

**CE 6504**  
***Finite Elements Method in Structures***  
(Part 1)

AAiT  
March 2020  
*Bedilu Habte*

**References**

***Finite Element Analysis (Text)***

By: S.S. BHAVIKATTI

***Concepts and Applications of Finite Element Analysis***

By: Robert D. Cook, David S. Malkus and Michael E. Plesha

***Finite Element Procedures in Engineering Analysis***

By: K.-J. Bathe

***The Finite Element Method***

O.C. Zienkiewicz

***An Introduction to the Finite Element Method***

By: J. N. Reddy

**Course Outline**

**1. Introduction**

**2. Preliminaries**

**3. 1D (2-Node) Line Elements**

Bar, Truss, Beam-elements, Shape functions

**4. 2D Elements**

Plane Stress and Plane Strain Problems

**5. 3D Elements**

Tetrahedral, Hexahedral Elements

**6. Plate Bending & Shells**

**7. Further Issues**

Modeling, Errors, Non-linearity

**Internet References:**

***FEM Primer Part 1 - 4***

Mike Barton & S. D. Rajan

Arizona State University

<http://enpub.fulton.asu.edu/structures/FEMPrimer-Part1.ppt>

***Introduction to Finite Element Methods***

Department of Aerospace Engineering Sciences

University of Colorado at Boulder

<http://www.colorado.edu/engineering/CAS/courses.d/IFEM.d/Home.html>

***Advanced Finite Element Methods***

Department of Aerospace Engineering Sciences

University of Colorado at Boulder

<http://www.colorado.edu/engineering/CAS/courses.d/AFEM.d/Home.html>

## I Introduction

### What is FEM?

Finite element method is a numerical method that generates approximate solutions to engineering problems which are usually expressed in terms of differential equations.

Used for stress analysis, heat transfer, fluid flow, electromagnetic etc.

## What is FEM?

Structure is partitioned into FINITE ELEMENTS – that are joined to each other at limited number of NODES

Behavior of an individual element can be described with a simple set of equations

Assembling the element equations, to a large set, is supposed to describe the behavior of the whole structure.

## What is FEM?

- Use of several materials within the same structure,
- complicated or discontinuous geometry,
- complicated loading, etc,

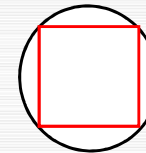
→ makes the closed form (analytical) solution of structural problems very difficult.

One resorts to a numerical solution, the best of which is the FEM.

## Discretization Example

Find the circumference of a circle with a unit diameter – find the value of  $\pi$ .

Approximation with that of regular polygons:



## Discretization Example

### Solution:

Let  $n$  be the number of sides of the inscribed or circumscribing polygon.

#### i) Inscribed polygon

$$\text{Perimeter } p = n \sin(180/n)$$

#### ii) Circumscribing polygon

$$\text{Perimeter } p = n \tan(180/n)$$

## Brief History

A formal mathematical theory for the FEM started some 60 years ago

The steps in FEA are very similar to the method of the *direct stiffness method* in matrix structural analysis

- The term *finite element* was first used by Clough in 1960.
- The first book on the FEM by Zienkiewicz and Cheung was published in 1967.
- In the late 1960s and early 1970s, the FEM was applied to a wide variety of engineering problems.

## Discretization Example

Estimated vs. exact value of  $\pi = 3.1415926536$

No. of sides	Inscribed polygon	Error
3	2.5980762114	0.5435164422
4	2.8284271247	0.3131655288
8	3.0614674589	0.0801251947
16	3.1214451523	0.0201475013
32	3.1365484905	0.0050441630
64	3.1403311570	0.0012614966
128	3.1412772509	0.0003154027
1000	3.1415874859	0.0000051677
10000	3.1415926019	0.0000000517
100000	3.1415926531	0.0000000005
1000000	3.1415926536	0.0000000000

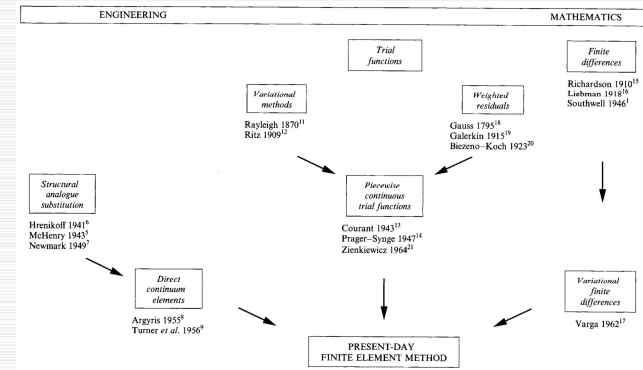
## Brief History

- **The Pioneers** – 1950 to 1962; Clough, Turner, Argyris, etc.; thought structural elements as a device to transmit forces (“force transducer”).
- **The Golden Age** – 1962–1972; Zienkiewicz, Cheung, Martin, Carey etc.; thought discrete elements approximate continuum models (displacement formulation).

## Brief History

- ❑ **Consolidation** – 1972 to mid 1980s; Hughes, Bathe, Argyris, etc.; variational method, mixed formulation, error estimation.
- ❑ **Back to Basics** – early 1980s to the present; Elements are kept simple but should provide answers of engineering accuracy with relatively coarse meshes.

## Brief History



## Brief History

- The 1970s → advances in mathematical treatments, including the development of new elements, and convergence studies.
- Most commercial FEM software packages originated in the 1970s and 1980s.
- The FEM is one of the most important developments in computational methods to occur in the 20<sup>th</sup> century.

## Proprietary Software

- ❑ ANSYS
- ❑ MSC/NASTRAN
- ❑ ABAQUS
- ❑ ADINA
- ❑ ALGOR
- ❑ NISA
- ❑ COSMOS/M
- ❑ STARDYNE
- ❑ IMAGES-3D

## Typical Steps in FEA Process

1. Discretize and Select Element Type
2. Select a Displacement Function
3. Define Strain/Displacement and Stress/Strain Relationships
4. Derive Element Stiffness Matrix & Eqs.
5. Assemble Equations and Introduce B.C.'s
6. Solve for the Unknown Degrees of Freedom
7. Solve for Element Stresses and Strains
8. Interpret the Results

## Common FEA Procedure for Structures

### 2 – 4. Derivation of Element Equations

Derive the relationship between the unknown and given parameters at the nodes of the element.

$$\{ f \}^e = [ k ]^e \{ u \}^e$$

### 5a. Assembly

Assembling the global stiffness matrix from the element stiffness matrices based on compatibility of displacements and equilibrium of forces.

For example:

## Common FEA Procedure for Structures

### 0. Idealization

The given structure needs to be idealized based on engineering judgment. Identify the governing equation.

### 1. Discretization

The continuum system is disassembled into a number of small and manageable parts (finite elements).

## Common FEA Procedure for Structures



$$U_i = u_2^1 = u_1^2$$

$$V_i = v_2^1 = v_1^2$$

→ Displacement of a node is always the same for the adjoining elements and for the whole structure.

## Common FEA Procedure for Structures

$$F_x^i = fx_2^1 + fx_1^2$$

$$F_y^i = fy_2^1 + fy_1^2$$

→ The sum of the forces on each element of a particular node must balance the external force at that node.

For the whole structure, this process results in the master stiffness equation:

$$[K'] \{U'\} = \{F'\}$$

## Common FEA Procedure for Structures

### 6. Solve for the primary unknowns

$$\{U\} = [K]^{-1} \{F\}$$

### 7/8. Compute other values of interest

Secondary unknowns are determined using the known nodal displacements. Result interpretation.

