazinyl-16-alpha-methylpregna-1,4,9(11)-triene-3,20-dione mesylate]]; a 5× dose formulation for bolus injection has 100 mg/mL of active drug, and all other components are increased proportionally.

MANUFACTURING DIRECTIONS

- Add and dissolve items 1 and 2 in approximately 0.25 L of item 7.
- Add and dissolve item 4 and mix well.
- Check and adjust pH to 2.9 (2.7–3.0) with item 5 or 6.
- Add item 1 and mix well.
- Check and adjust pH again as in step 3.
- Make up volume with item 7.
- Filter and sterilize by autoclaving.

LEPIRUDIN FOR INJECTION

Bill of Materials (Batch Size 1 L)					
Scale/mL	Item	Material	Qty	UOM	
50.00	mg	1	Lepirudin	50.00	g
40.00	mg	2	Mannitol	40.00	g
QS	mL	3	Sodium hydroxide for pH adjustment	QS	
QS	mL	4	Water for injection, USP	QS to 1.00	L

Note: Adjust pH to 7 with item 3.

LEUCOVORIN CALCIUM INJECTION (50 MG/5 ML, 10 ML VIAL LYOPHILIZED)

Bill of Materials (Batch Size 5 L)					
Scale/mL	Item	Material	Qty	UOM	
12.71	mg	1	Leucovorin calcium, 5H ₂ O	63.51	g
5.60	mg	2	Sodium chloride	40.00	g
QS	mL	3	Water for injection, USP	QS to 5.00	L
QS	mL	4	Sodium hydroxide, 2%, for pH adjustment		
QS	mL	5	Hydrochloric acid, 2%, for pH adjustment		

MANUFACTURING DIRECTIONS

- Dissolve item 1 in 4 L of item 3 in a suitable vessel. Stir until a clear solution is obtained.
- Add item 2 with constant agitation until clear solution is obtained.
- Check pH and adjust to 8.1 ± 0.1 with item 4 or 5.
- QS to volume with item 3.
- Sample for testing.
- After approval, filter solution through a 0.22 μm filter and fill 10 mL flint vial with an 841 gray stopper without coating (applied later).
- Load product into lyophilizer.
- Set temperature to -40°C .
- Product thermocouples should register -40°C or less for at least 4 hours before starting the drying cycle.
- Start condenser and do not start vacuum until 100 μm or less.
- Start vacuum to the chamber to achieve at least 100 μm or less.
- Set to low heat and bring up temperature controller to $+15^{\circ}\text{C}$. Hold at this temperature for at least 12 hours.
- Bring up the temperature controller to $+28^{\circ}\text{C}$. Hold at this temperature for at least 24 hours.
- Bleed chamber slowly with sterile dry air or N₂ gas.
- Stopper vials by using the internal stoppering mechanism or stopper the vials with depyrogenated cover in the laminar hood.
- Withdraw the product from lyophilizer.

LEUCOVORIN CALCIUM INJECTION (3 MG/ML, 2 ML VIAL)

Bill of Materials (Batch Size 5 L)					
Scale/mL	Item	Material	Qty	UOM	
3.81	mg	1	Leucovorin calcium, 5H ₂ O	15.97	g
5.6	mg	2	Sodium chloride	28.00	g
9.0	mg	3	Benzyl alcohol, NF	45.00	g
QS	mL	4	Water for injection, USP	QS to 5	L
QS	mL	5	Sodium hydroxide, 2%, for pH adjustment		
QS	mL	6	Hydrochloric acid, 2%, for pH adjustment		

MANUFACTURING DIRECTIONS

- Dissolve item 1 in 4 L of item 4 in a suitable vessel. Stir until a clear solution is obtained.
- Add item 2 and item 3, one by one, with constant agitation, until a clear solution is obtained.
- Check pH and adjust to 8.4 ± 0.05 with item 5 or 6.
- QS to volume with item 4.
- Sample for testing.
- After approval, filter solution through 0.22 μm filter and fill a type I 2 mL amber vial, 1888 gray stopper without coating (sterilized after washing in disodium edetate).

LEUPROLIDE ACETATE INJECTION (5 MG/ML INJECTION)

Bill of Materials (Batch Size 1 L)					
Scale/mL	Item	Material	Qty	UOM	
5.00	mg	1	Leuprolide acetate powder	5.00	g
9.00	mg	2	Benzyl alcohol, NF	9.00	g
QS		3	Sodium chloride, USP		
QS	mL	4	Sodium hydroxide for pH adjustment		
QS	mL	5	Glacial acetic acid, USP	QS	
QS	mL	6	Nitrogen gas, NF	QS	
QS	mL	7	Water for injection, USP	QS to 1.00	L

MANUFACTURING DIRECTIONS

Warning: Leuprolide is a potent drug and a reproductive hazard. It is biologically active in very small quantities. May cause adverse effects on reproduction. Women of childbearing potential are restricted from working where leuprolide is expected. Use and store under well-ventilated conditions. Avoid direct contact. Wear the appropriate personal protective equipment as required by operating procedures. Periodic medical monitoring (blood test) may be requested to evaluate evidence of exposure.

First aid: remove contaminated clothing. Wash affected area with plenty of soap and water. Report to employee first aid.

1. Preparation of leuprolide acetate solution. **Caution:** Handle with care. Eye protection required. Wear respirator or equivalent, rubber gloves, hood, coveralls, and shoe coverings when handling powder or preparing solution.
 - a. Add benzyl alcohol, sodium chloride, and leuprolide acetate to ca. 900 mL of water for injection with mixing. Mix solution.
 - b. Check and adjust pH to 5.7 to 6.3 with 2% acetic acid (made by adding 0.4 mL of glacial acetic acid QS to 10 mL water for injection) or 2% sodium hydroxide (prepared by adding 0.4 g QS to 10 mL water for injection).
 - c. QS with water for injection to 1 L.
 - d. Check and adjust pH again as in step 1b.
 - e. Filter solution through a 0.22 μm or finer filter with an appropriate prefilter, if necessary, into a suitable glass or 316 stainless-steel container.
 - f. Sample for testing; adjust pH or ingredients if outside limits. Fill as soon as possible.
2. Preparation of bottles.
 - a. Wash and dry type I 5 mL clear glass bottles and load into appropriate containers for sterilization.
 - b. Sterilize by using dry heat at 200°C (–0, +50°C) glass temperature for 225 minutes (–0, +360 minutes). Maintain oven temperature at 225°C ($\pm 10^\circ\text{C}$) for the duration of the cycle.
 - c. Deliver to the sterile filling area.
3. Preparation of stoppers.
 - a. Leach stoppers by boiling for 10 minutes in deionized water.
 - b. Wash stoppers using rubber cycle (slow tumbling) with Triton X-100 or similar.
 - c. Dry in a fast dryer at 55°C.
 - d. Store in a suitable container until ready for use.
 - e. Tray, inspect, and rinse thoroughly. Wrap tray and identify properly.
 - f. Sterilize in a steam autoclave for 121°C for 50 minutes.
4. Sterile filtration and setup.
 - a. Connect storage container to a sterilized 0.22 μm or finer filter with an appropriate sterile prefilter.
 - b. Filter enough solution into sterile container so as to wet filter.
 - c. Pressure test filter using N_2 at 40 lb pressure.
 - d. Filter solution into sterile container.
 - e. Commence filling.
 - f. Sample for testing.
5. Filling.
 - a. Under aseptic conditions, fill 3.2 mL into each sterilized 5 mL vial.
 - b. Sample for testing.
 - c. Pressure test filter using N_2 at 40 lb pressure at end of filling run.
 - d. Aseptically stopper each vial with a clean, sterile siliconized stopper.
 - e. Apply overseal.
 - f. Inspect each vial for defects.
 - g. Sample for testing.

**LEUPROLIDE ACETATE INJECTION:
DEPOT PREPARATION (3.75 AND 7.50
MG FOR INJECTING EVERY MONTH)**

Bill of Materials (Batch Size 1 L)

Scale/mL	Item	Material	Qty	UOM
Chamber 1				
3.75	mg	1 Leuprolide acetate	3.75	g
0.65	mg	2 Purified gelatin	0.65	g
33.10	mg	3 <i>dl</i> -Lactic acid glycolic acids	33.10	g
6.60	mg	4 D-Mannitol	6.60	g
Chamber 2				
5.00	mg	1 Carboxymethylcellulose	5.00	g
50.00	mg	2 D-Mannitol	50.00	g
1.00	mg	3 Polysorbate 80	10.00	g
QS	mL	4 Glacial acetic acid for pH adjustment		
QS	mL	5 Water for injection, USP	QS to 1.00	L

Note: 3.75 or 7.50 mg active; same inactive ingredients.

LEUPROLIDE ACETATE INJECTION (11.25 AND 22.50 MG FOR INJECTING EVERY 3 MONTHS)

Bill of Materials (Batch Size 1 L)

Scale/mL	Item	Material	Qty	UOM
Chamber 1				
11.25	mg	1	Leuprolide acetate	11.25 g
99.30	mg	2	Polylactic acid	99.30 g
19.45	mg	3	D-Mannitol	19.45 g
Chamber 2				
7.50	mg	1	Carboxymethylcellulose	7.50 g
75.00	mg	2	D-Mannitol	75.00 g
1.50	mg	3	Polysorbate 80	1.50 g
QS	mL	4	Glacial acetic acid for pH adjustment	
QS	mL	5	Water for injection, USP	QS to 1.00 L

Note: 11.25 or 22.50 mg active; same inactive ingredients.

LEUPROLIDE ACETATE INJECTION (30 MG FOR INJECTING EVERY 4 MONTHS)

Bill of Materials (Batch Size 1 L)

Scale/mL	Item	Material	Qty	UOM
Chamber 1				
30.00	mg	1	Leuprolide acetate	30.00 g
264.80	mg	2	Polylactic acid	264.80 g
51.90	mg	3	D-Mannitol	51.90 g
Chamber 2				
7.50	mg	1	Carboxymethylcellulose	7.50 g
75.00	mg	2	D-Mannitol	75.00 g
1.50	mg	3	Polysorbate 80	15.00 g
QS	mL	4	Glacial acetic acid for pH adjustment	
QS	mL	5	Water for injection, USP	QS to 1.00 L

LEUPROLIDE ACETATE IMPLANT

Leuprolide acetate implant is a sterile, nonbiodegradable, osmotically driven miniaturized implant designed to deliver leuprolide acetate for 12 months at a controlled rate. It contains 72 mg of leuprolide acetate (equivalent to 65 mg leuprolide free base) dissolved in 104 mg dimethyl sulfoxide. The 4 mm × 45 mm titanium alloy reservoir houses a polyurethane rate-controlling membrane, an elastomeric piston, and a polyethylene diffusion moderator. The reservoir also contains the osmotic tablets, which are not released with the drug formulation. The osmotic tablets are composed of sodium chloride, sodium carboxymethyl cellulose, povidone, magnesium stearate, and sterile water for injection. Polyethylene glycol fills the space between the osmotic tablets and the reservoir. A minute amount of silicone medical fluid is used during manufacture as a lubricant. The weight of the implant is ca. 1.1 g.

LEVORPHANOL TARTARATE INJECTION

Bill of Materials (Batch Size 1 L)

Scale/mL	Item	Material	Qty	UOM
2.00	mg	1	Levorphanol tartarate	2.00 g
1.80	mg	2	Methyl paraben	1.80 g
0.20	mg	3	Propyl paraben	0.20 g
QS	mL	4	Sodium hydroxide for pH adjustment	QS
QS	mL	5	Water for injection, USP	QS to 1.00 L

Note: Adjust pH to 4.3 with item 4.

LEVOTHYROXINE SODIUM FOR INJECTION

Bill of Materials (Batch Size 1 L)

Scale/mL	Item	Material	Qty	UOM
20.00	mg	1	Levothyroxine Sodium	20.00 mg
1.00	mg	2	Mannitol	1.00 g
0.07	mg	3	Tribasic Sodium Phosphate Anhydrous	0.07 g
QS	mL	4	Sodium Hydroxide for pH adjustment	QS
QS	mL	5	Water for Injection, USP	QS to 1.00 L

Note: For 500 mg label, use 1.75 mg item 3. Fill 10 mL and lyophilize. Reconstitute with 5 mL of 0.9% sodium chloride injection.

LIDOCAINE HYDROCHLORIDE AND EPINEPHRINE INJECTION

Bill of Materials (Batch Size 1 L)

Scale/mL	Item	Material	Qty	UOM
10.00	mg	1	Lidocaine HCl, USP	10.00 g
6.00	mg	2	Sodium chloride, USP	6.00 g
1.00	mg	3	Methyl paraben, USP	1.00 g
0.50	mg	4	Disodium edetate	0.50 g
0.01	mg	5	Epinephrine, USP	0.01 g
QS	mL	6	Water for injection, USP	QS to 1.00 L
QS	mL	7	Glacial acetic acid, USP	QS
QS	mL	8	Sodium acetate for buffering; see item 7	QS

Note: Adjust item 1 for different strength.

LIDOCAINE HYDROCHLORIDE AND EPINEPHRINE INJECTION

Bill of Materials (Batch Size 1 L)					
Scale/mL	Item	Material	Qty	UOM	
10.00	mg	1	Lidocaine HCl, USP (lidocaine base 8.8 mg)	10.00	g
6.00	mg	2	Sodium chloride, USP	6.00	g
1.00	mg	3	Methyl paraben, USP	1.00	g
1.50	mg	4	Sodium metabisulfite, NF	1.50	g
0.01	mg	5	Epinephrine, USP	0.01	g
QS	mL	6	Water for injection, USP	QS to 1.00	L
QS	mL	7	Glacial acetic acid for buffering	QS	
QS	mL	8	Sodium acetate for buffering; see item 7	QS	
QS	mL	9	Sodium hydroxide for pH adjustment	QS	

Note: Adjust quantity of item 1 for different strengths.

LIDOCAINE HYDROCHLORIDE AND EPINEPHRINE INJECTION

Bill of Materials (Batch Size 1 L)					
Scale/mL	Item	Material	Qty	UOM	
10.00	mg	1	Lidocaine HCl, USP (lidocaine base 8.8 mg)	10.00	g
6.00	mg	2	Sodium chloride, USP	6.00	g
0.20	mg	3	Citric acid	0.20	g
0.50	mg	4	Sodium metabisulfite, NF	1.50	g
0.01	mg	5	Epinephrine, USP	0.01	g
QS	mL	6	Water for injection, USP	QS to 1.00	L
QS	mL	7	Sodium hydroxide for pH adjustment	QS	
QS	mL	8	Hydrochloric acid for pH adjustment		

Note: For a multiple-dose vial, add 1 mg methyl paraben. Adjust pH to 3.3 to 5.5

LIDOCAINE HYDROCHLORIDE INJECTION (1% OR 1.5% 20 ML)

Bill of Materials (Batch Size 1 L)					
Scale/mL	Item	Material	Qty	UOM	
15.00	mg	1	Lidocaine hydrochloride, USP anhydrous, use lidocaine hydrochloride monohydrate, USP	16.00	g
6.50	mg	2	Sodium chloride, USP	6.50	g
QS	mL	3	Hydrochloric acid for pH adjustment	QS	
QS	mL	4	Sodium hydroxide for pH adjustment	QS	
QS	mL	5	Water for injection, USP	QS to 1.00	L

Note: For 1% strength, reduce the quantity accordingly; different fill volumes.

MANUFACTURING DIRECTIONS

Note: The solution must be prepared in a glass-lined or a 316 or higher temper-grade stainless-steel tank cleaned according to approved SOPs.

- Preparation.
 - Add water for injection to tank to ca. 90% of the final volume.
 - Add and dissolve the lidocaine hydrochloride and the sodium chloride with mixing.
 - Add water for injection to final volume and mix till ingredients are dissolved and solution is uniform.
 - Check and record the pH. Adjust if necessary to pH 6.5 (6.2–6.7) with a 10% sodium hydroxide solution or 10% hydrochloric acid solution.
 - Sample for testing.
 - Filter solution through a previously rinsed filtration setup, using an approved 0.45 µm or finer membrane and an approved prefilter. Filter solution into a clean glass-lined or a 316 stainless-steel holding tank.
 - Prepare a 0.45 µm or finer membrane inline filter for the filling line.
- Filling. Use type I 20 mL or other fill size glass ampoules, USP.
 - Using the inline filter, fill specified amount into each clean, dry ampoule.
 - Seal ampoules.
- Sterilization. Sterilize at 115°C (+3°C, –0°C) and an F_0 range of 8 to 18. Use water spray cooling and terminal air pressure to maintain autoclave pressure.

LINCOMYCIN HYDROCHLORIDE INJECTION**Bill of Materials (Batch Size 1 L)**

Scale/mL	Item	Material	Qty	UOM
300.00	mg	1	Lincomycin, use lincomycin hydrochloride monohydrate for injectables (at the rate of 790 µg/mg)	379.75 ^a g
9.45	mg	2	Benzyl alcohol, NF	9.45 g
QS	mL	3	Hydrochloric acid for pH adjustment	QS mL
QS	mL	4	Sodium hydroxide reagent-grade pellets for pH adjustment	QS mL
QS	mL	5	Nitrogen gas, NF	QS
QS	mL	6	Water for injection, USP	QS to 1.00 L

^a Adjust weight of Lincomycin hydrochloride monohydrate to allow for variable potency: $(379.746 \times 790)/\text{potency} = \text{g}$ required for 1 L.

MANUFACTURING DIRECTIONS

Caution: Lincomycin may cause an allergic reaction in some individuals. Avoid contact with skin. Wear appropriate personal protection gear.

1. Prepare 2% hydrochloric acid immediately prior to use by adding 0.4 mL of hydrochloric acid to ca. 10 mL of item 6. QS to 20 mL and mix.
2. Prepare 2% sodium hydroxide immediately prior to use by adding 0.4 g of pellets of item 4 into 10 mL of item 6. QS to 20.00 mL and mix.
3. Prepare the drug solution in a glass-lined or 316 or higher temper-grade stainless-steel tank. Add ca. 50% of item 6. Add and dissolve item 1 and mix thoroughly.
4. With agitation, add item 2. Rinse residue from container by using item 6 and mix thoroughly until uniform solution is produced.
5. Check and record pH (range 3.0–5.5). Adjust if necessary as in step 2 or 3.
6. Make up volume with item 6. Sample for testing.
7. Filter solution through a previously rinsed filtration setup, using an approved 0.22 µm membrane filter with a 0.45 µm prefilter, into a clean glass-lined of 316 or higher temper-grade stainless-steel tank.
8. Prepare type I glass ampoules by washing and drying and sterilizing at 200°C (–0, +50°C) glass temperature for 225 minutes (–0, +360 minutes). Maintain oven temperature at 225°C (±10°C) for the duration of cycle.
9. Filter from the storage tank using 0.22 µm filter under aseptic condition 2.2 mL (or such other

volumes as labeled into appropriate size ampoules into ampoules. Seal immediately. Pressure test filter before and after filling. Sample (1 mL = 300 mg).

LIOTHYRONINE SODIUM INJECTION (T₃)**Bill of Materials (Batch Size 1 L)**

Scale/mL	Item	Material	Qty	UOM
10.00	mg	1	Liothyronine sodium	10.00 mg
68.00	mL	2	Alcohol, USP	68.00 mL
0.175	mg	3	Citric acid anhydrous	0.175 mg
2.19	mg	4	Ammonium ammonium hydroxide	2.19 g
QS	mL	5	Water for injection, USP	QS to 1.00 L

LIPID EMULSION 20% FOR PARENTERAL NUTRITION**Bill of Materials (Batch Size 1 L)**

Scale/mL	Item	Material	Qty	UOM
200.00	mg	1	Safflower oil winterized	200.00 g
12.00	mg	2	Egg phosphatides purified	12.00 g
25.00	mg	3	Glycerin, USP	25.00 g
QS	mL	4	Sodium hydroxide, reagent grade, for pH adjustment	QS
QS	mL	5	Water for injection, USP	QS to 1.00 L
QS	mL	6	Nitrogen gas, NF	QS

MANUFACTURING DIRECTIONS

1. Collect a volume of item 5 ca. equal to the final batch size. Heat and protect with item 6.
2. Maintain item 6 atmosphere in all containers and processing.
3. Add and disperse item 2 into a portion of the prepared water with agitation.
4. Add and dissolve item 3 previously filtered by using homogenizer to increase degree of dispersion.
5. Filter aqueous phosphatide dispersion phase.
6. Check pH and adjust accordingly.
7. Heat item 1. Unless previously filtered, filter and add to the aqueous phase with agitation to form a coarse emulsion concentrate.
8. Homogenize the coarse emulsion concentrate.

9. After homogenization, QS to final volume with prepared item 5.
10. Filter emulsion through a filter surface area to provide adequate flow.
11. Collect filtered emulsion with N₂ protection to surge tank.
12. Fill specified amount of emulsion into clean bottle.
13. Flush headspace of each bottle with filtered N₂; apply stopper.
14. Seal with ferrule.
15. Autoclave and then agitate to stabilize emulsion.
16. Visually inspect bottles and sample for testing.

LIVER, IRON, AND CYANOCOBALAMIN WITH PROCAINE INJECTION

Bill of Materials (Batch Size 1 L)

Scale/mL	Item	Material	Qty	UOM	
30.00	mg	1	Cyanocobalamin, USP	30.00	mg
0.10	mg	2	Liver injection (supplies 2 mg of cyanocobalamin activity), 20 mg/mL concentrate	0.10	g
50.00	mg	3	Ferrous gluconate, NF	50.00	g
1.50	mg	4	Riboflavin-5'-phosphate sodium	1.50	g
100.00	mg	5	Niacinamide, USP	100.00	g
16.40	mg	6	Citric acid, USP	16.40	g
23.60	mg	7	Sodium citrate, USP	23.60	g
20.00	mg	8	Procaine hydrochloride, USP	20.00	g
2.50	mg	9	Calcium pantothenate, USP	2.50	g
20.00	mg	10	Benzyl alcohol, NF	20.00	g
QS	mL	11	Water for injection, USP	QS to 1.00	L

Note: Protect from light.

LIVER, IRON, AND VITAMIN B₁₂ INJECTION VETERINARY

Bill of Materials (Batch Size 1 L)

Scale/mL	Item	Material	Qty	UOM	
10.00	mg	1	Thiamine HCl, USP	10.00	g
1.00	mg	2	Riboflavin-5'-phosphate sodium	1.00	g
1.00	mg	3	Pyridoxine HCl, USP	1.00	g
100.00	mg	4	Niacinamide, USP	100.00	g
1.00	mg	5	D-Panthenol	1.00	g
15.00	mg	6	Cyanocobalamin, USP	15.00	mg
33.00	mg	7	Ferrous gluconate, NF	33.00	mg
0.10	mL	8	Liver injection (20 mg/mL concentrate), supplies 2 mg of B ₁₂ activity	100.00	mL
10.00	mg	9	Sodium citrate, USP	10.00	g
1.00	mg	10	Liquefied phenol, USP	1.00	g
15.00	mg	11	Benzyl alcohol, NF	15.00	g
QS	mL	12	Water for injection, USP	QS to 1.00	L

LIVER, IRON, AND VITAMIN B₁₂ INJECTION VETERINARY

Bill of Materials (Batch Size 1 L)

Scale/mL	Item	Material	Qty	UOM	
50.00	mg	1	Cyanocobalamin, USP	50.00	mg
25.00	mg	2	Niacinamide, USP	25.00	g
0.50	mg	3	Riboflavin-5'-phosphate sodium	0.50	g
30.00	mg	4	Iron and ammonium citrate	30.00	g
0.10	mL	5	Liver injection (20 mg/mL concentrate), supplies 2 mg of B ₁₂	100.00	mL
5.00	mg	6	Liquefied phenol, USP	5.00	g
10.00	mg	7	Benzyl alcohol, NF	10.00	g
QS	mL	8	Water for injection, USP	QS to 1.00	L

LORAZEPAM INJECTION**Bill of Materials (Batch Size 1 L)**

Scale/mL	Item	Material	Qty	UOM
2.00	mg	1	Lorazepam injection	2.00 g
0.18	mL	2	Polyethylene glycol 400	0.18 L
20.00	mg	3	Benzyl alcohol	20.00 g
QS	mL	4	Propylene glycol	QS to 1.00 L

Note: Increase the active ingredient to 4.00 mg for higher label product.

MAGNESIUM SULFATE 50% INJECTION**Bill of Materials (Batch Size 1 L)**

Scale/mL	Item	Material	Qty	UOM
500.00	mg	1	Magnesium sulfate, USP	500.00 g
2.00	mg	2	Phenol, USP	2.00 g
QS	mL	3	Water for injection, USP	QS to 1.00 L

MANGANESE SULFATE INJECTION (5 ML VIAL)**Bill of Materials (Batch Size 1 L)**

Scale/mL	Item	Material	Qty	UOM
1.57	mg	1	Manganese sulfate monohydrate	21.95 g
QS	mL	2	Water for injection, USP	QS to 1.00 L
QS	mL	3	Sodium hydroxide for pH adjustment	QS
QS	mL	4	Sulfuric acid for pH adjustment	QS

MANGANESE SULFATE INJECTION (10 ML VIAL)**Bill of Materials (Batch Size 1 L)**

Scale/mL	Item	Material	Qty	UOM
0.308	mg	1	Manganese sulfate monohydrate	0.308 g
QS	mL	2	Water for injection, USP	QS to 1.00 L
QS	mL	3	Sodium hydroxide for pH adjustment	QS
QS	mL	4	Sulfuric acid for pH adjustment	QS

MANGANESE SULFATE INJECTION (30 ML VIAL)**Bill of Materials (Batch Size 1 L)**

Scale/mL	Item	Material	Qty	UOM
0.308	mg	1	Manganese sulfate monohydrate	4.39 g
0.90	%	2	Benzyl alcohol, NF	0.90 %
QS	mL	3	Water for injection, USP	QS to 1.00 L
QS	mL	4	Sodium hydroxide for pH adjustment	QS
QS	mL	5	Sulfuric acid for pH adjustment	QS

Note: pH 4.0 to 7.0. Assay by atomic absorption 85 to 115%. Packaging commodity: type I glass vials, West Co. 1888 gray stoppers, and West Co. flip-off aluminum seals.

MECHLORETHAMINE HYDROCHLORIDE FOR INJECTION TRITURATION**Bill of Materials (Batch Size 1 L)**

Scale/mL	Item	Material	Qty	UOM
0.10	mg	1	Mechlorethamine hydrochloride	0.10 g
QS	mg	2	Sodium chloride	QS to 1.00 kg

Note: This a triturate of drug with sodium chloride; when 100 mg is reconstituted with 10 mL water for injection, it yields 0.9% sodium chloride at pH 3 to 5 containing 1 mg of drug/mL.

MEDROXYPROGESTERONE ACETATE STERILE AQUEOUS SUSPENSION

Bill of Materials (Batch Size 1 L)					
Scale/mL	Item	Material	Qty	UOM	
200.00	mg	1	Medroxyprogesterone acetate (micronized)	200.00	g
0.85	mg	2	Myristyl gamma picolinium chloride	0.85	g
11.00	mg	3	Sodium sulfate	11.00	g
20.30	mg	4	Polyethylene glycol 3350	20.30	g
2.50	mg	5	Polyvinylpyrrolidone K17	2.50	g
0.694	mg	6	Sodium phosphate monobasic hydrate	0.694	g
0.588	mg	7	Sodium phosphate dibasic dodecahydrate	0.588	g
1.50	mg	8	L-Methionine	1.50	g
QS	mL	9	Hydrochloric acid for pH adjustment	QS	
QS	mL	10	Sodium hydroxide for pH adjustment	QS	
QS	mL	11	Water for injection, USP	QS to 1.00	L

MANUFACTURING DIRECTIONS

- In a suitable container (stainless steel), dissolve items 2 to 8 with aggressive mixing in item 11.
- Sterilize the step 1 preparation by autoclaving at 121°C for 15 minutes.
- Sterilize item 1 separately and add to step 2 under aseptic conditions.
- Homogenize in a homogenizer.
- Make up volume with item 11.
- Check and adjust pH to 6.0 to 7.0 with item 8 or 9.
- Filter and sterile fill.

MEDROXYPROGESTERONE ACETATE STERILE AQUEOUS SUSPENSION

Bill of Materials (Batch Size 1 L)					
Scale/mL	Item	Material	Qty	UOM	
140.00	mg	1	Medroxyprogesterone acetate (micronized)	200.00	g
1.80	mg	2	Methyl paraben	1.80	g
0.20	mg	3	Propyl paraben	0.20	g
8.00	mg	4	Sodium chloride	8.00	g
28.75	mg	5	Polyethylene glycol 3350	28.75	g
3.00	mg	6	Polysorbate 80	3.00	g
5.00	mg	7	Polyvinylpyrrolidone K17	5.00	g
0.694	mg	8	Sodium phosphate monobasic hydrate	0.694	g
0.588	mg	9	Sodium phosphate dibasic dodecahydrate	0.588	g
1.50	mg	10	L-Methionine	1.50	g
QS	mL	11	Hydrochloric acid for pH adjustment	QS	
QS	mL	12	Sodium hydroxide for pH adjustment	QS	
QS	mL	13	Water for injection, USP	QS to 1.00	L

Note: Use the same method as given previously, except that in step 1, first preheat item 13 to between 70 and 90°C to dissolve items 2 and 3 and then cool.

MEDROXYPROGESTERONE ACETATE STERILE AQUEOUS SUSPENSION

Bill of Materials (Batch Size 1 L)					
Scale/mL	Item	Material	Qty	UOM	
150.00	mg	1	Medroxyprogesterone acetate	150.00	g
28.90	mg	2	Polyethylene glycol 3350	28.90	g
2.41	mg	3	Polysorbate 80	2.41	g
8.68	mg	4	Sodium chloride	8.68	g
1.37	mg	5	Methyl paraben	1.37	g
0.15	mg	6	Propyl paraben	0.15	g
QS	mL	7	Water for injection, USP	QS to 1.00	L
QS	mL	8	Hydrochloric acid for pH adjustment	QS	
QS	mL	9	Sodium hydroxide for pH adjustment	QS	

Note: Fill 1 mL into syringe; terminally sterilize.

MEDROXYPROGESTERONE AND ESTRADIOL STERILE SUSPENSION

Bill of Materials (Batch Size 1 L)					
Scale/mL	Item	Material	Qty	UOM	
50.00	mg	1	Medroxyprogesterone acetate (micronized)	50.00	g
10.00	mg	2	Estradiol cypionate (micronized)	10.00	g
1.80	mg	3	Methyl paraben	1.80	g
0.20	mg	4	Propyl paraben	0.20	g
8.00	mg	5	Sodium chloride	8.00	g
28.75	mg	6	Polyethylene glycol 3350	28.75	g
1.90	mg	7	Polysorbate 80	1.90	g
2.50	mg	8	Polyvinylpyrrolidone K17	2.50	g
0.694	mg	9	Sodium phosphate monobasic hydrate	0.694	g
0.588	mg	10	Sodium phosphate dibasic dodecahydrate	0.588	g
1.50	mg	11	L-Methionine	1.50	g
QS	mL	12	Hydrochloric acid for pH adjustment	QS	
QS	mL	13	Sodium hydroxide for pH adjustment	QS	
QS	mL	14	Water for injection, USP	QS to 1.00	L

MANUFACTURING DIRECTIONS

1. In a suitable stainless-steel container, add item 14 and heat to 70°C to 90°C.
2. Add and dissolve items 3 and 4.
3. Cool to room temperature.
4. Add and dissolve items 5 to 11. Mix well.
5. Check and adjust pH to 6.0 to 7.0 with item 12 or 13.
6. Add items 1 and 2 and make a smooth slurry by using a homogenizer.
7. Filter and sterile fill.

MELPHALAN HYDROCHLORIDE FOR INJECTION

Bill of Materials (Batch Size 1 L)					
Scale/mL	Item	Material	Qty	UOM	
5.00	mg	1	Melphalan hydrochloride	5.00	g
2.00	mg	2	Povidone	2.00	g
QS	mL		Water for injection, USP	QS to 1.00	L
Diluent					
0.02	mg	1	Sodium citrate	0.02	g
0.60	mL	2	Propylene glycol	0.60	L
0.052	mL	3	Ethanol (96%)	52.00	mL
QS	mL	4	Water for injection, USP	QS to 1.00	L

Note: Fill 10 mL into vials and lyophilize. Reconstitute with 10 mL of diluent.

MENADIONE INJECTION

Bill of Materials (Batch Size 1 L)					
Scale/mL	Item	Material	Qty	UOM	
25.00	mg	1	Menadione	25.00	g
30.00	mg	2	Benzyl alcohol	30.00	g
QS	mL	3	Sesame oil, USP	QS to 1.00	L

MENADIONE SODIUM BISULFITE INJECTION VETERINARY (50 MG/ML)

Bill of Materials (Batch Size 1 L)					
Scale/mL	Item	Material	Qty	UOM	
50.00	mg	1	Menadione sodium bisulfite	50.00	g
10.00	mg	2	Sodium bisulfite, USP	10.00	g
10.00	mg	3	Benzyl alcohol, NF	10.00	g
QS	mL	4	Water for injection, USP	QS to 1.00	L
QS	mL	5	Hydrochloric acid for pH adjustment	QS	
QS	mL	6	Sodium acetate for buffering	QS	
QS	mL	7	Glacial acetic acid for buffering; see item 6	QS	

MENADIONE SODIUM BISULFITE INJECTION VETERINARY (5 MG/ML)

Bill of Materials (Batch Size 1 L)					
Scale/mL	Item	Material	Qty	UOM	
5.00	mg	1	Menadione sodium bisulfite	5.00	g
5.00	mg	2	Sodium chloride, USP	5.00	g
20.00	mg	3	Sodium bisulfite, USP	20.00	g
10.00	mg	4	Benzyl alcohol, NF	10.00	g
QS	mL	5	Water for injection, USP	QS to 1.00	L
QS	mL	6	Sodium acetate for buffering	QS	
QS	mL	7	Glacial acetic acid for buffering; see item 6	QS	

MENOTROPINS FOR INJECTION

Bill of Materials (Batch Size 1 L)					
Scale/mL	Item	Material	Qty	UOM	
7.50	IU	1	Follicle-stimulating hormone	7,500	IU
7.50	IU	2	Luteinizing hormone	7,500	IU
1.05	mg	3	Lactose hydrous	1.05	g
0.025	mg	4	Monosodium phosphate monohydrate	0.025	g
0.025	mg	5	Disodium phosphate anhydrous	0.025	g
QS	mg	6	Phosphoric acid for pH adjustment	QS	
QS	mL	7	Sodium hydroxide for pH adjustment		
QS	mL	8	Water for injection, USP	QS to 1.00	L

Note: Fill 10 mL into each vial and lyophilize; reconstitute before administration. Menotropins for injection, USP, is a purified preparation of gonadotropins. Menotropins are extracted from the urine of postmenopausal females and possess follicle-stimulating hormone (FSH) and luteinizing hormone (LH) activity. The ratio of FSH bioactivity and LH bioactivity in menotropins is adjusted to approximate unity by the addition of human chorionic gonadotropin purified from the urine of pregnant women.

MEPERIDINE HYDROCHLORIDE INJECTION

Bill of Materials (Batch Size 1 L)					
Scale/mL	Item	Material	Qty	UOM	
50.00	mg	1	Meperidine hydrochloride, USP	50.00	g
QS	mL	2	Hydrochloric acid for pH adjustment	QS	
QS	mL	3	Water for injection, USP	QS to 1.00	L

MANUFACTURING DIRECTIONS

Note: Use a clean, glass-lined tank. Protect from light.

- Preparation
 - Add water for injection ca. 65% of the final volume into glass-lined tank protected from light.
 - Add and dissolve meperidine hydrochloride with mixing.
 - Check and record pH of the solution; adjust to 4 to 5 with 1 N hydrochloric acid solution.
 - QS with water for injection to final volume.
 - Sample for testing.
 - Sterilize an approved 0.2- or 0.22 μm membrane filter with an approved prefilter.
 - Filter the solution through the sterilized filter unit into a sterile glass-lined holding container.
- Preparation of ampoules
 - Wash and dry type 11 mL sulfur-treated ampoules and load into appropriate containers for sterilization.
 - Sterilize using dry heat at 245°C for at least 3 hours and 25 minutes (or use an equivalent cycle).
 - Deliver to sterile filling area.
- Filling
 - Connect bulk solution container by using aseptic technique to the filling machines.
 - Aseptically fill 1.2 mL (range 1.1–1.3 mL) into each clean, sterile ampoule.
 - Immediately seal each ampoule.
- Sterilization
 - Autoclave at 121°C for 20 minutes.
 - Sample for testing.

MEPERIDINE HYDROCHLORIDE AND PROMETHAZINE HYDROCHLORIDE INJECTION

Bill of Materials (Batch Size 1 L)

Scale/mL	Item	Material	Qty	UOM
25.00	mg	1 Meperidine hydrochloride	25.00	g
25.00	mg	2 Promethazine hydrochloride	25.00	g
0.10	mg	3 Edetate sodium	0.10	g
0.04	mg	4 Calcium chloride	0.04	g
0.75	mg	5 Sodium formaldehyde sulfoxylate	0.75	g
0.25	mg	6 Sodium metabisulfite	0.25	g
5.00	mg	7 Phenol liquefied	5.00	g
QS	mg	8 Acetic acid for buffering	QS	
QS	mg	9 Sodium acetate for buffering	QS	
QS	mL	10 Water for injection, USP	QS to 1.00	L

Note: Fill 2 and 10 mL vials

MEPIVACAINE HYDROCHLORIDE INJECTION SINGLE-DOSE VIALS

Bill of Materials (Batch Size 1 L)

Scale/mL	Item	Material	Qty	UOM
10.00	mg	1 Mepivacaine hydrochloride	1.00	g
6.60	mg	2 Sodium chloride	6.60	g
0.30	mg	3 Potassium chloride	0.30	g
0.33	mg	4 Calcium chloride	0.33	g
QS	mL	5 Hydrochloric acid for pH adjustment	QS	
QS	mL	6 Sodium hydroxide for pH adjustment	QS	
QS	mL	7 Water for injection, USP	QS to 1.00	L

Note: This formula is for 1%, 1.5%, and 2.0% solutions. Reduce quantity of sodium chloride only to 5.6 and 4.6 mg, respectively. Fill volumes are 20 or 30 mL. Adjust pH to 4.5 to 6.8 with item 5 or 6. Autoclave at 15 lb pressure 121°C for 15 minutes. May be reautoclaved.

MEPIVACAINE HYDROCHLORIDE INJECTION MULTIDOSE VIALS

Bill of Materials (Batch Size 1 L)

Scale/mL	Item	Material	Qty	UOM
10.00	mg	1 Mepivacaine hydrochloride	1.00	g
7.00	mg	2 Sodium chloride	7.00	g
QS	mL	3 Hydrochloric acid for pH adjustment	QS	
QS	mL	4 Sodium hydroxide for pH adjustment	QS	
QS	mL	5 Water for injection, USP	QS to 1.00	L

Note: This formula is for a 1% (50 mL) vial; for 2% concentration, reduce sodium chloride to 5.0 mg. Adjust pH to 4.5 to 6.8 with item 5 or 6. Autoclave at 15 lb pressure 121°C for 15 minutes. May be reautoclaved.

MEROPENEM FOR INJECTION

Bill of Materials (Batch Size 1 L)

Scale/mL	Item	Material	Qty	UOM
100.00	mg	1 Meropenem	100.00	g
9.02	mg	2 Sodium as sodium carbonate (3.92 mEq)	9.02	g
QS	mL	3 Water for injection, USP	QS to 1.00	L

Note: For 1-g strength, fill 10 mL into vials and lyophilize; reconstitute with water for injection, USP. Fill 5 mL and lyophilize for 500 mg strength. pH of freshly constituted solution is between 7.3 and 8.3

MESORIDAZINE BESYLATE INJECTION

Bill of Materials (Batch Size 1 L)

Scale/mL	Item	Material	Qty	UOM
25.00	mg	1 Mesoridazine as mesoridazine besylate	25.00	g
0.50	mg	2 Edetate sodium	0.50	g
QS	lb	3 Carbon dioxide, dried	QS	
QS	mL	4 Water for injection, USP	QS to 1.00	L

Note: Fill under CO₂ environment.

METARAMINOL BITARTRATE INJECTION**Bill of Materials (Batch Size 1 L)**

Scale/mL	Item	Material	Qty	UOM
10.00	mg	1	Metaraminol as equivalent metaraminol bitartrate	10.00 g
4.40	mg	2	Sodium chloride	4.40 g
1.50	mg	3	Methyl paraben	1.50 g
0.20	mg	4	Propyl paraben	0.20 g
2.00	mg	5	Sodium bisulfite	2.00 g
QS	mL	6	Water for injection, USP	QS to 1.00 L

METHANDRIOL DIPROPIONATE INJECTION**Bill of Materials (Batch Size 1 L)**

Scale/mL	Item	Material	Qty	UOM
50.00	mg	1	Methandriol dipropionate	50.00 g
50.00	mg	2	Benzyl alcohol, NF	50.00 g
QS	mL	3	Sesame oil, USP	QS to 1.00 L

METHOCARBAMOL INJECTION**Bill of Materials (Batch Size 1 L)**

Scale/mL	Item	Material	Qty	UOM
100.00	mg	1	Methocarbamol	100.00 g
0.50	mL	2	Polyethylene glycol 300	0.50 L
QS	mL	3	Hydrochloric acid for pH adjustment	
QS	mL	4	Sodium hydroxide for pH adjustment	
QS	mL	5	Water for injection, USP	QS to 1.00 L

Note: Adjust pH to 3.5 to 6.0; fill 10 mL into single-dose vials.

METHOHEXITAL SODIUM FOR INJECTION**Bill of Materials (Batch Size 1 L)**

Scale/mL	Item	Material	Qty	UOM
500.00	mg	1	Methohexital sodium	500.00 g
60.00	mg	2	Sodium carbonate anhydrous	60.00 g
QS	mL	3	Water for injection, USP	QS to 1.00 L

Note: Fill 1 to 10 mL for 0.5- to 5.0-g strengths and lyophilize. The pH of the 1% solution in water for injection is between 10 and 11; the pH of the 0.2% solution in 5% dextrose is between 9.5 and 10.5.

METHYLPREDNISOLONE ACETATE SUSPENSION INJECTION**Bill of Materials (Batch Size 1 L)**

Scale/mL	Item	Material	Qty	UOM
20.00	mg	1	Methylprednisolone acetate, USP	20.00 g
29.60	mg	2	Polyethylene glycol 4000, USP	29.60 g
8.90	mg	3	Sodium chloride, USP	8.90 g
0.20	mg	4	Benzalkonium chloride 50%, USP	0.20 g
QS	mL	5	Water for injection, USP	QS to 1.00 L
QS	mL	6	Glacial acetic acid for buffering	QS
QS	mL	7	Sodium acetate for buffering; see item 6	QS

Note: For higher strength, use 40 or 80 mg as item 1.

METHYLPREDNISOLONE ACETATE SUSPENSION INJECTION

Bill of Materials (Batch Size 1 L)					
Scale/mL	Item	Material	Qty	UOM	
20.00	mg	1	Methylprednisolone acetate	20.00	mg
29.50	mg	2	Polyethylene glycol 3350	29.50	g
1.97	mg	3	Polysorbate 80	1.97	g
6.90	mg	4	Sodium phosphate monobasic	6.90	g
1.44	mg	5	Sodium phosphate dibasic	1.44	g
9.30	mg	6	Benzyl alcohol	9.30	g
QS	mL	7	Water for injection, USP	QS to 1.00	L
QS	mL	8	Hydrochloric acid for pH adjustment		
QS	mL	9	Sodium hydroxide for pH adjustment		

Note: For higher strengths, use 40 or 80 mg without adjusting tonicity with sodium chloride. Adjust pH to between 3.5 and 7.0 with item 8 or 9.

METOCLOPRAMIDE INJECTION: PRESERVATIVE FORMULA

Bill of Materials (Batch Size 1 L)					
Scale/mL	Item	Material	Qty	UOM	
5.00	mg	1	Metoclopramide HCl, USP; based on assay	5.00	g
7.00	mg	2	Sodium chloride, USP	7.00	g
1.50	mg	3	Sodium metabisulfite, USP	1.50	g
20.00	mg	4	Benzyl alcohol, NF	20.00	g
QS	mL	5	Water for injection, USP	QS to 1.00	L
QS	mL	6	Nitrogen gas, NF	QS	

MANUFACTURING DIRECTIONS

Note: The product is light sensitive. Protect from light throughout.

- Preparation of water. Check item 5 to be used for solution preparation and verify that it meets the following requirements: conductivity limit of NMT 1.0 mS/cm and pH range of 5.0 to 7.0.
- Preparation of solution.
 - Take 900 mL of item 5 in the preparation vessel and bubble item 6 to expel dissolved oxygen gas. Monitor the O₂ sensor display (O₂% limit = NMT 1).

- Add and dissolve item 4 and item 2 into step 2a preparation vessel. Mix well with stirring. After that add and dissolve item 1 and make clear solution by mixing.
 - Add and dissolve items 3 and 2 into step 2b.
 - Check pH (range 3.5–5.5).
 - Adjust pH if necessary with 1 N HCl solution or 10% NaOH solution (range 3.5–5.5).
 - After adjustment of pH, make up volume to 1 L with item 5 and mix during bubbling item 6 until O₂% is less than 1.
 - Check final pH (range 3.5–5.5).
- Preparation of prefiltration assembly. Clean and sterilize filtration assembly by autoclaving at 121.5°C for 30 minutes according to the current validated cycle.
 - Prefiltration.
 - Transfer the solution from the preparation vessel to mobile vessel through filtration assembly containing the 0.45 µm filter cartridge.
 - After filtration, check the integrity of filter cartridge.
 - After filtration, transfer the mobile vessel to the solution room.
 - Preparation of ampoules. Use type I 2 mL clear glass ampoules, USP.
 - Wash the ampoules in the washing machine as per following parameters and their limits:
DI water pressure: 2 bar min
WFI pressure: 2 bar min
Compressed air pressure: 6 bar
Machine speed: 100%
 - Sterilize the ampoules by using dry heat.
 - Set the temperature at 330°C.
 - Final filtration.
 - Clean and sterilize filling machine parts by autoclaving at 122°C for 30 minutes (or as per latest validation studies).
 - Before starting the final filtration, check the integrity of filter cartridge.
 - Aseptically connect the N₂ line through sterile N₂ filter to the inlet of mobile vessel. Check the validity of the N₂ filter.
 - Aseptically connect one end of previously sterilized filtration assembly with the 0.22 µm pore-size filtration cartridge to the outlet of mobile vessel and the other end to the buffer holding tank.
 - Filter the solution.
 - Aseptic filling.
 - Operate the previously sterilized ampoules-filling machine as per machine parameters. Adjust the fill volume to 2.15 mL.
 - Fill 2.15 mL (range 2.1–2.2 mL) metoclopramide injection from the bulk into each sterile dry clean ampoule and seal it.
 - Terminal sterilization and leak test. Load the inverted ampoules inside the autoclave chamber, run the cycle as per following parameters (as per latest validation studies): sterilization temperature of 121.1°C, exposure time of 20 minutes.

METOCLOPRAMIDE INJECTION: PRESERVATIVE-FREE FORMULA

Bill of Materials (Batch Size 1 L)

Scale/mL	Item	Material	Qty	UOM
5.00	mg	1	Metoclopramide base as metoclopramide monohydrochloride monohydrate	5.00 mg
8.50	mg	2	Sodium chloride	8.50 g
QS	mL	3	Hydrochloric acid for pH adjustment	QS
QS	mL	4	Sodium hydroxide for pH adjustment	QS
QS	mL	5	Water for injection, USP	QS to 1.00 L

METOLAZONE INJECTION

Bill of Materials (Batch Size 1 L)

Scale/mL	Item	Material	Qty	UOM
10.00	mg	1	Metolazone	10.00 g
100.00	mg	2	Ethanol, USP, 95%	100.00 g
650.00	mg	3	Propylene glycol	650.00 g
QS	mL	4	Water for injection, USP	QS to 1.00 L

MANUFACTURING DIRECTIONS

1. In a suitable vessel, add item 3 and begin mixing.
2. Add item 1 with stirring and begin heating vessel to 50°C until dissolved.
3. Cool the solution to 25°C.
4. Add item 2 with stirring.
5. Make up volume with item 4.
6. Filter and sterilize.

METRONIDAZOLE INFUSION

Bill of Materials (Batch Size 1 L)

Scale/mL	Item	Material	Qty	UOM
5.00	mg	1	Metronidazole	5.00 g
8.50	mg	2	Sodium chloride, USP	8.50 g
QS	mL	3	Water for injection, USP	QS to 1.00 L

MANUFACTURING DIRECTIONS

1. Dissolve items 1 and 2 in approximately 0.8 L of item 3 in a stainless-steel 316 or higher temper-grade vessel. Perform all processing aseptically and protected from light.
2. Make up volume with item 3.
3. Check pH 5.0 to 6.0; do not adjust.
4. Filter the solution through a 0.22 µm membrane filter and fill immediately into bags at a filling volume of 105 mL. Check filter integrity before and after filling.
5. Seal the PVC bags and autoclave at 115°C for 40 minutes starting from the moment temperature has reached 115°C inside the bag.
6. Individually seal bag into further PVC bag. Sample for complete testing.

METRONIDAZOLE INJECTABLE SOLUTION (500 MG/10 ML)

FORMULATION

- I. Metronidazole, 5.0 g.
- II. Kollidon 12 PF [1], 25.0 g; propylene glycol [1], 25.0 g; Lutrol E 400 [1], 25.0 g; water for injectables, 20.0 g.
- III. Hydrochloric acid 0.1 N, QS.

MANUFACTURING DIRECTIONS

1. Suspend I in the solution II, adjust pH 4.4 with III, and heat until metronidazole is dissolved.

PROPERTIES OF THE SOLUTION

A clear solution was obtained. It can be diluted with water without precipitation.

REMARK

To prevent of discoloration of Kollidon in the solution during storage, 0.2 to 0.5% of cysteine could be added as antioxidant.

METRONIDAZOLE INJECTION

Bill of Materials (Batch Size 1 L)					
Scale/mL	Item	Material	Qty	UOM	
5.00	mg	1	Metronidazole	5.00	g
0.48	mg	2	Sodium phosphate dibasic anhydrous	476.00	mg
0.23	mg	3	Citric acid anhydrous	229.00	mg
7.90	mg	4	Sodium chloride	7.90	g
QS	mL	5	Water for injection, USP	QS to 1.00	L

MANUFACTURING DIRECTIONS

Note: The solution must be prepared in a 315 or higher temperature-grade stainless-steel or glass-lined tank cleaned according to approved plant SOPs.

- Preparation of solution
 - Obtain a sample from the water for injection source to be used for rinsing and mixing and certify that it meets the conductivity requirements of NMT 3.0 mS/s and pH range of 5 to 7. Record values.
 - Test the rinsed draining from the tank for conductivity and oxidizable substances prior to batch preparation. Record values (conductivity NMT 3).
 - Record pH, conductivity, and temperature of water for injection.
 - Add water for injection to tank to ca. 95% of the final volume.
 - Add and dissolve the sodium phosphate dibasic, citric acid, and sodium chloride.
 - Check and record pH (range 5.4–6). *Note:* Solution is buffered to fall into this pH range.
 - Add and dissolve the metronidazole with mixing.
 - Check and record pH (range 5.6–6). Solution is buffered to fall into this pH range.
 - Add water for injection to final volume and mix until ingredients are completely dissolved and solution is uniform.
 - Send first sample for testing.
 - Filter solution through a Sparkler or equivalent prefilter and recirculate until clear. Then filter through an approved 0.45 µm or finer membrane connected in series to the prefilter. Recirculate until sparkling clear. *Note:* Perform the bubble point test on the membrane before and after filtration.
- Filling
 - Fill a specified volume into each clean container.
 - Send a second sample for testing.
- Sterilization
 - Sterilize by using standard autoclave cycle.
 - Send final sample for testing.

METRONIDAZOLE AND DEXTROSE INFUSION

Bill of Materials (Batch Size 1 L)					
Scale/mL	Item	Material	Qty	UOM	
2.00	mg	1	Metronidazole, USP, 5% excess	2.10	g
50.00	mg	2	Dextrose anhydrous, 5% excess	52.50	g
QS	mL	3	Water for injection, USP	QS to 1.00	L

MANUFACTURING DIRECTIONS

- Use freshly prepared item 3 stored for NMT 24 hours at 80°C. Add items 1 and 2 to item 3 at 60°C and mix for 15 minutes.
- Filter using at least a 0.45 µm filter before final filtration with a 0.22 µm filter and fill into type I 540 mL glass bottles.
- Fill 540 mL while maintaining solution at 45°C to 50°C and seal immediately by using butyl gray rubber stoppers prewashed and sterilized at 116°C for 30 minutes; use triple aluminum seals and suitable plastic hangers.
- Sterilize filled bottle by autoclaving at 121°C for 20 minutes. Do not exceed temperature by 3°C or time by 2 minutes either side of the limit. The autoclaving cycle should be fully validated to prevent excess 5-hydroxy methyl furfural test limits of USP.
- Check pH of solution (4.0–4.3). Before autoclaving, pH is 5.5 to 6.5.

