

Chapter 3: Characterization of Communication Signals and Systems



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Graduate Program

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Spectral Characteristics of Digitally Modulated Signals

- Generally, the available channel bandwidth is *limited*
- In the selection of the modulation methods, we
 - Need to determine the **spectral content** of digitally modulated signals
 - Helps to take the effect of the BW constraint into account
- A digitally modulated signal is a **stochastic process** since the information sequences are random
- Need to determine **power spectral density (PSD)** of these processes
- From PSD one can find the **channel bandwidth** required to transmit the information-bearing signals



Spectral Characteristics of Digitally ...

- Considering linearly modulated band-pass signal given by

$$s(t) = \text{Re}\left[v(t) e^{j2\pi f_c t}\right]$$

- Where $v(t)$ is the equivalent low-pass signal
- Autocorrelation function of $s(t)$ is

$$\phi_{ss}(\tau) = \text{Re}\left[\phi_{vv}(\tau) e^{j2\pi f_c \tau}\right]$$

- And its Fourier transform yields the desired expression for the power density spectrum $\Phi_{ss}(f)$ as

$$\Phi_{ss}(f) = \frac{1}{2} [\Phi_{vv}(f - f_c) + \Phi_{vv}(-f - f_c)]$$

- Where $\Phi_{vv}(f)$ is the power density spectrum of $v(t)$



Spectral Characteristics of Digitally ...

- To obtain the spectral characteristics of the bandpass signal $s(t)$, it **suffices** to determine the autocorrelation function and power spectral density of the equivalent low-pass signal $v(t)$
- Consider a *linear digital modulation* method for which $v(t)$ is represented in the general form

$$v(t) = \sum_{n=-\infty}^{n=\infty} I_n g(t-nT)$$

- Where:
 - $\{I_n\}$ represents the sequence of symbols resulting from mapping k -bit blocks into corresponding points
 - $1/T = R/k$ symbols/s is the transmission rate



Spectral Characteristics of Digitally ...

- The autocorrelation function of $v(t)$ is

$$\begin{aligned}\phi_{vv}(t+\tau, t) &= \frac{1}{2} E[v^*(t)v(t+\tau)] \\ &= \frac{1}{2} \sum_{m=-\infty}^{\infty} \sum_{n=-\infty}^{\infty} E[I_n^* I_m] g^*(t-nT)g(t+\tau-mT)\end{aligned}$$

- Assuming the sequence of information symbols $\{I_n\}$ is **wide-sense stationary** with mean μ_i and autocorrelation function

$$\phi_{ii}(m) = \frac{1}{2} E[I_n^* I_{n+m}]$$

- Then the autocorrelation of $v(t)$ will be

$$\begin{aligned}\phi_{vv}(t+\tau, t) &= \sum_{n=-\infty}^{\infty} \sum_{m=-\infty}^{\infty} \phi_{ii}(m-n) g^*(t-nT)g(t+\tau-mT) \\ &= \sum_{m=-\infty}^{\infty} \phi_{ii}(m) \sum_{n=-\infty}^{\infty} g^*(t-nT)g(t+\tau-nT-mT)\end{aligned}$$



Spectral Characteristics of Digitally ...

- The 2nd summation is periodic with T
- Thus the autocorrelation function is also periodic, i.e.,

$$\phi_{vv}(t + \tau + T, t + T) = \phi_{vv}(t + \tau; t);$$

- Further the mean of $v(t)$

$$E[v(t)] = \mu_i \sum g(t - nT) \quad \text{is also periodic with period T}$$

- $v(t)$ is a stochastic process having a periodic mean and autocorrelation function
 - Called a *cyclostationary* or a *periodically stationary* process
- To compute the power spectral density of $\phi_{vv}(t+\tau, t)$, we must eliminate the dependence on the t variable by averaging over a single period



Spectral Characteristics of Digitally ...