## Common FEA Procedure for Structures

### 5b. Introduce Boundary Conditions

After applying prescribed nodal displacements (and known external forces) to the master stiffness equation, the resulting equation becomes the modified master stiffness equation:

$$[K] \{U\} = \{F\}$$

## Types of FEA in Structures

1. Linear analysis: small deflection and elastic material properties.
2. Non-linear analysis:
  - Material non-linearity: small deflection and non-linear material properties.
  - Geometric non-linearity: large deflection and elastic material properties.
  - Both material and geometric non-linearity.

## *Advantages of Finite Element Analysis*

- *Models Bodies of Complex Shape*
- *Can Handle General Loading/Boundary Conditions*
- *Models Bodies Composed of Composite Materials*
- *Model is Easily Refined for Improved Accuracy by Varying Element Size and Type (Approximation Scheme)*
- *Time Dependent and Dynamic Effects Can Be Included*
- *Can Handle a Variety Nonlinear Effects*

## *Measures of Accuracy in FEA*

### Accuracy

$$\text{Error} = |(\text{Exact Solution}) - (\text{FEM Solution})|$$

### Convergence

Limit of Error as:

Number of Elements (*h-convergence*)  
or  
Approximation Order (*p-convergence*)

Increases

Ideally, Error  $\rightarrow 0$  as Number of Elements or  
Approximation Order is Higher

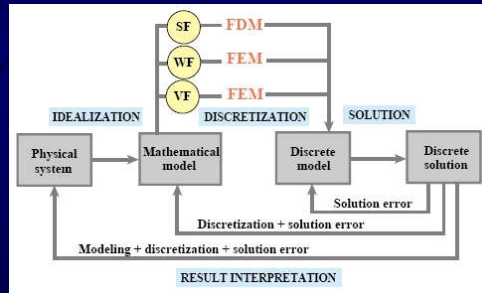
## *Common Sources of Error in FEA*

- *Domain Approximation*
- *Element Interpolation/Approximation*
- *Numerical Integration Errors*  
*(Including Spatial and Time Integration)*
- *Computer Errors (Round-Off, Etc.,)*

## Numerical Methods

- Several approaches can be used to transform the physical formulation of the problem to its finite element discrete analogue.
- Ritz/ Galerkin methods – the physical formulation of the problem is known as a differential equation.
- Variational formulation – the physical problem can be formulated as minimization of a functional.

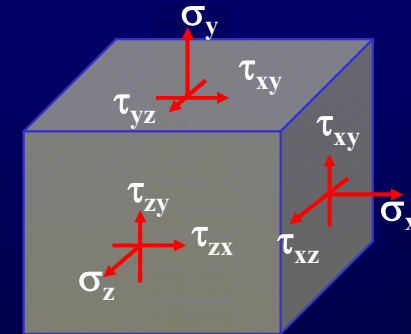
## Variational Method cont.



- A **mathematical model** is a set of mathematical statements which attempts to describe a given physical system.

## Elasticity

- 3D Stress block



## Variational Method cont.

- **Strong Form (SF):** A system of *ordinary or partial differential equations* in space and/or time, complemented by appropriate boundary conditions.
- **Weak Form (WF):** A *weighted integral equation* that “relaxes” the strong form into a domain-averaging statement.
- **Variational Form (VF):** A *functional* whose stationary conditions generate the weak and strong forms.

## Elasticity cont.

- Stress Equilibrium Equations

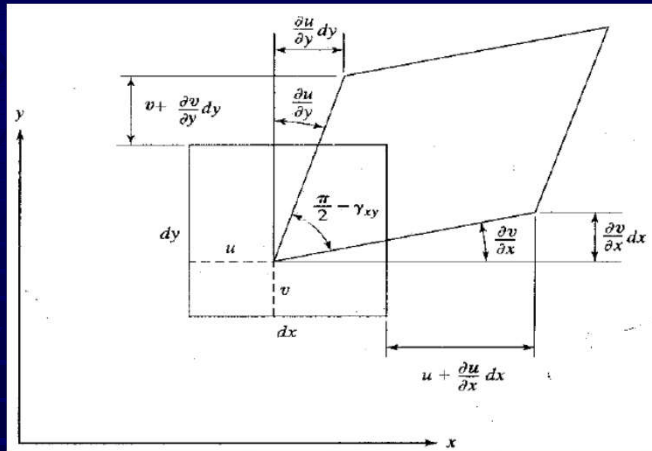
$$\frac{\partial \sigma_x}{\partial x} + \frac{\partial \tau_{yx}}{\partial y} + \frac{\partial \tau_{zx}}{\partial z} + X_b = 0$$

$$\frac{\partial \tau_{xy}}{\partial x} + \frac{\partial \sigma_y}{\partial y} + \frac{\partial \tau_{zy}}{\partial z} + Y_b = 0$$

$$\frac{\partial \tau_{xz}}{\partial x} + \frac{\partial \tau_{yz}}{\partial y} + \frac{\partial \sigma_z}{\partial z} + Z_b = 0$$



## Elasticity cont.



## Elasticity cont.

### 3D Stress – Strain Relationships

$$\begin{Bmatrix} \sigma_x \\ \sigma_y \\ \sigma_z \\ \tau_{xy} \\ \tau_{yz} \\ \tau_{zx} \end{Bmatrix} = [D] \begin{Bmatrix} \epsilon_x \\ \epsilon_y \\ \epsilon_z \\ \gamma_{xy} \\ \gamma_{yz} \\ \gamma_{zx} \end{Bmatrix}$$

## Elasticity cont.

### Strain – Displacement

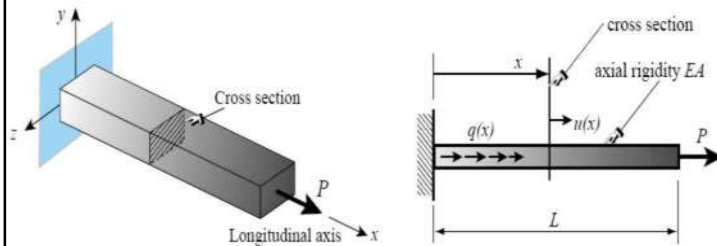
$$\begin{aligned} \epsilon_x &= \frac{\partial u}{\partial x} & \gamma_{xy} &= \frac{\partial u}{\partial y} + \frac{\partial v}{\partial x} \\ \epsilon_y &= \frac{\partial v}{\partial y} & \gamma_{xz} &= \frac{\partial u}{\partial z} + \frac{\partial w}{\partial x} \\ \epsilon_z &= \frac{\partial w}{\partial z} & \gamma_{yz} &= \frac{\partial w}{\partial y} + \frac{\partial v}{\partial z} \end{aligned}$$

*(u, v, w) are the x, y and z components of displacement*

## Bar Elements

- (1) The *longitudinal dimension* or *axial dimension* is much larger than the *transverse dimension(s)*. The intersection of a plane normal to the longitudinal dimension and the bar defines the *cross sections*.
- (2) The bar resists an internal axial force along its longitudinal dimension.

## Bar Elements cont.



## Bar Elements cont.

- It must be in equilibrium.
- It must satisfy the elastic stress–strain law (Hooke’s law)
- The displacement field must be compatible.
- It must satisfy the strain–displacement equation.

## Bar Elements cont.

Quantity	Meaning
$x$	Longitudinal bar axis*
$(.)'$	$d(.) / dx$
$u(x)$	Axial displacement
$q(x)$	Distributed axial force, given per unit of bar length
$L$	Total bar length
$E$	Elastic modulus
$A$	Cross section area; may vary with $x$
$EA$	Axial rigidity
$e = du/dx = u'$	Infinitesimal axial strain
$\sigma = Ee = Eu'$	Axial stress
$p = A\sigma = EAe = EAu'$	Internal axial force
$P$	Prescribed end load

## Governing Equation

The governing differential equation of the bar element is given by

$$\frac{d}{dx} \left( AE \frac{du}{dx} \right) + q = 0$$

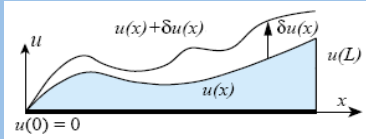
*boundary conditions*

$$u|_{x=0} = 0$$

$$\left( AE \frac{du}{dx} \right) \Big|_{x=L} = P$$

## Approximate Solution

- Admissible displacement function is continuous over the length and satisfies any boundary condition:



- Principles of Minimum Potential Energy** – Of all kinematically admissible displacement equations, those corresponding to equilibrium extremize the TPE. If the extremum is a minimum, the equilibrium state is stable.