MIDAZOLAM HYDROCHLORIDE INJECTION

Bill of Materials (Batch Size 1 L)					
Scale/mL	Item	Material	Qty	UOM	
1.00	mg	1	Midazolam as midazolam hydrochloride equivalent	1.00	g
8.00	mg	2	Sodium chloride	8.00	g
0.10	mg	3	Edetate sodium	0.10	g
10.00	mg	4	Benzyl alcohol	10.00	g
QS	mL	5	Hydrochloric acid for pH adjustment		
QS	mL	6	Sodium hydroxide for pH adjustment		
QS	mL	7	Water for injection, USP	QS to 1.00	L

Note: Adjust pH to 2.9 to 3.2 with items 5 and 6. The same formula is used for 5 mg strength.

MILRINONE LACTATE INJECTION**Bill of Materials (Batch Size 1 L)**

Scale/mL	Item	Material	Qty	UOM
0.20	mg	1 Milrinone as milrinone lactate equivalent	0.20	g
49.40	mg	2 Dextrose anhydrous, USP	49.40	g
QS	mg	3 Lactic acid for pH adjustment	QS	
QS	mL	4 Sodium hydroxide for pH adjustment	QS	
QS	mL	5 Water for injection, USP	QS to 1.00	L

Note: Adjust pH to 3.2 to 4.0 with item 3 or 4. The nominal concentration of lactic acid is 0.282 mg/mL.

MINERAL COMPLEX INJECTION**Bill of Materials (Batch Size 1 L)**

Scale/mL	Item	Material	Qty	UOM
6.43	mg	1 Sodium chloride, USP	6.43	g
0.176	mg	2 Calcium chloride dihydrate, USP	0.176	g
3.253	mg	3 Magnesium chloride hexahydrate, USP	3.253	g
1.193	mg	4 Potassium chloride granules, USP	1.193	g
QS	mL	5 Hydrochloric acid for pH adjustment	QS	
QS	mL	6 Sodium hydroxide for pH adjustment	QS	
QS	mL	7 Water for injection, USP	QS to 1.00	L

MANUFACTURING DIRECTIONS

1. Add ca. 95% of the final volume of water for injection into a glass-lined or 316 or higher temper-grade stainless-steel tank.
2. Bubble N₂ gas through the water and maintain N₂ gas protection throughout the remainder of the solution preparation.
3. Add and dissolve sodium chloride, calcium chloride, magnesium chloride, and potassium chloride while mixing.
4. QS with water for injection to final volume and mix until solution is uniform.
5. Check and record pH. Adjust with hydrochloric acid or sodium hydroxide if needed.
6. Filter solution with a prefilter.
7. Filter solution through a 0.45 μm membrane filter.

8. Fill correct volume into each flexible container.
9. Seal, overwrap, and autoclave.
10. Inspect and finish.
11. Sample for testing.

MICONAZOLE INJECTABLE SOLUTION (1%)**FORMULATION**

1. Miconazole, 1.0 g; Cremophor EL [1], 12.0 g.
2. Parabens, QS; water for injectables, add 100 mL.

MANUFACTURING DIRECTIONS

1. Heat mixture I to approximately 65°C, stir well, and add slowly the hot solution II.
2. After the ampoules have been heat-sterilized, they should be shaken for a short time, while they are still hot, to eliminate any separation of the phases that may have occurred. Sterilization can also be performed by membrane filtration under pressure.

MITOXANTRONE FOR INJECTION**Bill of Materials (Batch Size 1 L)**

Scale/mL	Item	Material	Qty	UOM
2.00	mg	1 Mitoxantrone base as mitoxantrone hydrochloride equivalent	2.00	g
8.00	mg	2 Sodium chloride	8.00	g
0.05	mg	3 Sodium acetate	0.05	g
0.46	mg	4 Glacial acetic acid	0.46	g
QS	mL	5 Water for injection, USP	QS to 1.00	L

Note: pH 4.0 to 4.5; must be diluted prior to administration.

MORPHINE SULFATE INFUSION**Bill of Materials (Batch Size 1 L)**

Scale/mL	Item	Material	Qty	UOM
10.00	mg	1 Morphine sulfate	10.00	g
8.00	mg	2 Sodium chloride	8.00	g
QS	mL	3 Sodium hydroxide for pH adjustment		
QS	mL	4 Sulfuric acid for pH adjustment	QS	
QS	mL	5 Water for injection, USP	QS to 1.00	L

Note: Adjust pH to 4.5 with item 3 or 4. Sterile fill; do not heat-sterilize. A 10 mL fill provides a 100 mg dose for infusion; for 500 mg strength use 6.25 mg/mL of sodium chloride instead and label quantity of 8.00 mg/mL.

MORPHINE SULFATE INJECTION

Bill of Materials (Batch Size 1 L)					
Scale/mL	Item	Material	Qty	UOM	
25.00	mg	1	Morphine sulfate, USP, pentahydrate	25.00	g
QS		2	Nitrogen gas, NF	QS	
QS	mL	3	Water for injection, USP	QS to 1.00	L

MANUFACTURING DIRECTIONS

Precaution: Prepare solution in a clean glass-lined tank or stainless-steel container. This product requires N₂ gas and light protection during solution preparation. This product is a narcotic drug.

- Preparation.
 - Add water for injection to ca. 90% of the final volume into a glass-lined or stainless-steel container; protect from light. Heat to 70°C (65–75°C). Pass bubble-filtered sterile N₂ gas for 10 minutes. Cool the water to 25°C (range 22–30°C).
 - Add and dissolve morphine with mixing. Check and record pH (2.7–5.8). QS with water to final volume and mix thoroughly. Sample for testing.
 - Sterilize an approved 0.2- or 0.22 µm membrane filter with an approved prefilter. Filter the solution by using N₂ pressure through the sterilized filter unit into a sterile glass-lined, light-protected container blanketed with N₂.
- Preparation of ampoules. Use type I amber sulfur-treated ampoules. Wash, dry, and load into appropriate containers for sterilization. Use dry heat at 245°C to 330°C for 2 hours and 45 minutes to 3 hours and 30 minutes or equivalent cycle. Deliver to sterile filling area.
- Filling. Connect bulk solution container with an aseptic technique to the filling machines. Aseptically fill each clean, sterile ampoule. Flush headspace with sterile filtered N₂. Immediately seal. This product is not terminally sterilized.

MOXIDECTIN INJECTION

Bill of Materials (Batch Size 1 L)					
Scale/mL	Item	Material	Qty	UOM	
10.50	mg	1	Moxidectin	10.50	g
100.00	mg	2	Sucrose monolaurate	100.00	g
200.00	mg	3	Ethanol, USP	200.00	g
678.50	mg	4	Propylene glycol ^a	670.50	g

^a Or QS to 1 L.

MANUFACTURING DIRECTIONS

- In a suitable vessel, add item 3 at room temperature and add to it item 1, stir, and mix.
- In a separate vessel, add item 4 and dissolve in it item 2. Mix vigorously to dissolve.
- Add solution of step 1 into solution of step 2 and mix vigorously.
- Filter and sterilize.

MULTIPLE ELECTROLYTES AND DEXTROSE INJECTION (ELLIOTT'S B SOLUTION)

Bill of Materials (Batch Size 10.5 L)					
Scale/mL	Item	Material	Qty	UOM	
7.50	mg	1	Sodium chloride, USP	76.65	g
1.90	mg	2	Sodium bicarbonate, USP	19.95	g
0.80	mg	3	Dextrose hydrous, USP	8.40	g
0.30	mg	4	Magnesium sulfate, USP	3.15	g
0.30	mg	5	Potassium chloride, USP	2.10	g
0.20	mg	6	Calcium chloride-2H ₂ O, USP	2.10	g
0.20	mg	7	Sodium phosphate dibasic.7H ₂ O	2.10	g
0.10	mg	8	Phenolsulfonphthalein, USP	1.05	mg
QS	mL	9	Water for injection, USP	10.50	L
QS	–	10	Carbon dioxide, NF, to adjust pH	QS	–

MANUFACTURING DIRECTIONS

- Dissolve 42 mg of item 8 in 1 L of item 9; warm gently if necessary to approximately 40°C to make a stock solution.
- Place 9 L of item 9 into a suitable mixing tank. Add items 7, 1, 6, 4, 5, and 3, in order, one by one with

constant stirring; allow each ingredient to dissolve completely before adding the next one.

- Pipe 25 mL of the stock solution in step 1 to mixing tank and mix well. Check pH, QS the volume with item 9, keep a cover with item 10, and flush with item 10 to adjust the pH to 6.2 to 6.4.
- Sample, filter through 0.22 μm filter, and transfer to clean vessel and fill. *Caution:* the solution should be filtered and filled as soon as possible after compounding because the pH may not be stable.
- Rinse stoppers with purified water and autoclave in a solution of EDTA (62.5 g in 2.5 L) at 121°C for 1 hour; rinse at least three times with purified water.

MUROMONAB-CD3 INJECTION

Bill of Materials (Batch Size 1 L)

Scale/mL	Item	Material	Qty	UOM
1.00	mg	1	Muromonab-CD3	1.00 g
0.45	mg	2	Sodium phosphate monobasic	0.45 g
1.80	mg	3	Sodium phosphate dibasic	1.80 g
0.80	mg	4	Sodium chloride	0.80 g
0.20	mg	5	Polysorbate 80	0.20 g
QS	mL	6	Water for injection, USP	QS to 1.00 L

Note: Fill 5 mL into each vial, pH 6.5 to 7.5; buffered preparation. The proper name, Muromonab-CD3, is derived from the descriptive term *murine monoclonal antibody*. The CD3 designation identifies the specificity of the antibody as the Cell Differentiation (CD) cluster 3 defined by the First International Workshop on Human Leukocyte Differentiation Antigens.

NALBUPHINE HYDROCHLORIDE

Bill of Materials (Batch Size 1 L)

Scale/mL	Item	Material	Qty	UOM
10.00	mg	1	Nalbuphine hydrochloride	10.00 g
2.00	mg	2	Sodium chloride	2.00 g
9.40	mg	3	Sodium citrate	9.40 g
12.60	mg	4	Citric acid	12.60 g
1.80	mg	5	Methyl paraben	1.80 g
0.20	mg	6	Propyl paraben	0.20 g
QS	mL	7	Hydrochloric acid for pH adjustment	QS
QS	mL	8	Water for injection, USP	QS to 1.00 L

Note: pH adjusted to 3.5 to 3.7 with item 7. A 20 mg/mL strength has the same formula.

NALOXONE HYDROCHLORIDE INJECTION (1 MG/ML)

Bill of Materials (Batch Size 1 L)

Scale/mL	Item	Material	Qty	UOM
1.00	mg	1	Naloxone hydrochloride	1.00 g
8.35	mg	2	Sodium chloride	8.35 g
1.80	mg	3	Methyl paraben	1.80 g
0.20	mg	4	Propyl paraben	0.20 g
QS	mL	5	Hydrochloric acid for pH adjustment	
QS	mL	6	Water for injection, USP	QS to 1.00 L

Note: Adjust pH to 3.0 to 4.0 with item 5. Also available as paraben-free formula.

NALOXONE HYDROCHLORIDE INJECTION (0.04 MG/ML)

Bill of Materials (Batch Size 1 L)

Scale/mL	Item	Material	Qty	UOM
0.04	mg	1	Naloxone hydrochloride	0.04 g
8.60	mg	2	Sodium chloride	8.60 g
1.80	mg	3	Methyl paraben	1.80 g
0.20	mg	4	Propyl paraben	0.20 g
QS	mL	5	Hydrochloric acid for pH adjustment	
QS	mL	6	Water for injection, USP	QS to 1.00 L

Note: Adjust pH to 3.0 to 4.0 with item 5.

NALOXONE HYDROCHLORIDE INJECTION (0.02 MG/ML)

Bill of Materials (Batch Size 1 L)

Scale/mL	Item	Material	Qty	UOM
0.02	mg	1	Naloxone hydrochloride	0.02 g
9.00	mg	2	Sodium chloride	9.00 g
QS	mL	3	Hydrochloric acid for pH adjustment	
QS	mL	4	Water for injection, USP	QS to 1.00 L

Note: Adjust pH to 3.0 to 4.0 with item 3.

NANDROLONE DECANOATE INJECTION

Bill of Materials (Batch Size 1 L)

Scale/mL	Item	Material	Qty	UOM
100.00	mg	1	Nandrolone decanoate, USP, 5% excess	105.00 g
100.00	mg	2	Benzyl alcohol, NF, 5% excess	105.00 g
QS	mL	3	Sesame oil, USP	QS to 1.00 L

MANUFACTURING DIRECTIONS

Note: Use clean, dry equipment for compounding and filling the product.

1. Heat approximately 0.8 L of item 3 to approximately 40°C. Use this preheated oil for the compounding of product.
2. Add item 1 to step 1; agitate until dissolved. Add a small amount of sesame oil, if necessary.
3. Add item 2 to the mixing tank and continue stirring.
4. QS to volume with sesame oil.
5. Filter through a 0.22 µm membrane filter into a sterile reservoir for filling.
6. Fill into type I 2 mL amber vials (presterilized at 330°C for at least 240 minutes) and 1888 gray stopper without coating and appropriate aluminum seal.

NANDROLONE PHENYLPROPIONATE INJECTION

Bill of Materials (Batch Size 1 L)

Scale/mL	Item	Material	Qty	UOM
25.00	mg	1	Nandrolone phenylpropionate	25.00 g
0.40	mL	2	Ethyl oleate	0.40 L
0.60	mL	3	Arachis oil	0.60 L

MANUFACTURING DIRECTIONS

1. Place items 2 and 3 in a suitable stainless-steel 316 or higher temper-grade vessel, mix and filter through an appropriate system, and sterilize by dry heat at 160°C for 2 hours; allow to cool to 80°C.
2. In a separate vessel, add item 1 and portions of step 1 to dissolve item 1 completely. Add oil mixture from step 1 to make up the volume.
3. Filter through a presterilized assembly and fill 1.2 mL into type I flint ampoules.

NAPHAZOLINE OPHTHALMIC DROPS

Bill of Materials (Batch Size 1 L)

Scale/mL	Item	Material	Qty	UOM
17.71	mg	1	Acid boric granular	17.71 g
1.50	mg	2	Hydroxypropyl methylcellulose 4000, cps	1.50 g
0.36	mg	3	Borax, sodium borate	0.36 g
1.00	mg	4	Edetate sodium	1.00 g
0.114	mg	5	Naphazoline hydrochloride, 5% excess	0.12 g
0.586	mL	6	Benzalkonium chloride, 17%, 7% excess	0.63 mL
QS	mL	7	Water for injection, USP	QS to 0.95 L

MANUFACTURING DIRECTIONS

Use a thoroughly cleaned and rinsed steam-jacketed, glass-lined, or stainless-steel tank (No. 304 or better) equipped with a speed-controlled agitator. Tank should have a cover. Foaming occurs due to benzalkonium chloride, which concentrates in foam; processing and filling systems should be designed to minimize foaming and allow rapid dissipation of foaming.

1. Bulk solution
 - a. Charge 80% of final volume of water into mixing tank.
 - b. If using methylcellulose, heat deionized water to 90°C. While agitating, add and disperse methylcellulose by slowly sprinkling onto the surface of solution; mix to avoid excessive foaming. Allow 15 minutes for hydration of methylcellulose before discontinuing heating and allowing to cool to 40°C.
 - c. While agitating, add and dissolve disodium edetate, benzalkonium chloride, boric acid, and sodium borate; continue cooling to 30°C (25–30°C); discontinue agitation and QS to 950 mL with deionized water. Start agitator and mix for at least 15 minutes at 30°C. Discontinue agitation and cooling. Sample.

2. Naphazoline hydrochloride concentrate solution
 - a. Dissolve naphazoline hydrochloride in 50 mL of deionized water, and sterile filter solution through a previously sterilized Millipore® filter unit containing a 0.22 µm membrane.
 - b. Hold naphazoline solution under aseptic conditions for addition to bulk solution (after it has been autoclaved and cooled).
3. Prefiltration
 - a. Methylcellulose solutions filter at a slow rate. Recirculate solution until clear and transfer to holding or sterilization.
4. Sterilization and filling
 - a. Use either heat sterilization or sterile filtration. In heat sterilization, sterilize at 112°C to 115°C for 60 minutes, cool the solution to 25°C to 30°C, aseptically add the sterile naphazoline solution, and mix well.
 - b. Set up a previously sterilized filter and transfer line with 10 µm stainless-steel filter.
 - c. Aseptically fill sterile solution into sterilized containers and apply sterile closure components. Sample.
 - d. In sterile filtration, use Pall cartridge with Sartorius cartridge.
 - e. Prepare and steam-sterilize the recommended filter units and aseptically fill the sterilize solution to which naphazoline solution has been added into each sterilized container and apply sterile closure. Sample.

NATAMYCIN OPHTHALMIC SUSPENSION

Bill of Materials (Batch Size 1 L)

Scale/mL	Item	Material	Qty	UOM
50.00	mg	1 Natamycin	50.00	g
0.20	mg	2 Benzalkonium chloride	0.20	g
QS	mL	3 Hydrochloric acid for pH adjustment		
QS	mL	4 Sodium hydroxide for pH adjustment		
QS	mL	5 Water for injection, USP	QS to 1.00	L

Note: Fill into 15 mL glass bottles with dropper assembly.

NATURAL ESTROGENIC SUBSTANCES SUSPENSION

Bill of Materials (Batch Size 1 L)

Scale/mL	Item	Material	Qty	UOM
1.50	mg	1 Estrone, NF	1.50	g
0.50	mg	2 Estrogenic substances; items 1 and 2 combined, 2 mg	0.50	g
1.00	mg	3 Carboxymethylcellulose sodium, USP	1.00	g
9.00	mg	4 Sodium chloride, USP	9.00	g
1.00	mg	5 Sodium phosphate, USP	1.00	g
1.10	M	6 Benzalkonium, 50%, USP	1.10	M
QS	mL	7 Water for injection, USP	QS to 1.00	L
QS	mL	8 Acetic acid for buffering	QS	
QS	mL	9 Sodium acetate for buffering; see item 8	QS	

Note: For 5 mg strength, adjust fill volume.

NEDOCROMIL SODIUM OPHTHALMIC SOLUTION

Bill of Materials (Batch Size 1 L)

Scale/mL	Item	Material	Qty	UOM
20.00	mg	1 Nedocromil sodium	20.00	g
0.10	mg	2 Benzalkonium chloride	0.10	g
0.50	mg	3 Edetate sodium	0.50	g
QS	mL	4 Water for injection, USP	QS to 1.00	L

Note: pH 4 to 5.5; fill into 5 mL natural LDPE round eye drop bottle with controlled dropper tip and a natural polypropylene cap.

NEOMYCIN AND PREDNISOLONE ACETATE OPHTHALMIC SUSPENSION

Bill of Materials (Batch Size 1 L)

Scale/mL	Item	Material	Qty	UOM
Part I				
5.50	mg	1	Borosilicate beads	
247.50	g	2	Prednisolone acetate, USP (10% overage)	
0.0066	mL	3	Water purified (distilled), USP	300.00 mL
0.0055	mL	4	P VA micronizing diluent	250.00 mL
0.0177	mL	5	Water purified (distilled), USP, ca.	800.00 mL
Part II				
0.3333	mL	6	Water purified (distilled), USP, ca.	15.00 L
14.00 ^a	mg	7	Polyvinyl alcohol, 20–90	941.30 g
0.0003 ^a	mL	8	Polysorbate 80, NF (use 10% solution)	141.00 mL
Part III				
0.8222	mL	9	Water purified (distilled), USP, ca.	37.00 L
0.01	mL	10	Propylene glycol, USP	675.00 mL
8.33	mg	11	Sodium acetate trihydrate, USP	562.30 g
3.85 ^b	mg	12	Neomycin sulfate, USP (10% overage)	259.90 ^c g
11,500	U	13	Polymyxin B sulfate, USP (15% overage)	92.37 ^d g
Part IV				
0.0044	mL	14	Water purified (distilled), USP, ca.	200.00 mL
0.01	mg	15	Thimerosal, USP ^e	0.675 g
QS	mL	16	Water purified (distilled), USP, ca.; QS add parts II, III, and IV	60.00 L
QS	mL	17	Sterile filtrate QS parts II, III, IV	40.00 L
Part V				
0.0811	mL	18	Water purified (distilled), USP	3.65 L

^a Includes amount contained in polyvinyl alcohol micronizing diluent. Polyvinyl alcohol micronizing diluent contains 1.0% polyvinyl alcohol 20–90 and 1.65% polysorbate 80, NF.

^b Equivalent to 3.85 mg/mL neomycin base.

^c The amount of neomycin sulfate to be added must be calculated on the basis of the manufacturer's assay value of the raw material lot used as per the following formula: $259.9 \text{ g neomycin base} \times 1000 \text{ mg/mg/manufacture}$ r's assay value (mg/mg) = g of neomycin sulfate required.

^d The amount of Polymyxin B sulfate to be added must be calculated on the basis of the manufacturer's assay value of the raw material lot used as per the following formula: $776250000 \text{ U Polymyxin B sulfate/manufacture}$ r's assay value (U/mg \times 1000 mg/g = g of Polymyxin B sulfate required. (Assuming assay = 8403 U/mg.)

^e The amount of thimerosal to be added must be calculated on the basis of the manufacturer's assay value of the raw material lot used as per the following formula: $0.675 \text{ g} \times 100.0\%/\text{assay value}(\%) = \text{g thimerosal required}$.

MANUFACTURING DIRECTIONS

Caution: Hazardous handling of prednisolone and neomycin; observe protection and precaution. Protect the preparation from light after adding neomycin and Polymyxin B.

Part I

1. Add item 2 into a 2 L grinding jar filled to approximately half with glass beads; add 300 mL of item 5 to it and then 250 mL of item 4.
2. Seal the jar with a Teflon stopper and mix until the steroid has been wetted; remove the stopper and wrap the mount of jar with a double layer of aluminum foil and a double layer of parchment paper and secure it with steel wires.
3. Sterilize the jar by autoclaving for at least 2 hours and 30 minutes at 121°C; remove the jar from the autoclave and allow it to cool to room temperature.
4. Transfer 800 mL of item 5 into a 1 L flask; wrap the mouth of the flask with a double layer of aluminum foil and a double layer of parchment paper and secure the two rubber bands.
5. Sterilize item 5 by autoclaving for 30 minutes minimum at 121°C; remove the flask from the autoclave and allow it to cool to room temperature.
6. Wrap a Teflon stopper that will fit the mouth of the grinding jar with two layers of aluminum foil; sterilize the Teflon stopper by autoclaving for at least 30 minutes at 121°C.
7. Aseptically (under a laminar flow hood, with appropriate gowning) add as much of the 800 mL of sterile item 5 as it takes to fill the grinding jar to the neck. Seal the grinding jar with the sterilized Teflon stopper, cover the Teflon stopper with double layers of aluminum and double layers of parchment paper, and secure the parchment paper and aluminum foil with two steel wires.
8. Place the grinding jar on the mill and grind until the particle size is approved by QC.

Part II

1. Measure out ca. 20 L of item 6 into a container suitable for heating. Begin mixing with a suitable mixer. Heat the item 5 to 85°C to 90°C.
2. Measure out 15 L of heated item 6 into a 20 L container; begin mixing with a propeller mixer.
3. Add item 7 slowly to the vortex. Avoid formation of excessive foam. Mix for at least 90 minutes until it is completely dissolved (mixing time not less than 90 minutes).
4. Add item 8, 10% solution, and mix well; cool to room temperature.

Part III

1. Measure out ca. 37 L of item 9 into a mixing tank suitably calibrated for a final QS of 60 L; begin mixing.
2. Add items 10, 11, 12, and 13, in order, allowing each to mix thoroughly or dissolve completely before adding the next.
3. Add part II to the mixing tank containing part III while mixing part III.
4. Use 3 to 4 L of item 9 to rinse the part II container, add the rinsings to the mixing tank, mix thoroughly.

Part IV

1. Weigh out item 15 and carefully transfer it to a suitable flask.
2. Add 200 mL of item 14 and mix until item 15 is dissolved.
3. Add part IV to combined parts II and III and mix thoroughly.
4. Rinse the part IV flask with ca. 200 mL of item 16 and add the rinsings to the mixing tank.
5. Allow any foam to dissipate and QS the combined solution of parts II, III, and IV (product base) to 60 L with item 16; mix thoroughly for at least 15 minutes.
6. Take a 60 mL sample of combined parts II, III, and IV product base for bulk assay.

STERILE FILTRATION

Note: Sterile filter 40 L of combined parts II, III, and IV base, using an approved 0.2 μm filter.

1. Sterilize for 1 hour (range 45–60 minutes) at 121°C (–0, +5°C) in an autoclave at 15 psi in a 100 L stainless-steel pressure vessel. Transfer to solution preparation area.
2. Mix the product for at least 10 minutes before filtration.
3. Connect the sterilized filter and sterile filter with the aid of N₂ pressure (15–30 lb) into the sterilized 100 L stainless-steel pressure vessel. *Note:* Before sterile filtration to the 100 L pressure vessel, perform the bubble point test at NLT 40 psi and on a 0.22 μm inline gas filter at 18 psi.
4. After completion of product filtration, flush the sterilizing filter with at least 10 L of water purified (distilled).
5. After filtration, decontaminate the outer surface of the bulk holding the pressure vessel and then transfer to filling cubicle; discard NLT 10 L through the sterilized filter prior to connecting on the sterile filling lead line.
6. QA sample for bulk assay. Discard any remaining base portion, after keeping 40 L of the combined parts II, III, and IV.

STERILIZATION

Sterilize filling unit, 20 L surge bottle, P2 sintered glass filter, and uniforms at 121°C (–0°C, +2°C) and 15 psi for 1 hour.

Part V

1. Measure out and transfer item 18 into a suitable glass bottle. Seal the mouth of the bottle with two layers of aluminum foil and two layers of parchment paper; secure the aluminum foil and parchment paper with two rubber bands.
2. Sterilize item 18 by autoclaving for at least 60 minutes at 121°C. Remove the bottle from the autoclave and allow it to cool to room temperature.

MIXING PROCEDURE

Note: Perform all mixing of steroid under aseptic conditions. Product is light sensitive.

1. Grind the steroid (part I) for at least 6 hours before mixing.
2. Aseptically receive 40.0 L of sterile filtered product base (combined parts II, III, and IV) into a sterilized glass bottle calibrated at 40.0 and 45.0 L.
3. Place the glass bottle containing the product base (combined parts II, III, and IV) on a magnetic mixing table.
4. Place the bottle and magnetic mixer in front of a laminar air flow hood.
5. Aseptically add a sterilized magnetic stirring bar to the glass bottle containing the product base. Adjust the mixing speed such that a 10.5-in deep vortex is formed.
6. Aseptically pour the ground prednisolone acetate, part I, from the grinding jar through a sterilized polyethylene Buchner funnel into the bottle containing the product base. Rinse the grinding jar and the funnel with the sterilized water purified (distilled) (part V).
7. Add the rinsings to the bottle containing parts II, III, and IV. The volume of the suspension in the bottle should now be 45 L. Remove the Buchner funnel and insert a sterilized closing stopper into the mouth of the bottle containing combined parts I to V.
8. Allow the product to mix with a 0.5-in deep vortex for at least 2 hours. Continue mixing at this setting.

HOMOGENIZATION PROCEDURE

Homogenize the product suspension in a sterilized homogenizer. Filter the suspension through filter into an empty 45 L sterilized glass bottle located in the filling room. Aseptically add a sterilized magnetic stirring bar to the empty 45 L sterilized glass bottle located in the filling room. Place the empty 45 L sterilized glass bottle onto a magnetic mixing table. Adjust the homogenizer controls while cycling the suspension

from the bottle through the sterilized homogenizer back to the bottle.

STERILE FILLING

1. Transfer the radiation-sterilized bottles, plugs, and caps to the filling cubicle after swabbing their outer polyethylene packing with filtered methylated spirit and keep under the laminar flow hood.
2. Transfer the sterilized assembly line to the filling room; wear surgical gloves and uniforms. Aseptically connect the sterilized filling tubing and N₂ line from the 100 L pressure vessel to the surge bottle.
3. Aseptically fill 5.4 mL of sterile solution through P2 sintered glass into the sterilized container by using the automatic filling, plugging, and sealing machine and apply sterile closure components (plugs and caps). *Note:* While filtering, do not exceed to N₂ pressure of 5 to 10 lb.
4. Perform the bubble point test on a 0.22 µm inline gas filter before and after filtration at 18 psi.

NEOMYCIN SULFATE–POLYMYXIN B SULFATE FOR IRRIGATION

Bill of Materials (Batch Size 1 L)

Scale/mL	Item	Material	Qty	UOM
40.00	mg	1 Neomycin base	40.00	g
200,000	U	2 Polymyxin B sulfate	2 MM	U
10.00	mg	3 Methyl paraben	10.00	g
QS	mL	4 Water for injection, USP	QS to 1.00	L

Note: Fill 1 mL per ampoule.

NEOSTIGMINE METHYLSULFATE INJECTION SINGLE-DOSE VIAL

Bill of Materials (Batch Size 1 L)

Scale/mL	Item	Material	Qty	UOM
0.50	mg	1 Neostigmine methylsulfate	0.50	g
1.80	mg	2 Methyl paraben	1.80	g
0.20	mg	3 Propyl paraben	0.20	g
QS	mL	4 Sodium hydroxide for pH adjustment		
QS	mL	5 Water for injection, USP	QS to 1.00	L

Note: Adjust pH to ca. 5.9 with item 4.

NEOSTIGMINE METHYLSULFATE INJECTION MULTIDOSE VIAL

Bill of Materials (Batch Size 1 L)

Scale/mL	Item	Material	Qty	UOM
0.50	mg	1 Neostigmine methylsulfate	0.50	g
1.80	mg	2 Glacial acetic acid	1.80	g
0.20	mg	3 Sodium acetate	0.20	g
4.50	mg	4 Phenol liquefied	4.50	g
QS	mL	5 Sodium hydroxide for pH adjustment		
QS	mL	6 Water for injection, USP	QS to 1.00	L

Note: Adjust pH to ca. 5.9 with item 5.

NESIRITIDE FOR INJECTION

Bill of Materials (Batch Size 1 L)

Scale/mL	Item	Material	Qty	UOM
0.15	mg	1 Nesiritide, 5% excess	0.158	g
2.00	mg	2 Mannitol	2.00	g
0.21	mg	3 Citric acid monohydrate	0.21	g
0.294	mg	4 Sodium citrate dihydrate	0.294	g
QS	mL	5 Water for injection, USP	QS to 1.00	L

Note: Fill 10 mL into each vial and lyophilize.

NETILMICIN INJECTION

Bill of Materials (Batch Size 1 L)

Scale/mL	Item	Material	Qty	UOM
10.00	mg	1 Netilmicin, use netilmicin sulfate	12.00	g
4.00	mg	2 Sodium sulfite	4.00	g
1.30	mg	3 Methyl paraben	1.30	g
0.20	mg	4 Propyl paraben	0.20	g
5.40	mg	5 Sodium chloride	5.40	g
QS	mL	6 Water for injection, USP	QS to 1.00	L

MANUFACTURING DIRECTIONS

1. Take 0.9 L of item 6 into a jacketed stainless-steel vessel; heat it to 70°C to 90°C.

2. Add and dissolve items 3 and 4 to complete solution.
3. Cool to room temperature.
4. Add item 2 and dissolve.
5. Add item 5 and dissolve.
6. Add item 1 and dissolve.
7. Check pH to 6.7 to 6.9; do not adjust.
8. Filter and sterilize.

NETILMICIN SULFATE INJECTION

Bill of Materials (Batch Size 1 L)

Scale/mL	Item	Material	Qty	UOM
2.50	mg	1	Netilmicin, use netilmicin sulfate	3.00 g
1.20	mg	2	Sodium sulfite	1.20 g
2.10	mg	3	Sodium metabisulfite	2.10 g
1.30	mg	4	Methyl paraben	1.30 g
0.20	mg	5	Propyl paraben	0.20 g
2.60	mg	6	Sodium sulfate	2.60 g
0.10	mg	7	Disodium edetate	0.10 g
QS	mL	8	Water for injection, USP	QS to 1.00 L

NIACINAMIDE INJECTION

Bill of Materials (Batch Size 1 L)

Scale/mL	Item	Material	Qty	UOM
100.00	mg	1	Niacinamide, USP	100.00 g
5.00	mg	2	Liquefied phenol, USP	5.00 g
QS	mL	3	Water for injection, USP	QS to 1.00 L
QS	mL	4	Hydrochloric acid for pH adjustment	QS

NICARDIPINE HYDROCHLORIDE FOR INFUSION

Bill of Materials (Batch Size 1 L)

Scale/mL	Item	Material	Qty	UOM
2.50	mg	1	Nicardipine hydrochloride	2.50 g
48.00	mg	2	Sorbitol	48.00 g
0.525	mg	3	Citric acid monohydrate	0.525 g
0.09	mg	4	Sodium hydroxide	0.09 g
QS	mg	5	Citric acid monohydrate for pH adjustment	QS g
QS	mL	6	Sodium hydroxide for pH adjustment	QS
QS	mL	7	Water for injection, USP	QS to 1.00 L

Note: Adjust pH to around 3.5 with item 5 or 6. Fill into 10 mL ampoules for infusion after dilution.

NICARDIPINE HYDROCHLORIDE INJECTION

Bill of Materials (Batch Size 1 L)

Scale/mL	Item	Material	Qty	UOM
1.00	mg	1	Nicardipine hydrochloride	1.00 g
48.90	mg	2	Sorbitol	48.90 g
0.09	mg	3	Sodium hydroxide	0.09 g
0.525	mg	4	Citric acid monohydrate	0.525 g
QS	mL	5	Water for injection, USP	QS to 1.00 L

NIKETHAMIDE INJECTION

Bill of Materials (Batch Size 1 L)

Scale/mL	Item	Material	Qty	UOM
250.00	mg	1	Nikethamide	250.00 g
QS	mL	2	Water for injection, USP	QS to 1.00 L

MANUFACTURING DIRECTIONS

1. Place item 2 in a suitable stainless-steel vessel, add item 1, and dissolve.
2. Check pH to 7.2 (7.0–7.3); do not adjust.
3. Filter the solution in step 1 into a staging vessel, using a 45 µm prefilter and 0.22 µm filter.

4. Fill 2 mL presterilized (e.g., 200°C for 4 hours) type I flint ampoules.
5. Autoclave at 121°C for 30 minutes.
6. Sample for clarity and sterility.

NIMESULIDE INJECTION

Bill of Materials (Batch Size 1 L)

Scale/mL	Item	Material	Qty	UOM
5.00	mg	1	Nimesulide	5.00 g
20.00	mg	2	Benzyl alcohol	20.00 g
10.00	mg	3	Lecithin (Lipoid E-80®)	10.00 g
100.00	mg	4	Dimethylacetamide	100.00 g
20.00	mL	5	Water for injection	20.00 mL
QS	mL	6	Propylene glycol	QS to 1.00 L

NIMODIPINE INJECTION

Bill of Materials (Batch Size 1 L)

Scale/mL	Item	Material	Qty	UOM
0.20	mg	1	Nimodipine	0.20 g
200.00	mg	2	Ethanol USP, 95%	200.00 g
170.00	mg	3	Polyethylene glycol 400	170.00 g
2.00	mg	4	Tertiary sodium citrate	2.00 g
0.30	mg	5	Citric acid	0.30 g
QS	mL	6	Water for injection, USP	QS to 1.00 L

NYSTATIN FOR INJECTION

Bill of Materials (Batch Size 1 L)

Scale/mL	Item	Material	Qty	UOM
50.00	mg	1	Nystatin	50.00 g
50.00	mg	2	Pluronic F-68®	50.00 g
50.00	mg	3	Dimethylsulfoxide	50.00 g
QS	mL	4	Water for injection, USP	QS to 1.00 L

Note: The concentration of nystatin can be varied; the concentration of Pluronic and DMSO should be proportional to it. Store at 0°C. Lyophilized powder for reconstitution.

OCTREOTIDE ACETATE INJECTION SINGLE-DOSE AMPOULE

Bill of Materials (Batch Size 1 L)

Scale/mL	Item	Material	Qty	UOM
50.00	mg	1	Octreotide as octreotide acetate	50.00 mg
3.40	mg	2	Lactic acid	3.40 g
45.00	mg	3	Mannitol	45.00 g
QS	mg	4	Sodium carbonate for pH adjustment	QS
QS	mL	5	Water for injection, USP	QS to 1.00 L

Note: Adjust pH to between 3.9 and 4.7 with item 4; a 1 mg/mL concentration is also available.

OCTREOTIDE ACETATE INJECTION MULTIDOSE VIAL

Bill of Materials (Batch Size 1 L)

Scale/mL	Item	Material	Qty	UOM
50.00	mg	1	Octreotide as octreotide acetate	50.00 mg
3.40	mg	2	Lactic acid	3.40 g
45.00	mg	3	Mannitol	45.00 g
5.00	mg	4	Phenol liquefied	5.00 g
QS	mg	5	Sodium carbonate for pH adjustment	QS
QS	mL	6	Water for injection, USP	QS to 1.00 L

Note: Adjust pH to between 3.9 and 4.7 with item 5; a 1 mg/mL concentration is also available.

OCTREOTIDE ACETATE INJECTION DEPOT

Bill of Materials (Batch Size 1 L)

Scale/mL	Item	Material	Qty	UOM
10.00	mg	1	Octreotide base as octreotide acetate ^a	11.20 mg
188.80	mg	2	DL-Lactic and glycolic acid copolymer	188.80 g
41.00	mg	3	Mannitol	41.00 g
Diluent				
5.00	mg	1	Carboxymethylcellulose sodium	5.00 g
6.00	mg	2	Mannitol	6.00 g
QS	mL	3	Water for injection, USP	QS to 1.00 L

^a Equivalent to labeled quantity of 10, 20, or 30 mg octreotide base. Fill

powder into 5 mL vial; provide 2 mL of diluent.

OFLOXACIN OTIC SOLUTION

Bill of Materials (Batch Size 1 L)					
Scale/mL	Item	Material	Qty	UOM	
3.00	mg	1	Ofloxacin	3.00	g
0.025	mg	2	Benzalkonium chloride	0.025	g
9.00	mg	3	Sodium chloride	9.00	g
QS	mL	4	Hydrochloric acid for pH adjustment		
QS	mL	5	Sodium hydroxide for pH adjustment		
QS	mL	6	Water for injection, USP	QS to 1.00	L

Note: Adjust pH to 6.0 to 7.0 with item 4 or 5. Fill 5 mL or 10 mL into plastic dropper bottle.

ONDANSETRON HYDROCHLORIDE INJECTION SINGLE-DOSE VIAL

Bill of Materials (Batch Size 1 L)					
Scale/mL	Item	Material	Qty	UOM	
2.00	mg	1	Ondansetron as ondansetron hydrochloride dihydrate equivalent	2.00	g
9.00	mg	2	Sodium chloride	9.00	g
0.50	mg	3	Citric acid monohydrate	0.50	g
0.25	mg	4	Sodium citrate dihydrate	0.25	g
QS	mL	5	Water for injection, USP	QS to 1.00	L

Note: Fill 2 mL into each vial. pH 3.3 to 4.0.

ONDANSETRON HYDROCHLORIDE INJECTION MULTIDOSE VIAL

Bill of Materials (Batch Size 1 L)					
Scale/mL	Item	Material	Qty	UOM	
2.00	mg	1	Ondansetron as ondansetron hydrochloride dihydrate equivalent	2.00	g
8.30	mg	2	Sodium chloride	8.30	g
0.50	mg	3	Citric acid monohydrate	0.50	g
0.25	mg	4	Sodium citrate dihydrate	0.25	g
1.20	mg	5	Methyl paraben	1.20	g
QS	mL	6	Water for injection, USP	QS to 1.00	L

Note: Fill 20 mL into each vial. pH 3.3 to 4.0.

ONDANSETRON HYDROCHLORIDE INJECTION PREMIXED FOR INFUSION

Bill of Materials (Batch Size 1 L)					
Scale/mL	Item	Material	Qty	UOM	
0.64	mg	1	Ondansetron as ondansetron hydrochloride dihydrate equivalent	0.64	g
50.00	mg	2	Dextrose	50.00	g
0.52	mg	3	Citric acid monohydrate	0.50	g
0.23	mg	4	Sodium citrate dihydrate		
QS	mL	5	Water for injection, USP	QS to 1.00	L

Note: Fill 50 mL into each flexible plastic container specially formulated, nonplasticized, thermoplastic copolyester; pH 3.3 to 4.0.

OPRELVEKIN FOR INJECTION

Bill of Materials (Batch Size 1 L)					
Scale/mL	Item	Material	Qty	UOM	
1.00	mg	1	Oprelvekin (interleukin IL-11) ^a	1.00	g
4.60	mg	2	Glycine	4.60	g
0.32	mg	3	Sodium phosphate dibasic heptahydrate	0.32	g
0.11	mg	4	Sodium phosphate monobasic monohydrate	0.11	g
QS	mL	5	Water for injection, USP	QS to 1.00	L

^a Specific activity ca. 8×10^6 U/mg; adjust for activity. Fill 5 mL into each 5 mL vial and lyophilize. On reconstitution with 5 mL water for injection, the pH is around 7.0.

ORPHENADRINE CITRATE INJECTION

Bill of Materials (Batch Size 1 L)					
Scale/mL	Item	Material	Qty	UOM	
30.00	mg	1	Orphenadrine citrate, NF	30.00	g
1.00	mg	2	Sodium bisulfite, USP	1.00	g
2.90	mg	3	Sodium chloride, USP	2.90	g
0.10	mg	4	Benzethonium chloride, NF	0.10	g
QS	mL	5	Water for injection, USP	QS to 1.00	L
QS	mL	6	Sodium hydroxide for pH adjustment	QS	

OXACARBAZEPINE-10 INJECTION

Bill of Materials (Batch Size 1 L)					
Scale/mL	Item	Material	Qty	UOM	
2.50	mg	1	Oxacarbazepine-10	2.50	g
47.50	mg	2	Dextrose anhydrous, USP	47.50	g
QS	ft ³	3	Nitrogen gas, NF	QS	
QS	mL	4	Water for injection, USP	QS to 1.00	L

MANUFACTURING DIRECTIONS

1. In a suitable vessel, take approximately 0.9 L of item 4.
4. Bubble with item 3 for 20 minutes.

2. Heat to 60°C to 80°C and add item 1, mix, and dissolve.
3. Cool to room temperature.
4. Add item 2, mix, and dissolve.
5. Filter through a 0.22 µm membrane filter and fill into type I glass vials.
6. Sterilize by autoclaving at 121°C for 15 minutes.

OXAZEPINE INJECTION

Bill of Materials (Batch Size 1 L)					
Scale/mL	Item	Material	Qty	UOM	
20.00	mg	1	2-Chloro-11-(4-methyl-1-piperazinyl)-dibenz[b,f][1,4]oxazepine base	63.00	g
0.70	mL	2	Propylene glycol	2.10	L
QS	mL	3	Hydrochloric acid, 10%, for pH adjustment, ca.	51.00	mL
QS	mL	4	Water for injection, USP	QS to 1.00	L

MANUFACTURING DIRECTIONS

1. Add and dissolve item 1 into item 2.
2. Add 800 mL of item 4 and mix well.
3. Check and adjust pH to 6.1 to 6.3 with item 3 and heating to 60°C.
4. Make up volume with item 4.
5. Sterile filter through a 293-mm Selas filter or equivalent with a 0.22 µm membrane.
6. Fill into glass ampoules or vials, 2.0 mL (each unit containing 40 mg of item 1).

OXENDOLONE INJECTION

Bill of Materials (Batch Size 1 L)					
Scale/mL	Item	Material	Qty	UOM	
100.00	mg	1	Oxendolone	100.00	g
5.00	mg	2	Sodium carboxymethylcellulose	5.00	g
80.00	mg	3	Sorbitol, NF, crystalline powder nonpyrogenic	80.00	g
10.00	mg	4	Benzyl alcohol, NF	10.00	g
1.40	mg	5	Methyl paraben, NF	1.40	g
0.14	mg	6	Propyl paraben, NF	0.14	g
2.00	mg	7	Polysorbate 80, NF	2.00	g
QS	mL	8	Water for injection, USP	QS to 1.00	L

MANUFACTURING DIRECTIONS

1. Preparation of sterile bulk suspension.
 - a. Take sufficient quantity of item 8 and heat to 80°C; add and dissolve items 5 and 6 and cool to room temperature.
 - b. Add item 2 slowly with gentle stirring until smoothly dispersed.
 - c. Add item 3 and stir to dissolve.
 - d. In a separate container, heat sufficient quantity of item 8 to 50°C and add item 1 and disperse evenly; cool to room temperature and add items 4 and 7 and mix gently to avoid air entrapment.
 - e. Add the two suspensions above and mix for 2 to 3 minutes.
 - f. Add item 3, stir, and make up the volume.
2. Preparation of vials. Use type 15 mL borosilicate vials.
 - a. Wash and dry vials and load into suitable containers for sterilization.
 - b. Sterilize by using dry heat at 200°C (–0, +500°C) vial temperature for 225 minutes (–0, +360 minutes) while maintaining the oven temperature at 225°C (±10°C) for the duration of the cycle.
 - c. Deliver to the sterile filling area.
3. Preparation of stoppers. Use type isobutylene isoprene rubber-Daikyo F713 stoppers.
 - a. Wash by using the rubber cycle (slow tumbling) with Triton X-100 detergent.
 - b. Dry in dryer at 55°C.
 - c. Rack, inspect, and wrap the stoppers for autoclaving.
 - d. Sterilize in an autoclave for 1 hour at 121°C and vacuum dry with heat for a minimum of 4 hours at a temperature not exceeding 90°C.
 - e. Deliver to the sterile filling area.
4. Filling.
 - a. Using aseptic technique, connect bulk suspension container to a suitable filling machine.
 - b. With continuous gentle stirring of bulk suspension, aseptically fill 2.2 mL of suspension into each clean, sterile vial.
 - c. Insert a sterile rubber stopper into each filled vial and apply overcap.
 - d. Remove from sterile area and pack into bulk container and label each container with product lot number.
 - e. Sample for testing.

OXYMORPHONE HYDROCHLORIDE INJECTION

Bill of Materials (Batch Size 1 L)

Scale/mL	Item	Material	Qty	UOM
1.00	mg	1 Oxymorphone hydrochloride	1.00	g
8.00	mg	2 Sodium chloride	8.00	g
1.80	mg	3 Methyl paraben	1.80	g
0.20	mg	4 Propyl paraben	0.20	g
QS	mL	5 Hydrochloric acid for pH adjustment	QS	
QS	mL	6 Water for injection, USP	QS to 1.00	L

Note: Fill into vials; delete items 3 and 4 for ampoule filling.

OXYTETRACYCLINE INJECTION

Bill of Materials (Batch Size 1 L)

Scale/mL	Item	Material	Qty	UOM
50.00	mg	1 Oxytetracycline, use oxytetracycline dihydrate	65.00	g
3.34	mg	2 Sodium formaldehyde sulfoxylate	3.34	g
0.20	mg	3 Propyl gallate	0.20	g
11.00	mg	4 Monothioglycerol	11.00	g
0.64	mL	5 Propylene glycol	0.64	L
0.005	mL	6 Propylene glycol	5.00	mL
0.05	mL	7 Propylene glycol	50.00	mL
0.026	mL	8 Propylene glycol, QS to ca.	26.00	mL
0.029	mL	9 Monoethanolamine	29.00	mL
25.00	mg	10 Magnesium chloride	25.00	g
10.00	mg	11 Citric acid	10.00	g
20.00	mg	12 Lidocaine HCl	20.00	g
0.114	mL	13 Water for injection, USP	114.00	mL
0.025	mL	14 Water for injection, USP	25.00	mL
0.002	mL	15 Water for injection, USP	2.00	mL
0.008	mL	16 Water for injection, USP	8.00	mL
QS		17 Nitrogen gas, NF		

MANUFACTURING DIRECTIONS

Note: Use glass-lined container. Provide N₂ cover throughout. Be careful about the order of steps and intermediate times required.

1. Put item 13 into a suitable vessel and bubble item 17 for 20 minutes.
2. Add item 2 to step 1 and dissolve by stirring.
3. In a separate container, dissolve item 3 in item 6 and mix to step 2.
4. Add item 4 slowly over a 5-minute period. Ensure complete dissolution.
5. Concurrently with step 4, add item 1 and stir to dissolve.
6. Take item 5 in a separate tank and keep under cover of item 17; maintain at 15°C by circulating chilled water through walled stainless-steel vessel.
7. Dissolve item 10 into item 14 and add to step 6.
8. Transfer step 2 solution to step 6 and mix vigorously.
9. Dissolve item 12 into item 7 and add to step 6; wait for 10 minutes.
10. Dissolve item 11 into item 15 and add to step 6. Check pH; it should be around 7.0
11. Add item 9 to step 6 to get a final pH of 8.5 to 8.6. Use item 16 for washings.
12. Make up volume with item 8.
13. Filter the solution under pressure of item 17 using a 45 µm prefilter and 0.22 µm filter into a staging glass tank.
14. Fill aseptically into type I 30 mL flint glass vials.

**OXYTETRACYCLINE INJECTABLE
SOLUTION FOR IM + IV VETERINARY
APPLICATION (500 MG/10 ML)**

FORMULATION

- I. Oxytetracycline hydrochloride, 5.7 g.
- II. Kollidon 17 PF [1], 10.0 g; reducing agent, 0.5 g; (e.g. Rongalite® C, BASF); water for injectables, add 100 mL.
- III. Magnesium oxide, 0.46 g.
- IV. Ethanolamine to adjust pH 8.8.

MANUFACTURING DIRECTIONS

1. Suspend III in solution II, pass continuously nitrogen through the solution to avoid oxidation, and add slowly I to the well-stirred solution.
2. Adjust the pH with IV.

PROPERTIES OF THE SOLUTION

Yellow, clear solution.

REMARKS

The absence of oxygen during manufacturing and in the final packaging and a good quality of oxytetracycline HCl are essential to avoid the oxidation (= dark solution).

The function of Kollidon 17 PF not only is the solubilization of oxytetracycline but also the reduction of its local toxicity.

The reducing agent must be selected in accordance with the legislation of the corresponding country.

**OXYTETRACYCLINE SUSTAINED-RELEASE
INJECTABLE FOR IM VETERINARY
APPLICATION (2.2 G/10 ML)**

According to U.S. Patent 4.018.889 (1976).

FORMULATION

Oxytetracycline, 22.65 g; magnesium oxide, 1.92 g; Soluphor P [1], 40.00 g; Kollidon 17PF [1], 5.00 g; sodium formaldehyde sulfoxylate, 0.44 g; 2-aminoethanol, 3.84 g; water of injectables, QS, add 100.00 mL.

MANUFACTURING DIRECTIONS

1. Mix the water and the Soluphor P and dissolve the Kollidon 17PF in the mixture.
2. Heat the solution to 75°C. Add the sodium formaldehyde sulfoxylate and stir until dissolved.
3. After the magnesium oxide has been suspended, slowly stir in the oxytetracycline until a clear solution is obtained.
4. After the solution has cooled, set to pH 8.5 with aminoethanol.

REMARKS

The quality of the oxytetracycline and the complete absence of oxygen during the manufacturing and packaging of the solution is essential to obtain an acceptable chemical stability and no dark color.

The reducing agent, sodium formaldehyde sulfoxylate (rongalite, C, BASF), must be selected in accordance with the legislation of the corresponding country.

OXYTOCIN INJECTION

Bill of Materials (Batch Size 1 L)					
Scale/mL	Item	Material	Qty	UOM	
2.00	mg	1	Sodium acetate trihydrate USP	2.00	g
5.10	mg	2	Sodium chloride, USP	5.10	g
10.00	U	3	Oxytocin acetate powder (300 U/mg)	33.333 ^a	mg
5.00	mg	4	Chlorobutanol, NF, anhydrous crystals	5.00	g
2.20	mg	5	Glacial acetic acid, USP, for pH adjustment	2.20	g
QS	mL	6	Nitrogen gas, NF	QS	
QS	mL	7	Water for injection, USP	QS to 1.00	L

^a Adjust according to potency.

MANUFACTURING DIRECTIONS

Note: Oxytocin is a potent drug, which can be absorbed by the nasal and buccal administration route. It is particularly hazardous for women, especially during the last trimester of pregnancy. Prepare solution in a clean glass-lined tank or a 316 stainless-steel tank, cleaned according to approved SOPs.