$$\begin{aligned}\bar{\phi}_{vv}(\tau) &= \frac{1}{T} \int_{-T/2}^{T/2} \phi_{vv}(t+\tau;t) dt \\ &= \sum_{m=-\infty}^{\infty} \phi_{ii}(m) \sum_{n=-\infty}^{\infty} \frac{1}{T} \int_{-T/2}^{T/2} g^*(t-nT)g(t+\tau-nT-mT)dt \\ &= \sum_{m=-\infty}^{\infty} \phi_{ii}(m) \sum_{n=-\infty}^{\infty} \frac{1}{T} \int_{-T/2-nT}^{T/2+nT} g^*(t-nT)g(t+\tau-mT)dt\end{aligned}$$

- In the above expression, the integral can be interpreted as the time autocorrelation of the function $g(t)$

$$\phi_{gg}(\tau) = \int_{-\infty}^{\infty} g^*(t)g(t+\tau)dt$$

- So that

$$\bar{\phi}_{vv}(\tau) = \frac{1}{T} \sum_{m=-\infty}^{\infty} \phi_{ii}(m) \phi_{gg}(\tau - mT)$$



Spectral Characteristics of Digitally ...

- The average power spectral density of $v(t)$ is the Fourier transform of the average of its autocorrelation which may be expressed as

$$\Phi_{vv}(f) = \frac{1}{T} |G(f)|^2 \Phi_{ii}(f)$$

- Where $G(f)$ is the Fourier transform of $g(t)$ and

$$\Phi_{ii}(f) = \sum_{m=-\infty}^{\infty} \phi_{ii}(m) e^{-j2\pi fmT}$$

- Note that the power spectral density depends on the spectral characteristic of the pulse $g(t)$ and the information sequence $\{I_n\}$



Spectral Characteristics of Digitally ...

- Or, the spectral characteristics of $v(t)$ can be controlled by the choice of $g(t)$ and the correlation characteristics of the information sequence
- Note also that $\Phi_{ii}(f)$ is related to the autocorrelation $\phi_{ii}(m)$ in the form of an *exponential Fourier series* with $\phi_{ii}(m)$ as the Fourier coefficient such that

$$\phi_{ii}(m) = T \int_{-1/2T}^{1/2T} \Phi_{ii}(f) e^{j2\pi fmT} df$$

- Consider the information symbols in the sequence are **real** and mutually **uncorrelated** such that

$$\phi_{ii}(m) = \begin{cases} \sigma_i^2 + \mu_i^2 & (m = 0) \\ \mu_i^2 & (m \neq 0) \end{cases}$$



Spectral Characteristics of Digitally ...

- Where σ_i^2 is the **variance** of the information sequence; then

$$\Phi_{ii}(f) = \sigma_i^2 + \mu_i^2 \sum_{m=-\infty}^{\infty} e^{-j2\pi fmT}$$

- Which is periodic with period $1/T$
- The above can be viewed as the exponential Fourier series of a periodic train of impulses each with an area of $1/T$; i.e.,

$$\Phi_{ii}(f) = \sigma_i^2 + \frac{\mu_i^2}{T} \sum_{m=-\infty}^{\infty} \delta\left(f - \frac{m}{T}\right)$$

- And substituting this in the expression for $\Phi_{vv}(f)$

$$\Phi_{vv}(f) = \frac{\sigma_i^2}{T} |G(f)|^2 + \frac{\mu_i^2}{T} \sum \left| G\left(\frac{m}{T}\right) \right|^2 \delta\left(f - \frac{m}{T}\right)$$