## Total Potential Energy (TPE)

$$\pi_p = U - W$$

$$dU = \sigma_x (\Delta x)(\Delta y)(\Delta z) d\varepsilon_x$$

Strain Energy  
(Internal work)

$$dU = \sigma_x d\varepsilon_x dV$$

$$U = \iiint_V \left\{ \int_0^{\varepsilon_x} \sigma_x d\varepsilon_x \right\} dV$$

$$U = \frac{1}{2} \iiint_V \sigma_x \varepsilon_x dV$$

## Kinematically admissible Displacement Functions

those that satisfy the single-valued nature of displacements (compatibility) and the boundary conditions

Usually Polynomials

Continuous within element.

Inter-element compatibility. Prevent overlap or gaps.

Allow for rigid body displacement and constant strain.

## Total Potential Energy

### External work of loads

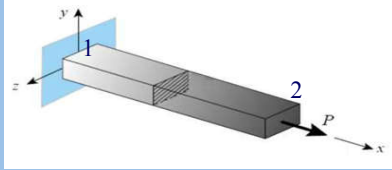
$$\pi_p = U - W$$

$$W = \iiint_V \hat{X}_b \hat{u} dV + \iint_S \hat{T}_x u dS + \sum_{i=1}^M \hat{f}_i \hat{d}_i$$

### TPE

$$\pi_p = \frac{1}{2} \int_L \varepsilon_x (AE) \varepsilon_x dx - W$$

## Total Potential Energy



- Strain energy  $\frac{1}{2} \int_L \sigma^T \varepsilon A dx$
- External energy  $Pu_2$
- Total potential energy  $\Pi = \frac{1}{2} \int_L \sigma^T \varepsilon A dx - Pu_2$

## Ritz-Method

Using the Ritz-method, approximate displacement function is obtained by:

Assume arbitrary displacement

$$\Phi = a_1 f_1 + a_2 f_2 + \dots + a_n f_n$$

Introduce this into the TPE functional

Performing differentiation and integration to obtain a function

Minimizing the resulting function

$$\frac{d\Pi}{da_i} = 0 \text{ for } i = 1, 2, \dots, n$$

## Ritz-Method

- For continua, the total potential energy,  $\pi_p$ , can be used to finding an approximate solution. The Rayleigh-Ritz method involves the construction of an assumed displacement field  $[u, v, w]$ :

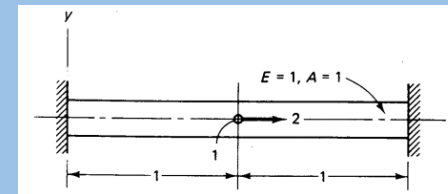
$$u = \sum a_i \phi_i(x, y, z) \quad i = 1 \text{ to } l$$

$$v = \sum a_j \phi_j(x, y, z) \quad j = l+1 \text{ to } m$$

$$w = \sum a_k \phi_k(x, y, z) \quad k = m+1 \text{ to } n \quad n > m > l$$

## Ritz-Method

Consider the linear elastic one-dimensional rod with the force shown below



- The potential energy of this system is:

$$\Pi = \frac{1}{2} \int_0^2 EA \left( \frac{du}{dx} \right)^2 dx - 2u_1$$

## Ritz-Method

Consider the polynomial function:

$$u = a_1 + a_2x + a_3x^2$$

To be kinematically admissible  $u$  must satisfy the boundary conditions  $u = 0$  at both ( $x = 0$ ) and ( $x = 2$ )

$$a_1 = 0 \quad \& \quad 2a_2 + 4a_3 = 0$$

Thus:

$$u = a_3(-2x + x^2)$$

$$\frac{du}{dx} = a_3 2(x - 1)$$

## Galerkin-Method

For the one-dimensional rod considered in the pervious example, the governing equation is:

$$\frac{d}{dx} \left( EA \frac{du}{dx} \right) = 0$$

The Galerkin method aims at setting the residual relative to a weighting function  $W_i$ , to zero. The weighting functions,  $W_i$ , are chosen from the basis functions used for constructing  $\hat{u}$  (*approximate displacement function*).

## Ritz-Method

- TPE of this system becomes:

$$\Pi = \frac{4}{3} a_3^2 + 2 a_3$$

- Minimizing the TPE:  $\frac{\partial \Pi}{\partial a_3} = \frac{8}{3} a_3 + 2 = 0$   
 $\Rightarrow a_3 = -\frac{3}{4}$

- Thus, an approximate  $u$  is given by:

$$u(x) = 0.75(2x - x^2)$$

- Rayleigh-Ritz method assumes trial functions over entire structure

## Galerkin-Method

Using the Galerkin-method, approximate displacement function is obtained by:

The governing DE is written in residual form

$$RES = \frac{d}{dx} \left( AE \frac{du}{dx} \right)$$

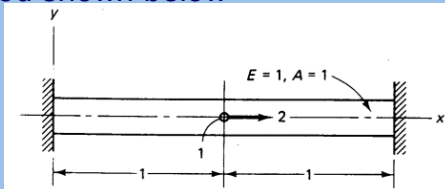
Multiply this **RES** by weight function  $f$  and integrate and equate to zero

Perform differentiation and integration to obtain the approximate  $u$

$$\int_L f R dx = 0$$

## Galerkin-Method

Consider the rod shown below



$$\frac{d}{dx} EA \frac{du}{dx} = 0 \quad u = 0 \text{ at } x = 0 \quad u = 0 \text{ at } x = 2$$

- Multiplying by  $\phi$  (weighting function) and integrating gives (by parts):

$$-\int_0^2 EA \frac{du}{dx} \frac{d\phi}{dx} dx + \left( \phi EA \frac{du}{dx} \right)_0^1 + \left( \phi EA \frac{du}{dx} \right)_1^2 = 0$$

## Galerkin-Method

Equating the value in the bracket to zero and performing the integral:

$$u_1 = \frac{3}{4} \quad u = 0.75(2x - x^2)$$

- In elasticity problems Galerkin's method turns out to be the principle of virtual work.