- Preparation of water. Collect ca. 90 to 95% of final volume of water for injection in a suitable tank. Determine pH (range 5.5–6.5). Sample for testing.
- Preparation of solution.
 - Bubble sterile-filtered N₂ into water in the tank; continue bubbling throughout the preparation.
 - Add sodium acetate, acetic acid glacial, sodium chloride, and chlorobutanol, in order, with mixing. Check and record pH of the solution. Adjust to pH 3.9 to 3.95 by adding acetic acid. Adjust pH with acetic acid.
 - While bubbling N₂ gas, add the oxytocin acetate. Mix well. Adjust pH to 3.9 to 3.95 with 1 N acetic acid freshly prepared by 6.0 mL glacial acetic acid and 94 mL water for injection.
- Preparation of sterile apparatus.
 - Prepare a 0.2 µm filter and sterilize in autoclave at 121°C for 30 to 35 minutes slow exhaust.
 - Sterilize all Pyrex bottle fittings in an autoclave at 121°C for 30 to 35 minutes.
 - Sterilize a sufficient number of Pyrex bottles with dry heat (oven) at 245°C to 330°C for 2 hours and 445 minutes to 3 hours and 30 minutes.
 - Aseptically filter through a 0.2 µm membrane assembly with an approved filter in an N₂ atmosphere.

- Preparation of ampoules. Wash and dry ampoules and load into appropriate containers for sterilization. Sterilize by using a dry-heat oven at 245°C to 330°C for 2 hours and 45 minutes to 3 hours and 30 minutes. May use equivalent cycle to assure sterility, pyrogen-free ampoules. Deliver to sterile filling area.

5. Filling.

- Connect bulk solution container by using aseptic technique to the filling machines. Fill aseptically specified amount in clean, dry sterile ampoule.
- Displace headspace air with sterile N₂ aseptically and immediately seal each ampoule. Sample for testing. Do not autoclave.

OXYTOCIN INJECTION, USP (20 U/ML)

Bill of Materials (Batch Size 1 L)					
Scale/mL	Item	Material	Qty	UOM	
20.00	U	1	Oxytocin, USP	20,000	U
5.00	mg	2	Chlorobutanol anhydrous, USP	5.00	g
0.25	%	3	Acetic acid	0.25	%
QS	mL	4	Water for injection, USP	QS to 1.00	L

PACLITAXEL INJECTION

Bill of Materials (Batch Size 1 L)					
Scale/mL	Item	Material	Qty	UOM	
6.00	mg	1	Paclitaxel	6.00	g
527.00	mg	2	Cremophor® EL purified (polyoxyethylated castor oil) ^a	527.00	g
0.497	mL	3	Dehydrated alcohol ^b	497.00	mL

^a Paclitaxel is dissolved in an organic solvent as the primary vehicle, that is, dimethylacetamide (DMA) or dimethylsulfoxide (DMSO), and then followed with a secondary solvent, such as polyethyleneglycol 400 (PEG), to stabilize the drug in solution for subsequent (final) dilution in an aqueous solvent. A preferred final solvent is an aqueous lipid emulsion such as emulsified soybean oil (e.g., Intralipid® or Liposyn®, Soyacal®, or Travemulsion®).

^b Paclitaxel injection without Cremophor: 49.7% v/v final preparation. Fill 5, 16.7, or 50 mL into each vial.

PALIVIZUMAB FOR INJECTION**Bill of Materials (Batch Size 1 L)**

Scale/mL	Item	Material	Qty	UOM
100.00	mg	1 Palivizumab	100.00	g
47.00	mM	2 Histidine	47.00	mM
3.00	mM	3 Glycine	3.00	mM
56.00	mg	4 Mannitol	56.00	g
QS	mL	5 Water for injection, USP	QS to 1.00	L

Note: Fill 1 mL and lyophilize; dilute concentrations for higher volume fill for lyophilization.

PANCURONIUM BROMIDE INJECTION**Bill of Materials (Batch Size 1 L)**

Scale/mL	Item	Material	Qty	UOM
1.20	mg	1 Sodium acetate anhydrous, USP	1.20	g
3.20	mL	2 Glacial acetic acid, USP, for pH adjustment	3.20	mL
QS	mL	3 Glacial acetic acid, USP, for tonicity adjustment	QS	
QS	mL	4 Sodium hydroxide for pH adjustment	QS	
10.00	mg	5 Benzyl alcohol, NF	10.00	g
2.00	mg	6 Pancuronium bromide	10.00	g
QS	mg	7 Sodium chloride, USP, for tonicity adjustment	QS	
QS	mL	8 Water for injection, USP	QS to 1.00	L

MANUFACTURING DIRECTIONS

1. Prepare the solution in a glass-lined or 316 stainless-steel tank.
2. Add water for injection to ca. 95% of the final volume into tank. If necessary, cool the water to within the temperature range of 20°C to 30°C.
3. Add and dissolve the sodium acetate with mixing.
4. Check and record the pH. Adjust to pH 4.0 (range 3.9–4.1) with the slow addition of either glacial acetic acid or 10% sodium hydroxide.
5. With mixing, add benzyl alcohol. Mix until the solution is uniform.
6. With mixing, add and dissolve sodium chloride to adjust tonicity.
7. Using extreme care in handling, add and dissolve the pancuronium bromide with mixing.

8. QS to final volume with water for injection.
9. Check pH. Readjust to 4.0 (range 3.9–4.1), with either glacial acetic acid or 10% sodium hydroxide, if necessary.
10. Aseptically filter the solution through a 0.22 µm (or finer) membrane.
11. Aseptically fill solution into ampoules.
12. Inspect and label container.
13. Sample for testing.

PARENTERAL NUTRITION FAT EMULSION**Bill of Materials (Batch Size 1 L)**

Scale/mL	Item	Material	Qty	UOM
50.00	mg	1 Safflower oil, winterized	50.00	g
50.00	mg	2 Soybean oil, winterized	50.00	g
9.00	mg	3 Egg phosphate, purified, reduced electrolytes	9.00 ^a	g
25.00	mg	4 Glycerin, USP	25.00	g
QS	mL	5 Water for injection, USP	QS to 1.00	L
QS	mL	6 Nitrogen gas, NF	QS	
QS	mL	7 Sodium hydroxide for pH adjustment	QS	

^a Range 9.0 to 12.0 g.

MANUFACTURING DIRECTIONS

1. Take the amount of item 5 that is equal to the final volume, heat to 70°C to 90°C, and protect with item 6. Maintain this atmosphere throughout processing.
2. Add and disperse item 3 into a portion of item 5 in step 1 with agitation, keeping temperature at 50°C to 90°C.
3. Add and dissolve item 4 previously filtered through a 0.8 µm membrane filter, using a homogenizer to increase degree of dispersion.
4. Filter the dispersion through a cellulose acetate (Millipore®) 0.45 µm or equivalent membrane.
5. Check pH and adjust to 8.5 to 9.5 with item 7 and maintain this pH throughout the process.
6. Filter oils (items 1 and 2) through a 0.45 µm filter and heat to 65°C to 95°C and add to the aqueous phase with agitation to form a coarse emulsion.
7. Homogenize in a homogenizer at a pressure of 5000 psi (range 4000–8000 psi) with a minimum of 10 passes or equivalent.
8. Check pH and adjust again to 8.5 to 9.5.
9. Filter emulsion through a 0.8 µm cellulose acetate filter (Millipore) into a holding tank.

10. Homogenize again with at least three passes at the above specification, and make up volume with item 5. Check and adjust pH again.
11. Fill by using a displacement filler into syringes maintained to reduce foaming; add rubber plunger, add cap, and autoclave. Alternative filling is in a bottle.
12. Sample.

PARICALCITOL INJECTION

Bill of Materials (Batch Size 1 L)

Scale/mL	Item	Material	Qty	UOM
5.00	µg	1	Paricalcitol	5.00 mg
0.30	mL	2	Propylene glycol	0.30 L
0.20	mL	3	Alcohol	0.20 L
QS	mL	4	Water for injection, USP	QS to 1.00 L

PEGADEMASE BOVINE INJECTION

Bill of Materials (Batch Size 1 L)

Scale/mL	Item	Material	Qty	UOM
250.00	U	1	Pegademase bovine	250,000 U
1.20	mg	2	Sodium phosphate monobasic	1.20 g
5.58	mg	3	Sodium phosphate dibasic	5.58 g
8.50	mg	4	Sodium chloride	8.00 g
QS	mL	5	Water for injection, USP	QS to 1.00 L

Note: One unit of activity is defined as the amount of ADA that converts 1 µM of adenosine to inosine per minute at 25°C and pH 7.3. Fill 1.5 mL into each ampoule for single use.

PEGASPARGASE INJECTION

Bill of Materials (Batch Size 1 L)

Scale/mL	Item	Material	Qty	UOM
750.00	IU	1	PEG-L-Asparaginase ^a	750,000 IU
5.58	mg	2	Sodium phosphate dibasic	5.58 g
1.20	mg	3	Sodium phosphate monobasic	1.20 g
8.50	mg	4	Sodium chloride	8.50 g
QS	mL	5	Water for injection, USP	QS to 1.00 L

^a 750 IU ± 20%. Fill 5 mL per vial.

PEGINTERFERON ALPHA-2B FOR INJECTION

Bill of Materials (Batch Size 1000 vials L)

Scale/mL	Item	Material	Qty	UOM
74.00	mg	1	Peginterferon alpha-2b	74.00 mg
1.11	mg	2	Dibasic sodium phosphate anhydrous	1.11 g
1.11	mg	3	Monobasic sodium phosphate dihydrate	1.11 g
59.20	mg	4	Sucrose	59.20 g
0.074	mg	5	Polysorbate 80	0.074 g

Note: Fill into 2 mL vials; reconstitute with 0.7 mL of sterile water for injection; other strengths include 118.4, 177.6, and 222 µg per vial.

PENICILLIN G BENZATHINE AND PENICILLIN G PROCAINE INJECTION

Bill of Materials (Batch Size 1 L)

Scale/mL	Item	Material	Qty	UOM
150,000	U	1	Penicillin G as the benzathine salt	150 MM U
150,000	U	2	Penicillin G as the procaine salt	150 MM U
0.012	mg	3	Citric acid	0.012 g
0.006	mg	4	Sodium citrate	0.006 g
5.00	mg	5	Lecithin	5.00 g
5.50	mg	6	Carboxymethylcellulose	5.50 g
5.50	mg	7	Povidone	5.50 g
1.00	mg	8	Methyl paraben	1.00 g
0.10	mg	9	Propyl paraben	0.10 g
QS	mL	10	Water for injection, USP	QS to 1.00 L

PENICILLIN G BENZATHINE INJECTABLE SUSPENSION

Bill of Materials (Batch Size 1 L)

Scale/mL	Item	Material	Qty	UOM
600,000	U	1	Penicillin G as the benzathine salt	600 MM U
3.00	mg	2	Polyvinylpyrrolidone	3.00 g
6.00	mg	3	Sodium citrate	6.00 g
0.01	mg	4	Lecithin	0.01 g
3.00	mg	5	Carboxymethylcellulose	3.00 g
1.00	mg	6	Methyl paraben	1.00 g
0.10	mg	7	Propyl paraben	0.10 g
QS	mL	8	Water for injection, USP	QS to 1.00 L

PENTOBARBITAL SODIUM SOLUTION INJECTION

Bill of Materials (Batch Size 1 L)

Scale/mL	Item	Material	Qty	UOM
50.00	mg	1	Pentobarbital sodium	50.00 g
0.40	mL	2	Propylene glycol	0.40 L
0.10	mL	3	Alcohol, USP	0.10 L
QS	mL	4	Hydrochloric acid for pH adjustment	QS
QS	mL	5	Sodium hydroxide for pH adjustment	QS
QS	mL	6	Water for injection, USP	QS to 1.00 L

Note: Adjust pH to ca. 9.5 with item 4 or 5. Other strengths, 1- and 2.5-g/vial in multidose vials. Do not use if any precipitate appears.

PENTOSTATIN FOR INJECTION

Bill of Materials (Batch Size 1 L)

Scale/mL	Item	Material	Qty	UOM
10.00	mg	1	Pentostatin	10.00 g
50.00	mg	2	Mannitol	50.00 g
QS	mL	3	Hydrochloric acid for pH adjustment	QS
QS	mL	4	Sodium hydroxide for pH adjustment	QS
QS	mL	5	Water for injection, USP	QS to 1.00 L

Note: Fill 1 mL per vial and lyophilize; for higher fill volume, adjust levels accordingly. Adjust pH to 7.0 to 8.5 with item 3 or 4.

PENTYLENETETRAZOL INJECTION

Bill of Materials (Batch Size 1 L)

Scale/mL	Item	Material	Qty	UOM
100.00	mg	1	Pentylenetetrazol	100.00 g
1.80	mg	2	Methyl paraben, USP	1.80 g
0.20	mg	3	Propyl paraben, USP	0.20 g
QS	mL	4	Water for injection, USP	QS to 1.00 L
QS	mL	5	Sodium hydroxide for pH adjustment	QS

PHENIRAMINE MALEATE INJECTION

Bill of Materials (Batch Size 1 L)

Scale/mL	Item	Material	Qty	UOM
22.50	mg	1	Pheniramine maleate	22.50 g
QS	mL	2	Sodium hydroxide for pH adjustment	
QS	mL	3	Hydrochloric acid for pH adjustment	
QS	mL	4	Water for injection, USP	QS to 1.00 L

MANUFACTURING DIRECTIONS

1. Dissolve item 1 in item 4 in a suitable 316 or higher temper-grade stainless-steel vessel.
2. Check pH and adjust to between 4.5 and 5.0 with item 2 or 3.
3. Filter solution through presterilized assembly by using a 0.45 μm prefilter and a 0.22 μm filter into a sterilized staging vessel.
4. Fill 2.15 mL into presterilized type I amber ampoules (presterilized at 200°C for 4 hours).
5. Autoclave filled ampoules at 116°C for 30 minutes.
6. Sample for assay, sterility, and clarity testing.

PHENOL SALINE DILUENT

Bill of Materials (Batch Size 1 L)

Scale/mL	Item	Material	Qty	UOM
9.00	mg	1	Sodium chloride, USP	9.00 g
4.00	mg	2	Liquefied phenol, USP	4.00 g
QS	mL	3	Water for injection, USP	QS to 1.00 L

PHENYLBUTAZONE AND DIPYRONE INJECTION

Bill of Materials (Batch Size 1 L)					
Scale/mL	Item	Material	Qty	UOM	
150.00	mg	1	Phenylbutazone	150.00	g
150.00	mg	2	Dipyrone	150.00	g
20.00	mg	3	Lidocaine	20.00	g
20.00	mg	4	Sodium hydroxide, USP	20.00	g
2.00	mg	5	Sodium metabisulfite	2.00	g
1.00	mg	6	Disodium edetate	1.00	g
0.25	mL	7	Propylene glycol	0.25	L
QS	mL	8	Water for injection, USP	QS to 1.00	L
QS	mL	9	Sodium hydroxide for pH adjustment	QS	
QS		10	Nitrogen gas, NF	QS	

MANUFACTURING DIRECTIONS

- Dissolve item 4 in ca. 0.2 L of item 8. Add item 1 with stirring.
- Check and adjust pH to 13 to 14 with item 9; continue stirring.
- Dissolve item 3 in item 7 in a separate vessel and stir to a clear solution.
- Add step 3 to step 2.
- Dissolve item 2 in 0.2 L (or a suitable amount) of item 8 and add to step 4.
- Dissolve item 5 and 6 in small amount of item 9 and add to above solution. Make up the volume with item 9.
- Check and adjust pH to 10 (9.5–10.5) with item 9.
- Filter through a presterilized filtration assembly by using a 0.45 µm prefilter and a 0.22 µm filter into a staging sterilized vessel.
- Fill 3 mL solution into type I amber ampoules with pre- and postflush with item 10; presterilize ampoules at 200°C for 4 hours.
- Autoclave at 121°C for 30 minutes.
- Sample for testing assay, clarity, and sterility.

PHENYLBUTAZONE INJECTION VETERINARY

Bill of Materials (Batch Size 1 L)					
Scale	Item	Material	Qty	UOM	
200	mg	1	Phenylbutazone, USP (use sodium salt in equivalent quantity)	200.00	g
15.00	mg	2	Benzyl alcohol, NF	15.00	g
QS	mL	3	Water for injection, USP	QS to 1.00	L
QS	mL	4	Sodium hydroxide for pH adjustment	QS	

PHENYLEPHRINE AND ZINC SULFATE OPHTHALMIC DROPS

Bill of Materials (Batch Size 45 L)					
Scale/mL	Item	Material	Qty	UOM	
Part I					
		1	Water purified (distilled), USP	10.00	L
14.00	mg	2	Polyvinyl alcohol, 20–90	0.63	kg
Part II					
		3	Water purified (distilled), USP	30.00	L
2.00	mg	4	Sodium citrate dihydrate, USP	90.00	g
1.10	mg	5	Sodium metabisulfite	49.50	g
7.10	mg	6	Sodium chloride, USP	319.50	g
1.32	mg	7	Phenylephrine hydrochloride, USP (10% overage)	59.40	g
2.75	mg	8	Zinc sulfate, USP (10% overage)	123.75	g
0.533	mg	9	Sodium hydroxide, NF	23.99	g
QS	mL	10	1 N Sodium hydroxide, NF ^a	QS	mL
Part III					
		11	Water purified (distilled), USP	100.00	mL
0.05	mg	12	Thimerosal, USP	2.25 ^b	g
QS	mL	13	Water purified (distilled), USP	QS to 45.00	L

^a For pH adjustment only.

^b The amount of thimerosal to be added must be calculated on the basis of the assay value of the raw material lot used according to the following formula:
 $2.25 \text{ g} \times 100.0\% / \text{assay value (\%)} = \text{g thimerosal required.}$

MANUFACTURING DIRECTIONS*Part I*

1. Measure out ca. 10 L of item 1 into a jacketed stainless-steel pressure vessel. Begin mixing with a suitable mixer and heat it to 85°C to 90°C.
2. When the temperature reaches 85°C to 90°C, turn off the heat source. Begin mixing item 1 with a propeller mixer.
3. Add item 2 slowly to the vortex. Avoid formation of excessive foam. Mix for at least 90 minutes until it is completely dissolved. Cool with force cooling to room temperature.

Part II

1. Measure out ca. 30 L of item 3 into a mixing tank suitably calibrated for a final QS of 45 L. Begin mixing.
2. Add items 4 to 9, in order, allowing each to dissolve completely before adding the next. Mix well.
3. Sample for pH (range 6.8–7.0). If necessary, adjust the pH to 6.8 to 7.0 with item 10.
4. Add part I to part II while mixing part II. Use 2.5 to 4.0 L of water purified (distilled) to rinse the part I container, pump, and hoses. Add the rinsings to the mixing tank.

Part III

1. Dissolve item 12 in ca. 100 mL of item 11. Add part III to combined parts I and II and mix thoroughly.
2. Rinse the flask containing item 12 with ca. 100 mL of item 13 and add the rinsings to the batch.
3. Allow any foam to dissipate and QS the batch to 45 L with item 13. Sample.
4. Mix thoroughly for at least 15 minutes.
5. Before filtration, mix the product for at least 10 minutes.
6. Sterile-filter with the aid of N₂ pressure (15–30 lb). Before sterile filtration, perform bubble point test at NLT 40 psi. Sample.
7. Aseptically fill sterile solution into sterilized containers. Sample.

**PHENYLPROPANOLAMINE
HYDROCHLORIDE INJECTION****Bill of Materials (Batch Size 1 L)**

Scale	Item	Material	Qty	UOM
75.00	mg	1	Phenylpropanolamine hydrochloride	75.00 g
5.00	mg	2	Chlorobutanol anhydrous, USP	5.00 g
QS	mL	3	Water for injection, USP	QS to 1.00 L

PHENYTOIN SODIUM INJECTION**Bill of Materials (Batch Size 1 L)**

Scale	Item	Material	Qty	UOM
100.00	mg	1	Polyvinylpyrrolidone, USP	100.00 g
1.00	mL	2	Sodium hydroxide, 1 N solution	10.00 mL
50.00	mg	3	Phenytoin sodium ^a	50.00 mg
QS	mL	4	Sodium hydroxide for pH adjustment	QS
QS	mL	5	Water for injection, USP	QS to 1.00 L
QS	ft ³	6	Nitrogen gas, NF	QS

^a Adjusted to 100% purity assay basis.

MANUFACTURING DIRECTIONS

1. Put 0.75 L of item 5 into a jacketed stainless-steel vessel; heat it to 40°C to 45°C. Provide item 6 cover throughout.
2. Add item 1 with vigorous mixing until completely dissolved.
3. Cool to room temperature.
4. Add item 2 in small portions and mix well.
5. Add item 3 and dissolve.
6. Check and adjust pH to 12.1 to 12.3 with item 4.
7. Make up volume to 0.98 L with item 5.
8. Check and adjust pH again as in step 6 to 12.2.
9. Make up volume with item 5.
10. Filter with Pall membrane in a Millipore® assembly presterilized under N₂ pressure.
11. Fill under item 6 pre- and postflush into type I glass ampoules aseptically.

PHYTONADIONE (VITAMIN K₁) INJECTION

Bill of Materials (Batch Size 1 L)					
Scale	Item	Material	Qty	UOM	
10.00	mg	1	Phytonadione, USP (vitamin K)	10.00	g
200.00	mg	2	Polysorbate 20, NF (sp. gr. 1.08)	200.00	g
500.00	mg	3	Glycerin, USP (sp. gr. 1.2149)	500.00	g
QS	mL	4	Hydrochloric acid for pH adjustment	QS	
QS	mL	5	Sodium hydroxide 10% for pH adjustment	QS	
QS	mL	6	Water for injection, USP	QS to 1.00	L

MANUFACTURING DIRECTIONS

1. Weigh item 2 into a clean compounding tank and bring temperature to approximately 45°C (not to exceed 50°C). Take a small portion of polysorbate 20 out and put it in a smaller container. Keep N₂ blanket over the contents of the vessel.
2. Weigh phytonadione under subdued light into another vessel. Pour warm polysorbate 20 from the compounding tank. Mix and pour into the compounding tank and give two more rinses with warm polysorbate 20.
3. Stir to a homogenous mixture.
4. Add approximately 600 mL of water for injection to the compounding tank and mix thoroughly by stirring.
5. Add glycerin to the compounding tank. Mix thoroughly.
6. Check pH, and if necessary adjust with item 5 to between 6.0 and 7.0. Do not adjust pH if it is already within this range.
7. Bring to final volume with water for injection and mix well.
8. Withdraw a 10 mL sample for testing.
9. If approved, filter batch through a sterile 0.22 µm filter into a receiving vessel in the clean room. Keep an N₂ blanket over contents of the receiving vessel.
10. Fill with a postfill flush of N₂. Use type I flint vials sterilized and red uncoated stoppers.

PHYTONADIONE (VITAMIN K₁) INJECTION

Bill of Materials (Batch Size 1 L)					
Scale	Item	Material	Qty	UOM	
9.00	mg	1	Benzyl alcohol, NF	9.00	g
41.21	mg	2	Dextrose monohydrate, USP, use dextrose, powder anhydrous, USP	37.50	g
2.10	mg	3	Phytonadione, USP, 5% excess	2.10	g
QS	mL	4	Sodium hydroxide for pH adjustment	QS	
70.00	mg	5	Polysorbate 80, NF	70.00	g
QS	mL	6	Water for injection, USP	QS to 1.00	L

MANUFACTURING DIRECTIONS

1. Preparation
 - a. Add water for injection to ca. 75% of the final volume into glass-lined, light-protected tank.
 - b. Add and dissolve dextrose. Add in portions of benzyl alcohol. Mix in another container polysorbate 80 and phytonadione. Add the dextrose solution.
 - c. Check and adjust pH to 6.5 (range 6–7) with 1 N sodium hydroxide solution. Record volumes of each used.
 - d. QS with water for injection to final volume.
 - e. Sample for testing.
 - f. Sterilize an approved 0.2- or 0.22 µm membrane filter with an approved prefilter.
 - g. Filter the solution through the sterilized filter unit into a sterile, glass-lined holding container.
2. Preparation of ampoules
 - a. Wash and dry type 11 mL sulfur-treated ampoules and load into appropriate containers for sterilization.
 - b. Sterilize using dry heat at 245°C for at least 3 hours and 25 minutes or an equivalent cycle to ensure sterile, pyrogen-free bottles.
 - c. Deliver to the sterile filling area.
3. Filling
 - a. Connect bulk solution container by aseptic technique to the filling machines.
 - b. Aseptically fill 0.65 mL (range 0.6–0.7 mL) into each clean, sterile ampoule.
 - c. Immediately seal each ampoule.
 - d. Sample for testing.
 - e. Finishing. Sample for testing.

PHYTONADIONE INJECTION—AQUEOUS COLLOIDAL SOLUTION OF VITAMIN K₁

Bill of Materials (Batch Size 1 L)

Scale	Item	Material	Qty	UOM	
10.00	mg	1	Phytonadione	10.00	g
70.00	mg	2	Polyoxyethylated fatty acid derivative	70.00	g
37.50	mg	3	Dextrose	37.50	g
9.00	mg	4	Benzyl alcohol	9.00	g
QS	mL	5	Hydrochloric acid for pH adjustment		
QS	mL	6	Sodium hydroxide for pH adjustment		
QS	mL	7	Water for injection, USP	QS to 1.00	L

Note: Adjust pH to 5 to 7; lower strength of 2 mg/mL.

PIPERACILLIN SODIUM AND TAZOBACTAM SODIUM INJECTION

Bill of Materials (Batch Size 1 L)

Scale	Item	Material	Qty	UOM	
40.00	mg	1	Piperacillin as piperacillin sodium	40.00	g
10.00	mg	2	Tazobactam	10.00	g
20.00	mg	3	Dextrose hydrous, USP	20.00	g
2.00	mg	4	Sodium citrate dihydrate	2.00	g
QS	mL	5	Hydrochloric acid for pH adjustment		
QS	mL	6	Sodium bicarbonate for pH adjustment	QS	
QS	mL	7	Water for injection, USP	QS to 1.00	L

Note: Fill 50 mL into a PL2040 plastic container; keep frozen until administered. Adjust pH to 4.5 to 6.8 with item 5 or 6. Other strengths: 3.375 g/50 mL (item 3, 350 mg and item 4, 150 mg per bag) and 4.50 g/100 mL (item 3, 2 g and item 4, 300 mg per bag).

PLICAMYCIN FOR INJECTION

Bill of Materials (Batch Size 1 L)

Scale	Item	Material	Qty	UOM	
0.25	mg	1	Plicamycin	0.25	g
10.00	mg	2	Mannitol	10.00	g
QS	mg	3	Disodium phosphate to adjust pH	QS	
QS	mL	4	Water for injection, USP	QS to 1.00	L

Note: Fill 10 mL and lyophilize. Adjust pH to 7 with item 3.

POLYVINYL ALCOHOL OPHTHALMIC SOLUTION

Bill of Materials (Batch Size 1 L)

Scale/mL	Item	Material	Qty	UOM	
14.00	mg	1	Polyvinyl alcohol	14.00	g
6.00	mg	2	Povidone, USP (K value 29–32)	6.00	g
2.00	mg	3	Potassium chloride granules, USP	2.00	g
4.33	mg	4	Sodium chloride, USP	4.33	g
0.50	mg	5	Sodium bicarbonate, USP	0.50	g
0.009	mg	6	Sodium citrate, USP, dihydrate powder	9.00	mg
0.65	mg	7	Dextrose anhydrous, USP, powder	0.65	g
0.50	mg	8	Disodium edetate, USP	0.50	g
5.33	mg	9	Sodium phosphate dibasic, USP, granules	5.33	g
1.05	mg	10	Sodium phosphate monobasic, USP, monohydrate	1.05	g
0.13	mg	11	Sodium hydroxide	0.13	g
QS	mg	12	Sodium hydroxide	QS	
0.10	mg	13	Benzalkonium chloride, use benzalkonium chloride solution, USP, 17% (with 7% excess)	0.63	mL
QS	mL	14	Water purified (deionized), USP		

MANUFACTURING DIRECTIONS

1. Use steam-jacketed, glass-lined, or 316 or higher temper-grade stainless-steel tank equipped with agitator. Wear suitable mask when handling item 1.

2. Put 0.4 L of item 14 into the mixing tank, maintaining the temperature at 20°C to 30°C. Add item 1 with mixing. Rinse the tank walls and agitator shaft with 35 mL of item 14. Continue mixing for 10 minutes. Raise the temperature to 82°C to 85°C and hold at this temperature for 30 to 45 minutes. (Do not exceed 85°C.) Continue mixing and cool to 25°C to 35°C.
3. Put 0.3 L of item 14 into another mixing tank at 20°C to 30°C and add item 2 slowly with mixing, using rinsing of tank and shaft to 0.4 L total. (Adding item 2 too rapidly will cause clumping that may be difficult to disperse.)
4. Slowly add items 3 to 10.
5. In a separate container, dissolve item 11 in ca. 3 mL of item 14 with mixing (ca. 5% solution). Slowly add while mixing this solution to solution in step 5 (approximately 0.2 mL/min; if added too rapidly, Povidone may precipitate out). Continue mixing with rinsing tank for another 30 minutes.
6. When solution in step 2 has cooled to 20°C to 30°C, transfer solution in step 3 into it slowly and rinse the tank. (Avoid foaming by keeping transfer line below the surface of solution.)
7. Continue mixing and bring to volume with item 14 to 0.98 L.
8. Check and record pH (7.4–7.5); adjust pH with 1% of item 12 solution by slow addition.
9. While mixing, add item 13 slowly and mix for at least 30 minutes.
10. Make up volume to 1 L.
11. Check and record pH (7.3–7.5); again adjust as above if necessary.
12. Prepare and sterilize a nylon filter Pall 0.2 µm and aseptically fill the sterile solution into sterilized container and apply sterile closure components.
13. Sample for testing.

POTASSIUM ESTRONE SULFATE INJECTION VETERINARY

Bill of Materials (Batch Size 1 L)

Scale	Item	Material	Qty	UOM
4.00	mg	1 Potassium estrone sulfate	4.00	g
8.00	mg	2 Sodium phosphate, USP	8.00	g
15.00	mg	3 Benzyl alcohol, NF	15.00	g
QS	mL	4 Water for injection, USP	QS to 1.00	L
QS	mL	5 Hydrochloric acid for pH adjustment	QS	

POTASSIUM ESTRONE SULFATE SUSPENSION INJECTION

Bill of Materials (Batch Size 1 L)

Scale	Item	Material	Qty	UOM
1.00	mg	1 Potassium estrone sulfate	1.00	g
2.00	mg	2 Estrone, NF	2.00	g
1.00	mg	3 Carboxymethylcellulose sodium, USP	1.00	g
1.10	M	4 Benzalkonium chloride, 50%, USP	1.10	M
1.00	mg	5 Polysorbate 80, USP	1.00	g
QS	mL	6 Water for injection, USP	QS to 1.00	L

POTASSIUM PHOSPHATE INJECTION

Bill of Materials (Batch Size 1 L)

Scale	Item	Material	Qty	UOM
224.00	mg	1 Potassium phosphate monobasic, NF	224.00	g
236.00	mg	2 Potassium phosphate dibasic anhydrous, USP	236.00	g
QS	mL	3 Water for injection, USP	QS to 1.00	L

MANUFACTURING DIRECTIONS

Note: Use clean glass-lined tank.

1. Preparation.
 - a. Add water for injection to ca. 80% into tank and heat to 70°C (65–75°C). Add and dissolve potassium phosphate monobasic with mixing, add and dissolve potassium phosphate dibasic with mixing, and cool to 25°C (20–30°C). QS with water to 1 L and mix until completely dissolved. Sample. Allow to stand overnight and filter (do not recirculate) by using an approved 0.22 µm membrane filter with an approved prefilter into a glass-lined tank.
 - b. Prepare for sterilization a 0.22 µm membrane filtration setup.
2. Preparation of bottles. Use type I or type II 20 mL bottles.
 - a. Wash and dry bottles and sterilize using dry heat at 200°C (–0, +50°C) glass temperature for 225 minutes (–0, +360 minutes). Maintain oven temperature at 225°C (±10°C) for the duration of cycle.
 - b. Deliver to sterile filling area.
3. Preparation of stoppers.
 - a. Leach stoppers by boiling for 10 minutes in deionized water. Wash stoppers by using the rubber cycle (slow tumbling) with Triton X-100.
 - b. Dry in fast dryer at 55°C. Store in a suitable container until ready for use.
 - c. Tray, inspect, and rinse thoroughly. Wrap, tray, and identify properly, and sterilize in a steam autoclave at 121°C for 60 minutes.
4. Filling.
 - a. Connect the bulk solution container, previously prepared sterile filter, and sterile surge bottle to filler by aseptic technique.
 - b. Aseptically fill 15.5 mL (15.2–15.8 mL) of solution into each clean, dry sterile bottle. Stopper aseptically, apply seal, and inspect. Sample.

**PREDNISOLONE AND NEOMYCIN
OPHTHALMIC SUSPENSION****Bill of Materials (Batch Size 45 L)**

Scale	Item	Material	Qty	UOM
Part I				
5.50	mg	1 Borosilicate beads	247.50	g
0.0066	mL	2 prednisolone acetate, USP (10% overage)	300.00	mL
0.0055	mL	3 Water purified (distilled), USP	250.00	mL
0.0177	mL	4 PVA micronizing diluent	800.00	mL
Part II				
0.3333	mL	5 Water purified (distilled), USP, ca.	15.00	L
14.00 ^a	mg	6 Polyvinyl alcohol 20–90	941.30	g
0.0003 ^a	mL	7 Polysorbate 80, NF (use 10% solution)	141.00	mL
Part III				
0.8222	mL	8 Water purified (distilled), USP, ca.	37.00	L
0.01	mL	9 Propylene glycol, USP	675.00	mL
8.33	mg	10 Sodium acetate trihydrate, USP	562.30	g
3.8500 ^b	mg	11 Neomycin sulfate, USP (10% overage)	259.90 ^c	g
11,500	U	12 Polymyxin B sulfate, USP (15% overage)	92.37 ^d	g
Part IV				
0.0044	mL	13 Water purified (distilled) USP, ca.	200.00	mL
0.01	mg	14 Thimerosal USP ^e	0.675	g
QS	mL	15 Water purified (distilled) USP, approx; QS add parts II, III, and IV	60.00	L
QS	mL	16 Sterile filtrate QS parts II, III, IV	40.00	L
Part V				
0.0811	mL	17 Water purified (distilled) USP	3.65	L

^a Includes amount contained in polyvinyl alcohol micronizing diluent. Polyvinyl alcohol micronizing diluent contains 1.0% polyvinyl alcohol 20–90 and 1.65% polysorbate 80, NF.

^b Equivalent to 3.85 mg/mL neomycin base.

^c The amount of neomycin sulfate to be added must be calculated on the basis of the manufacturer's assay value of the raw material lot used according to the following formula: 259.9 g neomycin base × 1000 mg/mg/manufacturer's assay value (µg/mg) = g of neomycin sulfate required.

- ^d The amount of Polymyxin B sulfate to be added must be calculated on the basis of the manufacturer's assay value of the raw material lot used according to the following formula: $776250000 \text{ U Polymyxin B sulfate} / \text{manufacturer's assay value (U/mg} \times 1000 \text{ mg/g)} = \text{g of Polymyxin B sulfate required. (Standard 8403, U/mg.)}$
- ^e The amount of thimerosal to be added must be calculated on the basis of the manufacturer's assay value of the raw material lot used according to the following formula: $0.675 \text{ g} \times 100.0\% / \text{assay value (\%)} = \text{g thimerosal required.}$

MANUFACTURING DIRECTIONS

Part I

1. Add item 1 into a 2 L grinding jar filled approximately half with glass beads. Add 300 mL of item 4 to it and then 250 mL of item 3.
2. Seal the jar with a Teflon stopper and mix until the steroid has been wetted. Remove the stopper and wrap the mouth of jar with a double layer of aluminum foil and a double layer of parchment paper, and secure it with steel wires.
3. Sterilize the jar by autoclaving for at least 2 hours and 30 minutes at 121°C. Remove the jar from the autoclave and allow it to cool to room temperature.
4. Transfer 800 mL of item 4 into a 1 L flask. Wrap the mouth of the flask with a double layer of aluminum foil and a double layer of parchment paper and secure with two rubber bands.
5. Sterilize item 4 by autoclaving for 30 minutes minimum at 121°C. Remove the flask from the autoclave and allow it to cool to room temperature.
6. Wrap a Teflon stopper that will fit the mouth of the grinding jar with two layers of aluminum foil. Sterilize the Teflon stopper by autoclaving for at least 30 minutes at 121°C.
7. Aseptically (under a laminar flow hood, with appropriate gowning) add as much of the 800 mL of sterile item 4 as it takes to fill the grinding jar to the neck. Seal the grinding jar with the sterilized Teflon stopper. Cover the Teflon stopper with double layers of aluminum and double layer of parchment paper. Secure the parchment paper and aluminum foil with two steel wires.
8. Place the grinding jar on the mill and grind until the particle size is approved by QC.

Part II

1. Measure out ca. 20 L of item 5 into a container suitable for heating. Begin mixing with a suitable mixer. Heat the item 4 to 85°C to 90°C.
2. Measure out 15 L of heated item 5 into a 20 L container. Begin mixing using a propeller mixer.
3. Add item 6 slowly to the vortex. Avoid formation of excessive foam. Mix for at least 90 minutes until it is completely dissolved. (Mixing time is not less than 90 minutes.)
4. Add item 7, 10% solution, and mix well. Cool to room temperature.

Part III

1. Measure out ca. 37 L of item 8 into a mixing tank and begin mixing.
2. Add items 9, 10, 11, and 12, in order, allowing each to mix thoroughly or dissolve completely before adding the next.
3. Add part II to the mixing tank containing part III while mixing part III.
4. Use 3 to 4 L of item 8 to rinse the part II container. Add the rinsings to the mixing tank and mix thoroughly.

Part IV

1. Weigh out item 14 and carefully transfer it to a suitable flask.
2. Add 200 mL of item 13 and mix until item 14 is dissolved.
3. Add part IV to combined parts II and III and mix thoroughly.
4. Rinse the part IV flask with ca. 200 mL of item 15 and add the rinsings to the mixing tank.
5. Allow any foam to dissipate and QS the combined solution of parts II, III, and IV (product base) to 60 L with item
6. Mix thoroughly for at least 15 minutes. Sample.
7. Mix the product for at least 10 minutes before filtration.
8. Connect the sterilized filter and sterile filter with the aid of N₂ pressure (15–30 lb) into a sterilized 100 L stainless-steel pressure vessel. Perform the bubble point test at NLT 40 psi and on a 0.22 μm inline gas filter at 18 psi. Sample.

Part V

1. Measure out and transfer item 17 into a suitable glass bottle. Seal the mouth of the bottle with two layers of aluminum foil and two layers of parchment paper and secure with two rubber bands.
2. Sterilize item 17 by autoclaving for at least 60 minutes at 121°C. Remove the bottle from the autoclave and allow it to cool to room temperature.

Mixing Procedure

1. Grind the steroid (part I) for at least 6 hours before mixing.
2. Aseptically receive 40 L of the sterile-filtered product base (combined parts II, III, and IV) into a sterilized glass bottle calibrated at 40 and 45 L.
3. Place the glass bottle containing the product base (combined parts II, III, and IV) on a magnetic mixing table. Place the bottle and magnetic mixer in front of a laminar air flow hood.
4. Aseptically add a sterilized magnetic stirring bar to the glass bottle containing the product base. Adjust the mixing speed such that a 0.5-in deep vortex is formed.

5. Aseptically pour the ground prednisolone acetate, part I, from the grinding jar through a sterilized funnel into the bottle containing the product base. Rinse the grinding jar and the funnel with the sterilized water purified (distilled; part V).
6. Add the rinsings to the bottle containing parts II, III, and IV. The volume of the suspension in the bottle should now be 45 L. Allow the product to mix with a 0.5-in deep vortex for at least 2 hours. Continue mixing at this setting.
7. Homogenize the product suspension with a sterilized homogenizer.
8. Allow the product to mix in the receiving bottle after completion of homogenization for at least 2 hours. Sample. If bulk assay results are acceptable, fill the product.
9. Aseptically fill sterile solution through P2 sintered glass into sterilized containers. Perform bubble point test on 0.22 μm inline gas filter before and after filtration at 18 psi.

PREDNISOLONE INJECTION: ACETATE/ PHOSPHATE INJECTION

Bill of Materials (Batch Size 1 L)					
Scale/mL	Item	Material	Qty	UOM	
80.00	mg	1	Prednisolone acetate, USP	80.00	g
20.00	mg	2	Prednisolone sodium phosphate, USP	20.00	g
25.00	mg	3	Niacinamide, USP	25.00	g
6.50	mg	4	Sodium chloride, USP	6.50	g
2.00	mg	5	Pectin, NF	2.00	g
1.10	M	6	Benzalkonium chloride, 50%, USP	1.10	M
QS	mL	7	Water for injection, USP	QS to 1.00	L
QS	mL	8	Glacial acetic acid for buffering		
QS	mL	9	Acetic acid for buffering; see item 8		

ACETATE SUSPENSION INJECTION (50 MG/ML)

Bill of Materials (Batch Size 1 L)					
Scale/mL	Item	Material	Qty	UOM	
50.00	mg	1	Prednisolone acetate, USP	50.00	g
0.25	%	2	Pectin, NF	0.25	%
0.65	%	3	Sodium chloride, USP	0.65	%
0.01	%	4	Benzalkonium chloride, 50%, USP	0.01	%
QS	mL	5	Water for injection, USP	QS to 1.00	L
QS	mL	6	Glacial acetic acid for buffering		
QS	mL	7	Acetic acid for buffering; see item 6		

ACETATE SUSPENSION INJECTION (10 MG/ML)

Bill of Materials (Batch Size 1 L)					
Scale/mL	Item	Material	Qty	UOM	
10.00	mg	1	Prednisolone acetate, USP	10.00	g
2.00	mg	2	Polysorbate 80, USP	2.00	g
1.00	mg	3	Carboxymethylcellulose sodium, USP	1.00	g
9.00	mg	4	Sodium chloride, USP	9.00	g
0.90	%	5	Benzyl alcohol, NF	0.90	%
QS	mL	6	Water for injection, USP	QS to 1.00	L
QS	mL	7	Glacial acetic acid for buffering		
QS	mL	8	Acetic acid for buffering; see item 7		

PREDNISOLONE ACETATE SUSPENSION WITH NIACINAMIDE INJECTION (20 MG/ML)

Bill of Materials (Batch Size 1 L)				
Scale/mL	Item	Material	Qty	UOM
20.00	mg	1	Prednisolone sodium phosphate, USP, equivalent to prednisolone phosphate	20.00 g
25.00	mg	2	Niacinamide, USP	25.00 g
1.00	mg	3	Sodium bisulfite, USP	1.00 g
5.00	mg	4	Liquefied phenol, USP	5.00 g
0.50	mg	5	Disodium edetate	0.50 g
QS	mL	6	Water for injection, USP	QS to 1.00 L
QS	mL	7	Sodium hydroxide for pH adjustment	

PREDNISOLONE OPHTHALMIC DROPS

Bill of Materials (Batch Size 45 L)				
Scale/mL	Item	Material	Qty	UOM
Part I				
	1	Borosilicate beads		
1.32	mg	2	Prednisolone acetate, USP, 10% overage	59.40 g
	3	Water purified (distilled), USP	221.70	mL
	4	Hydroxypropylmethyl cellulose micronizing diluent ^a	250.00	mL
0.000063	mL	5	Polysorbate 80, NF (use 10% solution)	28.30 mL
Part II				
	6	Water purified (distilled), USP	10.00	L
1.20 ^a		7	Hydroxypropylmethyl cellulose F-4M	74.40 g
Part III				
	8	Water purified (distilled), USP	40.00	L
10.00		9	Boric acid, NF	635.30 g
3.00		10	Sodium citrate dihydrate, USP	190.60 g
0.548		11	Sodium metabisulfite	34.80 g
2.61 ^a		12	Sodium chloride, USP	162.60 g
0.127		13	Disodium edetate, USP	8.07 g
0.04		14	Benzalkonium chloride, NF (use 10% solution)	25.40 ^b mL
	15	5 N hydrochloric acid, NF ^c	QS	mL
	16	1 N sodium hydroxide ^c	QS	mL
	17	Water purified (distilled), USP, QS add part II and part III	60.00	L
	18	Sterile filtrate, QS parts II and III	42.50	L
Part IV				
	19	Water purified (distilled), USP	2.00	L

^a Includes amount contained in hydroxypropyl methylcellulose micronizing diluent. It contains 0.5% hydroxypropylmethyl cellulose F-4M and 0.9% sodium chloride.

^b The amount of benzalkonium chloride, 10% solution, to be added must be calculated on the basis of the assay value of the raw material lot used according to the following formula: 25.4 mL × 10.0%/assay value (%) = mL benzalkonium chloride, 10% solution, required.

^c For pH adjustment.

MANUFACTURING DIRECTIONS*Part I*

1. Weigh out and add item 2 to 1 L grinding jar containing ca. 50 to 55% glass beads.
2. Wrap the mouth of the grinding jar with two layers of aluminum foil and two layers of parchment paper, and secure them with two steel wires.
3. Sterilize the grinding jar by autoclaving for at least 3 hours at 121°C.
4. Remove the grinding jar from the autoclave and allow it to cool to room temperature.
5. Measure out and add items 3, 4, and 5 to a 1000 mL Erlenmeyer flask.
6. Wrap the mouth of the flask with two layers of aluminum foil and two layers of parchment paper and secure them with two steel wires. Sterilize the flask contents by autoclaving for at least 30 minutes at 121°C.
7. Remove the flask from the autoclave and allow it to cool to room temperature. Wrap a Teflon stopper that fits the mouth of the grinding jar with two layers of aluminum foil. Sterilize the Teflon stopper by autoclaving for at least 30 minutes at 121°C.
8. In the laminar flow hood, wearing sterile mask, gloves, and gown, aseptically transfer the sterilized solution of items 3, 4, and 5 into the grinding jar containing the sterilized item 2 and glass beads.
9. Aseptically seal the grinding jar with the sterilized Teflon stopper. Cover the Teflon stopper with two layers of aluminum foil and two layers of parchment paper and secure with two rubber bands.
10. Place the grinding jar on the mill and grind until the particle size is approved or for 7 days.

Part II

1. Measure out ca. 10 L of item 6 into a jacketed kettle for heating. Begin mixing with a suitable mixer. Heat it to 80°C to 90°C.
2. Measure out ca. 3 L of heated item 6 into a 6 L container. Begin mixing with a propeller mixer.
3. Add item 7 slowly to the vortex. Mix until it is thoroughly dispersed. Transfer the dispersion to a glass bottle and rinse the container thoroughly with 2 to 3 L of hot item 6. Add the rinsings to the glass bottle.
4. Place the glass bottle into the water sink. Begin mixing with a suitable propeller mixer. Add item 6 to the bottle to bring the volume to 10 L.
5. Fill the water sink with cold industrial water. Cool the dispersion to less than 30°C. Cover the mouth of the bottle with two layers of aluminum foil. Place the bottle in the refrigerator.
6. Chill for at least 12 hours at 15°C or less until item 7 is completely hydrated.

Part III

1. Measure out ca. 40 L of item 8 into a mixing tank and begin mixing. Add items 9 to 14, in order,

allowing each to mix thoroughly before adding the next. Avoid excess foam formation.

2. Add part II to the mixing tank containing part III while mixing part III. Rinse the pressure vessel from part II with 3 to 4 L of item 17. Add the rinsings to the mixing tank. Sample for pH (range 5.6–5.8). If necessary, adjust the pH with item 15 or 16.
3. Allow any foam to dissipate and QS the combined solution of parts II and III to 60 L with item 17. Mix combined parts II and III thoroughly for at least 15 minutes. Sample.
4. Sterile filter 42.5 L of combined parts II and III through a 0.2 µm filter. Discard any remaining combined parts II and III.

Part IV

1. Transfer item 18 into a suitable glass bottle. Seal the mouth of the bottle with two layers of aluminum foil paper and two layers of parchment paper and secure.
2. Sterilize it by autoclaving for at least 60 minutes at 121°C. Remove the bottle from the autoclave and allow it to cool to room temperature.

Sterile Filtration

Sterilize for 1 hour (range 45–60 minutes) at 121°C (–0, +5°C) in an autoclave at 15 psi the filter and 100 L stainless-steel pressure vessel. Prior to this, perform the bubble point test at NLT 46 psi. Sample.

Mixing Procedure

1. Grind the steroid (part I) for at least 6 hours before mixing. Aseptically receive 42.5 L of sterile-filtered combined parts II and III into a sterilized glass bottle.
2. Place the pressure vessel containing the combined parts II and III on a magnetic mixing table. Place the magnetic mixer in front of a laminar air flow hood. Aseptically add a sterilized magnetic stirring bar to this pressure vessel. Adjust the mixing speed such that a 0.5-in deep vortex is formed.
3. Aseptically pour part I from the grinding jar through a sterilized polyethylene Buchner funnel into the bottle containing the combined parts II and III. Rinse with the sterilized water purified (part IV). Add the rinsings to the bottle containing parts I, II, and III. The volume of the suspension in the bottle should now be 45 L.
4. Allow the product to mix with a 0.5-in deep vortex for at least 2 hours.

Homogenization

Homogenize the suspension in a sterilized homogenizer. Filter and aseptically fill sterile solution through P2 sintered glass into sterilized containers.

PROCAINE PENICILLIN INJECTABLE SUSPENSION (300 MG/ML)

FORMULATION

1. Procaine penicillin G, 30.0 g.
2. Kollidon 17 PF [1], 0.4 g; carboxymethyl cellulose, 0.15 g; sodium citrate, 0.57 g; antioxidant QS; preservative, QS; water of injectables, add 100 mL.

MANUFACTURING DIRECTIONS

1. Suspend procaine penicillin G in the well-stirred solution II.
2. To prevent of discoloration of the dissolved Kollidon during storage, 0.2 to 0.5% of cysteine could be added as antioxidant.

PROCAINE HYDROCHLORIDE INJECTION

Bill of Materials (Batch Size 1 L)

Scale/mL	Item	Material	Qty	UOM
10.00	mg	1 Procaine HCl, USP	10.00	g
2.00	mg	2 Sodium bisulfite, USP	2.00	g
5.50	mg	3 Sodium chloride, USP	5.50	g
2.50	mg	4 Chlorobutanol anhydrous, USP	2.50	g
QS	mL	5 Water for injection, USP	QS to 1.00	L
QS	mL	6 Glacial acetic acid for buffering	QS	
QS	mL	7 Sodium acetate for buffering; see item 6	QS	

Note: For a 2% strength, reduce the quantity of sodium chloride (item 3) to 3.5 mg/mL.

PROCHLORPERAZINE INJECTION

Bill of Materials (Batch Size 1 L)

Scale/mL	Item	Material	Qty	UOM
5.00	mg	1 Prochlorperazine as prochlorperazine edisylate equivalent	5.00	g
5.00	mg	2 Sodium biphosphate	5.00	g
12.00	mg	3 Sodium tartarate	12.00	g
0.90	mg	4 Sodium saccharin	0.75	g
7.50	mg	5 Benzyl alcohol	0.75	g
QS	mL	6 Water for injection, USP	QS to 1.00	L

PROGESTERONE AND TOCOPHERYL ACETATE INJECTION

Bill of Materials (Batch Size 1 L)

Scale/mL	Item	Material	Qty	UOM
15.00	mg	1 Progesterone, 5% excess	15.73	g
30.00	mg	2 Tocopheryl acetate (vitamin E)	30.00	g
33.00	mg	3 Ethyl oleate	33.00	g
0.10	mg	4 Butylated hydroxy toluene	100.00	mg
QS		5 Arachis oil refined	QS to 1.00	L
QS		6 Nitrogen gas, NF	QS	

MANUFACTURING DIRECTIONS

Note: All equipment must be thoroughly dried and free of any moisture.

1. Put 1 L of item 5 into a suitable container and heat to 150°C and maintain for 1 hour. Cool to 60°C to 70°C.
2. Dissolve item 1 in approximately 0.6 L of oil from step 1.
3. Dissolve item 2 in approximately 0.25 L of oil from step 1. Add to step 2 at room temperature.
4. Add item 4 to above solution. Make up volume with oil from step 1 at room temperature.
5. Filter through appropriate presterilized filter. Use only polyethylene tubing for filling assembly.
6. Fill 1.15 mL into type I amber ampoule under cover of item 6 dried by passing through calcium chloride and phenol traps.

PROGESTERONE INJECTION REPOSITORY VETERINARY

Bill of Materials (Batch Size 1 L)

Scale/mL	Item	Material	Qty	UOM
50.00	mg	1 Progesterone, USP	50.00	g
120.00	mg	2 Ethyl alcohol, USP	120.00	g
150.00	mg	3 Benzyl alcohol, NF	150.00	g
QS	mg	4 Propylene glycol, USP	QS to 1.00	L

PROMAZINE HYDROCHLORIDE INJECTION**Bill of Materials (Batch Size 1 L)**

Scale/mL	Item	Material	Qty	UOM	
50.00	mg	1	Promazine HCl, USP	50.00	g
3.00	mg	2	Sodium chloride, USP	3.00	g
2.00	mg	3	Ascorbic acid, USP, ampoule grade	2.00	g
2.00	mg	4	Sodium metabisulfite, NF	2.00	g
QS	mL	5	Nitrogen gas, NF	QS	
QS		6	Sodium hydroxide for pH adjustment	QS	
QS	mL	7	Water for injection, USP	QS to 1.00	L

MANUFACTURING DIRECTIONS

Note: This product requires N₂ gas and light protection during solution preparation. Store between 15°C and 30°C. Prepare solution in a clean glass-lined tank.