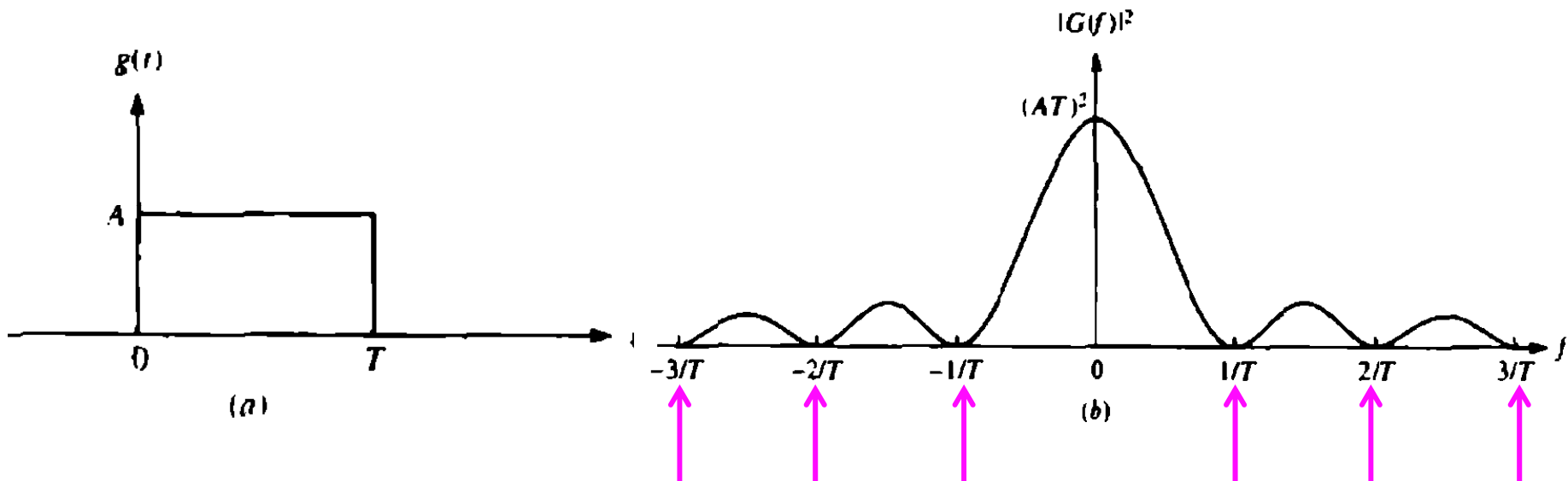
Spectral Characteristics of Digitally ...

- The first term is a **continuous spectrum** and its shape depends on the spectral characteristics of signal pulse $g(t)$
- The second expression contains **discrete frequency** components spaced $1/T$ apart in frequency
 - Each spectral line has power proportional to $|G(f)|^2$ evaluated at $f=m/T$
- If the information sequences have zero mean the discrete frequency components will vanish, i.e., $\mu_i = 0$
- This property is most desirable for digital modulation and can be achieved when the information sequences are ***equally likely & symmetrically positioned*** in a complex plane



Spectral Characteristics of Digitally ...

- **Example 1:** Consider $g(t)$ to be a rectangular pulse as shown in the figure below with Fourier transform $|G(f)|$



- Rectangular pulse and its energy density spectrum

$$G(f) = AT \frac{\sin \pi f T}{\pi f T}$$

and

$$|G(f)|^2 = (AT)^2 \left(\frac{\sin \pi f T}{\pi f T} \right)^2$$



Spectral Characteristics of Digitally ...

- It contains zeros at multiples of $1/T$ in frequency and it also decays inversely as the square of the frequency variable
- As a result, all but one of the discrete spectral components in $\Phi_{vv}(f)$ vanishes
- Thus, upon substitution for $|G(f)|$ from above, we get