## Galerkin-Method

The function  $\phi$  is zero at ( $x = 0$ ) and ( $x = 2$ ) and  $EA(du/dx)$  is the force in the rod, which equals 2 at ( $x = 1$ ). Thus:

$$-\int_0^2 EA \frac{du}{dx} \frac{d\phi}{dx} dx + 2\phi_1 = 0$$

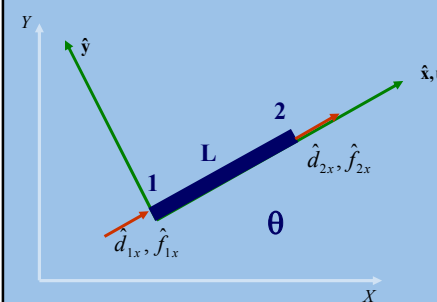
- Using the same polynomial function for  $u$  and  $\phi$  and if  $u_1$  and  $\phi_1$  are the values at ( $x = 1$ ):

$$u = (2x - x^2)u_1 \quad \phi = (2x - x^2)\phi_1$$

- Setting these and  $E=A=1$  in the integral:

$$\phi_1 \left[ -u_1 \int_0^2 (2-2x)^2 dx + 2 \right] = 0$$

## Bar Element



$$\sigma = E\varepsilon$$

$$\varepsilon = \frac{d\hat{u}}{d\hat{x}}$$

$$A\sigma_{\hat{x}} = T = A\sigma$$

$$\frac{d}{d\hat{x}} \left( AE \frac{d\hat{u}}{d\hat{x}} \right) = 0$$

## Assumptions

- The bar cannot resist shear forces.
- ◆ That is:  $\hat{\mathbf{f}}_{1\hat{y}} = \hat{\mathbf{f}}_{2\hat{y}} = \mathbf{0}$
- ◆ Effects of transverse displacements are ignored.
- ◆ Hooke's law applies.
- ◆ That is:

$$\sigma_x = E\varepsilon_x$$

$$\hat{u} = \left( \frac{\hat{d}_{2x} - \hat{d}_{1x}}{L} \right) \hat{x} + \hat{d}_{1x}$$

$$\hat{u} = \left[ 1 - \frac{\hat{x}}{L} \quad \frac{\hat{x}}{L} \right] \begin{Bmatrix} \hat{d}_{1x} \\ \hat{d}_{2x} \end{Bmatrix}$$

$$\hat{u} = [N_1 \quad N_2] \begin{Bmatrix} \hat{d}_{1x} \\ \hat{d}_{2x} \end{Bmatrix}$$

Where:

**Shape functions:**  $N_1 = 1 - \frac{\hat{x}}{L}$  and  $N_2 = \frac{\hat{x}}{L}$

## Select a Displacement Function

- ◆ Assume a linear function.  $\hat{u}$

$$\hat{u} = a_1 + a_2 \hat{x}$$

- ◆ No. of coefficients = No. of DOF

- ◆ Written in matrix form:  $\hat{u} = [1 \quad \hat{x}] \begin{Bmatrix} a_1 \\ a_2 \end{Bmatrix}$

Expressed as function of  $\hat{d}_{1x}$  and  $\hat{d}_{2x}$

$$\hat{u}(0) = \hat{d}_{1x} = a_1 + a_2(0) \Rightarrow \hat{d}_{1x} = a_1$$

$$\hat{u}(L) = \hat{d}_{2x} = a_1 + a_2(L) \Rightarrow \hat{d}_{2x} = \hat{d}_{1x} + a_2L$$

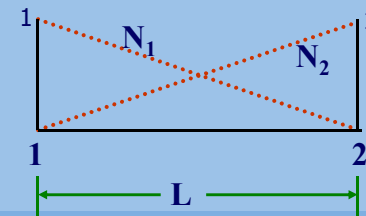
## Shape Functions

$N_1$  and  $N_2$  are called Shape Functions or Interpolation Functions. They express the shape of the assumed displacements.

$N_1 = 1$      $N_2 = 0$     at node 1

$N_1 = 0$      $N_2 = 1$     at node 2

$N_1 + N_2 = 1$  at any point



### Define Strain/Displacement and Stress/Strain Relationships

$$\hat{u} = \left( \frac{\hat{d}_{2x} - \hat{d}_{1x}}{L} \right) \hat{x} + \hat{d}_{1x}$$

$$\varepsilon = \frac{d\hat{u}}{d\hat{x}} = \frac{\hat{d}_{2x} - \hat{d}_{1x}}{L}$$

$$\sigma = E\varepsilon = E \frac{\hat{d}_{2x} - \hat{d}_{1x}}{L}$$

$$f = A\sigma$$

$$\hat{f}_{1x} = AE \left( \frac{\hat{d}_{1x} - \hat{d}_{2x}}{L} \right)$$

$$\hat{f}_{2x} = AE \left( \frac{\hat{d}_{2x} - \hat{d}_{1x}}{L} \right)$$

### Potential Energy Approach

$$\pi_p = U - W$$

$$dU = \sigma_x d\varepsilon_x dV$$

$$U = \frac{1}{2} \iiint_V \sigma_x \varepsilon_x dV$$

$$W = -\iiint_V \hat{X}_b \hat{u} dV - \iint_S \hat{T}_x u dS - \sum_{i=1}^M \hat{f}_{ix} \hat{d}_{ix}$$

### Derive the Element Stiffness Matrix and Equations

$$\begin{Bmatrix} \hat{f}_{1x} \\ \hat{f}_{2x} \end{Bmatrix} = \frac{AE}{L} \begin{bmatrix} 1 & -1 \\ -1 & 1 \end{bmatrix} \begin{Bmatrix} \hat{d}_{1x} \\ \hat{d}_{2x} \end{Bmatrix}$$

$$[\hat{k}] = \frac{AE}{L} \begin{bmatrix} 1 & -1 \\ -1 & 1 \end{bmatrix}$$

$$[K] = \sum_{e=1}^N [\hat{k}^{(e)}]$$

Assemble Global Stiffness Matrix, apply BC and solve the Master stiffness equation for the unknown displacements:

$$\{F\} = \sum_{e=1}^N \{\hat{f}^{(e)}\}$$

$$[K]\{d\} = \{F\}$$

### Potential Energy Approach

$$\pi_p = \frac{1}{2} \int_0^L A \sigma_x \varepsilon_x d\hat{x} - \hat{f}_{1x} \hat{d}_{1x} - \hat{f}_{2x} \hat{d}_{2x} - \iint_S \hat{u} \hat{T}_x dS - \iiint_V \hat{u} \hat{X}_b dv$$

$$\hat{u} = [N] \{\hat{d}\}$$

$$[N] = \begin{bmatrix} 1 - \frac{\hat{x}}{L} & \frac{\hat{x}}{L} \end{bmatrix}$$

$$\{\varepsilon_x\} = \begin{bmatrix} -\frac{1}{L} & \frac{1}{L} \end{bmatrix} \{\hat{d}\}$$

$$\{\varepsilon_x\} = [B] \{\hat{d}\}$$

$$\{\hat{d}\} = \begin{Bmatrix} \hat{d}_{1x} \\ \hat{d}_{2x} \end{Bmatrix}$$

$$[B] = \begin{bmatrix} -\frac{1}{L} & \frac{1}{L} \end{bmatrix}$$



### Potential Energy Approach

$$\{\sigma_x\} = [D]\{\varepsilon_x\}$$

$$[D] = [E]$$

[D] is the constitutive matrix  
(elasticity property matrix)

$$\{\sigma_x\} = [D][B]\{\hat{d}\}$$

$$\pi_p = \frac{A}{2} \int_0^L \sigma_x \varepsilon_x d\hat{x} - \hat{f}_{1x} \hat{d}_{1x} - \hat{f}_{2x} \hat{d}_{2x} - \iint_S \hat{u} \hat{T}_x dS - \iiint_V \hat{u} \hat{X}_b dv$$

### Potential Energy Approach

$$\{U^*\} = \{\hat{d}\}^T [B]^T [D]^T [B] \{\hat{d}\}$$

$$\{U^*\} = \begin{bmatrix} \hat{d}_{1x} & \hat{d}_{2x} \end{bmatrix} \begin{bmatrix} -\frac{1}{L} \\ \frac{1}{L} \end{bmatrix} [E] \begin{bmatrix} -\frac{1}{L} & \frac{1}{L} \end{bmatrix} \begin{Bmatrix} \hat{d}_{1x} \\ \hat{d}_{2x} \end{Bmatrix}$$

$$U^* = \frac{E}{L^2} (\hat{d}_{1x}^2 - 2\hat{d}_{1x}\hat{d}_{2x} + \hat{d}_{2x}^2)$$

$$\{\hat{d}\}^T \{\hat{f}\} = \hat{d}_{1x} \hat{f}_{1x} + \hat{d}_{2x} \hat{f}_{2x}$$