- Preparation.
 - Add water for injection to ca. 90% of the final volume into a glass-lined tank protected from light.
 - Bubble filter N₂ gas into water for injection for 10 minutes.
 - Add and dissolve sodium chloride, ascorbic acid, sodium metabisulfite, and promazine with mixing.
 - Check and record pH (range 4.5–5.1). Adjust to 4.8 with 5 N sodium hydroxide solution. Record amount used.
 - QS with water for injection to final volume.
 - Sample for testing.
 - Sterilize an approved 0.2- or 0.22 µm filter unit in a sterile, glass-lined holding container.
- Preparation of ampoules. Use type I 1 mL sulfur-treated glass ampoules.
 - Wash and dry ampoules and load into appropriate containers for sterilization.
 - Sterilize using dry heat at 245°C for at least 3 hours and 25 minutes or an equivalent cycle.
 - Deliver to the sterile filling area.
- Filling.
 - Connect bulk solution container by aseptic technique to the filling machines.
 - Aseptically fill 1.2 mL (range 1.1–1.3 mL) into each clean, sterile ampoule.
 - Flush the headspace of each ampoule with sterile-filtered N₂ gas.
 - Immediately seal each ampoule.

- Sterilization.
 - Sterilize in an autoclave at 122°C for 12 minutes.
 - Sample for testing.

PROMETHAZINE HYDROCHLORIDE INJECTION VIAL**Bill of Materials (Batch Size 1 L)**

Scale/mL	Item	Material	Qty	UOM	
25.00	mg	1	Promethazine hydrochloride	25.00	g
0.25	mg	2	Sodium metabisulfite	0.25	g
5.00	mg	3	Phenol liquefied	5.00	g
QS	mg	4	Acetic acid	QS	
QS	mg	5	Sodium acetate	QS	
QS	mL	6	Water for injection, USP	QS to 1.00	L
QS	ft ³	7	Nitrogen gas	QS	

Note: Adjust pH to 4.0 to 5.5 with item 4 or 5. Same composition for a 50 mg/mL dose. Light sensitive, process under cover. Provide item 7 cover throughout and fill with pre- and postflush of item 7.

PROMETHAZINE HYDROCHLORIDE INJECTION CARTRIDGE UNIT**Bill of Materials (Batch Size 1 L)**

Scale/mL	Item	Material	Qty	UOM	
25.00	mg	1	Promethazine hydrochloride	25.00	g
0.10	mg	2	Edetate sodium	0.10	g
5.00	mg	3	Phenol liquefied	5.00	g
5.00	mg	4	Monothioglycerol	5.00	g
0.04	mg	5	Calcium chloride	0.04	g
QS	mg	6	Acetic acid	QS	
QS	mg	7	Sodium acetate	QS	
QS	mL	8	Water for injection, USP	QS to 1.00	L
QS	ft ³	9	Nitrogen gas	QS	

Note: Adjust pH to 4.0 to 5.5 with item 4 or 5. Same composition for a 50 mg/mL dose. Light sensitive, process under cover. Provide item 9 cover throughout and fill with pre- and postflush of item 9.

PROPANIDID INJECTABLE SOLUTION (50 MG/ML)**FORMULATION**

- Propanidid, 5.0 g; Cremophor EL [1], 20.0 g.
- Preservatives, QS; water for injectables, add 100 mL.

MANUFACTURING DIRECTIONS

- Mix propanidid with warm Cremophor EL (60°C) and add slowly the warm solution II. The sterilization can be done by filtration or heat.

PROPERTIES OF THE SOLUTION

A clear, colorless solution was obtained.

REMARKS

- To reduce the viscosity and the side effects, Cremophor EL could be substituted by Solutol HS 15 [1].
- In Germany, Cremophor EL must be declared on the package of injectables.
- During the heat sterilization, a separation of two layers can be observed. Shaking of the ampoules during cooling gives homogeneous, clear solutions.

PROPOFOL EMULSION INJECTION**Bill of Materials (Batch Size 1 L)**

Scale/mL	Item	Material	Qty	UOM
10.00	mg	1 Propofol	10.00	g
45.00	mg	2 Soybean oil refined	45.00	g
5.00	mg	3 Egg lecithin	5.00	g
22.50	mg	4 Glycerin	22.50	g
QS	mL	5 Sodium hydroxide for pH adjustment		
QS	mL	6 Water for injection, USP	QS to 1.00	L
QS	ft ³	7 Nitrogen gas NF	QS	

MANUFACTURING DIRECTIONS

- Put 0.9 L of item 6 into a jacketed stainless-steel vessel and heat to 40°C. Maintain throughout manufacturing a blanket cover of item 7.
- Add and dissolve items 3 and 4 and mix well until a uniform dispersion is obtained.
- In a separate vessel, add item 2, heat to 40°C, and add and dissolve item 1 to complete solution.
- Add step 3 into step 2 at 40°C. Mix well.
- Check and adjust pH to 5.0 to 7.5 with item 5.
- Homogenize emulsion in a homogenizer until globules are less than 1 µm.
- Check and adjust pH again as in step 5.
- Filter and fill under item 7 cover.

PYRIDOXINE AND THIAMINE INJECTION**Bill of Materials (Batch Size 1 L)**

Scale/mL	Item	Material	Qty	UOM
100.00	mg	1 Thiamine HCl, USP	100.00	g
100.00	mg	2 Pyridoxine HCl, USP	100.00	g
1.00	mg	3 Sodium formaldehyde sulfoxylate, NF	1.00	g
15.00	mg	4 Benzyl alcohol, NF	15.00	g
QS	mL	5 Water for injection, USP	QS to 1.00	L
QS	mL	6 Sodium hydroxide for pH adjustment	QS	

PYRIDOXINE HYDROCHLORIDE INJECTION (100 MG/ML, 30 ML VIAL)**Bill of Materials (Batch Size 30 L)**

Scale/mL	Item	Material	Qty	UOM
100.00	mg	1 Pyridoxine HCl, USP, 10% excess	110.00	g
15.00	mg	2 Benzyl alcohol, NF	15.00	g
QS	mL	3 Sodium hydroxide for pH adjustment	QS	
QS	mL	4 Hydrochloric acid for pH adjustment	QS	
QS	mL	5 Water for injection, USP	QS to 1.00	L

MANUFACTURING DIRECTIONS

- Measure ca. one-third of the final volume of water for injection into an appropriate clean and identified mixing tank.
- Add item 1 into the mixing tank and stir until a clear solution is obtained.
- Add item 2 with constant stirring into the mixing tank.
- Bring the final volume with item 5 and check pH.
- Adjust pH between 2.0 and 3.8, if necessary.
- Sample to test for pH and assay.
- Filter through a sterile 0.45 µm prefilter and a 0.22 µm membrane filter. Check the integrity test of sterile filter and note results.
- Aseptically fill sterile vials.
- Autoclave at 121°C for 20 minutes.
- Sample for full testing.

PYRIDOXINE HYDROCHLORIDE INJECTION (100 MG/ML, 1 ML AMPOULE)

Bill of Materials (Batch Size 1 L)					
Scale/mL	Item	Material	Qty	UOM	
100.00	mg	1	Pyridoxine HCl, USP, 10% excess	110.00	g
QS	mL	2	Sodium hydroxide for pH adjustment	QS	
QS	mL	3	Water for injection, USP	QS to 1.00	L

MANUFACTURING DIRECTIONS

1. Preparation
 - a. Add water for injection to ca. 80% of the final volume into a glass-lined tank protected from light.
 - b. Add and dissolve pyridoxine hydrochloride with mixing.
 - c. Record and adjust pH to 3 (range 2.7–3.3) with 5 N sodium hydroxide solution.
 - d. QS with water for injection to final volume.
 - e. Sample for testing.
 - f. Sterilize and approved 0.22 µm membrane filter with an approved prefilter.
 - g. Filter the solution through the sterilized filter unit into a sterile, glass-lined holding container.
2. Preparation of ampoules
 - a. Wash and dry type 1 1 mL sulfur-treated ampoules and load into appropriate containers for sterilization.
 - b. Sterilize by using dry heat at 245°C for at least 3 hours and 25 minutes or an equivalent cycle to assure sterile, pyrogen-free bottles.
 - c. Deliver to the sterile filling area.
3. Filling
 - a. Connect bulk solution container by aseptic technique to the filling machines.
 - b. Aseptically fill 1.2 mL (range 1.1–1.3 mL) into each clean, sterile ampoule.
 - c. Immediately seal each ampoule.
4. Sterilization
 - a. Autoclave at 121°C for 20 minutes.
 - b. Sample for testing.

PYRILAMINE MALEATE AND EPHEDRINE INJECTION VETERINARY

Bill of Materials (Batch Size 1 L)					
Scale/mL	Item	Material	Qty	UOM	
25.00	mg	1	Pyrilamine maleate, NF	25.00	g
10.00	mg	2	Ephedrine HCl, NF	10.00	g
3.00	mg	3	Chlorobutanol anhydrous, USP	3.00	g
QS		4	Water for injection	QS	

QUINIDINE SULFATE INJECTION

Bill of Materials (Batch Size 1 L)					
Scale/mL	Item	Material	Qty	UOM	
QS		1	Nitrogen gas, NF	QS	
877.13	mg	2	Propylene glycol, USP (QS to 1 L), ca.	877.13	g
190.00	mg	3	Quinidine sulfate, USP	190.00	g

MANUFACTURING DIRECTIONS

Precaution: Prepare solution in a clean glass-lined tank. The product requires N₂ gas and light protection during solution preparation.

1. Preparation.
 - a. Add propylene glycol into a glass-lined tank protected from light. Bubble N₂ gas into tank for 10 minutes.
 - b. Add and dissolve quinidine sulfate with mixing.
 - c. Check and record pH.
 - d. QS with propylene glycol for injection to final volume.
 - e. Sample.
 - f. Sterilize an approved 0.2- or 0.22 µm membrane filter with an approved prefilter (0.45 µm).
 - g. Filter the solution through the sterilized filter unit into a sterile, glass-lined holding container.
2. Preparation of ampoules. Use type 1 1 mL sulfur-treated ampoules.
 - a. Wash and dry ampoule and load into appropriate containers for sterilization.
 - b. Sterilize using dry heat at 245°C for at least 3 hours and 25 minutes (or equivalent cycle that ensures sterile, pyrogen-free bottles).
 - c. Deliver to the sterile filling area.
3. Filling.
 - a. Connect bulk solution container by aseptic technique to the filling machines.

- b. Aseptically fill 1.2 mL (range 1.1–1.3 mL) into each clean, sterile ampoule.
- c. Flush the headspace of each ampoule with sterile filtered N₂ gas. Immediately seal each ampoule.

QUINOLONE LYOPHILIZED INJECTIONS

A variety of quinolone antibiotics can be prepared in a lyophilized form by a simple procedure wherein, as an example, 10 g of powdered antibiotic is dissolved in 50 mL of 1 M lactic acid, the pH adjusted to 4.5 with 1 N sodium hydroxide solution and diluted with distilled water for injection to 100 mL. This solution is filtered through a membrane filter (pore size 0.22 μm) and each 2 mL of the filtrate filled into clean and sterilized vials. These vials are cooled to –42°C and dried under vacuum. The temperature of the shelf is –20°C during the initial stage (up to 22 hours) of drying. Under vacuum, the temperature is elevated to 20°C and kept for 24 hours and further elevated to 40°C and kept for 6 hours to give a freeze-dried preparation.

QUINOLONE-CALCIUM LACTATE COMPLEX FOR INJECTION

Bill of Materials (Batch Size 1 L)

Scale/mL	Item	Material	Qty	UOM	
30.00	mg	1	Quinolone antibiotic	30.00	g
12.00	mg	2	L-(+)-Lactic acid	12.00	g
1.90	mg	3	Calcium hydroxide	1.90	g
QS	mL	4	Water for injection, USP	QS to 1.00	L

Note: The complex is produced by dissolving the antibacterial compound in an aqueous lactic acid solution, preferably L-(+)-lactic acid solution, neutralizing the resulting solution with calcium hydroxide in a quantity that is selected so that any precipitation of the antibacterial compound from the solution is avoided and yet on IV injection, venous irritation by the neutralized solution is either absent or is minimized. Adjust the quantity of antibiotic according to amount of moisture in it.

MANUFACTURING DIRECTIONS

1. Dissolve item 2 in ca. 0.9 L of item 4 in a suitable container and mix well.
2. Add item 1 with mixing until all the drug particles are dissolved.
3. Add item 3 with mixing.
4. Check pH (ca. 4.6–4.9); adjust pH with calcium hydroxide or lactic acid if necessary.

5. Sterilize the solution by filtering through a previously sterilized 0.22 μm membrane filter or equivalent using for positive pressure.
6. Discard 100 mL of solution to flush the system. Aseptically fill 10.05 to 10.1 mL of the solution into previously sterilized and depyrogenated vials. Stopper loosely with slotted closures and lyophilize. Stopper and cap the lyophilized vials.

RANITIDINE INJECTION AMPOULE

Bill of Materials (Batch Size 1 L)

Scale/mL	Item	Material	Qty	UOM	
25.00	mg	1	Ranitidine, use ranitidine HCl, 10% excess	27.50	g
2.40	mg	2	Sodium phosphate dibasic anhydrous, use as sodium phosphate dibasic.12 H ₂ O	2.40	g
0.96	mg	3	Potassium phosphate monobasic	0.96	g
5.00	mg	4	Liquefied phenol, NF	5.00	g
QS	mL	5	Water for injection, USP	QS to 1.00	L
QS	mL	6	Nitrogen gas, NF	QS	

Note: Quantity of ranitidine and sodium phosphate dibasic to be adjusted for assay on dry basis and to take into account moisture content.

MANUFACTURING DIRECTIONS

1. Check item 5 that it does not have conductivity more than μS/cm, pH range should be 5.0 to 7.0.
2. Put 0.9 L of item 5 into a suitable preparation vessel and bubble N₂ gas to expel dissolved oxygen. Monitor oxygen level.
3. Add and dissolve sodium phosphate dibasic, potassium phosphate monobasic, and phenol into solution in step 2. Mix well to make clear solution.
4. Add item 1 into the solution in step 3 and mix by stirring to make clear solution. Protect solution from light from this step on.
5. Check pH (range 6.87–7.2).
6. Make up volume and mix during bubbling N₂ gas until oxygen is undetectable.
7. Sample for testing.

8. Prepare filtration assembly and use silicone hoses and filter cartridges dedicated to product.
9. Transfer the solution from the preparation vessel to holding tank by passing through 0.45 µm cartridge.
10. Sterilize ampoules. Check integrity of final filtration filter of 0.22 µm filter.
11. Fill 2.1 to 2.2 mL into ampoules and seal. Perform leak test and optical check.
12. Sample for testing.

RANITIDINE INJECTION AMPOULE (50 ML FLEXIBLE PLASTIC CONTAINER)

Bill of Materials (Batch Size 1 L)

Scale/mL	Item	Material	Qty	UOM
5.00	mg	1	Ranitidine hydrochloride	5.00 g
4.50	mg	2	Sodium chloride	4.50 g
0.30	mg	3	Citric acid	0.30 g
1.80	mg	4	Dibasic sodium phosphate	1.80 g
QS	mL	5	Water for injection, USP	QS to 1.00 L

Note: Fill 50 mL into nonplasticized, thermoplastic copolyester (CR3) container; pH 6.7 to 7.3.

RETEPLASE RECOMBINANT FOR INJECTION

Bill of Materials (Batch Size 1000 Vials)

Scale/mL	Item	Material	Qty	UOM
18.10	mg	1	Retepase	18.10 g
8.32	mg	2	Tranexamic acid	8.32 g
136.24	mg	3	Dipotassium hydrogen phosphate	136.24 g
51.27	mg	4	Phosphoric acid	51.27 g
364.00	mg	5	Sucrose	364.00 g
5.20	mg	6	Polysorbate 80	5.20 g

Note: Reconstitute lyophilized product with water for injection.

RETINOL (VITAMIN A) INJECTION

Bill of Materials (Batch Size 2 L)

Scale/mL	Item	Material	Qty	UOM
5000	IU	1	Vitamin A (retinol in polysorbate 20) ^a	1000,000 IU
500.00	mg	2	Glycerin, USP	1000.00 g
150.00	mg	3	Polysorbate 20, NF ^b	300.00 g
QS	mL	4	Water for injection, USP	QS to 2.00 L
QS	mL	5	Sodium hydroxide for pH adjustment	QS
QS		6	Nitrogen gas, NF	QS

^a 1,000,000 IU/(potency/g of raw material).

^b This is the total amount of polysorbate 20 required for the batch. Because Vitamin A raw material used is provided in polysorbate 20, make adjustment for the contribution from the raw material.

MANUFACTURING DIRECTIONS

1. Put item 3 into clean compounding tank of suitable size and place it on a hot plate. Heat it to approximately 40°C but do not exceed 60°C. Keep an N₂ blanket over the tank contents during all remaining compounding steps.
2. With constant stirring, add item 1 to the warm polysorbate 20 solution. Use a rubber policeman to transfer all item 1 to the tank. Keep stirring till a clear solution is obtained.
3. Stop heating the compounding tank. While agitating, add, in portions, glycerin to the compounding tank. Rinse the vessel containing item 1 raw material with glycerin and add the rinses to the compounding tank.
4. Add approximately 500 mL item 4 to the tank. Stir to a complete solution.
5. Check pH (6.0–7.0); adjust if necessary with 10% item 5. (Item 5 also contains 0.0027% butylated hydroxytoluene and 0.0006% butylated hydroxyanisole.)
6. Bring the final volume with item 4.
7. Sample for testing.
8. On approval of laboratory, filter through a 0.22 µm filter into a light-protected receiving container in the clean room. Keep N₂ blanket over the solution in the receiving container.
9. Fill with an N₂ postfill flush. Use type I amber vials and 1109 red with Y-40 coating stoppers.

RH₀ (D) IMMUNE GLOBULIN (HUMAN) INJECTION

Bill of Materials (Batch Size 1 L)

Scale/mL	Item	Material	Qty	UOM
50.00	mg	1	Rh ₀ (D) gamma globulin ^a	50.00 g
2.90	mg	2	Sodium chloride	2.90 g
0.10	mg	3	Polysorbate 80	0.10 g
15.00	mg	4	Glycine	15.00 g
QS	mL	5	Water for injection, USP	QS to 1.00 L

^a Small amounts of IgA, typically less than 15 µg per dose, are present. pH 6.20 to 6.55. Package in latex-free delivery system.

RINGER LACTATE SOLUTION INJECTION

Bill of Materials (Batch Size 1 L)

Scale/mL	Item	Material	Qty	UOM
0.0024	mL	1	Lactic acid (min. assay 88%)	2.40 mL
1.16	mg	2	Sodium hydroxide, 8% excess	1.25 g
0.00063	mL	3	Hydrochloric acid dilute (10%)	0.70 mL
6.00	mg	4	Sodium chloride, 3% excess	6.20 g
0.40	mg	5	Potassium chloride, 5% excess	0.42 g
0.27	mg	6	Calcium chloride dihydrate, 8% excess	0.291 g
QS	mL	7	Water for injection, USP	QS to 1.00 L

MANUFACTURING DIRECTIONS

- Dissolve item 4 in 50 mL of item 7 and add item 1 with stirring.
- Autoclave the solution in step 1 at 115°C for 60 minutes. Allow to cool and check pH.
- Add item 3 slowly to reduce the pH to between 6.8 and 7.0. (Approximately full quantity of item 3 will be consumed.)
- Dissolve items 4, 5, and 6 in 0.5 L of item 7 in a separate vessel with stirring at 60°C.
- Add solution in step 4 to solution in step 3. Stir vigorously and make up the volume.
- Check pH to between 5.0 and 7.0. Do not adjust pH.
- Filter using at least a 0.45 µm filter before final filtration with a 0.22 µm filter and fill into 540 mL type I glass bottles.

- Fill 540 mL while maintaining solution at 45°C to 50°C and seal immediately by using butyl gray rubber stoppers prewashed and sterilized at 116°C for 30 minutes; use triple aluminum seals and suitable plastic hangers.
- Sterilize filled bottle by autoclaving at 121°C for 20 minutes.

RITUXIMAB INJECTION

Bill of Materials (Batch Size 1 L)

Scale/mL	Item	Material	Qty	UOM
10.00	mg	1	Rituximab	10.00 g
0.90	%	2	Sodium chloride, USP	0.90 %
7.35	mg	3	Sodium citrate dihydrate	7.35 g
0.70	mg	4	Polysorbate 80 (Tween®)	0.70 g
QS	mL	5	Hydrochloric acid for pH adjustment	QS
QS	mL	6	Sodium hydroxide for pH adjustment	QS
QS	mL	7	Water for injection, USP	QS to 1.00 L
QS	ft ³	8	Nitrogen gas, NF	QS

DESCRIPTION

The rituximab antibody is a genetically engineered chimeric murine/human monoclonal antibody directed against the CD20 antigen found on the surface of normal and malignant B lymphocytes. The antibody is an IgG₁ kappa immunoglobulin containing murine light- and heavy-chain variable region sequences and human constant region sequences. It has an approximate molecular weight of 145 kDa. Rituximab has a binding affinity for the CD20 antigen of ca. 8.0 nM. The chimeric anti-CD20 antibody is produced by mammalian cell (Chinese hamster ovary) suspension culture in a nutrient medium containing the antibiotic gentamicin. Gentamicin is not detectable in the final product. The anti-CD20 antibody is purified by affinity and ion exchange chromatography. The purification process includes specific viral inactivation and removal procedures.

MANUFACTURING DIRECTIONS

- Take 0.9 L of item 7 and purge with item 8 for 20 minutes.
- Add items 2 and 3 and mix well.
- Add item 4 gently to avoid frothing.
- Add item 1 and mix well.
- Check and adjust pH to 6.5 (range 6.3–6.6) with item 5 or 6.
- Filter and aseptically fill either 10 mL (100 mg) or 50 mL (500 mg).

RUBELLA VIRUS VACCINE LIVE

Bill of Materials (Batch Size 1 L)

Scale/mL	Item	Material	Qty	UOM
2000	TCID ^a	1 Rubella virus vaccine live wistar RA 27/3 strain	2000,000	TCID
29.00	mg	2 Sorbitol	29.00	g
3.80	mg	3 Sodium phosphate	3.80	g
3.80	mg	4 Sodium chloride	3.80	g
29.00	mg	5 Gelatin hydrolyzed	29.00	g
0.60	mg	6 Albumin (human)	0.60	g
50.00	mg	7 Neomycin	50.00	mg
QS	mL	8 Water for injection, USP	QS to 1.00	L

^a Tissue culture infectious doses; dose = 0.5 mL; contains fetal bovine serum <1 ppm.

SALBUTAMOL AEROSOL FOR INHALATION

Bill of Materials (Batch Size 1000 U)

Scale/mL	Item	Material	Qty	UOM
1.173	mg	1 Salbutamol, 10% manufacturing excess	26.40	g
0.1176	mg	2 Oleic acid, 10% manufacturing excess	2.64	g
277.61	mg	3 Trichloromonofluoromethane	5664.00	g
721.09	mg	4 Dichlorodifluoromethane	1470.00	g

MANUFACTURING DIRECTIONS

Caution: Salbutamol is a low-dose bronchodilator. Operators should wear full protective clothing including suitable hat, face mask, and gloves during all stages of manufacture. It is a suspension-based aerosol and not a solution.

1. Preparation of suspension.
 - a. Filter ca. 5 kg of trichloromonofluoromethane and oleic acid through a suitable 0.2 µm filter into a stainless-steel concentrate container.
 - b. Slowly add the salbutamol to the solution in step 1a and mix for approximately 15 minutes.
 - c. Filter most of the remaining trichloromonofluoromethane through a suitable 0.2 µm filter into the suspension-holding tank.
 - d. Add the slurry from step 1b to the holding tank. Rinse the concentrate container with filtered trichloromonofluoromethane and add the rinses to the holding tank. Make up the final mass of 5.693 kg with filtered trichloromonofluoromethane. Mix for further 5 minutes. Sample (to determine nonvolatile matter, range 0.49–0.53 w/w).

2. Filling. Packing commodity details
 - Valve, aerosol, 65 µL, Valois DF50 or valve, aerosol, 65 µL Bepak BK 356 Vial, aluminum, NS4, 12.5 mL fill, 20-mm opening
 - Mouthpiece adaptor
 - Cap for mouthpiece adaptor
 - a. Fill 5.7 g of suspension into a clean aluminum vial and immediately crimp on the metering valve.
 - b. Pressure-fill, through metering valve, sufficient dichlorodifluoromethane to produce a final fill weight of 20.4 g. Check-weigh each aerosol to ensure that the fill weight is in the range of 20 to 20.8 g. *Note:* At the start of the manufacture, fill three vials and apply nonmetering valves. Pressure-test these vials with a special gauge adaptor to ensure that the correct propellant mix is being used. The internal pressure measured at 22°C should be 50 to 60 psi.
 - c. Store the filled aerosols for a period of 2 weeks and again check-weigh as in step 2b. Test each aerosol by actuation to ensure correct operation.
 - d. Pack the filled aerosol units into suitable cardboard cartons. Each carton should be filled with ca. 500 U. Sample.

SISOMICIN INJECTION

Bill of Materials (Batch Size 1 L)

Scale/mL	Item	Material	Qty	UOM
50.00	mg	1 Sisomicin, use sisomicin sulfate	62.00	mg
3.00	mg	2 Sodium metabisulfite	3.00	g
3.60	mg	3 Sodium chloride	3.60	g
0.80	mg	4 Methyl paraben	0.80	g
0.10	mg	5 Propyl paraben	0.10	g
0.10	mg	6 Disodium edetate	0.10	g
QS	mL	7 Water for injection, USP	QS to 1.00	L
QS	ft ³	8 Nitrogen gas, NF	QS	

MANUFACTURING DIRECTIONS

1. Put ca. 0.7 L of item 7 into a suitable stainless-steel jacketed vessel and heat to approximately 70°C.
2. Charge the items 4 and 5 to the heated water and dissolve with agitation.
3. When completely dissolved, cool the contents of the tank to 25°C to 30°C.
4. Sparge the solution with item 8 and keep covered with item 8 cover during subsequent processing.
5. Charge and dissolve items 6, 3, and 2.
6. Charge and dissolve item 1.
7. Bring the batch volume up to 51 L with item 7 and agitate until homogenous.
8. Check pH to 5.1 to 5.3; do not adjust.

9. Under sterile conditions, filter the solution through a suitable bacteria-retentive filter (0.22 μm) collecting the filtrate in a filling tank.
10. Fill the product aseptically into sterile, pyrogen-free, multiple-dose vials, ampoules, or syringes and seal.

SOBREROL INJECTABLE SOLUTION (75 MG/5 ML)

FORMULATION

Sobrerol, 1.5 g; Kollidon 17 PF [1], 6.0 g; water for injectables, 100.0 mL.

MANUFACTURING DIRECTIONS

1. Dissolve sobrerol slowly in the well-stirred solution of Kollidon 17 PF.
2. The sterilization can be done by filtration through a 0.2 μm filter.

PROPERTIES

Preservatives could be added if it is needed. To prevent of discoloration of Kollidon in the solution during storage, 0.1 to 0.5% of cysteine could be added as antioxidant.

SODIUM BICARBONATE AND DISODIUM EDETATE INJECTION

Bill of Materials (Batch Size 1 L)

Scale/mL	Item	Material	Qty	UOM
40.00	mg	1	Sodium bicarbonate, USP	40.00 g
2.00	mg	2	Disodium edetate anhydrous, use disodium edetate, USP, dihydrate	2.214 g
QS		3	Nitrogen gas, NF	QS
QS		4	Carbon dioxide gas technical	QS
QS	mL	5	Water for injection, USP	QS to 1.00 L

MANUFACTURING DIRECTIONS

Note: CO₂ gas is used to maintain the bicarbonate equilibrium in solution and to avoid the formation of carbonate. Do not fill solution below room temperature as this will form excessive internal pressure after filling and sealing. Prepare the solution in a glass-lined or 316 or higher temper-grade stainless-steel tank, cleaned according to approved SOPs.

1. Preparation.
 - a. Add water for injection to ca. 90% of the final volume into the tank.

- b. Bubble CO₂ gas into the water for injection and continue CO₂ gassing throughout the process.
 - c. Add and dissolve the sodium bicarbonate and the disodium edetate with mixing.
 - d. QS with water for injection to final volume and mix for not less than 15 minutes and until solution is uniform.
 - e. Cool solution to 23°C (range 18–23°C).
 - f. Filter solution through a previously rinsed filter press and recirculate for at least 30 minutes and until solution is clear.
 - g. Filter solution through a previously rinsed filtration setup connected in series to the press, using an approved 0.45 μm or finer membrane. Collect solution in clean tank and protect with CO₂ gas by bubbling and flushing headspace.
 - h. Check and record pH (range 7.7–7.9). If pH is more than 7.9 add more CO₂ gas until pH falls within the range. If pH is less than 7.9, add N₂ gas until the pH rises to within the range.
 - i. Samples for testing.
 - j. Store at room temperature if filled within 24 hours. If held longer, store in refrigerator. *Note:* must allow to warm to room temperature before filling (range 18–23°C).
 - k. Prepare for the filling line a sterile 0.22 μm membrane filtration setup.
2. Preparation of bottles. Use type I glass bottles.
 - a. Wash and dry bottles and load into appropriate container for sterilization.
 - b. Sterilize by using dry heat at 200°C (–0, +50°C) glass temperature for 225 minutes (–0, +360 minutes). Maintain oven temperature at 225°C ($\pm 10^\circ\text{C}$) for the duration of cycle.
 - c. Deliver to the sterile filling area.
 3. Preparation of stoppers. Use West or Faultless stoppers.
 - a. Leach stoppers by boiling for 10 minutes in deionized water.
 - b. Wash stoppers in a washer by using a rubber cycle (slow tumbling) with 10 mL of Triton X-100.
 - c. Dry in a fast dryer at 55°C.
 - d. Store in suitable containers until ready for use.
 - e. Tray and inspect and rinse thoroughly. Wrap trays and identify properly.
 - f. Sterilize in a steam autoclave at 121°C for 60 minutes.
 - g. Deliver to the sterile filling area.
 4. Filling. *Note:* Check pH frequently and keep in range of 7.7 to 7.9 by increasing or decreasing CO₂ flow.
 - a. Aseptically connect tank, sterile filtration setup, and sterile surge bottle. Protect surge bottle headspace with filtered CO₂ gas.
 - b. Aseptically fill specified amount into each clean, sterile bottle.
 - c. Flush headspace with sterile CO₂ gas; apply closure and seal.
 - d. Sample for testing.

SODIUM BICARBONATE INJECTION

Bill of Materials (Batch Size 1 L)					
Scale/mL	Item	Material	Qty	UOM	
86.52	mg	1	Sodium bicarbonate, USP	86.62	g
QS	mL	2	Nitrogen gas, NF	QS	
QS	mL	3	Carbon dioxide gas technical	QS	
QS	mL	4	Water for injection, USP	QS to 1.00	L

MANUFACTURING DIRECTIONS

Note: CO₂ gas is used to maintain the bicarbonate equilibrium in solution and to avoid the formation of carbonate. Do not fill solution below room temperature as this will form excessive internal pressure after filling and sealing. Prepare the solution in a glass-lined or a 316 or higher temper-grade stainless-steel tank cleaned according to approved SOPs.

1. Preparation.
 - a. Add water for injection to ca. 90% of the final volume into the tank.
 - b. Heat the water for injection to 35°C (30–38°C) and bubble CO₂ gas into the water for injection for 30 minutes.
 - c. Add and dissolve the sodium bicarbonate with mixing.
 - d. Cool solution to 25°C (range 20–30°C).
 - e. QS with water for injection to final volume and mix for not less than 15 minutes and until solution is uniform.
 - f. Check and record pH (range 7.7–7.9). If pH is more than 7.9 add more CO₂ gas until pH falls within the range. If pH is less than 7.9, add N₂ gas until the pH rises to within the range.
 - g. Filter solution through a previously rinsed filtration setup connected in series to the press, using an approved 0.45 µm or finer membrane. Collect solution in clean tank and protect with CO₂ gas by bubbling and flushing headspace.
 - h. Sample for testing.
 - i. Prepare for the filling line a sterile 0.22 µm membrane filtration setup.
2. Preparation of bottles. Use type I 50 mL glass bottles.
 - a. Wash and dry bottles and load into appropriate container for sterilization.
 - b. Sterilize using dry heat at 200°C (–0, +50°C) glass temperature for 225 minutes (–0, +360 minutes). Maintain oven temperature at 225°C (±10°C) for the duration of cycle.
 - c. Deliver to the sterile filling area.

3. Preparation of stoppers. Use West or Faultless stoppers, butyl rubber.
 - a. Leach stoppers by boiling for 10 minutes in deionized water.
 - b. Wash stoppers in a washer by using a rubber cycle (slow tumbling) with 10 mL of Triton X-100.
 - c. Dry in a fast dryer at 55°C.
 - d. Store in suitable containers until ready for use.
 - e. Tray and inspect and rinse thoroughly. Wrap trays and identify properly.
 - f. Sterilize in a steam autoclave at 121°C for 60 minutes.
 - g. Deliver to the sterile filling area.
4. Filling. *Note:* Check pH frequently and keep in range of to 7.9 by increasing or decreasing CO₂ flow.
 - a. Aseptically connect tank, sterile filtration setup, and sterile surge bottle. Protect surge bottle headspace with filtered CO₂ gas.
 - b. Aseptically fill 52.0 mL into each clean, sterile bottle.
 - c. Flush headspace with sterile CO₂ gas, apply closure, and seal.
 - d. Sample for testing.

SODIUM CHLORIDE BACTERIOSTATIC INJECTION

Bill of Materials (Batch Size 1 L)					
Scale/mL	Item	Material	Qty	UOM	
9.00	mg	1	Sodium chloride, USP	9.00	g
20.00	mg	2	Benzyl alcohol, NF	20.00	g
QS	mL	3	Water for injection, USP	QS to 1.00	L

SODIUM CHLORIDE INJECTION

Bill of Materials (Batch Size 1 L)					
Scale/mL	Item	Material	Qty	UOM	
9.00	mg	1	Sodium chloride, NF, injectable grade, 4% overage	9.33	g
0.50	mg	2	Activated charcoal, NF	0.50	g
QS	mL	3	Water for injection, USP	QS to 1.00	L

MANUFACTURING DIRECTIONS

1. Use freshly prepared item 3 stored for NMT 24 hours at 80°C. Add item 1 to item 3 at 60°C and mix for 15 minutes.
2. Add item 2 and mix vigorously for 15 minutes.

- Filter the mixture in step 2 through a presterilized filter assembled suitable for retaining charcoal and to yield a clean solution.
- Filter using at least a 0.45 μm filter before final filtration with a 0.22 μm filter and fill into 540 mL type I glass bottles (alkalinity-free test required to prevent precipitation on storage).
- Fill 540 mL while maintaining solution at 45°C to 50°C and seal immediately by using butyl grey rubber stoppers prewashed and sterilized at 116°C for 30 minutes. Use triple aluminum seals and suitable plastic hangers.
- Sterilized filled bottle by autoclaving at 121°C for 20 minutes. Do not exceed temperature by 3°C or time by 2 minutes either side of the limit.
- Check pH of solution (range 4.0–4.3). Before autoclaving, pH is 5.5 to 6.5.

SODIUM FERRIC GLUCONATE COMPLEX IN SUCROSE INJECTION

Bill of Materials (Batch Size 1 L)

Scale/mL	Item	Material	Qty	UOM
12.50	mg	1	Elemental iron as sodium salt of a ferric ion carbohydrate complex-equivalent amount	12.50 mg
19.50	mg	2	Sucrose	195.00 g
9.00	mg	3	Benzyl alcohol	9.00 g
QS	mL	4	Water for injection, USP	QS to 1.00 L

Note: Fill 5 mL per ampoule for 62.50 mg iron; adjust the amount of item 1 based on molecular weight and iron content; pH 7.7 to 9.7.

SODIUM HYALURONATE INJECTION

Bill of Materials (Batch Size 1 L)

Scale/mL	Item	Material	Qty	UOM
10.00	mg	1	Sodium hyaluronate	10.00 g
8.50	mg	2	Sodium chloride	8.50 g
0.28	mg	3	Disodium hydrogen phosphate dihydrate	0.28 g
0.04	mg	4	Sodium dihydrogen phosphate hydrate	0.04 g
QS	mL	5	Water for injection, USP	QS to 1.00 L

Note: Fill into syringe and terminally sterilize and aseptically package.

SODIUM LACTATE COMPOUND (HARTMANN'S) INJECTION

Bill of Materials (Batch Size 1 L)

Scale/mL	Item	Material	Qty	UOM
0.27	mg	1	Calcium chloride dihydrate	0.27 g
0.40	mg	2	Potassium chloride	0.40 g
6.00	mg	3	Sodium chloride	6.00 g
3.17	mg	4	Sodium lactate, use sodium lactate 60% solution	3.17 g
QS	mL	5	Water for injection, USP	QS to 1.00 L
QS	mL	6	Hydrochloric acid dilute	QS mL

MANUFACTURING DIRECTIONS

- Add and dissolve 70% of items 5 (specific conductivity NMT 1.4 mS/cm), 3, 2, and 1 and 60% of item 4.
- Make up volume and mix well until solution is uniform.
- Check pH and adjust to 5.4 to 5.6, if necessary, with item 6.
- Filter through a 0.45 μm membrane. Perform the bubble point test before and after filling.
- Fill 545 or 1065 mL into 500- or 1 L blow-fill seal containers.
- Sterilize the product by using recirculated hot water and air overpressure. Perform complete sterilization within 12 hours of addition of first ingredient.

SODIUM THIOSULFATE INJECTION

Bill of Materials (Batch Size 1 L)

Scale/mL	Item	Material	Qty	UOM
250.00	mg	1	Sodium thiosulfate pentahydrate, 10% excess	275.00 g
QS	mL	2	Water for injection, USP	QS to 1.00 L
QS	mL	3	Hydrochloric acid for pH adjustment	QS

MANUFACTURING DIRECTIONS

- Boil item 2 in a clean, marked vessel.
- Transfer 175 mL of item 2 into a clean, marked compounding vessel.

- Add required quantity of item 1 into the compound vessel containing 175 mL of water. Stir thoroughly until a clear solution is obtained.
- QS with item 2 and mix thoroughly. Sample for testing.
- Sterile-filter through a 0.22 μm filter using a 0.45 μm prefilter and fill into type I 30 mL flint vials with 1888 gray Teflon-coated stoppers.

SOMATROPIN (RDNA ORIGIN) INJECTION (4- OR 8 MG VIALS, CA. 12 OR 24 IU)

Bill of Materials (Batch Size 1000 Vials)

Scale/mL	Item	Material	Qty	UOM
4.00	mg	1 Somatropin	4.00	g
8.80	mg	2 Glycine	8.00	g
1.30	mg	3 Disodium phosphate dihydrate	1.30	g
1.10	mg	4 Sodium dihydrogen phosphate dihydrate	1.10	g
44.00	mg	5 Mannitol	44.00	g

Note: Lyophilize in water for injection. Same formulation for 8 mg vial. Diluent is water for injection containing 1.5% benzyl alcohol.

SOMATROPIN (RDNA ORIGIN) INJECTION (5 MG/1.5 ML, 10 MG/1.5 ML, OR 15 MG/1.5 ML CARTRIDGE)

Bill of Materials (Batch Size 1.5 L)

Scale/mL	Item	Material	Qty	UOM
5.00	mg	1 Somatropin	5.00	g
1.00	mg	2 Histidine	1.00	g
4.50	mg	3 Poloxamer 188	4.50	g
4.50	mg	4 Phenol liquefied	4.50	g
60.00	mg	5 Mannitol	60.00	g
QS	mL	6 Hydrochloric acid for pH adjustment	QS	
QS	mL	7 Sodium hydroxide for pH adjustment	QS	
QS	mL	8 Water for injection, USP	QS to 1.50	L

Note: Same formulation for 10 mg dose; for 15 mg dose increase histidine to 1.7 mg and reduce mannitol to 58 mg. Each cartridge contains 1.5 mL.

STERILE WATER FOR INJECTION

Bill of Materials (Batch Size 1 L)

Scale/mL	Item	Material	Qty	UOM
QS	mL	1 Water for injection, USP	QS to 1.00	L

MANUFACTURING DIRECTIONS

Precaution: Freshly distill water for injection and do not use more than 24 hours after distillation. Store all bulk water in a refrigerator to minimize possibility of bacterial growth and in tightly closed containers to avoid absorption of CO_2 and other gases.

Note: Prepare the solution in a glass-lined or a 316 or higher temper-grade stainless steel tank.

- Preparation.
 - Add water for injection to final volume in tank.
 - Filter solution through a previously rinsed filtration setup, using an approved 0.45 μm or finer membrane and an approved prefilter.
 - Sample for testing.
- Filling. Use type I 10 mL glass ampoules, USP.
 - With a 0.22 μm membrane filtration setup, fill 10.5 mL of water for injection into each clean, dry ampoule.
 - Seal.
- Sterilization.
 - Sterilize in a steam autoclave at 115°C and an F_0 range of 8 to 18. Use water spray cooling and terminal air overpressure if available.
 - Inspect.
 - Sample for testing

STREPTOMYCIN SULFATE INJECTION

Bill of Materials (Batch Size 1 L)

Scale/mL	Item	Material	Qty	UOM
400.00	Mg	1 Streptomycin sulfate	400.00	g
12.00	mg	2 Sodium citrate dihydrate	12.00	g
2.50	mg	3 Phenol liquefied	2.50	g
2.00	mg	4 Sodium metabisulfite	2.00	g
QS	mL	5 Water for injection, USP	QS to 1.00	L

Note: pH 5.0 to 8.0; fill 2.5 mL.

SUCCINYLCOLINE CHLORIDE INJECTION: LYOPHILIZED

Bill of Materials (Batch Size 1 L)

Scale/mL	Item	Material	Qty	UOM
20.0	Mg	1 Succinylcholine chloride, USP, anhydrous	44.00 ^a	g
0.90	mg	2 Methyl paraben, NF	1.60 ^a	g
0.10	mg	3 Propyl paraben, NF	0.20 ^a	g
QS	mg	4 Sodium hydroxide, reagent-grade pellets, for pH adjustment	QS	
QS	mL	5 Hydrochloric acid, reagent-grade bottle, for pH adjustment	QS	
QS	mL	6 Water for injection, USP	QS to 1.00	L

^a 100% excess to satisfy label claim when 2.55 mL of solution is reconstituted into 5.10 mL/vial.

MANUFACTURING DIRECTIONS

Precautions: Drug is extremely poisonous. Do not inhale powder or allow chemical or its solution to come in contact with skin. Wear a mask and goggles when handling powder. Persons with abrasions about hands or exposed portions of skin cannot work with this product. Operators are warned against rubbing the face around the eyes because of the solubility in eye fluid. Solution is sensitive to heat. Store the bulk solution prior to filling in a refrigerator at 2°C to 8°C. Prepare solution in a glass-lined or a 316 or higher temper-grade stainless-steel tank cleaned according to approved plant BOPs.

1. Preparation.

- Dissolve items 2 and 3 in ca. 85% of the final volume. Heat to 95°C to 100°C.
- Cool solution to 25°C to 30°C. Add and dissolve the item 1.
- Check pH (range 4.2–4.5). If necessary, adjust pH upward with 1 N sodium hydroxide or downward with 1 N hydrochloric acid to pH 4.2. *Note:* Prepare a 1 N sodium hydroxide solution by dissolving 40 g of sodium hydroxide per liter of water for injection.
- Add water for injection to final volume and mix well.

- Filter solution through a previously rinsed filtration setup, using an approved 0.45 µm or finer membrane and an approved prefilter. Filter into clean glass bottles or a holding tank.
 - Sample for testing.
 - Store bulk solution in refrigerator at 2°C to 8°C until ready to fill.
 - Prepare for the filling line a sterile 0.22 µm membrane filtration setup.
- #### 2. Preparation of bottles. Use type I or type II 5 mL glass bottles.
- Wash and dry bottles and load in appropriate containers for sterilization.
 - Sterilize by using dry heat at 200°C (–0, +50°C) bottle temperature for 225 minutes (–0, +360 minutes). Maintain oven temperature at 225°C (+10°C) for the duration of the cycle.
 - Deliver to the sterile filling area.
- #### 3. Preparation of stoppers. Use West or Faultless stoppers.
- Leach stoppers by boiling for 10 minutes in deionized water.
 - Wash stoppers in washer.
 - Dry in a fast dryer at 55°C.
 - Store in a suitable container until ready for use.
 - Tray and inspect and rinse thoroughly. Wrap trays and identify.
 - Sterilize in a steam autoclave at 121°C for 60 minutes.
 - Deliver to the sterile filling area.
- #### 4. Filling.
- Connect tank, sterile filtration setup, and sterile surge bottle by aseptic technique.
 - Aseptically fill 2.55 mL of solution into each sterile bottle.
 - Sample for testing.
 - Place filled bottles into sterile metal trays and cover with sterile covers.
 - Place trays in close cabinet truck until ready for freezing (must be frozen within 8 hours).
 - Freeze at –50°C for 4.5 hours and lyophilize for 60 hours to less than 10% moisture. (Do not allow temperature to rise higher than –45°C.)
 - On completion of lyophilization, immediately stopper aseptically.
 - Sample for testing.
 - Cap bottles with aluminum seals.
- #### 5. Finishing. Sample for final testing.

SUCCINYLCHOLINE CHLORIDE INJECTION: AMPOULE

Bill of Materials (Batch Size 1 L)

Scale/mL	Item	Material	Qty	UOM
50.00	mg	1 Succinylcholine chloride, USP	50.00	g
QS	mL	2 Sodium hydroxide for pH adjustment	QS	
QS	mL	3 Hydrochloric acid for pH adjustment	QS	
QS	mL	4 Water for injection, USP	QS to 1.00	L

MANUFACTURING DIRECTIONS

Caution: extremely poisonous drug. Take all precautions against exposure. Solution sensitive to heat; keep bulk refrigerated. Prepare solution in a glass-lined or stainless-steel tank.

1. Add 0.9 L of item 4 into tank. Add and dissolve item 1 with mixing. Mix well.
2. Make up volume with item 4.
3. Check and adjust pH 3.0 to 4.5; adjust with item 2 or 3, if necessary.
4. Circulate solution through a filter press precoated with activated carbon.
5. Check pH and adjust as in step 3, if necessary.
6. Filter solution by using a 0.45 μm prefilter and a 0.22 μm membrane filter into a sterile surge bottle.
7. Aseptically fill 10.2 mL (10 mL claim).
8. Sample for final testing.

SUCCINYLCHOLINE CHLORIDE INJECTION: VETERINARY NONSTERILE

Bill of Materials (Batch Size 1 L)

Scale/mL	Item	Material	Qty	UOM
20	mg	1 Succinylcholine chloride, USP	20.00	g
0.35	mg	2 Methyl paraben, USP	0.35	
0.175	mg	3 Propyl paraben, USP	0.175	mg
QS	mL	4 Water for injection, USP	QS to 1.00	L
QS	mL	5 Hydrochloric acid for pH adjustment	QS	

SULFADIMETHOXINE VETERINARY INJECTABLE SOLUTION (2.5% = 250 MG/10 ML)

FORMULATION

- I. Sulfadimethoxine, 5 g; ethanol 96%, 40 mL; propylene glycol [1], 40 mL.
- II. Kollidon 12 PF [1], 70 g; antioxidant, QS; water for injectables, QS, add 200 mL.

MANUFACTURING DIRECTIONS

1. Mix solution I slowly with solution II at 60°C and cool.

SULFADOXINE + TRIMETHOPRIM VETERINARY INJECTABLE SOLUTION (1000 MG + 200 MG/10 ML)

FORMULATION

Sulfadoxine, 2.0 g; trimethoprim, 10.0 g; Soluphor P [1], 56.0 g; water for injectables, 29.0 g; sodium hydroxide, QS.

MANUFACTURING DIRECTIONS

1. Dissolve sulfadoxine and trimethoprim in Soluphor P, add the water, and set to pH 8.5 with sodium hydroxide.

SULFADOXINE SOLUTION (2% = 20 MG/ML)

FORMULATION

- I. Sulfadoxine, 2.0 g; Lutrol E 400 [1], 68.0 g.
- II. Preservative, QS; water, 30.0 g.

MANUFACTURING DIRECTIONS

1. Prepare solution I at 60°C.
2. Heat the solution II to the same temperature and mix slowly with solution I.

SULFAMOXOLE + TRIMETHOPRIM VETERINARY INJECTABLE SOLUTION (400 MG + 80 MG/10 ML)

FORMULATION

Sulfamoxole, 4.0 g; trimethoprim, 0.8 g; Kollidon 12 PF [1], 30.0g; paraben, 0.2 g; sodium sulfite or cysteine, 0.4 g; propylene glycol [1], 10.0 g; water for injectables, 44.6 g; ethanol, 10.0g.

MANUFACTURING DIRECTIONS

1. Dissolve Kollidon, paraben, sodium sulfite (or cysteine) in the mixture of water and propylene glycol, heat, add the active ingredients, and stir until they are dissolved.
2. Add ethanol, cool, and sterilize.

SULFATHIAZOLE VETERINARY INJECTABLE AND ORAL SOLUTIONS (0.8% = 8 MG/ML)

FORMULATIONS

Injectable oral solutions.

MANUFACTURING DIRECTIONS

1. Dissolve Kollidon and sulfathiazole at 70°C in water and cool slowly to room temperature.
2. Sterilization of the injectable solution can be done by filtration through a 0.2 µm filter.

SUMATRIPTAN SUCCINATE INJECTION

Bill of Materials (Batch Size 1 L)

Scale/mL	Item	Material	Qty	UOM	
12.00	mg	1	Sumatriptan base as succinate salt equivalent	16.75	g
7.00	mg	2	Sodium chloride	7.00	g
QS	mL	3	Water for injection, USP	QS to 1.00	L

Note: Fill 0.5 mL; pH 4.2 to 5.3

TENECTEPLASE FOR INJECTION

Bill of Materials (Batch Size 1000 Vials)

Scale/mL	Item	Material	Qty	UOM	
50.00	mg	1	Tenecteplase, 5% excess	52.50	g
0.55	g	2	L-Arginine	0.55	kg
0.17	g	3	Phosphoric acid	0.17	kg
4.30	mg	4	Polysorbate 80	4.30	g

Note: Dissolve in water for injection and lyophilize appropriate volume. Product under partial vacuum.

TESTOSTERONE SUSPENSION INJECTION

Bill of Materials (Batch Size 1 L)

Scale/mL	Item	Material	Qty	UOM	
25.00	mg	1	Testosterone, NF	25.00	g
1.00	mg	2	Carboxymethylcellulose sodium, USP	1.00	g
1.00	mg	3	Sodium phosphate, USP	1.00	g
9.00	mg	4	Sodium chloride, USP	9.00	g
1.10	M	5	Benzalkonium chloride 50%, USP	1.10	M
QS	mL	6	Water for injection, USP	QS to 1.00	L

Note: Use different fill volumes for different strengths.

TESTOSTERONE CYPIONATE INJECTION

Bill of Materials (Batch Size 1 L)

Scale/mL	Item	Material	Qty	UOM	
100.00	mg	1	Testosterone cypionate, USP	100.00	g
9.00	mg	2	Benzyl alcohol, NF	9.00	g
200.00	mg	3	Benzyl benzoate, USP	200.00	g
QS	mg	4	Cottonseed oil, USP	QS to 1.00	L

Note: Use different amounts of item 1 for different strengths.

TESTOSTERONE ENANTHATE–ESTRADIOL VALERATE INJECTION

Bill of Materials (Batch Size 1 L)

Scale/mL	Item	Material	Qty	UOM	
90.00	mg	1	Testosterone enanthate, USP	90.00	g
4.00	mg	2	Estradiol valerate, USP	4.00	g
20.00	mg	3	Benzyl alcohol, NF	20.00	g
QS	mL	4	Sesame oil, USP	QS to 1.00	L

Note: Use same formulation for 180 mg dose.