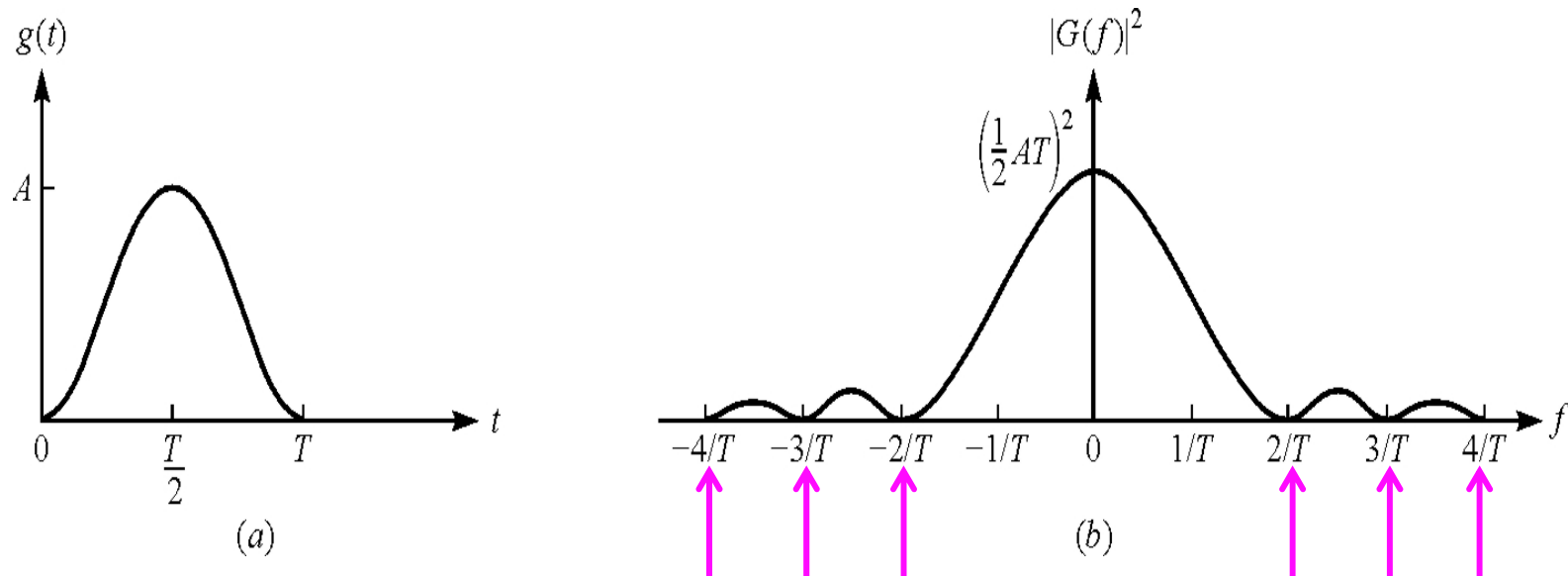
$$\Phi_{vv}(f) = \sigma_i^2 A^2 T \left(\frac{\sin \pi f T}{\pi f T} \right)^2 + A^2 \mu_i^2 \delta(f)$$



Spectral Characteristics of Digitally ...

- Example 2: Consider the case where $g(t)$ is a raised cosine pulse

$$g(t) = \frac{A}{2} \left[1 + \cos \frac{2\pi}{T} \left(t - \frac{T}{2} \right) \right] \quad \text{for } 0 \leq t \leq T$$



Raised cosine pulse and its energy density spectrum



Spectral Characteristics of Digitally ...

- Its Fourier transform is given as

$$G(f) = \frac{AT}{2} \frac{\sin \pi f T}{\pi f T (1 - f^2 T^2)} e^{-j\pi f T}$$

- Note the spectrum has zeros at $f = n/T$; $n = \pm 2, \pm 3, \pm 4, \dots$
- Hence, all the spectral components, except those at zero and $f = \pm 1/T$ vanish
- Compared to that of the rectangular pulse, the spectrum has a broader main lobe but the tails decay inversely as f^6



Spectral Characteristics of Digitally ...

- Spectrum can also be shaped by operations performed on the input information sequence
- **Example 3:** Consider a binary sequence $\{b_n\}$ from which we form the symbol

$$I_n = b_n + b_{n-1}$$

- Where the $\{b_n\}$ are assumed to be uncorrelated random variables, each having zero mean and unit variance
- The autocorrelation of the sequence $\{I_n\}$ is

$$\begin{aligned} \phi_{ii}(m) &= E(I_n I_{n-m}) \\ &= \begin{cases} 2 & (m = 0) \\ 1 & (m = \pm 1) \\ 0 & (\text{Otherwise}) \end{cases} \end{aligned}$$



Spectral Characteristics of Digitally ...

- The power spectral density of the input sequence is

$$\Phi_{ii}(f) = 2(1 + \cos 2\pi fT) = 4 \cos^2 \pi fT$$

- Then, the corresponding power spectral density of the (low-pass equivalent) modulated signal becomes

$$\Phi_{vv}(f) = \frac{4}{T} |G(f)|^2 \cos^2 \pi fT$$