### Potential Energy Approach

$$\pi_p = \frac{A}{2} \int_0^L \{\sigma_x\}^T \{\varepsilon_x\} d\hat{x} - \{\hat{d}\}^T \{P\} - \iint_S \{\hat{u}\}^T \{\hat{T}_x\} dS - \iiint_V \{\hat{u}\}^T \{\hat{X}_b\} dv$$

$$\pi_p = \frac{A}{2} \int_0^L \{\hat{d}\}^T [B]^T [D]^T [B] \{\hat{d}\} d\hat{x}$$

$$- \{\hat{d}\}^T \{P\} - \iint_S \{\hat{d}\}^T [N]^T \{\hat{T}_x\} dS - \iiint_V \{\hat{d}\}^T [N]^T \{\hat{X}_b\} dv$$

$$\pi_p = \frac{AL}{2} \{\hat{d}\}^T [B]^T [D]^T [B] \{\hat{d}\} - \{\hat{d}\}^T \{\hat{f}\}$$

$$\{\hat{f}\} = \{P\} + \iint_S [N]^T \{\hat{T}_x\} dS + \iiint_V [N]^T \{\hat{X}_b\} dv$$

### Potential Energy Approach

$$\frac{\partial \pi_p}{\partial \hat{d}_{1x}} = \frac{AL}{2} \left[ \frac{E}{L^2} (2\hat{d}_{1x} - 2\hat{d}_{2x}) \right] - \hat{f}_{1x} = 0$$

$$\frac{\partial \pi_p}{\partial \hat{d}_{2x}} = \frac{AL}{2} \left[ \frac{E}{L^2} (2\hat{d}_{2x} - 2\hat{d}_{1x}) \right] - \hat{f}_{2x} = 0$$

$$\Rightarrow \frac{AE}{L} \begin{bmatrix} 1 & -1 \\ -1 & 1 \end{bmatrix} \begin{Bmatrix} \hat{d}_{1x} \\ \hat{d}_{2x} \end{Bmatrix} = \begin{Bmatrix} \hat{f}_{1x} \\ \hat{f}_{2x} \end{Bmatrix}$$

$$[k] = \int_V [B]^T [D] [B] dV \quad [D]^T = [D]$$

### Transformation Matrix (Local ⇔ Global)

Let

$$C = \cos \theta$$

$$S = \sin \theta$$

$$\begin{Bmatrix} \hat{d}_{1x} \\ \hat{d}_{1y} \\ \hat{d}_{2x} \\ \hat{d}_{2y} \end{Bmatrix} = \begin{bmatrix} C & S & 0 & 0 \\ -S & C & 0 & 0 \\ 0 & 0 & C & S \\ 0 & 0 & -S & C \end{bmatrix} \begin{Bmatrix} d_{1x} \\ d_{1y} \\ d_{2x} \\ d_{2y} \end{Bmatrix}$$

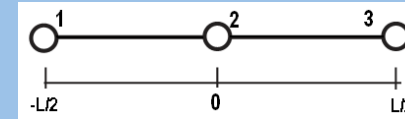
$$\begin{Bmatrix} \hat{d} \end{Bmatrix} = [T] \begin{Bmatrix} d \end{Bmatrix}$$

$$\begin{Bmatrix} \hat{f} \end{Bmatrix} = [T] \begin{Bmatrix} f \end{Bmatrix}$$

$$[T] = \begin{bmatrix} C & S & 0 & 0 \\ -S & C & 0 & 0 \\ 0 & 0 & C & S \\ 0 & 0 & -S & C \end{bmatrix}$$

### Quadratic Bar Element

Obtain displacement function for the one-dimensional quadratic element with three nodes shown below.



◆ A quadratic displacement function:

$$\hat{u} = a_1 + a_2 \hat{x} + a_3 \hat{x}^2$$

◆ No. of coefficients = No. of DOF

◆ Written in matrix form:  $\hat{u} = \begin{bmatrix} 1 & \hat{x} & \hat{x}^2 \end{bmatrix} \begin{Bmatrix} a_1 \\ a_2 \\ a_3 \end{Bmatrix}$

### Element stiffness equation in local coordinate:

$$\begin{Bmatrix} \hat{f}_{1x} \\ \hat{f}_{1y} \\ \hat{f}_{2x} \\ \hat{f}_{2y} \end{Bmatrix} = \frac{AE}{L} \begin{bmatrix} 1 & 0 & -1 & 0 \\ 0 & 0 & 0 & 0 \\ -1 & 0 & 1 & 0 \\ 0 & 0 & 0 & 0 \end{bmatrix} \begin{Bmatrix} d_{1x} \\ d_{1y} \\ d_{2x} \\ d_{2y} \end{Bmatrix}$$

$$[T] \{f\} = [\hat{k}] [T] \{d\}$$

$$\{f\} = [T]^{-1} [\hat{k}] [T] \{d\}$$

Element stiffness matrix  
in the global coordinate:

$$[T]^{-1} = [T]^T$$

$$\{f\} = [T]^T [\hat{k}] [T] \{d\}$$

$$[k] = [T]^T [\hat{k}] [T]$$

$$\hat{u} \Big|_{\hat{x}=-L/2} = d_1$$

$$\hat{u} \Big|_{\hat{x}=0} = d_2 \Rightarrow$$

$$\hat{u} \Big|_{\hat{x}=L/2} = d_3$$

$$d_1 = a_1 - \frac{a_2 L}{2} + \frac{a_3 L^2}{4}$$

$$d_2 = a_1$$

$$d_3 = a_1 + \frac{a_2 L}{2} + \frac{a_3 L^2}{4}$$

$$\hat{u} = d_2 + \frac{d_3 - d_1}{L} \hat{x} + 2(d_3 - 2d_2 + d_1) \frac{\hat{x}^2}{L^2}$$

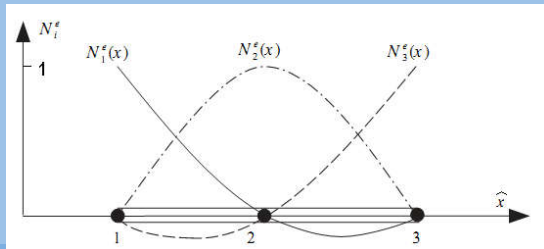
$$= \begin{bmatrix} -\frac{\hat{x}}{L} + \frac{2\hat{x}^2}{L^2} & 1 - \frac{4\hat{x}^2}{L^2} & \frac{\hat{x}}{L} + \frac{2\hat{x}^2}{L^2} \end{bmatrix} \begin{Bmatrix} d_1 \\ d_2 \\ d_3 \end{Bmatrix}$$

$$= [N_1 \quad N_2 \quad N_3] \begin{Bmatrix} d_1 \\ d_2 \\ d_3 \end{Bmatrix}$$

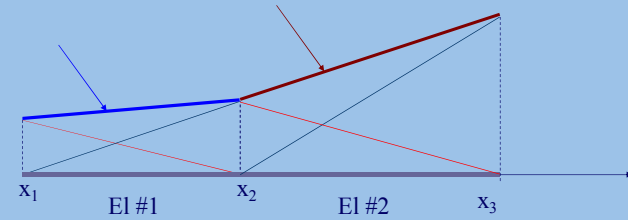
## Quadratic Shape Functions

$$\begin{aligned} N_1=1 & \quad N_2=N_3=0 \quad \text{at node 1} \\ N_2=1 & \quad N_1=N_3=0 \quad \text{at node 2} \\ N_3=1 & \quad N_1=N_2=0 \quad \text{at node 3} \end{aligned}$$

$$N_1 + N_2 + N_3 = 1 \text{ at any point}$$



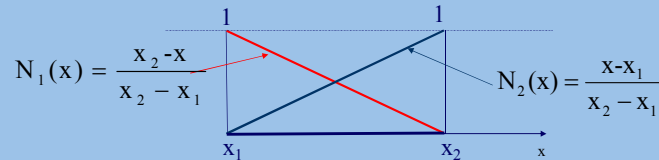
**Compatibility:** The displacement approximation is continuous across element boundaries



Hence the displacement approximation is continuous across elements

## PROPERTIES OF THE SHAPE FUNCTIONS

The shape function at any node has a value of 1 at that node and a value of zero at ALL other nodes.