TESTOSTERONE ENANTHATE INJECTION

Bill of Materials (Batch Size 1 L)					
Scale/mL	Item	Material	Qty	UOM	
200.00	mg	1	Testosterone enanthate	200.00	g
5.00	mg	2	Chlorobutanol	5.00	g
QS	mg	3	Sesame oil purified	QS to 1.00	L

Note: Fill 5 mL into each syringe; terminally sterilized

TESTOSTERONE REPOSITORY
VETERINARY INJECTION

Bill of Materials (Batch Size 1 L)					
Scale/mL	Item	Material	Qty	UOM	
25.00	mg	1	Testosterone propionate, USP	25.00	g
150.00	mg	2	Benzyl alcohol, NF	150.00	g
150.00	mg	3	Ethyl alcohol, USP	150.00	g
450.00	mg	4	Propylene glycol, USP	450.00	g
QS	mL	5	Water for injection, USP	QS to 1.00	L

TESTOSTERONE PROPIONATE INJECTION

Bill of Materials (Batch Size 1 L)					
Scale/mL	Item	Material	Qty	UOM	
25.00	mg	1	Testosterone propionate, USP	25.00	g
20.00	mg	2	Benzyl alcohol, NF	20.00	g
QS	mg	3	Sesame oil, USP	QS to 1.00	L

Note: Fill 2 mL for 50 mg strength.

TESTOSTERONE PROPIONATE INJECTION

Bill of Materials (Batch Size 1 L)					
Scale/mL	Item	Material	Qty	UOM	
100.00	mg	1	Testosterone propionate, USP	100.00	g
60.00	mg	2	Ethanol, USP	60.00	g
20.00	mg	3	Benzyl alcohol, NF	20.00	g
QS	mg	4	Sesame oil, USP	QS to 1.00	L

TETRAHYDROZOLINE OPHTHALMIC DROPS

Bill of Materials (Batch Size 1 L)					
Scale/mL	Item	Material	Qty	UOM	
17.20	mg	1	Boric acid	17.20	g
1.50	mg	2	Hydroxypropylmethylcellulose 2910, 4000 cps	1.50	g
0.40	mg	3	Borax (sodium borate) powder	1.00	g
0.50	mg	4	Tetrahydrozoline hydrochloride	0.50	g
0.585	mL	5	Benzalkonium chloride solution 17%, 7% excess	0.63	mL
QS	mL	6	Water for injection, USP	QS to 1.00	L

MANUFACTURING DIRECTIONS

Note: Use thoroughly cleaned and rinsed steam-jacketed, glass-lined tank or stainless-steel tank (No. 304 or better) equipped with a speed-controlled agitator; tank should have a cover. Foaming occurs because of benzalkonium chloride, which concentrates in foam. Processing and filling systems should be designed to minimize foaming and allow rapid dissipation of foaming.

- Bulk solution.
 - Charge 80% of final volume of water into mixing tank.
 - If using methylcellulose, heat deionized water to 90°C. While agitating, add and disperse methylcellulose by slowly sprinkling onto the surface of solution. Mix to avoid excessive foaming. Allow 15 minutes for hydration of methylcellulose before discontinuing heating and allowing to cool to 40°C.
 - While agitating, add and dissolve disodium edetate, benzalkonium chloride, boric acid, sodium borate, and tetrahydrozoline and continue cooling to 25°C. Discontinue agitation and QS to 1 L with deionized water. Sample.
- Prefiltration. Methylcellulose solutions filter at a slow rate. Recirculate solution until clear and transfer to holding or sterilization.
- Sterilization and filling. Use either heat sterilization or sterile filtration. In heat sterilization, sterilize at 112°C to 115°C for 60 minutes. Cool the solution to 25°C to 30°C and aseptically add the sterile naphazoline solution and mix well. Set up a previously sterilized filter and transfer line with a 10 µm stainless-steel FulFlo filter or equivalent. Aseptically fill sterile solution into sterilized containers and apply sterile closure components. Sample. In sterile filtration, use appropriate Pall cartridge with Sartorius cartridge. Prepare and steam-sterilize the recommended filter units, aseptically fill the sterilize solution into each sterilized container, and apply sterile closure. Sample.

THEOPHYLLINE INJECTABLE SOLUTION (4% = 200 MG/5 ML)

FORMULATION

Theophylline (Knoll), 2 g; Kollidon 12 PF [1], 15 g; propylene glycol [1], 10 g; preservative, QS; antioxidant, QS; water for injectables, add 50 g.

MANUFACTURING DIRECTIONS

Dissolve Kollidon 12 PF and the preservative/antioxidant in water and add theophylline to the well-stirred solution.

THEOPHYLLINE AND DEXTROSE INJECTION

Bill of Materials (Batch Size 1 L)

Scale/mL	Item	Material	Qty	UOM	
0.40	mg	1	Theophylline powder, USP	0.40	g
50.00	mg	2	Dextrose monohydrate, USP	50.00	g
QS	mL	3	Water for injection, USP	QS to 1.00	L
QS		4	Nitrogen gas, NF	QS	

Note: The amount of theophylline (item 1) to be changed for 0.8, 1.6, 2.0, and 4.0 mg/mL labeled quantity; the amount of item 2 does not change. The product is intended for IV infusion and packaged in containers of different sizes.

MANUFACTURING DIRECTIONS

1. Add ca. 95% of the final volume of item 3 into a glass-lined or 316 or higher temper-grade stainless-steel tank.
2. Bubble N₂ gas through the water and maintain N₂ gas protection throughout the remainder of the solution preparation.
3. Add and dissolve item 1 with mixing.
4. Add and dissolve item 2 with mixing.
5. QS with item 3 to the final volume and mix until the solution is uniform.
6. Filter solution with a prefilter.
7. Filter solution through a 0.45 μm or finer membrane filter.
8. Fill correct volume with 3% overage into each flexible container.
9. Seal, overwrap, and autoclave 121°C for 30 minutes.
10. Sample for final testing.

THIAMINE HYDROCHLORIDE INJECTION: UNBUFFERED

Bill of Materials (Batch Size 1 L)

Scale/mL	Item	Material	Qty	UOM	
100.00	mg	1	Thiamine hydrochloride, USP, 5% excess	105.00	g
5.00	mg	2	Chlorobutanol	5.00	g
QS	mL	3	Water for injection, USP	QS to 1.00	L

MANUFACTURING DIRECTIONS

1. Measure ca. 0.7 L of the final volume of item 3 into an appropriate clean and identified tank.
2. Add item 1 into the mixing tank and mix until a clear solution is obtained.
3. Add item 2 into the mixing tank and mix until a clear solution is obtained.
4. Bring the final volume with item 3.
5. Check pH to 2.5 to 4.5.
6. Sample for testing.
7. Sterile-filter through a 0.22 μm membrane disc filter with a 0.45 μm prefilter into an appropriate container.
8. Sterilize 30 mL flint vials at 220°C for 240 minutes; use gray stoppers.

In the next four formulations, a 5 to 10% stability excess can be added.

THIAMINE HYDROCHLORIDE INJECTION: WITH CITRIC ACID AND GELATIN

Bill of Materials (Batch Size 1 L)

Scale/mL	Item	Material	Qty	UOM	
25.00	mg	1	Thiamine HCl, USP	25.00	g
0.25	mg	2	Citric acid, USP	0.25	g
40.00	mg	3	Gelatin, USP	40.00	g
15.00	mg	4	Benzyl alcohol	15.00	g
QS	mL	5	Water for injection, USP	QS to 1.00	L
QS	mL	6	Sodium hydroxide for pH adjustment	QS	

THIAMINE HYDROCHLORIDE INJECTION: BUFFERED

Bill of Materials (Batch Size 1 L)

Scale/mL	Item	Material	Qty	UOM	
25.00	mg	1	Thiamine HCl, USP	25.00	g
52.50	mg	2	L-Glutamic acid (Buffer)	52.50	mg
5.00	mg	3	Chlorobutanol anhydrous, USP	5.00	g
QS	mL	4	Water for injection, USP	QS to 1.00	L
QS	mL	5	Sodium hydroxide for pH adjustment	QS	

THIAMINE HYDROCHLORIDE INJECTION: WITH SODIUM FORMALDEHYDE SULFOXYLATE

Bill of Materials (Batch Size 1 L)

Scale/mL	Item	Material	Qty	UOM	
100.00	mg	1	Thiamine HCl, USP	100.00	g
1.00	mg	2	Sodium formaldehyde sulfoxylate, NF	1.00	g
15.00	mg	3	Benzyl alcohol, NF	15.00	g
QS	mL	4	Water for injection, USP	QS to 1.00	L
QS	mL	5	Sodium hydroxide for pH adjustment	QS	

THIAMINE HYDROCHLORIDE INJECTION: BUFFERED AND GELATIN

Bill of Materials (Batch Size 1 L)

Scale/mL	Item	Material	Qty	UOM	
25.00	mg	1	Thiamine HCl	25.00	g
52.50	mg	2	L-Glutamic acid	52.50	mg
40.00	mg	3	Gelatin, USP	40.00	g
5.00	mg	4	Chlorobutanol anhydrous	5.00	g
QS	mL	5	Water for injection, USP	QS to 1.00	L

THIOPENTAL SODIUM FOR INJECTION

Bill of Materials (Batch Size 1000 Ampoules)

Scale/mL	Item	Material	Qty	UOM	
500.0	mg	1	Thiopental sodium, sodium carbonate mixture FMU sterilized	500.00	g
QS	mL	2	Nitrogen gas, NF	QS	

MANUFACTURING DIRECTIONS

Caution: Use of CO₂ in place of N₂ may cause precipitation that may not be detectable; use of N₂ is thus preferred. Deliver item 1 in air-tight, sterile glass containers only. Pentothal sodium is sensitive to moisture and CO₂. This powder is sterile and must be handled aseptically in a dry, dust-free atmosphere. Minimize the time between filling and sealing the primary container. Relative humidity (RH) should preferably be less than 25% at 27°C; however, actual RH requirements will depend on the type of filling equipment and other process parameters. RH up to 45% at 25°C may be used. Avoid inhaling vapors. Protect bulk material from prolonged exposure to CO₂ and humidity. Aseptically flush exposed bulk containers with sterile N₂ gas and release.

- Preparation.
 - Record details of the drug used.
 - Wipe outer surface of each bottle with 3A alcohol and deliver immediately to sterile area.
 - Sample for testing.
- Preparation of ampoules. Use type I, II, or III glass ampoules.
 - Wash and dry ampoule and load into appropriate containers for sterilization.
 - Sterilize by using dry heat at 200°C (–0, +50°C) ampoule temperature for 225 minutes (–0, +360 minutes). Maintain oven temperature at 225°C (±10°C) for the duration of the cycle. *Note:* This cycle or a cycle providing equivalent heat input may be used.
 - Deliver to sterile filling area.
- Filling.
 - Sterile-fill 500 mg of powder into each clean, dry sterile ampoule. Seal ampoule. Remove from sterile area and pack into bulk containers, labeling each container with product lot number.
 - Sample for testing.
 - Sterile-fill powder equivalent to 0.5 g at a factor of 1.0 into each clean, dry sterile ampoule.
 - Seal ampoule.

THIOTEPA FOR INJECTION**Bill of Materials (Batch Size 1000 Vials)**

Scale/mL	Item	Material	Qty	UOM
15.00	mg	1	Thiotepa	15.00 g
0.03	mg	2	Sodium carbonate	2.00 g

Note: Dissolve in adequate amount of water for injection and lyophilize; reconstituted solution has pH of 6.5 to 8.1. Drug unstable in alkaline media.

THIOTHIXENE HYDROCHLORIDE INJECTION**Bill of Materials (Batch Size 1000 Vials)**

Scale/mL	Item	Material	Qty	UOM
5.00	mg	1	Thiothixene hydrochloride, 10% excess	5.50 g
59.60	mg	2	Mannitol	65.00 g
2.20	mL	3	Water for injection	2.20 mL

Note: Reconstitute with 2.2 mL of water for injection to give above concentration.

THYROTROPIN-ALPHA FOR INJECTION**Bill of Materials (Batch Size 1 L)**

Scale/mL	Item	Material	Qty	UOM
1.10	mg	1	Thyrotropin alpha	1.10 g
36.00	mg	2	Mannitol	36.00 g
5.10	mg	3	Sodium phosphate	5.10 g
2.40	mg	4	Sodium chloride	2.40 g
1.20	mL	5	Water for injection, USP	QS to 1.20 L

Note: Reconstituted lyophilized solution has pH around 7.0 and concentration of item 1 is 0.90 mg/mL.

TIMOLOL OPHTHALMIC SOLUTION**Bill of Materials (Batch Size 1 L)**

Scale/mL	Item	Material	Qty	UOM
2.50	mg	1	Timolol as timolol hemihydrate	2.56 g
QS	mg	2	Monosodium phosphate dihydrate to adjust pH	QS
QS	mg	3	Disodium phosphate dihydrate to adjust pH	QS
0.10	mg	4	Benzalkonium chloride	0.10 g
QS	mL	5	Water for injection, USP	QS to 1.00 L

Note: Adjust pH to 6.5 to 7.5 with item 2 or 3. For 0.5% label use twice the amount of item 1.

TINZAPARIN SODIUM INJECTION**Bill of Materials (Batch Size 1 L)**

Scale/mL	Item	Material	Qty	UOM
40,000	IU	1	Tinzaparin sodium	40 MM IU
10.00	mg	2	Benzyl alcohol	10.00 g
3.10	mg	3	Sodium metabisulfite	3.10 g
QS	mL	4	Sodium hydroxide for pH adjustment	QS
QS	mL	5	Water for injection, USP	QS to 1.00 L

Note: Adjust pH to 5.0 to 7.5 with item 4.

TIROFIBAN HYDROCHLORIDE INJECTION**Bill of Materials (Batch Size 1 L)**

Scale/mL	Item	Material	Qty	UOM
0.050	mg	1	Tirofiban as tirofiban hydrochloride monohydrate	56.18 mg
45.00	mg	2	Sodium chloride	45.00 g
0.54	mg	3	Sodium citrate dihydrate	0.54 g
0.16	mg	4	Citric acid anhydrous	0.16 g
QS	mL	5	Hydrochloric acid for pH adjustment	QS
QS	mL	6	Sodium hydroxide for pH adjustment	QS
QS	mL	7	Water for injection, USP	QS to 1.00 L

Note: Fill 250 or 500 mL into plastic container; concentrate filled in 25 mL size with adjusted amounts; adjust pH to 5.5 to 6.5 with item 5 or 6.

TOBRAMYCIN SOLUTION FOR INHALATION**Bill of Materials (Batch Size 1 L)**

Scale/mL	Item	Material	Qty	UOM
60.00	mg	1 Tobramycin	60.00	g
2.25	mg	2 Sodium chloride	2.25	g
QS	mL	3 Hydrochloric acid for pH adjustment	QS	
QS	mL	4 Sodium hydroxide for pH adjustment	QS	
QS	ft ³	5 Nitrogen gas	QS	
QS	mL	6 Water for injection, USP	QS to 1.00	L

Note: Fill 5mL into a single-use ampoule; adjust pH to 6.0 with item 3 or 4. Provide item 5 cover throughout with pre- and postfill flush.

TOBRAMYCIN SULFATE INJECTION**Bill of Materials (Batch Size 1 L)**

Scale/mL	Item	Material	Qty	UOM
40.00	mg	1 Tobramycin base, USP	10.00	g
2.92	mg	2 Sodium metabisulfite, NF	2.92	g
0.10	mg	3 Disodium edetate, USP, use disodium edetate, USP, dihydrate	0.11	g
20.98	mg	4 Sulfuric acid, reagent-grade bottle	20.98	g
QS		5 Sodium hydroxide, reagent-grade bottle ^a	QS	
QS		6 Sulfuric acid, reagent-grade bottle ^a	QS	
QS	mL	7 Water for injection, USP	QS to 1.00	L

^a For pH adjustment, if necessary, to be used as 1 N sodium hydroxide solution, freshly prepared, by mixing 40 g of item 5 with sufficient water for injection to make 1000 mL. Use 10% sulfuric acid solution, freshly prepared, by adding 100 g or 57 mL of item 6 to sufficient water for injection to make 1000 mL.

MANUFACTURING DIRECTIONS

1. Preparation of water.

- Obtain a sample from the water for injection source to be used for solution preparation and verify that it meets a conductivity limit of NMT 3 mS/cm and pH range of 5 to 7.
- Test the rinse draining from the tank for conductivity and oxidizable substances prior to batch preparation.

2. Preparation of solution.

- Add 1.1 L water for injection to a suitable tank. Sparge the water with filtered N₂ gas for not less than 30 minutes. Alternatively, heat the water to not less than 70°C and then cool to 25°C (range 20–30°C) while sparging with filtered N₂ gas.
 - Transfer ca. 200 mL of this water for injection into another covered tank for use in step 2e. Protect the tank headspace with filtered N₂ gas.
 - Continue N₂ sparging the bulk water for injection. While mixing with gentle agitation, add and dissolve disodium edetate, sodium metabisulfite, sulfuric acid, and tobramycin. Mix for not less than 20 minutes.
 - Check and record pH. Adjust, if necessary, to pH 5.5 (range 5.5–6.0) with 10% sulfuric acid solution or 1 N sodium hydroxide solution. Mix thoroughly.
 - Make up to 1 L with N₂-saturated water for injection cooled to ambient temperature from step 2b.
 - Recheck and record pH. If necessary, readjust to pH 5.5 (range 5.3–6.0) as in step 2d.
 - Sample for testing. Discontinue N₂ sparging and switch to N₂ gas protection of tank headspace. If the bulk solution does not meet the in-process specifications, make the necessary adjustment to the batch based on the results of testing.
 - Prior to filtering the solution, flush the lines, filters, and the glass-lined or 316 or higher temperature stainless-steel holding tank with filtered N₂ gas. Filter the solution through a previously rinsed filtration setup, using an approved 0.22 µm (or finer) membrane filter with an approved prefilter into the holding tank. Protect the headspace of the holding tank with filtered N₂ gas.
3. Filling. Use type 12 mL glass ampoules.
- Fill specified amount into each clean, dry ampoule.
 - Flush the headspace with filtered N₂ gas and seal the ampoule.
 - Inspect.
 - Sample for testing.
4. Sterilization. Steam-sterilize at 115°C and an F₀ of 8. Use product hold cycle, water spray cooling, and terminal overpressure.

TOBRAMYCIN SULFATE INJECTION**Bill of Materials (Batch Size 1 L)**

Scale/mL	Item	Material	Qty	UOM
10.00	mg	1	Tobramycin base, USP	10.00 g
2.92	mg	2	Sodium metabisulfite, NF	2.92 g
0.10	mg	3	Disodium edetate, USP, use disodium edetate, USP, dihydrate	0.11 g
5.24	mg	4	Sulfuric acid	5.24 g
QS		5	Sodium hydroxide ^a	QS
QS		6	Sulfuric acid ^a	QS
QS	mL	7	Water for injection, USP	QS to 1.00 L

^a For pH adjustment, if necessary, to be used as 1 N sodium hydroxide solution, freshly prepared, by mixing 40 g of item 5 with sufficient water for injection to make 1000 mL. Use 10% sulfuric acid solution, freshly prepared, by adding 100 g or 57 mL of item 6 to sufficient water for injection to make 1000 mL.

TOPOTECAN HYDROCHLORIDE FOR INJECTION**Bill of Materials (Batch Size 1 L)**

Scale/mL	Item	Material	Qty	UOM
0.80	mg	1	Topotecan as toptecan hydrochloride	0.866 g
9.60	mg	2	Mannitol	9.60 g
4.00	mg	3	Tartaric acid	4.00 g
QS	mL	4	Hydrochloric acid for pH adjustment	QS
QS	mL	5	Sodium hydroxide for pH adjustment	QS
QS	mL	6	Water for injection, USP	QS to 1.00 L

Note: Adjust pH to 2.5 to 3.5 with item 4 or 5. Fill 5 mL and lyophilize.

TRACE ELEMENT CONCENTRATE INJECTION: (1- OR 10 ML VIAL)**Bill of Materials (Batch Size 1 L)**

Scale/mL	Item	Material	Qty	UOM
1.54	mg	1	Manganese sulfate monohydrate	1.54 g
3.93	mg	2	Copper sulfate pentahydrate	3.93 g
21.99	mg	3	Zinc sulfate heptahydrate	21.99 g
51.25	mg	4	Chromium chloride hexahydrate	51.25 mg
QS	mL	5	Water for injection, USP	QS to 1.00 L
QS	mL	6	Sodium hydroxide for pH adjustment	QS
QS	mL	7	Sulfuric acid for pH adjustment	QS

Note: pH 1.5 to 2.5.

TRACE ELEMENT CONCENTRATE INJECTION (3- OR 10 ML VIAL)**Bill of Materials (Batch Size 1 L)**

Scale/mL	Item	Material	Qty	UOM
0.308	mg	1	Manganese sulfate monohydrate	0.308 g
1.57	mg	2	Copper sulfate pentahydrate	1.57 g
4.39	mg	3	Zinc sulfate heptahydrate	4.39 g
20.5	mg	4	Chromium chloride hexahydrate	20.50 mg
QS	mL	5	Water for injection, USP	QS to 1.00 L
QS	mL	6	Sodium hydroxide for pH adjustment	QS
QS	mL	7	Sulfuric acid for pH adjustment	QS

TRANEXAMIC ACID INJECTION**Bill of Materials (Batch Size 1 L)**

Scale/mL	Item	Material	Qty	UOM
50.00	g	1	Tranexamic acid	50.00 g
QS	mL	2	Water for injection, USP	QS to 1.00 L
QS	ft ³	3	Nitrogen gas, NF	QS ft ³

MANUFACTURING DIRECTIONS

- Put approximately 0.9 L of item 2 into a stainless-steel vessel, boil it for 10 minutes, and cool to room temperature.
- Add item 1, stir to dissolve, and make up the volume.
- Check pH (7.2–7.7)
- Filter through previously sterilized filtration assembly by using a 0.22 µm membrane filter into a pre-sterilized receiving vessel. Perform the bubble point test before and after filtration.
- Sterile-fill into sterilized ampoules 5.3 mL of solution through sintered glass and seal.
- Sample.
- Sterilize in autoclave at 115°C for 30 minutes.
- Sample for leak test, optical check, and complete specification testing.

TRASTUZUMAB FOR INJECTION**Bill of Materials (Batch Size 1 L)**

Scale/mL	Item	Material	Qty	UOM
44.00	mg	1	Trastuzumab	44.00 g
0.99	mg	2	L-Histidine hydrochloride	0.99 g
0.64	mg	3	L-Histidine	0.64 g
40.00	mg	4	Alpha, Alpha-trehalose dihydrate	40.00 g
11.00	mg	5	Benzyl alcohol	11.00 g
QS	mL	6	Water for injection, USP	QS to 1.00 L

Note: Fill 10 mL per vial and lyophilize. Reconstitute with 20 mL water for injection for item 1 concentration of 21 mg/mL; pH ca. 6.0.

TRIAMCINOLONE ACETONIDE SUSPENSION INJECTION**Bill of Materials (Batch Size 1 L)**

Scale/mL	Item	Material	Qty	UOM
40.00	mg	1	Triamcinolone acetoneide, USP	40.00 g
0.40	mg	2	Polysorbate 80, USP	0.40 g
9.00	mg	3	Sodium chloride, USP	9.00 g
7.50	mg	4	Carboxymethylcellulose sodium, USP	7.50 g
9.00	mg	5	Benzyl alcohol, NF	9.00 g
QS	mL	6	Water for injection, USP	QS to 1.00 L
QS	mL	7	Sodium acetate for buffering	QS
QS	mL	8	Glacial acetic acid for buffering; see item 7	QS

TRIFLUPROMAZINE HYDROCHLORIDE INJECTION**Bill of Materials (Batch Size 1 L)**

Scale/mL	Item	Material	Qty	UOM
10.00	mg	1	Triflupromazine as hydrochloride salt and 5% excess	11.30 g
1.00	mg	2	Sodium acetate	1.00 g
0.0012	mL	3	Glacial acetic acid	1.20 mL
1.00	mg	4	Sodium metabisulfite	1.00 g
QS		5	Nitrogen gas, NF	QS
QS	mL	6	Water for injection, USP	QS to 1.00 L

MANUFACTURING DIRECTIONS

Note: The preparation is light sensitive. Protect and provide N₂ cover throughout.

- In an appropriate 316 or higher temper-grade stainless-steel vessel, take 1 L of freshly boiled item 6 and purge with item 5 for 20 minutes.
- Add item 1 to ca. 0.9 L of item 6 as prepared in step 1.
- Add items 2 and 3.
- Check pH to 4.5 to 5.2; do not adjust.
- Filter through a 0.45 µm prefilter and a 0.22 µm filter into a sterilized staging vessel.
- Fill 1.1 mL into sterilized amber ampoule (200°C for 4 hours) with pre- and postflush of item 5.
- Autoclave filled ampoules at 121°C for 30 minutes.
- Sample for testing.

TRIFLUPROMAZINE HYDROCHLORIDE INJECTION**Bill of Materials (Batch Size 1 L)**

Scale/mL	Item	Material	Qty	UOM
10.00	mg	1	Triflupromazine and hydrochloride	10.80 g
15.00	mg	2	Benzyl alcohol, NF	15.00 g
3.60	mg	3	Sodium chloride, NF	3.60 g
QS		4	Nitrogen gas, NF	QS
QS	mL	5	Water for injection, USP	QS to 1.00 L

MANUFACTURING DIRECTIONS

Note: The preparation is light sensitive. Protect and provide N₂ cover throughout.

- Take 0.76 L of freshly distilled and boiled item 5 and flush with item 4 for 20 minutes.

2. Add item 3 to step 1 and stir to dissolve.
3. Add item 2 to step 2 and stir to dissolve.
4. Add item 1 to step 3 and stir to dissolve and make up volume.
5. Check pH to 4.1 to 4.3; do not adjust.
6. Filter through a 0.45 μm prefilter and a 0.22 μm filter into a sterilized staging vessel.
7. Fill 1.1 mL into a sterilized amber ampoule (200°C for 4 hours) with pre- and postflush of item 5.
8. Autoclave filled ampoules at 121°C for 30 minutes.
9. Sample for testing.

TRIFLUPROMAZINE HYDROCHLORIDE INJECTION

Bill of Materials (Batch Size 1 L)

Scale/mL	Item	Material	Qty	UOM
1.00	mg	1 Trifluoperazine as trifluoperazine hydrochloride	1.085	g
4.75	mg	2 Sodium tartarate	4.75	g
11.60	mg	3 Sodium biphosphate	11.60	g
0.30	mg	4 Sodium saccharin	0.30	g
7.50	mg	5 Benzyl alcohol	7.50	g
QS	mL	6 Water for injection, USP	QS to 1.00	L

Note: Fill 10 mL multidose vial.

TRIPLENNAMINE HYDROCHLORIDE INJECTION VETERINARY

Bill of Materials (Batch Size 1 L)

Scale/mL	Item	Material	Qty	UOM
20.00	mg	1 Tripeleppamine HCl, USP	20.00	g
5.00	mg	2 Chlorobutanol anhydrous USP	5.00	g
QS	mL	3 Water for injection, USP	QS to 1.00	L
QS	mL	4 Hydrochloric acid for pH adjustment	QS	

TUBOCURARINE CHLORIDE INJECTION

Bill of Materials (Batch Size 1 L)

Scale/mL	Item	Material	Qty	UOM
9.00	mg	1 Benzyl alcohol, NF	9.00	g
1.00	mg	2 Sodium metabisulfite, NF	1.00	g
3.00	mg	3 Tubocurarine chloride, USP	3.00	g
8.00	mg	4 Sodium chloride, USP	8.00	g
1.00	mg	5 Citric acid anhydrous powder, USP	1.00	g
0.30	mg	6 Sodium citrate dihydrate, USP	0.30	g
2.00	mg	7 Activated charcoal, USP ^a	2.00	g
QS		8 Nitrogen gas, NF	QS	
QS	mL	9 Water for injection, USP	QS to 1.00	L

^a If necessary to remove color from solution.

MANUFACTURING DIRECTIONS

1. Prepare the solution in a glass-lined or 316 stainless-steel tank.
2. Add water for injection to ca. 90% of the final volume into the tank. Begin bubbling N₂ gas into water.
3. Add and dissolve, in order, benzyl alcohol, sodium metabisulfite, citric acid, sodium citrate, tubocurarine chloride, and sodium chloride with mixing.
4. QS to final volume with N₂-saturated water for injection and mix until all ingredients are dissolved and solution is uniform.
5. Check APHA color. The range should not exceed 15 APHA units. Use activated charcoal if necessary.
6. Check and record pH and adjust to 2.5 to 4.9 (final limit 2.5–5.0).
7. Aseptically filter the solution through a 0.22 μm or finer membrane.
8. Aseptically fill solution into type I glass vials with gray butyl rubber stoppers and flip-off cap.
9. Label and finish product.

TYPHOID VI POLYSACCHARIDE VACCINE

Bill of Materials (Batch Size 1 L)					
Scale/mL	Item	Material	Qty	UOM	
25.00	mg	1 Purified Vi polysaccharide	50.00	mg	
4.15	mg	2 Sodium chloride	8.30	g	
0.065	mg	3 Disodium phosphate dihydrate	0.130	g	
0.023	mg	4 Monobasic sodium phosphate	0.046	g	
QS	mL	5 Water for injection, USP	QS to 1.00	L	

Note: Fill in 0.5 mL syringe aseptically.

URIDINE TRIPHOSPHATE INJECTION

Prior to formulation, UTP-Na₃ dihydrate is kept frozen at -20°C. The UTP powder is allowed to warm to handling temperature for at least 1 hour prior to opening to minimize water absorption. The UTP raw material is dissolved in a sterile aqueous solution such as saline solution. An appropriate concentration of the saline solution is used to bring the osmolarity to ca. 300 mOsm, that is, an isotonic solution. Alternatively, UTP powder can be dissolved in sterile water and an appropriate amount of NaCl added to bring the osmolarity to ca. 300 mOsm. In either case, aqueous solution is added in sufficient volume to reach an optimum therapeutic UTP concentration level of 5 to 35 mg/mL. The pH of the liquid solution is adjusted to between 7.0 and 7.5. The resulting UTP solution is sterilized by filtration with an appropriate micrometer filter.

UROKINASE FOR INJECTION

Bill of Materials (Batch Size 1 L)					
Scale/mL	Item	Material	Qty	UOM	
50,000	IU	1 Urokinase concentrate ^a	438.62	mL	
9.00	mg	2 Sodium chloride	9.00	g	
5.00	mg	3 Mannitol (nonpyrogenic)	5.00	g	
QS		4 Water for injection	QS to 1.00	L	
QS		5 Sodium hydroxide, reagent grade, for pH adjustment	QS		
QS	mL	6 Hydrochloric acid, reagent grade, for pH adjustment	QS	L	

^a Quantities of ingredients adjusted based on the potency and volume of urokinase concentrate. Urokinase concentrate contains not less than 110000 IU/mL and between 9 and 22 mg/mL sodium chloride. Dilutions are made such that the two values within the specification are maintained. Mannitol is used to adjust activity and sodium chloride is used to adjust its concentration. After assay, adjust accordingly.

MANUFACTURING DIRECTIONS

1. Add and dissolve 20 g of item 5 in water for injection in a suitable vessel. Cool and keep.
2. Prepare item 6 solution in an exhausted hood or well-ventilated area. Wear gloves.
3. Under laminar flow hood and by aseptic techniques, transfer item 1 into a clean, sterile calibrated glass container. Sample. Keep refrigerated.
4. Check pH (range 6.5–7.2) and adjust with 2% item 5 solution or 2% item 6 solution. Add water for injection to QS volume.
5. Check pH and adjust again as in step 4.
6. Under aseptic conditions, filter by using a peristaltic pump through a 0.2 µm nylon membrane disc into a sterilized glass vessel. Sample.
7. Close the container and store refrigerated until ready for filling (NMT 5 days after the preparation).
8. Target fill to be with 17% excess, 292500 IU/vial, ca. 5.85 mL.
9. Lyophilize at -46°C to -55°C; break vacuum with filtered N₂ gas. Apply stoppers after removing vials aseptically; apply aluminum overseals. Sample.

VALPROATE SODIUM INJECTION

Bill of Materials (Batch Size 1 L)					
Scale/mL	Item	Material	Qty	UOM	
100.00	mg	1 Valproic acid as valproate sodium	115.25	g	
0.40	mg	2 Disodium edetate	0.40	g	
QS	mL	3 Hydrochloric acid for pH adjustment	QS		
QS	mL	4 Sodium hydroxide for pH adjustment	QS		
QS	mL	5 Water for injection, USP	QS to 1.00	L	

Note: Fill 5 mL per vial as single dose.

VALRUBICIN FOR INTRAVESICAL INSTILLATION

Bill of Materials (Batch Size 1 L)					
Scale/mL	Item	Material	Qty	UOM	
40.00	mg	1 Valrubicin	40.00	g	
0.50	mL	2 Cremaphor®EL (polyoxyethyleneglycol triricinoleate)	0.50	mL	
0.50	mL	3 Dehydrated alcohol	QS to 1.00	L	

Note: Dilute before administration.

VANCOMYCIN FOR INJECTION

Bill of Materials (Batch Size 1 L)					
Scale/mL	Item	Material	Qty	UOM	
1.00	g	1	Vancomycin HCl, USP	1.00 ^a	g
QS	mL	2	Sodium hydroxide for pH adjustment	QS	
QS	mL	3	Hydrochloric acid for pH adjustment	QS	
QS	mL	4	Water for injection, USP	QS	
QS		5	Nitrogen gas, NF	QS	

^a Use 0.5 g for 0.5-g strength.

MANUFACTURING DIRECTIONS

- Preparation of solution
 - Collect ca. 172 L (109 L for 0.5 g) of water for injection into a clean stainless-steel tank. Cool.
 - Add and dissolve item 1 with mixing.
 - Check and record pH (3.0–4.0). Adjust with 10% hydrochloric acid solution or 2% sodium hydroxide solution, if necessary.
 - QS with water for injection to bring volume to 217 L (137 L for 0.5 g). Mix slowly.
 - Check and record pH; again adjust as in step 1c.
 - Filter solution through a previously rinsed filter press and recirculate for approximately 30 minutes.
 - Filter solution through a 0.2 µm filter into a clean stainless-steel tank.
 - Sample for testing.
 - Store solution at 2 to 8°C until ready for filling.
- Sterile filtration and setup of initial stoppering
 - Connect portable tank to sterilized 0.2-mm nylon membrane disc filters. Connect the sterile lead-off hose to the outlet side of the sterile filter and the other end of the lead-off hose into the sterile bottle.
 - Apply N₂ gas pressure to tank to provide adequate filtration rate.
 - Transfer the sterile lead-off hose to the sterile surge bottle. Fill surge bottle with sterile-filtered solution.
 - Sample for testing.
 - Aseptically fill appropriate volume into each sterilized vial. Place lyophilization stoppers loosely on each vial. Place filled vials into sterilized stainless-steel trays with stainless-steel rings.
- Drying/final stoppering
 - Place filled vials into transport rack and transfer to lyophilizer. Start lyophilization cycle. Bring solution to 5°C. Reduce temperature to –40°C

and keep at this temperature for 3.5 hours. Start vacuum and raise temperature to –20°C and keep at this temperature for 3 hours. Raise temperature to –15°C and keep at this temperature for 24 hours. Raise temperature to 15°C and keep at this temperature for 6 hours. Raise temperature to 35°C and hold for 6 hours.

- Stopper vials after lyophilization.
- Oversealing and inspection
 - Apply aluminum overseals.
 - Inspect each vial for defects.
 - Sample for testing.

VARICELLA VIRUS VACCINE LIVE

Bill of Materials (Batch Size 1 L)					
Scale/mL	Item	Material	Qty	UOM	
1350	PFU ^a	1	Varicella virus	2700,000	PFU
25.00	mg	2	Sucrose	50.00	g
12.50	mg	3	Hydrolyzed gelatin	25.00	g
3.20	mg	4	Sodium chloride	6.40	g
0.50	mg	5	Monosodium L-glutamate	1.00	g
0.45	mg	6	Sodium phosphate dibasic	0.90	g
0.08	mg	7	Potassium phosphate monobasic	0.16	g
0.08	mg	8	Potassium chloride	0.16	g
QS	mL	9	Water for injection, USP	QS to 1.00	L

^a Plate forming units; may contain traces of EDTA, neomycin, and fetal bovine serum. Fill into 0.5 mL container. Above concentration achieved after reconstitution.

VASOPRESSIN (8-ARGININE VASOPRESSIN) INJECTION

Bill of Materials (Batch Size 1 L)					
Scale/mL	Item	Material	Qty	UOM	
20.00	U	1	Vasopressin (8-arginine vasopressin)	20,000	P Units
0.50	%	2	Chlorobutanol	5.00	g
QS	mL	3	Water for injection, USP	QS to 1.00	L
QS	mL	4	Glacial acetic acid for pH adjustment	QS	

MANUFACTURING DIRECTIONS

1. Place 500 mL of water for injection into a clean compounding tank.
2. Add premeasured quantity of chlorobutanol to the compound tank and mix until a clear solution is obtained.
3. Add item 1 to the tank and mix thoroughly until a clear solution is obtained.
4. Bring the final volume QS with item 3.
5. Check the pH (2.5–4.5); adjust pH with item 4, if necessary.
6. Sample for testing.
7. After laboratory testing, sterile-filter through 0.22 µm filter membrane.
8. Fill into type I flint vials with gray stoppers without coating.

VECURONIUM BROMIDE FOR INJECTION**Bill of Materials (Batch Size 1 L)**

Scale/mL	Item	Material	Qty	UOM
1.00	mg	1	Vecuronium bromide	1.00 g
1.52	mg	2	Citric acid anhydrous	1.52 g
1.625	mg	3	Sodium phosphate dibasic	1.625 g
9.70	mg	4	Mannitol	9.70 g
QS	mL	5	Sodium hydroxide for pH adjustment	QS
QS	mL	6	Phosphoric acid for pH adjustment	QS
QS	mL	7	Water for injection, USP	QS to 1.00 L

Note: Fill 10 or 20 mL per vial and lyophilize; adjust to pH 4.0 with item 5 or 6. Use bacteriostatic water for injection for reconstitution (containing 0.9% benzyl alcohol); do not use bacteriostatic water for injection for newborns.

VERAPAMIL HYDROCHLORIDE INJECTION**Bill of Materials (Batch Size 1 L)**

Scale/mL	Item	Material	Qty	UOM
2.50	mg	1	Verapamil hydrochloride, USP	2.50 g
85.00	mg	2	Sodium chloride, USP	85.00 g
QS	mL	3	Hydrochloric acid for pH adjustment	QS mL
QS	mL	4	Water for injection, USP	QS to 1.00 L
QS	cy	5	Nitrogen gas, NF	QS cy

MANUFACTURING DIRECTIONS

Note: Fill the product in sterile conditions under N₂ cover.

1. Collect 0.99 L of item 4 in a suitable stainless-steel vessel. Purge item 5 throughout processing.
2. Add and dissolve items 1 and 2. Make up volume with item 4.
3. Check pH (4.5–5.0). Adjust with item 3, if necessary (approximate volume to be used, 0–6 mL).
4. Prepare pressurized vessel with N₂ for sterile filling. Sterilize filling unit, jars, and so on at 121°C for 1 hour. Sterilize type I glass ampoule at 210°C to 220°C for 2 hours.
5. Filter solution through a 0.22 µm membrane filter. Perform bubble point test before and after filtration.
6. Fill 2.15 mL into ampoules through inline sintered glass. Flush headspace with N₂.
7. Sterilize in autoclave at 121°C for 20 minutes.
8. Sample for leak test. Perform other testing.

VINBLASTINE SULFATE FOR INJECTION**Bill of Materials (Batch Size 1 L)**

Scale/mL	Item	Material	Qty	UOM
2.00	mg	1	Vinblastine sulfate, USP ^a	2.00 g
QS	mL	2	Water for injection, USP	QS to 1.00 L

^a Weight given is on anhydrous basis. Obtain water content from raw material specification and apply correction as follows: mass required (g) = (10.60 × 100)/(100 – % water).

MANUFACTURING DIRECTIONS

Caution: Vinblastine sulfate is a potent cytotoxic agent—handle with care.

1. Place approximately 900 mL of water for injection into a suitable stainless-steel container.
2. Add item 1 to the tank stir until completely dissolved.
3. Check pH (3.5–5.0).
4. QS to volume with item 2.
5. Sample for testing.
6. After laboratory approval, filter through a 0.22 µm filter into a clean receiving vessel and proceed to fill into type I flint vials with 841 gray stoppers without coating.
7. Lyophilize the filled vials.
8. Transfer the filled vials in covered trays onto the shelves of lyophilizer.
9. Place thermocouples in representative vials.
10. Set the temperature controller to –40°C.
11. The thermocouples should register –40°C or less for at least 4 hours before starting the drying cycle.

12. Start condenser and let it cool to -50°C or less before pulling the vacuum.
13. Let the chamber achieve a level of $150\ \mu\text{m}$ or less.
14. Set the temperature controller to $+15^{\circ}\text{C}$ and let it run for at least 18 hours.
15. Raise the shelf temperature to $+25^{\circ}\text{C}$ and run for approximately 10 hours till all the probes register $+25^{\circ}\text{C}$ ($\pm 2^{\circ}\text{C}$) and hold for an additional 8 hours.
16. Bleed the chamber slowly with sterile dry N_2 gas.
17. Stopper vials using internal stoppering mechanism (or with depyrogenated cover in the laminar hood after withdrawing from the lyophilizer).
18. After withdrawal of the vials, clean and deice the lyophilizer.

VINCRIStINE SULFATE INJECTION

Bill of Materials (Batch Size 1 L)

Scale/mL	Item	Material	Qty	UOM
1.00	mg	1 Vincristine sulfate, USP ^a	1.20	g
100.00	mg	2 Mannitol, USP	120.00	g
1.30	mg	3 Methyl paraben, NF	1.56	g
0.20	mg	4 Propyl paraben, NF	0.24	g
QS	mL	5 Water for injection, USP	QS to 1.00	L
QS	mL	6 Acetic acid 5% for pH adjustment	QS	
QS	mL	7 Sodium acetate 5% for pH adjustment	QS	

^a Weight given is on anhydrous basis. Obtain water content from raw material specification and apply correction as follows: mass required (g) = $(1.20 \times 100)/(100 - \% \text{ water})$.

MANUFACTURING DIRECTIONS

Caution: Vincristine sulfate is a potent cytotoxic agent—handle with care. It is also light sensitive. All solutions should be protected from light as much as possible.

1. Place approximately 800 mL item 5 into a suitable mixing tank. Heat the water to approximately 65°C .
2. Add propyl paraben to the tank and stir vigorously. With constant stirring, maintain temperature till completely dissolved.
3. Add methyl paraben to the tank. Continue stirring until completely dissolved. Maintain temperature.
4. Allow the solution to cool to less than 50°C and then add item 2 with constant stirring until dissolved.
5. Allow the solution to cool down to room temperature (25°C) and then add item 1 and stir.
6. Check pH (4.0–5.0); adjust with either item 6 or 7.
7. Check final pH.
8. QS with item 5.

9. Sample for testing.
10. After laboratory approval, filter through a $0.22\ \mu\text{m}$ filter and fill into type I amber vials with gray Teflon-coated stoppers.

VINCRIStINE SULFATE INJECTION

Bill of Materials (Batch Size 1 L)

Scale/mL	Item	Material	Qty	UOM
1.00	mg	1 Vincristine sulfate	1.00	g
1.30	mg	2 Methyl paraben	1.30	g
0.20	mg	3 Propyl paraben	0.20	g
0.375	mg	4 Zinc sulfate heptahydrate	0.375	g
1.90	mg	5 Calcium gluconate monohydrate	1.90	g
50.00	mg	6 Ethanol USP, 95%	50.00	g
QS	mL	7 Water for injection, USP	QS to 1.00	L

MANUFACTURING DIRECTIONS

1. Take 0.4 L of item 7 into a suitable stainless-steel vessel and dissolve item 1 with agitation.
2. Dissolve item 2 separately in 50 mL of item 7 and added to step 1.
3. Dissolve item 5 separately in 0.3 L of item 7 and add to step 2.
4. Dissolve items 2 and 3 separately in item 6 and add to step 2.
5. Make up volume with item 7.
6. Filter using a $0.22\ \mu\text{m}$ membrane filter and fill aseptically into type I glass ampoules.

VITAMIN C VAGINAL OINTMENT

MANUFACTURING DIRECTIONS

A 12.5% by weight vitamin C containing vaginal ointment is produced in a 200 kg batch in the following manner.

1. The following components are melted in an ointment machine (dissolver) at 80°C : white Vaseline (petroleum jelly) 43,750 g, cetyl stearyl alcohol (Lanette N) 52,500 g, highly liquid paraffin 78,750 g.
2. The melt is stirred and homogenized for 20 minutes and cooling is allowed to start. The dissolver is switched off at an internal temperature of 35°C . At an internal temperature of 30°C , 25,000 g of ascorbic acid is added and the dissolver is allowed to run for 15 minutes.
3. The mixture is cold stirred in a partial vacuum and then is introduced into a storage container via a homogenizer.

WATER FOR INJECTION

Bill of Materials (Batch Size 1 L)					
Scale/mL	Item	Material	Qty	UOM	
10.00	mL	1	Water for injection, USP	1.00	L

MANUFACTURING DIRECTIONS

Precaution: Store all bulk water in a tightly closed container. Avoid absorption of CO₂ and other gases.

- Preparation of water.
 - Check the water for injection used for injection preparation and verify that it meets conductivity limit of NMT 1 µS/s and pH range of 5.0 to 7.0.
 - Test the rinsings from the container that are used during solution preparation for conductivity (limit NMT 1.0 µS).
- Preparation of water.
 - Add water for injection to the final volume in the preparation tank and transfer to sterile mobile tank.
 - Transfer the mobile tank from solution preparation area to solution room.
- Preparation of ampoules. Use type 110 mL clear glass ampoules, USP.
 - Wash the ampoules according to operating procedures.
 - Sterilize the ampoules by using a dry-heat tunnel.
 - Set the temperature as per latest validation studies with revised cycle.
- Sterilization. Sterilize the filtration assembly and ampoule-filling machine parts at 122°C for 30 minutes. Set parameters according to the current validated cycle.
- Sterile filling.
 - Aseptically connect the N₂ line through the sterile N₂ filter to the inlet of the mobile holding tank as per SOPs.
 - Aseptically connect one end of the previously sterilized filtration assembly with a 0.22 µm pore-size filtration cartridge to the outlet of the mobile holding tank and the other end to the holding tank.
 - Before starting the sterile filtration, check the integrity of filter cartridge according to SOPs.
 - Operate the ampoule-filling machine according to SOPs. Bleed the dosing system as described in the operating procedures. Adjust the fill volume to 10.5 mL.

- Sterile-fill 10.5 mL sterile water for injection from the bulk into each sterile, dry clean ampoule and seal it.
- Terminal sterilization. Sterilize the filled ampoules in a Finn Aqua autoclave at the current validated cycle. Set temperature at 121°C for 20 minutes.
 - Ampoule leak test. Perform the leak test according to SOPs and transfer to optical checking.
 - Optical checking. Inspect the ampoules under the optical checking machine and record and transfer to packaging.

WATER FOR INJECTION, BACTERIOSTATIC

Bill of Materials (Batch Size 1 L)					
Scale/mL	Item	Material	Qty	UOM	
15.00	mg	1	Benzyl alcohol, NF	15.00	g
QS	mL	2	Water for injection, USP	QS to 1.00	L

ZINC SULFATE ADDITIVE INJECTION (5 ML VIAL)

Bill of Materials					
Scale/mL	Item	Material	Qty	UOM	
21.95	mg	1	Zinc sulfate heptahydrate	21.95	g
QS	mL	2	Water for injection, USP	QS to 1.00	L
QS	mL	3	Sodium hydroxide for pH adjustment	QS	
QS	mL	4	Sulfuric acid for pH adjustment	QS	

ZINC SULFATE ADDITIVE INJECTION (10 ML VIAL)

Bill of Materials (Batch Size 1 L)					
Scale/mL	Item	Material	Qty	UOM	
4.39	mg	1	Zinc sulfate heptahydrate	4.39	g
QS	mL	2	Water for injection, USP	QS to 1.00	L
QS	mL	3	Sodium hydroxide for pH adjustment	QS	
QS	mL	4	Sulfuric acid for pH adjustment	QS	

ZINC SULFATE ADDITIVE INJECTION (30 ML VIAL)

Bill of Materials (Batch Size 1 L)					
Scale/mL	Item	Material	Qty	UOM	
4.39	mg	1	Zinc sulfate heptahydrate	4.39	g
0.90	%	2	Benzyl alcohol, NF	0.90	%
QS	mL	3	Water for injection, USP	QS to 1.00	L
QS	mL	4	Sodium hydroxide for pH adjustment	QS	
QS	mL	5	Sulfuric acid for pH adjustment	QS	

MANUFACTURING DIRECTIONS

1. Add approximately 850 mL of water for injection to a clean mixing tank.
2. Add accurately weighed zinc sulfate and mix until dissolved.
3. Check pH (2.0–4.0); adjust with 10% sulfuric acid (pH 4.0–7.0 used at different strengths).
4. QS to volume with water for injection.
5. Filter through a 0.22 µm filter into a clean receiving container.
6. Fill in type I glass vials with West gray stoppers and flip-off aluminum seals.

ZOLEDRONIC ACID FOR INJECTION

Bill of Materials (Batch Size 100 Vials)					
Scale/mL	Item	Material	Qty	UOM	
4.00	mg	1	Zoledronic acid as zoledronic acid monohydrate	4.264	g
220.00	mg	2	Mannitol	220.00	g
24.00	mg	3	Sodium citrate	24.00	g

Note: sterile powder for reconstitution for infusion.

Part III

Commercial Pharmaceutical Formulations



Taylor & Francis

Taylor & Francis Group

<http://taylorandfrancis.com>

Commercial Pharmaceutical Formulations

- Abciximab, ReoPro[®], is the Fab fragment of the chimeric human-murine monoclonal antibody 7E3. ReoPro is a clear, colorless, sterile, nonpyrogenic solution for IV use. Each single-use vial contains 2 mg/mL of abciximab in a buffered solution (pH 7.2) of 0.01 M sodium phosphate, 0.15 M sodium chloride, and 0.001% polysorbate 80 in water for injection. No preservatives are added.
- AccuNeb[®] (albuterol sulfate) inhalation solution is a sterile, clear, colorless solution of the sulfate salt of racemic albuterol, albuterol sulfate. AccuNeb (albuterol sulfate) inhalation solution is supplied in two strengths in unit dose vials. Each unit dose vial contains either 0.75 mg of albuterol sulfate (equivalent to 0.63 mg of albuterol) or 1.50 mg of albuterol sulfate (equivalent to 1.25 mg of albuterol) with sodium chloride and sulfuric acid in a 3-mL isotonic, sterile aqueous solution. Sodium chloride is added to adjust isotonicity of the solution, and sulfuric acid is added to adjust pH of the solution to 3.5.
- Actimmune[®] (interferon gamma-1b) is a highly purified sterile solution consisting of noncovalent dimers of two identical 16, 465 d monomers; with a specific activity of 20 million IU/mg (2×10^6 IU/0.5 mL) which is equivalent to 30 million U/mg. Actimmune is a sterile, clear colorless solution filled in a single-dose vial for subcutaneous (SC) injection. Each 0.5 mL of Actimmune contains 100 μ g (2 million IU) of interferon gamma-1b formulated in 20 mg mannitol, 0.36 mg sodium succinate, 0.05 mg polysorbate 20, and sterile water for injection. Note that the above activity is expressed in international units (1 million IU/50 μ g). This is equivalent to what was previously expressed as units (1.5 million U/50 μ g).
- Activase (Alteplase) is a tissue plasminogen activator produced by recombinant DNA technology. It is a sterile, purified glycoprotein of 527 amino acids. Phosphoric acid and/or sodium hydroxide may be used prior to lyophilization for pH adjustment. Activase is a sterile, white to off-white, lyophilized powder for IV administration after reconstitution with sterile water for injection, USP. Quantitative composition of the lyophilized product—100-mg vial, 50-mg vial: alteplase, 100 mg (58 million IU), 50 mg (29 million IU); L-arginine, 3.5 g, 1.7 g; phosphoric acid, 1 g, 0.5 g; polysorbate 80, ≤ 11 mg, ≤ 4 mg; vacuum, no, yes.
- Adenocard[®] (adenosine injection) is a sterile, nonpyrogenic solution for rapid bolus IV injection. Each milliliter contains 3 mg adenosine and 9 mg sodium chloride in water for injection. The pH of the solution is between 4.5 and 7.5. The Ansyr[®] plastic syringe is molded from a specially formulated polypropylene. Water permeates from inside the container at an extremely slow rate, which will have an insignificant effect on solution concentration over the expected shelf life. Solutions in contact with the plastic container may leach out certain chemical components from the plastic in very small amounts; however, biological testing was supportive of the safety of the syringe material.
- Adenoscan (adenosine) vial contains a sterile, nonpyrogenic solution of adenosine 3 mg/mL and sodium chloride 9 mg/mL in water for injection, QS. The pH of the solution is between 4.5 and 7.5.
- Adriamycin (doxorubicin) is supplied in the hydrochloride form as a sterile red-orange lyophilized powder containing lactose and as a sterile, parenteral isotonic solution with sodium chloride for IV use only. Adriamycin (doxorubicin HCl) for injection, USP: each 10-mg lyophilized vial contains 10 mg of doxorubicin hydrochloride, USP, and 50 mg of lactose monohydrate, NF. Each 20-mg lyophilized vial contains 20 mg of doxorubicin hydrochloride, USP, and 100 mg of lactose monohydrate, NF. Each 50-mg lyophilized vial contains 50 mg of doxorubicin hydrochloride, USP, and 250 mg of lactose monohydrate, NF. Adriamycin (doxorubicin HCl) injection, USP: each 2 mg/mL, 5-mL (10-mg) vial contains 10 mg doxorubicin hydrochloride, USP; sodium chloride, 0.9% (to adjust tonicity) and water for injection, QS; pH adjusted to 3 using hydrochloric acid. Each 2 mg/mL, 10-mL (20-mg) vial contains 20 mg doxorubicin hydrochloride, USP; sodium chloride 0.9% (to adjust tonicity) and water for injection, QS; pH adjusted to 3 using hydrochloric acid. Each 2 mg/mL, 25-mL (50 mg) vial contains 50 mg doxorubicin hydrochloride, USP; sodium chloride 0.9% (to adjust tonicity) and water for injection, QS; pH adjusted to 3 using hydrochloric acid. Each 2 mg/mL, 100-mL (200-mg) multiple dose vial contains 200 mg doxorubicin hydrochloride, USP; sodium chloride 0.9% (to adjust tonicity) and water for injection QS; pH adjusted to 3 using hydrochloric acid.
- Aggrastat (tirofiban hydrochloride) injection premixed is supplied as a sterile solution in water for injection, for IV use only, in plastic containers of 100 or 250 mL. Each 100 mL of the premixed, isosmotic IV injection contains 5.618 mg tirofiban hydrochloride monohydrate equivalent to 5 mg tirofiban (50 μ g/mL) and the following inactive ingredients: 0.9 mg

sodium chloride, 54 mg sodium citrate dihydrate, and 3.2 mg citric acid anhydrous. Each 250 mL of the premixed, isosmotic IV injection contains 14.045 mg tirofiban hydrochloride monohydrate equivalent to 12.5 mg tirofiban (50 µg/mL) and the following inactive ingredients: 2.25 g sodium chloride, 135 mg sodium citrate dihydrate, and 8 mg citric acid anhydrous. Aggrastat injection is a sterile concentrated solution for IV infusion after dilution and is supplied in a 25- or a 50-mL vial. Each milliliter of the solution contains 0.281 mg of tirofiban hydrochloride monohydrate equivalent to 0.25 mg of tirofiban and the following inactive ingredients: 0.16 mg citric acid anhydrous, 2.7 mg sodium citrate dihydrate, 8 mg sodium chloride, and water for injection. The pH ranges from 5.5 to 6.5 and may have been adjusted with hydrochloric acid and/or sodium hydroxide.

- Alamast[®] (pemirolast potassium ophthalmic solution) is a sterile, aqueous ophthalmic solution with a pH of approximately 8 containing 0.1% of the mast cell stabilizer, pemirolast potassium, for topical administration to the eyes. Each milliliter contains the following: active ingredients: pemirolast potassium, 1 mg (0.1%); preservative—lauralkonium chloride, 0.005%. Inactives—glycerin, dibasic sodium phosphate, monobasic sodium phosphate, phosphoric acid and/or sodium hydroxide to adjust pH, and purified water. The osmolality of Alamast ophthalmic solution is approximately 240 mOsm/kg.
- Albumin (human) 25%, USP, (Plasbumin[®]-25) is a 25% sterile solution of albumin in an aqueous diluent. The preparation is stabilized with 0.02 M sodium caprylate and 0.02 M acetyltryptophan. The aluminum content of the product is NMT 200 µg/L. The approximate sodium content of the product is 145 mEq/L. It contains no preservative. Plasbumin-25 must be administered intravenously. Each vial of Plasbumin-25 is heat-treated at 60°C for 10 hours against the possibility of transmitting hepatitis viruses. Plasbumin-20 is a 20% sterile solution of albumin in an aqueous diluent. The preparation is stabilized with 0.016 M sodium caprylate and 0.016 M acetyltryptophan. The aluminum content of the product is NMT 200 µg/L. The approximate sodium content of the product is 145 mEq/L. It contains no preservative. Plasbumin-5 is a 5% sterile solution of albumin in an aqueous diluent. The preparation is stabilized with 0.004 M sodium caprylate and 0.004 M acetyltryptophan. The aluminum content of the product is NMT 200 µg/L. The approximate sodium content of the product is 145 mEq/L. It contains no preservative.
- Aldurazyme[®] (laronidase) is supplied as a sterile, nonpyrogenic, colorless to pale yellow, clear to slightly opalescent solution that must be diluted prior to administration in 0.9% sodium chloride injection, USP, containing 0.1% albumin (human).

The solution in each vial contains a nominal laronidase concentration of 0.58 mg/mL and a pH of approximately 5.5. The extractable volume of 5.0 mL from each vial provides 2.9 mg laronidase, 43.9 mg sodium chloride, 63.5 mg sodium phosphate monobasic monohydrate, 10.7 mg sodium phosphate dibasic heptahydrate, and 0.05 mg polysorbate 80. Aldurazyme does not contain preservatives. Vials are for single use only.

- Alferon N Injection[®] [interferon alpha-n3 (human leukocyte derived)] is a sterile aqueous formulation of purified, natural, human interferon-alpha proteins for use by injection. Each milliliter contains 5 million IU of interferon alpha-n3 in phosphate-buffered saline (8.0 mg sodium chloride, 1.74 mg sodium phosphate dibasic, 0.20 mg potassium phosphate monobasic, and 0.20 mg potassium chloride) containing 3.3 mg phenol as a preservative and 1 mg albumin (human) as a stabilizer.
- Alimta[®] pemetrexed for injection is supplied as a sterile lyophilized powder for IV infusion available in single-dose vials. The product is a white to either light yellow or green-yellow lyophilized solid. Each 500-mg vial of Alimta contains pemetrexed disodium equivalent to 500 mg pemetrexed and 500 mg of mannitol. Hydrochloric acid and/or sodium hydroxide may have been added to adjust pH.
- Alkeran (melphalan) single-use vial contains melphalan hydrochloride equivalent to 50 mg melphalan and 20 mg povidone. Alkeran for injection is reconstituted using the sterile diluent provided. Each vial of sterile diluent contains sodium citrate 0.2 g, propylene glycol 6.0 mL, ethanol (96%) 0.52 mL, and water for injection to a total of 10 mL. Alkeran for injection is administered intravenously.
- Aloprim (allopurinol sodium) for injection is a sterile solution for IV infusion only. It is available in vials as the sterile lyophilized sodium salt of allopurinol equivalent to 500 mg of allopurinol. Aloprim (allopurinol sodium) for injection contains no preservatives.
- Aloxi 1 (palonosetron hydrochloride) injection is a sterile, clear, colorless, nonpyrogenic, isotonic, buffered solution for IV administration. Each 5-mL vial of Aloxi injection contains 0.25 mg palonosetron base as hydrochloride, 207.5 mg mannitol, disodium edetate, and citrate buffer in water for IV administration. The pH of the solution is 4.5 to 5.5.
- Aralast[™], alpha-1 proteinase inhibitor (human), is a sterile, stable lyophilized preparation of purified human alpha-1 proteinase inhibitor [(alpha) 1-PI], also known as alpha-1—antitrypsin. Each vial of Aralast is labeled with the amount of functionally active (alpha) 1-PI expressed in milligram per vial. The formulation contains no preservative. The pH of the solution ranges from 7.2 to 7.8. Product must only be administered intravenously.

- AmBisome for injection is a sterile, nonpyrogenic lyophilized product for IV infusion. Each vial contains 50 mg of amphotericin B, USP, intercalated into a liposomal membrane consisting of approximately 213 mg hydrogenated soy phosphatidylcholine; 52 mg cholesterol, NF; 84 mg distearoylphosphatidylglycerol; 0.64 mg alpha-tocopherol, USP; together with 900 mg sucrose, NF; and 27 mg disodium succinate hexahydrate as buffer. Following reconstitution with sterile water for injection, USP, the resulting pH of the suspension is between 5 and 6. AmBisome is a true single bilayer liposomal drug delivery system.
- Amevive® (alefacept) is supplied as a sterile, white to off-white, preservative-free, lyophilized powder for parenteral administration. After reconstitution with 0.6 mL of the supplied sterile water for injection, USP, the solution of Amevive is clear, with a pH of approximately 6.9. Amevive is available in two formulations. Amevive for IM injection contains 15 mg alefacept per 0.5 mL of reconstituted solution. Amevive for IV injection contains 7.5 mg alefacept per 0.5 mL of reconstituted solution. Both formulations also contain 12.5 mg sucrose, 5.0 mg glycine, 0.06 mg sodium citrate dihydrate, and 0.06 mg citric acid monohydrate per 0.5 mL.
- Aminohippurate sodium is provided as a sterile, non-preserved 20% aqueous solution for injection, with a pH of to 7.6. Each 10 mL contains aminohippurate sodium, 2 g. Inactive ingredients: sodium hydroxide to adjust pH, water for injection, QS.
- Ammonul® (sodium phenylacetate and sodium benzoate) injection 10%/10% is a sterile, concentrated aqueous solution of sodium phenylacetate and sodium benzoate. The pH of the solution is between 6 and 8. Each milliliter of Ammonul contains 100 mg of sodium phenylacetate and 100 mg of sodium benzoate and water for injection. Sodium hydroxide and/or hydrochloric acid may have been used for pH adjustment.
- Antihemophilic Factor (human), Koate®-DVI, is a sterile, stable, purified, dried concentrate of human antihemophilic factor (AHF, factor VIII, AHG) which has been treated with tri-*n*-butyl phosphate (TNBP) and polysorbate 80 and heated in lyophilized form in the final container at 80°C for 72 hours. Koate-DVI is intended for use in therapy of classical hemophilia (hemophilia A).
- Antivenin (*Latrodectus mactans*) is a sterile, non-pyrogenic preparation derived by drying a frozen solution of specific venom-neutralizing globulins obtained from the blood serum of healthy horses immunized against venom of black widow spiders (*L. mactans*). Each vial contains not less than 6000 antivenin units. One unit of antivenin will neutralize one average mouse lethal dose of black widow spider venom when the antivenin and the venom are injected simultaneously in mice under suitable conditions.
- Antivenin (*Micrurus fulvius*) is a refined, concentrated, and lyophilized preparation of serum globulins obtained by fractionating blood from healthy horses that have been immunized with eastern coral snake (*M. fulvius*) venom. Prior to lyophilization, the product contains 0.25% phenol and 0.005% thimerosal (mercury derivative).
- Apokyn™ (apomorphine hydrochloride, USP) 10 mg/mL is a clear, colorless sterile solution for SC injection and is available in 2-mL ampoules and 3-mL cartridges. Each milliliter of solution contains 10 mg of apomorphine hydrochloride, USP, as apomorphine hydrochloride hemihydrate and 1 mg of sodium metabisulfite, NF, in water for injection, USP. In addition, each milliliter of solution may contain sodium hydroxide, NF, and/or hydrochloric acid, NF, to adjust the pH of the solution. In addition, the cartridges contain 5 mg/mL of benzyl alcohol.
- Aquacel® Ag with Hydrofiber® (Aquacel Ag) silver impregnated antimicrobial dressing is a soft, sterile non-woven pad or ribbon dressing composed of sodium carboxymethylcellulose and 1.2% ionic silver which allows for a maximum of 12 mg of silver for 4 in × 4 in dressing.
- AquaMEPHYTON phytonadione is a vitamin which is a clear, yellow to amber, viscous, odorless or nearly odorless liquid. AquaMEPHYTON injection is a yellow, sterile, aqueous colloidal solution of vitamin K1, with a pH of 5.0 to 7.0, available for injection by IV, IM, and SC routes. Each milliliter contains phytonadione, 2 or 10 mg. Inactive ingredients: polyoxyethylated fatty acid derivative, 70 mg; dextrose, 37.5 mg; water for injection, QS, 1 mL; added as preservative—benzyl alcohol, 0.9%.
- Aramine, metaraminol bitartrate, contains metaraminol bitartrate equivalent to metaraminol 10 mg. Inactive ingredients: sodium chloride, 4.4 mg; water for injection, QS, add 1 mL; methyl paraben, 0.15%; propyl paraben, 0.02%; and sodium bisulfite, 0.2%, added as preservatives.
- Aranesp® is formulated as a sterile, colorless, preservative-free protein solution for IV or SC administration. Single-dose vials are available containing 25, 40, 60, 100, 150, 200, 300, or 500 µg of Aranesp. Single-dose prefilled syringes are available containing 25, 40, 60, 100, 150, 200, 300, or 500 µg of Aranesp. Single-dose vials and prefilled syringes are available in two formulations that contain excipients as follows: polysorbate solution, each milliliter contains 0.05 mg polysorbate 80 and is formulated at pH 6.2 ± 0.2 with 2.12 mg sodium phosphate monobasic monohydrate, 0.66 mg sodium phosphate dibasic anhydrous, and 8.18 mg sodium chloride in water for injection, USP (to 1 mL); albumin solution, each milliliter contains 2.5 mg albumin (human) and is

formulated at pH 6.0 ± 0.3 with 2.23 mg sodium phosphate monobasic monohydrate, 0.53 mg sodium phosphate dibasic anhydrous, and 8.18 mg sodium chloride in water for injection, USP (to 1 mL).

- Attenuvax (measles virus vaccine live) is a live virus vaccine for vaccination against measles (rubeola). The reconstituted vaccine is for SC administration. Each 0.5-mL dose contains not less than 1000 tissue culture infectious doses (TCID) of measles virus. Each dose of the vaccine is calculated to contain sorbitol (14.5 mg), sodium phosphate, sucrose (1.9 mg), sodium chloride, hydrolyzed gelatin (14.5 mg), human albumin (0.3 mg), fetal bovine serum (<1 ppm), other buffer and media ingredients, and approximately 25 μ g of neomycin. The product contains no preservative. Before reconstitution, the lyophilized vaccine is a light yellow compact crystalline plug. Attenuvax, when reconstituted as directed, is clear yellow.
- Avastin™ (Bevacizumab) is a recombinant humanized monoclonal IgG1 antibody. Avastin is a clear to slightly opalescent, colorless to pale brown, sterile, pH 6.2 solution for IV infusion. Avastin is supplied in 100- and 400-mg preservative-free, single-use vials to deliver 4 or 16 mL of Avastin (25 mg/mL). The 100-mg product is formulated in 240 mg (alpha), (alpha)-trehalose dihydrate, 23.2 mg sodium phosphate (monobasic, monohydrate), 4.8 mg sodium phosphate (dibasic, anhydrous), 1.6 mg polysorbate 20, and water for injection, USP. The 400 mg product is formulated in 960 mg (alpha), (alpha)-trehalose dihydrate, 92.8 mg sodium phosphate (monobasic, monohydrate), 19.2 mg sodium phosphate (dibasic, anhydrous), 6.4 mg polysorbate 20, and water for injection, USP.
- Avonex® (interferon beta-1a) is formulated as a sterile, white to off-white lyophilized powder for IM injection after reconstitution with supplied diluent (sterile water for injection, USP). Each vial of reconstituted Avonex contains 30 μ g of interferon beta-1a; 15 mg albumin (human), USP; 5.8 mg sodium chloride, USP; 5.7 mg dibasic sodium phosphate, USP; and 1.2 mg monobasic sodium phosphate, USP, in 1 mL at a pH of approximately 7.3. A prefilled syringe of Avonex is formulated as a sterile liquid for IM injection. Each 0.5-mL (30- μ g) dose of Avonex in a prefilled glass syringe contains 30 μ g of interferon beta-1a; 0.79 mg sodium acetate trihydrate, USP; 0.25 mg glacial acetic acid, USP; 15.8 mg arginine hydrochloride, USP; and 0.025 mg polysorbate 20 in water for injection, USP, at a pH of approximately 4.8.
- Azopt® (brinzolamide ophthalmic suspension), 1%, is supplied as a sterile, aqueous suspension of brinzolamide which has been formulated to be readily suspended and slow settling, following shaking. It has a pH of approximately 7.5 and an osmolality of 300 mOsm/kg. Each milliliter of Azopt (brinzolamide ophthalmic suspension), 1%, contains 10 mg brinzolamide. Inactive ingredients are mannitol, carbomer 974P, tyloxapol, edetate disodium, sodium chloride, hydrochloric acid and/or sodium hydroxide (to adjust pH), and purified water. Benzalkonium chloride, 0.01%, is added as a preservative.
- BeneFIX®, coagulation factor IX (recombinant), is available in single-use vials containing the labeled amount of factor IX activity, expressed in international units. Each vial contains nominally 250, 500, or 1000 IU of coagulation factor IX (recombinant). After reconstitution of the lyophilized drug product, the concentrations of excipients in the 500- and 1000-IU dosage strengths are 10 mM L-histidine, 1% sucrose, 260 mM glycine, 0.005% polysorbate 80. The concentrations after reconstitution in the 250-IU dosage strength are half those of the other two dosage strengths. The 500- and 1000-IU dosage strengths are isotonic after reconstitution, and the 250 IU dosage strength has half the tonicity of the other two dosage strengths after reconstitution. All dosage strengths yield a clear, colorless solution upon reconstitution.
- Betadine®, povidone-iodine is a broad-spectrum microbicide. Betadine, 5%, sterile ophthalmic prep solution contains 5% povidone-iodine (0.5% available iodine) as a sterile dark brown solution stabilized by glycerin. Inactive ingredients: citric acid, glycerin, nonoxynol-9, sodium chloride, sodium hydroxide, and dibasic sodium phosphate.
- Betaseron® (interferon beta-1b) vial contains 0.3 mg of interferon beta-1b. Mannitol, USP, and albumin (human), USP (15 mg/vial), are added as stabilizers. Lyophilized Betaseron is a sterile, white to off-white powder for SC injection after reconstitution with the diluent supplied (sodium chloride, 0.54% solution).
- Betimol® (timolol ophthalmic solution), 0.25% and 0.5%, is a clear, colorless, isotonic, sterile microbiologically preserved phosphate buffered aqueous solution. It is supplied in two dosage strengths, 0.25% and 0.5%. Each milliliter of Betimol, 0.25%, contains 2.56 mg of timolol hemihydrate equivalent to 2.5 mg timolol. Each milliliter of Betimol, 0.5%, contains 5.12 mg of timolol hemihydrate equivalent to 5.0 mg timolol. Inactive ingredients: monosodium and disodium phosphate dihydrate to adjust pH (6.5–7.5) and water for injection, benzalkonium chloride, 0.01%, added as preservative. The osmolality of Betimol is 260 to 320 mOsm/kg.
- Betoptic S® ophthalmic suspension, 0.25%, contains the following in each milliliter: active—betaxolol HCl, mg, equivalent to 2.5 mg of betaxolol base; preservative—benzalkonium chloride 0.01%; inactive—mannitol, poly(styrene-divinyl benzene) sulfonic acid, carbomer 934P, edetate disodium,

- hydrochloric acid or sodium hydroxide (to adjust pH), and purified water.
- Boostrix[®] (tetanus toxoid, reduced diphtheria toxoid and acellular pertussis vaccine, adsorbed) (Tdap) is a noninfectious, sterile vaccine adsorbed onto aluminum hydroxide. Both toxins are detoxified with formaldehyde; concentrated by ultrafiltration; and purified by precipitation, dialysis, and sterile filtration. Each antigen is individually adsorbed onto aluminum hydroxide. All antigens are then diluted and combined to produce the final formulated vaccine. Each 0.5-mL dose is formulated to contain 2.5 Lf of diphtheria toxoid, 5 Lf of tetanus toxoid, 2.5 µg of pertactin, 8 µg of FHA, and 8 µg of inactivated PT. Each 0.5mL dose also contains 4.5 mg of NaCl, aluminum adjuvant (NMT 0.39 mg aluminum by assay), ≤100 µg of residual formaldehyde, and ≤100 µg of polysorbate 80 (Tween 80). This vaccine does not contain a preservative.
 - Buminate, 25%, albumin (human), 25% solution is a sterile, nonpyrogenic preparation of albumin in a single-dosage form for IV administration. Each 100 mL contains 25 g of albumin and is prepared from human venous plasma using the Cohn cold ethanol fractionation process. It has been adjusted to physiological pH with sodium bicarbonate and/or sodium hydroxide and stabilized with sodium acetyltryptophanate and sodium caprylate. The sodium content is 145 ± 15 mEq/L. This solution contains no preservative and none of the coagulation factors found in fresh whole blood or plasma. Buminate 25%, albumin (human), 25% solution is a transparent or slightly opalescent solution which may have a greenish tint or may vary from a pale straw to an amber color. Buminate 5%, albumin (human), 5% solution, is a sterile, nonpyrogenic preparation of albumin in a single-dosage form for IV administration. Buminate 5%, albumin (human), 5% solution, contains no blood group isoagglutinins thereby permitting its administration without regard to the recipient's blood group. Each 100 mL contains 5 g of albumin and was prepared from human venous plasma using the Cohn cold ethanol fractionation process. Source material for fractionation may be obtained from another U.S. licensed manufacturer. It has been adjusted to physiological pH with sodium bicarbonate and/or sodium hydroxide and has been stabilized with sodium acetyltryptophanate and sodium caprylate. The sodium content is 145 ± 15 mEq/L. The solution contains no preservative and none of the coagulation factors found in fresh whole blood or plasma. Buminate 5%, albumin (human), 5% solution, is a transparent or slightly opalescent solution which may have a greenish tint or may vary from a pale straw to an amber color.
 - Byetta[™] (exenatide) injection is supplied for SC injection as a sterile, preserved isotonic solution in a glass cartridge that has been assembled in a pen injector (pen). Each milliliter contains 250 µg synthetic exenatide, 2.2 mg metacresol as an antimicrobial preservative, mannitol as a tonicity-adjusting agent, and glacial acetic acid and sodium acetate trihydrate in water for injection as a buffering solution at pH 4.5. Two prefilled pens are available to deliver unit doses of 5 or 10 µg. Each prefilled pen will deliver 60 doses to provide 30 days of twice daily administration (BID).
 - Calcijex[®] (calcitriol injection). Each milliliter contains calcitriol, 1 µg; polysorbate 20, 4 mg; sodium ascorbate, 2.5 mg added. May contain hydrochloric acid and/or sodium hydroxide for pH adjustment; pH is 6.5 (5.9–7.0). Contains no more than 1 µg/mL of aluminum.
 - Calcium disodium versenate (edetate calcium disodium injection, USP) is a sterile, injectable chelating agent in concentrated solution for IV infusion or IM injection. Each 5-mL ampoule contains 1000 mg of edetate calcium disodium (equivalent to 200 mg/mL) in water for injection.
 - Campath[®] (Alemtuzumab) single-use vial of Campath contains 30 mg alemtuzumab, 8.0 mg sodium chloride, 1.44 mg dibasic sodium phosphate, 0.2 mg potassium chloride, 0.2 mg monobasic potassium phosphate, 0.1 mg polysorbate 80, and 0.187 mg disodium edetate dihydrate. No preservatives are added.
 - Cancidas is a sterile, lyophilized product for IV infusion that contains a semisynthetic lipopeptide (echinocandin) compound synthesized from a fermentation product of *Glarea lozoyensis*. Cancidas, 50 mg, also contains 39 mg sucrose, 26 mg mannitol, glacial acetic acid, and sodium hydroxide. Cancidas, 70 mg, also contains 54 mg sucrose, 36 mg mannitol, glacial acetic acid, and sodium hydroxide.
 - Carnitor[®] (levocarnitine) injection is a sterile aqueous solution containing 1 g of levocarnitine per 5 mL vial. The pH is adjusted to 6.0 to 6.5 with hydrochloric acid or sodium hydroxide.
 - Cathflo[®] Activase[®] (alteplase) is a tissue plasminogen activator (t-PA) produced by recombinant DNA technology. It is a sterile, purified glycoprotein of 527 amino acids. Cathflo Activase is a sterile, white to pale yellow, lyophilized powder for intracatheter instillation for restoration of function to central venous access devices following reconstitution with sterile water for injection, USP. Each vial of Cathflo Activase contains 2.2 mg of alteplase (which includes a 10% overfill), 77 mg of L-arginine, 0.2 mg of polysorbate 80, and phosphoric acid for pH adjustment. Each reconstituted vial will deliver 2 mg of Cathflo Activase, at a pH of approximately 7.3.
 - Cefoxitin sodium contains approximately 53.8 mg (2.3 mEq) of sodium per gram of cefoxitin activity. Premixed IV solution Mefoxin (cefoxitin sodium

injection) is supplied as a sterile, nonpyrogenic, frozen isosmotic solution of cefoxitin sodium. Each 50 mL contains cefoxitin sodium equivalent to either 1 or 2 g of cefoxitin. Dextrose hydrous USP has been added to the above dosages to adjust osmolality (approximately 2 g and 1.1- to 1- and 2-g dosages, respectively). The pH is adjusted with sodium bicarbonate and may have been adjusted with hydrochloric acid. The pH is approximately 6.5. After thawing, the solution is intended for IV use only. Solutions of Mefoxin range from colorless to light amber.

- Cerubidine (daunorubicin hydrochloride) is the hydrochloride salt of an anthracycline cytotoxic antibiotic produced by a strain of *Streptomyces coeruleorubidus*. It is provided as a sterile, reddish lyophilized powder in vials for IV administration only. Each vial contains 21.4 mg daunorubicin hydrochloride (equivalent to 20 mg of daunorubicin) and 100 mg mannitol. It is soluble in water when adequately agitated and produces a reddish solution.
- Ciloxan® (ciprofloxacin hydrochloride ophthalmic ointment) contains the following: active—ciprofloxacin HCl, 3.33 mg, equivalent to 3-mg base; inactive—mineral oil, white petrolatum.
- Cipro IV ciprofloxacin solution is available as a sterile 1.0% aqueous concentrate, which is intended for dilution prior to administration. Ciprofloxacin solution contains lactic acid as a solubilizing agent and hydrochloric acid for pH adjustment. The pH range for the 1.0% aqueous concentrate is 3.3 to 3.9.
- Ciprodex® (ciprofloxacin, 0.3%, and dexamethasone, 0.1%) sterile otic contains ciprofloxacin hydrochloride (equivalent to 3 mg ciprofloxacin base), 1 mg dexamethasone, and 0.1 mg benzalkonium chloride as a preservative. The inactive ingredients are boric acid, sodium chloride, hydroxyethyl cellulose, tyloxapol, acetic acid, sodium acetate, edetate disodium, and purified water. Sodium hydroxide or hydrochloric acid may be added for adjustment of pH.
- Cogentin (benztropine mesylate) is supplied as a sterile injection for IV and IM use. Benztropine mesylate is a synthetic compound containing structural features found in atropine and diphenhydramine. Each milliliter of the injection contains benztropine mesylate, 1 mg; sodium chloride, 9 mg; water for injection, QS, 1 mL.
- Comvax [Haemophilus B conjugate (meningococcal protein conjugate) and hepatitis B (recombinant) vaccine] is a sterile bivalent vaccine made of the antigenic components used in producing PedvaxHIB [Haemophilus B conjugate vaccine (meningococcal protein conjugate)] and Recombivax HB [hepatitis B vaccine (recombinant)]. The individual PRP-OMPC and HBsAg adjuvanted bulks are combined to produce Comvax. Each 0.5-mL dose of Comvax is formulated to contain 7.5 µg PRP conjugated to approximately 125 µg OMPC, 5 µg HBsAg, approximately 225 µg aluminum as amorphous aluminum hydroxyphosphate sulfate, and 35 µg sodium borate (decahydrate) as a pH stabilizer, in 0.9% sodium chloride. The vaccine contains NMT 0.0004% (w/v) residual formaldehyde. The product contains no preservative. Comvax is a sterile suspension for IM injection.
- Copaxone® (glatiramer acetate) consists of the acetate salts of synthetic polypeptides, containing four naturally occurring amino acids: L-glutamic acid, L-alanine, L-tyrosine, and L-lysine with an average molar fraction of 0.141, 0.427, 0.095, and 0.338, respectively. The average molecular weight of glatiramer acetate is 5000 to 9000 Da. Glatiramer acetate is identified by specific antibodies. Chemically, glatiramer acetate is designated L-glutamic acid polymer with L-alanine, L-lysine and L-tyrosine, acetate (salt). Copaxone injection is a clear, colorless to slightly yellow, sterile nonpyrogenic solution for SC injection. Each 1 mL of solution contains 20 mg of glatiramer acetate and 40 mg of mannitol, USP. The pH range of the solution is approximately 5.5 to 7.0.
- Cosmegen dactinomycin is a sterile, yellow lyophilized powder for injection by the IV route or by regional perfusion after reconstitution. Each vial contains 0.5 mg (500 µg) of dactinomycin and 20.0 mg of mannitol.
- Cosopt (dorzolamide hydrochloride-timolol maleate ophthalmic solution) is supplied as a sterile, isotonic, buffered, slightly viscous aqueous solution. The pH of the solution is approximately 5.65 and the osmolarity is 242 to 323 mOsm. Each milliliter of Cosopt contains 20 mg dorzolamide (22.26 mg of dorzolamide hydrochloride) and 5 mg timolol (6.83 mg timolol maleate). Inactive ingredients are sodium citrate, hydroxyethyl cellulose, sodium hydroxide, mannitol, and water for injection. Benzalkonium chloride (0.0075%) is added as a preservative.
- Cubicin is supplied as a sterile, preservative-free, pale yellow to light brown lyophilized cake containing approximately 900 mg/g of daptomycin for IV use following reconstitution with 0.9% sodium chloride injection. The only inactive ingredient is sodium hydroxide which is used in minimal quantities for pH adjustment. Freshly reconstituted solutions of cubicin range in color from pale yellow to light brown.
- Curosurf® (poractant alpha) intratracheal suspension is a sterile, nonpyrogenic pulmonary surfactant intended for intratracheal use only. It is suspended in 0.9% sodium chloride solution. The pH is adjusted as required with sodium bicarbonate to a pH of 6.2 (5.5–6.5). Curosurf contains no preservatives. Curosurf is a white to creamy white suspension of poractant alpha. Each milliliter of surfactant mixture contains 80 mg of surfactant (extract) that includes 76 mg of phospholipids and 1 mg of protein of which 0.2 mg is SP-B. The amount of phospholipids is

calculated from the content of phosphorus and contains 55 mg of phosphatidylcholine of which 30 mg is dipalmitoylphosphatidylcholine.

- CytoGam[®], cytomegalovirus immune globulin intravenous (human) (CMV-IGIV), is an immunoglobulin G (IgG) containing a standardized amount of antibody to CMV. CMV-IGIV is formulated in final vial as a sterile liquid. The globulin is stabilized with 5% sucrose and 1% albumin (human). CytoGam contains no preservative. Each milliliter contains 50 ± 10 mg of immunoglobulin, primarily IgG, and trace amounts of IgA and IgM; 50 mg of sucrose; 10 mg of albumin (human). The sodium content is 20 to 30 mEq/L, that is, 0.4 to 0.6 mEq/20 mL or 1.0 to mEq/50 mL. The solution should appear colorless and translucent.
- Dantrium intravenous is a sterile, nonpyrogenic lyophilized formulation of dantrolene sodium for injection. Dantrium intravenous is supplied in 70-mL vials containing 20 mg dantrolene sodium, 3000 mg mannitol, and sufficient sodium hydroxide to yield a pH of approximately 9.5 when reconstituted with 60 mL sterile water for injection USP (without a bacteriostatic agent).
- Decadron dexamethasone sodium phosphate injection is a sterile solution (pH 7.0–8.5) of dexamethasone sodium phosphate, sealed under nitrogen, and is supplied in two concentrations: 4 mg/mL and 24 mg/mL. Each milliliter of Decadron phosphate injection, 4 mg/mL, contains dexamethasone sodium phosphate equivalent to 4 mg dexamethasone phosphate or 3.33 mg dexamethasone. Inactive ingredients per milliliter: 8 mg creatinine, 10 mg sodium citrate, sodium hydroxide to adjust pH, and water for injection, QS, with 1 mg sodium bisulfite, 1.5 mg methyl paraben, and 0.2 mg propyl paraben added as preservatives. Each milliliter of Decadron phosphate injection, 24 mg/mL, contains dexamethasone sodium phosphate equivalent to 24 mg dexamethasone phosphate or 20 mg dexamethasone. Inactive ingredients per milliliter: 8 mg creatinine, 10 mg sodium citrate, 0.5 mg disodium edetate, sodium hydroxide to adjust pH, and water for injection, QS, with 1 mg sodium bisulfite, 1.5 mg methylparaben, and 0.2 mg propylparaben added as preservatives.
- DepoDur (morphine sulfate extended-release liposome injection) is a sterile, nonpyrogenic, white to off-white, preservative-free suspension of multivesicular lipid-based particles containing morphine sulfate, USP. The median diameter of the liposome particles is in the range of 17 to 23 μ m. The liposomes are suspended in a 0.9% sodium chloride solution. Each vial contains morphine sulfate (expressed as the pentahydrate) at a nominal concentration of 10 mg/mL. Inactive ingredients and their approximate concentrations are 1, 2-dioleoyl-sn-glycero-3-phosphocholine (DOPC), 4.2 mg/mL; cholesterol, 3.3 mg/mL; 1, 2-dipalmitoyl-sn-glycero-3-phospho-rac-(1-glycerol) (DPPG), 0.9 mg/mL; tricaprylin, 0.3 mg/mL; and triolein, 0.1 mg/mL. The pH of DepoDur is in the range of 5.0 to 8.0.
- Depo-Medrol sterile aqueous suspension contains methylprednisolone acetate. Depo-Medrol is an anti-inflammatory glucocorticoid for IM, intrasynovial, soft tissue, or intralesional injection. It is available as single-dose vials in two strengths: 40 and 80 mg/mL. Each mL of these preparations contains methylprednisolone acetate, 40 or 80 mg; polyethylene glycol 3350, 29 or 28 mg; myristyl-gamma-picolinium chloride, 0.195 or 0.189 mg. Sodium chloride was added to adjust tonicity. When necessary, pH was adjusted with sodium hydroxide and/or hydrochloric acid. The pH of the finished product remains within the USP specified range, that is, 3.5 to 7.0. Also available as 20 mg/mL.
- Depo-Provera contraceptive injection (CI) contains medroxyprogesterone acetate. Depo-Provera CI for IM injection is available in vials and prefilled syringes, each containing 1 mL of medroxyprogesterone acetate sterile aqueous suspension 150 mg/mL. Each milliliter contains medroxyprogesterone acetate, 150 mg; polyethylene glycol 3350, 28.9 mg; polysorbate 80, 2.41 mg; sodium chloride, 8.68 mg; methyl paraben, 1.37 mg; propyl paraben, 0.150 mg; water for injection, QS. When necessary, pH is adjusted with sodium hydroxide or hydrochloric acid, or both.
- Depo-subQ Provera 104 contains medroxyprogesterone acetate (MPA). Depo-subQ Provera 104 for SC injection is available in prefilled syringes (160 mg/mL), each containing 0.65 mL (104 mg) of medroxyprogesterone acetate sterile aqueous suspension. Each 0.65 mL contains medroxyprogesterone acetate, 104 mg; methyl paraben, 1.040 mg; propyl paraben, 0.098 mg; sodium chloride, 5.200 mg; polyethylene glycol, 18.688 mg; polysorbate 80, 1.950 mg; monobasic sodium phosphate.H₂O, 0.451 mg; dibasic sodium phosphate.12H₂O, 0.382 mg; methionine, 0.975 mg; povidone, 3.250 mg; water for injection, QS. When necessary, the pH is adjusted with sodium hydroxide or hydrochloric acid, or both.
- Desferal, deferoxamine mesylate USP, is available in vials for IM, SC, and IV administration. Desferal is supplied as vials containing 500 mg and 2 g of deferoxamine mesylate USP, in sterile, lyophilized form.
- Digibind, digoxin immune Fab (ovine), is a sterile lyophilized powder of antigen-binding fragments (Fab) derived from specific antidigoxin antibodies raised in sheep. Each vial, which will bind approximately 0.5 mg of digoxin (or digitoxin), contains 38 mg of digoxin-specific Fab fragments derived from sheep plus 75 mg of sorbitol as a stabilizer and 28 mg of sodium chloride. The vial contains no preservatives.

Digibind is administered by IV injection after reconstitution with sterile water for injection (4 mL/vial).

- Dilaudid (hydromorphone hydrochloride), each 1 mL of sterile solution contains 10 mg hydromorphone hydrochloride with 0.2% sodium citrate and 0.2% citric acid solution. It is also available as lyophilized Dilaudid for IV, SC, or IM administration. Each single-dose vial contains 250 mg sterile, lyophilized hydromorphone HCl to be reconstituted with 25 mL of sterile water for injection USP to provide a solution containing 10 mg/mL. Ampoules (for parenteral administration) containing 1, 2, and 4 mg hydromorphone hydrochloride per milliliter with 0.2% sodium citrate, 0.2% citric acid solution. Dilaudid ampoules are sterile. Multiple-dose vials (for parenteral administration) contain 20 mL of solution. Each milliliter contains 2 mg hydromorphone hydrochloride and 0.5 mg edetate disodium with 1.8 mg methyl paraben and 0.2 mg propyl paraben as preservatives. Sodium hydroxide or hydrochloric acid is used for pH adjustment. Dilaudid multiple-dose vials are sterile.
- Diprivan® (propofol) injectable emulsion in addition to the active component, propofol, the formulation also contains soybean oil (100 mg/mL), glycerol (22.5 mg/mL), egg lecithin (12 mg/mL), and disodium edetate (0.005%), with sodium hydroxide to adjust pH. Diprivan injectable emulsion is isotonic and has a pH of 7.0 to 8.5.
- Diuril (chlorothiazide sodium), IV sodium Diuril, is a sterile lyophilized white powder and is supplied in a vial containing chlorothiazide sodium equivalent to chlorothiazide, 0.5 g; inactive ingredients—mannitol, 0.25 g; sodium hydroxide to adjust pH.
- Doxil® (doxorubicin HCl liposome injection) is doxorubicin hydrochloride (HCl) encapsulated in Stealth® liposomes for IV administration. Doxil is provided as a sterile, translucent red liposomal dispersion in 10- or 30-mL glass single-use vials. Each vial contains 20 or 50 mg doxorubicin HCl at a concentration of 2 mg/mL and a pH of 6.5. The Stealth liposome carriers are composed of N-(carbonylmethoxypolyethylene glycol 2000)-1, 2-distearoyl-sn-glycero-3-phosphoethanolamine sodium salt (MPEG-DSPE), 3.19 mg/mL; fully hydrogenated soy phosphatidylcholine (HSPC), 9.58 mg/mL; and cholesterol, 3.19 mg/mL. Each milliliter also contains ammonium sulfate, approximately 2 mg; histidine as a buffer; hydrochloric acid and/or sodium hydroxide for pH control; and sucrose to maintain isotonicity. More than 90% of the drug is encapsulated in the Stealth liposomes.
- DuoNeb® contains albuterol sulfate and ipratropium bromide. Each 3-mL vial of DuoNeb contains 3.0 mg (0.1%) of albuterol sulfate [equivalent to 2.5 mg (0.083%) of albuterol base] and 0.5 mg (0.017%) of ipratropium bromide in an isotonic, sterile aqueous solution containing sodium chloride, hydrochloric acid to adjust to pH 4, and edetate disodium, USP (a chelating agent).
- Edex® (alprostadil for injection) is a sterile, pyrogen-free powder containing alprostadil in an alfadex [(alpha)-cyclodextrin] inclusion complex. Edex is supplied in single-dose, dual-chamber cartridges. Edex is lyophilized in single-dose, dual-chamber cartridges intended for use with the reusable Edex injection device. One chamber of the cartridge contains alprostadil, alfadex, and lactose as a sterile pyrogen-free powder. The other chamber contains 1.075 mL of sterile 0.9% sodium chloride. The Edex cartridges are supplied in three strengths: 10-µg cartridge [10.75 µg alprostadil, 347.55 µg (alpha)-cyclodextrin, 51.06 mg lactose]; 20-µg cartridge [21.5 µg alprostadil, 695.2 µg (alpha)-cyclodextrin, 51.06 mg lactose]; 40-µg cartridge [43.0 µg alprostadil, 390.3 µg (alpha)-cyclodextrin, 51.06 mg lactose]. The Edex injection device is used to reconstitute the sterile powder in one chamber with the sterile 0.9% sodium chloride in the other chamber. After reconstitution, the Edex injection device is used to administer the intracavernous injection of alprostadil. After reconstitution, the active ingredient, alprostadil, immediately dissociates from the (alpha)-cyclodextrin inclusion complex. The reconstituted solution is clear and colorless and has a pH between 4 and 8. When the single-dose, dual-chamber cartridge containing either 10.75, 21.5 or 43.0 µg of alprostadil is placed into the Edex injection device and reconstituted, the deliverable amount of alprostadil in each milliliter is 10, 20 or 40 µg, respectively.
- Elestat® (epinastine HCl ophthalmic solution), 0.05%, each milliliter contains the following: active—epinastine HCl, 0.05% (0.5 mg/mL), equivalent to epinastine 0.044% (0.44 mg/mL); preservative—benzalkonium chloride, 0.01%; inactives—edetate disodium; purified water; sodium chloride; sodium phosphate, monobasic; and sodium hydroxide and/or hydrochloric acid (to adjust the pH). Elestat has a pH of approximately 7 and an osmolality range of 250 to 310 mOsm/kg.
- Elspar (Asparaginase), the specific activity of ELSPAR is at least 225 IU/mg of protein and each vial contains 10,000 IU of asparaginase and 80 mg of mannitol, an inactive ingredient, as a sterile, white lyophilized plug or powder for IV or IM injection after reconstitution.
- Enbrel® (etanercept) is supplied in a single-use prefilled 1-mL syringe as a sterile, preservative-free solution for SC injection. The solution of Enbrel is clear and colorless and is formulated at pH 6.3 ± 0.2. Each Enbrel single-use prefilled syringe contains 0.98 mL of a 50 mg/mL solution of etanercept with 10 mg/mL sucrose, 5.8 mg/mL sodium chloride, 5.3 mg/mL L-arginine hydrochloride, 2.6 mg/mL sodium phosphate monobasic monohydrate, and

0.9 mg/mL sodium phosphate dibasic, anhydrous. Administration of one 50 mg/mL prefilled syringe of Enbrel provides a dose equivalent to two 25-mg vials of lyophilized Enbrel, when vials are reconstituted and administered as recommended. Enbrel multiple-use vials contain sterile, white, preservative-free, lyophilized powder. Reconstitution with 1 mL of the supplied sterile bacteriostatic water for injection (BWFI), USP (containing 0.9% benzyl alcohol) yields a multiple-use, clear, and colorless solution with a pH of 7.4 ± 0.3 containing 25 mg etanercept, 40 mg mannitol, 10 mg sucrose, and 1.2 mg tromethamine.

- Engerix-B [hepatitis B vaccine (recombinant)] is a noninfectious recombinant DNA hepatitis B vaccine supplied as a sterile suspension for IM administration. The vaccine is ready for use without reconstitution; it must be shaken before administration since a fine white deposit with a clear, colorless supernatant may form on storage. Pediatric/adolescent: Each 0.5-mL dose contains 10 μ g of hepatitis B surface antigen adsorbed on 0.25 mg aluminum as aluminum hydroxide. The pediatric/adolescent vaccine is formulated without preservatives. The pediatric formulation contains a trace amount of thimerosal ($<0.5 \mu$ g mercury) from the manufacturing process, sodium chloride (9 mg/mL), and phosphate buffers (disodium phosphate dihydrate, 0.98 mg/mL; sodium dihydrogen phosphate dihydrate, 0.71 mg/mL). Adult: each 1-mL adult dose contains 20 μ g of hepatitis B surface antigen adsorbed on 0.5 mg aluminum as aluminum hydroxide. The adult vaccine is formulated without preservatives. The adult formulation contains a trace amount of thimerosal ($<1.0 \mu$ g mercury) from the manufacturing process, sodium chloride (9 mg/mL), and phosphate buffers (disodium phosphate dihydrate, 0.98 mg/mL; sodium dihydrogen phosphate dihydrate, 0.71 mg/mL).
- EpiPen[®] and EpiPen Jr[®] autoinjectors contain 2 mL epinephrine injection for emergency IM use. Each EpiPen autoinjector delivers a single dose of 0.3 mg epinephrine from epinephrine injection, USP, 1:1000 (0.3 mL) in a sterile solution. Each EpiPen Jr autoinjector delivers a single dose of 0.15 mg epinephrine from epinephrine injection, USP, 1:2000 (0.3 mL) in a sterile solution. For stability purposes, approximately 1.7 mL remains in the autoinjector after activation and cannot be used. Each 0.3 mL in EpiPen contains 0.3 mg epinephrine, 1.8 mg sodium chloride, 0.5 mg sodium metabisulfite, hydrochloric acid to adjust pH, and water for injection. The pH range is 2.2 to 5.0. Each 0.3 mL in EpiPen Jr contains 0.15 mg epinephrine, 1.8 mg sodium chloride, 0.5 mg sodium metabisulfite, hydrochloric acid to adjust pH, and water for injection. The pH range is 2.2 to 5.0.
- Epogen (erythropoietin), single-dose, preservative-free vial: Each 1 mL of solution contains 2000, 3000, 4000, or 10,000 U of epoetin alpha, 2.5 mg albumin (human), 5.8 mg sodium citrate, 5.8 mg sodium chloride, and 0.06 mg citric acid in water for injection, USP (pH 6.9 ± 0.3). This formulation contains no preservative. Single-dose, preservative-free vial: 1 mL (40,000 U/mL). Each milliliter of solution contains 40,000 U of epoetin alpha, 2.5 mg albumin (human), 1.2 mg sodium phosphate monobasic monohydrate, 1.8 mg sodium phosphate dibasic anhydrous, 0.7 mg sodium citrate, 5.8 mg sodium chloride, and 6.8 μ g citric acid in water for injection, USP (pH 6.9 ± 0.3). This formulation contains no preservative. Multidose preserved vial: 2 mL (20,000 U; 10,000 U/mL). Each 1 mL of solution contains 10,000 U of epoetin alpha, 2.5 mg albumin (human), 1.3 mg sodium citrate, 8.2 mg sodium chloride, 0.11 mg citric acid, and 1% benzyl alcohol as preservative in water for injection, USP (pH 6.1 ± 0.3). Multidose preserved vial: 1 mL (20,000 U/mL). Each 1 mL of solution contains 20,000 U of epoetin alpha, 2.5 mg albumin (human), 1.3 mg sodium citrate, 8.2 mg sodium chloride, 0.11 mg citric acid, and 1% benzyl alcohol as preservative in water for injection, USP (pH 6.1 ± 0.3).
- Eraxis for injection is a sterile, lyophilized product for IV infusion that contains anidulafungin. Eraxis for injection contains the following inactive ingredients: fructose (50 mg), mannitol (250 mg), polysorbate 80 (125 mg), tartaric acid (5.6 mg), and sodium hydroxide and/or hydrochloric acid for pH adjustment.
- Ethyol[®] (amifostine) is the trihydrate form of amifostine and is supplied as a sterile lyophilized powder requiring reconstitution for IV infusion. Each single-use 10-mL vial contains 500 mg of amifostine on the anhydrous basis.
- Euflexxa[™] is a viscoelastic, sterile solution of highly purified, high molecular weight (2.4–3.6 million Da) hyaluronan (also known as sodium hyaluronate) in phosphate-buffered saline. Euflexxa is a highly purified product extracted from bacterial cells. Each milliliter of Euflexxa contains sodium hyaluronate, 10 mg; sodium chloride, 8.5 mg; disodium hydrogen phosphate dodecahydrate, 0.56 mg; sodium dihydrogen phosphate dihydrate, 0.05 mg; water for injection, QS.
- Faslodex[®] (fulvestrant) injection contains as inactive ingredients—alcohol USP, benzyl alcohol NF, and benzyl benzoate USP, as cosolvents, and castor oil, USP, as a cosolvent and release rate modifier. Faslodex is supplied in sterile single patient prefilled syringes containing 50 mg/mL fulvestrant either as a single 5-mL or two concurrent 2.5-mL injections to deliver the required monthly dose. Faslodex is administered as an IM injection of 250 mg once monthly.
- Feiba VH anti-inhibitor coagulant complex, vapor heated (AICC) is a freeze-dried sterile human plasma

- fraction with factor VIII inhibitor bypassing activity. Reconstituted FEIBA VH AICC contains 4 mg of trisodium citrate and 8 mg/mL of sodium chloride.
- Flolan (epoprostenol sodium) for injection is a sterile sodium salt formulated for IV administration. Each vial of Flolan contains epoprostenol sodium equivalent to either 0.5 mg (500,000 ng) or 1.5 mg (1,500,000 ng) epoprostenol, 3.76 mg glycine, 2.93 mg sodium chloride, and 50 mg mannitol. Sodium hydroxide may have been added to adjust pH. Epoprostenol (PGI₂, PGX, prostacyclin), a metabolite of arachidonic acid, is a naturally occurring prostaglandin with potent vasodilatory activity and inhibitory activity of platelet aggregation. Flolan is a white to off-white powder that must be reconstituted with sterile diluent for Flolan. Sterile diluent for Flolan is supplied in glass vials containing 50 mL of 94 mg glycine, 73.3 mg sodium chloride, sodium hydroxide (added to adjust pH), and water for injection, USP. The reconstituted solution of Flolan has a pH of 10.2 to 10.8 and is increasingly unstable at a lower pH.
 - Floxin[®] otic (ofloxacin otic) solution, 0.3%, is a sterile aqueous anti-infective (antibacterial) solution for otic use. Floxin otic contains 0.3% (3 mg/mL) ofloxacin with benzalkonium chloride (0.0025%), sodium chloride (0.9%), and water for injection. Hydrochloric acid and sodium hydroxide are added to adjust the pH to 6.5 ± 0.5.
 - Floxin otic Singles[™] (ofloxacin otic) solution, 0.3%, is a sterile aqueous anti-infective (antibacterial) solution for otic use. Floxin otic Singles contains 0.3% (3 mg/mL) ofloxacin with benzalkonium chloride (0.0025%), sodium chloride (0.9%), and water for injection. Hydrochloric acid and sodium hydroxide are added to adjust the pH to 6.5 ± 0.5.
 - Fluarix[™], influenza virus vaccine for IM use, is a sterile suspension prepared from influenza viruses propagated in embryonated chicken eggs. Each 0.5-mL dose also contains octoxynol-10 (Triton[®] X-100), ≤0.085 mg; alphatocopheryl hydrogen succinate, ≤0.1 mg; and polysorbate 80 (Tween 80), ≤0.415 mg. The vaccine is formulated without preservatives. Thimerosal is used at the early stages of manufacture and is removed by subsequent purification steps to <1.25 µg mercury per dose. Each dose may also contain residual amounts of hydrocortisone, ≤0.0016 µg; gentamicin sulfate, ≤0.15 g; ovalbumin, ≤1µg; formaldehyde, ≤50 µg; and sodium deoxycholate, ≤50 µg, from the manufacturing process. Fluarix is supplied as a 0.5-mL dose in a prefilled syringe. Fluarix, after shaking well, is colorless to slightly opalescent.
 - Flumist influenza virus vaccine live, intranasal (FluMist[®]) is a live trivalent nasally administered vaccine. FluMist does not contain any preservatives. Each prefilled FluMist sprayer contains a single 0.5-mL dose.
 - Fluorescein injection is a sterile aqueous solution in two strengths for use intravenously as a diagnostic aid. The solution contains fluorescein sodium (equivalent to fluorescein 10% or 25%), sodium hydroxide and/or hydrochloric acid (to adjust pH), and water for injection.
 - Fortaz in sterile crystalline form is supplied in vials equivalent to 500 mg, 1 g, 2 g, or 6 g of anhydrous ceftazidime and in ADD-Vantage[®] vials equivalent to 1 or 2 g of anhydrous ceftazidime. Solutions of Fortaz range in color from light yellow to amber, depending on the diluent and volume used. The pH of freshly constituted solutions usually ranges from 5 to 8. Fortaz is available as a frozen, isosmotic, sterile, nonpyrogenic solution with 1 or 2 g of ceftazidime as ceftazidime sodium premixed with approximately 2.2 or 1.6 g, respectively, of dextrose hydrous, USP. Dextrose has been added to adjust the osmolality. Sodium hydroxide is used to adjust pH and neutralize ceftazidime pentahydrate free acid to the sodium salt. The pH may have been adjusted with hydrochloric acid. Solutions of premixed Fortaz range in color from light yellow to amber. The solution is intended for IV use after thawing to room temperature. The osmolality of the solution is approximately 300 mOsm/kg, and the pH of thawed solutions ranges from 5.0 to 7.5. The plastic container for the frozen solution is fabricated from a specially designed multilayer plastic, PL 2040.
 - Forteo[®] is supplied as a sterile, colorless, clear isotonic solution in a glass cartridge which is preassembled into a disposable pen device for SC injection. Each prefilled delivery device is filled with 3.3 mL to deliver 3 mL. Each milliliter contains 250 µg teriparatide (corrected for acetate, chloride, and water content), 0.41 mg glacial acetic acid, 0.10 mg sodium acetate (anhydrous), 45.4 mg mannitol, 3.0 mg meta-cresol, and water for injection. In addition, hydrochloric acid solution (10%) and/or sodium hydroxide solution (10%) may have been added to adjust the product to pH 4. Each cartridge preassembled into a pen device delivers 20 µg of teriparatide per dose each day for up to 28 days.
 - Fortical[®] calcitonin-salmon (rDNA origin) nasal spray delivers 200 IU calcitonin-salmon in a volume of 0.09 mL. Active ingredient: calcitonin-salmon 2200 IU/mL, corresponding to 200 IU per actuation (0.09 mL). Inactive ingredients: sodium chloride, USP; citric acid, USP; phenylethyl alcohol, USP; benzyl alcohol, NF; polysorbate 80, NF; hydrochloric acid, NF, or sodium hydroxide, NF (added as necessary to adjust pH); and purified water USP.
 - Gama STAN[™] S/D, Immune globulin (human)—Gama STAN S/D contains no preservative. Gama STAN S/D is prepared by cold ethanol fractionation from human plasma. The immune globulin is isolated from solubilized Cohn fraction II. The fraction

II solution is adjusted to a final concentration of 0.3% tri-*n*-butyl phosphate (TNBP) and 0.2% sodium cholate. After the addition of solvent (TNBP) and detergent (sodium cholate), the solution is heated to 30°C and maintained at that temperature for not less than 6 hours. After the viral inactivation step, the reactants are removed by precipitation, filtration, and finally ultrafiltration and diafiltration. Gama STAN S/D is formulated as a 15 to 18% protein solution at a pH of 6.4 to 7.2 in 0.21 to 0.32 M glycine. Gama STAN S/D is then incubated in the final container for 21 to 28 days at 20 to 27°C.