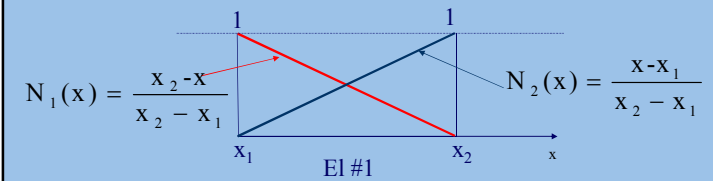
$$N_1(x) = \frac{x_2 - x}{x_2 - x_1}$$

Check  $\Rightarrow N_1(x = x_1) = \frac{x_2 - x_1}{x_2 - x_1} = 1$

and  $N_1(x = x_2) = \frac{x_2 - x_2}{x_2 - x_1} = 0$

## Writing shape functions (without deriving):

The **Kronecker delta property** (the shape function at any node has value of 1 at that node and a value of zero at all other nodes)



$$N_1(x) = \frac{(x_2 - x)}{(x_2 - x_1)}$$

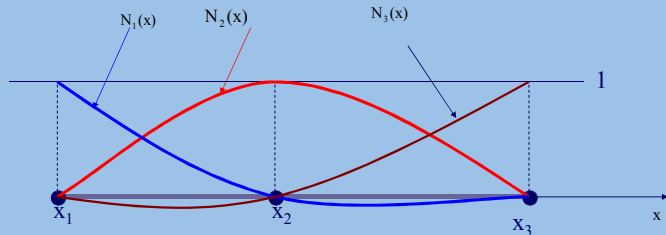
Node at which  $N_1$  is 0

Notice that the length of the element =  $x_2 - x_1$

$$N_2(x) = \frac{(x_1 - x)}{(x_1 - x_2)} = \frac{(x - x_1)}{(x_2 - x_1)}$$

The denominator is the numerator evaluated at the node itself

3-Node bar element: varying **quadratically** inside the bar



$$u(x) = N_1(x)d_{1x} + N_2(x)d_{2x} + N_3(x)d_{3x}$$

$$N_1(x) = \frac{(x_2 - x)(x_3 - x)}{(x_2 - x_1)(x_3 - x_1)}$$

$$N_2(x) = \frac{(x_1 - x)(x_3 - x)}{(x_1 - x_2)(x_3 - x_2)}$$

$$N_3(x) = \frac{(x_1 - x)(x_2 - x)}{(x_1 - x_3)(x_2 - x_3)}$$

This is a **quadratic finite element** in 1D and it has three nodes and three associated shape functions per element.

**STRAIN and STRESS WITHIN EACH ELEMENT**

From equation (1), the displacement within each element

$$\Phi(x) = \underline{N} \underline{d}$$

The **strain** in the bar  $\epsilon = \frac{d\Phi}{dx}$

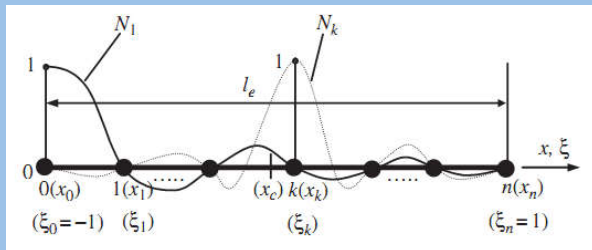
Hence

$$\epsilon = \left[ \frac{dN}{dx} \right] \underline{d} = \underline{B} \underline{d} \quad (2)$$

The matrix **B** is known as the “**strain-displacement matrix**”

$$\underline{B} = \left[ \frac{dN}{dx} \right]$$

**Writing shape functions for higher DOFs:**



$$N_k(x) = \prod_{\substack{m=0 \\ m \neq k}}^n \frac{x - x_m}{x_k - x_m} = \frac{(x - x_0)(x - x_1) \cdots (x - x_{k-1})(x - x_{k+1}) \cdots (x - x_n)}{(x_k - x_0)(x_k - x_1) \cdots (x_k - x_{k-1})(x_k - x_{k+1}) \cdots (x_k - x_n)}$$

For a linear finite element

$$\underline{N} = [N_1(x) \quad N_2(x)] = \left[ \frac{x_2 - x}{x_2 - x_1} \quad \frac{x - x_1}{x_2 - x_1} \right]$$

Hence

$$\underline{B} = \left[ \frac{-1}{x_2 - x_1} \quad \frac{1}{x_2 - x_1} \right] = \frac{1}{x_2 - x_1} [-1 \quad 1]$$

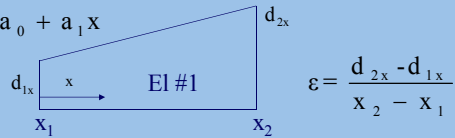
$$\epsilon = \underline{B} \underline{d} = \left[ \frac{-1}{x_2 - x_1} \quad \frac{1}{x_2 - x_1} \right] \begin{Bmatrix} d_{1x} \\ d_{2x} \end{Bmatrix}$$

$$= \frac{d_{2x} - d_{1x}}{x_2 - x_1}$$

For a linear bar element, strain is a **constant** within the element.

Displacement is linear

$$\Phi(x) = a_0 + a_1 x$$



Strain is constant

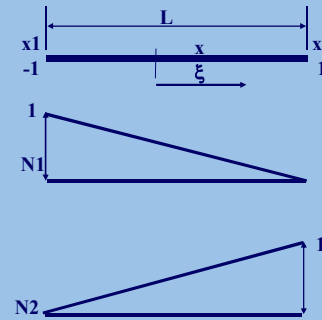
The stress in the bar  $\sigma = E \epsilon = E \frac{d u}{d x}$

Inside the element, the approximate stress is  $\sigma = E B \underline{d}$

The stiffness matrix

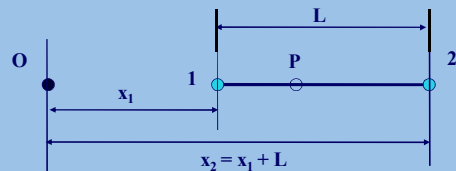
$$[k] = \int_V [B]^T E [B] dV$$

## 2 Node Linear Element

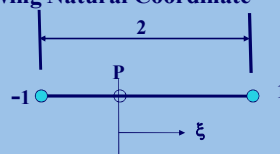


x	ξ
$N1 = \frac{x2-x}{x2-x1}$	$N1 = \frac{1-\xi}{2}$
$N2 = \frac{x-x1}{x2-x1}$	$N2 = \frac{\xi+1}{2}$

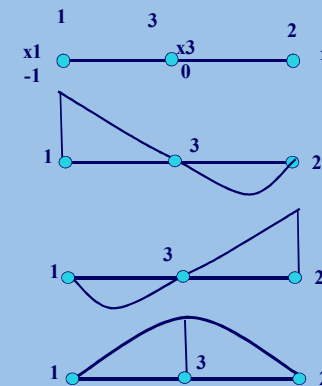
## Natural Coordinates



Mapped on the following Natural Coordinate



## 3 Node Quadratic Element



$$N_1 = \frac{x_2 - x}{x_2 - x_1} \cdot \frac{x_3 - x}{x_3 - x_1} = \frac{1 - \xi}{2} \cdot \left( \frac{-\xi}{1} \right) = \frac{1}{2} (-\xi + \xi^2)$$

$$N_2 = \frac{x_1 - x}{x_1 - x_2} \cdot \frac{x_3 - x}{x_3 - x_2} = \frac{-1 - \xi}{-2} \cdot \frac{-\xi}{-1} = \frac{1}{2} (\xi + \xi^2)$$