- Gammagard S/D, immune globulin intravenous (human, IGIV) when reconstituted with the total volume of diluent (sterile water for injection, USP) supplied. This preparation contains approximately 50 mg/mL of protein (5%), of which at least 90% is gamma-globulin. The product, reconstituted to 5%, contains a physiological concentration of sodium chloride (approximately 8.5 mg/mL) and has a pH of 6.8 ± 0.4 . Stabilizing agents and additional components are present in the following maximum amounts for a 5% solution: 3 mg/mL albumin (human), 22.5 mg/mL glycine, 20 mg/mL glucose, 2 mg/mL polyethylene glycol (PEG), 1 µg/mL tri-*n*-butyl phosphate, 1 µg/mL octoxynol 9, and 100 µg/mL polysorbate 80. Gammagard S/D, immune globulin intravenous (human) contains no preservative. Gammagard liquid immune globulin intravenous (human), 10%, is a ready-for-use sterile liquid preparation of highly purified and concentrated immunoglobulin G (IgG) antibodies.
- Gamunex[®] is made from large pools of human plasma by a combination of cold ethanol fractionation, caprylate precipitation and filtration, and anion-exchange chromatography. The protein is stabilized during the process by adjusting the pH of the solution to 4.0 to 4.5. Isotonicity is achieved by the addition of glycine. Gamunex is incubated in the final container (at the low pH of 4.0–4.3), for a minimum of 21 days at 23 to 27°C.
- Garamycin (gentamicin sulfate) injectable is a sterile aqueous solution for parenteral administration. Each milliliter contains gentamicin sulfate, USP, equivalent to 40 mg gentamicin base; 1.8 mg methyl paraben and 0.2 mg propyl paraben as preservatives; 3.2 mg sodium bisulfite; and 0.1 mg edetate disodium.
- Gardasil is a noninfectious recombinant, quadrivalent vaccine prepared from the highly purified virus-like particles (VLPs) of the major capsid (L1) protein of HPV types 6, 11, 16, and 18. The quadrivalent HPV VLP vaccine is a sterile liquid suspension that is prepared by combining the adsorbed VLPs of each HPV type and additional amounts of the aluminum containing adjuvant and the final purification buffer. Gardasil is a sterile preparation for IM administration. Each 0.5-mL dose contains approximately 20 µg of HPV 6 L1 protein, 40 µg of HPV 11 L1 protein, 40 µg of HPV 16 L1 protein, and 20 µg of HPV 18 L1 protein. Each 0.5-mL dose of the vaccine contains approximately 225 µg of aluminum (as amorphous aluminum hydroxyphosphate sulfate adjuvant), 9.56 mg of sodium chloride, 0.78 mg of L-histidine, 50 µg of polysorbate 80, 35 µg of sodium borate, and water for injection. The product does not contain a preservative or antibiotics.
- Gemzar[®] (gemcitabine HCl) vials contain either 200 mg or 1 g of gemcitabine HCl (expressed as free base) formulated with mannitol (200 mg or 1 g, respectively) and sodium acetate (12.5 mg or 62.5 mg, respectively) as a sterile lyophilized powder. Hydrochloric acid and/or sodium hydroxide may have been added for pH adjustment.
- Geodon for injection contains a lyophilized form of ziprasidone mesylate trihydrate. Geodon for injection is available in a single-dose vial as ziprasidone mesylate (20 mg ziprasidone/mL when reconstituted). Each millimeter of ziprasidone mesylate for injection (when reconstituted) contains 20 mg of ziprasidone and 4.7 mg of methanesulfonic acid solubilized by 294 mg of sulfobutylether (beta)-cyclo-dextrin sodium (SBECD).
- GlucaGen[®] [glucagon (rDNA origin) for injection], 1 mg (1 IU), is supplied as a sterile, lyophilized white powder in a 2-mL vial alone or accompanied by sterile water for reconstitution (1 mL) also in a 2-mL vial. Glucagon, as supplied at pH 2.5 to 3.5, is soluble in water. Active ingredient in each vial—glucagon as hydrochloride, 1 mg (corresponding to 1 IU). Other ingredients—lactose monohydrate (107 mg). When the glucagon powder is reconstituted with sterile water for reconstitution, it forms a solution of 1 mg (1 IU)/mL glucagon for SC, IM, or IV injection.
- Glucagon for injection (rDNA origin) is a polypeptide hormone identical to human glucagon that increases blood glucose and relaxes smooth muscle of the gastrointestinal tract. Glucagon is available for use intravenously, intramuscularly, or subcutaneously in a kit that contains a vial of sterile glucagon and a syringe of sterile diluent. The vial contains 1 mg (1 U) of glucagon and 49 mg of lactose. Hydrochloric acid may have been added during manufacture to adjust the pH of the glucagon; 1 IU of glucagon is equivalent to 1 mg of glucagon. The diluent syringe contains 12 mg/mL of glycerin, water for injection, and hydrochloric acid.
- Havrix (hepatitis A vaccine, inactivated) is a non-infectious hepatitis A vaccine supplied as a sterile suspension for IM administration. Each 1-mL adult dose of vaccine consists of 1440 ELU of viral antigen, adsorbed on 0.5 mg of aluminum as aluminum hydroxide. Each 0.5-mL pediatric dose of vaccine

- consists of 720 ELU of viral antigen, adsorbed onto 0.25 mg of aluminum as aluminum hydroxide. The vaccine preparations also contain 0.5% (w/v) of 2-phenoxyethanol as a preservative. Other excipients are amino acid supplement (0.3% w/v) in a phosphate-buffered saline solution and polysorbate 20 (0.05 mg/mL). Residual MRC-5 cellular proteins (NMT 5 µg/mL) and traces of formalin (NMT 0.1 mg/mL) are present. Neomycin sulfate, an aminoglycoside antibiotic, is included in the cell growth media; only trace amounts (≤ 40 ng/mL) remain, following purification.
- Hemofil M, antihemophilic factor (human, AHF), method M, monoclonal purified, is a sterile, nonpyrogenic, dried preparation of antihemophilic factor (factor VIII, factor VIII:C, AHF) in concentrated form with a specific activity range of 2 to 20 AHF IU/mg of total protein. Hemofil M contains a maximum of 12.5 mg/mL albumin, and per AHF IU, 0.07 mg polyethylene glycol (3350), 0.39 mg histidine, 0.1 mg glycine as stabilizing agents, not more than 0.1 ng mouse protein, 18 ng organic solvent (tri-*n*-butyl phosphate), and 50 ng detergent (octoxynol 9). In the absence of the added albumin (human), the specific activity is approximately 2000 AHF IU/mg of protein.
 - Hepatitis B immune globulin (human), hyper Hep B™ S/D treated with solvent/detergent, is a sterile solution of hepatitis B hyperimmune immune globulin for IM administration; it contains no preservative. Hyper Hep B S/D is formulated as a 15 to 18% protein solution at a pH of 6.4 to 7.2 in 0.21 to 0.32 M glycine. Hyper Hep B S/D is then incubated in the final container for 21 to 28 days at 20 to 27°C. Each vial contains anti-HBs antibody equivalent to 220 IU/mL.
 - Herceptin (Trastuzumab) is a recombinant DNA-derived humanized monoclonal. Herceptin is a sterile, white to pale yellow, preservative-free lyophilized powder for IV administration. The nominal content of each Herceptin vial is 440 mg trastuzumab, 400 mg (alpha), (alpha)-trehalose dihydrate, 9.9 mg L-histidine HCl, 6.4 mg L-histidine, and mg polysorbate 20, USP. Reconstitution with 20 mL of the supplied bacteriostatic water for injection (BWFI), USP, containing 1.1% benzyl alcohol as a preservative, yields a multidose solution containing 21 mg/mL trastuzumab, at a pH of approximately 6.
 - HibTITER. Haemophilus B conjugate vaccine (diphtheria CRM 197 protein conjugate) HibTITER is a sterile solution of a conjugate of oligosaccharides of the capsular antigen of *Haemophilus influenzae* type B (Haemophilus B) and diphtheria CRM 197 protein (CRM 197) dissolved in 0.9% sodium chloride. The conjugate is purified to remove unreacted protein, oligosaccharides, and reagents; sterilized by filtration; and filled into vials. HibTITER is intended for IM use. The vaccine is a clear, colorless solution. Each single dose of 0.5 mL is formulated to contain 10 µg of purified Haemophilus B saccharide and approximately 25 µg of CRM 197 protein. The potency of HibTITER is determined by chemical assay for polyribosylribitol.
 - Humatrope® (somatropin, rDNA origin, for injection) is a sterile, white lyophilized powder intended for SC or IM administration after reconstitution. Phosphoric acid and/or sodium hydroxide may have been added to adjust the pH. Reconstituted solutions have a pH of approximately 7.5. This product is oxygen sensitive. Vial—each vial of Humatrope contains 5 mg somatropin (15 IU or 225 nmol), 25 mg mannitol, 5 mg glycine, and 1.13 mg dibasic sodium phosphate. Each vial is supplied in a combination package with an accompanying 5-mL vial of diluting solution. The diluent contains water for injection with 0.3% metacresol as a preservative and 1.7% glycerin. Cartridge—the cartridges of somatropin contain either 6 mg (18 IU), 12 mg (36 IU), or 24 mg (72 IU) of somatropin. The 6-, 12-, and 24-mg cartridges contain respectively, mannitol 18, 36, and 72 mg; glycine 6, 12, and 24 mg; dibasic sodium phosphate 1.36, 2.72, and 5.43 mg. Each cartridge is supplied in a combination package with an accompanying syringe containing approximately 3 mL of diluting solution. The diluent contains water for injection; 0.3% metacresol as a preservative; and 1.7, 0.29, and 0.29% glycerin in the 6-, 12-, and 24-mg cartridges, respectively.
 - Humira (adalimumab) is supplied in single-use 1 mL prefilled glass syringes as a sterile, preservative-free solution for SC administration. The solution of Humira is clear and colorless, with a pH of approximately 5.2. Each syringe delivers 0.8 mL (40 mg) of drug product. Each 0.8 mL of Humira contains 40 mg adalimumab, 4.93 mg sodium chloride, 0.69 mg monobasic sodium phosphate dihydrate, 1.22 mg dibasic sodium phosphate dihydrate, 0.24 mg sodium citrate, 1.04 mg citric acid monohydrate, 16.8 mg mannitol, 0.8 mg polysorbate 80, and water for injection, USP. Sodium hydroxide added as necessary to adjust pH.
 - Hycamtin (topotecan hydrochloride) for injection is supplied as a sterile, lyophilized, buffered, light yellow to greenish powder available in single-dose vials. Each vial contains topotecan hydrochloride equivalent to 4 mg of topotecan as free base. The reconstituted solution ranges in color from yellow to yellow-green and is intended for administration by IV infusion. Inactive ingredients are mannitol, 48 mg, and tartaric acid, 20 mg. Hydrochloric acid and sodium hydroxide may be used to adjust the pH. The solution pH ranges from 2.5 to 3.5.
 - Hyper Hep B™ S/D, hepatitis B immune globulin (human)—each vial contains anti-HBs antibody

- equivalent to or exceeding the potency of anti-HBs in a U.S. reference hepatitis B immune globulin (Center for Biologics Evaluation and Research, FDA).
- Hyper RAB™ S/D, rabies immune globulin (human)—hyper RAB™ S/D treated with solvent/detergent is a sterile solution of anti-rabies immune globulin for IM administration; it contains no preservative.
 - Hyper RHO S/D, Rho (D) immune globulin (human)—hyper RHO™ S/D full dose is formulated as a 15 to 18% protein solution at a pH of 6.4 to 7.2 in 0.21 to 0.32 M glycine. Hyper RHO S/D full dose is then incubated in the final container for 21 to 28 days at 20 to 27°C. The potency is equal to or more than 1500 IU. Each single-dose vial or syringe contains sufficient anti-Rho (D) to effectively suppress the immunizing potential of 15 mL of Rho (D) positive red blood cells.
 - Hyper RHO™ S/D mini dose, Rho (D) immune globulin (human), is formulated as a 15 to 18% protein solution at a pH of 6.4 to 7.2 in 0.21 to 0.32 M glycine. One dose of Hyper RHO S/D mini dose contains not less than one-sixth the quantity of Rho (D) antibody contained in one standard dose of Rho (D) immune globulin (human), and it will suppress the immunizing potential of 2.5 mL of Rho (D) positive packed red blood cells or the equivalent of whole blood (5 mL). The quantity of Rho (D) antibody in hyper RHO S/D mini dose is not less than 250 IU.
 - Hyper TET™ S/D, tetanus immune globulin (human) is formulated as a 15 to 18% protein solution at a pH of 6.4 to 7.2 in 0.21 to 0.32 M glycine. Hyper TET S/D is then incubated in the final container for 21 to 28 days at 20 to 27°C.
 - Hyperstat IV injection—each ampoule (20 mL) contains 300 mg diazoxide, USP, in a clear, sterile colorless aqueous solution; the pH is adjusted to approximately 11.6 with sodium hydroxide.
 - Imitrex (sumatriptan succinate) injection is a clear, colorless to pale yellow, sterile, nonpyrogenic solution for SC injection. Each 0.5 mL of Imitrex injection (8 mg/mL solution) contains 4 mg of sumatriptan (base) as the succinate salt and 3.8 mg of sodium chloride, USP, in water for injection, USP. Each 0.5 mL of Imitrex injection (12 mg/mL solution) contains 6 mg of sumatriptan (base) as the succinate salt and 3.5 mg of sodium chloride, USP, in water for injection, USP. The pH range of both solutions is approximately 4.2 to 5.3. The osmolality of both injections is 291 mOsm.
 - Immune globulin (human)—Gama STAN S/D treated with solvent/detergent is a sterile solution of immune globulin for IM administration; it contains no preservative. Gama STAN S/D is formulated as a 15 to 18% protein solution at a pH of 6.4 to 7.2 in 0.21 to 0.32 M glycine. Gama STAN S/D is then incubated in the final container for 21 to 28 days at 20 to 27°C.
 - Immune globulin intravenous (human) (IGIV), Carimune® NF, nanofiltered, is a sterile, highly purified polyvalent antibody product containing in concentrated form all the IgG antibodies which regularly occur in the donor population. The manufacturing process by which Carimune® NF is prepared from plasma consists of fractionation and purification steps that comprise filtrations in the presence of filter aids. Final container lyophilized units are prepared so as to contain 1, 3, 6, or 12 g protein with 1.67 g sucrose and less than 20 mg NaCl/g of protein. The lyophilized preparation contains no preservative and may be reconstituted with sterile water, 5% dextrose or 0.9% saline to a solution with protein concentrations ranging from 3 to 12%.
 - Immune globulin intravenous (human), 10% caprylate/chromatography purified (Gamunex), is a ready-to-use sterile solution of human immune globulin protein for IV administration. Gamunex consists of 9 to 11% protein in 0.16 to 0.24 M glycine. Not less than 98% of the protein has the electrophoretic mobility of gamma-globulin. Gamunex contains trace levels of fragments, IgA (average 0.046 mg/mL), and IgM. The distribution of IgG subclasses is similar to that found in normal serum. The measured buffer capacity is 35 mEq/L and the osmolality is 258 mOsm/kg solvent, which is close to physiological osmolality (285–295 mOsm/kg). The pH of Gamunex is 4.0 to 4.5. Gamunex contains no preservative.
 - Increlex™ [mecasermin (rDNA origin) injection] is a sterile, aqueous, clear, and colorless solution intended for SC injection. Each multidose vial of Increlex contains 10 mg/mL mecasermin, 9 mg/mL benzyl alcohol, 5.84 mg/mL sodium chloride, 2 mg/mL polysorbate 20, and 0.05 M acetate at a pH of approximately 5.4.
 - Indocin IV (indomethacin for injection) for IV administration is lyophilized indomethacin for injection. Each vial contains indomethacin for injection equivalent to 1 mg indomethacin as a white to yellow lyophilized powder or plug. Variations in the size of the lyophilized plug and the intensity of color have no relationship to the quality or amount of indomethacin present in the vial.
 - Infanrix (diphtheria and tetanus toxoids and acellular pertussis vaccine adsorbed) is a noninfectious, sterile combination of diphtheria and tetanus toxoids and three pertussis antigens [inactivated pertussis toxin (PT), filamentous hemagglutinin (FHA), and pertactin (69 kDa outer membrane protein)] adsorbed onto aluminum hydroxide. Infanrix is intended for IM injection only. Each antigen is individually adsorbed onto aluminum hydroxide. Each 0.5-mL dose is formulated to contain 25 Lf of diphtheria toxoid, 10 Lf of tetanus toxoid, 25 µg of inactivated PT, 25 µg of FHA, and 8 µg of pertactin. Each 0.5-mL dose also contains 2.5 mg of 2-phenoxyethanol as a

preservative, 4.5 mg of NaCl, and aluminum adjuvant (≤ 0.625 mg aluminum by assay). Each dose also contains ≤ 100 μg of residual formaldehyde and ≤ 100 μg of polysorbate 80 (Tween 80). Infanrix does not contain thimerosal.

- INFeD (iron dextran injection, USP) is a dark brown, slightly viscous sterile liquid complex of ferric hydroxide and dextran for IV or IM use. Each milliliter contains the equivalent of 50 mg of elemental iron (as an iron dextran complex), approximately 0.9% sodium chloride, in water for injection. Sodium hydroxide and/or hydrochloric acid may have been used to adjust pH. The pH of the solution is between 5.2 and 6.5.
- Insulin Lispro mixture. Humalog® Mix75/25™ [75% insulin lispro protamine suspension and 25% insulin lispro injection (rDNA origin)] is a mixture of insulin lispro solution, a rapid-acting blood glucose-lowering agent and insulin lispro protamine suspension, an intermediate-acting blood glucose-lowering agent. Humalog Mix75/25 disposable insulin delivery devices contain a sterile suspension of insulin lispro protamine suspension mixed with soluble insulin lispro for use as an injection. Each milliliter of Humalog Mix75/25 injection contains insulin lispro 100 U, 0.28 mg protamine sulfate, 16 mg glycerin, 3.78 mg dibasic sodium phosphate, 1.76 mg metacresol, zinc oxide content adjusted to provide 0.025 mg zinc ion, 0.715 mg phenol, and water for injection. Humalog Mix75/25 has a pH of 7.0 to 7.8. Hydrochloric acid, 10%, and/or sodium hydroxide, 10%, may have been added to adjust pH.
- Insulin Lispro—Humalog® (insulin lispro, rDNA origin) is a human insulin analog that is a rapid-acting parenteral blood glucose-lowering agent. The vials, cartridges, and pens contain a sterile solution of Humalog for use as an injection. Humalog injection consists of zinc-insulin lispro crystals dissolved in a clear aqueous fluid. Each milliliter of Humalog injection contains insulin lispro 100 U, 16 mg glycerin, 1.88 mg dibasic sodium phosphate, 3.15 mg metacresol, zinc oxide content adjusted to provide 0.0197 mg zinc ion, trace amounts of phenol, and water for injection. Insulin lispro has a pH of 7.0 to 7.8. Hydrochloric acid 10% and/or sodium hydroxide 10% may be added to adjust pH.
- Insulin. Humulin R (U-500) consists of zinc-insulin crystals dissolved in a clear fluid. Humulin R (U-500) is a sterile solution and is for SC injection. The concentration of Humulin R (U-500) is 500 U/mL. Each milliliter contains 500 U of biosynthetic human insulin, 16 mg glycerin, 2.5 mg *m*-cresol as a preservative, and zinc-oxide calculated to supplement endogenous zinc to obtain total zinc content of 0.017 mg/100 U. Sodium hydroxide and/or hydrochloric acid may be added during manufacture to adjust the pH. Humulin is available in six formulations—Regular (R), NPH (N), Lente (L), Ultralente® (U), 50% human insulin isophane suspension (NPH)/50% human insulin injection (buffered regular, 50/50), and 70% human insulin isophane suspension (NPH)/30% human insulin injection (buffered regular, 70/30). Humulin R (U-500) is the only human insulin manufactured by Eli Lilly and Company that has a concentration of 500 U/mL. The concentration of Humulin N in Humulin N Pen is 100 U/mL (U-100).
- Integrilin (eptifibatide) injection is a clear, colorless, sterile, nonpyrogenic solution for IV use. Each 10-mL vial contains 2 mg/mL of eptifibatide and each 100-mL vial contains either 0.75 mg/mL of eptifibatide or 2 mg/mL of eptifibatide. Each vial of either size also contains 5.25 mg/mL citric acid and sodium hydroxide to adjust the pH to 5.35.
- Interleukin eleven is a thrombopoietic growth factor that directly stimulates the proliferation of hematopoietic stem cells and megakaryocyte progenitor cells and induces megakaryocyte maturation resulting in increased platelet production.
- Intron® A injection is a clear, colorless solution. The 3 million IU vial of Intron A injection contains 3 million IU of interferon alpha-2b, recombinant per 0.5 mL. The 18 million IU multidose vial of Intron A injection contains a total of 22.8 million IU of interferon alpha-2b, recombinant per mL (3 million IU/0.5 mL) to provide the delivery of six 0.5-mL doses, each containing 3 million IU of Intron A (for a label strength of 18 million IU). The 18 million IU Intron A injection multidose pen contains a total of million IU of interferon alpha-2b, recombinant per mL (3 million IU/0.2 mL) to provide the delivery of six 0.2-mL doses, each containing 3 million IU of Intron A (for a label strength of 18 million IU). Each milliliter also contains 7.5 mg sodium chloride, 1.8 mg sodium phosphate dibasic, 1.3 mg sodium phosphate monobasic, 0.1 mg edetate disodium, 0.1 mg polysorbate 80, and 1.5 mg *m*-cresol as a preservative. Based on the specific activity of approximately 2.6×10^8 IU/mg protein as measured by HPLC assay, the corresponding quantities of interferon alpha-2b, recombinant in the vials and pen described above are approximately 0.012, 0.088, and 0.087 mg protein, respectively.
- Invanz (ertapenem for injection) is supplied as sterile lyophilized powder for IV infusion after reconstitution with appropriate diluent and transfer to 50 mL 0.9% sodium chloride injection or for IM injection following reconstitution with 1% lidocaine hydrochloride. Each vial contains 1.046 g ertapenem sodium, equivalent to 1 g ertapenem. The sodium content is approximately 137 mg (approximately 6 mEq). Each vial of Invanz contains the following inactive ingredients: 175 mg sodium bicarbonate and sodium hydroxide to adjust pH to 7.5.
- Iivegam EN, immune globulin intravenous (human) (IGIV), is a sterile freeze-dried concentrate of

immunoglobulin G (IgG). Reconstitution of the freeze-dried powder with the accompanying quantity of sterile water for injection, USP, gives a 5% protein solution suitable for IV administration. This final solution contains, per milliliter, 50 ± 5 mg of IgG, 50 mg of glucose as a stabilizer, and 3 mg of sodium chloride. Trace amounts of IgM and IgA are also present. The reconstituted solution is clear, colorless, and free of detectable aggregates. It contains no preservative.

- Kepivance™ (palifermin) is supplied as a sterile, white, preservative-free, lyophilized powder for IV injection after reconstitution with 1.2 mL of sterile water for injection, USP. Reconstitution yields a clear, colorless solution of Kepivance (5 mg/mL) with a pH of 6.5. Each single-use vial of Kepivance contains 6.25 mg palifermin, 50 mg mannitol, 25 mg sucrose, 1.94 mg L-histidine, and 0.13 mg polysorbate 20 (0.01% w/v).
- Kineret® (anakinra) is supplied in single-use prefilled glass syringes with 27-gauge needles as a sterile, clear, colorless to white, preservative-free solution for daily SC administration. Each prefilled glass syringe contains 0.67 mL (100 mg) of anakinra in a solution (pH 6.5) containing sodium citrate (1.29 mg), sodium chloride (5.48 mg), disodium EDTA (0.12 mg), and polysorbate 80 (0.70 mg) in water for injection, USP.
- Koate-DVI contains purified and concentrated factor VIII. When reconstituted as directed, Koate-DVI contains approximately 50 to 150 times as much factor VIII as an equal volume of fresh plasma. The specific activity, after addition of albumin (human), is in the range of 9 to 22 IU/mg protein. Koate-DVI must be administered by the IV route. The final product when reconstituted as directed contains NMT 1500 µg/mL polyethylene glycol (PEG), NMT 0.05 M glycine, NMT 25 µg/mL polysorbate 80, NMT 5 µg/g tri-*w*-butyl phosphate (TNBP), NMT 3 mM calcium, NMT 1 µg/mL aluminum, NMT 0.06 M histidine, and NMT 10 mg/mL albumin (human).
- Koate-DVI, antihemophilic factor (human), is a sterile, stable, purified, dried concentrate of human antihemophilic factor (AHF, factor VIII, AHG). The specific activity, after addition of albumin (human), is in the range of 9 to 22 IU/mg protein. The final product when reconstituted as directed contains NMT 1500 µg/mL polyethylene glycol (PEG), NMT 0.05 M glycine, NMT 25 µg/mL polysorbate 80, NMT 5 µg/g tri-*w*-butyl phosphate (TNBP), NMT 3 mM calcium, NMT 1 µg/mL aluminum, NMT 0.06 M histidine, and NMT 10 mg/mL albumin (human).
- Kogenate® FS antihemophilic factor (recombinant) is a sterile, stable, purified, nonpyrogenic, dried concentrate formulated with sucrose (0.9–1.3%), glycine (2125 mg/mL), and histidine (18–23 mM) as stabilizers in the final container in place of albumin (human) as used in Kogenate, and is then lyophilized. The final product also contains calcium chloride (2–3 mM), sodium (27–36 mEq/L), chloride (32–40 mEq/L), polysorbate 80 (NMT 96 µg/mL), imidazole (NMT 20 µg/1000 IU), tri-*w*-butyl phosphate (NMT 5 µg/1000 IU), and copper (NMT 0.6 µg/1000 IU). The product contains no preservatives. The amount of sucrose in each vial is 28 mg. Intravenous administration of sucrose contained in Kogenate FS will not affect blood glucose levels. Each vial of Kogenate FS contains the labeled amount of recombinant FVIII in international units. One IU, as defined by the World Health Organization standard for blood coagulation FVIII, human, is approximately equal to the level of FVIII activity found in 1 mL of fresh pooled human plasma. Kogenate FS must be administered by the IV route.
- Lacrisert (hydroxypropyl cellulose ophthalmic insert) is a sterile, translucent, rod-shaped, water-soluble, ophthalmic insert made of hydroxypropyl cellulose, for administration into the inferior cul-de-sac of the eye. Each Lacrisert is 5 mg of hydroxypropyl cellulose. Lacrisert contains no preservatives or other ingredients. It is approximately 1.27 mm in diameter by approximately 3.5 mm long.
- Lanoxin (digoxin), injection pediatric, is a sterile solution of digoxin for IV or IM injection. The vehicle contains 40% propylene glycol and 10% alcohol. The injection is buffered to a pH of 6.8 to 7.2 with 0.17% sodium phosphate and 0.08% anhydrous citric acid. Each 1-mL ampoule contains 100 µg (0.1 mg) digoxin. Dilution is not required. Lanoxin injection is a sterile solution of digoxin for IV or IM injection. The vehicle contains 40% propylene glycol and 10% alcohol. The injection is buffered to a pH of 6.8 to 7.2 with 0.17% dibasic sodium phosphate and 0.08% anhydrous citric acid. Each 2-mL ampoule contains 500 µg (0.5 mg) digoxin [250 µg (0.25 mg/mL)]. Dilution is not required.
- Lantus® [insulin glargine (rDNA origin) injection] is a sterile solution of insulin glargine for use as an injection. Each milliliter of Lantus (insulin glargine injection) contains 100 IU (3.6378 mg) insulin glargine, 30 µg zinc, 2.7 mg *m*-cresol, 20 mg glycerol 85%, and water for injection. The pH is adjusted by addition of aqueous solutions of hydrochloric acid and sodium hydroxide. Lantus has a pH of approximately 4.
- Leukine® (sargramostim) liquid is formulated as a sterile, preserved (1.1% benzyl alcohol), injectable solution (500 µg/mL) in a vial. Lyophilized Leukine is a sterile, white, preservative-free powder (250 µg) that requires reconstitution with 1 mL sterile water for injection, USP, or 1 mL bacteriostatic water for injection, USP. Liquid Leukine and reconstituted lyophilized Leukine are clear, colorless liquids suitable for SC injection or IV infusion. Liquid Leukine

- contains 500 μg (2.8×10^6 IU/mL) sargramostim, 1.9 mg/mL edetate disodium, and 1.1% benzyl alcohol in a 1-mL solution. The vial of lyophilized Leukine contains 250 μg (1.4×10^6 IU/vial) sargramostim. The liquid Leukine vial and reconstituted lyophilized Leukine vial also contain 40 mg/mL mannitol, USP; 10 mg/mL sucrose, NF, and 1.2 mg/mL tro-methamine, USP, as excipients.
- Lovenox injection is available in two concentrations: (1) 100 mg/mL; prefilled syringes: 30 mg/0.3 mL, 40 mg/0.4 mL; graduated prefilled syringes: 60 mg/0.6 mL, 80 mg/0.8 mL, 100 mg/1 mL; multiple-dose vials: 300 mg/3.0 mL; Lovenox injection (100 mg/mL): concentration contains 10 mg enoxaparin sodium (approximate antifactor Xa activity of 1000 IU/0.1 mL water for injection); (2) 150 mg/mL; graduated prefilled syringes: 120 mg/0.8 mL, 150 mg/1 mL; Lovenox injection (150 mg/mL): concentration contains 15 mg enoxaparin sodium (approximate antifactor Xa activity of 1500 IU/0.1 mL water for injection). The Lovenox prefilled syringes and graduated prefilled syringes are preservative-free and intended for use only as a single-dose injection. The multiple-dose vial contains 15 mg/1.0 mL benzyl alcohol as a preservative. The pH of the injection is 5.5 to 7.5.
 - LPVirazole[®], a brand name for ribavirin for inhalation solution, is a sterile, lyophilized powder to be reconstituted for aerosol administration. Each 100 mL glass vial contains 6 g of ribavirin and when reconstituted to the recommended volume of 300 mL with sterile water for injection or sterile water for inhalation (no preservatives added), will contain 20 mg/mL of ribavirin, pH approximately 5.5. Aerosolization is to be carried out in a small particle aerosol generator (SPAG-2) nebulizer only.
 - Lupron Depot-PED, leuprolide acetate, is available in a prefilled dual-chamber syringe containing sterile lyophilized microspheres which, when mixed with diluent, become a suspension intended as a single IM injection. The front chamber of Lupron Depot-PED 7.5 mg, 11.25 mg, and 15 mg prefilled dual-chamber syringe contains leuprolide acetate (7.5/11.25/15 mg), purified gelatin (1.3/1.95/2.6 mg), D-lactic and glycolic acids copolymer (66.2/99.3/132.4 mg), and D-mannitol (13.2/19.8/26.4 mg). The second chamber of diluent contains carboxymethylcellulose sodium (5 mg), D-mannitol (50 mg), polysorbate 80 (1 mg), water for injection, USP, and glacial acetic acid, USP, to control pH. During the manufacture of Lupron Depot-PED, acetic acid is lost, leaving the peptide.
 - Maxipime (cefepime hydrochloride, USP) for injection is supplied for IM or IV administration in strengths equivalent to 500 mg, 1 g, and 2 g of cefepime. Maxipime is a sterile, dry mixture of cefepime hydrochloride and L-arginine. It contains the equivalent of not less than 90% and not more than 115% of the labeled amount of cefepime. The L-arginine, at an approximate concentration of 725 mg/g of cefepime, is added to control the pH of the constituted solution at 4 to 6. Freshly constituted solutions of Maxipime will range in color from colorless to amber.
 - Mefoxin (cefoxitin for injection) contains approximately mg (2.3 mEq) of sodium per gram of cefoxitin activity. Solutions of Mefoxin range from colorless to light amber in color. The pH of freshly constituted solutions usually ranges from 4.2 to 7.0.
 - Merrem[®] IV (meropenem for injection) is a sterile, pyrogen-free, synthetic, broad-spectrum carbapenem antibiotic for IV administration. When constituted as instructed (see Dosage and Administration; Preparation of Solution), each 1-g Merrem IV vial will deliver 1 g of meropenem and 90.2 mg of sodium as sodium carbonate (3.92 mEq). Each 500-mg Merrem IV vial will deliver 500 mg meropenem and 45.1 mg of sodium as sodium carbonate (1.96 mEq).
 - Meruvax II is a sterile lyophilized preparation of the Wistar Institute RA 27/3 strain of live attenuated rubella virus. Each dose of the vaccine is calculated to contain sorbitol (14.5 mg), sodium phosphate, sucrose (1.9 mg), sodium chloride, hydrolyzed gelatin (14.5 mg), human albumin (0.3 mg), fetal bovine serum (<1 ppm), other buffer and media ingredients, and approximately 25 μg of neomycin. The product contains no preservative. Before reconstitution, the lyophilized vaccine is a light yellow compact crystalline plug. Meruvax II, when reconstituted as directed, is clear yellow.
 - Miacalcin[®] (calcitonin-salmon) injection is provided in sterile solution for IM injection. Each milliliter contains calcitonin-salmon 200 IU; acetic acid, USP, 2.25 mg; phenol, USP, 5.0 mg; sodium acetate trihydrate, USP, 2.0 mg; sodium chloride, USP, 7.5 mg; water for injection, USP, QS to 1.0 mL.
 - Mirena[®] (levonorgestrel-releasing intrauterine system) consists of a T-shaped polyethylene frame (T-body) with a steroid reservoir (hormone elastomer core) around the vertical stem. The reservoir consists of a cylinder, made of a mixture of levonorgestrel and silicone (polydimethylsiloxane), containing a total of 52 mg levonorgestrel. The reservoir is covered by a silicone (polydimethylsiloxane) membrane. The T-body is 32 mm in both the horizontal and vertical directions. The polyethylene of the T-body is compounded with barium sulfate, which makes it radiopaque. A monofilament brown polyethylene removal thread is attached to a loop at the end of the vertical stem of the T-body. Mirena is packaged sterile within an inserter. The inserter, which is used for insertion of Mirena into the uterine cavity, consists of a symmetric two-sided body and slider that are integrated with flange, lock, prebent insertion tube, and plunger. Once Mirena is in place, the inserter is discarded.

- Mivacron (mivacurium chloride) is a sterile, nonpyrogenic solution (pH 3.5–5.0) containing mivacurium chloride equivalent to 2 mg/mL mivacurium in water for injection. Hydrochloric acid may have been added to adjust pH. Multiple-dose vials contain 0.9% w/v benzyl alcohol.
- M-M-RII (measles, mumps, and rubella virus vaccine live) is a live virus vaccine for vaccination against measles (rubella), mumps, and rubella (German measles). M-M-RII is a sterile lyophilized preparation of (1) Attenuvax (measles virus vaccine live), (2) Mumpsavax (mumps virus vaccine live), and (3) Meruvax II (rubella virus vaccine live). The reconstituted vaccine is for SC administration. Each 0.5-mL dose contains not less than 1000 TCID₅₀ (tissue culture infectious doses) of measles virus; 20,000 TCID₅₀ of mumps virus; and 1000 TCID₅₀ of rubella virus. Each dose of the vaccine is calculated to contain sorbitol (14.5 mg), sodium phosphate, sucrose (1.9 mg), sodium chloride, hydrolyzed gelatin (14.5 mg), human albumin (0.3 mg), fetal bovine serum (<1 ppm), other buffer and media ingredients, and approximately 25 µg of neomycin. The product contains no preservative. Before reconstitution, the lyophilized vaccine is a light yellow compact crystalline plug. M-M-RII, when reconstituted as directed, is clear yellow.
- Mycamine is a sterile, lyophilized product for IV infusion that contains micafungin sodium. Each single-use vial contains 50 mg micafungin sodium, 200 mg lactose, with citric acid, and/or sodium hydroxide (used for pH adjustment). Mycamine must be diluted with 0.9% sodium chloride injection, USP, or 5% dextrose injection, USP. Following reconstitution with 0.9% sodium chloride injection, USP, the resulting pH of the solution is between 5 and 7.
- Mylotarg® (gemtuzumab ozogamicin for injection) is a sterile, white, preservative-free lyophilized powder containing 5 mg of drug conjugate (protein equivalent) in an amber vial. The drug product is light sensitive and must be protected from direct and indirect sunlight and unshielded fluorescent light during the preparation and administration of the infusion. The inactive ingredients are dextran 40, sucrose, sodium chloride, monobasic and dibasic sodium phosphate.
- Nabi-HB hepatitis B immune globulin (human) is a sterile solution of immunoglobulin ($5 \pm 1\%$ protein) containing antibodies to hepatitis B surface antigen (anti-HBs). Nabi-HB is formulated in 0.075 M sodium chloride, 0.15 M glycine, and 0.01% polysorbate 80, at pH 6.2. The product is supplied as a nonturbid sterile liquid in single-dose vials and appears as clear to opalescent. It contains no preservative and is intended for single use by the IM route only.
- Naropin® injection contains ropivacaine HCl is preservative-free and is available in single-dose containers in 2.0 (0.2%), 5.0 (0.5%), 7.5 (0.75%), and 10.0 mg/mL (1.0%) concentrations. The specific gravity of Naropin injection solutions ranges from 1.002 to 1.005 at 25°C.
- Natrecor® (nesiritide) is a sterile, purified preparation of human B-type natriuretic peptide (hBNP) and is manufactured from *Escherichia coli* using recombinant DNA technology. Natrecor is formulated as the citrate salt of rhBNP and is provided in a sterile, single-use vial. Each 1.5-mg vial contains a white to off-white lyophilized powder for IV administration after reconstitution. The quantitative composition of the lyophilized drug per vial is the following: nesiritide, 1.58 mg; mannitol, 20.0 mg; citric acid monohydrate, 2.1 mg; and sodium citrate dihydrate, 2.94 mg.
- Navelbine (vinorelbine tartrate) injection is for IV administration. Each vial contains vinorelbine tartrate equivalent to 10 mg (1-mL vial) or 50 mg (5-mL vial) vinorelbine in water for injection. No preservatives or other additives are present. The aqueous solution is sterile and nonpyrogenic. The aqueous solubility is >1000 mg/mL in distilled water. The pH of Navelbine injection is approximately 3.5.
- Neulasta® (pegfilgrastim) supplied in 0.6-mL prefilled syringes for SC injection. Each syringe contains 6 mg pegfilgrastim (based on protein weight), in a sterile, clear, colorless, preservative-free solution (pH 4.0) containing acetate (0.35 mg), sorbitol (30.0 mg), polysorbate 20 (0.02 mg), and sodium (0.02 mg) in water for injection, USP.
- Neumega. Oprelvekin, the active ingredient in Neumega, is produced in *E. coli* by recombinant DNA technology. Neumega is formulated in single-use vials containing 5 mg of oprelvekin (specific activity approximately 8×10^6 U/mg) as a sterile, lyophilized powder with 23 mg glycine, USP, 1.6 mg dibasic sodium phosphate heptahydrate, USP, and 0.55 mg monobasic sodium phosphate monohydrate, USP. When reconstituted with 1 mL of sterile water for injection, USP, the resulting solution has a pH of 7.0 and a concentration of 5 mg/mL.
- Neupogen® Filgrastim is a sterile, clear, colorless, preservative-free liquid for parenteral administration containing Filgrastim at a specific activity of $1.0 \pm 0.6 \times 10^8$ U/mg (as measured by a cell mitogenesis assay). The product is available in single-use vials and prefilled syringes. The single-use vials contain either 300 or 480 µg filgrastim at a fill volume of 1.0 or 1.6 mL, respectively. The single-use prefilled syringes contain either 300 or 480 µg filgrastim at a fill volume of 0.5 or 0.8 mL, respectively. It contains acetate, 0.59/mg; sorbitol, 50 mg/mL; sodium, 0.035/mL; and Tween 80, 0.004%.
- Nexium® IV (esomeprazole sodium) for injection is supplied as a sterile, freeze-dried, white to off-white, porous cake or powder in a 5-mL vial, intended for IV administration after reconstitution with 0.9% sodium chloride injection, USP; lactated

Ringer's injection, USP, or 5% dextrose injection, USP. Nexium IV for injection contains esomeprazole sodium, 21.3 or 42.5 mg; equivalent to esomeprazole, 20 or 40 mg; edetate disodium, 1.5 mg; and sodium hydroxide, QS for pH adjustment. The pH of reconstituted solution of Nexium IV for injection depends on the reconstitution volume and is in the pH range of 9 to 11. The stability of esomeprazole sodium in aqueous solution is strongly pH dependent. The rate of degradation increases with decreasing pH.

- Infasurf[®] (calfactant) intratracheal suspension is a sterile, nonpyrogenic lung surfactant intended for intratracheal instillation only. It is an extract of natural surfactant from calf lungs which includes phospholipids, neutral lipids, and hydrophobic surfactant-associated proteins B and C (SP-B and SP-C). It contains no preservatives. Infasurf is an off-white suspension of calfactant in 0.9% aqueous sodium chloride solution. It has a pH of 5.0 to 6.2 (target pH 5.7). Each milliliter of Infasurf contains 35 mg total phospholipids (including 26 mg phosphatidylcholine of which 16 mg is disaturated phosphatidylcholine) and 0.65 mg proteins including 0.26 mg of SP-B.
- Nimbex (cisatracurium besylate) injection is a sterile, nonpyrogenic aqueous solution provided in 5-, 10-, and 20-mL vials. The pH is adjusted to 3.25 to 3.65 with benzenesulfonic acid. The 5- and 10-mL vials each contain cisatracurium besylate, equivalent to 2 mg/mL cisatracurium. The 20-mL vial, intended for ICU use only, contains cisatracurium besylate, equivalent to 10 mg/mL cisatracurium. The 10-mL vial, intended for multiple-dose use, contains 0.9% benzyl alcohol as a preservative. The 5- and 20-mL vials are single-use vials and do not contain benzyl alcohol. Cisatracurium besylate slowly loses potency with time at a rate of approximately 5% per year under refrigeration (5°C).
- Nipent[®] (pentostatin for injection) is supplied as a sterile, apyrogenic, lyophilized powder in single-dose vials for IV administration. Each vial contains 10 mg of pentostatin and 50 mg of mannitol, USP. The pH of the final product is maintained between 7.0 and 8.5 by addition of sodium hydroxide or hydrochloric acid.
- Norditropin[®] is the Novo Nordisk A/S registered trademark for somatotropin, a polypeptide hormone of recombinant DNA origin. Norditropin cartridges are supplied as solutions in ready-to-administer cartridges or prefilled pens with a volume of 1.5 mL. Each Norditropin cartridge contains the following: somatotropin, 5 mg/1.5 mL, 10 mg/1.5 mL, 15 mg/1.5 mL; histidine, 1, 1.7 mg; poloxamer 188, 4.5 mg; phenol, 4.5 mg; mannitol, 60 mg, 58 mg; HCl/NaOH, QS; water for injection, add 1.5 mL.
- Norflex. Orphenadrine citrate injection contains 60 mg of orphenadrine citrate in aqueous solution in each ampoule. Norflex injection also contains sodium bisulfite NF, 2.0 mg; sodium chloride, USP, 5.8 mg; sodium hydroxide, to adjust pH; and water for injection USP, QS to 2 mL.
- NovoLog[®] [insulin aspart (rDNA origin) injection] is a human insulin analog that is a rapid-acting parenteral blood glucose-lowering agent. NovoLog is a sterile, aqueous, clear, and colorless solution that contains insulin aspart (B28 asp regular human insulin analog), 100 U/mL; glycerin, 16 mg/mL; phenol, 1.50 mg/mL; metacresol, 1.72 mg/mL; zinc, 19.6 µg/mL; disodium hydrogen phosphate dihydrate, 1.25 mg/mL; and sodium chloride, 0.58 mg/mL. NovoLog has a pH of 7.2 to 7.6. Hydrochloric acid 10% and/or sodium hydroxide 10% may be added to adjust pH.
- NovoLog Mix 70/30 [70% insulin aspart protamine suspension and 30% insulin aspart injection, (rDNA origin)] is a human insulin analog suspension containing 70% insulin aspart protamine crystals and 30% soluble insulin aspart. NovoLog Mix 70/30 is a uniform, white, sterile suspension that contains insulin aspart (B28 asp regular human insulin analog), 100 U/mL; mannitol, 36.4 mg/mL; phenol, 1.50 mg/mL; metacresol, 1.72 mg/mL; zinc, 19.6 µg/mL; disodium hydrogen phosphate dihydrate, 1.25 mg/mL; sodium chloride, 0.58 mg/mL; and protamine sulfate, 0.33 mg/mL. NovoLog Mix 70/30 has a pH of 7.20 to 7.44. Hydrochloric acid or sodium hydroxide may be added to adjust pH.
- NovoSeven[®] is recombinant human coagulation factor Vila (rFVIIa). NovoSeven is supplied as a sterile, white lyophilized powder of rFVIIa in single-use vials. Each vial of lyophilized drug contains the following: 1.2 mg (60 KIU), 2.4 mg (120 KIU), 4.8 mg (240 KIU) corresponding to rFVIIa, 1200, 2400, 4800 µg respectively; sodium chloride—5.84, 11.68, 23.36 mg respectively; calcium chloride dehydrate—2.94, 5.88, 11.76 mg respectively; glycylglycine—2.64, 5.28, 10.56 mg respectively; polysorbate 80—0.14, 0.28, 0.56 mg respectively; and mannitol—60.0, 120.0, 240.0 mg respectively. After reconstitution with the appropriate volume of sterile water for injection, each vial contains approximately 0.6 mg/mL NovoSeven (corresponding to 600 µg/mL). The reconstituted vials have a pH of approximately 5.5 in sodium chloride (3 mg/mL), calcium chloride dihydrate (1.5 mg/mL), glycylglycine (1.3 mg/mL), polysorbate 80 (0.1 mg/mL), and mannitol (30 mg/mL). The reconstituted product is a clear, colorless solution which contains no preservatives.
- Nutropin (hGh) is a sterile, white, lyophilized powder intended for SC administration after reconstitution with bacteriostatic water for injection, USP (benzyl alcohol preserved). The reconstituted product is nearly isotonic at a concentration of 5 mg/mL growth hormone (GH) and has a pH of approximately

- 7.4. Each 5-mg Nutropin vial contains 5 mg (approximately 15 IU) somatropin, lyophilized with 45 mg mannitol, 1.7 mg sodium phosphates (0.4 mg sodium phosphate monobasic and 1.3 mg sodium phosphate dibasic), and 1.7 mg glycine. Each 10-mg Nutropin vial contains 10 mg (approximately 30 IU) somatropin, lyophilized with 90 mg mannitol, 3.4 mg sodium phosphates (0.8 mg sodium phosphate monobasic and 2.6 mg sodium phosphate dibasic), and 3.4 mg glycine. Bacteriostatic water for injection, USP, is sterile water containing 0.9% benzyl alcohol per milliliter as an antimicrobial preservative packaged in a multidose vial. The diluent pH is 4.5 to 7.0.
- Nutropin AQ is a human growth hormone (HGH) produced by recombinant DNA technology. Nutropin AQ is a sterile liquid intended for SC administration. The product is nearly isotonic at a concentration of 5 mg of GH/mL and has a pH of approximately 6. The Nutropin AQ, 2-mL vial, contains 10 mg (approximately 30 IU) somatropin, formulated in 17.4 mg sodium chloride, 5 mg phenol, 4 mg polysorbate 20, and 10 mM sodium citrate. The Nutropin AQ 2-mL pen cartridge contains 10 mg (approximately 30 IU) somatropin, formulated in 17.4 mg sodium chloride, 5 mg phenol, 4 mg polysorbate 20, and 10 mM sodium citrate.
 - Ontak[®] (denileukin diftitox) is supplied in single-use vials as a sterile, frozen solution intended for IV administration. Each 2-mL vial of Ontak contains 300 µg of recombinant denileukin diftitox in a sterile solution of citric acid (20 mM), EDTA (0.05 mM), and polysorbate 20 (<1%) in water for injection, USP. The solution has a pH of 6.9 to 7.2.
 - Optipranolol[®] (metipranolol ophthalmic solution), 0.3%, contains metipranolol. Each milliliter of Optipranolol contains 3 mg metipranolol. Inactives: povidone, glycerin, hydrochloric acid, sodium chloride, edetate disodium, and purified water. Sodium hydroxide and/or hydrochloric acid may be added to adjust pH. Preservative: benzalkonium chloride, 0.004%.
 - Optivar[®] (azelastine hydrochloride ophthalmic solution), 0.05%, is a sterile ophthalmic solution containing azelastine hydrochloride. Each milliliter of Optivar contains the following: active—0.5 mg azelastine hydrochloride, equivalent to 0.457 mg of azelastine base; preservative—0.125 mg benzalkonium chloride; inactives—disodium edetate dihydrate, hydroxypropylmethylcellulose, sorbitol solution, sodium hydroxide, and water for injection. It has a pH of approximately 5.0 to 6.5 and an osmolality of approximately 271 to 312 mOsm/L.
 - Orthovisc[®] is a sterile, nonpyrogenic, clear, viscoelastic solution of hyaluronan contained in a single-use syringe. Orthovisc consists of high molecular weight (1.0–2.9 million Da), ultrapure natural hyaluronan dissolved in physiological saline. Hyaluronan is a natural complex sugar of the glycosaminoglycan family. The hyaluronan is extracted from chicken combs.
 - Panhematin (hemin for injection) is a sterile, lyophilized powder suitable for IV administration after reconstitution. Each dispensing vial of Panhematin contains the equivalent of 313 mg hemin, 215 mg sodium carbonate, and 300 mg of sorbitol. The pH may have been adjusted with hydrochloric acid; the product contains no preservatives. When mixed as directed with sterile water for injection, USP, each 43 mL provides the equivalent of approximately 300 mg hematin (
 - Patanol (olopatadine hydrochloride ophthalmic solution), 0.1%, is a sterile ophthalmic solution containing olopatadine. Each milliliter of Patanol contains active: 1.11 mg olopatadine hydrochloride equivalent to 1 mg olopatadine; preservative: benzalkonium chloride 0.01%; inactives: dibasic sodium phosphate, sodium chloride, hydrochloric acid/sodium hydroxide (adjust pH), and purified water. It has a pH of approximately 7 and an osmolality of approximately 300 mOsm/kg.
 - Pediarix[®] [diphtheria and tetanus toxoids and acellular pertussis adsorbed, hepatitis B (recombinant) and inactivated poliovirus vaccine combined] is a noninfectious, sterile, multivalent vaccine. Each 0.5-mL dose also contains mg of 2-phenoxyethanol as a preservative, 4.5 mg of NaCl, and aluminum adjuvant (not more than 0.85 mg aluminum by assay). Each dose also contains ≤100 µg of residual formaldehyde and ≤100 µg of polysorbate 80 (Tween 80). Thimerosal is used at the early stages of manufacture and is removed by subsequent purification steps to below the analytical limit of detection (<25 ng of mercury/20 µg HBsAg) which upon calculation is <12.5 ng mercury/dose. Neomycin sulfate and polymyxin B are used in the polio vaccine manufacturing process and may be present in the final vaccine at ≤0.05 ng neomycin and ≤0.01 ng polymyxin B/dose. The procedures used to manufacture the HBsAg antigen result in a product that contains ≤5% yeast protein.
 - PEG-Intron[®], peginterferon alpha-2b, powder for injection is a covalent conjugate of recombinant alpha-2b interferon with monomethoxy polyethylene glycol (PEG). PEG-Intron is supplied in both vial and the Redipen[®] for SC use. Vials: each vial contains either 74, 118.4, 177.6, or 222 µg of PEG-Intron as a white to off-white tablet-like solid, that is whole/ in pieces or as a loose powder, and 1.11 mg dibasic sodium phosphate anhydrous, 1.11 mg monobasic sodium phosphate dihydrate, 59.2 mg sucrose, and 0.074 mg polysorbate 80. Following reconstitution with 0.7 mL of the supplied sterile water for injection, USP, each vial contains PEG-Intron at strengths of either 50 µg/0.5 mL, 80 µg/0.5 mL, 120 µg/0.5 mL, or 150 µg/0.5 mL.