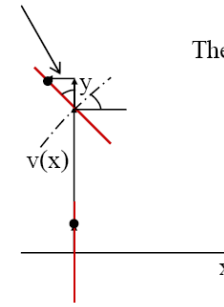
$$N_3 = \frac{x_1 - x}{x_1 - x_3} \cdot \frac{x_2 - x}{x_2 - x_3} = \frac{-1 - \xi}{-1} \cdot \frac{1 - \xi}{1} = (1 - \xi^2)$$

## Beam Theory – Terminology

- A *general beam* is a bar-like member designed to resist a combination of loading actions such as biaxial bending, transverse shears, axial stretching or compression, and possibly torsion.
- If the beam is subject primarily to bending and axial forces, it is called a *beam-column*.
- A beam is *straight* if its longitudinal axis is straight. It is *prismatic* if its cross section is constant.
- A *spatial beam* supports transverse loads that can act on arbitrary directions along the cross section.
- A *plane beam* resists primarily transverse loading on a preferred longitudinal plane.

## Beam Theory – Kinematics

$$u = -y \frac{\partial v}{\partial x}$$



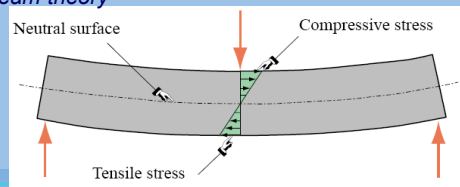
Assume that a straight line orthogonal to the middle line of the beam remains straight and orthogonal.

The segment is bent with the curvature  $\kappa$

$$\varepsilon = \frac{\partial u}{\partial x} = -y \frac{\partial^2 v}{\partial x^2} = y\kappa$$

## Mathematical Models

- One-dimensional mathematical models of structural beams are constructed on the basis of *beam theories*.
- The simplest and best known models for straight, prismatic beams are based on the:
  - *Bernoulli-Euler theory* (also called *classical beam theory* or *engineering beam theory*)
  - *Timoshenko beam theory*



## Beam Theory – Terminology

**Equilibrium**

$$M = \int_A \sigma y dA$$

$$\{\sigma\} \xrightarrow{A} M$$

Constitutive relations

$E$

$$M = -EI \frac{\partial^2 v}{\partial x^2}$$

$$\{\varepsilon\} \xleftarrow{v(x)}$$

$$\varepsilon = \frac{\partial u}{\partial x} = -y \frac{\partial^2 v}{\partial x^2}$$

**Geometric relations**

## Bernoulli-Euler Model

$$\begin{bmatrix} u(x,y) \\ v(x,y) \end{bmatrix} = \begin{bmatrix} -y \frac{\partial v(x)}{\partial x} \\ v(x) \end{bmatrix} = \begin{bmatrix} -y v' \\ v(x) \end{bmatrix} = \begin{bmatrix} -y \theta \\ v(x) \end{bmatrix}$$

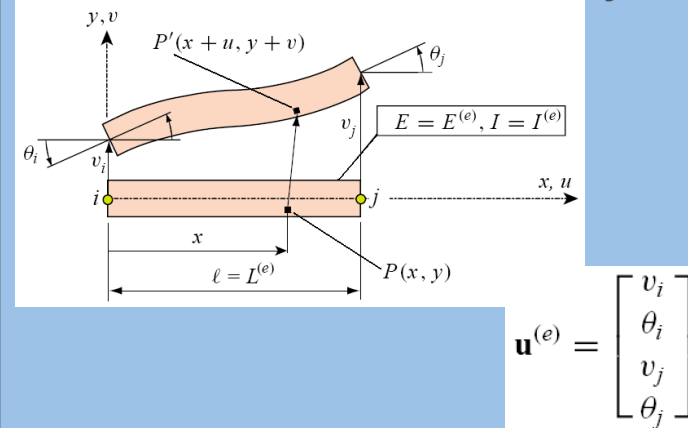
$$e = \frac{\partial u}{\partial x} = -y \frac{\partial^2 v}{\partial x^2} = -y \frac{d^2 v}{dx^2} = -y \kappa$$

$$\sigma = E e = -E y \frac{d^2 v}{dx^2} = -E y \kappa$$

$$M = E I \kappa$$

equilibrium equation  $M'' = q$  (not used specifically in FEM)

## Bernoulli-Euler Beam Theory



## Mathematical Model

### Total Potential Energy of Beam Members

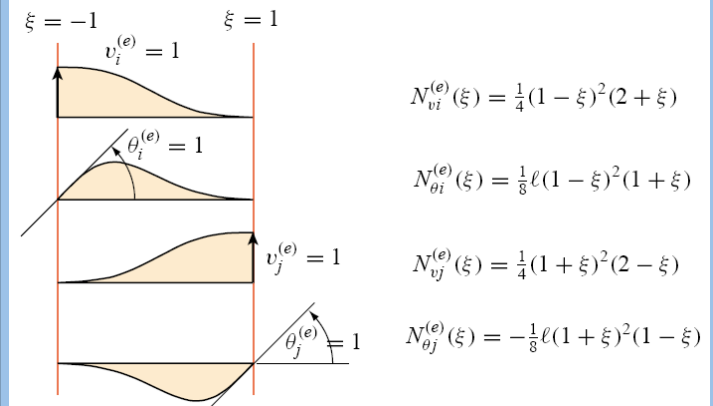
$$\Pi = U - W$$

$$U = \frac{1}{2} \int_V \sigma_{xx} e_{xx} dV = \frac{1}{2} \int_0^L M \kappa dx = \frac{1}{2} \int_0^L EI \left( \frac{\partial^2 v}{\partial x^2} \right)^2 dx$$

$$= \frac{1}{2} \int_0^L EI \kappa^2 dx$$

$$W = \int_0^L q v dx$$

## Iso-P Shape Function



## Iso-P Shape Function

$$\mathbf{v}^{(e)} = [N_{v_i}^{(e)} \quad N_{\theta_i}^{(e)} \quad N_{v_j}^{(e)} \quad N_{\theta_j}^{(e)}] \begin{bmatrix} v_i^{(e)} \\ \theta_i^{(e)} \\ v_j^{(e)} \\ \theta_j^{(e)} \end{bmatrix} = \mathbf{N}\mathbf{u}^{(e)}$$

$$\xi = \frac{2x}{\ell} - 1$$

$$N_{v_i}^{(e)} = \frac{1}{4}(1 - \xi)^2(2 + \xi), \quad N_{\theta_i}^{(e)} = \frac{1}{8}\ell(1 - \xi)^2(1 + \xi),$$

$$N_{v_j}^{(e)} = \frac{1}{4}(1 + \xi)^2(2 - \xi), \quad N_{\theta_j}^{(e)} = -\frac{1}{8}\ell(1 + \xi)^2(1 - \xi).$$

## Element Stiffness

$$\mathbf{K}^{(e)} = \frac{EI}{2\ell^3} \int_{-1}^1 \begin{bmatrix} 36\xi^2 & 6\xi(3\xi - 1)\ell & -36\xi^2 & 6\xi(3\xi + 1)\ell \\ & (3\xi - 1)^2\ell^2 & -6\xi(3\xi - 1)\ell & (9\xi^2 - 1)\ell^2 \\ \text{symm} & & 36\xi^2 & -6\xi(3\xi + 1)\ell \\ & & & (3\xi + 1)^2\ell^2 \end{bmatrix} d\xi$$

$$= \frac{EI}{\ell^3} \begin{bmatrix} 12 & 6\ell & -12 & 6\ell \\ & 4\ell^2 & -6\ell & 2\ell^2 \\ \text{symm} & & 12 & -6\ell \\ & & & 4\ell^2 \end{bmatrix}$$

## Element Equations

$$\mathbf{B} = \frac{1}{\ell} \begin{bmatrix} 6\frac{\xi}{\ell} & 3\xi - 1 & -6\frac{\xi}{\ell} & 3\xi + 1 \end{bmatrix}$$

$$\Pi^{(e)} = \frac{1}{2}\mathbf{u}^{(e)T} \mathbf{K}^{(e)} \mathbf{u}^{(e)} - \mathbf{u}^{(e)T} \mathbf{f}^{(e)}$$

$$\mathbf{K}^{(e)} = \int_0^\ell EI \mathbf{B}^T \mathbf{B} dx = \int_{-1}^1 EI \mathbf{B}^T \mathbf{B} \frac{1}{2}\ell d\xi$$

$$\mathbf{f}^{(e)} = \int_0^\ell \mathbf{N}^T q dx = \int_{-1}^1 \mathbf{N}^T q \frac{1}{2}\ell d\xi$$

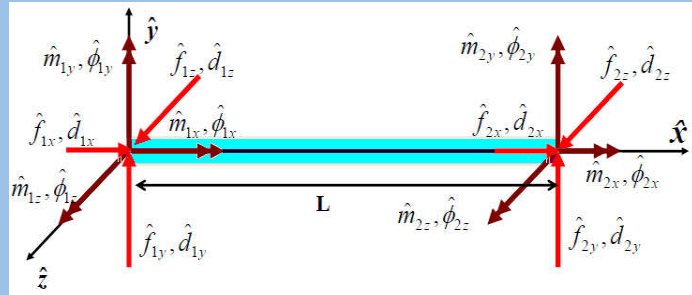
## Loading

$$\mathbf{f}^{(e)} = \frac{1}{2}q\ell \int_{-1}^1 \mathbf{N} d\xi$$

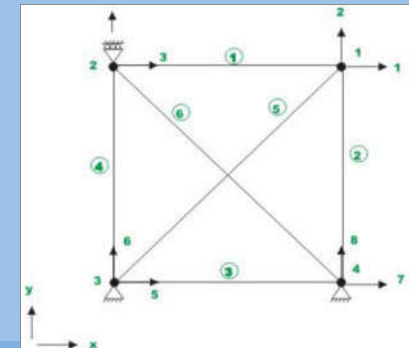
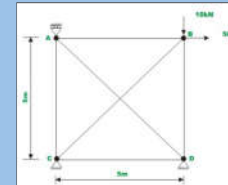
$$= \frac{1}{2}q\ell \int_{-1}^1 \begin{bmatrix} \frac{1}{4}(1 - \xi)^2(2 + \xi) \\ \frac{1}{8}\ell(1 - \xi)^2(1 + \xi) \\ \frac{1}{4}(1 + \xi)^2(2 - \xi) \\ -\frac{1}{8}\ell(1 + \xi)^2(1 - \xi) \end{bmatrix} d\xi$$



## General 3D Frame Element



## Example 1 – Analyse the plane truss



$$[K_{i-j}] = \begin{bmatrix} \frac{EA_x}{L} & 0 & 0 & 0 & 0 & 0 & -\frac{EA_x}{L} & 0 & 0 & 0 & 0 & 0 \\ 0 & \frac{12EI_x}{L^3} & 0 & 0 & 0 & \frac{6EI_x}{L^2} & 0 & -\frac{12EI_x}{L^3} & 0 & 0 & 0 & \frac{6EI_x}{L^2} \\ 0 & 0 & \frac{12EI_x}{L^3} & 0 & -\frac{6EI_x}{L^2} & 0 & 0 & 0 & -\frac{12EI_x}{L^3} & 0 & \frac{6EI_x}{L^2} & 0 \\ 0 & 0 & 0 & \frac{GI_x}{L} & 0 & 0 & 0 & 0 & 0 & -\frac{GI_x}{L} & 0 & 0 \\ 0 & 0 & -\frac{6EI_x}{L^2} & 0 & \frac{4EI_x}{L} & 0 & 0 & 0 & \frac{6EI_x}{L^2} & 0 & \frac{2EI_x}{L} & 0 \\ 0 & \frac{6EI_x}{L^2} & 0 & 0 & -\frac{4EI_x}{L} & 0 & 0 & -\frac{6EI_x}{L^2} & 0 & 0 & 0 & \frac{2EI_x}{L} \\ \hline -\frac{EA_x}{L} & 0 & 0 & 0 & 0 & 0 & \frac{EA_x}{L} & 0 & 0 & 0 & 0 & 0 \\ 0 & -\frac{12EI_x}{L^3} & 0 & 0 & 0 & -\frac{6EI_x}{L^2} & 0 & \frac{12EI_x}{L^3} & 0 & 0 & 0 & -\frac{6EI_x}{L^2} \\ 0 & 0 & -\frac{12EI_x}{L^3} & 0 & \frac{6EI_x}{L^2} & 0 & 0 & 0 & \frac{12EI_x}{L^3} & 0 & \frac{6EI_x}{L^2} & 0 \\ 0 & 0 & 0 & -\frac{GI_x}{L} & 0 & 0 & 0 & 0 & 0 & \frac{GI_x}{L} & 0 & 0 \\ 0 & 0 & \frac{6EI_x}{L^2} & 0 & -\frac{2EI_x}{L} & 0 & 0 & 0 & -\frac{6EI_x}{L^2} & 0 & \frac{4EI_x}{L} & 0 \\ 0 & -\frac{6EI_x}{L^2} & 0 & 0 & \frac{2EI_x}{L} & 0 & 0 & \frac{6EI_x}{L^2} & 0 & 0 & -\frac{4EI_x}{L} & 0 \end{bmatrix}$$

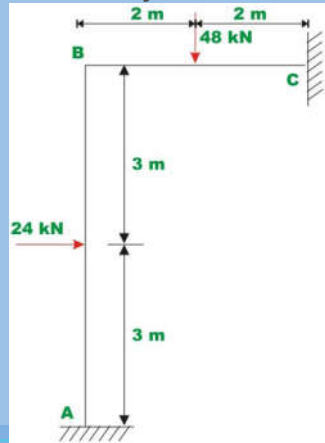
## Example 1

$$u_1 = \frac{72.855}{AE}; u_2 = \frac{-55.97}{AE}; u_3 = \frac{53.825}{AE}$$

$$p_4 = -3.80 \text{ kN}; p_5 = -1.19 \text{ kN}; p_6 = -1.19 \text{ kN};$$

$$p_7 = 3.80 \text{ kN}; p_8 = 15.00 \text{ kN}$$

### Example 2 – Analyze the Plane Frame



### Example 2 – Boundary Condition & Solve

$$\begin{Bmatrix} u_4 \\ u_5 \\ u_6 \end{Bmatrix} = \begin{Bmatrix} 6.28 \times 10^{-6} \\ -1.695 \times 10^{-5} \\ -0.13 \times 10^{-3} \end{Bmatrix}$$

$$\begin{Bmatrix} R_1 \\ R_2 \\ R_3 \\ R_7 \\ R_8 \\ R_9 \end{Bmatrix} = \begin{Bmatrix} -12 \\ 0 \\ 18 \\ 0 \\ 24 \\ -24 \end{Bmatrix} + \begin{Bmatrix} 0.57 \\ 22.59 \\ -1.14 \\ -12.57 \\ 1.40 \\ -1.92 \end{Bmatrix} = \begin{Bmatrix} -11.42 \\ 22.59 \\ 16.85 \\ -12.57 \\ 25.40 \\ -25.92 \end{Bmatrix}$$

### Example 2 – Element and node numbering