- Redipen is a dual-chamber glass cartridge containing lyophilized PEG-Intron as a white to off-white tablet or powder that is whole or in pieces in the sterile active chamber and a second chamber containing sterile water for injection, USP. Each PEG-Intron Redipen contains either 67.5, 108, 162, or 202.5 μg of PEG-Intron, and 1.013 mg dibasic sodium phosphate anhydrous, 1.013 mg monobasic sodium phosphate dihydrate, 54 mg sucrose, and 0.0675 mg polysorbate 80. Each cartridge is reconstituted to allow for the administration of up to 0.5 mL of solution. Following reconstitution, each Redipen contains PEG-Intron at strengths of either 50 $\mu\text{g}/0.5$ mL, 80 $\mu\text{g}/0.5$ mL, 120 $\mu\text{g}/0.5$ mL, or 150 $\mu\text{g}/0.5$ mL for single use. Because a small volume of reconstituted solution is lost during preparation of PEG-Intron, each Redipen contains an excess amount of PEG-Intron powder and diluent to ensure delivery of the labeled dose.
- Plasbumin-25, albumin (human) 25%, USP (Plasbumin-25), is a 25% sterile solution of albumin in an aqueous diluent. The preparation is stabilized with 0.02 M sodium caprylate and 0.02 M acetyltryptophan. The aluminum content of the product is not more than 200 $\mu\text{g}/\text{L}$. The approximate sodium content of the product is 145 mEq/L. It contains no preservative. Plasbumin-25 must be administered intravenously. Each vial of Plasbumin-25 is heat-treated at 60°C for 10 hours against the possibility of transmitting the hepatitis viruses. Albumin (human) 5%, USP (Plasbumin® -5) is a 5% sterile solution of albumin in an aqueous diluent. The preparation is stabilized with 0.004 M sodium caprylate and 0.004 M acetyltryptophan. The aluminum content of the product is not more than 200 $\mu\text{g}/\text{L}$. The approximate sodium content of the product is 145 mEq/L. It contains no preservative. Plasbumin-5 must be administered intravenously. Each vial of Plasbumin-5 is heat-treated at 60°C for 10 hours against the possibility of transmitting the hepatitis viruses.
- Plasmanate. Each 100 mL of plasma protein fraction (human) 5%, USP-Plasmanate® contains 5 g selected plasma proteins buffered with sodium carbonate and stabilized with 0.004 M sodium caprylate and 0.004 M acetyltryptophan. The plasma proteins consist of approximately 88% normal human albumin, 12% alpha- and beta-globulins, and not more than 1% gamma-globulin as determined by electrophoresis. The concentration of these proteins is such that this solution is isoconcentric with normal human plasma and is isotonic. The approximate concentrations of the significant electrolytes in Plasmanate are sodium, 145 mEq/L; potassium, 0.25 mEq/L; and chloride, 100 mEq/L. Plasmanate must be administered intravenously. This product is designed to bring to the medical profession a preparation derived from human blood and similar to human plasma. Each vial of Plasmanate is sterile and heat-treated at 60°C for 10 hours against the possibility of transmitting the hepatitis viruses.
- Premarin® intravenous (conjugated estrogens, USP) for injection contains a mixture of conjugated estrogens obtained exclusively from natural sources, occurring as the sodium salts of water-soluble estrogen sulfates blended to represent the average composition of materials derived from pregnant mares' urine. It is a mixture of sodium estrone sulfate and sodium equilin sulfate. It contains as concomitant components, as sodium sulfate conjugates, 17-alpha-dihydroequilin, 17-alpha-estradiol, and 17-beta-dihydroequilin. Each Secule® vial contains 25 mg of conjugated estrogens, USP, in a sterile lyophilized cake which also contains lactose, 200 mg; sodium citrate, 12.2 mg; and simethicone, 0.2 mg. The pH is adjusted with sodium hydroxide or hydrochloric acid. A sterile diluent (5 mL) containing 2% benzyl alcohol in sterile water is provided for reconstitution. The reconstituted solution is suitable for IV or IM injection.
- Prevnar®, pneumococcal 7-valent conjugate vaccine (diphtheria CRM 197 protein), is manufactured as a liquid preparation. Each 0.5-mL dose is formulated to contain 2 μg of each saccharide for serotypes 4, 9V, 14, 18C, 19F, and 23F, and 4 μg of serotype 6B per dose (16 μg total saccharide); approximately 20 μg of CRM 197 carrier protein; and 0.125 mg of aluminum/0.5-mL dose as aluminum phosphate adjuvant.
- Prograf (tacrolimus injection) containing the equivalent of 5 mg anhydrous tacrolimus in 1 mL contains polyoxyl 60 hydrogenated castor oil, 200 mg, and dehydrated alcohol, USP, 80.0% v/v. Prograf injection must be diluted with 0.9% sodium chloride injection or 5% dextrose injection before use.
- Prolastin®, alpha-1 proteinase inhibitor (human), is a sterile, stable, lyophilized preparation of purified human alpha-1 proteinase inhibitor (alpha-1 PI). When reconstituted, Prolastin has a pH of 6.6 to 7.4, a sodium content of 100 to 210 mEq/L, a chloride content of 60 to 180 mEq/L, a sodium phosphate content of 0.015 to 0.025 M, a polyethylene glycol content of NMT 5 ppm, and NMT 0.1% sucrose.
- Prolastin, each vial of Prolastin contains the labeled amount of functionally active alpha-1-PI in milligrams per vial (mg/vial). Prolastin contains no preservative and must be administered by the IV route. The specific activity of Prolastin is ≥ 0.35 mg functional alpha-1-PI/mg protein and when reconstituted as directed, the concentration of alpha-1-PI is ≥ 20 mg/mL. When reconstituted, Prolastin has a pH of 6.6 to 7.4, a sodium content of 100 to 210 mEq/L, a chloride content of 60 to 180 mEq/L, a sodium phosphate content of 0.015 to 0.025 M, a polyethylene glycol content of NMT 5 ppm, and NMT 0.1% sucrose. Prolastin contains small amounts of other plasma proteins including alpha-2 plasmin inhibitor,

- alpha-1 antichymotrypsin, C 1-esterase inhibitor, haptoglobin, antithrombin III, alpha-1 lipoprotein, albumin, and IgA.
- Proleukin® (aldesleukin) for injection is a sterile, white to off-white, lyophilized cake in single-use vials intended for IV administration. When reconstituted with 1.2 mL sterile water for injection, USP, each milliliter contains 18 million IU (1.1 mg) Proleukin, 50 mg mannitol, and 0.18 mg sodium dodecyl sulfate, buffered with approximately 0.17 mg monobasic and 0.89 mg dibasic sodium phosphate to a pH of 7.5 (range 7.2–7.8). The manufacturing process for Proleukin involves fermentation in a defined medium containing tetracycline hydrochloride. The presence of the antibiotic is not detectable in the final product. Proleukin contains no preservatives in the final product.
 - Pulmicort Respules sterile suspension for inhalation via jet nebulizer and contains the active ingredient budesonide (micronized) and the inactive ingredients disodium edetate, sodium chloride, sodium citrate, citric acid, polysorbate 80, and water for injection. Two dose strengths are available in single-dose ampoules (Respules™ ampoules): 0.25 and 0.5 mg/2 mL Respule ampoule.
 - Pulmozyme® (dornase alpha) inhalation solution is a sterile, clear, colorless, highly purified solution of recombinant human deoxyribonuclease I (rhDNase), an enzyme which selectively cleaves DNA. Each Pulmozyme single-use ampoule will deliver 2.5 mL of the solution to the nebulizer bowl. The aqueous solution contains 1.0 mg/mL dornase alpha, 0.15 mg/mL calcium chloride dihydrate, and 8.77 mg/mL sodium chloride. The solution contains no preservative. The nominal pH of the solution is 6.3.
 - Quixin® (levofloxacin ophthalmic solution), 0.5%, is a sterile topical ophthalmic solution. Each milliliter of Quixin contains 5.12 mg of levofloxacin hemihydrate equivalent to 5 mg levofloxacin. Active: levofloxacin, 0.5% (5 mg/mL); preservative: benzalkonium chloride, 0.005%; inactives: sodium chloride and water. May also contain hydrochloric acid and/or sodium hydroxide to adjust pH. Quixin solution is isotonic and formulated at pH 6.5 with an osmolality of approximately 300 mOsm/kg. Levofloxacin is a fluorinated 4-quinolone containing a six-member (pyridobenzoxazine) ring from positions 1 to 8 of the basic ring structure.
 - RabAvert® rabies vaccine is a sterile freeze-dried vaccine obtained by growing the fixed-virus strain flury LEP in primary cultures of chicken fibroblasts. The vaccine is lyophilized after addition of a stabilizer solution which consists of buffered polygeline and potassium glutamate. One dose of reconstituted vaccine contains less than 12 mg polygeline (processed bovine gelatin), less than 0.3 mg human serum albumin, 1 mg potassium glutamate, and 0.3 mg sodium EDTA. Small quantities of bovine serum are used in the cell culture process. RabAvert is intended for IM injection. The vaccine contains no preservative and should be used immediately after reconstitution with the supplied Sterile Diluent for RabAvert (water for injection). RabAvert is a white, freeze-dried vaccine for reconstitution with the diluent prior to use; the reconstituted vaccine is a clear to slightly opaque, colorless suspension.
 - Raptiva® (efalizumab) is supplied as a sterile, white to off-white, lyophilized powder in single-use glass vials for SC injection. Reconstitution of the single-use vial with mL of the supplied sterile water for injection (non-USP) yields approximately 1.5 mL of solution to deliver 125 mg/1.25 mL (100 mg/mL) of Raptiva. The sterile water for injection supplied does not comply with USP requirement for pH. After reconstitution, Raptiva is a clear to pale yellow solution with a pH of approximately 6.2. Each single-use vial of Raptiva contains 150 mg of efalizumab, 123.2 mg of sucrose, 6.8 mg of L-histidine hydrochloride monohydrate, 4.3 mg of L-histidine, and 3 mg of polysorbate 20 and is designed to deliver 125 mg of efalizumab in 1.25 mL.
 - Recombinate, antihemophilic factor (recombinant, rAHF), is formulated as a sterile, nonpyrogenic, off-white to faint yellow, lyophilized powder preparation of concentrated recombinant AHF for IV injection. Recombinate (rAHF) is available in single-dose bottles which contain nominally 250, 500, and 1000 IU/bottle. When reconstituted with the appropriate volume of diluent, the product contains the following stabilizers in maximum amounts: 12.5 mg/mL albumin (human), 0.20 mg/mL calcium, 1.5 mg/mL polyethylene glycol (3350), 180 mEq/L sodium, 55 mM histidine, 1.5 µg/AHF IU polysorbate-80. Von Willebrand Factor (vWF) is co-expressed with the antihemophilic factor (recombinant) and helps to stabilize it. The final product contains not more than 2 ng vWF/IU rAHF which will not have any clinically relevant effect in patients with von Willebrand's disease. The product contains no preservative.
 - Refludan [lepirudin (rDNA) for injection] is supplied as a sterile, white, freeze-dried powder for injection or infusion and is freely soluble in sterile water for injection USP or 0.9% sodium chloride injection USP. Each vial of Refludan contains 50 mg lepirudin. Other ingredients are 40 mg mannitol and sodium hydroxide for adjustment of pH to approximately 7.
 - Remicade is a chimeric IgG1-kappa monoclonal antibody supplied as a sterile, white, lyophilized powder for IV infusion. Following reconstitution with 10 mL of sterile water for injection, USP, the resulting pH is approximately 7.2. Each single-use vial contains 100 mg infliximab, 500 mg sucrose, 0.5 mg polysorbate 80, 2.2 mg monobasic sodium phosphate,

monohydrate, and 6.1 mg dibasic sodium phosphate, dihydrate. No preservatives are present.

- Repliva 21/7TM tablets for oral administration provide 28-day iron supplement therapy. Each red film-coated tablet contains Iron Ferrochel[®] (elemental iron), 70 mg; ferrous fumarate (elemental iron), 81 mg; succinic acid, 150 mg; vitamin C (ascorbic acid), 140 mg; vitamin C as Ester-C[®]; ascorbic acid (as calcium ascorbate), 60 mg; threonic acid (as calcium threonate), 0.8 mg; folic acid USP, 1 mg; vitamin B12 (cyanocobalamin), 10 µg; inactive ingredients: citric acid, croscarmellose sodium, FD&C red No. 40 aluminum lake, FD&C yellow No. 6 aluminum lake, fumed silica, hypromellose, lactose monohydrate, lecithin, magnesium stearate, maltodextrin, microcrystalline cellulose, polydextrose, polyethylene glycol, polyvinyl alcohol, povidone, silicon dioxide, sodium benzoate, sodium citrate, sorbic acid, starch, talc, titanium dioxide, triacetin. Each purple film-coated tablet contains inert ingredients: croscarmellose sodium, D&C red No. 27 aluminum lake, FD&C blue No. 1 aluminum lake, lactose monohydrate, magnesium stearate, microcrystalline cellulose, polyethylene glycol, polyvinyl alcohol, silicon dioxide, stearic acid, talc, titanium dioxide.
- Repronex[®] (menotropins for injection, USP) is a purified preparation of gonadotropins extracted from the urine of postmenopausal women. Each vial of Repronex contains 75 or 150 IU of follicle-stimulating hormone (FSH) activity and 75 or 150 IU of luteinizing hormone (LH) activity, respectively, plus 20 mg lactose monohydrate in a sterile, lyophilized form. The final product may contain sodium phosphate buffer (sodium phosphate tribasic and phosphoric acid).
- RetisertTM (fluocinolone acetonide intravitreal implant), 0.59 mg, is a sterile implant designed to release fluocinolone acetonide locally to the posterior segment of the eye at a nominal initial rate of 0.6 µg/day, decreasing over the first month to a steady state between 0.3 and 0.4 µg/day over approximately 30 months. Retisert consists of a tablet containing 0.59 mg of the active ingredient, fluocinolone acetonide, USP, and the following inactives: microcrystalline cellulose, polyvinyl alcohol, and magnesium stearate.
- Retrovir (zidovudine) IV infusion is a sterile solution for IV infusion only. Each milliliter contains 10 mg zidovudine in water for injection. Hydrochloric acid and/or sodium hydroxide may have been added to adjust the pH to approximately 5.5. Retrovir IV Infusion contains no preservatives.
- Rev-EyesTM (dapiprazole hydrochloride ophthalmic solution) ophthalmic eye drops is a clear, colorless, slightly viscous solution for topical application. Each milliliter (when reconstituted as directed) contains 5 mg of dapiprazole hydrochloride as the active ingredient. The reconstituted solution has a pH of approximately 6.6 and an osmolarity of approximately 415 mOsm. The inactive ingredients include mannitol (2%), sodium chloride, hydroxypropyl methylcellulose (0.4%), edetate sodium (0.01%), sodium phosphate dibasic, sodium phosphate monobasic, water for injection, and benzalkonium chloride (0.01%) as a preservative. Rev-Eyes ophthalmic eye drops, 0.5%, is supplied in a kit consisting of one vial of dapiprazole hydrochloride (25 mg), one vial of diluent (5 mL), and one dropper for dispensing.
- Rho (D) immune globulin (human)—Hyper RHOTM S/D full dose treated with solvent/detergent is a sterile solution of immune globulin containing antibodies to Rho (D) for IM administration; it contains no preservative. The fraction II solution is adjusted to a final concentration of 0.3% tri-*n*-butyl phosphate (TNBP) and 0.2% sodium cholate. After the addition of solvent (TNBP) and detergent (sodium cholate), the solution is heated to 30°C and maintained at that temperature for not less than 6 hours. Hyper RHO S/D Full Dose is formulated as a 15 to 18% protein solution at a pH of 6.4 to 7.2 in 0.21 to 0.32 M glycine. Hyper RHO S/D full dose is then incubated in the final container for 21 to 28 days at 20 to 27°C. The potency is equal to or greater than 1500 IU. Hyper RHO S/D mini dose is formulated as a 15 to 18% protein solution at a pH of 6.4 to 7.2 in 0.21 to 0.32 M glycine. The quantity of Rho (D) antibody in Hyper RHO S/D mini dose is not less than 250 IU.
- Rhophylac[®] contains a maximum of 30 mg/mL of human plasma proteins of which 10 mg/mL is human albumin, which is added as a stabilizer. Prior to the addition of the stabilizer, the product purity is greater than 95% IgG. The product contains less than 5 µg/mL IgA. Additional excipients are approximately 20 mg/mL of glycine and up to 0.25 M sodium chloride. Rhophylac contains no preservative.
- Rhophylac is a sterile Rho (D) immune globulin intravenous (human) solution in a prefilled, ready-to-use syringe for either IV or IM injection. One syringe contains at least 1500 IU (300 µg) of IgG antibodies to Rho (D) in a 2-mL solution. The manufacturing process includes a solvent detergent (S/D) treatment step (using tri-*w*-butyl phosphate and Triton X-100) that is effective in inactivating enveloped viruses such as HBV, HCV, and HIV.
- Rituxan[®] (rituximab) antibody is a genetically engineered chimeric murine/human monoclonal antibody directed against the CD20 antigen found on the surface of normal and malignant B lymphocytes. Rituxan is a sterile, clear, colorless, preservative-free liquid concentrate for IV administration. Rituxan is supplied at a concentration of 10 mg/mL in either 100 (10 mL) or 500 mg (50 mL) single-use vials. The product is formulated for IV administration in 9 mg/mL sodium chloride, 7.35 mg/mL sodium citrate

- dihydrate, 0.7 mg/mL polysorbate 80, and water for injection. The pH is adjusted to 6.5.
- RozeremTM (ramelteon) tablet includes the following inactive ingredients: lactose monohydrate, starch, hydroxypropyl cellulose, magnesium stearate, hypromellose, copovidone, titanium dioxide, yellow ferric oxide, polyethylene glycol 8000, and ink containing shellac and synthetic iron oxide black.
 - Sandimmune[®] injection (cyclosporine injection, USP) is available in a 5-mL sterile ampoule for IV administration. Each milliliter contains cyclosporine USP, 50 mg; Cremophor EL (polyoxyethylated castor oil), 650 mg; alcohol, 32.9% by volume; nitrogen, QS, which must be diluted further with 0.9% sodium chloride injection or 5% dextrose injection before use.
 - Sandostatin[®] (octreotide acetate) injection, a cyclic octapeptide prepared as a clear sterile solution of octreotide, acetate salt, in a buffered lactic acid solution for administration by deep SC (intrafat) or IV injection. Sandostatin (octreotide acetate) injection is available as sterile 1-mL ampoules in three strengths, containing 50, 100, or 500 µg octreotide (as acetate) and sterile 5-mL multidose vials in two strengths, containing 200 and 1000 µg/mL of octreotide (as acetate). Each ampoule also contains lactic acid, USP, mg; mannitol, USP, 45 mg; sodium bicarbonate, USP, QS to pH 4.2 ± 0.3; water for injection, USP, QS to 1 mL. Each milliliter of the multidose vials also contains lactic acid, USP, 3.4 mg; mannitol, USP, 45 mg; phenol, USP, 5.0 mg; sodium bicarbonate, USP, QS to pH 4.2 ± 0.3; water for injection, USP, QS to 1 mL.
 - Sandostatin LAR[®] depot. Octreotide is the acetate salt of a cyclic octapeptide. Sandostatin LAR depot (octreotide acetate for injectable suspension) is available in a vial containing the sterile drug product, which when mixed with diluent, becomes a suspension that is given as a monthly intragluteal injection. The octreotide is uniformly distributed within the microspheres which are made of a biodegradable glucose star polymer, D,L-lactic and glycolic acids copolymer. Sterile mannitol is added to the microspheres to improve suspendability. Sandostatin LAR depot is available as sterile 5-mL vials in three strengths delivering 10, 20, or 30 mg octreotide free peptide. Each vial of Sandostatin LAR depot contains octreotide acetate, 11.2 mg, 33.6 mg; D,L-lactic and glycolic acids copolymer, 377.6, 566.4 mg; mannitol, 41.0, 81.9, Each syringe of diluent contains carboxymethylcellulose sodium, 12.5 mg; mannitol, 15.0 mg, water for injection, 2.5 mL.
 - Simulect[®] (basiliximab) is a sterile lyophilisate which is available in 6-mL colorless glass vials and is available in 10- and 20-mg strengths. Each 10-mg vial contains 10 mg basiliximab, 3.61 mg monobasic potassium phosphate, 0.50 mg disodium hydrogen phosphate (anhydrous), 0.80 mg sodium chloride, 10 mg sucrose, 40 mg mannitol, and 20 mg glycine, to be reconstituted in 2.5 mL of sterile water for injection, USP. No preservatives are added. Each 20-mg vial contains 20 mg basiliximab, 7.21 mg monobasic potassium phosphate, 0.99 mg disodium hydrogen phosphate (anhydrous), 1.61 mg sodium chloride, 20 mg sucrose, 80 mg mannitol, and 40 mg glycine to be reconstituted in 5 mL of sterile water for injection, USP. No preservatives are added.
 - Symlin[®] (pramlintide acetate) injection is formulated as a clear, isotonic, sterile solution for SC administration. Symlin vials contain 0.6 mg/mL of pramlintide (as acetate), 2.25 mg/mL of metacresol as a preservative, D-mannitol as a tonicity modifier, and acetic acid and sodium acetate as pH modifiers. Symlin has a pH of approximately 4.0.
 - Synagis[®] is available in two formulations: a lyophilized powder and a liquid solution. Lyophilized powder: Synagis is supplied as a sterile lyophilized product for reconstitution with sterile water for injection. Reconstituted Synagis (100 mg/mL) is to be administered by IM injection only. The reconstituted solution should appear clear or slightly opalescent with pH of 6.0. Each 100-mg single-use vial of Synagis lyophilized powder is formulated in 67.5 mg of mannitol, 8.7 mg histidine, and 0.3 mg of glycine and is designed to deliver 100 mg of Synagis in 1 mL when reconstituted with 1 mL of sterile water for injection. Each 50-mg single-use vial of Synagis lyophilized powder is formulated in 40.5 mg mannitol, 5.2 mg of histidine, and 0.2 mg of glycine and is designed to deliver 50 mg of Synagis in 0.5 mL, when reconstituted with 0.6 mL of sterile water for injection. Liquid solution: Synagis (100 mg/mL) is supplied as a sterile, preservative-free solution to be administered by IM injection only. The solution should appear clear or slightly opalescent with pH of 6.0. Each 100-mg single-use vial of Synagis liquid solution is formulated in 4.7 mg of histidine and 0.1 mg of glycine in a volume of 1.2 mL and is designed to deliver 100 mg of Synagis in 1 mL. Each 50-mg single-use vial of Synagis liquid solution is formulated in 2.7 mg of histidine and 0.08 mg of glycine in a volume of 0.7 mL and is designed to deliver 50 mg of Synagis in 0.5 mL.
 - Systane[®]. Active ingredients: polyethylene glycol 400, 0.4%, and propylene glycol, 0.3%, as lubricants. Inactive ingredients: boric acid, calcium chloride, hydroxypropyl guar, magnesium chloride, polyquaternium-1 as a preservative, potassium chloride, purified water, sodium chloride, zinc chloride.
 - Tenecteplase is a tissue plasminogen activator (tPA) produced by recombinant DNA technology using an established mammalian cell line (Chinese hamster ovary cells). TNKase is a sterile, white to off-white, lyophilized powder for single IV bolus administration

after reconstitution with sterile water for injection (SWFI), USP. Each vial of TNKase nominally contains 52.5 mg tenecteplase, 0.55 g L-arginine, 0.17 g phosphoric acid, and 4.3 mg polysorbate 20, which includes a 5% overfill. Each vial will deliver 50 mg of tenecteplase.

- Tenormin® (atenolol) for parenteral administration is available as Tenormin IV injection containing 5 mg atenolol in 10 mL sterile, isotonic, citrate-buffered, aqueous solution. The pH of the solution is 5.5 to 6.5. Inactive ingredients: sodium chloride for isotonicity and citric acid and sodium hydroxide to adjust pH.
- Tetanus immune globulin (human)—Hyper TET™ S/D treated with solvent/detergent is a sterile solution of tetanus hyperimmune immune globulin for IM administration; it contains no preservative. Hyper TET S/D is formulated as a 15 to 18% protein solution at a pH of 6.4 to 7.2 in 0.21 to 0.32 M glycine. Hyper TET S/D is then incubated in the final container for 21 to 28 days at 20 to 27°C. The product is standardized against the US standard antitoxin and the U.S. control tetanus toxin and contains not less than 250 tetanus antitoxin units per container.
- Tev-Tropin™ (somatropin, rDNA origin, for injection) is a sterile, white, lyophilized powder, intended for SC administration, after reconstitution with bacteriostatic 0.9% sodium chloride injection, USP, (normal saline) (benzyl alcohol preserved). The quantitative composition of the lyophilized drug per vial is 5 mg (15 IU) vial: somatropin, 5 mg (15 IU); mannitol, 30 mg. The diluent contains bacteriostatic 0.9% sodium chloride injection, USP, (normal saline), 0.9% benzyl alcohol as a preservative, and water for injection. A 5-mL vial of the diluent will be supplied with each dispensed vial of Tev-Tropin. Tev-Tropin is a highly purified preparation. Reconstituted solutions have a pH in the range of 7.0 to 9.0.
- The Bexxar therapeutic regimen (tositumomab and iodine I 131 tositumomab) is an antineoplastic radio-immunotherapeutic monoclonal antibody-based regimen composed of the monoclonal antibody, Tositumomab, and the radiolabeled monoclonal antibody, iodine 1131 tositumomab. Tositumomab is supplied as a sterile, pyrogen-free, clear to opalescent, colorless to slightly yellow, preservative-free liquid concentrate. It is supplied at a nominal concentration of 14 mg/mL tositumomab in 35- and 225-mg single-use vials. The formulation contains 10% (w/v) maltose, 145 mM sodium chloride, 10 mM phosphate, and water for injection, USP. The pH is approximately 7.2. Iodine I 131 tositumomab is supplied as a sterile, clear, preservative-free liquid for IV administration. The dosimetric dosage form is supplied at nominal protein and activity concentrations of 0.1 mg/mL and 0.61 mCi/mL (at date of calibration), respectively. The therapeutic dosage form is supplied at nominal protein and activity concentrations of 1.1 mg/mL and 5.6 mCi/mL (at date of calibration), respectively. The formulation for the dosimetric and the therapeutic dosage forms contains 4.4 to 6.6% (w/v) povidone, 1 to 2 mg/mL maltose (dosimetric dose) or 9 to 15 mg/mL maltose (therapeutic dose), 0.85 to 0.95 mg/mL sodium chloride, and 0.9 to 1.3 mg/mL ascorbic acid. The pH is approximately 7.0.
- Rituxan (Rituximab) antibody, a genetically engineered chimeric murine/human monoclonal antibody, is a sterile, clear, colorless, preservative-free liquid concentrate for IV administration. Rituxan is supplied at a concentration of 10 mg/mL in either 100 mg (10 mL) or 500 mg (50 mL) single-use vials. The product is formulated for IV administration in 9 mg/mL sodium chloride, 7.35 mg/mL sodium citrate dihydrate, 0.7 mg/mL polysorbate 80, and water for injection. The pH is adjusted to 6.5.
- Thrombate III®, antithrombin III (human), is a sterile, nonpyrogenic, stable, lyophilized preparation of purified human antithrombin III. When reconstituted with sterile water for injection, USP, thrombate III has a pH of 6.0 to 7.5, a sodium content of 110 to 210 mEq/L, a chloride content of 110 to 210 mEq/L, an alanine content of 0.075 to 0.125 M, and a heparin content of not more than 0.004 U/IU AT-III. Thrombate III contains no preservative and must be administered by the IV route. In addition, thrombate III has been heat-treated in solution at $60 \pm 0.5^\circ\text{C}$ for not less than 10 hours. Each vial of thrombate III contains the labeled amount of antithrombin III in international units per vial. The potency assignment has been determined with a standard calibrated against a World Health Organization (WHO) antithrombin III reference preparation.
- Tobin® is a tobramycin solution for inhalation. It is a sterile, clear, slightly yellow, nonpyrogenic aqueous solution with the pH and salinity adjusted specifically for administration by a compressed air-driven reusable nebulizer. Each single-use 5-mL ampoule contains 300 mg tobramycin and 11.25 mg sodium chloride in sterile water for injection. Sulfuric acid and sodium hydroxide are added to adjust the pH to 6.0. Nitrogen is used for sparging.
- Tobradex® (tobramycin and dexamethasone ophthalmic ointment) is a sterile, multiple-dose antibiotic and steroid combination for topical ophthalmic use. Each gram of Tobradex (tobramycin and dexamethasone ophthalmic ointment) contains the following: actives—tobramycin, 0.3% (3 mg) and dexamethasone, 0.1% (1 mg); preservative—chlorobutanol, 0.5%; inactives—mineral oil and white petrolatum.
- Tobradex (tobramycin and dexamethasone ophthalmic suspension) suspension contains actives—tobramycin, 0.3% (3 mg), and dexamethasone, 0.1% (1 mg). Preservative: benzalkonium chloride, 0.01%. Inactives: tyloxapol, edetate disodium, sodium chloride, hydroxyethyl cellulose, sodium sulfate, sulfuric

- acid and/or sodium hydroxide (to adjust pH), and purified water.
- Trasyol[®] (aprotinin injection is supplied as a clear, colorless, sterile isotonic solution for IV administration. Each milliliter contains 10,000 KIU (Kallikrein inhibitor units) (1.4 mg/mL) and 9 mg sodium chloride in water for injection. Hydrochloric acid and/or sodium hydroxide is used to adjust the pH to 4.5 to 6.5.
 - Traumeel[®] injection solution is officially classified as a homeopathic combination remedy. (1) Botanical ingredients: *Arnica montana*, radix (mountain arnica); *Calendula officinalis* (marigold); *Hamamelis virginiana* (witch hazel); *Millefolium* (milfoil); *Belladonna* (deadly nightshade); *Aconitum napellus* (monkshood); Chamomilla (chamomile); *Symphytum officinale* (comfrey); *Bellis perennis* (daisy); *Echinacea angustifolia* (narrow-leaved cone flower); *Echinacea purpurea* (purple cone flower); *Hypericum perforatum* (St. John's wort). (2) Mineral ingredients: Hepar sulphuris calcareum (calcium sulfide). Injection solution: each 2-mL ampoule contains as active ingredients Hepar sulphuris calcareum 8X, 200.0 μ L; *Belladonna* 3X, 20.0 μ L; *Calendula officinalis* 3X, 20.0 μ L; Chamomilla 4X, 20.0 μ L; *Millefolium* 4X, 20.0 μ L; *Aconitum napellus* 3X, 12.0 μ L; *Bellis perennis* 3X, 10.0 μ L; *Hypericum perforatum* 3X, 6.0 μ L; *E. angustifolia* 3X, 5.0 μ L; *E. purpurea* 3X, 5.0 μ L; *Arnica montana*, radix 2X, 2.0 μ L; *Hamamelis virginiana* 2X, 2.0 μ L; *Symphytum officinale* 6X, 2.0 μ L. Each 2-mL ampoule contains as an inactive ingredient—sterile isotonic sodium chloride solution.
 - Travoprost is a synthetic prostaglandin F 2(alpha) analogue. Travatan[®] ophthalmic solution, 0.004%, is supplied as sterile, buffered aqueous solution of travoprost with a pH of approximately 6.0 and an osmolality of approximately 290 mOsm/kg. Each milliliter of Travatan ophthalmic solution, 0.004%, contains 40 μ g travoprost. Benzalkonium chloride, 0.015%, is added as a preservative. Inactive ingredients are polyoxyl-40 hydrogenated castor oil, tromethamine, boric acid, mannitol, edetate disodium, sodium hydroxide and/or hydrochloric acid (to adjust pH), and purified water.
 - Trelstar LA contains a pamoate salt of triptorelin, is a sterile, lyophilized biodegradable microgranule formulation supplied as a single-dose vial containing triptorelin pamoate (11.25 mg as the peptide base); 145 mg poly-D,L-lactide-co-glycolide; 85 mg mannitol, USP; 30 mg carboxymethylcellulose sodium, USP; 2 mg polysorbate 80, NF. When 2 mL sterile water for injection is added to the vial containing Trelstar LA and mixed, a suspension is formed which is intended as an IM injection to be administered every 84 days (i.e., every 12 weeks). Trelstar LA is available in two packaging configurations: (a) Trelstar LA vial alone or (b) Trelstar LA vial plus a separate prefilled syringe that contains sterile water for injection, USP, 2 mL, pH 6 to 8.5 (Clip'n'Ject[®]). Trelstar depot contains a pamoate salt of triptorelin and triptorelin is a synthetic decapeptide agonist analog of luteinizing hormone-releasing hormone (LHRH or GnRH) with greater potency than the naturally occurring LHRH. Trelstar depot is a sterile, lyophilized biodegradable microgranule formulation supplied as a single-dose vial containing triptorelin pamoate (3.75 mg as the peptide base), 170 mg poly-D, L-lactide-co-glycolide, 85 mg mannitol, USP, 30 mg carboxymethylcellulose sodium, USP, 2 mg polysorbate 80, NF. When 2 mL sterile water for injection is added to the vial containing Trelstar depot and mixed, a suspension is formed which is intended as a monthly IM injection.
 - Trisenox[®] is a sterile injectable solution of arsenic trioxide. Trisenox is available in 10-mL single-use ampoules containing 10 mg of arsenic trioxide. Trisenox is formulated as a sterile, nonpyrogenic, clear solution of arsenic trioxide in water for injection using sodium hydroxide and dilute hydrochloric acid to adjust to pH 8. Trisenox is preservative-free. Arsenic trioxide, the active ingredient, is present at a concentration of 1.0 mg/mL. Inactive ingredients and their respective approximate concentrations are sodium hydroxide (1.2 mg/mL) and hydrochloric acid, which is used to adjust the pH to 7.5 to 8.5.
 - Tygacil (tigecycline) is an orange lyophilized powder or cake. Each Tygacil vial contains 50 mg tigecycline lyophilized powder for IV infusion. The product does not contain excipients or preservatives.
 - Ultane (sevoflurane) is a clear, colorless liquid containing no additives. Sevoflurane is non-pungent. It is miscible with ethanol, ether, chloroform, and benzene, and it is slightly soluble in water. Sevoflurane is stable when stored under normal room lighting conditions according to instructions. Sevoflurane is not corrosive to stainless steel, brass, aluminum, nickel-plated brass, chrome-plated brass, or copper beryllium.
 - Vancocin[®] HCl (vancomycin hydrochloride capsules, USP) contain vancomycin hydrochloride equivalent to 125 mg (0.08 mmol) or 250 mg (0.17 mmol) vancomycin. The capsule also contains FD&C blue No. 2, gelatin, iron oxide, polyethylene glycol, titanium dioxide, and other inactive ingredients.
 - Vantas[™] (histrelin implant) is a sterile, nonbiodegradable, diffusion-controlled reservoir drug delivery system designed to deliver histrelin continuously for 12 months upon SC implantation. The Vantas implant contains 50 mg of histrelin acetate. The sterile Vantas implant consists of a 50-mg histrelin acetate drug core inside a nonbiodegradable, 3 cm \times 3.5 mm cylindrically shaped hydrogel reservoir. The drug core also contains the inactive ingredient

stearic acid NF. The hydrogel reservoir is a hydrophilic polymer cartridge composed of 2-hydroxyethyl methacrylate, 2-hydroxypropyl methacrylate, trimethylolpropane trimethacrylate, benzoin methyl ether, Perkadox-16, and Triton X-100. The hydrated implant is packaged in a glass vial containing 2 mL of 1.8% NaCl solution. The implant is primed for release of the drug upon insertion.

- Vaprisol (conivaptan hydrochloride injection) is supplied as a sterile liquid in an ampoule. Each ampoule will deliver 20 mg conivaptan hydrochloride, 1.2 g propylene glycol, 0.4 g ethanol, and water for injection, QS. Lactic acid is added for pH adjustment to 3.0.
- Velcade® (bortezomib) for injection is an antineoplastic agent available for IV injection use only. Each single-dose vial contains 3.5 mg of bortezomib as a sterile lyophilized powder. Inactive ingredient: 35 mg mannitol, USP. The solubility of bortezomib, as the monomeric boronic acid, in water is 3.3 to 3.8 mg/mL in a pH range of 2.0 to 6.5.
- Ventavis (iloprost) inhalation solution is a clear, colorless sterile solution containing 10 µg/mL iloprost formulated for inhalation via the Prodose® AAD® (adaptive aerosol delivery) system, a pulmonary drug delivery device. Each single-use glass ampoule contains 2 mL (20 µg) of the solution to be added to the Prodose AAD system medication chamber. Each milliliter of the aqueous solution contains 0.01 mg iloprost, 0.81 mg ethanol, 0.121 mg tromethamine, 9.0 mg sodium chloride, and approximately 0.51 mg hydrochloric acid (for pH adjustment to 8.1) in water for injection. The solution contains no preservatives.
- Vfend® (voriconazole) is available as a lyophilized powder for solution for IV infusion, film-coated tablets for oral administration, and as a powder for oral suspension. Vfend IV is a white lyophilized powder containing nominally 200 mg voriconazole and 3200 mg sulfobutyl ether beta-cyclodextrin sodium in a 30-mL type I clear glass vial. Vfend IV is intended for administration by IV infusion. It is a single-dose, unpreserved product. Vials containing 200 mg lyophilized voriconazole are intended for reconstitution with water for injection to produce a solution containing 10 mg/mL Vfend and 160 mg/mL of sulfobutyl ether beta-cyclodextrin sodium. The resultant solution is further diluted prior to administration as an IV infusion.
- Viadur® (leuprolide acetate implant) is a sterile, nonbiodegradable, osmotically driven miniaturized implant designed to deliver leuprolide acetate for 12 months at a controlled rate. Viadur contains 72 mg of leuprolide acetate (equivalent to 65 mg leuprolide free base) dissolved in 104 mg dimethyl sulfoxide. The 4 mm × 45 mm titanium alloy reservoir houses a polyurethane rate-controlling membrane, an elastomeric piston, and a polyethylene diffusion moderator. The reservoir also contains the osmotic tablets, which are not released with the drug formulation. The osmotic tablets are composed of sodium chloride, sodium carboxymethyl cellulose, povidone, magnesium stearate, and sterile water for injection. Polyethylene glycol fills the space between the osmotic tablets and the reservoir. A minute amount of silicone medical fluid is used during manufacture as a lubricant. The weight of the implant is approximately 1.1g.
- Visudyne® (verteporfin for injection) is a light activated drug used in photodynamic therapy. The finished drug product is a lyophilized dark green cake. Verteporfin is a 1:1 mixture of two regioisomers (I and II). Each milliliter of reconstituted Visudyne contains active—verteporfin, 2 mg; Inactives—lactose, egg phosphatidylglycerol, dimyristoyl phosphatidylcholine, ascorbyl palmitate, and butylated hydroxytoluene.
- VISUtein provides 18 mg of lutein, along with 200 mg of *N*-acetyl cysteine and 60 mg anthocyanidins from bilberry. Other ingredients are mixed carotenoids, vitamins A, B2, and zinc.
- Viva® lubricating eye drops are 1% polysorbate 80 preservative-free in a multidose bottle. It is a patented, non-oily/glycerin-free (no blurring of vision) sterile ophthalmic lubricant that is designed to provide instant moisturizing. Inactive ingredients: citric acid, edetate disodium, purified water, sodium chloride, and the antioxidants: mannitol, pyruvate, retinyl palmitate, and sodium citrate.
- Vivotif® (typhoid vaccine live oral Ty21a) is a live attenuated vaccine. The lyophilized bacteria are mixed with lactose and magnesium stearate and filled into gelatin capsules which are coated with an organic solution to render them resistant to dissolution in stomach acid. The enteric-coated, salmon/white capsules are then packaged in four-capsule blisters for distribution. The contents of each enteric-coated capsule are viable *Salmonella typhi* Ty21a, 2 to 6 × 10⁹ CFU (colony-forming unit); nonviable *S. typhi* Ty21a, 5 to 50 × 10⁹ bacterial cells; sucrose, 26 to 130 mg; ascorbic acid, 1 to 5 mg; amino acid mixture, 1.4 to 7 mg; lactose, 100 to 180 mg; magnesium stearate, 3.6 to 4.4 mg.
- Voltaren ophthalmic (diclofenac sodium ophthalmic solution), 0.1%, solution is a sterile, topical, nonsteroidal, anti-inflammatory product for ophthalmic use. Inactive ingredients: polyoxyl 35 castor oil, boric acid, tromethamine, sorbic acid (2 mg/mL), edetate disodium (1 mg/mL), and purified water.
- WinRho® SDF is a sterile, freeze-dried gamma-globulin (IgG) fraction containing antibodies to the Rho (D) antigen (D antigen). The product is stabilized with 0.1 M glycine, 0.04 M sodium chloride, and 0.01% polysorbate 80. It contains no preservative.

- Xigris® [drotrecogin-alpha (activated)] is a recombinant form of human activated protein C. Xigris is supplied as a sterile, lyophilized, white to off-white powder for IV infusion. The 5- and 20-mg vials of Xigris contain 5.3 and 20.8 mg of drotrecogin-alpha (activated), respectively. The 5- and 20-mg vials of Xigris also contain 40.3 and 158.1 mg of sodium chloride, 10.9 and 42.9 mg of sodium citrate, 31.8 and 124.9 mg of sucrose, respectively.
- Xolair (omalizumab) is a recombinant DNA-derived humanized IgG1(k γ) monoclonal antibody that selectively binds to human immunoglobulin E (IgE). Xolair is a sterile, white, preservative-free, lyophilized powder contained in a single-use vial that is reconstituted with sterile water for injection (SWFI), USP, and administered as an SC injection. A Xolair 75-mg vial contains 129.6 mg of omalizumab, 93.1 mg sucrose, 2.8 mg L-histidine hydrochloride monohydrate, 1.2 mg L-histidine, and 0.3 mg polysorbate 20 and is designed to deliver 75 mg of omalizumab in 0.6 mL after reconstitution with 0.9 mL SWFI, USP. A Xolair 150 mg vial contains 202.5 mg of omalizumab, 145.5 mg sucrose, mg L-histidine hydrochloride monohydrate, 1.8 mg L-histidine, and 0.5 mg polysorbate 20, and is designed to deliver 150 mg of omalizumab in 1.2 mL after reconstitution with 1.4 mL SWFI, USP.
- Zaditor™ is a sterile ophthalmic solution containing ketotifen for topical administration to the eyes. Each milliliter of Zaditor contains active—0.345 mg ketotifen fumarate equivalent to 0.25 mg ketotifen. Inactives—glycerol, sodium hydroxide/hydrochloric acid (to adjust pH), and purified water. Preservative: benzalkonium chloride, 0.01%. It has a pH of 4.4 to 5.8 and an osmolality of 210 to 300 mOsm/kg.
- Zeel® injection solution is a combination formulation consisting of five botanical substances, five mineral substances, and four animal-derived substances. Zeel injection solution is officially classified as a homeopathic combination remedy. (1) Botanical ingredients: *Arnica montana*, radix (mountain arnica), *Dulcamara* (bittersweet), *Rhus toxicodendron* (poison oak), *Sanguinaria canadensis* (blood root), *Symphytum officinale* (comfrey). (2) Mineral ingredients: sulfur, (alpha)-lipoic acid (thioctic acid), coenzyme A, nadidum (nicotinamide adenine dinucleotide), *Natrum oxalaceticum* (sodium oxalacetate). (3) Animal-derived ingredients: *Cartilago suis* (porcine cartilage), *Embryo totalis suis* (porcine embryo), *Funiculus umbilicalis suis* (porcine umbilical cord), *Placenta suis* (porcine placenta). Injection solution: each 2-mL ampoule contains as active ingredients *Arnica montana*, radix, 4 \times 200 μ L; *Rhus toxicodendron* 2 \times 10 μ L; *Dulcamara*, 3 \times 10 μ L; *Symphytum officinale*, 6 \times 10 μ L; sulfur, 6 \times 3.6 μ L; *Sanguinaria canadensis*, 4 \times 3 μ L; *Cartilago suis*, 6 \times 2 μ L; *Embryo totalis suis*, 6 \times 2 μ L; *Funiculus umbilicalis suis*, 6 \times 2 μ L; *Placenta suis*, 6 \times 2 μ L; coenzyme A, 8 \times 2 μ L; (alpha)-Lipoicum acidum, 8 \times 2 μ L; Nadidum, 8 \times 2 μ L; *Natrum oxalaceticum*, 8 \times 2 μ L. Each 2.0 mL ampoule contains as an inactive ingredient sterile isotonic sodium chloride solution.
- Zemaira®, alpha-1 proteinase inhibitor (human), is a sterile, stable, lyophilized preparation of highly purified human alpha-1 proteinase inhibitor (A1-PI), also known as alpha-1 antitrypsin, and is supplied as a sterile, white, lyophilized powder to be administered by the IV route. The specific activity of Zemaira \geq 0.7 mg of functional A1-PI per milligram of total protein. The purity is \geq 90% A1-PI. Following reconstitution with 20 mL of sterile water for injection, USP, each vial contains approximately 1000 mg of functionally active A1-PI, 81 mM sodium, 38 mM chloride, 17 mM phosphate, and 144 mM mannitol. Hydrochloric acid and/or sodium hydroxide may have been added to adjust the pH. Zemaira contains no preservatives. Each vial of Zemaira contains the labeled amount of functionally active A1-PI in milligrams as stated on the vial label as determined by its capacity to neutralize human neutrophil elastase.
- Zemplar® (paricalcitol injection, USP). Each mL contains paricalcitol, USP, 5 μ g, propylene glycol, 30% (v/v), and alcohol, 20% (v/v).
- Zevalin (ibritumomab tiuxetan) is ibritumomab, is supplied as two separate and distinctly labeled kits that contain all of the nonradioactive ingredients necessary to produce a single dose of In-111 Zevalin and a single dose of Y-90 Zevalin, both essential components of the Zevalin therapeutic regimen. Each of the two Zevalin kits contains four vials that are used to produce a single dose of either In-111 Zevalin or Y-90 Zevalin, as indicated on the outer container label: one Zevalin vial containing 3.2 mg of ibritumomab tiuxetan in 2 mL of 0.9% sodium chloride solution; a sterile, pyrogen-free, clear, colorless solution that may contain translucent particles; no preservative present. One 50 mM sodium acetate vial containing 13.6 mg of sodium acetate trihydrate in 2 mL of water for injection; a sterile, pyrogen-free, clear, colorless solution; no preservative present. One formulation buffer vial containing 750 mg of albumin (human), 76 mg of sodium chloride, 28 mg of sodium phosphate dibasic dodecahydrate, 4 mg of pentetic acid, 2 mg of potassium phosphate monobasic, and 2 mg of potassium chloride in 10 mL of water for injection adjusted to pH 7.1 with either sodium hydroxide or hydrochloric acid; a sterile, pyrogen-free, clear yellow to amber-colored solution; no preservative present. One empty reaction vial, sterile, pyrogen-free.
- Zithromax (azithromycin for injection) contains the active ingredient azithromycin. Zithromax (azithromycin for injection) is supplied in lyophilized form

in a 10-mL vial equivalent to 500 mg of azithromycin for IV administration. Reconstitution, according to label directions, results in approximately 5 mL of Zithromax for IV injection with each milliliter containing azithromycin dihydrate equivalent to 100 mg of azithromycin.

- Zoladex[®] (goserelin acetate implant) 10.8-mg implant is supplied as a sterile, biodegradable product containing goserelin acetate equivalent to 10.8 mg of goserelin. Zoladex is designed for SC implantation with continuous release over a 12-week period. Goserelin acetate is dispersed in a matrix of D,L-lactic and glycolic acids copolymer (12.82–14.76 mg/dose) containing less than 2% acetic acid and up to 10% goserelin-related substances and presented as a sterile, white to cream-colored 1.5-mm diameter cylinder, preloaded in a special single-use syringe with a 14-gauge × 0.5 mm needle and protective needle sleeve (SafeSystem[™] Syringe) in a sealed, light- and moisture-proof, aluminum foil laminate pouch containing a desiccant capsule. Studies of the D,L-lactic and glycolic acids copolymer have indicated that it is completely biodegradable and has no demonstrable antigenic potential. Zoladex is also supplied as a sterile, biodegradable product containing goserelin acetate equivalent to 3.6 mg of goserelin designed for administration every 28 days. Zoladex is also supplied as a sterile, biodegradable product containing goserelin acetate equivalent to 3.6 mg of goserelin. Zoladex is designed for SC injection with continuous release over a 28-day period. Goserelin acetate is dispersed in a matrix of D,L-lactic and glycolic acids copolymer (13.3–14.3 mg/dose) containing less than 2.5% acetic acid and up to 12% goserelin-related substances and presented as a sterile, white to cream-colored 1-mm diameter cylinder, preloaded in a special single-use syringe with a 16-gauge needle × 0.5 mm needle and protective needle sleeve (SafeSystem Syringe) in a sealed, light- and moisture-proof, aluminum foil laminate pouch containing a desiccant capsule.
- Zometa[®] contains zoledronic acid. Zometa (zoledronic acid) injection is available in vials as a sterile liquid concentrate solution for IV infusion. Each 5-mL vial contains 4.264 mg of zoledronic acid monohydrate, corresponding to 4 mg zoledronic acid on an anhydrous basis. Inactive ingredients: mannitol, USP, as bulking agent; water for injection; and sodium citrate, USP, as buffering agent.
- Zosyn (piperacillin and tazobactam for injection) formulation also contains edetate disodium dihydrate (EDTA) and sodium citrate. Each Zosyn 2.25 g single-dose vial or ADD-Vantage vial contains an amount of drug sufficient for withdrawal of piperacillin sodium equivalent to 2 g of piperacillin and tazobactam sodium equivalent to 0.25 g of tazobactam. The product also contains 0.5 mg of EDTA per vial. Each Zosyn 3.375 g single-dose vial or ADD-Vantage vial contains an amount of drug sufficient for withdrawal of piperacillin sodium equivalent to 3 g of piperacillin and tazobactam sodium equivalent to 0.375 g of tazobactam. The product also contains 0.75 mg of EDTA per vial. Each Zosyn 4.5 g single-dose vial or ADD-Vantage vial contains an amount of drug sufficient for withdrawal of piperacillin sodium equivalent to 4 g of piperacillin and tazobactam sodium equivalent to 0.5 g of tazobactam. The product also contains 1 mg of EDTA per vial.
- Zylet (loteprednol etabonate and tobramycin ophthalmic suspension) contains (per milliliter) actives—loteprednol etabonate, 5 mg (0.5%), and tobramycin, 3 mg (0.3%); inactives—edetate disodium, glycerin, povidone, purified water, tyloxapol, and benzalkonium chloride 0.01% (preservative). Sulfuric acid and/or sodium hydroxide may be added to adjust the pH to 5.7 to 5.9. The suspension is essentially isotonic with a tonicity of 260 to 320 mOsm/kg.
- Zymar[®] (gatifloxacin ophthalmic solution), 0.3%, is a sterile ophthalmic solution. Active: gatifloxacin 0.3% (3 mg/mL). Preservative: benzalkonium chloride, 0.005%. Inactives: edetate disodium, purified water, and sodium chloride. May contain hydrochloric acid and/or sodium hydroxide to adjust pH to approximately 6. Zymar is a sterile, clear, pale yellow colored isotonic unbuffered solution. It has an osmolality of 260 to 330 mOsm/kg.
- Zyprexa IM (olanzapine for injection) is intended for IM use only. Each vial provides for the administration of 10 mg (32 μmol) olanzapine with inactive ingredients 50 mg lactose monohydrate and 3.5 mg tartaric acid. Hydrochloric acid and/or sodium hydroxide may have been added during manufacturing to adjust pH.

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